# MINUTES

**Meeting of the Board of Trustees**
**University of Kentucky**
**May 10, 2005**
**1:00 P.M.**
**18th Floor Patterson Office Tower**

## Minutes

**Accompanying Materials:**

## President's Report and Action Items

PR 1 President's Report to the Trustees
PR 2 Personnel Actions
PR 3 Waiver of Part X of the Governing Regulations Pertaining to the Employment of Relatives in the Case of Brian P. Butler

## Special Committee for Governing Regulations

SCGR 1 Proposed Amendment to the Governing Regulations
Proposed GRs, with mark-up
GR I, GR II, GR III, GR IV, GR V(a), GR V(b). GR VI, GR VII, GR VIII, GR IX, GR X, GR XI, GR XII, GR XIII

## Academic Affairs Committee Report

AACR 1 Establishment of the Institute for Educational Assessment
AACR 2 Change of Organizational Structure of the Council on Aging
AACR 3 Establishment of the Partnership Institute for Mathematics and Science Reform
AACR 4 Change in Degree

## Finance Committee Report

FCR 1 William A. Bauman Gift and Pledge
FCR 2 Ithaca Materials Research Laboratory Gift-in-Kind
FCR 3 Anonymous Gift to the Adair County Scholarship Fund
FCR 4 Gifts and Pledges to the E. Preston Hicks Chair for Orthodontics and Oral Health Research
FCR 5 Anonymous Gift to the Pediatric Exercise Physiology Laboratory
FCR 6 Liz Claiborne Foundation Gift and Pledge
FCR 7 Paul Miller Ford Foundation Gift
FCR 8 Marie A. and William H. Cull Pledge
FCR 9 Kentucky Medical Services Foundation Gift

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| FCR 10 | Gifts and Pledges to the William Baxter Jennings Fellowship |
| FCR 11 | Gifts to UK Center for Research on Violence Against Women |
| FCR 12 | Gifts and Pledges to the Endowment for Support of Neuro-Urologic Research |
| FCR 13 | Casner Family Fund Gift |
| FCR 14 | KPMG LLP Gift and Pledge |
| FCR 15 | Jacqueline K. Resinger Gift and Pledge |
| FCR 16 | James L. and Suzanne H. Elliott Gift and Pledge |
| FCR 17 | Dr. Michael Karpf Gift and Pledge |
| FCR 18 | Michael C. Carozza Gift and Pledge |
| FCR 19 | Commonwealth of Kentucky Allocation |
| FCR 20 | Report of Leases |
| FCR 21 | Approval of Leases |
| FCR 22 | Real Estate Exchange |
| FCR 23 | Approval of Construction of a New University Health Service Facility and Its Plan of Finance |
| FCR 24 | Emergency Services Expansion |
| FCR 25 | Resolution Approving the Initiation of the Construction of the Basketball Practice Facility Project, its Plan of Finance, and Execution of a Financing Agreement |
| FCR 26 | Acceptance of Interim Financial Report for the University of Kentucky for the Nine Months Ended March 31, 2005 |
| FCR 27 | 2004-05 Budget Revisions |
| FCR 28 | Report on the 2006-2012 Capital Plan |
| FCR 29 | Report on 2004-2006 Capital Projects Authorized by the 2005 Kentucky General Assembly |
| FCR 30 | Patent Assignment Report |
| FCR 31 | Capital Construction Report |
| FCR 32 | Establishment of University Hospital Standing Committee |

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, May 10, 2005.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, May 10, 2005, in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Mr. James Hardymon, Chair, called the meeting to order at 1:00 p.m. and asked the secretary of the Board, Ms. Elaine Wilson, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mira Ball, Steve Branscum, Marianne Smith Edge, Ann Haney, James Hardymon (Chair), Michael Kennedy, Pamela May, Billy Joe Miles, Roy Moore, Phillip Patton, Steven Reed, Frank Shoop, Alice Sparks, Myra Leigh Tobin, Rachel Watts, JoEtta Wickliffe, Billy Wilcoxson, Russ Williams, Elaine Wilson, and Barbara Young. The university administration was represented by President Lee T. Todd, Jr., Provost Michael Nietzel, Executive Vice President for Finance and Administration Frank Butler, Executive Vice President for Health Affairs Michael Karpf, Executive Vice President for Research Wendy Baldwin, and General Counsel Barbara W. Jones.

Members of the various news media were also in attendance. A quorum being present, the Chair declared the meeting officially open for the conduct of business at 1:03 p.m.

C. Consent Items

Mr. Hardymon said the Minutes, PR 2, and FCR 1 through 20, which were covered in the Finance Committee, were consent items on the agenda. He asked if there were any questions or comments about the consent items. Ms. Smith Edge moved approval. Her motion, seconded by Mr. Reed, carried without dissent. The consent items follow:

Minutes – March 29, 2005
PR 2 Personnel Actions
FCR 1 William A. Bauman Gift and Pledge
FCR 2 Ithaca Materials Research Laboratory Gift-in-Kind
FCR 3 Anonymous Gift to the Adair County Scholarship Fund
FCR 4 Gifts and Pledges to the E. Preston Hicks Chair for Orthodontics and Oral Health Research
FCR 5 Anonymous Gift to the Pediatric Exercise Physiology Laboratory
FCR 6 Liz Claiborne Foundation Gift and Pledge

FCR 7 Paul Miller Ford Foundation Gift
FCR 8 Marie A. and William H. Cull Pledge
FCR 9 Kentucky Medical Services Foundation Gift
FCR 10 Gifts and Pledges to the William Baxter Jennings Fellowship
FCR 11 Gifts to UK Center for Research on Violence Against Women
FCR 12 Gifts and Pledges to the Endowment for Support of Neuro-Urologic Research
FCR 13 Casner Family Fund Gift
FCR 14 KPMG LLP Gift and Pledge
FCR 15 Jacqueline K. Resinger Gift and Pledge
FCR 16 James L. and Suzanne H. Elliott Gift and Pledge
FCR 17 Dr. Michael Karpf Gift and Pledge
FCR 18 Michael C. Carozza Gift and Pledge
FCR 19 Commonwealth of Kentucky Allocation
FCR 20 Report of Leases
(See these items at the end of the Minutes.)

D. President's Report

Due to the length of the agenda, President Todd said that he would not review as many items as he typically does in PR 1. He called attention to the following items in his report:

Many Board members and other dignitaries attended the ribbon-cutting ceremony for the new Biomedical/Biological Sciences Research Building, which is the largest research building the university has built on campus.

The state of Kentucky moved up from 33rd to 30th in rankings for federal research obligations. For top research universities, UK moved up from 60th to 57th. Among the public universities, UK moved up from 31st to 28th.

Research awards at the end of March were up 14 percent for UK. It is anticipated that the last two months of this fiscal year will be an upside on research.

UK has created the nation's first Fine Arts Cooperative Extension Program, which has brought uniqueness to UK's program.. It is based in Pikeville, Kentucky.

The Gatton College of Business and Economics has launched a bold and innovative Master of Business Administration (MBA) program. The program will now be an eleven-month MBA program. It has been an 18-month program in the past. He encouraged people to partake of that degree opportunity.

Ryan Quarles is UK's 12th Truman Scholar. He is a Kentucky student with a strong UK heritage in his family.

The third annual President's Awards for Diversity were presented in April.

UK's Men's Golf Team won the university's first Southeastern Conference championship. The UK Women's Tennis Team also won the Southeastern Conference championship for the first time in UK's history. These are two commendable accomplishments, along with Coach Tubby Smith winning the SEC regular season championship. He praised Mitch Barnhart for his focus on all sports at the institution.

Professor Michael Kennedy and the Geography Department are preparing a new, state-of-the-art teaching and research facility. This is being supported by the budgets of the Dean of Arts and Sciences and Wendy Baldwin budget. Professor Kennedy announced that there would be a tour of the facility following the June 14 Board meeting. He extended an invitation to the Board.

President Todd reported that the NCAA certification visit had been completed, and, according to the visiting team, it was one of the cleanest visits the representatives have ever attended. He praised Dr. Connie Ray and her committee for their efforts. He noted that Barbara Young, as well as some others in the room, were on the committee. They did a Herculean effort over a 12-month period, looking in detail at the accomplishments of and plans for the Athletics Department. President Todd expressed appreciation to Dr. Ray and her team for the work they did and applauded Mr. Barnhart for the changes that he has made.

President Todd called attention to a handout on the Dream Tour which starts May 23. He said that he had enjoyed working with the Public Relations group on this vision for getting UK's message across the state. He encouraged everyone to participate in the Dream Tour in all ways possible.

President Todd mentioned another handout regarding a progress report on the Strategic Plan. The report provides recent information on 12 of the 26 key indicators in the plan.

During this reporting period, the university achieved its goals on two key indicators: Endowment Market Value and Postdoctoral Scholars. He reported that the Endowment Market Value is above $500 million, and the Postdoctoral Scholars achievement is a result of the Bucks for Brains investment.

Progress above the baseline is evident on four key indicators: ACT Composite, Residence Halls, Graduation Rate, and Federal Research Expenditures. The university is on target to meet those.

Progress is mixed or reversed on six indicators: Fall Enrollment, Retention Rate, Faculty Salaries, Doctoral Enrollment, Diversity, and Industry Research Expenditures. Some controls are in effect for the fall enrollment because of the budget situation. The retention rate is a challenge for all universities in Kentucky. There have been many discussions about faculty salaries during the budget and tuition discussions. Doctoral

enrollment is down; however, doctoral degrees awarded at commencement helped the university exceed its goal of 250. There are some limits on how the university progresses with respect to diversity. Industry research is on the rise but not where it should be. President Todd mentioned that these results are on the university's web site, and the report is also available.

Mr. Hardymon said that he was glad he attended commencement because it was such a good feeling. He noted that Ms. Watts reached new heights, and she received a round of applause from the Board members.

E. Waiver of Part X of the Governing Regulations Pertaining to the Employment of Relatives in the Case of Brian P. Butler (PR 3)

President Todd said that PR 3 is a part of the university's regulations dealing with nepotism. The recommendation is that the Board authorize the continued employment of Brian Butler, son of Frank Butler, Executive Vice President for Finance and Administration, for two years pursuant to the provision contained in Governing Regulation X authorizing a waiver of the rules prohibiting employment of a relative of the Provost, Vice President, or Associate Vice President in that officer's administrative area.

Brian Butler has worked for the university since 1988 and was not under Frank Butler's supervision until just recently. As a result of this action, the associate vice president for campus services will assume all such supervisory responsibilities for Brian Butler. Frank Butler will not be involved in any promotion or other employment decisions regarding his son. If it is necessary for an issue to go to a higher level, it will go straight to the president. President Todd recommended approval of PR 3.

On motion made by Alice Sparks, seconded by Mr. Williams and carried, PR 3 was approved without dissent. (See PR 3 at the end of the Minutes.)

Mr. Hardymon stated that all the deans will be making a college report. Due to the length of some presentations on the agenda, the college report was eliminated for this meeting; however, the reports will continue with future meetings.

Mr. Hardymon reminded the Board of his appointment of a special committee for the Governing Regulations. He said that he thought the administration was surprised and pleased at how well that committee had prepared themselves for the assignment. He asked Ms. Ball, chair of the special committee, to make her report.

F. Proposed Revisions to the Governing Regulations (SCGR 1)

Ms. Ball said that the members of the special committee were Frank Shoop, Barbara Young, Russ Williams, and Roy Moore. The committee spent an afternoon reviewing the Governing Regulations. She noted that a committee, chaired by Phyllis

Nash, was appointed almost two years ago and brought these revised regulations to the Board after they had been approved by the President.

Ms. Ball said that the members of the Board had received a notebook containing the proposed revisions to the Governing Regulations. She explained that anything taken out of the document is in red, and anything added to the document is in blue. She reported that the committee had reviewed the regulations and made some changes regarding the Community College System, which is no longer a part of the university, and the university's provost model. Those are the main changes made by the committee. She asked for any questions from the Board and then moved that the proposed revisions be given preliminary consideration for approval and be considered for adoption at the June Board meeting. Ms. Smith Edge seconded her motion, and it carried without dissent. (See SCGR 1 at the end of the Minutes.)

G. Establishment of the Institute for Educational Assessment (AACR 1)

Ms. Sparks, Chair of the Academic Affairs Committee, reported that the committee had four items to bring before the Board. AACR 1 is the establishment of the Institute for Educational Assessment. She noted that Kentucky spends approximately $13 million on testing. The new institute will extend the university's commitment to a critical facet of P-16 education reform, student assessment. This is quite a compliment to the College of Education. This has been recommended by the chairs and dean of the College of Education. The Provost supports this recommendation as does the Academic Affairs Committee. She moved that AACR 1 be approved. Her motion, seconded by Ms. Haney, carried without dissent. (See AACR 1 at the end of the Minutes.)

H. Change of Organizational Structure of the Council on Aging (AACR 2)

Ms. Sparks said that AACR 2 is the change of organizational structure of the Council on Aging. The Board is asked to approve the transfer of administrative oversight for the Council on Aging from the Executive Vice President for Research to the Dean, College of Public Health. The Executive Vice President for Research recommends this proposal, and it is endorsed by the appropriate faculty and administrators. She moved approval of AACR 2. Mr. Reed seconded her motion, and it carried without dissent. (See AACR 2 at the end of the Minutes.)

I. Establishment of the Partnership Institute for Mathematics and Science Reform (AACR 3)

Ms. Sparks said that AACR 3 recommends that the Board approve the establishment of the Partnership Institute for Mathematics and Science Reform. This is a result of the $22 million grant that the university received. This proposal has been recommended by the deans of the College of Arts and Sciences and the College of Education. The Provost supports this recommendation as does the Academic Affairs Committee. She moved approval of AACR 3. Dr. Moore seconded her motion, and it carried without dissent. (See AACR 3 at the end of the Minutes.)

J. Change in Degree (AACR 4)

Ms. Sparks said that AACR 4 recommends that the Board approve the change in the name of the Master of Science in Vocational Education to Master of Science in Career, Technical, and Leadership Education and approve the creation of a non-teaching option. This would be effective in the fall 2005 semester. This proposal has the approval of the Graduate Council, Senate Council, and University Senate. The Provost also supports this recommendation. On behalf of the Academic Affairs Committee, she moved approval of AACR 4. Professor Kennedy seconded her motion, and it carried without dissent. (See AACR 4 at the end of the Minutes.)

Mr. Hardymon changed the order of the agenda and said the Finance Committee report would be later. He called on Ms. Smith Edge for the Student Affairs Committee Report.

K. Student Affairs Committee Report

Ms. Smith Edge, Chair of the Student Affairs Committee, reported that Dr. Patricia Terrell and her staff gave an update on the Code of Student Conduct at their meeting that morning. The code had previous changes and additions, but there has never been a comprehensive review and update. The proposed revisions were posted on the web for over two weeks. There was Kernel coverage and also meetings were held with several student groups, including the Student Senate and the President's Roundtable. The comments and suggested changes have been incorporated along with University Counsel suggestions.

The proposed revised code will be submitted to the President and Provost for final review before coming to the Board. The goal is to make the code very user and student friendly and easy to navigate without legal counseling. Once the proposed draft goes to the President and Provost, the committee will get it. It is requested that the committee consider the revised code at the June Board meeting so it can be incorporated into the new information for the upcoming year.

Ms. Smith Edge reported that the Student Affairs Committee will have a special meeting on June 8. This meeting will be open to all Board members to allow full discussion of all of the proposed changes and to receive any input from them prior to the June 14 Board meeting. A draft of the proposed revisions will be mailed to the Board prior to the meeting so the Board will have the opportunity to have input.

Ms. Smith Edge reported that approximately 25 percent of the student population goes uninsured every year. They basically have no insurance. Student Health Services plays a key role, and the administration will continue to look at some insurance issues in the future.

L. Investment Committee Report

Mr. Wilcoxson, Chair of the Investment Committee, reported that the Investment Committee met in a special session on May 9 to interview perspective private equity fund managers. As a result of the meeting, the committee approved a 5 percent commitment to private equity through a fund-of-fund approach with a 2 ½ percent commitment this year and a second 2 ½ percent commitment in two years. The committee selected the Commonfund for the initial 2 ½ percent commitment, and the objectives for the investment in private equity are to increase the long-term total return of the endowment and to provide the university's endowment with further diversification.

The committee will meet again in a regular quarterly session in June and will have the performance results at that time for the period ending March 31. He noted that it had not been a very good quarter.

Mr. Wilcoxson added that private equity includes three things: U.S. venture capital, U.S. buyouts, and international venture capital and buyouts. The committee will be dealing with those three different areas with the Commonfund. He said that he or Mr. Henry Clay Owen would be pleased to answer any questions.

Mr. Owen said that the Investment Committee has reviewed asset allocation over the past 18 months and the allocation to private equity is the final step in establishing a revised target asset allocation. The revised target allocation is expected to add diversification and increase total return for the endowment in the future.

Mr. Hardymon agreed with Mr. Wilcoxson that the equities returns this quarter are not going to be great, at least for the month of April. He asked if there were any questions or comments on the Investment Committee report.

M. Athletic Association Board of Directors Report

Ms. Sparks reported that the Board of Directors received a report on the NCAA peer review team visit at their last meeting, and the Board was pleased with the good preliminary review. She mentioned that the following items had been discussed at the last meeting:

Mr. Barnhart gave a detailed report on the accomplishments of the various teams.
The CATSBY event was a great night.
The Big Blue Caravan has begun. The Triple Crown is May 23.
Dr. Raynor Mullins gave a report on the long-range plan.
Mr. Rob Mullens gave a detailed report on the budget.

Ms. Sparks said that she was very pleased to report that the internal audit review of basketball ticket accountability was a very clean audit. It showed that approximately $7.5 million worth of basketball ticket sales was done appropriately, and she is eternally

grateful for that report. She asked Mr. Barnhart to provide some statistics about the good things happening in athletics.

Mr. Barnhart noted the following accomplishments:

There were four team championships this year: men's soccer, men's basketball, men's golf and women's tennis.
There were four coach of the year awards: Tubby Smith, Mark Guilbeau, Brian Craig, and Mike Lyden.
Jesse Witten, men's tennis, was named men's player of the year and became the first five-time All American at the University of Kentucky. He also became one of only ten players in the history of college tennis to ever become a four-time All American.
John Holmes, men's golf, was named player of the year in the conference and academic All American. This is the first time this has happened.
Abika Kalsarieva, was named women's player of the year.
Mark Blakefield, men's golf, was named All SEC second team performer.
It is the first time in the history of the Southeastern Conference that the player of the year awards have both been from the same institution. Both players of the year in men's and women's tennis were from Kentucky.
Jeff Chakouian, track and field, is the number one shot putter. He has the longest throw in the country this year.

Mr. Barnhart announced that the CATSBY awards would be televised on May 14 and 15 on WKYT and UPN. He said it would be a one- hour condensed version and encouraged everyone to check it out. This is the first time the event has been on television.

Mr. Wilcoxson said that Coach Tubby Smith was the Board's Coach of the Year, and Coach Smith received a round of applause.

N. Business Partnership Foundation Report

Ms. Wilson reported that the Business Partnership Foundation Board meets twice a year. It met last fall in November and in April this spring. The Gatton College of Business and Economics has formed many partnerships with people in the community. They also work with other people within the university.

She commented on the following items that were discussed at the November and April meetings:

Associate Dean Mike Tearney was recognized because he had planned to retire in December.
Tom Lester talked about the interaction between the College of Business and the College of Engineering.
Dean Harvey introduced the Natural Bridge State Park Team that gave a brief

presentation on their new and innovative work.

The Board talked about the new strategic initiatives concerning the MBA Program and the Slone Center. The importance of the Slone Center to the college and to the state was also discussed.

Kentucky is the fifth largest producer of aluminum in the world, and UK has a working relationship with this center.

Ken Robertson, Chairman of the Foundation Board, presented some possible changes about how often the Board should meet and whether to have committees or not. It was determined that the Board would continue to have two meetings a year, once in the fall and once in the spring.

The Board added a couple of committees: a Development Committee, chaired by Tim Haymaker of the Haymaker Company, and an Image Committee, chaired by Rodney McMullen of the Kroger Company.

There was a presentation by two students concerning their entrepreneurial business called Vision Resumes, a career management network. They expect to be making $6 million in about six years.

Two new proposals were presented for the School of Management: a certificate in mortgage banking presented on the Internet and a qualitative financial analysis minor in the Gatton College.

Associate Dean Paul Jarley announced a new internship program called Execute, where some corporate executives will be working with some of the students on some projects that were important for the company.

Humana made a presentation about consumerism.

Ms. Wilson concluded her report by stating that the Board of Trustees can be proud of the partnerships that the college has formed with people in the community and with other colleges at the university.

President Todd commented about Vision Resume. He said the business is headed by John Williams, who was past president of the E Club. It is a company they founded, and the present president of the E Club is co-founder. Humana has invited these two young men to give a presentation on Vision Resume for possible use at Humana.

O. University Hospital Board

Ms. Young, Chair of the University Hospital Board, reported that the Board reviewed the conservative budget for the hospital for 2006. She said there is a lot happening in the hospital, and she is comfortable with the work that Dr. Karpf and his staff are doing to meet the goals. Things are going extremely well at the hospital. The numbers continue to go up and will support the construction projects that are scheduled to begin. A resolution was passed to establish the University Hospital Committee which will be a standing committee of the Board of Trustees.

Mr. Hardymon mentioned that there had been a reference about budgets in a couple of the reports. He said that the budget will be on the June agenda. The hospital and athletics budgets will be parts of that material.

P. Audit Subcommittee Report

Ms. Wickliffe, Chair of the Audit Subcommittee, reported that they met that morning. Deloitte and Touche, who are the university's CPAs, and Jim Carpenter, the partner assigned to the job, were there to discuss the audit with the subcommittee. The audit scope was discussed, and the engagement letter was reviewed and voted on. They also discussed the staffing requirements, changes in the accounting rules, and recent pronouncements that have been made. The non-audit services performed by D & NT for the university were reviewed, and there was discussion and recommendations for the areas of focus.

Ms. Wickliffe reported that the subcommittee met with Joseph Reed, who is the senior director of internal audit for the university's Internal Audit Department. She thanked Mr. Reed and his staff for their tremendous job.

The subcommittee also reviewed and approved the internal audit charter, the report on the progress of the internal audit plan for fiscal year 2004-05, and the internal audit plan for fiscal year 2005-06.

Q. Finance Committee Report

Ms. Wickliffe, Chair of the Finance Committee, reported that FCRs 1 through 20 were on the consent agenda. She did, however, call attention to FCR 17, the Dr. Michael Karpf Gift and Pledge, and thanked Dr. Karpf for his generosity.

R. Approval of Lease (FCR 21)

Ms. Wickliffe said that FCR 21 is the approval of leases. It includes leases with the Center on Aging, Drug and Alcohol/Dentistry, and the College of Medicine, CATS Project. The leases exceed $30,000; therefore, they need to be approved by the Board. On behalf of the Finance Committee, she moved the adoption of FCR 21. Mr. Branscum seconded her motion, and it passed without dissent. (See FCR 21 at the end of the Minutes.)

S. Real Estate Exchange (FCR 22)

Ms. Wickliffe said that FCR 22 is a real estate exchange. This is an exchange of .8 acres of land that involves the UK Center for Rural Health building in Hazard. On behalf of the Finance Committee, she moved the adoption of FCR 22.

Mr. Hardymon asked Mr. Butler for any comments on the exchange of land.

Mr. Butler reported that the property is adjacent to the Center for Rural Health in Hazard, and the university has a request from Appalachian Regional Healthcare (ARH) to exchange the property. ARH intends to build a radiation treatment facility on this

property and has offered to exchange 0.8 acres, consisting of a parking lot. It is an appropriate accommodation for ARH, and it is not property on which the university would have built an addition to the Center for Rural Health. The exchange is appropriate for both parties.

Mr. Shoop seconded Ms. Wickliffe's motion to approve FCR 22. The motion carried without dissent. Ms. May asked that the minutes reflect that she abstained on the subject. (See FCR 22 at the end of the Minutes.)

T. Approval of Construction of a New University Health Service Facility and Its Plan of Finance (FCR 23)

Ms. Wickliffe said that FCR 23 recommends the Board approve the construction of a new 65,000 square foot, three-story University Health Service facility and its plan of finance. The University Health Service has less space per student than any of UK's 19 benchmark universities, a fact which threatens patients' privacy. The $24 million facility will be paid for through increases in the student health fee over the next two years. The student health fee will increase from $97 to $130 each semester in 2005-2006 and $160 each semester in 2006-07. On behalf of the Finance Committee, Ms. Wickliffe moved approval of FCR 23.

Mr. Hardymon asked Dr. Greg Moore, Director of University Health Service (UHS), to make a presentation.

Dr. Moore gave a PowerPoint presentation illustrating the pressing student health needs. One is the lack of patient privacy due to very cramped quarters. Another is a threat to continued accreditation. The University of Kentucky is the only accredited student health service in the Commonwealth. The only issue that the university has not addressed from the last accreditation review is size of facility. The University Health Service is to be reaccredited this fall.

Dr. Moore reported that many areas of the current facility are not handicap accessible. Most bathrooms cannot accommodate a wheelchair. Stretchers cannot go around many of the corners, which poses an ever increasing problem in patient care. The exam rooms are very limited. There is only one exam room per clinician as opposed to the two or three which are normally found in primary care facilities. This quickly overloads the physical capacity, resulting in fewer appointments available. Furthermore, there is not sufficient meeting space for group health education, Student Health Advisory Committee meetings, and group therapy.

In November, the accrediting association commented about the inadequate space in almost every area within UHS. Last fall the pharmacy practice review committee found that the Student Health Pharmacy facilities do not provide adequate space for education and compromise patient privacy.

There was a conditional accreditation largely based on the lack of space within the facility. If students are talking about fairly sensitive things, sometimes their classmates sitting on the other side of the partition can hear the conversation. This is absolutely unacceptable and is one of the major concerns in providing adequate space.

The students have been incredibly supportive year after year about this project. The *Kernel* wrote an article in 2002 about the UHS and recently wrote a series of articles about its involvement in UK's reaching Top 20 status. The Student Health Advisory Committee has worked very closely with the administration for the last 18 months in speaking with the consulting architects about the plan, making visits to other benchmark health facilities, and staying very involved. The committee recommended that students be charged up to $60 per semester in addition to the current health fee to finance the bonds that will be required to provide this service. Student health visits increase every year and will continue to increase as the student body grows.

The consulting architects looked at the facility and determined the appropriate size of facility necessary for the number of patients now served. They proposed that the building exceed 60,000 square feet.

The university not only cares for the students at UK and Lexington Community College (LCC) but also for employees of the university using workers compensation, the hospital employees that need infectious disease care, and vaccinations. About 70 percent of UHS visits are students, and about 30 percent are UK employees.

The university will pay for the facility with the increase in the student health fee in addition to the current budget for debt service from UK students. LCC students will no longer be required to pay any mandatory fees in 2006-07. It is estimated that about 20 percent of the LCC students will continue to pay the student health fee so they can use UK's facility on a health fee basis. They will always be welcome to use the facility.

The consulting architects looked around campus and talked about moving the facility more toward the center of campus. The solution that they recommended, however, is to keep the facility close to the Medical Center. They proposed that it be extended out from the current Kentucky Clinic building towards Limestone with connection to the Sanders-Brown building and the Wethington building. The major advantage of doing this is to continue to rely on existing excellent laboratory and radiology support from the hospital. If the facility is located somewhere else on campus, it would have required an additional 20,000 to 30,000 square feet of space and another $1 million of expenditure.

The current plan is to have three floors. Two of them absolutely must be finished. The third floor, depending on the budget, will allow expansion to other areas the university has long needed, such as chiropractic care and worker's compensation. Expansion into some other areas frequently associated with health services such as massage therapy, acupuncture, and alternative care will possibly be considered, also depending on the budget.

Dr. Moore concluded his report by stating that the university will begin contracting with an architectural firm immediately, pending Board approval at this meeting.

Ms. Smith Edge seconded the motion previously made by Ms. Wickliffe, and the motion carried without dissent. (See FCR 23 at the end of the Minutes.)

U. Emergency Services Expansion (FCR 24)

Ms. Wickliffe said that FCR 24 is a recommendation for emergency services expansion. This is a $6.1 million emergency services expansion project which involves renovating at least 18,400 square feet in the Critical Care Center at the hospital. The project is part of the overall plan related to the new patient care facility. The project will be paid for by using hospital funds. On behalf of the Finance Committee, she moved for the adoption of FCR 24, and Ms. Tobin seconded her motion.

Mr. Hardymon asked Mr. Butler and Dr. Karpf for any comments on this project.

Mr. Butler said that the emergency room is basically over capacity by about 80 percent. It is one of the major bottlenecks in getting patients in and out. This expansion bridges the areas until the creation of new space in the patient care facility is available. This is part of the overall plan that came out of the Strategic Plan and facility plan that Dr. Karpf started when he arrived at the university.

Mr. Hardymon said that there was a motion by the chair of the committee and a second by Ms. Tobin. He asked for any comments or questions and then called for a vote. The motion carried without dissent. (See FCR 24 at the end of the Minutes.)

V. Resolution Approving the Initiation of the Construction of the Basketball Practice Facility Project, Its Plan of Finance, and Execution of a Financing Agreement (FCR 25)

Ms. Wickliffe said that FCR 25 is a resolution approving the initiation of the construction of the basketball practice facility project, its plan of finance, and the execution of the financing agreement. The Finance Committee had a good presentation by the Athletics Department. She asked Mr. Rob Mullens to make the presentation to the Board.

Mr. Mullens reported that the planning and approval process on this important facility had been taking place for approximately two and a half years. As part of the vision to maintain basketball as the premier basketball program in the Unites States, this is a critical element to maintain that tradition.

Through a series of slides, Mr. Mullens reviewed the proposed facility, which is at the end of the design stage. He commented on each floor plan, noting the occupancy and

purpose of each space, and talked about the funding plan, reminding the Board that the facility is 100 percent funded from Athletics sources.

Mr. Mullens said that Athletics is very proud of the fact that the majority of the work on the project has been done by Kentucky-based companies. The lead architect is a firm in Lexington, and the construction manager is also a firm in Lexington. Athletics has also worked closely with CPMD and many other staff on campus.

Mr. Mullens concluded his presentation by explaining the funding model. He pointed out that every gift received from now to the end of the project lowers the draw on the athletics quasi-endowment and increases the direct commitment to the project. He said that he would be pleased to answer any questions.

On behalf of the Finance Committee, Ms. Wickliffe moved the adoption of FCR 25.

Professor Kennedy made the following statement to the Board:

"I'd like to share my reasons for voting against construction of this facility. But first, let me say I recognize the contributions athletics makes to UK. I've read Dr. Todd's white paper on the subject. I am aware of the benefits to UK, both financial and in terms of public exposure, that athletic brings to us.

As members of the Board know, I have, for the past two years advocated that donors to athletic programs be asked, only asked, if they would like to make an additional donation to benefit the academic program of the university, and perhaps vise-versa.

As far as I know, there's been no action on, nor discussion of, this idea. This is not a radical action, such as bringing the entire athletic enterprise under the main administration, as Vanderbilt University did not too long ago. I don't think it is even a significant change, such as automatically allocating a small percentage of athletic contributions to the academic program. All I'm asking is that the policy become one of asking athletic donors to contribute additionally to the educational program. The people who may be counted upon to contribute 27.5 million dollars to the construction of the practice facility do have additional money to give. Presently some 30 percent of them do give to the academic program. I think we should strive to make that number 100 percent. If the practice facility donors chipped in an additional nickel for each dollar they gave, then $1.3 million would accrue. That would let us create many more smart class rooms, would let us reintroduce the part of the oral communication program that we deleted because of lack of funding, and so on.

My understanding is that currently donors being solicited for funds for athletics are off limits for academic fund raisers, and visa-versa. I think that my request for a modest de-silo-fication is reasonable, and I would like to see it seriously

discussed. At my final Board Meeting next month I would be delighted to see things change and to publicly recant my "no" vote today. Thank you.

Mr. Patton said that he would also like to explain why he will vote against FR 25. When this was proposed by the Athletics Director and was explained to the Board earlier, he voted for it. As he recalled, the Board was unanimous in support of the project. It was described as a project that would be paid 100 percent by donors. His opposition is not to having an athletic practice facility, because he still supports that idea. But, the use of agency bonds was not even a request by the university in its legislative package. His opposition is simply to the use of bonds to partially fund the project, which is different than how it was initially proposed.

Dr. Moore said that the Senate Council voted at its last meeting, with one dissenting vote, to ask the faculty trustees to vote against the proposal, and that is why he will vote against FCR 25.

Ms. Sparks seconded Ms. Wickliffe's motion, and it passed. Professor Kennedy, Dr. Moore, Mr. Patton, and Mr. Williams voted against FCR 25. Ms. Watts had to leave the meeting for a final exam prior to the presentation of FCR 25; therefore, she was not present when the vote was taken. (See FCR 25 at the end of the Minutes.)

Ms. Wickliffe pointed out that the Board had approved two facilities at this meeting: one that takes care of student health needs and one for athletes.

W. Acceptance of Interim Financial Report for the University of Kentucky for the Nine Months Ended March 31, 2005 (FCR 26)

Ms. Wickliffe said that FCR 26 is the acceptance of the interim financial report for the University of Kentucky for the nine months that ended March 31. Revenue, including appropriated fund balances, amounted to $1.2 billion, or 82 percent of the fiscal year estimates. Expenditures through March 31 amounted to $1.1 billion or 72 percent of the total budgeted amount of $1.5 billion. Subject to budget revisions in FCR 27, the financial operations are consistent with expectations and in accordance with the approved budget. Estimated revenues are expected to be fully realized. The financial statements report a strong financial condition and excellent cash flows to support current operations. On behalf of the Finance Committee, Ms. Wickliffe moved the adoption of FCR 26. Ms. Ball seconded her motion, and it carried without dissent. (See FCR 26 at the end of the Minutes.)

X. 2004-05 Budget Revisions (FCR 27)

Ms. Wickliffe said that FCR 27 is the 2004-05 budget revisions. These revisions reflect a $4 million reduction in the university's budget. The two specific revisions are a part of House Bill 267. State appropriations decreased by $7.48 million on a non-recurring basis. This reduction was expected, and sufficient funds have been set aside to accommodate the reduction. The university also plans to loan working capital to two

auxiliary enterprises for capital projects: $1.5 million will be loaned to parking and transportation to partially fund the construction of the two new parking facilities and $399,000 to student affairs to renovate the dining rooms in Blazer Hall. On behalf of the Finance Committee, she moved the adoption of FCR 27. Mr. Shoop seconded her motion, and it carried without dissent. (See FCR 27 at the end of the Minutes.)

Y. Report on the 2006-2012 Capital Plan (FCR 28)

Ms. Wickliffe said that FCR 28 is a report on the 2006-2012 Capital Plan. The university is required to submit to the Council on Postsecondary Education a six-year capital plan by April 15 of each odd numbered year. This plan must include all capital projects which cost $400,000 or more, equipment estimated to cost $100,000 or more, and leases that are valued at $200,000 or more. The 709 projects listed in FCR 28 are included in the plan. The Board of Trustees will be asked to approve the 2006-2008 Capital Request at the June 14 meeting. On behalf of the Finance Committee, she moved approval of FCR 28. Ms. Haney seconded the motion.

Mr. Hardymon asked Ms. Angie Martin to comment on the process here and explain why the Board is receiving this request to accept the report on the Capital Plan.

Ms. Martin explained that the General Assembly requires that the university submit a capital plan listing its priorities. This plan has all projects that may be anticipated, and that is why the projects listed are so numerous. If the projects are not authorized by the General Assembly, then the university generally cannot initiate construction.

Mr. Hardymon said that the plan does allow the university to set priorities, and the higher priorities are very important. He called for a vote, and the motion passed without dissent. (See FCR 28 at the end of the Minutes.)

Z. Report on 2004-2006 Capital Projects Authorized by the 2005 Kentucky General Assembly (FCR 29)

Ms. Wickliffe said that FCR 29 is the report on the 2004-2006 capital projects authorized by the 2005 Kentucky General Assembly. A part of the university's Administrative Regulations requires that the President provide a report on capital construction projects authorized by the General Assembly. The report is presented on pages one through seven attached to FCR 29. The General Assembly authorized 218 projects with a total scope of $1.48 billion. The state will fund two projects with state bonds, including the Biological Pharmaceutical Complex Phase One and the Livestock Disease Diagnostic Center. The projects are listed in the same order as presented in House Bill 267. This does not mean that they are listed in priority as the previous FCR. On behalf of the Finance Committee, she recommended the adoption of FCR 29. Mr. Branscum seconded her motion, and it carried without dissent. (See FCR 29 at the end of the Minutes.)

AA. Patent Assignment Report (FCR 30)

Ms. Wickliffe said that FCR 30 is the patent assignment report. Dr. Baldwin gave the Finance Committee a detailed report on the patent assignments for the period February 1 through March 31, 2005. The report includes six patent applications, and as of March 31, four patents have been approved. She noted that patent income through March 31 is $747,502. On behalf of the Finance Committee, she moved the adoption of FCR 30. Ms. Haney seconded her motion, and it carried without dissent. (See FCR 30 at the end of the Minutes.)

BB. Capital Construction Report (FCR 31)

Ms. Wickliffe said that FCR 31 is capital construction reports. Mr. Bob Wiseman thoroughly reviewed the report with the Finance Committee. There were five new contracts. She asked Mr. Wiseman to comment on the new contracts.

Mr. Wiseman reported that one of the new contracts was for the design of the patient bed tower for $500,000. He noted that is the preliminary programming only. The ultimate design of the patient bed tower could be from $28 to $30 plus million.

The second new contract was for the Memorial Coliseum expansion. The contract was to retain the services of a construction manager to assist in the process.

The third new contract was for the renovation of football practice fields. The construction contract to support the practice field renovation is awarded in this project.

The fourth new contract was for the renovation of the Commonwealth Stadium locker room, which involved the consolidation of the offensive and defensive locker rooms.

The fifth new contract was for the fit-up of the fourth floor of the BBSRB. This is for a design contract for the shell space on the top floor.

Mr. Hardymon pointed out that these are capital projects and not maintenance. These are longer term situations that the Board will see on the report for a while. The committee and the administration continue to work hard to watch the change notices and other things that can change the final dollars. The report includes the dormitories as well as a lot of other projects that are going on. He called for a second to the motion. Ms. Sparks seconded Ms. Wickliffe's motion, and it carried without dissent. (See FCR 31 at the end of the Minutes.)

CC: Establishment of University Hospital Standing Committee (FCR 32)

Ms. Wickliffe said that FCR 32 is the establishment of the University Hospital standing committee. This FCR abolishes the University Hospital affiliated corporation and establishes a new hospital committee as a standing committee of the Board of

Trustees. This corporation was created in 1986 to establish a governing body for the University Hospital. It was established as a 501(c)(3) corporation. The corporation never acquired any assets. It never conducted any operations, nor did it pursue becoming a 501(c)(3) corporation. The Board has functioned as a de facto committee of the Board of Trustees governing the University Hospital. On behalf of the Finance Committee she moved the adoption of FCR 32.

Ms. Young added that the Hospital Board had a preliminary adoption of this resolution at its meeting on May 9.

Ms. Wickliffe noted that the signed resolution from that Hospital Board was distributed to the Finance Committee members and others who attended the committee meeting. It was also given to the members of the Board prior to the meeting.

Ms. Young seconded the motion, and it carried without dissent. (See FCR 32 at the end of the Minutes.)

DD. Other Business

Statement on Undergraduate Education by Professor Michael Kennedy:

I will be leaving the Board at the end of June. I would also like to leave you with my paramount thought. I believe that major challenge facing this institution is undergraduate education. I do want to compliment the President and the Provost on considerable strides in UK's research, fund raising, service, graduate education, augmenting the medical center, and so on. These aspects of the University are doing well. Also several initiatives – winter term, scholarship enhancement, and others – have contributed to the undergraduate experience.

However not everything is going well in undergraduate education. This spring I sent a survey to those who teach at UK. Some 300 members of faculty responded. What the data show is that almost half of the responding faculty who teach lower division courses believe that the students are getting less than they did four years ago. And almost half also say that they are working harder to provide that instruction. Further, in comments, some state that the increased pressure from much larger classes is affecting their morale and taking time away from their research.

I've communicated with each of you, letting you know the difficulties encountered by those who teach undergraduates at UK. Among the solutions I see are to:

(1) raise the standards and admit fewer, better students, thereby allowing the teaching faculty to do their job without the strain that currently exists, or

(2) take the money that comes in from the increased tuition that we currently enjoy and use it to employ more faculty, thereby, again, allowing the teaching faculty to do their job without the strain that currently exists, and

(3) recognize undergraduate teaching, as well as research, in meaningful ways, such as promotion. It is not a coincidence that five of the six 2005 Alumni Association Great Teacher awardees, and the Provost awardees are associate, and not full, professors. To quote one of them: "The only way to promotion is research. Though much is made of excellence in undergraduate education, the tangible benefits of those actively pursuing it, often at the sacrifice of research, are few or none.

I have here, in computer readable form, Wildcat blue, the numerical data of the responses that the 300-plus faculty produced. I am happy to make it available to the administration or the Board of Trustees members who want to see it.

Thank you for indulging me.

Mr. Hardymon asked for any comments.

President Todd said that he felt compelled to say something. He appreciates the interest in undergraduate education because it is the fundamental thing. During the four-year period Professor Kennedy refers to, the university has had a significant reduction in staff and an increase in faculty. Provost Nietzel has been trying to use funds to go to the high-intensity areas. If the administration felt that the budget situation the last two years was a long-term, continuing thing, then there might be some cause to give in reducing the enrollment and so forth. The administration is under a dual pressure to educate more Kentuckians and graduate more Kentuckians. The faculty and staff, in his opinion, has held up extremely well during this tough financial period to get the university through. The administration is now going to be able to see some budget increases and recommitment by the state to funding higher education.

During the four-year period of time, President Todd said that he wondered whether the survey mentioned:

- the review of the undergraduate studies program that is being undertaken now along with the Senate,
- the $75,000 the administration has given to the Senate Council to study how to do more with less, which is a common problem across the United States in higher education,
- the Center for Undergraduate Excellence recently received a $1.5 million gift as a result of Provost Nietzel's action which was matched by the Bucks for Brains program to give a way to coagulate the outstanding things happening in undergraduate education, and
- the fact that the Honor's Program is being expanded.

President Todd said that in a tough time of financial duress, the administration has worked its way through it quite well. Undergraduate education must always be watched closely. He said that he appreciated Professor Kennedy bringing this to everyone's attention, but he thinks that given the times that the university faces the administration has done an awfully good job.

Ms. Sparks said that she would like to make a comment for the record. She reminded everyone that the Athletic Department transfers $1 million of their budget every year to the general fund for scholarships, and it is very much appreciated.

President Todd added that with the media package that was signed recently. the Athletics Department has committed to supporting 50 percent of five additional Singletary Scholars, which is the biggest cost item. Also, of the $740 million that has been raised in the billion-dollar campaign, less than 6 percent of that goes to the Athletics Department. As Professor Kennedy mentioned, 30 percent of Athletics' donors do give to academics. It has been quite a while since that figure was calculated, and it needs to be looked at again.

Recognition of Edward T. Breathitt's Family

President Todd commented that members of the Breathitt family had entered the Board Room. He said he was pleased to have Governor Breathitt's daughters, Linda Breathitt and Susan Brickman, and grandson, Blake Brickman, on campus. Lucy Breathitt, wife of Governor Breathitt, would be joining everyone at Memorial Hall. He invited everybody to attend the naming of Memorial Hall's Recital Hall in honor of Governor Edward T. Breathitt who, served as the chairman of the Board twice. He thanked the members of the family for coming to the ceremony and said he looked forward to seeing them at Memorial Hall.

Mr. Hardymon said that he thought about Governor Breathitt every time he sat in the chair's seat. He said he would say more things about Governor Breathitt at the ceremony.

Mitch Barnhart in Somerset, Kentucky

Ms. Wilson said that the community of Somerset was honored to have Mitch Barnhart visit Somerset High School on May 9 to help with presentations to the inductees of the Society of Character Hall of Fame. Everyone appreciated him taking the time to be with the students. It is very important that the university extend beyond the walls of Lexington.

EE. Meeting Adjourned

Mr. Hardymon said that this was a good meeting. The Board covered some long range items with regulations and capital projects. The Board learned that the financials

continue to be in good shape. It also learned of areas for improvement. With no further business to come before the Board, Mr. Hardymon adjourned the meeting at 2:30 p.m.

Respectfully submitted,

Elaine Wilson
Secretary, Board of Trustees

(PRs 2 and 3; SCGR 1; AACRs 1, 2, 3, and 4; and FCRs 1, 2, 3, 4, 5, 6, 7, 8, 9,10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, and 32, which follow, are official parts of the Minutes of the meeting.)

# PR 1

Office of the President
May 10, 2005

1. Dignitaries Dedicate New Biomedical Biological Sciences Research Building

University of Kentucky President Lee T. Todd Jr. was joined by Lexington Mayor Teresa Isaac for the official opening in mid-April of the $74.4 million Biomedical Biological Sciences Research Building (BBSRB), which will house some of the university's leading researchers who bring millions of dollars in federal funding to UK. Located on South Limestone, across from Kentucky Clinic, the structure houses 185,000 square feet of laboratory and workspace for several prominent faculty scientists from the UK College of Medicine, College of Pharmacy, and College of Arts and Sciences. The BBSRB was funded by $39 million in state bonds and $26 million in agency bonds. The remaining $9.4 million was drawn from university funds. Research teams are in the process of transferring materials to BBSRB. When fully occupied, the building will house about 400 faculty, staff, and students. The common thread binding these scientists together is the focus of their research on biomedical issues affecting humans.

2. UK, Kentucky Move Up in Key Rankings for Federal Research Funding

Fueled by continued growth at UK, Kentucky has made a significant jump in national rankings that measure the amount of research dollars generated. The Commonwealth of Kentucky is 30th – up from 33rd – in the most recent rankings of federal spending obligations by the National Science Foundation (NSF). At the same time, UK improved among the rankings of top research universities, moving up to 57th, from 60th. The rankings, which examine federal research grants and contracts awarded, cover fiscal year 2002. Kentucky is the only state in the nation to move up as many as three spots. Kentucky received a total of $191 million in federal awards – $132 million or 69 percent of which were federal awards to UK, according to the NSF rankings.

3. UK's 2004-2005 Research Awards Up 14 Percent

Awards received through the UK Research Foundation for July 2004 through March 2005 total $215,209,999, a 14 percent increase over the same period last year. Federal awards have increased from $108 million to almost $115 million, a 6.5 percent increase.

4. UK, OSU Researchers Collaborate on Black Raspberries as Cancer Treatment

The UK Center for Pharmaceutical Science and Technology has collaborated with Ohio State University (OSU) cancer researchers in developing the anti-cancer properties of black raspberries to fight head and neck cancer. Researchers at the universities have found that freeze-dried berries can inhibit the growth of several forms of cancer in rats and hamsters, so they have begun testing the berries in humans. OSU and UK faculty and the UK Center for Pharmaceutical Science and Technology collaborated for more than a year on the development of freeze-dried black raspberry gels for oral and topical cancer chemoprevention. Russell J. Mumper, associate director of the Center for Pharmaceutical Science and Technology, will oversee the production of the gel in the center. New treatment options are critical because oral cancers can be extremely difficult to treat. Surgery is normally used to remove early pre-cancerous lesions, but they tend to come back in nearly half of all cases, often developing into stubborn metastasizing tumors. The study will enroll 20 patients with oral lesions in the initial gel trial. Surgeons will remove a portion of the patients' lesions and perform a baseline analysis of them. Participants will be asked to apply the bioadhesive berry gel four times a day for six weeks. After that, the remaining portion of the lesion will be removed and additional studies conducted to see if the berries have altered the tissue's biological profile.

5. UK Creates Nation's First Fine Arts Extension Program, Launches in Pike County

UK has created the nation's first Fine Arts Cooperative Extension Program and chosen Stephanie Richards as its agent, based in Pikeville. The program is designed to provide fine arts services in rural communities. The university held a reception in late March in Pikeville to unveil the program. Remarks by Robert Shay, dean of UK College of Fine Arts; Scott Smith, dean of UK College of Agriculture; and Joey Collins, chair of the Pike County Cooperative Extension District Board, offered the public a glimpse of the new program. Entertainment spotlighted all forms of the arts, including performances by local mountain music vocalists and musicians, a storyteller, a guitarist, members of the Old Time String Band, and local vocalists. In addition, UK School of Music assistant professor of theory Kevin Holm-Hudson and associate professor of musicology Ron Pen offered an improvised composition. Visual arts objects also were on display, including 30 quilts, books from 10 local authors, and three-dimensional art pieces.

6. Gatton College Launches Innovative 11-month MBA Program

The UK Gatton College of Business and Economics has launched a bold and innovative Master of Business Administration program. The 11-month immersive MBA program was designed by what Dean D. Sudharshan describes as "a real-world savvy faculty, with significant input from the corporate world." Most traditional MBA programs require 18 to 24 months. The accelerated program does not mean students will be getting less "bang for the buck." Quite the contrary, students in the Gatton College's redesigned MBA will receive 880 hours of instruction, the same as many two-year programs. According to Mary Lee Kerr, director of the Gatton MBA programs, students will constantly move from the classroom to the lab and the field where they will refine and test concepts and methods, and apply cross-disciplinary thinking. The recruitment and admissions process is under way for the first cohort of 75 students in the redefined MBA program, which begins classes this July. Admission to the program is largely determined by a

student's potential to succeed, as judged by past educational attainment, work and leadership experience, and GMAT (graduate exam) scores. It is not necessary for a student to have been a business major at the undergraduate level.

7. President Todd Releases Paper on 'Using Athletics to Bolster Academic Innovations'

President Todd issued a white paper emphasizing the role of college athletics to support academic programs. In the paper "Using Athletics to Bolster Academic Innovations," Todd notes UK's athletics program is one of only 40 in the nation that is financially self-sufficient – a status that is allowing UK to become a national leader in using athletic resources to fund academic needs. He said UK Athletics recently agreed to give the university $1 million annually for 10 years for non-athletic academic scholarships. In addition, as a result of the recent $80.5 million multimedia rights deal between UK and Host Communications, UK Athletics is contributing $1.36 million to help fund five new scholarships for the Singletary Scholars program. Available online at www.uky.edu/President/III/athletics_success.pdf, the paper is one of a series of special position papers the president is authoring.

8. UK Student Wins Prestigious Harry S. Truman Scholarship

A UK student passionate about farming, public service, and leadership is among the nation's 75 students awarded the prestigious 2005 Harry S. Truman Scholarship. Ryan Quarles, a native of Georgetown, Ky., is UK's 12th Truman Scholar and the only Truman recipient from Kentucky or a Kentucky college or university this year. The Truman Scholarship is worth $30,000, with $3,000 earmarked for his senior year and $27,000 for two to three years of graduate study. The Truman Scholarships are national awards given to college juniors who demonstrate outstanding leadership and are devoted to careers in public service. Quarles' academic accomplishments include a triple major of agricultural economics, and public service and leadership – both in the College of Agriculture – and in political science in the College of Arts and Sciences. Quarles is set to graduate in December with all three degrees. In addition, he is working on a master's degree in agriculture economics and was recently accepted to UK's Patterson School of Diplomacy and International Commerce. As a Truman Scholar, he receives priority admission and supplemental funding at some premier graduate institutions, leadership training, career, and graduate school counseling; and special internship opportunities with the federal government.

9. UK Hospital Wins Designation as 'Primary Stroke Center' for Central, East Kentucky

UK Hospital is the first health care facility in Central and Eastern Kentucky to obtain distinction as a Primary Stroke Center. This important distinction came in mid-April from the Joint Commission on Accreditation of Healthcare Organizations (JCAHO), a national accrediting body. Stroke is the third most common cause of death in Kentucky and should be regarded as a brain attack, a medical emergency that is as serious as a heart attack. To be effective, tissue plasminogen activator (tPA), the only drug approved by the U.S. Food and Drug Administration for treatment of acute non-bleeding stroke, must be given no more than three hours after stroke symptoms begin. As a Primary Stroke Center, UK Hospital has specially trained doctors and support staff on hand for tPA administration 24 hours a day, seven days a week. This dedicated

team includes stroke neurologists and emergency medicine physicians, neurosurgeons, neuroradiologists, nurses, laboratory personnel, and CT technologists.

10. UK Libraries Wins Grant to Digitize Millions of Pages from U.S. Newspapers

The National Endowment for the Humanities (NEH) has awarded $310,000 to the UK Libraries for its participation in the first phase of an NEH and Library of Congress program to digitize 20,000,000 pages from U.S. newspapers in the public domain. UK is among only six institutions in the country receiving funding for this program. In the first two-year phase of the program, the UK Libraries will focus on digitizing more than 100,000 pages from Kentucky newspapers published from 1900 to 1910. During the term of the grant, UK and the other five institutions will develop processes that will be used by other libraries in subsequent phases of the program.

11. Engineering Students' BIG BLUE Project Part of New York Museum Exhibit

Experimental wings designed by UK engineering students for Mars exploration will be part of a six-month exhibition focused on technical textiles at the Smithsonian's Cooper-Hewitt, National Design Museum in New York. BIG BLUE, which stands for Baseline Inflatable-wing Glider, Balloon-Launched Unmanned Experiment, is a series of high-altitude test experiments to demonstrate new inflatable-wing technology. Like the current Mars rover airbags, the UK-developed wings are designed to be delivered to Mars in a compact package and would be deployed in the upper Martian atmosphere while descending under a balloon or parachute that would permit the wings to inflate and harden in sunlight. The aircraft would then detach to fly autonomously and gather a broad variety of scientific data as it glided to the planet's surface. Wings that inflated and hardened during a May 1, 2004, BIG BLUE experiment after being "launched" under a high-altitude weather balloon from near Denver, are part of "Extreme Textiles: Designing for High Performance," an exhibition at the Smithsonian Institution's Cooper-Hewitt, National Design Museum in New York City from April 8 through Oct. 30. BIG BLUE – unique among Mars exploration projects because of its use of inflatable-wing technology – has been a senior design project at the UK College of Engineering since 2002. In all, nearly 200 students have contributed to the project under the oversight of mechanical engineering associate professor Suzanne Weaver Smith. The project has involved students in mechanical engineering led by Smith and associate professor Jamey Jacob and in electrical and computer engineering led by associate professors James Lumpp and William Smith and assistant professor Dan Lau. More information on the museum exhibit is available online at http://ndm.si.edu/EXHIBITIONS/index.html.

12. New Program Seeks to Reduce Campus Pedestrian, Motor Vehicle Accidents

In response to the recent increase in the number of pedestrian and motor vehicle collisions on and near campus, UK launched the PAWS (Pedestrian Awareness and Safety) campaign. The goal of the project is to enhance awareness of pedestrians and drivers to the dangers of collisions and decrease the number of incidents. The increase in collisions has been predominantly in the South Limestone area between Rose Street and Euclid Avenue. During the past three months, one fatality and one serious injury, both involving UK employees, occurred.

In 2004, there were nine pedestrian and vehicle collisions; to date in 2005, five such collisions have been reported to UK Police. The PAWS campaign was planned in three phases: a media blitz to announce the measures, an allocation of additional police officers to the South Limestone and Rose Street areas to distribute pamphlets and issue warnings for violations, and finally having officers issue state citations for both pedestrian and motor vehicle violations. Research has shown that similar campaigns are not completely effective unless monetary fines are levied. A series of recommendations also has been made to install additional crosswalks at Rose Street in front of the Chemistry Physics Building, South Limestone in front of Gate 1, and Avenue of Champions (Euclid Avenue) at Lexington Avenue.

13. Todd Presents Third Annual President's Awards for Diversity

In mid-April, President Todd presented the third annual President's Awards for Diversity in honor and appreciation of those who have demonstrated outstanding efforts toward advancing UK's mission of embracing diversity while maintaining academic excellence. Receiving the awards were:

- The Lexington Area Small Business Development Center, part of the Gatton College of Business and Economics, for its outstanding service to minorities and disabled business people; University Extension for its work promoting diversity for the last 75 years including enrollment of African-American students as early as 1931;
- Lynda Brown Wright, chair, Department of Educational and Counseling Psychology, College of Education, for her efforts to educate, inform and challenge her colleagues and students on issues of diversity;
- Mae Marie Quan, associate program administrator for the Area Health Education Centers, for her work with cross-cultural communications and sensitivity training in the Colleges of Medicine, Pharmacy, Dentistry, Health Sciences and Nursing; and
- Students Miguel Carlin, undergraduate in agricultural education, communications and leadership, College of Agriculture, for his advocacy for Hispanic students and students with disabilities at UK, and Geethani Delgoda, undergraduate in computer science, College of Engineering, for her efforts to educate her peers about the diverse backgrounds and cultures of students at UK.

14. Two UK Faculty Receive Major Grants

Two UK researchers have received federal grants in excess of $1 million each for work on problems involving health and disease. The National Cancer Institute awarded Liya Gu, pathology, a $1.17 million grant to research the molecular mechanisms underlying leukemia relapse. Tae Ji, chemistry, was awarded nearly $1.3 million from the National Institute of General Medical Science to continue his work on G protein coupled receptors, the major hormone receptors. Receptors generate multiple signals, which are a source of undesirable and toxic side effects of hormone therapeutics.

15. UK Opens Women's Place, Designed to Help Prevent Violence Against Women

UK has opened Women's Place, a new establishment on campus working toward prevention of and protection from violence against women. Dorothy Edwards was named its director. The center was opened at 153 Bowman Hall to provide a central point on campus for

coordinating victim services, to train faculty in how to offer support, and to give women a safe place for information and resources about violence. In addition to expanding intervention efforts, Edwards said that the UK Women's Place will focus much of its time on the development and implementation of prevention programming throughout campus. The Women's Place has already partnered with Residence Life, Counseling and Testing, University Health Services, several dean's offices, faculty, and other campus organizations to begin educating students about violence against women. Edwards has a doctoral degree in counseling psychology and has worked on college campuses for the past 10 years.

16. UK Alumna Premieres Her Award-winning Drama at Alma Mater

In mid-April the UK Theatre Department presented the world premiere of an award-winning drama titled ''The Noise in the Room," by UK alumna Lauren Argo. The play is the winner of the inaugural James W. Rodgers Playwriting competition. Bo List, an artistic associate with Chicago's Baliwick Theatre and a UK alumna, directed UK's production. The past meets the present and changes the future in "The Noise in the Room," a drama based on real-life events. Argo, a 1994 UK graduate, created the work from diaries she found shopping the famed U.S. Highway 127 yard sale in the Danville area and through researching the diaries' former owner. Mirroring reality, the play's college student, Elizabeth Key, finds the 90-year old diaries of Carolyn Taylor, a long-deceased native of Augusta, Ky. Elizabeth buys the diaries and begins studying the life of the strong-minded suffragette. Soon, Elizabeth finds herself on a journey unlike any other she's ever experienced. As she learns more about Carolyn Taylor, Key learns more about herself.

17. Singletary Center Hosts 2005 Arts Education Showcase With Kentucky Center

The UK Singletary Center for the Arts, in partnership with the Kentucky Center, hosted the 2005 Arts Education Showcase on March 15. The artists exhibited their talents and educational offerings at UK for teachers from across the state. The showcase, a one-day event, familiarizes Kentucky educators with performing arts, visual arts, musicians, storytellers, arts organizations, and cultural institutes available as resources to their schools. Each artist was screened for artistic and educational quality. The event gave the artists the opportunity to market their talents to the educational community. In turn, teachers and the public got a chance to sample the educational value of a wide variety of engaging and entertaining artists.

18. Medical Students Raise $11,000 to Benefit South Asia Tsunami Survivors

Students from the UK College of Medicine supported the efforts of organizations providing humanitarian relief to the areas devastated by the South Asia tsunami by raising nearly $11,000. After discussing fund-raising ideas with College of Medicine Dean Jay A. Perman and the Medical School Government Association and receiving positive feedback, the fund-raising efforts, "Giving From the Heart," began Jan. 10, 2005, and extended until Valentine's Day. Student ambassadors asked their fellow students to be creative in thinking of how they might be able to contribute by making small sacrifices. The proceeds of the fund-raiser went to Oxfam America, a Boston-based international development and relief agency that was a provider of clean water and other supplies in Bandeh Aceh, Indonesia, one of the hardest-stricken areas.

19. Nineteen of 22 UK Sports Teams Exceed NCAA Standard for Progress

The UK Athletic Association Board of Directors heard an update on the NCAA-mandated Academic Progress Rate (APR) for student athletes that showed 19 of the university's total of 22 intercollegiate sports teams exceeded the NCAA standard for progress toward a degree for students receiving athletic scholarship aid. Sandy Bell, UK's associate director of athletics for compliance, said UK's overall APR score is well above the national average and ranks second only to that of Vanderbilt University among the 12 Southeastern Conference schools.

20. College of Engineering Inducts Five Into Alumni Hall of Distinction

Five people, including the chief financial officer of a major international finance corporation and the founder of two electronics companies, were inducted into the College of Engineering Alumni Hall of Distinction on April 8. This year's inductees are Deane Blazie, whose interest in solving problems faced by the blind led to the first synthetic speech device, the Talking Telephone Directory; Michael L. Marberry, currently chief financial officer of J. M. Huber Corp.; Robert W. Vaughn, whose company Vaughn & Melton played a central role in the Cumberland Gap Tunnel, completed in 1996 and recently selected as ranking among the top 100 projects of the last century by the American Road and Transportation Builders Association; Harry L. Washburn, formerly president and CEO of North American Coal Corp. in Cleveland; and James H. Young, a professor at North Carolina State University who developed computer models for tobacco curing and other products.

21. Gatton College Inducts Rodney Lanthorne Into Alumni Hall of Fame

The UK Gatton College of Business and Economics inducted Rodney N. Lanthorne, president of Kyocera International into its Alumni Hall of Fame in early April. Lanthorne, who began working with Kyocera in 1979, was named president of North American operations in 1987 and became a director of the parent company in 1988. The San Diego-based holding company for the North American operations of Japan's Kyocera Corporation, Kyocera makes a range of advanced ceramic components for electronic and structural applications to wireless phones, document solutions equipment, solar energy systems, cutting tools, medical and dental implants, and ceramic cutlery. Kyocera also makes a variety of other products from semiconductor casings to knives and utensils.

22. Journalism Hall of Fame Inducts Five, Including UK School Co-founder

The Kentucky Journalism Hall of Fame inducted six journalists during ceremonies in mid-April. The 2005 Hall of Fame members are Robert R. Adams, student publications director at Western Kentucky University; Gene Clabes, former owner of the Recorder Newspapers and past president of the Kentucky Press Association; Lee Denney, news director/anchor for WBKR-FM and WOMI-AM; Bob Johnson, former reporter and editor for the Louisville *Courier-Journal*; Marguerite McLaughlin (deceased), co-founder of the UK School of Journalism; and Bob Schulman, formerly with *The Courier-Journal* and WHAS-TV.

23. New Geological Survey Map Details Eastern Kentucky's Big Lime Formation

A newly published map from the Kentucky Geological Survey (KGS) provides details about the nature of an Eastern Kentucky oil- and natural gas-producing geologic formation known as the Big Lime. Two KGS geologists, David Harris and Thomas Sparks, compiled data from more than 6,400 existing oil and gas wells for the project. Using a computer contouring program, they created the map of the 9,400-square-mile area. Harris and Sparks determined that an average oil or gas field in the Big Lime contains 30 to 40 wells with a potential to produce from 1 million to 1.5 million barrels of oil or 4.5 billion to 6 billion cubic feet of natural gas. As the price of imported energy sources rises, interest in cheaper domestic oil and gas increases, making maps like this one more valuable to the domestic energy industry.

24. Ten Rehabilitation Sciences Students Spend Spring Break on Ecuador Missions

Ten students from the UK College of Health Sciences Department of Rehabilitation Sciences spent their spring break between internships doing mission work for underserved children in Ecuador. The medical service/mission trip to the Child Development Center, named "El Nino," was in the southern outskirts of Quito, Ecuador. The facility was created in response to the needs of hundreds of families in South Quito who do not have the financial resources necessary to provide adequate care for their children with various disabilities. The students held fundraisers and received donations from organizations and companies from all over the United States to help with the costs of the trip. Students also purchased items on their own.

25. UK's Men's Golf Team Wins University's First SEC Championship

UK's men's golf team won its first-ever Southeastern Conference championship in mid-April at the SEC's tournament at Sea Island, Ga. UK, ranked 18th nationally, finished the 54-hole tournament two shots ahead of No. 7 Auburn, eight ahead of No. 2 Georgia, and 13 ahead of No. 6 Florida. Coached by Brian Craig, UK was represented by Mark Blackfield, John Holmes, Matt Kohn, Brandon Waldrop, and Matt Wells.

26. Irish Student Services Representatives Study UK's Methods

Five members of the Confederation of Student Services in Ireland (CSSI) visited UK for three days in mid-March to learn about higher education and student services in the United States. The five members, hosted by the UK Student Affairs Division, were Mary Clayton, vice president for students, University College Dublin; Mary O'Riordan, vice president for student services and human resources, National University of Ireland, Galway; Bruce Misstear, senior lecturer and dean of students, Trinity College Dublin; Brian Gormley, coordinator/director of student services, National University of Ireland-Maynooth; and Linda Murphy, student affairs manager, Dundalk Institute of Technology. The delegation's visit was part of an international exchange agreement between the CSSI and the National Association of Student Personnel Administrators (NASPA), which has agreements with 10 countries around the world to either host delegations from those countries in the United States or to send American delegations to those countries in alternate years. The NASPA International Exchange Coordinator was Patricia S. Terrell, UK vice president for student affairs.

27. Kentucky Geological Survey Seismic Network Records 8.7 Indian Ocean Earthquake

When an earthquake struck off the coast of Sumatra on March 28, registering 8.7 on the Richter Scale, the Kentucky Geological Survey, through its Kentucky Seismic and Strong Motion Network, detected and recorded the seismic waves 20 minutes later. This series of instruments, operated jointly by KGS and the UK Department of Geological Sciences, helps researchers gather information on the seismic activity of Kentucky and the central United States.

28. UK's Mentoring Effort Pays Off for Student Who Wins Invention Award

UK's efforts to mentor a young man have paid off in a national award. David Nima Meigooni, a University of Louisville freshman with a first-semester-junior standing in molecular biology, recently was selected for induction into the National Gallery for America's Young Inventors™ for his research "A Novel Method for Enhancing Prostate Cancer Radiosensitization by Natural Compound Curcumin." Meigooni's research investigated the application of curcumin (a natural compound derived from the plant *curcuma longa* – it is the molecular form of turmeric, which is used as a spice and a dye in Indian foods) as a mode of making prostate cancer cells more sensitive to radiation treatment. By making cancer cells more sensitive to radiation, smaller doses of radiation can be used effectively to treat the prostate cancer. The research was conducted during his senior year at Paul Laurence Dunbar High School in Lexington, while he was mentored through the University of Kentucky Department of Radiation Medicine. His entry was chosen for the prestigious honor from a large group of highly qualified applicants. He received a $5,000 U.S. Series EE Savings Bond, a Christopher Columbus Fellowship Foundation award, a compass medallion, and a piece of original art at the National Gallery Induction weekend April 28-May 1 in Akron, Ohio.

29. *Washington Post*'s Editor Presents 2005 Joe Creason Lecture

Leonard Downie Jr., executive editor of *The Washington Post*, presented the 2005 Creason Lecture on April 19 in Memorial Hall. Downie has been executive editor of the *Post* since 1991. He began his career at the Washington newspaper as a summer intern in 1964, then worked on the paper's metro staff and was managing editor before being named executive editor. Downie's other duties at the *Post* included helping supervise the paper's Watergate coverage and a stint as a London correspondent. The Creason Lecture is supported by an endowment from the Bingham Foundation of Louisville.

30. English Lecture's Essay on Mountaintop Removal Mining Is Published in *Harper's*

A UK English lecturer's essay about the impact of mountaintop removal on the environment and life of Appalachia was featured prominently in the April issue of *Harper's Magazine*. Erik Reece's piece, "Death of a Mountain," follows the yearlong mining process that resulted in the leveling of Lost Mountain in the Appalachian Mountains of Eastern Kentucky. *Harper's Magazine* is considered one of the leading literary essay magazines in the country. Interspersed among the journal-like entries that detail the mining process and its impact on the eco-system of the area is a passionate critique of the mining industry permitting process and the

communities and people that have depended on the coal industry for generations. The article is part of a book Reece plans to publish in 2006. The article and book were the focus of a front-page article in the *Lexington Herald-Leader* in mid-April.

31. UK Joins Worldwide Celebration of 400th Anniversary of *Don Quixote* Publication

UK joined a worldwide celebration of the 400th anniversary of the publication of Miguel de Cervantes' great novel, *Don Quixote*, second only to the Bible as the world's most translated book, in mid-April. The Department of Hispanic Studies in the College of Arts and Sciences sponsored a public lecture by John J. Allen and Patricia Finch in the Niles Gallery of the Lucille Little Fine Arts Library on the UK campus and readings of *Don Quixote* in both Spanish and English each day of the weeklong celebration by faculty, students and guest readers from UK and four other regional colleges and universities.

32. Photo and Oral History Exhibit on Kentucky Holocaust Survivors Opens at UK

"This Is Home Now: Kentucky's Holocaust Survivors" is a new documentary photograph and oral history exhibit by English faculty member and director of the Kentucky Women Writers Conference Rebecca Howell and oral historian Arwen Donahue. The exhibit will open May 12 at the Lexington History Museum where it will remain until January 2006. An opening reception begins at 5:30 p.m. Thursday, May 12, at the museum, followed by a conversation with the survivors featured in the exhibit at 7 p.m. Both events are free and open to the public. Howell, who photographed the nine Kentucky residents, said a vast majority of Holocaust survivors who came to the United States after World War II made their homes in major urban centers where they found Jewish communities. She and Donahue wanted to capture the stories of how these Jewish settlers found their way to Kentucky, far from those major cities.

33. Health Care Experts Come to Lexington to Meet With Cooperative Extension Agents

More than 200 experts from all disciplines of health care, as well as representatives of universities, government, and the private sector joined Cooperative Extension professionals in Lexington in mid-April as UK played host to the 2005 Priester Extension National Health Conference. Focusing on the theme, "Translating Research into Policy and Practice for Healthier Communities," the conference featured speakers ranging from the president of the American Medical Association, John C. Nelson, to Terry Nipp, executive director of the National Institute for Agricultural Security. Topics addressed included health literacy, food security, the rural health care shortage, and telemedicine.

34. Institute Sponsors Inclusive Theater Workshop at Lexington Library

The UK Interdisciplinary Human Development Institute co-sponsored an Inclusive Theater Workshop on March 12 at the Lexington Public Library's Central Branch. The Inclusive Theater Workshop featured the Theater of Inclusion group, based in Indianapolis, Ind. The performance-based workshop used a combination of movement, text, drawing, sculpture, personal narrative, and performance to address the real and perceived differences that can exist between individuals with disabilities and those who are not currently disabled. The workshop was co-sponsored with

Latitude of Lexington, a community arts day program designed to create meaningful, inclusive community interactions through the arts.

35. Geography Department Prepares New, State-of-the-Art Teaching Facility

The Department of Geography is preparing to open a new, state-of-the-art teaching and research facility in the White Hall Classroom Building. The facility will include computers which run geographic information system software and the Global Positioning System, technology that has replaced paper maps as the primary decision-making tool for engineers, planners, and others engaged in modifying and protecting the environment. The facility is made possible by a $100,000 contribution from the College of Arts and Sciences Dean's Office and $25,000 from the Office of the Executive Vice President for Research.

36. Lexington 4-year-old Represents Kentucky at Children's Miracle Network Event

Ryan Gallagher of Lexington, now a healthy 4-year-old, represented Kentucky on a mid-March trip to Washington, D.C., on behalf of a Children's Miracle Network program called Foresters Champions Across America and Canada. Ryan, his parents Chris and Kristin Gallagher, and his twin brother celebrated his good health with a tour of the White House where they visited First Lady Laura Bush and shared Ryan's survival story with the world. Ryan was treated at UK Children's Hospital. Ryan went to Washington as an ambassador for 17 million hospitalized children.

37. Student Awards and Achievements

Rungrat Chaisamrej, Communications and Information Studies graduate student, has received the $1,000 Westley Memorial Graduate Scholarship, given annually to a graduate student in communication emphasizing mass communication research and communication research and theory who has demonstrated academic excellence. The award is in memory of Bruce H. Westley, former director of the School of Journalism.

Rachel Friberg, Journalism and Telecommunications, took honors in the Best TV Feature category at the statewide student competition of the Associated Press broadcasting awards in mid-April.

Elizabeth Green, Journalism and Telecommunications, won Best TV Reporter and also earned second place honors in the Best Radio Reporter division at the statewide student competition of the Associated Press broadcasting awards in mid-April.

Ritchie A. Katko, Landscape Architecture, earned an honorable mention in the college-level national Landscape Design Student Competition. Forty entries from seven universities were submitted.

Miho Nozaki, Ophthalmology and Visual Sciences postdoctoral scholar, received a grant from the Association for Research in Vision and Ophthalmology and the Japan National Society for the Prevention of Blindness.

Giulia Pagano, Dentistry first-year student, attended the American Dental Association's 41st annual Dental Students' Conference on Research held at the National Institute of Dental and Craniofacial Research and the Paffenbarger Research Center in Washington, D.C., April 9-12.

Molly Thurmond and Bryan Christensen, Dentistry third-year students, received national scholarships from the Thomas P. Hinman Dental Society. The awards were presented March 19, at the 93rd Hinman Dental Meeting in Atlanta.

Gaston Toolo, Dentistry third-year student, was selected for February's front cover of the *Journal of Dental Education*, the American Dental Education Association's national monthly publication.

Wenli Yuan, Communications and Information Studies graduate student, received the $500 Sypher Memorial Graduate Scholarship, given annually to a graduate student in communication whose emphasis is in interpersonal, organizational, or mass communication research and who has demonstrated academic excellence. The award is in memory of Martha and Howard Sypher, parents of Howard E. Sypher, a former member of the graduate faculty.

38. Faculty and Staff Awards and Achievements

Ann Amerson, Pharmacy, and Carol Jordan, Center for Research on Violence Against Women, received the Women's Forum's 12th annual Sarah Bennett Holmes Award.

Victoria Bradley, UK Hospital, received the Nursing Information Leadership Award from the Healthcare Information and Management Systems Society for enterprise-wide integrated clinical information system implementation at the UK Hospital.

Francie Chassen-Lopez, History, received the Rocky Mountain Council for Latin American Studies' Thomas McGann Prize for the Best Book published in 2004 for *From Liberal to Revolutionary Oaxaca: The View from the South, Mexico 1867-1911.*

Leslie Crofford, Rheumatology, published a study of a drug that reduces the pain of fibromyalgia and improves sleep in the peer-reviewed journal *Arthritis & Rheumatism.*

Debbie Curl-Nagy and Mary Secret, Social Work, presented "Assessing Student Mastery: A Systematic and Integrated Approach to Educational Program Evaluation" in March 2005 at the Council on Social Work Education, 2005 Annual Program Meeting in New York City.

Ronald D. Eller, History, and Susan Larson, Hispanic Studies, received National Endowment for the Humanities Summer Stipends Awards to devote two uninterrupted months to research and writing. Eller received the award for "The Politics of Poverty and Development: Appalachia and the Great Society, 1945-2000," and Larson received the award for "The Politics of Place in Post-Cambio Madrid, 1982-2000."

Gretchen Ely, Social Work, recently published, "Adolescent Dating Violence on School Campuses" in the *Journal of Evidence-Based Social Work.*

Francis Robert (Frank) Ettensohn, professor of geological sciences at the University of Kentucky, received a Fulbright Fellowship grant to study and teach in Nepal. Ettensohn, who has a doctorate in geology from the University of Illinois at Urbana-Champaign and who began teaching at UK in 1975 as an assistant professor of geology in the College of Arts and Sciences, will teach and conduct research at the Kirtipur branch of Tribhuvan University near the city of Kathmandu from February through July 2006. This is the second Fulbright grant Ettensohn has received. From February through June 1989, he served as a lecturer and researcher in the former Soviet Union in Novosibirsk, Siberia.

Joseph L. Fink III, Pharmacy, was recognized by the Ohio Valley Affiliates for Life Sciences (OVALS) for his role as a founder of the organization and leadership as a member of the Steering Committee from 2002 to 2005. He received the award at OVALS' Third Annual

Conference in Dayton, Ohio. OVALS was created in 2002 as a forum to discuss and stimulate economic development opportunities for life sciences within the Ohio Valley region.

Jimmi Hatton, Pharmacy, has been inducted as a Fellow in the American College of Critical Care Medicine (ACCM). The ACCM is part of the Society of Critical Care Medicine with the mission of fostering the highest goals of multi-professional critical care medicine.

Jamey D. Jacob, Mechanical Engineering, has been selected to receive the 2005 Ralph R. Teetor Educational Award by the Society of Automotive Engineers (SAE).

Robert Olson, History, has had his book, *Turkey-Iran Relations, 1979-2004: Revolution, Ideology, War, Coups and Geopolitics* (2004), translated and published in Turkish. This is his second book to be translated into Turkish.

David M. Olster, History, was awarded a Fulbright Fellowship to perform research on the use of religious rhetoric as a self-legitimating tool at the University of Tuebingen in Tuebingen, Germany.

Angel Rubio, Markey Cancer Center, has been appointed to a three-year term on the Governing Board of the Intercultural Cancer Council, the nation's largest multicultural health policy organization.

Mary Secret, Social Work, co-presented along with Marcie Pitt-Catsouphes, assistant professor at Boston College, "Incorporating Work-Family Scholarship into Social Work Education: An Online Reading Seminar," in March 2005 at the Council on Social Work Education, 2005 Annual Program Meeting in New York City.

Mary Secret, Social Work, co-presented along with Marcia Harrigan and Beverly Koerin, associate professors at Virginia Commonwealth University, "Eldercare and Employed Caregivers: A Public/Private Responsibility?" in March 2005 at the 3rd Annual National Gerontological Conference, New York City.

Arnold J. Stromberg, Statistics, was awarded a patent for a Nonparametric Control Chart. The patent provides an improved method for detecting changes in variability in a data stream.

Bill Thomas, Geological Sciences, was named president of the Geological Society of America, a major international geological organization with more than 17,000 members, most from North America.

Paul Vincelli, Plant Pathology, has been awarded a Fulbright Scholarship to conduct research on improved forecasting of vegetable diseases with scientists at the National Institute of Agricultural Research in Uruguay.

Rick Waterman, Political Science, received the American Society for Public Administration's Laverne Burchfield Award, for the best book review essay published in the journal *Public Administration Review* during the year 2004.

David Wise, Entomology, has earned a Fulbright Fellowship to teach a graduate ecology course at the University of Buenos Aires. His course is titled "Generalist Predators and the Dynamics of Terrestrial Food Webs."

39. Faculty Research Activities

Wendell Akers, Research Challenge Trust Fund-Clinical Pharmaceutical Science, Open-Label Study Assessing the Pharmacokinetics and Pharmacodynamics of a Cangrelor Bolus Plus Infusion in Healthy Volunteers.

Jayakrishna Ambati, Ophthalmology, $100,000, Molecular Mechanisms of Subretinal Debris Removal in the Ccr2-/- Mouse Model of AMD

Jayakrishna Ambati, Ophthalmology, $70,000, Macrophage Scavenger Receptors in the Ccl2-/- x Ccr2-/- Mouse Model of AMD.

James Anderson, Internal Medicine, Overweight Children with the Metabolic Syndrome Benefit for Ready to Eat Cereals.

James Anderson, Internal Medicine, Randomized Double-Blind Placebo Controlled Multi-center Phase III Study of Rosuvastatin (CRESTOR) 20 mg in the Primary Prevention of Cardiovascular Events Among Subjects.

Warren Anderson, Kentucky Geological Survey, $205,401, Generating 1:100,000 Scale Maps for a Statewide 1:350,000 Compilation Map.

Rodney Andrews, Center for Applied Energy Research, $25,739, Nano-composite Materials Using Multi-wall Carbon Nanotubes and Termoplastic Elastomers.

John Anthony, Chemistry, $27,000, Self-Assembled n-Type Semiconductors for Spray-able Photovoltaic Devices.

Razvan Arsenescu, Internal Medicine, Prospective Open Label Study to Evaluate the Adacolumn Apheresis System for the Treatment of Moderate to Severe Ulcerative Colitis.

Mary Arthur, Forestry , $37,362, Collaborative Research: Influences of Geology and Tree Species Composition on the Response of Forest Nutrient Dynamics to an Exotic Pest.

Sergey Artiushin, Veterinary Science, $34,892, New Tools for Detection of Leptospira in Horses.

Leonidas Bachas, Chemistry, $64,000, REU Site. Undergraduate Research Experiences in Function Materials.

John Baker, John, OISTL/Engineering Distance Learning, $25,000, Active Control of Blade Dynamic Response Due to Fluid/Structure Interaction in Turbo-machinery: Phase IV.

Christopher Barton, Forestry, $7,257, Influence of Soil Physicochemical Properties on Hydrology in Carolina Bay Wetlands.

Carol Baskin, Biological Sciences, $2,000, Seed Dormancy in Nymphaeaceae

Esther Black, Pharmaceutical Technology, $398,372, Kentucky Cancer Experimental Therapeutics Program Scope Account for Black.

Jane Bryant, Medical Center Library, $10,000, Health Information and Patient Education-Resources and Training for the Salvation Army Student-Run Free Clinic.

Susan Cantrell, Education Reform and Research, $25,000, Kentucky Adult Educator Literacy Institute - Scope Account.

Susan Cantrell, Education Reform and Research, $200,000, Expansion of Content Literacy in Middle and High Schools Classrooms.

Jinhui Chen, Spinal Cord and Brain Injury Research Center, $293,554, Wnt Singaling Pathway and Neural Stem Cell Fate Determination.

Julia Costich, Health Services Management, $10,000, Survey Analysis on Uninsured Children at Louisville Safety Net Clinics.

Julia Costich, Preventive Medicine and Environmental Health, $5,000, Transporting Low-Income Northern Kentucky Applicants for Child Health Coverage.

Mark Coyne, Plant and Soil Sciences, $3,500, Fate of 15n-Labeled Organic Nitrogen in Conventionally Managed and Organic Farming Systems.

Joseph Crabtree, Kentucky Transportation Center, $12,000, Assistance in Preparation of Kentucky's Commercial Vehicle Safety Plan, Research Study-Federal no. 12.

Trevor Creamer, Molecular and Cellular Biochemistry, $135,173, Sequence Dependence of Polyproline II Helix Formation.

Mark Crocker, Center for Applied Energy Research, $56,823, Novel Concepts for Low Temperature Hydrocarbon SCR Catalyst Development.

Leslie Crofford, Internal Medicine, $346,177, Microsomal Protaglandin E Synthase in Rheumatoid Arthritis.

Peter Crooks, Pharmaceutical Technology, $22,000, Bone-targeting and Bone Anabolic Compounds.

Richard Crosby, Health Behavior, $17,675, Georgia Division of Public Health Behavioral Surveillance.

Ralph Crystal, Ralph, Special Education and Rehabilitation Counseling, $22,680, UK-2005 Client Survey.

James Currens, Kentucky Geological Survey, $13,230, Delineation of Miller Cave Karst Groundwater Basin for Relocation Kentucky 163, Monroe County, Kentucky.

Sylvia Daunert, Chemistry, $11,660, Modified Photoproteins - Laura Rowe Supplement.

Jose De Leon, Psychiatry, $50,000, Antidepressant Medication Intolerance and Pharmacogenetics of the Serotonin System.

Alan Daugherty, Internal Medicine, $26,227, Sphingolipids and Cardiovascular Disease Type I Diabetes.

Willem De Villiers, Internal Medicine, Multi-center Randomized Double-blind Placebo Controlled Study of Human Anti-TNF Monoclonal Antibody Adalimumb for the Induction of Clinical Remission in Subjects with Moderate to Severe Crohn's Disease.

Willem De Villiers, Internal Medicine, Multi-Center Open Label Study of the Human Anti-TNF Monoclonal Antibody Adalimumab to Evaluate the Long-Term Safety and Tolerability of Repeated Administration of Adalimumb in Subjects with Crohn's Disease.

Willem De Villiers, Internal Medicine, A Phase III Multi-center Open Label Long Term Study of the Safety Tolerablity of Intravenous Natalizumab in Chron's Disease Subjects Who Have Previously Participated in Natalizumab Chron's Disease Study CD35.

Mark Dignan, Kentucky Community Cancer Program, $26,460, Native Network Cancer Resources Center.

James Dinger, Kentucky Geological Survey, $50,000, Development of Community Water Supplies.

Rebecca Dutch, Research Challenge Trust Fund-Biochemistry, $294,600, Proteolytic Cleavage of the Hendra Virus Fusion Protein.

Moshe Elitzur, Physics and Astronomy, $41,320, A Web-based Analysis Tool for Active Galactic Nuclei.

Virginia Ellington, Family Studies, $4,300, Improving the UK Pre-service Teacher Education Program in Agriculture Through Faculty Professional Development.

Deborah Erickson, Urology, $97,449, Urine and Blader Markers of Interstitial Cystitis.

Toufic Fakhoury, Neurology, A Multi-center, Double-blind, Randomized, Parallel-group Evaluation of LAMICTAL Extended Release Adjunctive Therapy in Subjects with Partial Seizures.

Robert Fehr, CES/Biosystems and Agricultural Engineering, $25,000, EnergyStar Energy Efficient 2005 State Fair Exhibit.

Robert Fehr, CES/Biosystems and Agricultural Engineering, $20,000, 2005 EnergyStar Equipment.

Gary Ferland, Physics and Astronomy, $4,860, Physical Processes in Orion's Veil: A High Resolution UV Absorption Study of the Line of Sight Towards the Trapezium

Gary Ferland, Physics and Astronomy, $83,000, Understanding Interstellar Molecular Hydrogen: Unraveling the Message of its Spectrum.

R. Fisher, Kentucky Geological Survey, $85,000, Groundwater Quality Monitoring for Nonpoint Source Chemicals in the Jackson Purchase Region of Kentucky.

Bernard Fleming, Interdisciplinary Human Development Institute, $73,348, Accessibility Training for Kentucky One-Stops.

Michael Fried, Molecular and Cellular Biochemistry, $19,867, Translocation and Function of Nuclear Ferritin.

Gisela Garcia, Computational Sciences, $249,000, Evolutionary Species Responses to Global Environmental Changes.

James Geddes, Spinal Cord and Brain Injury Research Center, $99,883, Timing and Duration of Calpain Inhibition Following SCI.

Paul Glaser, Psychiatry, $73,650, Center of Biomedical Research Excellence in the Molecular Basis of Human Disease - Pilot Project.

Li Ronnie Graves, Kentucky Transportation Center, $100,000, Warranty Evaluation I-275 BOone/Kenton Counties MP 1.05 - 7.15, Federal Aid Research Task no. 142.

Li Ronnie Graves, Kentucky Transportation Center, $100,000, Warranty Evaluation I-65 Warren County 35.6 - 40.0, Federal Aid Research Task no. 143.

Richard Greenberg, Internal Medicine, A Double-blind Randomized Controlled Phase III Study to Assess The Prophylactic Efficacy and Safety of gD-Alum/MPL Vaccine in the Prevention of Genital Herpes Disease in Young Women Who Are HSV-1 and -2 Seronegative.

Richard Greenberg, Internal Medicine, Phase IV, Multi-center Cross-sectional Study to Evaluate the I50L Substitution among subjects Experiencing Virologic Failure on a HART regimem containing Atazanavir (ATV).

Martha Greenwood, Pediatrics, $19,411, Children's Oncology Group Chair's Grant.

Martha Greenwood, Pediatrics, Children's Oncology Group Per Patient Payment.

Jennifer Grisham-Brown, Special Education and Rehabilitation Counseling, $5,000, Development of Early Childhood Course to Meet SHIPP Competencies.

Eric Grulke, Chemical and Materials Engineering, $59,907, Ultrasonicatin Process forImproved Biodiesel Production.

Carol Hanley, Tracy Farmer Center for the Environment, $3,000, Partnership for a Green City.

Carol Hanley, Tracy Farmer Center for the Environment, $36,610, Comprehensive Commonwealth Water Education Project.

Carol Hanley, Tracy Farmer Center for the Environment, $36,610, Comprehensive Commonwealth Water Education Project.

Carol Hanley, Tracy Farmer Center for the Environment, $33,350, The Living Stream Units of Study.

Issam Harik, Civil Engineering, $47,466, CTI Academy Hazard Mitigation for Transportation Systems - A Web-Based Short Course on Seismic Evaluation of Bridges.

David Harris, Kentucky Geological Survey, $41,065, A Systems Approach to Identifying Exploration and Development Opportunities in the Illinois Basin: Digital Portfolio of Plays in Underexpored Lower Palezoic Rocks.

Donald Hartman, Kentucky Transportation Center, $14,685, CTI Academy Roundabout Project: An Information Guide and Workshop.

Kimberly Henken, Family and Consumer Sciences, $4,400, Healthy Homes in Kentucky: Focus on Mold and Home Safety.

Michael Herren, Oral Health Practice, $5,479, Domestic Violence Toolkit and Continuing Education for Kentucky Dentists.

David Horohov, Veterinary Science, $145,382, Immune Response of Young Foals Exposed to Rhodococcus.

James Hougland Jr., Sociology, $10,000, LCRIMM Subaward for Evaluation.

William Hoyt, Center for Business and Economics Research, $39,637, Fiscal Policy and Economic Development.

Chifu Huang, Dentistry Research and Graduate Studies, $26,272, Novel Plant-Based Antimicrobials Against Oral Pathogens.

Patricia Howard, Nursing Instruction, $35,245, Kentucky Seclusion and Restraint Reduction.

Michael Jay, Pharmaceutical Technology, $32,000, GMP Sorting of MCC and FA Capsules by NIR.

Michael Jay, Pharmaceutical Science and Technology, $11,000, Development of rhLF HPLC Assay.

Michael Jay, Pharmaceutical Science and Technology, $11,000, Validation of Phenoxyethanol Assay.

Michael Jay, Pharmaceutical Science and Technology, $8,000, Phase II: Development of an Analytical Method for Impurities in an Active Pharmaceutical Ingredient.

Michael Jay, Michael, Pharmaceutical Science and Technology, $41,000, Clinical Supply Manufacturing of Nitrogen Mustard Hydrochloride Ointment.

Christopher Jaynes, Center for Visualization and Virtual Environments, $963,000, Rapidly Deployable Visualization for Training and Simulation in Urban Terrains.

Jeffrey Jones, Center for Prevention Research, $6,000, 21st Century Grant.

Jeffrey Jones, Center for Prevention Research, $25,000, Youth Risk Behavior Survey Analysis.

Jamshed Kanga, Pediatrics, The EPIC Observational Study: Longitudinal Assessment of Risk Factors for and Impact of Pseudomonoas Aeruginosa Acquisition and Early Anti-Pseudomonal Treatment in Children with CF.

Jamshed Kanga, Pediatrics, The EPIC Clinical Study: Effectiveness and Safety of Intermittent Antimicrobial Therapy for the Treatment of New Onset Pseudomonas Aeruginosa (Pa) Airway Infection in Young Patients with Cystic Fibrosis.

Paul Kearney, Surgery, A Randomized Double-Blind, Placebo-Controlled Study to Determine the Efficacy and Safety of Epoetin Alfa in Critically III Subjects.

Paul Kearney, Surgery, EMD20001: A Prospective, Randomized, Placebo-Controlled, Dose Ranging Multi-Center Study of the Safety and Efficacy of 3 Days Continuous Intravenous Infusion of GR270773 in the Treatment of Suspected Confirmed Gram-negative Severe Sepsis in Adults.

Jacqueline Kearns, Interdisciplinary Human Development Institute, $84,850, ILSSA New Hampshire EAG.

Kimberly Kelly, Behavioral Science, Survey of PCPs Comfort with Management of HBOC and HNPCC.

Adam Kirk, Kentucky Transportation Center, $14,685, CTI Academy Roundabout Project: An Information Guide and Workshop.

Patrick Kitzman, Rehabilitation Sciences, $95,405, Role of Glutamatergic System in SCI-induced Spasticity in the Axial.

Natasha Kyprianou, Urology, $60,000, Fellowship/Zhu: Proteomic Analysis of the TGF-Beta Signaling in Human Prostate Cancer Cells.

Dong Lee, Research Challenge Trust Fund-Management, $3,000, Industry Co-movement after Joining an Index: Spillovers of Non-fundamental Effects.

Markos Leggas, Pharmaceutical Technology, $332,828, Kentucky Cancer Experimental Therapeutics Program Leggas Scope.

Jack Leifer, OISTL/Engineering Distance Learning, $4,999, Increasing Awareness of Career Opportunities and Workforce Breadth at NASA/Jet Propulsion Laboratory.

Carl Leukefeld, Center on Drug and Alcohol Research, $19,387, Recovery Kentucky evaluation.

Carl Leukefeld, Center on Drug and Alcohol Research, $330,905, Prescription Drug Study.

Carl Leukefeld, Center on Drug and Alcohol Research, $270,000, Girls in Drug Abuse Science.

Tonglei Li, Pharmaceutical Technology, $75,990, CAREER: Towards Fundamental Understanding and Rational Control of Crystal Growth.

Xiangan Li, Pediatrics, $65,000, Scavenger Receptor BI and Endothelial Nitric Oxide Synthase Work Cooperatively to Prevent Endothelial Cell Dysfunction.

Peter Little, Anthropology, $5,000, Land Tenure and Conflict Dynamics in Pastoral Areas of Southern Ethiopia: The Guiji-Oromo Case.

Charles Loftin, Pharmaceutical Technology, $109,850, Center for the Biologic Basis of Oral/Systemic Diseases Scope Project 2 Loftin.

Tk Logan, Center on Drug and Alcohol Research, $10,000, Safe Havens Project Evaluation.

Mary Marchant, Agricultural Economics, $302,000, Agrobiotechnology in China: Competitiveness Impacts on US Soybean Export Markets.

Daniel Mason, Music, $10,000, UK String Project.

Kim McBride, Kentucky Archaeological Survey, $5,000, Continue the Frontier Fort Project, Summers County, West Virginia.

Kimberly Miller-Spillman, Merchandising, Apparel and Textiles, $9,900, Teaching Vulnerable Youth to Sew.

Richard Millman, Mathematics, $40,063, Integrating the Assessment Principle into Mathematics Content Courses for Pre-service Elementary Teachers.

Pedro Moreno, Internal Medicine, $157,950, Catheter-based Identification and Stabilization of Atherosclerosis Plaques in Rabbits Using Indovascular Stents.

Timothy Mullett, Cardiothoracic Surgery, Protocol EX-2053-001: A Double-blind, Placebo-controlled, Multi-center, Dose-ranging Study of an Anti-T Lymphocyte Immune Globulin (EZ-2053) in the Prophylaxis of Acute Pulmonary Allograft Rejection in Adult Recipients of Primary.

Michael Murphy, Molecular and Cellular Biochemistry, $224,494, Center of Biomedical Research Excellence in the Molecular Basis of Human Disease - Project 1.

Kenneth Muse Jr., Obstetrics and Gynecology, A Twelve Month Double-blind Randomized, Parallel-group, Placebo-controlled, Multi-center Trial to Investigate the Efficacy

and Safety of Vagafem Low Dose (10pg17Beta-Estradiol Vaginal Tablet) for the Treatment of Postmenopausal Atrophic Vaginitis Symptoms.

Tad Mutersbaugh, Geography, $9,500, Making the Forest a Factory: The Nature of Sustained Yield Forestry in New Mexico.

Sue Nokes, Biosystems and Agricultural Engineering, $9,890, UK Renewable Energy Workshop and Web Page.

Marcos Oliveira, Pharmaceutical Technology, $111,703, Polyamine Inhibition a Target for Biodefense in Y. Pestis.

Judy Owens, Rural Kentucky Healthcare, $5,000, Become a Non-Smoker: Improving Access to Services Smokers Need to Quit.

Sabire Ozcan, Research Challenge Trust Fund-Biochemistry, $291,061, Histone Acetylation and Insulin Gene Expression.

Subba Palli, Entomology, $204,000, Molecular Analysis of Juvenile Hormone Action.

Frederick Payne, Biosystems and Agricultural Engineering, $350,000, Syneresis Sensor Technologynology Development for Curd Moisture Content Control.

R. Peiter, Community and Leadership Development, $7,250, Improving the UK Pre-service Teacher Education Programs in Agriculture.

David Pollack, Kentucky Archaeological Survey, $75,000, Updating and Revising the Archaeological State Plan, Federal Aid Research Task # 144.

Mark Prendergast, Psychology, $31,581, NRSA Fellowship/Self: Ethanol Withdrawal, HIV-1, and Corticosterone.

David Randall, Physiology, $125,731, Interdisciplinary Cardiovascular Training Program.

Eric Reynolds, Pediatrics, Safety of Recombinant Human B-Type Natriuretic Peptide for the Treatment of Persistent Pulmonary Hypertension.

Thomas Robl, Center for Applied Energy Research, $117,250, Cost Share Account for 466625.

F. Scutchfield, Health Services Management, $10,000, Personal Consulting Contract between EKU and UKRF.

Harohalli Shashidhar, Pediatrics, A Multi-center, Double-blind Study of Colazal in the Treatment of 5 to 17 year-old Pediatric Patients with Mild to Moderate Active Ulcerative Colitis (BZUC3001).

John Slevin, Neurology, Genetic Linkage Study in Parkinson's Disease.

Douglas Smith, Curriculum and Instruction, $2,100, Improve Career and Technologynical Education Pre-Service Teacher Education Programs-Business Education.

Suzanne Smith, Mechanical Engineering, $83,176, Big Blue: Inflatable Wing Alternatives and Advanced Control Designs.

Ernest Snow, Microbiology, Immunology and Molecular Genetics, $13,000, Autumn Immunology Conference.

Daret St. Clair, Graduate Center for Toxicology, $98,655, The Role of Radicals in Space Radiation-Induced Maliganant Transformations.

Steven Steinhubl, Internal Medicine, Open-Label Study Assessing the Pharmacokinetics and Pharmacodynamics of a Cangrelor Bolus Plus I infusion in Healthy Volunteers.

John Strang, CES/Horticulture, $539,750, Development and Improvement of Grape and Wine Industry to Benefit the Agriculture Economy of Kentucky.

William Thom, Plant and Soil Sciences, $70,000, Enhancing Water Quality Education in Kentucky.

Lee Townsend Jr., Entomology, $6,000, Scope A: Insect Identification.

Patrick Trader, Program for Archaeological Research, $4,965, Phase I Archaeological Survey of Kentucky 14 and Kentucky 17 Intersection Reconstruction, Kenton County, Kentucky, Item #6-996.

Patrick Trader, Program for Archaeological Research, $10,095, Phase I Archaeological Survey US 31W Widening, Simpson County, Kentucky, Item #3-8.00.

Patrick Trader, Program for Archaeological Research, $7,756, Phase I Archaeological Survey Realignment of Kentucky 419, Rowan County, Kentucky, Item # 9-7030.00.

Thomas Waid, Internal Medicine, An Open Label, Prospective, Randomized, Controlled, Multi-Center Study Assessing Fixed Dose vs. Concentration Controlled CellCept Regimans for Patients Following a Single Organ Renal Transplantation in Comb.

Kenneth Warlick, Interdisciplinary Human Development Institute, $395,400, ILSSA Puerto Rico.

Kenneth Warlick, Interdisciplinary Human Development Institute, $99,981, ILSSA Georgia.

Bruce Webb, Entomology, $89,952, Functional Analysis of Polydnavirus and Insect Immune Cell Genes.

Harold Weinberg, Law Instruction, $18,000, Legal Assistance to Inmates.

Daniel Wermeling, Pharmacy Practice and Science, Open-Label Study Assessing the Pharmacokinetics and Pharmacodynamics of a Cangrelor bolus plus infusion in Healthy Volunteers.

Trevor Winter, Internal Medicine, Phase III Randomized Placebo Controlled Double-blind Parallel Group Multi-center Study to Assess the Efficacy and Safety of Omega-3 Free Fatty Acids (Epanova) for the Maintenance of Sympotomatic Remissio in Subjects with Crohn's Disease.

Craig Wood, Agricultural Communications, $167,601, ECOP/CSREES E-eXtension.

Ruigang Yang, Center for Visualization and Virtual Environments, $180,000, The Light Portal: 3D Reconstruction and Visualization over Space and Time.

Kimberly Zeidler, Appalachian Center, $275,000, Inquiry and Formative Assessment as Methods to Improve Conceptual Understanding.

Yuming Zhang, UK Center for Manufacturing, $83,790, GMAW Heat Input Control to Produce Higher Weld Speed.

James Ziliak, Center for Poverty Research, $100,000, A Proposal to Establish a Small Grants Program for Research on Poverty by Faculty at Historically Black Colleges and Universities, 1890s Schools, and Tribal Colleges and Universities.

James Ziliak, Economics, $19,149, The Working Poor Blues: Work, Depression, and Labor Force Attachment Among Working Poor Parents.

# PR 2

Office of the President
May 10, 2005

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate Provost/Executive Vice President through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# PRESIDENT
# AND
# EXECUTIVE VICE PRESIDENT
# FOR
# FINANCE AND ADMINISTRATION

## I. BOARD ACTION

### A. ADMINISTRATIVE APPOINTMENT

Office of the President

Butler, Frank A., from Acting Executive Vice President for Finance and Administration to Executive Vice President for Finance and Administration, effective 4/7/05.

### B. POST-RETIREMENTS APPOINTMENTS

Office of the President

Blanton, Jack C., Special Assistant to the President, effective 7/1/05 through 6/30/06.

### C. RETIREMENTS

Executive Vice President for Finance and Administration

Cox, James E., Research Farm Tech. II, after 8 years and 5 months consecutive service under AR II-1.6.1 Section III.B, effective 2/28/05.

Mobley, Beatrice, Custodial Worker IV, Employee Benefits, after 28 years and 6 months of consecutive service, under AR II-1.6.1 Section III.B, effective 3/31/05.

### D. EARLY RETIREMENTS

Executive Vice President for Finance and Administration

Anderson, Patricia, Administrative Support Associate I, Compensation, after 15 years and 5 months of consecutive service and a total of 17 years and 2 months of service, under AR II-1.6-1 Section III.A.2, effective 4/29/05.

Coy, James W., Security Officer, Campus Services, after 16 years and 8 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 3/17/05.

Houp, James V., Refrigeration Mechanic III, Physical Plant Division, after 27 years and 5 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 4/18/05.

Huff, Dennie, Carpenter III, Physical Plant Division, after 19 years and 10 months of consecutive service, under, AR II-1.6-1 Section III.A.2 effective 4/1/05.

Johnson, Arthur L., Custodial Worker II, Physical Plant Division, after 35 years of consecutive service and a total of 37 years and 5 months service, under AR II-1.6-1 Section III.A.2, effective 4/15/05.

Lowery, Paul L., Maintenance Tech. II, Physical Plant Division, after 22 years and 10 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 2/28/05.

Marshall, Victor S., Custodial Worker I., Physical Plant Department, after 15 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 3/14/05.

Sims, Joseph G., Maintenance Supt. II, Medical Center, after 22 years and 1 month of consecutive service, under AR II-1.6-1 Section III.A.2, effective 3/31/05.

Stewart, Arliss R., Painter III, Physical Plant Division, after 18 years and 7 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 3/31/05.

Wilson, Raymond P., Building Operator II, Physical Plant Division, after 19 years and 11 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 4/28/05.

## II. ADMINISTRATIVE ACTION

### A. ADMINISTRATIVE APPOINTMENTS

Athletics Department

Chaffin, Jaynna C., Development Assistant IV, Athletics Development Office, effective 3/28/05.

Coyle, Mark J., Athletics Director Associate, Fund Raising and Development, effective 3/1/05.

### B. CHANGES

Associate Vice President for Campus Services

Hibbard, David W., Acting Director, Environmental Health and Safety to Director, Environmental Health and Safety, effective 4/8/05.

Athletics Department

Schlafer, Jason, from Athletic Relations Coordinator II to Athletics Director Assistant, Marketing, effective 3/17/05.

*Special Title series

## PROVOST

## I. BOARD ACTION

### A. ACADEMIC APPOINTMENTS

Brion, Gail M., College of Engineering, Associate Professor (with tenure), Civil Engineering, and joint appointment as Associate Professor (without tenure), Kentucky School of Public Health, College of Medicine, appointed to the Raymond-Blythe Professorship in Civil Engineering, 7/1/05 through 7/1/08.

Cambi, Franca, College of Medicine, Associate Professor (without tenure), Neurology, and joint appointment as Associate Professor (without tenure), Anatomy and Neurobiology, appointed to the Spinal Cord and Brain Injury Chair No. 5, 1/1/04 through 12/31/06.

Carrigan, Dennis P., College of Communications and Information Studies, Assistant Professor*, Library and Information Science, 3/1/05 through 6/30/05.

Das, Subodh K., College of Engineering, Adjunct Professor, Mechanical Engineering, 7/1/05 through 6/30/08.

Farzam, Farjam, College of Medicine, Clinical Assistant Professor, Neurology, 3/15/05 through 6/30/05.

Gilbert, Peter, College of Medicine, Associate Dean for Finance and Administration, effective 8/1/05.

Hosey, Robert G., College of Medicine, Associate Professor* (with tenure), Family Practice and Community Medicine, and joint appointment as Associate Professor* (without tenure), Surgery, appointed to the Dr. Claire Louise Caudill Professorship in Family Medicine, 1/1/05 through 12/31/07.

Kesler, Melissa V., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.

McEwen, Melanie L., College of Medicine, Assistant Research Professor, Physical Medicine and Rehabilitation, and joint appointment as Assistant Research Professor, Anatomy and Neurobiology, 3/1/05 through 6/30/05.

Murphy, Michael P., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, from 1/3/05 through 6/30/05, to 2/7/05 through 6/30/05.

Murphy, William E., College of Engineering, Professor (with tenure), Mechanical Engineering, reappointed to the PJC Board of Trustees Engineering Professorship, 7/1/05 through 6/30/08

Ormsbee, Lindell E., College of Engineering, Professor (with tenure), Civil Engineering, reappointed to the Raymond-Blythe Professorship in Civil Engineering, 7/1/05 through 7/1/08.

Rinehart, John J., College of Medicine, Professor* (with tenure), Internal Medicine, appointed to the Buck-Kentucky Lung Cancer Research Chair Endowment Fund, 1/1/05 through 12/31/09.

Saghaian, Sayed H., College of Agriculture, Assistant Professor, Agricultural Economics, 2/15/05 through 6/30/06.

*Special Title series

Schwarze, Steven R., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 5/16/05 through 6/30/05.
Steinke, Douglas, College of Pharmacy, Assistant Professor, 6/6/05 through 6/30/05.
Thomson, Mary B., Libraries, Librarian III, 6/1/05 through 6/30/06.
Thornton, Alice C., College of Medicine, Assistant Professor*, Internal Medicine, appointed to the Infectious Diseases Research Professorship in Internal Medicine, 7/1/04 through 6/30/07.
Tucker, Tarvez, College of Medicine, Associate Professor (part-time), Neurology, 3/14/05 through 6/30/05.

## B. ADMINISTRATIVE APPOINTMENTS

Blandford, George E., College of Engineering, Professor (with tenure), Civil Engineering, appointed Chair, Civil Engineering, 7/1/05 through 6/30/09.
Bowe, Edwin A., College of Medicine, Professor* (with tenure), Anesthesiology, appointed Chair, Anesthesiology, 7/1/05 through 6/30/11.
Gash, Don M., College of Medicine, Professor (with tenure), Anatomy and Neurobiology, appointed Chair, Anatomy and Neurobiology, 7/1/05 through 6/30/11.
Klim, Gerald V., College of Medicine, Associate Professor* (with tenure), Physical Medicine and Rehabilitation, appointed Chair, Physical Medicine and Rehabilitation, 5/1/05 through 4/30/11.
Matheny, Samuel C., College of Medicine, Professor* (with tenure), Family Practice and Community Medicine, and joint appointment as Professor* (without tenure), Kentucky School of Public Health, appointed Chair, Family Practice and Community Medicine, 7/1/05 through 6/30/11.
Mooney, Patrick, College of Arts and Sciences, Professor (with tenure), Sociology, appointed Chair, Sociology, 7/1/05 through 6/30/09.
Rouch, Keith E., College of Engineering, Professor (with tenure), Mechanical Engineering, appointed Chair, Mechanical Engineering, 7/1/05 through 6/30/09.
Tucker, Thomas C., College of Public Health, Associate Professor* (with tenure), Epidemiology, and joint appointments as Associate Professor* (without tenure), Preventive Medicine and Environmental Health and Associate Professor* (without tenure), Health Services Management, appointed Associate Director for Cancer Prevention & Control, effective 12/1/04.

## C. JOINT APPOINTMENTS

Anglin, Mary, College of Arts and Sciences, Associate Professor (with tenure), Anthropology, and joint appointment as Associate Professor (without tenure), Kentucky School of Public Health, College of Medicine, new joint appointment as Associate Professor (without tenure), Health Behavior, College of Public Health, effective 7/1/04.
Dignan, Mark B., College of Medicine, Professor (with tenure), Internal Medicine, joint appointments as Professor (without tenure), Health Behavior, College of Public Health, effective 6/1/05, and Professor (without tenure), Behavioral Science, effective 4/1/05.

*Special Title series

Griffith, Charles H., College of Medicine, Associate Professor (with tenure), Internal Medicine, and joint appointments as Associate Professor (without tenure), Kentucky School of Public Health and Associate Professor (without tenure), Pediatrics, new joint appointment as Professor (without tenure), Preventive Medicine and Environmental Health, College of Public Health, effective 7/1/05.

Hacker, William D., College of Medicine, Associate Professor (voluntary), Pediatrics, joint appointment as Associate Professor (voluntary), Preventive Medicine and Environmental Health, College of Public Health, 5/1/05 through 6/30/06.

Kryscio, Richard, College of Arts and Sciences, Professor (with tenure), Statistics, and joint appointment as Professor (without tenure), Kentucky School of Public Health, College of Medicine, new joint appointment as Professor (without tenure), Biostatistics, College of Public Health, effective 7/1/04.

Noland, Melody, College of Education, Professor (with tenure), Kinesiology and Health Promotion, joint appointment as Professor (without tenure), Health Behavior, College of Public Health, effective 1/1/05.

Shelton, Brent J., College of Medicine, Associate Professor (with tenure), Internal Medicine, and joint appointment as Associate Professor (without tenure), Kentucky School of Public Health, new joint appointment as Associate Professor (without tenure), Biostatistics, College of Public Health, effective 5/1/05.

## D. REAPPOINTMENTS

Aiken, Glen E., College of Agriculture, Associate Adjunct Professor, Plant and Soil Sciences, 7/1/05 through 6/30/06.

Albers, Sheri L., College of Medicine, Clinical Associate Professor, Diagnostic Radiology, 7/1/05 through 6/30/06.

Allen, William C., College of Medicine, Associate Professor (part-time), Anesthesiology, 7/1/05 through 6/30/06.

Armitstead, John A., College of Pharmacy, Clinical Associate Professor, 7/1/05 through 6/30/06.

Barton, John R., College of Medicine, Associate Professor (part-time), Obstetrics and Gynecology, 7/1/05 through 6/30/06.

Bay, Carolyn A., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/05 through 6/30/06.

Beals, Daniel A., College of Medicine, Clinical Associate Professor, Surgery, and joint appointment as Clinical Associate Professor, Pediatrics, 7/1/05 through 6/30/06.

Boggs, L. R., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/05 through 6/30/06.

Bright, Katherine L., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.

Bruce, Margaret C., College of Medicine, Associate Professor (part-time), Pediatrics, and joint appointment as Associate Professor (part-time), Physiology, 7/1/05 through 6/30/06.

Casey, Baretta R., College of Medicine, Clinical Professor, Family Practice and Community Medicine, 7/1/05 through 6/30/06.

*Special Title series

Chen, Kuey C., College of Medicine, Associate Research Professor, Molecular and Biomedical Pharmacology, 7/1/05 through 6/30/06.

Colclough, George W., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/05 through 6/30/06.

Conklin, John D., College of Medicine, Clinical Associate Professor, Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.

Cothran, Ernest G., College of Agriculture, Research Professor, Veterinary Science, 7/1/05 through 6/30/06.

Eichhorn, John H., College of Medicine, Clinical Professor, Anesthesiology, 7/1/05 through 6/30/06.

Ellis, Jeffrey L., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.

Etscheidt, Mark A., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/05 through 6/30/06.

Fahy, Brenda G., College of Medicine, Clinical Professor, Anesthesiology, 7/1/05 through 6/30/06.

Fetherston, Jacqueline D., College of Medicine, Associate Research Professor, Microbiology, Immunology and Molecular Genetics, 7/1/05 through 6/30/06.

Fried, Andrew M., College of Medicine, Professor (part-time), Diagnostic Radiology, 7/1/05 through 6/30/06.

Fried, Michael G., College of Medicine, Professor (without tenure), Molecular and Cellular Biochemistry, 7/1/05 through 8/31/05.

Gagliardi, Raymond J., College of Medicine, Clinical Associate Professor, Surgery, 7/1/05 through 6/30/06.

Garden, John W., College of Medicine, Professor (part-time), Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.

Garman, Ray F., College of Public Health, Clinical Associate Professor, Preventive Medicine and Environmental Health, and joint appointment as Clinical Associate Professor, Family Practice and Community Medicine, College of Medicine, 7/1/05 through 6/30/06.

Gerson, Kenneth L., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.

Gevedon, Teresa G., College of Medicine, Clinical Associate Professor, Psychiatry, 7/1/05 through 6/30/06.

Goldstein, Steven J., College of Medicine, Associate Professor (part-time), Diagnostic Radiology, 7/1/05 through 6/30/06.

Goulson, Daniel T., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/05 through 6/30/06.

Grigsby, Donna G., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.

Hadley, Robert D., College of Health Sciences, Associate Professor (without tenure), Clinical Sciences, 7/1/05 through 6/30/06.

Hagen, Michael D., College of Medicine, Professor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.

Hager, W. D., College of Medicine, Professor (part-time), Obstetrics and Gynecology, 7/1/05 through 6/30/06.

*Special Title series

Han, Kuo-Huang, College of Fine Arts, Professor (temporary), Music, 8/1/05 through 5/31/06.

Harper, Mary L., College of Pharmacy, Associate Adjunct Professor, 7/1/05 through 6/30/06.

Henry, Stephen L., College of Medicine, Adjunct Professor, Orthopaedic Surgery, 7/1/05 through 6/30/06.

Iwinski, Henry J., College of Medicine, Clinical Associate Professor, Orthopaedic Surgery, 7/1/05 through 6/30/06.

Jackson, Carney B., College of Agriculture, Associate Professor* (without tenure), Veterinary Science, 7/1/05 through 6/30/06.

Jackson, Elizabeth C., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.

Kielar, Richard A., College of Medicine, Professor (part-time), Ophthalmology and Visual Sciences, and joint appointment as Professor (part-time), Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.

King, Joseph G., College of Medicine, Clinical Associate Professor, Diagnostic Radiology, 7/1/05 through 6/30/06.

Konkel, R. Steven, College of Public Health, Associate Professor (part-time), Health Services Management, 7/1/05 through 6/30/06.

Littleton, John M., College of Pharmacy, Professor (part-time), and joint appointment as Professor (part-time), Molecular and Biomedical Pharmacology, College of Medicine, 7/1/05 through 6/30/06.

Lock, Richard, College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/05 through 6/30/06.

Magnuson, Barbara L., College of Pharmacy, Associate Adjunct Professor, 7/1/05 through 6/30/06.

Maguire, Grace F., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.

Martin, Julia E., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/05 through 6/30/06.

Meigooni, Ali S., College of Medicine, Clinical Professor, Radiation Medicine, and joint appointment as Clinical Professor, Clinical Sciences, College of Health Sciences, 7/1/05 through 6/30/06.

Mier, Richard J., College of Medicine, Adjunct Professor, Pediatrics, 7/1/05 through 6/30/06.

Milligan, Douglas A., College of Medicine, Associate Professor (part-time), Obstetrics and Gynecology, 7/1/05 through 6/30/06.

Moore, Gregory R., College of Medicine, Associate Adjunct Professor, Obstetrics and Gynecology, and joint appointment as Associate Adjunct Professor, Preventive Medicine and Environmental Health, College of Public Health, 7/1/05 through 6/30/06.

Mullett, Timothy W., College of Medicine, Clinical Associate Professor, Surgery, 7/1/05 through 6/30/06.

Murphy, John T., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/05 through 6/30/06.

*Special Title series

Nowak-Rapp, Margaret, College of Pharmacy, Clinical Associate Professor, 7/1/05 through 6/30/06.

O'Brien, John M., College of Medicine, Associate Professor (part-time), Obstetrics and Gynecology, 7/1/05 through 6/30/06.

Offutt, William N., College of Medicine, Professor (part-time), Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.

Pavlik, Edward J., College of Medicine, Associate Research Professor, Obstetrics and Gynecology, 7/1/05 through 6/30/06.

Pettigrew, Anjana L., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, and joint appointments as Clinical Associate Professor, Obstetrics and Gynecology and Clinical Associate Professor, Pediatrics and Clinical Associate Professor, Neurology, 7/1/05 through 6/30/06.

Pinkstaff, Thomas H., College of Medicine, Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.

Puffer, James C., College of Medicine, Professor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.

Purcell, Marguerite, College of Medicine, Associate Adjunct Professor, Diagnostic Radiology, 7/1/05 through 6/30/06.

Ralph, Donald E., College of Medicine, Clinical Professor, Psychiatry, and joint appointment as Clinical Professor, Psychology, College of Arts and Sciences, 7/1/05 through 6/30/06.

Rice, Carol H., College of Public Health, Professor (part-time), Epidemiology, 7/1/05 through 6/30/06.

Riley, Kathryn P., College of Public Health, Associate Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Associate Professor (part-time), Family Practice and Community Medicine, College of Medicine, 7/1/05 through 6/30/06.

Roach, Benjamin F., College of Medicine, Professor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.

Sanders, Sheila P., College of Medicine, Clinical Associate Professor, Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.

Schell, Randall M., College of Medicine, Clinical Professor, Anesthesiology, 7/1/05 through 6/30/06.

Scutchfield, Scott B., College of Medicine, Associate Professor (part-time), Orthopaedic Surgery, 7/1/05 through 6/30/06.

Selwitz, Ada S., College of Medicine, Associate Adjunct Professor, Behavioral Science, 7/1/05 through 6/30/06.

Shaffer, William O., College of Medicine, Associate Professor* (without tenure), Orthopaedic Surgery, 7/1/05 through 6/30/06.

Shah, Vinod, College of Pharmacy, Adjunct Professor, 7/1/05 through 6/30/06.

Shook, Lori A., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/05 through 6/30/06.

Sikora, Frank J., College of Agriculture, Associate Adjunct Professor, Plant and Soil Sciences, 7/1/05 through 6/30/06.

*Special Title series

Simmons, Guy H., College of Health Sciences, Professor (part-time), Clinical Sciences, and joint appointment as Professor (part-time), Diagnostic Radiology, College of Medicine, 7/1/05 through 6/30/06.

Sloss, Robert R., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.

Smith, Kelly M., College of Pharmacy, Associate Professor* (without tenure), 7/1/05 through 6/30/06.

Stewart, Daniel H., College of Medicine, Clinical Associate Professor, Surgery, 7/1/05 through 6/30/06.

Stouch, Terry, College of Pharmacy, Associate Adjunct Professor, 7/1/05 through 6/30/06.

Thorn, Deborah B., College of Pharmacy, Associate Adjunct Professor, 7/1/05 through 6/30/06.

Tylkowski, Chester M., College of Medicine, Clinical Professor, Orthopaedic Surgery, 7/1/05 through 6/30/06.

Underwood, William L., College of Medicine, Associate Professor (part-time), Pediatrics, and joint appointment as Assistant Professor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.

Vascello, Luis A., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/05 through 6/30/06.

Wendroth, Ole O., College of Agriculture, Associate Professor (temporary), Plant and Soil Sciences, 7/1/05 through 6/30/06.

Wilson, Dianne, College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, and joint appointment as Clinical Assistant Professor, Surgery, 7/1/05 through 6/30/06.

Young, Elizabeth H., College of Medicine, Associate Professor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.

Young, Thomas L., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/05 through 6/30/06.

Zaglul, Horacio F., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/05 through 6/30/06.

Zhan, Chang-Guo, College of Pharmacy, Associate Professor (without tenure), 7/1/05 through 6/30/06.

## E. TENURE

Anderson, Debra G., College of Nursing, from Associate Professor (without tenure), to Associate Professor (with tenure), effective 7/1/05.

Cao, Gang, College of Arts and Sciences, from Associate Professor (without tenure), Physics and Astronomy, to Associate Professor (with tenure), Physics and Astronomy, effective 7/1/05.

## F. PROMOTIONS

Andres, Douglas A., College of Medicine, from Associate Professor (with tenure), Molecular and Cellular Biochemistry, to Professor (with tenure), Molecular and Cellular Biochemistry, effective 7/1/05.

*Special Title series

Bishop, Malachy, College of Education, from Assistant Professor, Special Education and Rehabilitation Counseling, to Associate Professor (with tenure), Special Education and Rehabilitation Counseling, effective 7/1/05.

Burkhart, Patricia V., College of Nursing, from Assistant Professor, to Associate Professor (with tenure), effective 7/1/05.

Carter, Lisa R., Libraries, from Librarian II (with tenure), to Librarian I (with tenure), effective 7/1/05.

Clauter, Nancy E., College of Fine Arts, from Assistant Professor*, Music, to Associate Professor* (with tenure), Music, effective 7/1/05.

Cliggett, Lisa, College of Arts and Sciences, from Assistant Professor, Anthropology, to Associate Professor (with tenure), Anthropology, effective 7/1/05.

D'Angelo, Elisa M., College of Agriculture, from Assistant Professor, Plant and Soil Sciences, to Associate Professor (with tenure), Plant and Soil Sciences, effective 7/1/05.

de Leeuw, Reny, College of Dentistry, from Assistant Professor, Oral Health Science, to Associate Professor (with tenure), Oral Health Science, effective 7/1/05.

Desormeaux, Daniel, College of Arts and Sciences, from Assistant Professor, Modern and Classical Languages, Literatures and Cultures, to Associate Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, effective 7/1/05.

Downie, A. B., College of Agriculture, from Assistant Professor, Horticulture, to Associate Professor (with tenure), Horticulture, effective 7/1/05.

Eldred, Janet, College of Arts and Sciences, from Associate Professor (with tenure), English, to Professor (with tenure), English, effective 7/1/05.

English, Mary L., College of Health Sciences, from Assistant Professor*, Rehabilitation Sciences, and joint appointment as Assistant Professor*, Family Practice and Community Medicine, College of Medicine, to Associate Professor* (with tenure), Rehabilitation Sciences, and joint appointment as Assistant Professor*, Family Practice and Community Medicine, College of Medicine, effective 7/1/05.

Hopenhayn, Claudia, College of Public Health, from Assistant Professor, Epidemiology, to Associate Professor (with tenure), Epidemiology, effective 7/1/05.

Howe, Daniel K., College of Agriculture, from Assistant Professor, Veterinary Science, to Associate Professor (with tenure), Veterinary Science, effective 7/1/05.

Huang, Michael H., College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Clinical Associate Professor, Internal Medicine, 7/1/05 through 6/30/06.

Khraisheh, Marwan, College of Engineering, from Assistant Professor, Mechanical Engineering, to Associate Professor (with tenure), Mechanical Engineering, effective 7/1/05.

Lear, Teri L., College of Agriculture, from Assistant Research Professor, Veterinary Science, to Associate Research Professor, Veterinary Science, 7/1/05 through 6/30/06.

Lewis, Nancy R., Libraries, from Librarian III, to Librarian II (with tenure), effective 7/1/05.

Lynam, Donald, College of Arts and Sciences, from Associate Professor (with tenure), Psychology, to Professor (with tenure), Psychology, effective 7/1/05.

*Special Title series

Ma, Xin, College of Education, from Associate Professor (with tenure), Curriculum and Instruction, to Professor (with tenure), Curriculum and Instruction, effective 7/1/05.

Mahboub, Kamyar C., College of Engineering, from Associate Professor (with tenure), Civil Engineering, to Professor (with tenure), Civil Engineering, effective 7/1/05.

Minkova, Milena, College of Arts and Sciences, from Assistant Professor, Modern and Classical Languages, Literatures and Cultures, to Associate Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, effective 7/1/05.

Monton, Bradley, College of Arts and Sciences, from Assistant Professor, Philosophy, to Associate Professor (with tenure), Philosophy, effective 7/1/05.

Niebylski, Dianna, College of Arts and Sciences, from Associate Professor (with tenure), Hispanic Studies, to Professor (with tenure), Hispanic Studies, effective 7/1/05.

Ozcan, Sabire, College of Medicine, from Assistant Professor, Molecular and Cellular Biochemistry, to Associate Professor (with tenure), Molecular and Cellular Biochemistry, effective 7/1/05.

Palli, Subba R., College of Agriculture, from Assistant Professor, Entomology, and joint appointment as Assistant Professor, Toxicology, Graduate School, to Associate Professor (with tenure), Entomology, and joint appointment as Associate Professor (without tenure), Toxicology, Graduate School, effective 7/1/05.

Prendergast, Mark, College of Arts and Sciences, from Assistant Professor, Psychology, to Associate Professor (with tenure), Psychology, effective 7/1/05.

Pu, Lee L., College of Medicine, from Assistant Professor*, Surgery, to Associate Professor* (with tenure), Surgery, effective 7/1/05.

Radun, Arthur V., College of Engineering, from Associate Professor (with tenure), Electrical and Computer Engineering, to Professor (with tenure), Electrical and Computer Engineering, effective 7/1/05.

Rowell, A. B., College of Agriculture, from Associate Extension Professor (with tenure), Horticulture, to Extension Professor (with tenure), Horticulture, effective 7/1/05.

Ryan, Melody, College of Pharmacy, from Assistant Professor*, and joint appointment as Assistant Professor*, Neurology, College of Medicine, to Associate Professor* (with tenure), and joint appointment as Assistant Professor*, Neurology, College of Medicine, effective 7/1/05.

Saha, Sibu P., College of Medicine, from Clinical Assistant Professor, Surgery, to Clinical Professor, Surgery, 7/1/05 through 6/30/06.

Samuel, Thomas W., College of Public Health, from Associate Professor* (with tenure), Health Services Management, and joint appointment as Associate Professor* (without tenure), Martin School of Public Policy and Administration, Graduate School, to Professor* (with tenure), Health Services Management, and joint appointment as Associate Professor* (without tenure), Martin School of Public Policy and Administration, Graduate School, 2/1/05 through 6/30.09.

Scaife, A. R., College of Arts and Sciences, from Associate Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, to Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, effective 7/1/05.

*Special Title series

Seamans, Marsha N., Libraries, from Librarian III, to Librarian II (with tenure), effective 7/1/05.

Shashidhar, Harohalli R., College of Medicine, from Clinical Assistant Professor, Pediatrics, to Clinical Associate Professor, Pediatrics, 7/1/05 through 6/30/06.

Sinai, Anthony P., College of Medicine, from Assistant Professor, Microbiology, Immunology and Molecular Genetics, to Associate Professor (with tenure), Microbiology, Immunology and Molecular Genetics, effective 7/1/05.

Smith, George M., College of Medicine, from Associate Professor (with tenure), Physiology, to Professor (with tenure), Physiology, effective 7/1/05.

Smith, Gregory, College of Arts and Sciences, from Associate Professor (with tenure), Psychology, to Professor (with tenure), Psychology, effective 7/1/05.

Teaster, Pamela B., College of Public Health, from Assistant Professor, Gerontology, and joint appointment as Assistant Professor, Health Behavior, to Associate Professor (with tenure), Gerontology, and joint appointment as Associate Professor (without tenure), Health Behavior, effective 7/1/05.

Thornton, Alice C., College of Medicine, from Assistant Professor*, Internal Medicine, to Associate Professor* (with tenure), Internal Medicine, effective 7/1/05.

Toborek, Michal, College of Medicine, from Associate Professor (with tenure), Surgery, and joint appointment as Associate Professor (without tenure), Molecular and Cellular Biochemistry, to Professor (with tenure), Surgery, and joint appointment as Professor (without tenure), Molecular and Cellular Biochemistry, effective 7/1/05.

Uhl, Timothy L., College of Health Sciences, from Assistant Professor, Rehabilitation Sciences, to Associate Professor (with tenure), Rehabilitation Sciences, effective 7/1/05.

Whiteheart, Sidney W., College of Medicine, from Associate Professor (with tenure), Molecular and Cellular Biochemistry, to Professor (with tenure), Molecular and Cellular Biochemistry, effective 7/1/05.

Williams, Neil M., College of Agriculture, from Associate Professor* (with tenure), Veterinary Science, to Professor* (with tenure), Veterinary Science, effective 7/1/05.

Zhang, Yu-Ming, College of Engineering, from Associate Professor (with tenure), Electrical and Computer Engineering, to Professor (with tenure), Electrical and Computer Engineering, effective 7/1/05.

## G. CHANGES

Henry, Stephen L., College of Medicine, from Adjunct Professor, Surgery, to Adjunct Professor, Orthopaedic Surgery, 11/1/04 through 6/30/05.

Nardolillo, John J., College of Fine Arts, from Professor (temporary), Music, to Assistant Professor*, Music, 7/1/05 through 6/30/06.

Stevens, David B., College of Medicine, from Professor (part-time), Surgery, to Professor (part-time), Orthopaedic Surgery, 11/1/04 through 6/30/05.

*Special Title series

## H. TERMINAL REAPPOINTMENTS

Ji, Inhae, College of Arts and Sciences, Research Professor, Chemistry, 7/1/05 through 6/30/06.

Witzke, Donald B., College of Medicine, Associate Research Professor, Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.

Xiang, Tian-Xiang, College of Pharmacy, Research Professor, 7/1/05 through 6/30/06.

## I. RETIREMENTS

Cutter, Mary A., College of Medicine, QA and Control Reg Program Coordinator, Markey Cancer Center, after 18 years and 3 months of consecutive service, under AR II-1.6-1, Section III.B effective 4/12/05.

## J. EARLY RETIREMENTS

Applegate, James L., College of Communications and Information Studies, Professor (with tenure), Communication, after 27 years and 10 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/30/05.

Bowen, Johnnie, College of Agriculture, Senior Lab Technician, Plant and Soil Sciences, after 24 years and 9 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 3/3/05.

Nietzel, Michael T., College of Arts and Sciences, Professor (with tenure), Psychology, and Provost, after 31 years and 10 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/30/05.

Taylor, Laura I., Student Affairs, Cook Baker III, Dining Services, after 15 years and 1 month of consecutive service, under AR II-1.6-1, Section III.A.2, effective 4/29/05.

Wever, Jo Ann M., College of Nursing, Associate Professor* (with tenure), after 33 years of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/6/05.

## K. POST-RETIREMENT APPOINTMENTS

Aug, Robert G., College of Medicine, Professor (part-time), Psychiatry, 7/1/05 through 6/30/06.

Clawson, D. K., College of Medicine, Professor (part-time), Orthopaedic Surgery, 7/1/05 through 6/30/06.

Cole, Henry, College of Public Health, Professor (part-time), Preventive Medicine and Environmental Health, 7/1/05 through 6/30/06.

Duncan, George A., College of Agriculture, Professor (part-time), Biosystems and Agricultural Engineering, 7/1/05 through 6/30/06.

Fischer, Doane, College of Medicine, Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.

Fosson, Abe R., College of Medicine, Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.

*Special Title series

Friedell, Gilbert H., College of Medicine, Professor (part-time), Behavioral Science, 7/1/05 through 6/30/06.
Goodman, Norman L., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.
Green, William H., College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 5/11/05 through 5/10/06.
Greene, John W., College of Medicine, Professor (part-time), Obstetrics and Gynecology, 7/1/05 through 6/30/06.
Hall, Bryan D., College of Medicine, Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.
Johnson, Gregory L., College of Medicine, Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.
Johnting, Kaye, College of Agriculture, Program Assistant (part-time), Cooperative Extension Service, 2/28/05 through 2/27/06.
Kelley, George, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 3/1/05 through 2/28/06.
Kraus, Robert F., College of Medicine, Professor (part-time), Psychiatry, 7/1/05 through 6/30/06.
Lester, Robert L., College of Medicine, Professor (part-time), Molecular and Cellular Biochemistry, 7/1/05 through 6/30/06.
Lieber, Arthur, College of Medicine, Professor (part-time), Diagnostic Radiology, 7/1/05 through 6/30/06.
Mabry, C. C., College of Medicine, Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.
McKee, Aylene, College of Agriculture, Secretary (part-time), Cooperative Extension Service, 2/28/05 through 2/27/06.
Neill, John R., College of Medicine, Associate Professor (part-time), Psychiatry, 7/1/05 through 6/30/06.
Noonan, Jacqueline A., College of Medicine, Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.
O'Connor, William N., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.
Owens, Eddie L., College of Medicine, Professor (part-time), Anesthesiology, 7/1/05 through 6/30/06.
Powell, David G., College of Agriculture, Professor (part-time), Veterinary Science, 5/3/05 through 6/30/06.
Sloan, Jewell W., College of Medicine, from Assistant Professor (part-time), Anesthesiology, 7/1/05 through 6/30/06.
Stevens, David B., College of Medicine, Professor (part-time), Orthopaedic Surgery, 7/1/05 through 6/30/06.
Tietz, Norbert W., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.
Wilson, John, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 7/6/05 through 7/5/06.
Wolf, Betty I., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.

*Special Title series

Wilson, Angene, College of Arts and Sciences, Professor (part-time), History, 1/1/05 through 5/15/05.

### L. PHASED RETIREMENT APPOINTMENTS

Gift, Richard, College of Business and Economics, Professor (with tenure), Economics, 7/1/05 through 6/30/10.

Samuel, Thomas W., College of Public Health, Professor* (with tenure), Health Services Management, and joint appointment as Associate Professor* (without tenure), Martin School of Public Policy and Administration, Graduate School, 2/1/05 through 6/30/09.

## II. ADMINISTRATIVE ACTION

### A. ACADEMIC APPOINTMENTS

Ackermann, Mark, College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.

Arabi, Ziad, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 2/1/05 through 6/30/06.

Bailey, Carolyn, College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 1/3/05 through 6/30/06.

Bomford, Michael K., College of Agriculture, Assistant Adjunct Professor, Horticulture, 1/1/05 through 6/30/05.

Brewer, Sherry R., College of Medicine, Instructor (voluntary), Internal Medicine, 3/1/05 through 6/30/06.

Carlson, Lyle W., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 4/1/05 through 6/30/05.

Castillo, Manuel, College of Agriculture, Assistant Research Professor, Biosystems and Agricultural Engineering, 12/1/04 through 6/30/05.

Chadwell, Todd Y., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.

Cobetto, Gregory A., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 4/1/05 through 6/30/06.

Cook, Patricia G., College of Social Work, Assistant Professor, 8/16/04 through 6/30/05.

Cornett, Heather H., College of Pharmacy, Assistant Adjunct Professor, 4/1/05 through 6/30/05.

Darmsteadt, Donald M., College of Arts and Sciences, Instructor (part-time), Political Science, 1/1/05 through 5/15/05.

Fowler, Joseph F., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 4/1/05 through 6/30/06.

Goetz, James, College of Health Sciences, Instructor (voluntary), Clinical Sciences, 2/1/04 through 6/30/06.

Golden, Jeffery W., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.

*Special Title series

Green, Kenneth E., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 2/1/05 through 6/30/06.
Hart, Thomas K., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 2/1/05 through 6/30/06.
Haskell, Brent, College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 5/1/05 through 6/30/06.
Hauser, Connie, College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 1/3/05 through 6/30/06.
Hill, Charles R., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.
Hoghe, Jennifer H., College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 12/1/04 through 6/30/06.
Johnson, John R., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 4/1/05 through 6/30/06.
Johnson, Thomas D., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.
Kelly, Charles, College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.
Kingsland, Casandre A., College of Agriculture, Instructor (part-time), Merchandising, Apparel & Textiles, 1/1/05 through 5/31/05.
Manion, Kenny J., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.
May, David C., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/05 through 5/15/05.
Moosnick, Nora R., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/05 through 5/15/05.
Myers, Diana, College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.
Ramanaker, Tiruchinapalli V., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 2/1/05 through 6/30/06.
Rentz-Turner, Mary E., College of Fine Arts, Instructor (part-time), Music, 1/7/05 through 6/30/05.
Rowe, Michael K., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.
Rutledge, Heather L., College of Medicine, Assistant Adjunct Professor, Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.
Salins, Praveena M., College of Medicine, Assistant Professor (voluntary), Pediatrics, 2/1/05 through 6/30/06.
Santin, Rene L., College of Medicine, Assistant Professor (voluntary), Pediatrics, 4/1/05 through 6/30/06.
Schimpeler, Charles C., College of Engineering, Instructor (part-time), Civil Engineering, 2/1/05 through 6/30/05.
Scott, Joy G., College of Medicine, Instructor (voluntary), Internal Medicine, 2/1/05 through 6/30/06.
Slade, Susan R., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 2/1/05 through 6/30/06.

*Special Title series

Smoot, Richard C., College of Arts and Sciences, Instructor (part-time), History, 1/1/05 through 5/15/05.

Stenhoff, Donald M., College of Education, Assistant Professor, Special Education and Rehabilitation Counseling, 8/1/05 through 6/30/06.

Wells, Sharon E., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 4/1/05 through 6/30/06.

Xin, Guoce, College of Arts and Sciences, Assistant Professor (visiting), Mathematics, 8/16/05 through 5/15/06.

## B. ADMINISTRATIVE APPOINTMENTS

Cole, Rebecca R., College of Medicine, Nurse Practitioner, Internal Medicine, effective 4/11/05.

Hooper, Gwendolyn, College of Medicine, Nurse Practitioner, Surgery, effective 5/1/05.

Love, Kathleen, College of Medicine, Nurse Practitioner, Internal Medicine, effective 4/4/05.

Phillips, Jane, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, appointed Acting Chair, Modern and Classical Languages, Literatures & Cultures, 7/1/05 through 6/30/06.

Rosbolt, Margaret, College of Medicine, Research Protocol Manager Senior, Surgery, effective 4/1/05.

Stromberg, Debora, Student Affairs, Nurse Practitioner, University Health Service, effective 4/11/05.

## C. JOINT APPOINTMENTS

Hardy, Peter A., Graduate School, Assistant Research Professor, Biomedical Engineering, and joint appointment as Assistant Research Professor, Anatomy and Neurobiology, College of Medicine, new joint appointment as Assistant Research Professor, Diagnostic Radiology, College of Medicine, 4/1/05 through 6/30/05.

Miller, Kim, College of Education, Assistant Professor, Kinesiology and Health Promotion, joint appointment as Assistant Professor, Health Behavior, College of Public Health, 7/1/04 through 6/30/05.

## D. REAPPOINTMENTS

Absher, Kimberly J., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.

Adams, Aimee G., College of Pharmacy, Assistant Adjunct Professor, and joint appointment as Assistant Adjunct Professor, Internal Medicine, College of Medicine, 7/1/05 through 6/30/06.

Akers, Paige S., College of Pharmacy, Assistant Adjunct Professor, 7/1/05 through 6/30/06.

Akin, James W., College of Medicine, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/05 through 6/30/06.

Alberty, J. B., College of Medicine, Instructor (part-time), Pediatrics, 7/1/05 through 6/30/06.

*Special Title series

Alderton, Amy L., College of Agriculture, Assistant Professor, Animal and Food Science, 7/1/05 through 6/30/06.
Allen, Timothy S., College of Medicine, Assistant Professor*, Psychiatry, 7/1/05 through 6/30/06.
Archibald, Sandi K., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/05 through 6/30/06.
Arosarena, Oneida A., College of Medicine, Assistant Professor*, Surgery, 7/1/05 through 6/30/06.
Artiushin, Sergey C., College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/05 through 6/30/06.
Ashford, Kristin B., College of Nursing, Lecturer, 7/1/05 through 6/30/06.
Atallah, Joseph N., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/05 through 6/30/06.
Auer-Flomenhoft, Deborah R., College of Medicine, Clinical Instructor, Pediatrics, 7/1/05 through 6/30/06.
Aziz, Khadija, College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/05 through 6/30/06.
Bailey, William A., College of Agriculture, Assistant Extension Professor, Plant and Soil Sciences, 7/1/05 through 6/30/06.
Ballard, Hubert O., College of Medicine, Assistant Professor*, Pediatrics, 7/1/05 through 6/30/06.
Ballard-Croft, Cherry, College of Medicine, Assistant Research Professor, Surgery, 7/1/05 through 6/30/06.
Barclay, Clayton C., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/05 through 6/30/06.
Barney, Robert J., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/05 through 6/30/06.
Barton, Christopher D., College of Agriculture, Assistant Professor, Forestry, 7/1/05 through 6/30/06.
Bayliff, Sherry L., College of Medicine, Assistant Professor*, Pediatrics, 7/1/05 through 6/30/06.
Beebe, Leslie M., College of Nursing, Instructor (part-time), 7/1/05 through 6/30/06.
Bender, John D., College of Fine Arts, Assistant Professor*, Music, 7/1/05 through 6/30/06.
Bennett, Jeffrey S., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/05 through 6/30/06.
Bennett, John M., College of Medicine, Assistant Professor*, Family Practice and Community Medicine, 7/1/05 through 6/30/06.
Bernard, Andrew C., College of Medicine, Assistant Professor*, Surgery, 7/1/05 through 6/30/06.
Birdwell, John C., College of Fine Arts, Assistant Professor*, Music, 7/1/05 through 6/30/06.
Black, Esther P., College of Pharmacy, Assistant Professor, 7/1/05 through 6/30/06.
Blackburn, Peter J., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.
Blackburn, Richard R., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/05 through 6/30/06.

*Special Title series

Blalock, Eric M., College of Medicine, Assistant Research Professor, Molecular and Biomedical Pharmacology, 7/1/05 through 6/30/06.

Blankenship, Clint C., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/05 through 6/30/06.

Boarman, Christopher A., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/05 through 6/30/06.

Bofill, Rano S., College of Medicine, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/05 through 6/30/06.

Bohanon, Perry K., College of Nursing, Lecturer, 7/1/05 through 6/30/06.

Boland, Michael, College of Medicine, Assistant Professor*, Orthopaedic Surgery, 7/1/05 through 6/30/06.

Botts, Sheila, College of Pharmacy, Assistant Professor*, 7/1/05 through 6/30/06.

Bowers, Rebecca C., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/05 through 6/30/06.

Bradley, Ann L., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/05 through 6/30/06.

Brantley-Hite, Kimberley L., College of Pharmacy, Assistant Adjunct Professor, 7/1/05 through 6/30/06.

Brill, Yolanda M., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.

Burke, Lance P., Libraries, Librarian IV, 7/1/05 through 6/30/06.

Butler, Carina L., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/05 through 6/30/06.

Butler, Craig D., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/05 through 6/30/06.

Butler, Karen M., College of Nursing, Lecturer, 7/1/05 through 6/30/06.

Cadle, Ronald G., College of Medicine, Adjunct Instructor, Pediatrics, 7/1/05 through 6/30/06.

Caldwell, Glyn G., College of Public Health, Assistant Professor (part-time), Epidemiology, 7/1/05 through 6/30/06.

Campbell, Kim K., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/05 through 6/30/06.

Capoor, Seema, College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.

Carlson, Lyle W., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/05 through 6/30/06.

Carlyon, Jason A., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/05 through 6/30/06.

Carpenter, Jason M., College of Agriculture, Assistant Professor, Merchandising, Apparel & Textiles, 7/1/05 through 6/30/06.

Carter, Craig T., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/05 through 6/30/06.

Carvalho, Cletus S., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/05 through 6/30/06.

Caudill, Cliff M., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.

*Special Title series

Cerel, Sylvia L., College of Medicine, Assistant Professor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.

Charnigo, Richard, College of Public Health, Assistant Professor, Biostatistics, and joint appointment as Assistant Professor, Statistics, College of Arts and Sciences, 7/1/05 through 6/30/06.

Cheeseman, Melissa M., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/05 through 6/30/06.

Chi, Young-In, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/05 through 6/30/06.

Chlebowy, Diane O., College of Nursing, Lecturer, 7/1/05 through 6/30/06.

Clifford, Timothy M., College of Pharmacy, Assistant Adjunct Professor, 7/1/05 through 6/30/06.

Clodfelter, Timothy M., College of Fine Arts, Assistant Professor*, Music, 7/1/05 through 6/30/06.

Cohron, Peter P., College of Pharmacy, Assistant Professor (part-time), 7/1/05 through 6/30/06.

Cook, Aaron M., College of Pharmacy, Assistant Adjunct Professor, 7/1/05 through 6/30/06.

Cook, Richard F., College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/05 through 6/30/06.

Cooper, Amy L., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/05 through 6/30/06.

Corales, Enedino R., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/05 through 6/30/06.

Cowley, Jennifer B., College of Nursing, Lecturer, 7/1/05 through 6/30/06.

Craven, Rolf J., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/05 through 6/30/06.

Crofcheck, Czarena, College of Agriculture, Assistant Professor, Biosystems and Agricultural Engineering, 7/1/05 through 6/30/06.

Crutchfield, Barbara K., College of Medicine, Assistant Professor (part-time), Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.

Curd, Philip R., College of Public Health, Assistant Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Assistant Professor (part-time), Family Practice and Community Medicine, College of Medicine, 7/1/05 through 6/30/06.

D'Orazio, John A., College of Medicine, Assistant Professor, Pediatrics, 7/1/05 through 6/30/06.

Dailey, Raleigh K., College of Fine Arts, Lecturer, Music, 7/1/05 through 6/30/06.

Dale, Thomas A., College of Medicine, Assistant Adjunct Professor, Family Practice and Community Medicine, 7/1/05 through 6/30/06.

Dalton, Waller L., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/05 through 6/30/06.

Dasgupta, Siddhartha, College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/05 through 6/30/06.

Dassow, Paul L., College of Medicine, Assistant Professor*, Family Practice and Community Medicine, and joint appointment as Assistant Professor*, Preventive

*Special Title series

Medicine and Environmental Health, College of Public Health, 7/1/05 through 6/30/06.

Davison, Laura, Libraries, Librarian III, 7/1/05 through 6/30/06.

DeFranco, Paul E., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/05 through 6/30/06.

DeLetter, Mary C., College of Nursing, Assistant Professor (part-time), 7/1/05 through 6/30/06.

Dennis, Mareen C., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/05 through 6/30/06.

Dennis, Marian D., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/05 through 6/30/06.

Diebold, Claudia M., College of Nursing, Lecturer, 7/1/05 through 6/30/06.

Dietrich, Charles S., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/05 through 6/30/06.

Dinkins, Randy D., College of Agriculture, Assistant Adjunct Professor, Plant and Soil Sciences, 7/1/05 through 6/30/06.

Dishop, Megan K., College of Medicine, Assistant Professor (part-time, visiting), Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.

Divine, Holly S., College of Pharmacy, Clinical Assistant Professor, 7/1/05 through 6/30/06.

Douglas, William I., College of Medicine, Assistant Professor*, Surgery, and joint appointment as Assistant Professor*, Pediatrics, 7/1/05 through 6/30/06.

Dunavant, Scott L., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/05 through 6/30/06.

Dutch, Rebecca L., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/05 through 6/30/06.

Elkhairi, Dalia S., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/05 through 6/30/06.

Everson, William V., College of Medicine, Assistant Research Professor, Pediatrics, and joint appointment as Assistant Research Professor, Physiology, 7/1/05 through 6/30/06.

Fannon, Michael W., College of Medicine, Assistant Professor, Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.

Farzam, Farjam, College of Medicine, Clinical Assistant Professor, Neurology, 7/1/05 through 6/30/06.

Fee, Dominic B., College of Medicine, Clinical Assistant Professor, Neurology, 7/1/05 through 6/30/06.

Fernander, Anita F., College of Medicine, Assistant Professor, Behavioral Science, 7/1/05 through 6/30/06.

Ferraris, Suellen P., College of Medicine, Assistant Professor (part-time), Surgery, 7/1/05 through 6/30/06.

Fisk-Owais, Carol E., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/05 through 6/30/06.

Flannery, Maureen A., College of Medicine, Assistant Professor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.

Flynn, Jeremy, College of Pharmacy, Assistant Adjunct Professor, 7/1/05 through 6/30/06.

*Special Title series

Fray, Lorelei L., College of Nursing, Instructor (part-time), 7/1/05 through 6/30/06.
Gassett, Jonathan W., College of Agriculture, Assistant Adjunct Professor, Forestry, 7/1/05 through 6/30/06.
Gaugler, Joseph E., College of Medicine, Assistant Professor, Behavioral Science, and joint appointments as Assistant Professor, Psychiatry and Assistant Professor, Family Studies, Human Environmental Sciences, 7/1/05 through 6/30/06.
Geraghty, Robert J., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/05 through 6/30/06.
Ghansah, Joseph N., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/05 through 6/30/06.
Gill, Charlotte A., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.
Given, Curtis A., College of Medicine, Assistant Professor*, Diagnostic Radiology, and joint appointment as Assistant Professor*, Surgery, 7/1/05 through 6/30/06.
Glaser, Paul E., College of Medicine, Assistant Professor, Psychiatry, and joint appointments as Assistant Professor, Anatomy and Neurobiology and Assistant Professor, Pediatrics, 7/1/05 through 6/30/06.
Goebel, Jens, College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.
Goodin, Michael M., College of Agriculture, Assistant Professor, Plant Pathology, 7/1/05 through 6/30/06.
Graf, Gregory A., College of Pharmacy, Assistant Professor, 7/1/05 through 6/30/06.
Graves, Kathryn T., College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/05 through 6/30/06.
Green, Dwaine K., College of Pharmacy, Assistant Adjunct Professor, 7/1/05 through 6/30/06.
Greenlee, Brian A., College of Medicine, Assistant Professor*, Psychiatry, 7/1/05 through 6/30/06.
Greenwell, Stacey C., Libraries, Librarian III, 7/1/05 through 6/30/06.
Grider, Jay S., College of Medicine, Instructor (part-time), Anesthesiology, 7/1/05 through 6/30/06.
Griffith, Joan R., College of Medicine, Assistant Professor*, Pediatrics, 7/1/05 through 6/30/06.
Grimes, William R., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/05 through 6/30/06.
Gurwell, Julie A., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/05 through 6/30/06.
Guttmann, Rodney, College of Public Health, Assistant Professor, Gerontology, and joint appointment as Assistant Professor, Physiology, College of Medicine, 7/1/05 through 6/30/06.
Hamann, Scott R., College of Medicine, Assistant Professor, Anesthesiology, 7/1/05 through 8/17/05.
Hamm, Virginia M., College of Medicine, Assistant Adjunct Professor, Behavioral Science, 7/1/05 through 6/30/06.
Hammond, J. D., College of Pharmacy, Clinical Assistant Professor, 7/1/05 through 6/30/06.

*Special Title series

Hampton, Debra C., College of Nursing, Instructor (part-time), 7/1/05 through 6/30/06.
Hardy, Peter A., Graduate School, Assistant Research Professor, Biomedical Engineering, and joint appointment as Assistant Research Professor, Anatomy and Neurobiology, College of Medicine, 7/1/04 through 6/30/05.
Hart, Lisa M., College of Pharmacy, Clinical Assistant Professor, 7/1/05 through 6/30/06.
Hashem, Abul, College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/05 through 6/30/06.
Hassan, Zaki U., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/05 through 6/30/06.
Havens, Jennifer R., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/05 through 6/30/06.
Heiser, Michael J., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/05 through 6/30/06.
Hester, Molly Y., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/05 through 6/30/06.
High, Kathryn D., College of Medicine, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/05 through 6/30/06.
Hightower, Edward, College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/05 through 6/30/06.
Hioki, Kazuko, Libraries, Librarian IV, 7/1/05 through 6/30/06.
Ho, Dien, College of Arts and Sciences, Assistant Professor, Philosophy, 7/1/05 through 6/30/07.
Holle, Bruce, College of Arts and Sciences, Lecturer, History, 7/1/05 through 6/30/06.
Hollins, Bettye M., College of Health Sciences, Assistant Professor, Clinical Sciences, 7/1/05 through 6/30/06.
Holm-Hudson, Kevin J., College of Fine Arts, Assistant Professor, Music, 7/1/05 through 6/30/06.
Holt, Shannon L., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/05 through 6/30/06.
Holzhauer, Markus K., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/05 through 6/30/06.
Huneycutt, Benjamin S., College of Medicine, Clinical Assistant Professor, Family Practice and Community Medicine, 7/1/05 through 6/30/06.
Iocono, Joseph A., College of Medicine, Assistant Professor*, Surgery, and joint appointment as Assistant Professor*, Pediatrics, 7/1/05 through 6/30/06.
Irwin, Leland J., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/05 through 6/30/06.
Iser, Alison, College of Medicine, Clinical Assistant Professor, Family Practice and Community Medicine, 7/1/05 through 6/30/06.
Jackson, Thad R., College of Medicine, Assistant Professor*, Surgery, 7/1/05 through 6/30/06.
Jacobs-Lawson, Joy M., College of Public Health, Assistant Professor, Gerontology, and joint appointment as Assistant Professor, Psychology, College of Arts and Sciences, 7/1/05 through 6/30/06.
Jay, Susan M., College of Pharmacy, Lecturer, 7/1/05 through 6/30/06.

*Special Title series

Jennings, Connie W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/05 through 6/30/06.
Jeon, Hoonbae, College of Medicine, Assistant Professor*, Surgery, 7/1/05 through 6/30/06.
Jiang, Yang, College of Medicine, Assistant Professor, Behavioral Science, 7/1/05 through 6/30/06.
Johnson, Ellis L., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/05 through 6/30/06.
Johnson, Jill R., College of Pharmacy, Clinical Assistant Professor, 7/1/05 through 6/30/06.
Johnson, Steven D., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/05 through 6/30/06.
Johnson-Deitemeyer, Wendy, College of Pharmacy, Clinical Assistant Professor, 7/1/05 through 6/30/06.
Jones, Larry D., College of Medicine, Clinical Assistant Professor, Family Practice and Community Medicine, 7/1/05 through 6/30/06.
Jones, Mikael, College of Pharmacy, Clinical Assistant Professor, 7/1/05 through 6/30/06.
Kachroo, Pradeep R., College of Agriculture, Assistant Professor, Plant Pathology, 7/1/05 through 6/30/06.
Kad, Surinder K., College of Public Health, Assistant Professor (part-time), Health Services Management, 7/1/05 through 6/30/06.
Kakavand, Bahram, College of Medicine, Assistant Professor*, Pediatrics, 7/1/05 through 6/30/06.
Katz, Douglas G., College of Medicine, Assistant Professor*, Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.
Keller, Dorothy J., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/05 through 6/30/06.
Kelso, Lynn A., College of Nursing, Clinical Assistant Professor, 7/1/05 through 6/30/06.
Kiessling, Stefan G., College of Medicine, Assistant Professor*, Pediatrics, 7/1/05 through 6/30/06.
Kilgore, Michael W., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/05 through 6/30/06.
Kim, Kyung Bo, College of Pharmacy, Assistant Professor, 7/1/05 through 6/30/06.
Kim, Mi-Ok, College of Public Health, Assistant Professor, Biostatistics, and joint appointment as Assistant Professor, Statistics, College of Arts and Sciences, 7/1/05 through 6/30/06.
King, Michael R., College of Medicine, Clinical Instructor, Family Practice and Community Medicine, 7/1/05 through 6/30/06.
Knox, Tamara L., College of Medicine, Clinical Assistant Professor, Family Practice and Community Medicine, 7/1/05 through 6/30/06.
Ko, Chemyong, College of Health Sciences, Assistant Professor, Clinical Sciences, 7/1/05 through 6/30/06.
Kraner, Susan D., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/05 through 5/31/06.
Kremer, Theresa, College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/05 through 6/30/06.

*Special Title series

Kudrimoti, Mahesh R., College of Medicine, Assistant Professor*, Radiation Medicine, 7/1/05 through 6/30/06.

Lane, Matthew T., College of Pharmacy, Clinical Assistant Professor, 7/1/05 through 6/30/06.

Lasher, Steven C., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/05 through 6/30/06.

Latham, Philip B., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/05 through 6/30/06.

Lawrence, Steven J., College of Medicine, Assistant Professor*, Orthopaedic Surgery, 7/1/05 through 6/30/06.

Lear, Linda A., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.

Lee, Kiyoung, College of Public Health, Assistant Professor, Preventive Medicine and Environmental Health, 7/1/05 through 6/30/06.

Leggas, Markos, College of Pharmacy, Assistant Professor, 7/1/05 through 6/30/06.

Leigh, Lynn W., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, and joint appointment as Assistant Professor (voluntary), Family Practice and Community Medicine, College of Medicine, 7/1/05 through 6/30/06.

Lele, Subodh M., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, and joint appointment as Assistant Professor*, Surgery, 7/1/05 through 6/30/06.

Lewis, Daniel A., College of Pharmacy, Assistant Adjunct Professor, 7/1/05 through 6/30/06.

Li, Tonglei, College of Pharmacy, Assistant Professor, 7/1/05 through 6/30/06.

Li, Xiang-an, College of Medicine, Assistant Research Professor, Pediatrics, 7/1/05 through 6/30/06.

Liewer, Susanne E., College of Pharmacy, Assistant Adjunct Professor, 7/1/05 through 6/30/06.

Lile, Joshua A., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/05 through 6/30/06.

Linville, Linda H., College of Medicine, Assistant Adjunct Professor, Behavioral Science, 7/1/05 through 6/30/06.

Loftin, Charles D., College of Pharmacy, Assistant Professor, 7/1/05 through 6/30/06.

Lomenick, Jefferson P., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/05 through 6/30/06.

Love, Margaret M., College of Medicine, Assistant Research Professor, Family Practice and Community Medicine, and joint appointment as Assistant Research Professor, Kentucky School of Public Health, 7/1/05 through 6/30/06.

Lovitz, Wanda, College of Nursing, Lecturer, 7/1/05 through 6/30/06.

Lowry, Regina C., College of Nursing, Lecturer, 7/1/05 through 6/30/06.

Madan, Niti, College of Medicine, Assistant Professor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.

Majmundar, Mamata G., College of Medicine, Instructor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.

Martin, Craig A., College of Pharmacy, Assistant Adjunct Professor, 7/1/05 through 6/30/06.

*Special Title series

Massa, F. Christopher, College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/05 through 6/30/06.

Matocha, Christopher J., College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/05 through 5/2/06.

McCann, Richard O., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/05 through 6/30/06.

McColl, Daphne M., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.

McEwen, Melanie L., College of Medicine, Assistant Research Professor, Physical Medicine and Rehabilitation, 7/1/05 through 6/30/06.

McIntosh, Bruce A., College of Pharmacy, Clinical Assistant Professor, 7/1/05 through 6/30/06.

McLarney, John T., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/05 through 6/30/06.

McLaughlin, Paul W., College of Medicine, Assistant Professor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.

McLeod, Kyle R., College of Agriculture, Assistant Professor, Animal and Food Science, 7/1/05 through 6/30/06.

McVay, Vicki S., College of Fine Arts, Lecturer, Music, 7/1/05 through 6/30/06.

Mehr, Douglas S., College of Medicine, Instructor (part-time), Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.

Midboe-Penn, Pamela R., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/05 through 6/30/06.

Milan, Primo, College of Medicine, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/05 through 6/30/06.

Mimms, William R., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/05 through 6/30/06.

Minion, David J., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/05 through 6/30/06.

Modesitt, Susan C., College of Medicine, Assistant Professor*, Obstetrics and Gynecology, 7/1/05 through 6/30/06.

Mohan, Royce, College of Medicine, Assistant Professor, Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.

Moncman, Carole L., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/05 through 6/30/06.

Moore, Angela R., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/05 through 6/30/06.

Moore, Thomas S., College of Medicine, Assistant Professor (part-time), Surgery, 7/1/05 through 6/30/06.

Muha, Brett M., College of Medicine, Assistant Professor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.

Murphy, Michael P., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/05 through 6/30/06.

Murphy, Paul J., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, and joint appointment as Assistant Professor*, College of Pharmacy, 7/1/05 through 6/30/06.

*Special Title series

Murray, Shona C., College of Medicine, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/05 through 6/30/06.

Murrell, Diane M., College of Nursing, Lecturer, 7/1/05 through 6/30/06.

Napier, Sharon M., College of Medicine, Assistant Professor (part-time), Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.

Newman, Ronald L., College of Medicine, Clinical Assistant Professor, Anesthesiology, and joint appointments as Clinical Assistant Professor, Physical Medicine and Rehabilitation and Clinical Assistant Professor, Clinical Sciences, College of Health Sciences, 7/1/05 through 6/30/06.

Nicholas, Amy, College of Pharmacy, Clinical Assistant Professor, 7/1/05 through 6/30/06.

Nicholson, Valerie J., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/05 through 6/30/06.

Norikane, Joey H., College of Agriculture, Assistant Professor, Biosystems and Agricultural Engineering, 7/1/05 through 6/30/06.

Norris, Christopher M., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/05 through 6/30/06.

O'Donnell, Helen M., College of Medicine, Assistant Professor (part-time), Physical Medicine and Rehabilitation, 7/1/05 through 6/30/06.

O'Neill, Amy M., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/05 through 6/30/06.

Oakley, Oliver R., College of Health Sciences, Assistant Professor, Clinical Sciences, 7/1/05 through 6/30/06.

Oakley, Robert S., College of Pharmacy, Assistant Professor (part-time), 7/1/05 through 6/30/06.

Oser, Carrie B., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/05 through 6/30/06.

Painter, Travis A., College of Health Sciences, Lecturer, Clinical Sciences, 7/1/05 through 6/30/06.

Patterson, Donald E., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/05 through 6/30/06.

Patterson, John A., College of Medicine, Assistant Professor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.

Pena, Elpidio, College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, and joint appointment as Assistant Professor*, Internal Medicine, 7/1/05 through 6/30/06.

Pennington, Michael A., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/05 through 6/30/06.

Pierce, Andrew J., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, and joint appointment as Assistant Professor, Graduate Center for Toxicology, 7/1/05 through 6/30/06.

Plattner, Rina, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/05 through 6/30/06.

Plous, Oren Z., College of Medicine, Instructor (part-time), Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.

Polisetty, Rayudu B., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/05 through 6/30/06.

*Special Title series

Pollack, Susan H., College of Medicine, Assistant Research Professor, Pediatrics, and joint appointment as Assistant Research Professor, Preventive Medicine and Environmental Health, College of Public Health, 7/1/05 through 6/30/06.

Popkin, Beate, College of Arts and Sciences, Instructor (part-time), History, 1/1/05 through 5/15/05.

Powdrill, Samuel G., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/05 through 6/30/06.

Powell, David B., College of Medicine, Instructor (part-time), Anesthesiology, 7/1/05 through 6/30/06.

Primm, Daniel D., College of Medicine, Assistant Professor (part-time), Orthopaedic Surgery, 7/1/05 through 6/30/06.

Pulmano, Calixto M., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, and joint appointment as Assistant Professor (part-time), Neurology, 7/1/05 through 6/30/06.

Pushkarskaya, Helen N., College of Agriculture, Assistant Professor, Agricultural Economics, 7/1/05 through 6/30/06.

Quandt, M. Denise, College of Medicine, Clinical Instructor, Behavioral Science, 7/1/05 through 6/30/06.

Rager, Kristin M., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/05 through 6/30/06.

Ramaiah, Chand, College of Medicine, Clinical Assistant Professor, Surgery, 7/1/05 through 6/30/06.

Ramaiah, Dinesh, College of Medicine, Clinical Instructor, Anesthesiology, 7/1/05 through 6/30/06.

Ratliff, Darian, College of Medicine, Assistant Professor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.

Reddy, Arundathi M., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/05 through 6/30/06.

Reynolds, Eric W., College of Medicine, Assistant Professor*, Pediatrics, 7/1/05 through 6/30/06.

Rice, Jessica L., College of Nursing, Lecturer, 7/1/05 through 6/30/06.

Ringley, Kimberly R., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/05 through 6/30/06.

Rinker, Brian D., College of Medicine, Assistant Professor*, Surgery, 7/1/05 through 6/30/06.

Rippetoe Freeman, Patricia E., College of Pharmacy, Lecturer, 7/1/05 through 6/30/06.

Rivard, Arthur K., College of Medicine, Assistant Professor (part-time), Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.

Rock, Troy C., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/05 through 6/30/06.

Rockich, Anna K., College of Medicine, Assistant Research Professor, Surgery, and joint appointment as Assistant Research Professor, College of Pharmacy, 7/1/05 through 6/30/06.

Rodgers, Toni G., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/05 through 6/30/06.

*Special Title series

Rogozinski, Zbigniew S., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/05 through 6/30/06.
Rose, Gregory L., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/05 through 6/30/06.
Ross, Jenna L., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/05 through 6/30/06.
Roussel, Guy, College of Medicine, Assistant Professor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.
Salles, Sara S., College of Medicine, Assistant Professor*, Physical Medicine and Rehabilitation, 7/1/05 through 6/30/06.
Samayoa, Luis M., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.
Scannell, Kerri A., Libraries, Librarian III, 7/1/05 through 6/30/06.
Schroeder, Valerie A., College of Medicine, Assistant Professor*, Pediatrics, 7/1/05 through 6/30/06.
Schwab, Gregory J., College of Agriculture, Assistant Extension Professor, Plant and Soil Sciences, 7/1/05 through 6/30/06.
Selby, Jeffrey B., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/05 through 6/30/06.
Sellitti, Marguerite A., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/05 through 6/30/06.
Shimojo, Masahito, College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 7/1/05 through 6/30/06.
Shulman, Robert S., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/05 through 6/30/06.
Sikder, Ayesha M., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, and joint appointment as Assistant Professor (voluntary), Internal Medicine, College of Medicine, 7/1/05 through 6/30/06.
Siminszky, Balazs, College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/05 through 6/30/06.
Simms, Lynne D., College of Medicine, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/05 through 6/30/06.
Simon, Marion F., College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/05 through 6/30/06.
Simon, Robert E., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/05 through 6/30/06.
Sinha, Partha, College of Medicine, Assistant Professor*, Diagnostic Radiology, 7/1/05 through 6/30/06.
Sirk, Charles L., College of Business and Economics, Instructor (part-time), Management, 1/1/05 through 5/15/05.
Smalle, Jan A., College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/05 through 6/30/06.
Smith, Michael D., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/05 through 6/30/06.
Smith, Susan K., Libraries, Librarian IV, 7/1/05 through 6/30/06.

*Special Title series

Spengler, Susan E., College of Public Health, Assistant Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Assistant Professor (part-time), Family Practice and Community Medicine, College of Medicine, 7/1/05 through 6/30/06.

St. Clair, William H., College of Medicine, Assistant Professor, Radiation Medicine, 7/1/05 through 6/30/06.

Staton Tindall, Michele, College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/05 through 6/30/06.

Stava, Michael W., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/05 through 6/30/06.

Steinman, Margaret N., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/05 through 6/30/06.

Steyn, Pieter G., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/05 through 6/30/06.

Stines, Elsie M., College of Medicine, Instructor (part-time), Pediatrics, and joint appointment as Instructor (part-time), College of Nursing, 7/1/05 through 6/30/06.

Stombaugh, Timothy S., College of Agriculture, Assistant Extension Professor, Biosystems and Agricultural Engineering, 7/1/05 through 3/31/06.

Stratton, Terry D., College of Medicine, Assistant Professor*, Behavioral Science, 7/1/05 through 6/30/06.

Sugarman, Jacqueline M., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.

Swartz, Karin R., College of Medicine, Assistant Professor*, Surgery, 7/1/05 through 6/30/06.

Swope, Marian, College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/05 through 6/30/06.

Talwalkar, Vishwas R., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/05 through 6/30/06.

Taylor, Christina, College of Pharmacy, Lecturer, 7/1/05 through 6/30/06.

Thibault, Olivier H., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/05 through 6/30/06.

Thies, Daniel G., College of Pharmacy, Clinical Assistant Professor, 7/1/05 through 6/30/06.

Thompson, Angelia F., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/05 through 6/30/06.

Tiggelaar, John M., College of Pharmacy, Assistant Professor (part-time), 7/1/05 through 6/30/06.

Tisdall, Ouida F., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/05 through 6/30/06.

Troffkin, Neil A., College of Medicine, Assistant Professor*, Surgery, and joint appointment as Assistant Professor*, Diagnostic Radiology, 7/1/05 through 6/30/06.

Turner, M. Dawn, College of Medicine, Assistant Professor*, Pediatrics, 7/1/05 through 6/30/06.

Vaishnav, Anand G., College of Medicine, Assistant Professor, Neurology, 7/1/05 through 6/30/06.

*Special Title series

Van Doren, Saratha K., College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/05 through 6/30/06.
van Wyk, Charl S., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/05 through 6/30/06.
Vranicar, Mark G., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/05 through 6/30/06.
Wagner, Kathleen D., College of Nursing, Lecturer, 7/1/05 through 6/30/06.
Walker, James R., College of Medicine, Assistant Research Professor, Psychiatry, and joint appointments as Assistant Research Professor, Behavioral Science and Assistant Research Professor, College of Social Work, 7/1/05 through 6/30/06.
Walmsley, Lee A., College of Nursing, Lecturer, 7/1/05 through 6/30/06.
Webster, John M., College of Medicine, Assistant Professor, Behavioral Science, 7/1/05 through 6/30/06.
Wells, Raymond D., College of Medicine, Assistant Professor (part-time), Family Practice and Community Medicine, 7/1/05 through 6/30/06.
Welsh, J. Darlene, College of Nursing, Lecturer, 7/1/05 through 6/30/06.
Wilson, Timothy C., College of Medicine, Assistant Professor*, Orthopaedic Surgery, 7/1/05 through 6/30/06.
Winstead, P. S., College of Pharmacy, Assistant Adjunct Professor, 7/1/05 through 6/30/06.
Winters, Andrew M., College of Social Work, Instructor (part-time), 8/16/04 through 5/15/05.
Woodrum, Kim, College of Arts and Sciences, Lecturer, Chemistry, 7/1/05 through 6/30/06.
Woods, Sheila H., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.
Woodward, Thomas A., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/05 through 6/30/06.
Wright, Scott J., College of Fine Arts, Assistant Professor*, Music, 7/1/05 through 6/30/06.
Xu, Dongmei, College of Agriculture, Assistant Adjunct Professor, Plant and Soil Sciences, 7/1/05 through 6/30/06.
Yarbrough, Fay, College of Arts and Sciences, Assistant Professor, History, 7/1/05 through 6/30/07.
Yotsumoto, Yukio, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures and Cultures, 1/1/05 through 6/30/05.
Young, William F., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/05 through 6/30/06.
Zachman, Frederick M., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/05 through 6/30/06.
Zhao, Hong-Bo, College of Medicine, Assistant Professor, Surgery, 7/1/05 through 6/30/06.
Zhu, Haining, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/05 through 6/30/06.
Zhu, Hongyan, College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/05 through 6/30/06.

*Special Title series

## E. PROMOTIONS WITHOUT TENURE

Wolfgang-Jackson, Lesley, Libraries, from Librarian IV, to Librarian III, 7/1/05 through 6/30/06.

## F. CHANGES

Atwood, Katharine A., College of Public Health, from Assistant Professor (part-time), Preventive Medicine and Environmental Health, to Assistant Professor (part-time), Health Behavior, 1/1/05 through 6/30/05.

Huller, Kenneth, College of Medicine, from IS Tech Support Specialist IV, Markey Cancer Center, to Information Technology Manager II, Markey Cancer Center, effective 2/21/05.

Rogozinski, Zbigniew S., College of Medicine, from Clinical Assistant Professor, Anesthesiology, to Assistant Professor (part-time), Anesthesiology, 3/1/05 through 6/30/05.

## G. TERMINAL APPOINTMENTS

Chendil, Damodaran, College of Health Sciences, Assistant Research Professor, Clinical Sciences, 7/1/05 through 6/30/06.

D'Angelo, Elisa M., College of Agriculture, Assistant Professor, Plant and Soil Sciences, 5/3/05 through 6/30/05.

Graham, George J., College of Public Health, Assistant Research Professor, Health Services Management, 7/1/05 through 6/30/06.

Hamperian, Roger K., Libraries, Librarian IV, 7/1/05 through 6/30/06.

Ibrahim, Mohamed, College of Medicine, Clinical Assistant Professor, Surgery, 7/1/05 through 6/30/06.

Panchal, Mahendra J., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/05 through 12/31/05.

Rosenthal, M. Sara, College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/05 through 6/30/06.

Testa, Stephen, College of Arts and Sciences, Assistant Professor, Chemistry, 7/1/05 through 6/30/06.

Wala, Elzbieta, College of Medicine, Assistant Research Professor, Anesthesiology, 7/1/05 through 6/30/06.

## H. LEAVES OF ABSENCE

Arterburn, Martha B., College of Agriculture, County Extension Agent, Cooperative Extension Service, Educational leave at full salary, 5/31/05 through 7/1/05.

Cliggett, Lisa, College of Arts and Sciences, Associate Professor (with tenure), Anthropology, Sabbatical leave at half salary, 7/1/05 through 6/30/06.

Durant, David, College of Arts and Sciences, Associate Professor (with tenure), English, Sabbatical leave at full salary, 7/1/05 through 12/31/05.

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*Special Title series

Goldberg, Sanford, College of Arts and Sciences, Associate Professor (with tenure), Philosophy, from Sabbatical leave at half salary, 7/1/05 through 6/30/06, to Sabbatical leave at full salary, 7/1/05 through 12/31/05.

Hogg, Gordon E., Libraries, Librarian I (with tenure), from Sabbatical leave at full salary, 7/1/05 through 12/31/05, to Sabbatical leave at full salary, 1/1/06 through 6/30/06.

Jansen, Cathy, College of Agriculture, County Extension Agent, Educational leave at full salary, 6/20/05 through 12/20/05.

Jensen, Jane, College of Education, Associate Professor (with tenure), Educational Policy Studies and Evaluation, Sabbatical leave at full salary, 1/1/06 through 6/30/06.

Lewin, Jennifer, College of Arts and Sciences, Assistant Professor, English, Scholarly fellowship leave, 7/1/05 through 12/31/05.

Parker, Johne M., College of Engineering, Associate Professor (with tenure), Mechanical Engineering, Sabbatical leave at half salary, 7/1/05 through 6/30/06.

Roberts, Susan, College of Arts and Sciences, Associate Professor (with tenure), Geography, from Sabbatical leave at full salary, 7/1/05 through 12/31/05, to Sabbatical leave at half salary, 7/1/05 through 6/30/06.

Schein, Richard, College of Arts and Sciences, Associate Professor (with tenure), Geography, from Sabbatical leave at full salary, 7/1/05 through 12/31/05, to Sabbatical leave at full salary, 1/1/06 through 6/30/06.

Smyers, Don R., College of Agriculture, County Extension Agent, Cooperative Extension Service, Sabbatical leave at full salary, 8/20/05 through 12/16/05.

Stapleton, Kristin, College of Arts and Sciences, Associate Professor (with tenure), History, Leave without pay, 7/1/05 through 6/30/06.

Zimmerman, Rick S., College of Communications and Information Studies, Professor (with tenure), Communication, Leave without pay, 8/16/05 through 12/31/05, and Leave without pay, 8/16/06 through 12/31/06.

*Special Title series

## EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

### I. BOARD ACTION

#### A. ADMINISTRATIVE APPOINTMENTS

Swartz, Colleen, University Hospital, Nursing Operations Administrator, Nursing, effective 5/16/05.

#### B. EARLY RETIREMENTS

Calhoun-Martin, Deborah, University Hospital, Nurse Clinical III, Neonatal Intensive Care Unit, after 25 years and 8 months of consecutive service, under AR II-1-6-1 Section III.A.2, effective 4/5/05.

Hicks, John C., University Hospital, Radiation Technician/Master/Magnetic Resonance Imaging, Diagnostic Radiation, after 19 years and 10 months of consecutive service, under AR II-1-6-1 Section III.A.2, effective 4/1/05.

Reed, Joan S., Health Affairs, Administrative Support Associate I, Risk Management, after 15 years of consecutive service, under AR II-1-6-1 Section III.A.2, effective 3/1/05.

#### C. POST-RETIREMENT APPOINTMENTS

Becknell, Wanda, University Hospital, Registered Nurse, Psychiatry, 7/1/05 through 6/30/06.

Blair, Ruth, University Hospital, Registered Nurse, Obstetrics, 7/1/05 through 6/30/06.

Brock, Linda, University Hospital, Patient Service Coordinator, Obstetrics, 7/1/05 through 6/30/06.

Calhoun-Martin, Deborah, University Hospital, Registered Nurse, Pediatrics, 7/1/05 through 6/30/06.

Carter, Cynthia, University Hospital, Registered Nurse, Pediatrics, 7/1/05 through 6/30/06.

Giovanetto, Laurie, University Hospital, Registered Nurse, Obstetrics, 7/1/05 through 6/30/06.

Kazee, Melody, University Hospital, Registered Nurse, Pediatrics, 7/1/05 through 6/30/06.

Kinnaird, Sally, University Hospital, Registered Nurse, Pediatrics, 7/1/05 through 6/30/06.

Larimore, Ree, University Hospital, Nursing Care Technician, Obstetrics, 7/1/05 through 6/30/06.

### II. ADMINISTRATIVE ACTION

#### A. ADMINISTRATIVE APPOINTMENTS

*Special Title series

Spath, Martha Lynn, University Hospital, Patient Care Manager, Emergency/Trauma Administration, effective 4/11/05.

*Special Title series

## EXECUTIVE VICE PRESIDENT FOR RESEARCH

### I. ADMINISTRATIVE ACTION

#### A. ADMINISTRATIVE APPOINTMENTS

Knight, Evelyn, College of Public Health, Associate Research Professor (without tenure), Health Behavior, appointed Director of the Appalachian Center, 5/1/05 through 4/30/11.

#### B. CHANGES

Rathbone, Robert, Center for Applied Energy Research, from Geologist III to Geologist IV, effective 2/1/05.

Graham, Uschi, Center for Applied Energy Research, from Scientist II to Scientist III, effective 2/1/05.

*Special Title series

# PR 3

May 10, 2005

Members, Board of Trustees:

WAIVER OF PART X OF THE GOVERNING REGULATIONS PERTAINING TO THE EMPLOYMENT OF RELATIVES IN THE CASE OF BRIAN P. BUTLER

Recommendation: that the Board of Trustees authorize the continued employment of Brian P. Butler, son of Frank A. Butler, Executive Vice President for Finance and Administration (EVPFA), for two years pursuant to the provision contained in Governing Regulations X authorizing a waiver of the rules prohibiting employment of a relative of the Provost, Vice President, or Associate Vice President in that officer's administrative area.

Background: Frank A. Butler was appointed Hospital Director in 1975. Brian P. Butler, son of Frank A. Butler, became an employee at the University of Kentucky in 1988 in the College of Pharmacy, which is outside the area of responsibility of the Hospital Director. In September of 2001, Frank A. Butler assumed the position of Vice President of Medical Center Operations and subsequently Brian P. Butler transferred to a position in the Department of Environmental Health and Safety which is outside the area of responsibility of the Vice President of Medical Center Operations and ultimately reports to the EVPFA. In May 2005, Frank A. Butler was appointed EVPFA.

The Governing Regulations state that "no relative of the Provost, or any Vice President, or any Associate Provost or Associate Vice President shall be employed in a position in that officer's administrative area." Waiver of this regulation is permitted for up to two years by the Board. The Regulations further state that the relative is not eligible for reappointment under the terms of any exception unless approval is given by the Board of Trustees. Finally, the Regulations prohibit an individual holding an appointment in a position over which a relative exercises supervisory or line authority.

With the appointment of Frank A. Butler as EVPFA, Brian P. Butler will be employed in a position that technically falls in the EVPFA's administrative area. Brian P. Butler currently is employed as a Hazardous Waste Specialist Senior in the Department of Environmental Health and Safety reporting to a manager of Environmental Management who reports to the Director of Environmental Health and Safety who reports to the Associate Vice President for Campus Services who in turn reports to the EVPFA. This situation was not present when Brian P. Butler was hired at the University in 1988. Nor was the situation present when Frank A. Butler was promoted to Vice President of Medical Center Operations.

In light of the fact that Brian P. Butler has been employed at the University since 1988 and was not in an administrative area that reported to his father, Frank A. Butler, until the latter's appointment as EVPFA and is four levels removed from his father, the President recommends the Board grant this waiver so Brian P. Butler may continue his employment in the Department

of Environmental Health and Safety. Frank A. Butler will not be involved in any personnel action concerning Brian P. Butler to include merit reviews, compensation, consideration for promotion, reappointment, academic assignment or any other matter involving his employment or evaluation at the University. The Associate Vice President for Campus Services will assume all such supervisory responsibilities and if necessary seek higher level approval from the President.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# SCGR 1

Office of the President
May 10, 2005

Members, Board of Trustees:

PROPOSED REVISIONS TO THE GOVERNING REGULATIONS

Recommendation: that the proposed revisions to the Governing Regulations of the University of Kentucky be given preliminary consideration for approval and adoption at the next regular meeting of the Board of Trustees June 14, 2005.

Each Board member has a notebook that contains the proposed revisions. Proposed additions are in blue and underlined; proposed deletions are in red and a line runs through deleted language.

Background: Over two years ago, President Lee Todd appointed a campus-wide committee, chaired by Dr. Phyllis Nash, to review and recommend changes in the Governing Regulations. The initial impetus was the reorganization of the university from the Chancellor Model to a Provost Model. In addition, revisions are needed to reflect the changed relationship between UK and Lexington Community College. Finally, isolated revisions to the Governing Regulations over time have resulted in a disorganized and confusing document.

After a review of proposed revisions of the Governing Regulations, President Todd approved the draft Governing Regulations and forwarded them to the Board for consideration. Chair James Hardymon appointed a Special Committee of the Board to review the proposed Governing Regulations and make a recommendation to the full Board. Mira Ball chaired the committee, consisting of Roy Moore, Frank Shoop, Russ Williams, and Barbara Young. After careful review and some further revision, the special committee presents to the board members the proposed revisions. The committee believes these revised Governing Regulations formally implement the Provost Model of the University, clarify the UK-LCC-Kentucky Community and Technical College System relationship, comply with state and federal laws, and give the board and the university community a better organized and more readable document for governance of the University.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# PART I

## THE UNIVERSITY OF KENTUCKY (DEFINITION)

A. Statutory Definition

1. The term University of Kentucky refers to the institution described in the Kentucky Revised Statutes (KRS) in Chapter 164. KRS 164.100 states that the University of Kentucky is hereby recognized as established and maintained. The legislation states that the University of Kentucky is the institution that was founded under the land grant of 1862 by the United States Congress under the corporate designation and title of "Agricultural and Mechanical College of Kentucky." Further, KRS 164.100 provides that the University shall be maintained by the state with such endowment, incomes, buildings, and equipment as will enable it to do work such as is done in other institutions of corresponding rank, both undergraduate and postgraduate, while embracing instruction as well as research.

2. Legislation in KRS 164.120* states that that the colleges, schools, divisions, department, bureaus and offices that are now established and maintained or that in the future may be established by the Board of Trustees shall constitute the University of Kentucky. The legislation also notes that the branches of learning required by the Land Grant Act of Congress approved July 2, 1862, shall be integral and indispensable courses of instruction at the University.

3. Through KRS 164.125, the legislation provides that the University of Kentucky shall be the principal state institution for the conduct of statewide research and statewide service programs and shall be the primary institution authorized to expend State General Fund

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*The dates of establishment of the colleges listed in the statute are: ***Arts and Sciences*** (1908), ***Agriculture*** (1908), ***Law*** (1908), ***Education*** (1925), ***Graduate*** (1912), ***Engineering*** (1918, as the merger of the College of Civil Engineering, the College of Mechanical Engineering, and the College of Mines and Metals), and Commerce (1925, renamed the College of Business and Economics February 18, 1966; renamed the ***Gatton College of Business and Economics,*** 1996). In accordance with this statute, the following colleges have been subsequently established by the Board of Trustees: ***Pharmacy*** (July 1, 1947), ***Medicine*** (June 1, 1954), ***Dentistry*** (May 28, 1956), ***Nursing*** (May 28, 1956), Architecture (separated from Engineering as a ~~college~~ School July 1, 1964; raised to a college May 5, 1970; renamed ***Design,*** October 29, 2002), Allied Health Personnel (as a separate school January 1, 1966; raised to a college, May 5, 1970, renamed Allied Health Professions, January 20, 1967; renamed ***Health Sciences,*** April 30, 2002), Home Economics (separated from the College of Agriculture, 1916; merged back into College of Agriculture, 1917; separated from Agriculture as a school July 1, 1967; raised to a college May 5, 1970; renamed Human Environmental Sciences, January 22, 1991; merged into the College of Agriculture July 1, 2003 as a ***School of Human Environmental Sciences***), Library Science (separated from Arts and Sciences as a school April 2, 1968; raised to a college May 5, 1970; renamed Library and Information Science, April 6, 1982; merged with Communications and renamed ***Communications and Information Studies,*** June 22, 1993), Social Professions (separated from Arts and Sciences as a school June 24, 1968; raised to a college May 5, 1970; renamed ***Social Work,*** May 6, 1980), Communications (May 4, 1976; renamed ***Communications and Information Studies,*** June 22, 1993), and ***Fine Arts*** (separated from Arts and Sciences May 4, 1976).

appropriations on research and service programs of a statewide nature financed principally by state funds. Further, this legislation states that (a) the University's research and service programs of a statewide nature, as noted in KRS 164.125, shall be programs requiring the establishment and operation of facilities or centers outside of the primary service area of the institution; (b) in carrying out its statewide mission, the University of Kentucky shall conduct statewide research and provide statewide services including, but not limited to, agricultural research and extension services, industrial and scientific research, industrial technology extension services to Kentucky employers, and research related to the doctoral, professional, and post-doctoral programs offered within the University; (c) the University may establish and operate centers and utilize state appropriations and other resources to carry out the necessary research and service activities throughout the state; and (d) the University may enter into joint research and service activities with other universities in order to accomplish its statewide mission. The legislation specifically notes that nothing contained in KRS 164.125(2) shall limit the authority of the Council on Postsecondary Education to establish instructional programs that are consistent with the strategic agenda.

~~2. The legislation states that the colleges, schools, divisions, department, bureaus and offices that are now established and maintained or that in the future may be established by the Board of Trustees shall constitute the University of Kentucky. The legislation also notes that the branches of learning required by the Land Grant Act of Congress approved July 2, 1862, shall be integral and indispensable courses of instruction at the University.~~

~~3. The University of Kentucky shall be the principal state institution for the conduct of statewide research and statewide service programs and shall be the primary institution authorized to expend State General Fund appropriations on research and service programs of a statewide nature financed principally by state funds. As applied in this section, research and service programs of a statewide nature shall be programs requiring the establishment and operation of facilities or centers outside of the primary service area of the institution. In carrying out its statewide mission, the University of Kentucky shall conduct statewide research and provide statewide services including, but not limited to, agricultural research and extension services, industrial and scientific research, industrial technology extension services to Kentucky employers, and research related to the doctoral, professional, and post-doctoral programs offered within the University. The University may establish and operate centers and utilize state appropriations and other resources to carry out the necessary research and service activities throughout the state. The University may enter into joint research and service activities with other universities in order to accomplish its statewide mission. Nothing contained in this subsection shall limit the authority of the Council on Postsecondary Education to establish instructional programs that are consistent with the strategic agenda. (KRS 164.125)~~

~~———~~B. University Programs and Requirements

1. The University of Kentucky shall provide:

(a) Upon approval of the Council on Postsecondary Education, baccalaureate programs of instruction;

(b) Upon approval of the Council on Postsecondary Education, master degree programs, specialist degree programs above the master's degree level, and joint doctoral programs in cooperation with other public postsecondary educational institutions in the state;

(c) Upon approval of the Council on Postsecondary Education, doctoral and post-doctoral programs and professional instruction including law, medicine, dentistry, education, architecture, engineering and social professions. (KRS 164.125)

2. The Board of Trustees is an independent agency and an instrumentality of the Commonwealth of Kentucky with exclusive jurisdiction, power over and control of appointments and terminations, qualifications, salaries and compensation, as well as promotions, relations and retirement benefits of all employees of the University.

C. Vision, Mission and Values

The University of Kentucky Board of Trustees adopted the following Vision, Mission and Values Statement on April 1, 2003.

1. Vision

The University of Kentucky will be one of the nation's 20 best public research universities, an institution recognized world-wide for excellence in instruction, research, and service and a catalyst for intellectual, social, cultural, and economic development.

2. Mission

The University of Kentucky is a public research-extensive, land grant university dedicated to enriching people's lives through excellence in instruction, research, and service.

The University of Kentucky:

- Facilitates learning, informed by scholarship and research;
- Expands knowledge through research, scholarship and creative activity; and
- Serves a global community by disseminating, sharing and applying knowledge.

The University, as the flagship institution, plays a critical leadership role for the Commonwealth by promoting human and economic development that improves lives within Kentucky's borders and beyond. The University models a diverse community characterized by fairness and social justice.

3. Values

The values of the University guide our decisions and behavior. Our core values are:

- integrity;
- academic excellence and freedom;
- mutual respect and human dignity;
- diversity of thought, culture, gender, and ethnicity;
- personal and institutional responsibility and accountability;
- shared governance;
- a sense of community;
- sensitivity to work-life concerns; and
- civic responsibility.

D. ~~D.~~Ethical Principles and Code of Conduct

The Board of Trustees adopted the document, Ethical Principles and Code of Conduct, on ~~April 1, 2330~~ January 27, 2004.

1. Ethical Principles

(a). The University of Kentucky Ethical Principles and Code of Conduct are intended to document expectations of responsibility and integrity. Exemplary ethical conduct is critically important in our relationships with colleagues, trustees, students, volunteers, contractors, and the public. This statement reflects the values of the University approved by the Board of Trustees on ~~April 1, 2003~~ January 27, 2004.

(b). The following core values guide our decisions and behavior:~~.~~

- ~~I~~integrity
- ~~A~~academic excellence and freedom
- ~~M~~mutual respect and human dignity
- ~~D~~diversity of thought, culture, gender, and ethnicity
- ~~P~~personal and institutional responsibility and accountability
- ~~S~~shared governance
- ~~A~~a sense of community
- ~~S~~sensitivity to work-life concerns
- ~~C~~civic responsibility

(c). Each member of the University must endeavor to:

- promote the best interests of the University of Kentucky
- foster the Vision, Mission, and Values of the University
- preserve the public's respect and confidence in the University of Kentucky
- exhibit personal integrity, honesty, and responsibility in all actions
- provide an environment of mutual respect, impartiality, and collaboration
- maintain confidentiality in all matters deemed confidential
- assure independence of judgment free from conflicting interests
- ensure that relationships that constitute or could be perceived as conflicts of interest are fully and properly disclosed and University guidelines are followed

- comply with the policies and procedures of the University of Kentucky and applicable state and federal laws and regulations
- demonstrate stewardship of University property and resources

2. Code of Conduct

(a). Those acting on behalf of the University of Kentucky have a duty to conduct themselves in a manner that will maintain the public's trust in the integrity of the University and to act compatibly with their obligation to the University. The Code of Conduct establishes guidelines for professional conduct for University members, including trustees, executive officers, faculty, staff, and other individuals employed by the University, those using University resources or facilities, and volunteers and representatives acting as agents of the University (collectively "University members"). The conduct of students is addressed in the *Student Rights and Responsibilities.*

(b). The Code of Conduct is intended as a general guide to determine what conduct is expected and to help individuals to determine behaviors that should be avoided. Employees are strongly urged to consult with their supervisor to review and evaluate specific situations. In addition to the Code, University members are generally subject to all University codes, regulations, and policies and state and federal law. Violations of this code will be subject to appropriate penalties.

(c). While this Code of Conduct provides overall guidance and in some instances interpretation, additional guidance is found in other official University policy documents, such as the Governing Regulations, Administrative Regulations, Human Resources Policies, University Senate Rules, and Business Procedures Manual.

(1) Nondiscrimination Policy

Equal opportunities shall be provided for all persons throughout the University in recruitment, appointment, promotion, payment, training, and other employment and education practices without regard for economic or social status and will not discriminate on the basis of~~to sex, sexual orientation,~~ race, color, ethnic origin, national origin, ~~color,~~ creed, religion, ~~age, uniform service or veteran status, physical or mental disability, or~~ political belief, sex, sexual orientation, marital status, or age. The University does not discriminate on the basis of uniform service, veteran status, or physical or mental disability when an individual otherwise meets the minimum qualifications for application or participation. All University members are expected to comply with the institution's nondiscrimination policy. The President is responsible for the development of an affirmative action plan by which full implementation of this policy shall be ~~effective~~ effected in the University. (See Human Resources Policy and Procedures Number 2.0)

(NOTE: D. Equal Opportunity is thus eliminated here in Part I.D. Equal Opportunity should also be eliminated from GR XII.)

(2) Confidentiality of Information

University members are entrusted with personal and institutional information that should be treated with confidentiality and used only for conducting University business. Respect for individual and institutional privacy requires the exercise of care and judgment. Unless required or permitted by law or University regulations, personal and official information provided by and about faculty, staff and students must not be given to third parties without the consent of the individuals concerned. When doubt exists regarding the confidentiality of information, University members should presume information is confidential until determined otherwise.

(3) Use of the University's Name

University members have a public association with the University, but are also private citizens, thus care must be taken to appropriately differentiate between the two roles. University members may not use or allow the use of the name of the University or identify themselves as employees of the University of Kentucky in the public promotion or advertising of commercial products without prior written approval. Individuals writing or speaking publicly in a professional or expert capacity may identify themselves by their relationship with the University, but if so identified then in all instances where the individual might give even the appearance of speaking on behalf of the University, care must be taken to emphasize that any views expressed are their own and are not representative of the University of Kentucky.

University members are encouraged to contribute to public debate as citizens. In instances where University members comment publicly as part of their official University duties, they should do so using University stationery and e-mail accounts; when commenting as citizens, University members must use personal stationery and personal e-mail accounts.

(4) University Resources

University members should be responsible stewards of University resources. University members are entrusted with protecting the property, equipment, and other assets of the University and exercising responsible, ethical behavior when using the University's resources. University assets are intended for University activities. Limited personal use of fixed University resources, such as computers and telephones, which does not result in a charge to the University is permitted as long as the use does not interfere with assigned job duties. In some instances, a University member may use University equipment outside of the realm of his or her professional duties when the goals of the individual and the University coincide. Any such use must have the prior, written approval from the dean or appropriate administrator where the resources are located, and must provide that the University will be reimbursed for the full cost of the use of the equipment. Such use must not interfere with the University of Kentucky's uses, and must occur outside of the University member's regular employment assignment. The Office of the University Legal Counsel can provide an appropriate form of agreement.

(5) Sexual Harassment

To foster an environment of respect for the dignity and worth of all members of the University community, the University is committed to maintain a work-learning environment free of sexual harassment. The policy of the University of Kentucky, approved by the Board of Trustees, prohibits sexual harassment of or by students, faculty, and staff and assures that complaints of sexual harassment will be treated and investigated with full regard for the University's due process requirements. The University policy and procedures on sexual harassment can be found in ~~*Governing Regulation XII and*~~ *Administrative Regulation II-1.1-9.*

(6) Personal Relationships

The quality of decisions may be affected when those making decisions have personal relationships with those who are the subjects and possible beneficiaries of these decisions. The critical concern is that personal relationships, whether positive or negative, should not inappropriately or unfairly affect decisions. Conflicts of interest may arise when people are involved in making decisions affecting any members of their families, relatives, or those with whom they have or have had intimate relationships. Decisions affecting present or former business partners should also be avoided. Individuals with personal relationships should excuse themselves from such decision-making. In many cases, potential conflicts can be managed by candid but discreet disclosure of those relationships.

The University strongly urges those individuals in positions of authority not to engage in conduct of an amorous or sexual nature with a person they are, or are likely in the future to be, in a position of evaluating. The existence of a power differential may restrict the less powerful individual's freedom to participate willingly in the relationship. If one of the parties in an apparently welcomed amorous or sexual relationship has the responsibility for evaluating the performance of the other person, the relationship must be reported to the dean, department chair or supervisor so that suitable arrangements can be made for an objective evaluation of the student or employee (*Administrative Regulation II-1.1-9*).

(7) Employment of Relatives

In order to assure independence of judgment that is free from conflicting interest and to avoid relationships that could be perceived as conflicts of interest, no relative of the President shall be employed in a position at the University. Similarly, no relative of the Provost, or any executive vice president, vice president, or any associate provost or associate vice president shall be employed in a position in that officer's administrative area. The Board of Trustees on a stated temporary basis may permit waiver of the above regulation, not to exceed two years, when it is otherwise impractical to fill a position with another fully qualified person. The same individual shall not be eligible for reappointment under the terms of this exception unless approval is given by the Board of Trustees. No relative of any employee of the University may be appointed to any position in the University over which the related employee exercises supervisory or line authority. Employment of relatives within the same department or division shall be approved specifically by the Provost or senior vice president, as appropriate (*Governing Regulation X-1*). The University shall employ no relative of a member of the Board of Trustees. Members of the Board of Trustees, except those elected to the Board as faculty, staff, or student representatives, and relatives of any member of the Board of Trustees are ineligible for employment at the University.

(8) Intellectual Property

University members should be responsible stewards of University resources. All intellectual property conceived, first reduced to practice, written, or otherwise produced by faculty, staff, or students of the University of Kentucky using University funds, facilities, or other resources shall be owned and controlled by the University. Any member of the faculty or staff of the University who produces such intellectual property using University funds, facilities, or other resources shall assign personal rights to the property to the University, or it's designate. The traditional products of scholarly activity which have customarily been considered the unrestricted property of the originator, such as journal articles, textbooks, reviews and monographs, and which have been created without involving a material use of University resources, shall be the unrestricted property of the author (*Administrative Regulation II-1.1-3*).

(9) Conflict of Commitment

Decisions and the judgment upon which the decisions are based must be independent from conflicting interests and must hold the best interest of the University of Kentucky foremost. Conflicts of commitment relate to an individual's distribution of effort between University appointment and outside activities. The University of Kentucky permits external employment or self-employment in an employee's profession or specialty (with the exception of employees participating in a practice plan) where there is not a conflict of interest or commitment. Faculty and professional administrative employees are expected to devote their primary professional loyalty, time, and energy to University of Kentucky teaching, research and service endeavors; activities outside the University must be conducted without detracting from these primary commitments. A conflict of commitment generally occurs when the pursuit of outside activities interferes with obligations to students, to colleagues and to the missions of the University. These conflicts may become apparent in regular performance reviews, in connection with annual salary decisions and scheduled reviews incident to promotion, reappointment or tenure decisions

and should be addressed by the appropriate department head. The University policy and procedures on outside consulting can be found in *Administrative Regulation II-1.1-1*.

A staff employee may be employed outside the University when the employment does not constitute a conflict with University interests and when the hours of outside employment do not coincide or conflict with hours of scheduled work or affect the employee's ability to perform satisfactorily. A staff employee may also perform outside employment while on vacation, holiday, or special leave as long as the outside employment does not constitute a conflict of interest. Adherence with this policy is the responsibility of the staff employee who seeks outside employment; however, it is recommended the employee advise his or her department head of the outside employment.

(10) Conflict of Interest

The public's respect and confidence in the University of Kentucky must be preserved. Confidence in the University of Kentucky is put at risk when the conduct of University members does, or may reasonably appear to, involve a conflict between private interests and obligations to the University. All University members shall avoid conduct that might in any way lead members of the general public to conclude that he or she is using an official position to further professional or private interests or the interests of any members of his or her family. In conducting or participating in any transaction, full disclosure of any real or perceived conflict with personal interests and removal from further participation in such matters is required.

*Administrative Regulation II-4.0-4 Conflict of Interest and Financial Disclosure Policy – Research* sets forth specific relationships and activities that pose a potential conflict of interest for faculty, staff, and students involved in research and related activities. The University recognizes that actual or potential conflicts of interest may occur in the normal conduct of research and other activities. A conflict of interest can also arise if an employee's professional judgment is or may appear to be influenced by personal interests. It is essential that potential conflicts be disclosed and reviewed by the University. After disclosure, the University can make an informed judgment about a particular activity and require appropriate oversight, limitations, or prohibitions in accord with this policy. It is important to remember that each relationship is different, and many factors often will need to be considered to determine whether a conflict of interest exists.

(11) Financial Advantage

Members of the University community must exhibit personal integrity, honesty and responsibility in all actions. Official position or office shall not be used to obtain financial gain or benefits for oneself or members of one's family or business associates. Any action that creates the appearance of impropriety should be avoided. Purchases and contracts shall not be made with an employee of the University of Kentucky for any item of supply, equipment, or service, nor may an employee have any interest, directly or indirectly, in any purchase made by the University of Kentucky (*Business Procedures Manual B.2.C*). An indirect interest may be defined as a real or perceived use of a university position or office with respect to a purchase or contract, leading to financial or other benefits to the individual or a member of his or her family. An indirect interest includes situations where a business owned or controlled by a family member does business with the University area where the employee is assigned.

(12) Acceptance of Gifts or Benefits

University members' decisions and actions should be based on the best interest of the University. No member should accept any type of reward, monetary or non-monetary, if there is an explicit or implicit assumption that influence has been exchanged for the favor. When no favor is asked for or gained, gifts

of nominal value or moderate acts of hospitality, such as meals, in relation to one's position with the University may be accepted. The following guidelines should be observed:

- Gifts or acts of hospitality valued up to $50 annually from any one source need not be reported
- Gifts or acts of hospitality valued between $50 to $200 should be reported to the supervisor prior to acceptance
- Acts of professionally related hospitality above $200 must be specifically justified and reported through the chain of command. Written approval for acceptance must be provided by the Provost or executive vice president, in advance.
- Individuals may not accept gifts valued above $200. These gifts or benefits acknowledged and accepted on behalf of the University should be directed to the Executive Vice President for Finance and Administration, where they can be acknowledged and accepted on behalf of the University.

(13) Clarifications and Reporting Violations

Like all policies, this policy could not possibly cover all possible situations. When any doubt about the propriety of an action exists, the University's policy requires a full and frank disclosure to an appropriate individual with sufficient authority to address the matter. For interpretation, counsel or advice regarding this policy, contact the Office of the University Legal Counsel.

3. University members are expected to report violations of this policy to an appropriate individual. The University will not tolerate any retaliation against a University member who makes a good faith report of a violation.

~~Equal Opportunity~~

~~Equal opportunities shall be provided for all persons throughout the University in recruitment, appointment, promotion, payment, training and other employment practices without regard to sex, sexual orientation, race, ethnic origin, national origin, color, creed, religion, age, or political belief. Further, the University shall not discriminate against any employee or applicant for employment because of 1) Vietnam-era veteran status or disabled veteran status; 2) physical or mental disability in regard to any position for which the employee or applicant for employment is qualified; 3) being a smoker or nonsmoker as long as the person complies with any workplace policy concerning smoking; or 4) being an applicant for or in the service of the United Stated Uniformed Services. The President is responsible for the development or an affirmative action program by which full implementation of this policy shall be effected in the University. (*Human Resources Policy and Procedures Number 2.0*)~~

E. Kentucky Ethics Statutes

1. No employee of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the two (2) faculty members and the one (1) staff employee who are members of the Board of Trustees. (KRS 164.131)

2. No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in

compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (KRS 45A.340(7))

F. Diversity

The University is committed to diversity as a vital characteristic of an optimal education and workplace. The University maintains a firm conviction that it must strengthen the diversity of its communities, support free expression, reasoned discourse and diversity of ideas; and take into account a wide range of considerations, including but not limited to, ethnicity, race, disability, and sex, when making personnel and policy decisions. The University is committed to periodically evaluating progress made toward diversity and to communicating the results of such evaluations. Based upon these assessments, the University will give diversity factors consideration to ensure achievement of its mission of teaching/instruction, research and service and gain the broadest benefits for the University community.

~~F~~G. Shared Governance

The diverse expertise collectively available to the University in its faculty, administration, staff employees, and students is a valuable resource. The University as a whole will be able to function at maximum effectiveness where there is an environment in which the sharing of this expertise is valued and promoted. If this expertise is shared, it will enable policy-makers at every level of the organization to make better decisions. To achieve this objective in an environment of shared governance, faculty bodies and administrators will reciprocally solicit and utilize the expertise of the other as each makes decisions in their respective areas of policy-making authority. Through these empowering processes of shared governance, the administration, faculty members, staff employees and students all share the responsibility of attainment of the University's goals.

~~G~~H. Civic Responsibility of the Individual

~~Like other citizens, faculty members are free to engage in political activities so far as they are able to do so within the law consistent with their obligations as teachers and scholars. When necessary, leaves of absence without pay may be given for the duration of an election campaign or a term of office, provided that there has been timely application for leave and that the requested leave is limited to a reasonable period of time. The terms of a leave of absence for this purpose shall be set forth in writing. The leave shall not affect unfavorably the tenure status of a faculty member, except that the time spent on such leave from academic duties will not count as probationary service unless agreed to otherwise.~~

In their roles as citizens, staff employees and faculty members have the same freedoms as other citizens, without institutional censorship or discipline, although they should be mindful that accuracy, forthrightness, and dignity befit their association with the University. (Also see D.,

above.) When staff employees or faculty members speak or write as citizens, they should indicate that they are not speaking for the University.

~~2. University of Kentucky~~

~~Those acting on behalf of the University must conduct themselves in a manner that will maintain the public's trust in the integrity of the University and to act compatibly with their obligation to the University. The Board of Trustees adopted an *Ethical Principles and Code of Conduct* statement on January 27, 2004. This statement establishes guidelines of professional conduct for University members, including trustees, executive officers, faculty members, staff employees, and other individuals employed by the University, those using University resources or facilities, and volunteers and representatives acting as agents of the University. The Code of Conduct is intended as a general guide to outline expectations for appropriate behavior and to help individuals to determine behaviors that should be avoided.~~

~~H.~~ Kentucky ~~Ethics~~ Statutes al ~~Principles and Code of Conduct~~

~~1. No employee of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the two (2) faculty members and the one (1) staff employee who are members of the Board of Trustees. (KRS 164.131)~~

~~2. No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (45A.340(7))~~

~~I. Policy on Sexual Harassment~~

~~It is the policy of the University of Kentucky that sexual harassment of student, faculty members, and staff employees is prohibited. Complaints of sexual harassment shall be treated and investigated with full regard for the University's due process requirements. Definitions and procedures relative to handling complaints of sexual harassment are provided in the *Administrative Regulations*. (AR II-1.1-9)~~

H.~~J.~~ Order of Communication and Reports

All University faculty members ~~of the faculty~~ and staff employees ~~of the University System~~ shall have full rights of communication with administrative officers of the University and the Board of Trustees through established administrative channels.— Official recommendations and communications from any faculty member or staff employee ~~of the University System faculty or staff~~ shall be sent by the individual to the individual's immediate ~~superior~~ supervisor. ~~The superior administrative officer~~ When requested, the supervisor shall, ~~when so requested,~~

transmit such recommendations or communications, with the ~~officer's~~ supervisor's own comments and recommendations ~~thereon, to the next higher officer~~ to that supervisor's own immediate supervisor.

All faculty members ~~of the faculty~~ and staff employees ~~of the University System~~ shall be entitled to appeal any decision affecting terms of their employment by the University through regularly established channels.

When a supervisory decision or reporting relationship involves a conflict of interest, the next higher-level administrative officer shall designate an alternative officer for the purpose of the decision or reporting.

This ~~rule~~ regulation shall in no way limit the President in communicating with faculty members ~~of the faculty~~ or staff employees, nor shall it prevent communication ~~between members of the~~ faculty members, staff employees, administrative officers, and the Board of Trustees for purposes other than the submission of official recommendations and communications.

~~K~~J. Use of University Facilities

Kentucky Revised Statutes 164.160 and 164.200, respectively, grant to the Board of Trustees the power to receive, hold, and administer on behalf of the University, all types of property and to establish proper regulations for the government of same.

By virtue of the above, University of Kentucky facilities shall be used only for educational, cultural or charitable purposes, or other purposes as determined by the President or by the administrative officer to whom the President has delegated this responsibility in accordance with these *Governing Regulations, Administrative Regulations,* and *University Senate Rules.*

~~L~~K. Political Activity

The University cannot endorse, support or promote any political candidate or any partisan political activity. Therefore, University facilities shall not be used for conventions, rallies, or any campaign activities that would further the interests of a political party* or of a candidate or candidates for public office. The facilities of the University may be used for public forums to which all legally qualified candidates for a public office are invited to speak provided that all such candidates are given equal access and opportunity to speak.

University facilities may be used by registered student organizations for meetings with a candidate or candidates for public office when meetings are open in attendance to students, faculty members, and staff employees of the University.

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*"Political party" is defined as a voluntary association of persons formed and organized for the purpose of nominating or electing candidates for public office. This definition includes, but is not limited to, the meaning of "political party" as defined in KRS 119.010.

In accordance with the Constitution of the Commonwealth of Kentucky, the decisions of the United States Supreme Court, and the opinions of the Office of the Attorney General to the Commonwealth of Kentucky, University facilities shall not be used to advance or inhibit religion.

# PART II

## GOVERNANCE OF THE UNIVERSITY OF KENTUCKY

The governance of the University of Kentucky is vested by law in the Board of Trustees~~.~~ (KRS 164.131). Within the limits set by the State Constitution and the federal and state laws~~s~~,* ~~*~~ the Board of Trustees is the final authority in all matters affecting the institution~~, and~~ and ~~it~~ exercises jurisdiction over the institution's financial, educational, and other policies and its relation with the state and federal governments. ~~The Board has the same powers with respect to the community colleges that it has to the University of Kentucky in general. (KRS 164.595)~~

These ~~Governing Regulations of the University of Kentucky~~ *Governing Regulations* describe the composition, powers, and duties of the Board of Trustees of the University of Kentucky, as defined by Kentucky Revised Statutes, and establish policies and procedures for the performance of its functions. In these ~~Governing Regulations~~ *Governing Regulations*, the Board of Trustees ~~has~~ delegate~~d~~s certain responsibilities to the President, ~~and~~ the University Senate, the Staff Senate, the Student Government Association, the Graduate Faculty, and the faculties of educational units in order to provide for the responsible and efficient administration of the University ~~System~~ and the accomplishment of its goals.

As the chief administrative officer of the University, the President is authorized by the Board of Trustees to promulgate the ~~Administrative Regulations~~ *Administrative Regulations* ~~and~~ including the ~~Human Resources Policy and Procedure Manual~~ *Human Resources Policy and Procedures Administrative Regulation,* ~~which~~ to provide interpretation and implementation of these ~~Governing Regulations~~ *Governing Regulations,* and of the ~~Minutes of the Board~~ *Minutes of the Board of Trustees,* and ~~which~~ to delineate policies within the sphere of delegated responsibility. ~~The University Senate is authorized to issue rules implementing the responsibility delegated to it in these Governing Regulations. Likewise, the Senate of the Community College System is authorized to issue rules implementing the responsibility delegated to it in these Governing Regulations.~~ Chief administrative officers are authorized to establish unit policies and procedures attendant to their delegated administrative responsibilities.

At an institution-wide level, the University Senate, as a primary educational policy-forming agency of the University, establishes the broad academic policies of the University. Within the limits set by the Board of Trustees and the University Senate, the Graduate Faculty is delegated jurisdiction over programs leading to graduate degrees and has the responsibility to safeguard, promote and assist in the development of research in all fields. Within the limits set by the institution-wide policies of the Board of Trustees, the University Senate, and the Graduate Faculty, the respective faculties exercise the governance role of policy-making responsibility for the instructional, research and service programs of their educational units. The University

* The Council on Postsecondary Education in Kentucky (KRS 164.020) has powers and duties that relate to the governance of the University of Kentucky. These powers and duties are listed in the Appendix to these regulations.

Senate, the Graduate Faculty, and the faculties of educational units are authorized to issue rules concerning the policy and procedure-making responsibilities that are attendant to their delegated educational policy-making role.

Where appropriate, the ~~detailed~~ rules and regulations appearing in the ~~Administrative Regulations~~ *Administrative Regulations*, ~~the Human Resources Policy and Procedure Manual~~, *Human Resources Policy and Procedures Administrative Regulations and* ~~the Rules of the University Senate~~ *University Senate Rules*~~, and the Rules of the Senate of the Community College System~~ should refer to the source or sources in these ~~Governing Regulations~~ *Governing Regulations* or ~~Minutes of the Board~~ *Minutes of the Board of Trustees.* ~~, so that interested persons seeking guidance will find it necessary to consult only the Administrative Regulations, the Human Resources Policy and Procedure Manual, and the Rules of the University Senate or the Rules of the Senate of the Community College System~~.

~~A~~B. ~~Board of Trustees~~ Board of Trustees

~~———~~1. ~~Definition~~ Definition

~~———~~The Board of Trustees is a body corporate, under the name of Board of Trustees of the University of Kentucky, with the usual corporate powers, and possesses all the immunities, rights, privileges, and franchises usually attaching to the governing bodies of educational institutions. It may receive, hold, and administer, on behalf of the University, subject to the conditions attached, all revenues accruing from endowments, appropriations, allotments, grants or bequests, and all types of property. (KRS 164.160)

~~———No member of the administrative staff of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the two faculty members. (KRS 164.131)~~

~~———No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (45A.340(7))~~

~~———No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.340. (KRS 164.150)~~

~~———All necessary expenses incurred by the Trustees in traveling to and from and while attending meetings of the Board of Trustees are designated to be paid out of the funds of the University. (KRS 164.170)~~

~~2. Membership~~ Membership

~~The~~ The Board of Trustees of the University of Kentucky consists of sixteen members appointed by the Governor, two (2) University faculty members ~~of the faculty of the University System~~ who shall have the right to vote on all matters except that of faculty compensation, one (1) ~~member of the~~ University ~~System nonteaching personnel~~ staff employee, and one (1) ~~member of the~~ University student ~~body of the University of Kentucky~~.

a. Appointed Members

The Governor shall make the appointments so as to reflect proportional representation on the Board of the two leading political parties of the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.131)

~~The~~ The terms of the appointed members shall be for six (6) years and until their successors are appointed and qualified. , ~~except the initial appointments shall be as follows: two members shall serve one-year terms; two shall serve two-year terms, one of whom~~ Three (3) of the appointments shall be ~~a~~ graduates of the University and may include one (1) graduate of the institution who may reside outside the Commonwealth; ~~three shall serve three-year terms; three shall serve four-year terms, one of whom shall be a graduate of the University; three shall serve five-year terms and three shall serve six-year terms, one of whom shall be a graduate of the University. Three of the appointments shall be graduates of the University and may include one graduate of the institution who resides outside the Commonwealth;~~ three (3) shall be representative of agricultural interests; and ten (10) shall be other distinguished citizens representative of the learned professions. ~~The Governor shall make the appointments so as to reflect proportional representation on the Board of the two leading political parties of the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.131)~~

~~Each~~ Each graduate member is appointed by the Governor from a list of three (3) names submitted by the alumni of the University according to ~~rules established~~a method prescribed by the Board of Trustees, upon recommendation of the UK Alumni Association, pursuant to . ~~(~~KRS 164.131(2)(a); KRS 164.140~~)~~. The prescribed methods are published in the *Minutes of the Meetings of the Board of Trustees* (May 6, 2003).

b. Elected Members

The number of faculty members, staff employees, and student members elected to the Board of Trustees shall not exceed four (4). (KRS 164.131)

(1) The two (2) faculty members representing the University ~~System of the University of Kentucky~~ shall be members of the faculty of the ~~University System of the~~ rank of assistant professor or above, elected by secret ballot by ~~all~~ faculty members of the rank of assistant professor or above ~~in the University System~~. ~~Faculty members shall serve for terms of three years and until their successors are elected and qualified. They are eligible for re-election but are ineligible to continue to serve as members of the Board if they cease to be members of the faculty of the University System. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections. The authority to develop procedures for the election of faculty members of the University System to serve as members of the Board in accordance with the provisions of KRS 164.131 is hereby delegated to the University Senate.~~

In accordance with KRS 164.131(3), faculty members eligible to vote and eligible to serve as elected members of the Board of Trustees shall be those regular, full-time employees conferred with a faculty title and a rank at the level of assistant professor (or its equivalent, Librarian III) or above, whose primary assignments, i.e., more than fifty percent (50%), are in instruction, research, and/or public services, as defined in *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status.*

The faculty members shall serve for terms of three (3) years and until their successors are elected and qualified. They are eligible for re-election but are ineligible to continue to serve as members of the Board of Trustees if they cease to be members of the faculty. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections.

The authority to develop procedures for the election of faculty members to serve as members of the Board of Trustees, in accordance with the provisions of KRS 164.131(3), is hereby delegated to the University Senate.

~~Staff members eligible to vote and eligible to serve as an elected member of the Board of Trustees shall be those regular, full-time staff of the University of Kentucky, as defined in Staff Personnel Policy and Procedure Administrative Regulations, Number 4.0: Employee Status, excluding the president, vice presidents, academic deans and academic department chairpersonchairs. The staff member shall represent all nonteaching university employees. The staff member shall be elected by secret ballot by the nonteaching employees~~

(2) The staff employee representing the University shall be a regular, full-time staff employee as defined in the *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*, excluding the President, Provost, executive vice presidents, vice presidents, academic deans and academic department chairs. The staff trustee shall represent all University staff employees.

The staff member shall serve a term of three (3) years and until a successor is elected and qualified. The staff member shall be eligible for reelection, but is ineligible to continue to serve as a member of the Board of Trustees if the ~~a~~ staff ~~member~~ employee ~~who~~ ceases ~~being~~ to be an employee of the ~~u~~University. ~~shall not be eligible to continue to serve as a member of the board.~~ Elections to fill vacancies shall be for ~~the~~ any unexpired term and shall be held in the same

manner as provided for the original election. ~~The authority to develop procedures for the election of a staff member of the University System to serve as a member of the Board in accordance with the provisions of KRS 164.131 is hereby delegated to the Senior Vice President for Administration.~~

The staff trustee shall be elected by secret ballot by the regular, full-time staff employees, including the President, Provost, executive vice presidents, vice presidents and ~~,~~ academic deans.~~, and academic department chairs.~~

The authority to develop procedures for the election of a staff employee to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(4) is hereby delegated to the Staff Senate.

(3) ~~——~~The student member shall be the ~~p~~President of the ~~s~~Student ~~body of the University~~ Government Association during the appropriate academic year and may be an out-of-state resident if applicable. If the student member does not maintain the position as ~~student body p~~President or ~~the~~ status ~~of~~ as a full-time student at any time during that academic year, a special election shall be held to select a full-time student. ~~The student member shall serve for a term of one year beginning with the first meeting of the fiscal year which contains that academic year.~~ The authority to develop procedures for the election of a student of the University to serve as a member of the Board of Trustees, in accordance with the provisions of ~~(~~KRS 164.131(5), is hereby delegated to the Student Government Association.

~~The number of student and employee members elected to the University of Kentucky Board of Trustees shall not exceed four. (KRS 164.131)~~

c. Non-voting members

Pursuant to KRS 164.170 and 164.131(1)(e), the Board of Trustees may from time to time designate persons as nonvoting honorary members of the Board of Trustees, with responsibilities and privileges as it may deem appropriate, except for the power to vote.

~~3~~2. ~~Meetings~~ Meetings

~~——~~The Board of Trustees ~~must hold at least four regular meetings per year~~ shall meet at least quarterly. ~~Dates designated by law are: the Tuesday preceding the regular annual Commencement of the University; the third Tuesday in September; the second Tuesday in December; and the first Tuesday in April.~~ ——Special meetings may be called by the ~~chairperson~~Chair or by any three (3) members upon giving ten (10) days' written notice to each member of the Board of Trustees. The business to be transacted at special meetings shall be specified in the notice of the meeting. All meetings are to be held on the campus of the University unless otherwise specified by a majority vote of the Board of Trustees. A majority of the voting members of the Board of Trustees constitutes a quorum. (KRS 164.170)

———The Board of Trustees may adjourn any regular or called meeting to any date that it may set for such adjournment. Any meeting of the Board of Trustees, if a quorum is not present, may be adjourned by the members attending to a time when a quorum shall be present.

———The meetings of the Board of Trustees ~~are required to~~ shall be open to the public. The Board of Trustees is required to arrange for a stenographic transcript of each of its meetings to be prepared and filed, to send an agenda for each of the meetings to each member of the Board of Trustees, and to make a copy of the agenda available to the press. (KRS 164.170) The Board of Trustees and its committees shall operate in compliance with the provisions of the Open Meetings Law. (KRS 61.800-KRS 61.850)

~~The President of the University shall attend all meetings of the Board of Trustees and of its Executive Committee except when the Executive Committee is meeting as a hearing panel or as a performance review committee.~~

All necessary expenses incurred by the Trustees in traveling to and from and while attending meetings of the Board of Trustees are designated to be paid out of the funds of the University. (KRS 164.170)

———43. Conflicts of Interests of Board Membership

No employee of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the two (2) faculty members and the one (1) staff employee who are members of the Board of Trustees. (KRS 164.131)

No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (KRS 45A.340(7))

No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.340. (KRS 164.150)

4. ~~Officers~~ Officers

The ~~chairperson~~Chair, ~~v~~Vice ~~chairperson~~Chair, ~~s~~Secretary, and ~~a~~Assistant ~~s~~Secretary of the Board of Trustees shall be elected annually at the ~~September~~ first fall meeting of the Board of Trustees. (KRS 164.180) The ~~Chairperson~~Chair of the Board of Trustees shall be limited to no more than four (4) consecutive terms as Chair. In the absence of the ~~chairperson~~Chair, the ~~v~~Vice ~~chairperson~~Chair presides at meetings of the Board of Trustees and of the Executive Committee.

–The ~~s~~Secretary keeps the ~~M~~minutes of all meetings, issues notices of meetings, and provides for the publication and distribution of the ~~M~~minutes in accordance with instructions from the Board of Trustees. The ~~s~~Secretary is responsible for the maintenance of an official revised copy of these ~~Governing Regulations~~ *Governing Regulations* and for the publication of revisions ~~from time to time~~. The ~~a~~Assistant ~~s~~Secretary is empowered to perform the duties of the ~~s~~Secretary when the ~~s~~Secretary is not present ~~on the Lexington campus of the University and at such other place or places as are required for the efficient performance of the secretary's duties~~. The ~~a~~Assistant ~~s~~Secretary need not be a member of the Board of Trustees.

~~5~~5. ~~Committees~~ Standing and Special Committees

~~STANDING COMMITTEES:~~

~~The~~ The purpose of standing and special committees is to assist the Board of Trustees in its oversight role by gathering information, discussing, and providing advice on policy proposals prior to their coming before the entire Board of Trustees. Membership of standing committees shall include at least five (5) members of the Board of Trustees including the committee chair. ~~and shall be appointed by the Chairperson of the Board soon after the election of officers in September. The Academic Affairs Committee shall not be chaired by either faculty trustee, the Student Affairs Committee shall not be chaired by the student trustee nor shall the Human Resource Committee be chaired by the staff trustee.~~

~~a.EXECUTIVE COMMITTEE~~
a) Executive Committee

The Board of Trustees annually elects an Executive Committee of five (5) members~~,~~ ~~which~~ that has the powers that the Board of Trustees delegates to it as ~~spelled out~~ prescribed in KRS 164.190. This election shall be held at the ~~September~~ first fall meeting of the Board of Trustees. Vacancies may be filled at any meeting of the Board of Trustees. The Chair of the Board of Trustees shall be one (1) of the five (5) members and shall also serve as Chair of the Executive Committee. In general, the Executive Committee exercises oversight of the financial and business interests of the University and possesses the same powers as the Board of Trustees during the periods between meetings of the full Board of Trustees.

~~The~~ Meetings may be held as necessary at the call of the ~~chairperson~~Chair. The ~~s~~Secretary of the Board of Trustees ~~acts as secretary,~~ is the *ex officio* Secretary~~,~~ of the Executive Committee. The President ~~of the University~~ shall attend all meetings.

~~The~~ The Executive Committee is required to submit to the Board of Trustees at each meeting, for the latter's consideration and approval, a complete record of the proceedings of the Executive Committee. The authority of the Board of Trustees to amend the action of the Executive Committee does not extend to the rejection of any valid or authenticated expenditure of money by the Executive Committee~~.~~ (KRS 164.190). The Executive Committee shall serve as a hearing panel in the event of a faculty member, staff employee, or student ~~or employee~~

appeal coming to the Board of Trustees. The Executive Committee shall also serve as a hearing committee in the event of a community member or group desiring to address the Board of Trustees. (AR II-1.0-4)

The Executive Committee shall serve as the performance review committee for the President, setting and reviewing goals each fiscal year. The Executive Committee shall involve the entire Board of Trustees in this evaluation ~~process~~ and shall also solicit input from the ~~E~~executive ~~C~~committees or executive councils of the University Senate, Staff Senate, and Student Government Association.

~~a.ACADEMIC AFFAIRS~~

b) Academic Affairs Committee

1) The Academic Affairs Committee reviews recommendations ~~Shall review recommendations to the Board~~ on policy matters pertaining to the academic mission of the University in ~~teaching~~instruction, research, and public service as well as to policy matters concerning academic freedom~~, and~~ tenure, and shared governance.

2) ~~Will review~~ The Committee reviews academic program proposals from the President to ensure that a) the academic programs are consistent with the University's mission~~,~~; b) ~~that~~ resources are available to achieve academic priorities as set forth in the ~~S~~strategic ~~P~~plan~~,~~; c) ~~that~~ academic programs are appropriate for its student needs; and ~~that~~ d) the University has a system in place for assessing the effectiveness of its academic programs.

3) ~~Will~~ The Committee reviews new program proposals and changes in the academic units or in the academic organization to ensure these ~~program~~ proposals ~~and~~ or changes will effectively carry out the academic mission. ~~and provide information to the Board if needed when new programs or changes in academic units are recommended to the Board for approval.~~

4) ~~Shall~~ The Committee reviews the list of candidates for academic degrees approved by the faculty of the University through the University Senate for submission to the Board of Trustees.

5) The Committee ~~and~~ provides needed information to the Board of Trustees. ~~if needed when degree candidates are recommended for approval.~~

~~b.STUDENT AFFAIRS~~

c) Student Affairs Committee

~~Shall accept and~~This committee reviews recommendations ~~from students, faculty and administrators~~ regarding revisions of the ~~Code of Student Conduct~~ *Code of Student Conduct*. ~~Shall review~~ The Committee reviews recommendations concerning the general welfare of students and the adoption of rules, procedures, rights, and responsibilities governing non-academic relationships between the University and its students.

~~c.HUMAN RESOURCES~~

d) Human Resources Committee

~~Shall~~ This committee reviews recommendations to the Board of Trustees regarding policies pertaining to employee benefits, rights and privileges requiring Board of Trustees approval.

~~e.UNIVERSITY RELATIONS~~

e) University Relations Committee

~~Shall~~This committee reviews recommendations relating to the economic, social, and public policy environments within which the University operates that directly affect the University. ~~Shall~~The Committee reviews policies or policy proposals regarding marketing and development as well as public, governmental, and alumni relations.

~~f.INVESTMENT~~

f) Investment Committee

Under delegation from the Board of Trustees, and consistent with KRS 164A.550 through 164A.630, the Investment Committee ~~shall be~~ is responsible for review and oversight of the endowment investment programs of the University and its affiliated corporations. ~~This~~ These responsibility~~y~~ies includes: formulating and reviewing investment policies; appointing, monitoring and evaluating investment managers and consultants; and reviewing and approving plans for the general management of the endowment funds of the University.

The Investment Committee ~~Chairperson~~Chair ~~will~~ reports to the Board of Trustees after each meeting of the ~~C~~committee on the performance results of endowment investments. Policies of the ~~C~~committee are implemented by the Office of the ~~Controller and~~ Treasurer in carrying out the day-to-day operations of the University's endowment funds.

~~g.FINANCE~~

g) Finance Committee and Audit Subcommittee

~~Shall~~ This committee ensures the financial stability and long-term economic health of the University by monitoring the financial operations of the University and its affiliated corporations and making appropriate recommendations to the Board of Trustees to ensure achievement of the University's mission. Consistent with KRS 164A.550 through 164A.630, the ~~C~~committee maintains oversight responsibility for the financial management of the University in the areas of accounting, auditing, payroll, purchasing, capital construction, real property, and affiliated corporations. ~~In particular, t~~The Committee shall: ~~–~~ oversee the budgets of the University~~,~~ by reviewing and recommending to the Board of Trustees on the annual ~~O~~operating ~~and Capital B~~budgets~~;~~. The Committee shall ensure that accurate and complete financial records

are maintained by reviewing and recommending to the Board of Trustees on annual financial reports and related recommendations from the Audit Subcommittee, interim financial reports, capital construction reports, long-term debt obligations, gifts to the University of $1400,000 or more, leases over $3100,000 per year, disposition of property, sale of assets, and financial transactions not provided for in the annual operating budget.

The Audit Subcommittee shall recommends to the Finance Committee on the appointment of the external auditors, receives and reviews the annual reports from the external auditors, and makes recommendations to the Finance Committee concerning these reports.

h. NOMINATING

h) Nominating Committee

Shall This committee nominates officers of the Board of Trustees and members of the Executive Committee. ShallThe Committee reviews and recommends the appointment of Ttrustees to the boards and committees of the University and its affiliated entities..

i. SPECIAL COMMITTEES

i) Special Committees

Special committees may be established and appointed at any time by the ChairpersonChair of the Board of Trustees and with such charge as the Board of Trustees Chair may determine. They Special committees shall carry out their duties as specified and report to the Board of Trustees. They Such committees will shall function until discharged. Membership willshall include Ttrustees and may also include persons who are not Board of Trustees members.

6. Powers and Responsibilities Powers and Responsibilities

The powers and responsibilities of the Board of Trustees include the following:

a) It may eEstablish proper regulations for the governance of the University and the physical training, military or otherwise, of the students. It The Board may authorize the suspension and dismissal of students for neglect or violation of the regulations or for other conduct prejudicial to the character and welfare of the University. (KRS 164.200)

b) It may dDetermine from time to time the colleges, schools, divisions, departments, bureaus, and offices which shall comprise the University within the scope of the Acts of Congress approved July 2, 1862, and Acts supplementary thereto, and the relation which each division shall sustain to each other division and to the whole. It may devise, allot, and arrange the distribution of divisions with the designation appropriate for each, and devise the means required for their effective instruction, administration, and governance. (KRS 164.210)

c) ~~It may a~~Appoint a ~~p~~President, professors, assistants, tutors and other personnel and determine the compensation, duties, and official relations of each~~.~~ and ~~I~~in making such appointments ~~of presidents, professors and instructors~~ no preference may be shown to any religious denomination.~~†~~* ~~It~~The Board is required to provide compensation for all positions created and filled by the Board of Trustees. ~~It~~The Board may provide for employee retirement benefits and such other employee benefits as are related to the respective employments and services furnished. Retirement plans may include, but without limitation, the retirement ages, the benefits of employees including group insurance, annuities, establishment of a trust fund or funds, and, the amounts to be paid or contributed by employees and the amounts to be paid or contributed by the University of Kentucky, and other appropriate terms and provisions with respect thereto. (KRS 164.220) ~~It is further designated as an independent agency and instrumentality of the Commonwealth and given exclusive jurisdiction of the appointments, qualifications, salaries and compensation payable out of the State Treasury or otherwise, promotions, and retirement programs described. (KRS 164.225)~~

d) ~~It has full power to s~~Suspend or remove any of the officers, teachers, professors, or agents that it is authorized to appoint, except that no ~~p~~President, professor, or teacher may be removed except for incompetence, neglect of or refusal to perform duties, or for immoral conduct, and then only after ten (10) days' notice in writing stating the nature of the charges preferred, and after the individual has been given an opportunity to make defense before the Board of Trustees by counsel or otherwise and to introduce testimony which shall be heard and determined by the Board of Trustees. (KRS 164.230)

e) ~~It may g~~Grant degrees to graduates of the University, prescribe conditions ~~upon which postgraduate honors may be obtained~~ for the award of honorary degrees, and confer such honorary degrees, upon the recommendation of the faculty of the University, as it deems proper. (KRS 164.240)

f) ~~It is required to m~~Make a full report to the General Assembly, within the first month of each even-numbered year regular session, of the condition and operation of the University since the date of the previous report, with such recommendations concerning the University as are deemed necessary. (KRS 164.250)

g) ~~It may a~~Acquire additional lands or other property or material for the purpose of expanding its plant and extending its usefulness. When unable to contract with the owner of land or other property or material necessary for the purposes of the University, it may acquire the same by condemnation proceedings in the manner provided in KRS 416.010 to 416.080. (KRS 164.260)

~~———~~h) ~~It may d~~Dispose of real estate held by the Commonwealth for the use or benefit of the University or the Kentucky Agricultural Experiment Station as authorized in KRS 164.270 and KRS 45.360.

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* See Parks X.A and XII.A

~~7. Order of Business~~ Order of Business

The order of business at meetings of the Board of Trustees ~~shall be~~normally is as follows:

Call to Order
~~Invocation~~
Roll Call
Reading and Approval of Minutes with Necessary Modifications
Report of the President
Consideration of President's Recommendations for Action
Consideration of President's Discussion Items
Reports of Committees
Other Business
Adjournment

~~General parliamentary rules~~ The most recent version of *Robert's Rules of Order* shall be observed in conducting the business of the Board of Trustees except as these may be modified by ~~rules and~~ regulations adopted by the Board of Trustees.

~~B. Community College Board of Directors~~

~~(1) There shall be a board of directors for each community college under the Kentucky Community and Technical College System, except as provided in KRS 165.160.~~

# PART III

## THE PRESIDENT OF THE UNIVERSITY

The President is the chief executive officer of the University and has full authority and responsibility over the administration of the academic, ~~business, and fiscal~~ administrative and financial operations of the University. It is the duty of the President to make recommendations relating to the general policies of the institution and to the maintenance of coordination among its several functions. It is also the President's duty, directly or through the various University officers, to supervise and administer all phases of the University's operations, both business and academic, including all departments, divisions, and colleges, and to perform all other administrative functions, whether expressly enumerated herein or not, necessary or appropriate for the effective operation of the University.

The President is responsible for the preparation of the ~~biennial budget request and the~~ annual budget for approval by the Board of Trustees and for the administration of the annual budget after approval by the Board of Trustees. In the administration of the annual budget, the President shall exercise authority delegated by the Board of Trustees for the transfer of funds within major fund groups, provided that all such transfers of funds shall be reported to the Board of Trustees.

The President may delegate any of the President's assigned authorities or responsibilities to ~~another person in the Office of the President, or to~~ any ~~other~~ faculty member ~~of the administrative staff or faculty~~ or staff employee of the University. The President shall, however, have the prior consent of the Board of Trustees before delegating major areas of authority or responsibility.

~~The Provost, vice presidents, deans, directors, and all other subordinate~~ All administrative officers shall be subject to the supervision and direction of the President.

Subject to the regulations of the Board of Trustees, the President officially speaks for the University before all international, federal, state, and local government offices, boards, and agencies and is the non-voting representative of the University on the Council on Postsecondary Education.

The President is ~~chairperson~~ Chair of the University Senate and an ~~ex officio~~ *ex officio* member of the Staff Senate and of the various college faculties. ~~and~~ The President may call meetings of the University Senate, the Staff Senate, the University Assembly, ~~and~~ or any college faculty. The President may be asked to address a meeting of the University Senate, the Staff Senate, or a college faculty.

The President is responsible for fostering and promoting instruction, research, and service as the primary functions of the University. In this connection the President may make policy

recommendations to the Board of Trustees and the University Senate, which are recognized as the primary educational policy-forming agencies of the University. The President must, of necessity, in the day-~~ by~~ to-day direction of University affairs, interpret existing policies and, when necessary, establish new policy with respect to ~~both educational and business~~ academic, administrative and financial matters. ~~Such policy determination shall be within the scope of the President's authority.~~

While responsible for the enforcement of the ~~rules and regulations~~ *Governing Regulations* of the Board of Trustees and the ~~University Senate~~ *University Senate Rules*, the President also shall provide for the application of these rules and regulations to individual cases. Under extraordinary circumstances, and with written justification to the University Senate through the University Senate Council, the President may suspend a rule of the University Senate in a particular case~~,~~; however, under no circumstance may the President suspend rules concerning admission and the number of credits and quality points required for graduation.

The President shall serve as the official medium of communication between the Board of Trustees, on the one hand, and the University Senate, administrative officers, individual members of the faculty and staff employees, student organizations, and students on the other hand. In this connection it shall be the President's responsibility to transmit to the Board of Trustees all formal communications from the University Senate, the Staff Senate and the Student Government Association along with the viewpoints of the body submitting a communication, including any minority opinions, and the President's own recommendations for action.

~~Incident to the administration of the University, t~~The President may utilize subordinate administrative officers as appropriate. The President may appoint, or have appointed, temporary or standing advisory or administrative committees and, with the approval of the Board of Trustees, may establish academic and/or administrative councils.

The Board of Trustees delegates to the President the responsibility for the development and enforcement of regulations for the control of motor vehicle traffic and parking on University property. University property is defined as all real property owned or occupied and exclusively operated by the University.

In the event of a vacancy in the ~~o~~Office of President or disability of the President, the Provost shall exercise the functions of the President in the absence of the appointment of an ~~I~~interim President by the Board of Trustees. If the Board of Trustees finds it desirable to appoint an ~~I~~interim President, it shall seek advice from a ~~joint Board-Faculty~~ committee composed of representatives of Board of Trustees members, faculty members, staff employees, and students.

# PART IV

## THE UNIVERSITY SENATE ~~(UNIVERSITY SYSTEM)~~

### A. Membership

The University Senate shall be composed of both elected and ~~faculty, emeriti faculty, student and~~ ex officio members.

The ~~faculty members shall number~~ 94 elected faculty~~, one member shall represent the emeriti faculty, and 19 members shall represent the student body. With the exception of emeritus and ex-officio representatives,~~ ~~senators~~ shall be full-time faculty ~~or students selected in accordance with the Rules of the University Senate. The one elected emeritus faculty member shall represent the University of Kentucky Association of Emeriti Faculty.~~ elected from and by the respective college faculties. ~~and representing the "faculty of the University" (KRS 164.240), hereinafter referred to as the University Faculty.~~ The elected faculty representatives of the University Senate hasve the authority assigned to "the faculty of the University" under KRS 164.240 to recommend to the Board of Trustees the conferral of earned degrees from the University and the conditions for and award of honorary degrees. The University Senate membership, composed of both elected and *ex officio* members, is authorized to perform all other functions delegated by the Board of Trustees to the University Senate.

The University student body shall be represented by the student senators, one elected from and by the full-time student body of each college. The one elected emeritus faculty member shall be elected by and represent the University of Kentucky Association of Emeriti Faculty. The procedures for election of representatives to the University Senate and University Senate Council shall be prescribed in the *University Senate Rules*.

In even numbered academic years, the *ex officio* voting members of the University Senate shall be composed of the following: Provost, Executive Vice President for Research, ~~Senior Vice President and Chancellor of the Medical Center, Director~~ Associate Provost for Undergraduate Education, student member of the Board of Trustees, two (2) faculty members of the Board of Trustees, Dean of Libraries, ~~Director of Teaching Learning Center and Deans of the Colleges of Allied Health Professions, Architecture,~~and deans of the Colleges of Communications and Information Studies, Dentistry, Design, Education, Engineering, Health Sciences, Law, and Social Work. In odd numbered academic years, the *ex officio* voting members shall be the following: Provost, ~~President of the Lexington Community College,~~ student member of the Board of Trustees, two (2) faculty members of the Board of Trustees, Associate ~~Vice President~~Provost for Academic Affairs, ~~for the Medical Center~~, Dean of the Graduate School, ~~Dean of~~ Associate Provost for Undergraduate ~~Studies~~ Education, and ~~Deans~~ deans of the Colleges of Agriculture, Arts and Sciences, Business and Economics, Fine Arts, Medicine, ~~Human Environmental Sciences, Medicine,~~ Nursing, Public Health, and Pharmacy. The

University Senate may recommend to the Board of Trustees changes to the above list of *ex officio* voting members and determines *ex officio* nonvoting membership, as necessary.

~~The ex officio non-voting membership shall include the President, all other vice presidents, Associate Provost for Minority Affairs, University System Registrar, Associate Provost for Undergraduate Education for the Lexington Campus and Director of Admissions, Dean of University Extension, Dean of Students, Professor of Military Science, Professor of Aerospace Studies, Director of the University Studies Program, and, if they are not already elected members of the Senate, the University System faculty members of the Board of Trustees, the Academic Ombud, the Director of the Honors Program, and the chairpersons of the University Senate Committees, including University Senate Advisory Committees. All officials mentioned in the preceding paragraph who are not ex officio voting members in any year shall be considered ex officio non-voting members. Other ex officio non-voting members may be added by the University Senate Council for the purpose of supplying information and viewpoints on problems considered by the Senate. Ex officio non-voting members shall enjoy all privileges of the elected membership except the right to vote.~~

B. Officers, Committees and Councils of the University Senate

The University Senate is authorized to develop University Senate R~~r~~ules for the conduct of its functions, copies of these rules being furnished to the President and the Board of Trustees. It may perform its functions directly, through the Senate Council,[*] ~~*~~, through standing or special committees which it may appoint or authorize for appointment, or through delegation of authority and responsibility to the faculties of the Graduate School, or the faculties of the colleges, schools, departments, centers and institutes. The University Senate Council shall appoint ~~S~~standing or special committees of the University Senate, responsible to the University Senate, ~~shall be appointed by the Senate Council unless other methods of appointment have been authorized by the Senate~~ unless the University Senate has authorized other methods of appointment.

The President is the Chair of the University Senate and shall be the presiding officer except as the President may delegate this function to the University Senate Council Chair. As its Chair, the President may be called upon by the University Senate to address or represent the University Senate or to transmit its recommendations. The President, as Chair of the University Senate, may establish and appoint academic committees to advise the President in this capacity.

The University Senate ~~also~~ may establish University Senate ~~advisory~~ committees ~~which shall be~~ that are responsible, in an advisory capacity, to the President or other administrative officer(s) or administrative unit(s). These committees are also ~~shall be~~ responsible ~~to the Senate~~ for reporting

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[*] Members and officers of the University Senate Council ~~will~~shall remain voting members of the University Senate until the end of their terms on the University Senate Council. They ~~will~~ shall not be counted as a part of the quota of the ~~administrative~~ educational unit that they have represented nor of the maximum faculty membership of the University Senate after the expiration of their elected University Senate memberships.

upon issues ~~which~~ that bear upon the functions of the University Senate and for making recommendations for action. These University Senate advisory committees shall be appointed by the President, as the University Senate Chair, from nominations made by the University Senate Council ~~or following consultation with the Council~~.

~~The President shall be the chairperson of the Senate and shall be the presiding officer except as the President may delegate this function. The University Registrar shall serve as ex officio secretary.~~ A member of the University ~~f~~Faculty (not necessarily a member of the University Senate), appointed by the University Senate Council, shall serve as parliamentarian. The two (2) sergeants-at-arms, appointed by the University Senate Council, shall be members of the University ~~System f~~Faculty and/or a staff employee~~.~~.

The University Senate shall hold regular monthly meetings during the academic year when classes are in session and, after adequate notice by its ~~s~~Secretary, special meetings on the call of the President or the University Senate Council or on the written request of ten (10) members.

Any ~~member of the administrative, instructional, or research staff~~ University employee or student may attend a meeting of the University Senate as a visitor and request the privilege of the floor.

C. University Senate Functions

~~The functions of t~~ The University Senate is not assigned any management or administrative functions. The University Senate functions ~~shall~~ include the following:

1. Determine the broad academic policies of the University, ~~System~~ including the similar academic policies that may be made necessary by governmental or accreditation agencies, and make ~~regulations~~ rules to implement these policies.

2. Approve all new academic programs~~, curricula, and courses offered in the University System~~ and make final academic decisions on recommendations to changes of these programs.

3. Make final decisions for the University on curricula, courses, certificates and diplomas offered at the University and on the termination of academic programs.

4. Adopt policies for the University ~~System~~ academic calendar and ~~approve~~ establish the annual calendar ~~as prepared~~upon recommendation by the University Registrar.

5. Recommend to the President and Provost on the establishment, alteration, ~~and~~ abolition, and reporting relationships of educational units in the University ~~System~~.

6. Advise the President or the President's designated officer on the planning for physical facilities, personnel and other resources ~~and staff~~ when these may affect the attainment of educational objectives of the University ~~System~~.

7. Advise the President or the President's designated officer, through appropriate committees, on criteria, policies and procedures for performance review, appointments, reappointments, promotions, ~~and~~ granting of tenure, and benefits to faculty in the University ~~System~~.

8. Determine the conditions for admission and for degrees, other than honorary degrees, in the University ~~System~~, pursuant to KRS 164.240.

9. Recommend to the ~~President~~ Board of Trustees all candidates that have been approved for degrees in the University ~~System~~, pursuant to KRS 164.240.

~~Beyond those listed in the above, the Senate shall have no management or administrative functions either in itself or through the instrumentality of its committees.~~

10. ~~The University Senate may a~~Address the President or, through the President, the Board of Trustees, regarding any University matter.

# PART V~~(A)~~

## THE ~~UNIVERSITY OF KENTUCKY~~ STAFF SENATE

The University of Kentucky Staff Senate shall be the official representative body of the staff of the University. It shall strive to open lines of communication among all segments of the staff, as well as between staff, faculty and students of the University. The Staff Senate shall also act as a mechanism to disseminate information to the staff, and passing information from staff to other members of the University community.

B. Membership

The Staff Senate shall be composed of elected, appointed, and ~~ex-officio~~ *ex officio* membership.

The Staff Senate shall consist of no more than one hundred seventy-five (175) ~~S~~senators. Senators shall be regular, full-time staff employees elected from and by their respective areas in accordance with the ~~B~~by-~~L~~laws of the Staff Senate, unless they are ~~A~~at-~~L~~large ~~S~~senators or ~~ex-officio~~ *ex officio* members appointed in accordance with the ~~B~~by-~~L~~laws of the Staff Senate.

The procedures for election of senators to the Staff Senate and its Executive Committee shall be prescribed in the Staff Senate By-Laws. Staff employees eligible to vote for ~~S~~senators shall be regular, full-time staff employees of the University as defined in ~~Human Resources Policy and Procedure Administrative Regulations~~ *Human Resources Policy and Procedures Administrative Regulation 4.0*. Staff employees eligible to vote shall also be eligible to stand for election as ~~a S~~senator ~~once they have completed~~ upon completion of one year of continuous employment by April 1. ~~The term for a Senator shall be stipulated in the By-Laws of the Staff Senate.~~

C. Voting

Each Senator shall have one vote. No absentee ballots or voting by proxy shall be permitted. ~~Ex-officio~~ *Ex officio* members shall be non-voting members of the Staff Senate.

D. Councils and Committees

The Staff Senate is authorized to develop by-laws for the conduct of its functions, copies of these by-laws being furnished to the President and the Board of Trustees.

Every Senator shall have reasonable release time from work to perform Senatorial duties.

The Staff Senate shall meet regularly as specified in the By-Laws of the Staff Senate.

The Staff Senate shall have officers and standing committees as specified in the By-Laws of the Staff Senate to facilitate the functioning of the Senate. Advisory committees will also be created as specified in the By-Laws of the Staff Senate to provide advice to the President and the Staff Representative to the Board of Trustees. The Staff Senate shall have no management or administrative functions either in itself or through the instrumentality of its committees.

The Senate is authorized to develop rules for the conduct of its functions, copies of these rules being furnished to the President and the Board of Trustees. The Staff Senate may address the President, or through the Staff Trustee, the Board of Trustees, regarding any matter affecting staff of the University. ~~An interim Staff Senate shall be appointed by a committee consisting of the Staff Representative to the Board of Trustees, the President, the Senior Vice-Presidents and the Vice President for Research. The interim Senate is authorized to establish initial by-laws of the Staff Senate, and shall conduct the election of the initial Staff Senate members. This interim Senate will cease to exist when the first elected Senate is seated.~~

The Staff Senate Development Task Force, an advisory group convened by the current Staff Representative to the Board of Trustees, shall conduct the elections of Senators as well as all other processes necessary to facilitate the election and seating of the Staff Senate. The Chair of the Task Force shall preside at the initial meeting(s) of the Staff Senate until such time as the permanent officers are elected.

~~PART V(B)~~

~~THE SENATE OF THE COMMUNITY COLLEGE SYSTEM~~

~~The Senate of the Community College System shall be composed of elected, ex officio, and appointed members.~~

~~The elected faculty membership shall consist of and represent proportionately the full-time faculty in the various community colleges, except that no college shall have fewer than three senators. Moreover, each community college shall elect an additional senator for each twenty-five (or major fraction thereof) full-time faculty members above the first twenty-five. At least one of the three senators shall have responsibility in the occupational program area and at least one shall have responsibility in the general education area. The faculty of each community college shall nominate candidates for senator(s) and elect the senator(s) by secret ballot during the month of April preceding the term of office beginning on August 15. Each elected senator shall serve for a term of two years and shall be eligible for re-election for a second consecutive term but ineligible for further re-election until one year has elapsed.~~

~~The ex officio membership shall include the Chancellor for the Community College System, the Vice Chancellor for Academic Affairs and Student Affairs for the Community College System, the chairperson of each advisory committee to the Senate, and the president of the student body at each community college. The term of office for a student member shall be one year. An advisory committee chairperson's term as senator shall be coterminous with that individual's term as chairperson of the committee. Ex officio members shall enjoy all the privileges of the elected membership.~~

~~The appointed membership shall consist of three community college presidents and three other administrators, each of whom shall be appointed by the Chancellor for the Community College System. In addition, any member of the Community College Council appointed by the Chancellor for the Community College System to correct an imbalance in the elected senators in terms of professional areas of specialization shall be a member of the Senate. The term of office and eligibility for reappointment for an appointed senator shall be the same as for an elected senator. Appointed members shall enjoy all the privileges of the elected membership.~~

~~In order that there be a continuity of membership, the present members of the Community College Council shall serve as senators until the expiration of their current terms. The Chancellor for the Community College System shall establish a procedure for initially electing or appointing some senators for other than two-year terms so that the terms of approximately one-half of the senators shall expire during each succeeding year.~~

~~A vacancy in an unexpired term on the Senate shall be filled in a manner consistent with the original selection process applicable to that member's category. Service of one year or more in an unexpired term shall constitute a full term.~~

~~Consistent with these regulations, the Senate of the Community College System is authorized to develop regulations for the conduct of its functions, which shall be known as the Rules of the Senate of the Community College System. Copies of these regulations shall be furnished the President of the Kentucky Community and Technical College System and the Board of Regents of the Kentucky Community and Technical College System. It may perform its functions directly, through the Community College Council, or through standing, special, or advisory committees which it may appoint or authorize for appointment. The Chancellor for the Community College System shall appoint the members of standing committees from the eligible members of the Senate; i.e., those not serving on the Community College Council. The advisory committees to the Senate of the Community College System (other than the Committee on Privilege and Tenure) shall be appointed by the co-chairpersons of the Community College Council.~~

~~The Community College Council shall be composed of one duly elected senator from each college to serve a two-year term; two students elected by and from the student senators to serve a one-year term; one president designated by and from the presidents who have been appointed to the Senate, for a one-year term; the Chancellor for the Community College System and the Vice Chancellor for Academic Affairs and Student Affairs for the Community College System, who shall serve as ex officio members; and the chairpersons of the standing committees, who shall serve as ex officio members. All Council members must be taken from the membership of the Senate. A Council member's term may not exceed that individual's term as a senator. In the event there is an imbalance in the duly elected senators in terms of professional area of specialization, the Chancellor for the Community College System is authorized to appoint to the Community College Council a maximum of three additional faculty members who shall become members of the Senate.~~

~~The Chancellor for the Community College System and a person elected from and by the membership of the Community College Council shall serve as co-chairpersons of the Community College Council.~~

~~The Chancellor for the Community College System shall be chairperson of the Senate of the Community College System and shall serve as presiding officer for all meetings except as the Chancellor may delegate this function.~~

~~The Senate shall meet annually in regular session at a time and place designated in a call by the Chancellor for the Community College System. Special sessions may be called by the Kentucky Community and Technical College System President, by the Chancellor for the Community College System, or by a petition from at least fifteen (15) senators to the Chancellor for the Community College System.~~

~~Full-time and part-time faculty, local board members, Community College System staff members, and Community College System students may attend Senate and/or Council meetings and request the privilege of the floor. They shall not have the right to vote.~~

~~The functions of the Senate of the Community College System shall include the following:~~

~~Approving academic policies and curricula for the Community College System.~~

~~Approving courses and other academic offerings in the Community College System not offered in the University System.~~

~~Approving policies and regulations governing admission, classification, probation, and dismissal of Community College System students.~~

~~Approving an academic calendar generally consistent with the University System calendar.~~

~~Recommending to the University Senate on courses offered in the Community College System which are also offered in the University System.~~

~~Recommending to the Chancellor for the Community College System on criteria for faculty appointments, reappointments, promotions, and granting of tenure in the Community College System.~~

~~Recommending to the President of the University of Kentucky all candidates for University of Kentucky degrees and certificates from the community colleges. The Senate may delegate this function to the faculties of the community colleges.~~

~~Beyond those listed above, the Senate of the Community College System shall have no management or administrative functions either in itself or through the instrumentality of its committees.~~

~~The Senate of the Community College System may communicate to the Chancellor for the Community College System or the Board of Regents of the Kentucky Community and Technical College System through the Chancellor and the President of the Kentucky Community and Technical College System regarding any matter of concern to the Community College.~~

# PART VI

## THE UNIVERSITY ASSEMBLY

The University Assembly shall consist of all faculty members of the ~~faculties of the~~ University ~~System~~, including emeritus faculty~~members, and all members of the administrative staff who perform functions directly supporting academic affairs~~, all staff employees, including retired staff employees, and all students. It shall meet in special session on the call of the President or the University Senate. The President ~~of the University~~ or the President's authorized representative shall preside. The ~~University Registrar~~ Secretary of the University Senate shall be ~~s~~Secretary of the Assembly and shall be responsible for adequate notice of meetings, including a statement of subject or subjects to be discussed.

The Assembly may express its views on any matter affecting the University to the University Senate, Staff Senate, Student Government Association, and ~~to~~ the President or, through the President, to the Board of Trustees.

# PART VII

# UNIVERSITY ORGANIZATION

For the purpose of administering the various programs of the University, there shall be established educational and administrative units within the University. All units of the University shall be established, altered, or abolished only on vote of the Board of Trustees. For matters having to do with the organization of the University as it affects academic policies, the Board relies upon the advice of the University Senate along with that of the President. It relies upon the advice of the President concerning administrative organization and powers and responsibilities of the officers of the University. Any existing or proposed unit that has as its primary mission the performance of educational activities in instruction, research, and service shall be defined as an educational unit if at least one full-time (tenured or tenurable) faculty appointment or its time equivalent is assigned to perform instruction, research, and service in that unit. This assignment provision excludes solely administrative assignments such as the chief administrative officer of the unit. An educational unit is subject to the University Senate review and the periodic review processes.

Any unit not meeting the definition of an educational unit is defined as an administrative unit.

The educational and ~~-~~administrative organization of the University shall be such as to minimize duplication of effort and to enable the University to operate as a single, closely integrated institution.~~, not as a loose association of colleges and departments. Barriers between educational and administrative units shall not be allowed to interfere with the academic purposes of the institution.~~

## A. Education Unit~~Organization~~

### 1. Definitions of Educational Units and Their Chief Administrative Officers

Departments, schools, colleges, graduate centers, multidisciplinary research centers and institutes, and interdisciplinary instructional programs are the basic educational units of the University that deliver instruction, research, and service including extension activities.

Major educational units of the University are the colleges, the Libraries, and the Graduate School. For purposes of these *Governing Regulations,* the Libraries is equivalent to a college.

Schools are administratively responsible to a college, and departments are directly responsible to a college or sometimes directly to a school within their college.

Some instructional programs draw faculty exclusively from one department, school, or college whereas interdisciplinary instructional programs, such as the Honors Program, draw faculty from different departments, schools, and colleges.

~~The basic organization of the educational units of the University System shall be departments, schools, colleges, graduate centers, and multidisciplinary research centers and institutes.~~

~~The unit of the University System for instruction, research, and extension in a defined field of learning is the department, school, or college. All courses shall be given by the regular instructional units. However, interdisciplinary instructional programs may be established to utilize courses and faculty from different departments, schools, and colleges. The chief administrative officer of a department or interdisciplinary instructional program is a chairperson.~~

~~The term "school" is used to refer to: (1) the Graduate School; and (2) an administrative unit within a college which is under the general direction of the faculty of that college. The chief administrative officer of a school that is an administrative unit within a college is an associate dean or director.~~

~~The Honors Program is an educational unit which is equivalent to a department. Its chief administrative officer is a director who is equivalent to a department chairperson.~~

A graduate center is an interdisciplinary educational unit that delivers graduate education degree programs, is equivalent to a department, and is located administratively in the Graduate School unless the administrative responsibility specifically has been delegated otherwise.

~~A graduate center is an educational unit of an interdisciplinary or multidisciplinary nature, which is equivalent to a department, and is located administratively in the Graduate School. It is associated exclusively with graduate programs and their attendant courses and research. Its faculty have primary appointments within a college or, in some cases, within the center.~~

~~———~~A multidisciplinary research center or institute is an educational unit established to provide ~~for the administration of~~ multidisciplinary programs, which are primarily research in nature. Such an educational unit is administratively responsible to the Executive Vice President for Research unless the administrative responsibility specifically has been delegated otherwise. ~~The chief administrative officer of a multidisciplinary research center or institute is a director.~~

~~In some cases, the terms "bureau" and "office" may be used for units with some research functions, especially when these are not intercollege or interschool in nature.~~

The chief administrative officer of a major educational unit is a dean. The chief administrative officer of a graduate center, school or multidisciplinary research center or institute is a director. The chief administrative officer of a department or an interdisciplinary instructional program is a chair~~person~~.

~~A college is a major educational unit of the University. Its chief administrative officer is a dean.~~

~~———The basic organization of the educational units of the Community College System shall be divisions and colleges.~~

~~The unit of the Community College System for instruction and community service in related fields of learning is the division. The chief administrative officer of a division is a chairperson.~~

~~The individual community colleges are the major educational units of the Community College System. Lexington Community College is a unit of the Community College System; however, it is managed as a unit in the University System of the University of Kentucky and shall be represented in the University Senate. The chief administrative officer of a community college is a president.~~

~~2. Academic Ranks~~ Academic Ranks

~~Academic~~ ranks in the University ~~System shall~~ consist of ~~i~~Instructor, ~~a~~Assistant ~~p~~Professor, ~~a~~Associate ~~p~~Professor, ~~p~~Professor, ~~and other ranks that are fully or partially~~ or the equivalent to these recognized ~~ranks.~~ in the Librarian Title Series of Librarian IV, Librarian III, Librarian II and Librarian I, respectively.

~~Academic ranks in the Community College System shall include Instructor in the Community College System, Assistant Professor in the Community College System, Associate Professor in the Community College System, Professor in the Community College System, and other ranks that are fully or partially equivalent to these recognized ranks.~~

The President establishes academic title series, the ranks within each series, and a description of the qualifications for each after consultation with appropriate administrative and faculty groups, including the University Senate Council. Emeritus ranks for retired faculty members and the rights of holders of emeritus titles are established by the President after consultation with the University Senate Council.

~~Ranks and special titles and a description of the qualifications for each shall be established by the President after consultation with appropriate administrative and faculty groups, including the Senate Council and/or the Community College Council. Likewise, emeritus ranks for retired administrative and academic personnel and the rights of holders of emeritus titles shall be established by the President after consultation with the Senate Council and/or the Community College Council. The establishment of new ranks and major changes in criteria for ranks shall have the approval of the Board of Trustees.~~

The establishment of new academic title series or ranks and major changes in criteria for ranks shall have the approval of the Board of Trustees.

3. The Graduate Faculty ~~(University System)~~

a) Membership

The membership of the Graduate Faculty shall consist of the Dean of the Graduate School, associate and/or assistant deans of the Graduate School, and regular faculty ~~members,~~ and associate faculty members.

Graduate Faculty members must possess the following qualifications:

A ~~doctor's~~ doctoral degree or its equivalent in scholarly reputation;

The rank of assistant professor (or equivalent) or higher;

Scholarly maturity and professional productivity as demonstrated by publications, editorial services, research surveys, creative work, patents, and research in progress at the time of appointment; and

Definite interest in graduate work and the willingness to participate in the graduate program.

The Dean of the Graduate School confers membership in the Graduate Faculty. The appointments are made following review by the Graduate Council of the qualifications of the persons proposed for membership by the college deans, department chairpersons, and directors of graduate study, upon the recommendation of the Graduate Faculty of the respective graduate program.

Associate and other classes of members in the Graduate Faculty ~~or in other faculty bodies or councils of the Graduate School~~ may be appointed by the Dean of the Graduate School, ~~or elected,~~ with appropriate duties and privileges, as provided by the rules of the Graduate School and approved by the University Senate.

~~The Graduate Faculty shall have jurisdiction over all programs leading to graduate degrees within the limits established by the Board of Trustees and the policies and rules of the University Senate. It is the responsibility of the Graduate Faculty to safeguard, promote, and assist in the development of research in all fields. The Graduate Faculty shall make recommendations to the University Senate on academic matters and to the President or an officer designated by the President on administrative matters.~~

b) Officers, Committees and Councils

The Graduate Faculty may perform its functions directly, through the Graduate Council, or through standing or special committees which it may appoint or authorize for appointment, ~~,~~ or through delegation to college, school, departmental or center graduate program faculties. Councils of the Graduate School may be appointed by the Dean of the Graduate School or elected, as prescribed by the *Graduate School Rules* and approved by the University Senate. Copies of minutes of Graduate Faculty meetings and of meetings of Graduate Faculty committees and councils shall be made available to all members o the Graduate Faculty.

c) Graduate Faculty Functions

Within the limits established by the *Governing Regulations* and the *University Senate Rules*, the Graduate Faculty shall have jurisdiction over all programs leading to graduate degrees and within those limits shall establish *Graduate Faculty Rules* necessary for the performance of its educational policymaking functions. Copies of these rules shall be made available to Graduate Faculty members and filed with the Graduate Faculty Dean, the Provost, and the University Senate Council. It is the responsibility of the Graduate Faculty to safeguard, promote, and assist in the development of research in all fields. The Graduate Faculty shall make recommendations to the University Senate on academic matters that require University Senate approval. The Graduate Faculty may make recommendations on other matters to the University Senate, to college or department faculties, to the President or other administrative officers.

The Graduate Council shall have the authority and responsibilities delegated to it by the Dean of the Graduate School, the Graduate Faculty, and the University Senate.

~~4.~~ Faculties of Colleges ~~(University System)~~

~~a)~~ Membership

The membership of the faculty of a college shall consist of its dean, ~~assistant~~ associate and/or ~~associate~~ assistant deans, and regular full-time faculty ~~personnel~~ having the rank of assistant professor, associate professor or professor in the regular, special title, or extension series or Librarian III, II or I in the librarian title series. Membership, with or without voting privileges, also may be extended or withdrawn by ~~a~~ the above college faculty to any other person assigned to the college ~~it~~ for administrative, ~~work, teaching~~ instruction, ~~or~~ research, extension, clinical or librarian work. An individual may be assigned to more than one ~~faculty~~college; in this instance, one assignment shall be designated primary by the Provost (Part X.B.1).~~ or dean (Part X.B.1) as the primary one.~~

b) Officers, Committees and Councils

The faculty shall hold regularly scheduled meetings at which the dean shall preside except as the dean may delegate that function. In addition, it shall meet in special session on the call of the President ~~of the University~~, the Provost, ~~the Senior Vice President and Chancellor of the Medical Center, or~~ the dean of the college, or at the request of a prescribed number of its voting membership. Each college faculty shall establish the quorum for the transaction of business. Copies of minutes of college faculty meetings and of meetings of college faculty committees and councils shall be made available to all members of the faculty of the college.

~~Consistent with the Governing Regulations, the Administrative Regulations, and the Rules of the University Senate, t~~The faculty of each college shall establish its own rules, including a committee or council structure, necessary for the performance of the faculty's functions in educational policy-making. After approval of these rules by the Provost for consistency with

these *Governing Regulations*, the *Administrative Regulations*, *University Senate Rules* and *Graduate Faculty Rules*, ~~or the Senior Vice President and Chancellor of the Medical Center as appropriate,~~ copies of the rules ~~of the faculty~~ and a description of the committee or council structure shall be made available to ~~its~~ members and ~~copies~~ filed with the dean of the college, the Provost and ~~the Senior Vice President and Chancellor of the Medical Center and~~ the University Senate Council ~~Office~~.

c) College Faculty Functions

Within the limits established by these Governing Regulations, Adminsitrative Regulations, Rules of the University Senate, and Rules of the Graduate Faculty of the University, ~~and the policies and rules of the University Senate,~~ the faculty of a college shall determine the educational policies of that college, including primary responsibility for the development of policies on such matters as academic requirements, curricula, course offerings, undergraduate, graduate and research programs, professional programs, and service functions, to the extent that the responsibility has not been delegated to a school or department faculty.

In consultation with the college faculty, the Dean shall establish procedures used at the level of the college concerning: (1) recommendations on faculty appointments, promotions, reappointments, terminal appointments, post-retirement appointments, the granting of tenure, and decisions not to reappoint; (2) the faculty performance evaluations; and (3) faculty input in the evaluation of the performance of school directors and department chairpersons during the interval between periodic reviews. It shall make recommendations to the University Senate or Graduate Faculty on such matters as require the final approval of th~~at~~ose body~~y~~ies, and it may make recommendations on other matters to the University Senate, the Graduate Faculty, school/department faculties within the college, ~~to~~ the President, or to other administrative officials. The academic or scholastic requirements of a college may exceed, but not be lower than, those established ~~for the University System as a whole~~ by the University Senate or the Graduate Faculty. The University Senate must approve ~~A~~any such differences in standards. ~~must be approved by the University Senate.~~

The faculty of a college may delegate by rule a defined part of the determination of its educational policies to an assembly of the college, which ~~shall~~ consists of the faculty and designated student representatives. The number of students voting and the method of selecting these students ~~shall be~~is determined by the rules of the college.

In addition to the functions and responsibilities described above, the faculty of a college without departments shall have any other functions and responsibilities which are delegated to a departmental faculty as set forth in Part VII.A.6.

5. Faculties of Schools~~(University System)~~

a) Membership

The membership of a faculty of a school shall consist of the dean of ~~the~~ its college of which it is an administrative unit, ~~the associate dean or~~ the director who is the chief administrative officer of the school, and the members of the faculty of the college who have been assigned regular, full-time duties in the school. (The faculty of a college is defined in Part VII.A.4 of these regulations.) Membership, with or without voting privileges, also may be extended or withdrawn by the ~~school~~ above faculty of the school to any other person assigned to the school for administrative, instruction, research, extension, clinical or librarian work.~~, teaching or research. It also shall include members of the faculties of other colleges who have joint appointments in the school or departments of the school.~~ An individual may be assigned to more than one ~~S~~school; in this instance, one assignment shall be designated primary by the Provost. (Part X.B.1)

b.) Officers, Committees and Councils

The faculty of a school shall hold regularly scheduled meetings at which the school director shall preside, except as the director may delegate this function. In addition, it shall hold special meetings on the call of the dean of the college, the director of the school, or by a prescribed number of its voting faculty. The school director shall preside over school faculty meetings, except as the director may delegate this function. Copies of the minutes of school faculty meetings and meetings of committees and councils of the school faculty shall be made available to all members of the faculty of the school.

The faculty shall establish its own rules and determine its own committee structure that are necessary for its functions in educational policy making~~,~~ and shall prescribe the quorum necessary for the transaction of business. After approval of these rules by the dean of the college and by the Provost for consistency with University regulations~~,~~ and with these *Governing Regulations,* the *Administrative Regulations*, *University Senate Rules*, *Graduate Faculty Rules,* and college faculty rules, copies of the school faculty's rules and a description of its committee structure shall be made available to its members, and a copy shall be filed with the director of the school, the dean of the college of which it is a unit, with the Provost, and with the University Senate Council.

c) School Faculty Functions

Within the limits established by these *Governing Regulations*, ~~of the Board of Trustees, the policies and rules of the University Senate,~~ the *University Senate Rules*, *Graduate Faculty Rules*, and the ~~policies and~~ rules of the faculty of the college of which it is a unit, the faculty of a school shall determine the educational policies of the school~~.~~, including primary responsibility for the development of policies on such matters as academic requirements, curricula, course offerings, undergraduate, graduate and research programs, professional programs, and service functions, to the extent that this responsibility has not been delegated to a department faculty. It shall be responsible for functions and duties assigned to it by the faculty of the college. For these purposes, it shall ~~establish its own rules and determine its own committee structure~~ make recommendations to the faculty of the college on matters that require the approval of that body. ~~After approval of these by the dean of the college and the Provost or the Senior Vice President and Chancellor of the Medical Center, copies of the rules and a description of its committee structure shall be made available to its members and a copy filed with the director of the school,~~

~~the dean of the college of which it is a unit, the Provost, the secretary of the University Senate and the Senate Council Office. It shall make recommendations to the faculty of the college on matters which require the approval of that body~~. It may make recommendations on other matters to the University Senate, the Graduate Faculty, the college faculty, and the faculties within the school, and the dean or other administrative officers~~. of the college~~. The academic or scholastic requirements of a school may exceed, but not be lower than, those established by the college faculty. The University Senate ~~Any such differences in standards~~ must ~~be~~ approve~~d~~ any such differences in standards. ~~by the University Senate.~~

~~Copies of the minutes of school faculty meetings shall be made available to all members of the faculty of the school.~~

In addition to the functions and responsibilities described above, the faculty of a school without departments shall have any other functions and responsibilities which are delegated to a department faculty as set forth in Part VII.A.6.

~~6.~~ Faculties of Departments ~~(University System)~~

a) Membership

The membership of a faculty of a department shall consist of a chair~~person~~ and the regular, full-time members of the department who are members of the faculty of the school and/or college of which the department is a part. (The faculties of a college and a school are defined in Parts VII.A.4 and VII.A.5, respectively.) ~~In addition, m~~Membership, with or without voting privileges, also may be extended or withdrawn by the above department faculty to any ~~other member of~~ person assigned to the department ~~faculty or staff or to any person assigned to it~~ for administrative~~, work, teaching,~~ instruction, research, extension, clinical or librarian work.~~ or service.~~ An individual may be assigned to more than one department; in this instance, one assignment shall be designated as primary by the Provost (Part X.B.1).

b) Officers and Committees

The department faculty shall hold regularly scheduled meetings, at which ~~T~~the department chair~~person~~ shall preside ~~over all departmental faculty meetings,~~ except as the chair~~person~~ may delegate this function. In addition, it shall hold special meetings on the call of the dean of the college, the chair~~person~~ of the department, or by a prescribed number of its voting ~~members~~faculty. The department chair~~, and~~ shall be an ~~ex officio~~ *ex officio* member of all departmental committees. Copies of minutes of departmental faculty meetings and of meetings of department committees shall be made available to all members of the faculty of the department.

The department faculty shall establish rules, procedures and a committee structure concerning educational policy matters over which it has jurisdiction and responsibility, and shall establish its quorum for the transaction of business. These proposed rules, procedures and committee structure shall be submitted to the director of the school (if appropriate), the dean of the college,

and the Provost for approval for consistency with these *Governing Regulations*, the *Administrative Regulations*, *University Senate Rules*, *Graduate Faculty Rules*, rules of the college and (if appropriate) rules of the school faculty. ~~The departmental faculty has jurisdiction over matters concerning its internal educational policies, insofar as these policies do not conflict with those of other departments or with the rules of the University Senate or the faculties of the school or college of which the department is a part. It should hold regularly scheduled meetings and shall establish rules of procedure and a committee structure to deal with matters over which it has jurisdiction. The proposed rules of procedure and committee structure shall be submitted to the director of the school (if appropriate), the dean of the college, and the Provost or the Senior Vice President and Chancellor of the Medical Center for approval~~. Copies of the approved rules, ~~of~~ procedures and committee structure shall be made available to the members of the departmental faculty and shall be filed with the director of the school, (if appropriate) the dean of the college of which the department is a unit, ~~member,~~ the Provost, and the ~~secretary of the~~ University Senate Council., ~~and the Senate Council Office.~~

c) Department Faculty Functions

Within the limits established by these *Governing Regulations*, the *Administrative Regulations*, *University Senate Rules*, *Graduate Faculty Rules*, or the rules of the faculties of the school or college of which the department is a part, the department faculty has jurisdiction over matters concerning its educational policies.

The department faculty ~~It~~ has primary responsibility for the development of policies on such matters as academic requirements, courses of study, course offerings ~~class schedules,~~ graduate and research programs, and service functions. Jointly with the department chair~~person~~, ~~it should~~ the department faculty shall establish procedures to be used within the department ~~in making~~ concerning (1) recommendations on ~~the~~ faculty appointments, promotions, reappointments, terminal appointments, ~~decisions not to reappoint~~ post-retirement appointments, ~~of new members of the department~~ and the granting of tenure and decisions not to reappoint; (2) the faculty performance evaluations and (3) ~~the~~ preparation of budget requests. The procedures in (1) and (2) above shall include consultation with directors of multidisciplinary research centers and institutes for those faculty members who are or ~~will~~ shall be associated with such centers or institutes. The ~~D~~department faculty may develop ~~guidelines~~ statements describing the evidences of activity in teaching, research and service that are appropriate to their field(s) for use in guiding evaluations for promotion and tenure. If developed and approved by the department faculty, those ~~guidelines~~ statements must be submitted by the chair of the department to the dean for review and final approval before the ~~guidelines~~ statements are made operative in the department. Revisions to a department's ~~guidelines~~ statements, upon approval of the department faculty, must also be submitted by the department chair to the dean for review and final approval.

The academic or scholastic requirements of a department may exceed, but not be lower than, those of the school and/or college of which the department is a part. The University Senate must approve ~~A~~any such differences in standards. ~~must be approved by the University Senate.~~

7. Faculty ~~Staffs~~ of Multidisciplinary Research Centers and Institutes ~~(University System)~~

a) Faculty Membership and Functions

The ~~staff~~ faculty of a multidisciplinary research center or institute that is responsible for ~~the~~ establishing the educational policies of the unit shall consist of: (1) a director who also shall be a faculty member of a department, school, or college; (2) faculty members with recurring, formally assigned instructional, research, and/or service duties in the unit.~~on a joint appointment basis;~~ In addition, membership, with or without voting privileges, may be extended and withdrawn by the above center or institute faculty to any other person assigned to the unit for administrative, instructional, research, extension, clinical or librarian work. ~~(3) faculty members on a faculty associate basis (each such faculty associate appointed, on recommendations of both the director of the center or institute and the appropriate educational unit administrator, by the Vice President for Research or other administrator who has administrative responsibility for the center or institute); and (4) non-faculty employees appointed for the duration of a specific project.~~

Academic rank shall not be conferred by a multidisciplinary research center or institute nor tenure acquired solely through ~~service~~ activities performed in such a unit.

b) Officers and Committees

A multidisciplinary research center or institute shall be administratively responsible to the Executive Vice President for Research unless specifically designated ~~otherwise~~ to another chief academic officer. A faculty advisory committee shall be appointed for each research center or institute by the officer to whom the unit is administratively responsible.

~~8. Faculty Organization in the Community College System and Lexington Community College~~

~~a. Faculties of Colleges~~

~~The faculty of a community college shall consist of all professional, instructional, library, and administrative personnel. An individual may have appointments to more than one faculty; in such instance, one appointment shall be designated by the appropriate administrative officer (X.B.1) as the primary one. The president of the college shall serve as chairperson of the faculty.~~

~~The faculty shall hold regularly scheduled meetings. In addition, it shall meet in special session on the call of the President of the Kentucky Community and Technical College System, the Chancellor for the Community College System, or the president of the college, or at the request of a prescribed number of its membership. Each college faculty shall establish the quorum for the transaction of business. Copies of minutes of college faculty meetings shall be made available to all members of the faculty of the college.~~

Consistent with the Governing Regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System, the faculty of each community college shall establish its own rules, including an organizational structure necessary for the performance of the faculty's functions. After approval of these by the Chancellor for the Community College System, copies of the rules of the faculty and a description of the faculty's committee structure shall be made available to faculty members and a copy filed with the Office of the Chancellor of the Community College System.

Within the limits established by the regulations of the University and the Rules of the Senate of the Community College System, the faculty of a college shall determine the educational policies of that college. It shall make recommendations to the Senate of the Community College System on matters which require the approval of that body, and it may make recommendations on other matters to the Senate of the Community College System, to the Chancellor for the Community College System, or to the President of the Kentucky Community and Technical College System.

b. Faculty Assemblies

The faculty of a community college may organize a Faculty Assembly for the purpose of making recommendations relating to the professional welfare of its members. It shall prepare a set of rules which shall describe its organization and procedures for the performance of its functions. Full-time faculty members devoting more than fifty percent of their effort to teaching, librarianship, and counseling are eligible for membership in the Faculty Assembly. Other members of the faculty may become members upon invitation of a majority of the members of the Assembly.

The rules of the Faculty Assembly of a community college shall be consistent with the Governing Regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System and shall be adequate to allow the Assembly to perform its responsibilities. Copies of the rules shall be submitted to the president of the college, the Community College Council, and the Chancellor for the Community College System for review and approval before being made operational.

c. Faculties of Divisions

The faculty of a division shall consist of a chairperson and the members of the division who are members of the faculty of the community college.

The division faculty has the responsibility for the internal policies of the division, insofar as these policies do not conflict with those of other divisions, with the rules of the faculty of the college of which it is a part, or with the Rules of the Senate of the Community College System. It should hold regularly scheduled meetings and is authorized, with the approval of the president of the college and the Chancellor for the Community College System, to establish rules for procedure and a committee structure to deal with matters for which it has

~~responsibility. It should establish with the division chairperson and the president of the college procedures to be used within the division in advising on the appointment of new members of the division, promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, and the granting of tenure, and the procedures to be used on the division level in faculty performance evaluations and in the preparation of budget requests.~~

~~The division chairperson shall preside over all division faculty meetings, except as the division chairperson may delegate this function, and shall be an ex officio member of all division committees. Copies of minutes of division faculty meetings shall be made available to all members of the faculty of the division and the president of the college.~~

~~d. Lexington Community College~~

~~Faculty organization in the Lexington Community College shall be consistent with paragraphs a, b, and c of this section with the exception that the faculty shall meet on call of the President of the University; the Provost shall fulfill the roles of the Chancellor of the Community College System; the Rules of the University Senate rather than the Rules of the Senate of the Community College System are applicable, and the Community College Council shall have no role in the review of the rules of the Faculty Assembly for the Lexington Community College.~~

8. Student Participation

~~R~~rules of procedure in educational units of the University shall provide, where~~n~~ appropriate, for participation of students in the development of educational policies.

B. Administrative Organization of Educational Units

1. Defintion

The administrative organization of the University is determined by the educational organization of the University and the instruction,~~teaching,~~ research, service, and other functions of the University. Each administrative officer, other than the President, is ~~shall be~~ responsible to the President, directly or through one or more superior officers, for the efficient operation of the organizational unit or functions for which the administrative officer is responsible. ~~The administrative organization is established by the President with the approval of the Board of Trustees. It may be expected to change with increasing size and diversity in functions of the University.~~ The duties of administrative officers reporting directly to the President shall be those delegated by the President and described in the ~~Administrative Regulations~~ *Administrative Regulations*. Each administrative officer is expected to recommend to the appropriate next superior officer the administrative organization necessary to carry out assigned duties. The positions of deans, ~~and presidents of colleges,~~ directors, and chair~~persons~~s of educational units,~~department and division chairpersons,~~ with descriptions of major duties assigned, are described in these ~~Governing Regulations~~ *Governing Regulations*. The Board of Trustees must

approve ~~M~~major changes in administrative organization ~~shall have the approval of must be approved by the Board of Trustees~~.

Each administrative officer is authorized to establish and enforce such policies and procedures as are attendant to delegated administrative duties and to establish administrative and/or advisory committees to aid in the performance of assigned functions.

2. Dean of the Graduate School

The Dean of the Graduate School is chair~~person~~ of the Graduate Faculty and of the Graduate Council and serves as an ex-officio member of all committees of the Graduate School. Under the broad direction of the President~~,~~ and the Provost, the Dean provides general planning, guidance, review, and coordination for all of the University's endeavors in graduate education. The Dean appoints regular and associate members of the Graduate Faculty. The Dean recommends to the Provost ~~and the Senior Vice President and Chancellor of the Medical Center~~ on the following actions affecting faculty members who are or may be involved in research or graduate programs ~~of the University~~: initial appointments of associate professors and professors; promotions of assistant professors and associate professors; and granting of tenure. The Dean also recommends on budgets as these may affect graduate education and shall have the same authority and responsibilities as those of a dean of a college in the administration of educational units that might be transferred to or developed under the Office of the Dean of the Graduate School.

~~The President, the Provost, the Vice President for Research, and the Dean of the Graduate School shall be ex officio members of all committees of the Graduate School. The Senior Vice President and Chancellor of the Medical Center shall be an ex officio member of all Graduate School committees affecting the Medical Center.~~

The Dean shall speak for the Graduate Faculty. In the event that the Dean believes it necessary to depart from the recommendations of the Graduate Faculty, the Dean shall communicate the Graduate Faculty's recommendation as well as the Dean's recommendation, stating the reasons for differing from the Graduate Faculty's opinion, and notify the Graduate Faculty of such action.

~~3.~~ Deans of the Colleges~~(University System)~~

~~A~~ dean is the ~~executive~~ chief administrative officer of a college~~.~~ and ~~It is the dean's function to see that the~~ The dean is responsible for the enforcement these *Governing Regulations*, the *Administrative Regulations*, ~~the~~ Rules of the *University Senate Rules*, *Graduate Faculty Rules,* and the rules of the college faculty~~. are enforced.~~ The dean is authorized to establish and enforce such policies and procedures as are attendant to the administrative management of the operations of the college.

The dean is the chair~~person~~ of the college faculty and an ~~ex officio~~ *ex officio* member of all college committees. The dean is charged with overseeing the educational work of the college and its efficient conduct and management in all matters not specifically charged elsewhere. The

dean is responsible for the implementation of the curricula of the college, for ensuring through the faculty the quality of instruction given therein, for the assignment of duties to all personnel, and for the service provided by the faculty of the college, individually and as a whole. The dean shall review faculty performance evaluations submitted by the department chair~~persons~~ and shall be responsible for recommendations on salaries, salary changes, appointments, reappointments, terminal appointments, post-retirement appointments, ~~decisions not to reappoint, post-retirement appointments,~~ promotions, and granting of tenure and decisions not to reappoint for members of the college or for ultimate action thereon when such authority has been delegated by the Provost or ~~the Senior Vice President and Chancellor of the Medical Center, as appropriate, or by~~ the President.

The dean shall submit the budget request for the college and administer the budget when it is approved. The President or Provost may delegate ~~F~~further administrative responsibilities ~~may be delegated~~ to the dean~~, by the Provost or the Senior Vice President and Chancellor of the Medical Center, as appropriate, or by the President.~~ These responsibilities may vary from college to college.

In connection with the above administrative functions, the dean shall seek the advice of the faculty of the college: 1) individually, 2) as a whole, 3) through the elected college faculty council, or 4) through the faculty advisory committees. In addition to the roles and responsibilities described above, the dean of a college without departments shall have any other roles and responsibilities which are delegated to a department chair~~person~~ as set forth in Part VII.B.6. Staff employees shall be consulted, when appropriate, in the development of administrative policies and decisions that directly affect staff employees.

The dean shall speak for the college. In the event that the dean believes it necessary to depart from recommendations of the college faculty, the dean shall communicate the college faculty's recommendation as well as the dean's recommendation, stating reasons for differing from the college faculty's opinion, and notify the college faculty of such action.

4. Directors of Schools ~~(University System)~~

The director ~~(or associate dean)~~ of a school serves as chair~~person~~ of the faculty of the school in the performance of its assigned functions and is an ~~ex officio~~ *ex officio* member of all committees of the school.

The director's administrative responsibilities shall be those delegated by the dean of the college of which the school is a part.

In connection with the above administrative functions, the director shall seek the advice of the faculty of the school: 1) individually, 2) as a whole, 3) through the elected school faculty council, or 4) through faculty advisory committees. In addition to the roles and responsibilities described above, the director of a school without departments shall have any other roles and responsibilities which are delegated to a department chairperson as set forth in [redacted]. Staff employees shall be consulted by the school director (or associate director), when

appropriate, in the development of administrative policies and decisions that directly affect staff employees.

The director shall speak for the school. In the event that the director believes it necessary to depart from the recommendations of the school faculty, the director shall communicate the school faculty's recommendation as well as the director's recommendation, stating reasons for differing from the school faculty's opinion, and notify the school faculty of such action.

~~In addition to the roles and responsibilities described above, the director of a school without departments shall have any other roles and responsibilities which are delegated to a department chairperson as set forth in Part VII.B.5.~~

5. ~~Department Chairpersons (University System)~~ Chairs of Departments

The department chair~~person~~ leads ~~serves as chairperson of~~ the department faculty in ~~the~~ its development ~~by the department~~ of policies on such matters as academic requirements, courses of study, class schedules, graduate and research programs, and service functions. The chair~~person~~ presides at all department meetings, except as the chair~~person~~ may delegate this function, and is an ~~ex officio~~ *ex officio* member of all department committees. The chair~~person~~ has administrative responsibility for implementing the department's policies and programs within the limits established by these *Governing Regulations*, the *Administrative Regulations*, *University Senate Rules*, *Graduate Faculty Rules*, ~~regulations of the University, the policies of the University Senate, and~~ the rules of the college, and the rules of any school of which it is a part.

The department chair~~person~~ is responsible for recommendations on the appointment of new faculty members of the department, promotions, reappointments, terminal appointments, post-retirement appointments, ~~decisions not to reappoint, post-retirement appointments, and~~ the granting of tenure, and decisions not to reappoint.

Procedures used in preparing recommendations shall be those established by the University, the college, and the department faculty. A~~s~~t a minimum, the procedures must include consultation with all tenured members of the department, with the directors of any multidisciplinary research centers, graduate centers~~,~~ or institutes with which the affected individuals are or will be associated, and with all full-time non-tenured faculty members (except for temporary faculty or those appointed in the research title series, ~~Medical Center~~ clinical title series, or visiting series) with the actual or equivalent rank of assistant professor or higher who have been members of the department for two (2) years, except as noted below. Faculty members with appointments in the ~~Medical Center~~ clinical title series, however, shall be consulted on a departmental or divisional basis as appropriate about appointment or promotion of individuals to academic ranks equal to or below their own in the ~~Medical Center~~ clinical title series, but not be consulted on matters relating to appointment, retention, promotion, or tenure of faculty in the regular or special title series. All recommendations on matters listed above, excluding reappointments and post-retirement appointments, shall include the written judgment of each consulted member of the department and of each director of pertinent multidisciplinary research centers and institutes, along with the recommendation of the chair~~person~~.

The following exceptions may be made: (1) faculty members on approved leave of absence or with a primary administrative, service, or other assignment outside the department may, but are not required to, provide written judgments on all recommendations; (2) faculty members need not be consulted on recommendations for promotion affecting members with equivalent or higher rank, except that all faculty members with tenure shall be consulted on recommendations for granting of tenure; (3) faculty members without tenure need not be consulted on recommendations for granting of tenure; (4) the right to make recommendations on temporary appointments and/or appointments at the assistant professor level or below may be delegated, with these appointments to be reviewed by the tenured faculty of the department during the second semester of the first year of appointment; and (5) in a large and diverse department, upon prior recommendation by the department faculty and approval of the dean and the Provost, ~~or Senior Vice President and Chancellor of the Medical Center, as appropriate,~~ consultation with faculty members may be restricted to those associated with the concerned, previously-defined academic division or program area in the department.

The department chair~~person~~ is responsible for the periodic evaluation of department members by procedures and criteria established by the University, the college, and the department faculty.

The department chair~~person~~ submits the budget request for the department and administers the budget after its approval. The chair~~person~~ also is responsible for making recommendations on salaries, ~~and~~ salary changes, and distribution of effort.~~.~~

In connection with the above major administrative functions, the chair~~person~~ shall seek the advice of members of the department, individually or as a group, or of advisory committees that the chair~~person~~ may appoint. Staff employees shall be consulted, when appropriate, by the chair, ~~when appropriate,~~ in the development of administrative policies and on decisions that directly affect staff employees.

The chair~~person~~ shall speak for the department. In the event that the chair~~person~~ believes it necessary to depart from the opinion of the department faculty, the chair~~person~~ shall communicate the department faculty's opinion as well as the chair~~person~~'s recommendation, stating reasons for differing from the department faculty's opinion, and notify the department faculty of such action.

6. Directors of Multidisciplinary Research Centers and Istitutes~~University System)~~

The administrative officer of a multidisciplinary research center or institute is a director, who also shall be a faculty member in a department, school, or college. The director of a multidisciplinary research center or institute is charged with the planning, implementation, coordination, and efficient management of the program and activities of the center or institute. The director shall have the same responsibilities as those of a department chair~~person~~ relative to faculty ~~with joint appointments and non-faculty personnel~~ members and staff employees with assigned duties in the center or institute. The director shall provide recommendations and advice to appropriate educational unit administrators concerning space, financial, and other resources,

as well as the identification ~~and appointment~~ of faculty members for assignment of duties ~~associates~~ in the center or institute. The director shall submit the core budget request for the center or institute and administer this budget after its approval. In addition, the director may have other responsibilities delegated by the Executive Vice President for Research or other academic administrator to whom the center or institute is administratively responsible.

In connection with the above major administrative functions, the director shall seek the advice of the ~~staff~~ faculty members of the center or institute, individually or as a group, or of advisory committees that may be appointed by the director of the center or institute or by the administrator to whom the center or institute is administratively responsible. The director shall speak for the center or institute and be an ~~ex officio~~ *ex officio* member of all of its committees and shall transmit the recommendations of the consulted faculty along with the director's recommendation, if these recommendations are different. Staff employees shall be consulted, when appropriate, by the director, ~~when appropriate~~, in the development of administrative policies and on decisions that directly affect staff employees.

7. Director/Chair~~persons~~ of Interdisciplinary Instructional Programs~~(University System)~~

The director/chair~~person~~ of an interdisciplinary instructional program shall be a member of one of the academic departments participating in the program.

The director/chair~~person~~ shall be responsible to the dean(s) of the college(s) in which the program is located and advise the dean(s) on ~~staff~~ personnel and other needs of the program in connection with budget planning. For these administrative purposes, ~~T~~the director/chair~~person~~ shall rely upon the advice of a committee ~~of interested faculty drawn from the departments offering the courses composing the curriculum.~~ drawn from faculty members participating in the courses composing the curriculum and shall transmit the recommendations of the consulted faculty along with the director/chair's recommendation, if these recommendations are different. Staff employees shall be consulted by the director/chair, when appropriate, in the development of administrative policies and on decisions that directly affect staff employees.

~~8. Presidents of Community Colleges~~

~~The president of a community college is the chief administrative officer of the college. Under the general direction of the Chancellor for the Community College System, or in the case of the Lexington Community College under the general direction of the Provost, the president is charged with general responsibility for the overall administration of the college. It is the president's function to see that the applicable University of Kentucky Governing Regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System are enforced, and in the case of the Lexington Community College, that the Rules of the University Senate are enforced. The president is the chairperson of the college faculty and an ex officio member of all college committees. Along with the college faculty, the president is responsible for the development and implementation of an instructional program commensurate with the purposes of a comprehensive community college. The president is responsible for the curricula of the college, for the quality of instruction given therein, for the assignment of duties to all~~

persons, and for the service provided by the faculty of the college, individually and as a whole. The president shall utilize, through the Office of the Chancellor for the Community College System or in the case of the Lexington Community College, through the Office of the Provost, the provisions for liaison with colleges and departments of the University System for advisory assistance and services relating to the instructional program.

The president shall review and finalize the performance evaluations recommended by the division chairpersons or other administrative personnel of the college.

The president shall be responsible without delegation for recommendations on salaries, salary changes, appointments, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, promotions, and granting of tenure for members of the college or for ultimate action thereon when such authority has been delegated to the president by the Chancellor for the Community College System or by the President of the Kentucky Community and Technical College System, and in the case of the Lexington Community College, the Provost, or by the President of the University.

With regard to students, the president is charged with administering the requirements and regulations of the community college concerning the admission, probation, and dismissal of students.

The president shall be responsible for the preparation of the budget request for the college, for the business and financial operation of the college, either assumed or delegated, and for the maintenance and operation of the physical plant of the college.

It is the president's responsibility to relate the college program to the community through relationships with an advisory board or board of directors through appropriate use of public information media, and by appropriate relationships with community groups and individuals. The president personifies the University in the community and shall endeavor to further constructive relations between college and community.

9. Division Chairpersons (Community College System)

The division chairperson serves as chairperson of the division faculty in the development by the division of policies in regard to such matters as the implementation and revision of programs and courses. The division chairperson assists the president, or the president's designee, in the preparation of the class and teaching schedule for the division. The division chairperson presides over all division meetings, except as the division chairperson may delegate this function, and is an ex officio member of all division committees. The division chairperson has administrative responsibility for implementing the division's program within the limits established by the regulations of the University and the Community College System, the policies of the Senate of the Community College System, in the case of the Lexington Community College the policies of the University Senate, and the rules and regulations of the community college.

~~The division chairperson shall be responsible for advising the president on the appointment of new members of the division, promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, and the granting of tenure, after consultation with the tenured faculty of the division.~~

~~The division chairperson shall be responsible for the periodic evaluation of members of the division by procedures and criteria established by the University, the Community College System, and the community college and division faculties. The division chairperson shall make recommendations to the president, who shall make final decisions about such evaluations.~~

~~The division chairperson shall be responsible for preparing for the president, or the president's designee, the budget request for the division. The division chairperson also is responsible for advising the president, or the president's designee, as to salaries and salary changes for members of the division.~~

~~In connection with the division chairperson's major administrative functions, the chairperson shall seek the advice of members of the division, individually or as a group, or of advisory committees that the chairperson may appoint.~~

~~The division chairperson speaks for the division. In the event that the chairperson believes it necessary to depart from the opinion of the divisional faculty, the chairperson must communicate the divisional faculty opinion as well as the chairperson's recommendation or advice, stating reasons for differing from the divisional faculty opinion, and notify the divisional faculty of such action.~~

# PART VIII

## UNIVERSITY ~~SYSTEM~~ APPOINTMENTS

### A. ~~Administrative Appointments~~ Administrative Appointments

#### ~~———~~1. ~~Regular Appointments~~ Regular Appointments

The President ~~of the University~~ is appointed by the Board of Trustees with the advice of a joint committee of the Board of Trustees, University ~~f~~Faculty, staff employees, student body, and alumni. The committee shall consist of six (6) members of the Board of Trustees, at least one (1) of whom shall be from among the three (3) alumni trustees, appointed by ~~its chairperson~~ the Chair of the Board of Trustees; three (3) regular full-time faculty members ~~of the full-time teaching and/or research faculty of the University System~~, selected by a procedure determined by the University Senate; one (1) regular full-time staff employee ~~of the University~~ appointed by the Chair~~person~~ of the Board of Trustees from a list of three (3) staff employees selected by a procedure determined by the Staff Senate; ~~elected by the staff of the University;~~ two (2) full-time students ~~of the University~~, one (1) undergraduate and one (1) graduate or professional student, appointed by the Chair~~person~~ of the Board of Trustees from a list of three (3) undergraduate students and three (3) graduate or professional students selected by a procedure determined by the Student Government Association; and one (1) member of the alumni ~~of the University~~ appointed by the Chair~~person~~ of the Board of Trustees from a list of three (3) alumni selected by the Board of Directors or the Executive Committee of the University of Kentucky Alumni Association. The committee shall provide opportunity for discussion ~~between~~ among representatives of administration, University ~~f~~Faculty, staff employees, student body, ~~and~~ alumni groups, and prospective presidential candidates.

The Provost, executive vice presidents, vice presidents, chief administrative officers of educational units (deans, directors, and ~~chairperson~~chairs), and every administrative and professional employee of the University who is to report directly to the President or whose position is at Level 50 or above in the Administrative and Professional Classification System shall be appointed by the Board of Trustees, acting upon recommendation of the President. Except in the cases of those individuals who report directly to the President, a recommendation from an appointee's superior officer shall be obtained prior to recommending an appointment or reappointment by the Board of Trustees. ~~Non-faculty~~ Staff employees, other than those described above may be appointed by the President, Provost, or appropriate ~~senior~~ executive vice president or vice president. The President shall report all appointments at Levels 47 through 49 in the Administrative and Professional Classification System to the Board of Trustees. The President, Provost, or ~~and~~ the appropriate ~~senior~~ executive vice president or vice president ~~or the Provost~~ may delegate the power to appoint personnel whose appointments are not made by the Board of Trustees to other appropriate administrative officers.

If the Board of Trustees does not accept the recommendation of the President with respect to an appointment, it shall call upon the President to make another recommendation. Likewise, the President may refuse to approve a recommendation, but, in each such case, the President shall

ask for a new recommendation from the same or a new search committee. The same principle shall apply at all levels of the administrative organization.

~~———2. Acting Appointments~~ Acting Appointments

~~———~~When vacancies occur in administrative positions other than the presidency, the President or the Provost or the appropriate ~~senior~~ executive vice president or vice president may appoint acting officers to fill the positions until it is possible to make regular appointments by the established procedure. When the officer is one who does not report directly to the President, the President or the Provost, or ~~senior~~ an executive vice president or a vice president, as appropriate, shall request a recommendation from that officer's superior. Acting appointments shall be for a maximum term of one (1) year; however, reappointments may be made after a satisfactory review. All appointments of acting officers shall be reported to the Board of Trustees. With the approval of the next higher administrative level, a regular administrative officer may designate a temporary officer to service in an acting capacity for a period not to exceed four (4) months while the regular officer is away from campus on University business or approved leave.

If the officer administers a college, center, school, or department, ~~or a community college the appropriate chancellor/vice president~~ the Provost also shall request a recommendation ~~from a committee which includes faculty representation~~ on matters of acting appointment or acting reappointment from a committee which includes faculty members from that unit. ~~Acting appointments shall be for a maximum term of one year; however, reappointment may be made after a satisfactory review. All appointments of acting officers shall be reported to the Board of Trustees.~~

~~With the approval of the next higher administrative level, a regular administrative officer may designate a temporary officer to serve in an acting capacity for a period not to exceed four months while the regular officer is away from campus on University business or approved leave.~~

In the selection of an acting Provost, the President shall request a recommendation from a committee which includes faculty members and also recommendations from the University Senate Council.

The search processes for an acting provost or chief administrative officers of colleges, schools, departments and centers shall include the participation of staff employees of the unit, and shall provide for the participation of other affected groups as appropriate.

~~———3. Search Committees~~ Search Committees

~~———~~Recommendations on the appointment of a Provost or of the chief administrative officers of all educational units of the University shall be made after advice from search committees.

———When vacancies in administrative positions are anticipated, search committees shall be appointed sufficiently in advance of the vacancy to avoid the appointment of an acting administrator. When unanticipated vacancies occur, search committees shall be appointed as soon as the established procedures for appointment can be carried out. The selection of faculty members for membership on search committees for administrative appointments above the level of dean shall be made after consultation with the University Senate Council.

Recommendations of the search committee shall be transmitted to the President through the established administrative channels, along with the recommendation of the administrative officer responsible for the appointment. Recommendations on the appointment or reappointment of a chair of an academic department or division, or for the dean of a college or the director of a school that does not contain departments or divisions, shall be accompanied by written statements from at least the tenured members of the college, school, department or division.

———Search committees for deans of colleges shall be appointed by the ~~appropriate~~ Provost ~~or Senior Vice President and Chancellor of the Medical Center~~ after consultation with the University Senate Council, and either the faculty or elected faculty council of the college.

Search committees for directors of schools shall be appointed by the deans of the colleges after consultation with the appropriate ~~faculty~~ bodies ~~within the colleges~~ as these bodies are identified in the rules of the respective school faculty.

———Search committees for ~~chairperson~~chairs of academic departments shall be appointed by the deans of the colleges after consultation with (1) the associate dean or director of the school within the college if the department is in such a school; (2) the faculty of the department; and (3) the Dean of the Graduate School if the department is involved in a graduate program.

———Search committees for directors of interdisciplinary research centers or institutes or interdisciplinary instructional programs shall be appointed, after consultation with the ~~Graduate Council~~ appropriate bodies, by the Executive Vice President for Research, or other officer to whom administrative responsibility has been delegated.

~~A search committee for a president of the Lexington Community College shall be appointed by the Provost after consultation with the faculty of the College.~~

~~Search committees for chairpersons in the Lexington Community College shall be appointed by the President of the College after consultation with at least the tenured faculty members of the appropriate divisions.~~

~~Search committees shall operate under procedures prescribed by the President after consultation with the Senate Council.~~

~~Recommendations of the search committee shall be transmitted to the President through the normal administrative channels, along with the recommendation of the administrative officer responsible for the appointment. Recommendations on the appointment or reappointment of a~~

~~chairperson of an academic department or division shall be accompanied by written statements from at least the tenured members of the department or division.~~

The search processes for a provost or for chief administrative officers of colleges, schools, departments and centers shall include the participation of staff employees of the unit and shall provide for the participation of other affected groups, as appropriate.

~~4.~~ ~~Terms of Chairpersons and Directors~~ Terms of Chairs and Directors

~~a.~~ ~~Department Chairpersons~~ Department Chairs

~~The~~ The term of a department ~~chairperson~~chair's appointment shall be four (4) years, except in the Colleges of Agriculture, Dentistry, ~~Human Environmental Sciences,~~ Medicine, Nursing, and Pharmacy where it shall be six (6) years. Ordinarily, a department faculty member will be asked to serve as ~~chairperson~~chair for only one (1) term. A ~~chairperson~~chair may be reappointed, however, when the faculty advisory committee appointed to review the work of the department (AR II-1.0-6) finds that the particular circumstances and needs of the department make such a reappointment desirable. Reappointment beyond the second term may occur under exceptional circumstances when it is deemed to be in the best interests of the University. This practice may vary from discipline to discipline.

~~b.~~ ~~Chairpersons of Interdisciplinary Instructional Programs~~ Chairs of Interdisciplinary Instruction Programs

~~Insofar~~ Insofar as applicable, the rules for the appointment and reappointment of a department ~~chairperson~~chair shall apply also to the appointment and reappointment of a ~~chairperson~~chair of an interdisciplinary instructional program.

~~c. Division Chairpersons (Lexington Community College)~~

~~The division chairperson shall be appointed for a term of three years and normally shall serve for no more than three consecutive terms. Reappointment beyond the third term may occur under exceptional circumstances when it is deemed to be in the best interest of the community college and has been recommended by a faculty advisory committee.~~

~~d.~~c. ~~Directors of Multidisciplinary Research Centers and Institutes~~ Directors of Multidisciplinary Research Centers and Institutes

The director of a multidisciplinary research center or institute shall be appointed for a term of six (6) years. A director may be reappointed, however, when an ad hoc committee appointed to review and evaluate the center or institute (AR II-1.0-6) finds that the particular circumstances and needs of the center or institute make such a reappointment desirable.

B. ~~Academic Appointments, Reappointments, Promotions, and Granting of Tenure~~ Academic Appointments, Reappointments, Promotions, and Granting of Tenure

All appointments and promotions of faculty members to positions which involve (1) an actual or equivalent academic rank of associate professor or professor, (2) tenure, or (3) an initial salary above ~~$60,000~~ $100,000 shall be made by the Board of Trustees, acting upon recommendation of the President. Appointments and promotions of faculty members to positions which involve an actual or equivalent academic rank below associate professor and an initial salary not exceeding ~~$60,000~~ $100,000 ~~may~~ shall be ~~finally approved~~ made by the President who shall report such actions ~~(except those relating to graduate teaching and research assistants)~~ to the Board of Trustees. The President may delegate the ~~power~~ authority to make appointments and promotions that do not require approval by the Board of Trustees. The President, following consultation with the University Senate Council, shall establish such review systems as are appropriate to assist the President, or other appropriate administrative officers designated by the President, in making informed judgments concerning academic appointments, reappointments, promotions, and granting of tenure.

# PART IX

## REVIEWS OF EDUCATIONAL UNITS AND THEIR CHIEF ADMINISTRATIVE OFFICERS

The work of each educational unit in the University* and of the unit's chief administrative officer shall be reviewed at regular intervals by an ad hoc committee. The review periods shall normally be from five (5) to seven (7) years, except (1) for educational units where the review period shall depend upon the term of office of the chair~~person~~, the reviews being made so that the results are available when decisions to appoint or reappoint chairs~~persons~~ are to be made, and (2) for units headed by acting administrators, where the review period shall be one year. A review of the work of any educational unit at other than the regular interval may be initiated by the chief administrative officer of the unit, by an administrative officer responsible for the unit, or by the President. Ordinarily such a review shall be initiated if requested by a majority of the faculty members of the unit, unless the administrative officer to whom the unit reports provides written justification for not initiating the review.

Procedures for appointment of review committees and for conduct of reviews, which have been established by the President after consultation with appropriate administrative and faculty groups including the University Senate Council, are described in the ~~Administrative Regulations~~ *Administrative Regulations* (AR II-1.0-6). Such review committees shall be formed principally from faculty personnel, ~~and~~ shall normally include members internal and external to the unit being reviewed, and may include members external to the University.

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* Includes departments, schools, graduate centers, colleges, interdisciplinary instructional programs, and multidisciplinary research centers and institutes.

# PART X

## REGULATIONS AFFECTING ~~ACADEMIC~~ EMPLOYMENT

### A. General

~~A~~1. Merit ~~A~~as the Basis for Appointment

All appointments shall be made strictly on the basis of merit. An applicant for a position shall not be discriminated against because of sex, race, ethnic origin, national origin, sexual orientation, color, creed, religion, age, or political belief. Additionally, the University shall not discriminate against any applicant for employment because of Vietnam-era veteran status, disabled veteran status, or physical or mental ~~handicap~~ disability in regard to any position for which the applicant for employment is qualified.

Members of the Board of Trustees, except those elected to the Board of Trustees as faculty, staff, or student representatives, and relatives of any member of the Board of Trustees are ineligible for employment at the University. "Relatives", as used above, include parents and children, husbands and wives, brothers and sisters, brothers- and sisters-in-law, mothers- and fathers-in-law, uncles, aunts, nieces and nephews, sons- and daughters-in-law, and step-relatives in the same relationships. Employment includes regular and temporary full- and part-time employment, including student work-study and graduate assistant programs.

No relative of the President shall be employed in a position at the University. Similarly, no relative of the Provost, ~~or any Vice President,~~ or any ~~A~~associate ~~P~~provost, ~~or Associate~~ executive ~~V~~vice ~~P~~president, vice president, or associate vice president shall be employed in a position in that officer's administrative area. Waiver of the above regulation may be permitted by the Board of Trustees. ~~on a stated temporary basis, not to exceed two years, when it is otherwise impossible practicably to fill a position with a fully qualified person. The same individual shall not be eligible for reappointment under the terms of this exception unless approval is given by the Board of Trustees.~~ All changes in position and/or rank related to this waiver shall be approved by the Board of Trustees. No relative of any administrative officer of the University, or of any member of the University ~~f~~Faculty, may be appointed to any position in the University over which the related officer or staff ~~member~~ employee exercises supervisory or line authority. Employment of relatives within the same department or division shall be approved specifically by the Provost or ~~senior~~ appropriate executive vice president or vice president~~, as appropriate~~. "Relatives," as used above, include parents and children, husbands and wives, brothers and sisters, brothers- and sisters-in-law, mothers- and fathers-in-law, uncles, aunts, nieces and nephews, sons- and daughters-in-law, and step-relatives in the same relationships. The employment of relatives of University employees, as described in this paragraph, whose relationship was not a violation of this section of these ~~Governing Regulations~~ *Governing Regulations* prior to its amendment on April 10, 1984, does not constitute a violation of the amended policy provided the relatives are regular employees of the University and remain in the University employment positions which they held on April 10, 1984. However, the

transfer of any such employees described in this paragraph (whose employment relationship was not a violation of policy prior to its amendment on April 10, 1984) to any other regular University position shall require approval of the President.

2. Intellectual Property

As a general policy, all intellectual property conceived, first reduced to practice, written, or otherwise produced by faculty members, staff employees, or students of the University using University funds, facilities, or other resources shall be owned and controlled by the University. Any faculty member, staff employee, or student who produces such intellectual property using University funds, facilities, or other resources shall assign personal rights to the property to the University, or its designate, but shall have an interest in and a right to share in any financial returns from the commercialization of the property. Notwithstanding, it is a specific policy of the University that the traditional products of scholarly activity, such as journal articles, textbooks, reviews, monographs, works of art, sculpture, music, and course materials, shall be the unrestricted property of the author. The University shall exert no ownership claim of such works. A Standing Committee on Intellectual Property appointed by the President shall administer the policy and oversee implementation of the procedures, both as detailed in the Administrative Regulation on intellectual property (AR II-1.1-3).

3. Representation and Attendance at Meetings

In order that the University may be properly represented at the various gatherings or functions to which it is invited from time to time to participate, the President selects faculty members or staff employees to represent the institution.

In addition, employees are urged to become members and to participate in meetings of international, national, regional, and state and local organizations and associations related to their University employment.

Funds may be available to defray the expenses in whole or in part of those who are authorized to attend meetings by the President or other appropriate administrative officers.

4. Retirement

The University of Kentucky Retirement Plan represents a consolidation of the original Retirement Resolution (April 7, 1964) and its numerous subsequent amendments into a unified statement of University policy and guidelines on retirement of employees in Groups I, II, III, IV, and V. The University of Kentucky Retirement Plan, which has been adopted by the Board of Trustees and may be amended only by that body, is presented in its entirety in the *Administrative Regulations* (AR II-1.6-1).

5. Social Security

All employees except those in Civil Service are covered by the Old-Age and Survivors Insurance

of the National Social Security Program and are thus eligible for benefits in accordance with the governing legislation. Each eligible employee of the University must, if the employee has not previously done so, secure a Social Security card and supply to the Office of the Controller and Treasurer a completed Treasury Form W-4 with the employee's social security number and other information necessary for withholding appropriate contributions.

6. Group Insurance

Regular full-time faculty members and staff employees who are employed by the University are insured under the Basic Life Insurance Program. This insurance may be increased optionally to a total of one (1), two (2), or three (3) times the employee's basic annual salary, up to the maximum amount contained in the University's contract with the insurer. The premium for the basic insurance is paid by the University, whereas that for the optional increase in insurance is paid by the employee.

In addition, all regular full-time faculty members and staff employees are encouraged to participate in the University's basic health and other insurance programs that also may include coverage for dependents.

7. Workers' Compensation

A University employee sustaining an on-the-job injury to developing a job-related illness is covered by provisions of the Kentucky Workers' Compensation Act.

8. Employee Disability Program

Regular full-time faculty members and staff employees, other than those covered by Civil Service or the Federal Employee Retirement System, are covered by the Long Term Disability Program. Coverage commences after the completion of one (1) year of employment unless the eligible employee, at the time of hire, satisfies the exception condition as outlined in the plan document in which case there is immediate coverage. This program provides income protection through a Long Term Disability Plan and, in some cases, supplemental benefits by the University. The University pays the full cost of this program.

B. ~~Tenure~~ Employment of Faculty

~~1. Types of Appointment~~ Appointment of Faculty

a) Types of Faculty Appointments

Faculty appointments are with educational units and shall be of three basic types: (1) non-tenured appointments; (2) tenured appointments; and (3) post-retirement appointments.

1. There are two types of non-tenured appointments.

a) One type of non-tenured appointment is that in which a faculty member is ineligible for tenure as a consequence of having been appointed: (1) in the lecturer, research, clinical, adjunct, visiting, or voluntary title series; ~~of academic ranks and titles;~~ or (2) on a part-time or temporary basis in a title series. An appointment on a temporary basis involves an individual who has assigned duties and responsibilities ~~which should~~ that shall be completed within one (1) year or less.

b) A second type of non-tenured appointment is that in which a faculty member is appointed on a full-time, year-to-year basis in the regular, special ~~title~~, extension, or librarian title series ~~of academic ranks and titles~~. In such an appointment, the faculty member does not have tenure but may be considered for tenure if recommended for such by the appropriate University administrators.

2. A tenured appointment is one in which a faculty member is on full-time continuous appointment.

The term "joint appointment" shall apply in any case in which an individual holds two (2) or more intra-University faculty appointments. In such an instance, one of the appointments shall be designated as the primary appointment by the dean of the college if the individual's appointments are associated with educational units in the same college~~,~~ or by the Provost ~~or Senior Vice President and Chancellor of the Medical Center, as appropriate,~~ if the appointments are with educational units in different colleges. The constituent appointments relating to a joint appointment may be processed simultaneously or at different times; however, each appointment, reappointment or promotion, ~~should~~ shall be processed independently and considered on its own merits. An individual's academic rank, though usually the same, may differ in the constituent appointments. The possibility of tenure applies only to the primary appointment. In case of termination of the non-primary appointment(s) leaving only the primary appointment for a full-time faculty member, the individual's assignment associated with the primary appointment shall become full-time. When an individual under consideration for appointment to an administrative position is also to be considered for a faculty appointment, recommendations of committees on the two respective appoints shall be developed independently on their respective merits, in accordance with the respective established appointment procedures.

3. Post-retirement appointments are of two (2) types.

a) One type is that in which retired ~~employees~~ faculty members may be appointed to perform duties for which fee schedules have been established and approved by the Provost ~~or Senior Vice President and Chancellor of the Medical Center~~.

b) A second type of post-retirement appointment may be used in a very limited number of cases, when it is essential to a University program or office or when it is otherwise impossible practicably to fill a position with a fully qualified person. Such a part-time or full-time appointment is restricted to former employees who have elected to retire, requires specific prior approval by the Board of Trustees, and is made for a period not to exceed one (1)

year.

More detailed information on the various types of appointments is provided in the ~~Administrative Regulations~~ *Administrative Regulations* (AR II-1.0-1, AR II-5.0-2, and AR II-1.6-1).

~~2.~~b) ~~Probationary Periods~~ Probationary Periods

~~Probationary periods (or maximum non-tenure periods) are not applicable in cases where faculty members are appointed (1) in the research, clinical, adjunct, visiting, or voluntary series of academic ranks and titles, or (2) on a part-time or temporary basis. In all such appointments, faculty members are ineligible for tenure.~~

Probationary periods are applicable to non-tenured appointments of faculty members on a full-time year-to-year basis in the regular, special title, ~~-~~extension, ~~-~~or librarian title series~~ of academic ranks and titles~~. Such non-tenured appointments may be for one (1) year or for other stated periods, subject to renewal. The total non-tenure period, however, shall not exceed seven (7) years unless one of the following provisions applies: AR II-1.1-12 (Family Medical Leave); GR X.C.7. (leave for duration of an election or term of office); GR X.C.5.d. (educational leave); or for other leaves of absence where the University, in granting the leave, and the individual, in accepting it, agree that time spent on the leave of absence shall not count as probationary period service. Except as provided in Part X.C.5.d. and X.C.7., time spent on leave of absence shall count as probationary period service unless the University in granting the leave and the individual in accepting it agree to the contrary. An individual initially appointed to the rank of full professor may be given non-tenure status for a period not to exceed one (1) year. An individual shall not remain at the rank of instructor in the University for more than three (3) years. If after that period, promotion to a higher rank cannot be justified, the individual's appointment ~~in~~ with the University ~~System or the Community College System of the University of Kentucky~~ shall not be renewed.

Following appropriate probationary periods not exceeding in duration those described above, all persons of associate professor (or librarian II) or higher rank shall be given tenure or their appointments shall not be renewed; all persons of assistant professor rank (or ~~equivalent as adjudged by the President~~ librarian III) shall be promoted to associate professor (or librarian II) with tenure or their appointments shall not be renewed. Established appointment, promotion, and review procedures shall be followed in making these decisions.

Probationary periods (or maximum non-tenure periods) are not applicable in cases where faculty members are appointed (1) in the lecturer, research, clinical, adjunct, visiting, or voluntary series of academic ranks and titles, or (2) on a part-time or temporary basis. In all such appointments, faculty members are ineligible for tenure.

~~Existing tenure appointments are not affected by any of the foregoing regulations.~~

~~3.~~c) ~~Appointment Record~~ Appointment Record

The precise terms and conditions, excepting salary, covering each appointment shall be stated in writing on an official appointment record. Notice of reappointment shall be in the possession of the appropriate administrative officers and the appointee at least three (3) months before the renewed appointment begins, when possible, or at the earliest date feasible in view of budget considerations. It shall be the responsibility of the Provost ~~or Senior Vice President and Chancellor of the Medical Center~~ to ~~insure~~ ensure compliance with this regulation.

~~4.~~d) ~~Notification of Non-Renewal of Appointment: Non-Tenured Appointments~~ Notification of Non-Renewal of Appointment: Non-Tenured Appointments

~~Part-time, visiting, or temporary short-term~~ faculty appointments ~~with~~ have explicit one (1) year of less terminal dates ~~of one year or less~~ that terminate at the expiration of the term without notice.

~~For those employed year-to-year on a fiscal or academic year basis, notification of non-renewal of appointment at the end of the first year of service shall be given not later than March 1 if the appointment expires at the end of that year or three (3) months in advance if the one-year appointment terminates during the academic year. Notification of non-renewal of appointment at the end of the second year of service shall be given no later than December 15 if the appointment expires at the end of the year or six (6) months in advance if the appointment expires during the year. Notification of non-renewal of appointment after more than two (2) years of service shall be given at least twelve (12) months before expiration of appointment. Non-renewal decisions concerning regular, full-time faculty members shall be reported to the Board of Trustees.~~

~~Any related appeal(s) to the Provost or the Senior Vice President and Chancellor of the Medical Center, as appropriate,~~ concerning procedural matters or privilege and/or to the University Senate Advisory Committee on Privilege and Tenure ~~of the University Senate or the Senate of the Community College System~~ concerning procedural matters, privilege, or allegations of violation of academic freedom must be initiated in writing by the concerned faculty member within sixty (60) days after being notified in writing by the dean ~~or president of the college~~ about non-renewal of appointment.

~~5.~~e) ~~Termination of Appointment~~ Termination of Appointment

~~a.~~i) ~~Reasons for Termination~~ Reasons for Termination

~~Except in cases of financial emergency, the termination of a tenured appointment or the dismissal of a person prior to the expiration of a non-tenured appointment shall be, in accordance with KRS 164.230, only for reasons of incompetence, neglect of or refusal to perform duties, or for immoral conduct.~~

~~In a case of termination because of a financial emergency, the faculty member may have the issues reviewed by the University Senate Advisory Committee on Privilege and Tenure ~~of the University Senate or the Senate of the Community College System~~

with the right of appeal to the President and the Board of Trustees for the University ~~System~~ faculty member ~~and to the President of the Kentucky Community and Technical College System for the University of Kentucky Community College System faculty member~~. The faculty member shall be given notice as soon as possible and never less than twelve (12) months' notice. The released faculty member's position shall not be filled by a replacement within a period of two (2) years unless the released faculty member has been offered reappointment and given a reasonable time within which to accept or decline it.

~~b.~~ii) ~~Procedure~~ Procedure

~~——————~~Dismissal of a faculty member with tenure or of a non-tenured faculty member before the end of a specified term of appointment shall be preceded by discussions between the faculty member and the appropriate administrative officer or officers looking toward a mutual settlement. In the event of failure to agree upon settlement, the Provost shall be responsible for the preparation of a reasonably ~~particularized~~ detailed statement of charges which shall be furnished to the faculty member and the University Senate Advisory Committee on Privilege and Tenure ~~of the University Senate or the Senate of the Community College System~~. The committee shall make an informal investigation for the purpose of attempting to effect ~~an adjustment~~ a resolution and, in the case of failure, shall recommend to the President ~~of the University of Kentucky for University System faculty or the President of the Kentucky Community and Technical College System for Community College faculty~~ whether, in its opinion, dismissal proceedings should be undertaken. Its opinion shall not be binding upon the President ~~of the University of Kentucky for University System faculty or the President of the Kentucky Community and Technical College System for Community College faculty~~.

~~——————~~If the President ~~of the University of Kentucky for University System faculty or the President of the Kentucky Community and Technical College System for Community College faculty~~ initiates dismissal proceedings, the concerned individual shall have the right to be heard initially by the University Senate Hearing ~~Committee~~ Panel (Privilege and Tenure) ~~of the University Senate or the Senate of the Community College System~~.

~~——————~~The faculty member shall be informed in writing by the President ~~of the University of Kentucky for University System faculty or the President of the Kentucky Community and Technical College System for Community College faculty~~ regarding specific charges at least twenty (20) days prior to the hearing. At least seven (7) days prior to the hearing, the faculty member shall answer the charges in writing. The faculty member may waive the hearing. If the faculty member waives the hearing but denies the charges or asserts that the charges do not support a finding of adequate cause, the Hearing ~~Committee~~ Panel shall evaluate all available evidence and base its recommendation upon the evidence in the record.

~~——————~~The Hearing ~~Committee~~Panel, in consultation with the President ~~of the University of Kentucky for University System faculty or the President of the Kentucky~~

~~Community and Technical College System for Community College faculty~~ and the faculty member, shall exercise its judgment as to whether the hearing shall be public or private. During the proceedings the faculty member shall be permitted to have an academic advisor and a counsel of personal choice. At the request of either party or the Hearing ~~Committee~~Panel, a representative of a responsible educational association shall be permitted to attend the proceedings as an observer. A full stenographic record of the hearing or hearings shall be taken and made available to the parties concerned. The burden of proof that adequate cause for dismissal shall rest with the institution and shall be satisfied only by clear and convincing evidence in the record considered as a whole. If the faculty member's competence is in question, the testimony should include that of qualified faculty members from this and/or other institutions of higher education.

~~————~~Upon the conclusion of the hearing, the Hearing ~~Committee~~ Panel shall report to the President ~~of the University of Kentucky for University System faculty or the President of the Kentucky Community and Technical College System for Community College faculty~~ that adequate cause for dismissal has or has not been established by the evidence in the record. It may, in addition, recommend that, although adequate cause for dismissal has been established, an academic penalty less than dismissal would be more appropriate, giving supporting reasons for the recommendation. If the President ~~of the University of Kentucky for University System faculty or the President of the Kentucky Community and Technical College System for Community College faculty rejects the~~ accepts a report with a decision adverse to the faculty member, the President shall provide an opportunity for response by the faculty member before transmitting the case to the Board of Trustees and provide reasons in writing to the Hearing ~~Committee~~ Panel and to the faculty member ~~and provide an opportunity for response before transmitting the case to the Board of Trustees~~.

~~————————~~A decision adverse to the faculty member may be made only after an opportunity has been given for an additional hearing before the Board of Trustees as required by KRS 164.230. The Board of Trustees shall either sustain the recommendations of the Hearing ~~Committee~~ Panel and the President or return the proceedings to the President and the committee with specific objections. The Hearing ~~Committee~~ Panel then shall reconsider, taking into account the stated objections and receiving new evidence if necessary. The Board of Trustees shall make the final decision after a study of the Hearing ~~Committee's~~Panel's reconsideration.

~~————————~~~~c.~~iii) ~~Suspension~~ Suspension

~~————————~~Until the final decision on termination of an appointment has been reached, the faculty member shall be suspended, or assigned to other duties in lieu of suspension, only if immediate harm to the faculty member or others is threatened by the faculty member's continuance. Before suspending a faculty member, pending an ultimate determination of the individual's status through the hearing procedure, the President shall consult with the University Senate Advisory Committee on Privilege and Tenure ~~of the University Senate or the Senate of the Community College System~~. Salary shall be continued during the period of suspension.

~~6.f)~~ ~~Academic Freedom of Non-Tenured Faculty~~ Academic Freedom of Non-Tenured Faculty

If faculty members on non-tenured appointments or faculty members on post-retirement appointments allege that decisions not to reappoint them were caused by considerations ~~violative~~ violating ~~of~~ academic freedom, or that they were given less advance notice than ~~specified~~ required in these ~~regulations~~ *Governing Regulations*, they must present their allegations in writing to the University Senate Advisory Committee on Privilege and Tenure ~~of the University Senate or the Senate of the Community College System~~ within 60 days after being notified in writing by their respective deans ~~or presidents of colleges~~ of the decisions not to reappoint. Allegations so presented shall be given preliminary consideration by the ~~appropriate~~ University Senate Advisory Committee on Privilege and Tenure, which shall attempt to settle the matter by informal methods. The ~~faculty members'~~ allegations shall be accompanied by statements that ~~they~~ aggrieved faculty members agree to the presentation, for the consideration of the committee, of such reasons and evidence as the University may allege in support of its decisions. If the difficulty is unresolved at this stage and if the committee so recommends, the procedures set forth in ~~5.b~~ GR X.B.5.b shall be applied, except that the faculty members making the complaints are responsible for stating the grounds upon which they base their allegations, and the burden of proof shall rest upon them. If ~~prima facie~~ *prima facie* cases are established via these procedures in favor of the faculty members, it becomes incumbent upon the persons who made the decisions not to reappoint, or who provided insufficient notice, to present evidence in support of those decisions.

~~7.g)~~ ~~Resignation~~ Resignation

Notice of resignation should be given early enough to ~~obviate~~ reduce serious inconvenience to the University. If faculty members desire to terminate existing appointments or to decline renewals in the absence of notices of non-renewal, they shall give written notice of no less than three (3) months if their rank is instructor or assistant professor, and no less than four (4) months if their rank is higher, before the end of their duties during the academic year (exclusive of a summer session) or thirty (30) days after receiving notification of the terms of their appointments for the coming year, whichever date occurs later. However, they may ~~properly~~ request in writing a waiver of this requirement in cases of hardship or in situations where they would otherwise be denied substantial professional advancement. Resignations of regular, full-time faculty members shall be reported to the Board of Trustees.

~~8.h)~~ ~~Administrative Personnel~~ Administrative Personnel

Administrative personnel who hold academic rank are subject to the foregoing regulations in their capacity as faculty members. Those ~~A~~administrat~~ors~~ive personnel (holding academic rank) who allege that considerations violative of academic freedom significantly contributed to decisions to terminate their appointments to administrative posts or not to reappoint them shall be entitled to the same procedures as those for non-tenured faculty who have alleged violation of academic freedom.

~~9. Graduate Student Academic Staff~~

~~In no case shall an appointment of a graduate or teaching assistant be terminated before the end of the period of appointment without the individual's being provided with an opportunity to be heard before the University Senate Advisory Committee on Privilege and Tenure.~~

~~A graduate or teaching assistant, who has established a prima facie case to the satisfaction of the committee that a consideration violative of academic freedom significantly contributed to the individual's non-reappointment, shall be given a statement of reasons by those responsible for the non-reappointment and an opportunity to be heard by a University Senate Hearing Committee (Privilege and Tenure).~~

~~10. Opportunities for Hearings~~

~~In no case shall a member of an academic staff, who is not otherwise protected by the preceding regulations which relate to dismissal proceedings, be dismissed (termination before the end of a period of appointment) without having been provided with a statement of reasons and an opportunity to be heard before the Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System.~~

~~A member of an academic staff who establishes a prima facie case to the satisfaction of the committee that a consideration violative of academic freedom significantly contributed to the member's non-reappointment shall be given a statement of reasons by those responsible for the non-reappointment and an opportunity to be heard by the Hearing Committee (Privilege and Tenure) of the University Senate or the Senate of the Community College System.~~

~~11.~~j) Change of Assignment

When it is in the best interests of the institution, and if the professional status of ~~an individual~~ a faculty member is not seriously jeopardized thereby, a change in the duties assigned to an individual may be made without such a change of assignment being regarded as a violation of the individual's tenure rights.

~~C~~2. ~~Conditions of Employment~~ Conditions of Employment

~~1.~~a) ~~Terms of Assignment~~ Terms of Assignment

Academic assignments are made on academic year (nine-month), ten-month, eleven-month, and twelve-month bases.

~~2.~~b) ~~Vacation Leave~~ Vacation Leave

All full-time faculty members on a ten-month, eleven-month, or twelve-month assignment basis shall be entitled to twenty-two (22) working days of vacation leave with pay

per assignment period. With prior administrative approval, faculty members may take vacation leave at appropriate times during the period in which they are eligible to take such leave; however, each faculty member ~~of the teaching faculty~~ shall be in actual attendance at least until after Commencement and until all reports have been made, and at least three (3) days prior to the first day of registration for the fall semester, unless for special reason~~s~~ leave is approved. Vacation leave normally cannot be accumulated from one assignment period to another, except that a faculty member on a twelve-month assignment basis may take vacation leave either during the assignment period in which the vacation leave is earned or during the subsequent assignment period. Under unusual circumstances, provided it is in the best interest of the University and has advance approval by the Provost ~~or Senior Vice President and Chancellor of the Medical Center~~, a faculty member on a ten-month or an eleven-month assignment basis also may take unused vacation leave during the assignment period following that in which the vacation leave was earned. Pay for unused vacation leave shall be limited to a maximum of twenty-two (22) days at the end of employment.

3.c) ~~Professional Practices~~ Professional Practices

a.(i) ~~General Policies~~ General Policies

During their annual assignment period (whether academic year, ten months, eleven- months, or twelve months) faculty ~~and research staff~~ members are expected to serve the University full-time. It is expected that they will be interested primarily in the work of their particular educational unit, giving their chief effort to the promotion of its needs.

A faculty member ~~of the University's academic staff~~ shall, under certain conditions, be permitted to engage, as an expert, in professional employment (consulting or professional practice) outside the University for pay. In general, any outside employment demanding more than purely spare-time effort must be substantially justified in terms of the contribution its performance can bring to the faculty member's pursuit of ~~teaching~~ instruction, research, and service. Conditions under which such employment may be undertaken are as follows:

~~(1)~~ Such employment ~~should~~ shall not interfere with the fundamental responsibility of the faculty member to meet regularly assigned duties and inherent obligations to ~~teaching~~ instruction, ~~and~~ research, and service, including being regularly available to students and colleagues, which are normally expected of a full-time faculty member and for which the faculty member receives compensation from the University.

~~(2)~~ Such employment requires prior approval in writing by the appropriate University official.

All proposals to undertake outside employment shall be made in writing

to the ~~chairperson~~chairs whose recommendations shall be forwarded to the respective deans ~~or presidents of colleges~~ and thence, unless specified otherwise in the ~~Administrative Regulations~~ *Administrative Regulations* (AR II-1.1-1), to the Provost ~~or Senior Vice President and Chancellor of the Medical Center~~ for final action. Such proposals shall indicate the nature of the work to be performed, the estimated amount of time involved, the duration of the employment, and the scale of compensation. These proposals shall become a matter of record by the Provost ~~or Senior Vice President and Chancellor of the Medical Center~~ who shall transmit quarterly to the President ~~of the University~~ full information about those that have received approval and those that have been denied. The President, in turn, shall report periodically to the Board of Trustees.

Whenever a proposal by a faculty member is disapproved by an official of the University, it is the obligation of that official to provide the faculty member, upon request, with an oral statement of the reasons for the decision.

Requests ~~should~~ shall be specific. Approvals shall not be granted for blanket authorizations to consult or to engage in professional practice.

~~(3)~~ Such employment shall be governed by time limitations stated in the ~~Administrative Regulations~~ *Administrative Regulations* (AR II-1.1-1) and the provisions of Ethical Principles and Code of Conduct.

~~(4)~~ Such employment must avoid creating a conflict of interest. Each faculty member shall be expected to exercise sound judgment in requesting approval of outside employment, keeping in mind with utmost care the matter of conflict of interest.

While it is not possible to anticipate every factual situation which might give rise to a conflict of interest, such a conflict of interest does arise within the meaning of this regulation when a faculty member represents the legal interests of another party against the University or when a faculty member engages in litigation on behalf of another party against the University. Interpretations as to conflicts of interest in particular factual situations are to be made by the President ~~of the University~~ with the proviso that an adverse decision can be appealed to the ~~Hearing Committee~~Executive Committee of the Board of Trustees.

~~b.~~(ii) ~~Services Plans~~ Service Plans (AR II-7)

Documents detailing the administration Services Plans approved by the Board of Trustees appear as a part of the ~~Administrative Regulations~~ *Administrative Regulations* II-.7.0.

~~4. Intellectual Property~~

~~As a general policy, all intellectual property conceived, first reduced to practice, written, or otherwise produced by faculty, staff, or students of the University of Kentucky using~~

~~University funds, facilities, or other resources shall be owned and controlled by the University. Any member of the faculty, staff, or student body of the University who produces such intellectual property using University funds, facilities, or other resources shall assign personal rights to the property to the University, or its designate, but shall have an interest in and a right to share in any financial returns from the commercialization of the property. Notwithstanding, it is a specific policy of the University that the traditional products of scholarly activity, such as journal articles, textbooks, reviews, monographs, works of art, sculpture, music, and course materials, shall be the unrestricted property of the author. The University will exert no ownership claim of such works. A Standing Committee on Intellectual Property appointed by the President will administer the policy and oversee implementation of the procedures, both as detailed in the Administrative Regulation on intellectual property (AR II 1.1-3).~~

~~5.~~d) ~~Leaves of Absence~~ Leaves of Absence

~~a.~~(i) ~~Sabbatical Leave~~ Sabbatical Leave

The purpose of sabbatical leave is to provide opportunities for study, research, creative effort, improvement of ~~teaching~~ instructional or public service capabilities and methods, and related travel in order that the quality of each recipient's service to the University may be [redacted]~~[LH1][LH2].~~

Faculty members who have full-time non-tenured or tenured appointments in the regular, special title, extension, or librarian series with the rank of assistant professor (or librarian III) or higher, ~~or of equivalent rank for this purpose as determined by the President,~~ are eligible for sabbatical leaves ~~of absence~~ after six (6) years of continuous service in the rank of instructor (or librarian III) or higher at the University, or for sabbatical leaves ~~of absence~~ under a different option after three (3) years of continuous service. All such sabbatical leaves ~~of absence~~ shall be approved by the President or the President's ~~designated representative~~ designee.

After six (6) years of continuous eligible service, an ~~individual~~ faculty member may apply for one (1) year's sabbatical leave (academic year for appointees on academic year, ten-month, or eleven-month assignments) at one-half salary or six (6) months' sabbatical leave (academic semester for appointees on academic year, ten-month, or eleven-month assignments) at full salary. After three (3) years of continuous eligible service, an appointee may apply for six (6) months' sabbatical leave (academic semester for appointees on academic year, ten-month, or eleven-month assignments) at one-half salary. Normally "continuous service" is interrupted by a sabbatical leave; that is, no service prior to a sabbatical leave may be credited toward eligibility for future sabbatical leave. However, in the event that it becomes necessary for an individual to postpone a sabbatical leave at the request of and/or for the benefit of the University or one of its educational units, the period of postponement shall be counted as part of the six (6) years of service necessary for the individual to again become eligible for sabbatical leave. The request for and/or agreement that the sabbatical leave be postponed ~~must~~ shall be made in writing by the dean, ~~or president of the individual's college~~ and be approved by the Provost ~~or Senior Vice~~

~~President and Chancellor of the Medical Center~~. The request or agreement ~~must~~ shall specify the period of postponement and the reason for it. In no case shall cumulative sabbatical leave be granted for a single period longer than one (1) full year at full salary. ~~Leaves of absence without pay are not normally credited toward eligibility for sabbatical leave. However, exception may be made when the leave enhances the value of the individual to the University, e.g., a leave to accept a fellowship or a grant, service for professional organizations, and so forth. In no case shall the leave of absence without pay be considered as an interruption of continuous service.~~

~~———————~~Sabbatical leave shall not be used as a means of augmenting personal income. A recipient may not accept gainful employment during a sabbatical leave (for an individual on an academic year assignment basis, this does not include the period of May 16 through August 15; for an individual on a ten-month or eleven-month assignment basis, this does not include the period of vacation and the one (1) or two (2) months outside the assignment period each fiscal year; for an individual on a twelve-month assignment basis, this does not include the one-month vacation period) except as follows:

A fellowship, grant-in-aid, or government-sponsored exchange lectureship may be accepted for the period of the leave if acceptance ~~will~~ shall promote the purpose of the leave and is approved by the President or the President's ~~designated representative~~ designee.

For those on one-year sabbatical leave, additional salary not to exceed one-half of the regular academic salary may be accepted for (1) work performed during the sabbatical leave on research projects administered by the University with funds from government or private grants or contracts when the terms of the grant or contract authorize the usage of such funds, and when the work to be performed is significantly related to the studies planned for the leave; (2) work on a research grant at another university; (3) research work in a government laboratory; or (4) work as a research professor or associate in another university. Any such arrangements pursuant to this paragraph must be approved by the President or the President's ~~representative~~ designee.

~~———————~~In neither of the above instances shall a recipient's total income attributable to a sabbatical leave project exceed the individual's regular academic salary. Sabbatical leave shall be granted with the understanding that the recipient, following the sabbatical leave ~~of absence~~, will continue service at the University for at least one (1) academic year.

~~———————b.~~(ii) ~~Other Leaves with Pay~~

~~———————————~~(1) ~~Sick Leave for Faculty~~Temporary Disability Leave

~~Sick~~ TDL ~~leave~~ may be granted to faculty members by the Provost ~~Provost or Senior Vice President and Chancellor of the Medial Center~~. Eligible faculty members who are totally disabled are entitled to six (6) months' ~~sick~~ TDL ~~leave~~ with pay after which the Long Term Disability Plan, for which the University pays the full cost, becomes operative. ~~Sick leave~~TDL with pay for more than six (6) months requires approval by the Board of Trustees.

~~———~~(~~2~~iii) ~~Miscellaneous~~ Leaves With Pay

~~The Senior Vice President for Administration or, for individuals on an academic appointment, the~~ Upon the recommendation of the appropriate administrative officers and approval of the Provost, ~~or the Senior Vice President and Chancellor of the Medical Center~~ a faculty member may be granted leaves of absence with pay for a period not to exceed thirty (30) days for the purpose of permitting an appointee to attend a professional meeting, serve temporarily with an outside agency, serve in the military forces of the United States, or for other good cause. Such leaves of absence with pay for more than thirty (30) days require approval of the Board of Trustees.

(~~c~~iv)~~.~~ ~~Leave Without Pay~~ Leaves Without Pay

Upon the recommendation of the appropriate administrative officers and approval of the ~~President,~~ Provost, ~~the Senior Vice President and Chancellor of the Medical Center or the Senior Vice President for Administration~~, a member of the faculty ~~or staff~~ may be given a leave of absence without pay. Ordinarily such leave shall not be granted for a period in excess of one year (12 months). However, such leave may be extended on application and approval by the appropriate administrative officers. The best interests of the University shall be a major consideration in granting an extension of any such leave. Leaves of absence without pay are not normally credited toward eligibility for sabbatical leave. However, exception may be made when the leave enhances the value of the individual to the University, e.g., a leave to accept a fellowship or a grant, service for professional organizations, etc. In no case shall the leave of absence without pay be considered as an interruption of continuous service.

(~~d~~v~~.~~) ~~Educational Leave~~ Educational Leave

To pursue an advanced degree at the University of Kentucky in accordance with ~~Part X.C.6 of these Governing Regulations~~ *Governing Regulations,* Part X.C.6, faculty members may request half-time or more leave, with proportional reduction in salary. If such educational leave for faculty development is recommended by the dean ~~or president of the college~~ and approved by the Provost, ~~or the Senior Vice President and Chancellor of the Medical Center, as appropriate,~~ the faculty members shall be entitled to normal faculty benefits and privileges during the period of temporary change in status. Although a period of educational leave shall not be credited toward eligibility for sabbatical leave, it shall not be considered as an interruption of continuous service. Also, for non-tenured faculty members, such a period of educational leave shall not be counted as probationary period service.

(~~e~~vi~~.~~) ~~Scholarly Fellowship Leave~~ Scholarly Fellowship Leave

To accept a scholarly fellowship which allows a faculty member to pursue a program of research, and which the funding agency will not process through the University, faculty members may request leave with partial pay. If such leave is recommended by the dean ~~or president of the college~~ and approved by the Provost ~~or Senior Vice President and Chancellor of the Medical Center, as appropriate~~, the faculty member shall be entitled to normal faculty benefits and privileges during the period of temporary change in status. Time spent on scholarly fellowship

leave shall apply toward eligibility for sabbatical leave and count as probationary period service unless the University in granting the leave and the individual accepting it agree to the contrary.

3. Miscellaneous

~~6.~~i. ~~Faculty Members As Candidates for Degrees~~ Faculty Members as Candidates for Degrees

Faculty ~~M~~members ~~of the faculty, except those in the Community College System,~~ having a rank higher than that of instructor may not be considered as candidates for degrees in the discipline in which they are employed and hold academic rank. Faculty members pursuing degrees above the master's degree at the University of Kentucky may not hold more than a half-time work assignment either during the two full-time, consecutive resident semesters preceding the qualifying examination or during the two semesters of full-time dissertation study immediately following the qualifying examination.

~~7.~~ii. ~~Faculty Members As Scholars and Citizens~~ Faculty Members as Scholars and Citizens

It is the policy of the University to maintain and encourage, within the law, full freedom of inquiry, discourse, ~~teaching~~ instruction, research, service, and publication and to protect members of the ~~academic staff~~ faculty against influences, from inside or outside the University, which ~~will~~ would restrict them in the exercise of these freedoms in their areas of scholarly interest. ~~Teachers and students~~ Faculty members shall be permitted and encouraged to investigate any theory, challenge any premise, engage in political and social debate, and to express their dissent, without jeopardy to their academic careers, provided their behavior is not in violation of the law and does not interfere with the normal operation of the educational programs of the University.

~~In their roles as citizens, faculty members have the same freedoms as other citizens, without institutional censorship or discipline, although they should be mindful that accuracy, forthrightness, and dignity befit their association with the University and their positions as scholars. When faculty members speak or write as citizens, they should indicate that they are not speaking for the University.~~

Like other citizens, faculty members are free to engage in political activities so far as they are able to do so within the law consistent with their obligations as teachers and scholars. When necessary, leaves of absence without pay may be given for the duration of an election campaign or a term of office, provided that there has been timely application for leave and that the requested leave is limited to a reasonable period of time. The terms of a leave of absence for this purpose shall be set forth in writing. The leave shall not affect unfavorably the tenure status of a faculty member, except that the time spent on such leave from academic duties ~~will~~ shall not count as probationary service unless agreed to otherwise.

Elective and appointive part-time services on boards and commissions to which faculty members

~~of the University staff~~ are prepared to provide exceptional amounts of time ~~services~~ shall be governed by policies on professional practice (GR X.C.3).

C. Employment of Staff Employees

1. The Human Resources Policy and Procedures Administrative Regulations are applicable to staff employees. The Human Resources Policy and Procedures Administrative Regulations are promulgated by the President pursuant to delegation of the Board of Trustees.

2. A post-retirement appointment maybe used in a very limited number of cases, when it is essential to a University program or office or when it is otherwise impossible practicably to fill a position with a fully qualified person. Such a part-time or full-time appointment is restricted to former staff employees who have elected to retire, requires specific prior approval by the Board of Trustees, and is made for a period not to exceed one (1) year.

3. Emeritus ranks for retired staff employees and the rights of holders of emeritus titles are established by the President after consultation with the Staff Senate Executive Committee.

~~8. Retirement~~

~~The University of Kentucky Retirement Plan represents a consolidation of the original Retirement Resolution (April 7, 1964) and its numerous subsequent amendments into a unified statement of University policy and guidelines on retirement of employees in Groups I, II, III, IV, and V. The University of Kentucky Retirement Plan, which has been adopted by the Board of Trustees and may be amended only by that body, is presented in its entirety in the Administrative Regulations (AR II-1.6-1).~~

~~9. Social Security~~

~~All employees of the University of Kentucky except those in Civil Service are covered by the Old-Age and Survivors Insurance of the National Social Security Program and are thus eligible for benefits in accordance with the governing legislation. Each eligible employee of the University must, if the employee has not previously done so, secure a Social Security card and supply to the Office of the Controller and Treasurer a completed Treasury Form W-4 with the employee's Social Security number and other information necessary for withholding insurance contributions.~~

~~10. Group Insurance~~

~~Regular full-time faculty and staff who are employed by the University are insured under the Basic Life Insurance Program. This insurance may be increased optionally to a total of one, two, or three times the employee's basic annual salary. The premium for the basic insurance is paid by the University, whereas that for the optional increase in insurance is paid by the employee.~~

~~In addition, all regular full-time employees are encouraged to participate in the University's basic hospital-medical and accident insurance programs which also may include coverage for dependents.~~

~~11. Workers' Compensation~~

~~A University employee sustaining an on-the-job injury or developing a job-related illness is covered by provisions of the Kentucky Workers' Compensation Act.~~

~~12. Employee Disability Program~~

~~Regular full-time faculty and staff, other than those covered by Civil Service or the Federal Employee Retirement System, are covered by the Long Term Disability Program. Coverage commences after the completion of one year of employment unless the eligible employee, at the time of hire, satisfies the exception condition as outlined in the plan document in which case there is immediate coverage. This program provides income protection through a Long Term Disability Plan and, in some cases, supplemental benefits by the University. The University pays the full cost of this program.~~

Opportunity for Hearing

In no case shall an appointment of a postdoctoral scholar, postdoctoral fellow, resident, clinical fellow, teaching assistant, or research assistant be terminated before the end of the period of appointment without the individual being provided an opportunity to be heard before the University Senate Advisory Committee on Privilege and Tenure. Such employees who have established a *prima facie* case to the satisfaction of the committee that a consideration violating academic freedom significantly contributed to the individual's termination, shall be given a written statement of reasons by those responsible for the termination and an opportunity to be heard by the University Senate Hearing Panel of the Privilege and Tenure Committee.

Post-retirement Appointments

A post-retirement appointment may be used in a very limited number of cases, when it is essential to a University program or office or when it is otherwise impossible practicably to fill a position with a fully qualified person. Such a part-time or full-time appointment is restricted to former staff employees who have elected to retire, requires specific prior approval by the Board of Trustees, and is made for a period not to exceed one (1) year.

D. Matters Effecting Faculty and Staff

The Human Resources Policy and Procedures Administrative Regulations on Benefits are applicable to faculty members and staff employees. All other Human Resources Policy and Procedures Administrative Regulations are applicable to faculty members to the extent that there are no other applicable policies for faculty members on the subject matter.

E. Matters Effecting Postdoctoral Scholars, Postdoctoral Fellows, Residents, Clinical Fellow, Teaching Assistants and Research Assistants

# PART XI

# STUDENT AFFAIRS

## A. ~~University of Kentucky~~ Student Government Association

The University of Kentucky Student Government Association is recognized by the Board of Trustees, administration, and the University Senate as the official student body authority in University matters to ~~i~~ensure a maximum of self-government and to create mutual respect and liaison between students and the faculty and administration. It shall provide services to the students, faculty, and administration of the University and represent student opinion to the faculty, administration, and Board of Trustees. It may have responsibilities delegated to it by the President, the Office of the ~~Associate Provost~~Vice President for Student Affairs and/or the University Senate.

The President of the ~~University of Kentucky~~ Student Government Association shall be considered to be the ~~p~~President of the student body of the University specified in KRS 164.130.

The ~~University of Kentucky~~ Student Government Association shall be representative of the student body of the University ~~System of the University of Kentucky~~. It is authorized to develop rules for its composition and procedures which are consistent with rules and regulations of the University. Copies of these rules shall be ~~supplied~~ made available to all members of the student body of the University ~~System~~, the Secretary of the University Senate, the Associate Provost for ~~Student~~ Academic Affairs, Vice President for Student Affairs, the President ~~of the University~~, and the Secretary of the Board of Trustees.

For purposes of budget and other matters relating to finance, the ~~University of Kentucky~~ Student Government Association is responsible to an administrative officer designated by the President.

The ~~student government in the University~~Student Government Association shall adhere to all rules and regulations adopted by the Board of Trustees.

## B. Student-University Relationships

The non-academic relationships between University ~~System~~ students ~~on the Lexington campus and Lexington Community College students~~ and the University are covered in the document entitled, *Student Rights and Responsibilities. Part I. Code of Student Conduct: Rules, Procedures, Rights and Responsibilities Governing Non-Academic Relationships*, which has been adopted by the Board of Trustees and may be amended only by that body.

The academic relationships between University ~~System~~ students and the University are incorporated in the document entitled, *Student Rights and Responsibilities. Part II. Selected Rules of the University Senate Governing Academic Relationships*. The rules in Part II have

been adopted by the University Senate and may be amended ~~by the University Senate~~ only by that body.

Copies of the document, *Student Rights and Responsibilities: Parts I and II*, shall be made available to all students ~~in the University System and the Lexington Community College~~. Registration by the student constitutes acceptance of these student-University relationships. In no case, however, shall this preclude legitimate efforts to obtain amendments to this basic document covering these relationships.

~~The non-academic relationships between Community College System students, excepting Lexington Community College students, and the University are covered in the document entitled, Code of Student Conduct: Rules, Procedures, and Responsibilities, which has been adopted by the Board of Trustees and may be amended only by that body. The academic relationships between Community College System students, including Lexington Community College students, and the University are defined in the Rules of the Senate of the Community College System (Section I, Student Academic Affairs). These rules have been adopted and may be amended by the Senate of the Community College System.~~

~~Copies of the documents discussed in the preceding paragraph shall be made available to all Community College System students, including Lexington Community College students. Registration by the student constitutes acceptance of these student-University relationships. In no case, however, shall this preclude legitimate efforts to obtain amendments to these documents covering these relationships.~~

~~Maximum efforts should be made by administrative officers, student government organizations, and the University Senate to maintain liaison through advisory and coordinating committees concerning student-University relationships.~~

C. Lexington Community College Students

The relationship between students at Lexington Community College and the University of Kentucky is defined in the "Memorandum of Agreement Among the University of Kentucky, the Kentucky Community and Technical College System, and Lexington Community College Pursuant to House Joint Resolution 214."

1. For non-academic matters, the Agreement, effective July 1, 2004, provides as follows:

    a. On July 1, 2004, and through June 30, 2006, Lexington Community College students shall have the responsibilities, services, privileges, and rights accorded to them before HJR 214.

    b. After June 30, 2006, Lexington Community College students shall be able to choose from certain UK services on an individual basis without obligation to

purchase any other or all services. Appropriate charges for the selected service(s) shall apply.

2. For academic matters, the Agreement provides as follows:

   a. Effective July 1, 2004, the Kentucky Community and Technical College System shall be responsible for academic and student support services for LCC students, except for services mutually agreed to by KCTCS and UK.

   b. Effective July 1, 2005, LCC students shall come under the KCTCS Student Code of Conduct for academic matters.

   c. LCC students, enrolled on or before September 1, 2004, in associate degree programs approved by the UK Board of Trustees who complete the associate degree program on or before August 31, 2010, shall have their degrees conferred by the UK Board of Trustees. The degrees for all other students enrolled in LCC programs shall be awarded by the KCTCS Board of Regents.

# PART ~~XIII~~ XII

## UNIVERSITY OF KENTUCKY DEVELOPMENT COUNCIL

The University of Kentucky Development Council shall serve in an advisory capacity to the President and, through the President, to the Board of Trustees on matters relating to private fund development for the entire University. The University of Kentucky Development Council shall consist of not more than three hundred (300) members.

Membership of the University of Kentucky Development Council includes individuals appointed by the President and five (5) ~~ex officio~~ *ex officio* members: the Chair~~person~~ of the Board of Trustees, the President, the Vice President for Institutional Advancement, the ~~C~~chief ~~D~~development~~/Alumni Affairs~~ ~~O~~officer, and the Chair~~person~~ of the Student Development Council. The appointed membership may include alumni, non-alumni, residents and non-residents of Kentucky~~ and non-residents~~. Qualifications for appointed members are: (1) positions of leadership in a regional, state or national community or a profession; and (2) willingness to support the University's role as a leading public university committed to excellence and nationally recognized for the quality of its ~~teaching~~ instruction, research, service, and graduates.

The University of Kentucky Development Council shall be managed by a Board of Directors consisting of the ~~ex officio~~ *ex officio* members of the University of Kentucky Development Council and up to twenty-six (26) members elected by the Development Council.

The elected members of the Board of Directors of the University of Kentucky Development Council shall include at least fourteen (14) alumni and at least two (2) members of the Board of Trustees.

# PART ~~XIV~~ XIII

# ~~AMENDMENTS~~

## 1. Definitions

### A. *Governing Regulations*

These *Governing Regulations* describe the composition, powers and duties of the Board of Trustees and establish policies and procedures for the performance of the Board of Trustees functions. These *Governing Regulations* contain policies established by the Board of Trustees for the governance of the University. These *Governing Regulations* delineate the duties of the President and responsibilities of the University Senate, Staff Senate, Student Government Association, the educational units and their administrative officers. The Board of Trustees makes final approval of these *Governing Regulations.*

### B. *Administrative Regulations*

1. The *Administrative Regulations* provide interpretation and implementation of University-wide policies set forth by the Board of Trustees in these Governing Regulations and the *Minutes of the Board of Trustees. Administrative Regulations* promote the responsible and efficient administration of the University and the accomplishment of its goals. The President makes final approval of the *Administrative Regulations* unless Board of Trustee approval is appropriate.

2. University Employees

The *Human Resources Policy and Procedure Administrative Regulations* define University employees and interpretation implementations of University policies concerning their employment.

3. Business Procedures

## 2. Amendments of these *Governing Regulations*

### A. General Statement

These *Governing Regulations ~~Governing~~* Regulations may be amended at any ~~regular or special~~ meeting of the Board of Trustees provided at least one (1) month has elapsed between proposal of the amendment(s) and final passage. The President, or the University Senate, the Staff Senate, or the Student Government Association, through the President, may recommend amendments to ~~of the Governing Regulations~~ these *Governing Regulations* to the Board of Trustees.

_____–The President, the University Senate, the Staff Senate, and the Student Government Association, as appropriate, shall have an opportunity to recommend on all amendments before final action by the Board of Trustees. ~~The Senate of the Community College System and the Inter-community College Student Council, as appropriate, shall have an opportunity to recommend on amendments that relate specifically to the Community College System.~~

_____As the basic law of the University, these ~~Governing Regulations~~ *Governing Regulations* supersede any previously approved rules or regulations in conflict therewith. Matters not specifically covered in ~~the~~ these *Governing Regulations*~~Governing Regulations~~, the ~~Administrative Regulations~~ *Administrative Regulations*, including the *Human Resources Policy and Procedures Administrative Regulation* ~~Human Resources Policy and Procedure Manual~~, University Senate Rules, and the *By-Laws of the Staff Senate and the Business Procedures* are governed by administrative interpretation. ~~Rules of the University Senate are governed by administrative interpretation.~~



~~11-10-04~~

# AACR 1

Office of the President
May 10, 2005

Members, Board of Trustees:

ESTABLISHMENT OF THE INSTITUTE FOR EDUCATIONAL ASSESSMENT

Recommendation: that the Board of Trustees approve the establishment of the Institute for Educational Assessment in the College of Education, effective July 1, 2005.

Background: The university has a long tradition of engagement with educational issues facing the commonwealth. Most recently, since the passage of the Kentucky Education Reform Act (KERA) in 1990, the university has assisted education reform in myriad ways through its programs of research, instruction, and service. The new Institute for Educational Assessment will extend the university's commitment to a critical facet of P-16 education reform, student assessment. Assessment of student progress has been a central component of KERA and of the national reform movement in K-12 education. Heavy reliance on student testing as a reform strategy has created many challenges and criticisms in Kentucky and other states. Testing is costly, raising questions about optimal expenditure of state resources. Kentucky now spends approximately $13 million in state and federal revenues. Currently, the state student assessment system is managed by contracts with external vendors. In an effort to improve the cost-effectiveness of the student assessment system and to make it more flexible and responsive to the emerging needs of the commonwealth, state, and university officials plan to move significant portions of the assessment system's management to the University of Kentucky. The university will build its capacity in a transition period, until July 2006, when it will begin managing a number of system components. Over time, it is anticipated that additional functions associated with the management of the state assessment, now handled via vendor contracts, will become the university's responsibility. Other public universities in the commonwealth will assist the University of Kentucky in implementing aspects of this system.

Because of the critical nature of expertise required and the working relationships with state and local education officials, the institute will be located in the College of Education. The Dean of the College of Education will provide administrative oversight for the institute. At the same time, the institute will, as needed, draw on faculty and staff expertise in other colleges and units across the campus.

The proposal has been recommended by the appropriate chairs and dean of the College of Education. The Provost of the university supports this recommendation.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# AACR 2

Office of the President
May 10, 2005

Members, Board of Trustees:

CHANGE OF ORGANIZATIONAL STRUCTURE OF THE COUNCIL ON AGING

Recommendation: that the Board of Trustees approve the transfer of administrative oversight for the Council on Aging from the Executive Vice President for Research to the Dean, College of Public Health, effective July 1, 2005.

Background: The Council on Aging offers educational opportunities for older adults, service providers who work with older persons, and students studying gerontology. Individuals 65 years of age and over may enroll in academic classes at the university tuition-free under the Donovan Fellowship for Senior Citizens, administered by the Council. In addition, a number of special classes and workshops are offered. The program has gained national recognition as a model program in higher education. A variety of continuing education programs are offered for professionals who work in the field of aging, including those offered through the federally funded OVAR/Geriatric Education Center.

In 2004 the Executive Vice President for Research convened a workgroup to make recommendations on the organizational structure of the Council on Aging. With the administrative reorganization of the university and the creation of the College of Public Health, the workgroup recommended the Council could be strengthened by being administratively reassigned.

The Executive Vice President for Research has recommended this proposal, it is endorsed by the faculty and administrators participating in the units, and the Provost of the university supports this recommendation.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# AACR 3

Office of the President
May 10, 2005

Members, Board of Trustees:

ESTABLISHMENT OF THE PARTNERSHIP INSTITUTE FOR MATHEMATICS AND SCIENCE REFORM

Recommendation: that the Board of Trustees approve the establishment of the Partnership Institute for Mathematics and Science Reform, effective July 1, 2005.

Background: Research and media reports abound with information on the need for more emphasis on improving K-12 student and teacher performance in mathematics and science. The No Child Left Behind Act of 2001 places greater emphasis on student and teacher performance and the need for the state and higher education institutions to improve markedly their performance, with recent special emphasis on high schools. The issue of, high stakes testing, where rewards or sanctions accrue to some combination of student, teacher, and school performance, has changed the school environment in which teachers work.

About three years ago the University of Kentucky joined with other institutions of higher education (IHE) and school district partners to address these problems, primarily in Central Appalachia. Specifically, the partners entered into competition for a newly announced National Science Foundation Mathematics and Science Partnership (MSP) program to improve K-16 mathematics, science, and technology education. The group, with the university as the lead institution, was successful in receiving a large award for the Appalachian Mathematics and Science Partnership (AMSP), a partnership of nine (9) IHEs and fifty-one (51) school districts, primarily in the Appalachian region of Kentucky, Tennessee, and Virginia.

The institute is a cooperative effort between the College of Arts and Sciences and the College of Education to continue addressing the goals of AMSP beyond its length of funding. The Associate Provost for Educational Partnerships will provide administrative oversight for the Partnership. A major function will be to sustain many of its accomplishments and utilize the capacity developed by AMSP for the improvement of mathematics, science, and technology education developed by AMSP.

The proposal has been recommended by the deans of the College of Arts and Sciences and the College of Education. The Provost of the university supports this recommendation.

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Action taken: ☑ Approved ☐ Disapproved ☐ Other _____

# AACR 4

Office of the President
May 10, 2005

Members, Board of Trustees:

CHANGE IN DEGREE

Recommendation: that the Board of Trustees approve a change in the name of the Master of Science in Vocational Education to Master of Science in Career, Technical, and Leadership Education and approve the creation of a non-teaching option, effective in the fall 2005 semester.

Background: In the past, responsibility for the Vocational Education program was shared by the College of Education, the College of Agriculture, and the College of Human Environmental Sciences. With the merger of the College of Agriculture and the College of Human Environmental Sciences, as well as the transfer of the undergraduate vocational education program from the College of Education to the College of Agriculture several years ago, the two remaining colleges affiliated with this program are in agreement that the College of Agriculture should become the degree-granting college.

The creation of the College of Agriculture's Department of Community and Leadership Development has brought together faculty from rural sociology, agricultural communications, agricultural education, and program and staff development, providing a new opportunity to strengthen the graduate program. The proposed name change reflects ongoing changes in the field where the national trend is moving away from vocational education to career and technical education. Historically, approximately a third of the students in the program have not been classroom teachers seeking certification. The formalization of the non-teaching option will be of interest to the students employed by the Cooperative Extension Service or other government agencies and organizations.

This proposal has the approval of the Graduate Council, Senate Council, and University Senate. The Provost of the university supports this recommendation.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# FCR 1

Office of the President
May 10, 2005

Members, Board of Trustees:

WILLIAM A. BAUMAN GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of $25,557 and a pledge of $74,443 from William A. Bauman, Winter Park, Florida, to create and endow the William A. and Dorothy Allen Bauman Scholarship in Engineering.

Background: Mr. Bauman, a Kentucky native and 1950 mechanical engineering graduate, has lived in the Orlando area for more than forty years. He attended UK on a scholarship and wants other deserving Kentucky students to have the same opportunity to earn an education without the pressures of financial constraints. Mr. Bauman has included the name of his wife on the scholarship to honor her memory. The gift and pledge for the scholarship total $100,000.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 2

Office of the President
May 10, 2005

Members, Board of Trustees:

ITHACA MATERIALS RESEARCH LABORATORY GIFT-IN-KIND

Recommendation: that the Board of Trustees accept a gift-in-kind of a Thermo ARL 3460 Optical Emission Spectrometer valued at $140,000 from Ithaca Materials Research Laboratory (IMR) of Lansing, New York, to the Center for Aluminum Technology (CAT) in the College of Engineering.

Background: IMR has been a supporter and contributor to CAT since its inception in 1999. Formerly Metallurgical Services Company, then Stork MSC, Inc., IMR continues as a research partner with CAT. IMR is a national leader in the materials research field.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 3

Office of the President
May 10, 2005

Members, Board of Trustees:

ANONYMOUS GIFT TO THE ADAIR COUNTY SCHOLARSHIP FUND

Recommendation: that the Board of Trustees accept a gift of $200,000 from an anonymous donor for the Adair County Scholarship Fund in the Student Financial Aid Office.

Background: The donor, who wishes to remain anonymous, created this scholarship for University of Kentucky students from Adair County who demonstrate financial need as determined by the high school guidance counselors.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 4

Office of the President
May 10, 2005

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE
E. PRESTON HICKS CHAIR FOR ORTHODONTICS AND ORAL HEALTH RESEARCH

Recommendation: that the Board of Trustees accept gifts of $37,702 and pledges of $166,466 from multiple donors to add to the existing E. Preston Hicks Chair for Orthodontics and Oral Health Research in the College of Dentistry, and that the board submit these gifts and pledges for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Drs. Charles Pritchett and Robert Sims have assumed leadership roles in working with other College of Dentistry graduates who practice Orthodontics to create this chair in honor of Dr. E. Preston Hicks, an outstanding practicing orthodontist who is a longtime faculty member of the college. Income from the endowed chair will be used to enhance significant orthodontics research being conducted in the College of Dentistry.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 5

Office of the President
May 10, 2005

Members, Board of Trustees:

ANONYMOUS GIFT TO THE PEDIATRIC EXERCISE PHYSIOLOGY LABORATORY

Recommendation: that the Board of Trustees accept a gift of $150,000 from an anonymous donor to create and endow the Pediatric Exercise Physiology (PEP) Laboratory in the Department of Kinesiology and Health Promotions in the College of Education, and that the board submit this gift for matching funds from Kentucky's Endowment Match program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The Pediatrics Exercise Physiology (PEP) Laboratory is conducting a pioneering research project to better understand and prevent childhood obesity.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 6

Office of the President
May 10, 2005

Members, Board of Trustees:

LIZ CLAIBORNE FOUNDATION GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of $50,000 and a pledge of $75,000 from the Liz Claiborne Foundation of New York, New York, to create and endow the Liz Claiborne Foundation Fund in the UK Center for Research on Violence Against Women, and that the board submit this gift for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: This board action replaces Board of Trustees action at its January 11, 2005 meeting accepting $100,000 for the Liz Claiborne Foundation Fund. A previous gift of $25,000 was not included in the January board action. The January 11, 2005 board action was not submitted to the state's Research Challenge Trust Fund match program. The Liz Claiborne Foundation provides active assistance to various nonprofit organizations, especially those which address issues of particular concern to women and their families.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 7

Office of the President
May 10, 2005

Members, Board of Trustees:

PAUL MILLER FORD FOUNDATION GIFT

Recommendation: that the Board of Trustees accept a gift of $114,837 from the Paul Miller Ford Foundation on behalf of Paul E. Miller and Judith Sebastian Miller, Lexington, Kentucky, to create and endow the Paul E. and Judith Miller Fellowship in the College of Medicine, and that the board submit this gift for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The Foundation made this generous gift to provide financial support for graduate students in medicine. The Millers hope to help students pursuing a medical degree from Fayette and surrounding counties who have financial need. Mr. Miller is President of Paul Miller Ford, Inc., and Mrs. Miller serves on the College of Medicine Financial Aid Committee.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 8

Office of the President
May 10, 2005

Members, Board of Trustees:

MARIE A. AND WILLIAM H. CULL PLEDGE

Recommendation: that the Board of Trustees accept a pledge of $100,000 from Marie A. Cull and William H. Cull of Frankfort, Kentucky to create and endow the Bill and Marie Cull Fellowship Endowment Fund in the College of Law, and that the board submit this pledge for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Bill Cull, a 1977 graduate of the College of Law, currently serves on the college's Visiting Committee. He and Marie, a 1979 graduate of the College of Law, are serving on the college's Centennial Campaign Executive Committee. Mr. and Mrs. Cull are practicing attorneys in Frankfort, Kentucky.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 9

Office of the President
May 10, 2005

Members, Board of Trustees:

KENTUCKY MEDICAL SERVICES FOUNDATION GIFT

Recommendation: that the Board of Trustees accept a gift of $70,000 from the Kentucky Medical Services Foundation, Inc. (KMSF), Lexington, Kentucky, to add to the existing George Schwert Professorship in Biochemistry in the College of Medicine, and that the board submit this gift for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Schwert, professor emeritus in the Department of Biochemistry, is a former chair of the department. In addition to gifts from KMSF, many individual donors have previously contributed to the professorship in his honor. The Kentucky Medical Services Foundation, Inc., one of the University's most generous benefactors, is making this gift to augment past support of this professorship.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 10

Office of the President
May 10, 2005

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE WILLIAM BAXTER JENNINGS FELLOWSHIP

Recommendation: that the Board of Trustees accept gifts of $57,975 and pledges of $6,250 from family and friends of the late William B. "Baxter" Jennings to create and endow the William Baxter Jennings Fellowship in the College of Law. Principal gifts and pledges are from James T. Gilbert, Jerry W. Gilbert, and Mark A. Shepherd, all of Richmond, Kentucky. It also is recommended that the board submit these gifts and pledges for matching funds from Kentucky's Endowment Match program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: William Baxter Jennings was president of the Kappa Alpha fraternity, a 1998 graduate of the College of Arts and Sciences, and a 2001 graduate of the College of Law. He joined the Richmond, Kentucky, law firm of Coy, Gilbert, and Gilbert in November 2001. James Gilbert, Jerry Gilbert, and Mark Shepherd are partners in Coy, Gilbert and Gilbert and graduates of the College of Law. The gifts and pledges of $64,225 are eligible for matching funds from the state's Research Challenge Trust Fund.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 11

Office of the President
May 10, 2005

Members, Board of Trustees:

GIFTS TO UK CENTER FOR RESEARCH ON VIOLENCE AGAINST WOMEN

Recommendation: that the Board of Trustees accept gifts of $51,585 from 62 donors to support the UK Center for Research on Violence Against Women Endowment Fund in the Office of the Executive Vice President for Research, and that the board submit these gifts for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: This board action replaces Board of Trustees action at its September 21, 2004 meeting accepting $72,585 for the Center for Research on Violence Against Women. A gift of $25,000 from the Liz Claiborne Foundation was included in the September board action in error. The September 21, 2004 board action was not submitted to the state's Research Challenge Trust Fund.

The purpose of the center's endowment is to support professorships, chairs, fellowships, and other research-related activities to advance the center's mission. Primary donors are: Verizon Wireless of Folsom, California, $14,000; Target Stores of Minneapolis, Minnesota, $5,000; and the Lexington, Kentucky, law firms of: Stroll Kennon & Park, $5,000; Stites & Harbison, $5,000; and Greenebaum Doll & McDonald, $4,000.

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Action taken: ☑ Approved ☐ Disapproved ☐ Other_____

# FCR 12

Office of the President
May 10, 2005

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE ENDOWMENT FOR SUPPORT OF NEURO-UROLOGIC RESEARCH

Recommendation: that the Board of Trustees accept gifts of $31,215 from multiple donors and a pledge of $20,000 from Mr. and Mrs. Rick Fox, Harlan, Kentucky, to add to the existing Endowment for Support of Neuro-Urologic Research in the College of Medicine Division of Urology, and that the board submit these gifts and pledge for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Randall Rowland, Chief of the Division of Urology, recruited Deborah Erickson, M.D., a faculty member whose specialty is female bladder dysfunction. At a reception given by Merry Graham and Donna Hall in September 2004, Dr. Erickson described her research and clinical focus. Since that time, several donors have come forward to add to the fund.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 13

Office of the President
May 10, 2005

Members, Board of Trustees:

CASNER FAMILY FUND GIFT

Recommendation: that the Board of Trustees accept a gift of $50,000 from the Casner Family Fund of Southlake, Texas, to create and endow the Karri Casner Environmental Sciences Fellowship to provide financial support for graduate students associated with the Tracy Farmer Center for the Environment in the College of Agriculture, and that the board submit this gift for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The Casner Family Fund, created by Bill and Susan Casner, is making this gift in memory of the Casner's daughter, Karri, who was killed in the terrorist bombing in Bali on October 12, 2002. The endowment will provide fellowships to graduate students conducting dissertation research in environmental sciences and having a research advisor who is a faculty affiliate of the Tracy Farmer Center for the Environment.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 14

Office of the President
May 10, 2005

Members, Board of Trustees:

KPMG LLP GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of $5,500 and a pledge of $44,500 from KPMG LLP of Louisville, Kentucky, to add to the existing KPMG Peat Marwick Professorship Endowment Fund in the Gatton College of Business and Economics, and that the board submit this gift and pledge for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: In 1988, Peat Marwick created an endowed professorship in accounting. Dr. Michael Tearney, who retired in December 2004, held the KPMG Peat Marwick Professorship for many years. KPMG agreed to augment this professorship with an additional gift to honor Dr. Tearney upon his retirement.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 15

Office of the President
May 10, 2005

Members, Board of Trustees:

JACQUELINE K. RESINGER GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of $10,000 and a pledge of $40,000 from Jacqueline K. Resinger of Versailles, Kentucky, to create and endow the Jacqueline K. Resinger Graduate Fellowship in Clinical Laboratory Sciences in the College of Health Sciences, and the board submit this gift and pledge for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Jacqueline "Jackie" Resinger is a 1962 graduate of the College of Health Sciences with a Bachelor of Science degree in Clinical Laboratory Science. She served as co-chair of the College of Health Sciences Building Campaign and was the first inductee into the College's Alumni Hall of Fame. This fund will provide fellowship support to students enrolled in the masters and doctoral programs in Clinical Laboratory Sciences.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 16

Office of the President
May 10, 2005

Members, Board of Trustees:

JAMES L. AND SUZANNE H. ELLIOTT GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of $10,000 and a pledge of $40,000 from James L. and Suzanne H. Elliott of Lexington, Kentucky, to create and endow the James L. and Suzanne H. Elliott Professorship in Pediatrics in the College of Medicine, and that the board submit this gift and pledge for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Mr. and Mrs. Elliott, both graduates of UK, have been longtime supporters of the university and have made several gifts to the College of Arts and Sciences and various programs. In addition to this support, they wanted to help the children of Kentucky by establishing an endowed professorship to help recruit and retain outstanding faculty in the College of Medicine's Department of Pediatrics.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 17

Office of the President
May 10, 2005

Members, Board of Trustees:

DR. MICHAEL KARPF GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept gifts of $11,676 and a pledge of $38,364 from Dr. Michael Karpf of Lexington, Kentucky, to create and endow the Patient Quality, Safety, and Rights Research Endowment in the College of Medicine's Department of Internal Medicine, and that the board submit this gift and pledge for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Michael Karpf, Executive Vice President for Health Affairs, is a longtime advocate for the quality, safety, and rights of medical patients. He is making this gift to permanently establish an initiative to conduct research in this important field of study to benefit patients who receive care at the UK Albert B. Chandler Medical Center.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 18

Office of the President
May 10, 2005

Members, Board of Trustees:

MICHAEL C. CAROZZA GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of $5,000 and a pledge of $45,000 from Michael C. Carozza of Bethesda, Maryland, to create and endow the Anthony V. Carozza Fellowship in the Martin School of Public Policy and Administration, and that the board submit this gift and pledge for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Michael C. Carozza received a Masters of Arts in Communications in 1978 and a Master of Public Administration from the Martin School of Public Policy and Administration in 1979. He currently serves on the Martin School's Board of Visitors and is the Vice President for Federal Government Affairs for Bristol-Meyers Squibb Company. The Anthony V. Carozza Fellowship is named in honor of his father and brother.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 19

Office of the President
May 10, 2005

Members, Board of Trustees:

COMMONWEALTH OF KENTUCKY ALLOCATION

Recommendation: that the Board of Trustees accept a state appropriation of $500,000 to the University of Kentucky Oral History Endowment Fund in the UK Libraries, and that the board submit this appropriation for matching funds from Kentucky's Endowment Match program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: During the 2005 regular session, the General Assembly enacted House Bill 267. Pursuant to this bill, $500,000 is provided from the Kentucky Long-Term Policy Research Center Fund in fiscal year 2004-2005 for the Oral History Program, and these funds are eligible to be matched by the state's Research Challenge Trust Fund.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

# FCR 20

Office of the President
May 10, 2005

Members, Board of Trustees:

REPORT OF LEASES

Recommendation: that the Board of Trustees accept the report of the following lease agreements in accordance with Board Policy:

The renewal of a lease between the University of Kentucky (Agronomy Department) and Wilbert Perkins, 256 Perkins Lane, Frankfort, Kentucky, for land located on Route 1, Frankfort, Kentucky, for the use of 4.3 acres of land for crop research, effective May 1, 2005 through September 30, 2005, at a monthly rate of $900.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Agronomy Department) and Carl Waits, 126 Carolyn Lane, Nicholasville, Kentucky, for land located at 5613 Tates Creek Road, Nicholasville, Kentucky, for the use of one acre of land for research, effective May 1, 2005 through September 30, 2005, at a monthly rate of $200.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Center for Applied Energy Research) and David and Ann Myers, 940 Town Branch Road, Jeffersonville, Kentucky, for land located on Hope Creek Road, Jeffersonville, Kentucky, for the use of two acres of land for water run-off studies, effective July 1, 2005 through June 30, 2006, at an annual rate of $1,000.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Kentucky Cancer Program) and Saindon & Saindon Family Dentistry, 501 College Street, Suite A, Somerset, Kentucky, for space located at 501 College Street, Suite C, Somerset, Kentucky, for the use of 903 square feet of office space, effective July 1, 2005 through June 30, 2007, at an annual rate of $6,000.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Kentucky Cancer Program) and Hospice of Hope, Inc., 909 Kenton Station Drive, Maysville, Kentucky, for space located at 909 Kenton Station Drive, Maysville, Kentucky, for the use of 288 square feet of office space, effective July 1, 2005 through June 30, 2006, at an annual rate of $3,744.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Department of Forestry) and the Kentucky Department of Natural Resources, 2 Hudson Hollow, Frankfort, Kentucky, for space located at 625 Hospital Drive, Madisonville, Kentucky, and 3140 South Lake Drive, Prestonsburg, Kentucky, for the use of 100 square feet of office space at each location, effective July 1, 2005 through June 30, 2007, at an annual rate of $1,600.00 ($800.00 each location) be accepted and made a matter of record.

Background: At its October 5, 1985 meeting, the Board of Trustees authorized the President, or his designated representative, to enter into leases and easements not exceeding $30,000 in value, and required that all leases entered into under this authority be reported to the Board.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# FCR 21

Office of the President
May 10, 2005

Members, Board of Trustees:

APPROVAL OF LEASES

Recommendation: that the Executive Vice President for Finance and Administration be authorized to negotiate and execute the following:

The renewal of a lease between the University of Kentucky (Center on Aging) and Limestone Square, LLC, 129 Transcript Avenue, Lexington, Kentucky, for space located at 915 South Limestone, Lexington, Kentucky, effective July 1, 2005 through June 30, 2006, for the use of 2,407 square feet of office space, at an annual rate of $31,180.50.

The renewal of a lease between the University of Kentucky (Drug and Alcohol/Dentistry) and Limestone Square, LLC, 129 Transcript Avenue, Lexington, Kentucky, for space located at 915-919 South Limestone, Lexington, Kentucky, effective July 1, 2005 through June 30, 2006, for the use of 5,476 square feet of office space, at an annual rate of $72,574.00.

The renewal of a lease between the University of Kentucky (College of Medicine, CATS Project) and Blazer Parkway Venture, LLC, 3470 Blazer Parkway, Lexington, Kentucky, for space located at 3470 Blazer Parkway, Lexington, Kentucky, effective July 1, 2005 through June 30, 2006, for the use of 6,384 square feet of office/clinic space, at an annual rate of $101,825.00.

Background: FCR 5, dated October 5, 1985, authorized the President, or his designated representative, to enter into leases and easements not exceeding $30,000 in value and required that all leases in excess of $30,000 be approved by the Board of Trustees.

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Action taken: ☑ Approved ☐ Disapproved ☐ Other _____

# FCR 22

Office of the President
May 10, 2005

Members, Board of Trustees:

REAL ESTATE EXCHANGE

Recommendation: that the Board of Trustees approve an exchange of .8 acres of real estate between Appalachian Regional Healthcare, Inc. (ARH) and the University of Kentucky. The properties proposed for exchange are on the campus of the Hazard ARH Regional Medical Center (HRMC) in Hazard, Kentucky.

Background: ARH previously deeded a portion of the HRMC campus to UK for construction of UK's Center for Rural Health Building. A portion of the deeded property immediately surrounding the building is used exclusively by UK and another portion is designated for dual use parking by UK and ARH. ARH intends to construct a new cancer center, and the proposed site is currently part of the dual use parking area. UK would deed the proposed site to ARH. In return, ARH would deed land of a similar size that it currently owns and that is located contiguous to the dual use parking area.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# FCR 23

Office of the President
May 10, 2005

Members, Board of Trustees:

APPROVAL OF CONSTRUCTION OF A NEW UNIVERSITY HEALTH SERVICE FACILITY AND ITS PLAN OF FINANCE

Recommendation: that the Board of Trustees approve the construction of a new 64,770 square foot health service facility, at an estimated scope of $24 million, to serve the health care needs of University of Kentucky students, faculty, and staff. It also is recommended that the Board express its intent to issue bonds to provide the permanent financing for this project.

Background: The University Health Service (UHS) is currently located on the first floor of the Kentucky Clinic and comprises approximately 14,000 square feet. The UHS has less space per student than any of UK's 19 benchmark universities. The lack of space puts patient privacy at risk; threatens continued accreditation from the Accreditation Association for Ambulatory Health Care (AAAHC); contributes to inefficient delivery of services; and affects the number of patients served.

The new 64,770 square foot three-story facility would be built on the Southwestern side of the Kentucky Clinic between the Clinic and the Wethington Building.

The Student Health Advisory Council (SHAC) voted unanimously to recommend to President Lee T. Todd, Jr. that the student health plan fee be increased by up to $60 to fund construction. As a result, the student health plan fee will increase by $30 per semester in 2005-06 and an additional $30 per semester in 2006-07. The Board approved the 2005-06 fee increase at its March 29 meeting.

House Bill 267 of the 2005 Kentucky General Assembly authorized $24 million in agency bond authority for construction of this project. The university will issue a new series of its Consolidated Educational Building Revenue Bonds (CEBRB) to cover the cost of this project. The Board will provide specific approval at a later date, prior to the advertising and sale of these bonds.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# FCR 24

Office of the President
May 10, 2005

Members, Board of Trustees:

EMERGENCY SERVICES EXPANSION

Recommendation: that the Board of Trustees support and approve the implementation of the following capital project:

| | |
|---|---|
| Expand Emergency Services – Hospital | $6,100,000 |

Background: The Emergency Department (ED) in the University Hospital Critical Care Center was constructed in 1990 and designed to accommodate 20,000 visits annually. Space constraints and changes in practice patterns have compromised the efficiency of patient care delivery. Interim solutions to the space constraints of the ED are necessary until the opening of the new patient care facility. Using hospital revenues, the expansion would involve the renovation of at least 18,400 square feet in the Critical Care Center.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# FCR 25

Office of the President
May 10, 2005

Members, Board of Trustees:

RESOLUTION APROVING THE INITIATION OF THE CONSTRUCTION OF THE BASKETBALL PRACTICE FACILITY PROJECT, ITS PLAN OF FINANCE, AND EXECUTION OF A FINANCING AGREEMENT

Recommendation: that the Board of Trustees authorize the initiation of the Basketball Practice Facility Project and approving its related plan of finance, including the transfer of $9.5 million from the Intercollegiate Athletics Quasi-Endowment and a financing agreement among the University of Kentucky, the Kentucky Asset/Liability Commission, and the Finance and Administration Cabinet of the Commonwealth of Kentucky to provide $7.0 million in authorized project bond financing.

Background: The Board of Trustees previously approved the design phase of the Basketball Practice Facility Project, which has been completed. House Bill 267 of the 2005 Kentucky General Assembly authorized the project for construction.

The $27.5 million construction project is funded as follows: $7.0 million of bonds, $8.0 million in gifts, $3.0 million from Athletic Association fund balances, and $9.5 million transferred from the Intercollegiate Athletics Quasi Endowment. Fundraising for this project is ongoing. Each additional gift to the project will reduce the use of or replenish the Intercollegiate Athletics-Quasi Endowment.

Since the university does not have a trust indenture that provides for the issuance of bonds for athletic facilities, the Kentucky Asset/Liability Commission has agreed to issue the bonds on the university's behalf. This resolution approves the bonds to be issued at the time needed to fund the cash requirements of the project as determined by the Treasurer of the University.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# FCR 26

Office of the President
May 10, 2005

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE UNIVERSITY OF KENTUCKY FOR THE NINE MONTHS ENDED MARCH 31, 2005

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the nine months ended March 31, 2005.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Central Kentucky Management Services.

As of March 31, 2005, the University had realized income of $1,213,169,000 representing 82 percent of the 2004-05 estimate of $1,487,019,000. Expenditures and commitments total $1,066,883,000 or 72 percent of the approved budget of $1,487,019,000.

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Action taken: ☑ Approved ☐ Disapproved ☐ Other _____



# *Consolidated Financial Statements*

*For the nine months ended March 31, 2005*

**CONSOLIDATED BALANCE SHEET**
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**
**MARCH 31, 2005**
with comparative totals for March 31, 2004
(in thousands)

| | 2004-05 | | | | | | 2003-04 |
|---|---|---|---|---|---|---|---|
| | Current Unrestricted Funds | Current Restricted Funds | Loan Funds | Endowment Funds | Plant Funds | Totals | Totals |
| **ASSETS** | | | | | | | |
| Cash and cash equivalents | $ 169,009 | | $ 4,551 | $ 1,440 | $ 254,636 | $ 429,636 | $ 421,356 |
| Notes, loans, and A/R (less bad debt allowances of $17,526) | 86,585 | $ 33,939 | 26,400 | 500 | 355 | 147,779 | 159,808 |
| Investments | 51,863 | 114,724 | | 541,417 | 46,765 | 754,769 | 727,225 |
| Property, plant, and equipment, net of depreciation | | | | | 1,019,689 | 1,019,689 | 944,425 |
| Inventories and other | 16,440 | 183 | | | | 16,623 | 16,043 |
| Total Assets | $ 323,897 | $ 148,846 | $ 30,951 | $ 543,357 | $ 1,321,445 | $ 2,368,496 | $ 2,268,857 |
| **LIABILITIES AND FUND BALANCES** | | | | | | | |
| Liabilities | | | | | | | |
| Accounts payable | $ 30,235 | $ 1,791 | $ 987 | | $ 694 | $ 33,707 | $ 36,468 |
| Employee withholdings and deposits | | 14,455 | | | | 14,455 | 8,425 |
| Other liabilities | 9,205 | 492 | 21,414 | $ 5,460 | 2,021 | 38,592 | 38,010 |
| Liability for self insurance | 57,259 | | | | | 57,259 | 53,119 |
| Deferred income | 23,903 | 22,780 | | | | 46,683 | 39,344 |
| Bonds payable | | | | | 277,260 | 277,260 | 287,560 |
| Capitalized lease obligation | | | | | 43,086 | 43,086 | 45,453 |
| Total Liabilities | 120,602 | 39,518 | 22,401 | 5,460 | 323,061 | 511,042 | 508,379 |
| Interfund Balances | (66,976) | 48,697 | | 13,667 | 4,612 | | |
| Net deferred revenues and appropriated fund balances | 156,533 | (2,622) | | | | 153,911 | 126,712 |
| Fund Balances | | | | | | | |
| Current unrestricted | | | | | | | |
| Working capital | 107,229 | | | | | 107,229 | 122,580 |
| Future operating purposes | 6,509 | | | | | 6,509 | 4,920 |
| Other | | | | | | - | 8,133 |
| Current restricted | | 63,253 | | | | 63,253 | 61,457 |
| Loan | | | 8,550 | | | 8,550 | 8,437 |
| True endowments | | | | 450,179 | | 450,179 | 416,566 |
| Term endowments | | | | 3,003 | | 3,003 | 3,489 |
| Quasi endowments | | | | 68,194 | | 68,194 | 71,411 |
| Charitable trusts | | | | 2,436 | | 2,436 | 2,781 |
| Gift annuities | | | | 418 | | 418 | 443 |
| Plant | | | | | | | |
| Retirement of indebtedness | | | | | 21,206 | 21,206 | 15,108 |
| Renewal and replacement | | | | | 168,836 | 168,836 | 140,499 |
| Allocated for designated projects | | | | | 76,115 | 76,115 | 112,337 |
| Net investment in plant | | | | | 727,615 | 727,615 | 665,605 |
| Total Fund Balances | 113,738 | 63,253 | 8,550 | 524,230 | 993,772 | 1,703,543 | 1,633,766 |
| Total Liabilities and Fund Balances | $ 323,897 | $ 148,846 | $ 30,951 | $ 543,357 | $ 1,321,445 | $ 2,368,496 | $ 2,268,857 |

**CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES**
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**
**FOR THE NINE MONTHS ENDED MARCH 31, 2005**
with comparative totals for March 31, 2004
(in thousands)

| | 2004-05 | | | | 2003-04 | |
|---|---|---|---|---|---|---|
| | Original Budget | Revised Budget | Realized To Date | % | Realized To Date | % |
| **General Fund** | | | | | | |
| (1) State appropriations | $ 295,808 | $ 295,808 | $ 228,795 | 77 | $ 240,854 | 78 |
| (2) Student fees | 171,815 | 172,159 | 170,049 | 99 | 160,007 | 101 |
| (3) County appropriations | 10,897 | 12,024 | 8,361 | 70 | 6,688 | 61 |
| (4) Endowment and investment income | 3,740 | 3,740 | 4,207 | 112 | 6,311 | 88 |
| (5) Non governmental grants and contracts | 89,538 | 89,589 | 61,137 | 68 | 58,274 | 69 |
| (6) Grants, donations, pledges | | | | | | |
| Affiliated corporations | 23,896 | 23,896 | 17,613 | 74 | 15,185 | 72 |
| Other | 1,617 | 1,617 | 1,368 | 85 | 3,067 | 86 |
| (7) Sales and services | 21,216 | 20,284 | 20,865 | 103 | 24,846 | 74 |
| (8) Transfers | 13,979 | 16,874 | 11,111 | 66 | 4,609 | 63 |
| (9) Fund balance | 27,018 | 39,241 | 39,241 | 100 | 32,557 | 100 |
| (10) Total General Fund | 659,524 | 675,232 | 562,749 | 83 | 552,398 | 83 |
| (11) **Auxiliary Enterprises** | 56,975 | 57,322 | 49,850 | 87 | 44,529 | 91 |
| **Restricted Funds** | | | | | | |
| (12) Federal appropriations | 15,861 | 15,861 | 12,017 | 76 | 12,046 | 75 |
| (13) Other | 97,367 | 88,429 | 62,707 | 71 | 67,240 | 69 |
| (14) **Affiliated Corporations** | 286,848 | 287,270 | 219,029 | 76 | 196,033 | 75 |
| (15) **Hospital** | | | | | | |
| Revenues | 362,905 | 362,905 | 306,818 | 85 | 259,101 | 81 |
| (16) Fund balance | | | | | | |
| (17) Total Revenues and Appropriated Fund Balances | $ 1,479,480 | $ 1,487,019 | $ 1,213,169 | 82 | $ 1,131,347 | 80 |

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS**
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**
**FOR THE NINE MONTHS ENDED MARCH 31, 2005**
with comparative totals for March 31, 2004
(in thousands)

| | 2004-05 | | | | 2003-04 | |
|---|---|---|---|---|---|---|
| | Original Budget | Revised Budget | Expended/ Encumbered To Date | % | Expended/ Encumbered To Date | % |
| (1) Instruction | $ 271,916 | $ 270,738 | $ 175,786 | 65 | $ 187,659 | 68 |
| (2) Research | 250,388 | 251,121 | 163,667 | 65 | 155,101 | 66 |
| (3) Public service | 184,595 | 186,943 | 143,733 | 77 | 128,670 | 73 |
| (4) Academic support | 77,893 | 81,557 | 47,504 | 58 | 52,344 | 65 |
| (5) Student services | 20,867 | 21,290 | 15,271 | 72 | 16,154 | 66 |
| (6) Institutional support | 59,971 | 71,188 | 39,854 | 56 | 39,683 | 67 |
| (7) Student financial aid | 74,925 | 65,713 | 75,717 | 115 | 80,168 | 107 |
| (8) Operation and maintenance | 47,013 | 46,209 | 32,784 | 71 | 34,643 | 70 |
| (9) Mandatory transfers (debt service) | 18,676 | 18,676 | 17,573 | 94 | 13,633 | 82 |
| (10) Hospital | 365,099 | 365,099 | 268,700 | 74 | 225,337 | 70 |
| (11) Auxiliary enterprises | 108,137 | 108,485 | 86,293 | 80 | 80,167 | 84 |
| (12) Total Expenditure by Program | $ 1,479,480 | $ 1,487,019 | $ 1,066,883 | 72 | $ 1,013,559 | 72 |

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE**
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**
**FOR THE NINE MONTHS ENDED MARCH 31, 2005**
with comparative totals for March 31, 2004
(in thousands)

| | 2004-05 | | | | 2003-04 | |
|---|---|---|---|---|---|---|
| | Original Budget | Revised Budget | Expended/ Encumbered To Date | % | Expended/ Encumbered To Date | % |
| (13) Personnel costs | $ 832,263 | $ 829,707 | $ 577,090 | 70 | $ 566,381 | 72 |
| (14) Operating expenses | 574,526 | 576,163 | 435,225 | 76 | 393,269 | 72 |
| (15) Mandatory transfers (debt service) | 29,945 | 29,945 | 22,227 | 74 | 16,333 | 58 |
| (16) Capital outlay | 42,746 | 51,204 | 32,341 | 63 | 37,576 | 83 |
| (17) Total Expenditure by Category | $ 1,479,480 | $ 1,487,019 | $ 1,066,883 | 72 | $ 1,013,559 | 72 |

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES**
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**
**FOR THE NINE MONTHS ENDED MARCH 31, 2005**
with comparative totals for March 31, 2004
(in thousands)

| | | 2004-05 | | 2003-04 |
|---|---|---|---|---|
| (1) | **Realized Revenues** | | $ 1,173,928 | $ 1,098,790 |
| | **Appropriated Fund Balances** | | | |
| (2) | Current Unrestricted Fund | $ 39,241 | | 32,557 |
| (3) | University Hospital | | | |
| (4) | Total Appropriated Fund Balances | | 39,241 | 32,557 |
| (5) | Total Revenues and Appropriated Fund Balances | | 1,213,169 | 1,131,347 |
| (6) | **Expenditures/Encumbrances** | 1,066,883 | | 1,013,559 |
| (7) | Less: Reserve for Encumbrances | (7,625) | | (8,924) |
| (8) | Total Expenditures | | 1,059,258 | 1,004,635 |
| (9) | **Net Deferred Revenues and Appropriated Fund Balances** | | $ 153,911 | $ 126,712 |

# FCR 27

Office of the President
May 10, 2005

Members, Board of Trustees:

2004-05 BUDGET REVISIONS

Recommendation: that the following revisions to the 2004-05 budget be authorized and approved. The budget revisions outlined below will decrease the University of Kentucky's total budget by $4,039,300, from $1,487,018,600 to $1,482,979,300.

| | Approved Budget | Revised Budget | Change |
|---|---|---|---|
| A. GENERAL FUND | | | |
| 1. Income Estimates | | | |
| State Appropriations | | | |
| Operating | $288,173,600 | $280,692,600 | $(7,481,000) |
| Tuition and Fees | | | |
| Noncredit | 7,132,700 | 7,159,700 | 27,000 |
| Other | 5,001,500 | 5,082,200 | 80,700 |
| Gifts, Grants, and Contracts | | | |
| Non-Governmental Grants and Contracts | 89,538,400 | 89,788,400 | 250,000 |
| Sales and Services of Educational Activities | | | |
| Departmental Sales and Services | 18,772,200 | 19,637,200 | 865,000 |
| Transfers | 7,157,400 | 9,056,400 | 1,899,000 |
| | | | $(4,359,300) |
| 2. Expenditures | | | |
| Academic Units | | | |
| College of Engineering | | | |
| Administration | $1,288,000 | $1,538,000 | $250,000 |
| Transportation Center | 420,000 | 445,000 | 25,000 |
| College of Fine Arts | | | |
| Singletary Center for the Arts | 594,700 | 656,400 | 61,700 |

| | Approved Budget | Revised Budget | Change |
|---|---|---|---|
| College of Medicine | | | |
| Dean's Office | 2,851,500 | 2,848,500 | (3,000) |
| Pathology and Laboratory Medicine | 4,483,800 | 4,493,800 | 10,000 |
| Pediatrics | 9,171,400 | 9,173,400 | 2,000 |
| Surgery and Divisions | 21,346,600 | 21,414,200 | 67,600 |
| Sanders Brown Center on Aging | 2,072,200 | 2,119,900 | 47,700 |
| Center for Rural Health | 4,014,900 | 4,024,900 | 10,000 |
| Research Units | | | |
| Coldstream Research Campus | 475,000 | 1,125,000 | 650,000 |
| Support Units | | | |
| Executive Vice President for Finance and Administration | | | |
| Real Property | 343,500 | 364,500 | 21,000 |
| University Extension | | | |
| Distance learning | 2,216,000 | 2,296,700 | 80,700 |
| Nonrecurring Funds | | | |
| Transfers | 500,000 | 2,399,000 | 1,899,000 |
| Other Programs | 27,713,700 | 20,232,700 | (7,481,000) |
| | | | $(4,359,300) |

3. Comments – State Appropriations have decreased by $7,481,000 as a result of the actions of the 2005 General Assembly. The university anticipated a restricted funds reduction of $16,723,200 in 2003-04. Pursuant to House Bill 267, the reduction became effective in 2004-2005 but approximately half of the anticipated cut was restored for specific purposes. The 2005 General Assembly provided additional funding of $500,000 for the Louis B. Nunn Oral History Program and $600,000 for the Reading Recovery Program. Noncredit Tuition and Fees increased $27,000 because of additional courses offered by the Kentucky Transportation Center and a new workshop in the College of Medicine. Other Tuition and Fees increased $80,700 because of additional on-line Distance Learning courses.

   Gifts and grants increased $250,000 to support faculty salaries and program expenses associated with the "Strategy for Statewide Engineering Education in Kentucky." The purpose of this program - involving the University of Kentucky, the University of Louisville, Western Kentucky University, and Murray State University – is to create joint baccalaureate degree programs in civil, mechanical, and electrical engineering across the state and increase the number of engineers in Kentucky.

Departmental sales and services will increase by $650,000 resulting from a lease agreement between the University of Kentucky Research Foundation and Lexel Imaging for the rental of space in the Coldstream Center. Other increases in Sales and Services will support a new service agreement between the Center on Aging and the Lexington Clinic to offer support for patients with Alzheimer's disease. Increases in Sales and Services also will provide additional budget authority for the Singletary Center for the Arts from revenue collected from additional ticket sales.

Transfers increased $1,899,000 as a result of internal loans of fund balance issued to Student Affairs and Parking and Transportation. The Student Affairs' loan for $399,000 will be used to renovate dining services in Blazer Hall. Parking and Transportation's loan for $1,500,000 will be used to partially fund the construction of two new parking structures. Repayment agreements for each of these auxiliary enterprises have been executed.

| | Approved Budget | Revised Budget | Change |
|---|---|---|---|
| B. AFFILIATED CORPORATIONS | | | |
| 1. Income Estimates | 286,870,400 | $287,190,400 | $320,000 |
| 2. Expenditures<br>Supporting Units<br>Athletic Association<br>Operating | $45,860,900 | $46,180,100 | $320,000 |

3. Comments – The University of Kentucky Athletic Association revenue increased $320,000 primarily from the Men's Basketball Program and additional K-Fund gifts. The increase in revenue will be used to offset an increase in projected operating expenses.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# FCR 28

Office of the President
May 10, 2005

Members, Board of Trustees:

REPORT ON THE 2006-2012 CAPITAL PLAN

Recommendation: that the Board of Trustees accept the Report on the 2006-2012 Capital Plan.

Background: The 2006-2012 Capital Plan is the result of a comprehensive capital planning process guided by the University's 2003-06 Strategic Plan, *The Dream and the Challenge*. This capital plan has been prepared pursuant to KRS Chapter 7A, which requires each state agency to prepare and submit a plan to the Capital Planning Advisory Board (CPAB) outlining its capital needs on or before April 15 of each odd-numbered year. The CPAB is a state-level board comprised of representatives of all three branches of Kentucky state government. The individual agency plans are used by the CPAB to develop a comprehensive statewide capital improvements plan, encompassing all state agencies and postsecondary institutions.

The university's six-year plan includes capital construction, renovation, and information technology projects estimated to cost $400,000 or more; equipment estimated to cost $100,000 or more; and leases valued at $200,000 or more. The Report, which lists 709 capital projects and equipment at an estimated cost of over $4 billion, is attached. Refinements to the plan can be made over the next several months. The first biennium of this plan, 2006-08, will evolve into the university's 2006-08 capital request to the Governor and the Kentucky General Assembly. The General Assembly must authorize all projects, regardless of fund source, before they can be initiated. Pursuant to Administrative Regulation AR II-1.4-1, the Board of Trustees will be asked to approve the 2006-08 Capital Request document at the June 15, 2005 meeting.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# University of Kentucky
# 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| **2006-08** | | | | | | | | |
| 1 | Construct Biological/Pharmaceutical Complex Phase II | 79,892,000 | - | 79,892,000 | - | - | - | - |
| 2 | Construct Patient Care Facility Phase II | 175,000,000 | - | - | 25,000,000 | 150,000,000 | - | - |
| 3 | Construct Gatton Building Complex | 101,072,000 | - | 62,235,000 | - | - | - | 38,837,000 |
| 4 | Construct Law School Building | 85,081,000 | - | 70,161,000 | - | - | - | 14,920,000 |
| 5 | Construct Bio-Medical Research Building | 95,000,000 | - | 95,000,000 | - | - | - | - |
| 6 | Construct Medicine/Dentistry Building | 202,410,000 | - | 202,410,000 | - | - | - | - |
| 7 | Research Equipment Replacement Program | 25,000,000 | - | 25,000,000 | - | - | - | - |
| 8 | Expand Chemistry Physics Building | 77,059,000 | - | 77,059,000 | - | - | - | - |
| 9 | Expand & Upgrade LDDC - Phase II | 13,500,000 | - | 13,500,000 | - | - | - | - |
| 10 | Improve Life Safety Project Pool | 4,650,000 | - | 4,650,000 | - | - | - | - |
| 11 | Construct Digital Technologies Building | 53,571,000 | - | 47,161,000 | - | - | - | 6,410,000 |
| 12 | Upgrade/Modify Coldstream Facilities | 10,000,000 | - | 10,000,000 | - | - | - | - |
| 13 | Renovate Lab & Support Space in Med Science | 9,500,000 | - | 9,500,000 | - | - | - | - |
| 14 | Expand Bio-safety Laboratory in Med Science | 25,500,000 | - | 21,500,000 | - | - | 4,000,000 | - |
| 15 | Expand Memorial Coliseum | 20,500,000 | - | - | - | 20,500,000 | - | - |
| 16 | Expand Pence Hall | 6,810,000 | - | 2,510,000 | - | - | - | 4,300,000 |
| 17 | Construct Research Building | 95,000,000 | - | 95,000,000 | - | - | - | - |
| 18 | Renovate Blazer Hall Cafeteria | 3,010,000 | - | - | - | 3,010,000 | - | - |
| 19 | Construct Psychology Building | 29,651,000 | - | 29,651,000 | - | - | - | - |
| 20 | Finance/Renovate Coldstream Center Building | 20,000,000 | - | 20,000,000 | - | - | - | - |
| 21 | Renovate Vivarium in Central DLAR Facility | 2,430,000 | - | - | 1,730,000 | - | 700,000 | - |
| 22 | Renovate Sections of Funkhouser | 20,059,000 | - | 20,059,000 | - | - | - | - |
| 23 | Install HVAC in Keeneland Hall | 7,013,000 | - | - | - | 7,013,000 | - | - |
| 24 | Renovate Running Track | 2,500,000 | - | - | - | 2,500,000 | - | - |
| 25 | Construct Hagan Baseball Stadium Clubhouse | 4,000,000 | - | - | - | 4,000,000 | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 26 | Renovate Façade - Agriculture Building North | 6,100,000 | - | 6,100,000 | - | - | - | - |
| 27 | Upgrade Pharm. Fume Hoods I - Life Safety | 5,040,000 | - | 5,040,000 | - | - | - | - |
| 28 | Construct Environ. Sciences Facilities | 44,793,000 | - | 44,793,000 | - | - | - | - |
| 29 | Renovate 3rd Floor Little Library | 2,513,000 | - | 2,513,000 | - | - | - | - |
| 30 | Renovate Lab for Coating and Surface Inspection | 8,000,000 | - | - | 8,000,000 | - | - | - |
| 31 | Upgrade Elevator Controls in Nursing Building | 740,000 | 740,000 | - | - | - | - | - |
| 32 | Upgrade Fume Hoods TH Morgan - Life Safety | 3,188,000 | - | 3,188,000 | - | - | - | - |
| 33 | Upgrade HVAC - CAER Ph. III - Life Safety | 910,000 | 910,000 | - | - | - | - | - |
| 34 | Improve IAQ - Phase I - Life Safety | 500,000 | 500,000 | - | - | - | - | - |
| 35 | Abate Asbestos LC II - Life Safety | 500,000 | 500,000 | - | - | - | - | - |
| 36 | Renovate Complex Commons | 10,400,000 | - | - | - | 10,400,000 | - | - |
| 37 | Renovate Student Center Food Court | 1,643,000 | - | - | - | 1,643,000 | - | - |
| 38 | Renovate K-Lair Building | 4,650,000 | - | - | - | 4,650,000 | - | - |
| 39 | Renovate SECAT Building at Coldstream | 2,000,000 | - | 2,000,000 | - | - | - | - |
| 40 | Renovate PSC Building | 750,000 | - | - | 750,000 | - | - | - |
| 41 | Renovate COM Administrative Offices | 1,200,000 | - | 1,200,000 | - | - | - | - |
| 42 | Construct University Student Center | 88,776,000 | - | 87,156,000 | 1,620,000 | - | - | - |
| 43 | Construct University Press Facility | 2,950,000 | - | - | - | 2,950,000 | - | - |
| 44 | Lease/Purchase ERP System - Phase II | 20,000,000 | - | - | 20,000,000 | - | - | - |
| 45 | Seal/Waterproof Commonwealth Stadium Concrete | 2,500,000 | - | - | - | - | - | 2,500,000 |
| 46 | Install Scoreboards Memorial Col./Hagan Stadium | 1,500,000 | - | - | 1,500,000 | - | - | - |
| 47 | Improve Accessibility Project Pool | 437,000 | 437,000 | - | - | - | - | - |
| 48 | Purchase Pharmaceutical Analysis System | 200,000 | 200,000 | - | - | - | - | - |
| 49 | Expand Kastle Hall Vivarium | 4,505,000 | - | 4,505,000 | - | - | - | - |
| 50 | Extend Virginia Avenue | 4,000,000 | - | - | 4,000,000 | - | - | - |
| 51 | Purchase PET Scanner | 1,500,000 | - | 1,500,000 | - | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 52 | Upgrade the Vivarium in Sanders Brown Building | 6,720,000 | - | - | 3,360,000 | - | 3,360,000 | - |
| 53 | Lease Purchase High Performance Research Computing | 6,500,000 | - | - | 6,500,000 | - | - | - |
| 54 | Expand Cancer Infusion Suites | 1,964,000 | - | - | 1,964,000 | - | - | - |
| 55 | Replace Memorial Coliseum Playing Surface | 725,000 | - | - | - | - | - | 725,000 |
| 56 | Renovate King Library South - 1930 Section | 17,901,000 | - | 17,901,000 | - | - | - | - |
| 57 | Renovate DLAR Quarantine Facility at Spindletop | 2,720,000 | - | 2,720,000 | - | - | - | - |
| 58 | Expand/Renovate Art Museum in Singletary Center | 23,966,000 | - | 23,966,000 | - | - | - | - |
| 59 | Expand Ophthalmology Clinic | 3,100,000 | - | - | 3,100,000 | - | - | - |
| 60 | Paint Commonwealth Stadium Steel | 750,000 | - | - | 750,000 | - | - | - |
| 61 | Renovate Labs in Pharmacy Building | 4,000,000 | - | 2,000,000 | - | - | 2,000,000 | - |
| 62 | Expand KGS Well Sample & Core Repository | 4,310,000 | - | 4,310,000 | - | - | - | - |
| 63 | Lease Purchase Large Scale Computing | 3,500,000 | - | - | 3,500,000 | - | - | - |
| 64 | Expand Outpatient Radiology | 2,000,000 | - | - | 2,000,000 | - | - | - |
| 65 | Renovate Soccer/Softball Facilities | 1,400,000 | - | - | 1,400,000 | - | - | - |
| 66 | Renovate Practice Instruction Space in Pharmacy | 4,310,000 | - | 3,310,000 | - | - | - | 1,000,000 |
| 67 | Upgrade Pilot-scale Mineral Processing Facility | 600,000 | 600,000 | - | - | - | - | - |
| 68 | Lease Purchase Enterprise Storage System | 1,200,000 | - | - | 1,200,000 | - | - | - |
| 69 | Renovate Hospital Nursing Units | 2,000,000 | - | - | 2,000,000 | - | - | - |
| 70 | Construct Track and Field Facility | 7,139,000 | - | - | - | - | - | 7,139,000 |
| 71 | Renovate Outpatient Clinic in Kentucky Clinic | 2,930,000 | - | - | - | 2,930,000 | - | - |
| 72 | Expand CAER Laboratories | 4,450,000 | - | 4,450,000 | - | - | - | - |
| 73 | Lease Purchase UPS System | 941,000 | - | - | 941,000 | - | - | - |
| 74 | Renovate Hospital Cafeteria | 631,000 | - | - | 631,000 | - | - | - |
| 75 | Renovate Memorial Coliseum | 4,731,000 | - | - | 4,731,000 | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 76 | Renov. Graduate Edu. & Research Space in Nursing | 1,700,000 | - | 1,700,000 | - | - | - | - |
| 77 | Purchase Hydrocarbon Analysis System | 120,000 | 120,000 | - | - | - | - | - |
| 78 | Lease Purchase Campus Infrastructure Upgrade | 3,500,000 | - | - | 3,500,000 | - | - | - |
| 79 | Fit Up Gill Bldg. Ground Floor | 1,250,000 | - | - | 1,250,000 | - | - | - |
| 80 | Construct Commonwealth Stadium Suite Addition | 2,300,000 | - | - | 2,300,000 | - | - | - |
| 81 | Renovate Koinonia House | 2,715,000 | - | 2,715,000 | - | - | - | - |
| 82 | Lab Security Systems Project Pool | 500,000 | 500,000 | - | - | - | - | - |
| 83 | Upgrade Clinical Services | 2,000,000 | - | - | 2,000,000 | - | - | - |
| 84 | Construct Horticulture Research & Ed. Facilities | 1,600,000 | - | - | 1,600,000 | - | - | - |
| 85 | Purchase High Res. Optical Microscope | 110,000 | 110,000 | - | - | - | - | - |
| 86 | Install Emergency Generator Computing Facility | 500,000 | 500,000 | - | - | - | - | - |
| 87 | Upgrade Outpatient Services | 2,000,000 | - | - | 2,000,000 | - | - | - |
| 88 | Renovate Bowman Hall | 10,089,000 | - | 10,089,000 | - | - | - | - |
| 89 | Purchase Environmental Scanning Probe Microscope | 480,000 | 480,000 | - | - | - | - | - |
| 90 | Acquire Land | 15,000,000 | - | - | 15,000,000 | - | - | - |
| 91 | Upgrade Hospital Data Network | 826,000 | - | - | 826,000 | - | - | - |
| 92 | Renovate Reynolds Building | 15,525,000 | - | 15,525,000 | - | - | - | - |
| 93 | Purchase Laser Ablation Sampling Sys. | 200,000 | 200,000 | - | - | - | - | - |
| 94 | Replace Air Handling Units Central Computing Fac | 600,000 | 600,000 | - | - | - | - | - |
| 95 | Replace Hospital Mainframe Computer | 800,000 | - | - | 800,000 | - | - | - |
| 96 | Purchase Pharmaceutical Development Instrument | 183,000 | 183,000 | - | - | - | - | - |
| 97 | Purchase FTIR Microscope with mapping | 105,000 | 105,000 | - | - | - | - | - |
| 98 | Construct New Housing | 71,420,000 | - | - | - | 71,420,000 | - | - |
| 99 | Expand Hospital Data Storage | 600,000 | - | - | 600,000 | - | - | - |
| 100 | Purchase Common Romm Package | 250,000 | 250,000 | - | - | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 101 | Purchase Hi-resolution FTIR Imaging System | 160,000 | 160,000 | - | - | - | - | - |
| 102 | Lease Purchase Data Warehouse | 600,000 | - | - | 600,000 | - | - | - |
| 103 | Expand Kentucky Clinic Network | 800,000 | - | - | 800,000 | - | - | - |
| 104 | Renovate Taylor Education Building | 52,405,000 | - | 52,405,000 | - | - | - | - |
| 105 | Purchase ESCA-X-ray Photoelectron Micro. | 400,000 | 400,000 | - | - | - | - | - |
| 106 | Construct Parking Structure - Central Campus | 25,139,000 | - | - | - | 25,139,000 | - | - |
| 107 | Install Perioperative Information Management System | 1,200,000 | - | - | 1,200,000 | - | - | - |
| 108 | Purchase Open MRI Unit | 800,000 | 800,000 | - | - | - | - | - |
| 109 | Purchase MRI Equipment | 2,000,000 | - | 2,000,000 | - | - | - | - |
| 110 | Construct Parking Structure - North Campus | 25,304,000 | - | - | - | 25,304,000 | - | - |
| 111 | Install Fetal Monitoring Information System | 1,200,000 | - | - | 1,200,000 | - | - | - |
| 112 | Purchase Microscope | 165,000 | 165,000 | - | - | - | - | - |
| 113 | Lease Purchase Tape Library | 500,000 | - | - | 500,000 | - | - | - |
| 114 | Implement Medication Bar Coding System | 1,750,000 | - | - | 1,750,000 | - | - | - |
| 115 | Expand Grehan Journalism Building | 15,782,000 | - | 15,782,000 | - | - | - | - |
| 116 | Construct New Alumni Center | 17,344,000 | - | - | - | - | - | 17,344,000 |
| 117 | Upgrade PACS System | 2,000,000 | - | - | 2,000,000 | - | - | - |
| 118 | Purchase Upgraded Integrated Library System | 700,000 | 700,000 | - | - | - | - | - |
| 119 | Renovate Central Computing Facility | 2,811,000 | - | 2,811,000 | - | - | - | - |
| 120 | Replace Radiology Information System (QuadRIS replacement) | 2,000,000 | - | - | 2,000,000 | - | - | - |
| 121 | Expand Animal Science Research Center - Phase II | 23,184,000 | - | 23,184,000 | - | - | - | - |
| 122 | Lease Purchase Fire Suppression Upgrade | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 123 | Implement On-Site Digital Radiology Archive | 700,000 | - | - | 700,000 | - | - | - |
| 124 | Renovate Slone Building | 9,089,000 | - | 9,089,000 | - | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 125 | Purchase Police Communications Equipment | 600,000 | 600,000 | - | - | - | - | - |
| 126 | Implement PACS System in Hospital O.R. | 800,000 | - | - | 800,000 | - | - | - |
| 127 | Purchase Electron Spin Resonance Instr. | 320,000 | 320,000 | - | - | - | - | - |
| 128 | Lease Purchase Network Security Hardware | 1,500,000 | - | - | 1,500,000 | - | - | - |
| 129 | Implement Automated Bed Management System | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 130 | Fit-Up Education Space in Health Science Bldg. | 1,000,000 | - | 1,000,000 | - | - | - | - |
| 131 | Replace Steam and Condensate Pipe | 5,500,000 | - | 5,500,000 | - | - | - | - |
| 132 | Upgrade Global Ventilation Controls - Hospital | 3,000,000 | - | - | 3,000,000 | - | - | - |
| 133 | Purchase High Res Transmission Electron Microscope | 2,500,000 | - | - | - | - | 2,500,000 | - |
| 134 | Lease Purchase Telephone Switch Convergence | 12,000,000 | - | - | 12,000,000 | - | - | - |
| 135 | Expand Surgical Services - Hospital | 4,545,000 | - | - | 4,545,000 | - | - | - |
| 136 | Purchase Digital Media Distribution System | 186,000 | 186,000 | - | - | - | - | - |
| 137 | Lease Purchase Unix Cluster | 600,000 | - | - | 600,000 | - | - | - |
| 138 | Purchase/Lease Ambulance | 180,000 | - | - | 180,000 | - | - | - |
| 139 | Purchase Studio Recording Equipment | 113,000 | 113,000 | - | - | - | - | - |
| 140 | Purchase Garbage Truck Front Loader | 175,000 | 175,000 | - | - | - | - | - |
| 141 | Create Universal Nursing Unit - Hospital | 1,180,000 | - | - | 1,180,000 | - | - | - |
| 142 | Renovate College of Public Health Building | 6,057,000 | - | 6,057,000 | - | - | - | - |
| 143 | Lease Purchase Video Switch Expansion | 250,000 | - | - | 250,000 | - | - | - |
| 144 | Construct Facilities Support Bldg - Hospital | 14,728,000 | - | - | 14,728,000 | - | - | - |
| 145 | Purchase Scanning Electron Microscope | 700,000 | 350,000 | - | - | - | 350,000 | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 146 | Replace Law Building Marble Facade | 930,000 | 930,000 | - | - | - | - | - |
| 147 | Purchase Angiography Unit | 1,740,000 | - | - | 1,740,000 | - | - | - |
| 148 | Purchase Integrated Imaging System | 130,000 | 130,000 | - | - | - | - | - |
| 149 | Replace Central Facilities Management System | 3,500,000 | - | 3,500,000 | - | - | - | - |
| 150 | Purchase Angiography Unit | 1,276,000 | - | - | 1,276,000 | - | - | - |
| 151 | Purchase DNA Microarray Facility | 300,000 | 300,000 | - | - | - | - | - |
| 152 | Replace Chemistry Physics Ductwork | 2,200,000 | - | 2,200,000 | - | - | - | - |
| 153 | Upgrade Outpt Surgical Suite - Hospital | 2,500,000 | - | - | 2,500,000 | - | - | - |
| 154 | Purchase Three Ultrasound Units | 450,000 | 450,000 | - | - | - | - | - |
| 155 | Replace Central Fire Alarm System | 2,500,000 | - | 2,500,000 | - | - | - | - |
| 156 | Purchase Gamma Knife | 2,330,000 | - | - | 2,330,000 | - | - | - |
| 157 | Purchase Focused Ion Beam Machine (FIB) | 500,000 | 250,000 | - | - | - | 250,000 | - |
| 158 | Improve Central Heating Plant | 4,860,000 | - | 4,860,000 | - | - | - | - |
| 159 | Modify Nursing Unit XI - Hospital | 1,390,000 | - | - | 1,390,000 | - | - | - |
| 160 | Purchase Network Infrastructure Restructuring | 160,000 | 160,000 | - | - | - | - | - |
| 161 | Purchase Cardiac Cath. Image Mgmt. Sys. | 957,000 | - | - | 957,000 | - | - | - |
| 162 | Construct Multi-Care Clinic Building | 28,452,000 | - | - | 28,452,000 | - | - | - |
| 163 | Improve Storm Sewer Funkhouser | 1,225,000 | - | 1,225,000 | - | - | - | - |
| 164 | Modify Nursing Unit XII - Hospital | 4,806,000 | - | - | 4,806,000 | - | - | - |
| 165 | Purchase GIS Remote Sensing Teaching Lab | 160,000 | 160,000 | - | - | - | - | - |
| 166 | Install Chilled Water Pipe-Clg 2 to Pit | 1,500,000 | - | 1,500,000 | - | - | - | - |
| 167 | Purchase Radiation Therapy Unit Upgrade | 400,000 | - | - | 400,000 | - | - | - |
| 168 | Purchase 500 MHz NMR Spectrometers | 1,000,000 | - | 1,000,000 | - | - | - | - |
| 169 | Install Cooling Secondary Pumping | 2,800,000 | - | 2,800,000 | - | - | - | - |
| 170 | Construct Imaging Facility - Hospital | 10,079,000 | - | - | 10,079,000 | - | - | - |
| 171 | Purchase Automated DNA Sequencer | 130,000 | 130,000 | - | - | - | - | - |
| 172 | Replace Cooling Plant Chillers | 6,000,000 | - | 6,000,000 | - | - | - | - |
| 173 | Purchase Biplane Angiography | 1,160,000 | - | - | 1,160,000 | - | - | - |
| 174 | Purchase Redundant Disk Server System | 170,000 | 170,000 | - | - | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 175 | Replace Master Clock and Bell System | 1,750,000 | - | 1,750,000 | - | - | - | - |
| 176 | Upgrade Cancer Ctr Radiologic Svcs - Hospital | 6,000,000 | - | - | 6,000,000 | - | - | - |
| 177 | Purchase High Resolution Laser System | 350,000 | 350,000 | - | - | - | - | - |
| 178 | Repair Concrete Phase I General Campus | 750,000 | 750,000 | - | - | - | - | - |
| 179 | Purchase Nuclear Medicine Camera | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 180 | Renovate Imaging Center in Ky Clinic | 4,590,000 | - | - | - | 4,590,000 | - | - |
| 181 | Repair Blacktop Phase I General Campus | 750,000 | 750,000 | - | - | - | - | - |
| 182 | Construct Cancer Urgent Treatment Fac - Hospital | 12,728,000 | - | - | 12,728,000 | - | - | - |
| 183 | Purchase Confocal Microscope | 250,000 | 250,000 | - | - | - | - | - |
| 184 | Upgrade Electrical Substation | 4,500,000 | - | 4,500,000 | - | - | - | - |
| 185 | Purchase Epilepsy Monitoring System | 500,000 | - | - | 500,000 | - | - | - |
| 186 | Purchase Cryoprobe for a 600 MHz NMR | 200,000 | 200,000 | - | - | - | - | - |
| 187 | Install Chilled Water Pipe To South Campus | 6,000,000 | - | 6,000,000 | - | - | - | - |
| 188 | Upgrade Surgical Suite - Hospital | 2,945,000 | - | - | 2,945,000 | - | - | - |
| 189 | Purchase HPLC | 145,000 | 145,000 | - | - | - | - | - |
| 190 | Install Chilled Water Additions General Campus | 1,100,000 | - | 1,100,000 | - | - | - | - |
| 191 | Purchase Open MRI Unit | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 192 | Purchase Facscan for Flow Cytometry | 140,000 | 140,000 | - | - | - | - | - |
| 193 | Replace High Voltage Wiring | 775,000 | 775,000 | - | - | - | - | - |
| 194 | Construct Radiation Medicine Facility - Hospital | 6,069,000 | - | - | 6,069,000 | - | - | - |
| 195 | Purchase Fluorescent Cell Sorter | 200,000 | 200,000 | - | - | - | - | - |
| 196 | Replace McVey Hall HVAC | 3,520,000 | - | 3,520,000 | - | - | - | - |
| 197 | Purchase Radiology Information System | 800,000 | - | - | 800,000 | - | - | - |
| 198 | Purchase Laser Photoelectron System | 280,000 | 280,000 | - | - | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 199 | Replace Mathews Building HVAC | 1,438,000 | - | 1,438,000 | - | - | - | - |
| 200 | Upgrade Transport Systems V - Hospital | 800,000 | - | - | 800,000 | - | - | - |
| 201 | Purchase Dedicated Supercomputer | 330,000 | 330,000 | - | - | - | - | - |
| 202 | Replace HVAC Slone Building | 2,917,000 | - | 2,917,000 | - | - | - | - |
| 203 | Purchase RIS (Quadris) Upgrade | 500,000 | - | - | 500,000 | - | - | - |
| 204 | Purchase Gas-Source Isotope-Ratio Mass Spect | 100,000 | 100,000 | - | - | - | - | - |
| 205 | Replace HVAC Kastle Hall | 3,100,000 | - | 3,100,000 | - | - | - | - |
| 206 | Expand Operating Room Suites - Hospital | 3,559,000 | - | - | 3,559,000 | - | - | - |
| 207 | Purchase Physiology Workstation | 101,000 | 101,000 | - | - | - | - | - |
| 208 | Replace Fine Arts HVAC | 4,500,000 | - | 4,500,000 | - | - | - | - |
| 209 | Purchase C-Arm X-Ray Unit | 275,000 | - | - | 275,000 | - | - | - |
| 210 | Purchase DNA Sequencer | 135,000 | 135,000 | - | - | - | - | - |
| 211 | Replace Three Elevators MI King South | 1,130,000 | - | 1,130,000 | - | - | - | - |
| 212 | Purchase C-Arm X-Ray Unit | 440,000 | - | - | 440,000 | - | - | - |
| 213 | Purchase Ultra High Vacuum System | 250,000 | 250,000 | - | - | - | - | - |
| 214 | Renovate Barker Hall | 7,605,000 | - | 7,605,000 | - | - | - | - |
| 215 | Upgrade Building/Site IV - Hospital | 800,000 | - | - | 800,000 | - | - | - |
| 216 | Add Centralized Emergency Generator | 5,542,000 | - | 5,542,000 | - | - | - | - |
| 217 | Purchase Cardiac Ultrasound | 1,600,000 | - | - | 1,600,000 | - | - | - |
| 218 | Purchase 300 MHz Console | 300,000 | 300,000 | - | - | - | - | - |
| 219 | Expand Plant Capacity Infrastructure | 25,500,000 | - | 25,500,000 | - | - | - | - |
| 220 | Upgrade HVAC II - Hospital | 3,500,000 | - | - | 3,500,000 | - | - | - |
| 221 | Renovate Imaging Center, I | 706,000 | - | - | 706,000 | - | - | - |
| 222 | Purchase CR PAC Server | 275,000 | - | - | 275,000 | - | - | - |
| 223 | Purchase Shared Desktop Environment | 250,000 | 250,000 | - | - | - | - | - |
| 224 | Replace Holmes Elevator | 740,000 | - | - | - | 740,000 | - | - |
| 225 | Construct Radiation Med Facility II - Hospital | 2,955,000 | - | - | 2,955,000 | - | - | - |
| 226 | Construct Library Depository Facility | 6,415,000 | - | 2,915,000 | - | - | 3,500,000 | - |
| 227 | Lease Purchase UK/UofL/Frankfort Research Network | 6,000,000 | - | - | 6,000,000 | - | - | - |
| 228 | Purchase Electrophysiology Lab | 5,800,000 | - | - | 5,800,000 | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 229 | Purchase Thermal Ionization Mass Spectrometer | 650,000 | 650,000 | - | - | - | - | - |
| 230 | Lease Purchase ERP System | 40,000,000 | - | - | 40,000,000 | - | - | - |
| 231 | Upgrade Utility Systems VI - Hospital | 1,500,000 | - | - | 1,500,000 | - | - | - |
| 232 | Purchase DNA Sequencer | 125,000 | 125,000 | - | - | - | - | - |
| 233 | Replace Steam Line MC Htg - Hosp Drive Pit 2 | 2,114,000 | - | 2,114,000 | - | - | - | - |
| 234 | Purchase EMG Unit | 250,000 | - | - | 250,000 | - | - | - |
| 235 | Renovate Research Labs in Med Center, III | 2,000,000 | - | 2,000,000 | - | - | - | - |
| 236 | Replace Steam Line Lime Tunnel - Main Gate Pit | 3,100,000 | - | 3,100,000 | - | - | - | - |
| 237 | Purchase Filmless Radiation System | 150,000 | - | - | 150,000 | - | - | - |
| 238 | Purchase DNA Chip Analysis System | 160,000 | 160,000 | - | - | - | - | - |
| 239 | Replace Steam Line Lime Tunnel - POT Tunnel | 1,060,000 | - | 1,060,000 | - | - | - | - |
| 240 | Replace AHU I - Hospital | 16,165,000 | - | - | 16,165,000 | - | - | - |
| 241 | Renovate Research Labs in Med Center, I | 1,500,000 | - | 1,500,000 | - | - | - | - |
| 242 | Install Steam Line BBSRB - Old Main Gate Pit | 6,865,000 | - | 6,865,000 | - | - | - | - |
| 243 | Purchase Scanning Dosimetry System | 100,000 | - | - | 100,000 | - | - | - |
| 244 | Purchase HPLC Mass Spectrometer | 400,000 | 400,000 | - | - | - | - | - |
| 245 | Replace Steam Line Main Gate Pit-Anderson Pit | 2,750,000 | - | 2,750,000 | - | - | - | - |
| 246 | Replace AHU I - Roach | 1,200,000 | - | - | 1,200,000 | - | - | - |
| 247 | Renovate Imaging Center, II | 1,257,000 | - | - | 1,257,000 | - | - | - |
| 248 | Replace Steam Line Kastle - Chem/Phys Pit 28 | 775,000 | 775,000 | | - | - | - | - |
| 249 | Purchase MC Treatment Planning | 150,000 | - | - | 150,000 | - | - | - |
| 250 | Purchase Two Digital Radiology Units | 1,100,000 | - | 1,100,000 | - | - | - | - |
| 251 | Install Pollution Controls | 1,900,000 | - | 1,900,000 | - | - | - | - |
| 252 | Replace AHU II - Roach | 1,200,000 | - | - | 1,200,000 | - | - | - |
| 253 | Renovate Research Labs in Med Center, IV | 2,500,000 | - | 2,500,000 | - | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 254 | Capital Renewal Maintenance Pool Phase 1 | 31,607,000 | - | 31,607,000 | - | - | - | - |
| 255 | Purchase Pneumatic Tube System Upgrade | 750,000 | - | - | 750,000 | - | - | - |
| 256 | Purchase Phospho/Fluoro Imager | 150,000 | 150,000 | - | - | - | - | - |
| 257 | Construct Police & Parking and Transportation Bldg | 10,426,000 | - | - | 10,426,000 | - | - | - |
| 258 | Construct Cancer Hospice Facility - Hospital | 5,145,000 | - | - | 5,145,000 | - | - | - |
| 259 | Renovate Research Labs in Med. Center, II | 1,800,000 | - | 1,800,000 | - | - | - | - |
| 260 | Construct Cancer Education Facility - Hospital | 2,000,000 | - | - | 2,000,000 | - | - | - |
| 261 | Purchase Encapsulator | 151,000 | 151,000 | - | - | - | - | - |
| 262 | Improve Exterior Lighting, Phase I | 1,200,000 | - | 1,200,000 | - | - | - | - |
| 263 | Purchase Peds TE Echo Unit | 200,000 | - | - | 200,000 | - | - | - |
| 264 | Renovate Research Space in Med Center, I | 3,000,000 | - | 3,000,000 | - | - | - | - |
| 265 | Improve Oswald Building, Capital Renewal | 1,600,000 | - | 1,600,000 | - | - | - | - |
| 266 | Construct Cancer Infusion Suites - Hospital | 10,688,000 | - | - | 10,688,000 | - | - | - |
| 267 | Purchase DNA Sequencer/Genetic Analyzer | 110,000 | 110,000 | - | - | - | - | - |
| 268 | Improve Spindletop Hall Facilities, Capital Renewal | 2,450,000 | - | | 2,450,000 | - | - | - |
| 269 | Purchase External Systems Monitoring | 100,000 | 100,000 | - | - | - | - | - |
| 270 | Install Steam Line – Taylor Bldg to Transportation Bldg | 6,725,000 | - | 6,725,000 | - | - | - | - |
| 271 | Construct Remote Cancer Clinic - Hospital | 12,880,000 | - | - | 12,880,000 | - | - | - |
| 272 | Purchase Nucleic Acid Workstation | 150,000 | 150,000 | - | - | - | - | - |
| 273 | Install Steam Line Blazer to Singletary Center | 5,275,000 | - | 5,275,000 | - | - | - | - |
| 274 | Purchase Angiography Unit | 2,000,000 | - | - | 2,000,000 | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 275 | Purchase X-Ray Laue Unit-Single Crystal | 150,000 | 150,000 | - | - | - | - | - |
| 276 | Renovate Parking Structure #3 | 2,500,000 | - | 2,500,000 | - | - | - | - |
| 277 | Construct Physicians Svcs Facilities - Hospital | 2,000,000 | - | - | 2,000,000 | - | - | - |
| 278 | Purchase CT Scanners | 650,000 | 650,000 | - | - | - | - | - |
| 279 | Replace Agr North primary electrical service | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 280 | Upgrade Information Systems Svcs - Hospital | 4,206,000 | - | - | 4,206,000 | - | - | - |
| 281 | Purchase Flow Cytometer | 108,000 | 108,000 | - | - | - | - | - |
| 282 | Construct Alumni Roundabouts | 2,630,000 | - | - | 2,630,000 | - | - | - |
| 283 | Purchase Digital Radiographic Unit | 1,800,000 | - | - | 1,800,000 | - | - | - |
| 284 | Purchase Helium Liquefier and Recovery System | 500,000 | 500,000 | - | - | - | - | - |
| 285 | Replace Apartment Housing | 142,382,000 | - | - | - | 142,382,000 | - | - |
| 286 | Upgrade Diagnostic Radiology - Hospital | 3,530,000 | - | - | 3,530,000 | - | - | - |
| 287 | Purchase Oxymax Open Circuit Calorimeter | 130,000 | 130,000 | - | - | - | - | - |
| 288 | Upgrade Bldg. Entrances Safety & Security | 1,100,000 | - | 1,100,000 | - | - | - | - |
| 289 | Purchase Nuclear Medicine Upgrade | 900,000 | - | - | 900,000 | - | - | - |
| 290 | Purchase Inductive Coupled Spec Sys | 120,000 | 120,000 | - | - | - | - | - |
| 291 | Upgrade Fume Hood in Sanders Brown- Life Safety | 2,600,000 | - | 2,600,000 | - | - | - | - |
| 292 | Renovate Dietetics - Hospital | 6,000,000 | - | - | 6,000,000 | - | - | - |
| 293 | Purchase Analytical Biosensor | 295,000 | 295,000 | - | - | - | - | - |
| 294 | Purchase Web Imaging Product | 500,000 | - | - | 500,000 | - | - | - |
| 295 | Purchase DNA Sequencer/Genetic Analyzer | 121,000 | 121,000 | - | - | - | - | - |
| 296 | Upgrade Communications Services | 1,126,000 | - | - | 1,126,000 | - | - | - |
| 297 | Purchase Automated Nucleic Acid Extraction & PCR | 150,000 | 150,000 | - | - | - | - | - |
| 298 | Purchase Interoperative CT | 1,100,000 | - | - | 1,100,000 | - | - | - |
| 299 | Purchase Laser Based Total Image Analysis System | 113,000 | 113,000 | - | - | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 300 | Construct Business Facility II - Hospital | 14,082,000 | - | - | 14,082,000 | - | - | - |
| 301 | Renovate Faculty Office Space in Med. Center | 742,000 | 742,000 | - | - | - | - | - |
| 302 | Purchase Adult Echocardiology Unit | 200,000 | - | - | 200,000 | - | - | - |
| 303 | Purchase Mass Spectrometer | 200,000 | 200,000 | - | - | - | - | - |
| 304 | Construct Outpt Care Fac II - Hospital | 11,157,000 | - | - | 11,157,000 | - | - | - |
| 305 | Renovate Erikson Hall | 7,465,000 | - | 7,465,000 | - | - | - | - |
| 306 | Purchase Adult Echocardiology Unit | 200,000 | - | - | 200,000 | - | - | - |
| 307 | Purchase MRI Scanners | 3,600,000 | - | 3,600,000 | - | - | - | - |
| 308 | Construct Outpt Diag/Treat Fac II - Hospital | 24,271,000 | - | - | 24,271,000 | - | - | - |
| 309 | Purchase Confocal Microscope | 600,000 | 600,000 | - | - | - | - | - |
| 310 | Purchase Peds Echocardiology Probe | 200,000 | - | - | 200,000 | - | - | - |
| 311 | Expand West Kentucky Research & Education Ctr. | 4,000,000 | - | 4,000,000 | - | - | - | - |
| 312 | Construct Bldg Connectors III - Hospital | 3,059,000 | - | - | 3,059,000 | - | - | - |
| 313 | Purchase Fluorescence Activ. Cell Sorter | 220,000 | 220,000 | - | - | - | - | - |
| 314 | Purchase Diagnostic Radiology Unit | 330,000 | - | - | 330,000 | - | - | - |
| 315 | Purchase Ultrasound Units | 150,000 | 150,000 | - | - | - | - | - |
| 316 | Construct Primary Care Ctr II - Hospital | 17,237,000 | - | - | 17,237,000 | - | - | - |
| 317 | Purchase HPLC/Mass Spectrophotometer System | 300,000 | 300,000 | - | - | - | - | - |
| 318 | Purchase Digital Enhancement | 1,085,000 | - | - | 1,085,000 | - | - | - |
| 319 | Purchase Two Digital Radiology Units | 1,100,000 | - | 1,100,000 | - | - | - | - |
| 320 | Construct Patient Care Fac II | 15,909,000 | - | - | 15,909,000 | - | - | - |
| 321 | Renovate Bradley Hall | 6,391,000 | - | 6,391,000 | - | - | - | - |
| 322 | Purchase Digital Imaging | 957,000 | - | - | 957,000 | - | - | - |
| 323 | Purchase Laser Capture Microdissection System | 126,000 | 126,000 | - | - | - | - | - |
| 324 | Upgrade Nutrition Services II - Hospital | 1,278,000 | - | - | 1,278,000 | - | - | - |
| 325 | Purchase Liquid Filling/Stoppering Line | 351,000 | 351,000 | - | - | - | - | - |
| 326 | Purchase EKG Unit | 440,000 | - | - | 440,000 | - | - | - |
| 327 | Purchase Four PACS Workstations | 480,000 | 480,000 | - | - | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 328 | Upgrade Support Services II - Hospital | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 329 | Purchase Plant/Microbial Growth Chamber | 100,000 | 100,000 | - | - | - | - | - |
| 330 | Purchase EKG Unit | 400,000 | - | - | 400,000 | - | - | - |
| 331 | Construct Gluck Equine Research Center - Phase II | 39,407,000 | - | 37,907,000 | - | - | - | 1,500,000 |
| 332 | Expand Data Systems III - Hospital | 700,000 | - | - | 700,000 | - | - | - |
| 333 | Purchase Semi-Solid Manufacturing Equip. | 211,000 | 211,000 | - | - | - | - | - |
| 334 | Lease Purchase Equipment Pool | 5,030,000 | - | - | 5,030,000 | - | - | - |
| 335 | Purchase Confocal Microscope | 500,000 | 500,000 | - | - | - | - | - |
| 336 | Implement Land Use Plan IV - Hospital | 2,500,000 | - | - | 2,500,000 | - | - | - |
| 337 | Purchase Two Mammography Units | 900,000 | 900,000 | - | - | - | - | - |
| 338 | Purchase Endoscopic Ultrasound | 440,000 | - | - | 440,000 | - | - | - |
| 339 | Purchase LCMS Instrument System | 225,000 | 225,000 | - | - | - | - | - |
| 340 | Upgrade Diagnostic Services XII - Hospital | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 341 | Purchase Terminal Sterilizing Autoclave | 221,000 | 221,000 | - | - | - | - | - |
| 342 | Purchase Endoscopic Video System | 300,000 | - | - | 300,000 | - | - | - |
| 343 | Expand Lafferty Hall | 6,765,000 | - | 6,765,000 | - | - | - | - |
| 344 | Construct Outpatient Svs. III - Hospital | 10,775,000 | - | - | 10,775,000 | - | - | - |
| 345 | Purchase Breast Ultrasound Unit | 120,000 | 120,000 | - | - | - | - | - |
| 346 | Purchase Endoscopic Video Ultrasound | 275,000 | - | - | 275,000 | - | - | - |
| 347 | Purchase Transmission Elec. Microscope | 250,000 | 250,000 | - | - | - | - | - |
| 348 | Upgrade Diagnostic Serv XI - Hospital | 1,500,000 | - | - | 1,500,000 | - | - | - |
| 349 | Purchase Plot Combine | 130,000 | 130,000 | - | - | - | - | - |
| 350 | Purchase Intracardiac Laser | 550,000 | - | - | 550,000 | - | - | - |
| 351 | Purchase Ovarian Cancer Ultrasound | 150,000 | 150,000 | - | - | - | - | - |
| 352 | Renovate Medical Records Suite I - Hospital | 700,000 | - | - | 700,000 | - | - | - |
| 353 | Purchase Plant/Microbial Growth Chamber | 100,000 | 100,000 | - | - | - | - | - |
| 354 | Purchase Intracardiac Laser | 500,000 | - | - | 500,000 | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 355 | Construct HES Facility | 23,285,000 | - | 23,285,000 | - | - | - | - |
| 356 | Implement Energy Performance Contracting | - | - | - | - | - | - | - |
| 357 | Purchase Digital Radiology Unit | 550,000 | 550,000 | - | - | - | - | - |
| 358 | Purchase Image Guided Surgery System | 600,000 | - | - | 600,000 | - | - | - |
| 359 | Purchase Quadrapole Mass Spec. | 360,000 | 360,000 | - | - | - | - | - |
| 360 | Purchase Laboratory Analyzer | 500,000 | - | - | 500,000 | - | - | - |
| 361 | Purchase Two Pacs Workstations | 240,000 | 240,000 | - | - | - | - | - |
| 362 | Purchase OB Ultrasound | 350,000 | - | - | 350,000 | - | - | - |
| 363 | Purchase Combustion Analyzer Systems | 115,000 | 115,000 | - | - | - | - | - |
| 364 | Purchase Patient System Enterprise | 4,640,000 | - | - | 4,640,000 | - | - | - |
| 365 | Purchase Radiology Information Center | 300,000 | 300,000 | - | - | - | - | - |
| 366 | Purchase QuadRIS Upgrade | 300,000 | - | - | 300,000 | - | - | - |
| 367 | Construct UK Paducah Engineering Research Center | 1,230,000 | - | 1,230,000 | - | - | - | - |
| 368 | Purchase Mobile Fluoroscopic Unit | 250,000 | - | - | 250,000 | - | - | - |
| 369 | Purchase Plot Combine | 125,000 | 125,000 | - | - | - | - | - |
| 370 | Purchase Rad. Med. Software/System | 350,000 | - | - | 350,000 | - | - | - |
| 371 | Purchase Plant/Microbial Growth Chamber | 100,000 | 100,000 | - | - | - | - | - |
| 372 | Purchase C-Arm X-Ray Unit | 350,000 | - | - | 350,000 | - | - | - |
| 373 | Purchase DNA Chip Analysis System | 160,000 | 160,000 | - | - | - | - | - |
| 374 | Purchase Washer | 350,000 | - | - | 350,000 | - | - | - |
| 375 | Purchase Dual Photon Confocal Microscope | 300,000 | 300,000 | - | - | - | - | - |
| 376 | Purchase Mobile Radiology Unit | 250,000 | - | - | 250,000 | - | - | - |
| 377 | Purchase Bioinformatics Analysis Equipment | 150,000 | 150,000 | - | - | - | - | - |
| 378 | Purchase Vascular Ultrasound | 900,000 | - | - | 900,000 | - | - | - |
| 379 | Construct KY Transportation Center Building | 28,122,000 | - | 22,122,000 | 2,000,000 | - | 2,500,000 | 1,500,000 |
| 380 | Purchase MRI Upgrade | 500,000 | - | - | 500,000 | - | - | - |
| 381 | Purchase Forage Harvester System | 150,000 | 150,000 | - | - | - | - | - |
| 382 | Purchase Vascular Ultrasound | 300,000 | - | - | 300,000 | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 383 | Purchase 3-D Ultrasound Machine | 200,000 | 200,000 | - | - | - | - | - |
| 384 | Purchase Upgrade for Servers | 800,000 | - | - | 800,000 | - | - | - |
| 385 | Purchase Plot Combine w/Weighing System | 135,000 | 135,000 | - | - | - | - | - |
| 386 | Purchase Upgrade - HIS Computing Facil. | 2,900,000 | - | - | 2,900,000 | - | - | - |
| 387 | Purchase Confocal Microscope | 346,000 | 346,000 | - | - | - | - | - |
| 388 | Purchase Surgical C-Arm(ISS)System | 650,000 | - | - | 650,000 | - | - | - |
| 389 | Purchase 2D-Electrophoresis Set-up | 238,000 | 238,000 | - | - | - | - | - |
| 390 | Purchase Radiographic Unit | 350,000 | - | - | 350,000 | - | - | - |
| 391 | Construct University Conference Center | 24,233,000 | - | - | 24,233,000 | - | - | - |
| 392 | Purchase Radiographic Unit | 400,000 | - | - | 400,000 | - | - | - |
| 393 | Purchase Transmission Elec. Microscope | 375,000 | 375,000 | - | - | - | - | - |
| 394 | Purchase Radiology Ultrasound | 440,000 | - | - | 440,000 | - | - | - |
| 395 | Purchase DNA Sequencer | 420,000 | 420,000 | - | - | - | - | - |
| 396 | Purchase Ultrasound Image Management | 800,000 | - | - | 800,000 | - | - | - |
| 397 | Purchase Fluorescent Scope and Auto Metophase | 120,000 | 120,000 | - | - | - | - | - |
| 398 | Purchase Laboratory Analyzer | 200,000 | - | - | 200,000 | - | - | - |
| 399 | Purchase High Resolution Phosphor Imager | 235,000 | 235,000 | - | - | - | - | - |
| 400 | Purchase Genetic Analyzer | 200,000 | - | - | 200,000 | - | - | - |
| 401 | Purchase Axiovision | 100,000 | 100,000 | - | - | - | - | - |
| 402 | Purchase HDR Brachy Therapy System | 350,000 | - | - | 350,000 | - | - | - |
| 403 | Upgrade Sound and Lighting for Singletary Center | 1,000,000 | - | 1,000,000 | - | - | - | - |
| 404 | Purchase Interoperative MRI | 1,500,000 | - | - | 1,500,000 | - | - | - |
| 405 | Purchase Neuroangiography Unit | 1,800,000 | - | - | 1,800,000 | - | - | - |
| 406 | Purchase Camera for Transmission/Scanning | 105,000 | 105,000 | - | - | - | - | - |
| 407 | Purchase Magneoencephalogy Unit | 2,100,000 | - | - | 2,100,000 | - | - | - |
| 408 | Purchase Ultrasound Units | 840,000 | - | - | 840,000 | - | - | - |
| 409 | Purchase Digital Radiology | 1,020,000 | - | - | 1,020,000 | - | - | - |
| 410 | Purchase Ultracentrifuge | 110,000 | 110,000 | - | - | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 411 | Purchase Digital Radiology | 4,060,000 | - | - | 4,060,000 | - | - | - |
| 412 | Renovate Dentistry Class Lab | 1,475,000 | - | 1,475,000 | - | - | - | - |
| 413 | Purchase Fluoroscopy Unit | 550,000 | - | - | 550,000 | - | - | - |
| 414 | Purchase Gen. Rad./Fluoroscopic Unit | 500,000 | - | - | 500,000 | - | - | - |
| 415 | Purchase Confocal Microscope | 300,000 | 300,000 | - | - | - | - | - |
| 416 | Purchase Linear Accelerator | 2,050,000 | - | - | 2,050,000 | - | - | - |
| 417 | Purchase Mass Spectrometer | 450,000 | 450,000 | - | - | - | - | - |
| 418 | Purchase Portal Imaging System | 200,000 | - | - | 200,000 | - | - | - |
| 419 | Purchase Robotics Pipetter System | 104,000 | 104,000 | - | - | - | - | - |
| 420 | Purchase CT Scanner | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 421 | Purchase DNA Sequencer Machine | 125,000 | 125,000 | - | - | - | - | - |
| 422 | Purchase MR Monitoring Equipment | 100,000 | - | - | 100,000 | - | - | - |
| 423 | Renovate King Library South - 1962 Section | 21,744,000 | - | 21,744,000 | - | - | - | - |
| 424 | Purchase Portal Imaging System | 250,000 | - | - | 250,000 | - | - | - |
| 425 | Purchase Mouse PET Scanner | 385,000 | 385,000 | - | - | - | - | - |
| 426 | Purchase Gen. Rad./Fluoroscopic Unit | 550,000 | - | - | 550,000 | - | - | - |
| 427 | Purchase Real Time PCR Machine | 165,000 | 165,000 | - | - | - | - | - |
| 428 | Purchase Digital Orbitor Camera | 275,000 | - | - | 275,000 | - | - | - |
| 429 | Purchase Genesis Workstation | 425,000 | 425,000 | - | - | - | - | - |
| 430 | Purchase CT Simulator | 1,200,000 | - | - | 1,200,000 | - | - | - |
| 431 | Purchase DNA Sequencer | 420,000 | 420,000 | - | - | - | - | - |
| 432 | Purchase Accelerator | 1,600,000 | - | - | 1,600,000 | - | - | - |
| 433 | Purchase Microscope with Imaging | 135,000 | 135,000 | - | - | - | - | - |
| 434 | Purchase Teleradiology | 200,000 | - | - | 200,000 | - | - | - |
| 435 | Purchase SPECT System | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 436 | Purchase ORCA Robot-on-Rail | 100,000 | 100,000 | - | - | - | - | - |
| 437 | Purchase Mobile MRI | 1,500,000 | - | - | 1,500,000 | - | - | - |
| 438 | Purchase Behav. Monitor. & Analysis Sys. | 165,000 | 165,000 | - | - | - | - | - |
| 439 | Purchase CR Readers | 750,000 | - | - | 750,000 | - | - | - |
| 440 | Purchase Information & Decision Management Station | 100,000 | 100,000 | - | - | - | - | - |
| 441 | Purchase CR Reader | 300,000 | - | - | 300,000 | - | - | - |
| 442 | Purchase Chain Reaction Analyzer | 150,000 | 150,000 | - | - | - | - | - |
| 443 | Purchase ATL Ultrasound | 220,000 | - | - | 220,000 | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 444 | Purchase Digital Nanochip Enzyme Sequencing Machine | 500,000 | 500,000 | - | - | - | - | - |
| 445 | Purchase CT Scanner | 1,914,000 | - | - | 1,914,000 | - | - | - |
| 446 | Purchase Nanochip System | 350,000 | 350,000 | - | - | - | - | - |
| 447 | Purchase CT Simulator | 1,160,000 | - | - | 1,160,000 | - | - | - |
| 448 | Purchase Compressed Video-Hazard | 141,000 | 141,000 | - | - | - | - | - |
| 449 | Purchase CT/PET Simulator | 2,000,000 | - | - | 2,000,000 | - | - | - |
| 450 | Purchase Multiplex QPRC System | 100,000 | 100,000 | - | - | - | - | - |
| 451 | Purchase CT/PET Simulator | 4,000,000 | - | - | 4,000,000 | - | - | - |
| 452 | Purchase Electron Microscope | 355,000 | 355,000 | - | - | - | - | - |
| 453 | Purchase Clinical System Enterprise | 5,800,000 | - | - | 5,800,000 | - | - | - |
| 454 | Purchase RevPrep Orbit Workstation | 100,000 | 100,000 | - | - | - | - | - |
| 455 | Purchase Computing Infrastructure Update | 2,500,000 | - | - | 2,500,000 | - | - | - |
| 456 | Purchase Data Storage Facility Upgrade | 750,000 | - | - | 750,000 | - | - | - |
| 457 | Purchase MegaPix2 | 300,000 | 300,000 | - | - | - | - | - |
| 458 | Purchase Dig. Medical Record Expansion | 4,640,000 | - | - | 4,640,000 | - | - | - |
| 459 | Purchase GelPix | 300,000 | 300,000 | - | - | - | - | - |
| 460 | Purchase Intraoperative Radiation Therapy | 1,300,000 | - | - | 1,300,000 | - | - | - |
| 461 | Purchase Typhoon Variable Mode Imager | 118,000 | 118,000 | - | - | - | - | - |
| 462 | Purchase Managed Care Enterprise | 1,160,000 | - | - | 1,160,000 | - | - | - |
| 463 | Renovate Clinical Teaching Space in Nursing | 1,220,000 | - | - | 1,220,000 | - | - | - |
| 464 | Purchase Minimally Invasive Room | 1,700,000 | - | - | 1,700,000 | - | - | - |
| 465 | Purchase OR Periop. IS Doc.Syst. Upgrade | 150,000 | - | - | 150,000 | - | - | - |
| 466 | Purchase Flow Cytometry Lab Equipment | 425,000 | 425,000 | - | - | - | - | - |
| 467 | Purchase Steam Autoclave | 450,000 | - | - | 450,000 | - | - | - |
| 468 | Renovate Research Space in Med Science | 12,400,000 | - | 12,400,000 | - | - | - | - |
| 469 | Purchase Sterrad Sterilizer | 450,000 | - | - | 450,000 | - | - | - |
| 470 | Renovate Cooper House | 960,000 | - | 960,000 | - | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 471 | Purchase Surgical Laser | 500,000 | - | - | 500,000 | - | - | - |
| 472 | Purchase Inverted Scope | 110,000 | 110,000 | - | - | - | - | - |
| 473 | Purchase Surgical Microscope | 500,000 | - | - | 500,000 | - | - | - |
| 474 | Purchase Laser Confocal Microscope | 312,000 | 312,000 | - | - | - | - | - |
| 475 | Purchase Data Storage Equip & Software I | 500,000 | - | - | 500,000 | - | - | - |
| 476 | Purchase Multiphoton Scanning Microscope | 300,000 | 300,000 | - | - | - | - | - |
| 477 | Purchase Telecommunications Equipment I | 250,000 | - | - | 250,000 | - | - | - |
| 478 | Purchase Open MRI Unit | 1,000,000 | - | 1,000,000 | - | - | - | - |
| 479 | Purchase PACS Data Storage Equip & Software | 500,000 | - | - | 500,000 | - | - | - |
| 480 | Purchase Patient Classification Equipment | 260,000 | 260,000 | - | - | - | - | - |
| 481 | Purchase IS Security Equipment I | 150,000 | - | - | 150,000 | - | - | - |
| 482 | Purchase Sequence Detection System | 110,000 | 110,000 | - | - | - | - | - |
| 483 | Purchase Data Center Printers I | 350,000 | - | - | 350,000 | - | - | - |
| 484 | Purchase Data Storage Equip & Software II | 250,000 | - | - | 250,000 | - | - | - |
| 485 | Purchase Ultracentrifuge | 117,000 | 117,000 | - | - | - | - | - |
| 486 | Purchase Telecommunications Equipment II | 200,000 | - | - | 200,000 | - | - | - |
| 487 | Purchase X-ray Defractometer | 700,000 | 700,000 | - | - | - | - | - |
| 488 | Purchase Mainframe Computer | 400,000 | - | - | 400,000 | - | - | - |
| 489 | Purchase Compact Shelving for Med Center Library | 550,000 | 550,000 | - | - | - | - | - |
| 490 | Purchase IS Security Equipment II | 150,000 | - | - | 150,000 | - | - | - |
| 491 | Purchase Fiber Channel Network System | 200,000 | 200,000 | - | - | - | - | - |
| 492 | Purchase Data Center Printers II | 300,000 | - | - | 300,000 | - | - | - |
| 493 | Purchase Linear Ion Trap Mass Spectrometer | 280,000 | 280,000 | - | - | - | - | - |
| 494 | Purchase Knowledge-based Transcription | 450,000 | - | - | 450,000 | - | - | - |
| 495 | Purchase 800 MHz Resolution Laser System | 2,600,000 | - | 2,600,000 | - | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 496 | Purchase Knowledge-based Charting System | 400,000 | - | - | 400,000 | - | - | - |
| 497 | Purchase Mass Spectrometer | 125,000 | 125,000 | - | - | - | - | - |
| 498 | Purchase Consumer Web Interaction System | 400,000 | - | - | 400,000 | - | - | - |
| 499 | Construct Environmental Institute | 17,392,000 | - | 17,392,000 | - | - | - | - |
| 500 | Purchase Data Storage Equip & Software III | 150,000 | - | - | 150,000 | - | - | - |
| 501 | Expand/Renovate CPST Facility | 3,500,000 | - | 3,500,000 | - | - | - | - |
| 502 | Purchase Telecommunications Equipment III | 150,000 | - | - | 150,000 | - | - | - |
| 503 | Renovate Carnahan House | 4,000,000 | - | - | - | 4,000,000 | - | - |
| 504 | Purchase Luminex System | 100,000 | 100,000 | - | - | - | - | - |
| 505 | Purchase Oxymax Integrated Metabolism System | 160,000 | 160,000 | - | - | - | - | - |
| 506 | Purchase Elemental Analysis System | 200,000 | 200,000 | - | - | - | - | - |
| 507 | Purchase Reactive Ion Etching | 180,000 | 180,000 | - | - | - | - | - |
| 508 | Purchase Focused Ion Milling/Patterning | 400,000 | 400,000 | - | - | - | - | - |
| 509 | Purchase Multi-Scanning Probe Microscope | 200,000 | 200,000 | - | - | - | - | - |
| 510 | Purchase Field-Emission SEM w/e-beam | 420,000 | 420,000 | - | - | - | - | - |
| 511 | Purchase Laser Digitizer | 125,000 | 125,000 | - | - | - | - | - |
| 512 | Purchase Stereolithography Printer/SLA | 350,000 | 350,000 | - | - | - | - | - |
| 513 | Purchase Z810 Z-Corp Machine | 200,000 | 200,000 | - | - | - | - | - |
| 514 | Purchase Sinterstation Hi Q SLS System | 250,000 | 250,000 | - | - | - | - | - |
| 515 | Purchase 4-Axis Machining Center | 125,000 | 125,000 | - | - | - | - | - |
| 516 | Purchase Blow Molding Machine | 150,000 | 150,000 | - | - | - | - | - |
| 517 | Purchase High Temp Tension/Torsion Testing Machine | 500,000 | 500,000 | - | - | - | - | - |
| 518 | Purchase Compact Shelving-Fine Arts Library | 500,000 | 500,000 | - | - | - | - | - |
| 519 | Purchase High Resolution Imaging System | 132,000 | 132,000 | - | - | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 520 | Purchase Laser Capture Microdissection System | 242,000 | 242,000 | - | - | - | - | - |
| 521 | Purchase HPLC-Tandem Mass Spectrophotometer | 275,000 | 275,000 | - | - | - | - | - |
| 522 | Purchase Laboratory Environ. Monitoring System | 112,000 | 112,000 | - | - | - | - | - |
| 523 | Purchase 7T Small Animal High-Field MR Imager | 1,500,000 | - | 1,500,000 | - | - | - | - |
| 524 | Purchase PET/CT Scanner | 2,100,000 | - | 2,100,000 | - | - | - | - |
| 525 | Purchase Small Animal Scanner | 1,200,000 | - | 1,200,000 | - | - | - | - |
| 526 | Purchase Gradient Insert | 200,000 | 200,000 | - | - | - | - | - |
| 527 | Purchase Spectroscopy Package | 150,000 | 150,000 | - | - | - | - | - |
| 528 | Purchase Plot Combine with Weighing System | 220,000 | 220,000 | - | - | - | - | - |
| 529 | Purchase HPLC System | 120,000 | 120,000 | - | - | - | - | - |
| 530 | Purchase Transmission Elec. Microscope | 375,000 | 375,000 | - | - | - | - | - |
| 531 | Purchase Growth Chamber | 150,000 | 150,000 | - | - | - | - | - |
| 532 | Purchase ICP/AES/Mass Spectrometer | 150,000 | 150,000 | - | - | - | - | - |
| 533 | Purchase Robotic Platform | 120,000 | 120,000 | - | - | - | - | - |
| 534 | Purchase MSQ Mass Spectrometric Detector | 120,000 | 120,000 | - | - | - | - | - |
| 535 | Purchase Peds Echocardiology Unit | 200,000 | - | - | 200,000 | - | - | - |
| 536 | Purchase Upgrade for Scanner System | 500,000 | 500,000 | - | - | - | - | - |
| 537 | Purchase Comm. Infrastructure in Young Library | 1,014,000 | - | 1,014,000 | - | - | - | - |
| 538 | Construct Data Center | 20,000,000 | - | 20,000,000 | - | - | - | - |
| 539 | Expand Arboretum Visitor Center | 2,870,000 | - | - | - | - | - | 2,870,000 |
| 540 | Install Med. Center Chilled Water Loop | 700,000 | 700,000 | - | - | - | - | - |
| 541 | Lease Purchase UPS Upgrade for Communications | 800,000 | - | - | 800,000 | - | - | - |
| 542 | Upgrade Critical Care Center HVAC - Hospital | 7,649,000 | - | - | 7,649,000 | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 543 | Renovate-Expand Boone Faculty Center | 6,200,000 | - | - | - | - | - | 6,200,000 |
| 544 | Renovate Central Vivarium | 3,000,000 | - | 1,500,000 | - | - | 1,500,000 | - |
| 545 | Expand Ambulatory Care Facilities | 20,000,000 | - | - | - | 20,000,000 | - | - |
| 546 | Upgrade Surgical Services | 4,500,000 | - | - | 4,500,000 | - | - | - |
| 547 | Replace Air Handling Units in Research # 1 | 1,935,000 | - | 1,935,000 | - | - | - | - |
| 548 | Purchase Biomek FX Liquid Handling Robot | 300,000 | 300,000 | | | | | |
| 549 | Upgrade Transport Systems - Med Ctr Campus | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 550 | Upgrade AHUs - Med Ctr Campus | 2,000,000 | - | 2,000,000 | - | - | - | - |
| 551 | Expand Emergency Services | 6,100,000 | - | - | 6,100,000 | - | - | - |
| 552 | Purchase Two CT Scanners | 1,300,000 | - | 1,300,000 | - | - | - | - |
| | **2006-2008 Total** | **2,978,644,000** | **51,511,000** | **1,637,680,000** | **660,377,000** | **503,171,000** | **20,660,000** | **105,245,000** |
| **2008-2010** | | | | | | | | |
| 1 | Construct Clinical Neurodegenerative Center | 11,580,000 | - | 11,580,000 | - | - | - | - |
| 2 | Upgrade/Modify Coldstream Facilities | 10,000,000 | - | 10,000,000 | - | - | - | - |
| 3 | Improve Life Safety Project Pool | 1,402,000 | - | 1,402,000 | - | - | - | - |
| 4 | Construct Parking Structure IV - Hospital | 20,140,000 | - | - | 20,140,000 | - | - | - |
| 5 | Renovate Shively Sports Center | 2,000,000 | - | - | 2,000,000 | - | - | - |
| 6 | Renovate Labs in Dental & Med Science Bldg | 42,437,000 | - | 42,437,000 | - | - | - | - |
| 7 | Renovate Coldstream Center Building | 10,000,000 | - | 10,000,000 | - | - | - | - |
| 8 | Construct New Housing | 50,859,000 | - | - | - | 50,859,000 | - | - |
| 9 | Acquire and Renovate a Facility for Design | 17,000,000 | - | 17,000,000 | - | - | - | - |
| 10 | Upgrade Outpatient Services II - Hospital | 2,000,000 | - | - | 2,000,000 | | | |
| 11 | Renovate Space in Med Plaza | 1,250,000 | - | - | - | 1,250,000 | - | - |
| 12 | Renovate SECAT Building at Coldstream | 2,000,000 | - | 2,000,000 | - | - | - | - |
| 13 | Acquire Land | 4,000,000 | - | - | 4,000,000 | - | - | - |
| 14 | Improve Clinical Services - Hospital | 1,000,000 | - | - | 1,000,000 | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 15 | Renovate Research Space in Pharmacy, II | 7,860,000 | - | 7,860,000 | - | - | - | - |
| 16 | Renovate Lab & Support Space in Med Science | 9,500,000 | - | 9,500,000 | - | - | - | - |
| 17 | Replace Steam and Condensate Pipe II | 5,000,000 | - | 5,000,000 | - | - | - | - |
| 18 | Construct Cancer Education Fac. II - Hospital | 6,255,000 | - | - | 6,255,000 | - | - | - |
| 19 | Renovate Imaging Center, III | 1,405,000 | - | - | 1,405,000 | - | - | - |
| 20 | Renovate Labs in the Sanders Brown Building | 5,400,000 | - | 5,400,000 | - | - | - | - |
| 21 | Upgrade Fume Hoods - Life Safety | 4,556,000 | - | 4,556,000 | - | - | - | - |
| 22 | Expand Data Systems IV - Hospital | 700,000 | - | - | 700,000 | - | - | - |
| 23 | Renovate Research Space in Pharmacy, III | 7,055,000 | - | 7,055,000 | - | - | - | - |
| 24 | Construct Center for Disability Research and Training | 16,375,000 | - | - | 16,375,000 | - | - | - |
| 25 | Construct Accessible Rooms - Blanding Complex | 522,000 | - | - | - | 522,000 | - | - |
| 26 | Replace AHU V - Roach | 1,340,000 | - | - | 1,340,000 | - | - | - |
| 27 | Renovate Imaging Center, IV | 1,405,000 | - | - | 1,405,000 | - | - | - |
| 28 | Renovate Vivarium in Combs Building | 2,625,000 | - | - | 1,312,000 | - | 1,313,000 | - |
| 29 | Install HVAC in Boyd Hall | 3,350,000 | - | - | - | 3,350,000 | - | - |
| 30 | Upgrade Critical Care Center HVAC II - Hospital | 1,870,000 | - | - | 1,870,000 | - | - | - |
| 31 | Renovate Administration Space in Nursing Building | 980,000 | 980,000 | - | - | - | - | - |
| 32 | Repair Blacktop Phase II General Campus | 750,000 | 750,000 | - | - | - | - | - |
| 33 | Replace AHU IV - Roach | 1,340,000 | - | - | 1,340,000 | - | - | - |
| 34 | Renovate Willard Medical Sciences Bldg | 3,000,000 | - | - | 3,000,000 | - | - | - |
| 35 | Repair Concrete Phase II General Campus | 750,000 | 750,000 | - | - | - | - | - |
| 36 | Replace AHU III - Roach | 1,340,000 | - | - | 1,340,000 | - | - | - |
| 37 | Renovate Anderson Hall | 18,000,000 | - | 18,000,000 | - | - | - | - |
| 38 | Renovate Cooperstown - Phase IV | 1,690,000 | - | - | - | 1,690,000 | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 39 | Implement Land Use Plan V - Hospital | 2,500,000 | - | - | 2,500,000 | - | - | - |
| 40 | Construct School of Music Building | 74,712,000 | - | 74,712,000 | - | - | - | - |
| 41 | Sprinkle Scovell Hall - Life Safety | 665,000 | 665,000 | - | - | - | - | - |
| 42 | Construct Outpatient Care Facility III - Hospital | 7,385,000 | - | - | 7,385,000 | - | - | - |
| 43 | Construct Student Astronomical Observatory | 1,875,000 | - | 1,875,000 | - | - | - | - |
| 44 | Replace Master Clock and Bell System Phase II | 1,000,000 | - | 1,000,000 | - | - | - | - |
| 45 | Upgrade Support Services III - Hospital | 2,740,000 | - | - | 2,740,000 | - | - | - |
| 46 | Construct Distance Education and Outreach Center | 87,676,000 | - | 87,676,000 | - | - | - | - |
| 47 | Install Cooling Secondary Pumping II | 1,700,000 | - | 1,700,000 | - | - | - | - |
| 48 | Construct Outpatient Services IV - Hospital | 12,400,000 | - | - | 12,400,000 | - | - | - |
| 49 | Renovate Chemistry-Physics - Phase I | 17,500,000 | - | 17,500,000 | - | - | - | - |
| 50 | Install Chilled Water Pipe Loop Connect | 1,200,000 | - | 1,200,000 | - | - | - | - |
| 51 | Protect Environment III - Hospital | 1,500,000 | - | - | 1,500,000 | - | - | - |
| 52 | Replace High Voltage Wiring II | 775,000 | 775,000 | - | - | - | - | - |
| 53 | Relocate Operations - Hospital | 1,508,000 | - | - | 1,508,000 | - | - | - |
| 54 | Replace Funkhouser Elect. Distrib. | 1,000,000 | - | 1,000,000 | - | - | - | - |
| 55 | Construct Cancer Treatment Facility II - Hospital | 32,443,000 | - | - | 32,443,000 | - | - | - |
| 56 | Replace Kastle Hall Elect. Distrib. Sys. | 600,000 | 600,000 | - | - | - | - | - |
| 57 | Construct Cancer Treatment Facility - Hospital | 32,440,000 | - | - | 32,440,000 | - | - | - |
| 58 | Replace Scovell Hall Elect. Distrib. Sys. | 1,000,000 | - | 1,000,000 | - | - | - | - |
| 59 | Renovate Diag. Treatment Svs I - Hospital | 1,100,000 | - | - | 1,100,000 | - | - | - |
| 60 | Expand Cooling Plant #2 | 5,200,000 | - | - | - | 5,200,000 | - | - |
| 61 | Renovate Diag. Treatment Svs II - Hospital | 3,290,000 | - | - | 3,290,000 | - | - | - |
| 62 | Upgrade Pence Hall HVAC | 3,200,000 | - | 3,200,000 | - | - | - | - |
| 63 | Upgrade Surgical Radiologic Suite | 1,950,000 | - | - | 1,950,000 | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 64 | Upgrade Mineral Industries HVAC | 1,460,000 | - | 1,460,000 | - | - | - | - |
| 65 | Improve Public Facilities - Hospital | 600,000 | - | - | 600,000 | - | - | - |
| 66 | Upgrade TP Cooper HVAC | 2,565,000 | - | 2,565,000 | - | - | - | - |
| 67 | Upgrade HVAC III - Hospital | 3,000,000 | - | - | 3,000,000 | - | - | - |
| 68 | Upgrade Scovell Hall HVAC | 3,900,000 | - | 3,900,000 | - | - | - | - |
| 69 | Renovate Acute Care Facility I - Hospital | 1,500,000 | - | - | 1,500,000 | - | - | - |
| 70 | Repair HVAC System Erikson Hall | 840,000 | 840,000 | - | - | - | - | - |
| 71 | Renovate Acute Care Facility II - Hospital | 5,370,000 | - | - | 5,370,000 | - | - | - |
| 72 | Repair HVAC System Law Bldg | 1,830,000 | - | 1,830,000 | - | - | - | - |
| 73 | Upgrade Critical Care Facility - Hospital | 2,200,000 | - | - | 2,200,000 | - | - | - |
| 74 | Upgrade DV Terrell Bldg. HVAC | 850,000 | 850,000 | - | - | - | - | - |
| 75 | Consolidate Imaging Services - Hospital | 7,615,000 | - | - | 7,615,000 | - | - | - |
| 76 | Upgrade Medical Center Heating Plant | 4,170,000 | - | 4,170,000 | - | - | - | - |
| 77 | Renovate Kitchen III - Hospital | 2,000,000 | - | - | 2,000,000 | - | - | - |
| 78 | Replace Steam Line Nursing - Hunt Morgan | 1,105,000 | - | 1,105,000 | - | - | - | - |
| 79 | Replace AHU II - Hospital | 17,890,000 | - | - | 17,890,000 | - | - | - |
| 80 | Replace Steam Line Frazee-Student Ctr. Add. | 870,000 | 870,000 | - | - | - | - | - |
| 81 | Replace AHU III - Hospital | 570,000 | - | - | 570,000 | - | - | - |
| 82 | Replace Steam Line Keeneland Holmes Nutter | 1,180,000 | - | 1,180,000 | - | - | - | - |
| 83 | Replace AHU IV - Hospital | 1,300,000 | - | - | 1,300,000 | - | - | - |
| 84 | Replace Steam Line Good Barn - Hosp Dr Pit 2 | 3,000,000 | - | 3,000,000 | - | - | - | - |
| 85 | Upgrade Nutrition Services III - Hospital | 1,400,000 | - | - | 1,400,000 | - | - | - |
| 86 | Replace Steam Line Dorm Complex - Univ. Dr. | 2,210,000 | - | 2,210,000 | - | - | - | - |
| 87 | Install Steam Line Columbia Av - University Dr | 4,570,000 | - | 4,570,000 | - | - | - | - |
| 88 | Install Steam Line Dorm Complex - Com College | 3,780,000 | - | 3,780,000 | - | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 89 | Install Steam Line Limestone - Press Av | 4,410,000 | - | 4,410,000 | - | - | - | - |
| 90 | Replace Air Handling Units in Med Science Bldg. | 2,000,000 | - | 2,000,000 | - | - | - | - |
| 91 | Replace Air Handling Units in Sanders Brown Bldg. | 1,500,000 | - | 1,500,000 | - | - | - | - |
| 92 | Replace Air Handling Units in Dental Bldg. | 2,000,000 | - | 2,000,000 | - | - | - | - |
| 93 | Replace Elevator/Dumbwaiter in Dental Building | 645,000 | 645,000 | - | - | - | - | - |
| 94 | Upgrade AHUs II - Med Ctr Campus | 2,385,000 | - | 2,385,000 | - | - | - | - |
| 95 | Upgrade Electrical Distribution in Dental Bldg | 1,110,000 | - | 1,110,000 | - | - | - | - |
| 96 | Upgrade Fume Hood in Combs Building Life Safety | 2,800,000 | - | 2,800,000 | - | - | - | - |
| 97 | Upgrade HVAC & Controls in Med Plaza | 475,000 | - | 475,000 | | - | - | - |
| 98 | Upgrade Transport Systems II - Med Ctr Campus | 1,195,000 | - | - | 1,195,000 | - | - | - |
| 99 | Replace Alumni Gym HVAC | 3,040,000 | - | 3,040,000 | - | - | - | - |
| 100 | Replace Frazee Hall HVAC | 1,395,000 | - | 1,395,000 | - | - | - | - |
| 101 | Install Steam Line Memorial Col - Mines & Min | 11,120,000 | - | 11,120,000 | - | - | - | - |
| 102 | Upgrade Electrical Emergency Power Systems | 2,500,000 | - | - | 2,500,000 | - | - | - |
| 103 | Improve Moloney Building, Capital Renewal | 1,150,000 | - | 1,150,000 | | - | - | - |
| 104 | Improve Accessibility Project Pool | 400,000 | 400,000 | | | | | |
| 105 | Renovate Education Space in the Seaton Center | 3,365,000 | - | 3,365,000 | - | - | - | - |
| 106 | Install Chilled Water Loop Connection II | 1,055,000 | - | 1,055,000 | - | - | - | - |
| 107 | Upgrade Bldg. Entrances Safety & Security | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 108 | Capital Renewal Maintenance Pool Phase 2 | 28,684,000 | - | 28,684,000 | - | - | - | - |
| | **2008-2010 Total** | **724,099,000** | **8,125,000** | **438,912,000** | **212,878,000** | **62,871,000** | **1,313,000** | **-** |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| **2010-2012** | | | | | | | | |
| 1 | Renovate Chemistry-Physics - Phase II | 18,980,000 | - | 18,980,000 | - | - | - | - |
| 2 | Upgrade/Modify Coldstream Facilities | 10,000,000 | - | 10,000,000 | - | - | - | - |
| 3 | Construct New Housing | 58,326,000 | - | - | - | 58,326,000 | - | - |
| 4 | Convert Nursing Units - Hospital | 3,660,000 | - | - | 3,660,000 | - | - | - |
| 5 | Construct Health Sciences Academic Resources Ctr. | 19,330,000 | - | 19,330,000 | - | - | - | - |
| 6 | Renovate Coldstream Center Building | 10,000,000 | - | 10,000,000 | - | - | - | - |
| 7 | Replace Steam and Condensate Pipe III | 5,000,000 | - | 5,000,000 | - | - | - | - |
| 8 | Implement Land Use Plan VI - Hospital | 3,000,000 | - | - | 3,000,000 | - | - | - |
| 9 | Construct Bio-Behavioral Health Building | 27,225,000 | - | 27,225,000 | - | - | - | - |
| 10 | Renovate SECAT Building at Coldstream | 2,000,000 | - | 2,000,000 | - | - | - | - |
| 11 | Improve Life Safety Project Pool | 2,451,000 | - | 2,451,000 | - | - | - | - |
| 12 | Upgrade Building/Site V - Hospital | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 13 | Renovate Lab & Support Space in Med Science | 9,500,000 | - | 9,500,000 | - | - | - | - |
| 14 | Construct Accessible Rooms - Kirwan Complex | 578,000 | - | - | - | 578,000 | - | - |
| 15 | Replace AHU VIII - Hospital | 8,392,000 | - | - | 8,392,000 | - | - | - |
| 16 | Install HVAC in Holmes Hall | 6,070,000 | - | - | - | 6,070,000 | - | - |
| 17 | Upgrade Critical Care Center HVAC III - Hospital | 1,770,000 | - | - | 1,770,000 | - | - | - |
| 18 | Install Cooling Secondary Pumping III | 1,350,000 | - | 1,350,000 | - | - | - | - |
| 19 | Construct Patient Care Facility III - Hospital | 23,083,000 | - | - | 23,083,000 | - | - | - |
| 20 | Install HVAC in Jewell Hall | 2,425,000 | - | - | - | 2,425,000 | - | - |
| 21 | Construct Primary Care Center III - Hospital | 30,780,000 | - | - | 30,780,000 | - | - | - |
| 22 | Replace High Voltage Wiring III | 775,000 | 775,000 | - | - | - | - | - |
| 23 | Construct Diagnostic Treatment Fac. III - Hospital | 38,770,000 | - | - | 38,770,000 | - | - | - |
| 24 | Sprinkle Buildings - Life Safety | 8,510,000 | - | 8,510,000 | - | - | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| 25 | Construct Business Facility III - | 12,630,000 | - | - | 12,630,000 | - | - | - |
| 26 | Renovate Building Plumbing Fine Arts | 650,000 | - | - | 650,000 | - | - | - |
| 27 | Improve Air Medical Facility - Hospital | 10,540,000 | - | - | 10,540,000 | - | - | - |
| 28 | Replace Ag. Science North Ductwork | 1,330,000 | - | - | 1,330,000 | - | - | - |
| 29 | Upgrade Transport Systems VI - Hospital | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 30 | Repair Blacktop Phase III General Campus | 750,000 | 750,000 | - | - | - | - | - |
| 31 | Replace AHU V - Hospital | 4,851,000 | - | - | 4,851,000 | - | - | - |
| 32 | Repair Concrete Phase III General Campus | 750,000 | 750,000 | - | - | - | - | - |
| 33 | Upgrade Utility System Upgrade VII - Hospital | 1,500,000 | - | - | 1,500,000 | - | - | - |
| 34 | Expand Cooling Plant #2 Phase II | 5,200,000 | - | - | - | 5,200,000 | - | - |
| 35 | Replace AHU VI - Hospital | 8,200,000 | - | - | 8,200,000 | - | - | - |
| 36 | Upgrade Dimmock Animal Path HVAC | 3,340,000 | - | 3,340,000 | - | - | - | - |
| 37 | Replace AHU VII - Hospital | 4,870,000 | - | - | 4,870,000 | - | - | - |
| 38 | Install Chilled Water Storage Tank General | 12,500,000 | - | 12,500,000 | - | - | - | - |
| 39 | Construct Storage/Dist. Ctr. II - Hospital | 3,070,000 | - | - | 3,070,000 | - | - | - |
| 40 | Replace Transportation Bldg. HVAC | 2,532,000 | - | 2,532,000 | - | - | - | - |
| 41 | Renovate Medical Records Suite II - Hospital | 1,100,000 | - | - | 1,100,000 | - | - | - |
| 42 | Improve Academic Tech Bldg, Capital Renewal | 1,120,000 | - | 1,120,000 | - | - | - | - |
| 43 | Upgrade Bldg. Entrances Safety & Security | 1,000,000 | - | - | 1,000,000 | - | - | - |
| 44 | Upgrade Electrical Distribution in Med Science | 1,760,000 | - | 1,760,000 | - | - | - | - |
| 45 | Replace Air Handling Units in Nursing Building | 1,410,000 | - | 1,410,000 | - | - | - | - |
| 46 | Upgrade AHUs III - Med Ctr Campus | 2,665,000 | - | - | 2,665,000 | - | - | - |
| 47 | Upgrade Transport Systems III - Med Ctr Campus | 1,335,000 | - | - | 1,335,000 | - | - | - |
| 48 | Improve Accessibility Project Pool | 400,000 | 400,000 | | | | | |
| 49 | Capital Renewal Maintenance Pool Phase 3 | 14,478,000 | - | 14,478,000 | - | - | - | - |
| | **2010-2012 Total** | **391,956,000** | **2,675,000** | **151,486,000** | **165,196,000** | **72,599,000** | - | - |

## University of Kentucky
## 2006-2012 Capital Plan

| Priority | Title | Scope | Proposed Fund Source | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | State General Funds | State Bonds | Agency Funds | Agency Bonds | Federal Funds | Other Funds (Private) |
| | **Grand Total** | **$4,094,699,000** | **$62,311,000** | **$2,228,078,000** | **$1,038,451,000** | **$638,641,000** | **$21,973,000** | **$105,245,000** |

# FCR 29

Office of the President
May 10, 2005

Members, Board of Trustees:

REPORT ON 2004-2006 CAPITAL PROJECTS AUTHORIZED BY THE 2005 KENTUCKY GENERAL ASSEMBLY

Recommendation: that the Board of Trustees accept the report on the 2004-2006 capital projects authorized by the 2005 Kentucky General Assembly.

Background: In accordance with Administrative Regulation II-1.4-1, the President reports the results of the legislative session to the Board of Trustees, including a list of the authorized capital projects. The General Assembly must authorize all capital projects with an estimated scope of $400,000 or more regardless of fund source.

Attached is a report of capital projects, authorized by the 2005 General Assembly, as part of House Bill 267. The General Assembly authorized 218 capital projects with a total scope of approximately $1.48 billion. The projects will be submitted to the Board of Trustees for approval prior to initiation.

Examples of projects authorized by the 2005 General Assembly are:

- $40 million in state bonds for Phase I of the Biological/ Pharmaceutical Complex;
- $8.5 million in state bonds to expand and upgrade the Livestock Disease Diagnostic Center;
- $100 million in university-funded (agency) bonds for the construction of the patient care facility (plus authority to spend $100 million of agency funds);
- $24 million in university-funded (agency) bonds for the construction of a student health facility; and
- $7 million in university-funded (agency) bonds for the construction of a basketball practice facility (plus authority to spend $20.5 million of agency funds).

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# Report on the 2004-06 Capital Projects Authorized in House Bill 267

| | Capital Project Title | Scope | Authorized Fund Source | | | |
|---|---|---|---|---|---|---|
| | | | State Bonds | Agency Bonds | Agency Funds | Federal Funds |
| 1 | Construct Gatton Building Complex - Design | 4,500,000 | | | 4,500,000 | |
| 2 | Construct Law School Building - Design | 4,000,000 | | | 4,000,000 | |
| 3 | Purchase/Renovate Facility for College of Design | 16,528,000 | | | 16,528,000 | |
| 4 | Construct Digital Technologies Building - Design | 3,000,000 | | | 3,000,000 | |
| 5 | Expand Plant, Soil & Envir. Sci. Facil. - Design | 1,500,000 | | | 1,500,000 | |
| 6 | Expand Chemistry-Physics Building Design | 5,500,000 | | | 5,500,000 | |
| 7 | Expand & Upgrade Livestock Disease Diag. Center | 8,500,000 | 8,500,000 | | | |
| 8 | Construct Bio-Medical Research Building Design | 6,000,000 | | | 6,000,000 | |
| 9 | Construct Early Childhood Development/Family Center - Reauthorization | 9,956,000 | | | 9,956,000 | |
| 10 | Expand KGS Well Sample & Core Repository | 3,759,000 | | | 3,759,000 | |
| 11 | Renovate Sections of Funkhouser | 4,923,000 | | | 4,923,000 | |
| 12 | Improve Life Safety, Project Pool | 5,290,000 | | | 5,290,000 | |
| 13 | Improve Plant - Capital Renewal Pool | 15,000,000 | | | 15,000,000 | |
| 14 | Upgrade Fume Hoods TH Morgan - Life Safety | 2,738,000 | | | 2,738,000 | |
| 15 | Upgrade Fume Hoods Research #3 Life Safety - Reauthorization | 4,825,000 | | | 4,825,000 | |
| 16 | Upgrade HVAC - CAER Ph. III Life Safety - Reauthorization | 475,000 | | | 475,000 | |
| 17 | Upgrade Pharm. Fume Hood I Life Safety - Reauthorization | 4,300,000 | | | 4,300,000 | |
| 18 | Improve IAQ - Phase I - Life Safety - Reauthorization | 500,000 | | | 500,000 | |
| 19 | Abate Asbestos LC II - Life Safety - Reauthorization | 500,000 | | | 500,000 | |
| 20 | Improve Accessibility Project Pool | 437,000 | | | 437,000 | |
| 21 | Renovate Running Track - Reauthorization | 2,500,000 | | | 2,500,000 | |
| 22 | Lease-Purchase High Performance Research Comp. | 6,500,000 | | | 6,500,000 | |
| 23 | Construct Medical Center Education Building | 27,000,000 | | | 27,000,000 | |
| 24 | Construct Environmental Institute - Reauthorization | 14,287,000 | | | 14,287,000 | |
| 25 | Expand/Renovate Art Museum in Singletary Center | 10,075,000 | | | 10,075,000 | |
| 26 | Upgrade Pilot - Scale Mineral Process Facility | 500,000 | | | 500,000 | |
| 27 | Lease-Purchase Large Scale Computing | 3,500,000 | | | 3,500,000 | |
| 28 | Renovate COHR Space in the Dental Building | 1,875,000 | | | 1,875,000 | |
| 29 | Expand CAER Laboratories | 3,833,000 | | | 3,833,000 | |
| 30 | Renovate 3rd Floor Little Library | 2,200,000 | | | 2,200,000 | |
| 31 | Install Emergency Generator in Computing Facility | 425,000 | | | 425,000 | |
| 32 | Renovate Med. Center Library - Reauthorization | 5,500,000 | | | 5,500,000 | |

# Report on the 2004-06 Capital Projects Authorized in House Bill 267

| | Capital Project Title | Scope | Authorized Fund Source | | | |
|---|---|---|---|---|---|---|
| | | | State Bonds | Agency Bonds | Agency Funds | Federal Funds |
| 33 | Renovate King Library South - 1930 Section - Reauthorization | 17,901,000 | | | 17,901,000 | |
| 34 | Land Acquisition Pool | 15,000,000 | | | 15,000,000 | |
| 35 | Renovate Practice Instruction Space in Pharmacy | 3,200,000 | | | 3,200,000 | |
| 36 | Lease-Purchase Apartment Complex | 11,000,000 | | | 11,000,000 | |
| 37 | Renovate Outpatient Clinic in Kentucky Clinic - Reauthorization | 2,237,000 | | | 2,237,000 | |
| 38 | Replace Air Handling Units Central Computing Facility | 510,000 | | | 510,000 | |
| 39 | Renovate Graduate Edu. & Research Space in Nursing | 1,600,000 | | | 1,600,000 | |
| 40 | Renovate Bowman Hall | 8,221,000 | | | 8,221,000 | |
| 41 | Construct New Housing | 49,991,000 | | | 49,991,000 | |
| 42 | Renovate Reynolds Building | 12,310,000 | | | 12,310,000 | |
| 43 | Renovate Taylor Education Building | 17,864,000 | | | 17,864,000 | |
| 44 | Construct Parking Structure - Central Campus | 17,000,000 | | | 17,000,000 | |
| 45 | Construct Parking Structure - North Campus | 25,248,000 | | | 25,248,000 | |
| 46 | Renovate Kastle Hall | 8,269,000 | | | 8,269,000 | |
| 47 | Expand Grehan Journalism Building | 12,740,000 | | | 12,740,000 | |
| 48 | Construct New Alumni Center | 15,250,000 | | | 15,250,000 | |
| 49 | Expand Animal Science Research Center Phase II | 23,184,000 | | | 23,184,000 | |
| 50 | Renovate Central Computing Facility | 2,360,000 | | | 2,360,000 | |
| 51 | Renovate Koinonia House | 1,950,000 | | | 1,950,000 | |
| 52 | Lease-Purchase Fire Suppression Upgrade | 850,000 | | | 850,000 | |
| 53 | Renovate Slone Building | 7,993,000 | | | 7,993,000 | |
| 54 | Fit-up Education Space in Health Science Building | 1,000,000 | | | 1,000,000 | |
| 55 | Replace Steam and Condensate Pipe | 5,000,000 | | | 5,000,000 | |
| 56 | Replace Air Handling Units in Research #1 | 1,600,000 | | | 1,600,000 | |
| 57 | Lease-Purchase Telephone Switch Convergence | 12,000,000 | | | 12,000,000 | |
| 58 | Upgrade Elevator Controls in Nursing Building | 600,000 | | | 600,000 | |
| 59 | Renovate School of Public Health Building | 3,751,000 | | | 3,751,000 | |
| 60 | Replace Nutter Football Field | 2,000,000 | | | 2,000,000 | |
| 61 | Memorial Coliseum Expansion | 27,500,000 | | | 27,500,000 | |
| 62 | Renovate Commonwealth Stadium Concrete | 2,500,000 | | | 2,500,000 | |
| 63 | Replace Law Building Marble Facade | 838,000 | | | 838,000 | |
| 64 | Construct Multi-Care Clinic Building | 20,500,000 | | | 20,500,000 | |
| 65 | Expand Patient Parking in Structure #3 | 7,000,000 | | | 7,000,000 | |
| 66 | Renovate Photography Space in Nursing Building | 650,000 | | | 650,000 | |
| 67 | Replace Chemistry Physics Ductwork | 2,000,000 | | | 2,000,000 | |
| 68 | Fit-up 4th Floor BBSRB | 11,000,000 | | | 7,315,000 | 3,685,000 |

## Report on the 2004-06 Capital Projects Authorized in House Bill 267

| | Capital Project Title | Scope | Authorized Fund Source | | | |
|---|---|---|---|---|---|---|
| | | | State Bonds | Agency Bonds | Agency Funds | Federal Funds |
| 69 | Replace Central Fire Alarm System | 2,500,000 | | | 2,500,000 | |
| 70 | Upgrade the Vivarium in Sanders Brown Building | 4,000,000 | | | 2,000,000 | 2,000,000 |
| 71 | Renovate Substation #2 | 2,780,000 | | | 2,780,000 | |
| 72 | Renovate Labs in the Pharmacy Building - Reauthorization | 4,000,000 | | | 2,000,000 | 2,000,000 |
| 73 | Improve Central Heating Plant - Reauthorization | 4,000,000 | | | 4,000,000 | |
| 74 | Renovate Facade - Agriculture Building North - Reauthorization | 4,000,000 | | | 4,000,000 | |
| 75 | Improve Storm Sewer Funkhouser - Reauthorization | 1,103,000 | | | 1,103,000 | |
| 76 | Install Chilled Water Pipe-Clg 2 to Pit -Additional | 1,500,000 | | | 1,500,000 | |
| 77 | Install Cooling Secondary Pumping - Reauthorization | 2,500,000 | | | 2,500,000 | |
| 78 | Renovate Animal Facility in Tobacco & Health Building | 1,500,000 | | | 1,500,000 | |
| 79 | Replace Cooling Plant Chillers - Reauthorization | 6,000,000 | | | 6,000,000 | |
| 80 | Renovate Imaging Center at KY Clinic | 2,000,000 | | | 2,000,000 | |
| 81 | Expand Ophthalmology Clinic in Med. Plaza | 582,000 | | | 582,000 | |
| 82 | Repair Concrete Phase I General Campus | 750,000 | | | 750,000 | |
| 83 | Renovate Imaging Center I | 530,000 | | | 530,000 | |
| 84 | Repair Blacktop Phase I General Campus | 750,000 | | | 750,000 | |
| 85 | Renovate Research Labs in Med. Center, III | 1,000,000 | | | 1,000,000 | |
| 86 | Upgrade Electrical Substation | 4,000,000 | | | 4,000,000 | |
| 87 | Renovate Education Space in Med. Science | 2,300,000 | | | 2,300,000 | |
| 88 | Installed Chilled Water Pipe to South Campus | 5,000,000 | | | 5,000,000 | |
| 89 | Renovate Research Labs in Med. Center, I | 750,000 | | | 750,000 | |
| 90 | Install Chilled Water Additions General Campus | 1,000,000 | | | 1,000,000 | |
| 91 | Renovate Imaging Center, II | 530,000 | | | 530,000 | |
| 92 | Install Med. Center Chilled Water Loop - Reauthorization | 700,000 | | | 700,000 | |
| 93 | Renovate Research Labs in Medical Center, IV | 1,250,000 | | | 1,250,000 | |
| 94 | Replace High Voltage Wiring - Reauthorization | 775,000 | | | 775,000 | |
| 95 | Renovate Research Labs in Med. Center, II - Reauthorization | 900,000 | | | 900,000 | |
| 96 | Replace McVey Hall HVAC | 3,000,000 | | | 3,000,000 | |
| 97 | Renovate Research Space Med. Center, I - Reauthorization | 1,500,000 | | | 1,500,000 | |
| 98 | Replace Mathews Building HVAC | 1,000,000 | | | 1,000,000 | |
| 99 | Replace HVAC Slone Building | 2,320,000 | | | 2,320,000 | |
| 100 | Replace HVAC Kastle Hall | 3,000,000 | | | 3,000,000 | |
| 101 | Replace Fine Arts HVAC | 3,000,000 | | | 3,000,000 | |
| 102 | Replace Three Elevators MI King South -Reauthorization | 975,000 | | | 975,000 | |

## Report on the 2004-06 Capital Projects Authorized in House Bill 267

| | Capital Project Title | Scope | Authorized Fund Source | | | |
|---|---|---|---|---|---|---|
| | | | State Bonds | Agency Bonds | Agency Funds | Federal Funds |
| 103 | Renovate Barker Hall | 5,060,000 | | | 5,060,000 | |
| 104 | Add Centralized Emergency Generator | 5,034,000 | | | 5,034,000 | |
| 105 | Upgrade Communication Infrastructure, II - Reauthorization | 450,000 | | | 450,000 | |
| 106 | Expand Plant Capacity Infrastructure - Reauthorization | 23,000,000 | | | 23,000,000 | |
| 107 | Renovate Teaching Space in Med. Plaza | 500,000 | | | 500,000 | |
| 108 | Install HVAC in Keeneland Hall - Reauthorization | 5,109,000 | | | 5,109,000 | |
| 109 | Renovate Faculty Office Space in Med. Center | 500,000 | | | 500,000 | |
| 110 | Replace Holmes Elevator - Reauthorization | 641,000 | | | 641,000 | |
| 111 | Renovate Foundation Offices in MRISC Building | 500,000 | | | 500,000 | |
| 112 | Install Commons Elevator | 400,000 | | | 400,000 | |
| 113 | Renovate Breast Clinic in MRISC Building | 520,000 | | | 520,000 | |
| 114 | Renovate Vivarium in Central DLAR Facility | 2,300,000 | | | 1,600,000 | 700,000 |
| 115 | Renovate Vivarium in Combs Building | 600,000 | | | 300,000 | 300,000 |
| 116 | Renovate Safety & Security Building | 1,645,000 | | | 1,645,000 | |
| 117 | Renovate DLAR General Offices in Med. Center | 400,000 | | | 400,000 | |
| 118 | Lease-Purchase UK/UofL/Frankfort Research Network - Reauthorization | 6,000,000 | | | 6,000,000 | |
| 119 | Lease-Purchase ERP System | 10,000,000 | | | 10,000,000 | |
| 120 | Renovate Erikson Hall | 6,001,000 | | | 6,001,000 | |
| 121 | Expand West Kentucky Research and Education Center | 4,000,000 | | | 4,000,000 | |
| 122 | Renovate Bradley Hall | 5,216,000 | | | 5,216,000 | |
| 123 | Construct Gluck Equine Res. Ctr. - Phase II | 29,835,000 | | | 29,835,000 | |
| 124 | Addition to Lafferty Hall | 5,195,000 | | | 5,195,000 | |
| 125 | Upgrade Sound and Lighting for Singletary Center | 680,000 | | | 680,000 | |
| 126 | Upgrade Comm Infrastructure in Young Library | 2,601,000 | | | 2,601,000 | |
| 127 | Construct Horticultural Research and Education | 1,600,000 | | | 1,600,000 | |
| 128 | Expand Erikson Hall | 18,741,000 | | | 18,741,000 | |
| 129 | Construct UK Paducah Engineering Research Center | 1,000,000 | | | 1,000,000 | |
| 130 | Construct KY Transportation Center Building | 23,199,000 | | | 20,699,000 | 2,500,000 |
| 131 | Construct University Conference Center | 19,605,000 | | | 19,605,000 | |
| 132 | Replace Steam Line MC Htg. - Hosp. Drive Pit 2 | 1,180,000 | | | 1,180,000 | |
| 133 | Replace Steam Line Lime Tunnel - Main Gate Pit | 1,690,000 | | | 1,690,000 | |
| 134 | Replace Steam Line Lime Tunnel - POT Tunnel | 730,000 | | | 730,000 | |
| 135 | Install Steam Line BBSRB - Old Main Gate Pit | 4,130,000 | | | 4,130,000 | |
| 136 | Replace Steam Line Main Gate Pit -Anderson Pit | 1,530,000 | | | 1,530,000 | |
| 137 | Replace Steam Line Kastle - Chem./Phys Pit 28 | 740,000 | | | 740,000 | |
| 138 | Install Pollution Controls | 1,740,000 | | | 1,740,000 | |

## Report on the 2004-06 Capital Projects Authorized in House Bill 267

| | Capital Project Title | Scope | Authorized Fund Source | | | |
|---|---|---|---|---|---|---|
| | | | State Bonds | Agency Bonds | Agency Funds | Federal Funds |
| 139 | Expand Surgical Services - Hospital - Reauthorization | 3,531,000 | | | 3,531,000 | |
| 140 | Create Universal Nursing Unit-Hospital - Reauthorization | 964,000 | | | 964,000 | |
| 141 | Upgrade Outpatient Surgical Suite - Hospital | 2,500,000 | | | 2,500,000 | |
| 142 | Modify Nursing Unit XI - Hospital- Reauthorization | 1,160,000 | | | 1,160,000 | |
| 143 | Modify Nursing Unit XII - Hospital - Reauthorization | 3,936,000 | | | 3,936,000 | |
| 144 | Construct Imaging Facility - Hospital | 10,035,000 | | | 10,035,000 | |
| 145 | Upgrade Cancer Ctr. Radiologic Facility - Hospital | 6,000,000 | | | 6,000,000 | |
| 146 | Construct Cancer Urgent Treatment Facility - Hospital | 10,562,000 | | | 10,562,000 | |
| 147 | Upgrade Surgical Suite - Hospital | 2,600,000 | | | 2,600,000 | |
| 148 | Construct Radiation Medicine Facility - Hospital | 6,047,000 | | | 6,047,000 | |
| 149 | Upgrade Transport Systems V - Hospital - Reauthorization | 800,000 | | | 800,000 | |
| 150 | Expand Operating Room Suites - Hospital | 3,547,000 | | | 3,547,000 | |
| 151 | Expand Parking Structure #4 - Hospital | 3,620,000 | | | 3,620,000 | |
| 152 | Upgrade Building/Site IV - Hospital - Reauthorization | 800,000 | | | 800,000 | |
| 153 | Upgrade HVAC II - Hospital | 3,500,000 | | | 3,500,000 | |
| 154 | Construct Radiation Med. Facility II - Hospital | 2,548,000 | | | 2,548,000 | |
| 155 | Upgrade Utility Systems VI - Hospital - Reauthorization | 1,500,000 | | | 1,500,000 | |
| 156 | Upgrade Operating Room Suites II - Hospital | 12,162,000 | | | 12,162,000 | |
| 157 | Replace AHU I - Hospital | 15,553,000 | | | 15,553,000 | |
| 158 | Replace AHU I - Roach | 1,000,000 | | | 1,000,000 | |
| 159 | Replace AHU II - Roach | 1,000,000 | | | 1,000,000 | |
| 160 | Construct Cancer Hospice Facility - Hospital | 4,000,000 | | | 4,000,000 | |
| 161 | Construct Cancer Education Facility - Hospital | 2,000,000 | | | 2,000,000 | |
| 162 | Construct Cancer Infusion Suites - Hospital | 5,590,000 | | | 5,590,000 | |
| 163 | Construct Remote Cancer Clinic - Hospital | 12,500,000 | | | 12,500,000 | |
| 164 | Construct Physicians Svcs. Facilities - Hospital | 2,000,000 | | | 2,000,000 | |
| 165 | Upgrade Emergency Services II - Hospital | 12,000,000 | | | 12,000,000 | |
| 166 | Upgrade Diagnostic Radiology - Hospital | 3,000,000 | | | 3,000,000 | |
| 167 | Renovate Dietetics - Hospital | 6,000,000 | | | 6,000,000 | |
| 168 | Construct Business Facility II- Hospital - Reauthorization | 10,840,000 | | | 10,840,000 | |
| 169 | Construct Outpt. Care Facility II - Hospital - Reauthorization | 8,148,000 | | | 8,148,000 | |
| 170 | Construct Outpt. Diag./Treat Facility II - Hospital - Reauthorization | 17,545,000 | | | 17,545,000 | |
| 171 | Construct Bldg. Connectors III-Hospital - Reauthorization | 3,047,000 | | | 3,047,000 | |
| 172 | Construct Primary Care Center II - Hospital - Reauthorization | 13,017,000 | | | 13,017,000 | |

## Report on the 2004-06 Capital Projects Authorized in House Bill 267

| | Capital Project Title | Scope | Authorized Fund Source | | | |
|---|---|---|---|---|---|---|
| | | | State Bonds | Agency Bonds | Agency Funds | Federal Funds |
| 173 | Construct Patient Care Facility II - Hospital - Reauthorization | 11,477,000 | | | 11,477,000 | |
| 174 | Upgrade Nutrition Services II - Hospital - Reauthorization | 1,000,000 | | | 1,000,000 | |
| 175 | Upgrade Support Services II - Hospital - Reauthorization | 1,000,000 | | | 1,000,000 | |
| 176 | Implement Land Use Plan IV - Hospital - Reauthorization | 2,500,000 | | | 2,500,000 | |
| 177 | Upgrade Diagnostic Services XII - Hospital - Reauthorization | 1,000,000 | | | 1,000,000 | |
| 178 | Construct Outpatient Svs. III - Hospital | 8,004,000 | | | 8,004,000 | |
| 179 | Upgrade Diagnostic Services XI - Hospital - Reauthorization | 1,500,000 | | | 1,500,000 | |
| 180 | Renovate Medical Records Suite I - Hospital | 566,000 | | | 566,000 | |
| 181 | Purchase Clinical System Enterprise - Reauthorization | 5,800,000 | | | 5,800,000 | |
| 182 | Construct Baseball Club House | 2,500,000 | | | 2,500,000 | |
| 183 | Expand Ophthalmology Clinic in Med Plaza | 3,100,000 | | | 3,100,000 | |
| 184 | Renovate Lab & Support Space in Med Science | 9,500,000 | | | 9,500,000 | |
| 185 | Renovate/Expand DLAR Quarantine Facility - Spindletop | 2,720,000 | | | 2,720,000 | |
| 186 | Upgrade/Modify Coldstream Research Campus Facilities | 10,000,000 | | | 10,000,000 | |
| 187 | Expand Biosafety (BSL-3) in Med Science | 25,500,000 | | | 21,500,000 | 4,000,000 |
| 188 | Renovate K-Lair Building | 1,650,000 | | | 1,650,000 | |
| 189 | Expand Pence Hall | 6,300,000 | | | 6,300,000 | |
| 190 | Renovate PSC Building | 750,000 | | | 750,000 | |
| 191 | Renovate COM Administrative Offices | 1,200,000 | | | 1,200,000 | |
| 192 | Construct University Student Center – Design | 6,000,000 | | | 6,000,000 | |
| 193 | Renovate Lab for Coatings & Surface Inspection | 8,000,000 | | | 8,000,000 | |
| 194 | Construct University Press Facility | 2,950,000 | | | 2,950,000 | |
| 195 | Expand Campus Plan & Infrastructure | 23,000,000 | | | 23,000,000 | |
| 196 | Renovate Parking Structure #3 | 2,500,000 | | | 2,500,000 | |
| 197 | Lease-Purchase ERP System, Phase II | 15,000,000 | | | 15000000 | |
| 198 | Commonwealth Stadium Waterproofing/Concrete Sealing | 2,500,000 | | | 2,500,000 | |
| 199 | Purchase/Install Score Boards – Memorial Coliseum & Hagan Stadium | 1,500,000 | | | 1,500,000 | |
| 200 | Expand Ambulatory Care Facilities | 20,000,000 | | | 20,000,000 | |
| 201 | Upgrade Critical Care Center HVAC | 7,649,000 | | | 7,649,000 | |
| 202 | Expand Outpatient Radiology | 2,000,000 | | | 2,000,000 | |
| 203 | Renovate Hospital Nursing Units | 2,000,000 | | | 2,000,000 | |
| 204 | Expand Emergency Services | 6,100,000 | | | 6,100,000 | |
| 205 | Fit-up Gill Building – Ground Floor | 1,250,000 | | | 1,250,000 | |

## Report on the 2004-06 Capital Projects Authorized in House Bill 267

| | Capital Project Title | Scope | Authorized Fund Source | | | |
|---|---|---|---|---|---|---|
| | | | State Bonds | Agency Bonds | Agency Funds | Federal Funds |
| 206 | Upgrade Clinical Services | 2,000,000 | | | 2,000,000 | |
| 207 | Upgrade Outpatient Services | 2,000,000 | | | 2000000 | |
| 208 | Upgrade Surgical Services | 4,500,000 | | | 4,500,000 | |
| 209 | Expand Cancer Infusion Suites | 1,964,000 | | | 1,964,000 | |
| 210 | Renovate Hospital Cafeteria | 631,000 | | | 631,000 | |
| 211 | Renovate IRIS Project Facility | 1,035,000 | | | 1,035,000 | |
| 212 | Renovate Football Practice Field | 2,250,000 | | | 2,250,000 | |
| 213 | Renovate First Floor Phase I - Hospital | 8,000,000 | | | 8,000,000 | |
| 214 | Biological/Pharmaceutical Complex | 40,000,000 | 40,000,000 | | | |
| 215 | Construct Patient Care Facility - Hospital | 200,000,000 | | 100,000,000 | 100,000,000 | |
| 216 | Basketball Practice Facility | 15,000,000 | | 7,000,000 | 8,000,000 | |
| 217 | Horticulture Education & Research Facilities | 800,000 | | | 800,000 | |
| 218 | Construct Student Health Facility | 24,000,000 | | 24,000,000 | | |
| | **TOTAL** | $1,488,358,000 | $48,500,000 | $131,000,000 | $1,293,673,000 | $15,185,000 |

# FCR 30

Office of the President
May 10, 2005

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period ending March 31, 2005 be accepted.

Background: On March 4, 1997, the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patient filings and prosecutions and authorized the Executive Vice President for Research, or her designee, to execute any needed documents to obtain appropriate patent or copyright protection. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the board.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

PATENT ASSIGNMENT
FOR THE PERIOD FEBRUARY 1, 2005 THROUGH MARCH 31, 2005

Patents

The following assignments on behalf of the Board of Trustees of the University of Kentucky Research Foundation have been executed:

1. **U.S. Patent Application Serial Number**: (to be assigned)
**Filed**: December 21, 2004
**Title**: "VEGF-A AS AN INHIBITOR OF ANGIOGENESIS AND METHODS OF USING SAME"
**Inventor**: Dr. Jaya Krishna Ambati (Department of Ophthalmology)
**Technical Description**: The present invention relates to the use of VEGF-A to inhibit angiogenesis, cell proliferation, and inflammation. The present invention relates to the use of VEGF-A, PLGF-1, PLGF-2, or combinations thereof to treat and/or prevent ocular neovascularization, angiogenesis, cell proliferation, and inflammation associated with neovascular disease and/or traumatic ocular injury. The present invention also relates to proteins, peptides, organic molecules, and reagents capable of modulating VEGF-AIVEGFR-1 interaction to effect activation or inhibition of ocular neovascularization.
**Summary**: Vision is affected by inflammation and aberrant proliferation of eye tissue, which result from eye disease and eye injury. The inventors have discovered that intraocular injection of known growth factors (VEGF-A, PLGF-1, PLGF-2, or combinations) prevents these effects of diseases.

2. **U.S. Patent Application Serial Number**: (to be assigned)
**Filed**: January 3, 2005
**Title**: "BIS-PYRIDINO CONTAINING COMPOUNDS FOR USE IN THE TREATMENT OF CNS PATHOLOGIES"
**Inventors**: Drs. Peter Crooks, Linda Dwoskin, Joshua Ayers, and Vladimir Grinevich (College of Pharmacy).
**Technical Description**: The present invention is directed to the development and therapeutic use of nAChR subtype-selective and brain-bioavailable antagonists. The compounds are made via modification of the nicotine molecule by (1) quaternization of the pyridine-N atom with a lipophillic substituent to afford N-substituted analogs, and (2) modifying the structure of the nicotinium cationic head group.
**Summary**: Nicotine can affect neurons that play an important role in the neural circuitry relevant to many neurological diseases, including myasthenia gravis, Parkinson's disease, Alzheimer's disease, schizophrenia, eating disorders, and drug addiction. By modifying the nicotine molecule, the inventors have produced compounds that block the activity of nicotine sensitive neurons. The compounds of this invention should be useful in the treatment of a wide variety of diseases related to the action of nicotine.

3. **U.S. Patent Application Serial Number**: (to be assigned)
**Filed**: March 8, 2005
**Title**: "SILYLETHYNYLATEDHETEROACENES AND ELECTRONIC DEVICES MADE THEREWITH"
**Inventors**: Dr. John Anthony, Ms. Marcia Payne, Ms. Susan Odom, Dr. Sean Parkin (Department of Chemistry).
**Technical Description**: The present invention relates generally to the field of organic semiconductors and, more particularly, to silylethynylated heteroacenes as well as to electronic devices made with these compounds.
**Summary:** Currently the components of electrical circuits, such as semiconductors, are made from inorganic materials. The rigidity of these materials limits the shape and flexibility of consumer electronics that incorporate them. The inventors have developed organic compounds that can be made into flexible electronic components. Components made from these organic compounds will not limit the shape and flexibility of the consumer electronics that incorporate them. For example, the inventors anticipate that future organic components will allow the development of flexible, paper thin, foldable, and full color video screens. Another use would be as a spray paint-able substrate for solar cells.

4. **U.S. Patent Application Serial Number**: (to be assigned)
**Filed**: February 28, 2005
**Title**: "CATALYST FOR CONVERSION OF HYDROCARBONS TO HYDROGEN AND HIGH-VALUE CARBON"
**Inventors**: Drs. Naresh Shah and Gerald P. Huffman (Consortium for Fossil Fuel Science).
**Technical Description**: The present invention relates in general to methods and compositions for production of hydrogen from undiluted hydrocarbons. In particular, the invention relates to methods for making catalysts for non-oxidative catalytic decomposition of undiluted light hydrocarbons into a substantially pure hydrogen stream and carbon, and to catalysts made thereby, wherein in production of a hydrogen product, the carbon is deposited as a high value carbon co-product such as carbon nanotubes.
**Summary:** Hydrogen is used in the de-sulfurization of power plants and as a source of energy in fuel cells. Common methods of creating hydrogen produce undesirable carbon deposits. The inventors have created a method of producing hydrogen from hydrocarbons that yields useful carbon as a by-product.

5. **U.S. Patent Application Serial Number**: (to be assigned)
**Filed**: March 23, 2005
**Title**: "MONOCLONAL ANTIBODY 1A7 AND USE FOR THE TREATMENT OF MELANOMA AND SMALL CELL CARCINOMA"
**Inventors**: Drs. Malaya Chatterjee and Kenneth Foon (Markey Cancer Center).
**Technical Description**: This disclosure outlines a particular monoclonal anti-idiotype antibody, designated 1A7. This antibody has been established as being capable of eliciting an anti-GD2 response. It has all the desirable properties that provide for escaping immune tolerance to GD2 and is appropriate for treating GD2-associated disease.
**Summary:** The inventors have designed an antibody that binds to melanoma and small lung cancer cells. This binding causes the body's immune system to attack the cancers. Cancer patients may benefit with treatment with the antibody.

6. **U.S. Patent Application Serial Number**: (to be assigned)
**Filed:** February 9, 2005
**Title:** "ASSAY AND METHOD FOR DIAGNOSING AND TREATING ALZHEIMER'S DISEASE"
**Inventors**: Drs. Philip Landfield (Pharmacology), Kuey Chu Chen (Pharmacology), James Geddes (Anatomy and Neurobiology), Eric Blalock (Pharmacology).
**Technical Description**: The invention relates to assays and methods for diagnosing and treating Alzheimer's disease (AD). More specifically, this invention relates to methods for detecting changes in the pattern of gene expression that correlated with AD, and in particular, with incipient AD, and using these changes to either diagnose AD in a patient or screen compounds for treating AD.
**Summary:** The inventors have developed methods and kits for diagnosing Alzheimer's disease and/or incipient Alzheimer's disease.

Patent Activities
Fiscal year to date as of March 31, 2005

| | |
|---|---|
| Number of Patent Applications | 19 |
| Number of Patents Issued | 4 |
| Patent Income | $747,502 |

# FCR 31

Office of the President
May 10, 2005

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending March 31, 2005 be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

***For the period January 1, 2005 thru March 31, 2005:***

***There were five new contracts:***

| | |
|---|---|
| Project 2099.0 | Design Patient Bed Tower – Hospital (Clinical Enterprise Facilities Master Plan) GBBN, Inc., $500,000 |
| Project 2196.0 | Memorial Coliseum Expansion<br>Messer Construction Company, $178,300 |
| Project 2225.0 | Renovate Football Practice Field – Nutter Training Center<br>Sportsfields, $1,850,937 |
| Project 2226.0 | Renovate Commonwealth Stadium Locker Room<br>Eubank & Steele Construction, $580,900 |
| Project 2227.0 | Fit Up 4th Floor in BBSRB – Design Phase<br>A.M. Kinney, $415,000 |

***Four contracts were completed:***

| | |
|---|---|
| Project 2025.0 | Main Building Reconstruction (Administration Building)<br>Messer Construction Company, $13,751,405 |
| Project 2204.0 | Renovate IRIS Training Facility<br>Preston Construction Group, $903,038 |
| Project 2205.0 | Modify Nursing Unit XII – Hospital Labor & Delivery<br>Jarboe Construction Inc., $380,210 |
| Project 2218.0 | Replace Memorial Coliseum Court Lighting<br>WESCO Distribution Inc., $416,364 |

***There were four amendments this quarter and five change orders greater than $25,000.***

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

# CAPITAL CONSTRUCTION CONTRACTS
# QUARTERLY ACTIVITY REPORT

This report contains capital projects that have a dollar scope value of $400,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

**Consultant:** This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

**Contractor:** This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than $25,000 for the current quarter.

# CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

## Glossary

**Amendment:** refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

**Change Order:** refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

**Consultant:** the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

**Contract administration:** refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

**General Contractor:** the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

**Feasibility Study:** refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probably cost, preliminary program issues, and so forth.

**Gross square feet:** the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

**H.B.C.:** refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

**Penthouse:** refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

**Percent complete:** as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

**Net assignable square feet:** the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

**Phase:** The various stages of design and construction of a capital project, including:

- Programming phase: refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- Schematic design (S/D or Phase 1): refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- Design development (D/D or Phase 2): refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- Construction document phase (C/D or Phase 3): refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- Bidding phase: refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- Construction phase (or Phase 5): refers to the actual construction of the project by contractors.

**Scope:** The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

**Substantial Completion:** refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

**Trade Contractor:** Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

**Major Projects**
**Capital Project Management Division**
**March 31, 2005**

**Biomedical /Biological Sciences Research Bldg (BBSRB)**

*Project Description:*

BBSRB is a state of the art facility for collaboration in research and graduate education in biological chemistry, genetics, molecular and cellular biology, neuroscience, and related fields. This $73M project is designed by A. M. Kinney and ZBA of Cincinnati and Venturi, Scott Brown of Philadelphia.

*Project Status:*

As of January 30, 2005 the BBSRB and the CUP/Infrastructure portion of the project achieved beneficial occupancy. The Bridge/Cafe and site were scheduled for final inspection on February 28th; however, this inspection did not take place due to construction delays. While the bridge is now open to public use, finish work continues at a slow pace. The boiler will be fired in April making the CUP operational. The landscaping has begun. The building dedication is planned for April 11, 2005. Fit up for tenant occupancy on the lower floor continues, and move in is expected in late April and May.

**Center for Pharmaceutical & Science Technology (CPST Bldg.)**

The CPST operation will be located in the small buildings zone of the Coldstream Research Park. Some of the operation presently located on the 1st floor of the College of Pharmacy building will be relocated to the new operation in Coldstream. The project scope is $12 million, CE&IC from Princeton NJ provided the bridging documents, and Messer Construction is the design/builder.

*Project Status:*

Structural steel is being erected, and the building slab has been poured. Final documents have been received for the Manufacturing Core portion of the facility; the bid date is projected as May 2, 2005, with work beginning on site June 30, 2005. In April, structural steel will continue with attachments being permanently welded and wall panel framing beginning. Site work will continue, weather permitting, with the gravel base being installed under entry roads and parking lot. Project completion is scheduled for January 2006.

**Construct Multi Purpose Room @ Nutter**

*Project Description:*

This planned $4 million project will provide dining and meeting space along with kitchen facilities to service Commonwealth Stadium suites, concession operators, athlete training table, and departmental functions. The facility will host recruiting functions for all sports and include video and audio specialties designed to impress recruits and their families. The building is planned as a 12,500 square foot addition to the south end of the Nutter Field House. Ross-Tarrant is the design architect, teamed with HNTB from Kansas City, MO.

*Project Status:*

Deductive changes distributed in Post Bid Addendum #3 resulted in approximately $300,000 in savings from the initial bid. The resulting project scope is approximately $5.9 million. UKAA will evaluate the cost and present a proposal to the UKAA Board in April. If the project is to move forward, UKAA will seek UK Board of Trustee approval to request an increase in the KY Legislative authorization at the May 17, 2005 Oversight Committee meeting.

**Construct Parking Structure**

*Project Description:*

This project constructs two parking structures located on the University of Kentucky central campus. Virginia Avenue and Complex Drive were selected as the sites for these two structures. The structures house approximately 1,300 parking spaces and 10,000 sq. ft. of potential office space. The structures will serve mixed usage of students, faculty, staff and visitors.

*Project Status:*

Parking Structure #7:

During the last 2 weeks of February and the first 2 weeks of March, site work was impacted by both freezing and wet conditions. This slowed the progress of placing imported fill material to complete the construction of the building pad. Wall footing construction has completed at all areas except at Stair A and the Elevator lobby. Construction of all foundation walls will complete this month. Construction of the ramp walls at the F Line, 10 Line, and D Line are complete. The foundation wall at 1 Line is complete; B Line is in progress. Columns at the ramp walls and ramp interior have been completed and engineered fill is being imported to establish the sub-grade at the ramp. Column construction is proceeding in the direction of our planned slab on grade sequence. Site underground utility construction during the last 30 days has consisted of the completion of the communication and electrical duct bank east of 13 Line. The below slab storm water collection system is currently being installed. Under slab electric rough in is also in progress. Planned work for early April will consist of completion of foundation wall construction at B Line, Stair A and the Elevator lobby. The six slab on grade pours began the week of March 21, 2005. The shoring contractor will mobilize to begin the shoring of the supported decks following the second slab on grade placement.

Parking Structure # 6:

KAWC completed the new vault and piping installation on February 24, 2005. During the last 30 days foundation work has been in progress; footing for ramp walls, grade beams on 1 Line, D Line and tunnel slab installation is in progress. The tunnel slab has been poured. The fire protection and water line installation were completed on March 24, 2005. Anticipated work for the next 30 days will consist of KAWC completing their work on Press Ave.; existing water line removal and earthwork; caisson installation south of 10 Line; tunnel walls and ceiling form and poured; primary duct bank installation; temporary electrical service for tower crane; retaining wall on 1 Line to start April 4, 2005; shoring along Press Ave. to begin 3/23/05 and the tower crane foundation is to be poured.

**Design Patient Bed Tower – Hospital/Clinical Enterprise Master Plan**

*Project Description:*

The Hospital's present patient tower is approximately 40 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate present anticipated technology. This project will provide the site location evaluation, building programming, planning, and design services for a new bed tower.

*Project Status:*

During March Affiliated Engineers was selected as the building MEP designer. This selection completes the major designers for the project: GBBN, Ellerbe-Becket, Staggs and Fisher and Affiliated Engineers. During the month of April, additional consultants to fill the design team will be considered. Among those to be considered are structural engineers, civil engineers, medical equipment planners, wayfinding designers, and landscape architects. The final design contract should be in place by mid-April. During April the gross programming and master planning will begin. Groups will be established within the Medical Center community to provide input into the planning process. This effort will be lead by Ellerbe-Becket. The gross programming and master planning should take about 12 to 15 weeks. The program allowing for the realignment of Rose Street and Limestone Street intersection is ongoing. Public forums and communications with local governmental agency and news groups on the subject are scheduled during April.

**Electronic Access Rural Demonstration Project College of Law – Phase I**

*Project Description:*

This demonstration project involves the development and installation of electronic access sites in rural locations, an electronic access information hub, and classroom and office improvements in the College of Law. The electronic access sites will provide remote access to the College of Law's virtual reference service and enhanced web site and will include dedicated interactive videoconferencing technology. The project involves upgrades to the College of Law infrastructure and renovation of College of Law facilities.

*Project Status:*

The project is complete with the exception of determining how to provide additional cooling for the Matrix Room in the College of Law Library. Other areas including classrooms have been complete since the start of the fall semester. The Matrix Room is occupied and operational, but it is proving difficult to maintain comfortable temperatures in the space that has now been isolated from the rest of the Library and had additional lighting and computer equipment placed there creating a heat load.

**4th Floor Fit-up BBSRB**

*Project Description:*

This project will fit-up approximately 32,750 square feet in the currently "shelled space" on the fourth floor of the BBSRB Building. The fit-up will include wet bench laboratory space, support space, and dry computational labs. The University has received a federal grant award of $3,685,000 from the National Center for Research Resources Extramual Research Facilities Improvement Program. At the present time we are unable to accurately estimate the final cost of the improvements and therefore are only seeking design authority in the amount of $850,000.

*Project Status*:

A contract was awarded to A.M. Kinney to provide A/E services for this project. An Initial Design Meeting was held on February 11, 2005, and follow-up meetings have been conducted to review and confirm revisions. Testing of internal noise/vibrations on the 4th floor have been conducted. Modifications are being made to equipment in the penthouse as part of the original project which should control the vibrations. Follow-up tests will be made to reassess after the revisions have been made. Phase 2 documents are scheduled to be submitted for review on April 8, 2005. Phase 3 documents are scheduled to be submitted for review on June 17, 2005. The project is currently on schedule.

**Memorial Coliseum Expansion**

*Project Description:*

The basketball practice facility is approved for design only for the addition of men's and women's basketball offices, practice facility, weight training, medical support, locker/shower, facilities ticket office, administrative offices, and minor renovation of existing locker rooms with a scope of $2.5 million. The estimated total cost of the facility is $28 million, consisting of the $2.5 million for design plus $25.5 million for construction. This project involves the design of a 92,300 square foot Basketball Practice Facility to enhance the University's ability to attract top student athletes. The new facility will alleviate scheduling problems at Memorial Coliseum and will provide amenities in line with other NCAA basketball facilities in the country. The practice facility will be connected to Memorial Coliseum, which is located near the intersection of Lexington Avenue and the Avenue of Champions, and will renovate 7,700 square feet to support volleyball, gymnastics, offices and ticketing. Sherman-Carter-Barnhart, Inc. is the prime architect for the project. They are working in conjunction with HNTB as the design architect, Staggs and Fisher as the M/E/P engineers, and the Structural Design Group as the structural engineer. Fuller, Mossbarger, Scott and May perform geotechnical and survey work for the project.

*Project Status:*
The project construction budget was increased from $22,800,000 to $23,023,000 including all construction, infrastructure and future plant expansion costs. The increase was taken from the bid contingency, leaving the project within budget, with an overall project scope of $30,000,000 ($2.5M approved for design and $27.5M for construction). The Design Development Phase (Phase 2) has been approved, and the consultants are working on Construction Documents (Phase 3). Ze Design presented their conceptual design for interior graphics to Athletics with positive feedback. They are proceeding with further development of the concept. A Facility Commissioning Group was contracted to provide commissioning services for the design phase of the project. The project is currently on schedule with Phase 3 Construction Documents scheduled to be submitted for review in early April 2005. Final contract documents are due on May 10, 2005. The target date of substantial completion for Phase I (practice courts and basketball offices) has been moved up to October 13, 2006. Final completion has not changed from the December 12, 2006 target date. A contract was awarded to Messer Construction Company to provide design and bidding construction management services. The budget has been closely examined, and value engineering items have been incorporated to bring the project within budget. The utility tunnel on the north side of the building will bid as an alternate bid item. Other changes include changing from pre-cast concrete and metal wall panels to brick masonry; moving the mechanical room from the east side of the building to the west side and removing utility piping from the tunnel. Other HVAC revisions were also made, along with modifications to the interior door openings, roofing system and exterior canopies. In addition, only doing minor renovation work will be performed in the existing men's locker room to accommodate gymnastics rather than a full renovation of this area as originally planned.

**Renovate Commonwealth Stadium Locker Room**
*Project Description:*
This project will serve to expand and renovate the football team's defensive squad locker room to allow both offense and defense to gather in one space. Currently the two squads have separate locker rooms connected by a passageway. Restroom and shower facilities will be relocated. New HVAC systems, exhaust fans, lockers, ceiling treatments and floor coverings are included in this project.
*Project Status:*
Bids were received on March 3, 2005, and a contract was awarded to Eubank and Steele on March 22, 2005. The Preconstruction Meeting was held on March 23, 2005.

**Renovate Football Practice Field - Nutter Training Center**
*Project Description:*
The project will involve implementation of a feasibility study completed in October of 2003 to renovate the football practice fields behind the Nutter Training Center. The existing fields are a "soil-based" natural turf (3 total) and the fourth is an artificial turf field. The project will be issued as a design/build RFP to install two (2) "sand-based" natural turf fields, one (1) synthetic turf field and remove the artificial turf covering on the artificial turf field so that it can be used as a parking lot. Miscellanous associated work will include relocation of the existing camera/observation tower, new irrigation system for natural turf areas, new lighting for synthetic turf areas and adjacent natural turf areas, and miscellanous electrical outlets, goal posts sleeves and timing clocks.
*Project Status:*
Excavation for the utilities began on March 16th to install electrical, communications, and underground sprinkler services. The fence and plant materials along the north edge of the site were removed as well as the abandoned wood light poles. A gate access was installed from the parking lot south of the Nutter Football Training Center. Site excavation for the fields will begin as soon as the site can be accessed from the recent rains. A review meeting to discuss a 90% set of project documents was held on March 22, 2005. Final design documents are expected during mid-April.

**Renovate IRIS Training Facility**

*Project Description:*

This project will renovate the rear of the 630 S. Broadway building for use as the IRIS Training Facility. This space to be renovated is approximately 3600 square feet. There will be a total of 16 offices, one large and two smaller training/conference rooms. A mezzanine will be constructed at the rear of the space where the floor is depressed. New HVAC systems, lighting and acoustical treatments will also be included. The architect is Omni Architects, and the M/P/E is AFA Engineers. The scheduled completion date is November 2004.

*Project Status:*

Writing surfaces are installed, but signage has not been delivered. Otherwise, project is complete.

**Replace Steam and Condensate Pipe (Limestone Street @ Pedway)**

*Project Description:*

This $1,299,159 project consists of a new utility tunnel under Limestone Avenue adjacent to the Peterson Service Building. There will be new concrete vaults on each side of Limestone Avenue connected to the utility tunnel. ZBA is the design consultant, and the contractor is Finney, Co.

*Project Status:*

All work is complete. Final site work and roadway repairs can not be completed until weather permits in April 2005, as the site and road must be dry to complete that portion of the contract.

**Student Housing Facilities**

*Project Description:*

In an effort to respond to issues related to students living off campus, the University has undertaken efforts to assess its campus housing. Consistent with the recently adopted UK Physical Development Campus Plan 2050 Final Report December 2002, the University is constructing new dormitories with 684 new beds. The dormitories will be configured with suites or semi-suite bedrooms and living areas. The dormitories will be located at sites as identified in the Phase 1 Housing-2010 Campus Plan. New housing will include common areas, student activity spaces, academics, food services, parking and central campus utilities. Completion scheduled for summer 2005.

*Project Status:*

Building #1:

The building is being heated by UK Central campus utilities. The contractor continues to complete interior finishes including carpet, ceiling grid and tiles, painting, and setting of bathroom fixtures and accessories. Flush metal panels installation was delayed due to slow shipping, but installation will begin next week. Interior door and hardware installation will begin next week. Detention basin is nearing completion and some rough grading has begun.

Building #2:

The building is being heated through a temporary centralized heating system. The contractor continues installation of building finishes including ceiling grid, lighting, painting, and bathroom fixtures. Exterior brick is complete and is awaiting installation of exterior metal panels.

Building #3:

Contractor is beginning to provide localized temporary heat. Building windows are complete. Rough-in of electrical and mechanical systems continue as well as exterior brick.

Building #4:

The building is being heated through a temporary centralized heating system. Finishes continue on the east half. In the west half the contractor is beginning to provide localized temporary heat. Building windows are complete. Rough-in of electrical and mechanical systems continue as well as exterior brick. All projects remain on schedule.

**Student Housing - Kirwan/Blanding Commons Accessibility Upgrades**

*Project Description:*

This $555,000 project is part of the Student Housing authority. The Kirwan/Blanding Residence Complex was built prior to the enactment of "The Americans with Disabilities Act." Currently, there are no rooms within the Kirwan/Blanding Complex that accommodate a disabled student. The new Student Housing Facilities, being built east of the Kirwan/Blanding Complex, will be 100% accessible to disabled students. Students residing in the new housing facilities utilize the Kirwan/Blanding Commons for dining and food service; thus, the need arose to renovate the facility prior to completion of the new residential facility. The design consultant is James Potts Architects. Completion is expected by April 2005.

*Project Status:*

Elevator pit work is complete and the construction of the elevator shaft began in mid-March, and will continue through April. The new third floor office suite will complete in early April allowing occupants to move into the space and vacate the space where the elevator shaft will penetrate the third floor. Soffitt wall surfaces on the first floor tested postitive for asbestos. The Kentucky EPA office was notified of the need for asbestos abatement. The 10-day minimum waiting period allowed abatement to begin March 28th.

**Student Housing - Supporting Utility Plant Upgrades (Boiler/Chilled Water)**

*Project Description:*

This project includes expanding the Virginia Ave. CUP to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

*Project Status:*

A.M. Kinney of Cincinnati, the designer of record for the BBSRB Project, completed an analysis of various approaches to implement the project. The study included several program options containing a budget analysis of each option. Facilities and PPD personnel reviewed the study in an attempt to establish the most effective program for expenditure of the available funding. Issues regarding Title V permitting and establishment of infrastructure priorities as well as State budgetary considerations will play into the establishment of the final program. Further progress is dependent on decisions as to proper avenue for expenditure of funding in light of future projects.

**Upgrade Fume Hoods - LC - Life Safety (Research Bldg. #3)**

*Project Description:*

The scope of work performed in this project includes installation of strobic fans, combination eyewash/showers and securing of hood sashes; Installation of sprinklers throughout the building; replacement of 30" X 60" ceiling tiles; and enclosing the 2 stairwells. The total scope of the work shall not exceed $532,000. BCCLT is the engineering consultant for the sprinkler system; Pearson & Peters Architects is designing the stairwell enclosures.

*Project Status:*

Tri-State completed many of the punch items on March 21st; however, we have not received the needed certificates. An outage was schedule for Thursday, March 24 to test the fire alarm and to put the sprinkler system in service. The trench in KY Clinic Drive will be black topped in the spring since the asphalt plants are closed for the season. A PPD work order was issued to provide and install new ceiling tiles. PPD proposed removal of the 1st floor tile and grid and replacing it with 2' X 2' grid and tile. All other damaged tiles throughout the building will be replaced as needed.

**Upgrade Pharmacy Fume Hood I - Life Safety**

*Project Description:*

The scope of work consists of the following: balance each lab, clean the duct system and reheat coils, replace reheat coil control valve, pressure independent controls, remove transfer grilles, rework return fan, remove fans on each floor and install balancing dampers, air handler flow tracking, exhaust fan flow tracking and static pressure control, exhaust fan frequency drives, makeup air unit controls, makeup air unit frequency drives. The existing supply air handling units and lab air terminal units will be retained. The laboratories shall be balanced as negative to the return plenum and negative to other parts of the building. Once the building is properly balanced the airflow dampers are to be manually locked into position creating a fixed air volume scenario and ensuring a negative pressure condition in the labs. The project scope is $750,000, with a construction budget of approximately $460,000. Staggs & Fisher is the consulting Engineer.

*Project Status:*

This project involves replacement of existing VAV boxes with DDC type and upgrades to the temperature control systems in the Pharmacy Building. The construction estimate was $421,935, and the only bid submitted was for $499,000. We determined the best alternative as value engineering and negotiation with the one vendor who submitted a bid.

## CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

### Biomedical /Biological Sciences Research Bldg

Project Number: 1876.0

Scope: $73,467,555

**Project Description:**

BBSRB is a state of the art facility for collaboration in research and graduate education in biological chemistry, genetics, molecular and cellular biology, neuroscience and related fields. This $73M project is designed by A.M. Kinney and ZBA of Cincinnati and Venturi, Scott Brown of Philadelphia.

**Consultant:** A.M. Kinney

| | | | |
|---|---|---|---|
| Contract # A001400 | | Original Contract Amount: | $5,600,000 |
| Total Amendments to Date: | 5 | Cumulative Amendment Amount: | $814,079 |
| **Describe all amendments :** | | Revised Contract Amount: | $6,414,079 |

**Amendment #1,** 12/11/01, an increase of $485,742.00, was approved to provide consultant services to include the addition of a pedestrian bridge to the main campus, expanded Vivarium facilities and the addition of space for an amenity feature. **Amendment #2,** 10/8/02, an increase of $204,750.00, was approved to provide for owner requested changes to the design during the construction document phase and for reimbursable expenses for printing and HB&C plan review fees. **Amendment #3,** 6/10/03, an increase of $95,662, was approved for additional service during the Bidding Process which includes Specification and Bidding of a Boiler for the CUP, Revision of Division 1700 Specifications to current standards and analysis of multiple bid packages. **Amendment #4,** 3/9/04, an increase of $20,425, was approved for additional design services as required to support application for a federal grant. This amendment was also for additional design services to redesign the spine due to the discovery of concealed utilities, and for the redesign of site work to accommodate the demolition of an existing building. **Amendment #5, 03/08/2005, an increase of $7,500.00 was approved for additional design services to upgrade/modify some of the electrical panels as a result of equipment and systems being moved in.**

**Contractor:** Gilbane (Construction Manager At-Risk)

Note: This is 'CM-At-Risk' contract. The contracted amount will be increased as sub-contracts are bid and added. *Gilbane's contract was awarded by RFP process.

| | | | |
|---|---|---|---|
| Contract # X203535 | | Original Contract Amount: | $60,301,023 |
| Total Change Orders to Date: | 240 | Cumulative Change Order Amount: | $2,356,206 |
| Low Bid: | | Revised Contract Amount: | $62,657,229 |
| High Bid: | | Contract Percent Complete: | 99% |
| Number of Bids: | | | |

**Describe all change orders greater than $25,000.00:**

**Change Order #27,** 1/9/03, was approved for $32,683 to delete Adsco steam expansion joints from Specification 15121CUP. After bid, issues were discovered with one of the specified expansion joints, as such this manufacurer was eliminated from specification, resulting in additional cost for alternate joints. **Change Order #40,** 3/14/03 was approved for $72,705 to incorporate revisions to pumping systems and valves. **Change Order #44,** 3/17/03, was approved for $82,037 to change direct buried steam piping Multitherm 500 System Class A. This will provide a more durable protective jacket for steam piping. **Change Order #45,** 3/28/03, was approved for $48,745 to revise circuit breaker specification and to relocate bus differential relays. **Change Order #49,** 4/8/03, was approved for $42,430 to undercut BBSRB ground floor from column line 8 north as required due to unsuitable soils and uneven rock. **Change Order #59,** 5/20/03, was approved for $87,614 because it was deterrmined that certain portions of the plumbing design did not comply with the Kentucky HB&C requirements as interpreted by the reviewer. **Change Order #61,** 5/23/03, was approved for $117,246 to revise the RO/DI water system in the BBSRB to increase the quality of water provided at the labs to a Level II. **Change Order #70,** 6/11/03, was approved for $26,383 to revise routing of ductbanks east of CUP. **Change Order #71,** 6/11/03 was approved for $35,484 to provide additional down leads for the Lightning Protection System required to obtain U.L. Listing. **Change Order #77,** 7/21/03, was approved for $170,684 to revise the electrical switch gear and associated bus ducts. The feeds for the CUP were converted from the 4160V to 480V to accomidate operational safety considerations. **Change Order #89,** 8/22/03, was approved for $112,333, to provide reimbursement for costs incurred as a result of the storm event on May 15, 2003. This was reimbursed thru the Builders Risk Insurace Policy held by the University. **Change Order #109,** 10/21/03, was approved for $36,466 to provide temporary heating . **Change Order #110,** 10/21/03, was approved for $57,460, to provide a resinous flooring system. **Change Order #120,** 11/24/03, was approved for $47,518 to modify ground floor ceiling to a stud frame. **Change Order #121,** 12/3/03, was approved for $25,793 to provide freezer monitoring. **Change Order #125,** 12/9/03, was approved for $40,000 to modify concrete columns at bridge. **Change Order #135,** 1/21/04, was approved for $116,760 for addition of ductwork to animal rooms. This CO provided dedicated exhaust for the caging systems being purchased for the DLAR facilities. Installation of this ductwork reduced the cost of the caging systems in excess of $200,000. **Change Order #136,** 1/21/04, was approved for $25,811 to revise HVAC layout in the Cafe. The space allowed in the original design was not sufficient for the services to be installed. **Change Order #140,** 1/21/04, was approved for $78,477 to provide Energex ceiling on the ground floor. This CO upgraded the ceiling in the DLAR facilities to allow for improved wash down, maintenance and disinfecting operations. **Change Order #148,** 2/24/04, was approved for $30,000 to modify concrete columns at bridge. This CO covers corrective work to adjust concrete column height. The consultant will be back charged for this work as it resulted from incorrect instructions to the contractor. **Change Order #150,** 3/9/04, was approved for $51,606 to provide chain link fencing, gates and accessories. This CO was to have been in site paving package that did not bid until April. The CO was issued to another trade contractor in maintain the construction schedule. **Change Order #153,** 3/30/04, was approved for $57,806 to provide new intake ventilators, painted discharge grill and masonry wall. The original design did not provide sufficient intake air for the emergency generator. **Change Order # 158,** 04/09/04, was approved for $41,023.00 to revise sitework **Change order #161,** 04/16/01, was approved for $34,426 to add additional VAV's and associated ductwork to Imaging Suite. **Change order #163,** 04/28/04, was approved for -$25,203 to credit funds approved for rework of bridge columns. **Change order #226,** 09/15/04, was approved for $31,463 to relocate generator muffler/exhaust to exterior of building. The required size of the generator did not provide required headroom inside the building to install as originally indicated. **Change order #237**, 09/30/04, was approved for $32,968 to provide cabling for elevator communications intercoms from each elevator in the BBSRB to the UK MC dedicated intercom system. **Change Order #270, 03/07/05, was approved for $36,381 to add gravity ventilators and smoke dampers at the elevator equipment rooms.**

## Center for Pharmaceutical & Science Technology (CPST Bldg.)

Project Number: 2163.0

Scope: $12,000,000

**Project Description:**
The CPST operation will be located in the small buildings zone of the Coldstream Research Park. Some of the operation presently located on the 1st floor of the College of Pharmacy building will be relocated to the new operation in Coldstream.

**Consultant:** CE & IC / Lockwood Greene Engineers, Inc.
*Multiple consultants have been hired.
The $ amounts represent the sum of all contracts.

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|---|---|---|---|
| Contract # | A041040 / A051060 | Original Contract Amount: | $1,165,000 |
| Total Amendments to Date: | 1 | Cumulative Amendment Amount: | $17,500 |
| **Describe all amendments :** | | Revised Contract Amount: | $1,182,500 |

**Contract A051060, Amendment #1, 02/08/05, an increase of $17,500.00, was approved to complete conceptual design and a cost estimate for the CPST Lexel Building alternative.**

**Contractor:** Messer Construction
* Messer's contract was awarded by RFP process.

| | | | |
|---|---|---|---|
| Contract # | X404999 | Original Contract Amount: | $8,064,000 |
| Total Change Orders to Date: | 1 | Cumulative Change Order Amount: | -$4,622,955 |
| Low Bid: | | Revised Contract Amount: | $3,441,045 |
| High Bid: | | Contract Percent Complete: | 41% |
| Number of Bids: | | | |

**Describe all change orders greater than $25,000.00:**

**Change Order #1,** 06/18/2004 , was approved for -$4,622,955.00 to reduce the scope of the Design/Build Contract to eliminate the remaining design and construction of the "clean room" areas and building utilities. The manufacturing functions and equipment has changed due to the users' realignment of their processes.' The University determined that redesign of the clean room spaces should be done outside of the design/build contract. **No change orders greater than $25,000 this quarter.**

## Construct Multi Purpose Room (@ Nutter)

Project Number: 2195.0

Scope: $4,000,000

**Project Description:**
This project will provide dining & meeting space along with kitchen facilities to service Commonwealth Stadium suites, concession operators, athlete training table and departmental functions. The facilty will host recruiting functions for all sports and will include vidio and audio specialties that are designed to impress recruits and their families. The building is planned to be an approximate 12,500 square foot addition to the south end of the Nutter Field House. Ross Tarrant is the design architect, teamed with HNTB from Kansas City, MO.

**Consultant:** Ross Tarrant Architects, Inc.

| | | | |
|---|---|---|---|
| Contract # | A041070 | Original Contract Amount: | $431,730 |
| Total Amendments to Date: | 0 | Cumulative Amendment Amount: | |
| **Describe all amendments :** | | Revised Contract Amount: | $431,730 |

**No amendments this quarter.**

**Contractor:**

| | | | |
|---|---|---|---|
| Contract # | No Construction contracts awarded to date. | Original Contract Amount: | |
| Total Change Orders to Date: | | Cumulative Change Order Amount: | |
| Low Bid: | | Revised Contract Amount: | |
| High Bid: | | Contract Percent Complete: | |
| Number of Bids: | | | |

**Describe all change orders greater than $25,000.00:**

**Construct Parking Structure (PS #6 & #7)**

Project Number: 2158.1

Scope: $21,780,000

**Project Description:**

This project will construct two parking structures located on the University of Kentucky central campus. Virginia Avenue and Complex Drive were selected as the sites for these two structures. The structures house approximately 1,300 parking spaces and 10,000 sq. ft. of office space. The structures will serve a mixed use of students, faculty, staff and visitors.

**Consultant:** HNTB

| | | | |
|---|---|---|---|
| Contract # A041060 | | Original Contract Amount: | $939,790 |
| Total Amendments to Date: 1 | | Cumulative Amendment Amount: | $49,900 |
| **Describe all amendments :** | | Revised Contract Amount: | $989,690 |

**Amendment #1, 7/13/04,** an increase of $49,900 was approved for design services and expenses for the re-design of the buildings' facades (materials & elevation design). **No amendments this quarter.**

**Contractor:** Messer Construction Co.

*Messer's contract was awarded by RFP process.

| | | | |
|---|---|---|---|
| Contract # X501385 | | Original Contract Amount: | $18,227,000 |
| Total Change Orders to Date: 7 | | Cumulative Change Order Amount: | $247,100 |
| Low Bid: | | Revised Contract Amount: | $18,474,100 |
| High Bid: | | Contract Percent Complete: | 14% |
| Number of Bids: | | | |

**Describe all change orders greater than $25,000.00:**

**Change Order #2, 01/06/05, was approved for $100,080.00 to provide for changes in the live load structural design of PS #6. Change order #4, 02/23/05, was approved for $195,522.00 to include an additional tennis court and to modify grading and drainage. Change order #5, 02/23/05, was approved for -$51,660.00 to delete the scope of all work associated with the basketball court addition originally planned.**

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**Design Patient Bed Tower - Hospital [Clinical Enterprise Facilities Master Plan]**

Project Number: 2099.0

Scope: $10,000,000

**Project Description:**

The Hospital's present patient tower is approximately 40 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate present anticipated technology. This project will provide the site location evaluation, building programming, planning, and design services for a new bed tower.

**Consultant:** KSA Health Care Consulting Group/GBBN, Inc

*Multiple consultants have been hired.
The $ amounts represent the sum of all contracts.

| | | | |
|---|---|---|---|
| Contract # K04-137/A051130 | | Original Contract Amount: | $978,160 |
| Total Amendments to Date: 1 | | Cumulative Amendment Amount: | $121,000 |
| **Describe all amendments :** | | Revised Contract Amount: | $1,099,160 |

**K04-137, Amendment #1, 01/11/05, an increase of $121,000.00 was approved for additional work for a Traffic Study as well as a Parking Study.**

**Contractor:**

| | | | |
|---|---|---|---|
| Contract # No Construction contracts awarded to date | | Original Contract Amount: | |
| Total Change Orders to Date: | | Cumulative Change Order Amount: | |
| Low Bid: | | Revised Contract Amount: | |
| High Bid: | | Contract Percent Complete: | |
| Number of Bids: | | | |

**Describe all change orders greater than $25,000.00:**

## Electronic Access Rural Demonstration Project College of Law - Phase I

Project Number: 2198.0

Scope: $1,000,000

**Project Description:**
This demonstration project involves the development and installation of electronic access sites in rural locations, an electronic access information hub, and classroom and office improvements in the College of Law. The electronic access sites will provide remote access to the College of Law's virtual reference service and enhanced web site and will include dedicated interactive videoconferencing technology. The project involves upgrades to the College of Law infrastructure and renovation of College of Law facilities.

**Consultant:**

Contract # No Consultant contract awarded
Original Contract Amount:
Total Amendments to Date:
Cumulative Amendment Amount:
**Describe all amendments :**
Revised Contract Amount:

**Contractor:** Denham-Blyth Co. Inc.
*Denham-Blyth's contract was awarded by RFP process.

| | | | |
|---|---|---|---|
| Contract # X404392 | | Original Contract Amount: | $223,525 |
| Total Change Orders to Date: | 8 | Cumulative Change Order Amount: | $24,330 |
| Low Bid: | | Revised Contract Amount: | $247,855 |
| High Bid: | | Contract Percent Complete: | 98% |
| Number of Bids: | | | |

**Describe all change orders greater than $25,000.00:**
**No change orders greater than $25,000 this quarter.**

## Fit Up 4th Floor in BBSRB - Design Phase

Project Number: 2227.0

Scope: $850,000

**Project Description:**
This project will fitup approximately 32,750 square feet in the currently "shelled space" on the fourth floor of the BBSRB Building. The fit-up will include wet bench laboratory space, support space, and dry computational labs. The University has received a federal grant award of $3,685,000 from the National Center for Research Resources Extramual Research Facilities Improvement Program. At the present time we are unable to accurately estimate the final cost of the improvements and therefore are only seeking design authority in the amount of $850,000.

**Consultant:** A.M. Kinney

| | | | |
|---|---|---|---|
| Contract # A051120 | | Original Contract Amount: | $415,000 |
| Total Amendments to Date: | | Cumulative Amendment Amount: | |
| **Describe all amendments :** | | Revised Contract Amount: | $415,000 |
| **No amendments this quarter.** | | | |

**Contractor:**

| | | | |
|---|---|---|---|
| Contract # No Construction contracts awarded to date. | | Original Contract Amount: | |
| Total Change Orders to Date: | | Cumulative Change Order Amount: | |
| Low Bid: | | Revised Contract Amount: | |
| High Bid: | | Contract Percent Complete: | |
| Number of Bids: | | | |

**Describe all change orders greater than $25,000.00:**

**Infrastructure Utility Upgrade Phase I - Hospital Drive Steam Line**

Project Number: 1949.2

Scope: $819,090

**Project Description:**

This project consists of replacing direct buried steam piping under Hospital Drive. Buried piping will be replaced between manholes and in stages. The east steam manhole will be demolished and rebuilt; the west steam manhole will have its roof replaced. Piping will be reworked in both manholes.

**Consultant:** Staggs & Fisher (Amendment to 1949.0 Contract)

| | | | |
|---|---|---|---|
| Contract # A011180 | | Original Contract Amount: | $67,600 |
| Total Amendments to Date: 0 | | Cumulative Amendment Amount: | |
| **Describe all amendments :** | | Revised Contract Amount: | $67,600 |
| **No amendments this quarter.** | | | |

**Contractor:** Lagco

| | | | |
|---|---|---|---|
| Contract # X501578 | | Original Contract Amount: | $699,000 |
| Total Change Orders to Date: | 8 | Cumulative Change Order Amount: | $54,988 |
| Low Bid: $699,000 | | Revised Contract Amount: | $753,988 |
| High Bid: $1,031,477 | | Contract Percent Complete: | 98% |
| Number of Bids: 4 | | | |

**Describe all change orders greater than $25,000.00:**

**Change Order #6,** 12/01/2004, was approved for $34,852.00 to provide intermediate pre-cast vault and revised section of steam pipe for offset over storm line that was found to be higher in elevation than originally anticipated. **No change orders greater than $25,000 this quarter.**

**Main Building Reconstruction (Administration Bldg.)**

Project Number: 2025.0

**Project Description:** Scope: $17,350,000

The Administration Building Reconstruction project involves rebuilding the interior and exterior portions of the building while re-using the exterior masonry wall shell that remained after the May 2001 fire. In general, the President's office and related functions will be housed in the building along with a visitor's center, a smart classroom, public ceremonial space and other administrative offices. All building systems will be new and include state of the art HVAC, electrical, communications, fire/smoke detection and suppression components and will be connected to the central campus utility systems. Structural and architectural systems and details are now being developed but will include new toilets, elevator and other amenities associated with new construction to meet current building codes and accessibility standards. Sitework will include the creation of a Patterson Plaza entrance at the east side of the building and a connecting link to the Gillis Building. Other sitework details are now being programmed.

**Consultant:** James W. Potts Architects

| | | | |
|---|---|---|---|
| Contract # A021160 | | Original Contract Amount: | $841,340 |
| Total Amendments to Date: | 2 | Cumulative Amendment Amount: | $198,780 |
| **Describe all amendments :** | | Revised Contract Amount: | $1,040,120 |

**Amendment #1,** 9/10/02, was approved for $172,000 to provide for an increase in scope for additional design services. The additional services include the design and construction of increased site work that includes re-grading, utilities relocation and installation, roadwork and landscaping to incorporate the reconstruction of the building into the surrounding campus buildings and grounds. **Amendment #2, 02/08/05, was approved for $26,780.00 for additional design services as required for design modifications to office spaces, resulting from personnel changes after completion of the original project design.**

**Contractor:** Messer Construction Company (Construction Manager At-Risk)
Note: This is 'CM-At-Risk' contract. The contracted amount will be increased as sub-contracts are bid and added. *Messer's Contract was awarded by RFP process.

| | | | |
|---|---|---|---|
| Contract # X404996 | | Original Contract Amount: | $12,702,990 |
| Total Change Orders to Date: | 138 | Cumulative Change Order Amount: | $1,048,415 |
| Low Bid: | | Revised Contract Amount: | $13,751,405 |
| High Bid: | | Contract Percent Complete: | 100% |
| Number of Bids: | | | |

**Describe all change orders greater than $25,000.00:**

**Change Order #16,** 7/24/03, was approved for $30,906 .00to add additional storm drainage to the scope. **Change Order #38,** 10/21/03, was approved for $56,544 .00for "test holes "and additional rock associated with interior caissons. **Change Order #87,** 3/10/04, was approved for $36,678.00 for scope modifications in the public restrooms. **Change Order #96,** 04/27/04, was approved for $59,702.00 for changes to second floor South wing (Senate Council suite). **Change Order #97,** 04/27/04, was approved for $47,729.00 for changes to the first floor South wing. **Change order #98,** 04/27/04, was approved for $36,378.00 for changes to Staff Lounge to accommodate commercial equipment for catering functions. **Change order #103,** 05/18/04, was approved for $33,417.00 for Structural and Architectural modifications to the tower. **Change order #117,** 08/12/04, was approved for $29,300.00 to adjust the contractually required time of completion to recognize 50 calender days delay resulting from the redesign of office areas on the 1st, 2nd and 3rd floors to accomodate increased occupancy. **No change orders greater than $25,000.00 this quarter.**

## Memorial Coliseum Expansion

Project Number: 2196.0

Scope: $2,500,000

**Project Description:**

The basketball practice facility is approved for design only for the addition of men's and women's basketball offices, practice facility, weight training, medical support, locker/shower, facilities ticket office, administrative offices, and minor renovation of existing locker rooms with a scope of $2.5 million. The estimated total cost of the facility is $28 million, consisting of the $2.5 million for design plus $25.5 million for construction, which is still awaiting authorization. This project involves the design of a 92,300 square foot Basketball Practice Facility to enhance the University's ability to attract top student athletes. The new facility will alleviate scheduling problems at Memorial Coliseum and will provide amenities in line with other NCAA basketball facilities in the country. The practice facility will be connected to Memorial Coliseum, which is located near the intersection of Lexington Avenue and the Avenue of Champions, and will renovate 7,700 square feet to support volleyball, gymnastics, offices and ticketing.

Sherman-Carter-Barnhart, Inc. is the prime architect for the project. They are working in conjunction with HNTB as the design architect, Staggs and Fisher as the M/E/P engineers, and the Structural Design Group as the structural engineer. Fuller, Mossbarger, Scott and May perform geotechnical and survey work for the project.

**Consultant:** Sherman-Carter-Barnhart/HNTB

| | | |
|---|---|---|
| Contract # A041080 | Original Contract Amount: | $2,000,000 |
| Total Amendments to Date: 0 | Cumulative Amendment Amount: | |
| **Describe all amendments :** | Revised Contract Amount: | $2,000,000 |

**No amendments this quarter.**

**Contractor:** Messer Construction (CM @ Risk)
*Messer's contract was awarded by RFP process.

| | | |
|---|---|---|
| Contract # X502765 | Original Contract Amount: | $178,300 |
| Total Change Orders to Date: | Cumulative Change Order Amount: | |
| Low Bid: | Revised Contract Amount: | $178,300 |
| High Bid: | Contract Percent Complete: | |
| Number of Bids: | | |

**Describe all change orders greater than $25,000.00:**

**No change orders greater than $25,000 this quarter.**

## Modify Nursing Unit XII-Hospital Labor & Delivery

Project Number: 2205.0

Scope: $980,000

**Project Description:**

This project consists of finish upgrades to the Labor and Delivery rooms (LDR) on the third floor of the UK Hospital. The work consists of new solid surface window sills, floor and wall finishes, ceiling tiles, cubical curtains and tracks; relocation of existing medical gas, electrical and communication outlets; and installation of new wall mounted work surfaces and monitor brackets. New fetal heart monitors and a central monitoring system will be purchased and installed, as well as new head walls, procedure lights, entertainment centers and wardrobes. The hospital intends to close two (2) rooms at a time for the work to be performed. The scope is $980,000; $451,440 is the construction estimate with $263,448 budgeted for fixed equipment. Lyle Associates Architects and Staggs & Fisher Engineers are the design consultants.

**Consultant:** Lyle Associates

| | | | |
|---|---|---|---|
| Contract # | A041090 | Original Contract Amount: | $68,000 |
| Total Amendments to Date: | 0 | Cumulative Amendment Amount: | |
| **Describe all amendments :** | | Revised Contract Amount: | $68,000 |

**No amendments this quarter.**

**Contractor:** Jarboe Construction Inc.

| | | | |
|---|---|---|---|
| Contract # | X405018 | Original Contract Amount: | $343,700 |
| Total Change Orders to Date: | 10 | Cumulative Change Order Amount: | $36,510 |
| Low Bid: | $343,700 | Revised Contract Amount: | $380,210 |
| High Bid: | $378,840 | Contract Percent Complete: | 100% |
| Number of Bids: | 4 | | |

**Describe all change orders greater than $25,000.00:**

**No change orders greater than $25,000.00 this quarter.**

## Renovate Commonwealth Stadium Locker Room

Project Number: 2226.0

Scope: $650,000

**Project Description:**

This project will serve to expand and renovate the football team's defensive squad locker room to allow both offense and defense to gather in one space. Currently the two squads have separate locker rooms connected by a passageway. Restroom and shower facilities will be relocated. New HVAC systems, exhaust fans, lockers, ceiling treatments and floor coverings are included in this project.

**Consultant:** James W. Potts Architects

| | | | |
|---|---|---|---|
| Contract # | A051000II Assn #4 | Original Contract Amount: | $30,000 |
| Total Amendments to Date: | | Cumulative Amendment Amount: | |
| **Describe all amendments :** | | Revised Contract Amount: | $30,000 |

**No amendments this quarter.**

**Contractor:** Eubank & Steele Construction

| | | | |
|---|---|---|---|
| Contract # | X503190 | Original Contract Amount: | $580,900 |
| Total Change Orders to Date: | | Cumulative Change Order Amount: | |
| Low Bid: | $580,900 | Revised Contract Amount: | $580,900 |
| High Bid: | $716,207 | Contract Percent Complete: | |
| Number of Bids: | 7 | | |

**Describe all change orders greater than $25,000.00:**

**No change orders greater than $25,000 this quarter.**

## Renovate Football Practice Field - Nutter Training Center

Project Number: 2225.0

Scope: $2,250,000

**Project Description:**

The project will involve implementation of a feasibility study completed in October of 2003 to renovate the football practice fields directly behind the Nutter Training Center. The existing fields are a "soil-based" natural turf (3 total) and the fourth is an artificial turf field. The project will be issued as a design/build RFP to install two (2) "sand-based" natural turf fields, one (1) synthetic turf field and remove the artificial turf covering on the artificial turf field so that it can be used as a parking lot. Miscellaneous associated work will include relocation of the existing camera/observation tower, new irrigation system for natural turf areas, new lighting for synthetic turf areas and adjacent natural turf areas and miscellanous electrical outlets, goal posts sleeves and timing clocks.

**Consultant:** No Consultant contract awarded.

| | | |
|---|---|---|
| Contract # | Original Contract Amount: | |
| Total Amendments to Date: | Cumulative Amendment Amount: | |
| **Describe all amendments :** | Revised Contract Amount: | |

**Contractor:** Sportsfields

*Sportsfields' contract was awarded by RFP process.

| | | |
|---|---|---|
| Contract # X502655 | Original Contract Amount: | $1,850,937 |
| Total Change Orders to Date: | Cumulative Change Order Amount: | |
| Low Bid: | Revised Contract Amount: | $1,850,937 |
| High Bid: | Contract Percent Complete: | |
| Number of Bids: | | |

**Describe all change orders greater than $25,000.00:**

**No change orders greater than $25,000 this quarter.**

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## Renovate IRIS Training Facility

Project Number: 2204.0

Scope: $1,400,000

**Project Description:**

This project will renovate the rear of the 630 S. Broadway building for use as the IRIS Training Facility. This space to be renovated is approximately 3,600 square feet. There will be a total of 16 offices, one large and two smaller training/conference rooms. A mezzanine will be constructed at the rear of the space where the floor is depressed. New HVAC systems, lighting and acoustical treatments will also be included. The scheduled completion date is November 2004.

**Consultant:** OMNI Arhcirtects

| | | |
|---|---|---|
| Contract # A041000P | Original Contract Amount: | $50,000 |
| Total Amendments to Date: 0 | Cumulative Amendment Amount: | |
| **Describe all amendments :** | Revised Contract Amount: | $50,000 |

**No amendments this quarter.**

**Contractor:** Preston Construction Group

| | | |
|---|---|---|
| Contract # X500457 | Original Contract Amount: | $884,500 |
| Total Change Orders to Date: 14 | Cumulative Change Order Amount: | $18,538 |
| Low Bid: $884,500 | Revised Contract Amount: | $903,038 |
| High Bid: $898,000 | Contract Percent Complete: | 100% |
| Number of Bids: 3 | | |

**Describe all change orders greater than $25,000.00:**

**No change orders greater than $25,000.00 this quarter.**

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## Replace Memorial Coliseum Court Lighting

Project Number: 2218.0

Scope: $600,000

**Project Description:**
The existing lighting system over the Memorial Coliseum playing floor is obsolete, and it is presenting a safety concern due to aging fixtures. The new system increases lighting capacity while saving energy costs. This system, similar to the lighting recently installed in Rupp Arena, provides flexibility in lighting levels for the different activities scheduled in the facility. The system can be serviced from the floor level, which eliminates the need to maintain the system from the attic above the floor.

**Consultant:**

| | | | |
|---|---|---|---|
| Contract # | No consultant contract awarded | Original Contract Amount: | |
| Total Amendments to Date: | | Cumulative Amendment Amount: | |
| **Describe all amendments :** | | Revised Contract Amount: | |

**Contractor:** WESCO Distribution Inc.
* WESCO Distribution's contract was awarded by RFP process.

| | | | |
|---|---|---|---|
| Contract # | X501178 | Original Contract Amount: | $409,556 |
| Total Change Orders to Date: | 1 | Cumulative Change Order Amount: | $6,808 |
| Low Bid: | | Revised Contract Amount: | $416,364 |
| High Bid: | | Contract Percent Complete: | 100% |
| Number of Bids: | | | |

**Describe all change orders greater than $25,000.00:**
**No change orders greater than $25,000.00 this quarter.**

## Replace Steam and Condensate Pipe

Project Number: 2145.1

Scope: $1,299,159

**Project Description:**
This project consists of a new utility tunnel under Limestone Avenue adjacent to the Peterson Service Building. There will be new concrete vaults on each side of Limestone Avenue connected to the utility tunnel.

**Consultant:** ZBA

| | | | |
|---|---|---|---|
| Contract # | A031020F | Original Contract Amount: | $32,000 |
| Total Amendments to Date: | | Cumulative Amendment Amount: | |
| **Describe all amendments :** | | Revised Contract Amount: | $32,000 |

**No amendments this quarter.**

**Contractor:** Finney Company, Inc.

| | | | |
|---|---|---|---|
| Contract # | X501255 | Original Contract Amount: | $1,037,300 |
| Total Change Orders to Date: | 12 | Cumulative Change Order Amount: | $84,143 |
| Low Bid: | $1,037,300 | Revised Contract Amount: | $1,121,443 |
| High Bid: | $1,391,370 | Contract Percent Complete: | 98% |
| Number of Bids: | 4 | | |

**Describe all change orders greater than $25,000.00:**
**No change orders greater than $25,000 this quarter.**

**Student Housing Facilities**
Project Number: 2153.0
Scope: $44,170,000

**Project Description:**
In an effort to respond to issues related to students living off campus, the University has undertaken efforts to assess its campus housing. Consistent with the recently adopted UK Physical Development Campus Plan 2050 Final Report December 2002, the University is constructing new dormitories with 684 new beds. The dormitories will be configured with suites or semi-suite bedrooms and living areas. The dormitories will be located at sites as identified in the Phase 1 Housing-2010 Campus Plan. New housing will include common areas, student activity spaces, academics, food services, parking and central campus utilities. Completion scheduled for summer 2005.

**Consultant:** Ayers/Saint/Gross

| | | | |
|---|---|---|---|
| Contract # A031090 | | Original Contract Amount: | $545,000 |
| Total Amendments to Date: | 1 | Cumulative Amendment Amount: | $187,707 |
| **Describe all amendments :** | | Revised Contract Amount: | $732,707 |

**Amendment #1,** 3/9/04, an increase of $187,707, was approved for consultant fees to final review documents from design/builder and provide periodic construction observations. It also covered an increase in reimbursable services to employ a Geotechnical Consultant during foundation construction. **No amendments this quarter.**

**Contractor:** Messer Construction
* Messer's contract was awarded by RFP process.

| | | | |
|---|---|---|---|
| Contract # X404699 | | Original Contract Amount: | $33,775,000 |
| Total Change Orders to Date: | 61 | Cumulative Change Order Amount: | $1,175,843 |
| Low Bid: | | Revised Contract Amount: | $34,950,843 |
| High Bid: | | Contract Percent Complete: | 74% |
| Number of Bids: | | | |

**Describe all change orders greater than $25,000.00:**

**Change Order # 8,** 05/18/04, was approved for $37,340.37 for 15kV feed from manhole to Copperstown transformer. **Change Order #11,** 05/18/04, was approved for $30,018.45 for gas line replacement and relocation. **Change Order #15,** 06/17/04, was approved for $75,900.00 for construction of structural tunnel and manhole as outlined by Fuller, Mossbarger, Scott, and May. **Change Order #18,** 06/29/04, was approved for $48,755.00 for relocation of domestic water and domestic water pit. **Change Order #26,** 08/16/04, was approved for $28,246.94 for addition of walls to enclose the electrical room from the rest of the mechanical room. **Change Orders #28 & #29,** 08/18/04, were approved for finishes in all public areas - $223,000.00 for addition of wood flooring and $64,800.00 for carpet. **Change Order #31,** 08/30/04, was approved for $102,452.00 for hardware changes to install 16 new automated door openers. **Change Order #37,** 10/19/04, was approved for -$30,312.32 as a window credit for changes requested by UK. **Change Order #46,** 12/01/04, was approved for -$86,594.00 for a landscape change/deduct. The landscaping will be coordinated by the UK Grounds department. **Change order #58, 03/08/05, was approved for $39,644.55 for the change from a solid retractable wall to a glass accordian partition wall in the lounges of buildings 1, 2 and 3 for visibility in the lobby classroom area.**

## Student Housing - Kirwan/Blanding Commons Accessibility Upgrades

Project Number: 2153.2

Scope: $555,000

**Project Description:**
This project is part of the "Student Housing Facilities" authority. The Kirwan/Blanding Residence Complex was built prior to the enactment of "The Americans with Disabilities Act." Currently, there are no rooms within the Kirwan/Blanding Complex that will accommodate a disabled student. The new Student Housing Facilities, being built east of the Kirwan/Blanding Complex, will be 100% accessible to the disabled. Students residing in the new housing facilities will utilize the Kirwan/Blanding Commons for dining and food service; thus, the need to renovate the facility prior to completion of the new residence facilities. Completion is expected by April 2005.

**Consultant:** James Potts Architects

| | | | |
|---|---|---|---|
| Contract # | A041110 | Original Contract Amount: | $48,700 |
| Total Amendments to Date: | 0 | Cumulative Amendment Amount: | |
| **Describe all amendments :** | | Revised Contract Amount: | $48,700 |

**No amendments this quarter.**

**Contractor:** Spectrum Contracting Services, Inc.

| | | | |
|---|---|---|---|
| Contract # | X501131 | Original Contract Amount: | $446,555 |
| Total Change Orders to Date: | 2 | Cumulative Change Order Amount: | $14,906 |
| Low Bid: | $446,555 | Revised Contract Amount: | $461,461 |
| High Bid: | $579,786 | Contract Percent Complete: | 23% |
| Number of Bids: | 5 | | |

**Describe all change orders greater than $25,000.00:**

**No change orders greater than $25,000.00 this quarter.**

## Student Housing - Supporting Utility Plant Upgrades

Project Number: 2153.3

Scope: $1,275,000

**Project Description:**
This project includes expanding the Virginia Ave. CUP to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

**Consultant:** AM Kinney

| | | | |
|---|---|---|---|
| Contract # | A041000GG | Original Contract Amount: | $26,315 |
| Total Amendments to Date: | 0 | Cumulative Amendment Amount: | |
| **Describe all amendments :** | | Revised Contract Amount: | $26,315 |

**No amendments this quarter.**

**Contractor:** English Boiler & Tube Inc.
*English Boiler's contract was awarded by RFP process.

| | | | |
|---|---|---|---|
| Contract # | X500192 | Original Contract Amount: | $245,155 |
| Total Change Orders to Date: | | Cumulative Change Order Amount: | |
| Low Bid: | | Revised Contract Amount: | $245,155 |
| High Bid: | | Contract Percent Complete: | 85% |
| Number of Bids: | | | |

**Describe all change orders greater than $25,000.00:**

**No change orders greater than $25,000.00 this quarter.**

**Upgrade Fume Hoods - LC - Life Safety (Research Bldg. #3)**

Project Number: 2201.0

Scope: $532,000

**Project Description:**

The scope of work to be performed in this project includes installation of strobic fans, combination eyewash/showers and securing of hood sashes, installation of sprinklers throughout the building, the replacement of 30" X 60" ceiling tiles, and enclosing of the 2 stairwells.

**Consultant:** Biagi, Chance, Cummins, London and Titzer

| | | | |
|---|---|---|---|
| Contract # | A041020K | Original Contract Amount: | $23,700 |
| Total Amendments to Date: | 0 | Cumulative Amendment Amount: | |
| **Describe all amendments :** | | Revised Contract Amount: | $23,700 |

**No amendments this quarter.**

**Contractor:** Tri State Fire Protection

| | | | |
|---|---|---|---|
| Contract # | X405017 | Original Contract Amount: | $170,000 |
| Total Change Orders to Date: | | Cumulative Change Order Amount: | |
| Low Bid: | $170,000 | Revised Contract Amount: | $170,000 |
| High Bid: | $204,800 | Contract Percent Complete: | 73% |
| Number of Bids: | 3 | | |

**Describe all change orders greater than $25,000.00:**

**No change orders greater than $25,000 this quarter.**

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**Upgrade Pharmacy Fume Hood I - Life Safety**

Project Number: 2135.0

Scope: $705,013

**Project Description:**

The scope of work consists of the following: balance each lab, clean the duct system and reheat coils, replace reheat coil control valve, pressure independent controls, remove transfer grilles, rework return fan, remove fans on each floor and install balancing dampers, air handler flow tracking, exhaust fan flow tracking and static pressure control, exhaust fan frequency drives, makeup air unit controls, makeup air unit frequency drives. The existing supply air handling units and lab air terminal units will be retained. The laboratories shall be balanced as negative to the return plenum and negative to other parts of the building. Once the building is properly balanced the airflow dampers are to be manually locked into position creating a fixed air volume scenario and ensuring a negative pressure condition in the labs. The project scope is $750,000, with a construction budget of approximately $460,000.

**Consultant:** Staggs & Fisher

| | | | |
|---|---|---|---|
| Contract # | A041120 | Original Contract Amount: | $60,000 |
| Total Amendments to Date: | | Cumulative Amendment Amount: | |
| **Describe all amendments :** | | Revised Contract Amount: | $60,000 |

**No amendments this quarter.**

**Contractor:**

| | | | |
|---|---|---|---|
| Contract # | No Construction contracts awarded to date. | Original Contract Amount: | |
| Total Change Orders to Date: | | Cumulative Change Order Amount: | |
| Low Bid: | | Revised Contract Amount: | |
| High Bid: | | Contract Percent Complete: | |
| Number of Bids: | | | |

**Describe all change orders greater than $25,000.00:**

# FCR 32

Office of the President
May 10, 2005

Members, Board of Trustees:

ESTABLISHMENT OF UNIVERSITY HOSPITAL STANDING COMMITTEE

Recommendation: that the Board of Trustees rescind the December 9, 1986, FCR 8 in which the Board authorized the creation of the University Hospital Affiliated Corporation with an effective operational date of July 1, 1987 and rescind the April 7, 1987, FCR 7 which approved the 1987 bylaws of the University Hospital Corporation and the Memorandum of Agreement between the University of Kentucky and the University Hospital. Further, it is recommended that the Board of Trustees establish a University Hospital Standing Committee, adopt the proposed amendments to the Governing Regulations as provided by the PART XIV of the Governing Regulations, and accept the attached resolution.

Background: The Board of Trustees authorized the creation of the University Hospital Affiliated Corporation in 1986 in order to establish a governing body for the University Hospital, as required by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO) and for the possibility of separating hospital operations into a separate 501(c)(3) corporation. The corporation never acquired assets, conducted operations, or obtained a determination letter that it qualified as a 501(c)(3) corporation from the Internal Revenue Service. However, its board has served as the governing body of the University Hospital, functioning as a de facto committee of the Board of Trustees. Moreover, the corporation has filed annual reports with the Kentucky Secretary of State and continues in good standing.

The need for the corporation no longer exits because there are no plans to operate the University Hospital as a separate entity. In addition, the need for the corporation to serve as a governing body of the University Hospital for JCAHO purposes can be met by establishing a Standing Committee of the Board of Trustees to be called the University Hospital Committee with all the powers required of a governing body by JCAHO, utilizing committee operational rules.

Therefore, it is recommended that the University Hospital Affiliated Corporation be abolished, that the above referenced FCR 8 and FCR 7 be rescinded, that the Governing Regulations be amended, as proposed, and that a University Hospital Committee be established as a Standing Committee of the Board of Trustees.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

**PROPOSED GOVERNING REGULATION AMENDMENT PART II**
**5. STANDING COMMITTEES**

i. UNIVERSITY HOSPITAL

Shall serve as a governing body to operate the University Hospital in accordance with the Joint Commission on Accreditation of Health Care Organizations (JCAHO). This responsibility includes: management oversight for the development of policies, rules, and regulations for the governance of the University Hospital. Policies of the Committee are implemented by the Executive Vice President for Health Affairs in carrying out the day-to-day operations of the University Hospital.

The membership of the Committee shall include five members from the Board of Trustees. Each member shall be appointed by the Chair of the Board of Trustees acting upon recommendation of the President of the University. The initial Board of Trustee member appointments to the University Hospital Committee shall be for staggered terms in the following manner: two members for three years, two members for four years and one member for five years. Any Board member appointed thereafter shall serve for three years and may be reappointed for an additional three years. Any vacancy occurring before the expiration of the term of the appointment shall be filled for the unexpired term. A quorum of the Committee members must be present in order to conduct business.

The University Hospital Committee may create sub-committees and shall create committee rules to guide their governance consistent with the Joint Commission on Accreditation of Health Care Organizations (JCAHO).

The University Hospital Committee Chair shall report regularly to the Board.

**RESOLUTION OF THE UNIVERSITY HOSPITAL BOARD ESTABLISHING A STANDING COMMITTEE OF THE BOARD OF TRUSTEES TO BE CALLED UNIVERSITY HOSPITAL COMMITTEE**
**May 9, 2005**

WHEREAS, the University Hospital Board has served as the governing body for the University Hospital as a de facto committee of the Board of Trustees for a number of years; and

WHEREAS, the University Clinical Enterprise, now commonly referred to as UK HealthCare, wishes to implement a long-range strategic plan; and

WHEREAS, the University Hospital Board recognizes that it would be of great assistance in accomplishing this strategic plan if the University Hospital Board was recognized formally as a Standing Committee of the University Board of Trustees;

NOW THEREFORE, BE IT RESOLVED that the University Hospital Board recommends to the University Board of Trustees that a new Standing Committee be established and be called the University Hospital Committee; and

BE IT FURTHER RESOLVED that the University Hospital Board recommends that the University Hospital Committee should include the current Board of Trustee members to begin serving staggered terms in the following manner: two members shall serve for three years, two members shall serve for four years, and one member shall serve for five years. Any Board of Trustee member appointed thereafter shall serve for three years and may be reappointed for an additional three years. Any vacancy occurring before the expiration of the term of the appointment shall be filled for the unexpired term; and

BE IT FURTHER RESOLVED that the University Hospital Board recommends that the Board of Trustees adopt the proposed amendment to the University Governing Regulations to accomplish the above recommendations; and

BE IT FURTHER RESOLVED that the University Hospital Board authorizes the Executive Vice President for Health Affairs of the University to take such actions as are necessary and appropriate to accomplish these recommendations.

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Barbara Young, Chair
University Hospital Board