

## **AGENDA**

### **Meeting of the Board of Trustees University of Kentucky 3:00 P.M. December 14, 1999**

#### **Invocation**

#### **Roll Call**

#### **Approval of Minutes**

#### **President's Report and Action Items**

- PR 1 President's Report to the Trustees
  - A. College of Architecture Report
- PR 2 Personnel Actions
- PR 3 Central Administration
  - A. Proposed Amendments to the Governing Regulations
  - B. Proposed Amendment to the Governing Regulations
- PR 4 Community College System
  - A. Candidates for Degrees – Community College System
- PR 5 Lexington Campus
  - A. Candidates for Degrees – University System
  - B. Appointments/Reappointments to University of Kentucky Business Partnership Foundation
  - C. Appointments/Reappointments to University of Kentucky Equine Research Foundation
  - D. New Degree Title
- PR 6 Medical Center
  - A. Appointment of Dean of the School of Pharmacy
  - B. Appointment of the Director of the Kentucky School of Public Health
  - C. New Academic Degree

#### **Finance Committee**

- 1. Acceptance of Interim Financial Report for the University of Kentucky for the Four Months Ended October 31, 1999
- 2. Report of Lease
- 3. Capital Construction Report
- 4. 1999-2000 Budget Revisions
- 5. College of Dentistry Application Processing Fee
- 6. Resolution Approving a Financing Agreement for Approved Housing and Dining System Projects
- 7. University of Kentucky Community College System Capital Request 2000-2002

#### **Ad Hoc Committee on Board of Trustees Committees**



Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, December 14, 1999.

The Board of Trustees of the University of Kentucky met at 3:00 p.m. (Lexington time) on Tuesday, December 14, 1999 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Mr. Billy Joe Miles, Chairperson, called the meeting to order at 3:00 p.m., and the invocation was pronounced by Ms. Marian Sims.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Ted Bates, Governor Edward T. Breathitt, Mr. Paul W. Chellgren, Mr. James Glenn III, Mr. Merwin Grayson, Mr. John "Jack" Guthrie, Dr. Loys L. Mather, Dr. Robert P. Meriwether, Mr. Billy Joe Miles (Chairman), Dr. Daniel R. Reedy, Mr. C. Frank Shoop, Ms. Marian Sims, Ms. Alice Sparks, Dr. W. Grady Stumbo, Ms. JoEtta Y. Wickliffe, Mr. Russell Williams and Ms. Elaine Wilson. Absent from the meeting were Dr. Elissa Plattner, and Mr. Billy Wilcoxson. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors James W. Holsinger, and Elisabeth Zinser; Vice Presidents Fitzgerald Bramwell, Joseph T. Burch, Ben W. Carr, Edward A. Carter, George DeBin and Eugene Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs, and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 3:05 p.m.

C. Approval of Minutes

Mr. Miles said that the Minutes of the Board meeting on October 26, 1999 had been distributed and asked for any additions or corrections. Mr. Shoop moved that the Minutes be approved as distributed. Mr. Chellren seconded the motion and it carried.

D. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. Four new technology businesses that had their start at the UK Advanced Science and Technology Commercialization Center have set up permanent locations in the Lexington area: Equine Biodiagnostics, Lexington Carbo Company, GenApps, and Tigen Pharmaceuticals. These businesses are making the effort to be additions to the economic development of the state.
2. The University has received a \$17.65 million bid from Host Communications for radio and television broadcasting rights through 2005 for UK football and basketball

games. The bid represents a 53% annual increase over the current contract and continues to be a major factor in keeping our athletics program whole.

3. A UK Hospital surgical team recently performed a heart transplant on a 14-year-old Central Kentucky boy, the youngest patient ever to receive a heart transplant at UK.
4. The Associated Collegiate Press named UK's independent newspaper one of the six best student papers in the nation. The Kernel received the highest honor, the Pacemaker Award, this year after being selected as a finalist last year. The paper last won a Pacemaker Award in 1985.

President Wethington recognized Mat Heron, editor in chief, and his parents; Deanna Mastin, student advertising manager last year; Jim Kuder, Vice Chancellor for Student Affairs; Mark Vanderhoff, Mike Agin, Patsy Martin, Dr. Larry Crouch, Dean David Johnson and Professor Leland "Buck" Ryan, who were in the audience and are involved with the Kernel. He asked them to stand and be recognized, following which they received a round of applause. President Wethington said that this is an honor that is well deserved and noted that Mr. Guthrie wanted to make a comment. He called on Mr. Guthrie for his comments.

Mr. Guthrie said that winning the Pacemaker at the college level is like winning the Pulitzer Prize or the Final Four. Being a journalist, a graduate and a former editor of the Kernel, he takes a great deal of pride in what the college, the school, and the students have done. Mat and Deanna are the types of young persons that the Board wants to continue to attend the University and graduate from the University. Some graduates you might recognize from the Journalism school are Angelo Henderson who won the 1999 Pulitzer Prize and is with the Wall Street Journal; Dick Wilson, whom all of you know is also a graduate of the journalism program; Sam Able, an award winning photographer with National Geographic; Judy Clabes, former editor of the Kentucky Post who now directs the Scripps Howard Foundation; and David Hawkins; and Mike Lyons, a former Kernel editor who is now Moscow Bureau Chief for the Washington Post.

Mr. Guthrie noted that the Kernel has been published for over 100 years dating back to 1892 and has done an outstanding job for many years. He said that President Wethington, Chancellor Zinser, Vice Chancellor Kuder, and the Dean have provided leadership and financial resources to make sure that the School of Journalism and the independent Kentucky Kernel have had a chance to be top 20. He thanked the administration and the Board for recognizing these individuals.

5. President Wethington announced that the University was recently honored by having one of its students honored as a Marshall Scholar. Monica Grant who is a Singletary Scholar at UK has been awarded this very prestigious award. He recognized Ms. Grant in the audience along with Dr. Dan Roland and Dr. Phil Harling, co-chairs of Marshall/Rhodes committee, Kate Johnson, member of the committee, Dr. Richard

Jeffries, chair of the Department of Anthropology, and Dean Howard Grotch, College of Arts and Sciences. He asked them to stand and be recognized, following which they received a round of applause. He congratulated Monica for this wonderful recognition to the University and to her. He also congratulated all of those who helped her, and they received a round of applause.

President Wethington continued his report with PR 2 and said that he would come back to the College of Architecture report.

E. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Dr. Reedy commented to his fellow Board members and to those assembled that about a year ago President Wethington identified funds that have come to the University for six endowed chairs. He said that one of those has already been filled in the College of Fine Arts by a person in the School of Music and another appointee is listed in PR 2. Dr. Enrico Mario Santi, one of the nation's finest scholars in the Hispanic Studies field, will be joining the University in January. He recognized President Wethington's efforts and support as well as those of other constituent groups and wanted it to be known here that the product is being realized. It is not just investment; it is the product that is now beginning to show. He thanked President Wethington for the opportunity.

President Wethington thanked Dr. Reedy for his comments and said he was pleased to recommend approval of PR 2. On motion made by Mr. Bates, seconded by Mr. Shoop and carried, PR 2 was approved. (See PR 2 at the end of the Minutes.).

President Wethington said he would like to update the Board on the progress being made in the deans' search process ongoing at the University of Kentucky. He noted that the Board would see the results of one of the searches later on the agenda. He reported that the searches are moving along very well. With the search process for the Dean of the College of Law, Chancellor Zinser has now interviewed four candidates, and he is delighted with those candidates in the pool. A decision hopefully will be made shortly after the first of the year and a recommendation for a Dean of the College of Law brought to the Board at the January or March Board meeting.

He reported that the other major dean search for the Dean of the College of Business and Economics is also moving. The Search Committee has been appointed, and the advertisements and announcements have been sent out. Candidates have not yet been brought in for interviews, but they will be brought in shortly after the first of the year. Our goal for both of these searches is to have someone approved by the Board and on the job by July 1, 2000. He said he hoped the business and economics dean's search will move along equally as well as the College of Law dean search, but it is a bit behind the law school in terms of schedule.

F. College of Architecture Report

President Wethington said he would like to complete the first part of the Presidents report by asking the Dean of Architecture to present a report about the College. He asked Chancellor Zinser to make comments and introduce Dean David Mohnney.

Chancellor Zinser said she was very pleased to introduce Dean David Mohnney. Dean Mohnney came to the University of Kentucky as Dean in 1994. Prior to that he spent 10 years in private practice in architecture in New York with Chan and Mohnney Architecture. He was educated at Cranbrook School, Harvard College and Princeton University. He has taught architecture at the Institute for Architecture and Urban Design in New York and at Harvard's graduate school of design. He is co-author of a book called "Seaside, making a town in America". He has received the AIA citation for excellence in international architecture books publishing in 1992, and he is currently finishing a manuscript for another book which will be about residential architecture of Philip Johnson. That is scheduled to come out in the fall of 2000.

She reported that Dean Mohnney is in his second term on the Kentucky Board of Architects and was recently elected president. He and his wife, Joan Chan, live in Gratz Park in Lexington with their two sons.

Dean Mohnney gave the following report:

Two thousand years ago, a Roman engineer named Vitruvius defined architecture as an equal balance between 'commodity, firmness, and delight.' Vitruvius also defined the components necessary for the architect's education, among them mathematics, geometry, philosophy, history, rhetoric and engineering. Even two millenia later, these definitions work extraordinarily well.

The College of Architecture at the University of Kentucky strives to provide a true broad-based, humanist education, combining aspects of the natural sciences, social sciences, and the arts. This serves our students well, giving them the abilities to design buildings which fulfill Vitruvius' three precepts: Commodity, that a building should suit its purpose; Firmness, that it should be built to last, and Delight, that it should please all those people who come in contact with it.

The College of Architecture emerged in the late 1950s from an option in the Department of Civil Engineering. The School of Architecture was established in 1965, with the graduation of the first class in the architecture program, and two years later, the school became a college. For the next thirty years, the College of Architecture offered a single professional degree. In 1997, a second degree, in Historic Preservation, was added to the College's programs.

Architecture is licensed as a profession by each state. The licensing procedure typically involves three steps: graduation from an accredited program, three years internship in a professional office, and a passing grade on all components of a national licensing examination. In addition providing the architectural program, the College works with students in securing

employment in offices, and preparation for the licensing exam.

Nationally, 112 universities in 43 states offer accredited programs in architecture. These can be either a Bachelor of Architecture degree or a Masters of Architecture degree; either will suffice for licensing. The National Architectural Accreditation Board accredits these programs for terms ranging from five years to three years to two years (probation). The College's architecture program has always been accredited for a five-year term since its founding. Preservation programs are not accredited.

The College makes use of a selective admissions procedure. Typically, approximately 250 students apply for admission, and about 100 are accepted. Of these, 85 arrive on campus to begin the program. At the present time, we have students from 14 countries on five continents, and 23 states, enrolled. 78% are residents of Kentucky. 33% are female. The average ACT score is 25.83.

The curriculum in architecture is a five-year program, and consists of 176 credit hours. There are substantial components in the history and theory of architecture (27 credit hours) and technology and professional areas (30 credit hours) as well. The design studio is the core of the program, comprising 62 credit hours, through all ten semesters. The studio is a very public educational process, combining the best aspects of teaching, research and service through verbal presentations and public feedback of the work represented.

The preservation program is interdisciplinary, with significant contributions from other university departments such as history, landscape architecture, anthropology, geography, interior design, and archeology. There is an emphasis on design, and the program is widely supported across the Commonwealth. It requires 48 credit hours in the two-year program.

The overall graduation rate in architecture was 71%. Attrition usually occurs in the first year of the program, and this has decreased significantly in the last 4 years. Therefore we expect the overall graduation rate to increase as these classes move through the program. Our graduates work both in Kentucky as well as around the country, in major offices in New York, Chicago, Atlanta, and Los Angeles. Approximately 15% go on to graduate school, at institutions such as Harvard, Columbia, UCLA, Cornell, and other schools.

The College defines its mission as "Poetry and Pragmatics in a Public World." We believe that the highest aspirations of design can be carried to a wide public audience through professional work. Our outreach efforts, combining teaching and research in a service capacity, have increased significantly in the last five years, including downtown design centers in Lexington and Louisville, and projects in other areas of Kentucky such as Clark County, Nelson County, Mercer County, Menifee County, and others. We have also carried out regular off-campus educational programs for our students, including studios in Venice, Paris, Berlin, Japan and India, and Istanbul.

Faculty in the College have been recognized with a number of awards, including an NEH fellowship, international awards, Fellowship in the American Institute of Architects, international publication awards, competition honors, and lectures at major academic institutions

in this country and internationally. The College maintain strong relations with all five professional organizations in architecture, including the AIA (American Institute of Architects), NCARB (National Council of Architectural Registration Boards, and the Kentucky Board of Architects), ACSA (Association of Collegiate Schools of Architecture), AIAS (American Institute of Architects Student organization), and NAAB (National Architectural Accrediting Board). Over the last five years, the College has initiated a major development effort, resulting in significantly increased private giving and the first three endowed faculty positions in the College's history.

In Building Community, the 1997 assessment of professional architectural education carried out by the Carnegie Endowment for Teaching, Ernest Boyer wrote, "The larger purpose of architects is not necessarily to become practitioners and just build, but to become part of a community that enriches society." The College is dedicated to that goal, calling in its strategic plan for expanded research and service that will benefit communities in Kentucky and nationwide; a greater degree of integration of teaching with research and service; revision to the B. Arch degree and consideration of the implementation of an M. Arch degree; and improved facilities.

President Wethington said Dean Mohney would be pleased to respond to any questions.

Governor Breathitt said he wanted to express his appreciation to the Dean for recognizing his responsibility for outreach for the institution that is a land grant institution. Dean Mohney had not been at the University a year until he got him to fly down to Auburn University to see what they were doing with an architect school. They were building homes out of what they could find there, such as bottles, old tires, hay bales etc. That is an example of a fine new dean who is on campus who is innovative and moving ahead. He congratulated Dean Mohney for the things he is doing in Louisville and said the outreach in Louisville is outstanding.

Dean Mohney thanked Governor Breathitt for his support and said Governor Breathitt was not insignificant in making some of that happen.

President Wethington asked for any questions or comments from the Board. He thanked Dean Mohney for a very fine report.

G. Proposed Amendments to the Governing Regulations (PR 3A)

President Wethington said PR 3A is a proposed change to the Governing Regulations which came to the Board at its last meeting October 26<sup>th</sup>. He reminded the Board that the university's rules state that this regulation must stay on the table for a month prior to being considered for approval by the Board. These proposed amendments could be classified as editorial changes rather than being substantive changes. He said he was pleased to bring PR 3A to the Board for consideration for approval. Dr. Mather moved approval of PR 3A. His motion, seconded by Ms. Wilson, carried. (See PR 3A at the end of the Minutes.)

H. Proposed Amendment to the Governing Regulations (PR 3B)

President Wethington said that PR 3B was mentioned at the last Board meeting. At that meeting, he indicated to the Board that he had a proposal from the faculty senate recommending a change in the composition of the joint committee, the committee formed when a search for a president is taking place. Since that time, he had gone to what he thought were the affected bodies and sought input. He said he got input from the Senate Council and had sent a letter to the Community College Council and the Chancellor of the Community College System since the resolution impacted the community college representation on the joint committee. He reported that he got feedback from the Medical Center indicating their interest in some changes in the composition of the joint committee. Generally, he touched all the bases to get input from those interested or impacted.

President Wethington said that his charge was to bring that recommendation to the Board along with his recommendation, noting that is the way the Governing Regulations require that it be done. He said he had re-worked part of the regulation that dealt with the faculty, staff, and student representation in the search process. Those changes are reflected in PR 3B and are brought to the Board for consideration. He called attention to the background information on page 2 of the recommendation and noted the change in composition of the joint committee. He explained that the proposed amendment will add staff and faculty representatives from those important constituent groups to the joint committee. The change in faculty representation will eliminate community college faculty representation since 13 UK community colleges are now managed by the Kentucky Community and Technical College System (KCTCS) and insure representation from the Medical Center faculty. The addition of one student will provide for graduate or professional student representation as well as undergraduate student representation. The addition of two members of the Board will continue the 50-50 balance between Board members and other representatives that currently exists in the composition of the joint committee. This recommendation will increase the size of an already large joint committee. He said it will take the membership from 10 to 14, and he thinks that is a serious issue for the Board to consider. In addition to the increase in number, it will add a student representative from the graduate and professional ranks. Currently, that representative is likely to be an undergraduate student. It will add an alumni member to the joint committee which, in his opinion, is certainly appropriate.

President Wethington said he was bringing this proposed amendment to the Board as he is charged to do. He truly thinks this is a matter and issue for the Board to resolve. Does the Board wish to increase the size of the joint committee, and if in fact they do, what ought to be the composition? If the Board chooses to increase the size, he said he had some recommendations about representation on that joint committee which, in his opinion, would be worthy of the Board's consideration. He said he would like to put this proposed amendment on the table for consideration by the Board.

Dr. Reedy said he would like to make some comments and a motion. He said he would like to make a motion to table action on this item in order to give opportunity for further study and to look at where the composition of the search committee should go at sometime in the



future and not necessarily now. He said he agreed with what the President had proposed in terms of constituent representation and firmly supported that in the discussions that have come along to date. However, he feels that an increase of a committee to a total of 14 reaches the outer limits of manageability. He suggested that the item be tabled indefinitely that there be a group of individuals to look at how a committee should be formulated for future searches. In essence, his recommendation would intend that the Board make no modification in the existing search committee as it currently stands in the Governing Regulations, if in fact that search is to begin in the near future.

Ms. Sparks said she agreed with the President and she agreed that 14 is probably unworkable because the attendance at every meeting is pretty crucial.

President Wethington said that Dr. Reedy had made a motion and asked Ms. Sparks if her comment was a second to Dr. Reedy's motion.

Ms. Sparks replied, "yes."

Mr. Miles said that there could be discussion

Mr. Guthrie agreed that the Board should take a further look at the proposal. The Alumni Association is favored with three individuals already on the Board and hopefully from these three there might be one selected to participate. Fourteen is a whole lot to get together.

Mr. Williams said he would be remiss if he did not express his concern at the tabling of this motion, particularly since the faculty senate was good enough to make recommendation that the community college faculty person be replaced with a non-teaching staff person. The staff are the only constituency group in the university community not represented on the search committee. He said he would be hung out and dried if he did not say this. He voted against the tabling of the proposal for that very reason.

Mr. Bates said the proposal should be reviewed, and as a matter of record, he would oppose an early beginning of the search committee.

Governor Breathitt said the position taken by the students is a valid one. After all, that is the whole reason the Board is there. There are 26,000 students and nearly 7,000 at the Lexington Community College. In his opinion, the student body ought to be represented at least by two, and the Board ought to seriously consider this.

Mr. Glenn said he also had concern about tabling the matter indefinitely. He said he did not have a problem with looking at it. Obviously, this is not an issue the Board wants to take lightly, looking at the future of the University. We want to make sure that the people that are brought in and involved in the presidential search are the best people and make sure that the committee does not get too large. He does, however, think there is a danger in tabling the matter indefinitely. There are a number of constituencies involved to make sure there is more student and staff representation on the committee. He said he felt that tabling it indefinitely would not address the matter. The Board needs to go ahead and take care of the matter as it stands now.



Mr. Guthrie asked Mr. Miles if he could ask the Ad hoc Committee on Board Committees to look at this issue and explore it. He agreed that it should not be tabled indefinitely, but it does need study. He thinks both the staff and student representatives on the Board have good points, and it needs to be looked at.

Mr. Shoop said he did not have a problem with tabling it, but he does think it needs to be sent to the committee for recommendation. He does not believe the Board needs another committee. The Board has enough committees.

Mr. Miles said there was no urgency. The committee will not be named right away. There is a lot of rumors about the search committee and when the search is going to start. He said it would be at least spring before the Board thinks about naming the committee.

Dr. Reedy said he would be glad to modify his recommendation.

Mr. Grayson indicated that an item tabled could be brought back at any point in time.

President Wethington added to the discussion the fact that adding the student and alumni members obviously will increase the size. It was his decision that if in fact the size was going to be increased by those either employed or students of the University that there ought to be an equal addition of members from the Board. Obviously, that is one of the reasons it has gone from 10 to 14. The additional two from the Board is simply to keep the balance that has been there. A tabling like Mr. Grayson indicated could be brought back at any point as he understands.

Mr. Williams said he guessed he needed some clarification. He said that he attended the meeting where the faculty senate passed the resolution to amend the Governing Regulations, and it was simply a replacement of the community college faculty member with the non-teaching staff person. He said the question is, "Where is that resolution? Where is that recommendation?"

President Wethington said that recommendation has been modified into the one that is now in front of the Board (PR 3B). The Board has a copy of the Senate Council recommendation in their packet. His charge is, when a recommendation comes from the University Senate, to bring the recommendation to the Board with his recommendation. PR 3B is his recommendation in response to what the University Senate did. Had there not been such a recommendation, he would not have proposed to have brought forth any such recommendation regarding the joint committee at this time. It was occasioned by the University Senate making a recommendation which he could not ignore.

Mr. Grayson said there was a motion on the floor, and the chair has already indicated that he is not in any great rush to appoint the committee. Therefore, he called for action on the motion.

On motion made by Dr. Reedy and seconded by Ms. Sparks, Dr. Reedy's motion carried.

I. Candidates for Degrees – Community College System (PR 4A)

President Wethington said PR 4A is a recommendation that the degree specified on the attached list be awarded to persons named upon certification that the requirements for those degrees have been satisfactorily completed. He reminded the Board that for a period of time the Board would be awarding degrees to students in the Community College System that complete their degree requirements. He said he was pleased to recommend approval of PR 4A. Mr. Chellgren moved approval. His motion, seconded by Mr. Guthrie, carried. (See PR 4A at the end of the Minutes.)

J. Candidates for Degrees – University System (PR 5A)

President Wethington said that PR 5A is a companion document for the University System. The recommendation is that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled upon certification by the Registrar that the individual has completed the requirements for the degree and as approved by the University Senate. He said he was pleased to recommend the approval of PR 5A. Dr. Reedy moved approval. Mr. Shoop seconded the motion, and it passed. (See PR 5A at the end of the Minutes.)

K. Appointments/Reappointments to University of Kentucky Business Partnership Foundation (PR 5B)

President Wethington said PR 5B is the recommendation that approval be given to the appointment and reappointment of the following members to the Board of Directors of the University of Kentucky Business Partnership Foundation for four-year terms. These individuals on the list were unanimously elected to the Board of Directors at their October 2nd meeting, and the Articles of Incorporation approved by this Board require that these appointments or reappointments come to the University Board of Trustees for final approval. He said he was pleased to recommend the appointment of James F. Hardyman and Michael D. Malone, and the reappointments of Terry N. Coleman, Gary B. Knapp, and Michael R. Whitley to the Business Partnership Foundation. Dr. Reedy moved approval of PR 5B. Ms. Sparks seconded the motion and it carried. (See PR 5B at the end of the Minutes.)

L. Appointments/Reappointments to University of Kentucky Equine Research Foundation (PR 5C)

President Wethington said PR 5C recommends that approval be given to the appointment and reappointment of the following members for four-year terms to the Board of Directors of the Equine Research Foundation. He said he was pleased to recommend the appointment of Mr. John W. Phillips and Mr. David L. Switzer and the reappointments of Mr. Duncan Alexander, Mrs. Margaret Brady Glass, and Sheikh Maktoum. Mr. Bates moved approval of PR 5C. His motion, seconded by Mr. Shoop, passed. (See PR 5C at the end of the Minutes.)

President Wethington mentioned that these appointments were also approved by the Equine Research Foundation at their September 18th meeting and recommended to the Board for approval.

M. New Degree Title (PR 5D)

President Wethington said that PR 5D is a recommendation to the Board for approval of a new degree title, B.S. in Human Nutrition, for a new option in the Food Science Program. This is in keeping with the revision in Nutrition and Food Science which has designed an option in Human Nutrition. This change needs to be made by the Board and the Board's approval is required prior to submitting to the Council on Postsecondary Education. He said he was pleased to recommend PR 5D for approval. Dr. Reedy moved approval. Ms. Wilson seconded the motion, and it carried. (See PR 5D at the end of the Minutes.)

N. Appointment of Dean of the School of Pharmacy (PR 6A)

President Wethington said PR 6A is a recommendation for the appointment of the Dean of the School of Pharmacy. He asked Chancellor Holsinger to comment about the appointment of Dr. Kenneth B. Roberts.

Chancellor Holsinger said it was with a great deal of pleasure that he introduce Dr. Kenneth B. Roberts to serve as Dean of the College of Pharmacy. With the resignation of Dean Jordan Cohen on March 1st of this year, a committee was appointed under the leadership of Dean Leon Assael of the College of Dentistry to search for a Dean of the College of Pharmacy. They performed in an outstanding fashion and have brought forth the name of Dr. Kenneth Roberts as the Dean of the College of Pharmacy. Dr. Roberts has served as the Dean of the School of Pharmacy at the University of Mississippi for over 10 years as well as having served as a professor of pharmacy administration. In addition he has served as a research professor in the Research Institute of Pharmaceutical Sciences as well as its executive director. He holds degrees from the University of Tennessee, a doctorate from the University of Mississippi and has a wonderful background in the whole area of the practice of pharmacy, particularly in the area of rural health care delivery systems. In addition he has strong interests in the area of pharmaceutical science as an area of major interest. We are fortunate to also have in his background that he served as the Chair of the Council of Deans of the American Association of Colleges of Pharmacy from 1997-1998, indicating the respect in which he is held by his peers, the other deans in the Colleges of Pharmacy. He will be coming to the University with the Board's approval on March 1, 2000 to serve as the Dean of the College of Pharmacy. With him will come his spouse, Kitty, and she is a rural family Nurse practitioner. He said he was looking forward to the Board's approval of his appointment so they can relocate to Lexington and be a part of the community.

President Wethington thanked Chancellor Holsinger and said he was pleased to bring to the Board the recommendation that Dr. Kenneth B. Roberts be appointed as Dean of the School of Pharmacy effective March 1, 2000. Dr. Meriwether moved approval of PR 6A. Mr. Grayson seconded the motion, and it passed. (See PR 6A at the end of the Minutes.)

Dean Kenneth Roberts and his spouse, Kitty, were asked to stand and be recognized, following which they received a round of applause.

Mr. Miles said he met Dean Roberts earlier, and he is a very outstanding person.

President Wethington congratulated Dean Roberts and said he was delighted to have him and his spouse join the University of Kentucky. The University of Kentucky has a wonderful Pharmacy School, and he really expects that from Dean Roberts leadership it will get even better in the years ahead.

Dean Roberts indicated he would do his best.

O. Appointment of Director of the Kentucky School of Public Health (PR 6B)

President Wethington said PR 6B is the recommendation that Dr. F. Douglas Scutchfield be appointed Director of the Kentucky School of Public Health effective January 1, 2000. He noted that in October 1998 the University Board created the Kentucky School of Public Health, and it is recommended that Dr. Scutchfield, who is a member of the University of Kentucky staff, be appointed to the position of Director of the Kentucky School. A copy of the agreement between the University of Kentucky and the University of Louisville was distributed at the beginning of the meeting. That agreement reflects the facts. He said the Board may read that agreement and note that the Kentucky School of Public Health is an entity which does involve the University of Louisville; however, it is proper and appropriate the UK Board take action to appoint the Director since the School of Public Health is administered by the University of Kentucky. He said he was pleased to recommend Dr. Scutchfield for the Board's consideration. Mr. Grayson moved approval. Dr. Stumbo seconded the motion, and it carried. (See PR 6B at the end of the Minutes.)

P. New Academic Degree (PR 6C)

President Wethington said that PR 6C is the recommendation that the Board approve the new academic degree, Master of Public Health (MPH). He noted that it will be a professionally oriented degree offered as an option to the Master of Science in Public Health under the same CIP code. The Commonwealth of Kentucky faces major issues of population and community health requiring highly skilled public health practitioners. This program is designed to help meet that need. This degree has been through the Medical Center Academic Council, the Senate Council, and the University Senate. The program still has to be approved by the Council on Postsecondary Education. He said he was pleased to recommend approval of PR 6C. Ms. Wilson moved approval. Her motion, seconded by Governor Breathitt, passed. (See PR 6C at the end of the Minutes.)

Q. Ollie Raymond Remembered

President Wethington reported that Mr. Ollie Raymond of Louisville, Kentucky passed away in October. Ollie was a great friend of the University, and a major contributor, particularly

to the College of Engineering. He asked that it be reflected in the Minutes that the Board has noted the passing of Mr. Ollie Raymond who has done a lot of great things for the University.

R. Other Comments

President Wethington mentioned that the Senate Council had invited the Board and the administration to the King Alumni House following the Board meeting. He asked Roy Moore, who is the outgoing Chair of Senate Council, to officially invite the Board and to introduce his successor who will be the Chair of the Senate Council next year.

Professor Moore introduced the new Chair, Bill Fortune from the College of Law, who will take office June 1<sup>st</sup>. He asked Professor Fortune to stand and be recognized, following which he received a round of applause. Professor Moore said he would be a bit relieved to turn over the Chair position to Professor Fortune, who has been on the Council about two years.

Professor Moore extended an invitation to the Board to attend the holiday reception at the Alumni House following the Board meeting and meet with members of the University Senate. He said he would appreciate their attendance.

President Wethington invited the Board to participate as the University of Kentucky football team goes to the Music City Bowl. He announced that it would be a 4:00 p.m. game in Nashville, Tennessee. Some of the Board members are going down on a one-day bus trip from Lexington and other members will going down and back the same day. He said that there were no activities planned of any kind other than the game itself, since it appeared that few Board members were going to be there overnight. He encouraged the Board to attend the game and celebrate.

S. Acceptance of Interim Financial Report for the University of Kentucky for the Four Months Ended October 31, 1999 (FCR 1)

Mr. Chellgren, Chairperson of the Finance Committee, reported that the Finance Committee had a brief meeting before the Board meeting. There were seven items on the agenda. Many of the Board members were in attendance; therefore, he would review them quickly. FCR 1 is the Interim Financial Report for one-third of the fiscal year for the 12 months. The revenues are some 40% of the budget of \$1,130,081,000 and expenditures are some 34%. The financial condition, both income statements and balance sheets, continues to be very strong. He noted that one item that might be of special interest to the Board is the enormous growth of the endowment over the last 12 months which has grown from 137 million to 242 million. He moved the acceptance of FCR 1. Governor Breathitt seconded the motion, and it passed. (See FCR 1 at the end of the Minutes.)

T. Report of Lease (FCR 2)

Mr. Chellgren said FCR 2 is a report of a lease under \$30,000. He noted that there is just one lease for 60 acres of land for agricultural crop experimentation at an annual rate of \$12,000.

He moved the acceptance of FCR 2. His motion, seconded by Ms. Sparks, carried. (See FCR 2 at the end of the Minutes.)

U. Capital Construction Report (FCR 3)

Mr. Chellgren said that FCR 3 is the quarterly Capital Construction Report. The University continues to be engaged in a major building and capital construction activity. It was pointed out to the Finance Committee that there are currently 158 active projects with some \$230 million worth of anticipated costs for those actual projects. Within the last month or two, there have been a couple of major projects; one at the Medical Center and the Mechanical Engineering Building. He noted that the new Mechanical Engineering building was just awarded, and there was competition among quality builders. On motion made by Mr. Chellgren, seconded by Mr. Williams and carried, FCR 3 was approved. (See FCR 3 at the end of the Minutes.)

V. 1999-2000 Budget Revisions – Central Administration (FCR 4)

Mr. Chellgren said FCR 4 is a conventional item as the fiscal year progresses. The University deals with budget revisions and with total revisions of just under \$3 million in both income and expenditure sides of the ledger. Most of the income amendments relate to higher enrollment at Lexington Community College and the other major item is some additional services for work provided to the Community College System for which the University is being reimbursed. With that, he moved the adoption of FCR 4. Mr. Guthrie seconded the motion, and it passed. (See FCR 4 at the end of the Minutes.)

W. College of Dentistry Application Processing Fee (FCR 5)

Mr. Chellgren said FCR 5 is the acceptance of the \$25 fee for new applicants for the College of Dentistry beginning next academic year. He moved the acceptance of FCR 5. The motion, seconded by Mr. Grayson, carried. (See FCR 5 at the end of the Minutes.)

X. Resolution Approving a Financing Agreement for Approved Housing and Dining System Projects (FCR 6)

Mr. Chellgren said FCR 6 is approving a short-term financing agreement for four approved Housing and Dining System projects. This permits the University to initiate construction using short-term financing before the long-term permanent financing. The bonds are put in place likely sometime next calendar year. Again, these projects have been approved, and this is the short-term financing requirement to fund these four projects. He moved the adoption of FCR 6. Mr. Grayson seconded the motion, and it passed. (See FCR 5 at the end of the Minutes.)

Y. University of Kentucky Community College System Capital Request (FCR 7)

Mr. Chellgren said those Board members who have been on the Board would know that FCR 7 is part of the carve out of the Community College System from the University. Part of the statute is that the Board review and approve capital requests to these physical facilities

Jefferson Community College, Elizabethtown Community College, and Southeast Community College. This is consistent with the requirements of the legislation that the Board continue to approve capital projects in formerly University of Kentucky run and managed community college facilities. He moved the acceptance FCR 7. Dr. Stumbo seconded the motion, and it carried. (See FCR 7 at the end of the Minutes.)

Z. Comments about the Appalachian Center

Mr. Miles said the Board members had received a letter from Dr. Stumbo concerning an issue. He asked Dr. Stumbo to comment on the letter.

Dr. Stumbo said he had spent his entire life working on issues in Eastern Kentucky and many activities at the University get started for lots of reasons. In 1977 the Board of Trustees established the Appalachian Center. That center has really distinguished itself in the region as well as several states. It recently underwent a unit review and the only reason for his concern is that the current leader has resigned. He had a meeting last Tuesday in the region where this was discussed. He said he shared their concerns with Dr. Bramwell about its mission, service, leadership and funding. This is an area that they would be willing to work with those in the region to see that further progress is made. He said he was hopeful that the University will not let this institute die a thousand deaths. Rather, the President will continue to push it forward as he has indicated that he does support the Center. It is difficult being the Appalachian on the Board and being one that has spent his entire life in this area not to get a little bit emotional and a little bit concerned about this particular area. He said he had been assured today through Dr. Bramwell's office and the President's Office that Dr. Bramwell will be willing to work with them and hopefully come to a consensus.

President Wethington said that he received the letter from Dr. Stumbo. He said he indicated to Dr. Stumbo by letter to him that a report would be prepared about the Appalachian Center and sent to him as a first step. He told Dr. Stumbo and the members of the Board that there is no intention of the Board to let the Appalachian Center die on the vine. That is not the case at all. Dr. Ron Eller, the person that has headed that Center for a long time, is stepping down. He is not leaving the University but is stepping down from that position. He is a tenured faculty member in the Department of History, and the University is not losing him. He said he guessed that Dr. Eller simply felt that he had been the leader of the Center long enough. President Wethington reiterated that a report would be prepared and he would like the Board to know that he does not have plans to do in the Appalachian Center. As far as he is concerned the University will be seeking new leadership for the Appalachian Center on a schedule that Dr. Bramwell and he will provide the Board. He said he was pleased to have Dr. Stumbo raise the issue.

AA. Investment Committee Report

Mr. Grayson reported that the Investment Committee met that morning. The University still has performance that outperformed the market. The market reported on the news that the index is up and we are still very pleased with that. He informed the Board members who had not heard that Billy Wilcoxson underwent quadruple bypass surgery five or six weeks ago. He said



he understood that he is making great progress, and the committee members missed him at the meeting.

President Wethington said it was his understanding that Mr. Wilcoxson is doing well, but he obviously would not be back in Lexington for the Board meeting. The surgery occurred in Florida, and his medical attention is being delivered there. He is expected to be there through the Christmas holidays.

Mr. Grayson announced that the next Investment Committee meeting is in March. He explained that the Committee has an asset allocation policy that allows Clay Owen to adjust the asset allocation as necessary to stay within the allocation maximums established by the Committee between meetings of the Investment Committee. Mr. Owen will communicate by telephone with the Committee members as necessary. He said the University's interests are doing well.

Mr. Miles informed the Board that Peggy Way had sent flowers to Mr. Wilcoxson from the Board.

BB. Ad hoc Committee on Board Committees Report

Mr. Miles said the Board had a new committee with a big challenge. He called on Dr. Mather for his report.

Dr. Mather, Chairperson of the Committee, reported that the Committee met earlier in the day and gave a brief progress report on what has occurred with the Ad hoc Committee reviewing the committee structure of the Board. He apologized to the Board for not notifying all of them about the meeting and assured them that it would not happen again. He reported that the meeting was an organizational meeting and noted that all other notification requests were met. He announced that the Committee would be meeting again in early January, and the Board would be notified of the time and location of that meeting.

Dr. Mather said that the Committee members think that this is a fairly sizeable task. It sounded a little more manageable at first but it could be a rather sizeable task as the Committee looks at the Board. As a committee structure on the one hand, we see ourselves moving on one front collecting more information from our benchmark institutions. But in addition, we saw the need to look at ourselves a little more deeply than that. He said that he had been in contact with a group called the Association of Governing Boards. It is the group that puts out the Trusteeship magazine that the Board receives every two months. They are a very professional group. They provide a variety of different kinds of workshops. One of them is a Board self-study. This workshop is based around a survey of the Board. Based on that survey, the facilitator that would lead the workshop works with the Board Chair and the President in shaping the agenda for a workshop. It is basically a workshop designed to have the members of the Board look at themselves as a Board, look at the committee structure, the charge to these committees and so on. He explained that it is very timely and for one thing the University is embarking on its own self-study. President Wethington has sent letters out within the last few days requesting people to serve on various committees. That is going to be a very intense task, and it is going to

consume a fair amount of time of faculty, staff and students over the next year and a half. He said he thought that it sends a good message to the University as a whole that the Board sets the example by letting the campus see that the Board is dealing with it and also examining the board through a self-study.

Dr. Mather said the Committee would meet again in early January and put together a recommendation to bring to the Board at the January meeting in terms of the nature and scope of a Board workshop. This would be a self-study workshop to be led by a professional consultant. Typically, these are former university presidents, and the committee would want to identify someone of that type. It will probably be a workshop to take place sometime in the spring maybe late February or early March but that is a time to be determined later. These workshops usually start at Noon on Friday, allowing a full afternoon for meetings. The evening is relatively open for open discussion times, and the workshops conclude no sooner than mid-day on Saturday. The Committee is enthusiastic about this workshop, and it will bring a proposal to the Board at the January meeting.

Governor Breathitt congratulated Dr. Mather on the in-depth way that that he has looked at this and presented it to the Ad hoc Committee that he is privileged to serve on. He said they were also going to look at the composition of the Board as made up at the benchmark institutions, how they compose the members of the Board and how they structure their committees. He endorsed Dr. Mather's outline and said it was unanimous with the Committee.

#### CC. Comments about Computer System

Mr. Miles indicated that in his role as Chairperson he receives rumors, and he had had a lot of discussion about the computer system and what is going to happen. When you hear the real story, it obviously is not like the rumors. He asked President Wethington for his comments.

President Wethington said he is always glad to deal with rumors. He talked with the Chairman, Vice Chair and Secretary of the Board that morning, and Ben Carr was in that session. He said he had asked Dr. Carr, Gene Williams and George DeBin, who has been actively involved in this as well, to give the Board an update about a change in the administrative computing system at the University at the January Board meeting. He explained that the reason the Board is hearing rumors is that this is a major change, and his staff has been proceeding very cautiously and carefully in approaching the topic. A report will be made at the January meeting because this is a major undertaking that the Board ought to be acquainted with.

#### DD. Other Comments

Ms. Sims informed the Board that the young alumni group had a room at the library where they met with students and gave them food and beverages during final week. She reported that the students were studying really hard.

Mr. Guthrie said he neglected to properly thank one of the most important people within the University that helps the Kentucky Kernel and the yearbook as well as the radio station. That

person is Mike Agin who is Director of Student Media. He also expressed thanks to Patsy Martin, his administrative assistant.

Governor Breathitt called attention to an insert. He said that C. M. Newton paid a very special tribute to President Wethington for the outstanding job he did in negotiating a contract with CBS which negotiated the contract up from \$1 billion to \$6 billion which comes back to the institutions. This was a great honor for President Wethington because he was elected Chairman of the Executive Committee by all the presidents of all the NCAA schools in the country.

President Wethington thanked Governor Breathitt and said he appreciated his comments.

EE. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 4:25 p.m.

Respectfully submitted,

Merwin Grayson  
Secretary  
Board of Trustees

(PR 2, 3A, 3B, 4A, 5A, 5B, 5C, 5D, 6A, 6B, and 6C; FCR 1, 2, 3, 4, 5, 6, and 7 which follow are official parts of the Minutes of the meeting.)

Office of the President  
December 14, 1999

1. Technology Business Startups “Graduate” from ASTeCC

Four new technology businesses that had their start at the Advanced Science and Technology Commercialization Center have set up permanent locations in the Lexington area. Equine Biodiagnostics, which provides diagnostic lab testing worldwide for the equine industry, and Lexington Carbon Company, which develops and manufactures specialty carbon materials, have moved to the Coldstream Research Campus. GenApps, which develops techniques to prevent disease in plants such as tobacco, has relocated to Winchester, and TIgen Pharmaceuticals, which works on selective delivery of drugs to target tissues, has moved to Bolivar Street in Lexington.

2. University Receives Record Bid for New Radio/TV Contract

The University has received a \$17.65 million bid from Host Communications for radio and television broadcasting rights through 2005 for UK football and basketball games. The bid includes a guarantee of \$3.53 million per year and provides an extensive schedule of promotional radio and television spots. The new annual fee represents a 53 percent annual increase over the current contract. Two other bids, each for \$17 million, were submitted for the broadcast rights. The contract is expected to be signed later this month.

3. Hospital Performs Heart Transplant on Youngest Patient

A UK Hospital surgical team recently performed a heart transplant on a 14-year-old Central Kentucky boy, the youngest patient ever to receive a heart transplant at UK. The five-hour surgery was performed November 1 on Joshua Johnson of Montgomery County, who had dilated cardiomyopathy. Juan Sanchez, cardiothoracic surgeon and surgical director of the heart transplant program, and Robert Mentzer, cardiothoracic surgeon and director of the UK Transplant Center, led the surgical team.

4. Real Estate Course Developed by LCC Professor Receives National Award

A continuing education program developed with the help of Lexington Community College professor Sandye Hackney recently won a national award. The Kentucky Real Estate Commission received the award for Best Mandatory Continuing Education Program from the Association of Real Estate License Law Officials. Sandye Hackney, coordinator of LCC's real estate option in the college's business technology program, helped develop the core course and is one of the charter members qualified to teach it.

5. Panhellenic Council Becomes Nation's Most Honored

The UK Panhellenic Council recently received the College Panhellenic Committee Recruitment Award at the National Panhellenic Conference (NPC). The council competed with 32 other universities that have 10 or more sorority chapters. This most recent award gave UK Panhellenic more NPC awards than any other sorority council in the nation.

6. Hospital Receives Award from Donor Program

Kentucky Organ Donor Affiliates presented the UK Hospital with the annual Julie McGee Lamberth Award last month to recognize the hospital's life-saving efforts through organ and tissue donation. The generosity of 27 families at UK Hospital resulted in life-saving transplants for 87 people. About 400 people are on waiting lists in Kentucky.

7. *Kentucky Kernel* Named One of Nation's Best

The Associated Collegiate Press (ACP) named UK's independent newspaper one of the six best student papers in the nation. The *Kentucky Kernel* received the ACP's highest honor, the Pacemaker Award, this year after being selected as a finalist for the award for the second year in a row. The paper last won a Pacemaker Award in 1985.

8. College of Nursing Provides Clinic at Virginia Place

The College of Nursing and Good Samaritan Foundation Inc. have teamed up to provide a primary care clinic for single parents and their children at Virginia Place in Lexington. A nurse practitioner and registered nurse staff the clinic two days a week. In addition, the nurses provide educational programs on healthy habits and drug prevention to the children at the day care center, and they provide health information through brochures and programs for the parents throughout the month.

9. Chairman of Joint Chiefs Praises UK

Gen. Hugh Shelton, chairman of the Joint Chiefs of Staff and chief military adviser to President Clinton, spoke to a capacity crowd November 19 at the Student Center ballroom about the use of diplomacy in resolving conflicts in foreign trouble spots. During the speech, he praised the quality of UK's Patterson School of Diplomacy and International Commerce, comparing it to similar programs at Harvard, Georgetown and Tufts universities.

10. College of Medicine Researchers Receive Grants to Study Neuronal Genes

College of Medicine researchers have been awarded two \$800,000 grants from the National Institutes of Health (NIH) to develop technologies to study the genes that are active in the central nervous system. Only 13 grants were awarded nationwide. Philip Landfield of the Department of Pharmacology leads one UK research team. Timothy McClintock and Douglas McMahon, both of the Department of Physiology, lead the other.

11. Nobel Laureate Receives Arts and Sciences First Alumni Award

William Nunn Lipscomb, the University's only living Nobel laureate, recently received the College of Arts and Sciences first Outstanding Alumni Award. Lipscomb, a 1941 alumnus and 40-year professor at Harvard University spoke to students, faculty and staff during his visit to UK, saying, "I like to see the University of Kentucky prosper, and I think that it is on the way up." Lipscomb won the Nobel Prize for Chemistry in 1976.

12. Two Named to Social Work Hall of Fame

Doris Wilkinson, a UK sociology professor, and Elizabeth DuMez, a 1962 alumna, were inducted November 10 into the College of Social Work Hall of Fame. Wilkinson was one of the first African-American students to study in UK's undergraduate social work program, graduating in 1958. DuMez recently retired as manager of the Office of Ethics and Adjudication of the National Association of Social Workers.

13. Transportation Hall of Fame Inducts Edgar Gaither Jr.

Edgar Basil “Bas” Gaither Jr., a 1952 UK civil engineering alumnus, was inducted November 29 into the Kentucky Transportation Hall of Fame. Gaither, whose first job was with the Kentucky Department of Highways beginning in 1947 and who later helped survey for Interstate 64 in the 1950s and 1960s, became the ninth member of the Transportation Hall of Fame. He is the regional manager of American Consulting Engineers and lives in Fayette County.

14. High School Students Tour Research Centers

Lexington high school science students got an inside look at research at UK during the third annual program sponsored by the office of the vice president for Research and Graduate Studies. More than 80 seniors from Paul Laurence Dunbar and Bryan Station high schools visited labs at the Gluck Equine Research Center, the Graduate Center for Biomedical Engineering and the Outreach Center for Science and Health Career Opportunities.

15. Minority Program Honored by Post Office

The Minority College Awareness Program (MCAP) will be honored this month with a special U.S. Post Office stamp cancellation. The cancellation will include the MCAP logo and will originate from post office branches in Lexington. The special cancellation was unveiled at MCAP’s Kwanzaa celebration.

16. Student Awards and Honors

Beatrice Burton, Nursing, Ann Cummins, Personnel Services, Annie Davies, Kinesiology and Health Promotion, Suzanne Drungle, Clinical Psychology, Cynthia Ingle, Education Psychology, David Layman, Counseling Psychology, Gail Peitzmeier, Kinesiology and Health Promotion, and Eric Stephens, Experimental Psychology, received the Graduate Certificate in Gerontology.

Ariel Drogin, Radiation Medicine, received a Student Travel Grant from the National Institute of Standards and Technology.

Chris Freeman, Dentistry, won the Student Clinicians of the American Dental Association competition and also won Most Outstanding Presentation in Basic Science Research at the Hinman Student Research Symposium.

Stephanie Bush Miller and David Mushlin, Law, won the first-ever intrastate mock trial competition in November.

The UK Jazz Ensemble, which includes 23 undergraduate and graduate music students, will perform this month at Chicago’s Midwest International Band and Orchestra Clinic, the largest conference in the music industry.



## 17. Significant Faculty and Staff Activities

Paul Bachner, Pathology and Laboratory Medicine, was chosen president of the American College of Pathologists.

George E. Blandford, Civil Engineering, was elected director of the American Society of Civil Engineers.

Vicky Bradley, Nursing, received the Pillar of the Emergency Nurses Association Foundation Award.

Paula Burdette, Interdisciplinary Human Development Institute, will have an article on educational consultation published in *Education and Training in Mental Retardation and Developmental Disabilities*.

Pat Burkhardt, Nursing, won Best Research Poster at the Pediatric Nursing Annual Conference.

Hazel Chappell and Marcia Stanhope, Nursing, had an article, "Nursing Law Violations: A Threat to Competent and Safe Nursing Practice," published in the *Journal of Nursing Administration's Healthcare Law, Ethics, and Regulation*.

Thomas Clayton, English, received the first-ever Fulbright Scholarship to Cambodia, to study how Cambodians perceive the nation's push to learn French and English as opposed to the Russian and Vietnamese that were predominant foreign languages under communism.

Vonnie Colvin, Education, had a book, *Teaching the Nuts and Bolts of Physical Education: Building Basic Movement Skills*, published by Human Kinetics.

Diane Davey, Pathology and Laboratory Medicine, was elected president of the American Society of Cytopathology.

John Erickson, French, had an article, "Translating the Untranslated: Djébar's *Le Blanc de l'Algerie*," published in *Research in African Literatures*.

Mark Farman, Tim Phillips and David Williams, Plant Pathology, received a three-year, \$75,000 grant from the United States Golf Association to develop gray leaf spot resistant perennial Ryegrass through breeding and biotechnological approaches.

Brent Garrett, Interdisciplinary Human Development Institute, received a \$92,120 grant from the Department of Vocational Rehabilitation to administer the Community Based Work Transition Program and a \$33,944 grant from the Kentucky Department of Education to provide training for the program.

Craig Grimes, Electrical Engineering, received a \$210,000 National Science Foundation CAREER Award to help develop sensors that could be used for such things as monitoring glucose levels.

Patricia B. Howard, Nursing, received a \$197,314 grant from the Department of Health and Human Services to help implement the Mental Health Statistics Improvement Program Consumer-Oriented Report Card and to develop a new system for collecting outcome data on mental health services statewide.

Arthur Hunt, Agronomy, and Christopher Lawrence and Qingshun Li, Tobacco and Health Research Institute, will have results of a study that shows a particular gene can reduce blue mold in tobacco published in *Plant Molecular Biology*.

Robert Ireland, History, and Kate Johnson, Honors Program, were named Outstanding Advisers by the offices of the Lexington Campus chancellor and dean of Undergraduate Studies.

David S. Maehr, Forestry, will edit *Large Mammal Restoration: Sociological and Ecological Considerations* for Island Press.

John Main, Mechanical Engineering, received a \$163,000 National Science Foundation CAREER Award to help develop a way to unfold huge mirrors in space in order to make larger space telescopes.

Elizabeth McGee, Obstetrics and Gynecology, won first place in the Research Career Development awards from the American Society of Reproductive Medicine.

Joanne M. Olson-Biglieri, Lexington Community College, was appointed to the Community College Commission of the American Association of Teachers of French.

Julia Porter, Appalachian Center, and Stephan Wilson, Family Studies, received a \$17,990 grant from the Appalachian Regional Commission to develop and implement a curriculum in Appalachian cultural competency.

James Rinehart, Education, received the Paula Silver Case Award from the University Council for Educational Administration.

Edyth Tevelson-Lach, Medicine, received the Association of American Medical Colleges' Women in Medicine Leadership Development Award.

John Thelin, Education, was chosen president of the National Association for the Study of Higher Education.

Willem de Villiers, Digestive Diseases and Nutrition, received the Atorvastatin Research Award.

Robert Walker, Center on Drug and Alcohol Research, received a \$930,000 grant from the Center on Substance Abuse Treatment to expand treatment in three Eastern Kentucky counties.

Phyllis Wise, Physiology, received the Robert W. Kleemeier Award from the Gerontological Society of America.

# PR 2

Office of the President  
December 14, 1999

Members, Board of Trustees:

## PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

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Action taken:



Approved

☐ Disapproved

☐ Other

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## **CENTRAL ADMINISTRATION**

### **I. BOARD ACTION**

#### **A. RETIREMENTS**

##### **Vice President for Fiscal Affairs**

Abney, Clyde T., Custodial Worker, Physical Plant Division after 7 years, 2 months of consecutive service, under AR II-1.6-1 Section III.B, effective 11/30/99.  
Snodgrass, Walter, Custodial Worker, Physical Plant Division, after 14 years, 4 months of consecutive service, under AR II-1.6-1 Section III.B, effective 11/30/99.

##### **Vice President for Research and Graduate Studies**

Pigue, Lucille G., Staff Support Associate II, Interdisciplinary Human Development Institute, after 20 years, 4 months of consecutive service, under AR II-1.6-1 Section III.B, effective 12/30/99.

#### **B. EARLY RETIREMENTS**

##### **Vice President for Fiscal Affairs**

Sanford, William C., Electrician Supervisor, Physical Plant Division, after 33 years, 8 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 1/3/00.  
Stivers, Robert M., Accounting Manager, Sponsored Projects Accounting, Office of Controller and Treasurer, after 31 years, 8 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 3/31/00.

##### **Vice President for Information Systems**

Adams, Jo Ann, Graphic Design Tech., Printing Services, after 25 years, 6 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 12/30/99.  
Wells, Gary, L., Postal Worker, Postal Services, after 31 years, 10 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 1/4/00.

##### **Vice President for Research and Graduate Studies**

Berdine, Patricia M., Disability Program Specialist II, Interdisciplinary Human Development Institute, after 22 years, 10 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 1/3/00.

Vice President for University Relations

Rhorer, Carolyn K., Data Entry Operator, UK Alumni Association, after 17 years, 4 months of consecutive service and a total of 26 years, 5 month of service, under AR II-1.6-1 Section III.A.2, effective 1/3/00.

**II. ADMINISTRATIVE ACTION**

**A. ADMINISTRATIVE APPOINTMENTS**

Vice President for Fiscal Affairs

Sharp, Jack Y., Information Technology Manager III, Technical Support Services, Office of Fiscal Affairs, effective 11/8/99.

Vice President for University Relations

Higgins-Hord, Lisa, Director of Community Relations, effective 12/1/99.

**B. CHANGES**

Vice President for Fiscal Affairs

Harman, Kathy J., from Retirement Officer Senior to Benefits Customer Service Manager, Human Resource Services, effective 10/1/99.

Sampson, John R., from Manager/Disability Benefits to Benefits Customer Service Manager, Human Resource Services, effective 10/1/99.

**C. LEAVES OF ABSENCE**

Vice President for Information Systems

Cox, Bonnie Jean, Librarian I (with tenure), University Libraries, sabbatical leave with full pay, effective 1/1/00 through 6/30/00.

## LEXINGTON CAMPUS

### I. **BOARD ACTION**

#### A. **ACADEMIC APPOINTMENTS**

##### College of Architecture

Domer, Dennis, Professor (with tenure), Architecture, effective 1/1/00.

Nelson, Ralph, Associate Professor (temporary), Architecture, 8/16/99 through 6/30/00.

##### College of Arts and Sciences

Santi, Enrico M., Professor (with tenure), Spanish and Italian, Endowed Chair of Hispanic Studies, effective 1/1/00.

Symonds, Peter A., Visiting Associate Professor, Mathematics, 1/1/00 through 6/30/00.

##### College of Business and Economics

d'Arge, Ralph C., Adjunct Professor, Economics, 10/15/99 through 6/30/00.

##### Lexington Community College

Revell, Leana L., Assistant Professor, Lexington Community College, 11/1/99 through 6/30/00.

#### B. **ADMINISTRATIVE APPOINTMENTS**

##### Lexington Community College

Revell, Leana L., Assistant Professor, Lexington Community College, appointed Dean of Academic Affairs, Lexington Community College, effective 11/1/99.

#### C. **JOINT APPOINTMENTS**

##### College of Business and Economics

Hoyt, William H., Associate Professor (with tenure), Economics, joint appointment as Associate Professor (without tenure), Martin School of Public Policy and Administration, 1/1/00 through 12/31/02.

## **D. PROMOTIONS**

### College of Agriculture

Arthur, Mary, from Assistant Professor, Forestry, to Associate Professor (with tenure), Forestry, effective 1/1/00.

## **E. CHANGES**

### Office of the Chancellor

Floyd, Deborah, from Associate Professor (without tenure), Prestonsburg Community College, to Executive Assistant for Special Projects, Office of the Chancellor, 11/16/99 through 11/15/00.

## **F. RETIREMENTS**

### College of Arts and Sciences

van Winter, Clasine, Professor (with tenure), Physics and Astronomy, after 31 years and 6 months of consecutive service, under Section III.B of AR II-1.6-1, effective 12/31/99.

### College of Business and Economics

Grimes, Andrew J., Professor (with tenure), Management, after 31 years of consecutive service, under Section III.B of AR II-1.6-1, effective 6/30/99.

### Office of International Affairs

Davis, Ignacia S., Staff Support Associate II, International Affairs, after 15 years and 4 months of consecutive service, under Section III.B of AR II-1.6-1, effective 1/3/00.

### Vice Chancellor for Student Affairs

Hammond, Pauline, Office Assistant, Residence Life, after 24 years and 11 months of consecutive service, under Section III.B of AR II-1.6-1, effective 7/26/99.

## **G. EARLY RETIREMENTS**

### College of Agriculture

Bowman, Rachel, M. EFNEP Assistant, Home Economics Extension, after 25 years and 4 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 8/31/99.



College of Arts and Sciences

Pettit, Marlene R., Administrative Support Associate I, Sociology, after 29 years and 3 months of consecutive service and a total of 31 years and 3 months of service, under Section III.A.2 of AR II-1.6-1, effective 1/3/00.

College of Fine Arts

Hoffman, Donald H., Professor (with tenure), Art, after 23 years and 5 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 12/31/99.

**H. POST-RETIREMENT APPOINTMENTS**

College of Engineering

Shippy, David J., Professor (part-time), Mechanical Engineering, 1/1/00 through 6/30/00.

**II. ADMINISTRATIVE ACTION**

**A. ACADEMIC APPOINTMENTS**

College of Agriculture

Montross, Michael D., Assistant Professor, Biosystems and Agricultural Engineering, 11/15/99 through 6/30/00.

College of Architecture

Pettit, Van Meter, Instructor (part-time), Architecture, 8/30/99 through 12/31/99.

College of Communications and Information Studies

Osborne, Anthony Phillip, Instructor (part-time), Journalism and Telecommunications, 8/16/99 through 12/31/99.

Preston, Tommy L., Instructor (part-time), Journalism and Telecommunications, 9/1/99 through 9/30/00.

College of Social Work

Hendrix, Sarah K., Instructor (part-time), Social Work, 1/1/00 through 5/15/00.  
Pike, Marilyn A., Instructor (part-time), Social Work, 1/1/00 through 5/15/00.

Lexington Community College

DePriest, Don, Instructor (voluntary), Lexington Community College, 10/18/99 through 6/30/02.

Dingee, Ginger, Instructor (voluntary), Lexington Community College, 10/18/99 through 6/30/02.  
Martin, Don, Instructor (voluntary), Lexington Community College, 10/1/99 through 6/30/02.  
Martin, Shelby, Instructor (voluntary), Lexington Community College, 10/18/99 through 6/30/02.  
McCary, Chaz, Instructor (voluntary), Lexington Community College, 10/1/99 through 6/30/02.  
Murphy, Mark, Instructor (voluntary), Lexington Community College, 10/1/99 through 6/30/02.  
Ritchie, Cindy, Instructor (voluntary), Lexington Community College, 10/18/99 through 6/30/02.  
Spickard, Frances R., Instructor (voluntary), Lexington Community College, 10/1/99 through 6/30/02.  
Thompson, Nancy, Instructor (voluntary), Lexington Community College, 10/18/99 through 6/30/02.  
Whitt, Tamara, Instructor (voluntary), Lexington Community College, 10/18/99 through 6/30/02.  
Young, Pamela, Instructor (voluntary), Lexington Community College, 10/1/99 through 6/30/02.

## **B. ADMINISTRATIVE APPOINTMENTS**

### College of Agriculture

Harrison, Lenn, Professor\* (with tenure), Veterinary Science, appointed Acting Chair, Veterinary Science, 11/15/99 through 6/30/00.

## **C. REAPPOINTMENTS**

### College of Arts and Sciences

Ladipo, Folami, Assistant Professor, Chemistry, 1/1/00 through 12/31/01.  
Slaymaker, Douglas, Assistant Professor, Russian and Eastern Studies, 1/1/00 through 12/31/01.  
Yang, Dong-Sheng, Assistant Professor, Chemistry, 1/1/00 through 12/31/01.

### College of Communications and Information Studies

Dotson, Bill, Instructor (part-time), Journalism and Telecommunications, 8/16/99 through 6/30/00.

### College of Engineering

Goble, Christine A., Assistant Professor (part-time), Civil Engineering, 1/1/00 through 12/31/00.

Rao, Prasada, Visiting Assistant Professor, Civil Engineering, 1/1/00 through 12/31/00.

College of Social Work

Hazlette, Margaret, Instructor (part-time), Social Work, 8/16/99 through 5/15/00.  
Wilson, Patricia R., Instructor (part-time), Social Work, 8/16/99 through 5/15/00.

Lexington Community College

Hutton, Leslie M., Instructor (temporary), Lexington Community College, 11/1/99 through 12/31/99.

**D. LEAVES OF ABSENCE**

College of Agriculture

Arthur, Mary, Associate Professor (with tenure), Forestry, Sabbatical leave with full salary, 1/15/00 through 6/14/00.  
Hustedde, Ron, Associate Extension Professor (with tenure), Rural Sociology, Sabbatical leave with full salary, 1/3/00 through 7/2/00.

College of Arts and Sciences

Clayton, Thomas, Assistant Professor, English, Scholarly fellowship leave with partial pay, 1/1/00 through 6/30/00.  
Slaymaker, Douglas, Assistant Professor, Russian and Eastern Studies, Scholarly fellowship leave with partial pay, 1/1/00 through 12/31/00.  
Superson, Anita M., Associate Professor (with tenure), Philosophy, Sabbatical leave with half salary, 7/1/00 through 6/30/01.

College of Education

Crystal, Ralph, Associate Professor (with tenure), Special Education and Rehabilitation Counseling, Sabbatical leave with full salary, 1/1/01 through 6/30/01.  
Goldstein, Beth, Associate Professor (with tenure), Educational Policy Studies and Evaluation, Sabbatical leave with half salary, 7/1/00 through 6/30/01.

College of Fine Arts

Gray, Harold R., Jr., Professor (with tenure), Music, Sabbatical leave with full salary, 1/1/00 through 6/30/00.

College of Human Environmental Sciences

McCulloch, Jan, Associate Professor (with tenure), Family Studies, Sabbatical leave with full salary, 1/1/00 through 6/30/00.

Turner, William L., Associate Professor (with tenure), Family Studies, Sabbatical leave with full salary, 1/1/00 through 6/30/00.

Wilson, Stephan, Professor (with tenure), Family Studies, Sabbatical leave with half salary, 7/1/00 through 6/30/01.

**E. LEAVES OF ABSENCE CHANGES**

College of Architecture

Levine, Richard, Professor (with tenure), Architecture, to change from Sabbatical leave with half salary, 7/1/99 through 6/30/00, to Sabbatical leave with full salary, 7/1/99 through 12/31/99 and Scholarly fellowship leave, 1/1/00 through 6/30/00.

**MEDICAL CENTER**

**I. BOARD ACTION**

**A. ACADEMIC APPOINTMENTS**

**College of Medicine**

Doherty, Dennis E., Professor (with tenure), Internal Medicine, named to the Bayer Professorship in Pulmonary Medicine, effective 1/1/00.

McClintock, Timothy S., Associate Professor (with tenure), Physiology, named to the Thomas Skinner Professorship, effective 1/1/00.

Scutchfield, F. D., Professor (with tenure), Preventive Medicine and Environmental Health, joint appointments as Professor (without tenure), Family Practice, Professor (without tenure), College of Allied Health Professions, Department of Health Services, and Professor (without tenure), The Graduate School, Martin School of Public Policy and Administration, named Director, Kentucky School of Public Health, effective 12/1/99.

Solomon, Stephen E., Clinical Associate Professor, Ophthalmology, 12/15/99 through 6/30/00.

**College of Pharmacy**

Roberts, Kenneth B., Professor (with tenure), effective 3/1/00.

Shackleford, Diane, Clinical Assistant Professor, 12/15/99 through 6/30/00.

**B. ADMINISTRATIVE APPOINTMENTS**

**College of Allied Health Professions**

Page, Judith L., Associate Professor (with tenure), Health Services, named Chair, Department of Health Services, 8/1/99 through 7/31/03.

**College of Medicine**

Geddes, James W., Associate Professor (with tenure), Anatomy & Neurobiology, named Director, Spinal Cord and Brain Injury Research Center, 11/1/99 through 10/31/02.

**College of Pharmacy**

Roberts, Kenneth B., Professor (with tenure), named Dean, effective 3/1/00.

### **C. ADMINISTRATIVE REAPPOINTMENTS**

#### College of Medicine

Mohiuddin, Mohammed, Professor (with tenure), Radiation Medicine, joint appointment as Professor (without tenure), College of Allied Health Professions, Department of Clinical Sciences, named Chair, Department of Radiation Medicine, 1/10/00 through 1/9/06.

### **D. CHANGES**

#### College of Medicine

Simmons, Guy H., from Professor (part-time), Diagnostic Radiology, to Professor (part-time), College of Allied Health Professions, Department of Health Services, joint appointment as Professor (part-time), College of Medicine, Department of Diagnostic Radiology, 7/1/00 through 6/30/01.

### **E. LEAVES OF ABSENCE**

#### College of Dentistry

Armentrout, Timothy M., Clinical Associate Professor, Oral Health Practice, miscellaneous leave at full salary, 11/1/99 through 2/28/00.

### **F. EARLY RETIREMENTS**

#### Chancellor's Office

Preston, Wendell, Refrigeration Technician II, Physical Plant Division, after 12 years, 3 months of consecutive service and a total of 25 years, 10 months of service, under AR II-1.6-1, Section III.A.2, effective 1/3/00.

#### Office of Communication

Fryman, J. Leslie, Electronic Technician III, Audio Visual Services, after 31 years, 3 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/7/00.

Reed, Donna K., Staff Support Associate II, Administration, after 29 years, 7 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 11/22/99.

#### University Hospital

Washington, Rosemary B., Administrative Associate I, U.K. HomeCare, after 29 years, 4 months of consecutive service and a total of 30 years, 2 months of service, under AR II-1.6-1, Section III.A.2, effective 11/10/99.

## II. ADMINISTRATIVE ACTION

### A. ACADEMIC APPOINTMENTS

#### College of Allied Health Professions

Chirravuri, Veerabhadra, Assistant Professor (voluntary), Clinical Sciences, 12/15/99 through 6/30/00.

Newton, Ronald, Assistant Professor (voluntary), Health Services, 12/15/99 through 6/30/00.

#### College of Medicine

Bernardon, Stephen O., Assistant Professor (voluntary), Emergency Medicine, 12/15/99 through 6/30/00.

Bracken, David L., Assistant Professor (voluntary), Emergency Medicine, 12/15/99 through 6/30/00.

Hardie, Jennifer A., Assistant Professor (voluntary), Pediatrics, 12/15/99 through 6/30/00.

Kukulinsky, Nancy E., Assistant Professor (voluntary), Internal Medicine, 12/15/99 through 6/30/00.

Kushman, Susan, Assistant Professor (voluntary), Emergency Medicine, 12/15/99 through 6/30/00.

Lichenstein, Philip K., Assistant Professor (voluntary), Pediatrics, 12/15/99 through 6/30/00.

Mills, Harry F., Assistant Professor (voluntary), Emergency Medicine, 12/15/99 through 6/30/00.

Perazzo, Dianna, Assistant Professor (voluntary), Emergency Medicine, 12/15/99 through 6/30/00.

Ruschman, Eric, Assistant Professor (voluntary), Emergency Medicine, 12/15/99 through 6/30/00.

Scarpa, Philip J., Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 12/15/99 through 6/30/00.

Sellitti, Marguerite A., Assistant Professor (part-time), Radiation Medicine, 10/11/99 through 6/30/00.

Smith, K. L., Assistant Professor (voluntary), Emergency Medicine, 12/15/99 through 6/30/00.

Stafford, William, Assistant Professor (voluntary), Family Practice, 12/15/99 through 6/30/00.

Wardrop, Pell A., Assistant Professor (voluntary), Surgery, 12/15/99 through 6/30/00.

Whalen, Mark J., Assistant Professor (voluntary), Family Practice, 12/15/99 through 6/30/00.

Williams, Joseph P., Assistant Professor (voluntary), Family Practice, 12/15/99 through 6/30/00.

College of Nursing

Lowry, Regina C., Lecturer, 1/3/00 through 6/30/00.

Rexford, Tracy L., Instructor (part-time), 1/26/00 through 6/30/00.

Svavarsdottir, Erla K., Assistant Professor (temporary), 1/15/00 through 6/30/00.

College of Pharmacy

Berger, Steven, Instructor (voluntary), 12/15/99 through 6/30/00.

Edwards, Mark, Instructor (voluntary), 12/15/99 through 6/30/00.

Rice, Wendy, Instructor (voluntary), 12/15/99 through 6/30/00.

Wood, Karriann K., Instructor (voluntary), 12/15/99 through 6/30/00.

**B. ADMINISTRATIVE APPOINTMENTS**

Chancellor's Office

Meredith, Scott, Physical Plant Manager, Physical Plant Division, effective 11/15/99.

College of Medicine

McGrath, Patrick C., Associate Professor (with tenure), Surgery, named Acting Director, Markey Cancer Center, 4/1/99 through 3/31/00.

Phillips, Mary, Nurse Practitioner, Internal Medicine, effective 11/22/99.

College of Pharmacy

Kim, Moonson, Scientist III, effective 10/25/99.

**C. JOINT APPOINTMENTS**

College of Medicine

O'Donnell, Helen M., Assistant Professor (part-time), Internal Medicine, joint appointment as Assistant Professor (part-time), Physical Medicine and Rehabilitation, 12/15/99 through 6/30/00.

**D. PROMOTIONS WITHOUT TENURE**

College of Medicine

Dalton, Waller L., Instructor (voluntary), Obstetrics and Gynecology, to Clinical Assistant Professor, Obstetrics and Gynecology, 1/1/00 through 6/30/00.



## **E. CHANGES**

### College of Dentistry

Tran, Paulo E., from Assistant Professor (voluntary), Oral Health Science, to Assistant Professor (part-time), Oral Health Science, 12/15/99 through 6/30/00.

### College of Medicine

Dassow, Jeanie D., from Assistant Professor (part-time), Obstetrics and Gynecology, 11/1/99 through 6/30/00, to Assistant Professor (part-time), Obstetrics and Gynecology, 12/1/99 through 6/30/00.

Fujita, Mihoko, from Assistant Professor (voluntary), Radiation Medicine, to Assistant Professor (part-time), Radiation Medicine, 7/6/99 through 6/30/00.

Trent, Stephen, from Assistant Professor (voluntary), Family Practice, to Clinical Assistant Professor, Family Practice, 12/15/99 through 6/30/00.

Werner, Heinrich A., from Assistant Professor, Pediatrics, joint appointment as Assistant Professor, Anesthesiology, to Assistant Professor\*, Pediatrics, joint appointment as Assistant Professor\*, Anesthesiology, 12/15/99 through 6/30/00.

### College of Pharmacy

De Oliveira, Marcos, from Assistant Professor, 10/21/98 through 6/30/99, to Assistant Professor, 1/25/99 through 6/30/99.

### University Hospital

Coutts, Gary, from Clinical Staff Pharmacist I, Pharmacy Services, to Senior Pharmacist, Pharmacy Services, effective 11/7/99.

Griffith, Richard, Pharmacy Supervisor, Pharmacy Services, to Senior Pharmacist, Pharmacy Services, effective 11/7/99.

Johnson, Regina, from IS Technical Project Specialist II, MC Information Services, to IS Technical Administrator III, MC Information Services, effective 11/21/99.

Shearer, David, from Technical Project Specialist II, MC Information Services, to Technical Project Specialist III, MC Information Services, effective 11/7/99.

Smith, Thomas K., from Pharmacy Supervisor, Pharmacy Services, to Senior Pharmacist, Pharmacy Services, effective 11/7/99.

Sweetall, Amelia, from Telethon Development Assistant, Telethon Development, to Development Assistant IV, Hospital Administration, effective 10/25/99.

## **F. LEAVES OF ABSENCE**

### College of Medicine

Witt, William O., Professor\* (with tenure), Anesthesiology, joint appointment as Professor\* (without tenure), Surgery, sabbatical leave at half salary, 7/1/00 through 6/30/01.

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\*Special Title Series

# PR 3A

Office of the President  
December 14, 1999

Members, Board of Trustees:

## PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendments to the Governing Regulations of the University of Kentucky be approved. They were received for preliminary consideration at the regular meeting of the Board of Trustees, October 26, 1999.

(NOTE: Proposed additions are underlined and bold, proposed deletions are lined through).

Amendment #1 – Change Part VII-4 to read

Consistent with the Governing Regulations, the Administrative Regulations, and the Rules of the University Senate, the faculty of each college shall establish its own rules, including a committee or council structure necessary for the performance of the faculty's functions. After approval of these rules by the appropriate chancellor/vice president, copies of the rules of the faculty and a description of its ~~the~~ committee or council structure shall be made available to its members and copies filed with the dean of the college, the appropriate chancellor/vice president, the secretary of the University Senate and the Senate Council Office.

Amendment #1 – Change Part VII-5 to read

Within the limits established by the regulations of the Board of Trustees, the policies and rules of the University Senate, and the policies and rules of the faculty of the college of which it is a unit, the faculty of a school shall determine the educational policies of the school. It shall be responsible for functions and duties assigned to it by the faculty of the college. For these purposes, it shall establish its own rules and determine its own committee structure. After approval of these by the dean of the college and the chancellor/vice president, copies of the rules and a description of its committee structure shall be made available to its members and a copy filed with the director of the school, the dean of the college of which it is a unit, the appropriate chancellor/vice president, the secretary of the University Senate and the Senate Council Office. It shall make recommendations to the faculty of the college on matters which require the approval of that body. It may make recommendations on other matters to the dean or other administrative officers of the college. The academic or scholastic requirements of a school may exceed, but not be lower than, those established by the college faculty. Any such differences in standards must be approved by the University Senate.

Amendment #1 – Change Part VII-6 to read

The departmental faculty has jurisdiction over matters concerning its internal educational policies, insofar as these policies do not conflict with those of other departments or with the rules of the University Senate or the faculties of the school or college of which the department is a part. It should hold regularly scheduled meetings and ~~is authorized, with the approval of the dean of the college and the appropriate chancellor/vice president, to shall~~ establish rules of procedure and a committee structure to deal with matters over which it has jurisdiction. The proposed rules of procedure and committee structure shall be submitted to the director of the school (if appropriate), the dean of the college, and the appropriate chancellor/vice president for approval. Copies of the approved rules of procedure and committee structure shall be made available to the members of the departmental faculty and shall be filed with the secretary (secretaries) director of the school, and/or (if appropriate) the dean of the college of which the department is a member, the appropriate chancellor/vice president, the secretary of the University Senate, and the Senate Council Office. It has primary responsibility for the development of policies on such matters as academic requirements, courses of study, class schedules, graduate and research programs, and service functions. Jointly with the department chairperson, it should establish:—(1) procedures to be used within the department in (1) making recommendations on the appointment of new members of the department, promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, and the granting of tenure; and (2) the procedures to be used within the department in the faculty performance evaluations and in (3) the preparation of budget requests. The procedures in (1) and (2), ~~except those for the preparation of budget requests,~~ shall include consultation with directors of multidisciplinary research centers and institutes for those faculty who are or will be associated with such centers or institutes.

Rationale: These changes more clearly specify the approval process for faculty rules and faculty committee or council structure.

Amendment #2 – Change VII-11 to read

The department chairperson is responsible for recommendations on the appointment of new members of the department, promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, and the granting of tenure. Procedures ~~and criteria~~ used in preparing recommendations shall be those established by the University, the college, and the department faculty. As a minimum, the procedures must include consultation with all tenured members of the department, with the directors of any multidisciplinary research centers or institutes with which the affected individuals are or will be associated, and with all full-time non-tenured faculty members (except those appointed in the research title series, Medical Center clinical title series, or visiting series) with the actual or equivalent rank of assistant professor or higher who have been members of the department for two years, except as noted below. Faculty members with appointments in the Medical Center clinical title series, however, shall be consulted on a departmental or divisional basis as appropriate about appointment or promotion of individuals to academic ranks equal to or below their own in the Medical Center clinical title series, but not be consulted on matters relating to appointment,

retention, promotion, or tenure of faculty in the regular or special title series. All recommendations on matters listed above, excluding reappointments and post-retirement appointments, shall include the written judgment of each consulted member of the department and of each director of pertinent multidisciplinary research centers and institutes, along with the recommendation of the chairperson. The following exceptions may be made: (1) faculty members on approved leave of absence or with a primary administrative, service, or other assignment outside the department may, but are not required to, provide written judgments on all recommendations; (2) faculty members need not be consulted on recommendations for promotion affecting members with equivalent or higher rank, except that all faculty members with tenure shall be consulted on recommendations for granting of tenure; (3) faculty members without tenure need not be consulted on recommendations for granting of tenure; (4) the right to make recommendations on temporary appointments and/or appointments at the assistant professor level or below may be delegated, with these appointments to be reviewed by the tenured faculty of the department during the second semester of the first year of appointment; and (5) in a large and diverse department, upon prior recommendation by the department faculty and approval of the dean and the chancellor/vice president, consultation with faculty members may be restricted to those associated with the concerned, previously-defined academic division or program area in the department.

Rationale: This change is proposed to make it clear that procedures that are used in preparing recommendations may be established by the University, the College, and Department faculty. The criteria are established by the University in Administrative Regulations AR II-1.0-1 and AR II-5.0-2.

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Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

# PR 3B

Office of the President  
December 14, 1999

Members, Board of Trustees:

## PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendment to the Governing Regulations of the University of Kentucky (GR VIII.A.1, Page VIII-1, first paragraph) be received at this time for preliminary consideration and at the next regular meeting of the Board of Trustees be included on the agenda for action. (NOTE: Proposed additions are underlined and proposed deletions are lined through.)

The President of the University is appointed by the Board of Trustees with the advice of a joint committee of the Board, faculty, staff, ~~and~~ student body, and alumni. The committee shall consist of ~~five~~ seven members of the Board appointed by its chairperson; three members of the full-time teaching and/or research faculty of the University System, one of whom shall be from the Medical Center, selected by a procedure determined by the University Senate; one member of the full-time ~~teaching faculty of the Community College System~~ staff of the University, elected by the staff of the University; ~~and one~~ two full-time students of the University, one undergraduate and one graduate or professional student, selected by a procedure determined by the Student Government Association; and one member of the alumni of the University selected by the Board of Directors of the University of Kentucky Alumni Association. ~~appointed by the chairperson of the Board.~~ The committee shall provide opportunity for discussion between representative administrative, faculty, staff, ~~and~~ student and alumni groups and prospective presidential candidates.

GR VIII.A.1. will read as follows, if approved:

The President of the University is appointed by the Board of Trustees with the advice of a joint committee of the Board, faculty, staff, student body, and alumni. The committee shall consist of seven members of the Board appointed by its chairperson; three members of the full-time teaching and/or research faculty of the University System, one of whom shall be from the Medical Center, selected by a procedure determined by the University Senate; one member of the full-time staff of the University, elected by the staff of the University; two full-time students of the University, one undergraduate and one graduate or professional student, selected by a procedure determined by the Student Government Association; one member of the alumni of the University selected by the Board of Directors of the University of Kentucky Alumni Association. The committee shall provide opportunity for discussion between representatives of administration, faculty, staff, student and alumni groups and prospective presidential candidates.

Background: The change in composition of the joint committee will add staff and alumni representatives from those important constituent groups to the joint committee. The change in faculty representation will eliminate community college faculty representation since thirteen of the UK community colleges are now managed by the Kentucky Community and Technical College System (KCTCS) and ensure representation from the Medical Center faculty. The addition of one student will provide for graduate or professional student representation as well as undergraduate student representation. The addition of two members of the Board will continue the 50/50 balance between Board members and other representatives that currently exists in the composition of the joint committee.

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Action taken:    ☐ Approved    ☐ Disapproved    ☒ Other   Tabled

UNIVERSITY OF KENTUCKY



Office of the Chair  
University Senate Council  
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University of Kentucky  
Lexington, Kentucky 40506-0032  
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Web Site: <http://www.uky.edu/USC/>

5 October 1999

TO: Members, University Senate

FROM: University Senate Council

RE: Recommended Change in the Governing Regulations as reflected in the University Senate Rules Regarding Election of Joint Board-Faculty Presidential Search Committee, Section I – 1.5.1. If approved, the proposed change will be forwarded to the Administration with a request that the change in the Governing Regulations be placed on the 26 October Board agenda.

Background:

At its October 4, 1999 meeting, the Senate Council voted unanimously to recommend that the *Rules of the University Senate* and the University Governing Regulations regarding election of the Joint Board-Faculty Presidential Search Committee be changed as follows:

Section I (delete strikeouts; add bolded portions)

**1.5.1 ELECTION OF JOINT BOARD-FACULTY PRESIDENTIAL SEARCH COMMITTEE**

1.5.1.1 Authority Relative to Appointment of President (US: 3/9/98 & BoT, 4/7/98) The *Governing Regulations* adopted by the Board of Trustees May 5, 1970 and amended February, 1972, October, 1987, and April 7, 1998 provide: "In the event of a vacancy in the Office of President or disability of the President, the Vice President for Administration shall exercise the functions of the President in the absence of the appointment of an Interim President by the Board. If the Board finds it desirable to appoint an Interim President it shall seek advice from a joint Board-Faculty Committee to recommend the appointment of a President if such has been constituted or, if the committee has not been constituted, from the University Senate Council. . . .The President of the University is appointed by the Board of Trustees with the advice of a joint committee of the Board, faculty, and student body. The committee shall consist of five members of

the Board appointed by its chair; three members of the full-time teaching and/or research faculty of the University System, selected by a procedure determined by the University Senate; one member of the full-time ~~[teaching faculty of the Community College System]~~ **nonteaching personnel, excluding the president, vice-presidents, academic deans, and academic department chairpersons**, and one full-time student, **both** appointed by the chair of the Board. The committee shall provide opportunity for discussion between representative administrative, faculty, **staff**, and student groups and prospective presidential candidates."

\*\*\*\*\*

The Senate Council is requesting that the Senate approve this change, which would then be submitted to the President and the Board of Trustees for consideration. The Council is also requesting that the Senate waive the 10-day circulation rule (1.3.1.1E) so, if approved, this proposal can be placed on the agenda for the October 26 Board of Trustees meeting and then voted upon at the December 14 meeting.

Rationale: Staff members are the only major constituency not represented by mandate on the Presidential Search Committee. There is a staff representative on the Board of Trustees, as mandated by Kentucky Revised Statutes, but there is no requirement that this individual serve on the Search Committee. At least three faculty members are on the Committee (as mandated by the selection process), and at least one student will be a member (also mandated). Assigning the "Community College System" position to Lexington Community College would single out one College for special treatment. LCC faculty still have the same opportunity to elect faculty representatives from their College, just as faculty from other academic units also have the chance to elect representatives from their Colleges.

Finally, it is reasonable to include staff among the groups the Search Committee is expected to consult in providing an opportunity for discussion among the various campus constituencies. There is a particularly strong argument for this change in light of the state mandate that a staff member be among the elected members of the Board of Trustees.



October 15, 1999

**MEMORANDUM**

TO: Donald Witt, Registrar and  
Secretary of the University Senate

FROM: Charles T. Wethington, Jr.  
President



SUBJECT: Recommendation to the Administration

On October 13<sup>th</sup> I received your memorandum recommending changes in the Governing Regulations as reflected in the University Senate rules regarding Election of Joint Board-Faculty Presidential Search Committee, Section I - 1.5.1. You further requested that this proposed change be placed on the October 26<sup>th</sup> Board agenda for consideration by the University of Kentucky Board of Trustees. I regret that your recommendation to me arrived too late to be included on the Board agenda for the October 26<sup>th</sup> meeting.

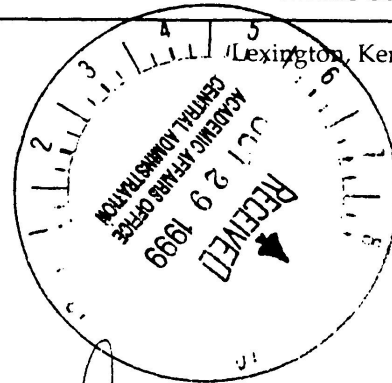
As you are aware this proposed change would eliminate the provision that a faculty member from the Community College System be a member of the Presidential Search Committee. I am sure that the University Senate will understand that a decision of this kind should not be made without following the process of seeking advice and recommendation from the Senate of the Community College System. The majority of the faculty members in the University of Kentucky Community College System are still University of Kentucky employees. I believe that the process for such a change should involve your peer faculty in the Community College System and ought not be made without giving them the opportunity to comment on your proposal.

I know that you will understand the importance of following this process. I have today written to the Co-chairpersons of the Senate Council of the University of Kentucky Community College System and asked that your proposal be reviewed and comments provided to me as expeditiously as possible.

While I am waiting for a response from the faculty of the University of Kentucky Community College System, I will also seek the advice of the chancellors and vice presidents and the Student Government Association since the proposed change potentially impacts their organizations as well.

cc: Professor Roy Moore

October 25, 1999



## MEMORANDUM

TO: Juanita W. Fleming

FROM: James W. Holsinger, Jr., M.D., Ph.D.  
Chancellor  
Chandler Medical Center

RE: Presidential Search Committee Governing Regulations

In review of the Governing Regulations, specifically the changes recommended by the Senate Council in paragraph 1.5.1.1 concerning a nonteaching person to sit on the Search Committee, the Medical Center has no disagreement providing all of the staff members of the Medical Center are considered to be within the pool from which an appointment would be made. However, in that same paragraph, it states that three members of the full-time teaching and/or research faculty of the University System will be selected by a procedure determined by the University Senate. I would recommend that being amended to read, three members of the full-time teaching and/or research faculty of the University System, one of which must come from either the Medical Center or the Lexington Campus selected by a procedure determined by the University Senate and continuing. I believe that it is important that the Medical Center be represented in the Presidential Search process. Currently, with such a small number of Medical Center faculty being involved with the committee structure of the University Senate, I would be concerned that the procedures developed by the University Senate would not include a Medical Center faculty member. Likewise, as long as these issues are being looked at, I believe that it would be appropriate now that we no longer have a University System and a Community College System within the University of Kentucky, to reconsider the election of the two faculty trustees to be such that one faculty trustee be elected by the Lexington Campus faculty and one trustee be elected by the Medical Center faculty. As is obvious at this point in time of the four University trustees, both faculty members plus the student come from the Lexington Campus, the staff member is from Central Administration and there is no representation from the Medical Center Campus on the Board of Trustees. Since the student will almost invariably be elected from the student body of the Lexington Campus and in order to have representation from the Medical Center, it

Juanita W. Fleming  
October 25, 1999  
Page 2

becomes imperative that one of the two faculty trustees be elected from the Medical Center Campus.

I hope this information is of help. I would be happy to discuss it further with either the President, Senate Council or whomever may be appropriate.

James H. Glenn, III President  
Whitney M. Speaker, Vice-President  
120 Student Center  
Lexington, KY 40506-0030  
(606) 257-3191  
Fax: (606) 257-6645

October 26, 1999

Dear Colleagues,

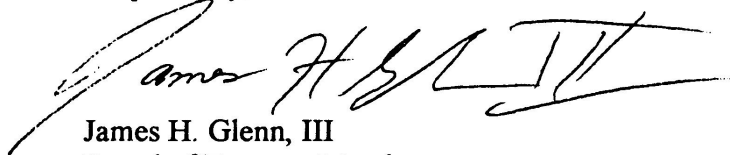
This is a proposal that has the Student Government Association has been working on regarding the composition of the Presidential Search Committee. I wanted to give you this proposal to look over and comment on. If you have any questions, comments or concerns, please do not hesitate to contact me at:

James H. Glenn, III  
Student Government Association  
120 Student Center  
Lexington, KY 40506-0030

Phone: 606-257-3191

E-mail: [jhglen0@pop.uky.edu](mailto:jhglen0@pop.uky.edu)

Respectfully,



James H. Glenn, III  
Board of Trustees Member

From: UK Student Government Association  
To: UK Board of Trustees, UK Administration  
Re: Structure of the Presidential Search Committee

**Part VIII, Page VIII-1 of the University Governing Regulations states, "**

The President of the University is appointed by the Board of Trustees with the advice of a joint committee of the Board, faculty, and student body. The committee shall consist of five members of the Board appointed by its chairperson; three members of the full-time teaching and/or research faculty of the University System, selected by a procedure determined by the University Senate; one member of the full time teaching faculty of the Community College System and one full-time student appointed by the chairperson of the Board. The committee shall provide opportunity for discussion between representative administrative, faculty, and student groups and prospective presidential candidates."

**The Student Government Association proposes that the Board of Trustees amend the membership of the search committee. The new language should read, "**

The President of the University is appointed by the Board of Trustees with the advice of a joint committee of the Board, faculty, *staff*, and student body. The committee shall consist of *five members of the Board appointed by its chairperson*; three members of the full-time teaching and/or research faculty of the University System, selected by a procedure determined by the University Senate; *one member of the full-time staff of the University, selected by a procedure determined by the University Senate*; *one full-time undergraduate student and one full-time graduate or professional student appointed by the chairperson of the Board, upon recommendation by the Student Government Association*. The committee shall provide opportunity for discussion between representative administrative, faculty, and student groups and prospective presidential candidates."

**RATIONALE**

The addition of students to the search committee fulfills the University's Strategic Plan for the University Community. Increasing the representative ability of students in decision making meets the objectives of UK to, "Pursue diligently a climate of integrity, openness, trust, and mutual respect for all members of the University community," and to, "Recognize all members of the University as colleagues, equal as persons and important in their contribution to the University community."

One of the enabling strategies of the plan states UK's desire to, "Strengthen consultation with faculty, staff, and students in decision making within academic and other units." The University will move closer to meeting the goals outlined under the strategic plan with the inclusion of representatives in the decision-making process proportionate to their membership in the University community.

Currently, the five Board of Trustees positions are representative of a group of twenty, and the three faculty seats represent approximately 1,200 faculty members. There are over 24,000 students in the University of Kentucky community, which are represented by a single member on the Presidential Search Committee. Clearly, there is a discrepancy in the proportions of representation on the committee.

As a public institution the University of Kentucky must strive to include all its constituents in the decision making process. Students are a sizable and vital part of this constituency. Undergraduate, graduate, and professional students pay tuition fees that total one-third of the public monies that UK receives. Students conduct and assist faculty in research projects, helping lead UK toward top-twenty status. Students are instructors, serving as teaching assistants for many entry-level classes at the University. Students also provide service to the Lexington community through service projects and to Kentucky as employees of the Cooperative Extension service. The students of the University of Kentucky, working with faculty, staff, and administrators, guide the University in its mission, vision, and goals.

This proposal is designed to compliment the proposal from the University Senate, which was ratified by that body on October 11, 1999. Specifically, the proposal requested the seat reserved for a community college representative be changed to a seat reserved for a non-faculty staff member of the University. It is the position of the Student Government Association that the Board of Trustees should vote to accept the University Senate proposal in addition to its own.

Respectfully submitted,  
The UK Student Government Association

# PR 4A

Office of the President  
December 14, 1999

Members, Board of Trustees:

## CANDIDATES FOR DEGREES -- COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed.

Background: At the November 12, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and the Chancellor.

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Action taken:



Approved

☐ Disapproved

☐ Other

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ASHLAND COMMUNITY COLLEGE

ASSOCIATE IN ARTS

BIGGS ALISON N  
BLEVINS ALISHA D  
BLEVINS DAVID M  
BURNETT ALISON J  
COLE DONALD D  
ELLIS DEBRA L  
GAMBILL TRACY L  
HANSHAW CHARLES E  
HUTCHINSON MICAH D  
JOHNSON TONI A  
KOEPE ANGEL  
LEMASTER REGINA J  
SHORT LINDA L  
SPARKS JUDY  
WELLMAN ALISON R  
WHEELER JEANETTE L  
WITHROW APRIL J

ASSOCIATE IN SCIENCE

CARR CHERIE L  
FOWLER KELLI R  
MCGUIRE PATSY R  
MILLER LINN D  
PACK SAMUEL P  
SEXTON STACEY L  
SIMMONS JAMES L  
WILLIAMS JENNIFER L  
WOODS SONJA J

ASSOCIATE IN APPLIED SCIENCE

ACCOUNTING TECHNOLOGY

BAIER KATHY A  
BURKHART THOMAS M  
MESSER HEATHER L  
MITCHESON LAURA P

BUSINESS TECH-BANKING

STEVENS JONATHAN A  
TUSSEY PAMELA

BUSINESS TECH-MANAGEMENT

ARTHUR LISA G  
HOGSTEN SUSAN D  
MCCUBBIN JENNIFER A  
SCAGGS SANDY F  
SCOTT DEBORAH J

OFFICE SYSTEMS

MCINTYRE KAREN  
PEFFER MELINDA S

BUSINESS TECHNOLOGY-MIS

WILLIAMS MICHAEL S

BUSINESS-MARKETING & RETAILING

AKERS JODY L

ELIZABETHTOWN COMMUNITY COLLEGE

ASSOCIATE IN ARTS

BAKER PAULINE R  
BRANNON TONY M  
BRUMSEY DEBORAH R  
BUCHANAN JENNIE L  
CLARK JAMES B  
CORONATO CARMINE  
DOHNERT KATHLEEN  
EMERSON DEBORAH J  
FINERSON SAMANTHA S  
FISHER SUSAN R  
GAMERDINGER ANDREA  
GAMERDINGER PAUL A

GRITTON TROY D  
GUMM CHONG  
HENDERSON JENNIFER C  
HICKS HARVEY R  
JACKSON THOMAS L  
JEFFRIES TONYA G  
JULIEN PHEBEE  
KINDER ANGELA M  
LANHAM VIRGINIA A  
LOGSDON MILDRED M  
MARTINEZ SHANNON E  
MASSENGALE DEANNA M  
MATTINGLY DIANE L  
MITCHELL BRIAN E  
MULLINS TONDA M  
NAVARRO SHERRY M  
POAT KAREN Y  
RAMSEY PATRICIA L  
RAY JAMES D  
ROBERTS JOHN L  
SHEPHERD AMY S  
SHORT CHRISTY L  
SMITH MYRA L  
STONE KELLY L  
THORNTON CARRIE L  
VANLIER JESSICA E  
WARD SUSAN R  
WARNSTAFF RANDY C  
WHITACRE HARRY L  
WILKINS ALISSA A  
WILLIAMS SHIRLEY G

ASSOCIATE IN SCIENCE

AKRIDGE SANDRA S  
BARRETT DENISE L  
BRIGHT JAIMIE R  
CANTRELL LINDA J  
CASE STACEY L  
CISSELL DANIEL R  
COOK JOE D  
DOMINGO JACKLYNN C  
GARDNER JENNIFER A  
GREER MATTHEW B



HACK JUDY R

HIGDON LAURA T

HILL SHARON L

KHAN SADIAH F

LEE DESHA B

MILLER BERA S

PARRISH DERIC D

PERKINS STEFANIE L

PLANERT JOHN H

PULLIAM ERNEST S

SCHWOEBEL JAMIL L

THODY DAWN M

THOMPSON KIM A

TOLLEFSON JEFFREY J

TRUMBLE GARY C

WOODSIDE EMILY S

#### ASSOCIATE IN APPLIED SCIENCE

#### BUSINESS TECH-BANKING

KELLY JENNIFER S

PARIS STACY L

SMITH MARK A

#### BUSINESS TECH-MANAGEMENT

DE-ROSA VINCENT C

MORRIS OLAN L

PADGETT SUSAN E

PHELPS SARA B

RAMIREZ JOSE A

RODGERS REBECCA D

THOMPSON YVONNE M

WILSON ANGELA L

#### OFFICE SYSTEMS

BROOKS SANDRA G

DEWITT JOANNE N

HORN DIANE R

JOHNSON PEGGY J

WALKER JULIE L

#### QUALITY TECHNOLOGY

BENNETT BERNICE

BROWN STEVEN T

NALLEY DEBORAH B

PHILLIPS RHONDA L

ROTH IVAN L

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GRAGSON CATHY L

MEERS POLLEY A

WOODROW ROBERT N

#### CIS-PROGRAMMING

CASO WILLIAM D

FINKBINER WILEY H

KENDALL CAROLYN H

LINDSEY ROBERT W

SHERRARD AVERY L

#### NURSING

BASHAM JENNA R

BRITTIAN SANDRAH L

BROWN BRENDA S

BRYANT SARAH L

CAMPBELL JOY T

CECIL CHANTEL E

DOUTHITT DEBRA K

EARLES RACQUEL

ETHRIDGE MELISSA J

FREEMAN CHRISTINA D

FULKERSON SHANE

GOODE VICKIE L

HAMM JANICE R

HAZELWOOD CLIFFORD L

HILDESHEIM CHRIS L

HOWARD DAUGHERTY A

HUDSON MELISSA J

JAMISON JUDY

JONES MARCUS H

PAYNE SAMANTHA L

PERKINS TERESA A  
REILLY KIMBERLY A  
REYNOLDS ROSALIE  
SHOOPMAN TIFFANY S  
STROUD LYNNETTA K  
THOMPSON GAIL W  
WADE JAMIE D

HAZARD COMMUNITY COLLEGE

#### ASSOCIATE IN ARTS

ARGONIS STEPHEN E

BAILEY REX E

BAKER JOSHUA L

BIDWELL REBECCA K

CAMPBELL HEATHER J

CLEMONS MELINDA S

CONLEY DARLA R

COOTS RODNEY

COUCH TRACIE L

CRASE JARROD D

EDWARDS ROBIN L

EVERAGE JANICE G

FELTNER MELANIE R

FELTNER RAMONA L

GRIFFITH RUSTY R

HAYES ROBERTA J

HENSON JASON L

HOLBROOK MATTHEW S

HUNT JAMIE

JOHNSON MELISSA D

JONES KRIS B

LEWIS KRIS J

LEWIS SCOTT A

MCINTOSH JOSEPH D

MULLINS JAMIE N

NAPIER BERNIS H

PIGMAN JOSHUA L

ROPER CHRISTY C

STACY ROBIN P

STALEY ANDREA B

STIDHAM VANESSA A  
WALLS SUSAN R  
WARFIELD CHRISTINA C  
WINTERS HOMER L JR

ASSOCIATE IN SCIENCE

ARGONIS STEPHEN E  
BACK BRANDI D  
BOWMAN CHARLOTTE J  
CORTES MISTY L  
DAVIDSON TABATHA  
GIBSON CRYSTAL D  
GILLUM TABITHA R  
JONES TAMATHA D  
KUNKEL KENNETH E  
KUNKEL MARION L  
LOWE JESSICA L  
MORGAN JASON L  
SMITH TIFFANY J  
SPENCER HURLEY III  
STIVERS STACEY M  
THOMPSON HANNAH R  
TOLER STEPHANIE L  
TURNER EVELYN

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CALDWELL TINA E  
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TORUSOGLU YILMAZ O

BUSINESS TECHNOLOGY-OS

TRUSTY JUSTINA F

BUSINESS TECHNOLOGY-MIS

CAMPBELL GRACIE J  
DAVIDSON CHARLES L  
MOORE RHONDA L

NAPIER DONNA F  
NURSING  
COX JEANNA C

FOREST AND WOOD TECHNOLOGY

PALKA CHRISTOPHER D  
SIZEMORE MICHAEL L

HUMAN SERVICES

ANGEL STEPHANIE J  
CAUDELL TRACY L  
CHAPMAN JESSICA L  
COLLINS JOYCE D  
CONATSAR SAM B  
DIXON SHANNON M  
EPPERSON LOIS J  
FELTNER JANET L  
HENDRIX NATASHA K  
JOHNSON SANDRA K  
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MCINTOSH SHANNON F  
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TURNER ELLA F

HENDERSON COMMUNITY COLLEGE

ASSOCIATE IN ARTS

ALVEY ALYSON L  
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LINK JANICE C  
PRYOR BENSON D

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JENKINS SHERRI A  
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PERRY LAURA J  
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WALLACE AMY R

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HOLCOMB WAYNE C  
HYATT CANDACE C  
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MEREJO SAUL  
MYERS CEDRIC H  
NEELY APRIL R  
P'POOL CAROLYN J  
PAGE LISA A  
PETERSON CHARLES S  
PHIFER ELETIA D  
QUINLAN JAMIE R  
RAY MICHELLE L  
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SWIFT YOLANDA  
VELEZ CARMEN D  
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SCHUMAKER ANGELA D  
SELPH JILL A  
STINSON RHONDA M

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WHITE AUSTIN W

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DUNN SHERRY P  
FAUST REGINA L  
GREEN TEDRICK  
HUBBARD TIMOTHY J

JOHNSON DAWN L  
LAWLESS BETTY L  
MONIX MICHAEL M  
SPAIN JOYCE C

EARLY CHILDHOOD EDUCATION

BANKS MELISSA  
ISAAC LORNA R

LAW ENFORCEMENT TECHNOLOGY

RAYBOURN WILLIAM F  
SCHUMAKER ANGELA D

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BRUNTON CHRISTY  
BURDEN MARIANNE  
CAPE JENNIFER  
CISSELL KRISTEN  
CRAYCROFT GLYNCOYA  
CRENSHAW TAMMY  
DEAN JAMES  
DELONG CARMEN  
DOTSON TERI  
DUNCAN TRAVIS  
DUNN THERESA  
DUTTON CHRISTIANM  
EATON LESLIE  
FORD CINDY  
FREEMAN ELSIE  
GARR MICHAEL  
GRIBBONS TERRY

HALL JUSTIN  
 HAMBLEN RICHARD  
 HARRIS MARY  
 HEIL VICTORIA  
 HEUN DONNA  
 HILBERT AARON  
 HOLT MELISSA  
 HORN MICHELLE  
 HOWARD DEBRA  
 HUNTER DAVID  
 JENKINS JANET  
 KAUFMAN STEVEN  
 KIMMEL CHRISTINA  
 KING JULIA  
 KRATZWALD JASON  
 LACY TONI  
 LEWIS KIMBERLY  
 LILE JEANETTE  
 MALIN DAVID  
 MARTIN BRENT  
 MATTINGLY SUSAN  
 MCKENNA ROBIN  
 METCALF JEFFREY  
 MILLER BRYAN  
 MORRIS PHILIP  
 NELSTEAD VICKI  
 NETHERTON LAURA  
 NICARRY THERESA  
 NICKLE STACY  
 PARISH LAURA  
 PATTERSON ENDORA  
 REVELS BARBARA  
 RICE THOMAS JR  
 ROBBINS ANDREA  
 ROBINSON REGINA  
 SCHNIED BRAD  
 SKLODOSKI LORI  
 SKLODOSKI THOMAS  
 SMITH WILLIAM  
 SUBLETT PAULETTE  
 WALKER SARA  
 WALKER SARA  
 WHITE CRYSTAL  
 WRIGHT BARBARA

WRIGHT DAVID  
 WRIGHT DAVID  
 ZIRNHOLD RENATA  
ASSOCIATE IN SCIENCE  
 BAILEY ANGELA  
 BLAND SAMUEL  
 BRADSHAW CHARLOTTE  
 COOK KAREN  
 COOPER MARY  
 HALL RICKIE  
 HAMMOND SARAH  
 MUDD JENNIFER  
 PURYEAR PAULETTE  
 SILVA ANTHONY  
 SPALDING JUDITH  
 THOMPSON NICHOLAS  
 WILSON CHRISTINA  
 WRIGHT SHANNON

ASSOCIATE IN APPLIED SCIENCE

ACCOUNTING TECHNOLOGY

CUNNINGHAM BARBARA  
 DUVALL DEBORAH  
 JOHNSON THERESA  
 PILETTE ELIZABETH  
 RILEY JANETTE  
 SNELLEN KELLIE

BUSINESS TECH-BANKING

ENDSLEY CANDACE  
 VARNER SHERRY

BUSINESS TECH-MANAGEMENT

ASAY DIANE  
 ASAY DIANE  
 BROUGHTON BETH  
 BULLARD LESA  
 BURTON DELIA

CARR ERIC  
 COLE ARICKA  
 COLLINS JEREMY  
 DERMON TRACY  
 FORD CYNTHIA  
 HATCHER NICOLE  
 HATHAWAY VELESSA  
 KOLB MELINDA  
 NALLEY PATRICIA  
 ROBERTS TINA  
 SMITH LISA  
 WHELAN BRITTANY

OFFICE SYSTEMS

HAGAN SHARON

COMMERICAL ART TECHNOLOGY

CONLEY DESMOND  
 GARCIA FERNANDO  
 JANCKILA BARBARA  
 SPILLMAN DENISE  
 STEVENSON DANIEL

REAL ESTATE

BRADY ROBIN  
 DUNCAN DERRICK

CIS-MICROCOMPUTER

BARNETT JAN  
 BRYANT BRUCE  
 GARRETT KEVIN  
 JORDAN KATHLEEN  
 MOCK THOMAS  
 MORGAN THOMAS  
 THOMPSON JACK  
 TRAN THU THAO  
 WOLZ JAMES

CIS-PROGRAMMING

BOAZ SUSAN  
CHAPPELL GEORGE  
DUNN TYRA  
GLUZMAN FRIDA  
HOKE MELANIE  
NICHEOLS CHRISTOPHE  
ROBINSON CHECOTE  
WRIGHT JONI  
ZOLJ SABAN

NURSING

ADKINS BONITA  
ALLEN TONYA  
ATWELL SHANNON  
ATZINGER DEANNA  
BLEDSOE ALLISON  
BOTTOMS BOBBY  
BOWLING GINA  
BOYD BRENDA  
BRIDWELL THERESA  
BURTON MADONNA  
CODEY ELIZABETH  
COLEMAN TIA  
CUNDIFF ANGELA  
DAUGHERTY DEBRA  
EUBANKS REGINA  
EVES KAREN  
EXTON SHERRY  
FRIEL BRANDIE  
GAGLIARDI SHANNON  
GULLETT CONNIE  
HALL KELLY  
HARP LISA  
HARRELL MARY  
HAYCRAFT AARON  
HEACOX VERONICA  
HOLBERT ANNA  
HOUCK AMANDA  
JONES VALERIE  
KEOWN AMY

LACEFIELD KRISTI  
LEAF JULIE  
NEMTSOV LYUDMILA  
OBRYAN DANA  
OGLE CASEY  
PAULHUS NANCY  
PEARSON TIMOTHY  
PENCE JANET  
REECE DIANA  
RIGGS JULIE  
RYMNIAK MARY  
SCHNEIDER MATTHEW  
SCHUYLER ANGELA  
SHAW DANA  
SMITH LORRIE  
SMITH M JEANETTE  
SPARKS CAMILLE  
SPARKS WILLIAM III  
TALLEY STEPHANIE  
THOMAS JODIE  
THOMPSON GENA  
THORNE KAREN  
UTLEY BARBARA  
WAITS ADRIAN  
WALTON-LEE VICK  
WHITEHOUSE ANNETTE  
WHITMER ROBIN  
WILSON CATHERINE

OCCUPATIONAL THERAPY ASSISTANT

BARBER URSULA  
BRIAN KAREN  
BRICKING NANCY  
COX CAROLYN  
DICKMAN PAMELA  
HAWS JOHN  
JONES ALLYSON  
LEWIS PHYLLIS  
MATTINGLY LISA  
MELLO KIMBERLY  
MOSS HEIDI  
PATTI ERIN  
WILSON MICHELE

ENGINEERING TECHNOLOGY-ELECTRICAL

PORTER AVA

INDUSTRIAL&ENGINEERING - ELECTRICAL

HANSHEW STEPHEN  
WHEATLEY KENNETH

INDUSTRIAL CHEMICAL TECHNOLOGY

FIELDS JOSEPH

INDUSTRIAL&ENGINEERING TECHNOLOGY

ELLEGOOD MELINDA  
SCHOENBAECHLER AUGUST  
SURFACE GEORGE

INDUSTRIAL&ENGINEERING TECH-CAD

BLAND SAMUEL

ENGINEERING TECHNOLOGY-CAD

ABELL TANYA  
PEACE JASON  
ROBISON CHECOTE

AUTOMOTIVE TECHNOLOGY

LUCKHARDT CHARLES  
PARKER JAMES

HUMAN SERVICES

BARKER ANGELA  
BROWN ELIJAH  
BUCHANAN LINDA  
COTTNER CLARENCE  
DOUGLAS DONNA  
JONES JOSEPH

JONES NECO  
MILLER ALISA  
MURRAY CYNTHIA  
NARDI CHRISTY  
NOONAN NANCY  
STONE CHARLES  
TROWELL ROBIN  
WILLINGHURST SARAH

EARLY CHILDHOOD EDUCATION

BROWN MOLLY  
ENGLAND CHERIE  
GAITHER MICHELE  
GREENWOOD AMY  
HORN SHARON  
LOVELACE WENDY MORRI  
MILLER APRIL  
MITCHAM NANCY  
PARRY LISA  
PRASCH CHRISTY  
SEPTER AMANDA  
WHEELER STEPHANIE

LEXINGTON COMMUNITY COLLEGE

ASSOCIATE IN ARTS

BABER LISA D  
BERRYMAN JACQUELINE M  
BROWN MISHA E  
BURGE SHAWN M  
CAUDILL BILLY F  
CAUDILL EMILY B  
CONKLIN BETHANY A  
GILLIAM MONIQUE  
HALLIDAY LINDA K  
HOGUE SHARON G  
HULKER KIMBERLY J  
IRELAND MICHELLE M  
JOHNSON TANJINORA L  
JONES SANDRA L

KAZEE MIRANDA A  
LALLY ANGELA M  
MARR ERIN R  
MCMANUS COURTNEY N  
MUHAMMAD LORA J  
MURRAY ANGELA D  
PARKER SHANNON L  
PRARIA JAMES R  
RAMSEY DANA M  
REED SCOTT D  
RIECHTER SUE A  
RIGNEY RONALD B II  
SALLEE AMANDA M  
SIDDIQUI ASMA  
WARD TAMERA L  
WILSON JAMES B

ASSOCIATE IN SCIENCE

ABNEY AMANDA L  
BREWER TIMOTHY L  
BRIDGES MELISSA E  
CRITCHFIELD REBECCA A  
DAVIS ELIZABETH E  
EVANS SHEILA R  
GRAHAM JANICE F  
LYONS LANNY R  
MORRIS CAROL L  
SILVEY ALLEN L  
VITAI JOSEPH K  
WURTS SHERRI L

ASSOCIATE IN APPLIED SCIENCE

ACCOUNTING TECHNOLOGY

CLEMENTS VENITA M

BUSINESS TECH-MANAGEMENT

BATH CHARLES O III  
FELTNER APRIL R  
HAMILTON KRISTI D  
HARDIN ANN M

HARROD ANGELA S  
HILLARD WENDY S  
HUTTON LINDA S  
KEARNS KRISTOPHER D  
LEHMANN JEFFREY E  
MCCUBBIN STEPHANIE M  
PIPER ANDY C  
TRUE JESSICA M  
TURNER ROBERT E  
WAFFORD JOSEPH R  
WEAKLEY AMANDA L  
YORK VICKI L

OFFICE SYSTEMS

CLAYBORNE LIDA L  
JONES SARAH C  
SANFORD ANDREA C  
WALLACE TIFFANY E

BUSINESS TECH-REAL ESTATE

BIVENS STEPHEN M  
SIPE DEBRA D

BUSINESS-MARKETING & RETAILING

BOYD RANDY W

CIS-MICROCOMPUTER

DAVIS ERIC W  
ELMO EUGENIA S  
HERN BONNIE J  
LIGHT KIMBERLY A  
LINCKS MICHELLE M  
SAKOWICH MICHAEL J  
THOMAS JENNY R

CIS-PROGRAMMING

ATES VILDAN  
CLAY NATALIE M  
ISON JON P

JACOBS WILLIAM C  
PASLEY GRACE L  
ROBINSON RONALD B

ARCHITECTURAL TECH

BACELIERI LESLI S  
CLARKSTON JASON C  
CURRY JONATHAN L  
MARTIN MARY C  
MCCOY LINDSEY A  
MERRIAM PAUL J  
WARTMAN BLAKE T

ENGINEERING TECHNOLOGY-ELECTRICAL

MCGONIGAL MICHAEL D

ENVIRONMENTAL SCIENCE TECHNOLOGY

BULLOCK SHERRY D  
PERRAUT JOE W

MADISONVILLE COMMUNITY COLLEGE

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CREEKMUR JULIE A  
CRUZAN SUSAN L  
DAY MISTY L  
DELAWSON ANN M  
HALL JACLYN E  
HARKINS CHRISTI A  
HAWKINS BEVERLY S  
HIBBS JASON R  
HICKLIN EMILY A  
JONES MARILYN L  
KIRKWOOD CORINA M  
LONG BARBARA A  
LONG CINDY L  
MARTIN CANDACE M  
ORTEN CHARLES L

STRAIT STEPHEN J  
THEODOSIS CHRISTOPHER C

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MATHIS MARY S  
NANTZ BARBARA M  
SCHLAGER COURTNEY C

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BUSINESS TECH-MANAGEMENT

HARRIS HEATH A  
HERRON NATALIE Y

BUSINESS TECHNOLOGY-OS

FLEMING MEREDITH N  
RAKESTRAW RHONDA L

BUSINESS TECHNOLOGY-MIS

ERICKSON MARC F  
HEARLD CARRIE M  
TRAPP TERRY L

BUSINESS TECH-ACCOUNTING

BAKER ROBIN L  
CURTIS LAURA J  
MUNDY GLENDA P  
OWENS MARTY D

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DUNCAN APRIL A

NURSING

ADAMS STEVEN W  
BARKER LILLIAN I  
BOSWELL JILL A  
CARTWRIGHT NELSON W

CASKEY LISA J  
CROFT PAMELA J  
DAVIS KAREN S  
DEARMOND DONNA J  
FUSON KRISTI L  
GATES TRACEY C  
GILMORE CAMEISHA C  
GREEN KAREN H  
HOUCHIN KARLA J  
JARVIS TINA M  
JORDAN CHRISTY D  
KUYKENDALL SUSAN K  
MATHIS JOSEPHINE R  
MCCORMICK ERIN P  
MELTON CINDY G  
PARSON MARY B  
RAGER MICHAEL W  
RANDOLPH CHRISTINA L  
RICH LINDA D  
ROBINSON HEATHER N  
SIGLER CAROL A  
SNEED BRANDI L  
STATON KIMBERLY L  
STEWART PAMELA K  
STONE BUFFY L  
STORY SHARON C  
WALDROP SAMANTHA S  
WELLS DIANA M  
WHITEHAIR AMY M  
WRIGHT MARY E  
YOUNG JENNIFER L

ENGINEERING TECHNOLOGY-ELECTRICAL

RICKARD MYRON T  
WALKER CHAD A

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HIBBS FRANNIE B  
WINSTEAD BLAKELY C

MAYSVILLE COMMUNITY COLLEGE

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CASE BRETT A  
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FRITZ MARY J  
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HUNT BRIAN W  
KING DAWN K  
MCALLISTER EMMA S  
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PLANCK JASON L  
ROSE TRUDI S  
SHADD DAVID A II  
STROUB JHARON L  
THURMAN JACKI J

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MASON MELISSA R  
MAUNEY KIMBERLY R  
STUMP BRADLEY W

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ERSKINE MONDA L  
RIDOUT CLAUDIA A

BUSINESS TECHNOLOGY-OS

HOFFMAN JUDY I

BUSINESS TECHNOLOGY-MIS

DIXON GERALD L  
DOYLE MELINDA  
RIFFE DEBBIE K

BUSINESS TECH-ACCOUNTING

BRUMFIELD GEORGE C  
LEWIS DAVID C  
LYKINS MONICA L  
POLLARD IDA R

BUSINESS-MARKETING & RETAILING

COMBS CARIN A

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BALDOCK REBECCA J  
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CASTLEN MARK A  
COLBURN JASON T  
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DUNCAN VANESSA R  
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HOWARD PAUL V  
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KIRTLEY APRIL G  
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MINTON DESTINY S  
MORTON-THOMAS ELIZABETH  
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MYERS VICKIE J  
O'NEAL TAMMY E  
OWENS SHAUN M  
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SMITH BEVERLY S  
STEVENS LAURA R  
TAYLOR SONYA L  
THOMPSON AUNDREA L  
TRIPLETT KATHRYN L  
TROUTMAN MONICA R  
WARREN SHARON K  
WILES ANTHONY D  
WINFIELD JEANNIE M  
WISCHER SANDRA A

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COX ANTHONY B  
HALL DUSTIN W  
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INGRAM TIMOTHY A  
MISCHEL CONNIE S  
NIX SHAUNA M  
NORTON KIMBERLY Y  
SMILEY ANN M  
SMITH REBECCA  
WARNOCK CHARLES A



WHITFILL MICHELE N

EVERLY PAMELA K  
FORD TARA S  
HUTCHINSON CINDY L  
JOHNSON BONNIE L  
KNIERIEM HEATHER R  
LANHAM KELLEY M  
LOWE CASSONDRA M  
MCKANNAN CAROLYN R  
MILLS JENNIFER L  
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RIDINGS JAMES A  
ROBERTS SHELIA A  
SMALL KRISTI L  
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WESTMORELAND SARA J  
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BEELER ANITA S  
BELT HEATHER D  
BOYD TARYN M  
BRADLEY JOSEPH S  
BURNS AARON M  
CANELLA ALISON C  
CATES JOSEPH C III  
CHRISTIANSSEN STEVEN D  
CLAPP JINGER K  
CLARK RITA L  
COLEMAN AHMOS A  
COLEMAN KRISTEN C  
COOPER TORI J  
CORNWELL ALBERT R JR  
COURSEY JAMES H  
CRONCH NADIA B  
DAVIS DUSTIN R  
DIETRICH DANIEL B  
DUWE BRIAN Z  
ELLIS CRYSTAL J  
ENGLISH MARK A  
FOWLER TRINA E  
FRANKLIN VICKY L  
GENTRY BRIAN C  
GIBSON LEAH  
GREAM JENNIFER D  
HATTON TODD P  
HOBBS TESSA C  
HUFF ARTEZ T  
HUMPHREY ASHELEY B  
JETT LANA M  
JOHNSON DANIEL R  
KELLY DANIEL S  
KENADY JOHN A  
LEE KAREN D  
MAY TAMARA K  
NOLIN JOSEPH C  
OBRIEN KAREN B  
PETERSON KATHRIN R  
RADCLIFFE CRYSTAL K

ASSOCIATE IN APPLIED SCIENCE

BUSINESS TECH-MANAGEMENT

BERTKE STACEY M  
CAMPBELL KENDRA F  
CHANDLER EDIANY M  
GRIFFIN ANGELA L  
SAPP BETTY L  
UNDERWOOD APRIL S

OFFICE SYSTEMS

HORN DANIELLE L  
LANCASTER AMY R  
SMILEY SELENA A  
WELLS MICHAEL S

BUSINESS TECH-ACCOUNTING

CAMPBELL TAMMY C  
CENTRACCHIO KINA G  
CONKRIGHT KATHERINE L  
CRAFT NANCY N  
DAVIS APRIL D  
DURBIN KAREN M  
LOGSDON EYDIE L  
MCDANIEL DEBORAH J  
RANDOLPH AUDRIEL L  
RIEGER MARTHA A  
SWIHART LEAH K  
WILLIAMS BENJAMIN W

NURSING

AMBROSE ANGELA J  
CHANDLER-BENGE INA J  
CLUBBS MANDY N  
COMPTON LORETTA E

ENGINEERING TECHNOLOGY-ELECTRICAL

ADAMS DANIEL W  
BURKE STEVEN K  
HARRIS STEVEN B  
MCDOWELL HAYDEN O  
STALLINGS WILLIAM B II

HUMAN SERVICES

DICKENS APRIL T  
EMBRY VICTORIA L  
FULKERSON TINA L  
MERCER JESSICA S

LAW ENFORCEMENT TECHNOLOGY

HOWARD CHAD D  
JOHNSON TIMOTHY A  
LEACHMAN LESLEY H  
MORROW SCOTT A  
SCOTT CATHERINE E  
WELLMAN NICHOLAS E

PADUCAH COMMUNITY COLLEGE

RAY ANDREA M  
 REED NORMA J  
 ROBERTS DANIELLE  
 SCHLOSSER JON  
 SCHMIDT ALYSON N  
 SMITH CYNTHIA T  
 SPEER APRIL H  
 STIGALL TARA D  
 TOWNSLEY TANAKA S  
 WEDGE BRAD J  
 WELLS TAMMY S  
 WHALEY DAVID G JR  
 WURTH GREGORY S  
 WURTH TONYA M  
 YANCEY KIMBERLY G

ASSOCIATE IN SCIENCE

ABELL DUSTIN M  
 ALVEY SCARLETT V  
 BARRETT HEATHER M  
 CARPENTER SHANNON L  
 CHAMPION AMANDA P  
 COBB AMY M  
 COFFEY GINGER R  
 CRUMP THOMAS H  
 DOTSON JASON E  
 EAGAN GINA C  
 GREER RHIANNON D  
 HALL WILLIAM E II  
 HAMILTON BARRY  
 HUGHES ANDREW B  
 JACKSON STEPHANIE L  
 NITTING CHRISTIE J  
 OLIVER ROBERT M JR  
 RAMAGE DANIEL D  
 RUSSELL KELLY D  
 SLANKARD SCOTT A  
 SMITH JANNA B  
 SMITH MEGAN E  
 SPENCER LANCE A  
 SULLIVAN MARK A  
 TEMPUS JAMES L  
 TERRY WILLIAM K

TOSH STEPHENIE C  
 TRACY RICHARD L  
 WALLACE JOHN T  
 WEBB DEBRA A  
 WILLIAMS DYLAN  
 WILSON RYAN R  
 WOODALL JEFFREY T  
 WYATT STEPHEN B  
 YATES TORSH L

ASSOCIATE IN APPLIED SCIENCE

BUSINESS TECH-MANAGEMENT

CORNWELL PAMELA S

OFFICE SYSTEMS

GOODWIN SHERRI L  
 PYLE SHARON G

BUSINESS TECHNOLOGY-MIS

WALKER CHRISTY D  
 WOOD NANETTE L

BUSINESS TECH-ACCOUNTING

BLACKWELL JACOB H  
 STEELE ALLISON M

NURSING

ANGLE JAMES M  
 BLADON WENDY R  
 BONE AMBER L  
 BREWER GINGER K  
 BROCK CLAUDIA W  
 COX RICHARD D  
 DARNELL LISA C  
 DILLINGHAM STEPHANIE L  
 DUNAWAY TAMARA M  
 EDWARDS LISA M  
 GATLIN CYNTHIA M

HAYNES BRENDA C  
 HERRAN SHARON L  
 HINES RACHEL A  
 HUTCHING ANGELIQUE M  
 JACOBSON JENNIFER M  
 JEWELL APRIL G  
 LANE REBECCA S  
 LANGLEY LYDIA R  
 LEECH AMANDA M  
 LEWIS TONYA J  
 LYNN MICHAEL R  
 MCLEOD JONDA M  
 MOORE WENDIDAWN  
 OTEY HELEN Q  
 PIERCE TAMMY S  
 ROGERS SHERRY J  
 WATKINS ILA A  
 WENDT CORINNA A  
 WOOD AMY M  
 YANCEY KATHY

PRESTONSBURG COMMUNITY COLLEGE

ASSOCIATE IN ARTS

BAKER SUZANNE  
 DOTSON NAN  
 HICKS MELISSA G  
 KING LEAH A  
 LAFFERTY MATTHEW B  
 MUSIC CHRISTOPHER D  
 NEWSOME TAMMY  
 THACKER KEVIN

ASSOCIATE IN SCIENCE

HAMILTON DELENA M  
 KIDD TARA D  
 MCKENZIE KEITHEN D  
 SMITH JERI L

ASSOCIATE IN APPLIED SCIENCE

BUSINESS TECH-MANAGEMENT

MYERS JUDY C

BUSINESS TECHNOLOGY-MIS

ABSHIRE CHRISSY J

BUSINESS TECH-ACCOUNTING

CANTRELL JAMES A

SOMERSET COMMUNITY COLLEGE

ASSOCIATE IN ARTS

BACON JAMES R  
BAKER SAMANTHA M  
BALL TIMOTHY L  
BARNES BRIAN R  
BOWERS PRISCILLA A  
CARTER PENNY L  
CORDER VIRGINIA G  
DELVER SAMANTHA A  
DOLEN LESLIE R  
DUNCAN WENDY R  
FARMER ROBIN S  
FLYNN HEATHER J  
FOSTER ERVIN H  
FREELS KIMBERLY L  
GENTRY ANGELA M  
HALL BEN P  
HEATH REBA M  
HOFFER CHERYL A  
HOKE BONNIE L  
HOLDEN PEGGY  
HURT TERESA A  
JANOWSKI JODI L  
JOHNSON DENISE M  
LOVE TINA L  
MCKNIGHT CHRIS M

WESLEY SHARON S

ASSOCIATE IN APPLIED SCIENCE

BUSINESS TECH-MANAGEMENT

COLLINS DAMON C

BUSINESS TECH-MANAGEMENT

BROWN ROBBIE  
HILL MARY E  
HOUSTON BRENDA S  
KNIFLEY MELISSA A  
MCGOWAN JONI L  
WILSON DEBRA A  
WILSON GREGORY S

BUSINESS TECHNOLOGY-OS

HASH AIMEE J  
REXROAT TRINA R

BUSINESS TECHNOLOGY-MIS

DAVIS SARAH L  
PEMBERTON CAROL A  
RENEAU BOBBY R  
SULLIVAN MARGARET  
WHITIS VIVIAN R

BUSINESS TECH-ACCOUNTING

PENNINGTON STELLA M  
PING SANDRA L

PHYSICAL THERAPIST ASSISTANT

ALLEN DIANE  
BASTIN CHRISTOPHER N  
CAMPBELL EDWARD D

MCQUEEN JAMI S  
MEECE CAMIE R  
MORRIS TIFFANY S  
NEAL ROSIE J  
PERRY DEANIE C  
PETERS FONDA S  
PHELPS REBECCA C  
PING KIMBERLY I  
PRICE SHEILA K  
PRICE STEPHANIE L  
REYNOLDS STEPHANIE A  
ROLLINS VIOLA A  
SHEAR SHEILA C  
SIZEMORE ANGELA D  
SOWDER REBECCA J  
SPARKS RAMONA L  
STANFILL DEBRA A  
STINSON CARISSA N  
STRUNK JOHNNY SR H  
STRUNK TROY L  
SUMNER JULIE A  
SUTTON WILLOW S  
TAYLOR BELINDA K  
TAYLOR LISA M  
TROXELL JESSICA L  
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WESLEY SHARON S  
WHITIS CARRIE A

ASSOCIATE IN SCIENCE

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CAIN JEANNIE S  
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DAVIS SABRINA L  
FERRELL CYNTHIA Q  
HARRIS JASON D  
HICKS CHAD A  
HOLCOMB PHYLLIS J  
KRAHENBUHL JENNIFER N  
PYLES ALISHA A  
RADFORD JEREMY W  
SIBERT JIM H  
WAGERS BRIDGETT M

DICKENS CAROL Y  
KIDWELL MELISSA G  
LAWSON MICHAEL L  
MASSENGALE ANGELA D  
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MILLER RAYMOND A  
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ROSE CLONA L  
SHEPPERD CLAUDETTE R  
STEPHENSON JACINDA D  
VANHOOKE JENNIFER L  
VANOVER STEVEN R  
WALLACE JOHN A

SOUTHEAST COMMUNITY COLLEGE

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ANDERSON CRYSTAL J  
ANDERSON JAMIE R  
AYERS MARY  
BALLOU VONDA G  
BATES CECELIA M  
BENSON MANDI K  
BENTLEY MISTY D  
BLEVINS JUSTIN A  
BOWMAN ANNA H  
BRADSHAW RYAN R  
BROOKS CAROL F  
COMBS RHONDA D  
COWAN BARRY D  
COX TRAVIS M  
DAVIS MATTIE R  
DEAN CHERYL A  
DEFEVERS JACQUELINE M  
DOUGLAS MELISSA G  
DYKES BARBARA L  
ENGLAND TYWANA W  
FARMER ERICA T  
FIELDS TAMELA L  
FUSON JENNIFER C

GIBBS JERRY L  
GOINS JEAN M  
GRAY TIFFANY L  
HARRIS SABRINA L  
HOWARD KIMBERLY J  
JACKSON DONALD JR L  
JENKINS TRAVIS J  
JOHNSON MISTY G  
KELLY STACIE L  
KILGORE DONNA G  
KING MELISSA J  
MAXWELL MARY R  
MOODY WILLIAM T  
MORTON CARLA C  
MYERS RHIANNON E  
NOBLE DEBRA A  
NORTH FRED A L  
ORICK BRIAN K  
OWENS MELISSA A  
PANNELL TERRY D  
PATTERSON VIRGINIA L  
PETRA JASON M  
POSEY SUSAN M  
PROFITT HELEN K  
RALEIGH ELIZABETH E  
RICE AUTUMN B  
RICHARDSON MISTY  
ROSENBALM SANDRA D  
SAMPSON KAYCE L  
SAYLOR JEANIE E  
SHEPHERD JIMMY D  
SILCOX STEVEN K  
SLUSHER PATRICIA A  
TEAGUE KIMBERLY A  
TOLSON TRACY M  
TURNER DALLAS J  
WEBB JODY R  
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COUCH ANGELA R  
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ELLISH MELISSA H  
HALCOMB CASEY A  
HUNSUCKER SHELLY D  
HURST COURTNEY  
ISAAC KEVIN  
ISON STACEY L  
JUSTICE SARA A  
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MITCHELL STEPHANIE D  
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PURSIFUL LAUREN E  
ROSE JOYCE  
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SHELBY JOYCE C  
SIMPKINS REGINA L  
WHITE VICKY M  
YOUNG DEBORAH P

ASSOCIATE IN APPLIED SCIENCE

BUSINESS TECH-MANAGEMENT

GIBSON LORI A  
ROWLETT BARBARA J

OFFICE SYSTEMS

AMBURGEY PAULETTE S  
BROWN STACY J  
COMBS DORIS  
CORNETT SHANA L  
HALL BELINDA H  
MASSINGILL JENNIFER D  
MOBLEY RENAY S  
NAPIER JULIA I

PACK PAMELA A  
WADA YURIA  
WHITAKER ANNETTE M  
WILLIAMS SUZANNE M

BUSINESS TECHNOLOGY-MIS

BOGGS EVERETT R  
MCFALL SHEILA L

CLINICAL LAB TECHNICIAN

ROOP RICK

RESPIRATORY CARE

COLLETT VELDA J  
EDWARDS STEVEN R

# PR 5A

Office of the President  
December 14, 1999

Members, Board of Trustees:

## CANDIDATES FOR DEGREES UNIVERSITY SYSTEM

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the University Senate.

Background: In order that the degrees may be conferred upon the individuals completing requirements in December as soon after the close of the semester as possible, it is recommended that the Board of Trustees give its approval contingent upon certification by the Registrar and the University Senate that all requirements have been satisfactorily completed.

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Action taken:



Approved

☐ Disapproved

☐ Other \_\_\_\_\_

**OFFICE OF THE UNIVERSITY REGISTRAR**  
**CANDIDATES FOR DEGREES – DECEMBER 1999**

|                                     |     |                                        |     |                                            |      |
|-------------------------------------|-----|----------------------------------------|-----|--------------------------------------------|------|
| <b><u>Graduate Degrees:</u></b>     |     | <b><u>Undergraduates (cont'd):</u></b> |     | <b><u>Undergraduates (cont'd)</u></b>      |      |
| Ph.D.                               | 149 | B.S.L.A.                               | 2   | B.S.ME.                                    | 3    |
| Ed.D.                               | 13  | B.S.NRC.                               | 3   | B.S.MECH.E.                                | 23   |
| Ed.S.                               | 13  | B.S.P.S.S.                             | 17  | B.S.MINE.                                  | 3    |
| M.A.                                | 74  |                                        |     |                                            |      |
| M.A.EDU                             | 9   | TOTAL                                  | 72  | TOTAL                                      | 142  |
| M.B.A.                              | 56  |                                        |     |                                            |      |
| M.F.A.                              | 1   | Allied Health                          |     | Fine Arts                                  |      |
| M.H.A.                              | 4   | B.H.S.                                 | 41  | B.A.                                       | 25   |
| M.H.P.                              | 3   |                                        |     | B.F.A.                                     | 7    |
| M.M.                                | 4   | TOTAL                                  | 41  | B.M.                                       | 2    |
| M.P.A.                              | 5   |                                        |     | B.M.E.D.U.                                 | 7    |
| M.R.C.                              | 12  | Architecture                           |     |                                            |      |
| M.S.                                | 110 | B.ARCH.                                | 13  | TOTAL                                      | 41   |
| M.S.ACC.                            | 1   |                                        |     |                                            |      |
| M.S.B.A.E.                          | 5   | TOTAL                                  | 13  | Human Environmental Sciences               |      |
| M.S.B.E.                            | 10  |                                        |     | B.A.ID.                                    | 2    |
| M.S.CHE.E.                          | 1   | Arts and Sciences                      |     | B.S.DIE.                                   | 10   |
| M.S.CIV.E.                          | 12  | B.A.                                   | 201 | B.S.FAM.                                   | 33   |
| M.S.C.D.                            | 2   | B.G.S.                                 | 2   | B.S.F.S.                                   | 2    |
| M.S.EDU.                            | 43  | B.S.                                   | 74  | B.S.H.M.                                   | 21   |
| M.S.E.E.                            | 19  |                                        |     | B.S.MAT.                                   | 17   |
| M.S.FAM.S.                          | 16  | TOTAL                                  | 277 |                                            |      |
| M.S.FOR                             | 8   |                                        |     | TOTAL                                      | 85   |
| M.S.L.S.                            | 37  | Business and Economics                 |     |                                            |      |
| M.S.MAEN.                           | 2   |                                        |     | Nursing                                    |      |
| M.S.MA.SE.                          | 2   | B.B.A.                                 | 163 | B.S.N.                                     | 25   |
| M.S.ME.                             | 12  | B.S.A.                                 | 75  |                                            |      |
| M.S.NUR.                            | 16  | B.S.B.E.                               | 11  | TOTAL                                      | 25   |
| M.S.P.H.                            | 14  |                                        |     |                                            |      |
| M.S.P.T.                            | 5   | TOTAL                                  | 249 | Social Work                                |      |
| M.S.RMP.                            | 2   |                                        |     | B.A.S.W.                                   | 51   |
| M.S.V.EDV.                          | 3   | Comm. & Information Studies            |     |                                            |      |
| M.S.W.                              | 41  | B.A.                                   | 39  | TOTAL                                      | 51   |
|                                     |     | B.S.                                   | 36  |                                            |      |
| TOTAL                               | 704 | TOTAL                                  | 75  |                                            |      |
| <b><u>Professional Degrees:</u></b> |     |                                        |     | <b><u>Lexington Community College:</u></b> |      |
| J.D.                                | 5   | Education                              |     | A.A.                                       | 30   |
| D.Pharm.                            | 5   | B.A.EDU.                               | 84  | A.S.                                       | 12   |
| M.D.                                | 2   | B.S.EDU.                               | 8   | A.A.S.                                     | 48   |
| TOTAL                               | 12  |                                        |     | TOTAL                                      | 90   |
|                                     |     | TOTAL                                  | 92  |                                            |      |
| <b><u>Undergraduates:</u></b>       |     |                                        |     | <b>Graduate Degrees</b>                    |      |
| Agriculture                         |     | Engineering                            |     |                                            | 704  |
| B.S.A.B.                            | 5   | B.S.AGR.E.                             | 6   | Professional Degrees                       | 12   |
| B.S.A.E.                            | 19  | B.S.CHE.E.                             | 1   | Undergraduate Degrees                      | 1163 |
| B.S.AGR.                            | 21  | B.S.CIV.E.                             | 44  | Associate Degrees                          | 90   |
| B.S.A.S.                            | 4   | B.S.C.S.                               | 31  |                                            |      |
| B.S.FOR.                            | 1   | B.S.E.E.                               | 31  | TOTAL                                      | 1969 |

**December 1999 Degree Candidates  
November 24, 1999**

**The Graduate School**

**Dean: Michael T. Nietzel**

**Doctor of Philosophy**

Adkison, Gregory Patrick  
Alanazi, Mohammed  
Alexander, Elizabeth Craycraft  
Al-Ghananeem, Abeer Mahmood  
Anderson, Todd Anthony  
Andrews, Robert Louis II  
Arnold, Timothy Wayne  
Bauman, Robert Paul  
Biktimirov, Ernest Nailovich  
Blankenbuehler, Mark Thomas  
Bogardus, Susan Lower  
Bosman, Marcellus M.  
Bottorff, Mark Clinton  
Brenner, Annette Marie  
Bruce, Shirley  
Burnett, Sandra Hale  
Cain, Linda Beth/DeVere  
Carney, Virginia Moore  
Cheng, Jijun  
Childs, Sharon Barrow  
Chirwa, Evans Nkhalambayausi  
Chowdhury, Biswanath  
Christy, Michael Eric  
Chung, Seyung  
Claycomb, Robert Brandon  
Coddling, Mitchell Allan  
Cook-Newell, Margaret Ellen  
Cornett, Sean Troy  
Corrello, Myra Lynette  
Davis, Rachel S.  
Deen, Diana Rhea  
Deshpande, Uday Shripad  
Diener, Mary Beth  
Ding, Xu  
Dupont, Joan May  
Dyasi, Moffat Mabhelandile  
Eliason, Eileen Amber  
Emerick, Ellen L.  
Eyigor, Ozhan  
Fang, Hui  
Feeney, Gregory James  
Finlin, Brian Scott  
Fister, Susan Grace  
Ghosheh, Omar Abdel-Kareem  
Goff-Yates, Amy Lee  
Gonsalves, Gerald Caesar  
Greer, Monica Lynne  
Gregory, Chad Alan  
Griesinger, Lawrence Edward III  
Guan, Xiaoyi

Hamman, Brigitte  
Hao, Huiqing  
Harb, Omar Shehadeh  
Harper, Charl Ann  
Heath, Charles Edward  
Hempfen, Paula Michelle  
Henry, Kenneth William  
Holland, Robert E.  
Houston, Robert Grant, Jr.  
Hoyt, Cheryl Ann  
Huang, Liyue  
Huff, Marlene Belew  
Hundley, Cristi Moran  
Ibrahim, Wissam Hashem  
Jackson, Mark Andrew  
Johansson, Tulin Ozdemir  
Johnson, Rana  
Katz, David  
Kim, Jeong-Sook  
Kimmeli, David Mutua Samson  
Kind, Kerry D.  
Kleba, Jennifer Elizabeth  
Ko, Yeonkyu  
Koh, Seung-Hahn  
Koning, Gwen  
LaBarca de Paz, Yanira  
Lemons, Paula Preusz  
Lewis, John Sherwood IV  
L'Hote, Leland John  
Lin, Chengbin  
Liu, Gang  
Loan, Theresa Denise  
Mains, Susan Pamela  
McDonald, Juliana  
McGrath, Leticia Joyce  
Mehta, Sunil  
Mills, Rose M.  
Moddeman, Gail Ruth  
Moss, Melissa Ann  
Navsariwala, Umesh Damodar  
Odunro, Paul Kwame  
Ong, Tiow-Gan  
Otis, Melanie D.  
Ozmen, Ahmet  
Parshall, Mark Benjamin  
Pergande, Delia Thompson  
Phipps, Stephen D.  
Pierce, James Lewis  
Poloyac, Samuel Mark  
Prunty, Sandra Kay  
Qasem, Jaber Ghaleb  
Rajpal, Deepak Kumar  
Redd, Margaret G.

Remley, Daniel Thomas  
Richards, Christopher Jay  
Rockich, Kevin Thomas  
Rulon, Linda L.  
Sadowski, Jennifer Anne  
Sagandkyov, Makhmud  
Salins, Lyndon Lloyd Ebenezer  
Sarkari, Marazban  
Segebarth, Marsha Craft  
Simmons, Alan Joseph  
Simpson, Kristen Suzanne  
Sionean, Catlainn  
Smith, Lynn Turner  
Smith, Rhonda Lynn  
Smolira, Joseph Charles  
Sparks, Michelle Howard  
Starks, Linda Cranmer  
Stika, John F.  
Stratton, Terry Dean  
Symons, William Charles  
Thompson, Robert Ingram, Jr.  
Thompson, Tammy L.  
Tori, Scott Leander  
Udie, Matthias Akomaye  
van de Ligt, Jennifer Lynn Gentry  
Varner, Melissa Anne  
Venkatram, Srinivas  
Verdecchia, Carlos Alberto  
Vishwanathan, Raman  
Wan, Jinrong  
Wang, Jinaquan  
Ward, Cheryl B.  
Warren, Graham Walter  
Warren, Lori Kay  
Wilkins, Lincoln Haworth, Jr.  
Williamson, Deborah Lynn McKibben  
Wright, Donna Wynne  
Xu, Jinzhong  
Yang, Xintong  
Yin, Jianming  
Zaman, Naveed  
Zeytin, Hasan E.  
Zhan, Xiaonong  
Zhang, Jiqing  
Zhang, Qiang  
Zhang, Yue

**Doctor of Education**

Adams, Constance Ewing  
Adams, John LeScott  
Barlow, Doris Seats  
Bauder, Debra K.  
Bryson, Bonnie Fay



Cabell, Lee  
Cook, Sandra L.  
Jones, Edith Gayle  
Moore, Kevin Christopher  
Powers, Sherry Wilson  
Ridgeway, Gail Cross  
Snead, Donald  
Strangis, Diane Elizabeth

#### **Educational Specialist**

Abner, Gerald Henry  
Collinsworth, Angela Trusty  
Denham, Anne Patricia  
Hibbard, David Lee  
Martin, Mary Lillian  
Moffett, Carol D.  
Mueller, Kristin Louise  
Murphy, Gloria Wright  
Murray, Laura Anne  
Peppiatt, Katherine Forgy  
Walker, Jennifer Dawn  
White, Maria Thomas Ladopoulou  
Young, B. Suzanne

#### **Master of Arts**

Adams, Alicestyne Turley  
Alas, Jose Antonio  
Anglin, Julie Kristin  
Beckett, Ava Elaine Crafton  
Boyles, Cherry Mills  
Brown, Nathan Scott  
Campbell, Michelle Dawn  
Cecil, Gerald T.  
Chamberlain, Mary Catherine  
Chaney, Daniel  
Christie, Samantha Connell  
Cloyd, Shannon Stone  
Dechant, Jason Alan  
de Lama, Mayte  
de Martino, Paola  
Denton, Andrea McDowell  
Domatov, Elena  
Dowd, Ray Ross  
Eadie, Yulonda Diane  
Earles, Laura Lee  
Eng, Christina Andrea  
Forest, Reginald Carl  
Frazier, DeWayne Preston  
Garcia, Jason D.  
Gorter, Sarah Elizabeth  
Groves, John Russell  
Hanlon, James Arthur, Jr.  
Hartford, Charles Wesley  
Hartford, Charles Wesley  
Hertzog, Jeffrey Robert  
Ishizuka, Wataru  
Karamehic, Ajlina  
Kincheloe, Richard Bondurant

Kingsbury, Paul Thomas  
Kremer, Scott Gerard  
Kumar, Sanjeev  
Lee, Sunryoung  
Long, Esther Grace  
Long, Matthew Browning  
Manosa, Cecelia  
Marshall, Laura Jane  
Mason, Michele Hickey  
McBride, John David  
McCourt, Matthew James  
McIntyre, Rebecca D  
McKay, Tracy Leigh  
Menzel, Matthew Michael  
Mueller, Stacey Ann  
Nagumo, Takako  
Piccirilli, Todd A.  
Pour, Jennifer Michelle  
Prater, Mary Elizabeth (Lisa)  
Rambsy, Phillis Hegmon  
Renkey, Matthew Emmery  
Rodriguez-Vera, Angelo Javier  
Sapp, Kevin Jon  
Saylor, Kimberly Iris  
Scales, Dalayna Dyan  
Shelton, Cynthia Lynne  
Slane, Michael Shawn  
Stackelbeck, Kary Lynn  
Strother, Eric Scott  
Tuttle, Liana Vasseur  
Vencill, Margarita Georgieva  
Walters, Kelly Scott  
Warren, Albert Samuel  
Webb, Lisa A.  
Williams, Jason McKinley  
Williams, Megan Kathryn McCarty  
Wilson-Mobley, Tiffany Renee  
Winokur, Ian  
Wise, George Maxwell  
Zeller, Cynthia Enlow  
Zurcher, Jeffrey Ryan

#### **Master of Arts in Education**

Buchanan, Cynthia Carole  
Elam, Jason T.  
Hale, Charles Hugh II  
Lynn, Jennifer  
McAboy, Timothy James  
Peach, Joseph Daniel  
Sweeney, Sandra Kaye  
Wheatley, Shawn Paul  
Workman, Tammie Anne

#### **Master of Business Administration**

Bell, Stephanie Marie  
Branham, Dana Shanell

Brien, Joshua Van  
Brooks, Ernest Adalbert III  
Brown, William Nichols  
Bullock, Curtis Edward  
Chenault, Michael Orlando  
Collins, Steven G.  
DeVasier, Robert Lee  
Disponett, Kenneth Bruce  
Dotson, James Lee  
Dunaway, Donald Ray  
Eaton, Joey Thomas  
Evans, Mark Ellis  
Faulkner, Amy Griffin  
Freer, Philip David  
Fullwood, Archie Leon, Jr.  
Giannasio, Brian Alan  
Guerrini, Joe Alfonso  
Hall, Benjamin Aaron  
Haney, Richard Tyler  
Haynes, Charles Edward  
Heller, Daniel Scott  
Howard, Michelle Theresa  
Johnson, Bradford Charles  
Jones, Jeffery Allen  
Jones, Marc Edward  
Kail, Jon William  
Karoonyavanich, Anuruk  
Kennedy, James R., Jr.  
Kung, Huat Keat  
Luchini, Paul Louis  
Magee, Tyler C.  
Maggard, Kristi Renee  
Mattingly, Barton Kelly  
McGee, J. Patrick  
McGough, Suzanne Mae Arnold  
McLetchie, F. Roxanne  
Morgan, Jason Scott  
Mullins, Troy L.  
Musuwan, Jithida  
Palmer, David Alan  
Palmgreen, Agnes M.  
Piper, Michael Jon  
Pogge, Eric Michael  
Porter, Damon James  
Price, James Odis  
Ricketts, Jason Andrew  
Schott, Jennifer Elaine  
Sexton, Deborah LeTourneur  
Shepard, Eddie Louis II  
Slevin, John T.  
Svetich, Susan Kathleen  
Sytna, Natalya Nickolayevna  
Wilson, David Alan  
Woodall, Demetria Nicole

#### **Master of Fine Arts**

Shaver, Michael C.

### **Master of Health Administration**

Fisher, Steve B.  
Fishman, Gregory Todd  
Maxwell, Michael Lawrence  
Phelps, Britton Ellison

### **Master of Historic Preservation**

Bruning, Stephanie Lyn  
Kennedy, Rachel Maria  
Rawe, Anthony William

### **Master of Music**

Gaither, Amy Curnow  
Kirven, David Curtis  
Mattingly, Christopher J.  
Williams, Paula Thomas

### **Master of Public Administration**

Clements, Christopher James  
Gill, Anna Marie  
Hale, Whitney Suzanne  
O'Donley, Shane Patrick  
Price, Ronald Thomas

### **Master of Rehabilitation Counseling**

Baldrige, Jennifer Lynn  
Chadwell, Shannon Lyn  
Cole, Colleen Patricia  
Flores, Kimberly Claire  
Garrett, Almeda  
Goddard, Russell Leonard, Jr.  
Goodman, Emily H.  
Gross, Jane Lemay  
Mills, Bradley Wayne  
Nobles, Pamela Holzman  
Osburn, Donna Baker  
Wallace, Pamela H.

### **Master of Science**

Adu, Clement  
Aggarwal, Vishakha  
Alahakone, Dineli  
Atkins, Sandra Katherine  
Balasubramanian, Sumitra  
Balistreri, Joel Matthew  
Bendall, Gareth Llywellyn  
Berman, Seth Alexander  
Bond, Mary Elizabeth  
Botts, Aleta Gale  
Budziszewski, Gregory Joseph  
Chafins, Billie Sue  
Chandwadkar, Ashish Arvind  
Chappell, Angela Jean  
Chen, Denglin  
Chen, Kun

Chen, Won Sun  
Cherry, Elizabeth Ann  
Clement, Tricia Suzanne  
Cluxton, Elizabeth Ellen  
Constant, Louay M.  
Cragar, Dona Eva  
D'Allaird, Jennifer Lynne  
Dammu, Kumar Satya Seshu  
Ewing, Justin M.  
Feng, Zhonghan  
Garg, Ajay  
Gazula, Vikram  
Gobin, Robert Michael  
Greis, Maria Theresa  
Hancock, Dennis Wayne  
Handy, Thomas Gordon, Jr.  
Harish Kaushik, Calathur Gopal  
He, Yi  
Held, David Wayne  
Hoy, Heather Lynn  
Jones, Heather A.  
Keeton, Kara Kate  
Kreuger, Betty  
Kyner, James Laron  
Levens, Steven Neil  
Li, Min  
Liu, Chengan  
Liu, Deyu  
Loper, Alphonse Lamont  
Ludlum, Michael Peter  
Lybarger, Kathryn Jane  
Maul, Jude Eastman  
McClary, Kristi Rhea  
McDonald, Edward Carson  
Mehta, Kaushal Indravadon  
Mock, Heather Anne  
Moore, Joseph F.  
Morrison, Cynthia Barker  
Munshaw, Gregg Colin  
Nadolski, Jeremy Brian  
Newton, Cara L.  
Oakley, Kay Sue  
Parkington, Jennifer Kay  
Peyyala, Rebecca  
Pinkston, Warren Wade  
Pratt, Shannon Elizabeth  
Rallapalli, Jagannath  
Rice, David Lee  
Riggins, Seth William  
Ruth, Dana Lee  
Sabirianova, Klara Z.  
Sagandykov, Makhmud  
Shah, Dhaval Babulal  
Shah, Himanshu Hasnmukh  
Shah, Sandip Khushal  
Sheehan, Kevin Christopher  
Shen, Lei  
Simmons, Ann Elizabeth

Smash, James A.  
Smith, Roger Dwayne  
Sohn, Johann  
Solares, Ronald A.  
Sparks, Jae Allen  
Spaulding, Aslihan Demiralay  
Srinivasan, Arun Kumar  
Sumanasekera, Wasana Kumarihamy  
Sur, Gargi  
Sweat, Christofer Jon  
Symons, T. Brock  
Taggart, Michael E.  
Tan, Hepan  
Tang, Liwen  
Taylor, Jason Matthew  
Thielen, Vidalita  
Tumuluri, Gopala K.  
Vest, Matthew Brian  
Walden, Stephanie Lynn  
Webb, Scott David  
Weddington, Theresa Jo  
Whatley, Stacey Wagner  
Whitaker, Jason Clint  
White, Travis Alan  
Whitman, Charles Spencer  
Wood, Steven Bradley  
Wu, Wei  
Yang, Xiaojun  
Yates, Jennifer Marie  
Young, Ann Marie Freytag  
Yu, Xiaolin  
Zhan, Xiaonong  
Zhang, Chi  
Zhang, Honghao  
Zhang, Lu  
Zhou, Weisong

### **Master of Science in Accounting**

Cavanaugh, M. Barbara

### **Master of Science in Biosystems and Agricultural Engineering**

Bullock, Elizabeth Bushee  
Carter, Seaborn Bernard  
Coffey, Marilyn Elaine  
Cornilleau, Guillaume Michel  
Hallany, Jihad Alirida

### **Master of Science in Biomedical Engineering**

Duncan, Todd Harrison  
Grandhe, Sarvani  
Kataria, Pankaj  
Kissling, Roger Alan  
Li, Dan  
McKale, James Michael

Pothini, Venu Madhav  
Raiche, Adrian Thomas  
Sankaranarayanan, Kripa  
Shultz, Jason Matthew

### **Master of Science in Chemical Engineering**

May, Brian W.

### **Master of Science in Civil Engineering**

Aldridge, Brian Edward  
Coy, Kelley Lynn  
Ee, Kong Lim  
Faith, Jana Wood  
Fister, Angela Elizabeth  
Girdwood, Rachel Ann  
Hopkins, Margaret Ruth  
Hunt, John William  
Kelly, Christopher Shannon  
Pavoni, Joseph Vincent  
Schweri, Laura Kathryn  
Swaynos, Jill Ann

### **Master of Science in Communication Disorders**

Sanders, James Donith  
Taylor, Kelly Johns

### **Master of Science in Education**

Anderson, Catherine Joy  
Arnold, Kelly Moore  
Beighle, Jennifer Marlow  
Blair, Teresa Lynn  
Bolling, Mary Howard  
Buckman, Holly Upchurch  
Burke, Kathy Ward Tackett  
Cavallo, Malinda Anne  
Chen, Ping  
Crow, Bobbie Jo (Hudson)  
Darbro, Tonia Gray  
Denicke, Carol  
Elkins, Katherine Lee  
Fresh, Heather Rene  
Garnett, Kelli Elizabeth  
Gauble, Kelly Suellen  
Grubb, Dianna Carol/Wellman  
Head, Kenneth David  
Hey, Susan Lynn  
Hubbard, Diana Lynn  
James, Holly Lynn  
Kaplan, Chela R.  
Kidd, Denisa Ann-Stephens  
Liang, Ya-Shu  
McGhee, Keisha Kumari  
Meadows, Cheri J.  
Meriwether, Margaret Babcock

Nicholls, Cynthia Atkerson  
Owens, Mark Edward  
Parker, Mary Ann McLoney  
Perry, Rita Hocker  
Pett, James Dennis  
Reed, Stephen Matthew  
Roark, Teresa J.  
Rosenblum, Melissa Britt  
Singleton, Jessie Daryl Wallace  
Slatter, Barbara Jean  
Taylor, Paula Denean  
Terhune, Kira M.  
Walker, Laura Lee (Holloway)  
Wentworth, Denise Shannon  
Wilburn, Darril  
Yost, Eva Marie

### **Master of Science in Electrical Engineering**

Black, Timothy Richard  
Choy, Mun-Yeong  
Durbha, Sreenivas  
Fernando, Ungamandadige Chameera  
Rajika  
Hamilton, Carter  
Hon, Kah Kee  
Huang, Mei T.  
Kalim, Ronald  
Kolachina, Janardan  
Kondagunturi, Ramakanth S.  
Liu, Shuo  
Matcheswala, Yusuf Mannan  
McIlvain, Terry Lee  
Porter, Joseph Evan  
Prabhala, Ramakrishna Devi  
Quabili, Shakura  
Schmidt, Stefan  
Sundaravadivelu, Ranganathan  
Yong, Wai-Mun

### **Master of Science in Family Studies**

Bosler, Kimberly Kathleen  
Cobb, Stephanie Michelle Lee  
Ferguson, John Thomas  
Fraleigh, Roxiana Marie  
Green, Kevin McLeod  
Howard, John Wesley  
Lutzer, Augustina Christina Szumko  
Miller, Christina Deutsch  
Mushegan, Tiffany Danele  
Myers, Heather Dorsey  
Phalsaphie, Angie Sepideh  
Rogers, Collette Brown  
Rucker, Rozanne Marie  
Smith, Elizabeth Georganne  
Stevenson, Whitney Alexander  
Tobin, Alicia Clay

### **Master of Science in Forestry**

Campbell, Raymond Dale  
Davidson, Wade Lynn  
Fischer, Linda Marie  
Housman, Helen Heather  
Miller, Carol S.  
Secrist, Dana Elizabeth  
Thompson, Amy Flaherty  
Yacek, Henry Francis, Jr.

### **Master of Science in Library Science**

Akers, Jeanine Marie  
Allard, Suzanne L.  
Bandurraga-Hole, Charlene Christian  
Beresford, Alice M.  
Best, Kenya Devonne  
Brandenburg, Miranda A.  
Bruner, Crystal Dawn  
Buck, Patrick Landon  
Carter, Barbara Simpson  
Conley, Betina Lark  
Cook, Richard Rion, Jr.  
Cummings, Edith Emily Helbert  
Deemer, Kevin Lawrence  
Douglas, Sandra Chalupa  
Finley, Emily Katherine Sedgwick  
Geglein, Paula Michelle  
Griffin, Donna G.  
Harrison, Dana Joy  
Heaton, Kelly Lee  
Houtchens, Charlotte Johnson  
Mihlbachler, Susan Elizabeth  
Olmstadt, William James  
Poole, Stephanie L.  
Roberts, Elizabeth A.  
Rouse, Ross Gerrin, Jr.  
Smith, Alicia Cecelia  
Spitzer, Beverly Fontaine  
Steensland, Paul Ronald  
Strong, Dustin Lance  
Tearney, Bryce Wayland  
Watson, Heather Carreen  
Watts, Greg Randall  
Weber, Mary Ellen  
Weber, Robert Kossuth  
Williams-Mitchell, Monica M.  
Winters, Kimball Charles  
Young, Deborah Downing

### **Master of Science in Manufacturing Systems Engineering**

Hsiao, Simon Kuo-Chih  
Liu, Jingwu

**Master of Science in Materials  
Science and Engineering**

Ma, Yong  
Mayes, Jessica Laurel

**Master of Science in Mechanical  
Engineering**

Alagar Krishnan, Balaji  
Chuah, Keng Hoo  
Congdon, Christopher David  
Jasin, Sugianto  
Kaufman, Micah Abraham  
Klusek, Carrie Ann  
Melam, Chandra Sekhar Naga  
Mohamed, Ravichandran  
Mohiuddin, Mohammed Iqbal  
Rommel, Matthew James  
Savaram, Radharani  
Wise, Kelly Andrew

**Master of Science in Nursing**

Albensi, Lisa Ann  
Boggs, Merry Sue  
Carlton, Heather Lane/Bradley  
Downey, Eileen Kelty  
Flesch, Suzanne Marie  
Grider, Tina Louise  
Happy, Rose Marie Hayden  
Hook, Sharon Marie  
Johnston, Rhonda Ann  
Lowry, Regina Cunningham  
McNeely, Constance Anne  
Patil, Neil Jagdish  
Rexford, Tracy Lynn/ Faris  
Shih, Marissa Eusebio  
Snapp, Janet Ann  
Whitlock, Judith Lynn Christie

**Master of Science in Physical  
Therapy**

Cook, Christopher Stephen  
Kitzman, Patrick Harvey  
Nelson, Beverly Sue  
Rhoden, Steven Hugh  
Taylor, Donald Bruce

**Master of Science in Public  
Health**

Allweiss, Pamela  
Bomar, John Cornelius, Jr. M.D.  
Clouse, Robert Jefferson  
Desai, Satyaki Girish  
Fendley, Gary Lester  
Kelly, Timothy Joseph

Kowalczyk, Shelly Marie  
Mathews, Robert Edward III  
McGonigle, Michael Blair  
Patel, Paresh Balu  
Schleenbaker, Debra Lynn  
Snider, Alison Janet  
Spengler, Susan Elizabeth  
Szeluga, RaeAnne

**Master of Science in  
Radiological Medical Phys**

Alahakone, Dineli  
Myron, Gwendolyn Patricia

**Master of Social Work**

Alexander, Mary Louise  
Arnold, Susan Alexandra  
Baker, Rebel L.  
Barnett, Dora Lynn  
Bates, Leslie Andrea  
Bledsoe, Jeanine Wilson  
Brewer, Crystal Lee  
Cantini, Lisa Maria  
Cecil, Kori Cooper  
Cole, Janet Leigh  
Coots, Lavonne Michelle  
Eldridge, Traci Michelle Gabbard  
Esh, Doster J.  
Fairchild, Melissa Rose  
Gillaspie, Jennifer Dean  
Heard, Geoffrey Carlton  
Higgins, Mary Anne  
Layne, Julie Renee  
Lemon, William Brent  
Martinez, Sonja Renate  
McCaffery, Chandra Latrice  
McCoomer, Sandra Ann/Guillory  
Murray, Keith Lamont  
Muse, Betty Zane  
Norris, Barbara Ruth (McCreary)  
Parsons, Angelia R.  
Patel, Rupal Natvarlal  
Perkins, Tracy G.  
Pruden, Jennifer Gayle  
Rafiuddin, Mahjabeen Fatima  
Ruprecht, Ellen Elizabeth  
Shannon, Cynthia Ann (Partin)  
Shropshire, Charles Larry  
Sizemore, Glenda Rochelle Krug  
Sparks, Deborah Ricketts  
Strong, Gary Gene  
Taylor, Carol A.  
Thomas, Gary L.  
Vandergriff, Rita J.  
Walker, Craig P.  
Willhoite, Megan Brielle

**Master of Science in Vocational  
Education**

Creech, Treva Camille  
Stamper, Charles E.  
Turpin, William Scott

**College of Law  
Acting Dean: Robert Schwemm**

**Juris Doctor**

Ahmad, Wael Mohammad  
Anderson, Amy Sullivan  
Higgs, Cynthia Lynn  
Hill, Timothy Logan  
Raney, Kristen Leigh

**College of Medicine  
Dean: Emery A. Wilson, M.D.**

**Doctor of Medicine**

Robinson, Alicia Jeanell  
Saxena, Sydney Suresh

**College of Pharmacy  
Interim Dean: William C.  
Lubawy**

**Doctor of Pharmacy**

Aldridge, Gregory Joe  
Hughes, Elmo Keith, Jr.  
Sawah, Khaula  
Sublett, Donald Anthony  
Winstead, Paula Shane

**College of Agriculture  
Dean: C. Oran Little**

**Bachelor of Science in  
Agricultural Biotechnology**

Brown, Brandee Therese Cline  
Curtsinger, Stacey Lark  
Davis, Jamie Lynn  
Fogler, Elizabeth Brewer  
Price, Larry David

**Bachelor of Science in  
Agricultural Economics**

Bernardi, Adrienne Ann  
Bolen, Jennifer Lynn  
Burdine, Kenneth Holton

Butler, Clayton Scott  
 Campbell, Lori Dyan  
 Coffey, Brian Keith  
 Cromer, Joshua Todd  
 Evans, Jennifer Nan  
 Floyd, Laura Beth  
 Gerhard, Nathan Daniel  
 Godbey, John Brad  
 Harrod, Olivia Anne  
 Johnson, Jim Hickman, Jr.  
 Knott, Brian Clarke  
 Koch, Stephen Ignatius  
 Maddox, Jason Michael  
 Shouse, Brian Keith  
 Simon, Peter James  
 Smith, Brian David

### **Bachelor of Science in Agriculture**

Ali, Sharon Sena Denise Morris  
 Bingham, Robert Thomas  
 Cannon, Victoria Jayne  
 Cundiff, Robert E.  
 Dawson, Tasha Maushea  
 Hale, Benjamin Patrick  
 Helm, Darla Christy  
 Insko, George F.III  
 King, Kelley Angela  
 Loy, Lisa Marie  
 Marksbury, Christi Lynne Ethel  
 New, John Russell  
 Pfluegl, Claudine  
 Reed, John Davison  
 Rush, Jarrod Carl  
 Shackelford, Mary Beth  
 Sorrell, Crystal Dawn  
 Staton, Michael Shawn  
 Webb, John Seldon  
 York, Travis G  
 Zinner, John Robert

### **Bachelor of Science in Animal Sciences**

Brown, Patricia Elizabeth  
 Groseth, Sanna Berntine  
 Nipper, Amy L.  
 Tsappis, Alexis Georgiou

### **Bachelor of Science in Forestry**

Yates, Daniel Lee

### **Bachelor of Science in Landscape Architecture**

Beckman, Jamie William  
 Ferris, Joseph William

### **Bachelor of Science in Natural Resource Conservation-Mgmt**

Droppelman, Peter Lee  
 Kratz, Heather Lynne  
 Shockley, Erica Gail

### **Bachelor of Science in Plant and Soil Science**

Bouchard, Suzanne Nurene  
 Bush, Phillip L.  
 Cheah, Siew Kean  
 Cooke, Kent Allan  
 Dreisbach, Andrew Todd  
 Floyd, Laura Beth  
 Furuya, Hideto  
 Gillespie, Samuel Lee  
 Hammerly, Michael J.  
 Hanson, John Paul  
 Henderson, John Anderson  
 Janoski, Michael E.  
 Lilly, Brian Christopher  
 Stephens, Amos Benjamin  
 Swindler, Victor Alan  
 Weck, James W.III  
 Wilke, Jeremy G.

### **College of Allied Health Dean: Thomas C. Robinson**

#### **Bachelor of Health Sciences**

Ackerman, Sara Elisabeth  
 Bennett, Howard Nicholas  
 Breeding, Tonya Noelle  
 Bromley, Stacy R Haynes  
 Carter, Letitia Emig  
 Caudill-Neace, Beverly Paige  
 Cook, Michelle Denise  
 Cornelius, Jessica McGuffey  
 Elbert, Bruce Boone  
 Eversole-Caudill, Michell  
 Fooshee, Sandra Lee  
 Garner, Laurie Kristine  
 Garrett, Mark Joseph  
 Geis, Leticia R.  
 Graybeal, Troy Bruce  
 Hale, Nancy White  
 Holmes, Wendy Denise  
 Hood, Ted Brian  
 Kaak, Kirsten Marie  
 King, Angela Larkey  
 Kramer, Brad Allen  
 Kurtz, Charlotte Mattson  
 Lasley-Bibbs, Vivian Aleta  
 Lee, Nicole Michelle  
 Lykins, Kimberly Renee

Martin-Wallace, Donetta Renee  
 Mattingly, Jennifer Bond  
 Meurer, Kathryn Ann  
 Qualls, Jamie Ann  
 Richerson, Heather Lynn Gabehart  
 See, Susan Troxell  
 Simons, Paula Shea Wilson  
 Sizemore, Jennifer Robinson  
 Tatum, Robert Ray  
 Thomas, Ann Holland  
 Trent, Teena Ison  
 Warren, Wendy Michelle  
 White, Chanty Lynn  
 Williams, Jeffrey Martin  
 Woods, Melissa Gayle  
 Younce, Ruby Anne

### **College of Architecture Dean: David Mohny**

#### **Bachelor of Architecture**

Ball, Kelly Kathleen  
 Campbell, Brian D'metri  
 Clements, John Hunt  
 Hernandez, Luis Enrique  
 King, Steven Travis  
 Le, Lily T  
 Oaks, Sabrina G  
 Paz, Sol Emmanuelle  
 Russell, H. Michael  
 Thornton, Lisa Michelle  
 Vaught, David Ray  
 Yoshida, Kazuo  
 Zausch, Walter Wallace II

### **College of Arts & Sciences Dean: Howard Grotch**

#### **Bachelor of Arts**

Ackerman, Amanda Ann Ryun  
 Allen, Christopher Morgan  
 Alvarez, Benjamin Lob  
 Armstrong, Kelly Ann  
 Baggett, Benjamin Lee  
 Baker, Ryan K.  
 Barnett, Dayna Elizabeth Bailey  
 Baskett, Christina Lynelle  
 Baskett, Christina Lynelle  
 Beaven, Richard Craig  
 Bell, Erik Dewayne  
 Best, Melissa Dane  
 Bishop, Robert Eugene  
 Blair, Jeremy D.  
 Boggs, Jenny Gardner  
 Bolanos, Sarah Marie

Bond, Maurey Blake  
 Bowling, Mary Nicole  
 Brooks, Kevin Matthew  
 Brown, LaGene Thomas  
 Bruser, Samuel Travis  
 Bunnell, Rebecca Lynn  
 Carpenter, John Kelly  
 Carson, Matthew Emile  
 Carter, Lisa M.  
 Caudell, Angela Dorothea  
 Cecil, Andrew Moore  
 Cheatham, Lexie Michelle  
 Clark, Mary Elizabeth  
 Cloud, William Gilmore  
 Collett, Shawn C.  
 Collinsworth, Mark  
 Cook, Jason L.  
 Cornell, Dennis Lee II  
 Couch, Harvey Matthew  
 Curtis, Todd Hunter  
 Darnall, Jason Franklin  
 Davenport, Beth Ann  
 DiMichele, Tony Robert  
 Duff, Ronda Fay  
 Duwan, Gottlieb Johannes  
 Early, Elizabeth Michelle  
 Edakawa, Sachiko  
 Edmondson, Andrea Lynn  
 Ellis, Preston Lindsey  
 Elmore, Winnifred Marie  
 Elswick, Mitch Brian  
 Fallin, Margaret Dianna Dowell  
 Ficke, Michael Chad  
 Fields, Jill L.  
 Fitzpatrick, Pashens  
 Fogler, Harry C.  
 Fowee, James Gregory  
 Freels, Joshua G.  
 Frye, Kristy Alice  
 Gaitan, Ariel Sergio  
 Garvey, Aaron Michael  
 Goebel, Gregory Alan  
 Goodlett, Amy Jean  
 Gresham, Jason Christopher  
 Griffith, Gregory Stephen  
 Gunn, Jill P.  
 Hall, Todd W.  
 Hamand, Julia Kay  
 Hardin, Rick Allen  
 Hays, Andrea Lyn  
 Hembree, Tina Marie  
 Hensley, William Taylor  
 Hermes, Stacey Lynn  
 Hettinger, Andrew Jay  
 Hittepole, Bridget Berg  
 Hollan, Christopher Jason  
 Holmes, Kevin Edward  
 Hord, Michael Brandon

Horton, Aaron Robert  
 Hostetter, Erik Stuart  
 Howard, Shauna Nicole  
 Huddleston, Robert L.  
 Hunt, Erin Patrice  
 Hunt, Todd Austin  
 Jamison, Daryl Chase  
 Jennings, Rachel Alison  
 Johnson, Elizabeth Ann  
 Johnson, Stacey Michelle  
 Johnson, Thad Joseph  
 Judd, Ashley Tyler  
 Justice, Patrick Duncan  
 Jylkka, Andrew B.  
 Kaak, Jennifer A.  
 Kavanaugh, Lanessa Darshea  
 Keith, Karlyle Martin  
 Kettenring, Richard Shawn  
 Kinstle, David Bruce  
 Koenig, Peggy Ann  
 Lampkin, Laveta Marie  
 Leathers, Ryan Noel  
 Litteral, Jason Alan Philip  
 Lyle, Margaret Alexander  
 Lyons, Edwin Duane  
 Malarik, Shawn Thomas  
 Malone, Wallis Ellen  
 Mateyoke, Julie Adele  
 McGarvey, David Thomson  
 McGlincy, Eric James  
 McGuire, Ryan Patrick  
 McNew, Ginger Lea  
 Meadows, Rebekah Karen  
 Metzler, David Christopher  
 Metzler, David Christopher  
 Million, Eric Alan  
 Moffitt, Christopher Michael  
 Monk, William Everett  
 Monks, Suzanne Irene  
 Moreland, Milton Thomas  
 Morris, Gregory M.  
 Mullins Phipps, Angela Gaye  
 Myrick, Jarrod Neil  
 Nally, Jason Scott  
 Nelson, Karen Christy  
 NeSmith, Talia Jeanne  
 Newquist, Keith Joseph  
 Niravong, Arounkone Eck  
 Noren, Teriann  
 Norman, Lynda Michelle  
 Ostrowski, Sarah Anne  
 Parsons, Elizabeth Ann  
 Patel, Smaran Ramj.  
 Plenge, Stephen Kent II  
 Pope, Jill Elizabeth  
 Pozun, Michael John III  
 Pratt, Robert Thomas  
 Preece, Amy Leigh

Pruitt, Ian Winchester L.  
 Ray, Heather Lynn  
 Rebholz, Christopher Joseph  
 Richie, Bradford Lee  
 Ricketts, Amanda Brooke  
 Riddell, Brian Andrew  
 Rink, Brian Michael  
 Robinson, Elizabeth Nicole  
 Rodriguez, Paul David  
 Rogers, Tracy Lynn  
 Romine, Trenton Jennings Kluz  
 Rucker, John Cameron  
 Russell, Tara Elaine  
 Salt, Cassandra Joyce  
 Salyer, Barbara Ann  
 Sampson, Leslie A.  
 Sanders, Sara Ruth  
 Sands, Kimberly Shea  
 Schickel, Thayre Allyn  
 Schild, Nicole Anne  
 Schilling, Geoffrey Carr II  
 Schmidt, Holly Burnett  
 Schofield, Julie Marie  
 Scott, April Lynn  
 Scott, Synthia  
 Seaver, Susan Elizabeth  
 Seger, Elizabeth Ann  
 Sergeant, Chandre Charis  
 Sheeley, Christie Lynn  
 Shiraishi, Maki  
 Simon, James Albert  
 Sirkle, Robert Jacob  
 Sizemore, Anne M.  
 Sizemore, Michelle Renee  
 Slater, Stephanie Stella  
 Slattey, James Joseph III  
 Smith, David Gary  
 Smith, Robert Scott  
 Smith, Shannon Tennille  
 Snider, Virginia Leigh  
 Sorsa, Jarmo Samuli  
 Sousley, Blanton CLay  
 Stanford, Tara Lynn  
 Stewart, Elizabeth Maria  
 Sullivan, Heather Colleen  
 Swallow, David Graham  
 Swanson, Clarence Steven  
 Tan, Sin Hui  
 Taylor, Sharon Ann  
 Taylor, Susan Lynn  
 Tharp, Stephanie Michelle  
 Thompson, Mark Henderson  
 Thresher, Jodie  
 Timmering, Bradford W.  
 Turner, Philip Todd  
 Turner, Shawn Omar  
 VanderWier, Courtenay Leigh  
 Weaver, Christopher Frederick

Webb, Richard Donovan  
 Webb, Stephen Glenn  
 Whitfield, Bobbie Jo  
 Wilmes, Thomas Edward  
 Wilson, Kevin Michael  
 Witters, Ashley Elise  
 Wolff, Franz Frederick  
 Wood, Kristin Linnea  
 Woodward, Russell Lee  
 Wright, Bryan Ellis  
 Zotti, Megan Ann

### **Bachelor of General Studies**

Conway, Robert Haley  
 Rogers, Albin A., Jr.

### **Bachelor of Science**

Andaya, Kimi Lynn  
 Appleton, Andrea Marie  
 Ard, Pamela Dawn  
 Avery, Amanda Lee Siever  
 Bazzell, Richard Chadwick  
 Biliter, Michael Justin  
 Blakeman, Stacey Perry  
 Branham, Erica Courtney  
 Brooks, Philip Arnold Nicholas  
 Brown, Bradley S.  
 Bruce-James, Susan Shayne  
 Burchett, Amy Danette  
 Bustamante, Maria Isabel Cantos  
 Carrico, Dana Frances  
 Christianson, Sonja Morgan  
 Collett, Shawn C.  
 Craig, Jeffrey Michael  
 Crissman, Cynthia Biggs  
 Darnall, Jessica Bowen  
 Davis, Adam Taylor  
 Davison, Jennifer Leigh Anderson  
 Detwiler, James Daniel  
 Dore, Jeremy Austin  
 Dunn, Bethany Faith  
 Eaton, Tyler Douglas  
 Flygstad, Dale Gerald, Jr.  
 Fox, Allison Noelle  
 Gaines, Heather Michelle  
 Gaskins, Hope Evangeline  
 Grantz, Daniel Allen  
 Greenhagen, Bryan Thomas  
 Haggard, Jennifer Ann  
 Haley, Bryan Stanley  
 Harper, Alexander Scott  
 Hawkins, Tonya LaShelle  
 Henson, Tara Hope  
 Jackson, Jeremy Otis  
 Keith, Angela Rae  
 Kennedy, Kara A.  
 Landis, Chad Alan

Ledford, Daniel Rives  
 Levinger, Dustin John  
 Litvinov, Ivan Vladimirovich  
 Loughran, Johnny Russell  
 Maldonado Rojas, Carlos A.  
 Martin, Michelle Aimee  
 McCool, Andrea Ashly  
 Midkiff, Paul Andrew  
 Million, Kathryn Anne  
 Oberg, Brian Allen  
 Phan, Vinh Truong  
 Pitts, Robann Jeannette  
 Provost, Olivia Joyce  
 Reynolds, Julie Helene  
 Rezaei-Nazari, Adina Marie  
 Richardson, Jennifer D.  
 Roberts, Anthony Heath  
 Russell, Teresa Mechelle  
 Rutherford, Blake Zachariah  
 Rutland, Kathryn Taylor  
 Santulli, Mario Peter  
 Schlomer, Jerome James  
 Shearer, Allison Denise  
 Thompson, Alisa Christian  
 Thurman, David Christopher  
 Tilford, James Martin  
 Tucker, Eric Anthony  
 Wallin, Kristin Nicole  
 Wassel, Justin Michael  
 Williams, Phillip Robert  
 Wong, Mei Yee  
 Woods, Melissa Gayle  
 Wunsch, Dena Jolene Leadley

## **College of Business & Economics**

**Dean: Richard W. Furst**

### **Bachelor of Business Administration**

Amberg, Marianne C.  
 Anderson, Rachel Noel  
 Arnold, Serena Elizabeth  
 Atcher, Jennifer Ellen  
 Baker, Jacqueline Renee  
 Banet, Susan Michelle  
 Banks, Mary Elizabeth  
 Begley, Allen Cornelius  
 Bishop, Christopher Bryan  
 Blair, Jeffrey Todd  
 Brandon, John D.  
 Breyley, Amy Elizabeth  
 Caldwell, Adam Michael  
 Campbell, Leigh Ann  
 Cann, William Dale  
 Cecil, William Thomas  
 Chinn, William Masterson

Chumley, David Lee  
 Clark, Brandon Scott  
 Combs, Courtney Ann  
 Cooper, Jonathan Hunt  
 Cox, Tawanta R.  
 Crowe, Christopher Erynn  
 DeMarcus, Matthew Beatty  
 Dewitt, Robert Thomas  
 Durham, Miriam Lynne  
 Dycus, Julee Allison Preston  
 Eifler, Bryan W.  
 Ellis, Jamie Therese  
 Elsasser, Annette Marie  
 Faulkner, Jason Lloyd  
 Fiss, Daniel David  
 Fox, Holly Ann  
 Gadker, Heather Marie  
 Geerken, Corinne  
 Ghani, Shahreza  
 Giles, Brian Mathew  
 Gilley, Nicole Wiggins  
 Greenstein, Laura Beth  
 Hammond, Jason Robert  
 Hancock, David William  
 Hardin, Gina Lenora  
 Harrell, Carter Dean, Jr.  
 Harris, William Ryan  
 Haubner, Ted Kyle  
 Haynes, Denny Mark  
 Hazzard, David Beamer  
 Hendrickson, Kristi Leurene  
 Herbert, Benjamin Scott  
 Hibbs, Jason M.  
 Hill, Shauna Renee  
 Hinkley, Jennifer Lee  
 Holsinger, Rachel Michelle  
 Hood, Elizabeth Mulholland  
 Hoskins, William B.  
 Howard, Cary  
 Howard, David Carter  
 Iamunno, Vincent Peter  
 Isenberg, Amanda Clare  
 Jackson, Ja Quan Linne  
 Jones, Kevin Clark  
 Jung, Julie Marie  
 Kash, Carolyn Sue  
 Keeling, Vincent Erik  
 Kirkpatrick, Mary Ann  
 Knackstedt, Jason Michael  
 Knight, Wesley Allen  
 Kolf, Marcie Suzanne  
 Koshgerian, Jamie Hamilton  
 Lake, Shannon Kristine  
 Lang, Tracy Ann  
 Laughlin, Chad M.  
 Lawson, Brandi Michelle  
 Lawson, James Clark  
 Ledford, Brian Keith



Lee, Chih Ian  
 Lee, Sherlynn Lian Khym  
 Locke, Allyson Lee  
 Loewen, Erik Wayne  
 Loyd, John Michael  
 Lytle, Tabitha Lyndsey  
 Manley, Kelly Jean  
 Marshall, Matthew Wayne  
 Masarik, Stephen Dennis  
 Mason, Angela R.  
 Matusik, Michael Christopher  
 McNair, Sandy Ferguson  
 Mercke, Sara Ross  
 Milby, Steven Edward  
 Mitchell, Christopher Michael  
 Morrison, Jeffrey Scott II  
 Mowman, Asha Nichole  
 Mulvene, Jason James  
 Nally, Troy Barton  
 Neal, Thomas Cortland  
 Olson, Tristan Yuri  
 Omran, Ameal Abdel  
 Palmgreen, Michael Brandon  
 Parmar, Tina  
 Peddicord, Andrew Brian  
 Perkins, Jeremiah  
 Pierce, Jessica Renee  
 Pingel, Brian Jonathan  
 Poore, Jelaine Nicole  
 Pope, Dawn Lee  
 Potter, Christopher Todd  
 Prasetyo, Gatot Eko  
 Presswood, Amy Renee  
 Preston, Kathryn Alexis  
 Pulliam, Joseph Brandon  
 Reeder, Kimberly Tingle  
 Rice, Jason Allen  
 Richardson, Timothy Brian  
 Rinaldi, Jon  
 Ringle, Meredith Lee  
 Robertson, Amy Leigh  
 Roby, Robert Matthew  
 Ruley, Benjamin Marshall  
 Rutherford, Jason Cory  
 Ryan, Jessica Kathryn  
 Salehi, Bijan Jason  
 Scales, Jennifer Robin  
 Scarborough, Gregory Scott  
 Scarborough, Julie Ann  
 Schoenbaechler, Kerry Beth  
 Schroepe, Don Douglas II  
 Scott, Daniel Lucian  
 Scott, Sidney C.  
 Seabaugh, Kristen Michelle  
 Shannon, James William Anthony  
 Slahta, Jennifer Leigh  
 Smith, Andrew Beasley  
 Smith, Jeffrey Jordan

Smith, Joshua Aaron  
 Sogar, Kelli Nicole  
 Spalding, Kara Rebecca  
 Spotts, Tara Juana  
 Stafford, Justin Douglas  
 Starnes, Mark W.  
 Steele, Marcus Anthony  
 Stephenson, Tracey Lynn  
 Stewart, Brandin A.  
 Strutz, Michael Ray  
 Stuber, Jason Andrew  
 Tan, Lei Joo  
 Tatro, William Lewis, V  
 Thompson, Tonya Renee  
 Tretter, Jason Edward  
 Walker, Bryce Hamilton  
 Walker, Eric Stephen  
 Weiter, Patrick J.  
 Welch, Kevin Richard Benjamin  
 Wells, Kristin Lee  
 Wessel, Robert L.  
 White, Daniel Curtis  
 White, Monica Diane  
 White, Pascual Raymond, Jr.  
 Wigginton, Ric Andrew  
 Williams, Jon Richard  
 Wong, Pei-Chan  
 Wood, Timothy Paul  
 Woodring, Lesley Jo  
 Young, Erik S.

### **Bachelor of Science in Accounting**

Adams, Jeffrey Allen  
 Adams, Megan Michelle  
 Barber, James C.  
 Bechtloff, Bonnie Wells  
 Brauch, Douglas Stephen  
 Brown, David Ryan  
 Cabell, Tallice Jaumar  
 Chu, Yan Yan Michelle  
 Cogswell, Kevin Scott  
 Collett, Nancy Lynn  
 Cox, Rachel Graham  
 Dearey, Adriana Marie  
 Dirks, Ryan Patrick  
 Dragoo, Tony D.  
 Echendu, Dike Lawson  
 Ellis, Jamie Therese  
 Ewing, Erin Marie  
 Ferguson, Sara Renee  
 Fox, Holly Ann  
 Gander, Julian Lee  
 Gangal, Sujata Ashok  
 Gantt, Maury Andrew  
 Giglia, Caryn Elizabeth  
 Greathouse, Andrew Wallis

Griffith, Geoffrey Kent  
 Hardigree, Clabern Thomas II  
 Harmon, Phillip Michael  
 Haynes, Denny Mark  
 Hazen, Anthony N.  
 Hendrickson, Kristi Leurene  
 Henry, David Neal  
 Hibbs, Stuart Randall  
 Holder, Bennie DuJuan  
 Howard, Kimberly Martin  
 Jenkins, Benjamin Kennett  
 Kavanaugh, Michelle  
 Martin, Jennifer Vance  
 Mattord, Maxwell Travis  
 McCreary, Gregory G.  
 McGinnis, Will L. III  
 McKinney, Mindy Leigh  
 Meredith, Jay Scott  
 Morgan, Jennifer Raye  
 Moss, Chris S.  
 Neal, Sarah A.  
 O'Cull, Heather Elaine  
 Oglesbee, Carol Lynn  
 Overhults, Joseph William  
 Parks, Daryl Thomas  
 Peck, Mark Raymond  
 Peschell-Trosan, Ryan  
 Polin, Laura Beth  
 Raney, Mollye Hensley  
 Ray, Shonda Nicole  
 Reardon, Cassandra McNees  
 Reed, Andrea Jean  
 Rocawich, Michael Andrew  
 Rubrecht, Christopher Michael  
 Rudder, Joseph Michael  
 Singleton, Patrick W.  
 Smith, Bridget Lynn  
 Spaulding, William Daniel  
 Stodghill, Dustan Matthew  
 Stone, Kristina Lowry  
 Stout, John S.  
 Sweeney, Michael Brennan  
 Taylor, Victoria Carol  
 Wagner, Bryan Michael  
 Wang, Ran  
 Washington, Freddie Eugene  
 Werner, Cynthia Diane  
 Whitaker, Kristopher M.  
 Whittaker, Thomas Denham  
 Wilson, Suzanne Elizabeth  
 Wimsatt, Andrew Michael

### **Bachelor of Science in Business & Economics**

El Bashir, Amin Gafer  
 Jefferies, Ian Nichols  
 Keown, Justin Shane



Knight, Wesley Allen  
Lee, Sherlynn Lian Khym  
Neyland, Christopher Hyams  
Petrovic, Emily Suzanne  
Riley, Andre Jackson  
Scarborough, Jeremy Layne  
Sheehan, Deirdre Suzanne  
Wells, Kristin Lee

**College of  
Communications &  
Information Studies  
Dean: J. David Johnson**

**Bachelor of Arts**

Brown, Nathan Malone  
Carter, Brandy Jessica  
Caudill, William Carter  
Close, Kristina Anne  
Cowling, Janie  
Dawkins, Kyle Barrett  
Dooley, Karla Jeanette  
Duer, Olivia Kathryn  
Haggard, Matthew Thomas  
Halfacre, Leah Marie  
Hardesty, William Joseph II  
Hayden, Blakely Carroll  
House, Kenneth Wilson  
Hurst, Harold Glenn III  
Jamie, Richard Robert  
Jones, Mimi Camille  
Karamchic, Ajlina  
Kehn, Kelly Ann  
Kirtley, Harold Lewis II  
Kitchens, Amanda Denise  
Mann, Don Weathers  
Martin, Rebecca Ann  
McDannold, Jean Gibowski  
McMillen, Carey Anne  
Morris, Mary Anne  
Pachmayer, Tara Lynn  
Patton, Matthew Thomas  
Rieffer, Daniel Edward  
Russell, Heather Nesbitt  
Schmidt, Erik Michael  
Stafford, Brian Scott  
Staten, Whitney Leigh  
Stewart, Shulesia La'Nae  
Stutterheim, Sarah Kate  
Vester-Khan, Shawn Marie  
Wahl, Amity Claar  
Wakild, Kelly Marie  
Werle, Diane Elizabeth  
Whitaker, Jonathan L.

**Bachelor of Science**

Bischoff, James M.  
Brooks, Robert Augustus  
Burnside, Charles Neal  
Campbell, Christopher William  
Cook, Kerri Elizabeth  
Cunningham, Leslie Anne  
Deckard, Whitney Michele  
Dunigan, Dawn Allison  
Frank, Joel David  
Fullenwider, Olivia J.  
Jula, Angela Noelle  
Kitchens, Amanda Denise  
LeMaster, Jennifer Elaine  
Meacham, Travis Ryan  
Meares, Claude Wellington  
Melton, Brian Alan  
Mills, Devin Jordan  
Molloy, Andrew Sean  
Nurse, Christopher John  
Osborne, Joseph Todd  
Pasha, Nadeem  
Perkins, Julie Phillips  
Pieratt, Minda Nalise  
Potter, Courtney Allison  
Prater, Regina Lynn Richie  
Ralston, Karrie Ellen  
Royalty, Chasity Jeneen  
Satterly, William Blake  
Schwegman, Brian Lee  
Spencer, Rose  
Stapleton, Brandon Louis  
Stoess, Marianne Marie  
Tune, Evan Ward  
Watson, Lonzell  
White, Kevin Martin  
Zavada, Jason R.

**College of Education**

**Dean: Shirley C. Raines**

**Bachelor of Arts in Education**

Amberg, Matthew L.  
Arnold, Jaime Leigh  
Austin, Nik-Cole Michelle  
Baker, Sherry Denean  
Bendix, Andrew Joseph  
Berry, Sean Clark  
Bertrand, Janet Barnett  
Best, Kimberley Lynne Newhouse  
Beyers, Jeffrey F.  
Bullock, Joshua Tyler  
Campbell, Dana Lynn  
Case, Kristi Lynn  
Chalhub, Christy Elizabeth  
Copenhaver, Joseph Clinton

Crossman, Franklin Wade  
DeFrance, Kelly Ann  
Dexter, Katherine Anne  
Dinsmore, David Michael  
Downs, Andrea Marie  
Fleming, Christina Marie  
Floyd, Autumn Lindsay  
Ford, Shannon Clara  
Foster, Heather Dawn  
Glasscock, Amanda Sue  
Gognat, Catherine Jo  
Hall, Christie Patera  
Harris, Christine Frances  
Hendricks, Jennifer Rebecca  
Higgs, Shawn  
Irwin, Kristin Lee  
Jones, Cortney Paige  
Jones, Michael Bradley  
Jurgensen, Patricia Frances  
King, Carla Elayne  
King, Katherine Denise  
Koehler, Scott Kirk  
Komisarz, Rachel Ellen  
Lagos, Michelle Denise  
Lewis, Denise Lynn  
Lynch, Brenda Elizabeth  
McCallister, Melissa Lynn  
McDonald, Michael  
McElroy, Kristin Marie  
Mercado, Lori Ann  
Meredith, Kristan Danyelle  
Michael, Jeff L.  
Millar, Matthew Daniel  
Murray, Lindsey Alice Burns  
Nageleisen, Nicole Michelle  
Neuman, Pamela Arlene  
Orrill, Sara Allison  
Osborne, Carmel Clinton III  
Owens, Steven Francis  
Parson, Atiya Fajia-Hakiem  
Peck, Olivia Denette  
Powell, Sarah Marie  
Pugh, Scott Edward  
Ready, Angela McConnell  
Rechtin, Zachary Lee  
Reffit, Angela Diane  
Rhudy, Angela Denise  
Rice, Rebecca Suzanne  
Rickert, Jason Andrew  
Ross, Amy Joe  
Sadler, Michael William, Jr.  
Schuler, Lori Catherine  
Sherrard, Matthew Linn  
Smith, Jennifer Nichole  
Spence, Robin Renee  
Spiers, Kelli Michelle  
Spivey, Amy Claire  
Stewart, Karen Denise

Summers, Laurette  
 Swartz, Kevin Scott  
 Tanner, Jessica Lee  
 Tatum, Audrey K.  
 Taylor, Whitney Brooke  
 Tolbert, Kristi Jo  
 Turner, ReShanda Joy  
 Tyson, Christina Marie  
 Volpatti, Nicole Marie  
 Wells, Leslee Ruth  
 Williams, Ronnie Michael, Jr.  
 Yerian, Tiffany Renee

### **Bachelor of Science in Education**

Blakeman, Jennifer Evans  
 Brumback, Norma Farmer  
 Conaway, Frederick A.  
 Kuta, Ernest Stanford  
 Martindell, Kimberly Ann  
 Morris, Michael Lee  
 Skeens, Jennifer Kathleen  
 Vickers, Jenny Ann Kelly

### **College of Engineering**

**Dean: Thomas W. Lester**

### **Bachelor of Science in Agricultural Engineering**

Barnett, Sonya Michelle  
 Bonta, Kathryn Leigh  
 Caldwell, Mark Thomas  
 Hudson, Jeremy Ray  
 Jones, John Paul  
 Leach, Justin H.

### **Bachelor of Science in Chemical Engineering**

Ben Messaoud, Chahm

### **Bachelor of Science in Civil Engineering**

Avery, Shelby L.  
 Benbazza, Mostafa  
 Blackburn, Floyd D.  
 Bolton, Jack Morgan II  
 Brasher, Mark Robert  
 Brooker, Eric Linn  
 Bucher, Brian P.  
 Burchett, Hubbard Bradley  
 Carr, Ryan Charles  
 Chan, Anthony Chee Wee  
 Chia, Kian Hong  
 Chiew, Christopher Cheun Sing

Davey, Bridget Lelia Elizabeth  
 Dunn, Casey William  
 Everman, Christopher Nelson  
 Foushee, Lovell C. IV  
 Franxman, Robert Edward  
 Frost, Jesse L.  
 Gregory, Christopher Michael  
 Johnson, Bradford Charles  
 Jordan, Matthew Scott  
 Kwong, Yiing Furng  
 Lay, Scott A.  
 Lightfoot, Telma Shalawn  
 McIntosh, Joshua David  
 Miller, Craig Alan  
 Ng, Sze-Han  
 Nolan, Robert Alexander III  
 Payne, Jeffrey Thomas  
 Peavie, Jeremy Duane  
 Ricketts, Jason Andrew  
 Robinson, Alan Ray  
 Rogers, Michael Wayne  
 Rudd, John W.  
 Samples, Joshua Mac  
 Sanders, Mark David  
 Sherman, Garrick Todd  
 Simpson, Matthew Patrick  
 Sutherland, Aaron Ray  
 Taylor, Timothy R.B.  
 Thompson, James C.  
 Turley, John-Wesley  
 Verst, Matthew Ryan  
 Wise, Kendal M.

### **Bachelor of Science in Computer Science**

Abo-Sharkh, Mohammad F.  
 Brown, Courtney Jo  
 Buten, Robert Conley  
 Caudill, Elmer Douglas  
 Chung, Lincon  
 Compton, John Benjamin  
 Conder, Bryan J.  
 D'Souza, Dominic Mark Alexander  
 Durall, Benjamin Bryan  
 Emmick, Christopher Nathaniel  
 Fernandes, Jerome Rishi  
 Fox, Robert Louis II  
 Friel, Kyle James  
 Hill, Dwayne  
 Hunt, Robert Brady  
 Lewis, Mark Adam  
 Lim, Leong Chuan  
 Lyvers, William Earl  
 Morgan, Christopher Lee  
 Pemberton, Brian Robert  
 Roberts, Stephen Michael  
 Roe, William Gardner

Stanfield, Henry Travis  
 Tallent, Christopher Lee  
 Thomas, Trent Aaron  
 Tromans, Michael B.  
 Vandgrift, Benjamin Troy  
 Waddell, Elwood Talmadge  
 Walton, Kevin M.  
 Williams, Anthony Heath  
 Williamson, Melanie Gail

### **Bachelor of Science in Electrical Engineering**

Alkabra, Ahmad Omar  
 Bailey, James Ray  
 Boyd, Darren Ray  
 Brackett, Matthew Jason  
 Bullock, Patrick Dawson, Jr.  
 Ch'ng, Chin Ghee  
 Clark, Douglas M.  
 Coleman, Robert Lee  
 Foley, Derick Matthew  
 Foster, Kenneth Venton  
 Hackney, Beau  
 Isaacs, Jason T.  
 Jernigan, Jason Michael  
 Lee, Kenneth Braden  
 Lim, Chin Siang  
 Little, Steven Coleman  
 Low, Pey Yun  
 Maher, David Scott  
 Mahoney, William Paul III  
 Morrissey, Patrick Michael  
 Neal, Justin Ryan  
 Russell, Kelly Gordon  
 Schoaff, Richard Bryan  
 Tan, Hong Yann  
 Tayake, Atsumasa  
 Teng, Kah Eng  
 Teng, Pin Keat  
 Welch, Bradley Robert  
 Wheeldon, Wesley Eliot  
 Willis, Timothy Alan  
 Yeow, Kong Tian

### **Bachelor of Science in Materials Engineering**

Boo, Merry  
 Chee, Chen Yat  
 Soo, Suzana Ying Yoong

### **Bachelor of Science in Mechanical Engineering**

Baker, Michael Brandon  
 Beegle, Stephen Carl  
 Bell, Stephanie Marie  
 Clark, Jason Reid  
 Clem, Andrew L.

Cupp, Donald R.  
 Erpenbeck, Eric Anthony  
 Estes, John Edward  
 Evans, Frank Addison  
 Hafendorfer, Brian John  
 Jackson, James Marvin  
 Kuhn, Christopher Robert  
 McIntosh, Casey Michelle  
 Meredith, Dustin Eric Jason  
 Moseley, Nathan Craig  
 Newkirk, Stephen Michael  
 Quisenberry, Cheryl Marie  
 Riggs, Jesse Norman II  
 See, Hui-Nee  
 Tan, Tzer-Nan  
 Thomas, Laura James  
 Tyler, Christopher Robert  
 Yap, Jin-Choon

### **Bachelor of Science in Mining Engineering**

Maynard, Robert L.  
 Minckley, Rachel Robinson  
 Sullivan, Stephanie Leigh

### **College of Fine Arts Dean: Robert Shay**

#### **Bachelor of Arts**

Aldridge, Shannon Dewayne  
 Baker, Lara Anne  
 Beaman, Amy RaNel Hettinger  
 Bevelacqua, Stacye Remsing  
 Bruce, Mindy J.  
 Coleman, Jeffrey William  
 Disney, Laura Beth  
 Dye, Andrea Kaye  
 Fan, Cindy Jane  
 Hancock, Erin Chenoweth  
 Hardman, Bryan Lee  
 Hensley, Todd Arthur  
 Himbaugh, Mary Jean  
 Howell, Kathy Walker  
 Kelley, Jessica Dawn  
 List, Louis William IV  
 Lopez, Kristen Suzanne  
 McCann, Molly Patrick  
 McClintock, Amy Elizabeth Neal  
 Oatley, Danielle Michele  
 Panko, Michelle L.  
 Richey, Patrick Anders  
 Stoutenburg, Sara Katherine  
 Surgener, Shelley L.  
 Whitaker, Bradford W.

### **Bachelor of Fine Arts**

Compton, Sara Lindsay  
 Curling, Brian Wayne  
 House, Melanie J.  
 Hurley, Chad E.  
 Kelly, Brian Edward  
 Mahone, Matt E.  
 Stevens, Roger Lee

### **Bachelor of Music**

Long, Jeremy Aaron  
 Taylor, Elizabeth Dell

### **Bachelor of Music in Music Education**

Ellis, Brian K.  
 Hicks, Ralph Everett II  
 Lewellen, Joseph Brian  
 Meixner, Brian Daniel  
 Ragland, Joseph Lee  
 Simpson, Lori Marie  
 Surmont, Betty Jane

### **College of Human Environmental Sciences Dean: Retia S. Walker**

#### **Bachelor of Arts in Interior Design**

Lechert, Stephanie Elizabeth  
 Thomas, Miranda Ann

#### **Bachelor of Science in Dietetics**

Clay, Vickie L.  
 Cline, Eric Douglas  
 Goins, Tiffany Leigh  
 Linton, David Brian  
 Matherly, Lyndsay Kathryn  
 Rogers, Laura Beth  
 Saad, Sarah Elizabeth  
 Stansfield, Bret  
 Terry, Jessica Rae  
 Yochum, Marcia Lynn

#### **Bachelor of Science in Family Studies**

Adams, Eric Reuben  
 Anderson, Lisa Claire  
 Baker, Jennifer Carol  
 Beeker, Mary Jane Acton  
 Bowlin, Kristi Zachary  
 Boycott, Amy Elizabeth  
 Brady, Tina Dianne

Chandler, Taleesa Michele  
 Colliver, Amber Burton  
 Doyle, Stacey M  
 Duff, Lisa Renee  
 Hurt, Deana Malette  
 Johnson, Donte Lafeireo  
 Jones, Mary Teresa  
 King, Victoria  
 McWilliams, Jennifer  
 Parker, Carol Angela  
 Parker, Laura E.  
 Peacock, Misty Beth  
 Rodgers, Cara Michelle  
 Scher, Jamie Elizabeth  
 Shepherd, Kara Krieg  
 Snyder, Che' Bianca  
 Staff, Tracy Michelle  
 Stewart, Holly Lynn  
 Swanson, Heather Lorraine  
 Taulbee, Deanna Shawn  
 Toller, Edmund Leon  
 Towles, Tamitha Ann  
 Webb, Deleisha Lashay  
 West, Natalie Gayle  
 Yates, Toni Renee  
 Young, Amber Deonne

### **Bachelor of Science in Food Science**

Hazzard, Samuel Gorden  
 Omadjambe, Kabibi Okunema

### **Bachelor of Science in Hospitality Management**

Anderson, Matthew Joseph  
 Barber, William Wainwright III  
 Erwin, Carrie Mary Virginia  
 Haines, Mary Blake  
 Harreld, Lindsay Thomas  
 Highland, Joseph Patrick  
 Hobbs, Stephanie Marie  
 Lehmann, Jennifer Catherine  
 Malhotra, Sarah Rani  
 McChesney, Jennifer Lynn  
 Mefford, William Christopher  
 Muzzey, Jennifer Joanne  
 Othman, Mohamed Mohamed  
 Peterson, Nancy Erin  
 Rice, Sarah Elizabeth  
 Sininger, Stacey Anne  
 Softau, Christopher M  
 Stewart, Nakaa Lynn  
 Urch, Duke T.  
 Wegner, Brett Michael  
 Young, Elizabeth L.

**Bachelor of Science in  
Merchandising, Apparel &  
Textiles**

Blakenship, Susan Elaine  
Bolen, Wellesley Elaine  
Cegnar, Ann Marie  
Dean, Brandi Marie  
England, Susan Malee  
Jue, Janey Wen  
Lawrence, Michael Steven  
Lentz, Carrie Leianne  
Marinik, Misty Dawn  
Overy, Kira Nicole  
Pierce, Rhonda Leigh  
Reese, Joanne Michelle  
Richerson, Shelly Jo  
Rush, Leanne Marie  
Sisler, Kathrine Ann  
Wheeler, Stephanie Lane  
Worland, Alice Elizabeth

**College of Nursing  
Dean: Carolyn A. Williams**

**Bachelor of Science in Nursing**

Alaman, Karen Inez  
Amick, Linda Louise  
Benton, Katina Dove Baker  
Beutel, Lisa Carole  
Brooks, Alfreda Lucinda  
Campbell, Delana Kay Dixon  
Castillo, Mary Kathleen  
Creech, Donna Moore  
Dabit, Diane Atallah  
DenHartog, Andrea Joy  
Earles, Jon David  
Ewing, Lisa Michelle  
Fryman, Jennie Lee  
Gibson, Shelia Karen  
Green, Stacie Renee  
Hamm, Judith D.  
Krieg, Linda Annette  
Leslie, Ruby Dolores  
Moore, Lisa Johnson  
Mullins, James Allen  
Ogden, Lori Ellen  
Paquette, Suzanne Kyung  
Thomas, Aimee Beth  
Vogel, Sarah Rebecca  
Williams, Deborah Sue

**College of Social Work  
Dean: Kary S. Hoffman**

**Bachelor of Arts in Social Work**

Bard, Stacy M.  
Baugus, Roger Coleman  
Bell, William Michael  
Bowers, Trisha Lynne  
Brown, James Livi  
Burdette, Amanda Jane  
Clark, Joe Nathan  
Coleman, Joseph Anthony  
Cooper, Tara Renee  
Curtis, Isaac Fisher III  
Disken, Katherine Mary  
Edmiston, Karen  
Eilers, Kerry Beth  
Fields, Katie Ann  
Fischer, Rosemary Russo  
FisherKeller, Joshua A.  
Fister, E. Kristen Greer  
Fraction, Camille Celeste  
Frinton, Tara Jane  
Givens, Christina Maria  
Grubbs, Ryan K.  
Haney, Meghan Ann  
Lake, Stephanie Lynn  
Lee, Dorothy Annell Thomas  
Lewis, Angela Rachelle  
Lindsay, John Carlton, Jr.  
Livesay, Laura Lee  
Marshall, Kimberly Ann  
Martin, Amity Lachelle  
McCann, Patricia Ann  
Millard, Andrea Suzanne  
Miller, Sarah Jo  
Morris, Diane Theresa  
Moss, Carol Fay  
Osborne, Mattie Jane  
Pickett, Nina Williamson  
Pleasants, Jill Christine  
Pride, Brandi Sunshine Daniels  
Pruett, Desiray Denise  
Quinn, Heather Noelle  
Rickert, Karen Marie  
Riggenbach, Jennifer M.  
Sallee, Joseph Brian  
Slaughter, Jackie Marie  
Smith, Kristi LeeAnn  
Smith, Shawn Eugene  
Stevenson, Lisa Michelle  
Sundy, Lillian Johnson  
Vicini, Virginia Marie  
Watkins, Kelly Nicole  
Welsh, David James

**Lexington Community  
College**

**President: A. James Kerley**

**Associate of Arts**

Baber, Lisa D.  
Berryman, Jacqueline Michele  
Brown, Misha Elaine  
Burge, Shawn Michelle  
Caudill, Billy Franklin Arville  
Caudill, Emily Beth  
Conklin, Bethany Ann  
Ely-Sallee, Amanda  
Gilliam, Monique  
Halliday, Linda K.  
Harris, Kimberly J.  
Hogue, Sharon Gay  
Ireland, Michelle M.  
Johnson, Tanjinora  
Jones, Sandra L.  
Kazee, Miranda Anne  
Lally, Angela M.  
Marr, Erin  
McManus, Courtney Nicole  
Muhammad, Lora  
Murray, Angela Denise  
Parker, Shannon Linnea  
Praria, James Ray  
Ramsey, Dana M.  
Reed, Scott Desmond  
Riechter, Sue Ann  
Rigney, Ronald Boyd II  
Siddiqui, Asma  
Ward, Tamara Lorraine  
Wilson, James Barue II

**Associate of Science**

Abney, Amanda Lynn  
Brewer, Timothy Lee  
Bridges, Melissa Elaine  
Critchfield, Rebecca A.  
Davis, Lizz  
Evans, Sheila Renea  
Graham, Janice A.  
Lyons, Lanny R.  
Morris, Carol L.  
Silvey, Allen L. Jr.  
Vitai, Joseph Keith  
Wurts, Sherri Lee

**Associate of Applied Science**

Ates, Vildan  
Bacelieri, Lesli Shay  
Bath, Charles Oscar III

Bivens, Stephen Micheal  
Boyd, Randy Wayne  
Bullock, Sherry Denice  
Clarkston, Jason C.  
Clayborne, Lida L.  
Clements, Venita M.  
Curry, Jonathan Lynn  
Davis, Eric Woodson  
Ellis-Clay, Natalie Maria  
Feltner, April  
Hamilton, Kristi  
Hardin, Ann Marie  
Harrod, Angela Suzanne  
Hern, Bonnie Jean  
Hillard, Wendy Sue

Hutton, Linda S.  
Ison, Jon  
Jacobs, William Claiborne  
Jones, Sarah Clay Mitchell  
Kearns, Kristopher Dale  
Lehmann, Jeff  
Light, Kimberly Anne  
Lincks-Head, Michelle Marie  
Martin, Mary Charlene  
McCoy, Lindsey Anne  
McCubbin, Stephanie  
McGonigal, Michael David  
Merriam, Paul J.  
Pasley, Grace  
Perraut, Joe

Piper, Andrew Chauncey  
Robinson, Brent R.  
Sakowich, Michael Joseph  
Sanford, Andrea Christine  
Sipe, Debra Dahn  
Solorzano, Eugenia Elmo  
Thomas, Jenny R.  
True, Jessica Marie  
Turner, Robert Edgar  
Wafford, Joseph Russell  
Wallace, Tiffany E.  
Warner, Jamie R.  
Wartman, Blake T.  
Weakley, Amanda Lee  
York, Vicki L.

# PR 5B

Office of the President  
December 14, 1999

Members, Board of Trustees:

APPOINTMENTS/REAPPOINTMENTS TO UNIVERSITY OF KENTUCKY  
BUSINESS PARTNERSHIP FOUNDATION

Recommendation: that approval be given to the appointment/reappointment of the following as members to the Board of Directors of the University of Kentucky Business Partnership Foundation for four-year terms beginning immediately and extending through the Fall 2003 Board meeting.

Appointments

James F. Hardyman  
Michael D. Malone

Reappointments

Terry N. Coleman  
Gary B. Knapp  
Michael R. Whitley

Background: These appointees/reappointees were unanimously elected to the Board of Directors of the University of Kentucky Business Partnership Foundation at their October 2, 1999 meeting. The Articles of Incorporation of the University of Kentucky Business Partnership Foundation, as approved by the Board of Trustees at its meeting on August 25, 1981, require that these appointments/reappointments be approved by the Board of Trustees.

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Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

Office of the President  
December 14, 1999

Members, Board of Trustees:

APPOINTMENTS/REAPPOINTMENTS TO UNIVERSITY OF KENTUCKY  
EQUINE RESEARCH FOUNDATION

Recommendation: that approval be given to the appointment/reappointment of the following as members to the Board of Directors of the University of Kentucky Equine Research Foundation for four-year terms.

Appointments representing the Equine Industry

Mr. John W. Phillips  
Mr. David L. Switzer

Reappointments representing the Equine Industry

Mr. Duncan Alexander  
Mrs. Margaret Brady Glass  
HRH Sheikh Maktoum Bin Rashid Al Maktoum

Background: The Chancellor of the Lexington Campus, acting upon the advice of the nominating committee of the University of Kentucky Equine Research Foundation which includes the Dean of Agriculture, recommended these appointments/reappointments to the President. The President in turn recommends them to the Board of Trustees. These recommended appointments/reappointments require approval by the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky Equine Research Foundation approved by the Board of Trustees at its meeting on September 18, 1984.

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Action taken:



Approved

☐ Disapproved

☐ Other \_\_\_\_\_

# PR 5D

Office of the President  
December 14, 1999

Members, Board of Trustees:

## NEW DEGREE TITLE

Recommendation: that the Board of Trustees approve the degree title, B.S. in Human Nutrition, for a new option in the Food Science Program.

Background: The proposed degree title is in keeping with a revision in Nutrition and Food Science which has designed an option in Human Nutrition. It more strongly positions the program to be in keeping with national trends and develops a strong biological science and research oriented Human Nutrition major for students wishing to go to Graduate, Medical or Dental School. The option has been approved by the University Senate Council and University Senate. Approval by the Board of Trustees is required prior to submission to the Council on Postsecondary Education.

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Action taken:



☒ Approved

☐ Disapproved

☐ Other

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# PR 6A

Office of the President  
December 14, 1999

Members, Board of Trustees:

## APPOINTMENT OF DEAN OF THE SCHOOL OF PHARMACY

Recommendation: that Dr. Kenneth B. Roberts be appointed Dean of the School of Pharmacy effective March 1, 2000.

Background: Following Dean Jordan Cohen's announcement of his intention to resign as Dean, a search committee was appointed to identify and recommend qualified persons for the position of Dean of the College of Pharmacy. The search committee enthusiastically recommended Dr. Kenneth B. Roberts for the strengths that he could bring to the position of Dean of the College of Pharmacy.

Dr. Roberts currently serves as Dean of the School of Pharmacy and Professor of Pharmacy Administration at the University of Mississippi and Executive Director and Research Professor in the Research Institute of Pharmaceutical Sciences. After receiving his Bachelor of Science in Pharmacy Degree in June 1967 from the University of Tennessee, he earned a Master of Business Administration (1973) at the University of Tennessee in Knoxville. In August 1975, he earned a Doctor of Philosophy Degree at the University of Mississippi with a major in Health Care Administration and a minor in Marketing. From 1989 until the present, Dr. Roberts has served as Dean of the School of Pharmacy at the University of Mississippi. Prior to his move to Mississippi, he served for ten years at the University of Tennessee in Memphis as Professor of Pharmacy Administration and Associate Dean for Academic Affairs. In 1989, he was appointed a Research Professor in the Research Institute of Pharmaceutical Sciences at the University of Mississippi and became its Executive Director in 1997.

Dr. Roberts has demonstrated a rare combination of skills with his understanding of the practice of pharmacy and its impact on rural health care delivery systems. His strengths include his strong interests in the development of Pharmaceutical Science Research. He has demonstrated this through his development of the Research Institute in Pharmaceutical Sciences at the University of Mississippi. His leadership in student centered learning has resulted in the University of Mississippi's recognition as one of the most progressive pharmacy education institutions in the world. He has written a number of scholarly papers, book chapters and abstracts and has served as Chair of the Council of Deans of the American Association of Colleges of Pharmacy (1997-1998).

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Action taken: ☒ Approved   ☐ Disapproved   ☐ Other \_\_\_\_\_

# PR 6B

Office of the President  
December 14, 1999

Members, Board of Trustees:

APPOINTMENT OF DIRECTOR OF THE KENTUCKY  
SCHOOL OF PUBLIC HEALTH

Recommendation: that Dr. F. Douglas Scutchfield, M.D. be appointed Director of the Kentucky School of Public Health effective January 1, 2000.

Background: On October 20 1998, the University of Kentucky Board of Trustees created the Kentucky School of Public Health. Based upon his qualifications, it is recommended that Dr. Scutchfield be appointed to the position of Director of the Kentucky School of Public Health.

Dr. Scutchfield currently serves as Director of the Division of Health Services Management and Research and Associate Dean for Public Health, College of Medicine at the University of Kentucky Chandler Medical Center. In addition, he serves as the Peter B. Bosomworth Professor of Health Services Research and Policy and Professor of Preventive and Environmental Medicine in the College of Medicine. He has additional appointments as Professor of Health Services Management in the College of Allied Health Professions and holds a Professorship in the Martin School of Public Policy and Administration. Prior to his appointments at the University of Kentucky in 1997, Dr. Scutchfield served from 1979-1997 as Director of the Graduate School of Public Health at San Diego State University. In the early days of his career, he was Director of Continued Professional Education at the St. Claire Medical Center in Morehead, KY. Dr. Scutchfield is the Editor of the American Journal of Preventive Medicine and was the Chair of the Editorial Board of California Physician from 1993-1996. He has served as a consultant to a variety of organizations and he has served as a delegate to the House of Delegates of the American Medical Association since 1980. In addition, Dr. Scutchfield is the author of numerous publications in medical journals, as well as a number of book chapters and monographs.

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Action taken:



☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

# PR 6C

Office of the President  
December 14, 1999

Members, Board of Trustees:

## NEW ACADEMIC DEGREE

Recommendation: that the Board of Trustees approve the new degree, Master of Public Health (MPH).

Background: The MPH will be a professionally oriented degree offered as an option to the Master of Science in Public Health (MSPH) under the same CIP Code. The MPH Curriculum will be a non-thesis degree that will require a Public Health Practicum component. The Commonwealth of Kentucky faces major issues of population and community health requiring highly skilled public health practitioners. This program is designed to help meet this need.

The proposed degree has been approved by the Medical Center Academic Council, the Senate Council and the University Senate. Approval by the Board of Trustees is required prior to submission to the Council on Postsecondary Education.

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Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

# FCR 1

Office of the President  
December 14, 1999

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE FOUR MONTHS ENDED  
OCTOBER 31, 1999

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the four months ended October 31, 1999.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of October 31, 1999, the University had realized income of \$455,561,000 representing 40% of the 1999-00 estimate of \$1,130,081,000. Expenditures and commitments total \$379,867,000 or 34% of the approved budget of \$1,130,081,000.

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Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

**CONSOLIDATED BALANCE SHEET**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**OCTOBER 31, 1999**  
with comparative totals for October 31, 1998  
(in thousands)

|                                                                 | 1999-00                          |                                |                  |                    |                     | 1998-99             |                     |
|-----------------------------------------------------------------|----------------------------------|--------------------------------|------------------|--------------------|---------------------|---------------------|---------------------|
|                                                                 | Current<br>Unrestricted<br>Funds | Current<br>Restricted<br>Funds | Loan<br>Funds    | Endowment<br>Funds | Plant<br>Funds      | Totals              | Totals              |
| <b>ASSETS</b>                                                   |                                  |                                |                  |                    |                     |                     |                     |
| Cash and cash equivalents                                       | \$ 148,619                       | \$                             | \$ 4,063         | \$ 282             | \$ 115,004          | \$ 267,968          | \$ 286,005          |
| Notes, loans, and A/R (less bad<br>debt allowances of \$10,001) | 124,473                          | 20,332                         | 21,818           | 873                | 1,162               | 168,658             | 173,415             |
| Investments                                                     | 29,737                           | 141,564                        |                  | 326,728            | 41,781              | 539,810             | 385,432             |
| Property, plant, and equipment                                  |                                  |                                |                  |                    | 1,302,911           | 1,302,911           | 1,229,031           |
| Inventories and other                                           | 15,453                           | 57                             |                  |                    |                     | 15,510              | 16,838              |
| <b>Total Assets</b>                                             | <b>\$ 318,282</b>                | <b>\$ 161,953</b>              | <b>\$ 25,881</b> | <b>\$ 327,883</b>  | <b>\$ 1,460,858</b> | <b>\$ 2,294,857</b> | <b>\$ 2,090,721</b> |
| <b>LIABILITIES AND FUND BALANCES</b>                            |                                  |                                |                  |                    |                     |                     |                     |
| <b>Liabilities</b>                                              |                                  |                                |                  |                    |                     |                     |                     |
| Accounts payable                                                | \$ 24,599                        | \$ 2,399                       | \$ 319           |                    | \$ 1,391            | \$ 28,708           | \$ 28,641           |
| Employee withholdings and<br>deposits                           |                                  | 20,287                         |                  |                    |                     | 20,287              | 24,637              |
| Other liabilities                                               | 4,987                            | 3,502                          |                  | \$ 6,642           | 1,966               | 17,097              | 16,417              |
| Liability for self insurance                                    | 50,047                           |                                |                  |                    |                     | 50,047              | 45,900              |
| Deferred income                                                 | 11,533                           | 13,046                         |                  |                    |                     | 24,579              | 22,605              |
| Bonds payable                                                   |                                  |                                |                  |                    | 198,276             | 198,276             | 214,831             |
| Capitalized lease obligation                                    |                                  |                                |                  |                    | 28,581              | 28,581              | 28,797              |
| <b>Total Liabilities</b>                                        | <b>91,166</b>                    | <b>39,234</b>                  | <b>319</b>       | <b>6,642</b>       | <b>230,214</b>      | <b>367,575</b>      | <b>381,828</b>      |
| <b>Interfund Balances</b>                                       | <b>(33,689)</b>                  | <b>76,967</b>                  |                  |                    | <b>(43,278)</b>     |                     |                     |
| <b>Net deferred revenues and<br/>appropriated fund balances</b> | <b>85,936</b>                    | <b>(4,384)</b>                 |                  |                    |                     | <b>81,552</b>       | <b>81,049</b>       |
| <b>Fund Balances</b>                                            |                                  |                                |                  |                    |                     |                     |                     |
| <b>Current unrestricted</b>                                     |                                  |                                |                  |                    |                     |                     |                     |
| Working capital                                                 | 159,337                          |                                |                  |                    |                     | 159,337             | 134,101             |
| Future operating purposes                                       | 4,910                            |                                |                  |                    |                     | 4,910               | 6,929               |
| Other                                                           | 10,622                           |                                |                  |                    |                     | 10,622              | 6,721               |
| <b>Current restricted</b>                                       |                                  | <b>50,136</b>                  |                  |                    |                     | <b>50,136</b>       | <b>43,480</b>       |
| Loan                                                            |                                  |                                | 25,562           |                    |                     | 25,562              | 24,568              |
| True endowments                                                 |                                  |                                |                  | 242,551            |                     | 242,551             | 137,108             |
| Term endowments                                                 |                                  |                                |                  | 5,205              |                     | 5,205               | 4,896               |
| Quasi endowments                                                |                                  |                                |                  | 73,485             |                     | 73,485              | 67,017              |
| <b>Plant</b>                                                    |                                  |                                |                  |                    |                     |                     |                     |
| Retirement of indebtedness                                      |                                  |                                |                  |                    | 28,594              | 28,594              | 31,384              |
| Renewal and replacement                                         |                                  |                                |                  |                    | 13,895              | 13,895              | 17,266              |
| Allocated for designated<br>projects                            |                                  |                                |                  |                    | 203,365             | 203,365             | 177,745             |
| Net investment in plant                                         |                                  |                                |                  |                    | 1,028,068           | 1,028,068           | 976,629             |
| <b>Total Fund Balances</b>                                      | <b>174,869</b>                   | <b>50,136</b>                  | <b>25,562</b>    | <b>321,241</b>     | <b>1,273,922</b>    | <b>1,845,730</b>    | <b>1,627,844</b>    |
| <b>Total Liabilities and<br/>Fund Balances</b>                  | <b>\$ 318,282</b>                | <b>\$ 161,953</b>              | <b>\$ 25,881</b> | <b>\$ 327,883</b>  | <b>\$ 1,460,858</b> | <b>\$ 2,294,857</b> | <b>\$ 2,090,721</b> |

**CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE FOUR MONTHS ENDED OCTOBER 31, 1999**  
with comparative totals for October 31, 1998  
(in thousands)

|                     |                            | 1999-00             |                     |                     |     | 1998-99             |     |
|---------------------|----------------------------|---------------------|---------------------|---------------------|-----|---------------------|-----|
|                     |                            | Original<br>Budget  | Revised<br>Budget   | Realized<br>To Date | %   | Realized<br>To Date | %   |
| <b>General Fund</b> |                            |                     |                     |                     |     |                     |     |
| (1)                 | State appropriations       | \$ 290,835          | \$ 290,835          | \$ 145,095          | 50  | \$ 143,271          | 50  |
| (2)                 | Student fees               | 119,290             | 119,314             | 60,769              | 51  | 56,631              | 52  |
| (3)                 | Federal appropriations     | 15,519              | 15,519              | 5,676               | 37  | 5,092               | 34  |
| (4)                 | County appropriations      | 7,151               | 7,151               | 1,887               | 26  | 1,185               | 18  |
| (5)                 | Endowment income           | 902                 | 902                 | 223                 | 25  | 219                 | 24  |
| (6)                 | Investment income          | 8,978               | 8,978               | 5,101               | 57  | 4,158               | 49  |
|                     | Gifts and grants           |                     |                     |                     |     |                     |     |
| (7)                 | Affiliated corporations    | 14,319              | 14,319              | 3,599               | 25  | 3,620               | 26  |
| (8)                 | Other                      | 60,708              | 58,950              | 14,131              | 24  | 17,043              | 28  |
| (9)                 | Sales and services         | 54,748              | 57,217              | 15,265              | 27  | 15,043              | 28  |
| (10)                | Fund balance               | 20,582              | 20,582              | 20,582              | 100 | 14,985              | 100 |
| (11)                | Total General Fund         | 593,032             | 593,767             | 272,328             | 46  | 261,247             | 46  |
| (12)                | Auxiliary Enterprises      | 32,569              | 32,703              | 12,439              | 38  | 12,144              | 38  |
| (13)                | Restricted Funds           | 67,303              | 67,387              | 19,026              | 28  | 18,006              | 32  |
| (14)                | Affiliated Corporations    | 159,977             | 160,060             | 50,123              | 31  | 45,260              | 31  |
| <b>Hospital</b>     |                            |                     |                     |                     |     |                     |     |
| (15)                | Revenues                   | 276,164             | 276,164             | 101,645             | 37  | 89,885              | 34  |
| (16)                | Fund balance               |                     |                     |                     |     |                     |     |
| Total Revenues and  |                            |                     |                     |                     |     |                     |     |
| (17)                | Appropriated Fund Balances | <u>\$ 1,129,045</u> | <u>\$ 1,130,081</u> | <u>\$ 455,561</u>   | 40  | <u>\$ 426,542</u>   | 40  |

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE FOUR MONTHS ENDED OCTOBER 31, 1999**  
with comparative totals for October 31, 1998  
(in thousands)

|                                        | 1999-00            |                   |                                    |     | 1998-99                            |     |
|----------------------------------------|--------------------|-------------------|------------------------------------|-----|------------------------------------|-----|
|                                        | Original<br>Budget | Revised<br>Budget | Expended/<br>Encumbered<br>To Date | %   | Expended/<br>Encumbered<br>To Date | %   |
| (1) Instruction                        | \$ 244,822         | \$ 240,632        | \$ 77,868                          | 32  | \$ 71,943                          | 31  |
| (2) Research                           | 152,232            | 151,332           | 43,425                             | 29  | 43,190                             | 29  |
| (3) Public service                     | 147,479            | 147,140           | 49,284                             | 33  | 44,209                             | 31  |
| (4) Academic support                   | 68,771             | 70,487            | 25,657                             | 36  | 23,352                             | 36  |
| (5) Student services                   | 20,460             | 20,827            | 6,413                              | 31  | 6,707                              | 35  |
| (6) Institutional support              | 45,507             | 49,782            | 17,538                             | 35  | 13,585                             | 33  |
| (7) Student financial aid              | 46,602             | 46,493            | 20,510                             | 44  | 18,404                             | 44  |
| (8) Operation and maintenance          | 41,125             | 41,207            | 12,771                             | 31  | 11,667                             | 30  |
| (9) Mandatory transfers (debt service) | 16,572             | 16,572            | 16,572                             | 100 | 18,486                             | 100 |
| (10) Hospital                          | 276,436            | 276,436           | 85,397                             | 31  | 79,705                             | 30  |
| (11) Auxiliary enterprises             | 69,039             | 69,173            | 24,432                             | 35  | 21,493                             | 35  |
| (12) Total Expenditure by Program      | \$ 1,129,045       | \$ 1,130,081      | \$ 379,867                         | 34  | \$ 352,741                         | 33  |

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE FOUR MONTHS ENDED OCTOBER 31, 1999**  
with comparative totals for October 31, 1998  
(in thousands)

|                                         | 1999-00            |                   |                                    |    | 1998-99                            |    |
|-----------------------------------------|--------------------|-------------------|------------------------------------|----|------------------------------------|----|
|                                         | Original<br>Budget | Revised<br>Budget | Expended/<br>Encumbered<br>To Date | %  | Expended/<br>Encumbered<br>To Date | %  |
| (13) Personnel costs                    | \$ 641,914         | \$ 640,000        | \$ 205,628                         | 32 | \$ 196,346                         | 32 |
| (14) Operating expenses                 | 421,286            | 427,083           | 128,976                            | 30 | 120,852                            | 30 |
| (15) Mandatory transfers (debt service) | 27,567             | 27,567            | 18,327                             | 66 | 20,777                             | 76 |
| (16) Capital outlay                     | 38,278             | 35,431            | 26,936                             | 76 | 14,766                             | 41 |
| (17) Total Expenditure by Category      | \$ 1,129,045       | \$ 1,130,081      | \$ 379,867                         | 34 | \$ 352,741                         | 33 |

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE FOUR MONTHS ENDED OCTOBER 31, 1999**  
with comparative totals for October 31, 1998  
(in thousands)

|                                                                            | <u>1999-00</u>   | <u>1998-99</u>   |
|----------------------------------------------------------------------------|------------------|------------------|
| (1) <b>Realized Revenues</b>                                               | \$ 434,979       | \$ 411,557       |
| <b>Appropriated Fund Balances</b>                                          |                  |                  |
| (2) Current Unrestricted Fund                                              | \$ 20,582        | 14,985           |
| (3) University Hospital                                                    |                  |                  |
| (4) <b>Total Appropriated Fund Balances</b>                                | <u>20,582</u>    | <u>14,985</u>    |
| (5) <b>Total Revenues and Appropriated Fund Balances</b>                   | <u>455,561</u>   | <u>426,542</u>   |
| (6) <b>Expenditures/Encumbrances</b>                                       | 379,867          | 352,741          |
| (7) Less: Reserve for Encumbrances                                         | (10,187)         | (6,857)          |
| (8) <b>Total Expenditures</b>                                              | <u>369,680</u>   | <u>345,884</u>   |
| (9) <b>Excess/(Deficit) Restricted Receipts Over Transfers to Revenues</b> | <u>(4,329)</u>   | <u>391</u>       |
| (10) <b>Net Deferred Revenues and Appropriated Fund Balances</b>           | <u>\$ 81,552</u> | <u>\$ 81,049</u> |



# FCR 2

Office of the President  
December 14, 1999

Members, Board of Trustees:

## REPORT OF LEASE

Recommendation: that the Board accept the President's Report of the following lease agreement in accordance with Board Policy:

A new lease between the University of Kentucky (College of Agriculture) and Kevil Farm Estate, 671 Cadiz Street, Princeton, Kentucky, for space located on Hopkinsville Street, Princeton, Kentucky, effective January 1, 2000 through December 31, 2000, for the use of sixty acres of land for experimentation of agricultural crops at an annual rate of \$12,000.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

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Action taken: ☒ Approved    ☐ Disapproved    ☐ Other \_\_\_\_\_

# FCR 3

Office of the President  
December 14, 1999

Members, Board of Trustees:

## CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending September 30, 1999, be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

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Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

*University of Kentucky*



***Capital Construction  
Quarterly Activity Report***

*For the three months ending September 30, 1999*

## CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

This report contains capital projects which have been active within this quarter. There are two (2) sections included in the Quarterly Report. The first section contains information on the Consultant Contracts while the second section contains information on the Construction Contracts.

**Consultant Contracts:** This section contains the name of the project, the project number, the project scope (which includes all costs associated with the project: design, management services, construction, PPD and communications force accounts, equipment, and furnishings), the name of the consultant, the city in which the consultant resides, the contract number, the contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, a brief project description, and a notes section that can be used for additional information regarding the consultant.

**Construction Contracts:** This section contains the name of the project, the project number, the name of the contractor, the city in which the contractor resides, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed and a notes section that can be used for additional information regarding the contractor.

Note: Several projects have Construction Managers whose responsibility is to assist with the contract documents, bidding and coordination of the project. When Construction Managers are employed there are multiple construction contracts. In the following report Construction Managers are listed first in the Construction Contracts section followed by each contract which they oversee.

***Capital Construction  
Quarterly Activity  
Consultant Contracts***

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

| <b>CONSULTANT</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <b>PROJECT INFORMATION</b>                                                                                | <b>SCOPE</b>                                                                                                                                               |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Lyle Associates, Architects<br>Lexington<br>Contract# A981390                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 4 North Nursing Renovation<br>Project Number: 1730.0<br>Total Amendments: (1)                             | \$281,605<br>Consultant Contract Amount: \$38,800<br>Consultant Cumulative Amendment Amount: \$7,306<br>Revised Consultant Contract Amount: \$46,106       |
| <b>Project Description:</b><br>Refurbish the Fourth Floor North Wing as a general patient care unit for overflow use. All finishes will be upgraded as well as the HVAC system. No existing walls will be removed.                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                           |                                                                                                                                                            |
| <b>Notes: Amendment #1</b> , 5/19/99, an increase of \$7,306 was approved to revise Phase 3 (construction documents) due to a change in users' needs.                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                           |                                                                                                                                                            |
| James W. Potts Architects<br>Lexington<br>Contract# A991270                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Academic and Research Renovation (College of Medicine)<br>Project Number: 1774.0<br>Total Amendments: (0) | \$625,000<br>Consultant Contract Amount: \$46,000<br>Consultant Cumulative Amendment Amount: \$0<br>Revised Consultant Contract Amount: \$46,000           |
| <b>Project Description:</b><br>Renovate approximately 4,700 gross square feet located in the basement of the MRISC Building which is part of the Markey Cancer Center. The intent of the project is to provide renovation of the area in the form of limited demolition, new wall construction, upgrade of interior finishes, new lighting, communications infrastructure, and creation of new laboratory spaces.                                                                                                                                                                                        |                                                                                                           |                                                                                                                                                            |
| Pearson + Bender + Jolly<br>Lexington<br>Contract# A961470                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Animal Research Center, Woodford County Farm<br>Project Number: 1626.0<br>Total Amendments: (2)           | \$12,480,000<br>Consultant Contract Amount: \$630,000<br>Consultant Cumulative Amendment Amount: \$11,822<br>Revised Consultant Contract Amount: \$641,822 |
| <b>Project Description:</b><br>Implement a development plan for the Woodford County Farm. The plan includes seven research sub-centers for discovery and application research thrusts focusing on the major livestock enterprises of Kentucky. Project includes: infrastructure (fencing, roads, water, electricity, waste handling facilities), field research buildings, equipment, and other facilities for beef, swine, and sheep research.                                                                                                                                                          |                                                                                                           |                                                                                                                                                            |
| <b>Notes:</b> \$12,480,000 reflects the total project scope of Projects 1626.0 and 1626.1. <b>Amendment #1</b> , 3/20/98, an increase of \$10,820 was approved to provide additional Consultant services for Phases 3-5 to separate the site utilities portion of the project for separate bidding and contract administration. <b>Amendment #2</b> , 1/7/99, an increase of \$1,002 was approved to provide an additional site visit by the consultant to inspect the delivery of the precast concrete floor drainage slats for the swine unit and to reimburse actual travel costs for the site visit. |                                                                                                           |                                                                                                                                                            |
| Pearson/Bender Associates<br>Lexington<br>Contract# A981260                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Career Planning and Placement Center<br>Project Number: 1715.0<br>Total Amendments: (2)                   | \$2,975,618<br>Consultant Contract Amount: \$124,800<br>Consultant Cumulative Amendment Amount: \$58,468<br>Revised Consultant Contract Amount: \$183,268  |
| <b>Project Description:</b><br>Construct a 15,000 to 21,000 gross square foot building to provide students, alumni, faculty, staff, and recruiting organizations with adequate space to participate in the services and programs offered by the Career Center.                                                                                                                                                                                                                                                                                                                                           |                                                                                                           |                                                                                                                                                            |
| <b>Notes: Amendment #1</b> , 12/16/98, an increase of \$44,668 was approved to provide Consultant services for additional scope increase. <b>Amendment #2</b> , 9/27/99, an increase of \$13,800 was approved to provide additional Consultant services for additional scope increase.                                                                                                                                                                                                                                                                                                                   |                                                                                                           |                                                                                                                                                            |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

| <b>CONSULTANT</b>                                                                                                                                                                                                                                                                                                                                                                             | <b>PROJECT INFORMATION</b>                                                                                              | <b>SCOPE</b>                                                                                                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Biagi, Chance, Cummins, et al<br>Shelbyville<br>Contract# A981350                                                                                                                                                                                                                                                                                                                             | Center for Applied Energy Research Ventilation System Phase II<br>Project Number: 1487.0<br>Total Amendments: (0)       | \$1,550,000<br>Consultant Contract Amount: \$72,000<br>Consultant Cumulative Amendment Amount: \$0<br>Revised Consultant Contract Amount: \$72,000             |
| <b>Project Description:</b><br>Phase 1 completed the upgrade of the CAER exhaust system. Phase 2 upgrades the supply side of the HVAC system to provide sufficient make-up air and to balance the air pressure of the entire lab. Humidification and a new energy management system are included.                                                                                             |                                                                                                                         |                                                                                                                                                                |
| James W. Potts Architects<br>Lexington<br>Contract# A991000P                                                                                                                                                                                                                                                                                                                                  | Chemistry/Physics Lab Renovation (Rooms 239, 241, 243, 245, and 247)<br>Project Number: 1738.0<br>Total Amendments: (0) | \$200,000<br>Consultant Contract Amount: \$15,800<br>Consultant Cumulative Amendment Amount: \$0<br>Revised Consultant Contract Amount: \$15,800               |
| <b>Project Description:</b><br>Renovate Rooms 239, 241, 243, 245, and 247 in the Chemistry/Physics Building. Work shall include: removal of existing casework, countertops, and reagent racks; finish carpentry and refinishing of existing wood casework along with caulking and new vinyl base; and modifications to existing plumbing, mechanical, electrical, and communications raceway. |                                                                                                                         |                                                                                                                                                                |
| <b>Notes:</b> This is a per diem contract.                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                         |                                                                                                                                                                |
| Sherman Carter Barnhart<br>Lexington<br>Contract# A981320                                                                                                                                                                                                                                                                                                                                     | Clinical Neurophysiology Renovation<br>Project Number: 1704.0<br>Total Amendments: (1)                                  | \$388,835<br>Consultant Contract Amount: \$38,250<br>Consultant Cumulative Amendment Amount: \$1,154<br>Revised Consultant Contract Amount: \$39,404           |
| <b>Project Description:</b><br>Upgrade examination rooms and finishes.                                                                                                                                                                                                                                                                                                                        |                                                                                                                         |                                                                                                                                                                |
| <b>Notes:</b> Amendment #1, 9/20/99, an increase of \$1,154 was approved for additional design work.                                                                                                                                                                                                                                                                                          |                                                                                                                         |                                                                                                                                                                |
| HNTB Corporation<br>Kansas City, MO<br>Contract# A981290                                                                                                                                                                                                                                                                                                                                      | Commonwealth Stadium Expansion<br>Project Number: 1674.2<br>Total Amendments: (1)                                       | \$27,600,000<br>Consultant Contract Amount: \$1,650,000<br>Consultant Cumulative Amendment Amount: \$28,718<br>Revised Consultant Contract Amount: \$1,678,718 |
| <b>Project Description:</b><br>Renovate and expand the existing University of Kentucky football stadium, including the end zone seating, stadium boxes and support space, new first aid areas, restrooms, concession facilities, and utility service.                                                                                                                                         |                                                                                                                         |                                                                                                                                                                |
| <b>Notes:</b> Amendment #1, 7/16/98, an increase of \$28,717.50 was approved to reimburse HNTB for topographical services.                                                                                                                                                                                                                                                                    |                                                                                                                         |                                                                                                                                                                |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

| CONSULTANT                                                | PROJECT INFORMATION                                                                          | SCOPE       |
|-----------------------------------------------------------|----------------------------------------------------------------------------------------------|-------------|
| Sherman Carter Barnhart<br>Lexington<br>Contract# A981080 | Communications and Network Systems and Ancillary Programs Facility<br>Project Number: 1696.0 | \$4,800,000 |
|                                                           | Consultant Contract Amount:                                                                  | \$314,000   |
| Total Amendments: (1)                                     | Consultant Cumulative Amendment Amount:                                                      | \$3,378     |
|                                                           | Revised Consultant Contract Amount:                                                          | \$317,378   |

**Project Description:**

Construct a new facility of approximately 40,000 gross square feet, which will house Communications and Network Systems and the Information Systems Business Office (CNS).

**Notes: Amendment #1**, 11/30/98, an increase of \$3,378 was approved to cover Consultant fees due to the addition of parking spaces.

|                                                             |                                                           |             |
|-------------------------------------------------------------|-----------------------------------------------------------|-------------|
| James W. Potts Architects<br>Lexington<br>Contract# A991230 | Diagnostic Services Upgrade VII<br>Project Number: 1757.0 | \$1,100,000 |
|                                                             | Consultant Contract Amount:                               | \$92,000    |
| Total Amendments: (0)                                       | Consultant Cumulative Amendment Amount:                   | \$0         |
|                                                             | Revised Consultant Contract Amount:                       | \$92,000    |

**Project Description:**

Renovate approximately 10,000 gross square feet in the basement of the MRISC Building in the Markey Cancer Center. The intent of the project is to provide renovation of the area in the form of limited demolition, new wall construction, upgrade of interior finishes, new lighting, communications infrastructure and creation of new laboratory spaces. The construction will be completed in two phases to facilitate efficient use of the spaces.

|                                                                |                                                   |             |
|----------------------------------------------------------------|---------------------------------------------------|-------------|
| Arrasmith, Judd, Rapp, Inc.<br>Louisville<br>Contract# A001040 | Football Office Complex<br>Project Number: 1778.0 | \$1,600,000 |
|                                                                | Consultant Contract Amount:                       | \$110,000   |
| Total Amendments: (0)                                          | Consultant Cumulative Amendment Amount:           | \$0         |
|                                                                | Revised Consultant Contract Amount:               | \$110,000   |

**Project Description:**

Construct a football office complex that will provide on-site office facilities for the Football Coach, assistant coaches, and the video operation. Completion of the project will consolidate the football coaches offices with the football training facility. More specifically, the project is planned as approximately 6200 square feet of space to include a reception area in the existing building.

**Notes:** No construction contract(s) awarded to date.

|                                                        |                                                               |           |
|--------------------------------------------------------|---------------------------------------------------------------|-----------|
| McIlwain & Associates<br>Lexington<br>Contract# A94160 | Football Practice Fields Renovation<br>Project Number: 1507.0 | \$475,000 |
|                                                        | Consultant Contract Amount:                                   | \$40,500  |
| Total Amendments: (1)                                  | Consultant Cumulative Amendment Amount:                       | -\$10,995 |
|                                                        | Revised Consultant Contract Amount:                           | \$29,505  |

**Project Description:**

Regrade and shape the fields to match the Commonwealth Stadium. Provide a sand base and resprig or sod the fields with Bermuda Grass. Provide underground drainage and storm sewer system.

**Notes: Amendment #1**, 8/30/99, a decrease of \$10,994.67 was approved to deduct bidding and construction administration services due to the cancellation of the project.



**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

| CONSULTANT                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | PROJECT INFORMATION                                                                            | SCOPE                                                                                                                                                     |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|
| James W. Potts Architects<br>Lexington<br>Contract# A991000P                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | German House Renovation<br>Project Number: 1766.0<br>Total Amendments: (0)                     | \$765,100<br>Consultant Contract Amount: \$34,500<br>Consultant Cumulative Amendment Amount: \$0<br>Revised Consultant Contract Amount: \$34,500          |
| <b>Project Description:</b><br>Renovate approximately 3,740 square feet of existing space for educational purposes and add 3,804 square feet for resident housing.<br><b>Notes:</b> This is a per diem contract.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                |                                                                                                                                                           |
| Staggs & Fisher Engineers<br>Lexington<br>Contract# A981160                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Hospital Electrical Distribution Upgrade II<br>Project Number: 1673.0<br>Total Amendments: (1) | \$1,500,000<br>Consultant Contract Amount: \$110,000<br>Consultant Cumulative Amendment Amount: \$18,730<br>Revised Consultant Contract Amount: \$128,730 |
| <b>Project Description:</b><br>Improve the existing electrical distribution system within the University Hospital, including: replacement of a substation in the Patient Care Addition and Critical Care Center switch gear with new solid state controls, an additional emergency generator and coordination with the existing generators, and replacement of the 4KV feeds to the ambulant wing transformers.<br><b>Notes:</b> No construction contract(s) awarded to date. <b>Amendment #1</b> , 8/30/99, an increase of \$18,730 was approved to provide additional Consultant services for Phase 3 (construction documents).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                |                                                                                                                                                           |
| Staggs & Fisher Engineers<br>Lexington<br>Contract# A961310                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Hospital Fuel Tank Upgrade<br>Project Number: 1712.0<br>Total Amendments: (3)                  | \$334,054<br>Consultant Contract Amount: \$3,000<br>Consultant Cumulative Amendment Amount: \$38,772<br>Revised Consultant Contract Amount: \$41,772      |
| <b>Project Description:</b><br>Bring existing hospital emergency generator fuel storage tanks into compliance with federal regulations. Install a new concrete encased aboveground storage tank and remove old tanks.<br><b>Notes:</b> <b>Amendment #1</b> , 10/31/97, an increase of \$25,000 was approved because the original contract called for a fee of \$3,000 on an hourly basis for Phase 1. Article III, 3.1, of the consultant contract, states: "Upon completion of Phase 1 and the establishment of the schematic design this contract will be amended for services necessary to implement the total scope as determined during Phase 1." Phase 1 has been completed. Through the negotiation process, an agreement based on a \$28,000 fee has been reached. <b>Amendment #2</b> , 8/7/98, an increase of \$612 was approved to cover reimbursable services associated with the evaluation of contemplated design and structural changes. <b>Amendment #3</b> , 9/29/98, an increase of \$13,160 was approved to revise Phases 1 and 2 milestone dates and to increase the design cost due to changing the location of the tank. |                                                                                                |                                                                                                                                                           |
| Staggs & Fisher Engineers<br>Lexington<br>Contract# A981110                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Lexington Campus Fume Hood Upgrade<br>Project Number: 1653.2<br>Total Amendments: (0)          | \$2,137,082<br>Consultant Contract Amount: \$126,000<br>Consultant Cumulative Amendment Amount: \$0<br>Revised Consultant Contract Amount: \$126,000      |
| <b>Project Description:</b><br>Upgrade the existing mechanical/fume hood systems in the Chemistry/Physics Building, specifically the basement exhaust systems in Rooms 336 and 340.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                |                                                                                                                                                           |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

| <b>CONSULTANT</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <b>PROJECT INFORMATION</b>                                               | <b>SCOPE</b> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|--------------|
| Mcllwain & Associates<br>Lexington<br>Contract# A991000L                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Limestone Street / Virginia Avenue Stone Walls<br>Project Number: 1736.0 | \$350,000    |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Consultant Contract Amount:                                              | \$20,300     |
| Total Amendments: (0)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Consultant Cumulative Amendment Amount:                                  | \$0          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Revised Consultant Contract Amount:                                      | \$20,300     |
| <b>Project Description:</b><br>Design and construct a "Campus Identification" element at the Limestone Street and Virginia Avenue location. The recent completion of the widening of Virginia Avenue has allowed a significant increase in traffic to the University at this intersection. The entrance walls complete the enhancement of the corridor.                                                                                                                                                                   |                                                                          |              |
| <b>Notes:</b> This is a per diem contract.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                          |              |
| James W. Potts Architects<br>Lexington<br>Contract# A991190                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Medical Center Lab Renovation I<br>Project Number: 1759.0                | \$228,314    |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Consultant Contract Amount:                                              | \$16,500     |
| Total Amendments: (0)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Consultant Cumulative Amendment Amount:                                  | \$0          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Revised Consultant Contract Amount:                                      | \$16,500     |
| <b>Project Description:</b><br>Renovation of approximately 960 square feet of research labs that support the medical science research programs of the College of Medicine. This will involve demolition of an existing partition and casework, installation of new casework with connections to medical gas, air, vacuum systems and associated plumbing, sprinkler, electrical and HVAC systems reconfiguration, and new finishes. Renovations will occur in Rooms MS341, MS341A, MS341B, and MS341C.                    |                                                                          |              |
| James W. Potts Architects<br>Lexington<br>Contract# A991200                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Medical Center Lab Renovation II<br>Project Number: 1760.0               | \$182,630    |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Consultant Contract Amount:                                              | \$18,000     |
| Total Amendments: (0)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Consultant Cumulative Amendment Amount:                                  | \$0          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Revised Consultant Contract Amount:                                      | \$18,000     |
| <b>Project Description:</b><br>Renovation of approximately 865 square feet of offices/mini-research labs that support the medical science research programs of the College of Medicine. This will involve demolition of an existing partition and casework, installation of new casework with connections to medical gas, air, vacuum systems and associated plumbing, sprinkler, electrical and HVAC systems reconfiguration, and new finishes. Renovations will occur in Rooms MN411, MS419, MS419A, MS423, and MS423A. |                                                                          |              |
| James W. Potts Architects<br>Lexington<br>Contract# A991210                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Medical Center Lab Renovation III<br>Project Number: 1761.0              | \$215,400    |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Consultant Contract Amount:                                              | \$13,000     |
| Total Amendments: (0)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Consultant Cumulative Amendment Amount:                                  | \$0          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Revised Consultant Contract Amount:                                      | \$13,000     |
| <b>Project Description:</b><br>Renovation of approximately 900 square feet of research labs that support the medical science research programs of the College of Medicine. The renovation will involve demolition of existing partitions and casework, installation of new casework with connections to medical gas, air, vacuum systems and associated plumbing, sprinkler, electrical and HVAC systems reconfiguration, and new finishes. Renovations will occur in Rooms MS366, MS366A, MS366B, and MS372A.            |                                                                          |              |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

| CONSULTANT                                                  | PROJECT INFORMATION                                                  | SCOPE     |
|-------------------------------------------------------------|----------------------------------------------------------------------|-----------|
| Staggs & Fisher Engineers<br>Lexington<br>Contract# A001060 | North Campus Chilled Water Main - Phase II<br>Project Number: 1779.0 | \$770,000 |
|                                                             | Consultant Contract Amount:                                          | \$59,500  |
|                                                             | Total Amendments: (0) Consultant Cumulative Amendment Amount:        | \$0       |
|                                                             | Revised Consultant Contract Amount:                                  | \$59,500  |

**Project Description:**

Extend the main supply and return piping and install a new feeder supply.

**Notes:** No construction contract(s) awarded to date.

|                                                   |                                                                         |             |
|---------------------------------------------------|-------------------------------------------------------------------------|-------------|
| Omni Architects<br>Lexington<br>Contract# A991120 | Patient Care Facility/Women's Cancer Facility<br>Project Number: 1748.0 | \$8,000,000 |
|                                                   | Consultant Contract Amount:                                             | \$492,070   |
|                                                   | Total Amendments: (2) Consultant Cumulative Amendment Amount:           | -\$425,521  |
|                                                   | Revised Consultant Contract Amount:                                     | \$66,549    |

**Project Description:**

Construct a new 3-story facility on an existing foundation which will house the Women's Cancer Center.

**Notes:** No construction contract(s) awarded to date. **Amendment #1**, 5/19/99, an increase of \$77,094 was approved to provide Consultant services for additional programming services and work. **Amendment #2**, 9/13/99, a decrease of \$502,615 was approved to close the contract.

|                                                             |                                                               |           |
|-------------------------------------------------------------|---------------------------------------------------------------|-----------|
| Pearson/Bender Associates<br>Lexington<br>Contract# A981130 | Pence Hall Exit Stairway<br>Project Number: 1700.0            | \$301,000 |
|                                                             | Consultant Contract Amount:                                   | \$18,600  |
|                                                             | Total Amendments: (1) Consultant Cumulative Amendment Amount: | \$3,448   |
|                                                             | Revised Consultant Contract Amount:                           | \$22,048  |

**Project Description:**

Add an egress stairway in Pence Hall.

**Notes:** **Amendment #1**, 11/18/98, an increase of \$3,448 was approved to provide additional Consultant services for Phase 2 (design development) for studying alternative locations of stairs within the interior of the building. These services were to assess the impact to the current space utilization of the College of Architecture. The additional fee of \$550 is to provide a site survey as a reimbursable service.

|                                                               |                                                               |           |
|---------------------------------------------------------------|---------------------------------------------------------------|-----------|
| James B. Evans & Associates<br>Lexington<br>Contract# A991060 | Press Avenue Parking Lot Renovation<br>Project Number: 1733.0 | \$399,000 |
|                                                               | Consultant Contract Amount:                                   | \$44,404  |
|                                                               | Total Amendments: (2) Consultant Cumulative Amendment Amount: | \$5,022   |
|                                                               | Revised Consultant Contract Amount:                           | \$49,425  |

**Project Description:**

Construct a parking lot on Press Avenue.

**Notes:** **Amendment #1**, 2/11/99, an increase of \$1,378.41 was approved to provide additional site subsurface information. **Amendment #2**, 9/27/99, an increase of \$3,643.13 was approved to provide additional Consultant services for Phase 5 (construction administration).

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

| <b>CONSULTANT</b>     | <b>PROJECT INFORMATION</b>              | <b>SCOPE</b> |
|-----------------------|-----------------------------------------|--------------|
| HNTB Corporation      | Seaton Center Addition/Renovation       | \$15,350,000 |
| Kansas City, MO       | Project Number: 1781.0                  |              |
| Contract# A001050     | Consultant Contract Amount:             | \$997,000    |
| Total Amendments: (0) | Consultant Cumulative Amendment Amount: | \$0          |
|                       | Revised Consultant Contract Amount:     | \$997,000    |

**Project Description:**

Construct an approximately 90,000 square foot addition to the Seaton Center. The addition will be located on the southeast end of the Seaton Center and will allow for future expansion of both the new facility and the existing Lancaster Aquatics Center.

**Notes:** No construction contract(s) awarded to date.

|                           |                                         |           |
|---------------------------|-----------------------------------------|-----------|
| Staggs & Fisher Engineers | Singletary Center Lighting              | \$399,200 |
| Lexington                 | Project Number: 1753.0                  |           |
| Contract# A991020J        | Consultant Contract Amount:             | \$35,000  |
| Total Amendments: (0)     | Consultant Cumulative Amendment Amount: | \$0       |
|                           | Revised Consultant Contract Amount:     | \$35,000  |

**Project Description:**

Design and construct stage lighting controls, a permanent winch, and a truss system in the concert and recital halls.

**Notes:** This is a per diem contract.

|                           |                                         |           |
|---------------------------|-----------------------------------------|-----------|
| James W. Potts Architects | Student Center Sprinkler System         | \$700,000 |
| Lexington                 | Project Number: 1782.0                  |           |
| Contract# A001070         | Consultant Contract Amount:             | \$55,000  |
| Total Amendments: (0)     | Consultant Cumulative Amendment Amount: | \$0       |
|                           | Revised Consultant Contract Amount:     | \$55,000  |

**Project Description:**

Installation of a fire suppression system to bring the building up to current city and state codes.

**Notes:** No construction contract(s) awarded to date.

*Capital Construction*  
*Quarterly Activity*  
*Construction Contracts*

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                          |                                                  |                                                |
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| D.W. Wilburn, Inc.<br>Lexington<br>Contract# U003971-97                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Animal Research Center, Woodford County Farm<br>Project Number: 1626.0                   |                                                  |                                                |
| Low Bid: \$10,146,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Total Change Orders: (46)                                                                | Contractor Award Amount: \$10,146,000            | Contractor Cum. Change Order Amount: \$400,013 |
| High Bid: \$11,746,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                          | Revised Contractor Contract Amount: \$10,546,013 |                                                |
| Number of Bids: 5                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                          | Contract Percent Complete: 93%                   |                                                |
| <b>Notes:</b> Change Order #17, 12/4/98, an increase of \$59,102.26 was approved to install concrete footings for silos at the Beef Nutrition Center, the Sheep Nutrition Center, and the waste storage areas. Change Order #19, 12/4/98, an increase of \$38,231.75 was approved to redesign the run-off and collection system at three paved beef lots. Change Order #23, 2/12/99, an increase of \$47,222.50 was approved to excavate rock for the site water line. Change Order #42, 6/21/99, an increase of \$41,755 was approved to excavate rock for the swine waste piping system. |                                                                                          |                                                  |                                                |
| Marksbury Cornett<br>Louisville<br>Contract# U003934-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Career Planning and Placement Center<br>Project Number: 1715.0                           |                                                  |                                                |
| Low Bid: \$2,413,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Total Change Orders: (4)                                                                 | Contractor Award Amount: \$2,413,000             | Contractor Cum. Change Order Amount: \$13,951  |
| High Bid: \$2,610,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                          | Revised Contractor Contract Amount: \$2,426,951  |                                                |
| Number of Bids: 6                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                          | Contract Percent Complete: 23%                   |                                                |
| Finney Company, Inc.<br>Lexington<br>Contract# U004091-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Center for Applied Energy Research Ventilation System Phase II<br>Project Number: 1487.0 |                                                  |                                                |
| Low Bid: \$1,256,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Total Change Orders: (9)                                                                 | Contractor Award Amount: \$1,256,000             | Contractor Cum. Change Order Amount: \$136,528 |
| High Bid: \$1,490,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                          | Revised Contractor Contract Amount: \$1,392,528  |                                                |
| Number of Bids: 3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                          | Contract Percent Complete: 42%                   |                                                |
| <b>Change Order #1</b> , 6/2/99, an increase of \$32,900 was approved to provide a complete direct digital control system for the variable air volume terminal units. <b>Change Order #5</b> , 8/27/99, an increase of \$38,589 was approved to replace a defective cooling tower. <b>Change Order #6</b> , 8/27/99, an increase of \$35,944 was approved to furnish material and labor to install a perchloric acid fume hood and accessories.                                                                                                                                            |                                                                                          |                                                  |                                                |
| The Wagner Company<br>Lexington<br>Contract# U004227-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Chemistry/Physics Lab Renovation<br>Project Number: 1738.0                               |                                                  |                                                |
| Low Bid: \$130,913                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Total Change Orders: (5)                                                                 | Contractor Award Amount: \$130,913               | Contractor Cum. Change Order Amount: \$9,880   |
| High Bid: \$146,200                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                          | Revised Contractor Contract Amount: \$140,793    |                                                |
| Number of Bids: 3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                          | Contract Percent Complete: 90%                   |                                                |
| Spectrum Contracting<br>Nicholasville<br>Contract# U003980-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Clinical Neurophysiology Renovation<br>Project Number: 1704.0                            |                                                  |                                                |
| Low Bid: \$236,302                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Total Change Orders: (5)                                                                 | Contractor Award Amount: \$236,302               | Contractor Cum. Change Order Amount: \$43,494  |
| High Bid: \$356,560                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                          | Revised Contractor Contract Amount: \$279,796    |                                                |
| Number of Bids: 10                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                          | Contract Percent Complete: 47%                   |                                                |
| <b>Notes:</b> Change Order #5, 8/27/99, an increase of \$26,033 was approved to replace casework, doors, and to meet hospital and University standards.                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                          |                                                  |                                                |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

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| The Allen Company, Inc.<br>Winchester                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                           | Coldstream Research Campus Units<br>Project Number: 1767.0 |             |
| Contract# U002023-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                           | Contractor Award Amount: \$1,474,615                       |             |
| Low Bid: \$1,474,615                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Total Change Orders: (3)  | Contractor Cum. Change Order Amount:                       | \$31,143    |
| High Bid: \$2,214,589                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                           | Revised Contractor Contract Amount:                        | \$1,505,758 |
| Number of Bids: 7                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                           | Contract Percent Complete:                                 | 93%         |
| Turner Construction**<br>Cincinnati, OH                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                           | Commonwealth Stadium Expansion<br>Project Number: 1674.2   |             |
| Contract# U004388-97                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                           | Contractor Award Amount: \$1,067,312                       |             |
| Low Bid:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Total Change Orders: (4)  | Contractor Cum. Change Order Amount:                       | \$207,533   |
| High Bid:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                           | Revised Contractor Contract Amount:                        | \$1,274,845 |
| Number of Bids:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                           | Contract Percent Complete:                                 | 98%         |
| <b>Notes:</b> **Turner is the construction manager for this project. The following contracts pertaining to 1674.2 are managed by Turner Construction. <b>Change Order #1</b> , 1/8/99, an increase of \$97,139 was approved to add one and one-half months of construction services and expenses to the contract. <b>Change Order #2</b> , 1/29/99, an increase of \$97,693.75 was approved to add periodic inspection services for painting and concrete.                                                                                                                                    |                           |                                                            |             |
| Abell Elevator<br>Louisville                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                           | Commonwealth Stadium Expansion<br>Project Number: 1674.2   |             |
| Contract# U000976-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                           | Contractor Award Amount: \$399,130                         |             |
| Low Bid: \$411,130                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Total Change Orders: (3)  | Contractor Cum. Change Order Amount:                       | -\$992      |
| High Bid: \$745,870                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                           | Revised Contractor Contract Amount:                        | \$398,138   |
| Number of Bids: 6                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                           | Contract Percent Complete:                                 | 75%         |
| <b>Notes:</b> Elevator Bid Package. Bids originally included both construction and maintenance costs. The contract now includes construction cost only.                                                                                                                                                                                                                                                                                                                                                                                                                                       |                           |                                                            |             |
| Amteck of Kentucky<br>Lexington                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                           | Commonwealth Stadium Expansion<br>Project Number: 1674.2   |             |
| Contract# U002639-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                           | Contractor Award Amount: \$1,746,313                       |             |
| Low Bid: \$1,746,313                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Total Change Orders: (32) | Contractor Cum. Change Order Amount:                       | \$85,015    |
| High Bid: \$1,979,650                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                           | Revised Contractor Contract Amount:                        | \$1,831,328 |
| Number of Bids: 2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                           | Contract Percent Complete:                                 | 94%         |
| <b>Notes:</b> Electrical Bid Package. <b>Change Order #7</b> , 5/20/99, an increase of \$29,869 was approved to add machine rooms for two future elevator shafts. <b>Change Order #11</b> , 5/24/99, an increase of \$31,650 was approved to provide a redundant feed into the existing substations. <b>Change Order #26</b> , 8/13/99, a decrease of \$22,359 was approved to eliminate portions of the fire alarm system not required by code. <b>Change Order #29</b> , 9/22/99, a decrease of \$27,525.19 was approved to provide a back charge for cleanup to maintain the project site. |                           |                                                            |             |
| Amteck of Kentucky<br>Lexington                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                           | Commonwealth Stadium Expansion<br>Project Number: 1674.2   |             |
| Contract# U002640-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                           | Contractor Award Amount: \$141,155                         |             |
| Low Bid: \$141,155                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Total Change Orders: (2)  | Contractor Cum. Change Order Amount:                       | \$19,964    |
| High Bid: \$276,062                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                           | Revised Contractor Contract Amount:                        | \$161,119   |
| Number of Bids: 3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                           | Contract Percent Complete:                                 | 92%         |
| <b>Notes:</b> Suites Finishes Electrical Bid Package.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                           |                                                            |             |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

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| Branscum Construction<br>Russell Springs<br>Contract# U002685-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Commonwealth Stadium Expansion<br>Project Number: 1674.2 |                                                                                                                                                                             |
| Low Bid: \$2,644,117                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Total Change Orders: (47)                                | Contractor Award Amount: \$2,644,117<br>Contractor Cum. Change Order Amount: \$355,532<br>Revised Contractor Contract Amount: \$2,999,649<br>Contract Percent Complete: 85% |
| High Bid: \$2,698,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                          |                                                                                                                                                                             |
| Number of Bids: 2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                          |                                                                                                                                                                             |
| <b>Notes:</b> General Trades Bid Package. <b>Change Order #6</b> , 5/20/99, an increase of \$74,292 was approved to add elevator machine rooms for future elevator shafts. <b>Change Order #9</b> , 6/15/99, an increase of \$34,125 was approved to provide handrails for access to the suite stadium seating and to the suite emergency exit stairs. <b>Change Order #18</b> , 6/24/99, an increase of \$44,633 was approved to add the production booth which had been deleted in the post-bid addendum. <b>Change Order #26</b> , 7/20/99, an increase of \$113,434 was approved to add a ceiling to the lower concourse areas. |                                                          |                                                                                                                                                                             |
| Branscum Construction<br>Russell Springs<br>Contract# U000943-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Commonwealth Stadium Expansion<br>Project Number: 1674.2 |                                                                                                                                                                             |
| Low Bid: \$1,742,428                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Total Change Orders: (45)                                | Contractor Award Amount: \$1,652,956<br>Contractor Cum. Change Order Amount: \$90,925<br>Revised Contractor Contract Amount: \$1,743,881<br>Contract Percent Complete: 98%  |
| High Bid:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                          |                                                                                                                                                                             |
| Number of Bids: 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                          |                                                                                                                                                                             |
| <b>Notes:</b> Demolition, Excavation, Foundation, and Concrete Bid Package. Only one bid was submitted, therefore, the contract was renegotiated.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                          |                                                                                                                                                                             |
| Dant Clayton Corporation<br>Louisville<br>Contract# U000970-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Commonwealth Stadium Expansion<br>Project Number: 1674.2 |                                                                                                                                                                             |
| Low Bid: \$1,789,400                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Total Change Orders: (10)                                | Contractor Award Amount: \$1,789,400<br>Contractor Cum. Change Order Amount: \$23,777<br>Revised Contractor Contract Amount: \$1,813,177<br>Contract Percent Complete: 97%  |
| High Bid: \$2,872,992                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                          |                                                                                                                                                                             |
| Number of Bids: 3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                          |                                                                                                                                                                             |
| <b>Notes:</b> Aluminum Stadium Seating Bid Package.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                          |                                                                                                                                                                             |
| Eagle Roofing<br>Richmond<br>Contract# U002970-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Commonwealth Stadium Expansion<br>Project Number: 1674.2 |                                                                                                                                                                             |
| Low Bid: \$268,180                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Total Change Orders: (4)                                 | Contractor Award Amount: \$268,180<br>Contractor Cum. Change Order Amount: \$16,715<br>Revised Contractor Contract Amount: \$284,895<br>Contract Percent Complete: 92%      |
| High Bid: \$438,742                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                          |                                                                                                                                                                             |
| Number of Bids: 3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                          |                                                                                                                                                                             |
| <b>Notes:</b> Roofing and Sheet Metal Bid Package.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                          |                                                                                                                                                                             |
| Gate Bluegrass Precast<br>Winchester<br>Contract# U001893-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Commonwealth Stadium Expansion<br>Project Number: 1674.2 |                                                                                                                                                                             |
| Low Bid: \$1,435,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Total Change Orders: (5)                                 | Contractor Award Amount: \$1,435,000<br>Contractor Cum. Change Order Amount: \$13,402<br>Revised Contractor Contract Amount: \$1,448,402<br>Contract Percent Complete: 99%  |
| High Bid:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                          |                                                                                                                                                                             |
| Number of Bids: 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                          |                                                                                                                                                                             |
| <b>Notes:</b> Architectural Precast Bid Package.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                          |                                                                                                                                                                             |



**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  |                                                          |             |
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| Interstate Welding<br>Terre Haute, IN<br>Contract# U002683-98                                                                                                                                                                                                                                                                                                                                                                                       |  | Commonwealth Stadium Expansion<br>Project Number: 1674.2 |             |
| Low Bid: \$908,908                                                                                                                                                                                                                                                                                                                                                                                                                                  |  | Contractor Award Amount:                                 | \$908,908   |
| High Bid: \$1,121,000                                                                                                                                                                                                                                                                                                                                                                                                                               |  | Contractor Cum. Change Order Amount:                     | \$94,875    |
| Number of Bids: 2                                                                                                                                                                                                                                                                                                                                                                                                                                   |  | Revised Contractor Contract Amount:                      | \$1,003,783 |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  | Contract Percent Complete:                               | 90%         |
| <b>Notes:</b> Miscellaneous Iron, Stairs, and Handrails Bid Package. <b>Change Order #3</b> , 4/29/99, an increase of \$42,600 was approved to provide steel tube support required for the metal panel rail.                                                                                                                                                                                                                                        |  |                                                          |             |
| Kidd Glass, Inc.<br>Harrodsburg<br>Contract# U002752-98                                                                                                                                                                                                                                                                                                                                                                                             |  | Commonwealth Stadium Expansion<br>Project Number: 1674.2 |             |
| Low Bid: \$220,984                                                                                                                                                                                                                                                                                                                                                                                                                                  |  | Contractor Award Amount:                                 | \$220,984   |
| High Bid: \$266,227                                                                                                                                                                                                                                                                                                                                                                                                                                 |  | Contractor Cum. Change Order Amount:                     | \$33,062    |
| Number of Bids: 3                                                                                                                                                                                                                                                                                                                                                                                                                                   |  | Revised Contractor Contract Amount:                      | \$254,046   |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  | Contract Percent Complete:                               | 59%         |
| <b>Notes:</b> Store Front and Windows Bid Package.                                                                                                                                                                                                                                                                                                                                                                                                  |  |                                                          |             |
| Koker Drilling Company<br>Dayton, OH<br>Contract# U000974-98                                                                                                                                                                                                                                                                                                                                                                                        |  | Commonwealth Stadium Expansion<br>Project Number: 1674.2 |             |
| Low Bid: \$460,550                                                                                                                                                                                                                                                                                                                                                                                                                                  |  | Contractor Award Amount:                                 | \$460,550   |
| High Bid: \$827,000                                                                                                                                                                                                                                                                                                                                                                                                                                 |  | Contractor Cum. Change Order Amount:                     | \$85,750    |
| Number of Bids: 3                                                                                                                                                                                                                                                                                                                                                                                                                                   |  | Revised Contractor Contract Amount:                      | \$546,300   |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  | Contract Percent Complete:                               | 90%         |
| <b>Notes:</b> Caissons Bid Package. <b>Change Order #9</b> , 9/29/99, an increase of \$45,919 was approved to provide additional drilling on the west side. <b>Change Order #10</b> , 9/29/99, an increase of \$35,990 was approved to provide additional drilling on the east side.                                                                                                                                                                |  |                                                          |             |
| Marksbury Cornett<br>Louisville<br>Contract# U002636-98                                                                                                                                                                                                                                                                                                                                                                                             |  | Commonwealth Stadium Expansion<br>Project Number: 1674.2 |             |
| Low Bid: \$866,000                                                                                                                                                                                                                                                                                                                                                                                                                                  |  | Contractor Award Amount:                                 | \$866,000   |
| High Bid: \$1,106,090                                                                                                                                                                                                                                                                                                                                                                                                                               |  | Contractor Cum. Change Order Amount:                     | \$229,337   |
| Number of Bids: 3                                                                                                                                                                                                                                                                                                                                                                                                                                   |  | Revised Contractor Contract Amount:                      | \$1,095,337 |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  | Contract Percent Complete:                               | 99%         |
| <b>Notes:</b> Masonry Bid Package. <b>Change Order #9</b> , 6/15/99, an increase of \$40,138 was approved to provide for authorized overtime for masonry work to maintain the project schedule. <b>Change Order #13</b> , 7/20/99, an increase of \$26,600 was approved to add block column wraps in the elevator shafts. <b>Change Order #18</b> , 9/3/99, an increase of \$73,134 was approved to provide masonry overtime for the month of July. |  |                                                          |             |
| Marksbury Cornett<br>Louisville<br>Contract# U002635-98                                                                                                                                                                                                                                                                                                                                                                                             |  | Commonwealth Stadium Expansion<br>Project Number: 1674.2 |             |
| Low Bid: \$1,196,000                                                                                                                                                                                                                                                                                                                                                                                                                                |  | Contractor Award Amount:                                 | \$1,196,000 |
| High Bid: \$1,244,400                                                                                                                                                                                                                                                                                                                                                                                                                               |  | Contractor Cum. Change Order Amount:                     | -\$8,077    |
| Number of Bids: 3                                                                                                                                                                                                                                                                                                                                                                                                                                   |  | Revised Contractor Contract Amount:                      | \$1,187,923 |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  | Contract Percent Complete:                               | 88%         |
| <b>Notes:</b> General Trades Bid Package. <b>Change Order #2</b> , 5/10/99, a decrease of \$24,311 was approved due to the difference between the scheduled allowance and the Central School Supply quote.                                                                                                                                                                                                                                          |  |                                                          |             |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|
| Mid States Steel Products<br>Lexington<br>Contract# U000922-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Commonwealth Stadium Expansion<br>Project Number: 1674.2<br>Contractor Award Amount: \$4,395,000 |
| Low Bid: \$4,395,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Total Change Orders: (51) Contractor Cum. Change Order Amount: \$290,491                         |
| High Bid: \$6,129,800                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Revised Contractor Contract Amount: \$4,685,491                                                  |
| Number of Bids: 4                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Contract Percent Complete: 99%                                                                   |
| <b>Notes:</b> Structural Steel and Miscellaneous Steel Bid Package. <b>Change Order #2</b> , 3/17/99, a decrease of \$100,000 was approved to credit a contract allowance for structural steel coordination purposes. <b>Change Order #3</b> , 3/17/99, a decrease of \$48,000 was approved to credit a contract allowance for structural steel revisions. <b>Change Order #9</b> , 3/17/99, an increase of \$114,000 was approved to add a premium cost for expediting the fabrication of the structural steel to meet the schedule. <b>Change Order #10</b> , 3/19/99, an increase of \$30,735 was approved to provide cornice framing. <b>Change Order #13</b> , 4/12/99, an increase of \$40,598 was approved to change the stadium seating to work with the structural steel. <b>Change Order #19</b> , 5/10/99, an increase of \$77,392 was approved to fabricate and erect the window washing platform. <b>Change Order #20</b> , 5/10/99, an increase of \$146,904 was approved to fabricate and erect channel rails and twenty gates at the upper concourse. <b>Change Order #24</b> , 5/20/99, an increase of \$34,200 was approved to add elevator machine rooms for future use. <b>Change Order #31</b> , 6/15/99, an increase of \$55,005 was approved to provide the required framing to support the signage. <b>Change Order #45</b> , 9/3/99, a decrease of \$40,815.50 was approved to rescind change orders 14, 15, and 16 because the Contractor failed to complete the work by the contract date. <b>Change Order #46</b> , 9/3/99, a decrease of \$156,000 was approved to assess liquidated damages in the amount of \$2,000 per calendar day for 78 calendar days. |                                                                                                  |
| R & R Inc., of Louisville<br>Louisville<br>Contract# U002629-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Commonwealth Stadium Expansion<br>Project Number: 1674.2<br>Contractor Award Amount: \$2,705,900 |
| Low Bid: \$2,705,900                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Total Change Orders: (26) Contractor Cum. Change Order Amount: \$78,753                          |
| High Bid: \$2,766,300                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Revised Contractor Contract Amount: \$2,784,653                                                  |
| Number of Bids: 4                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Contract Percent Complete: 96%                                                                   |
| <b>Notes:</b> Plumbing, Fire Protection, and HVAC Bid Package.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                  |
| Skilton Construction Corp.<br>Lexington<br>Contract# U000981-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Commonwealth Stadium Expansion<br>Project Number: 1674.2<br>Contractor Award Amount: \$350,000   |
| Low Bid: \$350,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Total Change Orders: (12) Contractor Cum. Change Order Amount: \$44,436                          |
| High Bid:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Revised Contractor Contract Amount: \$394,436                                                    |
| Number of Bids: 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Contract Percent Complete: 99%                                                                   |
| <b>Notes:</b> Limited Site Grading and Utilities Bid Package.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                  |
| Skilton Construction Corp.<br>Lexington<br>Contract# U002630-98                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Commonwealth Stadium Expansion<br>Project Number: 1674.2<br>Contractor Award Amount: \$825,000   |
| Low Bid: \$825,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Total Change Orders: (14) Contractor Cum. Change Order Amount: \$43,566                          |
| High Bid: \$876,911                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Revised Contractor Contract Amount: \$868,566                                                    |
| Number of Bids: 2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Contract Percent Complete: 45%                                                                   |
| <b>Notes:</b> Finish Sitework Bid Package. <b>Change Order #6</b> , 8/1/99, an increase of \$25,533 was approved to excavate poor subgrade for compaction and installation of asphalt.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                  |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

| CONTRACTOR                                                         |                                                                                              | PROJECT INFORMATION                                                                                                                                                        |  |
|--------------------------------------------------------------------|----------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| D.W. Wilburn, Inc.<br>Lexington<br>Contract# U004774-98            | Communications and Network Systems and Ancillary Programs Facility<br>Project Number: 1696.0 |                                                                                                                                                                            |  |
| Low Bid: \$3,917,000<br>High Bid: \$4,156,700<br>Number of Bids: 7 | Total Change Orders: (4)                                                                     | Contractor Award Amount: \$3,917,000<br>Contractor Cum. Change Order Amount: \$15,843<br>Revised Contractor Contract Amount: \$3,932,843<br>Contract Percent Complete: 24% |  |
| Woodford Builders, Inc.<br>Lexington<br>Contract# U005374-98       | German House Renovation<br>Project Number: 1766.0                                            |                                                                                                                                                                            |  |
| Low Bid: \$664,000<br>High Bid: \$862,139<br>Number of Bids: 5     | Total Change Orders: (1)                                                                     | Contractor Award Amount: \$664,000<br>Contractor Cum. Change Order Amount: \$1,241<br>Revised Contractor Contract Amount: \$665,241<br>Contract Percent Complete: 11%      |  |
| Finney Company, Inc.<br>Lexington<br>Contract# U003263-98          | Hospital Fuel Tank Upgrade<br>Project Number: 1712.0                                         |                                                                                                                                                                            |  |
| Low Bid: \$210,500<br>High Bid: \$277,568<br>Number of Bids: 3     | Total Change Orders: (11)                                                                    | Contractor Award Amount: \$210,500<br>Contractor Cum. Change Order Amount: \$15,780<br>Revised Contractor Contract Amount: \$226,280<br>Contract Percent Complete: 98%     |  |
| M.B. McGregor Company<br>Lexington<br>Contract# U006173-97         | King Alumni House Floor Finish Renovation<br>Project Number: 1705.1                          |                                                                                                                                                                            |  |
| Low Bid: \$106,839<br>High Bid:<br>Number of Bids: 1               | Total Change Orders: (2)                                                                     | Contractor Award Amount: \$106,839<br>Contractor Cum. Change Order Amount: \$2,392<br>Revised Contractor Contract Amount: \$109,231<br>Contract Percent Complete: 95%      |  |
| Finney Company, Inc.<br>Lexington<br>Contract# U006439-97          | Lexington Campus Fume Hood Upgrade<br>Project Number: 1653.2                                 |                                                                                                                                                                            |  |
| Low Bid: \$1,921,300<br>High Bid:<br>Number of Bids: 1             | Total Change Orders: (13)                                                                    | Contractor Award Amount: \$1,921,300<br>Contractor Cum. Change Order Amount: \$53,310<br>Revised Contractor Contract Amount: \$1,974,610<br>Contract Percent Complete: 98% |  |
| Woodall Construction Co.<br>Lexington<br>Contract# U004827-98      | Limestone Street / Virginia Avenue Stone Walls<br>Project Number: 1736.0                     |                                                                                                                                                                            |  |
| Low Bid: \$303,590<br>High Bid: \$417,147<br>Number of Bids: 4     | Total Change Orders: (2)                                                                     | Contractor Award Amount: \$303,590<br>Contractor Cum. Change Order Amount: \$6,318<br>Revised Contractor Contract Amount: \$309,908<br>Contract Percent Complete: 32%      |  |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

|                                                                    |           |                                                               |                                      |           |
|--------------------------------------------------------------------|-----------|---------------------------------------------------------------|--------------------------------------|-----------|
| Cobalt Construction<br>Lexington<br>Contract# X010613              |           | Medical Center Lab Renovation I<br>Project Number: 1759.0     |                                      |           |
|                                                                    |           |                                                               | Contractor Award Amount:             | \$90,974  |
| Low Bid:                                                           | \$90,974  | Total Change Orders: (1)                                      | Contractor Cum. Change Order Amount: | \$600     |
| High Bid:                                                          | \$99,569  |                                                               | Revised Contractor Contract Amount:  | \$91,574  |
| Number of Bids:                                                    | 3         |                                                               | Contract Percent Complete:           | 0%        |
| Eubank & Steele Const.<br>Lexington<br>Contract# X010217           |           | Medical Center Lab Renovation III<br>Project Number: 1761.0   |                                      |           |
|                                                                    |           |                                                               | Contractor Award Amount:             | \$78,749  |
| Low Bid:                                                           | \$78,749  | Total Change Orders: (1)                                      | Contractor Cum. Change Order Amount: | \$725     |
| High Bid:                                                          | \$90,900  |                                                               | Revised Contractor Contract Amount:  | \$79,474  |
| Number of Bids:                                                    | 3         |                                                               | Contract Percent Complete:           | 31%       |
| Pro-Tek Environmental<br>Frankfort<br>Contract# U005328-98         |           | Motor Pool Fleet Fueling System<br>Project Number: 1777.0     |                                      |           |
|                                                                    |           |                                                               | Contractor Award Amount:             | \$180,319 |
| Low Bid:                                                           | \$180,319 | Total Change Orders: (5)                                      | Contractor Cum. Change Order Amount: | \$11,546  |
| High Bid:                                                          | \$264,470 |                                                               | Revised Contractor Contract Amount:  | \$191,865 |
| Number of Bids:                                                    | 8         |                                                               | Contract Percent Complete:           | 64%       |
| Spectrum Contracting<br>Nicholasville<br>Contract# U003655-98      |           | Pence Hall Exit Stairway<br>Project Number: 1700.0            |                                      |           |
|                                                                    |           |                                                               | Contractor Award Amount:             | \$246,431 |
| Low Bid:                                                           | \$246,431 | Total Change Orders: (9)                                      | Contractor Cum. Change Order Amount: | \$14,223  |
| High Bid:                                                          | \$369,000 |                                                               | Revised Contractor Contract Amount:  | \$260,654 |
| Number of Bids:                                                    | 7         |                                                               | Contract Percent Complete:           | 90%       |
| English Paving<br>Versailles<br>Contract# U003017-98               |           | Press Avenue Parking Lot Renovation<br>Project Number: 1733.0 |                                      |           |
|                                                                    |           |                                                               | Contractor Award Amount:             | \$294,000 |
| Low Bid:                                                           | \$294,000 | Total Change Orders: (11)                                     | Contractor Cum. Change Order Amount: | \$33,267  |
| High Bid:                                                          | \$375,056 |                                                               | Revised Contractor Contract Amount:  | \$327,267 |
| Number of Bids:                                                    | 5         |                                                               | Contract Percent Complete:           | 99%       |
| Branscum Construction**<br>Russell Springs<br>Contract# U003074-96 |           | University Student Housing<br>Project Number: 1639.0          |                                      |           |
|                                                                    |           |                                                               | Contractor Award Amount:             | \$157,500 |
| Low Bid:                                                           |           | Total Change Orders: (3)                                      | Contractor Cum. Change Order Amount: | \$128,304 |
| High Bid:                                                          |           |                                                               | Revised Contractor Contract Amount:  | \$285,804 |
| Number of Bids:                                                    |           |                                                               | Contract Percent Complete:           | 88%       |

**Notes:** \*\*Branscum is the construction manager for this project. The following construction contracts pertaining to Project 1639.0 are managed by Branscum Construction. **Change Order #1**, 10/21/98, an increase of \$53,460 was approved to add five months of construction services and expenses to the contract due to additional work and revisions incorporated into the project. **Change Order #2**, 3/1/99, an increase of \$53,460 was approved to add an additional five months for construction.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

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|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------------------------------------|-----------|
| Boyle Masonry<br>Stanford                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                           | University Student Housing<br>Project Number: 1639.0 |           |
| Contract# U008288-96                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                           | Contractor Award Amount:                             | \$567,414 |
| Low Bid: \$567,414                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Total Change Orders: (15) | Contractor Cum. Change Order Amount:                 | \$41,818  |
| High Bid: \$812,800                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                           | Revised Contractor Contract Amount:                  | \$609,232 |
| Number of Bids: 4                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                           | Contract Percent Complete:                           | 99%       |
| <b>Notes:</b> Masonry Bid Package. <b>Change Order #2</b> , 11/11/97 a decrease of \$25,600 was approved to delete the cost of the brick due to owner furnishing all brick for the project. <b>Change Order #3</b> , 3/4/98, an increase of \$32,800 was approved to revise cut stone for the building by adding stone quoins, stone window surrounds, and stone keys to the building elevation.                                                                                                                                                                                                                                                                                                                                                                                                                       |                           |                                                      |           |
| Cooper Painting, Inc.<br>Lexington                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                           | University Student Housing<br>Project Number: 1639.0 |           |
| Contract# U008301-96                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                           | Contractor Award Amount:                             | \$104,400 |
| Low Bid: \$104,400                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Total Change Orders: (4)  | Contractor Cum. Change Order Amount:                 | \$22,810  |
| High Bid: \$134,980                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                           | Revised Contractor Contract Amount:                  | \$127,210 |
| Number of Bids: 4                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                           | Contract Percent Complete:                           | 80%       |
| <b>Notes:</b> Painting and Wallcovering Bid Package.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                           |                                                      |           |
| Davis H. Elliot Company<br>Lexington                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                           | University Student Housing<br>Project Number: 1639.0 |           |
| Contract# U008304-96                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                           | Contractor Award Amount:                             | \$539,600 |
| Low Bid: \$539,600                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Total Change Orders: (27) | Contractor Cum. Change Order Amount:                 | \$213,183 |
| High Bid: \$699,590                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                           | Revised Contractor Contract Amount:                  | \$752,783 |
| Number of Bids: 4                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                           | Contract Percent Complete:                           | 99%       |
| <b>Notes:</b> Electrical Bid Package. <b>Change Order #12</b> , 11/4/98, an increase of \$52,557.97 was approved to provide underground telephone conduits along Rose Lane in front of the SAE and Sigma Nu sites and to provide underground electrical, cable TV, and telephone service to the Sigma Nu House adjoining the SAE project in order to eliminate all overhead utilities on the site. <b>Change Order #14</b> , 11/4/98, an increase of \$42,802.42 was approved to provide additional labor and materials to install an electrical panel to accommodate the added lighting and refrigerator circuits and for additional labor and material to install the revised outdoor building lighting. <b>Change Order #26</b> , 7/7/99, an increase of \$29,147.39 was approved to revise the dorm room lighting. |                           |                                                      |           |
| English Paving Company<br>Versailles                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                           | University Student Housing<br>Project Number: 1639.0 |           |
| Contract# U008334-96                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                           | Contractor Award Amount:                             | \$395,000 |
| Low Bid: \$423,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Total Change Orders: (12) | Contractor Cum. Change Order Amount:                 | \$112,142 |
| High Bid:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                           | Revised Contractor Contract Amount:                  | \$507,142 |
| Number of Bids: 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                           | Contract Percent Complete:                           | 92%       |
| <b>Notes:</b> Earthwork and Asphalt Paving Bid Package. The contractor agreed to a \$28,000 reduction in bid amount after post-bid discussions and clarifications on project schedule were conducted. <b>Change Order #9</b> , 4/19/99, an increase of \$69,000 was approved to revise the layout for the upper parking lot.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                           |                                                      |           |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

|                                                                                                                                                                                 |                                                                                                                                                                                                                                                              |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Finney Company, Inc.</b><br>Lexington<br>Contract# U008302-96<br>Low Bid: \$1,063,400<br>High Bid: \$1,157,300<br>Number of Bids: 3<br><b>Notes:</b> Mechanical Bid Package. | University Student Housing<br>Project Number: 1639.0<br>Contractor Award Amount: \$1,063,400<br>Total Change Orders: (12) Contractor Cum. Change Order Amount: \$44,652<br>Revised Contractor Contract Amount: \$1,108,052<br>Contract Percent Complete: 98% |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>R&amp;R Drywall &amp; Acoustics</b><br>Lexington<br>Contract# U008290-96<br>Low Bid: \$189,200<br>High Bid: \$289,939<br>Number of Bids: 2<br><b>Notes:</b> Acoustical Ceiling, Resilient, Wall Base, and Gypsum Board Bid Package. | University Student Housing<br>Project Number: 1639.0<br>Contractor Award Amount: \$189,200<br>Total Change Orders: (14) Contractor Cum. Change Order Amount: \$31,768<br>Revised Contractor Contract Amount: \$220,968<br>Contract Percent Complete: 98% |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                                                                                                                        |                                                                                                                                                                                                                                                         |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Southeast Steel Company</b><br>Lexington<br>Contract# U008398-96<br>Low Bid: \$141,590<br>High Bid: \$156,775<br>Number of Bids: 3<br><b>Notes:</b> Steel and Erection Bid Package. | University Student Housing<br>Project Number: 1639.0<br>Contractor Award Amount: \$141,590<br>Total Change Orders: (4) Contractor Cum. Change Order Amount: \$12,945<br>Revised Contractor Contract Amount: \$154,535<br>Contract Percent Complete: 99% |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                                                               |                                                                                                                                                                                                                                                               |
|-------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Woodford Builders, Inc.</b><br>Lexington<br>Contract# U008455-96<br>Low Bid: \$1,939,500<br>High Bid:<br>Number of Bids: 1 | University Student Housing<br>Project Number: 1639.0<br>Contractor Award Amount: \$1,925,382<br>Total Change Orders: (44) Contractor Cum. Change Order Amount: \$627,872<br>Revised Contractor Contract Amount: \$2,553,254<br>Contract Percent Complete: 99% |
|-------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

**Notes:** General Trades Bid Package. Woodford Builders was the only bidder, therefore, a post-bid addendum was done to lower the contract amount. **Change Order #3**, 1/12/98, an increase of \$32,800 was approved to revise cut stone for the building by adding stone quoins, stone window surrounds, and stone keys to the building elevation. **Change Order #8**, 7/7/98, an increase of \$135,554 was approved to furnish and install a copper roof in lieu of the asphalt shingle roof originally specified. **Change Order #13**, 10/5/98, an increase of \$59,105.50 was approved to provide labor and material to add built-in units in lieu of furniture, to add blinds to the first floor rooms, and to provide waterproofing between the concrete floor and the wood flooring. **Change Order #25**, 3/5/99, an increase of \$80,176.45 was approved to provide a change in the roof overhang. **Change Order #26**, 5/17/99, an increase of \$37,186.50 was approved to revise the upper parking lot layout due to additional property being added to the project. **Change Order #27**, 6/16/99, an increase of \$207,030.76 was approved to provide natural finish paneling, coat closets in the foyer, and revise the passage doors to the foyer and library.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

|                                                                                                                                                                                                                                  |                                              |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|
| Tatko Stone                                                                                                                                                                                                                      | William T. Young Library Renovations         |
| Granville, New York                                                                                                                                                                                                              | Project Number: 1756.0                       |
| Contract# U000928-98                                                                                                                                                                                                             |                                              |
|                                                                                                                                                                                                                                  | Contractor Award Amount: \$14,653            |
| Low Bid: \$9,680                                                                                                                                                                                                                 | Contractor Cum. Change Order Amount: \$2,883 |
| High Bid: \$36,396                                                                                                                                                                                                               | Revised Contractor Contract Amount: \$17,536 |
| Number of Bids: 3                                                                                                                                                                                                                | Contract Percent Complete: 100%              |
| <b>Notes:</b> Low bidder offered an alternate stone material, which did not meet specification requirements, therefore the bid was rejected, and the contract was awarded to the next bidder meeting specification requirements. |                                              |

# FCR 4

Office of the President  
December 14, 1999

Members, Board of Trustees:

## 1999-2000 Budget Revisions Central Administration

Recommendation: that the following revisions to the 1999-2000 budget be authorized and approved.

|                                            | <u>Approved<br/>Budget</u> | <u>Revised<br/>Budget</u> | <u>Change</u>       |
|--------------------------------------------|----------------------------|---------------------------|---------------------|
| A. <u>GENERAL FUND</u>                     |                            |                           |                     |
| 1. Income Estimates                        |                            |                           |                     |
| Student Fees                               |                            |                           |                     |
| General                                    |                            |                           |                     |
| Regular-Lexington                          |                            |                           |                     |
| Community College                          | \$ 7,834,500               | \$ 8,372,100              | \$ 537,600          |
| Self-Supporting                            |                            |                           |                     |
| Other Fees                                 | 1,888,300                  | 1,892,000                 | 3,700               |
| County Appropriations                      | 7,151,000                  | 7,674,000                 | 523,000             |
| Gifts and Grants                           |                            |                           |                     |
| The Fund for Advancement of                |                            |                           |                     |
| Education and Research in                  |                            |                           |                     |
| the Medical Center                         | 7,003,500                  | 7,183,700                 | 180,200             |
| Federal - Agriculture                      | 621,700                    | 1,332,900                 | 711,200             |
| Other Gifts and Grants                     | 55,800,100                 | 55,970,000                | 169,900             |
| Sales and Services                         |                            |                           |                     |
| Departmental Sales                         |                            |                           |                     |
| and Services                               | 43,358,000                 | 44,196,100                | 838,100             |
|                                            |                            |                           | <u>\$ 2,963,700</u> |
| 2. Expenditures                            |                            |                           |                     |
| Central Administration                     |                            |                           |                     |
| Fiscal Affairs                             |                            |                           |                     |
| Controller and Treasurer                   | 3,667,300                  | 3,832,300                 | 165,000             |
| Human Resources Services                   | 3,100,800                  | 3,204,400                 | 103,600             |
| Research and Graduate Studies              |                            |                           |                     |
| Academic Affairs                           |                            |                           |                     |
| Center for Applied Energy                  |                            |                           |                     |
| Research                                   | 4,232,100                  | 4,312,100                 | 80,000              |
| University-Wide                            |                            |                           |                     |
| General Expenses                           | 414,100                    | 849,000                   | 434,900             |
| Lexington Campus                           |                            |                           |                     |
| Agricultural Cooperative Extension Service |                            |                           |                     |
| Assistant Director-                        |                            |                           |                     |
| Agriculture Programs                       | 490,100                    | 529,500                   | 39,400              |
| Animal Sciences                            | 1,518,600                  | 1,653,600                 | 135,000             |
| Home Economics                             | 852,400                    | 933,700                   | 81,300              |
| Horticulture                               | 823,100                    | 903,100                   | 80,000              |
| Field Programs                             | 25,563,700                 | 26,086,700                | 523,000             |
| Home Economics Programs                    | 2,668,000                  | 3,043,500                 | 375,500             |



|                                    | <u>Approved<br/>Budget</u> | <u>Revised<br/>Budget</u> | <u>Change</u> |
|------------------------------------|----------------------------|---------------------------|---------------|
| A. <u>GENERAL FUND</u> (continued) |                            |                           |               |
| 2. <u>Expenditures</u> (continued) |                            |                           |               |
| Lexington Campus (continued)       |                            |                           |               |
| College of Engineering             |                            |                           |               |
| Transportation Center              | \$ 220,000                 | \$ 230,000                | \$ 10,000     |
| Lexington Community College        |                            |                           |               |
| College-Wide                       | 14,913,800                 | 15,451,400                | 537,600       |
| Medical Center                     |                            |                           |               |
| College of Dentistry               |                            |                           |               |
| Office of Administrative           |                            |                           |               |
| Affairs                            | 1,206,600                  | 1,210,300                 | 3,700         |
| Research and Graduate              |                            |                           |               |
| Studies                            | 63,600                     | 243,800                   | 180,200       |
| College of Medicine                |                            |                           |               |
| Dean's Office                      | 2,036,200                  | 2,086,200                 | 50,000        |
| Internal Medicine                  | 12,962,200                 | 12,952,200                | (10,000)      |
| Obstetrics and Gynecology          | 4,811,200                  | 4,875,800                 | 64,600        |
| Center on Aging                    | 1,806,000                  | 1,915,900                 | 109,900       |
| Total                              |                            |                           | \$ 2,963,700  |

3. Comments - The increase in regular fees will support the Lexington Community College's additional cost of instruction caused by the Fall 1999 enrollment growth. The increase in other fees will support the College of Dentistry's prepared tray services.

The county appropriations increase will support the field programs in the Agricultural Cooperative Extension Service. The gifts and grants increase from the Fund for Advancement of Education and Research in the Medical Center will support the Center for Oral Health Research program in the College of Dentistry. The Federal-Agriculture gifts and grants will provide support for new projects in the Agricultural Cooperative Extension Service. The increase in other gifts and grants will support the new Senior Health Partners program in the Center on Aging, activities in the College of Medicine and a technology transfer workshop in the Transportation Center.

Increased departmental sales and services will cover increased cost services in the Office of Controller and Treasurer; Human Resources programs; activities in Fiscal Affairs; consulting services provided by the Center for Applied Energy Research; University-Wide services; and programs in the College of Medicine. The decrease in departmental sales and services is due to a change in the College of Medicine's Internal Medicine program.

|                                                                                                                                                                       | <u>Approved<br/>Budget</u> | <u>Revised<br/>Budget</u> | <u>Change</u> |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|---------------------------|---------------|
| B. <u>AFFILIATED CORPORATIONS</u>                                                                                                                                     |                            |                           |               |
| 1. Income Estimates                                                                                                                                                   | \$160,060,600              | \$160,581,400             | \$ 520,800    |
| 2. <u>Expenditures</u>                                                                                                                                                |                            |                           |               |
| The Medical Center Fund                                                                                                                                               | 6,377,800                  | 6,898,600                 | 520,800       |
| 3. <u>Comments</u> - The increase in the Medical Center Fund will support the Center for Oral Health Research program and other programs in the College of Dentistry. |                            |                           |               |

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Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 5

Office of the President  
December 14, 1999

Members, Board of Trustees:

## COLLEGE OF DENTISTRY APPLICATION PROCESSING FEE

Recommendation: that the Board of Trustees approve the creation of a \$25 application processing fee for all applicants to the Doctor of Dental Medicine (D.M.D.) degree program in the College of Dentistry beginning with the 2000-2001 admissions cycle.

Background: The proposed application processing fee is necessary in view of the growth of the College's applicant pool. In 1991 the College received 335 resident/non-resident applications through the national application service. A steady increase has followed in the interim. There have been approximately 1,200 applicants annually for the past three years. The increase in applications has required the College to devote more resources to admissions software, secondary application materials, postage, interviewing costs, telephone contacts and recruitment publications. If approved, the funds generated would be used by the College's Office of Admissions and Student Affairs to defray the additional expenses associated with the admissions program.

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Action taken:



☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

# FCR 6

Office of the President  
December 14, 1999

Members, Board of Trustees:

## RESOLUTION APPROVING A FINANCING AGREEMENT FOR APPROVED HOUSING AND DINING SYSTEM PROJECTS

Recommendation: that the Board of Trustees adopt the Resolution attached hereto approving a financing agreement among the University of Kentucky, the Kentucky Asset/Liability Commission, and the Finance and Administration Cabinet for the purpose of providing interim financing for the following Housing and Dining System construction projects: (1) renovations to two Cooperstown Apartment Buildings and two Shawneetown Apartment Buildings; (2) renovations to Patterson Hall; (3) renovations to Holmes Hall; and (4) a new residence hall to house approximately 40 to 60 persons.

Background: The 1998 General Assembly authorized the issuance of revenue bonds by the University of Kentucky Housing and Dining System to finance the construction projects listed above. The attached resolution (a) requests that the Kentucky Asset/Liability Commission issue Projects Notes for the purpose of providing interim, short-term financing for the projects, (b) authorizes the execution and delivery of a Financing Agreement necessary to implement the interim financing for the projects, and (c) authorizes the Chairman to execute, acknowledge, and deliver, on behalf of the Board, the Financing Agreement. The University of Kentucky will issue Housing and Dining System Revenue Bonds to repay the notes and provide permanent financing for the project.

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Action

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

FINANCING AGREEMENT

by and between

KENTUCKY ASSET/LIABILITY COMMISSION

and

FINANCE AND ADMINISTRATION CABINET  
OF THE COMMONWEALTH OF KENTUCKY

and

UNIVERSITY OF KENTUCKY

Dated as of \_\_\_\_\_

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THIS FINANCING AGREEMENT, made and entered into as of the date shown on the cover page hereto, by and among the KENTUCKY ASSET/LIABILITY COMMISSION (hereinafter sometimes referred to as the "Commission"), the FINANCE AND ADMINISTRATION CABINET OF THE COMMONWEALTH OF KENTUCKY, a department and agency of the Commonwealth of Kentucky (hereinafter sometimes referred to as the "Cabinet") and the STATE AGENCY identified on the cover page hereto (the "State Agency").

WITNESSETH

THAT WHEREAS, the State Agency identified on the cover to this Financing Agreement constitutes a "State Agency" within the meaning and application of Sections 56.440, et seq., of the Kentucky Revised Statutes and the Finance and Administration Cabinet of the Commonwealth of Kentucky constitutes the "Cabinet" within the meaning of Section 56.8605 of the Kentucky Revised Statutes; and

WHEREAS, the Cabinet is authorized pursuant to the Act, as hereinafter defined, to take, acquire and hold property and the State Agency, to the extent described herein, is authorized to issue and sell revenue bonds, and the Commission is authorized to issue Project Notes, as defined in the Act, in anticipation of the issuance of such bonds in order to provide interim financing for projects and to carry out proper public purposes; and

WHEREAS, the State Agency has determined to issue revenue bonds, as further described herein, and the State Agency has requested and authorized the Commission to issue Project Notes for the Project in anticipation of the issuance of such bonds; and

WHEREAS, the State Agency will enter into this Financing Agreement to provide (i) revenues for amortization of such Project Notes, (ii) for the issuance of the Bonds for the payment of the Project Notes which have not been amortized and (iii) interim financing for the Project by issuance of the Project Notes by the Commission; and

WHEREAS, in order to provide revenues to reimburse the Commission for its commitment to amortize the Project Notes, which are to be issued for purposes of providing funds for acquisition of the Project, it is necessary and appropriate that a Financing Agreement in respect of the Project be entered into by and between the Commission, the State Agency and the Cabinet;

NOW, THEREFORE, PURSUANT TO THE STATUTORY AUTHORITY CITED IN THE PREAMBLES TO THIS FINANCING AGREEMENT, AND FOR OTHER GOOD AND VALUABLE CONSIDERATIONS, THE RECEIPT OF WHICH IS HEREBY ACKNOWLEDGED BY BOTH PARTIES, AND IN FURTHER CONSIDERATION OF THE PREMISES, THE COMMISSION, THE STATE AGENCY AND THE CABINET DO HEREBY COVENANT AND AGREE, EACH WITH THE OTHER, AS FOLLOWS:

ARTICLE I  
DEFINITIONS

Section 1.01. As used in this Financing Agreement, unless the context requires otherwise:

"Act" means Sections 56.860, et. seq. of the Kentucky Revised Statutes.

"Additional Payments" means additional payments as set forth in Section 5.06 hereof.

"Bond Payment Fund" means the fund to be created by the Bond Resolution for the payment of the principal of, interest on, and premium, if any, on the Bonds.

"Bond Resolution" means the Bond Resolution or similar authorizing instrument to be adopted by the State Agency authorizing the issuance of the Bonds.

"Bonds" means Bonds as defined in the Indenture.

"Budget Act" means H.B. 321 of the General Assembly of the Commonwealth of Kentucky, 1998 Regular Session.

"Cabinet" means the Finance and Administration Cabinet of the Commonwealth.

"Commission" means the Kentucky Asset/Liability Commission.

"Commonwealth" means the Commonwealth of Kentucky.

"Credit Facility" means Credit Facility as defined in the Indenture.

"Credit Facility Agreement" means Credit Facility Agreement as defined in the Indenture.

"Credit Facility Obligations" means obligations of the Commission under the Credit Facility Agreement, including without limitation, obligations to reimburse the Credit Facility Provider for drawings under the Credit Facility.

"Credit Facility Provider" means Credit Facility Provider as defined in the Indenture.

"Financing Expenses" means all costs associated with the financing of the Projects, as may be determined by the Cabinet, other than amounts payable as interest, Credit Facility Provider fees, Liquidity Facility Provider fees and Remarketing Agent fees hereunder, including, but not limited to, fees of the Trustee, Registrar and Paying Agents and unamortized Costs of Issuance.

"Financing Agreement" means this Financing Agreement, dated as of the date on the cover page hereto, by which interim financing for the Project is provided to the State Agency by the Commission.

"Financing Payments" means the payments required to be made by the Cabinet to the Commission pursuant to Sections 5.01, 5.02 and 5.08 of this Financing Agreement.

"Fiscal Year" means any period of twelve (12) months commencing July 1 of any year and ending June 30 of the ensuing year.

"Indenture" means the Trust Indenture dated as of August 1, 1998, between the Commission and the Trustee.

"KRS" means the Kentucky Revised Statutes of the Commonwealth of Kentucky as the same are in full force and effect at the date of the adoption of the Indenture, and any future amendments thereof to the extent that the same will not unconstitutionally impair the obligations of contracts created under the provisions of the Indenture.

"Liquidity Facility" means Liquidity Facility as defined in the Indenture.

"Liquidity Facility Obligations" means obligations of the Commission under the Liquidity Facility.

"Liquidity Facility Provider" means Liquidity Facility Provider as defined in the Indenture.

"Note Payment Fund" means the fund created by Sections 5.03 and 5.06 of the Indenture.

"Notes" means Notes as defined in the Indenture.

"Project" means the Projects identified in Annex A hereto.

"Project Fund" means the fund created by Sections 5.03 and 5.05 of the Indenture and, upon issuance of the Bonds, means the fund, if any, created by the Bond Resolution for the payment of costs of the Project.

"Proportionate Share" means a factor determined by dividing the principal portion of the State Agency's Debt Servicing Obligation by the principal amount of all Notes (other than any renewal Notes) issued under the Indenture.

"Registrar" means Registrar as defined in the Indenture.

"Related Notes" means Notes issued or to be issued for the purpose of financing the Project.

"Renewal Term" means a period of two (2) years coinciding with the fiscal biennium of the Commonwealth, which commences on July 1 in each even-numbered year and ends on June 30 of the next ensuing even-numbered year unless hereafter modified by statute.

"State Agency" means the State Agency identified on the cover page hereto.



"Trustee" means Bank One, Kentucky, NA, Lexington, Kentucky, a national banking association organized under and existing by virtue of the laws of the Commonwealth of Kentucky, having proper trust powers, and having its principal office and place of business in the City of Lexington, Kentucky and including any successor trustee designated pursuant to the provisions of the Indenture.

Any terms not defined in this Financing Agreement but defined in the Indenture, if used herein, shall have the same meanings ascribed to them in the Indenture.

## ARTICLE II

### AGREED RECITATION OF ESTIMATED TOTAL COSTS OF THE PROJECT

Section 2.01. The Commission, the State Agency and the Cabinet agree that the aggregate of all costs of the Project to be funded from the proceeds of the Related Notes and Bonds will not exceed the amounts set forth in the Budget Act, which amounts are more specifically identified in Exhibit A hereto, plus Financing Expenses and Bond issuance costs, provisions for any debt service reserve fund, any capitalized interest, provisions of contingencies and discount in the sale of the Bonds and Related Notes.

## ARTICLE III

### APPLICATION AND REQUEST OF THE STATE AGENCY THAT THE COMMISSION ISSUE NOTES

Section 3.01. The Cabinet hereby makes application, on behalf and at the direction of the State Agency, to the Commission, and requests that the Commission authorize in the Commission's own name and offer, from time to time, the Commission's Notes in the principal amount not to exceed the costs of the Project established in Section 2.01 hereof for the purpose of providing interim financing for the Project, all as is more specifically provided in the Act and the Indenture.

## ARTICLE IV

### AGREEMENT OF STATE AGENCY TO ISSUE ITS BONDS AND COMMISSION TO ISSUE ITS NOTES AND APPLY THE PROCEEDS THEREOF TO THE ACQUISITION OF THE PROJECT

Section 4.01. The State Agency hereby agrees that, in accordance with its lawful authority and powers, it will, proceed with all reasonable dispatch to authorize in its own name and issue Bonds to finance the Project, at the time and in the amounts necessary to provide adequate financing for the Project and to retire, at or prior to maturity, Notes, subject to the limitations of the Act and the Budget Act. The State Agency, in its sole discretion shall, based on sound financial practices, establish the interest rates, maturities, sale price and other terms and conditions of Bonds from time to time issued as required by this Financing Agreement and shall issue and deliver Bonds and apply the proceeds thereof to financing the acquisition of the Project or retirement of the Related Notes.

Section 4.02. The Commission hereby agrees that in accordance with its lawful authority and powers and according to the Act and the request and authorization of the State Agency and the Cabinet pursuant to KRS 56.867, it will, responsive to such request, proceed with all reasonable dispatch to authorize in its own name and issue Notes to provide interim financing for the Project, at the time and in the amounts necessary, as determined by the Commission, to provide adequate interim financing for the Project, subject to the limitations of the Act and the Budget Act. The Commission in its sole discretion shall, based on sound financial practices, establish the interest rates, maturities, sale price and other terms and conditions of Notes from time to time issued pursuant to this Financing Agreement and shall issue and deliver Notes and apply the proceeds to financing the acquisition of the Project.

## ARTICLE V

### INITIAL TERMS; OPTIONAL RENEWALS; FINANCING PAYMENTS

Section 5.01. The Commission hereby agrees to provide interim financing for the Project to the State Agency, for an initial term ending June 30, 2000, with the right and privilege by the State Agency to continue this Financing Agreement and have the Project for succeeding biennium periods in the event this Financing Agreement is renewed as provided herein. The State Agency shall pay or cause to be paid as Financing Payments during such initial period ending on June 30, 2000, the Debt Servicing Obligation, as hereinafter defined, applicable to the Related Notes, due on each Debt Servicing Date, as hereinafter defined, during the term of the Financing Agreement so long as any Related Notes are outstanding or any amounts are due under a Credit Facility Agreement or Liquidity Facility.

Section 5.02. For purposes hereof:

"Debt Servicing Date" shall mean (i) the date on which the Commission has estimated that capitalized interest in the Note Payment Fund that is attributable to the State Agency will no longer be sufficient to pay interest on the Related Notes (which date is set forth in Annex A hereto) and September 1 of each year thereafter, (ii) any Interest Payment Date on which the amount in the Note Payment Fund that is attributable to the State Agency (as determined by the Commission) is not adequate to pay the amounts set forth in clauses (i) through (vi) of the definition of Debt Servicing Obligation, and (iii) if no capitalized interest is attributable to the Related Notes on the date of their delivery, the date of delivery of the Related Notes.

"Debt Servicing Obligation" shall mean the entire amount included in its budget (the "Budgeted Amount") for the payment of debt service with respect to the Project, less any amount in the Note Payment Fund on the date of the payment thereof which is attributable to the State Agency (as determined by the Commission). The State Agency shall approve a Budgeted Amount that is no less than the amount reasonably estimated to be required to be paid in respect of the Related Notes during the period to which the Budgeted Amount relates (the "Budget Period"), including (i) the scheduled maturity of principal of any Related Notes for which Bonds or renewal Notes are not being issued and the principal amount of Related Notes for which Bonds are not being issued, if any, called or to be called for redemption during the applicable Budget Period, and the premium, if

any, with respect to such Related Notes, (ii) the interest required or estimated (by the Commission) to be paid on the Related Notes which will be outstanding during the applicable Budget Period, (iii) Credit Facility Obligations applicable to the Related Notes (to the extent not paid under clauses (i) and (ii)), (iv) Liquidity Facility Obligations applicable to the Related Notes, (v) its Proportionate Share of any Financing Expenses and (vi) the reasonable and agreed fees of the Credit Facility Provider, the Liquidity Facility Provider, the Remarketing Agent, the Trustee, the Paying Agent and the Registrar, but only to the extent not otherwise paid directly by the State Agency. In addition to the foregoing, if on any Interest Payment Date, the amount in the Note Payment Fund that is attributable to the State Agency (as determined by the Commission) is not adequate to pay the amounts set forth in clauses (i) through (vi) above, the State Agency shall pay, regardless of the Budget Amount, the amount required to satisfy such deficiency from whatever sources are available for such purpose.

The State Agency shall be entitled to a credit against the Debt Servicing Obligation otherwise required to be paid on any Debt Servicing Date to the extent there are funds attributable to it, as determined by the Commission, in the Interest Account of the Note Payment Fund or in the Operating Account of the Expense Fund, prior to the payment of the Debt Servicing Obligation hereunder which, under the terms of the Indenture and applicable law, can be used to meet the Debt Servicing Obligation. It is understood that, pursuant to the Indenture, all income derived from investment of the Project Fund may, at the discretion of the Cabinet and the State Agency, be transferred to the Note Payment Fund and, if so transferred, shall be a credit against Financing Payments due and payable by the State Agency. Amounts transferred from the Expense Fund, established by the Indenture, to the Note Payment Fund, shall be a further credit against Financing Payments due and payable by the State Agency.

Upon issuance of the Bonds, the final Debt Servicing Obligation for the Related Notes shall be the amount determined by the Commission in accordance with Section 5.04.

Section 5.03. Beginning June 30, 2000, the Commission hereby grants unto the State Agency exclusive option to renew the Financing Agreement for successive ensuing Renewal Terms, commencing July 1 in each even-numbered year, and ending June 30 of the next ensuing even-numbered year, and the last Renewal Term shall end June 30 of the even numbered year next following the final maturity date of the Related Notes, or such other date as may be set forth in a Supplemental Financing Agreement entered into pursuant to the provisions of Section 5.10 hereof or otherwise agreed to by the Commission and the State Agency; and for each such Renewal Term, if renewed therefor, the State Agency shall become obligated to pay, and hereby agrees that in the event of such renewal it will pay or cause to be paid, to the Commission, as Financing Payments for such biennium, each Debt Servicing Obligation which comes due on each Debt Servicing Date during the Renewal Term as a general obligation of the State Agency for such period, secured by amounts budgeted by the State Agency in its agency fund for such purpose.

Section 5.04. Financing Payments becoming due during the first term ending June 30, 2000, and for each Renewal Term, if the Financing Agreement shall be renewed for any such Renewal Term, shall be made or caused to be made by the State Agency to the Commission on each Debt Servicing Date during each such term, in such minimum amounts as will enable the Commission, solely from such source, to pay its Debt Servicing Obligation as of such date. Upon the issuance of the Bonds, the Commission shall also advise the State Agency of the remaining

Financing Payments and the State Agency's remaining Proportionate Share of Financing Costs payable to the Trustee for payment of any Related Notes which remain Outstanding.

Section 5.05. Each of the successive options to renew shall in each instance be deemed automatically exercised, and the Financing Agreement automatically renewed for the succeeding Renewal Term, effective on the first day thereof, unless a written notice of the State Agency's election not to renew, signed by an authorized officer of the State Agency, shall have been delivered to the Commission before the close of business on the last business day in May, immediately preceding the beginning of such succeeding Renewal Term.

Section 5.06. The State Agency covenants and agrees to pay "Additional Payments" for the term of the Financing Agreement and for any Renewal Term during which Related Notes are outstanding, to the extent such payments are not a part of the Debt Servicing Obligation, as follows:

- (i) To the Trustee, when due, a Proportionate Share of all fees of the Trustee for services rendered; all fees and charges of any Paying Agent, Registrar, counsel, accountants, and others incurred in the performance on request of the Trustee of services for which the Trustee and such other persons are entitled to payment or reimbursement which are not paid as Financing Payments;
- (ii) To the Credit Facility Provider and Liquidity Facility Provider, when due, a Proportionate Share of all fees, expenses and other charges payable to the Credit Facility Provider under the Credit Facility Agreement and to the Liquidity Facility Provider under the Liquidity Facility which are not paid as Financing Payments;
- (iii) To the Remarketing Agent when due, a Proportionate Share of all fees, expenses and other charges payable to the Remarketing Agent under the Remarketing Agreement; and
- (iv) To the Commission, upon demand, all reasonable expenses incurred by it in relation to the Project which are not otherwise specifically identified and required to be paid by the Commission under the terms of this Financing Agreement.

Section 5.07. It is the intention of the parties that this Financing Agreement be an absolutely net agreement, and that all of the Financing Payments available for debt service on the Related Notes and this Financing Agreement shall be construed to effectuate such intent. Except as provided in Section 5.05, so long as any of the Related Notes, or any Credit Facility Obligations or Liquidity Facility Obligations are outstanding or remain unpaid, the obligation of the State Agency to pay the amounts provided for in this Article V shall be absolute and unconditional, irrespective of any rights of set-off, recoupment or counterclaim it might otherwise have.

Section 5.08. This Financing Agreement may be supplemented from time to time whenever additional Notes are issued by the Commission at the request of the State Agency under the provisions of Section 6.11 of the Indenture. Amounts payable under the terms of such

supplemental agreement shall constitute Financing Payments under this Financing Agreement and such Financing Payments shall become Pledged Receipts under the Indenture.

Section 5.09. In addition to the duties and obligations of the State Agency set forth in this Financing Agreement, the State Agency hereby covenants and agrees to perform such duties and obligations of it as may be set forth in the Indenture.

## ARTICLE VI

### EFFECT OF THE STATE AGENCY'S EXERCISE OF ITS OPTION TO RENEW

Section 6.01 In the event the successive renewal options are exercised, according to the automatic renewal provisions hereinabove set forth, then upon the first day of the biennial Renewal Term for which such option is exercised, the State Agency shall be firmly bound for the entire amount of the Financing Payments and Additional Payments becoming due and payable for such Renewal Term as a general obligation of the State Agency, limited to amounts appropriated for such purpose, payable from any and all funds of the State Agency, including, but not limited to, appropriations, contributions, gifts, matching funds, devises and bequests from any source, whether Federal or State, and whether public or private, so long as the same are not conditioned upon any use of the Project in a manner inconsistent with law; provided, however, nothing herein contained shall be construed as binding the State Agency to pay Financing Payments or Additional Payments for more than one biennial Renewal Term at a time.

## ARTICLE VII

### EFFECT OF THE STATE AGENCY'S ELECTION NOT TO RENEW

Section 7.01. In the event the State Agency shall give written notice to the Commission of the State Agency's election not to renew this Financing Agreement for any ensuing optional biennial Renewal Term, prior to the automatic renewal hereinabove provided for, the State Agency shall not become obligated to pay Financing Payments or Additional Payments beyond the last day of the then current term, and the State Agency shall thereby forfeit all of its future options to renew and shall peacefully surrender to the Commission, possession of the Project on or prior to the last day of the then current term; provided, however, an election on the part of the State Agency not to renew for a future term shall not in any manner alter or diminish any obligation of the State Agency hereunder for the then current term; and shall not preclude subsequent reinstatement of the Financing Agreement for any future renewal term, if agreed to by the Commission upon the same terms and conditions as would have been applicable if the Financing Agreement had been renewed according to the provisions hereof, except that if such reinstatement is sought when one or more installments of Financing Payments or any Additional Payments for such Renewal Term are overdue and unpaid, it shall be a condition of such reinstatement that such overdue Financing Payments or Additional Payments be tendered.

## ARTICLE VIII

### STATE AGENCY TO HAVE PEACEFUL POSSESSION SO LONG AS THE FINANCING AGREEMENT IS IN FULL FORCE AND EFFECT

Section 8.01. The Commission covenants that during the initial term of this Financing Agreement and during each successive optional Renewal Term for which this Financing Agreement may be renewed, if the State Agency shall continue in good standing according to the provisions hereof, the Commission shall maintain the State Agency in peaceful possession and enjoyment of the Project.

## ARTICLE IX

### STATE AGENCY TO REQUIRE SUFFICIENT AMOUNTS IN ITS BUDGET IN ORDER FOR FINANCING PAYMENTS TO BE MADE

Section 9.01. The State Agency covenants and agrees that on each and every occasion when appropriations bills are prepared for introduction in the various successive Sessions of the General Assembly of the Commonwealth, the State Agency will cause to be included in its budget and seek an appropriation for, sufficient amounts in the aggregate (over and above all other requirements of the State Agency) to enable the State Agency to pay Financing Payments and Additional Payments and thereby provide to the Commission moneys sufficient for the payment of the principal and interest on the Related Notes as such amounts are due and payable, subject to the Commission's obligation to issue renewal Notes upon the maturity of any Related Notes, and to pay any and all Credit Facility Obligations and Liquidity Facility Obligations applicable to the Related Notes.

## ARTICLE X

### EVENTS OF DEFAULT AND REMEDIES

Section 10.01. Each of the following events is hereby defined as and shall constitute an "event of default":

(a) default in the due and punctual payment of any Financing Payments or Additional Payments; or

(b) default in the performance of any of the covenants, terms, and conditions of this Financing Agreement, and failure to remedy such default within thirty (30) days after written receipt thereof if the default relates to matters other than the payments described in (a) above (but the State Agency shall not be deemed to be in default if the State Agency commences to remedy said defaults other than related to payment of Financing Payments or Additional Payments within said thirty (30) day period, and proceed to and do remedy said default with due diligence).

If an event of default occurs, the Commission, in addition to all other remedies given to the Commission at law or in equity, may by written notice to the State Agency terminate this Financing Agreement or, without terminating this Financing Agreement, take possession (actually or constructively) of the Project. In such event, the Commission may lease the Project or portions thereof, to any party it deems appropriate and in the event of a leasing may apply the rent therefrom first to the payment of the Commission's expenses incurred by reason of the State Agency's default, and the expense of leasing, including but not limited to any repairs, renovation or alteration of the Project, and then to the payment of Financing Payments, Additional Payments and all other sums due from the State Agency hereunder. All remedies available to the Commission are declared to be cumulative and concurrent. No termination of this Financing Agreement nor any taking or recovering of possession of the Project shall deprive the Commission of any of its remedies or actions against the State Agency.

## ARTICLE XI

### PROVISIONS OF FINANCING AGREEMENT ARE FOR BENEFIT OF THE HOLDERS OF THE NOTES AS THIRD PARTY BENEFICIARIES

Section 11.01. All of the provisions herein contained are made for the benefit of each of the Holders and any Credit Facility Provider. Each and all of the Holders, such Credit Facility Provider and the Trustee on behalf of the Holders have the rights of third party beneficiaries to enforce all of the provisions of this Financing Agreement herein contained; subject, however, to the provisions of the Indenture with respect to enforcement of rights.

## ARTICLE XII

### TAX COVENANT

Section 12.01. To the full extent that it has the legal right to do so, the State Agency agrees to all of the provisions of the Indenture authorizing the Notes; and that it will neither take any action nor omit to take any action which taking or omission would result, in the exclusion of the receipt of interest on any of the Related Notes from the treatment afforded by Section 103(a) of the Code, to the extent the interest on such Related Notes is intended to be excludible from gross income for federal income tax purposes, under the terms of the Indenture.

Section 12.02. It is agreed that nothing has been done or will be done by either the Commission or the State Agency which will cause the Related Notes to be private activity bonds within the meaning of Section 141 of the Code, including performance of any of the covenants contained herein, to the extent the interest on such Related Notes is intended to be excludible from gross income for federal income tax purposes, under the terms of the Indenture.



## ARTICLE XIII

### TERMINATION OF FINANCING AGREEMENT

Section 13.01. Upon the payment in full of the total principal and interest due or to become due on the Related Notes, including premium, if applicable, at the times and in the manner stipulated therein, in the Indenture, or upon defeasance of the Related Notes in accordance with the terms of the Indenture, and the payment of all Credit Facility Obligations and Liquidity Facility Obligations, this Financing Agreement and all covenants, agreements and other obligations herein applicable to the Related Notes and the Commission shall cease, terminate and become void and be discharged and satisfied. In such event, the Commission, upon the request of the State Agency, shall execute and deliver all such instruments as may be desirable to evidence such discharge and satisfaction of the State Agency's obligations applicable to the Related Notes.

## ARTICLE XIV

### SECURITY AND ASSIGNMENT

Section 14.01. This Financing Agreement secures (i) the payment of Financing Payments and Additional Payments hereunder, (ii) the payment of the Notes in the aggregate principal amount which may from time to time be outstanding under the Indenture set forth, with interest thereon at the rates per annum borne by the Related Notes, (iii) the payment of the Credit Facility Obligations, and (iv) the payment of the Liquidity Facility Obligations.

This Financing Agreement is given subject to all of the terms, conditions and provisions of the Indenture. In the event that any conflict should exist or appear to exist between the provisions of this Financing Agreement and the Indenture, the provisions of the Indenture shall prevail.

## ARTICLE XV

### PROVISIONS OF FINANCING AGREEMENT ARE SEVERABLE

Section 15.01. If any section, paragraph, clause or provision of this Financing Agreement shall be held invalid, the invalidity of such section, paragraph, clause or provision shall not affect any of the remaining provisions of this Financing Agreement.

## ARTICLE XVI

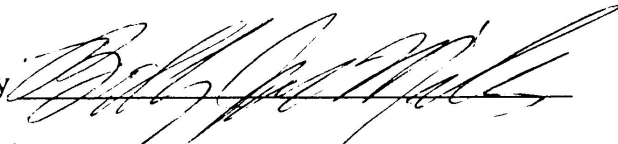
### INDEX AND HEADINGS PRECEDING SECTIONS ARE NOT PART OF THE FINANCING AGREEMENT

Section 16.01. The Index and the headings preceding the text of the several sections hereof shall be solely for convenience of reference and shall not constitute a part of this Financing Agreement, nor shall they affect its meaning, construction or effect.

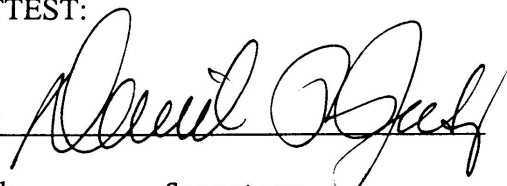


IN WITNESS WHEREOF, the parties hereto have executed this Financing Agreement by their officers thereunto duly authorized, as of the date and year first above written.

UNIVERSITY OF KENTUCKY,  
STATE AGENCY

By   
Title \_\_\_\_\_ Chairman \_\_\_\_\_

ATTEST:

By   
Title \_\_\_\_\_ Secretary \_\_\_\_\_

FINANCE AND ADMINISTRATION CABINET  
OF THE COMMONWEALTH OF KENTUCKY

By \_\_\_\_\_  
Secretary

KENTUCKY ASSET/LIABILITY COMMISSION

ATTEST:

By \_\_\_\_\_  
Chairman

\_\_\_\_\_  
Secretary

Approved as to form and legality:

By: \_\_\_\_\_  
Karen Powell, Office of Legal and  
Legislative Services,  
Legal Counsel to the Kentucky  
Asset/Liability Commission

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APPROVAL AND CONSENT OF FINANCE AND  
ADMINISTRATION CABINET

The Secretary of the Finance and Administration Cabinet (the "Cabinet") of the Commonwealth of Kentucky hereby acknowledges his approval and consent and the approval and consent of the Cabinet to the terms and provisions of this Financing Agreement and to evidence acceptance of the obligations and covenants of the Cabinet contained in such Financing Agreement and on behalf of the Cabinet confirms that the provisions of this Financing Agreement are consistent with the public interest. This approval and consent is applicable only insofar as the same are within the jurisdiction, functions and powers of the Cabinet or within the jurisdiction, functions and powers of the Secretary of the Cabinet.

DATED: \_\_\_\_\_

FINANCE AND ADMINISTRATION CABINET  
OF THE COMMONWEALTH OF KENTUCKY

By \_\_\_\_\_  
Secretary

ANNEX A  
THE PROJECT

| <u>Project</u>                    | <u>Amount</u> | <u>First Debt Servicing Date</u>      |
|-----------------------------------|---------------|---------------------------------------|
| Student Housing Fire Safety       | \$ 288,000    | Date of delivery of the Related Notes |
| Patterson Hall Renovation         | 2,950,000     | Date of delivery of the Related Notes |
| Holmes Hall HVAC                  | 950,000       | Date of delivery of the Related Notes |
| Cooperstown/Shawneetown III       | 4,212,000     | Date of delivery of the Related Notes |
| Student Housing/Frat. House Repl. | 2,200,000     | Date of delivery of the Related Notes |

## ANNEX B

### FORM OF RESOLUTION

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ("STATE AGENCY") APPROVING A FINANCING AGREEMENT AMONG THE STATE AGENCY, THE KENTUCKY ASSET/LIABILITY COMMISSION AND THE FINANCE AND ADMINISTRATION CABINET OF THE COMMONWEALTH OF KENTUCKY FOR THE PURPOSE OF PROVIDING INTERIM FINANCING FOR AN AUTHORIZED PROJECT IN ANTICIPATION OF THE ISSUANCE OF BONDS TO PROVIDE PERMANENT FINANCING FOR SAID PROJECT.

WHEREAS, pursuant to Section 56.860 et seq. of the Kentucky Revised Statutes (the "Act"), the Kentucky Asset/Liability Commission (the "Commission") was created and exists as an independent agency and constituted authority of the Commonwealth of Kentucky (the "Commonwealth") and is authorized to issue Project Notes, as defined in the Act, at the request of the Cabinet, as defined in the Act, to provide interim financing for Authorized Projects, as defined in the Act, in anticipation of the issuance of bonds; and

WHEREAS, the General Assembly pursuant to H.B. 321 of the General Assembly of the Commonwealth of Kentucky, 1998 Regular Session (the "Budget Act") authorized certain expenditures in certain amounts for the hereinafter described Project to be financed with bonds, which Project constitutes an Authorized Project, as defined in the Act; and

WHEREAS, the State Agency, intends to issue bonds (the "Bonds") at the time that is in the best financial interests of the Project, to provide permanent financing for the Project and has requested, through the Finance and Administration Cabinet (the "Cabinet"), in accordance with the Act, that the Commission issue and sell Project Notes to provide funds for the Project in anticipation of the issuance of the Bonds; and

WHEREAS, the Commission has determined to provide interim financing for the Projects through the issuance of its Project Notes, 1998 Agency Fund Series (the "Notes");

WHEREAS, the State Agency wishes to authorize the Financing Agreement (the "Financing Agreement") among the State Agency, the Commission and the Cabinet to provide interim financing for the Project and as evidence of its commitment to issue the Bonds, which Bonds constitute the Bonds described in the Financing Agreement.

NOW THEREFORE, it is hereby resolved and ordered by the Board of Trustees of the University of Kentucky as follows:

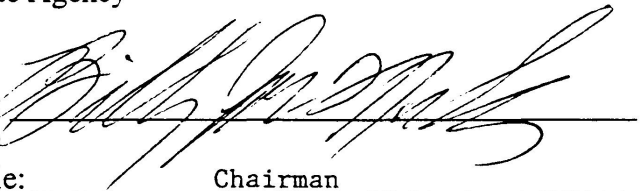
Section 1. Determinations. The State Agency hereby finds and determines that (a) it hereby ratifies and affirms its request that the Commission issue the Notes in anticipation of the issuance of the Bonds, for the purpose of paying the costs of the Project; (b) it is necessary to execute and deliver the Financing Agreement in order to provide for a source of payment for the Notes; and (c) approval of the Project described in the Financing Agreement is hereby ratified and confirmed.

Section 2. The Financing Agreement and Other Documents. As evidence of its obligations in this Resolution, the Chairman is hereby authorized to execute, acknowledge and deliver, on behalf of the State Agency, the Financing Agreement, in substantially the form submitted to the State Agency, which is hereby approved, with such changes therein not inconsistent with this Resolution and not substantially adverse to the State Agency as may be permitted by the Act and approved by such officer. The approval of such changes by such officer, and that such changes are not substantially adverse to the State Agency, shall be conclusively evidenced by the execution of the Financing Agreement by such officer. The Chairman and Secretary are hereby separately authorized to take any and all actions and to execute such other instruments that may be necessary or appropriate in the opinion of Peck, Shaffer & Williams LLP, as bond counsel for the Notes, in order to effect the issuance of the Notes and the intent of this Resolution.

Section 3. Effective Date. This resolution shall take effect immediately upon its adoption.

ADOPTED AND APPROVED this 14th day of December, 1999.

UNIVERSITY OF KENTUCKY,  
State Agency

By: 

Title: \_\_\_\_\_ Chairman

## CERTIFICATE

It is certified that the foregoing is a true and correct copy of a resolution duly adopted by the Board of Trustees of the University of Kentucky ("State Agency") on the 14th day of December, 1999, and such resolution has not been altered, amended or repealed.

IN WITNESS WHEREOF, the undersigned has executed this Certificate this \_\_\_\_\_ day of

\_\_\_\_\_

  
Secretary

## ANNEX C

### GENERAL CLOSING CERTIFICATE OF STATE AGENCY

Re: Kentucky Asset/Liability Commission Project Notes, 1998 Agency Fund Series,  
Financing Agreement

The undersigned, Chairman of the Board of Trustees of the University of Kentucky (the "State Agency"), hereby certifies, as of the date hereof, that (the capitalized terms used herein having the meanings given them in the Financing Agreement (the "Financing Agreement") among the State Agency, the Kentucky Asset/Liability Commission (the "Commission") and the Finance and Administration Cabinet of the Commonwealth of Kentucky (the "Cabinet")):

(a) The State Agency is duly organized and existing as an independent agency and constituted authority of the Commonwealth of Kentucky with full legal right, power and authority to enter into the Financing Agreement and consummate the transactions contemplated by the Financing Agreement;

(b) The execution and delivery of the Financing Agreement and compliance with the provisions thereof, under the circumstances contemplated thereby, will not in any material respect conflict with or constitute on the part of the State Agency a breach of or default under any other agreement or instrument to which the State Agency is a party or any existing law, administrative regulation, court order or consent decree to which the State Agency is subject;

(c) The State Agency has in all respects complied with the requirements of the Financing Agreement and the Act and will comply with all other laws and the Constitution of the Commonwealth;

(d) All approvals, consents and orders of any governmental authority, board, commission or agency having jurisdiction which would constitute a condition precedent to the performance by the State Agency of its obligations under the Financing Agreement have been obtained;

(e) The Financing Agreement, assuming due authorization, execution and delivery by the other parties thereto, constitutes a valid and binding obligation of the State Agency enforceable in accordance with its terms, subject to any applicable bankruptcy, insolvency or other laws affecting creditors' rights or remedies heretofore or hereafter enacted;

(f) The State Agency has duly (i) adopted the resolution approving the Financing Agreement (the "Resolution"), and such Resolution is in full force and effect, (ii) authorized and approved the execution and delivery of, and the performance by the State Agency of the obligations contained in the Financing Agreement;

(g) The State Agency is not in breach of or default under any applicable law or administrative regulation of the Commonwealth, any department, division, agency or instrumentality thereof, or the United States, or any applicable judgment or decreed or any

loan agreement, note, resolution, certificate, agreement or other instrument to which the State Agency is a party or is otherwise subject;

(h) There is no action, suit, proceeding, inquiry or investigation, at law or in equity, or before or by any court, public board or body, pending or, to the knowledge of the State Agency threatened against or in any way affecting the existence of the State Agency or the title of any of the officers or members of the State Agency to their office, or seeking to restrain or to enjoin the entering into of the Financing Agreement, or the application of the proceeds of the Financing Agreement as provided therein, or the collection of the revenues received by the State Agency, or in any way contesting or affecting the validity or enforceability of the Financing Agreement, or contesting the existence or powers of the State Agency or its authority with respect to the Financing Agreement or the Resolution nor to the best knowledge of the State Agency is there any basis therefor.

(i) The representations, warranties, covenants and agreements of the State Agency set forth in the Financing Agreement are true and correct in all material respects as of the date hereof.

(j) No event has occurred and is continuing which constitutes an event of default or would constitute an event of default but for the requirement that notice be given or time elapse or both under the Financing Agreement.

IN WITNESS WHEREOF, the undersigned has hereunto set his or her signature this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_.

By:

Title: Chairman



ANNEX D

OPINION OF COUNSEL TO STATE AGENCY

[Date of Delivery of Financing Agreement]

Commonwealth of Kentucky  
Finance and Administration Cabinet  
Frankfort, Kentucky

Kentucky Asset/Liability Commission  
Frankfort, Kentucky

Peck, Shaffer & Williams LLP  
Covington, Kentucky

Gentlemen:

I am general counsel for the University of Kentucky (the "State Agency"), and in such capacity, am familiar with its organization, statutory authority, regulations (if any), agreements and resolutions, all as in effect on the date hereof.

I have examined an executed copy of the Financing Agreement (the "Financing Agreement") among the State Agency, the Kentucky Asset/Liability Commission (the "Commission") and the Finance and Administration Cabinet of the Commonwealth of Kentucky (the "Cabinet") relating to the issuance of Kentucky Asset/Liability Commission Project Notes, 1998 Agency Fund Series (the "Notes") under the terms of the Indenture. I have also examined the original photostatic or certified copies of such records of the State Agency and other documents and materials, and have made such inquiries, as I have deemed relevant and necessary.

Based upon the foregoing, I am of the opinion that:

(1) The State Agency is an agency of the Commonwealth, duly organized and validly existing under the laws of the Commonwealth and constitutes a "State Agency" within the meaning of Sections 56.860, et seq. of the Kentucky Revised Statutes.

(2) The Financing Agreement has been duly authorized, executed and delivered by the State Agency and creates a valid, binding and enforceable agreement of the State Agency and the Financing Agreement creates a valid, binding and enforceable obligation of the State Agency (i) to pay to the Commission the payments as are provided for in the Financing Agreement, subject only to biennial appropriations by the General Assembly of the

Commonwealth and (ii) to issue the Bonds, as defined in the Financing Agreement, subject to the conditions described in the Financing Agreement.

(3) The execution, delivery and performance of the Financing Agreement by the State Agency is not subject to any authorization, consent, approval or review of any governmental body or regulatory authority not heretofore obtained or effected as required.

(4) To the best of my knowledge, after due inquiry, there is no litigation pending or threatened against the State Agency, which would affect the creation, organization or existence of the State Agency or the title of its present members or officers to their respective offices, or contesting or affecting the validity or enforceability of the Financing Agreement.

(5) The execution and delivery of the Financing Agreement and the performance by the State Agency of its obligations thereunder, does not and will not conflict with, violate or constitute a default under any court or administrative order, decree or ruling, or any law, statute, ordinance or regulation, or any agreement, indenture, mortgage, lease, note or other obligation or instrument binding upon the State Agency, or any resolutions heretofore adopted by the State Agency.

This opinion may be relied upon by the Commission and by Bond Counsel in connection with the authorization and the issuance of the Notes by the Commission.

Respectfully submitted,

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# FCR 7

Office of the President  
December 14, 1999

Members, Board of Trustees:

UNIVERSITY OF KENTUCKY COMMUNITY COLLEGE SYSTEM  
CAPITAL REQUEST 2000-2002

Recommendation: that the 2000-2002 capital request for the University of Kentucky Community College System be approved for submission to the Council on Postsecondary Education. The biennial plan includes three projects for which state funding is requested:

|                                                |             |             |
|------------------------------------------------|-------------|-------------|
| Jefferson CC – Renovation of Downtown Campus   | \$8,800,000 | State Bonds |
| Elizabethtown CC – Science Building Renovation | \$2,200,000 | State Bonds |
| Southeast CC – Newman Hall Renovation          | \$2,000,000 | State Bonds |

Background: KRS 164A.575(13) requires that capital construction projects under KRS 45.750(1)(f) on real property for the use of the University of Kentucky Community College System shall be approved by the Kentucky Community and Technical College System (KCTCS) Board of Regents, which shall transmit the action to the University of Kentucky Board of Trustees for approval. The KCTCS Board of Regents approved these projects on August 18, 1999.

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Action taken:



Approved



Disapproved



Other

\_\_\_\_\_