

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, August 17, 1993.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, August 17, 1993 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Judge Henry R. Wilhoit.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Governor Edward T. Breathitt (Chairperson), Mrs. Kay Shropshire Bell, Mr. Robert N. Clay, Mr. Lance Dowdy, Mr. James F. Hardymon, Professor Loys Mather, Dr. Robert P. Meriwether, Dr. Elissa Plattner, Dr. Deborah Powell, Mr. C. Frank Shoop, Mrs. Marian Moore Sims, Professor John Sistarenik, Jr., Mr. William B. Sturgill, Mr. Daniel C. Ulmer, Jr., Mrs. Lois Weinberg, Mr. Martin Welenken, Mr. Billy B. Wilcoxson, and Judge Henry R. Wilhoit, Jr. Absent from the meeting were Mr. Paul Chelgren and Mr. Henry Jackson. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors Peter P. Bosomworth, Ben W. Carr, Jr., and Robert E. Hemenway; Vice Presidents Joseph T. Burch, Edward A. Carter, Donald B. Clapp, Linda J. Magid, and Eugene R. Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs; Mr. Larry Ivy, Associate Director of Athletics; and Mr. Paul VanBooven, Associate General Counsel.

Members of the general public and the news media were also in attendance. A quorum being present, Governor Breathitt declared the meeting officially open for the conduct of business at 1:03 p.m.

C. Oath of Office Administered

President Wethington said that there were three new Board members joining the Board. He noted that the new members had taken the oath of office earlier because there was not a July meeting; however, he asked Paul VanBooven, Associate Legal Counsel, to administer the oath of office to the following members for public record:

Mr. Lance Dowdy, who will serve as student trustee for the 1993-94 academic year.

Professor Loys L. Mather, faculty representative elected to replace Professor Carolyn S. Bratt, for a term expiring June 30, 1996.

Mr. Martin Welenken, appointed by Governor Brereton C. Jones to replace Mr. James L. Rose, for a term expiring June 30, 1999.

Governor Breathitt welcomed the new members and expressed appreciation to them for their willingness to serve on the Board.

D. Resolutions for Peter Charles November and James L. Rose

Governor Breathitt read the following resolutions on behalf of former Trustees Peter Charles November and James L. Rose and asked for motions of approval.

RESOLUTION HONORING PETER CHARLES NOVEMBER

WHEREAS, Pete November has served his constituents well and faithfully over the past year as President of the Student Government Association and as student representative on the University of Kentucky Board of Trustees, and

WHEREAS, on any number of issues requiring Board of Trustees action he has articulated student views and positions eloquently and factually, and

WHEREAS, he has worked diligently for the good of his constituency within the policy-making and administrative systems of the University, and

WHEREAS, he has proven himself an excellent listener as well as an eloquent speaker on matters at issue before the Board, and

WHEREAS, he has, in all matters, conducted himself with a great deal of decorum, thoughtfulness and consideration of the opinions and feelings of others,

NOW, THEREFORE, BE IT RESOLVED that his fellow members of the University of Kentucky Board of Trustees do formally declare their appreciation and gratitude to

MR. PETER CHARLES NOVEMBER

for his meritorious service and dedication to duty as a member of the UK Board of Trustees and for his exemplary service to the University of Kentucky during the 1992-93 Academic Year.

This the 17th day of August, 1993

Edward T. Breathitt, Chairman
Board of Trustees
University of Kentucky

Mr. Dowdy made a motion to approve the Resolution for Mr. November. Dr. Meriwether seconded the motion and it was adopted unanimously.

RESOLUTION HONORING JAMES L. ROSE

WHEREAS, James L. Rose has served the people of Kentucky well for almost a decade as a valued member of the University of Kentucky Board of Trustees, participating in the development and deliberation of policy issues, and

WHEREAS, the quality of service offered by this Board member has merited appointment and reappointment to this Board by three governors of the Commonwealth of Kentucky, and

WHEREAS, as a member of the Finance Committee he has displayed unwavering vigilance in the protection of the University's assets and in maximizing return on the use of those assets, and

WHEREAS, he has been instrumental in deciding a large number of significant issues that have faced the Board of Trustees in recent years, and

WHEREAS, he has routinely displayed an uncommon concern and dedication to the University's missions of teaching, research and public service,

NOW, THEREFORE, BE IT RESOLVED that his fellow members of the University of Kentucky Board of Trustees do formally declare their appreciation and gratitude to

MR. JAMES L. ROSE

for his meritorious service and dedication in helping set policies for the University of Kentucky, and for nine years of service to the students, faculty, staff and alumni of the University of Kentucky, and to the people of the Commonwealth of Kentucky.

This the 17th day of August, 1993

Edward T. Breathitt, Chairman
Board of Trustees
University of Kentucky

Mr. Shoop made a motion to approve the Resolution for Mr. Rose. Mr. Ulmer seconded the motion and it was adopted unanimously.

Governor Breathitt asked that a signed copy of the Resolutions be sent to the esteemed former members of the Board.

E. Approval of Minutes

Governor Breathitt stated that the Minutes of the June 22, 1993 meeting had been distributed and asked for additions or corrections. On motion made by Mrs. Sims, seconded by Mrs. Weinberg and carried, the Minutes were approved as distributed.

F. President's Report to the Trustees (PR 1)

President Wethington commented on the following items in PR 1:

1. Researchers from UK and four other universities have joined in a \$19 million program to investigate ways to convert coal and waste material to oil.
2. A new Academic/Technical Building was dedicated at Lexington Community College July 1 and dedication ceremonies were held June 22 for two new buildings at Owensboro Community College.
3. Approximately 40 UK faculty members participated in a 1,000-mile, five-day tour of the state the first week in August in the third annual "Our Kentucky" Faculty Tour.
4. The Chandler Medical Center is conducting a science outreach education initiative aimed at elementary, middle and high school students in Kentucky. The Science Outreach and Health Careers Opportunities Center will coordinate existing outreach programs and help Medical Center colleges develop additional programs.

President Wethington asked that the Trustees read the other items in PR 1 at their leisure.

G. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Mr. Shoop moved approval. His motion, seconded by Mr. Ulmer, passed. (See PR 2 at the end of the Minutes.)

H. Application of Dr. Joseph Murray Hayse for Promotion and Tenure (PR 3A)

President Wethington said that PR 3A was an action item concerning the application of Dr. Joseph Murray Hayse for promotion and tenure. He reminded the members of the background information recently mailed to them about the application. He reported that this action item was necessary as a result of court actions over the years. He then asked Governor Breathitt to handle PR 3A.

Governor Breathitt said that the members had an opportunity to review the material and asked for a motion to either approve or disapprove the application of Dr. Hayse for promotion and tenure. Mr. Wilcoxson moved that the Board disapprove Dr. Hayse's application for promotion to the rank of Associate Professor with tenure. Mr. Sturgill seconded the motion and it carried. (See PR 3A at the end of the Minutes.)

I. Naming of University Building - Cliff Hagan Stadium (PR 3B)

President Wethington reviewed the background information in PR 3B, noting Mr. Hagan's long association with the University which goes back four decades. He said that the action comes to him with the recommendation of Mr. C. M. Newton, Director of Athletics, and with the recommendation of the Committee on Naming University Buildings. He expressed pleasure in recommending that the Board of Trustees approve the name "Cliff Hagan Stadium" for the new baseball stadium. Mr. Wilhoit moved approval. His motion was seconded by Mrs. Sims and passed. (See PR 3B at the end of the Minutes.)

J. Naming of University Building - Nutter Fieldhouse (PR 3C)

President Wethington expressed pleasure in recommending that the Board of Trustees approve the name "Nutter Fieldhouse" for the new fieldhouse building located adjacent to Commonwealth Stadium. He said that the Nutter family has been most generous in their private support for the new fieldhouse, and the fieldhouse facility became a reality due to their financial support. Judge Wilhoit moved approval of PR 3C. The motion, seconded by Mrs. Bell, carried. (See PR 3C at the end of the Minutes.)

President Wethington informed the Trustees that they would be getting an invitation to the September 3rd dedication of the new facility honoring the Nutter family and encouraged them to attend. He noted that two of Mr. Nutter's sons are graduates of the University of Kentucky.

K. Candidates for Degrees - Community College System (PR 4A)

President Wethington recommended that the degrees specified in PR 4A be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactory completed. He mentioned that the recommendation comes to the Board with the appropriate faculty bodies involved. Professor Sistarenik moved approval. His motion was seconded by Mrs. Weinberg and passed. (See PR 4A at the end of the Minutes.)

L. Candidates for Degrees - University System (PR 5A)

President Wethington commented that PR 5A is a companion document to PR 4A. It states that the President be authorized to confer upon each individual whose name appears on the list presented herewith the degree to which he/she is entitled, upon certification by the Registrar and approval by the University Senate that the individual has satisfactory completed all requirements for the degree for which application has been made. On motion made by Professor Mather and seconded by Mr. Dowdy, it was approved. (See PR 5A at the end of the Minutes.)

M. Appointment of Dean of the College of Communications and Information Studies (PR 5B)

President Wethington reminded the Trustees of the merger of the College of Library and Information Science and the College of Communications at the June meeting. He recommended that Douglas Boyd, who has served as Dean of the College of Communications since 1987, continue to serve as Dean of the newly formed College of Communications and Information Studies. Mr. Wilcoxson moved approval. Mr. Shoop seconded the motion and it carried. (See PR 5B at the end of the Minutes.)

N. Appointment of Director of the School of Library and Information Science (PR 5C)

President Wethington said that PR 5C is a recommendation that impacts the School of Library and Information Science. He reviewed the background information and recommended that Thomas Waldhart be appointed Director of the School of Library and Information Science effective immediately. Mrs. Weinberg moved approval. Her motion, seconded by Professor Mather, passed. (See PR 5C at the end of the Minutes.)

O. Comments from the Chairman

Governor Breathitt expressed appreciation and thanks to President Wethington and his staff for the outstanding job in presenting the cause for the University and higher education to the Governor and the legislature.

P. Disposal of Personal Property (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Committee had met at 11:00 a.m. and reviewed thirteen items on the agenda to be presented for action. He extended an invitation to the new Board members to attend the Finance Committee meetings and observe the Committee's actions. He also thanked President Wethington for the important work on his part in regard to the most recent budget cut by Governor Brereton Jones.

Mr. Hardymon reminded the members that it is a requirement that the Board approve the sale or disposal of any property of the University. He reviewed the items in Exhibit I of the Disposal of Personal Property and recommended that FCR 1 be approved. Mr. Ulmer seconded the motion and it carried. (See FCR 1 at the end of the Minutes.)

Q. Capital Construction Report (FCR 2)

Mr. Hardymon reported that the Committee reviewed the Capital Construction Report. He made a motion for approval. Mr. Welenken seconded the motion, and it passed. (See FCR 2 at the end of the Minutes.)

R. Report of Leases (FCR 3)

Mr. Hardymon reviewed the renewal of leases in FCR 3 and made a motion for approval. His motion, seconded by Mr. Sturgill, carried. (See FCR 3 at the end of the Minutes.)

S. Approval of Leases (FCR 4)

Mr. Hardymon reported that FCR 4 is for addendums to leases that amount to over \$30,000 in value. He provided background information on the leases and made a motion for approval. Mrs. Weinberg seconded the motion and it passed. (See FCR 4 at the end of the Minutes.)

T. Patent Assignment (FCR 5)

Mr. Hardymon said that FCR 5 and FCR 6 are patent assignments. He made a motion that the Board authorize the President to execute an assignment of certain patents on behalf of the Board to the University of Kentucky Research Foundation. He said that James Crutchfield has invented certain improvements in Microplate Assembly for Utilization in Colorimetric Analysis. He noted that this is a productivity enhancement. It identifies compounds that result when chemical analysis has caused a color change. His motion was seconded by Mr. Shoop and carried. (See FCR 5 at the end of the Minutes.)

U. Patent Assignment (FCR 6)

On motion made by Mr. Hardymon, seconded by Mr. Wilcoxson and passed, the patent assignment in FCR 6 was approved. (See FCR 6 at the end of the Minutes.)

V. Notice of Federal Interest Requirement (FCR 7)

Mr. Hardymon made a motion that the President be authorized and directed to execute and record with the Fayette County Clerk's Office a Notice of Federal Interest to comply with federal grant conditions and requirements. He said that the grant provided federal funds for the construction of animal care facilities. He noted that the facility is a University owned facility located in the middle of the Medical Center. The grant requires that the Board file notice that the University will not sell or change the use of the facility without the approval of the National Cancer Institute. Mr. Hardymon's motion was seconded by Dr. Powell and passed. (See FCR 7 at the end of the Minutes.)

W. Conveyance of 10.49 Acres of Main Chance Farm to the Lexington-Fayette Urban County Government (FCR 8)

Mr. Hardymon made a motion that the President be authorized and directed to execute, on behalf of the University, a deed of conveyance transferring title to 10.49 acres of Maine Chance Farm to the Lexington-Fayette Urban County Government. He reviewed the background information and displayed a map of the property involved. Mrs. Bell seconded the motion and it carried. (See FCR 8 at the end of the Minutes.)

X. Acceptance of Pledge from the Steele-Reese Foundation
(FCR 9)

Mr. Hardymon made a motion that the Board accept a pledge of \$200,000 from the Steele-Reese Foundation to the University of Kentucky Community College System. He said that this pledge is to assist the Community College System in improving the communications infrastructure at Prestonsburg and Southeast Community Colleges. His motion was seconded by Mr. Sturgill and passed. (See FCR 9 at the end of the Minutes.)

Y. RJR Nabisco Foundation Contribution (FCR 10)

Mr. Hardymon made a motion that the Board accept a gift of \$377,350 from the RJR Nabisco Foundation to the College of Agriculture. He noted that this is an annual contribution from RJR Nabisco Foundation for uses in various tobacco research. Professor Mather seconded the motion and it passed. (See FCR 10 at the end of the Minutes.)

Z. Estate of Fred J. Friel, Jr. (FCR 11)

Mr. Hardymon made a motion that the Board accept a distribution of \$108,012.68 from the Estate of Fred J. Friel, Jr. He reported that Dr. Friel was born in Lexington, Kentucky in 1912 and graduated from the University of Kentucky in 1934 with electrical and mechanical engineering degrees. He noted that Dr. Friel left his entire estate to the University. Mr. Hardymon's motion was seconded by Mrs. Weinberg and carried. (See FCR 11 at the end of the Minutes.)

AA. A Resolution Authorizing the Issuance of University of Kentucky Consolidated Educational Building Refunding Revenue Bonds, Series D (Second Series), Dated September 1 1993, of the Board of Trustees of the University of Kentucky (FCR 12)

On motion made by Mr. Hardymon, seconded by Mr. Wilcoxson and passed, the Board approved a Resolution authorizing the issuance of \$16,325,000 (plus or minus up to 10%) of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series D (Second Series), dated September 1, 1993, or such later date as may be approved by the President and/or the Board of Trustees upon the advice of the Financial Advisor.

BB. 1993-94 State Budget Reduction (FCR 13)

Mr. Hardymon made a motion that the Board confirm that the University System two percent state appropriation deferral (\$4,568,000) and the Community College System (\$1,236,200) reflected in the University of Kentucky 1993-94 Operating Budget is now a permanent state appropriation reduction. With this action, the total state support to the University is \$313,694,000, of which \$241,782,700 is appropriated to the University System and \$71,911,300 to the Community College System. His motion, seconded by Mr. Ulmer, passed. (See FCR 13 at the end of the Minutes.)

President Wethington said that he wanted to publicly express his thanks and appreciation to Governor Brereton Jones and his staff, to Senator Mike Moloney and Representative Marshall Long for their support of higher education. They addressed a very serious budget problem for the Commonwealth and spared higher education from further budget cuts, other than the 2% which had already been planned. This was an important decision for the University of Kentucky. He said that he believed the Governor, his staff and the legislators recognized all the work that the University community had done in this last eighteen months to manage the cuts that the University had already experienced: to reorganize, to look at staffing patterns, to look at workload, to look at virtually the entire University operation, and make changes wherever possible to create efficiencies and productivity. He said that he knows that the efforts of the University community were recognized. He commended Governor Jones and those who worked with him for their recognition of the importance of colleges and universities to the Commonwealth, particularly for their recognition of the impact that further cuts would have had on this institution had they been levied.

He reported that the University now has a budget for 1993-94. It is the budget that the Board approved in June. It is the budget that sets priorities for the institution. It expects the administration, faculty and staff to carry out those priorities. He reminded the Board that the 2% deferral was set aside, and it is now a permanent reduction.

He said that in addition to focusing on higher education and providing some preferential treatment, the Governor expressed very clearly an expectation that the University administrators continue to look for ways to be even more productive and efficient. He noted that the Governor had set up a Commission to look at the entire system of higher education and asked the chairpersons of the Boards, the university presidents, along with members of the Governor's staff, Senator Moloney and Representative Long to serve on the Commission and make a recommendation to him by November 1. President Wethington said that he takes the charge very seriously. He believes that it is important that the charge be taken seriously and that the Commission address the issues that are impacting higher education. He said that the University must demonstrate that it is making every effort to be responsible, be efficient and productive. He said that he and Governor Breathitt would be reporting to the Board about the progress of the Commission. He said that the efforts to be efficient and productive are not finished. They will be ongoing, and higher education will be a part of those efforts as will all of state government.

Governor Breathitt said that the work of the President, his staff, the Board of Trustees and the entire University community has added credibility to this institution. He said that he would carry out his charge on the Commission and that he would be happy to have input from members of the Board.

CC. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 1:50 p.m.

Respectfully submitted,

Lois C. Weinberg
Secretary
Board of Trustees

(PR's 2, 3A, 3B, 3C, 4A, 5A, 5B, and 5C; FCR's 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, and 13 which follow are official parts of the Minutes of the meeting.)

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Office of the President
August 17, 1993

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

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CENTRAL ADMINISTRATION

I. BOARD ACTION

A. REAPPOINTMENTS

Graduate School

Rodgers, Jr., George C., Adjunct Associate Professor,
Graduate Center for Toxicology, 7/1/93 through 6/30/97.

Sisken, Betty F., Associate Research Professor, Graduate
Center for Biomedical Engineering, 7/1/93 through 6/30/94.

B. RETIREMENTS

Communications and Network Systems

Bradley, W. Jane, Telephone Operator II, Communications
and Network Systems, after 17.75 years of service
under AR II-1.6-1 Section III.A.2, effective 7/30/93.

C. POST-RETIREMENT APPOINTMENTS

Graduate School

Hennessy, James V., Site Coordinator/Director (part-time),
for the Extended-Campus Graduate Program at Paducah,
8/1/93 through 7/31/94.

Council on Aging

Johnson, Bernard, Instructor (part-time), Sanders-Brown
Center on Aging, 7/1/93 through 6/30/94.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Graduate School

Burnett, Robert E., Assistant Professor, The Patterson
School of Diplomacy and International Commerce, 8/16/93
through 6/30/94.

Libraries

Livingston, Shawn D., appointed Librarian IV (without
tenure), Libraries, Reference Department, 8/16/93
through 6/30/94.

B. REAPPOINTMENTS

Graduate School

Brown, David R., Assistant Research Professor, Graduate
Center for Biomedical Engineering, 7/1/93 through
6/30/94.

Patwardhan, Abhijit R., Assistant Research Professor,
Graduate Center for Biomedical Engineering, 7/1/93 through
6/30/94.

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Transfer from Hopkinsville Community College
to Somerset Community College

Lynch, Joseph R., Associate Professor in the Community
College System (with tenure), effective 7/1/93.

B. ADMINISTRATIVE APPOINTMENTS

Ashland Community College

McCullough, Willie G., Associate Professor in the Community
College System (with tenure), appointed Dean of
Student Affairs, effective 7/1/93.

Jefferson Community College

Lites, William Wesley, Assistant Professor in the Community
College System, appointed Chair, Division of
Humanities, Communications & Related Technologies,
7/1/93 through 6/30/96.

Sprinkle, Amy C., Assistant Professor in the Community
College System, appointed Chair, Division of Natural
Sciences & Related Technologies/SW Campus, 7/1/93
through 6/30/96.

Paducah Community College

Tucker, Sandra, appointed Dean of Student Affairs, effective
8/1/93.

Somerset Community College

DeBerry, John H., Associate Professor in the Community
College System (with tenure), appointed Chair,
Division of Social Science & Business, 7/1/93 through
6/30/95.

Patton, Rodney, Associate Professor in the Community College
System (with tenure), appointed Dean of Student
Affairs, effective 8/1/93.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Hopkinsville Community College

Freeland, Flora E., Instructor in the Community College
System, 8/1/93 through 6/30/94.

Williams, Dana M., Instructor in the Community College System, 8/1/93 through 6/30/94.

Jefferson Community College

Bowling, Lee, Instructor in the Community College System (voluntary), 8/1/93 through 12/31/95.

Bright, Virginia, Instructor in the Community College System (voluntary), 8/1/93 through 7/31/96.

Clarke, Rachel, Instructor in the Community College System (voluntary), 8/16/93 through 5/31/96.

Lexington Community College

Cothran, Marian L., Instructor in the Community College System, 8/1/93 through 6/30/94.

Durbin, Susan, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.

Etherton, Tracy, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.

Freyman, Marcia, Librarian IV in the Community College System, 8/1/93 through 6/30/94.

Gil, Caroline J., Instructor in the Community College System, 8/1/93 through 5/31/94.

Kessinger, Blaine, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.

Matthews, Joanne M., Instructor in the Community College System, 8/1/93 through 5/31/94.

Richardson, Allen, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.

Russell, Timothy W., Instructor in the Community College System, 8/1/93 through 6/30/94.

Schardine, Nancy, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.

Springate, Suzanne, Instructor in the Community College System, 8/1/93 through 6/30/94.

Stewart, Ursula, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.

Swanson, Sandra K., Assistant Professor in the Community College System, 8/1/93 through 6/30/94.

Tudor, Wayne Ann, Instructor in the Community College System, 8/1/93 through 6/30/94.

Wilgus, Linda L., Instructor in the Community College System, 8/1/93 through 6/30/94.

Madisonville Community College

Adkins, Robert T., Instructor in the Community College System, 8/1/93 through 6/30/94.

Hood, Timothy L., Instructor in the Community College System, 8/1/93 through 6/30/94.

Mitchell, Ralph E., Assistant Professor in the Community College System, 8/1/93 through 6/30/94.

Pace, Debra L., Instructor in the Community College System, 8/1/93 through 6/30/94.

Owensboro Community College

Garrard, Diane, Instructor in the Community College System, 8/1/93 through 6/30/94.

Paducah Community College

Pinerola, Jase, Instructor in the Community College System (voluntary), 8/1/93 through 8/6/96.

Poat, Sharon, Instructor in the Community College System, 8/23/93 through 6/30/94.

Schaffer, Alan, Instructor in the Community College System, 8/1/93 through 6/30/94.

Prestonsburg Community College

Briggs, Judith A., Instructor in the Community College System, 8/1/93 through 6/30/94.

Fuller, James L., Assistant Professor in the Community College System, 8/1/93 through 6/30/94.

Heywood, Timothy G., Assistant Professor in the Community College System, 8/1/93 through 6/30/94.

Smith, Dwight P., Assistant Professor in the Community College System, 8/1/93 through 6/30/94.

Somerset Community College

Hansel, Charles V., Assistant Professor in the Community College System, 8/1/93 through 6/30/94.

McDaniel, William, Assistant Professor in the Community College System, 8/1/93 through 6/30/94.

Southeast Community College

Brooks, Sheila G., Instructor in the Community College System, 8/1/93 through 6/30/94.

Eldridge, Brent A., Instructor in the Community College System, 8/1/93 through 6/30/94.

Pennell, Robin, Instructor in the Community College System, 8/1/93 through 6/30/94.

B. ADMINISTRATIVE APPOINTMENTS

Henderson Community College

Burnley, Margaret F., appointed CC Business and Industry Liaison, effective 7/1/93.

Jones, Connell J., appointed CC Fiscal Affairs Officer, effective 7/1/93.

Prestonsburg Community College

Robertson, Charles, Professor in the Community College System (with tenure), appointed Acting Chair, Division of Physical Sciences & Related Technologies, 8/1/93 through 6/30/94.

C. REAPPOINTMENTS

Ashland Community College

Robinson, Donna, Instructor in the Community College System, 7/1/93 through 6/30/94.

Elizabethtown Community College

King, Jo Ann, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Nade, Ann Marie, Instructor in the Community College System, 7/1/93 through 6/30/94.

Hazard Community College

Gill, Julia, Instructor in the Community College System, 7/1/93 through 6/30/94.

Leona-Brandt, Janis, Instructor in the Community College System, 7/1/93 through 7/31/93.

Reynolds, Scott A., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Riddle, Sue, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Woods, Sherry, Instructor in the Community College System, 7/1/93 through 6/30/94.

Jefferson Community College

Bell, Donna S., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Campbell, Vicki L., Instructor in the Community College System (voluntary), 8/1/93 through 5/31/96.

Luther, Teresa S., Instructor in the Community College System (voluntary), 8/1/93 through 5/31/96.

Morrison, Linda J., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Sabetta, Thomas J., Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Stroup-Benish, Kathy, Instructor in the Community College System (voluntary), 8/1/93 through 5/31/96.

Lexington Community College

Barnes, James, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.

Barnes, Rosemary, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Blackburn, Pamela G., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Booher, Kimberly R., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Brandenburg, Sara S., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Burroughs, Rickey A., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Carlton, Gina L., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Coffman, Lana L., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Collins, Judith A., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Connors, Denice, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Coupal, John J., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Dalzell, Barbara L., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Davis, Regina, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Dunham, Judy W., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Florence, Teresa, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Fritz, Lori Beth, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Glass, William E., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Grant, Laura, Instructor in the Community College System, 8/1/93 through 5/31/94.
Graves, Dorene, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Gross, Kelly K., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Herrington, Pamela, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Humphrey, Sue P., Instructor in the Community College System, 8/1/93 through 5/31/94.
Jones, Onna M., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Kokinda, Cheryl F., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Lanphierd, Karen S., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Majoun, Sylvia,, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Manning, Marcus, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
May, Charline L., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.

Miller, Patricia A., Instructor in the Community College System, 8/1/93 through 5/31/94.
Mullins, Dee A., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Pennington, Jesse D., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Pickett, Mary Jo, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Poore, Myra L., Instructor in the Community College System, 8/1/93 through 6/30/94.
Reed, Melissa, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Roser, Lynn P., Instructor in the Community College System, 8/1/93 through 5/31/94.
Shely, Gina G., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Stipp, Vickie H., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Stratton, Greg, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Wampler, Kevin, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Wierzbinski, Becky, Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.
Woosley, Lora K., Instructor in the Community College System (voluntary), 7/1/93 through 6/30/96.

Somerset Community College

Barnes, Virgie, Instructor in the Community College System, 7/1/93 through 6/30/94.
Harnack, Krister, Instructor in the Community College System, 7/1/93 through 6/30/94.

Southeast Community College

Garrison, Neville, Instructor in the Community College System (voluntary), 8/1/93 through 5/31/96.
Hubbard, Richard, Instructor in the Community College System (voluntary), 8/1/93 through 5/31/96.
Newport, J. C., Instructor in the Community College System (voluntary), 8/1/93 through 2/28/94.
Webb, Don, Instructor in the Community College System (voluntary), 8/1/93 through 5/31/96.

D. PROMOTIONS WITHOUT TENURE

Hazard Community College

Boatwright, Teresa, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Prestonsburg Community College

Yamout, Jihad, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

E. TERMINAL REAPPOINTMENTS

Jefferson Community College

Happel, Cynthia, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Lexington Community College

Delfino, John, Assistant Professor in the Community College System, 7/1/93 through 6/30/94.

Somerset Community College

Khan, Kurshid, Instructor in the Community College System, 7/1/93 through 6/30/94.

F. LEAVES OF ABSENCE

Elizabethtown Community College

Thomas, Ron, Professor in the Community College System (with tenure), leave of absence without pay, pending long-term disability, effective 7/1/93 through 1/31/94.

Somerset Community College

Khondker, Karim, Associate Professor in the Community College System (with tenure), leave of absence without pay, 7/1/93 through 6/30/94.

Lynch, Joseph R., Associate Professor in the Community College System (with tenure), sabbatical leave at full salary for the 1993 Fall Semester.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Arts and Sciences

Christopeit, Norbert, Visiting Professor, Mathematics,
8/16/93 through 12/31/93.

Cooper, Patricia A., Associate Professor (with tenure),
History, and Associate Professor (without tenure),
Women's Studies, effective 7/1/93.

Lee, Jonathan, Associate Professor (with tenure),
Mathematics, effective 7/1/93.

College of Business and Economics

Riddiough, Timothy J., Assistant Professor, Finance,
1/1/94 through 6/30/94.

College of Education

Tannenbaum, Robert S., Adjunct Professor, Curriculum
and Instruction, 8/16/93 through 6/30/96.

College of Law

Hamblin, Pierce M., Professor (part-time), 8/24/93
through 5/7/94.

Perlman, Peter, Professor (part-time), 8/24/93 through
5/7/94.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Mingst, Karen, Professor (with tenure), Political
Science, appointed Chair, Political Science, 7/1/93
through 6/30/97.

College of Education

Berdine, William H., Associate Professor (with tenure),
Special Education, appointed Chair, Special
Education, 7/1/93 through 6/30/95.

Collins, Clinton, Associate Professor (with tenure),
Educational Policy Studies and Evaluation,
appointed Chair, Educational Policy Studies and
Evaluation, 7/1/93 through 6/30/95.

Noland, Melody, Associate Professor (with tenure),
Health, Physical Education and Recreation,
appointed Chair, Health, Physical Education and
Recreation, 8/1/93 through 6/30/97.

Shapiro, Robert, Associate Professor (with tenure),
Health, Physical Education and Recreation,
appointed Associate Dean, effective 8/1/93.

College of Fine Arts

Gray, Harold R., Jr., Professor* (with tenure), Music,
appointed Associate Dean, 7/1/93 through 6/30/96.

C. JOINT APPOINTMENTS

College of Arts and Sciences

Aleem, M.I.H., Professor (with tenure), Biological
Sciences, joint appointment as Professor (without
tenure), Toxicology, Research and Graduate Studies,
7/1/93 through 6/30/97.

Birge, Wesley J., Professor (with tenure), Biological
Sciences, joint appointment as Professor (without
tenure), Toxicology, Research and Graduate Studies,
7/1/93 through 6/30/97.

College of Education

Auvenshine, C. Dwight, Associate Professor (with
tenure), Educational and Counseling Psychology,
joint appointment as Associate Professor (without
tenure), Rehabilitation Medicine, College of
Medicine, 7/1/93 through 6/30/94.

Shapiro, Robert, Associate Professor (with tenure),
Health, Physical Education and Recreation, joint
appointment as Associate Professor (without
tenure), Biomedical Engineering, Research and
Graduate Studies, 7/1/93 through 6/30/94.

College of Human Environmental Sciences

Glauert, Howard P., Associate Professor (with tenure),
Nutrition and Food Science, joint appointment as
Associate Professor (without tenure), Toxicology,
Research and Graduate Studies, 7/1/93 through
6/30/97.

D. REAPPOINTMENTS

College of Arts and Sciences

Stiles, Martin, Adjunct Professor, Chemistry, 7/1/93
through 6/30/95.

College of Engineering

Huffman, Gerald P., Research Professor, Materials Science and Engineering, 7/1/93 through 6/30/94.

E. PROMOTIONS

College of Agriculture

Giles, Ralph C., from Associate Professor* (with tenure), Veterinary Science, to Professor* (with tenure), Veterinary Science, effective 7/1/93.

College of Fine Arts

Osland, Miles, from Assistant Professor* (without tenure), Music, to Associate Professor* (with tenure), Music, effective 7/1/93.

F. RETIREMENTS

Vice Chancellor for Minority Affairs

Richerson, Mildred V., Staff Assistant VII, Office of Minority Student Affairs, after 8.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/93.

G. EARLY RETIREMENTS

College of Human Environmental Sciences

Henry, Sarah T., Principal Curriculum Media Specialist, Dean's Office, after 16 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/93.

H. EARLY RETIREMENTS CHANGES

College of Arts and Sciences

Perraut, Bobby E. Engineer Associate II, Physics and Astronomy, to rescind early retirement, under Section III.A.2 of AR II-1.6-1, effective 8/3/93.

I. POST-RETIREMENTS

College of Agriculture

Barnett, M. Randall, Coordinator (part-time), Agriculture Administration, 7/1/93 through 6/30/94.
Herron, James, Agronomy Specialist (part-time), Agronomy, 7/1/93 through 6/30/94.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

He, Sheng Yang, Assistant Professor, Plant Pathology,
7/1/93 through 6/30/94.

College of Architecture

Chavance, Philippe, Assistant Professor (temporary),
8/1/93 through 12/31/93.

College of Arts and Sciences

Badagliacco, Joanne M., Assistant Professor, Sociology,
7/1/93 through 6/30/95.

Chaudhury, Krishnendu, Instructor (temporary), Computer
Science, 8/16/93 through 5/15/94.

Cheal-Yang, Young, Visiting Scholar, Political
Science, 7/1/93 through 6/30/94.

Collins, Timothy, Instructor (part-time), Sociology,
8/16/93 through 12/31/93.

DeFilippo, Thomas Frank, Assistant Professor, Military
Science, 8/1/93 through 7/31/97.

Elbon, Andrew, Visiting Assistant Professor, French,
8/16/93 through 5/15/94.

Gary, James E., Instructor (temporary), Computer
Science, 8/16/93 through 5/15/94.

Grev, Roger S., Assistant Professor, Chemistry, 7/1/93
through 6/30/95.

Heller, Clayton H., Instructor (part-time), Physics and
Astronomy, 8/16/93 through 5/15/94.

Lahaise, William H., Instructor (temporary), Physics and
Astronomy, 8/16/93 through 5/15/94.

Messone, Nelson, Instructor (part-time), Political
Science, 8/1/93 through 12/31/93.

Miller, Penny, Assistant Professor*, Political Science,
7/1/93 through 6/30/95.

Morehouse, Sarah M., Visiting Scholar, Political
Science, 7/1/93 through 6/30/94.

Moosnick, Nora R., Instructor (part-time), Sociology,
8/16/93 through 12/31/93.

Palmer, Eric J., Assistant Professor (temporary),
Philosophy, 8/16/93 through 5/15/94.

Prats, Judith G., Instructor (temporary), English,
8/16/93 through 6/30/94.

Shain, Ralph E., Assistant Professor (temporary),
Philosophy, 8/16/93 through 5/15/94.

Stauffer, Robert E., Instructor (part-time), Geological
Sciences, 8/16/93 through 12/31/93.

Subramanian, Seetha, Instructor (voluntary), Physics and
Astronomy, 8/16/93 through 5/15/94.

* Special Title Series

Tananoi, Hirotaka, Assistant Professor (temporary),
Mathematics, 8/16/93 through 5/15/94.

Toreki, Robert, Assistant Professor, Chemistry, 7/1/93
through 6/30/95.

Tri, Mary Kathryn, Instructor (temporary), English,
8/16/93 through 5/15/94.

Yu, Hwe Yol, Visiting Scholar, Political Science,
7/1/93 through 6/30/94.

College of Business and Economics

Furze, Sally, Instructor, Accountancy, 8/1/93 through
6/30/94.

Hulse, David S., Assistant Professor, Accountancy,
8/1/93 through 6/30/94.

College of Communications and Information Studies

Brownson, Harold L., Assistant Professor, Communication,
8/16/93 through 5/15/94.

DeSantis, Alan Douglas, Visiting Assistant Professor,
Communication, 8/16/93 through 5/15/94.

Lin, Xia, Assistant Professor, Library and Information
Science, 8/16/93 through 6/30/94.

Lowe, Gregory Ferrell, Visiting Assistant Professor,
Journalism and Telecommunications, 7/1/93 through
6/30/94.

Peirce-Jones, Carol, Visiting Assistant Professor,
Communication, 8/16/93 through 5/15/94.

Shannon, Donna M., Assistant Professor, Library and
Information Science, 8/16/93 through 6/30/94.

College of Education

Anderson, Haithe, Assistant Professor (temporary),
Educational Policy Studies and Evaluation, 8/16/93
through 6/30/94.

Bliss, Traci, Visiting Assistant Professor, Curriculum
and Instruction, 8/16/93 through 5/15/94.

College of Engineering

Brauer, Elizabeth J., Assistant Professor, Electrical
Engineering, 1/1/94 through 12/31/95.

Lissenden, Clifford J., Assistant Professor (temporary),
Engineering Mechanics, 8/16/93 through 6/30/94.

Lumpp, James E., Jr., Assistant Professor, Electrical
Engineering, 8/16/93 through 6/30/95.

Lumpp, Janet K., Assistant Professor, Electrical
Engineering, 8/16/93 through 6/30/95.

Ryan, Christopher A., Assistant Professor, Electrical
Engineering, 8/16/93 through 6/30/95.

Sutterer, Kevin G., Assistant Professor, Civil
Engineering, 8/16/93 through 6/30/94.

College of Fine Arts

Brikey, Shawn A., Assistant Professor (temporary),
Art, 7/1/93 through 6/30/94.
Clary, Richard S., Assistant Professor*, Music, 7/1/93
through 6/30/94.
Johnson, Jefferson, Assistant Professor*, Music, 8/16/93
through 6/30/94.

College of Human Environmental Sciences

Curry, Carolyn, Instructor (part-time), Family Studies,
7/1/93 through 12/31/93.
English, Jim, Instructor (voluntary), Nutrition and Food
Science, 8/16/93 through 5/15/94.
Langley, Barbara E., Instructor (voluntary), Nutrition
and Food Science, 7/1/93 through 6/30/94.

College of Law

Larson, Raymond M., Assistant Professor (part-time),
8/24/93 through 5/7/94.
Moore, Kathryn L., Assistant Professor, 8/16/93 through
6/30/94.
Rigsby, William F., Assistant Professor (part-time),
8/24/93 through 5/7/94.

College of Social Work

Chima, Felix O., Assistant Professor, 8/16/93 through
5/15/94.
Coconis, Michel A., Assistant Professor, 8/16/93 through
5/15/94.
Farley, Elizabeth, Assistant Professor* (part-time),
8/16/93 through 5/15/94.
Manley, John J., Assistant Professor* (part-time),
8/16/93 through 5/15/94.
Sutphen, Richard D., Assistant Professor, 8/16/93
through 5/15/94.

B. ADMINISTRATIVE APPOINTMENTS

College of Communications and Information Studies

Moore, Roy L., Professor (with tenure), Journalism and
Telecommunications, appointed Acting Chair,
Journalism and Telecommunications, 7/1/93 through
6/30/94.

College of Fine Arts

Jones, Russell E., Associate Professor* (with tenure),
Theatre, appointed Acting Chair, Theatre, 1/1/94
through 6/30/94.

College of Human Environmental Sciences

Easter, Elizabeth P., Associate Professor (with tenure),
Interior Design, Merchandising and Textiles,
appointed Acting Chair, Interior Design,
Merchandising and Textiles, 8/16/93 through 5/15/94.
Forgue, Raymond E., Associate Professor (with tenure),
Family Studies, and Chair, Family Studies,
appointed Acting Dean, 8/1/93 through 6/30/94.

C. REAPPOINTMENTS

College of Architecture

Cranfill, Michael C., Assistant Professor, 7/1/93
through 6/30/94.
Jahed, Peyman, Assistant Professor*, 7/1/93 through
6/30/95.
Plymale, Keith D., Assistant Professor, 7/1/93 through
6/30/94.
Porter, Roy M., Assistant Professor, 7/1/93 through
6/30/95.

College of Arts and Sciences

Cole, William D., Instructor (part-time), Sociology,
8/16/93 through 12/31/93.
Daunert, Sylvia, Assistant Research Professor,
Chemistry, 7/1/93 through 12/31/93.
Duncan, Charles E., Instructor (part-time), Physics and
Astronomy, 8/16/93 through 12/31/93.
Hardesty, Sharon L., Instructor (part-time), Sociology,
8/16/93 through 12/31/93.
Irvin, Cynthia L., Assistant Professor, Political
Science, 8/16/93 through 6/30/95.
Kidanemariam, Ande, Instructor (part-time), Sociology,
8/16/93 through 12/31/93.
Otis, Melanie D., Instructor (part-time), Sociology,
8/16/93 through 12/31/93.
Sohner, Charles P., Instructor (part-time), Political
Science, 8/1/93 through 12/31/93.

College of Business and Economics

Bushong, J. Gregory, Assistant Professor, Accountancy,
7/1/93 through 6/30/94.

College of Communications and Information Studies

Cooper, Susan A., Assistant Professor, Library and
Information Science, 7/1/93 through 6/30/94.
King, David, Assistant Professor, Library and
Information Science, 7/1/93 through
6/30/94.

College of Education

Meegan, William, Adjunct Assistant Professor,
Educational and Counseling Psychology, 7/1/93
through 5/15/95.

Nichols, Michael R., Adjunct Assistant Professor,
Educational and Counseling Psychology, 7/1/93
through 6/30/94.

College of Engineering

Yount, William R., Instructor (part-time), Electrical
Engineering, 7/1/93 through 12/31/93.

College of Fine Arts

Bibbs, Garry, Assistant Professor, Art, 7/1/93 through
6/30/94.

Condon, Patricia, Assistant Professor, Art, 7/1/93
through 6/30/94.

Ethington, Bradley, Assistant Professor*, Music, 7/1/93
through 6/30/94.

Hammond, Orville, Assistant Professor*, Music, 7/1/93
through 6/30/94.

Jackson, Cliff, Assistant Professor*, Music, 7/1/93
through 6/30/94.

Karp, Benjamin, Assistant Professor*, Music, 7/1/93
through 6/30/94.

Lugo, Noemi, Assistant Professor*, Music, 7/1/93 through
6/30/94.

McCorvey, Everett, Assistant Professor*, Music, 7/1/93
through 6/30/94.

Pen, Ronald, Assistant Professor, Music, 7/1/93 through
6/30/94.

Scroggins, Robert, Assistant Professor, Art, 7/1/93
through 6/30/94.

Unsel, Teresa S., Assistant Professor, Art, 7/1/93
through 6/30/94.

College of Human Environmental Sciences

Addo, Kwaku, Assistant Professor, Nutrition and Food
Science, 7/1/93 through 6/30/94.

Barth, Margaret, Assistant Professor, Nutrition and Food
Science, 7/1/93 through 6/30/94.

Braun, Robert M., Instructor (voluntary), Nutrition and
Food Science, 8/16/93 through 5/15/94.

Browning, Mary H., Instructor (voluntary), Nutrition and
Food Science, 8/16/93 through 5/15/94.

Eaves, Ava H., Instructor (voluntary), Nutrition and
Food Science, 8/16/93 through 5/15/94.

Faith, Jane Kaelin, Instructor (voluntary), Nutrition
and Food Science, 8/16/93 through 5/15/94.

Ford-Harris, Donna, Assistant Professor, Family Studies,
7/1/93 through 6/30/94.

Forsythe, Hazel, Assistant Professor, Nutrition and Food Science, 7/1/93 through 6/30/94.
Gardner, Toni, Instructor (voluntary), Nutrition and Food Science, 8/16/93 through 5/15/94.
Hardin, Jennifer, Instructor (voluntary), Nutrition and Food Science, 8/16/93 through 5/15/94.
Jones, Jean H., Instructor (voluntary), Nutrition and Food Science, 8/16/93 through 5/15/94.
Magda, Raymond, Instructor (voluntary), Nutrition and Food Science, 8/16/93 through 5/15/94.
Mahoney, Betsy B., Instructor (voluntary), Nutrition and Food Science, 8/16/93 through 5/15/94.
McCarthy, Tim, Instructor (voluntary), Nutrition and Food Science, 8/16/93 through 5/15/94.
McElhanon-Franklin, Cynthia Louise, Instructor (voluntary), Nutrition and Food Science, 8/16/93 through 5/15/94.
McCulloch, Jan, Assistant Professor, Family Studies, 7/1/93 through 6/30/94.
Miller-Spillman, Kimberly, Assistant Professor, Interior Design, Merchandising and Textiles, 7/1/93 through 6/30/94.
Minor, Tamra, Assistant Professor, Family Studies, 7/1/93 through 6/30/94.
Niles, Ema Jean, Instructor (voluntary), Nutrition and Food Science, 8/16/93 through 5/15/94.
Okorley, Maria Anastasakis, Assistant Professor, Interior Design, Merchandising and Textiles, 7/1/93 through 6/30/94.
Portillo, Margaret B., Assistant Professor, Interior Design, Merchandising and Textiles, 7/1/93 through 6/30/94.
Raitz, Carol A., Instructor (voluntary), Nutrition and Food Science, 8/16/93 through 5/15/94.
Tew, Shannon, Assistant Professor, Interior Design, Merchandising and Textiles, 7/1/93 through 6/30/94.
Thomas, Willa, Instructor (voluntary), Nutrition and Food Science, 8/16/93 through 5/15/94.
Turner, Virginia L., Instructor (voluntary), Nutrition and Food Science, 8/16/93 through 5/15/94.
Turner, William Lofton, Assistant Professor, Family Studies, 7/1/93 through 6/30/94.
Walters, Jacqueline R., Instructor (part-time), Nutrition and Food Science, 8/16/93 through 5/15/94.
Zahn, Brenda Kae, Instructor (voluntary), Nutrition and Food Science, 8/16/93 through 5/15/94.

College of Social Work

Roe, Jane K., Assistant Professor* (part-time), 8/16/93 through 5/15/94.

D. REAPPOINTMENT CHANGES

College of Education

Winborne, Duvon G., Assistant Professor, Educational and Counseling Psychology, to change dates from 8/16/93 through 5/15/94, to 8/16/93 through 6/30/94.

E. CHANGES

College of Arts and Sciences

McMahan, Adrienne B., from Special Instruction Program Officer II, Downtown Training Center, University Extension, to Student Affairs Officer V, Administration, College of Arts and Sciences, effective 5/3/93.

F. TERMINAL REAPPOINTMENTS

College of Agriculture

Felton, Gary K., Assistant Professor (without tenure), Agricultural Engineering, 7/1/93 through 6/30/94.

College of Arts and Sciences

Cooper, Nancy L., Assistant Professor (without tenure), Russian and Eastern Studies, and Assistant Professor (without tenure), Honors, Undergraduate Studies, 7/1/93 through 6/30/94.

Langley, Ronald E., Assistant Professor (without tenure), Political Science, 7/1/93 through 6/30/94.

Turner, Terence J., Assistant Professor (without tenure), Psychology, 7/1/93 through 6/30/94.

College of Business and Economics

Ingram, Donna M., Assistant Professor (without tenure), Economics, 7/1/93 through 6/30/94.

College of Communications and Information Studies

Hannah, Stan, Assistant Professor (without tenure), Library and Information Science, 7/1/93 through 6/30/94.

Kalbfleisch, Pamela J., Assistant Professor (without tenure), Communication, 7/1/93 through 6/30/94.

College of Human and Environmental Science

McCorkle, Michael, Assistant Professor* (without tenure), Nutrition and Food Science, 7/1/93 through 6/30/94.

* Special Title Series

G. SPECIAL ASSIGNMENTS

College of Engineering

Roco, Mihail C., Professor (with tenure), Mechanical Engineering, to serve as National Science Foundation Program Director, 8/1/93 through 7/31/94.

H. LEAVES OF ABSENCE

College of Agriculture

Comer, Charles M., County Extension Agent, Cooperative Extension Service, Study leave with full salary, 1/12/94 through 5/30/94.

Mallory, Bill, County Extension Agent, Cooperative Extension Service, Study leave with full salary, 10/1/93 through 3/31/94.

Thomas, Sheena, County Extension Agent, Cooperative Extension Service, Study leave with full salary, 8/21/93 through 1/3/94.

College of Arts and Sciences

Rishel, Raymond W., Professor (with tenure), Mathematics, Sabbatical leave with full salary, Spring Semester 1994.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Arden, Warrick A., Assistant Professor (without tenure), Surgery, 7/1/93 through 6/30/94.
Branch, Leslie B., Associate Professor (without tenure) (part-time), Medicine, 8/1/93 through 6/30/94.
Degnore, Lisa T., Assistant Professor (without tenure), Surgery, 9/1/93 through 6/30/94.
Evans, Martin E., Associate Professor* (without tenure), Medicine, 8/1/93 through 6/30/94.
Fleischman, Roger A., Associate Professor (without tenure), Medicine, 8/1/93 through 6/30/94.
Fleming, Donald R., Clinical Assistant Professor (without tenure), Medicine, 8/1/93 through 6/30/94.
Gonsalves, Wanda C., Clinical Assistant Professor (without tenure), Family Practice, 8/23/93 through 6/30/94.
Horton, Thomas L., Clinical Assistant Professor (without tenure), Family Practice, 8/1/93 through 6/30/94.
Jenkins, Connie W., Clinical Assistant Professor (without tenure), Medicine, 8/1/93 through 6/30/94.
Johnson, Darren L., Assistant Professor (without tenure), Surgery, 8/16/93 through 6/30/94.
Marburger, Laura J., Clinical Assistant Professor (without tenure), Pediatrics, 10/1/93 through 6/30/94.
Munn, Rita K., Assistant Professor (without tenure), Medicine, 8/1/93 through 6/30/94.
Nypaver, Timothy J., Assistant Professor (without tenure), Surgery, 8/1/93 through 6/30/94.
Read, John A., Professor (without tenure), Obstetrics and Gynecology, 7/1/93 through 6/30/94.
Schosser, Robert H., Associate Professor* (without tenure), Medicine, 7/15/93 through 6/30/94.
Sen, Harsha A., Assistant Professor (without tenure), Ophthalmology, 9/1/93 through 6/30/94.
Sloan, Paul A., Assistant Professor (without tenure), Anesthesiology, joint appointment as Assistant Professor (without tenure), Medicine, 8/1/93 through 6/30/94.
Strottmann, James M., Assistant Professor (without tenure), Diagnostic Radiology, 8/1/93 through 6/30/94.

B. REAPPOINTMENTS

College of Medicine

Cohen, Donald A., Associate Professor (with tenure), Microbiology and Immunology, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.

Frank, Arthur L., Professor (with tenure), Preventive Medicine and Environmental Health, joint appointment as Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.

Kasarskis, Edward J., Professor (with tenure), Neurology, joint appointment as Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.

Malluche, Hartmut H., Professor (with tenure), Medicine, joint appointment as Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.

Newell, Steven W., Assistant Professor, Ophthalmology, 7/1/93 through 8/15/93.

Slevin, John T., Professor (with tenure), Neurology, joint appointment as Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.

Shedlofsky, Steven I., Associate Professor (with tenure), Medicine, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.

College of Pharmacy

Blouin, Robert A., Professor* (with tenure), joint appointment as Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.

Crooks, Peter A., Professor (with tenure), joint appointment as Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.

Gillespie, Mark N., Professor (with tenure), joint appointment as Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.

Lai, Yih-Loong, Research Professor (without tenure), joint appointment as Research Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/94.

McNamara, Patrick J., Professor (with tenure), joint appointment as Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.

Wedlund, Peter J., Associate Professor (with tenure), joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.

C. ADMINISTRATIVE REAPPOINTMENTS

College of Medicine

Witt, William O., Professor* (with tenure), Anesthesiology, named Chair, Anesthesiology, 6/1/93 through 5/31/99.

D. PROMOTIONS

College of Medicine

Colclough, George W., Assistant Professor*, Anesthesiology, to Associate Professor* (with tenure), Anesthesiology, effective 7/1/93.
Getchell, Marilyn L., Associate Research Professor (without tenure), Surgery, to Research Professor (without tenure), Surgery, 7/1/93 through 6/30/94.
Kasdan, Morton L., Associate Professor (voluntary), Preventive Medicine and Environmental Health, to Professor (voluntary), Preventive Medicine and Environmental Health, 8/18/93 through 6/30/94.
Sekela, Michael E., Assistant Professor, Surgery, to Associate Professor* (with tenure), Surgery, effective 7/1/93.

E. CHANGES

College of Dentistry

Justice, Laura L., from Assistant Professor (part-time), Oral Health Practice, to Instructor (voluntary), Oral Health Science, 6/23/93 through 6/30/94.
Thompson, James R., from Assistant Professor (part-time), Oral Health Practice, to Clinical Assistant Professor, Oral Health Practice, 7/1/93 through 6/30/94.

College of Medicine

Doukas, Michael A., from Associate Professor* (with tenure), Medicine, joint appointment as Associate Professor* (without tenure), College of Pharmacy, effective 7/1/93, to Associate Professor (with tenure), Medicine, joint appointment as Associate Professor (without tenure), College of Pharmacy, effective 7/1/93.

F. LEAVES OF ABSENCE

College of Allied Health Professions

O'Keefe, Joan, Assistant Professor, Clinical Sciences,
special leave with pay, 7/5/93 through 8/30/93.

College of Medicine

Yen, Catherine E., Assistant Professor*, Neurology,
special leave with pay, 11/21/93 through 1/16/94.

G. EARLY RETIREMENTS

College of Medicine

Miller, Betty R., Senior Research Assistant, after 24.5
consecutive years of service, under AR II-1.6-1
Section III.A.2, effective 7/16/93.

Student Health Service

Fain, Janelle, Clinic Manager I, after 30 consecutive
years of service, under AR II-1.6-1
Section III.A.2, effective 8/31/93.

University Hospital

Ballew, Annell G., Laboratory Technician B, after 31
consecutive years of service, under AR II-1.6-1
Section III.A.2, effective 9/3/93.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Alexander, James G., Instructor (voluntary), Obstetrics
and Gynecology, 7/1/93 through 6/30/94.

Belanger, John S., Assistant Professor (voluntary),
Family Practice, 8/18/93 through 6/30/94.

Bernard, L. Barrett, Assistant Professor (voluntary),
Emergency Medicine, 8/18/93 through 6/30/94.

Bloom, Robert T., Assistant Clinical Professor,
Ophthalmology, 7/1/93 through 6/30/94.

Bond, Robert F., Assistant Professor (voluntary),
Emergency Medicine, 8/18/93 through 6/30/94.

Coleman, Royce D., Assistant Professor (voluntary),
Emergency Medicine, 8/18/93 through 6/30/94.

*Special Title Series

Dempsey, Jerry E., Assistant Professor (voluntary),
Family Practice, 8/18/93 through 6/30/94.
Habenicht, David W., Assistant Professor (voluntary),
Emergency Medicine, 8/18/93 through 6/30/94.
Hadley, Robert W., Assistant Professor, Pharmacology,
9/1/93 through 6/30/94.
Hall, Thomas M., Assistant Professor (voluntary),
Psychiatry, 8/18/93 through 6/30/94.
Kaetzel, David M., Jr., Assistant Professor,
Pharmacology, 9/1/93 through 6/30/94.
Nypaver, Michele M., Assistant Professor (part-time),
Emergency Medicine, joint appointment as Assistant
Professor (part-time), Pediatrics, 8/18/93 through
6/30/94.
Ormond, David E., Assistant Professor (voluntary),
Emergency Medicine, 8/18/93 through 6/30/94.
Pena, Deogracias R., Assistant Professor (voluntary),
Family Practice, 8/18/93 through 6/30/94.
Robertson, Henry D., Assistant Professor (voluntary),
Emergency Medicine, 8/18/93 through 6/30/94.
Shurling, Thomas L., Assistant Professor (voluntary),
Psychiatry, 8/18/93 through 6/30/94.
Tuttle, Douglas R., Assistant Professor (voluntary),
Emergency Medicine, 8/18/93 through 6/30/94.
Wells, Raymond D., Assistant Professor (voluntary),
Family Practice, 8/18/93 through 6/30/94.
Wrede, David A., Assistant Professor (voluntary),
Emergency Medicine, 8/18/93 through 6/30/94.

College of Nursing

Alexander, Brenda, Instructor*, 8/1/93 through 6/30/94.
Eades, Bernice M., Instructor (temporary), 8/23/93
through 6/30/94.
Scheideberg, Donna, Assistant Professor*, 8/1/93
through 6/30/94.
Wells, Janet S., Instructor (part-time), 8/1/93 through
6/30/94.
Wilson, Esther A., Instructor (part-time), 8/23/93
through 6/30/94.

College of Pharmacy

Arcot, Santosh S., Assistant Professor, 9/1/93 through
6/30/94.
Aziz, Shewan M., Assistant Professor, 9/1/93 through
6/30/94.
Chavan, Ashok J., Assistant Professor, 9/1/93 through
6/30/94.
Figg, W. Douglas, Assistant Professor (voluntary),
9/1/93 through 6/30/94.

B. ADMINISTRATIVE APPOINTMENTS

College of Medicine

Nelson, Kevin R., Associate Professor (with tenure),
Neurology, named Acting Chair, Neurology, 8/1/93
through 6/30/94.
Salcido, Richard (Assistant Professor) (without tenure),
Rehabilitation Medicine, named Acting Chair,
Rehabilitation Medicine, 7/2/93 through 6/30/94.

C. JOINT APPOINTMENTS

College of Medicine

Mainous, Arch G., Assistant Research Professor, Family
Practice, joint appointments as Assistant Research
Professor, Psychiatry and Medicine, 8/18/93
through 6/30/94.
Nichols, Michael R., Assistant Professor (voluntary),
Behavioral Science, joint appointment as Assistant
Professor (voluntary), Psychiatry, 8/18/93 through
6/30/94.

D. PROMOTIONS WITHOUT TENURE

College of Medicine

Beckman, Alan C., from Clinical Instructor, Radiation
Medicine, to Clinical Assistant Professor,
Radiation Medicine, 7/1/93 through 6/30/94.

E. CHANGES

College of Medicine

Blades, Deborah A., from Assistant Professor, Surgery,
7/1/93 through 6/30/94, to Assistant Professor,
Surgery, 7/19/93 through 6/30/94.
Haney, William H., from Assistant Professor*, Emergency
Medicine, joint appointments as Assistant
Professor*, Medicine and Pediatrics, to Clinical
Assistant Professor, Emergency Medicine, joint
appointments as Clinical Assistant Professor,
Medicine and Pediatrics, 8/18/93 through 6/30/94.
John, William J., from Clinical Assistant Professor,
Medicine, to Assistant Professor *, Medicine,
8/18/93 through 6/30/94.

Ostapchuk, Michael, from Assistant Professor (voluntary), Pediatrics, joint appointment as Assistant Professor (voluntary), Family Practice, to Assistant Professor*, Pediatrics, joint appointment as Assistant Professor*, Family Practice, 8/30/93 through 6/30/94.

Rodgers, Janet B., from Assistant Research Professor, Surgery, to Assistant Professor (part-time), Surgery, 7/1/93 through 6/30/94.

Summer, Brian M., from Assistant Professor, Medicine, 7/1/93 through 6/30/94, to Assistant Professor, Medicine, 7/15/93 through 6/30/94.

Wrightson, Alan S., from Assistant Professor (voluntary), Family Practice, to Clinical Assistant Professor, Family Practice, 9/1/93 through 6/30/94.

F. LEAVES OF ABSENCE

College of Medicine

Anderson, James W., Professor (with tenure), Medicine, sabbatical leave at full salary, 8/1/93 through 1/31/94.

Griffith, Elizabeth A., Instructor (part-time), Pediatrics, leave of absence without pay, 7/1/93 through 7/31/93.

Office of the President
August 17, 1993

PR 3 A

Members, Board of Trustees:

APPLICATION OF DR. JOSEPH MURRAY HAYSE
FOR PROMOTION AND TENURE

Recommendations:

1. That the Board consider the application of Dr. Joseph Murray Hayse for promotion to the rank of Associate Professor, with tenure, in accord with the Injunction of the Franklin Circuit Court attached to this action item.

2. That the Board approve or disapprove Dr. Hayse's application for promotion to the rank of Associate Professor with tenure.

Background:

A trial involving the promotion and tenure of Dr. Hayse was concluded in 1986. Following various appellate court proceedings the Courts of Kentucky have ordered that Dr. Hayse's application for promotion and tenure be considered by various University officials and by the Board of Trustees.

The Board is advised that the recommendations of University officials are as follows:

Dr. Charles T. Wethington, Jr. President	- Recommends denial of promotion and tenure
Dr. Robert Hemenway Chancellor - Lexington Campus (Dr. Hemenway is performing the function of the former Vice President for Academic Affairs)	- Recommends denial of promotion and tenure

Humanities and Arts
Area Advisory Committee

- Recommends denial of
promotion and tenure

Dr. David Durant
Chair - Department of English
(Dr. Durant is performing the
function of the former Dean
of Undergraduate Studies)

- Recommends denial of
promotion and tenure

The complete file regarding Dr. Hayse's application
for promotion and tenure is available for inspection by members
of the Board in the office of the President during regular
business hours.

Action Taken: Approved _____ Disapproved ✓ Other _____

Date: August 17, 1993

COMMONWEALTH OF KENTUCKY
FRANKLIN CIRCUIT COURT
CIVIL ACTION NO. 79-CI-0437

DR. JOSEPH MURRAY HAYSE

PLAINTIFF

VS.

INJUNCTION

FILED

BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY and
DEAN JOHN B. STEPHENSON

DEC 15 1992

DEFENDANTS

FRANKLIN CIRCUIT COURT
JANICE MARSHALL, CLERK
** ** ** ** **

IT APPEARING that on November 9, 1989, the Supreme Court of Kentucky reversed the judgment heretofore entered in favor of the Defendant, Board of Trustees of the University of Kentucky with respect to the equitable relief sought by Plaintiff, Dr. Joseph Murray Hayes, with direction that such Defendant be enjoined to consider the application of Plaintiff for promotion to the rank of Associate Professor, with tenure, ab initio under the rules and regulations pertaining thereto at the time tenure was denied him,

AND IT FURTHER APPEARING that Plaintiff's complete dossier in support of his application for promotion to Associate Professor, with tenure, has been part of the record of this action since the completion of the trial in February, 1986,

IT IS HEREBY ORDERED AND ADJUDGED

1. That the Plaintiff's application for promotion and complete dossier original documents shall be removed from the record and furnished to Counsel for the Defendant, Board of Trustees of the University, to be used as the official file and dossier for an ab initio consideration of Plaintiff's application under the rules and regulations pertaining to the granting of

promotion to Associate Professor, and the granting of tenure, as they were duly enacted and in effect in 1978.

2. That said dossier shall include:

- a) The complete vita of Dr. Joseph Murray Hayse.
- b) the letter of recommendation from Dr. Robert O. Evans, then Director of the University Honors Program.
- c) all letters of recommendation from the faculty of the University Honors Program.
- d) all letters of evaluation from scholars outside the University of Kentucky who were familiar with Dr. Hayse's abilities.
- e) a letter of recommendation from the Honors Program Student Advisory Committee, with the teaching evaluation summaries provided by Dr. Evans and approved by Dean John B. Stephenson.
- f) all copies of annual Faculty Performance Reviews and Distribution of Effort Agreements for the years Dr. Hayse was employed as an Instructor and as an Assistant Professor in the University Honors Program, said Reviews and Agreements have been provided by Dr. Evans and approved by Dean Stephenson.
- g) copies of Dr. Hayse's scholarly work, as listed in the vita, including work in progress which was part of the dossier in 1977-78.

3. That the Clerk of this Court be, and she hereby is, authorized and directed to remove from the record the documents and exhibits mentioned in the preceding paragraph and furnish same to Counsel for Defendant, Board of Trustees; that the Clerk be, and she hereby is, directed to be caused to be placed in the record of this action a detailed itemization of the exhibits and documents furnished to Counsel for Defendant, Board of Trustees, signed by the Counsel to whom the documents and exhibits are furnished, and dated on the date when furnished;

4. That, in accordance with the University's Governing Regulations and Administrative Regulations, duly enacted and in effect in 1978, the following procedures shall be followed:

- a) the Dean of Undergraduate Studies shall review the file for completeness, add his endorsement or commentary, and send the file to the Vice-President for Academic Affairs.
- b) the Vice-President for Academic Affairs shall review the file for completeness and forward it to the Academic Area Advisory Committee on Humanities and Arts. The Vice-President shall also maintain the file and a record of all proceedings.
- c) The Area Advisory Committee shall review the dossier, evaluate the applicant's scholarly research (including the "work in progress") and report their findings to the Vice-President for Academic Affairs. If the Area Advisory Committee feels they lack sufficient expertise in Dr. Hayse's particular field, they may request the Vice-President to appoint an ad hoc committee of specialists to advise them. In such case, their report must include the opinion of the members of the ad hoc committee.
- d) Upon reviewing all the evidence and recommendations, the Vice-President shall add his recommendation and forward the file to the President of the University of Kentucky.
- e) The President shall make a recommendation to the Board of Trustees of the University of Kentucky.
- f) The Board of Trustees shall approve or disapprove the application.

That the ab initio consideration of the application of Dr. Hayse's for promotion to the rank of Associate Professor, with tenure, shall be carried out pursuant to the preceding procedures, except that David Durant, Chairman of the Department of English, shall undertake the responsibilities of the Dean of Undergraduate Studies, and that Robert Hemenway, Chancellor, Lexington Campus, shall undertake the responsibilities of the Vice-President for Academic Affairs.

It is understood that the members of the Area Advisory

Committee (Arts & Humanities) are, as follows:

Jeremy Popkin (Chairperson)
Daniel Breazeale
Oscar Dathorn

Bruce Eastwood
Joseph R. Jones
John Tuska (Alternate)

5. That no person who participated in the review process for the promotion to Associate Professor or the granting of tenure to the Plaintiff, Dr. Joseph Murray Hayse during the 1977-78 academic year, nor any person who has participated in any capacity in the ensuing civil action, shall take any part, or attempt in any way to influence, the Board of Trustees. Counsel for the Defendant, Board of Trustees, shall carry out the functions specified herein, but no more;

6. That all persons involved in the procedure shall be bound by the rules and regulations of the University pertaining to promotion to Associate Professor and the granting of tenure according to the rules in effect in 1978;

7. That Administrative Regulation II-1.0-1 IV shall be followed as the appropriate criteria for evaluation of Plaintiff's application for promotion to the rank of Associate Professor, with tenure, all persons charged with the review; that Administrative Regulation II-1.0-1 IV provided, as follows:

IV. Criteria of Evaluation for Faculty Appointment and Promotion

A. Areas of Activity

Four areas of activity are important in the evaluation of faculty for appointment and promotion: (1) teaching, including both formal classroom activities and informal influence on students' growth; (2) research and other creative productivity; (3) professional status and activity; and (4) University and public service.

Since all appointments and promotions will be made on the basis of merit, a detailed statement on each of these areas will

serve as a guide to review committees evaluating the accomplishments of a faculty member. These criteria apply to persons appointed in the regular title series.

1. Teaching and Student Relations

Markedly superior teaching and advising are recognized as distinct values and should be used as evidence for appointment and promotion. Recognition should also be taken of a faculty member's contribution to student welfare through service on student-faculty committees or as an advisor to student organizations.

Objective evidence of the quality of teaching must be obtained and used in the final report. Such evidence should consist of: (1) reports by colleagues qualified in the field; (2) evaluations by students and, if available, graduates; and (3) when appropriate, the subsequent accomplishments of graduates whose major work has been supervised by the individual under consideration.

Colleges, working through appropriate University bodies, shall develop some means to evaluate the quality as well as the quality of academic advising done by each faculty member. As this procedure is developed and implemented, the results of this evaluation shall be considered in the annual performance review and in the decisions concerning retention and/or promotion of each faculty member.

2. Research and Other Creative Productivity

The individual under consideration must show evidence of continuing research or creative activity in his particular field. Normally, publication in whatever form considered appropriate for the field will constitute this evidence. Evaluation of the quality of this publication is imperative, and specialists in the field from both inside and outside the University should be called upon to testify to the value of the individual's research.

Since certain types of research or creative work require a longer period of development before publication than do others, evaluation should also be made of work in progress, particularly in cases where retention is involved. It should be understood that in certain activities, "publication" as used in this document may be achieved in modes different from those of the sciences and the book-based disciplines.

3. Professional Status and Activity

The demonstration that the abilities of the individual under consideration are recognized outside the University is

important in evaluation, but it must be understood that such recognition must be weighted according to rank. Obviously, a candidate for the lowest rank will not be likely to have achieved wide recognition. There are many ways in which extramural recognition may be evidenced, and those entrusted with evaluation will use the kind of evidence appropriate to their fields. Qualitative rather than quantitative judgments should be made.

4. University and Public Service

Effective participation in activities appropriate to the formation of educational policy and faculty government, and effective performance of administrative duties are to be taken into consideration in the evaluative process. A service component is a normal part of a faculty member's obligation to the University.

Service to the community, state and nation must also be recognized as positive evidence for promotion, provided that this service emanates from the special competence of the individual in his field and is an extension of his role as a scholar-teacher. Public service unrelated to this role does not constitute evidence for appointment, promotion or a merit increase.

B. Balance and Intellectual Attainment

A major consideration in any appointment or promotion which carries tenure must be superior achievement in the various activities discussed in the preceding paragraphs. While the proportion of these activities may vary in terms of the individual's assignments and his specialty, it must be recognized that superior intellectual attainment is evidenced both by the quality of the individual's teaching and the quality of his research or other creative activity. Ideally, individuals selected for the tenure ranks should demonstrate superiority in all of the major criteria discussed here, and while special circumstances may cause the weight of emphasis on each to vary, care must be taken to insure that outstanding performance in a single activity does not obliterate the other factors that should be considered in evaluating academic excellence.

C. General Criteria for Ranks

Although it would be impossible to specify the exact criteria for judging an appointment or promotion to any one particular rank, a few general statements are made as guides to review committees.

1. Assistant Professor

Appointment or promotion to the rank of assistant professor should be made when it has been determined that the individual has a current capability for good teaching, research and University service and a potential for significant growth in these areas, and the terminal degree appropriate to his field.

2. Associate Professor

The promotion to associate professor should be made only after an indication of continuous improvement and contribution of the individual both in teaching and research or other creative productivity. Furthermore, the individual should have earned some regional recognition for excellence in his field.

3. Professor

A promotion to the rank of full professor is an indication that in the opinion of colleagues, this individual is outstanding in teaching and in research or other creative productivity and has earned national and perhaps international recognition. It should be further stressed that this rank is a recognition of attainment rather than of length of service.

8. That the annual Faculty Performance Reviews for Dr. Hayse, as well as all Distribution of Effort Agreements in effect for Dr. Hayse's period of employment as Instructor and as Assistant Professor in the Honors Program, as provided by Dr. Evans and approved by Dean Stephenson under Administrative Regulation II-1.0-5, shall be considered in evaluating and judging the relative weight of Plaintiff's performance in each of the four areas of activity: (1) teaching; (2) research and other creative productivity; (3) professional status; and (4) University and public service; that Administrative Regulation II-1.0-5 provided (in part), as follows:

1.1 . . . the performance review will determine for each faculty member both a quantitative assessment and a qualitative judgment of his activities during the review period in teaching and advising, research and scholarship, University and public service, and other appropriate activities with relative weighing based on a prior agreement pertinent to the distribution of effort among any or all of these activities.

2.0 Reviews are to be based upon the distribution of effort performed by the faculty member.

.

2.3 A written agreement is to be developed annually between the unit administrator and the faculty member on the distribution of effort expected of the faculty member in his major activities during the succeeding year. In case of a significant change in the faculty member's distribution of activities during the review period, an appropriately revised agreement is to be negotiated. An individual who is hired with the prospect of becoming a tenured faculty member shall be assigned duties by the unit commensurate with making due progress towards meeting requirements for tenure. The annual performance review of each non-tenured faculty member shall include some discussion with the unit administrator of the individual's progress toward consideration of tenure in terms of the unit's expectations.

9. That all persons charged with the review of Plaintiff's application for promotion to the rank of Associate Professor, with tenure are to be furnished an attested copy of this Injunction by Counsel for the Defendant, Board of Trustees; that all such persons are to abide by this Injunction.

10. That, because the evidence in support of the applicant's research has been part of the court record, and is exactly as it was first submitted, cognizance shall be taken of the fact that the scholarly work to be evaluated has not been reworked, and no penalty may be assessed for any ignorance or omission of reference to research that has been publishes since 1977;

11. That the University of Kentucky's Governing Regulation VIII, B, 4 provided:

The Dean is the spokesman for his College. In the event he feels it necessary to depart from the recommendations of his college faculty, he must communicate the College faculty's recommendation as well as his recommendation and notify the faculty of his actions. . . .

that Administrative Regulation II, C provided:

Whenever a recommendation to promote is disapproved at any level, this fact must be reported back to the preceding levels with supporting reasons and an opportunity provided for a thorough discussion of the recommendation among the concerned parties.

That by reason thereof, the Dean of Undergraduate Studies, the Vice President, members of the Area Review Committee, and any person serving on an ad hoc committee shall make their evaluations and recommendations in writing, stating the reasons for their judgment. These statements shall be made a part of the official dossier, and copies of them shall be furnished to attorneys for all parties; that Counsel for Defendant, Board of Trustees, is directed to cause to be filed in the record herein a true copy of each of such statements;

12. That the clerk be, and she hereby is, directed to forward an attested copy of this Order to attorneys for the Defendants:

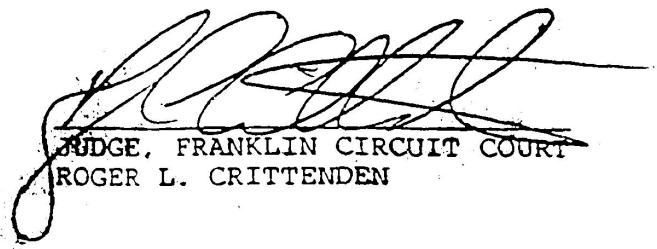
John C. Darsie
Gay M. Elste
Office of Legal Counsel
Room 2, Administration Building
University of Kentucky
Lexington, Kentucky 40506-0032

Chauncey s. R. Curtz
Debra H. Dawahare
Penny R. Warren
Wyatt, Tarrant, & Combs
Lexington Financial Center
Lexington, Kentucky 40507

and to attorney for Plaintiff:

William C. Jacobs
173 North Limestone Street
Lexington, Kentucky 40507

It is so ordered this 14 day of December, 1992.



JUDGE, FRANKLIN CIRCUIT COURT
ROGER L. CRITTENDEN

Office of the President
August 17, 1993

PR 3B

Members, Board of Trustees:

NAMING OF UNIVERSITY BUILDING - CLIFF HAGAN STADIUM

Recommendation: that the Board of Trustees approve the name "Cliff Hagan Stadium" for the new baseball stadium.

Background: Cliff Hagan's association with the University spans four decades, and his influence on the University of Kentucky and the Lexington community has been substantial in terms of both programs and facilities.

Cliff Hagan was extremely supportive of all non-revenue sports, but particularly of the baseball program. He employed the coach, Keith Madison, who has built today's University of Kentucky baseball program to a national contender. He oversaw the lighting of the baseball field, as well as improvements to the stadium and locker-room facilities. His love for the University of Kentucky baseball team was obvious to those who saw him in the stands at practically every home game, and at many of the away games. Naming the baseball stadium in his honor would be a fitting and meaningful tribute to Cliff Hagan.

Cliff Hagan is a native Kentuckian who enjoyed tremendous success as a high school athlete before coming to the University of Kentucky where he was a two-time All-American basketball center under coach Adolph Rupp. He went on to a highly successful National Basketball Association career with the St. Louis Hawks. He returned to the University of Kentucky as Assistant Director of Athletics. In 1975 he replaced the late Harry C. Lancaster as Athletics Director and, during his 13-year tenure, oversaw an era of unprecedented growth and success for University of Kentucky Athletics. He was instrumental in establishing the Center for Academic and Tutorial Services (CATS), which was the first center of its kind in America. He played a key role in the fundraising and building of most of our current athletics facilities.

This proposed action is presented with the recommendation of the Director of Athletics and the advisory Committee on Naming University Buildings.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

Office of the President
August 17, 1993

PR 3C

Members, Board of Trustees:

NAMING OF UNIVERSITY BUILDING - NUTTER FIELDHOUSE

Recommendation: that the Board of Trustees approve the name "Nutter Fieldhouse" for the new fieldhouse building located adjacent to Commonwealth Stadium.

Background: The Nutter family has a long history of commitment to the University of Kentucky, and they have been most generous in providing financial support to many aspects of the academic and athletic program.

The Nutter family provided the major gift for the new fieldhouse, and the fieldhouse facility became a reality due to their financial support.

The Nutter family relationship with the University of Kentucky dates back to the Bernie Shively and Paul "Bear" Bryant era, when Ervin Nutter was employed as a student electrician in Alumni Gym. Ervin, Bob and Ken are all graduates of the University of Kentucky. In addition to Ervin's tireless fundraising efforts, the family's own contributions place them among the most generous donors to this institution.

This proposed action is presented with the recommendation of the Director of Athletics and the advisory Committee on Naming University Buildings.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

Office of the President
August 17, 1993

PR 4 A

Members, Board of Trustees:

CANDIDATES FOR DEGREES--COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed.

Background: At the November 12, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and the Chancellor.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993.

ASHLAND COMMUNITY COLLEGE

Associate in Arts

BLATT GARY R
CHILDERS JAMI M
DAVIS BETHANY D
ELLIS TOMMI G
OSBORNE JENNIFER S
PARKER RYAN D
SALLEY BEVERLY A WELLMAN
WHITLOCK ROSE M SHELTON

Associate in Science

HOLLEY CAROLYN S MOORE
LANG ANDREW J
MENSHOUSE LARRY K II
RAY-STATON KIMBERLY D

Associate in Applied Science

BLAKE DONALD V
CAMPBELL MARLA K HALE
CAREY MARK A
CRAWFORD MARCELLA T
CRUM STERLING JR
GIBSON JOSEPH W
HALE CHARLES D
HOLBROOK RENA Y SPARKS
KIDD DELLA K
ROSE KAREN R
STALEY MARK A
WILSON SEAN C

ELIZABETHTOWN COMMUNITY COLLEGE

Associate in Arts

BELL SHIELA R
BIDDLE BONNIE L
BLAKE KIERAN J
BROWN MICHELLE L
BURNS KIMBERLYN
CHANDLER JILL R
CREIGHTON NATALIE P
CROUCH SERENA L
DINGER MICHAEL G
DOWELL ROBIN L
DRAKE SUELLYN M
FERRY DONALD E
FLEMING ROSEMARY
GRAHAM RONALD P
HARRINGTON DENISE J
HAYNES DENISE M
KLEIN THEODORE J
MARTIN DOUGLAS R
MULLINS LEONARD R
OVESIN TAMMY L
PATTERSON EDWARD
RICHARDSON JAMES D II
SHIRES ROGER S
SINGER LESHIA A
TILLET LISA G
UPTGRAFT NANCY P
VANMETER MARY E
WEED JAMES M
WEST DONNA L
WILLIAMS MATTHEW C
WILMOTH WILLIAM R

Associate in Science

ALLEN JOAN P
ELLIOTT MARY JANE L

HAWKINS BILLIE J
HOLMES BERNADETTE C
LAFOLLETTE BRYAN E
PRIDY JENNIFER G
RICHARDSON JACKIE H
ROBERTS GEORGIA E
SMITH STEPHEN B
WOODRING SHANNON G

Associate in Applied Science

BROOKS KATRINA E
CARTER PAMELA K
CLEAVER BRIAN A
MARTONE JOSEPH P
PARNELL ANGELA M
PAUL JULIE L
SHELTON WILLIAM J
SKEETERS DONNA L

HAZARD COMMUNITY COLLEGE

Associate in Arts

BURTON HENRIETTA
FRALEY CRYSTAL
NAPIER BRIAN M
NOBLE MARK A
POTTER DIANA
SHORT JUANITA
SLONE SHERRY
TURNER PEGGY
WHITE SHARON

Associate in Science

BANKS DONALD R
HUFF SHAWN

Associate in Applied Science

COLLINS MELISSA
MORGAN B DOUGLAS

HENDERSON COMMUNITY COLLEGE

Associate in Arts

HENRY RAYMOND B
VINCENT KEEVIE L

Associate in Science

KUESTER CHRISTINA M

Associate in Applied Science

BLAIR LINDA R
DAVIS TRUDY H
LOVE TONY J
RINGSTAFF KIMBERLY A
THURSTON MICHELE E
UTLEY SHERRIE N
WEIS RITA M
WOLFE MYRNA L

HOPKINSVILLE COMMUNITY COLLEGE

Associate in Arts

BARTOSCH JULIA A LINDER-TAUBE
BEACH CAROLE M BRABOY
BELL MARY A
BRUZESKI JOHN F
CURRAN-WALLE EVA A
FULLER ROBIN LEA
GEMBAR CHERYL L
GRAVES WILLIAM A

GREENFIELD DONNA M
HENDRICKS CARTER M
JOHNSON NICOLE L
KITTELL JULIA A GUTTERRIDGE
MAYNARD PENNY M GREEN
SAILSMAN JOYCE A POSELL-CURRIE
SCHUMACK KIMBERLY S HOWELL
TAYLOR JOHN

TENASSE EUGENE T

TUCKER DANIEL DEAN
WILLIAMS NANCY A GERHARDT
WRIGHT VICTOR B
YARBROUGH PHILIP B

Associate in Science

CARDWELL DEBRA L POE
FINCH SHELONDA B TAYLOR
GOLD EDWARD L
WILLIAMS TAMARA D DOWELL

Associate in Applied Science

BINKLEY MICHAEL W
BOYD WILLIAM D
BRASWELL DIANA LYNN BEAVER
BROCK BRETT C
BROCK SHANNON M OLSON
BROWN BARBARA C REHBEIN
CREEKMUR DELLIE R
CROSS SONYA ANNE MANTOOTH
CURTIS MARIA-TRINIDAD TIMBERS
EDENS CHERI L LILES
ESTEP KENNETH D
FAULKENBURG STEVEN W
HALL JENNIFER MARIE
HEAGREN MARY ANN NICHOLS
MASON LISA A
MILLIKEN PAULA F
PABON DENISE
PATTERSON HOLLY JO WYATT

RUST RENITA MICHELLE CORRELL
SELLS ALICIA KAYE
TEDDER TAMMIE M

JEFFERSON COMMUNITY COLLEGE

Associate in Arts

AIKMAN REX III
AMOS JAMES
BOBIAN MICHELLE
BOLIN LISA
BRADY CHARLES
COOK KAREN
GRIFFIN MYRON
GRIMES LONETTA
HACK ANGELA
HALL CYNTHIA
HARPER GINA
MAYES GEORGE
MCCOY ANGELA
MOOCK SHARON
MOORE TARSHA

MULLADY MICHELLE
RAEBER ROBERT
REEB EMILY
ROBINSON CARREY
SCHNEIDER MARY
SLUSSER JANICE
SOLOMON DANA
STEARMAN KAREN
STIVERS LAWRENCE
STURGEON MARK
WIGGINS TONIA
WILSON KAREN

Associate in Science

HAWKINS CELIA
MCINTIRE JUDITH

OLIN JUDY
RENFR MONICA
WILLIAMS SCOTT

Associate in Applied Science

ALLEN-STONE EVA
BAETE MICHAEL
BAILEY WILLIAM
BAKER DANIEL
BRYANT THOMAS
CAREY BRYAN
CARPENTER ROBERTA
CHAPMAN ANGELA
CLARK DEBBIE
COX JAMES II
DOUGLAS SANDRA
GARDNER JEFFREY
HEICKEN MICHAEL
HOWELL DANNY
MC CREIGHT JEAN
MILLER DOUGLAS
O'NEAL DARRIN
POLL OPAL
REHM SUSAN
ROBERTSON BARBARA
SACHLEBEN BART
SMITH GEORGE
WOERNER JANE

LEXINGTON COMMUNITY COLLEGE

Associate in Arts

BEAM MARK E
BUCKLEY RICHARD NEILL
CROWE DUANE DAVID
GOINS JEANINE D
GRIFFIN DAVID KEITH
HELLMUELLER NANCY L

HOSACK SUZANNE
LAWHORN KEVIN A
MATTINGLY TRACY
MCCANN JOANNA L
OWENS STEPHANIE JO
SMITS BENJAMIN WILLIAM
ZGODA TAMARA M

Associate in Science

BRYAN ALAN A
HAWK AMBER S
KNOWLTON JAMES P
LONG CHERYL DAWN
PREWITT LINNIE A
REYNOLDS JACQUELINE N
SMILEY MICHELE R
VANOUTER KERRI L

Associate in Applied Science

APSITIS ERICK JAMESON
BERRYMAN MICHAEL A
BRYANT PHILLIP A
CALKINS JOHN KEVIN
CASH CHRIS F
CROCKETT GERALD W
DAY DAVID ANDREW
FORBES DOUGLAS M
GILBERT JAMES C
KASH CAROLYN SUE
KING KELVIN CARSON
ROARK TIMOTHY HARDMAN
SHORT BRADFORD T
SIMPSON MARY E
ZUHARS LEE A

MADISONVILLE COMMUNITY COLLEGE

Associate in Arts

BRANSON DAVID
BROWN PHILLIP ALLEN
EATON JANE
HANCOCK KATHY
PUTMAN MIKE
RAGER RUBY
SCHNETLAGE DAVID
SHADE AVA
SMITH HEATHER

Associate in Science

FITZHUGH BURT
STULL KENNETH JR

Associate in Applied Science

COUCH DAPHNE
EDMISTON LARRY
MATHEWS LAURA
RALEY DEBRA
WELLS LISA

MAYSVILLE COMMUNITY COLLEGE

Associate in Arts

GATHERWRIGHT SHARON J
MILLER TIMOTHY D
WISE KELLY

Associate in Science

MCFARLAND SHAWNA C

Associate in Applied Science

CAMPBELL LINDA D
ELLIOTT RODNEY L
GIFFORD KATHY M
GULLEY MELANIE Y
REESE MELISSA M

OWENSBORO COMMUNITY COLLEGE

Associate in Arts

BARNES MALCOLM S
COOPER LESLIE M
DAVIS ERIC MARTIN
EMBRY KIMBERLY ANN
FENWICK STACY DAWN
GARDNER COREY LAYNE
HAYDEN HARRIETTE ANN
MONTGOMERY JASON DAVID
SETTLE BEVERLY DIANNE
SHAFFER CLYDE EVERETT
SISSON RHONDA SCHERF
STROUD TOMMIE JO
TERRY LENORA S
VANTUIN WILLIAM MATTHEW

Associate in Science

ATHERTON CHANCE LEON
BAKER ANDREA BRADLEY
CRUMBLEY LISA ANN
MILLER KELLY LYNN

Associate in Applied Science

BELL JOHN WILLIAM
CARWILE JOHN ALLEN
GARRETT RONALD LEE
HIGDON JENNIFER BOEHMANN

JOHNSON DONNA K
MCDANIEL PAULA FAYE
RALPH MICHAEL E
TRAIL VALOY WIPF

PADUCAH COMMUNITY COLLEGE

Associate in Arts

BURCHETT JENNIFER RENEE
CARTER MARY ANN
DURRETT JONATHAN
GERLOCK CYNTHIA ANN YOAKUM
GOURIEUX NANCY
GRAHAM KATHRYN ANN
GREEN JENNIFER ANN
JOLLY JENNIFER RENEE
KING SARAH KAY
KNIGHT SUSAN PETTIT
LAWLESS ALICIA LYNN
MAY ANGELA KAY
ROBINSON JEROME ANTHONY
STIMSON ROBBIN LYNN
WILKERSON MICHELLE LEIGH
WILLIAMS JOSEPH ANTHONY
WOODALL WENDELL DAVID

Associate in Science

ADAMS WILLIAM EDWARD III
BANKS KEVIN L
BARNETT KATHY LANENE
BELL STEPHANIE L
BURNETT ERIC WAYNE
COLEMAN DAVID KEITH
DEBARTOLIS KATHERINE R
DOWELL DANNY ALAN
DRAW VERONICA MARTIN
DUNAWAY TINA MICHELLE
FERRELL JIMMY BRENT

FIELDS CLARISSA ANN
FULLER ROBIN RENEE'
GRONEFELD KRISTA LEIGH
JORDAN JOSEPH TODD
LYLES ADRIANNA LEE
MALONE PAMELA JO
MCNICHOLS LESLI RAE
MITCHELL JAMES STEVEN
POPE RENEL SHAY
POTTS RANDLE EUGENE
SCHNEIDER BRADFORD A
SMITH PATRICIA COLLEEN
TINSLEY MONICA LEIGH
WURTH LOUIS E
YOUNG MARY CHERYL

Associate in Applied Science

CAIN JERRY
STOOKSBURY LORA KAY

PRESTONSBURG COMMUNITY COLLEGE

Associate in Arts

ADKINS KATHY A
BARNETTE VANESSA L
JOHNSON ANGELA M
JOHNSON JOHN D
LAYNE PAULA S
LOVETT MICHELLE L
LUCAS MELISSA
ROBINSON JOHN C
SCHUL HELEN M

Associate in Science

HICKS BRENDA
OWENS JAMES W

Associate in Applied Science

CANTRELL KEITH N
SILER ANTHONY M
SPRINGER ROBERT F JR

SOMERSET COMMUNITY COLLEGE

Associate in Arts

BERNARD TAMMIE
DOBBS SHANDA LYNN
EDWARDS KIM G
ROGERS DAVID B
VANOVER DONNA A
WADDLE JEFFREY S

Associate in Science

MOOTOOR YUNANAN
PHILLIPS CHRISTOPHER M

Associate in Applied Science

WADE KRISTIE L

SOUTHEAST COMMUNITY COLLEGE

Associate in Arts

BLACK SHERYL
BREEDING LORETTA
CAMPBELL SHANNON
COLDIRON ELIZABETH
CREECH CARLOS
DAVENPORT RICHARD
FRY VIVIAN
GROSS KAREN
HENSLEY CHRISTOPHER

HENSLEY EGRETTA
MILWEE EURETHA
SHOEMAKER BRIAN
TURNER DELILAH
WOOD CHAD

Associate in Science

COLINGER SHEBA
EVANS CHARLES
GILPIN JAMES
HENSLEY LORETTA
HOWARD OREN
JOHNSON LEMUEL
LATIFF RANDAL
LEWIS DAVID
NOLAN ANTHONY

Associate in Applied Science

DAVIS DEBORAH
HALL LOLA
STEPHENS KENNETH

Office of the President
August 17, 1993

PR 5 A

Members, Board of Trustees:

CANDIDATES FOR DEGREES
UNIVERSITY SYSTEM

Recommendation: that the President be authorized to confer upon each individual whose name appears on the list presented herewith the degree to which he/she is entitled, upon certification by the Registrar and approval by the University Senate, that the individual has satisfactorily completed all requirements for the degree for which application has been made.

Background: Each individual whose name appears on the attached list completed the work leading toward the degree for which application was made at the close of the 1993 Summer Session.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

0871

OFFICE OF THE UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES
July 22, 1992

GRADUATE DEGREES

Ph.D.	134
D.M.A.	6
Ed.D.	6
Ed.S.	6
M.A.	87
M.A. EDU.	44
M.A. I.D.	2
M.A.T.	1
M.A.T.C.	1
MBA	9
MHA	3
M.M.	5
M.P.A.	5
M.R.C.	3
M.S.	91
M.S. Acct.	1
M.S. AGR. E.	1
M.S. BIO. E.	2
M.S. CHE. E.	3
M.S. CIV. E.	11
M.S. C.NUT	1
M.S. DEN.	5
M.S. EDU.	26
M.S. E.E.	22
M.S.E.M.	1
M.S. FAM. S.	7
M.S. FOR.	1
M.S. L.S.	48
M.S. MAEN	6
M.S. ME	12
M.S. MIN.	6
M.S. NUR.	4
M.S.O.R.	2
M.S.P.H.	3
M.S.R.M.P.	7
M.S.W.	55

TOTAL 627

PROFESSIONAL DEGREES

D.Pharm.	1
J.D.	1

TOTAL 2

UNDERGRADUATE DEGREES

Agriculture	
B.S. AGR.	14
B.S. FOR.	3
B.S. L.A.	2

TOTAL 19

Allied Health	
B.H.S.	11

TOTAL 11

Architecture	
B. ARCH.	23

TOTAL 23

Arts and Sciences	
B.A.	159
B.G.S.	3
B.S.	43

TOTAL 205

Business and Economics	
B.B.A.	72
B.S.A.	41
B.S. B.E.	2

TOTAL 115

Communications & Information Studies

B.A.	25
B.S.	6

TOTAL 31

Education

B.A.	20
B.S.	1

TOTAL 21

Engineering

B.S. CHE. E.	3
B.S. CIV. E.	3
B.S. E.E.	8
B.S. MEAN.	1
B.S. M.E.	2
B.S. MIN. E	1

TOTAL 18

Fine Arts

B.A.	16
B.M.	2
B.M. EDU.	2

TOTAL 20

Human Environmental Sciences

B.A. ID	3
B.A. DIE.	3
B.A. FAM	16
B.S. H.E.	2
B.S. MAT	10

TOTAL 34

Nursing

BSN	4
-----	---

TOTAL 4

Pharmacy

B.S. PHARM	31
------------	----

TOTAL 31

Social Work

B.A. S.W.	20
-----------	----

TOTAL 20

GRADUATE DEGREES	627
PROFESSIONAL DEGREES	2
UNDERGRADUATE DEGREES	552
TOTAL	1,181

Candidates for Degrees

August 3, 1994

The Graduate School

Dean: Daniel R. Reedy

Doctor of Philosophy

Adams, Donna H.
Akel, Karen Elizabeth
Allen, David D. II
Anderson, Charles Edward
Baker, Marie Kathryn
Baum, Edward Mark
Baur, Matthew Enrico
Bayan, M. R.
Bickett, Laura R.
Blackley, Brian Mark
Blair, Timothy Louis
Bowen, John Terrence, Jr.
Buzby, Jean Carroll
Carrigan, Sarah D.
Carter, David Edward
Cattarello, Anne Marie
Chan, Kin Man
Chung, Moonkyo
Corbitt, Elizabeth Mowat
Cornett, Judy Gail
Correa Morales, Juan Carlos
Dalisay, Rodelio Carmelo Feliciano
Danner, Deborah Duncan
Delfino, John Charles
Dennis, Steven Allen
Dever, Garland Ray, Jr.
Dsilva, Margaret Usha
Earle, Patricia Ann
Einerson, Martha J.
Falconer, Karen Frances
Fornter, Murray A.
Frank, David Wayne
Gaddis, Marcus Damon
Ganesan, Sundarash
Ghosal, Sugata
Goidel, Robert K.
Gooding, Patrick James
Granger, Maury Daryl
Guthridge, Carla Jean (Stearns)
Han, Myeong-Jin
Hanson, Richard H.
Harbol, Margaret Rose
Hausberger, Angela Carol Gatlin
Hauselt, William Jerome, Jr.
Headley, Anthony J.
Hernatz, Maria-Isabel
Herrin, Eric II
Huang, Lingkuen
Indriantoro, Nur
Irvin, Judith Huffman
Jain, Ashu
Je, Yon-Tae

Johnson, Ellis Lee
Johnson, Redonna Chandler
Joseph, Martha Marie Rosentrater
Kane, Kimberly Louise Davis
Kasraian, Kasra
Keener, Gary Wayne
Kelso, Kevin Thomas
Kessler, Theresa Ann
Kim, Jeong-Seok
Kim, Kwang Kee
King, Rebecca Ann
Kirk, Kathleen Louise
Klingaman, Troy Don
Kopitzke, Elizabeth Jean
Kuder, Linda C.
Kulkarni, Mahesh Govind
Kung, Shang-Kuang
Lawanprasert, Aroon
Lawrence, Sally Jane
Leedham, Cynthia Ann
Leland, John Ernest
Li, Cuiping
Little, Thomas VanDeusen
Liu, Jih-Shiou
Lockhart, Shawn Robert
Lomprey, Jeffrey Robert
Longley, Rodney Kyle
Luntz, Benjamin Franklin
McBurnie, Jeffrey C.
McClure, Marianne
McNair, Christopher Louis
Miller, Marilyn Sue
Minns, Shelley Ann
Mohanty, Amiya R.
Montgomery, Sara Jane
Newcomb, John Ward
Nothnick, Warren Ben
Okorley, Emmanuel Tetteh
Philip, Vinod Antony
Rahmati, Mohammad
Rajaram, Shireen Sheela
Ratliff, Judy Lynn
Reinhart, Paul Garnett
Reynolds, Susan Marie
Richins, Richard Dean
Routt, Mary Lou Veal
Rowlett, James Kent
Russell, Todd B.
Schinski, Michael D.
Sethuraman, Vijayalakshmi Anantha
Shan, Minghua
Shear, Elizabeth Beard
Shergill, Birinder Singh
Sloneker, Steven Dean
Smith, Scott Allan
Stansbury, Kevin H.
Stokes, James Martin

Stringer, Jeffrey W.
Sulfredge, Charles David
Tandon, Lav
Tang, Wenliang
Tang, Yalan
Thomas, Gerald Arthur
Tice, Karen Whitney
Tompkins, Daniel Lester
Tori, Cynthia Royal
Trabelsi, Brahim B.
Trice, James Hampton
Tsai, Tsuimin
Tuntatertum, Somsong
Vara-Rust, M. Soledad
Vincent, Teresa Gail
Walmisley-Santiago, Olga Veronica
Wang, Ying
Webster, Roberta Haven
Westerfeld, John Gerard
Wiglesworth, Martin Dale
Winarso, Bambang A.
Xia, Zhinan
Xu, Zhigang
Yang, Jianjun
Yingling, Jeffrey David
Zou, Yi

Doctor of Education

Babbage, Keen Johnson
Jeffcoat, Harold George
Keel, Marie Crowell
Miller, Jean Alderson
Ryall, Colleen Anne
Sanders, Jacqueline Barbara

Doctor of Musical Arts

Beavers, Clyde Edwin
Dean, Johnie Edwin, Jr.
Johnson, Barry C.
Oh, In-Jae
Rathke, Paul Hinman
Smith, Perry D.

Educational Specialist

Cyrus, Kasundra Davette
Henson, Jennifer Sutherland
Kalias, Kathy
Kohler, Mindy Sloan
Reber, David Ray
Stump, Donald Charles

Master of Arts

Barr, Leila Bouguettaia
Berman, Lee Lucas
Blackburn, Heidi Elizabeth
Boguslaw, Mary J.
Brother, Laura Lee

Brumagen, Elizabeth Regan
 Cagle, Brent Edwin
 Calabrese, John Anthony
 Chung, Wai-Keung
 Combs, Christopher Swigert
 Corbett, Joseph Alan
 Crandall, David Dennon
 Dawson, Sara Kathryn
 Diarra, Aisse
 Dimon, Linda Joan
 Duncan, Mary Susan
 Dunccliffe, Gail Snyder
 Ekuonyo, Sammy Kwaku
 Foose, Roxanne Richardson
 Fream, Anne M.
 Gee, Tracy Anne
 Geissler, Janet Mayer
 Gervase, Ronald Michael
 Goodwin, Heidi J.
 Griffin, Michael David
 Grimes, John Raymond
 Gullerud, Nina Charlott
 Hale, Brian P.
 Hale, Shelda Ann
 Harkins, Mark Alan
 Hart, Gregory Brian
 Hartung, Cynthia Marie
 Hasken, Susanne Michelle
 Hesseldenz, Peter
 Heydir, Laurel
 Howard, William Gregory
 Huntsman, Mary Taylor
 Ianovici, Gerald Elan
 Isaac, Rebecca Ann
 Ischer, Lisa Virginia
 Jones, Cynthia Gail
 Jones, Katherine T.
 Kraeling, Gunther
 Krane, Kari Ann
 Lane, Leon, Jr.
 Lindsay, Kathleen Ann Holtrop
 Lorson, Laura Ann
 Lyvers, James Patrick
 Martin, Angela Kaye
 Matheny, Sarah Margaret
 McAllister, Kelli Anne
 McDonald, Juliana
 McMurray, Jonathan Stewart
 Mehanna, Monica Pugh
 Miller, Phillip Edward
 Miller, Robert Owen
 Miller, Roger Lee
 Ojeda, Martha
 Pennington, Pamela Raybourn
 Phelan, Anne Michell
 Popke, E. Jeffrey
 Powell, Paul Allen
 Rhorer, Marc Alan
 Rivera, Ralph W.
 Rogers, Jinci Thornton
 Sanurwin

Sauffer, Cheryl A. Boice
 Schanding, Jeffrey Beckett
 Schenck, Kenneth Lee
 Shanks, Chantal
 Small, Brian C.
 Smith, Jarice A.
 Sommer, Michael Aaron
 Sosby, Brian Anthony
 Takahashi, Maki
 Tatum, Donna Renaye
 Thomas, Sharon A.
 Tori, Scott Leander
 Tremblay, Paul Henry
 Urch, Kathleen Ruth
 Van Lieu, Joshua John
 Vest, Mark Hamilton
 Wallace, James Edgar
 Watson, Matthew Alan
 Webb, Lynn Scott
 Wesley, Gina Collins
 Zimmerman, Christopher Smith

Master of Arts in Education

Barnett, Lori Ann
 Breunig, Pamela Carey
 Brooks, Deborah Lea
 Brown, Bonnie Camille
 Burkart, Julie Marie
 Cornett, Caroline Ann/Ciavola
 Crawford, Nancy Long
 Cromer, Diana Cornett
 Crout, Albert Lee III
 Crovo, Holly M.
 David, Claudia Lynne
 DeMarcus, Nancy Louise
 Dunn, Amy Coppage
 Dunn, Sandra Rogers
 Ford, Jennifer Lewis
 Gabbard, Jonda Kay
 Heasley, Robert Graham, Jr.
 Howard, Nancy Lynn
 Krammer, Whitney Bobosky
 Laney, Lonnie Ray
 Leighty, Catherine Elizabeth
 Ligon, Michelle Claire Tarbell
 Link, Anna Carol
 Long, Wynn B.
 Markulike, Tiffany Louise
 Montgomery, Lisa Segneri
 Perkins, Raejean
 Peterson, Lori Veliz
 Powers, Deborah Lynn
 Price, Susan Gail
 Richard, Jennifer Lynn Pettit
 Richey, Paula Lewis
 Robertson, Diane Christian
 Silver, Katherine Claire
 Skaggs, Andra Lynn
 Smith, Shanna Louise
 Stewart, Juliet T. (Arnold)
 Strange, Sharon Hayes

Wallace, Leigh Ellen
 Webb, Carmella
 Webb, Julia Johnson
 Wesley, Sharron Ann
 Windle, Joyce Brown
 Witten, Amber D.

Master of Arts in Interior Design

Mora, Arturo R.
 Reeves, Hazel O'Rear

Master of Arts in Teaching

Lucas, Jack Griffith, Jr.

Master of Arts in Textiles & Clothing

Pack, Jamie Hawks

Master of Business Administration

Donahue, Michael Paul
 Itoh, Noriaki
 Kim, Jai Ok
 Kuzelka, Ales
 Marks, Kevin Selmar
 McCarty, James C.
 Porter, Sandra Annata
 Thomas, Steven Douglas
 Tinnell, Julia Kay

Master of Health Administration

Ciocco, Samuel Chris
 McCann, June Kelly Manley
 Tackett, Evelyn Karlene

Master of Music

Carter, Susan Camille
 Cole, John LaMar
 Herrmann, Frederick Charles
 Jones, William Adrian
 Smith, Stuart Randolph

Master of Public Administration

Brahmasari, Ida Aju
 Diah, Marwah Mohammad
 Kanis, Susan Matlack
 Mays, Linda Sue
 Miller, Corey Glendon

Master of Rehabilitation Counseling

Dennis, Robert Steven
 Hudson, Richard G.
 Stansberry, John J. III

Master of Science

Adams, David Robert, Jr.
 Bandy, Robert William
 Bartley, Kimberly Ann

Bell, Michelle Rae
 Bitters, Daniel Clarence
 Brewer, David Randolph
 Byers, Kevin Daniel
 Catlett, Mark E.
 Chang, Hsiu-Feng
 Christy, Michael Eric
 Clair, Jennifer L.
 Clark, Timothy Wayne
 Claus-Walker, Debra Barbel
 Cooper, Scott Andrew
 Desai, Bhasha Dinkerrai
 Dolsen, Dennis L.
 Dravillas, Mark Constantine
 Edelmann, Stephanie Else
 Elam, Timothy K.
 Fang, Hui
 Ferguson, Elizabeth Ann
 Frederick, David Ashton
 Gray, Brian Robert
 Gremos, Diane Krista
 Guan, Feifei
 Haley, Michael John
 Hall, Joni Lyn
 Hampson, Steven Kammerer
 Hancock, John Nathan
 Harto, Firti
 Hidayati, Siti Nur
 Higgins, Brian Abram
 Holden, James Matthew
 Homan, Dean Matthew
 Johnson, Christine Ann
 Jones, Beverley Osborne
 Kemlage, Donald Joseph
 Khan, Tariq Masood
 Kingsley, Sundarayya Paulraj
 Kupski, Donald R.
 Kuthethoor, GiriPrasad Laxmipathi
 Lambert, Thomas E.
 Lauer, Daniel Alan
 Lee, Jinbo
 Leelanivas, Manoj B.
 Li, Weihua
 Liles, Tammy Jo
 Lin, Bor-Chyi
 Luo, Feng
 McClurg, Carol Ann
 McCoy, Joe Edward
 McGovern, Valerie Mary
 Midkiff, David V.
 Mills, Timothy Paul
 Montgomery, James Maxwell, Jr.
 Moore, Robert Dunham
 Moss, David John
 Mudd, Phillip Greg
 Mullins, Gordon Todd
 Murphy, Glen Patrick
 Nair, Prakash Narayan
 Neville, Franklin R.
 Newcomb, Samuel Thomas
 Paxton, Mark Steven
 Pirc, Karen S.

Ranganathan, Deepa
 Ransky, Katherine Ruth
 Riley, Stephanie Ann
 Rizk, Magdy William
 Sadr, Amir
 Savage, Jo Michelle
 Schmidt, Melissa Jo
 Sego, Sandra Ann
 Smoak, Julie L.
 Snell, Jeffrey Donald
 Snyder, Michael Scott
 Sommer, David A.
 Sowards, Bruce A.
 Strock, Michael D.
 Sudan, Madhu
 Sun, Bin
 Taylor, Page Boisseau
 Thurston, Barbara Mae
 Turner, John Douglas
 Vogler, Patrick D.
 Wang, Pinger
 Wang, Zhenming
 Woolery, Edward W.
 Zhan, Xiaonong
 Zhang, Yuan
 Zhou, Jian

Master of Science in Accounting

Fritz, Kimberly Elizabeth

Master of Science in Agricultural Engineering

Hootkany, Ali Nowi
 Xu, Mengying
 Zhang, Xiao-Xing

Master of Science in Biomedical Engineering

Hilaire, Luna
 Wang, Ping Ming

Master of Science in Chemical Engineering

Rogers, David Bryan
 Schieche, David Ralph
 Strange, Sue Henson

Master of Science in Civil Engineering

Anis
 Arredondo, Fernando G.
 Gabbard, Howard David
 Gupta, Pratyosh
 Hall, Alfred David
 Liu, Yumin
 Pennington, Scott David
 Qu, Mingbo
 Spradlin, Steven Dale
 Yankey, Gregory Alan
 Yu, Di

Master of Science in Clinical Nutrition

Quarrick, Patricia Jean

Master of Science in Dentistry

Hakimi, Negueen
 Sims, Robert Edwin
 Sutor, Mark Allen
 Theiss, Barbara Lynn
 Wahle, John Philip

Master of Science in Education

Angelucci, Carolyn Fister
 Barnett, Kathleen M. (Graves)
 Bechanan, Douglas Allan
 Biltz, Patricia Jean
 Brown, Lisa A.
 Goggin, Susan Rosson
 Griffen, Ann Katherine
 Harrison, Delena Marie
 Hausberger, James Darin
 Jackson, Kevin Francis
 Maciag, Karen Sue Gibson
 Matthews, Ronald Eric, Jr.
 McGrath, Susan Lynn
 Napier, Lee Ann
 Rossmann, Kevin L.
 Sieglinger, Sara Ellen
 Smith, Everett Keith
 Sowder, Melanie Leigh
 Sparks, John Edward
 Stallion, David Wayne
 Stephens, Aaron Wells
 Stewart, Anne Ragan
 Taylor, Linda Gail Reed
 Tolliver, Lisa Anne
 Vickers, Lajeana Ward
 Wilder, Jeneverette Butler

Master of Science in Electrical Engineering

Ahmad, Muhammad Afzaal
 Bastian, Richard James
 Blanton, Dewey III
 Burks, Thomas Francis
 Embry, Jonathan Ashley
 Fan, Guoliang
 Fessler, John Thomas
 Galloway, Carol Spann
 Khan, Muhammad Jafer
 Li, Haomin
 Marra, Michael Anthony
 Moore, Wilda Emily
 Nagarkoti, Anil Kumar
 Navsariwala, Umesh Damodar
 Nicholson, Marvin III
 Sahoo, Surjit
 Shahab, Tauha
 Sivanesa, Balasubramanian
 Vittitoe, Neal Fredrick

Weng, Yang
Westerfield, Eric Wayne
Zhang, Feng

**Master of Science in
Engineering Mechanics**

Jonnalagadda, Krishna Dilli

**Master of Science in Family
Studies**

Cramer, Carol A.
Harig, Tonya Rene
Hawk, Judith Minor
Meece, Michael Lloyd
Rogers, Collette Brown
Schweder, Susan Jeannette Palmer
Sturgill, Leslie Fendley

Master of Science in Forestry

Hines, Martina

**Master of Science in Library
Science**

Ashe, Teresa Lee
Barnes, Shandra Maquel
Bartz, Mary Elizabeth
Beargie, Katherine Ann
Blankenship, Richard Deane
Broadbent, Lisa Kay
Burr, Suzanne Adair
Cobb, Cheryl Lynn
Colbert, Gretchen Geiser
Currie, Debra Lisa
Fang, Qian Quiann
Fehring, Jean Ann
Fitzharris, Lisa Kay
Gray, Linda Marie Anderson
Hackett, Mary Rita
Hall, Lisa Jan
Hauselt, Suzanne Marie
Hess, Nancy Sue
Hoebeker, Anna Lusk
Hoebeker, Christopher Hyde
Kissling, Alison Dignan
Kozenski, Sherrill A.
Kroeger, Diane L.
Lazaraton, Laura Beth
Livingston, Shawn DePratt
MacGregor, James Bruce
McCarthy, Clare Ann
McKinney, Tammy LeAnn
Meaders, Dorian Hornburg
Mullins, E. Victor
Nichols, Holly Rose
Paxton, Karen P.
Peltzer, Heidi
Pfeifle, Barbara English
Purdum, Mary Sarah
Reid, Kevin Bruce
Rettig, Philip A.
Rolfes, Amy E.

Sexton, Amanda Leigh
Slye, Virginia E.
Smith, Donna Bradshaw
Swanson, Linda M.
Toth, Andrea Leigh
Turner, Linda Fay
Vandegriff, Karen Elaine
Viñales-Simpkins, Laura Elena
Webb, Jo Nell
Willingham, Margaret Ellen Muncy

**Master of Science in Materials
Science and Engineering**

Hatfield, Steven Lee
Kelly, Brenda Marie
Lewis, John Carl
Manchiraju, Kiran V.
Mayes, Jessica Laurel
Rock, Christopher Dewayne

**Master of Science in
Mechanical Engineering**

Bojappa, Parvangada G.
Cartwright, Jerry Lee
Huang, Kuo-Lin
Lee, Seog Shin
Liu, Xiaocheng
Martin, Bryan T.
McIntyre, Lloyd James
Miller, Brian David
Parales, Ricardo Maristela, Jr.
Um, Jae-Yong
White, David Howard
Zhong, Xiaochun

**Master of Science in Mining
Engineering**

DeRossett, Clyde E.
Guo, Qin
Hogue, J. Scott
Jiang, Chenliang
Potter, Terry Caudill
Wang, Yen Xie

Master of Science in Nursing

Bentley, Hazel
Renfro, Rebecca Salisbury
Thacker, Teresa A.
Young, Sarah Elizabeth

**Master of Science in
Operations Research**

Balasubramanian, Ramprasad
Hemming, Christopher Charles

**Master of Science in Public
Health**

Jensen, Robert Walter
Mi, Xiaofang

Reese, Clyde Albert

**Master of Science in
Radiological Medical Physics**

Costanzo, Debra Ann
Gossman, Richard Lawrence
Gregory, Robert Charles
Jones, Andrew Osler
Lewis, Donald A.
Miller, Elizabeth Purdom
Tyndall, Kimberly McGee

Master of Social Work

Backscheider, Paula Marie
Bass, Deborah Annette
Beiting, James
Boersma, Brian David
Brauley, Jamie Lynn
Burg, Laura Johnson
Cameron, Lynn M.
Campbell, Genevieve
Campbell, Sally A.
Chrisman, Leslie Joyce
Doll, Barbara Thompson
Downing-Pass, Mary Ann
Drew, Laura B.
Durand, Barbara Rose (Berger)
Gregory, Amy Teresa
Hammer, Brooke Marie
Hathaway, Sr. Laura
Hayes, Cheryl Helm
Jones, Barbara Jenkins
Kasper, Richard A.
Kissick, Clotilde Wolfenbarger
Knicey, Lisa Martin
Lane, Joan Marie
Lienhart, Frank Bertram, Jr.
Lovely, Joy Ellen
Martin, Carol A.
Masulovich, Christine E.
McHugh, James Allen
McQueary, Eureka Wilkerson
Moble, Monica Arlene
Molathegi, Patricia S.
Murphy-Francesconi, Michelle
Norris, Tonya Kaye
Pastrick, Nancy E.
Pike, Marilyn A.
Reames, Jodi Lefevers
Reid, Caroline Elizabeth
Reynolds, Theresa Sue
Rice, Joanne Mayo
Schneider, Jennifer Lynn
Soard, Dana Paige
Steddom, Joy Ellen
Stephens, Mary Lou
Stevens, Kimberly A. Lawrence
Stewart, Amy Carlisle
Sword, Victoria Lynn
Thomas, Chari Luise
Thomas, Halbert Francis

Thomas, Steven Frederic
 Toebe, Jeanne Rock
 Torres, Anton M.
 Walker, Vanessa Ann
 Wallace, Kelley Anne
 Warnke, Blanche Christina
 Wright, Angela DeHart

College of Law

Dean: David E. Shipley

Juris Doctor

Grundy, Charles Albert, Jr.

College of Agriculture

Dean: C. Oran Little

Bachelor of Science in Agriculture

Anderson, Kevin Douglas
 Bunzendahl, Elizabeth Jane
 Butler, Marshall Lee, Sr.
 Croshaw, David Ellis
 Dant, Charles Eric
 Hamilton, Charles Hugo
 Jones, Christopher Ben
 Kincaid, Samuel C.
 Nicholas, Kimberly Nicole
 Phillips, David Tilden
 Racke, Tommy D.
 Schalk, Christopher Anthony
 Strother, Morris Linney
 Williams, Angela Renee

Bachelor of Science in Forestry

Baker, Michael Darren
 Leroy-DeVage, Cynthia L.
 Reynolds, Rudean

Bachelor of Science in Landscape Architecture

Hill, Jason M.
 Pesto, Robert D, Jr.

College of Allied Health Professions

Dean: Thomas C. Robinson

Bachelor of Health Sciences

Brown, Kelly Estanna
 Camp, Pamela Lee
 Campbell, Heather Michelle
 Capinpin, Maria Lisa
 Crowley, Amy Denise
 Gibson, Angie M.
 Glasscock, Anglia Dean
 Greenwell, Penny Lee

Haydon, Pamela P.
 Likens, Dwayne
 Thornsberry, Bridgette

College of Architecture

**Acting Dean: Clyde
Reynolds Carpenter**

Bachelor of Architecture

Akin, Bobby Vincent
 Ausbrook, Kevin
 Becherer, Michael Edward
 Cox, Harold W.
 Dale, Christina Ann
 Fischer, Douglas Todd
 Ginter, Monica Gayle
 Hargett, Teresa Gail
 Harper, William David
 Hill, Daniel Benjamin
 Jacobs, Kristalean Rasanda
 Johnson, Cynthia Enid
 Jones, Richard Marshall
 Lei, Chin-Yuan
 Muscarella, Edward Allen
 Nash, William Hancock
 Neal, James McKenzie
 Nguyen, Anne
 Rawlings, James Christopher
 Rider, John Carlos
 Russell, H. Michael
 Weaver, F. Christine
 Withrow, Christopher Allen

College of Arts & Sciences

Dean: Richard Edwards

Bachelor of Arts

Abel, Laura Lee
 Adams, Kathleen Elizabeth
 Amos, Amy Gosser
 Arban, Stephanie A.
 Augustus, Michael Anthony
 Ballard, Susan Marie
 Blackmon, James Bernard
 Blair, Christopher R.
 Blake, Kevin Dale
 Blanton, Jimmy Herbert
 Boerner, Amy Gabriel
 Boswell, David Erle
 Boucher, Amy Elizabeth
 Bowling, Martin Andrew
 Brogli, Todd Rigsby
 Bruner, Teresa Rene'
 Butler, Julia Maurine
 Bullard, Allison Alling
 Burke, Dudley James
 Bush, Erin Leigh

Caldwell, Randall
 Campbell, Tammy Lou
 Cassidy, Heather Lyle
 Cochran, Kathy L.
 Collett, Darrell Duane
 Collinsworth, Mark
 Colliver, Kelly Rene'
 Compton, Joe Wayne II
 Corbett, Alan Joseph, Jr.
 Cornett, Norman Alan
 Couch, Timothy Steven
 Crabtree, Lisa Anne
 Cross, Jonra Kennedy
 Crutcher, Michael E. II
 Cudzilo, Christoher Leonard
 Cummane, Nora Eileen
 Cunagin, Michael Christopher
 Daniels, Stephen P.
 Davis, April Cheri
 Davis, Shuvon E.
 dela Rosa, Sandra Flores
 DeWitt, Matthew Douglas
 Dotson, Darryl Wayne
 Drury, Danny Wallace
 Eades, Carol
 Earley, Robert Burke
 Eckler, Daniel Thomas
 Ellis, Catherine Lee Ford
 Everest, Katie Michelle
 Farr, Daniel Thornton
 Farris, Ann Elizabeth Mills
 Fasoldt, Peter Creed
 Fenwick, Paul Everett, Jr.
 Flynn, Linda April
 Fontenelle, Renee Ann
 Fox, Steven Brady
 Galbraith, Kenneth John
 Gandhi, Purvi Babu
 Garber, Angela Lanae
 Golden, Matthew Julian
 Gough, Charles Dale
 Greenfield, Kimberly Rice
 Gregory, Scott Allen
 Hall, Lisa Susan
 Hammons, Lori Lynn
 Hargador, Jason L.
 Hash, James Jackson
 Hatton, Suzanne
 Heard, James Stephen
 Hicks, Katherine Anne
 Hiller, John Parks
 Honn, Christopher Shane
 Howard, Wesley Lee
 Hughes, Jennifer Lee
 Humphrey, Jared L.
 Hurt, Bill C.
 Johnson, Kimberly D.
 Jones, Dianne R.
 Joule, Thomas W.
 Kalosis, David Karl
 Karelis, Thomas E., Jr.

Kendrick, Tanya LaNette
 Kersey, Jerry William
 King, Randall Duane
 Knowlton, James Philip
 Krieger, Cathy Waldo
 Kwong, Kevin Keiman
 Lamb, Donna Marie
 Land, Michelle Kaye
 Lanham, Jennifer Dawn
 Larimore, David Rhea
 Larumbe, Jul Jay
 Lechleiter, David Lee
 Leonard, John Carroll
 Levine, Linda
 Lorms, Andrew Fontana
 Mangum, John Bradley
 Martin, Heather Lynn
 Martinez, Richard Anthony
 McAnelly, Kimra
 McCoy, Challen Paul, Jr.
 McGuire, Chuck Rudy Cochrell
 McOwen, Elizabeth Anne
 Mercer, Ronald Lynn, Jr.
 Minner, Matthew Clinton
 Morikawa, Yukie
 Mullins, Thomas Hunter
 Muse, Sharon Renee'
 Narita, Shima
 Nunez, Christopher M.
 Owsley, Julia Rena
 Pareti, John Joseph III
 Payne, Edward R.
 Peduto, Damian A.
 Pike, Jerry Daniel
 Plummer, Richard Trent
 Potter, Andrew Terence
 Pride, Douglas Jason
 Risner, Clay J.
 Roberts, Shannon Adele
 Routon, Stacey MaLaine
 Royalty, Lance Maurice
 Sanders, Joseph Moss
 Secrest, Christopher Frank
 Sides, G. Samuel
 Sirk, Charles Linton
 Skeans, Floyd Anthony
 Smith, Terri Ann
 Smith, Timothy Scott
 Snider, Amanda R.
 Stahlman, Stephen Rockwood
 Stevens, Marty Lee
 Stipp, Robert John
 Stone, Mark A.
 Stotlar, Thomas E.
 Tincher, Kelly Ann
 Tracy, Jo Kathleen
 Trowbridge, Marilyn Michele
 Vaughn, Tonya Kay
 Vincent, Melissa A.
 Wagner, Krista Marie
 Watts, Amy Lynn

Weartz, Edward Scott
 Wells, Robert David
 Wester, William Stuart
 Wetzel, Tamara Len
 White, James Royce
 White, Thomas Clinton
 Whitsell, Christopher Dean
 Wiley, Patricia McClure
 Williams, David Brinn
 Williams, Eric Lee
 Wilson, Susan E.
 Windsor, Elizabeth
 Wiseman, Lynn Clay
 Wohlstein-Rollins, Enid Gladys
 Wolfe, Donald Clinton
 Wyrick, Amy Kathryn
 Young, Stephen Allen

Bachelor of General Studies

Brooks, Effley Douglas
 Miller, Bartley D.
 Smith, Michael Kirkland

Bachelor of Science

Aleem, Bahar Ilgaz
 Andrews, William M., Jr.
 Arangala, Adheesha Sanjaya
 Armstrong, Barbara Teresa
 Bach, Edward Manuel
 Bakar, Abdul Rahim
 Bradshaw, Daniel Christopher
 Combs, Darrell Sison
 Ekers, Deena Renee'
 Faulkner, Janice Evelyn
 Fitzpatrick, Michael Boone
 Granger, Dori Robin
 Greene, Mark Barrett
 Hawse, David Andrew
 Herrington, John Daniel
 Hill, Scott David
 Hutcherson, Michael David
 Kesler, Melissa VanDyke
 Lim, Leong Link
 Marshall, Gregory William
 Maxwell, Roger Griffing, Jr.
 Mays, Russell Wilson
 Miller, Shelly J.
 Morrell, William Burton
 Narayanappa, Arundathi Papu
 Nasary, Runa
 Nasary, Virginia
 Norris, Amy K.
 Peck, Molly Jean
 Phillips, David C.
 Priester, Patrick J.
 Rickert, Brian Matthew
 Roy, Tracie Denise
 Sarkari, Neville Bahadur
 Sirek, Nicholas S.
 Smart, Ronda S.
 Steen, Michael Matthew
 Stevens, Mark Edward

Tung, Hun Hooi
 Vinson, Charles Edward
 Walsh, Vanessa Lynn
 Warrell, Mark Joseph
 Wieting, Christopher Brennan

College of Business & Economics

Dean: Richard W. Furst

Bachelor of Business Administration

Allen, John C.
 Atkinson, Kathleen Jane
 Ball, Shirley Mae
 Bates, Jeremy Earl
 Baysore, Elizabeth Dawn
 Beckett, Thomas A.
 Belcher, Brad R.
 Bell, Elizabeth Harper
 Benningfield, John Richard
 Buchanan, Brandon G.
 Chaney, Adam C.
 Childers, Minor Dawson
 Cranfill, Mica Shane
 Davis, Brenda L.
 De Salas, Juan
 Deaton, Lisa Gay
 Derifield, Phillip Jeffrey
 Downs, Richard Michael
 Fightmaster, Adrienne Glenn
 Frazier, Barry Elwood
 Garner, Chip Bayless
 Garrett, Christopher Kent
 Handley, Bradford Turner
 Harris, Ricky David
 Henry, William Douglas
 Hinton, Jennifer Lee
 Holcomb, James Burton
 HoneyCutt, Harold Gene
 Hudson, Laura Helen
 Hughes, Kenneth
 Hurd, James Rohmann
 Kleymeyer, Kurt Louis
 Lee, Shu-R Sherry
 Liou, Jen-Lan Jenny
 Lisk, John Ryan
 Lisle, Hunter W.
 Long, Cynthia Moore
 Maher, Patricia Louise
 Maynard, Thomas Jason
 McClure, John Edwin Donaldson
 McCoy, Lorri Jean
 McGinnis, William L. III
 McGohon, Bobby Joe
 Mutonyi, Alex Ndritu
 Nutter, June Orather Spaulding
 Ogden, Rita Carol
 Otsuka, Yasuo

Payne, Timothy N.
 Powers, Travis Lain
 Richard, Paul Scott
 Ringlein, David Patrick
 Rouse, Jeffrey S.
 Sampson, Stirling Earl Farris
 Sason, Joyce M.
 Scales, Christopher Eugene
 Schaub, Michael Edmund
 Schneider, Matthew Gregory
 Serra, Jorge
 Smyth, Mitchell Wayne
 Staples, Stephen R.
 Stark, Darrin James
 Stebleton, Stuart
 Tan, Mung Khoy
 Taylor, Heather Lynn
 Teh, Khian-Woei
 Thomas, Ronald W.
 Voss, Michael R.
 Wathen, Steven Clough
 Webb, Michael Keith
 Welker, Trent Bennett
 Wesley, Gregory James
 Winfrey, Mary Catherine

**Bachelor of Science in
 Accounting**

Biber, Carl Eric
 Buchanan, Brandon G.
 Byrd, Charles Christian
 Clay, Melissa Houston
 Duguma, Eyerusalem
 Dunn, Julie Freedman
 Evans, Gregory Allen
 Gilliam, Joseph E.
 Hendrix, Kelly William
 Howard, Susan D.
 Howard, William S.
 Johnson, Jeffrey Blake
 Karczewski, Wayne Joseph
 Keelen, Jeffrey Alan
 Kelly, Timothy Louis
 Kessinger, Thomas Burks III
 Lee, I. Wen
 Maynard, Patricia J.
 Maynard, Thomas Jason
 McKenzie, Thomas Herbert
 Mohamad, Isham
 Nelson, Ryan Keith
 O'Brien, Shannon Leigh
 Payne, Joey
 Perkins, Ada Kathleen/Slone
 Perry, Robert Micheal
 Preece, Jennifer Lisa
 Quirk, Terri L.
 Sipes, Kevin Dale
 Smith, John Robert
 Steward, Donald Howard II
 Stewart, Slayton Schofield
 Thompson, Pamela Sue
 Vaught, Tricia Leann

Vest, Micheal Logan
 Weissmueller, Lisa Ann
 Welch, Angela Lynn
 Wheelock, John Casner
 Willis, Donna Jeannine
 Woolums, Joseph Christopher
 Zurmehly, David Kenneth, Jr.

**Bachelor of Science in
 Business & Economics**

Alexander, Walter Joseph
 Liu, Mingdi

**College of
 Communication and
 Information Studies
 Dean: Douglas A. Boyd**

Bachelor of Arts

Armstead, Bradley Allen
 Baum, Karen Lee
 Burlaw, Jeffrey Hamilton
 Butler, Lori "Yale"
 Chastain, Kathryn A.
 Creech, Regina Rae
 Davis, Melissa Kathryn
 Evans, Christopher M.
 Hempel, Robert F.E.
 Jefferies, Stefan Parker
 Leonard, Denver Anthony
 Maynard, Elizabeth Doll
 McGuirk, R. Sean
 Middleton, Alyssa Monique
 Pfeiffer, Brett Alan
 Reid, Wende Sue
 Schuering, Matthew Thomas
 Simmons, Mary Lynn
 Switzer, Elizabeth Wood
 Sympton, Sean McPadden
 Tan, Num Ping
 Taylor, Jena Rue
 Thomas, Jill Suzanne
 Wright, Resa Ann
 Wysong, Jodi Nicole

Bachelor of Science

Brunson, Joseph Craig
 Carter, Craig Anthony
 Marsee, Kerry Bruce
 Owens, Dallas E., Jr.
 Smith, Rhonda Lee
 Sparks, Gregory Allan

**College of Education
 Dean: J. John Harris III**

Bachelor of Arts in Education

Brandon, Laura Lynne
 Deaton, Darin C.

DeMarcus, Constance Anne
 Finneseth, Theodore
 Hibbard, David Lee
 Humston, Julie Ann
 Klingenberg, Susan M.
 McMillan, Amy Rena
 Nelson, Craig W.
 Pinson, Kelly Marie
 Reasor, Rebekah
 Reese, Kathryn Allison
 Richards, Kathy Renee
 Salyers, Ruth Ann
 Scates, Theresa Weeks
 Settle, Jennifer Celeste
 Sosby, Lora Renae
 Treas-Waldemayer, Jennifer Anne
 Tucker, Kelly Renee
 Wilburn, Marcy L.

**Bachelor of Science in
 Education**

Stevens, Roger Lewis

**College of Engineering
 Dean: Thomas W. Lester**

**Bachelor of Science in
 Chemical Engineering**

Coe, David Ray
 Nickell, James Conrad
 Underwood, Brian Charles

**Bachelor of Science in Civil
 Engineering**

Bassett, Stephen Lee
 Parker, Keith Anderson
 Schanding, Gregory Thomas

**Bachelor of Science in
 Electrical Engineering**

Al-Abbasi, Khalid Radwan
 Baird, Jeffry Douglas
 Brown, Michael Wesley
 Fisher, John Mark
 Owona, Norbert A.
 Somers, Robert G.
 Timbario, Mark Anthony
 Waugerman, Allen Wayne

**Bachelor of Science in
 Materials Engineering**

Zollinger, Jeffrey Lynn

**Bachelor of Science in
 Mechanical Engineering**

Boyken, Michael E.
 Sparks, David Allen II

**Bachelor of Science in Mining
 Engineering**

Wedding, Mike T.

College of Fine Arts

Dean: Rhoda-Gale Pollack

Bachelor of Arts

Anderson, Ann Marie
Boehman, Donald Jeffrey
Carter, Robert Peck
Duvall, Jennifer Lynn
Emmerich, Julie Lynn
England, Anne Caine
Fisher, Beth Ann
Fowler, Shelisa
Howell, Kathy Walker
Means, Veronica Sims
Meriwether, Jacqueline Darcia
Miller, Carroll Ellis
Saxe, Natalie Rose
Taylor, Kimberly Michelle
Wallace, James M. II.
Weeks-Sebastian, Laura A.

Bachelor of Music

Robertson, Paul Stanley
Sunardi, Lindawaty

Bachelor of Music in Music Education

Mann, Laura Carolyn
Smith, Amy Kennedy

College of Human Environmental Sciences

Acting Dean: Ramond Forgue

Bachelor of Arts in Interior Design

Coburn, Judy Lynn
Romero, Maria
Withrow, Elizabeth Daugherty

Bachelor of Science in Dietetics

Chapman, Shellie Noelle
Hicks, Kathryn Ann
Skane, Sonya Leanne

Bachelor of Science in Family Studies

Binger, Mary Diane
Dinell, Stephanie Ann
Helton, Melissa Choi
Huzl, Lisa Marie

LeWellen, Jessica Marie
McClure, Cynthia Jane
Means, Susan Lynn
Miller, Laura Christine
Moore, Catherine Carey
Mueller, Lee Anthony
Panther, Amy Wyatt
Panther, Lauren Elise
Ryan, Nicole Reynolds
Smith, Sean C.
Ward, Julia Lee
Ward, Trisha Lynn

Bachelor of Science in Home Economics

Crain, Diane Kerlin
Hayes, Donita K.

Bachelor of Science in Merchandising, Apparel & Textiles

Engle, Sarah Elizabeth
English, Tiffany Hope
Jackson, Kimberly Faith
Jude, Brenda Elaine
Rogers, Meredith Lillian
Roth, Kimberly Krista
Russell, Heather Anne
Sullivan, Lana Michelle
Wagner, Stephanie Eldora
Williams, Judy Lynn

College of Nursing

Dean: Carolyn A. Williams

Bachelor of Science in Nursing

Monroe, Grace Ann
Parkhill, Kimberly M.
Ray, Marcia Lea Abell
Waldon, Cinderella Young

College of Pharmacy

Dean: Jordan C. Cohen

Doctor of Pharmacy

Mann, Tamatha Ann

Bachelor of Science in Pharmacy

Aaron, Debra LeAnn
Absher, Kristi Ringley
Adams, David Lynn

Allen, Russell Scott
Bandy, Kelly Ann
Barker, Christopher Lee
Bastian, Kathleen Marie
Collins, Sharon Sue
DeWitt, Betsy Lynn
Francis, Charla Jo
Gibbs, Eric Todd
Hammond, Elizabeth Karen
Harris, Debra Kaye
Hinson, Susan Leigh
Hobbs, Kimberly Ann
Johnson, Tami Williams
Maynard, Martha Suzanne
Orth, Paul Andrew
Petersen, Thomas John
Pojecky, Richard Glenn
Rogers, Thea Y.
Sabharwal, LaDonna
Santrock, David Alan
Solise, Lisa Walters
Spanyer, Julie Ann
Taylor, Christopher Joseph
Wigginton, Lee Ann
Wilson, Julie Ann
Woodard, Linette Leigh
Wright, Jennifer Gayle
Yoblinski, Mary Dee Latiff

College of Social Work

Dean: S. Zafar Hasan

Bachelor of Arts in Social Work

Brackett, Laura Ann Maxon
Carmack, Peter Anthony
Clark, Elizabeth LaVerne
Crowe, Duane David
Curtis, Lorann Kay
DeWolfe, Amy Elizabeth
Edwards, Sam Lee
Jones, Gracie Marlene
Mason, Elaina Marie
Rollings, Pamela June
Sedita, Susan Claire
Sheatler, Pamela Kay
Smith, Rhonda Lynette
Thomas, Michael
Tolleson, Susannah Louise
VanOver, Pamela A.
Walker, Craig Patrick
Way, Alesia J.
Wells, Charlotte Ann
Woods, Sean Lavell

Office of the President
August 17, 1993

PR 5 B

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE COLLEGE OF COMMUNICATIONS
AND INFORMATION STUDIES

Recommendation: that Douglas Boyd be appointed Dean of the College of Communications and Information Studies, effective immediately.

Background: The Board of Trustees approved the merger of the College of Library and Information Science and the College of Communications at the June 22, 1993 meeting. Dr. Boyd has served as Dean of the College of Communications since 1987, and it is recommended that he continue to serve as Dean of the newly formed College of Communications and Information Studies.

Action taken: Approved _____ Disapproved _____ Other _____

Date: August 17, 1993

Office of the President
August 17, 1993

PR 5 C

Members, Board of Trustees:

APPOINTMENT OF DIRECTOR OF THE SCHOOL OF LIBRARY
AND INFORMATION SCIENCE

Recommendation: that Thomas Waldhart be appointed Director of the School of Library and Information Science, effective immediately.

Background: The Board of Trustees approved the merger of the College of Library and Information Science and the College of Communications at the June 22, 1993 meeting. Dr. Waldhart served as Acting Dean of the College of Library and Information Science from 1988 to 1990, when he was appointed Dean. It is recommended that he be appointed Director of the School of Library and Information Science within the newly formed College of Communications and Information Studies.

Action taken: Approved _____ Disapproved _____ Other _____

Date: August 17, 1993

Office of the President
August 17, 1993

FCR 1

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of surplus equipment as described in Exhibit I.

Background: KRS 164A.575 requires that the governing Board sell or otherwise dispose of all personal property which is not needed by the institution. The Board will be provided with a description of the property, the reason why the disposal should be effected, and the method of disposition.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

UNIVERSITY OF KENTUCKY

SALE OF SURPLUS LIBRARY BOOKS

Type of Disposal: Fixed price

Reason: Items are outdated and damaged.

Sale Date: September 23-24, 1993

Items: Approximately 10,000 volumes.

SALE OF SURPLUS RESEARCH EQUIPMENT

Recipient: University of Medicine and Dentistry of New Jersey (UMDNJ)

Type of Disposal: Negotiated price

Reason: Dr. Michael Dekin has transferred to UMDNJ and has transferred his research grants to the same institution. In order to aid in the completion of his research, UMDNJ wishes to purchase the equipment listed below which was acquired with state funds. The equipment is specialized for the research conducted by Dr. Dekin. The equipment ranges in age from 1 to 19 years and has been determined to be surplus to the needs of the University.

Items: 2 oscilloscopes with accessories, 2 plotters, 2 tape recorders with accessories, chart recorder, vibroslice, picospritzer, pulse generator, microelectrode puller, axoclamp with headstages, and a patch clamp system.

Office of the President
August 17, 1993

FCR 2

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending June 30, 1993, be accepted.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the three months ending June 30, 1993, the University has:

1. Awarded seventeen (17) architect and engineer contracts.
2. Made twelve (12) amendments to architect and engineer contracts.
3. Made twelve (12) final payments for architect and engineer contracts.
4. Awarded forty-two (42) construction contracts.
5. Made seventy-six (76) change orders to construction contracts.
6. Made thirteen (13) final payments for construction contracts.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
CHRISMAN-MILLER-WOODFORD LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	N/A	\$ 38,293	06/11/93
FULLER, MOSSBARGER SCOTT & MAY LEXINGTON	PER DIEM CONSULTANT GEOTECHNICAL SERVICES	N/A	\$ 71,434	06/11/93
WHITE-WALKER & McREYNOLDS LEXINGTON	PER DIEM CONSULTANT STRUCTURAL ENGINEERING SERVICES	N/A	\$ 47,414	06/11/93
McCOY & McCOY, INC. LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL SERVICES	N/A	\$ 66,298	06/18/93
PARROTT, ELY & HURT LEXINGTON	PER DIEM CONSULTANT SPECIAL CONSULTING SERVICES COLDSTREAM FARM DEVELOPMENT	N/A	\$ 127,856	10/14/92
PARROTT, ELY & HURT LEXINGTON	PER DIEM CONSULTANT PROJECTS REPORT COLDSTREAM FARM DEVELOPMENT	N/A	\$ 32,347	10/14/92
SHERMAN, CARTER, BARNHART LEXINGTON	FIELD HOUSE Project No. 147.0	\$ 8,500,000	\$ 349,090	07/31/90
Previous Amendments #1-7			+ 95,898	
Consultant's Fee To Date:			\$ 444,988	
STAGGS & FISHER ENGINEERS LEXINGTON	HOSPITAL-WASTE INCINERATOR REPLACEMENT Project No. 337.0	\$ 1,453,600	\$ 64,650	08/31/89
Previous Amendments #1-2			+ 82,889	
Consultant's Fee To Date:			\$ 147,539	
ELLERBE BECKET, INC. MINNEAPOLIS, MN	SANDERS BROWN CENTER ON AGING PLANNING Project No. 405.0	\$ 600,000	\$ 542,490	07/31/92

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
SHERMAN, CARTER, BARNHART LEXINGTON	ROBOTICS FACILITY Project No. 431.0	\$ 10,065,000	\$ 360,000	04/30/87
	Previous Amendments #1-5		+ 87,673	
	Amendment #6 Additional Services		+ 58,093	04/30/93
	Reason for Amendment: Construction administration due to contractor delays. Extraordinary construction phase services. Construction administration due to unforeseen conditions. Redesign of structural components. Modify routing of water main.			
	CONSULTANT'S FINAL PAYMENT		\$ 515,766	06/10/93
BIAGI, CHANCE, CUMMINS LONDON, TITZER INC. SHELBYVILLE	HOSPITAL/MEDICAL CENTER FIRE SUPPRESSION Project No. 542.0	\$ 1,500,000	\$ 52,919	07/29/88
	Previous Amendments #1-3		+ 95,385	
	CONSULTANT'S FINAL PAYMENT		\$ 148,304	04/16/93
M ^C ILWAIN + ASSOCIATES LEXINGTON	PADUCAH COMMUNITY COLLEGE ALLIED HEALTH LANDSCAPING Project No. 620.1	\$ 32,000*	\$ 9,830	05/27/93
*Project Scope Amount includes funds for Campus Master Plan				
ROSS-FELDMAN ARCHITECTURE LEXINGTON	SOMERSET C.C. ECONOMIC DEVELOPMENT/ CULTURAL CENTER Project No. 631.0	\$ 9,800,000	\$ 476,862	02/28/90
	Previous Amendments #1-3		+ 204,886	
	Consultant's Fee To Date:		\$ 681,748	
FULLER, MOSSBARGER SCOTT & MAY LEXINGTON	ASTECC FACILITY & CIVIL ENGINEERING BLDG. Project Nos. 640.1/640.2/746.0	\$ 20,410,000	\$ 19,928	04/30/92
	Previous Amendment #1		+ 25,980	
	Amendment #2 Additional Services		+ 24,679	05/27/93
	Reason for Amendment: Additional testing, analysis and inspection services.			
	Consultant's Fee To Date:		\$ 70,587	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE I Project No. 640.1	\$ 4,438,000	\$ 240,000	12/21/90
	Previous Amendment #1		+ 4,500	
	Amendment #2	Additional Services	+ 16,901	05/27/93
	Reason for Amendment: Unforeseen conditions; communications wiring; additional construction time.			
	Consultant's Fee To Date:		\$ 261,401	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE II Project No. 640.2	\$ 4,438,000	\$ 243,872	06/28/91
	Previous Amendments #1-2		+ 10,530	
	Amendment #3	Additional Services	+ 10,871	05/27/93
	Reason for Amendment: Unforeseen conditions; communications wiring; additional construction time.			
	Consultant's Fee To Date:		\$ 265,273	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE III Project No. 640.3	\$ 4,499,000	\$ 183,673	06/29/92
	Amendment #1	Additional Services	+ 24,265	05/27/93
	Reason for Amendment: Unforeseen conditions; communications wiring; revision of documents; additional landscape services; additional construction time.			
	Consultant's Fee To Date:		\$ 207,938	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE IV Project No. 640.4	\$ 4,185,000	\$ 229,847	01/27/93
STAGGS & FISHER ENGINEERS LEXINGTON	MEDICAL CENTER UPGRADE FUME HOODS Project No. 644.0	\$ 1,200,000*	\$ 49,000	06/21/89
	Previous Amendment #1		+ 490,345*	
	Consultant's Fee To Date:		\$ 539,345	
	*Amendment #1 Includes consulting fees for \$6 million Project 745.8 for total scope for design purposes of \$7.2 million.			

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
JERRY A. TAYLOR & ASSOC. LEXINGTON	AGRICULTURAL NORTH & SOUTH FUME HOODS Project No. 644.2/745.2/745.3	\$ 4,283,510	\$ 55,210	09/29/89
	Previous Amendments #1-2		+ 264,795	
	Consultant's Fee To Date:		\$ 320,005	
PEARSON + BENDER + JOLLY LEXINGTON	ERIKSON HALL PROGRAMMING Project No. 664.0	\$ 20,000	\$ 17,500	10/31/90
	CONSULTANT'S FINAL PAYMENT		\$ 17,500	06/29/93
MASON & HANGER LEXINGTON	COOLING PLANT #2 EXPANSION Project No. 666.0	\$ 13,750,000	\$ 428,446	12/22/89
	Previous Amendments #1-5		+ 410,981	
	Consultant's Fee To Date:		\$ 839,427	
MASON & HANGER LEXINGTON	MEDICAL CENTER CHILLED WATER MASTER PLAN Project No. 666.5	\$ 58,177	\$ 51,000	05/27/93
BICKEL-GIBSON ARCHITECTS LOUISVILLE	SPINDLETOP FACILITY UPGRADE - PHASE I Project No. 671.1	\$ 805,000	\$ 23,950	10/31/89
	Previous Amendment #1		+ 78,100	
	Consultant's Fee To Date:		\$ 102,050	
COLLIGNON & NUNLEY OWENSBORO	HENDERSON C.C. ACADEMIC/TECHNICAL BUILDING Project No. 722.0	\$ 4,000,000	\$ 178,000	12/21/90
	Previous Amendments #1-2		+ 32,000	
	Consultant's Fee To Date:		\$ 210,000	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
COLLIGNON & NUNLEY OWENSBORO	HENDERSON C.C. AUDITORIUM/FINE ARTS BUILDING Project No. 723.0	\$ 6,260,000	\$ 307,000	12/21/90
	Previous Amendments #1-2		+ 36,000	
	Consultant's Fee To Date:		\$ 343,000	
COLLIGNON & NUNLEY OWENSBORO	MADISONVILLE C.C. ADMINISTRATION/ACADEMIC BUILDING REPAIRS Project No. 724.2	\$ 532,464	\$ 37,500	05/29/92
	CONSULTANT'S FINAL PAYMENT		\$37,500	06/16/93
OMNI ARCHITECTS LEXINGTON	OWENSBORO C.C. CAMPUS COMPLETION Project No. 725.0	\$ 4,500,000	\$ 197,344	09/28/90
	Previous Amendments #1-2		+ 42,594	
	Consultant's Fee To Date:		\$ 239,938	
JOHNSON, DEPP & QUISENBERRY OWENSBORO	OWENSBORO C.C. ROADWAY COMPLETION Project No. 725.2	\$ 99,640	\$ 8,400	11/30/92
CLOTFELTER & ASSOCIATES LEXINGTON	MAYSVILLE COMM. COLLEGE STUDENT CENTER Project No. 727.0	\$ 4,000,000	\$ 185,500	01/31/91
	Previous Amendment #1		+ 35,065	
	Consultant's Fee To Date:		\$ 220,565	
RICHARDSON ASSOCIATES WHITESBURG	SOUTHEAST C.C. APPALACHIAN CENTER/ FINE ARTS BUILDING Project No. 728.0	\$ 5,000,000	\$ 269,400	11/30/90
	Previous Amendments #1-2		+ 52,300	
	Consultant's Fee To Date:		\$ 321,700	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
WITT & ASSOCIATES, INC. LEXINGTON	SOUTHEAST C.C. FINE ARTS - PARKING LOT Project No. 728.1	\$ 75,200	\$ 5,000	06/11/93
ROSS-FELDMAN ARCHITECTURE LEXINGTON	LEXINGTON COMM COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 729.0	\$ 4,800,000	\$ 200,000	12/21/90
	Previous Amendments #1-3		+ 27,008	
	Amendment #4 Additional Services		+ 7,620	06/11/93
	Reason for Amendment: For site development and landscaping services related to the LCC Academic/Technical Building.			
	Consultant's Fee To Date:		\$ 234,628	
JOHNSON/ROMANOWITZ LEXINGTON	BELL COUNTY CAMPUS SOUTHEAST C.C./KENTUCKY TECH Project No. 730.0	\$ 9,891,400	\$ 448,503	03/31/92
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	JEFFERSON C.C., SW ACADEMIC BUILDING Project No. 731.0	\$ 3,000,000	\$ 133,906	11/30/90
	Previous Amendments #1-2		+ 32,780	
	Consultant's Fee To Date:		\$ 166,686	
PRESNELL ASSOCIATES, INC. LOUISVILLE	JEFFERSON C.C. PLAZA AND PARKING LOT Project No. 731.1	\$ 263,255	\$ 21,500	08/06/92
	Amendment #1 Additional Services		+ 1,800	04/13/93
	Reason for Amendment: To expand drainage system down to existing storm system outlet.			
	Consultant's Fee To Date:		\$ 23,300	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
JOHNSON/ROMANOWITZ LEXINGTON	CHEMISTRY/PHYSICS BUILDING RENOVATION Project No. 732.0	\$ 2,000,000	\$ 93,500	10/31/90
	Previous Amendments #1-2		+ 159,611	
	Amendment #3	Removal of unused services.	- 2,800	04/13/93
	Reason for Amendment: To remove one month of resident inspector services that was not utilized.			
	CONSULTANT'S FINAL PAYMENT		\$ 250,311	06/10/93
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	MEDICAL CENTER FIRE SPRINKLERS Project No. 741.0	\$ 1,000,000	\$ 67,980	09/28/90
POTTS + POTTS ARCHITECTS LEXINGTON	FUNK-HOUSE FIRE SPRINKLERS Project No. 743.0	\$ 322,000	\$ 4,970	10/31/90
	Previous Amendments #1-2		+ 43,225	
	CONSULTANT'S FINAL PAYMENT		\$ 48,195	04/13/93
JERRY A. TAYLOR & ASSOC. LEXINGTON	CHEMISTRY/PHYSICS FUME HOOD MODERNIZATION Project No. 745.1	\$ 3,180,150	\$ 39,000	04/30/91
	Previous Amendments #1-2		+ 197,100	
	Consultant's Fee To Date:		\$ 236,100	
JERRY A. TAYLOR & ASSOC. LEXINGTON	RESEARCH #1 FUME HOOD MODIFICATION Project No. 745.4	\$ 375,340	\$ 11,945	09/29/89
	Previous Amendment #1		+ 100,900	
	Consultant's Fee To Date:		\$ 112,845	
HOWARD K. BELL CONSULTING ENGINEERS, INC. LEXINGTON	MEDICAL SCIENCE/DENTAL WINGS ROOF ASBESTOS ABATEMENT Project No. 745.81	\$ 106,826	\$ 9,657	09/30/92

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BICKEL-GIBSON ARCHITECTS LEXINGTON	CIVIL ENGINEERING BLDG. Project No. 746.0	\$ 11,650,000	\$ 20,000	11/30/90
	Previous Amendments #1-3		+ 665,429	
	Amendment #4 Additional Services		+ 27,527	05/27/93
	Reason for Amendment: Unforeseen foundation conditions; communications wiring, rebid of Bid Pack #4; shop drawing review for multiple bid packs; additional construction time.			
	Consultant's Fee To Date:		\$ 712,956	
CHRISMAN-MILLER-WOODFORD LEXINGTON	MEDICAL RESEARCH EXPANSION SPACE Project No. 747.0	\$ 19,500,000	\$ 1,163,862	01/31/91
	Previous Amendment #1		+ 107,634	
	Consultant's Fee To Date:		\$ 1,271,496	
E O ASSOCIATES ARCHITECTS LEXINGTON	ANIMAL DIAGNOSTIC FACILITY Project No. 748.0	\$ 3,815,000	\$ 198,000	12/21/90
	Previous Amendments #1-2		+ 74,882	
	Consultant's Fee To Date:		\$ 272,882	
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	MEDICAL SCIENCE FREIGHT ELEVATOR Project No. 758.0	\$ 110,000	\$ 10,860	04/30/91
OMNI ARCHITECTS LEXINGTON	HOSPITAL-SHELLED SPACE FIT-UP Project No. 762.0	\$ 5,400,000	\$ 352,497	02/28/91
	Previous Amendment #1		+ 13,818	
	CONSULTANT'S FINAL PAYMENT		\$ 366,315	06/07/93

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
WATKINS & ASSOCIATES LEXINGTON	RESIDENCE HALLS RENOVATION Project No. 773.0	\$ 2,866,000	\$ 14,736	02/28/91
Previous Amendment #1			+ 193,264	
Consultant's Fee To Date:			\$ 208,000	
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	HOSPITAL NURSING UNIT MODIFICATION Project No. 777.0	\$ 500,000	\$ 34,762	07/31/91
WILBUR SMITH ASSOCIATES LEXINGTON	ELIZABETHTOWN C.C. ACCESS DRIVE/HANDICAP PARKING Project No. 779.0	\$ 99,000	\$ 9,500	06/29/92
MCCULLOCH SMITH ARCHITECTS LOUISVILLE	PADUCAH C.C. WALLER HALL RENOVATION Project No. 785.0	\$ 250,000	\$ 10,455	01/06/92
Previous Amendment #1			+ 13,230	
CONSULTANT'S FINAL PAYMENT			\$ 23,685	05/19/93
PRESTON ASSOCIATES LOUISVILLE	JEFFERSON C.C. (DOWNTOWN) HANDICAPPED DOOR OPERATORS Project No. 789.0	\$ 57,000	\$ 5,665	04/13/93
DCT DESIGN GROUP LTD. LEXINGTON	AMBULATORY SURGERY UPGRADE Project No. 798.0	\$ 1,300,000	\$ 97,000	04/30/92
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	HOLMES HALL FIRE ALARM Project No. 889.0	\$ 180,000	\$ 13,613	04/30/92
POTTS + POTTS ARCHITECTS LEXINGTON	ASHLAND C.C. CLASSROOM RENOVATION Project No. 891.0	\$ 250,000	\$ 18,700	05/29/92
CONSULTANT'S FINAL PAYMENT			\$18,700	04/09/93

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	PRESTONSBURG C.C. JOHNSON BLDG. FIRE SPRINKLER Project No. 892.0	\$ 95,000	\$ 8,472	12/15/92
PARROTT, ELY & HURT LEXINGTON	COLDSTREAM FARM CIVIL ENGINEERING PHASE I Project No. 894.0	\$ 1,225,300	\$ 235,764	11/18/92
PARROTT, ELY & HURT LEXINGTON	COLDSTREAM RESEARCH CAMPUS SITE DEVELOPMENT UNIT TWO Project No. 894.0	\$ 1,225,300	\$ 309,200	12/18/92
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	HOSPITAL NURSING MODIFICATION III Project No. 896.0	\$ 341,000	\$ 15,000	06/29/92
WHITE, WALKER, McREYNOLDS LEXINGTON	PARKING STRUCTURE #2 STRUCTURAL INVESTIGATION Project No. 898.0	\$ 195,000	\$ 4,943	06/29/92
Previous Amendment #1			+ 8,110	
CONSULTANT'S FINAL PAYMENT			\$ 13,053	06/07/93
PRESTON ASSOCIATES LOUISVILLE	HOSPITAL DIAGNOSTIC SERVICES II Project No. 899.0	\$ 120,000	\$ 11,000	06/29/92
CONSULTANT'S FINAL PAYMENT			\$11,000	04/28/93
PEARSON + BENDER + JOLLY LEXINGTON	MARKEY CANCER CENTER ROACH PATIENT CARE FACILITY Project No. 1410.0	\$ 500,000	\$ 38,113	05/29/92
QUEST ENGINEERS, INC. LEXINGTON	HOSPITAL ELECTRICAL SYSTEM FEASIBILITY STUDY Project No. 1413.0	\$ 20,000	\$ 16,090	08/31/92

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
QUEST ENGINEERS, INC. LEXINGTON	COMMONWEALTH STADIUM BLUE LOT Project No. 1414.0	\$ 438,000	\$ 28,350	07/21/92
	Previous Amendment #1		+ \$8,768	
	Consultant's Fee To Date:		\$ 37,118	
MORGAN C. McILWAIN, ASLA LEXINGTON	PADUCAH C.C. PARKING LOT Project No. 1415.0	\$ 165,000	\$ 15,613	07/14/92
QUEST ENGINEERS, INC. LEXINGTON	MADISONVILLE C.C. AUDITORIUM PARKING LOT Project No. 1419.0	\$ 520,000	\$ 40,766	10/14/92
K. NORMAN BERRY ASSOC. LOUISVILLE	JEFFERSON C.C. FINE ARTS CENTER RENOVATION PHASE I Project No. 1420.0	\$ 129,500	\$ 14,800	11/11/92
	Previous Amendment #1		- \$2,380	03/17/93
	Consultant's Fee To Date:		\$ 12,420	
ASSOCIATED DESIGNERS LEXINGTON	ALUMNI GYM FLOOR RENOVATION Project No. 1426.0	\$ 182,000	\$ 14,425	10/14/92
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON C.C. (DOWNTOWN) BOILER REPLACEMENT - BROADWAY BLDG. Project No. 1429.0	\$ 50,000	\$ 4,500	01/20/93
PEARSON + BENDER + JOLLY LEXINGTON	HENDERSON C.C. LRC STAIRWELL RENOVATION Project No. 1430.0	\$ 100,000	\$ 7,750	01/22/93
KALLMANN McKINNELL & WOOD BOSTON, MA	CENTRAL AND LIFE SCIENCES LIBRARY Project No. 1432.0	\$ 58,000,000	\$ 4,365,027	08/21/92
	Amendment #1	Monthly payment schedule revised	- 0 -	05/27/93
	Reason for Amendment:	Monthly payment schedule is changed to reflect Schedule A - allocation of fees.		
	Consultant's Fee To Date:		\$ 4,365,027	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
J. PATRICK KERR ARCHITECT PADUCAH	RENOVATIONS OF WALLER AND ROSENTHAL HALLS Project No. 1433.0	\$ 435,000	\$ 31,120	05/27/93
PRESNELL ASSOCIATES, INC. LOUISVILLE	JEFFERSON C.C. LRC ROOF REPLACEMENT Project No. 1434.0	\$ 150,000	\$ 11,135	03/17/93
PRESNELL ASSOCIATES, INC. LOUISVILLE	JEFFERSON C.C. HARTFORD TOWER ROOF REPLACEMENT Project No. 1435.0	\$ 75,000	\$ 6,645	03/17/93
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON C.C. (DOWNTOWN) 1ST FLOOR RENOV. - BROADWAY BLDG. Project No. 1436.0	\$ 260,000	\$ 17,500	01/20/93
Amendment #1	Additional Services		+ 2,647	06/11/93
Reason for Amendment	To cover additional design services related to increase in construction budget.			
Consultant's Fee To Date:			\$ 20,147	
QUEST ENGINEERS, INC. LEXINGTON	LEXINGTON C.C. SANITARY SEWER AND STORM DRAINAGE STUDY Project No. 1437.0	\$ 20,000	\$ 14,400	12/15/92
CONSULTANT'S FINAL PAYMENT			\$ 14,400	06/23/93
GODSEY ASBESTOS CONSULTANTS LOUISVILLE	MEDICAL CENTER - RM. H27 ASBESTOS REMOVAL Project No. 1439.0	\$ 221,000	\$ 14,125	01/20/93
GODSEY ASBESTOS CONSULTANTS LOUISVILLE	MEDICAL CENTER - RM. H14 ASBESTOS REMOVAL Project No. 1440.0	\$ 69,000	\$ 7,063	01/20/93
HARDY HOLZMAN PFEIFFER ASSOCIATES NEW YORK, NY	CLIFTON CIRCLE PLANNING STUDY Project No. 1445.0	\$ 150,000	\$ 150,000	09/15/92

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BUELL-FRYER ASSOCIATES, INC. LEXINGTON	PADUCAH COMM. COLLEGE STUDENT CTR. & LRC STRUCTURAL REPAIRS Project No. 1447.0	\$ 73,000	\$ 7,000	03/02/93
PEARSON + BENDER + JOLLY LEXINGTON	DONOVAN HALL RESTROOMS RENOVATION Project No. 1448.0	\$ 90,000	\$ 7,685	01/22/93
MASON & HANGER ENGINEERS LEXINGTON	HAZARDOUS WASTE STORAGE FACILITY Project No. 1456.0	\$ 1,600,000	\$ 78,000	01/22/93
Amendment #1	Additional Services		+ 8,593	05/27/93
Reason for Amendment	To cover additional services and drawing revisions to relocate building.			
Consultant's Fee To Date:			\$ 86,593	
FARRIS, HATCHER, TREMPER ASSOC., INC. PADUCAH	PADUCAH COMM. COLLEGE STUDENT CENTER/FINE ARTS BOILER REPLACEMENT Project No. 1462.0	\$ 100,000	\$ 7,704	05/27/93
PEARSON + BENDER + JOLLY LEXINGTON	MILLER HALL RENOVATION Project No. 1465.0	\$ 2,620,000	\$ 144,650	04/30/93
HMC CONSULTANTS, INC. LEXINGTON	MILLER HALL ASBESTOS ABATEMENT Project No. 1465.1	\$ 180,000	\$ 15,100	03/31/93
PEARSON + BENDER + JOLLY LEXINGTON	MILLER HALL RENOVATION Project No. 1465.0	\$ 2,620,000	\$ 144,650	04/30/93
TATE HILL JACOBS: ARCHITECTS, INC. LEXINGTON	ADMINISTRATION BUILDING ACCESSIBILITY RENOVATION Project No. 1468.0	\$ 342,000	\$ 28,000	05/28/93
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON COMM. COLLEGE HARTFORD BLDG. & LIBRARY SPRINKLERS Project No. 1469.0	\$ 365,000	\$ 26,880	05/27/93

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
HOWARD K. BELL CONSULTING ENGINEERS LEXINGTON	HOPKINSVILLE COMM. COLLEGE FIRE SPRINKLERS FOR THE ACADEMIC, LEARNING RESOURCE CTR. & ADMIN. BLDGS. Project No. 1470.0	\$ 230,000	\$ 16,581	06/18/93
ENVIRONMENTAL PLANNING AND DESIGN PITTSBURGH, PA	ARBORETUM PLANNING AND GRADING Project No. 1475.0	\$ 10,000	\$ 9,500	06/18/93
BOOKER ASSOCIATES, INC. LEXINGTON	CLINICAL PARKING LOT REPLACEMENT Project No. 1480.0	\$ 85,000	\$ 6,543	06/11/93

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
C.E. PENNINGTON CO. LEXINGTON	FIELD HOUSE Project No. 147.0	\$ 6,772,000- 7,240,000	10/29/91	4	\$ 6,772,000.00
Previous Change Orders #1-16					+ 76,852.13
CHANGE ORDER #17	1. Provide labor and materials to remove asphalt paving at existing drive and install new asphalt paving. Install new "seal coat" over new and existing asphalt paving. 2. Remove failed sub-base, install 6" of No. 2 stone and 4" compacted DGA 510 s.y.		06/04/93		+ 12,738.00
Reason for Change:	1. Existing pavement was badly deteriorated. Widened to provide pedestrian and Fire Department access routes for Field House and Commonwealth Stadium. 2. When existing pavement was removed, several areas of sub-grade were noted to be unstable due to sub-surface water.				
Contractor's Revised Contract Amount To Date:					\$ 6,861,590.13
NEW VISIONS CONST. CO., INC. LEXINGTON	FIELD HOUSE LANDSCAPE AND DETENTION BASIN Project No. 147.2	\$ 47,197- 101,660	04/12/93	3	\$ 47,197.00
SPECTRUM CONTRACTING SERVICES, INC. LEXINGTON	FIELD HOUSE GYMNASTICS FIT-UP Project No. 147.3	\$ 184,613- 219,565	02/03/93	9	\$ 184,613.00
ALLIED BUILDERS, INC. INDEPENDENCE	GAINES CTR FOR HUMANITIES Project No. 218.2	\$ 438,000- 533,769	10/29/91	8	\$ 438,000.00
Previous Change Orders #1-9					+ 3,705.39
Contractor's Revised Contract Amount To Date:					\$ 441,705.39
MATTHEWS/BUCKNER LEXINGTON	HOSPITAL EXPANSION PH. II MECHANICAL WORK-TC #9 Project No. 304.1	\$ 5,248,000- 5,482,000	06/28/88	2	\$ 5,248,000.00
Previous Change Orders #1-17					+ 471,238.88
Contractor's Revised Contract Amount To Date:					\$ 5,719,238.88

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
SPARKS-TERRELL LUMBER CO. LEXINGTON	HOSPITAL - WASTE INCINERATOR REPL Project No. 337.0	\$ 1,151,515- 1,410,000	05/07/92	4	\$ 1,151,515.00
Previous Change Orders #1-2					+ 8,871.75
CHANGE ORDER #3	Addition of freeze proof hydrant and hose bibb.		06/09/93		+ 1,017.89
Reason for Change:	Required for wash down of spilled pathological waste fluids.				
CHANGE ORDER #4	1. Relocate fire hose cabinet 2. Modify existing fire hydrant to allow use in new location.		06/18/93		+ 858.00
Reason for Change:	1. Non-accessible in existing location. 2. Concealed condition. Hydrant barrel was too long for new location.				
Contractor's Revised Contract Amount To Date:					\$ 1,162,262.64
R & W CONSTRUCTION CO. LOUISVILLE	ROBOTICS FACILITY Project No. 431.0	\$6,243,000- 6,800,000	11/25/87	6	\$6,243,000.00
Previous Change Orders #1-21					+ 283,067.48
Contractor's Revised Contract Amount To Date:					\$ 6,526,067.48
BILL'S ELECTRIC CO. LEXINGTON	AGRICULTURE ENGINEERING PARKING LOT LIGHTING Project No. 475.4	\$ 7,964- 11,500	10/26/92	5	\$ 7,964.62
Previous Change Orders #1-2					+ 2,743.99
CONTRACTOR'S FINAL PAYMENT			04/14/93		\$ 10,708.61
DALMATIAN FIRE, INC. MASON, OH	HOSPITAL FIRE SUPPRESSION FLOORS 5 & 6 Project No. 542.4	\$ 213,950- 269,458	06/05/91	6	\$ 213,950.00
Previous Change Order #1					+ 3,429.00
Contractor's Revised Contract Amount To Date:					\$ 217,379.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
THE R.H. JENKINS CO. ERLANGER	MEDICAL CENTER HEATING PLANT- BOILER CONTROLS Project No. 616.0	\$ 324,900- 628,450	10/25/90	2	\$ 324,900.00
Previous Change Order #1					+ 3,785.72
Contractor's Revised Contract Amount To Date:					\$ 328,685.72
VOCON, INC. HUNTINGTON, WV	ASHLAND COMM. COLLEGE ACADEMIC/LRC Project No. 630.0	\$ 3,396,319- 3,529,000	04/17/90	3	\$ 3,396,319.00
Previous Change Orders #1-8					+ 60,061.83
Contractor's Revised Contract Amount To Date:					\$ 3,456,380.83
MARKSBURY CORNETT ENG. LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #1 GENERAL WORK Project Nos. 640.1/640.2/640.3	\$ 1,800,000- 2,293,300	04/14/93	4	\$ 1,800,000.00
P&R ROOFING AND SHEET METAL LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #2 MOISTURE PROTECTION Project Nos. 640.1/640.2/640.3	\$ 155,825	05/12/93	1	\$ 155,825.00
HUSKISSON MASONRY, INC. LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #3 MASONRY & LIMESTONE Project Nos. 640.1/640.2/640.3	\$ 485,500- 680,000	04/05/93	5	\$ 485,480.00
L. STANDAFER COMPANY LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #5 LATH, PLASTER & DRYWALL Project Nos. 640.1/640.2/640.3	\$ 66,235- 75,120	04/05/93	3	\$ 66,235.00
MARTINA BROTHERS CO., INC. LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #6 WALL & FLOOR TILE Project Nos. 640.1/640.2/640.3	\$ 29,800- 35,000	04/05/93	2	\$ 29,800.00
L. STANDAFER COMPANY LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #7 ACOUSTICAL CEILINGS Project Nos. 640.1/640.2/640.3	\$ 39,315- 53,596	04/05/93	5	\$ 39,315.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CATRON INTERIOR SYSTEMS LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #8 RESILIENT FLOORING, CARPET & ACCESSORIES Project Nos. 640.1/640.2/640.3	\$ 55,914- 72,757	04/14/93	6	\$ 55,914.00
THOMPSON PAINTING AND DECORATING, INC. LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #9 SPECIAL COATINGS & PAINTING & ACCESSORIES Project Nos. 640.1/640.2/640.3	\$ 89,516- 189,600	04/14/93	4	\$ 89,516.00
SMITH & SCHAEFER, INC. CINCINNATI, OH	ASTeCC PHASES I, II, III WORK CATEGORY #10 LABORATORY CASEWORK Project Nos. 640.1/640.2/640.3	\$ 373,439- 490,492	04/14/93	5	\$ 373,439.00
BARBER-COLMAN COMPANY LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #12 FUME HOOD/FUME HOOD CONTROLS/BUILDING CONTROLS Project Nos. 640.1/640.2/640.3	\$ 631,867- 634,995	04/08/93	2	\$ 631,867.00
DALMATIAN FIRE, INC. MASON, OH	ASTeCC PHASES I, II, III WORK CATEGORY #13 FIRE PROTECTION Project Nos. 640.1/640.2/640.3	\$ 97,656- 110,500	04/14/93	2	\$ 97,656.00
EICHBERGER ENTERPRISES, INC. LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #14 MECHANICAL WORK Project Nos. 640.1/640.2/640.3	\$ 1,588,700- 1,832,000	04/08/93	3	\$ 1,588,700.00
ARROW ELECTRIC CO., INC. LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #15 ELECTRICAL WORK Project Nos. 640.1/640.2/640.3	\$ 677,384- 819,778	04/08/93	6	\$ 677,384.00
RAM ENGINEERING & CONST.* LOUISVILLE	CIVIL ENGINEERING BUILDING/ ASTeCC PHASES I & II WORK CATEGORY #1 GENERAL EXCAVATION WORK Project Nos. 640.1/640.2/746.2	\$ 847,425- 944,500	10/29/91	3	\$ 847,425.00
Previous Change Orders #1-13					+ 131,748.00
Contractor's Revised Contract Amount To Date:					\$ 979,173.00

* This contract is for three (3) interrelated and concurrent projects and represents the low bid.

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
FINNEY COMPANY, INC.* LEXINGTON	CIVIL ENGINEERING BUILDING/ ASTeCC PHASES I & II WORK CATEGORY #2 MECHANICAL WORK Project Nos. 640.1/640.2/746.2	\$ 377,000- 454,649	10/29/91	3	\$ 377,000.00
	Previous Change Orders #1-8				+ 17,508.00
	Contractor's Revised Contract Amount To Date:				\$ 394,508.00
AMTECK OF KENTUCKY* LEXINGTON	CIVIL ENGINEERING BUILDING/ ASTeCC PHASES I & II WORK CATEGORY #3 ELECTRICAL WORK Project Nos. 640.1/640.2/746.2	\$ 137,800- 179,000	10/29/91	4	\$ 137,800.00
	Previous Change Orders #1-12				+ 17,499.00
	Contractor's Revised Contract Amount To Date:				\$ 155,299.00
CONGLETON & HACKER CO. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #1 GENERAL WORK Project Nos. 640.1e/640.2e	\$ 1,556,970- 2,600,000	03/23/92	4	\$ 1,556,970.00
	Previous Change Orders #1-7				- 60,302.00
CHANGE ORDER #8	1. Add miscellaneous rebar, imbeds and couplers to allow future Bid Package points for connection to provide bridge to Civil Bldg. 2. Additional forming, rebar and concrete to add slab and curb at 2nd Floor.		04/05/93		+ 850.00
Reason for Change:	1. Required for connection of future grade beams and structural slabs and to provide connection of bridge to Civil Engineering Building. 2. Slab must extend over to curtain wall system at north and south ends to allow proper closure of curtain wall system to structure and maintain floor to floor separation.				
CHANGE ORDER #9	Revise foundation and add caissons on south end of building. Adds 21 caissons and revises foundations of retaining wall, foundation wall at ramp and foundation wall location. Time provided for completion of contract is increased by eighty-four (84) calendar days.		04/09/93		+ 144,945.00

* This contract is for three (3) interrelated and concurrent projects and represents the low bid.

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CONGLETON & HACKER CO. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #1 - GENERAL WORK Project Nos. 640.1e/640.2e				CONTINUED
Reason for Change:	Subsurface soil conditions discovered during excavation. Resulted in requirement to add caissons, grade beams, revise foundations, foundations walls and relocate a portion of the wall.				
CHANGE ORDER #10	Time provided for completion of contract is increased by forty-two (42) calendar days. NOTE: The change order will be processed as a backcharge to Westinghouse's contract with the University.		06/18/93		+ 20,175.00
Reason for Change:	Time extension and additional job site overhead due to late delivery of electrical equipment on Bid Pack #2.				
Contractor's Revised Contract Amount To Date:					\$ 1,662,638.00
D.W. WILBURN, INC. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #2 MASONRY WORK Project Nos. 640.1e/640.2e	\$ 634,500- 932,499	03/24/92	7	\$ 634,500.00
GRAYHAWK CORPORATION LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #3A WATERPROOFING Project Nos. 640.1e/640.2e	\$ 15,016- 32,000	07/20/92	3	\$ 15,016.00
P & R ROOFING AND SHEET METAL, INC. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #3B ROOFING AND SHEET METAL Project Nos. 640.1e/640.2e	\$ 54,535- 77,480	07/20/92	2	\$ 54,535.00
W.R. COLE ASSOCIATES, INC. LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #4A WINDOWS Project Nos. 640.1e/640.2e	\$ 109,638- 129,700	09/30/92	3	\$ 109,638.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
W.R. COLE ASSOCIATES, INC. LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #4B SKYLIGHT & CURTAIN WALLS Project Nos. 640.1e/640.2e	\$ 73,433- 89,300	09/30/92	2	\$ 73,433.00
ABELL ELEVATOR LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #5 CONVEYING SYSTEMS Project Nos. 640.1e/640.2e	\$ 108,932- 155,500	03/25/92	4	\$ 108,932.00
AMTECK OF KENTUCKY LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #7 ELECTRICAL WORK Project Nos. 640.1e/640.2e	\$ 48,583- 71,640	03/24/92	3	\$ 48,583.00
Previous Change Orders #1-2					+ 10,187.00
CHANGE ORDER #3	Add flood lighting to allow caisson drill to operate on a double shift.		06/10/93		+ 1,031.00
Reason for Change:	For 2nd drill shift to operate extra lights were required above and beyond that called for in special conditions.				
Contractor's Revised Contract Amount To Date:					\$ 59,801.00
SKILTON PAVING & CONSTRUCTION, INC. LEXINGTON	ASTeCC FACILITY PHASE IV BID PACK #1 DEMOLITION AND EXCAVATION Project No. 640.4	\$ 327,500- 367,400	05/14/93	5	\$ 327,500.00
RELIABLE MECHANICAL, INC. LOUISVILLE	EXPANDED CHILLED WATER PLANT #2 Project No. 666.2	\$ 7,675,000- 8,548,000	12/23/92	7	\$ 7,675,000.00
Previous Change Orders #1-4					+ 14,101.00
CHANGE ORDER #5	1. Replace existing 4160 volt cable from power pole at upper street to manhole E102. 2. Install two (2) 5" pvc conduits from high voltage switch bays 6 & 6 to manhole E102. 3. Disconnect condensate piping at foundation wall. Route condensate piping to northeast corner of building. Connect to existing condensate piping.		04/20/93		+ 17,144.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
RELIABLE MECHANICAL, INC. LOUISVILLE	EXPANDED CHILLED WATER PLANT #2 Project No. 666.2				CONTINUED
Reason for Change:	- Existing cable will not pass high voltage test and must be replaced. - Conduits necessary for execution of existing chiller plant feeder relocation. - Uncharted line is active and must be relocated before construction can proceed.				
CHANGE ORDER #6	- Relocate 2-3/4" pvc conduits at north end of cooling tower basin. - Provide emergency light fixture in toilet room.		06/04/93		+ 813.00
Reason for Change:	- Conduits must be relocated to re-establish site security lighting. - Building code requires emergency light fixtures in toilet rooms.				
CHANGE ORDER #7	Provide one layer of 6 mil poly sheeting under mud slab and 6 x 6/2.9/2.9 reinforcing in mud slab.		06/04/93		+ 2,431.00
Reason for Change:	Inspection of existing rock subgrade reveals conditions which might cause localized voids under floor slab in future.				
CHANGE ORDER #8	- Remove and reinstall approximately 100 lf of construction fencing with vehicle access gate. - Provide water stop at wall construction joints, elevation 945 of cooling tower sump. - Excavate an 59' x 30' x 2.8' area in southwest corner of basement and backfill with #2 stone.		06/18/93		+ 7,617.00
Reason for Change:	- Relocation of cooling tower access stairs to provide clearance for future substation expansion resulted in restriction of construction access route. - Required to prevent excessive water leakage. - Soil in southwest corner basement would not support construction equipment nor structural building slab.				
Contractor's Revised Contract Amount To Date:					\$ 7,717,106.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
WOODFORD BUILDERS, INC. LEXINGTON	SPINDLETOP FACILITY RENOVATION Project No. 671.1	\$ 642,400- 728,800	11/09/92	11	\$ 642,400.00
Previous Change Order #1					+ 9,206.00
CHANGE ORDER #2	1. Delete three (3) light fixtures in Corridor #40. 2. Addition of bulkhead to cover duct run in Corridor #34.		04/02/93		- 8.65
Reason for Change:	1. It was determined that existing ceiling and light fixtures met the "Guide for the Care and Use of Laboratory Animals" requirement. 2. It was discovered that existing HVAC ductwork to remain required new HVAC ductwork to drop below new finished ceiling line in accordance with "Guide for the Care and Use of Laboratory Animals".				
CHANGE ORDER #3	Relocate existing underslab conduit in Mechanical Room to an overhead location.		06/28/93		+ 154.10
Reason for Change:	Necessary to relocate conduits to an overhead position due to mechanical pier location.				
Contractor's Revised Contract Amount To Date:					\$ 651,751.45
INTERNATIONAL WASTE INDUSTRIES VIENNA, VA	SPINDLETOP INCINERATOR Project No. 671.2	\$ 64,750- 79,690	05/26/93	3	\$ 64,750.00
SPARKS TERRELL LUMBER CO. LEXINGTON	SPORTS TICKET OFFICE Project No. 702.0	\$91,590- 124,000	11/04/91	10	\$91,590.00
Previous Change Orders #1-3					+ 2,309.73
CHANGE ORDER #4	Deduct signage allowance.		04/07/93		- 5,000.00
Reason for Change:	Deduct signage allowance to close out project.				
CONTRACTOR'S FINAL PAYMENT			04/07/93		\$88,899.73
TRIPLE D COMMUNICATIONS NICHOLASVILLE	LANDSCAPING AT SPORTS TICKET OFFICE Project No. 702.1	\$ 23,220- 33,474	10/21/92	3	\$ 23,220.00
Previous Change Order #1					+ 1,712.00
Contractor's Revised Contract Amount To Date:					\$ 24,932.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
PEYRONNIN CONSTRUCTION CO. EVANSVILLE, IN	HENDERSON COMM. COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 722.0	\$ 3,266,000- 3,542,000	12/04/91	6	\$ 3,266,000.00
Previous Change Orders #1-11					+ 28,880.96
CHANGE ORDER #12	Additional work for providing terminating cable, including punch down boards and interface cable between communication rooms.		04/02/93		+ 4,810.00
Reason for Change:	Work was to be done by UK Communications. They have determined that workload is too great to perform intended work.				
CHANGE ORDER #13	For labor and material to provide piping and blow down tank for 15KW steam boiler.		04/16/93		+ 1,938.00
Reason for Change:	Boiler inspector requested that a blow down tank be added to boiler.				
CHANGE ORDER #14	Furnish and install telephone and dialer in in elevator cab with wiring extended to telephone distribution board.		06/21/93		+ 405.00
Reason for Change:	Change was requested in order for phone to be connected directly to campus security in Lexington.				
CHANGE ORDER #15	Add two (2) "D" type fixtures in Corridor 215 at Column Line "B".		06/29/93		+ 360.00
Reason for Change:	These two (2) light fixtures were required but were not shown on the Contract Documents.				
Contractor's Revised Contract Amount To Date:					\$ 3,302,393.96
J.L. POOLE CONST., INC. HENDERSON	HENDERSON COMM. COLLEGE AUDITORIUM/FINE ARTS BLDG. Project No. 723.0	\$ 4,965,000- 5,460,340	12/17/91	4	\$ 4,965,000.00
Previous Change Orders #1-8					+ 77,537.75
CHANGE ORDER #9	1. To furnish a 10'-0" wide coiling fire door and folding acoustical door in lieu of specified 8'-0". These doors are located in a 2-hour rated wall. 2. Time extension of seventeen (17) calendar days due to unsuitable weather conditions.		06/28/93		+ 971.75

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
J.L. POOLE CONST., INC. HENDERSON	HENDERSON COMM. COLLEGE AUDITORIUM/FINE ARTS BLDG. Project No. 723.0				CONTINUED
Reason for Change:	1. During review of shop drawings was discovered that folding acoustical door would only leave a 5'-0" clear opening. Stage area would have been hampered with this opening. 2. Bad conditions, including snow, which held up masonry work and concrete work during entire month.				
Contractor's Revised Contract Amount To Date:					\$ 5,043,509.50
ALADDIN ELECTRIC, INC. MADISONVILLE	HENDERSON COMM. COLLEGE AUDITORIUM/FINE ARTS BUILDING SITE UTILITIES Project No. 723.1	\$ 42,288- 88,988	07/21/92	5	\$ 42,288.00
BUFCO CORPORATION/DBA BUFCO ELECTRIC EVANSVILLE, IN	HENDERSON COMM. COLLEGE COMMUNICATION DUCTBANK AND OUTSIDE PLANT TELEPHONE CABLE INSTALLATION Project No. 723.3	\$ 92,586- 139,860	08/25/92	6	\$ 92,586.00
PRINCETON LUMBER CO., INC. PRINCETON	MADISONVILLE COMM. COLLEGE ADMINISTRATION BUILDING COMPLETION Project No. 724.2	\$ 371,400- 424,250	08/25/92	8	\$ 371,400.00
Previous Change Orders #1-13					+ 29,313.00
CONTRACTOR'S FINAL PAYMENT			06/23/93		\$ 400,713.00
HARTZ CONSTRUCTION CO. OWENSBORO	OWENSBORO COMM. COLLEGE CAMPUS COMPLETION Project No. 725.0	\$ 3,218,000- 3,479,749	12/05/91	5	\$ 3,218,000.00
Previous Change Orders #1-19					+ 37,777.38
CHANGE ORDER #20	1. Completion of kitchen equipment and miscellaneous additional requirements to meet requirement of Canteen Service Company of Owensboro. 2. Furring and drywalling north wall of Maintenance Building and west wall of Kitchen, Vestibule and Food Storage in Student Center. 3. Delete all room signs and directories from contract for Student Center/Classroom Building.				04/26/93 + 3,558.92

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
HARTZ CONSTRUCTION CO. OWENSBORO	OWENSBORO COMM. COLLEGE CAMPUS COMPLETION Project No. 725.0				CONTINUED
Reason for Change:	1. Changes in kitchen equipment were anticipated due to selection of operation vendor. 2. Existing foundation wall exposed to height of 4' and could not be adequately cleaned. 3. Room signage will be bought as per University price contract. Signage included in original contract was not in compliance with ADA.				
CHANGE ORDER #21	1. Add four (4) window blinds in Classroom Building (Academic). 2. Add concrete curb in Mechanical Room in Student Center. 3. Add an open receptacle in Student Center for connecting garbage disposal directly into sewer line rather than into grease trap.		05/07/93		+ 677.55
Reason for Change:	1. Contract documents omitted four windows from the finish schedule. 2. Curb will eliminate possibility of water from condensing lines flooding lower level of Student Center should there be a break in lines. 3. Change required by Plumbing Inspector.				
CHANGE ORDER #22	Relocate open receptacle for condensate drain out from existing Maintenance Building.		06/04/93		+ 229.52
Reason for Change:	Condition discovered during construction of Student Center not previously observed.				
Contractor's Revised Contract Amount To Date:					\$ 3,260,243.37
HAZEX CONSTRUCTION, INC. HENDERSON	OWENSBORO COMM. COLLEGE ROADWAY COMPLETION Project No. 725.2	\$ 97,800- 122,400	06/08/93	2	\$ 97,800.00
DENHAM-BLYTHE CO., INC. LEXINGTON	MAYSVILLE COMM. COLLEGE STUDENT CENTER Project No. 727.0	\$ 3,371,900- 4,093,300	01/08/92	4	\$ 3,371,900.00
Previous Change Orders #1-7					+ 77,957.00
CHANGE ORDER #8	Add concrete curb where mechanical equipment concrete pad abuts building.		04/16/93		+ 379.00
Reason for Change:	Brick seat elevation varied. Curb is needed to provide consistent appearance.				

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
DENHAM-BLYTHE CO., INC. LEXINGTON	MAYSVILLE COMM. COLLEGE STUDENT CENTER Project No. 727.0				CONTINUED
CHANGE ORDER #9	HVAC ductwork required revisions in order to fit between structure and finished ceilings.		05/07/93		+ 6,803.00
Reason for Change:	Change order due to conflicts between building structure, ceiling, mechanical and electrical equipment.				
Contractor's Revised Contract Amount To Date:					\$ 3,457,039.00
GREEN CONSTRUCTION CO., INC. MIDDLESBORO	SOUTHEAST COMM. COLLEGE APPALACHIAN CENTER/ FINE ARTS BUILDING Project No. 728.0	\$ 3,927,900- 4,226,449	12/18/91	7	\$ 3,927,900.00
Previous Change Orders #1-18					+ 86,988.35
CHANGE ORDER #19	Add 250 square yards of carpeting to moveable platform seating.		04/05/93		+ 5,563.12
Reason for Change:	Carpeting was inadvertently left off bid documents. Carpet is needed to control sound.				
CHANGE ORDER #20	Add 60 l.f. of 1/2 x 8" steel plates for block lintels.		04/20/93		+ 960.00
Reason for Change:	There is block wall above with beam that was narrower than anticipated. This creates situation where block wall had no bearing.				
CHANGE ORDER #21	Add one (1) additional pendent sprinkler head to Stair "B".		04/29/93		+ 103.50
Reason for Change:	State Fire Marshall determined during on-site inspection that additional sprinkler head was required in Stair "B" below a mid landing.				
CHANGE ORDER #22	1. Provide vinyl composition tile in Room 103 - Concession, 212A-TV Sound Control, and 212D - Rehearsal. 2. Build brick column around exterior steel column on second floor. 3. Run sanitary sewer line to next manhole on existing sanitary sewer line which is out in middle of access road to upper parking lot.		06/07/93		+ 5,735.67

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
GREEN CONSTRUCTION CO., INC. MIDDLESBORO	SOUTHEAST COMM. COLLEGE APPALACHIAN CENTER/FINE ARTS BUILDING Project No. 728.0				CONTINUED
Reason for Change:	1. Vinyl composition tile left off original contract because of budget concerns before bidding. 2. Brick masonry column was left out of original contract. 3. Slope for sanitary sewer line from building to existing manhole #1 does not meet minimum requirement for minimum slope.				
Contractor's Revised Contract Amount To Date:					\$ 4,027,250.64
CONGLETON HACKER CO. LEXINGTON	LEXINGTON COMM. COLLEGE ACADEMIC TECHNICAL BLDG. Project No. 729.0	\$ 3,357,869- 3,770,000	12/09/91	7	\$ 3,357,869.00
Previous Change Orders #1-30					+ 105,372.04
CHANGE ORDER #31	Construct framed concrete slab under two (2) sections of walkway canopy in lieu of slab on grade. Provide stainless steel railings on each side of walk. Time provided for completion of this Change Order is increased by twenty-one (21) calendar days.		04/01/93		+ 14,213.00
Reason for Change:	This change will direct water under sidewalk and away from east entrance to Oswald Building.				
Contractor's Revised Contract Amount To Date:					\$ 3,477,454.04
F.W. OWENS CO. LOUISVILLE	JEFFERSON C.C. COLLEGE SW ACADEMIC BUILDING Project No. 731.0	\$ 2,054,400- 2,214,874	07/25/91	8	\$ 2,054,400.00
Previous Change Orders #1-14					+ 31,156.00
CONTRACTOR'S FINAL PAYMENT			05/24/93		\$ 2,085,556.00
RIVERSIDE PAVING & CONTRACTING, INC. LOUISVILLE	JEFFERSON COMM COLLEGE SW PLAZA AND PARKING LOT Project No. 731.1	\$ 138,990- 190,581	11/09/92	5	\$ 138,990.00
Previous Change Order #1					+ 6,995.25
CHANGE ORDER #2	Time provided for completion of contract is increased by sixty-seven (67) calendar days.		04/02/93		- 0 -

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
RIVERSIDE PAVING & CONTRACTING, INC. LOUISVILLE	JEFFERSON COMM COLLEGE SW PLAZA AND PARKING LOT Project No. 731.1				CONTINUED
Reason for Change:	Poor weather (wet conditions and low temperatures).				
Contractor's Revised Contract Amount To Date:					\$ 145,985.25
CHARLES C. GRAY CONSTRUCTION CO., INC. LOUISVILLE	JEFFERSON COMM. COLLEGE SW PEDESTRIAN PLAZA Project No. 731.2	\$ 85,900- 87,760	05/14/93	2	\$ 85,900.00
WILDS CONTRACTING PARIS	JEFFERSON COMM COLLEGE SW PARKING LOT DRAINAGE PIPE EXT. Project No. 731.3	\$ 10,300- 33,566	05/04/93	5	\$ 10,300.00
CONTRACTOR'S FINAL PAYMENT			06/29/93		\$ 10,300.00
WOODFORD BUILDERS, INC. LEXINGTON	CHEMISTRY/PHYSICS BUILDING RENOVATION Project No. 732.0	\$ 1,128,400- 1,299,000	12/04/91	8	\$ 1,128,400.00
Previous Change Orders #1-30					+ 39,015.61
CONTRACTOR'S FINAL PAYMENT			05/27/93		\$ 1,167,415.61
ADVANCED FIRE PROTECTION WILDER	FUNKHOUSER FIRE SPRINKLERS Project No. 743.0	\$ 183,216- 243,760	04/14/92	7	\$ 183,216.00
Previous Change Orders #1-7					+ 8,878.80
CHANGE ORDER #8	Reduce allowance included for Kentucky American Water Co. fees included in base bid.		04/20/93		- 370.16
Reason for Change:	Kentucky American Water Co. fee for pit was not as large as expected.				
CONTRACTOR'S FINAL PAYMENT			04/20/93		\$ 191,724.64

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
MILNER ELECTRIC LEXINGTON	AGRICULTURE SCIENCE NO.2 EMERGENCY GENERATOR Project No. 744.1	\$ 51,346- 77,982	12/09/92	5	\$ 51,346.00
CHANGE ORDER #1	1. Install additional 23 l.f. of 6" welded steel exhaust piping. Remove uncharted solid rock for installation of exterior fuel fill line. 2. Furnish and install warning system on fuel oil storage tank. Furnish and install two (2) check valves in vertical fuel oil fill line riser. 3. Provide 480 volt, 3-phase motors for fuel oil transfer pump.		04/26/93		+ 5,874.96
Reason for Change:	1. Actual location of exhaust pipe termination required by existing building conditions differed from location called for in Contract Documents. Solid rock encountered while excavating next to building foundation. 2. Recommended by State Building Code. 3. 1-Phase motors called for in Contract Documents are not available.				
Contractor's Revised Contract Amount To Date:					\$ 57,220.96
RELIABLE MECHANICAL, INC. LOUISVILLE	CHEMISTRY/PHYSICS BLDG. FUME HOOD UPGRADE Project No. 745.1	\$ 2,806,789- 4,019,000	10/10/92	2	\$ 2,806,789.00
A & A MECHANICAL, INC. LOUISVILLE	FUME HOOD SYSTEM UPGRADE AG. SCIENCE NORTH BLDG. #1 Project No. 745.2	\$ 2,642,276- 3,218,850	02/23/93	6	\$ 2,642,276.00
FRED CLEMENTS HEATING & AIR LEXINGTON	FUME HOOD SYSTEM UPGRADE AGRICULTURAL SCIENCE #2 Project No. 745.3	\$ 574,308- 599,680	04/14/92	2	\$ 574,308.00
Previous Change Orders #1-17					+ 27,033.16
Contractor's Revised Contract Amount To Date:					\$ 601,341.16
E.C. MATTHEWS CO., INC. LEXINGTON	FUME HOOD SYSTEM UPGRADE II AGRICULTURE SCIENCE NO.2 Project No. 745.33	\$ 104,500- 148,775	08/03/92	4	\$ 104,500.00
CHANGE ORDER #1	1. Contractor shall paint three (3) galvanized fume hood stacks provided on project with color selected by University 2. Revise existing lightning protection system to meet code.		04/16/93		+ 2,146.50

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
E.C. MATTHEWS CO., INC. LEXINGTON	FUME HOOD SYSTEM UPGRADE II AGRICULTURE SCIENCE NO.2 Project No. 745.33				CONTINUED
Reason for Change:	1. Painting of stacks is necessary in order to achieve aesthetically acceptable finish. 2. Existing system was not in good enough condition to meet current code, being partially disconnected.				
CHANGE ORDER #2	1. Contractor shall provide repairs to acid waste system as required. 2. Add schedule 40 BLK steel ether room exhaust to interior of main stack. 3. Add schedule 40 BLK steel generator exhaust stack, complete, up through main exhaust stack.	04/28/93			+ 1,639.96
Reason for Change:	1. Contractor employee dropped heavy object through acid waste vent causing breakage of glass piping below. 2. Ether room exhaust system inadvertently omitted from contract documents. 3. Exhaust stack was inadvertently omitted from project. Stack is necessary for operation of system.				
CHANGE ORDER #3	1. Add two (2) each 45 degree bends and support steel for duct between EF-1 and stack inlet. 2. Add two (2) degree bends in perchloric acid exhaust duct to make connection to stack.	04/29/93			+ 1,404.57
Reason for Change:	1 & 2. Adjustments for alignment purposes were necessary due to conditions beyond contractor's reasonable control.				
Contractor's Revised Contract Amount To Date:					\$ 109,691.03
LEHMANN ENGINEERING AND CONTRACTING LOUISVILLE	CHANDLER MEDICAL CENTER FUME HOOD UPGRADE Project No. 745.8	\$5,580,000- 6,200,000	05/05/93	3	\$5,580,000.00
UNITED EQUIPMENT CO., INC. LOUISVILLE	CHANDLER MEDICAL CENTER FUME HOOD UPGRADE ROOF ASBESTOS REMOVAL & TEMPORARY ROOF Project No. 745.81	\$ 48,200- 106,292	05/05/93	6	\$48,200.00
THERMAL BALANCE, INC. LEXINGTON	CHANDLER MEDICAL CENTER FUME HOOD UPGRADE TESTING AND BALANCING Project No. 745.82	\$ 78,860- 83,800	05/05/93	2	\$78,860.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
LAGCO, INC. LEXINGTON	CIVIL ENGINEERING DEMO & MODIFICATION WORK CATEGORY #2 MECHANICAL WORK Project No. 746.1	\$ 70,331- 89,342	07/29/91	2	\$ 70,331.00
Previous Change Orders #1-3					+ 15,665.00
Contractor's Revised Contract Amount To Date:					\$ 85,996.00
WOODFORD BUILDERS LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #1 GENERAL WORK Project No. 746.3	\$ 1,764,000- 1,910,000	02/25/92	5	\$ 1,764,000.00
Previous Change Orders #1-8					- 11,618.00
CHANGE ORDER #9	1. Add two embedded plates at second level on east elevation at column line D. 2. Modify six (6) type 4B19 beams north of column line C. 3. Add caissons and revise foundations to grade beams at south lab.		04/05/93		+ 34,668.00
Reason for Change:	1. Plates required for future bridge from ASTeCC III. 2. Architectural drawings require a soffit in this location which would be interfered with by beam bottom. 3. Unforeseen condition - poor bearing conditions.				
CHANGE ORDER #10	Add 2" to curb surrounding atrium on all levels. This makes all curbs 8" in lieu of 6" shown on structural drawings.		04/29/93		+ 2,646.00
Reason for Change:	8" block wall at elevator is to sit on top of curb.				
CHANGE ORDER #11	Add 2'-8" to grade beam wall at south lab at perimeter wall.		05/13/93		+ 3,779.00
Reason for Change:	Structural details of south lab wall did not match sections shown on architectural drawings.				
Contractor's Revised Contract Amount To Date:					\$ 1,793,475.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
BOYLE MASONRY, INC. STANFORD	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #2 MASONRY WORK Project No. 746.3	\$ 635,400- 1,098,000	02/28/92	6	\$ 635,400.00
Previous Change Orders #1-2					+ 1,348.00
Contractor's Revised Contract Amount To Date:					\$ 636,748.00
EAGLE ROOFING & SHEET METAL LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #3 ROOFING & SHEET METAL Project No. 746.3	\$ 159,700- 271,109	02/28/92	5	\$ 159,700.00
CHANGE ORDER #1	Add stainless steel flashings at band of limestone at roof level.		04/01/93		+ 1,818.00
Reason for Change:	Stainless steel flashing is required with limestone band being installed.				
Contractor's Revised Contract Amount To Date:					\$ 161,518.00
W.R. COLE AND ASSOCIATES LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #4 SKYLIGHTS & WINDOWS Project No. 746.3	\$ 253,255- 284,500	02/26/92	3	\$ 253,255.00
D & C ELEVATOR LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #5 CONVEYING SYSTEMS Project No. 746.3	\$ 182,294- 249,000	02/28/92	3	\$ 182,294.00
Previous Change Order #1					+ 435.00
Contractor's Revised Contract Amount To Date:					\$ 182,729.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
LAGCO, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #6 MECHANICAL WORK Project No. 746.3	\$ 108,607- 125,430	02/26/92	3	\$ 108,607.00
	Previous Change Orders #1-6				- 2,634.00
CHANGE ORDER #7	Add fittings on underground chill water piping at both ends of tunnel. Conditions require 6 fittings, 2 more than in original contract and 2 more than were available for salvage.		04/07/93		+ 2,663.00
Reason for Change:	Existing conditions.				
Contractor's Revised Contract Amount To Date:					\$ 108,636.00
AMTECK OF KENTUCKY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #7 ELECTRICAL WORK Project No. 746.3	\$ 76,779- 157,400	02/28/92	5	\$ 76,779.00
	Previous Change Orders #1-2				+ 3,742.00
Contractor's Revised Contract Amount To Date:					\$ 80,521.00
MARKSBURY-CORNETT ENG. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #1 GENERAL WORK Project No. 746.4	\$ 480,000- 578,890	06/19/92	3	\$ 480,000.00
CHANGE ORDER #1	Revise eight (8) wood doors to delete vision panel frame. Revise one (1) door to add vision panel.		06/29/93		- 120.00
Reason for Change:	Contract documents indicated vision panels for wood doors in areas where they are not needed. One door requires vision panel that was not specified.				
Contractor's Revised Contract Amount To Date:					\$ 479,880.00
BOYLE MASONRY, INC. STANFORD	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #2 MASONRY WORK Project No. 746.4	\$ 294,425	06/18/92	1	\$ 294,425.00
	Previous Change Order #1				+ 2,100.00
Contractor's Revised Contract Amount To Date:					\$ 296,525.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
W. R. COLE & ASSOC. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #3 ELECTRICAL WORK Project No. 746.4	\$ 63,717	06/23/92	1	\$ 63,717.00
CHANGE ORDER #1	Add two (2) type 'HH' windows with frames and installation, one each in Rooms CO16A and CO16B		06/24/93		+ 210.00
Reason for Change:	Windows not shown in detail. No indication of type - Hollow metal vs. aluminum.				
CHANGE ORDER #2	Revise eight (8) wood doors to delete glass and frame. Revise one (1) door to add a vision panel.		06/29/93		- 120.00
Reason for Change:	Contract documents indicated vision panels for wood doors in areas where they are not needed. One door requires a vision panel that was not specified.				
Contractor's Revised Contract Amount To Date:					\$ 63,807.00
L. STANDAFER CO. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #4 LATH, PLASTER AND DRYWALL Project No. 746.4	\$ 86,100- 134,718	06/23/92	5	\$ 86,100.00
MARTINA BROTHERS LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #5 CERAMIC TILE Project No. 746.4	\$ 11,970	06/19/92	1	\$ 11,970.00
COMMERCIAL DRYWALL NICHOLASVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #6 ACOUSTICAL CEILINGS Project No. 746.4	\$ 45,258- 58,500	06/23/92	6	\$ 45,258.00
L. STANDAFER CO.* LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #7 RESILIENT FLOORING AND CARPETING Project No. 746.4	\$ 33,300- 57,400	06/23/92	4	\$ 50,995.00

* The contract was awarded to the second low bidder. The low bidder presented convincing evidence that a mistake was made on the bid submitted. This action was taken under provisions of KRS 45A.080 and Administrative Regulations 200 KAR 5:306.

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
THOMPSON PAINTING & DECORATING, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #8 PAINTING AND SPECIAL COATINGS Project No. 746.4	\$ 99,000- 156,213	06/24/92	4	\$ 99,000.00
ECKERT FIRE PROTECTION CINCINNATI, OH	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #9 FIRE PROTECTION WORK Project No. 746.4	\$ 89,339- 146,600	06/23/92	5	\$ 89,339.00
AMTECK OF KENTUCKY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #12 ELECTRICAL WORK Project No. 746.4	\$ 594,250- 663,000	06/23/92	5	\$ 594,250.00
CHANGE ORDER #1	Provide labor, material and equipment to remove light switch, fire alarm pull station, and two (2) other conduits from wall on lower level of Anderson Hall.		06/01/93		+ 120.00
Reason for Change:	No electrical demolition shown in this area. Power must be removed to all saw cutting of opening into Anderson.				
Contractor's Revised Contract Amount To Date:					\$ 594,370.00
LEXINGTON BUILDING SUPPLY LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #13 HOLLOW METAL WORK Project No. 746.4	\$ 23,206- 26,700	06/19/92	3	\$ 23,206.00
CHANGE ORDER #1	Revise borrow lite sizes on hollow metal doors provided for this project.		06/18/93		+ 765.00
Reason for Change:	To allow proper handicap vision through doors.				
Contractor's Revised Contract Amount To Date:					\$ 23,971.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
LEXINGTON BUILDING SUPPLY LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #14 FINISH HARDWARE Project No. 746.4	\$ 42,221- 53,200	06/19/92	3	\$ 42,221.00
CHANGE ORDER #1	Revise borrow lite sizes on hollow metal doors provided for this project		05/11/93		+ 765.00
Reason for Change:	To allow proper handicap vision through these doors.				
CHANGE ORDER #2	Cancel change order #1.		06/18/93		- 765.00
Reason for Change:	To allow proper handicap vision through these doors.				
Contractor's Revised Contract Amount To Date:					\$ 42,221.00
ENGLISH PAVING CO., INC. VERSAILLES	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #1 SITE UTILITIES Project No. 746.5	\$ 92,300- 169,400	09/30/92	3	\$ 92,300.00
WOODFORD BUILDERS, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #2 SITE IMPROVEMENTS Project No. 746.5	\$ 214,700- 314,100	09/30/92	3	\$ 214,700.00
DALTON MAINTENANCE, INC. MEDWAY, OH	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #3 MOISTURE PROTECTION Project No. 746.5	\$ 77,107- 97,590	10/13/92	2	\$ 77,107.00
SMITH & SCHAEFER, INC. CINCINNATI, OH	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #4 CASEWORK/FURNISHINGS/BLINDS Project No. 746.5	\$ 644,040- 778,700	11/05/92	2	\$ 644,040.00
ENERGY CONSERVATION TECHNIQUES, INC. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #5 FUMEHOODS/FUMEHOOD CONTROLS/BUILDING CONTROLS Project No. 746.5	\$ 342,000- 438,910	11/11/92	4	\$ 342,000.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
LANDMARK SPRINKLER, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #6 FIRE PROTECTION Project No. 746.5	\$ 49,980- 64,281	09/30/92	3	\$ 49,980.00
CHARLES E. JARRELL CONTRACTING CO., INC. EARTH CITY, MO	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #7 MECHANICAL/PLUMBING Project No. 746.5	\$ 1,507,000- 1,888,000	10/13/92	6	\$ 1,507,000.00
CHANGE ORDER #1	Provide labor, material and equipment to add condensate drains to environmental rooms.		05/19/93		+ 449.00
Reason for Change:	No provisions were made to drain condensate produced by condensers and evaporators on top of equipment.				
Contractor's Revised Contract Amount To Date:					\$ 1,507,449.00
AMTECK OF KY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #8 ELECTRICAL Project No. 746.5	\$ 115,000- 252,656	09/30/92	5	\$ 115,000.00
CHANGE ORDER #1	1. Temporarily connect new chill water pumps to existing Anderson hall power system. 2. Provide labor, material and equipment to revise power requirements to environmental rooms.		05/19/93		+ 4,335.00
Reason for Change:	1. Scheduled completion of new electrical system does not allow start-up of pumps. 2. Power indicated is insufficient to operate rooms as required by manufacturer.				
Contractor's Revised Contract Amount To Date:					\$ 119,335.00
FRANK MESSER & SONS CINCINNATI, OH	MEDICAL RESEARCH EXPANSION SPACE Project No. 747.0	\$ 13,932,000- 15,170,000	02/10/93	7	\$ 13,932,000.00
CHANGE ORDER #1	Provide and install two (2) new gate valves in existing 8" loop water line		04/01/93		+ 3,993.00
Reason for Change:	Allowed section of 8" line running across site to be isolated so storm sewer construction could continue without possible damage to existing water line.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
FRANK MESSER & SONS CINCINNATI, OH	MEDICAL RESEARCH EXPANSION SPACE Project No. 747.0				CONTINUED
CHANGE ORDER #2	1. Close vertical shafts Z4, Z5, Z6, Z7 and Z10 between basement and first floor. 2. Delete 5/8" gypsum board and substitute two (2) layers of 1/2" FC gypsum board. Provide a 3/4 hour C label on exterior door 002.		04/29/93		+ 5,172.00
Reason for Change:	1. KBC sections required bottoms of shafts be closed. 2. Sections of KBC requires a one hour wall within 10 feet of unprotected openings at stairwells.				
CHANGE ORDER #3	Relocate fire alarm connection to Surge Building: requiring rerouting through new manhole system. Time provided for completion of contract is increased by seven (7) calendar days.		05/19/93		+ 5,750.00
Reason for Change:	Existing drawings and survey did not show fire alarm line in communications duct. Normally, the Surge Building would have its own system and not be tied into Medical Center.				
CHANGE ORDER #4	Extend completion date by two (2) calendar days.		06/04/93		+ -0-
Reason for Change:	Two (2) day extension is due to inclement weather during the month of April, 1993.				
Contractor's Revised Contract Amount To Date:					\$ 13,946,915.00
FRANK MESSER & SONS CINCINNATI, OH	ANIMAL DIAGNOSTIC FACILITY Project No. 748.0	\$2,921,200- 2,948,200	10/19/92	4	\$ 2,921,200.00
Previous Change Order #1					+ 2,971.00
CHANGE ORDER #2	1. Add remobilization charges. 2. Caisson adjustment per plans and specs. 3. Time provided for completion of contract is increased by sixteen (16) calendar days.		04/05/93		+ 8,482.00
Reason for Change:	1. To delay construction of loading dock area until July per Owner request. 2. Caisson deviations from plan to actual field conditions. 3. Excessive rain has kept soil too wet to work.				
CHANGE ORDER #3	Provide new conduit and wire from new post indicator valve location to existing sprinkler vault.		04/05/93		+ 515.00
Reason for Change:	Existing underground fire alarm circuit from existing sprinkler vault interferes with new one story addition grade beams.				

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
FRANK MESSER & SONS CINCINNATI, OH	ANIMAL DIAGNOSTIC FACILITY Project No. 748.0				CONTINUED
CHANGE ORDER #4	Provide 1-1/2" rigid insulation board at CUM wall which separates lab spaces from mechanical chases.		04/05/93		+ 1,239.00
Reason for Change:	Contract documents do not show insulation at chase walls. Insulation needs to be added at these areas.				
CHANGE ORDER #5	1. Provide temporary power to existing owner trailer. 2. Demo existing footer for makeup air duct to enter building change includes a concrete lintel for support of existing wall. 3. Replace existing Necropsy cooler floor drains, traps and associated waste plumbing, including connection to vents as required by building inspector.		06/04/93		+ 7,812.00
Reason for Change:	1. Due to safety issues and pole servicing trailer in way of new work, necessary to provide power from a different route. 2. Concrete footer had to be removed to accommodate new duct work. 3. Existing floor drains are leaking blood around them and piping was not installed per plumbing code.				
CHANGE ORDER #6	1. Paint walls of existing Room 020A (boiler room) with epoxy paint. 2. Change face brick from General Shale "Brunswick #488" to U.S. brick "Newtown". 3. Repair ridges in existing roof by spudding back rock from ridge areas in existing roof system.		06/04/93		+ 9,061.00
Reason for Change:	1. Painting walls with epoxy will cover stains and permit easier cleaning of the walls in future. 2. Change was necessary to obtain closer match to existing brick. 3. Ridges in roof could cause roof leaks in next few years. When repaired, roof could last another 5 to 10 years instead of 2 to 5 years.				
Contractor's Revised Contract Amount To Date:					\$ 2,951,280.00
Z & J CONSTRUCTION, INC. LEXINGTON	MEMORIAL COL. EXPANSION Project No. 752.0	\$ 159,465- 188,000	04/19/91	12	\$ 159,465.00
Previous Change Orders #1-2					+ 8,752.15
Contractor's Revised Contract Amount To Date:					\$ 168,217.15

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
D-C ELEVATOR CO. LEXINGTON	MEDICAL SCIENCE FREIGHT ELEVATOR Project No. 758.0	\$ 63,868- 68,565	07/07/92	3	\$ 63,868.00
MARKSBURY-CORNETT LOUISVILLE	HOSPITAL SHELLLED SPACE FIT-UP OBSTETRICS SERVICES Project No. 762.0	\$ 3,312,000- 3,469,000	05/08/92	4	\$ 3,312,000.00
Previous Change Orders #1-23					+ 53,140.00
CHANGE ORDER #24	1. Remove two (2) layers gypsum board at corridor side of east wall of HA338 L.D. Delivery Room. Install two (2) layers of gypsum board around all mechanical penetrations. 2. Delete color graphics package from temperature control package. 3. Install approximately 402 L.F. of corian quarter round in Admissions corridor HA397 and south wing corridor H3005.		04/02/93		+4,352.00
Reason for Change:	1. Building inspector determined that according to U.L. guidelines for rated walls, of which this is one, square inches of total penetration by mechanical boxes exceeds allowable for rated construction. 2. Medical Center is installing front end computer program to interface with all existing controls systems. Therefore, color graphic package is no longer required in this contract. 3. Existing floor slab is not level. The quarter round is needed to give a finished appearance.				
CHANGE ORDER #25	Provide and install push/pull hardware to doors 3090, 3095 and 3102. Provide and install roller stops to doors 3148 and 3149.		04/28/93		+ 422.00
Reason for Change:	Required to open door, omitted from hardware schedule. These two doors are back to back, roller stops will keep them from hitting, causing damage to each other.				
CHANGE ORDER #26	Delete paper towel and toilet paper dispensers material only.		06/01/93		- \$2,100.00
Reason for Change:	Hospital supplied these items.				
Contractor's Revised Contract Amount To Date:					\$ 3,367,814.00
EUBANK & STEELE CONST. CO. LEXINGTON	DENTAL CLINIC RENOVATION Project No. 766.0	\$ 238,715- 262,804	03/31/92	9	\$ 238,715.00
Previous Change Orders #1-8					+ 13,817.08
Contractor's Revised Contract Amount To Date:					\$ 252,532.08

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
D-C ELEVATOR CO., INC. LEXINGTON	RESIDENCE HALLS RENOVATIONS ELEVATOR UPGRADE Project No. 773.1	\$45,260- 65,500	04/14/93	3	\$ 45,260.00
A-B ROOFING CO., INC.* LEXINGTON	RESIDENCE HALLS RENOVATIONS GREG PAGE ROOF REPLACEMENT Project No. 773.2	\$ 62,856- 154,000	04/14/93	10	\$ 90,385.00
EUBANK & STEELE CONST. CO. LEXINGTON	RESIDENCE HALLS RENOVATIONS ARCHITECTURAL UPGRADE Project No. 773.3	\$ 248,750- 325,610	04/14/93	6	\$ 248,750.00
CHANGE ORDER #1	1. Deduct wood paneled doors 2. Add wood doors flush, labor to cut 3rd hinge, hinges.		06/18/93		- 17,763.00
Reason for Change:	1. Panel doors as specified were manufactured by Sun-Dor-Co. This company has since stopped doing business. 2. Boyd Hall - Replace specified doors with new hinges and reuse lock sets. Add 3rd hinge to two (2) doors. Jewell Hall - Replace specified door and reuse all hardware.				
Contractor's Revised Contract Amount To Date:					\$ 230,967.00
COX-PREWITT MECH. CONTR. LEXINGTON	RESIDENCE HALLS RENOVATIONS MECHANICAL UPGRADE Project No. 773.4	\$129,900-	04/14/93	1	\$ 129,900.00
GROT, INC. LEXINGTON	RESIDENCE HALLS RENOVATIONS ELECTRICAL UPGRADE Project No. 773.5	\$398,000- 478,657	04/14/93	2	\$ 398,000.00
CHANGE ORDER #1	1. Install new power panels and feeder for 1st, 2nd and 3rd floors of Patterson Hall. Refeed existing circuits in basement signal core panel. 2. Add new 3/4" EMT to be run down hallway of Patterson Hall to install emergency circuit. 3. Install four (4) pendants to support fixtures at a distance of 10" to 12" from ceiling of Patterson Hall.		06/28/93		+ 34,690.19

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
GROT, INC. LEXINGTON	RESIDENCE HALLS RENOVATIONS ELECTRICAL UPGRADE Project No. 773.5				CONTINUED
Reason for Change:	1. Existing panels have main feeder circuits passing through from other panels. Present panels don't have capacity to refeed present circuits and signal core panel. 2. Additional conduit is needed due to fact that existing emergency circuit had been installed in wire way with normal power wiring - does not comply with electrical code. 3. Pendants are needed to give access to junction boxes.				
Contractor's Revised Contract Amount To Date:					\$ 432,690.19
GROT, INC.* LEXINGTON	RESIDENCE HALLS RENOVATIONS SMOKE DETECTORS - SECURITY CONTROLS Project No. 773.6	\$325,000- 429,818	04/23/93	3	\$ 428,000.00
EAGLE ROOFING AND SHEET METAL, INC. LEXINGTON	RESIDENCE HALLS RENOVATIONS ROOF REMOVAL & REPLACEMENT BLAZER HALL Project No. 773.7	\$157,950- 222,143	04/14/93	4	\$ 157,950.00
CENTRAL KENTUCKY GLASS CO. LEXINGTON	RESIDENCE HALLS RENOVATIONS WINDOW REPLACEMENT Project No. 773.8	\$524,995 573,214	04/14/93	2	\$ 524,995.00
LAGCO, INC. LEXINGTON	HOSPITAL NURSING UNIT HVAC MODIFICATION I Project No. 777.0	\$450,196- 618,700	03/16/92	5	\$ 450,196.00
Previous Change Orders #1-3					+ 1,711.40
Contractor's Revised Contract Amount To Date:					\$ 451,907.40
SCOTTY'S CONTRACTING & STONE, INC. ELIZABETHTOWN	ELIZABETHTOWN COMM COLLEGE ACCESS DRIVE/HANDICAP Project No. 779.0	\$106,008- 117,797	11/04/92	2	\$ 106,008.00

* The contract was awarded to the second low bidder. The low bidder presented convincing evidence that a mistake was made on the bid submitted. This action was taken under provisions of KRS 45A.000 and Administrative Regulations 200 KAR 5:306.

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CLEAVER CONST. CO., INC. MURRAY	PADUCAH COMM. COLLEGE WALLER HALL RENOVATION Project No. 785.0	\$ 177,835- 187,307	07/01/92	3	\$ 177,835.00
Previous Change Order #1					+ 3,485.00
CONTRACTOR'S FINAL PAYMENT			05/10/93		\$ 181,320.00
A-B ROOFING CO., INC. LEXINGTON	E.S. GOODE BARN ROOF REPLACEMENT Project No. 791.0	\$ 124,924- 191,680	09/03/91	6	\$ 124,924.00
Previous Change Order #1					- 313.08
Contractor's Revised Contract Amount To Date:					\$ 124,610.92
COMMERCIAL FINISHES, INC. LEXINGTON	PRESTONSBURG COMM COLLEGE JOHNSON BLDG. SPRINKLERS Project No. 892.0	\$ 103,890- 111,500	06/17/93	3	\$ 103,890.00
MARKSBURY CORNETT ENG. LOUISVILLE	AMBULATORY SURGERY UPGRADE Project No. 798.0	\$ 884,100- 1,030,052	11/02/92	5	\$ 984,100.00
Previous Change Orders #1-6					+ 55,207.98
CHANGE ORDER #7	Provide vinyl composition tile in Rooms HS-213, HS-214, HS-215, HS-217, HS-220, HS-222A and HS-211. Provide floor patch to prepare floor to receive vinyl composition tile.		04/02/93		+ 1,683.00
Reason for Change:	Existing floors requested to be removed by Owner because of asbestos tile.				
CHANGE ORDER #8	1. Delete furnishing of surgical light fixture and control unit. Delete furnishing of elapsed time clocks and time of day clocks control units in five (5) operating rooms and Cystoscopy. 2. Provide electrical connections to flow tamper switches. 3. Upgrade electrical outlets specified in documents to match power requirements of Hospital's existing Laser Dialysis equipment.		05/10/93		- 2,941.00
Reason for Change:	1. New OR surgical light and clocks will be purchased by Owner to match existing units located in remainder of surgical suite. 2. Inadvertently left off contract documents. 3. Electrical drawings did not indicate proper, highly specialized 60 amp, 3-phase and 60 amp, 1-phase electrical outlets necessary to match existing equipment.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
MARKSBURY CORNETT ENG. LOUISVILLE	AMBULATORY SURGERY UPGRADE Project No. 798.0				CONTINUED
CHANGE ORDER #9	1. Install foot switch to operate four (4) incandescent ceiling lights and fluorescent lights independently of each other in Cysto Room. 2. Contractor to provide floor patch under VCT flooring prior to installation of floor material. 3. Contractor to level floor at all existing locations to receive new floor surface. 4. Request for contract time extension to 4/30/93.		05/10/93		+ 11,850.25
Reason for Change:	1. This connection is required to operate Cysto Room. Consultants were unaware of this connection requirement during design stage. 2. Rough surface area required smooth floor topping prior to installation of new floor tile. 3. Substantial difference in floor elevation requires leveling of approximately 2,500 s.f. of floor area. 4. One (1) day lost to Hospital stop work order caused by noisy work. Two (2) day delay due to unresolved RFI acid waste. Installation of one medical gas outlet and one vacuum outlet required five (5) days to receive necessary materials to perform work.				
CHANGE ORDER #10	Remove ceiling hung pilaster at corner of each toilet partition in Men's and Women's toilets and replace with a floor to ceiling pilaster.		05/13/93		+ 592.00
Reason for Change:	Configuration of ceiling hung toilet partitions cannot provide required structural stability for personal safety and long term maintenance.				
Contractor's Revised Contract Amount To Date:					\$ 950,492.23
WHITEHOUSE ELECTRIC, INC. GEORGETOWN	HOLMES HALL FIRE ALARM Project No. 889.0	\$ 127,519- 159,000	05/14/93	3	\$ 127,519.00
CHANGE ORDER #1	Addition of voice evacuation system feature to fire alarm system being installed.		06/18/93		+ 1,960.00
Reason for Change:	Will add significantly to life safety improvements in building. In direct compliance with requests from Director, Council on Higher Education and State Fire Marshal.				
Contractor's Revised Contract Amount To Date:					\$ 129,479.00
JO-DEL, INC. HUNTINGTON, WV	ASHLAND COMM. COLLEGE CLASSROOM RENOVATION Project No. 891.0	\$ 135,492- 169,800	09/10/92	8	\$ 135,492.00
Previous Change Orders #1-3					+ 1,639.33
CONTRACTOR'S FINAL PAYMENT			04/14/93		\$ 137,131.33

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
EUBANK & STEELE CONST. CO. LEXINGTON	PARKING STRUCTURE #2 STRUCTURAL REPAIRS Project No. 898.0	\$ 74,495- 129,986	01/13/93	10	\$ 74,495.00
CONTRACTOR'S FINAL PAYMENT			05/20/93		\$ 74,495.00
THE WAGNER COMPANY LEXINGTON	HOSPITAL DIAGNOSTIC SERVICES II Project No. 899.0	\$ 94,281- 114,900	10/14/92	5	\$ 94,281.00
Previous Change Orders #1-6					+ 2,593.20
CONTRACTOR'S FINAL PAYMENT			05/04/93		\$ 96,874.20
SPARKS TERRELL LUMBER CO. LEXINGTON	HOSPITAL-MARKEY CANCER CENTER ROACH PATIENT CARE FACILITY Project No. 1410.0	\$ 325,515- 394,800	12/09/92	10	\$ 325,515.00
Previous Change Orders #1-3					+ 790.05
CHANGE ORDER #4	Add hand washing sink in Soiled Utility Room 195 with foot control. Add emergency power circuit in Clean Supply Room 193.		04/01/93		+ 1,420.00
Reason for Change:	Licensor requirements per Norman N. Menser, Chief Engineer, Program Review Section, Division of Licensing & Regulation, Cabinet for Human Resources.				
CHANGE ORDER #5	Add vinyl base, skim coating of walls and prepare old floor.		05/21/93		+ 1,072.95
Reason for Change:	Vinyl base scheduled to be re-used did not match base in lobby color or profile. Stripping of wallcovering pulled paper face from drywall. Drywall needs to be skim coated. Contractor, because of time requirements of vinyl completion needs to transition floor substrate for new finishes.				
CHANGE ORDER #6	1. Remove and reinstall, properly, two (2) existing fire and smoke dampers in main duct. 2. Rework constricted existing ductwork and damper to achieve design air flow.		06/07/93		+ 1,323.65
Reason for Change:	1. To maintain fire safety requirements of existing building. 2. Rework to achieve design volumes for required air balance.				

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
SPARKS TERRELL LUMBER CO. LEXINGTON	HOSPITAL-MARKEY CANCER CENTER ROACH PATIENT CARE FACILITY Project No. 1410.0				CONTINUED
CHANGE ORDER #7	Furnish and install conduit to extend satellite downlink hookup from former location to new conference room.		06/28/93		+ 571.55
Reason for Change:	Hookup existed in original Seminar Room but was not specified to be included in this project.				
Contractor's Revised Contract Amount To Date:					\$ 330,693.20
THE ALLEN CO. WINCHESTER	COMMONWEALTH STADIUM BLUE LOT Project No. 1414.0	\$ 200,396- 234,622	06/15/92	3	\$ 200,396.00
JIM SMITH CONTRACTING CO. GRAND RIVERS	PADUCAH COMM. COLLEGE PARKING LOT Project No. 1415.0	\$ 133,034- 160,348	10/20/92	4	\$ 133,034.88
Previous Change Order #1					+ 5,956.00
CHANGE ORDER #2	Increase project time by one hundred thirty-seven (137) calendar days.		06/29/93		- 0 -
Reason for Change:	Due to low temperatures and excessive precipitation in November and December, 1992 and impending seasonal shut-down of batch plant providing pavement mix, proper placement of bituminous pavement and aggregate base was delayed. All parties involved agreed that it would be best to shut down project until suitable weather returned in spring of '93.				
Contractor's Revised Contract Amount To Date:					\$ 138,990.88
DEB-RAE CHARLESTON, IN	RETENTION POND LANDSCAPING Project No. 1416.1	\$11,616- 12,350	10/7/92	3	\$11,616.00
CONTRACTOR'S FINAL PAYMENT			04/14/93		\$11,616.00
NORWOOD CONST. CO., INC. LEXINGTON	MEDICAL CENTER CLINICAL PARKING LOT/FORESTRY BUILDING DEMOLITION Project No. 1425.0	\$ 139,994- 197,470	07/20/92	2	\$ 139,994.00
Previous Change Order #1					- 9,277.00
Contractor's Revised Contract Amount To Date:					\$ 130,717.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
ISAAC TATUM CONSTRUCTION LEBANON	ALUMNI GYM FLOOR REPLACEMENT Project No. 1426.0	\$ 129,670- 191,266	02/26/93	7	\$ 129,670.00
CHANGE ORDER #1	1. Add demolition of electrical conduit and radiator piping located under existing stairways. 2. Add and replace missing bridging and renail loose bridging located between floor joists. 3. Add and support loose plaster and lath to existing floor joist by use of furring strips and screws.		04/07/93		+ 2,221.00
Reason for Change:	1. Concealed condition must be corrected because of safety and appearance reasons. 2. Existing bridging needed extra nailing, for structural reasons, this must be corrected. 3. Upon removal of existing hardwood flooring and subflooring, discovered some very loose plaster over dropped ceiling to a downstairs room.				
Contractor's Revised Contract Amount To Date:					\$ 131,891.00
CRI, INC. LOUISVILLE	PRESTONSBURG COMM COLLEGE JOHNSON BUILDING CHILLER REPLACEMENT Project No. 1428.0	\$ 63,950- 90,629	11/16/92	6	\$ 63,950.00
Previous Change Orders #1-2					+ 4,150.00
Contractor's Revised Contract Amount To Date:					\$ 68,100.00
FEESE MECHANICAL CONTR. LOUISVILLE	JEFFERSON C.C. (DOWNTOWN) BROADWAY BUILDING BOILER REPLACEMENT Project No. 1429.0	\$ 32,280- 49,994	06/30/93	5	\$ 32,280.00
A.V.P., INC. HENDERSON	HENDERSON COMM. COLLEGE LRC STAIRWELL RENOVATION Project No. 1430.0	\$ 48,800- 59,625	06/08/93	3	\$ 48,800.00
T & C CONSTRUCTION CO. PIKEVILLE	MAYSVILLE COMM. COLLEGE PHASE II BRICK REPLACEMENT Project No. 1431.0	\$ 28,827- 38,550	10/20/92	4	\$ 28,827.00
CONTRACTOR'S FINAL PAYMENT			05/11/93		\$28,827.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
GREEN CONSTRUCTION CO., INC. MIDDLESBORO	NEWMAN HALL ADMISSION FLOOR REPLACEMENT Project No. 1438.0	\$ 11,280- 33,000	05/26/93	4	\$ 11,280.00
THE ALLEN CO. WINCHESTER	TENNIS CENTER PARKING LOT Project No. 1442.0	\$ 47,229- 61,641	10/23/92	3	\$ 47,229.00
Previous Change Order #1					+ 1,250.00
Contractor's Revised Contract Amount To Date:					\$ 48,479.00
A & K CONSTRUCTION, INC. PADUCAH	PADUCAH COMM. COLLEGE LRC AND STUDENT CENTER STRUCTURAL REPAIR Project No. 1447.0	\$ 73,933- 107,900	05/20/93	4	\$ 73,933.00
B & B BUILDERS WINCHESTER	DONOVAN HALL RESTROOM RENOVATIONS Project No. 1448.0	\$ 56,810- 73,800	04/28/93	8	\$ 56,810.00
EUBANK & STEELE CONST. CO. LEXINGTON	BLANDING 4 REPAIR INTERIOR WALLS Project No. 1450.0	\$44,250- 58,584	04/14/93	6	\$ 44,250.00
GORDON CONSTRUCTION SHELBYVILLE	SHAWNEETOWN KITCHEN RENOVATION Project No. 1453.0	\$119,899- 143,613	06/28/93	4	\$ 119,899.00
NEW VISIONS CONST. CO., INC. LEXINGTON	GREG PAGE RETENTION POND Project No. 1457.0	\$ 74,056- 139,800	03/08/93	9	\$ 74,056.00
CHANGE ORDER #1		1. Construct concrete paved ditch at same dimensions as existing ditch, from end of existing ditch to catch basin. 2. Remove additional eight (8) trees. 3. Construct french drain at two (2) springs on site and connect to piping system. Time provided for completion of contract is increased by seven (7) calendar days.	06/08/93		+ 5,358.00
Reason for Change:		1. Eliminate a potential erosion site. 2. Trees removed due to landscape plan. 3. Two springs encountered on site during construction.			

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
NEW VISIONS CONST. CO., INC. LEXINGTON	GREG PAGE RETENTION POND Project No. 1457.0				CONTINUED
CHANGE ORDER #2	Forty-nine (49) days liquidated damages (April 15 thru June 2, 1993) at \$50/day.		06/24/93		- 2,450.00
Reason for Change:	Construction on project not completed within contract time.				
Contractor's Revised Contract Amount To Date:					\$ 76,964.00
K & K NURSERY & FARMS WHITE PLAINS	GREG PAGE RETENTION POND LANDSCAPING Project No. 1457.1	\$ 9,805- 15,100	05/20/93	4	\$ 9,804.97
WINGES CO., INC. NICHOLASVILLE	SHIMLEY OUTDOOR TRACK AND FIELD RENOVATION Project No. 1464.0	\$ 111,741- 138,870	06/30/93	4	\$ 111,741.00
THE ALLEN COMPANY, INC. WINCHESTER	SPORTSCENTER DRIVE PHASE II Project No. 1485.0	\$ 92,400- 132,038	06/16/93	2	\$ 92,400.00

Office of the President
August 17, 1993

FCR 3

Members, Board of Trustees:

REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

The renewal of a lease between Cooperative Extension Service and John Greenwell Realty & Auction Co., Post Office Box 788, Elizabethtown, Kentucky, property located at 615 North Mulberry Street, Elizabethtown, Kentucky, effective October 1, 1993 through September 30, 1994, for the use of office space, annual rental rate of \$4,800.00, be accepted and made a matter of record.

The renewal of a lease between Hazard Community College and Hazel Green Christian Church, Inc., Post Office Box 66, Hazel Green, Kentucky, property located on Highway 191, Hazel Green, Kentucky, effective October 1, 1993 through September 30, 1994, for the use of office /classroom space, annual rental rate of \$25,000.00, be accepted and made a matter of record.

The renewal of a lease between Jefferson Community College and Farmer Enterprises, 2560 Richmond Road, Lexington, Kentucky, property located in the Park Square Shopping Center, Carrollton, Kentucky, effective September 1, 1993 through October 31, 1993, for the use of office/classroom space, rental rate of \$2,941.24 for two months, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000.00 in value and required that all leases and easements exceeding \$30,000.00 in value be approved by the Board of Trustees.

Action Taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

Office of the President
August 17, 1993

FCR 4

Members, Board of Trustees:

APPROVAL OF LEASES

Recommendation: that the Vice President for Administration be authorized to negotiate and execute the following:

An addendum to a lease between the Medical Center Graduate Studies and Whitmer Properties, Inc., 605 Camino Road, Lexington, Kentucky, for property located at 1151 Red Mile Road, Lexington, Kentucky, effective September 1, 1993 through June 30, 1994, for the use of office space at an annual rate of \$20,074.42. The original lease plus addendum will total \$179,858.42.

An addendum to a lease between the Medical Center Rural Health and Appalachian Regional Healthcare, Post Office Box 8086, Lexington, Kentucky, for property located in the Appalachian Regional Hospital Building, Hazard, Kentucky, effective September 15, 1993 through June 30, 1994, for the use of office space, at an annual rate of \$126,736.60. The original lease plus addendum will total \$373,908.36.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000.00 in value and required that all leases and easements exceeding \$30,000.00 in value be approved by the Board of Trustees.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

Office of the President
August 17, 1993

FCRS

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: James (NMI) Crutchfield, Serial No. (to be assigned), filed (to be filed).

Background: James (NMI) Crutchfield has invented certain improvements in MICROPLATE ASSEMBLY FOR UTILIZATION IN COLORIMETRIC ANALYSIS. He has assigned his rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

ASSIGNMENT

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventor: James (NMI) Crutchfield

Serial Number:

Filed:

For: MICROPLATE ASSEMBLY FOR UTILIZATION IN COLORIMETRIC ANALYSIS; and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title

and interest, any and all Letters Patent for said invention including any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 17th day of August, ¹⁹⁸³1992.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY

Edward T. Breathitt

SEAL

ATTEST:

Lois C. Weinberg
Secretary, Board of Trustees

Office of the President
August 17, 1993

FCR 6

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: David S. Watt and Ivan L. Stoilov, Serial No. (to be assigned), filed (to be filed).

Background: David S. Watt and Ivan L. Stoilov have invented certain improvements in HYDROXYMETHYL, METHYL ESTER AND CARBOXY DERIVATIVES OF DETOMIDINE AND MEDETOMIDINE DERIVATIVES. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

ASSIGNMENT

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: David S. Watt, Ivan L. Stollow

Serial Number:

Filed:

For: HYDROXYMETHYL, METHYL ESTER AND CARBOXY DERIVATIVES OF DETOMIDINE AND MEDETOMIDINE DERIVATIVES; and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title

and interest, any and all Letters Patent for said invention including any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 17th day of August, ¹⁹⁹³~~1992~~.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY


Edward T. Busch

S E A L

ATTEST:

Luis C. Weinberg
Secretary, Board of Trustees

Office of the President
August 17, 1993

FCR 7

Members, Board of Trustees:

NOTICE OF FEDERAL INTEREST REQUIREMENT

RECOMMENDATION: that the President be authorized and directed to execute and record with the Fayette County Clerk's Office the attached NOTICE OF FEDERAL INTEREST to comply with federal grant conditions and requirements.

BACKGROUND: This filing requirement is necessitated by the National Cancer Institute's Grant Number 1 C06 CA41097-01 awarded to the University of Kentucky Research Foundation. The grant provided federal funds for the construction of animal care facilities in the Dorothy Enslow Combs Cancer Research Building at 800 Rose Street, Lexington, Kentucky.

4625L

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

NOTICE OF FEDERAL INTEREST

On September 30, 1987, the National Cancer Institute (NCI) awarded Grant Number 1 C06 CA41097-01 to the University of Kentucky Research Foundation, Inc. The grant provided Federal funds for the construction of animal care facilities which are located on the following described land in Fayette County, State of Kentucky, particularly described as follows:

Animal Care Facilities in the Dorothy Enslow Combs Cancer Research Building located at 800 Rose Street on the Campus of the University of Kentucky, Lexington, Kentucky 40506.

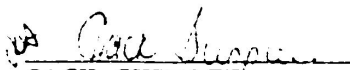
The grant incorporated conditions which include restrictions on usage of the aforementioned property and provided for a continuing Federal interest in the property from May 1, 1988 to April 30, 2008. Specifically, the property may not be (1) used for any purpose inconsistent with that authorized by the grant program statute and applicable regulations, (2) mortgaged or otherwise used as collateral without the written permission of the Secretary, DHHS or (3) sold or transferred to another party without the written permission of the Secretary, DHHS (or employee who has the authority to give this permission of the Secretary, DHHS). These conditions are in accordance with the statutory provisions set forth in 42 U.S.C., Section 285a-2(b)(6), and the PHS grants policy.

These grant conditions and requirements cannot be nullified or voided through a transfer of ownership. Therefore, advance notice of any proposed change in usage or ownership must be given to the Grants Management Officer, NCI.

COMMONWEALTH OF KENTUCKY
UNIVERSITY OF KENTUCKY

By 
CHARLES T. WETHINGTON, JR.
TITLE: President

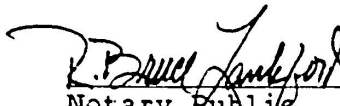
Recommended:

 8/18/93.
JACK SUPPLEE
Associate Director
University of Kentucky
Research Foundation, Inc.

STATE OF KENTUCKY)
(SCT.
COUNTY OF FAYETTE)

On this 18th day of August, 1993, before me, the undersigned, a Notary Public for the County of Fayette, Kentucky, personally appeared Charles T. Wethington, Jr., President of the University of Kentucky, and known to me to be the person who executed the within instrument on behalf of said University of Kentucky, and acknowledged to me that he executed the same as the free act and deed of said University.

Witness my hand and official seal.


R. BRUCE LANKFORD
Notary Public
State of Kentucky at Large
My commission expires: January 7, 1995

Prepared By:


R. Bruce Lankford
Attorney at Law
Office of Legal Counsel
Rm. 2 - Administration Bldg.
University of Kentucky
Lexington, Kentucky 40506-0032

4468L

Office of the President
August 17, 1993

FCR 8

Members, Board of Trustees:

CONVEYANCE OF 10.49 ACRES OF MAIN CHANCE FARM
TO THE LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT

RECOMMENDATION: that the President be authorized and directed to execute, on behalf of the University, a deed of conveyance transferring title to 10.49 acres of Main Chance Farm to the Lexington-Fayette Urban County Government.

BACKGROUND: A portion of Main Chance Farm comprising 10.49 acres lies south of and adjacent to the right-of-way line of I-75, north of and adjacent to the boundary of Coldstream Farm, and west of the I-75/Newtown Pike Intersection. This 10.49 acre tract of land comprises a portion of the property to be conveyed by the Commonwealth and the University to the Lexington-Fayette Urban County Government. The Commonwealth, the Urban County Government and the University have entered into an agreement providing for the construction of a sewer system to serve development of Coldstream Farm and the preservation of approximately 224.73 acres of Coldstream Farm for restricted open space to be made available for recreational and other public uses. Action has already been taken by the Commonwealth on the portion of the 224.73 acres which is a part of Coldstream Farm, and this action on 10.49 acres which is a part of Main Chance Farm will complete the authorization.

This conveyance is necessary to enable the University to comply with the terms of that agreement and convey the open space to the Urban County Government.

4626L

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

Office of the President
August 17, 1993

FCR 9

Members, Board of Trustees:

ACCEPTANCE OF PLEDGE
FROM THE STEELE-REESE FOUNDATION

Recommendation: that the Board of Trustees accept a pledge of \$200,000 from the Steele-Reese Foundation to the University of Kentucky Community College System.

Background: The Steele-Reese Foundation has pledged \$200,000 to assist the Community College System in improving the communications infrastructure at two community colleges - Prestonsburg and Southeast. Campuses in Middlesboro and Whitesburg will be connected to the Southeast Community College campus in Cumberland. Prestonsburg Community College will be connected to the campus in Pikeville. Both colleges will then be linked to the University of Kentucky campus in Lexington. These communications links will improve data transmission used for automated library services. These two colleges, including all five campuses, will also be prepared for interactive video instruction when funds are available to equip the electronic classrooms at each location. The \$200,000 will be paid annually in four equal installments of \$50,000 each beginning in September, 1993.

The Steele-Reese Foundation, based in New York City, is devoted to supporting the needs of southern Appalachia and the Northwest, particularly Idaho. Emmett Reese, one of the individuals from whom the foundation derives its name, was born in London, Kentucky and along with his wife, Eleanor Steele Reese, made their fortune as ranchers in central Idaho.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

Office of the President
August 17, 1993

FCR 10

Members, Board of Trustees:

RJR NABISCO FOUNDATION CONTRIBUTION

Recommendation: that the Board of Trustees accept a gift of \$377,350 from the RJR Nabisco Foundation to the College of Agriculture.

Background: The University of Kentucky has received a gift of \$377,350 to be divided among tobacco research, extension and education programs in the College of Agriculture. RJR Nabisco's continued support has played a major role in the success of the University's outstanding tobacco-related programs.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

Office of the President
August 17, 1993

FCR 11

Members, Board of Trustees:

ESTATE OF FRED J. FRIEL, JR.

Recommendation: that the Board of Trustees accept a distribution for \$108,012.68 from the Estate of Fred J. Friel, Jr.

Background: Fred J. Friel, Jr. was born in Lexington, Kentucky on June 17, 1912. He graduated from the University of Kentucky in 1934 with degrees in electrical and mechanical engineering. He also attended Virginia Polytechnic Institute, Massachusetts Institute of Technology, and Oxford University. Later, Mr. Friel worked as a radio engineer with RCA. His expertise in radio and electrical engineering led to his negotiating the establishment of U.S. space tracking stations in Peru, Chile, and Nigeria. Dr. Friel left his entire estate to the University of Kentucky. His gift is undesignated.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

Office of the President
August 17, 1993

FCR 12

Members, Board of Trustees:

A RESOLUTION AUTHORIZING THE ISSUANCE OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BONDS, SERIES D (SECOND SERIES), DATED SEPTEMBER 1, 1993, OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY

Recommendation: that the Board of Trustees approves a Resolution authorizing the issuance of \$16,325,000 (plus or minus up to 10%) of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series D (Second Series), dated September 1, 1993, or such later date as may be approved by the President and/or the Board of Trustees upon the advice of the Financial Advisor.

The Resolution authorizes the issuance the Series D (Second Series) Bonds for the purpose of financing (i) the redemption on November 1, 1993, of the outstanding University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series D, dated March 1, 1973, maturing on May 1, 1994 through 1999, the proceeds of which Series D Bonds were used to refund the University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series D, dated May 1, 1971, the proceeds of which were expended to construct educational facilities on the campus of the University in Fayette County in the Commonwealth of Kentucky, which are a part of the University of Kentucky Consolidated Educational Buildings Project of the University (the "Project").

The Resolution also approves a Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds, Bid Form and preliminary form of Official Statement, all contemplating that the Bonds be sold on the advice of the Financial Advisor, J.J.B. Hilliard, W.L. Lyons, Inc., in the month of September, or at such later time as may be approved by the President and/or the Board of Trustees.

Background: The University presently has outstanding fourteen series of University of Kentucky Consolidated Educational Buildings Project Revenue Bonds, Series C, D, E, F (Second Series), G (Second Series), H (Second Series), I, I (Second Series), J, K, K (Second Series), L, M and N, all secured by a pledge of the Revenues (registration fees) and by a statutory mortgage lien against all of the buildings of the Project. These Series D (Second Series) Bonds are issued for the purpose of financing (a) the refunding of the outstanding Series D Bonds maturing on May 1, 1994 through 1999, at a redemption price of 102% of the principal amount redeemed, (b) the funding of the Required Debt Service Reserve, if not already funded, and (c) the cost of issuance of the Series D (Second Series) Bonds. The Bond Resolution approves the appointment of Bank One, Lexington, NA. (formerly, First Security National Bank & Trust Company), Lexington, Kentucky, as Trustee, Bond Registrar, Transfer Agent, Payee Bank and Depository Bank, with respect to these Bonds, provides for the execution of the appropriate parity certification establishing that the "coverage" of projected income of the Project over debt service requirements will meet the 1.25 test necessary to establish that the Series D (Second Series) Bonds will rank on a parity with the outstanding Series of Bonds.

Action: Approved

Disapproved

Other

Dated: August 17, 1993

August 17, 1993

SERIES D (SECOND SERIES)

Principal Amount of Series D Bonds to be redeemed	\$15,530,000.00
Redemption Premium	310,600.00
Bond Discount	163,250.00
Cost of Issuance (estimated)	321,150.00
Total	\$16,325,000.00

RESOLUTION AUTHORIZING THE ISSUANCE

OF

\$16,325,000

OF

UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BONDS,
SERIES D (SECOND SERIES),
DATED SEPTEMBER 1, 1993,

OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY

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RESOLUTION

A RESOLUTION AUTHORIZING THE ISSUANCE OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BONDS, SERIES D (SECOND SERIES), DATED SEPTEMBER 1, 1993, OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY.

WHEREAS, the Board of Trustees of the University of Kentucky, by Resolution entitled:

"A RESOLUTION OF THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY, A STATE SUPPORTED INSTITUTION OF HIGHER LEARNING OF THE COMMONWEALTH OF KENTUCKY, CREATING AND ESTABLISHING A CONSOLIDATED EDUCATIONAL BUILDINGS PROJECT OF SAID UNIVERSITY OF KENTUCKY; CREATING AND ESTABLISHING AN ISSUE OF CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS OF THE BOARD OF TRUSTEES OF SAID UNIVERSITY OF KENTUCKY; PROVIDING FOR THE ISSUANCE OF SUCH BONDS FROM TIME TO TIME; AND PROVIDING FOR THE PAYMENT OF THE PRINCIPAL OF AND INTEREST ON SAID BONDS FROM REVENUES IN SUCH MANNER AS NOT TO CONSTITUTE INDEBTEDNESS OF THE BOARD, THE UNIVERSITY, OR THE COMMONWEALTH,"

heretofore adopted on September 20, 1960 (the "Basic Resolution" or "Resolution"), has created and established an issue of University of Kentucky Consolidated Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky (the "Bonds"); and

WHEREAS, the Basic Resolution authorizes the issuance by said Board of said Bonds in one or more Series pursuant to a new resolution authorizing each such Series; and

WHEREAS, the Board has previously authorized, sold, issued, and has outstanding fourteen prior issues of Bonds designated "University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series C, Series D (Refunding), Series E, Series F (Second Series), Series I, Series J, Series K, Series L, Series M and Series N" (all of the Series A and Series B Bonds having been retired), and "University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series G (Second Series), Series H (Second Series) Series I (Second Series), and Series K (Second Series), the Series I (Second Series) Bonds having been issued to defease on May 1, 1996, the Series I Bonds scheduled to mature on May 1, 1997 through 2006 and the Series K (Second Series) Bonds having been issued to refund on May 1, 1999, the Series K Bonds scheduled to mature on and after May 1, 2000; and has determined that the Board is in compliance with the conditions set forth in Section 7.10 of the Basic Resolution relative to the issuance of the Series D (Second Series) Bonds (authorized herein) on a basis

of parity and equality as to security and source of payment with such outstanding Bonds of Series C, D (Refunding), E, F (Second Series), G (Second Series), H (Second Series), I, I (Second Series), J, K, K (Second Series), L, M and N (hereinafter the "Series C through N Bonds"); and

WHEREAS, there are presently outstanding \$15,530,000 of the Series D Bonds, dated March 1, 1973, bearing interest at the of 5.90% per annum, scheduled to mature on May 1 in each of the respective years 1994 through 1999; and

WHEREAS, because of favorable interest rates currently available in the marketplace, the Board has determined that \$15,530,000 of the outstanding Series D Bonds scheduled to mature on May 1, 1994 through 1999, be called for redemption on November 1, 1993, which is the next date permitted by the Series D Resolution, and that the Board authorize at this time the issuance of \$16,325,000 (plus or minus up to 10%) of "University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series D (Second Series)," to effect such refunding and redemption, said Series D (Second Series) Bonds to rank on a parity with said Series C through N Bonds.

NOW, THEREFORE, the Board of Trustees of the University of Kentucky hereby resolves as follows:

ARTICLE I DEFINITIONS AND AUTHORITY

Section 1.1. Series D (Second Series) Resolution. This Resolution (the "Series D [Second Series] Resolution") is adopted in accordance with Article II, Section 2.03, of the Basic Resolution.

Section 1.2. Definitions.

A. All terms which are defined in Article I of the Basic Resolution shall have the same meanings, respectively, in this Series D (Second Series) Resolution as such terms are given in said Article of the Basic Resolution.

B. In this Series D (Second Series) Resolution, unless the context shall indicate otherwise, the following terms shall have the following respective meanings:

"ALL BONDS" or "OUTSTANDING BONDS" refers to all bonds outstanding against and payable from the revenues of the Project, including (a) the Series C through N Bonds, plus (b) the Series D (Second Series) Bonds, and (c) any and all future Bonds ranking on a parity therewith.

"BASIC RESOLUTION" or "RESOLUTION" refers to the original Resolution adopted on September 20, 1960, creating and establishing the Consolidated Educational Buildings Project and creating,

establishing, and authorizing the issuance of Consolidated Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky.

"BOARD" refers to the Board of Trustees of the University of Kentucky, and shall include the Executive Committee of the Board acting pursuant to authorization of and/or with ratification by said Board of Trustees.

"BOND REGISTER" means the books and records maintained by the Bond Registrar as to the registered ownership and transfers of ownership of the Series D (Second Series) Bonds, from time to time.

"BOND REGISTRAR," "REGISTRAR," "PAYEE BANK" or "TRANSFER AGENT" refers to Bank One, Lexington, NA (formerly, First Security National Bank & Trust Company), Lexington, Kentucky, which shall constitute the Bond Registrar, Transfer Agent and Payee Bank, with respect to the Series D (Second Series) Bonds authorized herein, which Bank shall have the duties and responsibilities of (a) issuing semiannual checks in payment of interest requirements as to the Series D (Second Series) Bonds, (b) payment of the principal as same matures upon surrender of the Series D (Second Series) Bonds, (c) authenticating, issuing and delivering the Series D (Second Series) Bonds to the Original Purchasers of same in accordance with the sale of the Series D (Second Series) Bonds, at the direction of the Board, (d) maintaining the Bond Register, (e) handling exchanges, cancellations, reissuance, redemption, and all appurtenant duties of a Bond Registrar and Transfer Agent with respect to the Series D (Second Series) Bonds, as hereinafter set out, and (f) serving as depository of all funds in connection with the Series D (Second Series) Bonds and All Bonds, as defined herein.

"CODE" refers to the Internal Revenue Code of 1956 and 1986, as applicable.

"DEBT SERVICE RESERVE" refers to the portion of the Bond Fund in excess of the aggregate principal, interest, and Bond Fund Charges of All Bonds for the ensuing year, which excess portion shall constitute the "Debt Service Reserve" created and provided for in Section 405(3) of the Basic Resolution.

"FINANCE CABINET" refers to the Finance and Administration Cabinet of the Commonwealth of Kentucky or its successor by whatever name designated.

"FISCAL AGENT" refers to J.J.B. Hilliard, W.L. Lyons, Inc., P.O. Box 32760, 501 South Fourth Avenue, Louisville, Kentucky 40232-2760.

"INTEREST PAYMENT DATE" refers to May 1 and November 1 of each year, commencing May 1, 1994.

"ORIGINAL PURCHASERS" or "PURCHASERS" refers to the original purchasers of the Series D (Second Series) Bonds at the

public sale, including all members of the approved purchasing syndicate or group.

"PROJECT" refers to the University of Kentucky Consolidated Educational Buildings Project, consisting of all educational buildings and necessary appurtenances on the campus of the University of Kentucky in Lexington, Kentucky, and all such buildings hereafter erected on such campus and elsewhere in Fayette County, Kentucky.

"RECORD DATE" shall mean with respect to any interest payment date, the close of business on April 15 or October 15, as the case may be, next preceding such Interest Payment Date, whether or not such April 15 or October 15 is a business day.

"REQUIRED CURRENT DEBT SERVICE RESERVE ACCUMULATION" refers to the amount required at any given time to have been accumulated toward the goal of the "Required Debt Service Reserve," based on All Bonds outstanding, including the additional amounts required to have been deposited in each year to date (and scheduled to continue for a period of four years) in such Reserve as a result of the issuance of one or more additional Series of Bonds, until the total Required Debt Service Reserve is accumulated.

"REQUIRED DEBT SERVICE RESERVE" refers to the amount required to be accumulated and maintained in the Debt Service Reserve portion of the Bond Fund, which required amount is an amount equal to 100% of maximum Aggregate Principal, Interest, and Bond Fund Charges falling due on All Bonds in any twelve month period.

"SECRETARY," "SECRETARY OF FINANCE CABINET" or "SECRETARY OF THE CABINET" refers to the Secretary of the Finance and Administration Cabinet of the Commonwealth of Kentucky, and each and every officer of the Commonwealth who may hereafter be authorized to exercise powers and authority similar to those vested by law in said Secretary; and each and every Deputy Secretary or other similar officer authorized by law to exercise the powers and authority presently vested by law in the Secretary.

"SERIES D (SECOND SERIES) BONDS" or "THESE BONDS" shall mean the Bonds authorized by Article II of this Series D (Second Series) Resolution.

"SERIES D (SECOND SERIES) RESOLUTION" or "THIS RESOLUTION" refers to this Resolution authorizing the Series D (Second Series) Bonds.

"TRUSTEE" or "TRUSTEE-REGISTRAR" refers to Bank One, Lexington, NA (formerly, First Security National Bank & Trust Company), Lexington, Kentucky, as more fully defined under "BOND REGISTRAR."

Section 1.3. Statutory Authority. This Series D (Second Series) Resolution is adopted pursuant to the provisions of Section 162.340, et seq., and 58.010 through 58.140 of the Kentucky Revised Statutes (the "Enabling Acts") and the Basic Resolution.

ARTICLE II
AUTHORIZATION OF SERIES D (SECOND SERIES) BONDS

Section 2.1. Series D (Second Series) Bonds Authorized. Pursuant to the provisions of the Basic Resolution, there is hereby authorized to be issued by the Board of Trustees of the University of Kentucky, in its corporate capacity, a Series of Bonds in the aggregate principal amount of \$16,325,000 (plus or minus up to 10%). Such Bonds shall be designated "University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series 1D (Second Series)." Said Series D (Second Series) Bonds are hereby declared to have been authorized under the Basic Resolution and in conformity with the provisions of Section 7.10 of the Basic Resolution.

Section 2.2. Purpose of Issue. Said Series D (Second Series) Bonds are being issued for the purpose of (a) refunding, on November 1, 1993, the outstanding Series D Bonds scheduled to mature on May 1, 1994 through May 1, 1999, at a redemption price of 102% of the principal amount redeemed, (b) funding the Required Debt Service Reserve, if not fully funded at the time of delivery of the Series D (Second Series) Bonds, and (c) paying the costs of issuance of the Series D (Second Series) Bonds.

Section 2.3. Date and Maturities of Bonds. Except as set out in Section 2.5 hereof, the Series D (Second Series) Bonds shall be dated September 1, 1993, and bear interest payable on each Interest Payment Date (May 1 and November 1 of each year), commencing May 1, 1994, and shall be numbered consecutively from R-1 upward. The Series D (Second Series) Bonds shall mature serially on May 1 in each of the years 1994 through 1999, in such principal amounts and shall bear interest at such interest rate or rates fixed as a result of the advertised sale of the Bonds.

Each Series D (Second Series) Bond shall bear interest from the interest payment date to which interest has been paid next preceding the date on which such Series D (Second Series) Bond is authenticated by the Trustee, unless such Series D (Second Series) Bond is authenticated on an interest payment date to which interest has been paid, in which event it shall bear interest from such date, or if such Series D (Second Series) Bond is authenticated prior to the first interest payment date, such Series D (Second Series) Bond shall bear interest from September 1, 1993.

Section 2.4. Series D (Second Series) Bonds to be Issued as Fully Registered Bonds. The Series D (Second Series) Bonds shall be issued only in fully registered form, without coupons, in the denomination of \$5,000 or any authorized multiple thereof within a single maturity.

Section 2.5. Authorized Change in Date of Bonds and Redemption Date. In the event that, due to conditions in the marketplace, the Financial Advisors are of the opinion that it is not feasible to sell the Series D (Second Series) Bonds prior to

November 1, 1993, the President may elect to sell the Series D (Second Series) Bonds at a later date, in which event the Series D (Second Series) Bonds shall be dated the first day of the month in which they are sold and the redemption date for the Series D Bonds to be redeemed shall be changed to May 1, 1994, without further action of this Board.

In any event, the interest on the Series D (Second Series) Bonds shall be payable commencing May 1, 1994, and on each November 1 and May 1 thereafter to maturity or earlier redemption.

Section 2.6. Registered Owners. The person in whose name any Bond is registered on the Bond Register at the Record Date with respect to an Interest Payment Date shall be entitled to receive the interest payable on such Interest Payment Date (unless such Bond shall have been called for redemption on a redemption date which is prior to such Interest Payment Date) notwithstanding the cancellation of such Bond upon any registration of transfer or exchange thereof subsequent to such Record Date and prior to such Interest Payment Date, except in the event of default.

Section 2.7. Payment of Interest and Principal of Bonds. The principal of and interest on the Series D (Second Series) Bonds shall be payable in lawful money of the United States of America as same respectively become due. The principal of each Series D (Second Series) Bond is payable upon surrender of same at the main office of the Payee Bank and Bond Registrar. Interest on the Series D (Second Series) Bonds shall be paid by check mailed by the Payee Bank on or before each Interest Payment Date to the owners of the Bonds shown on the Bond Register as of the end of business on the Record Date, at the respective addresses appearing on the Bond Register.

So long as any of the Series D (Second Series) Bonds remain outstanding, the Registrar shall keep at its principal office a Bond Register showing and recording a register of the owners of the Series D (Second Series) Bonds and shall provide for the registration and transfer of such Bonds in accordance with the terms of this Resolution, subject to such reasonable regulations as the Registrar may prescribe.

Section 2.8. Execution of Bonds; Authentication by Trustee-Registrar; Transferability; Temporary Bond. The Series D (Second Series) Bonds shall be executed on behalf of the Board with the reproduced facsimile signature of the Chairman of the Board, and the facsimile of the Corporate Seal of the Board shall be imprinted thereon and attested by the reproduced facsimile signature of the Secretary of the Board; and said officials, by the execution of appropriate certifications, shall adopt as and for their own proper signatures, their respective facsimile signatures on said Bonds; provided the Authentication Certificate of Trustee on each printed Bond must be executed by the manual signature of the Trustee (not necessarily the same authorized officer of the Trustee on each Bond) on each Bond before such Bond shall be valid, as set out in Section 2.13 below.

Pending the preparation of definitive Bonds, the Series D (Second Series) Bonds may be delivered in the form of one or more temporary Bonds, which may be printed, lithographed, typewritten, mimeographed or otherwise reproduced, in any denomination, substantially of the tenor of the definitive Bonds in lieu of which they are delivered, in registered form, and with such appropriate insertions, omissions, substitutions and other appropriate and necessary variations as the Board may determine, as evidenced by the execution of such temporary Bonds.

Until exchanged for Series D (Second Series) Bonds in definitive form, such temporary Bonds shall be entitled to the benefit and security of this Resolution. The Board shall, without unreasonable delay, cause to have prepared, executed and delivered printed Bonds to the Original Purchasers and/or their designees, and thereupon, upon the presentation and surrender of the temporary Bonds, such printed Bonds shall be delivered to the Original Purchasers and/or their designees in exchange therefor. Such exchange shall be made without the making of any charge therefor to any owner of the Series D (Second Series) Bonds.

Arrangements shall be made for the printing of sufficient Bond Certificates to enable the Trustee-Registrar to maintain an adequate reserve supply of such Bond Certificates as same are sold, exchanged, and/or otherwise surrendered in the future. Notwithstanding anything contained herein, the Trustee-Registrar shall have the right to order the preparation or printing of additional Bond Certificates which, in the sole discretion of the Trustee-Registrar, shall be necessary to maintain adequate reserves of such Bond Certificates to properly effect the continuing transfers of ownership of Bond Certificates. No further action regarding the authorization of additional Bond Certificates shall be required by the Board, and the expenses incidental thereto shall be borne by the Board as additional annual requirements of the Series D (Second Series) Bonds, the same as interest thereon.

At least five business days prior to the payment of the purchase price for the Bonds (the "Closing Date") each of the Original Purchasers shall furnish to the Registrar the name, address, social security number or taxpayer identification number of each party to whom the Bonds shall have been resold and in whose name the respective Bonds are to be registered, and the principal amounts and maturities thereof. The Trustee-Registrar shall then issue and deliver to each respective Purchaser on the Closing Date fully registered printed Bond Certificates for each registered owner so designated, in substantially the same form as that set out in Section 2.14 hereof.

All of the Series D (Second Series) Bonds shall be exchangeable and transferable upon the presentation and surrender thereof at the office of the Trustee-Registrar, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner and/or his authorized representative, for a Bond or Bonds of the same maturity and interest rate and in the

denomination of \$5,000 and/or a multiple thereof within a single maturity, in an aggregate principal amount or amounts equal to the unpaid principal amount of the Bond and/or Bonds presented for exchange. The Trustee-Registrar shall be and is hereby authorized to (authenticate and) deliver the exchange Bond in accordance with the provisions of this Section. Each exchange Bond delivered in accordance with this Section shall constitute an original contractual obligation of the Board and shall be entitled to the benefit and security of this Resolution to the same extent as the Bond or Bonds in lieu of which such exchange Bond is delivered.

In the event of non-payment of interest or of one or more principal maturities on a scheduled Interest Payment Date, and for 30 days thereafter, a new record date for such interest payment or for such maturity or maturities ("Special Record Date") will be established by the Trustee-Registrar if and when funds for the payment of such interest shall have been received from the Board. Notice of the Special Record Date and of the Special Payment Date of the past due interest shall be sent at least five (5) business days prior to the Special Record Date by United States mail, first class postage prepaid, to the address of each owner of the Bonds, as shown on the Bond Register, of such maturity or maturities appearing on the books of the Trustee-Registrar at the close of business on the last business day next preceding the date of mailing of such notice.

Section 2.9. Provisions as to Prior Redemption. The Series D (Second Series) Bonds shall not be subject to redemption prior to maturity.

Section 2.10. Transferability. Title to any Series D (Second Series) Bond shall be transferable only upon the, presentation and surrender of same at the main office of the Trustee-Registrar, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner or his authorized representative, such transfer to be made on such books and endorsed on the Bond by the Registrar.

Section 2.11. Designation of Trustee (Bond Registrar, Transfer Agent, and Payee Bank). For the purpose of securing the payment of both the principal of and interest on all of the Series D (Second Series) Bonds, and to secure for the benefit of all the owners thereof the faithful performance of the covenants and provisions contained in the Resolution in the manner and to the extent permitted and provided in this Series D (Second Series) Resolution and in Section 162.340, et seq., and 58.010 through 58.140 of the Kentucky Revised Statutes, Bank One, Lexington, NA (formerly, First Security National Bank & Trust Company), in the City of Lexington, Kentucky, is hereby designated as Trustee, Bond Registrar, Transfer Agent, and Payee Bank under the Basic Resolution and this Series D (Second Series) Resolution for the owners of all of said Series D (Second Series) Bonds, with the powers and duties set forth in the Basic Resolution and in this Series D (Second Series) Resolution and with no liability in connection with

any action or omission to act under the Basic Resolution or under this Series D (Second Series) Resolution except for its own negligence or willful breach of trust. Execution of the Authentication Certificate of the Trustee-Registrar on the respective Series D (Second Series) Bonds, shall conclusively establish the acceptance by the Trustee-Registrar of the trusts and provisions with respect thereto as set forth in this Resolution. Acceptance of the duties of Trustee-Registrar shall also be evidenced by the execution of the appropriate form of Acceptance immediately following the end of this Series D (Second Series) Resolution.

Section 2.12. Security for Series D (Second Series) Bonds; Statutory Mortgage Lien; Revenue Pledge. For the protection of the holders and owners of the Bonds secured by the Basic Resolution and this Resolution, a statutory mortgage lien upon the Project and properties connected therewith and belonging thereto, on a parity with All Bonds, is granted and created by and pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, which said statutory mortgage lien is hereby recognized and declared to be valid and binding as provided by law, and shall take effect immediately upon the delivery of any and all of the Series D (Second Series) Bonds, ranking on a parity with the outstanding Series C through N Bonds.

All of the Series D (Second Series) Bonds are payable only from the Gross Revenues derived from the operation of the Project, ranking on a parity with the outstanding Series C through N Bonds, and the Series D (Second Series) Bonds shall not and do not constitute an indebtedness of the University of Kentucky or of its Board of Trustees or of the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

Section 2.13. Authentication of Bonds. No Series D (Second Series) Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit of this Resolution unless and until such Bond has been duly authenticated by the Trustee-Registrar by the execution of the Authentication Certificate of Trustee-Registrar appearing on such Bond. Such Certificate appearing on any Bond shall be deemed to have been duly executed by the Trustee-Registrar if manually signed by an authorized officer of the Trustee-Registrar. It shall not be required that the same officer of the Trustee-Registrar sign such Certificate on all of the Series D (Second Series) Bonds.

Section 2.14. Form of Bonds. The aforesaid authorized issue of Series D (Second Series) Bonds shall be in substantially the following form:

UNITED STATES OF AMERICA
COMMONWEALTH OF KENTUCKY
UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BOND
SERIES D (SECOND SERIES)

NUMBER R-_____

PRINCIPAL AMOUNT:
(DOLLARS)

DATE OF ORIGINAL ISSUE: INTEREST RATE:

CUSIP:

September 1, 1993

REGISTERED OWNER:

PRINCIPAL AMOUNT:

The Board of Trustees of the University of Kentucky (the "Board"), a body corporate, as an educational institution and agency and a political subdivision of the Commonwealth of Kentucky, for value received, hereby promises to pay to the Registered Owner, identified above, or his or its registered assigns, solely from the special fund hereinafter identified, upon presentation and surrender of this Bond, the Principal Amount set out above on the Maturity Date specified above and to pay interest on said sum at the per annum Interest Rate specified above, from the interest payment date to which interest has been paid next preceding the date on which this Bond is authenticated, unless this Bond is authenticated on an interest payment date to which interest has been paid, in which event this Bond shall bear interest from such date, or if this Bond is authenticated prior to the first interest payment date, this Bond shall bear interest from the date of original issue set out above, commencing May 1, 1994, and semi-annually thereafter on November 1 and May 1 of each year until payment of the Principal Amount, except as the provisions hereinafter set forth with regard to redemption prior to maturity may be and become applicable. The principal of and redemption price, if any, on this Bond are payable in lawful money of the United States of America at the main office of Bank One, Lexington, NA (formerly, First Security National Bank & Trust Company), Lexington, Kentucky (the "Trustee," "Bond Registrar," and "Payee Bank"). All interest on this Bond shall be payable by check or draft mailed by the Trustee-Registrar to the Registered Owner hereof at the address shown on the registration books kept by the Trustee.

This Bond is one of a duly authorized issue of \$16,325,000 principal amount of Bonds (said Bonds being hereinafter sometimes collectively referred to as "the Bonds," "these Bonds" or "the Series D [Second Series] Bonds"), issued in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including Sections 162.340 to 162.380, and 58.010 through 58.140 of the Kentucky Revised Statutes, now in full force and effect, and

under and pursuant to the Resolution adopted by the Board on September 20, 1960 (the "Basic Resolution"), and the Resolution adopted on August 17, 1993, authorizing the Series D (Second Series) Bonds (the "Series D [Second Series] Resolution"), issuable as fully registered Bonds in the denominations of \$5,000 and any authorized multiple thereof within the same maturity, for the purpose of financing (a) the redemption on November 1, 1993, of the outstanding University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series D, dated March 1, 1973, maturing on May 1, 1994 through May 1, 1999, the proceeds of which Series D Bonds were used for the purpose of redeeming the outstanding University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series D, dated May 1, 1971, the proceeds of which were used to pay the costs of erecting certain educational buildings and necessary appurtenances upon the property of the University in Fayette County, Kentucky, which buildings and appurtenant facilities are a part of the Consolidated Educational Buildings Project of said University (the "Project"), (b) the amount necessary, if any, to fully fund the Required Debt Service Reserve, and (c) the costs of issuance of the Series D (Second Series) Bonds. Copies of the Basic Resolution and the Series D (Second Series) Resolution are on file at the office of the Trustee.

As provided in the Series D (Second Series) Resolution, these Series D (Second Series) Bonds, together with the outstanding Bonds of Series C, Series D (Refunding), Series E, Series F (Second Series), Series G (Second Series), Series H (Second Series), Series I, Series J (Second Series), Series K, Series L (Second Series), Series M and Series N (all of the Series A and B Bonds having been retired), and any additional Parity Bonds which may hereafter be issued and outstanding under the terms of the Basic Resolution and subsequent Series Resolutions (hereinafter referred to collectively as "All Bonds"), are and will be payable from and constitute a first charge and lien upon the Revenues (as defined in the Basic Resolution) to be derived by the University from the operation of its Consolidated Educational Buildings Project. The fee imposed upon and collected from all students enrolled in the University at the Lexington Campus as an incident to registration at the beginning of each semester of the regular academic year and each summer session, including fees imposed for part-time students, night school and extension courses, is designated as the source of Revenues of the Project. Such fees are known as the Student Registration Fees, and the Board has covenanted on behalf of the University that such fees will be fixed (and, if necessary, revised and increased from time to time) at such rates as may be required to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves therefor, and to pay the operating costs of the Project to the extent that such costs are not otherwise provided. All collections of the Student Registration Fees are to be set aside, as received, into the Consolidated Educational Buildings Project Revenue Fund (the "Revenue Fund") and are made subject to a first pledge, lien, and charge for the security and source of payment of All Bonds.

The Basic Resolution provides for the creation of a special account designated "Consolidated Educational Buildings Project Bond and Interest Sinking Fund" (the "Bond Fund") and for the deposit from the Revenue Fund to the credit of the Bond Fund of a fixed amount of the gross Revenues of the Project sufficient to pay interest on All Bonds as the same become due, to pay or retire the principal of All Bonds at or prior to the maturity thereof, and to provide a reserve for such purpose, which Bond Fund is pledged to and charged with the payment of said principal and interest.

The aggregate principal amount of Bonds which may be issued under the Basic Resolution is not limited except as provided in such Basic Resolution, and all Bonds issued and to be issued under the Basic Resolution are and will be equally secured by the pledges and covenants made therein, except as otherwise expressly provided or permitted in the Basic Resolution.

As further security for these Series D (Second Series) Bonds, a statutory mortgage lien, which is hereby recognized as valid and binding on the Project and properties connected therewith and belonging thereto, on a parity with All Bonds, is created and granted to and in favor of the owner(s) of these Series D (Second Series) Bonds, pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, which said statutory mortgage lien shall take effect immediately upon the delivery of any and all of the Series D (Second Series) Bonds, and the Project shall remain subject to such statutory mortgage lien until the payment in full of the principal of and interest on All Bonds, including the Series D (Second Series) Bonds.

All Bonds as may be outstanding from time to time are payable only from the gross Revenues derived from the operation of the Project, a sufficient amount of which revenues will be annually set aside into the Bond Fund, and this Bond does not constitute an indebtedness of the University of Kentucky, its Board, or the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

This Bond is transferable by the Registered Owner hereof in person or by his attorney duly authorized in writing at the main office of the Trustee-Registrar, but only in the manner, subject to the limitations provided in the Series D (Second Series) Resolution, and upon surrender and cancellation of this Bond, duly endorsed for transfer or accompanied by an assignment duly executed by the Registered Owner or his authorized representative. Upon such transfer being effected, a new fully registered Bond or Bonds of the same series and the same maturity of authorized denomination, for the same aggregate principal amount, and the same interest rate, will be issued to the transferee in exchange herefor.

The Board and the Trustee-Registrar may deem and treat the Registered Owner hereof as the absolute owner hereof for the purpose of receiving payment of or on account of principal hereof and redemption price, if any, hereon, and interest due hereon, and

for all other purposes, and neither the Board nor the Trustee-Registrar shall be affected by any notice to the contrary.

The owner of this Bond shall have no right to enforce the provisions of the Basic Resolution or the Series D (Second Series) Resolution, to institute action to enforce the covenants therein, to take any action with respect to any default under such Resolutions, or to institute, appear in, or defend any suit or other proceedings with respect thereto, except as provided in such Resolutions. The Basic Resolution provides for fixing, charging, and collecting fees for the services of the Project, which fees will be sufficient to pay the principal of and interest on All Bonds as the same become due, to provide a reserve for such purpose, and also to pay the cost of operation and maintenance of the Project to the extent that same are not otherwise provided.

Reference is made to such Basic Resolution and Series D (Second Series) Resolution (a) pursuant to which Bank One, Lexington, NA (formerly, First Security National Bank & Trust Company), in the City of Lexington, Kentucky, has been designated as Trustee and Bond Registrar, Payee Bank, and Transfer Agent for the owners of the Series D (Second Series) Bonds, (b) for the provisions, among others, with respect to the custody and application of the proceeds of the Series D (Second Series) Bonds, (c) the rights, duties, and obligations of the Board and of the Trustee, and (d) the rights of the owners of these Series D (Second Series) Bonds; and by the acceptance of this Bond, the Registered Owner hereof assents to all of the provisions of said resolutions.

The Series D (Second Series) Bonds are not subject to redemption prior to maturity.

This Bond is exempt from taxation (except inheritance taxes) by the Commonwealth of Kentucky and all of its political subdivisions.

It is hereby certified, recited, and declared that all acts, conditions, and things required to exist, to happen, and to be performed precedent to and in the issuance of this Bond, do exist, have happened, and have been performed in due time, form, and manner as required by law, and that the amount of this Bond, together with all other obligations of the Board and of said University of Kentucky, does not violate any provision or exceed any limit prescribed by the Constitution or Statutes of Kentucky; that the Project will be continuously operated, and that a sufficient portion of the gross Revenues therefrom has been pledged to and will be set aside into the Bond Fund (created in the Basic Resolution) for the payment of the principal of and interest on this Bond and All Bonds which by their terms and the terms of the Basic Resolution, the Series D (Second Series) Resolution, and all previous Series Resolutions, are payable from the Bond Fund as and when the same will respectively become due.

IN TESTIMONY WHEREOF, the Board of Trustees of the University of Kentucky has caused this Bond to be executed on its behalf by the reproduced facsimile signature of its Chairman, and the facsimile of its corporate seal to be imprinted hereon, and attested by the reproduced facsimile signature of its Secretary, dated as of September 1, 1993; provided, however, that this Bond shall not be valid or obligatory for any purpose or be entitled to any security or benefit under the Series D (Second Series) Resolution pursuant to which it was authorized until the Authentication Certificate of Trustee-Registrar printed hereon shall have been executed by the manual signature of a duly authorized representative of the Trustee-Registrar.

UNIVERSITY OF KENTUCKY
Lexington, Kentucky

By _____ (Facsimile Signature)
Chairman, Board of Trustees

Attest:

(Facsimile Signature)
Secretary, Board of Trustees

(Facsimile Seal of Board of Trustees)

THE AUTHENTICATION DATE OF THIS BOND IS: _____

AUTHENTICATION CERTIFICATE OF TRUSTEE-REGISTRAR

This is to certify that this Bond is one of the Bonds referred to in the within Bond and in the Series D (Second Series) Resolution authorizing same. Printed on the reverse hereof is the complete text of the opinion of Bond Counsel, Rubin Hays & Foley, 200 South Fifth Street, Louisville, Kentucky, a signed original of which is on file with the undersigned, delivered and dated on the date of the original delivery and payment for the Bonds.

BANK ONE, LEXINGTON, NA
Lexington, Kentucky
Trustee and Bond Registrar

By _____
Authorized Officer

The following abbreviations, when used in the inscription on the face of the within Bond, shall be construed as though they were written out in full according to applicable laws or regulations:

TEN COM	-- as tenants in common	UNIF TRANS MIN ACT -	_____ Custodian _____
TEN ENT	-- as tenants by the entireties		(Cust) (Minor)
JT TEN	-- as joint tenants with right of survivorship and not as tenants in common		under Uniform Transfers to Minors Act
			_____ (State) _____

Additional abbreviations may also be used though not in list above.

ASSIGNMENT

For value received, the undersigned hereby sells, assigns and transfers unto _____ the within Bond and hereby irrevocably constitutes and appoints _____ attorney to transfer said Bond on the books kept for registration and transfer of this Bond, with full power of substitution in the premises.

Dated: _____

Registered Owner

(Signature must correspond with name of Registered Owner as it appears on the front of this Bond in every particular, without alteration, enlargement, or any change whatsoever.)

Social Security or other taxpayer
identification number of Assignee:

Signature Guaranteed:

Notice: Signature(s) must be
guaranteed by a member firm of the
New York Stock Exchange, Inc., or
a commercial bank or trust company

ARTICLE III
PUBLIC SALE OF SERIES D (SECOND SERIES) BONDS; COMPLIANCE WITH
PARITY REQUIREMENTS; DISPOSITION OF PROCEEDS OF
SERIES D (SECOND SERIES) BONDS

Section 3.1. Advertisement of Series D (Second Series) Bonds for Sale. The Series D (Second Series) Bonds shall be sold at public sale after public advertising as required by KRS Chapter 424, and the Financial Advisors are authorized to disseminate copies of the preliminary Official Statement and final Official Statement as approved by the Controller-Treasurer. The forms of Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds and Bid Form attached hereto are approved by the Board and copies of each shall be placed by the Secretary in the official record of the Board. Bids shall be received in the office of the Controller-Treasurer of the University until a day and hour designated by the President and/or the Board of Trustees upon the advice of the Financial Advisor. The Board, or the Executive Committee of the Board, or some other special committee of the Board thereunto duly authorized shall, by Resolution, accept the lowest and best bid for the Series D (Second Series) Bonds, upon the advice of the Financial Advisor, and the principal maturities and interest rates of said Series D (Second Series) Bonds shall be automatically fixed at the principal maturities and interest rates set out in said successful bid accepted in said Resolution, without the necessity of any further action by the Board. The proceeds of the sale of said Series D (Second Series) Bonds shall be used only for the purposes herein described.

Section 3.2. Compliance with Parity Requirements of Basic Resolution. In accordance with the requirements of Section 7.10 of the Basic Resolution, it is hereby certified, covenanted, and declared:

(a) That at the present time, and at the time of issuance of the Series D (Second Series) Bonds, there is and will be no deficiency in amounts required by the Basic Resolution or any Series Resolution to be paid into the Bond Fund;

(b) That prior to the issuance of the Series D (Second Series) Bonds, there will have been procured and filed with the Trustee a statement by the Treasurer of the Board to the effect that the average of the annual revenues from the Project for the two fiscal years immediately preceding the issuance of the Series D (Second Series) Bonds, was equal to not less than 1.25 times the maximum Aggregate Principal, Interest and Bond Fund Charges in any succeeding twelve month period ending May 1 on the Outstanding Bonds and on the Series D (Second Series) Bonds proposed to be issued. For the purposes of such computation, such certification of the Treasurer shall make an adjustment in the amount of the annual Revenues to reflect any increases or decreases in the pledged Revenues (the student registration fees) which at that time shall have been determined by the Board and made effective for future services of the Project.

Accordingly, based on the foregoing compliance with the requirements of the Basic Resolution, it is hereby found and declared that the Series D (Second Series) Bonds shall rank and be payable on a parity with the outstanding Series C through N Bonds on a first lien basis from the gross revenues of the Project and further secured by a first statutory mortgage lien on the Project buildings.

Section 3.3. Disposition of Proceeds of Series D (Second Series) Bonds. Immediately upon the issuance, sale, and delivery of the Series D (Second Series) Bonds, the entire amount received from the Original Purchasers of the Series D (Second Series) Bonds shall be deposited in the Treasury of the Commonwealth for immediate credit and/or transfer as follows:

(a) To the Bond Fund, all amounts received as collected accrued interest for the period from September 1, 1993, the date of the Series D (Second Series) Bonds, to the date of delivery, for application to the payment of interest next becoming due on the Series D (Second Series) Bonds.

(b) To the Bond Fund, an amount which will provide for the payment of the \$15,530,000 principal amount of Series D Bonds to be called for redemption and \$310,600 redemption premium, which shall be used on November 1, 1993, to redeem the outstanding Series D Bonds maturing on May 1, 1994 through May 1, 1999.

(c) To the Debt Service Reserve Fund, the amount, if any, required to fully fund the Required Debt Service Reserve.

(d) To the "University of Kentucky Consolidated Educational Buildings Series D (Second Series) Bonds Cost of Issuance Account," hereby created and to be maintained on deposit with the Trustee, such appropriate amount as shall be directed by the Treasurer of the Board, to be held and disbursed upon written authorization of the Board or its designee. The Trustee shall apply funds in such account for the purpose of meeting issuance expenses, including printing, fiscal agent fee, legal fees and expenses, rating agency fees, and other necessary costs (to the extent not payable by the Fiscal Agent) of preparing, issuing, advertising, and selling the Series D (Second Series) Bonds. After payment in full of such issuance expenses, based upon the certification of the Board, through its Treasurer, all amounts remaining in such Account shall be transferred to and deposited in the Bond Fund and applied toward the payment of interest requirements falling due on the Bonds on May 1, 1994.

ARTICLE IV
COMPLIANCE WITH FEDERAL ARBITRAGE REQUIREMENTS;
THE REVENUE FUND; DEBT SERVICE RESERVE FUND

Section 4.1. Compliance with Federal Non-Arbitrage Requirements. Neither the University nor the Trustee shall take any action at any time which will cause the interest on the Bonds to become subject to Federal income taxation, and, without limiting the foregoing, it is covenanted for the benefit of the owners of such Bonds that no use of the proceeds of the Series D (Second Series) Bonds will be made at any time which, if such use had been reasonably expected on the date of issue of such Bonds, would have caused them to be "arbitrage bonds." This covenant shall impose an obligation to comply with the requirements of Section 148 of the United States Internal Revenue Code of 1986, as amended (the "Code"), and the applicable regulations thereunder. The word "proceeds" as used herein shall have the meaning which it has under such section of the Code and such regulations and shall include all moneys on deposit in all Funds provided for herein and all Funds established in connection with the Project and the Bonds. Without limiting the generality of the foregoing, in no event shall moneys be deposited in any accounts created hereunder or invested pursuant to the provisions hereof if such deposit or investment shall cause any Bond to be an "arbitrage bond" within the meaning of Section 148 of the Code.

The Board certifies that on the basis of the facts, expectations, and circumstances (including covenants of the Board) in existence on the date of adoption of this Series D (Second Series) Resolution it is not expected that the proceeds of the Series D (Second Series) Bonds will be used in a manner that will cause such obligations to be "arbitrage bonds." The Chairman and the Treasurer of the Board are hereby jointly and severally designated and charged by the Board and the University with the responsibility for issuing the Series D (Second Series) Bonds and are hereby designated as the officers of the Board and the University to execute (by either of them) the ("no arbitrage") certification required by Section 1.103-13(a)(2)(ii) and any other provisions of the Treasury Regulations, and such certification shall set forth such facts, expectations and circumstances, which may be in brief and summary terms, and shall state that to the best of his knowledge and belief there are no other facts, expectations, or circumstances that would materially change such expectation that the proceeds of the issue of Bonds herein authorized will not be used in a manner that would cause same to be "arbitrage bonds." If, under any valid provisions of law hereafter enacted, the interest paid by the Board on the Bonds should be excludable from the gross income of a recipient thereof for Federal income tax purposes without regard to compliance with the provisions of Section 148 of the Code, then the University shall not be required to comply with such provisions of the Code.

Section 4.2. The Revenue Fund; Transfers to the Bond Fund and the Debt Service Reserve Fund to Provide for the Additional Requirements of the Series D (Second Series) Bonds.

(1) From and after the issuance and delivery of the Series D (Second Series) Bonds, the Treasurer, conforming to the provisions of Section 4.05(2) of the Basic Resolution, shall transfer from the Revenue Fund and deposit to the credit of the Bond Fund from time to time such sums as are required to pay (a) interest falling due on each November 1 with respect to the Series D (Second Series) Bonds, and (b) interest and principal, if any, falling due on each May 1 with respect to the Series D (Second Series) Bonds; and such transfers and deposits into the Bond Fund shall be in addition to the transfers and deposits required to be made from time to time for payment of the interest on and principal of the Series C through N Bonds.

(2) Conforming to the requirements of Section 4.05(2) of the Basic Resolution, if, whenever, and so long as the amount on deposit in the Debt Service Reserve (as part of the Bond Fund) shall be less than the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve (as defined herein), with respect to All Bonds for any future year ending May 1, the Treasurer shall transfer from the first available funds in the Revenue Fund and deposit in the Bond Fund, whatever additional amounts over and above all deposits otherwise required to be made into the Bond Fund, as set out in the foregoing paragraph (1) of this Section, shall be required in order to restore and maintain the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve in the Debt Service Reserve portion of the Bond Fund.

(3) It is further determined by the Board that in calculating the amount of the required annual payments to be made by the Board into the Bond Fund to meet the principal and interest requirements of All (Outstanding) Bonds, the Board shall (whenever and so long as the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve has been accumulated and is being maintained), take credit for the investment income derived from the investment of funds in the Bond Fund, including funds in the Debt Service Reserve; provided, further, however, that the Board, in compliance with the arbitrage requirements of the Internal Revenue Code of 1986, as amended, represents that amounts on deposit in the Bond Fund will be used within thirteen (13) months from the date of deposit for the payment of principal of and interest on the Outstanding Bonds; and the Bond Fund will annually be depleted through such application, for current debt service requirements on the Outstanding Bonds, except for an amount equal to not more than the greater of (a) one-twelfth (1/12) of the debt service requirements of the Outstanding Bonds for the then ensuing year, or (b) one year's earnings on the Bond Fund.

Section 4.3. Adjustments in the Debt Service Reserve.

It is hereby determined that it is necessary (a) in order to comply with the parity requirements of the Basic Resolution, and (b) for the marketability of the Series D (Second Series) Bonds, that the Debt Service Reserve shall continue to be maintained and, when necessary, accumulated and/or restored, in an amount equal to the "maximum Aggregate Principal, Interest and Bond Fund Charges" (as defined in the Basic Resolution) with respect to all Outstanding Bonds for any future year ending May 1 ("Required Debt Service Reserve").

The Debt Service Reserve shall not (except where the amount on deposit therein shall exceed the Required Debt Service Reserve) be used for any purpose except to make the payments required to be made from the Bond Fund when there are insufficient funds available in the Bond Fund for such purposes.

ARTICLE V
MISCELLANEOUS CONCLUDING PROVISIONS

Section 5.1. All Provisions of Basic Resolution and Various Series Resolutions Are Hereby Readopted, Ratified and Confirmed. The Board hereby readopts, ratifies and confirms all previous Resolutions relating to the Project, including the Basic Resolution adopted on September 20, 1960, and each of the various Series Resolutions C through N.

Section 5.2. Ratification of Previous Appointment of Trustee to Serve as Registrar, Transfer Agent and Payee Bank. Bank One, Lexington, NA (formerly, First Security National Bank & Trust Company), Lexington, Kentucky, the Trustee in all previous Series Resolutions, which was designated in the previous Series Resolutions to serve also as Bond Registrar, Transfer Agent and Payee Bank with respect to the Series C through N Bonds, is also designated to serve in all capacities referred to above with respect to the Series D (Second Series) Bonds.

Its duties as Registrar, Transfer Agent and Payee Bank with respect to the Series D (Second Series) Bonds shall be the same as its duties in such capacities with respect to the Series C through N Bonds.

The Trustee/Registrar/Payee Bank shall be entitled to the same rights, privileges, and immunities with respect to the Series D (Second Series) Bonds as are specified in the Series C through N Resolutions with respect to the Series C through N Bonds.

The Trustee Bank shall indicate its acceptance of its respective duties and capacities by signing its Acceptance at the conclusion of this Resolution.

Section 5.3. Replacement by Board of Registrar, Transfer Agent, Payee Bank and Depository Bank. The Board shall have the right at any time to replace the Registrar, Transfer Agent, Payee Bank, and/or Depository Bank by observing the same procedure as that required by the Basic Resolution and/or the respective Series Resolutions for replacement of the Trustee.

Section 5.4. Covenant of Board to Take All Action Necessary to Assure Compliance with the Internal Revenue Code of 1986, as Amended. In order to assure purchasers of the Series D (Second Series) Bonds that interest thereon will continue to be exempt from all Federal and Kentucky income taxation (subject to certain exceptions set out below), the Board covenants to and with the owners of Series D (Second Series) Bonds that (1) the Board will take all actions necessary to comply with the provisions of the Internal Revenue Code of 1986, as amended, (2) the Board will take no actions which will violate any of the provisions of such Code, or would cause the Series D (Second Series) Bonds to become "private activity bonds" within the meaning of the Code, (3) none of the proceeds of the Series D (Second Series) Bonds will be used

for any purpose which would cause the interest on the Series D (Second Series) Bonds to become subject to Federal income taxation, and the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of certain investment earnings on the proceeds of the Series D (Second Series) Bonds.

The Board certifies that the Series D (Second Series) Bonds are not "private activity bonds" within the meaning of the Code, and the Board has been advised by Bond Counsel and therefore believes that interest on the Series D (Second Series) Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals.

The Board is further advised that the exemption from income taxation by the United States of America of interest on the Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), interest on the Series D (Second Series) Bonds is taken into account in determining adjusted current earnings for taxable years beginning after December 31, 1989.

2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series D (Second Series) Bonds, or, in the case of a financial institution, that portion of a holder's interest expense allocated to interest on the Series D (Second Series) Bonds.

3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series D (Second Series) Bonds.

4. For taxable years beginning before January 1, 1996, interest on the Series D (Second Series) Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.

5. Interest on the Series D (Second Series) Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.

6. Passive investment income, including interest on the Series D (Second Series) Bonds, may be subject to federal income taxation under Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.

7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series D (Second Series) Bonds.

The Board reserves the right to amend the Resolution authorizing these Series D (Second Series) Bonds without obtaining the consent of the owners of the Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series D (Second Series) Bonds shall be exempt from Federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of the Series D [Second Series] Bonds) to eliminate or reduce any restrictions concerning the Project, the investment of the proceeds of the Series D (Second Series) Bonds, or the application of such proceeds or of the revenues of the Project. The purchasers of the Series D (Second Series) Bonds are deemed to have relied fully upon these covenants and undertakings on the part of the Board as part of the consideration for the purchase of the Series D (Second Series) Bonds. To the extent that the Board obtains an opinion of nationally recognized bond counsel to the effect that non-compliance with any of the covenants contained in this Resolution or referred to in this Resolution would not subject interest on the Series D (Second Series) Bonds to Federal income taxes or Kentucky income taxes, the Board shall not be required to comply with such covenants or requirements.

This Resolution is adopted in contemplation that Bond Counsel will render an opinion as to exemption of principal of the Bonds from Kentucky ad valorem taxation and as to exemption of interest on the Series D (Second Series) Bonds from Federal and Kentucky income taxation, based on the assumption by Bond Counsel that the Board complies with covenants made by the Board with respect to compliance with the provisions of the Code, subject to the exceptions set out above, and based on the assumption of compliance by the Board with requirements as to any required rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series D (Second Series) Bonds. The Board has been advised that, based on the foregoing assumption of compliance, Bond Counsel is of the opinion that the Series D (Second Series) Bonds are not "arbitrage bonds" within the meaning of Section 148 of the Code.

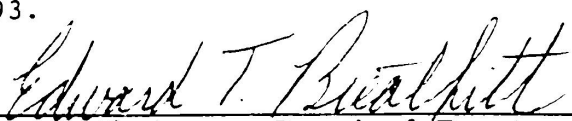
Section 5.5. Resolution Contractual With Bondowners. The Basic Resolution and this Series D (Second Series) Resolution shall, from and after the issuance and delivery of the Series D (Second Series) Bonds, constitute a contract between the Board of the University and the owners of the Series D (Second Series) Bonds as shall be outstanding hereunder.

Section 5.6. Provisions in Conflict are Repealed. All resolutions or parts thereof in conflict with the provisions of

this Series D (Second Series) Resolution are hereby rescinded to the extent of such conflict.

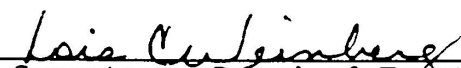
Section 5.7. Copy to be Filed with Trustee. A certified copy of this Series D (Second Series) Resolution shall be filed with the Trustee, and this Series D (Second Series) Resolution shall take effect immediately upon its adoption and the filing of the certified copy thereof with the Trustee, as provided in the Basic Resolution.

Adopted August 17, 1993.


Chairman, Board of Trustees
University of Kentucky

(SEAL)

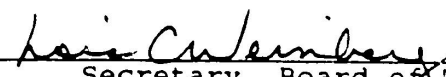
Attest:


Secretary, Board of Trustees

CERTIFICATION

I, Lois Weinberg, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certify that the foregoing is a true copy of a Resolution adopted by the Board of Trustees of said University at a meeting held on August 17, 1993, as recorded in the official Minute Book of said Board of Trustees, which is in my custody and under my control, that said meeting was held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820, and 61.825, that a quorum was present at said meeting, and that the aforesaid Resolution is of record in the office of the Board, has not been modified, amended, or rescinded, and is in full force and effect at this date.

WITNESS my signature and the Seal of said Board this August 17, 1993.


Secretary, Board of Trustees
University of Kentucky

ACCEPTANCE BY BANK ONE, LEXINGTON, NA,
LEXINGTON, KENTUCKY, AS
BOND REGISTRAR, TRANSFER AGENT, PAYEE BANK AND TRUSTEE

The undersigned, Bank One, Lexington, NA, Lexington, Kentucky, having heretofore been appointed as and having served as Trustee, hereby agrees to the provisions of the foregoing Resolution to the extent that there are contained therein provisions as to the additional rights and duties of the undersigned as Bond Registrar, Transfer Agent, Payee Bank, and Trustee.

Dated this _____, 1993.

BANK ONE, LEXINGTON, NA,
Lexington, Kentucky

By _____

Title: _____

(Seal of Bank)

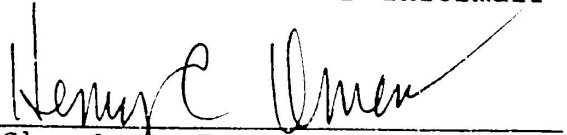
NOTICE OF SALE OF BONDS

\$16,325,000

UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BONDS
SERIES D (SECOND SERIES)
DATED SEPTEMBER 1, 1993

The Treasurer of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, will until September 21, 1993, at 10:00 A.M., E.D.T., receive in the Office of Controller and Treasurer, 369 Peterson Service Building, University of Kentucky Campus, Lexington, Kentucky 40506, sealed, competitive bids for the purchase of \$16,325,000 (plus or minus up to 10%) of the above-identified Series of Bonds, maturing on May 1, 1994 through 1999. Minimum bid is \$16,161,750 (99% of par). Legal opinion by Rubin Hays & Foley, Louisville, Kentucky. The Bonds will be issued on a tax-exempt basis, subject to certain qualifications set out in detail in the Official Terms and Conditions of Sale of Bonds and in the Preliminary Official Statement. Good faith deposit is \$163,250. Bid Forms, Official Terms and Conditions, and Preliminary Official Statements in a form deemed to be "near final" by the Board may be obtained from the Fiscal Agent, J.J.B. Hilliard, W.L. Lyons, Inc., P.O. Box 32760, 501 South Fourth Avenue, Louisville, Kentucky 40232-2760, (502) 588-8400.

Right to reject bids and to waive defects or informalities is expressly reserved.



Henry Clay Owen, Treasurer,
Board of Trustees, University of
Kentucky, Lexington, Kentucky

OFFICIAL TERMS AND CONDITIONS OF BOND SALE

RE: University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series D (Second Series), dated September 1, 1993, in the principal amount of \$16,325,000, plus or minus up to 10%

The Treasurer and the Finance Committee of the Board of Trustees of the University of Kentucky, Lexington, Kentucky (the "Board") will until September 21, 1993, at 10:00 A.M., E.D.T., receive in the Office of Controller and Treasurer, 369 Peterson Service Building, University of Kentucky Campus, Lexington, Kentucky 40506, sealed, competitive bids for the purchase of the above-identified Bonds (the "Series D [Second Series] Bonds"). To be considered, a bid for the purchase of said Bonds must be submitted on an Official Bid Form and must be delivered to such office no later than the date and hour indicated. Proposals for the purchase of the Bonds will be considered by the Finance Committee of the Board at its meeting on that date and referred to the Board (or the Executive Committee of the Board) at a meeting to be held at 1:00 P.M., E.D.T., on that date.

DESCRIPTION AND MATURITIES OF BONDS

The Series D (Second Series) Bonds will be issued only as fully registered bonds in the denomination of \$5,000 principal amount or any multiple of \$5,000 within a single maturity (as designated by the Purchasers), will bear interest payable semi-annually on May 1 and November 1 of each year to maturity (first interest payment date, May 1, 1994), and will mature on May 1 of the respective years, as follows:

<u>Maturity Date</u> <u>May 1,</u>	<u>Principal*</u> <u>Maturity</u>	<u>Maturity Date</u> <u>May 1,</u>	<u>Principal*</u> <u>Maturity</u>
1994	\$2,570,000	1997	\$3,120,000
1995	2,690,000	1998	3,240,000
1996	2,775,000	1999	1,930,000

*The principal maturities are subject to change as provided in the Series D (Second Series) Resolution pursuant to which the Series D (Second Series) Bonds will be issued.

The principal of and interest on the Series D (Second Series) Bonds will be payable in lawful money of the United States of America at the main office of Bank One, Lexington, N.A., Lexington, Kentucky, the Trustee, Bond Registrar, Transfer Agent, Payee Bank and Depository Bank; provided, however, that interest on the Series D (Second Series) Bonds shall be paid when due by check mailed by the Trustee on or before each semiannual interest due date to the respective persons entitled thereto at the addresses of the respective Bondowners of record on the 15th day of the month preceding each interest due date by regular United States mail postmarked not later than the due date. Upon submission of a

properly executed assignment of a Bond, such Bank will transfer ownership of such Bond within three (3) business days of receipt without expense to the Bondowner.

The Series D (Second Series) Bonds shall be lettered and numbered from R-1 upward, or such numbering shall be made in such other appropriate manner as may be determined by the Trustee.

The person in whose name any Series D (Second Series) Bond is registered on the Record Date (April 15 or October 15) with respect to an interest payment date shall be entitled to receive the interest payable on such interest payment date (unless such Bond shall have been duly called for redemption on a redemption date which is prior to such interest payment date).

The Series D (Second Series) Bonds are not subject to redemption prior to maturity.

STATUTORY AUTHORITY AND PURPOSE OF BONDS

These Bonds are issued in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including Sections 162.340-162.380, and 58.010-58.140 of the Kentucky Revised Statutes, and under and pursuant to the Resolution adopted by the Board on September 20, 1960 (the "Basic Resolution"), and to the Resolution adopted on August 17, 1993, authorizing these Bonds (the "Series D [Second Series] Resolution"), for the purpose of financing (a) the cost of redeeming the outstanding University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series D, dated March 1, 1973, maturing May 1, 1994 through 1999, (b) funding the Required Debt Service Reserve, if necessary, and (c) paying the costs of issuance of the Series D (Second Series) Bonds.

SECURITY

These Series D (Second Series) Bonds, together with the Series C, Series D (Refunding), Series E, Series F (Second Series), Series G (Second Series), Series H (Second Series), Series I, Series J (Second Series), Series K, Series L (Second Series), Series M and Series N (all of the Series A and B Bonds having been retired), and any additional Parity Bonds which may hereafter be issued and outstanding under the terms of the Basic Resolution and subsequent Series Resolutions, are and will be payable from and will constitute a pledge, charge and lien upon the Revenues to be derived by the University from the operation of its Consolidated Educational Buildings Project, as defined in the Basic Resolution. The fee imposed upon and collected from all students of the University at Lexington, Kentucky, as an incident to registration at the beginning of each semester of the regular academic year and each summer session, including fees imposed for part-time students, night school and extension courses, is designated as the source of Revenues of the Consolidated Educational Buildings Project. Such fees are known as the Student Registration Fees (the

"Registration Fee") and the Board covenants that the same will be fixed and if necessary revised and increased from time to time at such rates as may be required to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves therefor, and to pay the operating costs of the Project to the extent that such costs are not otherwise provided. All collections of the Student Registration Fee are to be set aside as received into the Consolidated Educational Buildings Project Revenue Fund and are made subject to a first lien and paramount charge for the security and source of payment of all outstanding Consolidated Educational Buildings Revenue Bonds.

As further security for the Bonds, a statutory mortgage lien upon the Project is created and granted by the Series D (Second Series) Resolution pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, in favor of the Series D (Second Series) Bonds and all parity bonds, including those heretofore issued and those hereafter issued.

BIDDING CONDITIONS AND RESTRICTIONS

The public offering of the Series D (Second Series) Bonds is made upon the following specific conditions and provisions:

- A. Bidders shall bid for the entire issue a minimum price of not less than \$16,161,750 (99% of par) for the \$16,325,000 of Bonds, plus accrued interest from the date of the Bonds (September 1, 1993) to the date of delivery, payable in Federal Funds.
- B. The successful bidder will be required to deposit with Bank One, Lexington, N.A., Attn: David Dever, for the account of the University, immediately available funds in the amount of \$163,250 (1%), representing the good faith deposit, by the close of business on September 22, 1993. The amount of said good faith deposit, without interest, will be deducted from the purchase price at the time of delivery of the Bonds.
- C. The determination of the best bid will be made on the basis of the lowest net interest cost calculated by computing the total interest payable on the Bonds from September 1, 1993, through the Final Maturity Date, plus discount or less premium, as set forth in the Official Bid Form, for exactly \$16,325,000 of Series D (Second Series) Bonds under the terms and conditions herein specified. Upon determination of the lowest net interest cost according to the schedule of principal maturities listed in the Official Bid Form, the Board shall immediately proceed to adjust such principal maturities to determine the maturities of its final bond issue.

The successful bidder will be required to accept the final bond issue as so computed.

- D. Bidders must state an interest rate or rates in a multiple of 1/8 or 1/20 of 1%, or both.
- E. There is no limit on the number of different rates which may be specified in any bid.
- F. All Bonds of the same maturity shall bear the same and a single interest rate from the date thereof to maturity.
- G. Interest rates must be on an ascending scale, in that the interest rate for Bonds of any maturity may not be less than the interest rate stipulated for any preceding maturity.
- H. The right to reject bids for any reason deemed advisable by the Finance Committee of the Board and the right to waive any possible informalities, irregularities, or defects in any bid which, in the judgment of the Finance Committee of the Board, with the advice of the Fiscal Agent, shall be minor or immaterial, is expressly reserved.
- I. Bids must be made on forms which, together with an Official Statement, may be obtained at the office of the Treasurer of the University or from the Fiscal Agent, J.J.B. Hilliard, W.L. Lyons, Inc., P.O. Box 32760, 501 South Fourth Avenue, Louisville, Kentucky 40232-2760, (502) 588-8400. Bids must be enclosed in sealed envelopes marked "Proposal for University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series D (Second Series), dated September 1, 1993," and bids must be received by the aforesaid Treasurer prior to the date and hour set for the sale.
- J. The purchasers of the Bonds shall pay the CUSIP Service Bureau charge for the assignment of CUSIP numbers, which numbers will be printed on the Bonds at no expense or cost to the purchasers. Neither the failure to print a CUSIP number on any Bond nor any error with respect thereto shall constitute cause for a failure or refusal by the purchasers thereof to accept delivery of and pay for the Bonds.
- K. Delivery will be made in Lexington or Louisville, Kentucky, at no additional cost or expense to the purchasers. The purchasers may elect to require delivery through a depository trust corporation, provided the purchasers agree to pay any additional expense in connection therewith, such expense to

include shipping expense, insurance in transit and the fee of the depository trust corporation. In connection with the issuance of the Bonds, the Board will pay for the printing of the Bonds, which will contain the opinion of Bond Counsel. Delivery is expected on September 30, 1993.

- L. The University may tender the Bonds in the form of a single fully registered temporary Bond to the purchasers, in which event, such purchasers, upon tender thereof to the Transfer Agent of the temporary Bond, will be issued fully registered Bond Certificates (in the denomination of \$5,000 or any multiple of same within the same maturity) in such names as shall have been properly designated to the Bond Registrar.
- M. It shall be the responsibility of the purchasers to furnish or cause to be furnished to the Trustee at least five (5) business days prior to the date of delivery of the Bonds, a list of the names, addresses, social security numbers or taxpayer identification numbers, of each of the parties to whom the Bonds are to be registered and the principal amounts and maturities thereof. In the event of the failure to so furnish such list, the Bonds delivered to the purchasers shall be registered in the name or names of such purchasers or their designated representatives appearing as the first name on the successful Bid Form, or otherwise appropriately designated, and shall be issued in denominations corresponding to the principal amount of each respective maturity, as shall be determined by the Trustee-Registrar.
- N. Upon wrongful refusal of the purchasers to take delivery of and pay for the Bonds in Federal Funds when tendered for delivery, the amount of the good faith check shall be forfeited by such purchasers, and such amount shall be deemed liquidated damages for such default; provided, however, if such Bonds are not ready for delivery and payment within forty-five (45) days from the date of sale herein provided for, said purchasers shall be relieved of any liability to accept the Bonds hereunder. However, it is contemplated that the Bonds will be delivered on a date during such period as may be designated by representatives of the Board, and the purchasers will be required to accept delivery of and pay for the Bonds on any designated date within such 45 day period upon notice being given at least five (5) business days prior to the designated delivery date.

- O. Bidders are advised that J.J.B. Hilliard, W.L. Lyons, Inc., of Louisville, Kentucky, has been employed as Fiscal Agent in connection with the issuance of these Series D (Second Series) Bonds. Their fee for services rendered with respect to the sale of the Series D (Second Series) Bonds is contingent upon the issuance and delivery of the Series D (Second Series) Bonds. They may submit a bid for the purchase of the Series D (Second Series) Bonds at the time of the advertised public sale of the Series D (Second Series) Bonds, either individually or as members of a syndicate organized to submit a bid for the purchase of the Series D (Second Series) Bonds.
- P. If the successful bidder desires to obtain insurance guaranteeing the payment of the principal and/or interest on such Bonds, the University agrees that it will cooperate with such successful bidder in obtaining such insurance, but all of the expenses and charges in connection therewith shall be borne by such bidder (with the exception of the costs of ratings by Standard & Poor's Corporation and Moody's Investors Service), and the University shall not be liable to any extent therefor.
- Q. The successful bidder shall promptly advise the Financial Advisor to the Board of Trustees of (i) the reoffering price for each maturity of the Series D (Second Series) Bonds, and (ii) the principal amount sold to the public of each principal maturity of the Series D (Second Series) Bonds on the reoffering date.

If, upon the basis of the foregoing, the Board shall accept a purchase bid for these \$16,325,000 (plus or minus up to 10%) of Series D (Second Series) Bonds, the Board shall adopt a Resolution to that effect, and supply proper evidence of such acceptance to the bidder submitting the accepted purchase bid.

The Board will furnish to the purchasers the customary No Litigation Certificate and the final, approving Legal Opinion of Rubin Hays & Foley, Municipal Bond Attorneys, Louisville, Kentucky, without expense to the purchasers.

The Board of Trustees shall provide to the successful purchaser a final Official Statement in accordance with SEC Rule 15c2-12. Arrangements have been made with the printer of the preliminary official statement, upon submission of completion text, to print a reasonable quantity of final Official Statements in sufficient time to meet the delivery requirements of the successful bidder under SEC or Municipal Securities Rulemaking Board Delivery Requirements, which Official Statements will be provided at the expense of the Board.

Concurrently with the delivery of the Series D (Second Series) Bonds, the Treasurer of the Board of Trustees will certify that, to the best of his knowledge, the Official Statement did not as of its date, and does not as of the date of delivery of the Bonds, contain any untrue statement of a material fact or omit to state a material fact which should be included therein for the purpose for which the Official Statement is to be used, or which is necessary in order to make the statements contained therein, in the light of the circumstances under which they were made, not misleading in any material respect.

SPECIAL TAX EXEMPTION CONSIDERATIONS

In order to assure purchasers of the Series D (Second Series) Bonds that interest thereon will continue to be exempt from all Federal and Kentucky income taxation (subject to certain exceptions set out below), the Board covenants to and with the owners of such Bonds that (1) the Board will take all actions necessary to comply with the provisions of the Internal Revenue Code of 1986, as amended (the "Code"), (2) the Board will take no actions which will violate any of the provisions of such Code, or would cause the Bonds to become "private activity bonds" within the meaning of the Code, (3) none of the proceeds of the Bonds will be used for any purpose which would cause the interest on the Bonds to become subject to Federal income taxation, and the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of certain investment earnings on the proceeds of the Series D (Second Series) Bonds.

The Board certifies that these Bonds are not "private activity bonds" within the meaning of the Code, and the Board has been advised by Bond Counsel and therefore believes that interest on the Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals. However, the Board has been advised that these Bonds are not "qualified tax-exempt obligations" for bank investments and that banks are not permitted to deduct the interest cost attributable to the purchase of these Bonds.

The exemption from income taxation by the United States of America of interest on the Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), interest on the Series D (Second Series) Bonds is taken into account in determining adjusted current earnings for taxable years beginning after December 31, 1989.

2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series D (Second Series) Bonds, or, in the case of a financial institution, that portion of a holder's interest expense allocated to interest on the Series D (Second Series) Bonds.

3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series D (Second Series) Bonds.

4. For taxable years beginning before January 1, 1996, interest on the Series D (Second Series) Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.

5. Interest on the Series D (Second Series) Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.

6. Passive investment income, including interest on the Series D (Second Series) Bonds, may be subject to federal income taxation under Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.

7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series D (Second Series) Bonds.

The Board reserves the right to amend the Resolution authorizing these Series D (Second Series) Bonds without obtaining the consent of the owners of the Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series D (Second Series) Bonds shall be exempt from Federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of the Series D [Second Series] Bonds) to eliminate or reduce any restrictions concerning the Project, the investment of the proceeds of the Series D (Second Series) Bonds, or the application of such proceeds or of the revenues of the Project. The purchasers of the Series D (Second Series) Bonds are deemed to have relied fully upon these covenants and undertakings on the part of the Board as part of the consideration for the purchase of the Series D (Second Series) Bonds. To the extent that the Board obtains an opinion of nationally recognized bond counsel to the effect that non-compliance with any of the covenants contained in this Resolution or referred to in this Resolution would not subject interest on the Series D (Second Series) Bonds to Federal income taxes or Kentucky income taxes, the Board shall not be required to comply with such covenants or requirements.

Bond Counsel will render the customary opinion as to exemption of principal of the Bonds from Kentucky ad valorem taxation and as to exemption of interest on the Bonds from Federal

and Kentucky income taxation, based on the assumption by Bond Counsel that the Board complies with covenants made by the Board with respect to compliance with the provisions of the Code, subject to the exceptions set out above, and based on the assumption of compliance by the Board with requirements as to any required rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series D (Second Series) Bonds. Bond Counsel is of the opinion that, based on the foregoing assumption of compliance, the Bonds are not "arbitrage bonds" within the meaning of Section 148 of the Code.

Bond Counsel has reviewed the Official Statement with regard to all matters pertaining to the legality and tax exemption of the Series D (Second Series) Bonds, including statements concerning the Board and the purpose and security of the Series D (Second Series) Bonds; but Bond Counsel has not reviewed the financial data concerning the Board and the University in the Official Statement, and expresses no opinion thereon and assumes no responsibility in connection therewith.

Subject to the matters set out above, the Series D (Second Series) Bonds are offered for sale on the basis of the principal of said Bonds not being subject to Kentucky ad valorem taxation and on the basis of the interest on said Bonds not being subject to Federal or Kentucky income taxation on the date of their delivery to the purchasers.

If, prior to the delivery of the Series D (Second Series) Bonds, any event shall occur which alters such tax-exempt status, the purchasers shall have the privilege of voiding the purchase by giving immediate written notice to the Board, whereupon the amount of the good faith deposit of the purchasers will be returned to the purchasers. (Signed) Henry Clay Owen, Treasurer, Board of Trustees, University of Kentucky, Lexington, Kentucky

BID FORM

Subject to the terms and conditions set forth in the Official Terms and Conditions of Sale of Bonds, providing for the sale of \$16,325,000 (plus or minus up to 10%) of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series D (Second Series), dated September 1, 1993, and in accordance with the notice of sale of the Bonds as advertised, to all of which the undersigned agrees, the undersigned hereby submits the following offer to purchase said Bonds.

We hereby bid for said \$16,325,000 (plus or minus up to 10%) principal amount of Bonds, the sum of \$_____ (not less than \$16,161,750), plus accrued interest from September 1, 1993, to the date of delivery of the Bonds, such Bonds to bear interest payable semiannually on May 1 and November 1, commencing May 1, 1994, at the following annual rate(s):

<u>Maturity</u> <u>May 1,</u>	<u>Principal</u> <u>Amount</u>	<u>Rate</u>	<u>Maturity</u> <u>May 1,</u>	<u>Principal</u> <u>Amount</u>	<u>Rate</u>
1994	\$2,570,000	_____%	1997	\$3,120,000	_____%
1995	2,690,000	_____%	1998	3,240,000	_____%
1996	2,775,000	_____%	1999	1,930,000	_____%

We understand that this bid may be accepted for as much as \$17,925,000 of Bonds and for as little as \$14,725,000 of Bonds, at the same price per \$1,000 of Bonds as the price bid per \$1,000 of Bonds by the undersigned with the variation in such amount being adjusted as determined by the Board of Trustees over the term of the Series D (Second Series) Bonds, rounded out to \$5,000.

We understand that the Board of Trustees will furnish the final, approving legal opinion of Rubin Hays & Foley, Municipal Bond Attorneys, Louisville, Kentucky. If we are the successful bidder, we will cause to be deposited in Bank One, Lexington, NA, Lexington, Kentucky, for the account of University of Kentucky, immediately available funds in the amount of \$163,250 representing the good faith deposit on the Bonds, prior to the close of business on September 22, 1993, which amount, without interest, will be deducted from the purchase price of the Bonds when tendered to us for delivery. If we are the successful bidder, we agree to accept and make payment for the Bonds in accordance with the terms of sale.

Respectfully submitted,

Total interest cost from September 1, 1993, to final maturity	\$ _____
Less premium bid or plus discount, if any	\$ _____
Net interest cost	_____ %
True Interest Cost	_____ %

The above computations of net interest cost and of true interest cost are submitted for information only and are not part of this Bid.

ACCEPTANCE OF BID WITH
ADJUSTMENT OF AMOUNT AND TOTAL BID PRICE

Accepted by the Board of Trustees of the University of Kentucky on this September 21, 1993, as to \$_____ of Bonds at an adjusted price of \$_____, plus accrued interest, with the change in the amount of Bonds being reflected by the following changes in the maturities.

<u>Maturity</u> <u>May 1,</u>	<u>Principal</u> <u>Amount</u>	<u>Rate</u>	<u>Maturity</u> <u>May 1,</u>	<u>Principal</u> <u>Amount</u>	<u>Rate</u>
1994	\$ _____	_____ %	1997	\$ _____	_____ %
1995	_____	_____ %	1998	_____	_____ %
1996	_____	_____ %	1999	_____	_____ %

UNIVERSITY OF KENTUCKY

By _____

CERTIFICATE

I, the undersigned, certify that I am the duly qualified and acting Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, and I certify as follows:

1. That the foregoing is a true and accurate copy of an excerpt from the Minutes of the regular meeting of the Board of Trustees of the University of Kentucky held on August 17, 1993.

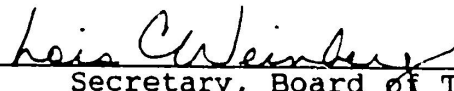
2. That the stated attendance and voting at said meeting are true and correct.

3. That said meeting was duly held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820 and 61.825, that a quorum was present at said meeting, that said Resolution has not been modified, amended, revoked or repealed, and that same is now in full force and effect.

4. That there is attached hereto a full, true and correct copy of the Resolution adopted at said meeting, as set out in said excerpt.

5. That said Resolution has been placed on file in the office of the undersigned Secretary, where same has been duly recorded in the official records of the Board of Trustees of the University.

IN TESTIMONY WHEREOF, witness my signature as Secretary of said Board on this 17th day of August, 1993.


Secretary, Board of Trustees
University of Kentucky

Office of the President
August 17, 1993

FCR 13

Members, Board of Trustees:

1993-94 STATE BUDGET REDUCTION

Recommendation: that the Board of Trustees confirm that the University System two percent state appropriation deferral (\$4,568,000) and the Community College System (\$1,236,200) reflected in the University of Kentucky 1993-94 Operating Budget is now a permanent state appropriation reduction. With this action, the total state support to the University is \$313,694,000, of which \$241,782,700 is appropriated to the University System and \$71,911,300 to the Community College System.

Background: The Governor has announced that the previous notification of a two percent budget deferral for 1993-94 now represents a permanent reduction in state appropriation to the University. The University expenditure plan as approved by the Board of Trustees with the adoption of the 1993-94 Operating Budget on June 22, 1993, was developed net of the announced 2% budget deferral. Therefore, the expenditure plan as previously approved by the Board remains in place and this action confirms that the 2% deferral of state support to the University is a permanent reduction to the University.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: August 17, 1993

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