

MINUTES

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M .
June 22, 2004
18 th Floor Patterson Office Tower**

Minutes

Accompanying materials:

President's Report and Action Items

- PR 1 President's Report to the Trustees
- PR 2 Personnel Actions
- PR 3 Reappointment to Board of Directors of the FUND for Advancement of Education and Research in the University of Kentucky Albert B. Chandler Medical Center
- PR 4 Appointment/Reappointment of Board of Directors University of Kentucky Research Foundation
- PR 5 Agreement between University of Kentucky and Kentucky Medical Services Foundation
- PR 6 Appointment of Vice President for University Initiatives/Associate Provost for Multicultural Affairs

Academic Affairs Committee Report

- AACR 1 Candidates for Degrees – Community College System
- AACR 2 Transfer of the Center for Minimally Invasive Surgery

Finance Committee Report

- FCR 1 Charles W. Hammond Estate Gift
- FCR 2 Dr. David B. and Sally Stevens Gift
- FCR 3 Kentucky Medical Services Foundation Gift
- FCR 4 Kentucky Medical Services Foundation Gift and Pledge
- FCR 5 Gifts and Pledges to the Department of Psychiatry
- FCR 6 Gifts and Pledges to College of Pharmacy Fellowship
- FCR 7 Gifts and Pledge to College of Pharmacy
- FCR 8 St. Joseph Health Care Pledge
- FCR 9 Anonymous Gift of \$150,000
- FCR 10 Resolution of the Board of Trustees of the University of Kentucky ("State Agency") Approving a Financing Agreement among the State Agency, the Kentucky Asset/Liability Commission and the Finance and Administration Cabinet of the Commonwealth of Kentucky for the Purpose of Providing Interim Financing for an Authorized Project in Anticipation of the Issuance of Bonds to Provide Permanent Financing for Said Project
- FCR 11 Disposal of Personal Property
- FCR 12 Disposition of Surplus Property
- FCR 13 Approval of Leases
- FCR 14 Report of Leases
- FCR 15 Operating Budget for Fiscal Year 2004-05
- FCR 16 Acquisition of Real Property Referred to as the Lexel Building at Coldstream in Fayette County, Kentucky

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, June 22, 2004.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, June 22, 2004, in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Mr. Steven S. Reed, Chair, called the meeting to order at 1:12 p.m., and Ms. Marian Sims gave the invocation.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: James Hardymon, Marianne Smith Edge, Michael Kennedy, Pamela May, Robert Meriwether, Billy Joe Miles, Phillip Patton, Elissa Plattner, Steven Reed (Chair), Frank Shoop, Marian Sims, Alice Sparks, Myra Tobin, Rachel Watts, JoEtta Wickliffe, Billy Wilcoxson, Russ Williams, Elaine Wilson, and Barbara Young. Absent from the meeting was Davy Jones. The University administration was represented by President Lee T. Todd, Jr., Provost Michael Nietzel, Executive Vice President for Finance and Administration Dick Siemer, Executive Vice President for Health Affairs Michael Karpf, Executive Vice President for Research Wendy Baldwin, and Senior Associate General Counsel T. Lynn Williamson.

Members of the various news media were also in attendance. A quorum being present, the Chair declared the meeting officially open for the conduct of business at 1:15 p.m.

C. Consent Agenda

Mr. Reed called attention to the items on the consent agenda, including approval of the Minutes. He asked if anyone wanted any consent items removed from the consent agenda and entertained a motion of approval of the consent agenda. Ms. Wilson moved approval. Her motion, seconded by Ms. Sims, carried without dissent. The items on the consent agenda follow:

Minutes – May 4, 2004

PR 2	Personnel Actions
AACR 1	Candidates for Degrees – Community College System
FCR 1	Charles W. Hammond Estate Gift
FCR 2	Dr. David B. and Sally Stevens Gift
FCR 3	Kentucky Medical Services Foundation Gift
FCR 4	Kentucky Medical Services Foundation Gift and Pledge
FCR 5	Gifts and Pledges to the Department of Psychiatry
FCR 6	Gifts and Pledges to College of Pharmacy Fellowship
FCR 7	Gifts and Pledges to College of Pharmacy
FCR 8	St. Joseph Health Care Pledge
FCR 9	Anonymous Gift of \$150,000
FCR 14	Report of Leases

D. President's Report

President Todd called attention to the following items in PR 1:

1. The College of Medicine has received \$59 million in NIH funding and ranks 35th in NIH funding to public medical schools. The basic science departments are very strong at the University, and some are in the top 10 while others are in the top 20. The University's aging grants are ranked number 3. Dr. William Markesbery and the team are doing a lot of good things. *Odyssey* magazine has a very good article on a UK Alzheimer's study. The clinical departments are in the top 20. These rankings are good testimony to the effort that has been made at the University as it climbs the ladder.
2. The University announced a \$5 million federal earmark for environmental assessment and research in the Paducah area with the Gaseous Diffusion Plant. This is money that was secured and earmarked for the University by Senator McConnell. The University was able to do this, along with Murray State and the University of Louisville, as it tries to build relationships that make sense with other institutions. The intriguing thing about this funding is that it is not just looking at the environmental side and the cleanup side. There are three areas that are going to affect the economy in the Paducah area: decontaminates of nickel they have that is valued in the \$10 million to \$20 million range, seismic performance to assess seismic risk because it affects building codes, and looking at other uses of spent uranium to make batteries. Three years ago the University's federal earmarks were at \$5.4 million and this year, thanks to a strong delegation and the effort that Dr. Baldwin and Bill Schweri make in Washington, along with Jim Duff who was added as a lobbyist a few years ago, the University has reached \$22.7 million. The University is getting very competitive in that arena and has a lot more proposals to put forward.
3. The Kentucky delegation led by Governor Ernie Fletcher attended the BIO 2004 Annual International Convention in June.
4. There is a new research partnership between the UK College of Agriculture and Alltech that will produce further advancements in environmental nutrition and management for poultry producers in Kentucky and around the world. The company is headquartered in Nicholasville and has operations in about 16 countries worldwide. There are over 140 UK students and 16 Ph.D.'s from UK working there. UK announced an alliance with them called the Alltech UK Nutritional Research Alliance. It is on Coldstream Research Campus. Poultry has expanded to \$680 million industry in Kentucky and is second only to horses among the a top agricultural enterprises. Nearly 7,000 people are employed there with a payroll of \$200,000,000. It is an area of importance to UK both economically and research wise.
5. UK inducted 29 eighth-graders into the Robinson Scholars Program.
6. Professors Matthew Gabel and Martin Tracy received Fulbright Awards.

7. Five former governors and four first ladies attended a fundraiser to support the research on violence against women. The event raised quite a bit of money and awareness for that institute.
8. Russ Williams was re-elected to the Board. The administration looks forward to working with him in continued efforts for the institution. Roy Moore is going to be a new faculty Trustee and will be sworn into office at the September Board meeting.
9. The Children's Miracle Network Celebration raised \$1.26 million with the help of WKYT staffers, Bill Bryant and Barbara Bailey. Michael Karpf and Jay Pearman were on television, and it was a wonderful event.
10. Residential condominiums are now being built on Martin Luther King Boulevard. This is part of the "Live Where you Work" program that the University has put into place with the city and Good Samaritan that involves subsidizing mortgages for people who buy property there. The homes start at \$94,000 and go up to \$170,000. There is lot of capital construction going on by third parties in the vicinity which will benefit the faculty, staff, and students.
11. The UK Center for Rural Health has provided technical support for the Kentucky River Health Network's successful pursuit of a \$200,000 federal outreach grant to foster greater coordination of services and more partnerships in delivering health care in rural Kentucky. The Center has also assisted successful grant applications from Murray State University for \$187,150 to partner with the Purchase Area Health Education Center.
12. The Kentucky Science Engineering Foundation has awarded the UK Center for Applied Energy and Research (CAER) three grants totaling \$430,000. These are funds given by the state to be awarded to faculty throughout the state of Kentucky who compete. There are outside reviewers for this. The project concerns acid mine drainage reduction and new ways to form concrete out of ash. Some of those things, we feel, will take our research into the economy and help change the economy and improve the job profile. So, that's to be applauded.

President Todd said that there were some really good things in PR 1 and asked the Board to read through the details, especially the things about the good students and faculty mentioned in the report.

President Todd said that PR 2 was on the consent agenda; however, he wanted to call the appointment of Mr. Bob Wiseman to the Board's attention. Mr. Wiseman currently serves as Associate Vice President for Facilities. He is being promoted to a Vice Presidential level because of the value that he brings to that job and the breadth of the job that he has. Mr. Wiseman received his undergraduate and graduate degrees in public administration from the University of Kentucky. He previously served as Lexington Commissioner of Public Works overseeing five operating divisions and numerous construction projects involving buildings, parking garages, roads, utilities, historical structures, and renovation. In addition, he served two Lexington mayors in the capacity as executive assistant overseeing major capital projects and serving as liaison to UK and to the General Assembly. He has been involved with the

University over a long period of time. He has served on the Campus Master Plan Committee, the College/Town Steering Committee, the Martin School Board of Visitors, and in the initial creation of Coldstream Research Campus. In recent years, he has actually taught some graduate level courses in public administration at UK. Mr. Wiseman was asked to stand and be recognized, following which he received a round of applause.

President Todd called upon Dr. David Watt to introduce the Acting Dean of the new College of Public Health.

Dr. Watt said it was his pleasure to introduce the Board to Mr. Tom Samuel who, pending Board approval, will be the Acting Dean of the new College of Public Health. The Board's recent approval of the College of Public Health reaffirms the University's great commitment to its land-grant tradition. Everyone is confident that Mr. Samuel will help provide the leadership that the University needs to move the College forward. He asked Mr. Samuel to make a few comments

Mr. Samuel said that they needed to talk at length about the health status of Kentucky, but the University of Kentucky is 39th in terms of health care outcomes according to a recent survey and 37th in terms of health risk. The addition of a College of Public Health will add a lot to the University's contribution to the state in terms of trying to address those problems. In addition, he pointed out that the University has 150 students currently in the MPH program in the College of Public Health. Of those, 30 already have degrees in some kind of health profession (M.D.'s, dentists, etc.), and of those 14 are currently on the faculty of the University of Kentucky. That is a strong statement as to the importance of public health in terms of what is done at the University of Kentucky.

The College of Public Health has a very diverse student body (28 percent are African-American), and the administration is very proud of the fact that it has been able to recruit a sizeable portion of minority students. They also work closely with other colleges. For example, the HEEL program, which uses cooperative extension agents out of the College of Agriculture, has contributed in terms of collecting health information throughout the Commonwealth using the extension agents, along with public health professionals, in order to provide current health information.

Mr. Samuel mentioned the Council of Postsecondary Education (CPE) meeting that he had attended earlier. CPE is putting together a statewide strategic plan for academic public health in Kentucky, including the four institutions that offer graduate programs in public health in addition to the state department of public health. That plan will be presented to the Provost and President the first part of July. The Council will vote on the plan July 19th. Mr. Samuel said that he thinks the Board will be very pleased with the involvement of the University of Kentucky, as well as with the other academic programs, in trying to improve the workforce in Kentucky in order to improve the health status of the population.

He reported that the College is on track and is certainly reaching for national status as quickly as possible. The Board approved the College in May, and they have been working toward accreditation throughout the last year. They fully expect to achieve a status that will permit them to be eligible for about \$80 million in grants that are applicable only to the 33 accredited colleges of public health in the country today. In addition, the President of the Association of Schools of Public Health will be in Kentucky to present the plan to the CPE Board at the July 19th meeting. This shows the kind of support not only statewide but also nationally that they think this plan will achieve. Mr. Samuel thanked the Board from the point of view of the faculty, staff, and students of the College of Public Health for their support and also the support of President Todd and the administrators. Mr. Samuel received a round of applause.

President Todd said that he really appreciated Mr. Samuel taking the lead on this. He reminded the Board that Mr. Samuel chaired a committee to look into somewhat of a volatile topic when he took office, and that was the whole issue of the health care. He conducted very open, frequent meetings and came up with some extremely innovative things. The University and its employees are benefiting today from the thoughts of Mr. Samuel and his committee as the administration tries to keep health care costs down. He said that he appreciated Mr. Samuel and his energy. This must be a record for getting Board approval to establish a college and having an accreditation visit this soon. It is very admirable.

E. Reappointment to Board of Directors of the FUND for Advancement of Education and Research in the University of Kentucky Albert B. Chandler Medical Center (PR 3)

President Todd said that PR 3 is a recommendation to reappoint the Board of Directors of the FUND for Advancement of Education and Research in the University of Kentucky A. B. Chandler Medical Center. He recommended that the Board give approval to the reappointment of Mira Ball for a two-year term ending June 30, 2006 to the Board of Directors of the FUND. Dr. Meriwether moved approval. Ms. Sims seconded his motion, and it carried without dissent. (See PR 3 at the end of the Minutes.)

F. Appointment/Reappointment of Board of Directors University of Kentucky Research Foundation (PR 4)

President Todd said that PR 4 is to appoint and reappoint two individuals to the Board of Directors of the University of Kentucky Research Foundation (UKRF). He recommended that approval be given to the appointment of Phil Patton as a Trustee member for a three-year term and the reappointment of Ken Roberts, the Dean of Pharmacy, for a three-year term to the Board of Directors of UKRF. Mr. Shoop moved approval. His motion, seconded by JoEtta Wickliffe, carried without dissent. (See PR 4 at the end of the Minutes.)

G. Agreement between University of Kentucky and Kentucky Medical Services Foundation (PR 5)

President Todd recommended that the Board approve the agreement that was circulated in the Board packet between the Board of Trustees and the Kentucky Medical Services Foundation (KMSF). It was negotiated pursuant to the Board of Trustees resolution regarding medical practice plans and was adopted in 1978. There are only minor changes in the modifications. They include updating the dates to reflect 2004-05 and the revision of section 6B of the agreement to establish payment dates for certain services that are provided under the agreement. Ms. Elaine Wilson moved approval. Ms. Smith Edge seconded the motion, and it carried. (See PR 5 at the end of the Minutes.)

H. Appointment of Vice President for University Initiatives/Associate Provost for Multicultural Affairs (PR 6)

President Todd reminded the Board of their approval at the last meeting to establish a position entitled Vice President for University Initiatives/Associate Provost for Multicultural Affairs. He said that he was pleased to recommend that Dr. William H. Turner be appointed Vice President for University Initiatives/Associate Provost for Multicultural Affairs effective July 1, 2004. Dr. Turner could not attend the Board meeting because of a family commitment. President Todd reported that he had met with Dr.

Turner, and Dr. Turner agreed to the terms that they discussed. President Todd reviewed Dr. Turner's credentials.

Dr. Turner received his B.A. degree in 1968, which was an interesting year for Patsy and him because that is the same year they graduated from UK. He got his M.A. in 1971 and his Ph.D. from Notre Dame in 1974. His areas of interest in research are sociology and anthropology. In 1966 as a member of its charter class, Turner studied at Howard University in the Ford Foundation and U.S. Department of State-sponsored Foreign Affairs Scholars Program. He has held several postdoctoral appointments: Senior Fellow, University of Pennsylvania, Morton Center for Independent Studies; Senior Fellow, Institute for Educational Leadership, George Washington University; Senior Research Fellow, Institute for the Study of Educational Policy at Howard University; and Postdoctoral Fellow in the National Academy of Sciences/Ford Foundation, and the Duke University Center for the Study of Civil Rights and Race Relations.

Dr. Turner has held teaching, research, and administrative posts at several colleges and universities, including Fisk, Howard, UK, Kentucky State, and Winston-Salem University and has been a Distinguished Visiting Professor at Berea and Brandeis. He has published in many areas. His real interests, too, are African-Americans and Appalachia. He will bring a wealth of experience to the University.

Dr. Turner recently served as the interim president at Kentucky State University. He is very articulate and very passionate. He is from Lynch, Kentucky. His father was a coal miner. In the role of Associate Provost for Multicultural Affairs, he will get an opportunity to go around the state frequently and to keep the state aware of some of the initiatives that are going to be started -- outreach, especially, in the eastern part of the state. Dr. Turner is a very great asset to this community and this position. In this role, he will report to the Provost. In the role for University Initiatives, he will report to the President.

Ms. Wilson moved approval of the appointment of Dr. Turner. Because of her years with Dr. Turner, she believes that he is truly a good person for this position, and she totally supports him. Ms. Sparks seconded the motion.

Mr. Reed added that Dr. Turner certainly has a stellar background resume, but it also helps when there is someone from Kentucky who goes away, does well, and excels to the extent that he has a homecoming and a career topped off by coming back to Kentucky. That is very special. He called for a vote and PR 6 carried without dissent. (See PR 6 at the end of the Minutes.)

I. Academic Affairs Report

Alice Sparks, Chair of the Academic Affairs Committee, reported that AACR 1 was on the consent agenda. She pointed out that this is probably the last time the Board will be approving the Community College Systems degrees.

J. Transfer of the Center for Minimally Invasive Surgery (AACR 2)

Ms. Sparks said that AACR 2 is the transfer of the Center for Minimally Invasive Surgery. In 1999 the University established the Center and at that time the Center reported to the Chancellor of the Medical Center. It was funded by a substantial gift of \$5 million from the U.S. Surgical Corporation. With the change in administrative organization and the exhaustion of the current funding, the Center needs to be reassigned and restructured to report to the dean in the College of Medicine. This has gone through all of

the proper procedures, and the Provost and Executive Vice President for Health Affairs support this recommendation. It was passed unanimously by the Academic Affairs Committee. She moved approval of AACR 2. Professor Kennedy seconded the motion, and it carried without dissent. (See AACR 2 at the end of the Minutes.)

K. Finance Committee Report

Mr. Hardymon, Chair of the Finance Committee, reported that the Committee met that morning with all committee members present and most of the Board present. It was a very good time for other Board members to join the Committee because the Committee had an information item that will not be presented at this time to the full Board on the systems program IRIS. It was kind of a lead in to get us up to date on what is going on. There will be a full presentation when they finalize the budget and get some of the timing down. It was good to get started on that one because it was not only important but also costly.

Mr. Hardymon reported that there were a number of consent items. FCR's 1 through 9 were gifts and pledges. The Committee did have an opportunity to go thoroughly through each of those and very much appreciate the gifts. They total \$1.9 million, and \$1.3 million of it is for the match. It was a very good meeting from that standpoint. Mr. Mobley did bring the Committee up to date saying that \$667 million is in house now towards the \$1 billion goal. The Committee is anxiously watching that.

Mr. Hardymon noted that FCR 14 is also a consent item. That is the one detailing leases with annual costs of less than \$30,000. The administration negotiates such leases and then reports to the Board what they did.

L. Resolution of the Board of Trustees of the University of Kentucky ("State Agency") Approving a Financing Agreement among the State Agency, the Kentucky Asset/Liability Commission, and the Finance and Administration Cabinet of the Commonwealth of Kentucky for the Purpose of Providing Interim Financing for an Authorized Project in Anticipation of the Issuance of Bonds to Provide Permanent Financing for Said Project (FCR 10)

Mr. Hardymon said that FCR 10 is for financing an educational building project, some parking, and infrastructure projects. It includes things like the central facilities management project, replacing the steam pipes which are very important although probably not something we think about every day, improving the central heating plan, and replacing high voltage wiring. The resolution is for the purpose of providing and financing the project in anticipation of the issue of some bonds. The Committee will be back to the Board when the bonds are issued. He said the total is \$10 million, and \$4 million is in parking. The University will pay that bond off with parking receipts. The other \$6 million is for the piping, central heating items, etc. He moved approval of FCR 10. Ms. Wilson seconded the motion, and it carried without dissent. (See FCR 10 at the end of the Minutes.)

M. Disposal of Personal Property (FCR 11)

Mr. Hardymon said that FCR 11 is strictly an administrative item. It is the Board's requirement each year to look at an advanced plan that allows for the disposal of items of value less than \$10,000. Disposal is done by an auction with different methods to try to get as much as possible for those items. It includes things such as equipment, machinery, vehicles, and library books. The Board approves the plan annually, and that gives the administration the authority to dispose of items of less than \$10,000. He recommended

approval of FCR 11. Ms. Tobin seconded the motion, and it carried without dissent. (See FCR 11 at the end of the Minutes.)

N. Disposition of Surplus Property (FCR 12)

Mr. Hardymon said that FCR 12 is for disposal of surplus property over \$10,000. One of the items on the list is over \$10,000; therefore, the full list is included. These are items that were involved with Dr. Michael Carrithers' research work. Dr. Carrithers is moving to Yale University. It is definitely an item that the University needs to pass on with him so he can continue his research work. Mr. Hardymon recommended approval of FCR 12. Ms. Wickliffe seconded the motion, and it carried without dissent. (See FCR 12 at the end of the Minutes.)

O. Approval of Leases (FCR 13)

Mr. Hardymon said that FCR 13 recommends the approval of leases which have an annual value of over \$30,000. In this case, the Committee looks at each of the leases -- whether they are renewals or new -- and decides whether to approve them before the administration can conclude final negotiations. They are then brought to the Board for approval. The Committee went through these. They involve distribution space, and some of those are \$6 a square foot. They involve clinical offices which cost up to \$15 to \$16 per square foot of clinical space. They are all over the state and vary in amounts by the areas they are in. Two are renewals, and they have been through the process before. He said that Mr. Siemer had given the Committee a report on the leases. Mr. Hardymon moved approval of FCR 13. Ms. Sims seconded the motion, and it carried without dissent. (See FCR 13 at the end of the Minutes.)

Mr. Hardymon said that the Committee spent about an hour on FCR 15, the operating budget for Fiscal Year 2004-05. The Committee received a presentation from Angie Martin of the finance department. President Todd will make a presentation on the budget and discuss some of the things to get the University to Top 20 status.

President Todd asked if Mr. Hardymon would take FCR 16 prior to FCR 15, as they did at the Finance Committee meeting so the Board would end with the budget presentation.

P. Acquisition of Real Property Referred to as the Lexel Building at Coldstream in Fayette County, Kentucky (FCR 16)

Mr. Hardymon said that FCR 16 is the acquisition of some property known as the Lexel Building at Coldstream on Newtown Pike. The Committee recommends that the Board authorize the Executive Vice President for Finance and Administration to negotiate the acquisition of the Lexel Building. It is on land already owned by the University of Kentucky, and the purchase price is not to exceed \$9.6 million. This price is not to exceed the appraised fair-market value. He added that the purchase price is probably half of the replacement value of a building of this type because it is a high-technology building which will fill the need for space to house engineering projects, and it is a building with cleanrooms. That is a very special thing when you consider that it is part of a research park. The Committee did approve this and authorized the finalizing of this negotiation. He asked President Todd to comment on some of the other facets of the acquisition..

President Todd said that this is a very exciting opportunity. He thanked Wendy Baldwin, John Parks, Dick Siemer, and Clay Owen for the work that they have put into bringing this to pass. It is an

investment that the University needs to make, and the University will have a return as it leases that property. President Todd said that he has a fondness for the building, although he has no financial interests in the building whatsoever, in that he helped design the building when Hughes Aircraft came to Kentucky. The building was built to Hughes Aircraft standards back when the air and space industry was at its peak. It has the amenities that are attractive to engineering and hopefully pharmaceutical and biological companies coming into this area.

President Todd explained that there is a business there now called Lexel Displays. They will occupy about 80,000 square feet, which will leave another 85,000 square feet for the University to sublease. Lexel is the holder of one of the University's licenses. One of the University's patents is in the visualization area. Lexel has been in a business that is in a declining market, and they are looking at UK and some of its researchers in the visualization area to give them new products to sell. There is a very good relationship between them and the University.

President Todd said that UK is also having discussions with companies in the automotive area about doing some research at Coldstream. This would give UK a home for that, and the concept behind this is that this gives the University a landing ground where it can bring in operations that could live there for a period of time, hopefully, while the University builds them a facility on Coldstream if they choose to expand there.

President Todd said that the University has some biotech conversations going on as well. He referred to a biotechnology conference that he mentioned at the previous Board meeting and noted that Governor Ernie Fletcher was at the conference. They heard that there is excess lab space on the east and west coasts. Companies want to stay around those areas and go to those areas. There is plenty of space with some of the downturn in those economies, and for the University to be competitive, it needs some space. He said that he was very pleased to have a supporting comment and quote from the Governor in the press release supporting this project. Governor Fletcher also met with him, President Ramsey, Bill Brundage, and Six Venture Capitalists to talk about what it would take to get them to come to Kentucky. If you look at venture capitalists and the speed with which they move, they do not want to sit there and hold their money for two years for somebody to build some space, especially for a state that is not known for high tech. Being able to accommodate them in a reasonable way close to the University on a research campus with space that they can build into very quickly is an ideal scenario. It also gives John Parks the tools to use now as he goes out and markets Coldstream, which he has already begun doing on a national level. President Todd said that he knew that there were some complaints about the speed at which the administration took off on Coldstream. It is now moving, and hopefully, the economy is moving at the same time to make some people's location more flexible than in the past.

President Todd reported that the University has held the official groundbreaking ceremony for CPST, and they will break ground very soon. That will be the University's entrance into manufacturing pharmaceuticals.

President Todd said that he was pleased to have Mr. Parks at the University to get these discussions going with the various companies, and he is very excited about the things happening at Coldstream. He also expressed appreciation for the support of the Finance Committee and the staff that took care of this particular issue and got it to this point. He said he would be glad to answer any questions.

Mr. Hardymon recommended approval of FCR 16. Dr. Meriwether seconded the motion, and Mr. Reed asked for any questions from the Board.

Mr. Wilcoxson asked a couple of questions about the appraisal process mentioned in the background information, which states that the owners, John Morris and Rex Sheldon, paid for an appraisal. He asked whether the owners selected the appraiser and paid for it, or whether the University selected the appraiser and the owners reimbursed the University for it. He said that the background information was a little unclear.

President Todd asked Mr. Siemer to reply to Mr. Wilcoxson's question.

Mr. Siemer stated that the University had done its own appraisal on the building. The University actually paid for two appraisals and was not reimbursed for either. It is part of the cost of doing business.

Mr. Wilcoxson recommended that for the record there be a little bit more elaboration in the background information because it was a little misleading to him. He thinks the background information needs to be more precise.

Mr. Siemer added that there were actually three appraisals done: one by Lexel, the people who wanted to sell the building, which valued the building at approximately \$15 million, and the University's two appraisals which were \$10.6 million and \$11.5 million.

President Todd said that Mr. Wilcoxson had a good point because when you are negotiating you need an independent appraiser, especially if it involves a state agency. Their appraisal did come in at \$14 million. He said that he did not recall exactly what the cost was when Hughes built the building, but he thought it was \$16.5 million. The replacement value would be higher now because the building was built in the early 90's. The building has hydrogen, nitrogen, liquid oxygen, and liquid which is everything you could ask for. It is a pretty specific building with a lot of high-cost things inside. The administration did go out and seek two appraisals, and both of those exceeded the offering price that we are making. He repeated that Mr. Wilcoxson made a very good point, and the minutes will reflect that. He thanked Mr. Wilcoxson for clarifying the issue.

Mr. Reed called for a vote, and it carried without dissent. (See FCR 16 at the end of the Minutes.)

Q. Operating Budget for Fiscal Year 2004-05 (FCR 15)

President Todd began by saying that Angie Martin did an outstanding job of presenting the budget at the Finance Committee meeting. He said that he would not go through all the details that Ms. Martin did in the Finance Committee, but for the benefit of the audience and some Board members who did not attend the Finance Committee meeting, he would go through the budget in some detail. He gave the following comments prior to making his PowerPoint presentation:

The Postsecondary Education Improvement Act of 1997 mandated that UK become one of America's Top 20 public research institutions. We were to do so within the postsecondary education system that was called upon to improve the economy of the state of Kentucky and the lives of the citizens of the state of Kentucky. I am pleased to submit the budget for the Board's consideration to the tune of \$1.47 billion.

This budget is being presented to the Board in a time of extraordinary change and challenge. The burden that is being placed on this institution and its faculty and staff is greater today probably than at any other time that I have known in recent history, having been associated with the University since 1968. The

University continues to enroll record numbers of students as more and more Kentuckians recognize the need for education and as it attempts to achieve the mandate that was also in House Bill 1 to enroll more students in higher education. Our fellow Kentuckians look to our classrooms as the gateway to individual prosperity and personal fulfillment. The statistics are out there. You get a college degree. You make more money. You have a better life. They also look to our labs for cures for diseases that plague this state like hardly any other state in the nation. They look to our faculty as trainers of nurses and teachers and engineers and pharmacists and computer technicians and all those who make a community stronger and make businesses more successful. They look to our campus as a place where businesses are started and where jobs are created. I began to say that in earlier days people would say go to college and get a job, and now we are saying go to college and create a job. We have that responsibility within this institution to do that for this state. They look to our hospital for its care and to our extension service for its knowledge. And they look to our musicians and our artists and our writers and our historians as preservers and the exponents of our collective culture and our collective heritage. This is as it should be. That is what we should be expected to be looked upon to do.

We welcome this set of responsibilities. And we'll seek and we'll find methods and mechanisms to fulfill them. But, it's not going to be easy. Like the rest of state government, the University of Kentucky has absorbed cuts to our state appropriations, and we have contributed additional and substantial funds to helping balance the budget.

The burden this creates makes it difficult to make the progress that we have set out to make. It does threaten the great things we already do and the potential that we have to flourish in other areas. We do risk losing more faculty and with them the energy and momentum we have worked so hard to sustain. We cannot plan with undeterred boldness. We cannot move forward with unwavering confidence. We cannot move as fast as we want or as far as we want, but we will move. Some of the decisions you have made on how we are using our working capital and how we are making some investments are going to insure that we are going to fight the fight. We are going to make the headway. Our faculty and staff have been given a chance for greatness in the state of Kentucky that has all too often never reached for greatness in education. They have been excited by this challenge and this opportunity. They won't give up easily. It may sound odd to some of you, but I think these are exciting times. My wife tells me I'm the eternal optimist. We have weathered the turbulent seas of financial challenge for the past three years. It has been belting us a bit. But, our vessel has remained seaworthy. We've lost a few people over board, but I will tell you we have added substantially to this crew and they have been with us during much of this storm and will be with us beyond as we sail competitively against the other institutions.

During this three-year period, the state and the nation have faced unparalleled financial problems. Who would have dreamed three years ago that you would have had the crisis of 9-11, the .com's, Enron – all of those things that have taken shots at this country's heart and its economy? We have survived that turbulence, and we have all moved forward together. Even as we come out of these difficult times and the revenues do come up as they are in this state, new monies will be absorbed in unprecedented rates, in Medicaid, in corrections, and in the fight against terrorism. These are new expenditures coming at a bad time, but they will come. But, I firmly believe as the state revenues come back that higher education will benefit and can move from this plateau that I feel we are sitting on right now back to full momentum.

We pledge to work with Governor Fletcher, with the legislature, with the CPE, as together we set a plan to move the state forward. We need to get a budget. We need to modernize the tax structure in order to change and enhance the revenue mix that this state has to have in order to grow effectively. However, in addition, I think that the flagship university needs to be partnering with the state to use its expertise to

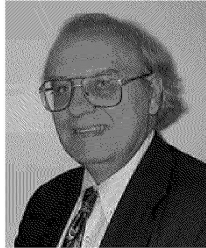
reduce health care cost across the state, as we have done across the university. Improving educational attainment will solve in the long run our education problems and many of our health conditions. Improving educational attainment will also enhance the economy so that these new monies that are received by the state can be allocated to the initiatives that are so vitally important to the progress of this state, one of which is clearly higher education. With respect to higher education, as you look across the economy, you are not going to be successful if you don't have it.

When we were at the bio meeting, there was an article that came out one afternoon that said with the triangle of universities between California, Stanford, and Berkley, there have been 85,000 jobs created in the biotech industry alone, and it has yet to start showing profit, but biotech is the leading edge of job creation and the leading edge of health care. So, I do believe that as revenues pick up, and we are into discussions now trying to decide what will happen when that takes place, we will fare just fine. We have done a lot of things internally, and we are publishing a paper on our efficiencies. We have reallocated \$55 million internally to use that money to go forward. Unfortunately, right now that has been used to reduce the deficits and help balance budgets. So, when good times come back, when our appropriations start going up, we are going to be at a fighting weight where we can succeed.

I want to thank the faculty and I want to thank the staff for their attitude during this period of time. I want to thank the Board for their support, the legislators, as well as the federal legislators, who pumped this up to this \$22.7 million worth of earmarks this past year. As Senator McConnell says, that gives us the training wheels for the universities who have not been that strong in research to compete against the big ones. I also want to thank the third parties who are waking up to the fact that this university wants to be a part of the community. The University wants to expand into that community, and they need to help us with housing, they need to help us with grants, and they need to help us in job creation. I think the partnerships that come through tough times like this are what we see as the silver lining to a very difficult time. So, as I present this budget to you it is against a backdrop of all that. I feel that we have been coming across the ocean and getting flipped around quite a bit. It has been challenging, but I can't tell you how proud I am of the fact that the majority of people at this institution have kept their heads up and have not gotten down into the murk and the mire of depressing times. I feel we are going to make it out of this now, and I appreciate your support for this budget that we are going to present.

President Todd thanked Jeff Dembo who served as Chair of the Senate Council for the pasts two years. He also thanked Ernie Yanarella, current Chair of Senate Council, and Shelia Brothers, Chair of Staff Senate, for their comments and commitment. He said that he had talked with Dr. Yanarella and Provost Nietzel about an initiative which will get the University to start looking at its core curriculum, looking at possibly revamping it such to make people ready for the 21st Century; with creative skills, knowledge skills that they are going to need. He said that he looked forward to working with Dr. Yanarella on that initiative. He said that he very much appreciated Ms. Brothers' comments and the staff's reaction to these challenging times. He then gave the following PowerPoint presentation about the budget:

Operating Budget 2004-05

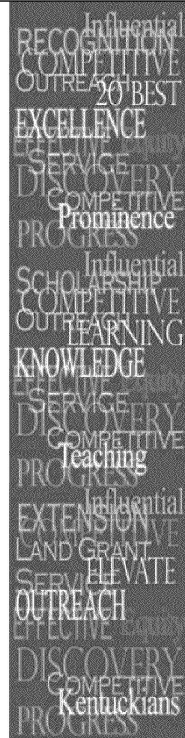


Ernest J. Yanarella, Chair, Senate Council

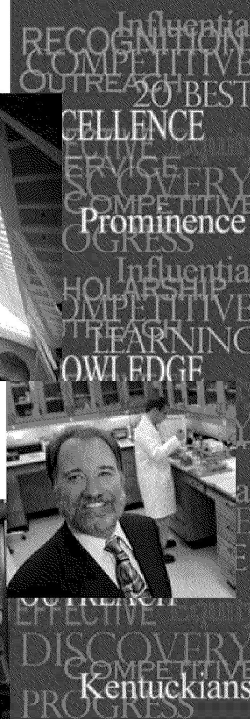
"The new operating budget, however trim and tight, still underlines the cardinal role of the faculty in advancing the University's mission and goals in teaching, research, and service. Adversity is not an excuse for cynicism or fatalism. The faculty of this University must - and will - remain dedicated to providing UK students with the knowledge and skills needed to meet the challenges of the Twenty-First century."

Sheila C. Brothers, Chair, Staff Senate

"The University of Kentucky's ability to move forward as we absorb recurring budget cuts is phenomenal. UK staff employees appreciate the efforts of President Lee Todd and his administration to find the funds necessary to offer a one percent salary increase and protect those faculty and staff who are enrolled in UK HMO from having to pay for the increased costs of our health insurance. We will continue to work together to overcome these hard times and see UK on to a brighter future."

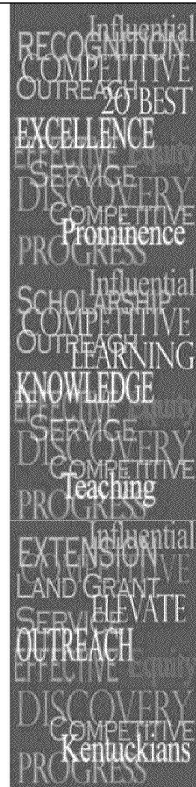


Operating Budget 2004-2005



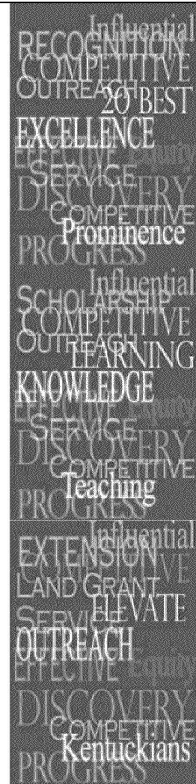
Challenges

- Enrollment increase
- Declining state appropriations
- No state budget
- Transfer of LCC to KCTCS

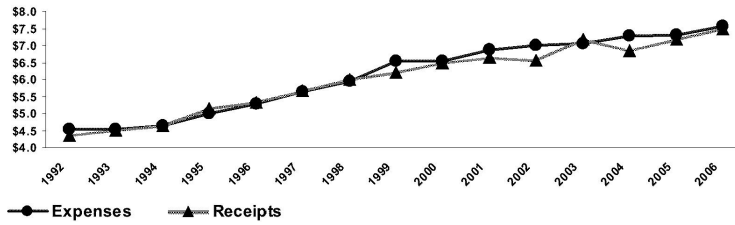


Accomplishments

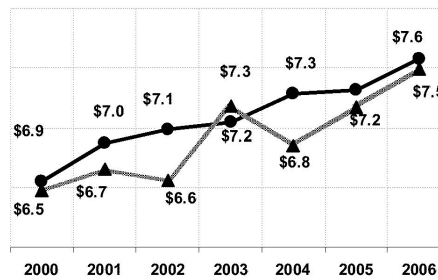
- Increased endowments
- More endowed chairs and professorships
- Increased research grants and contracts
- Nine programs attained “Top 20” status
- Six additional “Top 20” achievements



Kentucky General Fund Expenditures are more than General Fund Receipts...

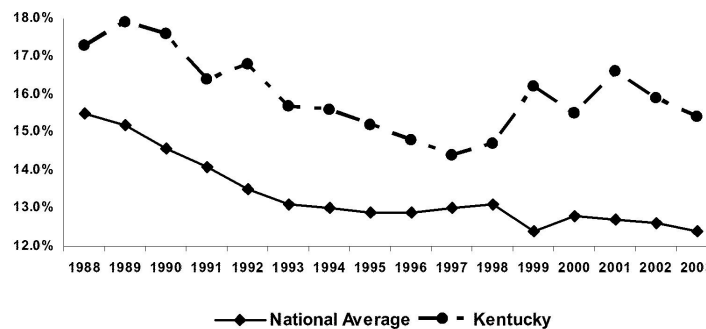


Note: Excludes Tobacco Settlement - Phase I Funds



Source: FY 1992-2003, Actual; Kentucky Financial Report
2004-2006 Executive Budget for the Commonwealth of Kentucky

Kentucky Continues to Spend More of its General Funds on Higher Education



Source: National Association of State Budget Officers, 2002 State Expenditure Report, Summer 2003

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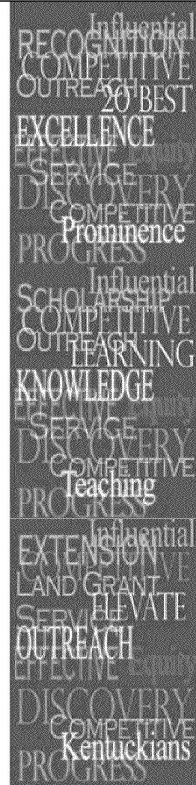
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FY 2004-05 Budget Goals & Objectives

Goals: Enroll, retain and graduate more students and continue progress toward becoming one of America's "Top 20" public research universities.

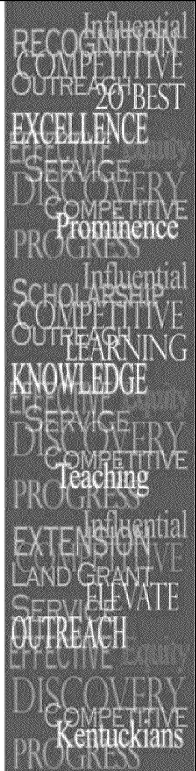
Objectives:

- Fund a modest cost of living salary increase for faculty and staff
- Minimize health care insurance cost increases to our employees
- Provide more scholarships for students
- Fund program improvements that invest in our future

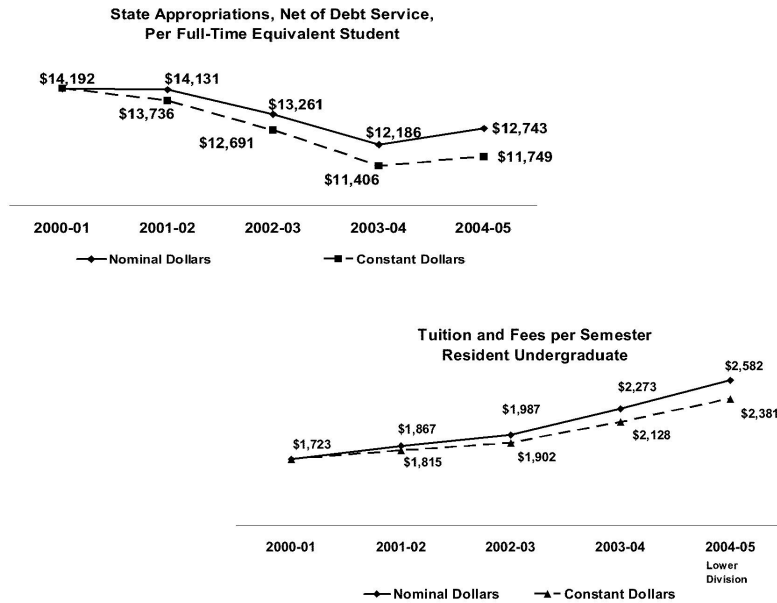


The Dream and the Challenge FY 2004-05 Budget Highlights

- I. *Reach for National Prominence*
 - Undergraduate teaching resources
 - Integrated Resource Information System (IRIS)
 - Program enhancements
- II. *Attract and Graduate Outstanding Students*
 - Scholarships
 - Faculty to teach more students
 - Classroom improvements
- III. *Attract, Develop and Retain a Distinguished Faculty*
 - Faculty / Staff – salary increase, health insurance
 - Faculty Retention Pool
- IV. *Discover, Share and Apply New Knowledge*
 - Biological / Biomedical Sciences Research Building (BBSRB)
 - Morgan County Regional Technology Center
- V. *Nurture Diversity of Thought, Culture, Gender and Ethnicity*
 - Minority recruitment pool
 - Commission on Women and Commission on Diversity
- VI. *Elevate the Quality of Life for Kentuckians*
 - Center for Rural Health

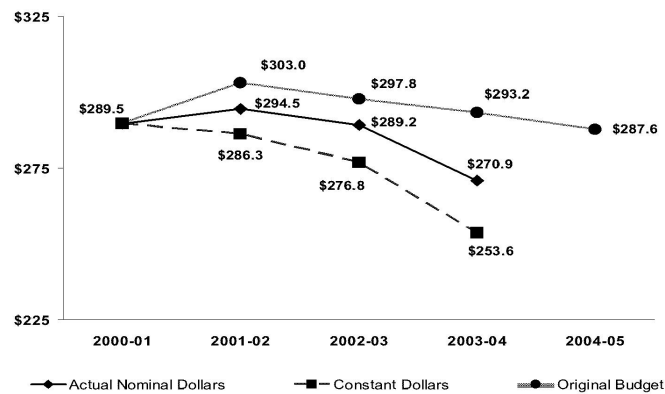


Who pays?



Sources: US Bureau of Labor Statistics - CPI-U; UK Office of Planning, Budget & Policy Analysis; UK Fact Booklet

Declining State Appropriations Net of Debt Service in Millions



Note: Excludes the 2001-02 Intergovernmental Transfer

Sources: US Bureau of Labor Statistics - CPI-U; UK Office of Planning, Budget & Policy Analysis

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FY 2005 Undesignated Funds Additional Needs

Salary and benefits	\$9,004,400
Scholarships	8,380,500
IRIS project	4,000,000
Program investments	3,804,400
Utilities and fixed costs	2,409,000
Tuition differential program	1,862,800
TOTAL	\$29,461,100

20 BEST
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FY 2005 Undesignated Funds Changes in Resources

Recurring:	
State appropriations reduction	(\$5,533,500)
Investment income decrease	(1,000,000)
Service assessments decrease	(336,000)
Tuition revenue increase	24,046,400
Subtotal	17,176,900
Internal reallocations (recurring)	6,000,000
Reserves (non-recurring)	6,284,200
TOTAL	\$29,461,100

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SERVICE

“This fall, children across Kentucky will enroll in kindergarten and begin their journey to college. Thousands of them will become UK’s class of 2020. The work we do today and tomorrow will determine whether we will be one of America’s outstanding universities when that moment comes.

We will not stand still. The stakes are too high and the responsibility too great to be discouraged from pursuing our dream. We are moving forward.”

Lee T. Todd, Jr.
President



President Todd recommended approval of the budget. He thanked those who put their time and effort into pulling the budget together and expressed appreciation to the Board for their time in listening to the presentation.

Mr. Hardymon added that along with the approval of the budget, he was asking the Board to give the President the authority to amend the approved budget if any unanticipated underlying change happens in the final spending plan of the government.

Mr. Reed thanked President Todd for his thorough budget presentation and entertained a motion for approval of the budget. Ms. Sparks moved approval. Judge Patton seconded the motion, and it carried without dissent. (See FCR 15 at the end of the Minutes.)

R. Investment Committee Report

Mr. Billy Wilcoxson, Chair of the Investment Committee, reported that the pool of endowment investments had a market value on March 31, 2004 of \$504.7 million compared to a market value of \$424.6 million on June 30, 2003. That is an increase of \$80.1 million for that nine-month period. The increase is due to the investment appreciation of \$73 million. The balance of \$7.1 million is contributions. The Investment Committee has allocated the endowment investments among equity and fixed income securities. Currently, UK has nine professional firms to manage the endowment assets and each one of these firms has its own specialized philosophy and style of investment. At the end of March, the University’s portfolio was invested 59 percent in U.S. equity securities, 14 percent in international equities securities, and 27 percent in fixed income securities. For the year ending December 31, 2003, the endowment investments return was

24.6 percent for 2003. If you compare that to the blended market index return of 24.3 percent and the endowment foundation index return of 23 percent, the out-performance of the blended market index by 30 basis points was mainly due to the strong performance that the University got out of the fixed income securities. A big part of the out performance of the endowment foundation index by 160 basis points was due to the University maintaining a higher equity allocation in 2003 compared to other institutions. The University did very well.

The Committee and consultants feel that the University has a successful investment program in place. It has a prudent mix of diversified stocks and bonds which avoids market timing hazards and allows discipline rebalancing under the direction of outstanding investment managers. The Committee with the staff and consultants had an educational session on May 11th and a regular meeting the afternoon of June 21st.

Mr. Wilcoxson said he hoped that in the near future he would be able to report on what he feels will be improvements to the investment program that are now in place. He said that he would be happy to answer any questions that anyone might have.

Mr. Wilcoxson noted that the endowment spending distribution had been 5 percent; with the 1 percent management fee that makes it a 6 percent through its educational sessions and meetings, the Committee is trying to come up with enough money and enough returns to continue having this money where it can still provide that 6 percent money. The Committee is finding it more and more difficult to earn an acceptable return using the basic stocks and bonds philosophy; therefore, it is necessary to come up with different ideas, different changes, different improvements, and things to do, just hoping that they will work. It is all about money. The University has to make the money to do the things that it needs to do.

Mr. Reed thanked Mr. Wilcoxson for his report and also for such a keen eye on investment and financial matters that relate to the University. He called on Ms. Smith Edge for the University Relations Committee report.

S. University Relations Committee Report

Ms. Smith Edge reported that the Committee has its annual meeting update on University Relations, and there were no action items to bring forward. She thanked Tom Harris, Mary Margaret Colliver, Steve Byars, and Stan Key for giving the Committee an update on University Relations.

Ms. Smith Edge provided a quick summary of the meeting. She said that the Committee discussed the continued image campaign on Dream, Challenge, and Succeed and looking at an advertising firm in this area. The UK web site will be updated as of September 1st. There will be a new RFP going out for a new multi-media contract as of August. Steve Byars talked about "UKAN" which is basically the UK advocacy network. Mr. Byars rolled out the program to the UK Alumni Association at their annual summer workshop. This is to become an advocacy network for the University as a relationship with legislators. The Alumni Association is very excited about the organized advocacy network that, in her opinion, will continue to benefit the University in years to come. Stan Key, who is Director of the UK Alumni Association, gave the Committee a brief update on the Alumni Association which now has over 32,000 members. The Association is continuing to increase emphasis on engaging students at a very early age into the Alumni Association. The Committee will continue to review communications between UK and the Board and making sure that everyone is continuously aware of all the University relations that are going on within the Commonwealth and amongst the different units.

T. Other Business – President’s Evaluation

Mr. Reed said the next item on the agenda is under Other Business. One significant item under Other Business to report is the annual evaluation of President Todd. The Board of Trustees evaluates the President annually. This is usually done toward the end of the academic school year and the end of the fiscal year. The Executive Committee had a lengthy two-hour meeting to discuss the President’s evaluation on June 21st. The evaluation of the President followed written questions that were submitted to each Board member. The questions were submitted as well to the Executive Committees of the University Senate, Staff Senate, and Student Government Association. In addition, Dr. Todd was asked to prepare a self-assessment which he did and the Executive Committee considered. He noted that the President’s self-assessment does relate to the budget that was presented, and that is good.

Mr. Reed said that he is very pleased with the responses he received from Board members. Some of them were quite lengthy, and he was very encouraged by the time and effort the members took to complete them. It speaks volumes of the commitment of the Trustees, the work they do, their love for the University and their understanding that these evaluations are beneficial to make the University go forward. Mr. Reed said that he had summarized the Board’s responses to the questions and put them in a draft report because some responses from the Trustees were not returned until the day of the meeting. He wanted to incorporate every response he possibly could, put them in a summary report, and go over them with the President at the Executive Committee. He reported that the President received a very high evaluation.

Mr. Reed mentioned the topic areas for the evaluation: institutional agenda, academic leadership, general management planning, fiscal management and budgeting, fundraising, internal relationships, external relationships, decision making and problem solving, and a general catch-all of other perspectives. He said that the feedback was summarized into themes, and the President received high marks. His areas of strength and areas where he is continuing to work on to move the University forward were summarized. The President, being deeply involved in the University, has a good understanding of where his strengths are. He also had a good idea and grasp of areas where he was not as strong. The President, in fact, mentioned in his self-assessment the things that he is already doing to make amends for those areas. If you read the self-assessment, you will see that the President is already undertaking a great effort to continue to move the University forward. He said that the President’s self-assessment speaks for itself. He encouraged each Board member to read the President’s self report. He said he would be pleased to take any questions or phone calls regarding the President’s evaluation.

Mr. Reed explained that there is no scoring like a 1 to 10 or an absolute number. He reiterated that the President received very high marks. And, in his opinion, the Board feels that the President is doing an outstanding job at the University in leading it forward, and the Board is proud to have President Todd at the University. He wished President Todd the best as he continues to do whatever he can and everything possible to move the University to its proper place in the Top 20 plateau as he mentioned during his budget presentation.

Following the evaluation of the President, as is standard and provided for in his contract, the Executive Committee considered the President’s eligibility for his annual bonus. He refreshed the Board’s recollection of the President’s contract which states that he is eligible to receive and be considered for a bonus in the amount of \$100,000.00 for exemplary service. The Executive Committee considered the President’s eligibility for that bonus, taking into consideration what he has done this past year and taking into consideration how the President was evaluated in the general evaluation. The recommendation of the

Executive Committee is that the President be awarded his \$100,000.00 bonus for exemplary service for this past year ending June 30, 2004. He said the Executive Committee recommends that the President be awarded this \$100,000.00 bonus. He asked the Board for any questions or discussion regarding the bonus. He told the Board that he had pointed out to the Executive Committee that there are times when budgets are tight, the economy is down, and it would be easy to say "Why does he get \$100,000.00?" He explained that the President gets \$100,000.00 because it is in his original contract, and most simply, because he has earned it. He said that it is his recommendation and the Committee's recommendation that the President is entitled to receive his \$100,000.00 bonus because if you look at the budget of \$1.479 billion and you look at what the President has done for the University and what he has done this past year, it is the belief of the Executive Committee that the President is very worthy of the \$100,000.00 bonus. Having said that, he said the Executive Committee moved approval of the \$100,000.00 bonus. Ms. Sparks seconded Mr. Reed's motion. The motion carried without dissent, and Mr. Reed congratulated President Todd.

U. Other Business – Tayshaun Prince

Mr. Reed said that he just had to mention something that he had mentioned at the previous Board meeting. He talked about a trip he had made to Texas where he picked up a *USA Today* newspaper that had a wonderful article about Tayshaun Prince bragging about attending and graduating from the University of Kentucky. Sitting in Austin, Texas, it made him feel so proud to read the article. Tayshaun told the world in this publication how having attended UK helped prepare him for the NBA and the playoffs, and how getting his degree made him a better and stronger person. It was a very touching moment for him. It really made him feel proud and special to read this very special article in Texas. He said that he had mentioned it to the Athletic Director and suggested that a copy of the article be framed and put in the Wildcat Lodge for every student to read. On behalf of the Board, he extended his heartfelt congratulations to a wonderful young man for being a part of a world champion team, the Detroit Pistons, and not just for winning a basketball series, but representing his alma mater with honor, respect, and dignity. Tayshaun may have been the only graduate playing in the finals and to think that he is from the University of Kentucky makes one feel very proud.

President Todd said that a resolution could be prepared and sent to Tayshaun, and Mr. Reed thought that was a wonderful idea because he wants Tayshaun to know that the Board is proud of him.

V. Other Business – Men's Golf Team

Mr. Reed mentioned a recent story about the success of the Men's Golf Team. The team did extremely well by finishing eighth in the country and vying for first place. The team kept showing one grit that was so common in the basketball team -- that "never-give-up" grit when you fight. Mr. Reed asked Mitch Barnhart, Athletic Director, to introduce the men's golf coach who he asked to come to the meeting.

Mr. Barnhart said the University has been very fortunate the last few years to have a guy that has led the men's golf program by the name of Brian Craig. Brian has taken the program from virtual anonymity to the national stage. UK played the national championship games in the state of Virginia and represented the University well. Their success the first few days was unbelievable; however, the last day did not go quite as well. They handled that equally with class. He asked Mr. Craig to tell the Board about his team and say a few words. Mr. Barnhart indicated that there are no seniors on the team next year.

Mr. Craig said that he was honored to be there, and he appreciated the invitation to attend the meeting. He thanked the Board for supporting athletics the way it does, particularly the Olympic sports.

Football and basketball get all the attention and rightfully so. Obviously, they draw in a lot of revenue for the Athletics Department, but his student-athletes will tell you that they care just as much about the golf program as any football or basketball player does about their program. They don't always get the accolades for it, but they have been very fortunate the past year or two and have done pretty well. The students in the golf program have done an unbelievable job of representing the University, and within golf circles they have given UK a very positive image and favorable publicity in *Golf World* magazine. CBS will be doing a special on the NCAA tournament in Lexington the 26th of June, and hopefully UK will be featured in that very prominently. He said it was an honor to attend the Board meeting and he really appreciated everybody's support.

Mr. Barnhart mentioned that John Holmes was named First Team All-American and Matt Wells was Honorable Mention All-American.

Mr. Craig pointed out that John Holtz was also Academic All-American and on that first team. There was only one other individual who made that ranking. He won the State Amateur by 12 shots, which is unbelievable.

W. Other Business – Note from James Stuckert

Mr. Reed read the following note that he had received from James Stuckert to the Board:

"My most gracious thanks to the Board of Trustees and their approval for my Doctorate of Letters degree awarded this past Saturday, May 8, 2004. It was quite an honor and one I will treasure forever. My prayers are with you and your fellow Trustees to always guide our great University to the best of your ability. Thanks, Jim."

Other Business – UK Alumni, Andy Green, and College of Engineering Students

Mr. Reed noted another sports note about one of UK's alumni, Andy Green, hitting a home run against the Yankees. He was given a round of applause.

There was mention of a contingency of College of Engineering students who competed in building a tractor. There were 30 schools represented, and UK came in second. It was noted that a female participated in the ideas and building of the tractor.

Other Business – Comments by Michael Kennedy on UK Faculty Salary Increment Policy and Faculty Morale

Professor Michael Kennedy gave the following remarks:

"I am satisfied that passing the 'Fighting Fund' resolution will allow us to keep faculty who might otherwise migrate to other institutions. Part of the impetus for a faculty member for staying, besides the money, will be simply our indication that we value that person by having such a fund. I do worry a bit that this encourages faculty to seek offers primarily to augment their salaries through this fund, but, in the end, we are in a marketplace, with all that implies.

"I have a concern about a matter that transcends even the issue of keeping so-called star faculty: the equitable financial remuneration of faculty and staff in general. Previously I addressed the issue of

Kentucky state government's failure to provide adequately for the University. What I want to comment about now is the issue of using what we do have available to enhance faculty morale and create a feeling of fairness among those on whom, without question, the quality and vitality of this University depend.

"One percent was allocated for raises this year. The cost of living went up by 1.74 percent. Faculty understood that UK did the best it could in finding 1 percent in a year when the institution's state funding was cut, yet again. What is not sitting so well with faculty are some of the ways in which that 1 percent was distributed. The University's policy is that faculty raises are to be distributed solely on the basis of 'merit.'

"This year, the University Senate Council, through a hard-working committee on Improving Faculty Salaries, chaired by Professor Yanarella, published a document on improving faculty salaries. It contains several recommendations and is several pages long. In the interest of saving a tree I have not made copies for everyone, but, upon request, I will give you a copy or the web address.

"Further, during a previous administration, the UK Chapter of the American Association of University Professors (AAUP) did a survey of the entire faculty on what they felt were appropriate raise policies. One idea that got considerable support was that, when funds available for raises falls below the increased cost of living, one-half of the salary increment allocation go to CoL increases – perhaps on only a portion of a faculty member's salary, for those making larger amounts. Another idea was that merit increases be allocated in dollar amounts rather than percentages. With percentage increases, two faculty members, whose contributions to UK are equally meritorious but whose salaries are unequal, are rewarded unequally – often substantially unequally. In other words, the rich get richer.

"Another idea, proposed by Professor Alvin Goldman, of the UK Law School and currently UK-AAUP co-chair, is that, after CoL increases are made, merit increases be given as non-recurring bonuses, thus evening out the base salaries of faculty over budget-rich years and lean years.

"As I listen to my colleagues discuss their meager increments this year, and as I contemplate my own 74-cent-per-day raise, I realize that the lack money is less important than this feeling that many of us have: Most of us are falling well short of CoL raises, so that a few may be handsomely rewarded. It doesn't sit well.

"As those of you unfortunate enough to have seats next to me at games – fielding my questions of 'What just happened?' – as you know, my knowledge of basketball is miniscule. So take this analogy with considerable salt. It is my understanding that the Detroit Pistons won the NBA championship, not with superstar players, but with merely great players who operated well together as a team. This faculty is a great team. Marvelous things happen when members of faculty feel they are being treated equitably.

"I recognize that salary raise policy is the purview of the Administration, not of the Board, and that such policy, in the main, devolves to the deans. But I also believe that faculty and staff morale is a major concern of the Board. I am not interested in promoting any sort of 'turf contest' here but rather a hope that the nexus of Board and Administration interest in this problem could provide an opportunity for a morale boost among the people who, in their academic duties, are daily asked to do more with less. The members of this Board have immense expertise – from their involvement for

many years in educational enterprises and businesses – in how to remunerate employees fairly and in such a way as to move the institution forward. It is my hope that Board members will offer, and the Administration will seek, advice on how we might develop a University-wide policy on salary increments and more effectively distribute the salary resources we have.”

Other Business – Statement by Davy Jones Given by Roy Moore

Professor Moore thanked the Board for the opportunity to read the statement on behalf of Davy Jones. Professor Jones very much wanted to be at the meeting; however, he had a real conflict because he needed to attend the annual conference of his professional association in which he is meeting with reviewers for his future grant funding. Ultimately, his future depends upon the meeting elsewhere. Dr. Moore read the following statement on behalf of Dr. Jones:

“First and foremost, I want to thank all the trustees for the fantastic, enriching experience that I have had as a member of this Board. The environment during a previous UK administration caused many faculty to (mis)perceive the Board to be mysterious, distant, and irrelevant to solving issues to which the faculty grapple. A clear message that I will take back to the faculty ranks is how genuinely interested is every Board member to learn of the obstacles which the faculty must navigate, and to ascertain what the Board can do within its purview to remove these obstacles. I also want to thank the Board as a whole for entertaining my exuberant enthusiasm for our faculty, and Alice Sparks specifically for her patient indulgence of my too-many tugs on her sleeve, and Russ Williams for many helpful tips, introducing me to Ale-8.

”I sense that with the important encouragement of President Todd, the Board during the last several years has been earnestly finding its way of how to be an engaged, deliberative, and transparent policy-making body, while at the same time allowing the President’s administration and the faculty academic bodies the latitude to effectively perform what they are hired to do. While many hurdles loom large for the President and faculty at this time, there are several areas for which I sense the Board is reaching to find its better way. One is the Board’s circumspection on an effective strategy for evaluating its own performance, which is testimony before the University community on how sincere the Board is in finding how to make its best contributions to our institution’s success. “Another new area, which is much to the Board’s credit, and our President’s credit, is the Board’s ongoing effort to make an effective activity with the President, in a university-inclusive process, of evaluating the President’s performance toward stated goals.

”There is another area that I would mention in which the Board is positioned to make a positive difference, as we all labor to find the University’s safe path through this troubled moment. The University’s future is vested in the successful partnership of shared governance, wherein the Faculty of the Senate, colleges, and departments, and the able administration of President Todd, each respect and utilize the expertise of the other, as each makes University decisions in their respective areas of policy-making responsibility. The Board is positioned more strongly than any to promote a University ambience in which both the faculty and administrative partners wholly embrace the reciprocity of this governance framework. In the near future, this Board will have the opportunity to reaffirm its expression of this expectation in its policies of the Governing Regulations.

”As a final note, the Board of course celebrates the recognition that accrues to the University as faculty members become lauded by the scientific community for their individual creative endeavors. The Board also values those faculty who offer themselves intramurally, often unseen, to fashioning

programs and initiatives that are larger than themselves. Incalculable good will from the faculty, available for harness, will spring from the Board's effective urging upon the administration that it find ways to meaningfully reward both of these formats of faculty contribution, because both are valued and necessary to reach the highest achievements to which we, the University, aspire."

Other Business - Resolutions

Mr. Reed said that there were three Resolutions to be read on behalf of three very outstanding, perhaps even historic, Board members in the life of the University of Kentucky. The first one pertains to Elissa Plattner and will be read by JoEtta Wickliffe.

Ms. Wickliffe said it was a privilege and an honor for her to read the Resolution for a lady that she not only respects but also treasures her friendship. During the presidential search for the current president, Elissa was her personal chauffeur as they traveled the Commonwealth seeking comments from Kentucky's citizens regarding the type of leader that they wanted to lead the University of Kentucky. Her love for the citizens of Kentucky has been demonstrated by her dedication to the University. She has kept the Board on tract numerous times as they have struggled with difficult decisions and has always remained the perfect lady. She said, "Lissy, we will miss you, and we ask that you continue to keep us on your radar screen."

RESOLUTION

WHEREAS, Elissa Plattner, Ed.D., of Camp Springs, Kentucky, a teacher, journalist, and historian, has served as a member of the University of Kentucky Board of Trustees since 1992, and

WHEREAS, during her lengthy service on the board, Dr. Plattner has faithfully served on many committees of the Board of Trustees, including her current membership on the Investment, Academic Affairs, and Student Affairs committees, and

WHEREAS, this Board has benefited immeasurably from Dr. Plattner's superb communications skills, which she has patiently and resolutely brought to bear on many sensitive issues confronted by this Board,

NOW, THEREFORE, BE IT RESOLVED that the University of Kentucky Board of Trustees conveys its heartfelt gratitude to Dr. Elissa Plattner for her responsible and attentive service and extends its best wishes to her as she continues her public service on the boards of many civic organizations.

Ms. Plattner spoke next and said that one of the prophets once said, "You live in interesting times." Have we ever lived in interesting times! It has been so valuable to be some part of all of it. She thinks of the new initiatives, the shared governance, the march toward Top 20 status, and the fairness for all who work at the University and for all who come here.

Ms. Plattner said that her father was a Trustee at the University of Kentucky. He resigned his appointment in 1942 and joined the United States Army. His fellow platoon leader was a young Kentuckian named John Young Brown, Sr.

Ms. Plattner said that she was the next member of the family to come to UK and told of her experiences as a student. Her words were concluded with a round of applause from her fellow Board members.

Mr. Reed asked Mr. Wilcoxson to read the resolution for Dr. Robert Meriwether.

Mr. Wilcoxson said that he appreciated the opportunity to come forward with this resolution. He said that he had known Bob for many years. They have sat on numerous boards together. It is an honor having someone with his knowledge to help at the University Hospital. He told Dr. Meriwether that he wished him the best and read the following resolution honoring Robert P. Meriwether, M.D.

RESOLUTION

WHEREAS, Robert P. Meriwether, M.D., a graduate of the University of Kentucky, whose medical degree is from Tulane University Medical Center in New Orleans, Louisiana, has served on the University of Kentucky Board of Trustees since 1990, and

WHEREAS, Dr. Meriwether has also been the longtime chair of the University of Kentucky Hospital of the Albert B. Chandler Medical Center Board of Directors and is a member of the UK Development Council and has been a UK Fellow since 1984, and

WHEREAS, Dr. Meriwether has served on many of the UK Board of Trustees committees and currently is a member of the Executive Committee and the Finance Committee,

NOW, THEREFORE, BE IT RESOLVED that the University of Kentucky Board of Trustees extends its utmost thanks to Dr. Robert P. Meriwether for not only his double, and in some instances triple, duty of service to the University of Kentucky but also for his longtime attention to the medical needs of the people of the Commonwealth of Kentucky, particularly the citizens of Western Kentucky.

Dr. Meriwether replied to the resolution saying that he has been on the Board for 14 years, and he thinks the Board has some enormous challenges in front of them. While he would like for this to be a time of just frivolity and gaiety, he thinks there are some things that the Board needs to be aware of, and Dr. Todd has already alluded to those. Governor Paul Patton, Billy Joe Miles, and some other folks, along with President Todd, had a real vision back in 1998-99 of ways to help augment the funding of the University. All of those men saw that there would be decreased state resources, and there will continue to be decreased state resources. They embarked upon a program of economic development in partnering with private enterprise. He encouraged them to continue to do that in the future, and if possible, do it on a more rapid and expeditious way because private enterprise moves in a more rapid and expeditious way than the University does.

He said, in his opinion, the University's relations with Frankfort need to be improved. It is all well and good to complain about decreased funding, but we live in one of the poorest states in the nation. Frankfort has no money to give the University, so it makes very little sense to whine and carry on about state allocations. Governor Patton, President Todd, and Billy Joe Miles, in particular, saw the need to augment those funds coming down the pike, and they set in place, at least, an idea to do that.

Dr. Meriwether said that the Athletics Department needs to be looked at very hard. UK has been an eyesore in the SEC for a long time (on probation and yet another problem this year), and he does not believe in sweeping things under the rug. Some overhaul of that needs to be had. He said that he is not sure whether it needs to be on a commissioner's level, presidential level, or where it needs to be done, but the whole conference is in a mess. It is not just UK. It is one of the worst conferences around as far as playing by the rules.

Dr. Meriwether said that the other thing that he has a problem with is capital expenditures and design. There are some wonderful buildings on campus. Unfortunately, some of them have been designed two and three times. Some of campus has been built with the field-of-dreams attitude. He said that he does not believe in building large structures and then finishing them off on the inside as people come in. He thinks that you have to have a game plan for what is going to be in the building. He also thinks that clinical research, while it is not as glamorous perhaps as some of the basic science things that have been talked about earlier, has a place in the University setting and needs to be recognized as research. There is a neuroscience research building – research on clinical outcomes and things of that nature apply.

He talked about when he was a medical student at UK and mentioned the names of Frank Spencer as chairman and thoracic surgeon, Earl Peacock as plastic surgeon, and Charlie Wilson in neurosurgery. He said that these names probably do not mean a lot to the Board because they have all gone on to great heights as far as medicine is concerned. He said that he agreed with Michael Kennedy that we need to have a fair and balanced program as far as the rank and file people on the staff, and that includes the medical staff. But, he also agrees with Mr. John Hall who presented his case here several years ago that the University needs some stars - maybe prima donnas that are people who are hard to put up with and are pains in the rear end. They are what attract the young and the most active residents that will make the program grow.

Dr. Meriwether said that he thinks there needs to be better planning in the building of medical structures. He pointed out that the heart building has a two-story out-patient surgery center, and he does not know of another two-story out-patient surgery center in the nation. It is beautiful and has six thousand square feet in the operating room. That is really not what is needed to take off bunions. The loss of the younger and very vital people who have contributed so much and are going to have long careers, and the attrition has been great. The University is spending extra money to try to build up these departments, but once again, there is going to have to be some partnerships between individual departments and sections of the Medical School, and with both the pharmaceutical companies and the instrument companies in order to create a proprietary program that can share revenue.

Dr. Meriwether said that another thing is the faculty royalty situation as far as the Medical School is concerned. The design needs to be changed to the point that the faculty has a greater portion to take home with them. That is another way to help augment faculty salaries and income. He mentioned a neurosurgeon at Memphis and said that the surgeon would not come to UK because the royalties he received from Medtronic in Memphis are more than he makes doing neurosurgery. He helps design instruments for that company. The University needs a practice plan that is fair to all sections in the Medical Center. The practice plan that the University has now is a hodge-podge put together over the years. It is not President Todd's fault. It is not anyone on the Board's fault. It is something that needs to be revamped, and it needs to be revamped with the input of the faculty of the Medical School. They are one of the biggest sources of revenue. Patients do not come to hospitals – they come to doctors. And they will go where doctors send them.

Dr. Meriwether said another thing is Board information. He said that he has the impression that there is some reticence to provide the Board with in-depth information, and there is some laziness on the Board's part in looking into it. President Todd cannot be at all places at all times. Those Board members who have special interests as he has in medicine need to follow along with what is going on in their particular area of interest, and they need to ride herd on that. That is what the Board is there for -- not for a free lunch, not for a trip out to Spindletop, or anything else. He said that he had tried to do that at the Medical Center, and he told the Board that he has some grave reservations about where the Medical Center

stands right now. The University received two action letters from the Southern Association of Colleges and Schools (SACS) that put the entire University at risk of probation. This is not something that the Board members ought to be sitting around and glossing over. This is something the Board ought to be going into in detail. It is not President Todd's fault. These things came on long before him. But nevertheless, they have got to be addressed.

Dr. Meriwether said that his objection to losing the Lexington Community College (LCC) was not the fact that the University was losing LCC or that the University was making the change or anything of the kind. It was the fact that it was basically dropped on the Board at the last minute when it was a two-year exercise that the faculty, President Todd, and others had gone through to try to come to that conclusion. It may very well have been the right conclusion, but he cannot make decisions like that or understand it either – on only a one-month notice.

Dr. Meriwether talked about the scheduling of meetings and what an arduous task it is for the Board members who live 300 miles away from Lexington. He said that he had been fortunate in being able to fly, but it costs money to fly to Lexington. When two or three meetings are scheduled in two or three days, that clearly puts the person 300 miles away in a position to not be able to attend the meetings.

He thinks the vision that started three or four years ago was good, and it is can still be implemented. He encouraged President Todd and everybody else to work with the Governor in a constructive way to try to bring that to fruition. While the small companies may help a little, the larger corporations have the big research dollars to spend, and if that means exclusive contracts, so be it.

Dr. Meriwether said that he is concerned about the Legal Office. The University almost lost the tobacco research money, and in his opinion, that is not acceptable. Lawyers are meant to find ways to do things, not to find ways why you cannot do things. Paul Van Booven – when he was here – was out there to try to find ways to do things. That cannot be said for the current situation.

Dr. Meriwether said that he wanted to thank a few people that have meant a lot to him. All the presidents that he worked with from President Otis Singletary through President Todd are all fine men. They all have their strengths and weaknesses, but they are all good men and have the interests of the University at heart. He said he also wanted to thank some people that the University made a mistake in losing – Tony Goetz and Jim Holsinger who educated him a lot in how to deal with the University. He thanked Mr. Hardymon. He said the two of them are as different as daylight and dark, but Mr. Hardymon has given him an insight into the corporate world that he would never have had before and an understanding of how corporate boards work. He thanked Mr. Miles and commented about the time when they butted heads “pretty good.” He said that he had come to respect Mr. Miles greatly for what his leadership. Mr. Miles is a real asset to the state and the Board. He hopes that Mr. Miles does not continue to look at the big city because he knows they would like to look at him. He would like to see him stay with the University of Kentucky.

Dr. Meriwether commented about Peggy Way and Marian Sims and said they mean a great deal to him. Peggy Way is somebody that the Board simply cannot do without. She is a lady of the first magnitude. She is a lady that has helped in everything at a time when her mother was sick and all other problems were at hand. He said the conscience of the Board is Marian Sims. Marian represents the best that everyone wishes they had. He said that he also had enjoyed serving with Frank Shoop, who has been a friend. He said Mr. Wilcoxson is the only guy left that was on the Board when he was initially appointed.

Mr. Wilcoxson had taught him what he was able to absorb and is probably one of the smartest men he ever met. He thanked everyone for the honor of being a Board member.

Mr. Reed said the last resolution is for a historical Board member, Marian Sims, and asked Marianne Smith Edge to read the resolution.

Ms. Smith Edge said that it is truly an honor and a privilege to read a resolution to a great lady and to a fellow Trustee that she and Myra Tobin have been great friends with and known for many years. She said that Marian Moore Sims probably truly does have a heart of blue and probably is the greatest lover of UK and all its activities. She said that she is probably the best known Trustee among all the students. She read the following resolution:

RESOLUTION

WHEREAS, Marian Moore Sims of Lexington, who holds both bachelor's and master's degrees from the University of Kentucky, has represented the alumni of the University of Kentucky on the UK Board of Trustees in her current term since 1998 and served a previous term on the board beginning in 1996, and

WHEREAS, Ms. Sims has served on many other UK-affiliated boards including the University of Kentucky Hospital of the Albert B. Chandler Medical Center, the UK National Alumni Association, Spindletop Hall, and many other UK organizations, and

WHEREAS, her unbounded love of and enthusiasm for every person, college, department and event associated with the University of Kentucky provides an uplifting spirit of encouragement whenever and wherever she is present,

NOW, THEREFORE, BE IT RESOLVED that the University of Kentucky Board of Trustees extends its warmest affection and thanks to Marian Moore Sims and her family for allowing us to share her valuable and precious time.

Ms. Sims said that Mr. Hardyman recently reminded her of a cute little story about buffalo: It is always helpful to focus on what is right and what is good. Because the Board is the choir, it sees what is wrong. We do much right at UK, and we do so much good for Kentucky that we ought to focus on that. The same University that changed generations of my family changed me in 1972. And it is still shaping my life today. My head is filled with stories, decisions, and milestones since 1991 when the Governor told a tear-filled teacher she could serve on this Board.

This is the most exciting time for this great University. One might see irreversible bleakness in a future with budgetary constraints, pitiful state support, and legions of doubters for how great the institution can be. Let me assure you that a plan for greatness is in place, and the people are here to put that plan into effect.

Ms. Sims said that she did not care how much money the University has or how much money it has to get. Nothing should be allowed to stop this great University from preparing all students and from having the brightest future possible to be able to improve their quality of life and create a dream for them so that they can succeed far beyond their lives. Nothing must stop this wonderful faculty and staff, and these shining students from doing research that finds cures for the Kentucky "uglies" and from creating amazing discoveries that will help our state and our world. And nothing must stop UK from serving this

Commonwealth with information, with technology, and with health care. And nothing will. As a fifth-generation UK graduate, this University was great in its time, has been great, has served many, has changed thousands of people's lives for good, and it is still doing it today. And it will do an even better job tomorrow. She said that she is convinced that there is no place greater than the University of Kentucky and expressed appreciation for being allowed to serve the University.

Dr. Meriwether said that he wanted to thank one more person that he forgot to thank, and that person is Frank Butler. Frank has worked with him at the Medical Center and the Hospital for 10 years. There is no better administrator or vice president around. He is a guy that has really kept the CHA and the Hospital on track, and the University is very fortunate to have him. He is honest and straightforward as a man can be. He has listened to his complaints for 10 years, and that ought to earn him his angel wings. He said that he appreciated Frank very much.

President Todd called attention to a CD given to the Board members. It is a CD that Wendy Baldwin and her team put together about the University's research profile. He encouraged the Board members to review the CD.

X. Meeting adjourned

With no further business to come before the Board, Mr. Reed adjourned the meeting at 3:35 p.m.

Respectfully submitted,

Russ Williams
Secretary, Board of Trustees

(PR 2, 3, 4, 5, and 6; AACR 1 and 2; and FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15 and 16 which follow are official parts of the Minutes of the meeting.)

PR 1

**Office of the President
June 22, 2004**

1. UK College of Medicine Departments Score in NIH Top 20

Several UK College of Medicine basic science departments rank among the nation's top 20 in National Institutes of Health funding to public medical schools for fiscal year 2003. Overall, the UK College of Medicine received \$59,363,927 and ranks 35 th in NIH funding to public medical schools. Because NIH is the primary agency for funding biomedical research, the amount of NIH support can serve as a valuable indicator of the national standing of universities and institutions engaged in research focused on urgent medical priorities. UK College of Medicine basic science departments and their rankings include: Physiology at No. 8; Molecular and Biomedical Pharmacology at No. 10; Anatomy and Neurobiology at No. 14; Microbiology, Immunology and Molecular Genetics at No. 18; and Molecular and Cellular Biochemistry at No. 20. UK's aging grants, reported in the "other health professions" category, are ranked at No. 3. UK clinical departments in the top 20 include Surgery at No. 16, and Preventive Medicine and Environmental Health at No. 17. The Department of Internal Medicine holds the greatest number of NIH grants (27) and at \$9,789,431 has the highest departmental NIH-funding total .

2. McConnell, UK President Announce Funding for Paducah Plant Cleanup

U.S. Senator Mitch McConnell (R-Ky.) has secured \$5 million in federal funds for research on environmental assessment and cleanup at the Paducah Gaseous Diffusion Plant. The research will be performed by the Kentucky Research Consortium for Energy and Research, a collaborative research program of UK, Murray State University, and the University of Louisville. The consortium is directed by Lindell Ormsbee, professor of civil engineering, UK College of Engineering. The need for the research grows from findings in 1988 that a substance, Tc99, had entered groundwater wells northwest of the Paducah Gaseous Diffusion Plant. Cleanup efforts have been under way since then, and the plant has been listed on the federal Environmental Protection Agency's Superfund national priorities list since 1994. UK President Lee T. Todd Jr. noted that Sen. McConnell has secured more than \$500 million for the Paducah Gaseous Diffusion Plant cleanup over the last four years.

3. UK Partners in Statewide Initiative to Promote Kentucky's Biotechnology Industry

President Todd and Executive Vice President for Research Wendy Baldwin led a UK delegation to the BIO 2004 Annual International Convention June 6-9 to promote the university's research and the UK Coldstream Research Campus. At least 59 countries were represented among the 17,000+ attendees. The Biotechnology Industry Organization (BIO) represents more than 1,000 biotechnology companies, academic institutions, state biotechnology centers, and related organizations. BIO members are involved in research and development of products in health care, agriculture, industry, and environmental biotechnology.

4. UK GCRC Receives More than \$1.5 Million in Funding in its 20 th Year

The UK General Clinical Research Center has received renewed funding of \$ 1,755,056 from the National Institutes of Health for the 20 th consecutive year. Part of this funding will be used to support a combined medical and dental clinical research program, one of the first of its kind in the nation. Ten students, eight from the College of Medicine and two from the College of Dentistry, have been chosen for the Mentored Medical/Dental Student Clinical Research Program, administered by the UK GCRC through the National Institutes of Health National Center for Research Resources. The program fosters collaborative interest and expertise in clinical research in medical and dental faculty and students and seeks to encourage students to pursue careers in academics and to establish sound clinical research practices.

5. Markey Cancer Center Offers Genetic Counseling to Patients and Families

Health care professionals at the UK Markey Cancer Center announced this month that genetic counseling for cancer patients and their families is now available in Central Kentucky. The UK Markey Cancer Center Clinical Genetic Counseling Program currently provides genetic counseling services for families with multiple cases of cancer. Genetic counseling provides individuals who may be predisposed to cancer the opportunity to review clinical management options for themselves and at-risk family members. A specially trained genetic counselor, in conjunction with a medical oncologist, will provide a personalized assessment of hereditary cancer risk and discuss cancer detection and risk reduction options. Genetic testing is offered when appropriate.

6. Research Partnership Will Benefit Poultry Industry

A new research partnership between the UK College of Agriculture and Alltech will further advancements in environmental nutrition and management for poultry producers in Kentucky and around the world. The Alltech/UK Nutrition Research Alliance located at the UK Coldstream Research Campus is a new

concept in university-industry research partnerships. Funded by Alltech, a Kentucky-based multinational biotechnology company, the collaborative alliance will enable UK and Alltech scientists to work together in a shared facility, studying innovative ways to decrease ammonia production in poultry houses, decrease nitrogen content of litter, reduce phosphorous excretion through the use of enzyme phytase, and evaluate dietary changes for poultry that could have wide-ranging benefits. The alliance will improve the opportunity for scientists to share ideas, increase the College of Agriculture's ability to educate graduate students, and enhance opportunities for collaborative exchanges with scientists from different countries. Poultry is a rapidly expanding \$680 million industry in Kentucky, second only to horses among the state's top agricultural enterprises. Nearly 7,000 people are employed in the state's poultry industry, with a total payroll of \$200 million.

7. UK Inducts 29 Eighth-graders Into Robinson Scholars Program

Twenty-nine middle school students from 29 Eastern Kentucky counties have been inducted into the UK Robinson Scholars Program. Now in its eighth year, the Robinson Scholars Program provides full college tuition, room and board, and books during the college careers of students who otherwise would be financially unable to pursue a degree. The students must maintain an acceptable grade point average and graduate from high school to receive their scholarships. Each scholarship carries a present-day value of more than \$10,000. The program is open to eighth graders from 29 Eastern Kentucky counties. Selection is based on each student's academic potential, essays and interviews. Since the program began in 1997, 480 students have been inducted as Robinson Scholars.

8. UK Professors Receive Fulbright Awards for Overseas Postings

Matthew Gabel, Political Science, and Martin B. Tracy, Social Work, have received Fulbright Senior Specialists grants. Gabel, who has a joint appointment in UK's Martin School of Public Policy and Administration, was named Fulbright Senior Specialist to Bulgaria and recently fulfilled his mission by presenting lectures in the cities of Sofia, the Bulgarian capital, and Varna. His topics related to the development of the European Union, including the draft constitution currently under consideration. Bulgaria is slated to join the European Union in 2007. Tracy has been named the Fulbright Senior Specialist to Romania at the University of Bucharest. His work will focus on expanding good practices in social service delivery throughout Romania and south-eastern Europe. He will present lectures, participate in or lead seminars at overseas academic institutions, conduct needs assessments, surveys, institutional or programmatic research, consult with faculty, develop and/or assess academic curricula, and conduct teacher-training activities. The Fulbright Senior Specialists Program offers two-to-six-week grants to leading American scholars and professionals.

9. UK Center for Research on Violence Against Women Benefits from Event

President Todd and the law firms of Greenebaum Doll & McDonald, Stites & Harbison, and Stoll Keenon & Park hosted Kentucky's former governors and other dignitaries at a benefit dinner for the UK Center for Research on Violence Against Women in mid-June. The university bestowed legacy awards on the former governors in order to highlight the role of the Commonwealth's chief executive in improving safety, health, mental health, education, and equality for women. Those who received awards from Todd included Sen. Wendell Ford, Gov. Julian M. Carroll, Gov. John Y. Brown Jr., Gov. Martha Layne Collins, and Gov. Paul E. Patton. The late Gov. Louie B. Nunn was represented by his son, state Rep. Steve Nunn, and Gov. Brereton C. Jones was represented by former First Lady Libby Jones.

10. Roy Moore, Russ Williams Win Election to UK Board of Trustees

Roy L. Moore, professor of journalism and associate dean of the College of Communications and Information Studies, has been elected as one of the two faculty representatives on the UK Board of Trustees. Moore will take the seat held by Davy Jones, whose term expires June 30. Michael Kennedy, the other faculty trustee, will remain on the Board until June 30, 2005. Russ Williams, a senior trainer for human resource development, won re-election to his third term as the staff representative on the UK Board of Trustees.

11. Children's Miracle Network Benefits UK Children's Hospital

The annual Children's Miracle Network Celebration raised funds this past weekend to benefit the UK Children's Hospital. The two-day event was broadcast live from Applebee's Park in Lexington on WKYT-TV, Channel 27 (Lexington), and WYMT-TV, Channel 57 (Hazard). Co-hosts were Barbara Bailey and Bill Bryant of WKYT-TV. Celebrating a year of giving, donations totaled \$1,256,810. All money raised in Kentucky stays in Kentucky to benefit the UK Children's Hospital. During the broadcast, which is in its 17 th year, the program featured and celebrated the triumphs of UK Children's Hospital's young patients and the health issues they are facing. The program not only recognized the children as champions, but also the many people who champion children, such as families, health professionals, individuals, volunteers and donors.

12. College of Education Welcomes Future Educators of America

Thirty high school juniors and seniors interested in being professional educators received hands-on experience during a residential camp sponsored by the UK College of Education last week. Activities include mock teaching classes, an introduction to educational technology, and practical advice about earning teacher certification. The program ended with an address from Patti Rowland, the 2004 Kentucky Educator of the Year.

13. Lexington Community College Transition Team Meets

A transition team guiding the transfer of governing responsibility for Lexington Community College from UK to the Kentucky Community and Technical College System (KCTCS) held its first meeting May 19. The transition team includes three members appointed by President Todd, three members named by LCC President James Kerley, and three members appointed by KCTCS President Michael B. McCall. Team members are Keith Bird, KCTCS chancellor; Beverly Haverstock, KCTCS general counsel; Ken Walker, KCTCS vice president; Sandy Carey, LCC dean of academic affairs; Jim Kerley, LCC president; Tri Roberts, LCC associate dean for academics and off-campus education; Barbara Jones, acting university counsel at UK; Mike Nietzel, UK provost; and Dick Siemer, UK executive vice president for finance and administration. At its first meeting, the transition team heard progress reports regarding specific transitional tasks in such areas as information technology, budget, human resources, facilities, accounting and payroll, student affairs, public relations, housing, campus services, and faculty. A public signing of the Memorandum of Agreement between UK, KCTCS and LCC, outlining the terms of turning over LCC to KCTCS will be held June 30.

14. 'Problem-solving' Youth from Around the World Converge on UK for Conference

A gathering of 2,000 creative problem solving students in grades 4-12 from Australia, Canada, Korea, New Zealand and the United States formed a global village on campus during the annual international conference of the Future Problem Solving Program (FPSP) organization. The conference showcased talented and creative students who use future problem solving tools to address real-life challenges in their own communities and to focus on global problems we will face in the future.

15. New Condominiums Eligible for 'Live Where You Work' Program

City Courts, a new development of 54 residential condominiums to be built on South Martin Luther King Boulevard, falls within the "Live Where You Work" Employer Assisted Housing area for UK employees. City Courts was designed by Boulevard Centro, a Charlotte, N.C., development company that focuses exclusively on urban housing solutions. This is the type of project that was envisioned during the College-Town planning process. Prices for the condominiums will start at \$94,500.

16. Gatton College Holds Seventh Annual UK Sports Marketing Academy

The UK Gatton College of Business and Economics, in conjunction with the UK Athletics Department and Host Communications Inc., hosted the seventh annual UK Sports Marketing Academy in mid-May. The academy is the first professional certification program of its kind in the field of sports marketing. Sponsored by

Kentucky Utilities and Southern Belle Dairy, the certification program is a rigorous academic experience taught in a university-classroom setting by leading marketing faculty and sports marketing practitioners. Speakers from well-known companies such as Disney, Nike, NASCAR and Nielsen headlined this year's academy.

17. College of Education, State Education Department Hold Teacher Leadership Conference

At the Teacher Leadership Conference held at UK in May, Kentucky Education Commissioner Gene Wilhoit was one of three keynote speakers who challenged policy-makers, practitioners, and deans from several state universities to expand the leadership roles of teachers. Co-sponsored by the Kentucky Department of Education and the UK College of Education, the conference hosted representatives from 22 state agencies, universities, school districts, professional associations, and non-profit entities. Presentations by Mark Simon, director of the Center for Teacher Leadership at Johns Hopkins University, and Jo Blase, co-director of the Alliance for Teaching, Leadership, and School Improvement at the University of Georgia, were on the agenda.

18. 'WaysMeet' Program Offers Integrated Medicine to Eastern Kentucky Cancer Patients

Eastern Kentucky cancer patients, families and caregivers now have access to free integrative medicine services. WaysMeet, a Berea-based group of health practitioners, teachers and workshop facilitators, will provide these services on behalf of the UK Markey Cancer Center as part of the Supportive Oncology Services Integrative Medicine Program. Services will be provided at the WaysMeet facility at 122 Main St. in Berea. A generous grant of \$90,500 over three years from the Steele-Reese Foundation will fund these services for residents of 53 Eastern Kentucky counties.

19. Health Outreach Grant Announced for Rural Kentucky

The UK Center for Rural Health, through its Kentucky State Office of Rural Health, provided technical support for the newly formed Kentucky River Health Network's successful pursuit of a \$200,000 federal outreach grant to foster greater coordination of services and more partnerships in delivering health care in rural Kentucky. The grant will allow Marcum & Wallace Memorial Hospital in Irvine to build a network with health care providers, including Kentucky River District Public Health Department, Hazard; Lee County Constant Care, a long-term nursing and assisted-living facility in Beattyville; and the Lee County Emergency Medical Services. Lee County Fiscal Court and Lee County Area Technology Center, a vocational technology high school, represent non-health entities in the network partnership. The center also assisted a successful grant applications from Murray State University for \$187,150 to partner with the Purchase Area Health Education Center and Western Kentucky Children's

Coalition in an effort to strengthen dental services for children in Kentucky's Delta Region.

20. College of Education Enters Cooperative Agreement with Finnish University

Lars Bjork, an associate professor in the College of Education's Department of Administration and Supervision, concluded an agreement of cooperation with Finland's foremost research university, the University of Jyväskylä. This agreement paves the way for faculty, staff and graduate student exchanges, joint research activities, and other shared academic resources. Bjork and Jukka Alava, director of the Institute for Educational Leadership in Finland, finalized the agreement in a special ceremony in early June.

21. UK Sanders-Brown Center Holds 21 st Annual Summer Series on Aging

The UK Sanders-Brown Center on Aging hosted the 21st annual Summer Series on Aging in June. The forum allowed professionals to share the most up-to-date, practical and innovative information on aging from multiple perspectives. AARP CEO Bill Novelli was the keynote speaker, presenting on marketing social change.

22. UK Asia Center Holds Workshop for Teachers on Kentucky-Asia Connections

The UK Asia Center held a workshop for high school and middle school humanities and social studies teachers on Kentucky-Asia Connections earlier this month at the Kentucky History Center in Frankfort. Workshop participants learned about the history and cultures of East Asia and about Asian immigrants to the United States. The workshop focused on the connections between Kentucky and East Asia from the early 19th century through today and gave teachers materials that will help them explore this history with their students.

23. CAER Receives Awards from Kentucky Science Engineering Foundation

The UK Center for Applied Energy Research has been awarded three grants from the Kentucky Science Engineering Foundation totaling \$430,000 of which CAER will cost-share about 51 percent. The awards go to Mark Crocker, B.K. Parekh, Tom Robl, and Kamyar Mahboub. The projects concern NOx control catalysis, acid mine drainage reduction, and super pozzolan concrete and are in the categories of Exploratory Advanced Research and Emerging Technologies.

24. History Professor's Film Explores Life Story of Harold Dennis

Daniel Blake Smith, professor and chair of History, College of Arts and Sciences, recently announced the pre-production of "The Phoenix," a dramatic film feature

that explores the true story of Harold Dennis. A production of Phoenix Films, Inc., the film authentically portrays Dennis' dramatic journey toward wholeness and self-esteem after surviving a 1988 bus crash near Carrollton, Ky., in which a drunk driver slammed into a bus full of adults and children, killing 27 of the 67 persons on board. Dennis, a former UK football player, will serve as an executive producer along with Smith, the screenwriter. Smith's previous screenwriting credits include "February One," a civil rights documentary that will air next February on PBS, and "Black Indians: An American Story," a film narrated by James Earl Jones that was broadcast nationally last year on commercial networks. For more information, go to http://www.uky.edu/PR/News/040520_phoenix_film.htm.

25. UK Libraries Host International Symposium on Electronic Theses and Dissertations

UK Libraries hosted the seventh annual International Symposium on Electronic Theses and Dissertations earlier this month. The conference brought together the world's leading authorities on the use of information technology to produce and make accessible the research performed by university graduate and doctoral students around the world. Among the symposium's presenters were Richard Jones of the University of Edinburgh, Scotland; Ana M.B. Pavani of Pontificia Universidade Catolica Do Rio De Janeiro, Brazil; and Yang Zhao and Airong Jiang of Tsinghua University, China.

26. National Public Radio's Bob Edwards to Make Lexington Appearances

Former "Morning Edition" host Bob Edwards will make a number of Lexington appearances Monday, June 28, to promote his new book "Edward R. Murrow and the Birth of Broadcast Journalism." His trip to Lexington is sponsored by UK's WUKY (FM 91.3). Edwards will be the only guest on a WUKY call-in show scheduled at 3 p.m. June 28. The show will be hosted by former WUKY general manager Roger Chesser. At 5 p.m., WUKY will host a reception for Edwards at Portofino's Restaurant in downtown Lexington. The event is open to the public. At 7 p.m., Edwards will appear during the taping of two "Woodsongs Old Time Radio Hour" shows at the Kentucky Theatre. The first hour will feature Bernie Leadon, a founding member of The Eagles, and banjo icon John McKuen of the Nitty Gritty Dirt Band. Edwards will read excerpts from his book and take audience questions during the second program. Tickets to the reception and Woodsongs taping are priced at \$30 and may be purchased by calling WUKY at 257-3221 during weekday business hours or online at www.wuky.org. The package features premium seating at the Kentucky Theatre. Tickets to just the Woodsongs taping sessions are priced at \$5 and are available at the Kentucky Theatre box office. All seats are general admission.

27. UK 's Odyssey Magazine Features Research on Alzheimer's Disease

For more than 30 years, UK researchers have worked to answer critical questions about Alzheimer's disease. The Spring 2004 issue of Odyssey features current projects that explore vitamin E and selenium, incipient Alzheimer's, enzymes A-beta and proteasome, DNA microarray technology, and memantine — the first FDA-approved medication to treat Alzheimer's. Odyssey, published through the Office of the Executive Vice President for Research, is available in print and online at www.rgs.uky.edu/odyssey.

28. College of Education Faculty Establish C. Michael Nelson Graduate Fellowship

In recognition of C. Michael Nelson's decades of service to the Department of Special Education and Rehabilitation Counseling, College of Education faculty members have established a graduate fellowship in his name. Nelson, who retired from the UK faculty this year, is a nationally recognized scholar in behavior disorders in children. The Nelson Fellowship is established to provide research support to master's and doctoral students in the Department of Special Education.

29. Student Awards and Achievements

- UK has selected five students to serve as ambassadors for the university during the 2004-2005 school year. They are Michelle L. Bishop from Scottsville, Ky.; Allison E. Leet from St. Louis, Mo.; Will Nash from Glasgow, Ky.; Lee F. Wilson from Eddyville, Ky.; and Jennifer L. Yue from Frankfort, Ky. The UK Ambassador program is composed of five undergraduate students who are selected through a rigorous interview process. These students serve as official public relations liaisons for the University of Kentucky. The ambassadors travel throughout the state with various UK departments, speaking to students, parents, alumni and friends of the university on a variety of topics including admissions and the transition from high school to college.
- Two members of the UK Women's Rugby Football Club have been selected to play on the under-19 USA National Ruby Team. Bethany Zick and Tiffany McCoy were chosen for the final 28-person USA under-19 Eagles Girls National Team. Both will be sophomores at UK this fall.
- Mark Hunter, a May 2004 graduate with a bachelor's degree in music performance, will be a featured percussionist soloist in the international tour of the musical theater production of "BLAST!" While on Broadway, "BLAST!" was the winner of a 2001 Tony Award for Best Theatrical Event and received a 2001 Emmy Award for Best Choreography. Hunter joined the cast in rehearsals in June and then departs for a two-month tour of Japan.

- Susannah Vance, Law, won the Dorothy Schramm World Affairs Student Writing Competition with her paper, "The Permissibility of Religious Incitement Statutes Under ECHR Principles." The paper is published in the journal *Transnational Law & Contemporary Problems* at the University of Iowa College of Law.
- A student team of Integrated Strategic Communication majors in the School of Journalism and Telecommunications won the American Advertising Foundation district-level competition with a campaign featuring a new Florida tourism slogan, "Vacation Like you Mean It." The UK team will compete in the National Competition in Dallas, Texas, in June. About 3,000 students participate in the competition each year and only 16 schools are selected to present their campaigns. This is the second consecutive year that a UK team has won the District 5 competition. Rick Roth, associate professor of journalism and telecommunications, directed the student ISC team.

30. Faculty and Staff Awards and Achievements

- Eric Anderman, Educational and Counseling Psychology, is the new associate dean of research and graduate studies at the College of Education. He succeeds Rob Shapiro, who stepped down after more than 10 years of service.
- Stanley Brunn, Geography, co-authored two articles published in the spring 2004 edition of *Great Plains Research*. The articles are titled "Mapping Chances in Denominational Membership in the Great Plains, 1952-2000" and "New Perspectives on the Changing Religious Diversity in the Great Plains."
- Yvonne Cappe, Journalism, participated in a Broadcast Education Association panel on "Producing Producers" at the group's annual conference in April. She also participated in a Radio Television News Directors Association panel on "The Transition: From the Newsroom to the Classroom" at their annual conference in Las Vegas in April.
- Mary J. Davis, Law, had an article, titled "On Preemption, Congressional Intent and Conflict of Laws," accepted for publication by the *University of Pittsburgh Law Review*.
- Lynn English, Physical Therapy, recently became one of 120 American Physical Therapy Association Credentialed Clinical Trainers, by successfully completing the Train-the-Trainer Course in Alexandria, Va. This will enable her to conduct the association's Clinical Instructor Credentialing Course for physical therapists and other health professionals who are interested in becoming proficient clinical instructors.
- Mike Farrell, Journalism, taught two seminars at the Kentucky High School Journalism Association State Convention in Louisville on April 21.

- Fran Feltner, UK Center for Rural Health's award-winning Lay Health Workers Programs, has received the university's 2004 A. Paul Nestor Creativity Award. The A. Paul Nestor Creativity Award recognizes UK employees who demonstrate outstanding initiative and creativity in helping the university fulfill its mission.
- Philip A. Greasley, University Extension and English, has been elected president-elect of the Association for Continuing Higher Education. He will officially receive the title of president-elect at the international association's annual meeting in Newport, R.I., in November.
- Charles H. Griffith III, Medicine and Pediatrics, has been appointed by U.S. Department of Health and Human Services Secretary Tommy G. Thompson to the advisory committee on training in primary care medicine and dentistry. Griffith was among seven new members appointed to serve until September 2006. The 23-member committee advises the HHS secretary and Congress on a wide range of policy and program issues in family medicine, internal medicine, pediatrics, dentistry and physician assistant programs.
- Michael Healy, Law, led a discussion of "The Rule of Law and the American Legal System" with graduate students of the Hainan University School of Law, Haikou, China, on April 6. He also delivered a lecture, titled "Sustainable Development in International and American Environmental Law," at the Hainan University School of Law on April 5. Earlier that day, he gave a presentation on "American Law Schools and the American Legal System" to graduate students and faculty of the Hainan University School of Law. He also visited the University of Hong Kong, where he delivered the inaugural Hong Kong University-Fulbright Law Lecture on the subject of "The Principle of Sustainable Development in the Environmental Law and Policy of the United States." He spoke on the same topic at the University of Macau, and at Zhongshan University and the South China Agricultural University in Guangzhou, China. He also traveled to Yangon, Myanmar (Burma) at the invitation of the United States Embassy. While in Myanmar, Healy spoke on the subject of "The Roles of the Citizen in Protecting the Environment in the United States." He gave the talk at the Myanmar Institute of Theology and at the Embassy's American Center. He also attended meetings with several groups interested in the issues of sustainable development and deforestation in Myanmar.
- Michael "Chris" Herren, Oral Health Practice and team leader of the UK Undergraduate Clinic, will participate in the 2004-2005 American Dental Education Association Leadership Institute, a yearlong faculty development program for dental and allied dental education program faculty and administrators.
- Richard Labunski, Journalism, has written a book on James Madison and the Bill of Rights as part of Oxford University Press' "Pivotal Moments in American History" series. The book will be published by Oxford's trade books division.

- Melanie D. Otis, Social Work, had an abstract, "The State of Unions: Same Sex Marriage and the Fuzzy Line Between Church and State," accepted for presentation at the University of South Carolina College of Social Work Policy Conference in Charleston, S.C., on Aug. 7-10.
- Barbara A. Pinkston, UK Hospital Accounting, has signed a recording label contract with Barrow Music Group and recently recorded her first solo project, a gospel album titled "Oh Lord Thou Art My Praise."
- Lori Ringhand, Law, presented her paper "Defining Democracy: The Supreme Court's Campaign Finance Dilemma" at the Soci-Legal Studies Association's annual conference in Glasgow in April. The same paper was accepted for publication in the Hastings Law Review.
- Scoobie Ryan, Journalism, as part of UK's commitment to Kentucky High School journalism, is organizing a residential workshop June 24-30 for high school journalism advisers and their best students. Participants will work in the school's state-of-the art computer labs as well as in the newsroom of the award-winning Kentucky Kernel.
- D. Sudharshan, Gatton College of Business and Economics dean, has won the Emerald Literati Awards' Outstanding Paper accolade for best article published in European Journal of Innovation Management for his submission: A hierarchical framework of new products development: an example from biotechnology.
- Mark Wahlgren Summers, History, has published "Party Games: Getting, Keeping and Using Power in Gilded Age Politics" (University of North Carolina Press, Chapel Hill, N.C.)
- Lee T. Todd Jr., Administration, was the commencement speaker in mid-May at the exercises for the Executive MBA Program at Zayed University in Dubai. The UK Gatton College of Business and Economics is one of three American universities that partner with Zayed University to present the program.
- Craig Wood and Ashley Griffin, Agricultural Communications, and Robert Coleman and Kristen Janicki, Animal Sciences, accepted the Barrier Buster Award for Innovation in Distance Education from the American Distance Education Consortium on behalf of Southern Region Extension Horse Specialists for the Horsequest.Info multi-state equine knowledge base online resource.
- Kentucky Pharmacists Association (KPhA) honored three UK College of Pharmacy faculty members with awards during the 2004 Joint Convention of Pharmacists in Louisville on May 22. John Armitstead received the KPhA Professional Promotion Award for his work in advancing pharmacy education and service throughout the Commonwealth. The 2004 KPhA Innovative Pharmacy Practice Award was presented to Holly Divine and Amy Nicholas.

31. Faculty Research Activities

- James Anderson, Internal Medicine, \$5,000, A Randomized, Triple-Blind, Placebo-Controlled, Multicenter Study to Investigate the Safety and Tolerability of Progressive Dose-Escalation of Pramlintide in Insulin-Treated.
- Warren Anderson, Kentucky Geological Survey, \$8,000, Collect Stream Sediment and Soil Samples in Kentucky.
- Michael Anrykowski, Behavioral Science, \$248,668, Research Training in Medical Behavioral Science.
- Michael Anstead, Pediatrics, Clinical Trial, Long Term Safety and Efficacy of Azithromycin in CF: Observational Study of AZ0001 Participants.
- Cherry Ballard Croft, Cardiothoracic Surgery, \$60,500, Role of Mitogen-Activated Protein Kinases in Ischemia/Reperfusion.
- Andrew Bernard, Surgery, Clinical Trial, A Phase III Randomized, Controlled, Open-Label, Multicenter, Parallel Group Study Using Provisions for Exception from Informed Consent Requirements Designed to Evaluate the Safety and Efficacy of Poly SFH-P Injection.
- Suketu Bhavsar, Physics and Astronomy, \$15,000, Planning a Remotely Operated Astronomical Observatory in Australia.
- Lowell Bush, AES/Agronomy, \$20,395, Shifting Costs and Benefits of Systemic Endophyte Interactions with native Grass Hosts.
- Cynthia Cole, Behavioral Science, \$8,000, Evaluation of Smoking Cessation and Prevention for Appalachians (SCAPA) Project.
- Crystal Collins-Camargo, Social Work, \$70,000, Court Improvement Project (Re-assessment Project).
- Garland Crawford, Molecular and Cellular Biochemistry, \$18,000, Fellowship/Crawford: Beta-N-Acetylglucosamine Modified Proteins Regulation Platelet Function.
- Richard Crosby, Kentucky School of Public Health, \$771, HIV Prevention for Youth with Severe Mental Illness.
- Richard Crosby, Kentucky School of Public Health, \$11,898, Georgia Division of Public Health Behavioral Surveillance.
- Richard Crosby, Kentucky School of Public Health, \$147,300, Promoting HIV Risk Reduction in African-American Men.
- Willem DeVilliers, Internal Medicine, Clinical Trial, A Phase 2b, Multicenter, Randomized, Double-Blind, Placebo-Controlled, Parallel Group, Dose-Ranging Study of YM443 in Subjects with Functional Dyspepsia.
- Willem DeVilliers, Internal Medicine, Clinical Trial, A Phase II, Randomized, Double-Blind, Placebo-Controlled, Multi-Center Study of Intravenous Daclizumab in Patients with Steroid-Dependent Ulcerative Colitis.
- Patricia Dillon, AES/Entomology, \$11,250, Cooperative Agricultural Pest Survey.

- Rebecca Dutch, RCTF-Biochemistry, \$3,000, Role of Tyrosine Residues in the Cytoplasmic Tail of Paramyxovirus Fusion Proteins.
- Paul Eakin, Mathematics, \$114,000, AMSP - CF2SciPartic (Science Component, Participant Costs).
- Paul Eakin, Mathematics, \$40,656, AMSP - CF2Mat (Mathematics Component, Non-Participant Costs).
- Richard Fording, Business and Economics Poverty Center, \$19,110, Race and Discretion in the Implementation of Welfare Reform: An Analysis of Local Variation in TANF Sanctioning Policies.
- Mary Garcia-Cazarin, Molecular and Biomedical Pharmacology, \$18,000, Fellowship: Garcia-Cazarin: Study of the Trafficking and Regulatory Properties of the Alpha1D-Adrenoceptor.
- Jennifer Grisham-Brown, Special Education and Rehabilitation Counseling, \$250,000, Kids Now Evaluation Project.
- Thomas Guskey, Education, \$12,000, Small Learning Community Implementation Evaluation.
- Rodney Guttman, Sanders-Brown Center on Aging, \$204,310, Novel Calpain Inhibitors Based on Phage Display.
- Jimmy Henning, Cooperative Extension Administration, \$92,000 from the Kentucky Department of Environmental Protection for coordination and implementation of projects of the Salt River Basin watershed management program.
- Matthew Hiller, Center on Drug and Alcohol Research, \$80,682, Jefferson County Family Drug Court Evaluation, Year 2.
- Richard Jeffries, Anthropology, \$15,000, Participating Agreement Between USDA Forest Service, Monongahela National Forest and UKRF.
- Melissa Kelly, Molecular and Cellular Biochemistry, \$18,000, Fellowship/Kelly-Senatar: Talin-1 and Talin-2 in Muscle Differentiation.
- Amanda Knoebber, RCTF-Biochemistry, \$18,000, Fellowship: Knoebber: Mutational Analysis of Conserved Regions in Paramyxovirus Fusion Proteins.
- Steve Lipka, Center for Applied Energy Research, \$191,993, Combinatorial Chemistry in Power Sources Development.
- Hanna Mawad, Internal Medicine, Clinical Trial, A Long Term, Open Label Extension Study of Protocols LAM-IV-301, LAM-IV-303, LAM-IV-307 and LAM-IV-308.
- Kim McBride, Kentucky Archaeological Survey, \$5,000, A Proposal to Continue the Frontier Forts Project 2004.
- Richard McCann, RCTF-Biochemistry, \$3,000, Talin-1 and Talin-2 Dysregulation and Muscle Differentiation.
- Robert Miller, Agronomy, \$1.02 million grant renewal from Philip Morris for support of the tobacco breeding program.
- Carole Moncman, RCTF-Biochemistry, \$3,000, Identification and Characterization of Nebulette.
- William Murphy, OISTL/Engineering Distance Learning, \$15,000, Radioactive Background Quantities in Commercial Nickel Metal.

- Nicholar Nickl, Internal Medicine, Clinical Trial, A 6-week, Randomized, Double-Blind, Placebo-Controlled, Multicenter Study, to Assess the Efficacy and Tegaserod (6mgBID) & Placebo in Female Patients with Dyspepsia.
- Cara Pager, Molecular and Cellular Biochemistry, \$18,000, Fellowship: Pager: Identification and Characterization of the Protease Responsible for Cleavage of the Hendra Virus Fusion Protein.
- Adrian Park, Surgery, \$72,517, Minimally Invasive Surgery Training: An Electronic Book.
- Norman Pedigo Jr., Teaching and Academic Support Center, \$194,000, Collaborative Approaches to Increase Aid Workforce Development and Academic Program Capacity.
- Peter Perry, Mathematics, \$136,500, Inverse Problems in Geometry and Partial Differential Equations.
- Mark Prendergast, Psychology, \$34,796, Cholinesterase Inhibitors, Axonal Transport and Memory.
- Mark Schneider, Kentucky Injury Prevention and Research Center (KSPH), \$5,000, UK Chemical Terrorism Preparedness.
- Rebecca Scotland, Molecular and Biomedical Pharmacology, \$18,000, Fellowship: Scotland: Role of Rlf in Regulating Cardiac Function.
- Audra Stinchcomb, RCTF-Clinical Pharmaceutical Science, \$39,000, Transdermal Delivery of Nomicotine.
- Pamela Teaster, Gerontology, \$250,283, The Sexual Abuse of Vulnerable Adults in Institutions.
- William Thom, CES/Agronomy, \$15,470, Watershed Education Professional Educators and Youth.
- Phillip Tibbs, Neurosurgery, Clinical Trial, An Open Label, Randomized, Parallel Group Study to Confirm the Safety and Efficacy of PROCRT Administered Perioperatively vs. the SAC in Blood Conservation in Subjects Undergoing Major.
- Patrick Trader, Program for Archaeological Research NPS, \$9,711, Archaeological Survey and Testing for Bimodal Hike/Bike Trail.
- Thomas Tucker, Kentucky Community Cancer Program, \$12,793, Diet, Methylenetrahydrofolate Reductase Genomic DNA Methylation, and Five Most Promising Novel Candidate Genes in Carcinogenesis of Colon Neoplasia.
- James Walker, Center on Drug and Alcohol Research, \$10,000, Reclaiming Futures Evaluation Project.
- Hong-Bo Zhao, Otolaryngology Surgery, \$49,990, Effect of Salicylate on Outer Hair Cell Piezoelectricity for Tinnitus.
- The UK College of Dentistry has received a \$24,000 grant from the Honorable Order of Kentucky Colonels to assist pursuit of its mission to gain the benefits of oral health for all Kentuckians.

PR 2

Office of the President
June 22, 2004

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate Provost/Executive Vice President through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

**PRESIDENT AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION**

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENT

Vice President for Planning, Budget, and Policy

Swinford, William, Policy Analysis Director, Office of Planning, Budget and Policy Analysis, effective 6/1/04.

Office of the Treasurer

Harris, Williams L., Director of Purchasing, Office of the Treasurer, effective 6/23/04.

Kogut, Leonard V., Jr., Senior Associate Controller, Office of the Treasurer, effective 6/1/04.

B. RETIREMENTS

Vice President for Development

Bailey, Rex L., Director, Development Administration and Campaign Services, Development Office, after 25 years and 9 months of consecutive service, under AR II-1.6-1 Section III.B, effective 7/30/04.

Associate Vice President for Facilities

Williams, Lionel, E., Grounds Worker III, Grounds Services, Physical Plant Division, after 15 years of consecutive service, and 15 years and 6 months of total service, under AR II-1.6-1 Section III.B, effective 8/27/04.

C. EARLY RETIREMENTS

Associate Vice President Facilities

Buchanan, Mary E., Custodial Worker Supervisor II, Custodial Services, Physical Plant Division, after 32 years and 9 months of consecutive service, and 33 years and 4 months of total service, under AR II-1.6-1 Section III.A.2, effective 5/31/04.

Gorham, Earl, Building Operator II, Building Operations, Physical Plant Division, after 32 years, 8 months of consecutive service, and 33 years and 9 months of total service, under AR II-1.6-1 Section III.A.2, effective 7/30/04.

Linton, William A., Custodial Worker I, Custodial Services, Physical Plant Division, after 18 years and 1 month of consecutive service, and 18 years and 7 months of total service, effective 6/30/04.

II. ADMINISTRATIVE ACTION

A. PROMOTIONS

Executive Vice President for Finance and Administration

Wilson, Kimberly P., from Acting Director, Human Resources to Associate Vice President of Human Resources, effective 6/1/04.

Wiseman, Robert, from Associate Vice President for Facilities to Vice President for Facilities Management, effective 7/1/04.

Athletics Director

Clark, Scott M., from Facilities Specialist, Athletics Facilities and Operations Department to Athletics Facilities Director, Athletics – Nutter Fieldhouse, effective 5/1/04.

Vice President for Development

Farah, Kathy, from Director of Annual Giving to Director of Development Administration, Office of Development, effective 7/1/04.

Pope, Paula, from Director of UK Fellows Society and Donor Relations to Director of Campaign Services and Donor Relations, Office of Development, effective 7/1/04.

Associate Vice President for Facilities

Riedl, Richard, from Project Manager II, Capital Project Management to Senior Project Manager III, Capital Project Management, effective 6/1/04.

Williams, Robert, from Project Manager II, Capital Project Management to Senior Project Manager III, Capital Project Management, effective 6/1/04.

B. CHANGES

Vice President for Information Technology

Cerise, Tracy, from Senior Systems Programmer, CNS, to Lead Systems Programmer, CNS, effective 6/1/04.

Lauria, Leonard, from Senior Systems Programmer, IT/Systems Program University Computing to Lead Systems Programmer, IT Systems Program University Computing, effective 6/1/04.

Soward, John P., Jr., from Program Systems Lead, Information Technology/Academic Technology to Information Technology Manager III, Information Technology/Academic Technology, effective 6/1/04.

Walker, John A., from Senior Systems Programmer, CNS, to Lead Systems Programmer, CNS, effective 6/1/04.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Al-Sabbagh, Mohanad, College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/04 through 6/30/05.
- Ballard, Hubert O., College of Medicine, Assistant Professor*, Pediatrics, 7/1/04 through 6/30/05.
- Bennett, Jeffrey S., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/12/04 through 6/30/05.
- Bruemmer, Dennis C., College of Medicine, Assistant Professor, Internal Medicine, 5/10/04 through 6/30/04.
- Campbell, Kenneth S., College of Medicine, Assistant Professor, Physiology, 7/1/04 through 6/30/05.
- Carlyon, Jason A., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 8/1/04 through 6/30/05.
- Cheung, Senching Samson, College of Engineering, Assistant Professor, Electrical and Computer Engineering, 7/15/04 through 6/30/06.
- Cooper, Amy L., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/04 through 6/30/05.
- Effgen, Susan K., College of Health Sciences, Professor (with tenure), Rehabilitation Sciences, appointed to the Joseph Hamburg Professorship in Rehabilitation Sciences, 3/7/04 through 6/30/05.
- Esser, Karyn A., College of Medicine, Associate Professor (with tenure), Physiology, effective 5/17/04.
- Garrett, Jenne E., College of Medicine, Clinical Instructor, Surgery, 7/1/04 through 6/30/05.
- Gibson, James L., College of Design, Instructor (temporary), Architecture, appointed to the AIA Kentucky Endowed Professorship, 7/1/03 through 6/30/05.
- Graf, Gregory A., College of Pharmacy, Assistant Professor, 6/1/04 through 6/30/04.
- Guo, Zhenheng, College of Medicine, Assistant Research Professor, Physiology, 7/1/04 through 6/30/05.
- Hansen, Wendy F., College of Medicine, Associate Professor* (with tenure), Obstetrics and Gynecology, effective 4/19/04.
- Holt, Shannon L., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 9/1/04 through 6/30/05.
- Hoyle, Rick H., College of Arts and Sciences, Adjunct Professor, Psychology, 1/1/04 through 6/30/06.
- Humphrey, Wendy, College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/04 through 12/31/04.
- Huneycutt, Benjamin S., College of Medicine, Clinical Assistant Professor, Family Practice and Community Medicine, 7/1/04 through 6/30/05.

*Special Title series

Kahwash, Samir, College of Medicine, Associate Professor (voluntary), Pathology and Laboratory Medicine, 5/1/04 through 6/30/06.

Kiessling, Stefan G., College of Medicine, Assistant Professor*, Pediatrics, 7/1/04 through 6/30/05.

Lile, Joshua A., College of Medicine, Assistant Research Professor, Behavioral Science, 6/1/04 through 6/30/04.

Liu, De, College of Business and Economics, Instructor, Management, 8/15/04 through 6/30/05.

Liu, Mark, College of Business and Economics, Assistant Professor, Management, 8/16/04 through 6/30/05.

Lomenick, Jefferson P., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/21/04 through 6/30/05.

Mahboub, Kamyar C., College of Engineering, Associate Professor (with tenure), Civil Engineering, appointed to the Lawson Professorship in Civil Engineering, 7/1/04 through 6/30/07.

McCarthy, John J., College of Medicine, Assistant Research Professor, Physiology, 9/1/04 through 6/30/05.

Moliterno, David J., College of Medicine, Professor (with tenure), Internal Medicine, appointed to the Jeff Gill Professorship in Cardiology, 12/1/03 through 6/30/08.

Newell, Christopher S., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.

Norris, Christopher M., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/04 through 6/30/05.

Parekh, Bhupendra K., College of Engineering, Adjunct Professor, Mining Engineering, 7/1/04 through 6/30/07.

Perman, Jay A., College of Medicine, Professor (with tenure), Pediatrics, joint appointment as Professor (without tenure), Clinical Sciences, College of Health Sciences, appointed to the Charles T. Wethington, Jr. Chair in the Health Sciences, 4/1/04 through 3/31/05.

Pierce, Heather H., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.

Plous, Oren Z., College of Medicine, Instructor (part-time), Ophthalmology and Visual Sciences, 7/7/04 through 6/30/05.

Pushkarskaya, Helen N., College of Agriculture, Assistant Professor, Agricultural Economics, 4/15/04 through 6/30/04.

Rager, Kristin M., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/04 through 6/30/05.

Ritchie, Rosalind, College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/04 through 6/30/05.

Rotondi, Michael, College of Design, reappointed to the Brown-Forman Visiting Chair in Urban Design, 7/1/03 through 6/30/04.

Salles, Sara S., College of Medicine, Assistant Professor*, Physical Medicine and Rehabilitation, 7/1/04 through 6/30/05.

Sargent, Charles G., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.

*Special Title series

Stone, Daria N., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/04 through 6/30/05.

Swartz, Karin R., College of Medicine, Assistant Professor*, Surgery, 8/1/04 through 6/30/05.

Talwar, Reena M., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/04 through 6/30/05.

Tanney, Joseph D., College of Design, Professor (visiting), Architecture, appointed to the Affordable Housing Design Research Fund, 7/1/03 through 12/31/03, and to the Sue Fan Gooding Visiting Design Critic, 1/1/04 through 6/30/04.

Walmsley, Lee A., College of Nursing, Lecturer, 6/1/04 through 6/30/04.

Wendroth, Ole O., College of Agriculture, Associate Professor (temporary), Agronomy, 7/1/04 through 6/30/05.

Winchester, Kimberly S., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/04 through 6/30/05.

Yao, Emery, College of Business and Economics, Instructor, Management, 8/16/04 through 6/30/05.

Yepes, Juan F., College of Dentistry, Assistant Professor, Oral Health Practice, 7/1/04 through 6/30/05.

B. ADMINISTRATIVE APPOINTMENTS

Carey, Richard, College of Arts and Sciences, Professor (with tenure), Mathematics, appointed Chair, Mathematics, 7/1/04 through 6/30/08.

Hersh, Louis B., College of Medicine, Professor (with tenure), Molecular and Cellular Biochemistry, appointed Chair, Department of Molecular and Cellular Biochemistry, 7/1/04 through 6/30/07.

Noland, Melody, College of Education, Professor (with tenure), Kinesiology and Health Promotion, appointed Chair, Kinesiology and Health Promotion, 7/1/04 through 6/30/08.

Slaton, Deborah, College of Education, Professor (with tenure), Special Education and Rehabilitation Counseling, and Acting Chair, Special Education and Rehabilitation Counseling, appointed Chair, Special Education and Rehabilitation Counseling, 7/1/04 through 6/30/07.

Stevens, Truman, College of Education, Associate Professor (with tenure), Curriculum and Instruction, and Acting Chair, Curriculum and Instruction, appointed Chair, Curriculum and Instruction, 7/1/04 through 6/30/07.

Wright, Lynda Brown, College of Education, Associate Professor (with tenure), Educational and Counseling Psychology, appointed Chair, Educational and Counseling Psychology, 7/1/04 through 6/30/08.

*Special Title series

C. JOINT APPOINTMENTS

- Ahmed, Mansoor M., College of Medicine, Associate Professor (with tenure), Radiation Medicine, joint appointment as Assistant Professor, Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Bondada, Subbarao, College of Medicine, Professor (with tenure), Microbiology, Immunology and Molecular Genetics, joint appointment as Professor (without tenure), Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Burmeister, Larry L., College of Agriculture, Associate Professor (with tenure), Community and Leadership Development, joint appointment as Associate Professor (without tenure), Sociology, College of Arts and Sciences, effective 1/1/04.
- Chow, Ching K., Graduate School, Professor (with tenure), Graduate Center for Nutritional Sciences, joint appointment as Professor (without tenure), Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Crooks, Peter A., College of Pharmacy, Professor (with tenure), joint appointment as Professor (without tenure), Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Dwoskin, Linda P., College of Pharmacy, Professor (with tenure), joint appointments as Professor (without tenure), Behavioral Science, College of Medicine, effective 2/1/03 and Professor (without tenure), Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Dyk, Patricia H., College of Agriculture, Associate Professor (with tenure), Community and Leadership Development, joint appointment as Associate Professor (without tenure), Sociology, College of Arts and Sciences, effective 1/1/04.
- Garkovich, Lorraine E., College of Agriculture, Professor (with tenure), Community and Leadership Development, joint appointment as Professor (without tenure), Sociology, College of Arts and Sciences, effective 1/1/04.
- Glauert, Howard, Graduate School, Professor (with tenure), Graduate Center for Nutritional Sciences, joint appointment as Professor (without tenure), Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Greider, Thomas R., College of Agriculture, Associate Professor (with tenure), Community and Leadership Development, joint appointment as Associate Professor (without tenure), Sociology, College of Arts and Sciences, effective 1/1/04.
- Hansen, Gary L., College of Agriculture, Extension Professor (with tenure), Community and Leadership Development, joint appointment as Extension Professor (without tenure), Sociology, College of Arts and Sciences, effective 1/1/04.

- Harris, Rosalind P., College of Agriculture, Associate Professor (with tenure), Community and Leadership Development, joint appointment as Associate Professor (without tenure), Sociology, College of Arts and Sciences, effective 1/1/04.
- Hustedde, Ronald J., College of Agriculture, Extension Professor (with tenure), Community and Leadership Development, joint appointment as Extension Professor (without tenure), Sociology, College of Arts and Sciences, effective 1/1/04.
- Jennings, C. D., College of Medicine, Professor (with tenure), Pathology and Laboratory Medicine, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Kasarskis, Edward J., College of Medicine, Professor (with tenure), Neurology, joint appointment as Professor (without tenure), Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Kielar, Richard A., College of Medicine, Professor (part-time), Ophthalmology and Visual Sciences, joint appointment as Professor (part-time), Pathology and Laboratory Medicine, 7/1/04 through 6/30/05.
- Kyprianou, Natasha, College of Medicine, Professor (with tenure), Surgery, joint appointment as Professor (without tenure), Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Lynn, Bert, College of Arts and Sciences, Associate Professor (with tenure), Chemistry, joint appointment as Associate Professor (without tenure), Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Maurer, Richard C., College of Agriculture, Extension Professor (with tenure), Community and Leadership Development, joint appointment as Extension Professor (without tenure), Sociology, College of Arts and Sciences, effective 1/1/04.
- McGillis, Joseph P., College of Medicine, Associate Professor (with tenure), Microbiology, Immunology and Molecular Genetics, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Moscow, Jeffrey A., College of Medicine, Associate Professor (with tenure), Pediatrics, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Omar, Hatim A., College of Medicine, Associate Professor* (with tenure), Pediatrics, joint appointment as Professor* (without tenure), Obstetrics and Gynecology, effective 7/1/04.
- Pettigrew, Luther C., College of Medicine, Professor (with tenure), Neurology, joint appointment as Professor (without tenure), Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Shedlofsky, Steven I., College of Medicine, Professor (with tenure), Internal Medicine, joint appointment as Professor (without tenure), Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.

*Special Title series

- Warner, Paul D., College of Agriculture, Extension Professor (with tenure), Community and Leadership Development, joint appointment as Extension Professor (without tenure), Sociology, College of Arts and Sciences, effective 1/1/04.
- Wedlund, Peter J., College of Pharmacy, Associate Professor (with tenure), joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Yates, Steven, College of Arts and Sciences, Professor (with tenure), Chemistry, joint appointment as Professor (without tenure), Physics and Astronomy, effective 7/1/04.
- Zimmer, Stephen G., College of Medicine, Associate Professor (with tenure), Microbiology, Immunology and Molecular Genetics, joint appointment as Professor (without tenure), Graduate Center for Toxicology, Graduate School, 7/1/04 through 6/30/09.
- Zimmerman, Julie N., College of Agriculture, Associate Extension Professor (with tenure), Community and Leadership Development, joint appointment as Associate Extension Professor (without tenure), Sociology, College of Arts and Sciences, effective 1/1/04.

D. REAPPOINTMENTS

- Allen, William C., College of Medicine, Associate Professor (part-time), Anesthesiology, 7/1/04 through 6/30/05.
- Andersen, Anders H., College of Medicine, Associate Research Professor, Anatomy and Neurobiology, 7/1/04 through 6/30/05.
- Bagby, Ihsan, College of Arts and Sciences, Associate Professor (without tenure), Modern and Classical Languages, Literatures and Cultures, 7/1/04 through 6/30/06.
- Bard, James W., College of Medicine, Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.
- Barton, John R., College of Medicine, Associate Professor (part-time), Obstetrics and Gynecology, 7/1/04 through 6/30/05.
- Branch, Leslie B., College of Medicine, Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.
- Brown, Randy A., College of Medicine, Associate Professor* (without tenure), Internal Medicine, 7/1/04 through 6/30/05.
- Bruce, Margaret C., College of Medicine, Associate Professor (part-time), Pediatrics, joint appointment as Associate Professor (part-time), Physiology, 7/1/04 through 6/30/05.
- Carey, Karen, College of Education, Professor (visiting), Educational Policy Studies and Evaluation, 7/1/04 through 6/30/05.
- Chasen, Craig A., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Chen, Kuey C., College of Medicine, Associate Research Professor, Molecular and Biomedical Pharmacology, 7/1/04 through 6/30/05.

*Special Title series

- Collins, Robert W., College of Medicine, Clinical Associate Professor, Internal Medicine, joint appointment as Clinical Associate Professor, Preventive Medicine and Environmental Health, 7/1/04 through 6/30/05.
- Conklin, John D., College of Medicine, Clinical Associate Professor, Ophthalmology and Visual Sciences, 7/1/04 through 6/30/05.
- Davis, Robert T., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Faugere, Marie-Claude, College of Medicine, Research Professor, Internal Medicine, joint appointment as Research Professor, Pathology and Laboratory Medicine, 7/1/04 through 6/30/05.
- Gairola, Chandra G., Graduate School, Research Professor, Graduate Center for Toxicology, 7/1/04 through 6/30/05.
- Getchell, Marilyn L., College of Medicine, Research Professor, Anatomy and Neurobiology, 7/1/04 through 6/30/05.
- Gibson, Jacqueline S., College of Medicine, Clinical Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Goulson, Daniel T., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/04 through 6/30/05.
- Hager, W. D., College of Medicine, Professor (part-time), Obstetrics and Gynecology, 7/1/04 through 6/30/05.
- Harper, Mary L., College of Pharmacy, Associate Adjunct Professor, 7/1/04 through 6/30/05.
- Herzig, Geoffrey P., College of Medicine, Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.
- Herzig, Roger H., College of Medicine, Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.
- Karpf, Michael, College of Medicine, Clinical Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Konkel, R. Steven, College of Public Health, Associate Professor (part-time), Health Services Management, 7/1/04 through 6/30/05.
- Kwolek, Deborah G., College of Medicine, Associate Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.
- Leavell, Ullin W., College of Medicine, Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.
- Littleton, John M., College of Medicine, Professor (part-time), Molecular and Biomedical Pharmacology, 7/1/04 through 6/30/05.
- Martin, Julia E., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/04 through 6/30/05.
- Mayer, Lloyd D., College of Medicine, Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.
- Mazzoleni, Alberto, College of Medicine, Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.
- McCormick, Malkanthie I., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/04 through 6/30/05.
- McDaniel, William E., College of Medicine, Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.

- McKeown, John W., College of Medicine, Clinical Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Meek, Robin R., College of Medicine, Associate Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.
- Mier, Richard J., College of Medicine, Adjunct Professor, Pediatrics, 7/1/04 through 6/30/05.
- Miller, Beth A., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Miller, Ralph E., College of Medicine, Clinical Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Milligan, Douglas A., College of Medicine, Associate Professor (part-time), Obstetrics and Gynecology, 7/1/04 through 6/30/05.
- Moreno, Pedro R., College of Medicine, Associate Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.
- Mullett, Timothy W., College of Medicine, Clinical Associate Professor, Surgery, 7/1/04 through 6/30/05.
- Murphy, John T., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/04 through 6/30/05.
- Nath, Avindra, College of Medicine, Adjunct Professor, Neurology, 7/1/04 through 6/30/05.
- O'Brien, John M., College of Medicine, Associate Professor (part-time), Obstetrics and Gynecology, 7/1/04 through 6/30/05.
- Passik, Steven D., College of Medicine, Associate Professor (without tenure), Internal Medicine, joint appointments as Associate Professor (without tenure), Behavioral Science and Associate Professor (without tenure), Psychiatry, 7/1/04 through 6/30/05.
- Phillips, Gordon L., College of Medicine, Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.
- Pittman, Anne M., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Rayens, Mary K., College of Nursing, Associate Research Professor, joint appointments as Associate Research Professor, Kentucky School of Public Health, College of Medicine and Associate Research Professor, Pediatrics, College of Medicine, 7/1/04 through 6/30/05.
- Rice, Carol H., College of Public Health, Professor (part-time), Epidemiology, 7/1/04 through 6/30/05.
- Riley, Kathryn P., College of Public Health, Associate Professor (part-time), Preventive Medicine and Environmental Health, joint appointment as Associate Professor (part-time), Family Practice and Community Medicine, College of Medicine, 7/1/04 through 6/30/05.
- Shahidain, Abunasar M., College of Engineering, Associate Professor (part-time), Electrical and Computer Engineering, 7/1/04 through 6/30/05.
- Sikora, Frank J., College of Agriculture, Associate Adjunct Professor, Agronomy, 7/1/04 through 6/30/05.
- Thorn, Deborah B., College of Pharmacy, Associate Adjunct Professor, 7/1/04 through 6/30/05.

Toney, Dale E., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/04 through 6/30/05.

Ufomata, Dickson P., College of Dentistry, Associate Professor (part-time), Oral Health Practice, 7/1/04 through 6/30/05.

Waid, Thomas H., College of Medicine, Clinical Professor, Internal Medicine, 7/1/04 through 6/30/05.

Whayne, Thomas F., College of Medicine, Clinical Professor, Internal Medicine, 7/1/04 through 6/30/05.

Williams, John P., College of Medicine, Associate Professor (without tenure), Internal Medicine, joint appointment as Associate Professor (without tenure), Physiology, 7/1/04 through 6/30/05.

Winter, Trevor A., College of Medicine, Associate Professor* (without tenure), Internal Medicine, 7/1/04 through 6/30/05.

Xie, Gong Y., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/04 through 6/30/05.

Zhang, Zhiming, College of Medicine, Associate Research Professor, Anatomy and Neurobiology, 7/1/04 through 6/30/05.

E. PROMOTIONS

Akers, Wendell S., College of Pharmacy, from Assistant Professor*, to Associate Professor* (with tenure), effective 7/1/04.

Ambati, Jayakrishna, College of Medicine, from Assistant Professor, Ophthalmology and Visual Sciences, to Associate Professor (with tenure), Ophthalmology and Visual Sciences, effective 7/1/04.

Baker, Doris J., College of Health Sciences, from Associate Professor* (with tenure), Clinical Sciences, to Professor* (with tenure), Clinical Sciences, effective 3/1/04.

Blum, Virginia, College of Arts and Sciences, from Associate Professor (with tenure), English, to Professor (with tenure), English, effective 7/1/04.

Capece, Vincent R., College of Engineering, from Assistant Professor*, Mechanical Engineering, to Associate Professor* (with tenure), Mechanical Engineering, effective 7/1/04.

Chassen-Lopez, Francie, College of Arts and Sciences, from Associate Professor (with tenure), History, to Professor (with tenure), History, effective 7/1/04.

Chen, Zhi, College of Engineering, from Assistant Professor, Electrical and Computer Engineering, to Associate Professor (with tenure), Electrical and Computer Engineering, effective 7/1/04.

Fields, Nelson E., College of Fine Arts, from Assistant Professor, Theatre, to Associate Professor (with tenure), Theatre, effective 7/1/04.

Glixon, Jonathan E., College of Fine Arts, from Associate Professor (with tenure), Music, to Professor (with tenure), Music, effective 7/1/04.

Gonzalez, Lori S., College of Health Sciences, from Associate Professor (with tenure), Rehabilitation Sciences, to Professor (with tenure), Rehabilitation Sciences, effective 7/1/04.

*Special Title series

- Gould, Douglas J., College of Medicine, from Assistant Professor*, Anatomy and Neurobiology, to Associate Professor* (with tenure), Anatomy and Neurobiology, effective 7/1/04.
- Grossman, Robert, College of Arts and Sciences, from Associate Professor (with tenure), Chemistry, to Professor (with tenure), Chemistry, effective 7/1/04.
- Hanna, Nader N., College of Medicine, from Assistant Professor*, Surgery, to Associate Professor* (with tenure), Surgery, effective 7/1/04.
- Hobusch, Harald, College of Arts and Sciences, from Assistant Professor, Modern and Classical Languages, Literatures and Cultures, to Associate Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, effective 7/1/04.
- Hosey, Robert G., College of Medicine, from Assistant Professor*, Family Practice and Community Medicine, joint appointment as Assistant Professor*, Surgery, to Associate Professor* (with tenure), Family Practice and Community Medicine, joint appointment as Associate Professor* (without tenure), Surgery, effective 7/1/04.
- Jaynes, Christopher, College of Engineering, from Assistant Professor, Computer Science, to Associate Professor (with tenure), Computer Science, effective 7/1/04.
- Jensen, Jane, College of Education, from Assistant Professor, Educational Policy Studies and Evaluation, to Associate Professor (with tenure), Educational Policy Studies and Evaluation, effective 7/1/04.
- Korsch, Wolfgang, College of Arts and Sciences, from Assistant Professor, Physics and Astronomy, to Associate Professor (with tenure), Physics and Astronomy, effective 7/1/04.
- Kraemer, Elizabeth G., Libraries, from Librarian III, to Librarian II (with tenure), effective 7/1/04.
- Lodder, Robert A., College of Pharmacy, from Associate Professor (with tenure), joint appointment as Assistant Professor, Chemistry, College of Arts and Sciences, to Professor (with tenure), joint appointment as Associate Professor (without tenure), Chemistry, College of Arts and Sciences, effective 2/1/04.
- Lovell, Mark, College of Arts and Sciences, from Assistant Professor, Chemistry, to Associate Professor (with tenure), Chemistry, effective 7/1/04.
- Miller, Penny, College of Arts and Sciences, from Associate Professor* (with tenure), Political Science, to Professor* (with tenure), Political Science, effective 7/1/04.
- Monteith, Margo, College of Arts and Sciences, from Associate Professor (with tenure), Psychology, to Professor (with tenure), Psychology, effective 7/1/04.
- Murthy, Ganpathy, College of Arts and Sciences, from Associate Professor (with tenure), Physics and Astronomy, to Professor (with tenure), Physics and Astronomy, effective 7/1/04.

*Special Title series

- Natter, Wolfgang, College of Arts and Sciences, from Associate Professor (with tenure), Geography, to Professor (with tenure), Geography, effective 7/1/04.
- Nikolova-Karakashian, Mariana, College of Medicine, from Assistant Professor, Physiology, to Associate Professor (with tenure), Physiology, effective 7/1/04.
- Noonan, Daniel J., College of Medicine, from Associate Professor (with tenure), Molecular and Cellular Biochemistry, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, Graduate School, to Professor (with tenure), Molecular and Cellular Biochemistry, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, Graduate School, effective 7/1/04.
- Omar, Hatim A., College of Medicine, from Associate Professor* (with tenure), Pediatrics, to Professor* (with tenure), Pediatrics, effective 7/1/04.
- Perry, Valerie E., Libraries, from Librarian III, to Librarian II (with tenure), effective 7/1/04.
- Popham, Julia A., College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Clinical Associate Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Romanelli, Frank, College of Pharmacy, from Assistant Professor*, joint appointment as Assistant Professor*, Clinical Sciences, College of Health Sciences, to Associate Professor* (with tenure), joint appointment as Associate Professor* (without tenure), Clinical Sciences, College of Health Sciences, effective 7/1/04.
- Rosenman, Ellen, College of Arts and Sciences, from Associate Professor (with tenure), English, to Professor (with tenure), English, effective 7/1/04.
- Rostosky, Sharon, College of Education, from Assistant Professor, Educational and Counseling Psychology, to Associate Professor (with tenure), Educational and Counseling Psychology, effective 7/1/04.
- Santhanam, Radhika, College of Business and Economics, from Associate Professor (with tenure), Management, to Professor (with tenure), Management, effective 7/1/04.
- Sarge, Kevin D., College of Medicine, from Associate Professor (with tenure), Molecular and Cellular Biochemistry, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, Graduate School, to Professor (with tenure), Molecular and Cellular Biochemistry, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, Graduate School, effective 7/1/04.
- Sharkey, Michael J., College of Agriculture, from Associate Professor (with tenure), Entomology, to Professor (with tenure), Entomology, effective 7/1/04.
- Smart, Eric J., College of Medicine, from Associate Professor (with tenure), Pediatrics, joint appointment as Associate Professor (without tenure), Physiology, to Professor (with tenure), Pediatrics, joint appointment as Professor (without tenure), Physiology, effective 7/1/04.

*Special Title series

- Smart, Jim L., College of Engineering, from Assistant Professor*, Chemical and Materials Engineering, to Associate Professor* (with tenure), Chemical and Materials Engineering, effective 7/1/04.
- Tao, Daniel, College of Engineering, from Assistant Professor, Mining Engineering, to Associate Professor (with tenure), Mining Engineering, effective 7/1/04.
- Underwood, William L., College of Medicine, from Assistant Professor (part-time), Pediatrics, joint appointment as Assistant Professor (part-time), Family Practice and Community Medicine, to Associate Professor (part-time), Pediatrics, joint appointment as Assistant Professor (part-time), Family Practice and Community Medicine, 7/1/04 through 6/30/05.
- Vanzant, Eric S., College of Agriculture, from Assistant Professor, Animal Sciences, to Associate Professor (with tenure), Animal Sciences, effective 7/1/04.
- Vickery, Kelly M., Libraries, from Librarian III, to Librarian II (with tenure), effective 7/1/04.
- Voss, D. Stephen, College of Arts and Sciences, from Assistant Professor, Political Science, to Associate Professor (with tenure), Political Science, effective 7/1/04.
- Weig, Eric C., Libraries, from Librarian III, to Librarian II (with tenure), effective 7/1/04.
- Wayne, Laura L., Libraries, from Librarian II (with tenure), to Librarian I (with tenure), effective 7/1/04.
- Wickliffe, Vanessa P., College of Agriculture, from Assistant Professor, Merchandising, Apparel & Textiles, to Associate Professor (with tenure), Merchandising, Apparel & Textiles, effective 7/1/04.
- Ye, Qiang, College of Arts and Sciences, from Associate Professor (with tenure), Mathematics, to Professor (with tenure), Mathematics, effective 7/1/04.
- Yingling, Jon C., College of Engineering, from Associate Professor (with tenure), Mining Engineering, to Professor (with tenure), Mining Engineering, effective 7/1/04.

F. CHANGES

- Clayton, Richard R., College of Medicine, from Professor (with tenure), Kentucky School of Public Health, to Professor (with tenure), Health Behavior, College of Public Health, effective 5/5/04.
- Crosby, Richard A., College of Medicine, from Associate Professor (with tenure), Kentucky School of Public Health, to Associate Professor (with tenure), Health Behavior, College of Public Health, effective 5/5/04.
- Fleming, Steven T., College of Health Sciences, from Associate Professor (with tenure), Health Services, joint appointment as Associate Professor (without tenure), Kentucky School of Public Health, College of Medicine, to Associate Professor (with tenure), Health Services Management, College of Public Health, effective 5/5/04.

*Special Title series

- Fried, Andrew M., College of Medicine, from Professor* (with tenure), Diagnostic Radiology, to Professor (part-time), Diagnostic Radiology, 7/1/04 through 6/30/05.
- Grisham-Brown, Jennifer L., College of Agriculture, from Associate Professor (with tenure), Family Studies, to Associate Professor (with tenure), Special Education and Rehabilitation Counseling, College of Education, effective 7/1/04.
- Knight, Evelyn A., College of Medicine, from Associate Research Professor, Kentucky School of Public Health, to Associate Research Professor, Health Services Management, College of Public Health, 7/1/04 through 6/30/05.
- Konkel, R. Steven, College of Medicine, from Associate Professor (part-time), Kentucky School of Public Health, to Associate Professor (part-time), Health Services Management, College of Public Health, 5/5/04 through 6/30/04.
- Lee, Joel M., College of Health Sciences, from Professor* (with tenure), Health Services, joint appointment as Professor* (without tenure), Kentucky School of Public Health, College of Medicine, to Professor* (with tenure), Health Services Management, College of Public Health, effective 5/5/04.
- McKnight, Robert H., College of Medicine, from Associate Professor (with tenure), Preventive Medicine and Environmental Health, to Associate Professor (with tenure), Preventive Medicine and Environmental Health, College of Public Health, joint appointment as Associate Professor (without tenure), Family Practice and Community Medicine, College of Medicine, effective 5/5/04.
- Peterson, Martha L., College of Medicine, from Professor (with tenure), Pathology and Laboratory Medicine, joint appointment as Associate Professor (without tenure), Microbiology, Immunology and Molecular Genetics, to Professor (with tenure), Microbiology, Immunology and Molecular Genetics, joint appointment as Professor (without tenure), Pathology and Laboratory Medicine, effective 7/1/04.
- Pfeifle, William G., College of Medicine, from Professor* (with tenure), Kentucky School of Public Health, to Professor* (with tenure), Health Services Management, College of Public Health, effective 5/5/04.
- Prince, Timothy S., College of Medicine, from Associate Professor* (with tenure), Preventive Medicine and Environmental Health, to Associate Professor* (with tenure), Preventive Medicine and Environmental Health, College of Public Health, joint appointment as Associate Professor* (without tenure), Family Practice and Community Medicine, College of Medicine, effective 5/5/04.
- Rice, Carol H., College of Medicine, from Professor (part-time), Kentucky School of Public Health, to Professor (part-time), Epidemiology, College of Public Health, 5/5/04 through 6/30/04.
- Riley, Kathryn P., College of Medicine, from Associate Professor (part-time), Preventive Medicine and Environmental Health, to Associate Professor

*Special Title series

(part-time), Preventive Medicine and Environmental Health, College of Public Health, joint appointment as Associate Professor (part-time), Family Practice and Community Medicine, College of Medicine, 5/5/04 through 6/30/04.

Samuel, Thomas W., College of Health Sciences, from Associate Professor* (with tenure), Health Services, joint appointments as Associate Professor* (without tenure), Kentucky School of Public Health, College of Medicine and Associate Professor* (without tenure), Martin School of Public Policy and Administration, Graduate School, to Associate Professor* (with tenure), Health Services Management, College of Public Health, joint appointment as Associate Professor* (without tenure), Martin School of Public Policy and Administration, Graduate School, effective 5/5/04.

Scutchfield, F. D., College of Medicine, from Professor (with tenure), Preventive Medicine and Environmental Health, joint appointments as Professor (without tenure), Family Practice and Community Medicine and Professor (without tenure), Health Services, College of Health Sciences, to Professor (with tenure), Preventive Medicine and Environmental Health, College of Public Health, joint appointments as Professor (without tenure), Family Practice and Community Medicine, College of Medicine and Professor (without tenure), Health Services, College of Health Sciences, effective 5/5/04.

Stouch, Terry, College of Pharmacy, from Associate Adjunct Professor, Pharmacy Practice and Science, to Associate Adjunct Professor, Pharmaceutical Sciences, 7/1/04 through 6/30/05.

Townley, Kimberly F., College of Agriculture, from Associate Professor (with tenure), Family Studies, to Associate Professor (with tenure), Special Education and Rehabilitation Counseling, College of Education, effective 7/1/04.

Wyatt, Stephen W., College of Medicine, from Associate Professor* (with tenure), Preventive Medicine and Environmental Health, to Associate Professor* (with tenure), Preventive Medicine and Environmental Health, College of Public Health, joint appointment as Associate Professor* (without tenure), Family Practice and Community Medicine, College of Medicine, effective 5/5/04.

G. TERMINAL REAPPOINTMENTS

Huffman, Gerald P., College of Engineering, Research Professor, Chemical and Materials Engineering, joint appointment as Research Professor, Physics and Astronomy, College of Arts and Sciences, 7/1/04 through 6/30/05.

Huggins, Frank E., College of Engineering, Research Professor, Chemical and Materials Engineering, 7/1/04 through 6/30/05.

Ji, Inhae, College of Arts and Sciences, Research Professor, Chemistry, 7/1/04 through 6/30/05.

*Special Title series

Shah, Naresh, College of Engineering, Associate Research Professor, Chemical and Materials Engineering, 7/1/04 through 6/30/05.

H. LEAVES OF ABSENCE

Mullett, Timothy W., College of Medicine, Clinical Associate Professor, Surgery, miscellaneous leave at full salary, 4/26/04 through 6/7/04.

I. RETIREMENTS

Keeble, Jane J., College of Medicine, Administrative Support Associate I, General Surgery, after 26 years, 9 months of consecutive service and a total of 31 years, 11 months of service, under AR II-1.6-1, Section III.B, effective 6/30/04.

Mays, Roberta Jo, College of Agriculture, Accountant II, Agriculture Business Office, after 30 years, 3 months, under AR II-1.6-1, Section III.B, effective 6/30/04.

Trutt, Frederick C., College of Engineering, Professor (with tenure), Electrical and Computer Engineering, after 19 years of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/04.

J. EARLY RETIREMENTS

Botkin, Darla, College of Agriculture, Associate Professor (with tenure), Family Studies, after 22 years of consecutive service, under AR II-1.6-1, Section III.A.2, effective 8/9/04.

Byars, Don, Undergraduate Education, Admissions Associate Director, Undergraduate Admissions, after 31 years, 11 months of consecutive service, and a total of 32 years, 8 months of service, under AR II-1.6-1, Section III.A.2, effective 5/31/04.

DeRossett, Ida S., Student Affairs, Medical Assistant Senior, University Health Service, after 32 years, 5 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/30/04.

Kiesel, Linda, College of Agriculture, Agriculture Extension Specialist, Agricultural Communications Services, after 14 years, 10 months of consecutive service, and a total of 15 years, 1 month of service, under AR II-1.6-1, Section III.A.2, effective 6/30/04.

Leigh, Anne, College of Agriculture, County Extension Clerk, Cooperative Extension Service, after 34 years, 6 months, under AR II-1.6-1, Section III.A.2, effective 4/30/04.

Wells, William, Undergraduate Education, Technical Support Service Aide, Teaching and Academic Support Center, after 33 years, 5 months, under AR II-1.6-1, Section III.A.2, effective 5/24/04.

K. POST-RETIREMENT APPOINTMENTS

Brady, Mary, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 7/1/04 through 6/30/05.

Chambers, Paul, College of Agriculture, Heavy Equipment Operator (part-time), Management Operations, 5/3/04 through 5/2/05.

Christman, Norma J., College of Nursing, Associate Professor (part-time), 7/1/04 through 6/30/05.

Clawson, D. K., College of Medicine, Professor (part-time), Surgery, 7/1/04 through 6/30/05.

Cole, Henry, College of Education, Professor (part-time), Preventive Medicine and Environmental Health, 7/1/04 through 6/30/05.

Crist, William L., College of Agriculture, Professor (part-time), Animal Sciences, 8/5/04 through 8/4/05.

Crouch, Sally J., College of Engineering, Administrative Coordinator (part-time), Kentucky Transportation Center, 7/1/04 through 6/30/05.

Dillon, Oscar W., College of Engineering, Professor (part-time), Mechanical Engineering, 7/1/04 through 6/30/05.

Drummond, James F., College of Dentistry, Professor (part-time), Oral Health Science, 7/1/04 through 6/30/05.

Edwards, Nancy, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 11/4/04 through 11/3/05.

Fischer, Doane, College of Medicine, Professor (part-time), Pediatrics, 7/1/04 through 6/30/05.

Fosson, Abe R., College of Medicine, Professor (part-time), Pediatrics, joint appointment as Professor (part-time), Psychiatry, 7/1/04 through 6/30/05.

Frazier, Donald T., College of Medicine, Professor (part-time), Physiology, 7/1/04 through 6/30/05.

Funk, James E., College of Engineering, Professor (part-time), Mechanical Engineering, 7/1/04 through 7/1/05.

Greene, John W., College of Medicine, Professor (part-time), Obstetrics and Gynecology, 7/1/04 through 6/30/05.

Hall, Bryan D., College of Medicine, Professor (part-time), Pediatrics, 7/1/04 through 6/30/05.

Harvey, Wayne, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/04 through 5/15/05.

Johnson, Gregory L., College of Medicine, Professor (part-time), Pediatrics, 7/1/04 through 6/30/05.

Kiesel, Linda, College of Agriculture, Agricultural Extension Specialist (part-time), Agricultural Communications Services, 7/1/04 through 6/30/05.

Knapp, Fred W., College of Agriculture, Professor (part-time), Entomology, 7/1/04 through 6/30/05.

Lightfoot, Robert W., College of Medicine, Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.

Mabry, C. C., College of Medicine, Professor (part-time), Pediatrics, 7/1/04 through 6/30/05.

Marshall, Andrew, College of Agriculture, Laborer (part-time), Forestry, 7/1/04 through 6/30/05.

Mays, Bobbie Jo, College of Agriculture, Account Clerk (part-time), Agriculture Business Office, 7/1/04 through 12/31/04.

McBride, June, College of Agriculture, Secretary (part-time), Cooperative Extension Service, 7/1/04 through 6/30/05.

Noonan, Jacqueline A., College of Medicine, Professor (part-time), Pediatrics, 7/1/04 through 6/30/05.

Owens, Eddie L., College of Medicine, Professor (part-time), Anesthesiology, 7/1/04 through 6/30/05.

Powell, Andrew J., College of Agriculture, Professor (part-time), Agronomy, 5/3/04 through 6/30/05.

Seeley, Ellsworth C., College of Medicine, Professor (part-time), Family Practice and Community Medicine, 7/1/04 through 6/30/05.

Sims, Jackie, College of Medicine, Clinical Research Coordinator, Radiation Medicine, 7/1/04 through 6/30/05.

Steely, Jane, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Agent, 6/1/04 through 5/31/05.

Stevens, Raymond R., College of Agriculture, Greenhouse Technician (part-time), Agronomy, 7/1/04 through 6/30/05.

Terry, Quentin, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 8/1/04 through 10/31/04.

Thompson, John S., College of Medicine, Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.

Tutt, Charles, College of Agriculture, Research Specialist (part-time), Agronomy, 7/1/04 through 6/30/05.

Wilson, Angene, College of Education, Professor (part-time), Curriculum and Instruction, 7/1/04 through 6/30/05.

Wolf, Betty I., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/04 through 6/30/05.

L. PHASED RETIREMENT APPOINTMENTS

Goldman, A., College of Law, Professor (with tenure), 7/1/04 through 6/30/09.

Hahn, Ottfried J., College of Engineering, Professor (with tenure), Mechanical Engineering, 7/1/04 through 6/30/09.

Hayden, Thomas, College of Arts and Sciences, Professor (with tenure), Mathematics, 7/1/04 through 6/30/09.

Samuel, Thomas W., College of Public Health, Associate Professor* (with tenure), Health Services Management, joint appointment as Associate Professor* (without tenure), Martin School of Public Policy and Administration, Graduate School, 7/1/04 through 6/30/09.

TeKrony, Dennis M., College of Agriculture, Professor (with tenure), Agronomy, 1/1/05 through 12/31/09.

*Special Title series

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

- Addington, B. J., College of Pharmacy, Assistant Professor (voluntary), 6/23/04 through 6/30/06.
- Adkins, Lanny, College of Pharmacy, Assistant Professor (voluntary), 5/4/04 through 6/30/06.
- Almquist, Sharon G., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/04 through 12/31/04.
- Bailey, Paula D., College of Medicine, Clinical Instructor, Internal Medicine, 7/1/04 through 6/30/05.
- Baker, Rebecca L., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.
- Ballou, Fred L., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.
- Bargdett, James F., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 3/1/04 through 6/30/06.
- Beighle, Aaron E., College of Education, Assistant Professor, Kinesiology and Health Promotion, 8/1/04 through 6/30/05.
- Bever, John D., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.
- Black-Parker, Kimberly L., College of Communications and Information Studies, Assistant Professor, Library and Information Science, 8/1/04 through 6/30/05.
- Blevins, Marshall G., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 5/1/04 through 6/30/06.
- Bradley, Jeremy L., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.
- Brizendine, Paul T., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 6/1/04 through 6/30/06.
- Brownstein, Sheldon L., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.
- Bryant, Wayne A., College of Pharmacy, Assistant Professor (voluntary), 6/23/04 through 6/30/06.
- Carrico, Jeffrey A., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 5/1/04 through 6/30/06.
- Chagua, Marlon, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 5/1/04 through 6/30/06.
- Cheung, Kwok-Sing, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.
- Collins, Alison B., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/04 through 6/30/05.

Combest, William B., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Cornish, Allen L., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 6/1/04 through 6/30/06.

Crowe, William, College of Medicine, Assistant Professor (voluntary), Surgery, 6/1/04 through 6/30/06.

Cua, Arnold C., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 5/1/04 through 6/30/06.

De Weese, F. Thane, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 5/1/04 through 6/30/06.

Denison, John F., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Eldridge, Russell M., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 6/1/04 through 6/30/06.

Gardiner, James R., College of Medicine, Clinical Instructor, Surgery, 8/1/04 through 6/30/05.

Garrett, Lisette, College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.

Gillispie, Brian S., College of Medicine, Assistant Professor (voluntary), Pediatrics, 6/1/04 through 6/30/06.

Grimm, Terrence, College of Medicine, Assistant Professor (voluntary), Surgery, 6/1/04 through 6/30/06.

Hampton, William E., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Harvey, Leigh H., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Heilig, M. R., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Hensley, Ben D., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Hernandez, Jose J., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 2/2/04 through 6/30/06.

Higginson, Michael L., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Holt, Elizabeth G., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/04 through 6/30/05.

Homer, Brian L., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Horn, Todd L., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/04 through 6/30/05.

Howell, Penny B., College of Education, Assistant Professor, Curriculum and Instruction, 8/1/04 through 6/30/05.

Huff, Constance C., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Isaacs, John T., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Johnson, Kenneth, College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.

Jordan, Bruce S., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Kahne, Harry, College of Pharmacy, Assistant Professor (voluntary), 6/23/04 through 6/30/06.

Kim, Sujin, College of Communications and Information Studies, Assistant Professor, Library and Information Science, 8/1/04 through 6/30/05.

King, Michael R., College of Medicine, Clinical Instructor, Family Practice and Community Medicine, 7/1/04 through 6/30/05.

Knoepfel, Robert C., College of Education, Assistant Professor, Administration and Supervision, 8/1/04 through 6/30/05.

Kopczyk, Larry R., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Koury, Hameed I., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 3/15/04 through 6/30/06.

Kregor, Janice M., College of Medicine, Assistant Professor (voluntary), Pediatrics, 5/1/04 through 6/30/06.

Kries, Kelly E., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.

Lagrew, Mary J., College of Medicine, Assistant Professor (voluntary), Pediatrics, 5/1/04 through 6/30/06.

Lash, Joseph, College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.

Law, Timothy D., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.

Lloyd, Mary, College of Pharmacy, Assistant Professor (voluntary), 6/23/04 through 6/30/06.

Logsdon, John-Paul, College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 4/1/04 through 6/30/06.

Mahoney, Theresa, College of Pharmacy, Assistant Professor (voluntary), 5/5/04 through 6/30/06.

Martin, Kimberly, College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

McGuffey, Mary R., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.

Mills, Michael R., College of Pharmacy, Assistant Professor (voluntary), 6/23/04 through 6/30/06.

Missall, Kristen, College of Education, Assistant Professor, Educational and Counseling Psychology, 8/1/04 through 6/30/05.

Mitchell, Jeff, College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 3/1/04 through 6/30/06.

Mueller, Thomas, College of Health Sciences, Instructor (voluntary), Clinical Sciences, 3/8/04 through 6/30/06.

Murrell, Diane M., College of Nursing, Lecturer, 7/1/04 through 6/30/05.

Myrick, Michael W., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

O'Connor, Lisa O., College of Communications and Information Studies, Assistant Professor, Library and Information Science, 8/1/04 through 6/30/05.

Ramachandra, Indiresha, College of Medicine, Assistant Professor (voluntary), Surgery, 5/1/04 through 6/30/06.

Ramos, Gisela G., College of Arts and Sciences, Assistant Research Professor, Biology, 5/1/04 through 4/30/05.

Reese, David R., College of Medicine, Instructor (voluntary), Family Practice and Community Medicine, 3/1/04 through 6/30/06.

Rekhraj, Suresh, College of Medicine, Assistant Professor (voluntary), Surgery, 5/1/04 through 6/30/06.

Robinson, Michael L., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Schulte, John M., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Skaggs, Angie, College of Pharmacy, Assistant Professor (voluntary), 6/23/04 through 6/30/06.

Smith, Benjamin R., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Snyder, Stephanie A., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/03 through 6/30/06.

Sullivan, Jennifer, College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 7/1/04 through 6/30/05.

Suresh, Damodhar P., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.

Swan, Kathleen, College of Education, Assistant Professor, Curriculum and Instruction, 8/1/04 through 6/30/05.

Teal, Marilyn A., College of Pharmacy, Assistant Professor (voluntary), 4/7/04 through 6/30/06.

Thannoli, Natarajan, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.

Tillett, Edward D., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.

Tiu, Gregory Y., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 5/1/04 through 6/30/06.

Trice, Laura, College of Health Sciences, Instructor (voluntary), Clinical Sciences, 1/1/04 through 6/30/06.

Tritt, Kelley L., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/04 through 6/30/05.

Tyler, Kenneth M., College of Education, Assistant Professor, Educational and Counseling Psychology, 8/1/04 through 6/30/05.

Veith, Brian, College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Wagers, Steve, College of Pharmacy, Assistant Professor (voluntary), 6/23/04 through 6/30/06.

Ward, Robertson D., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/03 through 6/30/06.

Whitelock, Gary H., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Woolums, C. Stephen, College of Medicine, Assistant Professor (voluntary), Surgery, 6/1/04 through 6/30/06.

Wulff, Thomas R., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/04 through 6/30/06.

Yi, Kwan, College of Communications and Information Studies, Assistant Professor, Library and Information Science, 8/1/04 through 6/30/05.

Youkilis, Bradley B., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/04 through 6/30/06.

Zax, Robert H., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/03 through 6/30/06.

B. ADMINISTRATIVE APPOINTMENTS

Bettez, David J., Office of International Affairs, reappointed Acting Associate Provost for International Affairs, 7/1/04 through 6/30/05.

Coy, Beth B., College of Nursing, Nurse Practitioner, effective 5/17/04.

Hayes, Susan, College of Nursing, Nurse Practitioner, effective 5/20/04.

Houglund, James, College of Arts and Sciences, Professor (with tenure), Sociology, and Acting Chair, Sociology, reappointed Acting Chair, Sociology, 7/1/04 through 6/30/05.

Samuel, Thomas W., College of Health Sciences, Associate Professor* (with tenure), Health Services, joint appointments as Associate Professor* (without tenure), Kentucky School of Public Health, College of Medicine and Associate Professor* (without tenure), Martin School of Public Policy and Administration, Graduate School, appointed Acting Dean, College of Public Health, 6/1/04 through 12/31/04.

Whitehurst, Deborah, College of Medicine, Nurse Practitioner, Pediatrics, effective 5/8/04.

C. JOINT APPOINTMENTS

Kwon, Seok-Woo, Graduate School, Assistant Professor, Martin School of Public Policy and Administration, joint appointment as Assistant Professor, College of Pharmacy, 1/1/04 through 6/30/04.

Lele, Subodh M., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, joint appointment as Assistant Professor*, Surgery, 7/1/04 through 6/30/05.

Monton, Bradley, College of Arts and Sciences, Assistant Professor, Philosophy, joint appointment as Assistant Professor, Honors Program, 7/1/04 through 6/30/06.

*Special Title series

Tanaka, Keiko, College of Agriculture, Assistant Professor, Community and Leadership Development, joint appointment as Assistant Professor, Sociology, College of Arts and Sciences, 1/1/04 through 6/30/04.

D. REAPPOINTMENTS

Abate, Anne K., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/04 through 12/31/04.

Abolins, Liga, College of Arts and Sciences, Lecturer, English, 7/1/04 through 6/30/05.

Akers, Paige S., College of Pharmacy, Assistant Adjunct Professor, 7/1/04 through 6/30/05.

Akin, James W., College of Medicine, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/04 through 6/30/05.

Alexander, Karen L., College of Agriculture, Assistant Professor, Family Studies, 7/1/04 through 6/30/05.

Allaire, Gloria, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures and Cultures, 7/1/04 through 6/30/05.

Allweiss, Pamela H., College of Public Health, Assistant Professor*, Preventive Medicine and Environmental Health, joint appointments as Assistant Professor*, Family Practice and Community Medicine, College of Medicine and Assistant Professor*, Physical Medicine and Rehabilitation, College of Medicine, 7/1/04 through 6/30/05.

Anton, Marian, College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/04 through 6/30/06.

Apparsundaram, Subramaniam, College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/04 through 6/30/05.

Archibald, Sandi K., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/04 through 6/30/05.

Arnold, Susanne M., College of Medicine, Assistant Professor*, Internal Medicine, joint appointment as Assistant Professor*, Radiation Medicine, 7/1/04 through 3/2/05.

Arsenescu, Razvan I., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/04 through 6/30/05.

Arvin, Rachael, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/04 through 6/30/05.

Atwood, Katharine A., College of Public Health, Assistant Professor (part-time), Preventive Medicine and Environmental Health, 7/1/04 through 6/30/05.

Baker, John R., College of Engineering, Assistant Professor*, Mechanical Engineering, 7/1/04 through 6/30/06.

Ballard-Croft, Cherry, College of Medicine, Assistant Research Professor, Surgery, 7/1/04 through 6/30/05.

Barclay, Clayton C., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/04 through 6/30/05.

Barney, Robert J., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/04 through 6/30/05.

*Special Title series

- Baron, Andre T., College of Medicine, Assistant Professor, Internal Medicine, joint appointment as Assistant Professor, Kentucky School of Public Health, 7/1/04 through 6/30/05.
- Barve, Ashutosh, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Bates, Paige L., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Bebensee, George, College of Arts and Sciences, Lecturer, English, 7/1/04 through 6/30/05.
- Beshear, Diedra D., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Bigham, Alacia L., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Bishop, Malachy, College of Education, Assistant Professor, Special Education and Rehabilitation Counseling, 7/1/04 through 6/30/05.
- Blalock, Eric M., College of Medicine, Assistant Research Professor, Molecular and Biomedical Pharmacology, 7/1/04 through 6/30/05.
- Bognar, Benedek, College of Medicine, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/04 through 6/30/05.
- Bosch, Antonio, College of Medicine, Assistant Professor*, Internal Medicine, 7/1/04 through 6/30/05.
- Bouneva, Iliana, College of Medicine, Assistant Professor*, Internal Medicine, 7/1/04 through 6/30/05.
- Boyle, Karen R., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/04 through 12/31/04.
- Boyson, Aaron, College of Communications and Information Studies, Assistant Professor, Communication, 7/1/04 through 6/30/05.
- Bradford, Kay P., College of Agriculture, Assistant Professor, Family Studies, 7/1/04 through 6/30/05.
- Bradley, Ann L., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/04 through 6/30/05.
- Bradley, Kelly, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/04 through 6/30/05.
- Brandewie, Deborah J., College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.
- Brown, Elinor, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/04 through 6/30/05.
- Browne Ferrigno, Tricia, College of Education, Assistant Professor, Administration and Supervision, 7/1/04 through 6/30/05.
- Bruce-Keller, Annadora J., College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/04 through 6/30/05.
- Brueckner, Jennifer K., College of Medicine, Assistant Professor*, Anatomy and Neurobiology, 7/1/04 through 6/30/05.
- Bruemmer, Dennis C., College of Medicine, Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.

*Special Title series

- Buch, Ketan P., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Burkhart, Patricia V., College of Nursing, Assistant Professor, 7/1/04 through 6/30/05.
- Caldwell, Glyn G., College of Public Health, Assistant Professor (part-time), Epidemiology, 7/1/04 through 6/30/05.
- Campbell, F. Diane, College of Arts and Sciences, Lecturer, English, 7/1/04 through 6/30/05.
- Campbell, Sean, College of Arts and Sciences, Assistant Professor, Geography, 7/1/04 through 6/30/06.
- Carter, Michael, College of Arts and Sciences, Lecturer, English, 7/1/04 through 6/30/05.
- Caudill, Cliff M., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/04 through 6/30/05.
- Cheeseman, Melissa M., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/04 through 6/30/05.
- Chen, Jinhui, College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/04 through 6/30/05.
- Cheves, Elizabeth P., College of Nursing, Clinical Instructor, 7/1/04 through 6/30/05.
- Christle, Christine, College of Education, Assistant Research Professor, Special Education and Rehabilitation Counseling, 7/1/04 through 8/31/04.
- Cichocki, David, College of Communications and Information Studies, Assistant Professor (temporary), Communication, 7/1/04 through 6/30/05.
- Clark, Stephen, College of Arts and Sciences, Lecturer, Statistics, 7/1/04 through 6/30/05.
- Cooper, Gregory E., College of Medicine, Assistant Professor (part-time), Neurology, 7/1/04 through 6/30/05.
- Craven, Rolf J., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/04 through 6/30/05.
- Crocker, Lina, College of Arts and Sciences, Lecturer, English, 7/1/04 through 6/30/05.
- Cross, Tammy N., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Crothers, George, College of Arts and Sciences, Assistant Professor*, Anthropology, 7/1/04 through 6/30/06.
- Curd, Philip R., College of Public Health, Assistant Professor (part-time), Preventive Medicine and Environmental Health, joint appointment as Assistant Professor (part-time), Family Practice and Community Medicine, College of Medicine, 7/1/04 through 6/30/05.
- D'Souza, Nympha B., College of Medicine, Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Danner, Deborah D., College of Public Health, Assistant Research Professor, Preventive Medicine and Environmental Health, joint appointment as Assistant Research Professor, Family Practice and Community Medicine, College of Medicine, 7/1/04 through 6/30/05.

*Special Title series

Darrat, Suleiman, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures and Cultures, 7/1/04 through 6/30/05.

Dekhtyar, Alexander M., College of Engineering, Assistant Professor, Computer Science, 7/1/04 through 6/30/06.

DeLetter, Mary C., College of Nursing, Assistant Professor (part-time), 7/1/04 through 6/30/05.

DeVecchio, Devon, College of Business and Economics, Assistant Professor, Management, 7/1/04 through 6/30/05.

DeShea, Lise, College of Education, Assistant Professor, Educational and Counseling Psychology, 7/1/04 through 6/30/05.

DeSimone, Christopher P., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/04 through 6/30/05.

Dieter, William R., College of Engineering, Assistant Professor, Electrical and Computer Engineering, 7/1/04 through 6/30/06.

Dietrich, Charles S., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/04 through 6/30/05.

Dotson, Tony W., College of Medicine, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/04 through 6/30/05.

Douglas, William I., College of Medicine, Assistant Professor*, Surgery, joint appointment as Assistant Professor*, Pediatrics, 7/1/04 through 6/30/05.

Dunavant, Scott L., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/04 through 6/30/05.

Dunbar, Paul D., College of Engineering, Assistant Professor*, Chemical and Materials Engineering, 7/1/04 through 6/30/06.

Edwards, Kim, College of Arts and Sciences, Assistant Professor (temporary), English, 7/1/04 through 6/30/05.

Escalante, David A., College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.

Fei, Zongming, College of Engineering, Assistant Professor, Computer Science, 7/1/04 through 6/30/06.

Fields, Becky L., College of Nursing, Assistant Professor, 7/1/04 through 6/30/05.

Fleming-Phillips, Barbara, College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.

Flynn, Jeremy, College of Pharmacy, Assistant Adjunct Professor, 7/1/04 through 6/30/05.

Freeman, Heather D., College of Fine Arts, Assistant Professor, Art, 7/1/04 through 6/30/05.

Fults, Janna, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures and Cultures, 7/1/04 through 6/30/05.

Gassett, Jonathan W., College of Agriculture, Assistant Adjunct Professor, Forestry, 7/1/04 through 6/30/05.

Gilman, Richard, College of Education, Assistant Professor, Educational and Counseling Psychology, 7/1/04 through 6/30/05.

Goble, Christine F., College of Engineering, Assistant Professor (part-time), Mechanical Engineering, 7/1/04 through 6/30/05.

*Special Title series

- Goebel, Jens, College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/04 through 6/30/05.
- Gold, Brian T., College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/04 through 6/30/05.
- Goodin, Michael M., College of Agriculture, Assistant Professor, Plant Pathology, 7/1/04 through 6/30/05.
- Gore, David R., College of Dentistry, Assistant Professor (temporary), Oral Health Practice, 7/1/04 through 7/31/04.
- Gorton, William T., College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/04 through 6/30/05.
- Graf, Gregory A., College of Pharmacy, Assistant Professor, 7/1/04 through 6/30/05.
- Graham, George J., College of Public Health, Assistant Research Professor, Health Services Management, 7/1/04 through 6/30/05.
- Graves, Kathryn T., College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/04 through 6/30/05.
- Grondin, Richard C., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 7/1/04 through 6/30/05.
- Guindon, Lynn, College of Arts and Sciences, Lecturer, English, 7/1/04 through 6/30/05.
- Guttmann, Rodney, College of Public Health, Assistant Professor, Gerontology, joint appointment as Assistant Professor, Physiology, College of Medicine, 7/1/04 through 6/30/05.
- Hale, Shelda, College of Arts and Sciences, Lecturer, English, 7/1/04 through 6/30/05.
- Hallam, Rena A., College of Agriculture, Assistant Professor, Family Studies, 7/1/04 through 7/31/04.
- Ham, Seonok, College of Agriculture, Assistant Professor, Nutrition and Food Science, 7/1/04 through 6/30/05.
- Hamm, Virginia M., College of Medicine, Assistant Adjunct Professor, Behavioral Science, 7/1/04 through 6/30/05.
- Handke, Michael, College of Arts and Sciences, Lecturer, Geology, 7/1/04 through 6/30/05.
- Hardin-Pierce, Melanie G., College of Nursing, Clinical Assistant Professor, 7/1/04 through 6/30/05.
- Hassan, Humaira, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Hassan, Zaki U., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/04 through 6/30/05.
- Haven, Robert W., College of Fine Arts, Assistant Professor, Theatre, 7/1/04 through 6/30/05.
- Hawthorne, Colette W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Hernandez, Juan A., College of Arts and Sciences, Instructor, Hispanic Studies, 7/1/04 through 6/30/05.

Herzog, Hillary, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures and Cultures, 7/1/04 through 6/30/06.

Hioki, Kazuko, Libraries, Librarian IV, 7/1/04 through 6/30/05.

Holle, Bruce, College of Arts and Sciences, Lecturer, History, 7/1/04 through 6/30/05.

Hopenhayn, Claudia, College of Public Health, Assistant Professor, Epidemiology, 7/1/04 through 6/30/05.

Hostetler, Miriam, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures and Cultures, 7/1/04 through 6/30/05.

Houp, George, College of Arts and Sciences, Lecturer, English, 7/1/04 through 6/30/05.

Howard, Dianna S., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/04 through 6/30/05.

Howell, Rebecca, College of Arts and Sciences, Lecturer, English, 7/1/04 through 6/30/05.

Humphries, Roger L., College of Medicine, Assistant Professor*, Emergency Medicine, 7/1/04 through 6/30/05.

Ireland, Sandra B., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/04 through 6/30/05.

Irwin, Leland J., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/04 through 6/30/05.

Jahania, Mohammed S., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/04 through 6/30/05.

Jennings, Connie W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.

Jones, Jeffery A., College of Public Health, Assistant Research Professor, Health Behavior, 7/1/04 through 6/30/05.

Jones, Larry D., College of Medicine, Clinical Assistant Professor, Family Practice and Community Medicine, 7/1/04 through 6/30/05.

Joseph, Jane E., College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/04 through 6/30/05.

Jung, Lee Ann, College of Education, Assistant Professor, Special Education and Rehabilitation Counseling, 7/1/04 through 6/30/05.

Kachroo, Pradeep R., College of Agriculture, Assistant Professor, Plant Pathology, 7/1/04 through 6/30/05.

Kad, Surinder K., College of Public Health, Assistant Professor (part-time), Health Services Management, 7/1/04 through 6/30/05.

Kang, Sung Ha, College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/04 through 6/30/06.

Keen, Debby L., College of Engineering, Lecturer, Computer Science, 7/1/04 through 6/30/05.

Keller, Jeffrey N., College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/04 through 6/30/05.

Kilgore, Michael W., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/04 through 6/30/05.

*Special Title series

- Killip, Shersten, College of Medicine, Assistant Professor*, Family Practice and Community Medicine, 7/1/04 through 6/30/05.
- Kim, Hyungsoo, College of Agriculture, Assistant Professor, Family Studies, 7/1/04 through 6/30/05.
- Kitaev, Sergey, College of Arts and Sciences, Assistant Professor (visiting), Mathematics, 8/16/04 through 12/31/04.
- Kloha, Nancy R., College of Nursing, Clinical Instructor, 7/1/04 through 6/30/05.
- Koch, Alvaro G., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/04 through 6/30/05.
- Krampe, Kristina, College of Education, Assistant Research Professor, Special Education and Rehabilitation Counseling, 7/1/04 through 6/30/05.
- Kraner, Susan D., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/04 through 6/30/05.
- Kusmirek, Slawomir L., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Larson, Susan, College of Arts and Sciences, Assistant Professor, Hispanic Studies, 7/1/04 through 6/30/06.
- LeBeau, Raymond P., College of Engineering, Assistant Professor, Mechanical Engineering, 7/1/04 through 6/30/06.
- Lee-Desautels, Rhonda, College of Engineering, Assistant Professor*, Chemical and Materials Engineering, 7/1/04 through 6/30/06.
- Letcher, Traci, College of Communications and Information Studies, Lecturer, Communication, 7/1/04 through 6/30/05.
- Lile, Joshua A., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/04 through 6/30/05.
- Linville, Linda H., College of Medicine, Assistant Adjunct Professor, Behavioral Science, 7/1/04 through 6/30/05.
- Liu, Chaoyuan, College of Arts and Sciences, Lecturer, Mathematics, 7/1/04 through 6/30/05.
- Lowe, Donald I., College of Communications and Information Studies, Lecturer, Communication, 7/1/04 through 6/30/05.
- Maloney, Doreen M., College of Fine Arts, Assistant Professor, Art, 7/1/04 through 6/30/05.
- Marksbury, Tom, College of Arts and Sciences, Lecturer, English, 7/1/04 through 6/30/05.
- Mawad, Hanna W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- McCrary, Nancye, College of Education, Assistant Research Professor, Special Education and Rehabilitation Counseling, 7/1/04 through 6/30/05.
- McFadden, Steven Y., College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 7/1/04 through 6/30/05.
- McGavran, Mary Beth D., College of Arts and Sciences, Lecturer, Psychology, 7/1/04 through 6/30/05.
- McMahan, Matthew E., College of Pharmacy, Clinical Assistant Professor, 7/1/04 through 6/30/05.

*Special Title series

- McNall, Rebecca, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/04 through 6/30/05.
- Mendiondo, Marta S., College of Public Health, Assistant Research Professor, Biostatistics, 7/1/04 through 6/30/05.
- Menon, Madhu, College of Arts and Sciences, Assistant Adjunct Professor, Physics and Astronomy, 7/1/04 through 6/30/06.
- Midboe Clancy, Pamela R., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/04 through 6/30/05.
- Miller, Cynthia H., College of Communications and Information Studies, Lecturer, Communication, 7/1/04 through 6/30/05.
- Miller, Kim, College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/04 through 6/30/05.
- Miller, Richard T., Graduate School, Assistant Professor, Graduate Center for Toxicology, 7/1/04 through 6/30/05.
- Minion, David J., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/04 through 6/30/05.
- Monton, Bradley, College of Arts and Sciences, Assistant Professor, Philosophy, 7/1/04 through 6/30/06.
- Moran, Susan, College of Education, Assistant Professor*, Special Education and Rehabilitation Counseling, 7/1/04 through 6/30/05.
- Munson, April, College of Fine Arts, Assistant Professor (temporary), Art, 8/1/04 through 6/30/05.
- Nagel, Uwe, College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/04 through 6/30/06.
- Napier, Sharon M., College of Medicine, Assistant Professor (part-time), Ophthalmology and Visual Sciences, 7/1/04 through 6/30/05.
- Netherly, Patricia J., College of Arts and Sciences, Assistant Adjunct Professor, Anthropology, 7/1/04 through 6/30/06.
- Noar, Seth M., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/04 through 6/30/05.
- O'Donnell, Helen M., College of Medicine, Assistant Professor (part-time), Physical Medicine and Rehabilitation, 7/1/04 through 6/30/05.
- Oaks, Jan, College of Arts and Sciences, Lecturer, English, 7/1/04 through 6/30/05.
- Omar, Sadia, College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/04 through 6/30/05.
- Orren, David K., Graduate School, Assistant Professor, Graduate Center for Toxicology, 7/1/04 through 6/30/05.
- Paige, Roger L., College of Fine Arts, Assistant Professor (temporary), Theatre, 8/1/04 through 6/30/05.
- Palli, Subba R., College of Agriculture, Assistant Professor, Entomology, 7/1/04 through 6/30/05.
- Patterson, Donald E., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/04 through 6/30/05.
- Patterson, John A., College of Medicine, Assistant Professor (part-time), Family Practice and Community Medicine, 7/1/04 through 6/30/05.

*Special Title series

- Peiter, Robin L., College of Agriculture, Assistant Professor, Community and Leadership Development, 7/1/04 through 6/30/05.
- Pena, Luis R., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/04 through 6/30/05.
- Phillips, Taunya, College of Engineering, Lecturer, Mechanical Engineering, 7/1/04 through 6/30/05.
- Piwowarski, Paul, College of Engineering, Lecturer, Computer Science, 7/1/04 through 6/30/05.
- Plattner, Rina, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/04 through 6/30/05.
- Powell, David B., College of Medicine, Instructor (part-time), Anesthesiology, 7/1/04 through 6/30/05.
- Prasad, Subramanya K., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/04 through 6/30/05.
- Prats, Judith, College of Arts and Sciences, Lecturer, English, 7/1/04 through 6/30/05.
- Price, James D., College of Engineering, Lecturer, UK Center for Manufacturing, 7/1/04 through 6/30/05.
- Primm, Daniel D., College of Medicine, Assistant Professor (part-time), Surgery, 7/1/04 through 6/30/05.
- Pulmano, Calixto M., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, joint appointment as Assistant Professor (part-time), Neurology, 7/1/04 through 6/30/05.
- Pursley, Holly G., College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/04 through 6/30/05.
- Pushkarskaya, Helen N., College of Agriculture, Assistant Professor, Agricultural Economics, 7/1/04 through 6/30/05.
- Ramaiah, Chand, College of Medicine, Clinical Assistant Professor, Surgery, 7/1/04 through 6/30/05.
- Rankin, Stephen E., College of Engineering, Assistant Professor, Chemical and Materials Engineering, 7/1/04 through 6/30/06.
- Ratliff, Darian, College of Medicine, Assistant Professor (part-time), Family Practice and Community Medicine, 7/1/04 through 6/30/05.
- Real, Kevin J., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/04 through 6/30/05.
- Reed, Patrese D., College of Pharmacy, Clinical Assistant Professor, 7/1/04 through 6/30/05.
- Rippetoe Freeman, Patricia E., College of Nursing, Assistant Professor (part-time), joint appointment as Assistant Professor (part-time), College of Pharmacy, 7/1/04 through 6/30/05.
- Rivkin-Fish, Michele, College of Arts and Sciences, Assistant Professor, Anthropology, 7/1/04 through 6/30/05.
- Rock, Troy C., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/04 through 6/30/05.

*Special Title series

- Rockich, Anna K., College of Medicine, Assistant Research Professor, Surgery, joint appointment as Assistant Research Professor, College of Pharmacy, 7/1/04 through 6/30/05.
- Rogers, Nels, College of Arts and Sciences, Assistant Professor*, Modern and Classical Languages, Literatures and Cultures, 7/1/04 through 6/30/06.
- Rogozinski, Zbigniew S., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/04 through 6/30/05.
- Rose, Gregory L., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/04 through 6/30/05.
- Roseman, Mary G., College of Agriculture, Assistant Professor, Nutrition and Food Science, 7/1/04 through 6/30/05.
- Rosenthal, M. Sara, College of Medicine, Assistant Research Professor, Behavioral Science, 3/1/04 through 6/30/04.
- Rowe, Harry, College of Arts and Sciences, Assistant Professor, Geology, 7/1/04 through 6/30/06.
- Saha, Sibup., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/04 through 6/30/05.
- Sauer, Jeorg H., College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures and Cultures, 7/1/04 through 6/30/05.
- Schabel, Donald J., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/04 through 12/31/04.
- Scherbenske, James, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Schossor, Erika M., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/04 through 6/30/05.
- Scott, Ruth A., College of Agriculture, Lecturer, Family Studies, 7/1/04 through 6/30/05.
- Sedlacek, John D., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/04 through 6/30/05.
- Selby, Lisbeth A., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/04 through 6/30/05.
- Shearer, Mary E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Simms, Lynne D., College of Medicine, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/04 through 6/30/05.
- Simon, Marion F., College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/04 through 6/30/05.
- Spengler, Susan E., College of Public Health, Assistant Professor (part-time), Preventive Medicine and Environmental Health, joint appointment as Unknown Rank (part-time), Family Practice and Community Medicine, College of Medicine, 7/1/04 through 6/30/05.
- Stamatiadis, Anne Marie, College of Arts and Sciences, Lecturer, English, 7/1/04 through 6/30/05.
- Stava, Michael W., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/04 through 6/30/05.

*Special Title series

- Stein, Lauren P., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Stein, Pam S., College of Medicine, Assistant Professor*, Anatomy and Neurobiology, joint appointment as Assistant Professor*, Oral Health Practice, College of Dentistry, 7/1/04 through 6/30/05.
- Stephens, Rebecca, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/04 through 6/30/05.
- Stephenson, Tammy J., College of Agriculture, Instructor (temporary), Nutrition and Food Science, 8/16/04 through 5/15/05.
- Stewart, Jimmie, College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, 7/1/04 through 6/30/05.
- Steyn, Pieter G., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/04 through 6/30/05.
- Strobel, Gunnar G., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Sullivan, Patrick G., College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/04 through 6/30/05.
- Sushko, Yuri, College of Arts and Sciences, Assistant Professor, Physics and Astronomy, 7/1/04 through 6/30/06.
- Swanson, Mark A., College of Agriculture, Assistant Research Professor, Community and Leadership Development, 7/1/04 through 6/30/05.
- Tabb, Thomas N., College of Medicine, Assistant Professor*, Obstetrics and Gynecology, 7/1/04 through 6/30/05.
- Tanaka, Keiko, College of Agriculture, Assistant Professor, Community and Leadership Development, 7/1/04 through 6/30/05.
- Tannock, Lisa R., College of Medicine, Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Teaster, Pamela B., College of Public Health, Assistant Professor, Health Behavior, 7/1/04 through 6/30/05.
- Thibault, Olivier H., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/04 through 6/30/05.
- Thompson, Angelia F., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/04 through 6/30/05.
- Tolbert, Thomas R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Tri, Mary, College of Arts and Sciences, Lecturer, English, 7/1/04 through 6/30/05.
- Truitt, Robert, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Tyas, Suzanne L., College of Public Health, Assistant Professor, Epidemiology, 7/1/04 through 6/30/05.
- Umberger, Brian, College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/04 through 6/30/05.
- Vallance, R. Ryan, College of Engineering, Assistant Professor (visiting), Mechanical Engineering, 7/1/04 through 6/30/05.

*Special Title series

Wainwright, Mark A., College of Medicine, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/04 through 6/30/05.

Walmsley, Lee A., College of Nursing, Lecturer, 7/1/04 through 6/30/05.

Wang, Changzheng, College of Agriculture, Assistant Adjunct Professor, Animal Sciences, 7/1/04 through 6/30/05.

Webb, Nancy R., College of Medicine, Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.

Wheeler, Rebecca T., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/04 through 6/30/05.

White, Jacqueline R., College of Communications and Information Studies, Assistant Professor*, Library and Information Science, 7/1/04 through 6/30/05.

Whiting, Jason B., College of Agriculture, Assistant Professor, Family Studies, 7/1/04 through 6/30/05.

Wild, Susan L., Libraries, Librarian IV, 7/1/04 through 12/31/04.

Wilson, Annabelle R., College of Fine Arts, Assistant Professor (temporary), Art, 8/1/04 through 6/30/05.

Wilson, Timothy C., College of Medicine, Assistant Professor*, Surgery, 7/1/04 through 6/30/05.

Woodrum, Kim, College of Arts and Sciences, Lecturer, Chemistry, 7/1/04 through 6/30/05.

Woods, Sheila H., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/04 through 6/30/05.

Xun, Chang-Qing, College of Medicine, Assistant Professor, Internal Medicine, 7/1/04 through 6/30/05.

Young, William F., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/04 through 6/30/05.

Zirkle, Ross E., College of Fine Arts, Assistant Professor, Art, 7/1/04 through 6/30/05.

Zunshine, Lisa, College of Arts and Sciences, Assistant Professor, English, 7/1/04 through 6/30/06.

E. PROMOTIONS WITHOUT TENURE

Bernard, Andrew C., College of Medicine, Clinical Instructor, Surgery, to Assistant Professor*, Surgery, 7/1/04 through 6/30/05.

Feddock, Christopher A., College of Medicine, Clinical Instructor, Internal Medicine, joint appointment as Clinical Instructor, Pediatrics, to Assistant Professor*, Internal Medicine, joint appointment as Instructor*, Pediatrics, 7/1/04 through 6/30/05.

Hassan, Zaki U., College of Medicine, Clinical Instructor, Anesthesiology, to Clinical Assistant Professor, Anesthesiology, 6/14/04 through 6/30/04.

Hoellein, Andrew R., College of Medicine, Clinical Instructor, Internal Medicine, to Assistant Professor*, Internal Medicine, 7/1/04 through 6/30/05.

*Special Title series

Iser, Alison, College of Medicine, Clinical Instructor, Family Practice and Community Medicine, to Clinical Assistant Professor, Family Practice and Community Medicine, 7/1/04 through 6/30/05.

F. CHANGES

- Allweiss, Pamela H., College of Medicine, from Assistant Professor*, Preventive Medicine and Environmental Health, joint appointments as Assistant Professor*, Family Practice and Community Medicine and Assistant Professor*, Physical Medicine and Rehabilitation, to Assistant Professor*, Preventive Medicine and Environmental Health, College of Public Health, joint appointments as Assistant Professor*, Family Practice and Community Medicine, College of Medicine and Assistant Professor*, Physical Medicine and Rehabilitation, College of Medicine, 5/5/04 through 6/30/04.
- Atwood, Katharine A., College of Medicine, from Assistant Professor (part-time), Kentucky School of Public Health, to Assistant Professor (part-time), Health Behavior, College of Public Health, 5/5/04 through 6/30/04.
- Bausch, Margaret, College of Education, from Assistant Research Professor, Special Education and Rehabilitation Counseling, to Assistant Professor, Special Education and Rehabilitation Counseling, 8/1/04 through 6/30/05.
- Belendez, Zaida R., College of Medicine, from Assistant Professor*, Kentucky School of Public Health, to Assistant Professor*, Health Behavior, College of Public Health, 5/5/04 through 6/30/04.
- Botiller, Bernard, College of Medicine, from Physician Assistant, Internal Medicine, to Sr. Physician Assistant, Internal Medicine, effective 5/10/04.
- Caldwell, Glyn G., College of Medicine, from Assistant Professor (part-time), Kentucky School of Public Health, to Assistant Professor (part-time), Epidemiology, College of Public Health, 5/5/04 through 6/30/04.
- Costich, Julia F., College of Medicine, from Assistant Research Professor, Kentucky School of Public Health, joint appointment as Assistant Research Professor, Kentucky School of Public Health, to Assistant Research Professor, Health Services Management, College of Public Health, 7/1/04 through 6/30/05.
- Curd, Philip R., College of Medicine, from Assistant Professor (part-time), Preventive Medicine and Environmental Health, joint appointment as Assistant Professor (part-time), Family Practice and Community Medicine, to Assistant Professor (part-time), Preventive Medicine and Environmental Health, College of Public Health, joint appointment as Assistant Professor (part-time), Family Practice and Community Medicine, College of Medicine, 5/5/04 through 6/30/04.
- Danner, Deborah D., College of Medicine, from Assistant Research Professor, Preventive Medicine and Environmental Health, to Assistant Research Professor, Preventive Medicine and Environmental Health, College of

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- Public Health, joint appointment as Assistant Research Professor, Family Practice and Community Medicine, College of Medicine, 5/5/04 through 6/30/04.
- Gibson, James L., College of Design, from Instructor (part-time), Architecture, to Instructor (temporary), Architecture, 7/1/03 through 6/30/04.
- Gore, David R., College of Dentistry, from Assistant Professor (temporary), Oral Health Practice, to Assistant Professor (part-time), Oral Health Practice, 8/1/04 through 6/30/05.
- Graham, George J., College of Medicine, from Assistant Research Professor, Kentucky School of Public Health, to Assistant Research Professor, Health Services Management, College of Public Health, 5/5/04 through 6/30/04.
- Guttmann, Rodney, College of Medicine, from Assistant Professor, Physiology, to Assistant Professor, Gerontology, College of Public Health, 5/5/04 through 6/30/04.
- Hanna, Marie N., College of Medicine, from Clinical Assistant Professor, Anesthesiology, to Assistant Professor (part-time), Anesthesiology, 7/1/04 through 6/30/05.
- Hopenhayn, Claudia, College of Medicine, from Assistant Professor, Kentucky School of Public Health, to Assistant Professor, Epidemiology, College of Public Health, 5/5/04 through 6/30/04.
- Jones, Jeffery A., College of Medicine, from Assistant Research Professor, Kentucky School of Public Health, to Assistant Research Professor, Health Behavior, College of Public Health, 5/5/04 through 6/30/04.
- Kad, Surinder K., College of Medicine, from Assistant Professor (part-time), Kentucky School of Public Health, to Assistant Professor (part-time), Health Services Management, College of Public Health, 5/5/04 through 6/30/04.
- Lee, Kiyong, College of Medicine, from Assistant Professor, Preventive Medicine and Environmental Health, to Assistant Professor, Preventive Medicine and Environmental Health, College of Public Health, joint appointment as Assistant Professor, Family Practice and Community Medicine, College of Medicine, 7/1/04 through 6/30/05.
- Mendonado, Marta S., College of Medicine, from Assistant Research Professor, Kentucky School of Public Health, to Assistant Research Professor, Biostatistics, College of Public Health, 5/5/04 through 6/30/04.
- Mullen, Nancy K., College of Medicine, from Assistant Professor (part-time), Pediatrics, 4/1/04 through 6/30/04, to Assistant Professor (part-time), Pediatrics, 4/14/04 through 6/30/04.
- Polly, Patricia, College of Medicine, from Administrative Staff Officer, III, to College of Medicine Finance Director, Office of the Dean, effective 5/15/04.
- Savage, Joe S., College of Engineering, from Instructor (part-time), Electrical and Computer Engineering, to Assistant Adjunct Professor, Electrical and Computer Engineering, 7/1/04 through 6/30/07.

- Spengler, Susan E., College of Medicine, from Assistant Professor (part-time), Preventive Medicine and Environmental Health, to Assistant Professor (part-time), Preventive Medicine and Environmental Health, College of Public Health, joint appointment as Assistant Professor (part-time), Family Practice and Community Medicine, College of Medicine, 5/5/04 through 6/30/04.
- Talwalkar, Vishwas R., College of Medicine, from Assistant Professor*, Surgery, to Clinical Assistant Professor, Surgery, 7/1/04 through 6/30/05.
- Teaster, Pamela B., College of Medicine, from Assistant Professor, Kentucky School of Public Health, to Assistant Professor, Health Behavior, College of Public Health, 5/5/04 through 6/30/04.
- Thomas, Brian N., College of Agriculture, from Instructor (temporary), Agricultural Economics, to Instructor (part-time), Agricultural Economics, 8/16/04 through 12/31/04.
- Tyas, Suzanne L., College of Medicine, from Assistant Professor, Kentucky School of Public Health, to Assistant Professor, Epidemiology, College of Public Health, 5/5/04 through 6/30/04.
- West, Jennifer L., College of Nursing, from Clinical Instructor, to Instructor (part-time), 7/1/04 through 6/30/05.

G. TERMINAL REAPPOINTMENTS

- Badaker, Viktor, College of Engineering, Assistant Research Professor, Mining Engineering, 7/1/04 through 6/30/05.
- Belendez, Zaida R., College of Public Health, Assistant Professor*, Health Behavior, 7/1/04 through 6/30/05.
- Brown, Stephen A., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/04 through 6/30/05.
- Carrithers, Stephen L., College of Medicine, Assistant Research Professor, Internal Medicine, joint appointment as Assistant Research Professor, Physiology, 7/1/04 through 6/30/05.
- Chandra, Uday, College of Business and Economics, Assistant Professor, Accountancy, 7/1/04 through 6/30/05.
- Deo, Sapna, College of Arts and Sciences, Assistant Research Professor, Chemistry, 7/1/04 through 6/30/05.
- Downie, A. B., College of Agriculture, Assistant Professor, Horticulture, 7/1/04 through 6/30/05.
- Grossmann, Etienne G., College of Engineering, Assistant Research Professor, Computer Science, 7/1/04 through 6/30/05.
- Herrin, David W., College of Engineering, Assistant Research Professor, Mechanical Engineering, 7/1/04 through 6/30/05.
- Kim, Seongjai, College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/04 through 6/30/05.
- King, Victoria L., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/04 through 6/30/05.

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Kirsh, Kenneth L., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/04 through 6/30/05.
Rosenthal, M. Sara, College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/04 through 6/30/05.
Salazar, Abraham J., College of Engineering, Assistant Research Professor, Mechanical Engineering, 7/1/04 through 6/30/05.
Uebel, Michael, College of Arts and Sciences, Assistant Professor, English, 7/1/04 through 6/30/05.
Ueland, Frederick R., College of Medicine, Assistant Professor*, Obstetrics and Gynecology, 7/1/04 through 6/30/05.
White, Carol R., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/04 through 6/30/05.
Zhong, Li, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/04 through 6/30/05.

H. LEAVES OF ABSENCE

Allweiss, Pamela H., College of Public Health, Assistant Professor*, Preventive Medicine and Environmental Health, joint appointments as Assistant Professor*, Family Practice and Community Medicine and Assistant Professor*, Physical Medicine and Rehabilitation, Sabbatical leave at half salary, 8/15/04 through 2/14/05.
Carrithers, Stephen L., College of Medicine, Assistant Research Professor, Internal Medicine, joint appointment as Assistant Research Professor, Physiology, Miscellaneous leave without pay, 5/1/04 through 10/31/04.
Carter, Lisa R., Libraries, Librarian II (with tenure), Sabbatical leave at full salary, 12/1/04 through 5/31/05.
Chard, Kathleen, College of Education, Associate Professor (with tenure), Educational and Counseling Psychology, Leave without pay, 7/1/04 through 6/30/05.
Corso, Alberto, College of Arts and Sciences, Assistant Professor, Mathematics, Leave without pay, 1/1/05 through 6/30/05.
Gorbounov, Vassili, College of Arts and Sciences, Associate Professor (with tenure), Mathematics, Scholarly fellowship leave, 7/1/04 through 12/31/04.
Herring, George, College of Arts and Sciences, to change from Sabbatical leave at half salary, 7/1/04 through 6/30/05, to Sabbatical leave at full salary, 7/1/04 through 12/31/04.
Hobusch, Harald, College of Arts and Sciences, Associate Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, Sabbatical leave at full salary, 1/1/05 through 6/30/05.
Hogg, Gordon E., Libraries, Librarian I (with tenure), Sabbatical leave at full salary, 7/1/05 through 12/31/05.
Holsinger, James W., College of Medicine, Professor (with tenure), Internal Medicine, joint appointments as Professor (without tenure), Anatomy and Neurobiology, Professor (without tenure), Surgery, and Professor

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- (without tenure), Health Services, College of Health Sciences,
Miscellaneous leave without pay, 7/1/04 through 6/30/05.
- Huston, Roger L., Office of the Provost, Planning/Budget Director, Provost
Budget Office, Leave with partial salary, 7/1/04 through 6/30/05.
- McCormick, Katherine, College of Education, Associate Professor (with tenure),
Special Education and Rehabilitation Counseling, Sabbatical leave at
full salary, 1/1/05 through 6/30/05.
- Nordin, Virginia Davis, College of Education, Associate Professor (with tenure),
Educational Policy Studies and Evaluation, Sabbatical leave at half
salary, 1/1/05 through 12/31/05.
- Olster, David, College of Arts and Sciences, Professor (with tenure), History,
Scholarly fellowship leave, 7/1/04 through 6/30/05.
- Pertusa-Seva, Inmaculada, College of Arts and Sciences, Associate Professor*
(with tenure), Hispanic Studies, Leave without pay, 7/1/04 through
6/30/05.
- Toma, E., Graduate School, Professor (with tenure), Martin School of Public
Policy and Administration, Sabbatical leave at full salary, 8/16/04
through 5/15/05.
- Wackerbarth, Sarah, Graduate School, Associate Professor (with tenure), Martin
School of Public Policy and Administration, joint appointments as
Associate Professor (without tenure), Behavioral Science, College of
Medicine and Associate Professor (without tenure), College of
Pharmacy, Sabbatical leave at full salary, 7/1/04 through 12/31/04.
- Zurn, Christopher, College of Arts and Sciences, Assistant Professor, Philosophy,
Scholarly fellowship leave, 7/1/04 through 6/30/05.

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EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTIONS

A. CHANGES

Ross, Joseph J., from Technical Administrator III, Hospital-Data Systems, to Information Technician Manager III, Hospital-Medical Center Information Services, effective 4/18/04.

B. LEAVE OF ABSENCE

Birdwhistell, Mark, Associate Hospital Director, Hospital Administration, leave of absence without pay January 2, 2004 through June 30, 2005.

C. EARLY RETIREMENT

Clouse, Pamela G., Nurse Clinical II, Nursing/Pediatric ICU after 18 years of consecutive service, effective 4/16/04.

Farris, Naomi M., Anesthesia Assistant, Anesthesia Services, after 40 years, 11.5 months of consecutive service, effective 6/25/04.

Kinnaird, Sally W., Nurse Clinical III, Nursing Practice Services, after 23 years, 11 months of consecutive service, effective 6/11/04.

Shuler, Marilyn, Certified Operating Room Technician, Operating Room Services, after 17years, 2 months of consecutive service, and a total of 23 years, 4 months, effective 5/7/04.

Woolfolk, Mildred, Nursing Care Technician, Markey Cancer Center, after 16 years of consecutive service, effective 6/30/04.

D. POST-RETIREMENT APPOINTMENTS

Becknell, Wanda, Registered Nurse (on-call), Psychiatry, July 1, 2004 through June 30, 2005.

Blair, Ruth, Registered Nurse (on-call), Obstetrics, April 18, 2004 through June 30, 2005.

Brock, Linda, Patients Service Coordinator (on call), Obstetrics, July 1, 2004 through June 30, 2005.

Carter, Cynthia W., Registered Nurse (on-call), Neonatal Intensive Care Unit, July 1, 2004 through June 30, 2005.

Dahlstorm, Peggy, Registered Nurse (on-call), Children's Hospital, July 1, 2004 through June 30, 2005.

Giovanetto, Laurie, Registered Nurse (on-call), Obstetrics, July 1, 2004

through June 30, 2005.

Hayter, Midori, Japanese Interpreter, UK Healthcare Plans, June 1, 2004
through June 30, 2005.

Jones, Geneva Jones, Nursing Care Technician (on-call), Children's Hospital,
July 1, 2004 through June 30, 2005.

Kazee, Melody, Registered Nurse (on-call), Children's Hospital,
July 1, 2004 through June 30, 2005.

Kinnaird, Sally, Registered Nurse (on-call), Children's Hospital, July 1, 2004
through June 30, 2005.

Larimore, Ree, Nursing Care Technician (on-call), Obstetrics, July 1, 2004
through June 30, 2005.

EXECUTIVE VICE PRESIDENT FOR RESEARCH

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Ormsbee, Lindell E., College of Engineering, Professor, Civil Engineering, appointed Director, Kentucky Water Resources Research Institute, 7/1/04 through 6/30/10.

B. CHANGES

Hampson, Steven K., Kentucky Water Resources Research Institute, from Scientist III to Scientist IV, effective 5/1/04.

C. POST-RETIREMENT APPOINTMENTS

Patricia Berdine, Interdisciplinary Human Development Institute, Disability Program Specialist I (part-time), 7/1/04 through 6/30/04.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Parris, Thomas M., Kentucky Geological Survey, Geologist IV, effective 5/17/04.

Teegavarapu, Ramesh, Kentucky Water Resources Research Institute, Scientist III, effective 5/10/04.

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PR 3

Office of the President
June 22, 2004

Members, Board of Trustees:

REAPPOINTMENT TO BOARD OF DIRECTORS
OF THE FUND FOR ADVANCEMENT OF EDUCATION AND RESEARCH IN THE
UNIVERSITY OF KENTUCKY ALBERT B. CHANDLER MEDICAL CENTER

Recommendation: that approval be given to the reappointment of Mira Ball for a two-year term ending June 30, 2006 to the Board of Directors of The FUND for Advancement of Education and Research in the University of Kentucky Albert B. Chandler Medical Center.

Background: The Articles of Incorporation of The Fund for Advancement of Education and Research in the University of Kentucky Albert B. Chandler Medical Center require two-year-term Board of Director appointments by the Board of Trustees of the University Kentucky.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 4

Office of the President
June 22, 2004

Members, Board of Trustees:

APPOINTMENT/REAPPOINTMENT OF BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that approval be given to the appointment of Phillip R. Patton (trustee member) for a three-year term ending June 30, 2007 and the reappointment of Kenneth Roberts (faculty member) for a three-year term ending June 30, 2007 to the Board of Directors of the University of Kentucky Research Foundation (UKRF).

Background: In accordance with the Bylaws, members of the Board of Directors of the University of Kentucky Research Foundation are appointed by the Board of Trustees to serve three-year terms. The Nominating Committee of the UKRF Board has recommended and the UKRF Board of Directors has endorsed the appointment of Phillip R. Patton and the reappointment of Kenneth Roberts.

Action Taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 5

Office of the President
June 22, 2004

Members, Board of Trustees:

AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY
AND KENTUCKY MEDICAL SERVICES FOUNDATION

Recommendation: that the Board of Trustees approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. (KMSF) which was negotiated pursuant to the “Board of Trustees Resolution Regarding Medical Practice Plan” adopted on June 20, 1978 and later amended; and that the Board of Trustees authorize the President to negotiate and execute renewals of this agreement in future years unless the terms of the agreement are significantly modified in such a manner that the President or KMSF determine that Board of Trustee approval is necessary.

Background: The Board action on June 20, 1978 provided that:

The President is authorized to negotiate and execute a contract subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

Kentucky Medical Services Foundation, Inc. meets the “criteria” specified under Section 3 for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20, 1978 Board resolution and specifically satisfies the “conditions” set forth in Section 3B.

The attached agreement contains only minor modifications to the 2003-2004 agreement. The modifications include updating the dates to reflect “2004-2005” and revision of Section 6B to establish payment dates for certain services under this agreement.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

THIS AGREEMENT made and entered into this 10th day of May 2004 by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq. of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as Foundation).

WITNESSETH:

Section 1. RECITALS

A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

B. University faculty in the clinical departments or units of the College of Medicine have heretofore executed agreements with Foundation and University, known as "Practice Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.

C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members

who produce income are permitted, through the medium of Foundation, to exercise a larger voice in the expenditure of the funds so produced.

E. The University has heretofore established, a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995; said action is hereby incorporated by reference as if set out in full herein.

F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

Section 2. DEFINITIONS

A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University dated June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995.

B. "Clinical Income" means the following income attributable to members of the Plan: (1) all compensation, income and payments (direct or in kind and whether characterized as fees, retainers, or otherwise) for professional services rendered or to be rendered, including, but not limited to those relating to the diagnosis, treatment or evaluation of patients or others and consultation; (2) all payments whether direct or in kind (excluding actual travel costs) for providing advice, consultation, serving on boards or committees, overseeing, supervising or other participation with any person or entity involved with (a) health or medical care, (b)

evaluation or care of patients, (c) drugs, (d) therapy of any kind, (e) accident or disease prevention, (f) health or medical care facilities, (g) programs involving health or medical care or (h) health or medical care of any other type; (3) witness fees and payments relating to depositions, testimony or other evaluations in the capacity of a witness; and (4) all other income which relates to or would not exist but for the professional education, experience, or training of members of the Plan. The Dean has the authority to approve exceptions to clinical income and is required to document the nature of the exception and the reason. Provided, however, that nothing contained herein shall be deemed to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice Agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University, and Foundation.

D. "University" as used herein, whenever approvals or consents are necessary, shall mean the approval or consent of the President, unless the President determines that approval by the Board of Trustees is necessary.

Section 3. NATURE OF THE AGREEMENT

Pursuant to Paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under University's "geographic full-time medical services plan".

Section 4. BILLING AND COLLECTION

Foundation agrees that it will accept, pursuant to practice agreements, the assignment of all clinical income by participants in the plan and, in accordance with such assignment, agrees to bill for professional services to parties determined to be responsible for payment for such services .

Section 5. PAYMENTS TO UNIVERSITY

A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.

B. Foundation agrees to pay to University, as reimbursement for the expenses attendant to the production of clinical income, University's actual annual costs, as determined by clinical department or unit expenditures as reimbursement of direct clinic personnel expenses and other current operating expenses, in direct support of the operation of the Kentucky Clinics.

Payments hereunder shall be in the following manner:

The University will submit statements of actual expenditures for each month by the 15th day of the succeeding month. Foundation will reimburse the University of Kentucky by the 24th day of that month.

C. In addition to the above, Foundation agrees that it will make an unrestricted grant to the University of an amount which is not less than the plan component of income for each member as described in paragraph II, C., 2 of the plan. Said grant will be paid in monthly installments.

D. Foundation agrees that it will make an unrestricted grant to the University for non clinic expenses as reasonably submitted by the clinical departments such as other department personnel and current department operating expenses as indicated on such submissions.

Payments hereunder shall be made in the following manner:

University will submit statements of actual expenditures for each month by the 15th day of the succeeding month. Foundation will make a grant to the University of Kentucky by the 24th day of that month.

Section 6. REIMBURSEMENT OF FOUNDATION

A. The parties recognize that University receives certain services from Foundation, for which it is due reimbursement as specified herein below.

B. University agrees to pay to Foundation on behalf of members of the Department of Diagnostic Radiology for the provision of x-ray interpretation services to the University Health Service during the period July 1, 2004 - June 30, 2005 a sum not to exceed Twenty One Thousand Seven Hundred Ninety Five Dollars (\$21,795.00), for a maximum of 2,250 examinations. For any interpretations beyond this amount, a fee of \$10 per examination will be paid to Diagnostic Radiology. This reconciliation of "excess" examinations, if any, will occur within 30 days after the end of the fiscal year.

C. University agrees to pay to Foundation on behalf of the applicable department of the College of Medicine, for provision of specified physical examinations to certain University employees, a fee for each examination.

D. University agrees to pay Foundation on behalf of clinical faculty members of the Department of Pathology and Laboratory Medicine, for provision of pathology services to the University Health Service, a fee of \$6.00 per patient for pap smears, whether normal or

abnormal, for the professional component. All other pathology professional services are to be reimbursed at 50% of the usual and customary charge for each service rendered.

E. University will make payment to Foundation quarterly, on the last calendar day of each quarter beginning September 30, 2003, for services as described in paragraph B hereinabove. University will make payment to Foundation monthly, within 30 days of invoice date each month beginning July 31, 2004, for services described in paragraphs C and D.

F. University agrees to share in the costs of the salary and benefits and other related expenses for a Compliance Analyst III to be an employee of Foundation, such sharing to be established by agreement between the UK Compliance Officer and the KMSF Compliance Officer.

Section 7. COST SETTLEMENTS

A. The parties recognize that the provision of medical services in the current competitive environment may at times require a joint effort on the part of the University and University Hospital and Foundation in the formation of new delivery systems. These efforts may at times require the sharing of cost between the parties. Formulas for the sharing will be developed and mutually agreed to by the parties, which may result in payment by the University to the Foundation, or by the Foundation to the University.

Examples of such joint efforts include but are not limited to:

1. The operation of the UK Health Care Plans, including the UK-HMO.
2. The operation of certain marketing efforts.
3. Payment to the Fund for Advancement of Education and Research for the Medical Center for services rendered to clinical departments or units of the College of Medicine by members of practice plans of the Colleges of Health Sciences, Dentistry, Nursing, or Pharmacy.

B. The parties also agree that data exchanges between the computer systems, regardless of mode, of the University and Foundation will be payable at cost by the receiving party, or offset by an exchange of data deemed by both parties to be payment-in-kind.

C. Foundation may acquire by purchase, lease, or other means real and/or personal property to be utilized in the production of clinical income resulting from teaching, research and service functions performed by the members of the clinical departments. Acquisition of said real and/or personal property must be authorized by the Board of Directors of Foundation.

Section 8. ACADEMIC ENRICHMENT FUND

A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, Foundation agrees that it will pay to The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (hereinafter Fund), an amount equal to two percent (2%) of the actual clinical income collected by said Foundation. The Fund will deposit said payments in an account maintained for the purpose of providing an academic enrichment fund to be used by the Dean of the College of Medicine in support of the programs of said College and for related purposes. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to the Fund within twenty-five (25) days after the close of each monthly accounting period.

B. Foundation further agrees that it will deposit, in an account maintained by Foundation, on the same basis as provided in subsection A. herein above, an additional amount equal to eight percent (8%) of the actual clinical income collected by said Foundation, said funds to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes at his sole discretion.

C. Foundation further agrees that it will deposit in an account maintained by Foundation any payments obtained by intergovernmental transfers related to supplemental payments to the College of Medicine under State Medicaid Programs. Upon receipt of such payments, any funds previously expended by the Dean to qualify for supplemental payments under this paragraph (as documented by the Dean to the Foundation by memorandum in advance of the funds transfer) will be transferred to the account described in Subsection B. The remainder of the funds will be designated to be used by the Dean of the College of Medicine for the further development of clinical programs of the College and for related purposes. The Dean is responsible for developing a process by which these funds may be expended in accordance with applicable state and federal laws and regulations. These funds will not be subject to the charges identified in Section 17A. Foundation agrees that funds deposited in this account shall be invested consistent with the University policy for investment of short-term funds and any investment income derived from balances attributed to this fund shall be credited to said account.

Section 9. PARTICULAR COVENANTS OF THE FOUNDATION

A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on June 19, 1978, as amended have been inspected by the University as a condition precedent to University's entry into this agreement. Foundation covenants that it will not, absent the written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.

B. Foundation covenants it will not engage in the practice of medicine, nor will it without the written consent of the University solicit, administer, receive, perform, or accept any gift, grant, devise or bequest with or from any governmental unit, entity, or subdivision or any

person, corporation, partnership, association or other entity whatsoever except as specifically permitted herein or as necessary for normal business operations. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.

C. Foundation covenants that it will not without the written consent of the University merge with any other corporation or convey all or substantially all of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as follows: (a) all liabilities and obligations of the corporation shall be paid, satisfied and discharged, or adequate provisions shall be made therefore; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned, transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky, or its successor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality of the State of Kentucky or as an exempt organization under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, or scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended.

Section 10. MEMBERSHIP AND BENEFITS

A. University agrees that it will assist the Foundation to enforce the terms of the Practice Agreements entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.

B. University agrees that it will require, as a condition of employment as a member of University's faculty in a clinical department or unit that members of its faculty execute Practice Agreements and Assignments in the form of same which may be modified by mutual agreement of the parties hereto from time to time.

C. Foundation agrees that it will not, absent the written consent of University, bill for, collect or administer any item of income for any person or entity not holding membership in the plan, nor will it permit persons not members of the plan to serve as voting members on its Board of Directors, nor will it provide any benefit or other thing of value to any person or entity not a member of the plan except (1) termination benefits to former members as provided by action of Foundation's Board of Directors; (2) incidental benefits indirectly accruing to employees of the Medical Center by virtue of Foundation's activities; (3) students and House Staff of University; (4) payment to nurses incident to their recruitment to the University Medical Center; (5) education and technical training costs of persons involved in patient care activities; (6) education and technical training costs of persons indirectly involved in patient care activities if approved on a case by case basis by the Dean of the College of Medicine or the EVPHA for the Medical Center; (7) to persons who engage the services of the Foundation pursuant to the provisions of Section 22 - Additional Activities; (8) to persons whose employment is authorized by Section 11.B.; (9) payments in the ordinary course of its business or (10) benefits or other

things of value approved or ratified by the Foundation's Board of Directors but which do not violate any express prohibition in any other section of this Agreement.

D. In certain contractual relationships for the provisions of patient care services it may be necessary or advantageous to present a unified billing, incorporating charges from a University patient care organizational entity(ies) and faculty physicians. In such cases, the Foundation is authorized to bill and collect on behalf of the University patient care organizational entity(ies) provided a proposed unified billing arrangement has been developed and agreed to by the parties and has been reviewed by University legal counsel.

Examples of such unified billing include but are not limited to:

1. Charges for hospital and physician services under the terms of agreements with insurance companies or managed care plans for transplantation services, or other services reimbursed on a negotiated global rate basis.
2. University of Kentucky Health Care Plans.

E. Foundation shall assume responsibility for the costs of drugs and supplies to be used in the patient care programs in the clinics and is hereby authorized to bill, collect, and deposit in Foundation accounts revenue from these non ancillary items.

F. Foundation agrees that it will strictly enforce the terms of its Practice Agreements and Assignments, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.

Section 11. PERSONNEL

The parties recognize that the Foundation must employ various individuals to carry out its functions hereunder; however, the parties also recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary or appropriate to permit said Foundation to bill and collect professional fee income, to administer its funds in accord with the terms of the geographic full-time plan, and to fulfill any other authorized activities of Foundation.

The Foundation is authorized to house computer equipment as may be required to communicate with its central computer and house sufficient employees in the Kentucky Clinic Buildings and other facilities used by University physicians for the purpose of providing billing and financial information to patients of University physicians who are members of the plan and other activities as mutually agreed. In addition, Foundation is also authorized to utilize designated storage space in the basement of the Kentucky Clinic Building for information necessary to the billing function.

B. Other personnel

During the term of this contract Foundation agrees that, except as otherwise approved by the EVPHA for the Medical Center and the Dean of the College of Medicine, it will not have any employees other than the employees authorized in Section 11. A. to perform services in University's patient care or college programs. Provided, however, that this provision shall not be construed to prohibit specific agreements between the parties whereby Foundation will employ personnel for service duties which generate income, provided, however, that such professionals may be employed only upon the recommendation and approval of the Dean of the College of Medicine and approval of same by the EVPHA for the Medical Center, with payment of salary and related costs to be from income produced by such professional activity.

C. Equal opportunity-affirmative action

The Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age, handicap or disability. The Foundation agrees that it will take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age, handicap, or disability. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, age, handicap, or disability. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University, including the individual members thereof, officers, agents and employees of the University, by reason of any action by law or equity or by reason of any administrative proceeding initiated by any person

arising out of any such employment action or practice of the Foundation, including the payment of attorney fees and other costs of such action or proceeding.

Section 12. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, require that institutional control be maintained with respect to direction and supervision of institutional activities and programs, assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.

Accordingly, Foundation and University agree that University will provide space within the University Hospital, Kentucky Clinic and other space officially designated for the conduct of the patient care operations.

With respect to any other facilities that University may from time to time provide to Foundation (other than Leader Avenue or Gazette Avenue property), Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same. All rights of Foundation with respect to 134, 136, 138, 144, 148, and 150 Leader Avenue and any other property it may now or hereafter lease or use on Leader Avenue or Gazette Avenue shall be governed, to the extent applicable, by the separate agreement dated April 20, 2001 and any other agreement(s) executed in the future by the parties hereto. In the event of any conflict between such separate agreement(s) and this agreement such separate agreement(s) shall govern and control whether relating to Leader Avenue property or Gazette Avenue property, the existing Foundation office building, parking lots or any other matter.

Section 13. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of University programs, are and shall remain the property of University and that Foundation has no right or property interest therein, provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty, staff, and students pursuant to existing University procedures. Further, the University agrees to provide or make available to Foundation such information and data from patient records and program data maintained by University as may be necessary for Foundation to perform its billing and collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payers as the basis for payment for services.

Section 14. INSPECTION AND AUDIT

A. Foundation agrees that, in accord with paragraph II, B. 1, of the action of University's Board of Trustees dated June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, its books and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

B. The parties agree that the University's Internal Auditors may conduct an audit of Foundation's operations and accounts for the period ending June 30, 2004 and such other audits, including audits to determine compliance with this agreement, the terms of the action of University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, the terms of the departmental agreements referred to in Section 20 hereof, as may be deemed necessary or advisable by the University of Kentucky Controller and Treasurer, and that Foundation's agents and employees will cooperate to the

fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants, mutually agreeable to the parties, will be employed by the Foundation to perform an independent audit of the Foundation's books, records and accounts for the year ended June 30, 2004.

C. The Parties agree that Foundation will be furnished information by University sufficient for Foundation to verify the nature and detail of expenditure of funds paid to University under the provisions of Section 5 B. hereinabove.

Foundation agrees that it will furnish to University the following reports.

Annually

Audited Financial Statements to include:
Opinion of Independent Certified Public Accountants
Balance Sheet
Statement of Changes in Fund Balances
Statement of Current Revenues and Expenditures
Supplementary Schedules

Quarterly

Financial Statements to include:
Balance Sheet
Statement of Operations
Gross Billings
Collections
Financial Allowances
Bad Debts
Aging Trial Balance of Accounts Receivable upon request of University
Treasurer, by:
Patient liability
Third party payer
Reports of Delinquent Account Activity upon written request of University
Treasurer to include:
Referrals
Collections

Section 15. DEFAULT

A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to

Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against Foundation in any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such additional time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.

B. University may at its election terminate this agreement with respect to any department without termination of the entire agreement for the same reasons and in the same manner as provided hereinabove.

Section 16. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student body is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program.

University agrees that, when so acting, said faculty, house staff and students shall be considered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941 or other University funds.

Section 17. MANAGEMENT AND INVESTMENT POLICIES

A. The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of clinical income and the provision of a sum equal to ten (10%) percent (which sum is the ten (10%) percent described in Sections 8A. and B.) of Foundation's gross clinical income received for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred for costs of administration and in billing and collecting said income and providing for the central administration of Foundation. The fourth charge being other expenses which are incurred by the Foundation.

B. Foundation agrees that funds deposited in a separate account pursuant to Section 8B., Academic Enrichment Fund shall be invested consistent with the University Policy for investment of short term funds.

Foundation shall be entitled to the investment income derived from said account, provided however investment income derived from balances attributed to the academic enrichment fund identified in subparagraph A. and Section 8B. hereinabove shall be credited to said account.

C. Foundation agrees that it shall maintain a separate account pursuant to its contributing for self-insurance identified in Section 18B. to be invested consistent with the University's policy for investment of endowed funds with all income to be accumulated in said separate account. The investment plan of Foundation for said separate account is subject to the approval of the University's Treasurer.

D. Notwithstanding anything contained herein to the contrary, the Dean of the College of Medicine may waive or reduce the charges referred to in Section 8 hereinabove (and

elsewhere herein by reference to Section 8) relative to such clinical income as he may from time to time determine.

Section 18. PROVISION OF PROFESSIONAL LIABILITY INSURANCE

A. Under the professional liability insurance program, Foundation agrees that it will pay the University, as reimbursement, for the physicians' pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee, of the costs of the following: (i) payments required pursuant to KRS 164.941 in one (1) lump sum payment within five (5) working days of receipt of amount due; (ii) costs of claims administration in twelve (12) equal installments, the first said installment being due and payable on July 31, 2004 and monthly thereafter until June 30, 2005; and (iii) the physicians' pro rata share of the costs of excess commercial insurance within five (5) working days of receipt of amount due.

B. In addition, Foundation has agreed that between July 1, 2004 and June 30, 2005, if required by the Medical Malpractice Claims Committee, it will cause to be deposited in a separate account within the Foundation the physicians' pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee of the additional contributions for self insurance, said account together with interest accruing thereto to be held solely for the purpose of payment of legal fees, settlements, judgments arising for liabilities defined in KRS 164.941(3) and for lump sum payments referenced in previous paragraph 18A. No monies in said account shall be expended for any other purpose than as provided in this Section without the written agreement of University, nor shall such monies be encumbered, pledged or otherwise hypothecated. The monies in said account shall be invested only as provided in Section 17 hereof. Payment to the separate account may be in equal installments,

provided that the full pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee shall be deposited by June 30, 2005.

C. In sum, Foundation agrees to pay in both assessments and contributions of the pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee of the total program costs of 2004-2005 as determined by KRS 164.941 and the Medical Malpractice Claims Committee.

Section 19. ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation, after making provision for (1) the costs of its administration and costs relating to billing and collection; (2) reimbursement of University expenses pursuant to Section 5 hereof; (3) the College of Medicine Academic Enrichment Fund pursuant to Section 8 hereof; (4) provision of professional liability insurance pursuant to Section 18 hereof; (5) provision of payment of actual expenses incurred by it which are attendant to the production of clinical income; (6) such reserves as are reasonably determined by the Foundation's Board of Directors; and (7) other obligations which are agreed to by the Foundation and are made pursuant to any agreement with, at the written request of, approved, or ratified in writing by the University of Kentucky, agrees that it will maintain its accounts in such a manner that income attributable to the professional clinical activities of each of the following departments or divisions shall be shown separately on books maintained by the Foundation from any other fund or funds held by said Foundation:

- Anesthesiology
- Clinical Program Units
- Diagnostic Radiology
- Emergency Medicine
- Family Practice and Community Medicine
- Kentucky Clinic Hazard
- Kentucky Clinic South
- Internal Medicine

Neurology
Obstetrics and Gynecology
Ophthalmology
Pathology and Laboratory Medicine
Pediatrics
Preventive Medicine and Environmental Health
Psychiatry
Radiation Medicine
Physical Medicine and Rehabilitation
Surgery

B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned accounts only in accord with the departmental or divisional agreement relating to each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to time, as provided in said agreements, with the approval of the Dean of the College of Medicine and/or the EVPHA for the Medical Center. The Dean and the EVPHA have the authority to approve or disapprove all departmental or divisional agreements as deemed necessary.

Section 20. NOTICES

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

Dean of the College of Medicine
MN-150
University Medical Center
800 Rose Street
Lexington, Kentucky 40536-0084

In the case of Foundation to:

Bobby Rogers, Executive Director
Kentucky Medical Services Foundation, Inc.
138 Leader Avenue
Lexington, Kentucky 40508

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed served on the date it is deposited in the United States mails properly addressed and with postage fully prepaid.

Section 21. RECORDS ACCESS

Pursuant to Public Law 96-499, Section 952, the Foundation agrees to make available upon written request from the Secretary of Health and Human Resources, the Comptroller General, or the Department of Human Services of the State of Kentucky or any of their duly authorized representatives, access to this contract and all other service agreements written or oral entered into or renewed since December 5, 1980, and access to books, documents, and records necessary to verify costs of services provided by such arrangements exceeding \$10,000 in a 12-month period. Access will be permitted until four years have expired after completion of services furnished, and in accordance with the applicable federal regulations.

If the Foundation carries out any of the duties under this contract through a sub-contract with a related organization, with a value or cost of \$10,000 or more over a 12-month period, such sub-contract shall contain a clause to the effect that until the expiration of four years after the furnishing of such services pursuant to the subcontract, the related organization shall make available, upon written request from the Secretary or Comptroller General, or any of their duly

authorized representatives, the sub-contract, books, documents and records of such organization that are necessary to verify the nature and extent of such costs.

Section 22. ADDITIONAL ACTIVITIES

The University recognizes that in the changing health care delivery environment, the future condition of the University of Kentucky Medical Center and the University of Kentucky College of Medicine will depend on adjusting to those changes. The Foundation recognizes that it can assist the University to make these adjustments and that it should do so when such assistance can be provided without having a material negative impact on the Foundation's primary duty to bill and collect on behalf of faculty members who have executed practice agreements and assignments. Thus, subject only to the limitation that the Foundation not engage in the practice of medicine or duplicate other activities of the University of Kentucky Medical Center, the Foundation with the prior written approval of the University shall be entitled and is authorized to engage in and pay for the expenses of business activities of any and/or all types, (directly or indirectly, as an owner, investor or through a wholly or partly owned subsidiary, pursuant to a contract and/or joint venture and/or in any other manner) including but not limited to the provision of administrative services, a Management Services Organization (MSO), and other services to individuals and entities within and/or outside the Plan. It is also authorized with prior written approval of the University to incur obligations in connection therewith, as contemplated by or authorized pursuant to this Agreement. The University shall determine whether duplication of activities exists and a means for resolution of same.

Section 23. CORPORATE COMPLIANCE

Foundation affirms that it is not excluded from participation, and is not otherwise ineligible to participate in a “Federal health care program” as defined in 42 U.S.C. section 1320a-7b(f) or in any other state or federal government payment program. In the event that Foundation is excluded from participation, or becomes otherwise ineligible to participate in any such program, during the term of this agreement, Foundation will notify the University of Kentucky Chandler Medical Center, hereinafter “UKCMC”, Office of Compliance, A301 KY Clinic, Lexington, Kentucky 40536 in writing, by certified mail within 48 hours after said event, and upon the occurrence of any such event, whether or not appropriate notice is given, the University of Kentucky, shall have the right to terminate this Agreement upon written notice if such matter is not cured within a reasonable timeframe as determined at the sole discretion of the University.

Additionally, Foundation affirms that it is aware that UKCMC operates in accordance with a corporate compliance program, employs a Corporate Compliance Officer and operates a 24 hour, seven day a week compliance Comply-line. Foundation has been informed that a copy of the UKCMC compliance plan is on file in the purchasing office and that it is encouraged to review the plan during normal business hours. It is understood that should Foundation be found to have violated the UKCMC compliance plan, UKCMC can, at its sole discretion, terminate this Agreement upon written notice if such matter is not cured within a reasonable timeframe as determined at the sole discretion of the University. Foundation recognizes that it is under an affirmative obligation to immediately report to UKCMC’s Corporate Compliance Officer through the comply-line 1-877-898-6072, in writing, or directly (859) 323-6044 any actions by an agent or employee of UKCMC which Foundation believes, in good faith, violates an ethical, professional or legal standard.

Nothing in this Agreement contemplates or requires that any party act in violation of federal or state law. Nonetheless, should any term or condition set forth in this Agreement later be creditably alleged, suspected or determined to be illegal, the parties agree to immediately cease the questioned activity and negotiate modification to the effected portion of the Agreement for a thirty (30) day period. If at the end of this period, no compromise can be reached, the Agreement will terminate.

Section 24. NO THIRD-PARTY BENEFICIARIES

This Agreement shall not confer any right, remedy or benefit upon any employee of the University or the Foundation or any other person or entity other than the University and the Foundation.

Section 25. BUSINESS ASSOCIATE AGREEMENT

In the event Foundation or University in the opinion of either party's counsel are determined to be business associates under the Health Insurance Portability and Accountability Act of 1996 (HIPAA) or any of its regulations, the parties agree to timely enter into the appropriate business associate agreements.

Section 26. TERM

The term of this agreement shall begin on July 1, 2004 and end on June 30, 2005.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES OF
THE UNIVERSITY OF KENTUCKY

BY: _____
Lee T. Todd, Jr.
President
University of Kentucky

ATTEST: _____

KENTUCKY MEDICAL SERVICES
FOUNDATION, INC.

BY: _____
Robert M. Mentzer, Jr., M.D.
President

ATTEST: _____

RECOMMENDED BY:

Michael Karpf, MD
Executive Vice President for Health Affairs

Jay A. Perman, MD
Dean, College of Medicine and
Vice President for Clinical Affairs

PR 6

Office of the President
June 22, 2004

Members, Board of Trustees:

APPOINTMENT OF VICE PRESIDENT FOR UNIVERSITY INITIATIVES/
ASSOCIATE PROVOST FOR MULTICULTURAL AFFAIRS

Recommendation: that the Board of Trustees approve the appointment of Dr. William H. Turner as Vice President for University Initiatives/Associate Provost for Multicultural Affairs, effective July 1, 2004.

Background: In May 2004 the Board of Trustees approved the creation of the Vice President for University Initiatives/Associate Provost for Multicultural Affairs. An advisory committee reviewed the credentials of 11 applicants and forwarded Dr. Turner's name as one of two qualified candidates for the position.

Dr. Turner received his BA degree (1968) from the University of Kentucky and MA (1971) and Ph.D. (1974) from the University of Notre Dame for studies in sociology and anthropology. In 1966, as a member of its charter class, Turner studied at Howard University in the Ford Foundation and US Department of State-sponsored Foreign Affairs Scholars Program. Dr. Turner has held several postdoctoral appointments: Senior Fellow, University of Pennsylvania, Moton Center for Independent Studies (1975); Senior Fellow, Institute for Educational Leadership, George Washington University (1977); Senior Research Fellow, Institute for the Study of Educational Policy, Howard University (1978); and Postdoctoral Fellow, National Academy of Sciences/Ford Foundation, Duke University Center for the Study of Civil Rights and Race Relations) (1983).

Dr. Turner has held teaching, research, and administrative posts at several colleges and universities, including, Fisk University, Howard University, University of Kentucky, Kentucky State University, and Winston-Salem State University. He has been a Distinguished Visiting Professor at Berea College and Visiting Research Professor at Brandies University.

Dr. Turner has the experience and qualifications to be an excellent Vice President for University Initiatives/Associate Provost for Multicultural Affairs. The University is fortunate to attract such a highly qualified person to this position.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 1

Office of the President
June 22, 2004

Members, Board of Trustees:

CANDIDATES FOR DEGREES – COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed.

Background: At the November 12, 1965 meeting of the Board of Trustees, authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and the Chancellor.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

Candidates Applying for KCTCS/UK Credentials January 6, 2004, through March 29, 2004

Ashland Community and Technical College

Associate in Arts – KCTCS/UK

Houghton, Matthew Drury Humanities Associate in Arts

Associate in Applied Science – KCTCS/UK

Sparks, Harold J Business Business Admin

Hazard Community and Technical College

Associate in Arts – KCTCS/UK

Haddix, Dorothy Terriann Humanities Associate in Arts

Holderby, Gera Beth Humanities

Associate in Arts

Associate in Applied Science – KCTCS/UK

Bailey, Mark A Allied Hlth Nursing

Henderson Community College

Associate in Arts – KCTCS/UK

Brown, Robin Renee Humanities Associate in Arts
Collins, Amber June Humanities Associate in Arts
Crooks, Donna M Humanities Associate in Arts

Hancock, Ashley Kathleen
Williams, Janie I

Humanities
Humanities

Associate in Arts
Associate in Arts

Associate in Science – KCTCS/UK

Edwards, Sharon Kay Life & Natural Sciences Associate in Science

Norris, Angie B

Life & Natural Sciences

Associate in Science

Henderson Community College, continued

Associate in Applied Science – KCTCS/UK

Barron, Lindsay	Business	Bus Tec:Mgt Infor Sys	Mattingly, Cassandra Lynn	Allied Hlth	Nursing
Basham, Dustin G	Allied Hlth	Clinical Lab Techn	Neblett, Shirley Tramill	Business	Bus Tec:Ofc Sys Opt
Blake, Lacheie M	Allied Hlth	Nursing	Pippin, Christi B	Allied Hlth	Clinical Lab Techn
Bryant, Kelly	Allied Hlth	Dental Hygiene	Pruitt, Jackelyn	Allied Hlth	Nursing
Burton, Roxanne M	Soc & Behav Science	Human Services	Shelton, Peggy S	Allied Hlth	Dental Hygiene
Corbett, Chastity D	Business	Bus Tec:Ofc Sys Opt	Snyder, Jennifer Ann	Allied Hlth	Clinical Lab Techn
Duncan, Judson Kyle	Business	Bus Tec:Mgt Infor Sys	Sprague, Mary M	Allied Hlth	Nursing
Ellis, Gregory Wayne	Allied Hlth	Nursing	Thompson, Christina Louise	Allied Hlth	Dental Hygiene
Gray, Christy Ann	Allied Hlth	Dental Hygiene	Towery, Rebecca Lynn	Allied Hlth	Nursing
Hargrove, Mandy Lee	Allied Hlth	Dental Hygiene	Turner, Erica Ann	Allied Hlth	Dental Hygiene
Henshaw, Kara Kirchner	Allied Hlth	Nursing	Watson, Amy D	Allied Hlth	Nursing
Hoffman, Sherri Lynn	Allied Hlth	Clinical Lab Techn	Willite, Kimberly DeAnn	Allied Hlth	Nursing
Holmes, Linda Kaye	Allied Hlth	Nursing	Williams, Debra K	Allied Hlth	Nursing
Ligon, Derehia F	Soc & Behav Science	Human Services	Woodring, Robbie W	Allied Hlth	Dental Hygiene

Hopkinsville Community College

Associate in Arts – KCTCS/UK

Douthitt, Dana Jean	Humanities	Associate in Arts	Moten, Able A	Humanities	Associate in Arts
Jones, Janice M	Humanities	Associate in Arts	Whitaker, Jessica Lyn	Humanities	Associate in Arts

Associate in Science – KCTCS/UK

Luna, Heather R	Life & Natural Sciences	Associate in Science
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Jefferson Community College

Associate in Arts – KCTCS/UK

Asher, Lisa A	Humanities	Associate in Arts	Heitzman, Brianna D	Humanities	Associate in Arts
Ashley, Adam Wayne	Humanities	Associate in Arts	Short, Richard R	Humanities	Associate in Arts
Brown, Leigh A	Humanities	Associate in Arts	Simpson, Tadasa Yvette	Humanities	Associate in Arts
Brunson, Stefan Ray	Humanities	Associate in Arts	Wood, Emily K	Humanities	Associate in Arts
Cameron, Devin B	Humanities	Associate in Arts			

Associate in Science – KCTCS/UK

Seebold, James	Life & Natural Sciences	Associate in Science	Wilson, Jonathan W	Engineering Technology	Associate in Science
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Associate in Applied Science – KCTCS/UK

Haggard, Annlynn	Business	Comp Info Sys Micro	Morris, John K	Business	Comp Info Sys Micro
Henry, Becky	Allied Hlth	Nursing	Salzgaver, Shelia K	Allied Hlth	Nursing
Hinton, Michael K	Allied Hlth	Nursing	Scaif, Jenifer L	Allied Hlth	Nursing
Hood, Barbara Lee	Allied Hlth	Nursing	Van Slavens, Kim J	Allied Hlth	Nursing
Johns, Tony	Business	Comp Info Sys Micro	Weber, Paula M	Allied Hlth	Nursing
Johnson, Kimberlea D	Business	Bus Tech:Banking Opt	Wright, Desiree Lynn	Allied Hlth	Nursing

Maysville Community and Technical College

Associate in Arts – KCTCS/UK

Blankenship, Steven B	Humanities	Associate in Arts	Metcalfe, Chrystal G	Humanities	Associate in Arts
Brock, Sonya A	Humanities	Associate in Arts	Murphy, Bonnie G	Humanities	Associate in Arts
Frodge, Angie M	Humanities	Associate in Arts	O'Hearn, Lisa C	Humanities	Associate in Arts
Gallagher, Joyce A	Humanities	Associate in Arts	Perry, Cyndolia	Humanities	Associate in Arts
Kearns, Marla Lynn	Humanities	Associate in Arts	Reeves, Jennifer A	Humanities	Associate in Arts
Link, Jennifer	Humanities	Associate in Arts			

Associate in Applied Science – KCTCS/UK

Brown, Timothy	Business	Bus Tech:Mgmt Opt	Litzinger, Gaye Lynn	Allied Hlth	Nursing
Cracraft, Tona T	Business	Bus Tech:Mgmt Opt	Muse, Donna R	Business	Bus Tech:Mgmt Opt
Doyle, Anisa J	Allied Hlth	Nursing	Newsom, Jennifer N	Allied Hlth	Nursing
Gaunce, Jeanette C	Business	Bus Tech:Mgmt Opt	Syck, Greta Lynn	Allied Hlth	Nursing
Gilvin, Sheila	Business	Bus Tech:Mgmt Opt	Tincher, June	Business	Bus Tech:Mgmt Opt
Gray, Charnetta R	Business	Bus Tech:Mgmt Opt	Werrmann, Roanna M	Business	Ofc Sys Tech

Owensboro Community and Technical College

Associate in Arts – KCTCS/UK

Benningfield, Sheila G	Humanities	Associate in Arts
Capps, James D	Humanities	Associate in Arts

Associate in Science – KCTCS/UK

Abney, Jason Lee	Life & Natural Sciences	Associate in Science
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Associate in Applied Science – KCTCS/UK

Abney, Jason Lee	Allied Hlth	Radiography
Adkins, DeVera K	Business	Bus Tec:Mgt Infor Sys

Somerset Community College

Associate in Arts – KCTCS/UK

Owens, Melissa J	Humanities	Associate in Arts
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Southeast Community College

Associate in Arts – KCTCS/UK

Fields, Rosa L	Humanities	Associate in Arts
Gaines, Mary E	Humanities	Associate in Arts
Grubbs, Bobby J	Humanities	Associate in Arts
Hensley, Bryan K	Humanities	Associate in Arts

Associate in Science – KCTCS/UK

Farley, Bruce Lester	Life & Natural Sciences	Associate in Science
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West Kentucky Community and Technical College (formerly Paducah Community College)

Associate in Arts – KCTCS/UK

Adams, Kimberly Sue	Soc & Behav Science	Kemp, Kristi Erin	Soc & Behav Science	Associate in Arts
Adams, Michael Corrine	Humanities	Kirkham, Donna Joyce	Humanities	Associate in Arts
Barnett, Carla E	Humanities	Mills, Trina Lynn	Humanities	Associate in Arts
Beckham, Maria E	Soc & Behav Science	Pendley, Jennifer Gail	Business	Associate in Arts
Burnett, Lori Ann	Humanities	Powell, Michele Jenkins	Soc & Behav Science	Associate in Arts
Chambliss, Stephanie Dawn	Humanities	Robins, Nancy L	Humanities	Associate in Arts
Clark, David Wayne	Humanities	Shreve, Tracy Jo	Soc & Behav Science	Associate in Arts
Concal, Renita Joyce	Humanities	Smith, Nicholas P	Soc & Behav Science	Associate in Arts
Cope, Jessica H	Soc & Behav Science	Snow, Carla L	Humanities	Associate in Arts
Dailey, Christa Michelle	Soc & Behav Science	Taylor, Wendy Elaine	Humanities	Associate in Arts
Darnell, Tina R	Humanities	Thompson, Amanda Ruth	Humanities	Associate in Arts
Davis, Jaime L	Business	Tucker, Connie Yvonne	Soc & Behav Science	Associate in Arts
Flemmons, Laroyce William	Humanities	Tucker, Vickie Chevalier	Soc & Behav Science	Associate in Arts
Futrell, Rachel Renee	Soc & Behav Science	Walker, Amanda L	Humanities	Associate in Arts
Harris, Crystal Dawn	Humanities	Walker, Amy Kathleen	Business	Associate in Arts
Johnson, Michael Brandon	Humanities			

Associate in Science – KCTCS/UK

Batchelor, Jonathan R	Life & Natural Sciences	Jackson, Mary Elizabeth	Life & Natural Sciences	Associate in Science
Bennett, William R	Life & Natural Sciences	Miller, Derrick L	Life & Natural Sciences	Associate in Science
Brown, Michael Lee	Engineering Technology	Miller, Nathan Allen	Life & Natural Sciences	Associate in Science
Carter, Gregory Lee	Life & Natural Sciences	Morales, Matt Joseph	Life & Natural Sciences	Associate in Science
Coleman, Michelle Elaine	Life & Natural Sciences	Palmer, Mary Allyne	Life & Natural Sciences	Associate in Science
Dickson, Mary A	Engineering Technology	Puckett, Michael Shane	Life & Natural Sciences	Associate in Science
Doughty, Jennifer D	Life & Natural Sciences	Sibley, Michael Paul	Life & Natural Sciences	Associate in Science
Doyle, Gregory Keith	Life & Natural Sciences	Smith, Donna K	Life & Natural Sciences	Associate in Science
Fulcher, Pamela Lea	Life & Natural Sciences	Turner, William Russell	Life & Natural Sciences	Associate in Science
Hunt, Ginger R	Life & Natural Sciences			

Associate in Applied Science – KCTCS/UK

Ballard, Brent David	Allied Hlth	Houser, Stacey Renee	Allied Hlth	Nursing
Bingham, Joanna Thomas	Allied Hlth	Hutson, Tammy Renee	Allied Hlth	Nursing
Blalock, Lela K	Business	Johnson, Alicia Dorothea	Business	Bus Tech:Mgmt Opt
Bradley, Arlyne	Allied Hlth	Jones, Wilma	Business	Ofc Sys
Buchanan, Linda Sue	Business	Maddux, Amy Louise	Allied Hlth	Nursing
Cooper, Bethany	Business	Montgomery, Natalie J	Allied Hlth	Nursing
Edwards, Tonya Lynn	Allied Hlth	Partin, Patricia R	Allied Hlth	Nursing
Farley, Zandra B	Allied Hlth	Piercy, Sara Beth	Allied Hlth	Nursing
Goatley, Rita K	Allied Hlth	Reddick, Teresa R	Allied Hlth	Nursing
Goldsberry, Stephanie Ann	Business	Shields, Christopher C	Business	Bus Tech:Banking Opt
Heath, Matthew Brian	Business	Stafford, Jenell Michelle	Business	Ofc Sys
Hooks, Sheryl Lynne	Allied Hlth	Yancy, Amber Y	Allied Hlth	Nursing

AACR 2

Office of the President
June 22, 2004

Members, Board of Trustees:

TRANSFER OF THE CENTER FOR MINIMALLY INVASIVE SURGERY

Recommendation: that the Board of Trustees approve the transfer of administrative oversight for the Center for Minimally Invasive Surgery to the Dean, College of Medicine, effective July 1, 2004.

Background: In October 1999, the Board of Trustees approved the establishment of the Center for Minimally Invasive Surgery. At that time the Center reported to the Chancellor of the Medical Center and was funded by a substantial gift from U.S. Surgical Corporation. With the administrative reorganization of the University and the exhaustion of the current funding, the Center needs to be administratively reassigned and restructured.

The Center for Minimally Invasive Surgery will continue to facilitate interactions among faculty with surgical interests; provide a framework for interdisciplinary research in basic, clinical, and outcomes-based research; assist with the recruitment of residents and graduate students with special interests in surgery or the development of surgical devices; provide and maintain appropriate facilities, and provide opportunities for the continuing education of faculty.

The proposal has been reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council and recommended for approval by the University Senate. The Provost and the Executive Vice President for Health Affairs support this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 1

Office of the President
June 22, 2004

Members, Board of Trustees:

CHARLES W. HAMMOND ESTATE GIFT

Recommendation: that the Board of Trustees accept a gift of \$690,895 from the estate of Charles W. Hammond of Washington, North Carolina, to establish and endow three funds in the College of Arts and Sciences: the Charles W. Hammond Professorship in Chemistry, which is eligible for matching funds from the state's Research Challenge Trust Fund (RCTF); the Charles W. Hammond Presidential Scholarship in Arts and Sciences; and the Charles W. Hammond Chemistry Scholarship.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: Mr. Hammond, who was one of three chemistry graduates in the UK Class of 1935, died in 2003 after a long and successful career with the DuPont Chemical Company. He was a native of Vanceburg. The Hammond Chemistry Professorship will be funded with \$340,000, which is eligible for the RCTF match. The Hammond Presidential Scholarship, which will be funded with \$170,000, will give preference to students majoring in chemistry. The Hammond Chemistry Scholarship, which will receive \$170,000, will be awarded to students from Lewis County or counties contiguous to Lewis with preference given to those majoring in chemistry. The balance of \$10,895 from the estate distribution will be deposited in an Arts and Sciences discretionary fund to pay costs associated with the disposal of seven pieces of property also given to the University by Mr. Hammond.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 2

Office of the President
June 22, 2004

Members, Board of Trustees:

DR. DAVID B. AND SALLY STEVENS GIFT

Recommendation: that the Board of Trustees accept a gift of \$50,000 from Dr. David B. and Sally Stevens of Lexington, Kentucky, to an endowment established in 1990 for graduate student scholarships in the College of Medicine, and that the endowment, originally named the David B. Stevens Scholarship, be renamed as the Sally and David B. Stevens, M.D. Scholarship.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: Dr. Stevens created an endowment to provide scholarships for medical students in March 1990 and planned to fund it through a testamentary gift. Dr. and Mrs. Stevens decided to make a gift to fund the endowment now because of the University's critical need to provide financial support for graduate students in medicine and the availability of matching money from the state's Research Challenge Trust Fund (RCTF). Dr. Stevens asked that the fund be renamed to recognize and include Mrs. Stevens. Dr. Stevens, a retired faculty member and member-at-large of the Lexington-Fayette Urban County Council, served as chief of staff at Shriner's Hospital of Lexington prior to his retirement from the University in 1997. The gift qualifies for RCTF matching funds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 3

Office of the President
June 22, 2004

Members, Board of Trustees:

KENTUCKY MEDICAL SERVICES FOUNDATION GIFT

Recommendation: that the Board of Trustees accept a gift of \$100,000 from Kentucky Medical Services Foundation, Inc. of Lexington, Kentucky, to create and endow the Professorship in Pediatric Otolaryngology Surgery in the College of Medicine's Department of Surgery.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: The gift will give the Division of Otolaryngology Surgery an opportunity to recruit and retain outstanding individuals who will conduct research for improving ear, nose, and throat surgical procedures to benefit people throughout Kentucky and beyond. The gift is eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 4

Office of the President
June 22, 2004

Members, Board of Trustees:

KENTUCKY MEDICAL SERVICES FOUNDATION GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$100,000 and a pledge of \$400,000 from the Kentucky Medical Services Foundation, Inc., of Lexington, Kentucky, to create the Endowed Chair in Orthopaedic Surgery Research in the College of Medicine's Division of Orthopaedic Surgery.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: The gift and pledge will give the Division of Orthopaedic Surgery an opportunity to recruit and retain outstanding individuals who will conduct research for improving orthopaedic surgical procedures to benefit people throughout Kentucky and beyond. The \$400,000 pledge will be payable at \$100,000 a year for four years ending in June, 2008. The gift and pledge are eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 5

Office of the President
June 22, 2004

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE DEPARTMENT OF PSYCHIATRY

Recommendation: that the Board of Trustees accept gifts and pledges totaling \$100,000 from Kentucky Medical Services Foundation, Inc. (KMSF), and Dr. Lon Hays, both of Lexington, Kentucky, to create and endow the Professorship for Addiction Research in the College of Medicine's Department of Psychiatry.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: KMSF is among the most generous donors to the University. Dr. Hays is chair of the Department of Psychiatry. The gifts and pledges will give the Department of Psychiatry an opportunity to recruit and retain outstanding individuals who will conduct research for improving treatment of addictive diseases. KMSF has made gifts of \$26,000 and has pledged \$64,700, which will be paid by April 2009. Dr. Hays has given \$3,800 and has pledged \$5,500, which will be paid by November 2008. The gifts and pledges are eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 6

Office of the President
June 22, 2004

Members, Board of Trustees:

GIFTS AND PLEDGES TO COLLEGE OF PHARMACY FELLOWSHIP

Recommendation: that the Board of Trustees accept gifts of \$47,904 and pledges of \$3,550 from alumni and friends of the College of Pharmacy and companies employing graduates of the College to create and endow the Parent and Alumni Scholarship/Fellowship Endowment in the College of Pharmacy.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: The College of Pharmacy has been raising funds for this fellowship since 2002. The three most generous gifts among the 113 received were: \$20,000 from the National Association of Chain Drug Stores Foundation of Alexandria, Virginia; \$14,071 from Ralph Deitmeyer, a 1965 graduate from Cynthiana; and \$6,300 from Stanley Scates, a 1975 graduate from Lexington. The gifts and pledges are eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 7

Office of the President
June 22, 2004

Members, Board of Trustees:

GIFTS AND PLEDGE TO COLLEGE OF PHARMACY

Recommendation: that the Board of Trustees accept gifts of \$100,000 from Ken Wiggins of Hartford, Kentucky, and \$25,000 from Joseph H. and Betty Schutte of Louisville, Kentucky, and a gift of \$2,000 and pledge of \$4,400 from Duane Parsons of Richmond, Kentucky, to the Pharmacy Practice and Science Endowed Fund for Excellence in the College of Pharmacy.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: Mr. Wiggins, Mr. Schutte, and Mr. Parsons are all College of Pharmacy graduates. The Pharmacy Practice and Science Endowed Fund for Excellence was established in 2002 by Dean Kenneth Roberts to enhance college programs, instruction, and research. The \$131,400 in gifts and pledges is eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 8

Office of the President
June 22, 2004

Members, Board of Trustees:

SAINT JOSEPH HEALTH CARE PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$128,000 from Saint Joseph Health Care of Lexington, Kentucky, for the Second Degree Nursing Program in the College of Nursing.

Background: The Second Degree Nursing Program will help UK respond to local, state, and national nursing shortages by offering a two-year Bachelor of Nursing degree program to individuals who have a degree in another discipline. The three-year cost of the program will be \$256,000 with Saint Joseph Health Care and the University Hospital each providing half of the funding. Saint Joseph Health Care will fulfill its pledge with payments in successive years beginning in 2004-05 of \$37,500, \$47,500 and \$43,000.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 9

Office of the President
June 22, 2004

Members, Board of Trustees:

ANONYMOUS GIFT OF \$150,000

Recommendation: that the Board of Trustees accept a gift of \$150,000 for a scholarship fund to benefit undergraduate and/or graduate students at the University of Kentucky. The donor wishes to be anonymous.

Background: The donor established the endowed scholarship fund in 1999. The fund enables students to receive an education that otherwise would be denied them due to a lack of financial resources. The criteria for awarding the scholarships is based on merit, financial need, likelihood of remaining in Kentucky following graduation, and the extent to which the recipients will break the family history of not having attended college. The donor has given \$875,000 to the fund.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 10

Office of the President
June 22, 2004

Members, Board of Trustees:

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF
KENTUCKY ("STATE AGENCY") APPROVING A FINANCING
AGREEMENT AMONG THE STATE AGENCY, THE KENTUCKY
ASSET/LIABILITY COMMISSION, AND THE FINANCE AND
ADMINISTRATION CABINET OF THE COMMONWEALTH OF
KENTUCKY FOR THE PURPOSE OF PROVIDING INTERIM FINANCING
FOR AN AUTHORIZED PROJECT IN ANTICIPATION OF THE ISSUANCE
OF BONDS TO PROVIDE PERMANENT FINANCING FOR SAID PROJECT

Recommendation: that the Board of Trustees adopt the Resolution attached hereto approving a financing agreement among the University of Kentucky, the Kentucky Asset/Liability Commission, and the Finance and Administration Cabinet, for the purpose of providing interim financing for the following educational building projects: (1) Parking and (2) Infrastructure Projects, including (a) Replace Central Facilities Management Project, (b) Replace Steam and Condensate Pipe, (c) Improve Central Heating Plan, and (d) Replace High Voltage Wiring.

Background: The 2003 General Assembly authorized the issuance of a pool of revenue bonds to finance projects approved by the Council on Postsecondary Education. The Council on Postsecondary Education has approved the construction projects listed above. The attached resolution (a) requests that the Kentucky Asset/Liability Commission issue notes for the purpose of providing interim (short-term) financing for the projects, (b) authorizes the execution and delivery of a financing agreement necessary to implement the financing for the projects, and (c) authorizes the Chairman to execute, acknowledge, and deliver, on behalf of the Board, the financing agreement.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FINANCING AGREEMENT
(Agency Bonds)

by and between

KENTUCKY ASSET/LIABILITY COMMISSION

and

FINANCE AND ADMINISTRATION CABINET

OF THE COMMONWEALTH OF KENTUCKY

and

UNIVERSITY OF KENTUCKY

Dated as of May 1, 2004

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THIS FINANCING AGREEMENT, made and entered into as of the date shown on the cover page hereto, by and among the KENTUCKY ASSET/LIABILITY COMMISSION (hereinafter sometimes referred to as the "Commission"), the FINANCE AND ADMINISTRATION CABINET OF THE COMMONWEALTH OF KENTUCKY, a department and agency of the Commonwealth of Kentucky (hereinafter sometimes referred to as the "Cabinet") and the STATE AGENCY identified on the cover page hereto (the "State Agency").

WITNESSETH

THAT WHEREAS, the State Agency identified on the cover to this Financing Agreement constitutes a "State Agency" within the meaning and application of Sections 56.440, et seq., of the Kentucky Revised Statutes and the Finance and Administration Cabinet of the Commonwealth of Kentucky constitutes the "Cabinet" within the meaning of Section 56.8605 of the Kentucky Revised Statutes; and

WHEREAS, the Cabinet is authorized pursuant to the Act, as hereinafter defined, to take, acquire and hold property and the State Agency, to the extent described herein, is authorized to issue and sell revenue bonds, and the Commission is authorized to issue Project Notes, as defined in the Act, in anticipation of the issuance of such bonds in order to provide interim financing for projects and to carry out proper public purposes; and

WHEREAS, the State Agency has determined to issue revenue bonds, as further described herein, and the State Agency has requested and authorized the Commission to issue Project Notes for the Project in anticipation of the issuance of such bonds; and

WHEREAS, the State Agency will enter into this Financing Agreement to provide (i) revenues for amortization of such Project Notes, (ii) for the issuance of the Bonds for the payment of the Project Notes which have not been amortized and (iii) interim financing for the Project by issuance of the Project Notes by the Commission; and

WHEREAS, in order to provide revenues to reimburse the Commission for its commitment to amortize the Project Notes, which are to be issued for purposes of providing funds for acquisition of the Project, it is necessary and appropriate that a Financing Agreement in respect of the Project be entered into by and between the Commission, the State Agency and the Cabinet;

NOW, THEREFORE, PURSUANT TO THE STATUTORY AUTHORITY CITED IN THE PREAMBLES TO THIS FINANCING AGREEMENT, AND FOR OTHER GOOD AND VALUABLE CONSIDERATIONS, THE RECEIPT OF WHICH IS HEREBY ACKNOWLEDGED BY BOTH PARTIES, AND IN FURTHER CONSIDERATION OF THE PREMISES, THE COMMISSION, THE STATE AGENCY AND THE CABINET DO HEREBY COVENANT AND AGREE, EACH WITH THE OTHER, AS FOLLOWS:

ARTICLE I
DEFINITIONS

Section 1.01. As used in this Financing Agreement, unless the context requires otherwise:

"Act" means Sections 56.860, et. seq. of the Kentucky Revised Statues.

"Additional Payments" means additional payments as set forth in Section 5.06 hereof.

"Bond Payment Fund" means the fund to be created by the Bond Resolution for the payment of the principal of, interest on, and premium, if any, on the Bonds.

"Bond Resolution" means the Bond Resolution or similar authorizing instrument to be adopted by the State Agency authorizing the issuance of the Bonds.

"Bonds" means Bonds as defined in the Indenture.

"Budget Act" means H.B. 269 of the General Assembly of the Commonwealth of Kentucky, 2003 Regular Session.

"Cabinet" means the Finance and Administration Cabinet of the Commonwealth.

"Commission" means the Kentucky Asset/Liability Commission.

"Commonwealth" means the Commonwealth of Kentucky.

"Credit Facility" means Credit Facility as defined in the Indenture.

"Credit Facility Agreement" means Credit Facility Agreement as defined in the Indenture.

"Credit Facility Obligations" means obligations of the Commission under the Credit Facility Agreement, including without limitation, obligations to reimburse the Credit Facility Provider for drawings under the Credit Facility.

"Credit Facility Provider" means Credit Facility Provider as defined in the Indenture.

"Financing Expenses" means all costs associated with the financing of the Projects, as may be determined by the Cabinet, other than amounts payable as interest, Credit Facility Provider fees, Liquidity Facility Provider fees and Remarketing Agent fees hereunder, including, but not limited to, fees of the Trustee, Registrar and Paying Agents and unamortized Costs of Issuance.

"Financing Agreement" means this Financing Agreement, dated as of the date on the cover page hereto, by which interim financing for the Project is provided to the State Agency by the Commission.

"Financing Payments" means the payments required to be made by the Cabinet to the Commission pursuant to Sections 5.01, 5.02 and 5.04 of this Financing Agreement.

"Fiscal Year" means any period of twelve (12) months commencing July 1 of any year and ending June 30 of the ensuing year.

"Indenture" means the Trust Indenture dated as of June 1, 2002, between the Commission and the Trustee.

"KRS" means the Kentucky Revised Statutes of the Commonwealth of Kentucky as the same are in full force and effect at the date of the adoption of the Indenture, and any future amendments thereof to the extent that the same will not unconstitutionally impair the obligations of contracts created under the provisions of the Indenture.

"Liquidity Facility" means Liquidity Facility as defined in the Indenture.

"Liquidity Facility Obligations" means obligations of the Commission under the Liquidity Facility.

"Liquidity Facility Provider" means Liquidity Facility Provider as defined in the Indenture.

"Note Payment Fund" means the fund created by Sections 5.03 and 5.06 of the Indenture.

"Notes" means Notes as defined in the Indenture.

"Project" means the Projects identified in Annex A hereto.

"Project Fund" means the fund created by Sections 5.03 and 5.05 of the Indenture and, upon issuance of the Bonds, means the fund, if any, created by the Bond Resolution for the payment of costs of the Project.

"Proportionate Share" means a factor determined by dividing the principal portion of the State Agency's Debt Servicing Obligation by the principal amount of all Notes (other than any renewal Notes) issued under the Indenture.

"Registrar" means Registrar as defined in the Indenture.

"Related Notes" means Notes issued or to be issued for the purpose of financing the Project.

"Renewal Term" means a period of two (2) years coinciding with the fiscal biennium of the Commonwealth, which commences on July 1 in each even-numbered year and ends on June 30 of the next ensuing even-numbered year unless hereafter modified by statute.

"State Agency" means the State Agency identified on the cover page hereto.

"Trustee" means JP Morgan Trust Company, National Association, successor to Bank One Trust Company, NA, a national banking association organized under and existing by virtue of the laws of the Commonwealth of Kentucky, having proper trust powers, and having its principal office and place of business in the City of Lexington, Kentucky and including any successor trustee designated pursuant to the provisions of the Indenture.

Any terms not defined in this Financing Agreement but defined in the Indenture, if used herein, shall have the same meanings ascribed to them in the Indenture.

ARTICLE II

AGREED RECITATION OF ESTIMATED TOTAL COSTS OF THE PROJECT

Section 2.01. The Commission, the State Agency and the Cabinet agree that the aggregate of all costs of the Project to be funded from the proceeds of the Related Notes and Bonds will not exceed the amounts set forth in the Budget Act, which amounts are more specifically identified in Exhibit A hereto, plus Financing Expenses and Bond issuance costs, provisions for any debt service reserve fund, any capitalized interest, provisions of contingencies and discount in the sale of the Bonds and Related Notes.

ARTICLE III

APPLICATION AND REQUEST OF THE STATE AGENCY THAT THE COMMISSION ISSUE NOTES

Section 3.01. The Cabinet hereby makes application, on behalf and at the direction of the State Agency, to the Commission, and requests that the Commission authorize in the Commission's own name and offer, from time to time, the Commission's Notes in the principal amount not to exceed the costs of the Project established in Section 2.01 hereof for the purpose of providing interim financing for the Project, all as is more specifically provided in the Act and the Indenture.

ARTICLE IV

AGREEMENT OF STATE AGENCY TO ISSUE ITS BONDS AND COMMISSION TO ISSUE ITS NOTES AND APPLY THE PROCEEDS THEREOF TO THE ACQUISITION OF THE PROJECT

Section 4.01. The State Agency hereby agrees that, in accordance with its lawful authority and powers, it will, proceed with all reasonable dispatch to authorize in its own name and issue Bonds to finance the Project, at the time and in the amounts necessary to provide adequate financing for the Project and to retire, at or prior to maturity, Notes, subject to the limitations of the Act and the Budget Act. The State Agency, in its sole discretion shall, based on sound financial practices, establish the interest rates, maturities, sale price and other terms and conditions of Bonds from time to time issued as required by this Financing Agreement and shall issue and deliver Bonds and apply the proceeds thereof to financing the acquisition of the Project or retirement of the Related Notes.

Section 4.02. The Commission hereby agrees that in accordance with its lawful authority and powers and according to the Act and the request and authorization of the State Agency and the Cabinet pursuant to KRS 56.867, it will, responsive to such request, proceed with all reasonable dispatch to authorize in its own name and issue Notes to provide interim financing for the Project, at the time and in the amounts necessary, as determined by the Commission, to provide adequate interim financing for the Project, subject to the limitations of the Act and the Budget Act. The Commission in its sole discretion shall, based on sound financial practices, establish the interest rates, maturities, sale price and other terms and conditions of Notes from time to time issued pursuant to this Financing Agreement and shall issue and deliver Notes and apply the proceeds to financing the acquisition of the Project.

ARTICLE V

INITIAL TERMS; OPTIONAL RENEWALS; FINANCING PAYMENTS

Section 5.01. The Commission hereby agrees to provide interim financing for the Project to the State Agency, for an initial term ending June 30, ____, with the right and privilege by the State Agency to continue this Financing Agreement and have the Project for succeeding biennium periods in the event this Financing Agreement is renewed as provided herein. The State Agency shall pay or cause to be paid as Financing Payments during such initial period ending on June 30, ____, the Debt Servicing Obligation, as hereinafter defined, applicable to the Related Notes, due on each Debt Servicing Date, as hereinafter defined, during the term of the Financing Agreement so long as any Related Notes are outstanding or any amounts are due under a Credit Facility Agreement or Liquidity Facility.

Section 5.02. For purposes hereof:

"Debt Servicing Date" shall mean (i) the date on which the Commission has estimated that capitalized interest in the Note Payment Fund that is attributable to the State Agency will no longer be sufficient to pay interest on the Related Notes (which date is set forth in Annex A hereto) and _____ 1 of each year thereafter, (ii) any Interest Payment Date on which the amount in the Note Payment Fund that is attributable to the State Agency (as determined by the Commission) is not adequate to pay the amounts set forth in clauses (i) through (vi) of the definition of Debt Servicing Obligation, and (iii) if no capitalized interest is attributable to the Related Notes on the date of their delivery, the date of delivery of the Related Notes.

"Debt Servicing Obligation" shall mean the entire amount included in its budget (the "Budgeted Amount") for the payment of debt service with respect to the Project, less any amount in the Note Payment Fund on the date of the payment thereof which is attributable to the State Agency (as determined by the Commission). The State Agency shall approve a Budgeted Amount that is no less than the amount reasonably estimated to be required to be paid in respect of the Related Notes during the period to which the Budgeted Amount relates (the "Budget Period"), including (i) the scheduled maturity of principal of any Related Notes for which Bonds or renewal Notes are not being issued and the principal amount of Related Notes for which Bonds are not being issued, if any, called or to be called for redemption during the applicable Budget Period, and the premium, if any, with respect to such Related Notes, (ii) the interest required or estimated (by the Commission) to be paid on the Related Notes which will be outstanding during the applicable Budget Period, (iii) Credit Facility Obligations applicable to the Related Notes (to the extent not paid under clauses (i) and (ii)), (iv) Liquidity Facility Obligations applicable to the Related Notes, (v) its Proportionate Share of any Financing Expenses and (vi) the reasonable and agreed fees of the Credit Facility Provider, the Liquidity Facility Provider, the Remarketing Agent, the Trustee, the Paying Agent and the Registrar, but only to the extent not otherwise paid directly by the State Agency. In addition to the foregoing, if on any Interest Payment Date, the amount in the Note Payment Fund that is attributable to the State Agency (as determined by the Commission) is not adequate to pay the amounts set forth in clauses (i) through (vi) above, the State Agency shall pay, regardless of the Budget Amount, the amount required to satisfy such deficiency from whatever sources are available for such purpose.

The State Agency shall be entitled to a credit against the Debt Servicing Obligation otherwise required to be paid on any Debt Servicing Date to the extent there are funds

attributable to it, as determined by the Commission, in the Interest Account of the Note Payment Fund or in the Operating Account of the Expense Fund, prior to the payment of the Debt Servicing Obligation hereunder which, under the terms of the Indenture and applicable law, can be used to meet the Debt Servicing Obligation. It is understood that, pursuant to the Indenture, all income derived from investment of the Project Fund may, at the discretion of the Cabinet and the State Agency, be transferred to the Note Payment Fund and, if so transferred, shall be a credit against Financing Payments due and payable by the State Agency. Amounts transferred from the Expense Fund, established by the Indenture, to the Note Payment Fund, shall be a further credit against Financing Payments due and payable by the State Agency.

Upon issuance of the Bonds, the final Debt Servicing Obligation for the Related Notes shall be the amount determined by the Commission in accordance with Section 5.04.

Section 5.03. Beginning June 30, 2004, the Commission hereby grants unto the State Agency exclusive option to renew the Financing Agreement for successive ensuing Renewal Terms, commencing July 1 in each even-numbered year, and ending June 30 of the next ensuing even-numbered year, and the last Renewal Term shall end June 30 of the even numbered year next following the final maturity date of the Related Notes, or such other date as may be set forth in a Supplemental Financing Agreement entered into pursuant to the provisions of Section 5.10 hereof or otherwise agreed to by the Commission and the State Agency; and for each such Renewal Term, if renewed therefor, the State Agency shall become obligated to pay, and hereby agrees that in the event of such renewal it will pay or cause to be paid, to the Commission, as Financing Payments for such biennium, each Debt Servicing Obligation which comes due on each Debt Servicing Date during the Renewal Term as a general obligation of the State Agency for such period, secured by amounts budgeted by the State Agency in its agency fund for such purpose.

Section 5.04. Financing Payments becoming due during the first term ending June 30, 2004, and for each Renewal Term, if the Financing Agreement shall be renewed for any such Renewal Term, shall be made or caused to be made by the State Agency to the Commission on each Debt Servicing Date during each such term, in such minimum amounts as will enable the Commission, solely from such source, to pay its Debt Servicing Obligation as of such date. Upon the issuance of the Bonds, the Commission shall also advise the State Agency of the remaining Financing Payments and the State Agency's remaining Proportionate Share of Financing Costs payable to the Trustee for payment of any Related Notes which remain Outstanding.

Section 5.05. Each of the successive options to renew shall in each instance be deemed automatically exercised, and the Financing Agreement automatically renewed for the succeeding Renewal Term, effective on the first day thereof, unless a written notice of the State Agency's election not to renew, signed by an authorized officer of the State Agency, shall have been delivered to the Commission before the close of business on the last business day in May, immediately preceding the beginning of such succeeding Renewal Term.

Section 5.06. The State Agency covenants and agrees to pay "Additional Payments" for the term of the Financing Agreement and for any Renewal Term during which Related Notes are outstanding, to the extent such payments are not a part of the Debt Servicing Obligation, as follows:

- (i) To the Trustee, when due, a Proportionate Share of all fees of the Trustee for services rendered, all fees and charges of any Paying Agent, Registrar, counsel,

accountants, and others incurred in the performance on request of the Trustee of services for which the Trustee and such other persons are entitled to payment or reimbursement which are not paid as Financing Payments;

(ii) To the Credit Facility Provider and Liquidity Facility Provider, when due, a Proportionate Share of all fees, expenses and other charges payable to the Credit Facility Provider under the Credit Facility Agreement and to the Liquidity Facility Provider under the Liquidity Facility which are not paid as Financing Payments;

(iii) To the Remarketing Agent when due, a Proportionate Share of all fees, expenses and other charges payable to the Remarketing Agent under the Remarketing Agreement; and

(iv) To the Commission, upon demand, all reasonable expenses incurred by it in relation to the Project which are not otherwise specifically identified and required to be paid by the Commission under the terms of this Financing Agreement.

Section 5.07. It is the intention of the parties that this Financing Agreement be an absolutely net agreement, and that all of the Financing Payments available for debt service on the Related Notes and this Financing Agreement shall be construed to effectuate such intent. Except as provided in Section 5.05, so long as any of the Related Notes, or any Credit Facility Obligations or Liquidity Facility Obligations are outstanding or remain unpaid, the obligation of the State Agency to pay the amounts provided for in this Article V shall be absolute and unconditional, irrespective of any rights of set-off, recoupment or counterclaim it might otherwise have.

Section 5.08. This Financing Agreement may be supplemented from time to time whenever additional Notes are issued by the Commission at the request of the State Agency under the provisions of Section 6.11 of the Indenture. Amounts payable under the terms of such supplemental agreement shall constitute Financing Payments under this Financing Agreement and such Financing Payments shall become Pledged Receipts under the Indenture.

Section 5.09. In addition to the duties and obligations of the State Agency set forth in this Financing Agreement, the State Agency hereby covenants and agrees to perform such duties and obligations of it as may be set forth in the Indenture.

ARTICLE VI

EFFECT OF THE STATE AGENCY'S EXERCISE OF ITS OPTION TO RENEW

Section 6.01 In the event the successive renewal options are exercised, according to the automatic renewal provisions hereinabove set forth, then upon the first day of the biennial Renewal Term for which such option is exercised, the State Agency shall be firmly bound for the entire amount of the Financing Payments and Additional Payments becoming due and payable for such Renewal Term as a general obligation of the State Agency, limited to amounts appropriated for such purpose, payable from any and all funds of the State Agency, including, but not limited to, appropriations, contributions, gifts, matching funds, devises and bequests from any source, whether Federal or State, and whether public or private, so long as the same are not conditioned upon any use of the Project in a manner inconsistent with law; provided, however,

nothing herein contained shall be construed as binding the State Agency to pay Financing Payments or Additional Payments for more than one biennial Renewal Term at a time.

ARTICLE VII

EFFECT OF THE STATE AGENCY'S ELECTION NOT TO RENEW

Section 7.01. In the event the State Agency shall give written notice to the Commission of the State Agency's election not to renew this Financing Agreement for any ensuing optional biennial Renewal Term, prior to the automatic renewal hereinabove provided for, the State Agency shall not become obligated to pay Financing Payments or Additional Payments beyond the last day of the then current term, and the State Agency shall thereby forfeit all of its future options to renew and shall peacefully surrender to the Commission, possession of the Project on or prior to the last day of the then current term; provided, however, an election on the part of the State Agency not to renew for a future term shall not in any manner alter or diminish any obligation of the State Agency hereunder for the then current term; and shall not preclude subsequent reinstatement of the Financing Agreement for any future renewal term, if agreed to by the Commission upon the same terms and conditions as would have been applicable if the Financing Agreement had been renewed according to the provisions hereof, except that if such reinstatement is sought when one or more installments of Financing Payments or any Additional Payments for such Renewal Term are overdue and unpaid, it shall be a condition of such reinstatement that such overdue Financing Payments or Additional Payments be tendered.

ARTICLE VIII

STATE AGENCY TO HAVE PEACEFUL POSSESSION SO LONG AS THE FINANCING AGREEMENT IS IN FULL FORCE AND EFFECT

Section 8.01. The Commission covenants that during the initial term of this Financing Agreement and during each successive optional Renewal Term for which this Financing Agreement may be renewed, if the State Agency shall continue in good standing according to the provisions hereof, the Commission shall maintain the State Agency in peaceful possession and enjoyment of the Project.

ARTICLE IX

STATE AGENCY TO REQUIRE SUFFICIENT AMOUNTS IN ITS BUDGET IN ORDER FOR FINANCING PAYMENTS TO BE MADE

Section 9.01. The State Agency covenants and agrees that on each and every occasion when appropriations bills are prepared for introduction in the various successive Sessions of the General Assembly of the Commonwealth, the State Agency will cause to be included in its budget and seek an appropriation for, sufficient amounts in the aggregate (over and above all other requirements of the State Agency) to enable the State Agency to pay Financing Payments and Additional Payments and thereby provide to the Commission moneys sufficient for the payment of the principal and interest on the Related Notes as such amounts are due and payable, subject to the Commission's obligation to issue renewal Notes upon the maturity of any Related Notes, and to pay any and all Credit Facility Obligations and Liquidity Facility Obligations applicable to the Related Notes.

ARTICLE X

EVENTS OF DEFAULT AND REMEDIES

Section 10.01. Each of the following events is hereby defined as and shall constitute an "event of default":

(a) default in the due and punctual payment of any Financing Payments or Additional Payments; or

(b) default in the performance of any of the covenants, terms, and conditions of this Financing Agreement, and failure to remedy such default within thirty (30) days after written receipt thereof if the default relates to matters other than the payments described in (a) above (but the State Agency shall not be deemed to be in default if the State Agency commences to remedy said defaults other than related to payment of Financing Payments or Additional Payments within said thirty (30) day period, and proceed to and do remedy said default with due diligence).

If an event of default occurs, the Commission, in addition to all other remedies given to the Commission at law or in equity, may by written notice to the State Agency terminate this Financing Agreement or, without terminating this Financing Agreement, take possession (actually or constructively) of the Project. In such event, the Commission may lease the Project or portions thereof, to any party it deems appropriate and in the event of a leasing may apply the rent therefrom first to the payment of the Commission's expenses incurred by reason of the State Agency's default, and the expense of leasing, including but not limited to any repairs, renovation or alteration of the Project, and then to the payment of Financing Payments, Additional Payments and all other sums due from the State Agency hereunder; provided, that prior to any such reletting, the Commission shall deliver to the Trustee an opinion of nationally recognized bond counsel to the effect that such subletting or reletting will not cause the interest on any Series A Notes or the Series B Notes to be includible in gross income of the owners thereof for federal income tax purposes. All remedies available to the Commission are declared to be cumulative and concurrent. No termination of this Financing Agreement nor any taking or recovering of possession of the Project shall deprive the Commission of any of its remedies or actions against the State Agency.

ARTICLE XI

PROVISIONS OF FINANCING AGREEMENT ARE FOR BENEFIT OF THE HOLDERS OF THE NOTES AS THIRD PARTY BENEFICIARIES

Section 11.01. All of the provisions herein contained are made for the benefit of each of the Holders and any Credit Facility Provider and any Liquidity Provider. Each and all of the Holders, such Credit Facility Provider, such Liquidity Provider and the Trustee on behalf of the Holders have the rights of third party beneficiaries to enforce all of the provisions of this Financing Agreement herein contained; subject, however, to the provisions of the Indenture with respect to enforcement of rights.

ARTICLE XII

TAX COVENANT

Section 12.01. To the full extent that it has the legal right to do so, the State Agency agrees to all of the provisions of the Indenture authorizing the Notes; and that it will neither take any action nor omit to take any action which taking or omission would result, in the exclusion of the receipt of interest on any of the Related Notes from the treatment afforded by Section 103(a) of the Code, to the extent the interest on such Related Notes is intended to be excludible from gross income for federal income tax purposes, under the terms of the Indenture.

Section 12.02. It is agreed that nothing has been done or will be done by either the Commission or the State Agency which will cause the Related Notes to be private activity bonds within the meaning of Section 141 of the Code, including performance of any of the covenants contained herein, to the extent the interest on such Related Notes is intended to be excludible from gross income for federal income tax purposes, under the terms of the Indenture.

ARTICLE XIII

TERMINATION OF FINANCING AGREEMENT

Section 13.01. Upon the payment in full of the total principal and interest due or to become due on the Related Notes, including premium, if applicable, at the times and in the manner stipulated therein, in the Indenture, or upon defeasance of the Related Notes in accordance with the terms of the Indenture, and the payment of all Credit Facility Obligations and Liquidity Facility Obligations, this Financing Agreement and all covenants, agreements and other obligations herein applicable to the Related Notes and the Commission shall cease, terminate and become void and be discharged and satisfied. In such event, the Commission, upon the request of the State Agency, shall execute and deliver all such instruments as may be desirable to evidence such discharge and satisfaction of the State Agency's obligations applicable to the Related Notes.

ARTICLE XIV

SECURITY AND ASSIGNMENT

Section 14.01. This Financing Agreement secures (i) the payment of Financing Payments and Additional Payments hereunder, (ii) the payment of the Notes in the aggregate principal amount which may from time to time be outstanding under the Indenture, with interest thereon at the rates per annum borne by the Related Notes, (iii) the payment of the Credit Facility Obligations, and (iv) the payment of the Liquidity Facility Obligations.

This Financing Agreement is given subject to all of the terms, conditions and provisions of the Indenture. In the event that any conflict should exist or appear to exist between the provisions of this Financing Agreement and the Indenture, the provisions of the Indenture shall prevail.

ARTICLE XV

PROVISIONS OF FINANCING AGREEMENT ARE SEVERABLE

Section 15.01. If any section, paragraph, clause or provision of this Financing Agreement shall be held invalid, the invalidity of such section, paragraph, clause or provision shall not affect any of the remaining provisions of this Financing Agreement.

ARTICLE XVI

INDEX AND HEADINGS PRECEDING SECTIONS ARE NOT PART OF THE FINANCING AGREEMENT

Section 16.01. The Index and the headings preceding the text of the several sections hereof shall be solely for convenience of reference and shall not constitute a part of this Financing Agreement, nor shall they affect its meaning, construction or effect.

IN WITNESS WHEREOF, the parties hereto have executed this Financing Agreement by their officers thereunto duly authorized, as of the date and year first above written.

**KENTUCKY ASSET/LIABILITY
COMMISSION**

By: _____
Chairman

ATTEST:

By: _____
Secretary

**FINANCE AND ADMINISTRATION
CABINET OF THE COMMONWEALTH OF
KENTUCKY**

By: _____
Secretary

UNIVERSITY OF KENTUCKY, State Agency

By: _____

Title: _____

Approved as to form and legality:

By: _____
Office of Legal and Legislative
Services, Legal Counsel to the
Kentucky Asset/Liability Commission

**APPROVAL AND CONSENT OF FINANCE AND
ADMINISTRATION CABINET**

The Secretary of the Finance and Administration Cabinet (the "Cabinet") of the Commonwealth of Kentucky hereby acknowledges his approval and consent and the approval and consent of the Cabinet to the terms and provisions of this Financing Agreement and to evidence acceptance of the obligations and covenants of the Cabinet contained in such Financing Agreement and on behalf of the Cabinet confirms that the provisions of this Financing Agreement are consistent with the public interest. This approval and consent is applicable only insofar as the same are within the jurisdiction, functions and powers of the Cabinet or within the jurisdiction, functions and powers of the Secretary of the Cabinet.

DATED: _____

**FINANCE AND ADMINISTRATION
CABINET OF THE COMMONWEALTH OF
KENTUCKY**

By: _____
Secretary

ANNEX A

THE PROJECT

<u>Project</u>	<u>Amount</u>	<u>First Debt Servicing Date</u>
Parking	\$4,363,000	
Infrastructure Projects, including (a) Replace Central Facilities Management Project, (b) Replace Steam and Condensate Pipe, (c) Improve Central Heating Plan and (d) Replace High Voltage Wiring.	\$6,000,000	

ANNEX B

FORM OF RESOLUTION

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ("STATE AGENCY") APPROVING A FINANCING AGREEMENT AMONG THE STATE AGENCY, THE KENTUCKY ASSET/LIABILITY COMMISSION AND THE FINANCE AND ADMINISTRATION CABINET OF THE COMMONWEALTH OF KENTUCKY FOR THE PURPOSE OF PROVIDING INTERIM FINANCING FOR AN AUTHORIZED PROJECT IN ANTICIPATION OF THE ISSUANCE OF BONDS TO PROVIDE PERMANENT FINANCING FOR SAID PROJECT.

WHEREAS, pursuant to Section 56.860 et seq. of the Kentucky Revised Statutes (the "Act"), the Kentucky Asset/Liability Commission (the "Commission") was created and exists as an independent agency and constituted authority of the Commonwealth of Kentucky (the "Commonwealth") and is authorized to issue Project Notes, as defined in the Act, at the request of the Cabinet, as defined in the Act, to provide interim financing for Authorized Projects, as defined in the Act, in anticipation of the issuance of bonds; and

WHEREAS, the General Assembly pursuant to H.B. 269 of the General Assembly of the Commonwealth of Kentucky, 2003 Regular Session (the "Budget Act") authorized certain expenditures in certain amounts for the hereinafter described Project to be financed with bonds, which Project constitutes an Authorized Project, as defined in the Act; and

WHEREAS, the State Agency, intends to issue bonds (the "Bonds") at the time that is in the best financial interests of the Project, to provide permanent financing for the Project and has requested, through the Finance and Administration Cabinet (the "Cabinet"), in accordance with the Act, that the Commission issue and sell Project Notes to provide funds for the Project in anticipation of the issuance of the Bonds; and

WHEREAS, the Commission has determined to provide interim financing for the Project through the issuance of its Project Notes, 2002 Agency Fund Series (the "Notes");

WHEREAS, the State Agency wishes to authorize the Financing Agreement (the "Financing Agreement") among the State Agency, the Commission and the Cabinet to provide interim financing for the Project and as evidence of its commitment to issue the Bonds, which Bonds constitute the Bonds described in the Financing Agreement.

NOW THEREFORE, it is hereby resolved and ordered by the Board of Trustees of the University of Kentucky as follows:

Section 1. Determinations. The State Agency hereby finds and determines that (a) it hereby ratifies and affirms its request that the Commission issue the Notes in anticipation of the issuance of the Bonds, for the purpose of paying the costs of the Project; (b) it is necessary to execute and deliver the Financing Agreement in order to provide for a source of payment for the Notes; and (c) approval of the Project described in the Financing Agreement is hereby ratified and confirmed.

Section 2. The Financing Agreement and Other Documents. As evidence of its obligations in this Resolution, the Chairman is hereby authorized to execute, acknowledge and

deliver, on behalf of the State Agency, the Financing Agreement, in substantially the form submitted to the State Agency, which is hereby approved, with such changes therein not inconsistent with this Resolution and not substantially adverse to the State Agency as may be permitted by the Act and approved by such officer. The approval of such changes by such officer, and that such changes are not substantially adverse to the State Agency, shall be conclusively evidenced by the execution of the Financing Agreement by such officer. The Chairman and Secretary are hereby separately authorized to take any and all actions and to execute such other instruments that may be necessary or appropriate in the opinion of Peck, Shaffer & Williams LLP, as bond counsel for the Notes, in order to effect the issuance of the Notes and the intent of this Resolution.

Section 3. Effective Date. This resolution shall take effect immediately upon its adoption.

ADOPTED AND APPROVED this 22nd day of June, 2004.

UNIVERSITY OF KENTUCKY, State Agency

By: _____

Title: _____

CERTIFICATE

It is certified that the foregoing is a true and correct copy of a resolution duly adopted by the Board of Trustees of University of Kentucky ("State Agency") on the 22nd day of June, 2004, and such resolution has not been altered, amended or repealed.

IN WITNESS WHEREOF, the undersigned has executed this Certificate this _____ day of _____, _____.

Secretary

ANNEX C

GENERAL CLOSING CERTIFICATE OF STATE AGENCY

Re: Kentucky Asset/Liability Commission Project Notes, 2002 Agency Fund Series, Financing Agreement

The undersigned, Chairman of the Board of Trustees of the University of Kentucky (the "State Agency"), hereby certifies, as of the date hereof, that (the capitalized terms used herein having the meanings given them in the Financing Agreement (the "Financing Agreement") among the State Agency, the Kentucky Asset/Liability Commission (the "Commission") and the Finance and Administration Cabinet of the Commonwealth of Kentucky (the "Cabinet")):

(a) The State Agency is duly organized and existing as an independent agency and constituted authority of the Commonwealth of Kentucky with full legal right, power and authority to enter into the Financing Agreement and consummate the transactions contemplated by the Financing Agreement;

(b) The execution and delivery of the Financing Agreement and compliance with the provisions thereof, under the circumstances contemplated thereby, will not in any material respect conflict with or constitute on the part of the State Agency a breach of or default under any other agreement or instrument to which the State Agency is a party or any existing law, administrative regulation, court order or consent decree to which the State Agency is subject;

(c) The State Agency has in all respects complied with the requirements of the Financing Agreement and the Act and will comply with all other laws and the Constitution of the Commonwealth;

(d) All approvals, consents and orders of any governmental authority, board, commission or agency having jurisdiction which would constitute a condition precedent to the performance by the State Agency of its obligations under the Financing Agreement have been obtained;

(e) The Financing Agreement, assuming due authorization, execution and delivery by the other parties thereto, constitutes a valid and binding obligation of the State Agency enforceable in accordance with its terms, subject to any applicable bankruptcy, insolvency or other laws affecting creditors' rights or remedies heretofore or hereafter enacted;

(f) The State Agency has duly (i) adopted the resolution approving the Financing Agreement (the "Resolution"), and such Resolution is in full force and effect, (ii) authorized and approved the execution and delivery of, and the performance by the State Agency of the obligations contained in the Financing Agreement;

(g) The State Agency is not in breach of or default under any applicable law or administrative regulation of the Commonwealth, any department, division, agency or instrumentality thereof, or the United States, or any applicable judgment or decreed or any loan agreement, note, resolution, certificate, agreement or other instrument to which the State Agency is a party or is otherwise subject;

(h) There is no action, suit, proceeding, inquiry or investigation, at law or in equity, or before or by any court, public board or body, pending or, to the knowledge of the State Agency threatened against or in any way affecting the existence of the State Agency or the title of any of the officers or members of the State Agency to their office, or seeking to restrain or to enjoin the entering into of the Financing Agreement, or the application of the proceeds of the Financing Agreement as provided therein, or the collection of the revenues received by the State Agency, or in any way contesting or affecting the validity or enforceability of the Financing Agreement, or contesting the existence or powers of the State Agency or its authority with respect to the Financing Agreement or the Resolution nor to the best knowledge of the State Agency is there any basis therefor.

(i) The representations, warranties, covenants and agreements of the State Agency set forth in the Financing Agreement are true and correct in all material respects as of the date hereof.

(j) No event has occurred and is continuing which constitutes an event of default or would constitute an event of default but for the requirement that notice be given or time elapse or both under the Financing Agreement.

IN WITNESS WHEREOF, the undersigned has hereunto set his or her signature this _____ day of _____, _____.

By: _____

Title: _____

ANNEX D

OPINION OF COUNSEL TO STATE AGENCY

[Date of Delivery of Financing Agreement]

Commonwealth of Kentucky
Finance and Administration Cabinet
Frankfort, Kentucky

Kentucky Asset/Liability Commission
Frankfort, Kentucky

Peck, Shaffer & Williams LLP
Covington, Kentucky

Gentlemen:

I am acting general counsel for the University of Kentucky (the "State Agency"), and in such capacity, am familiar with its organization, statutory authority, regulations (if any), agreements and resolutions, all as in effect on the date hereof.

I have examined an executed copy of the Financing Agreement (the "Financing Agreement") among the State Agency, the Kentucky Asset/Liability Commission (the "Commission") and the Finance and Administration Cabinet of the Commonwealth of Kentucky (the "Cabinet relating to the issuance of Kentucky Asset/Liability Commission Project Notes, 2002 Agency Fund Series (the "Notes") under the terms of the Indenture. I have also examined the original photostatic or certified copies of such records of the State Agency and other documents and materials, and have made such inquiries, as I have deemed relevant and necessary.

Based upon the foregoing, I am of the opinion that:

(1) The State Agency is an agency of the Commonwealth, duly organized and validly existing under the laws of the Commonwealth and constitutes a "State Agency" within the meaning of Sections 56.860, et seq. of the Kentucky Revised Statutes.

(2) The Financing Agreement has been duly authorized, executed and delivered by the State Agency and creates a valid, binding and enforceable agreement of the State Agency and the Financing Agreement creates a valid, binding and enforceable obligation of the State Agency (i) to pay to the Commission the payments as are provided for in the Financing Agreement, subject only to biennial appropriations by the General Assembly of the Commonwealth and (ii) to issue the Bonds, as defined in the Financing Agreement, subject to the conditions described in the Financing Agreement.

(3) The execution, delivery and performance of the Financing Agreement by the State Agency is not subject to any authorization, consent, approval or review of any governmental body or regulatory authority not heretofore obtained or effected as required.

(4) To the best of my knowledge, after due inquiry, there is no litigation pending or threatened against the State Agency, which would affect the creation, organization or existence of the State Agency or the title of its present members or officers to their respective offices, or contesting or affecting the validity or enforceability of the Financing Agreement.

(5) The execution and delivery of the Financing Agreement and the performance by the State Agency of its obligations thereunder, does not and will not conflict with, violate or constitute a default under any court or administrative order, decree or ruling, or any law, statute, ordinance or regulation, or any agreement, indenture, mortgage, lease, note or other obligation or instrument binding upon the State Agency, or any resolutions heretofore adopted by the State Agency.

This opinion may be relied upon by the Commission and by Bond Counsel in connection with the authorization and the issuance of the Notes by the Commission.

Respectfully submitted,

27514\2

FCR 11

■ Office of the President
June 22, 2004

Members, Board of Trustees:

DISPOSAL OF PERSONAL PROPERTY

Recommendation: that the Board of Trustees approve a plan for fiscal year 2004-2005 authorizing routine disposition of personal property having a value of less than \$10,000 per item, to include equipment and machinery, vehicles, library books and materials, livestock, and recyclable materials as listed on Attachment I.

Background: Kentucky Revised Statute 164A.575 requires that the Board of Trustees approve disposition of equipment and other property. Administrative Regulation II-1.3-3, approved by the Board of Trustees on August 16, 1994 establishes the policies and procedures, including required approvals for the disposition of personal property. This plan will provide an efficient and timely process for the disposition of property having a value of less than \$10,000 in accordance with Administrative Regulation II-1.3-3. Dispositions of personal property having a value greater than \$10,000 will be approved by the Board of Trustees on a case-by-case basis.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

ATTACHMENT I

ANTICIPATED DISPOSAL OF PROPERTY 2004-2005

A. Equipment and Machinery

1. Capital Equipment and Machinery

Method of Disposal

Public Auction

Sealed Bid

Fixed Price

Transfers to Other State Agencies and Educational Institutions

Reason for Disposal

Equipment and machinery surplus to the needs of the University due to depreciation, obsolescence, program changes, or termination.

2. Non-capital Equipment, Machinery, and Supplies

Method of Disposal

Public Auction

Sealed Bid

Fixed Price

Transfers to Other State Agencies and Educational Institutions

Reason for Disposal

Equipment and machinery surplus to the needs of the University due to depreciation, obsolescence, program changes, or termination.

B. Vehicles

Method of Disposal

Public Auction

Reason for Disposal

Vehicles of excessive age and/or mileage or in need of major repairs.

C. Library Books and Materials

Method of Disposal

Public Auction

Sealed Bid

Fixed Price

Reason for Disposal

Library books and materials that are obsolete or outdated.

D. Livestock

Method of Disposal

Public Auction

Sealed Bid

Fixed Price

Reason for Disposal

Livestock surplus to the needs of the College of Agriculture or otherwise unsuitable for use (completion of research projects, carcass data requirements, normal culling of herds).

E. Recyclable Materials

Method of Disposal

Price Contracts

Fixed Price

Reason for Disposal

To comply with regulations for proper and timely disposition of waste products and recyclable materials (as directed by the Office of Environmental Health and Safety).

FCR 12

Office of the President
June 22, 2004

Members, Board of Trustees:

DISPOSITION OF SURPLUS PROPERTY

Recommendation: that the Board of Trustees approve the disposal of surplus property as listed on Attachment I.

Background: Kentucky Revised Statute 164A.575 requires that the Board of Trustees approve the disposition of surplus property. Administrative Regulation II-1.3-3, approved by the Board of Trustees on August 16, 1994 establishes the policies and procedures for the disposition of personal property. In accordance with AR II-1.3-3, the Board of Trustees must be provided with a description of the property, the reason for disposition, and the method of disposition. Attachment I includes the required information for the requested disposition of personal property.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

UNIVERSITY OF KENTUCKY
REQUEST FOR DISPOSITION OF PERSONAL PROPERTY

Description	1 Apple Powerbook 1 Dell Optiplex GX260 Computer/Zip Drive 1 Dell Precision Workstation 1 Sorvall Centrifuge Model RT7 1 Baker Class II Biosafety Cabinet 1 Olympus Microscope 1 Sartorius Top-loading Balance Model L310 1 Under-counter Freezer 1 Bench-top Orbital Shaker 1 PTC-200 MJ Research 1 Victor for Fl, Lum and ABS 1 Well Alpha Dual 30/30 1 Baxter 30 Upright Freezer 1 Chest Freezer VWR 1 Smartcycler II Desk-top System 1 Easypure Water Purification System 1 Biomate 3 Spectrophotometer 1 Axiovert 200 Man Microscope
Reason for Disposition	Dr. Michael Carrithers of the Department of Neurology, the exclusive user of this equipment has accepted a position at Yale University where he will continue his research on two currently active grants entitled "Migration of Pioneer T Lymphocytes into the Brain" and "Immune Surveillance of the Brain in Health and Disease." All the equipment requested for transfer was purchased from grant and start-up funds made available to Dr. Carrithers when he arrived at the University of Kentucky. Dr. Carrithers has stated, and the Provost and the Associate Provost agree, it would be in the best interest of the University of Kentucky to transfer the equipment to Yale University where it can be used in continuation of these research projects.
Method of Disposition	Transfer without monetary consideration.

FCR 13

Office of the President
June 22, 2004

Members, Board of Trustees:

APPROVAL OF LEASES

Recommendation: that the Executive Vice President for Finance and Administration be authorized to negotiate and execute the following:

A renewal lease between the University of Kentucky (University Press) and Capstone Distribution Center, LLC, c/o Langley Properties, 300 West Vine Street, Lexington, Kentucky, for space located at 2100 Capstone Drive, Lexington, Kentucky, effective July 1, 2004 through June 30, 2008, for the use of 15,000 square feet of office and a distribution center, at an annual rate of \$90,000.00.

A renewal lease between the University of Kentucky (Markey Cancer Center) and Southcreek Park Partnership, 175 East Main Street, Lexington, Kentucky, for space located at 2365 Harrodsburg Road, Lexington, Kentucky, effective July 1, 2004 through June 30, 2005, for the use of 4,443 square feet of office space, at an annual rate of \$73,309.50.

A renewal lease between the University of Kentucky (School of Public Health) and Southcreek Park Partnership, 175 East Main Street, Lexington, Kentucky, for space located at 2365 Harrodsburg Road, Lexington, Kentucky, effective July 1, 2004 through June 30, 2006, for the use of 2,447 square feet of office space, at an annual rate of \$40,375.50.

A renewal lease between the University of Kentucky (Hospital Marketing) and SITE, Inc., 2333 Alumni Park Plaza, Lexington, Kentucky, for space located at 2347 Sterlington Road, Lexington, Kentucky, effective July 1, 2004 through June 30, 2007, for the use of 6,615 square feet of office space, at an annual rate of \$108,486.00.

A renewal lease between the University of Kentucky (College of Medicine, CATS Project) and Blazer Parkway Venture, LLC, 3470 Blazer Parkway, Lexington, Kentucky, for space located at 3470 Blazer Parkway, Lexington, Kentucky, effective July 1, 2004 through June 30, 2005, for the use of 6,384 square feet of office/clinic space, at an annual rate of \$101,825.00.

A renewal lease between the University of Kentucky (Markey Cancer Center Information Services) and Southcreek Park Partnership, 175 ½ East Main Street, Lexington, Kentucky, for space located at 2365 Harrodsburg Road, Lexington, Kentucky, effective July 1, 2004 through June 30, 2009, for the use of 14,709 square feet of office/conference space, at an annual rate of \$248,618.16.

A new lease between the University of Kentucky (Center on Drug and Alcohol Research/Institute on Women Substance Abuse) and Whitmer Properties, Inc., 605 Camino Road, Lexington, Kentucky, effective July 1, 2004 through June 30, 2009, for the use of 4,614 square feet of office space, at an annual rate of \$59,982.00.

A new lease between the University of Kentucky (College of Public Health) and Whitmer Properties, Inc., 605 Camino Road, Lexington, Kentucky, for space located at 1141 Red Mile Road, effective July 1, 2004 through June 30, 2009, for the use of 5,310 square feet of office space, at an annual rate of \$69,030.00.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases in excess of \$30,000 be approved by the Board of Trustees.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 14

Office of the President
June 22, 2004

Members, Board of Trustees:

REPORT OF LEASES

Recommendation: that the Board of Trustees accept the President's Report of the following lease agreements in accordance with Board Policy:

A renewal lease between the University of Kentucky (Kentucky Cancer Network) and Paul W. Blair, 233-299 East Main Street, Morehead, Kentucky, for space located at 233 East Main Street, Morehead, Kentucky, effective July 1, 2004 through June 30, 2006, for the use of 672 square feet of office space, at an annual rate of \$5,400.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Robinson Scholar's Program) and Mountain Land Company, 400 Village Center, Harlan, Kentucky, for space located in the Harlan Professional Building, Professional Lane, Harlan, Kentucky, effective July 1, 2004 through June 30, 2005, for the use of 1,340 square feet of office space, at an annual rate of \$11,376.60, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Farm Analysis) and Christian County Agricultural Extension Foundation, Inc., 2850 Pembroke Road, Hopkinsville, Kentucky, for space located at 2850 Pembroke Road, Hopkinsville, Kentucky, effective July 1, 2004 through June 30, 2005, for the use of 2,070 square feet of office space, at an annual rate of \$22,770.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Farm Analysis) and Crossfield Center, Inc., 233 Stourbridge Court, Versailles, Kentucky, for space located at 115 Crossfield Drive, Versailles, Kentucky, effective July 1, 2004 through June 30, 2009, for the use of 1,050 square feet of office space, at an annual rate of \$8,925.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Injury Prevention and Research Center) and Morehead State University, UPO Box 507, Morehead, Kentucky, for space located at Morehead State University, Morehead, Kentucky, effective July 1, 2004 through June 30, 2006, for the use of 480 square feet of office space, at an annual rate of \$2,615.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Homeplace) and Frog Level Properties, 970 Colony Road, Manchester, Kentucky, for space located at 76 Fayette Properties, Manchester, Kentucky, effective July 1, 2004 through June 30, 2006, for the use of 1,536 square feet of office space, at an annual rate of \$10,800.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Kentucky Homeplace) and McBill Corporation, 156 South Carol Malone Boulevard, Grayson, Kentucky, for space located at 122 South Carol Malone Boulevard, Grayson, Kentucky, effective July 1, 2004 through June 30, 2007, for the use of 1,250 square feet of office space, at an annual rate of \$7,140.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Academic Outreach and Public Service) and YWCA of Lexington, Phillis Wheatley Center, 647 Chestnut Street, Lexington, Kentucky, for space located at 647 Chestnut Street, Lexington, Kentucky, effective June 1, 2004 through September 30, 2005, for the use of 2,690 square feet of office space, at an annual rate of \$3,600.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action Taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 15

Office of the President
June 22, 2004

Members, Board of Trustees:

OPERATING BUDGET FOR FISCAL YEAR 2004-05

Recommendation: that the Operating Budget for Fiscal Year 2004-05 as submitted, including the authorization set forth in the letter of transmittal, be approved, ordered recorded as an integral part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures, and accepted as a basis of maximum expenditures for fiscal 2004-05. Because a budget has not been finalized by the General Assembly and it is possible the state will be operating pursuant to a Gubernatorial spending plan, the Board authorizes the President to amend this approved budget to reflect any unanticipated changes in a spending plan ordered by the Governor and, in the alternative, a Budget approved by the General Assembly.

Background: The 2004-05 Operating Budget of the University of Kentucky is presented for approval by the Board of Trustees. Copies of the budget document have been made available to the members of the Board.

To obtain a copy of the 2004-05 operating budget, please contact Maria Kemplin, Office of Planning, Budget, and Policy Analysis, 203 Gillis Building, University of Kentucky, Lexington, KY 0033, or phone 859-257-3505.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 16

Office of the President
June 22, 2004

Members, Board of Trustees:

ACQUISITION OF REAL PROPERTY REFERRED TO AS THE LEXEL BUILDING AT COLDSTREAM IN FAYETTE COUNTY, KENTUCKY

Recommendation: that the Board of Trustees authorize the Executive Vice President for Finance and Administration to negotiate the acquisition of the Lexel Building on land already owned by the University of Kentucky at its Coldstream Research Campus for a purchase price not to exceed \$9.6 million and also not to exceed the appraised fair market value as based upon two independent appraisals; further, that the Executive Vice President for Finance and Administration be authorized to execute any and all documents required for such purchase; further, that the Board of Trustees approve a Resolution expressing its official intent to issue bonds, and stating that the proceeds of the bonds will be used to reimburse any payments of the purchase price made prior to the issuance of the bonds.

Background: The Lexel Building on the UK Coldstream Research Campus is being offered for sale by owners John Morris and Rex Sheldon, who acquired the facility in 1997. In February 2004, they paid for an appraisal of the facility which estimated the value at \$14 million. Their original proposal was to sell it to the University for \$11 million. After seven weeks of discussion and negotiation, we are at the point where the price to acquire the building is \$9.6 million.

In addition to manufacturing, prototyping, and storage space as well as a variety of sophisticated mechanical systems well suited to research and development, the 163,000 square feet facility contains 12,000 square feet of cleanroom and over 25,000 square feet of office space. Lexel Imaging has a lease on the facility that continues until April 1, 2005. Their market has declined, and they wish to extend the lease for five years occupying approximately 50 percent of the space with options for lease extensions in the future. The company employs 105 people in high-technology jobs and is owned by an Atlanta company that has looked at other sites outside of Lexington to which they might move their operations.

In addition to preserving over 100 jobs, the acquisition of the Lexel Building provides a unique opportunity for the University to place research programs and centers at the Coldstream Research Campus at an attractive cost. This comes at a time when space and budgets are major constraints for the central campus to otherwise provide for these needs. Coldstream will also use the facility to house projects for companies that want to be at the Research Campus in order to work closely with our research personnel. Possible projects include an engineering research and a hydrogen fuel project, Coldstream administrative office, and current and future graduates from ASTeCC in need of expansion space. In addition, existing technology firms such as a medical device manufacturer could make good use of part or all of the building over time. With the Center for Pharmaceutical Science and Technology opening in 2005, this building could give us a facility to begin marketing to their corporate partners.

Pursuant to the income tax regulations of the Internal Revenue Service, in order for expenditures made for a public project prior to the issuance of revenue bonds to be reimbursed upon the issuance of such bonds, it is necessary that the issuer express its official intent to issue such bonds or to have such bonds issued on its behalf and to reimburse such expenditures made prior to the issuance of such bonds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY DECLARING OFFICIAL INTENT WITH RESPECT TO REIMBURSEMENT OF TEMPORARY ADVANCES MADE FOR CAPITAL EXPENDITURES TO BE MADE FROM SUBSEQUENT BORROWINGS; AND TAKING OTHER ACTIONS IN CONNECTION THEREWITH

WHEREAS, Treasury Regulations § 1.150-2 (the "Reimbursement Regulations"), issued pursuant to § 150 of the Internal Revenue Code of 1986, as amended, (the "Code") prescribes certain requirements by which proceeds of tax-exempt bonds, notes, certificates or other obligations included in the meaning of "bonds" under § 150 of the Code ("Obligations") used to reimburse advances made for Capital Expenditures (as hereinafter defined) paid before the issuance of such Obligations may be deemed "spent" for purposes of §§ 103 and 141 to 150 of the Code and therefore, not further subject to any other requirements or restrictions under those sections of the Code; and

WHEREAS, such Reimbursement Regulations require that the Borrower (as hereinafter defined) make a Declaration of Official Intent (as hereinafter defined) to reimburse any Capital Expenditure paid prior to the issuance of the Obligations intended to fund such Capital Expenditure and require that such Declaration of Official Intent be made no later than sixty (60) days after payment of the Capital Expenditure and further require that the Allocation (as hereinafter defined) of the proceeds of such Obligations to reimburse such Capital Expenditures occur no later than eighteen (18) months after the later of the date the Capital Expenditure was paid or the date the property acquired with the Capital Expenditure was placed in service, except that any such Allocation must be made no later than three years after such Capital Expenditure was paid; and

WHEREAS, the University of Kentucky (the "Borrower") wishes to ensure compliance with the Reimbursement Regulations;

NOW, THEREFORE, be it resolved as follows by the Board of Trustees of the University of Kentucky as follows:

SECTION 1. Definitions. The following definitions apply to the terms used herein:

"*Allocation*" means written evidence that proceeds of Obligations issued subsequent to the payment of a Capital Expenditure are to effect the reimbursement of the Borrower for such payments.

"*Borrower*" means the University of Kentucky.

"*Capital Expenditures*" means any expense for an item that is properly depreciable or amortizable or is otherwise treated as a capital expenditure for purposes of the Code, as well as any costs of issuing Reimbursement Bonds.

"*Declaration of Official Intent*" means a written declaration that the Borrower intends to fund a Capital Expenditure with an issue of Reimbursement Bonds and reasonably expects to be reimbursed from the proceeds of such an issue.

"*Reimbursement*" means the restoration to the Borrower of money temporarily advanced from other funds of the Borrower to pay for Capital Expenditures before the issuance of Obligations intended to fund such Capital Expenditures. "To reimburse" means to make such a restoration.

"*Reimbursement Bonds*" means Obligations that are issued to reimburse the Borrower for Capital Expenditures previously paid by or for the Borrower.

"*Reimbursement Regulations*" means Treasury Regulations § 1.150-2 and any amendments thereto or superseding regulations, whether in proposed, temporary or final form, as applicable, prescribing conditions under which the proceeds of Obligations when allocated or applied to a reimbursement will be treated as "spent" for purposes of §§ 103 and 141 to 150 of the Code.

SECTION 2. Declaration of Official Intent.

(a) The Borrower declares that it reasonably expects that the Capital Expenditures described in Section (b), which will be paid prior to the issuance of any Obligations intended to fund such Capital Expenditures, will be reimbursed with the proceeds of Obligations, representing a borrowing by the Borrower in the maximum principal amount for such reimbursements, funding of a debt service reserve and payment of costs of issuance of approximately \$10,000,000; and

(b) The Capital Expenditures to be reimbursed are to be used for the purchase of the Lexel Building on the UK Coldstream Research Campus.

SECTION 3. Reasonable Expectations. The Borrower does not expect any other funds (including the money advanced to make the Capital Expenditures that are to be reimbursed), to be reserved, allocated on a long-term basis, or otherwise set aside by the Borrower or any other entity affiliated with the Borrower, with respect to the Capital Expenditures described in 2(b).

SECTION 4. Effective Date. This Resolution shall be effective from and after its date of adoption.

Adopted at a duly convened meeting of the Board of Trustees of the University of Kentucky on the 22nd day of June, 2004.

UNIVERSITY OF KENTUCKY

Attest:

By: _____
Chairman, Board of Trustees

By: _____
Secretary

CERTIFICATE

I, the undersigned Secretary of the Board of Trustees of the University of Kentucky, certify that the foregoing is a true and correct copy of a Resolution passed at a duly convened meeting of the Board of Trustees of the University of Kentucky held on the 22nd day of June, 2004, at which a quorum was present, and that said Resolution has not been amended, modified, revoked or repealed and is now in full force and effect, all as appears from the official records of the Board of Trustees of the University of Kentucky in my custody and under my control.

Witness my hand as Secretary of the Board of Trustees of the University of Kentucky this 22nd day of June, 2004.

Secretary

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