MINUTES
Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
June 11, 2002
18th Floor Patterson Office Tower

Minutes

Accompanying Materials:

President's Report and Action Items

PR 2 Personnel Actions
PR 3 Proposed Amendments to the Governing Regulations
PR 4 Reappointment of the Executive Director of the University of Kentucky Research Foundation
PR 5 Appointment/Reappointment of Board of Directors of the University of Kentucky Research Foundation
PR 6 Agreement between University of Kentucky and Kentucky Medical Services Foundation
PR 7 Appointment to Board of Directors of the FUND for Advancement of Education and Research in the University of Kentucky Medical Center
PR 8 Report on Result of Alumni Member Election

Academic Affairs Committee Report

AACR 1 Candidates for Degrees – Community College System

Finance Committee Report

FCR 1 Disposal of Personal Property
FCR 2 Report of Leases
FCR 3 Approval of Leases
FCR 4 Authorization to Sell 35.58 Acres of Gift Property in Woodford County
FCR 5 Authorization to Sell 21.875 Acres of Agricultural Experiment Station Land off the South Farm in Fayette County
FCR 6 Operating Budget for Fiscal 2002-03
FCR 7 Patent Assignment Report
FCR 8 Anonymous Gift and Pledge
FCR 9 Anonymous Gift and Pledge
FCR 10 John H. Heick Estate Gift
FCR 11 R. C. Durr Gift and Pledge
FCR 12 Ford Motor Company Gift and Pledge
FCR 13 Secat, Inc. Pledge
FCR 14 S. Oden (Denny) Howell Pledge
FCR 15 Gloria W. Singletary Professorship/Chair Gifts and Pledges
FCR 16 Kentucky Medical Services Foundation Gift
FCR 17 Gifts and Pledges for Gifford S. Blyton Professorship
FCR 18 Changing Name of Professorship in Albert B. Chandler Medical Center
FCR 19 Changing Name of Professorship in Albert B. Chandler Medical Center
FCR 20 Reallocation of Proceeds Jes E. and Clementine Mills Schlaikjer Estate

Ad Hoc Committee on Board Structure Report

AHCR 1 Ad Hoc Committee on Board Structure Report

Slide Presentation on Administration Building

2002-03 Budget Presentation

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, June 11, 2002.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, June 11, 2002 in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Mr. Billy Joe Miles, Chairperson, called the meeting to order at 1:00 p.m., and Dr. Elissa Plattner gave the invocation.

B. Oath of Office

Paul Van Booven, Acting General Counsel, administered the Oath of Office to the following members:

Michael Kennedy, elected by the faculty to replace Loys Mather, for a term ending June 30, 2005.
Tim Robinson, who will serve as student trustee for the 2002-03 academic year.
Frank Shoop, reappointed by Governor Paul E. Patton, for a term ending June 30, 2008.
Billy B. Wilcoxson, reappointed by Governor Paul E. Patton, for a term ending June 30, 2008.

C. Roll Call

The following members of the Board of Trustees answered the call of the roll: Ms. Marian Smith Edge, Mr. John "Jack" Guthrie, Dr. Loys Mather, Mr. Billy Joe Miles (Chairman), Dr. Elissa Plattner, Dr. Claire Pomeroy, Mr. Steven Reed, Mr. Tim Robinson, Mr. Frank Shoop, Ms. Marian Sims, Ms. Alice Sparks, Dr. Grady Stumbo, Ms. JoEtta Wickliffe, Mr. Billy Wilcoxson, Mr. Russ Williams, Ms. Elaine Wilson, and Ms. Barbara Young. Absent from the meeting were Mr. Paul Chellgren, Ms. Pamela May, and Dr. Robert P. Meriwether. The University administration was represented by President Lee T. Todd, Jr., Acting Senior Vice President Jack C. Blanton, Senior Vice President and Chancellor of the Medical Center James W. Holsinger, Jr., Acting Provost Michael Nietzel, Acting Vice President for Research James Boling, and Acting General Counsel, Mr. Paul Van Booven.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:00 p.m.

D. Consent Agenda

Mr. Miles noted the following three items on the consent agenda and asked for a motion of approval:

Approval of Minutes - April 30, 2002
PR 2 Personnel Actions
AACR 1 Candidates for Degrees – Community College System

Ms. Edge moved approval of the Consent Agenda. Her motion, seconded by Ms. Wilson, carried. (See PR 2 and AACR 1 at the end of the Minutes.)

E. President's Report to the Board of Trustees (PR 1)

President Todd called attention to the following items in PR 1 and encouraged the Board to read all of items in the report:

1. Researchers at the University of Kentucky received $7.2 million in funding for research on biochemical and environmental sciences projects under a $13.5 million grant through the Kentucky Experimental Program to Stimulate Competitive Research (EPSCoR). The funds are shared with the University of Louisville and Murray State University which is another part of the vision of working with other institutions in the state.

2. President Lee T. Todd, Jr. accompanied Governor Paul E. Patton to Japan to reinforce the Commonwealth's standing as a business partner with that nation. Governor Patton gave President Todd a chance to address a group of 15 or more of the largest Japanese automotive suppliers. President Todd talked to them about their interest level in intern programs where some of UK's students can go to Japan to work and show off their Japanese language and their knowledge of Japanese business. President Todd also told them the University of Kentucky can provide support in a variety of ways with respect to research. President Todd pointed out that Japanese companies were recruited to Kentucky on the promise of tax incentives and cheap labor. If that is the main reason they are here, ten years from now when they amortize their plant investment, they could leave and go to the next cheap land and cheap labor place. President Todd mentioned he met some UK graduates who are interested in starting an alumni club in Hong Kong.

3. The University of Kentucky leads the southeastern states in the Southern Regional Education Board's (SREB) Doctoral Scholars program for minorities. Four doctoral students completed their doctorates in 2001 and nine more are working toward their doctorates this year. SREB recently recognized UK for being the leader.

4. The "Children's Miracle Network Celebration" benefits the UK Children's Hospital. In the past 15 years, they have raised more than $7.5 million for the UK Children's Hospital.

5. Sister Rosemary Howarth, General Superior of the School Sisters of Notre Dame, toured the Sanders-Brown Center on Aging recently when she came to UK to receive an Honorary Doctor of Letters degree. Sister Howarth is a strong supporter of the Nun Study.

6. Dr. Susan Scollay, Associate Professor of Administration and Supervision, has been appointed Academic Ombud for the 2002-03 academic year. She replaces Dr. Jeffrey Dembo who has served in this role since 1998. President Todd commented on Dr. Dembo's superb job. He said that he has been extremely pleased with Dr. Dembo's responsiveness, his empathy, and his ability to deal with issues in a very fair way. He is sure Dr. Scollay will do the same superb job.

7. Carr Sports Associates, Inc. of Gainesville, Florida has been selected to help UK find a new Athletics Director. President Todd gave a couple of reasons for that selection: Bill Carr was an Athletics Director at Florida and Houston, and Gene Corrigan, his associate, was the Athletics Director at Notre Dame and Virginia and then served as the Commissioner of the Atlantic Coast Conference for a number of years. Mr. Carr and Mr. Corrigan are highly respected in their profession. As a part of their proposal, they agreed to come to campus and interview employees in the Athletics Department as well as others across campus, give President Todd a report on their visit, and advise him on the type of leadership the University needed in an Athletics Director. President Todd reported that he has received that report, and he is getting closer to a short list of candidates. There are strong candidates interested in the position, and the position should be filled by the end of July.

8. UK staff elects first Staff Senate. There are 72 senators representing 56 precincts. The first meeting will be on July 11th. The Board of Trustees established the Staff Senate, and it is working very effectively.

9. Work-Life Retreat encourages balance in work and life for UK employees. This retreat was a recommendation by the Commission on the Status of Women. It consisted of an all day seminar attended by the great majority of deans and administrators as well as a select group of people around the campus. There were approximately 150 people in attendance. There were speakers from Michigan State and Microsoft talking about work life issues at those two institutions. The vision for the retreat was to study the type of population of employees the University presently has. Many of them are working two jobs. They have elder care issues as well as family issues. President Todd believes that the University needs to be as advanced as

possible. He expressed appreciation to Carolyn Bratt and her Commission for implementing this seminar.

10. President Todd commented on the Commission on Diversity and reported that the program for 25 African American undergraduates to work with the "Bucks for Brains" professors has been implemented.

F. Report on Grants and Contracts

President Todd said he was pleased to report that grants and contracts received are up 22.6% this year over last year at the same time. Significantly for the first time in the University's history, the $200,000,000 mark has been broken with $200,057,188 received, and there is another month remaining. That is a very significant move forward, and it indicates the quality of the faculty at the University and the good work that they do. He pointed out some of the strategies taken which include opening the Washington, D.C. office, hiring a lobbying organization to represent the University, and hiring some additional grant writers. He said, in his opinion, these are things that will help the University continue its growth and move forward with its charge to achieve "Top 20" status.

G. Report on Kirwan Tower Accident

President Todd gave an update on the accident that happened May 2nd at Kirwan Towers when Jeffrey Pfetzer and Matthew Rzepka died after falling from a third floor window. This is the first time something like this has happened on campus. The investigation is still pending. It is public knowledge that the two of them were wrestling. Wrestling apparently takes place in the dorms because it is an "in thing" to do. The gentlemen had been wrestling for less than a minute when the incident happened. They went in an unfortunate direction toward the window.

President Todd stressed that the University has one of the strongest alcohol policies across the entire country. The University has good educational programs for all of the students who live on and off campus. Each student is asked to sign a contract that states that they understand the policy and agree to abide by it. He said, in his opinion, the policy is not a question.

During the last ten years, the need to repair or replace windows in the Kirwan-Blanding complex has been minimal. The windows in that complex met national building codes when the building was built and still meet national building codes as they are written today. The University will continue, however, to look and review the safety options. President Todd emphasized that he does not want something like this to happen again. He reported that an engineering firm is evaluating the possibility of putting a safety bar across the windows, and two bar configurations are being tested. If a metal bar is put across the windows at an appropriate height, the strength of the frame comes into question; therefore, the frames will be tested. President Todd said that he hoped a decision would be made within a month. He reiterated that the current windows meet the

present day code. He assured the Board that the administration is doing everything to make sure that this does not happen again.

H. Governor's Scholars and Governor School for the Arts

President Todd reminded the Board that UK is now offering scholarships to all of the Governor's Scholars and the Governor School for the Arts. There were 125 Governor's Scholars enrolled last year. The current acceptances are now at 250. President Todd said he was proud to report that the number has basically been doubled.

I. Report on Legacy Program

President Todd gave a report on the Legacy Program, a program to benefit alumni. Alumni who live out of state can send their children to the University at instate tuition rates. The program currently has 91 continuing students. There are 109 freshmen and 23 transfers. The program brings the University good students, and it also builds bonds with the alumni.

J. Review of Architectural Plan for Administration Building

President Todd said that he gave his advisory committee a couple of guidelines for use in planning for the restoration of the Administration Building. He wanted the building to be an active building and full of life, not a holding place for administrators where people only come with troubles and other issues. He gave credit to Dr. Pomeroy for a comment she made to him about the Administration Building having its back turned to the campus and the student body. Following her comment, he began thinking about placing a door in the back of the building comparable to the front door; however, when you hire imaginative people who are professionals in their field, they come up with some even better ideas. Dr. Todd repeated the following quote made by Dennis Domer in an earlier meeting that day: "We want to reflect history but illuminate the future," referencing the design philosophy desired. President Todd said that this would be a multi-purpose attack on the way to design the building. He introduced Warren Denny, University Architect, who, along with others, has been working hard on the project and said that Mr. Denny would introduce the architects who will make a presentation.

Mr. Denny began with the history of the Administration Building. Following the fire, the first order of business was to stabilize the building, get it enclosed and protect it so that the University could proceed with the design at a normal pace. The University hired Jim Potts as the prime architect and the firm that would manage the consulting team on the project. This team includes Staggs and Fischer, a local mechanical engineer, Poage Associates, who has been working on the structural engineering, and Charles Phillips, an architectural conservator who has just finished a historic structures report on the Administration Building.

He mentioned the advisory committee that is working closely with the architects and Capital Project Management to react to the various issues that arise as the architects

proceed through the design process. The advisory committee consists of a student, Stephanie Letcher, two faculty members, Dennis Domer and Daniel Rowland, and Susan Mullins with the Bluegrass Trust and David Morgan with the Kentucky Heritage Council, all of whom have been very active in the project.

Mr. Denny explained the way he organized his remarks. Following the establishment of the advisory committee and the identification of the objectives that they wanted to follow in the design of the project, he felt it was necessary to seek the services of a consultant that had very specific design experience with this type of project. The consultant would be asked to help develop a program for the spaces that would go into the building and also to arrange those spaces in a very creative way. They looked at many national firms and, based on a long list of criteria, narrowed the choice to two firms that were clearly on a plateau above all of the others. Mr. Denny said that the selection committee focused on the experience factor because that would play a significant role in the success of the project.

He gave a PowerPoint presentation showing the Administration Building as it was when it was erected in 1882. He then showed a building at Case Western Reserve that was also built in 1882. Though the buildings represent two slightly different styles of architecture, they have a lot of resemblance in their appearance. Both buildings have experienced a great deal of physical change through their history, including changes to their exterior appearance, replacement of windows, and removal of towers. Both buildings have at one time incorporated a chapel, a bookstore, classroom spaces and administrative offices. Both buildings are at the heart of their respective university's identity. Both buildings were severely damaged by fire, and the universities were at risk of losing a very precious asset. Both buildings had the same elements remaining after the fire, basically the exterior walls. There was nothing of value left inside either building and so the base for a beginning was the remaining exterior structure. The loss was great for both institutions, but this tragedy created a great opportunity to improve upon the original condition.

Mr. Denny showed pictures of Adelbert Hall at Case Western Reserve as it looks today, pointing out the typical Board Room, the interior stair leading into a central space, and the skylight that illuminates the upper portion of the central space. Case Western Reserve staff told the architects that they wanted to preserve the character of the old building, but if there were ways to improve it, they also wanted to know about them. The architectural firm did an interpretive reconstruction of the building. While the original stair was replaced, it still maintains the original grandness. He said that the architects plan to "respect the past and illuminate the future." Mr. Denny introduced Robert Kliment and Frances Halsband of Kliment and Halsband Architects. He asked Ms. Halsband to make a presentation on the status of the design of the project today.

Ms. Halsband said it was a great honor and privilege to be at the meeting. She said that they began the process with five principle objectives in mind:

1. Reflect the past and illuminate the future

2. Look at the history of the building
3. Look at the site
4. Look at the program
5. Review a series of cultural and legal requirements

Ms. Halsband said that the building needed to be brought up to code. It needs to be accessible, which means elevators, entrances with no steps, bathrooms, air conditioning, internet access, and all of those things that are essential today. Some attention also needs to be given to energy conservation standards.

Ms. Halsband reviewed some history of the building and discussed the proposed design for the building. She said that they had begun some preliminary sketches of the building and hoped to be back at a later date with some wonderful conclusions. She displayed various photographs of the building and noted that the building turns its back on campus because originally there was a power plant behind the building. She reported that the building has had three different sets of windows, four different roofs, and probably four or five interior renovations. There had been a room that was used as a chapel, and two columns are the only remaining architectural features of that chapel. She said that they have great plans for keeping the two columns. The original plan of the building was mostly just classrooms in the four corners and a big open space in the middle.

She then talked about the proposed offices and conference rooms that would be in the restored building. She noted that there would also be an electronic classroom in the building and a new public room that would seat 110 people. She said that they do have a detailed program for the building; however, this was just a summary to keep the Board informed.

Ms. Halsband reviewed the landscape plan for the building. She talked about the lawn and wonderful green space in front of the building. She said that they plan to offer suggestions about the concrete pavement surrounding the Administration Building and the elevation of the site. One of the things that they discovered is the grade around the building has been raised, and that explains why it is so damp on the first floor all the time. She said their proposal is to go back to the original grade that was around the building. She also mentioned moving the statute of President Patterson to the middle of Patterson Plaza.

In conclusion, she said that she understood that there is a proposal for making Scott Street a part of a traffic connector system. When that happens, it might be wise to move the end of Administration Drive from where it is today to align with the intersection of Limestone Street and Scott Street to improve safety and make an easier place for crossing and stopping. Following the presentation, Ms. Halsband entertained questions from the Board.

Mr. Wilcoxson asked if the University had received the insurance money.

President Todd replied that the University had received the money; however, some of it had to be spent for computers, relocation costs, and drying documents. He reported that there is about $8 million available to apply toward the project but that was not enough. He explained that it would cost $14.5 million without changing the grade. If the grade is changed, the cost goes to $16 million. Those are the rough figures now. It would take either $6.5 or $8 million to complete the project.

Mr. Shoop asked if the additional $8 million would provide more usable space or just improve the appearance.

President Todd said that it would integrate better into the campus and create more green space. That is the main reason for doing it. You get the same program space internally for both $14.5 million and $16 million. He said that he did not know how firm the number is. It is a ballpark figure.

Dr. Pomeroy said that the more expensive option gives a "center of campus feeling" and that might be worth a significant investment to get that.

Ms. Young asked what the Development Office had to say about raising $8 million. She said it sounded like a lot of money, but it did not sound like a huge amount of money. The University is getting a building and, in her opinion, it should not be done halfway.

President Todd said that he always watches the dollar amount, but he is leaning toward the landscaping in order to try to change the way the place looks.

Mr. Shoop said that he thinks the Administration Building is the most important building on campus, and for $16 million, the Board needs to make a decision to do it right.

President Todd said the administration was not ready to pass on the motion; however, he will save that motion.

Mr. Shoop said he would make the motion when it is the proper time.

President Todd said that was the right attitude, but they were still trying to settle on the exterior. He mentioned that the skylight in the top of the building might require a roof change, and the roof is a big issue because it needs to be made functional so the 2,000 to 3,000 square feet of useless space in the attic can be utilized. He said that he liked the plan to have the Visitor Center in the building, to have the classrooms back, and the feeling of a museum. He said he would like for every student to feel like that building is a part of what they want to join. He recalled when his dad visited him at MIT before he passed away, and remembered his dad reading every inscription on every wall in the place. He said he would like to have people meandering through the building and reading about the history. He said he would just like to see people in the hall.

Dr. Mather said that he was perplexed about the Corinthian columns. He said they looked like they were on the east side of the building in the pictures, and they are actually on the west side. He asked if they would be worked into that large room.

Ms. Halsband explained that the columns are changing from one side to the other. They will be in front of the new public room. The original room took up the entire center of the building, and the proposed new public room will be a much smaller room.

President Todd recognized the members of the advisory committee in the audience. He said he appreciated the preservationist and the restoration support. They are very willing to program the building internally as a modern building while preserving some aspects of the building. He said he thought the terraces would become places that can be used very effectively, and they will also give an openness to the building beyond what he had ever imagined.

Mr. Miles asked the members of the advisory committee to stand and be recognized, following which they received a round of applause.

Mr. Guthrie asked if there was a target date for walking in the front door.

Mr. Dall Clark said it would probably be late fall 2003.

Mr. Denny said with the floor plans developing as they have, that's a major milestone in the design schedule.

Ms. Sparks said that she appreciated the fact that the University did seek the help of a preservationist because she was not sure that was on the agenda.

President Todd agreed that it is an important piece of the project. It also helps to have Dennis Domer on campus as a faculty member now. He has graduate students, and this is a real live project for the students and faculty. That has been one of the more refreshing parts of this project.

Mr. Miles asked about student involvement in the project.

Mr. Domer replied that one student is doing a master's project. The students are more involved in this building than ever in the history of the University. This has been a great thing for the students and faculty in the College of Architecture. Mr. Domer received a round of applause.

With no further questions, President Todd thanked the architects and the advisory committee for attending the meeting.

K. Vice President for Student Affairs

President Todd reported that there would be a press release about the University's search for a new Vice President for Student Affairs. The search has been completed and as of June 10th negotiations were finalized with Patricia Terrell. She is the Vice President of Student Affairs at Utah State University. He reported that Dr. Terrell received her degree from the University of Kentucky and still has relatives in the state. She will be joining the University next semester. He said that Dr. Terrell is an outstanding candidate, and she had very strong support when she came for the interviews.

President Todd noted that the position has been elevated. Dr. James Kuder, who holds the position of Associate Provost, is retiring. Given the significance of the students, the student activities, and the fact that this position involves the entire campus, it has been elevated to Vice President for Student Affairs.

President Todd thanked the search committee for doing a super job. He reported that there were over 100 applications for the position, and he said that he is thrilled with the outcome. He noted that this is just a report because the deal was just closed, and no action was necessary at this meeting.

L. Proposed Amendments to the Governing Regulations (PR 3)

President Todd reminded the Board that PR 3 was discussed at the last meeting. It has passed the 30-day period, and the recommendation is to modify the Governing Regulations. He recommended approval of PR 3.

Mr. Miles asked Dr. Mather if he had any comments.

Dr. Mather reported that this is one of two items coming out of the Ad Hoc Committee on Board Structure. The recommendations in PR 3 have received favorable review from across campus and are ready to go forth.

President Todd said that a faculty member did point out that the numbering and lettering in two sections are the same, and that will be addressed with the staff.

Mr. Miles called for a motion. The motion was made by Ms. Sims to approve PR 3, and Mr. Williams seconded the motion. Mr. Williams called attention to a couple of minor changes that were recommended by the University Senate and the Faculty Senate in PR 3. He said they were in Section 3 at the end of the last sentence of the last paragraph about the President's attendance at meetings of the Executive Committee and also at the end of Section A of the Executive Committee. The Faculty Senate recommended that the Board get input in the performance review process of the President from the University Senate, the Staff Senate, and the Student Government Association. That is an addition from the last Board meeting.

Mr. Miles called for a vote, and the motion carried. He thanked Dr. Mather for the good work of the Ad Hoc Committee. (See PR 3 at the end of the Minutes.)

M. Reappointment of the Executive Director of the University of Kentucky Research Foundation (PR 4)

President Todd said he would like to recommend approval of PR 4. He noted that the recommendation had already been before the University of Kentucky Research Foundation. The recommendation is to reappoint Dr. James Boling as Executive Director of the University of Kentucky Research Foundation through December 31, 2002. On motion made by Ms. Sparks, seconded by Mr. Guthrie and carried, PR 4 was approved. (See PR 4 at the end of the Minutes.)

N. Appointment/Reappointment of Board of Directors University of Kentucky Research Foundation (PR 5)

President Todd said that PR 5 is another University of Kentucky Research Foundation issue. He recommended approval of the appointment of Pamela May as a Trustee member for a three-year term ending June 30, 2005 and the reappointment of Henry Jackson as a public member for another three-year term ending June 30, 2005 to the Board of Directors of the University of Kentucky Research Foundation. Ms. Sparks moved approval of PR 5. Ms. Edge seconded the motion, and it passed. (See PR 5 at the end of the Minutes.)

O. Agreement Between University of Kentucky and Kentucky Medical Services Foundation (PR 6)

President Todd said that PR 6 recommends the approval of the agreement between the Board of Trustees and the Kentucky Medical Services Foundation (KMSF). He pointed out that the agreement changes some dates as compared to the prior agreement, states the annual allocation of the Dean's academic enrichment fund, modifies the Foundation's authorization to bill and collect certain specified items, clarifies the provisions for use of University facilities and provides the Dean of the College of Medicine authorization to waive or reduce charges against clinical income. He said that the document is very similar to the document previously approved by the Board. The changes are rather minor modifications to a document that has been in use for some time. Mr. Shoop moved approval. Ms. Edge seconded the motion, and it carried. (See PR 6 at the end of the Minutes.)

P. Appointment to Board of Directors of the FUND for Advancement of Education and Research in the University of Kentucky Medical Center (PR 7)

President Todd said that PR 7 recommends that approval be given to the appointment of Mira Ball to the Board of Directors of The FUND for Advancement of Education and Research in the University of Kentucky Medical Center for a two-year

term beginning July 1, 2002 and ending June 30, 2004. Dr. Pomeroy moved approval. Ms. Sims seconded the motion, and it carried. (See PR 7 at the end of the Minutes.)

Q. Report on Result of Alumni Member Election (PR 8)

President Todd said that PR 8 is the Report on the Result of the Alumni Election that has taken place. He recommended that the report of the Secretary of the Board of Trustees be received and put to record, and that she be authorized to certify to the Governor the names of the three persons receiving the largest number of votes from which list a successor to Jack Guthrie will be appointed. Mr. Guthrie moved approval. The motion, seconded by Ms. Edge, passed. (See PR 8 at the end of the Minutes.)

R. Ad Hoc Committee on Board Structure Report (AHCR 1)

President Todd said that Dr. Mather had asked Chairman Miles if the Ad Hoc Committee Report (AHCR 1) could be moved up to this point on the agenda because Ms. Young will be making a presentation in that regard, and she needed to leave the meeting early.

Dr. Mather reminded the Board that at the December meeting Chairman Miles reconstituted the Ad Hoc Committee and gave them, at that point, a two-fold charge. The first charge was to review the charges and structure of the Board of Trustees committees. That charge was completed and handled in PR 3. The second charge was to review the Bylaws of all the UK affiliated corporations and related entities. He talked about the extremely interesting exercise of identifying 49 of them and finding out who these organizations are, what their charges are, and what their mission and responsibilities are. He reported that it was very worthwhile and would be interesting information for the President to use in orienting new Board members. He said that they reviewed the material in considerable detail, looking at the role and function of each of the organizations.

Dr. Mather said that he wanted to thank the staff in the Legal Office, Dr. Blanton and his staff, Henry Clay Owen, Frank Butler and Kathy DeBoer, who were extremely helpful to the Ad Hoc Committee. He said the Committee would not have been able to put the report together were it not for the wonderful cooperation and participation from the administration. It was a committee that hung together and seemed to refuse to die. It was a hard working group.

Dr. Mather explained that the Committee divided into working groups. Russ Williams coordinated the review of the current Board committee and those charges. Barbara Young coordinated the effort for the review of the affiliated corporations. He pointed out that the Committee tried to let the Board have as much opportunity for input as possible. He mentioned the draft materials that were sent to the Board prior to the May 28th meeting inviting them to provide written feedback and to attend the meeting. He then asked Ms. Young to walk the Board through the Committee's report.

Ms. Young said that the current proposal differs very little from the draft proposal presented May 28th to the Committee. A couple of things were reworded, a few comments were added and the location of some statements may have changed. But basically, the report is the same as the one distributed to the Board prior to the May 28th Committee meeting. Since the Committee did not receive any responses from the Board, the Committee assumes it has the Board's approval.

Ms. Young said that Dr. Mather asked her to help try to organize the corporations and entities for him. She talked about the difficulty she had in getting started on the project. She then realized that she must separate things out and organize them according to categories. The two things that the Committee kept talking about were accountability and consistency. Those two things were lacking throughout this whole group of entities. She said they knew better than that, and they wanted to make sure it did not happen anywhere else.

She reviewed the report and said that the first two pages of the report are definitions of the entities. Section A consist of general guidelines that the Committee feels should apply to any organization, corporation or corporate entity associated with the University of Kentucky.

Ms. Young said that the Committee took all of the affiliated corporate boards and related groups and broke them down into groupings. The first large group was athletics. She said they defer basically to Professor Lawson's group in giving some sort of direction but recommend that the general recommendation in Section A be followed by athletics. They have some very specific recommendations for the Athletic Association Board of Directors; however, they know President Todd said that he is also thinking about how that Board is going to be structured. The Committee is not saying that this is exactly the way to do it. It just has some thoughts on that. Basically, the Committee is striving for accountability.

The next section of the report is related to the University of Kentucky Medical Center, including the University Hospital and everything associated with it, i.e. profit and non-profit organizations. The Committee felt that the oversight of the Hospital Board over the Medical Center was a little bit confusing. It does not have a lot of oversight except in the approval or disapproval of applications for medical staff membership and clinical privileges. Historically, that has not been a problem, but the Committee believes it is a good idea to look at the Hospital Board and see how it should be structured in the future, the need for the Hospital Board and the type of oversight they should have. The Committee does not have any answers on this. The Committee feels it is a good time to look at it. If a decision is made to keep the Hospital Board as it is, the Committee would recommend that it be enlarged. Often, it has been difficult to have a quorum with only five voting members.

The Committee had a couple of other recommendations; however, its main focus was on these two groups, the Athletic Association Board of Directors and the University Hospital Board of Directors. The Committee asks that the Trustee representatives on the

Hospital Board and the Athletic Association Board make a report to the Board of Trustees after every meeting. For the rest of the organizations the Committee refers back to general recommendations. The Committee also recommends that the Trustee representatives on the Boards of the affiliated foundations make a report to the Board of Trustees on what the organization is doing at least once a year.

The Committee also recommends that the University of Kentucky National Alumni Trustee representative report back to the Board of Trustees following their annual meeting.

In conclusion, Ms. Young mentioned the other two groupings: entrepreneurial and other related organizations. The general recommendations in the front of the report are the most important things. She apologized for any confusion, but said the Board could not imagine how confusing it was to the Committee.

Dr. Mather thanked Ms. Young. He said if the Board will note from the cover page, the Ad Hoc Committee was not trying to make changes specifically. The Committee is not trying to tell the administration how to correct or deal with situations; rather the Board should look at this mostly as an oversight role. The Committee was looking at this as an oversight responsibility and turning over the recommendations based on their review and study to the administration and leaving it for their consideration. In his opinion, that is a healthy role for a Board Committee to serve as opposed to dabbling or micromanaging. The Committee stayed in close contact with the President in terms of an oversight role and recommendations to the President and the administration. He said that the Committee's recommendation is that the report be received and approved by the Board of Trustees for submission to the President for his consideration and appropriate action. He moved approval of AHCR 1. Mr. Shoop seconded the motion, and it passed unanimously. (See AHCR 1 at the end of the Minutes.)

Ms. Edge said that she would like to personally commend the Committee for such hard work. It is obvious that this is a piece that needed to be gathered together. She thanked them very much, and said their recommendations were great.

S. Academic Affairs Committee Report

Ms. Sparks reported that the Academic Affairs Committee met that morning and approved the Candidates for Degrees for the Community College System. AACR 1 was on the consent agenda, and the Board had already voted it.

T. Disposal of Personal Property (FCR 1)

In the absence of Mr. Chellgren, Chairman of the Finance Committee, Vice Chairman Stumbo reported that the Finance Committee met. He said that FCR 1 gives the administration and the President permission to dispose of property that they deem to be excess or of no use to the University for value less than $10,000. Anything greater than $10,000 still comes to the Board on an issue-by-issue basis. He said that the Finance

Committee recommends the adoption of FCR 1, and he so moved. The motion, seconded by Ms. Wilson, carried. (See FCR 1 at the end of the Minutes.)

U. Report of Leases (FCR 2)

Dr. Stumbo said that FCR 2 involves two leases. These are both renewal leases and are at the same rate they were last year. They have been in place, and it is just simply an extension. The administration recommends approving these renewals. He said that the Finance Committee approved FCR 2, and he recommends the approval. Ms. Edge seconded the motion, and it passed. (See FCR 2 at the end of the Minutes.)

V. Approval of Leases (FCR 3)

Dr. Stumbo said that FCR 3 is a request for three renewals for exactly the same rate and the same square footage that the Board approved last year. It is also requesting approval of a new lease. It will bring two University Medical Center programs closer together. He said that the Finance Committee recommends approval of FCR 3, and he moved approval. The motion, seconded by Ms. Wilson, carried. (See FCR 3 at he end of the Minutes.)

W. Authorization to Sell 35.58 Acres of Gift Property in Woodford County (FCR 4)

Dr. Stumbo said FCR 4 involves 35.5 acres of land in Woodford County owned by the University. In 1991 Mr. Allen Paulson made this gift available to the University. The College of Agriculture does not have any use for the land. They have asked and recommended that the land be sold.

He reported that the property is currently leased to former Governor Louie Nunn. Governor Nunn's lease extends through June 30, 2005. There was some extensive discussion at the Finance Committee about this piece of property. Following that discussion, President Todd and Dr. Blanton recommended that the Committee approve the sale.

Dr. Stumbo explained that the money from the sale would go to establish a quasi-endowment for student scholarships. The property will be appraised and will be sold by public auction or sealed bid. The Committee was informed that there are people interested in purchasing the property.

One issue with the property is Governor Nunn's lease on it. Dr. Blanton informed the Committee that they would make the purchaser aware of the lease obligation to Governor Nunn. The Committee did not resolve how that gets worked out; therefore, the lease extends through June 30, 2005. He said he thought it was the general intent of the Finance Committee that having that lease agreement on the property may in fact make the purchaser not want to pay as much for the property. He said, in his opinion, the University should not suffer financially because of the lease, and it should get the top

dollar for the property. He reported that the Finance Committee did authorize the administration to proceed with selling the property. Dr. Stumbo moved approval of FCR 4. Ms. Wilson seconded the motion.

Mr. Wilcoxson asked about the difference in the appraised cost per acre versus the cost per acre when the University originally received the property as a gift.

Dr. Blanton said Mr. Paulson put his value of $1.2 million on those 35.58 acres at the time he gave the property to the University. You can divide 35 into $1.2 million and that will give you the value of the property at that time. Dr. Blanton said they are relatively certain it is going to appraise for several hundred thousand dollars more than that when they receive new appraisals on the property.

Mr. Wilcoxson asked if Dr. Blanton was saying that the need for the dollars for the endowment is now, taking also into consideration what that acreage might be worth another five years from now.

Dr. Blanton said that was correct. The administration hoped to put it in a quasi-endowment that would grow over time, and the University would be better off to convert it to uses that it now has.

Mr. Miles asked if there were any other questions. He called for a vote, and the motion carried. (See FCR 4 at the end of the Minutes.)

X. Authorization to Sell 21.875 Acres of Agricultural Experiment Station Land Off the South Farm in Fayette County (FCR 5)

Dr. Stumbo said FCR 5 is an authorization to sell 21.875 acres of agriculture land. In 1956 Dean Frank Welch purchased from the College of Agriculture funds 202.491 acres of land in southern Fayette County for use as an Experimental Research Farm. In later years, the University donated 10.094 acres to the state for the Waveland Historic Site, and a 42.960-acre tract of the farm was severed from the main body by the construction of Man-O-War Boulevard.

The 21.875 acres is transected by a railroad track, and currently is being used by the Department of Horticulture. The property no longer serves the needs of the Department of Horticulture. The recommendation is to authorize the sale of this property, and that the assets benefit the University, specifically the Department of Horticulture. Dr. Stumbo moved that the administration be given permission to proceed with the sale of this property. Ms. Sparks seconded the motion, and it carried. (See FCR 5 at the end of the Minutes.)

Dr. Stumbo said the Finance Committee did not act on FCR 6 pending a recommendation by the President that would be made at the end of the agenda.

Y. Patent Assignment Report (FCR 7)

Dr. Stumbo asked Dr. Boling to present FCR 7.

Dr. Boling said the Patent Assignment Report was discussed in detail in the Finance Committee, and the recommendation is for the Board to accept it.

Dr. Stumbo said that the three patent proposals are straight-forward, and he moved the adoption of FCR 7. Ms. Wilson seconded the motion, and it passed. (See FCR 7 at the end of the Minutes.)

Z. Gifts and Pledges (FCR 8 –20)

Dr. Stumbo called on Mr. Mobley to present the gifts and pledges.

Mr. Mobley gave the following report:

FCR 8 - acceptance of a gift of $200,000 from an anonymous donor. It is a $100,000 cash and $100,000 pledge to the Department of Athletics for the baseball stadium renovation project.

FCR 9 - acceptance of a gift of $360,000 from an anonymous donor. It is a gift of $160,000 and a pledge of $200,000 to the Department of Athletics for the baseball stadium renovation.

FCR 10 - acceptance of a gift of $183,163 from the estate of John and Betty Heick. Mr. and Mrs. Heick were very involved in the College of Agriculture, and this gift is to fund an endowed scholarship fund that will bear John's name in the College of Agriculture.

FCR 11 - acceptance of a gift of $50,000 and a pledge of $150,000 from the University's northern Kentucky friend R. C. Durr. The gift and pledge are for a scholarship fund for the Governor's Scholars Program and the Governor's School for the Arts scholarship fund. Mr. Durr has been very generous to the University, and this once again represents his extreme generosity.

FCR 12 - acceptance of a gift and pledge totaling $750,000 from the Ford Motor Company. It is a gift of $150,000 and a pledge of $600,000 for the College of Engineering.

FCR 13 - acceptance of a pledge of $50,000 from Secat, Inc. located at Coldstream Research Park campus to create and endow an Aluminum Professorship in the College of Engineering.

FCR 14 - acceptance of a $125,000 pledge from S. Oden "Denny" Howell from Louisville to create and endow a fellowship in economic education in the College of Education. This pledge is to honor his wife, who is one of the University's graduates.

FCR 15 - acceptance of a gift of $82,800 and pledges totaling $262,850 from several individuals to establish the Gloria W. Singletary Professorship/Chair in Women's Health at the Chandler Medical Center. He mentioned the donors who have been extremely generous to honor such a deserving individual.

FCR 16 - acceptance of a gift of $50,000 from Kentucky Medical Services Foundation (KMSF) to establish and endow the Infectious Diseases Research Professorship in the College of Medicine's Department of Internal Medicine.

FCR 17 - acceptance of gifts and pledges totaling $197,926 from 60 donors to create and endow the Gifford Blyton Endowed Professorship in Oral Communications and Forensics in the College of Communications and Information Studies. Dr. Blyton was a debate coach for some 25 years at the University and has been a wonderful representative of the University.

Dr. Stumbo said that Mr. Chellgren, who chairs the Finance Committee but could not be present at this meeting, asked him to read the following letter on Dr. Blyton's behalf:

"I am sorry to miss the June Board meeting because of the date change. I was especially looking forward to presenting and getting Board approval of the Finance Committee agenda item FCR 17 for personal reasons. I came to UK in the fall of 1960 to a considerable extent in order to debate for Gifford Blyton. He was a special mentor, teacher and role model to me and many others. The outpouring of gifts supporting this professorship demonstrates the respect and admiration his fellow students hold for Dr. Blyton.

Dr. Blyton, the University, the Board and I personally thank you for your great contributions over so many years."

Dr. Stumbo called upon Dr. Plattner to introduce Professor Blyton and some others in attendance.

Dr. Plattner introduced special guests Dr. Gifford Blyton and Marian Blyton and asked them to stand and be recognized, following which they received a round of applause. Dr. Plattner also recognized their son, Ben, who had accompanied them.

Dr. Plattner said that during President Frank Dickey's administration, Dr. Blyton's program soared through the heavens. She said that she developed her strong voice because Dr. Blyton once yelled at her in the backseat of his car as

they were off to a debate, "Speak up girl. They will never know what you are saying."

She recognized some former debaters in the audience: Earl Martin from Hartford, Gary Detraz from Lexington, Ed Hastie from Lexington, and Stan Craig who lives in North Carolina, Dr. J. W. Patterson, the Debate Coach who succeeded Dr. Blyton and so admirably continued the great work of the program, Dr. Jim Kuder, the Vice Provost for Student Affairs who is responsible for the Debate Program, and Dr. David Johnson, Dean of the College of Communications and Information Studies which is the home of the Blyton Professorship. She expressed thanks to all of them, and they received a round of applause.

Mr. Craig asked to say the following words about Dr. Blyton:

Dr. Blyton came to the University of Kentucky in 1948. He was recruited by Professor and President, Dr. Donovan. Dr. Donovan wanted the University to have a program that would excel. The University of Kentucky excelled in Athletics, and they wanted to know if there was an academic program in which the University could gain the same recognition.

Dr. Blyton served as Debate Coach from 1948 to 1971, and that year the University of Kentucky became recognized as the most outstanding Debate Program in the United States. It has been a long tradition to have a combination of not only academics but athletics at UK and that is recognized again today.

Mr. Craig shared a 1913 trophy from the University Debate School with the Board. He said that they never had a place to display the recognition that the Debate Program deserves. Bernie Shively, Harry Lancaster and Adolph Rupp were not only great leaders in the athletic program, but they also supported the work of Dr. Blyton. They were financial supporters for the University Debate Program. In keeping with that tradition, the Athletic Association has contributed $25,000 to construct a Debate trophy case which in now in White Hall. He said all of the trophies that were once buried in the bottom of Patterson Hall now have a place to call home. He thanked the Athletic Association for making this possible.

Mr. Craig said that it is really important to recognize Dr. Blyton and Marian for their work. There are a number of people who were participants in the Debate Program who would not have been able to attend nor graduate from the University of Kentucky had it not been for Dr. Blyton. They would not have been able to enjoy an education from this great institution had it not been for Dr. Blyton. Supporters of Dr. Blyton have come from around the country to this meeting to recognize Dr. Blyton and Marian for their great contribution and to say to President Todd in his efforts to combine athletics and academics that they think that is done so well in the Debate Program and the Athletic Association.

He thanked President Todd for recognizing faculty members because sometimes the unsung heros of the great university names are never mentioned except by students. He said that this is one group of students who wanted to recognize a professor who made all the difference in their lives and continues to do so. They are hopeful that by endowing the scholarship that 25, 30, and 40 years from now there will be another student standing here saying oral communications and the University of Kentucky changed our lives because of what Dr. Blyton did. He thanked Dr. Blyton, following which he received a round of applause.

Mr. Mobley continued with the gifts and pledges.

FCR 18 - change the name of an endowment in the Medical Center from the U.S. Surgical Professorship in Medical Management to the U.S. Surgical Endowed Professorship.

FCR 19 - change the name of an endowment in the Medical Center from the U.S. Surgical-Pfizer Professorship/Chair in Medical Management to the U.S. Surgical Endowed Professorship.

FCR 20 - reappropriation of funds from the estate of Jes and Clementine Schlaikjer for the study of infectious diseases. FCR 20 will terminate one chair, establish two endowed professorships and rename a chair. This reallocation will result in a funding level of $4 million each for the three chairs, and of $250,000 each for the two professorships.

Dr. Stumbo recommended the adoption of FCR 8 through 20. Mr. Shoop seconded the motion, and it passed. (See FCR 8-20 at the end of the Minutes.)

AA. Investment Committee Report

Mr. Wilcoxson, Chairman of the Investment Committee, said that the Committee met that morning. He reported that as of March 31, 2002 the University had $448.5 million in its endowment. Overall the endowment posted an average total return of 0.8% for the quarter ending March 31, 2002 compared to 0.4% for a blended market index, an out performance of 40 basis points over the capital market indexes.

He reported that the Committee met on May 9, 2002 and four finalists firms were interviewed for an investment consultant. The Committee selected Ennis Knupp and Associates headquartered in Chicago, and they will serve as our endowment investment consultant in the future.

He said the University is in the process of a fixed income manager search. The Committee plans to meet in July to interview and select managers for the fixed income allocation of approximately $130 million which represents 30% of the total endowment. Mr. Wilcoxson said he would be pleased to answer any questions.

Mr. Miles asked Mr. Wilcoxson to elaborate on the fixed income allotment.

Mr. Wilcoxson stated the return for the fixed income manager was –0.8% for the quarter, compared to the Lehman Brothers Government/Corporate bond index of –0.5%. Since inception, the fixed income portfolio returned 6.8% compared to the bond index of 7.1%, representing an underperformance of 30 basis points.

BB. University Relations Committee Report

Mr. Guthrie, Chairman of the University Relations Committee, reported that the Committee met that morning. They were given an overview of activities that are currently going on in the governmental relations area, primarily in Washington and the Washington office in cooperation with the University of Louisville. He said that the University is already having some results, and certainly the future looks bright on that arrangement.

The Committee reviewed the State of Kentucky legislative activities. Since there is not a state budget, the Committee could not talk too much about the budget. They just know how the organization works. The Committee offered its continued help and also that of the Board to any assistance that might be needed for legislators and government officials.

Mr. Guthrie reported that they talked about the future marketing plans that are on the table and reviewed them with Tom Harris and Mary Margaret Colliver. He said that graphic standards were discussed and noted that PMS 541 is the official blue for the University.

Mr. Guthrie said the Committee also talked about the Board receiving a packet of news clips, especially the national clips of significance, on a monthly basis so that they will be up-to-date on what is being said nationally. He said everyone should also be reading The Courier Journal and the Herald-Leader as well as some other newspapers around the state.

Ms. Sparks asked if the mailing would include The Courier-Journal and the Herald-Leader.

Mr. Guthrie replied that it would not include those two. In his opinion, the Board has a responsibility to read those newspapers.

Mr. Guthrie reported that the Board of Trustee web site is in place. He encouraged the Board to review the web site.

Mr. Guthrie said that the Chair of the Kentucky Kernel Publication Committee, Jay Blanton, has made an offer for all Trustees to receive a subscription to the Kentucky Kernel during their respective terms of office. He said that he would be sending each of the Board members a letter with an enclosed postcard asking if they wish to receive the

Kernel. The Kernel is an outstanding publication. It gives Board members an opportunity to know what students are thinking and see some of their work. It will be mailed on a weekly basis to those members who wish to receive it.

CC. Operating Budget for Fiscal 2002-03 (FCR 6)

President Todd presented the budget for fiscal year 2002-2003. He said it is hard for the University to have a budget when the state does not have a budget. The University is operating under the assumption that the budget that was proposed by Governor Paul Patton in House Bill 1 is the one that the Governor appears to be going to operate under. Given that assumption, the University has targeted its budget to operate under those same guidelines. He noted that the Governor cannot approve bonding. Hopefully, the State will have a budget by January, and the bonds for the "Bucks for Brains" program will go forward. With the exception of the bonding issue, the other operational dollars will be as they were in that plan. If there are changes in the state budget, the University will obviously have to revisit its budget. He said he would be asking the Board to vote on the budget as proposed with that one caveat. He presented a series of slides and elaborated on each of them.

He reported that the budget is $1.341 billion. This is up approximately 2.7 percent over last year. The University gets 23.4% of its funding from the State. He pointed out that this is the first year that hospital revenues (23.9%) have actually exceeded what the University has received from the State. He reviewed the various areas that make up the budget, including tuition, general fund money, money received from fund balances, sales and services, investment income, etc. He mentioned the restricted portion of the budget, the non-general fund contribution to the total from federal and non-federal appropriated spending. He noted that auxiliary services money stays within their area because they are supposed to stand on their own.

He then explained the way the University expends these funds: 58.3% to employees, a small amount for capital outlay and mandatory transfers primarily for debt service, and 36.8% for current expenses. These funds are broken down and distributed according to certain tasks. The 23.8% of funds generated by the Hospital are consumed by the Hospital, 20.1% for instruction, 15.6% for research, 12.7% for public service and about 28% for other support functions that take place such as auxiliaries, maintenance and debt service.

President Todd noted that the University's percentage that it gets from the state has been decreasing for quite sometime.

He explained that part of the reduction in the percentage is because the University is generating more money for its research functions and its auxiliary services. He said for the University to be really successful, we will have to look for alternative revenue sources. We are going to have to do things ourselves to increase our non-state income. We still want our fair share from the state, and we have always tried to keep our tuition as low as possible. We had about a 6% increase, and if you really look around the country,

there are an awful lot of states that have lost state revenue and are cutting back on higher education. The solution for those institutions is to ramp up the tuition substantially. We have tried very carefully to keep the tuition contribution as low as possible for a state where our per capita income is low. We have been true to our student mission in that regard.

President Todd said that the state has given the University the "Bucks for Brains" money during the last two sessions of the General Assembly. This amounts to $134 million that the University gets over and above the operating money. In his opinion, this is the responsibility the state took upon itself when it challenged the University of Kentucky to become a "top 20" institution. It said you do not need community colleges to do that. We are going to ask you to forego the community colleges, take this money and build a "Top 20" institution. They have made that investment in the University. He said that he is very confident it will be made a third time, and he is very committed to the program. The percentage of money that great institutions get from their states has probably declined, too, because as you become great you drive up other revenue sources.

President Todd talked about the budget environment. He mentioned the $6 million cut in the present year budget and said that it had to be taken into consideration when preparing the budget. He said that he knew that the University would get no new operating or capital dollars. The University still has people out there thinking that there could be some miracle that would give the University capital funds; however, he is not sure that he believes as strongly as they do. The legislators are certainly being told that we want capital for our projects, especially pharmacy and biology. He said that he did not assume that would happen in this budget.

The next piece of the environment dealt with widespread employee dissatisfaction with the University's health plan. One purpose in this budget was to fix that issue.

Another part of the environment is faculty and staff salaries. This is always a concern because the University of Kentucky is behind its benchmarks. He reported that he did not have the latest data for this year, but it is clear that some other universities are having some trouble, too. The benchmark institutions will be reviewed; however, he still has a responsibility to try in every way he can to improve the salary situation. He wants to try to do something there, even given the budget uncertainty.

President Todd reported that he laid out four major objectives when he met with the budget group.

1. Find a way to absorb the $6M state cutback without passing that reduction to the academic units.
2. Significantly improve health plan benefits to employees.
3. Give a modest salary supplement based on merit performance.
4. Fund program improvements.

He said that the group felt that it had to have a new way to look at the budget process and find more money. They decided to do some different things and try some innovation. He said that he would not take credit for the innovations that took place, but he is really proud of the budget and the thought that went into it.

He then reviewed the following innovations that provided funds:

Question: Could we reinvest the savings from administrative reorganization?

Answer: Yes, savings totaled $1.25 million that was reinvested.

Question: Could we develop a sound cost accounting basis for allocating the cost of central services to self-supporting units? These are services such as purchasing, accounting and human resources.

Answer: Yes, made available $3.1M with this concept.

President Todd said he wanted to reconstitute the general fund because if you have a self-supporting unit they can generate other revenue and other income. But, when a professor walks into a classroom they cannot generate any more money that day. They have to teach, and that is all they can do. He said they actually pushed out to the self-supporting units some of the services that they have been getting for free.

Question: Could we make more effective use of non-recurring funds available during this tough time?

Answer: Yes, $16.4 million basically by looking very carefully at working capital.

President Todd explained that the working capital is what you use to run the place. Payments come in at a different time, and expenses come in at a different time. You have to have working capital. Working with the Treasurer and his organization, we came to the conclusion that we were very conservative in the working capital definition. This is a time that we felt we could tap it in order to put in some money so we could do some of the things that we felt we needed to do.

He said that while we are pulling down $16.4 million, we are reducing our working capital. We will put some of that back in fairly quickly once we look at the books at the end of this fiscal year because we intend to get more revenue than what we budgeted for and under spent. Those two things will help build this back. But he did think that in this day and age if we can use the working capital more effectively to continue to grow the institution that's the right decision. He assured the Board that Henry Clay Owen would never have let them put the

University's cash flow in jeopardy. The University is still in very strong financial condition.

Question: Could we construct a model such that each department could pay miscellaneous fringe benefits, thereby making these costs chargeable to federal contracts and grants?

Answer: Yes, total savings using this process – $3.9M.

President Todd said that these departments were getting by free in a sense because the general fund was paying for these expenses. Mr. Owen went through a process that was approved by the Department of Health and Human Services. They went through a methodology to come up with a new rate that was approved by Health and Human Services. As we go forward, we can attach that new overhead rate to federal contracts and grants, and that money will be brought into the institution rather than taking it out of the general funds. We picked up $3.9 million dollars that we can use on a recurring basis by using this methodology.

Question: Could we adopt a new model for funding departmental operations?

Answer: Yes, the model yields $4.9M.

For example, President Todd discussed the Robotics Center which has the ability to go out and do research and consulting on a contract basis with businesses in the state or anywhere in the world as an example. They can find those who will pay the tab and instead of them getting $3 million out of the general fund, they will get $1.5 million out of the general fund. They can go out and bring in new contracts and write more proposals to try to make up that difference.

He reported that they took a million out of athletics, a million out of UKRF, and a million out of development. He said they went out to those units where they felt that they could find that funding because they have that flexibility unlike the professor in the classroom. He said they were able to build up additional money into the general fund that way. He noted that they did not increase parking fees this year, and he thinks those fees have been increased rather regularly.

President Todd went back to the philosophy he mentioned in his inaugural address. He is trying to be true to the first principle, and that is that people are the University's most important commodity. You do not do any good by giving a 3% raise and then take it back through another means.

He said that these were the questions that were asked and the work that the group did to find some additional money to put recurring and some non-recurring money back into the general fund pool that can be reallocated for some advances. The decision made was to absorb the $6 million without reducing the academic budget. They could implement the health plan task force recommendations and give a 3% salary supplement

to the faculty and staff. He said a recurring 3% raise would start in October to the hospital staff. A decision was made to treat the hospital as a business that is competitive and allow it to have the 3% salary increase. This means that the hospital employees get it into their retirement and their salary.

President Todd said that he was always told that the Friday after Thanksgiving would cost the hospital about a half a million dollars because those people have to work and the University would have to pay time and a half if it were made a vacation day. Since the hospital employees were being treated differently for the raise, then the rest of the campus could be treated differently for that holiday. The day after Thanksgiving will now be an official holiday for the non-hospital faculty and staff.

He reviewed the decisions to achieve all four objectives:

1. Used $6M of recurring pool to reduce the budget base therefore protecting academic budgets.
2. Implemented the Health Plan Task Force recommendations
   No increase for single employee coverage
   Held benefits constant
   Improved family coverage from 32% to 54%
   Cost of $5M to the General Fund budget, but when extended
   to self-supporting units the total cost is $9.7M

President Todd asked Dr. Tom Samuels to talk about the health issue because it is important to see what the University is doing in this budget year.

He called attention to an article in the paper that said HMO rates jumped 20%. In light of that 20% jump, the University's HMO came in at 12% increase, and that made a lot of this possible.

Dr. Tom Samuels said none of it would have been possible without President Todd appointing the Task Force, letting them do their work, and setting a priority to fund it. After that is the existence of the Medical Center and the fact that we have been able to leverage that and provide benefits that are far superior for the University employees without having a substantial increase in cost. As President Todd said, UK's HMO came in at a 12% increase, and 22% is the average increase so far this year. It is increasing on a regular basis. UK's HMO has certainly done very well.

He reported that there were no changes in the plan benefits. There were no increases in out of pocket cost to the active employee in terms of the premium, nor were the benefits changed. The fact is that employees for the same cost or less cost for improved family coverage went from 32% of the cost being paid by the employer to 54%. The benchmarks are at about 90% of funding by the employer for the cost of family coverage.

Dr. Samuels talked about some of the things planned for next year. They want to start to try to control these costs and improve the benefits. The first thing is to make the member, the employee, a consumer of health care. In the past the patient has been basically a passive participant in the process of consuming health care services. The University's plan is to invest a substantial amount of money in educating the employees so they can be better consumers of health care. They can take on more of the responsibility. Over time, the plan is to be able to even pass some of the financial benefits of better husbanding of their benefits back to the employee.

He gave an illustration in Pharmacy where an employee takes the medication that they take on a regular basis to the pharmacists. The pharmacist goes through the medication and tells the employee how they might be able to get the same therapeutic benefit for a lower price. In working with the employee's physician, they see if in fact they want to change the prescriptions in order to get the same benefit but a lower price to the individual in terms of co-pay and a lower price to the plan in terms of what it cost the University for the medication. This is a win-win situation.

In addition, the University has many programs available in the wellness program. There is the Behavioral Health Intervention Program that allows individuals to work with obesity and other problems that contribute to disease. The program allows them to get involved, and be able to reduce the health care cost.

In addition, about 50% of Americans are considered medically literate in that they can participate in their own health care. The plan is to improve that. A specific program is planned at the University of Kentucky indicating to people that they really need to understand their own health care in terms of what kind of benefit they buy as well as what kind of health services they consume.

He reported that two new committees have been formed. One is a Quality and Access Committee that includes members from the Employee Benefits Committee so that employees can be involved in working with the both health plans, UKHMO and Humana, which is the University's third party payer for the PPO plans in order to be able to improve the access and quality of health care provided to the employees. The second is a Retiree Committee to help advise employees on how to construct benefits to better coordinate with Medicare in order to better provide benefits to individuals that have early retirement.

In conclusion, he said that it has been a very exciting time, and he thanked President Todd. In his opinion, the University and the employees have been the winners.

President Todd gave praise to Dr. Samuels. He said the manner in which Dr. Samuels ran that exercise was absolutely a picture perfect way of doing it. It involved everyone and cut through a lot of controversy. It came through a lot of decision-making, and he is very proud of that decision.

President Todd reported that the next objective was to implement a 3% salary supplement on a non-recurring basis. This is the novel way of doing things that has not been done before. Each employee will get a one-time check. It will be based on merit performance for the previous year at a cost of $7.6 million. This is some of the money that was taken out of the working capital because it is a non-recurring one-time expense.

He reported that the fourth objective was to invest into some new programs. He mentioned the innovative funding from the University of Kentucky Research Foundation (UKRF) where the University is funding 11 grant writers to help faculty members put out more proposals. That is covered in the budget as well, and he is very pleased about that.

President Todd said he wanted to highlight a couple of initiatives that are being funded out of his budget. One is the Commission on the Status of Women and the other is the Commission on Diversity. There is not a fixed budget for these two initiatives. Professor Carolyn Bratt and Dr. Deneese Jones inform him of their needs. These initiatives are actually funded at the same level. The only difference in the two is the basic salaries of the individuals involved, and that is the only reason those two numbers are not the same. They share a clerical person. Equipment, operating expenses, and programmatic needs are provided.

In addition, some capital had to be funded because there are some things that just needed to be done. President Todd said he was pleased to say that the Koinonia House renovation is $397,000. This House provides Everett McCorvey and his vocal program with some practice rooms. It allows him to outfit the lower floor of that building with some practice rooms. This stands as an example of how we are aligning our investment with our Future's Committee decisions because that was one program that they earmarked as an outstanding program for future growth.

He reported that the Library Science Library is moving to the Lucille Little Library located in the old King Library. This will be a major step forward for the Library Science Program. It cost $600,000.

President Todd said that the Singletary Center's electrical system needed upgrading . If the electrical project is not funded, the lights will go out in there. He said that $500,000 will be put in the Singletary Center project.

He continued to review the capital projects and reported that $1,000,000 will go into classroom improvements and $914,500 for capital space. That money is needed to do basic fix-ups on campus. Those were funded out of non-recurring money.

President Todd asked Dr. Holsinger to speak about the Medical Center and the special initiatives that he requested funding.

Dr. Holsinger said it was a pleasure to let the Board know how closely the President and he have been able to work this year on developing some funding for new Medical Center initiatives. He gave the following presentation on the Medical Center:

Over the past five years, the Board of Trustees has approved a dozen new graduate and professional degree programs that have gone on to be approved by the Council on Postsecondary Education. By the time these programs were fully subscribed, they resulted in 500 additional graduate and professional students on campus, which is a significant assistance towards the University's goals that the Council and the Governor have given for the future. In doing so, they have used the Medical Center's strategic planning process and its support of the University's strategic planning process to drive toward "Top 20" public research university status and to lay out the initiatives. Several of these are new programs that respond to statewide and national health care needs and trends, including the programs in the School of Public Health, the Master Public Health, the Doctorate in Public Health and the Doctorate in Nursing Practice.

He reported that they also looked at programs that have a need for planned enrollment increases to respond to statewide and to national work force shortages. That specifically is the case among pharmacists, and the Doctorate in Pharmacy is being increased from 88 to 100 students. He said they began that process last year, and this will be the second year in that process. It will be completed over a four-year period, and the College of Pharmacy will end up with a total of 400 students.

The third initiative is programs that will enhance the multidisciplinary research efforts and a stronger graduate education. He said that they have focused on two particular areas this year: Nutritional Sciences in the Graduate Center on Nutritional Sciences and the Integrated Biomedical Sciences program in the College of Medicine.

In the College of Medicine there is a total of $125,000 recurring and a total of $200,000 non-recurring to support the School of Public Health. The recurring dollars will go to support a new epidemiology program in the College of Medicine. Also, there will be $200,000 non-recurring that will be used to buy time for faculty to teach in the Public Health programs. The Doctorate in Public Health program is entering its third year this January. The individuals that have become a part of that degree program are students that read like Who's Who in Public Health in the Commonwealth of Kentucky.

Dr. Holsinger reported that $125,000 recurring would be used for graduate student stipends for seven students to enable them to be a part of this program. It is a very innovative program in that it has taken all of the master's degree programs across the basic sciences and the College of Medicine and brought them together into a single Integrated Biomedical Sciences curriculum. This has allowed them to have a very active and very productive masters degree program in the basic sciences.

In the case of the College of Pharmacy, the total recurring dollars are $175,000 that will be used to hire additional faculty to teach the additional students. There are currently 24 additional students that will be in the course this next year, 12 in the second year and 12 in the first year. That will eventually grow to additional 48 students over the 4 years of the program.

The College of Nursing will have $200,000 recurring and $50,000 non-recurring. These dollars go specifically to support the Doctorate in Nursing Practice. They are for two additional faculty members. This degree is specifically geared to provide leaders in health care delivery systems, individuals who are strong clinicians and able to provide leadership in their particular health care setting.

Dr. Holsinger reported that they also have a Ph.D. program in nursing which is a very effective and very strong program, but it is designed to develop individuals who will do research in nursing topics as well as individuals who will be faculty members in colleges, schools and departments of nursing around the country. The Nursing Practice Doctorate is a very innovative one. It has set the University apart from others, and the University has received a tremendous amount of national visibility for this. A number of institutions are already sending their people to the University of Kentucky to take a look at it. The second class will be brought on campus this fall.

There will be $83,000 recurring in the Medical Center Graduate Centers and Institutes. This will provide an additional faculty member in Nutritional Sciences. That particular space will be on the fifth floor of the new Allied Health building. That is shell space right now, but funding has been put together for that project. It is anticipated that they will have the contract to complete the fifth floor as soon as the building is completed, probably around the first of October. There will be a new home for the Center for Nutritional Sciences.

Dr. Holsinger reported that the President has made available $315,000 on a non-recurring basis. Part of that money will be used to maintain the smart classroom program that has been a very effective program in the Medical Center classrooms, and in addition, a significant number of these dollars will go to provide equipment for the new teaching laboratory for undergraduate nursing students. As you might imagine with the increased level of acuity in health care settings, it is very difficult for the staff nurses and the University Hospital to provide the time, effort and energy to be able to teach undergraduate nursing students the basic skills that are required. There will be a teaching laboratory that will provide those skills to those students before they reach the wards, and therefore, provide them with the basic knowledge that they need to be effective.

Dr. Holsinger said that there are several things pending that are state allocations based on the state budget approval. One is $115,000 recurring in support of the Breast Cancer Task Force. The second one is $300,000 recurring for two new programs at Morehead and in Corbin for Family Medicine Residency program that will pay salaries for the residents in those areas. Based upon what happens with the state budget, the third one is to use non-recurring funds from the Medical Center to continue to expand and support, on a non-recurring basis this next year, the items that he and President Todd have worked on so closely together to develop as far as these initiatives are concerned. He said he would be pleased to answer any questions.

President Todd asked Provost Nietzel to speak about his programs.

Provost Nietzel said that he appreciated the chance to talk about the philosophy of budgeting that they took toward issues in the Provost area and some specifics that express that philosophy. He reviewed the process that they used in determining the three priorities and said they wanted the request for the Provost area to be sharp, limited in number, and very clearly focused on areas. He explained that they began in the fall with a series of meetings with each dean and with each associate provost. In those meetings, they presented them a profile, particularly for the college deans, that showed the accomplishments, the achievements, the productivity, and the investments in their individual colleges over the past five years. Those data refer to things such as degree productivity, enrollments, student credit hours generated, the number of external grants that were submitted, the award dollars, the research expenditures, and first to second year attrition. They even had a figure on what percentage of classes met on Friday with respect to the way instructional resources were being used. Those data were given to the deans to check and verify for accuracy. This gave them a base line to operate from. Out of those individual meetings, they began to identify and distill what were, in his opinion, reasonable priorities for each of the colleges. Those were discussed once again in individual meetings with the deans. Then he made a presentation in a couple of meetings to all deans and associate provosts about the setting of these priorities and also had the opportunity to meet with the Senate Committee on Finance to discuss them. It was a process that, in his opinion, put them for the first time on a pretty solid basis with respect to what they had been accomplishing with their dollars and what they wanted to accomplish in the future. They are also beginning to diagnose areas where they need to put some funding.

Provost Nietzel reviewed the three priorities that emerged. The first priority was to address some historic budget problems that had existed for sometime or that had grown in magnitude due to something that President Todd had made reference to and that was the "taxes" budget cuts that had gone to the academic units on an annual basis. These have become real burdens, particularly for the colleges. He said that they were anchors that were dragging those programs down, and they felt they needed to begin to do some things to address those and put some colleges on a better financial foundation.

Provost Nietzel said they also felt that they needed to be able to move forward with some initiatives that would advance areas that were very important as far as the Council on Postsecondary Education (CPE) and the University were concerned. Those had to do with enrollment growth and improvements in student retention rates. The trust fund model that CPE had endorsed addressed clearly those two goals for all the public universities. There has been a little bump in that road now in terms of the allocation of funds. Enrollment growth and student retention gains will continue to be good goals and they will make investments in these areas in the future to make sure they do better in those two areas. Those are areas that routinely are monitored with respect to the way the universities are ranked, are rated, or regarded nationally, and they are two areas where the University of Kentucky continues to need to improve.

Provost Nietzel said that they wanted to do some things that would grow their ability to bring in new research funding and strengthen graduate education quite similar to some of the things that Chancellor Holsinger had talked about in the Medical Center programs. They wanted to use a funding model that embraced two aspects. One was to be able to put funding forward from the Provost's area that was used as a supplement or match. This was money that he asked for from President Todd. Also, they wanted for any request to have very specific measurable goals on an annual basis and on a five-year basis so that they could check to see if in fact they had made a good investments and those investments were being used to accomplish what the deans or associate provosts had indicated they would be aimed at. He pointed out that they used some specific incentive based formulas for allocating these dollars. In looking at this kind of funding model, he said that he very quickly realized that under the conditions that he inherited, he would not be able to hold up his end of that bargain. The recurring funding available to the Provost was something between woefully inadequate and imaginary as far as funding on a $270,000,000 budget basically in terms of unrestricted funds. They had a $27,000 recurring reserve. With this support and help from each of the college deans and associate provosts, they reallocated 2% of all of those budgets. One and a half of those 2% allocations were within the units that each dean and each associate provost reallocated 1.5% of the base budget toward priorities that they were going to talk to them about in terms of additional funding. He reported that he recaptured a quarter of that 2%, in other words, a half percent. That began to build a little bit of a recurring reserve, approximately $600,000 from which they can do some of the things that they talked about. He said that he believes that the reallocation for all of the colleges that he discussed came to about $2.7 million.

Provost Nietzel addressed some of the historic problems. These are areas that he thought they needed to take care of as soon as possible. With the loss of some revenue from the Robinson Forest Trust, they had a shortfall with respect to faculty in the College of Agriculture.

He said that there has also been a shortfall in the College of Architecture that was $100,000 or more a year. This had to be fixed on a non-recurring basis. That shortfall was not due to bad management. The College had some increases in enrollment and some other increases in expense. He said, in his opinion, they were using their money well. It just was not enough money.

Provost Nietzel explained that they also had some commitments to the College of Law associated with an accreditation visit that had been made and a funding plan that had been put in place to enhance the College of Law.

He reported that they had some commitments associated with the hiring of a new dean in the College of Education, and mentioned the retention of some faculty in the Martin School and some personnel issues that still had not yet been completed in the Office of Institutional Planning and Effectiveness which amounted to a $116,500 obligation.

Provost Nietzel said the budget problems in these areas had been there for a long time, and he felt it was important enough to look at these areas first with respect to putting these units in a better position to move forward.

He then discussed ways to improve the university's ability to increase its enrollment capacity and to improve its first year retention. He reported that five faculty lines were added in the two colleges experiencing the largest growth in enrollment and course demands. He said that they reviewed very carefully the academic units where enrollment growth was largest on both the local and national basis. They identified the programs in the Gatton College of Business and Economics and the College of Communication and Information Studies as the two colleges with degree programs falling further and further behind in their ability to take into new measures and to provide the upper level courses that the current measurers were demanding. These were leading to a ratcheting up of grade point average requirements and restricted admission decisions for these two colleges that did not seem to be the correct way to go in a public university that had already moved to selective admissions. He said that they have about a $370,000 investment in improving their ability to shore up these two areas where the undergraduate student demand is very, very strong. He added that the graduate student demand is strong in these areas as well.

He said, in his opinion, they are really hitting two birds with the same stone by adding these five faculty lines. Five new lines with essentially 1,000 full-time faculty is a very, very small addition. But, they made it very selectively. They made it based on an analysis of the empirical data that suggested here is how you can hit this target the best with those limited funds. And, then this returns also to the issue of the fact that the operating budgets in the colleges had been eroded year after year as deans sought to meet the recurring taxes. The net effect of this, of course, was that faculty lines that were vacant were held open as a way to compensate, and that has a double impact. That further harms the ability to address instructional needs and it diminishes the ability to advance the research program.

Provost Nietzel said that they proposed a formula whereby they would make available $440,000 to the operating budgets of the colleges. First of all, $400,000 would be available for full-time faculty members. He said $40,000 went to address some changes in communication charges, and they put those into the colleges that were the most effective. $200,000 of that $400,000 is made recurring to the colleges. The other $200,000 is given on the basis of those colleges needing annual specific targets for increasing the retention of first year students and for increasing their sponsored project activity. He explained that it is basically $100 a head per faculty member for meeting their goals of improving retention of first year students and increasing sponsored project activity. These are the two areas to keep moving ahead so the colleges can earn that money by meeting those goals.

He said that the other area that they tried to address concerned a number of issues with respect to students and their support of students in general at the University. They discovered in their benchmarking process that the university's investment in the disabled

student office was by far the lowest of any of the benchmarks. They, therefore, decided to put some new money into the Office of Disability Resources and that is in the general area of student affairs. They also increased the funding in the Teaching and Learning Center and the Honor's Program, which is a wonderful recruiting tool for excellent undergraduate students. Public universities are more and more targeting Honor's Programs as a way to attract undergraduate students. Some additions have been made in reorganizing the Admissions Office. These additions were made to address the fact that expenses are going up considerably and more people are being put on the road to enhance recruitment and admissions.

In conclusion, Provost Nietzel mentioned some expanding initiatives that will be done on a non-recurring funding basis. The Futures Task Force has identified 10 priority areas. He said that he has put away about $400,000 with the reallocation that he talked about with the college deans to address those priority areas with a competition process. He will be receiving the applications from the deans in September. These funds can be used to advance any one of those ten areas plus three that he has identified as priorities for the University in terms of future growth and certain areas of scholarship. He reported that President Todd has put $200,000 in support of Lyman T. Johnson graduate fellowships, and he has added $50,000 to the $150,000 that had typically been there for a total of a quarter million more dollars available for minority graduate fellowships. This helps address a big gain that was achieved last year and the anticipation of another gain in this area for the upcoming year. He announced that they are bringing back the Women's Writers Conference to the University of Kentucky. This money will help fund a director who will also have an additional duty to help the University expand its applications and hopefully its success in the awarding of external scholarships to students such as Ford, Truman, and Marshall scholarships. Those are scholarships that bring the greatest press releases to the University in terms of its recognition as an outstanding undergraduate institution. That also will reflect in a wonderful way on the students who earned those scholarships. This is an attempt to keep things moving with some non-recurring support. He said that they hope to be in a position to make some of these funds recurring in upcoming years. They have taken the strategy of making sure that these initiatives are focused as much as possible on priorities that were guided by the faculty or by their own careful attention to data as indicating that these were crucial needs. He said he would be pleased to answer any questions.

President Todd thanked Chancellor Holsinger and Provost Nietzel for their remarks. He said that their presentations give the Board some sense of the kind of efforts that have been put into the need to spend the money and the level of involvement they have had among deans and faculty to pull this budget together.

President Todd thanked the people who worked on the budget. He recognized Gene Williams, Karen Combs, Clay Owen, and Jack Blanton and asked others in the audience whose names were on the screen to stand and be recognized, following which they received a round of applause.

President Todd said that he would make his concluding remarks very brief because this is probably one of the longest Board meetings the members have ever attended, but the meeting had some good stuff. He reiterated the following:

1. We are being true to our people.
2. We have changed health care and improved it.
3. We have provided a salary supplement.
4. We have provided an extra day off.
5. We are looking at program improvements to move forward.
6. We looked at some strong methods to reconstitute the general fund and bring back some recurring fund dollars that we can reinvest on a recurring basis.

He noted that they did not tap the departments and said that the departments' morale will be as high as ever because they have been able to remain whole and are actually putting back money on a per-faculty basis. He further pointed out the need for alternative revenue. He said they have to look at things like an executive MBA program, intellectual property, and corporate relationships. He said everyone must put pressure on the state to make sure the University of Kentucky gets its fair share of money.

Mr. Miles called for a motion to approve the budget. Mr. Shoop so moved. Ms. Sims seconded the motion, and it carried. (See FCR 6 at the end of the Minutes.)

Mr. Miles said that he needed to bring an item before the Board that involves the budget. He reminded the Board of the search for a President when the Board hired President Todd. When the Search Committee toured the state, they asked the citizens of Kentucky what they wanted in a President for the University of Kentucky. There were approximately 15 key items that surfaced in every meeting. When the Committee negotiated to hire Dr. Todd, the law stated that the University could not pay Dr. Todd more than the President of the Kentucky Council on Postsecondary Education, Gordon Davies, was being paid.

He reported that several of the universities are circumventing that law by using foundations to pay their president. He said that he thought that the Board had to take a little responsibility for this. When the Committee talked about hiring Dr. Todd and establishing his salary, they looked at the salaries of the Southeastern Conference presidents. They also looked at the other "Top 20" universities.

Mr. Miles said that the Board has insisted that everything be open, that they never have anything hidden, that no foundation pays anyone, and that the media not be able to fault anyone. During that process, the Committee was restricted by the law and by Gordon Davies' salary.

He reported that President Todd's salary was originally set at $365,000, and the Committee later decided to offer him $265,000 with a $100,000 bonus, provided he achieved the 15 goals. Within 90 days, President Todd exceeded those goals. As the media got involved in the discussions about the goals, he explained to the media that the

citizens of the State of Kentucky set those 15 goals not the Board of Trustees. By that time, it became an item in the news about the faculty raises and other things.

Mr. Miles said that this issue has to be addressed in this meeting because this is the final meeting of the year. He said that the Board has to decide whether President Todd has earned the bonus and how the Board is going to deal with it. He mentioned that President John Shumaker, University of Louisville, is going to Tennessee for a salary of $750,000. The University of Kentucky will be hiring an Athletics Director soon, and everyone knows what those people are paid around the country.

He repeated that the Board has to deal with this issue today. If the Board does not have some motion about this, it really has not done what it agreed to do when the Search Committee went to the people of Kentucky and told them what it was going to do. President Todd needs to be the example of the faculty and every staff member at this university. Everything really needs to be based on an incentive, and there would not be a controversy today if the Board had just said President Todd's salary will be $365,000. He asked for a motion regarding how the Board feels about the issue and where it is going with it.

Mr. Shoop said that the Board owes President Todd money, and he thinks they need to correct it. He said that he never felt right that they did not pay him earlier; however, he does not know what the Board can do legally. He wished the Board had discussed it prior to the meeting. In his opinion, President Todd could not have done a better job than he has done. He said the Board tried to abide by the law, and it does not have a foundation. He emphasized that he was not advocating a foundation, but he thinks the Board needs to correct a mistake that it made earlier.

Mr. Guthrie moved that the Board pay that $100,000 bonus to President Todd. Mr. Shoop seconded his motion.

Mr. Miles said that this would bring President Todd's salary up to bottom level of the SEC presidents.

Mr. Shoop suggested that a Compensation Committee be established to review President Todd's package for next year.

Mr. Miles asked if there was any discussion on the motion. He said that the Board needs to be open.

Mr. Guthrie said that he recalled that President Todd had declined the bonus at one time. He said he had forgotten the exact language but thought it was because of the status of the budget and salaries. He said that he believed the Board could ask President Todd to reconsider the offer and accept the bonus.

President Todd said that he thought that the words he used were he was willing to defer it for this year because of the financial situation. It was reported that he declined it,

but deferring it and declining it are two different issues. He had said that about three or four months earlier.

Mr. Miles said he was looking at this as unfinished business that he had to bring up because the Board agreed to do that.

Mr. Shoop reiterated that President Todd is owed this money. It is the Board's responsibility to correct an injustice. The Board needs to go ahead and pay President Todd the money that the University of Kentucky owes him.

Ms. Edge asked where would they get the money, and Mr. Miles replied that it is already in the budget. It was in the budget when the Board hired President Todd.

Ms. Sparks said that the Ad Hoc Committee on Board Structure discussed the fact that any sitting president would want a formal review set up, and that was one of the reasons why she thought it was wise to delay the bonus. The Board has not had a formal evaluation. With the presentation of the budget, the steps that have been taken regarding the budget, and what the people of the Commonwealth mandated, that is enough of a review for her. She said that she concurred in the bonus. She recommended, however, that the Board follow the Ad Hoc Committee's recommendation and have a formal review process for everyone's sake, particularly President Todd.

Mr. Miles said that Gordon Davies was gone.

Ms. Sparks said that the law was not leaving with him. She said that she did not concur with the University of Louisville's actions either even though it would be nice to have a foundation and have that much money.

Mr. Reed said that he thought everyone there would certainly agree that the President has earned the $100,000 bonus several times over. Everyone has to live with the law that is on the books. It is the law. The Board may not like it, but it has to respect it. He said that he gets nervous when people try to circumvent the law, doing something indirectly that they cannot do directly. It is important that the Board distinguishes what it is trying to do, and that is not circumventing the law.

The Board is trying to pay President Todd what it agreed to pay him initially in the form of a bonus if he were to achieve specified goals and criteria. He said that he vividly remembered going around the State of Kentucky participating in town forums during the search process. He said that they compiled a report called "Connecting with Kentuckians," and the people of Kentucky made it clear what they wanted. They set up the criteria they wanted in a President, and that was what they wanted the President to accomplish once he became President.

Mr. Reed reported that Chairman Miles and he met with the President soon after the President took office. They gave him a copy of the report and made clear to him that it contained any number of factors that the people of Kentucky wanted him to go by and

live by. The Board wanted him to keep this report as his "University Bible" on his desk. Many of the things that he did within his first six months were taken directly out of the report, including the Commission on the Status of Women, Commission on Diversity, and a more student-friendly campus. While the Board never formally said that the goals contained in the report are the ones that they wanted President Todd to adopt by a specified timetable, it is his opinion that the President was communicated this informally by Chairman Miles, himself, and thus the people of Kentucky. He said if the President chooses to defer or not accept the bonus, that is his prerogative, but it does not alleviate an obligation of the Board to pay him what he has earned and what it promised. The question then becomes what is the best process by which to do this. Mr. Reed said that he thinks that it is important that the Board follow the wise advice of his fellow colleague and subject this to a formal review process.

Mr. Miles said that he thought the Board would agree to that. He called for a motion.

Dr. Pomeroy asked that the motion be stated.

Mr. Miles said that Mr. Reed made the motion that the Board pay President Todd the bonus based on his achievements.

Mr. Shoop said that the motion is recognizing that President Todd met the goals that the Board set, and the Board is paying him money they feel he has earned. The other motion is separate and involves a review of the president's performance. The first motion settles the issue of a bonus. The Board has recognized that President Todd met the goals early on, and it owes him the bonus.

Mr. Guthrie said that the only thing President Todd did before was defer the bonus. He said that he did not know whether the Board needed a motion.

Mr. Shoop said the Board needed a motion to make sure they did it right.

Dr. Pomeroy said that there is uniform consensus that President Todd has done everything that he was hired to do in an outstanding fashion, and the Board is grateful for that. There is also consensus that President Todd is not adequately renumerated for all the work that he does. As was mentioned, these are very difficult budget times, and the faculty and staff are taking pay cuts despite everybody's efforts. The impact that President Todd had when he made the announcement about deferring the bonus is very important to public relations. She said that she personally wanted it officially recognized that President Todd has met all of these criteria. It is critical that the Board state that for itself and for the public. The decision of how to accomplish that might best be considered by a different group in a more formal fashion.

Mr. Miles reiterated that the money was allocated at the time they hired President Todd, and it is in the budget.

Dr. Pomeroy said that the answer that the Board gives today is that President Todd has met all of the criteria for the bonus that was allocated.

Ms. Sparks said that she wanted to clarify that the Ad Hoc Committee's recommendation is that henceforth a formal evaluation of the president's first year should be set in motion. Most universities do that by the Board. That is the Board's charge, and she thinks it is important that the Board establish that.

Mr. Miles called for a vote, and the motion carried unanimously.

President Todd said that he greatly appreciated the show of support. He has certainly enjoyed working with the Board. He explained that the reason he deferred the bonus was because he did not think that this was the year to be receiving a $100,000 bonus. He said that he would hope that the action the Board has taken would be to encumber that money financially and pay him at some point in time but not this year. He asked that it be earmarked so he can decide what he wants to do with. He said he still wants to be doing some other things with the University. If the Board's official act is to encumber that money to belong to him at sometime, that would be acceptable to him. He would choose to receive it when the financial situation of the University improves. He said that is the kind of approach he had intended. It is not the year to be receiving that kind of a bonus. He said that he has been so impressed with everybody's performance around the institution this past year. To give a 3% non-recurring bonus is not the idea he had coming into the job. He told the Board that he appreciated their intent, and he appreciated the review. Going through the formal process that the Ad Hoc Committee has recommended is very important. He said that he appreciates the comments, but he is still not looking for cash right now.

Ms. Wickliffe said President Todd's remarks illustrate the type of person that he is and the caliber of man that is leading the University. She said that he makes her very proud. President Todd received a round of applause.

Ms. Plattner said that she simply would like to add that it is customary in colleges and universities across the country for the spouse of a president, be it a man or woman, to receive as a token of appreciation for the enormous contribution of that spouse an honorarium, an annuity, or in some case a way of showing deepest appreciation for the support and encouragement that that person has rendered. She said that she would be glad to bring a list from the Chronicle of Higher Education. She would like to think that the University of Kentucky is of the 21st Century and also considers the enormous contribution of the president's wife to the university. She said that she is hopeful that in further financial deliberations a token of appreciation will be forthcoming.

Mr. Guthrie clarified that Dr. Plattner's statement was just a comment.

Mr. Shoop said that the Board needed a Compensation Committee to look at President Todd's salary as the Board goes forward to review his performance. The University of Louisville is getting ready to hire a new president, and Dr. Davies is

leaving the Council on Postsecondary Education. In his opinion, the Board needs to review President Todd's salary in the coming year, and it would be wise to appoint a committee to review his salary situation.

Ms. Sparks said that the Ad Hoc Committee's recommendation did not mention the compensation at all. It recommends that a formal evaluation process be initiated through the Executive Committee.

Mr. Miles appointed the following members of the Board to serve on a Compensation Committee: Frank Shoop, Chairman, Steven Reed, Vice Chairman, and Tim Robinson. He said that he would let the faculty choose a member and the alumni choose a member to serve on the Committee. By letting them choose their representatives, they can have whoever they want to represent them.

DD. Jack Guthrie's Farewell Remarks

Mr. Guthrie gave the following remarks:

"The past six years have been certainly most interesting, certainly at a time in my life being a member of this Board. Some trials and tribulations, of course, but most importantly, some major milestones in the history of this University, and I've had the privilege of being part of this history.

Last night trying to think of what comments I wanted to make on this last day of mine, many things came to mind, but the one thing that I will always remember is being a member of this group, is also being a member of the Presidential Search Committee, and having the opportunity to play a role in putting forth Dr. Lee Todd for the President of this University. We've seen many changes during this first year, and I wish him and his administration continued success in the years ahead, and I know that they will be successful.

I want to extend my thanks to the University's National Alumni Association and to my two compatriots here for giving me the opportunity to represent them. I have been involved in one way or the other with the National Alumni Association and the alumni for 35 years. I counted that up last night and I didn't really realize it, but it has been 35 years. The relationship between the University and the Board of Trustees and the alumni has never been stronger, and the Alumni Association is truly dedicated to helping the President in any way possible and this University to meet its goals.

I also want to extend my appreciation to my fellow Trustees for their friendship over the past six years. We have shared many good times together, and I have made some longtime friends during that time.

I would be remiss if I didn't thank Peggy Way and Judi Quire of the President's Office for all of their efforts in making our lives collectively, as Trustees, a little easier. Peggy, you're the best.

All that being said, Mr. Chairman, I wish you, the President, and the Board all the best in the months and years ahead, and I thank you for allowing me to be a part of this institution."

Mr. Guthrie received a round of applause.

EE. Loys Mather's Farewell Remarks

Dr. Mather gave the following remarks:

"I'm not as well prepared as my good friend and colleague from Louisville, but I will offer some comments as well and basically second what Jack has to say except that I did not serve on the Presidential Search Committee.

As I look over my nine years on this Board, I have to say the things that I will remember the most and cherish the most are the friendships that were made and the spirit of collegiality that has reigned on this Board. The major highlight is this absolutely fine gentleman and leader of our institution who is sitting at the end of the table and being a part of his selection of this institution. As I said yesterday at the budget briefing, and I didn't repeat it again today, but you know that because of the man and his leadership team's thoughtfulness and creativity, basically being served a bunch of lemons and making lemonade. And you know it is such a bad budget year and yet coming up with signs of hope the way they have, I congratulate the whole team.

I, too, want to thank Judi Quire and Peggy Way. Peggy and I have worked together very closely for a good number of years, even before I came on the Board.

In April, I thanked the faculty for entrusting me with this position, but above all, my thanks to the University for providing a place at the table for faculty representation. And I trust that you all, as I said back in April, will give my successor the same spirit of collegiality and cooperation that you gave me. I have enjoyed it, and I will miss you. I look forward to seeing you all again sometime."

Dr. Mather received a round of applause.

FF. Resolution for Jack Guthrie

Ms. Sims and Ms. Edge read the following resolution:

Whereas, John "Jack" Guthrie has served the Board of Trustees as a voice of reason and good will for six years and has defined loyalty and perseverance as a hallmark of honor, we today honor his time, his talent and energy on behalf of the University of Kentucky.

When the alumni of this University selected Jack as their representative to the Board, they knew what they were doing. His commitment to U.K.'s largest constituency allowed him to be the voice of a band of men and women who care the most, and over decades and even a century. In that voice he has expressed the hopes, the missions and the dreams of a vast army of graduates whose footsteps are heard across the years. His is the voice of wisdom, and truly he does have a voice of wisdom.

Whereas, on this day, June 11, 2002, in recognition of his endeavors, the Board of Trustees salutes Jack Guthrie, offering him our deepest appreciation and thanks for work well done and as a fellow alum Trustee, thank you for your leadership. Marian and I truly appreciate it as well as all of the Trustees. Thank you."

GG. Resolution for Loys Mather

Ms. Pomeroy said that it was her honor and privilege to read the following resolution on behalf of a friend and colleague, Dr. Loys Mather:

Whereas, Dr. Loys Mather has served the Board of Trustees for several terms as faculty representative and has in those years set a standard for ethics and integrity and has ably guided our ship through wind and waves. A man of peace, he has found ways for us to work through the difficult, creating the possible.

Sometimes there comes a person who by intellect, integrity, and optimism creates a new definition for the term excellence. Within that definition there is a person of decency and forthrightness who sublimates all vanity. Honored many times by the University of Kentucky and this community for his contributions and accomplishments, it is Loys Mather, the man, who defines excellence.

Whereas, on this day, June 11, 2002, in recognition of his good works, the Board of Trustees salutes Dr. Loys Mather and offers him our deepest appreciation and thanks for work well done. Thank you.

HH. Meeting Adjourned

With no further business to come before the Board, Mr. Miles adjourned the meeting at 4:17 p.m.

Respectfully submitted,

JoEtta Wickliffe
Secretary, Board of Trustees

(PR 2, 3, 4, 5; 6, 7, 8, AACR 1; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, and AHCR 1 which follow are official parts of the Minutes of the meeting.)

PR 2

Office of the President
June 11, 2002

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate Provost/Vice President through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

SENIOR VICE PRESIDENT FOR ADMINSTRATION

I. BOARD ACTION

A. ADMINISTRATIVE APOINTMENTS

Vice President for Fiscal Affairs and Information Technology

Wilson, Kimberly, Human Resource Services, appointed Manager of Human Resource Development, May 10, 2002 to June 30, 2003.

B. PROMOTIONS

Athletics

Gibson, Carol, UKAA Administration, from Senior Accountant, to Accounting Manager II, effective May 1, 2002.

C. RETIREMENTS

Vice President for Auxiliary and Campus Services

Daugherty, Elizabeth, Cook/Baker I, Commons Market, after 27 years, 8 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 4/26/02.

Sabo, Wanda A., Account Clerk III, Auxiliary Services, after 17 years, 8 months of consecutive service, and 23 years and 1 month of total service, under AR II-1.6-1 Section III.A.2, effective 8/31/02.

D. EARLY RETIREMENTS

Vice President for Fiscal Affairs and Information Technology

Isaacs, James G., Senior Accountant, Communications & Network System, after 16 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 7/31/02.

Smith, Bobby W., Athletic Facility Worker, Employee Benefits, after 32 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 4/30/02.

Thacker, Sandra G., Publication Program Mgr. II, Support Services Administration, after 22 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 7/31/02.

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*Special Title series

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Anthony, John, College of Arts and Sciences, Associate Professor (with tenure), Chemistry, appointed to the Gill Professorship, 7/1/02 through 6/30/05.

Cardi, W. Jonathan, College of Law, Assistant Professor, Law, 7/1/02 through 6/30/04.

Daunert, Sylvia, College of Arts and Sciences, Professor (with tenure), Chemistry, appointed to the Gill Eminent Professorship, 7/1/02 through 6/30/05.

Hill-Collins, Patricia, College of Arts and Sciences, Visiting Professor, African American Studies and Women's Studies, 7/1/02 through 6/30/03.

Jouridine, Linda A., College of Agriculture, Associate Professor (temporary), Family and Consumer Sciences, 6/1/02 through 6/30/03.

McDaniel, Linda, College of Business and Economics, Professor (with tenure), Accountancy, effective 7/1/02, and appointed to the Von Allmen Endowed Professorship in Accountancy, 7/1/02 through 6/30/07.

Mingst, Karen, Graduate School, Professor (with tenure), Patterson School of Diplomacy and International Commerce, appointed to the Earl Lockwood Professorship in the Patterson School of Diplomacy and International Commerce, 7/1/02 through 6/30/05.

Murphy, William E., College of Engineering, Professor (with tenure), Mechanical Engineering, appointed to the Paducah Junior College (PJC) Engineering Professorship, 7/1/02 through 6/30/05.

Palli, Subba Reddy, College of Agriculture, Assistant Professor, Entomology, 7/15/02 through 6/30/03.

Pope, Thomas, College of Business and Economics, Associate Professor (with tenure), Accountancy, appointed to the Ernst and Young Professorship in Accountancy, 8/1/02 through 7/31/05.

Ringhand, Lori A., College of Law, Assistant Professor, Law, 7/1/02 through 6/30/04.

B. ADMINISTRATIVE APPOINTMENTS

Boyd, Douglas A., College of Communications and Information Studies, Professor (with tenure), Communication, and Director, Office of International Affairs, reappointed Director, Office of International Affairs, 7/1/02 through 6/30/05.

Schatzki, Theodore, College of Arts and Sciences, Professor (with tenure), Philosophy, appointed Chair, Philosophy, 7/1/02 through 6/30/06.

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*Special Title series

Staben, Charles, College of Arts and Sciences, Associate Professor (with tenure), Biology, and Acting Chair, Biology, appointed Chair, Biology, 7/1/02 through 6/30/06.

Stempel, John, Graduate School, Professor* (with tenure), Patterson School of Diplomacy and International Commerce, and Director, Patterson School of Diplomacy and International Commerce, reappointed Director, Patterson School of Diplomacy and International Commerce, 7/1/02 through 6/30/03.

Waterman, Richard W., College of Arts and Sciences, Professor (with tenure), Political Science, appointed Chair, Political Science, 7/1/02 through 6/30/06.

C. JOINT APPOINTMENTS

Greider, Thomas, College of Agriculture, Associate Professor (with tenure), Community and Leadership Development, joint reappointment as Associate Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, effective 7/1/02.

Jouridine, Linda A., College of Agriculture, Associate Professor (temporary), Family and Consumer Sciences, joint appointment as Associate Professor (temporary), School of Public Health, College of Medicine, 6/1/02 through 6/30/03.

D. REAPPOINTMENTS

Cappiello, Paul E., College of Agriculture, Associate Adjunct Professor, Horticulture, 7/1/02 through 6/30/03.

Huffman, Gerald P., College of Engineering, Research Professor, Chemical and Materials Engineering, 7/1/02 through 6/30/03.

Huggins, Frank E., College of Engineering, Research Professor, Chemical and Materials Engineering, 7/1/02 through 6/30/03.

Lowe, Kaye, College of Education, Visiting Professor, Curriculum and Instruction, 9/6/02 through 9/5/03.

Shah, Naresh, College of Engineering, Associate Research Professor, Chemical and Materials Engineering, 7/1/02 through 6/30/03.

Sih, Andrew, College of Arts and Sciences, Adjunct Professor, Biology, 7/1/02 through 6/30/05.

Sikora, Frank J., College of Agriculture, Associate Adjunct Extension Professor, Agronomy, 7/1/02 through 6/30/03.

E. TENURE

Honaker, Ricky, College of Engineering, from Associate Professor (without tenure), Mining Engineering, to Associate Professor (with tenure), Mining Engineering, effective 7/1/02.

*Special Title series

Zhang, Yu-Ming, College of Engineering, from Associate Professor (without tenure), Electrical and Computer Engineering, to Associate Professor (with tenure), Electrical and Computer Engineering, effective 7/1/02.

F. PROMOTIONS

Akins, Chana, College of Arts and Sciences, from Assistant Professor, Psychology, to Associate Professor (with tenure), Psychology, effective 7/1/02.

Anthony, John E., College of Arts and Sciences, from Assistant Professor, Chemistry, to Associate Professor (with tenure), Chemistry, effective 7/1/02.

Archbold, Douglas A., College of Agriculture, from Associate Professor (with tenure), Horticulture, to Professor (with tenure), Horticulture, effective 7/1/02.

Barnes, Thomas G., College of Agriculture, from Associate Extension Professor (with tenure), Forestry, to Extension Professor (with tenure), Forestry, effective 7/1/02.

Carroll, Cynthia V., Lexington Community College, from Assistant Professor, Lexington Community College, to Associate Professor (with tenure), Lexington Community College, effective 7/1/02.

Cavagnero, Michael, College of Arts and Sciences, from Associate Professor (with tenure), Physics and Astronomy, to Professor (with tenure), Physics and Astronomy, effective 7/1/02.

Clayton, Thomas, College of Arts and Sciences, from Assistant Professor, English, to Associate Professor (with tenure), English, effective 7/1/02.

Crooks, Deborah L., College of Arts and Sciences, from Assistant Professor, Anthropology, to Associate Professor (with tenure), Anthropology, effective 7/1/02.

Dahlstrom, Robert F., College of Business and Economics, from Associate Professor (with tenure), Management, to Professor (with tenure), Management, effective 7/1/02.

Daunert, Sylvia, College of Arts and Sciences, from Associate Professor (with tenure), Chemistry, to Professor (with tenure), Chemistry, effective 7/1/02.

Desch, Michael, Graduate School, from Associate Professor* (with tenure), Patterson School of Diplomacy and International Commerce, to Professor* (with tenure), Patterson School of Diplomacy and International Commerce, effective 7/1/02.

Doty, Sarajane, Lexington Community College, from Associate Professor (with tenure), Lexington Community College, to Professor (with tenure), Lexington Community College, effective 7/1/02.

Fairchild, Virginia, Lexington Community College, from Assistant Professor, Lexington Community College, to Associate Professor (with tenure), Lexington Community College, effective 7/1/02.

*Special Title series

Fording, Richard C., College of Arts and Sciences, from Assistant Professor, Political Science, to Associate Professor (with tenure), Political Science, effective 7/1/02.

Gan, Susheng, College of Agriculture, from Assistant Professor, Agronomy, to Associate Professor (with tenure), Agronomy, effective 7/1/02.

Goldberg, C. Sanford, College of Arts and Sciences, from Assistant Professor, Philosophy, to Associate Professor (with tenure), Philosophy, effective 7/1/02.

Harling, Philip, College of Arts and Sciences, from Associate Professor (with tenure), History, to Professor (with tenure), History, effective 7/1/02.

Isaacs, Steven G., College of Agriculture, from Associate Extension Professor (with tenure), Agricultural Economics, to Extension Professor (with tenure), Agricultural Economics, effective 7/1/02.

Jacob, Jamey D., College of Engineering, from Assistant Professor, Mechanical Engineering, to Associate Professor (with tenure), Mechanical Engineering, effective 7/1/02.

Kolasa, James, Lexington Community College, from Associate Professor (with tenure), Lexington Community College, to Professor (with tenure), Lexington Community College, effective 7/1/02.

Krupa, James J., College of Arts and Sciences, from Assistant Professor*, Biology, to Associate Professor* (with tenure), Biology, effective 7/1/02.

Maehr, David S., College of Agriculture, from Assistant Professor, Forestry, to Associate Professor (with tenure), Forestry, effective 7/1/02.

Mikel, William Benjy, College of Agriculture, from Associate Extension Professor (with tenure), Animal Sciences, to Extension Professor (with tenure), Animal Sciences, effective 7/1/02.

Miller, Patricia P., Lexington Community College, from Assistant Professor, Lexington Community College, to Associate Professor (with tenure), Lexington Community College, effective 7/1/02.

Moore, Kathryn L., College of Law, from Associate Professor (with tenure), Law, to Professor (with tenure), Law, effective 7/1/02.

Parker, Johne M., College of Engineering, from Assistant Professor, Mechanical Engineering, to Associate Professor (with tenure), Mechanical Engineering, effective 7/1/02.

Pertusa-Seva, Inmaculada, College of Arts and Sciences, from Assistant Professor*, Hispanic Studies, to Associate Professor* (with tenure), Hispanic Studies, effective 7/1/02.

Peters, Jeffrey, College of Arts and Sciences, from Assistant Professor, Modern and Classical Languages, to Associate Professor (with tenure), Modern and Classical Languages, effective 7/1/02.

Rennekamp, Roger A., College of Agriculture, from Associate Extension Professor (with tenure), Program and Staff Development, to Extension Professor (with tenure), Program and Staff Development, effective 7/1/02.

Ryder, Rebecca J., University Libraries, from Librarian II (with tenure), University Libraries, to Librarian I (with tenure), University Libraries, effective 7/1/02.

*Special Title series

Shaw, Jason D., College of Business and Economics, from Assistant Professor, Management, to Associate Professor (with tenure), Management, effective 7/1/02.

Shearer, Scott A., College of Agriculture, from Associate Professor (with tenure), Biosystems and Agricultural Engineering, to Professor (with tenure), Biosystems and Agricultural Engineering, effective 7/1/02.

Shlosman, Isaac, College of Arts and Sciences, from Associate Professor (with tenure), Physics and Astronomy, to Professor (with tenure), Physics and Astronomy, effective 7/1/02.

Smoot, Richard, Lexington Community College, from Assistant Professor, Lexington Community College, to Associate Professor (with tenure), Lexington Community College, effective 7/1/02.

Stamatiadis, Nikiforos, College of Engineering, from Associate Professor (with tenure), Civil Engineering, to Professor (with tenure), Civil Engineering, effective 7/1/02.

Stephens, Lyndon S., College of Engineering, from Assistant Professor, Mechanical Engineering, to Associate Professor (with tenure), Mechanical Engineering, effective 7/1/02.

Strobel, Norman, Lexington Community College, from Assistant Professor, Lexington Community College, to Associate Professor (with tenure), Lexington Community College, effective 7/1/02.

Stump, Gregory, College of Arts and Sciences, from Associate Professor (with tenure), English, to Professor (with tenure), English, effective 7/1/02.

Suchanek, Jeffrey S., University Libraries, from Librarian III, University Libraries, to Librarian II (with tenure), University Libraries, effective 7/1/02.

Vaillancourt, Lisa J., College of Agriculture, from Assistant Professor, Plant Pathology, to Associate Professor (with tenure), Plant Pathology, effective 7/1/02.

Viele, James V., College of Arts and Sciences, from Assistant Professor, Statistics, to Associate Professor (with tenure), Statistics, effective 7/1/02.

Walcott, Bruce L., College of Engineering, from Associate Professor (with tenure), Electrical and Computer Engineering, to Professor (with tenure), Electrical and Computer Engineering, effective 7/1/02.

Warner, Richard C., College of Agriculture, from Associate Extension Professor (with tenure), Biosystems and Agricultural Engineering, to Extension Professor (with tenure), Biosystems and Agricultural Engineering, effective 7/1/02.

Watts, Jean, Lexington Community College, from Assistant Professor, Lexington Community College, to Associate Professor (with tenure), Lexington Community College, effective 7/1/02.

Womack, Becky, Lexington Community College, from Associate Professor (with tenure), Lexington Community College, to Professor (with tenure), Lexington Community College, effective 7/1/02.

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*Special Title series

Wu, Ting-Wen, College of Engineering, from Associate Professor (with tenure), Mechanical Engineering, to Professor (with tenure), Mechanical Engineering, effective 7/1/02.

Zhang, Jun, College of Engineering, from Assistant Professor, Computer Science, to Associate Professor (with tenure), Computer Science, effective 7/1/02.

G. CHANGES

Burmeister, Larry L., College of Agriculture, Associate Professor (with tenure), to change from Sociology to Community and Leadership Development, effective 7/1/02.

Byers, Charles W., College of Agriculture, Professor (with tenure), to change from Agricultural Economics to Community and Leadership Development, effective 7/1/02.

Dyk, Patricia H., College of Agriculture, Associate Professor (with tenure), to change from Sociology to Community and Leadership Development, effective 7/1/02.

Garkovich, Lorraine E., College of Agriculture, Professor (with tenure), to change from Sociology to Community and Leadership Development, effective 7/1/02.

Greider, Thomas, College of Agriculture, Associate Professor (with tenure), to change from Sociology to Community and Leadership Development, effective 7/1/02.

Hansen, Gary L., College of Agriculture, Extension Professor (with tenure), to change from Sociology to Community and Leadership Development, effective 7/1/02.

Harris, Rosalind P., College of Agriculture, Associate Professor (with tenure), to change from Sociology to Community and Leadership Development, effective 7/1/02.

Hustedde, Ronald J., College of Agriculture, Associate Extension Professor (with tenure), to change from Sociology to Community and Leadership Development, effective 7/1/02.

Maurer, Richard C., College of Agriculture, Extension Professor (with tenure), to change from Sociology to Community and Leadership Development, effective 7/1/02.

Mingst, Karen, Graduate School, to change from Professor (with tenure), Political Science, College of Arts and Sciences, to Professor (with tenure), Patterson School of Diplomacy and International Commerce, and joint appointment as Professor (without tenure), Political Science, College of Arts and Sciences, effective 7/1/02.

Nall, Martha A., College of Agriculture, Extension Professor (with tenure), to change from Program and Staff Development to Community and Leadership Development, effective 7/1/02.

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*Special Title series

Rennekamp, Roger A., College of Agriculture, Extension Professor (with tenure), to change from Program and Staff Development to Community and Leadership Development, effective 7/1/02.

Tulloch, Rodney W., College of Agriculture, Associate Professor (with tenure), to change from Agricultural Economics to Community and Leadership Development, effective 7/1/02.

Warner, Paul D., College of Agriculture, Extension Professor (with tenure), to change from Sociology to Community and Leadership Development, effective 7/1/02.

Weckman, Randy, College of Agriculture, Associate Professor* (with tenure), to change from Agricultural Communications Services to Community and Leadership Development, effective 7/1/02.

Witham, Deborah B., College of Agriculture, Professor* (with tenure), to change from Agricultural Communications Services to Community and Leadership Development, effective 7/1/02.

H. RETIREMENTS

Keller, Stuart B., College of Business and Economics, Associate Professor (with tenure), Accountancy, after 20 years and 10 months of consecutive service, under Section III.B of AR II-1.6-1, effective 6/30/02.

I. EARLY RETIREMENTS

Gardner, Joseph H., College of Arts and Sciences, Professor (with tenure), English, after 35 years and 10 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 6/30/02.

Greenway, John L., College of Arts and Sciences, Associate Professor (with tenure), English, after 32 years and 11 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 7/31/02.

Lichtenberg, David E., College of Agriculture, Agriculture Regulatory Specialist, Regulatory Services, after 27 years and 8 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 8/2/02.

Liu, Calvin J., College of Agriculture, Associate Professor (with tenure), Forestry, after 26 years and 5 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 5/27/02.

Looper, Bobby J., Educational Outreach and Distance Learning, Conference Center Resident Manager, Carnahan Conference Center, after 22 years and 5 months of consecutive service and a total of 26 years and 3 months of service, under Section III.A.2 of AR II-1.6-1, effective 7/12/02.

Swingle, Larry J., College of Arts and Sciences, Professor (with tenure), English, after 28 years and 11 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 6/30/02.

Willis, Paul A., University Libraries, Director, University Libraries, after 34 years and 11 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 6/30/02.

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*Special Title series

J. POST-RETIREMENT APPOINTMENTS

Dillon, Oscar W. Jr., College of Engineering, Professor (part-time), Mechanical Engineering, 7/1/02 through 6/30/03.
Funk, James E., College of Engineering, Professor (part-time), Mechanical Engineering, 7/1/02 through 6/30/03.
Knapp, Fred, College of Agriculture, Acting Chair (part-time), Entomology, and Assistant Director (part-time), Kentucky Agricultural Experiment Station, 7/1/02 through 6/30/03.
Little, C. Oran, College of Agriculture, Professor (part-time), Animal Sciences, 7/1/02 through 6/30/03.
Long, Joy, College of Agriculture, Administrative Staff Officer (part-time), Agriculture Administration, 7/1/02 through 6/30/03.
Miller, Sandra W., College of Human Environmental Sciences, Professor (part-time), Family Studies, 8/16/02 through 12/31/02.
Stevens, Raymond R., College of Agriculture, Greenhouse Technician (part-time), Agronomy, 7/1/02 through 6/30/03.
Swift, Louis, Undergraduate Education, Professor (part-time), Honors Program, 8/16/02 through 12/31/02.
Taylor, Norman, College of Agriculture, Professor (part-time), Agronomy, 7/1/02 through 6/30/03.
Wood, Don J., College of Engineering, Professor (part-time), Civil Engineering, 7/1/02 through 6/30/03.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Bradley, Kelly, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/02 through 6/30/03.
Choo, Ching Chiaw, College of Architecture, Instructor (part-time), Architecture, 5/1/02 through 5/31/02.
Lovely, Sylvia, Graduate School, Assistant Adjunct Professor, Martin School of Public Policy and Administration, 7/1/02 through 6/30/03.
Peiter, Robin L., College of Agriculture, Assistant Professor, Community and Leadership Development, 7/1/02 through 6/30/03.
Sheliga, Michael, College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 6/1/02 through 8/15/02.
Whiting, Jason B., College of Human Environmental Sciences, Assistant Professor, Family Studies, 8/16/02 through 6/30/03.

B. ADMINISTRATIVE APPOINTMENTS

Carey, Sandra, Lexington Community College, Associate Professor (with tenure), Lexington Community College, and Acting Dean, Academic Affairs, Lexington Community College, reappointed Acting Dean,

*Special Title series

Academic Affairs, Lexington Community College, 7/1/02 through 6/30/03.

Hancher, Donn E., College of Engineering, Professor (with tenure), Civil Engineering, and Acting Chair, Chemical and Materials Engineering, reappointed Acting Chair, Chemical and Materials Engineering, 7/1/02 through 6/30/03.

Hansen, Gary, College of Agriculture, Extension Professor (with tenure), Community and Leadership Development, appointed Acting Chair, Community and Leadership Development, 7/1/02 through 6/30/03.

Molinaro, Mary H., Librarian I (with tenure), University Libraries, appointed Acting Dean, University Libraries, 7/1/02 through 6/30/03.

Moore, Deborah, Vice President for Institutional Research, Planning and Effectiveness, appointed Assessment Director, Institutional Research, Planning and Effectiveness, effective 7/1/02.

C. JOINT APPOINTMENTS

Benson, James M., College of Engineering, Associate Professor (with tenure), Murray State University, joint reappointment as Assistant Professor, Mechanical Engineering, 8/16/02 through 6/30/03.

Kemp, Michael C., College of Engineering, Assistant Professor, Murray State University, joint reappointment as Assistant Professor, Chemical and Materials Engineering, 8/16/02 through 6/30/03.

Matthew, Dayna B., College of Law, Associate Professor (with tenure). Law, joint reappointment as Assistant Professor, Family Practice, College of Medicine, 7/1/02 through 6/30/03.

Thiede, Theodore D., College of Engineering, Assistant Professor, Murray State University, joint reappointment as Assistant Professor, Mechanical Engineering, 8/16/02 through 6/30/03.

D. REAPPOINTMENTS

Alexander, Karen, College of Human Environmental Sciences, Assistant Professor, Family Studies, 7/1/02 through 6/30/03.

Allaire, Gloria, College of Arts and Sciences, Lecturer, Hispanic Studies, 7/1/02 through 6/30/03.

Anderman, Lynley, College of Education, Assistant Professor, Educational and Counseling Psychology, 7/1/02 through 6/30/03.

Antonious, George F., College of Agriculture, Assistant Adjunct Professor, Horticulture, 7/1/02 through 6/30/03.

Bailey, Hargis Ray, Lexington Community College, Librarian IV, Lexington Community College, 7/1/02 through 6/30/03.

Baker, John R., College of Engineering, Assistant Professor*, Mechanical Engineering, 7/1/02 through 6/30/04.

Barney, Robert J., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/02 through 6/30/03.

*Special Title series

Batson, William Jr., Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Bebensee, George, College of Arts and Sciences, Lecturer, English, 7/1/02 through 6/30/03.
Bicudo, José R., College of Agriculture, Assistant Extension Professor, Biosystems and Agricultural Engineering, 7/1/02 through 6/30/03.
Bishop, Malachy, College of Education, Assistant Professor, Special Education and Rehabilitation Counseling, 7/1/02 through 6/30/03.
Black, James E., College of Engineering, Assistant Adjunct Professor, Civil Engineering, 7/1/02 through 6/30/03.
Blount, Steven C., Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Boes, Donald V., Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Boggs, Rita K., Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Brown, Elinor, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/02 through 6/30/03.
Browne-Ferrigno, Tricia, College of Education, Assistant Professor, Administration and Supervision, 7/1/02 through 6/30/03.
Buck, Daniel K., Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Byers, Matthew E., College of Agriculture, Assistant Adjunct Professor, Biosystems and Agricultural Engineering, 7/1/02 through 6/30/03.
Campbell, Diane, College of Arts and Sciences, Lecturer, English, 7/1/02 through 6/30/03.
Capece, Vincent R., College of Engineering, Assistant Professor*, Mechanical Engineering, 7/1/02 through 6/30/04.
Carey, Daniel I., College of Agriculture, Assistant Adjunct Professor, Biosystems and Agricultural Engineering, 7/1/02 through 6/30/03.
Carter, Michael, College of Arts and Sciences, Lecturer, English, 7/1/02 through 6/30/03.
Cheeks, Richard, College of Engineering, Assistant Adjunct Professor, Civil Engineering, 7/1/02 through 6/30/03.
Chico-Wyatt, Irene, College of Arts and Sciences, Lecturer, Hispanic Studies, 7/1/02 through 6/30/03.
Clark, Stephen, College of Arts and Sciences, Lecturer, Statistics, 7/1/02 through 6/30/03.
Clements, Stephen, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/02 through 6/30/03.
Cline, Gary R., College of Agriculture, Assistant Adjunct Professor, Horticulture, 7/1/02 through 6/30/03.
Cooper, Bonnie, Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Cooper, Michael A., Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.

*Special Title series

Crocker, Lina, College of Arts and Sciences, Lecturer, English, 7/1/02 through 6/30/03.
Crofcheck, Czarena, College of Agriculture, Assistant Professor, Biosystems and Agricultural Engineering, 7/1/02 through 6/30/03.
D'Angelo, Elisa M., College of Agriculture, Assistant Professor, Agronomy, 7/1/02 through 6/30/03.
Darrat, Suleiman, College of Arts and Sciences, Lecturer, Modern and Classical Languages, 7/1/02 through 6/30/03.
Davis, Robin A., Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Dekhtyar, Alexander, College of Engineering, Assistant Professor, Computer Science, 7/1/02 through 6/30/04.
DeShea, Lise, College of Education, Assistant Professor, Educational and Counseling Psychology, 7/1/02 through 6/30/03.
Desormeaux, Daniel, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, 7/1/02 through 6/30/04.
Diamond, Beth N., College of Agriculture, Assistant Professor, Landscape Architecture, 7/1/02 through 6/30/03.
Dobson, Stephen L., College of Agriculture, Assistant Professor, Entomology, 7/1/02 through 6/30/03.
Downie, Allan Bruce, College of Agriculture, Assistant Professor, Horticulture, 7/1/02 through 6/30/03.
Dunbar, Paul D., College of Engineering, Assistant Professor*, Chemical and Materials Engineering, 7/1/02 through 6/30/04.
Durham, Richard E., College of Agriculture, Assistant Extension Professor, Horticulture, 7/1/02 through 6/30/03.
Elzey-Miller, Barbara, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Falcone, Deane L., College of Agriculture, Assistant Professor, Agronomy, 7/1/02 through 6/30/03.
Farman, Mark, College of Agriculture, Assistant Professor, Plant Pathology, 7/1/02 through 6/30/03.
Feeney, Gregory, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Fei, Zongming, College of Engineering, Assistant Professor, Computer Science, 7/1/02 through 6/30/04.
Foley, Terence, College of Communications and Information Studies, Adjunct Instructor, Journalism and Telecommunications, 4/15/02 through 5/31/02.
Francisco, B. Scott, College of Architecture, Lecturer, Architecture, 7/1/02 through 6/30/03.
Franklin, Sharon Turner, College of Agriculture, Assistant Professor, Animal Sciences, 7/1/02 through 6/30/03.
Fults, Janna, College of Arts and Sciences, Lecturer, Modern and Classical Languages, 7/1/02 through 6/30/03.
Grisham-Brown, Jennifer, College of Human Environmental Sciences, Assistant Professor, Family Studies, 7/1/02 through 6/30/03.

*Special Title series

Guindon, Lynn, College of Arts and Sciences, Lecturer, English, 7/1/02 through 6/30/03.
Gurley, Keith, College of Education, Assistant Professor, Administration and Supervision, 7/1/02 through 6/30/03.
Halcomb, Don A., Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Hale, Shelda Emmick, College of Arts and Sciences, Lecturer, English, 7/1/02 through 6/30/03.
Hall, Arlie, College of Engineering, Assistant Professor (voluntary), Mechanical Engineering, 7/1/02 through 6/30/03.
Hall, Debra, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Hallam, Rena, College of Human Environmental Sciences, Assistant Professor, Family Studies, 7/1/02 through 6/30/03.
Hayes, Robert F., Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Healander, Beth, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Hoebusch, Harald, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, 7/1/02 through 6/30/04.
Holle, Bruce, College of Arts and Sciences, Lecturer, History, 7/1/02 through 6/30/03.
Hornsby, Jeorg Ellen, College of Arts and Sciences, Lecturer, Modern and Classical Languages, 7/1/02 through 6/30/03.
Houghton-Estes, Lori L., Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Hunt, Andrew, Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Hunt, Randy E., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/02 through 6/30/03.
Jabbour, Michel E., College of Arts and Sciences, Assistant Professor (temporary), Mathematics, 7/1/02 through 6/30/03.
Jahed, Peyman, Architecture, Instructor (part-time), Architecture, 5/1/02 through 5/31/02.
Jaynes, Christopher, College of Engineering, Assistant Professor, Computer Science, 7/1/02 through 6/30/04.
Jensen, Jane, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/02 through 6/30/03.
Johnson, Bonnie, College of Education, Assistant Professor, Administration and Supervision, 7/1/02 through 6/30/03.
Jolivette, Kristine, College of Education, Assistant Professor, Special Education and Rehabilitation Counseling, 7/1/02 through 6/30/03.
Keen, Debby L., College of Engineering, Lecturer, Computer Science, 7/1/02 through 6/30/03.
Kellum, Rebecca, College of Arts and Sciences, Assistant Professor, Biology, 7/1/02 through 6/30/03.

*Special Title series

Kelly, Ryan S., Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Kenner, Shelley Jean, College of Arts and Sciences, Assistant Professor, Geological Sciences, 7/1/02 through 6/30/04.
Kew, Barry W., College of Agriculture, Assistant Professor, Landscape Architecture, 7/1/02 through 6/30/03.
Kim, Seongjai, College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/02 through 6/30/04.
Knowles, Tracy L., Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Lanier, Rebecca, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Lee-Desautals, Rhonda, College of Engineering, Assistant Professor*, Chemical and Materials Engineering, 7/1/02 through 6/30/04.
Luhan, Gregory, College of Architecture, Assistant Professor, Architecture, 7/1/02 through 6/30/03.
Luyster, William, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Manivannan, D., College of Engineering, Assistant Professor, Computer Science, 7/1/02 through 6/30/04.
Mason, Bobbie Ann, College of Arts and Sciences, Writer-in-Residence, English, 7/1/02 through 6/30/03.
Matocha, Christopher J., College of Agriculture, Assistant Professor, Agronomy, 7/1/02 through 6/30/03.
McComb, Gary Neil, College of Architecture, Instructor (part-time), Architecture, 5/1/02 through 5/31/02.
McFadden, Steven Y., College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 8/16/02 through 12/31/02.
McKeever, Matthew, College of Arts and Sciences, Assistant Professor, Sociology, 7/1/02 through 6/30/04.
McNeill, Samuel G., College of Agriculture, Assistant Extension Professor, Biosystems and Agricultural Engineering, 7/1/02 through 6/30/03.
Miller, Kausha C., Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Miller, Kim, College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/02 through 6/30/03.
Miller, Patricia A., Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Monton, Bradley J., College of Arts and Sciences, Assistant Professor, Philosophy, 7/1/02 through 6/30/04.
Montross, Michael D., College of Agriculture, Assistant Professor, Biosystems and Agricultural Engineering, 7/1/02 through 6/30/03.
Morley, Elizabeth, College of Arts and Sciences, Lecturer, English, 7/1/02 through 6/30/03.
Mosby, Tricia, Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.

*Special Title series

Mueller, Chad, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Mueller, Thomas G., College of Agriculture, Assistant Professor, Agronomy, 7/1/02 through 6/30/03.
Nagy, Peter, College of Agriculture, Assistant Professor, Plant Pathology, 7/1/02 through 6/30/03.
Nellis, Leah, College of Education, Assistant Professor, Educational and Counseling Psychology, 7/1/02 through 6/30/03.
Otieno, Iddah, Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Ousey, Graham, College of Arts and Sciences, Assistant Professor, Sociology, 7/1/02 through 6/30/04.
Perry, Sharyn E., College of Agriculture, Assistant Professor, Agronomy, 7/1/02 through 6/30/03.
Phillips, Taunya, College of Engineering, Lecturer, Mechanical Engineering, 7/1/02 through 6/30/03.
Piercy, Emily C., Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Piwowarski, Paul E., College of Engineering, Lecturer, Computer Science, 7/1/02 through 6/30/03.
Pomper, Kirk W., College of Agriculture, Assistant Adjunct Professor, Horticulture, 7/1/02 through 6/30/03.
Prats, Judith, College of Arts and Sciences, Lecturer, English, 7/1/02 through 6/30/03.
Pride-Wells, Michaele, College of Architecture, Assistant Professor, Architecture, 7/1/02 through 6/30/03.
Rankin, Stephen E., College of Engineering, Assistant Professor, Chemical and Materials Engineering, 7/1/02 through 6/30/04.
Rauter, Claudia M., College of Agriculture, Assistant Research Professor, Entomology, 7/1/02 through 6/30/03.
Readdy, Margaret A., College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/02 through 6/30/04.
Reece, Erik, College of Arts and Sciences, Lecturer, English, 7/1/02 through 6/30/03.
Rieske-Kinney, Lynne, College of Agriculture, Assistant Professor, Entomology, 7/1/02 through 7/31/02.
Rivkin-Fish, Michelle, College of Arts and Sciences, Assistant Professor, Anthropology, 7/1/02 through 6/30/04.
Rogier, Francesca, College of Architecture, Assistant Professor, Architecture, 7/1/02 through 6/30/03.
Rohlf, Richard A., College of Agriculture, Assistant Adjunct Professor, Biosystems and Agricultural Engineering, 7/1/02 through 6/30/03.
Rose, Anthony, Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Rostosky, Sharon, College of Education, Assistant Professor, Educational and Counseling Psychology, 7/1/02 through 6/30/03.

*Special Title series

Sadat, Anas Anwar, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Schiffbauer, Judith, College of Arts and Sciences, Lecturer, English, 7/1/02 through 6/30/03.
Schneider, Krista L., College of Agriculture, Assistant Professor, Landscape Architecture, 7/1/02 through 6/30/03.
Schuman, Daniel B., Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Secor, Anna J., College of Arts and Sciences, Assistant Professor, Geography, 7/1/02 through 6/30/04.
Sedlacek, John D., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/02 through 6/30/03.
Shelton, Cynthia Lynne, Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Sherrard, Jacqueline, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Siminszky, Balazs, College of Agriculture, Assistant Professor, Agronomy, 7/1/02 through 6/30/03.
Slusarek, Maciej, College of Engineering, Visiting Assistant Professor, Computer Science, 5/15/02 through 8/15/02.
Smart, Jim L., College of Engineering, Assistant Professor*, Chemical and Materials Engineering, 7/1/02 through 6/30/04.
Smith, Stacy, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Stamatiadis, Anne Marie, College of Arts and Sciences, Lecturer, English, 7/1/02 through 6/30/03.
Stephenson, Tammy, College of Human Environmental Sciences, Instructor (temporary), Nutrition and Food Science, 8/16/02 through 5/15/03.
Stombaugh, Timothy S., College of Agriculture, Assistant Extension Professor, Biosystems and Agricultural Engineering, 7/1/02 through 6/30/03.
Sushko, Yuri V., College of Arts and Sciences, Assistant Professor, Physics and Astronomy, 7/1/02 through 6/30/04.
Taghizadeh, Rasoul, Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Tao, Daniel, College of Engineering, Assistant Professor, Mining Engineering, 7/1/02 through 6/30/04.
Tri, Mary Kathryn, College of Arts and Sciences, Lecturer, English, 7/1/02 through 6/30/03.
Voss, D. Stephen, College of Arts and Sciences, Assistant Professor, Political Science, 7/1/02 through 6/30/04.
Webster-Little, Stacy, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Williams, David W., College of Agriculture, Assistant Professor, Agronomy, 7/1/02 through 6/30/03.

*Special Title series

Williams, Laura A., Lexington Community College, Instructor, Lexington Community College, 7/1/02 through 6/30/03.
Williams, Mark A., College of Agriculture, Assistant Professor, Horticulture, 7/1/02 through 6/30/03.
Xu, Yong, College of Arts and Sciences, Assistant Research Professor, Biology, 4/17/02 through 4/16/03.
Zong, Guichun, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/02 through 6/30/03.
Zunshine, Lisa, College of Arts and Sciences, Assistant Professor, English, 7/1/02 through 6/30/04.

E. PROMOTIONS WITHOUT TENURE

Chirwa, Robert M.N., Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Glasscock, Rebecca, Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Guiseppi, Lori, Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Hopper, Kevin, Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Lane, Leon, Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Miriti, Landrea, Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Ross-Brown, Kimberly, Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Santana, Gary L., Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.
Walmsley, Lee Anne, Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/02 through 6/30/03.

F. CHANGES

Tanaka, Keiko, College of Agriculture, Assistant Professor, to change from Sociology to Community and Leadership Development, 7/1/02 through 6/30/03.

*Special Title series

Zimmerman, Julie, College of Agriculture, Assistant Professor, to change from Sociology to Community and Leadership Development, 7/1/02 through 6/30/03.

G. TERMINAL REAPPOINTMENTS

Harrison, Douglas A., College of Arts and Sciences, Assistant Professor, Biology, 7/1/02 through 6/30/03.

Rieske-Kinney, Lynne, College of Agriculture, Assistant Professor, Entomology, 8/1/02 through 7/31/03.

H. LEAVES OF ABSENCE

Adams, David, College of Arts and Sciences, Professor (with tenure), Mathematics, Sabbatical leave with full salary, 7/1/02 through 12/31/02.

Bardo, Michael, College of Arts and Sciences, Professor (with tenure), Psychology, Sabbatical leave with half salary, 7/1/02 through 6/30/03.

Bartilow, Horace, College of Arts and Sciences, Associate Professor (with tenure), Political Science, Sabbatical leave with full salary, 7/1/02 through 12/31/02.

Beattie, Ruth, College of Arts and Sciences, Associate Professor* (with tenure), Biology, Sabbatical leave with full salary, 7/1/02 through 12/31/02.

Blum, Virginia, College of Arts and Sciences, Associate Professor (with tenure), English, Sabbatical leave with half salary, 7/1/02 through 6/30/03.

Breazeale, Daniel, College of Arts and Sciences, Professor (with tenure), Philosophy, Sabbatical leave with full salary, 7/1/02 through 12/31/02.

Brown, Desmond, College of Human Environmental Sciences, Associate Professor* (with tenure), Nutrition and Food Science, Sabbatical leave with full salary, 8/16/02 through 12/31/02.

Callahan, Joan, College of Arts and Sciences, Professor (with tenure), Philosophy, Sabbatical leave with full salary, 7/1/02 through 12/31/02.

Christopher, John, College of Arts and Sciences, Associate Professor (with tenure), Physics and Astronomy, Sabbatical leave with full salary, 1/1/03 through 6/30/03.

Crooks, Deborah L., College of Arts and Sciences, Associate Professor (with tenure), Anthropology, Sabbatical leave with full salary, 7/1/02 through 12/31/02.

Force, James, College of Arts and Sciences, Professor (with tenure), Philosophy, Leave without pay, 7/1/02 through 12/31/02.

Foreman, Walter, College of Arts and Sciences, Associate Professor (with tenure), English, Sabbatical leave with half salary, 7/1/02 through 6/30/03.

Frank, Daniel, College of Arts and Sciences, Professor (with tenure), Philosophy, Sabbatical leave with full salary, 1/1/03 through 6/30/03.

*Special Title series

Freyman, Marcia, Lexington Community College, Librarian II (with tenure), Lexington Community College, Sabbatical leave with full salary, 5/16/02 through 8/15/02, and 5/16/03 through 8/15/03.

Galvin, Sarah, Lexington Community College, Associate Professor (with tenure), Lexington Community College, Sabbatical leave with half salary, 7/1/02 through 6/30/03.

Gargola, Daniel, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave with half salary, 7/1/02 through 6/30/03.

Hackney, Sandye, Lexington Community College, Associate Professor (with tenure), Lexington Community College, Sabbatical leave with full salary, 1/1/03 through 6/30/03.

Jones, Deneese, College of Education, Associate Professor (with tenure), Curriculum and Instruction, to change from Sabbatical leave with full salary, 7/1/02 through 12/31/02, to Sabbatical leave with full salary, 7/1/03 through 12/31/03.

Kaufman, Stuart, College of Arts and Sciences, Associate Professor (with tenure), Political Science, Sabbatical leave with full salary, 1/1/03 through 6/30/03.

Kern, Kathi, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave with full salary, 1/1/03 through 6/30/03.

Look, Brandon, College of Arts and Sciences, Associate Professor (with tenure), Philosophy, Sabbatical leave with half salary, 7/1/02 through 6/30/03.

McLetchie, Nicholas, College of Arts and Sciences, Associate Professor (with tenure), Biology, Sabbatical leave with half salary, 7/1/02 through 6/30/03.

Montenegro, Nivia, College of Arts and Sciences, Associate Professor (with tenure), Hispanic Studies, Leave without pay, 7/1/02 through 6/30/03.

Natter, Wolfgang, College of Arts and Sciences, Associate Professor (with tenure), Geography, Scholarly fellowship leave, 7/1/02 through 12/31/02.

Olson-Biglieri, Joanne, Lexington Community College, Professor (with tenure), Lexington Community College, Sabbatical leave with half salary, 7/1/02 through 6/30/03.

Pool, Christopher, College of Arts and Sciences, Associate Professor (with tenure), Anthropology, Sabbatical leave with full salary, 1/1/03 through 6/30/03.

Roser, Lynn, Lexington Community College, Associate Professor (with tenure), Lexington Community College, Sabbatical leave with half salary, 7/1/02 through 6/30/03.

Rymond, Brian, College of Arts and Sciences, Professor (with tenure), Biology, Sabbatical leave with full salary, 7/1/02 through 12/31/02.

Skinner, William, College of Arts and Sciences, Professor (with tenure), Sociology, Sabbatical leave with full salary, 7/1/02 through 12/31/02.

Stanton, Edward, College of Arts and Sciences, Professor (with tenure), Hispanic Studies, Sabbatical leave with half salary, 7/1/02 through 6/30/03.

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*Special Title series

Talbert, Jeffrey, Graduate School, Associate Professor (with tenure), Martin School of Public Policy and Administration, Sabbatical leave with full salary, 7/1/02 through 12/31/02.

Taylor, Ronald, College of Arts and Sciences, Associate Professor* (with tenure), Psychology, Sabbatical leave with full salary, 1/1/03 through 6/30/03.

Trutt, Fred, College of Engineering, Professor (with tenure), Electrical and Computer Engineering, Scholarly fellowship leave with partial salary, 7/1/02 through 6/30/03.

van Willigen, John, College of Arts and Sciences, Professor (with tenure), Anthropology, Sabbatical leave with half salary, 7/1/02 through 6/30/03.

*Special Title series

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Buck, James L., College of Medicine, Professor*, Diagnostic Radiology, named to the Arthur Lieber, M.D., Professorship in Diagnostic Radiology, 7/1/02 through 6/30/07.

Camitta, Michael G., College of Medicine, Assistant Professor*, Pediatrics, 7/1/02 through 6/30/03.

DeFranco, Emily A., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Fleming, Bernard P., Graduate School, Associate Adjunct Professor, Center for Biomedical Engineering, 7/1/02 through 6/30/03.

Frazer, Robert Q., College of Dentistry, Assistant Professor*, Oral Health Practice, 9/1/02 through 6/30/03.

Gill, Charlotte A., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/15/02 through 6/30/03.

Hamilton, David A., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Hoellein, Andrew R., College of Medicine, Clinical Instructor, Internal Medicine, 7/1/02 through 6/30/03.

Huhn, Jeniffer L., College of Medicine, Assistant Professor*, Radiation Medicine, 7/1/02 through 6/30/03.

Isaac, Ihab J., College of Medicine, Assistant Professor*, Physical Medicine and Rehabilitation, 7/1/02 through 6/30/03.

Li, Tonglei, College of Pharmacy, Assistant Professor, 7/1/02 through 6/30/03.

Meek, Donna K., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/02 through 6/30/03.

Rinker, Brian D., College of Medicine, Assistant Professor*, Surgery, 7/15/02 through 6/30/03.

Selby, Jeffrey B., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/02 through 6/30/03.

Sullivan, Patrick G., College of Medicine, Assistant Professor, Anatomy and Neurobiology, 8/1/02 through 6/30/03.

Troffkin, Neil A., College of Medicine, Assistant Professor*, Surgery, 8/15/02 through 6/30/03.

Vaishnav, Anand G., College of Medicine, Assistant Professor, Neurology, 8/22/02 through 6/30/03.

Wilson, Melinda E., College of Medicine, Assistant Professor, Physiology, 7/1/02 through 6/30/03.

Zhao, Hong-Bo, College of Medicine, Assistant Professor, Surgery, 7/1/02 through 6/30/03.

*Special Title series

Zhu, Haining, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/02 through 6/30/03.

B. ADMINISTRATIVE APPOINTMENTS

Ebersole, Jeffrey L., College of Dentistry, Professor (with tenure), Oral Health Practice, joint appointment as Professor (without tenure), College of Medicine, Department of Microbiology, Immunology and Molecular Genetics, named Associate Dean for Research and Graduate Studies, College of Dentistry, effective 7/1/02.

Wallace, Lisa, College of Medicine, Nurse Anesthetist, Anesthesiology, effective 7/1/02.

West, Karen L., College of Dentistry, Associate Professor* (with tenure), Oral Health Science, named Associate Dean for Academic Affairs, College of Dentistry, effective 7/1/02.

C. JOINT APPOINTMENTS

Bryson, James S., College of Medicine, Associate Professor (with tenure), Internal Medicine, joint appointment as Associate Professor (without tenure), Microbiology, Immunology and Molecular Genetics, new joint appointment as Associate Professor (without tenure), College of Health Sciences, Department of Clinical Sciences, effective 7/1/02.

Daugherty, Alan, College of Medicine, Professor (with tenure), Internal Medicine, joint appointment as Professor (without tenure), Physiology, new joint appointment as Professor (without tenure), Graduate School, Graduate Center for Toxicology, 7/1/02 through 6/30/07.

Doukas, Michael A., College of Medicine, Associate Professor (with tenure), Internal Medicine, new joint appointments as Associate Professor (without tenure), College of Pharmacy and College of Health Sciences, Department of Clinical Sciences, effective 7/1/02.

Passik, Steven D., College of Medicine, Associate Professor (without tenure), Internal Medicine, joint appointment as Associate Professor (without tenure), Behavioral Science, 7/1/02 through 6/30/03.

D. REAPPOINTMENTS

Anderson, Debra G., College of Nursing, Associate Professor (without tenure), 7/1/02 through 6/30/03.

Branch, Leslie B., College of Medicine, Associate Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.

Bright, Katherine L., College of Medicine, Associate Professor (part time), Pediatrics, 7/1/02 through 6/30/03.

Chasen, Craig A., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/02 through 6/30/03.

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*Special Title series

Collins, Robert W., College of Medicine, Clinical Associate Professor, Internal Medicine, joint appointment as Clinical Associate Professor, Preventive Medicine and Environmental Health, 7/1/02 through 6/30/03.
Cowen, David E., College of Medicine, Associate Professor (part time), Ophthalmology, 7/1/02 through 6/30/03.
Davis, Robert T., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/02 through 6/30/03.
Deaciuc, Ion V., College of Medicine, Associate Professor (without tenure), Internal Medicine, 7/1/02 through 6/30/03.
Etscheidt, Mark A., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/02 through 6/30/03.
Faugere, Marie-Claude, College of Medicine, Research Professor, Internal Medicine, joint appointment as Research Professor, Pathology and Laboratory Medicine, 7/1/02 through 6/30/03.
Fragneto, Regina Y., College of Medicine, Associate Professor* (without tenure), Anesthesiology, 7/1/02 through 6/30/03.
Getchell, Marilyn L., College of Medicine, Research Professor, Anatomy and Neurobiology, 7/1/02 through 6/30/03.
Gibson, Jacqueline S., College of Medicine, Clinical Professor, Internal Medicine, 7/1/02 through 6/30/03.
Goldstein, Steven J., College of Medicine, Clinical Associate Professor, Diagnostic Radiology, 7/1/02 through 6/30/03.
Goulson, Daniel T., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/02 through 6/30/03.
Hadley, Robert D., College of Health Sciences, Associate Professor (without tenure), Clinical Sciences, 7/1/02 through 6/30/03.
Hager, W. D., College of Medicine, Professor (part time), Obstetrics and Gynecology, 7/1/02 through 6/30/03.
Henry, Robert G., College of Dentistry, Associate Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.
Herzig, Geoffrey P., College of Medicine, Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.
Herzig, Roger H., College of Medicine, Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.
Hopenhayn-Rich, Claudia, College of Medicine, Associate Research Professor, Preventive Medicine and Environmental Health, 7/1/02 through 6/30/03.
Hoven, Ardis D., College of Medicine, Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.
Leavell, Ullin W., College of Medicine, Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.
Lewis, Carolyn K., College of Nursing, Clinical Associate Professor, 7/1/02 through 6/30/03.
Littrell, Robert A., College of Pharmacy, Clinical Associate Professor, joint appointment as Clinical Assistant Professor, College of Medicine, Department of Psychiatry, 7/1/02 through 6/30/03.

*Special Title series

Lock, Richard, College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/02 through 6/30/03.

Luo, Guangxiang, College of Medicine, Associate Professor (without tenure), Microbiology, Immunology and Molecular Genetics, 7/1/02 through 6/30/03.

Magnuson, Barbara L., College of Pharmacy, Associate Adjunct Professor, 7/1/02 through 6/30/03.

Mayer, Lloyd D., College of Medicine, Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.

Mazzoleni, Alberto, College of Medicine, Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.

McCaffrey, Francis M., College of Medicine, Associate Professor (part time), Pediatrics, 7/1/02 through 6/30/03.

McCormick, Malkanthie I., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/02 through 6/30/03.

McDaniel, William E., College of Medicine, Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.

McKeown, John W., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/02 through 6/30/03.

Meek, Robin R., College of Medicine, Associate Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.

Miller, Ralph E., College of Medicine, Clinical Professor, Internal Medicine, 7/1/02 through 6/30/03.

Montgomery, Thomas B., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/02 through 6/30/03.

Murphy, John T., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/02 through 6/30/03.

Offutt, William N., College of Medicine, Professor (part time), Ophthalmology, 7/1/02 through 6/30/03.

Pavlik, Edward J., College of Medicine, Associate Research Professor, Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Phillips, Gordon L., College of Medicine, Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.

Purcell, Marguerite, College of Medicine, Associate Adjunct Professor, Diagnostic Radiology, 7/1/02 through 6/30/03.

Rayens, Mary K., College of Nursing, Associate Research Professor, joint appointments as Associate Research Professor, College of Medicine, Kentucky School of Public Health and Department of Pediatrics, 7/1/02 through 6/30/03.

Reynolds, L. R., College of Medicine, Associate Professor* (without tenure), Internal Medicine, 7/1/02 through 6/30/03.

Riley, Kathryn P., College of Medicine, Associate Professor (part time), Preventive Medicine and Environmental Health, 7/1/02 through 6/30/03.

Ryo, Ung Y., College of Medicine, Professor (part time), Diagnostic Radiology, 7/1/02 through 6/30/03.

*Special Title series

Saykaly, Ronald J., College of Medicine, Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.

Shook, Lori A., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/02 through 6/30/03.

Simmons, Guy H., College of Health Sciences, Professor (part time), Clinical Sciences, joint appointment as Professor (part time), College of Medicine, Department of Diagnostic Radiology, 7/1/02 through 6/30/03.

Toney, Dale E., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/02 through 6/30/03.

Waid, Thomas H., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/02 through 6/30/03.

Weaver, Diana J., College of Nursing, Associate Professor (part time), 7/1/02 through 6/30/03.

Whayne, Thomas F., College of Medicine, Clinical Professor, Internal Medicine, 7/1/02 through 6/30/03.

Williams, John P., College of Medicine, Associate Professor (without tenure), Internal Medicine, joint appointment as Associate Professor (without tenure), Physiology, 7/1/02 through 6/30/03.

Wise, Phyllis M., College of Medicine, Adjunct Professor, Physiology, 7/1/02 through 6/30/03.

Woodring, John H., College of Medicine, Adjunct Professor, Diagnostic Radiology, 7/1/02 through 6/30/03.

Xie, Gong Y., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/02 through 6/30/03.

Yurek, David M., College of Medicine, Associate Research Professor, Surgery, joint appointment as Associate Research Professor, Anatomy and Neurobiology, 7/1/02 through 6/30/03.

E. TENURE

Bing, Guoying, College of Medicine, Associate Professor (without tenure), Anatomy and Neurobiology, to Associate Professor (with tenure), Anatomy and Neurobiology, effective 7/1/02.

F. PROMOTIONS

Ahmed, Mansoor M., College of Medicine, Assistant Professor, Radiation Medicine, joint appointments as Assistant Professor, College of Health Sciences, Department of Clinical Sciences and Graduate School, Graduate Center for Toxicology, to Associate Professor (with tenure), Radiation Medicine, joint appointments as Assistant Professor, College of Health Sciences, Department of Clinical Sciences and Graduate School, Graduate Center for Toxicology, effective 7/1/02.

Avison, Malcolm J., College of Medicine, Associate Professor (with tenure), Neurology, joint appointment as Associate Professor (without tenure), Molecular and Cellular Biochemistry, to Professor (with tenure),

*Special Title series

Neurology, joint appointment as Professor (without tenure), Molecular and Cellular Biochemistry, effective 7/1/02.

Davis, Frank, Medical Center Library, Librarian III, to Librarian II (with tenure), effective 7/1/02.

Haydon, Richard C., College of Medicine, Clinical Associate Professor, Surgery, to Clinical Professor, Surgery, 7/1/02 through 6/30/03.

Hunsaker, John C., College of Medicine, Associate Professor* (with tenure), Pathology and Laboratory Medicine, joint appointment as Associate Professor* (without tenure), Graduate School, Graduate Center for Toxicology, to Professor* (with tenure), Pathology and Laboratory Medicine, joint appointment as Associate Professor* (without tenure), Graduate School, Graduate Center for Toxicology, effective 7/1/02.

Johnson, Darren L., College of Medicine, Associate Professor (with tenure), Surgery, joint appointment as Associate Professor (without tenure), College of Health Sciences, Department of Rehabilitation Sciences, to Professor (with tenure), Surgery, joint appointment as Professor (without tenure), College of Health Sciences, Department of Rehabilitation Sciences, effective 7/1/02.

Kaetzel, Charlotte S., College of Medicine, Associate Professor (with tenure), Pathology and Laboratory Medicine, joint appointments as Associate Professor (without tenure), Microbiology, Immunology and Molecular Genetics and College of Dentistry, Department of Oral Health Science, to Professor (with tenure), Pathology and Laboratory Medicine, joint appointments as Professor (without tenure), Microbiology, Immunology and Molecular Genetics and College of Dentistry, Department of Oral Health Science, effective 7/1/02.

Keller, Bradley B., College of Medicine, Associate Professor (with tenure), Pediatrics, to Professor (with tenure), Pediatrics, effective 7/1/02.

Kuperstein, Janice, College of Health Sciences, Assistant Professor*, Rehabilitation Sciences, to Associate Professor* (with tenure), Rehabilitation Sciences, effective 7/1/02.

Lasley, Robert D., College of Medicine, Associate Professor (with tenure), Surgery, joint appointment as Associate Professor (without tenure), Physiology, to Professor (with tenure), Surgery, joint appointment as Associate Professor (without tenure), Physiology, effective 7/1/02.

Lewis, David F., College of Medicine, Associate Professor* (without tenure), Obstetrics and Gynecology, to Professor* (with tenure), Obstetrics and Gynecology, effective 7/1/02.

Martin, Julia E., College of Medicine, Assistant Professor*, Emergency Medicine, to Clinical Associate Professor, Emergency Medicine, 7/1/02 through 6/30/03.

Meigooni, Ali, College of Medicine, Clinical Associate Professor, Radiation Medicine, joint appointment as Clinical Associate Professor, College of Health Sciences, Department of Clinical Sciences, to Clinical Professor, Radiation Medicine, joint appointment as Clinical Professor, College of Health Sciences, Department of Clinical Sciences, 7/1/02 through 6/30/03.

*Special Title series

Mullett, Timothy W., College of Medicine, Clinical Assistant Professor, Surgery, to Clinical Associate Professor, Surgery, 7/1/02 through 6/30/03.

Pittman, Anne M., College of Medicine, Assistant Professor (part time), Internal Medicine, to Clinical Associate Professor, Internal Medicine, 7/1/02 through 6/30/03.

Rodgers, David W., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, to Associate Professor (with tenure), Molecular and Cellular Biochemistry, effective 7/1/02.

Ryan, Stephen J., College of Medicine, Clinical Assistant Professor, Neurology, to Clinical Associate Professor, Neurology, 7/1/02 through 6/30/03.

Schmitt, Frederick A., College of Medicine, Associate Professor (with tenure), Neurology, joint appointments as Associate Professor (without tenure), Behavioral Science, Psychiatry and College of Arts and Sciences, Department of Psychology, to Professor (with tenure), Neurology, joint appointments as Professor (without tenure), Behavioral Science, Psychiatry and College of Arts and Sciences, Department of Psychology, effective 7/1/02.

Sebastian, Juliann G., College of Nursing, Associate Professor* (with tenure), joint appointment as Associate Professor* (without tenure), College of Medicine, Kentucky School of Public Health, to Professor* (with tenure), joint appointment as Associate Professor* (without tenure), College of Medicine, Kentucky School of Public Health, effective 6/1/02.

Seroogy, Kim B., College of Medicine, Associate Professor (with tenure), Anatomy and Neurobiology, to Professor (with tenure), Anatomy and Neurobiology, effective 7/1/02.

Spear, Brett T., College of Medicine, Associate Professor (with tenure), Microbiology, Immunology and Molecular Genetics, joint appointments as Associate Professor (without tenure), Pathology and Laboratory Medicine and Graduate School, Graduate Center for Toxicology, to Professor (with tenure), Microbiology, Immunology and Molecular Genetics, joint appointments as Professor (without tenure), Pathology and Laboratory Medicine and Associate Professor (without tenure), Graduate School, Graduate Center for Toxicology, effective 7/1/02.

Spielmann, H. P., College of Medicine, Associate Professor (with tenure), Molecular and Cellular Biochemistry, joint appointments as Assistant Professor, Graduate School, Graduate Center for Toxicology and Associate Professor (without tenure), College of Arts and Sciences, Department of Chemistry, to Associate Professor (with tenure), College of Medicine, Molecular and Cellular Biochemistry, joint appointments as Associate Professor (without tenure), Graduate School, Graduate Center for Toxicology and College of Arts and Sciences, Department of Chemistry, effective 7/1/02.

Swanson, Hollie I., College of Medicine, Associate Professor (with tenure), Molecular and Biomedical Pharmacology, joint appointment as Assistant Professor, Graduate School, Graduate Center for Toxicology, to Associate

*Special Title series

Professor (with tenure), College of Medicine, Molecular and Biomedical Pharmacology, joint appointment as Associate Professor (without tenure), Graduate School, Graduate Center for Toxicology, effective 7/1/02.

Szilvassy, Stephen J., College of Medicine, Assistant Professor, Internal Medicine, joint appointment as Assistant Professor, Physiology, to Associate Professor (with tenure), Internal Medicine, joint appointment as Associate Professor (without tenure), Physiology, effective 7/1/02.

Vascello, Luis A., College of Medicine, Clinical Assistant Professor, Anesthesiology, to Clinical Associate Professor, Anesthesiology, 7/1/02 through 6/30/03.

G. CHANGES

Allen, William C., College of Medicine, from Associate Professor (part-time), Anesthesiology, 4/3/02 through 6/30/02, to Associate Professor (part-time), Anesthesiology, 5/2/02 through 6/30/02.

Blackburn, Julia P., University Hospital, from Clinical Nurse III, Emergency Department, to Hospital Operations Administrator, Hospital Administration, effective 6/30/02.

Thompson, James R., College of Dentistry, from Clinical Associate Professor, Oral Health Science, to Associate Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.

H. TERMINAL REAPPOINTMENTS

Gairola, Chandra G., College of Pharmacy, Research Professor, joint appointment as Research Professor, Graduate School, Graduate Center for Toxicology, 7/1/02 through 6/30/03.

Kepferle, Loyd T., College of Medicine, Associate Research Professor, Preventive Medicine and Environmental Health, 7/1/02 through 6/30/03.

Main, Karen A., College of Health Sciences, Associate Research Professor, Health Services, 7/1/02 through 6/30/03.

Zaglul, Horacio F., College of Medicine, Associate Professor*, Pediatrics, 7/1/02 through 6/30/03.

I. RETIREMENTS

Isham, Clarence H., Chancellor's Office, Maintenance Technician II, Physical Plant Division, after 15 years, 1 month of consecutive service, under AR II-1.6-1, Section III.B, effective 7/15/02.

Rogers, Gerald, University Hospital, Custodial Worker, Environmental Services, after 40 years, 2 months of consecutive service, under AR II-1.6-1, Section III.B, effective 4/30/02.

Traurig, Harold H., College of Medicine, Professor (with tenure), Anatomy and Neurobiology, joint appointment as Professor (without tenure),

*Special Title series

Neurology, after 39 years, 1 month of consecutive service, under AR II-1.6-1, Section III.B, effective 8/30/02.

J. EARLY RETIREMENTS

Hall, Bryan D., College of Medicine, Professor (with tenure), Pediatrics, after 21 years of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/30/02.

Jasper, Loretta, College of Medicine, Staff Support Associate II, Psychiatry, after 15 years, 2 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/1/02.

Jones, Janet H., Chancellor's Office, Physician, University Health Service, after 11 years of consecutive service, and a total of 20 years, under AR II-1.6-1, Section III.A.2, effective 7/5/02.

McDuffee, Vicki C., College of Medicine, Administrative Staff Officer I, Area Health Education Center, after 16 years, 7 months of consecutive service, and a total of 27 years, 2 months, under AR II-1.6-1, Section III.A.2, effective 6/30/02.

Shepherd, Alverda, College of Medicine, Department Administrator II, Pharmacology, after 25 years, 6 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/30/02.

Smith, Lillie E., University Hospital, Medical Transcriptionist Sr., Medical Records, after 15 years, 1 month of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/5/02.

K. POST RETIREMENTS

Arcury, Colleen, University Hospital, Registered Nurse (part-time), Children's Hospital, 7/1/02 through 6/30/03.

Aug, Robert G., College of Medicine, Professor (part time), Psychiatry, 7/1/02 through 6/30/03.

Becknell, Wanda, University Hospital, Registered Nurse (part-time), Psychiatry, 7/1/02 through 6/30/03.

Calmes, Robert B., College of Dentistry, Associate Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.

Cooper, Thomas M., College of Dentistry, Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.

Fischer, Doane, College of Medicine, Professor (part time), Pediatrics, 7/1/02 through 6/30/03.

Fosson, Abe R., College of Medicine, Professor (part time), Pediatrics, 7/1/02 through 6/30/03.

Fosson, Sue, College of Medicine, Senior Consultant (part-time), Office of Academic Affairs, 7/1/02 through 6/30/03.

Frazier, Donald T., College of Medicine, Professor (part time), Physiology, 7/1/02 through 6/30/03.

*Special Title series

Greene, John W., College of Medicine, Professor (part time), Obstetrics and Gynecology, 7/1/02 through 6/30/03.
Hall, Bryan D., College of Medicine, Professor (part time), Pediatrics, 7/1/02 through 6/30/03.
Ireson, Carol L., College of Medicine, Associate Professor (part time), Kentucky School of Public Health, 7/1/02 through 6/30/03.
Johnson, Gregory L., College of Medicine, Professor (part time), Pediatrics, 7/1/02 through 6/30/03.
Jones, Geneva, University Hospital, Nursing Care Technician (part-time), Children's Hospital, 7/1/02 through 6/30/03.
Kazee, Melody, University Hospital, Registered Nurse (part-time), Children's Hospital, 7/1/02 through 6/30/03.
Kraus, Robert F., College of Medicine, Professor (part time), Psychiatry, 7/1/02 through 6/30/03.
Lester, Robert L., College of Medicine, Professor (part time), Molecular and Cellular Biochemistry, 7/1/02 through 6/30/03.
Ludwig, Arnold M., College of Medicine, Professor (part time), Psychiatry, 7/1/02 through 6/30/03.
Mabry, C. C., College of Medicine, Professor (part time), Pediatrics, 7/1/02 through 6/30/03.
Neill, John R., College of Medicine, Associate Professor (part time), Psychiatry, 7/1/02 through 6/30/03.
Noonan, Jacqueline A., College of Medicine, Professor (part time), Pediatrics, 7/1/02 through 6/30/03.
Sammon, Patrick J., College of Dentistry, Associate Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.
Saxe, Stanley R., College of Dentistry, Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.
Shepherd, Alverda, College of Medicine, Grant Administrator (part-time), Molecular and Biomedical Pharmacology, 7/1/02 through 6/30/03.
Sloan, Jewell W., College of Medicine, Assistant Professor (part time), Anesthesiology, 7/1/02 through 6/30/03.
Stiene-Martin, Elizabeth A., College of Health Sciences, Professor (part time), Clinical Sciences, 7/1/02 through 6/30/03.
Thompson, John S., College of Medicine, Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.
Webster, David B., College of Dentistry, Associate Professor (part time), Oral Health Science, 7/1/02 through 6/30/03.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Akers, Paige S., College of Pharmacy, Assistant Adjunct Professor, 7/1/02 through 6/30/03.

*Special Title series

Auer Flomenhoft, Deborah R., College of Medicine, Clinical Instructor, Pediatrics, 7/1/02 through 6/30/03.
Baesler, Mary H., College of Medicine, Assistant Professor (voluntary), Family Practice, 6/19/02 through 6/30/03.
Barnes, Stephen L., College of Medicine, Clinical Instructor, Surgery, 7/1/02 through 6/30/03.
Bates, Paige L., College of Medicine, Instructor (part time), Internal Medicine, 7/1/02 through 6/30/03.
Blalock, Eric M., College of Medicine, Assistant Research Professor, Molecular and Biomedical Pharmacology, 7/1/02 through 6/30/03.
Caballero, Ramon L., College of Medicine, Assistant Professor (part time, temporary), Surgery, 6/12/02 through 6/30/02.
Carrasco, Luis M., College of Medicine, Assistant Professor (voluntary), Pediatrics, 6/19/02 through 6/30/03.
Colin, Wayne B., College of Medicine, Assistant Professor (voluntary), Surgery, 6/19/02 through 6/30/03.
Davies, Claire, College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 7/1/02 through 6/30/03.
Dubocq, David P., College of Medicine, Assistant Professor (voluntary), Family Practice, 6/19/02 through 6/30/03.
Ermitano, Maria Luisa P., College of Medicine, Assistant Professor (voluntary), Pediatrics, 6/19/02 through 6/30/03.
Escobar, David, College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 7/1/02 through 6/30/03.
Eustis, T. C., College of Medicine, Assistant Professor (voluntary), Surgery, joint appointment as Assistant Professor (voluntary), Family Practice, 6/19/02 through 6/30/03.
Feddock, Christopher A., College of Medicine, Instructor (part time), Internal Medicine, 7/1/02 through 6/30/03.
Grise, Bill, College of Pharmacy, Assistant Professor (voluntary), 6/19/02 through 6/30/03.
Haney, Mary D., College of Pharmacy, Instructor (voluntary), 6/19/02 through 6/30/03.
Harris, Joseph M., College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 7/1/02 through 6/30/03.
Hawkins, Dianne, College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 7/1/02 through 6/30/03.
Heeg, Michael C., College of Medicine, Instructor (part time), Ophthalmology, 7/7/02 through 6/30/03.
Hertz, Jeffrey A., College of Medicine, Clinical Instructor, Surgery, 7/1/02 through 6/30/03.
Hollins, Bettye M., College of Medicine, Assistant Research Professor, Physiology, 7/1/02 through 6/30/03.
Horgesheimer, Jason, College of Dentistry, Instructor (voluntary), Oral Health Science, 7/1/02 through 6/30/03.

*Special Title series

Howze, Bryan C., College of Medicine, Assistant Professor (part time), Diagnostic Radiology, 7/1/02 through 6/30/03.
Iser, Alison, College of Medicine, Clinical Instructor, Family Practice, 8/1/02 through 6/30/03.
Jadoon, Asad K., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 6/19/02 through 6/30/03.
Jervis, Larry M., College of Pharmacy, Assistant Professor (voluntary), 6/19/02 through 6/30/03.
Joshi, Biren S., College of Medicine, Assistant Professor (voluntary), Family Practice, 6/19/02 through 6/30/03.
Lee, Yong W., College of Medicine, Assistant Research Professor, Surgery, 7/1/02 through 6/30/03.
Lodwick, Jeffrey C., College of Medicine, Assistant Professor (part time), Kentucky School of Public Health, 6/19/02 through 6/30/02.
McKinlay, Rodrick D., College of Medicine, Clinical Instructor, Surgery, 7/1/02 through 6/30/03.
Nagaraj, Hari, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 6/19/02 through 6/30/03.
Oliver, Robert, College of Pharmacy, Assistant Professor (voluntary), 6/19/02 through 6/30/03.
Patel, Ashit, College of Medicine, Instructor (temporary), Surgery, 7/1/02 through 6/30/03.
Rankin, Michael, College of Medicine, Assistant Professor (voluntary), Family Practice, 6/19/02 through 6/30/03.
Reesor, John E., College of Medicine, Assistant Professor (voluntary), Family Practice, 6/19/02 through 6/30/03.
Rivard, Arthur K., College of Medicine, Assistant Professor (part time), Ophthalmology, 6/19/02 through 6/30/02.
Rogers, Thea Y., College of Pharmacy, Assistant Professor (voluntary), 5/1/02 through 6/30/03.
Saylor, Karen B., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 6/18/02 through 6/30/03.
Smith, Michael D., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/02 through 6/30/03.
Strawser, Jamie R., College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 7/1/02 through 6/30/03.
Temprano, Katherine K., College of Medicine, Instructor (part time), Internal Medicine, 7/1/02 through 6/30/03.
Webster, John M., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/02 through 6/30/03.
Wheatley, Kim, College of Pharmacy, Assistant Professor (voluntary), 6/19/02 through 6/30/03.
Whitt, Kelli G., College of Medicine, Assistant Professor (voluntary), Pediatrics, 6/19/02 through 6/30/03.
Wilson, Timothy C., College of Medicine, Instructor (temporary), Surgery, 8/1/02 through 6/30/03.

*Special Title series

Yalkut, Denis A., College of Medicine, Assistant Professor (part time, temporary), Surgery, 7/1/02 through 6/30/03.

B. ADMINISTRATIVE APPOINTMENTS

Clayton, Richard R., College of Medicine, Professor (with tenure), Kentucky School of Public Health, named Acting Chair, Department of Preventive Medicine and Environmental Health, 7/1/02 through 6/30/03.

DePriest, Paul D., College of Medicine, Associate Professor (with tenure), Obstetrics and Gynecology, named Acting Chair, Department of Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Jones, Judy, College of Medicine, Director of State Rural Health Association, named Acting Director, University of Kentucky Center for Rural Health, 4/11/02 through 4/10/03.

Lawrence, Kristi, University Hospital, Clinical Staff Pharmacist, Hospital Pharmacy Services, effective 5/6/02.

Lillich, Thomas T., College of Dentistry, Professor (with tenure), Oral Health Science, named Acting Chair, Department of Oral Health Science, 7/1/02 through 6/30/03.

Pearson, P. A., College of Medicine, Associate Professor (with tenure), Ophthalmology, named Acting Chair, Department of Ophthalmology, 7/1/02 through 6/30/03.

C. JOINT APPOINTMENTS

Hardy, Peter A., Graduate School, Assistant Research Professor, Biomedical Engineering, joint appointment as Assistant Research Professor, College of Medicine, Department of Anatomy and Neurobiology, 7/1/02 through 6/30/03.

D. REAPPOINTMENTS

Abascal, Vivian M., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.

Adrales, Gina L., College of Medicine, Clinical Instructor, Surgery, 7/1/02 through 6/30/03.

Akin, James W., College of Medicine, Assistant Professor (part time), Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Aksenov, Michael Y., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 7/1/02 through 6/30/03.

Allweiss, Pamela H., College of Medicine, Assistant Professor*, Preventive Medicine and Environmental Health, joint appointment as Assistant Professor*, Family Practice, 7/1/02 through 6/30/03.

Apparsundaram, Subramaniam, College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/02 through 6/30/03.

*Special Title series

Armsey, Thomas D., College of Medicine, Assistant Professor*, Family Practice, 7/1/02 through 6/30/03.
Arnold, Susanne M., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/02 through 6/30/03.
Asmis, Reto H., College of Medicine, Assistant Professor, Internal Medicine, joint appointment as Assistant Professor, Physiology, 7/1/02 through 6/30/03.
Atwood, Katharine A., College of Medicine, Assistant Professor (part time), Kentucky School of Public Health, 7/1/02 through 6/30/03.
Barton, John R., College of Medicine, Assistant Professor (part time), Obstetrics and Gynecology, 7/1/02 through 6/30/03.
Barve, Ashutosh, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
Batra, Chandramohan P., College of Medicine, Clinical Assistant Professor, Family Practice, 7/1/02 through 6/30/03.
Bensadoun, Eric S., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/02 through 6/30/03.
Bigham, Alacia L., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
Bognar, Benedek, College of Medicine, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/02 through 6/30/03.
Bosch, Antonio, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
Bouvier, Jeanne R., College of Nursing, Adjunct Instructor, 7/1/02 through 6/30/03.
Brandewie, Deborah J., College of Medicine, Assistant Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.
Brantley-Hite, Kimberley L., College of Pharmacy, Assistant Adjunct Professor, 7/1/02 through 6/30/03.
Braun, Janet L., College of Nursing, Assistant Adjunct Professor, 7/1/02 through 6/30/03.
Brook, Steve, College of Medicine, Assistant Professor (part time), Family Practice, 7/1/02 through 6/30/03.
Brown, Stephen A., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/02 through 6/30/03.
Browning, Steven R., College of Nursing, Assistant Professor, joint appointment as Assistant Professor, College of Medicine, Department of Preventive Medicine and Environmental Health, 7/1/02 through 6/30/03.
Bruce-Keller, Annadora J., College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/02 through 6/30/03.
Brueckner, Jennifer K., College of Medicine, Assistant Professor*, Anatomy and Neurobiology, 7/1/02 through 6/30/03.
Buch, Ketan P., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
Burkhart, Patricia V., College of Nursing, Assistant Professor, 7/1/02 through 6/30/03.

*Special Title series

Butler, Larry S., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Byron, Raymond J., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.

Caballero, Ramon L., College of Medicine, Assistant Professor (part time, temporary), Surgery, 7/1/02 through 6/30/03.

Campbell, E. S., College of Medicine, Assistant Professor (part time), Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Campbell, Kim K., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/02 through 6/30/03.

Capoor, Seema, College of Medicine, Clinical Assistant Professor, Ophthalmology, 7/1/02 through 6/30/03.

Carrithers, Stephen L., College of Medicine, Assistant Research Professor, Internal Medicine, joint appointment as Assistant Research Professor, Physiology, 7/1/02 through 6/30/03.

Carroll, Timothy W., College of Medicine, Assistant Professor (part time), Emergency Medicine, 7/1/02 through 6/30/03.

Cheeseman, Melissa M., College of Medicine, Assistant Professor*, Emergency Medicine, 7/1/02 through 6/30/03.

Cheves, Elizabeth P., College of Nursing, Clinical Instructor, 7/1/02 through 6/30/03.

Chobanian, Nishan, College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Cohron, Peter P., College of Pharmacy, Assistant Professor (part time), 7/1/02 through 6/30/03.

Combs, Jessica J., College of Medicine, Clinical Assistant Professor, Internal Medicine, joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.

Congleton, Mary, Medical Center Library, Librarian IV, 7/1/02 through 6/30/03.

Cooper, Gregory E., College of Medicine, Assistant Professor (part time), Neurology, 7/1/02 through 6/30/03.

Cowley, Jennifer B., College of Nursing, Instructor (part time), 7/1/02 through 6/30/03.

Creamer, Trevor P., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/02 through 1/31/03.

Cunningham, Jennifer L., College of Nursing, Clinical Instructor, 7/1/02 through 6/30/03.

Curd, Philip R., College of Medicine, Assistant Professor (part time), Preventive Medicine and Environmental Health, 7/1/02 through 6/30/03.

Dalton, Waller L., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Danner, Deborah D., College of Medicine, Assistant Research Professor, Preventive Medicine and Environmental Health, 7/1/02 through 6/30/03.

Dassow, Jeanie D., College of Medicine, Assistant Professor (part time), Obstetrics and Gynecology, 7/1/02 through 6/30/03.

*Special Title series

Davey, Patrick, College of Medicine, Assistant Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.

Davison, Laura, Medical Center Library, Librarian IV, Medical Center Library, 7/1/02 through 6/30/03.

deBeer, Maria C., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/02 through 6/30/03.

DeSimone, Christopher P., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Diamond, Scott E., College of Medicine, Assistant Professor, Physiology, 7/1/02 through 6/30/03.

Dotson, Tony W., College of Medicine, Assistant Professor (part time), Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Dutch, Rebecca L., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/02 through 6/30/03.

El-Ghannam, Ahmed R., Graduate School, Assistant Professor, Biomedical Engineering, 7/1/02 through 6/30/03.

Escalante, David A., College of Medicine, Assistant Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.

Fetherston, Jacqueline D., College of Medicine, Assistant Research Professor, Microbiology, Immunology and Molecular Genetics, 7/1/02 through 6/30/03.

Finnell, Deanna, College of Pharmacy, Assistant Professor (part time), 7/1/02 through 6/30/03.

Fisher, Steve B., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/02 through 6/30/03.

Fleming-Phillips, Barbara, College of Medicine, Assistant Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.

Fontenot, Michael T., College of Medicine, Assistant Professor*, Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Gandsas, Alejandro, College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Gardner, Toni G., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/02 through 6/30/03.

Garrison, Janet B., College of Nursing, Instructor (part time), 7/1/02 through 6/30/03.

Garvy, Beth, College of Medicine, Assistant Professor, Internal Medicine, joint appointment as Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/02 through 6/30/03.

Gaugler, Joseph E., College of Medicine, Assistant Professor, Behavioral Science, joint appointment as Assistant Professor, College of Human Environmental Sciences, Department of Family Studies, 7/1/02 through 6/30/03.

Geraghty, Robert J., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/02 through 6/30/03.

Gerayli, Fereshteh, College of Medicine, Clinical Assistant Professor, Family Practice, 7/1/02 through 6/30/03.

*Special Title series

Giese, Debra, College of Nursing, Lecturer, 7/1/02 through 6/30/03.
Gordy, Carrie M., College of Nursing, Clinical Assistant Professor, 7/1/02 through 6/30/03.
Gould, Douglas J., College of Medicine, Assistant Professor*, Anatomy and Neurobiology, 7/1/02 through 6/30/03.
Greene, John F., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/02 through 6/30/03.
Gross, Janet C., College of Nursing, Assistant Professor (part time), 7/1/02 through 6/30/03.
Hamann, Scott R., College of Medicine, Assistant Professor, Anesthesiology, 7/1/02 through 6/30/03.
Hanna, Marie N., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/02 through 6/30/03.
Hanna, Nader N., College of Medicine, Assistant Professor, Surgery, 7/1/02 through 6/30/03.
Hardin-Pierce, Melanie G., College of Nursing, Clinical Assistant Professor, 7/1/02 through 6/30/03.
Harley, John P., College of Nursing, Assistant Professor (part time), 7/1/02 through 6/30/03.
Hartford, James M., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/02 through 8/31/02.
Hashem, Abul, College of Medicine, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/02 through 6/30/03.
Hassan, Humaira, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
Hassan, Zaki U., College of Medicine, Clinical Instructor, Anesthesiology, 7/1/02 through 6/30/03.
Hawthorne, Colette W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
Heiser, Michael J., College of Medicine, Assistant Professor (part time), Diagnostic Radiology, 7/1/02 through 6/30/03.
Heiser, Regina M., College of Nursing, Adjunct Instructor, 7/1/02 through 6/30/03.
Hirschowitz, Edward A., College of Medicine, Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
Holzhauer, Markus K., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/02 through 6/30/03.
Howard, Dianna S., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/02 through 6/30/03.
Humphries, Roger L., College of Medicine, Assistant Professor*, Emergency Medicine, 7/1/02 through 6/30/03.
Hursh, Diana M., College of Medicine, Assistant Professor (part time), Anesthesiology, 7/1/02 through 6/30/03.
Irwin, Leland J., College of Medicine, Assistant Professor (part time), Emergency Medicine, 7/1/02 through 6/30/03.

*Special Title series

Jensen, Lynne A., College of Nursing, Clinical Assistant Professor, 7/1/02 through 6/30/03.

Jordan, Craig T., College of Medicine, Assistant Professor, Internal Medicine, joint appointment as Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/02 through 6/30/03.

Joseph, Brian P., College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Joseph, Jane E., College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/02 through 6/30/03.

Joyce, Jennifer M., College of Medicine, Assistant Professor*, Family Practice, 7/1/02 through 6/30/03.

Kaufmann, Cheryl B., College of Nursing, Clinical Instructor, 7/1/02 through 6/30/03.

Keller, Jeffrey N., College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/02 through 6/30/03.

Kelso, Lynn A., College of Nursing, Clinical Assistant Professor, 7/1/02 through 6/30/03.

Kenney, Marta H., College of Medicine, Assistant Professor (part time), Diagnostic Radiology, 7/1/02 through 6/30/03.

Kloha, Nancy R., College of Nursing, Clinical Instructor, 7/1/02 through 6/30/03.

Kopitzke, Elizabeth J., College of Medicine, Assistant Professor (part time), Family Practice, 7/1/02 through 6/30/03.

Kremer, Theresa, College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/02 through 6/30/03.

Kusmirek, Slawomir L., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.

Lamba, Sumant, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.

Landers, Cheri D., College of Medicine, Assistant Professor*, Pediatrics, 7/1/02 through 6/30/03.

Langub, Moises C., College of Medicine, Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.

Lasher, Steven C., College of Medicine, Instructor (part time), Anesthesiology, 7/1/02 through 6/30/03.

Latham, Philip B., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.

Lehmler, Hans-Joachim, Graduate School, Assistant Research Professor, Graduate Center for Toxicology, 7/1/02 through 6/30/03.

Levine, Vladimir S., College of Medicine, Assistant Professor*, Pediatrics, 7/1/02 through 6/30/03.

Lewis, Duane E., College of Dentistry, Assistant Adjunct Professor, Oral Health Practice, 7/1/02 through 6/30/03.

Li, Lei, College of Medicine, Assistant Professor, Physiology, joint appointment as Assistant Professor, Ophthalmology, 7/1/02 through 6/30/03.

*Special Title series

Littrell, Margaret, College of Nursing, Instructor (part time), 7/1/02 through 6/30/03.

Lloyd, Brenda M., College of Health Sciences, Adjunct Instructor, Clinical Sciences, 7/1/02 through 6/30/03.

Lodwick, Jeffrey C., College of Medicine, Assistant Professor (part time), Kentucky School of Public Health, 7/1/02 through 6/30/03.

Logan, T. K., College of Medicine, Assistant Professor, Behavioral Science, joint appointments as Assistant Professor, Psychiatry and Associate Professor (without tenure), College of Social Work, 7/1/02 through 6/30/03.

Luchok, Kathryn J., College of Medicine, Assistant Professor (part time), Preventive Medicine and Environmental Health, 7/1/02 through 6/30/03.

Mastrangelo, Michael J., College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Mawad, Hanna W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.

McCann, Richard O., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/02 through 6/30/03.

McElderry, Darby H., College of Medicine, Assistant Professor (part time), Pediatrics, 7/1/02 through 6/30/03.

McIntosh, Bruce A., College of Pharmacy, Clinical Assistant Professor, 7/1/02 through 6/30/03.

McLarney, John T., College of Medicine, Clinical Instructor, Anesthesiology, 7/1/02 through 6/30/03.

Melahn, William L., College of Medicine, Assistant Professor (part time), Family Practice, 7/1/02 through 6/30/03.

Midboe Clancy, Pamela R., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Milan, Primo, College of Medicine, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/02 through 6/30/03.

Miller, Beth A., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.

Miller, Richard T., Graduate School, Assistant Professor, Graduate Center for Toxicology, 7/1/02 through 6/30/03.

Miller, S. J., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/02 through 6/30/03.

Minion, David J., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/02 through 6/30/03.

Moncman, Carole L., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/02 through 6/30/03.

Monge, Roberto, College of Medicine, Assistant Professor (part time), Pediatrics, 7/1/02 through 6/30/03.

Moreno, Pedro R., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/02 through 6/30/03.

Murray, Shona C., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/02 through 6/30/03.

*Special Title series

Newman, Ronald L., College of Medicine, Assistant Professor*, Anesthesiology, joint appointments as Assistant Professor*, Physical Medicine and Rehabilitation and Assistant Professor*, College of Health Sciences, Department of Clinical Sciences, 7/1/02 through 6/30/03.

O'Brien, John M., College of Medicine, Assistant Professor (part time), Obstetrics and Gynecology, 7/1/02 through 6/30/03.

O'Nan, Jeanne M., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Ofotokun, Ighovwerha, College of Medicine, Assistant Professor*, Internal Medicine, 7/1/02 through 6/30/03.

Orren, David K., Graduate School, Assistant Professor, Graduate Center for Toxicology, 7/1/02 through 6/30/03.

Ossege, Julianne, College of Nursing, Assistant Professor (part time), 7/1/02 through 6/30/03.

Overman, Sue B., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/02 through 6/30/03.

Ozcan, Sabire, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/02 through 6/30/03.

Parrott, Mark L., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.

Pena, Luis R., College of Medicine, Assistant Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.

Pennington, Michael A., College of Medicine, Assistant Professor (part time), Diagnostic Radiology, 7/1/02 through 6/30/03.

Polisetty, Rayudu B., College of Medicine, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/02 through 6/30/03.

Popham, Julia A., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.

Quick, Rhonda C., College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Raber, Scott A., College of Medicine, Assistant Professor (part time), Diagnostic Radiology, 7/1/02 through 6/30/03.

Reed, Deborah B., College of Nursing, Assistant Professor, joint appointment as Assistant Professor, College of Medicine, Department of Preventive Medicine and Environmental Health, 7/1/02 through 6/30/03.

Reese, Daniel P., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/02 through 6/30/03.

Ringley, Kimberly R., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.

Rippetoe Freeman, Patricia E., College of Nursing, Assistant Professor (part time), joint appointment as Assistant Professor (part time), College of Pharmacy, 7/1/02 through 6/30/03.

Rivard, Arthur K., College of Medicine, Assistant Professor (part time), Ophthalmology, 7/1/02 through 6/30/03.

Roberts, Kittye R., College of Nursing, Instructor (part time), 7/1/02 through 6/30/03.

*Special Title series

Roberts, Ronald E., College of Medicine, Clinical Assistant Professor, Internal Medicine, joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.

Rockich, Anna K., College of Medicine, Assistant Research Professor, Surgery, joint appointment as Assistant Research Professor, College of Pharmacy, 7/1/02 through 6/30/03.

Rogozinski, Zbigniew S., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/02 through 6/30/03.

Rose, Gregory L., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/02 through 6/30/03.

Rosenblum, William, College of Medicine, Assistant Professor*, Surgery, 7/1/02 through 6/30/03.

Rushing, Rodney S., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Saraff, Suma K., College of Medicine, Assistant Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.

Schureman, Gloria J., College of Nursing, Instructor (part time), 7/1/02 through 6/30/03.

Scott, Leslie K., College of Nursing, Clinical Instructor, 7/1/02 through 6/30/03.

Shearer, Mary E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.

Shirley, Pamela B., College of Medicine, Assistant Professor (part time), Psychiatry, 7/1/02 through 6/30/03.

Short, Gwendolyn S., College of Nursing, Clinical Instructor, 7/1/02 through 6/30/03.

Simms, Lynne D., College of Medicine, Assistant Professor (part time), Obstetrics and Gynecology, 7/1/02 through 6/30/03.

Sims, Priscilla, College of Health Sciences, Adjunct Instructor, Clinical Sciences, 7/1/02 through 6/30/03.

Sinai, Anthony P., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/02 through 6/30/03.

Smoot, John R., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.

Snow, Diane M., College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/02 through 12/14/02.

Spengler, Susan E., College of Medicine, Assistant Professor (part time), Preventive Medicine and Environmental Health, 7/1/02 through 6/30/03.

Srinivasan, Vijaya L., College of Medicine, Clinical Assistant Professor, Family Practice, joint appointment as Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.

Staben, Mary E., College of Medicine, Assistant Professor (part time), Internal Medicine, 7/1/02 through 6/30/03.

Stefaniak, Karen A., College of Nursing, Adjunct Instructor, 7/1/02 through 6/30/03.

*Special Title series

Steinman, Margaret N., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/02 through 6/30/03.
Steltenkamp, Carol L., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/02 through 6/30/03.
Stepniak-Biniakiewicz, Danuta, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/02 through 6/30/03.
Stevenson, Brian, College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/02 through 6/30/03.
Steyn, Pieter G., College of Medicine, Clinical Instructor, Anesthesiology, 7/1/02 through 6/30/03.
Stratton, Terry D., College of Medicine, Assistant Professor*, Behavioral Science, 7/1/02 through 6/30/03.
Strobel, Gunnar G., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
Strunk, Danny J., College of Medicine, Assistant Adjunct Professor, Pediatrics, 7/1/02 through 6/30/03.
Thomas, Janice M., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/02 through 6/30/03.
Thornton, Alice C., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/02 through 6/30/03.
Tolbert, Thomas R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
Truitt, Robert, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
Ueland, Frederick R., College of Medicine, Assistant Professor*, Obstetrics and Gynecology, 7/1/02 through 6/30/03.
Van Dyke, Eileen, College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/02 through 6/30/03.
van Wyk, Charl S., College of Medicine, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/02 through 6/30/03.
Veltkamp, Tammy N., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
Wagner, Kathleen D., College of Nursing, Lecturer, 7/1/02 through 6/30/03.
Wainwright, Mark A., College of Medicine, Assistant Professor (part time), Obstetrics and Gynecology, 7/1/02 through 6/30/03.
Waters, Dorothy S., College of Medicine, Assistant Professor*, Emergency Medicine, 7/1/02 through 6/30/03.
Webb, Nancy R., College of Medicine, Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
Wehrman, Paul T., College of Dentistry, Assistant Professor (part time), Oral Health Practice, 7/1/02 through 6/30/03.
Xun, Chang-Qing, College of Medicine, Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.
Yoder, Amy L., College of Nursing, Instructor (part time), 7/1/02 through 6/30/03.

*Special Title series

Zachman, Frederick M., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/02 through 6/30/03.
Zielke, Judy, College of Nursing, Clinical Instructor, 7/1/02 through 6/30/03.

E. PROMOTIONS WITHOUT TENURE

Bradley, Ann L., College of Medicine, Instructor (part time), Anesthesiology, to Assistant Professor (part time), Anesthesiology, 7/1/02 through 6/30/03.
Pursley, Holly Gluth, College of Medicine, Clinical Instructor, Internal Medicine, to Clinical Assistant Professor, Internal Medicine, 7/1/02 through 6/30/03.

F. CHANGES

Belendez, Zaida R., College of Medicine, from Assistant Research Professor, Preventive Medicine and Environmental Health, to Assistant Professor*, Kentucky School of Public Health, 7/1/02 through 6/30/03.
Campbell, E. S., College of Medicine, from Clinical Assistant Professor, Obstetrics and Gynecology, to Assistant Professor (part time), Obstetrics and Gynecology, 4/1/02 through 6/30/02.
Centers, Teresa, from University Hospital, Principal Accountant, Fiscal Planning/Financial Analysis, to University Hospital, Fiscal Planning/Financial Analysis Manager, Fiscal Planning/Financial Analysis, effective 5/5/02.
Daniels, Judith A., College of Nursing, from Instructor (part time), to Clinical Instructor, 7/1/02 through 6/30/03.
Gabbard, Sarah E., from Pharmacy, Drug Production Evaluation Unit Manager, Pharmacy Practice and Science, to College of Medicine, Research Protocol Manager/Clinical, Surgery, effective 5/1/02.
Given, Curtis A., College of Medicine, from Assistant Professor (part time), Diagnostic Radiology, to Assistant Professor*, Diagnostic Radiology, joint appointment as Assistant Professor*, Surgery, 7/1/02 through 6/30/03.
Herren, Michael C., College of Dentistry, from Assistant Professor (part time), Oral Health Practice, to Assistant Professor*, Oral Health Practice, 7/1/02 through 6/30/03.
Rice, Linda S., from Pharmacy, Nurse Clinician SR, Pharmacy Practice and Science, to College of Medicine, Research Protocol Manager/Clinical, Surgery, effective 5/1/02.
Strobel, Gunnar G., College of Medicine, from Assistant Clinical Professor, Internal Medicine, 1/23/02 through 6/30/02, to Assistant Clinical Professor, Internal Medicine, 4/1/02 through 6/30/02.
Young, William F., College of Medicine, from Assistant Professor*, Emergency Medicine, to Clinical Assistant Professor, Emergency Medicine, 7/1/02 through 6/30/03.

*Special Title series

G. TERMINAL REAPPOINTMENTS

Wala, Elzbieta, College of Medicine, Assistant Research Professor, Anesthesiology, 7/1/02 through 6/30/03.

H. LEAVES OF ABSENCE

Skaff, Karen O., College of Health Sciences, Associate Professor* (with tenure), Clinical Sciences, sabbatical leave at full salary, 9/1/02 through 2/28/03.

Smith, Charles D., College of Medicine, Professor (with tenure), Neurology, joint appointment as Professor (without tenure), Anatomy and Neurobiology, sabbatical leave at full salary, 9/1/02 through 11/30/02.

*Special Title series

VICE PRESIDENT FOR RESEARCH

I. BOARD ACTION

A. POST-RETIREMENT APPOINTMENTS

Dever, Garland R. Jr., Kentucky Geological Survey, Geologist V (part time), 7/1/02 through 6/30/03.
Noger, Martin C., Kentucky Geological Survey, Geologist VII (part time), 7/1/02 through 6/30/03.

*Special Title series

PR 3

Office of the President
June 11, 2002

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendments to the Governing Regulations of the University of Kentucky, which were received by the Board of Trustees for preliminary consideration on April 30, 2002, be approved. The Ad Hoc Committee recommends further amendments at A.3., A.5.A., and A.5.H. to clarify the structure of the Board of Trustees.

Background: At the Board's retreat last July, President Todd suggested the Board review its committee structure and charges. Mr. Miles reestablished the Ad Hoc Committee on Board Structure in December with the purpose of reviewing the configuration, charges, and responsibilities of the Board's committees and recommending appropriate changes. The committee has had extensive discussion on the Board committee charges, has consulted with the President, and is recommending these changes.

Note: The locations of proposed changes are indicated by a vertical mark in the margin. Proposed additions are underlined; proposed deletions are lined through.

Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

PART II

GOVERNANCE OF THE UNIVERSITY OF KENTUCKY

The governance of the University of Kentucky is vested by law in the Board of Trustees. (KRS 164.131) Within the limits set by the State Constitution and the federal and state laws,* the Board of Trustees is the final authority in all matters affecting the institution, and it exercises jurisdiction over the institution's financial, educational, and other policies and its relation with the state and federal governments. The Board has the same powers with respect to the community colleges that it has to the University of Kentucky in general. (KRS 164.595)

These Governing Regulations of the University of Kentucky describe the composition, powers, and duties of the Board of Trustees of the University of Kentucky, as defined by Kentucky Statutes, and establish policies and procedures for the performance of its functions. In the Governing Regulations, the Board of Trustees has delegated certain responsibilities to the President and the University Senate in order to provide for the responsible and efficient administration of the University System and the accomplishment of its goals. As the chief administrative officer of the University, the President is authorized by the Board to promulgate the Administrative Regulations and the Human Resources Policy and Procedure Manual which provide interpretation and implementation of these Governing Regulations and of the Minutes of the Board and which delineate policies within the sphere of delegated responsibility. The University Senate is authorized to issue rules implementing the responsibility delegated to it in these Governing Regulations. Likewise, the Senate of the Community College System is authorized to issue rules implementing the responsibility delegated to it in these Governing Regulations.

Where appropriate, the detailed rules and regulations appearing in the Administrative Regulations, the Human Resources Policy and Procedure Manual, the Rules of the University Senate, and the Rules of the Senate of the Community College System should refer to the source or sources in the Governing Regulations or Minutes of the Board, so that interested persons seeking guidance will find it necessary to consult only the Administrative Regulations, the Human Resources Policy and Procedure Manual, and the Rules of the University Senate or the Rules of the Senate of the Community College System.

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* The Council on Postsecondary Education in Kentucky (KRS 164.020) has powers and duties that relate to the governance of the University of Kentucky. These powers and duties are listed in the Appendix to these regulations.

A. Board of Trustees

1. Definition

The Board of Trustees is a body corporate, under the name of Board of Trustees of the University of Kentucky, with the usual corporate powers, and possesses all the immunities, rights, privileges, and franchises usually attaching to the governing bodies of educational institutions. It may receive, hold, and administer, on behalf of the University, subject to the conditions attached, all revenues accruing from endowments, appropriations, allotments, grants or bequests, and all types of property. (KRS 164.160)

No member of the administrative staff of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the two faculty members. (KRS 164.131)

No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (45A.340(7))

No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.340. (KRS 164.150)

All necessary expenses incurred by the Trustees in traveling to and from and while attending meetings of the Board of Trustees are designated to be paid out of the funds of the University. (KRS 164.170)

2. Membership

The Board of Trustees of the University of Kentucky consists of sixteen members appointed by the Governor, two members of the faculty of the University System who shall have the right to vote on all matters except that of faculty compensation, one member of the University System nonteaching personnel, and one member of the student body of the University of Kentucky. The terms of the appointed members shall be for six years and until their successors are appointed and qualified, except the initial appointments shall be as follows: two members shall serve one-year terms; two shall serve two-year terms, one of whom shall be a graduate of the University; three shall serve three-year terms; three shall serve four-year terms, one of whom shall be a graduate of the University; three shall serve five-year terms and three shall serve six-year terms, one of whom shall be a graduate of the University. Three of the appointments shall be graduates of the University and may include one graduate of the institution who resides outside the Commonwealth; three shall be representative of agricultural interests;

and ten shall be other distinguished citizens representative of the learned professions. The Governor shall make the appointments so as to reflect proportional representation on the Board of the two leading political parties of the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.131)

Each graduate member is appointed by the Governor from a list of three names submitted by the alumni of the University according to rules established by the Board of Trustees. (KRS 164.131; KRS 164.140)

The two faculty members representing the University System of the University of Kentucky shall be members of the faculty of the University System of the rank of assistant professor or above, elected by secret ballot by all faculty members of the rank of assistant professor or above in the University System. Faculty members shall serve for terms of three years and until their successors are elected and qualified. They are eligible for re-election but are ineligible to continue to serve as members of the Board if they cease to be members of the faculty of the University System. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections. The authority to develop procedures for the election of faculty members of the University System to serve as members of the Board in accordance with the provisions of KRS 164.131 is hereby delegated to the University Senate.

Staff members eligible to vote and eligible to serve as an elected member of the Board of Trustees shall be those regular, full-time staff of the University of Kentucky, as defined in Staff Personnel Policy and Procedure Administrative Regulations, Number 4.0: Employee Status, excluding the president, vice presidents, academic deans and academic department chairpersons. The staff member shall represent all nonteaching university employees. The staff member shall be elected by secret ballot by the nonteaching employees. The staff member shall serve a term of three (3) years and until a successor is elected and qualified. The staff member shall be eligible for reelection, but a staff member who ceases being an employee of the university shall not be eligible to continue to serve as a member of the board. Elections to fill vacancies shall be for the unexpired term and shall be held in the same manner as provided for the original election. The authority to develop procedures for the election of a staff member of the University System to serve as a member of the Board in accordance with the provisions of KRS 164.131 is hereby delegated to the Senior Vice President for Administration.

The student member shall be the president of the student body of the University during the appropriate academic year and may be an out-of-state resident if applicable. If the student member does not maintain the position as student body president or the status of a full-time student at any time during that academic year, a special election shall be held to select a full-time student. The student member shall serve for a term of one year beginning with the first meeting of the fiscal year which contains that academic year. (KRS 164.131)

The number of student and employee members elected to the University of Kentucky Board of Trustees shall not exceed four. (KRS 164.131)

3. Meetings

The Board of Trustees must hold at least four regular meetings per year. Dates designated by law are: the Tuesday preceding the regular annual Commencement of the University; the third Tuesday in September; the second Tuesday in December; and the first Tuesday in April. Special meetings may be called by the chairperson or by any three members upon giving ten days' written notice to each member of the Board. The business to be transacted at special meetings shall be specified in the notice of the meeting. All meetings are to be held on the campus of the University unless otherwise specified by a majority vote of the Board. A majority of the voting members of the Board constitutes a quorum. (KRS 164.170)

The Board of Trustees may adjourn any regular or called meeting to any date that it may set for such adjournment. Any meeting of the Board, if a quorum is not present, may be adjourned by the members attending to a time when a quorum shall be present.

The meetings of the Board of Trustees are required to be open to the public. The Board is required to arrange for a stenographic transcript of each of its meetings to be prepared and filed, to send an agenda for each of the meetings to each member of the Board, and to make a copy available to the press. (KRS 164.170)

The President of the University shall attend all meetings of the Board of Trustees and of its Executive Committee except when the Executive Committee is meeting as a hearing panel or as a performance review committee.

4. Officers

The chairperson, vice chairperson, secretary, and assistant secretary of the Board of Trustees shall be elected annually at the September meeting of the Board. The Chairperson of the Board of Trustees shall be limited to no more than four consecutive terms as Chair. In the absence of the chairperson, the vice chairperson presides. The secretary keeps the Minutes of all meetings, issues notices of meetings, and provides for the publication and distribution of the Minutes in accordance with instructions from the Board. The secretary is responsible for the maintenance of an official revised copy of these Governing Regulations and for the publication of revisions from time to time. The assistant secretary is empowered to perform the duties of the secretary when the secretary is not present on the Lexington campus of the University and at such other place or places as are required for the efficient performance of the secretary's duties. The assistant secretary need not be a member of the Board of Trustees.

5. Committees

STANDING COMMITTEES:

The purpose of standing and special committees is to assist the Board in its oversight role by gathering information, discussing, and providing advice on policy proposals prior to their coming before the entire Board. Membership of standing committees shall include at least five members of the Board including the committee chair and shall be appointed by the Chairperson of the Board soon after the election of officers in September. ~~The Academic Affairs, Student Affairs, and Human Resources committees shall not be chaired by faculty, staff, or student trustees~~The Academic Affairs Committee shall not be chaired by either faculty trustee, the Student Affairs Committee shall not be chaired by the student trustee nor shall the Human Resource Committee be chaired by the staff trustee.

A. EXECUTIVE COMMITTEE

The Board of Trustees annually elects an Executive Committee of five members, which has the powers that the Board delegates to it as spelled out in KRS 164.190. This election shall be held at the September meeting of the Board. Vacancies may be filled at any meeting of the Board. ~~The Board designates one member of the Committee to serve as its chairperson~~The Chair of the Board of Trustees shall also serve as Chair of the Executive Committee. In general, the Committee exercises oversight of the financial and business interests of the University and possesses the same powers as the Board during the periods between meetings of the full Board.

Meetings may be held as necessary at the call of the chairperson. The secretary of the Board of Trustees acts as secretary, *ex officio*, of the Executive Committee. The President of the University shall attend all meetings.

The Executive Committee is required to submit to the Board of Trustees at each meeting, for the latter's consideration and approval, a complete record of the proceedings of the Executive Committee. The authority of the Board to amend the action of the Executive Committee does not extend to the rejection of any valid or authenticated expenditure of money by the Executive Committee. (KRS 164.190) The Executive Committee shall serve as a hearing panel in the event of a faculty, student or employee appeal coming to the Board. The Executive Committee shall also serve as a hearing committee in the event of a community member or group desiring to address the Board. (AR II-1.0-4)

The Executive Committee shall serve as the performance review committee for the President, setting and reviewing goals each fiscal year. The Executive Committee shall involve the entire Board in this evaluation process and shall also solicit input from the Executive Committees of the University Senate, Staff Senate, and Student Government Association.

B. ACADEMIC AFFAIRS

Shall review recommendations to the Board ~~from the President~~ on policy matters pertaining to the academic mission of the University in teaching, research, and public service as

well as academic freedom and tenure and shared governance. Will review proposals from the President to ensure the academic programs are consistent with the University's mission, that resources are available to achieve academic priorities as set forth in the Strategic Plan, that academic programs are appropriate for its student needs and that the University has a system in place for assessing the effectiveness of its academic programs. Will review new program proposals and changes in the academic units to ensure the program proposals and changes will effectively carry out the academic mission and provide information to the Board if needed when new programs or changes in academic units are recommended to the Board for approval. Shall review the list of candidates for academic degrees and provide information to the Board if needed when degree candidates are recommended for approval.

C. STUDENT AFFAIRS

Shall accept and review recommendations from students, faculty and administrators ~~which the President submits~~ regarding revisions of the Code of Student Conduct. Shall review recommendations ~~from the President~~ concerning the general welfare of students and the adoption of rules, procedures, rights, and responsibilities governing non academic relationships between the University and its students.

D. HUMAN RESOURCES

Shall review recommendations ~~from the President~~ to the Board regarding policies pertaining to employee benefits, rights and privileges requiring Board approval. ~~Shall serve as a hearing panel in the event of a faculty, student or employee appeal coming to the Board.~~

E. UNIVERSITY RELATIONS

Shall review recommendations ~~from the President~~ relating to the economic, social, and public policy environments within which the University operates that directly affect the University. Shall review policies or policy proposals ~~from the President~~ regarding marketing and development as well as public, governmental, and alumni relations.

F. INVESTMENT

Under delegation from the Board and consistent with KRS 164A.550 through 164A.630, the Investment Committee shall be responsible for review and oversight of the endowment investment programs of the University and its affiliated corporations. This responsibility includes: formulating and reviewing investment policies; appointing, monitoring and evaluating investment managers and consultants; and reviewing and approving plans for the general management of the endowment funds of the University.

The Investment Committee Chairperson will report to the Board ~~at least annually~~ after each meeting of the Committee on the performance results of endowment investments. Policies of the Committee are implemented by the Office of Controller and Treasurer in carrying out the day-to-day operations of the University's endowment funds.

G. FINANCE

Shall ensure the financial stability and long-term economic health of the University by monitoring the financial operations of the University and its affiliated corporations and making appropriate recommendations to the Board to ensure achievement of the University's mission. Consistent with KRS 164A.550 through 164A.630, the Committee maintains oversight responsibility for the financial management of the University in the areas of accounting, auditing, payroll, purchasing, capital construction, real property and affiliated corporations. In particular, the Committee shall: oversee the budgets of the University, reviewing and recommending to the Board on the annual Operating and Capital Budgets; ensure that accurate and complete financial records are maintained by reviewing and recommending to the Board on annual financial reports and related recommendations from the Audit Subcommittee, interim financial reports, capital construction reports, long-term debt obligations, gifts to the University of $100,000 or more, leases over $30,000 per year, disposition of property, sale of assets, and financial transactions not provided for in the annual operating budget.

The Audit Subcommittee shall recommend to the Finance Committee on the appointment of the external auditors, receive and review the annual reports from the external auditors and make recommendations to the Finance Committee concerning these reports.

H. NOMINATING

Shall nominate officers of the Board and members of the Executive Committee. Shall review and recommend~~ations from the President concerning~~ the appointment of Trustees to the boards and committees of the University and its affiliated entities. ~~which require Board approval~~.

I. SPECIAL COMMITTEES

Special committees may be established and appointed at any time by the Chairperson of the Board and with such charge as the Board Chair may determine. They shall carry out their duties as specified and report to the Board. They will function until discharged. Membership will include Trustees and may also include persons who are not Board members.

6. Powers and Responsibilities

The powers and responsibilities of the Board of Trustees include the following:

It may establish proper regulations for the governance of the University and the physical training, military or otherwise, of the students. It may authorize the suspension and dismissal of students for neglect or violation of the regulations or for other conduct prejudicial to the character and welfare of the University. (KRS 164.200)

It may determine from time to time the colleges, schools, divisions, departments, bureaus, and offices which shall comprise the University within the scope of the Acts of

Congress approved July 2, 1862, and Acts supplementary thereto, and the relation which each division shall sustain to each other division and to the whole. It may devise, allot, and arrange the distribution of divisions with the designation appropriate for each, and devise the means required for their effective instruction, administration, and governance. (KRS 164.210)

It may appoint a president, professors, assistants, tutors and other personnel and determine the compensation, duties, and official relations of each. In appointments of presidents, professors and instructors no preference may be shown to any religious denomination.* It is required to provide compensation for all positions created and filled by the Board. It may provide for employee retirement benefits and such other employee benefits as are related to the respective employments and services furnished. Retirement plans may include, but without limitation, the retirement ages, the benefits of employees including group insurance, annuities, establishment of a trust fund or funds, and, the amounts to be paid or contributed by employees and the amounts to be paid or contributed by the University of Kentucky, and other appropriate terms and provisions with respect thereto. (KRS 164.220) It is further designated as an independent agency and instrumentality of the Commonwealth and given exclusive jurisdiction of the appointments, qualifications, salaries and compensation payable out of the State Treasury or otherwise, promotions, and retirement programs described. (KRS 164.225)

It has full power to suspend or remove any of the officers, teachers, professors, or agents that it is authorized to appoint, except that no president, professor, or teacher may be removed except for incompetence, neglect of or refusal to perform duties, or for immoral conduct, and then only after ten days' notice in writing stating the nature of the charges preferred, and after the individual has been given an opportunity to make defense before the Board by counsel or otherwise and to introduce testimony which shall be heard and determined by the Board. (KRS 164.230)

It may grant degrees to graduates of the University, prescribe conditions upon which postgraduate honors may be obtained, and confer such honorary degrees upon the recommendation of the faculty of the University as it deems proper. (KRS 164.240)

It is required to make a full report to the General Assembly, within the first month of each regular session, of the condition and operation of the University since the date of the previous report, with such recommendations concerning the University as are deemed necessary. (KRS 164.250)

It may acquire additional lands or other property or material for the purpose of expanding its plant and extending its usefulness. When unable to contract with the owner of land or other property or material necessary for the purposes of the University, it may acquire the same by condemnation proceedings in the manner provided in KRS 416.010 to 416.080. (KRS 164.260)

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* See Parts X.A and XII.A

It may dispose of real estate held by the Commonwealth for the use or benefit of the University or the Kentucky Agricultural Experiment Station as authorized in KRS 164.270 and KRS 45.360.

7. Order of Business

The order of business at meetings of the Board of Trustees shall be as follows:

Call to Order
Invocation
Roll Call
Reading and Approval of Minutes with Necessary Modifications
Report of the President
Consideration of President's Recommendations for Action
Consideration of President's Discussion Items
Reports of Committees
Other Business
Adjournment

General parliamentary rules shall be observed in conducting the business of the Board of Trustees except as these may be modified by rules and regulations adopted by the Board.

B. Community College Board of Directors

(1) There shall be a board of directors for each community college under the Kentucky Community and Technical College System, except as provided in KRS 165.160.

PR 4

Office of the President
June 11, 2002

Members, Board of Trustees:

REAPPOINTMENT OF THE EXECUTIVE DIRECTOR OF THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that approval be given to the reappointment of James A. Boling as Executive Director of the University of Kentucky Research Foundation (UKRF) through December 31, 2002.

Background: In accordance with the Bylaws, the Executive Director of the University of Kentucky Research Foundation is appointed by the Board of Trustees upon the recommendation of the President of the University.

Action Taken: ☑ Approved ❑ Disapproved ❑ Other _____

PR 5

Office of the President
June 11, 2002

Members, Board of Trustees:

APPOINTMENT/REAPPOINTMENT OF BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that approval be given to the appointment of Pamela May (trustee member) for a three-year term ending June 30, 2005 and the reappointment of Henry Jackson (public member) for a three-year term ending June 30, 2005 to the Board of Directors of the University of Kentucky Research Foundation (UKRF).

Background: In accordance with the Bylaws, members of the Board of Directors of the University of Kentucky Research Foundation are appointed by the Board of Trustees to serve three-year terms. The Nominating Committee of the UKRF Board has recommended and the UKRF Board of Directors has endorsed the appointment of Pamela May and the reappointment of Henry Jackson.

Action Taken: ☑ Approved ❑ Disapproved ❑ Other _____

PR 6

Office of the President
June 11, 2002

Members, Board of Trustees:

AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY AND KENTUCKY MEDICAL SERVICES FOUNDATION

Recommendations: that the Board of Trustees approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc., which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978 and later amended.

Background: The Board action on June 20, 1978 provided that:

> The President is authorized to negotiate and execute a contract subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

Kentucky Medical Services Foundation, Inc., meets the "criteria" specified under Section 3A for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20, 1978 Board resolution and specifically satisfies the "conditions" set forth in Section 3B.

The attached document modifies the 2001-02 agreement with respect to dates; states the annual allocation of the Dean's academic enrichment fund (Sections 8 and 17A); modifies the Foundation's authorization to bill and collect certain specified items (Section 10D); clarifies the provisions for use of University facilities (Section 12) and provides the Dean of the College of Medicine authorization to waive or reduce charges against clinical income (Section 17D).

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

THIS AGREEMENT made and entered into this 11th day of June 2002 by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq. of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as Foundation).

WITNESSETH:

Section 1. RECITALS

A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

B. University faculty in the clinical departments or units of the College of Medicine have heretofore executed agreements with Foundation and University, known as "Practice Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.

C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members

who produce income are permitted, through the medium of Foundation, to exercise a larger voice in the expenditure of the funds so produced.

E. The University has heretofore established, a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995; said action is hereby incorporated by reference as if set out in full herein.

F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

Section 2. DEFINITIONS

A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University dated June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995.

B. "Clinical Income" means the following income attributable to members of the Plan: (1) all compensation, income and payments (direct or in kind and whether characterized as fees, retainers, or otherwise) for professional services rendered or to be rendered, including, but not limited to those relating to the diagnosis, treatment or evaluation of patients or others and consultation; (2) all payments whether direct or in kind (excluding actual travel costs) for providing advice, consultation, serving on boards or committees, overseeing, supervising or other participation with any person or entity involved with (a) health or medical care, (b)

evaluation or care of patients, (c) drugs, (d) therapy of any kind, (e) accident or disease prevention, (f) health or medical care facilities, (g) programs involving health or medical care or (h) health or medical care of any other type; (3) witness fees and payments relating to depositions, testimony or other evaluations in the capacity of a witness; and (4) all other income which relates to or would not exist but for the professional education, experience, or training of members of the Plan. The Dean has the authority to approve exceptions to clinical income and is required to document the nature of the exception and the reason. Provided, however, that nothing contained herein shall be deemed to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice Agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University, and Foundation.

D. "University" as used herein, whenever approvals or consents are necessary, shall mean the approval or consent of the President, unless the President determines that approval by the Board of Trustees is necessary.

Section 3. NATURE OF THE AGREEMENT

Pursuant to Paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under University's "geographic full-time medical services plan".

Section 4. BILLING AND COLLECTION

Foundation agrees that it will accept, pursuant to practice agreements, the assignment of all clinical income by participants in the plan and, in accordance with such assignment, agrees to bill for professional services to parties determined to be responsible for payment for such services .

Section 5. PAYMENTS TO UNIVERSITY

A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.

B. Foundation agrees to pay to University, as reimbursement for the expenses attendant to the production of clinical income, University's actual annual costs, as determined by clinical department or unit expenditures as reimbursement of direct clinic personnel expenses and other current operating expenses, in direct support of the operation of the Kentucky Clinics.

Payments hereunder shall be in the following manner:

The University will submit statements of actual expenditures for each month by the 15th day of the succeeding month. Foundation will reimburse the University of Kentucky by the 24th day of that month.

C. In addition to the above, Foundation agrees that it will make an unrestricted grant to the University of an amount which is not less than the plan component of income for each member as described in paragraph II, C., 2 of the plan. Said grant will be paid in monthly installments.

D. Foundation agrees that it will make an unrestricted grant to the University for non clinic expenses as reasonably submitted by the clinical departments such as other department personnel and current department operating expenses as indicated on such submissions.

Payments hereunder shall be made in the following manner:

University will submit statements of actual expenditures for each month by the 15th day of the succeeding month. Foundation will make a grant to the University of Kentucky by the 24th day of that month.

Section 6. REIMBURSEMENT OF FOUNDATION

A. The parties recognize that University receives certain services from Foundation, for which it is due reimbursement as specified herein below.

B. University agrees to pay to Foundation on behalf of members of the Department of Diagnostic Radiology for the provision of x-ray interpretation services to the University Health Service during the period July 1, 2002 - June 30, 2003 a sum not to exceed Twenty One Thousand Seven Hundred Ninety Five ($21,795.00) Dollars.

C. University agrees to pay to Foundation on behalf of the applicable department of the College of Medicine, for provision of specified physical examinations to certain University employees, a fee for each examination.

D. University agrees to pay Foundation on behalf of clinical faculty members of the Department of Pathology and Laboratory Medicine, for provision of pathology services to the University Health Service, a fee of $6.00 per patient for pap smears, whether normal or abnormal, for the professional component. All other pathology professional services are to be reimbursed at 50% of the usual and customary charge for each service rendered.

E. University will make payment to Foundation quarterly, on the last calendar day of each quarter beginning September 30, 2002, for services as described in paragraph B hereinabove. University will make payment to Foundation monthly, on the last calendar day of each month beginning July 31, 2002, for services as described in paragraphs C and D.

F. University agrees to share in the costs of the salary and benefits and other related expenses for a Compliance Analyst III to be an employee of Foundation, such sharing to be established by agreement between the UK Compliance Officer and the KMSF Compliance Officer.

Section 7. COST SETTLEMENTS

A. The parties recognize that the provision of medical services in the current competitive environment may at times require a joint effort on the part of the University and University Hospital and Foundation in the formation of new delivery systems. These efforts may at times require the sharing of cost between the parties. Formulas for the sharing will be developed and mutually agreed to by the parties, which may result in payment by the University to the Foundation, or by the Foundation to the University.

Examples of such joint efforts include but are not limited to:

1. The operation of the UK Health Care Plans, including the UK-HMO.
2. The operation of certain marketing efforts.
3. Payment to the Fund for Advancement of Education and Research for the Medical Center for services rendered to clinical departments or units of the College of Medicine by members of practice plans of the Colleges of Allied Health Professions, Dentistry, Nursing, or Pharmacy.

B. The parties also agree that data exchanges between the computer systems, regardless of mode, of the University and Foundation will be payable at cost by the receiving party, or offset by an exchange of data deemed by both parties to be payment-in-kind.

C. Foundation may acquire by purchase, lease, or other means real and/or personal property to be utilized in the production of clinical income resulting from teaching, research and service functions performed by the members of the clinical departments. Acquisition of said real and/or personal property must be authorized by the Board of Directors of Foundation.

Section 8. ACADEMIC ENRICHMENT FUND

A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, Foundation agrees that it will pay to The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (hereinafter Fund), an amount equal to one and one-half (1.5%) percent of the actual clinical income collected by said Foundation. The Fund will deposit said payments in an account maintained for the purpose of providing an academic enrichment fund to be used by the Dean of the College of Medicine in support of the programs of said College and for related purposes. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to the Fund within twenty-five (25) days after the close of each monthly accounting period.

B. Foundation further agrees that it will deposit, in an account maintained by Foundation, on the same basis as provided in subsection A. herein above, an additional amount equal to eight and one half (8.5%) percent of the actual clinical income collected by said Foundation, said funds to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes at his sole discretion.

C. Foundation further agrees that it will deposit in an account maintained by Foundation any payments obtained by intergovernmental transfers related to supplemental payments to the College of Medicine under State Medicaid Programs. Upon receipt of such payments, any funds previously expended by the Dean to qualify for supplemental payments under this paragraph (as documented by the Dean to the Foundation by memorandum in advance of the funds transfer) will be transferred to the account described in Subsection B. The remainder of the funds will be designated to be used by the Dean of the College of Medicine for the further development of clinical programs of the College and for related purposes. The Dean is responsible for developing a process by which these funds may be expended in accordance with applicable state and federal laws and regulations. These funds will not be subject to the charges identified in Section 17A. Foundation agrees that funds deposited in this account shall be invested consistent with the University policy for investment of short-term funds and any investment income derived from balances attributed to this fund shall be credited to said account.

Section 9. PARTICULAR COVENANTS OF THE FOUNDATION

A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on June 19, 1978, as amended have been inspected by the University as a condition precedent to University's entry into this agreement. Foundation covenants that it will not, absent the written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.

B. Foundation covenants it will not engage in the practice of medicine, nor will it without the written consent of the University solicit, administer, receive, perform, or accept any gift, grant, devise or bequest with or from any governmental unit, entity, or subdivision or any

person, corporation, partnership, association or other entity whatsoever except as specifically permitted herein or as necessary for normal business operations. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.

C. Foundation covenants that it will not without the written consent of the University merge with any other corporation or convey all or substantially all of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as follows: (a) all liabilities and obligations of the corporation shall be paid, satisfied and discharged, or adequate provisions shall be made therefor; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned, transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky, or its successor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality of the State of Kentucky or as an exempt organization under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, or scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended.

Section 10. MEMBERSHIP AND BENEFITS

A. University agrees that it will assist the Foundation to enforce the terms of the Practice Agreements entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.

B. University agrees that it will require, as a condition of employment as a member of University's faculty in a clinical department or unit that members of its faculty execute Practice Agreements and Assignments in the form of same which may be modified by mutual agreement of the parties hereto from time to time.

C. Foundation agrees that it will not, absent the written consent of University, bill for, collect or administer any item of income for any person or entity not holding membership in the plan, nor will it permit persons not members of the plan to serve as voting members on its Board of Directors, nor will it provide any benefit or other thing of value to any person or entity not a member of the plan except (1) termination benefits to former members as provided by action of Foundation's Board of Directors; (2) incidental benefits indirectly accruing to employees of the Medical Center by virtue of Foundation's activities; (3) students and House Staff of University; (4) payment to nurses incident to their recruitment to the University Medical Center; (5) education and technical training costs of persons involved in patient care activities; (6) education and technical training costs of persons indirectly involved in patient care activities if approved on a case by case basis by the Dean of the College of Medicine or the Chancellor for the Medical Center; (7) to persons who engage the services of the Foundation pursuant to the provisions of Section 22 - Additional Activities; (8) to persons whose employment is authorized by Section 11.B.; (9) payments in the ordinary course of its business or (10) benefits or other

things of value approved or ratified by the Foundation's Board of Directors but which do not violate any express prohibition in any other section of this Agreement.

D. In certain contractual relationships for the provisions of patient care services it may be necessary or advantageous to present a unified billing, incorporating charges from a University patient care organizational entity(ies) and faculty physicians. In such cases, the Foundation is authorized to bill and collect on behalf of the University patient care organizational entity(ies) provided a proposed unified billing arrangement has been developed and agreed to by the parties and has been reviewed by University legal counsel.

Examples of such unified billing include but are not limited to:

1. Charges for hospital and physician services under the terms of agreements with insurance companies or managed care plans for transplantation services, or other services reimbursed on a negotiated global rate basis.

2. University of Kentucky Health Care Plans.

E. Foundation shall assume responsibility for the costs of drugs and supplies to be used in the patient care programs in the clinics and is hereby authorized to bill, collect, and deposit in Foundation accounts revenue from these non ancillary items.

F. Foundation agrees that it will strictly enforce the terms of its Practice Agreements and Assignments, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.

Section 11. PERSONNEL

The parties recognize that the Foundation must employ various individuals to carry out its functions hereunder; however, the parties also recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary or appropriate to permit said Foundation to bill and collect professional fee income, to administer its funds in accord with the terms of the geographic full-time plan, and to fulfill any other authorized activities of Foundation.

The Foundation is authorized to house computer equipment as may be required to communicate with its central computer and house sufficient employees in the Kentucky Clinic Buildings and other facilities used by University physicians for the purpose of providing billing and financial information to patients of University physicians who are members of the plan and other activities as mutually agreed. In addition, Foundation is also authorized to utilize designated storage space in the basement of the Kentucky Clinic Building for information necessary to the billing function.

B. Other personnel

During the term of this contract Foundation agrees that, except as otherwise approved by the Chancellor for the Medical Center and the Dean of the College of Medicine, it will not have any employees other than the employees authorized in Section 11. A. to perform services in University's patient care or college programs. Provided, however, that this provision shall not be construed to prohibit specific agreements between the parties whereby Foundation will employ personnel for service duties which generate income, provided, however, that such professionals may be employed only upon the recommendation and approval of the Dean of the College of Medicine and approval of same by the Chancellor for the Medical Center, with payment of salary and related costs to be from income produced by such professional activity.

C. Equal opportunity-affirmative action

The Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age, handicap or disability. The Foundation agrees that it will take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age, handicap, or disability. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, age, handicap, or disability. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University, including the individual members thereof, officers, agents and employees of the University, by reason of any action by law or equity or by reason of any administrative proceeding initiated by any person

arising out of any such employment action or practice of the Foundation, including the payment of attorney fees and other costs of such action or proceeding.

Section 12. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, require that institutional control be maintained with respect to direction and supervision of institutional activities and programs, assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.

Accordingly, Foundation and University agree that University will provide space within the University Hospital, Kentucky Clinic and other space officially designated for the conduct of the patient care operations.

With respect to any other facilities that University may from time to time provide to Foundation (other than Leader Avenue or Gazette Avenue property), Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same. All rights of Foundation with respect to 134, 136, 138, 144, 148, and 150 Leader Avenue and any other property it may now or hereafter lease or use on Leader Avenue or Gazette Avenue shall be governed, to the extent applicable, by the separate agreement dated April 20, 2001 and any other agreement(s) executed in the future by the parties hereto. In the event of any conflict between such separate agreement(s) and this agreement such separate agreement(s) shall govern and control whether relating to Leader Avenue property or Gazette Avenue property, the existing Foundation office building, parking lots or any other matter.

Section 13. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of University programs, are and shall remain the property of University and that Foundation has no right or property interest therein, provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty, staff, and students pursuant to existing University procedures. Further, the University agrees to provide or make available to Foundation such information and data from patient records and program data maintained by University as may be necessary for Foundation to perform its billing and collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payers as the basis for payment for services.

Section 14. INSPECTION AND AUDIT

A. Foundation agrees that, in accord with paragraph II, B. 1, of the action of University's Board of Trustees dated June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, its books and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

B. The parties agree that the University's Internal Auditors may conduct an audit of Foundation's operations and accounts for the period ending June 30, 2002 and such other audits, including audits to determine compliance with this agreement, the terms of the action of University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, the terms of the departmental agreements referred to in Section 20 hereof, as may be deemed necessary or advisable by the University of Kentucky Controller and Treasurer, and that Foundation's agents and employees will cooperate to the

fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants, mutually agreeable to the parties, will be employed by the Foundation to perform an independent audit of the Foundation's books, records and accounts for the year ended June 30, 2002.

C. The Parties agree that Foundation will be furnished information by University sufficient for Foundation to verify the nature and detail of expenditure of funds paid to University under the provisions of Section 5 B. hereinabove.

Foundation agrees that it will furnish to University the following reports.

Annually

Audited Financial Statements to include:

- Opinion of Independent Certified Public Accountants
- Balance Sheet
- Statement of Changes in Fund Balances
- Statement of Current Revenues and Expenditures
- Supplementary Schedules

Quarterly

Financial Statements to include:

- Balance Sheet
- Statement of Operations
- Gross Billings
- Collections
- Financial Allowances
- Bad Debts
- Aging Trial Balance of Accounts Receivable upon request of University Treasurer, by:
  - Patient liability
  - Third party payer
- Reports of Delinquent Account Activity upon written request of University Treasurer to include:
  - Referrals
  - Collections

Section 15. DEFAULT

A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to

Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against Foundation in any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such additional time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.

B. University may at its election terminate this agreement with respect to any department without termination of the entire agreement for the same reasons and in the same manner as provided hereinabove.

Section 16. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program. University agrees that, when so acting, said faculty, house staff and students shall be considered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941 or other University funds.

Section 17. MANAGEMENT AND INVESTMENT POLICIES

A. The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of clinical income and the provision of a sum equal to ten (10%) percent (which sum is the ten (10%) percent described in Sections 8A. and B.) of Foundation's gross clinical income received for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred for costs of administration and in billing and collecting said income and providing for the central administration of Foundation. The fourth charge being other expenses which are incurred by the Foundation.

B. Foundation agrees that funds deposited in a separate account pursuant to Section 8B., Academic Enrichment Fund shall be invested consistent with the University Policy for investment of short term funds.

Foundation shall be entitled to the investment income derived from said account, provided however investment income derived from balances attributed to the academic enrichment fund identified in subparagraph A. and Section 8B. hereinabove shall be credited to said account.

C. Foundation agrees that it shall maintain a separate account pursuant to its contributing for self-insurance identified in Section 18B. to be invested consistent with the University's policy for investment of endowed funds with all income to be accumulated in said separate account. The investment plan of Foundation for said separate account is subject to the approval of the University's Treasurer.

D. Notwithstanding anything contained herein to the contrary, the Dean of the College of Medicine may waive or reduce the charges referred to in Section 8 hereinabove (and

elsewhere herein by reference to Section 8) relative to such clinical income as he may from time to time determine.

Section 18. PROVISION OF PROFESSIONAL LIABILITY INSURANCE

A. Under the professional liability insurance program, Foundation agrees that it will pay the University, as reimbursement, for the physicians' pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee, of the costs of the following: (i) payments required pursuant to KRS 164.941 in one (1) lump sum payment within five (5) working days of receipt of amount due; (ii) costs of claims administration in twelve (12) equal installments, the first said installment being due and payable on July 31, 2002 and monthly thereafter until June 30, 2003; and (iii) the physicians' pro rata share of the costs of excess commercial insurance within five (5) working days of receipt of amount due.

B. In addition, Foundation has agreed that between July 1, 2002 and June 30, 2003, if required by the Medical Malpractice Claims Committee, it will cause to be deposited in a separate account within the Foundation the physicians' pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee of the additional contributions for self insurance, said account together with interest accruing thereto to be held solely for the purpose of payment of legal fees, settlements, judgments arising for liabilities defined in KRS 164.941(3) and for lump sum payments referenced in previous paragraph 18A. No monies in said account shall be expended for any other purpose than as provided in this Section without the written agreement of University, nor shall such monies be encumbered, pledged or otherwise hypothecated. The monies in said account shall be invested only as provided in Section 17 hereof. Payment to the separate account may be in equal installments,

provided that the full pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee shall be deposited by June 30, 2003.

C. In sum, Foundation agrees to pay in both assessments and contributions of the pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee of the total program costs of 2002-2003 as determined by KRS 164.941 and the Medical Malpractice Claims Committee.

Section 19. ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation, after making provision for (1) the costs of its administration and costs relating to billing and collection; (2) reimbursement of University expenses pursuant to Section 5 hereof; (3) the College of Medicine Academic Enrichment Fund pursuant to Section 8 hereof; (4) provision of professional liability insurance pursuant to Section 18 hereof; (5) provision of payment of actual expenses incurred by it which are attendant to the production of clinical income; (6) such reserves as are reasonably determined by the Foundation's Board of Directors; and (7) other obligations which are agreed to by the Foundation and are made pursuant to any agreement with, at the written request of, approved, or ratified in writing by the University of Kentucky, agrees that it will maintain its accounts in such a manner that income attributable to the professional clinical activities of each of the following departments or divisions shall be shown separately on books maintained by the Foundation from any other fund or funds held by said Foundation:

Anesthesiology
Clinical Program Units
Diagnostic Radiology
Emergency Medicine
Family Practice
Kentucky Clinic Hazard
Kentucky Clinic South
Internal Medicine

Neurology
Obstetrics and Gynecology
Ophthalmology
Pathology and Laboratory Medicine
Pediatrics
Preventive Medicine and Environmental Health
Psychiatry
Radiation Medicine
Physical Medicine and Rehabilitation
Surgery

B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned accounts only in accord with the departmental or divisional agreement relating to each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to time, as provided in said agreements, with the approval of the Dean of the College of Medicine and/or the Chancellor for the Medical Center. The Dean and the Chancellor have the authority to approve or disapprove all departmental or divisional agreements as deemed necessary.

Section 20. NOTICES

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

Dean of the College of Medicine
MN-150
University Medical Center
800 Rose Street
Lexington, Kentucky 40536-0084

In the case of Foundation to:

Kentucky Medical Services Foundation, Inc.
729 South Limestone
Lexington, Kentucky 40508

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed served on the date it is deposited in the United States mails properly addressed and with postage fully prepaid.

Section 21. RECORDS ACCESS

Pursuant to Public Law 96-499, Section 952, the Foundation agrees to make available upon written request from the Secretary of Health and Human Resources, the Comptroller General, or the Department of Human Services of the State of Kentucky or any of their duly authorized representatives, access to this contract and all other service agreements written or oral entered into or renewed since December 5, 1980, and access to books, documents, and records necessary to verify costs of services provided by such arrangements exceeding $10,000 in a 12-month period. Access will be permitted until four years have expired after completion of services furnished, and in accordance with the applicable federal regulations.

If the Foundation carries out any of the duties under this contract through a sub-contract with a related organization, with a value or cost of $10,000 or more over a 12-month period, such sub-contract shall contain a clause to the effect that until the expiration of four years after the furnishing of such services pursuant to the subcontract, the related organization shall make available, upon written request from the Secretary or Comptroller General, or any of their duly authorized representatives, the sub-contract, books, documents and records of such organization that are necessary to verify the nature and extent of such costs.

Section 22. ADDITIONAL ACTIVITIES

The University recognizes that in the changing health care delivery environment, the future condition of the University of Kentucky Medical Center and the University of Kentucky College of Medicine will depend on adjusting to those changes. The Foundation recognizes that it can assist the University to make these adjustments and that it should do so when such assistance can be provided without having a material negative impact on the Foundation's primary duty to bill and collect on behalf of faculty members who have executed practice agreements and assignments. Thus, subject only to the limitation that the Foundation not engage in the practice of medicine or duplicate other activities of the University of Kentucky Medical Center, the Foundation with the prior written approval of the University shall be entitled and is authorized to engage in and pay for the expenses of business activities of any and/or all types, (directly or indirectly, as an owner, investor or through a wholly or partly owned subsidiary, pursuant to a contract and/or joint venture and/or in any other manner) including but not limited to the provision of administrative services, a Management Services Organization (MSO), and other services to individuals and entities within and/or outside the Plan. It is also authorized with prior written approval of the University to incur obligations in connection therewith, as contemplated by or authorized pursuant to this Agreement. The University shall determine whether duplication of activities exists and a means for resolution of same.

Section 23. CORPORATE COMPLIANCE

Foundation affirms that is it is not excluded from participation, and is not otherwise ineligible to participate in a "Federal health care program" as defined in 42 U.S.C. section 1320a-7b(f) or in any other state or federal government payment program. In the event that

Foundation is excluded from participation, or becomes otherwise ineligible to participate in any such program, during the term of this agreement, Foundation will notify the University of Kentucky Chandler Medical Center, hereinafter "UKCMC", Office of Compliance, A301 KY Clinic, Lexington, Kentucky 40536 in writing, by certified mail within 48 hours after said event, and upon the occurrence of any such event, whether or not appropriate notice is given, the University of Kentucky, shall have the right to terminate this Agreement upon written notice if such matter is not cured within a reasonable timeframe as determined at the sole discretion of the University.

Additionally, Foundation affirms that it is aware that UKCMC operates in accordance with a corporate compliance program, employs a Corporate Compliance Officer and operates a 24 hour, seven day a week compliance Comply-line. Foundation has been informed that a copy of the UKCMC compliance plan is on file in the purchasing office and that it is encouraged to review the plan during normal business hours. It is understood that should Foundation be found to have violated the UKCMC compliance plan, UKCMC can, at its sole discretion, terminate this Agreement upon written notice if such matter is not cured within a reasonable timeframe as determined at the sole discretion of the University. Foundation recognizes that it is under an affirmative obligation to immediately report to UKCMC's Corporate Compliance Officer through the comply-line 1-877-898-6072, in writing, or directly (859) 323-6044 any actions by an agent or employee of UKCMC which Foundation believes, in good faith, violates an ethical, professional or legal standard.

Nothing in this Agreement contemplates or requires that any party act in violation of federal or state law. Nonetheless, should any term or condition set forth in this Agreement later be creditably alleged, suspected or determined to be illegal, the parties agree to immediately cease the questioned activity and negotiate modification to the effected portion of the Agreement

for a thirty (30) day period. If at the end of this period, no compromise can be reached, the Agreement will terminate.

Section 24. NO THIRD-PARTY BENEFICIARIES

This Agreement shall not confer any right, remedy or benefit upon any employee of the University or the Foundation or any other person or entity other than the University and the Foundation.

Section 25. BUSINESS ASSOCIATE AGREEMENT

In the event Foundation or University in the opinion of either party's counsel are determined to be business associates under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA") or any of its regulations, the parties agree to timely enter into the appropriate business associate agreements.

Section 26. TERM

The term of this agreement shall begin on July 1, 2002 and end on June 30, 2003.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES OF
THE UNIVERSITY OF KENTUCKY

BY: _____
Lee T. Todd, Jr.
President
University of Kentucky

ATTEST: _____

KENTUCKY MEDICAL SERVICES
FOUNDATION, INC.

BY: _____
Robert M. Mentzer, Jr., M.D.
President

ATTEST: _____

RECOMMENDED BY:

_____
James W. Holsinger, Jr., M.D., Ph.D.
Senior Vice President and Chancellor for the Medical Center

_____
Emery A. Wilson, M.D.
Dean of the College of Medicine and
Associate Vice President for Clinical Services

PR 7

Office of the President
June 11, 2002

Members, Board of Trustees:

APPOINTMENT TO BOARD OF DIRECTORS OF THE FUND FOR ADVANCEMENT OF EDUCATION AND RESEARCH IN THE UNIVERSITY OF KENTUCKY MEDICAL CENTER

Recommendation: that approval be given to the appointment of the following as a member to the Board of Directors of The FUND for Advancement of Education and Research in the University of Kentucky Medical Center for a two year term beginning July 1, 2002 and extending through June 30, 2004.

Appointment

Mira Ball

Background: The Articles of Incorporation of the FUND for Advancement of Education and Research in the University of Kentucky Medical Center require two-year term Board of Director appointments by the Board of Trustees of the University of Kentucky. Mrs. Ball will take the seat formerly held by Mr. Ted Hahn.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

PR 8

Office of the President
June 11, 2002

Members, Board of Trustees:

REPORT ON RESULT OF ALUMNI MEMBER ELECTION

Recommendation: that the report of the Secretary of the Board of Trustees on the result of the election authorized by the Alumni Association be received and put to record, and that she be authorized to certify to the Governor the names of the three persons receiving the largest number of votes, from which list a successor to John R. "Jack" Guthrie, whose term expires June 30, 2002, will be appointed.

Background: The term of John R. "Jack" Guthrie as alumnus member of the Board of Trustees expires June 30, 2002. An election has been held among the graduates of the University of Kentucky as prescribed by statute and in accordance with the rules and regulations of the Board of Trustees. The attached letter from the Secretary of the Board of Trustees to the President of the University reports the result of the election.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

May 30, 2002

President Lee T. Todd, Jr.
Office of the President
103 Gillis Building
University of Kentucky
Lexington, Kentucky 40506-0033

Dear President Todd:

The term of John R. "Jack" Guthrie as alumnus trustee of the Board of Trustees expires June 30, 2002. An election has been held among graduates of the University, as prescribed by statute and by the rules and regulations of the Board of Trustees, to nominate to the Governor three graduates from whom a successor will be appointed. The actual tabulation of the votes was conducted by Mr. Stan Key, Director of Alumni Affairs, and the result of the election follows:

Ann Haney	3,306
Myra Tobin	3,034
Bill Arvin	2,502
Mike Burleson	2,312
Richard Bean	2,093
Bob Pickard	1,804

Will you please submit this information to the Board of Trustees that same may be approved, and the names of the three receiving the highest number of votes be certified to the Governor of the Commonwealth of Kentucky from which list he may appoint one to serve a six-year term.

Respectfully submitted,

JoEtta Wickliffe
Secretary
Board of Trustees

/pfw

AACR 1

Office of the President
June 11, 2002

Members, Board of Trustees:

CANDIDATES FOR DEGREES -- COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed.

Background: At the November 12, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and the Chancellor.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

FCR 1

Office of the President
June 11, 2002

Members, Board of Trustees:

DISPOSAL OF PERSONAL PROPERTY

Recommendation: that the Board of Trustees approve a plan for fiscal year 2002-2003 authorizing routine disposition of personal property having a value of less than $10,000 per item, to include equipment and machinery, vehicles, library books and materials, livestock and recyclable materials as listed on Attachment I.

Background: Kentucky Revised Statute 164A.575 requires that the Board of Trustees approve disposition of equipment and other property. Administrative Regulation II-1.3-3, approved by the Board of Trustees on August 16, 1994 establishes the policies and procedures, including required approvals for the disposition of personal property. This plan will provide an efficient and timely process for the disposition of property having a value of less than $10,000 in accordance with Administrative Regulation II-1.3-3. Dispositions of personal property having a value greater than $10,000 will be approved by the Board of Trustees on a case by case basis.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

ATTACHMENT I

ANTICIPATED DISPOSAL OF PROPERTY 2002-2003

A. Equipment and Machinery

Method of Disposal

Public Auction

Sealed Bid

Fixed Price

Transfers to Other State Agencies and Educational Institutions

Reason for Disposal

Equipment and machinery surplus to the needs of the University due to depreciation, obsolescence, program changes or termination.

B. Vehicles

Method of Disposal

Public Auction

Reason for Disposal

Vehicles of excessive age and/or mileage or in need of major repairs.

C. Library Books and Materials

Method of Disposal

Public Auction

Sealed Bid

Fixed Price

Reason for Disposal

Library books and materials that are obsolete or outdated.

D. Livestock

Method of Disposal

Public Auction

Sealed Bid

Fixed Price

Reason for Disposal

Livestock surplus to the needs of the College of Agriculture or otherwise unsuitable for use (completion of research projects, carcass data requirements, normal culling of herds).

E. Recyclable Materials

Method of Disposal

Price Contracts

Fixed Price

Reason for Disposal

To comply with regulations for proper and timely disposition of waste products and recyclable materials (as directed by the Office of Environmental Health and Safety).

FCR 2

Office of the President
June 11, 2002

Members, Board of Trustees:

REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

A renewal lease between the University of Kentucky (University Extension, Japanese Saturday School) and Board of Education of Fayette County, 701 East Main Street, Lexington, Kentucky, for space located in the Tates Creek Middle School, 1105 Centre Parkway and Tates Creek Elementary School, 1113 Centre Parkway, Lexington, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 10,100 square feet of classroom space, at an annual rate of $21,706.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Rural Health, Kentucky Homeplace) and Allen County Board of Education, 238 Bowling Green Road, Scottsville, Kentucky, for space located at 311 North Third Street, Scottsville, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 368 square feet of office space, at an annual rate of $3,600.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding $30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

FCR 3

Office of the President
June 11, 2002

Members, Board of Trustees:

APPROVAL OF LEASES

Recommendation: that the Senior Vice President for Administration be authorized to negotiate and execute the following:

A renewal lease between the University of Kentucky (Medical Center Bookstore/Information Services) and Sam and Naimeh Mashni, 4853 Wyndhurst Road, Lexington, Kentucky, for space located at 929 and 933 South Limestone, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 2,700 square feet of office space, at an annual rate of $32,400.00.

A renewal lease between the University of Kentucky (Kentucky Injury Prevention/Dentistry) and Henry E. Cravens and Rudy G. Bicknell, %Cravens Properties, 651 Perimeter Drive, Lexington, Kentucky, for space located at 333 Waller Avenue, Lexington, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 10,281 square feet of office space, at an annual rate of $118,232.00.

A renewal lease between the University of Kentucky (Department of Psychiatry) and Blazer Parkway Venture, LLC, 3470 Blazer Parkway, Lexington, Kentucky, for space located at 3470 Blazer Parkway, Lexington, Kentucky, effective July 1, 2002 through June 30, 2003, for the use of 21,836 square feet of office/clinic space, at an annual rate of $348,284.00.

A new lease between the University of Kentucky (College of Medicine, CATS Project) and Blazer Parkway Ventures, LLC, 3470 Blazer Parkway, Lexington, Kentucky, for space located at 3470 Blazer Parkway, Lexington, Kentucky, effective July 1, 2002 through June 30, 2004, for the use of 6,384 square feet of office/clinic space, at an annual rate of $101,825.00.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding $30,000 in value and required that all leases in excess of $30,000 be approved by the Board of Trustees.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

FCR 4

Office of the President
June 11, 2002

Members, Board of Trustees:

AUTHORIZATION TO SELL 35.58 ACRES OF GIFT PROPERTY
IN WOODFORD COUNTY

Recommendation: that the Board of Trustees authorize the Senior Vice President for Administration to sell, as provided by law, 35.58 acres of land in Woodford County that is surplus to the needs of the University.

Background: In 1991, Mr. Allen Paulson made a gift of 35.58 acres of land in Woodford County to the University of Kentucky. The gift at that time was valued at $1,200,000 and was made in conjunction with the purchase of 1,441.91 acres by the State on behalf of the University of Kentucky College of Agriculture for $11,500,000. The larger tract was acquired as an Agricultural Experiment Station for relocation of the College's Research Farm at Coldstream.

While the two tracts are adjacent to each other and once comprised the entire farm known as Pin Oak, they were conveyed to the University by separate deeds. The smaller tract is now surplus to the needs of the College of Agriculture and the University.

The main residence at 3000 Frankfort Road contains 8,007 square feet of living space, is in good condition, and is presently leased along with 27 acres to former Governor Louie B. Nunn. Governor Nunn's leasehold extends through June 30, 2005. The entire 35+ acre tract will be sold subject to the leasehold.

Thirty acres of the smaller tract (including the residence) were recently appraised at $1,400,000. The entire tract is currently being appraised and will be sold at no less than its appraised fair market value. The method of sale has yet to be determined but will be either by invitation of sealed bid or public auction, whichever is deemed to bring the greater sale price.

Proceeds from the sale of the farm will be endowed for student scholarships. Fund raising for scholarships is a high priority of the University and is greatly needed as a part of building the institution's endowment.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

FCR 5

Office of the President
June 11, 2002

Members, Board of Trustees:

AUTHORIZATION TO SELL 21.875 ACRES OF AGRICULTURAL EXPERIMENT STATION LAND OFF THE SOUTH FARM IN FAYETTE COUNTY

Recommendation: that the Board of Trustees authorize the Senior Vice President for Administration to sell as provided by law 21.875 acres of land in southern Fayette County, known as part of the South Farm, that is now surplus to the needs of the University Agricultural Experiment Station.

Background: In 1956 Dean Frank Welch purchased from the College of Agriculture funds 202.491 acres of land in southern Fayette County for use as an Experimental Research Farm. Since that time the farm has been used for various purposes but today serves as a research facility for the Department of Horticulture. The western-most parcel of the farm, comprised of 21.875 acres, is divided from the main body by the CSX Railroad. Since its acquisition, the area surrounding the entire farm has become urbanized.

In 1971 the University donated the Waveland Historic Site, which was part of the South Farm and consisted of 10.094 acres, to the state. In 1997 the University sold a 42.960-acre tract on the north portion of the farm that had been severed from the main body by the construction of Man-O-War Boulevard. This tract was subsequently zoned "commercial". The proceeds of this sale were used to construct the new Plant Science Building on the main campus that is nearing completion. The tract that the College of Agriculture now wishes to sell is surrounded by residential housing and eventually will be zoned "residential" when it passes into private ownership.

The University has sought two appraisals of the property by appraisers on the state government's approved list. One appraisal is for $860,000 and the second is for $877,800. The property will be sold either by the sealed bid or at public auction, whichever is deemed to yield the higher purchase price. Under state law the University could not sell the property for less than the lower of the two appraisals.

The 127.562-acre tract of the South Farm that remains will be used by the Department of Horticulture. It is bounded by the CSX Railroad on the west, Nicholasville Highway on the east, Man-O-War Boulevard on the north, and Waveland State Historical Site on the south. The proceeds from the sale of this tract will be used by the Department of Horticulture for program and capital needs.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

FCR 6

Office of the President
June 11, 2002

Members, Board of Trustees:

OPERATING BUDGET FOR FISCAL 2002-03

Recommendation: that the Operating Budget for Fiscal 2002-03 as submitted, including authorization set forth in the letter of transmittal, be approved and ordered recorded as part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures and that it be accepted as a basis of maximum expenditures for fiscal 2002-03.

Background: The 2002-03 Operating Budget of the University of Kentucky is presented for approval by the Board of Trustees. Copies of the budget document have been made available to the members of the Board.

NOTE: For copies of the 2002-03 Operating Budget, contact Brenda Rice, Planning, Budget, and Policy Analysis, 128 McVey Hall, UK Campus, 859-257-5701.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

FCR 7

Office of the President
June 11, 2002

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the patent assignment report for the period April 1, 2002 through May 31, 2001, be accepted.

Background: FCR 5, dated March 4, 1997, authorized that all future copyright and patent filings and prosecutions be conducted by the University of Kentucky Research Foundation (UKRF), and that the Vice President for Research and Graduate Studies or his designee be authorized to execute any needed documents to obtain appropriate patent or copyright protection. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action Taken: ☑ Approved ❑ Disapproved ❑ Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD April 1, 2002 through May 31, 2002

Patents

The following assignments on behalf of the Board of Trustees to the University of Kentucky Research Foundation have been executed:

1. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "TRANSGENIC PLANTS EXPRESSING MIND OR MINE AND AN EFFICIENT METHOD FOR PLANT CHLOROPLAST TRANSFORMATION AND GENE EXPRESSION". Inventors: Drs. Randy Dinkins, M.S. Srinivasa Reddy and Glenn B. Collins. This invention concerns transgenic plant which contain large chloroplasts. The transgenic plant of the present invention comprises within its genome a foreign *MinD* or *MinE* gene or a foreign gene which expresses a protein which has the same functional activity as the *Arabidopsis thaliana* MinD or MinE protein. This invention further concerns a method of producing the transgenic plants of the present invention which contain large chloroplasts. Finally, the present invention concerns a method of transforming the chloroplants genome of the transgenic plants of the present invention which contain large chloroplasts.

2. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "SYSTEM AND PROCESS FOR PROVIDING AUXILIARY INFORMATION FOR A PACKET-SWITCHED NETWORK OF SHARED NODES USING DEDICATED ASSOCIATIVE STORE". Inventors: Drs. Kenneth L. Calvert and James N. Griffioen. This invention provides a system and a process for providing auxiliary information about a distributed network of shared nodes, at least a plurality of the nodes being adapted for receiving at least one type of ESP-(associative ephemeral store processing) packet. Available for access at each of the plurality of EST-adapted nodes is a dedicated associative store wherein a value, if bound to a tag, is only accessible as a bound (tag, value) pair for a short time period. Different types of packets are contemplated for routing through the ESP-capable plurality of nodes such as those arbitrarily identified herein as a "first" and "second" type: each first type packet has at least one field comprising an opcode identifying an instruction, and a tag; each second type packet has an opcode identifying an instruction and an LOC field containing an identifier of a location for execution of an operand by the second packet instruction at any one of the ESP-capable plurality of nodes. In another aspect, each of the ESP-capable plurality of nodes has input and output port units and a centralized unit; an associative store may be dedicated to one or more of the port units as well as to the centralized location.

3. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "PROCESS FOR THE CONTINUOUS PRODUCTION OF ALIGNED CARBON NANOTUBES". Inventors: Drs. David N. Jacques and Rodney J. Andrews.

This invention provides novel methods and apparati for continuous production of aligned carbon nanotubes. In one aspect, the method comprises dispersion of a metal catalyst in a liquid hydrocarbon to form a feed solution, and volatilizing the feed solution in a reactor through which a substrate is continuously passed to allow growth of nanotubes thereon. In another aspect, the apparatus comprises a reactor, a tube-within-a-tube injector, and a conveyor belt for passing a substrate through the reactor. The present invention further discloses a method for restricting the external diameter of carbon nanotubes produced thereby comprising passing the feed solution through injector tubing of a specified diameter, followed by passing the feed solution through an inert, porous medium. The method and apparati of this invention provide a means for producing aligned carbon nanotubes of a particular external diameter which is suitable for large scale production in an industrial setting.

FCR 8

Office of the President
June 11, 2002

Members, Board of Trustees:

ANONYMOUS GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept from a donor who wishes to be anonymous a gift of $100,000 and a pledge of $100,000 to the Department of Athletics for the baseball stadium renovation project.

Background: The donor will pay the $100,000 pledge with payments of $50,000 in 2003 and $50,000 in 2004. The total cost of the baseball stadium project is estimated at $3.2 million and includes doubling the seating capacity to 2,000, adding skyboxes and updating the press box. Still to be completed are a higher rightfield wall and possible dugout/clubhouse enhancements.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 9

Office of the President
June 11, 2002

Members, Board of Trustees:

ANONYMOUS GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept from a donor who wishes to be anonymous a gift of $160,000 and a pledge of $200,000 to the Department of Athletics for the baseball stadium renovation project.

Background: The donor will pay the $200,000 pledge in October, 2002. The total cost of the baseball stadium project is estimated at $3.2 million and includes doubling the seating capacity to 2,000, adding skyboxes and updating the press box. Still to be completed are a higher rightfield wall and possible dugout/clubhouse enhancements.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 10

Office of the President
June 11, 2002

Members, Board of Trustees:

JOHN H. HEICK ESTATE GIFT

Recommendation: that the Board of Trustees accept a distribution of $183,163 from the estate of John H. Heick to create and endow the John H. Heick Endowed Scholarship Fund in the College of Agriculture.

Background: Mr. Heick, a 1950 graduate of the College of Agriculture, was a Bourbon County farmer and businessman. He died in April 1998. Mr. Heick had served as president of the College of Agriculture Alumni Association and was a generous supporter of the College. This scholarship fund will provide scholarships for undergraduate students.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 11

Office of the President
June 11, 2002

Members, Board of Trustees:

R. C. DURR GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of $50,000 and a pledge of $150,000 from R. C. Durr of Florence, Kentucky, for the Governor's Scholars Program/Governor's School for the Arts (GSP/GSA) scholarship fund.

Background: Mr. Durr is the owner of R. C. Durr Company, one of Kentucky's most successful highway construction firms. His interests include banking, cattle and thoroughbred horses. He has been a generous supporter of the University. Mr. Durr's commitment to the GSP/GSA scholarship fund is the largest gift to date in UK's effort to raise $1 million to fund 250 new full and partial scholarships for the 2002-03 year.

Beginning this fall, UK will offer full tuition scholarships to all students who completed the Governor's Scholars Program and who have at least a 28 on the ACT and a 3.3 cumulative grade point average. Other alumni of that program will be eligible for lesser awards. Awards to alumni of the Governor's School for the Arts will be based on evaluation of their creative work. Both the scholars program and the arts program are summer residential programs that attract about 1,200 of Kentucky's brightest high school students. Colleges and universities across Kentucky and the nation compete to enroll these students.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 12

Office of the President
June 11, 2002

Members, Board of Trustees:

FORD MOTOR COMPANY GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of $150,000 and a pledge of $600,000 from Ford Motor Company, Kentucky Truck Plant of Louisville, Kentucky, to establish the UK-Ford Manufacturing Partnership Program in the College of Engineering.

Background: The Ford Motor Company, Kentucky Truck Plant is working with both the University of Kentucky (UK) and the University of Louisville (U of L) on the concepts and practice of lean manufacturing. Ford is making a similar gift and pledge to the Speed Scientific School at U of L. This gift and pledge represent a significant step forward in UK's relationship with Ford's Kentucky Truck Plant. The partnership is especially beneficial to UK engineering students and faculty who will have access to one of Ford Motor Company's most successful assembly plants. The Ford Motor Company, Dearborn, Michigan, has a longstanding relationship with the College of Engineering. It has supported such research efforts as vibro-acoustics, vehicle safety and vehicle surface optimization. The pledge will be paid in four annual installments of $150,000 beginning in 2003.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 13

Office of the President
June 11, 2002

Members, Board of Trustees:

SECAT, INC. PLEDGE

Recommendation: that the Board of Trustees accept a pledge of $50,000 from Secat, Inc., of Lexington, Kentucky, to create and endow the Secat - J. G. Morris Aluminum Professorship in the College of Engineering.

Background: Secat, Inc., located at UK's Coldstream Research Park Campus, was established in 1999 to focus and coordinate the research efforts of the aluminum industry, the UK Center for Aluminum Technology, and the U.S. Department of Energy's National Laboratories. Secat has become a generous supporter of the UK College of Engineering, contributing over $413,000 to aluminum research and general program support. The professorship honors Dr. J. G. Morris, a UK engineering professor who researches aluminum. The $50,000 pledge, which is eligible for matching funds from the state's Research Challenge Trust Fund, will be fulfilled with five annual payments of $10,000 beginning in 2002 and completed in December 2006.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 14

Office of the President
June 11, 2002

Members, Board of Trustees:

S. ODEN (DENNY) HOWELL PLEDGE

Recommendation: that the Board of Trustees accept a pledge of $125,000 from S. Oden (Denny) Howell of Prospect, Kentucky, to create and endow the Lauranne Laise Howell Graduate Fellowship in Economic Education in the College of Education.

Background: Denny Howell is a Louisville businessman and a member of the UK Development Council. He is establishing the graduate fellowship in honor of his wife, Laurie, a 1965 graduate of the College of Education. The fellowship will benefit graduate students, who often will be Kentucky teachers working on advanced degrees, to gain a better understanding of teaching economics as part of a social studies curriculum. The college's Department of Curriculum and Instruction offers a course entitled, "Problems in the School Curriculum: Economic Education." The pledge is eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 15

Office of the President
June 11, 2002

Members, Board of Trustees:

GLORIA W. SINGLETARY PROFESSORSHIP/CHAIR GIFTS AND PLEDGES

Recommendation: that the Board of Trustees accept gifts of $82,800 and pledges of $262,850 from several individuals to create and endow the Gloria W. Singletary Professorship/Chair in Women's Health at the Chandler Medical Center.

Background: Mrs. Singletary, wife of UK President Emeritus Otis A. Singletary, and many of the donors are members of the Chandler Medical Center's Women's Health Advisory Council. Initially, the endowment will support a professorship for the Director of the Women's Health Center and will convert to an endowed chair when the principal reaches $1 million. The donors are Mira L. Ball, Lexington, $75,000 gift and $75,000 pledge; Mary Ellen Slone, Lexington, $50,000 pledge; Dr. Singletary and the Singletary family, $50,000 pledge; Elizabeth McCoy, Hopkinsville, Kentucky, and Elizabeth (Sis) Griffin, London, Kentucky, $50,000 pledge; Mrs. Clay Hancock, Paris, Kentucky, $25,000 pledge; Isabel Yates, Lexington, $5,000 pledge; Marta Surgener, Lexington, $5,000 pledge; Mr. and Mrs. Bernard Briskin, Beverly Hills, California, $3,500 gift; Mrs. Cornelia Cooper, Somerset, Kentucky, $2,000 gift; Mrs. Gloria Singletary, $1,000 pledge and $500 gift; Charles and Joy Hembree, Lexington, $1,250 pledge; Kathryn Wong-Rutledge, Lexington, $600 pledge and $600 gift; Rebecca and Greg Goodman, Lexington, $1,000 gift; Irving and Irma Rosenstein, Lexington, $100 gift; and Jane M. Polk, Lexington, $100 gift. The gifts and pledges are contingent on the University receiving matching funds from the state's Research Challenge Trust Fund.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 16

Office of the President
June 11, 2002

Members, Board of Trustees:

KENTUCKY MEDICAL SERVICES FOUNDATION GIFT

Recommendation: that the Board of Trustees accept a gift of $50,000 from Kentucky Medical Services Foundation (KMSF) of Lexington, Kentucky, to establish and endow the Infectious Diseases Research Professorship in the College of Medicine's Department of Internal Medicine.

Background: KMSF is making the gift to support infectious diseases research. The gift is contingent on the University receiving $50,000 in matching funds from the state's Research Challenge Trust Fund to create a $100,000 professorship to support infectious disease research.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 17

Office of the President
June 11, 2002

Members, Board of Trustees:

GIFTS AND PLEDGES FOR GIFFORD S. BLYTON PROFESSORSHIP

Recommendation: that the Board of Trustees accept gifts and pledges totaling $197,926 from 60 donors to create and endow the Gifford S. Blyton Endowed Professorship in Oral Communications and Forensics in the College of Communications and Information Studies.

Background: Friends, colleagues and former students, especially debate team members, have made the gifts and pledges to honor Dr. Blyton, who began teaching at UK in 1948 and retired in 1975. He was the debate team coach throughout his tenure. Dr. Blyton, now 93, lives in Lexington and remains as parliamentarian of the University Senate. Many of the contributors attribute much of their success to the public speaking skills learned in the debate program and in Dr. Blyton's classes on public speaking and persuasive argumentation. The gifts and pledges are eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 18

Office of the President
June 11, 2002

Members, Board of Trustees:

CHANGING NAME OF PROFESSORSHIP IN ALBERT B. CHANDLER MEDICAL CENTER

Recommendation: that the Board of Trustees change the name of an endowment in the Albert B. Chandler Medical Center from the U.S. Surgical Professorship in Medical Management to the U.S. Surgical Endowed Professorship.

Background: This endowment was established by the Board of Trustees in April, 1999, with a gift from the United States Surgical Corporation of Norwalk, Connecticut. This change in the name of the endowment meets the approval of the donor. The gift was matched by the state's Research Challenge Trust Fund.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 19

Office of the President
June 11, 2002

Members, Board of Trustees:

CHANGING NAME OF PROFESSORSHIP IN ALBERT B. CHANDLER MEDICAL CENTER

Recommendation: that the Board of Trustees change the name of an endowment in the Chandler Medical Center from the U.S. Surgical-Pfizer Professorship/Chair in Medical Management to the U.S. Surgical Endowed Professorship.

Background: this endowment was first established in 1998 as the U.S. Surgical Corporation Professorship in Minimally Invasive Surgery. The name was changed in 2000 to the U.S. Surgical-Pfizer Professorship/Chair in Medical Management to reflect the partnership between U.S. Surgical Corporation and Pfizer, Inc. This change in the name of the endowment meets the approval of the donors. The gifts creating this endowment were matched by the state's Research Challenge Trust Fund.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 20

Office of the President
June 11, 2002

Members, Board of Trustees:

REALLOCATION OF PROCEEDS
JES E. AND CLEMENTINE MILLS SCHLAIKJER ESTATE

Recommendation: that the Board of Trustees approve: (1) the termination of the Jes E. Schlaikjer Chair in Equine Infectious Diseases, (2) the establishment of two endowed professorships named for the Schlaikjers in the Department of Veterinary Science, (3) the renaming of the Clementine Mills Schlaikjer Chair in Equine Infectious Diseases to the Jes E. and Clementine Mills Schlaikjer Chair in Equine Infectious Diseases, and (4) the reallocation of funds from the termination of the Jes E. Schlaikjer Chair to the Jes E. and Clementine Mills Schlaikjer Chair, the William Robert Mills Chair, the John S. and Elizabeth A. Knight Chair in Equine Veterinary Science, and to the two newly-established professorships. This reallocation will result in a funding level of $4 million each for the three chairs, and of $250,000 each for the two professorships.

Background: Jes and Clementine Schlaikjer of Prescott, Arizona bequeathed most of their estate to the University of Kentucky to support equine infectious disease research in the Gluck Equine Research Center. In September 1998, the Board of Trustees accepted an initial distribution of $7,001,966 from the estates and authorized the acceptance of any additional distributions. The University ultimately received a total of $7,684,418 from the Schlaikjers.

In March 1999, the Board of Trustees approved the establishment of five endowed chairs in the College of Agriculture Gluck Equine Research Center in the Department of Veterinary Science from funds received from the Schlaikjer estates and from the Research Challenge Trust Fund: the Jes E. Schlaikjer Chair in Equine Infectious Diseases, the Clementine Mills Schlaikjer Chair in Equine Infectious Diseases, the William Robert Mills Chair in Equine Infectious Diseases, the John S. and Elizabeth A. Knight Chair in Equine Veterinary Science, and the Albert G. Clay Chair in Reproductive Physiology.

The Albert G. Clay Chair is not affected by this reallocation. This action is consistent with the wishes of the Schlaikjers to establish and endow funds to study and research equine infectious diseases in the College of Agriculture. The level of funding resulting from this reallocation will generate sufficient earnings to fully support the salary and support costs for the chaired positions.

Action taken: ☑ Approved q Disapproved q Other_____

AHCR 1

Ad Hoc Committee on Board Structure
June 11, 2002

Members, Board of Trustees:

AD HOC COMMITTEE ON BOARD STRUCTURE REPORT

Recommendation: that the Ad Hoc Committee on Board Structure Report be received and approved by the Board of Trustees for submission to the President for his consideration and appropriate action.

Background: At the December 11, 2001 meeting of the University of Kentucky Board of Trustees, Chairman Billy Joe Miles re-constituted the Ad Hoc Committee on Board Structure. Among the responsibilities he gave the committee was the charge to review the bylaws of all UK affiliated corporations and all corporations where the University holds shares or an interest. He also asked the committee to review the bylaws for consistency and currency and recommend changes that may be brought to the attention of these entities. The committee has completed its work and submits the attached report.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

AD HOC COMMITTEE REPORT ON AFFILIATED AND RELATED CORPORATIONS

May 28, 2002

CHARGE TO THE AD HOC COMMITTEE:

Review the bylaws of all UK affiliated corporations and all corporations where the University holds shares or an interest. The Committee shall review the bylaws for consistency and currency and recommend changes that may be brought to the attention of these entities.

To avoid confusion, please note the following definitions of the entities included:

AN AFFILIATED CORPORATION OF THE UNIVERSITY OF KENTUCKY is a corporate entity which is NOT a public agency and which is organized pursuant to the provisions of KRS Chapter 273 over which an institution exercises effective control by means of appointments to its board of directors AND which could not exist or effectively operate in the absence of substantial assistance from an institution. (KRS 164A.550)

UK has organized nine affiliated corporations to assist it in carrying out its programs, missions and functions. Affiliated corporations must adhere to the principles of accounting and purchasing used by UK (mandated by State Law and the Governmental Accounting Standard Board).

A BOOSTER ORGANIZATION is established to promote and support intercollegiate athletic programs by providing monies, goods or services. Booster Organizations must be carefully and constantly monitored to insure their activities are consistent with University of Kentucky Policy and Procedures and with NCAA regulations.

A RELATED CORPORATION OF THE UNIVERSITY OF KENTUCKY is a corporate entity that is tied to the University through name or purpose but has no direct mandated University oversight. While related corporations do operate responsibly and often share audits with the comptroller of the University, either directly or through a particular University college, these related

corporations could potentially operate outside the boundaries of accepted University procedures. A Booster Club is a related corporation.

<u>FOR-PROFIT CORPORATIONS</u> are organized to support UK's entrepreneurial interests, including marketing research products and services of a commercial nature in order to further UK's technology transfer program and economic development efforts.

For-profit corporations are used for the above activities to insure: 1) fair and open competition with the private sector, 2) avoid tax issues related to unrelated business activities and 3) provide a structure through which faculty can share in the economic benefits of their research and engage in business activities while remaining "in the fold" (i.e. continuing as a UK faculty member).

For-profit corporations will be treated in the same manner as the affiliated and other related corporations. Unless a legitimate business or legal reason presents itself, the guidelines presented in Section A apply also to the for-profit corporations.

The interrelationships between the various <u>University of Kentucky Affiliated and Related Corporations</u> are presented in two exhibits at the end of this report.

Section A

The Ad Hoc Committee has the following general recommendations:

1. A University-wide business and general code of ethics should be written for all University affiliated or related boards, including the UK Board of Trustees. (The guidelines published by the Association of Governing Boards of Universities and Colleges would be a good place to start.)

2. Guidelines should be written for any group wishing to use the University of Kentucky name or logo in either promotional or fundraising efforts.

3. Any affiliated or related corporation, which raises or spends funds for or on behalf of the University, should provide accountability to the University for the funds raised and/or expended.

4. All gifts of land, buildings, structures or renovations valued at $400,000.00 or more shall be subject to prior approval by the UK Board of Trustees.

5. A central office should be designated where all University affiliated and related corporations must register and should provide;

a) Current gender neutral, non-discriminatory by-laws, consistent with University policy;

b) Current list of officers;

c) Yearly audit or financial statements;

d) Current documentation showing that the affiliated or related corporation is in good standing with the Kentucky Secretary of State, and

e) Agenda and minutes of all board or committee meetings if the affiliated or related corporation is subject to the Kentucky Open Records Act.

6. Where appropriate, compliance training (e.g. NCAA, AMA, etc.) should be provided and documentation presented to the central office.

7. All University of Kentucky affiliated corporations should be evaluated to determine if there is a continued need for their existence and should be eliminated if the need for the corporate body no longer exists.

8. The charge of the Administrative Advisory Committee on Naming University Buildings should be modified to include the naming of any University property or portion thereof, including playing fields, rooms, plazas, etc. The membership of the committee should include a Trustee. Final approval of the name should be presented to the UK Board of Trustees for ratification.

9. The Nominating Committee and the Board Chairman of the UK Board of Trustees shall review and recommend the appointment of Trustees to the boards of the University's affiliated entities.

Section B

The Ad Hoc Committee separated the list of affiliated corporate boards and related groups into the following sub-groups with specific recommendations for each group.

1. *RELATED CORPORATIONS* ASSOCIATED WITH ATHLETICS INCLUDING: *UK BASKETBALL MUSEUM, UK VARSITY LETTER ASSOCIATION, COMMITTEE OF 101, WILDCAT FOUNDATION, BASEBALL BOOSTER CLUB, WILDCAT AQUATICS, WILDCAT AQUATICS PARENTS ASSOCIATION AND UK ATHLETIC ASSOCIATION.*

The Ad Hoc Committee defers the oversight of the governance this group to the Athletic investigative committee headed by Bob Lawson with the recommendation that any group raising money for UK Athletics must be accountable to the University through adherence to the general guidelines set forth in Section A. NCAA training is particularly important for this group of fundraisers. Note that the UK Basketball Museum, the University of Kentucky Varsity Letter Association, the Committee of 101, the Wildcat Foundation, the Baseball Booster Club, Wildcat Aquatics and the Wildcat Aquatics Parents Association all operate under the Intercollegiate Athletic umbrella and as such have had very little accountability to or supervision by the UK Board of Trustees.

In addition to the general recommendations presented in Section A, the Committee has the following specific recommendations for the UK Athletic Association Board:

a) The Board should meet on a regular basis, at least semi-annually;

b) UK Trustees should be given notice of the Athletic Association Board meetings;

c) Minutes should be sent to all UK Trustees, and

d) A UK Trustee Board representative should report to the full UK Board following any Athletic Association meeting.

The Committee also recommends that President Todd or the athletic group headed by Bob Lawson look at successful intercollegiate athletic programs that have strictly complied with NCAA regulations at institutions which have been competitive both athletically and academically, to determine any common threads of supervision, Athletic Board makeup, and background, philosophy and experience of the athletic director. (Obviously, there are other possible criteria.)

2. CORPORATIONS RELATED TO THE UNIVERSITY OF KENTUCKY MEDICAL CENTER, INCLUDING: CHA HMO, CHA PROVIDER NETWORK, CHA SERVICE COMPANY, KENTUCKY HEALTHCARE ENTERPRISE, KENTUCKY PSYCHIATRIC PROVIDERS, BEHAVORIAL HEALTHCARE FOUNDATIONS, KENTUCKY MEDICAL SERVICES FOUNDATION, KENTUCKY ORGAN DONOR AFFILIATES, UNIVERSITY OF KENTUCKY HEALTHCARE COLLECTION SERVICE, THE FUND FOR ADVANCEMENT OF EDUCATION AND RESEARCH AT UK MEDICAL CENTER, BLUEGRASS STONE CENTER, THE UNIVERSITY HOSPITAL OF THE ALBERT B. CHANDLER MEDICAL CENTER

The Committee recommends that the general recommendations presented in Section A be adopted.

In reviewing the bylaws of the University Hospital it is not entirely clear to the Committee as to the extent of authority and/or oversight of the Hospital Board within the Medical Center beyond the University Hospital. What is clear, however, is that the Hospital Board does not exert any direct fiduciary control over the University Hospital. The Board reviews the Hospital's operating and capital budget and occasionally makes recommendations to the University Board of Trustees. Additionally, the Hospital Board reviews plans for improvements in patient care activities and quality care issues. The only area in which the Hospital Board exerts direct control is in the review and subsequent approval or disapproval of applications for medical staff membership and clinical privileges. Historically, the administrators of the University Hospital have operated in a responsible and open manner and the Hospital Board and the University

Board of Trustees have been kept informed of any major operational or capital expenditures.

However, with the above in mind and recognizing the significant role the Medical Center will play in the pursuit of Top 20 status, the Committee suggests that now would be an excellent time to assess the role of the UK Hospital Board. Is its role to serve strictly as an interface between the Hospital and the Board of Trustees, or should it (or a modified or expanded board) serve a larger oversight role within the Medical Center? Would it (or a modified board) serve best as a separate though subordinate board as is currently the case, or would it best serve as a committee of the University Board of Trustees? The Ad Hoc Committee does not have the answers but believes the questions should be asked.

<u>Recommendation</u>: The Committee recommends that the Administration examine the structure of the University Hospital Board to determine its mission, role and composition. The Committee also recommends that the Administration review the extent to which the Hospital Board should have authority over the various components of the Medical Center clinical enterprise. A look at the board structure of successful programs at benchmark institutions would perhaps provide useful direction.

Should the recommended review decide to maintain the Hospital Board in its current form and mission, it is the recommendation of the Committee that the bylaws of the Hospital Board be modified to reflect the following:

a) The voting membership of the Hospital Board should be expanded. The current membership of only five voting members has made it difficult to obtain a quorum on several occasions;

b) The voting members of the hospital board should serve a term of 3 years and may be reappointed;

c) The Hospital Board meetings should be held prior to the UK Board of Trustees meetings;

d) The agenda, meeting time, location and minutes of all meetings should continue be made available to all members of the UK Board of Trustees, and

e) The Chair of the Hospital Board should report to the UK Board of Trustees following each Hospital Board meeting.

3. *<u>OTHER AFFILIATED FOUNDATIONS AND CORPORATE BOARDS ON WHICH</u> <u>UK TRUSTEES ARE APPOINTED TO SERVE BY THE PRESIDENT AND/OR THE BOARD OF TRUSTEES</u> (excluding The University of Kentucky Athletic Association and the University Hospital of the Albert B. Chandler Medical Center which have been individually discussed in Sections B, 1 and 2, respectively.) INCLUDING: THE FUND FOR ADVANCEMENT OF EDUCATION AND RESEARCH IN THE UNIVERSITY OF KENTUCKY MEDICAL CENTER, THE UNIVERSITY OF KENTUCKY BUSINESS PARTNERSHIP FOUNDATION, THE UNIVERSITY OF KENTUCKY EQUINE RESEARCH FOUNDATION, THE UNIVERSITY OF KENTUCKY MINING FOUNDATION, AND UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION*

In addition to the general recommendations presented in Section A, the Committee has the following specific recommendations:

a) The trustee member of these boards should report to the UK Board of Trustees at least yearly about the activities of this foundation or corporation.

4. *<u>ALUMNI ASSOCIATIONS, SOCIAL CLUBS, AND FOUNDATIONS, INCLUDING</u>: THE UNIVERSITY OF KENTUCKY NATIONAL ALUMNI ASSOCIATION, THE UNIVERSITY OF KENTUCKY HUMAN ENVIRONMENTAL SCIENCES ALUMNI ASSOCIATION, THE UNIVERSITY OFKENTUCKY COLLEGE OF AGRICULTURE ALUMNI ASSOCIATION, THE UNIVERSITY OF KENTUCKY ASSOCIATION OF EMERITI FACULTY, THE UNIVERSITY OF KENTUCKY COLLEGE OF DENTISTRY ALUMNI*

ASSOCIATION, THE UNIVERSITY OF KENTUCKY ORTHODONTIC ALUMNI ASSOCIATION, THE UNIVERSITY OF KENTUCKY WOMEN'S CLUB, UNIVERSITY OF KENTUCKY HOSPITAL AUXILIARY, UNIVERSITY OF KENTUCKY FACULTY CLUB, SPINDLETOP HALL, THE EPHRAIM McDOWELL CANCER RESEARCH FOUNDATION, THE UNIVERSITY OF KENTUCKY CENTER ON AGING FOUNDATION, THE UNIVERSITY OF KENTUCKY HUMANITIES COUNCIL

a) The Committee recommends the general recommendations presented in Section A, and

b) Specifically, the Committee recommends that a University of Kentucky National Alumni Trustee representative report to the University of Kentucky Board of Trustees at either the August or September board meeting about Alumni Association activities.

5. ENTREPRENEURIAL/TECHNOLOGY DEVELOPMENT CORPORATIONS, INCLUDING: KENTUCKY TECHNOLOGY, EQUINE BIODOGNOSTIC, SECAT, SECAT FOUNDATION, KENTUCKY BIOTECHNOLOGY

a) The Committee recommends the general Recommendations presented in Section A, and

b) The board of directors of Kentucky Technology should meet on a regular basis, at least once a year.

6. *OTHER RELATED ORGANIZATIONS: UNIVERSITY OF KENTUCKY CREDIT UNION*

The Committee recommends the general recommendations presented in Section A.

CORPORATIONS WITH UK TRUSTEES

ON THE GOVERNING BOARD

CORPORATION	BOARD SIZE	TERM (Years)	TERM LIMITS	TRUSTEES ON BOARD	APPOINTED BY
University Hospital of the A. B. Chandler Medical Center, Inc.	10	Period of Appointment	So long as UK Trustee	5	Nominated by UK President, approved by UK Board of Trustees
Fund for the Advancement of Education and Research in the UK Medical Center	9	Not limited	None	1 (Chair of UK Board)	Articles designate 7 members, 2 are appointed by UK Board of Trustees
UK Athletic Association	20	3 Years	None	2	UK President
UK Research Foundation	9 to 12	3 Years	None	2	Nominated by UK President, approved by UK Board of Trustees
UK Equine Research Foundation	25	4 Years	2 Terms (8 Years)	1	UKRF Nominating Committee submits names to UK President for submission to UK Board of Trustees
UK Business Partnership Foundation, Inc.	30	4 Years	2 Terms (8 Years)	2	Nominating Committee submits names through Dean to UK President for submission to UK Board of Trustees
UK Mining Engineering Foundation, Inc.	34	4 Years	2 Terms (8 Years)	2	Nominating Committee submits names through Department and College to UK President for submission to UK Board of Trustees
UK Alumni Association	105	3 Years	3 Terms (9 Years)	3	Alumni designated UK Trustees automatically serve on Alumni Association Board
Kentucky Technology, Inc.	11	1 Year	None	1	Elected at annual shareholders meeting

April 30, 2002

AFFILIATED/RELATED CORPORATIONS

Corporation Name	Type	Founded	Agent Name	Annual Report	Senior Officer
CHA HMO, Inc.	Profit	3/13/1995	Steven Langford	10/15/2001	Frank Butler, President
CHA Provider Network, Inc.	Non-Profit	2/16/1995	Steven Langford	1/26/2001	Frank Butler, Director
CHA Service Company, Inc.	Profit	3/24/1995	Steven Langford	10/16/2001	Frank Butler, President
Kentucky Healthcare Enterprise, Inc.	Profit	12/15/1995	Frank Butler	6/25/2001	James Holsinger, President
Health Associates of Kentucky, Inc.	Profit	5/22/1998	David Bolt	6/25/2001	James Holsinger, President
Urgent Treatment Centers of Kentucky, Inc.	Profit	6/25/1993	Frank Butler	6/25/2001	James Holsinger, President
Kentucky Mental Health Providers, Inc.	Profit	5/22/1998	David Bolt	7/2/2001	James Holsinger, Sole Officer
Kentucky Psychiatric Providers, Inc.	Profit	5/22/1998	David Bolt	7/19/2001	Lon Hayes, President
Behavorial Healthcare Alliance, LLC	Inactive	8/31/1998	CT Corporation	10/9/2001	Ali Elhaz, Organizer
Commonwealth Healthcare Foundation, Inc.	Non-Profit	5/22/1998	David Bolt	6/28/2001	James Holsinger, President
Kentucky Medical Services Foundation	Non-Profit	6/19/1978	William Merritt	5/29/2001	Robert Mentzer, President
Region 5 Managed Care Organization, LLC	Inactive	8/29/1997	Steven Langford	10/26/2001	Frank Butler, Manager
University of Kentucky Center on Aging Foundation, Inc.	Non-Profit	3/20/1986	Lindsey Ein	5/23/2001	James Holsinger, President
Kentucky Organ Donor Affiliates, Inc.	Non-Profit	3/2/1987	Paul O'Flynn	7/17/2001	Bruce Lucas, President
University of Kentucky College of Dentistry Alumni Assoc.	Non-Profit	2/15/1996	Theresa Williams	6/13/2001	Lou Sievers, President
University of Kentucky Healthcare Collection Service	Non-Profit	11/5/1973	Dennis Kamann	4/9/2001	James Holsinger, President
The Fund for Advancement of Education and Research at UK Medical Center	Non-Profit	11/23/1959	James Holsinger	5/22/2001	James Holsinger, President
University Hospital of the Albert B. Chandler Medical Center	Non-Profit	6/3/1987	Frank Butler	5/4/2001	Robert P. Meriwether, MD, President
University of Kentucky Orthodontic Alumni Association, Inc.	Non-Profit	7/16/1979	Jay Lawless	3/23/2001	Jay Lawless, President
Ephraim McDowell Cancer Research Foundation Corp.	Non-Profit	9/8/1975	Laban Jackson	4/4/2001	William S. Farish, President
Bluegrass Stone Center, Inc.	Non-Profit	4/14/1987	Frank Butler	6/4/2001	Frank Butler, Chairman
Centennial-Kentucky, Inc.	Non-Profit	6/1/1999	S & H Lexington	5/9/2001	Frank Butler, President
University of Kentucky Hospital Auxiliary, Inc.	Non-Profit	7/9/1996	Clara Wilson	7/2/2001	Jeanie Lee, President
University of Kentucky Athletic Association	Non-Profit	11/23/1945	Larry Ivy	5/9/2001	Lee T. Todd, Jr., President
University of Kentucky Basketball Museum	Non-Profit	1/28/1994	1400 Corporation	4/23/2001	James LeMaster, President
University of Kentucky Varsity Letter Association, Inc.	Non-Profit	9/2/1970	Garrett Headley	7/24/2001	Garrett Headley, President
Committee of 101	Non-Profit	2/1/1968	Van Florence	4/6/2001	Van Florence, President
Wildcat Foundation, Inc.	Non-Profit	9/8/1976	W. Brent Rice	4/13/2001	W. Brent Rice, Secretary
Wildcat Baseball Boosters, Inc.	Inactive	10/29/1980	David G. Vest	7/1/1981	Inactive
Wildcat Aquatics	Non-Profit	11/3/1989	Gary Conelly	4/24/2001	Gary Conelly
Wildcat Aquatics Parents Association	Non-Profit	10/3/1989	Sarah Cowden	4/9/2001	Sarah Cowden, President
Kentucky Technology, Inc.	Profit	8/11/1988	Edward Carter	4/23/2001	Edward Carter, Sole Officer
Equine Biodiagnostic, Inc.	Profit	11/4/1994	Edward Carter	5/18/2001	Edward Carter, President
SECAT, Inc.	Profit	8/24/1999	Subodh Das	6/1/2001	Subodh Das, President
SECAT Foundation, Inc.	Non-Profit	10/29/2001	Subodh Das		Thomas Lester, Initial Director
Kentucky Bio-Technology, Inc.	Profit	4/19/2000	Edward Carter	5/24/2001	Edward Carter, President
University of Kentucky Research Foundation	Non-Profit	5/18/1945	Jack Supplee	7/2/2001	Lee T. Todd, Jr., President
University of Kentucky Equine Research Foundation	Non-Profit	8/2/1984	Richard Plymale	6/15/2001	Lee T. Todd, Jr., President
University of Kentucky Business Partnership Foundation	Non-Profit	8/31/1981	Richard Furst	4/11/2001	Richard Furst, President
University of Kentucky Mining Engineering Foundation	Non-Profit	3/1/1983	Richard Plymale	4/2/1992	*Currently in Bad Standing by Sec. Of State
University of Kentucky Humanities Foundation	Non-Profit	12/19/1985	Michael Nietzel	5/14/2001	Joan McGreachy
University of Kentucky Alumni Association	Non-Profit	10/13/1933	Stan Key	5/11/2001	Sandy Patterson, President
University of Kentucky College of Agriculture Alumni	Non-Profit	9/17/1975	James Lawson	4/11/2001	Jeff Pendleton, President
University of Kentucky Human Environmental Science Alumni	Non-Profit	10/5/1978	Fredda Sue Moo	7/9/2001	Laura Arnold, President
University of Kentucky Association of Emeriti Faculty, Inc.	Non-Profit	12/6/1994	Jean Pival	6/26/2001	Edgar Sagan, Director
University of Kentucky Woman's Club	Non-Profit	5/23/1977	Margaret Parker	3/29/2001	Sally Leukefeld, President
University of Kentucky Faculty Club	Non-Profit	4/17/1986	Lynn Williamson	9/20/2001	Glenn Collins, President
University of Kentucky Federal Credit Union	Credit Un.	4/20/1905	Mark Engel	12/31/2001	David Adkins, Chairman
Spindletop Hall, Inc.	Non-Profit	4/24/1967	R. Bruce Lankford	3/21/2001	John R. Adams, President

UNIVERSITY OF KENTUCKY
AFFILIATED & RELATED ORGANIZATIONS
as of April 1, 2002

Name of Organization		***Included in UK Budget Approved by Board of Trustees***	***Included in UK Consolidated Financial Statements***	***Included in UK External Audit Contract***	***Primary UK Organization or Program***
Affiliated Corporations					
University of Kentucky Research Foundation	Established in 1945	Yes	Yes	Yes	Organized Research
University of Kentucky Athletic Association	Established in 1945	Yes	Yes	Yes	Intercollegiate Athletics
The Fund for Advancement of Education and Research at the UK Medical Center	Established in 1959	Yes	Yes	Yes	Medical Center
Health Care Collection Service Inc	Established in 1973	No	Yes	Yes	Medical Center
University of Kentucky Business Partnership Foundation Inc.	Established in 1981	Yes	Yes	Yes	College of Business and Economics
University of Kentucky Mining Engineering Foundation Inc.	Established in 1983	Yes	Yes	Yes	College of Engineering
University of Kentucky Equine Research Foundation Inc.	Established in 1984	Yes	Yes	Yes	College of Agriculture
University of Kentucky Humanities Foundation Inc.	Established in 1985	Yes	Yes	Yes	Humanities Program
University of Kentucky Center on Aging Foundation Inc.	Established in 1986	Yes	Yes	Yes	Medical Center
For Profit Entities Owned by UK					
Kentucky Technology, Inc.	Established in 1988 Subsidiary of UKRF	No	Yes	Yes	Economic Development/ Technology Transfer
Equine Biodiagnostic, Inc.	Established in 1994 Subsidiary of KTI	No	Yes Included in KTI	Yes Included in KTI	Economic Development/ Technology Transfer
SECAT, Inc.	Established in 1999 Subsidiary of KTI	No	Yes Included in KTI	Yes Included in KTI	Economic Development/ Technology Transfer
Kentucky Bio-Technology, Inc.	Established in 2000 Subsidiary of KTI	No	Yes Included in KTI	Yes Included in KTI	Economic Development/ Technology Transfer

UNIVERSITY OF KENTUCKY
AFFILIATED & RELATED ORGANIZATIONS
as of April 1, 2002

Name of Organization		***Included in UK Budget Approved by Board of Trustees***	***Included in UK Consolidated Financial Statements***	***Included in UK External Audit Contract***	***Primary UK Organization or Program***
CHA Service Company, Inc.	Established in 1995	No	Yes Investment Basis	No Deloitte & Touche	Medical Center
CHA HMO, Inc.	Subsidiary of CHA	No	Yes Included in CHA	No Included in CHA	Medical Center
CHA Provider Network, Inc.	Subsidiary of CHA	No	Yes Included in CHA	No Included in CHA	Medical Center
Kentucky Healthcare Enterprise, Inc.	Established in 1995	No	Yes	Yes	Medical Center
Behavioral Healthcare Alliance, LLC	Established in 1998 Subsidiary of KHE	No	Yes Included in KHE	Yes Included in KHE	Medical Center
Kentucky Psychiatric Providers, Inc.	Subsidiary of KHE	No	Yes Included in KHE	Yes Included in KHE	Medical Center
Not for Profit Entities Owned by UK					
Bluegrass Stone Center, Inc.	Established in 1987	No	Yes Investment Basis	No Potter & Co LLP	Medical Center
University of Kentucky Albert B. Chandler Medical Center Hospital	Non-Operating Entity Established in 1987	No	No	No	Medical Center
Organizations with Operating Contracts/Agreements with UK					
University of Kentucky Hospital Auxiliary, Inc.	Established in 1963	No	No	Yes	Medical Center
Kentucky Medical Services Foundation, Inc.	Established in 1978	No	No	No Dean, Dorton & Ford	Medical Center
University of Kentucky Basketball Museum		No	No	No Foley & Luby	Intercollegiate Athletics
Booster Organizations					
University of Kentucky Varsity Letter Association, Inc.		No	No	No	Intercollegiate Athletics

UNIVERSITY OF KENTUCKY
AFFILIATED & RELATED ORGANIZATIONS
as of April 1, 2002

Name of Organization		***Included in UK Budget Approved by Board of Trustees***	***Included in UK Consolidated Financial Statements***	***Included in UK External Audit Contract***	***Primary UK Organization or Program***
Committee of 101		No	No	No	Intercollegiate Athletics
Wildcat Foundation		No	No	No	Intercollegiate Athletics
Baseball Booster Club		No	No	No	Intercollegiate Athletics
Wildcat Aquatics		No	No	No	Intercollegiate Athletics
Wildcat Aquatics Parents Association		No	No	No	Intercollegiate Athletics
Alumni Organizations					
University of Kentucky Alumni Association	Established in 1933	No	No	Yes	Alumni Affairs
University of Kentucky College of Agriculture Alumni	Established in 1975	No	No	No Paul E. Cravens CPA	College of Agriculture
University of Kentucky Human Environmental Science Alumni	Established in 1978	No	No	No	College of Human Environmental Sciences
University of Kentucky Orthodontic Alumni Association, Inc.	Established in 1979	No	No	No	College of Dentistry
University of Kentucky College of Dentistry Alumni Association	Established in 1999	No	No	No	College of Dentistry
Social Organizations					
University of Kentucky Women's Club	Established in 1977	No	No	No	Women Affiliated with UK
University of Kentucky Faculty Club	Established in 1986	No	No	No	Faculty/Staff
University of Kentucky Emeriti Faculty Association	Established in 1994	No	No	No	Retired Facuty/Staff
Spindletop Hall, Inc.		No	No	No Kring, Cohen, Sagaser, Besten, PS C	Facuty/Staff/Alumni
Other Related Organizations					

UNIVERSITY OF KENTUCKY
AFFILIATED & RELATED ORGANIZATIONS
as of April 1, 2002

Name of Organization		***Included in UK Budget Approved by Board of Trustees***	***Included in UK Consolidated Financial Statements***	***Included in UK External Audit Contract***	***Primary UK Organization or Program***
University of Kentucky Federal Credit Union	Established in 1937	No	No	Yes	Central Administration
Ephraim McDowell Cancer Research Foundation Corp.	Established in 1978	No	No	No Lawton, Lee & Assoc	Medical Center
Kentucky Organ Donor Affiliates, Inc.	Established in 1987	No	No	No Deloitte & Touche	Medical Center
SECAT Foundation, Inc.	Established in 2001	No	No	No	Organized Research
Defunct/Inactive Organizations					
Health Associates of Kentucky, Inc.	Defunct	N/A	N/A	N/A	Medical Center
Urgent Treatment Centers of Kentucky, Inc.	Defunct	N/A	N/A	N/A	Medical Center
Kentucky Mental Health Care Providers	Inactive Subsidiary of KHE	N/A	N/A	N/A	Medical Center
Commonwealth Healthcare Foundation, Inc.	Inactive Subsidiary of KHE	N/A	N/A	N/A	Medical Center
Region 5 Managed Care Organization LLC	Defunct	N/A	N/A	N/A	Medical Center
Centennial Kentucky	Inactive	N/A	N/A	N/A	Medical Center

The University of Kentucky Administration Building

Design Team

James W. Potts Architects, Architect of Record
Staggs And Fisher, Mechanical and Electrical Engineers
Poage and Associates, Structural Engineers
Charles A. Phillips, AIA, Architectural Conservator

President's Advisory Committee

Stephanie Letchert, Graduate Student studying historic preservation
Dennis Domer, Lancaster Distinguished Professor of Historic Preservation
Dan Rowland, Director, Gaines Center for the Humanities
Susan Mullins, Executive Director, Bluegrass Trust for Historic Preservation
David Morgan, State Historic Preservation Officer and
Executive Director, Kentucky Heritage Council



Old Main/Administration Building
University of Kentucky
Built in 1882
Neo Classical



Adelbert Hall
Case Western Reserve
Cleveland, Ohio
Built in 1882
Romanesque Revival with
Italianate features added



A recent view of Adelbert Hall

The Administration Building –
A familiar sight to many Kentuckians



Two buildings with a lot in common

- Physical change has been a constant for both buildings throughout their history.
- Both buildings housed a chapel, bookstore, classrooms and administrative offices.
- Both buildings are at the heart of their respective University's identity.
- **Both buildings were severely damaged by fire**



Adelbert Hall – June 1991



Administration Building
May 15, 2001

Both Universities were in danger of losing a precious link to their past. The first step in each case was to stabilize the structure and protect the remaining building components from the elements. Fortunately for the University of Kentucky, a team of experts was on hand to begin this process immediately.



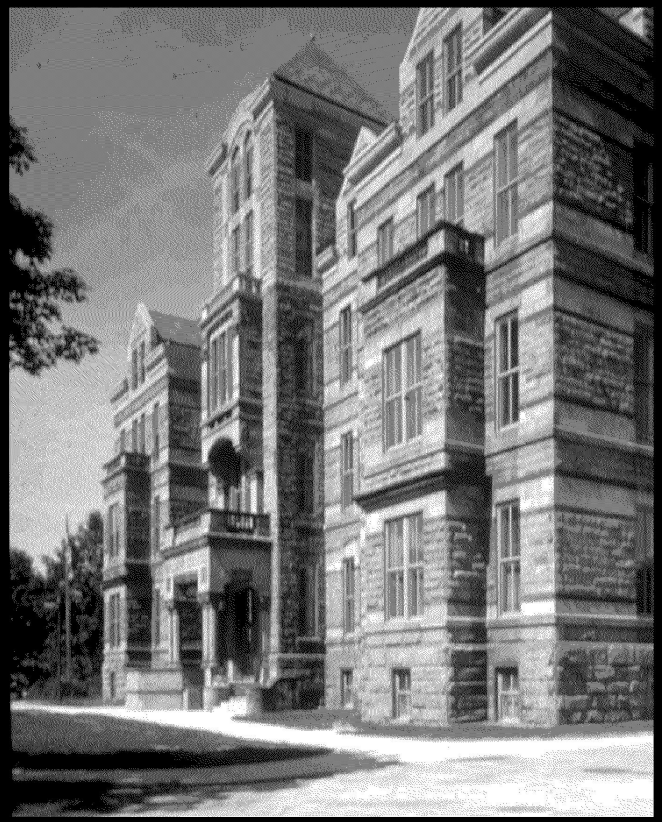
Adelbert Hall



Administration Building

Fire reduced both buildings to a shell, leaving only the exterior walls to build upon. In each case a great loss was suffered but also a great opportunity was created-to improve upon the original condition.







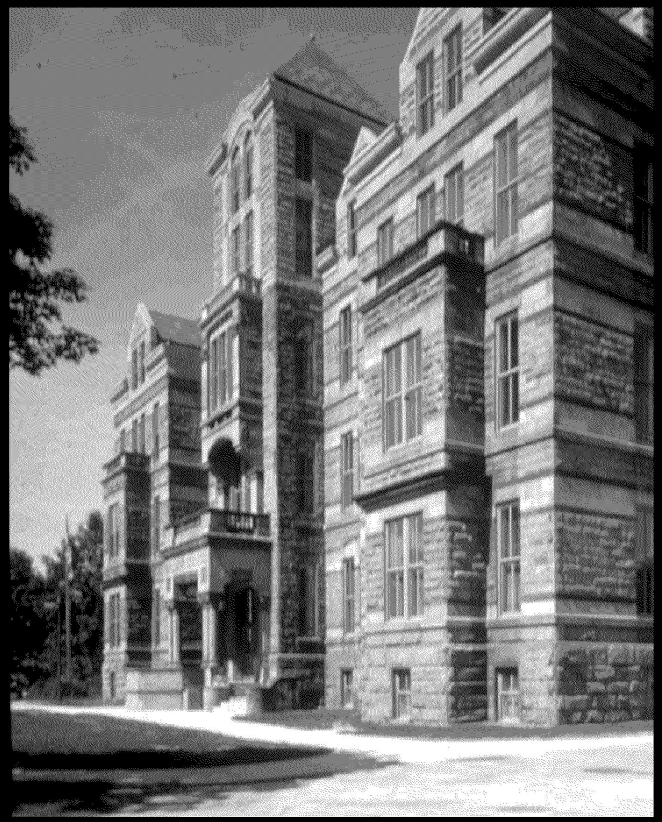






Adelbert Hall as it looks today

"Preserve the character of the old building but if you can find ways to improve it we will listen " said Frank Borchert, Jr., Case Western Reserve's vice president for budget and planning. The response was an "interpretive reconstruction" designed by Kliment and Halsband Architects. This landmark structure has been given a second life and is outfitted to take the Case Western Reserve into the next century.

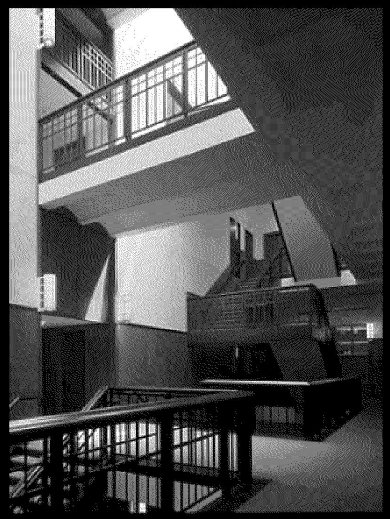


Adelbert Hall as it looks today









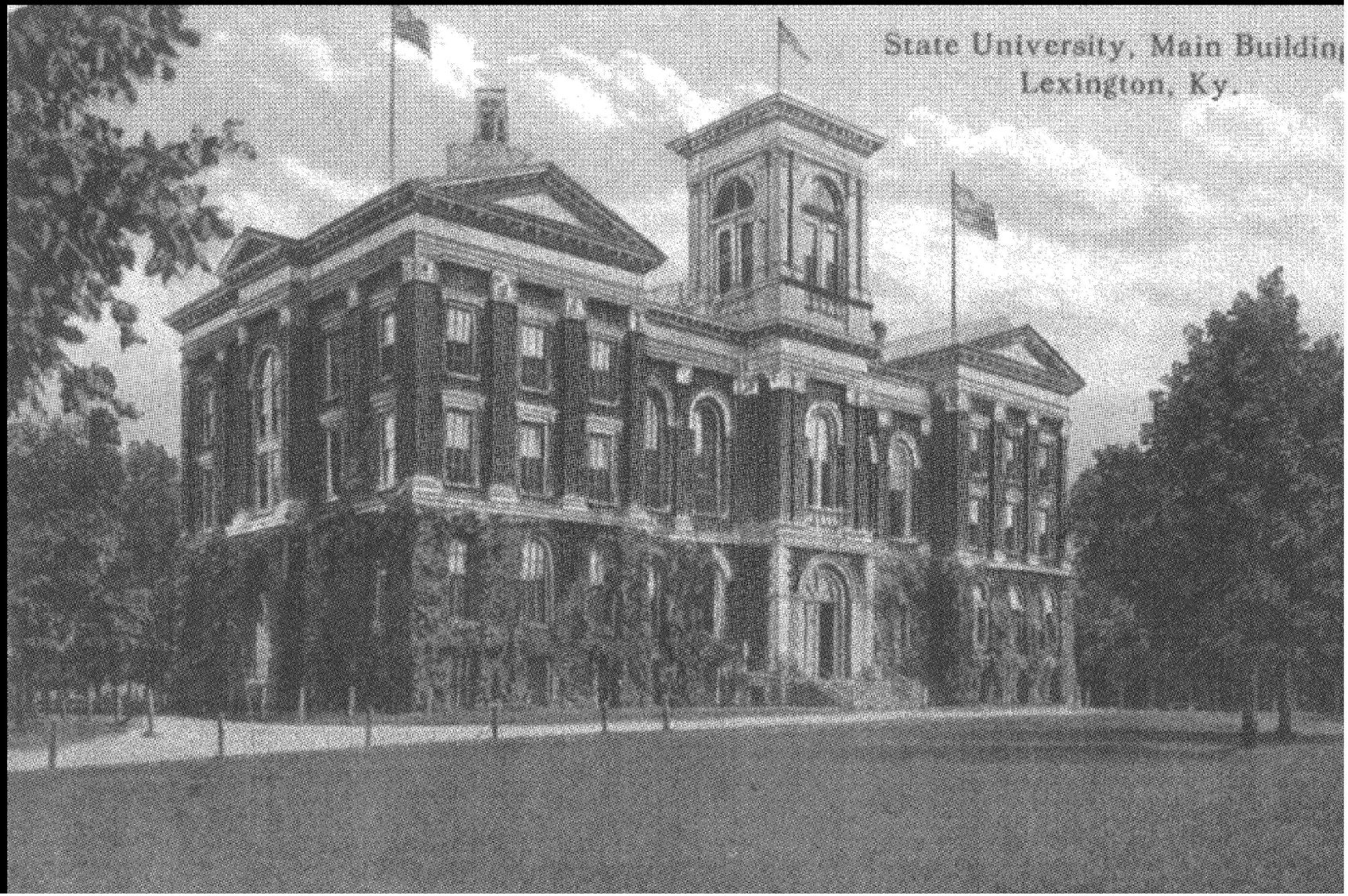
Reflect the past and illuminate the future

Introducing Frances Halsband and Robert M. Kliment who will present the schematic design for the reconstruction of the Administration Building.








State University, Main Building
Lexington, Ky.



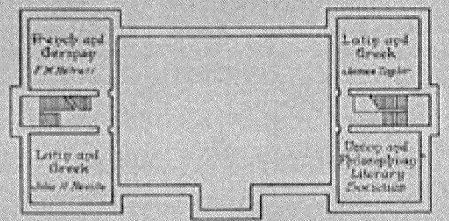




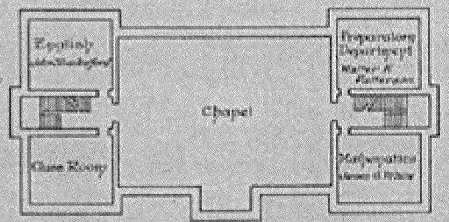
ADMINISTRATION BUILDING — 1882

Provided Space for all University functions, including Chapel, Armory, Academy, Literary Societies and Geological Survey.

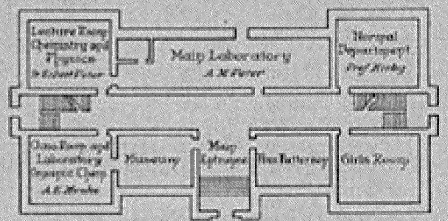
Drawings showing use of space



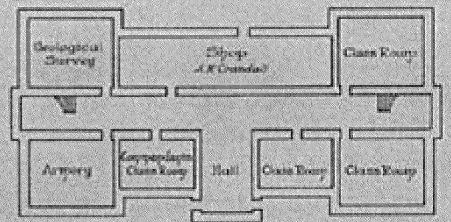
THIRD FLOOR



SECOND FLOOR

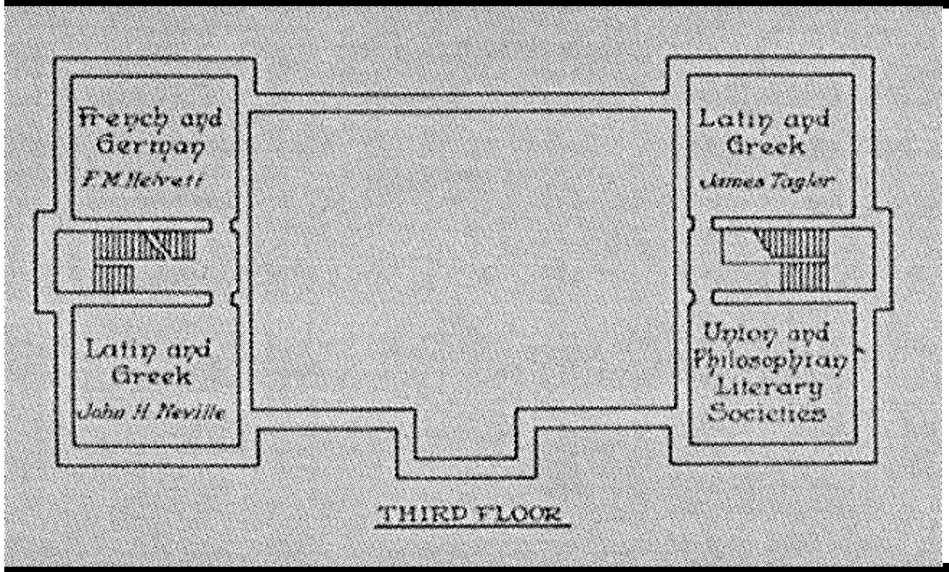


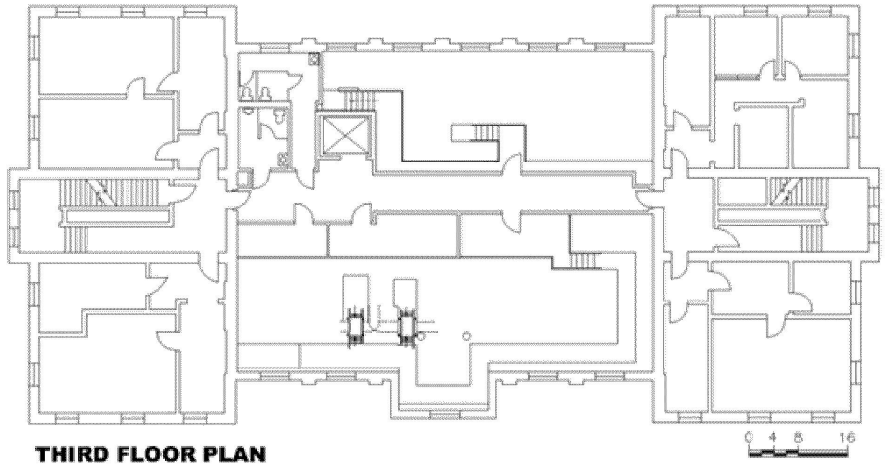
FIRST FLOOR



BASEMENT

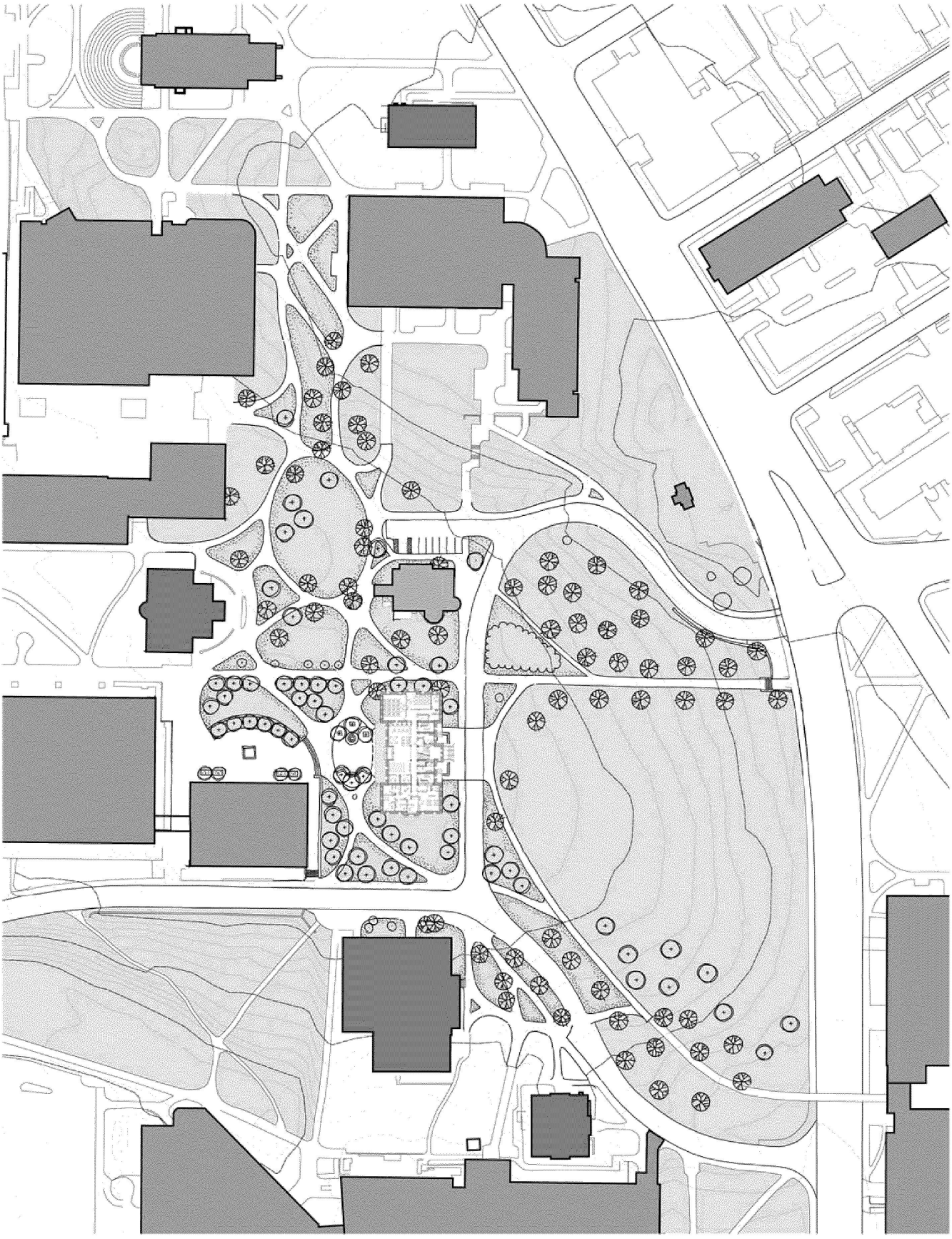
Note — Based on information given by Dr. A. M. Fisher

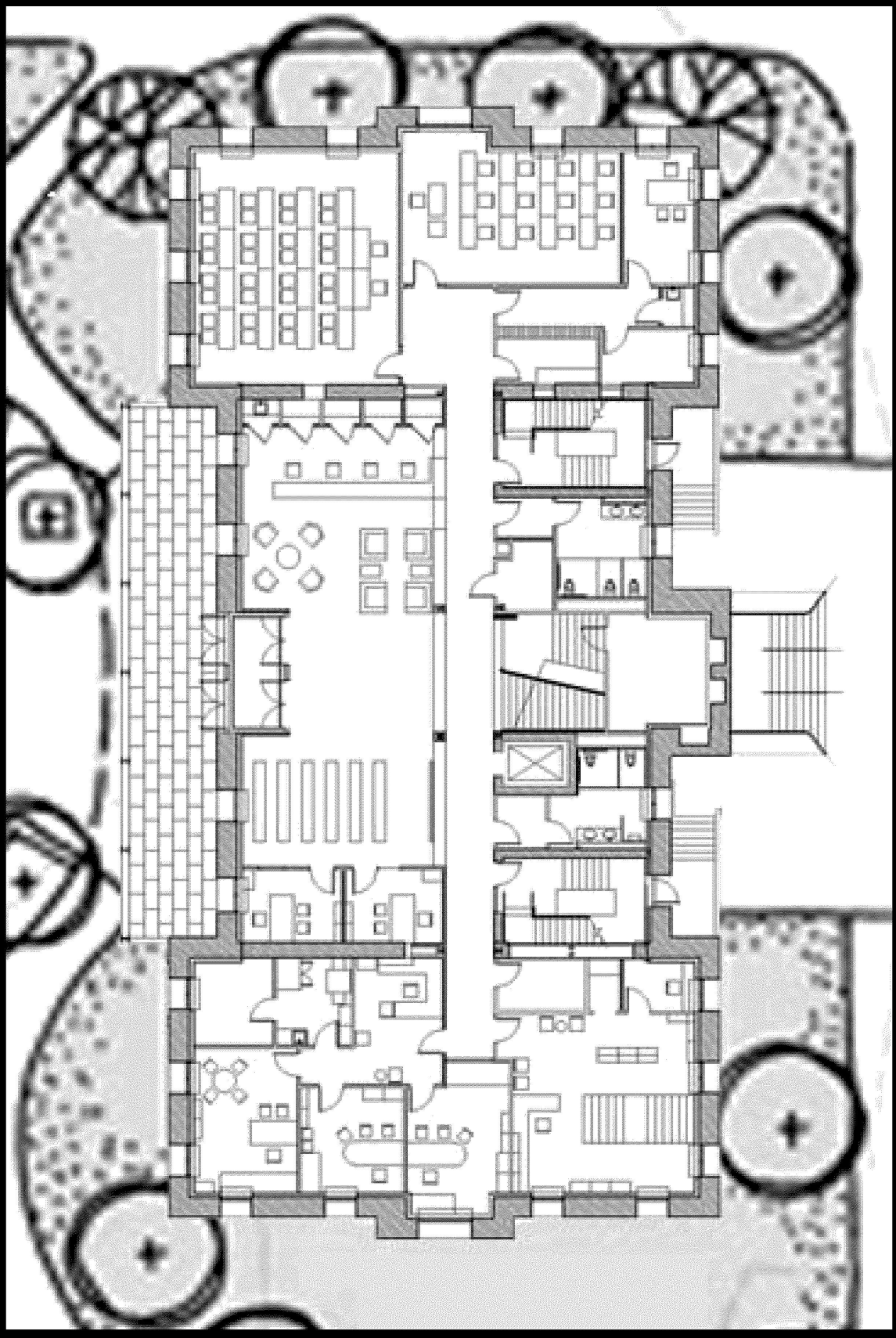


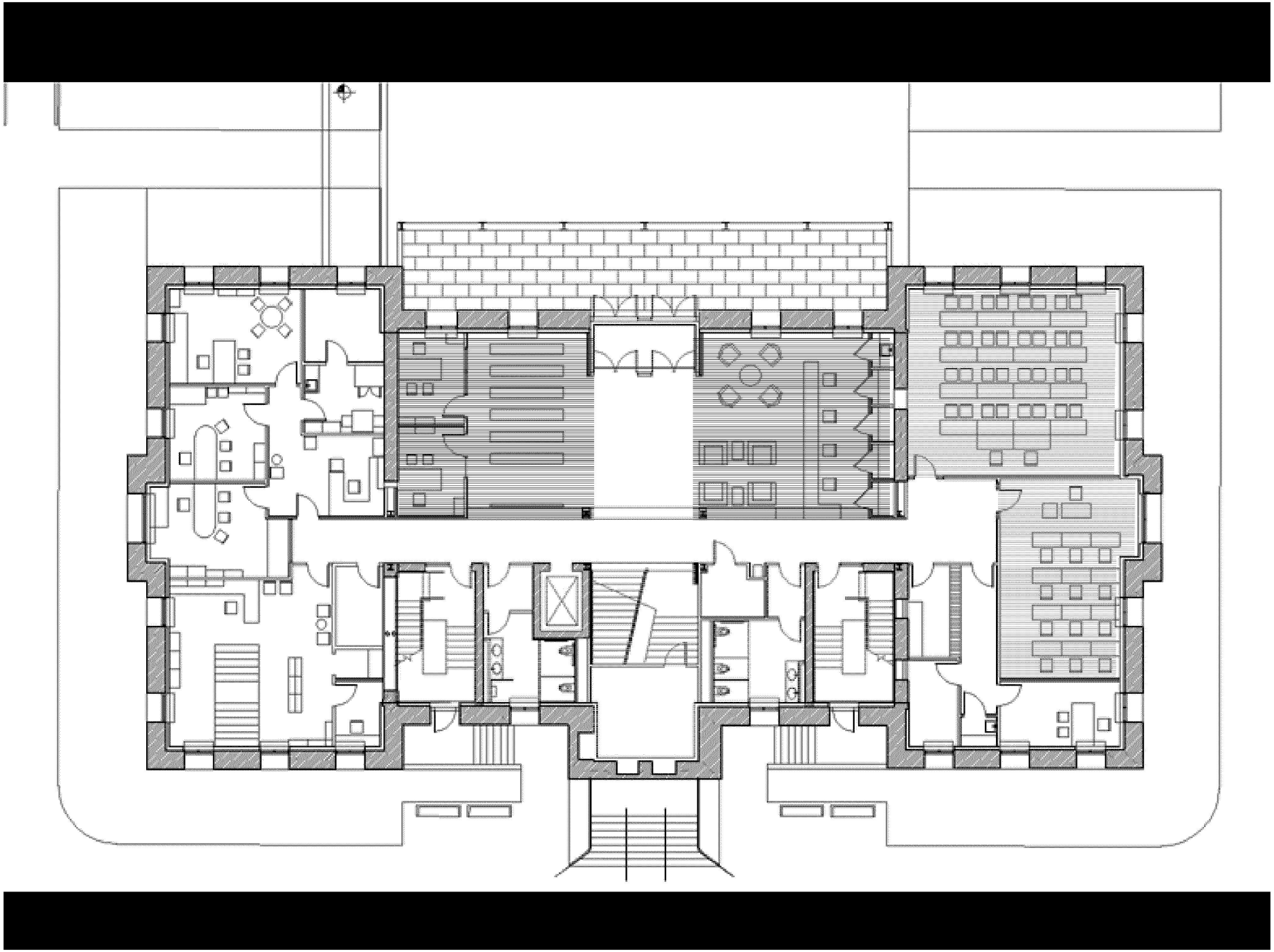


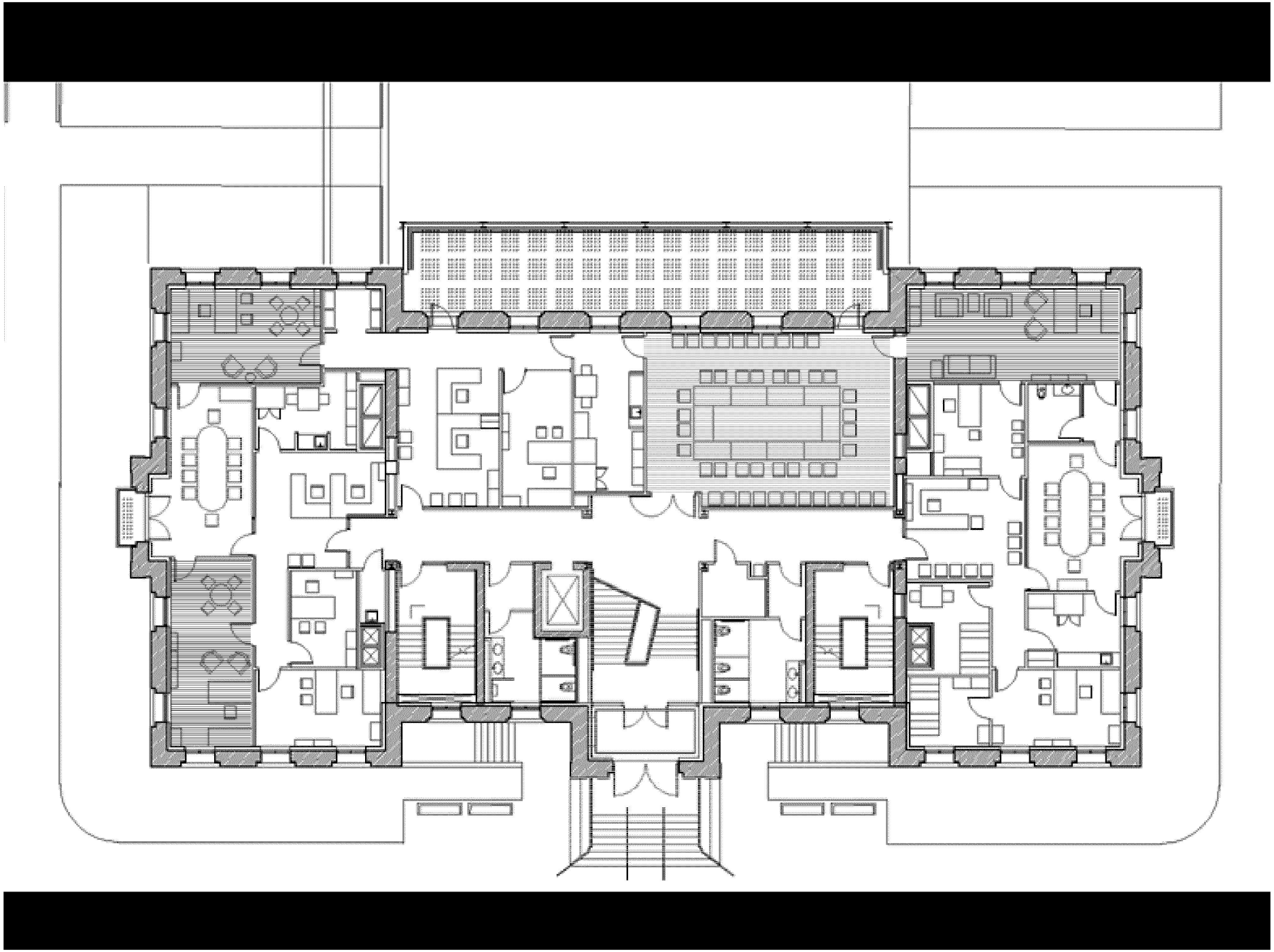
THIRD FLOOR PLAN

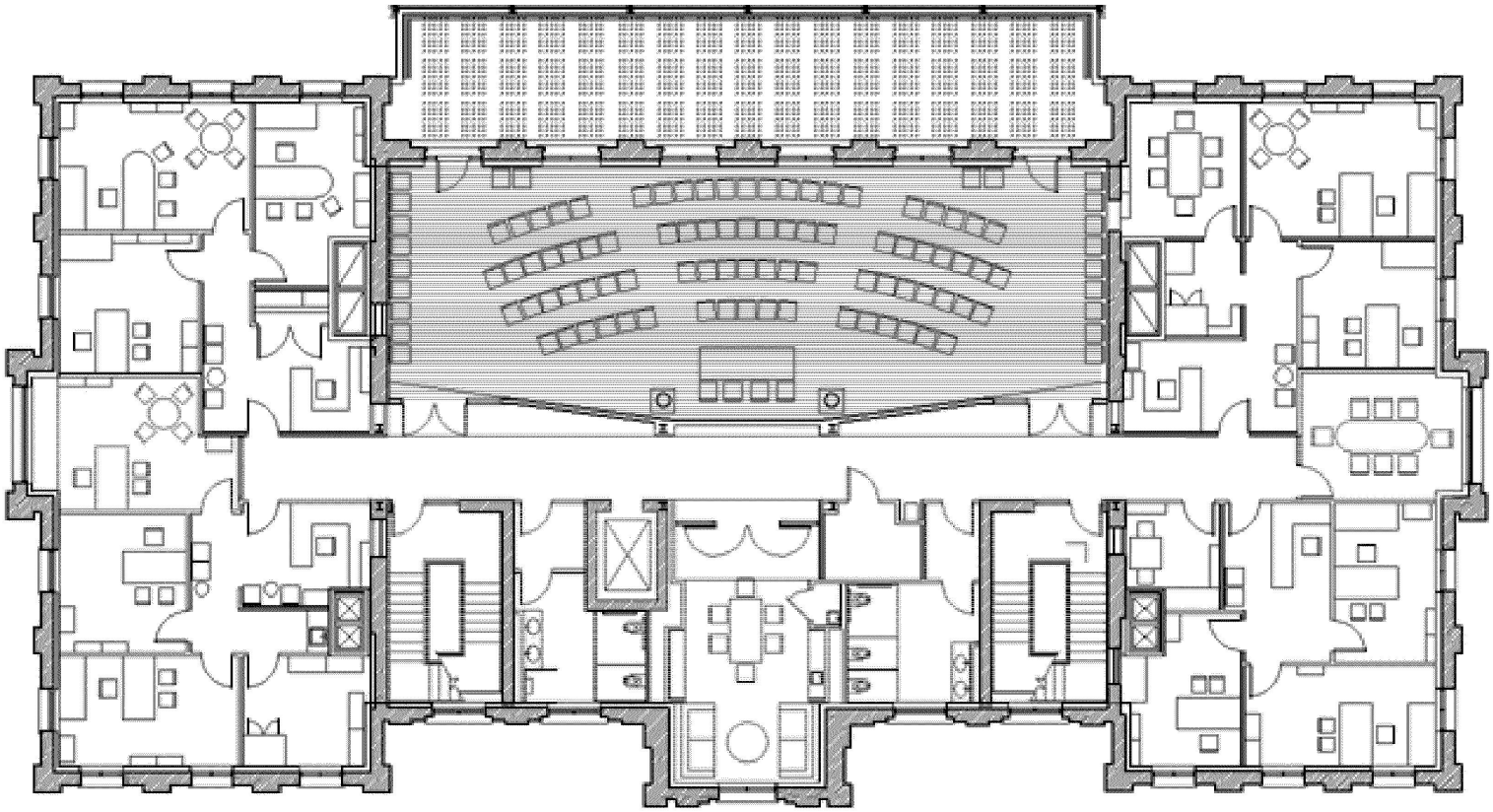
SOUTH

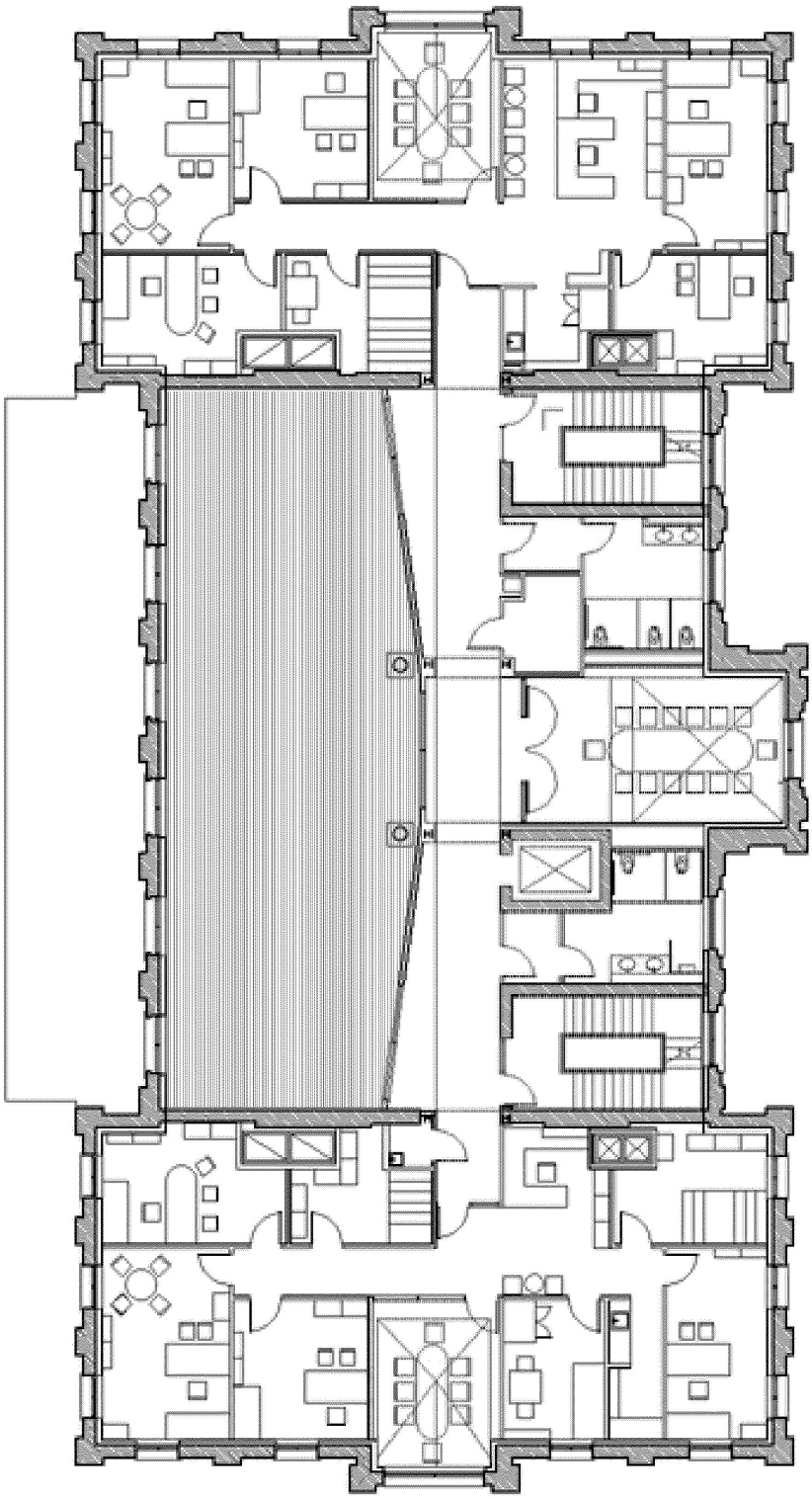


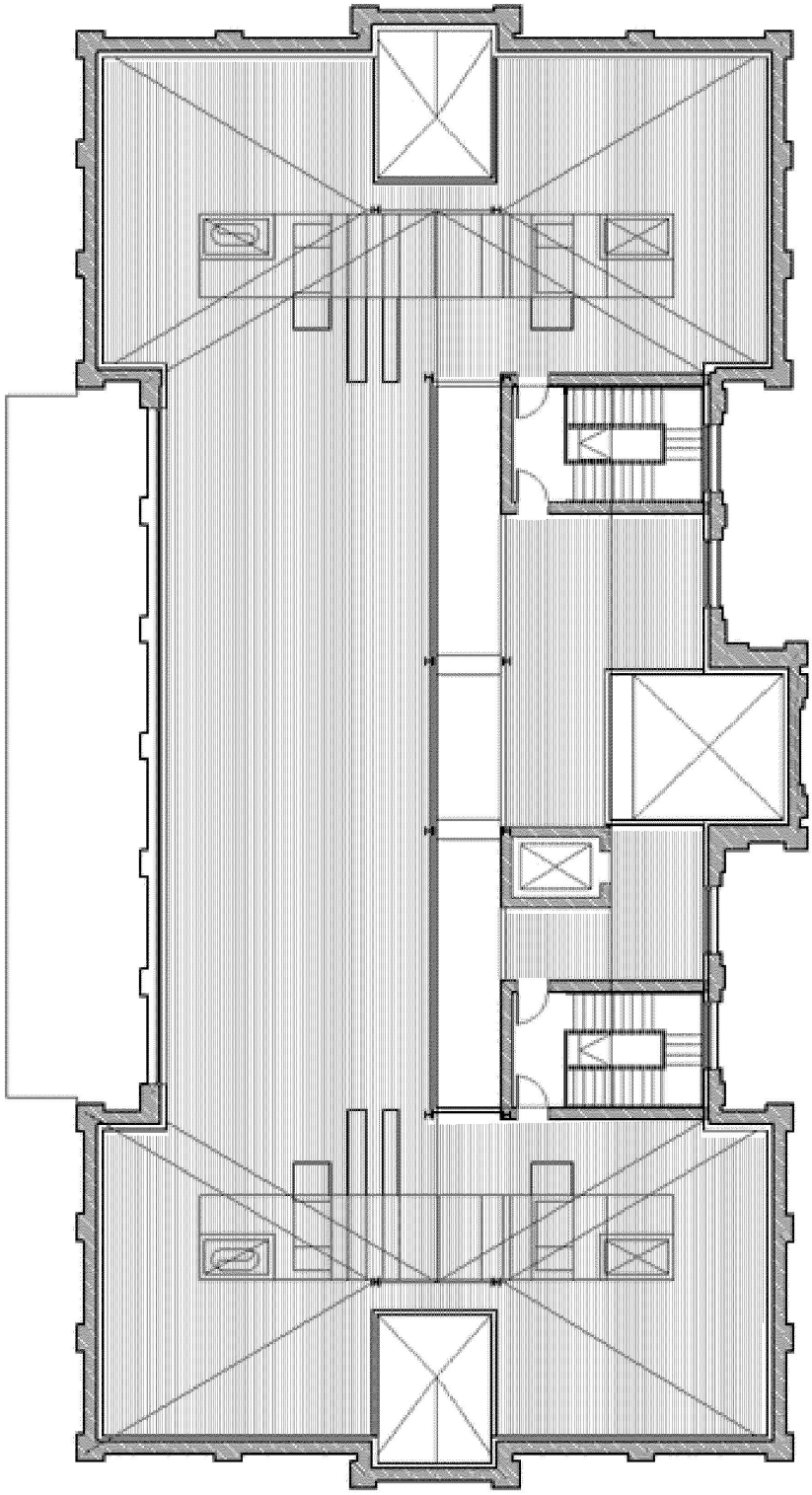


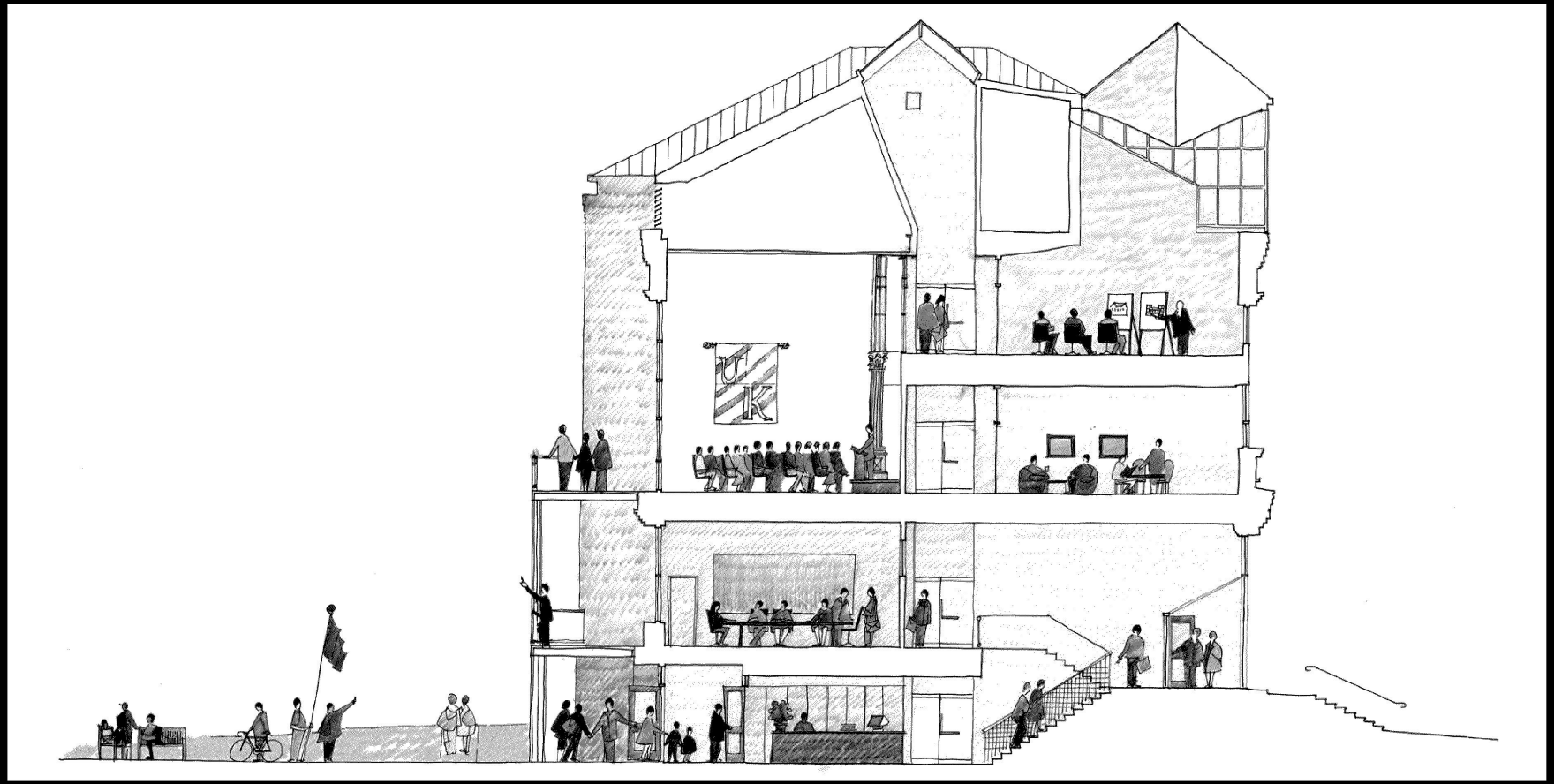


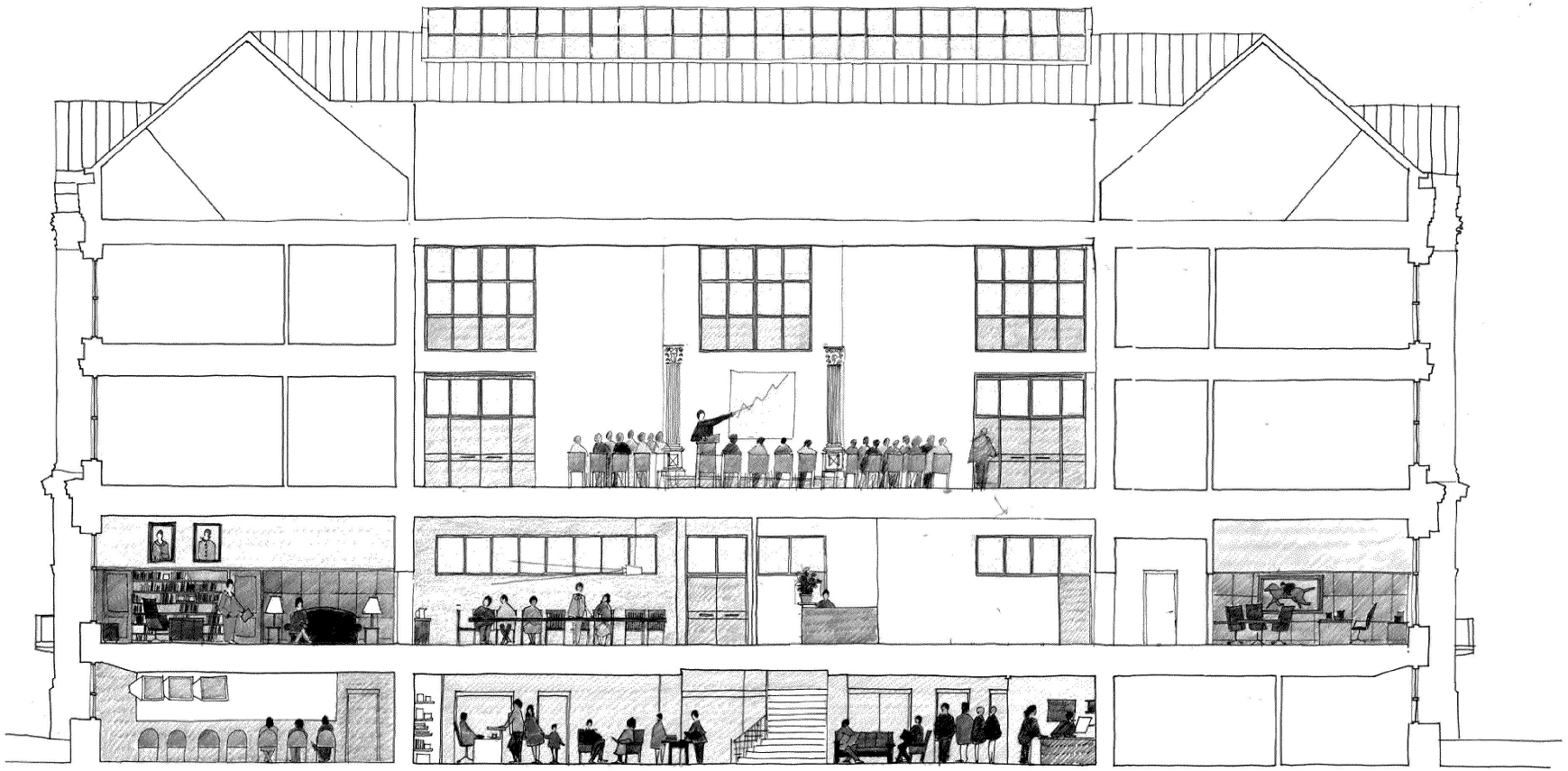


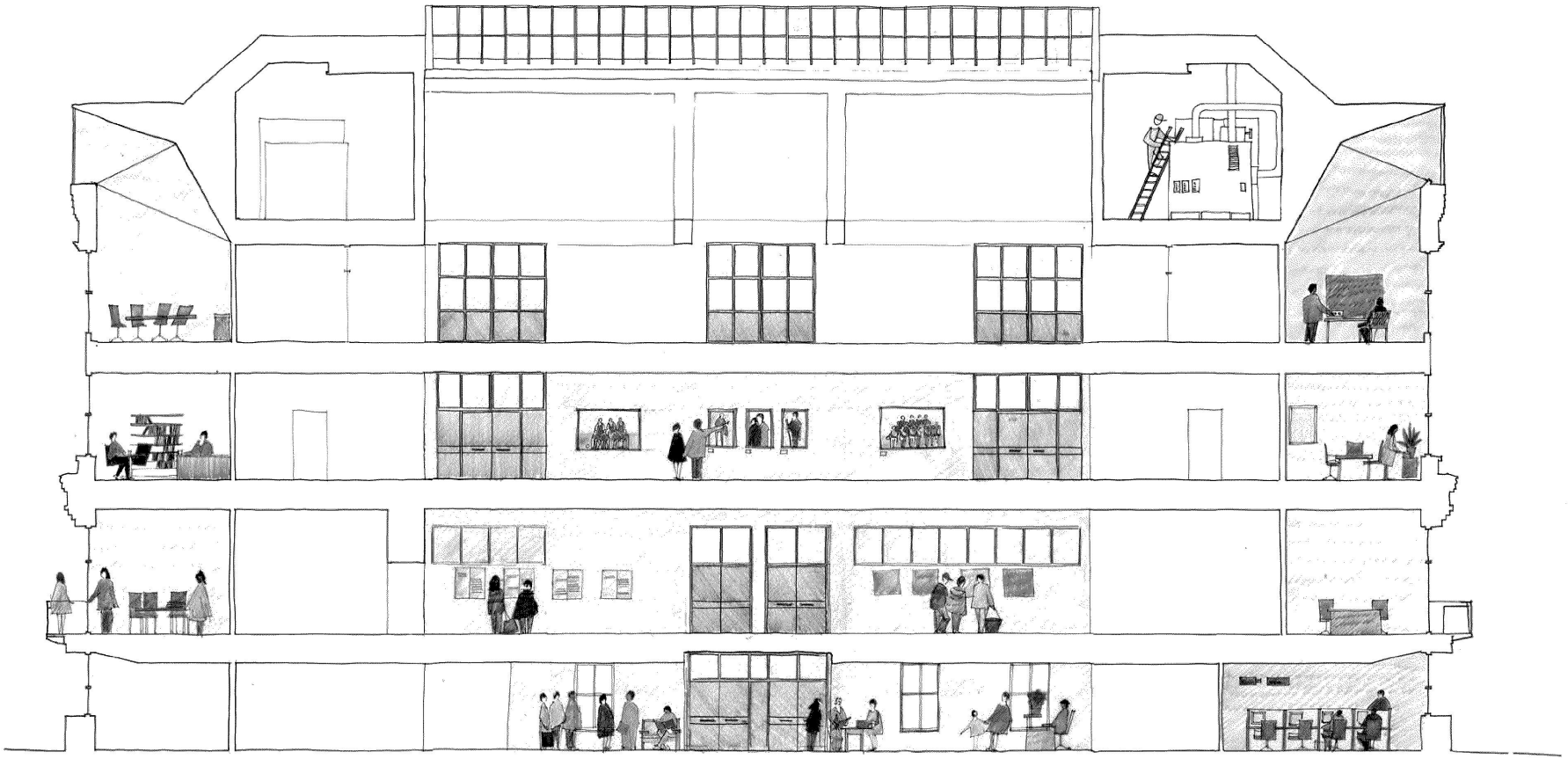










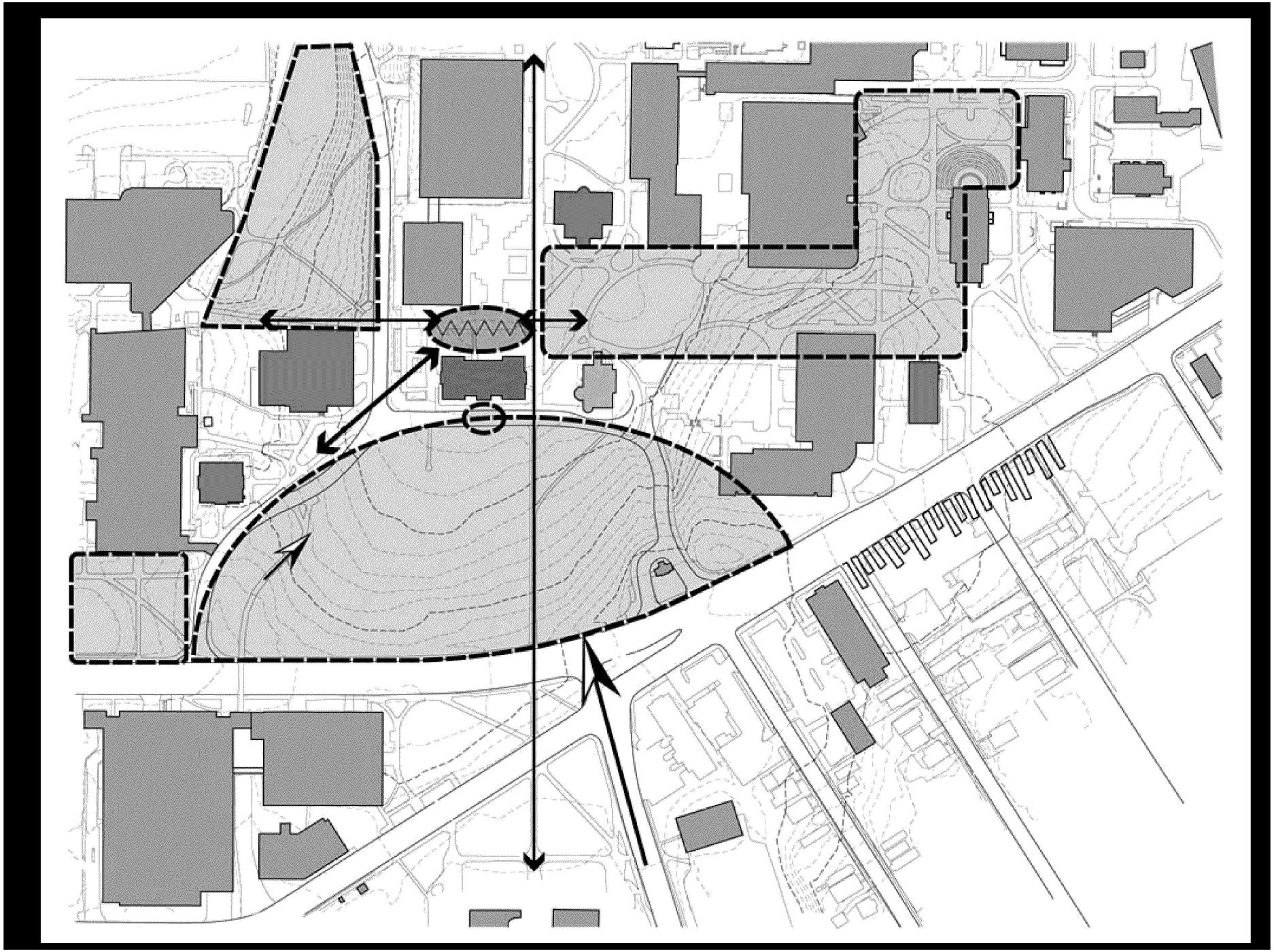


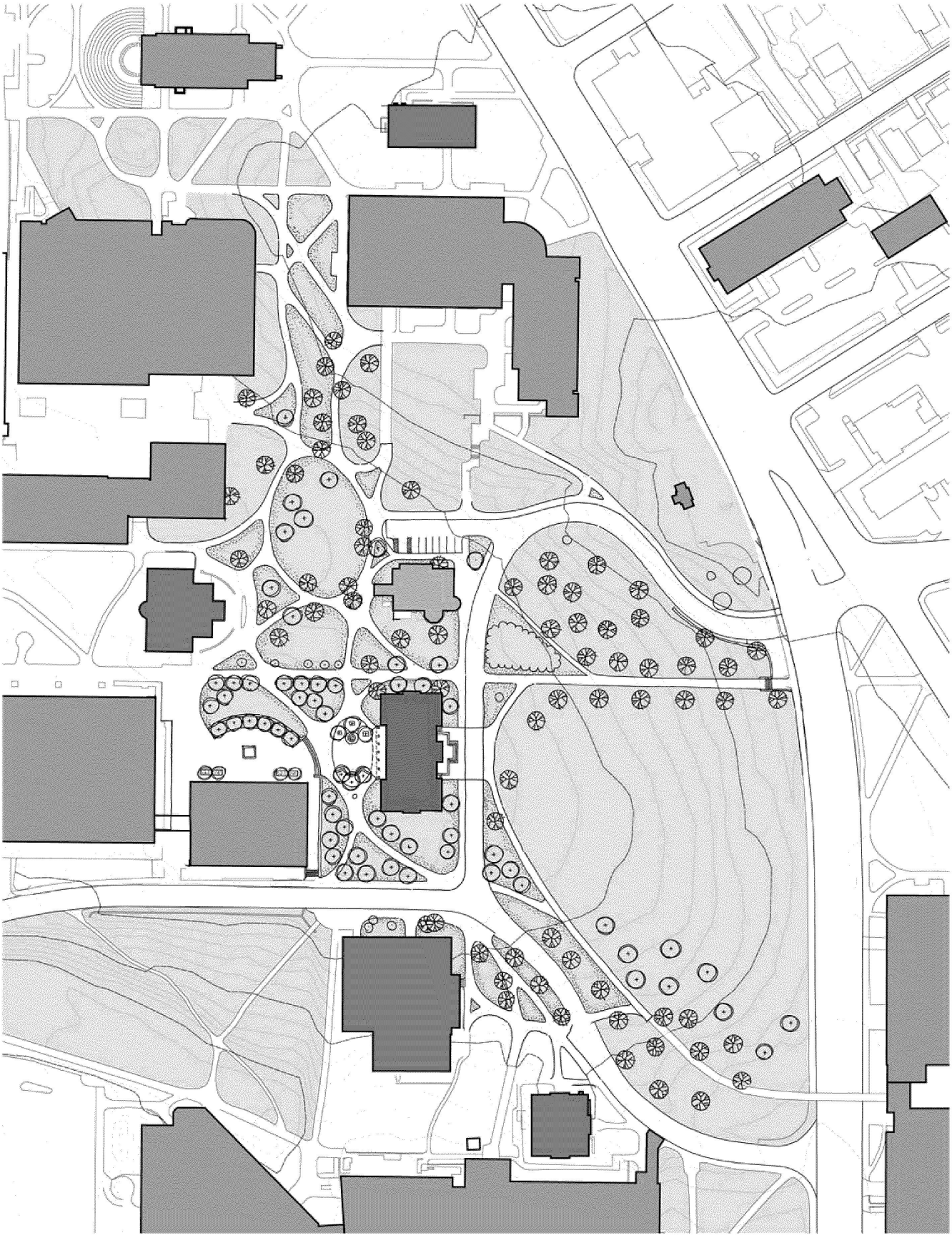


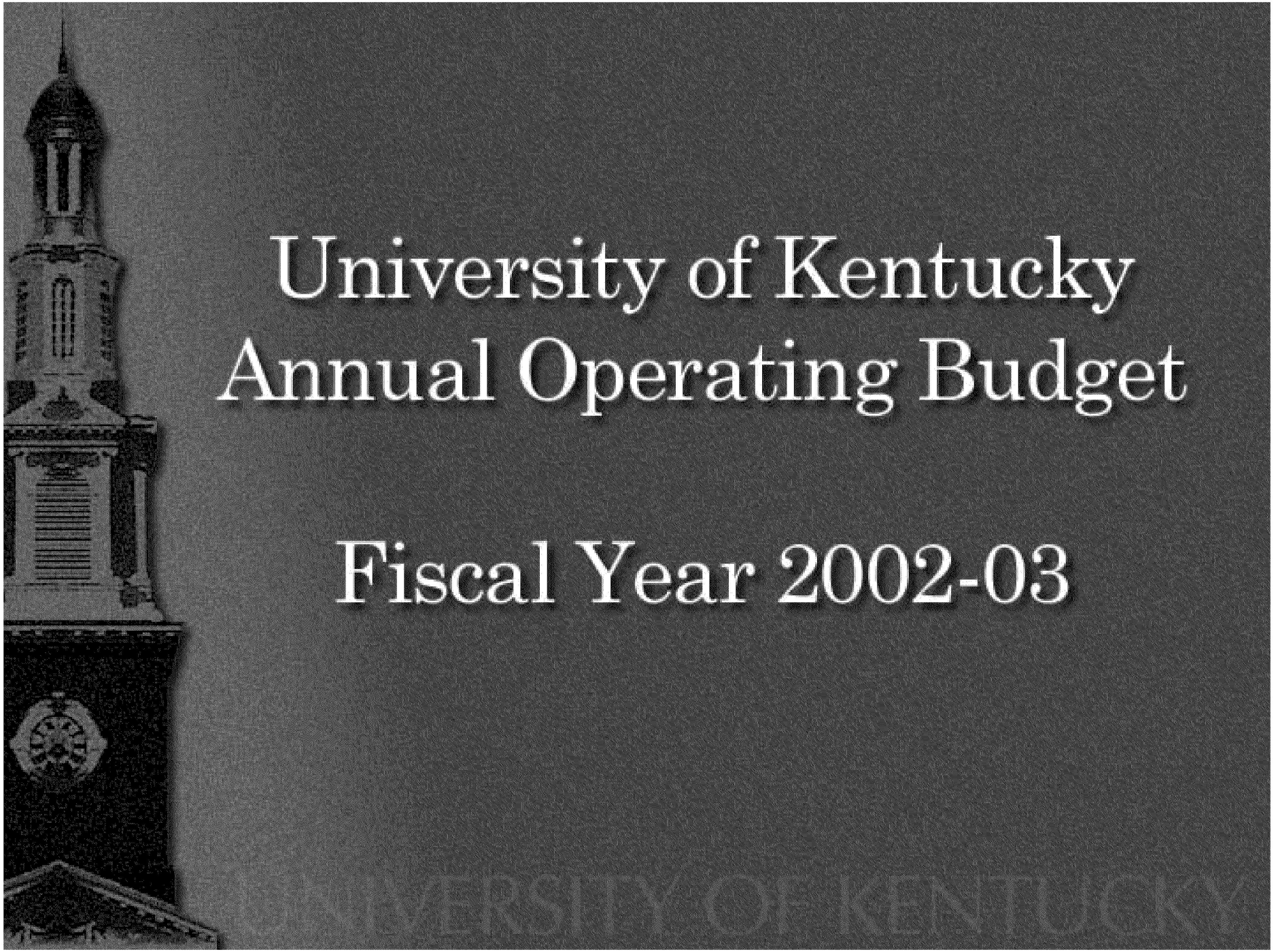

UK

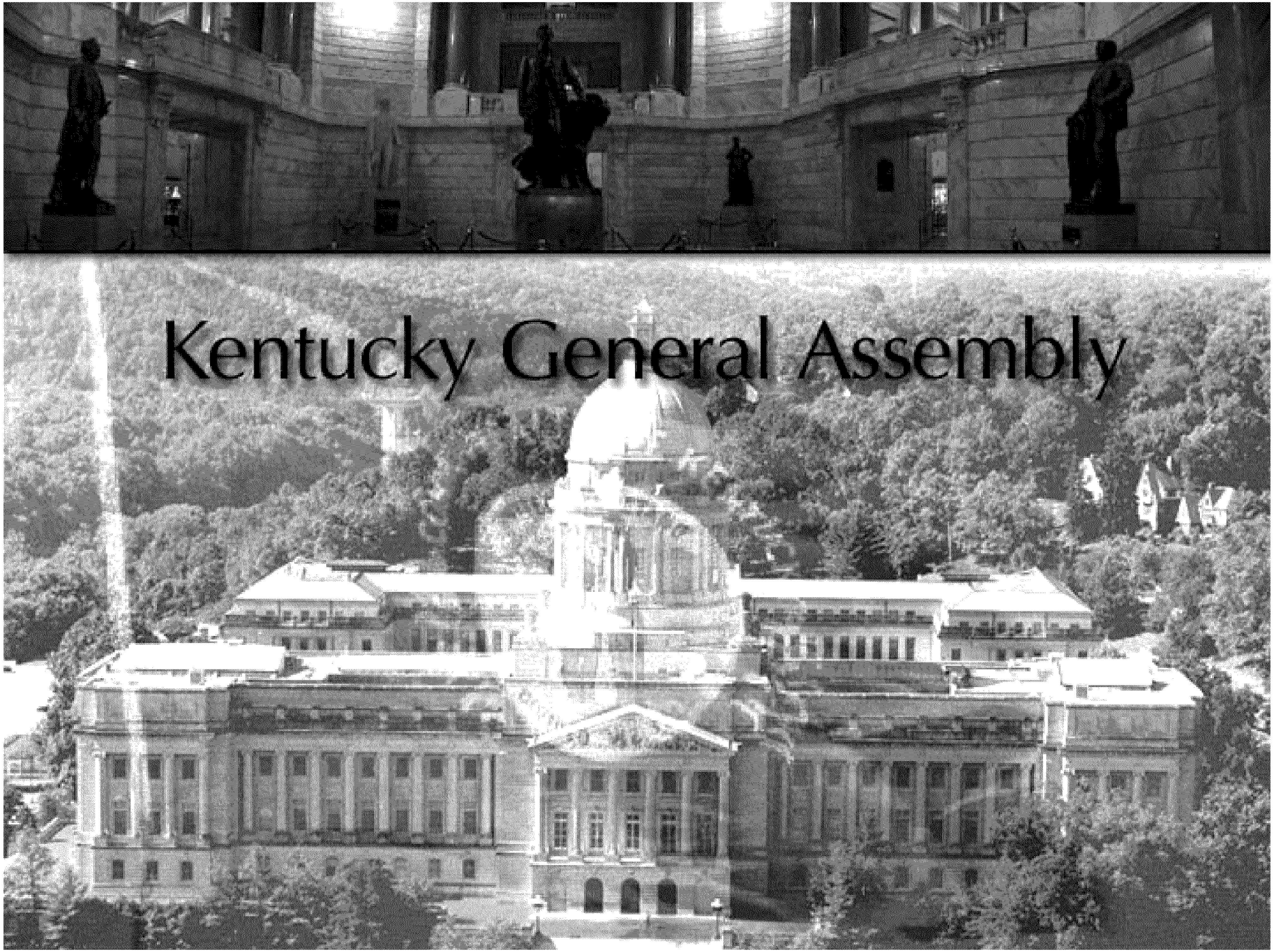








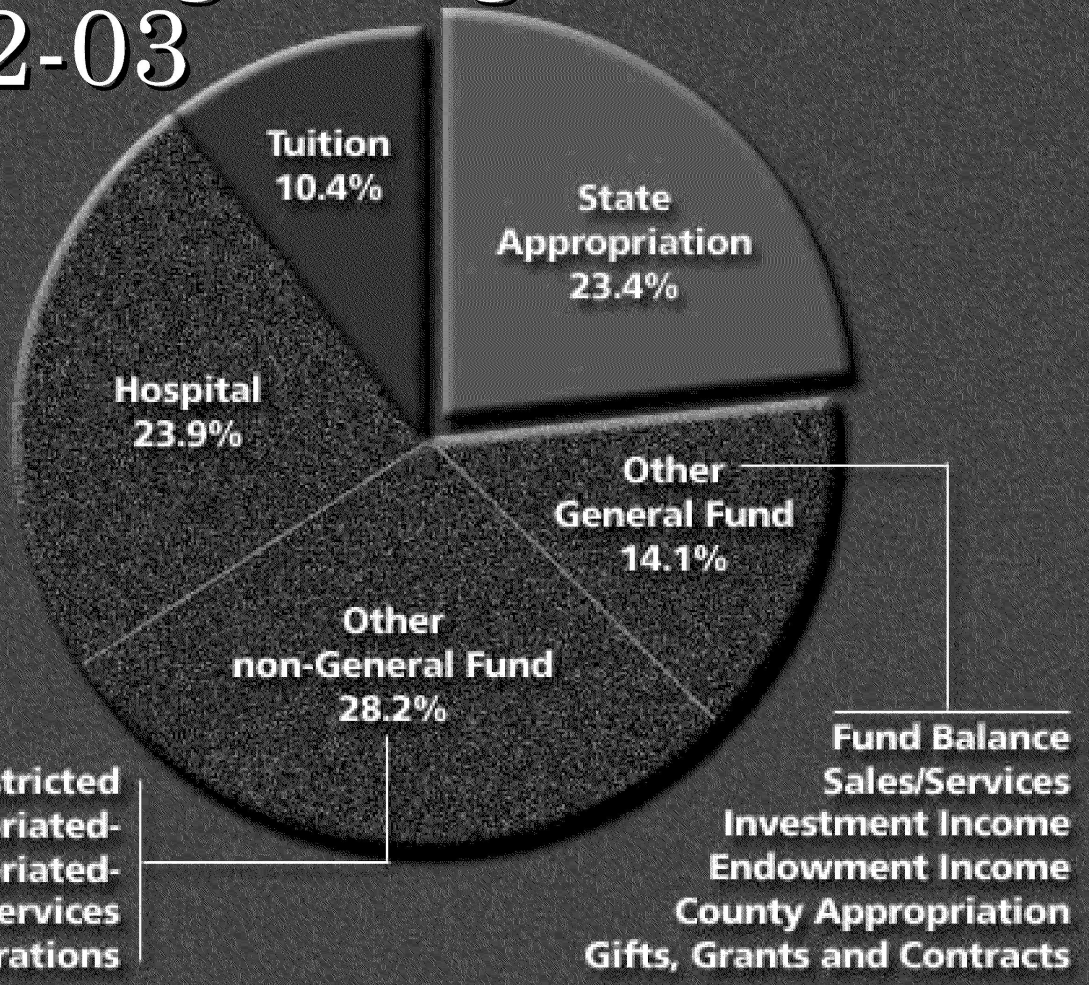

University of Kentucky
Annual Operating Budget
Fiscal Year 2002-03
UNIVERSITY OF KENTUCKY


Kentucky General Assembly

University of Kentucky
Annual Operating Budget
Fiscal Year 02-03

Source of Funds

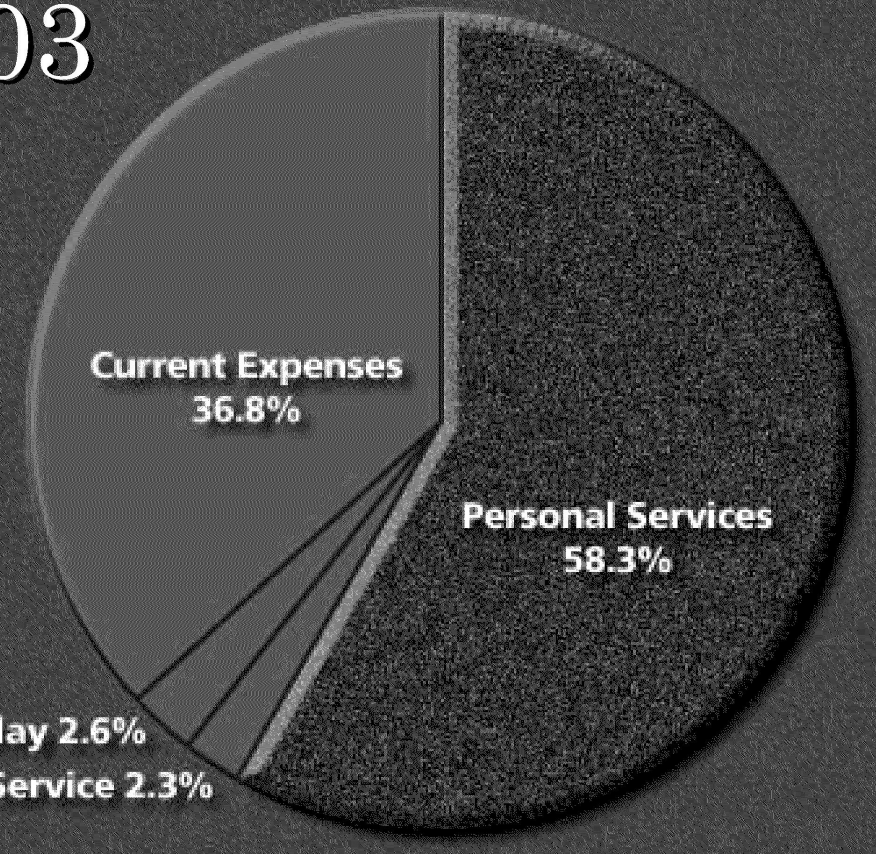
$1.341 Billion



University of Kentucky
Annual Operating Budget
Fiscal Year 02-03

Expenditure of Funds

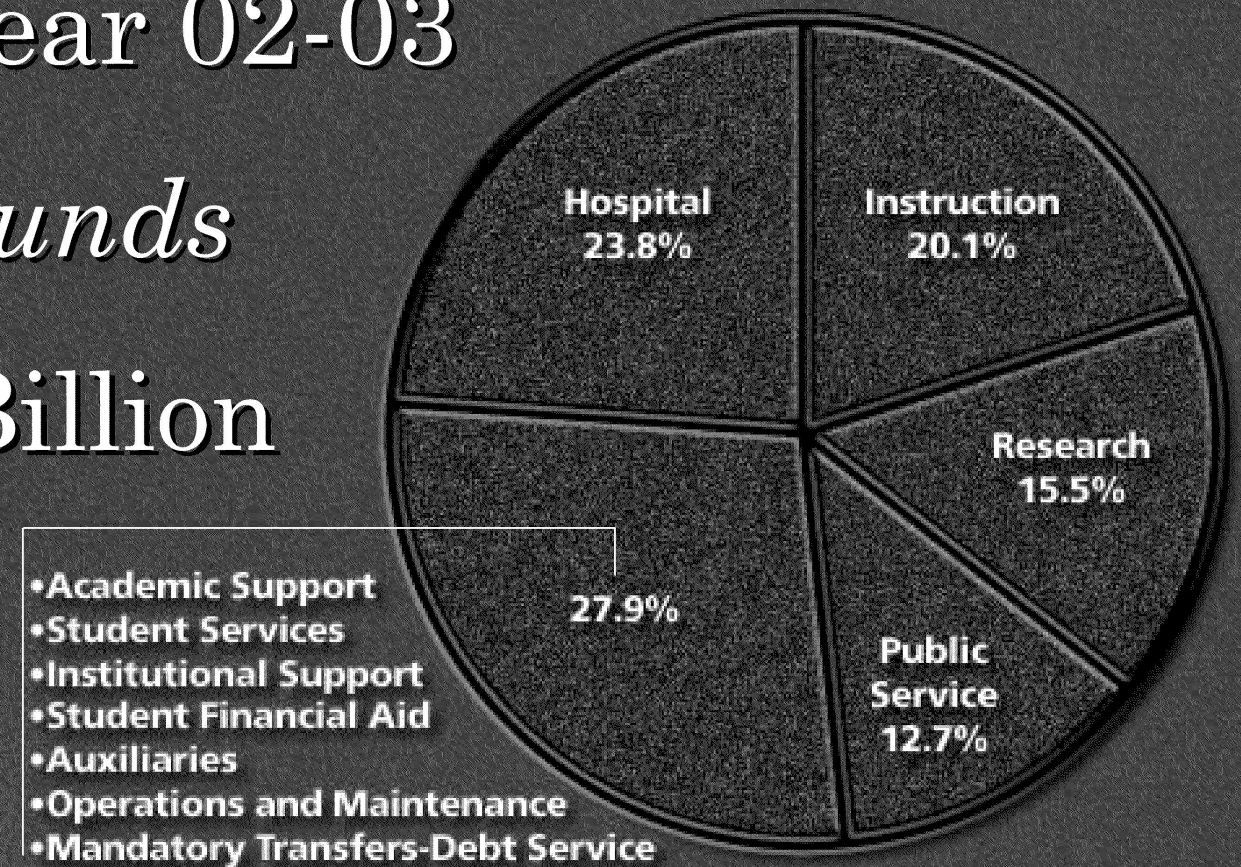
$1.341 Billion



University of Kentucky
Annual Operating Budget
Fiscal Year 02-03

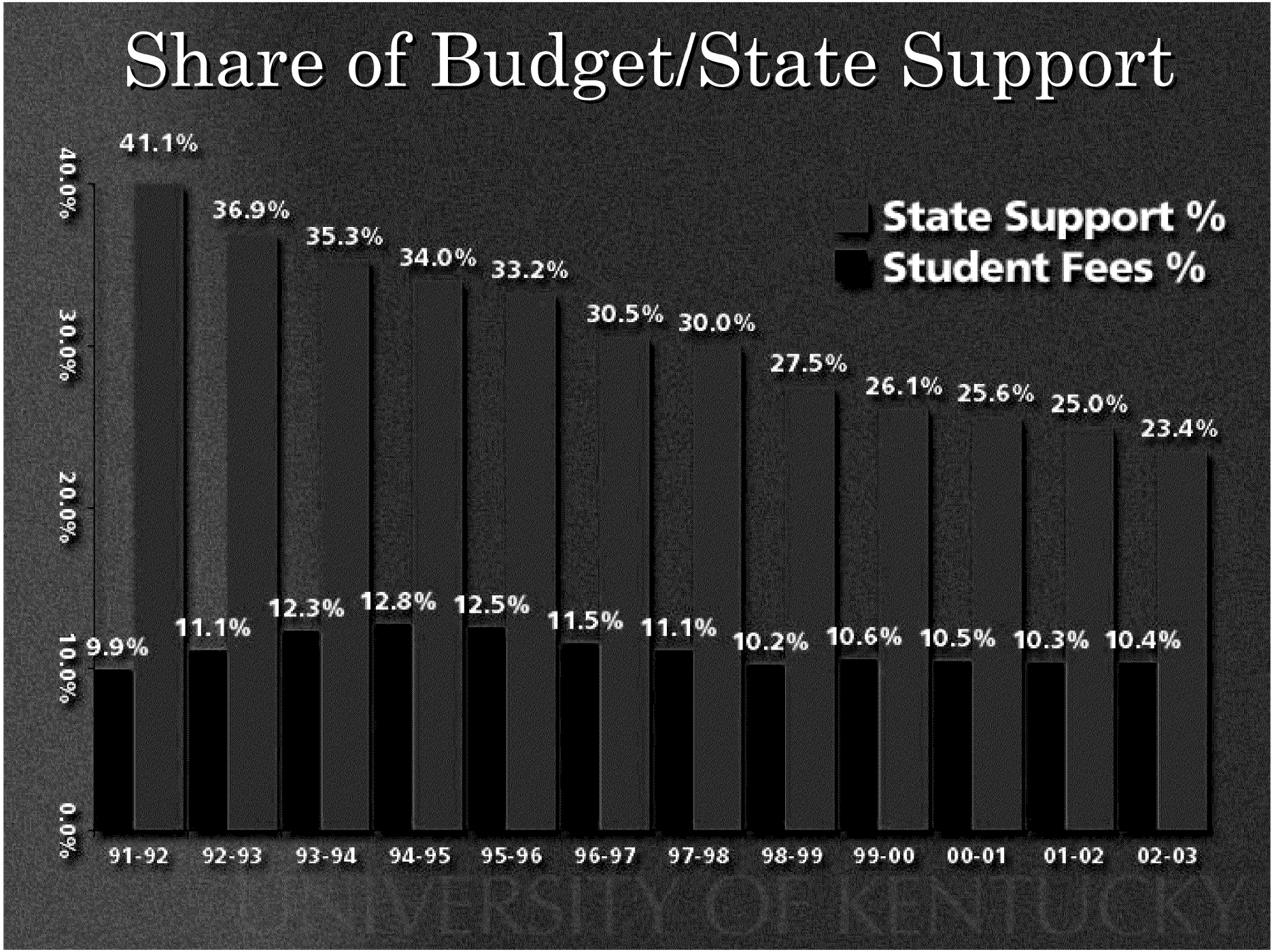
Use of Funds

$1.341 Billion



UNIVERSITY OF KENTUCKY

Share of Budget/State Support

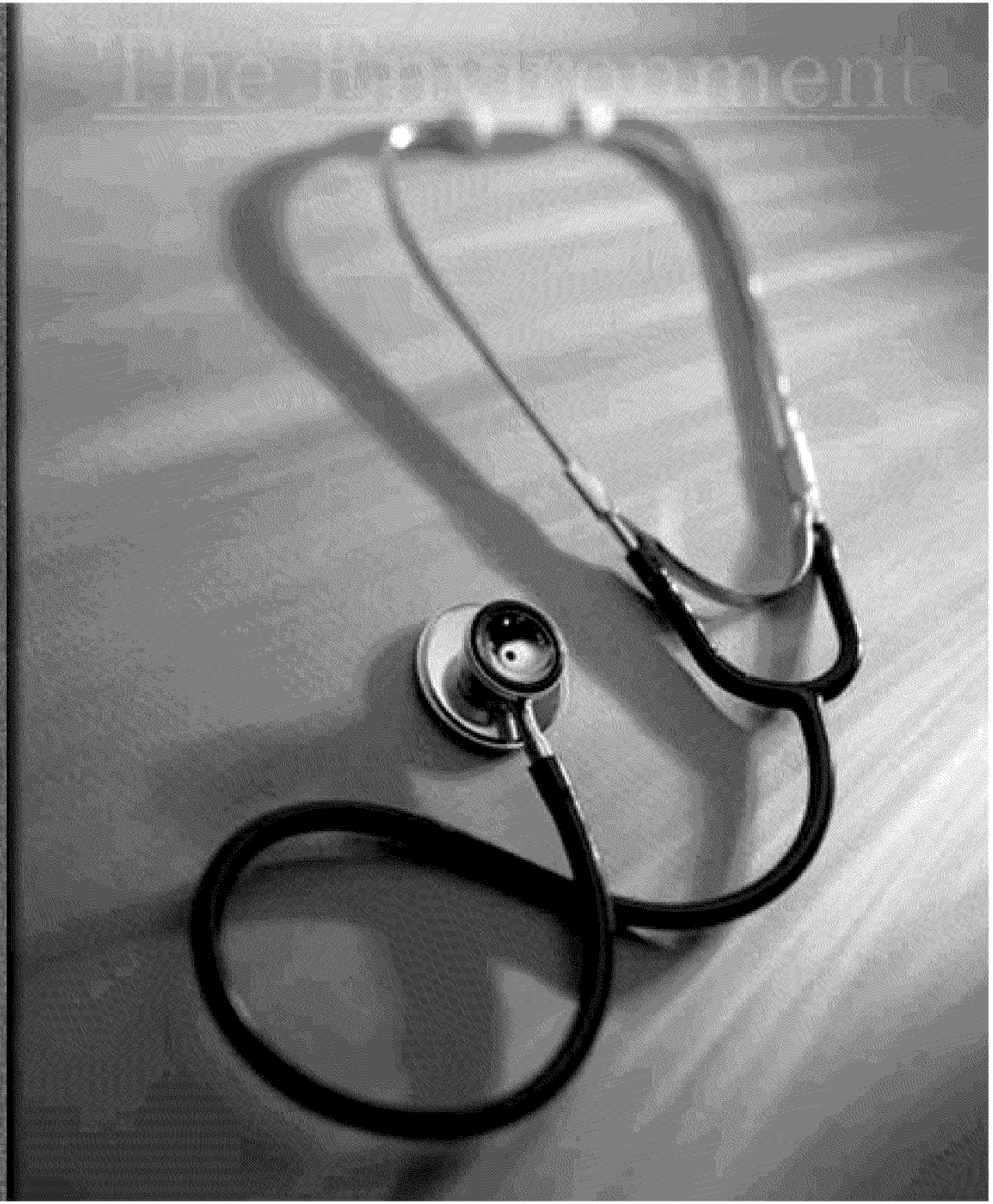


UNIVERSITY OF KENTUCKY


The Environment
No new funding—Operating or Capital
Base Budget reduction—$6 Million
UNIVERSITY OF KENTUCKY

Employee Health Plan Issue

widespread employee dissatisfaction with university Health Plan




The Environment
Faculty and Staff Salaries
UNIVERSITY OF KENTUCKY

Major Objectives

1. Find a way to absorb $6M — without passing reduction to the academic units

Major Objectives

2. Significantly improve Health Plan Benefits to employees
   (implement Task Force recommendations where possible)

Major Objectives

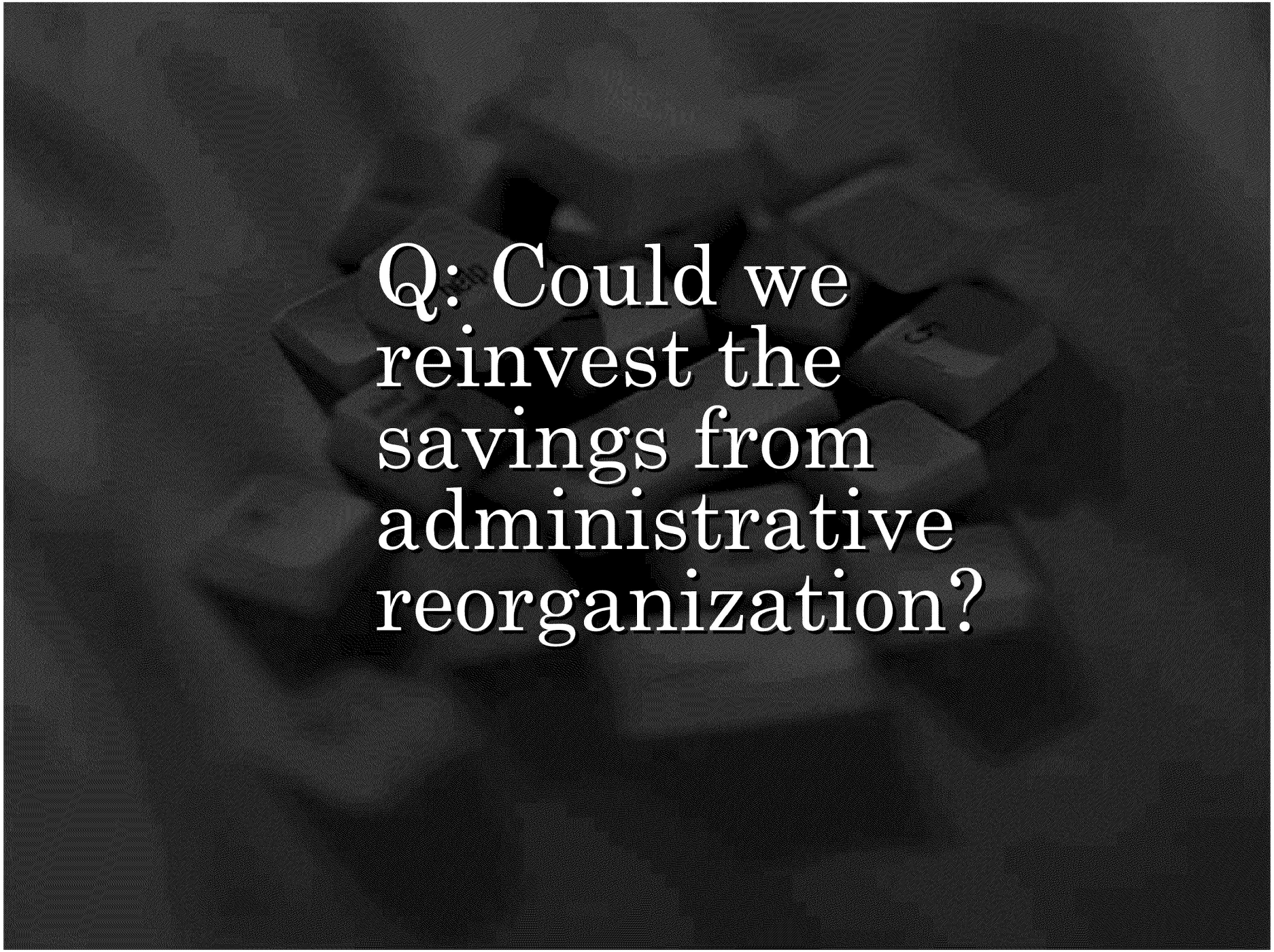
3. Give at least a modest salary supplement—
   - Based on merit performance, not across the board
   - Without forcing departments to provide the funding

Major Objectives

4. Fund program improvements



A new way to consider the university budget process


Q: Could we reinvest the savings from administrative reorganization?

Q: Could we reinvest the savings from administrative reorganization?

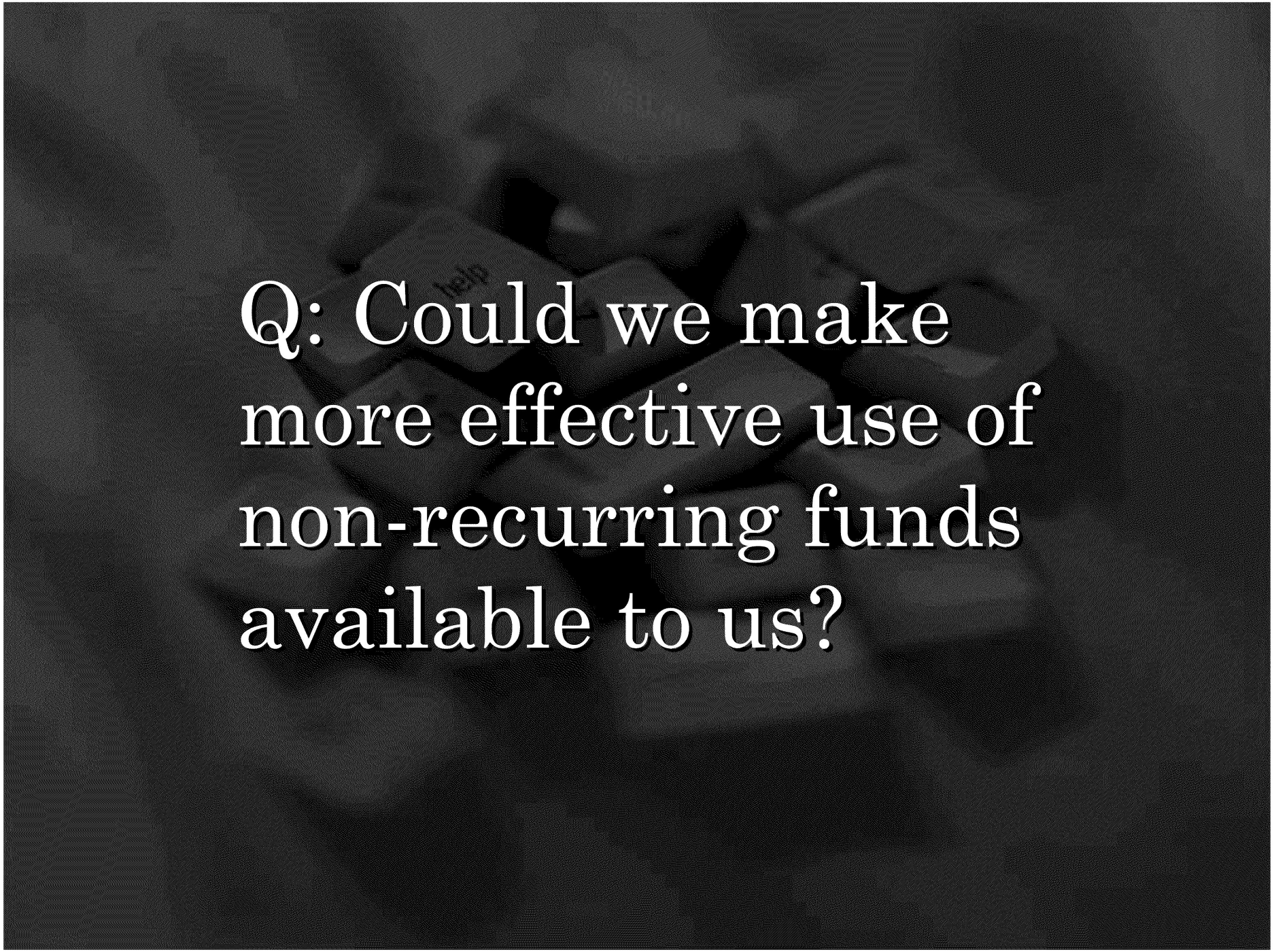
A: Yes, savings totaled $1.25 million which was reinvested.

Q: Could we develop a sound cost accounting basis for allocating the cost of Central Services* to self-supporting units?

*Purchasing, Accounting, Human Resources, etc.

Q: Could we develop a sound cost accounting basis for allocating the cost of Central Services* to self-supporting units?

A: Yes, made available $3.1M with this concept.


Q: Could we make more effective use of non-recurring funds available to us?

Q: Could we make more effective use of non-recurring funds available to us?

A: Yes, total non-recurring funds made available: 16.4M

Q: Could we construct a model such that each department would pay Miscellaneous Fringe Benefits:

1) Disability
2) Workers Compensation
3) Employee Education
4) Post Retirement Benefits
5) Supplemental Retirement Income
6) Wellness
7) Unemployment Compensation
8) Terminal Vacation
9) Terminal Sick Leave

…thereby making these costs chargeable to Federal Contracts and Grants?

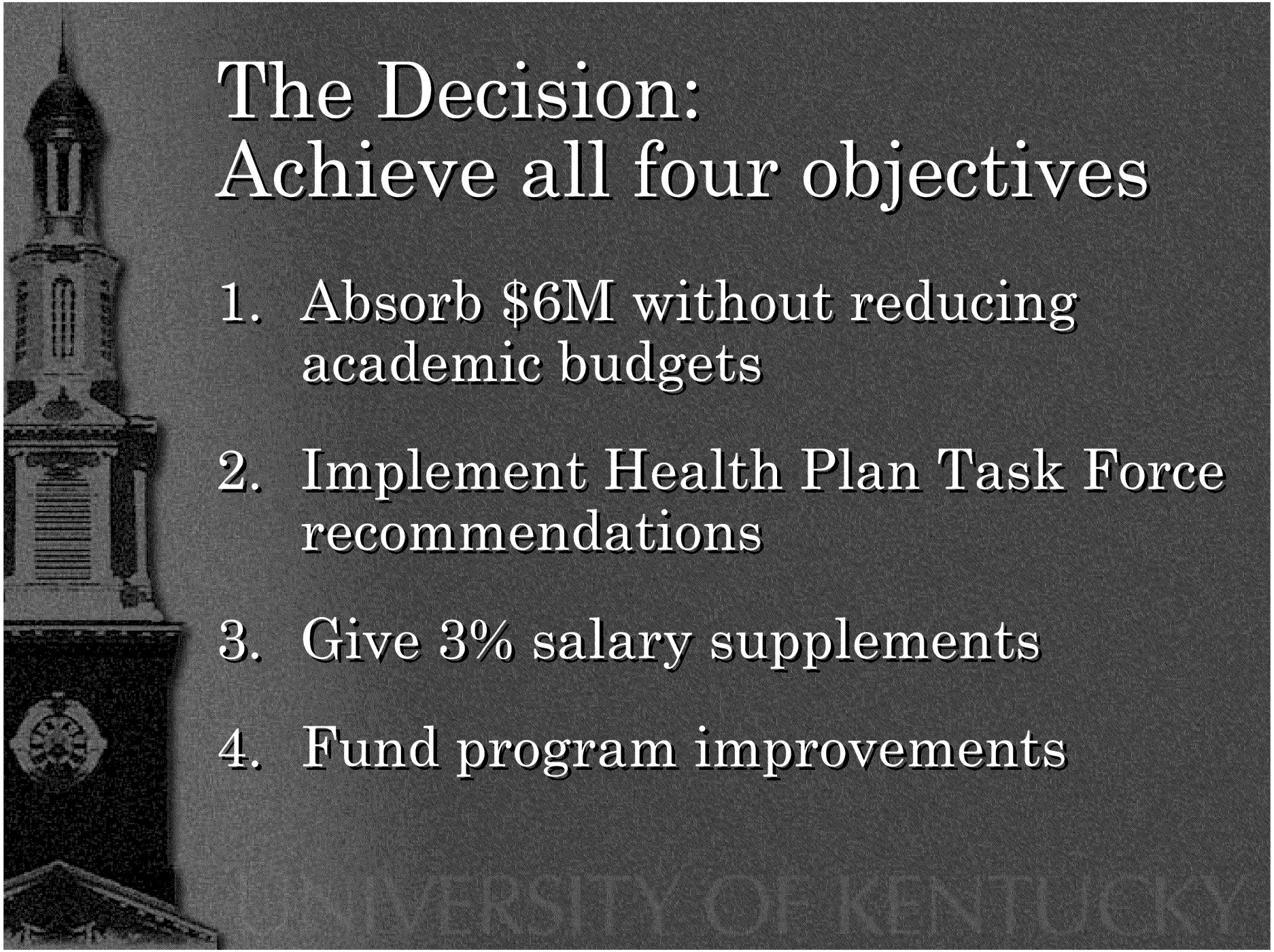
Q: Could we construct a model such that each department could pay Miscellaneous Fringe Benefits, thereby making these costs chargeable to Federal Contracts and Grants?

A: Yes, total savings using this process - $3.9M

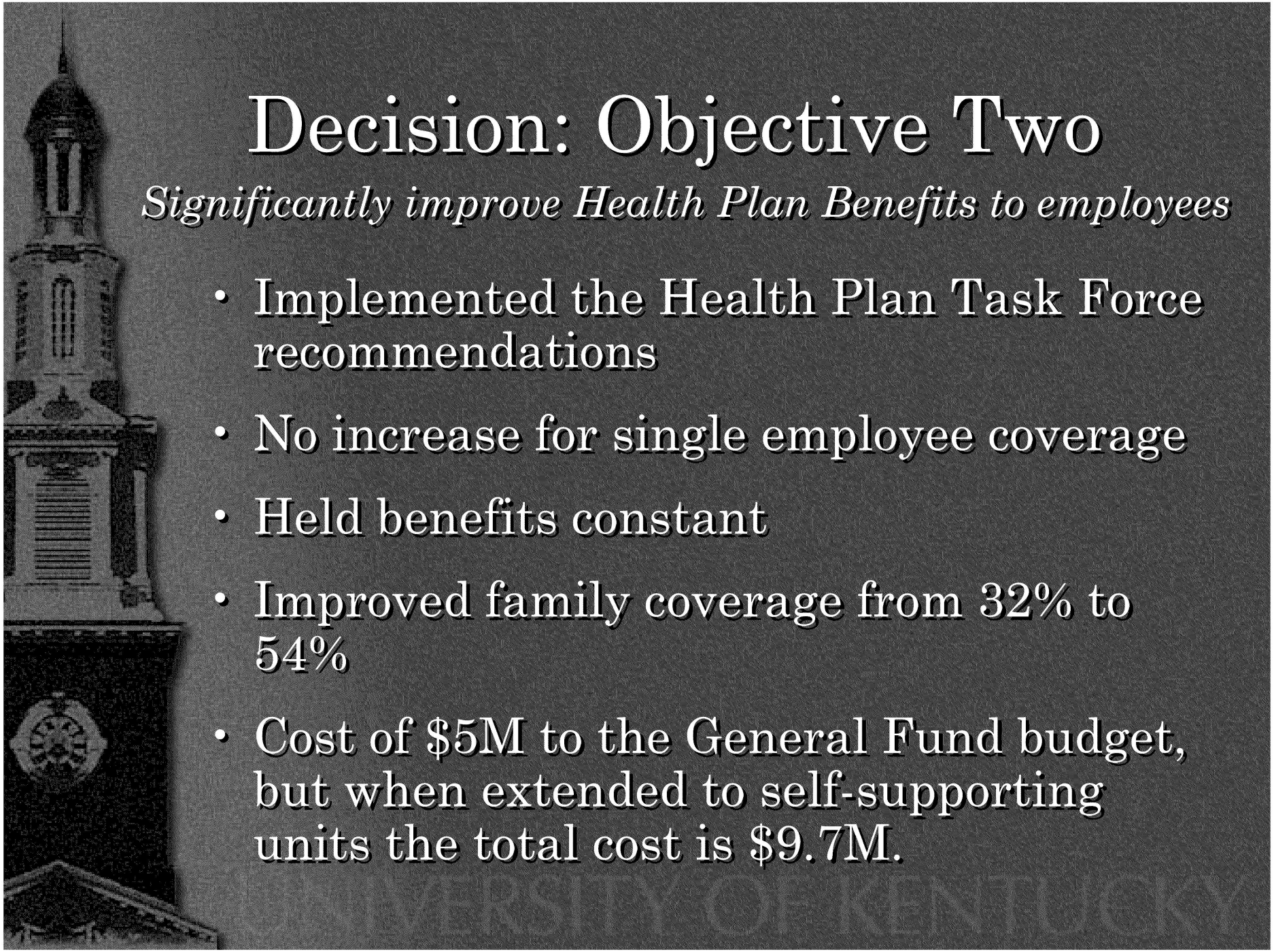

Q: Could we adopt a new model for funding departmental operations?

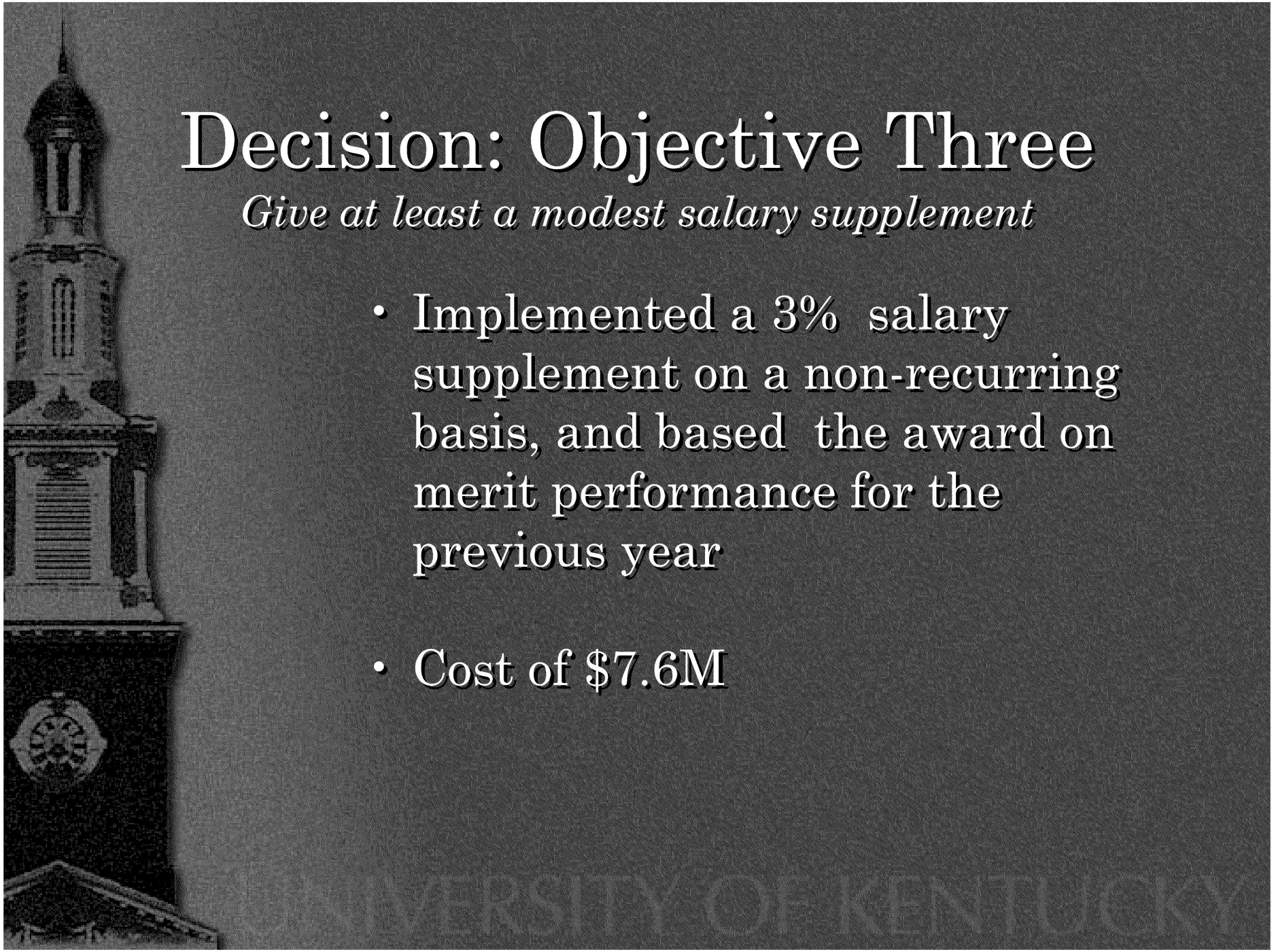
Q: Could we adopt a new model for funding departmental operations?

A: Yes, the model yields $4.9M


The Decision:
Achieve all four objectives
1. Absorb $6M without reducing academic budgets
2. Implement Health Plan Task Force recommendations
3. Give 3% salary supplements
4. Fund program improvements
UNIVERSITY OF KENTUCKY


Decision: Objective One
Find a way to absorb $6M—
without passing reduction onto academic units
Used $6M of recurring pool
to reduce the budget base
therefore protecting
academic budgets
UNIVERSITY OF KENTUCKY


Decision: Objective Two
Significantly improve Health Plan Benefits to employees
• Implemented the Health Plan Task Force recommendations
• No increase for single employee coverage
• Held benefits constant
• Improved family coverage from 32% to 54%
• Cost of $5M to the General Fund budget, but when extended to self-supporting units the total cost is $9.7M.



Decision: Objective Three

Give at least a modest salary supplement

- Implemented a 3% salary supplement on a non-recurring basis, and based the award on merit performance for the previous year
- Cost of $7.6M



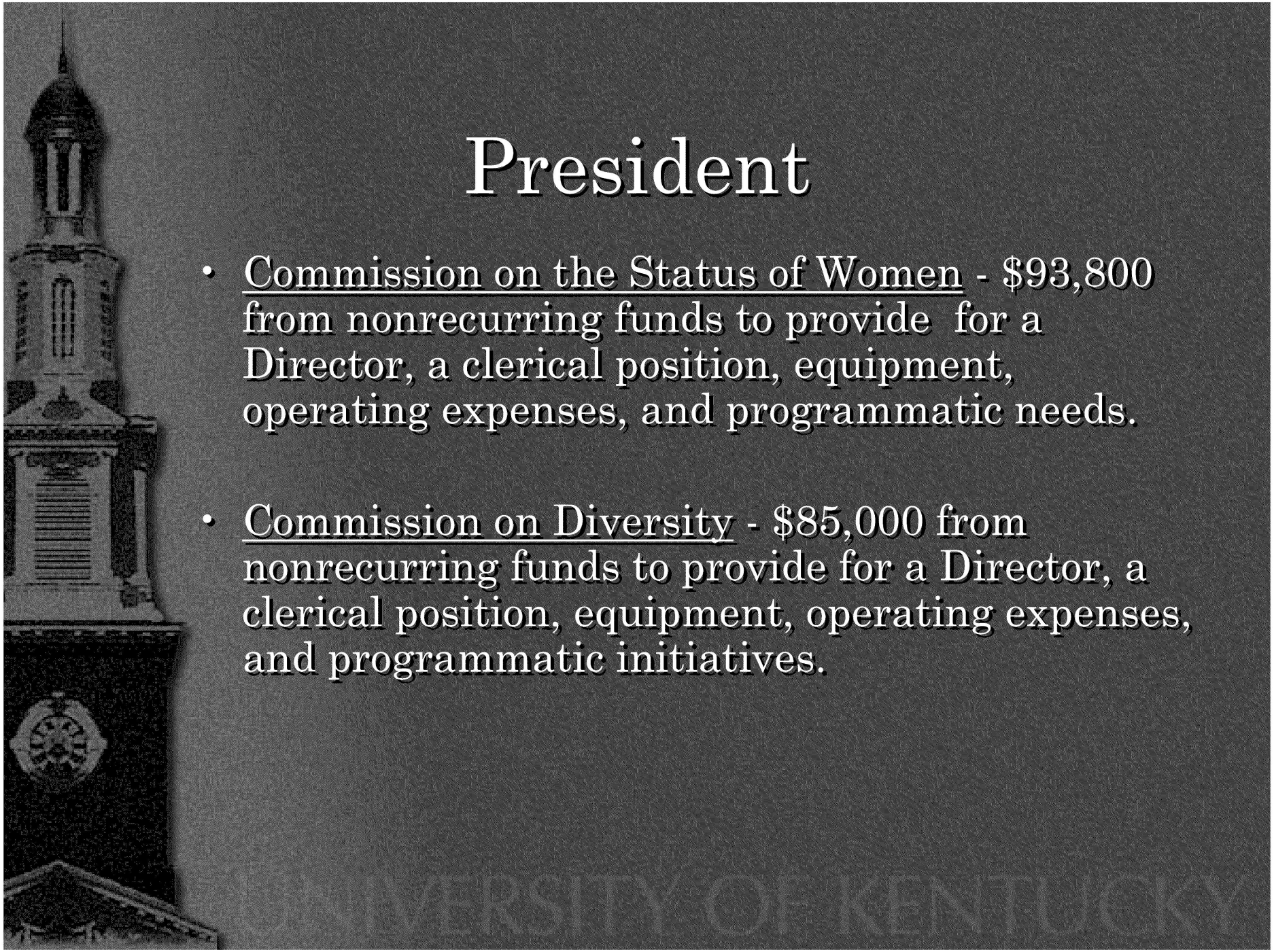
Decision: Objective Four

Fund program improvements

	RECURRING	NONRECURR
Provost	$1,936	$1,010
Medical Center	708	565
Research	550	-
Administration	367	-
TOTAL FY 02-03	$3,561	$1,575

(in thousands)

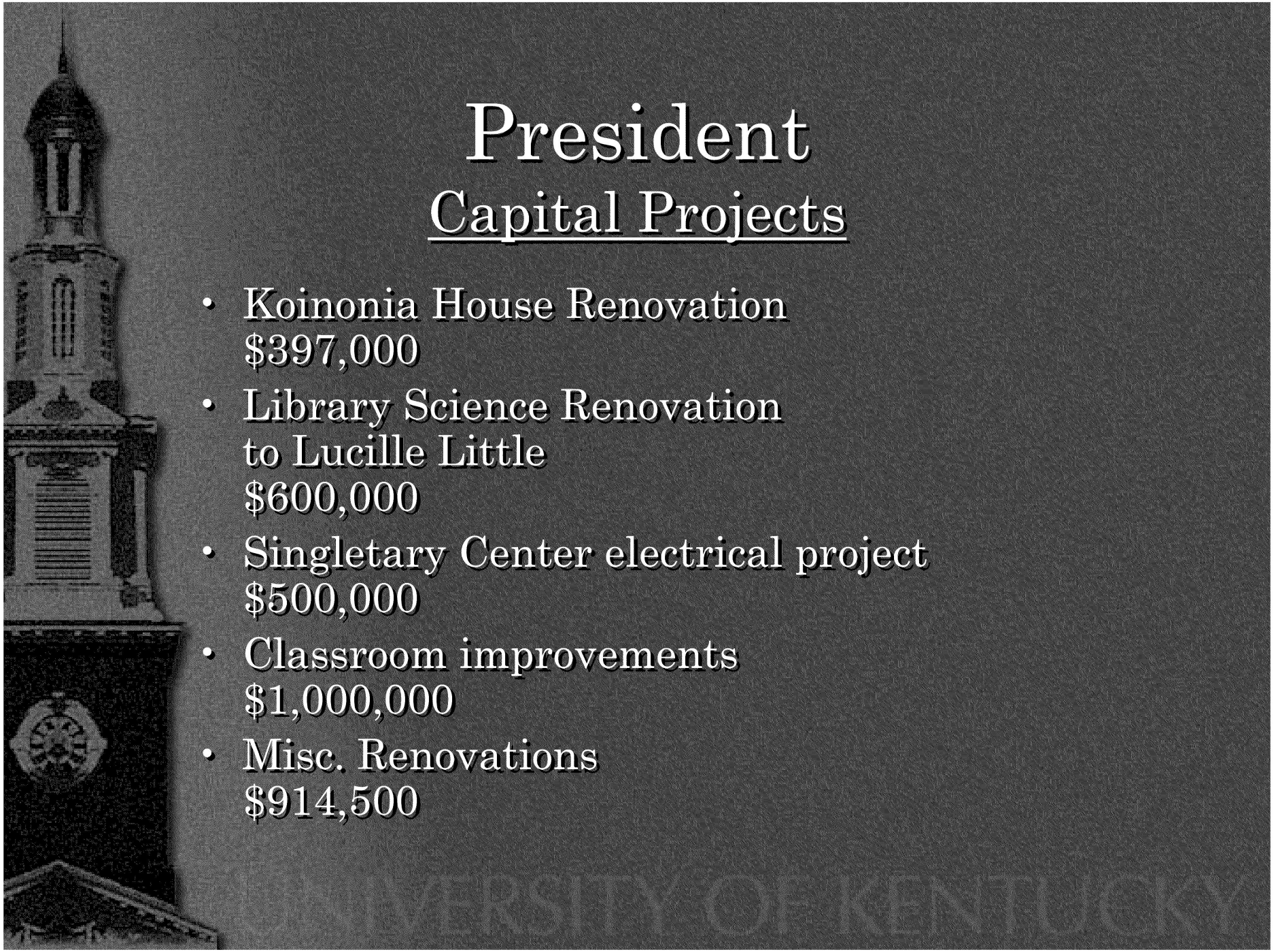
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President

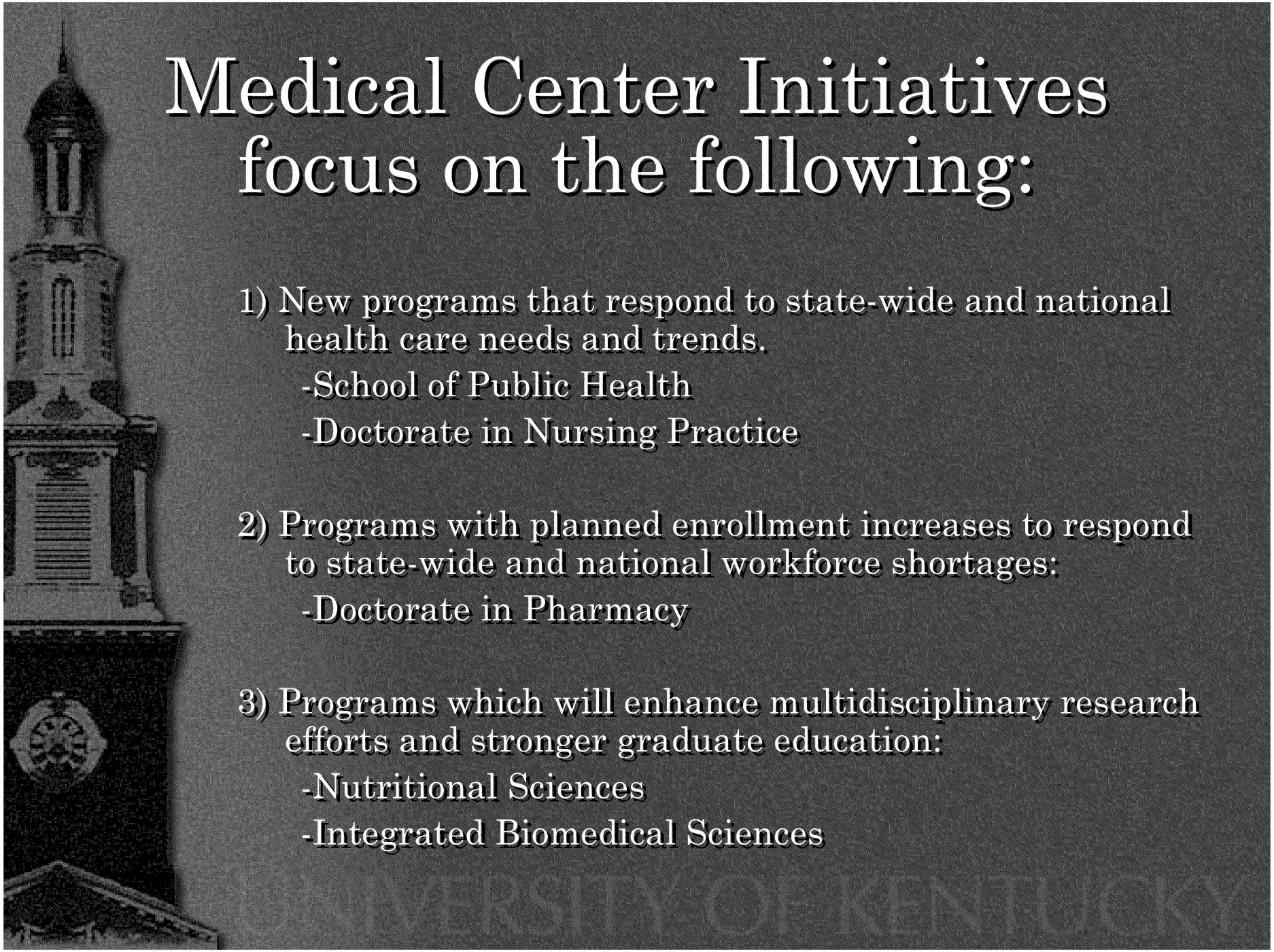
- Commission on the Status of Women - $93,800 from nonrecurring funds to provide for a Director, a clerical position, equipment, operating expenses, and programmatic needs.

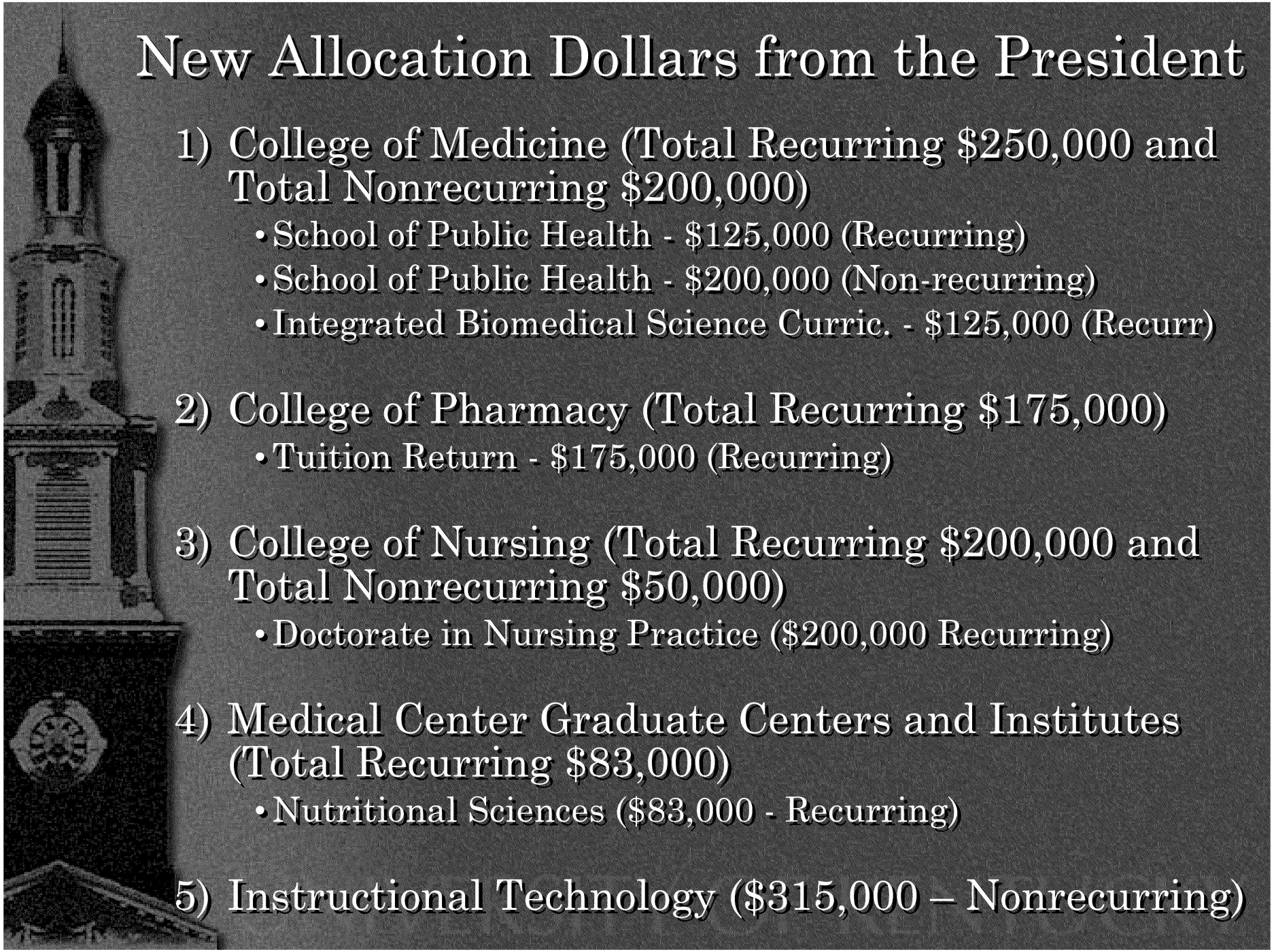
- Commission on Diversity - $85,000 from nonrecurring funds to provide for a Director, a clerical position, equipment, operating expenses, and programmatic initiatives.



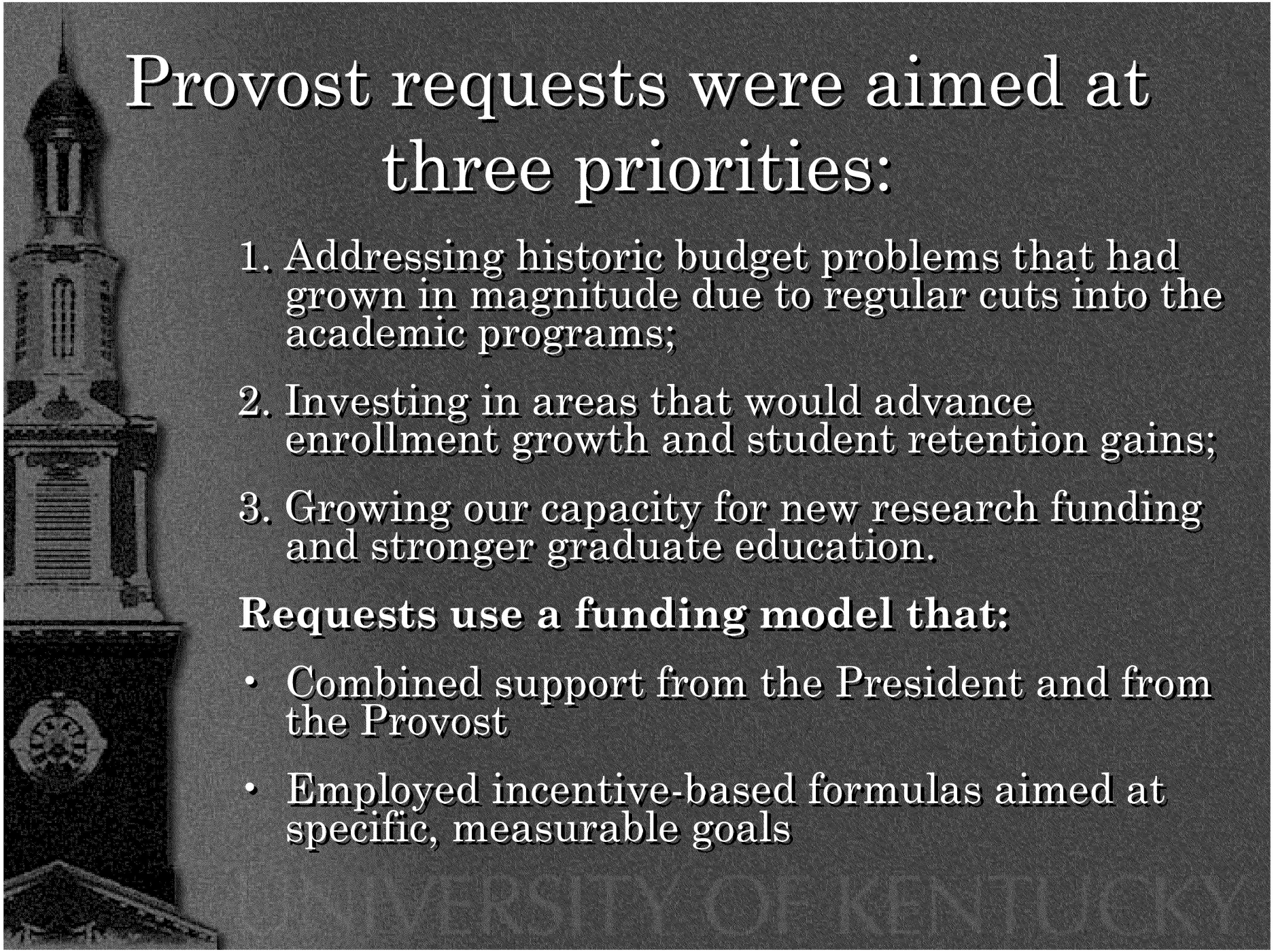
President
Capital Projects

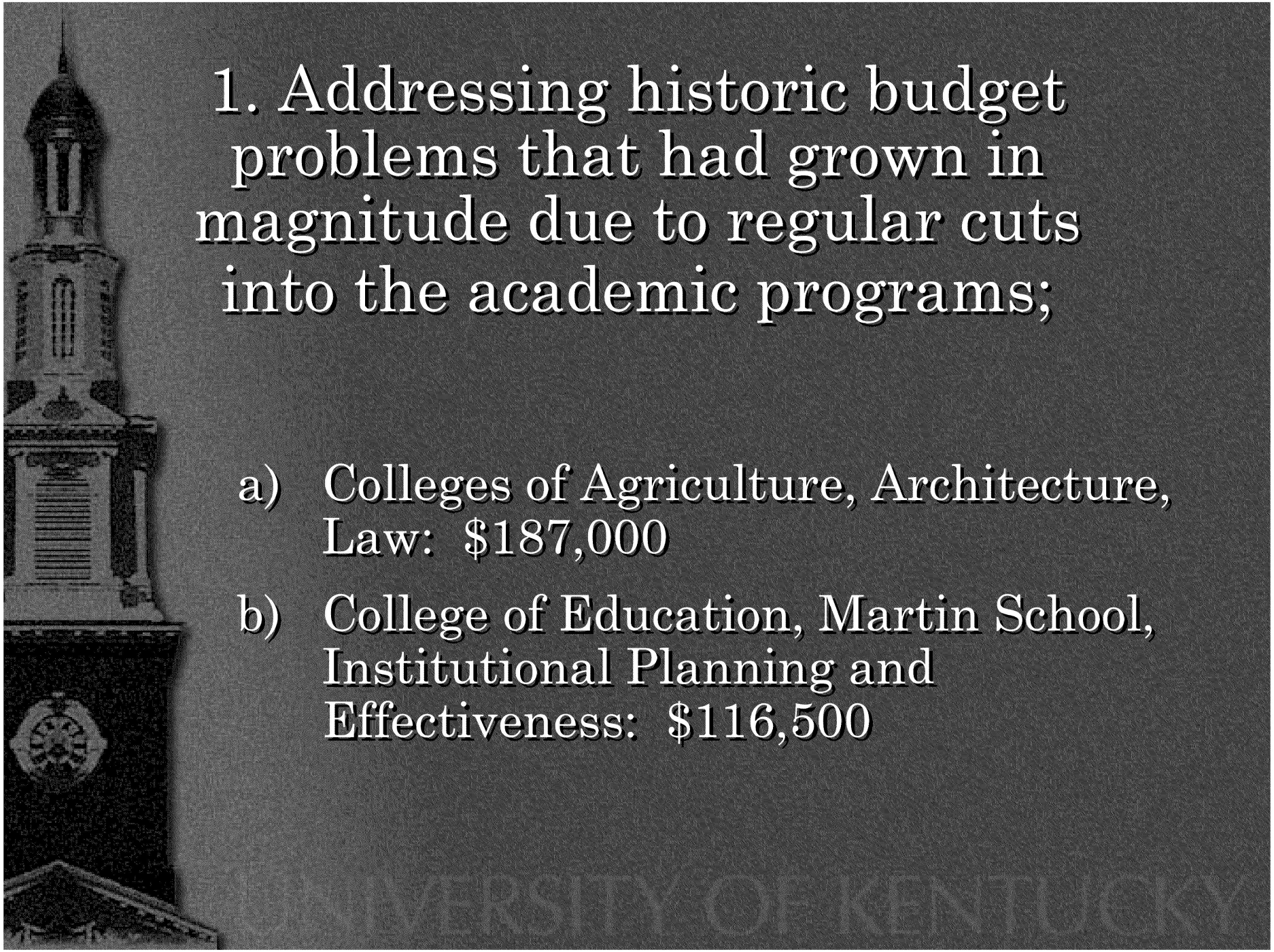
- Koinonia House Renovation
  $397,000
- Library Science Renovation
  to Lucille Little
  $600,000
- Singletary Center electrical project
  $500,000
- Classroom improvements
  $1,000,000
- Misc. Renovations
  $914,500


Medical Center Initiatives focus on the following:
1) New programs that respond to state-wide and national health care needs and trends.
-School of Public Health
-Doctorate in Nursing Practice
2) Programs with planned enrollment increases to respond to state-wide and national workforce shortages:
-Doctorate in Pharmacy
3) Programs which will enhance multidisciplinary research efforts and stronger graduate education:
-Nutritional Sciences
-Integrated Biomedical Sciences


New Allocation Dollars from the President
1) College of Medicine (Total Recurring $250,000 and Total Nonrecurring $200,000)
• School of Public Health - $125,000 (Recurring)
• School of Public Health - $200,000 (Non-recurring)
• Integrated Biomedical Science Curric. - $125,000 (Recurr)
2) College of Pharmacy (Total Recurring $175,000)
• Tuition Return - $175,000 (Recurring)
3) College of Nursing (Total Recurring $200,000 and Total Nonrecurring $50,000)
• Doctorate in Nursing Practice ($200,000 Recurring)
4) Medical Center Graduate Centers and Institutes (Total Recurring $83,000)
• Nutritional Sciences ($83,000 - Recurring)
5) Instructional Technology ($315,000 – Nonrecurring)


Tentative Special State Allocations pending State Budget Approval
1) Breast Cancer Task Force ($115,000 – Recurring)
2) Morehead/Corbin Residency Prog. ($300,000 – Recurr)
3) Medical Center Nonrecurring Support for MC Initiatives
UNIVERSITY OF KENTUCKY


Provost requests were aimed at three priorities:
1. Addressing historic budget problems that had grown in magnitude due to regular cuts into the academic programs;
2. Investing in areas that would advance enrollment growth and student retention gains;
3. Growing our capacity for new research funding and stronger graduate education.
Requests use a funding model that:
• Combined support from the President and from the Provost
• Employed incentive-based formulas aimed at specific, measurable goals


1. Addressing historic budget problems that had grown in magnitude due to regular cuts into the academic programs;
a) Colleges of Agriculture, Architecture, Law: $187,000
b) College of Education, Martin School, Institutional Planning and Effectiveness: $116,500
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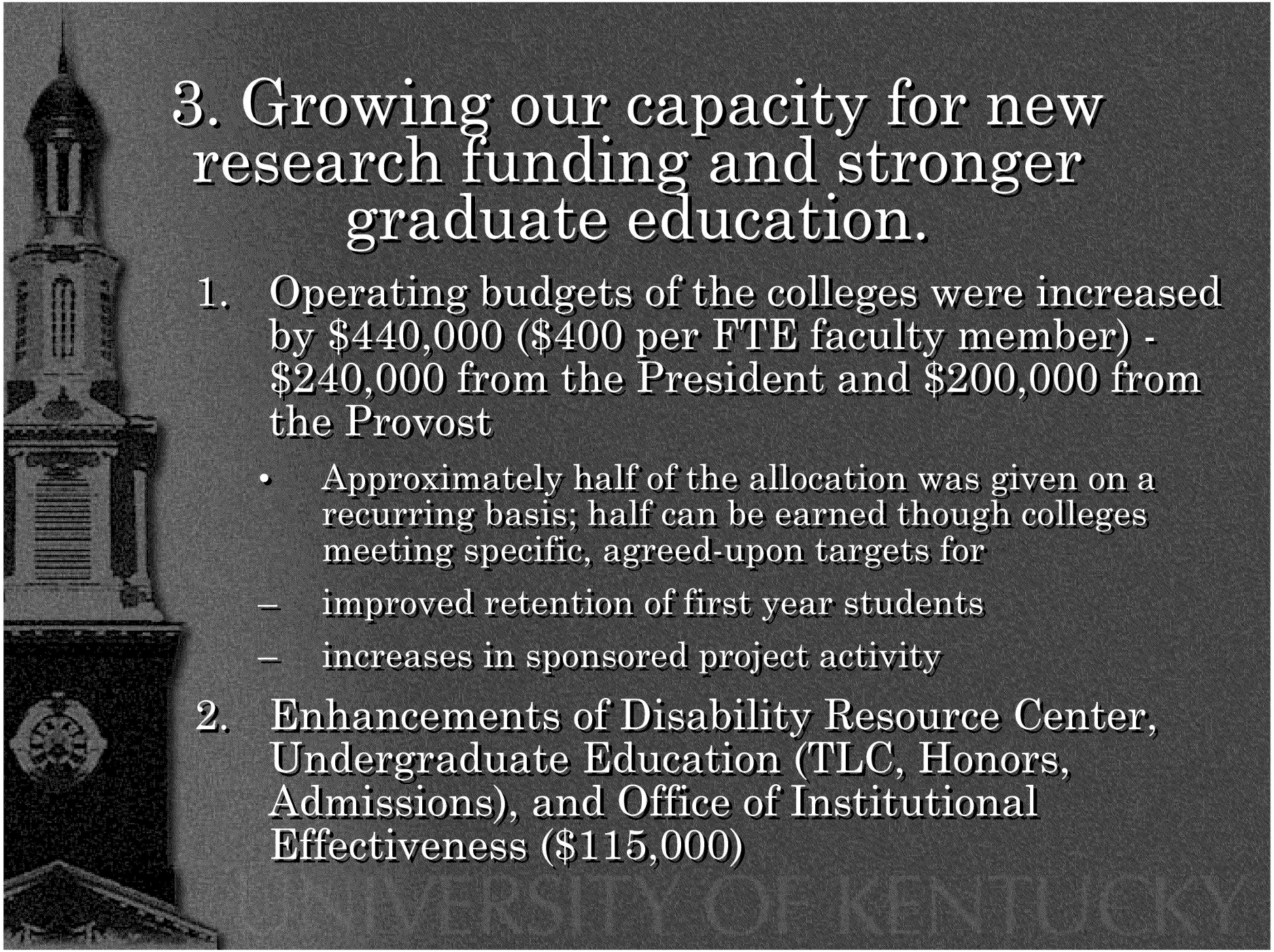


2. Investing in areas that would advance enrollment growth and student retention gains;

Five faculty lines were added in the two colleges experiencing the largest growth in enrollment and course demands:

a) Gatton College of Business and Economics - 3 lines ($260,000)

b) College of Communications and Information Studies ($110,000)

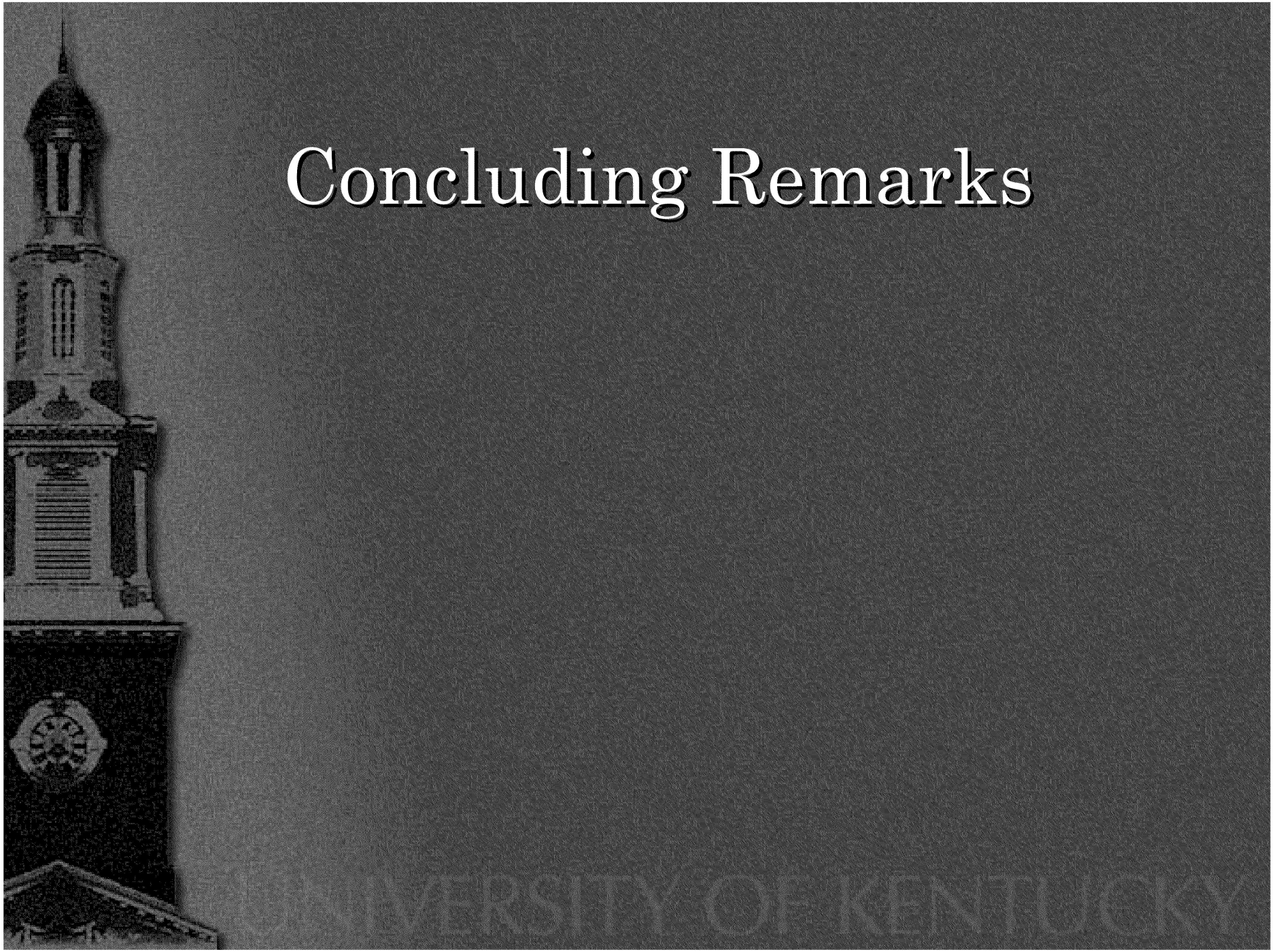


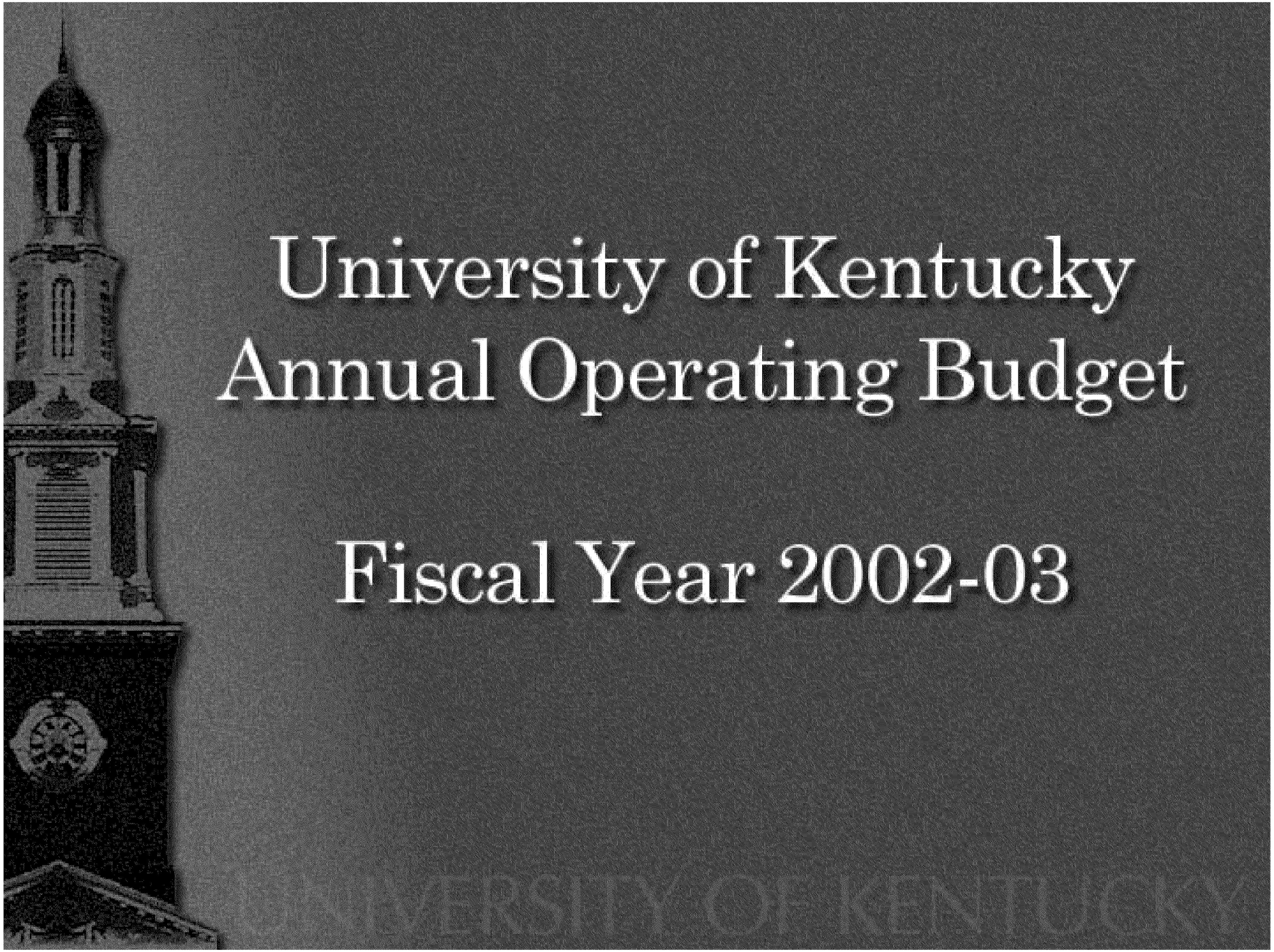
3. Growing our capacity for new research funding and stronger graduate education.

1. Operating budgets of the colleges were increased by $440,000 ($400 per FTE faculty member) - $240,000 from the President and $200,000 from the Provost
   - Approximately half of the allocation was given on a recurring basis; half can be earned though colleges meeting specific, agreed-upon targets for
   - improved retention of first year students
   - increases in sponsored project activity
2. Enhancements of Disability Resource Center, Undergraduate Education (TLC, Honors, Admissions), and Office of Institutional Effectiveness ($115,000)


New or Expanded Initiatives - Nonrecurring
1. $400,000 (Provost) in support of college proposals regarding Futures Task Force recommendations
2. $250,000 ($200,000 from President and $50,000 increase from Provost) in support of expanded Lyman T. Johnson graduate fellowship support for minority students
3. $40,000 (President) in support of Women's Writers' Conference/External Fellowships Director


THANKS!!
Fiscal Affairs
Gene Williams
Karen T. Combs
Roxanne McLetchie
Courtney Higdon
Richard Barbella
Shane Kosky
Kristine Muller
Cynthia Clinton
Fran Dyer
Brenda Rice
Mark Denomme
Penny Cox
Controller/Treasurer
Clay Owen
Marc Mathews
Robert Marshall
Dale Austin
Sr Vice President
Jack Blanton
Kristen Cheser
Judith Marshall


Concluding Remarks
UNIVERSITY OF KENTUCKY


University of Kentucky
Annual Operating Budget
Fiscal Year 2002-03
UNIVERSITY OF KENTUCKY