

Minutes of the Meeting of the Board of Trustees of the
University of Kentucky, Friday, August 26, 1983.

The Board of Trustees of the University of Kentucky met at 2 p.m. (Eastern Daylight Time) on Friday, August 26, 1983 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington Campus.

A. Meeting Opened and Oath of Office Administered

Mr. William B. Sturgill, Chairman, called the meeting to order at 2 p.m., and the invocation was pronounced by Mr. T. A. Lassetter. Mr. Sturgill welcomed the new members of the Board of Trustees and, at the request of the Chairman, Mr. John C. Darsie, General Counsel, administered the oath of office to the following:

Mr. David T. Bradford, who will serve as student trustee for the 1983-84 academic year.

Professor Timothy A. Cantrell, faculty representative elected to replace Professor Opal Conley for a term expiring June 30, 1986.

Professor James D. Kemp, faculty representative elected to replace Professor William F. Wagner for a term expiring June 30, 1986.

Mr. W. Bruce Lunsford, appointed by Governor John Y. Brown, Jr. to replace Governor Edward T. Breathitt for a term expiring June 30, 1986.

Mr. Robert E. Watson, appointed by Governor Brown to replace Mr. W. Terry McBrayer for a six-year term expiring June 30, 1989.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. William B. Sturgill (Chairman), Mr. David T. Bradford, Professor Timothy A. Cantrell, Governor Albert Benjamin Chandler (Honorary Trustee), Mr. Albert G. Clay, Mr. Tracy Farmer, Mr. Ronald G. Geary, Mr. George W. Griffin, Jr., Mrs. Edythe Jones Hayes, Mr. Brereton C. Jones, Mr. R. Larry Jones, Professor James D. Kemp, Mr. T. A. Lassetter, Mr. W. Bruce Lunsford, Mr. Robert T. McCowan, Mr. A. Stevens Miles, Mr. Frank Ramsey, Jr., and Mr. Robert E. Watson. Absent from the meeting were Mr. Thomas P. Bell, Mr. William R. Black, and Professor Constance P. Wilson. The University administration was represented by President Otis A. Singletary; Dr. Donald B. Clapp, Vice President for Administration; Chancellors Peter P. Bosomworth, Art Gallaher, and Charles T. Wethington; Dr. Raymond R. Hornback, Vice President for University Relations; Mr. David I. Carter, Special Assistant for Business and Financial Affairs; Mr. John C. Darsie, General Counsel; Mr. Henry Clay Owen,

Controller and Treasurer; Dr. Paul G. Sears, Special Assistant for Academic Affairs; Dr. Winberly C. Royster, Vice Chancellor for Research and Dean of the Graduate School; and Dr. Jack C. Blanton, Vice Chancellor for Administration, Lexington Campus.

Members of the various news media were also in attendance. A quorum being present, the Chairman declared the meeting officially open for the conduct of business at 2:05 p.m.

C. Minutes Approved

The Minutes of the June 21, 1983 meeting of the Board of Trustees were approved as written.

D. Nominating Committee Appointed

Mr. Sturgill appointed Mr. Frank Ramsey, Jr., Mr. Thomas P. Bell, and Mr. William R. Black to serve as the Nominating Committee to recommend officers of the Board and members of the Executive Committee of the Board for 1983-84. Mr. Ramsey will chair the committee, and its report will be presented to the Board of Trustees at the meeting on September 20, 1983.

E. Mrs. Cantrell Recognized

Professor Cantrell's mother, Mrs. Viebie Cantrell, was present and introduced, following which she received a round of applause.

F. President's Report to the Trustees (PR 1)

President Singletary noted that copies of his monthly report to the Trustees had been distributed earlier. He then called attention to the year-long observance of the 20th anniversary of the Community College System which will begin with a reception and dinner on September 9. He urged all members of the Board to attend this event.

Since no action is required on PR 1, the Chairman accepted the report and ordered it filed.

G. Personnel Actions (PR 2)

Prior to his recommendation that the appointments and staff changes in PR 2 be approved, President Singletary called attention to the appointment of Dr. Tex Lee Boggs as Dean of University Extension, effective September 10, 1983. He called on Chancellor Gallaher who reviewed Dr. Boggs' experience and credentials and said he was pleased to endorse President Singletary's recommendation that Dr. Boggs be appointed Dean of University Extension.

On motion duly made, seconded, and passed, the appointments and staff changes recommended in PR 2 were approved. (See PR 2 at the end of the Minutes.)

H. Appointment of Vice President for Administration (PR 3A)

President Singletary recommended the appointment of Mr. James O. King as Vice President for Administration, effective September 1, 1983. He said it gave him much pleasure to recommend Mr. King, who has been in the employ of the University previously and who has wide experience in university and governmental administration, for this position.

On motion made by Mr. Farmer, seconded by Mr. Larry Jones, and passed, Mr. James O. King was named Vice President for Administration, effective September 1, 1983. (See PR 3A at the end of the Minutes.)

Mr. King's wife, Geraldine, was present and introduced.

I. Appointment of Associate Dean (PR 3A)

President Singletary indicated his pleasure in recommending that Fred W. Zechman, an outstanding Professor in the Department of Physiology and Biophysics, be named Associate Dean for Research and Graduate Studies for the Medical Center, effective September 1, 1983. Professor Zechman was present, and President Singletary asked him to stand and be recognized. On motion made by Mr. Farmer, seconded by Mr. Larry Jones, and passed, PR 3A was approved. (See PR 3A at the end of the Minutes.)

President Singletary also called attention to another outstanding member of the faculty, Daniel R. Reedy, Professor, Department of Spanish and Italian Languages and Literatures, whose appointment as Associate Dean for Graduate Studies was listed in the personnel actions in PR 2. Professor Reedy was introduced.

J. Approval of Degree Candidates - Lexington Campus and Medical Center (PR 3B)

Without discussion, Mr. Geary moved that the President be authorized to confer upon each individual whose name was listed in PR 3B the correct degree to which he or she is entitled. His motion was seconded by Mr. Brereton Jones and passed unanimously. (See PR 3B at the end of the Minutes.)

K. 1983-84 Budget Revisions (PR 3C)

On motion duly made, seconded, and passed, the 1983-84 budget revisions recommended in PR 3C were authorized and approved. (See PR 3C at the end of the Minutes.)

L. Report on Gifts and/or Grants (PR 3D)

With President Singletary so recommending, the report on gifts and/or grants covering the period from April 1, 1983 to June 30, 1983 was accepted and ordered made a matter of record. (See PR 3D at the end of the Minutes.)

II. Response to Office of Civil Rights (PR 3E)

President Singletary explained that the State's higher education desegregation plan has been revised since the Board acted in January, 1982, and a letter reiterating each institution's commitment to make good faith efforts to achieve the goals of the Plan is required as a condition of the acceptance granted by the Office for Civil Rights on June 22, 1983. Thereupon, Mrs. Hayes moved that the Board authorize President Singletary to sign on behalf of the University the letter to Governor Brown, a copy of which is attached to PR 3. Mrs. Hayes' motion was seconded by Mr. Ransey and passed unanimously. (See PR 3E at the end of the Minutes.)

M. Five Year Plan 1983-88 and Biennial Budget Request 1984-86

With the concurrence of the Chairman, President Singletary said he would present the Five Year Plan and Biennial Budget Request as his last agenda item.

O. Appointment of Director, Southeast Community College (PR 4A)

Chancellor Wethington indicated his pleasure in recommending the appointment of Dr. Vivian B. Blevins as Director of Southeast Community College. He reported on the search which was conducted following the resignation of Dr. Larry Stanley, and he reviewed briefly Dr. Blevins' experience and credentials. On motion made by Mr. Larry Jones, seconded by Mr. Watson, and passed, Dr. Vivian B. Blevins was named Director of Southeast Community College, effective September 1, 1983. (See PR 4A at the end of the Minutes.)

P. Candidates for Degrees - Community College System (PR 4B)

Upon motion duly made, seconded, and carried, approval was given to the awarding of degrees and certificates specified in PR 4B, upon certification that the requirements for those degrees or certificates have been satisfactorily completed. (See PR 4B at the end of the Minutes.)

Q. Patent Assignments (PR 5A)

Without discussion, on motion made by Professor Cantrell, seconded by Mr. Bradford, and passed, the three patent assignments recommended in PR 5A were approved. (See PR 5A at the end of the Minutes.)

R. Changes in Agreement Between the University of Kentucky and Kentucky Medical Services Foundation (PR 6A)

With President Singletary and Chancellor Bosomworth so recommending, Mr. Farmer moved that the Board approve the three changes in the 1983-84 agreement between University of Kentucky and the Kentucky Medical Services Foundation as recommended in PR 6A. Mr. Farmer's motion was seconded by Mr. Larry Jones and passed without dissent. (See PR 6A at the end of the Minutes.)

S. Interim Financial Report (FCR 1)

Mr. Clay, Chairman of the Finance Committee, reported that the committee had met on the morning of August 26, 1983 and considered eight agenda items to be presented for action, the first of which was the Interim Financial Report for the period ended May 31, 1983. The committee found the University to be "on target," and Mr. Clay moved that the report be accepted. His motion, seconded by Mr. Griffin, passed, and the report was accepted. (See FCR 1 at the end of the Minutes.)

T. Adoption of Resolutions Authorizing Issuance of Community Colleges Educational Buildings Revenue Bonds, Series E (FCR 2)

Thereupon, a motion was made by Mr. Clay and seconded by Mr. Larry Jones that the following titled Resolutions, which were read in summary form to the Board of Trustees, be passed and adopted:

RESOLUTION AUTHORIZING THE ISSUANCE OF \$3,500,000 UNIVERSITY OF KENTUCKY COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES E

RESOLUTION AUTHORIZING THE ISSUANCE OF \$3,500,000 UNIVERSITY OF KENTUCKY COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES E, AND THE ISSUANCE OF \$3,500,000 UNIVERSITY OF KENTUCKY COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BOND ANTICIPATION NOTES, SERIES E, IN ANTICIPATION THEREOF.

(The full Resolutions being attached to these Minutes as Exhibit 1 and 2, respectively.)

WHEREUPON, a vote being taken on the motion the result was as follows:

<u>Yeas</u>	<u>Nays</u>
William B. Sturgill	None
David T. Bradford	
Timothy A. Cantrell	
Albert G. Clay	
Tracy Farmer	
Ronald G. Geary	
George W. Griffin, Jr.	
Edythe Jones Hayes	
Brereton C. Jones	
R. Larry Jones	
James D. Kemp	
T. A. Lassetter	
W. Bruce Lunsford	
Robert T. McCowan	
A. Stevens Miles	
Frank Ramsey, Jr.	
Robert E. Watson	

Thereupon, the Chairman declared that the motion had carried and that the Resolutions had been passed and adopted and directed that the same be recorded in the Minutes of the Board. (See FCR 2 at the end of the Minutes.)

U. Disposal of Personal Property (FCR 3)

With the concurrence of the Finance Committee, Mr. Clay moved that the Board approve the disposal of personal property as recommended in FCR 3. His motion was seconded by Mr. Farmer and passed unanimously. (See FCR 3 at the end of the Minutes.)

V. Acceptance of Audit for the University of Kentucky Credit Union (FCR 4)

On motion made by Mr. Clay, seconded by Mr. Griffin, and passed without dissent, the Board of Trustees accepted the audited financial statement of the University of Kentucky Credit Union for the twelve-month period ended December 31, 1982. (See FCR 4 at the end of the Minutes.)

W. Capital Construction Report (FCR 5)

Mr. Clay moved that the Capital Construction Report for the twelve months ending June 30, 1983 be accepted. His motion was seconded by Mr. Miles and passed unanimously. (See FCR 5 at the end of the Minutes.)

X. Use of Maintenance Reserve (FCR 6)

Acting on the recommendation of the Finance Committee, Mr. Clay moved that the Vice Chancellor for Administration be authorized and directed by the Board to request the Trustee of the University of Kentucky Student Housing Bonds of 1960 to make available the sum of \$2,000.00 from the "Maintenance Reserve Account" of said Bonds for the purpose of paying the cost of replacing bedroom doors in the Alpha Gamma Rho Fraternity house located at 700 Woodland Avenue. Mr. Clay's motion was seconded by Mr. McCowan and passed without dissent. (See FCR 6 at the end of the Minutes.)

Y. Delegation Authorizing the President to Provide for the Acquisition of Real Property not Exceeding \$100,000 in Estimated Value (FCR 7)

On motion made by Mr. Clay, seconded by Mr. Brereton Jones, and passed, the Board authorized the President to provide for the acquisition of parcels of real property (within the land use plan approved by the Board of Trustees) which do not exceed an estimated value of \$100,000 provided funds are available and budgeted for such acquisition. (See FCR 7 at the end of the Minutes.)

Z. Quasi-Endowment of Blue-White Funds (FCR 8)

The Finance Committee so recommending, Mr. Clay moved that the Board authorize the establishment of a quasi-endowment fund for Blue-White monies and authorize the President to approve the transfer of funds to the quasi-endowment fund with the income from such funds being allocated for the use and benefit of the University's intercollegiate athletics program. Mr. Clay's motion was seconded by Mr. Griffin and passed without dissent. (See FCR 8 at the end of the Minutes.)

AA. Five Year Plan 1983-88 and Biennial Budget Request 1984-86 (PR 3F)

President Singletary in presenting the Five Year Plan and Biennial Budget Request indicated the major areas of emphasis in the Plan. He reviewed charts summarizing the State appropriations increases being requested and identified the specific capital construction projects requested for each of the sectors.

Following a brief discussion of the proposed budget, Mr. Clay moved that the Five Year Plan 1983-88 and Biennial Budget Request 1984-86 be approved for submission to the Council on Higher Education for review and recommendation to the Governor of the Commonwealth. His motion was seconded by Mr. Larry Jones and passed without dissent. (See PR 3F at the end of the Minutes.)

BB. Meeting Adjourned

There being no further business, the meeting was adjourned at 2:42 p.m.

Respectfully submitted,

George W. Griffin, Jr.
Secretary
Board of Trustees

(PR's 2, 3A, 3B, 3C, 3D, 3E, 3F, 4A, 4B, 5A, and 6A; FCR's 1, 2 (Exhibits 1 and 2), 3, 4, 5, 6, 7, and 8 which follow are official parts of the Minutes of the meeting.)

Office of the President
August 26, 1983

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: (1) that approval be given to the attached appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

Action taken: Approved X Disapproved Other

Date: August 26 , 1983

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ADMINISTRATION APPOINTMENTS

Lexington Technical Institute

Gooch, Peyton L., Associate Professor in the Community College System (with tenure), appointed Chairman of Division of Associated Health Technologies, 8/1/83 through 6/30/86.
Jaggard, Sharon B., Associate Professor in the Community College System (with tenure), appointed Acting Director, effective 8/19/83.
Pagan, Bonnie S., Associate Professor in the Community College System (with tenure), appointed Chairman of Division of Humanities, 7/1/83 through 6/30/86.

Paducah Community College

Johnson, Betty, Associate Professor in the Community College System (with tenure) appointed Chairman of Division of Sciences and Related Technologies, 7/1/83 through 6/30/86.

Somerset Community College

Garrett, Glyncon, Associate Professor in the Community College System (with tenure) appointed Chairman of Division of Social Sciences, Business and Related Technologies, 7/1/83 through 6/30/86.

Southeast Community College

Blevins, Vivian B., Associate Professor in the Community College System (with tenure), appointed Director, effective 9/1/83.

B. PROMOTIONS

Hopkinsville Community College

Peden, Ann, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Lexington Technical Institute

Kightlinger, Janet, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Wallace, Judy, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Paducah Community College

Wood, Kathy H., from Associate Professor in the Community College System (with tenure) to Professor in the Community College System (with tenure), effective 7/1/83.

Somerset Community College

McNeil, Virginia, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

C. CHANGES

Jefferson Community College

Sistarenik, John, Professor in the Community College System (with tenure), from Henderson Community College to Jefferson Community College, effective 7/1/83.

D. LEAVES OF ABSENCE

Paducah Community College

Crisp, Jayne C., Assistant Professor (without tenure) in the Community College System, Sabbatical leave with half salary, 7/1/83 through 12/31/83.

Somerset Community College

Anderson, James H., Associate Professor in the Community College System (with tenure), Sabbatical leave with half salary, 7/1/83 through 6/30/84.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Ashland Community College

Craycraft, Willie G., Instructor in the Community College System, 8/1/83 through 9/30/83.

Jennings, Jeannette H., Instructor in the Community College System, 8/1/83 through 6/30/84.

Stewart, Kay Biggs, Instructor in the Community College System, 8/1/83 through 6/30/84.

Elizabethtown Community College

Dawson, Joe D., Instructor in the Community College System 8/1/83 through 6/30/84.

Edmondson, Mauhee W., Instructor in the Community College System, 8/1/83 through 6/30/84.

Glenn, Lynda, Instructor in the Community College System, 8/1/83 through 6/30/84.

Glutting, Martha Jean, Instructor in the Community College System, 8/1/83 through 6/30/84.

Hays, Millard Lee, Instructor in the Community College System, 8/1/83 through 6/30/84.

Hunt, Allen N., Instructor in the Community College System, 8/1/83 through 6/30/84.

Jordan, Christopher, Instructor in the Community College System, 8/1/83 through 6/30/84.

Smith, Beverly L., Instructor in the Community College System, 8/1/83 through 6/30/84.

Hazard Community College

Cornett, William T., Instructor in the Community College System, 8/1/83 through 6/30/84.

Hall, Sharon G., Instructor in the Community College System, 8/1/83 through 6/30/84.

Hurt, Valina Kay, Instructor in the Community College System, 8/1/83 through 6/30/84.

Jones, Sharon L., Instructor in the Community College System, 7/1/83 through 6/30/84.

Henderson Community College

Conliffe, Donna M., Librarian IV in the Community College System, 7/16/83 through 6/30/84.

Pagan, Freida B., Instructor in the Community College System, 8/1/83 through 6/30/84.

Smith, Brent E., Instructor in the Community College System, 8/1/83 through 6/30/84.

Hopkinsville Community College

Hendricks, Charlotte M., Instructor in the Community College System, 7/1/83 through 6/30/84.

Jefferson Community College

Basham, Morris, Instructor in the Community College System, 8/1/83 through 6/30/84.

Bennett, Sharon K., Instructor in the Community College System, 8/1/83 through 6/30/84.

Butler, David A., Instructor in the Community College System, 8/1/83 through 6/30/84.

Case, April O., Instructor in the Community College System, 8/1/83 through 6/30/84.

Chapman, Robert E., Instructor in the Community College System, 8/1/83 through 6/30/84.

Cobbs, John L., Assistant Professor in the Community College System, 8/1/83 through 6/30/84.

Cooper, David, Instructor in the Community College System, 8/1/83 through 6/30/84.

Ecker, David P., Instructor in the Community College System, 8/1/83 through 6/30/84.

Hazelrigg, William R., Instructor in the Community College System, 8/1/83 through 6/30/84.

Kern, J. Michael, Instructor in the Community College System, 8/1/83 through 6/30/84.

Nelson, Sally F., Assistant Professor in the Community College System, 8/1/83 through 6/30/84.

Pollock, Steven R., Instructor in the Community College System, 8/1/83 through 6/30/84.

Scrogham, Bonnie J., Instructor in the Community College System, 8/1/83 through 6/30/84.

Sladek, Linda, Instructor in the Community College System, 8/1/83 through 6/30/84.

Taylor, Barbara J., Instructor in the Community College System, 8/1/83 through 6/30/84.

Varner, Katy L., Instructor in the Community College System, 8/1/83 through 6/30/84.

Wang, Lejen L., Instructor in the Community College System, 8/1/83 through 6/30/84.

Lexington Technical Institute

Allen, Margaret B., Instructor in the Community College System, 8/1/83 through 6/30/84.
Ghosal, Jitendra K., Assistant Professor in the Community College System, 8/1/83 through 6/30/84.
Knox, Patricia, Instructor in the Community College System, 8/1/83 through 6/30/84.
Lee, Paul Edward, Assistant Professor in the Community College System, 8/1/83 through 6/30/84.
Murrell, Diane M., Instructor in the Community College System, 8/1/83 through 6/30/84.
Owen, Brenda P., Instructor in the Community College System, 8/1/83 through 6/30/84.
Payne, Marilyn E., Instructor in the Community College System, 8/1/83 through 6/30/84.
Potts, Michael C., Assistant Professor in the Community College System, 8/15/83 through 6/30/84.

Madisonville Community College

Alexander, Dorothy E., Instructor in the Community College System, 8/1/83 through 6/30/84.
Arnold, Keith W., Instructor in the Community College System, 8/1/83 through 6/30/84.
Calkins, Brenda K., Instructor in the Community College System, 8/1/83 through 6/30/84.
Ervin, Robert C., Jr., Instructor in the Community College System, 8/1/83 through 6/30/84.
Robins, Luke P., Instructor in the Community College System, 8/1/83 through 6/30/84.
Simms, Helen L., Instructor in the Community College System, 8/1/83 through 6/30/84.
Trover, Michael L., Instructor in the Community College System, 8/1/83 through 6/30/84.

Maysville Community College

Houser, Jean P., Instructor in the Community College System, 8/1/83 through 6/30/84.
Jupin, Arvin H., Assistant Professor in the Community College System, 8/1/83 through 6/30/84.
Shanklin, Mollie C., Instructor in the Community College System, 8/1/83 through 6/30/84.
Wise, Gail E., Instructor in the Community College System, 8/1/83 through 6/30/84.

Paducah Community College

Blagg, Jacqueline, Instructor in the Community College System, 8/1/83 through 6/30/84.
Draffen, Carla K., Instructor in the Community College System, 8/1/83 through 6/30/84.
Eubanks, Sandra, Assistant Professor in the Community College System, 8/1/83 through 6/30/84.
Heflin, Connie S., Instructor in the Community College System, 8/1/83 through 6/30/84.
Houston, Jonia Lisa, Instructor in the Community College System, 8/1/83 through 6/30/84.
Hsieh, Chong-Fu, Instructor in the Community College System, 8/1/83 through 6/30/84.

Prestonsburg Community College

Gibson, Paula J., Instructor in the Community College System, 8/1/83 through 6/30/84.
Mulcahy, Rita M., Instructor in the Community College System, 8/1/83 through 6/30/84.
Rice, Teresa H., Assistant Professor in the Community College System, 8/1/83 through 6/30/84.
Travis, Sandra O., Assistant Professor in the Community College System, 8/1/83 through 6/30/84.

Somerset Community College

Brown, Alvis, Assistant Professor in the Community College System, 7/11/83 through 6/30/84.
Elam, Jennifer, Instructor in the Community College System 7/1/83 through 6/30/84.
Kelly, John J., Instructor in the Community College System, 8/5/83 through 6/30/84.
Tiwari, Braj, Instructor in the Community College System 7/1/83 through 6/30/84.

Southeast Community College

Brock, Louise, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Guyn, Hazel Katherine, Assistant Professor in the Community College System, 8/1/83 through 6/30/84.
Honchel, Ron, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Parrott, Gary Lynn, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.

Spencer, Deborah, Instructor in the Community College System, 8/1/83 through 6/30/84.
Westerfield, Sue, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Whitaker, Joy J., Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.

B. JOINT APPOINTMENTS

Hazard Community College

Baker, Barbara, Instructor in the Community College System, joint appointment as Instructor in the Southeast Community College, 8/1/83 through 6/30/84.
Collins, Gwendolyn, Instructor in the Community College System, joint appointment as Instructor in the Southeast Community College, 8/1/83 through 6/30/84.

C. REAPPOINTMENTS

Ashland Community College

Adkins, Jimmie L., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.
Santell, Beth, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Elizabethtown Community College

Caple, Edna, Librarian I in the Community College System (voluntary), 8/1/83 through 6/30/84.

Hopkinsville Community College

Mitchell, Barbara S., Instructor in the Community College System, 7/1/83 through 6/30/84.

Jefferson Community College

Algren, John, M. D., Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
Allnutt, David, Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
Bizot, David H., M. D., Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
Butcher, Barry, Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.

Case, Patricia H., Instructor in the Community College System, 7/1/83 through 6/30/84.
Covault, Michael W., Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
Graham, S. Lyle, M. D., Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
Hendricks, Paul, Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
Lloyd, John Aubrey, M. D., Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
McAllister, Carol, Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
McClain, Cheryl, Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
Norwood, Jean E., Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
Raymond, Pamela, Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
Reasor, Marilyn, Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
Roe, Elsa, M. D., Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
Sharpe, Garland, Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
Warfield, Linda, Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.
Wendell, Leon, Instructor in the Community College System (voluntary), 7/1/83 through 6/30/84.

Southeast Community College

Baker, Lee R., Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Barger, Dale, Instructor in the Community College System (voluntary), 9/14/83 through 5/31/84.
Blevins, Randy K., Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Breeding, Sherie, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Brooks, Sheila, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Brown, Pat, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Buckner, Peggy, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Campbell, Donna, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Colton, Sharon, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.

Combs, Kim, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Cornelius, Gary, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Gayheart, Wade, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Henry, Maxine, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Hensley, Denny, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Hensley, Elsie, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Hopkins, Roxie, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Howard, Charla, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Mabelitini, Greta, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
McLaughlin, Mary, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Metcalf, Phyllis, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Middleton, Marietta, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Minton, Tom, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Peachey, Cedric, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Saylor, Pam, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.
Wynn, Don, Instructor in the Community College System (voluntary), 9/1/83 through 5/31/84.

C. CHANGES

Lexington Technical Institute

Craig, Sandra A., Assistant Professor in the Community College System, from Madisonville Community College, 7/1/83 through 6/30/84.

D. TERMINAL REAPPOINTMENT

Southeast Community College

Lefever, Jerry Wayne, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

E. LEAVES OF ABSENCE

Lexington Technical Institute

Kightlinger, Janet M., Assistant Professor in the Community College System, Leave Without Pay, 7/1/83 through 6/30/84.

Prestonsburg Community College

Combs, Madonna C., Associate Professor in the Community College System, Leave Without Pay, 7/1/83 through 6/30/84.

Gray, Mary E., Instructor in the Community College System, Leave Without Pay, 7/1/83 through 6/30/84.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Rooney, James R., Professor (with tenure), Veterinary Science, effective 8/1/83.

Toman, Frank R., Visiting Professor, Agronomy, 7/1/83 through 8/15/83.

College of Arts and Sciences

Kryscio, Richard J., Associate Professor (with tenure), Statistics, effective 8/16/83.

McClanahan, Edward P., Visiting Professor, English, 8/16/83 through 12/31/83.

Maddox, Edgar D., Professor, Military Science, 7/1/83 through 7/1/86.

Marek, Wiktor, Visiting Associate Professor, Computer Science, 8/16/83 through 6/30/84.

Roy, Amit, Visiting Associate Professor, Mathematics, 8/16/83 through 5/31/84.

Thompson, Kenneth R., Professor, Aerospace Studies, effective 8/1/83.

College of Engineering

Grayson, Calvin G., Associate Professor (part-time), Civil Engineering, 7/1/83 through 6/30/84.

Jones, Denny A., Associate Professor (with tenure), Metallurgy and Materials Science, effective 7/1/83.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Guthrie, Robert D., Professor (with tenure), Chemistry, appointed Chairman, Chemistry, 7/1/83 through 6/30/87.

College of Education

Elton, Charles F., Professor (with tenure), Higher and Adult Education, appointed Chairman, Higher and Adult Education, 7/1/83 through 6/30/84.

Graduate School

Birge, Wesley, Professor (with tenure), Biological Sciences, appointed Director, Graduate Center for Toxicology, 7/1/83 through 6/30/87.

Reedy, Daniel R., Professor (with tenure), Spanish and Italian, appointed Associate Dean for Graduate Studies, effective 7/1/83.

University Extension

Boggs, Tex Lee, appointed Dean, University Extension, effective 9/10/83.

C. JOINT APPOINTMENTS

Graduate School

Diedrich, Donald F., Professor (with tenure) Pharmacology, College of Medicine, joint appointment as Professor (without tenure), Graduate Center for Toxicology, Graduate School, effective 7/1/83.

D. REAPPOINTMENTS

College of Agriculture

Willett, Joseph W., Visiting Professor, Agricultural Economics, 7/1/83 through 6/30/84.

College of Engineering

Cathey, Jimmie Joe, Associate Professor (without tenure), Electrical Engineering, 7/1/83 through 6/30/85.

Ueno, Yasuo, Visiting Professor, Chemical Engineering, 7/1/83 through 6/30/84.

Wala, Andrzej, Visiting Associate Professor, Mining Engineering, 7/1/83 through 6/30/84.

E. PROMOTIONS

College of Agriculture

Donahue, James M., from Assistant Clinical Professor* (without tenure), Veterinary Science, to Associate Clinical Professor* (with tenure), Veterinary Science, effective 7/1/83.

Infanger, Craig L., from Associate Extension Professor* (with tenure), Agricultural Economics, to Extension Professor*, (with tenure), Agricultural Economics, retroactive to 7/1/81.

*Special Title Series

College of Architecture

Dallerba-Ricci, Maria G., from Associate Professor (with tenure),
to Professor (with tenure), effective 7/1/83.

College of Business and Economics

Chew, It-keong, from Assistant Professor (without tenure),
Finance, to Associate Professor (with tenure), Finance,
effective 7/1/83.

Dubinsky, Alan J., from Assistant Professor (without tenure),
Marketing, to Associate Professor (with tenure), Marketing,
effective 7/1/83.

College of Engineering

Bhattacharyya, Dibakar, from Associate Professor (without tenure),
Chemical Engineering, to Professor (with tenure), Chemical
Engineering, effective 7/1/83.

College of Home Economics

Chow, Ching K., from Associate Professor (with tenure), Nutrition
and Food Science, to Professor (with tenure), Nutrition and
Food Science, effective 7/1/83.

F. CORRECTIONS IN MINUTES

College of Architecture

Prestridge, James A., Associate Professor (with tenure),
inadvertently reported as taking early retirement in the
Minutes of the May 3, 1983 meeting of the Board of Trustees.
At the same meeting, the report that Professor James A.
Prestridge was granted a leave of absence without pay for
the period of 7/1/83 through 11/30/83 was correct.

Sanders, Joel A. Incorrectly reported as changing from
Visiting Associate Professor (part-time) to Visiting
Associate Professor (full-time) in the Minutes of the
June 21, 1983 meeting of the Board of Trustees. He should
have been reported as changing from Visiting Assistant
Professor (part-time) to Visiting Assistant Professor
(full-time).

College of Home Economics

Paulk, Joe B., Associate Professor* (with tenure), Nutrition and Food Science. A recommendation for retirement was approved at the May 3, 1983 meeting of the Board of Trustees which stated that Professor Paulk retired with 11.5 consecutive years of service. The recommendation should have stated that Professor Paulk retired with 12.5 consecutive years of service.

G. RETIREMENTS

College of Agriculture

Capps, Chester A., Agricultural Technician, Western Kentucky Substation, after 33 consecutive years of service, effective 9/30/83.

Midkiff, Valva C., Regulatory Specialist, Regulatory Services, after 38 consecutive years of service, effective 8/31/83.

College of Architecture

Prestridge, James A., Associate Professor (with tenure), after 18 consecutive years of service, effective 12/1/83.

Associate Vice Chancellor for Academic Affairs

Trammell, Jeanne, Staff Assistant V, University Press, after 18.5 consecutive years of service, effective 6/30/83.

Vice Chancellor for Administration

Johnson, Arnold A., Control Room Attendant, Auxiliary Services, after 16.75 consecutive years of service, effective 8/31/83.

H. EARLY RETIREMENTS

College of Arts and Sciences

Carpenter, Mary W., Administrative Assistant I, Sociology, after 15 consecutive years of service, effective 8/26/83.

Vice Chancellor for Administration

Brooks, Nancy, Cafeteria Manager, Auxiliary Services, after 23 consecutive years of service, effective 11/1/83.

Brown, Baird P., Jr., Police Lieutenant, Public Safety Division, after 21 consecutive years of service, effective 9/1/83.

*Special Title Series

Clem, Helen, Staff Assistant II, Auxiliary Services, after
27 consecutive years of service, effective 9/1/83.
Clem, William, Meat Department Manager, Auxiliary Services,
after 28 consecutive years of service, effective 9/1/83.

I. POST-RETIREMENT APPOINTMENTS

College of Agriculture

Baugh, Lucy, Research Analyst, Regulatory Services, 7/1/83
through 6/30/84. (Time not to exceed two months total).
Deaton, Logan, Temporary Maintenance, Plant Pathology, 7/1/83
through 6/30/84.
Greenlee, Barney, Maintenance Supervisor, Management Operations,
7/1/83 through 6/30/84.

College of Engineering

Currens, V. C., Senior Lab Supervisor, Wenner Gren Laboratory,
7/1/83 through 6/30/84.
Walton, Warren W., Associate Professor Emeritus, Dean's Office,
7/1/83 through 6/30/84.
Wright, Fred, Professor Emeritus, Continuing Education,
7/1/83 through 6/30/84.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Reese, Duane E., Assistant Extension Professor*, Animal
Sciences, 6/1/83 through 6/30/84.

College of Arts and Sciences

Balota, David A., Assistant Professor, Psychology, 8/16/83
through 6/30/84.
Dougherty, Percy H., Assistant Professor, Geography, 8/16/83
through 5/31/84.
Fredman, Steven M., Assistant Professor, Biological Sciences,
8/16/83 through 12/31/84.
French, Roger, Assistant Professor, Statistics, 8/16/83
through 5/31/84.
Hughes, James M., Assistant Professor, Philosophy, 8/16/83
through 5/31/84.
Krause, Margaret H., Instructor, Chemistry, 8/16/83 through
5/31/84.

*Special Title Series

Liu, Jen-Pei, Instructor, Statistics, 8/16/83 through 6/30/84.
Peyrot, Mark F., Assistant Professor (part-time), Sociology,
8/16/83 through 5/31/84.
Quandt, Sara A., Assistant Professor, Anthropology, 8/16/83
through 6/30/84.
Taras, Raymond C., Assistant Professor, Political Science,
8/16/83 through 5/31/84.

College of Business and Economics

Roberts, Clyde A., Instructor, Accounting, 8/16/83 through
6/30/84.
Stokes, Carolyn R., Instructor, Accounting, 8/16/83 through
12/31/83.

College of Education

Leeper, Linda H., Assistant Professor, Special Education,
8/15/83 through 5/15/85.

College of Engineering

Ormsbee, Lindell, Assistant Professor, Civil Engineering,
7/1/83 through 6/30/84.

College of Fine Arts

Foose, Robert James, Assistant Professor, Art, 7/1/83 through
6/30/84.
Glixon, Jonathan E., Assistant Professor, Music, 8/16/83
through 6/30/84.
Holloway, John R., Assistant Professor*, Theatre, 8/16/83
through 6/30/84.

College of Law

Famularo, John M., Instructor (part-time), 10/1/83 through
2/29/84.
Harvey, Kerry B., Instructor (part-time), 10/1/83 through
2/29/84.
Lovely, Bernard, Instructor (part-time), 10/1/83 through
2/29/84.
Lovely, Sylvia, Instructor (part-time), 10/1/83 through
2/29/84.
McNally, Kevin M., Instructor (part-time), 10/1/83 through
2/29/84.
Overstreet, Mark, Instructor (part-time), 10/1/83 through
2/29/84.

Pfeiffer, Robert M., Instructor (part-time), 10/1/83 through 2/29/84.
Robinson, M. Gail, Instructor (part-time), 10/1/83 through 2/29/84.
Roney, Michael B., Instructor (part-time), 10/1/83 through 2/29/84
Shepherd, Phillip J., Instructor (part-time), 10/1/83 through 2/29/84.
Taylor, Kenneth R., Instructor (part-time), 10/1/83 through 2/29/84.
Wright, J. Ward, Instructor (part-time), 10/1/83 through 2/29/84.

B. ADMINISTRATIVE APPOINTMENTS

College of Agriculture

Muller, Robert N., Associate Professor (with tenure), Forestry, appointed Acting Chairman, Forestry, 8/1/83 through 1/31/84.

College of Arts and Sciences

Jones, Margaret E. W., Professor (with tenure), Spanish and Italian, appointed Acting Chairman, Spanish and Italian, 8/1/83 through 6/30/84.

Pepper, George H., Computing Research Advisor, Physics and Astronomy, effective 7/1/83.

College of Business and Economics

Wolff, John C., Jr., Executive Director, Kentucky Real Estate Center, effective 7/11/83.

College of Communications

Applegate, James L., Associate Professor (with tenure), Communication, appointed Acting Chairman, Communication, 6/10/83 through 1/5/84.

College of Engineering

Grayson, Calvin G., Associate Professor (part-time), Civil Engineering, appointed Acting Director, Kentucky Transportation Center, 7/1/83 through 6/30/84.

Kahler, Richard L., Biomedical Engineering Laboratory Supervisor, Wenner Gren Research Laboratory, effective 6/20/83.

College of Fine Arts

Moore, J. Steven, Instructor*, Music, appointed Assistant
Director, Marching Band, 7/1/83 through 6/30/84.

C. REAPPOINTMENTS

College of Agriculture

Colliver, Donald G., Assistant Professor, Agricultural Engineering
7/1/83 through 6/30/84.
Jessee, David L., Assistant Extension Professor*, Agricultural
Economics, 7/1/83 through 8/17/83.
Moore, Ian D., Assistant Professor, Agricultural Engineering,
7/1/83 through 8/1/83.
Overhults, Douglas G., Assistant Extension Professor*,
Agricultural Engineering, 7/1/83 through 6/30/84.
Reeves, Edward B., Assistant Research Professor, Sociology,
7/1/83 through 6/30/84.
Shurley, William D., Assistant Extension Professor*, Agricultural
Economics, 7/1/83 through 6/30/84.
Warner, Richard C., Assistant Extension Professor*, Agricultural
Engineering, 7/1/83 through 6/30/84.
Witham, Deborah B., Assistant Research Professor, Public
Information, 7/1/83 through 6/30/84.

College of Arts and Sciences

Black, David W., Assistant Professor, Philosophy, 8/16/83 through
12/31/83.
Pannill, Linda, Assistant Professor, English, 7/1/83 through
6/30/84.
Teoh, Kok Wah, Assistant Professor, Statistics, 7/1/83 through
5/31/84.

College of Business and Economics

Courtney, Stephen M., Assistant Professor, Accounting, 7/1/83
through 6/30/84.
McMillin, William D., Assistant Professor, Economics, 7/1/83
through 6/30/84.
Meadows, Nolan R., Instructor, Management, 7/1/83 through
6/30/84.
Reese, Howard L., Assistant Professor, Economics, 7/1/83
through 6/30/84.

College of Communications

Strover, Sharon L., Assistant Professor, Telecommunications,
7/1/83 through 6/30/84.

College of Education

Wolery, Mark, Assistant Professor, Special Education, 7/1/83
through 6/30/85.

College of Engineering

Cheeks, Richard, Adjunct Instructor, Civil Engineering, 7/1/83
through 6/30/84.

Gorman, C. Thomas, Adjunct Instructor, Civil Engineering, 7/1/83
through 6/30/84.

Gross, Todd S., Assistant Professor, Metallurgy and Materials
Science, 7/1/83 through 6/30/85.

House, Barry C., Adjunct Instructor, Civil Engineering, 7/1/83
through 12/31/83.

Hsieh, Yu-I, Assistant Professor, Electrical Engineering, 7/1/83
through 6/30/85.

College of Fine Arts

Dunn, Jon R. J., Instructor (part-time), Art, 8/16/83 through
5/31/84.

Feofanov, Dmitry, Instructor*, Music, 7/1/83 through 6/30/84.

Gron, Jack F., Assistant Professor, Art, 7/1/83 through 6/30/84.

Mendes, Guy, Instructor (part-time), Art, 8/16/83 through 5/31/84.

College of Home Economics

McMahon, Pamela, Clinical Instructor*, Nutrition and Food Science,
7/1/83 through 12/31/83.

College of Law

Thomas, Reginald L., Assistant Professor, 7/1/83 through 6/30/85.

College of Library and Information Science

Bellardo, Trudi, Assistant Professor, 7/1/83 through 6/30/84.

Pollard, Richard, Assistant Professor, 7/1/83 through 6/30/84.

D. PROMOTIONS WITHOUT TENURE

College of Business and Economics

Baye, Michael R., from Instructor, Economics, to Assistant Professor, Economics, 8/16/83 through 6/30/84.
Skinner, Steven J., from Instructor, Marketing, to Assistant Professor, Marketing, 7/1/83 through 6/30/84.

E. CHANGES

College of Architecture

Sanders, Joel A., from Visiting Assistant Professor to Assistant Professor, 7/1/83 through 6/30/84.

Libraries

Mesner, Lillian, from Visiting Librarian III, Agriculture Library, to Librarian III, Agriculture Library, 7/1/83 through 6/30/84.

Undergraduate Studies

Gatton, John S., from Visiting Assistant Professor, Honors, to Assistant Professor (part-time), Honors, 7/1/83 through 12/31/83.

F. TERMINAL REAPPOINTMENTS

College of Communications

Rayburn, J. D., Assistant Professor, Journalism, 7/1/83 through 6/30/84.

College of Fine Arts

Moore, J. Steven, Instructor*, Music, 7/1/83 through 6/30/84.

G. SPECIAL ASSIGNMENTS

College of Arts and Sciences

Rowell, Charles, Associate Professor (with tenure), English, 7/1/83 through 6/30/84. (Note: Rockefeller Foundation Fellowship to complete a book on black fiction since Civil Rights era).

*Special Title Series

H. LEAVES OF ABSENCE

College of Agriculture

Beck, Robert, Associate Professor (with tenure), Agricultural Economics, Sabbatical leave with full salary, 9/1/83 through 2/29/84.

College of Arts and Sciences

Ehmann, William D., Professor (with tenure), Chemistry, Sabbatical leave with full salary, 1/1/85 through 6/30/85.
Reichel, Lothar, Assistant Professor, Mathematics, Leave without pay, 7/1/83 through 6/30/84.

College of Business and Economics

Clayton, Ronnie, Assistant Professor, Finance, Leave without pay, 7/1/83 through 6/30/84.
Krislov, Joseph, Professor (with tenure), Economics, Leave without pay, 7/1/83 through 6/30/84.
Tipgos, Manuel A., Professor (with tenure), Accounting, Leave without pay, 7/1/83 through 6/30/84.

College of Education

Mason, Emanuel, Professor (with tenure), Educational and Counseling Psychology, Leave without pay, 7/1/83 through 6/30/84.

College of Engineering

Todd, Lee T., Jr., Associate Professor (with tenure), Electrical Engineering, Leave without pay, 7/1/83 through 6/30/84.

College of Fine Arts

Brunner, Lance, Associate Professor (with tenure), Music, Leave without pay, 1/1/84 through 6/30/84.
Finkenstaedt, Elizabeth, Associate Professor (with tenure), Art, Sabbatical leave with full salary, 7/1/83 through 12/31/83.
Stark, Lucien P., Professor* (with tenure), Music, Sabbatical leave with full salary, 7/1/83 through 12/31/83.
Wang, Cecilia, Associate Professor* (with tenure), Music, Sabbatical leave with half salary, 7/1/83 through 6/30/84.

College of Library and Information Science

Allen, Lawrence A., Professor (with tenure), Sabbatical leave
with full salary, 1/1/84 through 6/30/84.

College of Social Work

Taylor, Ann Hall, Assistant Professor*, Leave without pay,
7/1/83 through 12/31/83.

Undergraduate Studies

Rabel, Robert, Associate Professor (with tenure), Honors,
Sabbatical leave with full salary, 1/1/84 through 6/30/84.

*Special Title Series

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Dentistry

Johnston, Lysle E., Visiting Professor, Orthodontics,
10/31/83 through 2/28/84.

College of Medicine

Dawson, Philip L., Instructor, Medicine, 7/1/83 through
6/30/84.

Frank, Arthur L., Professor (with tenure), Preventive
Medicine & Environmental Health, effective 8/1/83.

Friedman, Bruce J., Assistant Professor, Medicine, joint
appointment as Assistant Professor, Radiation Medicine,
7/1/83 through 6/30/84.

Musgrave, Story F., Associate Clinical Professor (voluntary),
Physiology and Biophysics, 7/1/83 through 6/30/85.

Sartini, John C., Associate Clinical Professor (voluntary),
Medicine, 7/1/83 through 6/30/85.

Straley, Susan C., Assistant Professor, Medical Microbiology
& Immunology, 7/1/83 through 6/30/84.

College of Pharmacy

Bivins, Brack A., Associate Adjunct Professor (voluntary),
7/1/83 through 6/30/84.

Ravin, Louis J., Adjunct Professor (voluntary), 7/1/83
through 6/30/84.

B. ADMINISTRATIVE APPOINTMENTS

College of Allied Health Professions

Christensen, Ralph C., Associate Professor* (with tenure),
Health Radiation Sciences, joint as Assistant Professor*,
Radiation Medicine, College of Medicine, named Chairman,
Health Radiation Sciences, 8/15/83 through 8/14/89.

College of Medicine

Frank, Arthur L., Professor (with tenure), named Chairman,
Preventive Medicine & Environmental Health, effective
8/1/83 through 7/31/89.

Greene, John W., Jr., Professor (with tenure), reappointed
Chairman, Obstetrics & Gynecology, 7/1/82 through
6/30/88.

*Special Title Series

C. REAPPOINTMENTS

College of Dentistry

Graves, Richard C., Associate Professor, Community Dentistry, 7/1/83 through 6/30/84.
Green, W. Spalding, Associate Professor (part-time), Oral Diagnosis & Oral Medicine, joint as Associate Professor (part-time), Community Dentistry, 7/1/83 through 6/30/84.
Lange, Karl W., Associate Professor (part-time), Prosthodontics, joint as Associate Professor (part-time), Community Dentistry, 7/1/83 through 6/30/84.
Newsome, Oakie G., Associate Professor (part-time), Periodontics, 7/1/83 through 6/30/84.

College of Medicine

Belin, Robert P., Clinical Professor (part-time), Surgery, 7/1/83 through 6/30/84.
Gerson, Kenneth L., Associate Clinical Professor (part-time), Pediatrics, 7/1/83 through 6/30/84.
Leavell, Ullin W., Clinical Professor (part-time), Medicine, 7/1/83 through 6/30/84.
Mayer, Lloyd D., Clinical Professor (part-time), Medicine, 7/1/83 through 6/30/84.
Offutt, William N. IV, Associate Clinical Professor (part-time), Ophthalmology, joint as Associate Clinical Professor, (part-time), Surgery, 7/1/83 through 6/30/84.
Saykaly, Ronald J. Jr., Associate Clinical Professor (part-time), Medicine, 7/1/83 through 6/30/84.

D. PROMOTIONS

College of Pharmacy

Goldberg, Arthur H., from Associate Adjunct Professor (voluntary), to Adjunct Professor (voluntary), 7/1/83 through 6/30/86.
Sieg, James W., from Assistant Professor (without tenure), to Associate Professor (with tenure), effective 7/1/83.

E. CHANGES

College of Dentistry

Okeson, Jeffrey P., from Associate Professor (with tenure), Restorative Dentistry, to Associate Professor* (with tenure), Restorative Dentistry, effective 7/1/83.

College of Medicine

Laine, Roger A., from Associate Professor (with tenure), Biochemistry, to Adjunct Professor (voluntary), Biochemistry, 8/1/83 through 6/30/84.

*Special Title Series

F. RETIREMENTS

Support Units

Adamini, Lester, Custodial Worker I, Physical Plant Division, after 15 consecutive years of service, effective 8/21/83.

G. EARLY RETIREMENTS

College of Medicine

Welch, Ethel Louise, Staff Assistant VII, Anesthesiology, after 41 consecutive years of service, effective 8/31/83.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Downey, Geneva, Clinical Instructor (voluntary), Medical Technology, 7/1/83 through 6/30/85.
Ely, Douglas D., Clinical Instructor (voluntary), Physical Therapy, 5/30/83 through 6/30/85.

College of Dentistry

Allery, Elaine R., Assistant Research Professor, Community Dentistry, 7/13/83 through 6/30/84.
Burch, Bernard E. Jr., Clinical Instructor (voluntary), Community Dentistry, 5/16/83 through 6/30/84.
Caudill, Jerry W., Clinical Instructor (voluntary), Community Dentistry, 5/16/83 through 6/30/84.
Derer, Cindy M., Clinical Instructor (voluntary), Community Dentistry, 5/16/83 through 6/30/84.
Drake, Phil A., Clinical Instructor (voluntary), Community Dentistry, 5/27/83 through 6/30/84.
Ferguson, Terrell W., Clinical Instructor (voluntary), Community Dentistry, 5/27/83 through 6/30/84.
Fischer, John W., Assistant Professor (part-time), Orthodontics, 7/1/83 through 6/30/84.
Hollingsworth, Robert H., Clinical Instructor (voluntary), Community Dentistry, 5/27/83 through 6/30/84.
Jung, John J., Clinical Instructor (voluntary), Community Dentistry, 5/16/83 through 6/30/84.
Klaene, Thomas R., Clinical Instructor (voluntary), Community Dentistry, 5/16/83 through 6/30/84.
Owen, Douglas G., Assistant Clinical Professor (voluntary), Oral Diagnosis & Oral Medicine, 7/1/83 through 6/30/84.
Robinette, Samuel P., Clinical Instructor (voluntary), Community Dentistry, 5/27/83 through 6/30/84.

Shepherd, William D., Clinical Instructor (voluntary),
Community Dentistry, 5/27/83 through 6/30/84.
Shumway, James M., Assistant Research Professor, Community
Dentistry, 7/1/83 through 6/30/84.
Stephenson, J. M., Clinical Instructor (voluntary), Community
Dentistry, 5/27/83 through 6/30/84.
Stephenson, Todd, Clinical Instructor (voluntary), Community
Dentistry, 5/27/83 through 6/30/84.
Tarrant, John D., Clinical Instructor (voluntary), Community
Dentistry, 5/16/83 through 6/30/84.
Whiteside, G. Philip, Clinical Instructor (voluntary),
Community Dentistry, 5/27/83 through 6/30/84.

College of Medicine

Atcher, Dwight D., Assistant Professor*, Psychiatry, 7/1/83
through 6/30/84.
Baker, Robert S., Assistant Professor, Ophthalmology,
joint as Assistant Professor, Neurology, 7/1/83 through
6/30/84.
Broughton, Robert A., Assistant Professor, Pediatrics,
8/15/83 through 6/30/84.
Cloar, Robert B., Assistant Clinical Professor (voluntary),
Family Practice, 7/1/83 through 6/30/85.
Collier, James M. III, Assistant Clinical Professor (voluntary),
Family Practice, 7/1/83 through 6/30/85.
Crouch, Richard H., Assistant Clinical Professor (voluntary),
Family Practice, 7/1/83 through 6/30/85.
Davidson, William A., Assistant Professor (temporary),
Anesthesiology, 7/25/83 through 9/30/83.
Eastham, Mary L., Clinical Instructor (voluntary), Obstetrics &
Gynecology, 6/1/83 through 6/30/85.
Fidler, Robert W., Assistant Clinical Professor (voluntary),
Family Practice, 7/1/83 through 6/30/85.
Jackson, Bill G., Assistant Clinical Professor (voluntary),
Family Practice, 7/1/83 through 6/30/85.
Kasten, Gregory W., Assistant Professor (temporary), Anesthesiology,
7/1/83 through 9/30/83.
Lyles, Michael R., Assistant Professor*, Psychiatry, 7/1/83
through 6/30/84.
Mitchell, Stephen, Clinical Instructor (part-time), Medicine,
7/1/83 through 6/30/84.
Paul, William A., Clinical Instructor (part-time), Medicine,
7/1/83 through 6/30/84.
Robbins, John B., Clinical Instructor (part-time), Medicine,
7/1/83 through 6/30/84.
Ross, Ronald, Assistant Professor, Preventive Medicine &
Environmental Health, 8/1/83 through 6/30/84.
Shedlofsky, Steven I., Assistant Professor, Medicine, 7/1/83
through 6/30/84.
Steele, A. Arthur, Assistant Clinical Professor (voluntary),
Medicine, 7/1/83 through 6/30/85.
Woolley, Robert B., Assistant Clinical Professor (part-time),
Psychiatry, 7/1/83 through 6/30/84.

*Special Title Series

College of Pharmacy

Carr, Clinton J., Clinical Instructor (voluntary),
6/1/83 through 6/30/84.
Elgert-Madison, Janet F., Clinical Instructor (voluntary),
7/1/83 through 6/30/84.
Greer, Durinda Sue, Clinical Instructor (voluntary),
6/1/83 through 6/30/84.
Long, Larry B., Clinical Instructor (voluntary), 6/1/83
through 6/30/84.
Marshall, William David, Assistant Clinical Professor
(voluntary), 7/1/83 through 6/30/84.
Mobley, Carole M., Clinical Instructor (voluntary), 6/1/83
through 6/30/84.
Newcom, Curtice L. Jr., Clinical Instructor (voluntary),
6/1/83 through 6/30/84.
O'Neal, Welton Jr., Assistant Professor*, 7/1/83 through
6/30/84.

Medical Center Library

Hilton, Beverly A., Librarian III (temporary), 8/1/83
through 1/31/84.

B. ADMINISTRATIVE APPOINTMENTS

College of Allied Health Professions

Thornton, Paul A., Professor (with tenure), Clinical
Nutrition, named Acting Chairman, Clinical Nutrition,
7/1/83 through 12/31/83.

College of Dentistry

Mink, John R., Professor (with tenure), Pediatric Dentistry,
reappointed Acting Chairman, Pediatric Dentistry,
7/1/83 through 6/30/84.

College of Medicine

Cowen, David L., Professor (with tenure), Rehabilitation
Medicine, joint as Professor, Medicine, named Interim
Dean, 9/1/83 through 2/28/84.

College of Pharmacy

Lubawy, William C., Professor (with tenure), named Assistant
Dean for Academic Affairs, effective 6/15/83.

C. NON-ACADEMIC APPOINTMENTS

College of Medicine

Eckert, Denise W., Medical Physicist, Radiation Medicine,
effective 6/15/83.

*Special Title Series

College of Pharmacy

Graves, David A., Pharmaceutical Scientist, effective 7/18/83.
Wakefield, Bonnie Mae, Coordinator of Continuing Education, effective 7/1/83.

Business Services

Cunningham, Gary D., Director of Facilities, effective 6/15/83.

University Hospital

Moore, Larry E., Director of Accounting, effective 5/1/83.
Tolbert, James D. Jr., Director of Reimbursement and Rates, effective 6/15/83.

D. JOINT APPOINTMENTS

College of Allied Health Professions

Elliott, Katie, Clinical Instructor (voluntary), Physical Therapy, joint appointment as Clinical Instructor (voluntary), Allied Health Education & Research, 5/9/83 through 6/30/85.

College of Dentistry

Greer, James R., Assistant Professor (part-time), Orthodontics, joint appointment as Assistant Professor (part-time), Community Dentistry, 5/27/83 through 6/30/84.

E. REAPPOINTMENTS

College of Allied Health Professions

Wagner, David R., Instructor (temporary), Clinical Nutrition, 7/1/83 through 6/30/84.

College of Dentistry

Barker, Lawrence E., Clinical Instructor (voluntary), Community Dentistry, 7/1/83 through 6/30/84.
Benson, Deon, Clinical Instructor (voluntary), Community Dentistry, 7/1/83 through 6/30/84.
Berkowitz, Jackie, Assistant Clinical Professor (voluntary), Orthodontics, 7/1/83 through 6/30/84.
Berre, Edgar L., Assistant Professor (part-time), Orthodontics, 7/1/83 through 6/30/84.
Chandler, James A., Assistant Professor (part-time), Prosthodontics, 7/1/83 through 6/30/84.
Coffman, Wesley B., Assistant Professor (part-time), Endodontics, joint as Assistant Professor (part-time), Community Dentistry, 7/1/83 through 6/30/84.
Dalmaso, Matteo, Assistant Clinical Professor (voluntary), Restorative Dentistry, 7/1/83 through 6/30/84.

Daugherty, William B., Clinical Instructor (voluntary),
Community Dentistry, 7/1/83 through 6/30/84.

Dean, Melvin W., Assistant Professor (part-time),
Orthodontics, 7/1/83 through 6/30/84.

Drobosky, Oles, Clinical Instructor (voluntary), Community
Dentistry, 7/1/83 through 6/30/84.

Eblen, Donald W., Clinical Instructor (voluntary), Community
Dentistry, 7/1/83 through 6/30/84.

Ellis, James C., Clinical Instructor (voluntary),
Community Dentistry, 7/1/83 through 6/30/84.

Farmer, Steven E., Clinical Instructor (voluntary),
Community Dentistry, 7/1/83 through 6/30/84.

Forbess, Jackie B., Assistant Professor (part-time),
Pediatric Dentistry, 7/1/83 through 6/30/84.

Greer, Russell P., Clinical Instructor (voluntary),
Community Dentistry, 7/1/83 through 6/30/84.

Haubenreich, James, Assistant Clinical Professor (voluntary),
Restorative Dentistry, joint as Assistant Clinical
Professor (voluntary), Community Dentistry, 7/1/83
through 6/30/84.

Hill, Jimmy V., Assistant Professor (part-time), Prosthodontics,
7/1/83 through 6/30/84.

Hopkins, Jerome D., Clinical Instructor (voluntary),
Community Dentistry, 7/1/83 through 6/30/84.

Hungerbuhler, Ronald R., Clinical Instructor (voluntary),
Community Dentistry, 7/1/83 through 6/30/84.

Johnson, John B., Assistant Professor (part-time), Endodontics,
joint as Assistant Professor (part-time), Community
Dentistry, 7/1/83 through 6/30/84.

Kenney, Charles, Assistant Clinical Professor (voluntary),
Orthodontics, 7/1/83 through 6/30/84.

Larkin, Linda A., Clinical Instructor (voluntary), Community
Dentistry, 7/1/83 through 6/30/84.

Lee, Johnson C., Clinical Instructor (voluntary), Community
Dentistry, 7/1/83 through 6/30/84.

Lemmerman, Keith T., Assistant Professor (part-time),
Periodontics, 7/1/83 through 6/30/84.

McAlpin, Gordon, Clinical Instructor (voluntary), Community
Dentistry, 7/1/83 through 6/30/84.

McAlpin, Roger D., Clinical Instructor (voluntary),
Community Dentistry, 7/1/83 through 6/30/84.

McElfresh, Monte, Clinical Instructor (voluntary),
Community Dentistry, 7/1/83 through 6/30/84.

McMahon, Timothy J., Clinical Instructor (voluntary),
Community Dentistry, 7/1/83 through 6/30/84.

Meador, Steven M., Clinical Instructor (voluntary),
Community Dentistry, 7/1/83 through 6/30/84.

Miles, William A., Clinical Instructor (voluntary),
Community Dentistry, 7/1/83 through 6/30/84.

Nicol, Bruce, Clinical Instructor (voluntary), Community
Dentistry, 7/1/83 through 6/30/84.

Rider, Steven J., Assistant Professor (part-time),
Prosthodontics, joint as Assistant Professor (part-time),
Community Dentistry, 7/1/83 through 6/30/84.

Rosenthal, Ira M., Assistant Professor (part-time),
Periodontics, 7/1/83 through 6/30/84.
Struss, Edwin F., Assistant Professor (part-time),
Oral Diagnosis & Oral Medicine, joint as Assistant
Professor (part-time), Community Dentistry, 7/1/83
through 6/30/84.
Thomas, Mark V., Assistant Professor (part-time), Oral
Diagnosis & Oral Medicine, joint as Assistant Professor
(part-time), Community Dentistry, 7/1/83 through 6/30/84.
Tulloch, Joan Frances, Assistant Professor, Orthodontics,
7/1/83 through 11/30/83.
Turner, Erwin, Assistant Professor (part-time), Pediatric
Dentistry, 7/1/83 through 6/30/84.
Walmer, Susan L., Clinical Instructor (voluntary), Community
Dentistry, 7/1/83 through 6/30/84.
Wehrman, Paul T., Assistant Professor (part-time), Endodontics,
7/1/83 through 6/30/84.

College of Medicine

Cohen, Alan I., Instructor, Medicine, 7/1/83 through 6/30/84.
Cramer, John R., Assistant Clinical Professor (part-time),
Emergency Medicine, joint as Assistant Clinical
Professor (part-time), Community Dentistry, 7/1/83
through 6/30/84.
Heffron, William, Assistant Clinical Professor (part-time),
Psychiatry, 7/1/83 through 6/30/84.
Primm, Daniel G. Jr., Assistant Professor, Surgery,
7/1/83 through 6/30/84.
Roy, Mark W., Assistant Research Professor, Surgery,
7/1/83 through 8/14/83.
Webb, Pamela J., Assistant Clinical Professor (part-time),
Anesthesiology, 7/1/83 through 6/30/84.
Wolf, Betty I., Assistant Clinical Professor (part-time),
Pediatrics, 7/1/83 through 6/30/84.

College of Pharmacy

Abell, Robert J., Instructor (part-time), 7/1/83 through
6/30/84.
Arnold, James T., Instructor (part-time), 7/1/83 through
6/30/84.
Baird, Robert J., Instructor (part-time), 7/1/83 through
6/30/84.
Brown, James B., Instructor (part-time), 7/1/83 through
6/30/84.
Clarkson, Thomas R., Instructor (part-time), 7/1/83 through
6/30/84.
Mink, Patti Sizemore, Clinical Instructor (voluntary),
7/1/83 through 6/30/85.
Pahl, Ricky C., Assistant Professor (part-time), 7/1/83
through 6/30/84.

Piascik, Mary M., Assistant Professor (part-time), 7/1/83 through 6/30/84.

Terry, Herbert Nelson, Assistant Professor (part-time), 7/1/83 through 6/30/84.

Tiggelar, John, Assistant Professor (part-time), 7/1/83 through 6/30/84.

F. CHANGES

College of Dentistry

Martin, Michael D., from Assistant Professor (part-time), Community Dentistry, to Assistant Professor*, Community Dentistry, 7/1/83 through 6/30/84.

Skeeters, T. Milton, from Assistant Professor, Restorative Dentistry, to Assistant Professor*, Restorative Dentistry, 7/1/83 through 6/30/84.

College of Medicine

Elliott, Edward C., from Clinical Instructor (part-time), Pathology, to Instructor (temporary), Pathology, 7/1/83 through 6/30/84.

Koffler, Bruce H., from Assistant Professor, Ophthalmology, to Assistant Clinical Professor (part-time), Ophthalmology, 6/1/83 through 6/30/84.

Nissen, Steven E., from Instructor (part-time), Medicine, to Instructor, Medicine, 7/1/84 through 6/30/84.

Schloemer, George L., from Clinical Instructor (part-time), Medicine, to Instructor, Medicine, 7/1/83 through 6/30/84.

Waters, John S., from Clinical Instructor (part-time), Medicine, to Instructor, Medicine, 7/1/83 through 6/30/84.

G. TERMINAL REAPPOINTMENTS

College of Medicine

Munk, Richard L., Assistant Professor, Surgery, 7/1/83 through 12/31/83.

H. LEAVES OF ABSENCE

College of Dentistry

Saxe, Stanley R., Professor (with tenure), Periodontics, sabbatical leave with full salary, 1/1/84 through 6/30/84.

College of Medicine

Diedrich, Donald F., Professor (with tenure), Pharmacology,
joint as Professor (without tenure), Graduate Center
for Toxicology, leave without pay for period of 6/1/84
through 7/31/84 and 6/1/85 through 7/31/85.

Garritty, Thomas F., Professor (with tenure), Behavioral
Science, joint as Professor (without tenure), Sociology,
College of Arts & Sciences, sabbatical leave with full
salary, 1/1/84 through 6/30/84.

PR 3A

Members, Board of Trustees:

I. APPOINTMENT OF VICE PRESIDENT FOR ADMINISTRATION

Recommendation: that James O. King be appointed Vice President for Administration, effective September 1, 1983.

Background: The position of Vice President for Administration is the University's key policy and planning position with institution-wide scope and is directly responsible to the President for assistance in the general administration of the University. The functions of this position are of critical importance to the institution, and the Vice President exercises the functions of President when the President is absent from the University.

The recommendation that James O. King be named Vice President for Administration comes after consideration of a substantial number of qualified candidates. Mr. King, who is currently Secretary of the Cabinet for the Mayor of Louisville, is very well suited to assume the responsibilities of the position because of his extensive knowledge, background, and experience in higher education and in public policy and administration at the local, state, and federal levels of government.

Mr. King is a graduate of the University of Alabama. He also holds a master's degree in political science from that institution and is an alumnus of the Southern Regional Training Program in Public Administration. He has served in a variety of university administrative positions at Eastern Kentucky University and at the University of Kentucky, where he last served in 1981-82 as Special Assistant to the President. He was Secretary of the Governor's Cabinet under two Kentucky governors, and, at the federal level, served as Administrative Assistant to U. S. Senator Wendell Ford.

II. APPOINTMENT OF ASSOCIATE DEAN

Recommendation: that Fred W. Zechman, Professor, Department of Physiology and Biophysics, College of Medicine, be named Associate Dean for Research and Graduate Studies for the Medical Center, effective September 1, 1983.

Background: This appointment is a result of the reorganization of the Graduate School adopted by the Board at its meeting on March 1, 1983.

Action taken: Approved X Disapproved _____ Other _____

Date: August 26, 1983.

Office of the President
August 26, 1983

PR 3B

Members, Board of Trustees:

APPROVAL OF DEGREE CANDIDATES
LEXINGTON CAMPUS AND MEDICAL CENTER

Recommendation: that the President be authorized to confer upon each individual whose name appears on the list presented herewith the degree to which he/she is entitled, upon certification by the Dean of Admissions and Registrar and approval by the University Senate, that the individual has satisfactorily completed all requirements for the degree for which application has been made.

Background: Each individual whose name appears on the attached list completed the work leading toward the degree for which application was made at the close of the 1983 Summer Session.

Action taken: Approved X Disapproved Other

Date: August 26 , 1983

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506-0033

DEAN OF ADMISSIONS AND REGISTRAR
GILLIS BUILDING

August 8, 1983

ATTENTION -- MEMBERS OF UNIVERSITY SENATE
GRADUATION LIST - AUGUST 1983

In accordance with University procedures of the Senate Council, the official graduation list will not be mailed to each senator for approval. However, a limited supply will be available by or before August 11 in each of the following locations:

Senate Council Office.....Room 10 Administration Building
Each Academic Dean's Office
Student Government Association Office.....Room 120 Student Center
Registrar's Office.....Room 107 Gillis Building

Questions about the graduation list should be directed to the Registrar's Office, 7-7156.



George M. Dexter
Associate Registrar

GMD: mjk

OFFICE OF ADMISSIONS AND REGISTRAR
CANDIDATES FOR DEGREES
AUGUST 4, 1983

GRADUATE DEGREES

PH.D.	80
D.B.A.	11
ED.D.	25
ED.S.	1
M.A.	95
M.A. EDUC.	41
M.A.I.D.	2
M.B.A.	41
M.F.A.	4
M.M.	7
M.N.S.	11
M.P.A.	12
M.R.C.	5
M.S.	123
M.S. ACC.	2
M.S. AGR.	5
M.S. AGR. E.	11
M.S. CHE. E.	9
M.S. CIV. E.	5
M.S. C. NUT.	8
M.S.D.	5
M.S. EDUC.	35
M.S.E.E.	19
M.S.E. MEC.	2
M.S.F.	4
M.S. FOR.	2
M.S.L.S.	22
M.S.M.E.	18
M.S. MET. E.	5
M.S. MIN. E.	3
M.S.N.	39
M.S.R.M.P.	2
M.S.W.	39
TOTAL	693

PROFESSIONAL DEGREES

J.D.	5
TOTAL	5

UNDERGRADUATES

<u>Agriculture</u>	
B.S. IN AGR.	28
B.S. FOR.	8
B.S. LA. ARCH.	2
TOTAL	38
 <u>Allied Health</u>	
B.H.S.	22
TOTAL	22
 <u>Architecture</u>	
B. ARCH.	10
TOTAL	10
 <u>Arts & Sciences</u>	
B.A.	76
B.G.S.	23
B.S.	42
TOTAL	141

Business & Economics

B.B.A.	127
B.S. ACC.	61
B.S.B.E.	3
TOTAL	191

Communications

B.A.	43
B.S.	5
TOTAL	48

Education

B.A. EDUC.	74
B.S. EDUC.	2
TOTAL	76

Engineering

B.S. CHE. E.	4
B.S. CIV. E.	14
B.S.E.E.	6
B.S.M.E.	12
B.S. MET. E.	2
B.S. MIN. E.	3
TOTAL	41

Fine Arts

B.A.	9
B.F.A.	1
B.M.	1
B.M.E.	1
TOTAL	12

Home Economics

B.A. HID.	9
B.S. DET.	7
B.S.F.S.	13
B.S.H.E.	17
B.S.H.F.	1
TOTAL	47

Nursing

B.S. NUR.	4
TOTAL	4

Pharmacy

B.S. PHARM.	1
TOTAL	1

Social Work

B.A.S.W.	15
TOTAL	15

GRADUATE DEGREES	693
PROFESSIONAL DEGREES	5
UNDERGRADUATE DEGREES	646
TOTAL	1,344

Graduate School

Dean: Wimberly C. Royster

Doctor of Philosophy

WADUN ABDESSALEH
 ANCURY THOMAS ANTHONY
 AKTAN MEHMET TURKEFE
 BALUKIUGE HILDEGARD ALTHOFF
 BALUWIN BEVERLY ANN
 BERTSCH PAUL M
 BOWLEY STEPHEN RAE
 BURKE BETSY ANN
 BURTON DAVID GOURICH
 CHAMBERS DONALD MARK
 CHAPMAN ANN DANIEL
 CHARLES JOHN BAUNER
 CHOWDHURY ABDUR RAHIM
 CUSKREN THOMAS DENNIS
 CUMMINGS SHEILA JAN
 EDWARDS CHARLES ANDERSON
 ENUCH IMAN E
 FAKES MICHAEL G
 FUELL LAWRENCE DAVID
 GARUNER PAUL JOHN
 GHAFFAR KUSLAN BIN A
 GIBBS EARL MICHAEL
 GUNN LAMANNA KAYE
 HARPER JAMES EDWARD
 HARTUNG RICHARD CHARLES
 HEINZ ROBERT ERIC
 HUEHN JOHN PHILLIP
 HULT ELVIN
 HUSMANE BALAKRISHNA S
 HUANG EDWARD CHUNG-HENG
 HULLUK ISHAPPA S
 HUSSAIN MUNIR A
 JACKSON JACQUELYN V LUGAN
 KELLEY BENJAMIN SOUTHERLAND

KHAN AKM AMANULLAH
 KING STEPHEN CLARK
 KLEPPER GERHARDT
 KLEPPINGER ELIZABETH
 KUNDA THOMAS MILAN
 LEE KING CHARM
 LIU JEN-PEI
 MALPASS PETER GURDON
 MANSOURIGHIASI SEIED HOSSEIN
 MILLER GREEN RUSSELL
 MITRA RANA
 MODJO HAKAM SUMADI
 MUKROM JENNIFER LEE KENNEDY
 HUI HAK WAN
 NORTON HIRAM ALFRED JR
 OSEERKEY KAREN BLANCHE
 PAO HUNG-YI
 PAWLUKIEWICZ JAMES EDWARD
 PEARSON PATRICIA A BRADLEY
 PERKITT LEA JEAN GRAMBLETTE
 PHIPER CURTIS BLAIR JR
 KANDULPH SHARON KOGENE
 KEAVES FLORENCE ANN
 KICE CHARLES WILLIAM
 KUBERTS BRIAN LINDSEY
 KUSS ROBERT DANIEL
 KOTHKE STEVEN ELLIOT
 KOWSE KIM LAKENE
 SAMARASINGHE ANANDA MAHINDA
 SCHUSTER GEORGE JOSEPH
 SCHUTZ LARRY
 SHAMMA SUBHASH CHANDER
 SHEKRATT TIMOTHY R
 SIAM MARTIN F E
 SIMS RICHARD GLENN
 SOUTHARD DAVID REID
 STALKER DENNIS JAMES
 THUMSON JOHN MICHAEL
 TURNER DAVID R
 TYLER RONALD GENE
 VICEDOMINI JOHN PETER
 WANCHIK MOHAMED GHUUSE

Doctor of Business Administration

ALSUP RODNEY GLEN
 BAYES PAUL EUGENE
 BUKEMEIER LYNN CHARLES
 CHEN DEE-PU
 CORNWALL JEFFREY RUSSELL
 KADABA SRIDHAR G
 KIM KUN JANG
 LEVITAN ALAN SIJAKI
 SCHMUTTE JAMES LEONARD JR
 SKINNER STEVEN JOSEPH
 WREGE WILLIAM THOMAS

Doctor of Education

BANKS IVAN WINSLOW
 BEARD WILLIAM ALLEN
 CLEVELAND MARY EVE PROFFITT
 CUFFEY BETSY BRAMLETT
 COHEN SHEILA GRETA SPEKMEGEN
 CRUME CHARLES T JR
 FLOYD LEO
 GRAHAM THOMAS AARON
 HUESSELI PATRICIA JANE MARTIN
 MCAULIFFE CHARLEEN MARGARET
 MILMAN JACQUELYN KAPANKA
 MUON NAK JIN
 NEVELS RALPH W
 PENDARVIS EDWINA DAWN BURGESS
 PRICE RITA CAROLE
 RIES JOUANNE B
 RIFFLE CHRISTY ANN

KIVAGE-SEUL MAKUERITE K D
 KUBESON THOMAS H
 SCOTT BRETT DONSE
 SMITH WILLIAM GLENN
 SMOUT KUBY KATHY CATRON
 SPRINGATE DARLA KAY WRIGHT
 STAMMERMAN JAMES EDWARD
 WILLIAMS KAKEN ANN NICHOLSON

Specialist in Education

STOKGILL KAMONA C BRUMFIELD

Master of Arts

ABUKHATEK LESLEY CISELL
 ABUKHATER MAHER
 AUKINS BARRY BENNY
 BERNIER MARINA ELIN
 BUCKER THOMAS LINDSEY
 BRASHEAR BLANCA I ALEJANDRO
 BRINEGAR PAMELA LYONS
 BUDA SCHERYL REINHARDT
 CAGEY JAMES PATRICK
 CASTLE BEN EDWARD
 CLARKS KIM J
 CUMPS VICKI ELIZABETH LYLE
 CURNELL BRIAN DOUGLAS
 CUSTINO MARTINA CAROL
 CUTNERMAN STEVEN ROBERT
 DUNJMEW SUSAN H HODGETTS
 ELLIOTT ULLIS PHILLIP
 EPLING DIANA LYNN
 FUKU MAUKEEM ROSE
 FUKOHAM MIRIAM ANURETIA

FRANK VIVIAN PATINO
 FREED STACY LYNN
 GUDDALL THOMAS ERIC
 GOODMAN DORRIS CLIFTON
 GREGORY ELIZABETH LEE
 HACKWORTH MARY THERESA
 HAMILTON KATHY LOUISE
 HAMMUND MARIMA GAIL
 HARKUD CAROLYN ELAINE FAMBUSH
 HAYDON NELL ROBERTSON
 HIMELEIN MELISSA JAYNE
 HUCKENSMITH CHARLES DOUGLAS
 HUGHES MADISON LEE
 HULMAN GAYE D DUNCAN
 HOWE CARLA JEAN
 ISAAC WALTER L
 JACKSON-SMITH PAMELA ANN
 JAGIELLO JOYCE ANNE
 JAWKOWSKI STANLEY STEVEN
 JEVTITCH BONNIE CAMPBELL
 JONES BARBARA KEMPER
 JONES JOHN RICHARD JK
 JUBWE STEPHEN MUSIO
 KEEFE JACK ELDEN JR
 KENVON MURRAY WAYNE
 KINK KATHLEEN LOUISE
 KLEINLEIN MARY JEANETTE
 LACKEY JEFFREY WAYNE
 LAST CHERYL ANN
 LAY RUSSELL C
 LEE DERRY H
 LEICHTY GREGORY BRENT
 LUMKY DAVID MEYER
 MAKUMIECKI JANUSZ
 MCCLAIN GARY ALAN
 MCCLAUGHLIN MARY COLLEEN
 MILLER DAVID W
 MITCHELL SHAKUN SCOTT
 MUFFATT VIRGINIA ANNE
 MUZZAR GERALD EDWARD
 NORKIS KEITH ALLEN
 NORTON JAMES HARRIS III
 NUKVILLE CHARLES ROBERT

ODELL TAMI MARIA
 PICU DANA REED
 PINSKY MARIANNE RUTZ
 KEAM KIMBERLY ANN
 RHURER COURTNEY ANNE MILLER
 RICE MICHAEL STEWART
 RICHARD BEVERLY L
 RICHEMANN KUBERT M
 RILEY SUSANNAH E
 RIVERA-BENITEZ JORGE
 ROBINSON JEFFREY CHARLES
 ROBINSON KENNETH WAYNE
 RUMMEL LYLAS DAYTON
 SANDERS THOMAS NULAN
 SANDERSON CYNTHIA JEAN
 SHIRLEY RENEE ANNE
 SILVANI ROBERT ANDREW
 SIPE GARY WAYNE
 SMITH WILLIAM M
 STEARNS GARY MORRIS
 SUBRAMANIAN SEETHALAKSHMI RAU
 THOMPSON KAKEN S
 THOMPSON MELINDA JANE DAILEY
 TUCKER CONNIE LANE
 VAN SCHAIK EILEEN ANN
 VASQUEZ MARIA CECILIA
 WALTMAN MICHAEL SCOTT
 WARD THOMAS LESLIE
 WILLIAMSON, NANCY LYNN
 WILSEY GREGORY STEPHEN
 WOOD HOWARD BLAINE
 ZAZUELA SHENRI PINZA RAYMOND

Master of Arts in Education

ALLEN VIRGINIA C MORRINE
 ANGEL LORRAIN W
 ASHER BONITA FAYE WOODS
 BARKER SUSAN MAE FANNING
 BLANKNEY MELISA JANE
 BLYTHE LISA ANN J

CARMICHAEL STEVEN G
 CAUDILL BRENDA KAY
 CHITTENDEN JANE LAUGHON
 DEAN ANNA ANGE
 DENNISON DARRYL KEVIN
 DRUDGE MARY MARGARET
 ENGLISH MAKIHA LYNN COLEMAN
 ESHAM JO ELLEN MYLIE
 FANNIN KUBIN LYNN
 FOX KATHY LYNN TABER
 GILLIS MAUREEN ANN
 GOODMAN HELENE ROBINSON
 HANCUCK DEBUKAH ANN ROCKSTROM
 JACKSON GARY WAYNE
 JENNINGS KIM LESLIE WATERBURY
 JENNINGS LYNDA K
 KELLEY JULIE MARIE CLARKE
 KUTZLO JOHN STEVEN
 LEUFORD CAROL ANN
 LENNART DENISE MARIE
 MAUDEN ANDRUCE KING
 MAYES DIANE WILLIAMS
 MUNIGOMERY KATHY A KERSEY
 MYERS PHILIP LEE
 OLUHAM JODY KAY SKIPWORTH
 REED JACQUELINE MATHERLY
 RIDDLE ANN CLEMENS
 SARGENT DARLENE APPLEMAN
 SAWYER CATHERINE CHRISTENSEN
 SHY PATRICIA ANNE
 SILNER SUSAN CARRICO
 SMITH SUE FULLER
 WALKER ROBERT ALLEN
 WHALEN KATHLEEN MARIE
 WRIGHT NELDA LOUISE

**Master of Arts In
 Interior Design**

PUER CAROLYN DARLENE

**Master of Business
 Administration**

AUSTIN BRIAN CONNELLY
 BAGBY MICHAEL DALE
 BHAGAT ASHOK KUMAR
 BUCHNER RICHARD STEVEN
 BURTON GREGORY ARTHUR
 CLINTON ROBERT THOMAS
 DAWSON LETHA MAE
 DORSON ARVIL JR
 FUNKHOUSER ANITA CAROL LARGENT
 HICKERSON DUANE UAL
 HOLBKROOK HARRIET TRACHER
 HOLLER LURA MARGARET
 JACOBS GREGORY EUBANKS
 KELLY BRADFORD STEPHEN
 KNIGHT ERIN KATHLEEN
 MILLS CARY M
 MULLINAX RACHEL DONAHUE
 NICOLAY MARK CHARLES
 OLSON DONALD FERMI
 PEPPER EDWARD CARL
 PUTTER DONNA SUE
 RAY EDWARD OWEN II
 RENO EDWARD SCOTT
 REYNOLDS PATRICIA RUTH
 RHODES ANNE K
 RUGGLES JANET KAY
 SAWYER WENDY DIANNE
 SMITH JAMES ALAN
 STIBBE JULIE ANN
 TAYLOR JOHN THOMAS
 TUBIN MARYSIA PILACINSKI
 VANMETER GEORGE MINISTEK JR
 VAUGHAN JOHN D
 VUWELL GEKALO SHELBY
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 TUCKER CELESTE MARIA
 VANCE ROY NEWTON
 YULL GARY FRANCIS
 WALKER BARBARA SUE
 WALKER ROBERT MURPHY
 WILLIAMS HAYDEN EUGENE
 WILLS TERESA ANN
 WILWAYCU ALLAN ANTHONY
 WINKLER KIMBERLY SUE
 WOMACK LYNNE ELLEN
 ZAPPALA PATRICIA MARIE
 ZAKEI EZUI

Bachelor of Science In Accounting

ADAMS CHARLES CLAY JR
 ADAMS JOHN KELLY
 AKMEK LISA
 BAKER STEVEN ELLIOTT
 BAITS SANDRA ANN
 BLANFORD JOSEPH MICHAEL
 BRAUFORD DAVID THOMAS
 BUSIKAM KATHRYN MCNEILL

CABLE SUSAN LYNN
 CALDWELL SANDRA MARIE
 CAMPBELL JOANNA NEAL
 CUFFEY MICHAEL WAYNE
 CUMBS CLARENCE GLEN
 DAVIS FRANKLIN NEIL
 DAVISON DONALD ROSS
 DUNCAN GREGORY WALTER
 DUNCAN MAURY HELEN
 DURBIN ROY DAVID JR
 FOWLER DONALD LEE
 GAYHART JAMES LEE
 GEORGE MARK NICHOLAS
 GILLIAM THOMAS ALLEN
 GREEN DONNA SUSAN
 HALL RUSSELL CLAY JR
 HARRIS DANNY MICHAEL
 HAYS JENNIFER CASE
 IRWIN MARTHA WILEY
 JOHNSON PAUL SHELTON
 KAESINER GARY WILLIAM
 KENNEDY JAMES EDWARD
 LAMAR JOHNNY RAY
 LAMBROS JAMES THOMAS
 LANGLOIS KIM ANN
 LUNG DANIEL ALBRIGHT JR
 MCGILLEN SHELLEY ELAINE
 MILLER LILLIAN C
 MILLER VANESSA LYNN
 NELSON LISA ANN
 OLIVA JAMES ELLIOTT
 OMARY MELISSA ANN
 PENNY ROBERT MICHAEL
 PRICE DAVID ROBERT
 REID DAVID ALVIN
 SCALF RUSSELL FRED JR
 SULMON GUDWIN J
 SPKADLIN CLAY UMAIN
 STUESS RAY H JR
 SULLIVAN KAREN MAUREEN
 SYPEK EDWARD WILLIAM

TATE SAMUEL PRICE
 TAYLOR IMOGENE
 THIENEMAN MARK ANTHONY
 THOMAS DAVID ALLAN
 THOMPSON DONNA JEAN
 THOMPSON JAMES MICHAEL
 VIBBERT LUE ELLEN
 WAKU LOUISE HARRISON
 WEIDNER LARRY LEE
 WHITAKER CHARLES SCOTT
 WILLIAMS JAMES MICHAEL
 WRIGHT KENDRA SUE

Bachelor of Science In Business and Economics

HAZLE WILLIAM THOMAS
 SMITH HARRY ALCIDE III
 WILLIAMS DARRELL LANE

College of Communications

Acting Dean: Herbert N. Drennon

Bachelor of Arts

AMONETT ANGELIA FAYE
 BAKER WILLIAM RUSSELE
 BEELER DENISE MICHELLE
 BUURKE JULIA ANN
 BURKELL ROBIN SUE
 BUSH MARCEL ELAINE
 CASHMAN WILLIAM MOLLOY
 CHAPMAN ROBERT WILLOUGHBY
 CUMER DENISE MARIE
 DEVEREUX JULIE ANN
 DYE JANICE ANN
 ELSSEN DAVID ROBERT

College of Education

Dean: Edgar L. Sagan

Bachelor of Arts in Education

FLYNN MAUREEN CLAIRE
FOGARTY DAVID JAMES
GILL KATHLEEN ANN
GREEN WILLIAM MARK
HENDRICKS SUZANNE ELIZABETH
HENDRICKS MILDRED KATHERINE
HORN DERRICK C
HUMBLE VIDA LEE
KAGLEY MARY ELLEN
KNAUF WANCY ELAINE
LEACH TIM JESSE
LEINDECKER JIANA JO
LEPPING JOHN THEODORE
LIERMAN DAN M
LOWMEYER STEVEN WAYNE
MARSHALL PAUL MILTON
MARTIN K MARLA
MARTIN HENRY
MATFIELD JACQUELINE DENISE
MILLER WILLIAM KEITH
MURPHY ANN PATRICIA
MUSKA MAUREEN ANNE
MURPHY JAMES LEWIS
PRATT LEAH DAWN
ROBERTS JANMELL
TINKELL PATSY ANN
WEBER MARTHA PRICE
WISSEMAN PETER WILLIAM
WHITE CHARLES BRADFORD
WULFINGBERGER ALLISON KAY
EATON, TERRY LOU

Bachelor of Science

BANNINGER PAUL MARTIN
BRIDGES THOMAS LEWIS
MORTON JOHN LESLIE
RUGGERS LURIE J
WISSEMAN KATHERINE LEE

ALLEYNE SUE D
ARMES KIMBERLY HILL
BALL KATHLEEN ROSE DALY
BELL TOMMYE JO OWENS
BENFURD LAURIE BEITH
BENSON ANITA LYNN
BIVENS CATHERINE ANN
BRUCK ELANA CHRISTINE
BROOKS MELANIE ANN PEAVLER
BROWN KAREN ELAINE
BROWN ROSE BAUMGARDNER
BROUDEN GAY CORA
CARRKILL JACQUELINE
CASEY ALYCE M
CHENAUTT MICHAEL ERIC
CLAYCOMB NANCY RUTH
CRAWFORD JULIA BUNN
CROUCH LARRY
CRUTCHER LYANE ELIZABETH
CURTIN MARGARET LYNN
DAVIES FREDERICA G
DEAL DEBBIE FAYE
DELMARKE TRACY CAROL
DYLL NINA SUZANNE
DUNAVANT SUSAN CAROL
DYER SUSAN CAROL
ELLIOTT JANE ALLYN
FERDACK DARLA DENISE
FISHER DARRLETT
GIPE BONNIE LEE
HACKLEY JOAN ELAINE
HAMPTON LYDIA DIANE
HAKAWAY DEBORAH K
HAKLIN TRACY LYNN
HAKMAN ALLEN THEODORE

HAWNS SHARON LEE
HEBERER MEGAN JEAN
HOLMES JENNIFER LYNN
HURT KIMBERLY JAN
JANSZEN MARY BEITH
KNIGHT KELLY SUSAN
LEGERE CHARLENE FRANCES
LYKINS RONALD JAMES
MCNITT CHRISTINE BEVERLY
MEDLEY DEBORAH LANGLEY
MEYER AMY SPEARS
MEYER LISA KAE
MORGAN IRENE CECILIA
MURKIN STEPHANIE KATHLEEN
PATRICK DEANNA FAY
PERME PAMELA ANNE
PLAYFURTH KATHERINE DIANE
KATCHEE DAVID REYNOLDS
KIRKINSON LARRY MICHAEL
KUSH SAKAM ANNE
KUTLEDGE ALESIA ANN
KANDLIDGE JAMES THOMPSON JR
SCALES THERESA ANN WEAKS
SHERRON JANA LUISE
SLAVAN DEILIE ANN GRON
SOUTH CHERI DENISE
STEUTERMANN KATHERYN E K
SWALLEN JULIE ANN
THOMAS BRENDA BACON
THURNBERRY LISA ANN
WALSBURGER ANNE KENE
WATTS JEAN GUY
WEAVER REBECCA JEAN
WEISENBERGER GERALYN S
WILKINSON BARBARA MEL
WILLIAMS MARK THOMAS
WILLIAMS RONALD
WILLO SUSAN KEDNEY SIDEBOTTOM
YACKNEY PAMELA KAY

**Bachelor of Science
In Education**

FORTENBERRY JAMES THOMAS
SIKINGER NEIL STANLEY

**Bachelor of Science In
Electrical Engineering**

CUMPANY MIR SALAHEDDIN
HUBBLE ROY LEE
KASKAI SHAHMOOZ
SAMPSON RONALD LEE
WHITLOW BERT LUTHER
WOLF ROBERT LEE

**Bachelor of Science in
Mining Engineering**

ALLEN CHARLES ERVINE
PREECE GREGORY L
STONE CHARLES ANDREW

College of Engineering

Acting Dean: Donald C. Leigh

**Bachelor of Science In
Chemical Engineering**

BISHOP TERESA G
CHAMBERS STEVEN LIN
HAYNES BRIAN TOWNSEND
KETKUN DONALD JOSEPH

**Bachelor of Science
In Civil Engineering**

CASSADY LAWRENCE WAYNE
LULEMAN SUZETTE
UJAVANERSALE MUHAMMAD
FLYNN RUY
HUNAKU ASHENA AUVIL
MANJI ANTHONY BRIAN
MUNIGUMERY DAVID BRADLEY
KAHAKUJU DENNIS
KAMEZANKHANI KEZA
SAMPSONS HUGH
SEMONES KUBERT JERRY
SHAW JERRY LEE
SIAMKUUHI KAKIM ALAMDAKI
SLADE FRANK STEVEN

**Bachelor of
Mechanical Engineering**

AUBREY DONNA JEAN LAWSON
HAYNES CHARLES EDWARD
JOHNSON JAMES MITCHELL
KELLER DAVID AUGUSTINE
MATTINGLY TADD DAVENPORT
PAKLANTI JOSEPH VICTOR
KINGD ERIC DAVID
SLATTERY ALLAN PATRICK
SMITH ALAN DWIGHT
WENZEL RUBERT CARL JR
WILLYARD CHARLES DALE JK
WILSON JAMES MICHAEL

**Bachelor of Science In
Metallurgical Engineering**

DOWNNS KENNETH R JR
SWINTOSKY JOHN ANTON

College of Fine Arts

Dean: Richard C. Domek

Bachelor of Arts

CASSADY CHARLES KEVIN
COOPER JACK WESLEY
EHKEDT SHANNON D CUNNINGHAM
HARRIS LARRY A
MILBURN MONA MARIA
MOREY THOMAS MITCHELL
NALLY ROBERT KEVIN
PHILLIPS PATRICIA ANN
WHITEHOUSE TAMARA LEE

Bachelor of Fine Arts

MC GEE KATHRYN WULFE

Bachelor of Music

POWELL DAVID WAYNE

Bachelor of Music Education

LANNUM VIVIAN ETHEL

College of Home Economics

Acting Dean: M. O'Neal Weeks

**Bachelor of Arts in Housing
and Interior Design**

BELL JULIE A
CREW DUNNA LYNNE
DAUGHERTY KITA DIANE
DUNA KIMBERLY RUSE
HUMPHRIES JANE ALLEN WARD
LOVELACE SUSAN ELANINE
MONTGOMERY MARY VIRGINIA
PARK TWILLA DAWN
SHKOLISH KAMINA

**Bachelor of Science
in Dietetics**

DIERKE WALINA P ASHOK JIGJINI
GUANARDI CYRILIA JO
KID LESLIE COLLEEN
LALBERT JANIS FAY MARSHALL
WILKINSON SHIRLEY ANN

**Bachelor of Science
in Family Studies**

BENNETT LISA GAYLE
BRECH DEONAM ANN
DARBY LISA CHERYL STREBLE
FRIEDBERT PAULICIA LOUISE
FULK BARBARA GAIL
GALIK BARBARA ANN PELAK
HACKWORTH MARY JO
HEILKUN WILLIAM M
HOFFMAN LESLIE KAY
HUKINE BECKY BRADLEY
MCQUIRE KAREN SUE
WAGERS LISE RUTH BLACKBURN
WYATT SUSAN K

**Bachelor of Science
in Home Economics**

ADAMS ALESIA J
BIJWILING SUE ANN
BUUD MAURINE KAY
BUSH KAREN LYNNE
CARR FRANCES YVONNE
DUBBS SHERIE COLLEEN
HAGER KATHRYN LOUISE
HUGHES JANE L
INGRAM DUNNA CAROLYN
LAWRENCE ROSEMARIE
LYNCH LESLIE DIANE
MAUDUX CASSANDRA
KANEY JACQUELINE ROSLYN
KIESENWEBER SARA E
STEINER JANICE MARIE
STEINMACHEK SUSAN MARIE
UNDERWOOD LUKKRAINE WAYLE

**Bachelor of Science in
Human Development and
Family Relations**

WARD MANTHA ANN

College of Nursing

Dean: Marion McKenna

**Bachelor of Science
in Nursing**

CLARK KIMBERLY JEAN
HADLEY KATHRYN LAINE WELLS
MUNIGUMERY MARY KUNYON
PUST JUDITH ANN DUE

College of Pharmacy

Dean: Joseph V. Swintosky

BACHELOR OF SCIENCE IN PHARMACY

KUUSE JEFFREY TAYLOR

College of Social Work

Dean: S. Zafar Hasan

Bachelor of Arts in Social Work

ADAMS KIMBERLY L DONALDSON
BENNETT JOEL DEAN
CHILDUS DANIEL KEUBEN
DREALEK JULIE
HOLPKUUK SUSAN ELLEN
HULMES PARVIN WARELL
HUGGE JUYCE MILLER
HYDER LUJ ANW BISHOP
KELLEN AMY L
MACCUMINIE BARBARA GRACE
MULLENKUPF ALIY AYN
STEELE LAURA R
TAYLOR VANESSA G
VIGGENT JUDY ANN
WHEELER SHEILA WEBB

Office of the President
August 26, 1983

PR 3C

Members, Board of Trustees:

1983-84 BUDGET REVISIONS
CENTRAL ADMINISTRATION

Recommendation: that the following revisions in the 1983-84 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Fund</u>			
1. <u>Income Estimates</u>			
<u>Student Fees</u>			
Registration Fees			
University Extension	\$ 1,509,500	\$ 1,557,400	\$ 47,900
Continuing Education	2,781,300	2,788,300	7,000
<u>Gifts and Grants</u>			
The Fund for the Advancement of Education and Research in the UK Medical Center	846,900	1,091,200	244,300
University of Kentucky Research Foundation			
General Fund Grants	2,709,000	2,749,000	40,000
Other Gifts and Grants	1,439,600	1,464,600	25,000
<u>Sales and Services</u>			
Departmental Sales and Services	5,734,700	5,795,300	60,600
			<u>424,800</u>
2. <u>Expenditures</u>			
<u>Lexington Campus</u>			
College of Arts and Sciences			
Psychology	905,600	911,600	6,000
College of Engineering			
Mechanical Engineering	773,300	815,300	42,000
College of Law	1,733,100	1,741,300	8,200
University Extension			
Community Education	164,000	211,900	47,900
<u>Graduate School</u>			
Institute for Mines and Minerals	90,000	101,400	11,400
General Research Support	1,106,200	1,136,200	30,000
<u>Academic Support</u>			
University Press	716,900	741,900	25,000

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures (continued)			
Medical Center			
College of Dentistry			
Deans Office	\$ 215,100	\$ 428,600	\$ 213,500
Administration and Support			
Medical Center			
Research Support	557,000	567,000	10,000
University Health Service	1,627,400	1,658,200	30,800
			<u>424,800</u>

3. Comments - Increases in Student Fees for the University Extension will provide expenditure authority for four Community Education programs. Increased Continuing Education fees will provide expenditure authority for a Mineral Law Seminar. Changes in Gift and Grant income result from Fund grants for salary supplementation to the College of Dentistry and the University Health Service. Additionally, research support expenditure authority is increased in both the Lexington Campus and Medical Center as a result of a UKRF grant. Other Gifts and Grants increase will provide the University Press with increased expenditure authority. Increases in Departmental Sales and Services will provide additional expenditure authority in Psychology, Mechanical Engineering, Law, and the Institute for Mines and Minerals.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>Restricted Funds</u>			
1. <u>Income Estimates</u>	\$ 20,785,800	\$ 21,133,600	\$ 347,800
2. <u>Expenditures</u>			
Lexington Campus			
College of Engineering			
Administration	250,400	252,900	2,500
Chemical	62,300	69,800	7,500
Agricultural Experiment Station			
Director	115,900	275,500	159,600
Agricultural Engineering	447,100	447,800	700
Agronomy	606,700	625,700	19,000
Animal Science	343,700	363,700	20,000
Entomology	192,500	206,000	13,500
Plant Pathology	163,900	219,900	56,000
Graduate School			
Graduate School	194,100	211,600	17,500
Student Aid			
Gifts and Grants for Scholarships			
General Scholarships	881,500	897,900	16,400
Medical Center			
College of Dentistry			
Orthodontics	19,000	27,000	8,000
Student Aid	96,500	98,000	1,500
Hospital	51,700	77,300	25,600
			<u>347,800</u>
3. <u>Comments</u> - Income restricted for the purposes indicated above will fund the proposed additional expenditures.			

C. Contracts and Grants Received

	<u>Amount</u>
Lexington Campus	
Academic Affairs	
Arts and Sciences	
Chemistry	
Anemonanes - A Versatile New Class of Ionophoric	
Synthetic Intermediates (T0364)	\$ 35,000
Business and Economics	
Office of Research	
Evaluation of IBM Engineering Cost Estimate (T0352)	17,000
Home Economics	
Family Studies	
The Influence of Remarriage and Stepparenting	
on Child Development and the Quality of Family	
Life (T0350)	7,000
Agricultural Experiment Station	
Agronomy	
Control of Surface Soil Acidification in No-	
tillage Corn Production with Fluid Lime (T0341)	8,500
Factors Influencing Bean Beetle Damage to Soybeans	
in Kentucky with Addendum on Soybean Oil Based	
Insecticides (T0345)	9,000
Medical Center	
Dentistry	
Community Dentistry	
A Study of Perceptions Influencing Student	
Application to Dental Hygiene Programs (T0342)	7,500
Pharmacy	
Deans Office	
Atherosclerosis Induced Changes in Coronary	
Vasoreactivity (T0348)	14,100
Fellowship in Critical Care Pharmacy (T0357)	18,000
Parker B. Frances Foundation Fellow in	
Pulmonary Research (T0359)	25,000
	<u>141,100</u>

Action taken: Approved X Disapproved Other

Date: August 26 , 1983

Office of the President
August 26, 1983

PR 3D

Members, Board of Trustees:

REPORT ON GIFTS AND/OR GRANTS

Recommendation: that the attached report on gifts and/or grants covering the period from April 1, 1983 to June 30, 1983 be accepted and made a matter of record.

Background: The attached report represents the gifts and/or grants accepted by the President on behalf of the Board of Trustees for the three months ending June 30, 1983 in accordance with the policy adopted by the Board of Trustees on November 19, 1965. Acknowledgment of gifts and/or grants has been made to all donors by the President on behalf of the Board.

Action taken: Approved x Disapproved Other

Date: August 26 , 1983

OFFICE OF DEVELOPMENT

GIFTS AND REQUESTS REPORT

FOR APRIL 1, 1983 TO JUNE 30, 1983

ALUMNI GIVING

Alumni	3276*	\$ 206,636.68
Alumni Fellows	75	817,987.89
Alumni Gift-in-Kind	8	1,669.15
Alumni Requests		<u>-</u>
Total Alumni Giving	3359	\$ 1,026,293.72

NON-ALUMNI GIVING

Non-Alumni Individuals	905	\$ 107,698.40
Non-Alumni Fellows	33	20,456.48
Non-Alumni Gift-in-Kind	27	16,036.78
Non-Alumni Requests	1	10,000.00
Trusts, Corporations, Foundations and Associations	339	<u>1,207,909.02</u>

Total Non-Alumni Giving	1305	\$ 1,362,100.68
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Second Quarter Total 4-1-83 to 6-30-83	4664	\$ 2,388,394.40
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First Quarter Total 1-1-83 to 3-31-83	2383	<u>1,913,160.43</u>
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GRAND TOTAL 1-1-83 to 6-30-83	7047	<u>\$ 4,301,554.83</u>
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* Denotes number of donors

REPORT OF GIFTS
TO THE UNIVERSITY OF KENTUCKY
APRIL 1, 1983 TO JUNE 30, 1983

Source of Gifts	Alumni	Non-Alumni	Trusts Corporations Foundations Associations	4-1-83 to 6-30-83	4-1-82 to 6-30-82
<u>UK Annual Giving Funds</u>					
<u>Undesignated Fund</u>	\$ 55,874.85	\$	\$	\$ 55,874.85	\$ 48,339.84
<u>President's Discretionary Fund</u>	77.00			77.00	110.00
<u>Blue and White Fund</u>	52,165.00	72,508.50	38,955.45	163,628.95	172,350.71
<u>College Funds</u>					
<u>Agriculture</u>	3,990.52	3,312.38	347,177.43	354,480.33	316,617.25
<u>Architecture</u>	3,599.00	657.00	3,645.00	7,901.00	4,506.00
<u>Arts and Sciences</u>	10,318.50	11,690.65	29,548.27	51,557.42	31,525.36
<u>Business and Economics</u>	58,150.25	428.34	63,270.00	121,848.59	47,432.00
<u>Communications</u>	4,223.00	8,672.00	1,528.80	14,423.80	13,082.53
<u>Education</u>	2,955.00	10,000.00		12,955.00	1,918.27
<u>Engineering</u>	9,887.00	425.00	52,464.47	62,776.47	89,718.50
<u>Fine Arts</u>	490.00	717.21	1,100.00	2,307.21	2,009.19
<u>Home Economics</u>	3,084.00	210.00		3,294.00	4,110.50
<u>Law</u>	13,189.17	85.00		13,274.17	8,518.01
<u>Library Science</u>	415.00	31.00	3,752.00	4,198.00	330.00
<u>Social Professions</u>	65.00		50.00	115.00	5.00
<u>Medical Center</u>					
<u>Allied Health</u>	620.00	4,285.00	1,050.00	5,955.00	330.00
<u>Dentistry</u>	6,240.00	3,146.76	650.00	10,036.76	7,480.00
<u>Hospital</u>	725.00	395.00	15,858.79	16,978.79	6,539.53
<u>Medicine</u>	17,293.12	13,830.92	384,903.38	416,027.42	378,553.64
<u>Nursing</u>	425.00	410.00	131.75	966.75	610.00
<u>Pharmacy</u>	3,468.00	225.00	122,060.00	125,753.00	42,898.80
<u>Community College System</u>	601.00	1,809.00	46,913.71	49,323.71	49,211.39

APRIL 1, 1983 TO JUNE 30, 1983

Source of gifts	Alumni	Non-Alumni	Trusts Corporations Foundations Associations	4-1-83 to 6-30-83	4-1-82 to 6-30-82
Memorials and Scholarships	\$ 4,345.00	\$ 7,825.00	\$ 6,705.00	\$ 18,875.00	\$ 11,482.50
Other Funds					
Alumni Association	3,318.16	787.00	2,150.00	6,255.16	2,296.70
Athletic Association					35.00
Art Museum	4,070.00	3,801.50		7,871.50	28,860.00
Council on Aging	1,015.00	305.00	113.00	1,433.00	50.00
Donovan Program			120.00	120.00	130.00
Graduate Education	705.00	10.00	8,952.50	9,667.50	14,593.39
Hugin Trust Fund			70,474.47	70,474.47	49,556.11
Honors Program	1,120.00	5.00		1,125.00	510.00
King Library	756,015.15	14,544.40	3,350.00	773,909.55	57,665.72
Miscellaneous	7,765.00	(6,025.00)	(1,040.00)	700.00	9,527.17
Placement Service	85.00			85.00	125.00
University Progress		100.00	4,025.00	4,125.00	6,572.56
TOTALS	\$ 1,026,293.72	\$ 154,191.66	\$ 1,207,909.02	\$ 2,388,394.40	\$ 1,407,600.67

Office of the President
August 26, 1983

PR 3E

Members, Board of Trustees:

RESPONSE TO OFFICE OF CIVIL RIGHTS

Recommendation: that the Board authorize President Singletary to sign on behalf of the University the attached letter to Governor Brown regarding the Commonwealth of Kentucky Higher Education Desegregation Plan, accepted by the Office for Civil Rights on June 22, 1983.

Background: The state plan has been revised since this Board acted in January, 1982 to commit the institution to the objectives of the Plan. A current letter reiterating that each institution in Kentucky will make good faith efforts to achieve the goals of the Plan is required as a condition of the acceptance granted June 22, 1983.

Action taken: Approved X Disapproved Other

Date: August 26 , 1983

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506 0032

OFFICE OF THE PRESIDENT
ADMINISTRATION BUILDING

August 26, 1983

The Honorable John Y. Brown, Jr.
Governor
Commonwealth of Kentucky
Capitol Building
Frankfort, Kentucky 40601

Dear Governor Brown:

The Board of Trustees has authorized me to reiterate this institution's commitment to make good faith efforts toward the achievement of the goals specified in the Kentucky Higher Education Desegregation Plan as accepted by the Office for Civil Rights on July 22, 1983.

Sincerely,

Otis A. Singletary
President

Office of the President
August 26, 1983

PR 3F

Members, Board of Trustees:

FIVE YEAR PLAN 1983-1988
and
BIENNIAL BUDGET REQUEST 1984-86

Recommendation: that the Five Year Plan 1983-1988 and Biennial Budget Request, including capital construction requests, be approved for submission to the Council on Higher Education for review and recommendation to the Governor of the Commonwealth.

Background: The Five Year Plan 1983-1988 and Biennial Budget Request 1984-86 includes in Volume II the financial component of the biennial budget request (pages 135-298) and the capital construction request (pages 91-133).

NOTE: The above reports are not included in the Minutes of the meeting but are included in the official files.

Action taken: Approved x Disapproved Other

Date: August 26 , 1983

Office of the President
August 26, 1983

PR 4A

Members, Board of Trustees:

APPOINTMENT OF DIRECTOR, SOUTHEAST COMMUNITY COLLEGE

Recommendation: that Dr. Vivian B. Blevins be appointed Director of Southeast Community College effective September 1, 1983.

Background: With the resignation of Dr. Larry Stanley, a Search Committee was appointed by the Chancellor to seek qualified candidates for the position of Director, Southeast Community College. This Committee, with student, faculty and Advisory Board representation, screened applications, interviewed candidates, and made its recommendation to the Chancellor.

Dr. Blevins served as Associate Director at Southeast Community College from 1978 - 1983. Since May 7, 1983 she has been Acting Director of that college.

Action taken: Approved X Disapproved _____ Other _____

Date: August 26, 1983

Office of the President
August 26, 1983

PR 4B

Members, Board of Trustees:

CANDIDATES FOR DEGREES--COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees and certificates specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees or certificates have been satisfactorily completed.

Background: At the November 12, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science, and of certificates for those who complete prescribed programs of two years or less of college work. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and the Chancellor.

Action taken: Approved X Disapproved Other

Date: August 26 , 1983

ASHLAND COMMUNITY COLLEGE

Associate in Arts

ALLEY ROBERT
ANDERSON JOHN M
BOYD MARLENE M
COOKE STEVEN C
FIELDS MARSHA L
HATFIELD JANE A
RIGGS JEFFREY G
SIMMONS JULIE E
STEPHENSON JAMES G

Associate in Science

CAUDILL RAYMOND G
CREMEANS DARRYL
KEATON ROGER L
PENNINGTON ROY M
SPAINHOWER DOUGLAS L

Associate in Applied Science

BURGESS DARLENE W
COLVIN JAMES L
FOUT JON C
HAMILTON EMOGENE B
HOLBROOK TIMOTHY M
LEBRUN JOY L
MAYNARD JUANITA D
MC CONNELL ANN E
MCKENZIE BRONNIE
VANDERHOFF RANDALL
WILKS DAVID M

ELIZABETHTOWN COMMUNITY COLLEGE

Associate in Arts

STOLL ALBERT W
THOMPSON LILLIAN J

Associate in Science

HALFACRE JUDITH M
JACKSON AMY L
ROARK WALTER J
SHORT JOAN M

Associate in Applied Science

BARLOW PATSY A
BEAN SONDRAG
CORLEY ROBIN A
FACKLER LISA RENE
FENTRESS PHILLIP O
GERVOIS ANNIE
GIVIDEN DAVID R
GREFNE ANNA MARIE
HUNDLEY DAVID W

HENDERSON COMMUNITY COLLEGE

Associate in Arts

JENKINS MARK
MCDONALD TRACY
STANLEY JENNIFER
VAUGHN ROSA

Associate in Science

ROBERTSON THERESA LYNN
STONE BETSY
WALLER SANDRA

Associate in Applied Science

CLARK JO ANN
DRURY BARBARA
EBLEN JULIE
FLOYD GLENN
HURT JERRY
JACKSON JUDITH
MORHPETT GINA
PADGETT JAMES
THOMAS JO ANN
THURBY NANCY

HOPKINSVILLE COMMUNITY COLLEGE

Associate in Arts

HERNDON LESLIE FRANKLIN
MCCORMICK MARK JONATHAN
P'POOL RICHARD M
SANDERS ANTHONY RAY
SEYBOLD RONALD L
WYATT EVELYN HESTER

Associate in Science

RUSH GREGORY MARRS

Associate in Applied Science

BYRER GEARLL EUGENE
 ERVIN DALE LEE
 WOLCOTT CHRISTINE MARIE

JEFFERSON COMMUNITY COLLEGE

Associate in Arts

ARNOLD ILSE P
 BARRY TODD A
 BIBBS HENRY M III
 BONHAM RONNIE K
 BUCKINGHAM DENNIS
 CLARK JOSEPH T
 EVANS DAVID H II
 FOSTER JUDY
 GOFF STALANA L
 HASHEMI SAEED
 HINES KEVIN C
 HOLLOMON MARIA M
 JEFFRIES SANDRA L
 LIVERS ANGELA L
 POHL SUZANNE C
 ROBERTSON JOHN F
 SIMMS TERRY L
 SIPES SCOTT W
 STUMP KATHLEEN A
 TACKETT HARRIS
 WALTON DUANE C
 WILKINS STEVEN
 WILLIAMS JAMES E

Associate in Science

CARVER BRUCE
 LIVELY DANITA A
 MATTINGLY ANDREW

Associate in Applied Science

ABRIGO PHILIPPE M
 BIDWELL ROSE S
 CARPENTER EDNA M
 CLARKSON ALMEDIA
 GARTLAND DENNIS
 GRANT CARLA R
 HANLEY PHILIP G
 HENDERSON DONALD L
 HOWARD SUSAN L
 JENSEN LOREN D
 KENT GEORGE D
 MANFORD KENNETH J JR
 MARTIN DONNA M
 MCCAULEY JOSEPH A
 MCKENNA PAMELA M
 MOSS MARCUS E
 PATTON RUTH A
 THARP MARY C
 TURCHETTA WAYNE P
 WELCH DORA W
 WHITE EDWARD R
 WRIGHT DONALD E
 WURST MERRY H
 ZAKEM ANN C

LEXINGTON TECHNICAL INSTITUTE

Associate in Applied Science

ADKINS GLEN R
 BURDEN RICKEY L
 CAUDILL ARLENA R
 CHANDLER DIANNE G
 COURTIOUR STEVE
 EIZENSTAT JOEL H
 FRAZIER CONNIE L
 GIRTEN SUSAN M

HUTTON LEA A
 KINCAID DEBORAH
 LAIL JEFFREY M
 LEE LESLEY DANT
 LYONS KAREN E
 MORGAN MELINDA M
 MORRIS STEVEN S
 MOSBY BARRY D
 NGUYEN NGHE X
 PAPE JERILYN K
 POWELL SHELBY R
 PRICE WILLIAM N
 RAMBO GLENNA J
 RAMEY ACINA M
 RICHARDSON ALLEN C
 RIDGE LINDA K
 SAAGER REBECCA A
 SAMUELS KIMBERLY
 SMITH ROBERT E
 STITH LLOYD R
 STURGILL DOROTHY L
 SULLIVAN MARILYN
 THOMAS SHARON L
 TUNGATE MICHAEL A
 TURNER PAUL A
 TURNER ROBERT E
 WALDROP JOHN D
 WALKER NANETTE
 WHEELER DENNIS L

PADUCAH COMMUNITY COLLEGE

Associate in Arts

BARTLETT DOUGLAS K
 BODELL MARTIN G
 CARNER TIMOTHY STEPHEN
 CAVITT LADONNA
 CRONIN GINGER B

DECKER JOSEPH EMIL
 ELROD TAMMY SUE
 FOWLKES PAMELA MARIE
 HOPWOOD DANIEL RUSSELL
 KOVEN PEGGY GILLIAM
 POORE LAURA ALICE
 SICKLING MARK E
 SICKLING MATTHEW ALAN
 STORY TINA

Associate in Science

BAGWELL WILLIAM RAY
 DAVIS CAROLYN SUE
 DAVIS DAVID LYNN
 DAWSON CLAY MICHAEL
 NICHOL HARRY D
 PECK SUE TAYLOR

Associate in Applied Science

BURGE CHARLESIA
 CONNOR JAMES A
 DOWNS LISA GAIL
 DRISCOLL RICKY ALAN
 GOTT CHERYL ANN
 LAWRENCE JEFFREY DON
 MURRAY DARRYL W
 PICKETT MARY JANE
 STUBBLEFIELD LA'TONYA M
 WATERS MARY KATHRYN
 WHITTHORNE JEFFREY RANDAL

HAZARD COMMUNITY COLLEGE

Associate in Arts

STRUNK BEVERLY G

Associate in Science

EGAN WILMA W
 STEWART MARK A

Associate in Applied Science

WILDER MELISSA E

SOMERSET COMMUNITY COLLEGE

Associate in Arts

DICK RUTH FERN
 JEWELL MELODIE
 MOORE EIMA V
 TURPEN BARRY

Associate in Science

BRAY DANNY L
 GREGORY HAROLD
 HUGHES JEFFREY
 LATHAM CONNIE
 WARBURG FRANK
 WINCHESTER SARA

Associate in Applied Science

BURNETT JAMES M
 COFFEY NELSON
 COOK RHONDA K
 GRAGG MELINDA
 HOLT JUDY
 JESSEE SHEILA
 JONES TAMMY
 KEENEY KARIN

RANDALL TERRY
 RICE GLENDA D
 TUCKER MARGARET
 WARREN JOEY

Office of the President
August 26, 1983

PR 5A

Members, Board of Trustees:

PATENT ASSIGNMENTS
LEXINGTON CAMPUS

- I. Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following application for Letters Patent of the United States and the invention represented thereby: Pankaja K. Kadaba, Serial No. 476,792, filed March 18, 1983.

Background: Pankaja K. Kadaba has invented certain improvements in TRIAZOLINE ANTICONVULSANT DRUGS. She has assigned her right to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

- II. Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following application for Letters Patent of the United States and the invention represented thereby: Larry Swetnam, James Casada, and Linus Walton, Serial No. (to be assigned), filed May 19, 1983.

Background: Larry Swetnam, James Casada, and Linus Walton have invented certain improvements in CULTIVATING ATTACHMENT FOR CROP HARVESTER. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

- III. Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following application for Letters Patent of the United States and the invention represented thereby: P. K. Kadaba and Douglas L. Dahlman, Serial No. (to be assigned), filed June 9, 1983.

Background: P. K. Kadaba and Douglas L. Dahlman have invented certain improvements in PESTICIDAL DIPHENYLAZIRIDINES. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action: Approved X Disapproved Other

Date: August 26 , 1983.

Office of the President
August 26, 1983

PR 6A

Members, Board of Trustees:

CHANGES IN AGREEMENT BETWEEN THE UNIVERSITY OF KENTUCKY AND
KENTUCKY MEDICAL SERVICES FOUNDATION

Recommendation: that the Board approve three changes in 1983-84 agreement between the University of Kentucky and the Kentucky Medical Services Foundation as follows:

1. Amend Section 6C to permit reimbursement to KMSF for the harvesting of transplantable kidneys from living donors, and
2. Add a new Section 21 to comply with Public Law 96-499, Section 952, on records access.
3. Renumber the old Section 21 to read Section 22.

Background: The Board at its meeting on June 21, 1983 approved an agreement with KMSF for the 1983-84 fiscal year, and this proposal is for three changes in that agreement. The agreement already provides for reimbursement for harvesting kidneys from cadavers, and this change adds the possibility of reimbursement when there are living donors. The new Section 21 is for the purpose of complying with records access regulations of the Medicare program. Copies of the proposed Sections 6C and 21 are attached with the change in Section 6C underlined.

Action taken: Approved X Disapproved Other

Date: August 26 , 1983

Section 6. REIMBURSEMENT OF FOUNDATION

C. University agrees to pay to Foundation on behalf of members of the Department of Surgery, for the harvesting of transplantable kidneys from appropriate living donors and cadavers, for University Hospital, the sum of One Thousand (\$1,000.00) dollars for each kidney harvested. In addition, University shall pay to faculty, of the Department of Anesthesiology, variable fees on account of services rendered in connection with said harvests. Provided, however, that the fee established for the said surgical procedure shall be reduced, and an equitable refund of past fees shall be made in the event Medicare establishes lower limits for such professional services.

Section 21. RECORDS ACCESS

Pursuant to Public Law 96-499, Section 952, the Foundation agrees to make available upon written request from the Secretary of Health and Human Resources, the Comptroller General, or the Department of Human Resources of the State of Kentucky or any of their duly authorized representatives, access to this contract and all other service agreements written or oral entered into or renewed since December 5, 1980, and access to books, documents, and records necessary to verify costs of services provided by such arrangements exceeding \$10,000 in a 12-month period. Access will be permitted until four years have expired after completion of services furnished, and in accordance with the applicable federal regulations.

If the Foundation carries out any of the duties under this contract through a sub-contract with a related organization, with a value or cost of \$10,000 or more over a 12-month period, such sub-contract shall contain a clause to the effect that until the expiration of four years after the furnishing of such services pursuant to the sub-contract, the related organization shall make available, upon written request from the Secretary or Comptroller General, or any of their duly authorized representatives, the sub-contract, books, documents and records of such organization that are necessary to verify the nature and extent of such costs.

Office of the President
August 26, 1983

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the Board of Trustees accept the University of Kentucky financial report for the eleven months ended May 31, 1983.

Background: As of May 31, 1983, the University of Kentucky had realized income of \$310,560,873, representing 91% of the 1982-83 estimate of \$340,856,643. Expenditures and commitments total \$280,577,075 or 82% of the approved budget of \$340,856,643.

Action taken: Approved x Disapproved Other

Date: August 26 , 1983

Statement 1

University of Kentucky
Balance Sheet
May 31, 1983
With Comparative Figures for 1981-82

	<u>1982-1983</u>	<u>1981-1982</u>
<u>ASSETS</u>		
Current Funds:		
Unrestricted:		
Cash in banks and cash equivalents:		
Trust and agency	\$ 7,979,752	\$ 5,306,859
Federal Funds	38,530	1,139,642
Cash on deposit with State Treasurer:		
State appropriations	10,275,335	12,195,459
Trust and agency	<u>18,293,617</u>	<u>360,563</u>
Total cash		19,002,523
Due from other funds and affiliated corporations		
Investments	8,683,361	7,838,452
Accounts receivable	645,303	
Inventories	3,151,952	1,896,357
Unrealized Income (Statement 3)	6,157,703	3,901,738
	<u>19,231,856</u>	<u>13,314,128</u>
Total unrestricted	<u>56,163,792</u>	<u>45,953,198</u>
Restricted:		
Cash in banks and cash equivalents	\$ 10,057,472	11,491,302
Cash on deposit with State Treasurer	<u>2,889,261</u>	<u>3,597,463</u>
Total cash	<u>12,946,733</u>	<u>15,088,765</u>
Petty cash advances	327,596	345,060
Travel advances	281,183	314,910
Accrued interest receivable	870,960	1,305,901
Investments:		
Short-term (Schedule 1)	29,432,960	16,875,415
Other	37,985	37,966
Unrealized income:		
Restricted (Statement 3)	7,353,479	4,355,065
Tobacco Research Trust Fund (Statement 3)	<u>746,200</u>	<u>386,680</u>
Total restricted	<u>51,997,096</u>	<u>38,709,762</u>

University of Kentucky
Balance Sheet
May 31, 1983
With Comparative Figures for 1981-82

LIABILITIES AND FUND BALANCES

	<u>1982-1983</u>	<u>1981-1982</u>
Current Funds:		
Unrestricted:		
Outstanding encumbrances	\$ 4,719,099	\$ 4,438,316
Accounts payable-county funds	125,820	82,390
Accrued expenses	1,640,344	1,093,900
Advance from state for imprest cash fund		1,300,000
Deferred income	1,188,921	1,110,316
Accrued vacation	3,493,000	3,138,000
Unencumbered balance, departmental appropriations (Statement 4)	34,926,628	26,259,484
Fund balances:		
Allocated for working capital (Statement 2)	<u>10,069,980</u>	<u>8,530,792</u>
Total unrestricted	<u>56,163,792</u>	<u>45,953,198</u>
Restricted:		
General Fund collections	6,220,164	4,395,858
Payroll deposits and withholdings	6,311,042	2,306,158
Outstanding encumbrances	640,809	575,929
Due to other funds and affiliated corporations	16,023,927	12,254,347
Advance from state for imprest cash fund		50,000
Deferred income		1,885
Refundable deposits	77,705	72,605
Outstanding liabilities	87,680	80,376
Unencumbered balance, departmental appropriations (Statement 4)	6,622,895	5,353,994
Unencumbered balance, Tobacco Research Trust Fund		
Appropriation (Statement 4)	3,404,821	3,522,606
Fund balances:		
Restricted fund (Statement 2)	<u>12,608,053</u>	<u>10,096,004</u>
Total restricted	<u>51,997,096</u>	<u>38,709,762</u>

Statement 1

University of Kentucky
Balance Sheet
May 31, 1983
With Comparative Figures for 1981-1982

	<u>1982-83</u>	<u>1981-82</u>
<u>ASSETS</u>		
Hospitals and clinics:		
Due from other funds and affiliated corporations	\$ 13,007,664	\$ 9,098,958
Accounts receivable, net of allowance for doubtful account of \$7,871,261 in 1983 and \$7,150,727 in 1982	16,706,422	12,230,501
Inventories	577,004	670,507
Unrealized income (Statement 3)		<u>3,457,716</u>
Total hospital and clinics	<u>30,291,090</u>	<u>25,457,682</u>
Housing and dining system:		
Cash in bank	109,947	356,527
Cash on deposit with State Treasurer	3,396,860	2,624,320
Accounts receivable	198,653	130,814
Inventories	1,705,945	1,267,051
Prepaid expenses	7,989	257,724
Unrealized income (Statement 3)	<u>2,426,041</u>	<u>1,116,220</u>
Total housing and dining system	<u>7,845,435</u>	<u>5,752,656</u>
Auxiliary enterprises:		
Cash in banks	1,039,415	411,266
Accounts receivable	65,793	107,709
Inventories	601,303	670,664
Unrealized income (Statement 3)	<u>1,313,844</u>	<u>1,425,509</u>
Total auxiliary enterprises	<u>3,020,355</u>	<u>2,615,148</u>
TOTAL CURRENT FUNDS	<u>\$149,317,768</u>	<u>\$118,488,446</u>

University of Kentucky
Balance Sheet
May 31, 1983
With Comparative Figures for 1981-82

LIABILITIES AND FUND BALANCES

	<u>1982-83</u>	<u>1981-82</u>
Hospitals and clinics:		
Outstanding encumbrances	\$ 341,929	\$ 313,961
Accounts payable	5,720,249	3,804,577
Accrued vacation	901,000	787,000
Realized income in excess of estimate	775,650	
Unexpended balance, departmental appropriation (Statement 4)	11,469,925	10,050,325
Fund balances-allocated for working capital (Statement 2)	<u>11,082,337</u>	<u>10,501,819</u>
Total hospital and clinics	<u>30,291,090</u>	<u>25,457,682</u>
Housing and dining system:		
Accrued expenses	177,295	5,882
Accrued wages	29,341	14,492
Accrued vacation	199,000	125,000
Accounts payable	51,847	101,412
Due to other funds and affiliated corporations	2,717,605	2,105,598
Refundable deposits	98,895	103,815
Deferred income	817,481	786,112
Unexpended balance, departmental appropriation (Statement 4)	2,971,031	2,055,153
Fund balances:		
Allocated for future operating purposes (Statement 2)	<u>782,940</u>	<u>455,192</u>
Total housing and dining system	<u>7,845,435</u>	<u>5,752,656</u>
Auxiliary enterprises:		
Outstanding encumbrances	179,949	99,441
Due to other funds and affiliated corporations	607,642	269,704
Unencumbered balance, departmental appropriation (Statement 4)	884,268	1,147,950
Fund balances-allocated:		
Working capital	904,965	840,130
Future operating purposes	<u>443,531</u>	<u>257,923</u>
Total fund balance (Statement 2)	<u>1,348,496</u>	<u>1,098,053</u>
Total auxiliary enterprises	<u>3,020,355</u>	<u>2,615,148</u>
TOTAL CURRENT FUNDS	<u>\$149,317,768</u>	<u>\$118,488,446</u>

Statement 1

University of Kentucky
Balance Sheet
May 31, 1983
With Comparative Figures for 1981-82

	<u>1982-83</u>	<u>1981-82</u>
<u>ASSETS</u>		
Loan Funds:		
Cash in bank	\$ 1,551,423	\$ 1,519,854
Notes receivable, net allowance for doubtful accounts of \$2,360,588 in 1983 and \$2,242,677 in 1982	11,760,808	11,114,287
Due from other funds and affiliated corporations	2,925	
Due from United Student Aid loan fund	<u>2,000</u>	<u>2,000</u>
TOTAL LOAN FUNDS	<u>\$ 13,317,156</u>	<u>\$12,636,141</u>
Endowment Funds:		
Investments	\$ 8,818,864	\$ 7,231,305
TOTAL ENDOWMENT FUNDS	<u>\$ 8,818,864</u>	<u>\$ 7,231,305</u>
Plant Funds:		
Unexpended:		
Cash on deposit with State Treasurer:		
Trust and agency	\$ 5,672,136	\$ 2,696,869
Capital construction funds	<u>25,741,759</u>	<u>15,951,622</u>
Total cash on deposit with State Treasurer	<u>31,413,895</u>	<u>18,648,491</u>
Construction in progress	20,879,939	8,552,286
Due from other funds and affiliated corporations	<u>5,831,725</u>	<u>4,376,422</u>
Total unexpended plant funds	<u>58,125,559</u>	<u>31,577,199</u>

University of Kentucky
Balance Sheet
May 31, 1983
With Comparative Figures for 1981-82

LIABILITIES AND FUND BALANCES

	<u>1982-1983</u>	<u>1981-1982</u>
Loan Funds:		
Federal sponsored loan funds:		
Due to other funds and affiliated corporations		
Federal Government	\$ 9,211,172	\$ 1,019
University matching funds	1,155,604	8,937,228
Accumulated interest income	<u>1,635,237</u>	<u>1,039,086</u>
		<u>1,453,363</u>
Total Federal sponsored loan funds	<u>12,002,013</u>	<u>11,430,696</u>
University loan funds:		
University student aid funds	83,830	86,032
University special student loan funds	1,229,313	1,117,413
United Student Aid loan funds	<u>2,000</u>	<u>2,000</u>
Total University loan funds	<u>1,315,143</u>	<u>1,205,445</u>
TOTAL LOAN FUNDS	<u>\$ 13,317,156</u>	<u>\$ 12,636,141</u>
Endowment Funds:		
Fund balances	\$ 8,818,864	\$ 7,231,305
TOTAL ENDOWMENT FUNDS	<u>\$ 8,818,864</u>	<u>\$ 7,231,305</u>
Plant Funds:		
Unexpended:		
Outstanding encumbrances	\$ 8,123,804	\$ 7,630,047
Bond anticipation notes payable	4,200,000	21,300,000
Bonds payable	33,400,000	
Fund balances allocated for construction-renovation	<u>12,401,755</u>	<u>2,647,152</u>
Total unexpended plant funds	<u>58,125,559</u>	<u>31,577,199</u>

Statement 1

University of Kentucky
Balance Sheet
May 31, 1983
With Comparative Figures for 1981-1982

ASSETS

	<u>1982-1983</u>	<u>1981-1982</u>
Renewal and replacements:		
Cash on deposit with Trustees	\$ 52,165	\$ 68,788
Cash on deposit with State Treasurer	1,906,723	1,536,518
Accrued interest receivable	33,322	17,210
Investments held by Trustees	<u>1,377,352</u>	<u>1,201,157</u>
Total renewal and replacements	<u>3,369,562</u>	<u>2,823,673</u>
Retirement of indebtedness:		
Cash on deposit with State Treasurer	50,000	50,000
Cash on deposit with Trustees	226,741	11,492
Accrued interest receivable	187,877	150,620
Accounts receivable		213,141
Investments held by Trustees	<u>9,690,927</u>	<u>9,924,757</u>
Total retirement of indebtedness	<u>10,155,545</u>	<u>10,350,010</u>
Investment in plant:		
Land	23,224,357	21,680,390
Buildings	280,833,292	277,199,725
Equipment	<u>105,607,422</u>	<u>105,478,128</u>
Total investment in plant	<u>409,665,071</u>	<u>404,358,243</u>
TOTAL PLANT FUNDS	<u>\$481,315,737</u>	<u>\$ 449,109,125</u>
Agency Funds:		
Cash in bank	\$ 135,164	\$ 172,416
Investments short-term (Schedule 1)	<u>15,000</u>	<u>15,000</u>
TOTAL AGENCY FUNDS	<u>\$ 150,164</u>	<u>\$ 187,416</u>

University of Kentucky
Balance Sheet
May 31, 1983
With Comparative Figures for 1981-1982

	1982-1983	1981-1982
<u>LIABILITIES AND FUND BALANCES</u>		
Renewal and replacements:		
Due to other funds and affiliated corporations	\$ 97,549	\$ 41,222
Outstanding encumbrances	16,168	29,298
Fund balances:		
Restricted (Schedule 4)	1,154,000	1,154,000
Unrestricted (Schedule 4)	2,101,845	1,599,153
Total fund balances	3,255,845	2,753,153
Total renewal and replacements	3,369,562	2,823,673
Retirement of indebtedness:		
Bonds payable (Schedule 3)	1,362,000	1,514,000
Fund balances (Schedule 3)	8,793,545	8,836,010
Total retirement of indebtedness	10,155,545	10,350,010
Investment in plant:		
Bonds payable	98,920,000	101,945,000
Notes payable	556,873	928,121
Lease purchase obligation	4,470,000	4,660,000
Net investment in plant	305,718,198	296,825,122
Total investment in plant	409,665,071	404,358,243
TOTAL PLANT FUNDS	<u>\$481,315,737</u>	<u>\$449,109,125</u>
Agency Funds:		
Outstanding encumbrances	\$ 1,091	\$ 2,997
Due to other funds and affiliated corporations	10,583	9,185
Funds held in custody for others	138,490	175,234
TOTAL AGENCY FUNDS	<u>\$ 150,164</u>	<u>\$ 187,416</u>

Statement 2

University of Kentucky
Statement of Changes in Fund Balances-Current Funds
Eleven Months Ended May 31, 1983

	Unrestricted Allocated	Restricted	Tobacco Research Trust Fund	Hospitals and Clinics	Housing Dining System Funds for Future Operating Purposes	Auxiliary Enterprises
Fund Balances July 1, 1982	\$ 20,973,407	\$12,608,053	\$ 3,207,228	\$11,082,337	\$ 782,940	\$ 1,348,496
Realized income	207,849,932	7,049,048	6,151,028	66,423,525	18,523,103	4,564,237
Realized income in excess of estimate				(775,650)		
Unrealized income	19,231,856	7,353,479	746,200		2,426,041	1,313,844
Total estimated income	227,081,788	14,402,527	6,897,228	65,647,875	20,949,144	5,878,081
Less fund balance appropriated	10,903,427		3,207,228			
Net current estimated income	216,178,361	14,402,527	3,690,000	65,647,875	20,949,144	5,878,081
Total available Current year expenditures/ encumbrances	237,151,768	27,010,580	6,897,228	76,730,212	21,732,084	7,226,577
Unencumbered balances, appropriations	192,155,160	7,779,632	3,492,407	54,177,950	17,978,113	4,993,813
	34,926,628	6,622,895	3,404,821	11,469,925	2,971,031	884,268
Total authorized appropriation	227,081,788	14,402,527	6,897,228	65,647,875	20,949,144	5,878,081
Fund Balances May 31, 1983	\$ 10,069,980	\$12,608,053	\$ -0-	\$11,082,337	\$ 782,940	\$ 1,348,496

University of Kentucky
Summary of Current Revenues and Appropriated Balances
Eleven Months Ended May 31, 1983
With Comparative Figures for 1981-82

	1981-1982		
	Estimated for the Year	Realized to Date	% Realized to Date
Fund and Source:			
Unrestricted:			
Student fees	\$ 32,940,170	\$ 32,282,426	98
Federal appropriations	13,612,984	12,413,449	91
County appropriations	2,835,278	2,057,019	72
Endowment and trust fund income	195,400	208,509	107
Investment income	4,180,000	6,130,726	147
Gifts and grants:			
Affiliated corporations	5,607,240	408,507	7
Other funds	349,100	160,745	46
Other	1,039,932	901,549	87
Sales, services, other income	7,490,457	7,278,068	97
Subtotal	68,250,561	61,840,998	91
State appropriations	147,927,800	135,105,507	91
Fund balance appropriated	10,903,427	10,903,427	100
Total unrestricted	227,081,788	207,849,932	92
Restricted:			
Tobacco Research Trust Fund:			
Appropriations	3,690,000	2,943,800	80
Fund balance appropriated	3,207,228	3,207,228	100
Total Tobacco Research Trust Fund	6,897,228	6,151,028	89
Hospital and Clinics:			
State appropriations	7,149,492	6,553,700	92
Hospital earned income-net	58,498,383	59,869,825	102
Total Hospital and Clinics	65,647,875	66,423,525	101
Housing and Dining System	20,949,144	18,523,103	88
Auxiliary Enterprises	5,878,081	4,564,237	78
TOTAL CURRENT FUNDS AND APPROPRIATED BALANCES	\$340,856,643	\$310,560,873	91
		-10-	
		\$279,720,246	92

University of Kentucky
Summary of Current Funds Expenditures
Eleven Months Ended May 31, 1983
With Comparative Figures for 1981-82

Fund or Function Unrestricted:	1982-1983			1981-1982		
	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date
Department of instruction and research	\$ 91,683,287	\$ 82,861,160	90	\$ 84,503,900	\$ 76,428,828	90
Summer session-1982	1,999,627	1,635,163	82	1,738,995	1,450,839	83
Organized activities	2,134,343	1,947,882	91	2,301,298	2,049,443	89
Organized research	19,706,798	17,684,875	90	19,421,148	17,746,556	91
Libraries	7,446,347	6,886,285	92	6,933,135	6,109,874	88
Extension and public service	28,046,833	23,722,276	85	25,967,713	22,883,296	88
Maintenance and operation of plant	19,615,108	16,760,242	85	17,943,472	15,854,335	88
Renovation, office furniture, etc.	1,925,868	1,873,346	97	852,675	692,027	81
General administration	11,628,091	9,083,426	78	9,639,429	8,111,242	84
Student services	6,403,820	5,618,750	88	5,692,678	5,142,946	90
Staff benefits	9,465,154	5,913,217	62	8,895,712	5,671,342	64
General institutional services	9,950,608	8,786,084	88	10,406,697	8,951,134	86
Service enterprises	311,208	332,257	107	319,282	354,864	111
Debt service cost	8,641,561	6,462,424	75	5,925,100	4,981,909	84
Student aid	2,465,639	2,587,775	105	2,213,861	2,335,530	105
Reserves	5,657,494			2,268,554		
Total unrestricted	227,081,788	192,155,160	85	205,023,649	178,764,165	87

University of Kentucky
Summary of Current Funds Expenditures
Eleven Months Ended May 31, 1983
With Comparative Figures for 1981-1982

Fund or Function: Restricted:	1982-1983			1981-1982		
	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date
Departments of instruction and research	\$ 3,064,012	\$ 1,426,626	47	\$ 2,763,192	\$ 1,437,743	52
Organized activities	239,332	192,472	80	82,944	51,848	63
Organized research	6,737,021	3,219,285	48	5,353,151	2,552,504	48
Libraries	65,233	29,081	45	45,473	17,114	38
Extension and public service	626,887	306,261	49	447,076	205,166	46
General institutional service	684,582	445,567	65	900,457	675,411	75
Student aid	2,985,460	2,160,340	72	2,765,841	2,064,354	75
Total restricted	14,402,527	7,779,632	54	12,358,134	7,004,140	57
Tobacco Research Trust Fund	6,897,228	3,492,407	51	5,989,155	2,466,549	41
Hospitals and clinics	65,647,875	54,177,950	83	56,613,107	46,562,782	82
Housing and Dining System	20,949,144	17,978,113	86	18,258,451	16,203,298	89
Auxiliary Enterprises	5,878,081	4,993,813	85	5,533,068	4,385,118	79
TOTAL CURRENT FUNDS EXPENDITURES	\$340,856,643	\$280,577,075	82	\$ 303,775,564	\$255,386,052	84

Schedule 1

University of Kentucky
Schedule of Short Term Investments
May 31, 1983

	<u>Maturity Value</u>	<u>Cost</u>
Restricted Current Fund:		
Government securities:		
U.S. Treasury Bills, 15.10%, 6/16/83	\$ 3,435,000	2,997,470
U.S. Treasury Bills, 8.40%, 8/18/83	7,200,000	7,054,020
U.S. Treasury Bills, 11.17%, 9/8/83	3,880,000	3,496,470
FHLB, 7.375%, 11/26/84	200,000	200,000
U.S. Treasury Notes, 7.875%, 5/15/86	685,000	685,000
	<u>15,400,000</u>	<u>14,432,960</u>
Total government securities		
Certificates of deposit:		
Bank of Commerce & Trust Co., 9.00%, 12/31/83	500,000	500,000
Bank of Commerce & Trust Co., 8.76%, 2/24/84	4,500,000	4,500,000
Bank of Commerce & Trust Co., 13.25%, 7/19/83	4,000,000	4,000,000
Bank of Commerce & Trust Co., 9.125%, 11/4/83	3,000,000	3,000,000
Bank of Commerce & Trust Co., 9.00%, 12/3/83	3,000,000	3,000,000
	<u>15,000,000</u>	<u>15,000,000</u>
Total certificates of deposit		
	<u>\$ 30,400,000</u>	<u>\$29,432,960</u>
TOTAL RESTRICTED FUNDS		
 Agency Funds:		
Government securities:		
U.S. Treasury Notes, 7.875%, 5/15/86	\$ 15,000	\$ 15,000
	<u>\$ 15,000</u>	<u>\$ 15,000</u>
TOTAL AGENCY FUNDS		

University of Kentucky
Schedule of Retirement of Indebtedness Funds
For the Eleven Months Ended May 31, 1983

Source of Funds:	Estimated	Realized	% Realized
Unrestricted current funds	\$ 8,641,561	\$6,462,424	75
Housing and dining system	2,501,976	2,261,833	90
Auxiliary enterprises current funds	161,292	161,292	100
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	\$11,304,829	\$8,885,549	79
	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Debt Service:			
Unrestricted funds debt:			
Consolidated Educational Buildings-Series A	\$ 508,088	\$ 508,273	100
Consolidated Educational Buildings-Series B	482,700	482,958	100
Consolidated Educational Buildings-Series C	234,200	234,226	100
Consolidated Educational Buildings-Series D	2,414,484	2,414,485	100
Consolidated Educational Buildings-Series E	291,128	291,267	100
Consolidated Educational Buildings-Series F	527,220	527,436	100
Consolidated Educational Buildings-Series G	2,283,590	1,954,413	86
Consolidated Educational Buildings-Series H	332,200	113,882	34
Community Colleges Educational Buildings-Series A	836,720	841,404	100
Community Colleges Educational Buildings-Series B	198,000	198,200	100
Community Colleges Educational Buildings-Series C	386,670	389,246	101
Community Colleges Educational Buildings-Series D	146,561	317,090	216
Subtotal	8,641,561	8,272,880	96
Housing and Dining System Revenue Bonds-Series A-L	2,501,976	2,386,222	95
Auxiliary Enterprises:			
Alpha Delta Pi	8,316	8,316	100
Dorms A-F	39,330	39,330	100
Dorms G-L	49,943	49,943	100
Phi Delta Theta	7,540	7,540	100
Delta Delta Delta	4,090	4,090	100
Alpha Tau Omega	7,738	7,738	100
Housing Bonds of 1960	13,844	13,844	100
Student Housing Bonds of 1964	30,491	30,491	100
Subtotal	161,292	161,292	100
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	\$11,304,829	\$10,820,394	96

Schedule 3

University of Kentucky
Schedule of Retirement of Indebtedness Sinking Funds
May 31, 1983

	Total Sinking Funds	Bonds Payable	Fund Balances
<u>Bond Issue with Reserves Fully Funded:</u>			
Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 2,061,887		\$ 2,061,887
Consolidated Educational Buildings Project and Interest Sinking Fund:			
Series A	592,594		592,594
Series B	593,481		593,481
Series C	212,033		212,033
Series D	2,278,825		2,278,825
Series E	253,276		253,276
Series F	806,186		806,186
Series G	(31,903)		(31,903)
Series H	(56,941)		(56,941)
Student Housing Bond and Interest Sinking Fund of 1964	156,086		156,086
Community College Educational Buildings Project Bond and Interest Sinking Fund:			
Series A	1,008,619		1,008,619
Series B	219,424		219,424
Series C	432,291		432,291
Series D	(179,889)		(179,889)
Student Housing Bond and Interest Sinking Fund of 1960	111,815		111,815
Dormitory Revenue Bonds of 1956	50,000		50,000
Total Bond Issues with Reserves Fully Funded	<u>8,507,784</u>		<u>8,507,784</u>
<u>Bonds Fully Funded by Escrow Accounts:</u>			
Keeneland, Cooperstown and Student Union Future Bond and Interest Fund	<u>1,647,761</u>	\$ 1,362,000	<u>285,761</u>
TOTAL SINKING FUNDS	<u>\$10,155,545</u>	<u>\$ 1,362,000</u>	<u>\$ 8,793,545</u>

University of Kentucky
Schedule of Renewal and Replacement
For the Eleven Months Ended May 31, 1983

	<u>Restricted</u>	<u>Unrestricted</u>	<u>Total Fund Balances</u>
Repair and Maintenance Reserves:			
Housing and Dining System	\$ 1,074,000	\$ 251,618	\$ 1,325,618
Student Housing Buildings of 1964	50,000	6,486	56,486
Student Housing Buildings of 1960	30,000	7,339	37,339
Total repair and maintenance reserves	<u>1,154,000</u>	<u>265,443</u>	<u>1,419,443</u>
Renewal and Replacement Funds:			
Housing and Dining Funds		1,599,315	1,599,315
Other Auxillary Enterprises		<u>237,087</u>	<u>237,087</u>
Total renewal and replacement funds		<u>1,836,402</u>	<u>1,836,402</u>
GRAND TOTAL	<u>\$ 1,154,000</u>	<u>\$2,101,845</u>	<u>3,255,845</u>

Office of the President
August 26, 1983

FCR 2

Members, Board of Trustees:

RESOLUTION AUTHORIZING ISSUANCE OF
COMMUNITY COLLEGES EDUCATIONAL BUILDINGS
REVENUE BONDS, SERIES E

Recommendation: that the Board of Trustees approve a Resolution authorizing the issuance of \$3,500,000 Community Colleges Educational Buildings Revenue Bonds, Series E, dated October 1, 1983. Further that the Board of Trustees concurrently approve an Alternate Series E Resolution authorizing not only the above Series E Bonds, but also authorizing the issuance of \$3,500,000 Community Colleges Educational Buildings Revenue Bond Anticipation Notes, Series E, dated October 1, 1983, and maturing May 1, 1986. Said Alternate Series E Resolution also authorizing the Treasurer of the Board to poll the members of the Finance Committee on September 6, 1983, and to proceed with the issuance and sale on September 20, 1983 of the Bonds or the Notes in accordance with the vote of a majority of the Finance Committee.

Both the Series E Resolution and the Alternate Series E Resolution authorize the dissemination of a Notice of Sale, an Official Statement and an Official Bid Form for the solicitation of bids to be received at the meeting of the Board of Trustees on September 20, 1983.

Background: The proceeds of the Series E Bonds will provide for payment of the costs of construction of a new Academic Technical Building at Somerset Community College, and additions to the Student Center at Paducah Community College.

Action: Approved x Disapproved Other

Date: August 26 , 1983.

RESOLUTION AUTHORIZING THE ISSUANCE OF \$3,500,000
UNIVERSITY OF KENTUCKY COMMUNITY COLLEGES EDUCA-
TIONAL BUILDINGS REVENUE BONDS, SERIES E

WHEREAS, the Board of Trustees of the University of Kentucky ("the Board"), by Resolution entitled:

A RESOLUTION creating and establishing a Community Colleges Educational Buildings Project of the University of Kentucky; creating and establishing a new issue of Community Colleges Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky; providing for the issuance from time to time of said bonds; providing for the payment of the principal of and interest on said bonds and repealing all resolutions or parts of resolutions in conflict with this resolution.

heretofore adopted on May 6, 1969, as thereafter clarified, amended, and restated by resolutions adopted by the Board on May 5, 1970, November 17, 1970, and December 11, 1973, respectively (collectively hereinafter referred to as the "Basic Resolution") has created and established an issue of University of Kentucky Community Colleges Educational Buildings Revenue Bonds (the "Bonds"); and

WHEREAS, the Basic Resolution authorizes the issuance by the Board of the Bonds in one or more Series pursuant to a resolution authorizing each such Series; and

WHEREAS, the Board has determined that it is in its best interest to authorize at this time an additional series of Bonds to be designated "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series E"; the Board having previously authorized, sold, issued and delivered four prior issues of Bonds designated "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series A, Series B, Series C, and Series D"; and

WHEREAS, mandatory provisions of the Tax Equity and Fiscal Responsibility Act of 1982 essentially require that any new Series of the Bonds be issued in fully registered form, with the result that procedures for the issuance, payment, registration, and transfer of the Bonds of any such new Series will necessarily differ in some particulars from the procedures applicable to the coupon form of

the four prior issues previously authorized, sold, issued, and delivered;

NOW, THEREFORE, the Board hereby resolves as follows:

ARTICLE 1

DEFINITIONS AND AUTHORITY.

SECTION 1.1. Series E Resolution. This resolution (hereinafter referred to as the "Series E Resolution") is adopted in accordance with Article II, Section 2.03, and Article VIII, Section 8.01, of the Basic Resolution.

SECTION 1.2. Definitions.

A. All terms which are defined in Article I of the Basic Resolution shall have the same meanings, respectively, in this Series E Resolution as such terms are given in said Article of the Basic Resolution.

B. In this Series E Resolution, "Series E Bonds" shall mean the Bonds authorized by Article 2 of this Series E Resolution.

SECTION 1.3. Authority. This Series E Resolution is adopted pursuant to the provisions of Sections 162.340 et seq. of the Kentucky Revised Statutes and the Basic Resolution.

ARTICLE 2

AUTHORIZATION OF SERIES E BONDS.

SECTION 2.1. Series E Bonds Authorized. Pursuant to the provisions of the Basic Resolution, there is hereby authorized to be issued by the Board, in its corporate capacity, a further series of Bonds in the aggregate principal amount of Three Million Five Hundred Thousand Dollars (\$3,500,000). Such Bonds shall be designated as "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series E." The Series E Bonds are hereby declared to have been authorized under the Basic Resolution and in conformity with the provisions of Section 7.10 of the Basic Resolution.

SECTION 2.2. Purpose of Issue. The Series E Bonds are being issued for the purpose of paying the costs (to the

extent not otherwise provided) of the construction of new buildings and necessary appurtenances on the property owned by the University of Kentucky in Pulaski and McCracken Counties, Kentucky ("the Series E Project") and to become a part of the Community Colleges Educational Buildings Project.

SECTION 2.3. Bond Maturities. The Series E Bonds shall be dated October 1, 1983, and bear interest payable semiannually on May 1 and November 1 of each year, commencing May 1, 1984, at an interest rate or interest rates to be fixed as a result of advertised sale and competitive bidding for the Series E Bonds as hereinafter provided. The Series E Bonds shall be scheduled to become due and payable on May 1 of the respective years, as follows:

<u>Aggregate Principal Amount</u>	<u>Date of Maturity May 1</u>
\$70,000	1984
85,000	1985
90,000	1986
95,000	1987
100,000	1988
110,000	1989
115,000	1990
125,000	1991
135,000	1992
150,000	1993
160,000	1994
175,000	1995
190,000	1996
205,000	1997
225,000	1998
245,000	1999
265,000	2000
290,000	2001
320,000	2002
350,000	2003

SECTION 2.4. Type of Bonds. The Series E Bonds shall be issued in fully registered form in denominations of \$5,000 or integral multiples thereof designated by the purchasers thereof.

SECTION 2.5. Registration and Transfer. Liberty National Bank and Trust Company of Louisville, Louisville, Kentucky, is hereby designated as Registrar and Paying Agent for the Series E Bonds. Each of the Series E Bonds shall be

dated by the Registrar and Paying Agent as of the date of original issue of the Series E Bonds or as of the last interest payment date with respect to such Bond preceding the date of authentication to which interest on the Bond has been paid or made available for payment, unless the date of authentication is an interest payment date to which interest has been paid or made available for payment, in which case the Bond shall be dated as of the date of authentication. The Registrar and Paying Agent shall establish and maintain at its principal office books for the registration and transfer of the Series E Bonds. Each of the Series E Bonds may be transferred or exchanged only upon payment of any required tax, fee, or other governmental charge and upon an assignment duly executed by the registered owner or his duly authorized attorney in such form and with guarantee as shall be satisfactory to the Registrar and Paying Agent, such transfer to be made on such books by the Registrar and Paying Agent. Upon such transfer, a new fully registered Bond or Bonds of the same maturity, of authorized denomination or denominations, for the same aggregate principal amount shall be issued to the transferee in exchange therefor. The Board and the Registrar and Paying Agent shall not be required to issue, transfer, or exchange any of the Series E Bonds after the mailing of a notice calling such Bond for redemption as provided herein, nor to transfer or exchange any such Bond selected, called or being called for redemption in whole or in part. The person in whose name each of the Series E Bonds shall be registered shall be deemed and regarded as the absolute owner thereof for all purposes, and payment of or on account of either principal of or interest on such Bond shall be made only upon the order of the registered owner thereof or his legal representative, but such registration may be changed as hereinabove provided. All such payments shall be valid and effectual to satisfy and discharge the liability upon such Bond to the extent of the sum or sums so paid.

SECTION 2.6. Payment. The principal of each of the Series E Bonds shall be payable to the registered owner or his legal representative in any coin or currency which at the time of the payment thereof shall be legal tender for the payment of public and private debts at the principal office of the Registrar and Paying Agent on the maturity thereof or the date fixed for mandatory redemption thereof as provided in this Series E Resolution.

The interest on each of the Series E Bonds shall be payable on the interest payment dates provided in Section 2.3 to the registered owners or their legal representatives as the same appears on the records of the Registrar and Paying Agent at the close of business on the fifteenth day

of the month preceding each interest payment date (April 15 and October 15, respectively).

SECTION 2.7. Provisions for Prior Redemption. The Series E Bonds maturing May 1, 1994 and thereafter shall be subject to redemption at the option of the Board in whole or from time to time in part in the inverse order of their maturities (less than all of a single maturity to be selected by lot), on any interest payment date on or after May 1, 1993, at the redemption prices, expressed in percentages of principal amount with respect to each Bond as set forth below, plus in each case accrued interest to the date of redemption:

<u>If Redeemed:</u>	<u>Redemption Price</u>
On or after May 1, 1993 and prior to May 1, 1995	103%
On or after May 1, 1995 and prior to May 1, 1998	102%
On or after May 1, 1998 and prior to final maturity	101%

Notice of any such optional redemption shall be given at least thirty (30) days prior to the redemption date by mailing to the first-class owners of the Bonds to be redeemed, by registered mail, a notice fixing the redemption date and the premium, if any, to be paid. The Series E Bonds called for redemption and for the payment of which funds are deposited with the Registrar and Paying Agent on the specified redemption date shall cease to bear interest on said redemption date. Any of the Series E Bonds that is to be redeemed only in part shall be surrendered to the Registrar and Paying Agent (with, if the Registrar and Paying Agent so requires, due endorsement by, or a written instrument of transfer in form and with guarantee satisfactory to the Registrar and Paying Agent duly executed by, the holder or his duly authorized attorney) and the Registrar and Paying Agent shall authenticate and deliver to the holder of such Bond a new fully registered Bond or Bonds of the same maturity, of authorized denomination or denominations, for the aggregate principal amount equal to and in exchange for the unredeemed portion of the principal of the Bond so surrendered.

SECTION 2.8. Execution and Authentication of Bonds. The Series E Bonds shall be executed on behalf of the Board with the reproduced facsimile signature of the Chairman of the Board and attested by the manual signature of the Secretary of the Board, and the facsimile of the corporate seal of the Board shall be imprinted thereon. The Series E Bonds shall be authenticated by the manual signature of a duly authorized officer of the Registrar and Paying Agent.

SECTION 2.9. Security for Bonds; Trustee. For the purpose of securing the payment of both the principal of and interest on all the Series E Bonds (and any additional parity bonds which may hereafter be issued and outstanding pursuant to the conditions and restrictions set forth in Section 7.10 of the Basic Resolution), and to secure for the benefit of all the holders of said Series E Bonds the faithful performance of the covenants and provisions contained in the Basic Resolution in the manner and to the extent as permitted and provided in the Basic Resolution and in Sections 162.340 et seq. of the Kentucky Revised Statutes, as amended, The Second National Bank and Trust Company of Lexington, Lexington, Kentucky, is hereby designated as Trustee under the Basic Resolution for the holders of all of the Bonds authorized under the Basic Resolution, including the Series E Bonds, with the powers and duties set forth in the Basic Resolution and with no liability in connection with any action or omission to act under the Basic Resolution except for its own negligence or willful breach of trust. Execution of a Certificate of the Trustee in connection with the delivery of the Series E Bonds shall conclusively establish the acceptance as to the Series E Bonds by the Trustee of the trusts and provisions with respect thereto as set forth in the Basic Resolution.

SECTION 2.10. Form of Bonds. The Series E Bonds and the certificate of the Registrar and Paying Agent to be endorsed on the Series E Bonds shall be in substantially the forms set forth as Exhibit A to this Series E Resolution. Notwithstanding the provisions of the preceding sentence, variations in the form of the Series E Bonds may be made at the time of delivery thereof in order to conform with Regulations of the Internal Revenue Service and accepted securities industry practices then in existence.

SECTION 2.11. Conditions of Sale of Bonds. The Series E Bonds shall be offered at public sale or public sales upon sealed bids. The Treasurer of the University of Kentucky (who is hereby recognized and designated as the Treasurer of the Board for all purposes of this Series E Resolution and who is hereinafter referred to as "the Treasurer") is hereby authorized and directed to cause an appropriate form or

forms of a Notice of Bond Sale of the Series E Bonds to be published in The Courier-Journal, a legal newspaper published in the City of Louisville, Kentucky, which will afford state-wide notice of the sale, and in The Daily Bond Buyer, a financial journal published in the City of New York, New York, which is a publication having general circulation among bond buyers, and said newspaper and financial journal are hereby declared to be qualified to publish such notices for the Board within the meaning and provisions of KRS Chapter 424. Such notice or notices shall be published in said newspaper and financial journal at least once and shall appear not less than seven days nor more than twenty-one days prior to the scheduled date of sale of the Series E Bonds. Such notice shall be in substantially the form prepared by Wyatt, Tarrant & Combs, Bond Counsel to the Board, as set forth in Exhibit B to this Series E Resolution.

The Series E Bonds shall be offered as a whole, at not less than 98% of par, plus accrued interest, in multiples of 1/8 or 1/20 of 1%. Interest rates shall be on an ascending scale, with the difference between the highest and lowest rate not to exceed 5%. Only bids submitted on the Official Bid Form shall be given favorable consideration. The Official Bid Form shall be in substantially the form prepared by Bond Counsel, as set forth in Exhibit C to this Series E Resolution.

The right to reject any or all bids shall be expressly reserved in the Board. On the occasion set forth in such notice the Board, or its Executive Committee, or its Finance Committee, as the case may be, shall consider all proposals made pursuant to such notice, and if acceptable bids are received, award the Series E Bonds in the manner and for the purposes herein provided, establish the interest rate or rates which the Series E Bonds shall bear, and take all other necessary and proper steps in the sale and issuance of the Series E Bonds.

The Treasurer, in conjunction with Dupree & Company, Inc., Financial Advisor to the Board, and Bond Counsel, may cause to be prepared multiple copies of the Official Terms and Conditions of Bond Sale giving more complete and particular descriptions of the Series E Bonds, provisions for the security and payment thereof, disposition of bond proceeds and other information that it is not feasible to give within the limits of the Notice or Notices of Bond Sale, and may furnish copies of such Official Terms and Conditions of Bond Sale to all interested bidders upon request in substantially the form prepared by Bond Counsel, as set forth in Exhibit D to this Series E Resolution.

The Financial Advisor to the Board shall prepare an "Official Statement" for the purpose of providing adequate information to prospective bidders, and the same shall be examined on behalf of the Board by the Treasurer, shall be approved by the Treasurer prior to the use thereof in connection with the sale of the Series E Bonds, and shall be executed on behalf of the Board by the Chairman.

SECTION 2.12. Disposition of Proceeds of Bonds; Construction Account. Upon the delivery of the Series E Bonds, the proceeds thereof, together with interest accrued thereon to the date of their delivery, if any, shall be transferred to the Trustee and deposited as follows:

A. a sum equal to the interest accrued, if any, on the Series E Bonds from October 1, 1983 to the date the Series E Bonds are delivered shall be deposited in the "Community Colleges Educational Buildings Project Bond and Interest Sinking Fund" (the "Bond Fund" established by the Basic Resolution) and applied to the payment of the interest becoming due on the Series E Bonds on May 1, 1984; and

B. the entire balance of the proceeds of the Series E Bonds remaining after the deposit of accrued interest thereon shall be transferred to the State Treasury of the Commonwealth, for deposit in a separate and special account hereby created and established and designated as the "University of Kentucky Community Colleges Educational Buildings Project - Series E Bond Proceeds Construction Account" (the "Construction Account").

From the balance of the proceeds of the Series E Bonds deposited in the Construction Account there shall be paid to Dupree & Company, Inc. as Financial Advisor to the Board, the contractual compensation which shall then be due and payable. All monies then on deposit in the Construction Account shall be available for application to the costs of the Series E Project hereinbefore in this Series E Resolution identified for which the Series E Bonds have been authorized.

The State Treasurer of the Commonwealth is hereby authorized and requested to make disbursements from the Construction Fund, according to such inspection, audit and disbursement procedures as may from time to time be provided by law, for the purpose of paying the costs of erecting and completing the Series E Project in accordance with the plans and specifications therefor, but only upon certification of the respective Engineers having supervision of the Series E Project, as to each disbursement, that the amount thereof has been duly earned by and is payable to the designated

party or parties for materials, services, and/or labor furnished pursuant to a proper contract duly awarded therefor. Notwithstanding the foregoing, disbursements from the Construction Account for the contractual sum due the Financial Advisor to the Board for professional services in connection with the authorization, issuance, sale and delivery of the Series E Bonds shall not be subject to approval by such Engineers.

Upon the completion of the Series E Project, as certified by the Engineers, any balance remaining in the Construction Account after the payment of all project costs shall be deposited in the Bond Fund; provided, however, that if Series E Bond proceeds are remaining at a time when there is pending or imminently contemplated the construction of one or more additional educational buildings which are to be financed from the proceeds of additional Bonds pursuant to the Basic Resolution, and which building or buildings will constitute a part of the Community Colleges Educational Buildings Project, any such unexpended balance may be taken into account in determining the amount of additional Bonds to be authorized therefor, or may otherwise be applied to the proper costs thereof, in which event such unexpended balance in the Construction Account, upon direction of the Board, shall be transferred to the construction account created for the additional Bonds.

Monies on deposit in the Construction Account shall be invested and reinvested from time to time by the State Treasurer upon direction to the State Treasurer by the Treasurer, in direct obligations of the United States Government or agencies of the United States Government, or obligations which are fully guaranteed by the United States Government, or in negotiable or nonnegotiable Certificates of Deposit issued by any bank, trust company or national banking association (including the Trustee) which is a member of the Federal Reserve System or of the Federal Deposit Insurance Corporation, provided that such Certificates of Deposit shall be continuously secured by a valid pledge of direct obligations of or obligations guaranteed by the United States Government having a market value (exclusive of accrued interest) at all times equal to at least 100% of the principal amount of said Certificates of Deposit in excess of Federal Deposit Insurance Corporation coverage, which Certificates shall be lodged with the State Treasurer as custodian, or in investments permitted by KRS 42.500(9). The Investments shall be made upon the determination of the Treasurer, upon advice from the Engineers, that monies on deposit in the Construction Account are not immediately required for construction purposes and any investments made from the Construction Account shall be selected in

contemplation of the anticipated schedule of disbursements prepared by the Treasurer. All such investments shall be reconverted into cash as and when cash is required to pay costs for which the Construction Account is established.

ARTICLE 3

NON-ARBITRAGE PROVISIONS

SECTION 3.1. Duties of the Treasurer. It shall be the duty of the Treasurer to file with the Trustee a statement indicating that the annual Revenues from the Project, adjusted as provided in Section 7.10 of the Basic Resolution, for the two fiscal years immediately preceding the issuance of the Series E Bonds were equal to not less than 1.25 times the maximum Aggregate Principal, Interest and Bond Fund Charges payable out of the Revenues of the Project in any succeeding twelve month period ending May 1 on the Bonds then outstanding and the Series E Bonds proposed to be issued hereunder.

SECTION 3.2. Covenant Against Arbitrage. Neither the Board, nor the Treasurer acting on behalf of the Board, shall permit any of the proceeds of the Series E Bonds to be used directly or indirectly to acquire any securities or obligations, the acquisition of which would cause any Series E Bond, or any Bonds issued under the Basic Resolution, to be an "arbitrage bond" as defined in Section 103(c)(2) of the Internal Revenue Code of 1954, as amended, and the Board covenants that it will perform all acts and things permitted by law and necessary or desirable in order to assure that the interest paid by the Board on the Series E Bonds shall be exempt from Federal income taxation.

SECTION 3.3. Treasurer to Deliver Bonds. The Treasurer is hereby charged with the responsibility for issuing and delivering the Series E Bonds and shall have responsibility for the receipt, disbursement, use and investments of the proceeds of the Series E Bonds and shall certify at the time the Series E Bonds are delivered that on the basis of the facts, estimates and circumstances in existence on the date the Series E Bonds are delivered that it is not expected that the proceeds thereof will be used in any manner that would cause the Series E Bonds, or any of the Bonds, to be "arbitrage bonds."

ARTICLE 4

RESOLUTION EFFECTIVE

SECTION 4.1. Severability. If any one or more of the covenants or agreements provided in this Series E Resolution on the part of the Board or of the Trustee or of the Registrar and Paying Agent to be performed shall be determined by a court of competent jurisdiction to be contrary to law, then such covenant or covenants, agreement or agreements shall be deemed severable from the remaining covenants and agreements herein contained and the invalidity thereof shall in no way affect the validity of the other provisions of this Series E Resolution.

SECTION 4.2. Conflicts Repealed. All resolutions or parts of resolutions in conflict herewith shall be and the same are hereby repealed insofar as such conflict exists.

SECTION 4.3. Effective Date of Resolution. This Series E Resolution shall take effect immediately upon its adoption by the Board.

SECTION 4.4. Privilege Waived. On the advice of the Financial Adviser to the Board that provision for the first payment of interest on the Series E Bonds on November 1, 1983 would unduly burden the initial purchaser of the Series E Bonds and the purchasers thereof on initial distribution thereof, the Board hereby surrenders, solely with respect to the Series E Bonds, the right, power, and privilege reserved to and conferred upon it by the terms of the Basic Revolution to compel such purchasers to accept the first payment of interest on the Series E Bonds on November 1, 1983, such first payment of interest to be made on May 1, 1984 as in this Series E Resolution elsewhere provided.

ADOPTED AUGUST 26, 1983.

Chairman, Board of Trustees

(SEAL)

ATTEST:

Secretary, Board of Trustees

FRONT

(FORM OF SERIES E BOND)

UNITED STATES OF AMERICA
COMMONWEALTH OF KENTUCKY
UNIVERSITY OF KENTUCKY
COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BOND
SERIES E

No. _____

\$ _____

The Board of Trustees of the University of Kentucky, a body corporate, as an educational institution and agency of the Commonwealth of Kentucky (sometimes hereinafter called the "Board of Trustees"), for value received, hereby promises to pay, solely from the special fund provided therefor, as hereinafter set forth, to _____ or registered assigns, on May 1, _____, the principal sum of _____ Dollars, and to pay, solely from said special fund, interest thereon from the date hereof until payment of principal at the rate of _____ percent (____%) per annum, semiannually on May 1 and November 1 in each year, commencing May 1, 1984, except as the provisions hereinafter set forth with respect to prior redemption may be and become applicable hereto, both principal and interest being payable in any coin or currency which at the time of payment thereof is legal tender for the payment of public and private debts at the principal office of the Registrar and Paying Agent, Liberty National Bank and Trust Company of Louisville, in the City of Louisville, Kentucky, (or any successor appointed pursuant to the provisions of the Basic Resolution herein mentioned). Payment of interest hereon shall be made to the registered holder hereof as the same appears from the registration books of the Registrar and Paying Agent at the close of business on the fifteenth day of the month preceding each interest payment date by check or draft mailed to such registered holder at his address as it appears on such books or at such other address as is timely furnished in writing by such registered holder to the Registrar and Paying Agent.

REFERENCE IS HEREBY MADE TO THE FURTHER PROVISIONS OF THIS BOND SET FORTH ON THE REVERSE HEREOF, WHICH FURTHER PROVISIONS SHALL FOR ALL PURPOSES HAVE THE SAME EFFECT AS IF SET FORTH AT THIS PLACE.

This Bond shall not be valid or become obligatory for any purpose, or be entitled to any security or benefit,

SERIES E RESOLUTION
EXHIBIT A

under the Basic Resolution, until it shall have been authenticated by the execution by the Registrar and Paying Agent of the certificate hereon endorsed.

It is hereby certified, recited and declared that all acts, conditions and things required to exist, to happen, and to be performed precedent to and in the issuance of this Bond, do exist, have happened, and have been performed in due time, form and manner as required by law, and the amount of this Bond, together with all other obligations of the Board of Trustees and of the University of Kentucky, does not violate any provisions or exceed any limit prescribed by the Constitution or Statutes of Kentucky; that the Project herein mentioned will be continuously operated; and that a sufficient portion of the gross revenues therefrom has been pledged to and will be set aside into the Bond Fund herein mentioned for the payment of the principal of and interest on this Bond and the issue of which it is one, and all other bonds which by their terms and the terms of the Basic Resolution are payable from the Bond Fund, as and when the same will respectively become due.

IN TESTIMONY WHEREOF, the Board of Trustees of the University of Kentucky has caused this Bond to be executed on its behalf by the reproduced facsimile signature of its Chairman and attested by the manual signature of its Secretary, and the facsimile of its corporate seal to be imprinted hereon, all being done as of the 1st day of October, 1983.

This Bond is dated _____.

[Facsimile of Seal]

[Facsimile Signature]

Chairman
Board of Trustees
University of Kentucky

ATTEST:

[Manual Signature]

Secretary or Assistant Secretary
Board of Trustees
University of Kentucky

AUTHENTICATION CERTIFICATE

This Bond is one of the Series E Bonds described or provided for in the within-mentioned Series E Resolution. Printed on the reverse hereof is the complete text of the opinion of Wyatt, Tarrant & Combs, Louisville, Kentucky, Bond Counsel, dated the date of the original delivery of and payment for such Bonds, a signed counterpart of which opinion is on file with the undersigned Registrar and Paying Agent.

LIBERTY NATIONAL BANK AND
TRUST COMPANY OF LOUISVILLE
REGISTRAR AND PAYING AGENT
Louisville, Kentucky

By [Manual Signature]
Authorized Officer

REVERSE

This Bond is one of a duly authorized issue of Bonds designated as "University of Kentucky Community Colleges Educational Buildings Revenue Bonds" (hereinafter referred to as the "Bonds") issued and to be issued under and in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including among others, Sections 162.350 to 162.380, inclusive, of the Kentucky Revised Statutes now in full force and effect, and under and pursuant to the resolution adopted by the Board of Trustees on May 6, 1969, as clarified, amended, and restated pursuant to resolutions adopted on May 5, 1970, November 17, 1970, and December 11, 1973, respectively (collectively herein referred to as the "Basic Resolution"), and the Series E Resolution adopted by the Board of Trustees on August 26, 1983.

As provided in the Basic Resolution, the Bonds may be issued from time to time pursuant to separate resolutions in one or more series, in various principal amounts, may bear interest at different rates and may otherwise vary as in the Basic Resolution provided. The aggregate principal amount of Bonds which may be issued under the Basic Resolution is not limited except as provided in the Basic Resolution, and all Bonds issued and to be issued under the Basic Resolution are and will be equally secured by the pledges and covenants made therein, except as otherwise expressly provided or permitted in the Basic Resolution.

This Bond is one of a series of Bonds of various maturities designated as "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series E" (herein called the "Series E Bonds") issued in the aggregate principal amount of \$3,500,000 under the Basic Resolution and the Series E Resolution for the purpose of financing the costs (to the extent not otherwise provided) of erecting and completing educational buildings and necessary appurtenances as parts of the Community Colleges Educational Buildings Project of said University (herein referred to as the "Project"). Copies of the Basic Resolution and the Series E Resolution are on file at the office of the Trustee. Reference is hereby made to the Basic Resolution pursuant to which The Second National Bank and Trust Company of Lexington, in the City of Lexington, Kentucky, has been designated as Trustee for the holders of the Bonds of which this Bond is one and for the provisions, among others, with respect to the custody and application of the proceeds of the Bonds; the rights, duties and obligations of said Board of Trustees, and of the Trustee, and the rights of the holders of the Bonds; and by the acceptance of this Bond,

the holder hereof assents to all of the provisions of the Basic Resolution and the Series E Resolution.

The holder of this Bond shall have no right to enforce the provisions of the Basic Resolution or the Series E Resolution or to institute action to enforce the covenants therein, or to take any action with respect to any default under the Basic Resolution or the Series E Resolution, or to institute, appear in or defend any suit or other proceedings with respect thereto, except as provided in the Basic Resolution. The Basic Resolution provides for fixing, charging and collecting fees for the services of the Project, which fees will be sufficient to pay the principal of and interest on the Bonds payable out of the Revenues of the Project as the same become due and provide reserves for such purposes and also to pay the costs of operation and maintenance of the Project to the extent the same are not otherwise provided. The Basic Resolution provides for the creation of a special account designated "Community Colleges Educational Buildings Project Bond and Interest Sinking Fund" (herein called the "Bond Fund") and for the deposit to the credit of the Bond Fund of a fixed amount of the gross revenues of the Project to pay interest on the Bonds as the same becomes due, and to pay or retire the principal of the Bonds at or prior to the maturity thereof, and to provide a reserve for such purpose, which Bond Fund is pledged to and charged with the payment of said principal and interest.

The issue of Series E Bonds of which this Bond is one and all other Bonds outstanding from time to time under the Basic Resolution, are payable only from a fixed amount of the gross revenues derived from the operation of said Project which will be set aside in said Bond Fund, and this Bond does not constitute any indebtedness of the University of Kentucky or of the Board of Trustees or of the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

This Bond is transferable by the registered owner hereof in person or by his attorney duly authorized in writing, at the principal office of the Registrar and Paying Agent, but only in a manner and subject to the limitations provided in the Basic Resolution and the Series E Resolution, and upon surrender and cancellation of this Bond. Upon such transfer, a new registered Bond or Bonds of the same maturity and of authorized denomination or denominations, for the same aggregate principal amount, will be issued to the transferee in exchange therefor.

The Board of Trustees and the Registrar and Paying Agent may deem and treat the registered holder hereof as the absolute owner hereof (whether or not this Bond shall be overdue) for the purpose of receiving payment of or on account of principal hereof and interest due hereon and for all other purposes, and neither the Issuer nor the Registrar and Paying Agent shall be affected by any notice to the contrary.

This Bond may, at the option of the registered holder thereof, be exchanged for an equal aggregate principal amount of registered Bonds of the same maturity and interest rate and of any other authorized denomination at the principal office of the Registrar and Paying Agent, but only in a manner and subject to the limitations provided in the Basic Resolution and the Series E Resolution, and upon surrender and cancellation of this Bond. The Board of Trustees and the Registrar and Paying Agent shall not be required to issue, transfer, or exchange any of the Bonds after the mailing of a notice calling such Bond for redemption as provided in the Series E Resolution, nor to transfer or exchange any Bonds selected, called or being called for redemption in whole or in part.

The Series E Bonds maturing May 1, 1994, and thereafter shall be subject to redemption at the option of the Board of Trustees in whole or from time to time in part in the inverse order of their maturities (less than all of a single maturity to be selected by lot), on any interest payment date on or after May 1, 1993, at the redemption prices, expressed in percentages of principal amount, with respect to each Bond as set forth below, plus in each case accrued interest to the date of redemption:

<u>If Redeemed:</u>	<u>Redemption Price</u>
On or after May 1, 1993, and prior to May 1, 1995	103%
On or after May 1, 1995, and prior to May 1, 1998	102%
On or after May 1, 1998, and prior to final maturity	101%

Notice of any such optional redemption shall be given at least thirty (30) days prior to the redemption date by mailing to the registered owner of this Bond, by first-class mail, a notice fixing such redemption date, and

the premium, if any, to be paid. If funds for the payment of this Bond are deposited on the specified redemption date, this Bond shall cease to bear interest on said redemption date. If this Bond is redeemed only in part, upon its surrender the Registrar and Paying Agent shall authenticate and deliver to the holder of this Bond a new fully registered Bond or Bonds of the same maturity, of authorized denomination or denominations, for the aggregate principal amount equal to and in exchange for the unredeemed portion of the principal of the Bond so redeemed.

A statutory mortgage lien, which is hereby recognized as valid and binding on the Project, is created and granted to and in favor of the holder or holders of this Bond and the issue of Bonds of which it is one, and the Project shall remain subject to such statutory mortgage lien until the payment in full of the principal of and interest on this Bond and the issue of Bonds of which it is one.

ASSIGNMENT

FOR VALUE RECEIVED the undersigned hereby sells, assigns and transfers unto _____ (please print or typewrite name, address and tax identification number of transferee) the within Bond and all rights thereunder, and hereby irrevocably constitutes and appoints _____ Attorney to transfer the within Bond on the books kept for registration thereof, with full power of substitution in the premises.

Date _____

Signature guaranteed:

NOTICE OF BOND SALE

UNIVERSITY OF KENTUCKY
Lexington, Kentucky

\$3,500,000 University of Kentucky Community Colleges
Educational Buildings Revenue Bonds, Series E

The Treasurer of the Board of Trustees of the University of Kentucky (the "Board"), hereby gives notice that the Board will until the hour of 10:30 a.m., E.D.T., on September 20, 1983 receive in the Office of the Treasurer, 369 Peterson Service Building, South Limestone Street, University of Kentucky Campus, Lexington, Kentucky 40506, sealed competitive bids for the purchase of \$3,500,000 of its University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series E, dated October 1, 1983 (the "Bonds"). Proposals for the purchase of the Bonds will be considered by the Finance Committee of the Board at 11:00 a.m. and referred to the full Board for acceptance or rejection at its meeting at 2:00 p.m., E.D.T., on September 20, 1983.

The Bonds are issued pursuant to the provisions of a Resolution of the Board dated May 5, 1969, as clarified, amended and restated by resolutions of the Board dated May 6, 1970, November 17, 1970, and December 11, 1973, respectively, a Series Resolution of the Board, dated August 26, 1983 and Sections 162.340 through 162.380 of the Kentucky Revised Statutes as fully registered Bonds in denominations of \$5,000 and integral multiples thereof, maturing serially on May 1 in each of the years 1984 through 2003; subject to prior redemption on and after May 1, 1993.

The Bonds are not general obligations of the Board, the University or the Commonwealth of Kentucky but are special obligations payable from a first lien and charge upon the defined Revenues of the Community Colleges Educational Buildings Project.

Bids must be on Official Bid Form contained in Information for Bidders available from the undersigned or Dupree & Company, Inc., 600 First National Bank Building, 167 West Main Street, Post Office Box 1149, Lexington, Kentucky 40589. Reference should be made to Official Terms and Conditions of Bond Sale and the Official Statement for details and bidding conditions.

Sale on usual tax exempt basis, subject to approving Legal Opinion of Wyatt, Tarrant & Combs, Louisville,

SERIES E RESOLUTION
EXHIBIT B

Kentucky, Bond Counsel. The right to reject bids or waive informality is reserved. Delivery prior to October 30, 1983 anticipated.

Henry Clay Owen
Treasurer, Board of Trustees
University of Kentucky

OFFICIAL BID FORM

To the Honorable Chairman and Members of
The Board of Trustees of the
University of Kentucky
c/o Mr. Henry Clay Owen
University Treasurer
Office of the Treasurer
369 Peterson Service Building
Lexington, Kentucky 40506

Gentlemen:

Pursuant to your "Official Terms and Conditions of Bond Sale", the undersigned offers to purchase your "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series E", dated October 1, 1983, in accordance with and subject to the terms set forth in the Official Terms and Conditions of Bond Sale.

We hereby bid for said \$3,500,000 principal amount of Bonds the sum of \$_____ (not less than \$3,430,000); plus accrued interest from October 1, 1983, at the following rates (interest on ascending scale; rate differential not to exceed 5%):

<u>Year</u>	<u>Amount</u>	<u>Rate</u>	<u>Year</u>	<u>Amount</u>	<u>Rate</u>
1984	\$70,000	_____	1994	\$160,000	_____
1985	85,000	_____	1995	175,000	_____
1986	90,000	_____	1996	190,000	_____
1987	95,000	_____	1997	205,000	_____
1988	100,000	_____	1998	225,000	_____
1989	110,000	_____	1999	245,000	_____
1990	115,000	_____	2000	265,000	_____
1991	125,000	_____	2001	290,000	_____
1992	135,000	_____	2002	320,000	_____
1993	150,000	_____	2003	350,000	_____

It is understood that such Bonds are offered for sale subject to the principal and interest on such Bonds not being subject to Federal or Kentucky ad valorem or income taxation on the date of their delivery to the successful bidder. It is also understood that the Board of Trustees of the University of Kentucky will furnish a final approving legal opinion of Wyatt, Tarrant & Combs, Louisville, Kentucky, Bond Counsel, subject to whose approving legal

SERIES E RESOLUTION
EXHIBIT C

opinion such Bonds are sold, and will furnish printed bond forms and the usual closing proofs, including the customary no-litigation certificate, to the successful bidder.

The successful bidder shall not be required to take up and pay for such Bonds unless delivery is made within forty-five days from the date said bid is accepted. Upon delivery the successful bidder shall take up and pay for such Bonds in FEDERAL FUNDS. It is anticipated that such Bonds will be tendered for delivery prior to October 30, 1983.

A certified check or bank cashier's check in the amount of \$35,000, payable to the University of Kentucky is enclosed in accordance with the Official Terms and Conditions of Bond Sale.

Respectfully submitted,

Authorized Signature

Name of Bidder

Address

The foregoing is our purchase bid. We submit our own computation thereof only for your information and convenience:

(a)	Total interest cost at stated interest rates from October 1, 1983 to final maturity	\$ _____
(b)	Plus discount or less premium	\$ _____
(c)	Net Interest Cost	\$ _____
(d)	Equivalent to	_____ %

Accepted this _____ day of October, 1983, by
Resolution of the Board of Trustees of the University of
Kentucky.

BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY
Lexington, Kentucky

By _____
Chairman

ATTEST:

Secretary, Board of Trustees

Return of Bid deposit hereby
acknowledged:

Authorized Representative

OFFICIAL TERMS AND CONDITIONS OF BOND SALE
UNIVERSITY OF KENTUCKY
Lexington, Kentucky

\$3,500,000 University of Kentucky
Community Colleges Educational
Buildings Revenue Bonds, Series E

The Board of Trustees of the University of Kentucky (the "Board"), a public body corporate, educational agency and instrumentality, and a political subdivision of the Commonwealth of Kentucky, hereby gives notice that until September 20, 1983, at the hour of 10:30 A.M., E.D.T., the Board will receive in the Office of the Treasurer, 369 Peterson Service Building, South Limestone Street, on the Campus of the University at Lexington, Kentucky 40506, sealed competitive bids for the purchase of its \$3,500,000 University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series E (the "Bonds"), dated October 1, 1983. Proposals for the purchase of the Bonds will be considered by the Finance Committee of the Board at 11:00 a.m. and referred to the full Board for acceptance or rejection at its meeting at 2:00 p.m., E.D.T. on September 20, 1983.

The Bonds are to be issued in the form of fully registered bonds without coupons in the denomination of \$5,000 or integral multiples thereof. The Bonds bear interest from their date payable on May 1, 1984 and semiannually thereafter by check or draft mailed to registered holders as of the 15th day of the month preceding each interest payment date and mature as to principal on May 1 in each of the years 1984 through 2003 as follows:

<u>YEAR</u>	<u>AMOUNT</u>	<u>YEAR</u>	<u>AMOUNT</u>
1984	\$ 70,000	1994	\$160,000
1985	85,000	1995	175,000
1986	90,000	1996	190,000
1987	95,000	1997	205,000
1988	100,000	1998	225,000
1989	110,000	1999	245,000
1990	115,000	2000	265,000
1991	125,000	2001	290,000
1992	135,000	2002	320,000
1993	150,000	2003	350,000

The Bonds are subject to redemption prior to their stated maturities on or after May 1, 1993 at the premiums set forth below:

SERIES E RESOLUTION
EXHIBIT D

<u>If Redeemed:</u>	<u>Redemption Price</u>
On or after May 1, 1993, and prior to May 1, 1995	103%
On or after May 1, 1995, and prior to May 1, 1998	102%
On or after May 1, 1998, and prior to final maturity	101%

The Bonds are issued for the purpose of providing funds to construct new buildings and related improvements located in Pulaski and McCracken Counties, Kentucky, for the use of the Somerset Community College and the Paducah Community College, respectively. The Bonds are being issued pursuant to the provisions of Sections 162.340 et seq. of the Kentucky Revised Statutes and the Resolution adopted by the Board on May 5, 1969, as clarified, amended, and restated by resolutions adopted by the Board on May 6, 1970, November 17, 1970, and December 11, 1973, respectively (collectively, "the Basic Resolution"), as amended by the Series E Resolution adopted by the Board on August 26, 1983. The Bonds are secured pursuant to the terms of the Basic Resolution whereunder The Second National Bank and Trust Company of Lexington, Lexington, Kentucky, is named Trustee for the holders of all Bonds issued under the Basic Resolution and by a statutory mortgage lien upon the buildings and appurtenances constituting the Community Colleges Educational Buildings Project. In addition, the Bonds are secured by a pledge of the revenues derived from the operation of the Community Colleges Educational Buildings Project. The Bonds will rank on the basis of parity as to security and source of payment with four previous issues, Series A, B, C, and D now outstanding, and any subsequent issues under the Basic Resolution.

The Bonds are not general obligations of the Board, the University, or the Commonwealth of Kentucky, but are special obligations payable solely from a first lien and charge upon the defined Revenues of the Community Colleges Educational Buildings Project to which the Revenues derived from all Student Registration Fees of the Community Colleges are pledged and made subject to a first lien and paramount charge (subject to a prior pledge of revenues of one Community College prior to its joining the Community College System) for the security and source of payment of the

University of Kentucky Community Colleges Educational
Buildings Revenue Bonds.

The purchasers of the Bonds will be furnished at delivery, at the cost of the Board, the unqualified approving legal opinion of Wyatt, Tarrant & Combs, Louisville, Kentucky, Bond Counsel, which will contain a statement that in Bond Counsel's opinion, based upon statutes, regulations, court decisions and administrative rulings as interpreted and applied at that date, interest on the Bonds will be exempt from federal income taxation and that the Bonds and interest thereon are exempt from all Kentucky ad valorem and income taxation.

The Board expressly reserves the right to reject all bids and to waive informalities and irregularities which in the judgment of the Board do not impair the integrity of the bidding process. Delivery is guaranteed within forty-five days after awards are made and it is now anticipated that delivery will be made prior to October 30, 1983. The Board will tender the Bonds to the purchasers thereof, at its own expense, anywhere in the continental United States (exclusive of Alaska); provided, however, that the purchaser shall bear any bank service charge for processing the delivery of the Bonds and closing the transaction. Payment for the Bonds must be made in FEDERAL FUNDS.

BIDDING CONDITIONS: The Bonds shall be offered as a whole, at not less than 98% of par (not less than \$3,430,000), plus accrued interest. Interest rates shall be on an ascending scale, with the difference between the highest and lowest rates not to exceed 5%. Interest rates must be in multiples of 1/8 or 1/20 of 1%. A good faith check in the amount of \$35,000 is required. Only bids submitted on the Official Bid Form shall be given favorable consideration. CUSIP identification numbers shall be provided on the Bonds at the expense of the Board. Neither the improper provision nor the failure to provide CUSIP numbers shall constitute a cause for failure or refusal by the purchaser to accept delivery and pay for the Bonds.

The purchasers of the Bonds must supply the name(s) and tax identification number(s) of the registered holder(s) of the Bonds to be delivered, and the denomination of each such Bond, not later than seven days prior to the date of delivery, by written direction to the Registrar and Paying Agent for the Bonds, Liberty National Bank and Trust Company of Louisville, Louisville, Kentucky.

Details regarding the Bonds, the Official Bid Form and financial and other pertinent information concerning the

University and the Community Colleges Educational Buildings Project are contained in the "Official Statement" which may be obtained by addressing Mr. Henry Clay Owen, Treasurer of the Board, Peterson Service Building, University of Kentucky Campus, Lexington, Kentucky 40506, or the Financial Advisor, Dupree & Company, Inc., 600 First National Bank Building, 167 West Main Street, Post Office Box 1149, Lexington, Kentucky 40589.

BY ORDER OF THE BOARD:

Henry Clay Owen
Treasurer, Board of Trustees
University of Kentucky

RESOLUTION AUTHORIZING THE ISSUANCE OF \$3,500,000
UNIVERSITY OF KENTUCKY COMMUNITY COLLEGES EDUCA-
TIONAL BUILDINGS REVENUE BONDS, SERIES E, AND THE
ISSUANCE OF \$3,500,000 UNIVERSITY OF KENTUCKY
COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE
BOND ANTICIPATION NOTES, SERIES E, IN ANTICIPATION
THEREOF.

WHEREAS, the Board of Trustees of the University of Kentucky ("the Board"), by Resolution entitled:

A RESOLUTION creating and establishing a Community Colleges Educational Buildings Project of the University of Kentucky; creating and establishing a new issue of Community Colleges Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky; providing for the issuance from time to time of said bonds; providing for the payment of the principal of and interest on said bonds and repealing all resolutions or parts of resolutions in conflict with this resolution.

heretofore adopted on May 6, 1969, as thereafter clarified, amended, and restated by resolutions adopted by the Board on May 5, 1970, November 17, 1970, and December 11, 1973, respectively (collectively hereinafter referred to as the "Basic Resolution") has created and established an issue of University of Kentucky Community Colleges Educational Buildings Revenue Bonds (the "Bonds"); and

WHEREAS, the Basic Resolution authorizes the issuance by the Board of the Bonds in one or more Series pursuant to a resolution authorizing each such Series; and

WHEREAS, the Board has determined that it is in its best interest to authorize at this time an additional series of Bonds to be designated "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series E"; the Board having previously authorized, sold, issued and delivered four prior issues of Bonds designated "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series A, Series B, Series C, and Series D"; and

WHEREAS, mandatory provisions of the Tax Equity and Fiscal Responsibility Act of 1982 essentially require that any new Series of the Bonds be issued in fully registered form, with the result that procedures for the

issuance, payment, registration, and transfer of the Bonds of any such new Series will necessarily differ in some particulars from the procedures applicable to the coupon form of the four prior issues previously authorized, sold, issued, and delivered; and

WHEREAS, the conditions of the municipal bond market are presently unfavorable to the issuers of long-term obligations and the Board has determined that it is in its best interests to authorize revenue bond anticipation notes to provide interim financing pending the issuance and delivery of Series E Bonds;

NOW, THEREFORE, the Board hereby resolves as follows:

ARTICLE 1

DEFINITIONS AND AUTHORITY.

SECTION 1.1. Alternate Series E Resolution. This resolution (hereinafter referred to as the "Alternate Series E Resolution") is adopted in accordance with Article II, Section 2.03, and Article VIII, Section 8.01, of the Basic Resolution.

SECTION 1.2. Definitions.

A. All terms which are defined in Article I of the Basic Resolution shall have the same meanings, respectively, in this Alternate Series E Resolution as such terms are given in said Article of the Basic Resolution.

B. In this Alternate Series E Resolution, "Series E Bonds" shall mean the Bonds authorized by Article 2 of this Alternate Series E Resolution.

C. In this Alternate Series E Resolution, "Series E Notes" shall mean the Notes authorized by Article 3 of this Alternate Series E Resolution.

SECTION 1.3. Authority. This Alternate Series E Resolution is adopted pursuant to the provisions of Section 56.513 and Sections 162.340 et seq. of the Kentucky Revised Statutes and the Basic Resolution.

ARTICLE 2

AUTHORIZATION OF SERIES E BONDS.

SECTION 2.1. Series E Bonds Authorized. Pursuant to the provisions of the Basic Resolution, there is hereby authorized to be issued by the Board, in its corporate capacity, a further series of Bonds in the aggregate principal amount of Three Million Five Hundred Thousand Dollars (\$3,500,000). Such Bonds shall be designated as "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series E." The Series E Bonds are hereby declared to have been authorized under the Basic Resolution and in conformity with the provisions of Section 7.10 of the Basic Resolution.

SECTION 2.2. Purpose of Issue. The Series E Bonds are being issued for the purpose of paying the principal of the Series E Notes on May 1, 1986.

SECTION 2.3. Bond Maturities. The Series E Bonds shall be dated May 1, 1986, and bear interest payable semi-annually on May 1 and November 1 of each year, commencing November 1, 1986, at an interest rate or interest rates to be fixed as a result of advertised sale and competitive bidding for the Series E Bonds as hereinafter provided. The Series E Bonds shall be scheduled to become due and payable on May 1 of the respective years, as follows:

<u>Aggregate Principal Amount</u>	<u>Date of Maturity May 1</u>
\$70,000	1987
85,000	1988
90,000	1989
95,000	1990
100,000	1991
110,000	1992
115,000	1993
125,000	1994
135,000	1995
150,000	1996
160,000	1997
175,000	1998
190,000	1999
205,000	2000
225,000	2001
245,000	2002
265,000	2003
290,000	2004
320,000	2005
350,000	2006

SECTION 2.4. Type of Bonds. The Series E Bonds shall be issued in fully registered form in denominations of \$5,000 or integral multiples thereof designated by the purchasers thereof.

SECTION 2.5. Registration and Transfer. Liberty National Bank and Trust Company of Louisville, Louisville, Kentucky, is hereby designated as Registrar and Paying Agent for the Series E Bonds. Each of the Series E Bonds shall be dated by the Registrar and Paying Agent as of the date of original issue of the Series E Bonds or as of the last interest payment date with respect to such Bond preceding the date of authentication to which interest on the Bond has been paid or made available for payment, unless the date of authentication is an interest payment date to which interest has been paid or made available for payment, in which case the Bond shall be dated as of the date of authentication. The Registrar and Paying Agent shall establish and maintain at its principal office books for the registration and transfer of the Series E Bonds. Each of the Series E Bonds may be transferred or exchanged only upon payment of any required tax, fee, or other governmental charge and upon an assignment duly executed by the registered owner or his duly authorized attorney in such form and with guarantee as shall

be satisfactory to the Registrar and Paying Agent, such transfer to be made on such books by the Registrar and Paying Agent. Upon such transfer or exchange, a new fully registered Bond or Bonds of the same maturity, of authorized denomination or denominations, for the same aggregate principal amount shall be issued to the transferee in exchange therefor. The Board and the Registrar and Paying Agent shall not be required to issue, transfer, or exchange any of the Series E Bonds after the mailing of a notice calling such Bond for redemption as provided herein, nor to transfer or exchange any such Bond selected, called or being called for redemption in whole or in part. The person in whose name each of the Series E Bonds shall be registered shall be deemed and regarded as the absolute owner thereof for all purposes, and payment of or on account of either principal of or interest on such Bond shall be made only upon the order of the registered owner thereof or his legal representative, but such registration may be changed as hereinabove provided. All such payments shall be valid and effectual to satisfy and discharge the liability upon such Bond to the extent of the sum or sums so paid.

SECTION 2.6. Payment. The principal of each of the Series E Bonds shall be payable to the registered owner or his legal representative in any coin or currency which at the time of the payment thereof shall be legal tender for the payment of public and private debts at the principal office of the Registrar and Paying Agent on the maturity thereof or the date fixed for mandatory redemption thereof as provided in this Alternate Series E Resolution.

The interest on each of the Series E Bonds shall be payable on the interest payment dates provided in Section 2.3 to the registered owners or their legal representatives as the same appears on the records of the Registrar and Paying Agent at the close of business on the fifteenth day of the month preceding each interest payment date (April 15 and October 15, respectively).

SECTION 2.7. Provisions for Prior Redemption. The Series E Bonds maturing May 1, 1997, and thereafter shall be subject to redemption at the option of the Board in whole or from time to time in part in the inverse order of their maturities (less than all of a single maturity to be selected by lot), on any interest payment date on or after May 1, 1996, at the redemption prices, expressed in percentages of principal amount with respect to each Bond as set forth below, plus in each case accrued interest to the date of redemption:

<u>If Redeemed:</u>	<u>Redemption Price</u>
On or after May 1, 1996 and prior to May 1, 1998	103%
On or after May 1, 1998 and prior to May 1, 2001	102%
On or after May 1, 2001 and prior to final maturity	101%

Notice of any such optional redemption shall be given at least thirty (30) days prior to the redemption date by mailing to the registered owners of the Bonds to be redeemed, by first-class mail, a notice fixing the redemption date and the premium, if any, to be paid. The Series E Bonds called for redemption and for the payment of which funds are deposited with the Registrar and paying Agent on the specified redemption date shall cease to bear interest on said redemption date. Any of the Series E Bonds that is to be redeemed only in part shall be surrendered to the Registrar and Paying Agent (with, if the Registrar and Paying Agent so requires, due endorsement by, or a written instrument of transfer in form and with guarantee satisfactory to the Registrar and Paying Agent duly executed by the holder or his duly authorized attorney) and the Registrar and Paying Agent shall authenticate and deliver to the holder of such Bond a new fully registered Bond or Bonds of the same maturity, of authorized denomination or denominations, for the aggregate principal amount equal to and in exchange for the unredeemed portion of the principal of the Bond so surrendered.

SECTION 2.8. Execution and Authentication of Bonds. The Series E Bonds shall be executed on behalf of the Board with the reproduced facsimile signature of the Chairman of the Board and attested by the manual signature of the Secretary of the Board, and the facsimile of the corporate seal of the Board shall be imprinted thereon. The Series E Bonds shall be authenticated by the manual signature of a duly authorized officer of the Registrar and Paying Agent.

SECTION 2.9. Security for Bonds; Trustee. For the purpose of securing the payment of both the principal of and interest on all the Series E Bonds (and any additional parity bonds which may hereafter be issued and outstanding pursuant to the conditions and restrictions set forth in Section 7.10 of the Basic Resolution), and to secure for the benefit of all the holders of said Series E Bonds the

faithful performance of the covenants and provisions contained in the Basic Resolution in the manner and to the extent as permitted and provided in the Basic Resolution and in Sections 162.340 et seq. of the Kentucky Revised Statutes, as amended, The Second National Bank and Trust Company of Lexington, Lexington, Kentucky, is hereby designated as Trustee under the Basic Resolution for the holders of all of the Bonds authorized under the Basic Resolution, including the Series E Bonds, with the powers and duties set forth in the Basic Resolution and with no liability in connection with any action or omission to act under the Basic Resolution except for its own negligence or willful breach of trust. Execution of a Certificate of the Trustee in connection with the delivery of the Series E Bonds shall conclusively establish the acceptance as to the Series E Bonds by the Trustee of the trusts and provisions with respect thereto as set forth in the Basic Resolution.

SECTION 2.10. Form of Bonds. The Series E Bonds and the certificate of the Registrar and Paying Agent to be endorsed on the Series E Bonds shall be in substantially the forms set forth as Exhibit A to this Alternate Series E Resolution. Notwithstanding the provisions of the preceding sentence, variations in the form of the Series E Bonds may be made at the time of delivery thereof in order to conform with Regulations of the Internal Revenue Service and accepted securities industry practices then in existence.

SECTION 2.11. Conditions of Sale of Bonds. The Series E Bonds shall be offered at public sale or public sales upon sealed bids. The Treasurer of the University of Kentucky (who is hereby recognized and designated as the Treasurer of the Board for all purposes of this Alternate Series E Resolution and who is hereinafter referred to as "the Treasurer") is hereby authorized and directed to cause an appropriate form or forms of a Notice of Bond Sale of the Series E Bonds to be published in The Courier-Journal, a legal newspaper published in the City of Louisville, Kentucky, which will afford state-wide notice of the sale, and in The Daily Bond Buyer, a financial journal published in the City of New York, New York, which is a publication having general circulation among bond buyers, and said newspaper and financial journal are hereby declared to be qualified to publish such notices for the Board within the meaning and provisions of KRS Chapter 424. Such notice or notices shall be published in said newspaper and financial journal at least once and shall appear not less than seven days nor more than twenty-one days prior to the scheduled date of sale of the Series E Bonds. Such notice shall be in substantially the form prepared by Wyatt, Tarrant & Combs, Bond Counsel to the Board.

The right to reject any or all bids shall be expressly reserved in the Board. On the occasion set forth in such notice the Board, or its Executive Committee, or its Finance Committee, as the case may be, shall consider all proposals made pursuant to such notice, and if acceptable bids are received, award the Series E Bonds in the manner and for the purposes herein provided, establish the interest rate or rates which the Series E Bonds shall bear, and take all other necessary and proper steps in the sale and issuance of the Series E Bonds.

The Treasurer, in conjunction with Dupree & Company, Inc., Financial Advisor to the Board, and Bond Counsel, may cause to be prepared multiple copies of the Official Terms and Conditions of Bond Sale giving more complete and particular descriptions of the Series E Bonds, provisions for the security and payment thereof, disposition of bond proceeds and other information that it is not feasible to give within the limits of the Notice or Notices of Bond Sale, and may furnish copies of such Official Terms and Conditions of Bond Sale to all interested bidders upon request in substantially the form prepared by Bond Counsel.

The Financial Advisor to the Board shall prepare an "Official Statement" for the purpose of providing adequate information to prospective bidders, and the same shall be examined on behalf of the Board by the Treasurer, shall be approved by the Treasurer prior to the use thereof in connection with the sale of the Series E Bonds, and shall be executed on behalf of the Board by the Chairman.

SECTION 2.12. Disposition of Proceeds of Bonds; Construction Account. Upon the delivery of the Series E Bonds, the proceeds thereof, together with interest accrued thereon to the date of their delivery, if any, shall be transferred to the Trustee and deposited as follows:

A. a sum equal to the interest accrued, if any, on the Series E Bonds from May 1, 1986 to the date the Series E Bonds are delivered shall be deposited in the "Community Colleges Educational Buildings Project Bond and Interest Sinking Fund" (the "Bond Fund" established by the Basic Resolution) and applied to the payment of the interest becoming due on the Series E Bonds on November 1, 1986; and

B. a sum equal to the principal balance of the Series E Notes becoming due on May 1, 1986 shall be deposited in the Bond Fund and applied, together with amounts previously deposited thereto for the payment of interest, to the complete payment and retirement of the Series E Notes; and

C. the entire balance of the proceeds of the Series E Bonds remaining after the deposit of accrued interest thereon and an amount sufficient to retire the Series E Notes on May 1, 1986, shall be transferred to the State Treasury of the Commonwealth, for deposit in the "University of Kentucky Community Colleges Educational Buildings Project - Series E Note Proceeds Construction Account" established pursuant to the provisions of Article III of this Alternate Series E Resolution.

From the balance of the proceeds of the Series E Bonds deposited in the Construction Account there shall be paid to Dupree & Company, Inc. as Financial Advisor to the Board, the contractual compensation which shall then be due and payable. All monies then on deposit in the Construction Account shall be available for investment and application to the costs of the Series E Project in this Alternate Series E Resolution identified for which the Series E Notes have been authorized pursuant to the provisions of Article III of this Alternate Series E Resolution.

ARTICLE 3

AUTHORIZATION OF SERIES E NOTES

SECTION 3.1. Series E Notes Authorized. Pursuant to the provisions of the Basic Resolution and Section 56.513 of the Kentucky Revised Statutes, there is hereby authorized to be issued by the Board, in its corporate capacity, revenue bond anticipation notes, in the aggregate principal amount of Three Million Five Hundred Thousand Dollars (\$3,500,000) in anticipation of the ultimate issuance of the Series E Bonds authorized hereunder. Such Notes shall be designated as "University of Kentucky Community Colleges Educational Buildings Revenue Bond Anticipation Notes, Series E." The Series E Notes are hereby declared to have been authorized under the Basic Resolution and in conformity with the provisions of Section 7.10 of the Basic Resolution.

SECTION 3.2. Purpose of Issue. The Series E Notes are being issued for the purpose of paying the costs (to the extent not otherwise provided) of the construction of new buildings and necessary appurtenances on the property owned by the University of Kentucky in Pulaski and McCracken Counties, Kentucky ("the Series E Project") and to become a part of the Community Colleges Educational Buildings Project.

SECTION 3.3. Note Maturity. The Series E Notes shall be dated October 1, 1983, and shall bear interest payable semiannually on May 1 and November 1 of each year,

commencing May 1, 1984, at an interest rate in a multiple of 1/100 of 1% to be fixed as a result of advertised sale and competitive bidding for the Series E Notes as hereinafter provided. The principal of the Series E Notes shall be scheduled to become due and payable on May 1, 1986.

SECTION 3.4. Type of Notes. The Series E Notes shall be issued in fully registered form in denominations of \$5,000, or integral multiples thereof designated by the purchasers thereof.

SECTION 3.5. Registration and Transfer. Liberty National Bank and Trust Company of Louisville, Louisville, Kentucky is hereby designated as Registrar and Paying Agent for the Series E Notes. Each of the Series E Notes shall be dated by the Registrar and Paying Agent as of the last interest payment date with respect to such Note preceding the date of authentication to which interest on the Note has been paid or made available for payment unless the date of authentication is an interest payment date to which interest has been paid or made available for payment, in which case the Note shall be dated as of the date of authentication. The Registrar and Paying Agent shall establish and maintain at its principal office books for the registration and transfer of the Series E Notes. Each of the Series E Notes may be transferred or exchanged only by an assignment duly executed by the registered owner or his duly authorized attorney in such form as shall be satisfactory to the Registrar and Paying Agent, such transfer to be made on such books by the Registrar and Paying Agent. Upon such transfer, a newly registered Note or Notes of the same maturity, of authorized denomination or denominations, for the aggregate principal amount shall be issued to the transferee in exchange therefor. The Registrar and Paying Agent shall not be required to issue, transfer, or exchange any of the Series E Notes after the mailing of a notice calling such Note for redemption as provided herein, nor to transfer or exchange any such Note selected, called or being called for redemption in whole or in part. The person in whose name each of the Series E Notes shall be registered shall be deemed and regarded as the absolute owner thereof for all purposes, and payment of or on account of either principal of or interest on such Note shall be made only upon the order of the registered owner thereof or his legal representative, but said registration may be changed as hereinabove provided. All such payments shall be valid and effectual to satisfy and discharge the liability upon such Note to the extent of the sum or sums so paid.

SECTION 3.6. Payment. The principal of each of the Series E Notes shall be payable to the registered owner or

his legal representative in any coin or currency which at the time of payment thereof shall be legal tender for the payment of public and private debts at the principal office of the Registrar and Paying Agent on the maturity thereof or the date fixed for mandatory redemption thereof as provided in this Alternate Series E Resolution.

The interest on each of the Series E Notes shall be payable on the interest payment dates provided in Section 3.3 to the registered owners or their legal representatives as the same appeared on the records of the Registrar and Paying Agent on the 15th day of the month preceding each interest payment date (April 15 and October 15, respectively).

SECTION 3.7. Provisions for Prior Redemption. The Series E Notes shall be subject to redemption by the Board only as a whole, on any interest payment date, at a redemption price of par plus accrued interest to the date of redemption. Notice of any such optional redemption shall be given at least thirty (30) days prior to the redemption date by mailing to the registered owners of the Notes, by first-class mail, a notice fixing the redemption date. The Series E Notes called for redemption and for the payment of which funds are deposited with the Registrar and Paying Agent on the specified redemption date shall cease to bear interest on said redemption date.

SECTION 3.8. Execution of Notes. The Series E Notes shall be executed on behalf of the Board with the reproduced facsimile signature of the Chairman of the Board and attested by the manual signature of the Secretary of the Board, and the facsimile of the corporate seal of the Board shall be imprinted thereon. The Series E Notes shall be authenticated by the manual signature of a duly authorized officer of the Registrar and Paying Agent.

SECTION 3.9. Security for the Notes; Trustee. For the purpose of securing the payment of both the principal and interest on all the Series E Notes (and any additional parity Bonds which may hereafter be issued and outstanding pursuant to the conditions and restrictions set forth in Section 7.10 of the Basic Resolution, and to secure for the benefit of all the holders of the Series E Notes the faithful performance of the covenants and provisions contained in the Basic Resolution in the manner and to the extent as permitted and provided in the Basic Resolution and in Sections 162.340 et seq. of the Kentucky Revised Statutes as amended, The Second National Bank and Trust Company of Lexington, Lexington, Kentucky, is hereby designated as Trustee under the Basic Resolution for the holders of all

the Bonds authorized under the Basic Resolution, including the Series E Notes, with the powers and duties set forth in the Basic Resolution and with no liability in connection with any action or omission to act under the Basic Resolution except for its own negligence or willful breach of trust. Execution of a Certificate of the Trustee in connection with the delivery of the Series E Notes shall conclusively establish the acceptance as to the Series E Notes by the Trustee of the trusts and provisions with respect thereto as set forth in the Basic Resolution.

The Series E Notes shall be payable as to principal from the proceeds of the Series E Bonds (or Renewal Notes, the right to issue which is hereby specifically reserved by the Board) when the same are sold and delivered and the proceeds of the Series E Bonds or Renewal Notes are hereby pledged to the payment of the principal of the Series E Notes and the holders of the Series E Notes are hereby granted a first lien upon the proceeds of the Series E Bonds or Renewal Notes. By the adoption of this Alternate Series E Resolution, the Board covenants and agrees with the Trustee and with the holders of the Series E Notes that a sufficient length of time prior to the maturity date of the Series E Notes it will advertise, sell and deliver the Series E Bonds (or Renewal Notes) in accordance with the terms of this Alternate Series E Resolution in order to provide for the payment of the Series E Notes.

The Series E Notes shall be payable as to interest from the "Community Colleges Educational Buildings Project Bond and Interest Sinking Fund" (the "Bond Fund") into which there shall be deposited a sufficient amount of the gross Revenues of the Project in order to pay the principal of and interest on all other Bonds authorized under the Basic Resolution as the same become due and the interest on the Series E Notes as the same becomes due.

SECTION 3.10. Form of Notes. The Series E Notes and the certificate of the Registrar and Paying Agent to be endorsed on the Series E Notes shall be in substantially the form set forth in Exhibit B to this Alternate Series E Resolution. Notwithstanding the provisions of the preceding sentence, variations in the form of the Series E Notes may be made at the time of delivery thereof in order to conform with Regulations of the Internal Revenue Service and accepted securities industry practices then in existence.

SECTION 3.11. Conditions of Sale of Notes. The Series E Notes shall be offered at public sale or public sales upon sealed bids. The Treasurer is hereby authorized and directed to cause an appropriate form or forms of a Notice of Note

Sale of the Series E Notes to be published in The Courier-Journal and The Daily Bond Buyer in order to comply with the provisions of Chapter 424 of the Kentucky Revised Statutes; such publications shall be made at least once not less than seven days nor more than twenty-one days prior to the scheduled date of sale of the Series E Notes. Such notice shall be in substantially the form prepared by Wyatt, Tarrant & Combs, Bond Counsel to the Board, as set forth in Exhibit C to this Alternate Series E Resolution.

The Series E Notes shall be offered as a whole, at not less than 99 1/2% of par, plus accrued interest, in multiples of one-hundredth of one percent. Only one interest rate shall be permitted. Only bids submitted on the Official Bid Form shall be given favorable consideration. The Official Bid Form shall be in substantially the form prepared by Bond Counsel, as set forth in Exhibit D to this Alternate Series E Resolution.

The right to reject any and all bids shall be expressly reserved in the Board. On the occasion set forth in the Notice of Note Sale, the Board, or its Executive Committee, or its Finance Committee, as the case may be, shall consider all proposals made pursuant to such notice, and if acceptable bids are received, award the Series E Notes in a manner and for the purposes herein provided, establish the interest rate which the Series E Notes shall bear, and take all other necessary and proper steps in the sale and issuance of the Series E Notes.

The Treasurer, in conjunction with the Financial Advisor to the Board, and Bond Counsel, may cause to be prepared multiple copies of the more Official Terms and Conditions of Note Sale giving more complete and particular descriptions of the Series E Notes, provisions for the security and payment thereof, disposition of Note proceeds and other information that it is not feasible to give within the limits of the Notice or Notices of Note Sale, and may furnish copies of such Official Terms and Conditions of Note Sale to all interested bidders upon request in substantially the form prepared by Bond Counsel, as set forth in Exhibit E to this Alternate Series E Resolution.

The Financial Adviser to the Board shall prepare an "Official Statement" for the purpose of providing adequate information to prospective bidders, and the same shall be examined on behalf of the Board by the Treasurer, shall be approved by the Treasurer prior to the use thereof in connection with the sale of the Series E Notes, and shall be executed on behalf of the Board by the Chairman.

SECTION 3.12. Disposition of Proceeds of Notes; Construction Account. Upon the delivery of the Series E Notes, the proceeds thereof, together with interest accrued thereon to the date of their delivery, if any, shall be transferred to the Trustee and deposited as follows:

A. a sum equal to the interest accrued, if any, on the Series E Notes from October 1, 1983 to the date the Series E Notes are delivered shall be deposited in the "Community Colleges Educational Buildings Project Bond and Interest Sinking Fund" (the "Bond Fund" established by the Basic Resolution) and applied to the payment of the interest becoming due on the Series E Notes on May 1, 1984; and

B. the entire balance of the proceeds of the Series E Notes remaining after the deposit of accrued interest thereon shall be transferred to the State Treasury of the Commonwealth, for deposit in a separate and special account hereby created and established and designated as the "University of Kentucky Community Colleges Educational Buildings Project -- Series E Note Proceeds Construction Account" (the "Construction Account").

From the balance of the proceeds of the Series E Notes deposited in the Construction Account there shall be paid to Dupree & Company, Inc. as Financial Advisor to the Board, the contractual compensation which shall then be due and payable. All monies then on deposit in the Construction Account shall be available for application to the costs of the Series E Project hereinbefore in this Alternate Series E Resolution identified for which the Series E Notes have been authorized.

The State Treasurer of the Commonwealth is hereby authorized and requested to make disbursements from the Construction Fund, according to such inspection, audit and disbursement procedures as may from time to time be provided by law, for the purpose of paying the costs of erecting and completing the Series E Project in accordance with the plans and specifications therefor, but only upon certification of the respective Engineers having supervision of the Series E Project, as to each disbursement, that the amount thereof has been duly earned by and is payable to the designated party or parties for materials, services, and/or labor furnished pursuant to a proper contract duly awarded therefor. Notwithstanding the foregoing, disbursements from the Construction Account for the contractual sum due the Financial Advisor to the Board for professional services in connection with the authorization, issuance, sale and delivery of the Series E Notes shall not be subject to approval by such Engineers.

Upon completion of the Series E Project, as certified by the Engineers, any balance remaining the Construction Account after the payment of all project costs shall be deposited in the Bond Fund; provided, however, that if Series E Notes proceeds are remaining at a time when there is pending or imminently contemplated the construction of one or more additional educational buildings which are to be financed from the proceeds of additional Bonds pursuant to the Basic Resolution, and which building or buildings will constitute a part of the Community Colleges Educational Buildings Project, any such unexpended balance may be taken into account in determining the amount of additional Bonds to be authorized therefor, or may otherwise be applied to the proper costs thereof, in which event such unexpended balance in the Construction Account, upon direction of the Board, shall be transferred to the Construction Account created for the additional Bonds.

Monies on deposit in the Construction Account shall be invested and reinvested from time to time by the State Treasurer upon direction to the State Treasurer by the Treasurer, in direct obligations of the United States Government or agencies of the United States Government, or obligations which are fully guaranteed by the United States Government, or in negotiable or nonnegotiable Certificates of Deposit issued by any bank, trust company or a national banking association (including the Trustee) which is a member of the Federal Reserve System or of the Federal Deposit Insurance Corporation, provided that such certificates of Deposit shall be continuously secured by a valid pledge of direct obligations of or obligations guaranteed by the United States Government having a market value (exclusive of accrued interest) at all times equal to at least 100% of the principal amount of said certificates of deposit in excess of Federal Deposit Insurance Corporation coverage, which certificates shall be lodged with the State Treasurer as custodian, or in investments permitted by KRS 42.500(9). Such investments shall be made upon the determination of the Treasurer, upon advice from the Engineers, that monies on deposit in the Construction Account are not immediately required for construction purposes and any investments made from the Construction Account shall be selected in contemplation of the anticipated schedule of disbursements prepared by the Treasurer. All such investments shall be reconverted into cash as and when cash is required to pay costs for which the Construction Account is established.

ARTICLE 4

NON-ARBITRAGE PROVISIONS

SECTION 4.1. Duties of the Treasurer. It shall be the duty of the Treasurer to file with the Trustee a statement indicating that the annual Revenues from the Project, adjusted as provided in Section 7.10 of the Basic Resolution, for the two fiscal years immediately preceding the issuance of the Series E Bonds were equal to not less than 1.25 times the maximum Aggregate Principal, Interest and Bond Fund Charges payable out of the Revenues of the Project in any succeeding twelve month period ending May 1 on the Bonds then outstanding and the Series E Notes and the Series E Bonds proposed to be issued hereunder.

SECTION 4.2. Covenant Against Arbitrage. Neither the Board, nor the Treasurer acting on behalf of the Board, shall permit any of the proceeds of the Series E Notes or Series E Bonds to be used directly or indirectly to acquire any securities or obligations, the acquisition of which would cause any Series E Note or Series E Bond, or any Bonds issued under the Basic Resolution, to be an "arbitrage bond" as defined in Section 103(c)(2) of the Internal Revenue Code of 1954, as amended, and the Board covenants that it will perform all acts and things permitted by law and necessary or desirable in order to assure that the interest paid by the Board on the Series E Notes and the Series E Bonds shall be exempt from Federal income taxation.

SECTION 4.3. Treasurer to Deliver Bonds. The Treasurer is hereby charged with the responsibility for issuing and delivering the Series E Notes and the Series E Bonds and shall have responsibility for the receipt, disbursement, use and investments of the proceeds of the Series E Notes and the Series E Bonds and shall certify at the time the Series E Notes and the Series E Bonds are delivered that on the basis of the facts, estimates and circumstances in existence on the date the Series E Notes and the Series E Bonds are delivered that it is not expected that the proceeds thereof will be used in any manner that would cause the Series E Notes and the Series E Bonds, or any of the Bonds, to be "arbitrage bonds."

ARTICLE 5

RESOLUTION EFFECTIVE

SECTION 5.1. Severability. If any one or more of the covenants or agreements provided in this Alternate Series E Resolution on the part of the Board or of the Trustee or of the Registrar and Paying Agent to be performed shall be determined by a court of competent jurisdiction to be contrary to law, then such covenant or covenants, agreement or agreements shall be deemed severable from the remaining covenants and agreements herein contained and the invalidity thereof shall in no way affect the validity of the other provisions of this Alternate Series E Resolution.

SECTION 5.2. Conflicts Repealed. All resolutions or parts of resolutions in conflict herewith shall be and the same are hereby repealed insofar as such conflict exists; including all of the provisions of the Series E Resolution adopted concurrently herewith in accordance with the provisions of Section 5.3 hereof.

SECTION 5.3. Effective Date of Resolution. Upon the adoption of this Alternate Series E Resolution by the Board, the Alternate Series E Resolution shall not take effect until the Treasurer of the Board has received the consent and approval of a majority of the members of the Finance Committee of the Board regarding the issuance of the Series E Notes. The Treasurer of the Board shall poll each member of the Finance Committee in person or by telephone on September 6, 1983, and in the event a majority of the members of the Finance Committee approve the issuance of the Series E Notes in lieu of the Series E Bonds authorized under the Alternate Series E Resolution, this Alternate Series E Resolution shall become effective immediately and all provisions of the Series E Resolution adopted concurrently with this Alternate Series E Resolution shall be and are hereby repealed and the provisions of this Alternate Series E Resolution shall then be in full force and effect. Conversely, in the event a majority of the Finance Committee should favor the issuance of the Series E Bonds at that time, this Alternate Series E Resolution shall be repealed and immediately be void. The Treasurer shall file an Affidavit with the Secretary of the Board, reflecting the determination of the Finance Committee (specifically indicating each Member's preference) and such Affidavit shall be the final determination as to whether the Series E Resolution or this Alternate Series E Resolution shall be in effect.

SECTION 5.4. Privilege Waived. On the advice of the Financial Adviser to the Board that provision for the first payment of interest on the Series E Notes on November 1, 1983, would unduly burden the initial purchaser of the Series E Notes and the purchasers thereof on initial distribution thereof, the Board hereby surrenders, solely with respect to the Series E Notes, the right, power, and privilege reserved to and conferred upon it by the terms of the Basic Revolution to compel such purchasers to accept the first payment of interest on the Series E Notes on November 1, 1983, such first payment of interest to be made on May 1, 1984, as in this Alternate Series E Resolution elsewhere provided.

ADOPTED AUGUST 26, 1983.

Chairman, Board of Trustees

(SEAL)

ATTEST:

Secretary, Board of Trustees

FRONT

(FORM OF SERIES E BOND)

UNITED STATES OF AMERICA
COMMONWEALTH OF KENTUCKY
UNIVERSITY OF KENTUCKY
COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BOND
SERIES E

No. _____ \$ _____

The Board of Trustees of the University of Kentucky, a body corporate, as an educational institution and agency of the Commonwealth of Kentucky (sometimes hereinafter called the "Board of Trustees"), for value received, hereby promises to pay, solely from the special fund provided therefor, as hereinafter set forth, to _____ or registered assigns, on May 1, _____, the principal sum of _____ Dollars, and to pay, solely from said special fund, interest thereon from the date hereof until payment of principal at the rate of _____ percent (____%) per annum, semiannually on May 1 and November 1 in each year, commencing November 1, 1986, except as the provisions hereinafter set forth with respect to prior redemption may be and become applicable hereto, both principal and interest being payable in any coin or currency which at the time of payment thereof is legal tender for the payment of public and private debts at the principal office of the Registrar and Paying Agent, Liberty National Bank and Trust Company of Louisville, in the City of Louisville, Kentucky, (or any successor appointed pursuant to the provisions of the Basic Resolution herein mentioned). Payment of interest hereon shall be made to the registered holder hereof as the same appears from the registration books of the Registrar and Paying Agent at the close of business on the fifteenth day of the month preceding each interest payment date by check or draft mailed to such registered holder at his address as it appears on such books or at such other address as is timely furnished in writing by such registered holder to the Registrar and Paying Agent.

REFERENCE IS HEREBY MADE TO THE FURTHER PROVISIONS OF THIS BOND SET FORTH ON THE REVERSE HEREOF, WHICH FURTHER PROVISIONS SHALL FOR ALL PURPOSES HAVE THE SAME EFFECT AS IF SET FORTH AT THIS PLACE.

ALTERNATE SERIES E RESOLUTION
EXHIBIT A

This Bond shall not be valid or become obligatory for any purpose, or be entitled to any security or benefit, under the Basic Resolution, until it shall have been authenticated by the execution by the Registrar and Paying Agent of the certificate hereon endorsed.

It is hereby certified, recited and declared that all acts, conditions and things required to exist, to happen, and to be performed precedent to and in the issuance of this Bond, do exist, have happened, and have been performed in due time, form and manner as required by law, and the amount of this Bond, together with all other obligations of the Board of Trustees and of the University of Kentucky, does not violate any provisions or exceed any limit prescribed by the Constitution or Statutes of Kentucky; that the Project herein mentioned will be continuously operated; and that a sufficient portion of the gross revenues therefrom has been pledged to and will be set aside into the Bond Fund herein mentioned for the payment of the principal of and interest on this Bond and the issue of which it is one, and all other bonds which by their terms and the terms of the Basic Resolution are payable from the Bond Fund, as and when the same will respectively become due.

IN TESTIMONY WHEREOF, the Board of Trustees of the University of Kentucky has caused this Bond to be executed on its behalf by the reproduced facsimile signature of its Chairman and attested by the manual signature of its Secretary, and the facsimile of its corporate seal to be imprinted hereon, all being done as of the 1st day of May, 1986.

This Bond is dated _____.

[Facsimile of Seal]

[Facsimile Signature]
Chairman
Board of Trustees
University of Kentucky

ATTEST:

[Manual Signature]
Secretary or Assistant Secretary
Board of Trustees
University of Kentucky

AUTHENTICATION CERTIFICATE

This Bond is one of the Series E Bonds described or provided for in the within-mentioned Alternate Series E Resolution. Printed on the reverse hereof is the complete text of the opinion of Wyatt, Tarrant & Combs, Louisville, Kentucky, Bond Counsel, dated the date of the original delivery of and payment for such Bonds, a signed counterpart of which opinion is on file with the undersigned Registrar and Paying Agent.

LIBERTY NATIONAL BANK AND
TRUST COMPANY OF LOUISVILLE
REGISTRAR AND PAYING AGENT
Louisville, Kentucky

By [Manual Signature]
Authorized Officer

REVERSE

This Bond is one of a duly authorized issue of Bonds designated as "University of Kentucky Community Colleges Educational Buildings Revenue Bonds" (hereinafter referred to as the "Bonds") issued and to be issued under and in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including among others, Sections 162.350 to 162.380, inclusive, of the Kentucky Revised Statutes now in full force and effect, and under and pursuant to the resolution adopted by the Board of Trustees on May 6, 1969, as clarified, amended, and restated pursuant to resolutions adopted on May 5, 1970, November 17, 1970, and December 11, 1973, respectively (collectively herein referred to as the "Basic Resolution"), and the Alternate Series E Resolution adopted by the Board of Trustees on August 26, 1983.

As provided in the Basic Resolution, the Bonds may be issued from time to time pursuant to separate resolutions in one or more series, in various principal amounts, may bear interest at different rates and may otherwise vary as in the Basic Resolution provided. The aggregate principal amount of Bonds which may be issued under the Basic Resolution is not limited except as provided in the Basic Resolution, and all Bonds issued and to be issued under the Basic Resolution are and will be equally secured by the pledges and covenants made therein, except as otherwise expressly provided or permitted in the Basic Resolution.

This Bond is one of a series of Bonds of various maturities designated as "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series E" (herein called the "Series E Bonds") issued in the aggregate principal amount of \$3,500,000 under the Basic Resolution and the Alternate Series E Resolution for the purpose of paying and retiring \$3,500,000 of "University of Kentucky Community Colleges Educational Buildings Revenue Bond Anticipation Notes, Series E," originally issued for the purpose of financing the costs (to the extent not otherwise provided) of erecting and completing educational buildings and necessary appurtenances as parts of the Community Colleges Educational Buildings Project of said University (herein referred to as the "Project"). Copies of the Basic Resolution and the Alternate Series E Resolution are on file at the office of the Trustee. Reference is hereby made to the Basic Resolution pursuant to which The Second National Bank and Trust Company of Lexington, in the City of Lexington, Kentucky, has been designated as Trustee for the holders of the Bonds of which this Bond is one and for the provisions, among others, with respect to the custody and

application of the proceeds of the Bonds; the rights, duties and obligations of said Board of Trustees, and of the Trustee, and the rights of the holders of the Bonds; and by the acceptance of this Bond, the holder hereof assents to all of the provisions of the Basic Resolution and the Alternate Series E Resolution.

The holder of this Bond shall have no right to enforce the provisions of the Basic Resolution or the Alternate Series E Resolution or to institute action to enforce the covenants therein, or to take any action with respect to any default under the Basic Resolution or the Alternate Series E Resolution, or to institute, appear in or defend any suit or other proceedings with respect thereto, except as provided in the Basic Resolution. The Basic Resolution provides for fixing, charging and collecting fees for the services of the Project, which fees will be sufficient to pay the principal of and interest on the Bonds payable out of the Revenues of the Project as the same become due and provide reserves for such purposes and also to pay the costs of operation and maintenance of the Project to the extent the same are not otherwise provided. The Basic Resolution provides for the creation of a special account designated "Community Colleges Educational Buildings Project Bond and Interest Sinking Fund" (herein called the "Bond Fund") and for the deposit to the credit of the Bond Fund of a fixed amount of the gross revenues of the Project to pay interest on the Bonds as the same becomes due, and to pay or retire the principal of the Bonds at or prior to the maturity thereof, and to provide a reserve for such purpose, which Bond Fund is pledged to and charged with the payment of said principal and interest.

The issue of Series E Bonds of which this Bond is one and all other Bonds outstanding from time to time under the Basic Resolution, are payable only from a fixed amount of the gross revenues derived from the operation of said Project which will be set aside in said Bond Fund, and this Bond does not constitute any indebtedness of the University of Kentucky or of the Board of Trustees or of the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

This Bond is transferable by the registered owner hereof in person or by his attorney duly authorized in writing, at the principal office of the Registrar and Paying Agent, but only in a manner and subject to the limitations provided in the Basic Resolution and the Alternate Series E Resolution, and upon surrender and cancellation of this Bond. Upon such transfer, a new registered Bond or Bonds of

the same maturity and of authorized denomination or denominations, for the same aggregate principal amount, will be issued to the transferee in exchange therefor.

The Board of Trustees and the Registrar and Paying Agent may deem and treat the registered holder hereof as the absolute owner hereof (whether or not this Bond shall be overdue) for the purpose of receiving payment of or on account of principal hereof and interest due hereon and for all other purposes, and neither the Issuer nor the Registrar and Paying Agent shall be affected by any notice to the contrary.

This Bond may, at the option of the registered holder thereof, be exchanged for an equal aggregate principal amount of registered Bonds of the same maturity and interest rate and of any other authorized denomination at the principal office of the Registrar and Paying Agent, but only in a manner and subject to the limitations provided in the Basic Resolution and the Alternate Series E Resolution, and upon surrender and cancellation of this Bond. The Board of Trustees and the Registrar and Paying Agent shall not be required to issue, transfer, or exchange any of the Bonds after the mailing of a notice calling such Bond for redemption as provided in the Alternate Series E Resolution, nor to transfer or exchange any Bonds selected, called or being called for redemption in whole or in part.

The Series E Bonds maturing May 1, 1997, and thereafter shall be subject to redemption at the option of the Board of Trustees in whole or from time to time in part in the inverse order of their maturities (less than all of a single maturity to be selected by lot), on any interest payment date on or after May 1, 1996, at the redemption prices, expressed in percentages of principal amount, with respect to each Bond as set forth below, plus in each case accrued interest to the date of redemption:

<u>If Redeemed:</u>	<u>Redemption Price</u>
On or after May 1, 1996, and prior to May 1, 1998	103%
On or after May 1, 1998, and prior to May 1, 2001	102%
On or after May 1, 2001, and prior to final maturity	101%

Notice of any such optional redemption shall be given at least thirty (30) days prior to the redemption date by mailing to the registered owner of this Bond, by first-class mail, a notice fixing such redemption date, and the premium, if any, to be paid. If funds for the payment of this Bond are deposited on the specified redemption date, this Bond shall cease to bear interest on said redemption date. If this Bond is redeemed only in part, upon its surrender the Registrar and Paying Agent shall authenticate and deliver to the holder of this Bond a new fully registered Bond or Bonds of the same maturity, of authorized denomination or denominations, for the aggregate principal amount equal to and in exchange for the unredeemed portion of the principal of the Bond so redeemed.

A statutory mortgage lien, which is hereby recognized as valid and binding on the Project, is created and granted to and in favor of the holder or holders of this Bond and the issue of Bonds of which it is one, and the Project shall remain subject to such statutory mortgage lien until the payment in full of the principal of and interest on this Bond and the issue of Bonds of which it is one.

ASSIGNMENT

FOR VALUE RECEIVED the undersigned hereby sells, assigns and transfers unto _____ (please print or typewrite name, address and tax identification number of transferee) the within Bond and all rights thereunder, and hereby irrevocably constitutes and appoints _____ Attorney to transfer the within Bond on the books kept for registration thereof, with full power of substitution in the premises.

Date _____

Signature guaranteed:

FRONT

(FORM OF SERIES E NOTE)

UNITED STATES OF AMERICA
COMMONWEALTH OF KENTUCKY
UNIVERSITY OF KENTUCKY
COMMUNITY COLLEGES EDUCATIONAL BUILDINGS
REVENUE BOND ANTICIPATION NOTE
SERIES E

No. _____

\$ _____

The Board of Trustees of the University of Kentucky, a body corporate, as an educational institution and agency of the Commonwealth of Kentucky (sometimes hereinafter called the "Board of Trustees"), for value received, hereby promises to pay, solely from the special fund provided therefor, as hereinafter set forth, to _____ or registered assigns, on May 1, 1986, the principal sum of _____ Dollars, and to pay, solely from said special fund, interest thereon from the date hereof until payment of principal at the rate of _____ percent (____%) per annum, semiannually on May 1 and November 1 in each year, commencing May 1, 1984, except as the provisions hereinafter set forth with respect to prior redemption may be and become applicable hereto, both principal and interest being payable in any coin or currency which at the time of payment thereof is legal tender for the payment of public and private debts at the principal office of the Registrar and Paying Agent, Liberty National Bank and Trust Company of Louisville, in the City of Louisville, Kentucky, (or any successor appointed pursuant to the provisions of the Basic Resolution herein mentioned). Payment of interest hereon shall be made to the registered holder hereof as the same appears from the registration books of the Registrar and Paying Agent at the close of business on the fifteenth day of the month preceding each interest payment date by check or draft mailed to such registered holder at his address as it appears on such books or at such other address as is timely furnished in writing by such registered holder to the Registrar and Paying Agent.

REFERENCE IS HEREBY MADE TO THE FURTHER PROVISIONS OF THIS NOTE SET FORTH ON THE REVERSE HEREOF, WHICH FURTHER PROVISIONS SHALL FOR ALL PURPOSES HAVE THE SAME EFFECT AS IF SET FORTH AT THIS PLACE.

ALTERNATE SERIES E RESOLUTION
EXHIBIT B

This Note shall not be valid or become obligatory for any purpose, or be entitled to any security or benefit, under the Basic Resolution, until it shall have been authenticated by the execution by the Registrar and Paying Agent of the certificate hereon endorsed.

It is hereby certified, recited and declared that all acts, conditions and things required to exist, to happen, and to be performed precedent to and in the issuance of this Note, do exist, have happened, and have been performed in due time, form and manner as required by law, and the amount of this Note, together with all other obligations of the Board of Trustees and of the University of Kentucky, does not violate any provisions or exceed any limit prescribed by the Constitution or Statutes of Kentucky; that the Project herein mentioned will be continuously operated; and that a sufficient portion of the gross revenues therefrom has been pledged to and will be set aside into the Bond Fund herein mentioned for the payment of the principal of and interest on this Note and the issue of which it is one, and all other bonds which by their terms and the terms of the Basic Resolution are payable from the Bond Fund, as and when the same will respectively become due.

IN TESTIMONY WHEREOF, the Board of Trustees of the University of Kentucky has caused this Note to be executed on its behalf by the reproduced facsimile signature of its Chairman and attested by the manual signature of its Secretary, and the facsimile of its corporate seal to be imprinted hereon, all being done as of the 1st day of October, 1983.

This Note is dated _____.

[Facsimile of Seal]

[Facsimile Signature]

Chairman
Board of Trustees
University of Kentucky

ATTEST:

[Manual Signature]

Secretary or Assistant Secretary
Board of Trustees
University of Kentucky

AUTHENTICATION CERTIFICATE

This Note is one of the Series E Notes described or provided for in the within-mentioned Alternate Series E Resolution. Printed on the reverse hereof is the complete text of the opinion of Wyatt, Tarrant & Combs, Louisville, Kentucky, Bond Counsel, dated the date of the original delivery of and payment for such Notes, a signed counterpart of which opinion is on file with the undersigned Registrar and Paying Agent.

LIBERTY NATIONAL BANK AND
TRUST COMPANY OF LOUISVILLE,
REGISTRAR AND PAYING AGENT
Louisville, Kentucky

By [Manual Signature]
Authorized Officer

REVERSE

This Note is one of a duly authorized issue of Bonds designated as "University of Kentucky Community Colleges Educational Buildings Revenue Bonds" (hereinafter referred to as the "Bonds") issued and to be issued under and in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including among others, Section 56.513 and Sections 162.350 to 162.380, inclusive, of the Kentucky Revised Statutes now in full force and effect, and under and pursuant to the resolution adopted by the Board of Trustees on May 6, 1969, as clarified, amended, and restated pursuant to resolutions adopted on May 5, 1970, November 17, 1970, and December 11, 1973, respectively (collectively herein referred to as the "Basic Resolution"), and the Alternate Series E Resolution adopted by the Board of Trustees on August 26, 1983.

As provided in the Basic Resolution, the Bonds may be issued from time to time pursuant to separate resolutions in one or more series, in various principal amounts, may bear interest at different rates and may otherwise vary as in the Basic Resolution provided. The aggregate principal amount of Bonds which may be issued under the Basic Resolution is not limited except as provided in the Basic Resolution, and all Bonds issued and to be issued under the Basic Resolution are and will be equally secured by the pledges and covenants made therein, except as otherwise expressly provided or permitted in the Basic Resolution.

This Note is one of a series of Notes of equal maturity designated as "University of Kentucky Community Colleges Educational Buildings Revenue Bond Anticipation Notes, Series E" (herein called the "Series E Notes") issued in the aggregate principal amount of \$3,500,000 under the Basic Resolution and the Alternate Series E Resolution for the purpose of financing the costs (to the extent not otherwise provided) of erecting and completing educational buildings and necessary appurtenances as parts of the Community Colleges Educational Buildings Project of said University (herein referred to as the "Project"). Copies of the Basic Resolution and the Alternate Series E Resolution are on file at the office of the Trustee. Reference is hereby made to the Basic Resolution pursuant to which The Second National Bank and Trust Company of Lexington, in the City of Lexington, Kentucky, has been designated as Trustee for the holders of the Bonds of which this Note is one and for the provisions, among others, with respect to the custody and application of the proceeds of the Bonds; the rights, duties and obligations of said Board of Trustees, and of the Trustee, and the rights of the holders of the

Bonds; and by the acceptance of this Note, the holder hereof assents to all of the provisions of the Basic Resolution and the Alternate Series E Resolution.

By the adoption of the Alternate Series E Resolution, the Board of Trustees has authorized the issuance of \$3,500,000 of "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series E," dated May 1, 1986 (hereinafter referred to as the Series E Bonds) and has covenanted to issue said Series E Bonds, or Renewal Notes, in order to provide for the complete payment of the principal amount of the Notes on May 1, 1986. Said Alternate Series E Resolution pledges the proceeds of the Series E Bonds or Renewal Notes to the payment of said Notes and grants to the holders of said Series E Notes a first lien upon said proceeds.

The holder of this Note shall have no right to enforce the provisions of the Basic Resolution or the Alternate Series E Resolution or to institute action to enforce the covenants therein, or to take any action with respect to any default under the Basic Resolution or the Alternate Series E Resolution, or to institute, appear in or defend any suit or other proceedings with respect thereto, except as provided in the Basic Resolution. The Basic Resolution provides for fixing, charging and collecting fees for the services of the Project, which fees will be sufficient to pay the principal of and interest on the Bonds payable out of the Revenues of the Project as the same become due and provide reserves for such purposes and also to pay the costs of operation and maintenance of the Project to the extent the same are not otherwise provided. The Basic Resolution provides for the creation of a special account designated "Community Colleges Educational Buildings Project Bond and Interest Sinking Fund" (herein called the "Bond Fund") and for the deposit to the credit of the Bond Fund of a fixed amount of the gross revenues of the Project to pay interest on the Bonds as the same becomes due, and to pay or retire the principal of the Bonds at or prior to the maturity thereof, and to provide a reserve for such purpose, which Bond Fund is pledged to and charged with the payment of said principal and interest.

The issue of Series E Notes of which this Note is one and all other Bonds outstanding from time to time under the Basic Resolution, are payable as to interest only from a fixed amount of the gross revenues derived from the operation of said Project which will be set aside in said Bond Fund, and this Note does not constitute an indebtedness of the University of Kentucky or of the Board of Trustees or of the Commonwealth of Kentucky within the meaning of any

provisions or limitations of the Constitution of the Commonwealth of Kentucky.

This Note is transferable by the registered owner hereof in person or by his attorney duly authorized in writing, at the principal office of the Registrar and Paying Agent, but only in a manner and subject to the limitations provided in the Basic Resolution and the Alternate Series E Resolution, and upon surrender and cancellation of this Note. Upon such transfer, a new registered Series E Note or Notes of the same maturity and of authorized denomination or denominations, for the same aggregate principal amount, will be issued to the transferee in exchange therefor.

The Board of Trustees and the Registrar and Paying Agent may deem and treat the registered holder hereof as the absolute owner hereof (whether or not this Note shall be overdue) for the purpose of receiving payment of or on account of principal hereof and interest due hereon and for all other purposes, and neither the Issuer nor the Registrar and Paying Agent shall be affected by any notice to the contrary.

This Note may, at the option of the registered holder thereof, be exchanged for an equal aggregate principal amount of registered Series E Notes of the same maturity and interest rate and of any other authorized denomination at the principal office of the Registrar and Paying Agent, but only in a manner and subject to the limitations provided in the Basic Resolution and the Alternate Series E Resolution, and upon surrender and cancellation of this Note. The Board of Trustees and the Registrar and Paying Agent shall not be required to issue, transfer, or exchange any of the Notes after the mailing of a notice calling such Note for redemption as provided in the Alternate Series E Resolution, nor to transfer or exchange any Notes selected, called or being called for redemption in whole or in part.

The Series E Notes shall be subject to redemption at par at the option of the Board of Trustees only as a whole on any interest payment date without premium. Notice of any such optional redemption shall be given at least thirty (30) days prior to the redemption date by mailing to the registered owner of this Note, by first-class mail, a notice fixing such redemption date. If funds for the payment of this Note are deposited on the specified redemption date, this Note shall cease to bear interest on said redemption date.

A statutory mortgage lien, which is hereby recognized as valid and binding on the Project, is created and

granted to and in favor of the holder or holders of this Note and the issue of Bonds of which it is one, and the Project shall remain subject to such statutory mortgage lien until the payment in full of the principal of and interest on this Note and the issue of Bonds of which it is one.

ASSIGNMENT

FOR VALUE RECEIVED the undersigned hereby sells, assigns and transfers unto _____ (please print or typewrite name, address and tax identification number of transferee) the within Note and all rights thereunder, and hereby irrevocably constitutes and appoints _____ Attorney to transfer the within Note on the books kept for registration thereof, with full power of substitution in the premises.

Date _____

Signature guaranteed:

NOTICE OF NOTE SALE

UNIVERSITY OF KENTUCKY
Lexington, Kentucky

\$3,500,000 University of Kentucky Community Colleges
Educational Buildings Revenue Bond
Anticipation Notes, Series E

The Treasurer of the Board of Trustees of the University of Kentucky (the "Board"), hereby gives notice that the Board will until the hour of 10:30 a.m., E.D.T., on September 20, 1983 receive in the Office of the Treasurer, 369 Peterson Service Building, South Limestone Street, University of Kentucky Campus, Lexington, Kentucky 40506, sealed competitive bids for the purchase of \$3,500,000 of its University of Kentucky Community Colleges Educational Buildings Revenue Bond Anticipation Notes, Series E, dated October 1, 1983 (the "Notes"). Proposals for the purchase of the Notes will be considered by the Finance Committee of the Board at 11:00 a.m. and referred to the full Board for acceptance or rejection at its meeting at 2:00 p.m., E.D.T., on September 20, 1983.

The Notes are issued pursuant to the provisions of a Resolution of the Board dated May 5, 1969, as clarified, amended and restated by resolutions of the Board dated May 6, 1970, November 17, 1970, and December 11, 1973, respectively, a Series Resolution of the Board, dated August 26, 1983 and Sections 56.513 and 162.340 through 162.380 of the Kentucky Revised Statutes as fully registered Notes in denominations of \$5,000 and integral multiples thereof, maturing on May 1, 1986; subject to prior redemption on any interest payment date without premium.

The Notes are not general obligations of the Board, the University or the Commonwealth of Kentucky but are special obligations payable as to interest from a first lien and charge upon the defined Revenues of the Community Colleges Educational Building Project and as to principal from the proceeds of any Renewal Notes or the proceeds of Series E Bonds to be dated May 1, 1986, which in turn shall be payable from a first lien and charge upon the defined Revenues of the Community Colleges Educational Buildings Project.

Bids must be on Official Bid Form contained in Information for Bidders available from the undersigned or Dupree & Company, Inc., 600 First National Bank Building, 167 West Main Street, Post Office Box 1149, Lexington,

ALTERNATE SERIES E RESOLUTION
EXHIBIT C

Kentucky 40589. Reference should be made to Official Terms and Conditions of Note Sale and the Official Statement for details and bidding conditions.

Sale on usual tax exempt basis, subject to approving Legal Opinion of Wyatt, Tarrant & Combs, Louisville, Kentucky, Bond Counsel. The right to reject bids or waive informality is reserved. Delivery prior to October 30, 1983 anticipated.

Henry Clay Owen
Treasurer, Board of Trustees
University of Kentucky

OFFICIAL BID FORM

To the Honorable Chairman and Members of
The Board of Trustees of the
University of Kentucky
c/o Mr. Henry Clay Owen
University Treasurer
Office of the Treasurer
369 Peterson Service Building
Lexington, Kentucky 40506

Gentlemen:

Pursuant to your "Official Terms and Conditions of Note Sale," the undersigned offers to purchase your "University of Kentucky Community Colleges Educational Buildings Revenue Bond Anticipation Notes, Series E", dated October 1, 1983, in accordance with and subject to the terms set forth in the Official Terms and Conditions of Note Sale.

We hereby bid for said \$3,500,000 principal amount of Notes the sum of \$_____ (not less than \$3,482,500); plus accrued interest from October 1, 1983; all such Notes to bear interest at the rate of ____% per annum.

It is understood that such Notes are offered for sale subject to the principal and interest on such Notes not being subject to Federal or Kentucky ad valorem or income taxation on the date of their delivery to the successful bidder. It is also understood that the Board of Trustees of the University of Kentucky will furnish a final approving legal opinion of Wyatt, Tarrant & Combs, Louisville, Kentucky, Bond Counsel, subject to whose approving legal opinion such Notes are sold, and will furnish printed note forms and the usual closing proofs, including the customary no-litigation certificate, to the successful bidder.

The successful bidder shall not be required to take up and pay for such Notes unless delivery is made within forty-five days from the date said bid is accepted. Upon delivery the successful bidder shall take up and pay for such Notes in FEDERAL FUNDS. It is anticipated that such Notes will be tendered for delivery prior to October 30, 1983.

A certified check or bank cashier's check in the amount of \$35,000, payable to the University of Kentucky, is

ALTERNATE SERIES E RESOLUTION
EXHIBIT D

enclosed in accordance with the Official Terms and Conditions of Note Sale.

Respectfully submitted,

Authorized Signature

Name of Bidder

Address

The foregoing is our purchase bid. We submit our own computation thereof only for your information and convenience:

- | | | |
|-----|---|----------|
| (a) | Total interest cost at stated interest rates from October 1, 1983 to final maturity | \$ _____ |
| (b) | Plus discount or less premium | \$ _____ |
| (c) | Net Interest Cost | \$ _____ |
| (d) | Equivalent to | _____ % |

Accepted this _____ day of October, 1983, by Resolution of the Board of Trustees of the University of Kentucky.

BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY
Lexington, Kentucky

By _____
Chairman

ATTEST:

Secretary, Board of Trustees

Return of Bid deposit hereby
acknowledged:

Authorized Representative

OFFICIAL TERMS AND CONDITIONS OF NOTE SALE
UNIVERSITY OF KENTUCKY
Lexington, Kentucky

\$3,500,000 University of Kentucky
Community Colleges Educational
Buildings Revenue Bond Anticipation Notes, Series E

The Board of Trustees of the University of Kentucky (the "Board"), a public body corporate, educational agency and instrumentality, and a political subdivision of the Commonwealth of Kentucky, hereby gives notice that until September 20, 1983, at the hour of 10:30 a.m., E.D.T., the Board will receive in the Office of the Treasurer, 369 Peterson Service Building, South Limestone Street, on the Campus of the University at Lexington, Kentucky 40506, sealed competitive bids for the purchase of its \$3,500,000 University of Kentucky Community Colleges Educational Buildings Revenue Bond Anticipation Notes, Series E (the "Notes"), dated October 1, 1983. Proposals for the purchase of the Notes will be considered by the Finance Committee of the Board at 11:00 a.m. and referred to the full Board for acceptance or rejection at its meeting at 2:00 p.m., E.D.T. on September 20, 1983.

The Notes mature May 1, 1986, and are to be issued in the form of fully registered bonds without coupons in the denomination of \$5,000 or integral multiples thereof. The Notes bear interest from their date payable on May 1, 1984 and semiannually thereafter by check or draft mailed to registered holders as of the 15th day of the month preceding each interest payment date.

The Notes are subject to redemption at par on any interest payment date prior to their stated maturity.

The Notes are issued for the purpose of providing funds to construct new buildings and related improvements located in Pulaski and McCracken Counties, Kentucky, for the use of the Somerset Community College and the Paducah Community College, respectively. The Notes are being issued pursuant to the provisions of Sections 56.513 and 162.340 et seq. of the Kentucky Revised Statutes and the Resolution adopted by the Board on May 5, 1969, as clarified, amended, and restated by resolutions adopted by the Board on May 6, 1970, November 17, 1970, and December 11, 1973, respectively (collectively, "the Basic Resolution"), as amended by the Series E Resolution adopted by the Board on August 26, 1983. The Notes are secured pursuant to the terms of the Basic Resolution whereunder The Second National Bank and Trust

ALTERNATE SERIES E RESOLUTION
EXHIBIT E

Company of Lexington, Lexington, Kentucky, is named Trustee for the holders of all Notes and Bonds issued under the Basic Resolution and by a statutory mortgage lien upon the buildings and appurtenances constituting the Community Colleges Educational Buildings Project. In addition, the Notes are secured by a pledge of the revenues derived from the operation of the Community Colleges Educational Buildings Project. The Notes will rank on the basis of parity as to security and source of payment with four previous issues, Series A, B, C, and D Bonds now outstanding, and any subsequent issues under the Basic Resolution.

The Notes are not general obligations of the Board, the University, or the Commonwealth of Kentucky, but are special obligations payable as to interest solely from a first lien and charge upon the defined Revenues of the Community Colleges Educational Buildings Project to which the Revenues derived from all Student Registration Fees of the Community Colleges are pledged and made subject to a first lien and paramount charge (subject to a prior pledge of revenues of one Community College prior to its joining the Community College System) for the security and source of payment of the University of Kentucky Community Colleges Educational Buildings Revenue Bonds. The Notes are payable as to principal from the proceeds of the Series E Bonds or any Renewal Notes, which are pledged to such payment.

The Notes are issued in anticipation of the issuance by the Board of \$3,500,00 University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series E, dated May 1, 1986 (the "Bonds") which have been authorized by the Board pursuant to the terms of the Series E Resolution or Renewal Notes. The Bonds are to be issued in the form of fully registered bonds with principal maturities on May 1 in each of the years 1987 through 2006.

The purchasers of the Notes will be furnished at delivery, at the cost of the Board, the unqualified approving opinion of Wyatt, Tarrant & Combs, Louisville, Kentucky, Bond Counsel, which will contain a statement that in Bond Counsel's opinion, based upon statutes, regulations, court decisions and administrative rulings as interpreted and applied at that date, interest on the Notes will be exempt from federal income taxation and that the Notes and interest thereon are exempt from all Kentucky ad valorem and income taxation.

The Board expressly reserves the right to reject all bids and to waive informalities and irregularities which in the judgment of the Board do not impair the integrity of the bidding process. Delivery is guaranteed within forty-

five days after awards are made and it is now anticipated that delivery will be made prior to October 30, 1983: The Board will tender the Notes to the purchasers thereof, at its own expense, anywhere in the continental United States (exclusive of Alaska); provided, however, that the purchaser shall bear any bank service charge for processing the delivery of the Notes and closing the transaction. Payment for the Notes must be made in FEDERAL FUNDS.

BIDDING CONDITIONS: The Notes shall be offered as a whole, at not less than 99-1/2% of par (not less than \$3,482,500), plus accrued interest. The single interest rate must be in multiples of 1/100 of 1%. A good faith check in the amount of \$35,000 is required. Only bids submitted on the Official Bid Form shall be given favorable consideration. CUSIP identification numbers shall be provided on the Notes at the expense of the Board. Neither the improper provision nor the failure to provide CUSIP numbers shall constitute a cause for failure or refusal by the purchaser to accept delivery and pay for the Notes.

The purchasers of the Notes must supply the name(s) and tax identification number(s) of the registered holder(s) of the Notes to be delivered, and the denomination of each such Note, not later than seven days prior to the date of delivery, by written direction to the Registrar and Paying Agent for the Notes, Liberty National Bank and Trust Company of Louisville, Louisville, Kentucky.

Details regarding the Notes, the Official Bid Form and financial and other pertinent information concerning the University and the Community Colleges Educational Buildings Project are contained in the "Official Statement" which may be obtained by addressing Mr. Henry Clay Owen, Treasurer of the Board, Peterson Service Building, University of Kentucky Campus, Lexington, Kentucky 40506, or the Financial Advisor, Dupree & Company, Inc., 600 First National Bank Building, 167 West Main Street, Post Office Box 1149, Lexington, Kentucky 40589.

BY ORDER OF THE BOARD:

Henry Clay Owen
Treasurer, Board of Trustees
University of Kentucky

Office of the President
August 26, 1983

FCR 3

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve a surplus auction to be held in September 1983 for disposal of surplus equipment.

That the Board of Trustees approve the disposition of recyclable materials (i.e. scrap paper, metal, x-ray film and precious metals) for fiscal year 1983-84 based on an advance plan which identifies the type materials and the estimated amounts to be sold.

That the Board of Trustees approve the sale of 240 band uniforms.

Background: KRS 164A.575 requires that the governing board sell or otherwise dispose of all personal property which is not needed by the institution.

Exhibit I is the listing of personal property for disposal in September 1983.

Exhibit II is the detailed plan for anticipated recyclable materials sales for 1983-84.

Exhibit III is the list of band uniforms to be disposed of in September 1983.

Action taken: Approved x Disapproved Other

Date: August 26 , 1983

UNIVERSITY OF KENTUCKY
Equipment Disposal Request

Type of Disposal: Auction

Date to be held: September 1983

Reason: Damaged, obsolete and excessive repair cost

<u>ITEMS</u>	<u>ITEMS</u>	<u>ITEMS</u>
1 Press	1 Wheel Chair	1 Metal Rack
3 Dorr Classifiers	2 Patient lifts	1 Dental Cabinet
13 Metal Cabinets	5 Chairs	24 Tables
4 OR Tables	9 Stainless Steel Carts	4 Rotary Files
7 3M Microfiche Reader/Printers	8 Exam Tables	1 Speaker Cabinet
2 Locker Units	4 Wood & Metal Stands	5 Voting Machines
1 Dito Machine	2 File Cabinets	17 Desks
1 Patient lift	4 Ice Machines	6 Grill Units
5 Refrigeration Units	2 Lab Washers	1 Bed Spring
1 Overbed Table	7 Incubators	1 Memograph
4 Lots of Fluorescent Lights	1 Oven	34 T.V's
1 Metal Box	1 Dishwasher	1 Picker X-Ray Machine
1 Chart Recorder	3 Card Files	8 Wood Doors
2 Rat Cages with Racks	2 Propane Tanks	1 MTST Unit
2 Hot Pack Lab Ovens	7 Floor Machines	2 Speakers
3 Collator Bins	4 Offset Presses	1 Centrifuge
3 Desk Runoffs	6 Floor Table Lamps	1 Waffle Maker
2 Electro Surgical Units	1 Pump	4 Stainless Steel Units
1 Tripod	1 Freezer	1 Lab Unit
1 Cash Register	1 Isolete Machine	16 Movie Screens
4 Projectors	2 Costumers	1 Hobart Grinder
1 Mill	1 Barnstead Sterilyzer	1 Zenith Stereo
2 Oscilloscopes	140 Lamps	7 Boxes of Jars
1 Spectrophometer	17 Sofas	2 Drawers
1 Drift Corrector	1 Lazor Meter	2 Sewing Machines
37 Pieces of Miscellaneous Equip.	7 Typewriters	27 Calculators
12 Dictating Machines	26 Wireless Learning Controls	1 Aspirator Control
1 Rex Rotary Copier	1 Power Supply	4 Mimeograph Machines
1 Addressograph	1 AB Dick Transparency Maker	6 Recorders
1 Balance	1 Fan	1 Loom
1 Hospital Bed	47 Miscellaneous Chairs	

UNIVERSITY OF KENTUCKY
Anticipated Recyclable Materials Disposal Request
1983-84

Type of Disposal: Sealed Bid

Estimated quantities to be sold

<u>Description</u>	<u>Quantities</u>
A. Scrap Paper	335,000 lbs.
B. Scrap Metal	350,000 lbs.
C. Scrap Silver Film	15,000 lbs.

UNIVERSITY OF KENTUCKY

Band Uniforms Disposal Request

Type of Disposal: Fixed Price/Sealed Bid

Date to be held: September 24, 1983

Reason: Damaged and worn

ITEMS

240 Coats
440 Pants
240 Hats
240 Ties
240 Dickeys

Office of the President
August 26, 1983

FCR 4

Members, Board of Trustees:

ACCEPTANCE OF AUDIT FOR THE
UNIVERSITY OF KENTUCKY CREDIT UNION

Recommendation: that the Board of Trustees accept the audited financial statement of the University of Kentucky Credit Union for the twelve month period ended December 31, 1982.

Background: The financial report of the University of Kentucky Credit Union has been audited by the firm Arthur Andersen & Co., Certified Public Accountants, and is presented to the Board for its acceptance. The Credit Union maintains its books and records on a calendar year end basis.

Action taken: Approved X Disapproved Other

Date: August 26 , 1983

ARTHUR ANDERSEN & CO.
LOUISVILLE, KENTUCKY

To the Members and Board of Directors of the
University of Kentucky Credit Union, Inc.:

We have examined the balance sheet of the UNIVERSITY OF KENTUCKY CREDIT UNION, INC. (a Kentucky credit union) as of December 31, 1982, and the related statements of operations, undivided earnings, and changes in financial position for the year then ended. Our examination was made in accordance with generally accepted auditing standards and, accordingly, included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances.

In our opinion, the financial statements referred to above present fairly the financial position of the University of Kentucky Credit Union, Inc. as of December 31, 1982, and the results of its operations and the changes in its financial position for the year then ended, in conformity with generally accepted accounting principles applied on a basis consistent with that of the preceding year.

Arthur Andersen & Co.

March 3, 1983.

UNIVERSITY OF KENTUCKY

CREDIT UNION, INC.

BALANCE SHEET

AS OF

DECEMBER 31, 1982

A S S E T S

LOANS TO MEMBERS, net of allowance for doubtful loans of \$5,200 (Note 1)	\$4,382,391
INVESTMENT IN COMMON TRUST FUND, at cost which equals market (Note 2)	2,358,095
SAVINGS DEPOSITS at 5.25% and 5.50%	33,036

Total invested funds	\$6,773,522
ACCRUED INTEREST ON INVESTED FUNDS	21,900
DEPOSITS AND PREPAID EXPENSES	23,938
EQUIPMENT, at cost, net of accumulated depreciation of \$26,815	101,346

	\$6,920,706
	=====

LIABILITIES AND UNDIVIDED EARNINGS

MEMBERS' SHARE ACCOUNTS	\$5,222,816
IRA ACCOUNTS	16,944
ALL SAVERS CERTIFICATES	86,310
ACCOUNTS PAYABLE AND ACCRUED LIABILITIES	115,634
UNDIVIDED EARNINGS:	
Appropriated-	
Statutory reserve (Note 1)	474,259
Contingency reserve (Note 1)	550,000

Total appropriated	\$1,024,259
Unappropriated	454,743

Total undivided earnings	\$1,479,002

	\$6,920,706
	=====

The accompanying notes to financial statements
are an integral part of this statement.

UNIVERSITY OF KENTUCKY

CREDIT UNION, INC.

STATEMENT OF OPERATIONS

FOR THE YEAR ENDED DECEMBER 31, 1982

INCOME:

Interest on loans	\$618,431
Interest on invested funds	174,982
Other income	2,965

Total income	\$796,378

EXPENSES:

Salaries and related expenses (Note 6)	\$135,115
Office supplies and expense	73,907
Share deposit and creditors' life insurance	33,045
Data processing	15,568
Interest expense	11,564
Other	15,225
Professional fees	2,985

Total expenses before dividends	\$287,409

Income before dividend expense	\$508,969

DIVIDEND EXPENSE (Note 3)	288,274

Net income	\$220,695
	=====

The accompanying notes to financial statements
are an integral part of this statement.

UNIVERSITY OF KENTUCKY

CREDIT UNION, INC.

STATEMENT OF UNDIVIDED EARNINGS

FOR THE YEAR ENDED DECEMBER 31, 1982

	<u>Appropriated</u>		<u>Unappropriated</u>	<u>Total</u>
	<u>Statutory Reserve</u>	<u>Contingency Reserve</u>		
BALANCE AT DECEMBER 31, 1981 (Note 1)	\$462,000	\$550,000	\$246,307	\$1,258,307
NET INCOME			220,695	220,695
TRANSFER FOR STATUTORY REQUIREMENTS	12,259		(12,259)	-
	-----	-----	-----	-----
BALANCE AT DECEMBER 31, 1982	\$474,259 =====	\$550,000 =====	\$454,743 =====	\$1,479,002 =====

The accompanying notes to financial statements
are an integral part of this statement.

UNIVERSITY OF KENTUCKY

CREDIT UNION, INC.

STATEMENT OF CHANGES IN FINANCIAL POSITION

FOR THE YEAR ENDED DECEMBER 31, 1982

SOURCES OF FUNDS:

Net income	\$ 220,695
Expenses not requiring current use of funds--	
Depreciation	22,879

Total provided from operations	\$ 243,574

Decrease in-

Due from University of Kentucky	\$ 10,203
Cash	76,976
Loans to members	236,407

Increase in-

Members share accounts	829,744
IRA accounts	16,944
All Savers Certificates	1,510

	\$1,415,358
	=====

USES OF FUNDS:

Increase in-

Investment in Common Trust Fund	\$1,373,718
Accrued interest on invested funds	15,340
Savings deposit	7,362
Deposits & prepaid expenses	408

Decrease in-

Accounts payable & accrued liabilities	11,871
Property, plant & equipment	6,659

	\$1,415,358
	=====

The accompanying notes to financial statements
are an integral part of this statement.

UNIVERSITY OF KENTUCKY

CREDIT UNION, INC.

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 1982

(1) Accounting Policies-

The financial statements have been prepared in conformity with accounting practices prescribed or permitted by the Department of Finance of the Commonwealth of Kentucky. The practices used do not differ materially from generally accepted accounting principles. Some of the more significant accounting policies are as follows:

- (a) Loans to Members--Loans to members represent principal balances remaining unpaid. Loans are generally repaid by means of payroll deductions in equal installments which include interest. Interest on loans is computed on the unpaid principal balances at the stated simple interest rate.

(b) Appropriated Undivided Earnings

1. Statutory Reserve-

A statutory reserve is maintained as prescribed and permitted under provisions of the National Credit Union Administration. The provisions in effect at December 31, 1982, require a minimum reserve of 6% of the outstanding loans and risk assets. Provisions in effect in prior years required a higher level of funding. The National Credit Union Administration does not allow for reductions of earnings previously appropriated. As of December 31, 1982, the Credit Union had appropriated \$211,000 in excess of the statutory minimum level.

2. Contingency Reserve-

The contingency reserve represents an appropriation of undivided earnings by action of the Board of Directors and constitutes a general reserve for any unforeseen contingencies.

3. Purpose of Appropriation

Both the Statutory and Contingency Reserves are maintained to provide a capital base to absorb any unforeseen contingencies; however, as required by generally accepted accounting principles, any losses realized by the Credit Union will be reflected in the statement of operations in the period the loss arises.

- (c) Dividends--Dividends on members' share accounts are accrued monthly and paid quarterly. They are classified as an expense in the statement of income inasmuch as they are similar to the interest paid by other financial institutions on their savings accounts.
- (d) Membership--Membership in the Credit Union is limited to persons and their immediate families who are employees of the University of Kentucky and affiliated organizations as approved by the Board of Directors of the Credit Union.
- (e) Allowance for Doubtful Loans--This allowance represents a valuation reserve available for loan charge-offs. The change in the allowance for the year ended December 31, 1982, is as follows:

Balance, at beginning of year	\$5,200
Provision charged to expense	2,039
Loans charged-off	(2,039)

Balance, at end of year	\$5,200
	=====

(2) Investment in Common Trust Fund

This balance represents investment in the International Credit Union (ICU) government securities program, a common trust fund. The assets of the ICU government securities program consist of cash and United States Government and federal agency securities held by the Trustee, First Wisconsin National Bank of Milwaukee.

(3) Dividends on Members' Share Accounts and Interest Expense-

The per annum dividend rate was 7% for the year ended December 31, 1982. Interest was accrued on All Savers Certificates based upon the stated rate at the date the certificate was issued. During the period the stated rates varied from 6.49% to 10.79%. Interest on IRA accounts was 10% during the period.

(4) Taxation-

The Credit Union is exempt from Federal income tax under the provisions of the Internal Revenue Code, Section 501(c)(14).

(5) Sponsor Relationship-

The University of Kentucky furnishes office space and utilities to the Credit Union at no cost.

(6) Pension Plan-

On December 1, 1982, the Credit Union instituted a noncontributory, defined benefit pension plan for substantially all of the employees of the Credit Union. Expense for 1982, which included amortization of past service costs over a 20-year period, was \$955. The Credit Union's policy is to fund pension cost accrued. At December 31, 1982, there were no accumulated net assets available for benefits. The actuarially determined value of future benefits, estimated using the frozen initial liability cost method and assuming a 5% return on investment, was \$153,303.

Office of the President
August 26, 1983

FCR 5

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the Capital Construction report for the twelve months ending June 30, 1983, be accepted.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the twelve months ending June 30, 1983, the University has:

1. Awarded twenty-two (22) consultant contracts.
2. Made one (1) change order to consultant contracts.
3. Awarded or requisitioned for the award of twenty-two (22) construction contracts.
4. Made five (5) change orders to construction contracts.
5. Made a final payment for two (2) construction contracts.

Action taken: Approved X Disapproved Other

Date: August 26 , 1983

DIVISION OF DESIGN AND CONSTRUCTION
REPORT TO FINANCE COMMITTEE OF BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY
FOR THE PERIOD JULY 1, 1982 - JUNE 30, 1983

I. CONSULTANT CONTRACTS

<u>CONSULTANT</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>CONSULTANT FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
Luckett & Farley Louisville	Auditorium Jefferson C.C. Southwest	\$ 1,400,000	\$ 55,625	7/28/82
Fisk, Rinehart, Keltch, Meyer, Inc. Covington	Academic/Technical Bldg. Maysville C.C.	1,600,000	20,000	7/28/82
Nolan & Nolan, Inc. Louisville	Learning Resource Ctr. Elizabethtown C.C.	2,000,000 <u>A</u>	62,000	7/28/82
Carter-Foster, Inc. Lexington	Water Chillers Addition M. C. Cooling Plant	1,345,000	16,500	8/31/82
William Martin Lexington	Per Diem Architectural Services	Not Applicable	32,000*	8/30/82
Carter-Foster, Inc. Lexington	Per Diem Mechanical/ Electrical Engr. Services	Not Applicable	32,000*	8/30/82
Lerch Bates & Assoc. Houston, Texas	Renovation of Hospital Elevators, Medical Ctr.	800,000	36,000	9/30/82
McLoney/Orni Lexington	Technical Facilities & Learning Resource Ctr. Southeast C.C.	2,600,000	126,000	10/19/82
Congleton-Morris-Thorup and Associates Lexington	Air Conditioning System Maysville C.C.	150,000	11,800	10/22/82
White, Walker & McReynolds Lexington	Per Diem Structural Engineering Services	Not Applicable	32,000*	10/28/82
Marcum-Fuller Paducah	Air Conditioning System Henderson C.C.	40,000	7,000	10/29/82
Arrasmith, Judd, Rapp and Associates Louisville	Academic/Technical Bldg. Somerset C.C.	1,800,000	83,000	11/30/82
Parrott, Ely & Hurt Lexington	Pedestrian Bridge Exten. Medical Center	191,500	18,500	11/30/82
Luckett & Farley Louisville	Student Ctr. Phase II Paducah C.C.	1,500,000	68,200	11/30/82
Witt & Associates, Inc. Lexington	Per Diem Civil Engineering Services	Not Applicable	43,000*	12/13/82
Fuller, Mossbarger, Scott & May Lexington	Per Diem Geotechnical Services	Not Applicable	60,000*	2/22/83
Godsey Associates Architects Louisville	Roof Replacement Academic Building Hopkinsville C.C.	80,000	6,000	3/15/83
Godsey Associates Architects Louisville	Roof Replacement Admin./Student Ctr. Bldg. Hopkinsville C.C.	75,000	6,000	3/15/83
Booker Associates Lexington	Limited Access Roadway Lexington Campus	96,000	7,700	4/7/83

I. CONSULTANT CONTRACTS (continued)

<u>CONSULTANT</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>CONSULTANT FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
Nolan & Nolan Architects Louisville	Learning Resource Center Phase II Elizabethtown C.C.	Included in A above.	24,000	5/27/83
Associated Designers, Inc. Lexington	Roof Replacement Commonwealth Village	150,000	11,750	5/27/83
Witt & Associates Lexington	Parking Lot Addition Rose St. & Columbia	90,000	9,500	6/24/83

*Maximum fee through 6/30/83 on an as-needed basis.

II. CHANGES TO CONSULTANT CONTRACTS

<u>CONSULTANT</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>NATURE OF CHANGE</u>	<u>REASON</u>	<u>AMOUNT</u>
Fisk, Rinehart, Keltch, Meyer, Inc. Covington	Academic/Technical Bldg. Maysville C. C.	1	Employment of a resident inspector thru term of construction contract.	To provide full time on-site representa- tion.	\$18,067

III. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
Powers Elevator Co. Lexington	Elevator Controls Kirwan/Blanding Towers	\$ 77,502	11/08/82	4	\$ 77,502 - 182,673
Baron Stage & Equipment Baltimore, MD	Stage Curtain & Rigging Paducah C.C.	49,490	11/18/82	6	35,570 - 57,441 (Note)
Faulkner-Clark Material Sales Lexington	Bi-Fold Partitions Paducah C.C.	8,260	12/16/82	6	6,920 - 11,320 (Note)
McNutt & Ray Construction Company Elizabethtown	Relocation of Existing Buildings (Re: LRC Bldg) Elizabethtown C.C.	63,200	1/4/83	5	63,200 - 84,400
Carrier Midwest Louisville	Two Water Chillers M.C. Cooling Plant	412,741	1/13/83	4	286,721 - 459,950 (Note)
B. G. Plumbing & Heating Company Bowling Green	Water Chillers Installation M.C. Cooling Plant	417,513	1/3/83	14	377,000 - 588,000 (Note)
ABM Contracting Corp. Newport	Academic/Technical Bldg. Maysville C.C.	987,877	1/5/83	10	987,877 - 1,129,900
Whitehead Constr. Sturgis	Theatre Lighting Paducah C.C.	83,660	1/25/83	2	83,660 - 93,000
C. G. Campbell & Son Louisville	Auditorium Jefferson C.C. Southwest	1,021,000	1/25/83	19	1,021,000 - 1,195,000
Ernie Davis & Sons Owensboro	Repairs to A/C System Henderson C.C.	54,736	2/15/83	7	54,736 - 104,018
Standard Constr. Co. Charleston, W. VA	Roof Repairs Phase II Ashland C.C.	27,200	3/25/83	5	27,200 - 38,600
Blau Heating, Inc. Covington	Repairs to A/C System Maysville C.C.	102,457	3/21/83	7	102,457 - 137,000

III. CONSTRUCTION CONTRACTS (continued)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
McNutt & Ray Elizabethtown	Learning Resource Ctr. Elizabethtown C.C.	1,088,750	4/5/83	14	1,088,750 - 1,369,400
Norwood Constr. Co. Lexington	Emergency Generator Prestonsburg C.C.	34,436	4/8/83	9	34,436 - 49,694
Norwood Construction Co. Lexington	Demolition of Structures 343 & 349 Clifton Ave.	2,994	4/21/83	3	2,994 - 7,500
TRS, Inc. Minneapolis, MN	Guignol Theater Renovation	115,200	6/1/83	5	115,200 - 171,545
Eubank & Steel Lexington	Hospital Renovation Phase I 8th Floor	198,930	6/1/83	5	198,930 - 278,160
Barnett Construction Co. Campbellsville	Removal of Handicap Barriers Somerset C. C.	21,800	6/27/83	4	21,800 - 28,500
Pipe Works Supply Lexington	Limited Access Roadway Lexington Campus	66,601	6/17/83	5	66,601 - 85,000
J. Harold Shankle Nashville, TN	Learning Resource Center Southeast C. C.	1,959,770	6/22/83	8	1,959,770 - 2,312,000
D. H. Arnold Louisville	Poultry Research Facility Lexington Campus	745,700	6/23/83	11	745,700 - 869,000
Oak Ridge Roofing Knoxville, TN	Hospital Facility Roof Replacement	103,821	6/29/83	5	103,821 - 123,587 (Note 4)

NOTE 1: Baron Stage submitted the lowest bid that met specifications.

NOTE 2: The lowest bidder withdrew from consideration.

NOTE 3: The lowest bid chillers would produce the highest evaluated 20 year life cycle cost.
Carrier Midwest submitted the best bid that met specifications.

NOTE 4: Requisition date, award date will be in July.

IV. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>NATURE OF CHANGE ORDER</u>	<u>REASON</u>	<u>AMOUNT</u>
Baron Stage & Equipment Baltimore, MD	Stage Curtain & Rigging Paducah C.C.	1	Installation of beam stiffeners.	Structural member carrying counterweights and head blocks required reinforcement.	+4,893.25
Powers Elevator Co. Lexington	Elevator Controls Kirwan-Blanding Towers	1	Provision for a hall lantern (indicator light) for each elevator for each floor, and for inclusion of all features presently on each interior car panel.	Left out of design.	+12,173.00
McNutt & Ray Construction Co. Elizabethtown	Relocation of Existing Building Elizabethtown C.C. (RE: LRC Bldg.)	1	Four additional piers and beams and revision of floor elevations.	Existing grades differed from grades on drawings.	+1,721.60
B. G. Plumbing & Heating Company Bowling Green	Water Chillers Installation M.C. Cooling Plant	1	Add two valves in the drilled water headers. Delete & relocate existing mechanical work.	Valves allowed work site to be isolated from chillers in use; deletion is result of type of chiller contracted for.	-2,792.00

IV. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (continued)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>NATURE OF CHANGE ORDER</u>	<u>REASON</u>	<u>AMOUNT</u>
B. G. Plumbing & Heating Company Bowling Green	Water Chillers Installation M.C. Cooling Plant	2	Piping changes to accommodate Carrier chillers.	Original design subject to type of chillers contracted for.	+35,208.00

V. FINAL PAYMENTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
Baron Stage and Equipment Baltimore, MD	Stage Curtain & Rigging Paducah C.C.	\$ 54,383.25	1/31/83
Norwood Construction Co. Lexington	Demolition of Structures 343 & 349 Clifton Ave.	2,994.00	6/30/83

Office of the President
August 26, 1983

FCR 6

Members, Board of Trustees

USE OF MAINTENANCE RESERVE

Recommendation: that the Vice Chancellor for Administration be authorized and directed by the Board of Trustees to request the Trustee of the University of Kentucky Student Housing Bonds of 1960 to make available the sum of \$2,000.00 from the "Maintenance Reserve Account" of said Bonds for the purpose of paying the cost of replacing bedroom doors in the Alpha Gamma Rho Fraternity house located at 700 Woodland Avenue.

Background: This bond issue provides for the establishment of a "Maintenance Reserve Account" which may be drawn and used by the Board for the purpose of repairs. As of August 1, 1983, this account had a balance of \$33,454.07.

Action taken: Approved X Disapproved Other

Date: August 26 , 1983

Office of the President
August 26, 1983

FCR 7

Members, Board of Trustees:

DELEGATION AUTHORIZING THE PRESIDENT TO PROVIDE FOR
THE ACQUISITION OF REAL PROPERTY
NOT EXCEEDING \$100,000 IN ESTIMATED VALUE

Recommendation: that the Board of Trustees authorize the President to provide for the acquisition of parcels of real property (within the land use plan approved by the Board of Trustees) which do not exceed an estimated value of \$100,000 provided funds are available and budgeted for such acquisition.

Background: In accordance with the Memorandum of Agreement between the Finance and Administration Cabinet and the University of Kentucky, Lexington, Kentucky dated January 5, 1983, the Board of Trustees of the University of Kentucky, by this action, is delegating to the President of the University of Kentucky the authority to implement the provisions of the aforementioned Memorandum of Agreement in the acquisition of real property.

Action taken: Approved X Disapproved Other

Date: August 26 , 1983

Office of the President
August 26, 1983

FCR 8

Members, Board of Trustees:

QUASI-ENDOWMENT OF BLUE-WHITE FUNDS

Recommendation: that the Board authorize the establishment of a quasi-endowment fund for Blue-White monies and authorize the President to approve the transfer of funds to the quasi-endowment fund with the income from such funds being allocated for the use and benefit of the University's intercollegiate athletics program.

Background: The Board of Directors of the Athletics Association has formally requested that the Board of Trustees quasi-endow now and in future times certain monies within the Blue-White Fund which are maintained within the University. The long-term objective of the Association is to build an endowment that will fully support student athlete scholarships. In order to expedite the quasi-endowment of Blue-White funds, it is requested that the President be given authority to direct the investment of such funds upon formal request of the Athletics Association.

Action taken: Approved x Disapproved Other

Date: August 26 , 1983