

Minutes of the Meeting of the Board of Trustees
University of Kentucky
Tuesday, December 11, 2012

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, December 11, 2012 in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Dr. E. Britt Brockman, chair of the Board of Trustees, called the meeting to order at 1:05 p.m. Chair Brockman asked Trustee Sheila Brothers, Secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: C.B. Akins, Sr., Stephen Bilas, William C. Britton, E. Britt Brockman, Sheila Brothers, Mark Bryant, Jo Hern Curriss, Oliver Keith Gannon, Carol Martin “Bill” Gatton, Kelly Sullivan Holland, Billy Joe Miles, Terry Mobley, Charles Sachatello, C. Frank Shoop, James W. Stuckert, Irina Voro, John Wilson, and Barbara Young. Absent from the meeting was Pamela T. May. Secretary Brothers announced that a quorum was present.

The University administration was represented by President Eli Capilouto, Interim Provost Tim Tracy, Vice President for Health Affairs Michael Karpf, and General Counsel William Thro.

The University faculty was represented by Chair of the University Senate Council Lee Blonder, and the University staff was represented by Chair of the Staff Senate Mike Adams.

Guests and members of the news media were also in attendance.

C. Consent Items

Chair Brockman called attention to the nine consent items on the agenda. The items included the minutes for the Board of Trustees Retreat on October 13-14, 2012, the regular meeting of the Board on October 14, 2012, PR 2 which deals with personnel actions, and FCR 1, 2, 3, 4, 5 and 6 which included pledges and gifts.

Chair Brockman noted that FCR 1, Ball Realty LLC Gift and Pledge, was a \$500,000 gift and a \$1.5 million pledge from Don and Mira Ball. He thanked the Balls not only for their generous gifts to the University, but their tireless efforts over the years to advance the University of Kentucky.

Chair Brockman also acknowledged FCR 3, the Crutcher Family Foundation Pledge. This pledge creates and endows the Crutcher Family Scholarship in the Office of Academic Scholarships. Chair Brockman thanked and introduced family members Dottie Crutcher Cordray and Dan Crutcher, who presented a check to the Board and President Capilouto.

Trustee Stuckert moved approval of the consent items. Trustee Curris seconded the motion and it carried without dissent. (See consent items listed below on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Minutes, Board of Trustees Retreat, October 13-14, 2012

Minutes, October 14, 2012

PR 2 Personnel Actions

FCR 1 Ball Realty LLC Gift and Pledge

FCR 2 Request to Rename the Commonwealth Professorship in Physician Leadership to the Richard W. Schwartz Commonwealth Professorship in Physician Leadership

FCR 3 Crutcher Family Foundation Pledge

FCR 4 Gift from the National Christian Foundation Kentucky to Replace Unfulfilled Pledges to the Research Challenge Trust Fund

FCR 5 Transfer of Multiple Gifts and State Endowment Match Funds within the Center for Research on Violence Against Women

FCR 6 Gifts to the Dr. Robert D. Marciani Endowment Fund to Replace Unfulfilled Pledges to the Research Challenge Trust Fund

D. Chairman's Report

Chair Brockman began by reporting that there had been no requests since the last meeting to speak or appear before the Board.

Chair Brockman reported that the Executive Committee met this morning to review the proposed changes to the Governing Regulations regarding the Board of Trustees committee structure. The Committee approved the following proposed changes:

- Consolidate Academic Affairs and Student Affairs;
- Consolidate University Relations and Human Resources;
- Change the name of the Audit Subcommittee to Audit and Compliance;
- Committee reports shall be posted on line within 72 hours after the conclusion of Committee meetings; and
- Athletics and Health Care shall provide a brief update at each Board meeting if the committee has met.

Chair Brockman stated that the first reading of the revision to the Governing Regulation would be at the January 29, 2013 meeting.

E. President's Report (PR1)

President Capilouto began by introducing Eric Monday, the new Executive Vice President for Finance and Administration. Mr. Monday comes to UK from Louisiana State University, where he served as Vice Chancellor of Finance and Administrative Services and Chief Financial Officer. He brings more than 15 years of experience in higher education,

including oversight of campus safety, student life, and other critical duties related to budget and financial operations. Mr. Monday will begin his duties in late December, 2012.

President Capilouto introduced the three-person team from the Gatton College of Business and Economics that won the team title at the Annual Wall Street Journal National Biz Quiz. They were: Bert Smith, a senior from Louisville, with a double major in Finance and Economics; Ryan McFerran, a senior from Hebron, KY, with a double major in Finance and Economics; Scott Deschamp, a senior from Louisville with a double major in Economics and Chemical Engineering; and first alternate and student assistant coach Sean Bell, a senior from Louisville, with a double major in Economics and Chinese language. The Gatton team was coached by Dr. Gordon Holbein, a senior lecturer in Strategy and Leadership.

On behalf of the team, Mr. Smith thanked President Capilouto and the Board of Trustees for the support of the College and their team.

There was a round of applause.

F. Proposed Revision to Governing Regulation: University Athletics Committee (PR 3)

President Capilouto said that PR 3 was the second and final reading of proposed revision to the Governing Regulation (GR) II relating to the University Athletics Committee. The revision increases the threshold amount the Committee must review for capital projects in Athletics from \$400,000 to \$600,000. On a motion made by Trustee Akins, seconded by Trustee Mobley, PR 3 passed without dissent. (See PR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

G. Proposed Revision to Governing Regulation: University Faculty Appointments (PR 4)

President Capilouto stated that PR 4 was the second and final reading of the proposed revision to GR VIII.B, relating to faculty appointments and the appointments based on salary that are submitted to the Board of Trustees for final action.

Chair Brockman asked for a motion and Trustee Farish moved approval of PR 4. Trustee Gannon seconded the motion and it passed without dissent. (See PR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

H. Proposed Revision to Governing Regulation: Eligibility to Vote for Staff Representative to the Board of Trustees (PR 5)

President Capilouto stated that PR 5 was the first reading of a revision of GR II related to elections for the Staff Representative to the Board of Trustees. The change would allow regular staff with .75 or greater full-time equivalency the ability to vote in staff trustee elections.

Chair Brockman asked for a motion and Trustee Holland moved approval of PR 5. Trustee Stuckert seconded the motion and it passed without dissent. (See PR 5 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

I. Naming of University Building (PR 6)

President Capilouto stated that PR 6 is the recommendation that the official name of the building at 708 South Limestone be the “College of Medicine Learning Center.”

Chair Brockman asked for a motion and Trustee Bryant moved approval of PR 6. Trustee Akins seconded the motion and it passed without dissent. (See PR 6 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

J. Appointment to the Board of Directors University of Kentucky Gluck Equine Research Foundation, Inc. (PR 7)

President Capilouto stated that PR 7 is the recommendation for the approval of the appointment of Mark Bellissimo to the Board of Directors of the University of Kentucky Gluck Equine Research Foundation for a four-year term ending December 31, 2016.

Chair Brockman asked for a motion and Trustee Farish moved approval of PR 7. Trustee Shoop seconded the motion and it passed without dissent. (See PR 7 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

K. Candidates from Degrees: December 2012 (AACR 1)

Trustee Gannon stated that the Academic Affairs Committee met this morning and there were five items of business to bring to the Board.

AACR 1 is related to Candidates for Degrees for December 2012 and the list has been approved by the elected faculty of the University Senate. On behalf of the Academic Affairs Committee, Trustee Gannon asked for approval for AACR 1 and it passed without dissent. (See AACR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

L. Academic Degree Recommendation: College of Arts and Sciences (AACR 2)

Trustee Gannon stated that AACR 2 is a recommendation that the Board of Trustees approve the establishment of a bachelor of arts with a major in Environmental and Sustainability Studies degree in the College of Arts and Sciences, effective spring 2013.

The proposed Environmental and Sustainability Studies (ENS) degree will educate students in a broad range of fundamental environmental studies subjects with concepts of sustainability integrated throughout the curriculum. Students will obtain the fundamental knowledge required to understand the relationships that exist between the global economy, societal problems and needs, and the natural world.

This program has the approval of the appropriate councils and the University Senate and has completed the forty-five day review by the Council on Postsecondary Education. The Interim Provost of the University supports this recommendation.

On behalf of the Academic Affairs Committee, Trustee Gannon asked for approval for AACR 2 and it passed without dissent. (See AACR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

M. Academic Degree Recommendation: College of Fine Arts (AACR 3)

Trustee Gannon stated that AACR 3 is a recommendation that the Board of Trustees approve the establishment of a master of arts with a major in Arts Administration degree within the College of Fine Arts, effective spring 2013.

The completely online degree program will target people who have strong backgrounds in at least one arts discipline. Students entering the program will have either an undergraduate degree in arts administration or an arts-related field, or professional experience working within an arts organization.

This program has the approval of the appropriate councils and the University Senate and has completed the forty-five day review by the Council on Postsecondary Education. The Interim Provost of the University supports this recommendation.

On behalf of the Academic Affairs Committee, Trustee Gannon asked for approval for AACR 3 and it passed without dissent. (See AACR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

N. Change in the Name of the College of Agriculture (AACR 4)

Trustee Gannon stated that AACR 4 is a recommendation that the Board of Trustees approve the change in the name of the College of Agriculture to the College of Agriculture, Food, and Environment.

While much of the college's focus remains in the more traditional agriculture disciplines such as Animal and Food Sciences, Plant and Soil Sciences, and Horticulture, and these disciplines continue to be crucial to the state, the University of Kentucky College of Agriculture needs to reflect a connection to all citizens of the Commonwealth and beyond, whether they are farm families, prospective students from inner city schools, or internationally renowned biochemists.

The proposed name change was approved by the college faculty; reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and endorsed by the University Senate. The Interim Provost of the University supports this recommendation.

On behalf of the Academic Affairs Committee, Trustee Gannon asked for approval for AACR 4 and it passed without dissent. (See AACR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

O. Restructuring of an Educational Unit (AACR 5)

Trustee Gannon stated that AACR 5 is a recommendation that the Board of Trustees approve the restructuring of the College of Agriculture's Department of Merchandising, Apparel and Textiles to the Department of Retailing and Tourism Management, effective July 1, 2013.

The restructured Department of Retailing and Tourism Management will bring together applied social science faculty within the College of Agriculture and provide focus for meeting the changing needs of society to reflect the move from a service economy to an experiential economy.

The merger process began with a review of the two UK programs by outside consultants. The consultants expressed the belief that the Hospitality Management and Tourism undergraduate program lacked an identity because it was too embedded in the Department of Dietetics and Human Nutrition to be sought after by potential students. After much discussion, faculty members recognized many commonalities between the Merchandising, Apparel and Textiles and the Hospitality Management Tourism programs and significant potential for the two programs in the merged department.

The proposal has been approved by the college faculty and the Agriculture Faculty Council; reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and endorsed by the University Senate. The Interim Provost of the University supports this recommendation.

On behalf of the Academic Affairs Committee, Trustee Gannon asked for approval for AACR 5 and it passed without dissent. (See AACR 5 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

P. University Athletics Committee Report

Trustee Akins reported that the Athletics Committee met this morning. The Committee reviewed and endorsed the second reading of the revision to the Governing Regulation regarding the threshold amount for review of capital projects.

The Committee then considered the financial statements of the Department of Intercollegiate Athletics. Angie Martin, Treasurer, reviewed the quarterly financial statements of the department, including the balance sheet, the statement on revenue expenses, and the change in Net Assets. Trustee Akins reported that the Committee accepted the quarterly financial statements as presented.

The Committee heard from Marc Hill, Executive Associate Athletics Director for Internal Operations, who presented an update on the happenings in Athletics this fall.

There has been a tremendous response from the media and public regarding the hiring of former Florida State University defensive coordinator Mark Stoops as the new head football coach. Coach Stoops is hiring a strong staff of assistant coaches including offensive coordinator Neal Brown from Texas Tech and defensive coordinator D.J. Eliot, who worked closely with Coach Stoops at Florida State. Offensive lineman Larry Warford, linebacker Avery Williamson and linebacker Bud Dupree received All-SEC honors from various organizations. Punter Landon Foster received Freshman All-America recognition.

The volleyball team finished the season 22-11, reaching the Sweet 16 of the NCAA Tournament for a second straight year. This tournament appearance was the eighth-consecutive under Coach Craig Skinner, and the 14th in program history.

The UK women's soccer team reached the second round of the 2012 NCAA Women's Soccer Championship, ending the season with a 14-6-1 mark under Coach Jon Lipsitz. Men's soccer reached the NCAA Tournament for the first time in nine seasons under first-year head coach Johan Cedergren, finishing the season with a 10-9-2 record.

Both men's and women's cross country teams made significant progress under first-year head coach Edrick Floreal and assistant coaches Jason Dunn and Hakon DeVries. The UK women's cross country team returned to national prominence in 2012 and the men's team finished sixth at the SEC Championships and 11th at Regionals. Luis Orta capped a spectacular career with his first ever appearance in the NCAA Championships and All-Southeast Region and All-SEC selection.

The Athletics Department will update the Committee on the fall 2013 academic success of its student athletes at the Committee's next meeting.

Q. Finance Committee Report

Trustee Stuckert reminded the Board that consent items FCR 1, FCR 2, FCR 3, FCR 4, FCR 5, and FCR 6 were approved earlier in the meeting.

R. Approval of the Fiscal Year 2011-12 Endowment Match Program Annual Report (FCR 7)

Trustee Stuckert stated that FCR 7 is the approval of the fiscal year 2011-13 Endowment Match Program Annual Report. On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 7 and it passed without dissent (See FCR 7 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

S. Acceptance of Interim Financial Report for the University of Kentucky for the Three Months ended September 30, 2012 (FCR 8)

Trustee Stuckert stated that FCR 8 is the acceptance of the Interim Financial Report for the University of Kentucky for the three months ended September 30, 2012. He noted that the quarterly summation was discussed at the Committee meeting. On behalf of the Finance

Committee, Trustee Stuckert moved adoption of FCR 8 and it passed without dissent (See FCR 8 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

T. 2012-13 Budget Revisions (FCR 9)

Trustee Stuckert stated that FCR 9 was the 2012-13 budget revisions. The budget revision will increase the total budget by \$20 million, which recognized the June 30, 2012 fund balances. On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 9 and it passed without dissent. (See FCR 9 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

U. Renovate Robotics Building (FCR 10)

Trustee Stuckert stated that FCR 10 was the renovation of approximately 6200 square feet of laboratory space to provide more contemporary research space for biomedical engineering. The current total available funding for the estimated \$3.8 million project is \$1.8 million; therefore the University intends to prioritize the improvements and install all the utilities in the first phase thereby enabling future connections as additional funds become available.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 10 and it passed without dissent. (See FCR 10 on the Board of Trustees website, www.uky.edu/Trustees under agenda.)

V. Repair/Upgrade/Expand Central Plants (Replace Cooling Tower at Medical Center Cooling Plants) (FCR 11)

Trustee Stuckert stated that FCR 11 is to repair/upgrade/expand the central plants, replacing a cooling tower at the Medical Center cooling plant. The current cooling tower is in excess of 10 years old and does not meet present day cooling capacity requirements due to increased loads and other inefficiencies. The project has an estimated scope of \$870,000 and will be funded with general funds. On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 10. Chair Brockman opened the floor for discussion.

Trustee Voro expressed her concern that the project was to be funded with general funds and not monies provided by the Medical Center. Bob Wiseman, Vice President for Facilities, explained that the cooling plants serve both campus and the Medical Center. The Medical Center has paid their amount as part of the hospital construction. This is the amount allocated to campus. Trustee Voro indicated that she could not support this due to continued budget cuts to academic programs.

Seeing no further discussion, Chair Brockman called for the vote. The motion passed with 18 affirmative votes and one no vote. (See FCR 11 on the Board of Trustees website, www.uky.edu/Trustees under agenda.)

W. Repair/Upgrade/Improve Mechanical Infrastructure (Steam Line – Farm Road) (FCR 12)

Trustee Stuckert stated that FCR 12 is the repair, upgrade and improvement for a mechanical infrastructure, which is a steam line on Farm Road. This is the replacement of the third and final section of this 25-year- old steam line pipe. The scope of the legislative authorized project is \$835,000, and will be funded with General Funds.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 12 and it passed without dissent. (See FCR 12 on the Board of Trustees website, www.uky.edu/Trustees under agenda.)

X. Approval of Deed of Conveyance to the Commonwealth of Kentucky, Transportation Cabinet, Department of Highways for Construction of Bridge (County Road 1387) in Breathitt County (FCR 13)

Trustee Stuckert stated that FCR 13 involves the approval of a deed of conveyance to the Commonwealth of Kentucky Transportation Cabinet Department of Highways. The 1.249 acres at a sales price of \$6,050 is for construction of a bridge on County Road 1387. The property is surplus to the needs the University and the proposed conveyance is in the best interest of the Commonwealth.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 13 and it passed without dissent. (See FCR 13 on the Board of Trustees website, www.uky.edu/Trustees under agenda.)

Y. Capital Construction Report (FCR 14)

Trustee Stuckert stated that FCR 14 is a capital construction report. Bob Wiseman gave a very detailed report at the Finance Committee meeting. On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 14 and it passed without dissent. (See FCR 14 on the Board of Trustees website, www.uky.edu/Trustees under agenda.)

Z. Patent Assignment Report (FCR 15)

Trustee Stuckert stated that FCR 15 involves the patent assignment report. Jim Tracy, Vice President for Research, gave a detailed report at the Finance Committee meeting. On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 15 and it passed without dissent. (See FCR 15 on the Board of Trustees website, www.uky.edu/Trustees under agenda.)

AA. Audit Subcommittee Report

Trustee Britton reported that the Subcommittee had met, but had no action items. Joe Reed, Senior Director of Internal Audit reviewed with the subcommittee items typically addressed in an internal audit report.

BB. Investment Committee Report

Trustee Gatton reported that the Investment Committee met yesterday to review performance results and conduct other business. The Endowment had a net market value of \$908.4 million as of October 31, 2012. For the four months ended October 31, 2012, the Endowment pool returned 4.4%, outperforming the policy benchmark return by 77 basis points. The outperformance against the policy benchmark was due to strong performance by the fixed income, absolute return and real return managers.

The Committee voted to extend the contract for investment consultant, R.V. Kuhns & Associates, for fiscal year 2013-14. The University hired R.V. Kuhns & Associates, or RVK, in April 2008 as a result of an RFP process. The contract was structured as a four-year fixed term and allowed up to four one-year renewals at the option of both parties. RVK consultants attend each Investment Committee meeting to review performance of the existing investment managers and advise on asset allocation issues. RVK has assisted the Committee with diversification of the endowment investments. Since hiring RVK, the University has implemented new absolute and real return allocations to reduce volatility and protect against anticipated inflation. Additionally under RVK's guidance, UK has significantly increased its commitment to private equity and private real estate strategies which are expected to enhance long-term returns.

The Committee voted to approve the Endowment Investment Policy, which must be formally reviewed at least annually. The new policy contains several changes to the spending policy including adoption of a "hybrid" spending formula which factors the current level of inflation and the market value of the endowment in determining spending each year. The change to a hybrid method is expected to smooth spending distributions from year to year and preserve the value of the endowment over the long term. Fiscal year 2013-14 will serve as a transition year and spending will be based on 4% of the trailing 60-month average market value. The new hybrid policy will then be fully implemented in fiscal year 2014-15, with 60% of spending based on the prior year's spending plus or minus the annual change in inflation; and 40% based on 4% of the trailing 36-month average market value.

Trustee Gatton continued that other significant changes to the spending policy include a new requirement to suspend spending and management fee withdrawals on all endowments underwater more than 20%. Another requirement is that endowments underwater more than 10% but less than 20% undergo a formal review by the Treasurer and the appropriate college dean to determine the appropriate level of spending in accordance with various factors set forth under state law. Lastly, there is a new requirement to delay spending distributions on new endowment gifts for at least one year in order to build a spending reserve.

CC. Student Affairs Committee

Trustee Wilson reported that Student Affairs had met this morning. The committee had no action items, but had two reports. The first was from the Students of Concern Committee, led by Case Manager Teresa Smith and Associate Dean of Students for Student Conduct Dana Walton-Macaulay. Students of Concern is a team of multidisciplinary professionals that provide a central point of contact when there might be a concern regarding an individual(s) on campus.

Trustee Wilson stated this is an outstanding safety prevention program and an important element in the overall campus safety plan. The committee had three recommendations: review procedures to look for ways to strengthen protection for students or employees who file a report; additional training for academic and resident advisors about the program; and make the University of Maryland, which has had outstanding success, a benchmark for the program.

Trustee Bilas reported on the renegotiation of the Wildcats in Washington program and the progress on the University Creed program.

DD. University Health Care Committee

Trustee Young reported that Drs. Gerald Klim, Kristy Deep and Dr. Horacio Zaglul presented a comprehensive report on UK HealthCare Palliative Care Service. Beginning in 2008 UK has worked with Hospice of the Bluegrass to set up a palliative care program at UK that provides excellence in pain and symptom management, facilitates patient and family centered communication and educates all healthcare providers in primary palliative care. This program has been very successful and well received by patients, family and physicians.

Murray Clark presented the October 2012 Financial Review including a new schedule of Statistical Highlights which includes 16 major statistics that drive the financial performance of the hospital's inpatient and outpatient services. These indicators define volume, efficiency and revenue generation. In summary, the system is seeing a high level of activity of patients from all services, generating higher levels of revenue based on this acuity and payor mix, and delivering care in a very cost-effective manner.

The income statement indicates a strong revenue flow due to volume, payor mix, acuity and length of stay for both inpatients and outpatients. Ambulatory clinics are significantly ahead of last year in volume and this year's budget. Personnel expenses are below budget with some anticipated recruiting to handle the increased volume. Variable and fixed expenses are in line with the level of activity. All of this has led to a much better than anticipated income from operations for the year, amounting to \$29.558 million, or a 9.2% operating margin. Non-operating revenues remain strong. All of these factors have produced an increase in net assets for the period, significantly above budget and the prior year.

On the balance sheet, it should be noted that Chandler Hospital has moved from an interim payment system for Medicare with settlement occurring when the cost report is settled to payment on an individual claims basis. This change in practice moves the Medicare receivables from the estimated third party balance sheet account to the accounts receivable account, thereby adding \$18.8 million to accounts receivable. This change will enhance cash flow from Medicare. The hospital continues to have problems collecting from the new Medicaid Managed Care Organizations.

In Non-Current Assets, there has been a positive addition to investments of \$9.8 million this year. In Liabilities, accrued expenses include the UK working capital loan of \$67.4 million as of the end of October. The amount was \$65.2 million at the end of FY 12. Preliminary key drivers for the month of November indicate continued strong performance from UK HealthCare.

Trustee Young continued that Dr. Bernard Boulanger presented the Quarterly Report on Quality. Overall, Quality and Safety initiatives continue to improve. Dr. Boulanger reported on six enterprise goals including mortality, effectiveness, safety, efficiency, patient satisfaction and employee engagement. With a few exceptions, all goals were met by the enterprise and efforts are being made to continue to improve performance. Ambulatory Practice scores were on target. However, in October when volume was exceptionally high some service issues caused patient satisfaction to fall. These issues are being addressed. Pediatric Hospital Consumer Assessment of Healthcare Providers and Systems (HCAHPS - a national assessment of patient satisfaction) and five new adult HCAHPS will start in January 2013.

Dr. Steven Strup presented for approval the current list of privileges and credentials. The committee made a motion and all privileges and credentials were approved.

The committee voted to approve amendments to the medical staff bylaws governing the medical staff at the University of Kentucky Hospital and UK HealthCare Good Samaritan Hospital as the bylaws of the UK HealthCare Medical Staff. These amendments were approved by the Organized Medical Staff on October 31, 2012.

Trustee Sachatello commented on the Palliative Care presentation and remarked it was the single best presentation he had ever heard. He hoped the presentation would be placed on the website.

EE. University Relations Report

Trustee Curris reported that the committee met this morning and there were no action items. The committee heard a presentation from Dr. Michael Karpf, Executive Vice President of Health Affairs regarding the critical and creative marketing and public relation strategies for UK Healthcare.

The presentation began with an overview of the University of Kentucky Medical Center “brand”, its importance, and the evaluation strategy of creating a brand. Dr. Karpf reported that a study conducted in 2004 confirmed an image problem with the UK Hospital. It was ranked as an area hospital that people would not use. Reasons given in the study were poor service, a bad experience, and poor medical care. In fact, at that time, 25% of UK employees would not recommend UK Hospital. Therefore, the initial drivers of change in UK HealthCare were a mandate from the Kentucky General Assembly for UK to become a Top 20 public research institution and a steady decline in clinical activity. The brand had eroded and needed to be enhanced.

In his 2004 strategic plan, Dr. Karpf called for advances in subspecialty care. This included a Level 1 trauma center, the Kentucky Children's Hospital, organ transplants, and the Markey Cancer Center. He called for expanded regional care. He wanted to leverage community health care providers by augmenting special services and allowing patients to remain close to home for their care. The Center for Enterprise Quality and Safety was established to focus on the development of efficient processes aimed at optimizing clinical outcomes and the safety of patients. The 2011-2015 strategic plan continues to focus on refining subspecialty care, continuing to mature relationships with regional providers, reemphasize efficiency, quality,

safety and patient satisfaction.

Communication decisions for the UK HealthCare to enhance and develop the brand included introducing an umbrella brand of UK HealthCare, which would provide a common name and reduce public confusion. Increased employee communication, the launch of a UK HealthCare advertising plan and ad campaign “That’s why we’re here” and “That’s why I’m here,” helped to develop the brand.

Other emphasis included the promotion of new facilities to the public and the building of relationships with referring doctors and community hospitals. Since 2005 the brand has strengthened and is much better positioned in 2012. Statewide market share is now 14.1 percent, up from 7.4 percent in 2004. Patient satisfaction has also increased due in part to advanced medical technology, expanded insurance acceptance, and dedicated physicians. Additionally, the percentage of UK HealthCare employees who would not refer UK HealthCare to family and friends has dropped from 25 percent to 9 percent. Currently the local market share is 45.9 percent.

Other successes of the UK Healthcare team include: a Governor's Award in Arts, due in part to the world class folk art exhibit throughout the hospital; new facilities were ranked as the best in the region; a first time Consumer Choice Award; and UK HealthCare being voted Kentucky's Very Best Hospital.

Trustee Curris thanked Dr. Karpf for his leadership and the Board gave him a round of applause.

FF. University of Kentucky Mining Engineering Foundation, Inc. Report

Trustee Curris reported on the meeting of the University of Kentucky Mining Engineering Foundation, Inc. which meets twice a year. Trustee Stuckert also serves on this foundation Board of Directors, representing the Board of Trustees.

Trustee Curris reported that Rick Honaker is the current chair of the Department of Mining Engineering. Noted accomplishments of the department include:

- The number of Bachelor of Sciences graduates expected in 2012-13 is expected to be in the range of 25 – 30, up from a single graduate in 2002-03 to 14 in 2010-11 and 23 in 2011-12;
- Undergraduate enrollment reached a record high of 215 in fall 2012;
- The UK enrollment is the largest among the 13 accredited programs in the U.S. (other programs include Virginia Tech, West Virginia, Missouri Institute of Science and Technology, Colorado School of Mines, University of Arizona, etc.);
- The fall 2012 freshman class totaled 57 of which 21% were from out-of-state and 14% were female;
- The average composite and math ACT scores for freshmen were 27.8 and 28.0, respectively. The average high school GPA was 3.741;
- Graduate enrollment was also at a record level of 25 in fall 2012;

- Over \$600,000 in scholarships have been awarded annually over the last three years; and
- Student chapter received two national awards:
 - 2012 Society of Mining, Metallurgy and Exploration (SME) Outstanding Student Chapter Award: Each year the Society for Mining, Metallurgy and Exploration (SME) recognizes outstanding achievement at the student chapter level. The Award is based on Chapter reports which are judged on the basis of a comprehensive review and evaluation with other reports from competing chapters. These chapter reports are used to evaluate the level and quality of chapter activities and operations. Chapter activities included:
 - The development of a mentoring program to improve the success and retention of freshmen and transfer;
 - The initiation of a football tailgate for alumni to attend that has significantly grown to a point that catering is required for food service. Approximately \$24,000 was raised annually from the tailgate through sponsorships with industry; and
 - The organization of a golf tournament each year which generates revenues through sponsorships.
 - 2012 SME Minerals Education Coalition (MEC) Award: This Award recognizes the outstanding efforts of a SME Student Chapter on a college campus and their faculty advisor for their accomplishments in community outreach and mining/mineral education to serve the mission of the MEC. The UK chapter participated in:
 - Habitat for Humanity;
 - God's Food Pantry;
 - National Science Teachers Association in New Orleans providing educational material about minerals and the importance of mining; and
 - Educational presentations at elementary, junior and high schools in Kentucky.

Trustee Curris reported that there were multiple faculty national honors as well and total research funding has averaged \$2 million per year over the last three years. In addition, private donations are critical to the support of this program and have been used to open new laboratories and upgrade others.

Trustee Curris closed by stating it was exciting to attend these meetings because so much is accomplished by so few.

GG. Other Business

Chair Brockman reminded the Board of the Staff Senate sponsored Stakeholders reception immediately following the Board meeting, being held in Patterson Office Tower 18th Floor lobby.

Relative to Trustee Curris's report on the Mining Engineering Foundation, Trustee Shoop offered his observation that there seems to be a new spark of optimism in Eastern Kentucky with

regards to mining. The coal mining industry is putting people back to work and he is glad to see the rejuvenation of a part of the state that has suffered over the past few years. The hiring of 100 miners, with another possible 600 jobs, is like “Santa Claus has dropped in for the day.”

Trustee Gatton offered some clarification on R.V. Kuhns, the University’s investment consultants. He noted that they are financial advisors, probably one of the top five or six in the country. The Investment Committee receives their advice on what financial sectors the University might invest in and the corresponding managers in these sectors.

Trustee Bilas announced the renaming of the DanceBlue Kentucky Children’s Hospital Hematology/Oncology Clinic. The contributions and efforts of the many students toward this event are very commendable.

Trustee Bilas also wanted to call to the Board’s attention the progress on Phase I and Phase II of the residence hall building projects. He wanted to commend the efforts of the Board of Trustees on the continuous improvement of the student experience and the community experience.

Trustee Sachatello requested that the December 9, 2012 Lexington Herald Leader article regarding falsified research results at the University of Kentucky be made a formal part of the minutes of the meeting. He encouraged President Capilouto to take the steps necessary to prevent this from happening again.

HH. Meeting Adjourned

Hearing no further business, Chair Brockman asked for a motion to adjourn. Trustee Shoop made the motion and the meeting ended at 2:17 p.m.

Respectfully submitted,



Sheila Brothers
Secretary

(PR 1, 2, 3, 4, 5, 6 and 7, AACR 1, 2, 3, 4 and 5, FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14 and 15, and pages A1 and A2 of the December 9, 2012 Lexington Herald Leader are official parts of the Minutes of the meeting.)

Office of the President
December 11, 2012

1. UK Performs Kentucky's First Combined Heart-Lung Transplant in 15 Years

At a news conference on Nov. 20, the University of Kentucky announced that its surgeons had performed the first combined heart-lung transplant in Kentucky in 15 years. Dawn Nelson, 29, of Louisville, Ky., received two lungs and a heart from the same donor in one procedure at UK on July 7. Dr. Charles Hoopes, director of the UK Transplant Center, performed the surgery, only the 7th such procedure to be performed at UK since it began transplantation in 1964. The last time UK performed this surgery was 1996.

2. Research Looks at Suicide Survivors in Military Families

Julie Cerel, associate professor in the UK College of Social Work, is leading research into the incidence of suicide exposure and consequences of suicide bereavement on veterans and military families. The study, the first of its kind funded by the Department of Defense, looks at the "suicide bereaved," those who have lost a loved one, family member, friend or fellow service member/veteran to suicide.

3. Study Examines the Risk of Suicide Among African-American Women

Three University of Kentucky sociologists have co-authored a study that helps to fill a gap in our understanding of suicide risk among African-American women. Appearing in the December issue of *Social Psychology Quarterly*, the study, "Too Much of a Good Thing? Psychosocial Resources, Gendered Racism, and Suicidal Ideation among Low Socioeconomic Status African American Women," examines the relationship between racial and gender discrimination and suicidal ideation, or thinking about and desiring to commit suicide. The co-authors on the study include Assistant Professor Brea L. Perry, Associate Professor Carrie B. Oser, and Ph.D. candidate Erin L. Pullen, all from the UK Department of Sociology.

4. It Takes a Village to Build a University

In an effort to collect information for the Campus Master Plan – Update 2012, UK introduced an innovative, interactive online tool, My Campus Survey, to assess the campus and Lexington communities' opinions. Recognizing that the physical environment has the power to embody and express our purpose and that collaboration is an essential facet of this process, a solution was sought that would reflect the needs, values and aspirations of all members of the university community – its students, faculty, staff, government partners, and residential and retail

neighbors. Among the tools developed was the My Campus Survey. The Master Planning Team presented the survey results to the campus on November 15.

5. Education Launches CASPER Autism Center

The University of Kentucky recently opened the Center for Autism Spectrum Evaluation, Service, and Research (CASPER), as part of the UK College of Education's Department of Educational, School, and Counseling Psychology. Created by program faculty in School Psychology and with support from Vice President for Research James Tracy and the College of Education, CASPER will provide psychological services and support for children, youth, and adults with Autism Spectrum Disorders.

6. Engineering Professor to Study Autism Therapies Using 'Virtual Mirror'

UK electrical and computer engineering Professor Sen-Ching (Samson) Cheung received a grant from the National Science Foundation (NSF) totaling nearly \$800,000 for his research in autism therapies using a "virtual mirror." The four-year grant will go toward developing new display and image processing technologies for synthesizing self-model and mirror feedback imageries. The goal is to enhance the delivery of behavior therapy to individuals with autism and related disorders.

7. College of Education's Partnership with Fayette Schools Wins Grant Support

Next Generation Learning Challenges, an initiative dedicated to improving college readiness and completion, has awarded a grant to Fayette County Public Schools (FCPS) for its planned STEAM Academy. The district will receive \$150,000 in pre-launch planning grants and is eligible for up to \$300,000 in one-to-one matching funds. Emphasizing science, technology, engineering, arts and math at the secondary and postsecondary levels, this groundbreaking partnership between FCPS and the UK College of Education will dramatically improve college readiness, maximize student learning and close achievement gaps using mastery learning, personalized instruction, internships and dual/college credit opportunities.

8. Education's P20 Innovation Lab Recognized

The UK College of Education is among a group of state education agencies recognized by the Partnership for 21st Century Skills. Kentucky was presented the partnership's annual award for Best 21st Century Education Practice during its Institute.21 meeting last month. The award acknowledges the college's Kentucky P20 Innovation Lab and its partnerships with state agencies and institutions, including the Kentucky Department of Education, the Council on Postsecondary Education, and others working in collaboration to promote innovation and transformation in Kentucky.

9. Governor Announces Allylix Expansion at Coldstream

Governor Steve Beshear joined local and company officials in October in Lexington to announce an expansion for Allylix Inc. The renewable chemicals company plans to add 14 full-time jobs,

invest more than \$1.6 million in the Commonwealth and add 3,700 square feet of laboratory space at the University of Kentucky's Coldstream Research Campus. Allylix develops products for the flavor and fragrance, food ingredient, cosmetic chemicals, insect repellent and biofuel markets.

10. Physical Plant Division Receives "Return on Environment" Award

The UK Physical Plant Division recently received the Return on Environment Award from GE Power and Water. Physical Plant worked with the company to make upgrades to cooling tower treatment systems resulting in the saving of more than 83 million gallons of water and \$436,000 in water and sewer fees. GE Power and Water representatives and UK administrators and plant operators gathered at Cooling Plant 1 November 19, for the award presentation and to honor employees who helped implement the upgrades.

11. Campus Collegians Event Hosts Record Number of Attendees

A total of 247 students from 10 different states were recognized for their academic achievement at the annual Kentucky Collegians event, sponsored by the University of Kentucky Office of Undergraduate Admission and University Registrar. President Capilouto acknowledged each student with a Kentucky Collegians certificate in a UK diploma cover, along with an official scholarship award letter.

12. Hurricane Relief Telethon Raises \$500,000

The Big Blue Nation Cares – Rebounding from Sandy telethon hosted by University of Kentucky Men's Basketball Coach John Calipari and WKYT TV raised \$500,000 for Superstorm Sandy Relief. The telethon was held Wednesday night, November 7.

13. UK Student Awarded Prestigious Astronaut Scholarship

UK student Brandon Kulengowski, of Lagrange, Ky., has received one of this year's 28 Astronaut Scholarships worth \$10,000. The Astronaut Scholarship Foundation Scholarship is presented annually to outstanding college students majoring in science, technology, engineering or math, who exhibit motivation, imagination and intellectual daring, as well as exceptional performance, both in and outside the classroom. Kulengowski is pursuing a doctoral degree in pharmacy. The foundation has awarded more than \$3.5 million in scholarships to date, including \$131,000 in scholarships to UK students alone.

14. Recent UK Grads Chosen for Teach for America

This fall 23 recent UK graduates joined Teach for America, a national program in which outstanding college graduates commit to teach for two years in disadvantaged urban and rural public schools. The new corps members are: theatre graduate Michael Baird, music performance and Spanish graduate Lindsay Baranowski, history and English graduate Shannon Bauer, political science graduate Justin Buren, architecture graduate Jennifer Chadwick, architecture graduate Molly Coon, English graduate Kari Cornett, secondary English education graduate Lesley Cornett, psychology graduate Anna Davis, family sciences graduate Megan Day, biology graduate Erin Fehrenbach, kinesiology graduate Jenna Goblirsch, sociology graduate Bruce

Herring, chemistry graduate Lauren Ison, English and history graduate Angel Jackson, journalism graduate Brooke McCloud, history and international studies graduate Allison McVey, economics graduate Eleni Minor, architecture graduate Brian Pierce, social work graduate Josh Ridgeway, a secondary English education graduate Lindsey Smith, kinesiology graduate Clay Stanley, and mathematics graduate Austin Wilson.

15. UK Named 'Military Friendly School' Four Years Running

The University of Kentucky has been named a 2013 Military Friendly School by Victory Media, publisher of G.I. Jobs magazine. This is the fourth consecutive year that UK has earned this recognition. The 2013 Military Friendly Schools list honors the top 15 percent of colleges, universities and trade schools in the country that are doing the most to embrace America's military service members, veterans, and spouses as students and ensure their success on campus.

16. UK Student Athletes Set New Graduation Record

UK student-athletes set a school record for graduation rate in the annual report issued by the National Collegiate Athletic Association, continuing UK's trend of having broken or tied the school record for earning diplomas every year since the NCAA began charting graduation. The NCAA Graduation Success Rate (GSR), a four-year composite statistic for the freshman classes of 2002-03 through 2005-06, was 79 percent. That is a two-point increase from last year's previous high mark.

17. Research Aims to Reduce AIDS, Hepatitis C in Rural Women

Researchers at the University of Kentucky have embarked on a five-year study that aims to lower behavioral risks of HIV/AIDS and hepatitis C among disadvantaged, rural women in Appalachian Kentucky. Armed with a \$2.7 million R01 grant from the National Institute on Drug Abuse, the investigators will examine the effectiveness of a brief intervention in reducing high-risk behaviors, including sexual practices and injection drug use. Michele Staton-Tindall, associate professor in the UK College of Social Work, is the principal investigator. Co-investigators are Jennifer Havens, Carl Leukefeld and Matt Webster, in the Department of Behavioral Science, and Carrie Oser in the Department of Sociology.

18. Women Writers Conference Returns

The Women Writers Conference returned this year September 21-22. The event featured Kim Addonizio, Tara Betts, Sallie Bingham, Karen Joy Fowler, dream hampton, Rebecca Gayle Howell, Debra Gwartney, Julia Johnson, Kelly Link, Stella Parks, Ruth Reichl, Rona Roberts and Naomi Wallace.

19. 'Arts and Healing' Symposium Presented

In recognition of UK HealthCare's important role in promoting the practices of art therapy, the Gaines Center for the Humanities presented the 2012 Bale Boone Symposium on "Arts and Healing" October 22-26.

20. Design Hosts Mayors' Institute

UK College of Design hosted this year's Mayors' Institute on City Design East Conference. The institute, held October 10-13, helps transform communities through design by preparing mayors to be the chief urban designers of their cities.

21. UK HealthCare, KODA Unveil 'Gift of Life' Memorial for Organ Donors

UK HealthCare and Kentucky Organ Donor Affiliates (KODA) held a special ceremony in October at UK Chandler Hospital Pavilion A to unveil a new memorial wall designed to honor organ and tissue donors. The names of 240 individuals who provided the gift of life through organ and tissue donation were read aloud during the official ceremony and unveiled on the Gift of Life wall, located inside Pavilion A adjacent to the Gill Heart Institute, and more than 500 donor family members and guests attended the ceremony to honor their loved ones.

22. Itzhak Perlman Returns to Singletary Center

UK Symphony Orchestra performed with famed violinist Itzhak Perlman at the Singletary Center for the Arts in September in conjunction with the 150th anniversary events surrounding the Battle of Ashland.

23. Theatre Hosts State Conference

UK Department of Theatre hosted the Kentucky Theatre Association annual conference in the Fine Arts Building November 2-5, with more than 500 high school theatre students, teachers and community theatre artists from across the state in attendance.

24. Fine Arts Hosts 'Find Arts' Fest

UK College of Fine Arts presented its inaugural Find Arts Fest, October 6-14, celebrating the world of arts on the university's campus. The arts are abundant at UK and Find Arts Fest sought to bring attention to the myriad of exciting opportunities available to the students and the community

25. Art Museum Presents Faculty Works

The Art Museum at UK is presenting the dispositions of 25 current teaching artists in the UK School of Art and Visual Studies in "Mettle: UK Art Faculty Exhibition." "Mettle," which is free and open to the public, runs through December 23.

26. U.S. Archivist Visits UK Libraries

The Archivist of the United States, David Ferriero, visited UK Libraries to celebrate the conclusion of a major project on government records regarding the Works Progress Administration (WPA). While at UK Ferriero lunched with graduate students from the School of Library and Information Science and from the Department of History. UK Libraries, in

cooperation with the Association of Southeastern Research Libraries and with a grant from the Institute of Museum and Library Services, is now a Center of Excellence among southeastern research libraries for WPA records.

27. Libraries to Receive DPLA Funding

UK Libraries has been selected to receive funding from the Digital Public Library of America (DPLA) to serve as one of the initial content hubs. Kentucky was selected based on the strengths of our technology and our content. The National Endowment for the Humanities and the Knight Foundation have each committed \$1million to support this initial pilot of the program. UK Libraries will receive nearly \$350,000 for the initial two years of participation. Kentucky is one of four large content hubs to be selected (Georgia, Minnesota, Mountain West Digital Library and Kentucky); there are also four smaller hubs (Oregon, South Carolina, Massachusetts, and Illinois) that will participate.

28. Scott Collection Display Goes to Washington for Cuban Missile Symposium

A representative display drawn from the vast UK Libraries Scott Soviet Military Collection traveled to the Washington D.C. area for a 50th anniversary symposium on the Cuban Missile Crisis last month. Gordon Hogg, curator of the Scott Collection, was invited by Francis Gary Powers, Jr., the founder of the Cold War Museum, to mount a topical interactive exhibit of early-1960s Soviet training and tactical support materials at George Mason University in Fairfax, Virginia. The symposium drew more than 500 conferees.

29. Dentistry Celebrates 50 Years

The UK College of Dentistry celebrated its 50th anniversary at the annual Fall Symposium Weekend October 25-28. Alumni, friends and guests attended several events, including a reception at the home of President Eli and Dr. Mary Lynne Capilouto, a celebration at the College featuring an address by founding dean, Dr. Alvin Morris, an anniversary dinner and a brunch at the home of Dean Sharon Turner.

30. iwin Director to Lead Study of Health and Safety in Thoroughbred Industry

The University of Kentucky is launching a study on the health and safety practices associated with the Thoroughbred industry. The five-year Thoroughbred Worker Health and Safety Study is funded by the Centers for Disease Control and Prevention as part of the UK Southeast Center for Agricultural Health and Injury Prevention. The study is led by Jennifer Swanberg, professor of social work and executive director of the Institute for Workplace Innovation (iwin) at UK.

31. Agriculture Celebrates Land-Grant Research

The 2012 Celebration of Land-Grant Research was hosted by the College of Agriculture on November 15. The keynote speaker was Sonny Ramaswamy, director of the USDA National Institute of Food and Agriculture. As part of the USDA's research, education, and extension

missions, he oversees NIFA funds for a wide range of extramural research, education, and extension projects that address the needs of farmers, ranchers, and agricultural producers.

32. First Amendment Center to Host National Spelling Bee Semifinal

The Scripps Howard First Amendment Center in the School of Journalism and Telecommunications will host the University of Kentucky Spelling Bee, a semifinal round of the Scripps National Spelling Bee for winners from schools in 63 Kentucky counties, February 23, 2013.

33. Fall Program for Student Entrepreneurs Kicks Off

The Innovation Network for Entrepreneurial Thinking (iNET) kicked off the fall program for student entrepreneurs, sponsored in partnership with the Big Blue Starters, the Von Allmen Center for Entrepreneurship and the Lexington Innovation and Commercialization Center September 26, in the Cats Den. Brian Raney, UK iNET entrepreneur in residence and Awesome Inc. founder, spoke with students about the upcoming UK Venture Challenge business competition on February 16.

34. KGS Seismic Experts Consulted after November 10 Earthquake

Local, state and national news media interviewed KGS staff after the 4.3 magnitude earthquake, which occurred near Blackey in southeastern Kentucky. KGS Director Jim Cobb, geologic hazards section head Zhenming Wang and geophysicist Seth Carpenter spoke to radio and television reporters, the Associated Press in Louisville and a national radio network.

35. RNA Nanotech Shows Promise to Treat Cancer, Viral Infections

A new study by University of Kentucky researchers shows promise for developing ultrastable RNA nanoparticles that may help treat cancer and viral infections by regulating cell function and binding to cancers without harming surrounding tissue. The study, published in Nano Today, was carried out in the laboratory of Peixuan Guo, the William S. Farish Endowed Chair in Nanobiotechnology in the UK College of Pharmacy and the UK Markey Cancer Center, in collaboration with Dr. Mark Evers, director of the UK Markey Cancer Center.

36. Alumni Association's Multicultural Thanksgiving

For the 7th year, the UK Alumni Association sponsored a Thanksgiving Day meal for the university's international and multicultural students and their families. Vice President for Institutional Diversity Judy "J.J." Jackson represented the administration for a traditional Thanksgiving Day feast prepared and served by UK Dining Services. In keeping with the long-held tradition, there wasn't an empty seat in the Student Center Grand Ballroom.

37. VIP Rocks the Green Dot

Since students first arrived this fall, staff and volunteers for the Violence Intervention and Prevention (VIP) Center have conducted numerous Green Dot training sessions across campus. They paused to celebrate their achievements for the Green Dot initiative with the Center's 4th annual Rock the Dot Variety Show on November 15. UK's Green Dot initiative is built on the premise that a safe campus is everyone's responsibility.

38. Staff Senate Celebrates 10 Years

The UK Staff Senate is celebrating its 10th anniversary now through 2013 with a series of monthly events in recognition of the senate's achievements. Launched in July 2002 as the official representative body of the university's staff, the mission of the Staff Senate is to strive to open lines of communication among all segments of the staff, as well as between staff, faculty and students and to act as a mechanism to disseminate information to the staff, passing information from staff to other members of the university community.

39. Just Don't Call Him Kitty

The statue of a prowling wildcat that graces the area across the Avenue of Champions from Memorial Coliseum known as the Wildcat Alumni Plaza has a name now. After a campus-wide search, the statue is now called Bowman, after John Bowman, the visionary whose determination and perseverance assured the creation and future of the University of Kentucky.

40. Women's Forum Connects the Dots

UK women's basketball Head Coach Matthew Mitchell was the introductory keynote speaker at the UK Women's Forum Sixth Annual Conference, "Connect the Dots," on October 26. As it has in recent years, the conference was filled to capacity at nearly every event.

41. Faculty and Staff Achievements

Demetrius Abshire (Nursing) received one of six 2012 Saha Awards for Cardiovascular Research and Education.

Ruth Adams (Photography) exhibited in the first biennial Fotofocus celebration in Cincinnati.

Joseph Baber (Music) is the recipient of an ASCAP Plus Award from the American Society of Composers, Authors and Publishers.

Deborah Borrowdale-Cox (Museum) has been named by the Kentucky Art Education Association as the 2012 Kentucky Art and Museum Educator of the Year.

Anna Brzyski (Art History and Visual Studies) will have her paper "Missing in Action: Digital Video Art and the Internet," published in CIHA (Challenge of the Object / Die Herausforderung des Objekts) Congress proceedings.

Allan Butterfield (Chemistry) was elected a fellow of the Society for Free Radical Biology and Medicine (SFRBM) based on his contributions to the fields of free radical chemistry, redox biology and antioxidants. There are only 27 other fellows of SFRBM.

Ann L. Coker (Public Health) is the recipient of the Wade Hampton Frost Lectureship Award.

Alison Davis (Agricultural Economics) and the Community Economic Development Initiative of Kentucky were awarded \$164,000 by the Appalachian Regional Commission for the evaluation of the ARC's health projects.

Valerie Fuchs (UK Core Creativity) has become the artist-in-residence at ART+FM, ARTXFM.COM.

Alex Grigg (Libraries) was named Special Librarian of the Year by the Kentucky Library Association.

Sharon Hart (UK Core Creativity) participated in the Photography Invitational at Bellarmine University in the McGrath Gallery from October 5-November 4.

Donald W. Helme (Communication) received the Graduate Mentor of the Year award at the annual Kentucky Communication Association (KCA) Conference in September.

Robert Jensen (Art and Visual Studies) presented a paper titled "Classic French Modern" at the international symposium "Kunst ohne Geschichte? Aesthetisch motiviertes Sammeln in Europa und in Amerika," in Winterthur, Switzerland.

Darren Johnson (Orthopaedic Surgery and Sports Medicine) has been named the 2013 Southeastern Conference Team Physician of the Year.

Douglass Kalika (Chemical and Materials Engineering, Center of Membrane Sciences) was elected a fellow of the American Institute of Chemical Engineers.

Dr. Ahmad Kutkut (Dentistry) published an article in Clinical Implant Dental Related Research Journal.

Debra Moser, (Nursing) will serve as a multi-principal investigator with Dr. Greg Jicha of the UK Sanders-Brown Center on Aging on their project, "Early Detection and Prevention of Mild Cognitive Impairment Due to Cerebrovascular Disease."

Lee Meyer (Agricultural Economics) and Jennifer Hunter (Human Environmental Sciences) were awarded \$541,000 over three years from the National Institute of Food and Agriculture for "KyFarmStart II: A Whole Farm Management Education Program for Beginning Farmers."

Luke A. Moe (Plant and Soil Sciences) is characterizing the impact of bacterial contaminants and bacterial community structure on bioethanol fermentations across the U.S., funded by a \$44,000 grant from the Kentucky Science and Technology Co., Inc.

David Nash (Dentistry) published an article in Community Dentistry and Oral Epidemiology titled "Envisioning an Oral Healthcare Workforce for the Future."

Carl Nathe (Public Relations) received the Al Temple Award from the Kentucky Broadcasters Association.

Ebony Patterson (Art Studio) is featured in an essay on the artist by Krista Thompson, of Northwestern University, in the book, "Caribbean: Art at the Crossroads of the World."

Arturo Sandoval (Fiber Art) had a solo show at the Arla Ray Tyson Gallery at the College of Visual and Performing Arts, University of Mary Hardin-Baylor, Belton, Texas, and multiple group exhibitions including the International Quilt Festival-Masters: Art Quilts, Vol. 2, SAQ, in Paducah, Ky.

Deirdre Scaggs (Libraries) has been appointed to the State Historical Records Advisory Board.

Jason Scroggin and Akari Takebayashi (Architecture) were awarded Three A'Design Awards.

Rachel Shane (Arts Administration) served as the guest editor for the premiere Journal of Arts Management, Law and Society for the Fall 2012 edition. Additionally, she wrote an introduction for the edition titled, "Creating a Vision for the Future of Arts and Culture."

David Sogin (Music Education and Music Therapy) is the recipient of the 2013 String Researcher Award presented by the American String Teachers Association.

Hunter Stamps (Ceramic Art) has his ceramic sculptures on exhibit in the Zhejiang Kiln Gallery, 2012 International Ceramic Art Invitation, Hangzhou City, Zhejiang Province, People's Republic of China.

Dima Strakovsky (Intermedia) had a two-person show with Tiffany Carbonneau at the Imaginarium Gallery in Lodz, Poland, which opened in October.

George Szekely (Art Education) served as a visiting artist and lecturer at the University of Illinois, Champaign (April 6-8) at the convention of Illinois art educators, where he was keynote speaker and conducted workshops.

Michael Tick (Fine Arts) was elected to the nominating committee of the board of directors of the International Council of Fine Arts Deans.

Robin Vanderpool (Public Health) has been selected as a scholar in the National Institute of Health-supported Building Interdisciplinary Research Careers in Women's Health program.

David A. Van Sanford (Plant and Soil Sciences) is working with the U.S. Wheat and Barley Scab Initiative, funded by USDA Agricultural Research Service.

Michael Speaks (Dean, College of Design) was a presenter at the Reinventing Higher Education International Conference that met on innovation and reinventing higher education at the IE University in Madrid this October.

Monica Blackmun Visonà (Art History and Visual Studies) had her article "Agent Provocateur; the African Origin and American Life of a Statue from Côte d'Ivoire" published in March in Art Bulletin, the leading American art history journal.

James Wade (UK Core Creativity) is featured in the book "Surveying Landscape," by Brian Thompson & James A Wade Jr.

Timothy Woods (Agricultural Economics) and the MarketReady team won the Farm Credit Services 2012 Innovation Award for the MarketReady extension program, chosen for best practices in education, research, and outreach.

Elaine Wittenberg-Lyles (Communication) received an Interprofessional Education Collaborative Development Award from MedEdPortal and the American Association of Medical Colleges, supported by funding from the Josiah Macy Jr. Foundation.

Stephen W. Wyatt (Public Health) has been selected to serve as President of the Council on Education for Public Health for a fourth term.

Juan Yepes, Larry Cunningham and John Lindroth (Dentistry) co-authored, along with Dr. M. Khalaf, an article titled "Chronic focal Langerhans cell histiocytosis of the left mandibular condyle presenting as limited jaw opening: A case report in Ear Nose Throat."

42. Alumni Awards and Achievements

Kristen Kiernicki (Communication) earned Top Graduate Student Paper designation at the annual Kentucky Communication Association (KCA) Conference in September.

Janna McMahan (Journalism) will release her fourth novel, "Anonymity," on January 1.

Scott Veazey was given the College of Design's Alumnus of the Year Award.

Stephen Wyatt (Dentistry) received the Distinguished Alumni Award.

43. Student Achievements

Holly Flack and Reginald Smith Jr. (Opera) have advanced to the regional round of competition for the Metropolitan (Met) Opera National Council Auditions.

Daniel Haun (Art Studio) was selected to be in "On the Sofa: State of Fine Art," a statewide exhibition of visual art by undergraduate students.

Xiaojian Li (Pharmaceutical Sciences) was awarded the S. Elizabeth Helton Travel Award, which allowed him to present his research at two international conferences.

Xiaoyin Li and Brandon Spencer (Architecture) had their proposals featured at the 2012 IdeaFestival pre-conference on water issues in September.

Samantha Meenach (Pharmaceutical Sciences) received the first-place award from the Society of Biomaterials for her presentation at the 2012 Biomaterials Research Day-Regional Meeting.

Rachael Record (Communication) earned Top Graduate Student Paper at the annual Kentucky Communication Association Conference this September.

Fernando Vago Santana and Robert Bosworth (Music, piano) were named state winners on October 20 at a statewide collegiate competition.

Victoria Smith (Business Management) was awarded a DAAD Scholarship from the German Academic Exchange Service.

PR 2

Office of the President
December 11, 2012

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Assistant Vice President for Campus Services

Thornton, Donald A., Parking & Transportation Director, Campus Services, after 41 years and 9 months of consecutive service under AR 3.1 Section VIII.B, effective 9/30/12.

Executive Vice President for Finance and Administration

Banks, Robert C., Electronic Technician III, Communications Work Orders, after 26 years and 5 months of consecutive service under AR 3.1 Section VIII.B, effective 12/31/12.

Beaty, Jack G., Clerk, Laboratory Animal Resources, Human Resources, after 42 years and 6 months of consecutive service under AR 3.1 Section VIII.B, effective 1/31/13.

Grugin, Cecilia, Staff Support Associate II, Human Resources, after 15 years of consecutive service under AR 3.1 Section VIII.B, effective 12/31/12.

Obray, David, Faculty, Restorative Dentistry, Human Resources, after 38 years and 1 month of consecutive service under AR 3.1 Section VIII.B, effective 7/31/12.

Yates, Peggy F., Account Clerk II, Office of Development, Human Resources, after 13 years and 11 months on consecutive service, and 17 years and 10 months of total service, under AR 3.1 Section VIII.B, effective 12/31/12.

Office of the President

Butler, Frank A., Executive Vice President for Finance and Administration, after 36 years and 11 months of consecutive service under AR 3.1 Section VIII.B, effective 1/1/13.

Vice President for Institutional Diversity

Bailey, Mildred, Student Affairs Officer II, Institutional Diversity, after 18 years and 1 month of consecutive service under AR 3.1 Section VIII.B, effective 9/30/12.

B. EARLY RETIREMENTS

Associate Vice President for Human Resources

Roberta Combs, Compensation Analyst, Human Resources, after 37 years and 3 months of consecutive service under AR 3.1 Section VIII.A, effective 9/4/12

Executive Vice President for Finance and Administration

Ballard, Randall L., Custodial Worker, Human Resources, after 31 years and 2 months of consecutive service, and 32 years and 2 months of total service, under AR 3.1 Section VIII.A, effective 10/31/12.

Welch, Therese A., Laboratory Technician, Human Resources, after 23 years and 8 months of consecutive service under AR 3.1 Section VIII.A, effective 5/1/11.

Vice President for Facilities Management

Sanders, Robin, Administrative Coordinator II, Capital Project Management, after 24 years and 1 month of consecutive service under AR 3.1 Section VIII.A, effective 10/23/12.

Smith, Larry R., Heating & Cooling Plant Supervisor, Physical Plant Department, after 37 years and 6 months of consecutive service under AR 3.1 Section VIII.A, effective 12/3/12.

Vice President for Research

Brown, Eva B., Computer Support Specialist I, Center for Applied Energy Research, after 41 years and 11 months of consecutive service under AR 3.1 Section VIII.A, effective 9/7/12.

Farmer, Bruce A., Engineer Technician Associate, Center for Applied Energy Research, after 22 years and 9 months of consecutive service under AR 3.1 Section VIII.A, effective 9/17/12.

Gonzalez, Rolando E., Engineer Associate I, Center for Applied Energy Research, after 24 years and 1 month of consecutive service under AR 3.1 Section VIII.A, effective 9/17/12.

II. ADMINISTRATIVE ACTION

A. APPOINTMENTS

Athletics Director

Dotts, Roderick, Assistant Coach Track and Field, effective 10/5/12

Sowash, Amy, Assistant Coach Rifle, effective 9/5/12.

Stoops, Mark, Head Football Coach, effective 12/2/12.

Thomas, William, Assistant Coach Track and Field, effective 8/29/12.

Office of the President

Monday, Eric N., Executive Vice President for Finance and Administration, effective 12/28/12.

Vice President for Research

Haughton, Cheryl. Laboratory Animal Veterinarian Senior, Division of Laboratory Animal Resources (DLAR), effective 10/29/12.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Blaydes, Meriwether C., College of Medicine, Professor (voluntary), Internal Medicine, 7/1/12 through 6/30/16.
- Brouse, Sara D., College of Pharmacy, Associate Adjunct Professor, Pharmacy Practice and Science, 9/1/12 through 6/30/13.
- Crick, Larry G., College of Medicine, Associate Professor (voluntary), Pediatrics, 6/1/12 through 6/30/16.
- Donaldson, Elvis S., College of Medicine, Professor (voluntary), Obstetrics and Gynecology, 7/1/12 through 6/30/16.
- Doty, Christopher I., College of Medicine, Associate Professor* (with tenure), Emergency Medicine, effective 10/1/12.
- Frye, Brian L., College of Law, Assistant Professor, 7/1/12 through 6/30/13.
- Hahn, Ellen J., College of Nursing, Professor (with tenure), and joint appointment as Professor (without tenure), Health Behavior, College of Public Health, appointed to the Marcia A. Dake Professorship in Nursing, 10/23/12 through 10/22/17.
- Harrington, Nancy G., College of Communication and Information, Professor (with tenure), Communication, and joint appointment as Professor (without tenure), Health Behavior, College of Public Health, appointed to the Douglas A. and Carole A. Boyd Endowed Professorship in Communication, 7/1/12 through 6/30/15.
- Horohov, David W., College of Agriculture, Professor (with tenure), Veterinary Science, appointed to the Jes E. and Clemintine M. Schlijker Endowed Chair, effective 9/1/12.
- Jenkins, Nicole T., College of Business and Economics, Associate Professor (with tenure), Accountancy, effective 8/16/12.
- Jones, Charles R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 11/1/12 through 6/30/13.
- Martin, Catherine A., College of Medicine, Professor (with tenure), Psychiatry, and joint appointments as Professor (without tenure), Behavioral Science and Professor (without tenure), College of Social Work, appointed to the Dr. Laurie L. Humphries Endowed Chair in Child Psychiatry, effective 7/1/12.
- McConnell, John W., College of Medicine, Adjunct Professor, Internal Medicine, 9/15/12 through 6/30/13.
- Medeiros, Milton de Oliveira, College of Medicine, Clinical Assistant Professor, Neurology, 10/15/12 through 6/30/13.
- Moore, Kathryn L., College of Law, Professor (with tenure), appointed to the Ashland-Spears Distinguished Research Professorship, 7/1/13 through 6/30/18.

Nagarajan, Radhakrishnan, College of Public Health, Associate Professor (with tenure), Biostatistics, effective 9/1/12.

Nair, Manish M., College of Medicine, Clinical Assistant Professor, Psychiatry, 11/1/12 through 6/30/13.

Patterson, John A., College of Medicine, Associate Professor (part-time), Family and Community Medicine, 1/1/13 through 6/30/13.

Pellecchia, Joseph A., College of Medicine, Professor (voluntary), Internal Medicine, 9/1/12 through 6/30/16.

Reed, Deborah B., College of Nursing, Professor (with tenure), and joint appointment as Professor (without tenure), Preventive Medicine and Environmental Health, College of Public Health, appointed to the Good Samaritan Foundation Chair in Community Health Nursing, 10/23/12 through 10/22/17.

Reynolds, Brady A., College of Medicine, Associate Professor (with tenure), Behavioral Science, effective 8/15/12.

Spence, Patric R., College of Communication and Information, Associate Professor (without tenure), Communication, 8/1/12 through 6/30/13.

Vascello, Luis A., College of Medicine, Associate Professor (voluntary), Anesthesiology, 8/1/12 through 6/30/16.

Wagner, Lars M., College of Medicine, Professor* (without tenure), Pediatrics, 8/20/12 through 8/19/13.

B. ADMINISTRATIVE APPOINTMENTS

Brass, Dan J., College of Business and Economics, Professor (with tenure), Management, appointed Chair, Management, 7/1/12 through 6/30/16.

Jordan, Bradford D., College of Business and Economics, Professor (with tenure), Management, appointed Chair, Finance and Quantitative Methods, 7/1/12 through 6/30/16.

Skinner, Steven, College of Business and Economics, Professor (with tenure), Management, appointed Chair, Marketing and Supply Chain, 7/1/12 through 6/30/16.

C. JOINT APPOINTMENTS

Benson, James M., College of Engineering, Associate Professor (with tenure), Industrial Engineering Technology, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/12 through 6/30/13.

Kobraei, Hamid R., College of Engineering, Professor (with tenure), Physics and Engineering Physics, Murray State University, joint appointment as Associate Professor (without tenure), Chemical and Materials Engineering, College of Engineering, 7/1/12 through 6/30/13.

Morris, Andrew J., College of Medicine, Professor (with tenure), Internal Medicine, joint appointment as Professor (without tenure), Molecular and Biomedical Pharmacology, 12/1/12 through 11/30/15.

- Pienkowski, David, College of Engineering, Associate Professor (with tenure), Biomedical Engineering, and joint appointment as Associate Professor (without tenure), Orthopaedic Surgery, College of Medicine, new joint appointment as Associate Professor (without tenure), Internal Medicine, College of Medicine, effective 7/1/12.
- Rudy, David W., College of Medicine, Associate Professor* (with tenure), Internal Medicine, joint appointment as Associate Professor* (without tenure), Molecular and Biomedical Pharmacology, 12/1/12 through 11/30/15.
- Thiede, Theodore D., College of Engineering, Associate Professor (with tenure), Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/12 through 6/30/13.
- Walsh, Sharon L., College of Medicine, Professor (with tenure), Behavioral Science, and joint appointments as Professor (without tenure), Psychiatry and Professor (without tenure), Pharmaceutical Sciences, College of Pharmacy, new joint appointment as Professor (without tenure), Molecular and Biomedical Pharmacology, 12/1/12 through 11/30/15.

D. REAPPOINTMENTS

- Blanton, Jack, Graduate School, Adjunct Professor, Martin School of Public Policy and Administration, 7/1/12 through 6/30/13.
- Crosby, Richard A., College of Public Health, Professor (with tenure), Health Behavior, reappointed to the Development Dimensions International Professorship in Behavioral Health, 7/1/12 through 6/30/16.
- Jones, Mikael, College of Pharmacy, Clinical Associate Professor, Pharmacy Practice and Science, and joint appointment as Clinical Assistant Professor, College of Nursing, 7/1/12 through 6/30/13.
- Mingst, Karen A., Graduate School, Professor (with tenure), Patterson School of Diplomacy and International Commerce, and joint appointment as Professor (without tenure), Political Science, College of Arts and Sciences, reappointed to the Earl F. and Jean M. Lockwood Chair Professor of International Commerce and Entrepreneurship, 7/1/11 through 6/30/14.
- Sprang, Mary V., College of Social Work, Professor (with tenure), and joint appointment as Professor (without tenure), Psychiatry, College of Medicine, reappointed to the Buckhorn Professor in Child Welfare, 1/1/13 through 12/31/15.

E. PROMOTIONS

- Massarweh, Suleiman A., College of Medicine, from Assistant Professor, Internal Medicine, to Clinical Associate Professor, Internal Medicine, 10/1/12 through 6/11/13.

Stowe, Christina J., College of Agriculture, Associate Professor (with tenure), Agricultural Economics, and joint appointment as Assistant Professor, Economics, College of Business and Economics, to Associate Professor (with tenure), Agricultural Economics, and joint appointment as Associate Professor (without tenure), Economics, College of Business and Economics, effective 7/1/12.

Tanaka, Keiko, College of Agriculture, Associate Professor (with tenure), Community and Leadership Development, and joint appointment as Assistant Professor, Sociology, College of Arts and Sciences, to Associate Professor (with tenure), Community and Leadership Development, and joint appointment as Associate Professor (without tenure), Sociology, College of Arts and Sciences, effective 7/1/07.

F. CHANGES

Burkhart, Patricia V., College of Nursing, from Associate Professor (with tenure), to Associate Professor* (with tenure), effective 9/1/12.

Chewning, Kelly G., College of Medicine, Clinical Assistant Professor, Internal Medicine, from 7/1/12 through 6/30/13, to 8/8/12 through 6/30/13.

Childs, Paul, College of Business and Economics, from Associate Professor (with tenure), Management, to Associate Professor (with tenure), Finance and Quantitative Methods, effective 7/1/12.

Hardesty, David, College of Business and Economics, from Professor (with tenure), Management, to Professor (with tenure), Marketing and Supply Chain, effective 7/1/12.

Holsapple, Clyde, College of Business and Economics, from Professor (with tenure), Management, to Professor (with tenure), Finance and Quantitative Methods, effective 7/1/12.

Jordan, Bradford D., College of Business and Economics, from Professor (with tenure), Management, to Professor (with tenure), Finance and Quantitative Methods, effective 7/1/12.

Jordan, Susan, College of Business and Economics, from Associate Professor (with tenure), Management, to Associate Professor (with tenure), Finance and Quantitative Methods, effective 7/1/12.

Kelley, Scott, College of Business and Economics, from Professor (with tenure), Management, to Professor (with tenure), Marketing and Supply Chain, effective 7/1/12.

Lee-Post, Anita, College of Business and Economics, from Associate Professor (with tenure), Management, to Associate Professor (with tenure), Marketing and Supply Chain, effective 7/1/12.

Liu, De, College of Business and Economics, from Associate Professor (with tenure), Management, to Associate Professor (with tenure), Marketing and Supply Chain, effective 7/1/12.

Liu, Mark, College of Business and Economics, from Associate Professor (with tenure), Management, to Associate Professor (with tenure), Finance and Quantitative Methods, effective 7/1/12.

Lofwall, Michelle R., College of Medicine, from Associate Professor (with tenure), Psychiatry, and joint appointment as Associate Professor (without tenure), Behavioral Science, to Associate Professor (with tenure), Behavioral Science, and joint appointment as Associate Professor (without tenure), Psychiatry, effective 10/1/12.

Mullineaux, Donald, College of Business and Economics, from Professor (with tenure), Management, to Professor (with tenure), Finance and Quantitative Methods, effective 7/1/12.

Muralidhar, Krishnamurthy, College of Business and Economics, from Professor (with tenure), Management, to Professor (with tenure), Finance and Quantitative Methods, effective 7/1/12.

Officer, Dennis, College of Business and Economics, from Associate Professor (with tenure), Management, to Associate Professor (with tenure), Finance and Quantitative Methods, effective 7/1/12.

Pakath, Ram, College of Business and Economics, from Professor (with tenure), Management, to Professor (with tenure), Finance and Quantitative Methods, effective 7/1/12.

Skinner, Steven, College of Business and Economics, from Professor (with tenure), Management, to Professor (with tenure), Marketing and Supply Chain, effective 7/1/12.

Sudharshan, Devanathan, College of Business and Economics, from Professor (with tenure), Management, to Professor (with tenure), Marketing and Supply Chain, effective 7/1/12.

Thurairajah, Prem H., College of Medicine, Clinical Assistant Professor, Internal Medicine, from 7/1/12 through 6/30/13, to 8/27/12 through 6/30/13.

G. TERMINAL REAPPOINTMENTS

High, Walter M., College of Medicine, Associate Professor, Physical Medicine and Rehabilitation, and joint appointments as Associate Professor, Neurosurgery and Associate Professor, Psychology, College of Arts and Sciences, 10/16/12 through 10/15/13.

H. RETIREMENTS

Beidleman, James, College of Arts and Sciences, Professor (with tenure), Mathematics, after 46 years and 1 month of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/12.

Bynum, Gus A., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, after 1 year and 6 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/30/12.

Coughlin, Barbara G., College of Agriculture, Staff Support Associate I, Plant Pathology, after 27 years of consecutive service, under AR 3:1, Section VIII.B, effective 1/5/13.

Domek, Richard C., College of Fine Arts, Professor (with tenure), Music, after 38 years and 5 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/12.

Donnelly, Patricia Carol, College of Nursing, Research Associate Senior, after 16 years and 8 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/1/13.

Force, James, College of Arts and Sciences, Professor (with tenure), Philosophy, after 31 years and 10 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/1/13.

Gabbard, Betty, College of Medicine, Coding Assistant, Internal Medicine, after 20 years of consecutive service, under AR 3:1, Section VIII.B, effective 7/15/12.

Gortman, Barbara K., College of Arts and Sciences, Staff Support Associate II, Anthropology Museum, after 21 years and 10 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/4/13.

Nash, Lenore J., Libraries, Library Technician, after 18 years and 8 months of consecutive service, under AR 3:1, Section VIII.B, effective 10/18/12.

Novak, Michael J., College of Dentistry, Professor (with tenure), Oral Health Practice, and joint appointment as Professor (without tenure), Obstetrics and Gynecology, College of Medicine, after 11 years and 3 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/28/12.

Powell, Nancy, Student Affairs, Food Services Manager II, Dining Services, after 22 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/1/13.

Quick, Reita A., College of Medicine, QA Manager/Abstract and Training, Kentucky Community Cancer Program, after 15 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/1/13.

Rast, Diana E., College of Business and Economics, Program Coordinator II, Business and Economics Graduate Center, after 15 years of consecutive service, under AR 3:1, Section VIII.B, effective 8/27/12.

Shropshire, Joan M., Academic Planning, Analytics and Technologies, Human/Computer Interaction Designer, Enterprise Applications Group, after 13 years and 3 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/4/12.

Snead, Nelda F., College of Agriculture, Extension Staff Assistant, Cooperative Extension Service, after 48 years and 2 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/30/12.

Vital, Everett R., Jr., College of Medicine, Nurse Anesthetist, Anesthesiology, after 25 years and 5 months of consecutive service, under AR 3:1, Section VIII.B, effective 10/31/12.

Warren, Betty J., College of Agriculture, Administrative Support Associate I, Cooperative Extension Service, after 27 years and 10 months of consecutive service, under AR 3:1, Section VIII.B, effective 11/16/12.

Witt, William W., College of Agriculture, Professor (with tenure), Plant and Soil Sciences, after 38 years and 7 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/4/13.

I. EARLY RETIREMENTS

Bachi, Paul R., College of Agriculture, Agriculture Research Specialist, Plant Pathology, after 29 years and 11 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/11/13.

Black, Katherine J., Libraries, Librarian I (with tenure), after 26 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/18/13.

Childress, Linda W., College of Agriculture, Extension Staff Assistant, Cooperative Extension Service, after 41 years and 9 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/4/13.

Erny, Edward Keith, College of Agriculture, Research Analyst, Regulatory Services, after 19 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/1/13.

Gouckenour, Richard D., Academic Planning, Analytics and Technologies, Technical Administrator III, Desktop Technical Services, after 14 years and 6 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/25/13.

Harmon, Karen L., College of Business and Economics, Staff Support Associate II, Finance and Quantitative Methods, after 27 years and 2 months of consecutive service, under AR 3:1, Section VIII.A, effective 10/5/12.

Hisle, Cecil, College of Agriculture, Research Farm Technician III, North Farm, after 18 years and 6 months of consecutive service, under AR 3:1, Section VIII.A, effective 9/5/12.

Honican, Sandra F., Student Affairs, Account Clerk II, Residence Life, after 28 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/1/13.

Kingston, Annie M., College of Agriculture, County Extension Agent, Cooperative Extension Service, after 17 years and 6 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/1/13.

Nasser, Munira, College of Medicine, Research Associate, Internal Medicine, after 21 years and 10 months of consecutive service, under AR 3:1, Section VIII.A, effective 9/30/12.

Officer, Dennis, College of Business and Economics, Associate Professor (with tenure), Finance and Quantitative Methods, after 27 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 12/31/12.

Oremus, Ruth A., College of Medicine, Nurse Clinical Research II, Internal Medicine, after 25 years and 6 months of consecutive service, under AR 3:1, Section VIII.A, effective 9/21/12.

Pearce, William L., College of Agriculture, Agriculture Research Specialist, Plant and Soil Sciences, after 30 years of consecutive service, under AR 3:1, Section VIII.A, effective 9/30/12.

Smith, Sam P., College of Agriculture, County Extension Agent, Cooperative Extension Service, after 23 years and 6 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/1/13.

Sparks, Donald W., Academic Planning, Analytics and Technologies, Data Center Analyst Senior, IT Infrastructure Operations Group, after 37 years of consecutive service, under AR 3:1, Section VIII.A, effective 9/30/12.

Thompson, Charlotte A., College of Agriculture, Extension Staff Assistant, Cooperative Extension Service, after 29 years and 11 months of consecutive service, under AR 3:1, Section VIII.A, effective 8/6/12.

Tindle, Kenneth E., Academic Planning, Analytics and Technologies, Electronic Technician I, IT Customer Experience OPS Group, after 26 years and 1 month of consecutive service, under AR 3:1, Section VIII.A, effective 1/11/13.

Toma, Mark, College of Business and Economics, Associate Professor (with tenure), Economics, after 23 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 12/31/12.

Unger, Nanci G., Academic Planning, Analytics and Technologies, Enterprise Applications Group Team Member I, Enterprise Applications Group, after 34 years and 7 months of consecutive service, under AR 3:1, Section VIII.A, effective 11/30/12.

J. POST-RETIREMENT APPOINTMENTS

Bale, Sharon S., College of Agriculture, Instructor (part-time), Horticulture, 8/16/12 through 6/30/13.

Beal, Rayma, Undergraduate Studies, Associate Professor (part-time), Honors Program, 8/16/12 through 5/15/13.

Berres, Peter N., College of Arts and Sciences, Instructor (part-time), Political Science, 8/16/12 through 12/31/12.

Boosalis, Maria G., College of Health Sciences, Associate Professor (part-time), Clinical Sciences, 7/1/12 through 6/30/13.

Brockopp, Dorothy A., College of Nursing, Professor (part-time), 8/1/12 through 6/30/13.

Cantor, Austin H., College of Agriculture, Associate Professor (part-time), Animal and Food Sciences, 1/5/13 through 1/4/14.

Carrigan, Dennis P., College of Communication and Information, Instructor (part-time), Library and Information Science, 7/1/12 through 6/30/13.

Cox, Raymond, College of Arts and Sciences, Associate Professor (part-time), Mathematics, 8/16/12 through 12/31/12.

Cromwell, Gary L., College of Agriculture, Professor (part-time), Animal and Food Sciences, 1/5/13 through 1/4/14.

Davis, Jeanne, College of Agriculture, Program Coordinator (part-time), Cooperative Extension Service, 4/8/13 through 4/7/14.

Gadberry, Shirlene, College of Agriculture, Service/Maintenance (part-time), Cooperative Extension Service, 1/12/13 through 1/11/14.

Hunt, Nancy, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 2/6/13 through 2/5/14.

Koester, David, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 11/7/12 through 11/6/13.

McEllistrem, Marcus T., College of Arts and Sciences, Professor (part-time), Physics and Astronomy, 8/16/12 through 12/31/12.

Moore, Nelda, College of Agriculture, Family and Consumer Sciences Agent (part-time), Cooperative Extension Service, 2/1/13 through 1/31/14.

Moore, Robert S., College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 2/4/13 through 2/3/14.

Phillips, Michael, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 1/10/13 through 1/9/14.

Royster, Wimberly C., College of Arts and Sciences, Professor (part-time), Mathematics, 7/1/12 through 6/30/13.

Smith, Michael, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 1/8/13 through 1/7/14.

Swetnam, Larry, College of Agriculture, Research Analyst (part-time), Biosystems and Agricultural Engineering, 9/1/12 through 6/30/13.

Thom, William O., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 9/1/12 through 9/30/12.

Tilghman, Gary, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 2/4/13 through 2/3/14.

Wagner, George J., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 1/1/13 through 6/30/13.

Wilson, Joseph W., College of Arts and Sciences, Professor (part-time), Chemistry, 8/16/12 through 6/30/13.

K. PHASED RETIREMENT APPOINTMENTS

Case, Donald O., College of Communication and Information, Professor (with tenure), Library and Information Science, 7/1/13 through 6/30/18.

Smyth-Pinney, Julia M., College of Design, Associate Professor (with tenure), Architecture, 1/1/13 through 12/31/17.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Abate, Anne K., College of Communication and Information, Instructor (part-time), Library and Information Science, 1/1/12 through 4/30/12.

Abner, Gerald, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 8/16/11 through 12/31/11.

Adams, James D., College of Medicine, Instructor (voluntary), Family and Community Medicine, 7/1/12 through 6/30/16.

Adkins, Scotty R., College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 12/31/12.

Alley, Rebecca, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Andersen, Robert R., College of Arts and Sciences, Instructor, Military Science and Leadership, 1/23/12 through 12/31/16.

Ashmun, Julie N., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 12/1/12 through 6/30/16.

Atchison, Scott L., College of Fine Arts, Assistant Professor*, Music, 7/1/12 through 6/30/13.

Atkins, Robert R., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 11/12/12 through 6/30/13.

Baker, Stephanie, College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 9/1/12 through 6/30/13.

Baker, William T., College of Medicine, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/12 through 6/30/13.

Balasundaram, Sasikumar, College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/16/12 through 5/15/13.

Barger, Ellen K., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 8/1/12 through 6/30/16.

Basuray, Rita, College of Arts and Sciences, Lecturer (visiting), Dean's Office, 8/16/12 through 6/30/13.

Berger, Erin N., College of Communication and Information, Adjunct Instructor, Communication, 8/1/12 through 6/30/13.

Bernardi, Casandre K., College of Agriculture, Instructor (part-time), Merchandising, Apparel and Textiles, 8/15/12 through 6/30/13.

Bjork, Anne W., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Black, Kaitlin, College of Communication and Information, Adjunct Instructor, Communication, 8/1/12 through 6/30/13.

Bohn, Aimee R., College of Medicine, Assistant Professor (voluntary), Pediatrics, 10/1/12 through 6/30/16.

Bokhari, Syed S., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 8/1/12 through 6/30/16.

Boss, Christopher R., College of Arts and Sciences, Instructor (temporary), English, 8/16/12 through 12/31/12.

Braccia, Dana M., College of Communication and Information, Instructor (part-time), Library and Information Science, 1/1/12 through 4/30/12.

Bradley, Melissa A., College of Arts and Sciences, Instructor (part-time), Chemistry, 6/6/12 through 8/1/12.

Braune, Joan, College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/12 through 12/31/12.

Breeding, Richard, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/12 through 6/30/13.

Bressler, Elizabeth B., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/12 through 12/31/12.

Brewer, Vernon D., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/12 through 6/30/16.

Brown, David B., College of Engineering, Instructor (part-time), Computer Science, 8/15/12 through 12/31/12.

Buntin, William J., College of Communication and Information, Instructor (part-time), Library and Information Science, 1/1/12 through 12/31/12.

Byrd, Brandi P., College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 12/31/12.

Cain, John P., College of Arts and Sciences, Instructor (temporary), Statistics, 8/16/12 through 5/15/13.

Camp, Sarah K., College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 12/31/12.

Cargile, Jill S., College of Arts and Sciences, Lecturer, English, 8/16/12 through 6/30/14.

Carrera, Rogelio L., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 9/1/12 through 6/30/16.

Carrico, Jeffery M., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 9/1/12 through 6/30/13.

Caskey, Paula P., College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 10/1/12 through 6/30/13.

Cesler, David, College of Fine Arts, Instructor (part-time), Theatre, 8/15/12 through 12/31/12.

Chamarthi, SreeValli, College of Medicine, Instructor (voluntary), Family and Community Medicine, 9/1/12 through 6/30/16.

Chamberlain, Catherine E., College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 11/1/12 through 6/30/16.

Chamberlain, Jeffrey D., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/12 through 6/30/16.

Chappell, Rebecca V., College of Communication and Information, Instructor (part-time), Library and Information Science, 1/1/12 through 6/30/12.

Chen, Yue, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/12 through 5/15/13.

Cholewa, Jason M., College of Education, Lecturer, Kinesiology and Health Promotion, 8/16/12 through 5/15/13.

Christianson, Ann, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Cobb, Jessica E., College of Public Health, Instructor (voluntary), Epidemiology, 11/1/12 through 6/30/16.

Combs, Elizabeth L., College of Agriculture, Instructor (part-time), Dietetics and Human Nutrition, 5/1/12 through 6/30/12.

Cook, Peter V., College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 8/15/12 through 6/30/13.

Cotton, Claudia A., College of Agriculture, Assistant Adjunct Professor, Forestry, 1/1/13 through 6/30/13.

Coutain, Bryan, College of Arts and Sciences, Lecturer (visiting), Political Science, 8/16/12 through 6/30/13.

Cox, James, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Crump, Ashlee-Nicole, College of Education, Instructor (part-time), Educational Policy Studies and Evaluation, 7/1/12 through 6/30/13.

Cunningham, Tommy J., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 1/1/13 through 6/30/13.

Davies, Claire, College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/12 through 6/30/13.

Day, Adam C., College of Arts and Sciences, Instructor (temporary), English, 8/16/12 through 12/31/12.

Day, Tricia M., College of Agriculture, Lecturer, Merchandising, Apparel and Textiles, 8/15/12 through 6/30/13.

Debord, Charles, Undergraduate Studies, Instructor (part-time), Philosophy, 8/16/12 through 12/31/12.

DiMartino, Vincent, College of Fine Arts, Instructor (part-time), Music, 8/15/12 through 6/30/13.

Downing, Robin S., College of Health Sciences, Senior Lecturer (voluntary), Rehabilitation Sciences, 11/1/12 through 6/30/16.

Drenkhahn Stephens, Erin, College of Medicine, Instructor (voluntary), Family and Community Medicine, 9/1/12 through 6/30/16.

Dunning, April, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/12 through 6/30/16.

Easley, Elizabeth A., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Eggers, Derek C., College of Arts and Sciences, Adjunct Instructor, Dean's Office, 8/16/12 through 12/31/12.

Embry, Julia, College of Education, Adjunct Instructor, Curriculum and Instruction, 7/1/12 through 6/30/13.

Fennell, Christopher F., College of Medicine, Assistant Professor (voluntary), Psychiatry, 11/1/12 through 6/30/16.

Filkins, Joanne M., College of Fine Arts, Instructor (part-time), Music, 8/15/12 through 12/15/12.

Filosofova, Tatiana, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 8/16/12 through 6/30/13.

Flanagan, Sara, College of Education, Assistant Professor, Early Childhood, Special Education, and Rehabilitation Counseling, 8/16/12 through 6/30/13.

Forsthooff, Kyle, College of Fine Arts, Instructor (part-time), Theatre, 8/15/12 through 12/31/12.

Gardner, Brian M., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 9/1/12 through 6/30/13.

Geurin, Danni L., College of Social Work, Instructor (part-time), 8/15/12 through 6/30/13.

Gilbreath, Richard A., College of Arts and Sciences, Adjunct Instructor, Geography, 8/16/12 through 6/30/14.

Goff, Ben M., College of Agriculture, Assistant Professor, Plant and Soil Sciences, 11/1/12 through 6/30/13.

Gohrband, Catherine L., College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/12 through 6/30/13.

Goodwin, Michael D., College of Medicine, Assistant Professor (part-time), Anesthesiology, 10/12/12 through 6/30/13.

Goss, Clifford R., College of Agriculture, Instructor (part-time), Merchandising, Apparel and Textiles, 8/15/12 through 12/30/12.

Grantz, Daniel A., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 9/1/12 through 6/30/13.

Green, Travis, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 8/15/12 through 6/30/16.

Grogan, Mary, College of Fine Arts, Instructor (part-time), Theatre, 8/15/12 through 6/30/13.

Grubbs, Morris A., Graduate School, Adjunct Instructor, 7/1/12 through 6/30/13.

Guillou, Bertrand J., College of Arts and Sciences, Assistant Professor, Mathematics, 8/16/12 through 6/30/14.

Hahn, Sarah, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Hall, Stephen K., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 10/1/12 through 6/30/16.

Hall-Million, Shannon, College of Nursing, Instructor (part-time), 8/12/12 through 6/30/13.

Hansford, Melissa G., College of Medicine, Assistant Professor (voluntary), Pediatrics, 10/1/12 through 6/30/16.

Harp, Scott B., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 10/1/12 through 6/30/16.

Harris, Natosha E., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Hawkins, Carrie C., College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 10/1/12 through 6/30/16.

Hazelwood, Kristin J., College of Law, Clinical Assistant Professor, 7/1/12 through 6/30/13.

Heinonen, Alayna L., College of Arts and Sciences, Instructor (part-time), English, and joint appointment as Instructor (part-time), History, 8/16/12 through 12/31/12.

Helian, Feifei, College of Arts and Sciences, Instructor (temporary), Modern and Classical Languages, Literatures, and Cultures, 8/16/12 through 5/15/13.

Hellmich, David M., College of Education, Instructor (part-time), Educational Policy Studies and Evaluation, 7/1/12 through 6/30/13.

Helm, David, College of Education, Instructor (part-time), STEM Education, 1/1/12 through 6/30/12.

Helton, William B., College of Medicine, Assistant Professor (voluntary), Otolaryngology - Head and Neck Surgery, 9/1/12 through 6/30/16.

Henry, Brad R., College of Arts and Sciences, Instructor, Military Science and Leadership, 1/23/12 through 12/31/16.

Henson, Robert A., College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 8/1/12 through 6/30/13.

Hill, Raymond E., College of Health Sciences, Assistant Professor (part-time), Clinical Sciences, 9/1/12 through 6/30/13.

Hineman, Jay L., College of Arts and Sciences, Lecturer (visiting), Mathematics, 8/16/12 through 6/30/13.

Hobbs, Julie, College of Fine Arts, Assistant Professor*, Music, 8/16/12 through 6/30/13.

Howard, Alex F., College of Public Health, Lecturer, Health Services Management, 9/1/12 through 6/30/13.

Howard, Mary, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/12 through 6/30/13.

Hudson, Michael, College of Fine Arts, Assistant Professor, Music, 8/16/12 through 6/30/13.

Huellemeier, Robert, College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 10/1/12 through 6/30/16.

Huhn, Thomas M., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 8/1/12 through 6/30/16.

Hull, Timothy, College of Fine Arts, Instructor (part-time), Theatre, 8/15/12 through 12/31/12.

Ison, Lynda L., College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/12 through 6/30/13.

Jennings, Randee D., College of Arts and Sciences, Instructor (temporary), English, 8/16/12 through 12/31/12.

Johnson, Christine, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Karkelanova, Anushka A., College of Arts and Sciences, Instructor (part-time), Statistics, 8/16/12 through 12/31/12.

Kenney, Jamie P., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 8/1/12 through 6/30/16.

Kleven, Robin S., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/11 through 12/31/11.

Kocevski, Dalibor D., College of Arts and Sciences, Assistant Professor, Physics and Astronomy, 8/16/12 through 6/30/14.

Koo, Jayoung, College of Agriculture, Assistant Extension Professor, Landscape Architecture, 9/1/12 through 6/30/13.

Kraft, Diane B., College of Law, Clinical Assistant Professor, 7/1/12 through 6/30/13.

Lawson, Amber P., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 9/1/12 through 6/30/13.

Layland, Debra, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 1/1/12 through 6/30/12.

Leddin, Jamie P., College of Communication and Information, Instructor (part-time), Communication, 8/1/12 through 6/30/13.

Leddin, Patrick, College of Communication and Information, Instructor (part-time), Communication, 8/1/12 through 6/30/13.

Lee, Donna, College of Education, Clinical Assistant Professor, Early Childhood, Special Education, and Rehabilitation Counseling, 8/16/12 through 6/30/13.

Lehman, Gregory A., College of Arts and Sciences, Instructor, Military Science and Leadership, 5/1/12 through 4/30/16.

Linton, Paul J., College of Engineering, Adjunct Instructor, Computer Science, 8/15/12 through 6/30/13.

Littleton, J. Scott, College of Medicine, Assistant Adjunct Professor, Psychiatry, 9/10/12 through 6/30/13.

Maginnis, M. Abbot, College of Engineering, Adjunct Instructor, Mechanical Engineering, 7/1/12 through 6/30/13.

Malkowski, Susan K., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 1/1/12 through 5/15/12.

Massa, F. Christopher, College of Medicine, Assistant Professor (part-time), Anesthesiology, 8/17/12 through 6/30/13.

Matthews, Rene, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/12 through 6/30/13.

McGraw, Andrew W., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/12 through 6/30/13.

McKee, Minnie C., College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/12 through 6/30/13.

Mead, Michael, College of Design, Instructor (part-time), Architecture, 7/1/12 through 6/30/13.

Mehta, Renu, College of Medicine, Instructor (voluntary), Family and Community Medicine, 8/1/12 through 6/30/16.

Mieses, Nermis, College of Fine Arts, Assistant Professor (visiting), Music, 8/16/12 through 6/30/13.

Miller, Jennifer L., College of Fine Arts, Instructor (part-time), Theatre, 8/15/12 through 12/31/12.

Mock, Robert C., College of Arts and Sciences, Adjunct Instructor, Dean's Office, 1/1/12 through 5/15/12.

Moore, Melinda, College of Social Work, Adjunct Instructor, 8/15/12 through 6/30/13.

Moore, Ryan, College of Fine Arts, Instructor (part-time), Music, 8/15/12 through 6/30/13.

Morrill, Michael J., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 10/1/12 through 6/30/16.

Morris, Christopher W., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Muellen, Rebecca, College of Arts and Sciences, Instructor (temporary), English, 8/16/12 through 12/31/12.

Mueller, Chad E., College of Arts and Sciences, Instructor (part-time), Chemistry, 6/6/12 through 8/1/12.

Nardolillo, Catherine, College of Fine Arts, Instructor (part-time), Theatre, 8/15/12 through 12/31/12.

Nelson, Christopher L., College of Medicine, Assistant Professor (voluntary), Anesthesiology, 10/1/12 through 6/30/16.

Newberry, James H., College of Arts and Sciences, Instructor (part-time), Political Science, 8/16/12 through 12/31/12.

Nichols, John, College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 6/7/12 through 8/2/12.

Nickell, Patricia, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/12 through 6/30/13.

O'Bryan, Joseph M., College of Medicine, Instructor (voluntary), Family and Community Medicine, 11/1/12 through 6/30/16.

O'Keefe, Augustine B., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/12 through 12/31/12.

Olson, Amy S., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/12 through 6/30/13.

Owen, Brenda W., College of Agriculture, Instructor (part-time), Family Sciences, 1/1/13 through 6/30/13.

Painter, Travis A., College of Health Sciences, Assistant Professor (part-time), Clinical Sciences, 8/1/12 through 6/30/13.

Patel, Roshan K., College of Medicine, Assistant Professor (voluntary), Pathology and Laboratory Medicine, 9/1/12 through 6/30/16.

Pippin, Zachary C., College of Arts and Sciences, Lecturer, English, 8/16/12 through 6/30/14.

Price, Cleophus, College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/16/12 through 12/31/12.

Riego, Joseph A., College of Medicine, Assistant Professor (voluntary), Pediatrics, 10/1/12 through 6/30/16.

Rintamaa, Margaret, College of Education, Clinical Assistant Professor, Curriculum and Instruction, 8/1/12 through 6/30/13.

Robinson, Gary C., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Rumbley, Erica J., College of Fine Arts, Instructor (part-time), Theatre, 8/15/12 through 12/31/12.

Sarver, Whitney A., College of Arts and Sciences, Lecturer, English, 8/16/12 through 6/30/14.

Savage, Matthew W., College of Communication and Information, Assistant Professor, Communication, 8/1/12 through 6/30/13.

Sayyad, Tariq, College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 10/1/12 through 6/30/16.

Schell, Karen, College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 8/1/12 through 6/30/16.

Schwarze, Steven R., College of Health Sciences, Assistant Professor*, Clinical Sciences, 1/1/13 through 6/30/13.

Sciantarelli, Jennifer A., College of Fine Arts, Instructor (part-time), Theatre, 8/15/12 through 12/15/12.

Seales, Pamela, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/12 through 6/30/13.

Sebastian, Jordan B., College of Agriculture, Instructor (part-time), Landscape Architecture, 8/1/12 through 6/30/13.

Sewell, Steven, College of Fine Arts, Lecturer, Art and Visual Studies, 8/16/12 through 6/30/13.

Sexton, Leslie E., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 9/1/12 through 6/30/16.

Shenoy, Kausalya, College of Arts and Sciences, Lecturer, Biology, 8/16/12 through 6/30/14.

Shepherd, Jonathan D., College of Agriculture, Instructor (part-time), Agricultural Economics, 8/16/12 through 5/15/13.

Shepherd, Walter N., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Simms, Stephanie, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 3/24/09 through 6/30/16.

Sims, Meredith E., College of Fine Arts, Instructor (part-time), Theatre, 8/15/12 through 12/31/12.

Slone, Tracy D., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 8/1/12 through 6/30/16.

Snyder, Eric M., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Spektorov, Anton, College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 8/16/12 through 12/31/12.

Stark, Roxana E., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 8/20/12 through 6/30/13.

Steckler, Rachel, College of Communication and Information, Instructor (part-time), Communication, 8/1/12 through 6/30/13.

Stevenson, J. Erin, College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Stevenson, William F., College of Agriculture, Instructor (part-time), Merchandising, Apparel and Textiles, 8/15/12 through 6/30/13.

Sugimoto, Daisuke, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Sunahori, Fumie, College of Arts and Sciences, Instructor (part-time), Chemistry, 8/16/12 through 12/31/12.

Swenson, Phil A., College of Arts and Sciences, Instructor (temporary), English, 8/16/12 through 12/31/12.

Tackett, Mark A., College of Medicine, Instructor (voluntary), Family and Community Medicine, 9/1/12 through 6/30/16.

Taylor, Kelly, College of Education, Instructor (part-time), STEM Education, 7/1/12 through 6/30/13.

Taylor, Steven A., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/12 through 12/31/12.

Tiser, George M., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/12 through 12/31/12.

Tolliver, Thomas N., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Tolzmann, Don H., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/12 through 6/30/13.

Trivette, Amy J., College of Medicine, Assistant Professor (voluntary), Psychiatry, 10/1/12 through 6/30/16.

Vanderpool, James, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 9/1/12 through 12/31/12.

Verdelle, Angela J., College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 5/15/13.

Wagner, Amanda E., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 8/1/12 through 6/30/16.

Warfield, Sarah A., College of Arts and Sciences, Lecturer, English, 8/16/12 through 6/30/14.

Waters, Andrew D., College of Public Health, Instructor (voluntary), Epidemiology, 11/1/12 through 6/30/16.

Weintraub, Michael N., College of Agriculture, Assistant Adjunct Professor, Plant and Soil Sciences, 8/1/12 through 6/30/13.

Wells, Kara O., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 8/1/12 through 6/30/16.

Whiteman, Michael, College of Communication and Information, Instructor (part-time), Library and Information Science, 6/1/12 through 7/31/12.

Wilburn, Dana F., College of Pharmacy, Instructor (voluntary), Pharmacy Practice and Science, 9/1/12 through 6/30/16.

Williams, Cassandra R., College of Arts and Sciences, Adjunct Instructor, Dean's Office, 8/16/12 through 12/31/12.

Winter, Michael, College of Engineering, Assistant Professor, Mechanical Engineering, 8/1/12 through 6/30/14.

Xiang, Lin, College of Arts and Sciences, Instructor (temporary), Biology, 8/16/12 through 5/15/13.

Yan, Renbin, College of Arts and Sciences, Assistant Professor, Physics and Astronomy, 8/16/12 through 6/30/14.

Ye, Feng, College of Arts and Sciences, Assistant Adjunct Professor, Physics and Astronomy, 5/1/12 through 4/30/14.

Yinger, Olivia, College of Fine Arts, Assistant Professor, Music, 8/16/12 through 6/30/13.

Zeps, Valdis, College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 6/6/12 through 8/1/12.

Zoeller, David J., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/12 through 6/30/16.

B. ADMINISTRATIVE APPOINTMENTS

Albert, Bonnie, College of Medicine, Advanced Practice Provider II, Internal Medicine, effective 10/1/12.

Bachstetter, Adam, College of Medicine, Scientist III, Sanders-Brown Center of Aging, effective 10/1/12.

Flinchum, Andrea J., College of Medicine, from Nurse Infection Control, Epidemiology, to Nurse Infection Control Supervisor, Epidemiology, effective 11/1/12.

Gibson, Joshua G., Academic Planning, Analytics and Technologies, Mobile Portal Workflow Software Developer II, Student Computing Services, effective 10/29/12.

Hazelett, Margaret A., College of Medicine, Nurse Anesthetist, Anesthesiology, effective 9/1/12.

Howard, Patricia B., College of Nursing, Professor (with tenure), appointed Interim Dean, College of Nursing, 12/1/12 through 6/30/13.

Kantor, Mel L., College of Dentistry, Professor* (with tenure), Oral Health Practice, and joint appointment as Professor* (without tenure), Epidemiology, College of Public Health, appointed Interim Chair, Oral Health Practice, 6/5/12 through 12/31/12.

King, Gary, College of Medicine, Practice Manager III, Orthopaedic Surgery, effective 10/23/12.

Miller, Katharine, College of Medicine, Advanced Practice Provider I, Kentucky Clinic South, effective 10/21/12.

Oakley, Cathy N., College of Medicine, Nurse Anesthetist, Anesthesiology, effective 9/1/12.

Ramsay, Robert, College of Business and Economics, Professor (with tenure), Accountancy, appointed Interim Director, Accountancy, 8/1/12 through 6/30/13.

Young, Michele S., College of Medicine, Advanced Practice Provider III, Pediatrics, effective 8/20/12.

C. JOINT APPOINTMENTS

Alhajeri, Abdunnasser A., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Neurosurgery, new joint appointment as Clinical Assistant Professor, Pediatrics, 8/1/12 through 6/30/13.

Fornwalt, Brandon, College of Medicine, Assistant Professor, Pediatrics, and joint appointments as Assistant Professor, Physiology and Assistant Professor, Biomedical Engineering, College of Engineering, new joint appointment as Assistant Professor, Internal Medicine, 9/1/12 through 6/30/13.

Hereford, James M., College of Engineering, Assistant Professor, Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Electrical and Computer Engineering, College of Engineering, 7/1/12 through 6/30/13.

Kercsmar, Sarah E., College of Communication and Information, Lecturer, Communication, and joint appointment as Lecturer, College of Nursing, 7/1/12 through 6/30/13.

Liu, S. Sarah, College of Engineering, Assistant Professor, Science and Mathematics, West Kentucky Community and Technical College, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/12 through 6/30/13.

Rogers, James W., College of Engineering, Assistant Professor, Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/12 through 6/30/13.

Smith, Amanda E., College of Medicine, Instructor (part-time), Internal Medicine, and joint appointment as Instructor (part-time), Pediatrics, 7/7/12 through 6/30/13.

Winkler, Michael A., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Internal Medicine, 8/1/12 through 6/30/13.

D. REAPPOINTMENTS

Ades, Harriet, College of Arts and Sciences, Instructor (part-time), Chemistry, 6/6/12 through 12/31/12.

Adkins, Don, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Allday, Carrie, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/12 through 6/30/13.

Amuri Misako, Fraternal D., College of Arts and Sciences, Instructor (part-time), Political Science, 8/16/12 through 12/31/12.

Andal, Diane N., College of Business and Economics, Instructor (part-time), Management, 8/16/12 through 6/30/13.

Arnold, Elizabeth, College of Fine Arts, Assistant Professor*, Music, 7/1/12 through 6/30/13.

Baker, Michael S., College of Fine Arts, Assistant Professor, Music, 7/1/12 through 6/30/13.

Bartek, James M., College of Arts and Sciences, Instructor (part-time), History, 8/16/12 through 12/31/12.

Beaulieu, Emily A., College of Arts and Sciences, Assistant Professor, Political Science, 7/1/12 through 6/30/14.

Benassi, Matteo, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/12 through 12/31/12.

Benton, Shawn, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Black, Esther P., College of Pharmacy, Assistant Professor, Pharmaceutical Sciences, 10/1/12 through 6/30/13.

Bottge, Karen M., College of Fine Arts, Assistant Professor, Music, 7/1/12 through 6/30/13.

Botts, Aleta G., College of Agriculture, Instructor (part-time), Agricultural Economics, 8/1/12 through 6/30/13.

Brown, Alan V., College of Arts and Sciences, Assistant Professor, Hispanic Studies, 7/1/12 through 6/30/13.

Brown, L. J., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/12 through 6/30/13.

Buffington, Clint, College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 12/31/12.

Burke, William F., College of Arts and Sciences, Adjunct Instructor, Biology, 8/16/12 through 5/15/13.

Campbell, Philip, College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/12 through 6/30/13.

Capps, Timothy T., College of Agriculture, Instructor (part-time), Agricultural Economics, 8/16/12 through 6/30/13.

Caspani, Guido, Undergraduate Studies, Instructor (part-time), Honors Program, 8/16/12 through 5/15/13.

Chaney, Joyce P., College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 12/31/12.

Choi, Namjoo, College of Communication and Information, Assistant Professor, Library and Information Science, 7/1/12 through 6/30/13.

Clark, Amy C., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/12 through 12/31/12.

Clay, Angelique, College of Fine Arts, Assistant Professor*, Music, 7/1/12 through 6/30/13.

Coffman, Wesley B., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Cook, Elaine H., College of Fine Arts, Instructor (part-time), Music, 9/1/12 through 12/31/12.

Corman, Elizabeth B., College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Cox, Penny, Graduate School, Adjunct Instructor, Martin School of Public Policy and Administration, 7/1/12 through 6/30/13.

Crist, Randall W., College of Education, Lecturer, Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Dailey, Raleigh K., College of Fine Arts, Assistant Professor*, Music, 7/1/12 through 6/30/13.

Davis, Douglas Brad, College of Engineering, Assistant Professor, Civil Engineering, 1/1/13 through 12/31/13.

Davis, Gregory, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Deatherage, Paul, College of Fine Arts, Instructor (part-time), Music, 8/15/12 through 6/30/13.

Deen, Diana, College of Fine Arts, Instructor (part-time), Music, 7/1/12 through 6/30/13.

DeVries, Brigid, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Dickes, Robert, College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/12 through 6/30/13.

Dove, Ed, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/12 through 6/30/13.

Dunn, Jamie R., College of Agriculture, Instructor (part-time), Community and Leadership Development, 7/1/12 through 6/30/13.

Durbin, Tina M., College of Arts and Sciences, Lecturer, English, 7/1/12 through 6/30/14.

Effinger, Kathy, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/12 through 12/31/12.

El Majzoub, Nada M., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/12 through 12/31/12.

Elliott, Rachel A., College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 5/15/13.

Ellis, Steven L., College of Arts and Sciences, Adjunct Instructor, Physics and Astronomy, 6/7/12 through 12/31/12.

Epperson, Elizabeth F., College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Ettensohn, Beth M., College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/12 through 6/30/13.

Fernheimer, Janice W., College of Arts and Sciences, Assistant Professor, English, 7/1/12 through 6/30/14.

Fields, Jim, College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 12/31/12.

Fields, Katherine, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/12 through 6/30/13.

Fiscella, Suzanne J., College of Health Sciences, Lecturer, Clinical Sciences, 7/1/12 through 6/30/13.

Flanagan-Jessee, Allison, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/12 through 6/30/13.

Floyd, Daniel G., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/12 through 12/31/12.

Foege, Susan, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/12 through 12/31/12.

French, April N., College of Arts and Sciences, Adjunct Instructor, Chemistry, 7/1/12 through 6/30/13.

Fuchs, Valerie, College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/12 through 6/30/13.

Gilbert, Helga, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/12 through 6/30/13.

Giles, Natasha, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Glixon, Beth, College of Fine Arts, Instructor (part-time), Music, 8/15/12 through 6/30/13.

Gooding, Lori, College of Fine Arts, Assistant Professor, Music, and joint appointment as Assistant Professor, Internal Medicine, College of Medicine, 7/1/12 through 6/30/13.

Goodwin, Belinda R., College of Fine Arts, Assistant Professor, Art and Visual Studies, 7/1/12 through 6/30/13.

Gorrell, Grace G., College of Agriculture, Instructor (part-time), Community and Leadership Development, 8/15/12 through 6/30/13.

Gorton, William T., College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/12 through 6/30/13.

Greer, John, College of Fine Arts, Instructor (part-time), Music, 9/1/12 through 6/30/13.

Greer, Sherri, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/12 through 6/30/13.

Grey, Chrystal Y., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/12 through 12/31/12.

Groth, Jeanette, College of Education, Lecturer, Curriculum and Instruction, 8/16/12 through 6/30/13.

Guarnieri, Karen, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Hack, John-Mark B., College of Agriculture, Instructor (part-time), Community and Leadership Development, 8/1/12 through 5/15/13.

Hall, Meada, College of Education, Adjunct Instructor, Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/12 through 6/30/13.

Hart, Sharon, College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/12 through 6/30/13.

Hays, Allison B., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Headrick, James E., College of Agriculture, Adjunct Instructor, Community and Leadership Development, 8/1/12 through 5/15/13.

Helm, David, College of Education, Instructor (part-time), STEM Education, 7/1/12 through 6/30/13.

Hendricks, Michael T., College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 12/31/12.

Henke, Melissa N., College of Law, Clinical Assistant Professor, 7/1/12 through 6/30/13.

Hennings Yeomans, Dieter, College of Fine Arts, Assistant Professor*, Music, 7/1/12 through 6/30/13.

Henton, Marty, College of Fine Arts, Senior Lecturer, Art and Visual Studies, 7/1/12 through 6/30/13.

Herron, Stacey L., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Holdren, Michael R., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Hood, James L., College of Arts and Sciences, Instructor (part-time), History, 8/16/12 through 12/31/12.

Hudson, Tina, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/12 through 6/30/13.

Johnson, Jill, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Johnson, Miles, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Johnson, Terry L., College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 12/31/12.

Jones, Blake L., College of Social Work, Adjunct Instructor, 7/1/12 through 6/30/13.

Jones, Sonya L., Undergraduate Studies, Instructor (part-time), Honors Program, 8/16/12 through 5/15/13.

Kajita, Yukie, College of Agriculture, Instructor (part-time), Entomology, 1/1/13 through 6/30/13.

Karp, Margaret B., College of Fine Arts, Instructor (part-time), Music, 8/15/12 through 6/30/13.

Kim, Doo Oak, Graduate School, Lecturer, Martin School of Public Policy and Administration, 7/1/12 through 6/30/13.

Kisling, Jeremy P., College of Fine Arts, Instructor (part-time), Theatre, 8/15/12 through 12/31/12.

Kleven, Robin S., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Knauer, Timothy G., College of Arts and Sciences, Adjunct Instructor, Physics and Astronomy, 8/16/12 through 5/15/13.

Kwon, Donna L., College of Fine Arts, Assistant Professor, Music, 7/1/12 through 6/30/13.

Lancaster, Tamela S., College of Nursing, Instructor (part-time), 8/1/12 through 6/30/13.

Land, Travis, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Lander, Deborah R., College of Fine Arts, Assistant Professor*, Music, 7/1/12 through 6/30/13.

Lankster, Florence M., College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Larusso, Lori, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Leedham, Cynthia, College of Arts and Sciences, Adjunct Instructor, Sociology, 8/16/12 through 12/31/12.

Leigh, Phyllis, College of Social Work, Adjunct Instructor, 7/1/12 through 6/30/13.

Lueker, Dustin C., College of Arts and Sciences, Instructor (temporary), Statistics, 8/16/12 through 5/15/13.

MacLean, Alyssa, College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 12/31/12.

Maharjan, Dharma S., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/12 through 12/31/12.

Malkowski, Susan K., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 8/16/12 through 12/31/12.

Mallozzi, Christine, College of Education, Assistant Professor, Curriculum and Instruction, 8/16/12 through 6/30/13.

Manno, Charlotte, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/12 through 6/30/13.

Mansfield, DeeAnn W., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/12 through 12/31/12.

Marani, Jodi, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Marksbury, Tom, College of Arts and Sciences, Senior Lecturer, English, 7/1/12 through 6/30/15.

Martin, Timothy J., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 8/16/12 through 12/31/12.

Martinez, Kathryn B., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Maske, Andrew L., College of Fine Arts, Assistant Professor, Art and Visual Studies, 7/1/12 through 6/30/13.

McFarland, Martha, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

McKinney, Katherine M., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/12 through 6/30/13.

Meadows, Robert S., College of Communication and Information, Instructor (part-time), Communication, 8/1/12 through 6/30/13.

Meegan, William J., College of Arts and Sciences, Instructor (part-time), Psychology, 8/16/12 through 12/31/12.

Michalski, Lennon T., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Modenbach, Jeffrey C., College of Arts and Sciences, Instructor (temporary), Statistics, 8/16/12 through 6/30/13.

Moore, Wilda E., College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 7/1/12 through 6/30/13.

Moosnick, Nora R., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/16/12 through 12/31/12.

Motamedi, Hossein, College of Arts and Sciences, Instructor (part-time), Political Science, 8/16/12 through 12/31/12.

Mundt, Patricia A., College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/12 through 6/30/13.

Nelson, Rebecca, College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/12 through 6/30/13.

Nichols, Justin, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Nicholson, James C., College of Arts and Sciences, Instructor (part-time), History, 8/16/12 through 12/31/12.

Noland, Miles, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Norman, Charlene, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/12 through 12/31/12.

O'Hara, Michael, College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 12/31/12.

Olsen, Karl P., College of Fine Arts, Instructor (part-time), Music, 8/15/12 through 12/31/12.

Osland, Lisa, College of Fine Arts, Instructor (part-time), Music, 8/15/12 through 6/30/13.

Page, Matthew C., College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/12 through 6/30/13.

Parkinson, Joseph W., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/12 through 6/30/13.

Patterson, Ebony G., College of Fine Arts, Assistant Professor, Art and Visual Studies, 7/1/12 through 6/30/13.

Patwardhan, Manjiri A., College of Arts and Sciences, Adjunct Instructor, Chemistry, 8/16/12 through 12/31/12.

Paulose, Jiffin K., College of Arts and Sciences, Instructor (temporary), Biology, 8/16/12 through 5/15/13.

Paynter, Lee Ann, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Pergram, Nakisha L., College of Communication and Information, Instructor (part-time), Communication, 8/1/12 through 6/30/13.

Pfankuch, Jill, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Picklesimer, Rita, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Plummer, Patricia A., College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Pohl, Elisabeth, College of Agriculture, Adjunct Instructor, Community and Leadership Development, 1/1/13 through 6/30/13.

Prats, Judith, College of Arts and Sciences, Senior Lecturer, English, 7/1/12 through 6/30/15.

Preston, Nancy C., College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Proffitt, Mary, College of Education, Clinical Assistant Professor, Educational Leadership Studies, 7/1/12 through 6/30/13.

Quarles, Clinton R., College of Agriculture, Instructor (part-time), Agricultural Economics, 8/16/12 through 6/30/13.

Ratliff, Jamie L., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 9/1/12 through 12/31/12.

Reece, Erik, College of Arts and Sciences, Senior Lecturer, English, 7/1/12 through 6/30/15.

Rice, Christopher S., College of Arts and Sciences, Instructor (part-time), Political Science, 8/16/12 through 12/31/12.

Riley, Jonathan D., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/12 through 12/31/12.

Ripley, Dee, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/12 through 12/31/12.

Ritter, Christina M., College of Fine Arts, Lecturer, Theatre, 7/1/12 through 6/30/13.

Robertson, Vickie M., College of Health Sciences, Instructor (part-time), Clinical Sciences, 7/1/12 through 6/30/13.

Robertson, Walter S., College of Agriculture, Instructor (part-time), Agricultural Economics, 1/1/13 through 6/30/13.

Rolfes, Siobhan B., College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 12/31/12.

Sachs, Leon, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, 1/1/12 through 12/31/12.

Sageser, Vicki, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Sakamoto, Kiyohiko, College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/12 through 12/31/12.

Sama, Michael, College of Agriculture, Adjunct Instructor, Biosystems and Agricultural Engineering, 8/15/12 through 6/30/13.

Schmidt, Jack B., College of Arts and Sciences, Lecturer, Mathematics, 7/1/12 through 6/30/14.

Seabolt, John P., College of Arts and Sciences, Adjunct Instructor, Biology, 8/16/12 through 5/15/13.

Shearer, Boyd L., College of Arts and Sciences, Instructor (part-time), Geography, 8/16/12 through 12/31/12.

Siever, Mark A., College of Design, Instructor (part-time), Architecture, 7/1/12 through 12/31/12.

Silman, Miriam S., College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Simon, Rachel A., College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 12/31/12.

Smith, Brandon C., College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/12 through 6/30/13.

Smith, Lynda, College of Fine Arts, Instructor (part-time), Theatre, 8/15/12 through 12/31/12.

Srinivasan, Madhusudan P., College of Arts and Sciences, Instructor (temporary), Biology, 8/16/12 through 5/15/13.

Stamps, William H., College of Fine Arts, Assistant Professor, Art and Visual Studies, 7/1/12 through 6/30/13.

Stansbury, Joan T., College of Fine Arts, Instructor (part-time), Music, 8/15/12 through 6/30/13.

Stickney, Margaret, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Stribling, Zachary, College of Fine Arts, Lecturer, Theatre, 7/1/12 through 6/30/13.

Subramanian, Seethalakshmi R., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/12 through 12/31/12.

Swanson, Azhar B., College of Arts and Sciences, Adjunct Instructor, Modern and Classical Languages, Literatures, and Cultures, 8/16/12 through 12/31/12.

Swinford, Bill, College of Arts and Sciences, Adjunct Instructor, Political Science, 8/16/12 through 12/31/12.

Taylor, Robert C., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Thiel, Susan, College of Fine Arts, Lecturer, Theatre, 7/1/12 through 6/30/13.

Thomas, Brian N., College of Agriculture, Instructor (part-time), Agricultural Economics, 8/16/12 through 6/30/13.

Tri, Mary, College of Arts and Sciences, Senior Lecturer, English, 7/1/12 through 6/30/15.

Turay II, Gregory, College of Fine Arts, Instructor (part-time), Music, 8/15/12 through 6/30/13.

Tynan, Ronan, College of Fine Arts, Instructor (visiting), Music, 7/1/12 through 6/30/13.

Van Sickels, Mary, College of Arts and Sciences, Instructor (part-time), English, 8/16/12 through 12/31/12.

Vance, Michael, College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Visona, Paolo, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/12 through 12/31/12.

Voskresensky, Anna, College of Arts and Sciences, Adjunct Instructor, Modern and Classical Languages, Literatures, and Cultures, 8/16/12 through 12/31/12.

Walker, Erin F., College of Fine Arts, Lecturer, Music, 7/1/12 through 6/30/13.

Wall, Maryjean, College of Arts and Sciences, Instructor (part-time), History, 8/16/12 through 12/31/12.

Ward Harris, Heather, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/12 through 6/30/13.

Wertzler, Jon F., College of Business and Economics, Instructor (part-time), Management, 8/16/12 through 12/30/12.

Wheeler, Kathleen S., College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/12 through 6/30/13.

Wilson, Virginia C., Graduate School, Assistant Adjunct Professor, Martin School of Public Policy and Administration, 7/1/12 through 6/30/13.

Woods, Dorothy K., Undergraduate Studies, Instructor (part-time), Honors Program, 8/16/12 through 5/15/13.

Wright, Charmaine, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/12 through 6/30/13.

Yearly, Katherine, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

Yu, Ning, College of Communication and Information, Assistant Professor, Library and Information Science, 7/1/12 through 6/30/13.

Zhang, Hong, College of Communication and Information, Assistant Professor, Library and Information Science, 7/1/12 through 6/30/13.

E. PROMOTIONS WITHOUT TENURE

Lewis, Thomas, College of Business and Economics, from Lecturer, Management, to Senior Lecturer, Marketing and Supply Chain, 7/1/12 through 6/30/15.

F. CHANGES

Allen-Bryant, Kacy T., College of Nursing, from Lecturer, to Instructor (part-time), 7/1/12 through 6/30/13.

Barbour, John T., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 8/16/12 through 12/31/12.

Bennett, Stephanie L., College of Education, Lecturer, Kinesiology and Health Promotion, from 7/1/12 through 5/15/13, to 7/1/12 through 6/30/13.

Bonaime, Alice A., College of Business and Economics, from Assistant Professor, Management, to Assistant Professor, Finance and Quantitative Methods, 7/1/12 through 6/30/13.

Briley, Allison H., College of Medicine, from Nurse Clinical, Critical Care Services, to Advanced Practice Provider III, Internal Medicine, effective 11/4/12.

Clifford, Chris P., College of Business and Economics, from Assistant Professor, Management, to Assistant Professor, Finance and Quantitative Methods, 7/1/12 through 6/30/13.

Copeland, Rachel, from College of Medicine, Administrative Staff Officer III, to College of Fine Arts, Dean Assistant/Finance, effective 10/8/12.

Dean, Tereza, College of Business and Economics, from Instructor, Management, 8/16/12 through 6/30/13, to Assistant Professor, Management, 8/16/12 through 6/30/13.

Dunn, Jamie R., College of Agriculture, from Instructor (part-time), Animal and Food Sciences, to Instructor (part-time), Community and Leadership Development, 7/1/11 through 6/30/12.

Ellis, Scott C., College of Business and Economics, from Assistant Professor, Management, to Assistant Professor, Marketing and Supply Chain, 7/1/12 through 6/30/13.

Fenollar, Joaquin, College of Education, Lecturer, Kinesiology and Health Promotion, from 8/16/12 through 5/15/13, to 8/16/12 through 6/30/13.

Garvey, Aaron M., College of Business and Economics, from Instructor, Management, 8/15/12 through 6/30/13, to Assistant Professor, Marketing and Supply Chain, 8/15/12 through 6/30/13.

Glasscock, Rebecca C., College of Arts and Sciences, from Instructor (part-time), Political Science, to Instructor (part-time), Dean's Office, 8/16/12 through 12/31/12.

Gulla, Darrin V., College of Business and Economics, Lecturer, Economics, from 7/1/12 through 6/30/13, to 7/1/12 through 6/30/14.

Hanaoka, Beatriz Y., College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Assistant Professor, Internal Medicine, 11/1/12 through 6/30/13.

Hankins, Kristine W., College of Business and Economics, from Assistant Professor, Management, to Assistant Professor, Finance and Quantitative Methods, 7/1/12 through 6/30/13.

Hardy, Peter A., College of Medicine, from Assistant Research Professor, Anatomy and Neurobiology, and joint appointments as Assistant Research Professor, Radiology and Assistant Research Professor, Biomedical Engineering, College of Engineering, to Assistant Research Professor, Radiology, and joint appointments as Assistant Research Professor, Anatomy and Neurobiology and Assistant Research Professor, Biomedical Engineering, College of Engineering, 7/1/12 through 6/30/13.

Kerns, Bradley, College of Fine Arts, from Lecturer, Music, to Assistant Professor, Music, 8/15/12 through 6/30/13.

Kipp, Lindsay E., College of Education, Lecturer, Kinesiology and Health Promotion, from 8/16/12 through 5/15/14, to 8/16/12 through 6/30/14.

Lear, Tracie, College of Medicine, from Nurse Clinical, Gill Heart Institute, to Advanced Practice Provider I, Internal Medicine, effective 10/7/12.

Marinelli, James M., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 8/16/12 through 12/31/12.

McMurry, Stephen, College of Agriculture, from Agriculture Regulatory Specialist, Regulatory Services, to Regulatory Services Director, Regulatory Services, effective 11/1/12.

Mende, Martin, College of Business and Economics, from Assistant Professor, Management, to Assistant Professor, Marketing and Supply Chain, 7/1/12 through 6/30/13.

Modenbach, Jeffrey C., College of Arts and Sciences, from Lecturer, Statistics, to Instructor (temporary), Statistics, 1/1/12 through 6/30/12.

Mulberry, Rose L., College of Medicine, from Advanced Practice Provider I, Internal Medicine, to Advanced Practice Provider II, Internal Medicine, effective 10/21/12.

Murtha, Brian R., College of Business and Economics, from Assistant Professor, Management, to Assistant Professor, Marketing and Supply Chain, 7/1/12 through 6/30/13.

Rice, Angela Y., College of Medicine, from Clinical Assistant Professor, Family and Community Medicine, to Assistant Professor (part-time), Family and Community Medicine, 11/1/12 through 6/30/13.

Schroot, Lisa M., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 8/16/12 through 12/31/12.

Scott, Maura L., College of Business and Economics, from Assistant Professor, Management, to Assistant Professor, Marketing and Supply Chain, 7/1/12 through 6/30/13.

Shumer, Daniel, College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 8/16/12 through 12/31/12.

Siebenthaler, Jennifer W., College of Business and Economics, Lecturer, Accountancy, from 7/1/12 through 6/30/13, to 7/1/12 through 6/30/14.

Sikora, Frank, College of Agriculture, from Scientist III, Regulatory Services, to Regulatory Services Director, Regulatory Services, effective 11/1/12.

Turley, David P., College of Medicine, from Clinical Assistant Professor, Emergency Medicine, to Assistant Professor (part-time), Emergency Medicine, 7/1/12 through 6/30/13.

Vincent, Leslie H., College of Business and Economics, from Assistant Professor, Management, to Assistant Professor, Marketing and Supply Chain, 7/1/12 through 6/30/13.

Walker, Stephen P., College of Agriculture, Assistant Research Professor, Biosystems and Agricultural Engineering, from 7/1/11 through 6/30/13, to 7/1/11 through 6/30/12.

Webb, Sharon, College of Agriculture, from Scientist III, Regulatory Services, to Regulatory Services Director, Regulatory Services, effective 11/1/12.

Wood, Mark F., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 8/16/12 through 12/31/12.

Yu, Carol B., College of Arts and Sciences, from Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, to Adjunct Instructor, Modern and Classical Languages, Literatures, and Cultures, 8/16/12 through 12/31/12.

G. TERMINAL REAPPOINTMENTS

Bennett, Annie F., College of Social Work, Clinical Instructor, from 7/1/12 through 2/15/13, to 7/1/12 through 6/30/13.

Davis, Rebecca J., College of Business and Economics, Lecturer, Management, 7/1/12 through 6/30/13.

Dudley, Susan J., College of Fine Arts, Lecturer, Music, 7/1/12 through 6/30/13.

Hapke, Jessica H., College of Business and Economics, Lecturer, Marketing and Supply Chain, 7/1/12 through 6/30/13.

Lee, Hui C., College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/12 through 6/30/13.

Lindsay, Tedrin B., College of Fine Arts, Lecturer, Music, 7/1/12 through 6/30/13.

McSwain, Nan, College of Fine Arts, Lecturer, Music, 7/1/12 through 6/30/13.

McVay, Vicki S., College of Fine Arts, Lecturer, Music, 7/1/12 through 6/30/13.

Penn, Stephen T., College of Fine Arts, Lecturer, Music, 7/1/12 through 6/30/13.

Schlackman, Marc S., College of Fine Arts, Lecturer, Music, 7/1/12 through 6/30/13.

Singh, Indrapal N., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 9/1/12 through 8/31/13.

Wade, James, College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/12 through 6/30/13.

Walker, Stephen P., College of Agriculture, Assistant Research Professor, Biosystems and Agricultural Engineering, 7/1/12 through 3/31/13.

H. LEAVES OF ABSENCE

Adams, David, College of Arts and Sciences, Professor (with tenure), Mathematics, Sabbatical leave at half salary, 1/1/13 through 6/30/13.

Bertsch, Paul M., College of Agriculture, Professor (with tenure), Plant and Soil Sciences, Leave without pay, 4/1/13 through 3/31/14.

Bowers, Rebecca C., College of Medicine, Clinical Associate Professor, Emergency Medicine, Leave without pay, 1/1/13 through 6/30/13.

Fiedler, Theodore, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, from Sabbatical leave at two-thirds salary, 7/1/12 through 6/30/13, to Sabbatical leave at two-thirds salary, 1/1/13 through 12/31/13.

Hockersmith, Luci, College of Agriculture, County Extension Agent, Cooperative Extension Service, Study leave at full pay, 9/1/12 through 2/28/13.

Howard, Patricia B., College of Nursing, Professor (with tenure), to rescind Sabbatical leave at full salary, 2/1/13 through 7/31/13.

Reese, Robert J., College of Education, Associate Professor (with tenure), Educational, School, and Counseling Psychology, Sabbatical leave at full salary, 8/16/13 through 12/31/13.

Usher, Ellen L., College of Education, Associate Professor (with tenure), Educational, School, and Counseling Psychology, Sabbatical leave at full salary, 1/1/14 through 5/15/14.

Zhang, Yu-Ming, College of Engineering, Professor (with tenure), Electrical and Computer Engineering, Entrepreneurial Leave, 8/16/12 through 5/15/13.

I. RESIGNATIONS

- Al-Hasan, Majdi N., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 1/14/13.
- Bartee, Rebecca D., College of Medicine, Clinical Assistant Professor, Surgery, effective 9/30/12.
- Carter, Janis, College of Education, Assistant Research Professor, Curriculum and Instruction, effective 6/30/12.
- Chewning, Kelly G., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 12/21/12.
- Collins, Carl C., College of Fine Arts, Assistant Professor*, Music, effective 5/31/12.
- Comeaux, Eddie, College of Education, Assistant Professor, Kinesiology and Health Promotion, effective 7/31/12.
- Cook, Brian, College of Education, Lecturer, Kinesiology and Health Promotion, effective 6/30/12.
- Diaz Guzman Zavala, Enrique, College of Medicine, Clinical Assistant Professor, Internal Medicine, and joint appointment as Clinical Assistant Professor, Surgery, effective 12/31/12.
- Goodlander, Jennifer, College of Fine Arts, Assistant Professor, Theatre, effective 8/31/12.
- Heidary, David K., College of Arts and Sciences, Assistant Research Professor, Chemistry, effective 2/28/12.
- Henningsen, Katie, Libraries, Librarian IV, effective 11/2/12.
- Hering, Thomas M., College of Medicine, Associate Research Professor, Anatomy and Neurobiology, effective 9/30/12.
- Kabengi, Nadine, College of Agriculture, Assistant Research Professor, Plant and Soil Sciences, effective 8/12/12.
- Katz, Debra A., College of Medicine, Professor* (with tenure), Psychiatry, and joint appointment as Professor (without tenure), Neurology, effective 9/30/12.
- Kidwell, Blair, College of Business and Economics, Associate Professor (with tenure), Management, effective 6/30/12.
- Kirschling, Jane M., College of Nursing, Professor (with tenure), and Dean, College of Nursing, effective 12/16/12.
- Kumar, Parveen, College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 1/31/13.
- Laham, James L., College of Medicine, Clinical Associate Professor, Pediatrics, effective 8/31/13.
- Luo, Guangxiang, College of Medicine, Professor (with tenure), Microbiology, Immunology and Molecular Genetics, effective 9/30/12.
- Mathumuju, Kavita R., College of Dentistry, Assistant Professor*, Oral Health Science, from effective 1/31/12, to effective 8/31/11.
- Mullen, Michael D., College of Agriculture, Professor (with tenure), Plant and Soil Sciences, effective 7/31/12.
- Newman, Ronald L., College of Medicine, Clinical Assistant Professor, Anesthesiology, and joint appointments as Clinical Assistant Professor, Physical Medicine and Rehabilitation Sciences, and Clinical Assistant Professor, Rehabilitation Sciences, College of Health Sciences, effective 2/17/13.

Noe, Steven D., College of Medicine, Clinical Assistant Professor, Surgery, effective 9/30/12.

Nyan, Isabella B., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, effective 8/31/12.

Oluwole, Adetokunbo B., Graduate School, Assistant Professor, Martin School for Public Policy and Administration, and joint appointment as Assistant Professor, Health Services Management, College of Public Health, effective 6/30/12.

Palmer, Thomas G., College of Education, Lecturer, Kinesiology and Health Promotion, effective 6/30/12.

Parker-Brown, Kimberly, College of Education, Lecturer, Early Childhood, Special Education, and Rehabilitation Counseling, effective 6/30/12.

Peek, Joe, College of Business and Economics, Professor (with tenure), Management, and joint appointment as Professor (without tenure), Economics, effective 8/15/12.

Petrea, Rodica E., College of Medicine, Clinical Assistant Professor, Neurology, effective 7/20/12.

Ramaiah, Chand, College of Medicine, Clinical Associate Professor, Surgery, effective 1/11/13.

Sands, L. F., College of Public Health, Assistant Research Professor, Epidemiology, and joint appointment as Assistant Research Professor, Health Services Management, effective 8/10/12.

Siegel, Anthony J., College of Medicine, Clinical Associate Professor, Psychiatry, effective 11/30/12.

Thatayatikom, Akaluck, College of Medicine, Clinical Associate Professor, Pediatrics, effective 11/30/12.

Thatayatikom, Sthorn, College of Medicine, Clinical Assistant Professor, Pediatrics, effective 11/30/12.

Thorp, Benjamin, College of Fine Arts, Lecturer, Art and Visual Studies, effective 6/30/12.

Tishchenko, Vladimir G., College of Arts and Sciences, Assistant Research Professor, Physics and Astronomy, effective 6/30/12.

Tucker, Hugh M., College of Medicine, Assistant Research Professor, Pediatrics, effective 6/30/12.

Wallace, Geoffrey P., College of Arts and Sciences, Assistant Professor, Political Science, effective 6/30/12.

Wallace, Sophia J., College of Arts and Sciences, Assistant Professor, Political Science, effective 6/30/12.

Williams, Cassandra R., College of Education, Lecturer, Curriculum and Instruction, effective 6/30/12.

Yaqub, Chanda, College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 11/30/12.

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Almeter, Philip, Pharmacy Business Operations/ UKHC, Pharmacy Services, effective 08/23/2012

Hudnall, Anthony, Network Engineering Technology Manager/UKHC, EVPHA Information Technology, effective 09/10/2012

Al-Attar, Ban, Pharmacist/UKHC, Pharmacy Services, effective 09/23/2012

Street, Stacia, Pharmacist/UKHC, Pharmacy Services, effective 08/26/2012

Estes, Patricia, Informaticist/UKHC, EVPHA Information Technology, effective 09/24/2012

Duckworth, Deborah, Pharmacy Director Assistant/UKHC, Pharmacy Services, effective 10/07/2012

Broach, III, Fred, Network Engineering Technology/UKHC, EVPHA Information Technology, effective 06/04/2012

Parli, Sara, Clinical Staff Pharmacist/ UKHC, Pharmacy Services, effective 07/29/2012

Thompson, Melissa, Pharmacist/ UKHC, Pharmacy Services, effective 08/12/2012

Marnatti, John, Storage Administration Technology Manager/UKHC, Information Technology Services, effective 06/25/2012

B. CHANGES

Fugit, Ann, from Pharmacist/UKHC, Pharmacy Services to Clinical Staff Pharmacist/UKHC, Pharmacy Services, effective 09/23/2012

C. RETIREMENTS

Abercrombie, Sheryl, R., Diagnostic Radiology Admin. Mgr., after 26 years and 3 months of consecutive service, under AR 3:1 Section VIII.A., effective 12/03/2012

Awles, Yvonne, R., Computer Support Specialist IV, after 21 years and 10 months of consecutive service, under AR 3:1 Section VIII.A., effective 9/28/2012

Bailey, Kathryn, D., Nurse Staff, after 17 years and 11 months of consecutive service, under AR 3:1 Section VIII.A., effective 01/02/2013

Burnside, Donna, L., Staff Support Associate II, after 38 years and 0 months of consecutive service, under AR 3:1 Section VIII.A., effective 09/10/2012

Davis, Lorraine, Radiology Technologist Sr./MRI, after 22 years and 8 months of consecutive service, under AR 3:1 Section VIII.A., effective 09/24/2012

Guy, Benita, L., Phlebotomist Lead, after 36 years and 2 months of consecutive service, under AR 3:1 Section VIII.A., effective 12/20/2012

Issacs, Sharon, O., Tumor Registrar, after 33 years and 7 months of consecutive service, under AR 3:1 Section VIII.A., effective 01/18/2013

Kirk, Linda, L., Nurse Clinical, after 32 years and 2 months of consecutive service, under AR 3:1 Section VIII.A., effective 12/15/2012
Lamar, Pamela, Nurse Clinical, after 29 years and 9 months of consecutive service, under AR 3:1 Section VIII.A., effective 09/28/2012
Skees, Rebecca, F., Nurse Clinical, after 30 years and 8 months of consecutive service, under AR 3:1 Section VIII.A., effective 08/30/2012
Taylor, Stefan, M., Computer Support Specialist II, after 25 years and 0 months of consecutive service, under AR 3:1 Section VIII.A., effective 10/23/2012
Berry, Ronald, Pastoral Care Director, after 5 years and 0 months, under AR 3:1 Section VIII.A., effective 06/30/2012
Gilbert, Linda, L., Account Clerk Senior, after 32 years and 6 months of consecutive service, under AR 3:1 Section VIII.A., effective 01/02/2012
Harrison, Carolyn, B., Materials Management Coordinator, after 5 years and 1 month of consecutive service, under AR 3:1 Section VIII.A., effective 08/10/2012
Holmes, Brenda, J., Patient Care Manager, after 25 years and 7 months of consecutive service, under AR 3:1 Section VIII.A., effective 01/17/2013
Short, Betty, Medical Records Clerk, after 10 years and 6 months of consecutive service, under AR 3:1 Section VIII.A., effective 08/07/2012

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Sandy, Katelynn, Information Security Analyst Senior/ UKHC, EVPHA Information Technology, effective 09/24/2012
Watson, Richard, Rehabilitation Services Supervisor/UKHC, Therapeutic Services, effective 08/30/2012
Calulot, Stephen, Client Technology Engineer III/UKHC, EVPHA Information Technology, effective 09/24/2012

B. CHANGES

Thomas, Grant, from Field Service Engineer II/UKHC, EVPHA Information Technology to Field Service Engineer III/UKHC, EVPHA Information Technology, effective 08/12/2012
Meurer, John, from Programmer Systems Senior/UKHC, IT Infrastructure Operations Group to Storage Admin Engineer IV/UKHC, EVPHA Information Technology, effective 09/01/2012
Dudee, Satyander, from Technical Admin II/Clinical/UKHC, EVPHA Information Technology to RIS/PACS Administrator III/UKHC, EVPHA Information Technology, effective 09/09/2012
Redmon, Gregory, from Technical Admin II/Clinical/UKHC, EVPHA Information Technology to RIS/PACS Administrator III/UKHC, EVPHA Information Technology, effective 09/09/2012
Kizer, Craig, from Administrative Staff Officer I/UKHC, Markey Cancer Center to Practice Manager III/UKHC, Markey Cancer Center, effective 09/09/2012

Hampton, Michael, from Technical Project Specialist II/UKHC, EVPHA Information Technology to Structured Cabling Engineer III/UKHC, EVPHA Information Technology, effective 11/04/2012

Overstreet II, William, from Windows Server Engineer I/UKHC, EVPHA Information Technology to Windows Server Engineer III/UKHC, EVPHA Information Technology, effective 10/07/2012

PR 3

Office of the President
December 11, 2012

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATION:
UNIVERSITY ATHLETIC COMMITTEE

Recommendation: that the Board of Trustees approve the attached revision to Governing Regulation (GR), Part II, which was received for preliminary consideration as PR 1 on October 14, 2012. The revision increases the threshold amount the University Athletic Committee must review for capital projects in Athletics from \$400,000 to \$600,000.

Background: When the Athletic Committee of the Board was established in 2011, it was charged with reviewing capital construction projects if the cost is expected to exceed \$400,000. The proposed revision increases this amount to \$600,000 to be consistent with the amount the Board's Finance Committee reviews for capital projects, and also with state laws regarding capital projects that must have legislative authorization.

A revised copy of the relevant section of GR II is attached. Proposed additions are underlined; proposed deletions are lined through.

The University Senate, the Staff Senate, and the Student Government Association have reviewed and support the proposed revision.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____



UNIVERSITY OF KENTUCKY®

Regulations

Governing Regulation, Part II

Responsible Office: Board of Trustees

Effective: ~~Draft~~6/19/2012

Supersedes Version: ~~6/19/2012~~
12/13/2011

Governance of the University of Kentucky

Major Topics

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- B. [Board of Trustees - Membership](#)
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[Appendix I – Petition to Address the Board](#)

A. Introduction

The governance of the University of Kentucky is vested by law in the Board of Trustees (KRS 164.131). Within the limits set by the State Constitution and the federal and state laws, the Board of Trustees is the final authority in all matters affecting the institution and exercises jurisdiction over the institution's financial, educational, and other policies and its relation with the state and federal governments.

These *Governing Regulations* describe the composition, powers, and duties of the Board of Trustees of the University of Kentucky, as defined by Kentucky Revised Statutes, and establish policies and procedures for the performance of its functions. In these *Governing Regulations*, the Board of Trustees delegates certain responsibilities to the President, the University Senate, the Staff Senate, the Student Government Association, the Graduate Faculty, and the faculties of educational units in order to provide for the responsible and efficient administration of the University and the accomplishment of its goals.

As the chief administrative officer of the University, the President is authorized by the Board of Trustees to promulgate the *Administrative Regulations* including the *Human Resources Policies and Procedures* and the *Business Procedures Manual*, to provide interpretation and implementation of these *Governing Regulations*, and of the *Minutes of the Board of Trustees*, and to delineate policies within the sphere of delegated responsibility. Chief administrative officers are authorized to establish unit policies and procedures attendant to their delegated administrative responsibilities.

At an institution-wide level, the University Senate, as a primary educational policy-forming agency of the University, establishes the broad academic policies of the University. Within the limits set by the Board of Trustees and the University Senate, the Graduate Faculty is delegated jurisdiction over programs leading to graduate degrees and has the responsibility to safeguard, promote and assist in the development of research in all fields. Within the limits set by the institution-wide policies of the Board of Trustees, the University Senate, and the Graduate Faculty, the respective faculties exercise the governance role of policy-making responsibility for the instructional, research and service programs of their educational units. The University Senate, the Graduate Faculty, and the faculties of educational units are authorized to issue rules concerning the policy and procedure-making responsibilities that are attendant to their delegated educational policy-making role.

Where appropriate, the rules and regulations appearing in the *Administrative Regulations*, *Human Resources Policy and Procedures*, *Business Procedures Manual*, and *University Senate Rules* should refer to the source or sources in these *Governing Regulations* or *Minutes of the Board of Trustees*.

B. Board of Trustees - Membership

1. [Definition](#)

The Board of Trustees is a body corporate, under the name of Board of Trustees of the University of Kentucky, with the usual corporate powers, and possesses all the immunities, rights, privileges, and franchises usually attaching to the governing bodies of educational institutions. It may receive, hold, and administer, on behalf of the University, subject to the conditions attached, all revenues accruing

* The Council on Postsecondary Education in Kentucky (KRS 164.020) has powers and duties that relate to the governance of the University of Kentucky.

from endowments, appropriations, allotments, grants or bequests, and all types of property. (KRS 164.160)

2. Membership

The Board of Trustees of the University of Kentucky consists of sixteen members appointed by the Governor, two (2) University faculty members who shall have the right to vote on all matters except that of faculty compensation, one (1) University staff employee, and one (1) University student.

(a) Appointed Members

The Governor shall make the appointments so as to reflect proportional representation on the Board of the two leading political parties of the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.131)

The terms of the appointed members shall be for six (6) years and until their successors are appointed and qualified. Three (3) of the appointments shall be graduates of the University and may include one (1) graduate of the institution who may reside outside the Commonwealth; three (3) shall be representative of agricultural interests; and ten (10) shall be other distinguished citizens representative of the learned professions. Each graduate member is appointed by the Governor from a list of three (3) names submitted by the alumni of the University according to a method prescribed by the Board of Trustees, upon recommendation of the UK Alumni Association, pursuant to KRS 164.131(2)(a); KRS 164.140. The prescribed methods are published in the *Minutes of the Meetings of the Board of Trustees* (May 6, 2003).

(b) Elected Members

The number of faculty members, staff employees, and student members elected to the Board of Trustees shall not exceed four (4). (KRS 164.131)

- (i) The two (2) faculty members representing the University shall be members of the faculty of the rank of assistant professor or above, elected by secret ballot by faculty members of the rank of assistant professor or above.

In accordance with KRS 164.131(3), faculty members eligible to vote and eligible to serve as elected members of the Board of Trustees shall be those regular, full-time employees conferred with a faculty title and a rank at the level of assistant professor (or its equivalent, librarian III) or above, and who do not have an administrative assignment at or above the level of department chair, and as otherwise defined in *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*.

The faculty members shall serve for terms of three (3) years and until their successors are elected and qualified. They are eligible for reelection but are ineligible to continue to serve as members of the Board of Trustees if they cease to be members of the faculty. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections.

The authority to develop procedures for the election of faculty members to serve as members of the Board of Trustees, in accordance with the provisions of KRS 164.131(3), is hereby delegated to the University Senate.

- (ii) The staff employee representing the University shall be a regular, full-time staff employee as defined in the *Human Resources Policy and Procedure Administrative Regulation Number 4.0*:

Employee Status, excluding the President, Provost, executive vice presidents, vice presidents, and academic deans. The staff trustee shall represent all University staff employees.

The staff member shall serve a term of three (3) years and until a successor is elected and qualified. The staff member shall be eligible for reelection, but is ineligible to continue to serve as a member of the Board of Trustees if the staff employee ceases to be an employee of the University. Elections to fill vacancies shall be for any unexpired term and shall be held in the same manner as provided for the original election.

The staff trustee shall be elected by secret ballot by the regular, full-time staff employees, including the President, Provost, executive vice presidents, vice presidents and academic deans.

The authority to develop procedures for the election of a staff employee to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(4), is hereby delegated to the Staff Senate.

- (iii) The student member shall be the President of the Student Government Association during the appropriate academic year and may be an out-of-state resident. If the student member does not maintain the position as President or status as a full-time student at any time during that academic year, a special election shall be held to select a full-time student. The authority to develop procedures for the election of a student of the University to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(5), is hereby delegated to the Student Government Association.

(c) Non-voting Members

Pursuant to KRS 164.170 and 164.131(1)(e), the Board of Trustees may from time to time designate persons as nonvoting honorary members of the Board of Trustees, with responsibilities and privileges as it may deem appropriate, except for the power to vote.

(d) Removal of Members

Pursuant to KRS 164.131(1)(d), "Board members may be removed by the Governor for cause, which shall include neglect of duty or malfeasance in office, after being afforded a hearing with counsel before the council and a finding of fact by the council." The "Council" is defined in KRS 164.001(8) as the Council on Postsecondary Education.

3. Officers

The Chair, Vice Chair, Secretary, and Assistant Secretary of the Board of Trustees shall be elected annually at the first fall meeting of the Board of Trustees. (KRS 164.180) The Chair of the Board of Trustees shall be limited to no more than four (4) consecutive terms as Chair. In the absence of the Chair, the Vice Chair presides at meetings of the Board of Trustees and the Executive Committee.

The Secretary keeps the minutes of all meetings, issues notices of meetings, and provides for the publication and distribution of the minutes in accordance with instructions from the Board of Trustees. The Secretary is responsible for the maintenance of an official revised copy of these Governing Regulations and for the publication of revisions. The Assistant Secretary is empowered to perform the duties of the Secretary when the Secretary is not present. The Assistant Secretary need not be a member of the Board of Trustees.

C. Meetings of the Board of Trustees

The Board of Trustees shall meet at least quarterly. Special meetings may be called by the Chair or by any three (3) members upon giving ten (10) days' written notice to each member of the Board of Trustees. The business to be transacted at special meetings shall be specified in the notice of the meeting. All meetings are to be held on the campus of the University unless otherwise specified by a majority vote of the Board of Trustees. A majority of the voting members of the Board of Trustees constitutes a quorum. (KRS 164.170)

The Board of Trustees may adjourn any regular or called meeting to any date that it may set for such adjournment. Any meeting of the Board of Trustees, if a quorum is not present, may be adjourned by the members attending to a time when a quorum shall be present.

The meetings of the Board of Trustees shall be open to the public. The Board of Trustees is required to arrange for a stenographic transcript of each of its meetings to be prepared and filed, to send an agenda for each of the meetings to each member of the Board of Trustees, and to make a copy of the agenda available to the press. (KRS 164.170) For regularly scheduled meetings, the agenda shall be released to the general public at least three (3) full business days prior to the board Meeting. "Business Day" means Monday through Friday, excluding Saturday and Sunday and official University holidays. The Board of Trustees and its committees shall operate in compliance with the provisions of the Open Meetings Law. (KRS 61.800-KRS 61.850)

All necessary expenses incurred by the Trustees in traveling to and from and while attending meetings of the Board of Trustees are designated to be paid out of the funds of the University. (KRS 164.170)

The order of business at meetings of the Board of Trustees normally is as follows:

- Call to Order
- Roll Call
- Reading and Approval of Minutes with Necessary Modifications
- Chair's Report
- Report of the President
- Consideration of President's Recommendations for Action
- Consideration of President's Discussion Items
- Reports of Committees
- Items for Board Discussion
- Other Business
- Adjournment

The most recent version of *Robert's Rules of Order* shall be observed in conducting the business of the Board of Trustees except as these may be modified by regulations adopted by the Board of Trustees.

D. Conflicts of Interest of Board Membership

No employee of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the two (2) faculty members and the one (1) staff employee who are members of the Board of Trustees. (KRS 164.131)

No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (KRS 45A.340(7))

No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.340. (KRS 164.150)

E. Standing and Special Committees

The purpose of standing and special committees is to assist the Board of Trustees in its oversight role by gathering information, discussing, and providing advice on policy proposals prior to their coming before the entire Board of Trustees. Members of the University community and the general public may attend any committee meeting. An individual desiring to address a particular committee or otherwise participate in a committee meeting should contact the committee chair.

1. Standing Committee General Rules

- (a) Unless otherwise provided in this Governing Regulation, membership of standing committees shall include at least five (5) members of the Board of Trustees including the committee chair.
- (b) Unless otherwise provided in this Governing Regulation, committee members shall be appointed by the Chair of the Board of Trustees.
- (c) Unless otherwise provided in this Governing Regulation, the Chair of the Board of Trustees shall select the Chair for each committee from the Trustee members.
- (d) Board of Trustee members may be reappointed to a committee for consecutive terms.
- (e) Any vacancy occurring before the expiration of the term of the appointment shall be filled for the unexpired term.
- (f) A quorum of the Committee members must be present in order to conduct business.

2. Standing Committees

(a) Executive Committee

The Board of Trustees annually elects an Executive Committee of five (5) members that has the powers that the Board of Trustees delegates to it as prescribed in KRS 164.190. This election shall be held at the first fall meeting of the Board of Trustees. Vacancies may be filled at any meeting of the Board of Trustees. The Chair of the Board of Trustees shall be one (1) of the five (5) members and shall also serve as Chair of the Executive Committee. In general, the Executive Committee exercises oversight of the financial and business interests of the University and possesses the same powers as the Board of Trustees during the periods between meetings of the full Board of Trustees.

Meetings may be held as necessary at the call of the Chair. The Secretary of the Board of Trustees is the *ex officio* Secretary of the Executive Committee. The President may attend all meetings.

The Executive Committee is required to submit to the Board of Trustees at each meeting, for the latter's consideration and approval, a complete record of the proceedings of the Executive Committee. The authority of the Board of Trustees to amend the action of the Executive Committee does not extend to the rejection of any valid or authenticated expenditure of money by the Executive Committee (KRS 164.190). The Executive Committee shall serve as a hearing panel in the event of a faculty member, staff employee, or student appeal coming to the Board of Trustees.

The Executive Committee shall serve as the performance review committee for the President. The Executive Committee shall involve the entire Board of Trustees in this evaluation and shall also

solicit input from the executive committees or executive councils of the University Senate, Staff Senate, and Student Government Association.

(b) Academic Affairs Committee

This Committee:

- (i) Reviews recommendations on policy matters pertaining to the academic mission of the University in instruction, research, and public service as well as to policy matters concerning academic freedom, tenure, and shared governance;
- (ii) Reviews academic program proposals from the President to ensure that a) the academic programs are consistent with the University's mission; b) resources are available to achieve academic priorities as set forth in the strategic plan; c) academic programs are appropriate for its student needs; and d) the University has a system in place for assessing the effectiveness of its academic programs;
- (iii) Reviews new program and degree-program closure proposals and changes in the academic units or in the academic organization to ensure these proposals or changes will effectively carry out the academic mission;
- (iv) Reviews the list of candidates for academic degrees approved by the faculty of the University through the University Senate for submission to the Board of Trustees; and
- (v) Provides needed information to the Board of Trustees.

(c) Finance Committee and Audit Subcommittee

This committee ensures the financial stability and long-term economic health of the University by monitoring the financial operations of the University and its affiliated corporations and making appropriate recommendations to the Board of Trustees to ensure achievement of the University's mission. Consistent with KRS 164A.550 through 164A.630, the committee maintains oversight responsibility for the financial management of the University in the areas of accounting, auditing, payroll, purchasing, capital construction, real property, and affiliated corporations. The Committee shall oversee the budgets of the University by reviewing and recommending to the Board of Trustees on the annual operating budgets. The Committee shall ensure that accurate and complete financial records are maintained by reviewing and recommending to the Board of Trustees on annual financial reports and related recommendations from the Audit Subcommittee, interim financial reports, long-term debt obligations, gifts to the University of \$400,000 or more, capital projects of \$600,000 or more, leases over \$200,000 per year, disposition of property, sale of assets, and financial transactions not provided for in the annual operating budget.

The Audit Subcommittee recommends to the Finance Committee on the appointment of the external auditors, receives and reviews the annual reports from the external auditors, and makes recommendations to the Finance Committee concerning these reports.

(d) Human Resources Committee

This committee reviews recommendations to the Board of Trustees regarding policies pertaining to employee benefits, rights, and privileges requiring Board of Trustees approval.

(e) Investment Committee

Under delegation from the Board of Trustees, and consistent with KRS 164A.550 through 164A.630, the Investment Committee is responsible for review and oversight of the endowment investment

programs of the University and its affiliated corporations. These responsibilities include: formulating and reviewing investment policies; appointing, monitoring and evaluating investment managers and consultants; and reviewing and approving plans for the general management of the endowment funds of the University.

In addition to the Trustee members, the Investment Committee may, from time to time, have the Chair of the Board of Trustees appoint, upon recommendation of the University President, non-voting Community Advisory Members to assist it in its functions by providing specialized advice and support. Said Community Advisory Members shall be selected on the basis of their expertise in such areas as investment management and finance. The appointments of Community Advisory members to the Investment Committee shall be for three years and may be reappointed for an unlimited number of three year terms. The maximum number of Community Advisory members authorized at any one time shall be three (3).

The Investment Committee Chair reports to the Board of Trustees after each meeting of the committee on the performance results of endowment investments. Policies of the committee are implemented by the Office of the Treasurer in carrying out the day-to-day operations of the University's endowment funds.

(f) Nominating Committee

This committee:

- (i) Nominates officers of the Board of Trustees and members of the Executive Committee; and
- (ii) Reviews and recommends the appointment of trustees to the boards and committees of the University and its affiliated entities.

(g) Student Affairs Committee

This committee reviews:

- (i) Recommendations regarding revisions of the Code of Student Conduct; and
- (ii) Recommendations concerning the general welfare of students and the adoption of rules, procedures, rights, and responsibilities governing non-academic relationships between the University and its students.

(h) University Athletics Committee

The NCAA requires a member institution's chief executive officer to have ultimate responsibility and final authority for the conduct of the intercollegiate athletics program. In addition, the Southern Association of Colleges and Schools (SACS) requires an institution's chief executive officer to have ultimate responsibility for, and exercise appropriate administrative and fiscal control over, the intercollegiate athletics program.

To assist the President in carrying out this responsibility, the Athletics Committee is responsible for:

- (i) Providing counsel to the President concerning matters or activities of the Athletics Department;
- (ii) Reviewing the Athletics Department's annual budget and audit reports, major expenditures and acquisitions, and construction of facilities if the cost is expected to exceed \$~~400,000~~600,000; and
- (iii) Providing needed information to the Board of Trustees.

The membership of the Committee shall be composed of five (5) voting Trustee members and up to three (3) non-voting Community Advisory members. In addition, the Faculty Athletics Representative shall serve as an *ex officio*, non-voting member. The membership of the Athletics Committee, including the Chair, shall be appointed by the President in consultation with the Chair of the Board of Trustees. The initial Board member appointments to the Athletics Committee shall be staggered terms in the following manner: two members for three years, two members for four years, and one member for five years. Board members appointed thereafter shall serve for three-year terms and may be reappointed.

(i) University Health Care Committee

This Committee serves as a governing body to operate the University Hospitals in accordance with the standards established by The Joint Commission, formerly 'Joint Commission on Accreditation of Health Care Organizations,' and to oversee the University's clinical enterprise. This responsibility includes management oversight for the development of policies, rules, and regulations for the governance of the University Hospitals and clinical enterprise. Policies of the Committee are implemented by the Executive Vice President for Health Affairs in carrying out the day-to-day operations of the University Hospitals and clinical enterprise.

The membership of the Committee shall be composed of five (5) voting Trustee members, seven (7) non-voting Advisory Members, and up to seven (7) non-voting Community Advisory Members.. Each member shall be appointed by the Chair of the Board of Trustees, acting upon recommendation of the President of the University. The initial Board of Trustee member appointments to the University Health Care Committee shall be for staggered terms in the following manner: two members for three years, two members for four years and one member for five years. Board members appointed thereafter shall serve for three-year terms and may be reappointed. The University Health Care Committee may create sub-committees and shall create committee rules to guide their governance consistent with The Joint Commission. (See University Health Care Committee Operating Rules, approved by the Board of Trustees December 7, 2010)

(j) University Relations Committee

This committee:

- (i) Reviews recommendations relating to the economic, social, and public policy environments within which the University operates that directly affect the University; and
- (ii) Reviews policies or policy proposals regarding marketing and development as well as public, governmental, and alumni relations.

3. Special Committees

Special committees may be established and appointed at any time by the Chair of the Board of Trustees and with such charge as the Board of Trustees Chair may determine. Special committees shall carry out their duties as specified and report to the Board of Trustees. Such committees shall function until discharged. Membership shall include trustees and may also include persons who are not Board of Trustees members.

F. Powers and Responsibilities

The powers and responsibilities of the Board of Trustees include the following:

1. Periodically evaluate the institution's progress in implementing its missions, goals, and objectives to conform to the strategic agenda. (KRS 164.131)

2. Make such bylaws, rules, and regulations consistent with KRS Chapter 164 as it deems proper. (KRS 164.180)
3. Establish proper regulations for the governance of the University and the physical training, military or otherwise, of the students. The Board may authorize the suspension and dismissal of students for neglect or violation of the regulations or for other conduct prejudicial to the character and welfare of the University. (KRS 164.200)
4. Determine the colleges, schools, divisions, departments, bureaus, and offices which shall comprise the University within the scope of the Acts of Congress approved July 2, 1862, and Acts supplementary thereto, and the relation which each division shall sustain to each other division and to the whole. It may devise, allot, and arrange the distribution of divisions with the designation appropriate for each, and devise the means required for their effective instruction, administration, and governance. (KRS 164.210)
5. Appoint a President, professors, assistants, tutors, and other personnel and determine the compensation, duties, and official relations of each. In the appointment of presidents, professors, or instructors no preference shall be shown to any religious denomination. The Board is required to provide compensation for all positions created and filled by the Board of Trustees. The Board may provide for employee retirement benefits and such other employee benefits as are related to the respective employments and services furnished. Retirement plans may include, but without limitation, the retirement ages, the benefits of employees including group insurance, annuities, establishment of a trust fund or funds, and, the amounts to be paid or contributed by employees and the amounts to be paid or contributed by the University of Kentucky, and other appropriate terms and provisions with respect thereto. (KRS 164.220)
6. Exercise power over and control of appointments, qualifications, salaries, and compensation payable out of the State Treasury or otherwise, promotions and official relations of all employees of the University of Kentucky, as provided in KRS 164.220, and, subject to any restrictions imposed by general law, the retirement ages and benefits of such employees shall be under the exclusive jurisdiction of the board of trustees of the University of Kentucky, which shall be an independent agency and instrumentality of the Commonwealth. (KRS 164.225)
7. Suspend or remove any of the officers, teachers, professors, or agents that it is authorized to appoint, except that no President, professor, or teacher may be removed except for incompetence, neglect of or refusal to perform duties, or for immoral conduct, and then only after ten (10) days' notice in writing stating the nature of the charges preferred, and after the individual has been given an opportunity to make defense before the Board of Trustees by counsel or otherwise and to introduce testimony which shall be heard and determined by the Board of Trustees. (KRS 164.230)
8. Grant degrees to graduates of the University, prescribe conditions for the award of honorary degrees, and confer such honorary degrees, upon the recommendation of the faculty of the University, as it deems proper. (KRS 164.240)
9. Make a full report to the General Assembly, within the first month of each even-numbered year regular session, of the condition and operation of the University since the date of the previous report, with such recommendations concerning the University as are deemed necessary. (KRS 164.250)
10. Acquire additional lands or other property or material for the purpose of expanding its plant and extending its usefulness. When unable to contract with the owner of land or other property or material necessary for the purposes of the University, it may acquire the same by condemnation proceedings in the manner provided in KRS 416.010 to 416.080. (KRS 164.260)

11. Dispose of real estate held by the Commonwealth for the use or benefit of the University or the Kentucky Agricultural Experiment Station as authorized in KRS 164.270 and KRS 45.360.

G. Petitions to Address the Board of Trustees

The purpose of this section is to provide a standard process for members of the University community and the general public to address the Board of Trustees on matters relevant to the University. This process provides for reasonable access to the Board of Trustees, while also preserving the Board's orderly business operations.

The procedures established by this regulation do not supersede *Governing Regulations* or *Administrative Regulations* that specifically permit appeal to, or appearances before, the Board or a Board Committee.

1. Petitions

- (a) An individual desiring to address the Board of Trustees shall submit a written petition to the Chair of the Board of Trustees through the Office of the President at least two (2) full business days prior to a scheduled meeting of the full Board. The petition shall describe the subject matter and the rationale for addressing the Board, and shall be submitted on the form (Petition to Address the Board of Trustees) attached as Appendix I to this regulation.

Petitions are available in the Office of the President, 101 Main Building, Lexington KY 40506-0032, or on-line at <http://www.uky.edu/Trustees/petition.htm>, and may be submitted in hardcopy or electronically. In order to be considered, any petition to address the Board of Trustees shall be submitted in accordance with this regulation and shall contain all required information. The Office of the President is responsible for forwarding to the Board Chair all petitions submitted in accordance with this regulation.

- (b) The Chair, who may consult with the President, shall determine if the subject matter of the petition is relevant to a pending or future agenda item, or deemed essential information necessary for the Board to fulfill its statutory responsibilities. If the Chair determines that the subject is relevant or the information is essential, the Chair shall:
- (i) approve the petition to address the Board and ask that the petitioner be so informed; or
 - (ii) refer the petition to an appropriate committee of the Board and ask that the petitioner be informed of the Committee's meeting time and location.
- (c) The Committee to which a petition is referred shall review the merits of the petition to address the Board and shall take such action as it deems appropriate.
- (d) The list of petitioners requesting to address the Board of Trustees, along with the disposition of each petition, shall be made available immediately prior to each Board meeting at the meeting location.
- (e) The Chair of the Board of Trustees shall provide a report during the full Board meeting on the number of petitions to address the Board received, as well as the disposition of said petitions.
- (f) Prior to acting on an item or issue, the full Board shall hear approved petitioners who are present and prepared to address the Board when called to appear.
- (g) A record shall be kept indicating the petitioner's name, the subject matter, and action taken on each petition to address the Board.

2. Limitations

- (a) The Chair of the Board of Trustees may establish reasonable and appropriate limitations on the number of petitioners who may address the Board at each meeting and the time permitted for each address.
- (b) In the event of a substantial change to an action item, or the addition of an action item to the Board agenda, the Chair may, in his or her discretion, accept a petition(s) received outside the procedures and timelines established by this Governing Regulation.
- (c) The Chair of a Committee may establish reasonable and appropriate limitations on the number of petitioners who may address or participate in a committee meeting and the time permitted for each address.

H. References and Related Materials

KRS: 45A.335, 45A.340, 45.360, 61.800, 61.850, 164.131, 164.140, 164.160, 164.170, 164.180, 164.190, 164.200, 164.210, 164.220, 164.240, 164.250, 164.270, 164A.550, 164A.630, 416.010, 416.080

Revision History

6/11/2002, 9/17/2002, 10/10/2006, 10/16/2007, 6/17/2008, 3/29/2011, 9/13/2011, 12/13/2011, 6/19/2012

For archive versions contact: [Office of Legal Counsel](#)

Appendix I

Petition to Address the Board of Trustees University of Kentucky

Petitions to address the Board may be made by completing and submitting this form to the Office of the President no later than two (2) business days before the start of the meeting (e.g., for a Tuesday, 1:00 p.m. meeting, petitions must be received by Friday at 1:00 p.m.). To ensure the proper recognition of speakers, no change in the original presenter may be made, except possibly as determined by the Board Chair or a Board Committee. For a complete list of guidelines, see GR II, *Governance of the University of Kentucky*.

INFORMATION (Please type or print.)

Contact Information (All fields must be completed)*

Name: _____ Telephone Number: _____

E-Mail: _____ Mailing Address: _____

***YOU MUST PROVIDE A TELEPHONE NUMBER AND EMAIL ADDRESS IN ORDER FOR YOUR REQUEST TO BE CONSIDERED.**

University Relationship

Relationship to the University (please check the most appropriate category):

☐ Student ☐ Faculty ☐ Staff ☐ Alumni ☐ Other (Please specify) _____

Name of Group (if applicable): _____

Topic

Topic on which you wish to speak: _____

Please indicate if you will speak ☐ pro ☐ con or ☐ neutrally on this topic.

Briefly summarize your proposed address to the board: (maximum 1000 characters)

Have you contacted any University units about this topic previously?

Yes ☐ No ☐

If yes, list the units and the action taken by each office: (maximum 300 characters)

The University of Kentucky is committed to providing equal opportunity for participation in all programs, services, and activities. Accommodations for persons with disabilities may be requested by contacting the Office of the President within 24 hours of the meeting. Requests received after this date will be honored whenever possible.

Office of the President
100 Main Building
Lexington, KY 40506
Phone: (859) 257-1704 Fax: (859) 323-2414 E-mail: pway@email.uky.edu

PR 4

Office of the President
December 11, 2012

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATION: UNIVERSITY FACULTY APPOINTMENTS

Recommendation: that the Board approve the attached revision to *Governing Regulation, Part VIII.B*, (GR VIII.B), which was received for preliminary consideration as PR 3 on September 11, 2012. The revision relates to faculty appointments and the appointments based on salary that are submitted to the Board for final action.

Background: The proposed revision of Section B in Governing Regulation VIII permits the president to delegate to the Provost, as the chief academic officer of the University, the authority to take final action on a wide range of faculty personnel matters, and to issue the concluding judgment on those faculty personnel decisions, such as the granting of tenure, that must be sent to the Board of Trustees for final action. Upon appeal, the President has the authority to sustain or reverse the Provost's decision. Any delegation of presidential authority in faculty appointments, reappointments, promotions, and the granting of tenure shall be codified in Administrative Regulation 2:1 (Procedures for Faculty Appointment, Reappointment, Promotion and the Granting of Tenure). This amendment makes the Governing Regulation consistent with AR 2:1, which was vetted and supported by appropriate constituencies, and approved by the president.

Furthermore, the proposed revision of GR VIII.B modifies the language on the initial faculty appointments based on salary that are submitted to the Board of Trustees for final action. Rather than identify a fixed dollar amount (currently \$100,000) as the threshold for such reporting, the revised language establishes a formula for the calculation of that threshold. Relying on a formula has the distinct advantage of permitting a recalibration of the threshold as faculty salaries increase over time without having to propose ongoing revisions to Governing Regulation VIII. The initial formula for calculating that threshold shall be submitted to the Board of Trustees for its review and approval, and any subsequent revision of the formula shall also require final action by the Board of Trustees.

The initial formula reads as follows:

The president shall report to the Board of Trustees any initial faculty salary that exceeds 125% of the rolling three-year average of the starting salary at the equivalent rank and

title series in the educational unit of the faculty appointee.

A revised copy of GR VIII is attached. Proposed additions are underlined; proposed deletions are lined through.

The University Senate, the Interim Provost and the President support these proposed revisions.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____



UNIVERSITY OF KENTUCKY®

Regulations

Governing Regulation, Part VIII

Responsible Office: Board of Trustees

Date Effective: 3/27/2012

Supersedes Version: 6/14/2005

University Appointments

A. Administrative Appointments

1. Regular Appointments

The President is appointed by the Board of Trustees with the advice of a joint committee of the Board of Trustees, University Faculty, staff employees, student body, and alumni. The committee shall consist of six (6) members of the Board of Trustees, at least one (1) of whom shall be from among the three (3) alumni trustees, appointed by the Chair of the Board of Trustees; three (3) regular full-time faculty members, selected by a procedure determined by the University Senate; one (1) regular full-time staff employee appointed by the Chair of the Board of Trustees from a list of three (3) staff employees selected by a procedure determined by the Staff Senate; two (2) full-time students, one (1) undergraduate and one (1) graduate or professional student, appointed by the Chair of the Board of Trustees from a list of three (3) undergraduate students and three (3) graduate or professional students selected by a procedure determined by the Student Government Association; and one (1) member of the alumni appointed by the Chair of the Board of Trustees from a list of three (3) alumni selected by the Board of Directors or the Executive Committee of the University of Kentucky Alumni Association. The committee shall provide opportunity for discussion among representatives of administration, University Faculty, staff employees, student body, alumni groups, and prospective presidential candidates.

The Provost, executive vice presidents, vice presidents, chief administrative officers of educational units (deans, directors, and chairs), and every administrative and professional employee of the University who is to report directly to the President or whose position is at Level 50 or above in the Administrative and Professional Classification System shall be appointed by the Board of Trustees, acting upon recommendation of the President. Except in the cases of those individuals who report directly to the President, a recommendation from an appointee's superior officer shall be obtained prior to recommending an appointment or reappointment by the Board of Trustees. Staff employees, other than those described above may be appointed by the President, Provost, or appropriate executive vice president or vice president. The President shall report all appointments at Levels 47 through 49 in the Administrative and Professional Classification System to the Board of Trustees. The President, Provost, or the appropriate executive vice president or vice president may delegate the power to appoint personnel whose appointments are not made by the Board of Trustees to other appropriate administrative officers. If the Board of Trustees does not accept the recommendation of the President with respect to an appointment, it shall call upon the President to make another recommendation. Likewise, the President may refuse to approve a recommendation, but, in each such case, the President shall ask for a new recommendation from the same or a new search committee. The same principle shall apply at all levels of the administrative organization.

2. Acting Appointments

When vacancies occur in administrative positions other than the presidency, the President or the Provost or the appropriate executive vice president or vice president may appoint acting officers to fill the positions until it is possible to make regular appointments by the established procedure. When the officer is one who does not report directly to the President, the President or the Provost, or an executive vice president or a vice president, as appropriate, shall request a recommendation from that officer's superior. Acting appointments shall be for a maximum term of one (1) year; however, reappointments may be made after a satisfactory review. All appointments of acting officers shall be reported to the Board of Trustees. With the approval of the next higher administrative level, a regular administrative officer may designate a temporary officer to service in an acting capacity for a period not to exceed four (4) months while the regular officer is away from campus on University business or approved leave.

If the officer administers a college, center, school, or department, the Provost also shall request a recommendation on matters of acting appointment or acting reappointment from a committee which includes faculty members from that unit.

In the selection of an acting Provost, the President shall request a recommendation from a committee which includes faculty members and also recommendations from the University Senate Council.

The search processes for an acting provost or chief administrative officers of colleges, schools, departments and centers shall include the participation of staff employees of the unit, and shall provide for the participation of other affected groups as appropriate.

3. Search Committees

Recommendations on the appointment of a Provost or of the chief administrative officers of all educational units of the University shall be made after advice from search committees.

When vacancies in administrative positions are anticipated, search committees shall be appointed sufficiently in advance of the vacancy to avoid the appointment of an acting administrator.

When unanticipated vacancies occur, search committees shall be appointed as soon as the established procedures for appointment can be carried out. The selection of faculty members for membership on search committees for administrative appointments above the level of dean shall be made after consultation with the University Senate Council.

Recommendations of the search committee shall be transmitted to the President through the established administrative channels, along with the recommendation of the administrative officer responsible for the appointment. Recommendations on the appointment or reappointment of a chair of an academic department or division, or for the dean of a college or the director of a school that does not contain departments or divisions, shall be accompanied by written statements from at least the tenured members of the college, school, department or division.

Search committees for deans of colleges shall be appointed by the Provost after consultation with the University Senate Council, and either the faculty or elected faculty council of the college.

Search committees for directors of schools shall be appointed by the deans of the colleges after consultation with the appropriate bodies as these bodies are identified in the rules of the respective school faculty.

Search committees for chairs of academic departments shall be appointed by the deans of the colleges after consultation with (1) the associate dean or director of the school within the college if the department is in such a school; (2) the faculty of the department; and (3) the Dean of the Graduate School if the department is involved in a graduate program.

Search committees for directors of interdisciplinary research centers or institutes or interdisciplinary instructional programs shall be appointed, after consultation with the appropriate bodies, by the Vice President for Research, or other officer to whom administrative responsibility has been delegated.

The search processes for a provost or for chief administrative officers of colleges, schools, departments and centers shall include the participation of staff employees of the unit and shall provide for the participation of other affected groups, as appropriate.

4. Terms of Chairs and Directors

(a) Department Chairs

The term of a department chair's appointment shall be four (4) years, except in the Colleges of Agriculture, Dentistry, Medicine, Nursing, and Pharmacy where it shall be six (6) years.

Ordinarily, a department faculty member will be asked to serve as chair for only one (1) term. A chair may be reappointed, however, when the faculty advisory committee appointed to review the work of the department (AR 1:4) finds that the particular circumstances and needs of the department make such a reappointment desirable. Reappointment beyond the second term may occur under exceptional circumstances when it is deemed to be in the best interests of the University. This practice may vary from discipline to discipline.

(b) Chairs of Interdisciplinary Instruction Programs

Insofar as applicable, the rules for the appointment and reappointment of a department chair shall apply also to the appointment and reappointment of a chair of an interdisciplinary instructional program.

(c) Directors of Multidisciplinary Research Centers and Institutes

The director of a multidisciplinary research center or institute shall be appointed for a term of six (6) years. A director may be reappointed, however, when an ad hoc committee appointed to review and evaluate the center or institute (AR 1:4) finds that the particular circumstances and needs of the center or institute make such a reappointment desirable.

B. Academic Appointments, Reappointments, Promotions, and Granting of Tenure

1. The Board of Trustees, acting upon the recommendation of the President or the President's designee, shall take final action on all appointments, reappointment, and promotions of faculty members to positions which involve:

(a) an actual or equivalent academic rank of associate professor or professor;

(b) tenure; or

(c) an initial salary above a set of monetary thresholds approved by the Board of Trustees.

All appointments and promotions of faculty members to positions which involve (1) an actual or equivalent academic rank of associate professor or professor, (2) tenure, or (3) an initial salary above \$100,000 shall be made by the Board of Trustees, acting upon recommendation of the President.
Appointments and promotions of faculty members to positions which involve an actual or equivalent

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~~academic rank below associate professor and an initial salary not exceeding \$100,000 shall be made by the President who shall report such actions to the Board of Trustees.~~

2. The President, ~~or the President's designee, shall take final action on, may delegate the authority to make~~ appointments, ~~reappointments~~ and promotions that do not require approval by the Board of Trustees. ~~Such final actions shall be reported to the Board of Trustees through the Office of the President.~~

3. The President, following consultation with the University Senate Council, shall establish such review ~~procedures systems~~ as are appropriate to assist the President, or other appropriate administrative officers designated by the President, in making informed judgments concerning ~~academic faculty~~ appointments, reappointments, promotions, and ~~the~~ granting of tenure. ~~Those procedures shall be codified in AR 2:1. Any delegation of presidential authority in faculty appointments, reappointments, promotions, and the granting of tenure shall also be codified in AR 2:1.~~

References and Related Materials

- KRS 164.220, Appointment, salaries and retirement benefits of university personnel
- KRS 164.225, Board's exclusive jurisdiction over appointments, qualifications, compensation, promotions, and retirement programs
- AR 1:4, The Planning, Budgeting, and Assessment Cycle

Revision History

12/11/2001, 6/14/2005, 3/27/2012

For questions, contact: [Office of Legal Counsel](#)

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PR 5

Office of the President
December 11, 2012

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATION: ELIGIBILITY TO VOTE
FOR STAFF REPRESENTATIVE TO THE BOARD OF TRUSTEES

Recommendation: that the Board of Trustees receive and vote to accept for the first reading the attached revision of Governing Regulation (GR) II, relating to elections for the Staff Representative to the Board of Trustees. This change would allow regular staff with a 0.75 or greater full time equivalency (FTE) the ability to vote in the Staff Trustee election.

Background: As the University is adjusting to the new economic trends, many staff employee positions have been adjusted from fulltime FTE 1.00 to a FTE .75. It is in the best interest of the University and the Staff Senate that those employees be able to participate in electing the Staff Representative to the Board of Trustees.

As a housekeeping measure, the GR is also revised to clarify the administrative positions, consistent with KRS164.131(4), who are eligible to serve as Staff Trustee and who are eligible to vote in Staff Trustee elections.

A revised copy of the relevant section of GR II is attached. Proposed additions are underlined; proposed deletions are lined through.

These revisions are supported by the President and the Staff Senate.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____



UNIVERSITY OF
KENTUCKY
Regulations

Governing Regulation, Part II

Responsible Office: Board of Trustees

Effective: 6/19/2012

Supersedes Version: 12/13/2011

Governance of the University of Kentucky

Major Topics

- A. [Introduction](#)
- B. [Board of Trustees - Membership](#)
 - 1. [Definition](#)
 - 2. [Membership](#)
 - (a) [Appointed Members](#)
 - (b) [Elected Members](#)
 - (c) [Non-voting Members](#)
 - (d) [Removal of Members](#)
 - 3. [Officers](#)
- C. [Meetings of the Board of Trustees](#)
- D. [Conflicts of Interest of Board Membership](#)
- E. [Standing and Special Committees](#)
 - 1. [Standing Committee General Rules](#)
 - 2. [Standing Committees](#)
 - (a) [Executive Committee](#)
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 - (c) [Finance Committee and Audit Subcommittee](#)
 - (d) [Human Resources Committee](#)
 - (e) [Investment Committee](#)
 - (f) [Nominating Committee](#)
 - (g) [Student Affairs Committee](#)
 - (h) [University Athletics Committee](#)
 - (i) [University Health Care Committee](#)
 - (j) [University Relations Committee](#)
 - 3. [Special Committees](#)
- F. [Powers and Responsibilities of the Board of Trustees](#)

G. [Petitions to Address the Board of Trustees](#)

H. [References and Related Materials](#)

[Appendix I – Petition to Address the Board](#)

A. Introduction

The governance of the University of Kentucky is vested by law in the Board of Trustees (KRS 164.131). Within the limits set by the State Constitution and the federal and state laws,^{*} the Board of Trustees is the final authority in all matters affecting the institution and exercises jurisdiction over the institution's financial, educational, and other policies and its relation with the state and federal governments.

These *Governing Regulations* describe the composition, powers, and duties of the Board of Trustees of the University of Kentucky, as defined by Kentucky Revised Statutes, and establish policies and procedures for the performance of its functions. In these *Governing Regulations*, the Board of Trustees delegates certain responsibilities to the President, the University Senate, the Staff Senate, the Student Government Association, the Graduate Faculty, and the faculties of educational units in order to provide for the responsible and efficient administration of the University and the accomplishment of its goals.

As the chief administrative officer of the University, the President is authorized by the Board of Trustees to promulgate the *Administrative Regulations* including the *Human Resources Policies and Procedures* and the *Business Procedures Manual*, to provide interpretation and implementation of these *Governing Regulations*, and of the *Minutes of the Board of Trustees*, and to delineate policies within the sphere of delegated responsibility. Chief administrative officers are authorized to establish unit policies and procedures attendant to their delegated administrative responsibilities.

At an institution-wide level, the University Senate, as a primary educational policy-forming agency of the University, establishes the broad academic policies of the University. Within the limits set by the Board of Trustees and the University Senate, the Graduate Faculty is delegated jurisdiction over programs leading to graduate degrees and has the responsibility to safeguard, promote and assist in the development of research in all fields. Within the limits set by the institution-wide policies of the Board of Trustees, the University Senate, and the Graduate Faculty, the respective faculties exercise the governance role of policy-making responsibility for the instructional, research and service programs of their educational units. The University Senate, the Graduate Faculty, and the faculties of educational units are authorized to issue rules concerning the policy and procedure-making responsibilities that are attendant to their delegated educational policy-making role.

Where appropriate, the rules and regulations appearing in the *Administrative Regulations*, *Human Resources Policy and Procedures*, *Business Procedures Manual*, and *University Senate Rules* should refer to the source or sources in these *Governing Regulations* or *Minutes of the Board of Trustees*.

B. Board of Trustees - Membership

1. [Definition](#)

The Board of Trustees is a body corporate, under the name of Board of Trustees of the University of Kentucky, with the usual corporate powers, and possesses all the immunities, rights, privileges, and franchises usually attaching to the governing bodies of educational institutions. It may receive, hold, and administer, on behalf of the University, subject to the conditions attached, all revenues accruing

^{*} The Council on Postsecondary Education in Kentucky (KRS 164.020) has powers and duties that relate to the governance of the University of Kentucky.

from endowments, appropriations, allotments, grants or bequests, and all types of property. (KRS 164.160)

2. Membership

The Board of Trustees of the University of Kentucky consists of sixteen members appointed by the Governor, two (2) University faculty members who shall have the right to vote on all matters except that of faculty compensation, one (1) University staff employee, and one (1) University student.

(a) Appointed Members

The Governor shall make the appointments so as to reflect proportional representation on the Board of the two leading political parties of the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.131)

The terms of the appointed members shall be for six (6) years and until their successors are appointed and qualified. Three (3) of the appointments shall be graduates of the University and may include one (1) graduate of the institution who may reside outside the Commonwealth; three (3) shall be representative of agricultural interests; and ten (10) shall be other distinguished citizens representative of the learned professions. Each graduate member is appointed by the Governor from a list of three (3) names submitted by the alumni of the University according to a method prescribed by the Board of Trustees, upon recommendation of the UK Alumni Association, pursuant to KRS 164.131(2)(a); KRS 164.140. The prescribed methods are published in the *Minutes of the Meetings of the Board of Trustees* (May 6, 2003).

(b) Elected Members

The number of faculty members, staff employees, and student members elected to the Board of Trustees shall not exceed four (4). (KRS 164.131)

- (i) The two (2) faculty members representing the University shall be members of the faculty of the rank of assistant professor or above, elected by secret ballot by faculty members of the rank of assistant professor or above.

In accordance with KRS 164.131(3), faculty members eligible to vote and eligible to serve as elected members of the Board of Trustees shall be those regular, full-time employees conferred with a faculty title and a rank at the level of assistant professor (or its equivalent, librarian III) or above, and who do not have an administrative assignment at or above the level of department chair, and as otherwise defined in *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*.

The faculty members shall serve for terms of three (3) years and until their successors are elected and qualified. They are eligible for reelection but are ineligible to continue to serve as members of the Board of Trustees if they cease to be members of the faculty. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections.

The authority to develop procedures for the election of faculty members to serve as members of the Board of Trustees, in accordance with the provisions of KRS 164.131(3), is hereby delegated to the University Senate.

- (ii) The staff employee representing the University shall be a regular, full-time staff employee as defined in the *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*, excluding the President, Provost, executive vice presidents, vice presidents,

and academic deans, academic department chairpersons, vice provosts, and equivalent positions. The staff trustee shall represent all University staff employees.

The staff member shall serve a term of three (3) years and until a successor is elected and qualified. The staff member shall be eligible for reelection, but is ineligible to continue to serve as a member of the Board of Trustees if the staff employee ceases to be an employee of the University. Elections to fill vacancies shall be for any unexpired term and shall be held in the same manner as provided for the original election.

The staff trustee shall be elected by secret ballot by the regular, full-time staff employees with a 0.75 or greater full time equivalency (FTE) , as defined in the Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status, including the President, Provost, executive vice presidents, vice presidents, and academic deans, academic department chairpersons, vice provosts, and equivalent positions.

The authority to develop procedures for the election of a staff employee to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(4), is hereby delegated to the Staff Senate.

- (iii) The student member shall be the President of the Student Government Association during the appropriate academic year and may be an out-of-state resident. If the student member does not maintain the position as President or status as a full-time student at any time during that academic year, a special election shall be held to select a full-time student. The authority to develop procedures for the election of a student of the University to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(5), is hereby delegated to the Student Government Association.

(c) Non-voting Members

Pursuant to KRS 164.170 and 164.131(1)(e), the Board of Trustees may from time to time designate persons as nonvoting honorary members of the Board of Trustees, with responsibilities and privileges as it may deem appropriate, except for the power to vote.

(d) Removal of Members

Pursuant to KRS 164.131(1)(d), "Board members may be removed by the Governor for cause, which shall include neglect of duty or malfeasance in office, after being afforded a hearing with counsel before the council and a finding of fact by the council." The "Council" is defined in KRS 164.001(8) as the Council on Postsecondary Education.

3. Officers

The Chair, Vice Chair, Secretary, and Assistant Secretary of the Board of Trustees shall be elected annually at the first fall meeting of the Board of Trustees. (KRS 164.180) The Chair of the Board of Trustees shall be limited to no more than four (4) consecutive terms as Chair. In the absence of the Chair, the Vice Chair presides at meetings of the Board of Trustees and the Executive Committee.

The Secretary keeps the minutes of all meetings, issues notices of meetings, and provides for the publication and distribution of the minutes in accordance with instructions from the Board of Trustees. The Secretary is responsible for the maintenance of an official revised copy of these Governing Regulations and for the publication of revisions. The Assistant Secretary is empowered to perform the duties of the Secretary when the Secretary is not present. The Assistant Secretary need not be a member of the Board of Trustees.

C. Meetings of the Board of Trustees

The Board of Trustees shall meet at least quarterly. Special meetings may be called by the Chair or by any three (3) members upon giving ten (10) days' written notice to each member of the Board of Trustees. The business to be transacted at special meetings shall be specified in the notice of the meeting. All meetings are to be held on the campus of the University unless otherwise specified by a majority vote of the Board of Trustees. A majority of the voting members of the Board of Trustees constitutes a quorum. (KRS 164.170)

The Board of Trustees may adjourn any regular or called meeting to any date that it may set for such adjournment. Any meeting of the Board of Trustees, if a quorum is not present, may be adjourned by the members attending to a time when a quorum shall be present.

The meetings of the Board of Trustees shall be open to the public. The Board of Trustees is required to arrange for a stenographic transcript of each of its meetings to be prepared and filed, to send an agenda for each of the meetings to each member of the Board of Trustees, and to make a copy of the agenda available to the press. (KRS 164.170) For regularly scheduled meetings, the agenda shall be released to the general public at least three (3) full business days prior to the board Meeting. "Business Day" means Monday through Friday, excluding Saturday and Sunday and official University holidays. The Board of Trustees and its committees shall operate in compliance with the provisions of the Open Meetings Law. (KRS 61.800-KRS 61.850)

All necessary expenses incurred by the Trustees in traveling to and from and while attending meetings of the Board of Trustees are designated to be paid out of the funds of the University. (KRS 164.170)

The order of business at meetings of the Board of Trustees normally is as follows:

- Call to Order
- Roll Call
- Reading and Approval of Minutes with Necessary Modifications
- Chair's Report
- Report of the President
- Consideration of President's Recommendations for Action
- Consideration of President's Discussion Items
- Reports of Committees
- Items for Board Discussion
- Other Business
- Adjournment

The most recent version of *Robert's Rules of Order* shall be observed in conducting the business of the Board of Trustees except as these may be modified by regulations adopted by the Board of Trustees.

D. Conflicts of Interest of Board Membership

No employee of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the two (2) faculty members and the one (1) staff employee who are members of the Board of Trustees. (KRS 164.131)

No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the

remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (KRS 45A.340(7))

No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.340. (KRS 164.150)

E. Standing and Special Committees

The purpose of standing and special committees is to assist the Board of Trustees in its oversight role by gathering information, discussing, and providing advice on policy proposals prior to their coming before the entire Board of Trustees. Members of the University community and the general public may attend any committee meeting. An individual desiring to address a particular committee or otherwise participate in a committee meeting should contact the committee chair.

1. Standing Committee General Rules

- (a) Unless otherwise provided in this Governing Regulation, membership of standing committees shall include at least five (5) members of the Board of Trustees including the committee chair.
- (b) Unless otherwise provided in this Governing Regulation, committee members shall be appointed by the Chair of the Board of Trustees.
- (c) Unless otherwise provided in this Governing Regulation, the Chair of the Board of Trustees shall select the Chair for each committee from the Trustee members.
- (d) Board of Trustee members may be reappointed to a committee for consecutive terms.
- (e) Any vacancy occurring before the expiration of the term of the appointment shall be filled for the unexpired term.
- (f) A quorum of the Committee members must be present in order to conduct business.

2. Standing Committees

(a) Executive Committee

The Board of Trustees annually elects an Executive Committee of five (5) members that has the powers that the Board of Trustees delegates to it as prescribed in KRS 164.190. This election shall be held at the first fall meeting of the Board of Trustees. Vacancies may be filled at any meeting of the Board of Trustees. The Chair of the Board of Trustees shall be one (1) of the five (5) members and shall also serve as Chair of the Executive Committee. In general, the Executive Committee exercises oversight of the financial and business interests of the University and possesses the same powers as the Board of Trustees during the periods between meetings of the full Board of Trustees.

Meetings may be held as necessary at the call of the Chair. The Secretary of the Board of Trustees is the *ex officio* Secretary of the Executive Committee. The President may attend all meetings.

The Executive Committee is required to submit to the Board of Trustees at each meeting, for the latter's consideration and approval, a complete record of the proceedings of the Executive Committee. The authority of the Board of Trustees to amend the action of the Executive Committee does not extend to the rejection of any valid or authenticated expenditure of money by the Executive Committee (KRS 164.190). The Executive Committee shall serve as a hearing panel in the event of a faculty member, staff employee, or student appeal coming to the Board of Trustees.

The Executive Committee shall serve as the performance review committee for the President. The Executive Committee shall involve the entire Board of Trustees in this evaluation and shall also solicit input from the executive committees or executive councils of the University Senate, Staff Senate, and Student Government Association.

(b) Academic Affairs Committee

This Committee:

- (i) Reviews recommendations on policy matters pertaining to the academic mission of the University in instruction, research, and public service as well as to policy matters concerning academic freedom, tenure, and shared governance;
- (ii) Reviews academic program proposals from the President to ensure that a) the academic programs are consistent with the University's mission; b) resources are available to achieve academic priorities as set forth in the strategic plan; c) academic programs are appropriate for its student needs; and d) the University has a system in place for assessing the effectiveness of its academic programs;
- (iii) Reviews new program and degree-program closure proposals and changes in the academic units or in the academic organization to ensure these proposals or changes will effectively carry out the academic mission;
- (iv) Reviews the list of candidates for academic degrees approved by the faculty of the University through the University Senate for submission to the Board of Trustees; and
- (v) Provides needed information to the Board of Trustees.

(c) Finance Committee and Audit Subcommittee

This committee ensures the financial stability and long-term economic health of the University by monitoring the financial operations of the University and its affiliated corporations and making appropriate recommendations to the Board of Trustees to ensure achievement of the University's mission. Consistent with KRS 164A.550 through 164A.630, the committee maintains oversight responsibility for the financial management of the University in the areas of accounting, auditing, payroll, purchasing, capital construction, real property, and affiliated corporations. The Committee shall oversee the budgets of the University by reviewing and recommending to the Board of Trustees on the annual operating budgets. The Committee shall ensure that accurate and complete financial records are maintained by reviewing and recommending to the Board of Trustees on annual financial reports and related recommendations from the Audit Subcommittee, interim financial reports, long-term debt obligations, gifts to the University of \$400,000 or more, capital projects of \$600,000 or more, leases over \$200,000 per year, disposition of property, sale of assets, and financial transactions not provided for in the annual operating budget.

The Audit Subcommittee recommends to the Finance Committee on the appointment of the external auditors, receives and reviews the annual reports from the external auditors, and makes recommendations to the Finance Committee concerning these reports.

(d) Human Resources Committee

This committee reviews recommendations to the Board of Trustees regarding policies pertaining to employee benefits, rights, and privileges requiring Board of Trustees approval.

(e) Investment Committee

Under delegation from the Board of Trustees, and consistent with KRS 164A.550 through 164A.630, the Investment Committee is responsible for review and oversight of the endowment investment programs of the University and its affiliated corporations. These responsibilities include: formulating and reviewing investment policies; appointing, monitoring and evaluating investment managers and consultants; and reviewing and approving plans for the general management of the endowment funds of the University.

In addition to the Trustee members, the Investment Committee may, from time to time, have the Chair of the Board of Trustees appoint, upon recommendation of the University President, non-voting Community Advisory Members to assist it in its functions by providing specialized advice and support. Said Community Advisory Members shall be selected on the basis of their expertise in such areas as investment management and finance. The appointments of Community Advisory members to the Investment Committee shall be for three years and may be reappointed for an unlimited number of three year terms. The maximum number of Community Advisory members authorized at any one time shall be three (3).

The Investment Committee Chair reports to the Board of Trustees after each meeting of the committee on the performance results of endowment investments. Policies of the committee are implemented by the Office of the Treasurer in carrying out the day-to-day operations of the University's endowment funds.

(f) Nominating Committee

This committee:

- (i) Nominates officers of the Board of Trustees and members of the Executive Committee; and
- (ii) Reviews and recommends the appointment of trustees to the boards and committees of the University and its affiliated entities.

(g) Student Affairs Committee

This committee reviews:

- (i) Recommendations regarding revisions of the Code of Student Conduct; and
- (ii) Recommendations concerning the general welfare of students and the adoption of rules, procedures, rights, and responsibilities governing non-academic relationships between the University and its students.

(h) University Athletics Committee

The NCAA requires a member institution's chief executive officer to have ultimate responsibility and final authority for the conduct of the intercollegiate athletics program. In addition, the Southern Association of Colleges and Schools (SACS) requires an institution's chief executive officer to have ultimate responsibility for, and exercise appropriate administrative and fiscal control over, the intercollegiate athletics program.

To assist the President in carrying out this responsibility, the Athletics Committee is responsible for:

- (i) Providing counsel to the President concerning matters or activities of the Athletics Department;
- (ii) Reviewing the Athletics Department's annual budget and audit reports, major expenditures and acquisitions, and construction of facilities if the cost is expected to exceed \$400,000; and

(iii) Providing needed information to the Board of Trustees.

The membership of the Committee shall be composed of five (5) voting Trustee members and up to three (3) non-voting Community Advisory members. In addition, the Faculty Athletics Representative shall serve as an *ex officio*, non-voting member. The membership of the Athletics Committee, including the Chair, shall be appointed by the President in consultation with the Chair of the Board of Trustees. The initial Board member appointments to the Athletics Committee shall be staggered terms in the following manner: two members for three years, two members for four years, and one member for five years. Board members appointed thereafter shall serve for three-year terms and may be reappointed.

(i) University Health Care Committee

This Committee serves as a governing body to operate the University Hospitals in accordance with the standards established by The Joint Commission, formerly 'Joint Commission on Accreditation of Health Care Organizations,' and to oversee the University's clinical enterprise. This responsibility includes management oversight for the development of policies, rules, and regulations for the governance of the University Hospitals and clinical enterprise. Policies of the Committee are implemented by the Executive Vice President for Health Affairs in carrying out the day-to-day operations of the University Hospitals and clinical enterprise.

The membership of the Committee shall be composed of five (5) voting Trustee members, seven (7) non-voting Advisory Members, and up to seven (7) non-voting Community Advisory Members.. Each member shall be appointed by the Chair of the Board of Trustees, acting upon recommendation of the President of the University. The initial Board of Trustee member appointments to the University Health Care Committee shall be for staggered terms in the following manner: two members for three years, two members for four years and one member for five years. Board members appointed thereafter shall serve for three-year terms and may be reappointed. The University Health Care Committee may create sub-committees and shall create committee rules to guide their governance consistent with The Joint Commission. (See University Health Care Committee Operating Rules, approved by the Board of Trustees December 7, 2010)

(j) University Relations Committee

This committee:

- (i) Reviews recommendations relating to the economic, social, and public policy environments within which the University operates that directly affect the University; and
- (ii) Reviews policies or policy proposals regarding marketing and development as well as public, governmental, and alumni relations.

3. Special Committees

Special committees may be established and appointed at any time by the Chair of the Board of Trustees and with such charge as the Board of Trustees Chair may determine. Special committees shall carry out their duties as specified and report to the Board of Trustees. Such committees shall function until discharged. Membership shall include trustees and may also include persons who are not Board of Trustees members.

F. Powers and Responsibilities

The powers and responsibilities of the Board of Trustees include the following:

1. Periodically evaluate the institution's progress in implementing its missions, goals, and objectives to conform to the strategic agenda. (KRS 164.131)
2. Make such bylaws, rules, and regulations consistent with KRS Chapter 164 as it deems proper. (KRS 164.180)
3. Establish proper regulations for the governance of the University and the physical training, military or otherwise, of the students. The Board may authorize the suspension and dismissal of students for neglect or violation of the regulations or for other conduct prejudicial to the character and welfare of the University. (KRS 164.200)
4. Determine the colleges, schools, divisions, departments, bureaus, and offices which shall comprise the University within the scope of the Acts of Congress approved July 2, 1862, and Acts supplementary thereto, and the relation which each division shall sustain to each other division and to the whole. It may devise, allot, and arrange the distribution of divisions with the designation appropriate for each, and devise the means required for their effective instruction, administration, and governance. (KRS 164.210)
5. Appoint a President, professors, assistants, tutors, and other personnel and determine the compensation, duties, and official relations of each. In the appointment of presidents, professors, or instructors no preference shall be shown to any religious denomination. The Board is required to provide compensation for all positions created and filled by the Board of Trustees. The Board may provide for employee retirement benefits and such other employee benefits as are related to the respective employments and services furnished. Retirement plans may include, but without limitation, the retirement ages, the benefits of employees including group insurance, annuities, establishment of a trust fund or funds, and, the amounts to be paid or contributed by employees and the amounts to be paid or contributed by the University of Kentucky, and other appropriate terms and provisions with respect thereto. (KRS 164.220)
6. Exercise power over and control of appointments, qualifications, salaries, and compensation payable out of the State Treasury or otherwise, promotions and official relations of all employees of the University of Kentucky, as provided in KRS 164.220, and, subject to any restrictions imposed by general law, the retirement ages and benefits of such employees shall be under the exclusive jurisdiction of the board of trustees of the University of Kentucky, which shall be an independent agency and instrumentality of the Commonwealth. (KRS 164.225)
7. Suspend or remove any of the officers, teachers, professors, or agents that it is authorized to appoint, except that no President, professor, or teacher may be removed except for incompetence, neglect of or refusal to perform duties, or for immoral conduct, and then only after ten (10) days' notice in writing stating the nature of the charges preferred, and after the individual has been given an opportunity to make defense before the Board of Trustees by counsel or otherwise and to introduce testimony which shall be heard and determined by the Board of Trustees. (KRS 164.230)
8. Grant degrees to graduates of the University, prescribe conditions for the award of honorary degrees, and confer such honorary degrees, upon the recommendation of the faculty of the University, as it deems proper. (KRS 164.240)
9. Make a full report to the General Assembly, within the first month of each even-numbered year regular session, of the condition and operation of the University since the date of the previous report, with such recommendations concerning the University as are deemed necessary. (KRS 164.250)
10. Acquire additional lands or other property or material for the purpose of expanding its plant and extending its usefulness. When unable to contract with the owner of land or other property or material necessary for the purposes of the University, it may acquire the same by condemnation proceedings in the manner provided in KRS 416.010 to 416.080. (KRS 164.260)

11. Dispose of real estate held by the Commonwealth for the use or benefit of the University or the Kentucky Agricultural Experiment Station as authorized in KRS 164.270 and KRS 45.360.

G. Petitions to Address the Board of Trustees

The purpose of this section is to provide a standard process for members of the University community and the general public to address the Board of Trustees on matters relevant to the University. This process provides for reasonable access to the Board of Trustees, while also preserving the Board's orderly business operations.

The procedures established by this regulation do not supersede *Governing Regulations* or *Administrative Regulations* that specifically permit appeal to, or appearances before, the Board or a Board Committee.

1. Petitions

- (a) An individual desiring to address the Board of Trustees shall submit a written petition to the Chair of the Board of Trustees through the Office of the President at least two (2) full business days prior to a scheduled meeting of the full Board. The petition shall describe the subject matter and the rationale for addressing the Board, and shall be submitted on the form (Petition to Address the Board of Trustees) attached as Appendix I to this regulation.

Petitions are available in the Office of the President, 101 Main Building, Lexington KY 40506-0032, or on-line at <http://www.uky.edu/Trustees/petition.htm>, and may be submitted in hardcopy or electronically. In order to be considered, any petition to address the Board of Trustees shall be submitted in accordance with this regulation and shall contain all required information. The Office of the President is responsible for forwarding to the Board Chair all petitions submitted in accordance with this regulation.

- (b) The Chair, who may consult with the President, shall determine if the subject matter of the petition is relevant to a pending or future agenda item, or deemed essential information necessary for the Board to fulfill its statutory responsibilities. If the Chair determines that the subject is relevant or the information is essential, the Chair shall:
- (i) approve the petition to address the Board and ask that the petitioner be so informed; or
 - (ii) refer the petition to an appropriate committee of the Board and ask that the petitioner be informed of the Committee's meeting time and location.
- (c) The Committee to which a petition is referred shall review the merits of the petition to address the Board and shall take such action as it deems appropriate.
- (d) The list of petitioners requesting to address the Board of Trustees, along with the disposition of each petition, shall be made available immediately prior to each Board meeting at the meeting location.
- (e) The Chair of the Board of Trustees shall provide a report during the full Board meeting on the number of petitions to address the Board received, as well as the disposition of said petitions.
- (f) Prior to acting on an item or issue, the full Board shall hear approved petitioners who are present and prepared to address the Board when called to appear.
- (g) A record shall be kept indicating the petitioner's name, the subject matter, and action taken on each petition to address the Board.

2. Limitations

- (a) The Chair of the Board of Trustees may establish reasonable and appropriate limitations on the number of petitioners who may address the Board at each meeting and the time permitted for each address.
- (b) In the event of a substantial change to an action item, or the addition of an action item to the Board agenda, the Chair may, in his or her discretion, accept a petition(s) received outside the procedures and timelines established by this Governing Regulation.
- (c) The Chair of a Committee may establish reasonable and appropriate limitations on the number of petitioners who may address or participate in a committee meeting and the time permitted for each address.

H. References and Related Materials

KRS: 45A.335, 45A.340, 45.360, 61.800, 61.850, 164.131, 164.140, 164.160, 164.170, 164.180, 164.190, 164.200, 164.210, 164.220, 164.240, 164.250, 164.270, 164A.550, 164A.630, 416.010, 416.080

Revision History

6/11/2002, 9/17/2002, 10/10/2006, 10/16/2007, 6/17/2008, 3/29/2011, 9/13/2011, 12/13/2011, 6/19/2012

For archive versions contact: [Office of Legal Counsel](#)

Appendix I

Petition to Address the Board of Trustees University of Kentucky

Petitions to address the Board may be made by completing and submitting this form to the Office of the President no later than two (2) business days before the start of the meeting (e.g., for a Tuesday, 1:00 p.m. meeting, petitions must be received by Friday at 1:00 p.m.). To ensure the proper recognition of speakers, no change in the original presenter may be made, except possibly as determined by the Board Chair or a Board Committee. For a complete list of guidelines, see GR II, *Governance of the University of Kentucky*.

INFORMATION (Please type or print.)

Contact Information (All fields must be completed)*

Name: _____ Telephone Number: _____

E-Mail: _____ Mailing Address: _____

***YOU MUST PROVIDE A TELEPHONE NUMBER AND EMAIL ADDRESS IN ORDER FOR YOUR REQUEST TO BE CONSIDERED.**

University Relationship

Relationship to the University (please check the most appropriate category):

☐ Student ☐ Faculty ☐ Staff ☐ Alumni ☐ Other (Please specify) _____

Name of Group (if applicable): _____

Topic

Topic on which you wish to speak: _____

Please indicate if you will speak ☐ pro ☐ con or ☐ neutrally on this topic.

Briefly summarize your proposed address to the board: (maximum 1000 characters)

Have you contacted any University units about this topic previously?

Yes ☐ No ☐

If yes, list the units and the action taken by each office: (maximum 300 characters)

The University of Kentucky is committed to providing equal opportunity for participation in all programs, services, and activities. Accommodations for persons with disabilities may be requested by contacting the Office of the President within 24 hours of the meeting. Requests received after this date will be honored whenever possible.

Office of the President
100 Main Building
Lexington, KY 40506
Phone: (859) 257-1704 Fax: (859) 323-2414 E-mail: pway@email.uky.edu

PR 6

Office of the President
December 11, 2012

Members, Board of Trustees:

NAMING OF UNIVERSITY BUILDING

Recommendation: that the Board of Trustees approve the official naming of the building at 708 South Limestone, Lexington, KY as the “College of Medicine Learning Center.”

Background: The Committee on Naming University Buildings has reviewed the request and found it to be in compliance with university policy Administrative Regulation 9:5 and recommends approval of this name designation.

This request came to the Committee from the Provost and the College of Medicine Dean.

In 2004, the University acquired the Dermatology Associates building at 807 South Limestone (adjacent to the Bio-Pharm Bldg). The acquisition at that time, and records since, used the name “Mersack Leavell Building”, from the names of the prior owners. For well over five years, the College of Medicine has housed student learning and support programs there. Simulated exam rooms, study and lounge spaces, academic support offices, and a student computer lab are located there.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 7

Office of the President
December 11, 2012

Members, Board of Trustees:

APPOINTMENT TO THE BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY GLUCK EQUINE RESEARCH FOUNDATION, INC.

Recommendation: that the Board of Trustees approve the appointment of Mark Bellissimo to the Board of Directors of the University of Kentucky Gluck Equine Research Foundation for a four-year term, ending December 31, 2016.

Background: The Nominating Committee of the University of Kentucky Gluck Equine Research Foundation, which includes the Dean of the College of Agriculture, recommended this appointment to the Interim Provost. These recommended appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation and By-Laws for the University of Kentucky Gluck Equine Research Foundation approved by the Board of Trustees at its meeting on September 18, 1984.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

Mark Bellissimo is CEO of Equestrian Sport Production. Mark is one of the founding partners of Wellington Equestrian Partners which purchased the Palm Beach International Equestrian Center and transformed it into one of the premier equestrian show horse facilities in the world. He is the largest shareholder of this facility and managing partner. Wellington Equestrian Partners now has 20 partners and all have family members that participate in equestrian sports and are property owners and /or residents of Wellington Florida. The FTI consulting Winter Equestrian Festival is one of the largest sport horse shows that held at this facility and is managed by Equestrian Sport Production and Mark Bellissimo.

AACR 1

Office of the President
December 11, 2012

Members, Board of Trustees:

CANDIDATES FOR DEGREES: DECEMBER 2012

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled, upon certification by the university registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: Since the University of Kentucky will hold the December commencement ceremonies immediately following completion of examinations, it is not possible for the university registrar to certify satisfactory completion of requirements prior to the ceremonies for those candidates who complete their requirements in December. The candidates who completed their requirements in August 2012 were approved by the Board of Trustees at an earlier meeting.

For details on degree candidate listings,
please contact Sean Cooper
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

**OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - December 2012**

Graduate Degrees:

Ph.D.	133
D.M.A.	8
Ed.D.	15
Ed.S.`	5
M.A.	57
M.AR.	4
M.A.EDU.	5
M.B.A.	3
M.E.	2
M.F.A.	1
M.H.A.	2
M.H.P.	2
M.M.	6
M.P.A.	5
M.P.H.	23
M.P.P.	2
M.R.C.	42
M.S.	100
M.S.BE.	4
M.S.BAE.	7
M.S.CE.	9
M.S.CHE.	1
M.S.CTL.	5
M.S.EDU.	31
M.S.E.E.	15
M.S.FOR.	2
M.S.FS.	3
M.S.IDMT.	1
M.S.L.S.	21
M.S.MAT.	3
M.S.MSYE.	5
M.S.ME.	10
M.S.MNE.	1
M.S.MSE.	1
M.S.N.	3
M.S.N.S.	2
M.S.PAS.	1
M.S.W.	4
TOTAL	544

Professional Degrees:

D.N.P.	7
Dr.P.H.	2
D.P.T.	32
J.D.	9
TOTAL	50

Undergraduate Degrees:

Agriculture

BSAB.....	4
BSAEC.....	35
BSAG	5
BSAS.....	16
BSCCLD.....	30
BSCLD.....	1
BSDIE.....	22
BSESM.....	14
BSFOR.....	3
BSFOS.....	6
BSFSC.....	16
BSHPS.....	5
BSHM.....	7
BSHN.....	11
BSLA.....	2
BSMAT.....	18
BSNRC.....	5
BSNRES.....	4
TOTAL.....	204

Arts and Sciences

BA.....	268
BS.....	84

TOTAL.....352

Gatton Business and Economics

BBA.....	130
BSA.....	41
BSBE.....	6

TOTAL.....177

Comm. & Information Studies

BA.....	73
BS.....	35

TOTAL.....108

Design

BAARC.....	7
BAID.....	5

TOTAL.....12

Education

BAEDU.....	73
BSEDU.....	66

TOTAL 139

Engineering

BSBE.....	6
BSCHE.....	5
BSCE.....	40
BSCS.....	23
BSCOE.....	6
BSEE.....	14
BSMEE.....	24
BSMIE.....	8

TOTAL 126

Fine Arts

BA.....	31
BFA.....	5
BM.....	10
BMME.....	8

TOTAL 54

Health Sciences

BHS.....	25
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TOTAL.....25

Nursing

BSN.....	90
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TOTAL 90

Social Work

BASW.....	22
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TOTAL 22

Graduate Degree 544

Professional Degrees 50

Undergraduate Degrees ... 1,309

TOTAL..... 1,903

AACR 2

Office of the President
December 11, 2012

Members, Board of Trustees:

ACADEMIC DEGREE RECOMMENDATION:
COLLEGE OF ARTS AND SCIENCES

Recommendation: that the Board of Trustees approve the establishment of a Bachelor of Arts with a major in Environmental and Sustainability Studies degree (CIP Code: 03.0103, PROG: AS BA ENSS) in the College of Arts and Sciences, effective in the Spring 2013 Semester.

Background: The proposed Environmental and Sustainability Studies (ENS) degree will educate students in a broad range of fundamental environmental studies subjects with concepts of sustainability integrated throughout the curriculum. The programmatic focus on sustainability will place the University at the forefront of degree programs offering courses in this new, critical area of academic endeavor.

Environmental and Sustainability Studies students will obtain the fundamental knowledge required to understand the relationships that exist between the global economy, societal problems and needs, and the natural world. The program will develop the critical thinking, communications, and independent study skills necessary for students to pursue lifestyles and careers that are ecologically viable, socially desirable, and economically feasible. ENS students will be uniquely prepared to participate in the rapidly expanding "Green Economy".

The interdisciplinary degree will prepare students for career opportunities in city, state and federal government, nonprofit organizations, professional societies, and in the private sector. The integration of different fields, such as science, engineering, politics, law, information technology, project management, business administration, marketing, communications, and economics, will be critical to the emerging environmental professions. Demand will be high for people who combine the ENS degree with a traditional disciplinary degree. The ENS degree provides a great deal of flexibility in the electives the students may choose to best fit their career aspirations.

This program has the approval of the appropriate councils and the University Senate and has completed the forty-five day review by the Council on Postsecondary Education. The Interim Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 3

Office of the President
December 11, 2012

Members, Board of Trustees:

ACADEMIC DEGREE RECOMMENDATION: COLLEGE OF FINE ARTS

Recommendation: that the Board of Trustees approve the establishment of a Master of Arts with a major in Arts Administration degree (CIP Code: 50.1001, PROG: GS MA ARAD) within the College of Fine Arts, effective in the Fall 2013 Semester.

Background: The proposed program can be traced to the phenomenal growth in the nonprofit arts industry beginning in the 1960s and running well into the 1990s. During that time period, theatres, art centers and museums, once confined to major metropolitan areas, were established in cities across the country. The nonprofit arts industry in the United States is much larger than most people imagine. According to leisure time participation studies, regularly conducted by the National Endowment for the Arts, more people attend live arts events each year than attend live professional sports events or watch movies in movie theatres. The same studies show that the nonprofit arts industry employs approximately 1.3 million individuals annually.

In 1988 the UK College of Fine Arts established an undergraduate program to train arts administrators. Since that time, over 300 students have received degrees through the program. In recent years, the program has proven so successful that procedures had to be put in place to limit the number of majors. Given the program's number of faculty, breadth of curriculum, and established history, it is recognized as one of the best undergraduate Arts Administration programs in the country. The University of Kentucky is the only public college offering an undergraduate degree in Arts Administration in Kentucky. There are no colleges or universities that offer a graduate degree in Arts Administration in Kentucky at the current time.

The completely online degree program will target people who have strong backgrounds in at least one arts discipline. Students entering the program will have either an undergraduate degree in arts administration or an arts-related field, or professional experience working within an arts organization. Therefore, the program's curriculum will not include a great deal of arts training, but will instead center on the business training students need to manage and lead nonprofit arts organizations.

This program has the approval of the appropriate councils and the University Senate and has completed the forty-five day review by the Council on Postsecondary Education. The Interim Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 4

Office of the President
December 11, 2012

Members, Board of Trustees:

CHANGE IN THE NAME OF THE COLLEGE OF AGRICULTURE

Recommendation: that the Board of Trustees approve the change in the name of the College of Agriculture to the College of Agriculture, Food and Environment, effective July 1, 2013.

Background: The proposed name change for the College of Agriculture is the culmination of a long-standing discussion that has included faculty, staff, and administrators. Because of the college's statewide extension mission, in addition to research and instruction, the complications of a name change are not taken lightly.

An appropriate name for the college has been debated intermittently since the merger of the College of Agriculture and the College of Human Environmental Sciences in 2004. With 14 academic departments that include Community and Leadership Development, Merchandising, Apparel and Textiles, Family Sciences, Landscape Architecture, Forestry, and Nutrition and Food Science, this college should have a name that reflects the breadth of its mission. While much of the college's focus remains in the more traditional agriculture disciplines such as Animal and Food Sciences, Plant and Soil Sciences, and Horticulture, and these disciplines continue to be crucial to the state, the University of Kentucky College of Agriculture needs to reflect a connection to all citizens of the Commonwealth and beyond, whether they are farm families, prospective students from inner city schools, or internationally renowned biochemists.

The proposed name change was approved by the college faculty; reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and endorsed by the University Senate. The Interim Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 5

Office of the President
December 11, 2012

Members, Board of Trustees:

RESTRUCTURING OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve the restructuring of the College of Agriculture's Department of Merchandising, Apparel and Textiles to the Department of Retailing and Tourism Management, effective July 1, 2013.

Background: The restructured Department of Retailing and Tourism Management will bring together applied social science faculty within the College of Agriculture and provide focus for meeting the changing needs of society to reflect the move from a service economy to an experiential economy. By reconfiguring existing faculty and staff resources into a restructured department, faculty will be able to address the strategic goals of the School of Human Environmental Sciences, the College of Agriculture, the University of Kentucky and the Commonwealth more effectively. This restructuring will position the department to be in the forefront of teaching, research, and outreach in retailing and tourism management.

The merger process began with a review of the two UK programs by outside consultants. The consultants expressed the belief that the Hospitality Management and Tourism undergraduate program lacked an identity because it was too embedded in the Department of Dietetics and Human Nutrition to be sought after by potential students. This lack of identity limited program exposure, resources to meet changing societal needs of consumer and business, and exposure to global interdependence. Within the Department of Merchandising, Apparel and Textiles undergraduate program by the same name (Merchandising, Apparel and Textiles), the consultants identified a strong concern for student experience in the business world, related to the interaction between consumers and businesses and the fulfillment of each entity's needs. After much discussion, faculty members recognized many commonalities between the Merchandising, Apparel and Textiles and the Hospitality Management Tourism programs and significant potential for the two programs in the merged department.

The proposal has been approved by the college faculty and the Agriculture Faculty Council; reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and endorsed by the University Senate. The Interim Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 1

Office of the President
December 11, 2012

Members, Board of Trustees:

BALL REALTY LLC GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$500,000 and a pledge of \$1,500,000 from Ball Realty LLC of Lexington, Kentucky to support the UK HealthCare Patient Care Facility Fund in UK HealthCare.

Background: University of Kentucky alumni Don and Mira Ball are owners of Ball Realty LLC, a subsidiary of Ball Homes, Inc. They are actively involved in the horse industry as owners of Donamire Farm and are well known for their civic volunteer work. Mira Ball served on the Board of Trustees and served as its first female chairman. The Balls have been generous donors to the University for many years, supporting multiple colleges and programs. This gift and pledge totaling \$2 million will be used to support the construction projects and related priorities of the new UK Albert B. Chandler Hospital to be used at the discretion of the Executive Vice President for Health Affairs.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 2

Office of the President
December 11, 2012

Members, Board of Trustees:

REQUEST TO RENAME THE COMMONWEALTH PROFESSORSHIP IN PHYSICIAN
LEADERSHIP TO THE RICHARD W. SCHWARTZ COMMONWEALTH PROFESSORSHIP
IN PHYSICIAN LEADERSHIP

Recommendation: that the Board of Trustees approve a request to rename the Commonwealth Professorship in Physician Leadership to the Richard W. Schwartz Commonwealth Professorship in Physician Leadership in the College of Medicine.

Background: The Board of Trustees accepted a gift of \$100,000 and a pledge of \$150,000 in October 2000 to create and endow the Commonwealth Professorship in Physician Leadership. The total gift of \$250,000 was matched by the state's Endowment Match Program. The renaming will honor the distinguished life and career of Dr. Richard Schwartz, who died in January 2010. He was a professor of surgery and a faculty member in the College of Medicine for 20 years. Dr. Schwartz was an internationally recognized leader in healthcare administration and leadership. He received numerous teaching awards and his academic career also included basic and clinical research and clinical service.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 3

Office of the President
December 11, 2012

Members, Board of Trustees:

CRUTCHER FAMILY FOUNDATION PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$400,000 from the Crutcher Family Foundation of Midway, Kentucky to create and endow the Crutcher Family Scholarship in the Office of Academic Scholarships.

Background: The Crutcher family has supported scholarships for many years in the College of Medicine and the Office of Academic Scholarships. This pledge from the Family Foundation continues the legacy established by Dr. Richard and Dorothy Crutcher and will fund Presidential Scholarships over the next five years. Dr. Crutcher received his BS from the University of Kentucky in 1934 and his medical degree from Vanderbilt University. He was a renowned thoracic and general surgeon who performed the first heart catheterization in Lexington in 1954 and the first open heart procedure in Lexington in 1959. Dorothy Crutcher received her bachelor degree from the University of Kentucky in 1940 and was active in civic affairs including the BlueGrass Trust, St. Joseph Hospital Auxiliary, the Garden Club and the Junior League.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 4

Office of the President
December 11, 2012

Members, Board of Trustees:

GIFT FROM THE NATIONAL CHRISTIAN FOUNDATION KENTUCKY TO REPLACE
UNFULFILLED PLEDGES TO THE RESEARCH CHALLENGE TRUST FUND

Recommendation: that the Board of Trustees accept a gift of \$50,000 from the National Christian Foundation Kentucky of Lexington, Kentucky to add to the existing Braden-Clark Fellowship in Parkinson's Disease Research Fund in the College of Medicine to replace unfulfilled Research Challenge Trust Fund pledges.

Background: The National Christian Foundation Kentucky made this gift on behalf of Joseph H. Clark of Lexington, Kentucky. Mr. Clark established the Braden-Clark Fellowship in Parkinson's Disease Research in memory of his wife, Maria Braden Clark, and in response to a disease that has affected the lives of two close friends. He wishes to assist other people who have been affected by Parkinson's disease and related neurodegenerative diseases by providing doctoral or post-doctoral fellowships for students who are involved in this area of research.

The Braden-Clark Fellowship has previously received \$105,300 in matching funds from the state's Endowment Match Program.

The majority of the \$50,000 (\$47,062) gift will be used to replace the following defaulted pledges:

- The Board of Trustees accepted a pledge of \$50,000 on January 23, 2007 to add to the existing Endowed Professorship in Neuro-Urologic Research Fund in the College of Medicine. The pledge was matched by Kentucky's Endowment Match Program. The donor has informed us that their remaining pledges of \$34,999 will not be fulfilled. The unpaid pledge of \$34,999 will be replaced using the gift from the National Christian Foundation Kentucky listed above and the Council on Postsecondary Education has been advised of the replacement.
- The Board of Trustees accepted a pledge of \$50,000 on September 12, 2006 to create and endow the Cardinal Hill Rehabilitation Hospital Fellowship Fund in the College of Health Sciences. The pledge was matched by Kentucky's Endowment Match Program. The donor has notified us that their remaining pledge of \$10,000 will not be fulfilled. The unpaid pledge of \$10,000 will be replaced using the gift from the National Christian

Foundation Kentucky listed above and the Council on Postsecondary Education has been advised of the replacement.

- The Board of Trustees accepted a pledge of \$50,000 on May 10, 2005 to create and endow the James L. and Suzanne H. Elliott Professorship in Pediatrics in the Kentucky Childrens Hospital. The pledges were matched by Kentucky's Endowment Match Program. The donors have informed us that their remaining pledge of \$2,063 will not be fulfilled. The unpaid pledge of \$2,063 will be replaced using the gift from the National Christian Foundation Kentucky listed above and the Council on Postsecondary Education has been advised of the replacement.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 5

Office of the President
December 11, 2012

Members, Board of Trustees:

TRANSFER OF MULTIPLE GIFTS AND STATE ENDOWMENT MATCH FUNDS WITHIN
THE CENTER FOR RESEARCH ON VIOLENCE AGAINST WOMEN

Recommendation: that the Board of Trustees approve the transfer of \$106,922.01 of State matching funds and \$182,878 of gift funds from the Center for Research on Violence against Women Internal Endowment Fund to the Georgia Davis Powers Endowment Fund within the Center for Research on Violence Against Women.

Background: The Board of Trustees accepted pledges of \$500,000 in January 2007 to create and endow the Multicultural Studies Endowment in the Center for Research on Violence Against Women. These pledges were matched by the state's endowment match program. On May 8, 2012, the Board of Trustees approved the renaming of the endowment to the Georgia Davis Powers Endowment. This transfer within the Center for Research on Violence Against Women will enable the Georgia Davis Powers Endowment to reach the \$1million chair level.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 6

Office of the President
December 11, 2012

Members, Board of Trustees:

GIFTS TO THE DR. ROBERT D. MARCIANI ENDOWMENT FUND TO REPLACE
UNFULFILLED PLEDGES TO THE RESEARCH CHALLENGE TRUST FUND

Recommendation: that the Board of Trustees accept gifts of \$118,583 from multiple donors to add to the existing Dr. Robert D. Marciani Endowed Fund in Oral and Maxillofacial Surgery Department in the College of Dentistry to replace unfulfilled Research Challenge Trust Fund pledges.

Background: Many of Dr. Marciani's former students and colleagues have contributed to this endowment to honor Dr. Marciani's career in Oral and Maxillofacial Surgery. He was a former faculty member in the College of Dentistry and is currently chief of the division of Oral and Maxillofacial Surgery at University of Cincinnati.

\$60,000 of the gift of \$118,583 will be used to replace the following defaulted pledges:

- The Board of Trustees accepted gifts and pledges of \$65,105 on April 24, 2007 to create and endow the William H. Ray Professorship Fund in the College of Agriculture. The gifts and pledges were matched by Kentucky's Endowment Match Program. Two donors have informed us that their remaining pledges of \$17,450 will not be fulfilled. The unpaid pledges of \$17,450 will be replaced using gifts to the Marciani Fund listed above and the Council on Postsecondary Education has been advised of the replacement.
- The Board of Trustees accepted gifts and pledges of \$102,340 on March 6, 2007 to add to the existing John Mink Professorship in Pediatric Dentistry in the College of Dentistry. The gifts and pledges were matched by Kentucky's Endowment Match Program. Several donors have notified us that their remaining pledges of \$15,422 will not be fulfilled. The unpaid pledges of \$15,422 will be replaced using gifts to the Marciani Fund listed above and the Council on Postsecondary Education has been advised of the replacement.
- The Board of Trustees accepted gifts and pledges of \$70,960 on June 13, 2006 to create and endow the Thomas C. Robinson Fellowship Fund in the College of Health Sciences. The pledges were matched by Kentucky's Endowment Match Program. Several donors have informed us that their remaining pledges of \$10,504 will not be fulfilled. The unpaid pledges of \$10,504 will be replaced using gifts to the Marciani Fund listed above and the Council on Postsecondary Education has been advised of the replacement.

- The Board of Trustees accepted gifts and pledges of \$75,000 on March 26, 2006 to create and endow the PT Richard McDougall Alumni Professorship Fund in the College of Health Sciences. The gifts and pledges were matched by Kentucky's Endowment Match Program. Several donors have informed us that their remaining pledges of \$7,867 will not be fulfilled. The unpaid pledges of \$7,867 will be replaced using gifts to the Marciani Fund listed above and the Council on Postsecondary Education has been advised of the replacement.
- The Board of Trustees accepted a pledge of \$50,000 on April 25, 2006 to create and endow the Dr. William R. Willard Professorship in Behavioral Sciences in the College of Medicine. The pledge was matched by Kentucky's Endowment Match Program. A donor has informed us that their remaining pledges of \$8,795 will not be fulfilled. The unpaid pledge of \$8,795 will be replaced using gifts to the Marciani Fund listed above and the Council on Postsecondary Education has been advised of the replacement.

Action taken:



Approved



Disapproved



Other_____

FCR 7

Office of the President
December 11, 2012

Members, Board of Trustees:

APPROVAL OF THE FISCAL YEAR 2011-12 ENDOWMENT MATCH PROGRAM ANNUAL REPORT

Recommendation: that the Board of Trustees accept the Fiscal Year 2011-12 Endowment Match Program Annual Report. The report is provided as a separate document.

Background: The Research Challenge Trust Fund (RCTF) was established in 1997 with the passage of House Bill 1, the *Kentucky Postsecondary Education Improvement Act*. The RCTF is designed to encourage research activities at the University of Kentucky and the University of Louisville. The Endowment Match Program, also known as ‘Bucks for Brains’, is one of several programs created as part of the trust fund. Pursuant to the Council on Postsecondary Education’s Endowment Match Program Request and Reporting Procedures, the Board of Trustees must review and approve the annual report on UK’s participation in the program. The annual report and additional information about the program is available online at:

<http://www.research.uky.edu/faculty/rctf/index.html>

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 8

Office of the President
December 11, 2012

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE THREE MONTHS ENDED
SEPTEMBER 30, 2012

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the three months ended September 30, 2012.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Mining Engineering Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Central Kentucky Management Services.

As of September 30, 2012, the University has recognized \$733,963,763 of current funds revenue representing 28 percent of the 2012-13 approved budget of \$2,618,377,700. Expenses and transfers total \$641,020,877 or 24 percent of the approved budget.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____



*Consolidated Financial
Statements*

For the three months ended September 30, 2012

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
STATEMENT OF NET ASSETS
SEPTEMBER 30, 2012 AND 2011

	2012	2011
ASSETS		
Current Assets		
Cash and cash equivalents	\$ 307,445,624	\$ 301,847,221
Notes, loans and accounts receivable, net	277,713,668	246,287,985
Inventories and other assets	49,335,871	45,136,585
Total current assets	634,495,163	593,271,791
Noncurrent Assets		
Restricted cash and cash equivalents	55,189,269	65,533,284
Endowment investments	997,741,848	871,464,571
Other long-term investments	144,855,360	178,489,662
Notes, loans and accounts receivable, net	42,245,267	50,766,435
Other noncurrent assets	16,216,931	15,495,884
Capital assets, net	1,911,223,657	1,870,304,857
Total noncurrent assets	3,167,472,332	3,052,054,693
Total assets	3,801,967,495	3,645,326,484
LIABILITIES		
Current Liabilities		
Accounts payable and accrued liabilities	134,594,531	141,270,263
Deferred revenue	71,259,531	73,943,283
Long-term liabilities - current portion	60,172,012	70,376,654
Total current liabilities	266,026,074	285,590,200
Noncurrent Liabilities		
Accounts payable and accrued liabilities	-	398,264
Long-term liabilities	753,029,991	788,540,974
Total noncurrent liabilities	753,029,991	788,939,238
Total liabilities	1,019,056,065	1,074,529,438
NET ASSETS		
Invested in capital assets, net of related debt	1,269,218,708	1,206,477,959
Restricted		
Nonexpendable		
Scholarships and fellowships	128,163,717	121,555,419
Research	261,985,452	258,501,034
Instruction	76,545,652	73,450,590
Academic support	83,555,914	83,421,067
Other	7,991,380	7,793,791
Total restricted nonexpendable	558,242,115	544,721,901
Expendable		
Scholarships and fellowships	50,229,365	35,625,360
Research	47,697,434	18,019,432
Instruction	40,986,382	31,302,055
Academic support	32,513,805	20,933,566
Loans	10,255,974	9,630,408
Capital projects	62,708,745	54,047,119
Debt service	32,217,386	40,162,499
Auxiliary	18,311,160	17,297,009
Other	12,919,195	8,200,856
Total restricted expendable	307,839,446	235,218,304
Total restricted	866,081,561	779,940,205
Unrestricted	647,611,161	584,378,882
Total net assets	\$ 2,782,911,430	\$ 2,570,797,046

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
ALL FUNDS
STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2012 AND 2011

	2012	2011
OPERATING REVENUES		
Student tuition and fees	\$ 181,448,494	\$ 164,504,889
Federal grants and contracts	35,568,873	37,211,366
State and local grants and contracts	24,829,866	15,723,794
Nongovernmental grants and contracts	42,847,169	41,097,870
Recoveries of facilities and administrative costs	11,143,675	12,006,828
Sales and services	10,847,219	9,730,457
Federal appropriations	4,964,950	4,823,513
County appropriations	7,059,049	4,870,656
Hospital services	233,779,721	220,857,951
Auxiliary enterprises:		
Housing and dining	14,205,727	13,404,005
Athletics	21,647,293	22,864,496
Other auxiliaries	11,474,036	11,567,620
Other operating revenues	74,973	78,154
Total operating revenues	<u>599,891,045</u>	<u>558,741,599</u>
OPERATING EXPENSES		
Educational and general:		
Instruction	58,623,033	61,323,168
Research	57,182,158	61,469,266
Public service	53,041,482	53,375,559
Libraries	3,725,171	3,926,940
Academic support	20,181,608	20,961,238
Student services	8,409,029	8,191,091
Institutional support	31,792,145	27,751,829
Operations and maintenance of plant	14,195,875	16,497,483
Student financial aid	63,603,179	58,008,287
Depreciation	14,223,305	12,403,772
Total educational and general	<u>324,976,985</u>	<u>323,908,633</u>
Clinical operations	26,370,629	23,152,217
Hospital and clinics (including depreciation of \$11,180,444 in 2012 and \$10,582,768 in 2011)	213,287,661	209,792,120
Auxiliary enterprises:		
Housing and dining (including depreciation of \$717,075 in 2012 and \$812,972 in 2011)	9,858,836	10,535,452
Athletics (including depreciation of \$986,009 in 2012 and \$116,034 in 2011)	21,933,851	19,503,262
Other auxiliaries	6,108,277	6,657,098
Other operating expenses	80,872	375,140
Total operating expenses	<u>602,617,111</u>	<u>593,923,922</u>
Net gain (loss) from operations	<u>(2,726,066)</u>	<u>(35,182,323)</u>
NONOPERATING REVENUES (EXPENSES)		
State appropriations	85,160,800	89,199,000
Gifts and non-exchange grants	37,109,802	34,564,548
Investment income (loss)	45,859,527	(83,301,767)
Interest on capital asset-related debt	(4,421,221)	(5,423,504)
Other nonoperating revenues and expenses, net	2,644,522	1,713,832
Net nonoperating revenues (expenses)	<u>166,353,430</u>	<u>36,752,109</u>
Net income before other revenues, expenses, gains or losses	<u>163,627,364</u>	<u>1,569,786</u>
Capital appropriations	-	-
Capital grants and gifts	5,743,748	9,561,796
Additions to permanent endowments	580,376	811,750
Other, net	(439,747)	(8,879,503)
Total other revenues (expenses)	<u>5,884,377</u>	<u>1,494,043</u>
INCREASE IN NET ASSETS	<u>169,511,741</u>	<u>3,063,829</u>
NET ASSETS, July 1	<u>2,613,399,689</u>	<u>2,567,733,217</u>
NET ASSETS, September 30	<u>\$ 2,782,911,430</u>	<u>\$ 2,570,797,046</u>

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
CURRENT FUNDS
STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2012 AND 2011

	2012			2011	
	Budget	Actual	%	Actual	%
OPERATING REVENUES					
Student tuition and fees	\$ 338,533,700	\$ 181,448,494	54%	\$ 164,504,889	52%
Federal grants and contracts	178,823,200	35,568,873	20%	37,935,876	22%
State and local grants and contracts	74,545,800	24,829,866	33%	15,723,794	20%
Nongovernmental grants and contracts	183,547,600	42,847,169	23%	41,097,870	23%
Recoveries of facilities and administrative costs	45,000,000	11,143,675	25%	12,006,828	28%
Sales and services	41,885,000	10,847,219	26%	9,730,457	24%
Federal appropriations	18,482,300	4,964,950	27%	4,823,513	27%
County appropriations	19,170,700	7,059,049	37%	4,870,656	26%
Hospital services	967,499,200	233,779,721	24%	220,857,951	22%
Auxiliary enterprises:					
Housing and dining	50,813,000	14,205,727	28%	13,404,005	27%
Athletics	67,805,800	21,647,293	32%	22,864,496	35%
Other auxiliaries	38,137,700	11,474,036	30%	11,567,620	33%
Total operating revenues	<u>2,024,244,000</u>	<u>599,816,072</u>	<u>30%</u>	<u>559,387,955</u>	<u>28%</u>
OPERATING EXPENSES					
Educational and general:					
Instruction	316,207,700	58,623,033	19%	61,323,168	18%
Research	261,741,100	57,203,663	22%	61,461,894	22%
Public service	198,399,400	53,041,482	27%	53,375,559	26%
Libraries	12,880,400	3,725,171	29%	3,926,940	30%
Academic support	107,420,900	20,181,608	19%	20,961,238	22%
Student services	39,246,600	8,330,138	21%	8,140,669	22%
Institutional support	145,791,900	31,792,145	22%	27,751,829	26%
Operations and maintenance of plant	67,264,600	12,266,640	18%	13,485,153	19%
Student financial aid	124,021,200	63,603,179	51%	58,008,287	50%
Total educational and general	<u>1,272,973,800</u>	<u>308,767,059</u>	<u>24%</u>	<u>308,434,737</u>	<u>24%</u>
Clinical operations	115,885,500	26,370,629	23%	23,152,217	21%
Hospital and clinics	929,010,200	199,359,511	21%	195,518,988	20%
Auxiliary enterprises:					
Housing and dining	39,487,300	9,064,971	23%	9,702,569	25%
Athletics	78,175,900	20,913,131	27%	19,374,816	27%
Other auxiliaries	33,119,900	6,104,201	18%	6,645,938	22%
Total operating expenses	<u>2,468,652,600</u>	<u>570,579,502</u>	<u>23%</u>	<u>562,829,265</u>	<u>23%</u>
Net gain (loss) from operations	<u>(444,408,600)</u>	<u>29,236,570</u>	<u>-7%</u>	<u>(3,441,310)</u>	<u>1%</u>

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
CURRENT FUNDS
STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2012 AND 2011

	2012			2011	
	Budget	Actual	%	Actual	%
Net gain (loss) from operations	(444,408,600)	29,236,570	-7%	(3,441,310)	1%
NONOPERATING REVENUES (EXPENSES)					
State appropriations	283,869,300	85,160,800	30%	89,199,000	29%
Gifts and non-exchange grants	55,442,600	36,646,867	66%	33,846,738	61%
Investment income	28,760,600	4,240,921	15%	4,292,685	12%
Other nonoperating revenues and expenses, net	5,858,400	2,644,115	45%	1,738,650	38%
Net nonoperating revenues (expenses)	373,930,900	128,692,703	34%	129,077,073	32%
Net income before other revenues, expenses, gains or losses	(70,477,700)	157,929,273	-224%	125,635,763	-198%
Capital grants and gifts	7,245,000	5,438,487	75%	6,566,730	89%
Other, net	10,000	16,501	165%	87,175	-
Total other revenues (expenses)	7,255,000	5,454,988	75%	6,653,905	90%
NON-GASB ACTIVITY					
Appropriated fund balance	209,139,900	-	-	-	-
Capital purchases and transfers	(71,026,000)	(28,466,124)	40%	(35,241,300)	32%
Debt service transfers	(78,649,100)	(42,172,982)	54%	(46,060,267)	61%
Noncapital transfers	3,757,900	197,731	5%	(623,340)	-23%
Total non-GASB current funds activity	63,222,700	(70,441,375)	-111%	(81,924,907)	-146%
INCREASE IN NET ASSETS	-	92,942,886		50,364,761	
NET ASSETS, July 1		392,255,776		438,481,760	
NET ASSETS, September 30		<u>\$ 485,198,662</u>		<u>\$ 488,846,521</u>	
RECONCILIATION TO ANNUAL BUDGET					
Operating revenues	\$ 2,024,244,000	\$ 599,816,072	30%	\$ 559,387,955	28%
Nonoperating revenues	594,133,700	134,147,691	23%	135,730,978	21%
Total revenues	2,618,377,700	733,963,763	28%	695,118,933	26%
Operating expenses	2,468,652,600	570,579,502	23%	562,829,265	23%
Nonoperating expenses and transfers	149,725,100	70,441,375	47%	81,924,907	44%
Total expenses and transfers	2,618,377,700	641,020,877	24%	644,754,172	24%
INCREASE IN NET ASSETS	<u>\$ -</u>	<u>\$ 92,942,886</u>		<u>\$ 50,364,761</u>	

FCR 9

Office of the President
December 11, 2012

Members, Board of Trustees:

2012-13 BUDGET REVISIONS

Recommendation: that the Board of Trustees authorize and approve the following revisions to the 2012-13 budget. The budget revisions outlined below will increase the University of Kentucky's total budget by \$20,091,300 – from \$2,618,377,700 to \$2,638,469,000.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u>			
1. Income Estimates			
Student Tuition and Fees			
Fees			
Non Credit	\$ 6,421,200	\$ 6,472,300	\$ 51,100
Sales and Services			
Agricultural Farm Sales	1,784,300	1,700,300	(84,000)
Departmental Sales and Services	16,748,300	18,269,700	1,521,400
UK Affiliated Corporations			
The Fund for Advancement of Education and Research in the University of Kentucky			
Medical Center	19,580,500	19,701,500	121,000
Appropriated Fund Balances – University	118,596,500	140,557,400	<u>21,960,900</u>
			\$ 23,570,400
2. Expenditures			
Provost			
Agricultural Experiment Station			
Farm and Facilities Operations	\$ 4,131,300	\$ 4,047,300	\$ (84,000)
College of Nursing			
Instruction	7,250,800	7,347,600	96,800

A. GENERAL FUND (continued)

2. Expenditures (continued)
Provost (continued)

	Approved <u>Budget</u>	Revised <u>Budget</u>	<u>Change</u>
Undergraduate Education			
Humanities Program	\$ 209,300	\$ 210,100	\$ 800
UK HealthCare			
Continuing Education	-	1,571,700	1,571,700
University Wide			
Operating and Capital Expenses	110,246,200	132,207,100	21,960,900
UK Affiliated Corporations			
The Fund for Advancement of Education and Research in the University of Kentucky Medical Center	7,895,200	7,919,400	24,200
			<u>\$ 23,570,400</u>

3. Comments: During the FY 2012-13 budget development process, all the revenues and expenses of the Continuing Education (CE) program were incorrectly budgeted as Auxiliary Funds. In accordance with Cost Accounting Standards, the University must distinguish funds received from external sources and funds associated with centers that provide services to internal departments and grants. The part of the CE budget representing revenues from external sources will be moved from Auxiliary Funds to General Funds. The CE budget that is related to the Service Center will remain in the Auxiliary Funds. As a result, the General Funds budget for Noncredit Student Fees will be increased by \$51,100 and the budget for Departmental Sales and Services will be increased by \$1,520,600. Departmental Sales and Services will also increase by \$800 due to rental income received by the Gaines Center. All these funds will be used to support operating expenses in the respective programs.

Agricultural Farm Sales are expected to decrease by \$84,000 due to suspended operations at the Eden Shale Farm. The increase of \$121,000 in The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (The Fund) is due to additional professional fee revenue (\$44,800) from two contracts and higher than originally projected prior year fund balances (\$76,200). These funds will support faculty practice and college initiatives in the College of Nursing and the Fund.

The 2012-13 Operating Capital Budget approved by the Board of Trustees at the June 2012 meeting included a projected General Fund remaining fund balance of \$118,596,500. These non-recurring funds were generated or saved over multiple years and are available for expenditure. The funds have been committed for various expenses and capital projects including expenses encumbered in the prior year but not yet paid. The actual fund balance as of June 30, 2012 was higher than expected. An additional expenditure authority of \$21,960,900 is requested to fully recognize the available funds. The additional funds will be used to pay

expenses already encumbered by the colleges and the departments and support various capital and operating projects as approved by the President and Interim Provost. The majority of the funds will be returned to the colleges and departments in accordance with previously approved expenditure plans, which span multiple years. The remaining funds will continue to be designated for university-wide reserves such as general liability deductibles, health benefits, and miscellaneous fringe benefits as well as capital project priorities as determined by the President and the Interim Provost.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>AUXILIARY FUND</u>			
1. Income Estimates			
Auxiliary Enterprises - Other			
Departmental Sales and			
Services	\$ 11,663,100	\$ 9,516,000	\$ (2,147,100)
Non Credit Fees	72,100	-	(72,100)
Appropriated Fund Balances –			
University	6,410,900	6,868,000	457,100
Transfers	11,319,100	11,106,000	<u>(213,100)</u>
			\$ (1,975,200)
2. Expenditures			
Finance and Administration			
Facilities Management			
Physical Plant	\$ 481,400	\$ 931,700	\$ 450,300
Provost			
Office of International Affairs			
International Study Abroad			
and Exchange Programs	-	6,800	6,800
UK HealthCare			
Continuing Education	2,432,300	-	<u>(2,432,300)</u>
			\$ (1,975,200)
3. <u>Comments:</u>			
Departmental sales and services, noncredit fees and transfers will decrease a total of \$2,432,300 due to the movement of part of the Continuing Education program budget to the General fund. The auxiliary fund appropriated fund balance approved by the Board of Trustees at the June 2012 meeting reflected a projected balance of \$6,410,900. Additional expenditure authority of \$457,100 is requested to fully recognize the available funds. These additional funds will be used to support service center operations in the Office of International Affairs and the Physical Plant Division.			

	Approved <u>Budget</u>	Revised <u>Budget</u>	<u>Change</u>
C. <u>RESTRICTED FUND</u>			
1. Income Estimates			
Gifts, Grants and Contracts			
State and Local Grants and			
Contracts	\$ 5,284,100	\$ 4,984,100	\$ (300,000)
Sales and Services			
Department Sales and Services	207,800	211,000	3,200
Appropriated Fund Balances –			
University	67,698,900	66,491,800	<u>(1,207,100)</u>
			\$ (1,503,900)
2. Expenditures			
Provost			
Kentucky Tobacco Research			
and Development Center	\$ 3,900,000	\$ 2,396,100	\$ <u>(1,503,900)</u>
			\$ (1,503,900)
3. <u>Comments:</u> Gifts, grants and contracts, sales and services and appropriated fund balances are expected to decrease by \$1,503,900 mainly due to a reduction in state cigarette tax revenues and a decrease in expected fund balances from the Kentucky Tobacco Research and Development Center. Expenses in this program will be reduced accordingly.			

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 10

Office of the President
December 11, 2012

Members, Board of Trustees:

RENOVATE ROBOTICS BUILDING

Recommendation: that the Board of Trustees approves the initiation of the Renovate Robotics Building capital project.

Background: The Center for Biomedical Engineering (CBME) was transferred administratively to the College of Engineering effective July 1, 2010. CBME is currently in the Wenner Gren Research Lab (completed 1941) and Wenner-Gren Addition (completed 1976). A key component of this transfer is the relocation of the CBME from the Wenner-Gren buildings to the 5th floor of the Center for Robotics and Manufacturing Systems (CRMS). The relocation is needed to take advantage of the many collaborative research opportunities between the CBME faculty and the various other Engineering faculty in and near the CRMS building. The project will renovate approximately 6,200 SF of laboratory space (including fume hoods) to provide more contemporary research space for biomedical engineering.

The legislative authorized scope and current project estimate is \$3,842,000. The current total available funding is only \$1,800,000 therefore the University intends to prioritize the improvements and install all the utilities in this first phase thereby enabling future connections as additional funds become available.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 11

Office of the President
December 11, 2012

Members, Board of Trustees:

REPAIR/UPGRADE/EXPAND CENTRAL PLANTS
(REPLACE COOLING TOWER AT MEDICAL CENTER COOLING PLANT)

Recommendation: that the Board of Trustees approve the initiation of the Repair/ Upgrade/ Expand Central Plants (Replace Cooling Tower at Medical Center Cooling Plant) capital project.

Background: The Medical Center Cooling Plant provides chilled water to the campus loop as well as the Medical Center. The cooling tower is in excess of 10 years old. This tower does not meet today's cooling capacity requirements due to increased loads and other inefficiencies.

The project has an estimated scope of \$870,000, and will be funded with General Funds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 12

Office of the President
December 11, 2012

Members, Board of Trustees:

REPAIR/UPGRADE/IMPROVE MECHANICAL INFRASTRUCTURE
(STEAM LINE-FARM ROAD)

Recommendation: that the Board of Trustees approve the initiation of the Repair/ Upgrade/ Improve Mechanical Infrastructure (Steam Line-Farm Road) capital project.

Background: The Farm Road steam line delivers steam from the campus steam plants to the following buildings: Gluck Equine, Plant Science, ES Good Barn, KY Tobacco Center, and BCTCS. The steam is used for building heat, steam humidification, cleaning, and sterilizers. This project replaces the steam and condensate piping from the ES Good Barn to the Gluck Building. The 25 year old piping has deteriorated beyond repair. The upstream and downstream sections have been recently replaced.

The scope of the legislative authorized project is \$835,000, and will be funded with General Funds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 13

Office of the President
December 11, 2012

Members, Board of Trustees:

APPROVAL OF DEED OF CONVEYANCE TO THE
COMMONWEALTH OF KENTUCKY, TRANSPORTATION CABINET,
DEPARTMENT OF HIGHWAYS FOR CONSTRUCTION OF BRIDGE
(COUNTY ROAD 1387) IN BREATHITT COUNTY

Recommendation: That the Vice President for Financial Operations and Treasurer, or her designee, be authorized to negotiate and execute a Deed of Conveyance between the University of Kentucky, as Grantor, and the Transportation Cabinet, Department of Highways, as Grantee, for a portion of the University of Kentucky Farm in Breathitt County, Kentucky, which consists of approximately 1.249 acres.

Background: The Commonwealth of Kentucky, Transportation Cabinet, Department of Highways ("Department of Highways") desires to construct a bridge, County Road 1387, in Breathitt County, Kentucky. The construction will impact land belonging to the University of Kentucky, as the bridge will cross over the North Fork of the Kentucky River at the University of Kentucky Farm in Breathitt County, Kentucky.

The Department of Highways desires to enter into a Deed of Conveyance agreement in order to allow the immediate letting of and construction to begin on said bridge and has offered to \$6,050 for the surface interests for the Deed of Conveyance.

The Property is now surplus to the needs of the University and this proposed conveyance is in the best interest of the Commonwealth.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 14

Office of the President
December 11, 2012

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending September 30, 2012 be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period June 1, 2012 thru September 30, 2012:

There were five new contracts this quarter:

Project 2333.0	Expand/Renovate Ambulatory Care: Orofacial Pain Clinic Churchill McGee, \$668,889 (<i>Construction</i>)
Project 2335.0	Ambulatory Electronic Health Record Equipment/System (EHR) Eubank & Steele, \$604,000 (<i>Construction</i>)
Project 2338.0	Renovate/Upgrade Softball Complex Codell Construction Company, \$5,549,310 (<i>Construction</i>)
Project 2339.0	Renovate Academic Facility – University Lofts Facility Omni Architects, \$584,437 (<i>Design</i>)
Project 2342.0	Campus Security System Biagi, Chance, Cummins, London, & Titzer, Inc., \$50,000 (<i>Design Phase Only</i>)

Five contracts were completed this quarter:

Project 2239.0	Construct Patient Care Facility Turner Construction, \$344,187,238
Project 2286.2	Renovate/Expand the Center for Applied Energy Research Phase II Parco Constructors Group, \$1,056,803
Project 2324.0	Renovate Northside Library Marrillia Design & Construction, \$1,282,674
Project 2332.0	Upgrade, Renovate, Improve or Expand Research Labs (Chemistry/Physics) Mark Spaulding Construction, \$652,227
Project 2335.0	Ambulatory Electronic Health Record Equipment/System (ERH) Jarboe Construction, \$171,438

One amendment was as follows:

- Project 2313.01 Renovate 4th Floor Sanders-Brown Center on Aging
- Administrative amendment only allowing special inspections as an allowable reimbursable expense (+) \$0.00

Five change orders greater than \$25,000 were as follows:

- Project 2315.0 Renovate Track & Field Facility
- Provide for change of track stabilization from 8" of cement stabilization to 16" of lime stabilization (+) \$27,825
 - Provide additional catch basins at infield and upsize piping (+) \$36,344
- Project 2337.0 Renovate Parking Structure # 3 - UKHC
- Provide repair for a higher number of joists and slab on deck areas, as well as reinforcement of a deteriorated steel beam (+) \$35,217
- Project 2324.0 Renovate Northside Library
- Provide for display case revision in Lobby 101 to showcase the Biology and PIMSER programs through images and video (+) \$29,277
 - Provide a 2" asphalt overlay for the existing parking lot for aesthetic and safety reasons (+) \$29,307

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT

This report contains capital projects that have a dollar scope value of \$600,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

Consultant: This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

Contractor: This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

Glossary

Amendment: refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

Change Order: refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

Consultant: the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

Contract administration: refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

General Contractor: the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

Feasibility Study: refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probably cost, preliminary program issues, and so forth.

Gross square feet: the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

H.B.C.: refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

Penthouse: refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

Percent complete: as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

Net assignable square feet: the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

Phase: The various stages of design and construction of a capital project, including:

- Programming phase: refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- Schematic design (S/D or Phase 1): refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- Design development (D/D or Phase 2): refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- Construction document phase (C/D or Phase 3): refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- Bidding phase: refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- Construction phase (or Phase 5): refers to the actual construction of the project by contractors.

Scope: The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

Substantial Completion: refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

Trade Contractor: Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

**Major Projects
Capital Project Management Division
November 30, 2012**

Ambulatory Electronic Health Record Equipment/System (EHR)

Project Description:

This project will upgrade the existing electronic information infrastructure to include building modifications and provide a common, secure electronic information infrastructure for sharing healthcare information. In addition the project will ensure interoperability among health record systems, which, if not implemented, could create up to a 3% reduction in Medicare reimbursements to the Hospital. The infrastructure portion of the project will take place in four phases impacting over 50 clinics. The phases will include an initial bid package supporting three pilot clinic activations and three subsequent bid packages resulting in all impacted clinics being upgraded to new operating standards. Extensive modifications to the cooling systems of the various communication closets are included in the project as well as upgrades to the various clinics' power and data systems.

Project Status:

Phase One of the electronic information infrastructure is complete. As of September 25, 2012 the three clinics included in the pilot phase have successfully gone live with fully functioning EHR systems. All elements of the Phase One which was intended to serve as a testing and refinement platform for the various elements of the electronic information infrastructure design have been demonstrated to be acceptable.

Phase Two of the project which includes electrical and telecommunication infrastructure in three clinics in the Kentucky Clinic, the Pain Clinic at Good Samaritan Hospital and the OB-GYN Clinic in the Good Samaritan Office Building is near completion. The work is approximately 90% complete and moving forward on schedule. This work is scheduled to be complete December, 2012. Equipment start-up and remaining final inspections should occur in early December.

Phase Three of the project which includes infrastructure work in KMSF leased clinics was successfully bid by the KMSF July 17, 2012. The work began the second week in August. Clinics in Richmond, Morehead and Perimeter Drive are now complete. Work continues in clinics at Kentucky Clinic South and the Good Samaritan Professional Arts Center. This work is scheduled to complete late November 2012.

Phase Four which is the largest package in the project was bid August 2, 2012. The bids received were within budget. This work is under contract and moving forward. Clinics in six buildings of the medical campus will be upgraded in the phase. The modifications in Ben Roach Building, Whitney Hendrickson Building and Gill Heart Institute are now complete. Work continues in the Kentucky Clinic, Warren Wright Medical Plaza, and Good Samaritan Medical Office Building. Work in all clinics in this phase will be complete by the end of the year, The overall project continues to move forward on schedule and within budget.

Campus Security System

Project Description:

This project includes various security initiatives using a centralized safety response to campus monitored from the Police Department located in the Safety and Security Building. The project includes a geo-diverse video management (VMS) and security management system (SMS) that provides situational awareness to targeted areas on campus and event driven analytics to alert University Campus Police of possible threats to campus. This project will provide campus-wide camera monitoring, early warning systems speakers, and other communication devices to monitor and respond to campus incidents. The project will provide the infrastructure necessary for proposed installations and incorporate or replace legacy systems to a single security system.

Project Status:

The project is currently out to bid. The Proposals responding to the University's RFP are due December 12th. Shortlisting and interviews are scheduled for January 2013.

Construct UK/Nicholasville Road Flood Mitigation

Project Description:

This project will construct improvements, to provide 100 year storm (and lesser storm) mitigation to prevent roadway overtopping and improve pedestrian safety addressing storm water quantity within this one campus water shed. The area between Alumni Drive and Shawneetown Drive (includes permanent removal of Shawneetown Drive and relocation of existing utilities) will be excavated for additional storm water detention. The project will also upgrade an existing culvert at the upstream side of Nicholasville Road. The \$8,015,463 project will be funded by a federal grant of \$6,011,597 from FEMA with the balance of \$2,003,866 being the In-Kind value of the 3.6 acres of University of Kentucky land determined by adjacent property values. Water quality related issues may also be part of the project scope depending on available funds and compatibility with storm water quantity design.

Project Status:

Completed Schematic design. The University received tentative approval of the \$4 million FEMA amendment. Written approval of the FEMA amendment is tentatively scheduled for early 2013. Utility relocation negotiations continue while work on Design Development must wait until receipt of the written approval of FEMA amendment.

Expand/Renovate Ambulatory Care: Orofacial Pain Clinic

Project Description:

This phase of the Expand/Renovate Ambulatory Care project will renovate approximately 2,850 square feet on the second floor of the Kentucky Clinic Building, Wing C. This phase will include operatories, consulting exam rooms, a waiting room, resident carrel space, lab and supplies space, and radiographic equipment space.

Project Status:

This project is currently under construction. Demolition is complete. Metal stud framing and in wall MEP rough-in is nearing completion. All major equipment is on order. The expected occupancy is February 2013.

Renovate 4th Floor Sanders-Brown Center on Aging

Project Description:

This project will consist of renovating approximately 9,000 square feet of research support space in the Sanders-Brown Center. This project will include retrofitting outdated, non-compliant research support space and the associated mechanical equipment to meet current regulatory requirements.

Project Status:

Substantial completion was achieved on 10/8/12 on schedule.

Renovate Academic Facility – University Lofts Facility

Project Description:

This project will renovate the 110,000 gross square foot facility into classrooms, class labs/studios, office and support space for the College of Fine Arts. The improvements will also include necessary upgrades of the building systems such as communications, plumbing, HVAC, electrical, fire safety and security.

Project Status:

The university will begin schematic design the first week of December, with review documents due in mid-January.

Renovate Northside Library

Project Description:

This project consists of renovating approximately 11,000 GSF of space on a single floor. The building will be renovated into an undergraduate class lab facility for Biology and an outreach instructional facility for the Partnership Institute for Mathematics and Science Education Reform (PIMSER). The improvements will include a community activities room that will double as a classroom for both PIMSER and Biology. The renovation will also incorporate necessary upgrades of the building systems, such as communications, plumbing, HVAC, electrical, fire safety and security.

Project Status:

This building has now been accepted as substantially complete and has been occupied. The contractor is completing outstanding items and performing warranty follow-up as needed.

Renovate Parking Structure # 3 – UKHC

Project Description:

This project will consist of the selective repair of the EIFS on the spandrels and column wraps, repair pre-cast and connections, concrete slab repair, recoating of structural steel components, barrier cable repair, replacement of doors and frames, and miscellaneous painting.

Project Status:

Substantial completion was achieved on 10/23/12.

Renovate Schmidt Vocal Arts Center

Project Description:

This project will complete the renovations to include rehearsal rooms, teaching studios, practice rooms, music library, office space for staff and graduate assistants, and enhancements to the building's main entrance. The project has been funded for the design phase only.

Project Status:

Recent fundraising efforts have provided the necessary funds that will allow this project to move forward in completing the design and construction of the project. A meeting is scheduled with the design consultants to revisit the project documents and revise the schedule as needed.

Renovate Track and Field Facility

Project Description:

The University of Kentucky's Shively Track, used by the men's and women's track and cross country teams, is over 30 years old and needs to be renovated. This project will renovate the existing track and field facility by providing new track and field surfaces, field event training areas, spectator seating, spectator amenities (concessions, restrooms, etc.), and equipment storage. The track is anticipated to be a nine-lane synthetic surface track. An athlete only practice throw area has been integrated into the site plane, creating safety for adjacent spectators as well as providing a proper environment for the training and warm up of student athletes. A practice runway is being incorporated with the javelin throw. This area would also accommodate visiting track teams during meet events. The architectural design and materials will be integrated to enhance the proximity to the design elements of the University's adjacent baseball stadium. The concession building will overlook the track so spectators standing in line will continue to have visual access to the event completion. A new storage facility will be located at the Cooperstown Road entry, and will be of sufficient size to store all track and field equipment.

Project Status:

The project is now substantially complete.

Renovate/Upgrade Softball Complex

Project Description:

This project consists of 1) Spectator seating and amenities, including replacement of the existing portable seating sections for Softball with new treads and risers from end to end of the dugouts that will provide approximately 400-600 new fixed chair back seats, and a combination of 600-800 of bleachers for a total permanent seating capacity of around 1200, including new elevated terraces overlooking the field at the back of the new grandstands and new press facilities, 2) New indoor practice facilities, 3) Playing field improvements, including site lighting and entry plaza to the complex including a new ticket facility and 4) New Team Building with coaches offices, team locker, lounge and equipment facilities for Softball.

Project Status:

Construction is continuing. Foundations are complete for the stadium structure with structural steel frame continuing. Work has begun on the foundation of the batting pavilion.

Upgrade Student Center Infrastructure

Project Description:

The Upgrade Student Center Infrastructure project will repair, upgrade and improve existing building infrastructure systems that have exceeded their useful life, need to be upgraded to meet current building code requirements, or need to be upgraded to meet current capacities being exceeded with occupant load. Systems include HVAC, plumbing and sanitary, mechanical, electrical and lighting, fire suppression/sprinkling, and communications infrastructures. As these infrastructure needs are upgraded, aesthetic and cosmetic upgrades will be made as a result of and in support of infrastructure upgrades.

Project Status:

All design work has stopped pending re-evaluation of project Scope and Budget.

Housing

Project Description:

This project is a partnership between the University of Kentucky and Education Realty Trust (EdR) to replace on campus undergraduate and graduate student housing.

The Haggin Honors Housing is the first phase of this partnership and includes construction of two new dorms located in the green field immediately to the east of the existing Haggin Hall. The project includes two separate buildings with a combined total of 601 new beds. The buildings are four stories high, plus a basement in the south building. The south building also includes three classrooms.

The “Blazer” and “Wildcat” sites consist of constructing two new dorms on north campus. They will be located on the site encompassed by Martin Luther King Blvd. to the west, Lexington Ave. to the east, Avenue of Champions to the south and north to include the Samaritan parking lot which is located just north of the Joe B. Hall Wildcat Lodge. (The JB Hall Lodge is being demolished.) The Blazer (south) building will include 740 beds as well as shelled retail space at the Ave. of Champions entrance. The Wildcat (north) building will include 427 beds.

The Cooperstown Site includes construction of two new buildings located where the existing Cooperstown D & E Buildings are currently located. The project includes two separate buildings with a combined total of 818 new beds. Three (3) classrooms will be included in the design of these buildings.

Project Status:

Haggin - These two buildings are currently under construction. Pre-fabricated walls have been erected on the north building and have begun on the south building. Mechanical, electrical and plumbing rough-ins are in progress. The buildings are scheduled to be opened in August 2013. The project is currently on schedule.

Cooperstown - Perimeter fencing has been erected around the site. A contract has been issued to Dore Contracting to demolish the existing D & E buildings. Demolition of those buildings is scheduled to be complete in mid-January 2013.

Blazer/Wildcat Lodge - Perimeter fencing has been erected around the site and site excavation has begun. A contract has been awarded to HCL, Inc. to demolish the existing Joe B. Hall Wildcat Lodge. Demolition of this building is scheduled to be complete in mid-December 2012.

Renovate Dental Class Labs (611)

Project Description:

The project includes upgrading ventilation systems, modernizing and enhancing technology utilized in training dental students, and accommodating additional student dental workstations and workspaces in the Student Simulation Lab.

Project Status:

Consultant contract is being finalized. This is a complex project which will include an AHU replacement, and require that the contractor renovate the Simulation Lab in an 8 week time period during the summer break of 2013.

Renovate/Expand Gatton Building

Project Description:

This project is for design only to prepare the necessary plans to renovate and expand the existing 138,400 gross square foot Gatton Building. The four story, 60,000 gross square feet expansion is planned to be primarily community-oriented space including an atrium with a café and seating area, eight classrooms, and a 500 seat auditorium. The addition will become the primary entrance from Administration Drive and create a secondary entry from South Limestone. The original 1963 building will be renovated to provide various types of space for faculty and students; and the classrooms in the 1991 addition will be renovated and updated to include the latest technology.

Project Status:

Ross Tarrant Architects have been selected as the prime architectural firm; they are working in association with Robert A.M. Stern Architects to provide architectural services this renovation/expansion project. Site visits were taken to Miami University, Ohio State University, the University of Michigan, the College of William and Mary, and the University of Tennessee to benchmark what other universities have done. In addition, Skanska has been selected to provide construction management services for this project. The consultants are currently finalizing the program document. This project is currently on schedule.

Renovate/Expand Soccer Facilities

Project Description:

This project will renovate and expand the University's soccer facilities to include locker, shower, and lounge space for the men's and women's soccer teams, training and umpire rooms, and new seating and a press box. This project will also include upgrades to plumbing, electrical, and HVAC systems.

Project Status:

Design proposals were received, short listed and interviews held. Luckett and Farley were selected as the Designer. Anticipate final Construction Documents in December 2012 with Bidding to be held in January 2013.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Ambulatory Electronic Health Record Equipment System (EHR)**Project Number: 2335.0****Scope: \$4,861,760****Project Description:**

This project will upgrade the existing electronic information infrastructure to include building modifications, to provide a common, secure electronic information infrastructure for sharing healthcare information. In addition the project will ensure interoperability among health record systems, which, if not implemented, could create up to a 3% reduction in Medicare reimbursements to the Hospital. The infrastructure portion of the project will take place in four phases impacting over 50 clinics. The phases will include an initial bid package supporting three pilot clinic activations and three subsequent bid packages resulting in all impacted clinics being upgraded to new operating standards. Extensive modifications to the cooling systems of the various communication closets are included in the project as well as upgrades to the various clinics' power and data systems.

Consultant: CMTA

Contract # A121090

Total Amendments to Date: 1

Describe all Amendments:

Original Contract Amount: \$123,465

Cumulative Amendment Amount: \$253,890

Revised Contract Amount: \$377,355

Amendment 1, 5/8/12, an increase of \$253,890 was approved to provide for complete design and construction administration required to activate an electronic health record system in an additional forty four clinics. **No amendments this quarter.**

Contractor: Jarboe Construction - Phase 1

Contract # 7500002862

Total Change Orders to Date: 6

Low Bid: \$164,800

High Bid: \$203,300

Number of Bids: 4

Original Contract Amount: \$164,800

Cumulative Change Order Amount: \$6,638

Revised Contract Amount: \$171,438

Contract % complete: 100%

Describe all change orders greater than \$25,000.00:**No change orders greater than \$25,000 this quarter.****Contractor: Jarboe Construction - Phase 2**

Contract # 7500005737

Total Change Orders to Date: 0

Low Bid: \$711,000

High Bid: \$798,500

Number of Bids: 4

Original Contract Amount: \$711,000

Cumulative Change Order Amount: \$0

Revised Contract Amount: \$711,000

Contract % complete: 65%

Describe all change orders greater than \$25,000.00:**No change orders greater than \$25,000 this quarter.****Contractor: Eubank & Steele - Phase 2B**

Contract #

Total Change Orders to Date: 0

Low Bid: \$604,000

High Bid: \$774,000

Number of Bids: 3

Original Contract Amount: \$604,000

Cumulative Change Order Amount: \$0

Revised Contract Amount: \$604,000

Contract % complete: 8%

Describe all change orders greater than \$25,000.00:**No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Campus Security System

Project Number: 2342.00

Scope: \$4,800,000

Project Description:

This project includes various security initiatives using a centralized safety response to campus monitored from the Police Department located in the Safety and Security Building. The project includes a geo-diverse video management (VMS) and security management system (SMS) that provides situational awareness to targeted areas on campus and event driven analytics to alert University Campus Police of possible threats to campus. This project will provide campus-wide camera monitoring, early warning systems speakers, and other communication devices to monitor and respond to campus incidents. The project will provide the infrastructure necessary for proposed installations and incorporate or replace legacy systems to a single security system.

Consultant: Biagi, Chance, Cummins, London & Titzer

Contract # A131060B

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$50,000

Cumulative Amendment Amount:

Revised Contract Amount: \$50,000

Contractor: *No construction contract awarded to date

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct CAER Lab Bldg. #2

Project Number: 2312.01

Scope: \$20,984,305

Project Description:

The University is the recipient of a grant award from the National Institute of Standards and Technology (NIST) as part of the 'America Recovery and Renewal Act' federal stimulus package to construct a new stand alone laboratory building for the University of Kentucky Center for Applied Energy Research located at Spindletop Research Park. Portions of the laboratory facility will be specially equipped to accommodate battery manufacturing R&D. And other portions of the building will be specially equipped to accommodate research related to biomass energy and biofuels, electrochemical energy storage, and solar energy.

Consultant: Murphy+Graves Architects

Contract # A101110

Total Amendments to Date: 1

Original Contract Amount: \$1,645,000

Cumulative Amendment Amount: \$200,300

Describe all amendments :

Revised Contract Amount: \$1,845,300

Amendment # 1, 2/14/12, an increase of \$200,300 was approved to provide additional design, purchase, coordination and installation for the Energy Dashboard concept. This is an electronic educational interactive dashboard system for multiple locations throughout the building. **No amendments this quarter.**

Contractor: Turner Construction Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. *Turner's contract was awarded by RFP process.

Contract # 4500039389

Total Change Orders to Date: 142

Low Bid:

High Bid:

Original Contract Amount: \$15,420,613

Cumulative Change Order Amount: \$809,974

Revised Contract Amount: \$16,230,587

Contract Percent Complete: 99%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 3, 1/21/11, was approved for an increase of \$146,400 to shift the underslab plumbing work from Bid Pack # 3 to Bid Pack # 1 facilitating the first floor slab being poured and completed earlier in the construction schedule resulting in cost savings. **Change Order # 22**, 9/15/11 was approved for an increase of \$55,965 to provide an equipment change allowing for a fan that will operate more efficiently at a lower usage. **Change Order # 23**, 9/15/11 was approved for an increase of \$50,307 to provide modification of the heat pump and ductwork layout to provide energy enhancement to the initial design. **Change Order # 24**, 9/15/11 was approved for an increase of \$60,218 to add in redundant pumps to provide greater system reliability in case of failure. **Change Order # 26**, 9/15/11 was approved for an increase of \$85,825 to add back the battery testing bunker bid package that was removed from Bid Pack # 3. **Change Order # 37**, 11/16/11, was approved for a decrease of \$191,492 to provide for value engineering changes after project bids were received. **Change Order # 49**, 11/1/11, was approved for an increase of \$52,188 to revise the primary power to support the power requirements for the project. This change is necessary due to found conditions and incorrect information in record documents of the utilities currently installed. **Change Order # 50**, 11/1/11, was approved for an increase of \$26,410 to provide oversized curb needed to close the roof opening for the strobic fan installation. **Change Order # 71**, 1/11/12, was approved for an increase of \$37,157 to provide power connections as required for the environmental chambers and to provide a filtered ceiling system. **Change Order # 78**, 2/2/12, was approved for an increase of \$30,433 to provide an electric meter, a chilled water system COP and a hot water system COP needed to accomplish the energy comparison between the CAER I and CAER II Lab for the Energy Dashboard. **Change Order # 92**, 3/5/12, was approved for an increase of \$37,624 to extend general conditions and project staffing for an additional twenty seven days. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility

Project Number: 2239.0

Scope: \$530,958,626

Project Description:

This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge as well as land acquisition and utility costs.

Consultant: GBBN, Inc.

Contract #	A061080	Original Contract Amount:	\$29,462,728
Total Amendments to Date:	12	Cumulative Amendment Amount:	\$7,528,442
Describe all Amendments:		Revised Contract Amount:	\$36,991,170

Amendment # 1, 9/12/06, was approved for \$299,044 for additional design services required to design two additional floors for the parking garage. This amendment also provides for additional survey services associated rerouting of the ductbank; the addition of 12 receptor sources to be studied as part of the existing wind study; and the need for additional storm water modeling to review the impact on the new facility during heavy rain storm events. **Amendment # 2**, 2/13/07, was approved for additional design services associated with increased scope including but not limited to increased renovation of areas in the existing hospital (all phases); development of early bid packages for infrastructure and utility packages and temporary emergency department access; development of on additional bed wing (all phases); development of partial cafeteria (all phases); design of fitup for two additional floors (shell only - all phases); design of a redundant communications feed (all phases); additional design services associated with security systems; development of design of Phase 1B air handlers (thru DD's) and additional design of costs associated with relocation and installation of above ground and underground fuel tanks. Also included is additional reimbursable services for geotechnical rock investigation; additional site survey and added construction testing. **Amendment # 3**, 5/13/08, an increase of \$868,849 was approved for additional design services to include completion of the PCF Auditorium and construct a mock up in the College of Nursing. It also provides for 3D images and graphic design services for fund raising efforts as well as costs associated with preparing early and separate supplemental bid packages. **Amendment # 4**, 4/14/09 an increase of \$332,700 was approved for expanded design services to include completion of design of the surgery waiting expansion, the expansion of Children's Hospital entry, changes in the auditorium design, refining several infrastructure elements and further development of gift shop case work. **Amendment # 5**, 6/9/09, an increase of \$72,151 was approved for implementation of a GIS facility mgmt. system to import data associated with buildings and spaces, with the ability to expand for future inclusion of detail equipment, finishes and furniture data information. **Amendment 6**, 10/13/09, an increase of \$281,162 was approved for additional design services, additional renderings, revision of art work lighting and expenses for the donor recognition design as well as additional construction administration services for the added auditorium AV systems. **Amendment 7**, 4/20/10, an increase of \$278,370 was approved to provide additional design services for the Celebrate Kentucky Wall, Rose Street Plaza, the green roof, enabling a lobby fountain. The amendment also provides for additional reimbursable services. **Amendment 8**, 6/8/10, an increase of \$937,498 was approved to provide for the completion of design and the addition of construction administration services for the Patient Care Facility OR Fit-out project. Provides for design thru phase 2 of the kitchen and cafeteria fit out of the PCF.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility Amendments Cont.....

Amendment 9, 1/25/11, an increase of \$409,072 was approved to provide design services for the PCF Data Center fit-up. **Amendment 10**, 5/10/11, a increase of \$611,368 was approved to extend CA services. **Amendment 11**, 11/8/11, an increase of \$395,464 will provide additional utility investigation, design services, inspections and to extend the construction administration services for the PCF Project. Also includes an increase of \$71,722 for additional design.

Amendment 12, 6/12/12, an increase of \$68,282 was approved for three months of extended construction services and design and construction of three additional fit-up spaces; these include a conference room in the basement, a hydrotherapy room on the sixth floor and offices in the basement for Facilities and Supply & Distribution. **No amendments this quarter.**

Contractor: Turner Construction Co.

*Note: This is a 'CM-At-Risk' contract. The amount will be increased as sub-contracts are bid and added. *Turner's contract was awarded by RFP process.*

Total Change Orders to Date:	1315	Original Contract Amount:	\$319,756,574
Low Bid:		Cumulative Change Order Amount:	\$24,430,664
High Bid:		Revised Contract Amount:	\$344,187,238
Number of Bids:		Contract % complete:	100%

Change Order # 1, 6/4/07, was approved for an increase of \$91,344 to facilitate procurement strategy and meet the project timeline. A portion of select infrastructure was transferred from Bid Pack 4A to the earlier Bid Pack 3B. **Change Order #2**, 5/31/07, was approved for an increase of \$65,296 to relocate the existing direct buried lines and pressurized drain found to be in conflict with the new tunnel. Since the lines must be moved they will be relocated into the new tunnel to make the lines accessible for maintenance. **Change Order # 3**, 7/11/07, was approved for an increase of \$58,928 to relocate an existing sewer manhole and associated lines to sanitary sewer manhole and associated lines to resolve underground utility conflicts. **Change Order # 5**, 9/6/07, was approved for an increase of \$49,439 to replace and relocate deteriorated and inaccessible 2 1/2" and 1 1/2" underground direct buried steam lines that were revealed upon excavation. **Change Order # 11**, 10/4/07, was approved for an increase of \$47,780 to re-route the existing domestic water line as well as the existing water main. **Change Order # 13**, 10/9/07, was approved for \$40,755 to relocate approximately 150' storm line and associated manhole due to conflict with the new Cooling Plant # 3 tunnel. **Change Order # 21**, 11/21/07, was approved for an increase of \$53,383 to convert the 7000KVA transformer from aluminum to copper windings, add air switch to the transformer and add a medium voltage outdoor breaker with remote monitoring and control capability to five electric substations. These changes were made to increase the efficiency of the system and to meet specifications of upcoming substation upgrades. **Change Order # 23**, 12/10/07, was approved for a decrease of \$48,383 to alter the installation of the cold water and cold water return piping system to avoid conflict with sensitive boiler equipment. **Change Order # 27**, 12/5/07, was approved for an increase of \$144,844 to provide saw cutting of the pedestrian walkway and walkway roofing. The saw cutting increase method will reduce noise and vibration impacts to the adjacent Critical Care Facility. **Change Order # 32**, 1/18/08, was approved for a increase of \$33,072 to enlarge the existing emergency generator pads for installation of new diesel generators due to changes in EPA regulations. **Change Order # 34**, 2/1/08, was approved for \$388,426 to provide additional CM staff and general conditions due to an additional two floors being added to the Patient Care Facility. **Change Order # 42**, 3/20/08, was approved for an increase of \$128,502 to extend the limits of blasting and excavation of rock in Bid Pack # 4 to accommodate the installation of sanitary line.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility Change Orders greater than \$25,000 Cont.....

The rock removal was originally intended for Bid Pack # 5, but will provide savings if moved to Bid Pack # 4. **Change Order # 43**, 3/31/08, was approved for \$229,016 to increase the rock allowance due to greater rock quantities than anticipated. **Change Order # 58**, 4/23/08, a decrease of \$60,522 was approved to utilize the existing ductbank for the new conductors. The existing ductbank was lower than expected and did not interfere with the new storm tunnel. **Change Order # 60**, 4/23/08, for \$34,827 to increase the ductbank from six to twelve conduits to match the size of the existing ductbank. **Change Order # 66**, 5/6/08, approved for \$42,700 to offset new 36" chilled water lines. A 2" foam glass board insulation was put in place between the chilled water and high pressure steam line due to unexpected elevation. **Change Order # 68**, 6/4/08, was approved for \$39,794 to perform rock removal while classes are in session using a rock trenching machine so as not to disturb students and staff in the Ag. Science Bldg. **Change Order # 71**, 5/7/08, was approved for \$47,571 to install four new handicap ramps and thermoplastic street marking to comply with ADA standards. **Change Order # 73**, 6/6/08, was approved for \$37,090 to replace water meter vault that is in the CP # 3 excavation footprint due to location of hidden chilled water lines. **Change Order # 85**, 6/4/08, was approved for \$28,092 to revise alignment and depth of electrical ductbank that runs from Scott Street toward Electric Substation # 2, ensuring proper cover for manhole and ductbank when future changes are made in this area. **Change Order # 90**, 6/9/08, was approved for \$42,604 to install new ductbank at a depth greater than planned due to concealed ductbank. **Change Order # 98**, 7/3/08, was approved for an increase of \$62,263 to modify the tower crane foundation. This was required due to unsatisfactory rock with mud seams and fractures found in the west tower crane location. **Change Order # 102**, 7/14/08, was approved for \$50,183 to increase the size of both main electrical duct banks. **Change Order # 110**, 7/30/08, was approved for an increase of \$25,219 to remove 240 linear feet of bad soil on Scott Street that is unsuitable for the new duct bank. Excavated material will be replaced with flowable fill concrete. **Change Order # 121**, 8/25/08, was approved for an increase of \$32,912 to provide additional excavation for fuel tanks to meet required depth. **Change Order # 127**, 8/22/08, was approved for \$43,493 to perform additional excavation at area "A" due to unsuitable rock. seams and fractures found in the west tower crane location. **Change Order # 102**, 7/14/08, was approved for \$50,183 to increase the size of both main electrical duct banks. **Change Order # 110**, 7/30/08, was approved for an increase of \$25,219 to remove an additional 240 linear feet of bad soil on Scott Street that is unsuitable for the new duct bank. Excavated material will be replaced with flowable fill concrete. **Change Order # 121**, 8/25/08, was approved for an increase of \$32,912 to provide additional excavation for fuel tanks to meet required depth. **Change Order # 127**, 8/22/08, was approved for \$43,493 to perform additional excavation at area "A" due to unsuitable rock. Excavated material will be replaced with 4000 psi concrete. **Change Order # 128**, 8/22/08, was approved for an increase of \$30,655 to perform additional excavation at area "B" shear wall/grade beam due to unsuitable bearing. Excavated material will be replaced with 4000 psi concrete. **Change Order # 130**, 9/9/08, was approved for an increase of \$27,914 to provide control of the control valves with selector switch indicator lights. The switch will allow the operator to select which tank to pull oil from. **Change Order # 138**, 9/22/08, for \$40,322 to provide a larger drilling rig needed to sleeve and bore larger holes due to the composition of the subgrade. **Change Order # 139**, 9/22/08, was approved for \$27,303 to sawcut the CCC tunnel footers and slab as required for the 16" sanitary pipe to pass thru the tunnel. The pipe will be sleeved to prevent shearing and concrete and resteel placed around the sleeve. This is due to the existing sanitary pipe being lower than expected. **Change Order # 143**, 9/29/08, was approved for \$33,766 to excavate 6' to 7' below design elevation on the 12.3 line from Column Line D.1 to the southeast

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility Change Orders greater than \$25,000 Cont.....

corner. Excavated material will be replaced with 4000 psi concrete and added resteel due to found rock. **Change Order # 159**, 11/3/08, was approved for an increase of \$38,301 to offset chilled water piping. **Change Order # 164**, 11/26/08, was approved for \$34,881 to install a high pressure steam valve and a pump discharge valve in the main on the south side of the Gill Heart tap. This will reduce the outage to the Gill Heart building and the Critical Care to less than one day and will eliminate future outages. **Change Order # 165**, 11/26/08, was approved for an increase of \$73,079 to provide for additional removal of rock and soil and to provide additional concrete for the drilled piers. Found subsurface conditions required deeper piers than were required by contract. **Change Order # 173**, 1/20/09 was approved for an increase of \$39,957 to provide for additional cost required to bore under existing gas line for the new 24" sanitary main. The original gas line was not relocated as originally planned due to access issues. **Change Order # 177**, 3/2/09, was approved for increase of \$51,550 to remove existing unsuitable soil where fuel tanks were removed. Backfill and compact will be added to grade. **No change orders over \$25,000 this quarter.**

BP # 5 Change Orders

Change Order # 2 BP # 5, 6/30/08, approved for \$36,359 to provide and install 48" diameter sump pit and piping, for existing subsurface groundwater. This addressed the field condition of ground water that has been observed in open excavations. **Change Order # 4 BP # 5**, 7/23/08, was approved for \$26,723 to provide two mock-ups of the architectural precast panels. **Change Order # 7 BP # 5**, 9/15/08, was approved for \$39,922 to provide concrete shear walls at Column Line 12/E-F and Column Line 12/L-M, ground level to underside of third level. **Change Order # 8 BP # 5**, 9/30/08, was approved for an increase of \$26,540 to add one (1) gate valve and three triple duty offset butterfly valves to high pressure steam lines. The original design did not allow for a partial cut-off of steam supply to the building. **Change Order # 20, BP # 5**, 12/2/08, for an increase of \$38,438 to provide concrete shear walls in accordance with the structural documents. The trade contractors scope descriptions failed to assign this task. **Change Order # 24, BP # 5** 2/6/09 was approved for \$27,389 to revise the medium voltage cable spec to meet published UK standard. **Change Order # 26, BP # 5**, 1/20/09 was approved for an increase of \$25,547 to provide additional doors to the freight elevators allowing access to these elevators from the exterior. **Change Order # 27, BP # 5** 1/20/09 was approved for an increase \$29,111 to install 60 linear feet of 18" reinforced concrete pipe from the existing storm manhole to the new 12' diameter manhole. **Change Order # 32, BP # 5**, 2/10/09 was approved for \$71,714 for the upsizing and rerouting of the steam generators. **Change Order # 41, BP # 5**, 3/26/09 was approved for an increase of \$75,511 to provide miscellaneous electrical revisions to Bid Pack 5 electrical systems to include new distribution panel and critical bus duct plugs. **Change Order # 45 BP # 5**, 4/30/09, was approved for an increase of \$26,288 to add a medium voltage outdoor breaker with remote monitoring and control capability to all substation breakers. This will increase the efficiency of the system. **Change Order # 46 BP # 5**, 4/30/09, was approved for an increase of \$25,931 to provide and install twelve (12) humidifier condensate pumps to provide for disposal of the condensate from the air handlers. **Change Order # 47 BP # 5**, 5/12/09, was approved for an increase of \$41,529 to add a low pressure steam pumping system to the basement level of the facility. **Change Order # 51 BP # 5**, 5/29/09, was approved for an increase of \$228,937 to provide a compatible mating of the curtain wall and precast systems. This silicone tape allows for a water tight skin on the structure. **Change Order # 52 BP # 5**, 5/15/09, was approved for an increase of \$30,633 to re-route existing lines allowing for placing of structural column to support east connector. **Change Order # 57 BP # 5**, 6/1/09, was

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility Change Orders greater than \$25,000 Cont.....

approved for an increase of \$29,521 to fund overtime payment for Baker Construction. This additional cost will be used to make up time lost due to weather delays in December 2008 and January 2009. These days will need to be made up to open the Emergency Department on the currently scheduled date. **Change Order # 62 BP # 5**, was approved for \$36,687 to fund overtime payment for Baker Concrete over four weeks in May to maintain schedule. Overtime work was required to maintain the concrete pour schedule due to inclement weather. **Change Order # 65 BP # 5**, was approved for an increase of \$47,723 to install backfill against the southeast and southwest corners of the Patient Care Facility. **Change Order # 66 BP # 5**, 6/26/09, was approved for an increase of \$26,436 to re-route existing sanitary sewer to allow for installation of bridge pier. **Change Order # 63 BP # 5**, 8/12/09, was approved for a increase of \$35,236 to allow for payment of shift premium to accelerate pan removal under the 4th and 5th decks. This will accelerate the work schedule. **Change Order # 69 BP # 5**, 7/2/09, was approved for an increase of \$27,497 to provide sidewalk stabilization during excavation for a pilaster. This change order also allows for removal and reinstallation of the distilled water line that conflicts with the new foundation. **Change Order # 84 BP # 5**, 9/2/09, was approved for an increase of \$43,756 to allow for a needed additional 2 feet of height to the Penthouse elevator. **Change Order # 85 BP # 5**, 9/7/09, was approved for an increase of \$67,697 to replace existing 18" ADS plastic storm pipe under the lobby area with 18" ductile iron pipe to meet code. **Change Order # 86 BP # 5**, 9/15/09, was approved for an increase of \$50,436 to fund the purchase and installation of fifty six control dampers required for proper functioning of the Air Handling Units that were purchased directly by UK. **Change Order # 88, BP # 5**, 10/2/09, was approved for an increase of \$69,965 to provide for payment of onsite personnel at the new Kentucky Labor Cabinet prevailing wage rates. **Change Order # 96, BP # 5**, 10/16/09, was approved for a decrease of \$25,727 to delete flex connection. **Change Order # 99, BP # 5**, 10/27/09, was approved for an increase of \$231,535 to furnish and install additional accessories, fitting, valves and controls for the heat exchangers. **Change Order # 117, BP # 5**, 12/9/09, was approved for an increase of \$27,631 to fund overtime work for the steel contractor in order to complete the necessary steel erection for the topping out ceremony. **Change Order # 126, BP # 5**, 1/11/10, was approved for an increase of \$109,869 to extend sleeves in all IDF/EIDF rooms in order to allow access to the sleeves from the floor below without infringing on the cable tray. **Change Order # 132, BP # 5**, 2/1/10, was approved for an increase of \$31,104 to add one 112 x 34 fire damper and modify two 112 x 34 fire smoke dampers in the outside air dampers on the fourth floor. The actuators for these dampers need to be internal due to space restrictions and access requirement. **Change Order # 133, BP # 5**, 2/10/10, was approved for an increase of \$26,580 to relocate the 3" chilled water supply take-off to the supply main on the discharge side of the chilled water pumps, so that it is located upstream of flow meter and will properly operate. **Change Order # 139, BP # 5**, 2/24/10, was approved for an increase of \$71,292 to provide safety drain traps to prevent condensate from backing up in the humidification steam generator tubes during a low pressure condition. **Change Order # 145, BP # 5**, 3/1/10, was approved for an increase of \$46,192 to provide top of shaft/elevator control room sleeves and machine beams to accommodate future elevator equipment. The work will be done at this time to allow for significant modifications to the building structure which would be difficult and disruptive when the hospital is operational. **Change Order # 147**, 3/2/10, was approved for an increase of \$49,407 to revise various duct sizes and pipe routing at several locations on the 3rd & 4th floor mechanical rooms. **Change Order # 148, BP # 5**, 3/4/10, was approved for an increase of \$138,921 to provide and install 40 foot mast to accommodate 7 antennas. This includes all structural support, warning lights, and fire stopping required for proper installation of the 40 foot

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility Change Orders greater than \$25,000 Cont.....

mast. **Change Order # 149, BP # 5, 3/4/10**, was approved for \$66,388 to provide lift-net ethernet based central monitoring system, software, and supporting infrastructure for monitoring of all new and future elevators in the PCF. **Change Order # 150, BP # 5, 3/8/10**, was approved for an increase of \$67,125 to relocate high pressure steam header in basement above chilled water pipes to eliminate trapped piping in order to prevent an unwanted trap. **Change Order # 155, BP # 5, 3/17/10**, was approved for an increase of \$56,402 to revise south supply and return 24" chilled water feeds into basement as required. This will reverse the supply and return to match the connections to the mains in the tunnel. **Change Order # 156, BP # 5, 3/19/10**, was approved for an increase of \$37,489 to furnish and install access hatches on the 15th and 16th floors for future service and installation of equipment in elevator machine rooms at the top of each tower. **Change Order # 168, BP # 5, 4/23/10**, was approved for an increase of \$54,344 to relocate 16 fire/smoke damper actuators and add access doors as required to provide access for maintenance and yearly testing. **Change Order # 171, BP # 5, 4/27/10**, was approved for \$81,371 to furnish and install conduit and added control wiring from unit substations to remote Entellisys control stacks. **Change Order # 185, BP # 5, 6/24/10**, was approved for an increase of \$49,935 to route high pressure condensate from heating hot water and domestic hot water converters to high pressure return back to condensate pump. This enhances the reliability and safety of the building and campus steam system. **Change Order # 187, BP # 5, 6/25/10**, was approved for \$26,761 to provide additional hall position indicators for five elevators. **Change Order # 191, BP # 5, 7/27/10**, was approved for an increase of \$52,938 to install a check valve in the bypass line between the heating hot water supply and return at each of the units. **Change Order # 198, BP # 5, 8/18/2010**, was approved for an increase of \$129,334 to furnish and install snubbers on the transformers that serve the two fire pumps as a precautionary action to protect against future damage to the transformers. **Change Order # 203, BP # 5, 10/12/10**, was approved for an increase of \$161,355 to provide additional supervision required to manage concurrent crews on the stairwells in order to achieve the original milestone completion dates. The CM's original baseline schedule had drywall work in the stairwells progressing prior to achieving full water tightness of the building. **Change Order # 214, BP # 5, 1/10/11**, was approved for an increase of \$25,893 to fire wrap the exhaust ducts on the 14th level corridor. **Change Order # 221, BP # 5, 2/2/11**, was approved for an increase of \$51,570 to install a status annunciator panel for the transfer switches on the fourth floor. **Change Order # 227, BP # 5, 3/24/11**, was approved for an increase of \$32,087 to install an additional set of CT's on the load side of the stand alone fire pump circuit breakers on the fourth floor. **No change orders greater than \$25,000 this quarter.**

BP # 6 Change Orders

Change Order # 1, BP # 6, 1/23/09 was approved for an increase of \$74,499 to increase booster pump capacity. **Change Order # 2, BP # 6, 3/3/09** was approved for an increase of \$38,126 to allow for the installation of piping for dialysis systems on the 5th floor. **Change Order # 3, BP # 6, 3/24/09** was approved for an increase of \$101,006 to utilize Densarmor exterior sheeting for the electric and data closets. This will allow for the installation of the materials prior to the roofing of the structure. This will enhance the schedule and allow the project to remain on track for the substantial completion of the emergency department. **Change Order # 11 BP # 6, 5/21/09**, was approved for an increase of \$320,823 to re-design to meet the State's interpretation of the KY plumbing code. Venting was added as well as the resizing of piping. **Change Order # 12 BP # 6, 5/29/09**, was approved for a decrease of \$50,620 to delete all Galley Equipment from the 8th Floor. **Change Order # 13 BP # 6, 6/1/09**, was approved for an increase of \$33,352 to relocate the required power for the elevator machine room. **Change Order # 19 BP # 6, 7/2/09**, was approved

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility Change Orders greater than \$25,000 Cont.....

for an increase of \$69,287 to fund the installation of ground bars and associated ground wiring in 110 locations data and electrical closets. **Change Order # 37 BP # 6, 8/18/09**, was approved for a decrease of \$73,319 to delete demolition of existing 36" storm drain and the new 36" storm line. **Change Order # 45 BP # 6, 8/28/09**, was approved for an increase of \$367,335 to install cast-in-place concrete anchors in the 6th floor to support the 5th floor MEP items. This work will drastically reduce the noise associated with the fit-out of the 5th floor which is scheduled to occur after the 6th floor is occupied. **Change Order # 46 BP # 6, 8/28/09**, was approved for an increase of \$28,293 to upgrade power, add a smart panel, and tie to the existing Tridium system for controls of exterior fountain system and site lighting. This allows for reduced maintenance costs and remote management of the exterior system. **Change Order # 47 BP # 6, 8/28/09**, was approved for an increase of \$127,027 to provide one Edgestar door controller per door. **Change Order # 53 BP # 6, 9/21/09**, was approved for an increase of \$29,323 to replace Luminaire type F55 with type F56. **Change Order # 55 BP # 6, 9/21/09**, was approved for an increase of \$191,975 to provide fit out of additional office spaces in the basement of the Patient Care Facility. **Change Order # 56 BP # 6, 9/22/09**, was approved for a decrease of \$80,087 to delete fiber and coax to work stations throughout the podium. Upon review of the function of the these work stations fiber and coax was deemed unnecessary. **Change Order # 57 BP # 6, 9/29/09**, was approved for an increase of \$626,061 to amend Turner's contract to allow for payment of prevailing wage rate determined by the Kentucky Labor Cabinet. The rates included in the original bid documents were increased by the State prior to contract signing. **Change Order # 69, BP # 6, 10/16/09**, was approved for an increase of \$101,492 to provide coax cable to service televisions in all patient rooms. **Change Order # 72, BP # 6, 10/16/09**, was approved for an increase of \$351,828 to furnish and install 3,000 gallon concrete grease trap. This is required for the future fit-out of the kitchen and cafeteria. Installation at this time results in cost savings and lessens future impact on hospital operations. **Change Order # 82, BP # 6, 10/26/09**, was approved for an increase of \$38,299 to revise electric and data outlets due to staff changes made to increase the efficiency of the space. Additional cabling for the Hill-Rom system was also required. **Change Order # 100, BP # 6, 12/14/09**, was approved for an increase of \$66,196 to upgrade grounding of medium voltage electrical systems for both normal and emergency power from 7 switches to manholes E412 and E214E to provide a safe and reliable high voltage system. **Change Order # 103, BP # 6, 11/24/09**, was approved for an increase of \$36,720 to revise the route of one set of oxygen lines and to add offset to oxygen lines at all steam line expansion joints in the tunnel. This will create a more reliable and robust oxygen system. **Change Order # 104, BP # 6, 11/24/09**, was approved for an increase of \$58,594 to add additional unit heater and cabinet unit header DDC controls. **Change Order # 105, BP # 6, 11/24/09**, was approved for an increase of \$25,760 to provide the additional 120 Volt circuits needed for the operation of the smoke dampers. **Change Order # 109, BP # 6, 11/23/09**, was approved for an increase of \$52,082 to provide patient lift system with a rail in select Acute and Acute Isolation restrooms on the 6th and 7th floors. This will greatly improve patient care and reduce staff injuries. **Change Order # 110, BP # 6, 11/24/09**, was approved for an increase of \$44,339 to purchase dialysis boxes required for the 6th and 7th floor ICU patient rooms. **Change Order # 111, BP # 6, 11/25/09**, was approved for an increase of \$95,389 to provide raceways, electrical wiring and teledata wiring for wireless telemetry system. **Change Order # 112, BP # 6, 11/25/09**, was approved for a decrease of \$139,555 to reduce the number of drops and raceways for the wireless telemetry grid. **Change Order # 113, BP # 6, 11/24/09**, was approved for an increase of \$38,833 to revise the main duct mains in basement areas A and C to allow for proper installation of mechanical systems. **Change Order # 114, BP # 6, 12/9/09**, was approved for an increase of \$27,464 to reroute existing electrical feeders that are located overhead in the hospital loading dock to allow for installation of new utilities. **Change Order #**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility Change Orders greater than \$25,000 Cont.....

115, BP # 6, 12/9/09, was approved for an increase of \$53,632 to change power from 80/20 emergency to a normal 50/50 configuration in all patient rooms on the 6th and 7th floors.

Change Order # 117, BP # 6, 12/9/09, was approved for a decrease of \$64,113 to relocate data and power outlets, and make changes to light switching on the 6th and 7th floors. **Change Order**

122, BP # 6, 12/15/09, was approved for an increase of \$207,802 to provide one additional data outlet and power receptacle in the wall in each of the 128 patient rooms. **Change Order # 125,**

BP # 6, 12/15/09, was approved for an increase of \$41,512 to provide conduit for the door access intercom system for the intercom device to the cable tray and to the Intercom Master Panels throughout the project. **Change Order # 134, BP # 6, 12/15/09**, was approved for an increase

of \$48,070 to upgrade and revise the HVAC, electrical services, and IT infrastructure to support additional equipment in the security/dispatch room. **Change Order # 143, BP # 6, 12/15/09**, was

approved for an increase of \$36,860 to provide additional ventilation in the 4th floor CCC Mechanical tower to accommodate Swisslog equipment. **Change Order # 144, BP # 6, 1/4/10**,

was approved for an increase of \$49,623 to provide temporary weather protection to contain winter heat in the podium. **Change Order # 146, BP # 6, 1/4/10**, was approved for an increase of

\$40,504 to make adjustments to the ductwork to allow for installation of systems while maintaining ceiling height as required for proper utilization of the spaces. **Change Order # 157, BP # 6,**

1/14/10, was approved for an increase of \$123,223 to furnish and install one medical gas master alarm panel in Room H64 to allow for a UL rated system. **Change Order # 161, BP # 6, 2/1/10**,

was approved for an increase of \$34,574 to revise the light fixture selection and lighting fixture layout for the up lights highlighting the eyebrow on the 5th and 12th floors of the structure.

Change Order # 162, BP # 6, 2/1/10, was approved for an increase of \$82,634 to modify VESDA smoke detection system. **Change Order # 167, BP # 6, 2/4/10**, was approved for an increase of

\$229,299 to add data and power outlets for the upper cabinet space in patient room wardrobes for IT equipment mounting space. **Change Order # 168, BP # 6, 2/4/10**, was approved for an

increase of \$108,706 to provide additional power necessary for the final pneumatic tube system design. **Change Order # 174, BP # 6, 2/16/10**, was approved for an increase of \$136,321 to

revise 6th floor ductwork and cable tray to include additional conduit runs in vicinity of the mechanical chases to allow for proper access to mechanical and electrical equipment.

Change Order # 175, BP # 6, 2/16/10, was approved for an increase of \$115,641 to revise 7th floor ductwork and cable tray to include additional conduit runs in vicinity of the mechanical chases to allow for proper access to mechanical and electrical equipment. **Change Order # 176, BP # 6,**

2/23/10, was approved for an increase of \$154,586 to add power infrastructure to support additional UPS devices in all 32 EIDF rooms. **Change Order # 177, BP # 6, 2/23/10**, was

approved for an increase of \$37,436 to remove and replace floor tile in the ED. **Change Order**

181, BP # 6, 2/23/10, was approved for an increase of \$40,687 to re-route glycol and hot water piping on level 14 due to unforeseen space constraints. **Change Order # 183, BP # 6, 2/24/10**,

was approved for an increase of \$50,973 to revise the location of 8 patient tracking monitors and add 7 locations for overhead tracking monitors in the ED. **Change Order # 188, BP # 6, 3/4/10**,

was approved for an increase of \$102,822 to add piping and electrical connections for dialysis equipment in 16 step down rooms to increase the flexibility of the patient rooms. **Change Order**

192, BP # 6, 3/4/10, was approved for an increase of \$88,311 to revise domestic water piping to the steam pressure. **Change Order # 175, BP # 6, 2/16/10**, was approved for an increase of

\$115,641 to revise 7th floor ductwork and cable tray to include additional conduit runs in vicinity of the mechanical chases to allow for proper access to mechanical and electrical equipment.

Change Order # 176, BP # 6, 2/23/10, was approved for an increase of \$154,586 to add power infrastructure to support additional UPS devices in all 32 EIDF rooms. **Change Order # 177,**

BP # 6, 2/23/10, was approved for an increase of \$37,436 to remove & replace floor tile in the ED.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility Change Orders greater than \$25,000 Cont.....

Change Order # 234, BP # 6, 4/13/10, was approved for an increase of \$50,535 to add section of built-in curved seating, revise wall cap material, and add gaming area countertop to the pediatric waiting in the ED. **Change Order # 238, BP # 6, 4/14/10**, was approved for an increase of \$93,626 provide the infrastructure and connections necessary to support University supplied uninterrupted power supplies in the IDF and EIDF rooms through the project. **Change Order # 243, BP # 6, 4/22/10**, was approved for an increase of \$76,602 to furnish and install condensate drains for two air handler units in electrical rooms on the fourth floor and insulate condensate drains throughout the building. **Change Order # 244, BP # 6, 4/21/10**, was approved for an increase of \$52,639 to revise ductwork in the 1st floor lobby to accommodate the radiused bulkhead to include extending the height of the plenums along the lobby exterior wall and installing revised linear grills. **Change Order # 251, BP # 6, 4/21/10**, was approved for an increase of \$74,208 to revise coring layout, poke thru devices, and electrical and technology raceways for Steelcase furniture in ED area. **Change Order # 252, BP # 6, 4/21/10**, was approved for an increase of \$74,097 to add temperature mixing valves to eyewashes and emergency showers on the ground, 6th and 7th floors. **Change Order # 287, BP # 6, 5/13/10**, was approved for an increase of \$38,906 to install dust shield covers on 164 drop in lights in the ED for infection control purposes. **Change Order # 288, BP # 6, 5/13/10**, was approved for an increase of \$47,608 to re-route storm piping, irrigation piping, and vent piping above the ceiling in the auditorium to allow for proper installation of mechanical equipment. **Change Order # 301, BP # 6, 5/18/10**, was approved for an increase of \$54,139 to convert two secure treatment rooms into isolation rooms by adding a new isolation exhaust fan on the 1st floor roof, within the chiller screen wall. Modify air balance and add room monitors for proper pressurization. **Change Order # 327, BP # 6, 6/3/10**, was approved for an increase of \$107,562 to provide temporary upright sprinkler heads where ceilings are not yet installed on the ground floor, and upgrade and extend several of the existing partitions to 2 hour walls for the phased turnover of the ED. **Change Order # 328, BP # 6, 6/7/10**, was approved for an increase of \$101,969 to add missing life safety power to 87 fire smoke dampers. **Change Order # 332 BP # 6, 6/10/10**, was approved for an increase of \$88,130 to revise metal stud layout, electrical work, duct work, floor and wall finishes to accommodate the "Celebrate Kentucky" wall design. The final design of the wall was not available when the construction documents were published. **Change Order # 334, BP # 6, 6/11/10**, was approved for an increase of \$42,212 to add various security hardware items to the ground floor ED which were not included in the original contract documents. **Change Order #336, BP # 6, 6/11/10**, was approved for an increase of \$34,217 to provide horizontal connections for 34 locations for the overhead paging system in the ED. The final design of the system was not selected by the end users prior to the submission of construction documents. **Change Order # 340, BP # 6, 6/24/10**, was approved for an increase of \$80,919 to add card readers, locking door hardware, exit button, door contact, key switch, shear locks, retractable latches and delayed egress controller to various doors in the ED to realize the required security and access control for imaging areas and med. storage rooms. **Change Order # 343, BP # 6, 6/25/10**, was approved for an increase of \$45,551 to include a new pathway and the abutting planting mounds for the green roof on the 5th floor roof. It includes the relocation of the excess Green Roof planting trays that are displayed by the new pathway and planting mounds. **Change Order # 350, BP # 6, 6/25/10**, was approved for an increase of \$89,093 to add one duplex outlet and change one duplex to quad outlet in 128 Acute Care and ICU Patient Rooms on the floors. **Change Order # 354, BP # 6, 6/29/10**, was approved for an increase of \$69,437 to modify street light bases and illuminated signs along Limestone St. to allow installation of exterior signs. **Change Order # 433, BP # 6, 8/25/10**, was approved for an increase of \$36,213 to reroute storm pipe in the ground floor lobby area including portion the underground piping. **Change Order # 441, BP # 6, 8/30/10**, was approved for an increase of \$48,310 to furnish

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility Change Orders greater than \$25,000 Cont.....

the labor and material to revise four steel reinforced concrete fan bases, and provide four additional steel reinforced concrete fan bases on the fifteenth floor of the facility. **Change Order # 442, BP # 6, 8/30/10**, was approved for an increase of \$182,106 to revise the lobby rotunda lighting to include 25 additional Illumivision Light Wave fixtures and remote lighting control system. These lights will be installed in recesses in the rotunda. **Change Order # 451, BP # 6, 9/7/10**, was approved for an increase of \$27,185 to change fabric on the 6th and 7th floor Mechoshades to enhance function and durability of the shades in the inpatient areas. **Change Order # 456, BP # 6, 9/7/10** was approved for an increase of \$80,283 to furnish and install all required elements to create a new lobby water feature to include the water feature, supporting electrical and pumping systems, control systems. **Change Order # 462, BP # 6, 9/13/10**, was approved for an increase of \$284,907 to install conduit and cabling to accommodate nurse call device quantity and location changes per approved Hill Rom drawings. **Change Order # 465, BP # 6, 9/14/10**, was approved for an increase of \$313,636 to furnish and install 128 Marlite artwork panels and Korogard wall protection with related trim on inpatient room walls at the head of the bed to accommodate the final selection of the artwork for patient rooms. **Change Order # 467, BP # 6, 9/15/10**, was approved for an increase of \$26,145 to furnish & install additional trapeze hangers for life safety & emergency. **Change Order # 475, BP # 6, 9/15/10**, was approved for an increase of \$88,510 to furnish and install additional 120V power connections required for twenty-four PTZ cameras. **Change Order # 477, BP # 6, 9/17/10**, was approved for an increase of \$57,683 to provide additional work in the laboratory required to complete the connections between the east connector and to maintain and enhance operations of the Critical Care 6th floor laboratory. **Change Order # 481, BP # 6, 9/22/10**, was approved for an increase of \$76,752 to provide 2 additional sets of filters for AHU's that were not purchased with the equipment or from the HVAC contractor. **Change Order # 485, BP # 6, 9/28/10**, was approved for \$313,198 to furnish and install electrical conduit and wiring associated with additional electrical outlets throughout the 6th & 7th floors. **Change Order #461, BP # 6, 10/18/10**, was approved for an increase of \$30,566 to replace the existing analog security cameras. **Change Order # 500, BP # 6, 10/1/10**, was approved for an increase of \$54,866 to furnish and install the required infrastructure, finishes and equipment to activate a food kiosk on the first floor. **Change Order # 505 BP # 6, 10/11/10**, was approved for an increase of \$87,055 to provide additional labor and material to furnish and install ductwork, heating water piping and associated controls to heat and cool the dining area adjacent to first floor lobby. **Change Order # 509, BP # 6, 10/12/10**, was approved for an increase of \$87,829 to furnish the labor and materials to reinforce the underside of the existing Children's Hospital bridge. **Change Order # 512 BP # 6, 10/12/10**, was approved for an increase of \$85,357 to fund overtime costs for drywall installation on the 6th and 7th floors to maintain project schedule. **Change Order # 516, BP # 6, 10/18/10**, was approved for an increase of \$57,559 to revise the conduit path to the ICU workstation from via columns to via under-floor poke-thrus in eight locations. **Change Order # 517, BP # 6, 10/18/10**, was approved for an increase of \$57,819 to furnish and install 52 smoke detectors including one on each side of the rated doors with door hold opens in the Emergency Department as required by the Fire Marshall. **Change Order #518, BP # 6, 10/19/10**, was approved for an increase of \$37,616 to install 20 gravity dampers to serve as backdraft dampers for roof top fans. **Change Order # 572 , BP # 6, 11/12/10** was approved for an increase of \$31,746 to modify the type-C data outlet requirements on 6th and 7th floors for 802.11 wireless access points. **Change Order # 573, BP # 6, 11/11/10** was approved for an increase of \$25,590 to add eighteen additional fireman's phone jacks and associated conduit and wiring for elevator control rooms. **Change Order # 574 , BP # 6, 11/10/10**, was approved for a decrease of \$29,881 to delete unnecessary work previously purchased as a result of revisions made in the Rose Street Plaza. **Change Order # 617 , BP # 6, 12/17/10**, was approved for an increase of \$54,781 to provide for

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility Change Orders greater than \$25,000 Cont.....

modifications to 6th and 7th floor door hardware and electronic security hardware as required by building code inspector. **Change Order # 619, BP # 6, 1/24/11**, was approved for an increase of \$83,092 to furnish and install temporary floor protection over all the thin set tile on the first level of the lobby to prevent scratches and damage from equipment and tools. **Change Order # 621, BP # 6, 1/3/11**, was approved for an increase of \$63,378 to recircuit the outlets serving the physiological monitors in 128 of the patient rooms on 6th and 7th floors from normal to critical power. **Change Order # 643, BP # 6, 1/10/11**, was approved for a decrease of \$32,258 to delete various signs from the signage schedule due to review of final site conditions and traffic patterns. **Change Order # 644, BP # 6, 1/10/11**, was approved for an increase of \$41,411 to revise the final signage package per drawings for ground floor areas A and B (Emergency Department). **Change Order # 682, BP # 6, 2/17/11**, was approved for an increase of \$30,888 to provide the necessary wall protection in the west connector corridor due to high use. **Change Order # 689, BP # 6, 2/21/11**, was approved for an increase of \$44,499 to provide temporary heat for Lobby 1 and 2 finishes. **Change Order # 692, BP # 6, 2/24/11**, was approved for an increase of \$230,433 to create an allowance funded from the Construction Manager's contingency to fund overtime for specific work on a case by case basis. **Change Order # 703, BP # 6, 3/1/11**, was approved for an increase of \$32,704 to provide the labor and material to install twenty flow and temper switches. **Change Order # 722, BP # 6, 3/18/11**, was approved for an increase of \$82,324 to provide control wiring and start-up to electrified door hardware for 48 doors. Includes power supplies, magnetic hold opens, latch bolt assemblies and associated wiring and programming. **Change Order # 751 BP # 6, 4/28/11** was approved for an increase of \$35,916 to provide rework upgrades of the circuitry to meet the requirements of the lighting in the patient rooms. **Change Order # 760 BP # 6, 6/8/11** was approved for an increase of \$25,315 to upgrade the circuitry in dietary rooms A06026 and A07026 to support the food service equipment. **Change Order # 763 BP # 6, 5/9/11** was approved for an increase of \$37,731 to add 12 wireless access points at west connectors to existing structures on the 1st and 2nd levels based on operational patterns. **Change Order # 776 BP # 6, 6/16/11** was approved for an increase of \$44,563 to provide temporary heating augmentation in Lobbies 1 & 2 from January thru March. **Change Order # 778 BP # 6, 6/16/11** was approved for an increase of \$37,263 to provide raceways for smoke detectors at all stairwell exit doors in all floors. **Change Order # 866, BP # 6, 8/24/11** was approved for a decrease of \$35,000 to provide a credit for standard metal frames in lieu of specified galvanized metal frames on the patient bathroom doors on all floors. **Change Order # 876, BP # 6, 8/24/11** was approved for an increase of \$65,927 to furnish the labor and material to add 1800 sf of the metal panel ceiling/soffit on the east and west ends near the west connector area adjacent to the existing pedway. **Change Order # 886, BP # 6, 9/6/11** was approved for an increase of \$57,849 to provide modifications required to allow the building chilled water system to operate within the campus chilled water system parameters. **Change order # 905, BP # 6, 2/2/12**, was approved for an increase of \$184,103 to adjust the final steam cost for steam provided by UK to the projects. The contractor was inadvertently overcharged for steam billings and this reimburses the mistake. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Hospital - CUP Expansion

Project Number: 2239.32

Scope: \$13,150,000

Project Description:

This is a portion of the larger Patient Care Facility Project (2239.0). The project includes an 18,000 square foot addition to the existing Central Utilities Plant on Press Avenue. The expansion will include provisions for two new 125,000 lb./hr boilers and additional chillers. The structure will include an enlarged cold well and basement in the cooling section. The boilers and supporting equipment will be installed under this project. Chiller purchases are not contemplated at this time.

Consultant: GBBN Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Messer Construction Co.

Contract # 4500008954

Original Contract Amount:

\$9,474,000

Total Change Orders to Date: 49

Cumulative Change Order Amount:

\$774,121

Low Bid: \$9,474,000

Revised Contract Amount:

\$10,248,121

High Bid: \$10,400,000

Contract Percent Complete:

99%

Number of Bids: 2

Describe all change orders greater than \$25,000.00:

Change Order # 4, 12/10/07, was approved for \$37,518 to provide required additional rock and soil drilling. **Change Order # 7**, 2/21/08, was approved for an increase of \$53,981 to provide temporary building heat until the new dearator and surge tank are installed. **Change Order # 14**, 7/23/08, was approved for an increase of \$28,219 to change the boiler water piping from ductile iron to schedule 80 black steel pipe. **Change Order # 17**, 7/10/08, was approved for an increase of \$26,270 to install new platform in the northwest corner of the CUP addition to provide greater accessibility and safer access to valves and equipment. **Change Order # 21**, 7/23/08, was approved for an increase of \$45,585 to provide for additional costs resulting from the late delivery of the boilers provided by the University and resulting complications which impacted items on the critical path. Liquidated damages collected from the boiler manufacturer will be applied to the compensation associated with this change order. **Change Order # 30**, 8/11/08, was approved for an increase of \$28,249 to relocate the new condensate pump from the BBSRB tunnel to the College of Pharmacy tunnel. Provide valving to allow the pump to pump in either direction. This change allows for more versatile operation of the campus steam system. **Change Order # 34**, 10/30/08, was approved for an increase of \$52,257 to provide and install pipe insulation for the new boiler feed water piping and the boiler blow-down piping. **Change Order # 35**, 11/3/08, was approved for an increase of \$34,693 to provide and install two wye strainers and to install and connect the stack gas analyzers and Horiba panels. Because the boilers were a direct purchase by the University this scope of work was not originally included in the contract documents. **Change Order # 37**, 2/2/09 was approved for \$26,661 to revise the Civil Site plan to provide greater security and improve the utilization of the grounds north of the new construction. **Change Order # 44**, 2/24/10, was approved for an increase of \$25,745 to perform various items of work related to the boiler installation not included in the contractor's original contract documents. **Change Order # 45**, 2/24/10, was approved for an increase of \$54,881 to install a new VFD for each of eight ventilation fans. The high efficiency boiler operation required increased building temperature control to manage the combustion process of the burners of the two boilers. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct UK/Nicholasville Road Flood Mitigation

Project Number: 2336.00

Scope: \$8,015,463

Project Description:

This project will construct improvements, to provide 100 year storm (and lesser storm) mitigation to prevent roadway overtopping and improve pedestrian safety addressing storm water quantity within this one campus water shed. The area between Alumni Drive and Shawneetown Drive (includes permanent removal of Shawneetown Drive and relocation of existing utilities) will be excavated for additional storm water detention. The project will also upgrade an existing culvert at the upstream side of Nicholasville Road. The \$8,015,463 project will be funded by a federal grant of \$6,011,597 from FEMA with the balance of \$2,003,866 being the In-Kind value of the 3.6 acres of University of Kentucky land determined by adjacent property values. Water quality related issues may also be part of the project scope depending on available funds and compatibility with storm water quantity design.

Consultant: Bell Engineering

Contract # A121130

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$637,000

Cumulative Amendment Amount:

Revised Contract Amount:

\$637,000

Contractor: No construction contract awarded to date

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Expand/Renovate Ambulatory Care: Orofacial Pain Clinic

Project Number: 2333.00

Scope: \$1,448,000

Project Description:

This phase of the Expand/Renovate Ambulatory Care project will renovate approximately 2,850 square feet on the second floor of the Kentucky Clinic Building, Wing C. This phase will include operatories, consulting exam rooms, a waiting room, resident carrel space, lab and supplies space, and radiographic equipment space.

Consultant: Stengel-Hill Architecture

Contract # A121140

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$86,500

Cumulative Amendment Amount:

Revised Contract Amount:

\$86,500

Contractor: Churchill McGee LLC

Contract # 7500009070

Total Change Orders to Date:

Low Bid: \$642,000

High Bid: \$788,000

Number of Bids: 10

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:

\$668,889

Cumulative Change Order Amount:

Revised Contract Amount:

\$668,889

Contract Percent Complete:

3%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate 4th Floor Sanders-Brown Center on Aging

Project Number: 2313.01

Scope: \$6,428,471

Project Description:

This project will consist of renovating approximately 9,000 square feet of research support space in the Sanders-Brown Center. This project will include retrofitting outdated, noncompliant research support space and the associated mechanical equipment to meet current regulatory requirements. The project is fully funded from an NIH Grant as part of the ARRA.

Consultant: Omni Architects

Contract # A101140

Total Amendments to Date: 2

Original Contract Amount: \$562,119

Cumulative Amendment Amount: \$28,000

Revised Contract Amount: \$590,119

Describe all amendments :

Amendment 1, 12/14/10, an increase of \$28,000 was approved for additional design and construction administration services associated with LEED certification. **Amendment 2**, 9/11/12 was a zero dollar amendment approved for administrative contract purposes adding special inspections as an acceptable reimbursable expense.

Contractor: Messer Construction Co Note: This is a "CM-At-Risk" contract. The amount will be increased as subcontracts are bid and added. *Messer's contract was awarded by RFP process.

Contract # 4500040166

Total Change Orders to Date: 8

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$3,702,329

Cumulative Change Order Amount: \$59,821

Revised Contract Amount: \$3,762,150

Contract Percent Complete: 95%

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Renovate Academic Facility - University Lofts Facility

Project Number: 2339.00

Scope: \$8,000,000

Project Description:

This project will renovate the 110,000 gross square foot facility into classrooms, class labs/studios, office and support space for the College of Fine Arts. The improvements will also include necessary upgrades of the building systems such as communications, plumbing, HVAC, electrical, fire safety and security.

Consultant: Omni Architects

Contract # A130180

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$584,437

Cumulative Amendment Amount:

Revised Contract Amount: \$584,437

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Northside Library

Project Number: 2324.00

Scope: \$2,373,000

Project Description:

This project consists of renovating approximately 11,000 GSF of space on a single floor. The building will be renovated into an undergraduate class lab facility for Biology and an outreach instructional facility for the Partnership Institute for Mathematics and Science Education Reform (PIMSER). The improvements will include a community activities room that will double as a classroom for both PIMSER and Biology. The renovation will also incorporate necessary upgrades of the building systems, such as communications, plumbing, HVAC, electrical, fire safety and security.

Consultant: Omni Architects

Contract # A121070

Original Contract Amount: \$155,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$155,000

No amendments this quarter.

Contractor: Marrillia Design & Construction

Contract # 7500002705

Original Contract Amount: \$1,105,714

Total Change Orders to Date: 33

Cumulative Change Order Amount: \$176,960

Low Bid: \$1,105,714

Revised Contract Amount: \$1,282,674

High Bid: \$1,322,000

Contract Percent Complete: 100%

Number of Bids: 10

Describe all change orders greater than \$25,000.00:

Change Order # 6, 3/27/12, was approved for an increase of \$32,902 to replace the existing underground sanitary lines and add a Watts 909 backflow preventer. **Change Order # 10, 7/26/12,** was approved for an increase of \$29,277 to revise the display case in Lobby 101 to be able to display a number of different physical objects promoting the Biology and PIMSER programs. The case will be able to promote the programs through images and video. **Change Order # 15, 7/16/12,** was approved for an increase of \$29,307 to provide a 2" asphalt overlay for the existing parking lot giving the parking lot a new look and eliminating unsafe conditions in bad weather conditions.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Parking Structure #3 - UKHC

Project Number: 2337.00

Scope: \$988,824

Project Description:

This project will consist of the selective repair of the EIFS on the spandrels and column wraps, repair pre-cast and connections, concrete slab repair, recoating of structural steel components, barrier cable repair, replacement of doors and frames, and miscellaneous painting.

Consultant: Brown & Kubican

Contract # A121100

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$87,000

Cumulative Amendment Amount:

Revised Contract Amount: \$87,000

Contractor: Structural Systems Repair Group, LLC

Contract # 7500005585

Total Change Orders to Date: 2

Low Bid: \$724,500

High Bid: \$934,072

Number of Bids: 3

Original Contract Amount: \$724,500

Cumulative Change Order Amount: \$69,569

Revised Contract Amount: \$794,069

Contract Percent Complete: 60%

Describe all change orders greater than \$25,000.00:

Change Order # 1, 6/25/12, was approved for an increase of \$61,681 to repair joists at level four and to repair the sixth floor membrane. **Change Order # 4, 10/1/12,** was approved for an increase of **\$\$\$35,217 to increase the number of joists and slab on deck areas for repair as well as reinforcement of a deteriorated steel beam.**

Renovate Schmidt Vocal Arts Center

Project Number: 2326.00

Scope: \$1,500,000

Project Description:

This project will complete the renovations to include rehearsal rooms, teaching studios, practice rooms, music library, office space for staff and graduate assistants, and enhancements to the building's main entrance.

Consultant: Sherman Carter Barnhart

Contract # A111120

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$128,540

Cumulative Amendment Amount:

Revised Contract Amount: \$128,540

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Track and Field Facility

Project Number: 2315.00

Scope: \$13,000,000

Project Description:

The University of Kentucky's Shively Track, used by the men's and women's track and cross country teams, is over 30 years old and needs to be renovated. This project will renovate the existing track and field facility by providing new track and field surfaces, field event training areas, spectator seating, spectator amenities (concessions, restrooms, etc.), and equipment storage. The track is anticipated to be a nine-lane synthetic surface track. An athlete only practice throw area has been integrated into the site plane, creating safety for adjacent spectators as well as providing a proper environment for the training and warm up of student athletes. A practice runway is being incorporated with the javelin throw. This area would also accommodate visiting track teams during meet events. The architectural design and materials will be integrated to enhance the proximity to the design elements of the University's adjacent baseball stadium. The concession building will overlook the track so spectators standing in line will continue to have visual access to the event completion. A new storage facility will be located at the Cooperstown Road entry, and will be of sufficient size to store all track and field equipment.

Consultant: Ross Tarrant Architects

Contract # A111060

Original Contract Amount: \$868,500

Total Amendments to Date: 1

Cumulative Amendment Amount: \$45,000

Describe all amendments :

Revised Contract Amount: \$913,500

Amendment # 1, 2/14/12, an increase of \$45,000 was approved to provide for additional special inspections needed due to a larger amount of concrete retaining walls than originally anticipated. **No amendments this quarter.**

Contractor: Abel Construction Co. Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. *Abel's contract was awarded by RFP process.

Contract # 4500043874

Original Contract Amount: \$9,820,610

Total Change Orders to Date: 76

Cumulative Change Order Amount: \$710,290

Low Bid:

Revised Contract Amount: \$10,530,900

High Bid:

Contract Percent Complete: 98%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 2, 8/24/11 was approved for an increase of \$30,332 to provide sand based sod in lieu of regular soil based sod to provide better drainage performance. **Change Order # 10**, 8/24/11 was approved for a decrease of \$45,600 to use interlocking deck in lieu of welded deck and to use galvanized steel in lieu of painted finish on structure. **Change Order # 12**, 8/25/11 was approved for an increase of \$117,663 to provide and install an eight lane practice track. **Change Order # 20**, 10/13/11, was approved for an increase of \$35,975 to increase the Kentucky American Water Co. allowance needed to relocate a section of waterline along Cooperstown Drive. **Change Order # 21**, 11/3/11, was approved for an increase of \$25,275 to install subdrainage piping for the permeable pavers for the drive along the north side of the track. **Change Order # 34**, 1/23/12, was approved for an increase of \$25,300 to provide a slot drain in the concrete walk between the track and the lower wall of the lower grandstand. **Change Order # 38**, 4/10/12, was approved for an increase of \$105,493.55 to provide installation for Owner Provided Sports Competition lighting system. **Change Order # 50**, 4/10/12, was approved for an increase of \$46,722.76 to relocate the scoreboard from the current location to the northeast corner of the track. **Change Order # 61**, 6/13/12, was approved for an increase of \$49,672.17 to install concrete footers for the safety net poles. This will provide enhanced protection from foul balls from the adjacent baseball stadium for spectators and athletes during daily practices and scheduled events. **Change Order # 65**, 7/26/12, was approved for an increase of \$27,825 to change the stabilization of the track upgrade from 8" of cement stabilization to 16" of lime stabilization. The material change is based on the time of year the work is being installed. Initially this scope of work was to occur during cold, winter weather and the cement stabilization was necessary. This scope of work actually occurred during warmer weather in the spring and lime stabilization was more applicable. **Change Order # 67**, 7/27/12, was approved for an increase of \$36,344 to provide additional catch basins at infield and upsize piping.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate/Expand the Center for Applied Energy Research (Ph II)

Project Number: 2286.20

Scope: \$1,285,224

Project Description:

The primary purpose of this project will be multi-phased project incorporating the following components:

Mineral Process Lab Building - The initial phase of the project will require the construction of a 6,400 square foot support building. This new facility will permit the relocation of the existing mineral processing group, currently housed in the Center's main facility. The existing equipment will be relocated along with support space, offices and labs. **Relocation of the Crushing Room** - The existing Crushing Room is to be relocated into an existing facility originally built for dry storage of coal. **Bio-fuels Highbay Lab Fit-up and Improvements** - Renovated space, vacated by the Mineral Processes Lab in above, will allow for the development of a bio-fuels laboratory for production, characterization, and testing of biomass derived fuels, with a focus on biodiesel, bio-oils, and biomass derived Fischer-Tropsch liquids. The principle objective of this project is the establishment of a laboratory dedicated to the development of improved processes for biomass utilization, with the goal of supporting the development of the biofuels industry in Kentucky. The existing **Combustion/High Bay Lab** will have a new bench top fume hood and a new walk-in hood installed with required ductwork and exhaust fans.

Consultant: Denham Blythe

Contract # A101070

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$40,000

Cumulative Amendment Amount:

Revised Contract Amount: \$40,000

Contractor: Parco Construction Group

Contract # 4500048996

Total Change Orders to Date: 11

Low Bid: \$996,600

High Bid: \$1,358,000

Number of Bids: 8

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount: \$996,600

Cumulative Change Order Amount: \$60,203

Revised Contract Amount: \$1,056,803

Contract Percent Complete: 100%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate/Upgrade Softball Complex

Project Number: 2338.00

Scope: \$9,700,000

Project Description:

This project consists of 1) Spectator seating and amenities, including replacement of the existing portable seating sections for Softball with new treads and risers from end to end of the dugouts that will provide approximately 400-600 new fixed chair back seats, and a combination of 600-800 of bleachers for a total permanent seating capacity of around 1200, including new elevated terraces overlooking the field at the back of the new grandstands and new press facilities, 2) New indoor practice facilities, 3) Playing field improvements, including site lighting and entry plaza to the complex including a new ticket facility and 4) New Team Building with coaches offices, team locker, lounge and equipment facilities for Softball.

Consultant: Luckett & Farley

Contract # A121120

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$597,800

Cumulative Amendment Amount:

Revised Contract Amount: \$597,800

Contractor: Codell Construction Co.

Contract # 7500008312

Total Change Orders to Date:

Low Bid: \$5,549,310

High Bid: \$5,568,000

Number of Bids: 6

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount: \$5,549,310

Cumulative Change Order Amount:

Revised Contract Amount: \$5,549,310

Contract Percent Complete:

Student Housing - Supporting Utility Plant Upgrades

Project Number: 2153.30

Scope: \$1,275,000

Project Description:

This project includes expanding the Virginia Avenue Central Utility Plant to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Consultant: AM Kinney

Contract # A041000GG

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$26,315

Cumulative Amendment Amount:

Revised Contract Amount: \$26,315

Contractor: English Boiler & Tube Inc.

*English Boiler's contract was awarded by RFP process.

Contract # 4500008298

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

Original Contract Amount: \$1,147,748

Cumulative Change Order Amount: \$34,937

Revised Contract Amount: \$1,182,685

Contract Percent Complete: 100%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Upgrade, Renovate, Improve or Expand Research Labs (Chem-Physics)

Project Number: 2332.00

Scope: \$832,000

Project Description:

This project will renovate approximately 2,100 square feet of Physics wet bench research space in the Chemistry-Physics Building. The work consists of renovating several contiguous laboratories on the first floor in the Chemistry-Physics Building as follows: Demolition of existing finishes, partitions, and building systems, and Construction of new partitions, installation of new finishes, building systems, and laboratory items as required to create new research laboratories and their supporting spaces. Installation of new buildings systems in other areas of the Chemistry-Physics Building to support the new research labs as shown on the Contract Documents. New finishes include resilient floor tile, resinous flooring, resilient base, painted gypsum board, and suspended acoustical ceiling system. New building components include metal laboratory casework, epoxy laboratory counters and sinks, and fume hoods.

Consultant: Omni Architects

Contract # A121080

Total Amendments to Date:

Original Contract Amount: \$59,000

Cumulative Amendment Amount:

Revised Contract Amount: \$59,000

Describe all amendments :

No amendments this quarter.

Contractor: Mark Spaulding Construction Co.

Contract # 7500003088

Total Change Orders to Date: 25

Low Bid: \$602,250

High Bid: \$665,000

Number of Bids: 8

Original Contract Amount: \$602,250

Cumulative Change Order Amount: \$49,977

Revised Contract Amount: \$652,227

Contract Percent Complete: 100%

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Upgrade Student Center Infrastructure

Project Number: 2325.00

Scope: \$23,000,000

Project Description:

The Upgrade Student Center Infrastructure project will repair, upgrade and improve existing building infrastructure systems that have exceeded their useful life, need to be upgraded to meet current building code requirements, or need to be upgraded to meet current capacities being exceeded with occupant load. Systems include HVAC, plumbing and sanitary, mechanical, electrical and lighting, fire suppression/sprinkling, and communications infrastructures. As these infrastructure needs are upgraded, aesthetic and cosmetic upgrades will be made as a result of and in support of infrastructure upgrades.

Consultant: Omni Architects

Contract # A111130

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$2,028,460

Cumulative Amendment Amount:

Revised Contract Amount: \$2,028,460

Contractor: Messer Construction Co. (Construction Manager At-Risk) Note: This is a 'CM-At-Risk' contract.

The amount will be increased as subcontracts are bid and added. *Messer's contract was awarded by RFP process.

Contract # 4500047728

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount: \$1,034,780

Cumulative Change Order Amount:

Revised Contract Amount: \$1,034,780

Contract Percent Complete:

FCR 15

Office of the President
December 11, 2012

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period July 1 through September 30, 2012.

Background: The March 4, 1997 meeting of the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD THROUGH SEPTEMBER 30, 2012

Patents

The following assignment on behalf of the Board of Trustees of the University of Kentucky Research Foundation has been executed:

1. **U.S. Patent Application Serial Number: 13/586,617**

Filed: August 15, 2012

Title: Dopamine Neuron Stimulating Peptide-11 Protection from Complex I Mitochondrial Inhibitors

Inventors: Luke H. Bradley, Don Marshall Gash and Greg A. Gerhardt (Anatomy and Neurobiology)

Technical Description: This invention relates to novel proteins that are useful for treating brain diseases, injuries that result in dopaminergic deficiencies, and diseases or conditions associated with the inhibition of mitochondrial activity.

Summary: Neurotrophic factors are endogenous proteins that modulate cell-signaling pathways that regulate stem cell proliferation, neuronal differentiation, growth and regeneration, and thus they are useful in treating degeneration of nerve cells and loss of differentiated function that occurs in a variety of neurodegenerative diseases. Many neurotrophic factors are both neuroprotective (protecting neurons from injury) and neurorestorative (promoting structural and functional regeneration). Identifying neurotrophic factors with the right combination of protective and restorative actions and developing effective strategies for drug delivery have profound therapeutic implications for degenerative processes in the brain such as Parkinson's disease, Alzheimer's disease, Huntington's disease, and those caused by brain injury. Current treatment has not proven wholly effective.

Glial cell line-derived neurotrophic factor (GDNF) has been shown to dramatically protect and enhance the function of dopamine neurons *in vitro* and *in vivo* in rodents and monkeys. The ideal drug for treating neurodegenerative processes in the brain would possess the positive trophic actions of GDNF, but biologically active peptides have yet to be identified which possess the trophic actions GDNF. This invention discloses that human GDNF (hGDNF) alternative splice form 1 may be translationally processed *in vivo* to yield three small amidated peptides, and these peptides may mediate some or all of the biological effects of GDNF. This invention discloses three peptides with varying amino acid sequences which, when given in pharmaceutically effective amounts, may treat brain disease by relieving the symptoms of the disease or slowing down or stopping the progression of the disease, or repairing the damage already caused.

2. **U.S. Patent Application Serial Number: 13/623,470**

Filed: September 20, 2012

Title: Block Copolymer Cross-Linked Nanoassemblies as Modular Delivery Vehicles

Inventors: Yongsoo Bae, Juergen Rohr (Pharmaceutical Sciences), and Daniel Franklin Scott

Technical Description: This invention relates the field of drug/gene delivery using biocompatible nanoparticles and, more particularly, to block copolymer cross-linked nanoassemblies including a core protected by a biocompatible shell and methods for their production.

Summary: In traditional medicines, drug-release rates are generally controlled by drug-molecule diffusion and drug-carrier erosion, and maintaining constant levels of the drug at the target site is impossible. Biocompatible nanoparticles, ranging from 5 to 200 nanometers in diameter, have garnered increasing attention as drug carriers in recent biomedical applications because of their ability to maintain constant levels of the drug at targeted sites. First, nanoparticles can target specific areas within the body. Nanoparticles can circulate through blood vessels and accumulate preferentially in disease tissues that enhance permeation and retention of large molecules, such as inflammatory tissues and cancerous tumors. Second, nanoparticles can better maintain drug concentrations at therapeutic levels in targeted sites, with delivery of the drug triggered either by the elapse of time or by stimuli. However, precise control of the nanoparticles' drug-release rates continues to prove difficult.

A block polymer is a polymer with repeating units of one type which are adjacent to each other in a linear manner to form a block which is linked to a second block made up of repeating units of a second type which are adjacent to each other in a linear manner. This invention discloses possible compositions of and a simple method of constructing block copolymer cross-linked nanoassemblies. These nanoassemblies both covalently conjugate and physically entrap one or more active agents, thus allowing fine tuning of active agent release rates *in vivo* in order to maximize diagnostic, imaging, and/or therapeutic efficacy at the target site.

3. **U.S. Patent Application Serial Number: 13/653,877**

Filed: September 30, 2012

Title: Method for Hybrid Dry-Jet Gel Spinning and Fiber Produced by that Method

Inventors: Ashley Morris, Matthew Weisenberger, Terry Rantell (Center for Applied Energy Research), and Mohamed Abdallah

Technical Description: This invention relates generally to the field of fiber spinning, which is a step in the carbon fiber production process. Specifically, this invention relates to a hybrid dry-jet gel spinning method for producing polyacrylonitrile-based precursor fiber.

Summary: Carbon fibers are primarily used in aerospace applications but are also used by the boating, sporting, wind, and automobile industries. Polycarylonitrile (PAN) copolymer fibers are spun as a precursor for carbon fiber, and PAN fibers have been in use for over 30 years. Fiber diameter is known to influence fiber tensile strength. The larger the diameter of the PAN fiber, the greater the number of possible voids in the fiber. These voids reduce the strength and quality of the resulting fiber product.

This invention discloses a new method to produce a high molecular weight PAN-based precursor fiber with a high tensile strength and elastic modulus, a small diameter, and presumably fewer voids than fiber made by previously known processes. This new dry-gel spinning method combines a temperature-induced phase transition used in gel spinning and a diffusion used in traditional dry-jet solution spinning. The resulting fiber's increased strength and improved quality represents a significant advance in the art.

Patent Activities
Fiscal year to date as of September 30, 2012

Number of Patent Applications	3
Number of Patents Issued	7
Patent Gross Revenue	\$ 3,546,434.91