

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, June 8, 2010.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, June 8, 2010 in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Ms. Mira Ball, chair, called the meeting to order at 1:15 p.m. and asked Ms. Barbara Jones, assistant secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mira Ball (chair), Stephen Branscum, E. Britt Brockman, Penelope Brown, Jo Hern Curris, Dermontti Dawson, Carol Martin “Bill” Gatton, Ann Haney, Everett McCorvey, Billy Joe Miles, Sandy Bugie Patterson, Robynn Pease, Charles R. Sachatello, Frank Shoop, Ryan Smith, James W. Stuckert, Ernest Yanarella, and Barbara Young. Absent from the meeting were Pamela T. May and Erwin Roberts. Ms. Jones announced that a quorum was present.

The university administration was represented by President Lee T. Todd, Jr., Provost Kumble Subbaswamy, Executive Vice President for Finance and Administration Frank Butler, Executive Vice President for Health Affairs Michael Karpf, and General Counsel Barbara W. Jones.

The university faculty was represented by Chair of the University Senate Council Hollie Swanson, and the university staff was represented by Chair of the Staff Senate Bryan Back.

Members of the various news media were also in attendance.

C. Consent Items

Ms. Ball called attention to the consent items which included the minutes, PR 2 Personnel Actions, and acceptance of a pledge and gifts. Mr. Stuckert moved approval of the consent items. Ms. Haney seconded the motion, and it carried without dissent. (See consent items listed below at the end of the Minutes.)

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PR 2 Personnel Actions

FCR 1 Alliance Resource Partners and Alliance Holdings Pledge

FCR 2 Gift from the Estate of Joanne I. Bell

FCR 3 Don Jacobs Charitable Foundation, Inc. Gift

D. President's Report (PR 1)

President Todd called attention to the following items in PR 1:

UK Confers Nearly 7,000 Degrees at 143rd Commencement

Nearly, 7,000 candidates for undergraduate, graduate, and professional degrees were honored at the University of Kentucky's 143rd Commencement in Rupp Arena on May 8, 2010. David C. Novak, Chairman, CEO, and President of Yum! Brands Inc., was the Commencement speaker.

President Todd announced that UK will have its first December graduation ceremony this year.

New UK Institute Will Train Next Generation of Power and Energy Engineers

The UK College of Engineering has received nearly \$2.5 million from the U.S. Department of Energy to establish a new institute to train the next generation of power engineers. This fits into the strategy that energy has got to be one of the real components of the Top 20 push going forward. Energy is an important area for the state. Electrical and Computer Engineering Professor L. E. Holloway is to be congratulated on receiving this amount of money for the university.

Toyota USA Foundation Gives \$500,000 to Program to Improve Math, Science, Teaching

Toyota USA Foundation has awarded a \$500,000 grant to a UK program designed to help K-12 teachers develop more effective methods of teaching math and science in 13 central and northern Kentucky urban school districts. John Yopp and Mary John O'Hair receive a lot of credit for the university getting this gift. This is the largest gift that Toyota's Foundation awards.

National Science Foundation Chooses Five UK Students for Graduate Research Grants

Five UK students will receive \$100,000 government-funded National Science Foundation (NSF) Graduate Research Fellowships to support research-based master's or doctoral degrees.

Coalition Report Regales Three UK Spinoff Firms for Using Grants for Economy Gains

UK spinoff companies Allylix Inc., Mersive Technologies, and AllTranz Inc. are featured in a report released by The Science Coalition. The report suggests that one of the best ways the United States can foster long-term economic growth is through investment in the basic research that leads to innovation and job creation. These three companies have been in formation at UK. They come out of the College of Agriculture, College of Engineering, and College of Pharmacy.

Three UK Students are Recognized by Prestigious Two-year Goldwater Scholarships

Three UK students were recognized by the Barry M. Goldwater Scholarship and Excellence in Education Program. One was named a Goldwater Scholar, and two other students received honorable mention.

Student is Named 2010 Beckman Scholar, Gets \$19,300 to Support Research

Agriculture biotechnology junior Philip Houtz has been named the 2010 Beckman Scholar at UK and will be given \$19,300 over 14 months to support his own scientific research project. Professor Bruce Webb, Department of Entomology, has been the mentor for Mr. Houtz.

President Todd noted that Dr. Yanarella was presented the 2010 William E. Lyons Award for Outstanding Service by the UK Martin School for Public Policy. There is only one person selected each year to receive this award. Dr. Yanarella received a round of applause from the Board.

E. Appointment of Dean of the College of Fine Arts (PR 3)

President Todd recommended that Dr. Michael S. Tick be appointed as Dean of the College of Fine Arts, effective July 1, 2010.

Dr. Tick is currently the Chair of the Department of Theatre at Louisiana State University and the producing artistic director of Swine Palace, which is a non-profit professional company serving greater Baton Rouge and supporting the educational mission of the department. Dr. Tick has a history of fundraising.

Ms. Haney moved approval of PR 3. Ms. Patterson seconded her motion, and it carried without dissent. (See PR 3 at the end of the Minutes.)

F. Appointment of Vice President for Student Affairs (PR 4)

President Todd recommended that Dr. Robert C. Mock, Jr. be appointed as Vice President for Student Affairs, effective July 1, 2010. He informed the Board that there were approximately 70 candidates for this extremely important position.

Dr. Mock was very impressive throughout the entire interview. He is currently the Associate Vice Provost for Student Affairs at the University of Arkansas where he has spent a lot of his career.

Mr. Smith moved approval of PR 4. Mr. Stuckert seconded the motion, and it carried without dissent. (See PR 4 at the end of the Minutes.)

G. Reappointment to Board of Directors University of Kentucky Research Foundation (PR 5)

President Todd said that PR 5 is the recommendation that the Board of Trustees approve the reappointment of Hollie Swanson to the Board of Directors of the University of Kentucky Research Foundation (UKRF) for a three-year term ending June 30, 2013. Dr. Swanson has been serving on the UKRF Board and has done a fine job. She is also the newly elected chair of the University Senate Council.

Mr. Shoop moved approval of PR 5. Mr. Branscum seconded the motion, and it carried without dissent. (See PR 5 at the end of the Minutes.)

President Todd congratulated Dr. Swanson and said he looked forward to working with her.

H. Naming of University Property (PR 6)

President Todd said that the recommendation is that the Board of Trustees approve changing the name of the Livestock Disease Diagnostic Center building on Newtown Pike to the University of Kentucky Veterinary Diagnostic Laboratory. This name change was requested by the College of Agriculture Dean Scott Smith. With some funding from the state government, the Center will be expanded before the World Equestrian Events. The Center is vital to the equine industry.

Mr. Gatton moved approval of PR 6. Mr. Dawson seconded the motion, and it carried without dissent. (See PR 6 at the end of the Minutes.)

I. Approval of Patent License Agreement (PR 7)

President Todd said that PR 7 is a unique situation. Dr. Brockman had an idea that he shared with professors in engineering, and they came up with an idea that was patentable. This recommendation is to follow University policy for Board members doing business with the institution and make sure that there is full transparency. The Ethics Committee has reviewed it, and it is an admirable thing to take place. President Todd encouraged more Board members with ideas to do the same.

He recommended that the Board of Trustees approve the draft license agreement between the University of Kentucky Research Foundation and Brockman-Hasting, LLC, a limited liability company which is owned in part by Board of Trustees member Dr. Brockman. The license agreement grants patent rights for an implantable intraocular pressure sensor invented through the collaborative efforts of Dr. Brockman and UK employees.

Mr. Stuckert moved approval. His motion, seconded by Ms. Curris, passed without dissent. Dr. Brockman abstained from voting. (See PR 7 at the end of the Minutes.)

J. Candidates for Degrees (AACR 1)

Penny Brown, chair of the Academic Affairs Committee, said that AACR 1 is a recommendation that the president be authorized to confer upon each individual whose name appears on the list the degree to which he or she is entitled, upon certification by the university registrar that the individual has satisfactorily completed all requirements for the degree for which the application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

On behalf of the Academic Affairs Committee, Ms. Brown moved approval of AACR 1. Dr. Sachatello seconded her motion, and it carried without dissent. (See AACR 1 at the end of the Minutes.)

K. Candidates for Degrees – Western Kentucky University/University of Kentucky Joint Engineering Programs (AACR 2)

Ms. Brown said that AACR 2 is the recommendation that the president be authorized to confer upon each individual whose name appears on the list the degree to which he or she is entitled upon certification by the university registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

This is a joint program with Western Kentucky University (WKU) and the University of Kentucky. This program was developed to encourage the efficient resources to meet the statewide demand for engineering professionals. The diplomas will be awarded at WKU.

On behalf of the Academic Affairs Committee, Ms. Brown moved approval of AACR 2. Her motion, seconded by Mr. Dawson, carried without dissent. (See AACR 2 at the end of the Minutes.)

L. Candidates for Degrees – Bluegrass Community and Technical College (AACR 3)

Ms. Brown said that AACR 3 is a recommendation for the candidates for degrees at the Bluegrass Community and Technical College. There are four students graduating. The recommendation is that the president be authorized to confer upon each individual whose name appears on the list the degree to which he or she is entitled, upon certification by the Kentucky Community and Technical College System (KCTCS) Board and the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

On behalf of the Academic Affairs Committee, she moved approval of AACR 3. Dr. Pease seconded her motion, and it carried without dissent. (See AACR 3 at the end of the Minutes.)

M. Academic Degree Recommendation (AACR 4)

Ms. Brown said that AACR 4 is the recommendation that the Board of Trustees approve the establishment of a Bachelor of Arts/Bachelor of Science with a major in Japanese Language and Literature in the College of Arts and Sciences, effective Fall 2010 semester. Interest in Japan throughout the state and among University of Kentucky students has been very strong for some time. The massive economic and cultural impact of the Japanese corporate presence in Kentucky over the last 20 or so years is one major source for this interest.

This program has the approval of the appropriate councils and the University Senate and has completed the 45-day review by the Council on Postsecondary Education. The provost of the University supports this recommendation.

On behalf of the Academic Affairs Committee, Ms. Brown moved approval. Dr. Yanarella seconded the motion, and it carried without dissent. (See AACR 4 at the end of the Minutes.)

N. Change in Degree – College of Agriculture (AACR 5)

Ms. Brown said that AACR 5 recommends that the Board of Trustees approve the following actions, effective in the Fall 2010 semester:

- Change the name of the Master of Science degree in Animal Sciences with a major in Animal Sciences to Master of Science degree with a major in Animal and Food Sciences.
- Change the name of the Doctor of Philosophy degree with a major in Animal Sciences to Doctor of Philosophy degree with a major in Animal and Food Sciences.

This proposal has the approval of the Graduate Council, Senate Council, and University Senate, and the provost supports the recommendation.

On behalf of the Academic Affairs Committee, Ms. Brown moved approval of AACR 5. Mr. Shoop seconded the motion, and it carried without dissent. (See AACR 5 at the end of the Minutes.)

O. Change in Degree – College of Education (AACR 6)

Ms. Brown said that AACR 6 is a recommendation that the Board of Trustees approve a change in the name of the Master of Science in Education degree with a major in Education – Secondary Education to Master of Science in Education degree with a major in Science, Technology, Engineering, and Mathematics Education.

This proposal has the approval of the Graduate Council, Senate Council, and University Senate. The provost also supports this recommendation.

On behalf of the Academic Affairs Committee, Ms. Brown moved approval. Ms. Haney seconded the motion, and it carried without dissent. (See AACR 6 at the end of the Minutes.)

P. Change in Degree – College of Fine Arts (AACR 7)

Ms. Brown said that AACR 7 is a recommendation that the Board of Trustees approve a change in the name of the Doctor of Philosophy degree with a major in Musicology to Doctor of Philosophy degree with a major in Musicology and Ethnomusicology, effective in the Fall 2010 semester.

On behalf of the Academic Affairs Committee, Ms. Brown moved approval. Ms. Patterson seconded the motion, and it passed without dissent. (See AACR 7 at the end of the Minutes.)

Q. Establishment of the Center for Interprofessional Healthcare Education, Research, and Practice (AACR 8)

Ms. Brown said that AACR 8 is a recommendation that the Board of Trustees approve the establishment of the Center for Interprofessional Healthcare Education, Research, and Practice in the Office of the Provost. The primary work of the Center will be to design, facilitate, coordinate, promote and evaluate Interprofessional Healthcare Education, Research, and Practice.

The proposal has been reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council. The University Senate approved the proposal based upon its academic merits. The Senate did not endorse the establishment of the Center based upon its non-academic merits. The provost of the University supports the establishment of the Center.

On behalf of the Academic Affairs Committee, Ms. Brown moved approval of AACR 8. Ms. Young seconded the motion, and it carried without dissent. (See AACR 8 at the end of the Minutes.)

R. Change of Organizational Structure of the Graduate Center for Biomedical Engineering (AACR 9)

Ms. Brown said that AACR 9 is a recommendation that the Board of Trustees approve the transfer of administrative oversight for the Graduate Center for Biomedical Engineering from the Graduate School to the College of Engineering, effective July 1, 2010.

The proposal has been reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council and recommended for approval by the University Senate. The provost supports this recommendation.

On behalf of the Academic Affairs Committee, Ms. Brown moved approval of AACR 9. Mr. Stuckert seconded the motion, and it carried without dissent. (See AACR 9 at the end of the Minutes.)

S. Change in Name of an Educational Unit (AACR 10)

Ms. Brown said that AACR 10 is a recommendation that the Board of Trustees approve the change in the name of the Cardiovascular Research Center to the Dr. Sibu and Becky Saha Cardiovascular Research Center. Dr. and Mrs. Saha have committed gifts and pledges totaling \$1 million to establish an endowment.

The proposal has been approved by the faculty in the unit, the leadership of the College and UK HealthCare, and reviewed and endorsed by the Senate Council on behalf of the University Senate. The provost of the University supports this recommendation.

On behalf of the Academic Affairs Committee, Ms. Brown moved acceptance of AACR 10. Dr. McCorvey seconded her motion, and it carried without dissent. (See AACR 10 at the end of the Minutes.)

T. Proposed Revision to Administrative Regulation: Lecturer Series Faculty (AACR 11)

Ms. Brown said that AACR 11 is a recommendation that the Board of Trustees approve the revision of Administrative Regulation (AR) 2:9, Lecture Series Faculty. The proposed revision consists of clarifying the procedure, criteria, and conditions for the Lecturer Series. The revision will help ensure that the University attracts and retains outstanding Lecturer Series faculty and bring to this series the appropriate professional recognition within the University.

The proposed revision is intended to:

- Clarify that educational units that employ faculty in the Lecturer Series shall establish by majority vote the maximum number or percentage of Lecturer Series faculty that may be employed by the unit;
- Require faculty in the Lecturer Series to hold a terminal degree or professional experience appropriate to the field of assignment;
- Require regular faculty performance reviews (annually for faculty at the rank of Lecturer and biannually for Senior Lecturers);
- Permit rolling contracts of up to two (2) years for faculty employees at the rank of Lecturers and up to three (3) years for Senior Lecturers after an initial four-year period of satisfactory performance, and identify a process of intervention when a faculty employee on a rolling contract receives an unsatisfactory performance review.

On behalf of the Academic Affairs Committee, Ms. Brown moved approval of AACR 11. Ms. Haney seconded the motion, and it carried without dissent. (See AACR 11 at the end of the Minutes.)

Dr. Yanarella stated that this particular recommendation and proposal went through a very careful process of review by faculty, and there was a considerable amount of give and take and negotiations over the final revision. In his opinion, it meets with overall approval of the faculty.

U. Finance Committee Report

Mr. Branscum, chair of the Finance Committee, reported that the Committee met that morning with all members present. There were three consent items of gifts and pledges that total \$1.45 million of which \$240,000 is eligible to be matched through the Endowment Match Program. On behalf of the University, he thanked the donors for their generous support. He continued his report with FCR 4.

V. Approval of Lease for Department of Social Work (FCR 4)

Mr. Branscum said FCR 4 recommends that the Board authorize the Executive Vice President for Finance and Administration to negotiate and execute a lease between the University's Department of Social Work and Blazer Parkway Venture for space located at 3470 Blazer Parkway in Lexington. The Department of Social Work has occupied the space since 2002. The total annual cost of the lease is \$181,200 for 10,910 square feet of clinical and administrative space. The University advertised this lease renewal and received no other bids.

On behalf of the Finance Committee, Mr. Branscum recommended approval of FCR 4. The motion was seconded by Mr. Stuckert and carried without dissent. (See FCR 4 at the end of the Minutes.)

W. Approval of Lease for Department of Psychiatry (FCR 5)

Mr. Branscum said that FCR 5 recommends that the Board authorize the Executive Vice President for Finance and Administration to negotiate and execute another lease between the University and Blazer Parkway Venture for space located at 3470 Blazer Parkway in Lexington.

The Department of Psychiatry has occupied this space since 1999. The rental rate is \$18.25 per square foot for 25,474 square feet of clinical and administrative space and \$17.75 per square foot for 546 square feet of support space. The University advertised this lease and received no other bids. The total annual rate will be \$474,592, and this will be funded from clinical revenues.

On behalf of the Finance Committee, Mr. Branscum recommended approval of FCR 5. Mr. Dawson seconded the motion, and it carried without dissent. (See FCR 5 at the end of the Minutes.)

X. Lease-Purchase of High Performance Research Computer (FCR 6)

Mr. Branscum said that FCR 6 is a recommendation for approval to acquire a High Performance Research Computer which will cost \$2.6 million. The supercomputer will be acquired by lease-purchase, and UK will pay \$1.3 million annually for two years.

The current supercomputer lease expires July 31, 2010. A request for proposals for a new supercomputer was issued, and a committee of faculty assessed the technical and financial responses to ensure that the selected equipment will meet the needs of research faculty. The Debt Management Committee has reviewed the acquisition and determined that it is consistent with the University's Debt Policy.

On behalf of the Finance Committee, Mr. Branscum recommended approval of FCR 6. Ms. Patterson seconded the motion, and it carried without dissent. (See FCR 6 at the end of the Minutes.)

Y. Acceptance of Interim Financial Report for the University of Kentucky for the Nine Months Ended March 31, 2010 (FCR 7)

Mr. Branscum said that FCR 7 recommends that the financial statements which include the University and affiliated entities with comparative totals for the nine months ended March 31, 2010 be accepted. A summary of the financial statements was provided separately.

The balance sheet remains sound with assets of \$3.6 billion, liabilities of \$1.1 billion, and fund balances of \$2.3 billion. Since March 2009, fund balances have increased \$350 million, or 18 percent, primarily due to an increase of \$223 million or 38 percent in endowment funds. Plant funds have increased \$73 million.

During the first nine months ended March 31, 2010, the University has realized 77 percent of the revenue estimate and expended 68 percent of the approved \$2.4 billion budget. Financial operations are consistent with the budget plan, and the university's financial condition remains excellent.

On behalf of the Finance Committee, Mr. Branscum recommended acceptance of FCR 7. His motion, seconded by Mr. Shoop, carried without dissent. (See FCR 7 at the end of the Minutes.)

Z. Patient Care Facility Project (FCR 8)

Mr. Branscum said FCR 8 requests approval to initiate the first phase of construction of the operating room suite and related support space in the new Patient Care Facility and approval to increase the total scope of the Patient Care Facility project from \$532.3 million to \$570 million.

The Operating Room project was initially deferred due to the addition of the two patient care floors. The Operating Room project is now recommended to be initiated to provide capacity for planned growth and to provide a new consolidated family waiting area for surgical services.

The scope of the Operating Room project is not expected to exceed \$35 million. The \$37.7 million increase in the total scope of the Patient Care Facility project is requested in the event of any unforeseen complications.

The Operating Room project will be funded by an internal loan of up to \$35 million to UK HealthCare which is expected to be repaid within 10 years. Any additional funds, if needed, will be provided by UK HealthCare's fund balances. Pursuant to the University's Debt Policy, the Debt Management Committee has reviewed and supports the proposed loan.

Any increase in the \$570 million scope will be presented to the Board for approval prior to implementation.

On behalf of the Finance Committee, Mr. Branscum recommended approval of FCR 8. Mr. Stuckert seconded the motion, and it carried without dissent. (See FCR 8 at the end of the Minutes.)

AA. Easement Authorization for Kentucky American Water Company Water Line (Eden Shale Farm) (FCR 9)

Mr. Branscum said that FCR 9 recommends that the Board authorize the Executive Vice President for Finance and Administration to convey approximately 3.75 acres for a permanent utility easement to the Kentucky American Water Company. The easement will be for the construction of a water line along the western boundary of the university's Eden Shale Farm in Owen County, Kentucky.

On behalf of the Finance Committee, he recommended approval of FCR 9. Mr. Dawson seconded the motion, and it passed without dissent. (See FCR 9 at the end of the Minutes.)

BB. Resolution Establishing Authority for Banking and Investment Transactions (FCR 10)

Mr. Branscum said that as a result of National City Bank's acquisition, PNC Bank's attorneys have requested a clarification of prior resolutions approved by the Board. While the prior resolutions authorized the Treasurer to establish accounts, the resolutions did not explicitly state that the Treasurer had authority to sign agreements for treasury management services. This resolution makes this authority explicit rather than implied.

On behalf of the Finance Committee, Mr. Branscum recommended approval of FCR 10. Mr. Shoop seconded the motion, and it carried without dissent. (See FCR 10 at the end of the Minutes.)

CC. Disposal of Personal Property (FCR 11)

Mr. Branscum said that FCR 11 recommends that the Board approve an annual plan for the routine disposal of personal property, including the method of disposition. "Personal property" means moveable or fixed equipment and supplies. The various methods of disposal were listed in an attachment to the FCR.

On behalf of the Finance Committee, Mr. Branscum recommended approval of FCR 11. Mr. Gatton seconded the motion, and it carried without dissent. (See FCR 11 at the end of the Minutes.)

DD. Capital Construction Report (FCR 12)

During the quarter ending March 31, 2010, there were four new contracts for design; two new contracts for construction; one contract was completed; and 45 change orders greater than \$25,000.

The six new contracts were:

- A \$1.4 million construction contract with Denham Blythe to renovate the research labs in the Chemistry-Physics Building;
- A \$44,200 design contract with Pearson & Peters Architects for the renovation of the third floor of the Little Library;

- A \$150,000 design contract with Stengel-Hill for the renovation of the Nursing Building;
- A \$554,253 design contract with JRA Architects and a \$398,000 construction contract with Codell Construction for the Wildcat Coal Lodge student housing project; and
- A \$42,000 design contract with Brown & Kubican to renovate Parking Structure # 3 for UK HealthCare.

In addition, the \$888,439 contract with Churchill McGee for the Schmidt Vocal Art Center was completed.

While the number of change orders appears large, over 30 of the 45 change orders are associated with the patient care facility and total approximately \$2.5 million. When the project was initiated, the Board was advised that there would be many such change orders as the project progressed.

On behalf of the Finance Committee, Mr. Branscum recommended acceptance of the quarterly Capital Construction Report. Ms. Haney seconded the motion, and it carried without dissent. (See FCR 12 at the end of the Minutes.)

EE. Patent Assignment Report (FCR 13)

Mr. Branscum said that Vice President for Research James Tracy presented the quarterly Patent Assignment Report. The report included nine patents. During the quarter that ended March 31, 2010, the University filed 25 patent applications, 16 patents were issued, and patent receipts total \$1.2 million.

On behalf of the Finance Committee, Mr. Branscum recommended acceptance of the quarterly Patent Assignment Report. Ms. Curris seconded the motion, and it carried without dissent. (See FCR 13 at the end of the Minutes.)

FF. Approval of Investment in Coldstream Laboratories, Inc. (FCR 14)

Mr. Branscum said that FCR 14 is requesting that the Board authorize the president to transfer up to \$6 million to the University of Kentucky Research Foundation to be used for investment in Coldstream Laboratories, (CLI) Inc. CLI was formed to expand and commercialize pharmaceutical manufacturing, research, and development. It is building a client base but has not yet become self-sufficient. Although CLI had hoped to have a positive cash flow by March 2010, the economic recession has slowed CLI's progress. The requested investment will be funded by UK HealthCare.

On behalf of the Finance Committee, Mr. Branscum recommended approval of FCR 14. Mr. Stuckert seconded the motion, and it passed without dissent. (See FCR 14 at the end of the Minutes.)

GG. Fiscal Year 2010-2011 Operating and Capital Budget (FCR 15)

Mr. Branscum said that Vice President and Treasurer Angie Martin gave a presentation on the recommended 2010-11 operating and capital budget.

The operating budget totals \$2.5 billion, an increase of \$38.5 million or 1.6 percent compared to the current fiscal year. This is the university's smallest percentage increase on record. The small increase is primarily caused by limited opportunities for expansion due to lack of additional research and clinical space.

The change in undesignated general fund revenues totals \$8.9 million including a reduction in state support, increased tuition revenue, and a decline in investment income. The biennial budget recently passed by the General Assembly reflects a 1.4 percent reduction in state support or \$4.4 million.

The undesignated general fund fixed expenses and critical investments total \$15.9 million. One of the bright spots in the budget is General Education Reform. The fiscal year 2010-11 budget includes \$2.7 million to partially fund the new General Education curriculum. This new curriculum is estimated to cost \$5 million when fully implemented, mostly to add small-group sessions to large lecture classes and to improve the teaching of communication skills.

With \$8.9 million of net revenues and \$15.9 million of increased expenses, the University is once again facing a shortfall. This \$7 million shortfall will be covered by reallocating base budgets.

Fiscal year 2010-11 will be the third consecutive year that colleges and departments have had to reallocate funds. The cumulative amount reallocated now totals over \$30 million.

In addition, over \$17 million of the university's state support will be funded with one-time federal stimulus funds.

Given the uncertainties that exist, in order to be good financial stewards of the university's resources, increases in recurring expenses are being held to a minimum. Thus, the budget does not include base salary increases for the majority of the faculty and staff. However, a one-time payment of up to \$1,000 for eligible faculty and staff making less than \$75,000 is included in the budget.

The uncertainties that continue to exist include additional cuts in state support, when the national and state economies will recover, and future increases in tuition and fees. The pattern of no base salary increases for the majority of faculty and staff cannot continue. Unfortunately, the administration does not foresee any significant increases in additional revenues in the short-term, but that will not erode our resolve.

Mr. Branscum read the statement by President Todd that was so eloquently stated in the Budget Message, "We remain faithful to the cause of building a better University as a means to a better Kentucky. In that spirit, this budget does not accomplish all that we should, but it does accomplish all that we can. Let us be mindful, that every student that sits in our classrooms and works in our laboratories and sleeps in our residence halls is the culmination of the love and faith of

many. We must reciprocate that trust in us with an unyielding determination to give our all, unencumbered by the distractions of economic circumstance.”

On behalf of the Finance Committee, Mr. Branscum recommended approval of FCR 15. Mr. Shoop seconded the motion, and it carried without dissent. (See FCR 15 at the end of the Minutes.)

At the conclusion of Mr. Branscum’s Finance Committee report, Dr. Yanarella made special note of the gift from the Estate of Joanne I. Bell in FCR 2. Ms. Bell was a leader for women, not only on campus, not only in the community, but also in the state. She was instrumental in starting up the women’s network. Her efforts to begin a Commonwealth Institute for Public Policy issues were cut short by her untimely death. He wanted to make note of her second request that her estate acknowledge her tremendous role on campus and the wider community.

HH. Proposed Revision to Administrative Regulation: University of Kentucky Retirement Plan (HRCR 1)

Dr. McCorvey, who chaired the Human Resources Committee in Mr. Roberts’ absence for military duty, said that the Committee met that morning, and all members of the Committee were present. HRCR 1 recommends that the Board approve changes to the University of Kentucky Administrative Regulation (AR) 3:1, University of Kentucky Retirement Plan. Changes to this AR include elimination of the old “Group I, II, and III” personnel language, which is no longer relevant to any current employees, and further clarification of procedures for contributions for employees on leave. These changes will also reduce the AR to the “basic” tenets for the retirement plan by removing items that are now included in the official “plan documents” for each retirement plan and that are governed by the plan carriers and federal law.

The University retirement plan has been defined in AR 3:1 and has been updated at least annually to reflect changes in Internal Revenue Code (IRC) regulations and other minor plan modifications (e.g., retirement plan loans) that are normally documented in “plan documents.” Now that the law has changed, each retirement plan has a separate plan document; thus, the AR can be edited to reflect the higher-level tenets of the plans, which will not require continuous AR approval. The only substantive change in this revision is the inclusion of language which permits employees on scholarly leave to make up retirement contributions and receive the university match when they return from leave. All other changes are in structure or form to reflect the current practice and administration of the retirement benefits.

Dr. McCorvey moved approval of HRCR 1. His motion was seconded by Dr. Pease and carried without dissent. (See HRCR 1 at the end of the Minutes.)

II. Investment Committee Report

Dr. Brockman, chair of the Investment Committee, said that the Investment Committee met that morning to review performance results and conduct other business. He reported that the Endowment had a net market value of \$812.1 million as of April 30, 2010. The Endowment has participated in the market rebound during the current fiscal year, returning 20.5 percent for the 10 months ended April 30th.

The Committee approved an extension of investment manager contracts for the upcoming fiscal year and termination of one investment manager contract due to the departure of the lead portfolio manager and other organizational issues. The Committee authorized the investment staff and our consultant to issue a Request for Proposals for a new manager and also to coordinate the immediate withdrawal and temporary investment of the funds, if necessary.

The Investment Committee went into closed session to hear presentations from finalists in the real estate manager search. Subsequently, the Committee authorized the Executive Vice President for Finance and Administration, or his designee, to enter into contract negotiations with two new real estate managers that deploy debt strategies appropriate for the current market environment. The new managers will be hired as a result of a Request for Proposals process under the supervision of the university's Purchasing Division.

JJ. Code of Student Conduct Revision (SACR 1)

Ms. Haney, chair of the Student Affairs Committee, said that SACR 1 recommends that the Board of Trustees approve the attached revision to the *Code of Student Conduct*, effective July 1, 2010. The Student Affairs Committee of the Board reviewed the revision at its April 27, 2010 meeting, and based on the recommendation of the Student Code Committee, recommends approval of the revisions to the Board of Trustees.

The *Code of Student Conduct* ("Code") is the document in which the Board states the rules, procedures, rights, and responsibilities governing non-academic relationships between the University and students. The *Code* defines prohibited behavior and specifies the procedural due process to which students and organizations are entitled in the disciplinary process. The *Code* also specifies the sanctions that may be imposed when a student or an organization is found responsible for a violation.

The last complete review of the *Code* occurred in 2003-2004, culminating in a new *Code* effective July 1, 2005. In order to maintain a Code that remains current with national best practices and in compliance with Federal and State laws and University regulations, the *Code* must undergo regular and thorough review. The Student Code Committee has been meeting for the past year to review the *Code* in its entirety and has completed a multifaceted assignment designed to:

- Align the current *Code* with national best practices;
- Ensure that the Code is in compliance with recent changes to Federal and State law (e.g., the Clery Act, Title IX, policies issued by the Office of Civil Rights);
- Incorporate recent University policy changes (e.g., AR 6:2 [Policy of Sexual Assault, Stalking and Relationship Violence] and the Interim Guidelines for Handling Allegations of Student-on-Student Sexual Assault, Stalking and Relationship Violence, and AR 6:5 [Tobacco Policy]); and
- Clarify the judicial procedures associated with alleged violations of the Code.

The current Student Code Committee, consisting of UK students, faculty, and staff, supports the revised *Code of Student Conduct*, as well as the Student Government Association, University Senate, and Division of Student Affairs.

Ms. Haney moved approval of SACR 1. Mr. Smith seconded the motion, and it carried without dissent. (See SACR 1 at the end of the Minutes.)

Ms. Haney reported that the Committee received an update on the activities of University Health Services (UHS). She noted that UHS previously was under the umbrella of the Student Affairs Committee. It is now under University Health Affairs, but the Committee maintains a strong interest because a healthy student is a good student.

There were approximately 41,000 student visits to UHS this school year. There was a huge spike in visits during the month of November due to the H1N1 virus. During the time of the outbreak of the H1N1 virus, UHS saw every student who came to be diagnosed. She noted that almost 99 percent of the students will be seen for treatment the day that they call for an appointment.

Ms. Haney reported that there have been various realignments within this system, and UHS has been able to realize year-to-date budget savings of approximately \$125,000. She pointed out that some of this money will finance the UHS operation until UHS begins receiving the next set of student fees. She noted that this is not extra funds floating around. She said that there has been a drop in the cost of per student visit; therefore, the student health fee has been decreased from \$180 to \$175.

UHS has a new health educator for campus outreach. UHS also has a new website which should be up and operational by the end of June.

UHS continues to collaborate with the Johnson Center, the Counseling Center, and other areas within Student Affairs. It is going to increase its presence on campus, and the Kentucky Kernel will begin publishing bi-weekly articles during the academic year.

UHS has been active in pursuing a new health insurance plan for students, resulting in an increase of 12 percent with the new carrier as opposed to a 60 percent increase that the previous carrier quoted. Questions were brought up about services for graduate students, and there is coverage available for graduate students and their families. UHS is participating in summer advising conferences.

UHS is working on pharmacy issues on campus regarding students sharing prescription medications with other people. It is developing new programming involving all types of minority health care, veteran care, QPR training, etc. This is certainly a valuable service for the students and their families.

Student Government President (SGA) Ryan Smith gave an update on summer activities. This is their time to get organized and push current initiatives that they have been working on to see what worked last year and what will be presented this year. Mr. Smith and other SGA officers have recently returned from a student leadership retreat. They will be meeting with incoming freshmen to promote a safe driving program.

Ms. Haney concluded her remarks by thanking Dean Victor Hazard, interim vice president for student affairs, and his staff. They have been very professional and a delight to work with the last three years. UK is fortunate to have such a hardworking staff.

KK. University Health Care Committee Report

Ms. Young, chair of the University Health Care Committee, reported that the University Health Care Committee met at Keeneland on Monday, June 7th for an all day retreat. Since most of the Board members were present, she said that she would just touch on the highlights of the day.

The retreat began with a presentation by James Field of the Health Care Advisory Board, a national health care organization, based in Washington, D.C. In short, Mr. Field reported that health care reform will naturally lead to significant changes in health care as it is known today. No one knows specifically what those changes will be. Mr. Field was confident that these changes will be directed by two things: Hospitals will be held accountable for costs and their effectiveness at controlling these costs, and hospitals will be judged by the quality of their care and reimbursed accordingly. Mr. Field confirmed that the medical centers that are proactive in preparing for this change will fair the best in the coming years.

Following his report, Dr. Karpf reported on the success of UK HealthCare and the UK HealthCare Strategic Plan over the past six years. He reviewed the original vision for health care and discussed the progress made during this time. He then presented the refined vision for the future of UK HealthCare. This updated plan basically moves the market boundaries beyond the traditional of Bluegrass and Eastern Kentucky regions into Western Kentucky and bordering states.

The Committee next heard reports from the chairs of a number of specialties at the UK Chandler Medical Center, including the Neuroscience Institute, the Gill Heart Institute, the Markey Cancer Center, the Kentucky Children's Hospital, Maternal Field Medicine, and Neonatology, Solid Organ Transplant, and Trauma.

Dr. Richard Lofgren, vice president for healthcare operations, presented a report on UK HealthCare's critical success factors. He reviewed signature metrics, quality, and safety performance, service excellence, and operational efficiencies.

Associate Vice President for Facilities Murray Clark reported on the new patient care facility. He reported that the new hospital is on schedule and approximately \$2 million under budget. The Emergency Department is scheduled to open on schedule in July 2010.

Chief Information Officer for UKHC Tim Tarnowski brought the Committee up-to-date on information technology and implementation of electronic medical records at the University Hospital and the Good Samaritan Hospital. This process is scheduled to be complete in 2011 although it is ongoing.

Strategic Marketing Director Bill Gombeski reported on the success of strategic marketing efforts for UK HealthCare since 2005, and Dr. Emery Wilson presented an overview of the state of UK College of Medicine.

Senior Vice President for Finance and Administration Sergio Melgar presented the April 2010 financials in which he reported that the hospital continues to do very well and is in line to have a record year with earnings well beyond the budgeted \$32.7 million. In fact, the hospital system has reached \$40 million in earnings in April, two months before the year end. Much of this increase in revenue has been driven by UK HealthCare success at renegotiating reimbursement from commercial payers.

Mr. Melgar also reported that discharges were up in April. The census was high, and FTE's is flat. UK HealthCare posted \$5.2 million in income from operations for the month. Current month investment income is \$2.3 million, and year-to-date investment income is \$33.3 million. Net assets for the month increased by \$6.2 million, and for the year, net assets have increased by \$59 million for a margin of 8.5 percent.

Mr. Melgar then presented the UK HealthCare 2011 budget. Fiscal year 2011 budget operating revenue is expected to be \$873 million or 5 percent over the fiscal year 2010 forecast. This budget reflects a 9 percent increase in personnel costs versus 2010 due to several factors, including a 3 percent merit pool assumption, increased health insurance contributions and rate increase, increased campus benefit fee due to a change in retirement reporting requirements, and increased FTEs to support the patient care facility. The budget also reflects increased costs associated with the opening of the patient care facility. The budget reflects a total margin of \$11 million or 1.2 margin. This \$11 million total margin includes the impact of the interest expense from the \$27 million debt service payment for the patient care facility pavilion A and increased appreciation expense of \$12 million, which includes \$7.6 million for the building.

Mr. Melgar also pointed out several system risks that the hospital could face, including federal health care reform, state health care reform, state budget, state economic health, investment performance, and increased competitiveness of other providers.

The Committee voted to approve the inclusion of 8 ORs and 1 hybrid OR in phase 1 of the patient care facility. The Committee also voted to accept new medical staff appointments as presented by Dr. Nelson.

Finally, a representative of the University HealthCare Consortium presented a performance snapshot of UK HealthCare in which he reported that UK HealthCare has been on track in recognizing the strategic importance of becoming a regional center for complex, quaternary care, developing strategic relationships with community hospitals, and focusing on core of business. He pointed out that UK has an opportunity to increase revenue by paying attention to coding and documentation of cases and needs to continue to pay attention to readmissions, mortality, and claims data. After a long but information rich day, the meeting adjourned at 4:15 p.m.

Mr. Stuckert complimented Dr. Karpf on his vision for UK HealthCare and its execution thereof. UK is quite fortunate in having Dr. Karpf heading up the UK HealthCare complex.

Dr. Karpf thanked Mr. Stuckert but said he really needed to thank his team and not him.

Ms. Ball thanked the Board members who were able to attend the retreat.

LL. Athletic Association Board of Directors Report

Mr. Dawson, trustee representative on the UK Athletic Association Board of Directors, reported that the Board of Directors met May 13, 2010.

At that meeting, Athletics Director Mitch Barnhart discussed the various sports that were still in progress. He read a press release regarding the reorganization of the Men's Basketball operations with Deputy Director of Athletics Rob Mullens overseeing the day-to-day operations, and Rod Strickland transitioning from assistant coach to an operational position.

Mr. Mullens presented the 2010 budget adjustments and financial projections as well as the 2011 budget for Athletics, and they were approved.

Mr. Butler distributed an amendment and resolution to the Articles of Incorporation of the Athletic Association. The Articles stated that all assets would go to UKRF if dissolution occurred. The amendment and resolution called for the assets to go to UK instead of UKRF. The Board unanimously approved the amendment and resolution.

Head Women's Basketball Coach Matthew Mitchell addressed the Athletic Board and provided a brief recap of the past season. He thanked President Todd and the Athletic Board for providing the necessary resources for student-athletes to prosper.

MM. The Fund for Advancement of Education and Research in the University of Kentucky Medical Center

Ms. Ball said that the chair of the Board is mandated to serve on the FUND. She reported that the Fund for Advancement of Education and Research in the University of Kentucky Medical Center (The FUND) was established in 1959 as a nonprofit corporation to promote, advance, and support the educational, research, charitable, and other purposes of the University of Kentucky Medical Center.

The FUND is the corporate entity that accepts and ensures accountability for the unrestricted revenues associated with the professional services provided by faculty who are members of the practice plans in the Colleges of Dentistry, Health Sciences, Nursing, and Pharmacy and by the physicians in the University Health Service. These professional faculty practice plan earnings are utilized for programmatic purposes within the Health Service and in the colleges where the faculty members hold their primary appointments.

The 2010-11 unrestricted FUND budget, as approved by the FUND Board at its annual meeting on May 18, 2010, is \$17.4 million. Of this amount, \$14.3 million, or 82 percent, is generated by clinical programs in the College of Dentistry with the remaining amounts generated by the University Health Service, the Colleges of Pharmacy, Nursing, and Health Sciences, and interest

earnings. The 2010-11 restricted FUND budget is \$4.4 million, and \$4.16 million, or 94 percent, is donated from KMSF to the College of Medicine for purposes of enhancement of programs in that college.

NN. The University of Kentucky Research Foundation Report

Ms. Brown, trustee representative on The University of Kentucky Research Foundation (UKRF) Board reported that the Board met on May 4. Dr. Todd began his remarks by welcoming everyone and also recognizing the work of Vice President for Research Jim Tracy and his team within UK Research Administration. He noted that research expenditures were \$337 million in 2008, which is up from \$160 million when he became president in 2001. By comparison, UK's appropriation from the state is \$310 million this year, and the 2010-11 budget is expected to be \$306 million. It was \$303 million when he became president. This comparison shows that in areas where UK is able to drive its own revenue it does a great job. Dr. Todd emphasized the importance of working with the legislators to convince them that investing in UK is so fundamental to changing the state.

Dr. Tracy highlighted some of the American Recovery and Reinvestment Act (ARRA) awards. As of April 30, 2010, faculty had received 125 competitive ARRA awards totaling \$71,432,472. He discussed Research Administration activities, including the "Research Enrichment Program", designed to return more F&A dollars to academic units for collaborative projects. He described the categories of support funded by the UKRF budget and indicated that 67 percent (\$29.72M) of the fiscal year 2009 budget went directly to faculty and academic unit support.

Dr. Tracy presented the 2010-11 UKRF budget, reporting F&A recovery projected at \$44 million. This is a decrease from fiscal year 2010, projected at over \$46 million as a result of the ARRA awards.

Hollie Swanson, Department of Pharmacology, was reelected to a three-year term as Faculty Member and Angie Martin, Vice President for Financial Operations and Treasurer, was elected Treasurer (a no-voting officer of the UKRF Board).

Ms. Martin reported that the independent audit for the fiscal year that ended June 30, 2009 performed by BKD was rendered an unqualified or "clean" opinion on the UKRF Consolidated Financial Statements. The Research Foundation is in good financial shape and on solid ground. Ms. Martin also explained the IRS Form 990 for fiscal year 2008-09. It is now a requirement that this form be presented to the UKRF board directors.

Details on License Agreements, including information on the current top five revenue producing licenses, were reviewed.

OO. Resolutions

Ms. Ball said there were resolutions for the five individuals retiring from the Board. She said there would be one vote taken at the end of the resolutions and called upon various Board members to read the resolutions.

Resolution for Dr. Robynn Pease

Ms. Curris said it was a great honor to read the resolution of the University of Kentucky Board of Trustees for Dr. Robynn Pease.

WHEREAS, Robynn M. Pease, member of the University of Kentucky Board of Trustees, has served this university, the greater Lexington community, and the Commonwealth of Kentucky with grace and determination, and

WHEREAS, she is only the second person ever elected to the position of staff representative on the Board of Trustees, and

WHEREAS, she has served on the Board of Trustees' Human Resources Committee and University Relations Committee, and

WHEREAS, she serves as director of work life at UK, bringing two decades of experience and dedication in the field to her position, and

WHEREAS, she also continues to teach part-time in the UK College of Social Work, and in the Department of Sociology, College of Arts and Sciences, and

WHEREAS, she is a member of the executive committee of the board of directors of the Nursing Home Ombudsman Agency of the Bluegrass, and

WHEREAS, she is a member of the College and University Family/Work Association, the American Society of Aging Association and is an honorary member of the National Gerontology Academic Honor and Professional Society,

NOW THEREFORE BE IT RESOLVED, that the Board of Trustees of the University of Kentucky expresses its sincere appreciation to Robynn M. Pease and wishes her well in all of her future endeavors and orders that this resolution be made part of the Minutes of the meeting.

Ms. Curris reiterated that it was such a great delight to have been asked to read this particular resolution. Dr. Pease has made a wonderful contribution, and the Board members are glad that she has been with them.

Ms. Pease informed the Board that the second full census work-life survey will be taking place in October, and she hoped to give an update to the Board next year. The work-life survey is one of the metrics on goal three. She referred to the introduction of the budget information and said that work-life satisfaction, commitment of faculty and staff, as well as their satisfaction of their work environment, is part of what is measured as UK's success. She said it had been a pleasure to serve on the Board, and she looked forward to seeing everyone soon. Dr. Pease received a round of applause.

Dr. Ernest J. Yanarella

Dr. McCorvey said it was a great pleasure to read the resolution honoring Ernest J. "Ernie" Yanarella.

WHEREAS, Ernest J. "Ernie" Yanarella, member of the University of Kentucky Board of Trustees, has served the board with distinction and dedication, and

WHEREAS, he has served the board as chair and member of the Academic Affairs Committee, and as a member of the Human Resources Committee, and

WHEREAS, he has been a valued member of the University of Kentucky faculty for 40 years, teaching countless undergraduate and graduate students in political science and earning the Provost's Outstanding Teaching Award, and

WHEREAS, he was selected as the 2009-10 College of Arts and Sciences Distinguished Professor, and as recipient of the 2010 William E. Lyons Award for Outstanding Service by the Martin School of Public Policy and Administration, and

WHEREAS, in his research specialties of political theory and public policy, he is the author of nine books and dozens of journal articles, and

WHEREAS, he is a tireless advocate for environmental quality and sustainability efforts for the university, the Commonwealth, and beyond, and

WHEREAS, he has contributed insight, wisdom, and hard work to the task of reforming the University Studies Program, and

WHEREAS, he has brought further honor and distinction to UK via his service and counsel to the Kentucky Cabinet for Economic Development, the United States Military Academy, and the Canadian Embassy, to name just a few,

NOW THEREFORE BE IT RESOLVED, that the Board of Trustees of the University of Kentucky expresses its sincere appreciation to Ernest J. Yanarella and wishes him well in all of his future endeavors and orders that this resolution be made part of the Minutes of the meeting.

Dr. McCorvey thanked Dr. Yanarella for his service on the Board.

Dr. Yanarella said that it was a bittersweet moment for him. He is reminded of Groucho Marx's favorite expression, "Hello, I must be going." He does not feel, however, that he is leaving. During his 40 years at the University, he has had the opportunity to do a great many things in terms of faculty leadership, and that has certainly been an important part of what he hopes will be his legacy. The other aspect will be general education. He reminded President Todd of his persistence in the Business Plan Committee until President Todd finally told him that he would make between

\$50,000 and \$100,000 available to help jump start the general education reform effort. Being a political scientist, Dr. Yanarella knew he had to come up with a scheme that was right in the middle (\$75,000). This money really did play a very important role in luring this effort forward. He thanked Provost Subbaswamy for his continuing efforts to make this real.

Dr. Yanarella said that one thing he has learned about the Board is the importance of the committee structure and the leadership that is shown by the chairs who have taken on that responsibility. He has served on a number of committees and attended almost all of them. In each committee, he has been just utterly dazzled by the quality of leadership.

Dr. Yanarella addressed each member of the Board and made a brief comment about them. He said that one of the highlights of his four years on the Board has been to be part of an effort that was contributed enormously by Mr. Branscum and this board helping to break the glass ceiling in terms of a woman chair. He said that Ms. Ball has served as chair with great sensitivity, and he is very grateful for the various conversations with her over the last couple of years. He will be sorry to realize that she will be departing as well.

Having been a consensus builder all of his life, he said that it sometimes means that you have to be a staunch advocate of faculty issues. He assured President Todd that where he had to vote in the negative, it was as Abe Vigoda once said in the Godfather "Please tell the Godfather it was business. It was not personal."

Dr. Yanarella thanked First Lady Patsy Todd for her hospitality and even more for her commitment to the betterment of the University in so many ways. He appreciates it, and it is widely acknowledged and understood by the folks at the University. He concluded his remarks by saying, "Let me just say hello. I must be going." Dr. Yanarella received a round of applause.

Resolution for Ann Brand Haney

Mr. Smith said that it was an honor to be able to read the resolution on behalf of Ann Haney. He had the privilege of serving with Ms. Haney on the Student Affairs Committee this past year, and her passion and love for this university is really unparalleled. It has been a privilege to serve alongside her.

WHEREAS, Ann Brand Haney, member of the University of Kentucky Board of Trustees, has served this university, her community, and the Commonwealth of Kentucky with passion and dedication, and

WHEREAS, she has served as chair of the Board of Trustees' Student Affairs Committee, and as a member of the University Health Care Committee, and

WHEREAS, she is a devoted member of the UK Alumni Association, serving as president of the organization in 2004-05, and as treasurer, and

WHEREAS, she is a recipient of the alumni association's Distinguished Service Award, and

WHEREAS, she is a founding member of the Lake Cumberland UK Alumni Club, and

WHEREAS, as co-owner of Haney's Appledale Farm with her husband Don, she has been an active member of her community, devoting countless hours to educational and charitable efforts, and

WHEREAS, this record of service includes being founding director of the Pulaski County Literacy Council, president of the Pulaski County Interagency Council, president of Lake Cumberland Performing Arts, Inc., and community relations director and executive director of the Pulaski County Public Library Foundation,

NOW THEREFORE BE IT RESOLVED, that the Board of Trustees of the University of Kentucky expresses its sincere appreciation to Ann Brand Haney and wishes her well in all of her future endeavors and orders that this resolution be made part of the Minutes of the meeting.

Ms. Haney thanked the Alumni Association. She said that she never would have had the opportunity to serve on the Board if it had not been for that organization. She recalled a comment made by Pam May a few years ago when some people were leaving the Board. Ms. May spoke about the unique experience, bond, and friendship developed when one serves on the board, and indeed, that was very true. Ms. Haney said that she treasured every minute and every second of serving on the Board and getting to know so many Board members in the six years that she had served. It has been a humbling thrill and experience of a lifetime, and she thanked everyone. Ms. Haney received a round of applause.

Resolution for Stephen P. Branscum

Mr. Shoop said it was a distinct honor for him to read the resolution for his very good friend Steve Branscum.

WHEREAS, Stephen P. "Steve" Branscum, member of the University of Kentucky Board of Trustees, has served the board faithfully and admirably as vice chair, and

WHEREAS, he has further served the board with great dedication as chair of the Finance Committee, as chair of the Audit Subcommittee, and as a member of the University Health Care Committee, and

WHEREAS, as president and CEO of Branscum Construction, he is involved not only in economic development across the Commonwealth of Kentucky and in other states, he lends his knowledge and expertise to many charitable and community organizations, and

WHEREAS, he is a current or past board member of numerous organizations and causes, including Habitat for Humanity, the Ephraim McDowell Hospital and hospital foundation, Campbellsville University, the Russell County Chamber of Commerce, and the Kentucky Council on Agriculture, and

WHEREAS, his further service to his community and state includes the Governor's Council for the Associated General Contractors of Kentucky, the Russell County Forward in the Fifth for Education Executive Committee, the Russell County Industrial Development Authority, First BanCorp of Russell Springs, and First National Bank, and

WHEREAS, he is active on the national level with the Associated General Contractors of America,

NOW THEREFORE BE IT RESOLVED, that the Board of Trustees of the University of Kentucky expresses its sincere appreciation to Stephen P. Branscum and wishes him well in all of his future endeavors and orders that this resolution be made part of the Minutes of the meeting.

Mr. Branscum said that the good Lord takes our lives down many paths, and he is so blessed that he had the opportunity to travel this path. It is all about people, and it has been an honor and a pleasure to build relations with those that he would have never had an opportunity to meet. He hopes that he has been able to be just a little part of the growth and the history of the University during the six years he has served on the Board. He also hopes that he can continue to be a little part of that as the University moves forward. He expressed appreciation for the opportunity to get to know each member, and hopefully, be able to continue those relations. Mr. Branscum received a round of applause.

Resolution for Mira S. Ball

Ms. Patterson said it was a huge honor to get to read a resolution of the University of Kentucky for Chair Mira Ball.

WHEREAS, Mira S. Ball, member of the University of Kentucky Board of Trustees, has served her alma mater, her community, and the Commonwealth of Kentucky with honor and distinction, and

WHEREAS, she is the first woman ever elected as chair of the UK Board of Trustees, and

WHEREAS, she has been an exemplary chair of the board, presiding over proceedings with a calm, even-handed approach and sense of fairness, and

WHEREAS, she further has served the board as chair of the Executive Committee, member of the Finance Committee, member of the Audit Subcommittee, and as a member of the University Health Care Committee, and

WHEREAS, she is chief financial officer of Ball Homes, and together with her husband, Don, continues to be a leading philanthropist, supporting a great number of worthy organizations and institutions with her generosity, including the University of Kentucky, and

WHEREAS, Mira and Don Ball recently were honored with the "Hometown Heroes" award from the Fayette County UK Alumni Club, and Mira was inducted into the UK Alumni Association's Hall of Distinguished Alumni, and

WHEREAS, she has served, and continues to serve on numerous boards of directors on behalf of charitable causes, including United Way, the Kentucky Baptist Home for Children, the Children's Advocacy Center, the YWCA, the Fayette County Unit of the American Cancer Society, the Bluegrass Community Foundation and many others, and

WHEREAS, she has been a trailblazer for women in business, becoming the first female president of the Lexington Chamber of Commerce, now Commerce Lexington, and

WHEREAS, she has served on the Governor's Commission on Tax Policy and the State Economic Development Task Force, and

WHEREAS, she is a role model of outstanding citizenship for all Kentuckians,

NOW THEREFORE BE IT RESOLVED, that the Board of Trustees of the University of Kentucky expresses its sincere appreciation to Mira S. Ball and wishes her well in all of her future endeavors, and orders that this resolution be made a part of the Minutes of the meeting.

Ms. Ball thanked the Board members. She said that everyone had done everything that she had asked them to do while serving as chair. She appreciates the cooperation and the help that they have given her. It has been a real honor, and she is very humbled by what the Board asked of her. She said that she appreciates President Todd and his wife Patsy and all the people that work for President Todd. She did not call his executive staff very much until she became chair, but she never called anybody that she did not get the utmost cooperation. President Todd's executive staff is fantastic. She said that it had been marvelous to be at the University, to see what is happening with that hospital, to see what is happening with the freshman class this year, and to see what is happening with less money. She said that she could not forget Peggy Way. You do not realize how much help Peggy is until you are in the chair's position. She said she appreciated Peggy and all of the Board members so much.

Ms. Ball then called for a vote on the resolutions. Dr. Sachatello moved that the resolutions be accepted, and several Board members seconded the motion. The motion passed without dissent.

President Todd said that he and Patsy appreciate having known the members going off the Board. They will be off the Board but not out of their minds. He appreciates the dedication that they have shown to the institution, not just the time spent but the way in which they spent it. He appreciates them helping move the University forward with great integrity and nothing but the purest goals in mind of making the institution a better place for everybody. He appreciates Mira Ball and the service that she has given to the University. All of them have done a tremendous job.

PP. Nominating Committee Report

Mr. Shoop, chair of the Nominating Committee, reported that the Committee met Monday, June 7 at 4:30 p.m. to consider nominations for a chair pro tem. With the expiration of the chair and vice chair's terms on June 30, 2010, the committee puts forth the nomination of former chair Billy Joe Miles to serve as chair pro tem effective July 1 until the election of officers at the September

Board meeting. At that time, the Committee will present a slate of 2010-11 officers to the Board for consideration.

Mr. Shoop moved that the nomination of Billy Joe Miles as chair pro tem be approved. Mr. Gatton seconded the motion. Ms. Ball asked for any nominations from the floor. A motion was made and seconded that the nominations cease. Ms. Ball then called for a vote for Billy Joe Miles to serve as chair pro tem, and it passed without dissent.

QQ. Meeting Adjourned

With no further business, Ms. Ball adjourned the meeting at 2:58 p.m.

Respectfully submitted,

Barbara W. Jones
Assistant Secretary, Board of Trustees

(PR 2, 3, 4, 5, 6, and 7; AACR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, and 11; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, and 15; HRCR 1, and SACR 1 which follow are official parts of the Minutes of the meeting.)

PR 1

Office of the President
June 8, 2010

1. UK Confers Nearly 7,000 Degrees at 143rd Commencement

Nearly 7,000 candidates for undergraduate, graduate, and professional degrees were honored at the University of Kentucky's 143rd Commencement in Rupp Arena on May 8, 2010. The ceremony recognized spring 2010 graduates as well as students who received their degrees in August and December 2009. David C. Novak, chairman, CEO, and president of Yum! Brands Inc., served as Commencement speaker. This year's student speaker was Kara A. Sutton of Elizabethtown, a 4.0-GPA student, with a double major in political science and economics, who has served as a legislative intern to U.S. Sen. Mitch McConnell. For the first time in UK history, the university broadcast its main Commencement ceremony live over the Internet. The webcast was archived on www.uky.edu/uknow.

2. New UK Institute Will Train Next Generation of Power and Energy Engineers

The UK College of Engineering has received nearly \$2.5 million from the U.S. Department of Energy to establish a new institute to train the next generation of power engineers. The need for young engineers is urgent considering the challenges of the carbon footprint, the race to develop alternative energy resources and the goal of creating a national "Smart Grid." The Power and Energy Institute of Kentucky (PEIK), under the direction of electrical and computer engineering Professor L.E. Holloway, will educate the specialists needed to handle the technical challenges of generating, transmitting, and distributing electric power.

3. Toyota USA Foundation Gives \$500,000 to Program to Improve Math, Science Teaching

Toyota USA Foundation has awarded a \$500,000 grant to a UK program designed to help K-12 teachers develop more effective methods of teaching math and science in 13 central and northern Kentucky urban school districts. The grant will allow the UK Partnership Institute for Mathematics and Science Education Reform (PIMSER) to provide teacher-driven teams, assisted by university and college faculty, in developing localized strategies for improving teaching and/or student learning in their mathematics or science programs. PIMSER's Partnership Enhancement Project (PEP) will provide planning guidelines and training to teachers to help determine their district's students' specific mathematics and science needs. Math and science professors at UK and several other colleges and universities use research based strategies to provide intervention plans for those specific needs. The teachers also will receive training on how to write professional development plans. The professional development guidelines could support a variety of proposals or plans. Participating school districts are, in northern Kentucky, Bellevue Independent, Boone County, Campbell County, Covington Independent, Dayton Independent, Kenton County, Newport Independent, Silver Grove Independent, and the Diocese

of Covington Department of Catholic Schools. In central Kentucky, participating districts are Bourbon County, Fayette County, Scott County, and the Diocese of Lexington Catholic Schools.

4. National Science Foundation Chooses Five UK Students for Graduate Research Grants

Five UK students will receive \$100,000, government-funded National Science Foundation (NSF) Graduate Research Fellowships to support research-based master's or doctoral degrees. UK's newest NSF fellows are: Hui Chu, a doctoral student studying developmental and social psychology; Mark Goodman, a doctoral student studying entomology; Lesley Mann, a graduating senior studying agricultural biotechnology; Sam Nicaise, a graduating senior studying electrical engineering; and Christine Smith, a graduate student pursuing a master's degree in geography. Additionally, four alumni were also recognized by the program. Alumni Jessica Sherman and James Stucker also will receive fellowships. Sherman, a graduate student at the University of California at Santa Barbara, holds a bachelor's degree in chemistry from UK. Stucker, a graduate student at Ohio State University, earned his bachelor's degree in geology at UK. Additionally, alumnae Kathryn Boucher and Erin Yost received honorable mention recognition from the program.

5. Coalition Report Regales Three UK Spinoff Firms for Using Grants for Economy Gains

UK spinoff companies Allylix Inc., Mersive Technologies, and AllTranz Inc. are featured in *Sparkling Economic Growth: How Federally Funded University Research Creates Innovation, New Companies and Jobs* released by The Science Coalition. The report suggests that one of the best ways the United States can foster long-term economic growth is through investment in the basic research that leads to innovation and job creation. The UK companies from the colleges of Agriculture, Engineering, and Pharmacy are part of the 100 success stories in the report. Collectively, these companies employ more than 100,000 people and have annual revenues approaching \$100 billion. The report is available at www.sciencecoalition.org.

6. Sanders-Brown Researchers Get \$2.4 Million to Study Alzheimer's in Down Patients

Elizabeth Head and Frederick Schmitt, physicians at the UK Sanders-Brown Center on Aging, have been awarded a five-year grant for a dedicated research study of aging in adults with Down syndrome. The \$2.4 million grant was awarded by the Eunice Kennedy Shriver National Institute of Child Health and Human Development within the National Institutes of Health. The study will look at how Down syndrome and Alzheimer's disease may affect a person's memory and thinking as they get older. The project will follow neurological, learning, and memory changes in adults with Down syndrome as they age; examine brain changes using MRI; and measure blood biomarkers. In combination, the study hopes to identify early markers of the development of Alzheimer's disease in Down syndrome.

7. Gaines Center Selects 11 New Gaines Fellowship Scholars for 2010-11, 2011-12

Gaines Center for the Humanities selected 11 undergraduates as new scholars for the Gaines Fellowship Program for the 2010-11 and 2011-12 academic years. Fellowships recognize students' outstanding academic performance, demonstrated ability to conduct independent

research, interest in public issues, and desire to enhance understanding of the human condition through the humanities. Fellowships are awarded for the tenure of a student's junior and senior years, or for the last two years of a five-year program; students in all disciplines and with any intended profession are given equal consideration. The 11 students selected as Gaines Scholars are: Jessica Anderson, majoring in international studies; Zachary Davis, majoring in sustainable agriculture and sociology; Martha Groppo, majoring in history and journalism; Claire Heitzman, majoring in classics; Lauren Ison, majoring in chemistry; Ashleigh Lovelace, majoring in English and arts administration; Shady El-Maraghi, majoring in biology and French; Hannah Osborne, majoring in political science and history; Lena Reich, majoring in international studies and Spanish; Katherine VanHoose, majoring in architecture; and Ainsley Wagoner, majoring in interior design. All fellows take a specially designed, four-credit-hour per semester seminar in the humanities both semesters of their junior year. Each student will complete a major independent study project in his or her senior year, earning between six and 15 credit hours. The fellowship carries stipends of \$2,000 in a scholar's junior year and \$3,000 in the senior year.

8. Habitat Leader, Student Volunteer Are Recognized for Community Service

A Habitat for Humanity activist who died in an August 2006 airplane crash and a student who has volunteered both locally and in Latin America received UK's 2010 Algernon Sydney Sullivan Medallions for outstanding community service. The awards were presented at UK's 143rd Commencement at Rupp Arena. Patrick H. Smith of Lexington, who died on board Comair Flight 5191 when it crashed on takeoff at Bluegrass Airport Aug. 27, 2006, was chosen for his many years of involvement in Habitat for Humanity, in which he helped build houses for the poor in Lexington, west Africa, Sri Lanka, India, Mexico, Northern Ireland, South Africa, and Gulfport, Miss., in the wake of Hurricane Katrina. Rebecca Elizabeth Linares of Louisville was selected as 2010's graduating senior to receive the recognition. Linares, majoring in international studies and Spanish in the UK College of Arts and Sciences, was nominated for her various volunteer efforts.

9. Three UK Students Are Recognized by Prestigious Two-year Goldwater Scholarships

Three UK students were recognized by the Barry M. Goldwater Scholarship and Excellence in Education Program. The scholarship is worth approximately \$7,500 per year for up to two years of undergraduate study. Junior Jenna Shapiro was named a Goldwater Scholar for 2010. Shapiro, who is pursuing a chemical engineering major and biology minor, is among 278 students nationwide awarded the scholarship this year. This year's scholars were selected on the basis of academic merit from a field of 1,111 mathematics, science, and engineering students who were nominated by the faculties of colleges and universities nationwide. Additionally, senior Jake Gamsky and junior Jason Rexroat received honorable mention recognition by the esteemed scholarship program. Gamsky is pursuing a physics major and Rexroat is pursuing computer engineering and electrical engineering majors and a minor in mathematics.

10. Psychology Class Offers Help to Improve LexTran's Communication Tactics

In a class with important town-gown implications, 16 students in a UK psychology class presented proposals for making Lexington's public transit system's website and information-

distribution system more rider-friendly. The students in psychology professor Melody Carswell's human-technology interaction course presented ideas like more readable maps, easily understandable routes, two-sided bus-stop signs, and easily navigable website pages. Since the start of UK's 2010 spring semester, the class, which includes a mix of psychology and engineering students, studied LexTran's communication methods. They suggested a number of changes to the public transit system's website that Lextran has adopted. LexTran general manager Rocky Burke and other LexTran managers attended the presentations at the UK Center for Visualization and Virtual Environments (Vis Center) and said the students' proposals will be considered. In addition to serving on the College of Arts and Sciences' psychology faculty, Carswell is assistant director of the Vis Center, which is part of the UK College of Engineering.

11. PBS Series on Appalachia's Environmental History Features Two UK Professors

UK Professors Ronald Eller, history, and Gurney Norman, English, joined other leading experts on Appalachia's history and topography to tell the region's story from the ground up in "Appalachia: A History of Mountains and People," a four-part series that aired this spring on PBS, including Kentucky Educational Television. This was America's first environmental history series, according to the network.

12. College of Design Students Present Contemporary Designs at Year End Show

The UK College of Design and LOT (Land of Tomorrow) Gallery presented the Year End Graduation Show, an exhibition of student work from the College of Design. The show looked at contemporary design issues at a variety of scales and present works from selected graduate and undergraduate seminars in the Schools of Architecture and Interior Design. The exhibition showcased projects from the four architecture graduate studios that seek to redefine the role and efficacy of design in contemporary cultures, politics, and economies. Studio research areas include the continued development of the "River Cities Project," a multi-year design initiative focusing on the revitalization of industrial cities located on the Ohio River; experimentation with responsive devices and their productive capacity as design tools to generate novel formal, structural, and programmatic relationships; the adaptation of the regional houseboat industry to fabricate energy-efficient, low-cost housing; and policy as a form of design to optimize urban, suburban, and rural planning. The students presented their findings using animation, drawings, videos, and models. The Year End Graduation Show also featured works from design seminars dealing with material research, digital fabrication, surface effects, and innovative structures.

13. President Obama Recognizes UK for Student Involvement in Community Service

The University of Kentucky has received national recognition from President Obama for its commitment to community service. UK has been named to the 2009 President's Higher Education Community Service Honor Roll, federal recognition a college or university can receive for its commitment to volunteering, service-learning and civic engagement. The Corporation for National and Community Service, which administers the annual Honor Roll award, recognized more than 700 colleges and universities for their impact on issues from poverty and homelessness to environmental justice. Last year, nearly 9,500 UK students

participated in nearly 90,000 hours of service. Volunteerism at UK is coordinated through the Center for Community Outreach.

14. College of Medicine Marks 50th Anniversary with Symposium Looking to Future

The UK College of Medicine, in conjunction with its yearlong 50th anniversary, hosted an academic symposium, "Preparing Physicians for the Next 50 Years," for its faculty, staff, students, and community in late April. The symposium featured nationally acclaimed speakers with diverse backgrounds, such as Dr. Pauline Chen, Paul O'Neill, and Dr. Dennis O'Leary who shared their visions for the future of physicians and the health care system. During the four-hour symposium, the speakers focused on the future of medical education and the practice of medicine from various perspectives. O'Leary, the president emeritus of The Joint Commission, relayed to the audience the importance of patient safety. O'Neill, co-founder of the Pittsburgh Regional Healthcare Initiative and former U.S. Secretary of the Treasury, shared his experience in the health care industry and stressed the need for radically improving health care delivery. Chen, a surgeon, author, and online columnist for *The New York Times*, highlighted the benefit of interprofessionalism within medicine and the health care industry. More than 400 faculty, staff, students, and alumni attended the event.

15. Student Is Named 2010 Beckman Scholar, Gets \$19,300 to Support Research

Agricultural biotechnology junior Philip Houtz has been named the 2010 Beckman Scholar at UK and will be given \$19,300 over 14 months to support his own scientific research project under faculty mentorship. Houtz, a junior from Winchester, is performing his project under the mentorship of Bruce Webb, a professor in UK's entomology department in the College of Agriculture. Houtz's research involves the study of polydnaviruses (PDVs), which are found in parasitoid wasps that characteristically lay their eggs in or on another organism. Houtz has recently co-discovered a second virus, identified as a "cypovirus," in this parasitoid-host relationship that mirrors the PDV and actually causes considerable mortality in the wasp larvae that become exposed to it. His research of this cycle provides a chance to study the complex relationship that exists between these two viruses and their development as biological weapons. Houtz is also a Chellgren Fellow, National Conferences on Undergraduate Research (NCUR) poster presenter, UK AMSTEMM student, UK Beyond Coal member, Honors Program student, and a UK Society for the Promotion of Undergraduate Research poster presenter. While classified as a junior by credit hours, Houtz is only in his second year at UK.

16. Four Are Inducted into College of Engineering's Hall of Distinction

The UK College of Engineering inducted four alumni into its Hall of Distinction on April 23. The four are Paul F. Boulos, who earned three degrees in civil engineering from UK (Bachelor of Science, 1985; Master of Science, 1986; Ph.D., 1989); John R. "Dick" Lyon, Bachelor of Science in mechanical engineering, 1958; Fred T. May, Bachelor of Science in electrical engineering, 1958; and, Roosevelt "Red" Maynard Jr., Bachelor of Science in mechanical engineering, 1958.

17. UK Office of Adult Student Services Hosts Record Crowd at Back to School Workshop

A record 85 prospective adult students recently attended the UK Office of Adult Student Services' Back to School Workshop. The event was designed to inform and answer questions for those who want to come back to college or want to start from scratch later in life. The Office of Adult Student Services is also the point of contact for Project Graduate, a statewide program which is a partnership between UK and the Council on Postsecondary Education and supported by the Lumina Foundation. Its mission is simple: to bring Kentucky adults who earned 90 or more credit hours but never finished their degrees back to college. Universities across the state are involved. Since the partnership's inception nearly 2 years ago, 44 Project Graduate students have returned to UK to complete their degrees.

18. Law, Business Students Assist Lexington Residents with 2009 Tax Returns

The Volunteer Income Tax Assistance (VITA) program, housed in the UK College of Law, was recently recognized for its participants' hard work and commitment in helping Lexington residents complete and turn in their 2009 tax forms. VITA won a collegiate challenge in the statewide tax assistance project that several schools competed in. They won based upon their number of returns filed in the tax season. About 30 students from the College of Law and the UK Gatton College of Business and Economics volunteered for the program this year. The VITA program began operations February 1 and ran through April 15 at the College of Law. This program helped the students prepare for their required 12 to 18 hours of federal income tax courses along with two tax certification tests from the Internal Revenue Service.

19. CAER, Gatton Students Reaches Finals in 2010 Global Venture Challenge

Graduate students from UK's Center for Applied Energy Research (CAER) and undergraduate students from the Gatton College of Business and Economics teamed up to make the finals of the 2010 Global Venture Challenge hosted by Oak Ridge National Lab recently. The challenge, touted as the Department of Energy's premier commercialization competition, brings together students who are developing new technology with venture capital groups and business development companies. The University of Kentucky team, NuForm Thermal Management, included Anne Oberlink (chemistry), Tristana Duvallet (materials science), Dallas Rose (finance), and Hamid Sheikh (finance and accounting). The team developed and presented a commercialization plan for new insulating foam materials manufactured from polyurethane foam and ceramics recycled from coal combustion ash. This technology, developed by UK CAER and NuForm Materials LLC, was judged as part of the advanced materials for sustainable energy track while the other track focused on Homeland Security technologies. More than 40 teams from around the world applied for the competition, but only a select few were invited to Oak Ridge to present their plans. The University of Kentucky team was one of six finalists to reach the last day of competition in the energy track. The UK team came away with an honorable mention receiving a \$1,000 award. Team advisers included Brock Marrs (NuForm Materials LLC), Chris Clifford (Gatton College), and Rodney Andrews (UK CAER).

20. Two UK Students Head Overseas Under U.S. State Department Program

UK international studies students Amber Davis and Ashley Meredith are furthering their knowledge of Chinese and Arabic, respectively, with two of the approximately 575 Critical Language Scholarships awarded by the U.S. State Department this summer. Davis and Meredith will spend seven to 10 weeks in intensive language training in China and Jordan, respectively, two of 13 critical need areas, which include Arabic, Persian, Azerbaijani, Bangla/Bengali, Hindi, Indonesian, Korean, Punjabi, Turkish, Urdu, Chinese, Japanese, and Russian. This year's CLS Program received nearly 5,300 applications. Students from a wide range of academic disciplines and U.S. colleges and universities from all 50 states were selected for scholarships through a merit-based selection process.

21. University Press Book on Keeneland President Wins Award

The University Press of Kentucky book *Keeneland's Ted Bassett: My Life* by James E. "Ted" Bassett III and Bill Mooney has been awarded the fourth annual Dr. Tony Ryan Book Award by Lexington's Castleton Lyons farms and *Thoroughbred Times*. The award, named for the founder of Castleton Lyons, is presented annually to the best book published about the thoroughbred industry. Written by Bassett, former president, chairman of the board, and trustee of Keeneland and former president of Breeders' Cup Limited, and two-time Eclipse Award-winning journalist Bill Mooney, the book presents readers with a comprehensive autobiography of the man behind the racetrack, exploring all aspects of his life both on and off the track. After serving as a member of the U.S. Marine Corps in the Pacific theater during World War II and as the head of the Kentucky State Police during the tumultuous 1960s, Bassett began his career in the horse industry, pushing Keeneland to the forefront of the racing business.. The book's co-author, Bill Mooney, has covered racing for *The New York Times*, the *Thoroughbred Record*, and *The Blood-Horse*, and is currently a contributing editor for *Thoroughbred Times*. He is also the author of *The Complete Encyclopedia of Horse Racing*, a comprehensive reference book about the "Sport of Kings."

22. College of Social Work Inducts Patrick Yewell into Hall of Fame

The UK College of Social Work inducted Patrick R. Yewell as the 15th member of its prestigious Hall of Fame. Yewell, executive officer of family and juvenile services at the Kentucky Administrative Office of the Courts, was chosen for his strong efforts to help the state's most vulnerable children. Yewell graduated from UK with his bachelor's degree in social work in 1988 and later received his master's from the College of Social Work in 1992. Starting out as a child protective services (CPS) worker for the state, he quickly moved up the ranks to become a supervisor and mentor to other young CPS workers. After that, Yewell created numerous projects and programs around the state including the first adolescent parenting class in Fayette County, as well as the first juvenile sex offender program in Fayette County. The Kentucky Court of Justice Guardian ad Litem program that Yewell spearheaded has trained over 10,000 attorneys, social workers, and advocates in child development, legal, and protection issues. This training is now required for all attorneys before practicing in court, thereby improving representation for children in and out of home care.

23. Student Awards and Achievements

Ming Hong, art studio, took first place honors at “University Open 2010,” a juried competition of undergraduate fine arts students at Kentucky colleges and universities. Hong was recognized at an opening reception held during Gallery Hop on April 16 at Lexington’s Downtown Arts Center. Michelle Combs, art history and art studio, took honorable mention recognition at the show. The 42 pieces of art selected from 168 submissions to the exhibition represented 11 public and private colleges in the state.

Ridvan Peshkopia, political science doctoral student, will spend the summer investigating whether international philosophy on ethnic conflict needs to be revised through a Western Balkans Regional Research Promotion Programme grant awarded by the Swiss Agency for Development and Cooperation and University of Fribourg. The grant, supervised by the University of New York, Tirana, Albania, is worth over \$80,000. Peshkopia will have the opportunity to renew the grant for 2011.

A number of African-American UK Students were recognized at the 29th annual Lyman T. Johnson Awards Banquet for outstanding student achievement in scholarship, leadership, and service. Sponsored by the UK Black Student Union with assistance from the Martin Luther King, Jr. Cultural Center, the Lyman T. Johnson Awards Banquet is dedicated to the legacy of Lyman T. Johnson, the legendary educator whose efforts to enroll at UK in 1948 resulted in the landmark case which ended state-sanctioned racial segregation in Kentucky’s colleges and universities. The students recognized for their special achievements included Outstanding Freshman Unique Young, Gatton College of Business and Economics; Outstanding Senior Christopher Chance, Agricultural Biotechnology; the John T. Smith Award for Outstanding Graduate/Professional Student Valerie Clay, education; the Doris Wilkinson Award for the Outstanding Female Undergraduate Student Kaelysia Woods, accounting; the Lyman T. Johnson Award for Outstanding Male Undergraduate Student Dwight Lacy, Community Communications and Leadership and Journalism and Telecommunications.

The UK School of Music recognized several students with scholarships at the McCracken Awards and Honors Ceremony held April 29 at the Hilary J. Boone Center. Students recognized and their awards are: Daniel Arnold, Ralph McCracken Theory Scholarship; Don LaFleur, McCracken Musicology Scholarship; Marcie Wright, McCracken Music Ed Scholarship; Andrew Jarvis, McCracken Performance Scholarship; Leigh Dixon, McCracken Performance Scholarship; Melanie Erena, O. Helton Memorial Acres Scholarship; Laura Fallon, Jane Lee Forrest Scholarship; Eldia Farias, Jean Marie McConnell Chrisman Memorial Piano Scholarship; Samantha Sizemore, Nathaniel Patch Piano Scholarship; Holly Flack, Phyllis Jenness Vocal Scholarship; Lauren Collins, Mildred S. Lewis Music Education Scholarship; Brittany Ford, George Hurst Scholarship; Katie Marsh, Francis Arnold South Award; Christina Camardo, Thelma Greene Scholarship; Aaron Sexton, Flossie Minter Greene Scholarship; Seunghee Lee, Ford M. Montgomery Memorial Piano Scholarship; Alex Harmon, Adrian Jones Percussion Scholarship; Byron McChord, John Barnes Chance Memorial Scholarship; Phil Smith, Lynn Markowitz Struttman Scholarship in Oboe; Kristyn Brey, Tommy Sandusky Memorial Band Scholarship; Aaron Meacham, William Harry Clarke Alumni Band Scholarship; and Megan Lineberry, the Friends of Music Scholarship.

24. Faculty and Staff Awards and Achievements

Gwenda Adkins, Extension agent for Elliott County, recently was honored by the statewide organization Women Leading Kentucky. Adkins was one of three finalists for the Martha Layne Collins Leadership Award which was presented at the group's 11th annual Women's Business and Leadership Conference held in Lexington.

Kerri Lynn Ashurst and Laura Stephenson, family and consumer sciences, received a \$50,000 grant through Kansas State University for Operation Military Kids Camp Initiative. Due to the successful military family camps held in Kentucky in 2009, this grant will provide for two additional free weekend-long camps to be held in 2010.

Rebecca Comage, Diversity Education and Community Building, received the Evelyn Black Award for Outstanding Faculty or Staff Person at the 2010 Lyman T. Johnson Awards Banquet.

Alison Davis, agricultural economics, received the Outstanding New Extension Faculty Award from the Kentucky Association of Extension Professionals. She is also the co-principal investigator on "Project HOME (Helpful Opportunities for Medical Care Enhancement), funded through the Office of Rural Healthy Policy, Health Resources and Services Administration. The \$85,000 grant provides for the creation of a rural health network based out of Lee and Estill counties. The goal is to improve access to health services in the region.

Mark Goodman, doctoral student in entomology, received a National Science Foundation Dissertation Fellowship, a highly competitive national program. Only four graduate students in the United States studying entomology received one of these fellowships. Goodman researches the interactions of bed bugs with the bacteria and microorganisms that live inside them.

Jason Hans, family studies, has been selected for a Fulbright Award. Hans will be spending the upcoming academic year at Odessa National University in Odessa, Ukraine where he will teach classes and pursue research collaborations to examine family and sexuality issues in a fragile post-Soviet society.

George C. Herring, Alumni Professor Emeritus of History, is the 2010 recipient of the UK Libraries' Medallion for Intellectual Achievement. Herring is an internationally recognized historian of the Vietnam War.

Richard S. Levine, design, received the Passive Solar Pioneer Award from the American Solar Energy Society for his achievements in solar architecture and sustainability. The award recognizes those who have contributed significant innovations to the environmentally responsive use of direct solar energy for lighting, ventilation, heating, and cooling.

Gregory Luhan, design, was elected regional director for the Association of Collegiate Schools of Architecture. Luhan's three-year term will begin July 1, 2010. As director, Luhan will serve as the executive officer of the East Central regional constituent associations and chair meetings of their respective regional councils. The region covers Indiana, Kentucky, Ohio, Michigan, and Ontario, Canada.

Ernest Yanarella, political science, was presented the 2010 William E. Lyons Award for Outstanding Service by the UK Martin School for Public Policy. The award is given each year to an individual associated with UK who has contributed significantly to the university, the people of Lexington, and the Commonwealth of Kentucky.

PR 2

Office of the President
June 8, 2010

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENT

Vice President for Institutional Advancement

Mobley, Terry B., Vice President for Institutional Advancement, after 32 years and 11 months of consecutive service under AR 3.1 Section VI.B, effective 6/30/10.

B. EARLY RETIREMENTS

Associate Vice President for Human Resources

Caldwell, Jeanette, Administrative Support Associate I, HR Training and Support, after 21 years and 9 months of consecutive service under AR 3.1 Section VI.A, effective 6/11/10.

Associate Vice President for Auxiliary Services

Stamper, Brenda J., University Housing Director, after 26 years and 7 months of consecutive service under AR 3.1 Section VI.A, effective 6/30/10.

CIO for Information Technology

Boyd, Marla, Communications Systems Operator, Administrative Telephone, after 22 years and 3 months of consecutive service under AR 3.1 Section VI.A, effective 6/25/10.

C. POST-RETIREMENT APPOINTMENTS

Office of the President

Blanton, Jack C., Special Assistant to the President, effective 7/1/10 through 6/30/11.
Furst, Richard, Temporary Professional Administrator, effective 7/1/10 through 6/30/11.

II. ADMINISTRATIVE ACTION

A. CHANGES

Associate Vice President for Human Resources

Burchell, Synthia, from Manager, HR Benefits to Enterprise Application Group Team Member Level II, Human Resources Business Systems, effective 5/4/10.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Baker, Terrell T., College of Agriculture, Extension Professor (with tenure), Forestry, effective 7/1/10.
- Desai, Megha M., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Haynes, Joseph R., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Hegde, Jagadish M., College of Medicine, Clinical Assistant Professor, Internal Medicine, 8/1/10 through 6/30/11.
- Hislop, Peter, College of Arts and Sciences, Professor (with tenure), Mathematics, appointed to the Ralph E. and Norma L. Edwards Research Professorship, 7/1/10 through 6/30/13.
- Kleinert, Harold L., College of Health Sciences, Professor (with tenure), Rehabilitation Sciences, effective 1/1/10.
- Xiao, Ma, College of Public Health, Professor (voluntary), Health Services Management, 9/1/09 through 6/30/11.
- Macrinici, Valentina, College of Medicine, Clinical Instructor, Internal Medicine, 7/1/10 through 6/30/11.
- Manning, Erin L., College of Medicine, Clinical Instructor, Anesthesiology, 7/1/10 through 6/30/11.
- Manning, Michael W., College of Medicine, Clinical Instructor, Anesthesiology, 7/1/10 through 6/30/11.
- Mithyantha, Jahnvi, College of Medicine, Clinical Assistant Professor, Internal Medicine, 8/1/10 through 6/30/11.
- Omar, Hatim A., College of Medicine, Professor* (with tenure), Pediatrics, and joint appointment as Professor* (without tenure), Obstetrics and Gynecology, appointed to the Children's Miracle Network Research Chair, 7/1/09 through 6/30/14.
- Pittenger, Jaime E., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/12/10 through 6/30/11.
- Ramsay, Robert, College of Business and Economics, Professor (with tenure), Accountancy, appointed to the Arthur Anderson Endowed Professorship, 7/1/10 through 6/30/13.
- Richards, Dana L., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 8/2/10 through 6/30/11.
- Schiebel, Alvaro J., College of Medicine, Clinical Instructor, Anesthesiology, 7/1/10 through 6/30/11.
- Srivastava, Sanjay K., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.

*Special Title Series

Van Eldik, Linda J., College of Medicine, Professor (with tenure), Anatomy and Neurobiology, effective 2/1/10.

Ware, Rachel A., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 8/1/10 through 6/30/11.

Webb, Jonathan C., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.

Weidner, Norbert J., College of Medicine, Associate Professor (voluntary), Anesthesiology, 4/1/10 through 6/30/11.

Williams, Dennis J., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.

Ye, Qiang, College of Arts and Sciences, Professor (with tenure), Mathematics, appointed to the Ralph E. and Norma L. Edwards Research Professorship, 7/1/10 through 6/30/13.

B. ADMINISTRATIVE APPOINTMENTS

Baker, Terrell T., College of Agriculture, Extension Professor (with tenure), Forestry, appointed Chair, Forestry, 7/1/10 through 6/30/16.

Johnson, Darren L., College of Medicine, Professor (with tenure), Orthopaedic Surgery, and joint appointment as Professor (without tenure), Rehabilitation Sciences, College of Health Sciences, reappointed Chair, Orthopaedic Surgery, 3/1/10 through 2/28/13.

Okeson, Jeffrey P., College of Dentistry, Professor* (with tenure), Oral Health Science, and joint appointment as Professor* (without tenure), Neurology, College of Medicine, reappointed Chair, Oral Health Science, 7/1/10 through 6/30/16.

Smith, David A., College of Agriculture, Professor (with tenure), Plant Pathology, reappointed Chair, Plant Pathology, 7/1/10 through 6/30/11.

C. JOINT APPOINTMENTS

Hulse, David, College of Business and Economics, Associate Professor (with tenure), Accountancy, joint appointment as Associate Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, effective 7/1/10.

Stemple, Joseph C., College of Health Sciences, Professor (with tenure), Rehabilitation Sciences, joint appointment as Professor (without tenure), Surgery, College of Medicine, effective 7/1/10.

Swanberg, Jennifer E., College of Social Work, Associate Professor (with tenure), and joint appointments as Associate Professor (without tenure), Management, College of Business and Economics, new joint appointments as Associate Professor (without tenure), Behavioral Science, College of Medicine, and Associate Professor (without tenure), Health Behavior, College of Public Health, effective 7/1/09.

Yelowitz, Aaron, College of Business and Economics, Associate Professor (with tenure), Economics, joint appointment as Associate Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, effective 7/1/10.

*Special Title Series

D. REAPPOINTMENTS

- Abada, Hicham T., College of Medicine, Clinical Associate Professor, Radiology, 7/1/10 through 6/30/11.
- Aiken, Glen E., College of Agriculture, Adjunct Professor, Plant and Soil Sciences, 7/1/10 through 6/30/11.
- Allen, Timothy S., College of Medicine, Clinical Associate Professor, Psychiatry, 7/1/10 through 6/30/11.
- Allen, William C., College of Medicine, Associate Professor (part-time), Anesthesiology, 7/1/10 through 6/30/11.
- Andersen, Anders H., College of Medicine, Associate Research Professor, Anatomy and Neurobiology, 7/1/10 through 6/30/11.
- Armitstead, John A., College of Pharmacy, Clinical Associate Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Barton, John R., College of Medicine, Associate Professor (part-time), Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Boral, Leonard I., College of Medicine, Clinical Professor, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.
- Bosch, Antonio, College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Branch, Leslie B., College of Medicine, Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.
- Brill, Yolanda M., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.
- Buch, Ketan P., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Bueler, Hansruedi R., College of Medicine, Associate Professor (without tenure), Anatomy and Neurobiology, 7/1/10 through 6/30/11.
- Callahan, Leigh Ann, College of Medicine, Associate Professor (without tenure), Internal Medicine, 7/1/10 through 6/30/11.
- Casey, Baretta R., College of Medicine, Clinical Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Chasen, Craig A., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Chen, Fei, College of Medicine, Associate Professor (part-time), Graduate Center for Toxicology, 7/1/10 through 6/30/11.
- Cohron, Peter P., College of Pharmacy, Associate Professor (part-time), Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Collins, Robert W., College of Medicine, Clinical Associate Professor, Internal Medicine, and joint appointment as Clinical Associate Professor, Preventive Medicine and Environmental Health, College of Public Health, 7/1/10 through 6/30/11.
- Divine, Holly S., College of Pharmacy, Clinical Associate Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Ellis, Jeffrey L., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.

- Etscheidt, Mark A., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/10 through 6/30/11.
- Fahy, Brenda G., College of Medicine, Clinical Professor, Anesthesiology, and joint appointment as Clinical Professor, Neurosurgery, 7/1/10 through 6/30/11.
- Flowers, Nancy C., College of Medicine, Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.
- Garden, John W., College of Medicine, Professor (part-time), Ophthalmology and Visual Sciences, 7/1/10 through 6/30/11.
- Garman, Ray F., College of Public Health, Clinical Associate Professor, Preventive Medicine and Environmental Health, and joint appointment as Clinical Associate Professor, Family and Community Medicine, College of Medicine, 7/1/10 through 6/30/11.
- Gerson, Kenneth L., College of Medicine, Professor (part-time), Pediatrics, 7/1/10 through 6/30/11.
- Gevedon, Teresa G., College of Medicine, Clinical Associate Professor, Psychiatry, 7/1/10 through 6/30/11.
- Gibson, Jacqueline S., College of Medicine, Clinical Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Goldstein, Steven J., College of Medicine, Clinical Associate Professor, Radiology, 7/1/10 through 6/30/11.
- Goulson, Daniel T., College of Medicine, Clinical Professor, Anesthesiology, 7/1/10 through 6/30/11.
- Grider, Jay S., College of Medicine, Associate Professor (part-time), Anesthesiology, 7/1/10 through 6/30/11.
- Hagen, Michael D., College of Medicine, Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.
- Hamann, Scott R., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/10 through 6/30/11.
- Haskell, Bruce S., College of Dentistry, Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Henry, Robert G., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Hewett, Timothy E., College of Health Sciences, Adjunct Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.
- Hillebrand, Evan E., Graduate School, Clinical Associate Professor, Patterson School of Diplomacy and International Commerce, 7/1/10 through 6/30/11.
- Hoffman, Cary J., College of Medicine, Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Horan, Leo G., College of Medicine, Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.
- Horvath, Ivan, College of Medicine, Associate Research Professor, Anesthesiology, 7/1/10 through 6/30/11.
- Hoven, Ardis D., College of Medicine, Clinical Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Huang, Michael H., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/10 through 6/30/11.

- Huff, Marlene B., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/10 through 6/30/11.
- Jana, Suman, College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Jensen, Lynne A., College of Nursing, Clinical Associate Professor, 7/1/10 through 6/30/11.
- Jia, Jianhang, College of Medicine, Associate Professor (without tenure), Molecular and Cellular Biochemistry, 7/1/10 through 6/30/11.
- Jicha, Gregory A., College of Medicine, Associate Professor (with tenure), Neurology, reappointed to the Robert T. and Nyle Y. McCowan Chair in Alzheimer's Chair, 7/1/10 through 6/30/15.
- Johnson, Ellis L., College of Medicine, Clinical Associate Professor, Radiation Medicine, and joint appointment as Clinical Associate Professor, Clinical Sciences, College of Health Sciences, 7/1/10 through 6/30/11.
- Kamineni, Srinath, College of Medicine, Associate Professor* (without tenure), Orthopaedic Surgery, 7/1/10 through 6/30/11.
- Kaplan, Alan L., College of Dentistry, Associate Adjunct Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Karpf, Michael, College of Medicine, Clinical Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Katz, Douglas G., College of Medicine, Clinical Associate Professor, Ophthalmology and Visual Sciences, 7/1/10 through 6/30/11.
- King, Joseph G., College of Medicine, Clinical Associate Professor, Radiology, 7/1/10 through 6/30/11.
- Knight, Judson M., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Kudrimoti, Mahesh R., College of Medicine, Clinical Associate Professor, Radiation Medicine, 7/1/10 through 6/30/11.
- Labianca, Giuseppe J., College of Business and Economics, Associate Professor (with tenure), Management, reappointed to the Carol Martin Gatton Professorship in Management, 7/1/10 through 6/30/13.
- Lane, Matthew T., College of Pharmacy, Clinical Associate Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Lange, Karl W., College of Dentistry, Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.
- Littleton, John M., College of Pharmacy, Professor (part-time), Pharmaceutical Sciences, and joint appointment as Professor (part-time), Molecular and Biomedical Pharmacology, College of Medicine, 7/1/10 through 6/30/11.
- Liu, Jiankang, College of Medicine, Professor (part-time), Graduate Center for Toxicology, 7/1/10 through 6/30/11.
- Love, Margaret M., College of Medicine, Associate Research Professor, Family and Community Medicine, and joint appointment as Associate Research Professor, Health Behavior, College of Public Health, 7/1/10 through 6/30/11.
- Magnuson, Barbara L., College of Pharmacy, Associate Adjunct Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.

- Martin, Craig A., College of Pharmacy, Associate Adjunct Professor, Pharmacy Practice and Science, and joint appointment as Associate Adjunct Professor, Internal Medicine, College of Medicine, 7/1/10 through 6/30/11.
- Mason, Harry E., Graduate School, Associate Adjunct Professor, Patterson School of Diplomacy and International Commerce, 7/1/10 through 6/30/11.
- Mawad, Hanna W., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/10 through 6/30/11.
- McClure, Rick R., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/10 through 6/30/11.
- McCormick, Malkanthie I., College of Medicine, Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.
- McKeown, John W., College of Medicine, Clinical Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Merrick, Joav, College of Medicine, Adjunct Professor, Pediatrics, 7/1/10 through 6/30/11.
- Miller, Beth A., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Milligan, Douglas A., College of Medicine, Associate Professor (part-time), Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Molloy, Janelle A., College of Medicine, Associate Professor* (without tenure), Radiation Medicine, and joint appointment as Associate Professor* (without tenure), Clinical Sciences, College of Health Sciences, 7/1/10 through 6/30/11.
- Mullett, Timothy W., College of Medicine, Clinical Associate Professor, Surgery, 7/1/10 through 6/30/11.
- Nihill, Patricia, College of Dentistry, Associate Professor* (without tenure), Oral Health Practice, 7/1/10 through 6/30/11.
- O'Brien, John M., College of Medicine, Associate Professor (part-time), Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Pavlik, Edward J., College of Medicine, Associate Research Professor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Pettigrew, Anjana L., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, and joint appointments as Clinical Associate Professor, Obstetrics and Gynecology and Clinical Associate Professor, Pediatrics and Clinical Associate Professor, Neurology, 7/1/10 through 6/30/11.
- Plunkett, Mark D., College of Medicine, Associate Professor* (without tenure), Surgery, and joint appointment as Associate Professor* (without tenure), Pediatrics, 7/1/10 through 6/30/11.
- Pritchett, Charles E., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Puffer, James C., College of Medicine, Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.
- Purcell, Marguerite, College of Medicine, Associate Adjunct Professor, Radiology, 7/1/10 through 6/30/11.
- Ralph, Donald E., College of Medicine, Clinical Professor, Psychiatry, and joint appointment as Clinical Professor, Psychology, College of Arts and Sciences, 7/1/10 through 6/30/11.

*Special Title Series

- Rippetoe Freeman, Patricia E., College of Pharmacy, Clinical Associate Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Robertson, William C., College of Medicine, Clinical Professor, Neurology, and joint appointment as Clinical Professor, Pediatrics, 7/1/10 through 6/30/11.
- Roeder, Phillip, College of Medicine, Research Professor, Family and Community Medicine, and joint appointment as Research Professor, Political Science, College of Arts and Sciences, 7/1/10 through 6/30/11.
- Rosenthal, M. Sara, College of Medicine, Clinical Associate Professor, Internal Medicine, and joint appointment as Clinical Associate Professor, Behavioral Science, 7/1/10 through 6/30/11.
- Rucker, Edmund B., College of Arts and Sciences, Associate Professor (without tenure), Biology, 7/1/10 through 6/30/12.
- Ryan, Stephen J., College of Medicine, Clinical Associate Professor, Neurology, 7/1/10 through 6/30/11.
- Samayoa, Luis M., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.
- Sanders, Timothy G., College of Medicine, Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Saykaly, Ronald J., College of Medicine, Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.
- Scheff, Stephen W., College of Medicine, Professor (with tenure), Anatomy and Neurobiology, and joint appointments as Professor (without tenure), Neurology and Professor (without tenure), Psychology, College of Arts and Sciences, reappointed to the Sylvia Mansbach Endowed Professorship and Chair in Alzheimer's Disease Research, 7/1/10 through 6/30/15.
- Schikler, Kenneth N., College of Medicine, Professor (part-time), Pediatrics, 7/1/10 through 6/30/11.
- Scutchfield, Scott B., College of Medicine, Associate Professor (part-time), Orthopaedic Surgery, 7/1/10 through 6/30/11.
- Selwitz, Ada S., College of Medicine, Associate Adjunct Professor, Behavioral Science, 7/1/10 through 6/30/11.
- Selwitz, Stephen P., College of Dentistry, Associate Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.
- Shah, Vinod, College of Pharmacy, Adjunct Professor, Pharmaceutical Sciences, 7/1/10 through 6/30/11.
- Siegel, Anthony J., College of Medicine, Clinical Associate Professor, Psychiatry, 7/1/10 through 6/30/11.
- Sikora, Frank J., College of Agriculture, Associate Adjunct Professor, Plant and Soil Sciences, 7/1/10 through 6/30/11.
- Sloss, Robert R., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.
- Spengler, Susan E., College of Public Health, Associate Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Assistant Professor (part-time), Family and Community Medicine, College of Medicine, 7/1/10 through 6/30/11.

- Spires, Susan E., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.
- Steltenkamp, Carol L., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/10 through 6/30/11.
- Stephan, Maria, College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/10 through 6/30/11.
- Stigers, Jenny L., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Stouch, Terry, College of Pharmacy, Associate Adjunct Professor, Pharmaceutical Sciences, 7/1/10 through 6/30/11.
- Studts, Jamie L., College of Medicine, Associate Professor (without tenure), Behavioral Science, and joint appointment as Associate Professor (without tenure), Psychology, College of Arts and Sciences, 7/1/10 through 6/30/11.
- Swope, Marian, College of Medicine, Clinical Associate Professor, Psychiatry, 7/1/10 through 6/30/11.
- Syed, Mushabbar A., College of Medicine, Associate Professor* (without tenure), Internal Medicine, and joint appointment as Associate Professor * (without tenure), Radiology, 7/1/10 through 7/31/10.
- Tempro, Paulette J., College of Dentistry, Associate Professor* (without tenure), Oral Health Practice, 7/1/10 through 6/30/11.
- Thompson, James R., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Toney, Dale E., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Treadwell, Patricia A., College of Medicine, Professor (part-time), Pediatrics, 7/1/10 through 6/30/11.
- Tsai, Chung-Jyi, College of Medicine, Associate Professor (without tenure), Internal Medicine, 7/1/10 through 6/30/11.
- Vascello, Luis A., College of Medicine, Associate Professor (part-time), Anesthesiology, 7/1/10 through 6/30/11.
- Veling, Maria C., College of Medicine, Associate Professor* (without tenure), Surgery, and joint appointment as Associate Professor* (without tenure), Pediatrics, 7/1/10 through 6/30/11.
- Waid, Thomas H., College of Medicine, Clinical Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Weaver, Anthony D., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Weeks, Pamela L., College of Social Work, Clinical Associate Professor, 7/1/10 through 6/30/11.
- Wayne, Thomas F., College of Medicine, Clinical Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Wheeler, Eileen F., College of Agriculture, Associate Adjunct Professor, Biosystems and Agricultural Engineering, 7/1/10 through 6/30/11.
- Williams, Loren N., College of Dentistry, Associate Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.

Wilson, Dianne, College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, and joint appointment as Clinical Associate Professor, Neurosurgery, 7/1/10 through 6/30/11.

Woodring, John H., College of Medicine, Adjunct Professor, Radiology, 7/1/10 through 6/30/11.

Yoder, Mervin C., College of Medicine, Adjunct Professor, Pediatrics, 7/1/10 through 6/30/11.

Young, Elizabeth H., College of Medicine, Associate Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.

Yurek, David M., College of Medicine, Research Professor, Neurosurgery, and joint appointment as Associate Research Professor, Anatomy and Neurobiology, 7/1/10 through 6/30/11.

Zhang, Zhiming, College of Medicine, Associate Professor (without tenure), Anatomy and Neurobiology, 7/1/10 through 6/30/11.

Zhou, Binhua, College of Medicine, Associate Professor (without tenure), Molecular and Cellular Biochemistry, 7/1/10 through 6/30/11.

Zlatkin, Michael B., College of Medicine, Professor (visiting), Radiology, 7/1/10 through 6/30/11.

E. TENURE

Bezold, Louis I., College of Medicine, from Associate Professor* (without tenure), Pediatrics, to Associate Professor* (with tenure), Pediatrics, effective 7/1/10.

Venkatesh, Ramakrishna, College of Medicine, from Associate Professor* (without tenure), Surgery, to Associate Professor* (with tenure), Surgery, effective 7/1/10.

F. PROMOTIONS

Anderson, Leslie H., College of Agriculture, from Associate Extension Professor (with tenure), Animal and Food Sciences, to Extension Professor (with tenure), Animal and Food Sciences, effective 7/1/10.

Balk, Thomas John, College of Engineering, from Assistant Professor, Chemical and Materials Engineering, to Associate Professor (with tenure), Chemical and Materials Engineering, effective 7/1/10.

Bastin, Sandra P., College of Agriculture, from Associate Extension Professor (with tenure), Nutrition and Food Science, to Extension Professor (with tenure), Nutrition and Food Science, effective 7/1/10.

Bausch, Margaret, College of Education, from Assistant Professor, Special Education and Rehabilitation Counseling, to Associate Professor (with tenure), Special Education and Rehabilitation Counseling, effective 7/1/10.

Beighle, Aaron E., College of Education, from Assistant Professor, Kinesiology and Health Promotion, to Associate Professor (with tenure), Kinesiology and Health Promotion, effective 7/1/10.

Bender, John D., College of Fine Arts, from Assistant Professor*, Music, to Associate Professor* (with tenure), Music, effective 7/1/10.

*Special Title Series

- Bensalem Owen, Meriem K., College of Medicine, from Assistant Professor*, Neurology, and joint appointment as Assistant Professor*, Anatomy and Neurobiology, to Clinical Associate Professor, Neurology, and joint appointment as Clinical Assistant Professor, Anatomy and Neurobiology, 7/1/10 through 6/30/11.
- Bernard, Andrew C., College of Medicine, from Assistant Professor*, Surgery, to Associate Professor* (with tenure), Surgery, effective 7/1/10.
- Bradshaw, David, College of Arts and Sciences, from Associate Professor (with tenure), Philosophy, to Professor (with tenure), Philosophy, effective 7/1/10.
- Calvert, Jane E., College of Arts and Sciences, from Assistant Professor, History, to Associate Professor (with tenure), History, effective 7/1/10.
- Campbell, Charles L., College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Clinical Associate Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Carter, Craig T., College of Medicine, from Clinical Assistant Professor, Emergency Medicine, and joint appointment as Clinical Assistant Professor, Pediatrics, to Clinical Associate Professor, Emergency Medicine, and joint appointment as Clinical Associate Professor, Pediatrics, 7/1/10 through 6/30/11.
- Cheung, Senching Samson, College of Engineering, from Assistant Professor, Electrical and Computer Engineering, to Associate Professor (with tenure), Electrical and Computer Engineering, effective 7/1/10.
- Chung, Deborah S., College of Communications and Information Studies, from Assistant Professor, Journalism and Telecommunications, to Associate Professor (with tenure), Journalism and Telecommunications, effective 7/1/10.
- Conigliaro, Rosemarie L., College of Medicine, from Clinical Associate Professor, Internal Medicine, to Clinical Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Davis, Alison F., College of Agriculture, from Assistant Extension Professor, Agricultural Economics, and joint appointment as Assistant Extension Professor, Economics, College of Business and Economics, to Associate Extension Professor (with tenure), Agricultural Economics, and joint appointment as Assistant Extension Professor, Economics, College of Business and Economics, effective 7/1/10.
- Demann, Eric T., College of Dentistry, from Clinical Assistant Professor, Oral Health Science, to Clinical Associate Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Denison, Dwight, Graduate School, from Associate Professor (with tenure), Martin School of Public Policy and Administration, to Professor (with tenure), Martin School of Public Policy and Administration, effective 7/1/10.
- Dobbs, Michael R., College of Medicine, from Assistant Professor*, Neurology, and joint appointment as Assistant Professor*, Preventive Medicine and Environmental Health, College of Public Health, to Associate Professor* (with tenure), Neurology, and joint appointment as Associate Professor* (without tenure), Preventive Medicine and Environmental Health, College of Public Health, effective 7/1/10.
- Durham, Richard E., College of Agriculture, from Associate Extension Professor (with tenure), Horticulture, to Extension Professor (with tenure), Horticulture, effective 7/1/10.

- Eckman, Alyssa A., College of Communications and Information Studies, from Assistant Professor*, Journalism and Telecommunications, to Associate Professor* (with tenure), Journalism and Telecommunications, effective 7/1/10.
- Feddock, Christopher A., College of Medicine, from Assistant Professor*, Internal Medicine, and joint appointment as Assistant Professor*, Pediatrics, to Associate Professor* (with tenure), Internal Medicine, and joint appointment as Associate Professor* (without tenure), Pediatrics, effective 7/1/10.
- Garvy, Beth, College of Medicine, from Associate Professor (with tenure), Microbiology, Immunology and Molecular Genetics, and joint appointment as Associate Professor (without tenure), Internal Medicine, to Professor (with tenure), Microbiology, Immunology and Molecular Genetics, and joint appointment as Professor (without tenure), Internal Medicine, effective 7/1/10.
- Graf, Gregory A., College of Pharmacy, from Assistant Professor, Pharmaceutical Sciences, to Associate Professor (with tenure), Pharmaceutical Sciences, effective 6/1/10.
- Grove, John H., College of Agriculture, from Associate Professor (with tenure), Plant and Soil Sciences, to Professor (with tenure), Plant and Soil Sciences, effective 7/1/10.
- Hans, Jason D., College of Agriculture, from Assistant Professor, Family Studies, to Associate Professor (with tenure), Family Studies, effective 7/1/10.
- Herzog, Hillary, College of Arts and Sciences, from Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, to Associate Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, effective 7/1/10.
- Hilt, J. Zachary, College of Engineering, from Assistant Professor, Chemical and Materials Engineering, to Associate Professor (with tenure), Chemical and Materials Engineering, effective 7/1/10.
- Hippisley, Andrew, College of Arts and Sciences, from Assistant Professor, English, to Associate Professor (with tenure), English, effective 7/1/10.
- Hoellein, Andrew R., College of Medicine, from Assistant Professor*, Internal Medicine, to Associate Professor* (with tenure), Internal Medicine, effective 7/1/10.
- Hu, Wuyang, College of Agriculture, from Assistant Professor, Agricultural Economics, to Associate Professor (with tenure), Agricultural Economics, effective 7/1/10.
- Iwinski, Henry J., College of Medicine, from Clinical Associate Professor, Orthopaedic Surgery, and joint appointment as Clinical Associate Professor, Pediatrics, to Clinical Professor, Orthopaedic Surgery, and joint appointment as Clinical Professor, Pediatrics, 7/1/10 through 6/30/11.
- Jefferies, Richard, College of Arts and Sciences, from Associate Professor (with tenure), Anthropology, to Professor (with tenure), Anthropology, effective 7/1/10.
- Jicha, Gregory A., College of Medicine, from Assistant Professor, Neurology, to Associate Professor (with tenure), Neurology, effective 7/1/10.
- Jones, Kenneth R., College of Agriculture, from Assistant Extension Professor, Community and Leadership Development, to Associate Extension Professor (with tenure), Community and Leadership Development, effective 7/1/10.
- Kiessling, Stefan G., College of Medicine, from Assistant Professor*, Pediatrics, to Associate Professor* (with tenure), Pediatrics, effective 7/1/10.
- Kim, Sujin, College of Communications and Information Studies, from Assistant Professor, Library and Information Science, and joint appointment as Assistant Professor,

- Pathology and Laboratory Medicine, College of Medicine, to Associate Professor (with tenure), Library and Information Science, and joint appointment as Associate Professor (without tenure), Pathology and Laboratory Medicine, College of Medicine, effective 7/1/10.
- Kitchel, Tracy J., College of Agriculture, from Assistant Professor, Community and Leadership Development, to Associate Professor (with tenure), Community and Leadership Development, effective 7/1/10.
- Korsch, Wolfgang, College of Arts and Sciences, from Associate Professor (with tenure), Physics and Astronomy, to Professor (with tenure), Physics and Astronomy, effective 7/1/10.
- Kraemer, Elizabeth G., Libraries, from Librarian II (with tenure), to Librarian I (with tenure), effective 7/1/10.
- Krall, Rebecca M., College of Education, from Assistant Professor, Curriculum and Instruction, to Associate Professor (with tenure), Curriculum and Instruction, effective 7/1/10.
- Kurzynske, Janet S., College of Agriculture, from Associate Extension Professor (with tenure), Nutrition and Food Science, to Extension Professor (with tenure), Nutrition and Food Science, effective 7/1/10.
- Li, Jingshan, College of Engineering, from Assistant Professor, Electrical and Computer Engineering, and joint appointment as Assistant Professor, UK Center for Manufacturing, to Associate Professor (with tenure), Electrical and Computer Engineering, and joint appointment as Associate Professor (without tenure), UK Center for Manufacturing, effective 7/1/10.
- Li, Tianxiang, College of Engineering, from Assistant Research Professor, Mechanical Engineering, to Associate Research Professor, Mechanical Engineering, 7/1/10 through 6/30/11.
- Liu, De, College of Business and Economics, from Assistant Professor, Management, to Associate Professor (with tenure), Management, effective 7/1/10.
- Love, Keisha M., College of Education, from Assistant Professor, Educational, School, and Counseling Psychology, to Associate Professor (with tenure), Educational, School, and Counseling Psychology, effective 7/1/10.
- Lu, Caicheng, College of Engineering, from Associate Professor (with tenure), Electrical and Computer Engineering, to Professor (with tenure), Electrical and Computer Engineering, effective 7/1/10.
- Mannino, David M., College of Public Health, from Associate Professor (without tenure), Preventive Medicine and Environmental Health, and joint appointments as Associate Professor (without tenure), Internal Medicine, College of Medicine and Associate Professor (without tenure), Epidemiology, to Professor (with tenure), Preventive Medicine and Environmental Health, and joint appointments as Professor (without tenure), Internal Medicine, College of Medicine and Professor (without tenure), Epidemiology, effective 7/1/10.
- Maynard, Leigh J., College of Agriculture, from Associate Professor (with tenure), Agricultural Economics, to Professor (with tenure), Agricultural Economics, effective 7/1/10.

- McLarney, John T., College of Medicine, from Clinical Assistant Professor, Anesthesiology, to Clinical Associate Professor, Anesthesiology, 7/1/10 through 6/30/11.
- Milbrandt, Todd A., College of Medicine, from Assistant Professor*, Orthopaedic Surgery, and joint appointments as Assistant Professor*, Pediatrics and Assistant Professor*, Biomedical Engineering, Graduate School, to Associate Professor* (with tenure), Orthopaedic Surgery, and joint appointments as Associate Professor* (without tenure), Pediatrics and Associate Professor* (without tenure), Biomedical Engineering, Graduate School, effective 7/1/10.
- Nardolillo, John J., College of Fine Arts, from Assistant Professor*, Music, to Associate Professor* (with tenure), Music, effective 7/1/10.
- Norris, Christopher M., College of Medicine, from Assistant Professor, Molecular and Biomedical Pharmacology, to Associate Professor (with tenure), Molecular and Biomedical Pharmacology, effective 7/1/10.
- Olson, Anne D., College of Health Sciences, from Assistant Professor*, Rehabilitation Sciences, to Associate Professor* (with tenure), Rehabilitation Sciences, effective 7/1/10.
- Oser, Carrie B., College of Arts and Sciences, from Assistant Professor, Sociology, and joint appointment as Assistant Professor, Behavioral Science, College of Medicine, to Associate Professor (with tenure), Sociology, and joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, effective 7/1/10.
- Prendergast, Mark, College of Arts and Sciences, from Associate Professor (with tenure), Psychology, to Professor (with tenure), Psychology, effective 7/1/10.
- Price, Melynda J., College of Law, from Assistant Professor, to Associate Professor (with tenure), effective 7/1/10.
- Real, Kevin J., College of Communications and Information Studies, from Assistant Professor, Communication, to Associate Professor (with tenure), Communication, effective 7/1/10.
- Reese, Robert J., College of Education, from Assistant Professor, Educational, School, and Counseling Psychology, to Associate Professor (with tenure), Educational, School, and Counseling Psychology, effective 7/1/10.
- Rose, Gregory L., College of Medicine, from Clinical Assistant Professor, Anesthesiology, to Clinical Associate Professor, Anesthesiology, 7/1/10 through 6/30/11.
- Saatman, Kathryn E., College of Medicine, from Associate Professor (with tenure), Physiology, and joint appointment as Associate Professor (without tenure), Neurosurgery, to Professor (with tenure), Physiology, and joint appointment as Professor (without tenure), Neurosurgery, effective 7/1/10.
- Satin, Jonathan, College of Medicine, from Associate Professor (with tenure), Physiology, to Professor (with tenure), Physiology, effective 7/1/10.
- Shah, Naresh, College of Engineering, from Associate Research Professor, Chemical and Materials Engineering, to Research Professor, Chemical and Materials Engineering, 7/1/10 through 6/30/11.
- Sloan, David A., College of Medicine, from Associate Professor (with tenure), Surgery, to Professor (with tenure), Surgery, effective 7/1/10.

- Smalle, Jan A., College of Agriculture, from Assistant Professor, Plant and Soil Sciences, to Associate Professor (with tenure), Plant and Soil Sciences, effective 7/1/10.
- Smith, Samuel R., College of Agriculture, from Associate Extension Professor (with tenure), Plant and Soil Sciences, to Extension Professor (with tenure), Plant and Soil Sciences, effective 7/1/10.
- Smith, Susan K., Libraries, from Librarian III, to Librarian II (with tenure), effective 7/1/10.
- Smyth, Susan S., College of Medicine, from Associate Professor (with tenure), Internal Medicine, and joint appointments as Associate Professor (without tenure), Molecular and Biomedical Pharmacology and Associate Professor (without tenure), Physiology, to Professor (with tenure), Internal Medicine, and joint appointments as Professor (without tenure), Molecular and Biomedical Pharmacology and Professor (without tenure), Physiology, effective 7/1/10.
- Susman, David T., College of Arts and Sciences, from Clinical Assistant Professor, Psychology, and joint appointment as Clinical Assistant Professor, Psychiatry, College of Medicine, to Clinical Associate Professor, Psychology, and joint appointment as Clinical Associate Professor, Psychiatry, College of Medicine, 7/1/10 through 6/30/11.
- Swan, Kathleen, College of Education, from Assistant Professor, Curriculum and Instruction, to Associate Professor (with tenure), Curriculum and Instruction, effective 7/1/10.
- Swartz, Karin R., College of Medicine, from Assistant Professor*, Neurosurgery, to Associate Professor* (with tenure), Neurosurgery, effective 7/1/10.
- Symeonidis, Haralambos, College of Arts and Sciences, from Assistant Professor, Hispanic Studies, to Associate Professor (with tenure), Hispanic Studies, effective 7/1/10.
- Taylor, Bradley K., College of Medicine, from Associate Professor (with tenure), Physiology, to Professor (with tenure), Physiology, effective 7/1/10.
- Voss, S. R., College of Arts and Sciences, from Associate Professor (with tenure), Biology, to Professor (with tenure), Biology, effective 7/1/10.
- Walker, Janet L., College of Medicine, from Associate Professor (with tenure), Orthopaedic Surgery, and joint appointment as Associate Professor (without tenure), Pediatrics, to Professor* (with tenure), Orthopaedic Surgery, and joint appointment as Professor* (without tenure), Pediatrics, effective 7/1/10.
- Wright, Lynda Brown, College of Education, from Associate Professor (with tenure), Educational, School, and Counseling Psychology, to Professor (with tenure), Educational, School, and Counseling Psychology, effective 7/1/10.

G. CHANGES

- Osborne, Amy B., Libraries, from Librarian I (with tenure), to Librarian I (with tenure), College of Law, effective 7/1/10.
- Pittman, Anne M., College of Medicine, from Clinical Associate Professor, Internal Medicine, to Associate Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.
- Rouhier-Willoughby, Jeanmarie, College of Arts and Sciences, from Professor* (with tenure), Modern and Classical Languages, Literatures, and Cultures, to Professor

*Special Title Series

(with tenure), Modern and Classical Languages, Literatures, and Cultures, effective 7/1/10.

Stein, Pam S., College of Medicine, from Associate Professor* (with tenure), Anatomy and Neurobiology, and joint appointment as Associate Professor* (without tenure), Oral Health Practice, College of Dentistry, to Associate Professor* (with tenure), Oral Health Science, College of Dentistry, and joint appointment as Associate Professor* (without tenure), Oral Health Practice, effective 7/1/10.

Wood, Olga D., Libraries, from Librarian II (with tenure), to Librarian II (with tenure), College of Law, effective 7/1/10.

H. TERMINAL REAPPOINTMENTS

Huffman, Gerald P., College of Engineering, Research Professor, Chemical and Materials Engineering, and joint appointment as Research Professor, Physics and Astronomy, College of Arts and Sciences, 7/1/10 through 6/30/11.

Huggins, Frank E., College of Engineering, Research Professor, Chemical and Materials Engineering, 7/1/10 through 6/30/11.

McConnell, Thomas A., College of Dentistry, Clinical Associate Professor, Oral Health Practice, 7/1/10 through 6/30/11.

Monier-Faugere, Marie-Claude, College of Medicine, Research Professor, Internal Medicine, and joint appointment as Research Professor, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.

I. RETIREMENTS

Arnett, Brian W., College of Agriculture, Dietician/Nutrition Director, Nutrition and Food Science, after 40 years and 10 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.

Christensen, Ralph C., College of Health Sciences, Associate Professor* (with tenure), Clinical Sciences, and joint appointment as Associate Professor* (without tenure), Radiation Medicine, College of Medicine, after 33 years and 6 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.

Davis, Robert T., College of Medicine, Clinical Associate Professor, Internal Medicine, after 21 years and 2 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.

Govindarajulu, Zakkula, College of Arts and Sciences, Professor (with tenure), Statistics, after 40 years and 1 month of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.

Hornback, Patricia, Libraries, Librarian Technician Senior, after 31 years and 5 months of consecutive service, under AR 3:1, Section VI.B, effective 5/7/10.

Kaak, Hans O., College of Medicine, Professor* (with tenure), Psychiatry, and joint appointment as Professor* (without tenure), Pediatrics, after 32 years and 6 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.

O'Reilly, James, College of Arts and Sciences, Associate Professor (with tenure), Chemistry, after 36 years and 11 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.

*Special Title Series

- Pickens, Rupert, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, after 39 years and 6 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.
- Pollack, Rhoda-Gale, College of Fine Arts, Professor (with tenure), Theatre, after 18 years of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.
- Rapp, Doris A., College of Health Sciences, Associate Professor* (with tenure), Clinical Sciences, after 3 years of consecutive service, and a total of 19 years and 11 months of service, under AR 3:1, Section VI.B effective 6/30/10.
- Tannebaum, Robert S., Undergraduate Education, Academic Computing Services Director, Undergraduate Studies, after 18 years and 8 months of consecutive service, under AR 3:1, Section VI.B, effective 4/16/10.
- Wright, Jo Ann P., Student Affairs, House Director, Residence Life, after 10 years and 8 months of consecutive service, under AR 3:1, Section VI.B, effective 4/2/10.

J. EARLY RETIREMENTS

- Bettez, David J., International Programs, Director, International Affairs, after 20 years and 1 month of consecutive service, under AR 3:1, Section VI.A, effective 5/19/10.
- Fortner, Ila Gail, College of Design, Student Affairs Officer III, after 31 years of consecutive service, under AR 3:1, Section VI.A, effective 4/24/10.
- Hall, John, College of Education, Associate Professor (with tenure), Kinesiology and Health Promotion, after 30 years and 11 months of consecutive service, under AR 3:1, Section VI.A, effective 6/30/10.
- King, Rhonda L., Student Affairs, Student Center Director Associate, Student Center, after 23 years and 8 months of consecutive service, under AR 3:1, Section VI.A, effective 5/31/10.
- O'Brien, Mary E., College of Medicine, Nurse Clinician, Internal Medicine, after 32 years and 4 months of consecutive service, under AR 3:1, Section VI.A, effective 4/16/10.
- Sampers, Jackie, College of Education, Assistant Research Professor, Special Education and Rehabilitation Counseling, after 19 years and 4 months of consecutive service, under AR 3:1, Section VI.A, effective 6/30/10.
- Sutton, Tommy G., College of Agriculture, Agriculture Research Greenhouse Superintendent, Central Kentucky Experiment Station, after 15 years of consecutive service, under AR 3:1, Section VI.A, effective 4/24/10.
- Weiner, Andrew, College of Education, Associate Professor (with tenure), Kinesiology and Health Promotion after 32 years and 11 months of consecutive service, under AR 3:1, Section VI.A, effective 6/30/10.

K. POST-RETIREMENT APPOINTMENTS

- Adams, David, College of Agriculture, Extension District Director (part-time), Cooperative Extension Service, 7/12/10 through 7/11/11.
- Allen, David L., College of Engineering, Engineer Associate Program Manager (part-time), Kentucky Transportation Center, 7/1/10 through 6/30/11

*Special Title Series

- Amerson, Ann B., College of Pharmacy, Professor (part-time), Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Aug, Robert G., College of Medicine, Professor (part-time), Psychiatry, 7/1/10 through 6/30/11.
- Boosalis, Maria G., College of Health Sciences, Associate Professor (part-time), Clinical Sciences, 7/1/10 through 6/30/11.
- Brady, Mary, College of Agriculture, County Secretary (part-time), Cooperative Extension Service, 7/1/10 through 6/30/11.
- Brock, Gregory W., College of Agriculture, Professor (part-time), Family Studies, 7/1/10 through 6/30/11.
- Bush, Lowell P., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 7/1/10 through 6/30/11.
- Cadle, Ronald G., College of Medicine, Assistant Professor (part-time), Microbiology, Immunology and Molecular Genetics, 7/1/10 through 6/30/11.
- Clawson, D. K., College of Medicine, Professor (part-time), Orthopaedic Surgery, 7/1/10 through 6/30/11.
- Cooper, Thomas M., College of Dentistry, Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Craycraft, Carla G., College of Agriculture, Professor (part-time), Agricultural Communications Service, 7/1/10 through 6/30/11.
- Crockett, Larry, College of Engineering, Machinist (part-time), Mechanical Engineering, 7/1/10 through 6/30/11.
- Crouch, Sally J., College of Engineering, Administrative Coordinator (part-time), Kentucky Transportation Center, 7/1/10 through 6/30/11.
- DeSimone, Philip A., College of Medicine, Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.
- Donohew, Robert L., College of Communications and Information Studies, Professor (part-time), Communication, 7/1/10 through 6/30/11.
- Drummond, James F., College of Dentistry, Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Fosson, Abe R., College of Medicine, Professor (part-time), Pediatrics, and joint appointment as Professor (part-time), Psychiatry, 7/1/10 through 6/30/11.
- Fried, Andrew M., College of Medicine, Professor (part-time), Radiology, 7/1/10 through 6/30/11.
- Friedell, Gilbert H., College of Medicine, Professor (part-time), Behavioral Science, 7/1/10 through 6/30/11.
- Gairola, Chandra G., College of Medicine, Professor (part-time), Graduate Center for Toxicology, 7/1/10 through 6/30/11.
- Getchell, Marilyn L., College of Medicine, Professor (part-time), Anatomy and Neurobiology, 7/1/10 through 6/30/11.
- Goodman, Norman L., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.
- Greenwell, Richard, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 5/6/10 through 5/5/11.
- Grimes, William R., College of Health Sciences, Assistant Professor (part-time), Clinical Sciences, 7/1/10 through 6/30/11.

Hagihara, Patrick F., College of Medicine, Professor (part-time), Surgery, 7/1/10 through 6/30/11.

Hall, Bryan D., College of Medicine, Professor (part-time), Pediatrics, 7/1/10 through 6/30/11.

Hall, John, College of Education, Associate Professor (part-time), Kinesiology and Health Promotion, 7/1/10 through 8/15/10.

Herbek, James H., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 7/7/10 through 6/30/11.

Hopkins, Tommy C., College of Engineering, Transportation Program Manager (part-time), Kentucky Transportation Center, 7/1/10 through 6/30/11.

Infanger, Craig L., College of Agriculture, Professor (part-time), Agricultural Economics, 7/7/10 through 7/6/11.

Ireson, Carol L., College of Public Health, Associate Professor (part-time), Health Services Management, 7/1/10 through 6/30/11.

Kaak, Hans O., College of Medicine, Professor (part-time), Psychiatry, and joint appointment as Associate Professor (part-time), Pediatrics, 7/1/10 through 6/30/11.

Keelen, Robert, College of Engineering, Industry Extension Specialist (part-time), Engineering Administration, 7/1/10 through 6/30/11.

Keller, Dorothy J., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/10 through 6/30/11.

Kennedy, M. Jean, College of Medicine, Project Administrator (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.

Kiesel, Linda, College of Agriculture, Agriculture Extension Specialist (part-time), USDA/NIFA National eXtension Initiative, 7/1/10 through 6/30/11.

Knapp, Charles F., Graduate School, Professor (part-time), Biomedical Engineering, 7/1/10 through 6/30/11.

Kraus, Robert F., College of Medicine, Professor (part-time), Psychiatry, 7/1/10 through 6/30/11.

Lacefield, Garry D., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 9/5/10 through 6/30/11.

Lee, Charles, College of Medicine, Associate Professor (part-time), Radiology, 7/1/10 through 6/30/11.

Lester, Robert L., College of Medicine, Professor (part-time), Molecular and Cellular Biochemistry, 7/1/10 through 6/30/11.

Lieber, Arthur, College of Medicine, Professor (part-time), Radiology, 7/1/10 through 6/30/11.

Lightfoot, Robert W., College of Medicine, Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.

Mabry, C. C., College of Medicine, Professor (part-time), Pediatrics, 7/1/10 through 6/30/11.

Marciniak, Ewa J., College of Medicine, Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.

Mullins, M. R., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.

Nall, Martha A., College of Agriculture, Professor (part-time), Community and Leadership Development, 10/8/10 through 10/7/11.

Neill, John R., College of Medicine, Associate Professor (part-time), Psychiatry, 7/1/10 through 6/30/11.

O'Connor, William N., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.

Porter, William H., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 7/1/10 through 11/30/10.

Powell, Andrew J., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 7/1/10 through 6/30/11.

Reitenour, Richard D., College of Engineering, Transportation Technician (part-time), Kentucky Transportation Center, 7/1/10 through 6/30/11.

Rice, Larry, College of Engineering, Research Facility Manager (part-time), Chemical and Materials Engineering, 7/1/10 through 6/30/11.

Sammon, Patrick J., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.

Saxe, Stanley R., College of Dentistry, Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.

Seeley, Ellsworth C., College of Medicine, Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.

Stevens, David B., College of Medicine, Professor (part-time), Orthopaedic Surgery, 7/1/10 through 6/30/11.

Taylor, Norman L., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 7/1/10 through 6/30/11.

Thompson, John S., College of Medicine, Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.

Tietz, Norbert W., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.

Trimble, Richard L., College of Agriculture, Professor (part-time), Agricultural Economics, 7/1/10 through 5/31/11.

Vanaman, Thomas C., College of Medicine, Professor (part-time), Molecular and Cellular Biochemistry, 7/1/10 through 6/30/11.

Vantubergen, G. N., College of Communications and Information Studies, Associate Professor (part-time), Communication, 7/1/10 through 6/30/11.

Webster, David B., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.

Wedding, Ray, College of Engineering, Electronic Technician (part-time), Electrical and Computer Engineering, 7/1/10 through 6/30/11.

Weiner, Andrew, College of Education, Associate Professor (part-time), Kinesiology and Health Promotion, 7/1/10 through 12/31/10.

L. PHASED RETIREMENT APPOINTMENTS

Cohen, William, College of Arts and Sciences, Associate Professor (with tenure), Biology, 7/1/10 through 6/30/15.

Colclough, George W., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/10 through 6/30/11.

Phillips, Jane, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, 1/1/11 through 12/31/15.

Stanton, Edward, College of Arts and Sciences, Professor (with tenure), Hispanic Studies, 7/1/10 through 6/30/15.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Allen, Patti K., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/10 through 6/30/16.

Allen, Walton, College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/10 through 6/30/16.

Anwar, Muhammad, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 4/1/10 through 6/30/11.

Arnold, Beth J., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/10 through 6/30/11.

Baker, Brian L., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/10 through 6/30/16.

Baker, Jo Lynn, College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 4/1/10 through 6/30/11.

Bell, William K., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/10 through 6/30/16.

Billings, Barry, College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/10 through 6/30/11.

Binder, Gail, College of Education, Instructor (part-time), Educational Leadership Studies, 1/1/10 through 5/15/10.

Bingham, Christa, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 4/1/10 through 6/30/11.

Byrd, Brenna R., College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 8/16/10 through 6/30/11.

Cheatham, Mary A., College of Agriculture, Instructor (part-time), Nutrition and Food Science, 4/1/10 through 6/30/10.

Cobern, Elizabeth C., College of Medicine, Instructor (part-time), Pediatrics, 7/1/10 through 6/30/11.

Cooper, Jessica, College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/10 through 6/30/11.

Cooper, Michael A., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/10 through 6/30/11.

Corman, Elizabeth B., College of Social Work, Adjunct Instructor, 1/1/10 through 6/30/10.

Craighead, Kyle L., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/10 through 6/30/16.

Crosslin, Kevin, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 3/1/10 through 6/30/11.

Cunningham, Billy E., College of Medicine, Assistant Professor (visiting), Radiology, 7/12/10 through 6/30/11.

*Special Title Series

DiLoreto, Rebecca B., College of Law, Instructor (part-time), 9/1/09 through 6/30/10.
Dunaway, Erin, College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/10 through 6/30/11.
Early, Karen L., College of Public Health, Instructor (voluntary), Preventive Medicine and Environmental Health, 8/1/09 through 6/30/11.
Eke, Sankar, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/1/10 through 6/30/16.
Elder, Jeffrey W., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
Ellis, Laura T., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 4/1/10 through 6/30/11.
Epstein, Rebecca I., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
Gonzalez, Octavio A., College of Dentistry, Assistant Research Professor, Oral Health Science, 5/1/10 through 6/30/10.
Gyorffy, Michael J., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/10 through 6/30/11.
Hardin, Charles E., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 4/1/10 through 6/30/11.
Hash, Cori A., College of Law, Instructor (part-time), 1/1/10 through 6/30/10.
Hawkins, Brian T., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 4/1/10 through 6/30/11.
Henningsen, Katie, Libraries, Librarian IV, 5/1/10 through 6/30/11.
Holbrook, Elizabeth A., College of Engineering, Instructor (part-time), Computer Science, 1/1/10 through 6/30/10.
Jabbour, Nicola, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 4/1/10 through 6/30/16.
Jones, Elly, College of Dentistry, Instructor (voluntary), Oral Health Science, 5/1/10 through 6/30/11.
Lattin, Anne L., College of Health Sciences, Clinical Instructor, Rehabilitation Sciences, 12/15/09 through 6/30/10.
Lipka, Stephen M., College of Engineering, Assistant Adjunct Professor, Electrical and Computer Engineering, 2/1/10 through 6/30/12.
Little, Sarah I., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 4/1/10 through 6/30/16.
McDonald, David W., College of Medicine, Assistant Professor (visiting), Radiology, 6/1/10 through 6/30/10.
Meyer, Mark J., College of Medicine, Assistant Professor (voluntary), Anesthesiology, 4/1/10 through 6/30/11.
Milligan, Sarah, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 1/1/10 through 6/30/10.
Mueller, Janice M., College of Law, Assistant Professor (part-time), 1/1/10 through 6/30/10.
Nance, William H., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/10 through 6/30/16.

- Ott, Katharine A., College of Arts and Sciences, Assistant Professor, Mathematics, 8/16/11 through 6/30/13.
- Parrish, Sarah A., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.
- Prescott, Daniel C., College of Medicine, Instructor (part-time), Ophthalmology and Visual Sciences, 7/7/10 through 6/30/11.
- Raichel, Michael W., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/10 through 6/30/16.
- Rains, Allison C., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/10 through 6/30/11.
- Redcorn, Lou A., College of Law, Assistant Professor (part-time), 1/1/10 through 6/30/10.
- Rolen, Paul B., College of Medicine, Assistant Professor (visiting), Radiology, 5/14/10 through 6/30/10.
- Rusher, Phillip, College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/10 through 6/30/11.
- Schmidt, Maria E., College of Medicine, Assistant Professor (visiting), Radiology, 6/1/10 through 6/30/10.
- Scott, Mark B., College of Dentistry, Instructor (voluntary), Oral Health Science, 5/1/10 through 6/30/11.
- Shaffer, Jori L., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 4/1/10 through 6/30/11.
- Shah, Navinchandra R., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 3/1/10 through 6/30/11.
- Shapiro, Robert M., Libraries, Librarian IV, 6/1/10 through 6/30/11.
- She, Qing-Bai, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/10 through 6/30/11.
- Smith, Laura, College of Law, Instructor (part-time), 10/1/09 through 6/30/10.
- Stewart, John J., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/10 through 6/30/11.
- Sykes, Larry, College of Law, Instructor (part-time), 10/1/09 through 6/30/10.
- Taylor, Stacy A., College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 9/7/09 through 6/30/10.
- Tekwani, Shivan H., College of Medicine, Instructor (part-time), Ophthalmology and Visual Sciences, 8/1/10 through 6/30/11.
- Thomason, Charles L., College of Law, Instructor (part-time), 1/1/10 through 6/30/10.
- Tran, Tuyen T., College of Medicine, Instructor (voluntary), Internal Medicine, 4/1/10 through 6/30/16.
- Vaish, Shri K., College of Medicine, Assistant Professor (voluntary), Psychiatry, 4/1/10 through 6/30/16.
- Vostal, Brooks, College of Education, Assistant Professor, Special Education and Rehabilitation Counseling, 8/16/10 through 5/15/11.
- Wagner, Donald P., College of Law, Instructor (part-time), 8/1/09 through 6/30/10.
- White, Connie G., College of Public Health, Assistant Professor (voluntary), Health Services Management, 12/1/09 through 6/30/11.
- Xu, Ren, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 4/1/10 through 6/30/10.

Yonts, Anthony T., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, and joint appointment as Assistant Professor (voluntary), Pediatrics, 7/1/10 through 6/30/16.

B. ADMINISTRATIVE APPOINTMENTS

Hansen, Wendy F., College of Medicine, Associate Professor* (with tenure), Obstetrics and Gynecology, and joint appointment as Associate Professor* (without tenure), Radiology, reappointed Interim Chair, Obstetrics and Gynecology, 7/1/10 through 6/30/11.

Rosenman, Ellen, College of Arts and Sciences, Professor (with tenure), English, appointed Interim Chair, English, 7/1/10 through 6/30/11.

Wilson, Emery A., College of Medicine, Professor (with tenure), Obstetrics and Gynecology, and joint appointment as Professor (without tenure), Family and Community Medicine, appointed Interim Dean, College of Medicine, 5/17/10 through 6/30/11.

C. JOINT APPOINTMENTS

Fei, Songlin, College of Agriculture, Assistant Professor, Forestry, joint appointment as Assistant Professor, Geography, College of Arts and Sciences, 7/1/10 through 6/30/11.

Patel, Rita R., College of Health Sciences, Clinical Assistant Professor, Rehabilitation Sciences, joint appointment as Clinical Assistant Professor, Surgery, College of Medicine, 7/1/10 through 6/30/11.

Stoops, William W., College of Medicine, Assistant Professor, Behavioral Science, joint appointment as Assistant Professor, Psychology, College of Arts and Sciences, 7/1/10 through 6/30/11.

Wise, James N., College of Medicine, Clinical Assistant Professor, Radiology, joint appointment as Clinical Assistant Professor, Orthopaedic Surgery, 7/1/10 through 6/30/11.

D. REAPPOINTMENTS

Abdel-Latif, Ahmed, College of Medicine, Assistant Professor*, Internal Medicine, 7/1/10 through 6/30/11.

Absher, Kimberly J., College of Medicine, Assistant Professor (part-time), Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.

Abshire, Demetrius A., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.

Adams, Aimee G., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, and joint appointment as Assistant Adjunct Professor, Internal Medicine, College of Medicine, 7/1/10 through 6/30/11.

Ahn, Sungkee S., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.

Ahn, Thomas, College of Business and Economics, Assistant Professor, Economics, 7/1/10 through 6/30/11.

*Special Title Series

- Aikat, Shamik, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Akin, James W., College of Medicine, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Al-Ghananeem, Abeer, College of Pharmacy, Assistant Professor (part-time), Pharmaceutical Sciences, 7/1/10 through 6/30/11.
- Al-Hasan, Majdi N., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Alegria, Jorge R., College of Medicine, Clinical Assistant Professor, Internal Medicine, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Allahham, Yahya H., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/10 through 6/30/11.
- Allen, Barry E., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Anaya, Paul, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Anthony, Evelyn Y., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Arrington, Michael I., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/10 through 6/30/11.
- Aryal, Prakash, College of Medicine, Clinical Instructor, Radiation Medicine, 7/1/10 through 6/30/11.
- Ashford, Kristin B., College of Nursing, Assistant Professor, 7/1/10 through 6/30/11.
- Atkins, Lori L., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Awasthi, Mukta, College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.
- Azzam, Mai A., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/10 through 6/30/11.
- Bae, Younsoo, College of Pharmacy, Assistant Professor, Pharmaceutical Sciences, 7/1/10 through 6/30/11.
- Baffi, Judit Z., College of Medicine, Assistant Research Professor, Ophthalmology and Visual Sciences, 7/1/10 through 6/30/11.
- Bagh, Adib, College of Business and Economics, Assistant Professor, Economics, and joint appointment as Assistant Professor, Mathematics, College of Arts and Sciences, 7/1/10 through 6/30/11.
- Bailey, Alison L., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Bailey, Paula, College of Medicine, Clinical Assistant Professor, Internal Medicine, and joint appointment as Clinical Instructor, Pediatrics, 7/1/10 through 6/30/11.
- Bale, Sharon S., College of Agriculture, Adjunct Instructor, Horticulture, 7/1/10 through 6/30/11.
- Bao, Mingzhen, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, 7/1/10 through 6/30/12.

*Special Title Series

- Barrett, Edward R., College of Arts and Sciences, Assistant Professor, English, 7/1/10 through 6/30/12.
- Bartee, Rebecca D., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/10 through 6/30/11.
- Bauries, Scott, College of Law, Assistant Professor, 7/1/10 through 6/30/11.
- Bayliff, Sherry L., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Bennett, Jeffrey S., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Bennett, Keisa, College of Medicine, Assistant Professor*, Family and Community Medicine, 7/1/10 through 6/30/11.
- Berger, Michael C., College of Pharmacy, Clinical Instructor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Berre, Edgar L., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Berry, Linda D., College of Nursing, Instructor (part-time), 1/13/10 through 6/30/10.
- Bertoli, Elizangela, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.
- Beshear, Deidra D., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Black, Esther P., College of Pharmacy, Assistant Professor, Pharmaceutical Sciences, 7/1/10 through 6/30/11.
- Blackwelder, John T., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Blechner, Michael D., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, 7/1/10 through 7/31/10.
- Bonaime, Alice A., College of Business and Economics, Assistant Professor, Management, 7/1/10 through 6/30/11.
- Borders, Daniel A., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/10 through 6/30/11.
- Bowman, Mark A., College of Public Health, Assistant Professor (part-time), Health Services Management, 7/1/10 through 6/30/11.
- Bradley, Luke H., College of Medicine, Assistant Professor, Anatomy and Neurobiology, and joint appointment as Assistant Professor, Molecular and Cellular Biochemistry, 7/1/10 through 6/30/11.
- Brandewie, Deborah J., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Branscum, Adam J., College of Public Health, Assistant Professor, Biostatistics, and joint appointments as Assistant Professor, Epidemiology and Assistant Professor, Statistics, College of Arts and Sciences, 7/1/10 through 6/30/11.
- Branson, Shellie A., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Brantley-Hite, Kimberley L., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Bratten, Brian, College of Business and Economics, Assistant Professor, Accountancy, 7/1/10 through 6/30/11.

*Special Title Series

- Breheny, Patrick J., College of Public Health, Assistant Professor, Biostatistics, and joint appointment as Assistant Professor, Statistics, College of Arts and Sciences, 7/1/10 through 6/30/11.
- Bridges, Phillip J., College of Health Sciences, Assistant Professor, Clinical Sciences, 7/1/10 through 6/30/11.
- Brown, Alan V., College of Arts and Sciences, Assistant Professor, Hispanic Studies, 7/1/10 through 6/30/12.
- Brown, Roger M., College of Agriculture, Lecturer, Agricultural Economics, 7/1/10 through 6/30/11.
- Browning, Steven R., College of Public Health, Assistant Professor, Epidemiology, 7/1/10 through 6/30/11.
- Bruce, Brandon T., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/10 through 6/30/11.
- Bula-Romero, Melania L., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Burk, David L., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Bush, Heather M., College of Public Health, Assistant Professor, Biostatistics, 7/1/10 through 6/30/11.
- Butler, Carina L., College of Medicine, Assistant Professor (part-time), Radiology, 7/1/10 through 6/30/11.
- Butler, Craig D., College of Medicine, Assistant Professor (part-time), Radiology, 7/1/10 through 6/30/11.
- Butler, Karen M., College of Nursing, Assistant Professor*, 7/1/10 through 6/30/11.
- Butterfield, Timothy A., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.
- Byers, Matthew E., College of Agriculture, Assistant Adjunct Professor, Biosystems and Agricultural Engineering, 7/1/10 through 6/30/11.
- Cai, Yuguang, College of Arts and Sciences, Assistant Professor, Chemistry, 7/1/10 through 6/30/12.
- Caldwell, Glyn G., College of Public Health, Assistant Professor (part-time), Epidemiology, 7/1/10 through 6/30/11.
- Carey, Jacqueline E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Carlson, Lyle W., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Carroll, Thomas F., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/10 through 6/30/11.
- Carvalho, Cletus S., College of Medicine, Assistant Professor*, Psychiatry, 7/1/10 through 6/30/11.
- Cary, Larry C., College of Medicine, Clinical Assistant Professor, Internal Medicine, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Case, Elizabeth A., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.

*Special Title Series

- Caskey, Paula P., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.
- Cassone, Lynne M., College of Agriculture, Clinical Assistant Professor, Veterinary Science, 7/1/10 through 6/30/13.
- Castellanos, Ana L., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Castro, Maria G., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Causholli, Monika, College of Business and Economics, Assistant Professor, Accountancy, 7/1/10 through 6/30/11.
- Cecil, James C., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Cerel, Julie A., College of Social Work, Assistant Professor, 7/1/10 through 6/30/11.
- Cerel, Sylvia L., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.
- Cetrulo, Donald P., College of Law, Instructor (part-time), 1/1/10 through 6/30/10.
- Chambers, Mara D., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Chambers, Orlando D., College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/10 through 6/30/11.
- Cheeks, Richard, College of Engineering, Assistant Adjunct Professor, Civil Engineering, 7/1/10 through 6/30/11.
- Chen-Reed, Pei-Lin, College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Chendil, Damodaran, College of Health Sciences, Assistant Professor, Clinical Sciences, 7/1/10 through 6/30/11.
- Chesnut, Lorie W., College of Public Health, Instructor (part-time), Epidemiology, 7/1/10 through 6/30/11.
- Chi, Young-In, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/10 through 8/31/10.
- Christensen, Christian, College of Medicine, Assistant Adjunct Professor, Orthopaedic Surgery, 7/1/10 through 6/30/11.
- Clemens, Justin C., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.
- Clements, John H., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.
- Clifford, Chris P., College of Business and Economics, Assistant Professor, Management, 7/1/10 through 6/30/11.
- Clifford, Timothy M., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, and joint appointment as Assistant Adjunct Professor, Surgery, College of Medicine, 7/1/10 through 6/30/11.
- Clowney, Stephen, College of Law, Assistant Professor, 7/1/10 through 6/30/11.
- Cohen, Elisia L., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/10 through 6/30/11.
- Cook, Aaron M., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.

- Cook, Jane C., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Cook-Craig, Patricia G., College of Social Work, Assistant Professor, 7/1/10 through 6/30/11.
- Cooper, Gregory E., College of Medicine, Assistant Professor (part-time), Neurology, 7/1/10 through 6/30/11.
- Corales, Enedino R., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/10 through 6/30/11.
- Cowley, Jennifer B., College of Nursing, Lecturer, 7/1/10 through 6/30/11.
- Cox, John J., College of Agriculture, Assistant Adjunct Professor, Forestry, 7/1/10 through 6/30/11.
- Crouch, Richard H., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.
- Crozier, Gordon J., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Daily, Michael F., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/10 through 6/30/11.
- Dale, Thomas A., College of Medicine, Assistant Adjunct Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Danov, Zoran, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Darville, Audrey K., College of Nursing, Clinical Assistant Professor, 7/1/10 through 6/30/11.
- Dasgupta, Siddhartha, College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/10 through 6/30/11.
- Davis, Bolivia T., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Davis, Rebecca J., College of Business and Economics, Lecturer, Management, 7/1/10 through 6/30/11.
- Davis, Robert A., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Davis, Rynetta S., College of Arts and Sciences, Assistant Professor, English, 7/1/10 through 6/30/12.
- Dawson, James D., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Dean, Melvin W., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Deane, Andrew S., College of Medicine, Assistant Professor*, Anatomy and Neurobiology, 7/1/10 through 6/30/11.
- Dearinger, Angela T., College of Medicine, Clinical Assistant Professor, Internal Medicine, and joint appointment as Clinical Assistant Professor, Health Services Management, College of Public Health, 7/1/10 through 6/30/11.
- Deep, Kristy S., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Denham, William C., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.

*Special Title Series

- Dennis, Mareen C., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/10 through 6/30/11.
- Deokar, Amit M., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Deokule, Sunil P., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/10 through 6/30/11.
- Desai, Dharmin D., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/10 through 6/30/11.
- DeSimone, Christopher P., College of Medicine, Assistant Professor*, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Diaz-Guzman Zavala, Enrique, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Diebold, Claudia M., College of Nursing, Senior Lecturer, 7/1/10 through 6/30/11.
- Dinkins, Randy D., College of Agriculture, Assistant Adjunct Professor, Plant and Soil Sciences, 7/1/10 through 6/30/11.
- Dishop, Megan K., College of Medicine, Assistant Professor (visiting), Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.
- Dolloff, Paul A., College of Engineering, Assistant Adjunct Professor, Electrical and Computer Engineering, 7/1/10 through 6/30/13.
- Dorfling, Johannes, College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/10 through 6/30/11.
- Dotson, Claude H., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Drake, Angela R., College of Medicine, Clinical Instructor, Anesthesiology, 7/1/10 through 6/30/11.
- Dunavant, Scott L., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/10 through 6/30/11.
- Dunn, Steven P., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Duong, Hai-Lang, College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Duvall, Jamieson L., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/10 through 6/30/11.
- Duzyk, Darren, College of Engineering, Instructor (part-time), Civil Engineering, 7/1/10 through 6/30/11.
- Dvorak, Eduard, College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/10 through 7/31/10.
- Dyer, Marc D., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Dziubla, Thomas D., College of Engineering, Assistant Professor, Chemical and Materials Engineering, 7/1/10 through 6/30/12.
- Eckhardt, Erik, College of Medicine, Assistant Professor, Internal Medicine, and joint appointment as Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/10 through 6/30/11.
- Ehrkamp, Patricia, College of Arts and Sciences, Assistant Professor, Geography, 7/1/10 through 6/30/12.

- Eike, Ann, College of Business and Economics, Lecturer, Economics, 7/1/10 through 6/30/11.
- Eitel, Richard E., College of Engineering, Assistant Professor, Chemical and Materials Engineering, 7/1/10 through 6/30/12.
- Elayi, Samy C., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Elliott, Gregory, College of Pharmacy, Assistant Professor, Pharmaceutical Sciences, and joint appointment as Assistant Professor, Chemistry, College of Arts and Sciences, 7/1/10 through 6/30/11.
- Ely, Gretchen E., College of Social Work, Assistant Professor, 7/1/10 through 6/30/11.
- Endres, William F., College of Arts and Sciences, Assistant Professor, English, 7/1/10 through 6/30/12.
- Erena, Gregory R., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Escalante, David A., College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.
- Eum, Sung Y., College of Medicine, Assistant Research Professor, Neurosurgery, 7/1/10 through 6/30/11.
- Fardo, David W., College of Public Health, Assistant Professor, Biostatistics, 7/1/10 through 6/30/11.
- Farley, Robert M., Graduate School, Assistant Professor, Patterson School of Diplomacy and International Commerce, 7/1/10 through 6/30/11.
- Farmer, Aletia G., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Farzam, Farjam, College of Medicine, Clinical Assistant Professor, Neurology, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Fee, Dominic B., College of Medicine, Clinical Assistant Professor, Neurology, 7/1/10 through 6/30/11.
- Fei, Songlin, College of Agriculture, Assistant Professor, Forestry, 7/1/10 through 6/30/11.
- Felsberg, Gary J., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Feola, David J., College of Pharmacy, Assistant Professor*, Pharmacy Practice and Science, and joint appointment as Assistant Professor*, Internal Medicine, College of Medicine, 7/1/10 through 6/30/11.
- Fernander, Anita F., College of Medicine, Assistant Professor, Behavioral Science, and joint appointment as Assistant Professor, Psychology, College of Arts and Sciences, 7/1/10 through 9/1/10.
- Fields, Jaime J., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Fisher, Jonathan S., College of Engineering, Adjunct Instructor, Civil Engineering, 7/1/10 through 6/30/11.
- Flaherty, Christopher W., College of Social Work, Assistant Professor, 7/1/10 through 6/30/11.
- Fleming-Phillips, Barbara, College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.

*Special Title Series

- Flomenhoft, Deborah R., College of Medicine, Clinical Assistant Professor, Internal Medicine, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Florea, Shannon C., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Flynn, Jeremy, College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, and joint appointment as Assistant Adjunct Professor, Surgery, College of Medicine, 7/1/10 through 6/30/11.
- Forren, Janet L., College of Nursing, Assistant Professor, 7/1/10 through 6/30/11.
- Foster-Harper, Susan, Libraries, Librarian III, 7/1/10 through 6/30/11.
- Franklin, Samuel R., College of Medicine, Assistant Professor*, Anatomy and Neurobiology, 7/1/10 through 6/30/11.
- Fuentealba, Rodrigo, College of Dentistry, Assistant Professor (visiting), Oral Health Practice, 7/1/10 through 6/30/11.
- Gao, Tianyan, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/10 through 6/30/11.
- Garabedian, Matthew J., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Garabedian, Wendy R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Garner, William R., College of Law, Instructor (part-time), 1/1/10 through 6/30/10.
- Gassett, Jonathan W., College of Agriculture, Assistant Adjunct Professor, Forestry, 7/1/10 through 6/30/11.
- Gentry, Matthew S., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/10 through 6/30/11.
- Gerkin, Susan R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Ghansah, Joseph N., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/10 through 6/30/11.
- Gibbs, Richard D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/10 through 6/30/11.
- Glasser, Scott A., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Godlaski, Theodore M., College of Social Work, Clinical Assistant Professor, and joint appointment as Clinical Assistant Professor, Psychiatry, College of Medicine, 7/1/10 through 6/30/11.
- Gonzalez, Octavio A., College of Dentistry, Assistant Research Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Goodrich, Scott T., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Gordy, Carrie M., College of Nursing, Clinical Assistant Professor, 7/1/10 through 6/30/11.
- Gore, David R., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/10 through 6/30/11.
- Gorrell, Grace G., College of Agriculture, Instructor (part-time), Community and Leadership Development, 7/1/10 through 6/30/11.

- Gorton, William T., College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/10 through 6/30/11.
- Graves, Kathryn T., College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/10 through 6/30/11.
- Graves, Ronnie C., College of Engineering, Adjunct Instructor, Civil Engineering, 7/1/10 through 6/30/11.
- Grondin, Richard C., College of Medicine, Assistant Professor, Anatomy and Neurobiology, 7/1/10 through 6/30/11.
- Groves, Karla R., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.
- Gulla, Darrin V., College of Business and Economics, Lecturer, Economics, 7/1/10 through 6/30/11.
- Guo, Zhenheng, College of Medicine, Assistant Professor, Internal Medicine, and joint appointment as Assistant Professor, Physiology, 7/1/10 through 6/30/11.
- Gurwell, Julie A., College of Medicine, Clinical Assistant Professor, Neurology, 7/1/10 through 6/30/11.
- Gyves-Ray, Katherine M., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Hains, Bryan J., College of Agriculture, Assistant Professor, Community and Leadership Development, 7/1/10 through 6/30/11.
- Halabi, Safwan S., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Halich, Gregory S., College of Agriculture, Assistant Extension Professor, Agricultural Economics, 7/1/10 through 6/30/11.
- Hall, Arlie, College of Engineering, Assistant Professor (part-time), UK Center for Manufacturing, 7/1/10 through 6/30/11.
- Hall, Julia J., College of Nursing, Lecturer, 7/1/10 through 6/30/11.
- Halsted, Mark J., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Hamblin, Pierce W., College of Law, Instructor (part-time), 1/1/10 through 6/30/10.
- Hankins, Kristine W., College of Business and Economics, Assistant Professor, Management, 7/1/10 through 6/30/11.
- Hankins, Scott, College of Public Health, Assistant Professor, Health Services Management, 7/1/10 through 6/30/11.
- Hapke, Jessica H., College of Business and Economics, Lecturer, Management, 7/1/10 through 6/30/11.
- Hardin-Pierce, Melanie G., College of Nursing, Assistant Professor*, 7/1/10 through 6/30/11.
- Hardy, Peter A., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, and joint appointments as Assistant Research Professor, Radiology and Assistant Research Professor, Biomedical Engineering, Graduate School, 7/1/10 through 6/30/11.
- Harned, Kevin C., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Harpole, Joseph H., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.

*Special Title Series

Harris, Torrie T., College of Public Health, Clinical Assistant Professor, Health Services Management, 7/1/10 through 6/30/11.

Harrison, Ershal, College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/10 through 6/30/11.

Hartker, Frederick W., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.

Hashem, Abul, College of Medicine, Assistant Adjunct Professor, Radiology, 7/1/10 through 6/30/11.

Havens, Jennifer R., College of Medicine, Assistant Professor, Behavioral Science, 7/1/10 through 6/30/11.

Hawthorne, Colette W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.

Hayes, Don, College of Medicine, Assistant Professor*, Pediatrics, and joint appointments as Assistant Professor*, Internal Medicine and Assistant Professor*, Surgery, 7/1/10 through 6/30/11.

Hayslip, John W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.

Helme, Donald W., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/10 through 6/30/11.

Henry, George E., College of Law, Instructor (part-time), 1/1/10 through 6/30/10.

Hensley, Victoria R., College of Nursing, Clinical Instructor, 7/1/10 through 6/30/11.

Herberth, Johann, College of Medicine, Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.

Hesselden, Jon P., Libraries, Librarian IV, 7/1/10 through 6/30/11.

Hessler, Amy E., College of Medicine, Assistant Professor (part-time), Neurology, 7/1/10 through 6/30/11.

Hightower, Edward, College of Medicine, Assistant Professor (part-time), Radiology, 7/1/10 through 6/30/11.

Hill, Raymond E., College of Public Health, Assistant Professor (part-time), Health Services Management, 7/1/10 through 6/30/11.

Holbein, Gordon, College of Business and Economics, Senior Lecturer, Management, 7/1/10 through 6/30/12.

Hollen, Miranda, College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.

Holt, Elizabeth G., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.

Hood, Katrina M., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/10 through 6/30/11.

Horbinski, Craig M., College of Medicine, Assistant Professor, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.

Hosier, Amy F., College of Agriculture, Assistant Extension Professor, Family Studies, 7/1/10 through 6/30/11.

Houchin, Angela D., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/10 through 6/30/11.

Houchin, Timothy M., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/10 through 6/30/11.

- Huhn, Thomas M., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/10 through 6/30/11.
- Hundley, Jennifer L., College of Medicine, Assistant Professor (part-time), Surgery, 7/1/10 through 6/30/11.
- Hustedde, Carol G., College of Medicine, Assistant Adjunct Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Iqbal, Vaseem, College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Iser, Alison, College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.
- Jackman, William J., College of Agriculture, Assistant Adjunct Professor, Community and Leadership Development, 7/1/10 through 6/30/11.
- Jackson, Rodney A., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Jackson, Wendy L., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Jacobs, Cale A., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.
- Jacobs-Lawson, Joy M., College of Public Health, Assistant Professor, Gerontology, and joint appointments as Assistant Professor, Health Behavior and Assistant Professor, Psychology, College of Arts and Sciences, 7/1/10 through 6/30/11.
- Jacobsen, Krista L., College of Agriculture, Lecturer, Horticulture, 7/1/10 through 6/30/11.
- Jacoby, Anne C., College of Nursing, Lecturer, 7/1/10 through 6/30/11.
- Jagger, James A., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/10 through 6/30/11.
- Jahed, Peyman, College of Design, Instructor (part-time), Architecture, and joint appointment as Instructor (part-time), Civil Engineering, College of Engineering, 7/1/10 through 6/30/11.
- James, Pearl, College of Arts and Sciences, Assistant Professor, English, 7/1/10 through 6/30/12.
- Jennings, Connie W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Jessa, Peace N., College of Public Health, Assistant Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Assistant Professor (part-time), Family and Community Medicine, College of Medicine, 7/1/10 through 6/30/11.
- Johnson, Andrew O., College of Public Health, Assistant Professor, Health Services Management, 7/1/10 through 6/30/11.
- Johnson, Carrie, College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Johnson, Jill R., College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Johnson, Stacey C., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Johnson, Steven D., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/10 through 6/30/11.

- Jones, Jeffery A., College of Public Health, Assistant Professor, Health Behavior, and joint appointment as Assistant Professor, Geography, College of Arts and Sciences, 7/1/10 through 6/30/11.
- Jones, Larry D., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Jones, Mikael, College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, and joint appointment as Clinical Assistant Professor, College of Nursing, 7/1/10 through 6/30/11.
- Jones, Shelley M., College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Kabengi, Nadine, College of Agriculture, Assistant Research Professor, Plant and Soil Sciences, 7/1/10 through 6/30/11.
- Kagan, Isabelle A., College of Agriculture, Assistant Adjunct Professor, Plant and Soil Sciences, 7/1/10 through 6/30/11.
- Kakavand, Bahram, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Kaminski, Chichuan Y., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Karabakhtsian, Rouzan G., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.
- Karandikar, Ninad S., College of Medicine, Clinical Assistant Professor, Physical Medicine and Rehabilitation, 7/1/10 through 6/30/11.
- Karmy, Robert J., College of Medicine, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Katchova, Ani L., College of Agriculture, Assistant Professor, Agricultural Economics, 7/1/10 through 6/30/11.
- Katz, Michael E., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Keinsley, Jason, Libraries, Librarian IV, 7/1/10 through 6/30/11.
- Keller, Peggy S., College of Arts and Sciences, Assistant Professor, Psychology, 7/1/10 through 6/30/12.
- Kelso, Lynn A., College of Nursing, Clinical Assistant Professor, 7/1/10 through 6/30/11.
- Khan, Gulam Q., College of Medicine, Clinical Assistant Professor, Neurology, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Kidwell, Blair, College of Business and Economics, Assistant Professor, Management, 7/1/10 through 6/30/11.
- King, Michael R., College of Medicine, Assistant Professor*, Family and Community Medicine, 7/1/10 through 6/30/11.
- King, Victoria L., College of Medicine, Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Kingery, Joe E., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Kirk, Adam J., College of Engineering, Adjunct Instructor, Civil Engineering, 7/1/10 through 6/30/11.
- Kleinert, Jane O., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.

*Special Title Series

- Kloha, Nancy R., College of Nursing, Clinical Instructor, 7/1/10 through 6/30/11.
- Knox, Tamara L., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Knudsen, Hannah K., College of Medicine, Assistant Professor, Behavioral Science, 7/1/10 through 6/30/11.
- Kral, Paula R., College of Nursing, Lecturer, 7/1/10 through 6/30/11.
- Kregor, Janice M., College of Medicine, Assistant Professor (part-time), Behavioral Science, 7/1/10 through 6/30/11.
- Kudrimoti, Archana M., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Lamberth, Cynthia, College of Public Health, Clinical Instructor, Preventive Medicine and Environmental Health, 7/1/10 through 6/30/11.
- Langner, Ulrich W., College of Medicine, Clinical Instructor, Radiation Medicine, 7/1/10 through 6/30/11.
- Larkin, Thomas J., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.
- Larson, Raymond W., College of Law, Instructor (part-time), 1/1/10 through 6/30/10.
- Lasher, Steven C., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/10 through 6/30/11.
- Lattermann, Christian, College of Medicine, Assistant Professor*, Orthopaedic Surgery, 7/1/10 through 6/30/11.
- Layton, Rebecca L., College of Medicine, Assistant Professor (part-time), Anesthesiology, and joint appointment as Assistant Professor (part-time), Pediatrics, 7/1/10 through 6/30/11.
- Lee, Janet F., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.
- Lee, Woon, College of Pharmacy, Assistant Professor, Pharmaceutical Sciences, 7/1/10 through 6/30/11.
- Leggas, Markos, College of Pharmacy, Assistant Professor, Pharmaceutical Sciences, 7/1/10 through 5/31/11.
- Lekakis, Lazaros J., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Lentzsch-Parcells, Carolyn M., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Lester, Harold D., College of Dentistry, Assistant Adjunct Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Letcher, Traci, College of Communications and Information Studies, Lecturer, Communication, 7/1/10 through 6/30/11.
- Levin, Terry L., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Lewis, Daniel A., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Lewis, Thomas, College of Business and Economics, Lecturer, Management, 7/1/10 through 6/30/11.
- Li, Jing, College of Medicine, Assistant Research Professor, Surgery, 7/1/10 through 6/30/11.

*Special Title Series

- Li, Xiang-an, College of Medicine, Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Li, Zhenyu, College of Medicine, Assistant Professor, Internal Medicine, and joint appointment as Assistant Professor, Molecular and Cellular Biochemistry, 7/1/10 through 6/30/11.
- Lifshitz, Jonathan, College of Medicine, Assistant Professor, Anatomy and Neurobiology, and joint appointment as Assistant Professor, Physical Medicine and Rehabilitation, 7/1/10 through 6/30/11.
- Liu, Jun, College of Medicine, Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Liu, Mark, College of Business and Economics, Assistant Professor, Management, 7/1/10 through 6/30/11.
- Livingston, Scott C., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.
- Loeffler, Diane N., College of Social Work, Lecturer, 7/1/10 through 6/30/11.
- Lofwall, Michelle R., College of Medicine, Assistant Professor, Psychiatry, and joint appointment as Assistant Professor, Behavioral Science, 7/1/10 through 6/30/11.
- Lommel, Karen, College of Medicine, Assistant Professor*, Psychiatry, and joint appointment as Assistant Professor*, Pediatrics, 7/1/10 through 6/30/11.
- Lovitz, Wanda, College of Nursing, Lecturer, 7/1/10 through 6/30/11.
- Lowe, Donald I., College of Communications and Information Studies, Lecturer, Communication, 7/1/10 through 6/30/11.
- Lowry, Regina C., College of Nursing, Senior Lecturer, 7/1/10 through 6/30/11.
- Luftman, Virginia H., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/10 through 6/30/11.
- Lukens, Margaret F., College of Medicine, Instructor (part-time), Anesthesiology, and joint appointment as Instructor (part-time), Pediatrics, 7/1/10 through 6/30/11.
- Luo, Liang, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, 7/1/10 through 6/30/12.
- Luo, Wei, College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/10 through 6/30/11.
- Lybarger, Kathryn J., Libraries, Librarian IV, 7/1/10 through 6/30/11.
- Mabins, Melanie, College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Macaulay, Tracy, College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Machwe, Amrita, College of Medicine, Assistant Research Professor, Graduate Center for Toxicology, 7/1/10 through 6/30/11.
- MacIvor, Duncan C., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.
- Madaleno, James A., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.
- Maggard, Stacie K., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.
- Manis, Isaac G., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/10 through 6/30/11.
- Mansour, Heidi, College of Pharmacy, Assistant Professor, Pharmaceutical Sciences, 7/1/10 through 6/30/11.

- Mao, Catherine D., College of Health Sciences, Assistant Research Professor, Clinical Sciences, 7/1/10 through 6/30/11.
- Marchand, Tania M., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Marshall, Charles T., College of Health Sciences, Assistant Professor*, Rehabilitation Sciences, and joint appointment as Assistant Professor*, Physiology, College of Medicine, 7/1/10 through 6/30/11.
- Marshall, Kassi M., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Marshall, Kelley W., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Massa, F. Christopher, College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/10 through 6/30/11.
- Massarweh, Suleiman A., College of Medicine, Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Mathumuju, Kavita R., College of Dentistry, Assistant Professor*, Oral Health Science, 7/1/10 through 6/30/11.
- Matthews, Joanne M., College of Nursing, Clinical Instructor, 7/1/10 through 6/30/11.
- Mattingly, John A., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Maul, Erich C., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- May, Charles E., College of Engineering, Assistant Adjunct Professor, Electrical and Computer Engineering, 7/1/10 through 6/30/13.
- McBreairty, Paul H., College of Medicine, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- McCoun, Kenneth T., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/10 through 6/30/11.
- McCoy, Michael Q., College of Medicine, Instructor (part-time), Pediatrics, and joint appointment as Instructor (part-time), Internal Medicine, 7/1/10 through 6/30/11.
- McCulley, Rebecca L., College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/10 through 6/30/11.
- McDonald, David W., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- McEwen, Melanie L., College of Medicine, Assistant Research Professor, Physical Medicine and Rehabilitation, and joint appointment as Assistant Research Professor, Anatomy and Neurobiology, 7/1/10 through 6/30/11.
- McFadden, Steven Y., College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 7/1/10 through 6/30/11.
- McGinty, Thomas A., Graduate School, Instructor (part-time), Patterson School of Diplomacy and International Commerce, 7/1/10 through 6/30/11.
- McHugh, Megan M., College of Dentistry, Assistant Professor*, Oral Health Science, 7/1/10 through 6/30/11.
- McIntosh, Bruce A., College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.

*Special Title Series

- McIntosh, Tera, College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- McKeon, Jennifer M., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.
- McKeon, Patrick O., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.
- McLaughlin, Paul W., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.
- McNear, David H., College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/10 through 6/30/11.
- Meek, Mary F., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Meister, Todd A., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Melahn, William L., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.
- Melville, Daniel E., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Mende, Martin, College of Business and Economics, Assistant Professor, Management, 7/1/10 through 6/30/11.
- Mendiondo, Marta S., College of Public Health, Assistant Professor, Biostatistics, 7/1/10 through 6/30/11.
- Meyer-Rossi, Alissa L., College of Agriculture, Instructor (part-time), Community and Leadership Development, 8/16/10 through 6/30/11.
- Middleton, Lisa S., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/10 through 6/30/11.
- Milan, Primo, College of Medicine, Assistant Adjunct Professor, Radiology, 7/1/10 through 6/30/11.
- Miller, Christopher M., College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Miller, Cynthia H., College of Communications and Information Studies, Senior Lecturer, Communication, 7/1/10 through 6/30/11.
- Miller, Cynthia J., College of Business and Economics, Lecturer, Accountancy, 7/1/10 through 6/30/11.
- Mills, Beth K., College of Social Work, Clinical Instructor, 7/1/10 through 6/30/11.
- Mimms, William R., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/10 through 6/30/11.
- Mitchell, Trenika R., College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Moe, Luke A., College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/10 through 6/30/11.
- Monohan, Gregory P., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/10 through 6/30/11.
- Moore, David J., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.

*Special Title Series

- Moore, Erin N., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Moore, Kathryn M., College of Nursing, Assistant Professor*, 7/1/10 through 6/30/11.
- Morales, Gustavo X., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Morey, Daniel S., College of Arts and Sciences, Assistant Professor, Political Science, 7/1/10 through 6/30/12.
- Mosler, Patrick, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Mudd, Gia T., College of Nursing, Assistant Professor, 7/1/10 through 6/30/11.
- Muha, Sherri L., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Mullen, Nancy K., College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.
- Murphy, Brian S., College of Medicine, Assistant Professor, Internal Medicine, and joint appointments as Assistant Professor, Microbiology, Immunology and Molecular Genetics and Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Murphy, Michael P., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/10 through 2/6/11.
- Murphy, Paul J., College of Medicine, Lecturer, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.
- Murtha, Brian R., College of Business and Economics, Assistant Professor, Management, 7/1/10 through 6/30/11.
- Nah, Seungahn, College of Agriculture, Assistant Professor, Community and Leadership Development, 7/1/10 through 6/30/11.
- Napier, Sharon M., College of Medicine, Assistant Professor (part-time), Ophthalmology and Visual Sciences, 7/1/10 through 6/30/11.
- Neltner, Matthew J., College of Medicine, Assistant Adjunct Professor, Psychiatry, 7/1/10 through 6/30/11.
- Newman, Ronald L., College of Medicine, Clinical Assistant Professor, Anesthesiology, and joint appointments as Clinical Assistant Professor, Physical Medicine and Rehabilitation and Clinical Assistant Professor, Clinical Sciences, College of Health Sciences, 7/1/10 through 6/30/11.
- Nixon, Kimberly, College of Pharmacy, Assistant Professor, Pharmaceutical Sciences, 7/1/10 through 6/30/11.
- Noe, Steven D., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/10 through 6/30/11.
- Noehren, Brian W., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.
- O'Connor, Lisa O., College of Communications and Information Studies, Assistant Professor, Library and Information Science, 7/1/10 through 6/30/11.
- O'Donnell, Helen M., College of Medicine, Assistant Professor (part-time), Physical Medicine and Rehabilitation, 7/1/10 through 6/30/11.
- O'Neill, Amy M., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/10 through 6/30/11.

*Special Title Series

- Oakley, Oliver R., College of Health Sciences, Assistant Professor, Clinical Sciences, 7/1/10 through 12/31/10.
- Onifer, Stephen M., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 7/1/10 through 6/30/11.
- Orthober, Raymond J., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/10 through 6/30/11.
- Otero, Liliana, College of Dentistry, Assistant Professor (visiting), Oral Health Science, 7/1/10 through 6/30/11.
- Owen, Robert D., College of Medicine, Assistant Professor*, Neurosurgery, and joint appointment as Assistant Professor*, Pediatrics, 7/1/10 through 6/30/11.
- Painter, Travis A., College of Health Sciences, Assistant Professor (part-time), Clinical Sciences, 7/1/10 through 6/30/11.
- Parker, Trent S., College of Agriculture, Assistant Professor, Family Studies, 7/1/10 through 6/30/11.
- Parlanti, Sherry M., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.
- Parr, Jeffery W., College of Medicine, Assistant Adjunct Professor, Orthopaedic Surgery, 7/1/10 through 6/30/11.
- Pastrano, Joe A., College of Medicine, Assistant Professor (part-time), Radiology, and joint appointment as Assistant Professor (part-time), Orthopaedic Surgery, 7/1/10 through 6/30/11.
- Pate, James C., College of Medicine, Instructor (part-time), Ophthalmology and Visual Sciences, 7/1/10 through 6/30/11.
- Patel, Amit B., College of Medicine, Assistant Professor*, Surgery, 7/1/10 through 6/30/11.
- Patel, Atul J., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Patton, Dana J., College of Public Health, Assistant Professor, Health Services Management, 7/1/10 through 6/30/11.
- Paumi, Christian M., College of Medicine, Assistant Professor, Graduate Center for Toxicology, 7/1/10 through 6/30/11.
- Pawa, Rishi, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Pearce, Christy F., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Pearson, Kevin J., College of Medicine, Assistant Professor, Graduate Center for Nutritional Sciences, 7/1/10 through 6/30/11.
- Pellegrini, Richard A., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/10 through 6/30/11.
- Perez, Oscar O., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.
- Pfeifle, Andrea L., College of Medicine, Assistant Professor*, Family and Community Medicine, 7/1/10 through 6/30/11.
- Phillips, Hayden R., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Pierce, Heather H., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.

*Special Title Series

- Piercy, Jonathan A., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Podzielinski, Iwona, College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Policastri, Anne, College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Polisetty, Rayudu B., College of Medicine, Assistant Professor (part-time), Radiology, 7/1/10 through 6/30/11.
- Powdrill, Samuel G., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/10 through 6/30/11.
- Powell, David K., Graduate School, Assistant Adjunct Professor, Biomedical Engineering, 7/1/10 through 6/30/11.
- Prabhu, Pramod V., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/10 through 6/30/11.
- Primm, Daniel D., College of Medicine, Assistant Professor (part-time), Orthopaedic Surgery, 7/1/10 through 6/30/11.
- Pulliam, Joseph F., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, and joint appointment as Assistant Professor*, Pediatrics, 7/1/10 through 6/30/11.
- Pulmano, Calixto M., College of Medicine, Assistant Professor (part-time), Radiology, and joint appointment as Assistant Professor (part-time), Neurology, 7/1/10 through 6/30/11.
- Pushkarskaya, Helen N., College of Agriculture, Assistant Professor, Agricultural Economics, 7/1/10 through 4/14/11.
- Quandt, M. Denise, College of Medicine, Clinical Instructor, Behavioral Science, 7/1/10 through 6/30/11.
- Quarles, Clinton R., College of Agriculture, Instructor (part-time), Agricultural Economics, 8/16/10 through 5/15/11.
- Quelopana, Ana Maria, College of Nursing, Assistant Professor, 7/1/10 through 6/30/11.
- Rajagopalan, Navin, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Rajan, Lawrence, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Ramaiah, Dinesh, College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/10 through 6/30/11.
- Ray, Deborah S., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/10 through 6/30/11.
- Reams, Robin A., College of Medicine, Assistant Professor (part-time), Radiation Medicine, 7/1/10 through 6/30/11.
- Reddy, Arundathi M., College of Medicine, Clinical Assistant Professor, Anesthesiology, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Reese, Daniel P., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/10 through 6/30/11.
- Reynolds, Stephanie D., College of Communications and Information Studies, Assistant Professor, Library and Information Science, 7/1/10 through 6/30/11.

*Special Title Series

- Rice, Angela Y., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Richardson, April, College of Medicine, Assistant Professor*, Anatomy and Neurobiology, 7/1/10 through 6/30/11.
- Ricketts, Kristina D., College of Agriculture, Assistant Extension Professor, Community and Leadership Development, 7/1/10 through 6/30/11.
- Ricketts, Patricia L., College of Medicine, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Ritchie-Dabney, Rosalind, College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/10 through 6/30/11.
- Roberts, Ellen G., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Rock, Troy C., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/10 through 6/30/11.
- Rockich, Anna K., College of Medicine, Assistant Professor (part-time), Surgery, and joint appointment as Assistant Professor (part-time), Pharmacy Practice and Science, College of Pharmacy, 7/1/10 through 6/30/11.
- Rodgers, Toni G., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/10 through 6/30/11.
- Rodriguez, Carla M., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.
- Rogozinski, Zbigniew S., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/10 through 6/30/11.
- Rolen, Paul B., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Rone, Bryan K., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Rose, Stephanie A., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Rosenau, Jens, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Roussel, Guy, College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.
- Sadler, William M., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/10 through 6/30/11.
- Sailor, Janet L., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Saito, Hiroshi, College of Medicine, Assistant Professor, Surgery, 7/1/10 through 6/30/11.
- Salen, Samuel, College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Saltiel, Armando A., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Sandford, Jeremy, College of Business and Economics, Assistant Professor, Economics, 7/1/10 through 6/30/11.
- Sargent, Charles G., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.

*Special Title Series

Saunders, Marnie M., Graduate School, Assistant Professor, Biomedical Engineering, 7/1/10 through 6/30/11.

Scaggs, Deirdre A., Libraries, Librarian III, 7/1/10 through 6/30/11.

Schaninger, Takako S., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.

Scherbenske, James, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.

Schieffer, John K., College of Agriculture, Assistant Professor, Agricultural Economics, 7/1/10 through 6/30/11.

Schuer, Kevin M., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/10 through 6/30/11.

Schwartz, Murray, College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.

Schwartzberg, Daniel G., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.

Schwarze, Steven R., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, and joint appointment as Assistant Professor, Surgery, 7/1/10 through 5/15/11.

Scott, Leslie K., College of Nursing, Assistant Professor*, 7/1/10 through 6/30/11.

Scott, Maura L., College of Business and Economics, Assistant Professor, Management, 7/1/10 through 6/30/11.

Seamon, Jason P., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/10 through 6/30/11.

Seay, Jeffrey R., College of Engineering, Assistant Professor*, Chemical and Materials Engineering, 7/1/10 through 6/30/12.

Sedlacek, John D., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/10 through 6/30/11.

Selby, Lisbeth A., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/10 through 8/31/10.

Sellitti, Marguerite A., College of Medicine, Assistant Professor (part-time), Radiation Medicine, 7/1/10 through 6/30/11.

Seyler, Jane E., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/10 through 6/30/11.

Shah, Jignesh S., College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/10 through 6/30/11.

Shea, Paul, College of Business and Economics, Assistant Professor, Economics, 7/1/10 through 6/30/11.

Sherman, Paul M., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.

Shin, Hainsworth Y., Graduate School, Assistant Professor, Biomedical Engineering, 7/1/10 through 6/30/11.

Shinn, Jennifer B., College of Medicine, Assistant Professor*, Surgery, 7/1/10 through 6/30/11.

Shulman, Robert S., College of Medicine, Assistant Professor (part-time), Radiology, 7/1/10 through 6/30/11.

- Siebenthaler, Jennifer W., College of Business and Economics, Lecturer, Accountancy, 7/1/10 through 6/30/11.
- Simon, Robert E., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/10 through 6/30/11.
- Singh, Indrapal N., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 7/1/10 through 6/30/11.
- Slone, Kenneth M., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Smith, Jason M., College of Business and Economics, Assistant Professor, Management, 7/1/10 through 6/30/11.
- Soares, Neelkamal S., College of Medicine, Clinical Assistant Professor, Pediatrics, and joint appointment as Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Sokoloff, Ronald M., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Southwell, Candice P., College of Social Work, Assistant Professor, 7/1/10 through 6/30/11.
- Sparks, Andrew L., College of Law, Instructor (part-time), 1/1/10 through 6/30/10.
- Stainback, George A., College of Agriculture, Assistant Professor, Forestry, 7/1/10 through 6/30/11.
- Stakelin, Kristen R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Stanton, Donna D., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/10 through 6/30/11.
- Stava, Michael W., College of Medicine, Assistant Professor (part-time), Emergency Medicine, and joint appointment as Assistant Professor (part-time), Radiology, 7/1/10 through 6/30/11.
- Stefaniak, Karen A., College of Nursing, Assistant Adjunct Professor, 7/1/10 through 6/30/11.
- Steinke, Douglas, College of Pharmacy, Assistant Professor, Pharmacy Practice and Science, and joint appointment as Assistant Professor, Epidemiology, College of Public Health, 7/1/10 through 6/30/11.
- Stempel, Lisa R., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Stevens, Scott D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/10 through 6/30/11.
- Steyn, Pieter G., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/10 through 6/30/11.
- Stivers, Teresa, College of Social Work, Clinical Instructor, 7/1/10 through 6/30/11.
- Stoops, William W., College of Medicine, Assistant Professor, Behavioral Science, 7/1/10 through 6/30/11.
- Stowe, Christina J., College of Agriculture, Assistant Professor, Agricultural Economics, and joint appointment as Assistant Professor, Economics, College of Business and Economics, 7/1/10 through 6/30/11.
- Sunderam, Sridhar, Graduate School, Assistant Professor, Biomedical Engineering, 7/1/10 through 6/30/11.

- Sutphin, Stephanie, College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Swanson, Mark A., College of Public Health, Assistant Professor, Health Behavior, and joint appointment as Assistant Professor, Anthropology, College of Arts and Sciences, 7/1/10 through 6/30/11.
- Swayze, Sandra, College of Medicine, Assistant Professor (part-time), Radiation Medicine, 7/1/10 through 6/30/11.
- Symons, Thorburn B., College of Public Health, Assistant Professor, Gerontology, 7/1/10 through 6/30/11.
- Tang, Guiliang, College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/10 through 6/30/11.
- Teferra, Ethiopia, College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Thompson, John A., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Tidwell, James H., College of Agriculture, Assistant Adjunct Professor, Animal and Food Sciences, 7/1/10 through 6/30/11.
- Tindall, Michelle S., College of Social Work, Assistant Professor, 7/1/10 through 6/30/11.
- Todd Tzanetos, Deanna T., College of Medicine, Instructor (part-time), Pediatrics, 7/1/10 through 6/30/11.
- Tolbert, Thomas R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Tovar, Elizabeth G., College of Nursing, Assistant Professor*, 7/1/10 through 6/30/11.
- Tovar, Molly M., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.
- Tovar, Rudolph M., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Trimble, Atitaya T., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Tucker, Hugh M., College of Medicine, Assistant Research Professor, Pediatrics, 7/1/10 through 6/30/11.
- Unrine, Jason M., College of Agriculture, Assistant Research Professor, Plant and Soil Sciences, 7/1/10 through 6/30/11.
- Uppal, Arvinder, College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Uzuegbunam, Ikenna S., College of Business and Economics, Assistant Professor, Management, 7/1/10 through 6/30/11.
- Van Lanen, Steven, College of Pharmacy, Assistant Professor, Pharmaceutical Sciences, 7/1/10 through 6/30/11.
- VanCleave, Jeffrey T., College of Communications and Information Studies, Lecturer, Communication, 7/1/10 through 6/30/11.
- Vander Kooi, Craig W., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/10 through 6/30/11.
- Vanderpool, Robin C., College of Public Health, Assistant Professor, Health Behavior, 7/1/10 through 6/30/11.

- Varney, Jamie B., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Vincent, Leslie H., College of Business and Economics, Assistant Professor, Management, 7/1/10 through 6/30/11.
- Wagner, Kathleen D., College of Nursing, Lecturer, 7/1/10 through 6/30/11.
- Wahle, John P., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Wainscott, Justin K., College of Medicine, Instructor (part-time), Anesthesiology, 7/1/10 through 6/30/11.
- Walters, Cory G., College of Agriculture, Assistant Extension Professor, Agricultural Economics, 7/1/10 through 6/30/11.
- Wang, Changzheng, College of Agriculture, Assistant Adjunct Professor, Animal and Food Sciences, 7/1/10 through 6/30/11.
- Wang, Erming, College of Medicine, Assistant Research Professor, Neurology, 7/1/10 through 6/30/11.
- Wang, Qingding, College of Medicine, Assistant Research Professor, Surgery, 7/1/10 through 6/30/11.
- Wang, Qingjun, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/10 through 6/30/11.
- Wang, Shuxia, College of Medicine, Assistant Professor, Graduate Center for Nutritional Sciences, 7/1/10 through 6/30/11.
- Webb, Angela K., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Webb, Erin G., College of Agriculture, Assistant Adjunct Professor, Biosystems and Agricultural Engineering, 7/1/10 through 6/30/11.
- Webster, Keith J., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.
- Webster, Thomas C., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/10 through 6/30/11.
- Wehrman, Paul T., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.
- Wei, Yinan, College of Arts and Sciences, Assistant Professor, Chemistry, 7/1/10 through 6/30/12.
- Weisrock, David W., College of Arts and Sciences, Assistant Professor, Biology, 7/1/10 through 6/30/12.
- Welsh, J. Darlene, College of Nursing, Assistant Professor*, 7/1/10 through 6/30/11.
- Werner-Wilson, Tracey, College of Agriculture, Lecturer, Family Studies, 7/1/10 through 6/30/11.
- Whitesel, Heather L., College of Medicine, Clinical Assistant Professor, Surgery, and joint appointment as Clinical Assistant Professor, Orthopaedic Surgery, 7/1/10 through 6/30/11.
- Wiemann, Alfred H., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.
- Wilham, David H., College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/1/10 through 6/30/10.

*Special Title Series

- Wilkinson, Alan D., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Williams, Corrine M., College of Medicine, Assistant Professor, Obstetrics and Gynecology, and joint appointment as Assistant Professor, Health Behavior, College of Public Health, 7/1/10 through 6/30/11.
- Williams, John C., College of Public Health, Clinical Assistant Professor, Health Services Management, 7/1/10 through 6/30/11.
- Wilson, Jessica L., College of Nursing, Lecturer, 7/1/10 through 6/30/11.
- Wilson, John K., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Winchester, Kimberly S., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/10 through 6/30/11.
- Winstead, P. S., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Wise, James N., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Orthopaedic Surgery, 7/1/10 through 6/30/11.
- Wood, Nathan D., College of Agriculture, Assistant Professor, Family Studies, 7/1/10 through 6/30/11.
- Wright, Raymond D., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/10 through 6/30/11.
- Wrightson, Alan S., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 7/1/10 through 6/30/11.
- Wyatt, Susan H., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Wyse, Joseph W., College of Pharmacy, Assistant Adjunct Professor, Pharmaceutical Sciences, 7/1/10 through 6/30/11.
- Xu, Dongmei, College of Agriculture, Assistant Adjunct Professor, Plant and Soil Sciences, 7/1/10 through 6/30/11.
- Xu, Ren, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/10 through 6/30/11.
- Yang, Hsin-Sheng, College of Medicine, Assistant Professor, Graduate Center for Toxicology, 7/1/10 through 6/30/11.
- Younes, Abbas A., College of Medicine, Assistant Professor*, Surgery, and joint appointment as Assistant Professor*, Pediatrics, 7/1/10 through 6/30/11.
- Young, Michael H., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Yozwiak, John A., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Yu, Chen-Guang, College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 7/1/10 through 6/30/11.
- Yu, Guoqiang, Graduate School, Assistant Professor, Biomedical Engineering, 7/1/10 through 6/30/11.
- Yu, Jihai, College of Business and Economics, Assistant Professor, Economics, 7/1/10 through 6/30/11.

Zanjani, Faika, College of Public Health, Assistant Professor, Gerontology, and joint appointments as Assistant Professor, Psychiatry, College of Medicine and Assistant Professor, Health Behavior, 7/1/10 through 6/30/11.

Zayas-Colon, Vanessa M., College of Medicine, Assistant Professor (visiting), Radiology, 7/1/10 through 6/30/11.

Zhou, Changcheng, College of Medicine, Assistant Professor, Graduate Center for Nutritional Sciences, 7/1/10 through 6/30/11.

E. PROMOTIONS WITHOUT TENURE

Bartlett, Jennifer, Libraries, from Librarian IV, to Librarian III, 7/1/10 through 6/30/11.

Boyd, Douglas A., Libraries, from Librarian IV, to Librarian III, 7/1/10 through 6/30/11.

Henton, Marty, College of Fine Arts, from Lecturer, Art, to Senior Lecturer, Art, 7/1/10 through 6/30/12.

F. CHANGES

Iser, Alison, College of Medicine, from Clinical Assistant Professor, Family and Community Medicine, to Assistant Professor (part-time), Family and Community Medicine, 3/1/10 through 6/30/10.

Lensing, Janet R., College of Agriculture, from Instructor (temporary), Entomology, to Adjunct Instructor, Entomology, 7/1/10 through 6/30/11.

Nuckolls, Karen A., Libraries, from Librarian III, to Librarian III, College of Law, 7/1/10 through 6/30/11.

Reams, Robin A., College of Medicine, from Assistant Professor (part-time), Radiation Medicine, to Clinical Assistant Professor, Radiation Medicine, 8/1/10 through 6/30/11.

Robbins, Susan N., College of Medicine, from Assistant Professor (part-time), Pediatrics, to Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.

Swanson, Jason R., College of Agriculture, from Lecturer, Nutrition and Food Science, to Assistant Professor, Nutrition and Food Science, 8/15/10 through 6/30/11.

Valentin, Ryan A., Libraries, from Librarian IV, to Librarian IV, College of Law, 7/1/10 through 6/30/11.

G. TERMINAL REAPPOINTMENTS

Al-Sabbagh, Mohanad, College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/10 through 6/30/11.

Ali, Kamilah S., College of Medicine, Assistant Research Professor, Graduate Center for Nutritional Sciences, 7/1/10 through 3/31/11.

Allen, Mary E., College of Health Sciences, Clinical Assistant Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.

Ashley, Jeffrey, College of Engineering, Lecturer, Electrical and Computer Engineering, 7/1/10 through 6/30/11.

Boyanovsky, Boris B., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/10 through 6/30/11.

*Special Title Series

- Brown, Stephen A., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Burt, John B., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Caban-Holt, Allison M., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/10 through 6/30/11.
- Cai, Lei, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Campbell, Kim K., College of Health Sciences, Lecturer, Clinical Sciences, 7/1/10 through 6/30/11.
- Campbell, Sarah E., College of Health Sciences, Clinical Assistant Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.
- Campion, Jeffrey J., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Chen, Gang, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Cole, Jennifer E., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/10 through 6/30/11.
- Collett, DeShana G., College of Health Sciences, Lecturer, Clinical Sciences, 7/1/10 through 6/30/11.
- Cook-Newell, Margaret E., College of Agriculture, Lecturer, Nutrition and Food Science, 7/1/10 through 6/30/11.
- Danner, Deborah D., College of Medicine, Assistant Research Professor, Behavioral Science, and joint appointment as Assistant Research Professor, Family and Community Medicine, 7/1/10 through 6/30/11.
- Darbee, Joan C., College of Health Sciences, Lecturer, Rehabilitation Sciences, 7/1/10 through 6/30/11.
- Dawson, Pangela, College of Health Sciences, Lecturer, Clinical Sciences, 7/1/10 through 6/30/11.
- Dingrando, Kelly J., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Finlin, Brian S., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Fraleigh, Christian S., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Friedrich, Andrea M., College of Arts and Sciences, Lecturer, Psychology, 7/1/10 through 6/30/11.
- Hagerman, Ellen C., College of Health Sciences, Clinical Assistant Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.
- Hancock Jones, Laura B., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Haney, Charlotte, College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Hannemann, Jens, College of Engineering, Assistant Research Professor, Electrical and Computer Engineering, 7/1/10 through 6/30/11.

Hannemann, Regina, College of Engineering, Lecturer, Electrical and Computer Engineering, 7/1/10 through 6/30/11.

Haynes, Kathryn, College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/10 through 6/30/11.

Jahangiri, Anisa, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/10 through 6/30/11.

Lile, Joshua A., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/10 through 6/30/11.

Maginnis, M. Abbot, College of Engineering, Lecturer, UK Center for Manufacturing, 7/1/10 through 6/30/11.

Marksberry, Phillip W., College of Engineering, Lecturer, UK Center for Manufacturing, 7/1/10 through 6/30/11.

Panchatcharam, Manikandan, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/10 through 6/30/11.

Patel, Rita R., College of Health Sciences, Clinical Assistant Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.

Paudel, Kalpana S., College of Pharmacy, Assistant Research Professor, Pharmaceutical Sciences, 7/1/10 through 6/30/11.

Pepper, Kristy A., College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 7/1/10 through 6/30/11.

Perry, Stephen D., College of Agriculture, Lecturer, Nutrition and Food Science, 7/1/10 through 6/30/11.

Pollock, Richard A., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/10 through 6/30/11.

Rolf, Cristin M., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.

Sanders, Trevor T., College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/10 through 6/30/11.

Schwabe, Stephanie J., College of Arts and Sciences, Lecturer, Earth and Environmental Sciences, 7/1/10 through 6/30/11.

Scott, Ruth A., College of Agriculture, Lecturer, Family Studies, 7/1/10 through 6/30/11.

Shannon, Lisa M., College of Medicine, Assistant Research Professor, Behavioral Science, and joint appointment as Assistant Research Professor, College of Social Work, 7/1/10 through 6/30/11.

Shi, Geng-Xian, College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 7/1/10 through 6/30/11.

Shridas, Preetha, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/10 through 6/30/11.

Slone, Sherry, College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/10 through 6/30/11.

Stephenson, Tammy J., College of Agriculture, Lecturer, Nutrition and Food Science, 7/1/10 through 6/30/11.

Stone, Daria N., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/10 through 6/30/11.

Studts, Christina R., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/10 through 6/30/11.

- Swanson, Jason R., College of Agriculture, Lecturer, Nutrition and Food Science, 7/1/10 through 8/14/10.
- Tang, Xiaoqing, College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 7/1/10 through 6/30/11.
- Theiss, B. L., College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 7/1/10 through 6/30/11.
- Unal, Resat, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Walker, James R., College of Medicine, Assistant Research Professor, Behavioral Science, and joint appointments as Assistant Research Professor, Psychiatry and Assistant Research Professor, College of Social Work, 7/1/10 through 6/30/11.
- White, Carol R., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/10 through 6/30/11.
- White, Keith, College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Young, John C., College of Engineering, Assistant Research Professor, Electrical and Computer Engineering, 7/1/10 through 6/30/11.
- Youse, Kathleen, College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/10 through 6/30/11.

H. LEAVES OF ABSENCE

- Cheng, Fuhua, College of Engineering, Professor (with tenure), Computer Science, Leave without pay, 7/1/10 through 6/30/11.
- Clarke, William H., College of Fine Arts, Associate Professor* (with tenure), Music, to rescind Sabbatical leave at full salary, 7/1/10 through 12/31/10.
- Fahringer, David A., College of Health Sciences, Associate Professor* (with tenure), Clinical Sciences, Sabbatical leave without pay, 8/1/10 through 7/31/12.
- Gottlob, Lawrence, College of Arts and Sciences, Associate Professor (with tenure), Psychology, Special leave at full salary, 7/1/10 through 6/30/12.
- Hans, Jason D., College of Agriculture, Associate Professor (with tenure), Family Studies, Sabbatical leave at half salary, 7/1/10 through 6/30/11.
- Lee, Brad D., College of Agriculture, Associate Extension Professor (with tenure), Plant and Soil Sciences, Scholarly fellowship leave, 9/1/10 through 2/28/11.
- Lindlof, Thomas R., College of Communications and Information Studies, Professor (with tenure), Journalism and Telecommunications, Sabbatical leave at full salary, 8/16/10 through 12/31/10.
- Nickl, Nicholas J., College of Medicine, Professor* (with tenure), Internal Medicine, Sabbatical leave at full salary, 9/1/10 through 4/30/11.
- Payne, Frederick A., College of Agriculture, Professor (with tenure), Biosystems and Agricultural Engineering, from Sabbatical leave at half salary, 4/1/10 through 3/31/11, to Sabbatical leave at half salary, 7/1/10 through 6/30/11.
- Perreiah, Alan, College of Arts and Sciences, Professor (with tenure), Philosophy, Sabbatical leave at two-thirds salary, 7/1/10 through 6/30/11.

*Special Title Series

- Rabel, Robert, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, from Sabbatical leave at full salary, 1/1/09 through 6/30/09, to Sabbatical leave at full salary, 1/1/11 through 6/30/11.
- Real, Kevin J., College of Communications and Information Studies, Associate Professor (with tenure), Communication, Sabbatical leave at full salary, 8/16/10 through 12/31/10.
- Santi, Enrico, College of Arts and Sciences, Professor (with tenure), Hispanic Studies, Scholarly fellowship leave, 1/1/11 through 6/30/11.
- Schwemm, R., College of Law, Professor (with tenure), Sabbatical leave at half salary, 7/1/09 through 6/30/10.
- Swanberg, Jennifer E., College of Social Work, Associate Professor (with tenure), and joint appointments as Associate Professor (without tenure), Behavioral Science, College of Medicine, Associate Professor (without tenure), Health Behavior, College of Public Health and Associate Professor (without tenure), Management, College of Business and Economics, Sabbatical leave at full salary, 1/1/11 through 5/15/11.
- Welling, Sarah N., College of Law, Professor (with tenure), Sabbatical leave at full salary, 1/1/10 through 6/30/10.

I. RESIGNATIONS

- Blechner, Michael D., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, effective 7/31/10.
- Brueckner, Jennifer K., College of Medicine, Associate Professor* (with tenure), Anatomy and Neurobiology, and joint appointment as Associate Professor* (without tenure), Oral Health Science, College of Dentistry, effective 6/30/10.
- Cross, Tammy N., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 6/30/10.
- Erwin, Anne B., College of Social Work, Clinical Instructor, effective 6/30/10.
- Han, Qiong, College of Engineering, Assistant Research Professor, Computer Science, effective 6/30/10.
- Humphries, Laurie L., College of Medicine, Professor (with tenure), Psychiatry, effective 7/31/10.
- Iyengar, Deepak, College of Business and Economics, Assistant Professor, Management, effective 6/30/10.
- Jennings, Stuart C., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 6/30/10.
- Johnson, Cheryl A., College of Agriculture, Assistant Professor, Family Studies, effective 6/30/10.
- Klein, Gordon L., College of Medicine, Clinical Professor, Pediatrics, effective 6/30/10.
- Knowles, Justin L., College of Medicine, Clinical Assistant Professor, Emergency Medicine, effective 4/30/10.
- Lain, Kristine Y., College of Medicine, Associate Professor* (with tenure), Obstetrics and Gynecology, effective 4/30/10.
- Morgan, James S., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 6/30/10.

*Special Title Series

- Roseman, Mary G., College of Agriculture, Associate Professor (with tenure), Nutrition and Food Science, effective 6/30/10.
- Shearer, Mary E., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 6/30/10.
- Shimojo, Masahito, College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, effective 5/21/10.
- Stines, Elsie M., College of Medicine, Clinical Instructor, Pediatrics, and joint appointment as Clinical Instructor, Nursing, effective 6/30/10.
- Sullivan, Joshua T., College of Dentistry, Clinical Assistant Professor, Oral Health Science, effective 5/14/10.
- Syed, Mushabbar A., College of Medicine, Associate Professor* (without tenure), Internal Medicine, and joint appointment as Associate Professor* (without tenure), Radiology, effective 7/31/10.
- Yaps, Juan F., College of Dentistry, Associate Professor* (with tenure), Oral Health Practice, and joint appointment as Assistant Professor* (without tenure), Radiology, College of Medicine, effective 6/30/10.

*Special Title Series

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Mahaney, Benjamin, University Hospital, Registration and Admitting Director, Admitting and Registration Services/UKHC, effective 04/13/10.

Turner, Benjamin, University Hospital, Clinical Staff Pharmacist, Pharmacy Services/Chandler, effective 05/09/10.

B. CHANGES

Campbell, Justin, University Hospital, from Cardiac Services Director, Hospital Diagnostic Services to Hospital Director Assistant/UKHC, Hospital Administration, effective 01/17/10.

Page, Cecilia, University Hospital, from Computing Services Director/UKHC, EVPHA Information Technology to Information Technology Executive Director/UKHC, EVPHA Information Technology, effective 02/28/10.

C. RETIREMENTS

Farrar, Donna B., Pastoral Care Dir./UKHC, after 17 years and 7 months of consecutive service, under AR 3.1 Section VI.A, effective 04/01/10.

Freidhoff-Bohman, Gail C., Physical Therapist, after 16 years and 11 months of consecutive service, under AR 3.1, Section VI.A, effective 07/04/10.

Hilvers, James M., Information Technology Manager III/UKHC, after 12 years and 2 months of consecutive service, under AR 3.1 Section VI.B, effective 06/10/10.

King, Robert L., Pharmacy Technician, after 44 years and 2 months of consecutive service, under AR 3.1, Section VI.A, effective 04/30/10.

Mercier, Delphus E., Information Systems Manager/Clinic Labs, after 26 years of consecutive service, under AR 3.1 Section VI.A, effective 05/30/10.

Scowby, Gillian D., Physical Therapist, after 17 years and 2 months of consecutive service, under AR 3.1 Section VI.B, effective 05/07/10.

Wilson, Alice M., Nurse Director/University Health Svcs., after 38 years and 7 months of consecutive service, under AR 3.1 Section VI.B, effective 03/18/10.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Lillich, Brenna, University Hospital, Revenue Management Charge Capture Manager, Managed Care/Chandler, effective 02/15/10.

B. CHANGES

Rogers, Leslie, University Hospital, from Cancer Services Director/UKHC, Patient Accounts Services to Patient Accounts Manager Senior/UKHC, Patient Accounts Services, effective 04/25/10.

Anderson, Seth, University Hospital, from Sr. Systems Analyst Programmer, UK HealthCare CE Central to Information Technology Manager II, UK HealthCare CE Central, effective 04/11/10.

Teasdale, Carla, University Hospital, from Clinical Systems Coordinator/UKHC, EVPHA Information Technology to Clinical Analyst Senior/UKHC, EVPHA Information Technology, effective 02/28/10.

PR 3

Office of the President
June 8, 2010

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE COLLEGE OF FINE ARTS

Recommendation: that Dr. Michael S. Tick. be appointed as Dean of the College of Fine Arts, effective July 1, 2010.

Background: Dr. Robert Shay resigned as Dean of the College of Fine Arts to return to the faculty. A search committee was appointed to identify and recommend qualified persons for the position of Dean of the College of Fine Arts. The search committee considered approximately 45 candidates and three finalists were interviewed on campus. Dr. Tick received enthusiastic support within the college and from the search committee.

Dr. Tick is currently the Chair of the Department of Theatre at Louisiana State University (LSU) and the producing artistic director of Swine Palace, a non-profit, professional company serving greater Baton Rouge and supporting the educational mission of the department. Dr. Tick received his master's degree from Northwestern University and his doctorate from New York University. He studied acting with Sanford Meisner and Bill Hickey, and directing with Richard Schechner, Spalding Gray, and Adrian Hall.

Before joining LSU in 1999, Tick served on the planning committee that established the Virginia Governor's School for the Arts (GSA), a regional secondary arts school affiliated with the Virginia Stage Company, the Virginia Opera, the Virginia Symphony, and the Virginia Ballet Theatre. As founding chair of the GSA Department of Theatre, he served on the faculty of Old Dominion University. Dr. Tick also served on the faculties of the University of Rhode Island, Northwestern University, Harvard University, Rend Lake College (Illinois), the University of the Virgin Islands (St. Thomas), and Bretton Hall College of the University of Leeds.

Dr. Tick has been associated with the Trinity Repertory Company, the Newport Music Festival and the Newport Jazz Festival, where he worked in company management, production, marketing, and development. His 2007 production of "The Heidi Chronicles" was produced at the Shanghai Dramatic Arts Centre and the Beijing Central Academy of Drama. This summer, his production of "Antigone" will be produced at the Young Theatre Festival in Seoul, Korea. Dr. Tick is a member of AEA (Actors' Equity Association) and SSDC (Society of Stage Directors and Choreographers).

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

Office of the President
June 8, 2010

Members, Board of Trustees:

APPOINTMENT OF VICE PRESIDENT FOR STUDENT AFFAIRS

Recommendation: that Dr. Robert C. Mock, Jr. be appointed as Vice President for Student Affairs, effective July 1, 2010.

Background: When Dr. Patricia S. Terrell stepped down, a search committee was appointed to identify and recommend qualified persons for the position of Vice President for Student Affairs. Approximately 70 candidates were considered by the search committee and four finalists were interviewed on campus. Dr. Mock received enthusiastic support across the university and was strongly supported by the search committee.

Dr. Mock earned his bachelor's in industrial engineering at Southern Illinois University, and his master's in interpersonal and organization communication and doctorate in higher education administration at the University of Arkansas at Little Rock. In 2009, he attended Harvard University's Institute for Management and Leadership in Education.

Dr. Mock began his career in higher education at the University of Arkansas as a Continuing Education Program Coordinator in 1995, but his talents were soon tapped as he was named Associate Dean in the College of Professional Studies in 2001. In three years, he was given even more responsibility as the Student Services Division Chief and Director of Recruitment and Retention. Dr. Mock was named Assistant Vice Chancellor for Student Affairs in 2007, then Associate Vice Provost for Student Affairs, a post he holds today. He also serves in the Arkansas Army National Guard as a hospital administrator officer. He has remained a faculty instructor throughout his tenure as a student affairs administrator.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 5

Office of the President
June 8, 2010

Members, Board of Trustees:

REAPPOINTMENT TO BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that the Board of Trustees approve the reappointment of Hollie Swanson (faculty member) to the Board of Directors of the University of Kentucky Research Foundation (UKRF) for a three-year term ending June 30, 2013.

Background: In accordance with the Bylaws, members of the Board of Directors of the University of Kentucky Research Foundation are appointed by the Board of Trustees to serve three-year terms. The Nominating Committee of the UKRF Board has recommended and the UKRF Board of Directors has endorsed the reappointment of Hollie Swanson.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

Hollie I. Swanson, Ph.D.

Dr. Swanson is a Professor in the Department of Molecular and Biomedical Pharmacology. She received her MS from Oregon State University, College of Agriculture, Food Science, and Ph.D. in Food Science/Toxicology from Purdue University, College of Agriculture. She was a postdoctoral fellow at Michigan State University, College of Agriculture, Biochemistry and Northwestern University, College of Medicine, Molecular Pharmacology and Biological Chemistry before coming to the University of Kentucky, College of Medicine in 1995. She has been continuously funded since 1995 by the National Institutes of Health and has also received funding from the American Lung Association, the American Institute for Cancer Research and the American Cancer Society.

Dr. Swanson's research is focused on understanding how environmental contaminants contribute to chronic human diseases. Her ultimate goal is to use that knowledge to develop therapies that would counter these adverse effects. Her specific area of interest is that of the aryl hydrocarbon receptor (AHR) signaling pathway that is known to be involved in cancer, gene regulation and is thought of as an environmental sensor. Chemicals that activate the AHR are present in air pollution, our diet and are probably formed within our own bodies. The goal of her currently funded project, a collaboration with Dr. Kyung-Bo Kim (Associate Professor, College of Pharmacy) is to develop novel drugs that block the AHR. Her second project, performed in collaboration with Dr. Razvan Arsenescu (Associate Professor, Internal Medicine), is focused on understanding how the AHR contributes to the severity of inflammatory bowel disease. This study is being performed using molecular approaches, laboratory animals and samples obtained from patients at the University of Kentucky Chandler Hospital. We hypothesize that the AHR "senses" the presence of bacteria in the gut and in this manner, regulates the inflammatory response. We further hypothesize that blocking the AHR using our novel drugs (i.e., UK Patent Case # 1436) will alleviate the severity of inflammatory bowel disease. Successful outcomes of this research will not only lead to the development of new therapies to treat inflammatory bowel disease, but will also enhance our ability to determine the appropriate therapies to be used for the individual patient.

In addition to teaching and mentoring students at UK, Dr. Swanson has served as a grant reviewer for DOD, NIH, NIEHS and the National Science Foundation. She has also served on the Institute of Medicine, National Academies of Sciences Committee to Review the Health Effects of Vietnam Veterans and is currently serving on the Kentucky Equine Drug Research Council. She is on the editorial board of *Toxicology and Applied Pharmacology* and is a member of the American Association for Cancer Research, American Association of Medical Colleges, Society of Toxicology (Member, Research Committee) and the American Society for Pharmacology and Experimental Therapeutics (Chair-Elect, Drug Metabolism Division). She is currently the Senate Council Chair of the University of Kentucky Senate.

PR 6

Office of the President
June 8, 2010

Members, Board of Trustees:

NAMING OF UNIVERSITY PROPERTY

Recommendation: that the Board of Trustees approve changing the name of the Livestock Disease Diagnostic Center building on Newtown Pike to the University of Kentucky Veterinary Diagnostic Laboratory.

Background: This name change was requested by College of Agriculture Dean Scott Smith and approved by the LDDC Advisory Committee. In his request, Dean Smith cited the following justification to change the name from the current name—*Livestock Disease Diagnostic Center*:

- *Livestock* implies food animals only.
- *Disease* has a negative connotation (most labs around the country have dropped this word in their name).
- *Diagnostic Center* suggests it is a clinic, thereby encouraging the public to bring live animals to the doors.
- Current name does not state:
 - that veterinarians are on staff — it is important that the public know that this is a veterinary service facility, and
 - that this is a diagnostic laboratory — it is important that the public know that this is a diagnostic laboratory, not a clinic.

The Advisory Committee on Naming University Property has reviewed the request and found it to be in compliance with university policy A.R. 9:5 and recommends approval of this name designation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 7

Office of the President
June 8, 2010

Members, Board of Trustees:

APPROVAL OF PATENT LICENSE AGREEMENT

Recommendation: that the Board of Trustees approve the draft attached license agreement between the University of Kentucky Research Foundation (UKRF) and Brockman-Hasting, LLC, a limited liability company which is owned in part by Board of Trustees member, E. Britt Brockman. The License Agreement grants patent rights for an implantable intraocular pressure sensor invented through the collaborative efforts of Dr. Brockman and University of Kentucky employees.

Background: Patent license agreements between UKRF and UK employees are regularly approved by the Vice President for Commercialization and Economic Development without any involvement of the Board. In this highly unusual case, this license agreement is with a member of the Board. For “purchasing” matters, Board members are prohibited from contracting with the University except under strict legal conditions. A Board member contracting with the University raises concerns of conflict of interest or an appearance of a conflict of interest. Governing Regulation I.D.2.1. provides a procedure for an exception whereby employees may contract with the University and avoid or manage any conflict of interest. It is logical that the same procedure should be applied to this situation. Pursuant to the procedure, the University Ethics Committee evaluates five criteria. If the evaluation determines that there is no conflict or that the conflict or the appearance of a conflict may be managed, the Ethics Committee makes a recommendation to the President. Based on an evaluation of the Ethics Committee’s recommendation, the President may make a recommendation that the Board approve the relationship.

In this case, the Ethics Committee has determined the following:

- that this patent license agreement is in the best interest of the University;
- that Dr. Brockman has taken all actions necessary to avoid any conflict of interest and any appearance of a conflict of interest;
- that this agreement is not subject to Kentucky Revised Statutes Chapter 45A;

- that this agreement does not present a conflict with Dr. Brockman's duties as a Trustee, and his recusing himself from this discussion and vote further minimizes any conflict; and
- that the nature of this contract and the nature of Dr. Brockamn's interest in this contract has been, and is being, fully disclosed to the University community, and to the University officials making the detailed decisions related to the contract.

A copy of University Ethic Committee's opinion and recommendation, as well as the draft License Agreement are attached.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

May 19, 2010

MEMORANDUM

TO: Lee T. Todd, Jr., President

FROM: T. Lynn Williamson, University Ethics Committee, Chair

RE: RECOMMENDATION

FACTS:

Dr. E. Britt Brockman, a member of the UK Board of Trustees and three UK employees in the College of Engineering, are co-inventors on a recently disclosed invention. The University of Kentucky Research Foundation (UKRF) and Dr. Brockman have filed for a provisional patent regarding the invention, an implantable intraocular pressure sensor. Dr. Todd Hastings (one of the co-inventors) and Dr. Brockman have a patent pending and have formed Brockman-Hastings LLC (hereinafter LLC) to commercialize the invention. The LLC and UKRF have negotiated the draft terms of a patent license agreement.

APPLICABLE REGULATIONS:

Governing Regulation I.D.2.(I) Financial Advantage

"Members of the University community must exhibit personal integrity, honesty and responsibility in all actions. Official position or office shall not be used to obtain financial gain or benefits for oneself or members of one's family or business associates. Any action that creates the appearance of impropriety should be avoided. Except as specifically approved by the Board, purchases and contracts shall not be made with an employee of the University of Kentucky for any item of supply, equipment, or service, nor may an employee have any interest, directly or indirectly, in any purchase made by the University of Kentucky...."

Further, at the end of "Financial Advantage," there is a section whereby an exception for an unusual case concerning financial interest may be approved by the Board of Trustees. The language notes that one seeking approval of an

exception “under this section shall first make an application to the University Ethics Committee by submitting in writing a full disclosure of all aspects of his or her relationship with the contracting company or business. The Ethics Committee shall make a recommendation to the President, who shall forward to the Board the Committee’s recommendation together with his or her recommendation.”

The GR’s language permitting the exception continues, “In recommending approval of a contractual relationship, the Committee shall determine that:

- (i) the contractual relationship is in the best interest of the University;
- (ii) the employee has taken whatever actions are necessary to avoid any conflict of interest or any appearance of a conflict of interest;
- (iii) if the conflict is subject to the provision of KRS Chapter 45A, the employee’s contract shall be the lowest price bid or otherwise provides the best value to the University;
- (iv) the employee’s interest in the contract does not present a conflict with the employee performing his or her job; and,
- (v) the nature of the contract and the nature of the employee’s interest in the contract or business shall be fully disclosed to the University community by as broad communications as feasibly possible.

Action taken by the Board shall be in open session, by affirmative vote. The action item shall fully disclose the nature of the conflict, and the reasons for the action.”

Governing Regulation I. E.

E. Kentucky Ethics Statutes

1. Unless specifically approved by the Board, no employee of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the two (2) faculty members and the one (1) staff employee who are members of the Board of Trustees. (KRS 164.131)

2. No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (KRS 45A.340 (7))

DISCUSSION:

The University's Governing Regulation I.D.1. and I.D.2 basically repeat Kentucky Revised Statutes. GR I.D.1 is language that repeats language in KRS Chapter 164.131(7), and GR I.D.2 repeats language in KRS Chapter 45A.340(7).

GR I.D.2 prohibits a "...member of a board of trustees..." from having "...an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A." It is obvious that the prohibition against a Board of Trustee member contracting with UK is a prohibition that addresses contracts which are subject to KRS Chapter 45A. Chapter 45A is the legislation that is known as the "Kentucky Model Procurement Code" and is, thus, the chapter that enumerates "...the law governing purchasing by the Commonwealth." Chapter 45A applies "...to every expenditure of public funds by the Commonwealth..." Since the proposed contract in this case is a contract to permit use of an invention made at the University, and is neither a contract for procurement/purchasing, nor a contract for the expenditure of public funds, it is the conclusion of the Ethics Committee that Governing Regulation I.E.2 is not applicable to this fact situation.

Using the exact language from KRS Chapter 164.131(7), GR I.E.1 prohibits an "employee" from having any direct or indirect interest in a contract with the University "...for the sale of property, material, supplies, equipment and services...." The statute clearly applies to "employees" whereby an employee might contract to sell and the University to purchase property, material, supplies, or services. The statute even enumerates an exception for employment contracts (sic) by the employee members of the Board (faculty and staff). Since the word "trustee" does not appear in subsection (7) and since Trustees are not employees, it also seems obvious that this regulation does not apply to members of the Board of Trustees. However, using the same analysis stated above, this statute still does not apply to the licensing of a invention made at the University.

GR I.D.2.(I) is generally applicable to "members of the University community." Earlier in this regulation (immediately following the title, "Code of Conduct") members of the University community are "...trustees, executive officers, faculty, staff, and other individuals employed by the University, those using University resources or facilities, and volunteers and representatives acting as agents of the University (collectively "University members")" Clearly, the term "University members" applies to members of the Board of Trustees.

Governing Regulation I.D.2 (I) begins by stating that all University Members are held to the standard of exhibiting "...personal integrity, honesty and responsibility...." Further it provides that a University member may not use one's "official position" to "obtain financial gain or benefits for oneself or members of one's family...."

The second half of this paragraph addresses the same issues as are addressed later in GR I.E., prohibiting an employee from having any direct or indirect

interest in a contract with the University, whereby University funds are expended. This prohibition generally does not prohibit employees from purchasing from the University as long as there is no possible conflict of interest or use of one's position to obtain financial gain or benefits. Thus, it is a regular course of business that employees are permitted, along with, and under the same conditions and pricing as the public, to purchase surplus equipment; also faculty inventors form start-up companies and regularly license technology from the University.

Further, the second half of this paragraph provides a procedure whereby an employee may seek an exception to the regulation and thus be a party to a contract with the University. The exception procedure mirrors language in KRS 164.367 whereby a board of trustees or regents may permit an employee to have an interest in a contract. Officials at UK sought this exception to the statute from members of the General Assembly after dealing with a couple of cases whereby research employees owned invented or patented products that could produce significant contracts/grants to the University.

The wording of the regulation appears to provide that there is a process for an exception for an employee having a contract with the University but not a process for other University members to seek an exception to the financial interest section of this Governing Regulation. It is the Ethics Committee's opinion that it is only logical that, if there is an exception process for employees, the same exception process should be available for other members of the University community who would otherwise be prohibited from proceeding with this relationship solely because the person's official position or office could be used or could appear to have been used to gain a financial advantage. Therefore, the Ethics Committee will use the exception criteria to recommend or not recommend an exception in this case. This Committee will review these five criteria and make a recommendation to President Todd.

1. The first criteria is that the contractual relationship is in the best interest of the University. Dr. Brockman had the initial concept for this invention. He could have taken his concept anywhere, including possible co-inventors at the University of Louisville where he went to Medical School and others in the city where he resides. The mission of UK's Office for Commercialization & Economic Development is to grow Kentucky's economy through the commercialization of UK research, university-industry partnerships, the development of new and existing businesses and the creation of jobs. The Ethics Committee believes that the concept of a partnership and patent license agreement in this case is consistent with the mission of this area of Research and clearly fits the concept of being in the best interest of the University.

2. The second criteria is that the University member (Trustee Brockman) has taken whatever actions are necessary to avoid any conflict of interest or any appearance of a conflict of interest. Dr. Leonard E. Heller, Vice President for

Commercialization and Economic Development, is responsible for the commercialization of UK research, university-industry partnerships, and the development of new and existing businesses. In his vice president role, Dr. Heller, in conjunction with the advice of others, is responsible for negotiating agreements such as this draft patent license agreement. Dr. Heller reports to the Ethics Committee that the rate in the LCC-UKRF agreement is the “market rate” and that this agreement is “mutually desirable”. Of course, Dr. Heller states that he was fully aware that he was negotiating an agreement with a member of the Board of Trustees; Dr. Heller reports that there was no influence and no impropriety or no appearance of influence or impropriety by the BOT member during the negotiation, that the transaction was above board, and that the agreement is similar to other such agreements that might be negotiated with any other member of the public. Furthermore, you, as President and Board members are well aware that the University (acting through its agent UKRF) routinely licenses inventions to faculty-based start-up companies. The Ethics Committee concludes that Trustee Brockman and UK officials have taken appropriate actions to avoid conflicts of interest and appearances of conflicts of interest and this situation is similar to the regular issuance of patent license agreements.

3. The third criteria is, if the conflict is subject to the provision of KRS Chapter 45A, the University member's contract shall be the lowest price bid or otherwise provide the best value to the University. As noted earlier, the Committee has concluded that this contract is not one for procurement/purchase and is not subject to Chapter 45A.

4. The fourth criteria is that the employee's interest in the contract does not present a conflict with the employee performing his or her job. Dr. Brockman is not an employee at the University. He serves as a trustee here at the University with fiduciary and other duties as a trustee. To avoid a conflict, the Ethics Committee recommends that Dr. Brockman recuse himself from all discussion of this recommendation for an exception with all other members of the Board as well from voting on this matter when it is on the Board's agenda. With Dr. Brockman's concurrence with this recommendation, the Committee believes that any conflict with his job duties is minimized.

5. The fifth and final criteria is that the nature of the contract and the nature of the University member's interest in the contract or business shall be fully disclosed to the University community by as broad communications as feasibly possible. (a) This contract and the fact that a Board of Trustees member is involved will receive wide-spread notice as an agenda item at a Board meeting. (b) In addition to that notice, since Board meetings are subject to the Kentucky Open Meetings law, the media always covers the Board meetings (c) Actions, including the approval of this matter, taken by the Board are contained in the verbatim minutes that appear on the Board web site (www.uky.edu/Trustees). (d) The UK Public Relations Office has agreed it will issue a media release because, to the knowledge of all involved, this is the first time a Board member

has ever co-invented and patented an invention with University employees; Public Relation and the Committee believe this is a very news-worthy event. The Committee concludes that all of these actions will sufficiently and fully disclose Dr. Brockman's interest in this contract.

CONCLUSION and RECOMMENDATION:

It is the opinion of the Ethics Committee that the appropriate procedure for seeking an exception for a Board member contracting with the University is the criteria set forth in GR I.D.2.(I). Having reviewed this criteria and the facts of this case, this Committee concludes that Board Member Brockman has met the required criteria for an exception under the Governing Regulation, "Ethical Principles and Code of Conduct." This Committee recommends that you forward a recommendation to the Board of Trustees that they approve the requested contractual arrangement as per the attached draft terms of a patent license agreement.

Attachment

- C: Dr. E. Britt Brockman, Member, Board of Trustees
Dr. Len Heller, Vice President for Commercialization and Economic Development
Members of the Ethics Committee:
Jeannine Blackwell, Dean, The Graduate School and Associate Provost for Academic Administration
Judith Lesnaw, Professor, Biology Department, College of Arts & Sciences & University Senate Representative
David Melanson, Public Relations Office & Staff Senate Representative
Brett Short, Chief Compliance Officer, UK HealthCare
Jack Supplee, Director, Administrative & Fiscal Affairs, Office of the Vice President for Research, Associate Director & Secretary for UK Research Foundation
Kim Wilson, Associate Vice President for Human Resources
Joseph Reed, Senior Director, Internal Audit
Bill Harris, Director of Purchasing

DRAFT

LICENSE AGREEMENT

THIS AGREEMENT, made and entered into this ____ day of _____, 2010, (the "Effective Date") by and between the University of Kentucky Research Foundation, a corporation duly organized and existing under the laws of the Commonwealth of Kentucky and having its principal office at Lexington, Kentucky, U.S.A. (hereinafter "UKRF"), and Brockman-Hastings, LLC, a limited liability company duly organized under the laws of Kentucky and having its principal office at 6003 Two Springs Lane, Louisville, Kentucky U.S.A. (hereinafter "LICENSEE").

WITNESSETH

WHEREAS, UKRF, jointly with E. Britt Brockman or his assigns, owns certain "Patent Rights" (as said term is later defined herein) relating to an implantable intraocular pressure sensor;

WHEREAS, UKRF desires to have the Patent Rights utilized in the public interest and is willing to grant a license of its rights in the Patent Rights; and

WHEREAS, LICENSEE has represented to UKRF that the LICENSEE has contacts in the ophthalmic industry which may be utilized to finalize a design of "Licensed Products" (as said term is later defined herein) embodying the Patent Rights and to jointly develop and commercialize Licensed Products in order for public utilization of the Patent Rights to occur; and

WHEREAS, LICENSEE desires to obtain a license under the Patent Rights upon the terms and conditions hereinafter set forth.

NOW, THEREFORE, in consideration of the premises and the mutual covenants contained herein, the parties hereto agree as follows:

ARTICLE 1 - Definitions

For the purposes of this Agreement, the following words and phrases shall have the following meanings:

1.1 “LICENSEE” shall mean Brockman-Hastings, LLC and any subsidiary of Brockman-Hastings, LLC.

1.2 “Subsidiary” shall mean any corporation, company or other entity more than fifty percent (50%) of whose voting stock is owned or controlled directly or indirectly by Brockman-Hastings, LLC.

1.3 “Affiliate” shall mean any corporation, company or other entity in which Brockman-Hastings, LLC shall have an ownership or management interest.

1.4 “Patent Rights” shall mean all of UKRF’s rights in patents and patent applications relating to an implantable intraocular pressure sensor such as described in the United States and Foreign pending patent applications set forth in Appendix A, attached hereto and made a part hereof, and including all applications claiming priority thereto or which are entitled to claim priority thereto (collectively, said patent applications being hereinafter referred to as the “Patent Rights Patent Applications”), and including all United States patents and Foreign patents issuing from any of the Patent Rights Patent Applications and issuing from any later-filed applications based upon any of said Patent Rights Patent Applications (collectively, said patents being hereinafter referred to as the “Patent Rights Patents”), and specifically including any continuations, continuations-in-part, divisions, reissues, reexaminations, extensions, and substitutes of any of the foregoing. For the avoidance of any doubt, the term “Patent Rights” includes the Patent Rights Patent Applications and the Patent Rights Patents.

1.5 “Licensed Product(s)” shall mean any product which:

(a) is covered in whole or in part by (i) a pending claim contained in a pending application included in the Patent Rights Patent Applications in the country in which the Licensed Product(s) is made, used, or

sold or (ii) a valid and unexpired claim contained in a any patent included in the Patent Rights Patents in the country in which the Licensed Product(s) is made, used, or sold; or

(b) is manufactured by using a process (hereinafter, a "Licensed Process") which is covered by (i) a pending claim contained in a pending application included in the Patent Rights Patent Applications in the country in which the Licensed Process is used or (ii) a valid and unexpired claim contained in a patent included in the Patent Rights Patents in the country in which the Licensed Process is used.

1.5 "Territory" shall mean worldwide

1.6 "Field of Use" shall mean any purpose.

ARTICLE 2 - Grant

2.1 UKRF hereby grants to LICENSEE the exclusive right and license in the Territory and for the Field of Use, with the right to sublicense according to the provisions of this Article 2 herein, to make, have made, use, offer to sell, import, sell, and otherwise utilize the Licensed Products under the Patent Rights to the full end of the term for which the Patent Rights are granted unless sooner terminated as hereinafter provided. This grant is expressly subject to the rights of the U.S. Government, if any.

2.2 In order to establish a period of exclusivity for LICENSEE, UKRF hereby agrees that it shall not grant any other license to make, have made, use, offer to sell, sell, and otherwise utilize the Licensed Products during the period of time commencing with the Effective Date of this Agreement and terminating with the full end of the term of this Agreement, unless sooner terminated as hereinafter provided.

2.3 Subject to UKRF's prior approval, which shall not be unreasonably withheld, LICENSEE shall have the right to sublicense worldwide any of the rights, privileges, and license granted hereunder.

2.4 Notwithstanding the foregoing, on behalf of itself and its affiliates, UKRF reserves the right to use the Patent Rights and Licensed Products for internal research purposes.

2.5 LICENSEE agrees that any sublicenses granted by it shall include a contractual provision granting UKRF the right and ability to proceed directly against the sublicensee to require such sublicensee to comply with all terms of the sublicense agreement. LICENSEE further agrees to include the substance of ARTICLES 4, 5, 10, 12, 13 and 14 of this Agreement in all sublicense agreements.

2.6 LICENSEE agrees to forward to UKRF a copy of any and all fully executed sublicense agreements, and further agrees to forward to UKRF annually a copy of such reports received by LICENSEE from its sublicensees during the preceding twelve (12) month period under the sublicenses as shall be pertinent to a royalty accounting under said sublicense agreements.

ARTICLE 3 - Due Diligence

3.1 LICENSEE shall use commercially reasonable efforts to bring the Licensed Products to market through a thorough and diligent program for exploitation of the Patent Rights. At a minimum, LICENSEE shall:

(a) No later than September 1, 2010, contact the top three international ophthalmic companies that deal with intraocular implants with the intent to discuss joint development and commercialization of the Licensed Products;

(b) No later than April 15, 2010, and ongoing thereafter, actively pursue and finance legal efforts to file one or more United States Utility Patent applications for the Licensed Products; and

(c) No later than December 31, 2010, refine the physical design parameters of the Licensed Products based on feedback from industry contacts.

3.2 LICENSEE shall update its due diligence plan each year until a first commercial sale of a Licensed Product is achieved.

ARTICLE 4 - Royalties

4.1 For the rights, privileges, and license granted hereunder, LICENSEE shall pay to UKRF, in the manner hereinafter provided and according to the terms of Article 5 herein, to the end of the term of the Patent Rights or until this Agreement shall be terminated as hereinafter provided, the following:

(a) a royalty in the amount of three and 15/100 percent (3.15%) of the "Net Sales Price" (as said term is later defined herein) of the Licensed Products sold by LICENSEE or an Affiliate or Subsidiary or permitted sublicensees to unaffiliated third parties; and

(b) if LICENSEE receives any non-royalty sublicense consideration from its permitted sublicensees (hereinafter, "Non-Royalty Remuneration"), then LICENSEE shall pay to UKRF a fee equal to seventeen and one-half percent (17.5%) of said Non-Royalty Remuneration.

4.2 As used herein, the phrase "Net Sales Price" shall mean the invoice price to customers of LICENSEE or its Affiliate, Subsidiary, or permitted sublicensees, for the Licensed Products sold in the ordinary course of business, less the sum of the following:

- (a) Refunds actually allowed or taken for rejected or returned Licensed Products;
- (b) Discounts allowed in amounts customary in the trade;
- (c) Sales, excise, use, value-added, or other taxes, as well as tariff duties directly imposed and with reference to particular sales;
- (d) Customs, duties, and other imposts, to the extent included in the amounts invoiced;

- (f) Credits on account of retroactive price reductions, actually allowed or taken;
- (h) Cost of insurance, billed to and paid by the customer;
- (i) Cost of shipping and transportation, billed to and paid by the customer;
- (j) Rebates required by government rule, regulation, program, or fiat, to the extent that any such rebates may be paid or allowed by LICENSEE; and
- (

No deductions shall be made for commissions paid to individuals whether they are with independent sales agencies or regularly employed by LICENSEE and on its payroll, or for cost of collections. Licensed Products shall be considered "sold" when billed out or invoiced.

4.3 No multiple royalties shall be payable because the Licensed Products, their manufacture or sale, are or shall be covered by more than one patent application or patent licensed under this Agreement.

4.4 Royalty payments shall be paid in United States dollars in Lexington, Kentucky, or at such other place as UKRF may reasonably designate consistent with the laws and regulations controlling in any foreign country. Any withholding taxes which LICENSEE or any sublicensee shall be required by law to withhold on remittance of the royalty payments shall be deducted from royalty paid to UKRF. LICENSEE shall furnish UKRF the original copies of all official receipts for such taxes. If any currency conversion shall be required in connection with the payment of royalties hereunder, such conversion shall be made by using the exchange rate prevailing at a first-class foreign exchange bank on the last business day of the calendar quarterly reporting period to which such royalty payments relate.

ARTICLE 5 - Reports and Records

5.1 LICENSEE shall keep full, true, and accurate records and books of account containing all particulars that may be necessary for the purpose of showing the amount payable to UKRF by way of royalty as aforesaid. Said books of account shall be kept at LICENSEE's principal place of business or the principal place of business of the appropriate division of LICENSEE to which this Agreement relates. LICENSEE shall permit the reasonable inspection and copying of such records and books of account by UKRF Internal Audit Division and/or an independent certified public accountant retained by UKRF and/or an accountant employed by UKRF, no more frequent than once per calendar year, during regular business hours at LICENSEE's regular place of business, provided that UKRF shall give LICENSEE at least thirty (30) days' prior written notice of its election to inspect such records and books of account. Subject to the preceding sentence, said books and records and the supporting data shall be open at all reasonable times during the Term and for three (3) years following the end of the calendar year to which they pertain, to said inspection, for the purpose of verifying LICENSEE's royalty statement or compliance in other respects with this Agreement.

5.2 LICENSEE, within thirty (30) days after June 30 and December 31, of each year, shall deliver to UKRF true and accurate reports, giving such particulars of the business conducted by LICENSEE during the preceding six-month period under this Agreement as shall be pertinent to a royalty accounting hereunder. These shall include at least the following:

- (a) All Licensed Products manufactured and sold;
- (b) Total billings for Licensed Product sold;
- (c) Deductions applicable as provided in Section 4.2;
- (d) Total royalties due;
- (e) Names and addresses of all sublicensees of LICENSEE; and

(f) Annually, the LICENSEE's certified financial statements for the preceding twelve (12) months including, at a minimum, a balance sheet and an operating statement.

5.3 With each such report submitted, LICENSEE shall pay to UKRF the royalties due and payable under this Agreement. If no royalties shall be due, LICENSEE shall so report.

5.4 If not already provide pursuant to Section 5.2, if LICENSEE issues any other form of financial report, business plan, or other similar report that it issues publicly or to shareholders, it will provide a copy to UKRF within 30 days of issuance.

ARTICLE 6 - Patent Prosecution

6.1 During the term hereof, LICENSEE shall have the first right and option to file, maintain, and diligently prosecute, using counsel of its choice, any and all patent applications, domestic and/or foreign, that are part of the Patent Rights, at LICENSEE's sole expense. The filing, prosecution and maintenance of all Patent Rights shall be the primary responsibility of LICENSEE; provided, however, that LICENSEE shall have the obligation to provide all draft patent filings to UKRF, in advance, for its review and comment and shall consider all comments in good faith; provided, further, that UKRF shall be diligent in providing its comments to LICENSEE in a manner that is timely under the circumstances. LICENSEE shall select patent counsel reasonably acceptable to both parties, and ensure the counsel enters into a prosecution agreement that obligates it to provide all substantive patent prosecution materials with UKRF and to consider its comments, and to agree to advise UKRF if the parties' positions should become adverse for any reason.

6.2 If LICENSEE elects to abandon any or all of the patents or patent applications of the Patent Rights, or elects not to pursue the prosecution of the Patent Rights granted hereunder, it shall notify UKRF in writing at least sixty

(60) days prior to the effective date of such abandonment or such decision not to pursue protection. UKRF shall then have the absolute right to assume the maintenance and protection of the Patent Rights. UKRF shall have the right to license to third parties or otherwise use or sell any such abandoned or unpursued patent or patent application of the Patent Rights,.

ARTICLE 7 - Patent Infringement

7.1 LICENSEE shall inform UKRF promptly in writing of any alleged infringement of the Patent Rights by a third party and of any available evidence thereof.

7.2 During the term of this Agreement, UKRF shall have the right, but shall not be obligated, to prosecute at its own expense all infringements of the Patent Rights and, in furtherance of such right, LICENSEE hereby agrees that UKRF may include LICENSEE, if required by law, as a party plaintiff in any such suit, without expense to LICENSEE. The total cost of any such infringement action commenced or defended solely by UKRF shall be borne by UKRF. The balance of any recovery or damages for past infringements shall be divided with UKRF receiving 80% and LICENSEE receiving 20%.

7.3 If within six (6) months after having been notified of any alleged infringement, UKRF shall have been unsuccessful in persuading the alleged infringer to desist and shall not have brought and shall not be diligently prosecuting an infringement action, or if UKRF shall notify LICENSEE at any time prior thereto of its intention not to bring suit against any alleged infringer in the Territory for the Field of Use, then, and in those events only, LICENSEE shall have the right, but shall not be obligated, to prosecute at its own expense any infringement of the Patent Rights in the Territory for the Field of Use, and LICENSEE may, for such purposes, if required by law, use the name of UKRF as party plaintiff; provided, however, that such right to bring such an infringement action shall remain in effect only for so long as the license granted herein remains

exclusive. No settlement, consent judgment, or other voluntary final disposition of the suit may be entered into without the consent of UKRF, which consent shall not unreasonably be withheld. LICENSEE shall indemnify UKRF against any order for costs that may be made against UKRF in such proceedings, so long as said order for costs is not based on any intentional or willfully negligent misconduct by UKRF.

7.4 In the event that LICENSEE shall undertake the enforcement and/or defense of the Patent Rights by litigation, LICENSEE may withhold the payments otherwise thereafter due UKRF under Article 4 hereunder and apply the same toward reimbursement of up to half of LICENSEE's expenses, including reasonable attorneys' fees, in connection therewith. Any recovery of damages by LICENSEE for each such suit shall be applied first in satisfaction of any unreimbursed expenses and legal fees of LICENSEE relating to such suit, and next toward reimbursement of UKRF for any payments under Article 4 past due or withheld and applied pursuant to this Article 7. The balance remaining from any such recovery shall be divided with LICENSEE receiving 80% and UKRF receiving 20%.

7.5 In the event that a declaratory judgment action alleging invalidity or non-infringement of any of the Patent Rights shall be brought against LICENSEE, UKRF, at its option, shall have the right, within thirty (30) days after commencement of such action, to intervene and take over the sole defense of the action at its own expense.

7.6 In any infringement suit as either party may institute to enforce the Patent Rights pursuant to this Agreement, the other party hereto shall, at the request and expense of the party initiating such suit, cooperate in all respects and, to the extent possible, have its employees testify when requested and make available relevant records, papers, information, samples, specimens, and the like.

7.7 LICENSEE, during the exclusive period of this Agreement, shall have the sole right in accordance with the terms and conditions herein to

sublicense any alleged infringer in the Territory for the Field of Use for future use of the Patent Rights. Any upfront fees as part of such a sublicense shall be shared between LICENSEE and UKRF according to Section 4.1(b); other royalties shall be treated per Section 4.1(a)

ARTICLE 8 - Termination

8.1 If LICENSEE shall become bankrupt or insolvent, or shall file a petition in bankruptcy, or if the business of LICENSEE shall be placed in the hands of a receiver, assignee or trustee for the benefit of creditors, whether by the voluntary act of LICENSEE or otherwise, this Agreement shall automatically terminate.

8.2 Should LICENSEE fail in its payment to UKRF of royalties due in accordance with the terms of this Agreement, UKRF shall have the right to serve notice upon LICENSEE by certified mail at the address designated in Article 15, hereof, of its intention to terminate this Agreement within forty-five (45) days after receipt of said notice of termination unless LICENSEE shall pay to UKRF, within the forty-five (45) day period, all such royalties due and payable. Upon the expiration of the forty-five (45) day period, if LICENSEE shall not have paid all such royalties due and payable, the rights, privileges and license granted hereunder shall thereupon immediately terminate.

8.3 Upon any material breach or default of this Agreement by LICENSEE, other than those occurrences set out in Sections 8.1 and 8.2 hereinabove, which shall always take precedence in that order over any material breach or default referred to in this Section 8.3, UKRF shall have the right to terminate this Agreement and the rights, privileges, and license granted hereunder by ninety (90) days' notice by certified mail to LICENSEE. Such termination shall become effective unless LICENSEE shall have cured any such breach or default prior to the expiration of the ninety (90) day period from receipt of UKRF's notice of termination.

8.4 LICENSEE shall have the right to terminate this Agreement at any time on three (3) months' notice by certified mail to UKRF.

8.5 Upon termination of this Agreement for any reason, nothing herein shall be construed to release either party from any obligation that matured prior to the effective date of such termination. LICENSEE and/or any sublicensee thereof may, however, after the effective date of such termination, sell all Licensed Products, and complete Licensed Products in the process of manufacture at the time of such termination and sell the same, provided that LICENSEE shall pay to UKRF the royalties thereon as required by Article 4 of this Agreement and shall submit the reports required by Article 5 hereof on the sales of Licensed Products.

ARTICLE 9 - Partial Termination

In the event LICENSEE fails to exploit the Licensed Product in a particular country within the Territory as specified in Article 3, UKRF shall have the right to terminate the rights, privileges, and license granted hereunder in the specified country by ninety (90) days' notice by certified mail to LICENSEE.

ARTICLE 10 - Product Liability

10.1 LICENSEE shall at all times during the term of this Agreement and thereafter, indemnify, defend, and hold University of Kentucky, UKRF, their trustees, officers, employees, and affiliates, harmless against all claims and expenses, including legal expenses and reasonable attorneys' fees, arising out of the death of or injury to any person or persons or out of any damage to property and against any other claim, proceeding, demand, expense, and liability of any kind whatsoever resulting from the production, manufacture, sales, use, consumption, or advertisement of the Licensed Products.

10.2 If Licensee sells any Licensed Products, Licensee will maintain product liability insurance, with an endorsement naming the University of

Kentucky Research Foundation, the University of Kentucky, its Board of Trustees, agents, officers, and employees as additional insureds covering liabilities for the production, manufacture, and/or sale of the Licensed Products. The policy of insurance shall contain a provision of non-cancellation except upon the provision of sixty (60) days' notice to the University of Kentucky. Policy limits shall be not less than \$1,000,000 per person per occurrence.

10.3 If LICENSEE sublicenses any of the rights, privileges, and licenses granted hereunder, LICENSEE shall require the sublicensee to provide UKRF evidence of such product liability insurance.

ARTICLE 11 - Warranties

11.1 UKRF represents as follows:

(a) to the best of its knowledge after diligent investigation, it has obtained valid and complete assignments from all inventors, with the exception of E. Britt Brockman, of all right, title, and interest in and to the Patent Rights;

(b) to the best of its knowledge after diligent investigation, no unnamed inventor can claim any rights in any of the Patent Rights;

(c) to the best of its knowledge after diligent investigation, no person affiliated with any patent or patent application of the Patent Rights has violated their duty of good faith and candor with, or participated in a fraud against, the United States Patent and Trademark Office with respect to the Patent Rights.

11.2 LICENSEE ACKNOWLEDGES THAT, WITH THE EXCEPTION OF THE REPRESENTATIONS AND WARRANTIES MADE BY UKRF AND SET FORTH IN SECTION 11.1 HEREIN, THE RIGHTS GRANTED ARE MADE AVAILABLE WITHOUT WARRANTY OF ANY KIND EXPRESSED OR IMPLIED INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, AND FURTHER INCLUDING NO WARRANTY AS TO CONFORMITY WITH

FROM TIME TO TIME.

11.3 LICENSEE FURTHER ACKNOWLEDGES THAT UKRF HAS NOT CONDUCTED NOR HAD CONDUCTED A PATENTABILITY OR INFRINGEMENT STUDY AND THUS MAKES NO CLAIMS OR WARRANTIES THAT THE LICENSED RIGHTS WILL NOT INFRINGE ANY THIRD PARTIES VALID PATENT RIGHTS.

ARTICLE 12 - Assignment

12.1 Neither party may assign this Agreement or any of its rights and obligations under this Agreement without the prior written consent of the other party, such consent not to be unreasonably withheld, conditioned, or delayed; provided, however, that LICENSEE may assign this Agreement without consent (i) to an Affiliate or Subsidiary or (ii) in connection with any merger, acquisition, consolidation, transfer by operation of law, or as part of a sale of all or substantially all of the LICENSEE's assets, equity, or business. In the case where Section 12.1(ii) applies, the acquiring party must agree to be bound by the obligations imposed on LICENSEE in this Agreement in the same manner. This Agreement shall bind and inure to the benefit of the parties hereto and their respective successors and permitted assigns. Any attempt to assign this Agreement without compliance with the provisions of this Agreement shall be null and void.

ARTICLE 13 - Non-Use of Names

LICENSEE shall not use the names of the University of Kentucky nor of Dr.Hastings, nor any adaptation thereof in any advertising, promotional, or sales literature without prior written consent obtained from UKRF in each case, except that LICENSEE may state that it is licensed by UKRF under one or more of the patents and/or applications comprising the Patent Rights.

ARTICLE 14 - Export Controls

It is understood that UKRF is subject to United States laws and regulations controlling the export of technical data, computer software, laboratory prototypes, and other commodities (including the Arms Export Control Act, as amended, and the Export Administration Act of 1979), and that its obligations hereunder are contingent on compliance with applicable United States export laws and regulations. The transfer of certain technical data and commodities may require a license from the applicable agency of the United States Government and/or written assurances by LICENSEE that LICENSEE shall not export data or commodities to certain foreign countries without prior approval of such agency. UKRF neither represents that a license shall not be required nor that, if required, it shall be issued.

ARTICLE 15 - Payments, Notices and Other Communications

Any payment, notice, or other communication pursuant to this Agreement shall be sufficiently made or given on the date of mailing if sent to such party by certified first class mail, postage prepaid, addressed to it at its address below or as it shall designate by written notice given to the other party:

In the case of UKRF:	University of Kentucky Research Foundation 144 ASTeCC Building Lexington, Kentucky 40506-0286
----------------------	--

With a copy to:	University Legal Counsel 301 Main Building Lexington, Kentucky 40506-0032
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In the case of LICENSEE:	E. Britt Brockman 6003 Two Springs Lane Louisville, Kentucky 40207
--------------------------	--

With a copy to:	Andrew G. Beshear
-----------------	-------------------

Stites & Harbison
400 West Market Street
Louisville, Kentucky 40202

ARTICLE 16 - Miscellaneous Provisions

16.1 This Agreement shall be construed, governed, interpreted, and applied in accordance with the laws of the Commonwealth of Kentucky, U.S.A., except that questions affecting the construction and effect of any patent shall be determined by the law of the country in which the patent was granted.

16.2 The parties hereto acknowledge that this Agreement sets forth the entire Agreement and understanding of the parties hereto as to the subject matter hereof, and shall not be subject to any change or modification except by the execution of a written instrument subscribed to by the parties hereto.

16.3 The provisions of this Agreement are severable, and in the event that any provision of this Agreement shall be determined to be invalid or unenforceable under any controlling body of law, such invalidity or unenforceability shall not in any way affect the validity or enforceability of the remaining provisions hereof.

16.4 The failure of either party to assert a right hereunder or to insist upon compliance with any term or condition of this Agreement shall not constitute a waiver of that right or excuse a similar subsequent failure to perform any such term or condition by the other party.

IN WITNESS WHEREOF, the parties hereto have hereunto set their hands and seals and duly executed this License Agreement the day and year first set forth below.

WITNESS:

UNIVERSITY OF KENTUCKY
RESEARCH FOUNDATION

By: _____

Title:_____

WITNESS:

LICENSEE

By:_____

Title:_____

By execution of this Agreement, the undersigned acknowledge receiving a copy of this Agreement, and of having read and reviewed same prior to its execution by the parties.

By: _____

APPENDIX A

PATENT RIGHTS PATENT APPLICATIONS AND PATENT RIGHTS PATENTS

1. United States Patent Application Serial No. 61/291,131, entitled “Implantable Intraocular Pressure Sensor”, filed on December 30, 2009.

AACR 1

Office of the President
June 8, 2010

Members, Board of Trustees:

CANDIDATES FOR DEGREES

Recommendation: that the president be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled, upon certification by the university registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: Each individual whose name appears on the attached list is scheduled to complete the work leading toward the degree for which application is being made at the close of the 2010 Summer Session. Due to licensure or certification requirements degrees must be verified as soon as possible and the next Board of Trustees meeting is not scheduled until September 14, 2010.

For details on degree candidate listings,
please contact

Jacquie Hager
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - August 2010

Graduate Degrees:	
Ph.D.....	35
Ed.D.....	3
Ed.S.....	1
M.A.....	7
M.A.EDU.....	24
M.E.	1
M.M.	1
M.P.H.....	4
M.S.....	8
M.S.EDU.....	6
M.S.E.E.....	1
M.S.FOR.....	1
M.S.N.....	4
M.S. PAS.	50
M.S. RC.	1
M.S.W.	4

TOTAL.....151

Professional Degrees:	
D.P.T.....	68

TOTAL.....68

Undergraduate Degrees:	
Agriculture	
BSAB.....	2
BSAEC	3
BSAG	1
BSAS	3
BSCCLD	10
BSDIE.....	10
BSFAS	6
BSFCS	1
BSHM.....	16
BSHN	2
BSMAT.....	27
BSPSS	1

TOTAL..... 82

Arts and Sciences	
BA.....	124
BS	33

TOTAL.....157

Gatton Business and Economics	
BBA.....	40
BSA.....	23
BSBE	1

TOTAL..... 64

Comm. & Information Studies	
BA.....	39
BS	9

TOTAL..... 48

Education	
BAEDU.....	11
BSEDU	12

TOTAL 23

Engineering	
BSBE.....	3
BSCHE	3
BSCE	4
BSCS.....	4
BSEE.....	4
BSMEE	2
BSMIE	2

TOTAL 22

Fine Arts	
BA	4
BFA	1
BM	1

TOTAL 6

Health Sciences	
BHS	2

TOTAL 2

Nursing	
BSN	33

TOTAL 33

Social Work	
BASW.....	8

TOTAL 8

Graduate Degree..... 151
Professional Degrees..... 68
Undergraduate Degrees..... 45

TOTAL 664

AACR 2

Office of the President
June 8, 2010

Members, Board of Trustees:

CANDIDATES FOR DEGREES
WESTERN KENTUCKY UNIVERSITY/UNIVERSITY OF KENTUCKY
JOINT ENGINEERING PROGRAMS

Recommendation: that the president be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled upon certification by the university registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: The Board of Trustees approved the establishment of a joint Bachelor of Science Degree in Mechanical Engineering and a joint Bachelor of Science in Civil Engineering with Western Kentucky University at its meeting on April 6, 2004. The joint-degree offerings are part of the *Strategy for Statewide Engineering Education in Kentucky*, crafted on behalf of the presidents of the Commonwealth's public universities and adopted by the Council on Postsecondary Education on July 17, 2000, to encourage the efficient use of resources to meet the statewide demand for engineering professionals. The programs are based on a vision of high-quality engineering education, shared by the two institutions, delivered to meet contemporary needs in that region of Kentucky.

Diplomas will be awarded by Western Kentucky University on May 15, 2010, providing the students successfully complete all degree requirements. The names of both institutions will appear on the diplomas.

For details on degree candidate listings,
please contact

Jacquie Hager
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - May 2010
Revised 4/22/2010

Graduate Degrees:

Ph.D.	139
D.M.A.	6
D.Sc.	1
Ed.D.	12
Ed.S.	9
M.A.	73
M.AR.	31
M.A.EDU.	46
M.A.ID.	5
M.B.A.	73
M.E.	7
M.F.A.	3
M.H.A.	24
M.H.P.	13
M.M.	12
M.P.A.	25
M.P.H.	17
M.P.P.	5
M.R.C.	15
M.S.	152
M.S.BE.	7
M.S.BAE.	1
M.S.CD.	28
M.S.CE.	11
M.S.CHE.	1
M.S.CTL.	6
M.S.EDU.	26
M.S.E.E.	34
M.S.F.A.	7
M.S.FOR.	10
M.S.L.S.	44
M.S.MAT.	2
MSMSYE	4
M.S.ME.	8
M.S.N.	53
M.S.N.S.	2
M.S. RMP.	3
M.S.W.	83

TOTAL..... 998

Professional Degrees:

D.M.D.	50
D.N.P.	15
Dr.P.H.	5
D.P.T.	18
J.D.	120
M.D.	95
PharmD.	166

TOTAL..... 469

Undergraduate Degrees:

Agriculture

BSAB.....	23
BSAEC	41
BSAECL	2
BSAG	6
BSAS	29
BSCCL	18
BSCCLD	44
BSDIE.....	12
BSESM	7
BSFAS	2
BSFCS	5
BSFOR.....	8
BSFOS	2
BSFSC	26
BSHPS.....	5
BSHM.....	19
BSHN	27
BSLA	11
BSMAT.....	25
BSNRC	10
BSPSS.....	3

TOTAL.....325

Arts and Sciences

BA.....	612
BS	276

TOTAL.....888

Gatton Business and Economics

BBA.....	293
BSA.....	112
BSBE	28

TOTAL.....436

Comm. & Information Studies

BA.....	175
BS	56

TOTAL.....231

Design

BAARC	33
BARCH	2

TOTAL..... 35

Education

BAEDU.....	136
BSEDU	89

TOTAL225

Engineering

BSBE.....	9
BSCHE	37
BSCE	35
UK/WKU: BSCE.....	10
BSCS.....	24
BSCOE.....	11
BSEE.....	32
BSMAE.....	9
BSMEE	101
UK/WKU: BSMEE.....	16
BSMIE	11

TOTAL295*

Fine Arts

BA	65
BFA	6
BM	8
BMME	12

TOTAL 91

Health Sciences

BHS	51
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TOTAL 51

Nursing

BSN	81
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TOTAL 81

Social Work

BASW	32
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TOTAL 32

Graduate Degree..... 998

Professional Degrees..... 469

Undergraduate Degrees.....2,690*

TOTAL4,157*

*Revised to include students graduating from UK/WKU joint engineering programs

AACR 3

Office of the President
June 8, 2010

Members, Board of Trustees:

CANDIDATES FOR DEGREES
BLUEGRASS COMMUNITY AND TECHNICAL COLLEGE

Recommendation: that the president be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled, upon certification by the Kentucky Community and Technical College System (KCTCS) Board and the University of Kentucky Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: On July 1, 2004, a Memorandum of Agreement among the University of Kentucky, the Kentucky Community and Technical College System, and Lexington Community College (LCC) pursuant to House Joint Resolution 214 was approved. The agreement states that LCC students who were or are officially enrolled on or before September 2004, in associate degree programs approved by the UK Board of Trustees and who complete the associate degree program on or before August 31, 2010, shall have their degrees conferred by the UK Board of Trustees.

Following the passage of that agreement, the Lexington Community College name has been changed to the Bluegrass Community and Technical College.

For details on degree candidate listings,
please contact

Jacquie Hager
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 4

Office of the President
June 8, 2010

Members, Board of Trustees:

ACADEMIC DEGREE RECOMMENDATION

Recommendation: that the Board of Trustees approve the establishment of a Bachelor of Arts (CIP Code 16.0302, PROG: AS BA JLAL)/Bachelor of Science with a major in Japanese Language and Literature (CIP Code 16.0302, PROG: AS BS JLAL) in the College of Arts and Sciences, effective Fall 2010 Semester.

Background: Interest in Japan throughout the state and among University of Kentucky students has been very strong for some time. The massive economic and cultural impact of the Japanese corporate presence in Kentucky over the last twenty or so years is one major source for this interest. Further, manifold economic interaction and cultural exchanges between Japan and Kentucky have paralleled national and international activities. Given these developments, it is imperative that UK assume a leadership position in providing students with advanced language training and knowledge of the cultural traditions of Japan while developing a greater awareness of the similarities and differences of Japanese culture. The proposed program in Japanese will help satisfy UK's imperative to prepare citizens of the Commonwealth to function successfully in life and work in this age of globalization.

The proposed program will prepare students for a variety of post baccalaureate options, serving as preparation both for graduate training in fields requiring advanced Japanese language competence and for Japan-related career choices. There is a need for Japanese teachers in the state that we have been unable to respond to; the major will allow UK to fulfill its leadership role in this regard. We anticipate that many graduates will adapt to a variety of career possibilities and procure employment with Japanese firms in the United States and American firms doing business in Japan. Should they pursue graduate degrees at UK or other institutions, they will be able to build on the broad-based knowledge of Japanese language and culture and the creativity that this major will foster.

This program has the approval of the appropriate councils and University Senate and has completed the forty-five day review by the Council on Postsecondary Education. The provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 5

Office of the President
June 8, 2010

Members, Board of Trustees:

CHANGE IN DEGREE – COLLEGE OF AGRICULTURE

Recommendation: that the Board of Trustees approve the following actions, effective in the fall 2010 semester.

1. Change the name of the Master of Science degree in Animal Sciences with a major in Animal Sciences to Master of Science degree with a major in Animal and Food Sciences (CIP Code: 01.0901 PROG: GS MS AFSC).
2. Change the name of the Doctor of Philosophy degree with a major in Animal Sciences to Doctor of Philosophy degree with a major in Animal and Food Sciences (CIP Code: 01.0901 PROG: GS PHD AFSC).

Background: The Department of Animal and Food Sciences faculty are requesting this change to reflect the name of the department, which was changed in 2005. The requested change would formally recognize the two programs housed in the department.

This proposal has the approval of the Graduate Council, Senate Council and University Senate. The provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 6

Office of the President
June 8, 2010

Members, Board of Trustees:

CHANGE IN DEGREE – COLLEGE OF EDUCATION

Recommendation: that the Board of Trustees approve a change in the name of the Master of Science in Education degree with a major in Education – Secondary (Science and/or Math) Education to Master of Science in Education degree with a major in Science, Technology, Engineering, and Mathematics Education. (CIP Code: 13.1399 PROG: GS MSEDU STME), effective in the Fall 2010 Semester.

Background: 16 KAR 5:010 Section 12 specifies that all advanced master's degree programs must be redesigned and approved prior to December 31, 2010 using the Proposed Kentucky Teacher Leader Standards. The current program does not meet the needs of its students nor does it prepare our teachers to be teacher leaders and be prepared for the 21st century. The proposed changes will allow for students of this program to practice skills and concepts that can then be integrated into their classrooms and to become a STEM Education teacher leader in the schools, districts, and the Commonwealth. This proposal has the approval of the Graduate Council, Senate Council, and University Senate. The provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 7

Office of the President
June 8, 2010

Members, Board of Trustees:

CHANGE IN DEGREE – COLLEGE OF FINE ARTS

Recommendation: that the Board of Trustees approve a change in the name of the Doctor of Philosophy degree with a major in Musicology to Doctor of Philosophy degree with a major in Musicology and Ethnomusicology (PROG: GS PHD MUET), effective in the Fall 2010 Semester.

Background: While most major musicology programs in the United States have long offered some ethnomusicology (the study of world music or of any music in its cultural context), UK has never been able to do so. With the recent addition of an ethnomusicologist to our faculty, this is now possible and will greatly enhance the employment prospects of our graduates. This proposal has the approval of the Graduate Council, Senate Council, and University Senate. The provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 8

Office of the President
June 8, 2010

Members, Board of Trustees:

ESTABLISHMENT OF THE
CENTER FOR INTERPROFESSIONAL HEALTHCARE EDUCATION,
RESEARCH, AND PRACTICE

Recommendation: that the Board of Trustees approve the establishment of the Center for Interprofessional Healthcare Education, Research, and Practice in the Office of the Provost.

Background: The Center for Interprofessional Healthcare Education, Research, and Practice's primary purpose is to develop, support, evaluate, and sustain an academic culture wherein students, faculty, and staff work collaboratively within and across interprofessional teams to accomplish and report better outcomes that can be achieved through independent healthcare practice. The Center will be designed to overcome competing priorities and time constraints imposed on students, faculty, and administrators that can significantly limit and may even inhibit the development and execution of these education experiences. Thus, the primary work of the Center will be to design, facilitate, coordinate, promote, and evaluate Interprofessional Healthcare Education, Research, and Practice.

In its broadest sense, interprofessional education is education, training, or teaching that involves more than one profession in joint, interactive learning. The goal of interprofessional education is to teach systems-based, holistic decision-making by empowering learners with the knowledge, skills, attitudes, and experience that are necessary to enable them to solve complex problems and address issues that surpass the scope of any one profession.

The proposal has been reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council. The University Senate approved the proposal based upon its academic merits. The Senate did not endorse the establishment of the Center based upon its non-academic merits. The provost of the University supports the establishment of the Center.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 9

Office of the President
June 8, 2010

Members, Board of Trustees:

CHANGE OF ORGANIZATIONAL STRUCTURE OF THE
GRADUATE CENTER FOR BIOMEDICAL ENGINEERING

Recommendation: that the Board of Trustees approve the transfer of administrative oversight for the Graduate Center for Biomedical Engineering from the Graduate School to the College of Engineering, effective July 1, 2010.

Background: The Graduate Center for Biomedical Engineering was established by the Board of Trustees on March 4, 1986. Its mission is to provide quality programs of education, research, and service in the area of biomedical engineering. The multidisciplinary character of biomedical engineering and operation of the program as a Graduate Center offer unique opportunities to fulfill this mission. The educational mission is served by bringing the expertise of faculty from diverse disciplines to bear upon the development of a curriculum focusing on the application of the principles of engineering and physics to medicine and biology.

Relocation of this Center has been under discussion for several years. Part of the charge to the Futures Task Force was to make recommendations concerning the administrative reporting relationships for the graduate program centers reporting to the Dean of the Graduate School. In 2002 the Task Force responded to this charge and recommended assignment of the administrative responsibility of the Graduate Center for Biomedical Engineering to the College of Engineering. Since 2004, the leadership team of the Graduate Center for Biomedical Engineering has been exploring possible collaborations.

The proposal has been reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and recommended for approval by the University Senate. The provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 10

Office of the President
June 8, 2010

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve the change in the name of the Cardiovascular Research Center to the Dr. Sibü and Becky Saha Cardiovascular Research Center.

Background: The Cardiovascular Research Center was established by the Board of Trustees on March 9, 2005. Having chosen to settle in Lexington almost 35 years ago, Dr. Saha has a personal and professional interest in improving the cardiovascular health of the people of Kentucky. Following a distinguished career in private practice, Dr. Saha joined the faculty of the UK College of Medicine in 2002 as Professor in the Division of Cardiothoracic Surgery.

Dr. Sibü and Mrs. Rebecca J. Saha have a long history of philanthropy at UK and in the community. They have focused much of their giving, both personally and through the Saha Family Foundation, on cardiovascular research and education. Dr. and Mrs. Saha have committed gifts and pledges totaling \$1,000,000 to establish an endowment, the Saha Cardiovascular Research Center Fund in the Cardiovascular Research Center.

The proposal has been approved by the faculty in the unit, the leadership of the College, and UK HealthCare; and reviewed and endorsed by the Senate Council on behalf of the University Senate. The provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 11

Office of the President
June 8, 2010

Members, Board of Trustees:

PROPOSED REVISION TO ADMINISTRATIVE REGULATION: LECTURER SERIES FACULTY

Recommendation: that the Board of Trustees approve the attached revision of Administrative Regulation (AR) 2:9, Lecturer Series Faculty. The proposed revision consists of clarifying the procedure, criteria, and conditions for the Lecturer Series. The revision will help ensure that we attract and retain outstanding Lecturer Series faculty and bring to this series the appropriate professional recognition within the University.

Background: The University's mission involves three primary functions: instruction, research, and service. In some areas, particularly those that bear the heaviest burden for lower-division (undergraduate) instruction, a significant shortfall in lower-division instruction performed by full-time faculty cannot be mitigated by relying solely on the increase of tenure-eligible and tenured faculty or an expansion of the Teaching Assistant ranks. Such use of resources is both unaffordable and leads to unjustifiable and unsustainable bloat of graduate programs in certain departments.

Public universities cannot satisfy their instructional obligations in the lower-division without the judicious use of non-tenure-eligible, full-time faculty.

Five principles guided the University's plan to expand the use of full-time lecturers in lower-division instruction:

- 1) The vast majority of full-time faculty hiring will continue to be for tenure-eligible (tenure-track or tenured) positions;
- 2) The outcome of a strategy that employs the use of full-time, non-tenure-eligible faculty shall be to further reduce the University's dependence upon part-time faculty instruction, particularly with the increase in small-group breakout sessions arising from the new General Education curriculum;
- 3) A ceiling should be established on the number (or percentage) of positions that may be filled by non-tenure-eligible, full-time faculty (e.g., Lecturer Series faculty);
- 4) Appointments as Lecturer Series faculty may be restricted to units or programs where such appointments would be particularly appropriate and beneficial; and

5) The terms of Lecturer Series faculty appointments should be comparable to those that exist at nationally ranked universities, and recognize the valuable contributions Lecturer Series faculty make to the instructional mission of the University. These employment terms include:

- regular employee benefits;
- the opportunity for professional advancement to a senior rank within the Lecturer Series;
- the opportunity for multi-year contracts; and
- the opportunity for periodic professional development.

The 1998 revision to the Administrative Regulation on Lecturer Series faculty extended regular full-time benefits to this series of faculty. A subsequent revision in 2005 created the opportunity for promotion consideration to the newly created rank of Senior Lecturer. This revision builds upon these prior initiatives by affording Lecturer Series faculty the full complement of employment terms identified in item #5 above. Many of UK's aspiration benchmarks (e.g., UNC, Illinois, Penn State, Indiana, and UCLA), offer similar terms to their Lecturer Series faculty.

The proposed revision is intended to:

- Clarify that educational units that employ faculty in the Lecturer Series shall establish by majority vote the maximum number or percentage of Lecturer Series faculty that may be employed by the unit;
- Require faculty in the Lecturer Series to hold a terminal degree or professional experience appropriate to the field of assignment;
- Require regular faculty performance reviews (annually for faculty at the rank of Lecturer and biannually for Senior Lecturers);
- Permit rolling contracts of up to two (2) years for faculty employees at the rank of Lecturers and up to three (3) years for Senior Lecturers after an initial four-year period of satisfactory performance, and identify a process of intervention when a faculty employee on a rolling contract receives an unsatisfactory performance review.

The revision to the Lecturer Series AR has been reviewed and endorsed by the University Senate. The provost of the University supports this revision. A revised copy of AR 2:9 is attached. Proposed additions are underlined; proposed deletions are lined through.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

UNIVERSITY OF KENTUCKY ADMINISTRATIVE REGULATIONS	IDENTIFICATION AR 2:9 <i>(Formerly AR II 1.0-1, Part XIII)</i>		PAGE 1
	DATE EFFECTIVE <u>6/8/10</u> 7/1/08	SUPERSEDES REGULATION DATED AR II-1.0-1, Page II.S 7/1/05 <u>AR 2:9 - 7/1/08</u>	

LECTURER SERIES FACULTY

I. Definition

Lecturer Series faculty employees are professionally qualified teachers hired for a fixed term. Lecturer Series faculty employees do not have the same responsibilities and professional obligations as of faculty in Regular, Special, Extension or Librarian Title Series. Lecturer Series appointments shall not be made when appointment in a tenure-eligible title series is appropriate. The Lecturer Series is comprised of two academic ranks: Lecturer and Senior Lecturer.

II. Appointment

A. Appointment, reappointment, non-renewal of appointment, and terminal reappointment in the Lecturer Series are subject to the following conditions:

~~Lecturer Series faculty are appointed or reappointed by final action of the Provost upon recommendation of the educational unit administrator and the dean without reference to an Academic Area Advisory Committee. Initial appointments at the rank of Lecturer shall not exceed a term of one year. A faculty employee at the rank of Lecturer may be reappointed for one or more additional terms for a period of no more than two years per appointment. Senior Lecturers may be appointed to an initial term not to exceed two years and reappointed for additional terms of no more than two years per appointment. The maximum number or percentage of Lecturers in an educational unit must be based on the written approval of the tenured and tenure-track faculty of that educational unit. The assignment period for Lecturer Series faculty may be on a nine-month, ten-month, eleven-month, or twelve-month basis.~~

1. The dean makes the final decision on initial appointment, reappointment, non-renewal of appointment and terminal reappointment of Lecturer Series faculty employees at the rank of Lecturer. The dean shall notify the faculty employee in writing and send a copy of the notification to the educational unit administrator (see AR 2:1-1, Appendix III).

2. The Provost makes the final decision on initial appointment at the rank of Senior Lecturer upon recommendations of the educational unit administrator and the dean without reference to an Academic Area Advisory Committee. Prior to making a recommendation to the Provost on initial appointment at the rank of Senior Lecturer, the dean shall obtain the written advice of the college advisory committee on

promotion, appointment, reappointment, and tenure (AR 2:1-1, Section VII.B). The final action of the Provost shall be communicated in writing to the dean. The dean shall notify the faculty employee in writing of the Provost's decision and send a copy of the notification to the educational unit administrator. The dean makes the final decision on reappointment, non-renewal of appointment and terminal reappointment of Lecturer Series faculty employees at the rank of Senior Lecturer. The dean shall notify the faculty employee in writing and send a copy of the notification to the educational unit administrator (see AR 2:1-1, Appendix III).

3. The tenured and tenure-eligible faculty of an educational unit (department, school without departments, graduate center or college without either departments or schools) that employs faculty employee(s) in the Lecturer Series, shall establish by majority vote the maximum number or percentage of Lecturer Series faculty that may be employed by the unit. The number shall be documented in the rules of the unit and shall only be changed by majority vote of the tenured and tenure-eligible faculty of the unit.

III. ~~Non-renewal~~

4. Initial appointment at the rank of Lecturer or Senior Lecturer shall not exceed two (2) years. Notification of non-renewal of appointment at the end of the first year of service shall be given no later than March 1 if the appointment expires at the end of that academic year or three months in advance if the one-year appointment terminates during the academic year. ~~Notification of non-renewal of appointment~~

5. ~~a~~After the first year of service, and until such time that a rolling contract is offered (see subsections 3 & 6 below), notification of the decision to offer a terminal reappointment shall be given to Lecturer Series faculty employees at least twelve (12) months~~one year~~ before expiration of the appointment. ~~Notification of non-renewal of appointment shall be made in writing by the dean.~~

6. Reappointment reviews shall be conducted in accordance with the policies and procedures prescribed in AR 2:1-1 and its accompanying appendices (AR 2:1-1 Appendices I & II).

7. After the unit administrator has completed the reappointment review of a faculty employee in his or her fourth year of continuous full-time service at the rank of Lecturer, the educational unit administrator shall recommend to the dean either:

a. An offer to the faculty employee of a 2-year rolling contract (i.e., a two-year notice before any potential termination of employment), subject to the conditions stated in Section III below; or

b. An offer to the faculty employee of a terminal reappointment contract for a fifth and final year of employment. The dean shall make the final decision to either approve the unit administrator's recommendation and offer a terminal reappointment to the faculty employee, or to disapprove the recommendation and offer a reappointment. The dean shall notify the faculty employee in writing of

the decision and send a copy of the notification to the educational unit administrator (see AR 2:1-1, Appendix III).

8. After the unit administrator has completed the reappointment review of a faculty employee in his or her fourth year of continuous full-time service at the rank of Senior Lecturer, the educational unit administrator shall recommend to the dean either:

a. An offer to the faculty employee of a 3-year rolling contract (i.e., a three-year notice before any potential termination of employment), subject to the conditions stated in Section III below; or

b. An offer to the faculty employee of a terminal reappointment contract for a fifth and final year of employment. The dean shall make the final decision to either approve the unit administrator's recommendation and offer a terminal reappointment to the faculty employee, or to disapprove the recommendation and offer a reappointment. The dean shall notify the faculty employee in writing of the decision and send a copy of the notification to the educational unit administrator (see AR 2:1-1, Appendix III).

9. The assignment period for Lecturer Series faculty employees may be on a nine-month, ten-month, eleven-month, or twelve-month basis.

III. Non-renewal of a Rolling Contract

A. Lecturer Series Faculty Employees at the Rank of Lecturer

1. In the event that a faculty employee at the rank of Lecturer is assigned a composite rating of unsatisfactory on an annual faculty performance review (see AR 3:10), the unit administrator shall not recommend the renewal of the faculty employee's rolling contract. After informing the appropriate unit faculty members (see AR 2:1-1 Appendix I), the unit administrator shall recommend to the dean that the faculty employee be offered a two-year reappointment contract without the provision for a rolling contract. The dean shall make the final decision on whether the two-year reappointment includes a rolling contract. The dean shall notify the faculty employee of the final decision in writing and send a copy of the notification to the unit administrator.

2. At any time in the two-year duration of a reappointment contract without a rolling contract, but with sufficient time to ensure that the faculty employee is notified of the final decision of the dean no later than the last day of the reappointment contract, the unit administrator shall recommend to the dean one of the three actions enumerated below. The unit administrator shall consult with the appropriate faculty (see AR 2:1-1 Appendix I) and, where prescribed in Appendix I, obtain written judgments from those faculty. The recommendation of the unit administrator shall be limited to one of the following actions:

a. An offer to the faculty employee of a 2-year rolling contract (i.e., a two-year notice before any potential termination of employment), subject to the conditions stated in Section III.A.1 above.

b. An offer to the faculty employee of a terminal reappointment contract.

c. An offer to the faculty employee of a reappointment contract, not to exceed two years in duration and without the provision for a rolling contract. At the end of that reappointment period, the terms of reappointment shall be limited to:

i. the restoration of a 2-year rolling contract (i.e., a two-year notice before any potential termination of employment), subject to the conditions stated in Section III.A.1 above; or

ii. the issuance of a terminal reappointment contract.

B. Lecturer Series Faculty at the Rank of Senior Lecturer

1. In the event that a faculty employee at the rank of Senior Lecturer is assigned a composite rating of unsatisfactory on a biennial faculty performance review (see AR 3:10), the unit administrator shall not recommend the renewal of the faculty employee's rolling contract. After informing the appropriate unit faculty members (see AR 2:1-1 Appendix I), the unit administrator shall recommend to the dean that the faculty employee be offered a three-year reappointment contract without the provision for a rolling contract. The dean shall make the final decision on whether the two-year reappointment includes a rolling contract. The dean shall notify the faculty employee of the final decision in writing and send a copy of the notification to the unit administrator.

2. During the reappointment period without the provision of a rolling contract, the faculty employee shall undergo an annual faculty performance review.

3. In the second or third year of the reappointment contract, and with sufficient time to ensure that the faculty employee is notified of the decision of the dean no later than the last day of the reappointment contract, the unit administrator shall recommend to the dean one of the three actions enumerated below. The administrator shall consult with the appropriate faculty members (see AR 2:1-1 Appendix I) and, where prescribed in Appendix I, obtain written judgments from those faculty. The recommendation of the unit administrator shall be limited to one of the following actions:

a. An offer to the faculty employee of a 3-year rolling contract (i.e., a three-year notice before any potential termination of employment), subject to the conditions stated in Section III.B.1,

b. An offer to the faculty employee of a terminal reappointment contract.

c. An offer to the faculty employee of a reappointment contract, not to exceed two years in duration and without the provision for a rolling contract. At the end of that reappointment period, the terms of reappointment shall be limited to:

i. the restoration of a 3-year rolling contract (i.e., a three-year notice before any potential termination of employment), subject to the conditions stated in Section III.B.1 above; or

ii. the issuance of a terminal reappointment contract.

IV. Criteria for Appointment, Reappointment, Promotion, and Faculty Performance Review ~~Merit Review~~

A. The Faculties of the educational units that employ full-time Lecturer Series faculty shall establish unit criteria and procedures for appointment, reappointment, nonrenewal of appointment, terminal reappointment, promotion, and faculty performance ~~merit~~-review (pursuant to GR VII.A.6). The criteria for appointment shall include an earned terminal degree appropriate to the field of assignment. Evidence of the appropriate professional experience or credentials may substitute for a terminal degree with the approval of the Provost. These unit criteria and procedures shall be submitted to the dean of the college for approval.

~~B. After a minimum of five years of continuous service as a full-time Lecturer, consideration for promotion (without tenure) from Lecturer to Senior Lecturer may occur. The Provost makes the final decision on the promotion, without reference to an Area Committee. The college policies on performance review of Lecturer Series faculty employees shall prescribe that Lecturer Series faculty employees undergo Faculty Performance Review (see AR 3:10) as follows:~~

1. Lecturer Series faculty at the rank of Lecturer shall undergo Faculty Performance Review on an annual basis.

2. Lecturer Series faculty at the rank of Senior Lecturer shall undergo Faculty Performance Review on a biennial basis, except as prescribed in Section III.B.2 above.

C. A Lecturer Series faculty employee may be considered for promotion (without tenure) from the rank of Lecturer to the rank of Senior Lecturer at any time after five (5) years of continuous full-time service. In preparing a recommendation to the dean on a promotion case in the Lecturer Series, the educational unit administrator shall consult with the appropriate faculty employees of the unit and obtain their written judgments (see AR 2:1-1 Appendix I). Prior to making a recommendation to the Provost on a promotion case in the Lecturer Series, the dean shall provide the dossier to the college advisory committee on appointment, reappointment, promotion, and tenure (AR 2:1-1, Section VII.B), and obtain its written advice. The Provost makes the final decision on the promotion, without reference to an Area Committee. A faculty employee promoted to the rank of Senior Lecturer shall be offered a 3-year rolling contract (i.e., a 3-year notice before any potential termination of employment, subject to the conditions stated in Section III above).

-V. Periodic Course Reduction Associated with Professional Development Opportunities ~~Eligibility for Tenure, Leave, and Other Benefits~~

After six (6) years of continuous service, Lecturer Series faculty employees shall be eligible to apply for a one-year course reduction, equivalent to six (6) undergraduate credit hours of teaching, to devote time for professional development in order that the quality of faculty employee's service to the University may be enhanced. The unit administrator shall review such requests from Lecturer Series faculty employees and

make recommendations to the dean. The dean shall make the final decision on recommendations for periodic course reductions for Lecturer Series faculty employees.

Normally "continuous service" is interrupted by the two-course reduction associated with the professional development opportunity identified in this regulation. That is, no service prior to the two-course reduction may be credited toward eligibility for future professional development opportunities described herein. However, in the event that it becomes necessary for an individual to postpone such professional development at the request of and/or for the benefit of the University or one of its educational units, the period of postponement shall be counted as part of the six (6) years of continuous service necessary for the individual to become eligible again for the professional development opportunity identified in this regulation. The request for and/or agreement that the professional development opportunity be postponed shall be made in writing by the unit administrator to the dean, who has final authority in this matter. The request or agreement shall specify the period of postponement and the reason for it.

VI. Conditions of Employment

A. Distribution of Effort

The annual assignment for faculty employees in the Lecturer Series shall normally be seventy-five (75) percent instruction (typically equivalent to nine (9) undergraduate credit hours of teaching per semester), with the remaining twenty-five (25) percent of the annual assignment apportioned among other assigned duties that serve the undergraduate program of the faculty employee's educational unit or college. Teaching assignments shall be limited to 100-, 200- and 300-level courses. Exceptions to these provisions may be granted by the Provost upon the written recommendation of a dean.

B. Eligibility for Tenure, Leave, and Other Benefits

1A. Lecturer Series faculty employees are not eligible for tenure, sabbatical leave or membership in the Graduate Faculty. Lecturers Series faculty employees are eligible for faculty membership with voting privileges in the college faculty and the University Senate, subject to the approval of the tenured and tenure-track members of the faculty in the college to which they have been assigned. They are also eligible for faculty membership with or without voting privileges in the educational unit to which they have been assigned, subject to the approval of the tenured and tenure-track members of the faculty in that educational unit. The extension of these privileges to Lecturer Series faculty employees shall be recorded in the respective Rules documents of the educational unit and college (GR VII).

2B. Lecturer Series faculty employees are eligible to apply for positions in other faculty series. If a Lecturer is subsequently appointed in a tenure-eligible title series, the Regular, Special, Extension or Librarian Title Series, time spent as a Lecturer Series faculty employee shall not count toward eligibility for sabbatical leave nor as a part of the individual's probationary period.

3.C. Lecturers Series faculty employees are eligible for the same University employee benefits, such as retirement, health care and life

insurance plans, as ~~are Regular, Special, Extension or Librarian Title Series~~ other regular faculty employees. Similarly, temporary disability leave may be granted by the Provost.

4. Lecturer Series faculty employees are entitled to the right of appeal on matters of procedure, privilege and/or academic freedom that is afforded faculty employees in other faculty series, as prescribed in the *Administrative Regulations* (AR 2:1-1, Sections XI and XII) and as provided in the *Governing Regulations* (GR I.D).

FCR 1

Office of the President
June 8, 2010

Members, Board of Trustees:

ALLIANCE RESOURCE PARTNERS AND ALLIANCE HOLDINGS PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$1,200,000 from Alliance Resource Partners, L.P. and Alliance Holdings GP, L.P. of Tulsa, Oklahoma to create the Alliance Coal Chair in Mining Engineering in the College of Engineering.

Background: Alliance Resource Partners is a diversified coal producer and marketer with significant operations in the eastern United States. It has a longstanding partnership with the College of Engineering Department of Mining Engineering through its support of faculty, students, and research. The Alliance Coal Chair will provide the opportunity to recruit a renowned scholar and researcher to enhance the teaching, research, and service of the Department.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 2

Office of the President
June 8, 2010

Members, Board of Trustees:

GIFT FROM THE ESTATE OF JOANNE I. BELL

Recommendation: that the Board of Trustees accept a gift of \$10,000 from the Estate of Joanne I. Bell to create and endow the Joanne I. Bell Discretionary Quasi-Endowment in the College of Social Work.

Background: Joanne I. Bell was an associate professor in the College of Social Work for 25 years prior to her retirement in 1995. She continued to serve the College as an associate professor emeritus. Joanne Bell had a national reputation for expertise in social work policy and analysis. A previous bequest from the Bell Estate added to the Joanne I. Bell Professorship in Critical Thinking and Social Policy Analysis. This bequest was given to the College of Social Work with no restrictions.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 3

Office of the President
June 8, 2010

Members, Board of Trustees:

DON JACOBS CHARITABLE FOUNDATION, INC. GIFT

Recommendation: that the Board of Trustees accept a gift of \$240,000 from the Don Jacobs Charitable Foundation, Inc. to create and endow the Division of Urology Leadership Endowment for Resident Research and Education in the Division of Urology in the College of Medicine and submit this gift of \$240,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Don Jacobs has owned car dealerships in Lexington for 40 years. As a local businessman, he is concerned for the health and welfare of the Lexington community and, more broadly, the entire Commonwealth. He appreciates the role UK plays in training future specialists not only in patient care but in research methodologies designed to improve the care of patients and minimize the impact of disease. The gift from the Don Jacobs Charitable Foundation will support Urology Resident training and is made in honor of Mr. Jacobs' friend, Dr. Bill McRoberts, the first Chief of the UK Division of Urology.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 4

Office of the President
June 8, 2010

Members, Board of Trustees:

APPROVAL OF LEASE FOR DEPARTMENT OF SOCIAL WORK

Recommendation: that the Board of Trustees authorize the Executive Vice President for Finance and Administration to negotiate and execute a lease between the University of Kentucky (Department of Social Work) and Blazer Parkway Venture, 1591 Winchester Road, Suite 107, Lexington, Kentucky, for space located at 3470 Blazer Parkway, Lexington, Kentucky. The lease is for 10,910 square feet of clinical and administrative space. The lease will be effective July 1, 2010, to June 30, 2013. The rental rate is \$17.75 per square foot for a total annual rate of \$181,197.50. The lease will be funded by state and federal grants and agency funds.

Background: At its October 10, 2006 meeting the Board of Trustees amended Governing Regulation II.A.6(g) requiring that all leases in excess of \$200,000 be approved by the Board of Trustees. The President or his designated representative is authorized to enter into leases and easements not exceeding \$200,000 in value. Pursuant to KRS 56.823, all leases with an annual rental of \$100,000 and above must be reported to the Capital Projects and Bond Oversight Committee and therefore must first receive approval from the Board of Trustees.

The Department of Social Work has occupied this space since 2002. The University advertised this lease renewal and received no bids other than from Blazer Parkway Venture.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 5

Office of the President
June 8, 2010

Members, Board of Trustees:

APPROVAL OF LEASE FOR DEPARTMENT OF PSYCHIATRY

Recommendation: that the Board of Trustees authorize the Executive Vice President for Finance and Administration to negotiate and execute a lease between the University of Kentucky (Department of Psychiatry) and Blazer Parkway Venture, 1591 Winchester Road, Suite 107, Lexington, Kentucky, for space located at 3470 Blazer Parkway, Lexington, Kentucky. The lease is for 26,020 square feet of clinical and administrative space and will be effective July 1, 2010, to June 30, 2014. The rental rate is \$18.25 per square foot for 25,474 square feet of clinical and administrative space and \$17.75 per square foot for 546 square feet of support space, which includes a conference room. The total annual rate is \$474,592 and will be funded from clinical revenues.

Background: At its October 10, 2006 meeting, the Board of Trustees amended Governing Regulation II.A.6(g), requiring that all leases in excess of \$200,000 be approved by the Board of Trustees. The President or his designated representative is authorized to enter into leases and easements not exceeding \$200,000 in value.

Kentucky Revised Statute §48.111 requires legislative authorization of all leases with annual costs of \$200,000 or more. The University has requested authorization of this lease in its 2010-12 biennial budget request. The Board of Trustees' approval is contingent upon inclusion of this lease authorization in the enacted 2010-12 Executive Budget.

The Department of Psychiatry has occupied this space since 1999, using it to offer psychiatric services. The University advertised this lease and received no bids other than from Blazer Parkway Venture.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 6

Office of the President
June 8, 2009

Members, Board of Trustees:

LEASE-PURCHASE OF HIGH PERFORMANCE RESEARCH COMPUTER

Recommendation: that the Board of Trustees approve the acquisition of a High Performance Research Computer (Supercomputer) using a lease-purchase method of procurement.

Background: At the September 11, 2007 meeting, the Board delegated to the Executive Vice President for Finance and Administration the responsibility for managing University debt related to the lease-purchase of equipment and information technology items costing less than \$200,000. However, acquisitions of major equipment and information technology items costing \$200,000 or more where the method of procurement involves debt in the form of capitalized lease obligations will be submitted to the Board for approval. The Debt Management Committee has reviewed this acquisition to assess the impact on the university's debt capacity. The Debt Management Committee has determined that acquisition of this item is consistent with the University's Debt Policy.

The University performs a wide variety of computationally intensive research, including various areas of engineering, physics, astronomy, chemistry, computational fluid dynamics, quantum chromodynamics, visualization, and virtual environments. The University has been a national leader in the use of supercomputing for scientific research for three decades as it has provided its researchers with the high performance computing facilities needed to expand the boundaries of knowledge. The current supercomputer lease expires July 31, 2010. A request for proposals for a new supercomputer was issued and a committee of faculty assessed the technical and financial responses to ensure the chosen equipment will meet the needs of faculty researchers.

Authorized by the 2008 Kentucky General Assembly, the cost of the lease-purchase for the supercomputer is \$2.6 million (paid \$1.3 million annually). The lease-purchase will be funded from agency funds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 7

Office of the President
June 8, 2010

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE NINE MONTHS ENDED
MARCH 31, 2010

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the nine months ended March 31, 2010.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Central Kentucky Management Services.

As of March 31, 2010, the University had realized income of \$1,864,934,000 representing 77 percent of the 2009-10 estimate of \$2,433,190,000. Expenditures total \$1,650,707,000 or 68 percent of the approved budget of \$2,433,190,000.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____



*Consolidated Financial
Statements*

For the nine months ended March 31, 2010

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
MARCH 31, 2010

with comparative totals for March 31, 2009
(in thousands)

	2009-10					2008-09	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 365,890	\$ 83,055	\$ 7,103	\$ 5,107	\$ 88,467	\$ 549,622	\$ 502,796
Notes, loans, and A/R (less bad debt allowances of \$44,670)	174,436	82,189	24,614	2,500	283	284,022	261,960
Investments	20,546	116,194		841,718	37,442	1,015,900	821,774
Property, plant, and equipment, net of depreciation					1,679,719	1,679,719	1,448,778
Inventories and other assets	47,174				4,121	51,295	53,230
Total Assets	<u>\$ 608,046</u>	<u>\$ 281,438</u>	<u>\$ 31,717</u>	<u>\$ 849,325</u>	<u>\$ 1,810,032</u>	<u>\$ 3,580,558</u>	<u>\$ 3,088,538</u>
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 67,250	\$ 5,123			\$ 2,337	\$ 74,710	\$ 87,583
Employee withholdings and deposits		26,779				26,779	24,936
Other liabilities	16,624	9	\$ 22,034	\$ 29,580	20,744	88,991	75,433
Liability for self insurance	57,257					57,257	55,086
Deferred income	51,657	42,416				94,073	69,151
Bonds payable					586,405	586,405	506,625
Capitalized lease obligation					150,416	150,416	138,661
Total Liabilities	<u>192,788</u>	<u>74,327</u>	<u>22,034</u>	<u>29,580</u>	<u>759,902</u>	<u>1,078,631</u>	<u>957,475</u>
Interfund Balances	<u>(74,426)</u>	<u>69,252</u>		<u>13,820</u>	<u>(8,646)</u>		
Net deferred revenues and appropriated fund balances	<u>209,227</u>	<u>5,000</u>				<u>214,227</u>	<u>193,628</u>
Fund Balances							
Current unrestricted							
Working capital	274,696					274,696	243,107
Future operating purposes	5,761					5,761	5,512
Current restricted		132,859				132,859	109,678
Loan			9,683			9,683	10,221
True endowments				548,671		548,671	404,602
Term endowments				3,023		3,023	2,387
Quasi endowments				250,861		250,861	175,528
Charitable trusts				2,822		2,822	115
Gift annuities				548		548	254
Plant							
Retirement of indebtedness					24,254	24,254	13,573
Renewal and replacement					17,524	17,524	16,107
Allocated for designated projects					162,751	162,751	155,635
Net investment in plant					854,247	854,247	800,716
Total Fund Balances	<u>280,457</u>	<u>132,859</u>	<u>9,683</u>	<u>805,925</u>	<u>1,058,776</u>	<u>2,287,700</u>	<u>1,937,435</u>
Total Liabilities and Fund Balances	<u>\$ 608,046</u>	<u>\$ 281,438</u>	<u>\$ 31,717</u>	<u>\$ 849,325</u>	<u>\$ 1,810,032</u>	<u>\$ 3,580,558</u>	<u>\$ 3,088,538</u>

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2010
with comparative totals for March 31, 2009
(in thousands)

	2009-10				2008-09	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
State appropriations	\$ 324,616	\$ 294,137	\$ 235,310	80	\$ 257,002	80
Student fees	272,461	272,461	268,662	99	250,126	99
County appropriations	15,855	15,855	13,616	86	11,983	79
Endowment and investment income	5,518	5,518	3,888	70	6,744	61
Non governmental grants and contracts	131,000	131,000	96,535	74	91,318	82
Grants, donations, pledges						
Affiliated corporations	32,721	32,721	23,967	73	21,681	74
Other	20,549	20,584	14,986	73	13,298	54
Sales and services	24,977	24,785	16,336	66	18,536	74
Transfers	21,465	22,102	15,693	71	13,030	69
Total General Fund	849,162	819,163	688,994	84	683,718	84
Auxiliary Enterprises	70,469	71,772	63,927	89	59,047	81
Restricted Funds						
Federal appropriations	16,519	17,387	12,033	69	13,139	76
State Fiscal Stabilization Fund	-	21,067	21,067	100	-	-
Other	133,250	133,295	85,168	64	79,589	67
Affiliated Corporations	368,364	368,364	289,342	79	262,680	74
UK Hospitals	906,262	906,262	608,524	67	554,329	74
Total Revenues	2,344,026	2,337,310	1,769,054	76	1,652,502	78
Appropriated Fund Balance						
Current unrestricted fund	95,665	95,880	95,880	100	103,228	100
Total Revenues and Appropriated Fund Balance	\$ 2,439,691	\$ 2,433,190	\$ 1,864,934	77	\$ 1,755,730	79

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2010**

with comparative totals for March 31, 2009
(in thousands)

	2009-10				2008-09	
	Original Budget	Revised Budget	Expended To Date	%	Expended To Date	%
Instruction	\$ 340,593	\$ 304,387	\$ 199,727	66	\$ 197,068	67
Research	292,843	305,542	198,885	65	190,877	65
Public service	297,292	302,306	220,537	73	209,216	72
Academic support	115,550	119,813	78,820	66	77,647	66
Student services	30,804	34,123	22,169	65	21,135	70
Institutional support	125,709	119,498	60,633	51	56,861	44
Student financial aid	97,616	98,743	101,783	103	91,681	99
Operation and maintenance	64,229	72,420	44,510	61	41,556	66
Mandatory transfers (debt service)	61,610	61,610	48,271	78	39,661	88
UK Hospitals	884,544	884,544	572,521	65	537,595	72
Auxiliary enterprises	128,901	130,204	102,851	79	98,805	78
Total Expenditure by Program	\$ 2,439,691	\$ 2,433,190	\$ 1,650,707	68	\$ 1,562,102	70

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2010**

with comparative totals for March 31, 2009
(in thousands)

	2009-10				2008-09	
	Original Budget	Revised Budget	Expended To Date	%	Expended To Date	%
Personnel costs	\$ 1,114,016	\$ 1,120,548	\$ 928,294	83	\$ 887,293	81
Operating expenses	1,108,173	1,089,091	647,248	59	604,048	58
Mandatory transfers (debt service)	61,610	61,610	48,271	78	39,661	88
Capital outlay	155,892	161,941	26,894	17	31,100	62
Total Expenditure by Category	\$ 2,439,691	\$ 2,433,190	\$ 1,650,707	68	\$ 1,562,102	70

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2010

with comparative totals for March 31, 2009
(in thousands)

	2009-10	2008-09
Realized Revenues	\$ 1,769,054	\$ 1,652,502
Appropriated Fund Balances		
Current Unrestricted Fund	\$ 95,880	103,228
Total Appropriated Fund Balances	95,880	103,228
Total Revenues and Appropriated Fund Balances	1,864,934	1,755,730
Expenditures	1,650,707	1,562,102
Net Deferred Revenues and Appropriated Fund Balances	\$ 214,227	\$ 193,628

FCR 8

Office of the President
June 8, 2010

Members, Board of Trustees:

PATIENT CARE FACILITY PROJECT

Recommendation: that the Board of Trustees approve the initiation of the first phase of the operating room suite and related support space in the new Patient Care Facility currently under construction and approve the increase of the existing \$532.3 million scope of the total project to \$570 million.

Background:

- At its December 14, 2004 meeting, the Board approved the replacement of the original 1959 hospital structure. The project would be completed in multiple phases.
- At its October 25, 2005 meeting, the Board approved the consolidation of the Patient Care Facility project and related infrastructure projects to bring the aggregate approved scope to \$450 million.
- At its September 11, 2007 meeting, the Board approved adding two shelled patient bed floors to the project scope.
- At its September 9, 2008 meeting, the Board approved the consolidation of existing legislative authorizations relating to the project and established the scope of the initial phases of the project at \$532.3 million.

Because of the addition of the two patient care floors approved in September 2007, the operating room suite and related support space (OR project) - originally part of Phase 1A of the Patient Care Facility project - were deferred. It is recommended that the OR project be initiated to:

- ensure operating room capacity for planned growth;
- provide excellent patient care to trauma patients by providing state of the art surgical services within the same pavilion as the Level 1 Trauma Unit; and
- improve customer service with a new, consolidated family waiting area for surgical services.

Related support space will house the Central Sterile Supply Department (Supply Department) which will distribute sterile instruments and supplies for the Perioperative Department. The Supply Department will support existing operations as well as the increased capacity created by the new surgical suite.

The scope of the OR project is not expected to exceed \$35 million. The \$37.7 million increase in the total scope of the Patient Care Facility project is requested in case of unforeseen complications and is within the scope authorized by the General Assembly.

The OR project will be funded by an internal loan of up to \$35 million to UK HealthCare. Any additional funds, if needed, will be provided from UK HealthCare's fund balances. The internal loan is expected to be repaid within 10 years. In accordance with the University's Debt Policy as revised by the Board at its April 27 meeting, the Debt Management Committee has reviewed and supports the proposed loan and required financing plan. Any increase in the total \$570 million scope of the Patient Care Facility project will be presented to the Board for approval prior to implementation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 9

Office of the President
June 8, 2010

Members, Board of Trustees:

EASEMENT AUTHORIZATION FOR KENTUCKY AMERICAN WATER COMPANY
WATER LINE (Eden Shale Farm)

Recommendation: that the Board of Trustees authorize the Executive Vice President for Finance and Administration to convey approximately 3.75 acres for a permanent utility easement to Kentucky American Water Company (KAWC) for the construction of a water line along the western boundary of the University of Kentucky's Eden Shale Farm in Owen County, KY.

Background: The University of Kentucky and Kentucky American Water Company have been coordinating the construction of a four inch PVC water line with an associated 30-foot wide permanent utility easement. Along with up to a 30-foot permanent easement for the water line will be a temporary easement of an additional 20 feet on each side of the water line easement for the purpose of constructing the water line. The temporary easement terminates and reverts to the University upon completion of the water line construction.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 10

Office of the President
June 8, 2010

Members, Board of Trustees:

RESOLUTION ESTABLISHING AUTHORITY FOR BANKING AND INVESTMENT TRANSACTIONS

Recommendation: that the Board of Trustees authorize the Treasurer of the University of Kentucky to (1) establish bank accounts in the name of the University and its affiliated corporations and be the designated signatory authority for the disbursement of funds (checks, ACH, wires, EFT, etc.); (2) establish investment contracts and authorize endowment and short-term/overnight investment transactions under the supervision of the Investment Committee of the Board of Trustees; (3) assign, sell or otherwise dispose of securities received as gifts; and (4) negotiate and sign agreements with one or more banks for the provision to the University of Kentucky of treasury management services including, without limitation, wire transfer and ACH services.

Further, the Board of Trustees authorizes that the Treasurer may delegate, in writing, signature authority for the above transactions to the Treasury Operations Director or the Assistant Treasurer for Investments.

Background: At its September 21, 1982 meeting, the Board of Trustees appointed the Treasurer as the University official authorized to assign, sell, or otherwise dispose of securities received as gifts. Further, Governing Regulation II,A,6,(f) establishes that the Investment Committee of the Board of Trustees is responsible for the review and oversight of the endowment investment programs of the University and its affiliated corporations and that the policies of the Committee are implemented by the Office of the Treasurer in carrying out the day-to-day operations of the University's endowment funds. At its December 11, 2007 meeting the Board of Trustees approved a resolution establishing authority for banking and investment transactions to the Treasurer and authorizing the Treasurer to delegate, in writing, signature authority for these transactions. On January 23, 2008, the Investment Committee of the Board of Trustees approved the Overnight and Short-Term Investment Policy which delegated the responsibility for the custody, investment, and disbursement of all funds of the University in accordance with established policies and procedures to the Treasurer. At its September 15, 2009 meeting the Board of Trustees named Angie Martin as Vice President for Financial Operations and Treasurer. One of the University's banking vendors requests clarification of the Resolution approved by the Finance Committee on December 11, 2007 by adding the fourth item above.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 11

Office of the President
June 8, 2010

Members, Board of Trustees:

DISPOSAL OF PERSONAL PROPERTY

Recommendation: that the Board of Trustees approve a plan for Fiscal Year 2010-2011 authorizing routine disposition of personal property and the methods of disposal as listed on Attachment 1.

Background: Kentucky Revised Statute 164A.575 requires that the Board of Trustees approve disposition of equipment and other property. Administrative Regulation (AR) 8:1, approved by the Board of Trustees at its August 16, 1994 meeting and revised in March 2007, establishes the necessary policies and procedures, including required approval by the Board of an annual plan for the disposition of personal property.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

ANTICIPATED DISPOSAL OF PROPERTY 2010-11

A. Equipment and Machinery

Method of Disposal

Public Auction

Internet Auction

Sealed Bid

Fixed Price

Retail Outlet

Transfers to Other State Agencies or Governmental Units,

Educational Institutions, or not-for-profit organizations

Reasons for Disposal

Equipment and machinery surplus to the needs of the University due to depreciation, obsolescence, program changes or termination.

B. Vehicles

Method of Disposal

Public Auction

Fixed Price

Internet Auction

Reason for Disposal

Vehicles of excessive mileage and/or in need of major repairs.

C. Library Books and Materials

Method of Disposal

Public Auction

Sealed Bid

Fixed Price

Reason for Disposal

Library books and materials that are obsolete or outdated.

D. Livestock

Method of Disposal

Public Auction

Sealed Bid

Fixed Price

Reason for Disposal

Livestock surplus to the needs of the College of Agriculture or otherwise unsuitable for use (completion of research projects, carcass data requirements, normal culling of herds).

E. Recyclable Materials

Method of Disposal

Price Contracts

Fixed Price

Reason for Disposal

To comply with regulations for proper and timely disposition of waste products and recyclable materials (as directed by the Office of Environmental Health and Safety).

FCR 12

Office of the President
June 8, 2010

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending March 31, 2010 be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted by the 1982 Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period January 1, 2010 thru March 31, 2010:

There were six new contracts this quarter:

Project 2302.0	Upgrade, Renovate, Improve or Expand Research Labs (Renovate Research Labs in Chemistry-Physics Building) Denham Blythe, \$1,382,093 (<i>Construction</i>)
Project 2306.0	Renovate Third Floor Little Library Pearson & Peters Architects, \$44,200 (<i>Design</i>)
Project 2307.0	Renovate Nursing Building Stengel-Hill, \$150,000 (<i>Design</i>)
Project 2309.0	Replace Wildcat Lodge Student Housing (Wildcat Coal Lodge) JRA Architects, \$554,253 (<i>Design</i>) Codell Construction, \$398,000 (<i>Construction</i>)
Project 2311.0	Renovate Parking Structure # 3 - Hospital Brown & Kubican, \$42,000 (<i>Design</i>)

One contract was completed this quarter:

Project 2295.0	Renovate Koinonia House (aka Schmidt Vocal Art Center) Churchill McGee, \$888,439
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Forty Five change orders greater than \$25,000 were as follows:

Project 2234.0	Expand & Upgrade Livestock Disease Diagnostic Lab - Add freestanding wall to top of retaining wall to increase height to 42" for added safety and improvement of site grading. (+) \$28,360 - Provide trailer for temporary relocation of the College of Ag. Pathologists during renovation of their current space. (+) \$35,910
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- Project 2235.0 Construct Biological Pharmaceutical Complex Building
- Provide necessary vent piping for the Steris autoclave. (+) \$40,430
 - Modify site construction to maintain some parking at the Limestone/Leader corner lot. (+) \$29,493
 - Revise the amphitheatre exterior steps for added safety. (+) \$27,382
 - Modify the eyewash for added safety. (+) \$25,917
- Project 2239.0 Construct Patient Care Facility
- Provide for extended sleeves to allow for access without infringing on the cable tray. (+) \$109,869
 - Modify the smoke dampers due to space restrictions. (+) \$31,104
 - Provide for relocation of the 3" chilled water supply take off. (+) \$26,580
 - Provide safety drain traps for the humidification steam generator during low pressure conditions. (+) \$71,292
 - Replace pipe and steam traps on the clean steam generators. (+) 39,069
 - Provide accommodations for future elevator equipment which would be disruptive once the hospital is operational. (+) \$46,192
 - Revise various duct sizes and pipe routing. (+) \$49,407
 - Provide and install a 40 foot mast to accommodate antennas. (+) \$138,921
 - Provide lift-net Ethernet based control monitoring system of all new and future elevators. (+) \$66,388
 - Relocate high pressure steam header in basement above chilled water pipes. (+) \$67,125
 - Provide for supply and return to match the connections to the mains in the tunnel. (+) \$56,402
 - Provide access hatches for future service and installation of equipment in the elevator machine room at the top of each tower. (+) \$37,489
 - Provide temporary weather protection to contain winter heat (+) \$49,623
 - Provide for adjustments to the ductwork to allow for installation of systems while maintaining ceiling height. (+) \$40,504
 - Provide for a medical gas master alarm panel to allow for a UL rated system. (+) \$123,223
 - Provide for revisions of the light fixture selection and layout. (+) \$34,574
 - Provide for modifications of the VESDA smoke detection system. (+) \$82,634
 - Provide for added data and power outlets necessary to use the upper cabinets in the patient wardrobes for IT equipment mounting. (+) \$229,299
 - Provide additional power necessary for the final pneumatic tube system design. (+) \$108,706
 - Provide for revision of the 6th floor ductwork and cable tray to allow for proper access. (+) \$136,321
 - Provide for revision of the 7th floor ductwork and cable tray to allow for proper access. (+) \$115,641
 - Provide for added power to the infrastructure to support additional UPS devices. (+) \$154,586
 - Provide for replacement of tile in the ED due to color correction. (+) \$37,436

- Provide for re-routing of glycol and hot water piping on level 14 due to space constraints. (+) \$40,687
- Provide for revision of the location of 8 patient tracking monitors and addition of 7 locations for overhead tracking monitors. (+) \$50,973
- Provide for additional piping and electrical connections for dialysis equipment in 16 step down rooms. (+) \$102,822
- Provide for revision of domestic water piping to the steam pressure reducing stations for the domestic water heat exchangers. (+) \$88,311
- Provide 5" copper pipe in lieu of steel for heating hot water piping. (+) \$31,061
- Provide for an upgrade of dialysis boxes and added electrical connections for dialysis equipment. (+) 108,500
- Delete sprinklers from north and west canopies and add to south drive through canopy to assure greater coverage where vehicular traffic is located. (-) 26,766
- Provide for modification of the temporary basement offices to address code compliance. (+) \$136,040
- Provide for revised ductwork and piping to accommodate installation of all trades. (+) 52,662
- Provide plumbing and electrical services to five dialysis boxes in the ED. (+) \$40,734
- Provide revisions to power, lighting, and specialty lighting to accommodate art selections. (+) \$56,337

Project 2239.32

PCF – Hospital – CUP Expansion

- Provide for various items of work related to the boiler installation that were not included in the contractor's original documents (+) \$25,745
- Provide for increased building temperature controls necessary to manage the high efficiency boiler operation. (+) \$54,881

Project 2297.1

Digital Village Building 2

- Provide for installation of new cabling between the switch in the new manhole to the existing one to minimize shutdown and outage to the Hardyman Building. (+) \$37,930
- Adjust the allowance found in the photo-voltaic panel installation bid package due to bid savings. (-) \$32,042
- Provide for the revision of the communications duct bank and modification to the slope of storm line D. (+) \$46,491

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT

This report contains capital projects that have a dollar scope value of \$600,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

Consultant: This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

Contractor: This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

Glossary

Amendment: refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

Change Order: refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

Consultant: the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

Contract administration: refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

General Contractor: the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

Feasibility Study: refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probably cost, preliminary program issues, and so forth.

Gross square feet: the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

H.B.C.: refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

Penthouse: refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

Percent complete: as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

Net assignable square feet: the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

Phase: The various stages of design and construction of a capital project, including:

- **Programming phase:** refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- **Schematic design (S/D or Phase 1):** refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- **Design development (D/D or Phase 2):** refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- **Construction document phase (C/D or Phase 3):** refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- **Bidding phase:** refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- **Construction phase (or Phase 5):** refers to the actual construction of the project by contractors.

Scope: The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

Substantial Completion: refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

Trade Contractor: Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

Major Projects
Capital Project Management Division
April 30, 2010

Construct Biological Pharmaceutical Complex Building

Project Description:

The Biological Sciences/Pharmaceutical Complex, was authorized by the 2005 General Assembly and will construct a 281,000 gross square foot facility that includes some "shell space" to allow for the growing research needs. This "shell space" will be fitted out for research laboratories at a later date as university funds and/or grant dollars become available. This new building will provide the college with a state-of-the-art facility that will also allow the college to expand enrollment to address the shortage of pharmacists in Kentucky. The College of Pharmacy will relocate to this new facility and vacate their existing space. In addition to the current Pharmacy Building, college faculty members are housed in 10 buildings on and off campus.

Project Status:

Site work and Tunnel work achieved substantial completion this month. Project is complete with the exception of some last minute change order work.

Construct Children's Garden at the Arboretum

Project Description:

The Children's Garden will be a safe 1.85 acres outdoor environment designed to help children 2 to 10 years old learn about plants and the environment. There will be an integrated combination of various "child-scaled" theme gardens, garden-like elements for experiential play, and gardens planted and maintained by children. This initial phase will construct the geographical features including rock escapements; the stream and pond; and some of the walks and plantings.

Project Status:

Work is complete on all waterway bases, liners and concrete coatings. Walkways are approximately 60% complete. Placement of decorative limestone in waterways and adjacent to waterways is ongoing. Stone work at entrance way is commencing. Electrical primary service is installed.

Construct Patient Care Facility

Project Description:

The Hospital's present patient bed tower is over 40 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate rapidly developing technology. This project is providing the site location evaluation, building programming, planning, and design services for a new bed tower. The project design team is lead by GBBN with major sub consultants including AM Kinney, Ellerbe-Becket, Staggs and Fisher, Affiliated Engineers, THP Limited as well as a number of specialty consultants. This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge to clear the site for the new hospital addition as well as land acquisition and utility relocation costs. The progress of all the components is listed below.

PCF – Building (BP #'s 3B, 4, 5 & 6):

Project Description:

This project includes:

- (BP #3B) Early Site Work
- (BP #4) The demolition of the existing Parking Structure # 4. The work will involve the start of all deep foundations up to the grade of the excavation.
- (BP #5) The construction of the new 1,022,000 gross square feet building's structural frame, fireproofing, exterior wall enclosure for all new building spaces, windows, exterior doors and roofs. Also included will be all exterior site improvements, civil design for roadways, drainage systems, landscaping, hardscape surfaces and walks.
- (BP #6) The interior fit-out partitions, interior finishes, doors and frames, ceilings, mechanical systems, electrical systems, technology systems, medical equipment and fire protection for approximately 490,000 occupiable square feet; and 532,000 square feet of shell space. The work also will include drawings and specifications for signage, interior furnishings and lighting.

Project Status:

- Core and Shell (BP #5)

A time extension of 3 days was granted in February for inclement weather.

The infill precast panels at the west buck hoist and at the west tower crane location completed this month.

Detailing continues on the east tower. The radius panels at the lobby area completed this month leaving only the infill panels at the east buck hoist and tower crane locations. Masonry work continued this month with the installation of the CMU and exterior stone veneer at the north lobby and garage areas. Work also resumed at the south area canopy walls and at the west buck hoist infill. The acoustical contractor continued light gauge metal framing at the ground floor south canopy and bridge locations. The fireproofing contractor continued fireproofing the tower structure steel. The east connector roof area is complete and they are currently working on level eight west. Most areas at the third level roof, bridge area, garage area and fifth level areas were completed. Roofing will continue around the towers in the select lower roof areas. The level 13 roof was stocked with all needed materials. The sealant contractor continues on the west and north elevations of the west tower with seal tape and joint sealants progressing to other elevations. The window contractor continues to work on window installations on the west tower. Work on the bridge window panels is completed across to the garage area. Work continues at the garage area windows. Louvers also resumed on the east elevation at levels three and four with framing and louver body installation. The installation of metal panels continued across the bridge on night shift completing the areas to the garage area. The field panels were also installed under the bridge area. The metal panels at the west fifth floor eyebrow were finished and caulked.

The elevator contractor is currently working on rails and platforms in elevators 02, 03, and 04. Elevator 07 is in the final stages in both the shafts and machine room. Elevator 02 was released for construction use in mid-April. The excavation contractors mobilized to the site performing site grading at the east, south and west areas. New curbs and gutters and sidewalks were installed at the northeast project area. New curbs and gutters were also installed at the east side getting ready for sidewalk installations. We are final testing and flushing the chilled water and glycol. Heating water commissioning is complete.

The BP 5 electrical work continues with installation of permanent feeds to the penthouse for the elevators. The podium bus duct is complete and the system energized. Tower bus duct began April 1. HVAC mechanical ductwork is complete on the third floor with final infills being made where the west tower crane was removed. Plenum detailing is ongoing and will complete in the upcoming weeks. We continue to program and startup AHU's throughout the third and fourth floors.

- Fit up (BP #6)

A time extension of 11 days was granted in March due to inclement weather.

Bid package 6 continues throughout the structure again this month. The interior trades continue to work through the ground floor in all areas. Below ceiling punch lists are ongoing in the emergency department areas. Currently about 95% of the ED has been punched. Currently Turner is working with the contractors to develop the detailed reverse phase schedule for the remainder of the building. Construction is ramping up on the ground level at the auditorium. The sixth level rough in is in full swing with the electrical pre fabricated boxes. The upper mechanical levels on 14, 15, and 16 are generating a lot of activity.

The acoustical contractor is working in many areas again this month. This contractor continues installing drywall, ceilings, ceiling pads, and curtain tracks on the ground floor in the ED and support areas. CCI is also framing on levels 1 and 2 for area D lobby work. Drywall was hung and finished in the upper level mechanical spaces. Cleveland is also installing elevator and stair shaft framing and drywall.

Woodford Builders (WBI) is currently installing materials in the ED. The operable wall has been installed in the conference room. The overhead doors were installed this month. WBI is installing swinging doors in the ED and are about 80% complete. The wood doors and hollow metal doors required for the ED turnover have been set into place. Hardware is being prepared at the shop and final hook ups are completed in the field.

The painting contractor is currently applying the final coat of paint in med gas zone 4. Martina Brothers is currently working on ceramic tile in the ED. Martina is preparing to move to the registration area following the ceiling installation and rough in. Terrazzo installation began in the existing hospital at the sterilizers in April. The flooring contractor is installing the PRT tile in the med gas zones in the ED. CDI is currently 85% complete with the PRT install. VCT began in early April at the connecting corridors. Carpet will be installed in the office areas in the ED. Detailing of HVAC duct systems will finish on the ground floor with focus on the sixth floor, rooftops fans have all been set and final tie-ins, spring isolators, and detailing is ongoing.

Installation of storm piping is substantially complete and the focus is now the jumping of the risers up through the towers. Fixture installation is wrapping up on the ground floor in the Emergency Department. Domestic hot water

heater hook up is ongoing in the basement mechanical room and startup was completed April 1. Domestic cold water is complete and booster pumps have been started. Installation of electrical wiring is continuing throughout the building with final terminations and testing ongoing. Focus has shifted to the 14, 15th and 16th levels and the systems served from this area. Startup of the medical gas pumps and tie-in of the oxygen feed to the bulk tanks is complete and certification is being scheduled for this system.

Digital Village, Building 2

Project Description:

This project consists of the design and construction of approximately 43,000 gross square feet of research space for the Center for Visualization and Virtual Environments as well as selected research activities in the Department of Computer Science and the Department of Electrical and Computer Engineering. The facility must be sufficiently flexible in design so that it can be easily adapted to support research into emerging areas of interest. The structure should provide flexible office space and support facilities. This project will pursue LEED Certification.

Project Status:

Work continues to progress on the building and infrastructure. Concrete masonry blocks continue being laid in the basement. Structural steel has been completed with secondary steel nearing completion. Floor slabs have been poured and wall framing is progressing. Exterior wall sheathing has begun around the Theater space. Excavation has begun for chilled water, steam piping and electrical duct bank lines in front of the Craft Center. The date of substantial completion has been extended to Dec. 10, 2010 due to excessive weather delays.

Expand Ophthalmology Clinic - Hospital

Project Description:

This project consists of renovating approximately 12,500 square feet of space on the third floor of the Kentucky Clinic, some of which is currently occupied by Neurosurgery, in order to expand the existing Ophthalmology Clinic (also located on the third floor of the Kentucky Clinic) into the vacated space. It also includes refurbishing the existing Ophthalmology Clinic space. This project will necessitate phased construction activities to include expansion into the additional space as well as a full refurbishment of the existing Ophthalmology Clinic.

Project Status:

Meetings have been held to review conceptual plan options and to refine the space layout. Following approval of the conceptual plan, the overall space will be phased to allow incremental renovations to occur as funds become available. The first phase is to renovate the former Neurosurgery space. Early cost projections suggest a cost overrun for the first phase work. There are concerns that a renovation of only the former Neurosurgery space will provide operational problems with significant increased recurring staffing costs that will make use of the space cost prohibitive. As a result, the project has been put on hold while the hospital reviews project scope options. This project continues to be on hold.

Expand & Upgrade Livestock Disease Diagnostic Lab

Project Description:

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The LDDC is an office and laboratory building with net assignable space of 3,860 square feet for offices and 28,100 square feet of laboratories and support space. It is proposed to add 6,300 and 1,200 square feet (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 square feet net). An aging incinerator is the present means of carcass disposal. An alkaline hydrolysis digester will be installed for carcass disposal after renovating 2,000 square feet (net) of space to contain the digester.

Project Status:

Work this month continues with mechanical and electrical installation. Roofing, windows and doors continue being installed. Drywall and painting continues in Necropsy, Pathology and Receiving areas. Two 10,000 pound tissue digestors were shipped this month. Installation was delayed three weeks to complete coatings in the tank area. Three large tanks have been set within the digester area. Receiving is on schedule and will be completed by mid-June 2010. Necropsy and Pathology have been delayed 1 month due to the digester installation but will be completed prior to the World Equestrian Games. Due to Administration is scheduled to complete mid August 2010 and all renovations will complete by mid-February 2011. This includes the 21 day time extension for flooring

reinforcement. The storage tank facility at Woodford County farm continues with construction of the concrete tank. The site will be complete by mid-June 2010.

Renovate Central Computing Facility

Project Description:

This project will entail replacing existing batteries and air conditioning units in the existing computing facility in McVey Hall to ensure sufficient power distribution and cooling for the next few years. UKIT will continue development of long term plans for a new facility.

Project Status:

The contractor has completed all remaining chilled water piping and tie in to expansion tank and air separator; completed all heat trace ; charged chilled water system with propylene glycol; roughed in DX piping for remaining CRAC units; electrical outlets installed for XD system. The substantial completion date of the project was delayed by 14 days in January and again by 18 days in February due to delivery delay of the chiller. The chiller arrived this month and the TSI chiller and XD system start-up's occurred on April 13, and the Owner's training was provided on April 16. In the next 30 days, the contractors will complete the following: removal of the existing CRAC systems; installation of remaining new CRAC systems; installation of permanent pipe stands; and test and balance.

Renovate Nursing Building

Project Description:

This project will renovate and convert a covered patio on the third floor of the Nursing Building into student study and discussion space. Additionally, minor enhancements will be made in some fifth floor graduate student space. The project is fully funded from a U.S. Department of Health and Human Services, Health Resources and Services Administration grant.

Project Status:

The Design Development review meeting was held on April 20, with review comments provided by University team members. The bid documents were delivered on April 30, transmitted to Purchasing on May 4, with anticipated bid opening date of June 10. Substantial completion is scheduled for November, 2011.

Renovate Third Floor Little Library

Project Description:

This project will renovate and fit-up approximately 5,300 square feet of shelled space on the third floor of the Little Library. Prior to the library consolidations following completion of the W.T. Young Library, this space was used to house library materials. The renovated space will house the Center for Applied Information Technology, a suite of offices for the School of Library and information and two new classrooms, seating for 48, with smart technology.

Project Status:

Design is complete and project has been transmitted to Purchasing for bidding. Bids are to be received in late May.

Renovate/Expand the Center for Applied Energy Research

Project Description:

The primary purpose of this project will be multi-phased project incorporating the following components:
Mineral Process Lab Building - The initial phase of the project will require the construction of a 6,400 square foot support building. This new facility will permit the relocation of the existing mineral processing group, currently housed in the Center's main facility. The existing equipment will be relocated along with support space, offices and labs. Relocation of the Crushing Room - The existing Crushing Room is to be relocated into an existing facility originally built for dry storage of coal. Bio-fuels Highbay Lab Fit-up and Improvements - Renovated space, vacated by the Mineral Processes Lab in above, will allow for the development of a bio-fuels laboratory for production, characterization, and testing of biomass derived fuels, with a focus on biodiesel, bio-oils, and biomass derived Fischer-Tropsch liquids. The principle objective of this project is the establishment of a laboratory dedicated to the development of improved processes for biomass utilization, with the goal of supporting the development of the biofuels industry in Kentucky. Combustion High Bay Hoods - The existing Combustion/High Bay Lab will have a new bench top fume hood and a new walk-in hood installed with required ductwork and exhaust fans.

Project Status:

Phase 1 - Final lab equipment and fume hoods for the Biofuels Highbay will occur in mid-May. Final connections to utilities will happen at that time.

Phase 2 - Awaiting approval of the building documents by the Department of Energy.

Repair, Upgrade, Improve Building Systems – Hospital (Elevators Upgrade for KY Clinic)

Project Description:

This project encompasses refurbishing eight elevators in KY Clinic. The refurbishment includes replacing all cab finishes, lighting, major wear components, telephones, and controls. The elevators will be refurbished one at a time so as not to impact patient care. This project allows the Hospital to maximize elevator uptime and improve the aesthetics of the elevators. The Hospital relies on these systems for the efficient transfer of patients, visitors, supplies, and equipment.

Project Status:

Oracle Elevator has completed all submittals and received their materials. Elevators 7 & 8 and 1 & 2 have been refurbished, inspected and returned to service. Elevators, 4 & 6 have been taken out of service and are being refurbished. The project is on schedule at this time. Oracle is meeting all cleanliness standards for the clinic.

Replace Emergency Generators & Fire Pump - UK Good Samaritan Hospital

Project Description:

The scope of this project will consist of the replacement or upgrades of the following equipment at the Good Samaritan Hospital: emergency generator, transfer switches, air handling unit #25, constant pressure water pumps, deareator tank, and a fire pump.

Project Status:

- Bid Package #1(Deareator Tank)

This project is substantially complete.

- Bid Package # 2 (Emergency Generators, Transfer Switches, AHU and a Fire Pump)

Exhaust piping has been installed; the main fuel tank and day tank have been set; removal of conduit and wiring to dead equipment is complete in generator room; transfer switches are set in place; EIFS is complete; shaft wall is complete; steps have been poured; louvers have been installed w/ductwork terminations complete from generator to louver opening; fuel/oil piping is complete; masonry work at the parapet wall is complete; fence installation at main fuel tank is complete.

Replace Wildcat Lodge Student Housing (Wildcat Coal Lodge)

Project Description:

This project consists of the design and construction of a new housing facility of approximately 18,000 GSF to replace the existing Wildcat Lodge. The new facility is to house the UK men's basketball players in addition to other non-athlete students. The building will include a controlled main entryway, with combination living/bedroom spaces, a common space, with dining and gaming rooms. In addition, it will have a conference room and public restrooms in all common areas. The site will be located just east of the Joe Craft Center.

Project Status:

Phase 2, Design Development documents have been reviewed and approved. Phase review meetings were held on April 20 and 21. The Consultants' phase 2 estimate suggests the project is slightly over budget (\$54k+). With changes made during the design review meetings and further adjustments to the budget, the project appears to be within budget (the plaza space between the new Wildcat Coal Lodge and the Craft Center will be included as an alternate bid item). The project is on schedule and within budget.

Upgrade-Renovate-Improve or Expand Research Labs (Renovate Research Labs in Chemistry-Physics

Building

Project Description:

The space vacated by the relocation of the Chemistry and Physics libraries will be converted into research and research support space. This renovation will create three wet bench research labs to accommodate three new faculty lines in Chemistry, and one materials lab for Physics. The materials lab will be a multi-disciplinary lab that will be used by Chemistry, Physics and Engineering.

Project Status:

Wall Framing (100%), Electrical Demo (98%), HVAC Demolition (80%), Supply air duct (60%), Snorkel Exhaust Ducting (50%), Domestic Water Piping (10%), Air Piping (10%), Gas Piping (10%), Sanitary piping (20%), Controls Rough-in (30%), Reheat Coils installation (50%), Pipe insulation (0%), Duct insulation (25%), Hot water coil piping (50%), Drywall Installation (10%), Materials on-site, Electric Rough-in (60%), Sprinkler Removal (30%), Uni-strut (80%), Core drilling for lab utilities (50%), Saw cutting for new corridor openings (80%), Masonry removal for new openings (100%), Door Frame installation (80%) Knockdown frames on-site, Submittals (95%), Drywall hanging (80%), Drywall finishing (15%), and Floor prep for Resinous Floor (40%). In the next 30 days,

HVAC and plumbing installation will continue, drywall hanging and finishing will continue. Casework Fabrication, New Lighting, Ceiling and wall painting, Ceiling grid, VCT Flooring and Resinous Flooring Installation will all begin. Sprinkler installation will complete. Substantial completion scheduled for August 3, 2010.

ARS - Agriculture Project

Project Description:

The Forage Animal Production Research Laboratory will serve the Forage Animal Production Research Unit for the USDA - ARS on the campus of the University of Kentucky. The mission of the FAPRU is to improve productivity, profitability, competitiveness and environmental sustainability of forage based enterprises within the transition zone of the Eastern and Midwestern United States. Multidisciplinary teams to work to identify, evaluate, and manipulate genetic and physiological factors, using for the enhancement of food animal and equine health and performance in forage based enterprises as well as to improve forage plant persistence, quality, and production. Three to four story building with approximately 65,000 gross square feet of research labs, equipment and support rooms, offices and conferences rooms. The program also includes approximately 12,000 gross square feet for greenhouses and head house.

Project Status:

A 95% Construction Documents review meeting is proposed for May 2010. Construction may begin as early as fall 2010 depending on availability of Federal funding.

Construct CAER Laboratory Building #2

Project Description:

The University is the recipient of a grant award from the National Institute of Standards and Technology (NIST) as part of the 'America Recovery and Renewal Act' federal stimulus package to construct a new stand-alone laboratory building for the University of Kentucky Center for Applied Energy Research located at Spindletop Research Park. Portions of the laboratory facility will be specially equipped to accommodate battery-manufacturing R&D. In addition, other portions of the building will be specially equipped to accommodate research related to biomass energy and biofuels, electrochemical energy storage, and solar energy.

Project Status:

Consultant selection is complete and contract has been forwarded to Frankfort for review/approval in the May meeting. Design programming verification has commenced and Schematic Design will continue through April and into late May. Construction Manager has been selected and a contract is in process of being executed.

Expand Coldstream Research Campus – Redundant Power Supply

Project Description:

The main electrical service to Coldstream Research Campus is currently supplied by Kentucky Utilities through a combination of overhead supply and underground distribution systems. The main 12KV power supply is delivered by an overhead circuit from Kentucky Utilities' IBM station. Several existing and future projects require that Coldstream Research Campus have a redundant electrical power supply available. The immediate redundant power needs are for the first building in the Lexhold Technology Center (under construction), Exstream Software buildings (under construction), the LFUCG Emergency Operations Center (being designed) and the UK Data Center (in design). In order to successfully market the Coldstream Research Campus in the future, separate and redundant power feeds will be essential.

Project Status:

Work by contractor is 100% complete and punch list corrections are ongoing. Work by KU is approximately 50% complete.

Renovate 4th Floor Sanders-Brown Center

Project Description:

This project will consist of renovating approximately 9,000 square feet of research support space in the Sanders-Brown Center. This project will include retrofitting outdated, non-compliant research support space and the associated mechanical equipment to meet current regulatory requirements.

Project Status:

The four (4) short listed design teams were interviewed on April 13, with the Omni Architects/Jacobs Consultancy/Staggs& Fisher team as the top scoring team. A fee has been negotiated and contract is being processed. Programming is anticipated to start in early May, with schematic design following in mid-May. CM proposals are being reviewed.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Biological Pharmaceutical Complex Building

Project Number: 2235.00

Scope: \$134,998,323

Project Description:

The Biological Sciences/Pharmaceutical Complex, was authorized by the 2005 General Assembly and will construct a 281,000 gross square foot facility that includes some "shell space" to allow for the growing research needs. This "shell space" will be fitted out for research laboratories at a later date as university funds and/or grant dollars become available. This new building will provide the college with a state-of-the-art facility that will also allow the college to expand enrollment to address the shortage of pharmacists in Kentucky. The College of Pharmacy will relocate to this new facility and vacate their existing space. In addition to the current Pharmacy Building, college faculty members are housed in 10 buildings on and off campus. Consolidation of the research and teaching expertise of the eighth ranked College of Pharmacy in the U.S. will further strengthen the instruction of students and research collaboration among faculty. The project is expected to be complete by December 2009.

Consultant: EOP Architects

Contract # A061150/A071060

Total Amendments to Date: 4

Original Contract Amount: \$10,585,020

Cumulative Amendment Amount: \$1,083,566

Revised Contract Amount: \$11,668,586

Describe all amendments :

Amendment #1, 5/8/07, an increase of \$220,700, was approved for additional design services to provide an additional research floor as well as a new utility tunnel. This amendment also provides for wind tunnel testing as well as a specialized lighting consultant. **Amendment #2**, an increase of \$282,276, was approved for Structural engineers to redesign the foundation and sub-slab connections due to discovery of unforeseen solution channel to bedrock and to redesign the first floor from a steel frame to a concrete frame. Additionally the amendment was to design a Donor Recognition Program that will address the range of donor opportunities as outlined by the University, as well as a standard program of plaque recognition. Pinpoint Associates are to locate all underground utilities beginning with Parking Structure # 2, traveling on Washington Avenue and ending at Limestone Avenue. This amendment also provides for an independent third party consultant to provide for all Special and Material Testing as required by the construction documents and 2007 KBC. **Amendment #3**, 1/13/09, an increase of \$344,270 was approved for additional scope of work necessary to accommodate the fit-up of the fourth and fifth floors as a separate stand alone package. The amendment also provides for additional structural engineering services associated with the addition of an elevator machine room, reinforcement of chilled water pipe structure at the Utility Tunnel and expediting design and construction documents for Bid Package 4. Additional special inspection and testing services are also included as well as civil engineering services associated with the survey, plat and filing as necessary for the permanent closure of Leader Avenue. **Amendment #4**, 6/9/09, an increase of \$236,320 was approved for additional scope of work necessary to accommodate the pre-construction phase of the 4th and 5th floor fit-up, the 1st floor food services facility fit-up and construction documents for the mast arm traffic signal and the steam line extension design. **No amendments this quarter.**

Contractor: Messer Construction Co. (Construction Manager At-Risk) Note: This is a 'CM-At-Risk' contract.
The amount will be increased as subcontracts are bid and added. *Messer's contract was awarded by RFP process.
Contract % complete was calculated using the estimated \$107 Million Construction Budget.

Contract # 4500007696

Total Change Orders to Date: 382

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$108,947,449

Cumulative Change Order Amount: \$4,306,391

Revised Contract Amount: \$113,253,841

Contract Percent Complete: 99%

Describe all change orders greater than \$25,000.00:

Change Order # 1, 9/4/07, was approved for an increase of \$46,616 to provide additional excavation and a storm manhole. The design work for underslab perimeter drainage was completed after the excavation package was bid.

Change Order # 4, 10/3/07 and increase of \$67,340 was approved to provide a 3 foot wide trench as part of Bid Package 1 instead of being included as scope of work in Bid Package 4. This will provide a possible cost

Construct Biological Pharmaceutical Complex Building Change Orders greater than \$25,000 Cont...

savings due to the efficiency of the excavation contractor being on site. **Change Order # 10**, 2/1/08, was approved for an increase of \$29,633 to add compacted crushed stone fill across the basement floor area. The added stone will provide a work base for first floor slab construction scaffolding. **Change Order # 17**, 2/1/08, was approved for an increase of \$25,146 to provide depressed slabs at floors 3 and 5 for installation of Cold Rooms. This will allow for recessed floor at the cold rooms without a curb or ramp. **Change Order # 18**, 5/27/08, was approved for \$329,492 to provide additional canopy at the south side of the building. This was added to Bid Pack 3 instead of placed with Bid Pack 4 as originally planned. Keeping the steel with one trade contractor provided economy due to ease of coordination and additional mobilization costs. **Change Order # 19**, 2/1/08, was approved for an increase of \$121,994 to provide changes to the structural steel package due to design modifications in Bid Package 4. **Change Order # 26**, 5/29/08, was approved for \$43,186 to provide structural columns at the north side of the penthouse. **Change Order # 29**, 3/31/08, was approved for an increase of \$62,605 to provide re-bar revisions at locations of recessed base plates. **Change Order # 40**, 7/25/08, was approved for an increase of \$118,200 to modify skin attachments and slab edges to remedy the conflict between existing structural steel and skin attachments. The conflict is due to the fast track nature of the bid packages. Modifications to skin attachments and slab edges are necessary to achieve the design intent. **Change Order # 43**, 8/22/08, was approved for \$39,323.71 to increase one (1) penthouse distribution panel from 600A to 800A. This also increases the feeder to this panelboard and feeders to the modular chiller skid and dry cooler. **Change Order # 53**, 9/24/08, was approved for an increase of \$36,064 for necessary steel required at the window jambs. As a result of the fast track nature of the bid packages the steel package was bought prior to the design of the window details. **Change Order # 55**, 9/24/08, was approved for an increase of \$60,874 to provide revised openings at penthouse roof. This is a result of final coordination of the penthouse roof structure with the actual RTU/curbs provided. **Change Order # 57**, 10/14/08, was approved for an increase of \$31,978 to repair the roadway cuts along Washington Avenue where Columbia Gas has installed their new gas line. The work was originally contracted with Columbia Gas but is being moved to the Construction Manager's scope to provide uniform restoration of Washington Avenue asphalt work. **Change Order # 58**, 10/16/08, was approved for an increase of \$88,473 to provide for Washington Avenue communications manhole expansion due to concealed conditions regarding existing utilities. **Change Order # 62**, 11/11/08, was approved for an increase of \$29,298 to provide for a change in selected wood finish in public spaces to cherry versus beech in lab, support and research spaces. **Change Order # 66**, 10/21/08, was approved for an increase of \$27,627 to provide additional coordination between Bid Packs 2, 3 and 4. **Change Order # 74**, 12/11/08, was approved for a decrease of \$30,500 to delete creation of the graphics programming on controls. The University will design its own graphic screens. **Change Order # 97**, 3/3/09, was approved for an increase of \$136,955.83 to provide steel columns as required for the elevator structure. The contract documents did not adequately address all of the structural elements needed to accommodate the elevators. **Change Order # 103**, 3/4/09, was approved for an increase of \$34,234.30 to provide replacement of unsuitable soil at the site for the generator building expansion due to a differing site condition. **Change Order # 106**, 3/4/09, was approved for an increase of \$65,825.76 to revise tile and carpeting in order to maintain design consistency with the interior furnishings and finishes. This change also provides for a more durable floor in the higher traffic areas. **Change Order # 107**, 3/3/09, was approved for an increase of \$32,071.07 to provide cherry wood panels in lieu of metal panels at the sides of the media wall. The wood panels will provide a more uniform appearance to the proposed media wall in the atrium. **Change Order # 117**, 3/9/09, was approved for an increase of \$45,307 to provide for extension of gypsum board, on one or both sides of metal studs, to the deck above. **Change Order # 104**, 4/3/09, was approved for an increase of \$72,000 to provide alternate steam design as compared to the original bid due to value engineering during the post bid addendum negotiations. **Change Order # 126**, 5/5/09, was approved for an increase of \$45,307 to resolve conflict between mechanical circuit schedule and distribution panel schedules. **Change Order # 127**, 5/5/09, was approved for an increase of \$43,873 to provide additional coordination between the ceiling grid and the light fixture required to account for the actual size of the light fixture. **Change Order # 134**, 6/2/09, was approved for an increase of \$26,967 to provide the required service area above ceiling and to modify the sizing of HVAC and the communication installations allowing for revision in the atrium make-up air. **Change Order # 141**, 4/16/09, was approved for an increase of \$52,374 to provide modifications to the finish carpentry in the floor 1 and 2 auditoriums. Additionally, this change order provides modesty panels and wireways at each row of strip tables in all auditoriums. **Change Order # 159**, 4/24/09, was approved for an increase of \$166,314 to extend Construction Manager Services to allow the completion of the Vivarium due to bid package and steel delays. **Change Order # 160**, 4/30/09, was approved for an increase of \$80,706 to provide for increased cost for installation of the waterline by Kentucky American Water Company. **Change Order # 164**, 4/20/09, was approved for an increase of \$27,478 to convert storage room 501C to create an egress exit out of the Vivarium in order to meet KY Code requirements. **Change Order # 196**, 7/9/09, was approved for an increase of \$28,403 to provide a dedicated exhaust duct system and roof fan for basement Vivarium laundry dryers. **Change Order # 222**, 8/21/09, was approved for an increase of \$143,046 to provide alternate shaft caps at the tops of ten (10) shafts that extended upward through the building to the Penthouse Floor. Additionally, this change order includes structural walking surfaces above the rated assemblies and cement board

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

decking in lieu of fire retardant plywood. **Change Order # 224**, 8/21/09, was approved for an increase of \$58,339 for ceiling modifications at Floor 5 to construct beam wraps, to add a storefront smoke separation at the top of the atrium, and to add a large architectural grill with plenums above. **Change Order # 228**, 8/18/09, was approved for an increase of \$55,804 to provide ceiling modifications to include rulon cubes and the addition of plenum boxes and associated ductwork. **Change Order # 231**, 9/9/09, was approved for an increase of \$31,007 to relocate tower drives from the top of the tower to inside the CUP building. **Change Order # 241**, 10/5/09, was approved for an increase of \$36,707 for provision of a Local Area Network (LAN) connection to the Air Handlers in the Penthouse. **Change Order # 242**, 10/6/09, was approved for a decrease of \$31,759 to delete epoxy flooring in select rooms and replace with sealed concrete, and to delete epoxy wall coatings in select rooms and replace with block filler and paint. High performance coatings are not required in these rooms that are outside of the Vivarium. **Change Order # 243**, 10/6/09, was approved for a decrease of \$66,566 to delete select floor finishes on floor 5 and at the Penthouse. Because the area is a shell space, there is a potential for incompatibility between the sealed concrete and future floor finishes. The epoxy finish is also being deleted. **Change Order # 248**, 10/5/09, was approved for \$33,526 to provide a concrete retaining wall and associated foundation at the north side of the building entrance. Changes in the grade at the sidewalk, as well as a modification to the architectural catch basin are also provided for in this change. **Change Order # 259**, 10/5/09, was approved for \$49,837 to add a moisture emission sealer for the COP vivarium concrete floor. This will remedy high moisture content at the slab and create conditions within the manufacturer's requirements for the epoxy floor installation. **Change Order # 282**, 11/25/09, was approved for an increase of \$109,342 to reconfigure programming space to accommodate the newly announced Institute for Pharmaceutical Outcomes and Policy (IPOP). **Change Order # 307**, 11/25/09, was approved for an increase of \$261,125 to include fit-up of the Food Service area on the first floor. The "fit-out" consists of designed mechanical, electrical and plumbing systems/devices/finishes; wall, floor and ceiling finishes and casework/millwork. It does not include food service equipment. **Change Order # 329**, 1/28/10, was approved for an increase of \$40,430 to provide necessary vent piping to the outside from the three steam relief valves located on the Steris autoclave in the Vivarium. **Change Order # 335**, 2/23/10, was approved for an increase of \$29,493 to modify the site construction at the surface lot at the southeast corner of the site in order to maintain some parking at the Limestone/Leader corner lot. **Change Order # 365**, 2/23/10, was approved for an increase of \$27,382 to revise the amphitheatre exterior steps. Although the steps do meet code, it is feared that pedestrians may not be aware that there is a 12" seating step and could fall. **Change Order # 378**, 3/22/10, was approved for an increase of \$25,917 to modify the over the paddle-type eyewash provided to the drench hose type eyewash at the request of CoP faculty for additional student safety.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Children's Garden at the Arboretum

Project Number: 2303.00

Scope: \$561,482

Project Description:

The Children's Garden will be a safe 1.85 acres outdoor environment designed to help children 2 to 10 years old learn about plants and the environment. There will be an integrated combination of various "child-scaled" theme gardens, garden-like elements for experiential play, and gardens planted and maintained by children. This initial phase will construct the geographical features including rock escarpments; the stream and pond; and some of the walks and plantings.

Consultant: M2D Design Group

Contract # A091040E #1

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$35,068

Cumulative Amendment Amount:

Revised Contract Amount: \$35,068

Contractor: Meyer Midwest

Contract # 4500036412

Total Change Orders to Date:

Low Bid: \$407,000

High Bid: \$479,620

Number of Bids: 2

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount: \$407,000

Cumulative Change Order Amount:

Revised Contract Amount: \$407,000

Contract Percent Complete: 42%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility

Project Number: 2239.0

Scope: \$532,300,000

Project Description:

This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge as well as land acquisition and utility costs. (Note: The project design scope at the present time is \$532 Million as has been discussed with the BOT.)

Consultant: GBBN, Inc.

Contract #	A061080	Original Contract Amount:	\$30,400,226
Total Amendments to Date:	6	Cumulative Amendment Amount:	\$6,703,793
Describe all Amendments:		Revised Contract Amount:	\$37,104,019

Amendment # 1, 9/12/06, was approved for \$299,044 for additional design services required to design two additional floors for the parking garage. This amendment also provides for additional survey services associated with the rerouting of the ductbank; the addition of 12 receptor sources to be studied as part of the existing wind study; and the need for additional storm water modeling to review the impact on the new Patient Care Facility during heavy rain storm events. **Amendment # 2**, 2/13/07, was approved for additional design services associated with increased scope including but not limited to increased renovation of areas in the existing hospital (all phases); development of early bid packages for infrastructure and site utility packages and temporary emergency department access; development of an additional bed wing (all phases); development of partial cafeteria (all phases); design of fitup for two additional floors (shell only - all phases); design of a redundant communications feed (all phases); additional design services associated with security systems; development of design of Phase 1B air handlers (thru DD's) and additional design of costs associated with relocation and installation of above ground and underground fuel tanks (all phases). Also included is additional reimbursable services for added geotechnical rock investigation; additional site survey and added construction testing. **Amendment # 3**, 5/13/08, an increase of \$868,849 was approved for additional design services to include completion of the PCF Auditorium and construct a mock up in the College of Nursing. It also provides for 3D images and graphic design services for fund raising efforts as well as additional costs associated with preparing early and separate supplemental bid packages. **Amendment # 4**, 4/14/09 an increase of \$332,700 was approved for expanded design services to include completion of design of the surgery waiting expansion, the expansion of Children's Hospital entry, changes in the auditorium design, refining several infrastructure elements and further development of the gift shop case work. **Amendment # 5**, 6/9/09, an increase of \$72,151 was approved for implementation of a GIS facility management system to import and manage data associated with buildings and spaces, with the ability to expand for future inclusion of detail equipment, finishes and furniture data information. **Amendment 6**, 10/13/09, an increase of \$281,162 was approved for additional design services, additional renderings, revision of art work lighting and additional expenses for the donor recognition design as well as additional construction administration services for the added auditorium AV systems. **No amendments this quarter.**

Contractor: Turner Construction Co.

*Note: This is a 'CM-At-Risk' contract. The amount will be increased as sub-contracts are bid and added. *Turner's contract was awarded by RFP process.*

Total Change Orders to Date:	562	Original Contract Amount:	\$319,166,586
Low Bid:		Cumulative Change Order Amount:	\$14,537,328
High Bid:		Revised Contract Amount:	\$333,703,914
Number of Bids:		Contract % complete:	73%

Describe all change orders greater than \$25,000.00:

Change Order # 1, 6/4/07, was approved for an increase of \$91,344 to facilitate the procurement strategy and meet the project timeline. A portion of select infrastructure was transferred from Bid Pack 4A to the earlier Bid Pack 3B. **Change Order #2**, 5/31/07, was approved for an increase of \$65,296 to relocate the existing direct buried steam lines and pressurized drain found to be in conflict with the new tunnel. Since the lines must be moved they will be relocated into the new tunnel to make the lines accessible for maintenance. **Change Order # 3**, 7/11/07, was approved for an increase of \$58,928 to relocate an existing sanitary sewer manhole and associated lines to sanitary sewer manhole and associated lines to resolve underground utility conflicts. **Change Order # 5**, 9/6/07, was approved for an increase of \$49,439 to replace and relocate deteriorated and inaccessible 2 1/2" and 1 1/2" underground direct buried steam lines that were revealed upon excavation.

Change Order # 9, 10/3/07, was approved for \$2,840,457 to convert the TC-01 Sitework (RAM Engineering) Rock Allowance to an unspecified status. Ram will be responsible to remove all the rock associated with their scope of work for BP 3B and as defined by the Geotechnical Report. This is due to misinterpretation of site conditions. Actual rock removal quantities are greater than estimated quantities in the contract documents.

Change Order # 10, 10/1/07, was approved for an increase of \$55,314 to convert the portion of the six existing sanitary and storm sewer lines that pass through the main tunnel to ductile iron to ensure that these lines don't rupture. The increase also provided for re-routing the existing water lines to avoid conflict with the new tunnel. **Change Order # 11**, 10/4/07, was approved for an increase of \$47,780 to replace and re-route the existing domestic water line as well as reroute the existing water main. **Change Order # 13**, 10/9/07, was approved for \$40,755 to relocate approximately 150' storm line and associated manhole due to conflict with the new Cooling Plant # 3 utility tunnel. **Change Order # 21**, 11/21/07, was approved for an increase of \$53,383 to convert the 7000KVA transformer from aluminum to copper windings, add an air switch to the transformer and add a medium voltage outdoor breaker with remote monitoring and control capability to all five electric substations. These changes were made to increase the efficiency of the system and to meet specifications of upcoming substation upgrades. **Change Order # 23**, 12/10/07, was approved for a decrease of \$48,383 to alter the installation of the cold water and the cold water return piping system to avoid conflict with sensitive boiler equipment. **Change Order # 27**, 12/5/07, was approved for an increase of \$144,844 to provide saw cutting

Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

of the pedestrian walkway and walkway roofing instead of traditional hammering. The saw cutting method will reduce noise and vibration impacts to the adjacent Critical Care Facility. **Change Order # 32**, 1/18/08, was approved for an increase of \$33,072 to enlarge the existing emergency generator pads to support installation of new diesel generators due to changes in EPA regulations. **Change Order # 34**, 2/1/08, was approved for \$388,426 to provide additional construction manager's staff and general conditions due to an additional two floors being added to the Patient Care Facility. **Change Order # 42**, 3/20/08, was approved for an increase of \$128,502 to extend the limits of the blasting and excavation of rock in Bid Pack # 4 to accommodate the installation of sanitary line. The rock removal was originally intended for Bid Pack # 5, but will provide cost savings if moved to Bid Pack # 4. Early rock removal will also eliminate blasting after installation of foundation in Bid Pack # 4. **Change Order # 43**, 3/31/08, was approved for an increase of \$229,016 to increase the rock allowance due to greater rock quantities than anticipated. **Change Order # 58**, 4/23/08, a decrease of \$60,522 was approved to utilize the existing ductbank for the new conductors. The existing ductbank was lower than expected and did not interfere with the new storm tunnel. **Change Order # 60**, 4/23/08, was approved for \$34,827 to increase the ductbank from six to twelve conduits to match the size of the existing ductbank. **Change Order # 66**, 5/6/08, was approved for \$42,700 to offset new 36" chilled water lines. A 2" foam glass board insulation was put in place between the chilled water and high pressure steam line due to unexpected elevation. **Change Order # 68**, 6/4/08, was approved for \$39,794 to perform rock removal while classes are in session using a rock trenching machine so as not to disturb teachers, students and staff in the Ag. Science Bldg. **Change Order # 71**, 5/7/08, was approved for \$47,571 to install four new handicap ramps and thermoplastic street marking to comply with ADA standards. **Change Order # 73**, 6/6/08, was approved for \$37,090 to remove and replace water meter vault that is in the CP # 3 tunnel excavation footprint due to location of hidden chilled water lines. **Change Order # 85**, 6/4/08, was approved for \$28,092 to revise alignment and depth of electrical ductbank that runs from Scott Street toward Electric Substation # 2, and revise the location of it's associated manhole. This will insure proper cover for manhole and ductbank when future changes are made in this area. **Change Order # 90**, 6/9/08, was approved for \$42,604 to install new ductbank at a depth greater than planned due to concealed high voltage ductbank. **Change Order # 98**, 7/3/08, was approved for an increase of \$62,263 to modify the tower crane foundation. This was required due to unsatisfactory rock with mud seams and fractures found in the west tower crane location. **Change Order # 102**, 7/14/08, was approved for an increase of \$50,183 to increase the size of both main electrical duct banks. **Change Order # 110**, 7/30/08, was approved for an increase of \$25,219 to remove an additional 240 linear feet of bad soil on Scott Street that is unsuitable for the new duct bank. Excavated material will be replaced with flowable fill concrete. **Change Order # 121**, 8/25/08, was approved for an increase of \$32,912 to provide additional excavation for fuel tanks to meet required depth. **Change Order # 127**, 8/22/08, was approved for an increase of \$43,493 to perform additional excavation at area "A" shear wall/grade beam due to unsuitable rock. Excavated material will be replaced with 4000 psi concrete. **Change Order # 128**, 8/22/08, was approved for an increase of \$30,655 to perform additional excavation at area "B" shear wall/grade beam due to unsuitable bearing. Excavated material will be replaced with 4000 psi concrete. **Change Order # 130**, 9/9/08, was approved for an increase of \$27,914 to provide control of the control valves with a selector switch and indicator lights. The switch will allow the operator to select which tank to pull oil from. **Change Order # 138**, 9/22/08, was approved for \$40,322 to provide a larger drilling rig needed to sleeve and bore larger holes due to the composition of the subgrade. **Change Order # 139**, 9/22/08, was approved for \$27,303 to sawcut the CCC tunnel footers and slab as required for the 16" sanitary pipe to pass thru the tunnel. The pipe will be sleeved to prevent shearing and concrete and resteel placed around the sleeve. This is due to the existing sanitary pipe being lower than expected. **Change Order # 143**, 9/29/08, was approved for \$33,766 to excavate 6' to 7' below design elevation on the 12.3 line from Column Line D.1 to the southeast corner. Excavated material will be replaced with 4000 psi concrete and added resteel. This is due to found rock. **Change Order # 159**, 11/3/08, was approved for an increase of \$38,301 to offset the chilled water piping to avoid conflict with the communications tie-in to the cable tray. **Change Order # 164**, 11/26/08, was approved for an increase of \$34,881 to install a high pressure steam valve and a pump discharge valve in the main on the south side of the Gill Heart tap. This will reduce the outage to the Gill Heart building and the Critical Care to less than one day and will eliminate future outages. **Change Order # 165**, 11/26/08, was approved for an increase of \$73,079 to provide for additional removal of rock and soil and to provide additional concrete for the drilled piers. Found subsurface conditions required deeper piers than were required by contract. **Change Order # 173**, 1/20/09 was approved for an increase of \$39,957 to provide for additional cost required to bore under existing gas line for the new 24" sanitary main. The original gas line was not relocated by Columbia Gas as originally planned due to access issues. **Change Order # 177**, 3/2/09, was approved for an increase of \$51,550 to remove existing unsuitable soil where fuel tanks were removed. Backfill and compact will be added to grade. **No change orders over \$25,000 this quarter.**

BP # 5 Change Orders

Change Order # 2 BP # 5, 6/30/08, was approved for \$36,359 to provide and install 48" diameter sump pit and piping, for the existing subsurface groundwater. This addressed the field condition of ground water that has been observed in open excavations. **Change Order # 4 BP # 5**, 7/23/08, was approved for \$26,723 to provide two mock-ups of the architectural precast panels. **Change Order # 7 BP # 5**, 9/15/08, was approved for an increase of \$39,922 to provide concrete shear walls at Column Line 12/E-F and Column Line 12/L-M, ground level to underside of third level. **Change Order # 8 BP # 5**, 9/30/08, was approved for an increase of \$26,540 to add one (1) gate valve and three (3) triple duty offset butterfly valves to high pressure steam lines. The original design did not allow for a partial cut-off of steam supply to the building. **Change Order # 20, BP # 5**, 12/2/08, was approved for an increase of \$38,438 to provide concrete shear walls in accordance with the structural documents. The trade contractors scope descriptions failed to assign this task. **Change Order # 24, BP # 5** 2/6/09 was approved for an increase of \$27,389 to revise the medium voltage cable spec to meet published UK standard. **Change Order # 26, BP # 5**, 1/20/09 was approved for an increase of \$25,547 to provide additional doors to the freight elevators allowing access to these elevators from the exterior. **Change Order # 27, BP # 5** 1/20/09 was approved for an increase of \$29,111 to install 60 linear feet of 18" reinforced concrete pipe from the existing storm manhole to the new 12' diameter manhole. **Change Order # 32, BP # 5**, 2/10/09 was approved for an increase of \$71,714 for the upsizing and rerouting of the steam generators. **Change Order # 41, BP # 5**, 3/26/09 was approved for an increase of \$75,511 to provide miscellaneous electrical revisions to Bid Pack 5 electrical systems to include new distribution panel and critical bus duct plugs. **Change Order # 45 BP # 5**, 4/30/09, was approved for an increase of \$26,288 to add a medium voltage outdoor breaker with remote monitoring and control capability to all five substation breakers. This will increase the efficiency of the system. **Change Order # 46 BP # 5**, 4/30/09, was approved for an increase of \$25,931 to provide and install twelve (12) humidifier condensate pumps to provide for disposal of the condensate from the air handlers. **Change Order # 47 BP # 5**, 5/12/09, was approved for an increase of \$41,529 to add a low pressure steam pumping system to the basement level of the facility. These pumps are required for proper function of the system.

Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

Change Order # 51 BP # 5, 5/29/09, was approved for an increase of \$228,937 to provide a compatible mating of the curtain wall and precast systems. This silicone tape allows for a water tight skin on the structure. **Change Order # 52 BP # 5**, 5/15/09, was approved for an increase of \$30,633 to re-route existing lines allowing for placing of structural column to support east connector. **Change Order # 57 BP # 5**, 6/1/09, was approved for an increase of \$29,521 to fund overtime payment for Baker Construction. This additional cost will be used to make up time lost due to weather delays in December 2008 and January 2009. These days will need to be made up to open the Emergency Department on the currently scheduled date. **Change Order # 62 BP # 5**, was approved for an increase of \$36,687 to fund overtime payment for Baker Concrete over four weeks in May to maintain schedule. Overtime work was required to maintain the concrete pour schedule due to inclement weather. **Change Order # 65 BP # 5**, was approved for an increase of \$47,723 to install backfill against the southeast and southwest corners of the Patient Care Facility. **Change Order # 66 BP # 5**, 6/26/09, was approved for an increase of \$26,436 to re-route existing sanitary sewer to allow for installation of bridge pier. **Change Order # 63 BP # 5**, 8/12/09, was approved for a increase of \$35,236 to allow for payment of shift premium to accelerate pan removal under the 4th and 5th decks. This will accelerate the work schedule. **Change Order # 69 BP # 5**, 7/2/09, was approved for an increase of \$27,497 to provide sidewalk stabilization during excavation for a pilaster. This change order also allows for removal and reinstallation of the distilled water line that conflicts with the new foundation. **Change Order # 84 BP # 5**, 9/2/09, was approved for an increase of \$43,756 to allow for a needed additional 2 feet of height to the Penthouse elevator. **Change Order # 85 BP # 5**, 9/7/09, was approved for an increase of \$67,697 to replace existing 18" ADS plastic storm pipe under the lobby area with 18" ductile iron pipe to meet code. **Change Order # 86 BP # 5**, 9/15/09, was approved for an increase of \$50,436 to fund the purchase and installation of fifty six control dampers required for proper functioning of the Air Handling Units that were purchased directly by UK. **Change Order # 88, BP # 5**, 10/2/09, was approved for an increase of \$69,965 to provide for payment of onsite personnel at the new Kentucky Labor Cabinet prevailing wage rates. **Change Order # 96, BP # 5**, 10/16/09, was approved for a decrease of \$25,727 to delete flex connections note required for installation of the air handling units. **Change Order # 99, BP # 5**, 10/27/09, was approved for an increase of \$231,535 to furnish and install additional accessories, fitting, valves and controls for the heat exchangers. **Change Order # 117, BP # 5**, 12/9/09, was approved for an increase of \$27,631 to fund overtime work for the steel contractor in order to complete the necessary steel erection for the topping out ceremony. **Change Order # 126, BP # 5**, 1/11/10, was approved for an increase of \$109,869 to extend sleeves in all IDF/EIDF rooms in order to allow access to the sleeves from the floor below without infringing on the cable tray. **Change Order # 132, BP # 5**, 2/1/10, was approved for an increase of \$31,104 to add one 112 x 34 fire damper and modify two 112 x 34 fire smoke dampers in the outside air dampers on the fourth floor. The actuators for these dampers need to be internal due to space restrictions and access requirement. **Change Order # 133, BP # 5**, 2/10/10, was approved for an increase of \$26,580 to relocate the 3" chilled water supply take-off to the supply main on the discharge side of the chilled water pumps, so that it is located upstream of flow meter and will properly operate. **Change Order # 139, BP # 5**, 2/24/10, was approved for an increase of \$71,292 to provide safety drain traps to prevent condensate from backing up in the humidification steam generator tubes during a low pressure condition. **Change Order # 142, BP # 5**, 2/24/10, was approved for an increase of \$39,069 to replace pipe and steam traps on the clean steam generators that don't meet specifications. This cost will be deducted from the contract of the steam generator vendor. **Change Order # 145, BP # 5**, 3/1/10, was approved for an increase of \$46,192 to provide top of shaft/elevator control room sleeves and machine beams to accommodate future elevator equipment. The work will be done at this time to allow for significant modifications to the building structure which would be difficult and disruptive when the hospital is operational. **Change Order # 147, 3/2/10**, was approved for an increase of \$49,407 to revise various duct sizes and pipe routing at several locations on the 3rd and 4th floor mechanical rooms. **Change Order # 148, BP # 5**, 3/4/10, was approved for an increase of \$138,921 to provide and install 40 foot mast to accommodate 7 antennas. This includes all structural support, warning lights, and fire stopping required for proper installation of the 40 foot mast. **Change Order # 149, BP # 5**, 3/4/10, was approved for an increase of \$66,388 to provide lift-net ethernet based central monitoring system, software, and supporting infrastructure for monitoring of all new and future elevators in the PCF. **Change Order # 150, BP # 5**, 3/8/10, was approved for an increase of \$67,125 to relocate high pressure steam header in basement above chilled water pipes to eliminate trapped piping in order to prevent an unwanted trap. **Change Order # 155, BP # 5**, 3/17/10, was approved for an increase of \$56,402 to revise south supply and return 24" chilled water feeds into basement as required. This will reverse the supply and return to match the connections to the mains in the tunnel. **Change Order # 156, BP # 5**, 3/19/10, was approved for an increase of \$37,489 to furnish and install access hatches on the 15th and 16th floors for future service and installation of equipment in elevator machine rooms at the top of each tower.

BP # 6 Change Orders

Change Order # 1, BP # 6, 1/23/09 was approved for an increase of \$74,499 to increase booster pump capacity. **Change Order # 2, BP # 6**, 3/3/09 was approved for an increase of \$38,126 to allow for the installation of piping for dialysis systems on the 5th floor. **Change Order # 3, BP # 6**, 3/24/09 was approved for an increase of \$101,006 to utilize Densarmor exterior sheeting for the electric and data closets. This will allow for the installation of the materials prior to the roofing of the structure. This will enhance the schedule and allow the project to remain on track for the substantial completion of the emergency department. **Change Order # 11 BP # 6**, 5/21/09, was approved for an increase of \$320,823 to re-design to meet the State's interpretation of the KY plumbing code. Venting was added as well as the resizing of piping. **Change Order # 12 BP # 6**, 5/29/09, was approved for a decrease of \$50,620 to delete all Galley Equipment from the 8th Floor due to the decision to not fit-out the 8th floor in phase 1A. **Change Order # 13 BP # 6**, 6/1/09, was approved for an increase of \$33,352 to relocate the required power for the elevator machine room. **Change Order # 19 BP # 6**, 7/2/09, was approved for an increase of \$69,287 to fund the installation of ground bars and associated ground wiring in 110 locations data and electrical closets. **Change Order # 37 BP # 6**, 8/18/09, was approved for a decrease of \$73,319 to delete demolition of existing 36" storm drain and the new 36" storm line. **Change Order # 45 BP # 6**, 8/28/09, was approved for an increase of \$367,335 to install cast-in-place concrete anchors in the 6th floor to support the 5th floor MEP items. This work will drastically reduce the noise associated with the fit-out of the 5th floor which is scheduled to occur after the 6th floor is already occupied.

Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

Change Order # 46 BP # 6, 8/28/09, was approved for an increase of \$28,293 to upgrade power, add a smart panel, and tie to the existing Tridium system for controls of exterior fountain system and site lighting. This allows for reduced maintenance costs and remote management of the exterior system. **Change Order # 47 BP # 6, 8/28/09,** was approved for an increase of \$127,027 to provide one Edgestar door controller per door. **Change Order # 53 BP # 6, 9/21/09,** was approved for an increase of \$29,323 to replace Luminaire type F55 with type F56. **Change Order # 55 BP # 6, 9/21/09,** was approved for an increase of \$191,975 to provide fit out of additional office spaces in the basement of the Patient Care Facility. **Change Order # 56 BP # 6, 9/22/09,** was approved for a decrease of \$80,087 to delete fiber and coax to work stations throughout the podium. Upon review of the function of these work stations fiber and coax was deemed unnecessary. **Change Order # 57 BP # 6, 9/29/09,** was approved for an increase of \$626,061 to amend Turner's contract to allow for payment of prevailing wage rate determined by the Kentucky Labor Cabinet. The rates included in the original bid documents were increased by the State prior to contract signing. **Change Order # 69, BP # 6, 10/16/09,** was approved for an increase of \$101,492 to provide coax cable to service televisions in all patient rooms. Local cable services require coax instead of CAT6 cable. **Change Order # 72, BP # 6, 10/16/09,** was approved for an increase of \$351,828 to furnish and install 3,000 gallon concrete grease trap. This is required for the future fit-out of the kitchen and cafeteria. Installation at this time results in cost savings and lessens future impact on hospital operations. **Change Order # 82, BP # 6, 10/26/09,** was approved for an increase of \$38,299 to revise electric and data outlets due to staff changes made to increase the efficiency of the space. Additional cabling for the Hill-Rom system was also required. **Change Order # 100, BP # 6, 12/14/09,** was approved for an increase of \$66,196 to upgrade grounding of medium voltage electrical systems for both normal and emergency power from 7 switches to manholes E412 and E214E to provide a safe and reliable high voltage system. **Change Order # 103, BP # 6, 11/24/09,** was approved for an increase of \$36,720 to revise the route of one set of oxygen lines and to add offset to oxygen lines at all steam line expansion joints in the tunnel. This will create a more reliable and robust oxygen system. **Change Order # 104, BP # 6, 11/24/09,** was approved for an increase of \$58,594 to add additional unit heater and cabinet unit header DDC controls. **Change Order # 105, BP # 6, 11/24/09,** was approved for an increase of \$25,760 to provide the additional 120 Volt circuits needed for the operation of the smoke dampers. **Change Order # 109, BP # 6, 11/23/09,** was approved for an increase of \$52,082 to provide patient lift system with a rail in select Acute and Acute Isolation restrooms on the 6th and 7th floors. This will greatly improve patient care and reduce staff injuries. **Change Order # 110, BP # 6, 11/24/09,** was approved for an increase of \$44,339 to purchase dialysis boxes required for the 6th and 7th floor ICU patient rooms. **Change Order # 111, BP # 6, 11/25/09,** was approved for an increase of \$95,389 to provide raceways, electrical wiring and teledata wiring for wireless telemetry system. **Change Order # 112, BP # 6, 11/25/09,** was approved for a decrease of \$139,555 to reduce the number of drops and raceways for the wireless telemetry grid. **Change Order # 113, BP # 6, 11/24/09,** was approved for an increase of \$38,833 to revise the main duct mains in basement areas A and C to allow for proper installation of mechanical systems. **Change Order # 114, BP # 6, 12/9/09,** was approved for an increase of \$27,464 to reroute existing electrical feeders that are located overhead in the hospital loading dock to allow for installation of new utilities. **Change Order # 115, BP # 6, 12/9/09,** was approved for an increase of \$53,632 to change power from 80/20 emergency to a normal 50/50 configuration in all patient rooms on the 6th and 7th floors for more dependability. **Change Order # 117, BP # 6, 12/9/09,** was approved for a decrease of \$64,113 to relocate data and power outlets, and make changes to light switching on the 6th and 7th floors. **Change Order # 122, BP # 6, 12/15/09,** was approved for an increase of \$207,802 to provide one additional data outlet and power receptacle in the wall at the head of the bed in each of the 128 patient rooms. **Change Order # 125, BP # 6, 12/15/09,** was approved for an increase of \$41,512 to provide conduit for the door access intercom system for the intercom device to the cable tray and to Intercom Master Panels throughout the project. **Change Order # 134, BP # 6, 12/15/09,** was approved for an increase of \$48,070 to upgrade and revise the HVAC, electrical services, and IT infrastructure to support additional equipment in the security/dispatch room. **Change Order # 143, BP # 6, 12/15/09,** was approved for an increase of \$36,860 to provide additional ventilation in the 4th floor CCC Mechanical tower to accommodate Swisslog equipment. **Change Order # 144, BP # 6, 1/4/10,** was approved for an increase of \$49,623 to provide temporary weather protection to contain winter heat in the podium. **Change Order # 146, BP # 6, 1/4/10,** was approved for an increase of \$40,504 to make adjustments to the ductwork to allow for installation of systems while maintaining ceiling height as required for proper utilization of the spaces. **Change Order # 157, BP # 6, 1/14/10,** was approved for an increase of \$123,223 to furnish and install one medical gas master alarm panel in Room H64 to allow for a UL rated system. **Change Order # 161, BP # 6, 2/1/10,** was approved for an increase of \$34,574 to revise the light fixture selection and lighting fixture layout for the up lights highlighting the eyebrow on the 5th and 12th floors of the structure. **Change Order # 162, BP # 6, 2/1/10,** was approved for an increase of \$82,634 to modify VESDA smoke detection system for lobby smoke exhaust. **Change Order # 167, BP # 6, 2/4/10,** was approved for an increase of \$229,299 to add data and power outlets associated with using the upper cabinet space in patient room wardrobes for IT equipment mounting space. **Change Order # 168, BP # 6, 2/4/10,** was approved for an increase of \$108,706 to provide additional power necessary for the final pneumatic tube system design. **Change Order # 174, BP # 6, 2/16/10,** was approved for an increase of \$136,321 to revise 6th floor ductwork and cable tray to include additional conduit runs in vicinity of the mechanical chases to allow for proper access to mechanical and electrical equipment. **Change Order # 175, BP # 6, 2/16/10,** was approved for an increase of \$115,641 to revise 7th floor ductwork and cable tray to include additional conduit runs in vicinity of the mechanical chases to allow for proper access to mechanical and electrical equipment. **Change Order # 176, BP # 6, 2/23/10,** was approved for an increase of \$154,586 to add power infrastructure to support additional UPS devices in all 32 EIDF rooms. **Change Order # 177, BP # 6, 2/23/10,** was approved for an increase of \$37,436 to remove and replace floor tile in the ED, and install colors per the revised color schedule. **Change Order # 181, BP # 6, 2/23/10,** was approved for an increase of \$40,687 to re-route glycol and hot water piping on level 14 due to unforeseen space constraints. **Change Order # 183, BP # 6, 2/24/10,** was approved for an increase of \$50,973 to revise the location of 8 patient tracking monitors and add 7 locations for overhead tracking monitors in the ED. **Change Order # 188, BP # 6, 3/4/10,** was approved for an increase of \$102,822 to add piping and electrical connections for dialysis equipment in 16 step down rooms to increase the flexibility of the patient rooms. **Change Order # 192, BP # 6, 3/4/10,** was approved for an increase of \$88,311 to revise domestic water piping to the steam pressure reducing stations for the four domestic water heat exchangers. **Change Order # 194, BP # 6, 3/8/10,** was approved for an increase of \$31,061 to provide 5" copper pipe in lieu of steel for heating hot water piping.

Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

Change Order # 201, BP # 6, 3/12/10, was approved for an increase of \$108,500 to upgrade dialysis boxes and add electrical connections for dialysis equipment on the 6th and 7th floors. Change Order # 205, BP # 6, 3/17/10, was approved for a decrease of \$26,766 to remove sprinklers from north and west canopies and add sprinklers to south drive-through canopy. This assures greater coverage where vehicular traffic is located and reduces the chance of freezing in areas that are not required by code. Change Order # 207, BP # 6, 3/17/10, was approved for an increase of \$136,040 to modify the temporary basement offices to address code compliance. Change Order # 218, BP # 6, 3/25/10, was approved for an increase of \$52,662 to revise 3rd and 4th floor ductwork and piping to accommodate installation of all trades. Change Order # 221, BP # 6, 3/25/10, was approved for an increase of \$40,734 to provide plumbing and electrical services to five dialysis boxes in the ED. Change Order # 226, BP # 6, 3/29/10, was approved for an increase of \$56,337 to revise power, lighting, and specialty lighting throughout the lobby ground level and first level to accommodate selections of the art program.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Hospital - CUP Expansion

Project Number: 2239.32

Scope: \$13,150,000

Project Description:

This is a portion of the larger Patient Care Facility Project (2239.0). The project includes an 18,000 square foot addition to the existing Central Utilities Plant on Press Avenue. The expansion will include provisions for two new 125,000 lb./hr boilers and additional chillers. The structure will include an enlarged cold well and basement in the cooling section. The boilers and supporting equipment will be installed under this project. Chiller purchases are not contemplated at this time.

Consultant: GBBN Inc.

See project 2239.0

Contract #

Total Amendments to Date:

Describe all amendments :

Original Contract Amount:

Cumulative Amendment Amount:

Revised Contract Amount:

Contractor: Messer Construction Co.

Contract # 4500008954

Total Change Orders to Date: 46

Low Bid: \$9,474,000

High Bid: \$10,400,000

Number of Bids: 2

Original Contract Amount:

\$9,474,000

Cumulative Change Order Amount:

\$783,937

Revised Contract Amount:

\$10,257,937

Contract Percent Complete:

99%

Describe all change orders greater than \$25,000.00:

Change Order # 4, 12/10/07, was approved for \$37,518 to provide required additional rock and soil drilling. **Change Order # 7**, 2/21/08, was approved for an increase of \$53,981 to provide temporary building heat until the new deaerator and surge tank are installed. **Change Order # 14**, 7/23/08, was approved for an increase of \$28,219 to change the boiler water piping from ductile iron to schedule 80 black steel pipe. **Change Order # 17**, 7/10/08, was approved for an increase of \$26,270 to install new platform in the northwest corner of the CUP addition to provide greater accessibility and safer access to valves and equipment. **Change Order # 21**, 7/23/08, was approved for an increase of \$45,585 to provide for additional costs resulting from the late delivery of the boilers provided by the University and resulting complications which impacted items on the critical path. Liquidated damages collected from the boiler manufacturer will be applied to the compensation associated with this change order. **Change Order # 30**, 8/11/08, was approved for an increase of \$28,249 to relocate the new condensate pump from the BBSRB tunnel to the College of Pharmacy tunnel. Provide valving to allow the pump to pump in either direction. This change allows for more versatile operation of the campus steam system. **Change Order # 34**, 10/30/08, was approved for an increase of \$52,257 to provide and install pipe insulation for the new boiler feed water piping and the boiler blow-down piping. **Change Order # 35**, 11/3/08, was approved for an increase of \$34,693 to provide and install two wye strainers and to install and connect the stack gas analyzers and Horiba panels. Because the boilers were a direct purchase by the University this scope of work was not originally included in the contract documents. **Change Order # 37**, 2/2/09 was approved for \$26,661 to revise the Civil Site plan to provide greater security and improve the utilization of the grounds north of the new construction. **Change Order # 44**, 2/24/10, was approved for an increase of \$25,745 to perform various items of work related to the boiler installation not included in the contractor's original contract documents. **Change Order # 45**, 2/24/10, was approved for an increase of \$54,881 to install a new VFD for each of eight ventilation fans. The high efficiency boiler operation required increased building temperature control to manage the combustion process of the burners of the two boilers.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Digital Village Building 2

Project Number: 2297.10

Scope: \$18,669,725

Project Description:

This project consists of the design and construction of approximately 43,000 gross square feet of research space for the Center for Visualization and Virtual Environments as well as selected research activities in the Department of Computer Science and the Department of Electrical and Computer Engineering. The facility must be sufficiently flexible in design so that it can be easily adapted to support research into emerging areas of interest. The structure should provide flexible office space and support facilities. This project will pursue LEED Certification.

Consultant: JRA Architects

Contract # A091110

Total Amendments to Date: 1

Original Contract Amount: \$1,409,934

Cumulative Amendment Amount: \$37,800

Revised Contract Amount: \$1,447,734

Describe all amendments :

Amendment 1, 8/11/09, an increase of \$37,800 was approved to provide for the research and design of a photovoltaic system. **No amendments this quarter.**

Contractor: Congleton-Hacker * Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. *Congleton-Hacker's contract was awarded by RFP process. *25 Trade subcontracts have been added to date

Contract # 4500031914

Original Contract Amount: \$13,761,651

Total Change Orders to Date: 20

Cumulative Change Order Amount: \$95,940

Low Bid:

Revised Contract Amount: \$13,857,591

High Bid:

Contract Percent Complete: 28%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 14, 2/4/10, was approved for an increase of \$37,930 to install new cabling between the switch in the new manhole to the existing one to avoid splices in the existing cabling and to minimize the shutdown and outage time required for the electrical service to the Hardyman Building. **Change Order # 15**, 2/4/10, was approved for a decrease of \$32,042 to adjust the allowance found in the photo-voltaic panel installation bid package due to bid savings. **Change Order # 19**, 3/12/10, was approved for an increase of \$46,491 to revise the communications duct bank to the south and to modify the slope of storm line D. This will facilitate future duct bank tie-in without shutting down access to the parking lots north of College View.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Expand Ophthalmology Clinic - Hospital

Project Number: 2301.00

Project Description:

Scope: \$1,650,000

This project consists of renovating approximately 12,500 square feet of space on the third floor of the Kentucky Clinic, some of which is currently occupied by Neurosurgery, in order to expand the existing Ophthalmology Clinic (also located on the third floor of the Kentucky Clinic) into the vacated space. It also includes refurbishing the existing Ophthalmology Clinic space. This project will necessitate phased construction activities to include expansion into the additional space as well as a full refurbishment of the existing Ophthalmology Clinic.

Consultant: JRA Architects

Contract # A081140

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$249,078

Cumulative Amendment Amount:

Revised Contract Amount:

\$249,078

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Expand & Upgrade Livestock Disease Diagnostic Lab

Project Number: 2234.00

Scope: \$27,165,200

Project Description:

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The Livestock Disease Diagnostic Lab is an office and laboratory building with net assignable space of 3,860 square feet for offices and 28,100 square feet of laboratories and support space. It is proposed to add 6,300 and 1,200 square feet (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 square feet net).

Consultant: CMW, Inc.

Contract # A061100

Total Amendments to Date: 3

Original Contract Amount: \$648,000

Cumulative Amendment Amount: \$1,846,800

Revised Contract Amount: \$2,494,800

Describe all amendments :

Amendment # 1, 12/12/06, was approved for \$90,000 to provide additional design services to accommodate a phased implementation consistent with the legislative budget action. Includes the additional design to study the new tissue digester effluent impact of the LFUCG Town Branch Wastewater Treatment Plant. **Amendment # 2**, 12/10/07, an increase of \$131,000 was approved for additional schematic design services to include multiple methods of animal carcass disposal including rendering, incineration and digestion. **Amendment # 3**, 7/16/08, an increase of \$1,625,800 to provide all of the architectural and engineering services required to complete Schematic Design, Design Development, Construction Documents and Construction Administration phases for an estimated \$20,000,000 worth of construction at the existing UK Livestock Disease Diagnostic Center Facility. **No amendments this quarter.**

Contractor: Congleton-Hacker Company (Construction Manager-At-Risk)

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

*Congleton-Hacker's contract was awarded by RFP process.

Contract # 4500026910

Total Change Orders to Date: 67

Low Bid:

High Bid:

Original Contract Amount: \$18,146,148

Cumulative Change Order Amount: \$481,885

Revised Contract Amount: \$18,628,033

Contract Percent Complete: 68%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 6, 8/21/09, was approved for an increase of \$45,000 to provide dense graded aggregate (DGA) fill under the building's concrete slabs. The DGA was incorrectly detailed and is required for correct construction of the building concrete slabs. **Change Order # 19**, 12/14/09, was approved for an increase of \$44,983 to select a better match to existing bricks than the proposed brick. The General Shale brick that was bid was deemed unacceptable in the project mock-up wall. **Change Order # 35**, 12/21/09, was approved for an increase of \$64,388 to provide temporary paving around Necropsy. This portion of the paving was purposefully deferred during bidding due to market fluctuation. **Change Order # 49**, 3/1/10, was approved for an increase of \$28,360 to add matching freestanding wall on top of retaining wall to create a min. 42" high wall. This prevents any chance of falling and improves site grading requirements adjoining the wall. **Change Order # 56**, 3/17/10, was approved for an increase of \$35,910 to provide for rental of a trailer necessary for the temporary relocation of UK College of Ag. pathologists. The pathologists must be temporarily relocated to allow for existing facility renovation work to proceed.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate 4-H Camps

Project Number: 2310.00

Scope: \$2,000,000

Project Description:

The College of Agriculture Cooperative Extension Service and 4-H Youth Development operate 4-H Camping Centers in Nicholas, Pulaski, Laurel and Hopkins counties. This project will renovate existing cabins by upgrading utility infrastructure, improving finishes, and installing energy efficient heating and air conditioning systems. The project also will include replacement of existing bunks and mattresses in all the camps. Additionally, a new cabin will be built that will house 28 campers and 4-H staff.

Consultant: Murphy & Graves

Contract # A101000BB

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$50,000

Cumulative Amendment Amount:

Revised Contract Amount:

\$50,000

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Renovate Central Computing Facility

Project Number: 2304.00

Scope: \$845,000

Project Description:

McVey Hall is the current facility housing the central computing operations. It is imperative that some facility issues be addressed for UK Information Technology (UKIT) to continue to provide reliable service for mission critical IT systems. The power and air-conditioning problems in McVey Hall require immediate short-term investments to ensure sufficient cooling and power distribution for the next few years. This project will include reconfiguration and upgrading of existing cooling units, replacing of UPS units that are expiring and installation of back-up electricity and cooling equipment.

Consultant: CMTA Engineers

Contract # A091020P #1

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$48,500

Cumulative Amendment Amount:

Revised Contract Amount:

\$48,500

Contractor: TP Mechanical

Contract # 4500036320

Total Change Orders to Date: 8

Low Bid: \$276,300

High Bid: \$476,399

Number of Bids: 4

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:

\$276,300

Cumulative Change Order Amount:

\$29,528

Revised Contract Amount:

\$305,828

Contract Percent Complete:

73%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Koinonia House (aka Schmidt Vocal Art Center)

Project Number: 2295.00

Scope: \$1,127,000

Project Description:

The project continues the renovation of the Vocal Arts Center, which houses the UK Opera Program. The facility was acquired by the University in 1991. At that time, some of the first floor was renovated, but the second floor of the building remained shelled space. The current project includes upgrades to the electrical and mechanical systems, installation of a sprinkler system, a new stairwell, and an elevator. The project also begins fitting out the second floor to address needs created by the recent growth of the Opera Program. The second floor will eventually include rehearsal rooms, teaching studios, classrooms, practice rooms, a dance studio, and office space for staff and graduate assistants. Following this initial phase, additional shell space remains for a later project or phase.

Consultant: Ross Tarrant Architects

Contract # A091090

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$98,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$98,000

Contractor: Churchill-McGee, LLC

Contract # 4500033010

Total Change Orders to Date: 26

Low Bid: \$887,300

High Bid: \$1,123,750

Number of Bids: 6

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:	\$887,300
Cumulative Change Order Amount:	\$2,139
Revised Contract Amount:	\$889,439
Contract Percent Complete:	100%

Renovate Nursing Building

Project Number: 2307.00

Scope: \$1,883,970

Project Description:

This project will renovate and convert a covered patio on the third floor of the Nursing Building into student study and discussion space. Additionally, minor enhancements will be made in some fifth floor graduate student space. The project is fully funded from a U.S. Department of Health and Human Services, Health Resources and Services Administration grant.

Consultant: Stengel-Hill Architecture

Contract # A101100

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$150,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$150,000

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:	
Cumulative Change Order Amount:	
Revised Contract Amount:	
Contract Percent Complete:	

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Parking Structure #3 - Hospital

Project Number: 2311.00

Scope: \$635,000

Project Description:

This project includes repairing the concrete topping on levels two and three; painting of pre-cast connections; installation of a new expansion joint at the structural slab transition; full depth slab repairs for levels four, five, and six; and application of an elastomeric waterproofing membrane on level six. These repairs are needed to protect the structure from moisture penetration and resulting deterioration of concrete and embedded metals.

Consultant: Brown & Kubican

Contract # A101030SS

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$42,000

Cumulative Amendment Amount:

Revised Contract Amount:

\$42,000

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Renovate Third Floor Little Library

Project Number: 2306.00

Scope: \$1,000,000

Project Description:

This project will renovate and fit-up approximately 5,300 square feet of shelled space on the third floor of the Little Library. Prior to the library consolidations following completion of the W.T. Young Library, this space was used to house library materials. The renovated space will house the Center for Applied Information Technology, a suite of offices for the School of Library and information and two new classrooms, seating for 48, with smart technology.

Consultant: Pearson & Peters

Contract # A101000DD

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$44,200

Cumulative Amendment Amount:

Revised Contract Amount:

\$44,200

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate/Expand the Center For Applied Energy Research

Project Number: 2286.10

Scope: \$2,000,000

Project Description:

The primary purpose of this project will be a multi-phased project incorporating the following components:

Mineral Process Lab Building - The initial phase of the project will require the construction of a 6,400 square foot support building. This new facility will permit the relocation of the existing mineral processing group currently housed in the centers main facility. The existing equipment support space, offices and labs will be relocated.

Relocation of the Crushing Room - The existing Crushing Room is to be relocated into an existing facility originally built for dry storage of coal.

Biofuels High Bay Lab Fit-up and Improvements - Renovated space, vacated by the Mineral Processes Lab above, will allow for the development of a biofuels laboratory for production, characterization, and testing of biomass derived fuels, with a focus on biodiesel, bio-oils, and biomass derived Fischer-Tropsch liquids. The principle objective of this project is the establishment of a laboratory dedicated to the development of improved processes for biomass utilization, with the goal of supporting the development of the biofuels industry in Kentucky.

Combustion High Bay Hoods - The existing Combustion/High Bay Lab will have a new bench top fume hood and a new walk-in hood installed with required ductwork and exhaust fans.

Consultant: Biagi, Chance, Cummins, London & Titzer

Contract # A091080

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$200,000

Cumulative Amendment Amount:

Revised Contract Amount: \$200,000

Contractor: Denham Blythe, Co.

Contract # 4500033050

Total Change Orders to Date: 14

Low Bid: \$1,156,991

High Bid: \$1,544,000

Number of Bids: 6

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount: \$1,156,991

Cumulative Change Order Amount: \$92,904

Revised Contract Amount: \$1,249,895

Contract Percent Complete: 95%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate/Expand the Center for Applied Energy Research (Ph II)

Project Number: 2286.20

Project Description:

Scope: \$882,221

This project will construct a shell to house the Center for Applied Energy Research mini refinery.

Consultant: Denham Blythe

Contract # A101070

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$40,000

Cumulative Amendment Amount:

Revised Contract Amount: \$40,000

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Repair, Upgrade, Improve Bldg. Systems - Hospital (Elevators Upgrade for KY Clinic)

Project Number: 2296.10

Project Description:

Scope: \$1,000,000

This project seeks to upgrade the elevator cabs, elevator controls and elevator pumps for the five elevators located in Kentucky Clinic (includes Medical Plaza). This project allows the Hospital to provide maintenance and upgrades to prevent breakdowns in the system. The Hospital relies on these systems for the efficient transfer of patients, visitors, supplies, and equipment. Unplanned interruptions to these systems create customer service problems and additional personnel costs.

Consultant: N/A

Contract #

Total Amendments to Date:

Describe all amendments :

Original Contract Amount:

Cumulative Amendment Amount:

Revised Contract Amount:

Contractor: Oracle Elevator - * Awarded via RFP Process

Contract # 4500034540

Total Change Orders to Date: 1

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount: \$833,835

Cumulative Change Order Amount: \$5,992

Revised Contract Amount: \$839,827

Contract Percent Complete: 54%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Replace Emergency Generators & Fire Pump - UK Good Samaritan Hospital

Project Number: 2277.30

Scope: \$2,025,550

Project Description:

This scope of this project will consist of the replacement or upgrades of the following equipment at the Good Samaritan Hospital: Emergency generator, transfer switches, air handling unit #25, constant pressure water pumps, deareator tank, and a fire pump.

Consultant: CMW, Inc.

Contract # A081150

Total Amendments to Date: 1

Original Contract Amount: \$140,000

Cumulative Amendment Amount: \$8,200

Revised Contract Amount: \$148,200

Describe all amendments :

Amendment 1, 11/11/08, and increase of \$8200 was approved for additional design services as required to provide location options for the emergency generators. **No amendments this quarter.**

Contractor: Messer Construction Co.

Contract # 4500035739

Total Change Orders to Date: 7

Low Bid: \$1,520,000

High Bid: \$1,893,960

Number of Bids: 4

Original Contract Amount: \$1,520,000

Cumulative Change Order Amount: \$2,907

Revised Contract Amount: \$1,522,907

Contract Percent Complete: 79%

Describe all change orders greater than \$25,000.00:**No change orders greater than \$25,000 this quarter.**

Replace Wildcat Lodge Student Housing (Wildcat Coal Lodge)

Project Number: 2309.00

Scope: \$7,000,000

Project Description:

This project consists of the design and construction of a new housing facility of approximately 18,000 GSF to replace the existing Wildcat Lodge. The new facility is to house the UK men's basketball players in addition to other non-athlete students. The building will include a controlled main entryway, with combination living/bedroom spaces, a common space, with dining and gaming rooms. In addition, it will have a conference room and public restrooms in all common areas. The site will be located just east of the Joe Craft Center.

Consultant: JRA Architects

Contract # A101090

Total Amendments to Date:

Original Contract Amount: \$554,253

Cumulative Amendment Amount:

Revised Contract Amount: \$554,253

Describe all amendments :

No amendments this quarter.

Contractor: Codell Construction Company

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

*Codell's contract was awarded by RFP process.

Contract # 4500038207

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$398,000

Cumulative Change Order Amount:

Revised Contract Amount: \$398,000

Contract Percent Complete:

Describe all change orders greater than \$25,000.00:**No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Student Housing - Supporting Utility Plant Upgrades

Project Number: 2153.30

Scope: \$1,275,000

Project Description:

This project includes expanding the Virginia Avenue Central Utility Plant to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Consultant: AM Kinney

Contract # A041000GG

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$26,315

Cumulative Amendment Amount:

Revised Contract Amount: \$26,315

Contractor: English Boiler & Tube Inc.

*English Boiler's contract was awarded by RFP process.

Contract # 4500008298

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

Original Contract Amount: \$1,147,748

Cumulative Change Order Amount:

Revised Contract Amount: \$1,147,748

Contract Percent Complete: 99%

Upgrade-Renovate-Improve or Expand Research Labs (Chemistry-Physics Building)

Project Number: 2302.00

Scope: \$2,080,000

Project Description:

The space vacated by the relocation of the Chemistry and Physics libraries will be converted into research and research support space. This renovation will create three wet bench research labs to accommodate three new faculty lines in Chemistry, and one materials lab for Physics. The materials lab will be a multi-disciplinary lab that will be used by Chemistry, Physics and Engineering.

Consultant: Omni Architects

Contract # A101060

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$186,000

Cumulative Amendment Amount:

Revised Contract Amount: \$186,000

Contractor: Denham Blythe

Contract # 4500037598

Total Change Orders to Date:

Low Bid: \$1,382,093

High Bid: \$1,497,000

Number of Bids: 9

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount: \$1,382,093

Cumulative Change Order Amount:

Revised Contract Amount: \$1,382,093

Contract Percent Complete: 21%

FCR 13

Office of the President
June 8, 2010

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period January 1 through March 31, 2010.

Background: At its March 4, 1997 meeting, the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD THROUGH MARCH 31, 2010

Patents

The following assignments on behalf of the Board of Trustees of the University of Kentucky Research Foundation have been executed:

- 1. U.S. Patent Application Serial Number: (to be assigned)**
Filed: January 6, 2010
Title: “Gene Expression Modulation System for Use in Plants and Method for Modulating Gene Expression in Plants”
Inventors: Drs. Subba Palli (Entomology) and Ajay Singh (Plant Pathology)
Technical Description: The invention relates to the field of gene expression, and, specifically, to chemically-inducible systems which activate or inactivate gene expression in host plant cells.
Summary: The function of many plant genes remains unknown. One method for studying the function of plant genes is to develop an artificial mechanism for turning the expression of the genes on or off, and observing the resulting change in plant physiology. The inventors have developed an efficient artificial method of turning plant genes on or off.
- 2. U.S. Patent Application Serial Number: (to be assigned)**
Filed: January 11, 2010
Title: “Method of Supplementing the Diet and Ameliorating Oxidative Stress”
Inventors: Drs. Boyd Haley and Niladri Narayan Gupta (Chemistry)
Technical Description: This invention relates to the field of dietary supplements for mammals, and, specifically, to methods reducing oxidative stress through dietary supplementation.
Summary: Oxidation is a naturally occurring chemical reaction which has the capacity to injure or kill the cells of living tissues. Exposure to heavy metals such as mercury and lead can drastically increase the amount of harmful oxidation in tissue. The inventors have developed a class of compounds which, when ingested, can bind and promote the excretion of heavy metals from the body, decreasing the amount of harmful oxidation.
- 3. U.S. Patent Application Serial Number: (to be assigned)**
Filed: February 5, 2010
Title: “Polypeptide Systems and Methods Useful for Detecting Glucose”
Inventors: Dr. Sylvia Daunert, Kendrick Turner, Smita Joel, and Laura Rowe (Chemistry).
Technical Description: This invention relates generally to biosensors, and systems and methods of detecting glucose.
Summary: Diabetes is a common disease having widespread and severe health consequences. Management of the disease requires careful monitoring of glucose levels in the patient. Currently, glucose is measured in blood obtained by finger prick and other

painful methods. The inventors have developed a method of detecting blood glucose levels within the patient without requiring the patient to draw a blood sample.

4. **U.S. Patent Application Serial Number: (to be assigned)**

Filed: February 5, 2010

Title: "Semi-synthetic Antibodies as Recognition Elements"

Inventors: Drs. Sylvia Daunert, Leonidas Bachas, Boyd Haley, and Smita Joel (Chemistry)

Technical Description: This invention relates to detection of molecules of interest continuously in a living organism.

Summary: There are many applications where the detection of molecules in a biological sample is useful, such as detecting pathogens in a sample from a medical patient. Currently, such detection requires isolating a sample from the patient, followed by detection of the pathogen in the sample. The inventors have developed a method of detecting molecules of interest directly in the patient, without the need for isolating a sample first.

5. **U.S. Patent Application Serial Number: (to be assigned)**

Filed: February 18, 2010

Title: "A Method for Producing Fuel Briquettes from High Moisture Fine Coal or Blends of High Moisture Fine Coal and Biomass"

Inventor: Dr. Darrell N. Taulbee (Center for Applied Energy Research)

Technical Description: This invention relates to fuel products and a process for making fuel products from coal fines.

Summary: The commercial preparation of coal produces copious small particles of coal (a.k.a. coal fines) which are typically discarded as waste. The inventor has discovered a method of forming coal fines into briquettes, which can be sold as fuel.

6. **U.S. Patent Application Serial Number: (to be assigned)**

Filed: March 2, 2010

Title: "Methods for Predicting Cancer Response to EGFR Inhibitors"

Inventors: Drs. Esther Black and Justin Balko (Pharmaceutical Sciences)

Technical Description: This invention relates to biomarker profiling of samples obtained from carcinoma subjects who are candidates for treatment with therapeutic EGFR inhibitor. More specifically, this invention relates to biomarker profiling which allows one skilled in the art to predict whether a patient is likely to respond well to treatment with an EGFR inhibitor.

Summary: Some skin cancers are effectively treated by blocking EGFR, a receptor for a growth factor found in the skin. However, it is generally not known before treatment whether a patient will respond to blocking EGFR. The inventors have invented a method of determining which patients will respond to blocking EGFR. The method involves determining the RNA profile of the skin cancer for patterns predictive of the effectiveness of the treatment.

7. **U.S. Patent Application Serial Number: (to be assigned)**

Filed: March 3, 2010

Title: “Systems and Methods for Diagnosis and Monitoring of Bacteria-Related Conditions”

Inventors: Drs. Sylvia Daunert, Sapna Deo, Patrizia Pasini, Anjali Kumari, Harohalili Shashidhar, Deborah Auer Flomenhof, Nilesh Raut (Chemistry)

Technical Description: The present invention relates to diagnosing and monitoring conditions of interest, specifically bacteria-related conditions.

Summary: Bacteria release chemicals, known as “quorum sensing molecules” (QSM), which serve to communicate information about bacterial concentration. The inventors propose assessing the activity of infectious bacteria in human patients by measuring their QSM level.

8. U.S. Patent Application Serial Number: (to be assigned)

Filed: March 3, 2010

Title: “Spores for the Stabilization and On-site Application of Bacterial Whole-cell Biosensing Systems.”

Inventors: Drs. Sylvia Daunert, Sapna Deo, Patrizia Pasini, Amol Date (Chemistry)

Technical Description: The invention relates to biosensors for detecting analytes of interest. In particular, the invention relates to biosensors comprising spore forming bacterial cells and/or spores generated from the spore-forming bacterial cells.

Summary: Bacterial cells have been genetically engineered to detect substances in the environment, such as toxins. These bacteria, however, are difficult to store, since they can only survive in a narrow range of environmental conditions. The inventors have genetically engineered spore forming bacterial cells to detect substances. Spores permit the survival of bacteria in harsh conditions, so the genetically engineered bacteria of this invention can be stored in harsh environmental conditions.

9. U.S. Patent Application Serial Number: (to be assigned)

Filed: March 25, 2010

Title: “Aromatic Compounds with Sulfur Containing Ligands”

Inventor: Dr. Boyd Haley (Chemistry)

Technical Description: This invention relates to novel aromatic compounds useful as nutritional supplements, antioxidants, heavy metal chelators and/or as intermediates for producing other useful compounds of this type.

Summary: Living organisms naturally produce reactive oxygen. When an organism does not have sufficient ability to detoxify the products of reactive oxygen, oxidative stress occurs. Oxidative stress is involved in many diseases, such as atherosclerosis, Parkinson's disease, heart failure, myocardial infarction, and Alzheimer's disease. The inventor has developed a class of chemical compounds which, when taken as a dietary supplement, can counteract oxidative stress.

Patent Activities

Fiscal year to date as of March 31, 2010

Number of Patent Applications	25
Number of Patents Issued	16
Patent Receipts	\$1,274,504

FCR 14

Office of the President
June 8, 2010

Members, Board of Trustees:

APPROVAL OF INVESTMENT IN COLDSTREAM LABORATORIES, INC.

Recommendation: that the Board of Trustees authorize the President to approve up to \$6 million to the University of Kentucky Research Foundation to be used for investment in Coldstream Laboratories, Inc. ("CLI") to fund prior year losses and for financial support of ongoing operations.

Background: The University, with state assistance, agreed to construct a new facility at Coldstream for a substantial expansion of the College of Pharmacy's Center for Pharmaceutical Science and Technology ("CPST"). As previously reported to the Board, CLI was formed to expand and commercialize pharmaceutical manufacturing, research, and development in Coldstream facilities.

CLI continues to pursue its role in pharmaceutical manufacturing at Coldstream and assist economic development efforts in Kentucky by transferring appropriate technology and programs to the public sector. CLI also provides educational opportunities for the College of Pharmacy and unique educational opportunities for its students, as well as unique collaborative opportunities for research projects.

CLI is building a client base but has not yet become self-sufficient. Although CLI had hoped to have a positive cash flow by the First Quarter of 2010, the economic recession has slowed CLI's progress. Consequently, CLI requires continued financial support.

With approval of this action, the total transfer authorized will be \$19,850,000 with total capital contributions of \$21,318,088, including the forgiveness of \$1,468,088 in University of Kentucky equipment lease agreements. The investment will be funded by UK HealthCare.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 15

Office of the President
June 8, 2010

Members, Board of Trustees:

FISCAL YEAR 2010-2011 OPERATING AND CAPITAL BUDGET

Recommendation: that the Board of Trustees:

- approve the FY 2010-2011 Operating and Capital Budget;
- approve the appropriation and allocation of all fund balances for future operations, to include amounts necessary to cover accounts receivable and inventories that will represent assets on the audited balance sheet of the University of Kentucky for FY 2009-2010. These balances are in addition to those incorporated in the proposed budget. Since the books of account for the University of Kentucky are maintained on a full accrual basis, this action will provide the funds necessary to maintain a sound financial position during FY 2010-2011;
- order that the Operating Budget be recorded as an integral part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures; and
- accept the Operating Budget as the basis of maximum expenditures for FY 2010-2011, subject to Board approved revisions.

Background: The FY 2010-2011 Operating and Capital Budget of the University of Kentucky will be provided prior to the Board meeting.

NOTE: Copy available at www.uky.edu/opbpa

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

HRCR 1

Office of the President
June 8, 2010

Members, Board of Trustees:

PROPOSED REVISION TO ADMINISTRATIVE REGULATION: UNIVERSITY OF KENTUCKY RETIREMENT PLAN

Recommendation: that the Board of Trustees approve changes to the University of Kentucky Administrative Regulation (AR) 3:1, University of Kentucky Retirement Plan. Changes to this AR include elimination of the old “Group I, II, and III” personnel language, which is no longer relevant to any current employees, and further clarification of procedures for contributions for employees on leave. These changes will also reduce the AR to the “basic” tenets for the retirement plan by removing items that are now included in the official “plan documents” for each retirement plan and that are governed by the plan carriers and federal law.

Background: The University retirement plan has been defined in AR 3:1 and has been updated at least annually to reflect changes in Internal Revenue Code (IRC) regulations and other minor plan modifications (e.g. retirement plan loans) that are normally documented in “plan documents.” Now that the law has changed, each retirement plan has a separate plan document; thus, the AR can be edited to reflect the higher-level tenets of the plans, which will not require continuous AR approval. The only substantive change in this revision is the inclusion of language which permits employees on scholarly leave to make-up retirement contributions and receive the university match when they return from leave. All other changes are in structure or form to reflect the current practice and administration of the retirement benefits.

Notable revisions include:

- Section II.A.1 and 2. Groups I, II, and III are being consolidated and the terms are being dropped since contributions are the same for all employees who are not on Civil Service retirement plans. The College of Agriculture has a number of employees who participate in federal retirement plans (Civil Service Retirement System (CSRS) or the Federal Employee Retirement System (FERS)).
- Section III.A consolidates the contributions for all eligible employees (former Groups I, II, III, and IV).
- Section III.B is existing language in the AR; it is being moved into this section.
- Section III.D describes how employees over a certain salary move from one plan to another as they reach IRC plan limits under each plan. This is not a change, but it was previously not described in the AR.

- Section X adds “Scholarly Fellowship Leave” to the current language for Uniformed Services Leave. Employees with these leave statuses will be allowed to make-up contributions and receive the university match when they return from leave.
- Section XI further clarifies the re-employment of faculty and staff after retirement.

The revision to the Retirement Plan has been reviewed and endorsed by the Senate Council. The provost of the University supports this recommendation. A revised copy of AR 3:1 is attached. Proposed additions are underlined; proposed deletions are lined through.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

UNIVERSITY OF KENTUCKY ADMINISTRATIVE REGULATIONS	IDENTIFICATION AR 3:1		PAGE 1
	DATE EFFECTIVE 6/8/10 1/22/08	SUPERSEDES REGULATION DATED 8/21/90, 6/16/92, 12/12/95, 6/11/96, 3/4/97, 7/1/98, 9/19/00, 8/13/02, 3/1/03, 1/27/04, 9/21/04, 10/10/06, 6/12/07, 12/11/07, 1/22/08	

UNIVERSITY OF KENTUCKY RETIREMENT PLANS
(Approved by the Board of Trustees)

I. Introduction

It is the Board's intention that University employees be given a wide range of investment options during the years in which contributions are being made on the employees' behalf as well as multiple withdrawal options at the time of retirement. The policies governing the University of Kentucky Retirement Plan are set forth in this administrative regulation and in the respective plan documents. The ~~University of Kentucky~~ Board of Trustees has authorized two retirement plan carriers (hereafter "carriers") to provide retirement plan administrative services for University of Kentucky employees: Teachers Insurance and Annuity Association/College Retirement Equities Fund (TIAA-CREF) and Fidelity Investment Tax-Exempt Services Company (Fidelity). ~~Additionally, American Century Investors, Inc. (American Century) funds shall be provided through Fidelity's administrative platform.~~ It is the Board's intention that University employees be given a wide range of investment options during the years in which contributions are being made on the employees' behalf as well as a wide range of withdrawal options at the time of retirement. The term "retirement plan carrier," as used throughout this administrative regulation, includes both TIAA CREF and Fidelity and These carriers may be modified from time to time by the Board of Trustees pursuant to section XI herein.

The University shall have plan documents for each retirement plan as required by law. In the event that language in this regulation and the plan document conflict as a result of changes in state or federal law, the plan document shall govern.

The policies governing insurance benefits for University retirees are a part of the *Human Resources Policy and Procedure Administrative Regulations* (HRP&P) and are found in Numbers 91.0, 93.0, and 94.0. Information on ~~these~~ insurance programs is not included in this administrative regulation.

II. Retirement Groups

A. Eligibility

1. University Participants ~~1. Group I Personne~~

Eligibility ~~of personnel~~ to participate in the University retirement plan ~~for classification in Group I~~ is ~~is~~ conditioned upon:

- (a) regular full-time employment, as defined by HRP&P #4.0, with the University or, upon approval of the Board of Trustees, with an agency for which the University serves as fiscal and payroll agent;
- ~~(b) employment in a faculty position or a position otherwise specifically approved for Group I by the President; and,~~
- (be) ~~occupation of employment in~~ a position not covered by the United States Civil Service Retirement ~~System (CSRS) Plan~~ or the Federal Employees Retirement System ~~(FERS).~~

~~2. Group II Personnel~~

~~Eligibility of personnel for classification in Group II is conditioned upon:~~

- ~~(a) regular full-time employment with the University or, upon approval of the Board of Trustees, with an agency for which the University serves as fiscal and payroll agent;~~
- ~~(b) employment in a position classified as technical and scientific staff, office and clerical staff, or service and maintenance staff; and,~~
- ~~(c) occupation of a position not covered by the United States Civil Service Retirement Plan.~~

~~3. Group III Personnel~~

~~Eligibility of personnel for classification in Group III is conditioned upon:~~

- ~~(a) regular full-time employment with the University or, upon approval of the Board of Trustees, with an agency for which the University serves as fiscal and payroll agent;~~
- ~~(b) employment in a position classified as administrative staff, managerial specialist staff, or professional staff;~~

~~(c) occupation of a position not covered by the United States Civil Service Retirement Plan or the Federal Employees Retirement System; and,~~

~~(d) approval by the President or his delegate.~~

2. Civil Service Retirement System (CSRS) Participants ~~Group IV Personnel~~

Eligibility of personnel to participate in the University retirement plan is conditioned upon: ~~Eligibility of personnel for classification in Group IV is conditioned upon:~~

- (a) regular full-time employment with the University;
- (b) occupation of a position covered by ~~CSRS~~the United States Civil Service Retirement Plan; and,
- (c) eligibility rights under ~~CSRS~~the United States Civil Service Retirement Plan.

35. Federal Employees Retirement System (FERS) Participants ~~Group V Personnel~~

Eligibility of personnel to participate in the University retirement plan is conditioned upon: ~~Eligibility of personnel for classification in Group V is conditioned upon:~~

- (a) regular full-time employment with the University;
- (b) occupation ~~of~~in a position covered by ~~the Federal Employees Retirement System (FERS) Act~~; and,
- (c) participation rights under the FERS retirement plan.

B. Participation Requirements

1. ~~Mandatory Participation~~

Participation ~~of Groups I, II and III personnel~~ is mandatory upon attainment of age 30, unless the position is eligible for CSRP or FERS. Participation in the University retirement plan for employees eligible for CSRP or FERS is voluntary.

Participation is voluntary for all eligible participants in the University retirement plan prior to age 30. An employee who enrolls under the voluntary provisions of this policy shall make an irrevocable, one-time salary reduction agreement when entering the plan; that employee may not withdraw from the University's retirement plan as long as that employee remains eligible for plan participation.

2. Voluntary Participation

~~Participation of Groups IV and V personnel is voluntary. An employee who enrolls under the voluntary provisions of this policy shall make an irrevocable, one-time salary reduction agreement when entering the plan; that employee may not withdraw from the University's retirement plan as long as that employee remains eligible for plan participation.~~

III. Contributions and Vesting

A. Contribution Schedule

~~A. Groups I, II, III and IV~~

~~Notwithstanding any provision to the contrary contained herein, all Groups I, II, III and IV personnel~~ Individuals who have satisfied the age and service requirements for mandatory participation shall be required to contribute on a salary reduction (pre-tax) basis all ~~contributions which are required to be made by the participant according to the applicable contributions schedule~~ contributions that are required to be made by the participant according to the applicable contributions schedule contained herein; ~~provided, however, that t~~ This provision shall not apply to any participant who prior to December 22, 1986, was making contributions on a salary deduction (after-tax) basis.

Contributions toward retirement benefits ~~for participating Group I, II, III and IV personnel, from FY 1997-98 forward,~~ shall be made in accordance with the following schedule:

Contributions as a Percent of Basic Annual Salary

1A. All eligible participants other than FERS:

<u>By the Participant</u>	<u>By the Institution</u>	<u>Total</u>
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5% 10% 15%

2B. ~~Group V~~ FERS Participants:

~~Contributions to the retirement plan shall be made in accordance with the following schedule:~~

Contributions as a Percent of Basic Annual Salary

<u>By the Participant</u>	<u>By the Institution</u>	<u>Total</u>
1%	2%	3%

B. Contribution for Faculty for Interim Assignment

In addition to contributions based on basic annual salary, contributions also shall be applied on compensation paid to faculty ~~employees~~members for service during an interim between regular assignment periods, provided the faculty ~~members~~are employed full-time by the University for at least one full month of continuous service in the interim, on a basis other than a fee schedule, without reduction in rate of earned salary per month as described in Administrative Regulation AR 3:6. An interim between regular assignment periods for a faculty member on a regular nine-month, ten-month, or eleven-month assignment basis is defined in AR 3:6.

C. University Contribution for Executives

Annual contributions to the Retirement Plan may be made on behalf of certain executives as identified and approved by the President, in an amount equal to the aggregate of employee and University contributions otherwise provided herein for ~~Group I~~employees identified in section III.A.

D. Plans for Excess ~~Retirement Plan~~Limits or Contributions

Employees who reach the Internal Revenue Code (IRC) 403(b) Plan compensation and contribution limits (employee and employer contributions) each year shall participate in the IRC 401(a) Plan. Employees who reach the IRC 401(a) Plan compensation and contribution limits (employee and employer contributions) each year shall participate in the IRC Supplemental 403(b) Plan and/or IRC 415(m) Plan.

In addition to the regular contributions referenced herein, excess contributions to the IRC Supplemental 403(b) Plan and/or the IRC 415(m) may be made to provide retention incentives to certain University employees. ~~The Excess Retirement Plan document defines eligibility, contributions, and vesting and provides other information related to the plan.~~

E. Vesting

1. For employees who commenced employment with the University before Jan 1, 2010, retirement benefits purchased with the combined employee and University contributions shall become the property of individual participants immediately upon purchase. The employee is 100% vested.
2. For employees who commence employment with the University after December 31, 2009, retirement benefits purchased by the employee with employee contributions shall become the property of individual participants immediately. Retirement benefits purchased with the employer contributions shall become the property of the employee after the employee has completed five years of regular full-time service with the University.
3. After December 31, 2009, Retirement Plan participants who become employees of the University as the result of an acquisition of a company or institution shall receive service credit towards vesting in the University's retirement plans based on the employee's length of service with the acquired employer.
4. All benefits are primarily for the purpose of providing retirement and death benefits.

IV. Omnibus Budget Reconciliation Act of 1993 (OBRA '93) Limits~~Plan Limits~~
~~(Groups I, II, III, IV and V)~~

A. The University of Kentucky Retirement Plan Year ~~is deemed to begin~~ January 1 of a calendar year and end December 31 of the same calendar year.

AB. Beginning July 1, 1996, the University shall withhold the contribution of the participant from regular salary payments, add its contribution, and remit the combined sum to the retirement plan carrier(s) selected by the participant for the purchase of retirement benefits.

In addition to other applicable limitations stated in the plan, and notwithstanding any other provisions of the University's retirement regulations to the contrary, for plan years beginning on or after January 1, 1996, the annual compensation of each

employee taken into account under the plan shall not exceed the Omnibus Budget Reconciliation Act of 1993 (OBRA '93) annual maximum includable compensation limit. The OBRA '93 annual limit is adjusted by the Commissioner of the Internal Revenue Service (IRS) for increases in the cost of living in accordance with section 401(a)(17)(B) of the ~~IRC~~Internal Revenue Code (Code). The cost-of-living adjustment in effect for a calendar year applies to any period, beginning in such calendar year over which compensation is determined (determination period); this period may not exceed 12 months.

BC. For plan years beginning on or after January 1, 1996, any reference in this plan to the limitation under section 401(a)(17) of the ~~IRC~~Code shall mean the OBRA '93 annual maximum includable compensation limit stated in this provision. ~~The University of Kentucky Retirement Plan Year is deemed to begin January 1 of a calendar year and end December 31 of the same calendar year.~~

CD. Notwithstanding the above, employees who became participants in the University's retirement plan before the first day of the plan year beginning on or after January 1, 1996, will not be subject to this annual limit.

V. Contribution and Investment Options

A. 1.—The participant will direct the portion of the combined retirement contribution that is to be remitted to each carrier, if two or more carriers are selected. The participant also must advise each retirement plan carrier of which investment options have been chosen and, if two or more options are selected with a single carrier, of the part of the retirement contribution that is to be allocated to each option.

B2. A participant may change the ratio of allocating funds among retirement plan carriers or change options by completing the appropriate ~~documents~~paper work. ~~A participant may make changes in the allocations of contributions among the plan options by working are accomplished by the participant directly with the retirement plan carrier.~~

D.—~~In addition to contributions based on basic annual salary, contributions also shall be applied on compensation paid to faculty members for service during an interim between regular assignment periods, provided the faculty members are employed full time by the University for at least one full month of continuous service in the interim, on a basis other than a fee schedule, without reduction in rate of earned salary per month as described in AR II 1.3-2. An interim between regular assignment periods for a faculty member on a regular nine month, ten-month, or eleven-month assignment basis is defined in AR II 1.1-7.~~

~~E. If by applying the above stated percentages there would be a violation of federal or state laws, as a result of the employer and employee contributions, then these percentages shall not be applied to the extent of violating applicable laws. In such cases, the amount of the employer contribution that cannot be forwarded to a retirement plan carrier shall be paid to the employee as a temporary salary increase for the balance of the calendar year.~~

VI. Termination of Contributions

~~Beginning January 1, 1988, r~~Retirement plan contributions on behalf of ~~personnel employees~~ shall terminate upon retirement or cessation of regular full-time employment.

VII. Retirement Dates

A. Early Retirement Prior to Age 65

Retirement prior to age 65 generally is considered as early retirement. Early retirement is authorized when the combination of the employee's age and years of regular full-time service (with a minimum of 15 years of continuous service at the time of retirement) equals or exceeds the number 75. Regular part-time service will be counted on a pro rata basis. Employees taking advantage of this early retirement must provide written notification through normal administrative channels to ~~their the Provost or appropriate vice president~~appropriate senior administrator at least three months in advance of the desired retirement date. Early retirement may qualify eligible employees to continue participating in the University health plans as defined in HRP&P #93.0 and #94.0. Eligible staff employees may receive a payout of unused temporary disability leave upon early retirement as defined in HRP&P #87.

B. Normal Retirement

The normal retirement date for all employees ~~of the University~~ is hereby established as the ~~end of the university's fiscal year~~date in on which the employee attains age 65.

C. Mandatory Retirement

With the exception of a mandatory retirement date for all law enforcement officers, no mandatory retirement date is applicable to employees of the University of Kentucky. The mandatory retirement date for all University law enforcement officers ~~of the University of Kentucky~~ shall be at the ~~end of the University's fiscal year in~~date on which the employee attains age 70.

D. Disability Retirement

1. Prior to Normal Retirement Date

An employee with an extended period of service to the University may, at the discretion of the President, be permitted to retire prior to age 65, upon the employee's request if same is supported by a statement of a licensed physician certifying that the employee cannot engage in normal employment because of physical or mental disability. ~~The benefit level in such a special case shall be related to the period of service and rate of compensation at retirement,~~ and shall be conditioned upon continuance of the employee's total disability.

2. Subsequent to Normal Retirement Date

An employee who has elected to continue in employment beyond his or her normal retirement date, as established herein, may be required to retire in the event that the said employee's condition of health becomes such that it prevents the discharge of assigned duties and responsibilities.

VIII. Retirement Benefits

A. Benefits through Retirement Plan Carriers

Each participant is entitled, upon separation of service, at retirement or upon electing participation in the Phased Retirement Program under AR II ~~3:2-1.6-2~~, to activate any or all retirement benefits that have been acquired under the University of Kentucky Retirement Plan in accordance with procedures and rules established by the retirement plan carriers. In addition to lump sum or partial lump sum provisions, there will be both annuitized and non-annuitized methods of withdrawal. There may be variances in the retirement withdrawal options among the carriers. All retirement plan carriers do not offer the same withdrawal options.

B. Benefits in Case of Death before Retirement

In the event of a University employee's death prior to the commencement of retirement benefits, an income or lump-sum benefit will be paid by the retirement plan carrier to the participant's designated beneficiary or beneficiaries according to policy established by the carrier.

~~VIII~~IX. Contributions during Leave of Absence with Pay

A participant on leave with pay shall make the employee contribution and receive the University contribution based on the amount of the salary that is paid through the University payroll. Contributions to a participant's retirement plan shall be made on the actual salary paid through the University payroll, not to exceed the annual salary.

EX. Contributions during Scholarly Fellowship Leave or under Uniformed Services Leave

A participant on Scholarly Fellowship Leave (Governing Regulation, Part X) or Uniformed Services Leave (HRP&P #75) shall have the option to make up the missed employee contributions and receive the corresponding University contributions upon return from the leave. Such contributions shall be based on the employee's base salary at the time of the leave.

~~ability to continue making retirement contributions to the plan. The amount of employee contributions will be made for the entire salary not received while on leave and is eligible for the University match.~~

XI. Employment beyond Retirement

Employees who have elected to retire from University service under any of the provisions hereof shall not be eligible for reemployment except upon the specific prior action of the Board of Trustees granting approval of the appointment or to perform duties for which fee schedules have been approved. The Provost is authorized to establish fee schedules for faculty employees. Human Resources is authorized to establish fee schedules for all other employees (HRP&P #17). ~~or upon the specific prior action of the Board of Trustees granting approval of the appointment.~~—Employees who have elected to retire and who have been reemployed under this provision ~~will be~~ considered temporary employees.

XI. Loans and Hardship Withdrawals

Employees may obtain loans or take hardship withdrawals from their retirement accounts to the extent permitted by the applicable plan document and in conformity with ~~based on~~ the rules established by the IRS. ~~and retirement carrier.~~

XII. Excess Retirement Contributions

~~Employees who reach the Internal Revenue Code (IRC) 403(b) plan compensation and contribution limits each year are eligible for the IRC 401(a) retirement plan. Upon reaching the IRC 403(b) plan compensation and contribution limits each year, eligible employees may elect to participate in the IRC 401(a) plan, which includes required post tax contributions, or receive the employer retirement contribution as salary for the~~

~~remainder of the calendar year.~~

XII. Change in Retirement Plan Carriers

The Board of Trustees reserves the right in its sole discretion to remove, add, or otherwise modify the number of retirement plan carriers in any manner that it may determine by written notice to the affected carrier(s). In such event, the Board may direct that all accounts with the affected carrier shall be transferred to a carrier who is currently approved by the Board to accept contributions. In the event a change in the retirement plan carrier made by the University requires a participant to exchange one annuity contract/custodial account for another within the Plan, or in the event a participant voluntarily elects to exchange an annuity contract/custodial account for another within the Plan, each of the following requirements must be satisfied:

- A. The participant's accumulated benefit under the annuity contract/custodial account immediately after the exchange at least equals the participant's accumulated benefit under such contract/account immediately before the change;
- B. To the extent the exchanged annuity contract/custodial account is subject to distribution restrictions under applicable Sections 403(b) of the IRCode, as amended, the other annuity contract/custodial account imposes distribution restrictions no less stringent than those imposed by the exchanged annuity contract/custodial account; and
- C. The University enters into an agreement with the issuer of the resultant contract under which the University and the issuer will from time to time in the future provide each other with information necessary for the resulting contract to satisfy the applicable Section 403(b) of the IRCode or other federal tax requirements.

XIIIIV. Plan Document Revisions

~~This administrative regulation serves as the University's retirement plan document. Should federal or state law require a more formalized document, the~~ The Executive Vice President for Finance and Administration is authorized to amend the~~execute such a~~ plan documents as appropriate or necessary, to the extent that such amendments do it does not conflict with this administrative regulation.

Reference: KRS 164.220; 164.225

SACR 1

Office of the President
June 8, 2010

Members, Board of Trustees:

CODE OF STUDENT CONDUCT REVISION

Recommendation: that the Board of Trustees approve the attached revision to the *Code of Student Conduct*, effective July 1, 2010. The Student Affairs Committee of the Board reviewed the revision at its April 27, 2010 meeting, and based on the recommendation of the Student Code Committee, recommends approval of the revisions to the Board of Trustees.

Background: The *Code of Student Conduct* ("Code") is the document in which the Board states the rules, procedures, rights, and responsibilities governing non-academic relationships between the University and students. The *Code* defines prohibited behavior and specifies the procedural due process to which students and organizations are entitled in the disciplinary process. The *Code* also specifies the sanctions that may be imposed when a student or an organization is found responsible for a violation.

The last complete review of the *Code* occurred in 2003-2004, culminating in a new *Code* effective July 1, 2005. In order to maintain a Code that remains current with national best practices and in compliance with Federal and State laws, and University regulations, the *Code* must undergo regular and thorough review. The Student Code Committee has been meeting for the past year to review the *Code* in its entirety and has completed a multifaceted assignment designed to:

- Align the current *Code* with national best practices;¹
- Ensure that the Code is in compliance with recent changes to Federal and State law (e.g., the Clery Act, Title IX, policies issued by the Office of Civil Rights);
- Incorporate recent University policy changes (e.g., AR 6:2 [Policy of Sexual Assault, Stalking and Relationship Violence] and the Interim Guidelines for Handling Allegations of Student-on-Student Sexual Assault, Stalking and Relationship Violence, and AR 6:5 [Tobacco Policy]); and
- Clarify the judicial procedures associated with alleged violations of the Code.

¹ See Stoner, Edward n., and Lowery, John Wesley. *Navigating Past the 'Spirit of Insubordination': A Twenty-First Century Model Student Conduct Code With a Model Hearing Script*, 31 Journal of College and University Law 1 (2004). [In this overview, references to this paper are cited as the "Model Code"].

The current Student Code Committee, consisting of UK students, faculty, and staff, supports the revised *Code of Student Conduct*, as well as the Student Government Association, University Senate, and Division of Student Affairs.

A revised copy of the complete *Code of Student Conduct* is attached. Proposed additions are underlined; proposed deletions are lined through.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

CODE OF STUDENT CONDUCT

[revision 6-8-10]

Rules, Procedures, Rights and Responsibilities Governing Non-Academic Relationships

Preface

Pursuit of a college education provides an opportunity for exploration of new ideas, experimentation, self-examination, formation of new friendships, and development of ideals and directions. An academic community is a place where the free exchange of ideas and concepts can take place among faculty, staff, and students in an atmosphere that allows for debate and disagreement on contemporary issues.

In order to protect our community, certain standards of behavior are expected of all members of the University community, including students. In general, the University of Kentucky expects students to: maintain standards of personal integrity that are in harmony with the educational goals of the institution; observe national, state and local laws, as well as University regulations; and, respect the rights, privileges, and property of other people.

Definitions

When used in this *Code of Student Conduct (Code)*:

- a. "Advisor" means a person, including parent or spouse, invited by a Respondent or Complainant to attend any meeting or hearing in the preliminary or formal phases of the University Disciplinary Process and provide assistance or support to the Respondent or Complainant. An attorney may serve as an advisor to the Respondent or Complainant in the disciplinary process, although the attorney's participation is limited to the role of advisor as described in Article II, Sections 30 & 31 of this Code.
- b. "Business Day" means Monday through Friday, excluding Saturday and Sunday and holidays while classes are in session.
- c. "Complainant" means any person who reports or alleges that a student has violated this Code.
- d. "Conduct Officer" means any person(s) authorized under Article II, Sections 16-21, of the Code to conduct investigations that stem from allegations of Code violations, determine responsibility and set sanctions, as appropriate.
- e. "Disciplinary Hearing Officer" means any person(s) authorized by the President to conduct hearings under Article II, Sections 25-29, of the Code and impose sanctions when a violation has been committed. The Disciplinary Hearing Officers shall hear cases as a hearing panel of three (3) officers.

f. “Faculty Member” means any person hired by the University to conduct classroom or teaching activities or who is otherwise considered by the University to be a member of its faculty.

g. “Group” means a number of persons who are associated with each other and operate as an organization, but who have not complied with University requirements for registration as an organization.

h. “Organization” means a number of persons who have complied with University requirements for registration.

i. “Presider” means the member of a Disciplinary Hearing Officer Panel elected by the Panel members to serve as the facilitator at a disciplinary hearing.

j. “Policy” means the written rules and regulations of the University, including but not limited to, the Governing Regulations, the Administrative Regulations, the Code of Student Conduct, the Residence Halls Rules of Conduct, the Rules of the University Senate, the Human Resources Policy & Procedure, and the Business Procedures Manual.

k. “Respondent” means any student accused of violating this Code.

l. “Student” means any person who is admitted, registered, or enrolled in any University program or course, either full-time or part-time, pursuing undergraduate, graduate, or professional studies.

m. The term “may” is used in the permissive sense.

n. The term “shall” is used in the imperative sense.

o. “University” means the University of Kentucky.

p. “University Appeals Board” is the body authorized by *Governing Regulation, Part XI* to consider an appeal in a student disciplinary proceeding.

q. “University Community” includes any person who is a student, faculty member, staff or University Official, or any other person employed by the University. A person’s status in a particular situation is generally determined by the individual’s status at the time the alleged incident occurred and the capacity in which the incident occurred. Depending on the nature of the circumstances, a person may be subject to disciplinary action under more than one University regulation or policy.

r. “University Official” means any person (faculty or staff) who is employed by the University, performing assigned administrative or professional responsibilities.

s. “University Property” is defined as all property owned, operated, leased, or controlled by the University, public sidewalks and streets that are contiguous to or in the immediate vicinity of such property, and all such property leased to or operated by student and non-student organizations that are under the control and regulation of the University.

ARTICLE I - UNIVERSITY RIGHTS OF STUDENTS

Introduction

The University of Kentucky is an academic community in which all students, faculty, and staff share responsibility for its growth and continued welfare. As an academic community, the University strives to:

- 1) Facilitate learning, informed by scholarship and research;
- 2) Expand knowledge through research, scholarship and creative activity; and
- 3) Serve a global community by disseminating, sharing and applying knowledge.

Decisions and behavior in the community ~~are~~should be guided by the University’s core values including: integrity; academic excellence and freedom; mutual respect and human dignity; diversity of thought, culture, gender and ethnicity; shared governance; a sense of community; sensitivity to work-life concerns; and civic responsibility. As members of this community, students can expect to be afforded certain basic rights and can ~~also~~ expect to be held accountable for certain basic responsibilities.

Right of Admission and Access

1. An applicant for admission to the University shall not be discriminated against because of race, color, religion, sex, marital status, sexual orientation, national origin, age or beliefs. Moreover, no otherwise qualified person with a disability will be denied admission solely because of the person’s disability.
2. An applicant for, or a recipient of, University financial aid, a University grant-in-aid, or a University scholarship, shall not be discriminated against because of race, color, religion, sex, marital status, sexual orientation, national origin, age or beliefs. Moreover, no otherwise qualified person with a disability will be denied financial aid solely because of the person’s disability.
3. The University may delineate the purpose for which students may use certain facilities and shall make the facilities available on a fair and equitable basis. However, the University may restrict its facilities and services when their use would interfere with normal University operations.

4. The University will use its influence to secure equal access for all students to public facilities in the local community.

Freedom from Discrimination/Harassment

5. All students shall be free from discrimination on the basis of race, color, religion, sex, marital status, sexual orientation, national origin, age, beliefs or disability.
6. All students shall be free from sexual harassment by University faculty, staff, and students. Sexual harassment—a form of sex discrimination—includes unwelcome sexual advances, requests for sexual favors, or other verbal or physical actions of a sexual nature when submission to such conduct is made explicitly or implicitly a term or condition of the student's status in a course, program or activity; or is used as a basis for academic or other decisions affecting such student; or when such conduct has the purpose or effect of substantially interfering with the student's academic performance, or creates an intimidating, hostile, or offensive academic environment.

Rights within University Hearing Judicial Processes

7. A student shall be guaranteed the following rights in all proceedings of a University hearing agency:
 - a. The student shall have the right to a fair and impartial hearing in all proceedings of any hearing agency.
 - a.b. The student shall not be compelled to give testimony and refusal to do so shall not be considered evidence of responsibility for an alleged violation.
 - a.c. The Respondent student shall be informed in writing of the reasons for appearance before any hearing agency and given sufficient time to prepare for the appearance.
 - a.d. The student-Respondent shall be entitled to receive, upon written request, a copy of all rules and procedures governing the hearing agency at least 72 hours prior to appearance before the agency.
 - a.e. Both tThe Complainant and Respondent student shall have the right to hear all evidence and question all witnesses and/or witness reports, and present witnesses and/or witness reports of the student's choice.
 - a.f. Both the Complainant and the Respondent ~~The student~~ may choose up to two an advisor(s) and/or support person(s), as outlined in Article II, Sections 1230 and 1331 of the *Code*, to assist and support in all processes of the University Disciplinary Hearing Process.
 - a.g. The student may request that any member of a hearing agency be disqualified on the ground of personal bias.
 - a.h. The student shall have access to the record of every hearing agency hearing in which the student is the Respondent~~ee~~used.
 - i. The Complainant has the right to choose whether to file a formal complaint.
There may be circumstances, however, such as the status of the alleged assailant

- or the seriousness of the offense, in which the University, absent a formal complaint, must nonetheless investigate and take action to protect the Complainant or other members of the University community.
- j. In addition to pursuing administrative penalties and remedies, the Complainant maintains the right to pursue criminal charges.
 - k. A Complainant has the right to change University housing and academic arrangements if such changes are reasonably available.
 - l. In cases involving allegations of violence or the threat of violence, the Complainant shall be informed of the outcome -- the University's final determination and any sanction(s) -- of any institutional disciplinary proceeding. In cases not involving allegations of violence or the threat of violence, the Complainant shall be informed only of the University's final determination of whether the Respondent is "responsible" or "not responsible".

Right to Privacy

8. A student shall be free from searches and seizures of person and possessions while on University property unless said search and seizure is conducted in accordance with state and federal laws. In cases of imminent danger or when there are reasonable grounds upon which to believe it is necessary to conduct a search immediately in order to protect life or property, searches may be conducted in the presence of the Dean of Students or another University official acting as the Dean's authorized representative.
9. Student records will be maintained in accordance with the Family Educational Rights and Privacy Act of 1974 and the guidelines for implementation (see [Part V](#) of this publication www.uky.edu/StudentAffairs/Code/part5.html).
10. A student's disciplinary record shall be kept separate and confidential unless the student consents in writing to have it revealed. However, the Dean of Students may disclose the student's disciplinary record without the student's consent if legal compulsion or the safety of people or property is involved, or if the information is required by authorized University personnel for official use at the University. In these circumstances, only the information pertinent to the inquiry may be revealed. The Dean may also act without the student's consent to have a statement of disciplinary suspension or disciplinary expulsion entered on the student's academic record for the duration of the disciplinary sanction, which would prohibit the student from registering. Written notice of this action shall be sent to the student.
11. A student's test data and record in the Counseling Center shall be kept in the Center, separate and confidential, unless the student consents in writing to have it revealed to a designated person and/or for a designated purpose. Without such release, no information will be revealed except to an appropriate authority and then only when there is a clear and imminent danger to an individual or others, and such information will be limited to that which is directly pertinent to the reduction of that danger.

12. University Health Service medical, surgical and mental health records and information are strictly confidential and are not released to anyone without the student's knowledge and signed authorization. Student Mental Health records are maintained separately in a confidential file. If it becomes apparent in the course of treatment that the student is likely to cause injury to self or others, pertinent information to this extent may be revealed for protection of the student or others.

13. Official records and information maintained by the Dean of Students Office are treated in a confidential manner. A student has the right to view his/her own disciplinary records. Disciplinary ~~and judicial~~ records and the information contained therein will not be released except with the written authorization of the student, or as noted in Article I, Sections 7 & 10 of this *Code*.

Directory information about a student (as defined in Part V of this publication www.uky.edu/StudentAffairs/Code/part5.html) is released at the discretion of numerous University departments upon receipt of a specific request for such information.

Directory information about a student will not be released if the student has filed a request, in writing, with the Registrar of the University, stating the information is to be withheld.

14. A student's character and ability shall be evaluated only by those with personal knowledge of the student. Records containing such evaluations shall indicate when the evaluation was made, by whom, and the position of the individual. Upon a student's request to an individual faculty member or administrator, that student should be informed of all inquiries about that student directed to the faculty member or administrator and that judgments have been or will be given.

15. If presented with a subpoena to produce information about specific students and/or campus organizations, the recipient shall immediately notify the University Legal Counsel and forward to that office a copy of the subpoena. The University Legal Counsel shall immediately notify the students or student organizations involved or use his/her best effort to do so, and forward to them a copy of the subpoena by certified mail, addressed to their last known address.

16. Information about a student's or a student organization's political or social views or beliefs that faculty, staff or administrators acquire in the course of their work as instructors, advisers, counselors or supervisors is to be kept confidential. A student may waive the protection of this section by granting express permission to the relevant faculty, staff, or administrator.

Right of Free Expression

17. A student has the right to engage in discussion, to exchange thought and opinion, to speak, write, or print freely on any subject, and to join associations in accordance with

the guarantees of federal or state constitutions. The freedom of expression includes the right to picket or demonstrate for a cause, subject to the following conditions:

- a. the student must act in an orderly and peaceful manner;
- b. the student must not ~~in any way~~ interfere in any way with the proper functioning of the University;
- c. the student must obey the University's Regulations Governing Meetings, Demonstrations, Assemblies, and Invited Speakers (See <http://www.uky.edu/Regs/files/ar/ar9-1.pdf> ~~Part III of this publication~~).

Right to a Free Student Press

18. Student publications must be free to deal openly, fearlessly and responsibly with issues of interest and importance to the academic community. Student publications shall avoid such practices as the use of libel, undocumented allegations, obscenity, attacks on personal integrity, deliberate deception of its readers, unnecessary harassment and innuendo, and other violations of individual rights. The editors shall have the right of editorial freedom without the prior approval of copy and will be protected against dismissal or suspension or other retribution, except for violations of University policies established by the Board of Student Media. ~~Such policies shall be in accordance with the guarantees contained herein.~~

Right of Student Access to Meetings of Registered Student Organizations

19. The University affirms the right of all students, including members of the student press, to attend meetings of registered student organizations that receive the majority of their regular operating budgets from allocations of student fees money and/or University allocations.

Exceptions to the foregoing right include:

- a. deliberations of election boards and selection committees;
- b. proposed or pending litigation;
- c. discussions or hearings that which might lead to the appointment, discipline or dismissal of an individual employee, member or student.

Grievances

20. All student grievances involving rights stated herein shall be reported to the Dean of Students within 30 business days of their occurrence. Grievances reported after this period or which otherwise come to the attention of the Dean may be acted upon according to the Dean's determination of the circumstances.

21. The Dean of Students or an authorized designee shall investigate each student grievance to determine whether it has merit. If the Dean decides that it does, the Dean

shall ~~attempt to negotiate and settle the case. use moral persuasion, negotiation, personal appeal and the prestige of the Dean's office to settle the case to the Deans' satisfaction and that of the student.~~ If the Dean is unable to satisfy the grievance to the satisfaction of the student or when the Dean has notified the student that the grievance does not contain merit, the student has the right to appeal within 30 business days to the University Appeals Board (hereinafter referred to as the "UAB").

22. The Dean of Students shall have broad investigatory powers in the nonacademic cases and the Dean shall receive prompt and full cooperation from students, student organizations, faculty and administrators. The Dean may recommend policies or practices that should be terminated, modified, or initiated to the Student Government Association, the Senate Council, deans, department heads or other appropriate persons.

ARTICLE II: THE UNIVERSITY DISCIPLINARY SYSTEM

Introduction

The University is empowered by Kentucky Law K.R.S. 164.200 to establish a disciplinary system to govern the campus. The statute provides:

“The Board of Trustees may establish proper regulations for the government of the University and the physical training, military or otherwise, of the students. It may authorize the suspension and dismissal of students for neglect or violation of the regulations, or for other conduct prejudicial to the character and welfare of the University.”

By this publication of the rules governing student conduct, the University recognizes that students have the right to know the circumstances and manner in which this disciplinary power will be exercised and advises students that disciplinary rules shall be enforced.

The *Code* does not cover decisions of the faculty of a professional school as to character, moral or ethical, required of a student for purposes of awarding a degree or certificate, or for continuation as a candidate for such degree or certificate. Similarly, the *Code* does not cover decisions of the University Administration that are made concerning a contractual agreement between the University and a student. Therefore, such decisions are not subject to review within the procedures established in the *Code* unless specifically stated within the *Code*.

Authority for Student Discipline

1. Ultimate authority for student discipline is vested in the University of Kentucky Board of Trustees. Disciplinary authority may be delegated to University administrators, faculty members, committees, and organizations, as set forth in this *Code*, and in other appropriate policies, rules, or regulations adopted by the Board.

2. Pursuant to the provisions of K.R.S. 164.200, 164.210 and 164.220, and this *Code*, the Board of Trustees- delegates the responsibility for student discipline to the President of the University. The President has delegated administration and oversight of this *Code* to the Vice President for Student Affairs (hereinafter referred to as the “VPSA”). The VPSA may delegate enforcement authority of this *Code* to the Office of the Dean of Students. Concerns about the administration of the *Code* may be brought to the Dean of Students or other University official.

Purpose of the Disciplinary System

3. The University disciplinary process is a learning experience that can result in personal growth as well as an understanding of the responsibilities that accompany participation in an academic community. By formulating a general code of conduct and regulations, the University reaffirms for students the rights, protections, guarantees and responsibilities that are outlined in Article I of this *Code*.

~~Pursuit of a college education provides an opportunity for exploration of new ideas, experimentation, self examination, formation of new friendships and development of ideals and directions. An academic community is a place where the free exchange of ideas and concepts can take place among faculty, staff and students in an atmosphere that allows for debate and disagreement on contemporary issues.~~

~~In order to protect our community, certain standards of behavior are expected of all members of the University community, including students. In general, the University of Kentucky expects students to: maintain standards of personal integrity that are in harmony with the educational goals of the institution; observe national, state and local laws, as well as University regulations; and respect the rights, privileges, and property of other people.~~

~~The University disciplinary process is a learning experience that can result in personal growth as well as an understanding of the responsibilities that accompany participation in an academic community. By formulating a general code of conduct and regulations, the University reaffirms for students the rights, protections, guarantees and responsibilities that are outlined in Article I of this *Code*.~~

Interpretation of Regulations

4. The purpose of publishing disciplinary regulations is to give students general notice of behavior that is expected and behavior that is prohibited by the University. This *Code* is not written with the specificity of a criminal statute and is not intended to be interpreted with the specificity of a criminal statute.

Inherent Authority

5. The disciplinary regulations outlined in this *Code* apply to behavior that occurs on University property, as defined in Article II, ~~Section 10a~~, and may also apply to off-

campus behavior that is prejudicial to the character and welfare of the University, as follows:

- a. any activity sponsored, conducted or authorized by the University or a registered organization (see Article II, Sections 47 & 48-32);
- a.b. conduct that poses a possible serious threat to the safety, security or well-being of any member of the University community;
- a.c. conduct that involves academic work or the forgery, alteration, or misuse of any University document, record, key, electronic device or identification;
- a.d. conduct that is considered disorderly and/or causes substantial destruction to property belonging to the University or members of the University community.

Disciplinary Action while Criminal Charges Are Pending

~~6. Students may be simultaneously accountable to both civil authorities and to the University for acts that constitute violations of law and of this Code. Disciplinary action at the University shall normally proceed during ongoing criminal proceedings and shall not be subject to challenge on the ground that criminal charges involving the same incident are pending or have been resolved in any manner.~~

Disciplinary proceedings may be instituted against a student charged with conduct that potentially violates both the criminal law and this Code (that is, if both possible violations result from the same factual situation) without regard to the pendency of civil or criminal litigation in court or criminal arrest and prosecution. Proceedings under this Code may be carried out prior to, simultaneously with, or following civil or criminal proceedings off campus at the discretion of Dean of Students. Determinations made or sanctions imposed under this Code shall not be subject to change because criminal charges arising out of the same facts giving rise to violations of University rules were dismissed, reduced, or resolved in favor or against the criminal law defendant.

Standards of Classroom Behavior

7. The primary responsibility for managing the classroom environment rests with the faculty. Students who engage in any prohibited or unlawful acts that result in disruption of a class may be directed by the faculty member to leave the class for the remainder of the class period. Longer suspensions, or dismissal from a class due to disruptive behavior, must be preceded by a disciplinary hearing as set forth in Article II, Section 24 of this Code.

Prohibited Conduct

8. Punishable disciplinary offenses include, but are not limited to, the following:

a. Interference, coercion or disruption that impedes, impairs or disrupts University missions, processes or functions or interferes with the rights of others. The following, while not intended to be exclusive, illustrate the offenses encompassed herein: occupation of any University building or property, or part thereof, without authorization by the University; blocking the entrance or exit of any University building or corridor or room therein; setting fire to, or by any other means, damaging any University building or property or the property of others on University premises; any possession or display of, or attempt, or threat to use firearms, explosive or other weapons upon University property without University authorization; prevention of the convening, continuation or orderly conduct of any University class or activity or of any lawful meeting or assembly upon University property; blocking normal pedestrian or vehicular traffic on University property; and failure to vacate premises when ordered to do so by a University official.

b. Use, possession, or distribution of narcotic or dangerous drugs, except as expressly permitted by law.

c. Disorderly, abusive, drunken, violent or excessively noisy behavior or expression.

d. The threat or commission of physical violence against self or other persons.

e. The commission of acts or the implementation of programs or activities that constitute a violation of local, state or federal law.

f. Failure to comply with directions of University officials acting in the performance of their duties.

g. Falsifying, altering or forging any official University records or documents, employing official University documents or records for purposes of misrepresentation, or causing any official University documents or records to be falsified by means of any misrepresentation.

h. Hazing by any action taken or situation created, intentionally or recklessly, whether on or off University premises, to produce mental or physical discomfort, embarrassment, harassment, or ridicule. Such abusive activities and situations may include, but are not limited to the following: illegal or harmful use and/or forced consumption of food, alcohol or drugs; paddling in any form; creation of fatigue; personal servitude; physical and/or psychological shocks; wearing apparel which is conspicuous and not normally in good taste; engaging in public stunts and buffoonery; degrading or humiliating games and activities; sleep or food deprivation; any meetings which unreasonably interfere with scholastic activities; and/or any other activities which are not consistent with the regulations and policies of the University of Kentucky.

- i. Knowingly passing a worthless check or money order to the University or to a member of the University community acting in an official capacity; recurring financial over-obligation and nonpayment of debts to the University.
- j. Theft of property or services; knowingly possessing stolen property.
- k. Possessing a deadly weapon without authorization, as defined by Administrative Regulation 6:6 (Policy on Deadly Weapons).~~of the Dean of Students.~~
- l. Defacing, disfiguring, damaging or destroying public or private property.
- m. Giving false testimony or other evidence at any official hearing of the University or giving false information to any faculty or staff members acting in the performance of their duties.
- n. Bribing any University employee or student officials.
- o. Harassing anyone present on University property.
- p. Providing information to individuals involved in organized gambling activities concerning intercollegiate athletics competition; or participating in any gambling activity that involves intercollegiate athletics or amateur athletics, through a bookmaker, a parlay card or any other method employed by organized gambling.
- q. Violation of conditions imposed in connection with one or more of the sanctions enumerated in Article II, Section 1011 of this *Code*.
- r. Violation of other published University regulations or policies. Such regulations or policies include, but are not limited to, policies regarding computer use, alcohol, tobacco, hazing, entry and use of University facilities as well as regulations governing student organizations and residence halls.
- s. Sexual assault, stalking, and relationship violence, including threats thereof, as defined by Administrative Regulation R 6:2 (Policy On Sexual Assault, Stalking, and Relationship Violence).
- t. Retaliation, either directly or indirectly through others, against any individual involved in a disciplinary complaint or proceeding.

9. Attempts to commit acts prohibited by this *Code* or assisting in the commission of such acts may be punished to the same extent as completed violations.

Definitions

10. When used in this Code:

~~a. "University property" is defined as all property owned, or leased to, and operated exclusively by the University, public sidewalks and streets that are contiguous to or in the immediate vicinity of such property, and all such property leased to or operated by student and non-student organizations that are under the control and regulation of the Board of Trustees of the University of Kentucky.~~

~~b. "Group" means a number of persons who are associated with each other and operate as an organization, but who have not complied with University requirements for registration as an organization.~~

~~c. "Organization" means a number of persons who have complied with University requirements for registration.~~

~~d. "Student" means any person who is admitted, registered or enrolled in any University program or course, either full time or part time, pursuing undergraduate, graduate, or professional studies.~~

Sanctions

1011. All disciplinary sanctions imposed upon students are cumulative in nature and will be recorded in the student's disciplinary record. Sanctions that may be imposed in accordance with this *Code* include:

a. *Disciplinary Warning*: notice, oral or written, that continuation or repetition of prohibited conduct may be cause for additional disciplinary action. A student may be given a warning for infractions deemed by the hearing officer to be minor in nature.

b. *Disciplinary Reprimand*: a written reprimand for violation of specified regulations, including a warning that continuation or repetition of prohibited conduct may be cause for additional disciplinary action, without loss of good standing with the University. A violation of the terms of disciplinary reprimand, or subsequent misconduct after discipline, is grounds for further disciplinary action, including disciplinary probation, social suspension, disciplinary suspension, or disciplinary expulsion.

c. *Disciplinary Probation*: exclusion from participation in specified privileges or extracurricular institutional activities for a specified period of time. Additional sanctions or restrictions may also be imposed. A student on disciplinary probation is not in good standing with the University. A violation of the terms of disciplinary probation, or subsequent misconduct, is grounds for further disciplinary action, including social suspension, disciplinary suspension, or disciplinary expulsion.

d. *Social Suspension*: exclusion from University premises, as well as exclusion from participation in all privileges and extracurricular institutional activities, except for attendance in classes in which officially enrolled and defined privileges that-which are necessary and required for a specified period of time. Additional sanctions or restrictions may also be imposed. A violation of the terms of social suspension, or subsequent

misconduct, is grounds for further disciplinary action, including disciplinary suspension or disciplinary expulsion.

e. *Disciplinary Suspension*: exclusion from University premises, as well as participation in all privileges or extracurricular institutional activities, for a stated period of time at the end of which the student may apply for readmission to the University. While under disciplinary suspension, the student is not entitled to attend classes, use University facilities, participate in University activities, or be employed by the University. Special conditions may be stipulated for a student to be reinstated at the conclusion of the period of suspension. A violation of the terms of disciplinary suspension, or subsequent misconduct, is grounds for disciplinary expulsion.

f. *Disciplinary Expulsion*: permanent termination of student status, and exclusion from University premises, privileges and activities.

g. *Other Sanctions*: other sanctions or conditions may be imposed instead of or in addition to those specified in [Article II, Sections 11 of this Code\(a\) through 11\(f\)](#). Examples include, but are not limited to termination of residence hall contract, restriction of access to specific areas of campus, monetary penalty, monetary reimbursement, public or community service, research projects, compulsory attendance at education programs, compulsory psychiatric/psychological evaluation and counseling, such as alcohol and drug counseling.

Temporary Sanctions

~~1142.~~ Whenever there is evidence that the continued presence of a student at the University poses a substantial and immediate threat to him/herself or to others, or to the stability and continuance of normal University functions, the ~~Vice President for Student Affairs~~[VPSA](#) or authorized representative may impose such temporary sanctions as the [VPSA or authorized representative](#) considers necessary, including exclusion from campus property. The temporary sanctions shall become effective immediately without prior notice. Upon taking such action, the [VPSA or authorized representative](#) shall immediately notify the [chair of the UAB University Appeals Board](#) (see [Rules of the University Senate](#), Part II, Section 6.5).

~~1213.~~ A student may appeal the decision of the ~~Vice President for Student Affairs~~[VPSA](#) or authorized representative to the [UAB University Appeals Board](#) (herein after referred to as the "UAB") in writing within seven (7) ~~business~~[class](#) days. Temporary sanctions will remain in effect during any appeal. If requested in the written appeal, a student shall be given an opportunity to appear personally before the [UAB University Appeals Board](#) within ~~three (3) business days~~[72 hours](#) in order to discuss the following issues only:

- a. the reliability of the information concerning the student's conduct, including the matter of his or her identity.
- b. whether the conduct and surrounding circumstances reasonably indicate that the continued presence of the student on University premises poses a substantial

and immediate threat to himself or herself or to others or the stability and continuance of normal University functions.

A student under temporary sanction shall be given an opportunity for a prompt disciplinary hearing within the University Disciplinary System.

No Contact Orders

13. If the Dean of Students determines that a Respondent, Complainant or other party needs to be protected from violence, the threat of violence or other menacing behaviors attributable to another party in a disciplinary proceeding, the Dean may impose a “no contact” order on the offending party. The Dean of Students may issue “no contact” orders on multiple parties in a disciplinary proceeding if there are independent determinations that those parties must be afforded protection from acts of violence, threats of violence or other menacing behaviors.

Referrals.

14. Any person may refer a student or a student group or organization suspected of violating this *Code* to the Dean of Students Office.

15. Those persons referring cases are normally expected to serve as the Complainant and to present a written account of the incident, including relevant evidence to the Dean of Students Office. The Complainant may request the assistance of an advisor, as outlined in Article II, Sections 2230 and 231 of this *Code*. In cases that are referred to the University Disciplinary System, the Dean of Students or authorized designee may ~~shall~~ serve as an additional Ccomplainant.

16. Once an alleged violation of this *Code* has been referred to the Dean of Students Office, the Dean of Students shall assign a Conduct Officer to the case. If the Complainant in a case is another student, the Complainant shall be given an opportunity to indicate a preference, if any, for the gender of the Conduct Officer. The Dean of Students shall honor the Complainant’s preference whenever possible. Once assigned the case, and before beginning his or her investigation, the Conduct Officer shall meet separately with both the Respondent and Complainant to explain the disciplinary policies and procedures articulated in this Code, and the rights of the Respondent and Complainant. The Respondent and Complainant may each be accompanied by an advisor whose role shall be limited as outlined in Article II, Sections 30 and 31 of this Code. ~~the Dean or authorized designee shall investigate the allegation. If the Dean or authorized designee determines that evidence exists to prove the allegation, the Dean of Students Office shall notify and charge the accused student with a specific violation of this Code and request that the student schedule an informational meeting with the Dean or authorized designee.~~

17. The Conduct Officer will gather all relevant information, including, when appropriate, conducting interviews with the Complainant, Respondent, and all witnesses.

and determine whether there is sufficient support for a charge of a Code violation. If the Conduct Officer determines that information exists to support the allegation, the Dean of Students Office shall notify and charge the Respondent with a specific violation of this Code and request that the student schedule an informational meeting with the Conduct Officer.

The Preliminary Disciplinary Process

The Informational Meetings and the Counseling Process

1847. A student accused of violations of this Code will meet with the Conduct Officer is subject to an informational meeting with the Dean of Students or authorized designee who conducted the initial investigation. The procedural protections outlined in Article I, Section 7 of this Code shall be explained to the accused student during thean informational meeting. Also, the accused student shall state whether s/he is “responsible” or “not responsible” for the alleged violation during the informational meeting.

1948. When a student accepts responsibility for an alleged violation, the Conduct Officer Dean or authorized designee shall discuss the violation with counsel the student and outline proposed disciplinary action as defined in Article II, Section 1044 of this Code. Information obtained from the student during this counseling process is confidential and inadmissible in any disciplinary hearing of the University except in cases where the student withdraws from the counseling process or refuses to comply with the conditions of the counseling process.

20. When the Respondent denies the finding of responsibility or accepts responsibility but finds the proposed sanction unacceptable, the Respondent may request a review of the case by the VPSA. The written appeal shall be submitted to the VPSA, or postmarked, if mailed to the VPSA, within seven (7) business days of the meeting with the Conduct Officer (see Sections 18 and 19 above). The VPSA or designee shall honor all such requests and agree to meet with the Respondent before making a decision.

21. When required or permitted by law, the University shall also notify the Complainant of the determination of responsibility and the proposed sanction, if any. The Conduct Officer shall explain to the Complainant that, if he or she is not satisfied with the determination of responsibility or proposed sanction, or both, the Complainant may request a review of the case by the VPSA. The written appeal shall be submitted to the VPSA, or postmarked, if mailed to the VPSA, within seven (7) business days of the notification by the University. The VPSA or designee shall honor all such requests and agree to meet with the Complainant before making a decision.

Review by the Vice President for Student Affairs

22. After concluding his or her review, the VPSA or designee shall meet with the student who submitted the request for the review and explain his or her determination of

the appropriateness of the finding of responsibility or the proposed sanction, or both. The Respondent will always be notified of the VPSA's decision. When required or permitted by law, the University shall also notify the Complainant.

23. If a Respondent accepts the VPSA's or designee's finding of responsibility and sanction, if any, the VPSA or designee shall instruct the Dean of Students that the case is closed. If a Respondent does not accept the VPSA's or designee's finding of responsibility and sanction, if any, the VPSA or designee shall instruct the Dean of Students to forward the case to the Disciplinary Hearing Process.

24. If the VPSA or designee finds merit in a Complainant's challenge of findings and/or sanction, the case shall be forwarded to the Disciplinary Hearing Process. If the VPSA or designee finds that there is insufficient evidence to support the Complainant's challenge, the VPSA or designee shall instruct the Dean of Students that the case is closed and inform the Complainant that he or she has no further recourse within the disciplinary process of the University.

Referral to a Disciplinary Hearing Officer Panel

2519. If the Respondent When a student denies responsibility for an alleged violation or withdraws from or refuses to comply with the conditions of the preliminary disciplinarycounseling process or refuses to accept the finding of responsibility or proposed sanction, the Conduct OfficerDean or authorized designee shall forward the reports and evidence concerning the case to a Panel of three (3) disciplinary hearing officers. Once the information has been forwarded to a Disciplinary Hearing Officer Panel (hereinafter referred to as the "Panel")hearing officer, the Respondentaccused student has entered the University Disciplinary Hearing Process. The Dean of Students Office is then responsible for furnishing testimony as requested by the PanelDisciplinary Hearing Officer, keeping their recordsfor the Disciplinary Hearing Officer, serving as a Ceomplainant as appropriate and aiding the student in complying with any sanction decreed by the PanelDisciplinary Hearing Officer.

2620. When a student fails to respond to proper notification of thean informational meeting or counseling session or fails to attend a scheduled meeting within the specified period, the Dean of Students or authorized designee may:

- a. place a hold on the student's ability to register, receive transcripts, transfer credits, be readmitted to the University, or receive the graduation diploma which certifies the degree earned until the informational meeting,counseling session or disciplinary hearing has taken place, and;
- b. deem that the student has denied responsibility for the pending charges and refer the matter to a Paneldisciplinary hearing officer to conduct a disciplinary hearing.

Interim Leave of Absence

27. When a student, as a result of a psychological, mental health or other medical conditions, poses a threat of violence directed against self, others, or University property as evidenced by verbal statements or other menacing behaviors, the Dean of Students shall be permitted to divert the student from the disciplinary system by imposing an interim leave of absence from the University. Before the student is permitted to reenroll in the university, he or she shall be required to undergo a comprehensive evaluation to assess the student's readiness to return and not pose a threat to the university community. The disciplinary proceedings in which the student was involved as a Respondent may resume upon the student's reenrollment in the university.

28. If a Respondent in a disciplinary proceeding leaves the university before his or her case is resolved, the student's disciplinary proceedings may resume upon the student's reenrollment in the university.

The Disciplinary Hearing Process

Disciplinary Hearing Officers and Members of the University Appeals Board

2924. The President of the University shall annually appoint no fewer than ~~three~~ ten (10) individuals ~~disciplinary hearing officers~~ from ~~among~~ the faculty, staff, and retirees of the University, who shall serve as Disciplinary Hearing Officers. Disciplinary Hearing Officers, working as members of a three-person Panel, shall have sole authority to determine the issue of responsibility in those cases referred. Similarly, disciplinary hearing officers shall have sole authority to impose sanctions upon students found responsible for violations through the University Disciplinary Hearing process. The majority vote of the Panel members assigned to a case (at least 2 of the 3 Panel members) shall determine the outcome of a case. The Disciplinary Hearing Officers assigned to a case shall designate one of their Panel members to serve as the Panel Presider. The Presider shall function as the facilitator in the disciplinary hearing proceedings.

Disciplinary Hearing Officers and members of the UAB, shall complete annual training in the areas of: a) the University hearing processes and procedures; b) investigative techniques; and c) sexual misconduct and relationship violence. The training shall be coordinated by the VPSA or authorized designee.

Advisors

3022. A ~~Ce~~complainant and ~~ana accused student~~Respondent may each be accompanied ~~assisted by~~ no more than two ~~one~~ advisors throughout the disciplinary process, including the investigation. The role of the advisors shall be limited to providing advice or support to the ~~Ce~~complainant or ~~accused student~~Respondent. Even if accompanied by an advisor, the Complainant and an accused studentRespondent shall personally respond to inquiries from the Panel, Disciplinary Hearing Officer, the Conduct Officer or the UAB. An

advisor is not permitted to address directly the members of a Disciplinary Hearing Panel, the Conduct Officer, the UAB or any witnesses participating in a disciplinary hearing.

3123. In consideration of the limited role of ~~an the~~ advisor, and of the compelling interest of the University to expeditiously conclude the matter, a disciplinary hearing shall not, as a general practice, be delayed due to the unavailability of an advisor.

University Disciplinary Hearings ~~Process~~

32. Prior to the start of a University Disciplinary Hearing, a Conduct Officer shall conduct separate meetings with the Respondent and Complainant to review the relevant policies and procedures that inform the disciplinary hearing process. The Respondent and Complainant may each be accompanied at these sessions by an advisor(s) whose role shall be limited as outlined in Article II, Sections 30 and 31 of this *Code*.

3324. The purpose of ~~a the~~ University Disciplinary Hearing ~~Process~~ is to provide a fair evaluation of ~~the Respondent's an accused student's~~ responsibility for violating University regulations. Formal legal rules of evidence shall not be applied, nor shall minor deviations from prescribed procedures necessarily invalidate a decision, unless significant prejudice to Respondent, Complainant ~~an accused student~~ or the University may result.

3425. The following procedural guidelines, along with the rights outlined in Article I, Section 7, shall be applicable in hearings conducted by a Panel ~~University hearing officer~~:

- a. The Dean of Students Office shall give the Respondent and Complainant ~~accused student~~ written notice of the date, time and place of the hearing as well as the specific charge against the student. The Respondent and Complainant ~~An accused student~~ shall be given reasonable access to the case file, which will be retained in the Dean of Students Office.
- b. A Respondent ~~n accused student~~ who fails to appear after proper notice shall be deemed to have denied responsibility for the alleged violation. A hearing may be conducted in the student's absence, if necessary.
- c. The hearing will be closed to the public, other than the advisors, if any, designated by the Respondent and Complainant. ~~The hearing will be closed to the public unless the accused student or the complainant(s) requests that the hearing be open by filing a written request with the Disciplinary Hearing Officer at least 24 hours prior to the time set for the hearing. The hearing will remain closed to the public unless both the accused and the complainant(s) agree to an open hearing. If both parties agree to an open hearing, the Disciplinary Hearing Officer shall admit to the hearing, in addition to those admitted to a closed hearing, one properly identified member of any established newspaper, magazine, television~~

~~station or radio station requesting admission as well as up to four persons who have been invited by the accused student, up to four persons who have been invited by the complainant(s) and up to four persons invited by the Disciplinary Hearing Officer.~~

d. The ~~Presider of the Panel~~Disciplinary Hearing Officer shall exercise control over the proceedings to avoid needless consumption of time and to achieve orderly completion of the hearing. Any person, including the ~~accused student~~Respondent, who disrupts the hearing may be excluded by the Presider of the Panel~~Disciplinary Hearing Officer~~ after the Presider has consulted with the others members of the Panel.

e. A record of the hearing shall be made.

~~e.f.~~ Both the ~~accused student~~Respondent and the Complainant shall have the right to call relevant and necessary witnesses. The ~~accused student~~Respondent and the Complainant (not the advisors) shall also be given an opportunity to ask relevant questions of those witnesses who testify at the hearing. Witnesses shall be excluded from all hearings, except for the period of their testimony. The Panel may accommodate concerns for the personal safety, well-being, and/or fears of confrontation of the Complainant, the Respondent, or other witnesses during the hearing by providing separate facilities, by using a visual screen, and/or by permitting participation by telephone, videophone, closed circuit television, video conferencing, videotape, audiotape, written statement, or other means, where and as determined in the sole judgment of the Panel to be reasonable and appropriate. Either party may submit the written report or statement from a witness in lieu of the witness' personal appearance at the hearing; however, in the event that a party chooses to submit written statements from witnesses, all reasonable attempts should be made to have those individuals available by telephone.

e.

g. Witnesses shall be asked to affirm that their testimony is truthful and may be subject to charges of violating this *Code* by intentionally providing false information to the University.

~~h. Prospective witnesses, other than the Complainant and the Respondent, may be excluded from the hearing during the testimony of other witnesses.~~

~~h.i. The All members of a Panel~~Disciplinary Hearing Officer may ask questions of the parties and all witnesses.

i. Evidence of the sexual behavior of the Complainant of a sexual assault is inadmissible in the hearing unless such evidence clearly relates to the issue of consent between the Complainant and Respondent for the specific act(s) in question or the evidence relates to the likelihood that the act(s) in question were

committed by the Respondent. Such evidence may not be proved by reputation or opinion.

j.k. ~~The charges against the accused must be established by~~ The burden of proof shall rest on the Complainant, and the standard of proof shall be a “preponderance of the evidence” (i.e. more likely than not).

k. When a student is found responsible for a violation of the *Code*, the hearing shall conclude with a supplemental proceeding to determine the sanction that should be imposed. Both the ~~Respondent~~~~accused~~ and the ~~Complainant~~ may submit relevant evidence or make relevant statements regarding the appropriateness of a specific sanction. The past disciplinary record of the ~~accused student~~~~Respondent~~ shall only be supplied to the ~~Panel~~~~Disciplinary Hearing Officer~~ during the supplemental proceeding.

l. The Panel shall submit to the Dean of Students a written summary of its findings of fact and responsibility, and sanctions as applicable.

m. The Complainant’s address and other contact information shall be redacted on any documents shared with the Respondent.

n. Notification of the Respondent’s violations, penalties, and remedies shall be sent as directed by the Dean of Students, Hearing Panel, or UAB to the University officials necessary to make the penalties and remedies effective, and to other persons who might provide counseling assistance to the Respondent. Such University officials and other persons shall maintain confidentiality in accordance with the Code of Student Conduct and these guidelines. Violations of confidentiality will be dealt with under the appropriate existing, applicable University regulation(s) or policy(s).

Appeals of the Panel’s Decision

35. A Respondent or Complainant may file an appeal of the Panel’s decision. The written appeal shall be submitted to the Chair of the UAB, or postmarked, if mailed to the Chair, within seven (7) business days of the receipt of the decision rendered by the Panel. An appeal shall be limited to the following grounds:

a. To determine whether the disciplinary proceedings were conducted fairly in light of the charges and information presented, and in conformity with prescribed procedures giving the Complainant reasonable opportunity to prepare and to present information that the Code was violated, and giving the Respondent a reasonable opportunity to prepare and present a response to those allegations. Deviations from designated procedures will not be a basis for sustaining an appeal unless significant prejudice results.

- b. There is information that was unavailable at the time of the original hearing that would alter the determination of responsibility.

36. A Respondent who is found responsible by a Panel for a violation of this Code and is sanctioned with social suspension, disciplinary suspension, or expulsion may appeal in writing to the UAB (see *Governing Regulation, Part XI.C*) and (see Rules of the University Senate, Part II, Section 6.5). The basis of the appeal shall be limited to the severity of the sanction imposed by the Panel for the infraction for which the student was found responsible. The written appeal shall be submitted to the Chair of the UAB or postmarked, if mailed, within seven (7) business days of the receipt of the decision rendered by the Panel.

37. The Chair of the UAB and two (2) members of the UAB chosen by the Chair shall review an appeal submitted in accordance with section 35 above to determine if the appeal has merit and therefore warrants further consideration of the case by a Disciplinary Hearing Officer Panel. The two (2) individuals chosen by the UAB Chair may be current UAB members and/or individuals who have served on the UAB within the past two academic years. The decision on the merit of the appeal shall be decided by majority vote of the UAB Chair and the 2 consulted persons. An appeal shall be deemed meritorious if they concludes that:

- a. a procedural error in the conduct of the case may have affected the determination of a finding of responsibility; or,
- b. the new information was unavailable at the time of the original hearing and could have altered the determination of responsibility.

38. The Chair of the UAB shall notify the Dean of Students of the decision on the question of merit.

39. The Dean of Students shall take one of the following three courses of action:

- a. Act on the Panel's finding of responsibility and assigned sanction, if the Chair communicates that the appeal was without merit;
- b. Instruct a new Panel to reconsider the case in light of a procedural error that may have affected the determination of a finding of responsibility; or,
- c. Instruct the original Panel to resume the case in light of evidence that was both unavailable at the time of the original hearing and could have altered the determination of responsibility.

40. The Panel instructed by the Dean of Students to reconsider or resume consideration of a case (see subsections 39(b) and 39(c) above) shall:

- a. Conduct the proceedings in accordance with Section 34 of this Code.

- b. Submit to the Dean of Students a written summary of its findings of fact and responsibility, and sanctions as applicable.

41. Neither the Respondent nor Complainant in a case shall have further access to the appeals process after the Panel has acted on the case in accordance with subsections 38(b) or 38(c) above, except as is afforded the Respondent in section 36 above.

Appeals to the University Appeals Board

~~26. A student who is found responsible for a violation of this Code and is sanctioned with social suspension, disciplinary suspension, or expulsion may appeal in writing to the Chair of the University Appeals Board (see Part II, Section 6.5). The written appeal shall be submitted to the Chair or postmarked, if mailed to the Chair, within seven (7) class days of the receipt of the decision rendered by the Disciplinary Hearing Officer.~~

~~27. The written appeal shall clearly state the reason for appeal. Acceptable reasons for an appeal are: an assertion and evidence that:~~

- ~~a. Due process rights have been violated through the University Disciplinary Hearing Process;~~
- ~~b. The sanction is inappropriate for the infraction for which the student was found responsible; or~~
- ~~c. There is information that was unavailable at the time of the original hearing which would alter the determination of responsibility, or which would alter the sanction.~~

4328. The University Appeals Board UAB shall review the written findings of the Panel, the appeal of the Respondent and the submission, if any, from the Complainant and then render a prompt decision, after receipt of the appeal. The University Appeals Board UAB may uphold or reduce the sanction decision imposed by of the Panel, Hearing Officer, or modify the decision by reducing or increasing the level of sanctions imposed and/or modifying any terms and conditions of the initial sanctions. The imposition of sanctions shall be deferred during the review unless, in the discretion of the Vice President for Student Affairs VPSA or authorized designee, the continued presence of the student on the campus poses a substantial threat to him/herself or to others, or to the stability and continuance of normal University functions.

Disciplinary Files and Records

4429. The record of disciplinary actions shall be maintained by the Dean of Students Office.

4530. The file of a student found responsible for any violations of this Code shall normally be retained as a disciplinary record for seven years following the incident or five years after the last semester enrolled, whichever is longer. If a student transfers to

another institution, the record shall be shared with the new institution upon request and if released by the student.

Revocation of Degrees

~~4634.~~ The University reserves the right to revoke an awarded degree for the discovery of previously unknown fraud in receipt of the degree, or for the discovery of previously unknown serious disciplinary violations committed by a student prior to the student's graduation.

Student Groups and Organizations

~~4732.~~ The registration of any student organization shall be at the discretion of a designated member of the Student Affairs staff and shall be dependent upon the completion of the required application form and compliance with the rules and additional criteria the staff member may set forth. Such criteria shall be established and published by the designated staff member and made uniform for all similar types of organizations. The designated staff member may limit an organization's registration to a fixed ~~period of time~~period. The length may be determined at the staff member's discretion.

~~4833.~~ A student group or organization may be charged with violations of this *Code* as outlined in Article II, Sections 8 and 9, whether the alleged violations occurred on or off University property.

~~4934.~~ A student group or an organization and its officers may be held collectively ~~and individually~~ responsible when violations of the *Code* by those associated with the group or organization have received the consent or encouragement of the group or organization or the group's or organization's leaders or officers. In addition, individuals of a student group or organization may also be charged with a violation of this Code.

~~5035.~~ The officers or leaders or any identifiable spokesperson for a student group or organization may be directed by the Dean of Students or authorized representative to take appropriate action designed to prevent or end violations of this *Code* by the group or organization. Failure to make reasonable efforts to comply with such directive shall be considered a violation of this *Code*, both by the officers, leaders or spokesperson for the group or organization and by the group or organization itself.

~~5136.~~ No specific procedures for adjudicating the commission of violations by a student group or organization are established other than the Dean of Students may impose sanctions for group or organization misconduct up to and including revocation or denial of registration, as well as other appropriate sanctions. A student group or organization may appeal the denial or revocation of registration to the ~~University Appeals Board~~UAB as outlined in Section ~~4226~~ of this *Code*.

University Residential Facilities

5237. The rules and regulations for University student residences are contained or provided for in the written rental agreement between the student and the University as well as in the *Residence Hall Rules of Conduct*.

5338. A compilation of all rules established under the rental agreement and currently in effect shall be made readily available on request to all residents of the University building to which such rules apply and shall be posted in the University building to which such rules apply.

5439. The Director of Residence Life or an authorized representative shall investigate alleged violations of the rules that are outlined in the rental agreement. Disposition of such cases shall be made in accordance with established and published procedures that have been approved by the ~~Vice President for Student Affairs~~ VPSA. In cases where the alleged rental agreement violation would also constitute a violation of the provisions of this *Code*, the Director of Residence Life ~~shall may hear and/or~~ refer the case to the Dean of Students Office.

Financial Delinquency

5540. The University expects the student to be financially responsible and not be delinquent in financial obligations to the University or to any department or division thereof, including room and board payments to registered fraternal student organizations. Such obligations shall not include fines and penalties assessed against the student ~~by~~ other than by University officers.

5641. An office or a department of the University, with previous approval of the Executive Vice President for Finance and Administration, shall notify a student twice of any unmet financial obligation owed to it exceeding \$20.00. If not paid within 60 business days, from date due, the office or department shall notify the Registrar's Office that the student is delinquent.

5742. Once notified, the Registrar shall not allow the student to register, transfer credits, be readmitted to the University, or receive the graduation diploma which certifies the degree earned until the office or department declaring the delinquency notifies the Registrar that the obligation has been met or until the statute of limitations on collection applies.

5843. If there is a dispute as to whether or not a student is legally liable for a financial obligation asserted by the University, and the student challenges such obligation by contacting the Office of the through either timely administrative (Executive Vice President for Finance and Administration) within seven (7) business days of receipt of a notice of financial delinquency, or legal means, then the sanctions of Section 5742 with respect to registration shall not apply until final resolution of the dispute.

Amendment

6044. The *Code of Student Conduct* shall be amended only by final action of the Board of Trustees. Responsibility for proposing revisions to the *Code of Student Conduct* is delegated to a committee consisting of students, faculty and administrators. The exact composition and procedure of the committee shall be determined by the President of the University.

6145. The Committee shall accept and review recommendations from students, faculty and administrators regarding revisions of the *Code of Student Conduct*. The Committee shall prepare proposed revisions and forward them to the President for approval and, after approval, for presentation to the Board of Trustees for its consideration and final approval.

6246. Nothing included above shall be construed as a limitation upon the President to propose changes without reference to the Committee.