

AGENDA

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
April 25, 2006
18 th Floor Patterson Office Tower**

Roll Call

Approval of Minutes – (Consent)

Minutes – March 7, 2006

President's Report and Action Items

- PR 1 President's Report to the Trustees
College of Education Report – Dean James Cibulka
- PR 2 Personnel Actions (Consent)
- PR 3 Proposed Revision to the Governing Regulations (Reviews of Educational Units and Their Chief Administrative Officers)
- PR 4 Appointment of Board of Directors, University of Kentucky Business Partnership Foundation, Inc.

Academic Affairs Committee Report

- AACR 1 Candidates for Degrees – University System (Consent)
- AACR 2 Candidates for Degrees – Bluegrass Community and Technical College (Consent)

Audit Subcommittee Report

Finance Committee Report

- FCR 1 Bell Alcohol and Addictions Chair Gifts and Pledge (Consent)
- FCR 2 Roger Disilvestro Gift and Pledge (Consent)
- FCR 3 William Stamps Farish Fund Gift and Pledge (Consent)
- FCR 4 Gifts and Pledges to the E. Preston Hicks Chair for Orthodontics and Oral Health Research (Consent)
- FCR 5 Estate of John C. Hubbard Gift (Consent)
- FCR 6 Dorothy Nelms Gift and Pledge (Consent)
- FCR 7 Medical Alumni Association Gifts and Pledge (Consent)
- FCR 8 Gene and Deborah Gabbard Charitable Remainder Unitrust Pledge (Consent)
- FCR 9 Estate of Edith H. Harmon Gift (Consent)
- FCR 10 Estate of Anthony Leonard Boaden Wyatt Gift (Consent)
- FCR 11 Renaming of Professorship in Behavioral Science and Acceptance of Pledge

(Consent)

FCR 12 Acceptance of Interim Financial Report for the University of Kentucky for the Nine Months Ended March 31, 2006

FCR 13 Report on the 2006 Kentucky General Assembly

FCR 14 Patent Assignment Report

University Hospital Committee Report

UHCR 1 University of Kentucky Center on Aging Foundation, Inc. ("Corporation")
Amendment to Articles of Incorporation and Bylaws

Other Business

Business Partnership Foundation Board Report

Mining Engineering Foundation Board Report

Adjourn

Minutes of the Meeting of the Board of Trustees of the University of Kentucky,
Tuesday, April 25, 2006.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, April 25, 2006, in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Mr. James Hardymon, chair, called the meeting to order at 1:07 p.m. and asked Ms. Pam May, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mira Ball, Stephen Branscum, Penelope Brown, Dermontti Dawson, Jeff Dembo, Rebecca A. Ellingsworth, Ann Haney, James Hardymon (Chair), Pamela May, Billy Joe Miles, Roy Moore, Steven Reed, Myra Leigh Tobin, JoEtta Wickliffe, Billy Wilcoxson, Russ Williams, and Barbara Young. Absent from the meeting were Marianne Smith Edge, Phillip Patton, and Frank Shoop. The university administration was represented by President Lee T. Todd, Jr., Interim Provost Scott Smith, Executive Vice President for Finance and Administration Frank Butler, and General Counsel Barbara W. Jones.

Mr. Hardymon explained that two of the Board members absent were out of town, and one member was attending a funeral. He noted that they had given their input.

Members of the various news media were also in attendance.

A quorum was present, and the meeting officially opened for the conduct of business at 1:08 p.m.

C. Consent Items

Mr. Hardymon called attention to the consent items on the agenda: Minutes of the March 7, 2006 meeting, PR 2 - Personnel Actions, AACRs 1 and 2 – Candidates for Degrees for the University System and the Bluegrass Community and Technical College, and FCRs 1 through 11, which involve some very fine gifts and pledges. He asked for a motion for approval of the consent items. Ms. Haney moved approval. Mr. Reed seconded the motion, and it carried without dissent. The following are consent items:

Minutes – March 7, 2006

PR 2 Personnel Actions (Consent)

AACR 1 Candidates for Degrees – University System (Consent)

AACR 2 Candidates for Degrees – Bluegrass Community and Technical
College (Consent)

FCR 1 Bell Alcohol and Addictions Chair Gifts and Pledge (Consent)

- FCR 2 Roger Disilvestro Gift and Pledge (Consent)
- FCR 3 William Stamps Farish Fund Gift and Pledge (Consent)
- FCR 4 Gifts and Pledges to the E. Preston Hicks Chair for Orthodontics and Oral Health Research (Consent)
- FCR 5 Estate of John C. Hubbard Gift (Consent)
- FCR 6 Dorothy Nelms Gift and Pledge (Consent)
- FCR 7 Medical Alumni Association Gifts and Pledge (Consent)
- FCR 8 Gene and Deborah Gabbard Charitable Remainder Unitrust Pledge (Consent)
- FCR 9 Estate of Edith H. Harmon Gift (Consent)
- FCR 10 Estate of Anthony Leonard Boaden Wyatt Gift (Consent)
- FCR 11 Renaming of Professorship in Behavioral Science and Acceptance of Pledge (Consent)

(See PR 2, AACRs 1 and 2, and FCRs 1 through 11 at the end of the Minutes.)

D. President's Report to the Trustees (PR 1)

President Todd called attention to the following items in PR 1:

The legislators fully funded the university's Top 20 Business Plan in the biennial budget. The university received the authority for the remainder of funding for the hospital project which is on schedule. Full funding was received for the \$120 million pharmacy biology complex facility. This is a victory and major accomplishment. President Todd reminded the Board that the university had to pay 40 percent of the funding for the BBSRB facility, a previous large research building, which means the university is paying \$2.3 million out of research income every year for 20 years to pay for that building. President Todd said that he is extremely pleased with the outcome of the legislative session. UK, as well as other universities in the state, did not receive as much the first year of the biennium; however, the second year was funded well.

President Todd said that the budget will be brought to the Board in June, and it will reflect that a lot of decisions have been made. There have already been some announcements about salaries.

The Robinson Scholars Program makes a national impact. The program was recognized as one of only seven National Association of Student Personnel Administrators Gold Excellence Award winners. It also received a \$50,000 grant from the IFSA Foundation to fund 10 students to study abroad. The first class of students in this program graduated last year, and there are students graduating this semester. The rate of those students who leave high school and go to college is far superior to the typical rate in those regions. A survey is planned to see how many graduates of the program have been able to go back to their home counties.

The statistics in Kentucky for students who study abroad are pretty dismal, especially in some rural areas. The \$50,000 grant will provide the university an opportunity to have some students study abroad. Hopefully, the students who study abroad will return and market the importance of studying around the world to the students in their region.

The Undergraduate Scholars Showcase will be held at 4:30 p.m. following the Board meeting. President Todd said that if students go to a research university such as UK, they should feel creativity and discovery early on. The undergraduate scholars not only feel it, but they are involved in it. This showcase shows the university's commitment to undergraduate education. When looking at the receptivity of the Top 20 Business Plan, there are four factors: undergraduate education, graduate education, faculty, and research. This showcase highlights some of UK's academic stars and shows the importance of the university's undergraduate investment.

The Collaborative Center for Literacy Development in the College of Education received a \$2.8 million grant to improve literacy for adolescent readers.

The College of Education is a partner in a five-year, \$26 million project to establish an Appalachian Regional Education Laboratory.

A reporter with the *Chronicle of Higher Education* visited campus in March for two days, and a photographer came and took pictures for an article. The university received good coverage in the article. President Todd said that he considers the *Chronicle* to be the *Wall Street Journal* of Higher Education.

President Todd said that there had also been an article about the university in *USA Today*. He had interviews with *Business Week* in New York and *The Wall Street Journal* in Boston. These articles are exposing some of the activities at UK, and this is another way of building the university's reputation nationally.

The university received a \$1.8 million National Science Foundation grant to enrich mathematical skills for Powell and Bath counties. This is probably the fourth project the university has ongoing now to improve math and science skills in different parts of Kentucky.

The APR averages are out for all of the athletic departments. UK had 15 of 22 teams that exceeded or tied the national average among all universities.

The Markey Cancer Center announced its first formal network affiliation agreement with three hospitals throughout the state that will create a collaborative provider network and keep cancer patients close to home for treatment. The hospitals are located in Harrison County, Morehead, and Rockcastle County. Three of the hospital directors spoke at the news conference and said they liked UK's approach because it addressed their needs. For instance, some locations need doctors while others may need equipment. By keeping patients in their communities, this helps the revenue

stream for that hospital and that county. President Todd said that he wants UK to have more of these relationships.

President Todd called attention to an article in the paper about UK releasing \$4.2 million for classroom improvements and student lounges. This mainly involves the areas that touch learning and socializing from an academic point of view for the students. He mentioned various areas that would be receiving some of this money such as: the Student Center, the CATS den area, student office area, the Singletary Center, and Chemistry-Physics.

President Todd said that the two-year budget has allowed these improvements to be made. He noted that this is the first time during his presidency that the university has had a two-year budget. There have been budget sessions every year until now. Provost Smith and the deans were helpful in making money available for classroom improvements. Hopefully, all of the projects will be completed before the students return next year, and it will also be very uplifting to the faculty.

Mr. Hardymon reflected on President Todd's first item in his report about the legislators' support for UK's Top 20 Business Plan. He asked Ms. Tobin to read a resolution to the Board.

Ms. Tobin said the resolution is from the University of Kentucky, Board of Trustees recognizing Kentucky's policy leaders, and it is dated April 25, 2006. She read the following resolution:

WHEREAS, Kentucky's success in this new century depends, more than ever before, upon the development and sustenance of a vigorous and rigorous education system at all levels; and

WHEREAS, at the zenith of that system stands the University of Kentucky; and

WHEREAS, the people of the Commonwealth have created a compact with their university that it become a Top 20 public research university by 2020, recognizing that people who live and work and raise families in states with Top 20 universities enjoy education levels, health, and economic success well above the national average; and

WHEREAS, this compact reflects the University of Kentucky's responsibility to the people of this Commonwealth and the people's responsibility to their flagship university; and

WHEREAS, at its December 2005 meeting the University of Kentucky Board of Trustees approved the Top 20 Business Plan; and

WHEREAS, the 2006 Kentucky General Assembly embraced the letter and the spirit of the Business Plan, providing a substantial funding increase that will allow

Kentucky's flagship university to continue with renewed strength its determined march toward Top 20 status; and

WHEREAS, the General Assembly and the Governor have been consistent advocates for the development of UK's education and research facilities and the expansion of UK's health care enterprise; and

WHEREAS, support for the University of Kentucky transcends regions of the state, branches of the government, chambers of the legislature, and the partisan differences of individuals; and

WHEREAS, the Kentucky General Assembly, the Governor, and the University of Kentucky Board of Trustees are partners in accepting the moral imperative to improve the lives and lot of the people of Kentucky; and

WHEREAS, history will record this as the moment when Kentuckians and their leaders confronted the clear view of the cost of and rewards for achieving Top 20 status and chose to hold fast to the ambitious and difficult agenda of building a university of international stature;

NOW THEREFORE BE IT RESOLVED that we, the members of the University of Kentucky Board of Trustees, do hereby express our heartfelt gratitude to Kentucky's policy leaders for their substantial and visionary support and their eagerness to lock arms with us as we traverse the path to Top 20 status, undaunted by the enormity of the task before us and secure in the knowledge that we share the noble and necessary cause of building the future that Kentucky's children deserve.

Ms. Tobin noted that the resolution will be signed by the Board's chair James F. Hardymon.

Mr. Hardymon called for a motion of approval for the resolution. Dr. Moore made the motion for approval. Ms. Tobin seconded his motion, and it carried without dissent.

President Todd then asked Dean James Cibulka to give his presentation about the College of Education. He said that Dean Cibulka has been doing a great job with the college.

E. College of Education Report

Dean James Cibulka provided an overview of the College of Education. He began by sharing information regarding the college history, organization, and staffing. The College of Education was created officially in 1923, but it had prepared teachers for the Commonwealth operating as a Normal School since 1880. The college maintains 6 academic departments, 5 affiliated units, and 16 centers/clinics/labs and special offices.

Personnel for FY 06 include: 89 regular faculty positions including 4 endowed professors and 1 endowed chair; 3 research title faculty; 33 bi-weekly staff; 17 professional staff; and 18 other grant-funded staff.

Dean Cibulka also discussed the mission statement of the college. He called attention to two particular sentences. One indicates the importance of creating a culture of reflective practice and inquiry within a diverse community of students, faculty, and staff. Dean Cibulka indicated that the college takes this aspect of its mission very seriously. He also pointed out that the mission statement focuses not only on the research mission of the college, but in addition, the statement stresses the college's land-grant mission. Both are equally important to the faculty. As the college contributes research that expands our knowledge of teaching and learning processes, it will not turn its back on the Commonwealth. Indeed, much of the research conducted by the faculty directly benefits Kentucky's quality of life.

The wide array of academic programs offered by the college is a reflection of its commitment to preparing education professionals who will contribute to the quality of life in the Commonwealth. The college maintains: 13 undergraduate programs leading to initial teacher certification; 2 non-certification undergraduate programs; 6 non-certification graduate programs; 10 graduate programs leading to initial teacher certification; 15 graduate programs leading to advanced teacher certification; 4 graduate programs in school leadership; 5 programs leading to endorsement of existing teaching certificate; and 11 Ed.D. and Ph.D. programs. The 2005 headcount enrollment consisted of 1,701 bachelors, 407 masters, 48 specialists, and 283 doctorate students. Total degrees awarded in 2006 were 743, compared with 497 in 2002. Growth in enrollments and degrees awarded in the college has been achieved without increasing staffing levels, which either have been constant or declining in recent years.

Also noted were the accrediting and program approval agencies for the college which include National Council for the Accreditation of Teacher Education, Kentucky Education Professional Standards Board, American Psychological Association, National Association of School Psychologists, and Council on Rehabilitation Education.

Dean Cibulka shared the following priorities for the College of Education:

- Increase national visibility and rankings
- Strengthen Research Productivity
- Emphasize Outreach and Community Engagement
- Enhance Development, and
- Improve Diversity

The Rehabilitation Counseling program ranks sixth internationally for research productivity, while the Educational Psychology program ranks as the nineteenth most productive research program in the country.

The College of Education received a performance level of “excellent” (a Quality Performance Index of 3.7 on a scale of 4.0) on the Kentucky Quality Performance Index Report Card issued by the Kentucky Education Professional Standards Board. In 2005-06, 323 students completed programs preparing them to be teachers. The college is addressing shortage areas in mathematics and science education, foreign language education, and special education.

Total primary and collaborative grants/contracts have grown. Both are important because the college has a long history of participating in collaborative research projects with other units on campus. Primary contracts and grants are expected to exceed \$6 million in the current year, while collaborative grants exceed \$19 million. Selected research projects for the college currently include:

- Collaborative Center on Literacy Development
State grants of \$2.4 million for Reading Recovery, Kentucky Adult Literacy Institute, Reading First, and the federally funded Striving Readers Program (\$2.8 million over 5 years)
- Appalachian Math and Science Partnership
- Appalachian Regional Education Laboratory (U.S. Department of Education grant in collaboration with CAN Corporation and the University of Virginia), and
- Great Schools Initiative (Knight Foundation grant to address closing the achievement gap in Fayette County Public Schools)

Dean Cibulka shared the following challenges for the College of Education:

- Balancing the research and land-grant missions of the College
- Managing the regulatory and political environment in the Commonwealth
- Resources to retain and recruit outstanding faculty, and
- Outdated and overcrowded facilities

Dean Cibulka thanked the Board of Trustees for the opportunity to present information about the College of Education’s goals, accomplishments, and priorities. He indicated that this is an exciting time to be at UK, and that he looks forward to working with President Todd and new Provost Kumble Subbaswamy on the new strategic plan, and in particular, on addressing the goals of the Top 20 Business Plan.

Mr. Hardyman thanked Dean Cibulka and his faculty for getting engaged in some of the issues that make UK’s presence at the state and local levels much more effective than previously. He expressed pleasure with Dean Cibulka’s enthusiasm for his work.

F. Proposed Revision to the Governing Regulations (Reviews of Educational Units and Their Chief Administrative Officers) (PR 3)

President Todd said that PR 3 is a proposed revision to the Governing Regulations, and this is the first preliminary consideration for approval. It is a result of a

Southern Association of Colleges and Schools (SACS) change in their principles of accreditation where they are stressing the ongoing importance of planning and evaluation activities. The Governing Regulation that is in existence now is being revised to align with the changes in the Administrative Regulations that involve the governing of program review and the review of chief academic officers in order to insure compliance with SACS.

At present, the Governing Regulations focus on educational units, deans, and department chairs as needing review, but the new proposal will require program review for both educational and administrative units. It will also extend required reviews of deans and department chairs to include other chief administrative officers who are not addressed in the current version. The Administrative Regulations are also being revised accordingly.

Mr. Hardymon reiterated that this is a preliminary consideration for approval. It will be on the agenda for final approval in June. He called for a motion for approval. Ms. Wickliffe moved approval. Her motion was seconded by Ms. Tobin and carried. (See PR 3 at the end of the Minutes.)

G. Appointment of Board of Directors – University of Kentucky Business Partnership Foundation, Inc. (PR 4)

President Todd said that PR 4 is a recommendation for the approval of the appointment of Paul Chellgren and James Geisler to the Board of Directors of the University of Kentucky Business Partnership Foundation for four-year terms beginning immediately and extending through the spring of 2010 Board meeting of the Business Partnership Foundation. These appointments are recommended by the interim provost and have to be approved by the Board.

President Todd called attention to the brief biographical information on each of the individuals. He noted that most everyone knows Mr. Chellgren and mentioned that Mr. Geisler visited campus last year at the invitation of Mr. Hardymon to speak to the first MBA class under the new restructured MBA program. He asked for approval of these new members to the Business Partnership Foundation Board.

Mr. Hardymon said that he had known Mr. Chellgren for a long time, and he met Mr. Geisler when he was in the corporate world. He and Mr. Geisler did a number of joint projects involving aerospace with United Technologies. Mr. Geisler is the chief finance officer for a \$25 billion and growing organization.

Mr. Hardymon told the story about Mr. Geisler calling him to say that he was a graduate of UK and wanted to help the university. Mr. Hardymon said that he invited Mr. Geisler to come to the university and spend time with President Todd and the dean at the business school. Following the visit, he was put on the list for consideration for an appointment to the Business Partnership Foundation Board.

Mr. Hardymon called for a motion of approval. Mr. Dawson moved approval. Ms. Young seconded the motion. Mr. Hardymon asked if there were any comments or questions, and Dr. Dembo spoke.

Dr. Dembo made the following comments:

Fellow trustees, it is on the basis of my passion that I need to respond to this issue. First, I want to make it clear that my comments are not to impugn these two individuals, nor to imply that they are not qualified to serve on this foundation board. However, I would like to call attention to the fact that the Business Partnership Foundation Board is almost exclusively composed of white males. For example, out of the current 26 members only 2 are females, and one of them is our own trustee JoEtta Wickliffe, not that she does not count, but, that it sends a message to the public that the university was only able to find one other qualified woman outside our own academic community to serve on this board.

This is inconsistent with our own ethical principles and code of conduct that talk about diversity of thought, culture, gender, and ethnicity as one of our values. Strength is gained by having different beliefs and opinions around the table, and the result and outcome of any decision making is strengthened based on diverse input toward that decision making. Just look around this table and think. Our own Board of Trustees has that strength, and we are a strong board because of our own diversity.

Another point to be made is that this foundation board is associated with the Gatton College. One might ask the question: Does the composition of this board reflect the composition of the Gatton College? Currently, undergraduate female enrollment at the Gatton College is about 37 percent. If you look at the number of women on this board, that is 8 percent, and by adding two more white males, it will decrease that number even further.

I do not think that sets a good example, and even though Dean Sudharshan and Provost Smith indicate that the Gatton College is actively working to increase enrollment of women, there is a pipeline that has to be created, and it takes years sometimes to see the benefits. I do not think the same kind of pipeline has to be created to appoint qualified and interested people to a board like this.

Finally, let me close by saying that diversity is a goal that can only be achieved slowly, one step at a time making consistent progress with each and every decision along the way with that as a desired outcome. I think it takes courage and persistence to do it, to strive for inclusivity. When we discussed a diversity issue at our October meeting regarding the Mining Engineering Foundation Board, President Todd told us we will have to do better. Well in my opinion, here is an opportunity to do better. So, before I get to hear what my fellow trustees have to say, I will close with two questions: If not now, when? And if not us, who?

Mr. Hardymon thanked Dr. Dembo for his comments. In the spirit of full communication, Dr. Dembo has communicated with the dean on this issue, and the dean has responded.

President Todd reminded the Board that Trustee Elaine Wilson was on the Business Partnership Foundation Board, and she rotated off. Kim Burris, an African-American female, had served on the board for many years, and she is no longer on the board.

President Todd said that he appreciated Dr. Dembo pointing this out. He noted that he would ask the head of the multicultural affairs office to give him a summary of all diversity distributions on all the boards that the Board has appointment responsibility over to insure that the administration and Board are very cognizant of any other appointments that are made in the future.

Dean Sudharshan has provided a list of things that he has tried to do to broaden diversity in the college since he has been at UK the last three years. The dean is trying to cultivate a couple of members for this board, but these two gentlemen are extremely high-profile members for a board of this nature. President Todd said that he would like to encourage the dean to proceed with some level of aggressiveness.

Ms. Ball asked if the board members rotate and if there is a certain number every year.

President Todd said that they are four-year terms, and he assumes they do rotate.

Mr. Hardymon agreed with President Todd's comments. He noted that he had served on the board two terms. The board is very valuable, particularly with the plans which are high on the priority list for expansion.

Ms. Ball said that Dr. Dembo's point is well taken, and the Board of Trustees needs to remember that every time they have board appointments to come before them for approval.

President Todd said it would have to be remembered before it becomes an agenda item.

Dr. Moore said that he wanted to reinforce Dr. Dembo's comments. Having previously been Senate Council Chair and having sat in the "peanut gallery" at Board meetings, he has seen the difference in how much more diverse this Board is now than it was at that time. It does indeed make a difference, and that is why he thinks the Board really does have to think about this a lot and take whatever steps it can to make sure that there is diversity on all of these boards.

Mr. Williams said that he supported Dr. Dembo's comments as well, and he shares his concerns. The point is that it is a good idea. The Board cannot really make changes until it is aware of what changes need to be made. It is very important. He said that he is grateful for the biographies on these two gentlemen because often the Board does not know a whole lot about appointees.

Mr. Hardymon said that there was a motion and a second, and he called for a vote. PR 4 was approved with Dr. Dembo voting against it. (See PR 4 at the end of the Minutes.)

H. Audit Subcommittee Report

Ms. Wickliffe, chair of the Audit Subcommittee, reported that the subcommittee met that morning with representatives from Deloitte & Touche. The subcommittee accepted the engagement letter for another year, and Deloitte & Touche will be conducting the audit for the coming year. The subcommittee also had a report from the internal auditors.

I. Finance Committee Report

Ms. Wickliffe, chair of the Finance Committee, reported that FCRs 1 through 11 were consent items involving gifts and pledges that total \$3.35 million, of which \$2 million is eligible to be matched by the state. With these gifts, the university has matched \$32 million of the \$66.67 million available from the Endowment Match Program.

J. Acceptance of Interim Financial Report for the University of Kentucky for the Nine Months ended March 31, 2006 (FCR 12)

Ms. Wickliffe said that FCR 12 is the acceptance of the Interim Financial Report for the university for the nine months ending March 31, 2006. As of this date, revenues are at 82 percent, and expenditures are at 71 percent of the \$1.7 billion budget amount.

Net deferred revenues (revenues less expenditures) equal \$184 million, an amount which provide excellent cash flow and financial position for the university.

Assets totaled \$2.7 billion as of March 31, 2006. That is an increase of \$347 million, or 15 percent, since March 2005. Endowment assets accounted for \$188 million of this increase, and that was due to the establishment of the \$100 million hospital quasi-endowment, coupled with new gifts and increases in the fair market value of the endowment.

In summary, at the end of the first nine months of the 2005-06 fiscal year financial operations are consistent with the budget plan, and the university's financial condition continues to be excellent.

On behalf of the Finance Committee, Ms. Wickliffe moved approval of FCR 12. Ms. Haney seconded the motion, and it carried without dissent. (See FCR 12 at the end of the Minutes.)

Mr. Hardymon said that this is a stronger balance sheet, and revenues and expenses are very much in line.

K. Report on the 2006 Kentucky General Assembly (FCR 13)

Ms. Wickliffe reported that FCR 13 is the Report on the 2006 Kentucky General Assembly. The General Assembly passed the 2006-08 Biennial Budget, House Bill 380. The bill includes operating funds and capital project authorizations for UK.

Operating Funds

2006-07: \$4.6 million base funding increase (1.5 percent increase)

2007-08: \$20.9 million base funding increase (6.7 percent increase)

With this appropriation, the General Assembly fully funds the implementation of the Top 20 Business Plan. For that, Ms. Wickliffe said the Board can be very grateful in the resolution addressed to them at this meeting. This should not only help move the university forward but also help the citizens of the Commonwealth.

Capital Projects - Highlights

The 2006 Kentucky General Assembly provided state bonds for one project that fully funded Phase II of the Biological/ Pharmaceutical Complex with \$79.8 million of state bonds.

They also provided the requested \$175 million authorization for Phase II of the Patient Care Facility:

\$150 million agency bonds

\$25 million agency funds

The General Assembly also enacted Senate Bill 84 which increases the thresholds of capital projects that do not require legislative authorization:

Capital construction projects from \$400,000 to \$600,000

Equipment from \$100,000 to \$200,000

Information technology systems from \$400,000 to \$600,000

Senate Bill 83 increased the maximum cost of capital construction projects that may be performed by postsecondary institution employees from \$100,000 to \$200,000.

Ms. Wickliffe then referred to the items that were vetoed. The university was encouraged to find the money for some of those things. In one of those items, the word "encouraged" was taken out, and the remainder became a directive to support the county

extension enhancement initiatives. UK also lost the monies to expand and upgrade the Livestock Disease and Diagnostic Center Phase 2, \$13.5 million of state bonds.

The Council on Postsecondary Education (CPE) lost \$13.9 million of capital renewal maintenance pool funding. UK's share would have been \$3.4 million

CPE's research support initiative is \$6 million of non-recurring funds. UK would have gotten \$4 million of that, and UofL would have gotten \$2 million. That would have been provided in the 2007-08. UK lost that.

UK also lost the renovation funding for Blazer Hall cafeteria which was \$3.10 million in agency bonds. The installation of air conditioning and heating for Keeneland Hall, which involved \$7.13 million in agency bonds, was also lost. All in all, however, UK came out extremely well.

On behalf of the Finance Committee, Ms. Wickliffe moved the adoption of FCR 13. Mr. Branscum seconded the motion, and it carried without dissent. (See FCR 13 at the end of the Minutes.)

L. Patent Assignment Report (FCR 14)

Ms. Wickliffe said that FCR 14 is the Patent Assignment Report. This report contains one patent assignment for the treatment of chronic pain in victims of spinal cord injury. It is interesting for the Board to know that UK ranks 19th among land-grant universities overall and licensing income and 25th in patents. These figures are from fiscal year ending 2003.

As of March 31, 2006, UK has 306 active patents and 102 active licenses. Royalties for the year ending March 31, 2006 are \$758,205. There have been 5 start-ups, 12 licenses, 13 patents, and 70 disclosures.

On behalf of the Finance Committee, Ms. Wickliffe moved the adoption of FCR 14. Mr. Dawson seconded her motion, and it carried without dissent. (See FCR 14 at the end of the Minutes.)

M. Amendment Statement Regarding (FCR 13)

Mr. Hardyman said that FCR 13 was approved as amended. He said that he hoped those that made the motions for approval recognized that Ms. Wickliffe amended it.

N. Investment Committee Report

Mr. Wilcoxson, chair of the Investment Committee, reported that the Investment Committee met that morning. The university's endowment had a market value of \$711.4 million as of March 31, 2006, compared to a \$538.4 million market value as of June 30,

2005. This is an increase of \$173 million during the current fiscal year, which is the result of establishing the hospital quasi-endowment for \$100 million, gift and matching RCTF funds of \$28.3 million, investment income of \$62.2 million, and withdrawals in support of the UK programs of \$17.5 million.

For the nine months ending March 31, the endowment investment pool returned 11.8 percent, compared to the policy benchmark return of 11.4 percent.

In order to increase the university's exposure to non-U.S. small-cap and emerging markets stocks and also to lower management fees, the committee approved a 4 percent allocation to State Street Global Advisers for a non-U.S. index fund strategy. This change will reduce the target allocation for Capital Guardian who is the current non-U.S. equity manager. State Street will charge 19 basis points annually for the non-U.S. index fund compared to 43 basis points currently charged by Capital Guardian.

Mr. Hardyman indicated that April should be a good month, too. The market seems to be doing pretty well.

Mr. Wilcoxson agreed and mentioned that through the years the Investment Committee has been a very conservative, low-risk committee. The committee has outperformed the policy benchmark since its inception.

O. Business Partnership Foundation Board Report

Ms. Wickliffe, Trustee representative on the foundation board, reported that the Business Partnership Foundation Board met on September 23, 2005 and April 7, 2006. She reviewed the highlights of each meeting.

September 23, 2005

Announcement of retirement of Kim Burse and Jerry Wethington as Board members.

Presentation of a summary of the initiatives undertaken by the College since July 1, 2003.

Report on the college's financial status report.

Report on the development activities and a fundraising update.

Introduction of two new professors.

Presentation of the "Immersive MBA" program.

Comments from President Todd on his approach to the Top 20 Business Plan.

Presentation of the advertising campaign.

April 7, 2006

A status update on the efforts under way at the college to review and revise the undergraduate program was provided by Professor Scott Kelley, who is chairing the task force assigned to that effort. The last major review was conducted 30 years ago, and this review is being carried out to design the best undergraduate program at a research university that UK can possibly deliver. The redesign will

also accommodate the increased student body, as proposed in the Top 20 Business Plan.

A fundraising challenge, posed by foundation board member, Mr. Creed Smith, was presented by Development Committee Co-Chair Mr. Tim Haymaker. Mr. Smith has challenged fellow board members to match collectively his unrestricted pledge of \$100,000 over two years.

Actions being taken for meeting the goals of the Capital Campaign were presented by Dean Sudharshan. He also asked the foundation board to voice its support for the college's new building being retained as the top university priority among academic buildings, after the pharmacy building. Mr. Carol Martin Gattton pledged another \$500,000 towards the building, if the ground is broken per his time stipulation.

There was an update of the major communications (both internal and external) campaign planned by the college.

There was a presentation delivered by alumnus Mr. Scott Packard, President and CEO of Wright Tree Service Inc, Des Moines, Iowa. His presentation focused on his business career, the tree service industry, comments on immigrant labor, and response to crises like Katrina.

Associate Dean Paul Jarley provided a snapshot of the applicant pool for the newly launched (fall 2006) undergraduate program titled, "Global Scholars Program."

Ms. Wickliffe completed her report by announcing the next meeting is scheduled for September 29, 2006 in Lexington.

P. Mining Engineering Foundation Board Report

Mr. Williams, Trustee representative on the foundation board, reported that the Mining Engineering Foundation has continued to provide financial support for mining engineering recruitment efforts. The foundation funds the salary for a part-time recruiter, including all the recruiting costs. Last fall, the number of students in the Mining Engineering program was 70, an increase from 58 the previous year. The foundation, along with President Todd and other members of the administration, were successful in getting \$200,000 from the state budget for mining engineering scholarships from coal severance tax revenues.

Volunteers to the foundation are currently developing alumni fundraising strategies similar to that used by the College of Law, and private giving to this foundation has been up considerably over the last two years, partially due to increased fundraising efforts and partially due to research in the coal market.

Mr. Williams reported that the foundation board meets in the spring and in the fall.

Q. Report on American Association of Governing Boards of Colleges and Universities Annual Conference

Mr. Hardymon reminded the Board that Dr. Dembo had been honored by being chosen to participate in the American Association of Governing Boards of Colleges and Universities conference in Florida. He called upon Dr. Dembo to give a general report about the conference.

Dr. Dembo reported that he attended the association's annual conference on trusteeship. It was composed of trustees from all over the country from both public and private institutions. It was interesting to learn how different boards are from one another. For instance, some boards have 50 plus members while some may have as few as 7 or 8 members. Some boards govern all the state institutions at the state level, others much as we do at the institutional level. These different types of boards have very different missions, yet everyone has in common their devotion to higher education and being in a position of governance.

Dr. Dembo said that one of the things that came out of the meeting is that UK is not in this alone. Everybody is struggling with the same issues on a daily, if not yearly, basis. He pointed out a couple of comments that could be shared with the UK Board of Trustees. First of all, the presentation that he gave was a roundtable called Working with Faculty. We are very special in the state of Kentucky in that every state university board must have faculty, student, and staff trustees. That is a rarity. Less than 10 percent of all boards have faculty, and the way that the trustees communicate with the faculty is quite variable. In some cases trustees serve on some of the board committees in an ex officio manner, and, at other universities and colleges, there is little to no communication between the board and the faculty. It was a very interesting discussion and lots of good questions came up, particularly about the relationship of faculty trustees to the board. He had only the best things to say about the type of interaction at UK.

One of the keynote speakers was Jim Fallows, who is the national correspondent for the *Atlantic Monthly*. Mr. Fallows talked about the current environment of higher education and presented a couple of suggestions. From his perspective, he advised trustees to prepare for even greater volatility ahead. It is an uncertain political climate; he predicts even greater economic swings of funding over the next 5–10 years in higher education. Mr. Fallows charged the board members present to join the public fight. He said that now, more than ever, boards need to be public in their opinions to lead the debate to help inform policy makers about the directions that higher education needs to go. Dr. Dembo said that in looking back at our experience in Kentucky, UK has been particularly effective with that most recently.

Mr. Fallows reported that he had been the editor for U.S. *News and World Report* before going to *Atlantic Monthly*, and he assumed the group wanted him to talk about the

magazine's rankings of colleges. He gave two take-home messages to the board members. First, even though he had been with *U.S. News and World Report*, he said do not judge your institution on the basis of these rankings. Second, he said to encourage your institutions to develop as many rankings as possible. You are not bound to only one but rather can look at a wide-ranging variety of rankings.

Dr. Dembo said there was another speaker, Jeffrey Sonnenfeld, the senior associate dean with Yale University School of Management, who dissected reasons why boards succeed and fail. Dr. Sonnenfeld talked about the prior role of boards, specifically being an auditing and monitoring body. They were individuals who had a vested interest in the school. Now, the responsibility of the trustees is much more complex, and boards need to cope with this expanded complexity.

Dr. Sonnenfeld talked about 4 pathologies that prevent boards from working effectively. One is Groupthink. He said that it is pathological when there is too much righteous agreement on topics with no courage for dissent. The second is Bystander apathy – a lack of engagement by board members on important issues or lack of board engagement as a whole in issues of great importance. Dr. Dembo noted that he would give the UK Board excellent marks for absence of such apathy. The third is obedience to authority – “If the president says it's so, it must be so.” The fourth is brand conformity. This is pathology where the trustees uphold a certain identity or mission even though it is obsolete, despite the university needing some changes.

Dr. Sonnenfeld also talked about some of the characteristics of effective boards.

Dr. Dembo pointed out two things that could be of use to the UK Board to discuss at a future session. One is the importance of a periodic evaluation of how the board itself performs. The board concentrates heavily on the performance of the chief executive officer, but the performance of the board's own functioning may also be something to consider. Another thing of importance is to know what information the board needs to best do its work. When new members are appointed to the board, there is a lot of information for them to learn. If there is anything that can be done to more effectively shorten the ramp up time and better orient the new board members, that could benefit the board.

Brit Kirwan, a renowned educator and chancellor of the University System of Maryland, said that we are in the early stages of a crisis in education. Competing needs for public dollars are drawing down public investment in higher education, and he cannot see any force that will reverse this. Meanwhile, there is a surging demand for education. We must get on top of this with cost containment. If we don't, what will America be or become?

Dr. Dembo said that he was invited to a special breakfast held by the *Chronicle of Higher Education*. They invited 10 other trustees to talk about how the *Chronicle* can better cover higher education. It was a very interesting breakfast with exchange of many ideas around the table, but there seemed to be particular interest in the Top 20 Business

Plan. People have heard about it. After the session was over, Paul Fain, a *Chronicle* reporter who wrote the article that just came out about UK, said talking with President Todd was one of the most interesting things that he has done in a long time. Mr. Fain said that he is interested to see what happens to the Top 20 Business Plan. The plan is now going to be on the radar screen nationally, and that is a lot to be proud of.

Mr. Hardymon thanked Dr. Dembo for his report. He said the board evaluation process is now in the corporate New York Stock Exchange world. Self evaluations are required of prominent board members once a year. Most boards have a facilitator to come in and keep the boards on the subject. Mr. Hardymon predicts that the process will eventually get to UK. For whatever reason, financial processes and procedures are being brought from the corporate world into educational institutions.

R. Comment about the CATSPY Awards Event

Mr. Hardymon asked Rob Mullens to comment about the CATSPY event that evening.

Mr. Mullens reported that the fourth annual CATSPY awards event would be that evening. This event is UK Athletics' annual celebration of student-athlete achievements. It is a night of celebration that is very well received by the students-athletes, and the students are at their best. The fun begins at 6:30 p.m. at Rupp Arena with a reception, and the awards recognition begins at 8:30 p.m. Park on High Street and follow the blue carpet into the Lexington Civic Center. Mr. Mullens said that he had tickets available for anyone needing them.

Mr. Hardymon said that he attended the event last year, and he will attend it again this year.

S. University Hospital Committee Report

Ms. Young reported that the University Hospital Committee met the previous afternoon and received a favorable financial report. The report showed strong performance with very good recovery from a weak performance in February. This month's good statistics were led by increasingly vigorous admissions volumes and ranked utilization per case. In March 2006, inpatient net revenue increased about 18 percent. Outpatient net revenue increased 12.7 percent over the previous one.

In line with this volume increase, total operating expenses were up 8.4 percent compared to last March. Operating margin for March 2006 was 8.2 percent. The committee also learned that \$100 million of designated investments were transferred to the UK Board as true investment earnings. This should definitely help the hospital with its investment earning percentages.

For the nine months ending in March, the increase in net assets has been approximately \$42 million. The Hospital is in good shape, and its financial health and

continued growth supports the strategic initiative undertaken this year. Some conversation will be held in the near future about speeding up the overall building process.

The committee received a report on the building project, and it is on schedule. Work on the parking garage has begun. The architects have presented a preliminary presentation of the bed tower, lobby, bridge, and exterior landscaping. They are still working on design as there are a lot of details involved.

In other projects, the proposal for renovation and expansion of the emergency room has gone out for bid, and the project should begin in June 2006. This project is over \$4 million and has been a concern for many people. The project is not going to solve all problems, but it should provide marked improvement during the interim until completion of the bed tower project.

The committee also heard very interesting reports on patient quality and safety and on the tracking of hospital infection rates. Great effort is being made to get all of these numbers into an established protocol to improve overall statistics of hospital operation, an issue which has received extensive coverage in the news media. It is difficult to get comparative data on this issue, but the staff is making great progress in these efforts.

T. University of Kentucky Center on Aging Foundation, Inc. ("Corporation")
Amendment to Articles of Incorporation and Bylaws (UHCR 1)

Ms. Young said that UHCR 1 is a recommendation that the Board of Trustees approve an amendment to the Articles of Incorporation of the Corporation and the revised Bylaws of the Corporation.

The Center on Aging Foundation is a not-for-profit support organization of the university, established to support the university's Center on Aging and related programs. Due to organizational changes and the need to update the articles and bylaws, the Board of Directors of the corporation determined that amendments were needed to identify correct titles of officials, expand the number of members of the board of the corporation from "up to 33" now to "up to 41" members, and provide for senior status board members. Ms. Young noted that there is a correction in Exhibit B, Article 2, Section one under membership. It should read at least 27, not 25.

The amendments were approved by the Board of the Corporation at its September 2005 and March 2006 meetings and were presented to the University Hospital Committee. On behalf of the committee, Ms. Young moved approval of UHCR 1. Dr. Dembo seconded her motion, and it carried without dissent. (See UHCR 1 at the end of the Minutes.)

U. Introduction of Dr. Ernie Yanarella

Dr. Moore asked Dr. Yanarella to stand and be recognized as he formally announced that Dr. Ernie Yanarella will be succeeding him on July 1. Most Board members know Ernie because he is the outgoing chair of the Senate Council. Dr. Moore said that it is kind of a tradition at UK to elect a former chair of the Senate Council to the Board. Both he and Dr. Dembo were chairs of the Senate Council at one time.

Dr. Yanarella is an outstanding individual, and he will be a superb faculty trustee. He is a professor in the Political Science Department. His department has a long history of involvement in faculty and shared governance.

V. Introduction of Jonah Brown

Ms. Ellingsworth said she would also like to introduce her successor, the newly elected student government president. He is Jonah Brown, and he will be starting on the Board July 1. Mr. Brown ran an excellent campaign and won by over 400 votes. He will be taking over the student government office following finals week.

Mr. Hardymon asked Mr. Brown to remain following the meeting so the Board members could meet him.

W. Announcement about June Board Meeting

Mr. Hardymon informed the Board that the June meeting will probably take more time than the normal meeting. There will be a full agenda, including the Strategic Plan, the budget, and other reports. The meeting could last as late as 3:30 p.m.

X. University Hospital Committee Announcement

Ms. Young announced that the University Hospital Committee would meet in the Board Room immediately following the Board meeting.

Y. Meeting Adjourned

With no further business to be transacted, Mr. Hardymon called for a motion of adjournment. Ms. May made the motion. Ms. Tobin seconded the motion, and it carried

without dissent. The meeting was adjourned at 2:30 p.m.

Respectfully submitted,

Pamela R. May
Secretary, Board of Trustees

(PR 2, 3, and 4; AACR 1 and 2; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, and 14; and UHCR 1 which follow are official parts of the Minutes of the meeting.)

PR 1

Office of the President
April 25, 2006

1. Legislators Support UK's Top 20 Business Plan in Biennial Budget

The Kentucky General Assembly has approved a biennial budget for 2006-2008 that represents a clear signal that Kentucky's leaders support UK's Top 20 Business Plan. The budget, which was passed overwhelmingly by both the Kentucky House and Senate, would provide full funding for UK's Top 20 Business Plan in the second year of the biennium. That funding was UK's top priority during the legislative session. Highlights of the budget, which now goes to Gov. Ernie Fletcher for his signature, include: a \$4.6 million increase in general fund dollars for 2006-2007 year and a \$20.9 million increase in 2007-2008; \$80 million in bonds to build the bio/pharm building; authorization to let \$150 million in bonds – backed by UK HealthCare revenues – to complete the \$450 million patient-care facility expansion; and \$13.5 million in state bonds for the animal diagnostic lab, a top priority of the College of Agriculture.

2. UK HealthCare Construction Remains on Schedule

During March, UK HealthCare administrators notified neighborhoods surrounding the construction site for a new parking garage of changes to traffic flow, most of which will be in effect until the garage is completed in fall 2007. The current hospital parking garage will be torn down in mid-2007, following completion of the new structure. The new patient care facility will subsequently be constructed on the site of the former parking garage. Most of the demolition of properties acquired by UK to make room for the new garage was completed in early April.

3. Robinson Scholars Program Makes a National Impact

The Robinson Scholars Program continues to receive accolades for its work with first generation college-bound and college students from Eastern Kentucky. The program was recognized as one of only seven National Association of Student Personnel Administrators (NASPA) Gold Excellence Award winners. The Robinson Scholars Program earned its Gold Award in a category for Enrollment Management, Orientation, Parents, First-Year, Other-Year Services, and Programs. The program was represented in a poster session with the other winning programs at the conference and will be further recognized through NASPA Forum and a showcase at the NASPA Excellence Awards Web resource center. The program also won a \$50,000 grant from the IFSA Foundation to fund \$5,000 scholarships for 10 students to study abroad. Administrators hope these students will return to their home counties and encourage others to study abroad, a necessary underpinning for leaders in the 21st century global society in which Kentuckians must compete.

4. National Effort to Privatize Child Welfare Services Examined by UK Social Workers

The College of Social Work will lead the nation in determining the impact of efforts to privatize child welfare services. In partnership with Planning and Learning Technologies, Inc., the college received a \$5 million grant from the U.S. Department of Health and Human Services Children's Bureau. The funding will be used to establish a quality improvement center to evaluate the impact on children and families of using private agencies to provide child welfare services. The National Quality Improvement Center on the Privatization of Child Welfare will serve as a resource for information on child welfare privatization and provide lessons learned from these efforts. Additionally, through the initiative, research and demonstration projects will be administered to test the effectiveness and efficiency of selected privatization models.

5. Showcase of Undergraduate Scholars Slated for April 25

UK presents its first Showcase of Undergraduate Scholars at 4:30 p.m. Tuesday, April 25, in the Student Center. The showcase will include a number of events and displays promoting undergraduate study and achievements at UK. Students will display, perform, present, and/or demonstrate designs, developments, research, performances, and achievements. Those taking part in the showcase will be included in a book of abstracts of student participants' projects, which can be cited by the participants as a publication. The event, sponsored by the Office of Experiences in Undergraduate Research and Kreative Activities; Society for the Promotion of Undergraduate Research, an undergraduate research club; and the Office of the Executive Vice President for Research, aims to demonstrate UK's commitment to undergraduate education and share the extraordinary achievements of students from all of UK's colleges.

6. College of Education to Study Effectiveness of Literacy Program

The Collaborative Center for Literacy Development (CCLD) in the College of Education received a \$2.8 million grant to research the effectiveness of a literacy program to help struggling adolescent readers catch up with their peers. The award is part of a U.S. Department of Education \$16 million educational improvement grant awarded to the Kentucky Content Literacy Consortium. The grant was one of only eight awarded across the country. The consortium will use the funding to implement the Striving Readers Program in seven Kentucky school districts, including 23 middle and high schools across the state. UK's CCLD is charged with evaluating the program's effectiveness.

7. Funding Received for Appalachian Regional Education Laboratory

The College of Education is a partner in a five-year, \$26 million project to establish an Appalachian Regional Education Laboratory (AREL). The project award was made recently to the CNA Corporation (CNAC) by the U.S. Department of Education. Serving Virginia, West Virginia, Kentucky, and Tennessee, AREL is an important component of the Department of Education's efforts to conduct rigorous research and disseminate results that will support continuous improvement in student outcomes, help close achievement gaps between advantaged and disadvantaged students, and achieve other key goals of the No Child Left Behind Act. The AREL will work directly with local and state education agency leaders in identifying problems,

designing research-based innovations, and launching a wide range of research and evaluation studies. As employees of a partner institution, UK faculty will have opportunities to participate in regional education needs assessment and planning research and technical assistance activities; to serve on key operating committees (program development, technical working group, implementation, and outreach) as well as to serve as subcontractors.

8. UK Shines in National Media Spotlight

A reporter with the *Chronicle of Higher Education* visited campus in March working on a feature about President Lee T. Todd, Jr. and the Top 20 Business Plan. The reporter interviewed several administrators and members of the faculty about Todd, the Top 20 Business Plan, and UK's research environment. The article is slated to run in late April. Todd and UK's Top 20 Business Plan were prominently featured in a major story in the March 22 edition of the national newspaper, *USA Today*. The story focused on the growing pressures confronted by university presidents. Todd was one of only a few presidents featured in the story, which discussed the ambitious goals outlined in the Top 20 Business Plan.

9. NSF Grant Funds Algebra Cubed to Enrich Math Skills in Bath and Powell Counties

The University of Kentucky received a \$1.8 million National Science Foundation grant to enrich mathematical skills and the depth of content knowledge of middle and high school students in Bath and Powell counties. The grant, known as Algebra Cubed, will fund 10 science, math, or engineering graduate students (fellows) each year for three years as math specialists in the counties as well as 10 teachers who will serve as mentors to the fellows. The fellows will work with teachers to introduce new ways of understanding mathematics and its usefulness to the students. Bath and Powell counties were chosen for the grant because of their low percentage of high school graduates that go on to earn bachelor's degrees and the quality and desire of teachers and administrators for improved mathematics learning in the schools.

10. UK HealthCare First to Track Women's Health in Kentucky

UK HealthCare has initiated the first statewide registry in the country to track the health of women. The Kentucky Women's Health Registry is a project of Dr. Leslie J. Crofford and the Center for the Advancement of Women's Health. Over the next 10 years, Crofford hopes to collect data from 25,000 Kentucky women. The registry, which debuted March 1, will ask the women of Kentucky to input their health information, via the Internet or a mail-in survey, into a database that will allow Crofford and her team to analyze the health concerns facing women across the Commonwealth. The study will collect data from women of all ages and races, and from every part of Kentucky. Registry participants will receive health information updates and access to clinical trials of new therapies for conditions that affect them.

11. 15 Varsity Sports Met or Exceeded National APR Average

The NCAA recently released its second-annual Academic Progress Rate report, showing that none of UK's 22 varsity sports are subject to penalties, such as scholarship reductions or post-season restrictions. Fifteen of the 22 teams exceeded or tied the national average in their

sports among public universities. Women's soccer led the way for UK with 990 out of a possible 1,000 points, followed closely by women's cross country (989), women's outdoor track (984), women's indoor track (983), women's basketball (982), and women's swimming (982). Men's basketball made significant improvement during 2004-05 and was the only UK team to post a perfect mark of 1,000 for that year. Men's basketball's two-year score of 922 also exceeded the national average for basketball teams at public universities (917). The marks are a two-year composite score, covering the 2003-04 and 2004-05 school years, that measures academic eligibility and retention of student-athletes. Eventually, the APR will become a four-year composite score.

12. Markey Cancer Center Forms Network With Three Kentucky Hospitals

The Chandler Medical Center's Markey Cancer Center announced its first formal network affiliation agreement with three hospitals throughout the state that would create a collaborative provider network and keep cancer patients close to home for treatment. Markey Cancer Center is taking a step toward moving its advanced cancer care into communities throughout the state, while also assuring that when more advanced treatment is needed beyond the community level, patients have access to quality and continuity of care at the Lexington campus location.

13. Photo Feature of Pediatric Patients Appears in National Magazine

"I'm a Kid, Too!," a photography project documenting the lives of medically fragile children in the Bluegrass, was featured in the March issue of *Popular Photography & Imaging*. The project, which included a photo exhibition, was presented by the UK College of Social Work, LexArts, and the Lexington Division of Parks and Recreation.

14. Black Women's Conference Marks Women's History Month

The 12th annual Black Women's Conference, sponsored by the African American Studies and Research Program, marked March as Women's History Month with the theme "Soul Well: Black Women and Mental Health." The Anna J. Cooper Address featured Linda James Myers, dean of the graduate psychology program at New College of California, and the Mary McLeod Bethune Luncheon and Lecture featured Lynda Brown Wright, chair of educational and counseling psychology at UK. The Doris Wilkinson Distinguished Lecture featured Kumea Shorter-Gooden, professor at the California School of Professional Psychology and co-author of *Shifting: The Double Lives of Black Women in America*.

15. Idea Fair Designed to Encourage Student Entrepreneurs

The UK Entrepreneurs Club and the Gatton College of Business and Economics Von Allmen Center for Entrepreneurship hosted the fourth annual Idea Fair Business Concept Competition in April. The competition is designed to encourage, showcase, and support UK's innovative student entrepreneurs. The 2006 Idea Fair Competition is sponsored by the Bluegrass Angels, the Shumate Family Foundation, ELAN Home Systems, and the University of

Kentucky's Commonwealth Collaborative initiative. Thirteen student teams entered the competition, vying for cash awards totaling \$3,500 plus professional assistance.

16. John Cohen Presents Bingham Lecture

John Cohen, noted artist and folk archivist, presented the Mary C. Bingham Lecture March 9, in the John Jacob Niles Gallery. The opportunity was made possible by the UK Gaines Center for the Humanities with the assistance of Ron Pen, director of UK's John Jacob Niles Center for American Music. Held every other year by the Gaines Center, the Bingham Lecture is a special topical humanities seminar that provides faculty and students an opportunity to explore a subject not in the university's regular course offerings and combines course work with funding for a four-week study abroad or in the United States.

17. UK Participates in Colloquium on Peace

The UK College of Social Work in conjunction with the Lexington Theological Seminary and the Lexington Commission on Race Relations presented "A Colloquium on Peace, Social Justice, and Reconciliation: Linking Social Work to the Global Community" in March on the Lexington campus. The keynote speaker was visiting scholar Lengwe-Katembula Mwansa, an associate professor of social work in Africa.

18. College of Engineering Inducts Four to Hall of Distinction

Four graduates of the College of Engineering were inducted into the college's Alumni Hall of Distinction. The four are Patrick R. Atkins of New York City; Carlos A. Cabrera of Northbrook, Ill.; the late Robert D. Hayes; and D. Ralph Young of Stanford, Ky.

19. UK Hosts International Journalists at State Department Event

International print and broadcast journalists visited UK recently as part of a U.S. Department of State program for foreign media to observe U.S. journalists and discuss the role of journalism in a democratic society. The Edward R. Murrow Journalism Fellows Program included interaction between the visiting international media and reporters from the Bluegrass. The journalists participated in discussions on topics such as the First Amendment and media law and viewed the movie *Good Night and Good Luck*, followed by a panel discussion of journalists' rights and responsibilities and recent instances of conflict between journalists and the government.

20. Todd Names New Commission Members

New members of the President's Commission on Diversity and the President's Commission on Women were recently announced. Terms for the commissions' new members begin July 1, 2006. New Commission on Women members are Ginny Sprang, College of Social Work; John Wilson, College of Medicine, Behavioral Science; Lina Crocker, College of Arts and Sciences, English as a Second Language; Jenny Minier, Gatton College of Business and Economics; Diane Snow, College of Medicine, Anatomy and Neurobiology; Anthony T. Ralph,

associate director, Residence Life; Dianna August, supervisor, Bulk Mail Department, Postal Services; Deborah Murray, associate director, Health Education through Extension Leadership (HEEL), College of Agriculture; and Terri Kanatzar, Elder Care coordinator, Human Resources. Newly appointed community members for the Commission on Diversity are Rev. Bob Brown, One Community, One Voice; Mwabi Murdock, Friends of the Kentucky Women Writers Conference; and Marilyn Lyons, Kentucky Council on Post Secondary Education. The UK staff representative is Laverne Carter, associate director for Recruitment, School of Public Health, and the UK faculty representative is Lars Bjork, associate professor, College of Education.

21. Student Awards and Achievements

Two UK architecture students received top honors in the first JELD-WEN Student Door Design Contest, selected from a pool of student design contestants from 44 colleges and universities around the nation. Brandi Berryman and Amanda Hardaway, seniors in the College of Design, each won a \$2,000 scholarship and a trip to the International Builders' Show in Orlando, Fla.

Twenty-two of the nation's top young opera vocalists vied for three prizes in the first Alltech Opera Scholarship Competition held March 5 at UK. Graduate students vied for two full UK graduate assistantships with the first-place winner earning an additional \$10,000 cash award and the second-place winner receiving an additional \$7,500 cash award. Undergraduates vied for a full UK undergraduate scholarship for tuition and an additional \$5,000 cash award. The first-place graduate winner was Eric Brown, a UK master's student in opera from Washington, D.C., and the second-place graduate winner was David Baker, a University of Tennessee master's student in opera from Knoxville, Tenn. The undergraduate winner was Antonia Tamer, a senior at The Summit Country Day School in Cincinnati, Ohio.

An awards ceremony, co-hosted by the James W. Stuckert Career Center and the UK Alumni Association in March, honored five UK students who excelled during their internships, along with their internship employers. The following students and their internship employers were honored: Bethany Chamberlin, senior majoring in English, College of Arts and Sciences, for her work at the Carnegie Center for Literacy and Learning; Kristin Halloway, senior majoring in marketing, Gatton College of Business and Economics, honored for her internship work with Thomas & King; Leah Pear, senior majoring in marketing, Gatton College of Business and Economics, honored for her internship work at AD-SUCCESS Marketing; Sarah Schneider, senior majoring in integrated strategic communications, College of Communications, honored for her internship work at the Girl Scouts – Wilderness Road Council; and John Winstead, MBA December 2005, Gatton College of Business and Economics, honored for his internship work at University Press of Kentucky. At the same event, NVR/Ryan Homes, Inc. of Reston, Va., received the New Employer of the Year Award, and Enterprise Rent-A-Car, of Louisville received Outstanding Employer of the Year Award.

Four students from the College of Law Black Law Student Association (BLSA) have taken second place in the nation in the Thurgood Marshall Mock Trial National Competition held recently in Washington, D.C. It is the highest any UK Law mock trial team has finished in a national competition. The UK team of Viola Forbin, Anthony Gray, Valorie Smith, and Brandi Stewart was one of 12 teams competing for the nation's top spot. They defeated teams representing prestigious law schools such as Texas A&M School of Law, Howard University School of Law, and Pepperdine University School of Law. Twice UK's team defeated

Pepperdine, the top-seeded team for part of the tournament, before losing to the University of Georgia in the final round; a team they had beaten in the regional qualifying competition to win the Thurgood Marshall Mock Trial Southern Regional Competition in February.

Twelve UK Department of Art advanced sculpture students competed in the Lexington Extraordinary Art Project (LEAP) to earn a commission for a sculpture to be placed outside Family Practice Associates of Lexington. Four students' sculpture models earned recognition from the project that aims to enrich the Lexington community with privately funded public artwork. The winners will be on display to the public as part of the Lexington Art League's "A Southern Presence" exhibit running through May 7 at Loudoun House. UK student Matthew Herron took the first-place honor, receiving a \$1,300 commission to fund the construction of his public sculpture titled "Angelic Form," to be installed in front of the Family Practice Associates (FPA) and an additional \$500 cash award. Patrick White took second place with a sculpture titled "Comfort," receiving a cash award of \$250 and a commission from FPA. Will Tucker's "Forks of the Elkhorn" and Chris Bohach's "Dimensions of Wellness" shared the third place position, and each received a cash award of \$100. LEAP was made possible with the guidance of Garry Bibbs, an associate professor and head of sculpture at UK, and Dr. David Dubocq, a family physician at FPA.

Bosnia's national news magazine, *Slobodna Bosna*, has selected a UK alumnus as one of the 50 most successful Bosnian immigrants in the world. The magazine chose Ajlina Karamehic in part for her work on a unique St. Louis campaign to educate Bosnian women about breast cancer. The daughter of a Bosnian engineer and a physician based in Dubai, Karamehic moved to the United States in 1995 to attend the University of Kentucky. She graduated with her doctorate in health communication in 2003. Karamehic joined St. Louis University in 2004 as a research fellow in the Health Communication Research Laboratory within the School of Public Health.

Greg Napier, a third-year law student, was one of six law students nationwide to be selected by the American College of Bankruptcy as a Distinguished Bankruptcy Law Student. He was selected from a group of students nominated by law schools throughout Kentucky, Michigan, Ohio, and Tennessee on the basis of academic achievement and interest in bankruptcy law.

UK 2005 journalism graduate Ben Roberts won first place in the William Randolph Hearst Foundation's Sports Writing Competition. Roberts won for "A Disputed Legacy," an article about Adolph Rupp that appeared in the March 24, 2005, issue of the *Kentucky Kernel*. Roberts received a \$2,000 prize, with a matching grant going to the UK School of Journalism and Telecommunications.

Elizabeth Scoville, a UK junior majoring in agricultural biotechnology and chemistry, has won a Barry M. Goldwater Scholarship. Scoville was one of 323 students nationwide awarded the prestigious scholarship on the basis of academic merit from a field of 1,081 mathematics, science, and engineering students. The scholarship covers expenses for tuition, fees, books, and room and board up to a maximum of \$7,500 for students pursuing careers in mathematics and the natural sciences, as well as many engineering fields.

College of Law students Adrienne Thakur and Brandi Stewart have been named to the National Black Law Student Association (NBLSA) Executive Board in the southern region. Thakur will serve as director of sub region one, which includes Kentucky and Tennessee, and Stewart will serve as secretary.

29. Faculty and Staff Awards and Achievements

The Sudan government presented William Adams, UK professor emeritus of anthropology, with Sudan's highest civilian decoration. Adams was awarded the Order of the Two Niles medal from the president and governing council of the Republic of Sudan in recognition of his ongoing work and contributions to Sudan and its people.

The Women's Forum presented the 13th annual Sarah Bennett Holmes Award to staff member Jeanie Caldwell of Human Resources Development and associate professor Nancy Brown Johnson of the Gatton College of Business and Economics School of Management and director of the Center for Labor Education and Research.

Gina Caldwell, associate director of alumni and advancement at the College of Pharmacy, received the 2006 American Pharmacists Association and Academy of Student Pharmacists (APhA-ASP) Outstanding Chapter Advisor Award presented March 20 in San Francisco at the APhA-ASP Annual Meeting.

Six professors have been honored for their excellence in the classroom as the UK Alumni Association announces the recipients of its 2006 Great Teacher Awards. The 2006 recipients are: Jody Clasey, associate professor, College of Education; Barry Kew, assistant professor of landscape architecture, College of Agriculture; John Mink, professor and acting division chief of pediatric dentistry, College of Dentistry; Daniel Rowland, director of the Gaines Center for Humanities, associate professor, College of Arts and Sciences; Sidney Whiteheart, professor, College of Medicine; and Ross Zirkle, assistant professor, College of Fine Arts.

The National Council on Rehabilitation Education (NCRE) recognized College of Education Professor Debra Harley as the 2006 Rehabilitation Educator of the Year. Harley was cited for her distinguished record as a researcher and educator in Rehabilitation Counseling.

Mandy Polley, UK sports information assistant director and a UK journalism graduate, authored "How to Write a Sports Recap: 10 Rules for Success When Covering an Athletic Event" featured in the Spring 2006 issue of *Journalism Education Today*, the quarterly publication of the Journalism Education Association. Originally developed as an assignment for JOU 460, Journalism in Secondary Education, Polley's rules serve as guidelines for high school journalism advisers without much (or any) sports knowledge, but who need to prepare student sports reporters. Three UK sports recap stories authored by Polley and UK Athletics Media Relations specialists Jeff Salmon and Andrew Lange illustrate the application of the rules. The magazine's sports feature concludes with a piece by UK School of Journalism and Telecommunications sequence coordinator and associate professor Scoobie Ryan, "Teacher's Guide: Turning the 10 Rules Into an Entire Class."

Thomas Leinbach, professor of geography, has been named the 2006-07 College of Arts and Sciences Distinguished Professor. The award is the college's highest professional recognition, and recipients are honored for outstanding teaching, research and service.

Shawn Livingston and Gordon Hogg of UK Libraries have been chosen to work with reference specialists and publishers ABC-CLIO on numerous works, including the nationally recognized *Encyclopedia of World War I: A Political, Social and Military History*. Recently the American Library Association (ALA) chose the *Encyclopedia of World War I* as one of the top reference works for 2006. The list, selected by the ALA's Reference and User Services Association, represents high-quality reference works that are suitable for small to medium-sized libraries. Livingston, a faculty librarian and reference director, served on the Editorial Advisory Board for the encyclopedia. Hogg, academic liaisons director for the UK Libraries, has been

asked to work on ABC-CLIO's forthcoming The Encyclopedia of the Cold War, slated for publication in 2007. Hogg serves on the Editorial Advisory Board for the Cold War encyclopedia project, to which he also is a contributor and of which he is associate editor.

College of Education professor John R. Thelin was honored at the 2006 Kentucky History Awards Program, held March 4 at the Thomas D. Clark Center for Kentucky History in Frankfort. Sponsored by the Kentucky Historical Society and the Historical Confederation of Kentucky, the annual awards recognize the best history achievements and projects relating to state and local history. Thelin received the Certification of Commendation for an Educational Program for the Public for his 2004 lecture series about Kentucky's "Town and Gown" heritage, delving into the role college campuses have played in the economic, intellectual, and cultural development of Kentucky's college towns. A grant from the Kentucky Humanities Council supported the series.

William Turner, UK vice president for University Engagement and associate provost for Multicultural Affairs, was the keynote speaker at the 29th annual Appalachian Studies Conference, "Both Ends of the Road: Making the Appalachian Connection," held in March at Sinclair Community College in Dayton, Ohio.

Stephen Wyatt, dean of the College of Public Health, will serve as chair of the Center for Disease Control and Prevention's (CDC) 2007 International Cancer Conference, to be held in Atlanta in September 2007.

PR 2

Office of the President
April 25, 2006

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENT

Associate Vice President Human Resources

Koppes, Laura, Work-Life Director, Human Resource Services, effective 3/3/06.

B. RETIREMENTS

Director of Athletics

Marshall, Hildy, Staff Support Associate II, Athletics, after 15 years, 8 months of consecutive service, under AR II-1.6-1, Section III.B, effective 3/31/06.

Executive Vice President for Finance and Administration

Hardin, Bobby O., Regular Faculty, Employee Benefits, after 49 years, 8 months of consecutive service, under AR II-1.6-1, Section III.B, effective 4/30/06.

Rogers, Joann V., Regular Faculty, Employee Benefits, after 30 years, 5 months of consecutive service, under AR II-1.6-1, Section III.B., effective 1/31/06.

Sprague, Joann, Extension County Clerk, Employee Benefits, after 18 years of consecutive service, under AR II-1.6-1, Section III.B, effective 1/31/06.

Office of the Treasurer

Wood, Roy Scott, Accounting Manager Sr., Payroll, after 41 years, 10 months of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/06.

C. EARLY RETIREMENTS

Associate Vice President Campus Services

Bastin, Stephanie, Police Chief Assistant, UK Police Department, after 29 years, 4 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 2/28/06.

Beard III, John G., Security Officer, UK Police Department, after 30 years of consecutive service, under AR II-1.6-1, Section III.A.2, effective 4/25/06.

Director of Athletics

Ginter, Viola Faye, Athletic Relations Coordinator I, Athletics, after 31 years, 5 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 2/10/06.

Executive Vice President for Finance and Administration

Peters, Gary, Heavy Equipment Operator, Employee Benefits, after 36 years, 7 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 2/28/06.

Walther, Charlotte R., Administrative Assistant I, Employee Benefits, after 22 years, 2 months, under AR II-1.6-1, Section III.A.2, effective 3/24/06.

Vice President for Facilities Management

Henderson, Herman T., Custodial Worker II, Physical Plant Division, after 15 years, 1 month of consecutive service, under AR II-1.6-1, Section III.A.2, effective 5/2/06.

Vice President for Institutional Advancement

Dawson, Patricia A., Staff Support Associate I, Office of Development, after 27 years, 9 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/30/06.

II. ADMINISTRATIVE ACTION

A. CHANGES

Office of the Treasurer

Gabbard, Melissa, from Accountant Sr., Payroll, to Accounting Manager II, Payroll, effective 4/1/06.

Morley, Zoe, from Accounting Manager II, Payroll, to Accounting Manager Sr., Payroll, effective 3/1/06.

Vice President for Information Technology

Beech, Wayne, from Program Systems Sr., IT/Student Computing Services, to Information Technology Manager II, IT/Student Computing Services, effective 3/1/06.

*Special Title series

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Basantes, Alberto, College of Medicine, Professor (voluntary), Neurology, 3/1/06 through 6/30/11.
- Brooks, Michael A., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/06 through 6/30/07.
- Buckley, Sean, College of Medicine, Assistant Adjunct Professor, Psychiatry, 3/1/06 through 6/30/06.
- Conigliaro, Joseph, College of Medicine, Associate Professor (with tenure), Internal Medicine, and joint appointment as Associate Professor (without tenure), Health Services Management, College of Public Health, effective 10/15/05.
- Crocker, Mark, College of Arts and Sciences, Associate Adjunct Professor, Chemistry, 7/1/06 through 6/30/08.
- Li, Guohong, College of Medicine, Assistant Research Professor, Internal Medicine, 3/1/06 through 6/30/06.
- Mingst, Karen, Graduate School, Professor (with tenure), Patterson School of Diplomacy and International Commerce, and joint appointment as Professor (without tenure), Political Science, College of Arts and Sciences, reappointed to the Earl F. & Jean M. Lockwood Professorship of International Commerce and Entrepreneurship, 7/1/05 through 6/30/08.
- Perman, Jay A., College of Medicine, Professor (with tenure), Pediatrics, and joint appointment as Professor (without tenure), Clinical Sciences, College of Health Sciences, reappointed to the Charles T. Wethington, Jr. Chair in the Health Sciences, 4/1/06 through 3/31/11.
- Roses Lozano, Joaquin, College of Arts and Sciences, Associate Professor (visiting), Hispanic Studies, 1/1/06 through 5/15/06.
- Ross, Stuart A., College of Medicine, Associate Professor (without tenure), Pediatrics, 1/9/06 through 6/30/06.
- Subbaswamy, Kumble R., College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, effective 7/1/06.

B. ADMINISTRATIVE APPOINTMENTS

- Daugherty, Alan, College of Medicine, Professor (with tenure), Internal Medicine, and joint appointments as Professor (without tenure), Physiology, and Professor (without tenure), Toxicology, Graduate School, appointed Director, Cardiovascular Research Center, 3/27/06 through 3/26/12.
- Hays, Lon R., College of Medicine, Professor* (with tenure), Psychiatry, and joint appointment as Professor* (without tenure), Internal Medicine, appointed Chair, Psychiatry, 3/3/06 through 3/2/12.

*Special Title series

Johnson, Darren L., College of Medicine, Professor (with tenure), Orthopaedic Surgery, and joint appointment as Professor (without tenure), Rehabilitation Sciences, College of Health Sciences, appointed Chair, Orthopedic Surgery, 3/1/06 through 2/28/09.

Kaplan, Alan M., College of Medicine, Professor (with tenure), Microbiology, Immunology and Molecular Genetics, and joint appointment as Professor (without tenure), Toxicology, Graduate School, appointed Chair, Microbiology, Immunology and Molecular Genetics, 3/8/06 through 3/7/12.

Pool, Christopher, College of Arts and Sciences, Associate Professor (with tenure), Anthropology, appointed Chair, Anthropology, 7/1/07 through 6/30/11.

Schatzki, Theodore, College of Arts and Sciences, Professor (with tenure), Philosophy, and Chair, Philosophy, reappointed Chair, Philosophy, 7/1/06 through 6/30/11.

C. JOINT APPOINTMENTS

Bada-Ellzey, Henrietta S., College of Medicine, Professor (with tenure), Pediatrics, and joint appointment as Professor (without tenure), Kentucky School of Public Health, new joint appointment as Professor (without tenure), Epidemiology, College of Public Health, effective 5/5/04.

deBeer, Frederick C., College of Medicine, Professor (with tenure), Internal Medicine, and joint appointment as Professor (without tenure), Nutritional Sciences, Graduate School, new joint appointment as Professor (without tenure), Microbiology, Immunology and Molecular Genetics, effective 7/1/94.

deVilliers, Willem J., College of Medicine, Associate Professor (with tenure), Internal Medicine, joint appointment as Associate Professor (without tenure), Microbiology, Immunology and Molecular Genetics, effective 8/1/04.

Samuels, Michael E., College of Medicine, Professor (with tenure), Family and Community Medicine, and joint appointments as Professor (without tenure), Kentucky School of Public Health and Professor (without tenure), Behavioral Science, new joint appointment as Professor (without tenure), Health Services Management, College of Public Health, effective 5/5/04.

D. REAPPOINTMENTS

Aiken, Glen E., College of Agriculture, Associate Adjunct Professor, Plant and Soil Sciences, 7/1/06 through 6/30/07.

Albers, Sheri L., College of Medicine, Clinical Associate Professor, Diagnostic Radiology, 7/1/06 through 6/30/07.

Allen, William C., College of Medicine, Associate Professor (part-time), Anesthesiology, 7/1/06 through 6/30/07.

Beals, Daniel A., College of Medicine, Clinical Associate Professor, Surgery, and joint appointment as Clinical Associate Professor, Pediatrics, 7/1/06 through 6/30/07.

Boggs, L. R., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/06 through 6/30/07.

Chen, Kuey C., College of Medicine, Associate Research Professor, Molecular and Biomedical Pharmacology, 7/1/06 through 6/30/07.

- Colclough, George W., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Conklin, John D., College of Medicine, Clinical Associate Professor, Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Conner, William T., College of Medicine, Clinical Professor, Surgery, 7/1/06 through 6/30/07.
- Donnelly, Michael, College of Medicine, Research Professor, Surgery, 7/1/06 through 6/30/07.
- Eichhorn, John H., College of Medicine, Clinical Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Ellis, Jeffrey L., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.
- Etscheidt, Mark A., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Fahy, Brenda G., College of Medicine, Clinical Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Fetherston, Jacqueline D., College of Medicine, Associate Research Professor, Microbiology, Immunology and Molecular Genetics, 7/1/06 through 6/30/07.
- Fried, Andrew M., College of Medicine, Professor (part-time), Diagnostic Radiology, 7/1/06 through 6/30/07.
- Gairola, Chandra G., College of Medicine, Research Professor, Graduate Center for Toxicology, 7/1/06 through 6/30/07.
- Garden, John W., College of Medicine, Professor (part-time), Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Gevedon, Teresa G., College of Medicine, Clinical Associate Professor, Psychiatry, 7/1/06 through 6/30/07.
- Goldstein, Steven J., College of Medicine, Associate Professor (part-time), Diagnostic Radiology, 7/1/06 through 6/30/07.
- Goulson, Daniel T., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Hadley, Robert D., College of Health Sciences, Associate Professor (without tenure), Clinical Sciences, 7/1/06 through 6/30/07.
- Han, Kuo-Huang, College of Fine Arts, Professor (temporary), Music, 8/1/06 through 5/31/07.
- Henry, Stephen L., College of Medicine, Adjunct Professor, Orthopaedic Surgery, 7/1/06 through 6/30/07.
- Huang, Michael H., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/06 through 6/30/07.
- Humphries, Roger L., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/06 through 6/30/07.
- Iwinski, Henry J., College of Medicine, Clinical Associate Professor, Orthopaedic Surgery, and joint appointment as Clinical Associate Professor, Pediatrics, 7/1/06 through 6/30/07.
- Jackson, Carney B., College of Agriculture, Associate Professor* (without tenure), Veterinary Science, 7/1/06 through 6/30/07.

*Special Title series

- Kielar, Richard A., College of Medicine, Professor (part-time), Ophthalmology and Visual Sciences, and joint appointment as Professor (part-time), Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.
- King, Joseph G., College of Medicine, Clinical Associate Professor, Diagnostic Radiology, 7/1/06 through 6/30/07.
- LeVine, Harry, College of Medicine, Associate Professor (without tenure), Molecular and Cellular Biochemistry, 7/1/06 through 6/30/07.
- Lock, Richard, College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Martin, Julia E., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/06 through 6/30/07.
- Meigooni, Ali S., College of Medicine, Clinical Professor, Radiation Medicine, and joint appointment as Clinical Professor, Clinical Sciences, College of Health Sciences, 7/1/06 through 6/30/07.
- Mullett, Timothy W., College of Medicine, Clinical Associate Professor, Surgery, 7/1/06 through 6/30/07.
- Murphy, John T., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Offutt, William N., College of Medicine, Professor (part-time), Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Prevost, Suzanne S., College of Nursing, Associate Professor (part-time, visiting), 7/1/06 through 6/30/07.
- Purcell, Marguerite, College of Medicine, Associate Professor (part-time), Diagnostic Radiology, 7/1/06 through 6/30/07.
- Ralph, Donald E., College of Medicine, Clinical Professor, Psychiatry, and joint appointment as Clinical Professor, Psychology, College of Arts and Sciences, 7/1/06 through 6/30/07.
- Riley, Kathryn P., College of Public Health, Associate Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Associate Professor (part-time), Family and Community Medicine, College of Medicine, 7/1/06 through 6/30/07.
- Roland, Mark K., College of Arts and Sciences, Professor (without tenure), Aerospace Studies, 7/1/06 through 6/30/07.
- Saha, Siby P., College of Medicine, Clinical Professor, Surgery, 7/1/06 through 6/30/07.
- Sanders, Sheila P., College of Medicine, Clinical Associate Professor, Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Schell, Randall M., College of Medicine, Clinical Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Scutchfield, Scott B., College of Medicine, Associate Professor (part-time), Orthopaedic Surgery, 7/1/06 through 6/30/07.
- Selwitz, Ada S., College of Medicine, Associate Adjunct Professor, Behavioral Science, 7/1/06 through 6/30/07.
- Shaffer, William O., College of Medicine, Associate Professor* (without tenure), Orthopaedic Surgery, 7/1/06 through 5/31/07.
- Sikora, Frank J., College of Agriculture, Associate Adjunct Professor, Plant and Soil Sciences, 7/1/06 through 6/30/07.

*Special Title series

- Simmons, Guy H., College of Health Sciences, Professor (part-time), Clinical Sciences, and joint appointment as Professor (part-time), Diagnostic Radiology, College of Medicine, 7/1/06 through 6/30/07.
- Sloss, Robert R., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.
- Stewart, Daniel H., College of Medicine, Clinical Associate Professor, Surgery, 7/1/06 through 6/30/07.
- Tylkowski, Chester M., College of Medicine, Clinical Professor, Orthopaedic Surgery, 7/1/06 through 6/30/07.
- Vascello, Luis A., College of Medicine, Associate Professor (part-time), Anesthesiology, 7/1/06 through 6/30/07.
- Weaver, Diana J., College of Nursing, Associate Professor (part-time), 7/1/06 through 6/30/07.
- Wendroth, Ole O., College of Agriculture, Associate Professor (without tenure), Plant and Soil Sciences, 7/1/06 through 6/30/07.
- Wilson, Dianne, College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, and joint appointment as Clinical Assistant Professor, Surgery, 7/1/06 through 6/30/07.
- Wong, Isaac, College of Medicine, Associate Professor (without tenure), Molecular and Cellular Biochemistry, 7/1/06 through 6/30/07.
- Yoneda, Justine M., College of Medicine, Associate Professor (part-time), Radiation Medicine, 7/1/06 through 6/30/07.
- Yurek, David M., College of Medicine, Research Professor, Surgery, and joint appointment as Associate Research Professor, Anatomy and Neurobiology, 7/1/06 through 6/30/07.

E. PROMOTIONS

- Brill, Yolanda M., College of Medicine, from Clinical Assistant Professor, Pathology and Laboratory Medicine, to Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.
- deLeon, Jose, College of Medicine, from Associate Professor (with tenure), Psychiatry, and joint appointment as Associate Professor (without tenure), College of Pharmacy, to Professor (with tenure), Psychiatry, and joint appointment as Professor (without tenure), College of Pharmacy, effective 7/1/06.
- Landers, Cheri D., College of Medicine, from Clinical Assistant Professor, Pediatrics, to Clinical Associate Professor, Pediatrics, 7/1/06 through 6/30/07.
- Myers, Melvin L., College of Public Health, from Assistant Professor (voluntary), Preventive Medicine and Environmental Health, to Associate Professor (voluntary), Preventive Medicine and Environmental Health, 1/1/06 through 6/30/06.

F. CHANGES

Goldstein, Steven J., College of Medicine, from Associate Professor (part-time), Diagnostic Radiology, to Clinical Associate Professor, Diagnostic Radiology, 1/1/06 through 6/30/06.

G. TERMINAL REAPPOINTMENTS

Haydon, Richard C., College of Medicine, Associate Professor*, Surgery, 7/1/06 through 6/30/07.

Witzke, Donald B., College of Medicine, Associate Research Professor, Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.

H. RETIREMENTS

Coleman, Roosevelt, College of Agriculture, Program Assistant, Family and Consumer Science, after 22 years of consecutive service, under AR II-1.6-1, Section III.B, effective 3/31/06.

Dathorne, Oscar R., College of Arts and Sciences, Professor (with tenure), English, after 18 years and 10 months of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/06.

Wheeler, Mary, College of Business and Economics, Administrative Support Associate I, Business and Economics Administration, after 20 years and 5 months of consecutive service, under AR II-1.6-1, Section III.B, effective 3/31/06.

I. EARLY RETIREMENTS

Cissell, Judy, College of Agriculture, Extension County Clerk, Cooperative Extension Service, after 24 years and 9 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/1/06.

Cothran, Ernest G., College of Agriculture, Research Professor, Veterinary Science, after 19 years and 9 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 4/17/06.

Lawson, Mary, College of Medicine, Patient Relations Assistant I, Surgery, after 24 years and 8 months of consecutive service, and a total of 25 years and 1 month of service, under AR II-1.6-1, Section III.A.2, effective 5/31/06.

Lutz, Deedie, College of Health Sciences, Student Affairs Officer II, Allied Health, after 23 years and 4 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 5/19/06.

McIntyre, Frances V., College of Medicine, Associate Director of Administration, Kentucky Clinic, after 29 years and 8 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 2/28/06.

Simmons, Phyllis, College of Agriculture, County Extension Agent, Cooperative Extension Service, after 16 years and 3 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/27/06.

*Special Title series

Wilk, Jeanette, College of Medicine, Administrative Support Associate I, Surgery, after 16 years and 6 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 5/31/06.

J. POST-RETIREMENT APPOINTMENTS

Atkinson, Gerald, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 3/1/06 through 2/28/07.

Aug, Robert G., College of Medicine, Professor (part-time), Psychiatry, 7/1/06 through 6/30/07.

Bitzer, Morris J., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 7/1/06 through 6/30/07.

Carter, Michael, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 7/6/06 through 7/5/07.

Clawson, D. K., College of Medicine, Professor (part-time), Orthopaedic Surgery, 7/1/06 through 6/30/07.

Cole, Henry, College of Public Health, Professor (part-time), Preventive Medicine and Environmental Health, 7/1/06 through 6/30/07.

Dickson, Larry G., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.

Friedell, Gilbert H., College of Medicine, Professor (part-time), Behavioral Science, 7/1/06 through 6/30/07.

Goodman, Norman L., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.

Kraus, Robert F., College of Medicine, Professor (part-time), Psychiatry, 7/1/06 through 6/30/07.

Lacefield, Garry D., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 8/16/06 through 8/15/07.

Lawson, James D., College of Agriculture, Assistant Director (part-time), Agricultural Experiment Station for Legal Affairs, 4/1/06 through 3/31/07.

Lester, Robert L., College of Medicine, Professor (part-time), Molecular and Cellular Biochemistry, 7/1/06 through 6/30/07.

Lieber, Arthur, College of Medicine, Professor (part-time), Diagnostic Radiology, 7/1/06 through 6/30/07.

Long, Joy, College of Agriculture, Administrative Staff Officer (part-time) Agriculture Administration, 7/1/06 through 6/30/07.

Neill, John R., College of Medicine, Associate Professor (part-time), Psychiatry, 7/1/06 through 6/30/07.

Owens, Eddie L., College of Medicine, Professor (part-time), Anesthesiology, 7/1/06 through 6/30/07.

Piercy, Larry, College of Agriculture, Extension Specialist (part-time), Biosystems and Agricultural Engineering, 3/6/06 through 3/5/07.

Powell, Andrew J., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 7/1/06 through 6/30/07.

Sims, Jackie, College of Medicine, Clinical Research Coordinator (part-time), Radiation Medicine, 7/1/06 through 6/30/07.

Sloan, Jewell W., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/06 through 6/30/07.

Stevens, David B., College of Medicine, Professor (part-time), Orthopaedic Surgery, 7/1/06 through 6/30/07.

Stiene-Martin, Elizabeth A., College of Health Sciences, Professor (part-time), Clinical Sciences, 7/1/06 through 6/30/07.

Taylor, Norman L., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 7/1/06 through 6/30/07.

Tietz, Norbert W., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.

K. PHASED RETIREMENT APPOINTMENTS

Fugate, Joseph, College of Arts and Sciences, Professor (with tenure), Mathematics, 7/1/06 through 6/30/11.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Agouridis, Carmen T., College of Agriculture, Assistant Research Professor, Biosystems and Agricultural Engineering, 3/1/06 through 6/30/06.

Barry, Michael P., College of Design, Instructor (part-time), Architecture, 1/1/06 through 6/30/06.

Bennett, Ashley J., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 2/1/06 through 6/30/11.

Blackstone, Jack C., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 3/1/06 through 6/30/11.

Bridges, Phillip J., College of Health Sciences, Assistant Research Professor, Clinical Sciences, 1/2/06 through 6/30/06.

Broderson, Alvin B., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/06 through 5/15/06.

Brown, Laura, College of Health Sciences, Instructor (voluntary), Clinical Sciences, 2/1/06 through 6/30/11.

Buck, Eric M., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/06 through 5/15/06.

Burke, William F., College of Arts and Sciences, Adjunct Instructor, Biology, 1/1/06 through 5/15/06.

Butler, Carina L., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/06 through 6/30/07.

Cai, Yuguang, College of Arts and Sciences, Assistant Professor, Chemistry, 8/16/06 through 6/30/08.

Carlos, Alicia T., College of Medicine, Assistant Professor (voluntary), Psychiatry, 4/1/06 through 6/30/11.

Church, William D., College of Design, Instructor (part-time), Interior Design, 8/16/05 through 6/30/06.

Clancy, Karen F., College of Public Health, Adjunct Instructor, Health Services Management, 1/1/06 through 6/30/06.

Cox, John J., College of Agriculture, Assistant Adjunct Professor, Forestry, 3/14/06 through 6/30/06.

de Leon, Roberto C., College of Design, Instructor (temporary), Architecture, 1/1/06 through 6/30/06.

Dwyer, Dreux P., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 2/1/06 through 6/30/11.

Embry, Julia, College of Education, Adjunct Instructor, Curriculum and Instruction, 1/1/06 through 6/30/06.

Franklin, Joachim G., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 2/1/06 through 6/30/11.

German, Aaron J., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/06 through 5/15/06.

Gillespie, Annie, College of Social Work, Instructor (part-time), 1/1/06 through 5/15/06.

Griffin, Eric P., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 3/1/06 through 6/30/11.

Gustashaw, William E., College of Education, Assistant Professor*, Special Education and Rehabilitation Counseling, 8/15/06 through 6/30/07.

Hayne, Marta S., College of Medicine, Assistant Professor (voluntary), Radiation Medicine, 3/1/06 through 6/30/11.

Hoard, Jerome, College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 9/1/05 through 6/30/11.

House, Becky G., College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 2/1/06 through 6/30/11.

Jones, Charles R., College of Medicine, Instructor (voluntary), Internal Medicine, 12/1/05 through 6/30/11.

Kelley, Jason M., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures and Cultures, 1/1/06 through 5/15/06.

Lancaster, Pamela S., College of Arts and Sciences, Instructor (part-time), Statistics, 1/1/06 through 5/15/06.

Layne, Keith R., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 3/1/06 through 6/30/11.

Lee, Teck-Ghee, College of Arts and Sciences, Assistant Research Professor, Physics and Astronomy, 2/1/06 through 1/31/07.

Liu, Jun, College of Medicine, Assistant Professor, Pediatrics, 5/1/06 through 6/30/06.

McIntyre, William J., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 1/1/06 through 6/30/11.

McKee, Minnie C., College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 6/30/06.

Melahn, William L., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 2/1/06 through 6/30/06.

Moore, Andrea B., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 3/1/06 through 6/30/11.

Osborne, Jan C., College of Medicine, Assistant Adjunct Professor, Psychiatry, 3/1/06 through 6/30/06.

*Special Title series

Parkin, Sean, College of Arts and Sciences, Assistant Adjunct Professor, Chemistry, 7/1/06 through 6/30/08.

Peavler, Robin G., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 2/1/06 through 6/30/11.

Rentfrow, Gregg K., College of Agriculture, Assistant Extension Professor, Animal and Food Science, 1/30/06 through 6/30/06.

Robbins, Gregory L., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 4/1/06 through 6/30/12.

Scaggs, Deirdre A., Libraries, Adjunct Instructor, Art, 1/11/06 through 5/5/06.

Schmitt, Catharine A., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 3/1/06 through 6/30/11.

Schuler, Jill, College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 2/1/06 through 6/30/11.

Singh, Indrapal N., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 4/1/06 through 6/30/06.

Smith, Maria E., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 3/1/06 through 6/30/11.

Thomas, Andrea M., College of Medicine, Assistant Professor (voluntary), Psychiatry, 3/1/06 through 6/30/11.

Tucker, Hugh M., College of Medicine, Assistant Research Professor, Pediatrics, 6/1/06 through 6/30/06.

Wellman, Stephen M., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/06 through 5/15/06.

Winchester, Kimberly S., College of Medicine, Assistant Professor (voluntary), Anesthesiology, 7/1/06 through 6/30/07.

B. ADMINISTRATIVE APPOINTMENTS

Centimole, Zohn, College of Medicine, Nurse Anesthetist, Anesthesiology, effective 2/19/06.

Coy, Beth, College of Medicine, Nurse Practitioner, Neurology, effective 3/1/06.

Grap, Kate, College of Nursing, Nurse Practitioner, effective 3/6/06.

Mingst, Karen, Graduate School, Professor (with tenure), Patterson School of Diplomacy and International Commerce, and joint appointment as Professor (without tenure), Political Science, College of Arts and Sciences, appointed Acting Director, Patterson School of Diplomacy and International Commerce, 7/1/05 through 6/30/06.

Shackelford, Deborah, College of Medicine, Nurse Practitioner, Neurology, effective 3/1/06.

Taylor, Virginia, College of Nursing, Nurse Practitioner, effective 3/6/06.

C. REAPPOINTMENTS

Absher, Kimberly J., College of Medicine, Assistant Professor (part-time), Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.

- Allen, Timothy S., College of Medicine, Assistant Professor*, Psychiatry, 7/1/06 through 6/30/07.
- Atallah, Joseph N., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Aziz, Khadija, College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/06 through 6/30/07.
- Bailey, William A., College of Agriculture, Assistant Extension Professor, Plant and Soil Sciences, 7/1/06 through 6/30/07.
- Ballard-Croft, Cherry, College of Medicine, Assistant Research Professor, Surgery, 7/1/06 through 6/30/07.
- Barney, Robert J., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/06 through 6/30/07.
- Bashinsky, Alice L., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Bender, John D., College of Fine Arts, Assistant Professor*, Music, 7/1/06 through 6/30/07.
- Birdwell, John C., College of Fine Arts, Assistant Professor*, Music, 7/1/06 through 6/30/07.
- Blackburn, Peter J., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Blalock, Eric M., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/06 through 6/30/07.
- Bohannon, Brent M., College of Design, Instructor (part-time), Architecture, 1/1/06 through 6/30/06.
- Boland, Michael, College of Medicine, Assistant Professor*, Orthopaedic Surgery, 7/1/06 through 6/30/07.
- Bouvier, Jeanne R., College of Nursing, Adjunct Instructor, 7/1/06 through 6/30/07.
- Bowers, Rebecca C., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/06 through 6/30/07.
- Boyles, Paul J., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/06 through 5/15/06.
- Bradley, Ann L., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/06 through 6/30/07.
- Branscum, Adam J., College of Public Health, Assistant Professor, Biostatistics, and joint appointment as Assistant Professor, Statistics, College of Arts and Sciences, 7/1/06 through 6/30/07.
- Brennan, Kimberly C., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/06 through 6/30/07.
- Broady, Christel, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures and Cultures, 1/1/06 through 5/15/06.
- Buckley, Sean, College of Medicine, Assistant Adjunct Professor, Psychiatry, 7/1/06 through 6/30/07.
- Butler, Craig D., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/06 through 6/30/07.
- Butler, Karen M., College of Nursing, Lecturer, 7/1/06 through 6/30/07.
- Caldwell, Glyn G., College of Public Health, Assistant Professor (part-time), Epidemiology, 7/1/06 through 6/30/07.

*Special Title series

- Campbell, Kim K., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/06 through 6/30/07.
- Capilouto, Gilson J., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/06 through 6/30/07.
- Capoor, Seema, College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Carlyon, Jason A., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/06 through 6/30/07.
- Carvalho, Cletus S., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/06 through 6/30/07.
- Cassidy, Ryan C., College of Medicine, Clinical Instructor, Orthopaedic Surgery, 7/1/06 through 6/30/07.
- Caudill, Cliff M., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Charnigo, Richard, College of Public Health, Assistant Professor, Biostatistics, and joint appointment as Assistant Professor, Statistics, College of Arts and Sciences, 7/1/06 through 6/30/07.
- Cheeseman, Melissa M., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/06 through 6/30/07.
- Chi, Young-In, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/06 through 6/30/07.
- Ciporkin, George P., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/06 through 6/30/07.
- Clodfelter, Timothy M., College of Fine Arts, Assistant Professor*, Music, 7/1/06 through 6/30/07.
- Cohen, Darryl L., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/06 through 5/15/06.
- Corales, Enedino R., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/06 through 6/30/07.
- Corman, Elizabeth B., College of Social Work, Clinical Assistant Professor, 1/1/06 through 6/30/06.
- Cowley, Jennifer B., College of Nursing, Lecturer, 7/1/06 through 6/30/07.
- Crandall, James D., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Craven, Rolf J., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/06 through 6/30/07.
- Crutcher, Michael, College of Arts and Sciences, Assistant Professor, Geography, 1/1/06 through 12/31/07.
- Crutchfield, Barbara K., College of Medicine, Assistant Professor (part-time), Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- D'Orazio, Sarah E., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/06 through 6/30/07.
- Dailey, Raleigh K., College of Fine Arts, Lecturer, Music, 7/1/06 through 6/30/07.
- Daniels, Judith A., College of Nursing, Clinical Assistant Professor, 7/1/06 through 6/30/07.

*Special Title series

- Danner, Deborah D., College of Public Health, Assistant Research Professor, Preventive Medicine and Environmental Health, and joint appointment as Assistant Research Professor, Family and Community Medicine, College of Medicine, 7/1/06 through 6/30/07.
- Dennis, Maren C., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/06 through 6/30/07.
- Dennis, Marian D., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/06 through 6/30/07.
- Desai, Dharmin D., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/06 through 6/30/07.
- Dinkins, Randy D., College of Agriculture, Assistant Adjunct Professor, Plant and Soil Sciences, 7/1/06 through 6/30/07.
- Dishop, Megan K., College of Medicine, Assistant Professor (part-time, visiting), Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.
- Doherty, Fiona M., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/06 through 6/30/07.
- Dorfling, Johannes, College of Medicine, Clinical Instructor, Anesthesiology, 7/1/06 through 6/30/07.
- Duperret, Donald, College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/06 through 6/30/07.
- Fannon, Michael W., College of Medicine, Assistant Professor, Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Fernander, Anita F., College of Medicine, Assistant Professor, Behavioral Science, 7/1/06 through 6/30/07.
- Ferraris, Suellen P., College of Medicine, Assistant Professor (part-time), Surgery, 7/1/06 through 6/30/07.
- Finlin, Brian S., College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 7/1/06 through 6/30/07.
- Fisk-Owais, Carol E., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/06 through 6/30/07.
- Ghansah, Joseph N., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Given, Curtis A., College of Medicine, Assistant Professor*, Diagnostic Radiology, and joint appointment as Assistant Professor*, Surgery, 7/1/06 through 6/30/07.
- Glaser, Paul E., College of Medicine, Assistant Professor, Psychiatry, and joint appointments as Assistant Professor, Anatomy and Neurobiology and Assistant Professor, Pediatrics, 7/1/06 through 6/30/07.
- Greenlee, Brian A., College of Medicine, Assistant Professor*, Psychiatry, 7/1/06 through 6/30/07.
- Grider, Jay S., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/06 through 6/30/07.
- Grimes, William R., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/06 through 6/30/07.
- Gu, Liya, College of Medicine, Assistant Professor, Graduate Center for Toxicology, and joint appointment as Assistant Professor, Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.

*Special Title series

Gurwell, Julie A., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/06 through 6/30/07.

Hale, Frank, College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/06 through 5/15/06.

Hamm, Virginia M., College of Medicine, Assistant Adjunct Professor, Behavioral Science, 7/1/06 through 6/30/07.

Hardesty, Peggy J., College of Nursing, Adjunct Instructor, 7/1/06 through 6/30/07.

Hardin-Pierce, Melanie G., College of Nursing, Clinical Assistant Professor, 7/1/06 through 6/30/07.

Harley, John P., College of Nursing, Assistant Professor (part-time), 7/1/06 through 6/30/07.

Hashem, Abul, College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/06 through 6/30/07.

Hassan, Zaki U., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/06 through 6/30/07.

Havens, Jennifer R., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/06 through 6/30/07.

Hazle, Charles, College of Health Sciences, Assistant Professor*, Rehabilitation Sciences, 7/1/06 through 6/30/07.

Hester, Molly Y., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/06 through 6/30/07.

Hightower, Edward, College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/06 through 6/30/07.

Holbrook, Paul E., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/06 through 5/15/06.

Hollins, Bettye M., College of Health Sciences, Assistant Professor, Clinical Sciences, 7/1/06 through 6/30/07.

Huhn, Jeniffer L., College of Medicine, Assistant Professor*, Radiation Medicine, 7/1/06 through 6/30/07.

Huhn, Thomas M., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/06 through 6/30/07.

Iocono, Joseph A., College of Medicine, Assistant Professor*, Surgery, and joint appointment as Assistant Professor*, Pediatrics, 7/1/06 through 6/30/07.

Irwin, Leland J., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/06 through 6/30/07.

Jackson, Thad R., College of Medicine, Assistant Professor*, Surgery, 7/1/06 through 6/30/07.

Jennings, Connie W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/06 through 6/30/07.

Jensen, Lynne A., College of Nursing, Clinical Assistant Professor, 7/1/06 through 6/30/07.

Jeon, Hoonbae, College of Medicine, Assistant Professor*, Surgery, 7/1/06 through 6/30/07.

Jiang, Yang, College of Medicine, Assistant Professor, Behavioral Science, 7/1/06 through 6/30/07.

Johnson, Ellis L., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/06 through 6/30/07.

*Special Title series

Johnson, Steven D., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/06 through 6/30/07.

Jones, Larry D., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/06 through 6/30/07.

Joshi, Preeti R., College of Agriculture, Lecturer, Merchandising, Apparel & Textiles, 7/1/06 through 6/30/07.

Kagan, Isabelle A., College of Agriculture, Assistant Adjunct Professor, Plant and Soil Sciences, 7/1/06 through 6/30/07.

Keller, Dorothy J., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/06 through 6/30/07.

Kelly, Robert, College of Design, Instructor (part-time), Architecture, 1/1/06 through 6/30/06.

Kesler, Melissa V., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.

Kleinert, Jane O., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/06 through 6/30/07.

Klimkina, Oksana, College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/06 through 6/30/07.

Kloha, Nancy R., College of Nursing, Clinical Instructor, 7/1/06 through 6/30/07.

Ko, Chemyong, College of Health Sciences, Assistant Professor, Clinical Sciences, 7/1/06 through 6/30/07.

Kraner, Susan D., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/06 through 11/30/06.

Kremer, Theresa, College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/06 through 6/30/07.

Lasher, Steven C., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/06 through 6/30/07.

Layton, Rebecca L., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/06 through 6/30/07.

Lee, Chad D., College of Agriculture, Assistant Extension Professor, Plant and Soil Sciences, 7/1/06 through 6/30/07.

Lee, Kiyoungh, College of Public Health, Assistant Professor, Preventive Medicine and Environmental Health, 7/1/06 through 6/30/07.

Lile, Joshua A., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/06 through 6/30/07.

Linville, Linda H., College of Medicine, Assistant Adjunct Professor, Behavioral Science, 7/1/06 through 6/30/07.

Liu, Jun, College of Medicine, Assistant Professor, Pediatrics, 7/1/06 through 6/30/07.

Lofwall, Michelle R., College of Medicine, Assistant Professor, Psychiatry, and joint appointment as Assistant Professor, Behavioral Science, 7/1/06 through 6/30/07.

Lommel, Karen, College of Medicine, Assistant Professor*, Psychiatry, and joint appointment as Assistant Professor*, Pediatrics, 7/1/06 through 6/30/07.

Lowry, Regina C., College of Nursing, Lecturer, 7/1/06 through 6/30/07.

Madaleno, James A., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/06 through 6/30/07.

*Special Title series

- Massa, F. Christopher, College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Maybody, Majid, College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/06 through 6/30/07.
- McCoun, Kenneth T., College of Medicine, Clinical Instructor, Anesthesiology, 7/1/06 through 6/30/07.
- McEwen, Melanie L., College of Medicine, Assistant Research Professor, Physical Medicine and Rehabilitation, and joint appointment as Assistant Research Professor, Anatomy and Neurobiology, 7/1/06 through 6/30/07.
- McIntire, Andy, College of Arts and Sciences, Instructor (part-time), History, 1/1/06 through 5/15/06.
- McLarney, John T., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/06 through 6/30/07.
- McVay, Vicki S., College of Fine Arts, Lecturer, Music, 7/1/06 through 6/30/07.
- Meek, Mary F., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/06 through 6/30/07.
- Mendiondo, Marta S., College of Public Health, Assistant Professor, Biostatistics, 7/1/06 through 6/30/07.
- Milan, Primo, College of Medicine, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/06 through 6/30/07.
- Milbrandt, Todd A., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/06 through 6/30/07.
- Millward, Peter A., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.
- Mimms, William R., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/06 through 6/30/07.
- Mohan, Royce, College of Medicine, Assistant Professor, Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Moore, Angela R., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/06 through 6/30/07.
- Murphy, Michael P., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/06 through 6/30/07.
- Murrell, Diane M., College of Nursing, Lecturer, 7/1/06 through 6/30/07.
- Napier, Sharon M., College of Medicine, Assistant Professor (part-time), Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Nardolillo, John J., College of Fine Arts, Assistant Professor*, Music, 7/1/06 through 6/30/07.
- Nelson, Peter T., College of Medicine, Assistant Professor, Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.
- Newman, Ronald L., College of Medicine, Clinical Assistant Professor, Anesthesiology, and joint appointments as Clinical Assistant Professor, Physical Medicine and Rehabilitation and Clinical Assistant Professor, Clinical Sciences, College of Health Sciences, 7/1/06 through 6/30/07.
- Nicholson, Valerie J., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/06 through 6/30/07.

*Special Title series

- Norris, Christopher M., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/06 through 6/30/07.
- O'Donnell, Helen M., College of Medicine, Assistant Professor (part-time), Physical Medicine and Rehabilitation, 7/1/06 through 6/30/07.
- O'Neill, Amy M., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/06 through 6/30/07.
- Oakley, Oliver R., College of Health Sciences, Assistant Professor, Clinical Sciences, 7/1/06 through 6/30/07.
- Olson, Anne D., College of Health Sciences, Assistant Professor*, Rehabilitation Sciences, 7/1/06 through 6/30/07.
- Osborne, Jan C., College of Medicine, Assistant Adjunct Professor, Psychiatry, 7/1/06 through 6/30/07.
- Oser, Carrie B., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/06 through 6/30/07.
- Ossege, Julianne, College of Nursing, Assistant Professor (part-time), 7/1/06 through 6/30/07.
- Painter, Travis A., College of Health Sciences, Lecturer, Clinical Sciences, 7/1/06 through 6/30/07.
- Pais, Vernon M., College of Medicine, Assistant Professor*, Surgery, 7/1/06 through 6/30/07.
- Pena, Elpidio, College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, and joint appointment as Assistant Professor*, Internal Medicine, 7/1/06 through 6/30/07.
- Pierce, Andrew J., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, and joint appointment as Assistant Professor, Graduate Center for Toxicology, 7/1/06 through 6/30/07.
- Plattner, Rina, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/06 through 6/30/07.
- Plous, Oren Z., College of Medicine, Instructor (part-time), Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Polisetty, Rayudu B., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/06 through 6/30/07.
- Pollock, Richard A., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/06 through 6/30/07.
- Powdrill, Samuel G., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/06 through 6/30/07.
- Primm, Daniel D., College of Medicine, Assistant Professor (part-time), Orthopaedic Surgery, 7/1/06 through 6/30/07.
- Pulliam, Joseph F., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.
- Pulmano, Calixto M., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, and joint appointment as Clinical Assistant Professor, Neurology, 7/1/06 through 6/30/07.
- Quandt, M. Denise, College of Medicine, Clinical Instructor, Behavioral Science, 7/1/06 through 6/30/07.

*Special Title series

- Raju, Padma I., College of Medicine, Assistant Professor (part-time), Radiation Medicine, 7/1/06 through 6/30/07.
- Ramaiah, Dinesh, College of Medicine, Clinical Instructor, Anesthesiology, 7/1/06 through 6/30/07.
- Rebel, Annette, College of Medicine, Instructor (part-time), Anesthesiology, 7/1/06 through 6/30/07.
- Reddy, Arundathi M., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Reese, Daniel P., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Rinker, Brian D., College of Medicine, Assistant Professor*, Surgery, 7/1/06 through 6/30/07.
- Rivard, Arthur K., College of Medicine, Assistant Professor (part-time), Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Rock, Troy C., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/06 through 6/30/07.
- Rodgers, Toni G., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/06 through 6/30/07.
- Rogozinski, Zbigniew S., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/06 through 6/30/07.
- Rosdatter, Paula E., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/06 through 5/15/06.
- Rose, Gregory L., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Rosenblum, William J., College of Medicine, Assistant Professor*, Orthopaedic Surgery, 7/1/06 through 6/30/07.
- Ryou, Chongsuk, College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/06 through 6/30/07.
- Salles, Sara S., College of Medicine, Assistant Professor*, Physical Medicine and Rehabilitation, 7/1/06 through 6/30/07.
- Schott, Jennifer C., College of Medicine, Assistant Adjunct Professor, Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.
- Schrepferman, Christopher G., College of Medicine, Assistant Professor (part-time), Surgery, 7/1/06 through 6/30/07.
- Schwab, Gregory J., College of Agriculture, Assistant Extension Professor, Plant and Soil Sciences, 7/1/06 through 6/30/07.
- Schwarze, Steven R., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/06 through 6/30/07.
- Scott, Leslie K., College of Nursing, Clinical Assistant Professor, 7/1/06 through 6/30/07.
- Sedlacek, John D., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/06 through 6/30/07.
- Selby, Jeffrey B., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/06 through 6/30/07.
- Sellitti, Marguerite A., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/06 through 6/30/07.

*Special Title series

- Shatford, Russell A., College of Medicine, Assistant Professor*, Orthopaedic Surgery, 7/1/06 through 6/30/07.
- Shaw, Michael, College of Arts and Sciences, Instructor (part-time), Mathematics, 1/1/06 through 5/15/06.
- Shimojo, Masahito, College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 7/1/06 through 6/30/07.
- Shinn, Jennifer B., College of Medicine, Assistant Professor*, Surgery, 7/1/06 through 6/30/07.
- Short, Gwendolyn S., College of Nursing, Clinical Instructor, 7/1/06 through 6/30/07.
- Shulman, Robert S., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/06 through 6/30/07.
- Siminszky, Balazs, College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/06 through 6/30/07.
- Simon, Robert E., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/06 through 6/30/07.
- Singh, Indrapal N., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 7/1/06 through 6/30/07.
- Smalle, Jan A., College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/06 through 6/30/07.
- Smith, Michael D., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/06 through 6/30/07.
- Spengler, Susan E., College of Public Health, Assistant Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Assistant Professor (part-time), Family and Community Medicine, College of Medicine, 7/1/06 through 6/30/07.
- Staton Tindall, Michele, College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/06 through 6/30/07.
- Stava, Michael W., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/06 through 6/30/07.
- Stefaniak, Karen A., College of Nursing, Adjunct Instructor, 7/1/06 through 6/30/07.
- Steinman, Margaret N., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 7/1/06 through 6/30/07.
- Stevens, Randall S., College of Design, Instructor (part-time), Architecture, 1/1/06 through 6/30/06.
- Steyn, Pieter G., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/06 through 6/30/07.
- Stratton, Terry D., College of Medicine, Assistant Professor*, Behavioral Science, 7/1/06 through 6/30/07.
- Swartz, Karin R., College of Medicine, Assistant Professor*, Surgery, 7/1/06 through 6/30/07.
- Swope, Marian, College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/06 through 6/30/07.
- Talwalkar, Vishwas R., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/06 through 6/30/07.

*Special Title series

- Tang, Guiliang, College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/06 through 6/30/07.
- Thibault, Olivier H., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/06 through 6/30/07.
- Thomas, Eric R., College of Medicine, Instructor (part-time), Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Thompson, Angelia F., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/06 through 6/30/07.
- Thompson, Jan M., College of Medicine, Instructor (part-time), Microbiology, Immunology and Molecular Genetics, 7/1/06 through 6/30/07.
- Tisdall, Ouida F., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/06 through 6/30/07.
- Troffkin, Neil A., College of Medicine, Assistant Professor*, Surgery, and joint appointment as Assistant Professor*, Diagnostic Radiology, 7/1/06 through 6/30/07.
- Tucker, Hugh M., College of Medicine, Assistant Research Professor, Pediatrics, 7/1/06 through 6/30/07.
- Van Doren, Saratha K., College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/06 through 6/30/07.
- van Wyk, Charl S., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/06 through 6/30/07.
- Vaske, Raymond J., College of Design, Instructor (part-time), Architecture, 1/1/06 through 6/30/06.
- Walker, James R., College of Medicine, Assistant Research Professor, Psychiatry, and joint appointments as Assistant Research Professor, Behavioral Science and Assistant Research Professor, College of Social Work, 7/1/06 through 6/30/07.
- Walmsley, Lee A., College of Nursing, Lecturer, 7/1/06 through 6/30/07.
- Weber, Donna R., College of Medicine, Assistant Professor*, Molecular and Biomedical Pharmacology, 7/1/06 through 6/30/07.
- Webster, John M., College of Medicine, Assistant Professor, Behavioral Science, 7/1/06 through 6/30/07.
- Webster, Keith J., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/06 through 6/30/07.
- Webster, Thomas C., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/06 through 6/30/07.
- Wesley, Scarlett C., College of Agriculture, Assistant Professor, Merchandising, Apparel & Textiles, 7/1/06 through 6/30/07.
- Williams, Brian A., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/06 through 6/30/07.
- Wong, Conrad J., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/06 through 6/30/07.
- Wright, Scott J., College of Fine Arts, Assistant Professor*, Music, 7/1/06 through 6/30/07.
- Xu, Dongmei, College of Agriculture, Assistant Adjunct Professor, Plant and Soil Sciences, 7/1/06 through 6/30/07.
- Yang, Hsin-Sheng, College of Medicine, Assistant Professor, Graduate Center for Toxicology, 7/1/06 through 6/30/07.

*Special Title series

Young, William F., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/06 through 6/30/07.

Youse, Kathleen, College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/06 through 6/30/07.

Yu, Carol B., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures and Cultures, 1/1/06 through 5/15/06.

Zhao, Hong-Bo, College of Medicine, Assistant Professor, Surgery, 7/1/06 through 6/30/07.

Zhu, Haining, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, and joint appointment as Assistant Professor, Graduate Center for Toxicology, 7/1/06 through 6/30/07.

Zhu, Hongyan, College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/06 through 6/30/07.

D. PROMOTIONS WITHOUT TENURE

Iyengar, Deepak, College of Business and Economics, from Instructor, Management, to Assistant Professor, Management, 12/5/05 through 6/30/06.

E. CHANGES

Clements, Steve, College of Education, from Instructor (part-time), Educational Policy Studies and Evaluation, to Lecturer, Educational Policy Studies and Evaluation, 2/15/06 through 6/30/06.

Davis, Renee M., College of Medicine, from Clinical Instructor, Family and Community Medicine, to Instructor (part-time), Family and Community Medicine, 3/1/06 through 6/30/06.

Sheppard-Jones, Kathleen A., College of Education, from Instructor (part-time), Educational and Counseling Psychology, to Assistant Adjunct Professor, Special Education and Rehabilitation Counseling, 1/1/06 through 6/30/06.

F. TERMINAL REAPPOINTMENTS

Geraghty, Robert J., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/06 through 6/30/07.

McCann, Richard O., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/06 through 6/30/07.

Moncman, Carole L., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/06 through 6/30/07.

Rolf, Cristin M., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.

Rosenthal, M. Sara, College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/06 through 6/30/07.

Wala, Elzbieta, College of Medicine, Assistant Research Professor, Anesthesiology, 7/1/06 through 6/30/07.

G. LEAVES OF ABSENCE

Beal, Rayma, College of Education, Associate Professor* (with tenure), Kinesiology and Health Promotion, Sabbatical leave at full salary, 8/16/06 through 12/31/06.

Francis, James, College of Arts and Sciences, Associate Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, Scholarly fellowship leave, 7/1/06 through 6/30/07.

Jaynes, Christopher, College of Engineering, Associate Professor (with tenure), Computer Science, Sabbatical leave at full salary, 7/1/06 through 12/31/06.

Marton, James, Graduate School, Assistant Professor, Martin School of Public Policy and Administration, Scholarly fellowship leave, 7/1/06 through 6/30/07.

Miller-Spillman, Kimberly A., College of Agriculture, Associate Professor (with tenure), Merchandising, Apparel and Textiles, Sabbatical leave at full salary, 7/1/06 through 12/31/06.

Nagel, Uwe, College of Arts and Sciences, Associate Professor (with tenure), Mathematics, Scholarly fellowship leave, 7/1/06 through 6/30/07.

Phillips, Jonathan, College of Arts and Sciences, Geography, to change from Sabbatical leave at full salary, 7/1/06 through 12/31/06, to Sabbatical leave at full salary, 7/1/07 through 12/31/07.

Williams, David W., College of Agriculture, Associate Professor (with tenure), Plant and Soil Sciences, Sabbatical leave at full salary, 11/13/06 through 5/11/07.

Yarbrough, Fay, College of Arts and Sciences, Assistant Professor, History, Scholarly fellowship leave, 7/1/06 through 6/30/07.

*Special Title series

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Manning, Donna, University Hospital, ICIS Project/Medical Center Information Services, Clinical Systems Coordinator/Pharmacist, effective 2/5/06.

II. ADMINISTRATIVE ACTION

A. CHANGES

Rogers, Angela, University Hospital, from Occupational Therapist, Therapeutic Services, to Rehabilitative Services Supervisor, Therapeutic Services, effective 3/5/06.
Spalding, Darlene, University Hospital, from Hospital Operations Administrator, Hospital Administration, to Patient Care Manager, Nursing Administration, effective 3/12/06.

*Special Title series

EXECUTIVE VICE PRESIDENT FOR RESEARCH

I. BOARD ACTION

A. RETIRMENTS

Coleman, Barbara, Administrative Coordinator II, Advanced Science and Technology Commercialization Center, after 43 years and 10 months of consecutive service, under AR II-1.6-1, Section III.B, effective 3/3/06.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Guo, Lifeng, Geologist IV, Kentucky Geological Survey, effective 3/1/06.
Viswanthan, Chandramouli, Scientist III, Kentucky Water Resources Research Institute, effective 4/1/06.

B. CHANGES

Rubel, Aurora, from Scientist II, Center for Applied Energy Research, to Scientist III, Center for Applied Energy Research, effective 3/1/06.
Taulbee, Darrell, from Scientist II to Scientist III, Center for Applied Energy Research, effective 3/1/06.

*Special Title series

Office of the President
April 25, 2006

Members, Board of Trustees:

PROPOSED REVISION TO THE GOVERNING REGULATIONS

Recommendation: that the proposed revision to Governing Regulation IX (GR-IX) Reviews of Educational Units and Their Chief Administrative Officers, of the University of Kentucky be given preliminary consideration for approval and adoption at the next regular meeting of the Board of Trustees on June 13, 2006.

Note: Proposed additions are underlined; proposed deletions are lined through.

Background: In 2001, the Commission on Colleges of the Southern Association of Colleges and Schools (SACS) approved their revised accreditation standards, *The Principles of Accreditation: Foundations for Quality Enhancement*. The importance of ongoing planning and evaluation activities are reflected in the revised *Principles* as follows:

Core Requirement 2.5 states: “The institution engages in ongoing, integrated, and institution-wide research-based planning and evaluation processes that incorporate a systematic review of programs and services that (a) results in continuing improvement, and (b) demonstrates that the institution is effectively accomplishing its mission. (p.15)

Comprehensive Standard 3.2.10 states: “The institution evaluates the effectiveness of its administrators, including the chief executive officer, on a periodic basis.” (p. 22)

Comprehensive Standard 3.3.1 states: “The institution identifies expected outcomes for its educational programs and its administrative and educational support services; assesses whether it achieves these outcomes; and provides evidence of improvement based on analysis of those results.” (p. 22)

GR-IX is being revised to align with changes to be made to the Administrative Regulations governing program review and review of chief administrative officers in order to ensure compliance with the SACS standards. The Governing Regulations currently focus on educational units and deans and department chairs as needing review. The proposed GR-IX revision will require program review for both educational and administrative units (which includes educational support units). It will also extend required reviews of deans and department chairs to include other chief administrative officers who are not addressed in the current version. The Administrative Regulations are being revised accordingly.

Action Taken: ☒ Approved ☐ Disapproved ☐ Other _____

PART IX

REVIEWS OF ~~EDUCATIONAL ORGANIZATIONAL~~ UNITS AND THEIR CHIEF ADMINISTRATIVE OFFICERS

1. Program Review

~~The work performance of each educational and administrative unit in the University* and of the unit's chief administrative officer shall be reviewed comprehensively at regular intervals by an ad hoc committee. The review periods shall normally be from five (5) to seven (7) years, except (1) for educational units where the review period shall depend upon the term of office of the chair, the reviews being made so that the results are available when decisions to appoint or reappoint chairs are to be made, and (2) for units headed by acting administrators, where the review period shall be one year.~~

Ad hoc review committees for educational units shall be formed principally from faculty personnel, shall normally include members internal and external to the unit being reviewed, and may include members external to the University. Review committees for administrative units shall be formed from affected constituencies, including faculty and staff employees, shall normally include members internal and external to the unit being reviewed, and may include members external to the University.

2. Review of Chief Administrative Officers

The performance of chief administrative officers shall be reviewed comprehensively at regular intervals. The reviews shall be designed to provide information to enhance leadership and unit effectiveness and to guide compensation and employment decisions.

Ad hoc review committees for chief administrative officers at the level of Provost, executive vice presidents, and deans shall be formed with representatives from affected constituencies and may include members external to the unit or university.

3. Policies and Procedures for Reviews

Policies and procedures for conducting program reviews and reviews of chief administrative officers, as established by the President after consultation with appropriate administrative and faculty groups, including the University Senate and Staff Senate, are described in the Administrative Regulations (AR II-1.0-6). Policies and procedures for conducting reviews of department chairs and school directors, as appropriate for the individual colleges, shall be established jointly by the dean and the college faculty.

A review of the work performance of any ~~educational unit~~ at other than the regular interval may be initiated by the chief administrative officer of the unit, by an administrative officer

responsible for the unit, or by the President. Ordinarily such a review shall also be initiated if requested by a majority of the faculty members of an educational unit, or the staff employees of an administrative unit. Such requests shall be submitted to unless the administrative officer to whom the unit reports, who shall provides a written explanation if the officer disapproves the request, justification for not initiating the review.

~~Procedures for appointment of review committees and for conduct of reviews, which have been established by the President after consultation with appropriate administrative and faculty groups including the University Senate Council, are described in the *Administrative Regulations* (AR II-1.0-6). Such review committees shall be formed principally from faculty personnel, shall normally include members internal and external to the unit being reviewed, and may include members external to the University.~~

*Includes departments, schools, graduate centers, colleges, interdisciplinary instructional programs, and multidisciplinary research centers and institutes.

PR 4

Office of the President
April 25, 2006

Members, Board of Trustees:

APPOINTMENT OF BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY BUSINESS PARTNERSHIP FOUNDATION, INC.

Recommendation: that approval be given to the appointment of Paul Chellgren and James Geisler to the Board of Directors of the University of Kentucky Business Partnership Foundation, Inc. for four-year terms beginning immediately and extending through the Spring 2010 Business Partnership Foundation Board meeting.

Background: The University of Kentucky Business Partnership Foundation, Inc., with the concurrence of the Dean of the Gatton College of Business and Economics, recommended the appointments to the Interim Provost. These appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation and Bylaws for the University of Kentucky Business Partnership Foundation, Inc. as approved by the Board of Trustees at its meeting on August 25, 1981.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 1

Office of the President
April 25, 2006

Members, Board of Trustees:

CANDIDATES FOR DEGREES
UNIVERSITY SYSTEM

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: Since Commencement exercises are held immediately following completion of examinations, it is not possible for the University Registrar to certify satisfactory completion of requirements prior to Commencement exercises for those candidates who complete their requirements in May. The candidates who completed their requirements in August 2005 and December 2005 were approved by the Board of Trustees at earlier meetings.

For details on degree candidate listings,
please contact

Jacquie Hager
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - May 2006

Graduate Degrees:		Undergraduate Degrees:			
Ph.D.	167			Engineering	
D.M.A.	4	Agriculture		BSBAE	8
D.N.P.	8	BSAG	2	BSCHE	23
Ed.D.	7	BSAB	25	BSCE	44
D.S.	2	BSAEC	54	BSCS	31
Ed.S.	9	BSAECL	35	BSEE	27
M.A.	77	BSAS	38	BSMEE	47
M.A.EDU.	37	BSFAM	2	BSMAE	7
M.A.IDM.	2	BSFCS	33	BSMIE	3
MARCH	7	BSFS	4	TOTAL	190
M.B.A.	53	BSFOR	6	Fine Arts	
M.C.E.	2	BSPSS	10	BA	62
M.E.	5	BSDIE	3	BFA	17
M.F.A.	3	BSHM	11	BM	11
M.H.A.	17	BSHN	17	BMME	8
M.H.P.	6	BSLA	14	TOTAL	
M.M.	12	BSMAT	20		98
M.P.A.	26	BSNRC	11	Health Sciences	
M.PH.	22	TOTAL	285	BHS	105
M.P.P.	6	Arts and Sciences		TOTAL	
M.R.C.	14	BA	586		105
M.S.	121	BS	214	Nursing	
M.S.ACC.	5	TOTAL		BSN	45
M.S.BE	4		800	TOTAL	
M.S.BAE.	3	Business and Economics			45
M.S.CD.	20	BBA	276	Social Work	
M.S.CE	15	BSA	76	BASW	32
M.S.CHE.	1	BSBE	20	TOTAL	
M.S.EDU.	29	TOTAL			32
M.S.E.E.	9		372	Graduate Degrees	
M.S.FAM.	2	Comm. & Information Studies			893
M.S.FOR.	7	BA	174	Professional Degrees	
M.S.IDM.	2	BS	72		376
M.S.L.S.	51	TOTAL		Undergraduate Degrees	
M.S.MSE.	5		246		2459
M.S.ME	12	Design		TOTAL	
M.S.MIN.	5	BARCH	50		3728
M.S.N.S.	3	BAID	29		
M.S.N.	35	TOTAL			
M.S.VE.	4		79		
M.S.W.	74	Education			
TOTAL	893	BAEDU.	194		
		BSEDU	13		
		TOTAL	207		
Professional Degrees:					
D.M.D.	49				
J.D.	135				
M.D.	93				
Pharm. D.	91				
DPH	8				
TOTAL	376				

AACR 2

Office of the President
April 25, 2006

Members, Board of Trustees:

CANDIDATES FOR DEGREES
BLUEGRASS COMMUNITY AND TECHNICAL COLLEGE

Recommendation: that the President be authorized to confer upon the individual whose name appears on the attached list the degree to which this individual is entitled upon certification by the Kentucky Community and Technical College System (KCTCS) Board and the University of Kentucky Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: On July 1, 2004, a Memorandum of Agreement among the University of Kentucky, the Kentucky Community and Technical College System, and Lexington Community College (LCC) pursuant to House Joint Resolution 214 was approved. The agreement states that LCC students who were or are officially enrolled on or before September 2004 in associate degree programs approved by the UK Board of Trustees and who complete the associate degree program on or before August 31, 2010, shall have their degrees conferred by the UK Board of Trustees.

Following the passage of that agreement, the Lexington Community College name has been changed to the Bluegrass Community and Technical College.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

KCTCS/UK Candidates Applying for Credentials October 25, 2005, through January 18, 2006

Bluegrass Community and Technical College (formerly Lexington Community College)

Associate in Arts – KCTCS/UK

Allen, Ronesha M	Humanities	Associate in Arts
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FCR 1

Office of the President
April 25, 2006

Members, Board of Trustees:

BELL ALCOHOL AND ADDICTIONS CHAIR GIFTS AND PLEDGE

Recommendation: that the Board of Trustees accept gifts of \$75,100 and a pledge of \$10,000 from multiple donors to be added to the existing Bell Alcohol and Addictions Chair in the College of Medicine and submit these gifts and pledges of \$85,100 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The Board of Trustees approved creation of the Bell Chair at its March 2006 meeting with gifts and pledges of \$639,073. The Bell Chair will enhance research and create new methodologies for teaching medical students and residents how to recognize and treat patients who have alcohol and other addictions-related medical conditions.

Action taken: ☒ Approved q Disapproved q Other _____

FCR 2

Office of the President
April 25, 2006

Members, Board of Trustees:

ROGER DISILVESTRO GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$47,500 and a pledge of \$2,500 from Roger DiSilvestro of Brentwood, Tennessee to create and endow the Arts and Sciences DiSilvestro Professorship in the College of Arts and Sciences and submit this gift and pledge totaling \$50,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Roger DiSilvestro graduated in 1972 with a BA in psychology. He served as CEO and Chairman of Athlon Sports, a sports-marketing firm in Nashville, until his retirement in 2005. As Chair of the Development Council for the UK College of Arts and Sciences Advisory Board, Mr. DiSilvestro chose to create this fund to enhance and reward the research efforts of professors within the College of Arts and Sciences.

Action taken: ☒ Approved q Disapproved q Other _____

FCR 3

Office of the President
April 25, 2006

Members, Board of Trustees:

WILLIAM STAMPS FARISH FUND GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$250,000 and a pledge of \$750,000 from The William Stamps Farish Fund of Houston, Texas to create and endow the William Stamps Farish Fund Chair in Plastic Surgery in the College of Medicine's Department of Surgery and submit these gifts and pledges of \$1 million for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: William Farish has been a long-time friend of the university. He has generously supported numerous programs on campus and has served on several boards, including the Equine Research Foundation and the Markey Cancer Foundation. Mr. Farish, former ambassador to Great Britain, is the owner of Lane's End Farm in Versailles, Kentucky.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 4

Office of the President
April 25, 2006

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE
E. PRESTON HICKS CHAIR FOR ORTHODONTICS AND ORAL HEALTH
RESEARCH

Recommendation: that the Board of Trustees accept gifts of \$57,085 and pledges of \$150,018 from multiple donors to add to the existing E. Preston Hicks Chair for Orthodontics and Oral Health Research in the College of Dentistry and submit these gifts and pledges of \$207,103 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Drs. Charles Pritchett and Robert Sims have assumed leadership roles in working with other College of Dentistry graduates who practice orthodontics to create this chair in honor of Dr. E. Preston Hicks, an outstanding practicing orthodontist who is a long time faculty member of the college. Income from the endowed chair will be used to enhance significant orthodontics research being conducted in the College of Dentistry.

Action taken: ☒ Approved q Disapproved q Other _____

FCR 5

Office of the President
April 25, 2006

Members, Board of Trustees:

ESTATE OF JOHN C. HUBBARD GIFT

Recommendation: that the Board of Trustees accept gifts totaling \$122,010 from the estate of John Castleman Hubbard, formerly of Orchard Park, New York, to create and endow the John C. Hubbard Memorial Internal Fund in the College of Arts and Sciences to benefit the Department of Chemistry and submit these gifts for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university funds.

Background: John Castleman Hubbard obtained his BS in chemistry from UK in 1944 and later received his medical degree from the University of Louisville. He was an associate professor of pathology at SUNY Buffalo for more than 30 years.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 6

Office of the President
April 25, 2006

Members, Board of Trustees:

DOROTHY NELMS GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$35,000 and a pledge of \$25,000 from Dorothy Nelms of Danville, Kentucky to create and endow the Sweeney-Nelms Professorship in Alzheimer's Disease Research in the Sanders-Brown Center on Aging and submit the gift and pledge of \$60,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Ms. Nelms established the Sweeney-Nelms Alzheimer's Disease Research Fund in 2002 with a gift of \$35,000 to honor her late friend, Mary Sweeney, who suffered from Alzheimer's disease. Ms. Nelms has made this pledge of \$25,000 to fund the professorship.

Action taken: ☒ Approved q Disapproved q Other _____

FCR 7

Office of the President
April 25, 2006

Members, Board of Trustees:

MEDICAL ALUMNI ASSOCIATION GIFTS AND PLEDGE

Recommendation: that the Board of Trustees accept gifts of \$125,120 from College of Medicine alumni and friends and a pledge of \$250,000 from an anonymous donor to add to the existing UK Medical Alumni Association Scholarship Endowment in the College of Medicine and submit these gifts and pledges of \$375,120 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Medical alumni and friends are making the gifts to provide fellowships for medical students. The Board of Trustees accepted gifts from medical alumni in April 2001 to create the UK Medical Alumni Association Scholarship Endowment. The state's Research Challenge Trust Fund has matched gifts totaling \$301,712 since that time. The College of Medicine is dedicating its phonathon and annual giving program to raise funds for this scholarship endowment.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 8

Office of the President
April 25, 2006

Members, Board of Trustees:

GENE AND DEBORAH GABBARD CHARITABLE REMAINDER UNITRUST
PLEDGE

Recommendation: that the Board of Trustees accept a pledge of 25 percent of the Gene and Deborah Gabbard Charitable Remainder Unitrust (approximately \$680,000 as of January 1, 2006) from David Scott Gabbard of Sharpsburg, Georgia and Susan Gabbard Sherman of Alpharetta, Georgia, to create and endow the Gabbard Endowment. The total amount will be shared equally (50/50) for endowed scholarships in the Colleges of Engineering and Arts and Sciences.

Background: David Gabbard and Susan Gabbard Sherman, children of Gene Gabbard, pursuant to the special power to appoint charitable beneficiaries, named the Colleges of Engineering and Arts and Sciences to receive a portion of the funds. Their father, Gene Gabbard, is a 1961 graduate of the College of Engineering in electrical engineering, and their uncle, Fletcher Gabbard, who received a BS in physics in 1951, is former chairman of the Department of Physics and Astronomy.

Action taken: ☒ Approved q Disapproved q Other _____

FCR 9

Office of the President
April 25, 2006

Members, Board of Trustees:

ESTATE OF EDITH H. HARMON GIFT

Recommendation: that the Board of Trustees accept a gift of \$232,822 from the estate of Edith H. Harmon and establish a quasi-endowment to support undergraduate scholarships in the College of Engineering.

Background: William T. Harmon, BSME 1937, who died on October 6, 2001, and Edith H. Harmon, who died on January 7, 2004, directed in their charitable remainder unitrust that the College of Engineering receive a portion of the trust for use as a scholarship fund for students from the state of Kentucky. The College of Engineering seeks to establish the William T. and Edith H. Harmon Quasi-Endowment that will support scholarships in perpetuity and to permanently recognize the Harmons for their generosity and thoughtfulness. The Harmons became UK Fellows in 1997.

Action taken: ☒ Approved q Disapproved q Other _____

FCR 10

Office of the President
April 25, 2006

Members, Board of Trustees:

ESTATE OF ANTHONY LEONARD BOADEN WYATT GIFT

Recommendation: that the Board of Trustees accept gifts totaling \$491,038 from the estate of Anthony Leonard Boaden Wyatt, formerly of Herefordshire, England, to support the Wallace Genetic Foundation Fund in the Department of Chemistry in the College of Arts and Sciences.

Background: Mr. Wyatt, a citizen of Great Britain, heard Dr. Boyd Haley, Chemistry faculty member, lecture on mercury toxicity and its possible link to autism. Mr. Wyatt did further reading on Dr. Boyd's research and spoke to him by phone. Upon his death, Mr. Wyatt left a portion of his estate to further the research on mercury toxicity.

Action taken: ☒ Approved q Disapproved q Other _____

FCR 11

Office of the President
April 25, 2006

Members, Board of Trustees:

RENAMING OF PROFESSORSHIP IN BEHAVIORAL SCIENCE AND ACCEPTANCE OF PLEDGE

Recommendation: that the Board of Trustees approve a request from the Department of Behavioral Science in the College of Medicine to rename the Professorship in Behavioral Science to the Dr. William R. Willard Professorship in Behavioral Science. Further, the department requests that the Board of Trustees accept a pledge of \$50,000 from the Kentucky Medical Services Foundation, Inc. to the Willard Professorship and submit this pledge of \$50,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: This professorship will honor Dr. William R. Willard, founding dean of the College of Medicine and the university's first Medical Center Vice President. Dr. Willard, who earned his medical degree and doctorate of public health from Yale University in 1934 and 1937, respectively, came to Kentucky from the State University of New York (SUNY) in 1956. Upon his retirement in 1972, he received the Association of American Medical Colleges' highest award, the prestigious Abraham Flexner Award, in recognition of "his extraordinary contributions to medical schools and to the medical education community as a whole."

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 12

Office of the President
April 25, 2006

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE NINE MONTHS ENDED
MARCH 31, 2006

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the nine months ended March 31, 2006.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Central Kentucky Management Services.

As of March 31, 2006, the University had realized income of \$1,383,787,000 representing 82 percent of the 2005-06 estimate of \$1,690,892,000. Expenditures and commitments total \$1,199,441,000 or 71 percent of the approved budget of \$1,690,892,000.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____



*Consolidated Financial
Statements*

For the nine months ended March 31, 2006

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
MARCH 31, 2006
with comparative totals for March 31, 2005
(in thousands)

	2005-06					2004-05	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 255,887	\$ 1,737	\$ 6,719	\$ 466	\$ 244,683	\$ 509,492	\$ 429,636
Notes, loans, and A/R (less bad debt allowances of \$25,379)	97,948	48,599	24,132	1,000	907	172,586	153,149
Investments	56,514	97,714		729,109	66,672	950,009	754,769
Property, plant, and equipment, net of depreciation					1,065,171	1,065,171	1,019,689
Inventories and other	21,915	119			1,138	23,172	16,646
Total Assets	\$ 432,264	\$ 148,169	\$ 30,851	\$ 730,575	\$ 1,378,571	\$ 2,720,430	\$ 2,373,889
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 40,967	\$ 3,305	\$ 418		\$ 943	\$ 45,633	\$ 46,494
Employee withholdings and deposits		17,603				17,603	14,455
Other liabilities	18,106	536	21,840	\$ 5,710	6,333	52,525	38,592
Liability for self insurance	65,007					65,007	57,259
Deferred income	26,562	16,574				43,136	46,683
Bonds payable					385,065	385,065	277,260
Capitalized lease obligation					66,077	66,077	43,086
Total Liabilities	150,642	38,018	22,258	5,710	458,418	675,046	523,829
Interfund Balances	(56,158)	42,520		14,699	(1,061)		
Net deferred revenues and appropriated fund balances	186,674	(2,328)				184,346	146,517
Fund Balances							
Current unrestricted							
Working capital	146,607					146,607	107,229
Future operating purposes	4,499					4,499	6,509
Other						-	-
Current restricted		69,959				69,959	63,253
Loan			8,593			8,593	8,550
True endowments				531,649		531,649	450,179
Term endowments				3,425		3,425	3,003
Quasi endowments				171,476		171,476	68,194
Charitable trusts				2,919		2,919	2,436
Gift annuities				697		697	418
Plant							
Retirement of indebtedness					20,816	20,816	21,206
Renewal and replacement					70,097	70,097	168,836
Allocated for designated projects					99,312	99,312	76,115
Net investment in plant					730,989	730,989	727,615
Total Fund Balances	151,106	69,959	8,593	710,166	921,214	1,861,038	1,703,543
Total Liabilities and Fund Balances	\$ 432,264	\$ 148,169	\$ 30,851	\$ 730,575	\$ 1,378,571	\$ 2,720,430	\$ 2,373,889

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2006
with comparative totals for March 31, 2005
(in thousands)

	2005-06				2004-05	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
(1) State appropriations	\$ 314,303	\$ 314,303	\$ 251,442	80	\$ 228,795	77
(2) Student fees	193,518	193,477	189,726	98	170,049	99
(3) County appropriations	12,024	12,734	9,002	71	8,361	70
(4) Endowment and investment income	7,542	7,542	8,674	115	4,207	112
(5) Non governmental grants and contracts	89,703	89,754	71,796	80	61,137	68
(6) Grants, donations, pledges						
Affiliated corporations	25,023	25,223	18,306	73	17,613	74
Other	1,521	1,606	9,491	591	1,368	85
(7) Sales and services	20,956	20,920	24,176	116	22,804	112
(8) Transfers	18,045	18,045	19,960	111	11,111	66
(9) Fund balance	27,057	52,122	52,122	100	39,241	100
(10) Total General Fund	709,692	735,726	654,695	89	564,686	84
(11) Auxiliary Enterprises	65,676	65,676	53,160	81	49,850	87
Restricted Funds						
(12) Federal appropriations	15,357	15,357	11,786	77	12,017	76
(13) Other	93,928	93,928	61,021	65	62,707	71
(14) Affiliated Corporations	314,145	314,145	230,599	73	219,029	76
(15) Hospital						
Revenues	466,060	466,060	372,527	80	312,189	86
(16) Fund balance						
(17) Total Revenues and Appropriated Fund Balances	<u>\$ 1,664,858</u>	<u>\$ 1,690,892</u>	<u>\$ 1,383,787</u>	82	<u>\$ 1,220,478</u>	82

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2006

with comparative totals for March 31, 2005

(in thousands)

	2005-06				2004-05	
	Original Budget	Revised Budget	Expended To Date	%	Expended To Date	%
(1) Instruction	\$ 267,916	\$ 260,829	\$ 175,024	67	\$ 171,317	63
(2) Research	275,050	278,704	173,327	62	161,647	64
(3) Public service	196,417	199,579	155,597	78	143,370	77
(4) Academic support	87,776	91,044	61,026	67	47,317	58
(5) Student services	21,397	22,405	16,775	75	15,175	71
(6) Institutional support	78,340	97,898	39,075	40	45,410	64
(7) Student financial aid	77,859	78,697	78,026	99	75,674	115
(8) Operation and maintenance	49,836	51,469	37,904	74	32,734	71
(9) Mandatory transfers (debt service)	19,863	19,863	18,084	91	17,573	94
(10) Hospital	468,148	468,148	350,191	75	278,320	76
(11) Auxiliary enterprises	122,256	122,256	94,414	77	85,424	79
(12) Total Expenditure by Program	<u>\$ 1,664,858</u>	<u>\$ 1,690,892</u>	<u>\$ 1,199,441</u>	71	<u>\$ 1,073,961</u>	72

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2006

with comparative totals for March 31, 2005

(in thousands)

	2005-06				2004-05	
	Original Budget	Revised Budget	Expended To Date	%	Expended To Date	%
(13) Personnel costs	\$ 897,696	\$ 913,628	\$ 698,857	76	\$ 636,022	77
(14) Operating expenses	678,887	688,029	453,933	66	385,689	67
(15) Mandatory transfers (debt service)	33,025	33,025	22,530	68	22,227	74
(16) Capital outlay	55,250	56,210	24,121	43	30,023	59
(17) Total Expenditure by Category	<u>\$ 1,664,858</u>	<u>\$ 1,690,892</u>	<u>\$ 1,199,441</u>	71	<u>\$ 1,073,961</u>	72

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2006**

with comparative totals for March 31, 2005

(in thousands)

	2005-06	2004-05
(1) Realized Revenues	\$ 1,331,665	\$ 1,181,237
Appropriated Fund Balances		
(2) Current Unrestricted Fund	\$ 52,122	39,241
(3) University Hospital		
(4) Total Appropriated Fund Balances	52,122	39,241
(5) Total Revenues and Appropriated Fund Balances	1,383,787	1,220,478
(6) Expenditures	1,199,441	1,073,961
(7) Net Deferred Revenues and Appropriated Fund Balances	184,346	146,517

FCR 13

Office of the President
April 25, 2006

Members, Board of Trustees:

REPORT ON THE 2006 KENTUCKY GENERAL ASSEMBLY

Recommendation: that the Board of Trustees accept this report on the 2006-08 Biennial Budget of the Commonwealth, as approved by the 2006 Kentucky General Assembly.

Background: At its December 2004 meeting, the Board of Trustees approved Administrative Regulation II-1.4-1, directing the President to report to the Board on actions taken by the Kentucky General Assembly.

The University of Kentucky's Top 20 Business Plan, approved by the Board at its December 2005 meeting, provided the framework for the University's budget request to the 2006 Kentucky General Assembly. The Business Plan indicates the operating funds and capital projects necessary to the university's progress toward achieving the legislative mandate that it become a Top 20 public research university by 2020. While the university budget for 2006-07 will be difficult, the \$20.9 million increase in state operating dollars in 2007-08 fully funds the Business Plan.

2006-08 Operating Budget

2005-06 State Appropriations:

Operating Funds	\$ 306,341,800
Debt Service	\$ 7,960,400
Total State Appropriations	<u>\$ 314,302,200</u>

2006-07 State Appropriations:

Increase in Operating Funds:

Benchmark Funding	\$4,603,500
Mining Engineering Scholarship Program	200,000
Governor Fitness Program	500,000
Center for Research on Violence Against Women	150,000
Adolescent Medicine and Young Parent Program, and Rape Crisis Center	150,000
Sub-Total	\$5,603,500

Change in Debt Service	(\$1,337,800)
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Total State Appropriations	<u>\$ 318,567,900</u>
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2007-08 State Appropriations:**Increase in Operating Funds:**

Base increase	\$20,875,700
Kentucky Geological Survey	250,000

Sub-Total **\$21,125,700**

Change in Debt Service **(\$4,677,100)**

Total State Appropriations **\$ 335,016,500**

In addition:

- UK will receive \$1 million in recurring funds each year from the Council on Postsecondary Education's "Research Support Initiative."
- UK will receive \$208,000 each year for the UK Center for Rural Health – Kentucky Homeplace Program.
- UK and the University of Louisville will share \$1.5 million each year to support the Area Health Education Centers.
- UK is encouraged to provide funding in FY 2007 and FY 2008 to the Cooperative Extension Service to support the County Extension Enhancement Initiative.
- UK is encouraged to provide funding in FY 2008 for the Bath County Cooperative Extension Education and Marketing Center.
- UK is encouraged to provide funding in FY 2008 for the arboretum.
- UK is encouraged to provide funding in FY 2008 for the Arts and Music program offered through the Greenup County Extension Service.
- UK is encouraged to provide funding in FY 2008 for maintenance and operation of the West Liberty Technical Center.

2006-08 Capital Budget

Highlights of the Capital Budget include:

- \$79.8 million in state bonds for Phase II of the Biological/Pharmaceutical Complex,
- \$13.5 million in state bonds for Phase II to expand and upgrade the Livestock Disease Diagnostic Center,
- \$150 million in university-funded (agency) bonds for Phase II of the construction of the Patient Care Facility (plus authority to spend \$25 million of agency funds),
- \$7,013,000 in university-funded (agency) bonds for the installation of a new heating and air-condition system in Keeneland Hall,
- \$3,010,000 in university-funded (agency) bonds for the renovation of the Blazer Hall Cafeteria (plus authority to spend \$1,490,000 of agency funds),
- \$4 million in non-recurring funds in FY 2008 to support research-related lab renovations and equipment, and
- A pro rata share of a \$13.9 million Capital Renewal and Maintenance Pool for the postsecondary system.

Attached is a full report of authorized capital projects. Pursuant to Administrative Regulation II – 1.4-1, projects will be individually approved by the Board of Trustees prior to initiation.

In addition, the General Assembly enacted:

- Senate Bill 83 - Increases from \$100,000 to \$200,000 the maximum cost of capital construction projects that may be performed by postsecondary institution employees.
- Senate Bill 84 - Increases the thresholds of capital-related activities that do not require legislative authorization:
 - Capital construction projects from \$400,000 to \$600,000,
 - Purchase or lease of movable equipment from \$100,000 to \$200,000, and
 - Acquisition, upgrade, or replacement of an information technology systems from \$400,000 to \$600,000.

Action taken: ☒ Approved* ☐ Disapproved ☐ Other _____

*Approved as amended at meeting. (Amendments will be reflected in Minutes for April 25, 2006.)

FCR 14

Office of the President
April 25, 2006

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period ending March 31, 2006.

Background: The March 4, 1997 meeting of the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action Taken: ☒ Approved ☐ Disapproved ☐ Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD JANUARY 1, 2006 THROUGH MARCH 31, 2006

Patents

The following assignments on behalf of the Board of Trustees of the University of Kentucky Research Foundation have been executed:

1. **U.S. Patent Application Serial Number: (to be assigned)**
Filed: January 30, 2006
Title: "METHODS OF INHIBITING PAIN AND AUTONOMIC DYSREFLEXIA WITH SEMAPHORIN 3A"
Inventors: Drs. George Smith and Alexander Rabchevsky (Department of Physiology)
Technical Description: The present invention relates to methods of treating and preventing chronic pain and/or autonomic dysreflexia.
Summary: Up to 70% of victims of spinal cord injury suffer from chronic pain. The pain is thought to be a consequence of aberrant sensory nerve connections that form after spinal cord injury. Semaphorin 3A is a natural protein that prevents inappropriate nerve connections from forming. The inventors propose a method of treating chronic pain through the strategic supplementation of Semaphorin 3A.

Patent Activities

Fiscal year to date as of March 31, 2006

Number of Patent Applications	9
Number of Patents Issued	13
Patent Income	\$758,205

UHCR 1

Office of the President
April 25, 2006

Members, Board of Trustees:

UNIVERSITY OF KENTUCKY CENTER ON AGING FOUNDATION, INC.
("CORPORATION") AMENDMENT TO ARTICLES OF INCORPORATION AND
BYLAWS

Recommendation: that the Board of Trustees approve the Amendment to the Articles of Incorporation of the Corporation, attached hereto as Exhibit A, and the newly revised Bylaws of the Corporation, attached hereto as Exhibit B.

Background: The Corporation is a not-for-profit support organization of the university, established to support the university's Center on Aging and related programs. Under the Articles of Incorporation and Bylaws of the Corporation and the original action of this Board establishing the same, amendments to said Articles and Bylaws, as well as appointments to the Board of Directors of the Corporation, must be approved by the university's Board of Trustees. Due to organizational changes and the need to update the Articles and Bylaws, the Board of Directors of the Corporation determined that amendments were needed to identify the correct titles of officials, expand the number of members of the Board of the Corporation, and provide for senior status Board Members. Exhibits A and B are the amendments to the Articles and Bylaws, respectively, making those changes. Collective Exhibit C contains the original Articles and Bylaws for comparison purposes.

The Articles and Bylaws amendments were approved by the Board of the Corporation at its September 2005 and March 2006 meetings.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

EXHIBIT A

ARTICLES OF AMENDMENT TO ARTICLES OF INCORPORATION OF UNIVERSITY OF KENTUCKY CENTER ON AGING FOUNDATION, INC.

Pursuant to KRS 273.267, University of Kentucky Center on Aging Foundation, Inc., a Kentucky NonStock, Nonprofit Corporation, (the "Corporation") hereby files these Articles of Amendment by and through its duly authorized officer, and states as follows:

1. The name of the Corporation is University of Kentucky Center on Aging Foundation, Inc.
2. The Articles of the Corporation have been amended as follows:
 - a. Article VIII has been amended to read in its entirety as follows:

"ARTICLE VIII

The affairs of the Corporation shall be regulated, managed, controlled and conducted by a Board of Directors to be appointed by the Board of Trustees of the University of Kentucky, upon recommendation of the President of the University of Kentucky. The Board shall consist of at least 27 and no more than 41 members, consisting of eight ex officio members, each with one vote, one of whom shall be a Trustee of the University of Kentucky, recommended for one or more terms of three years, each, by the President of the University of Kentucky, subject to approval by the University of Kentucky Board of Trustees; one of whom shall be the Executive Vice President for Health Affairs, University of Kentucky; one of whom shall be the Provost, University of Kentucky; one of whom shall be the Treasurer, University of Kentucky; one of whom shall be the Dean of the College of Medicine, University of Kentucky; one of whom shall be the Dean of the College of Public Health, University of Kentucky; one of whom shall be the Director of the Center on Aging, University of Kentucky and one of whom shall be a University of Kentucky faculty member selected, subject to approval of the University of Kentucky Board of Trustees, for one or more three-year terms, by the Director of the Center on Aging. The remaining Board Members shall consist of at least 19 and as many as 33 appointed from the public at large, who shall be referred to as Public Board Members. Those Public Board Members serving as incumbents on September 1, 2005 may hold their office for an unlimited number of terms of three years, each, commencing upon initial appointment, subject to approval of their reappointments as specified, herein. Any Public Board Member appointed after September 1, 2005 may serve only for two consecutive terms of three years each before taking senior member status. A Public Board Member having senior member status may attend Board functions and meetings, without vote, and shall be eligible for reappointment to

the Board only after having served in senior status for three consecutive years. Public Board Members not required to take senior status, may so elect.”

b. Article X has been amended to read in its entirety as follows:

The officers of the Board of Directors shall consist of a Chair, a Vice Chair and a Board Secretary. The officers of the corporation shall consist of a President, one or more Vice Presidents, a Secretary, a Treasurer and such other officers or assistant officers as may be deemed necessary by the Board of Directors. The officers of the Board of Directors and the officers of the Corporation shall be elected or appointed at such time and in such manner and for such terms as may be prescribed in the Bylaws. The Chair of the Board of Directors shall not serve as officer of the Corporation. Any two offices of Vice President, Secretary and Treasurer may be combined in one person. The officers of the Corporation may be designated by such additional titles as may be provided in the Bylaws.”

3. The Corporation has no members.
4. The following Amendment was approved by vote of at least two thirds (2/3's) of the Board of Directors on September 19, 2005 and, as revised, March 28, 2006 and approved by the University of Kentucky Board of Trustees at its meeting of April 25, 2006.
5. This Amendment shall be effective upon its filing.

Dated this ____ day of April, 2006.

UNIVERSITY OF KENTUCKY CENTER ON
AGING FOUNDATION, INC.

By: _____
Chairman

This instrument prepared by:

Harry L. Dadds
University of Kentucky
321 Charles T. Wethington Bldg.
900 South Limestone
Lexington, KY 40536-0200

EXHIBIT B

BYLAWS
OF
UNIVERSITY OF KENTUCKY
CENTER ON AGING FOUNDATION, INC.

ARTICLE I

PURPOSE

The purpose of the University of Kentucky Center on Aging Foundation, Inc. is to make the Center on Aging in the Chandler Medical Center at the University of Kentucky one of the finest in the world by establishing a continuing partnership between the University of Kentucky and the aging population of the Commonwealth of Kentucky. To that end, the purpose of the Foundation includes, but is not necessarily limited to:

- (1) To solicit and receive gifts, bequests, and devises of things of value and to accept the same, subject to such conditions and trust as may be imposed thereon for the exclusive benefit of the research on aging program of the College of Medicine.
- (2) To build an endowment fund and such other funds as may be necessary or desirable, and to advise as to the creative management of said funds and to disburse therefrom, from time to time, such monies as may be determined by the hereinafter named Board of Directors and in accordance with the limitations of any gift, bequest, or devise which may come to the Foundation.
- (3) To assist in determining, in cooperation with the administration of the Chandler Medical Center, appropriate projects and programs in aging research to be financed in whole or in part by funds raised, or by income from, said endowment or other funds.

ARTICLE II

BOARDS OF DIRECTORS

Section 1- Membership: The management of the affairs of the Foundation shall be vested in a Board of Directors whose membership shall consist of at least twenty-five

(25) and no more than forty one (41) members, as more particularly specified in the Article of Incorporation, as amended. The members shall be nominated for appointment by the President of the University, upon recommendation of the Executive Vice President for Health Affairs and President, University of Kentucky, with the appointment made by the Board of Trustees of the University of Kentucky, in accordance with Article VIII of the Articles of Incorporation of the Foundation, as follows:

- (1) One member shall be the Executive Vice President for Health Affairs.
- (2) One member shall be the Provost.
- (3) One member shall be the Dean of the College of Public Health.
- (4) One member shall be the Dean of the College of Medicine.
- (5) One member shall be the Director of the Center on Aging.
- (6) One member shall be a University of Kentucky faculty member selected by the Director of the Center on Aging.
- (7) One shall be a Trustee of the University of Kentucky.
- (8) One shall be the Treasurer of the University of Kentucky.
- (9) Remaining members of the Board of Directors shall be appointed from the public at large.

Section 2- Terms of Office: Each member of the Board shall be appointed for one

(1) term of three (3) years and shall serve until their successors are appointed. Board members appointed after September 1, 2005 shall serve in accordance with the terms and conditions set forth in the Articles of Amendment to the Center on Aging Foundation's Articles of Incorporation, effective on the date of filing these Articles of Amendment.

Section 3- Compensation: Members of the Board shall receive no compensation for their services, but they may be reimbursed for reasonable expenses incurred on behalf of the Foundation.

Section 4- Vacancies: In the event of a vacancy on the Board, a successor shall be appointed to fill the unexpired term in the same manner in which a new director is appointed.

ARTICLE III

OFFICERS OF THE BOARD OF DIRECTORS

Section 1- Officers: The Officers of the Board shall consist of a Chair, Vice Chair, and a Secretary.

Section 2- Election and Term: Officers of the Board shall be elected from the membership at the annual meeting and shall serve one-year terms.

Section 3- Duties of Officers:

- a. Chair: The Chair shall preside at all meetings, shall sign all documents required to be signed for the Board, shall serve as an ex-officio member of all committees, and shall have such other duties as may be prescribed by the Board.
- b. Vice Chair: In the Chair's absence, the Vice Chair shall perform all the duties of the Chair and shall have other duties as may be prescribed by the Board.
- c. Secretary: The Secretary shall maintain minutes of all meetings of the Board, shall attest to all documents required to be signed for the Board, shall issue proper notice of all meetings of the Board, and shall perform such other duties as may be prescribed by the Board.

ARTICLE IV

OFFICERS OF THE FOUNDATION OR CORPORATION

Section 1- Officers: The corporate officers of the Foundation or Corporation shall consist of a President, Vice President, Secretary, and Treasurer.

Section 2- Term: The corporate officers of the Foundation shall serve one-year terms, to be automatically renewed each year.

Section 3- Appointments:

- a. President: The Executive Vice President for Health Affairs of the University of Kentucky shall serve as corporate President of the Foundation.
- b. Vice President: The Director of the Center on Aging of the University of Kentucky shall serve as corporate Vice President of the Foundation.
- c. Secretary: The Secretary of the Board of Directors shall serve as corporate Secretary of the Foundation.
- d. Treasurer: The Treasurer of the University of Kentucky shall serve as corporate Treasurer of the Foundation.

Section 4- Duties of Corporate Officers: The duties of the corporate officers shall be determined by the Board of Directors.

ARTICLE V

MEETINGS

Section 1- Regular Meetings: Regular meetings shall be held at least annually. Notice of the date, time, and place of such meetings shall be given by the Secretary no less than fifteen (15) days in advance of the meeting.

Section 2- Special Meetings: Specials meetings of the Board may be called by the Chair or upon the written request of any five (5) members of the Board, providing that notification is given by the Secretary no less than fifteen

(15) days before such meetings of the date, time, place, and purpose of the meeting. Final action shall not be taken on any matter not included in the call for a special meeting.

Section 3- Annual Meetings: Annual meetings shall be held as determined by the Board of Directors. Notice of the time and place of each meeting shall be given by the Secretary no less than thirty (30) days in advance of the meeting.

Section 4- Quorum: A simple majority of the current membership of the Board of Directors shall constitute a quorum at all regular and special meetings.

Section 4-Consent to Actions: In the event that a quorum is not present to conduct business, absent directors may approve actions by written consent, to the extent allowed under the Kentucky law pertaining to not-for-profit corporations as the same may exist at the time of the action.

ARTICLE VI

STAFFING

Section 1- Executive Director: The Director of Development shall serve as Executive Director for the Foundation and shall perform those duties which are prescribed by the Board.

Section 2- Other Personnel: Personnel engaging in the performance of staff and clerical functions for the Foundation shall be employees of the Chandler Medical Center and the University of Kentucky, subject to the policies, procedures, and regulations governing University employees.

ARTICLE VII

COMMITTEES

Section 1- Appointments: The Chair of the Board of Directors, with the approval of the Board, shall appoint, charge, and fix the terms of committee chairs and members and, when appropriate, abolish all standing, ad hoc, and special committees necessary or desirable for the management of the affairs of the Foundation.

Section 2- Membership: Membership on committees shall include members of the Board of Directors and may extend beyond the membership of the Board. The Chair of the Board and the Executive Director shall serve as ex-officio members of all committees.

Section 3- Meetings: Regular meetings shall be held at a time fixed by a majority vote of committee members. The time and place of regular meetings shall be communicated to all members. Special meetings may be called by the committee chair, by written request of three (3) committee members, by the Executive Director, or by the Board of Directors.

Section 4- Quorum: A simple majority of the committee membership shall constitute a quorum for the transaction of business.

Section 5- Reporting: Minutes of the committee meetings shall be submitted to the Board of Directors, through the Chair of the Board. At the request of the Chair, an annual report of committee activities shall be submitted to the Board.

Section 6- Executive Committee: The officers of the Board of Directors and the ex-officio members of the Board shall constitute an Executive Committee which shall conduct the business of the Board when necessary between meetings, and as directed by the Board.

Section 7- Nominating Committee: A Nominating Committee consisting of three (3) members of the Board shall be appointed to serve for one year. It shall be the responsibility of the Nominating Committee to submit the names of prospective Board members to the Executive Director of the Foundation for review by the Executive Vice President for Health Affairs, and recommendation by, the President of the University to the University's Board of Trustees for approval of appointment.

ARTICLE VIII

CHECKS, DEPOSITS, AND FUNDS

Section 1- Depository of Funds: The University of Kentucky shall serve as fiscal agent for the Foundation and be the depository and manager of all funds for the Foundation.

Section 2- Records and Reports: The Treasurer of the University of Kentucky shall have custody of all records pertaining to financial transactions of the Foundation and shall manage such funds in accordance with the fiscal policies of the University; and shall prepare, maintain, and make such records and reports available to the Board at its regular meetings and/or whenever requested by the Board. Said Treasurer shall also make any and all information or reports available to the Executive Director of the Foundation at his or her request.

Section 3- Advice and Counsel: The Treasurer of the University shall seek the advice and counsel of the Board of Directors with regard to the management of the funds of the Foundation and shall report to the Board on the management of such funds.

Section 4- Gifts: The Board of Directors may accept, on behalf of the Foundation, any contribution, gift, bequest, or devise for the general or special purposes of the Foundation.

ARTICLE IX

BOOKS AND RECORDS

The Foundation shall keep correct and complete books and records of accounts (which shall be audited annually by the external auditor employed by the University) and shall also keep minutes of the proceedings of its Board of Directors. All books and records of the Foundation may be inspected by any Board member for any proper purpose at any reasonable time.

ARTICLE X

WAIVER OF NOTICE

Whenever notice is required to be given under the provisions of the Articles of Incorporation of these Bylaws, a waiver thereof in writing signed by the person or persons entitled to such notice (whether before or after the time stated therein) shall be deemed equivalent to the giving of such notice.

ARTICLE XI

PARLIAMENTARY AUTHORITY

Robert's Rule of Order, Revised, latest edition, shall govern the Board of Directors and its committees in all cases in which they are applicable except where superseded by these Bylaws.

ARTICLE XII

INDEMNIFICATION OF DIRECTORS, OFFICERS AND AGENTS

To the extent permitted by Law, directors, officers, and agents of the Foundation, while acting for and on behalf of the Foundation, shall be afforded indemnity by the Foundation.

ARTICLE XIII

RATIFICATION

These Bylaws shall become effective when adopted by a majority of the Board members present at a meeting of the directors especially called for this purpose, and when approved by the Board of Trustees of the University.

ARTICLE XIV

AMENDMENTS

These Bylaws may be amended at any regular or special meeting of the Board of Directors by a majority vote of the membership of the Board, provided written notice of the proposed revision has been given at least thirty (30) days

prior to such meetings and provided further that the amendments shall not become effective until approved by the Board of Trustees of the University.

Chair, Board of Directors

ATTEST:

Secretary

EXHIBIT C

**UNIVERSITY OF KENTUCKY
CENTER ON AGING FOUNDATION, INC.**

CURRENT ARTICLES AND BYLAWS

ARTICLES OF INCORPORATION
OF
UNIVERSITY OF KENTUCKY
CENTER ON AGING FOUNDATION, INC.

ORIGINAL COPY FILED
CLERK OF STATE OF KENTUCKY
FRANKFORT, KENTUCKY

MAR 20 1986 AU

Duffell R. Davis
SECRETARY OF STATE

KNOW ALL MEN BY THESE PRESENTS THAT:

We, William R. Markesbery, M.D., Peter P. Bosomworth, M.D., and Wimberly C. Royster, Ph.D., the undersigned, acting as incorporators of a non-profit corporation under the provisions of Chapter 273 of the Kentucky Revised Statutes, do hereby adopt the following Articles of Incorporation:

ARTICLE I

The name of the corporation shall be the University of Kentucky Center on Aging Foundation, Inc.

ARTICLE II

The period of duration of the corporation shall be perpetual unless terminated pursuant to law.

ARTICLE III

The corporation is organized exclusively to support the educational, research and clinical activities of multi-disciplinary gerontology programs of the University of Kentucky and to foster the development of education, research and service in the field of aging across the many disciplines within the total University community. To that end, the purposes of the corporation shall include, but not be limited to, the following:

(1) To solicit and receive gifts, bequests and devises of things of value and accept the same (subject to such conditions and trusts as may be imposed thereon) for the exclusive benefit of the Aging Programs of the University of Kentucky.

(2) To build an endowment fund and such other funds as may be desirable; and further, to advise as to the creative management of said

860326146

MAIL TO:
R. Bruce Sanford
Room 2, Administration Bldg
1100 University of Kentucky

funds; and further, to disburse therefrom, from time to time, such monies as may be determined by the hereinafter named Board of Directors, all in accordance with the limitations of any gifts, bequests or devises which may come to the corporation.

(3) To assist in raising and holding the funds necessary to construct a long term care facility or other facilities deemed necessary and appropriate for the teaching and research programs of the Center on Aging.

(4) To foster and support educational and research activities in, but not limited to, the following areas: the nature and causes of Alzheimer's disease and other dementing disorders; other diseases as they specifically affect the older patient, basic and applied research pertaining to age-related issues in the biomedical sciences and the social and behavioral sciences.

(5) To foster and support educational and service activities for older persons and individuals who provide services and care for older persons which promote independence, continued learning and enhanced quality of life.

(6) To conduct any and all activities related or appurtenant to the above purposes through any and all necessary means within the lawful provisions of the Kentucky Revised Statutes.

(7) To support the educational, scientific and care programs of the Center on Aging.

ARTICLE IV

The University of Kentucky shall serve as fiscal agent for the corporation and, as such, receive and hold in an agency account all monies received by and on behalf of the corporation and expend therefrom such monies as may be authorized by the corporation.

ARTICLE V

The corporation shall have all powers granted by the laws of the Commonwealth of Kentucky so long as such powers are consistent with the qualifying provisions of Section 501(c)(3) of the Internal Revenue Code for such corporations.

ARTICLE VI

In the event of dissolution of the corporation, the assets of the corporation shall be distributed to the University of Kentucky, for the use and benefit of its Center on Aging, to be held and administered in accordance with any restrictions, conditions or limitations imposed thereon; provided, however, if the University of Kentucky at the time of dissolution is not qualified, not in existence, or unwilling or unable to accept said assets, then the assets shall go to the Commonwealth of Kentucky for exclusively public purposes.

ARTICLE VII

The address of the initial registered office of the corporation is: 101 Sanders-Brown Building, University of Kentucky, Lexington, Kentucky 40536-0230 and the name of its initial registered agent is: William R. Markesbery, M.D., whose address is 101 Sanders-Brown Building, University of Kentucky, Lexington, Kentucky 40536-0230.

ARTICLE VIII

The affairs of the corporation shall be regulated, managed, controlled and conducted by a Board of Directors to be appointed by the Board of Trustees of the University of Kentucky, upon the recommendation of the President of the University. The Board shall consist of 25 members, one of whom shall be the Chancellor of the Chandler Medical Center, University of Kentucky; one

of whom shall be the Chancellor for the Lexington Campus, University of Kentucky, one of whom shall be the Vice Chancellor for Research and Dean of the Graduate School, one of whom shall be the Dean of the College of Medicine, University of Kentucky, one of whom shall be the Director of the Center on Aging, University of Kentucky; and one of whom shall be the Associate Director for Behavioral and Social Sciences at the Sanders-Brown Center on Aging. The remaining 19 members of the Board of Directors shall be appointed from the public at large.

The terms of the members of the Board of Directors shall be as stated in the By-Laws of the corporation.

ARTICLE IX

The number of directors constituting the initial board shall be three and the names and addresses of the initial directors who are to serve until their successors are duly appointed and qualified are: Peter P. Bosomworth, M.D., A301 W. Wright Medical Plaza, University of Kentucky, Lexington, Kentucky 40536-0223; William R. Markesbery, M.D., 101 Sanders-Brown Building, University of Kentucky, Lexington, Kentucky 40536-0230; and Wimberly C. Royster, Ph.D., 359 Patterson Office Tower, University of Kentucky, Lexington, Kentucky 40506-0027.

ARTICLE X

The officers of the corporation shall consist of a president, one or more vice presidents, a secretary, a treasurer and such other officers (and assistant officers) as may be deemed necessary by the Board of Directors. The officers shall be elected or appointed at such time and in such manner and for such terms as may be prescribed in the By-Laws. Any two of the offices of vice-president, secretary, and treasurer may be combined in one person. The officers of the corporation may be designated by such additional titles as may be provided by the By-Laws.

ARTICLE XI

The names and addresses of the incorporators are: Peter P. Bosomworth, M.D., A301 W. Wright Medical Plaza, University of Kentucky, Lexington, Kentucky 40536-0223; William R. Markesbery, M.D., 101 Sanders-Brown Building, University of Kentucky, Lexington, Kentucky 40536-0230; and Wimberly C. Royster, Ph.D., 359 Patterson Office Tower, University of Kentucky, Lexington, Kentucky 40506-0027.

ARTICLE XII

No part of the funds or assets of the corporation shall inure to the benefit of, or be distributable to, officers or directors of the corporation, or other private persons. No substantial part of the activities of the corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the corporation shall not participate in, or intervene in (including the publishing or distribution of statements) any political campaign on behalf of any candidate for public office. Notwithstanding any other provision of these articles, the corporation shall not carry on any other activities not permitted to be carried on (a) by a corporation exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code of 1954 (or the corresponding provision of any future United States Internal Revenue Law) or (b) by a corporation, contributions to which are deductible under section 170(c)(2) of the Internal Revenue Code of 1954 (or the corresponding provision of any future United States Internal Revenue Law).

ARTICLE XIII

These Articles of Incorporation shall be amended by the adoption of an amendment at a meeting of the Board of Directors upon receiving the vote of two-thirds (2/3) of the directors in office and approval of the Board of Trustees of the University of Kentucky.

IN TESTIMONY WHEREOF, the undersigned have hereunto subscribed their hands this the 11th day of December, 1985.

William R. Markesbery
WILLIAM R. MARKESBERY, M.D.

Peter P. Bosomworth
PETER P. BOSOMWORTH, M.D.

Wimberly C. Royster
WIMBERLY C. ROYSTER, Ph.D.

STATE OF KENTUCKY)
(SCT.
COUNTY OF FAYETTE)

The foregoing Articles of Incorporation of the University of Kentucky Center on Aging Foundation, Inc. were acknowledged before me by William R. Markesbery, on this the 11th day of December, 1985.

My commission expires: 11-20-86

Janet R. Robertson
Notary Public
State of Ky at large, KY

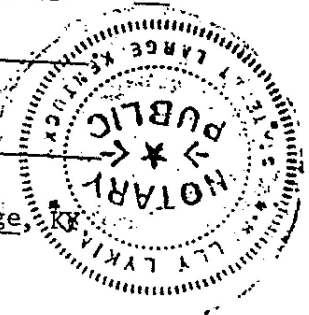


STATE OF KENTUCKY)
(SCT.
COUNTY OF FAYETTE)

The foregoing Articles of Incorporation of the University of Kentucky Center on Aging Foundation, Inc. were acknowledged before me by Peter P. Bosomworth, on this the 11th day of December, 1985.

My commission expires: February 15, 1989

Patty V. [Signature]
Notary Public
State of Kentucky at Large, KY



STATE OF KENTUCKY)
(SCT.
COUNTY OF FAYETTE)

The foregoing Articles of Incorporation of the University
of Kentucky Center on Aging Foundation, Inc. were acknowledged before
me by Wimberly C. Royster, on this the 11th day of December,
1985.

My commission expires: October 23, 1988

Helen Joyce Corman
Notary Public

State of Ky. at Large, KY



THIS INSTRUMENT PREPARED BY:

R. Bruce Lankford
R. Bruce Lankford
Office of Legal Counsel
Room 2 - Admin. Bldg.
University of Kentucky
Lexington, Kentucky 40506-0032

STATE OF KENTUCKY
COUNTY OF FAYETTE SCT.

I, DONALD W. BLEVINS, CLERK OF
SAID COUNTY COURT HEREBY CER-
TIFY THAT THE FOREGOING INSTRU-
MENT HAS BEEN DULY RECORDED
IN BOOK 133 PAGE 60
IN MY SAID OFFICE.

DONALD W. BLEVINS, CLERK
BY *[Signature]* D.C.

DONALD W. BLEVINS
FAYETTE COUNTY CLERK
[Signature]

MAR 26 3 59 PM 1985

ORDERED TO RECORD
PAID \$ 3.25 REC. TAX

BY-LAWS
OF
UNIVERSITY OF KENTUCKY
CENTER ON AGING FOUNDATION, INC.

ARTICLE I

PURPOSE

The purpose of the University of Kentucky Center on Aging Foundation, Inc. is to make the Center on Aging in the Chandler Medical Center at the University of Kentucky one of the finest in the world by establishing a continuing partnership between the University of Kentucky and the aging population of the Commonwealth of Kentucky. To that end, the purposes of the Foundation include, but not necessarily by way of limitation:

- (1) To solicit and receive gifts, bequests and devises of things of value and accept the same, subject to such conditions and trusts as may be imposed thereon for the exclusive benefit of the research on aging program of the College of Medicine.
- (2) To build an endowment fund and such other funds as may be necessary or desirable, and advise as to the creative management of said funds and disburse therefrom, from time to time, such monies as may be determined by the hereinafter named Board of Directors and in accordance with the limitations of any gift, bequest, or devise which may come to the Foundation.
- (3) To assist in determining, in cooperation with the administration of the Chandler Medical Center appropriate projects and programs in aging research to be financed in whole or in part by funds raised, or by income from said endowment or other funds.

ARTICLE II

BOARD OF DIRECTORS

Section 1 - Membership: The management of the affairs of the Foundation shall be vested in a Board of Directors whose membership shall consist of no more than twenty-five (25) members. The members shall be appointed by the President of the University of Kentucky, upon recommendation of the Chancellor

of the Chandler Medical Center, and with the approval of the Board of Trustees of the University of Kentucky, in accordance with Article VIII of the Articles of Incorporation of the Foundation, as follows:

- (1) One member shall be the Chancellor of the Chandler Medical Center.
- (2) One member shall be the Chancellor for the Lexington Campus.
- (3) One member shall be the Vice Chancellor for Research and Dean of the Graduate School.
- (4) One member shall be the Dean of the College of Medicine.
- (5) One member shall be the Director of the Center on Aging.
- (6) One member shall be the Associate Director for Behavioral and Social Sciences at the Sanders-Brown Center on Aging.
- (7) Remaining members of the Board of Directors shall be appointed from the public at large.

Section 2 - Terms of Office: Each member of the Board shall be appointed for one (1) term of three (3) years and shall serve until their successors are appointed.

Section 3 - Compensation: Members of the Board shall receive no compensation for their services, but they may be reimbursed for reasonable expenses incurred on behalf of the Foundation.

Section 4 - Vacancies: In the event of a vacancy on the Board, a successor shall be appointed to fill the unexpired term in the same manner in which a new director is appointed.

ARTICLE III

OFFICERS OF THE BOARD OF DIRECTORS

Section 1 - Officers: The Officers of the Board shall consist of a Chairman, Vice Chairman, and a Secretary.

Section 2 - Election and Term: Officers of the Board shall be elected

from the membership at the annual meeting and shall serve one-year terms.

Section 3 - Duties of Officers:

a. Chairman: The Chairman shall preside at all meetings, shall sign all documents required to be signed for the Board, shall serve as an ex-officio member of all committees, and shall have such other duties as may be prescribed by the Board.

b. Vice Chairman: In the Chairman's absence, the Vice Chairman shall perform all the duties of the Chairman and shall have such other duties as may be prescribed by the Board.

c. Secretary: The Secretary shall maintain minutes of all meetings of the Board, shall attest to all documents required to be signed for the Board, shall issue proper notice of all meetings of the Board, and shall perform such other duties as may be prescribed by the Board.

ARTICLE IV

OFFICERS OF THE FOUNDATION

Section 1 - Officers: The corporate officers of the Foundation shall consist of a President, Vice President, Secretary, and Treasurer.

Section 2 - Term: The corporate officers of the Foundation shall serve one-year terms, to be automatically renewed each year.

Section 3 - Appointments:

a. President: The Chancellor of the Chandler Medical Center of the University of Kentucky shall serve as corporate President of the Foundation.

b. Vice President: The Director of the Center on Aging of the University of Kentucky shall serve as corporate Vice President of the Foundation.

c. Secretary: The Secretary of the Board of Directors shall serve as corporate Secretary of the Foundation.

d. Treasurer: The Controller-Treasurer of the University of Kentucky shall serve as corporate Treasurer of the Foundation.

Section 4 - Duties of Corporate Officers: The duties of the corporate officers shall be determined by the Board of Directors.

ARTICLE V

MEETINGS

Section 1 - Regular Meetings: Regular meetings shall be held at least annually. Notice of the date, time, and place of such meetings shall be given by the Secretary no less than fifteen (15) days in advance of the meeting.

Section 2 - Special Meetings: Special meetings of the Board may be called by the Chairman or upon the written request of any five (5) members of the Board, providing that notification is given by the Secretary no less than fifteen (15) days before such meetings of the date, time, place, and purpose of the meeting. Final action shall not be taken on any matter not included in the call for a special meeting.

Section 3 - Annual Meetings: Annual meetings shall be held as determined by the Board of Directors. Notices of the time and place of each meeting shall be given by the Secretary no less than thirty (30) days in advance of the meeting.

Section 4 - Quorum: A simple majority of the current membership of the Board of Directors shall constitute a quorum at all regular and special meetings.

ARTICLE VI

STAFFING

Section 1 - Executive Director: The Director of the Center on Aging shall serve as Executive Director for the Foundation and shall perform those duties which are prescribed by the Board.

Section 2 - Other Personnel: Personnel engaging in the performance of staff and clerical functions for the Foundation shall be employees of the Chandler Medical Center and the University of Kentucky, subject to the policies, procedures, and regulations governing University employees.

ARTICLE VII

COMMITTEES

Section 1 - Appointments: The Chairman of the Board of Directors, with the approval of the Board, shall appoint, charge, and fix the terms of committee chairmen and members and, when appropriate, abolish all standing, ad hoc, and special committees necessary or desirable for the management of the affairs of the Foundation.

Section 2 - Membership: Membership on committees shall include members of the Board of Directors and may extend beyond the membership of the Board. The Chairman of the Board and the Executive Director shall serve as ex-officio members of all committees.

Section 3 - Meetings: Regular meetings shall be held at a time fixed by a majority vote of committee members. The time and place of regular meetings shall be communicated to all members. Special meetings may be called by the committee chairman, by written request of three (3) committee members, by the Executive Director, or by the Board of Directors.

Section 4 - Quorum: A simple majority of the committee membership shall constitute a quorum for the transaction of business.

Section 5 - Reporting: Minutes of the committee meetings shall be submitted to the Board of Directors, through the Chairman of the Board. At the request of the Chairman, an annual report of committee activities shall be submitted to the Board.

Section 6 - Executive Committee: The officers of the Board of Directors and the ex-officio members of the Board shall constitute an Executive Committee, which shall conduct the business of the Board when necessary between meetings, and as directed by the Board.

Section 7 - Nominating Committee: A Nominating Committee consisting of

three (3) members of the Board shall be appointed to serve for one year. It shall be the responsibility of the Nominating Committee to submit the names of prospective Board members to the Executive Director of the Foundation for recommendation to, and appointment by, the President of the University with approval by the University's Board of Trustees.

ARTICLE VIII

CHECKS, DEPOSITS, AND FUNDS

Section 1 - Depository of Funds: The University of Kentucky shall serve as fiscal agent for the Foundation and be the depository and manager of all funds for the Foundation.

Section 2 - Records and Reports: The Controller-Treasurer of the University of Kentucky shall have custody of all records pertaining to financial transactions of the Foundation and shall manage such funds in accordance with the fiscal policies of the University; and shall prepare, maintain, and make such records and reports available to the Board at its regular meetings and/or whenever requested by the Board. Said Treasurer shall also make any and all information or reports available to the Executive Director of the Foundation at his or her request.

Section 3 - Advice and Counsel: The Controller-Treasurer of the University shall seek the advice and counsel of the Board of Directors with regard to the management of the funds of the Foundation and shall report to the Board on the management of such funds.

Section 4 - Gifts: The Board of Directors may accept, on behalf of the Foundation, any contribution, gift, bequest or devise for the general or special purposes of the Foundation.

ARTICLE IX

BOOKS AND RECORDS

The Foundation shall keep correct and complete books and records of accounts (which shall be audited annually by the external auditor employed by the University) and shall also keep minutes of the proceedings of its Board of Directors. All books and records of the Foundation may be inspected by any Board member for any proper purpose at any reasonable time.

ARTICLE X

WAIVER OF NOTICE

Whenever notice is required to be given under the provisions of the Article of Incorporation of these By-Laws, a waiver thereof in writing signed by the person or persons entitled to such notice (whether before or after the time stated therein) shall be deemed equivalent to the giving of such notice.

ARTICLE XI

PARLIAMENTARY AUTHORITY

Robert's Rules of Order, Revised, latest edition, shall govern the Board of Directors and its committees in all cases in which they are applicable except where superseded by these By-Laws.

ARTICLE XII

INDEMNIFICATION OF DIRECTORS, OFFICERS AND AGENTS

To the extent permitted by Law, directors, officers, and agents of the Foundation, while acting for and on behalf of the Foundation, shall be afforded indemnity by the Foundation.

ARTICLE XIII

RATIFICATION

These By-Laws shall become effective when adopted by a majority of the Board members present at a meeting of the directors especially called for this purpose, and when approved by the Board of Trustees of the University.

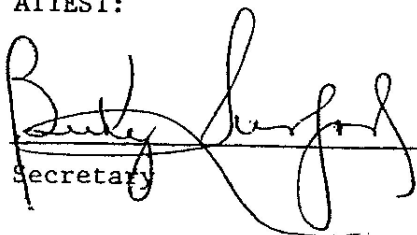
ARTICLE XIV

AMENDMENTS

These By-Laws may be amended at any regular or special meeting of the Board of Directors by a majority vote of the membership of the Board, provided written notice of the proposed revision has been given at least thirty (30) days prior to such meetings and provided further that the amendments shall not become effective until approved by the Board of Trustees of the University.


Chairman, Board of Directors

ATTEST:


Secretary