

MINUTES

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
September 20, 2005
18th Floor Patterson Office Tower**

Minutes

Accompanying items:

President's Report and Action Items

- PR 1 President's Report to the Trustees
- PR 2 Personnel Actions
- PR 3 Naming of Building (Robert Strauss Behavioral Research Building)
- PR 4 Quantitative Waiver for Submission of Requests for New Academic Programs

Academic Affairs Committee Report

- AACR 1 Candidates for Degrees

Finance Committee Report

- FCR 1 Anonymous Gift for Cardiovascular Imaging Research
- FCR 2 The Albert G. and Lorraine N. Clay Charitable Lead Trust
- FCR 3 UK Health Care Leadership Team Gifts and Pledges
- FCR 4 Kentucky Hospital Research and Education Foundation Gift and Pledge
- FCR 5 Kentucky Pharmacists Education Research Foundation Gift and Pledge
- FCR 6 Janet H. Koller Estate Gift
- FCR 7 Gifts and Pledges to the College of Nursing Millennium Fund
- FCR 8 Ruth J. Suotham Estate Gift
- FCR 9 Gifts to the Kevin Heidrich /Team 7 Endowment for ALS Patient Services
- FCR 10 The Abercrombie Foundation Pledge
- FCR 11 D. Ray Ball Pledge
- FCR 12 Steve Canfield Pledge
- FCR 13 Givens Real Property Partnership Limited Pledge
- FCR 14 Lennie House Pledge
- FCR 15 Ramsey Farm Pledge
- FCR 16 Chris Sullivan Pledge
- FCR 17 Jude Thompson Gift and Pledge
- FCR 18 S. Craig Welch Pledge
- FCR 19 Anonymous Pledge to the Capital Projects Gift Fund
- FCR 20 Report of Leases

FCR 21	<u>Approval of Lease</u>
FCR 22	<u>Acceptance of Audit Report and the Report on Compliance and on Internal Control for the University of Kentucky for 2004-05</u>
FCR 23	<u>Adoption of University of Kentucky Debt Policy</u>
FCR 24	<u>A Resolution of the Board of Trustees of the University of Kentucky Approving a Trust Agreement Dated as of November 1, 2005 between the University of Kentucky and the Trustee Named Therein, Providing for the Authorization, Issuance, and Sale from Time to Time of General Receipts Obligations of the University of Kentucky, Providing for the Payment of such Obligations, and the Securing of Such Payment, and Authorizing Other Matters Related Thereto</u>
FCR 25	<u>Resolution of the Board of Trustees of the University of Kentucky ("State Agency") Approving a Financing/Lease Agreement among the State Agency, the Kentucky Asset/Liability Commission, and the Finance and Administration Cabinet of the Commonwealth of Kentucky for the Purpose of Providing Financing for an Authorized Project</u>
FCR 26	<u>Consent to Modification of Listed External Trusts</u>
FCR 27	<u>Construct Biological/Pharmaceutical Complex, Phase I and Upgrade/Modify Coldstream Research Campus Facilities</u>
FCR 28	<u>IRIS Project Phase II</u>
FCR 29	<u>Disposition of Property</u>
FCR 30	<u>2005-06 Budget Revisions</u>
FCR 31	<u>Patent Assignment Report</u>
FCR 32	<u>Capital Construction Report</u>

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, September 20, 2005.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, September 20, 2005, in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Mr. James Hardymon, Chair, called the meeting to order at 1:05 p.m. and asked Ms. Barbara Jones, General Counsel, to administer the oath of office to three Trustees who have not had the oath before at a public hearing like this.

B. Oath of Office

Barbara Jones, General Counsel, administered the Oath of Office to the following members:

Penelope Brown, appointed by Governor Ernie Fletcher to replace Elaine Wilson, for a term ending June 30, 2011.

Dermontti Dawson, appointed by Governor Ernie Fletcher to replace Alice Sparks, for a term ending June 30, 2011.

Rebecca Ellingsworth, who will serve as Student Trustee for the 2005-06 academic year.

The new members received a round of applause.

C. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mira Ball, Stephen Branscum, Penny Brown, Dermontti Dawson, Jeff Dembo, Marianne Smith Edge, Rebecca A. Ellingsworth, Ann Haney, James Hardymon (Chair), Pamela May, Billy Joe Miles, Roy Moore, Phillip Patton, Steven Reed, Frank Shoop, Myra Leigh Tobin, JoEtta Wickliffe, Billy Wilcoxson, Russ Williams, and Barbara Young. The university administration was represented by President Lee T. Todd, Jr., Interim Provost Scott Smith, Executive Vice President for Finance and Administration Frank Butler, Executive Vice President for Health Affairs Michael Karpf, Executive Vice President for Research Wendy Baldwin, and General Counsel Barbara W. Jones.

Members of the various news media were also in attendance. A quorum being present, the Chair declared the meeting officially open for the conduct of business at 1:08 p.m.

D. Nominating Committee Report

Mr. Hardymon asked Ms. Tobin to take the chairs seat and receive the report from the Nominating Committee.

Ms. Tobin called on Mr. Frank Shoop, Chair of the Nominating Committee, to give his report.

Mr. Shoop reported that the Nominating Committee met that morning to consider the nomination of officers of the Board and the members of the Executive Committee. The Nominating Committee submitted the following nominations for officers:

Chair	James Hardymon
Vice Chair	JoEtta Wickliffe
Secretary	Pamela May
Assistant Secretary	Barbara Jones

Mr. Shoop moved that the slate of officers be approved. Mr. Patton seconded the motion.

Ms. Tobin stated that there was a motion and a second. She asked if there were any nominations from the floor.

Mr. Patton moved that the nominations cease.

Ms. Tobin said that there were no nominations from the floor. She repeated the slate of nominations from the Nominating Committee and called for a vote. The motion carried unanimously. Ms. Tobin asked Mr. Hardymon to take over the chair's seat and Pam May to take the secretary's seat.

Mr. Hardymon thanked the Board for their support. He said it had been an interesting year, and he hoped the next year will be even more interesting. He has enjoyed working with the Board, and he is proud of the committees' work. He said he liked his association with the university staff and Ms. Wickliffe, particularly, for her support as vice chair. He welcomed Ms. May in her new role as secretary.

Mr. Shoop continued his report. On behalf of the Nominating Committee, he submitted the following nominations for members of the Executive Committee:

James Hardymon, Chair
JoEtta Wickliffe
Roy Moore
Steve Reed
Billy Wilcoxson
Pam May, ex officio

He moved approval of the slate of nominations for the Executive Committee.

Mr. Hardymon asked for a second to the motion or for any nominations from the floor. Mr. Branscum seconded Mr. Shoop's motion. Ms. Haney moved that the nominations cease. Mr. Hardymon repeated the slate of nominations for the Executive Committee and called for a vote. The motion carried unanimously.

E. Consent Items

Mr. Hardymon noted the consent items on the agenda. He asked if there were any questions or comments about the consent items. Ms. Smith Edge moved approval. Her motion, seconded by Mr. Reed, carried without dissent. The consent items follow:

Minutes – June 14, 2005

Minutes of Retreat – August 21-22, 2005

Minutes of Special Called Meeting – September 7, 2005

Minutes of Special Called Meeting – September 8, 2005

PR 2 Personnel Actions

AACR 1 Candidates for Degrees

FCR 1 Anonymous Gift for Cardiovascular Imaging

FCR 2 The Albert G. and Lorraine N. Clay Charitable Lead Trust

FCR 3 UK Health Care Leadership Team Gifts and Pledges

FCR 4 Kentucky Hospital Research and Education Foundation Gift and Pledge

FCR 5 Kentucky Pharmacists Education Research Foundation Gift and Pledge

FCR 6 Janet H. Koller Estate Gift

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FCR 10 The Abercrombie Foundation Pledge

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FCR 16 Chris Sullivan Pledge

FCR 17 Jude Thompson Gift and Pledge

FCR 18 S. Craig Welch Pledge

FCR 19 Anonymous Pledge to the Capital Projects Gift Fund

FCR 20 Report of Leases

Mr. Hardymon asked for a motion for approval of the consent items. Ms. Smith Edge moved approval. Mr. Branscum seconded the motion.

President Todd called attention to AACR 1 on the consent agenda and referred to line three and four of the recommendation. Based on Governing Regulations changes at the last

meeting, it should read, “upon certification by the registrar and approval by the elected faculty of the University Senate.”

Mr. Hardymon asked for any further comments. He called for a vote on the consent items, including the amendment to AACR 1. The motion carried without consent. (See consent items at the end of the Minutes.)

F. Schedule of Meetings for the Board of Trustees for 2006

Mr. Hardymon noted that the schedule of meetings for the Board of Trustees for 2006 were in the Board packet. He said that the dates were discussed at the retreat, and the Board gave general approval at that time. This is an information item and does not need action.

G. President’s Report to the Trustees (PR 1)

President Todd called attention to the following items in PR 1:

A record \$274 million in grants and contracts have been received in fiscal year 2005-06. This is the third year the university has received over \$200 million. This is really a testament to the faculty and staff. President Todd said that he is extremely proud of this accomplishment.

The College of Medicine departments rank among NIH’s Top 20. This ranking includes the basic sciences as well as the clinical departments and is quite an achievement.

Nearly \$5 million in grant funds for homeland security research campus wide. Congressman Hal Rogers brought to Kentucky a virtual homeland security laboratory. There were 11 grants given out last year. The University of Kentucky received approximately \$5 million of those grants and is working jointly with other universities in the state.

The Chellgren Center for Undergraduate Excellence is a collaborative effort. With the assistance of Paul Chellgren and the state’s Bucks for Brains program, the university was able to endow a position to keep its focus on undergraduate education. As UK tries to achieve the Top 20 research goal, it will still focus on the undergraduate population.

The television program *60 Minutes* aired a 12-minute feature regarding UK’s Parkinson’s disease researchers and patients who participated in the clinical trial of the drug GDNF. This has received some good coverage. Leslie Stahl, CBS correspondent, was on campus to film the 12-minute feature.

President Todd said that PR 1 contains some great information about the faculty and staff achievements. He encouraged the Board to look at those achievements.

H. The Dream and the Challenge, Strategic Plan Progress Report

President Todd gave the annual update on the Strategic Plan. He called attention to the report on the university's success that had been given to the members of the Board. The report allows the administration to measure the goals and objectives that were planned approximately three years ago. The next round of strategic goals and objectives will be set in the spring 2006. He thanked Dr. Connie Ray and her staff for putting the data together.

President Todd reviewed the following goals in the report and elaborated on the slides:

Goal I	Reach for National Prominence
Goal II	Attract and Graduate Outstanding Students
Goal III	Attract, Develop, and Retain a Distinguished Faculty
Goal IV	Discover, Share, and Apply New Knowledge
Goal V	Nurture Diversity of Thought, Culture, Gender and Ethnicity
Goal VI	Elevate the Quality of Life for Kentuckians

He praised Dr. Karpf and his staff for increasing the clinical income. They have made significant increases by more than doubling the projected percent of increase, and this has been tremendous.

President Todd said that another goal was to increase the market value of the university's endowment to \$500 million. The report shows that it has been increased to \$538 million; however, an updated number as of this meeting indicated that it is \$555 million. The administration and staff have really done a great job in achieving this goal. He praised Mike Richey, Associate Vice President for Development and Chief Development Officer, who has followed in Mr. Terry Mobley's footsteps in helping to achieve this goal. Under Mr. Mobley's guidance, Mr. Richey has really brought some enthusiasm to that area. President Todd said that he believes that at the end of last month the university was at \$811 million toward its billion-dollar campaign. Mr. Richey had set a goal of \$150 million by the end of this calendar year, and he feels that goal will be met.

President Todd said that it is difficult to increase the university's ACT scores when taking the freshman class enrollment from 3,000 to 4,000. He said that he is extremely proud of the fact that the university has maintained its ACT range. He anticipates that the scores will increase next year. He noted that 26 percent of the freshman class had ACT scores of 28 or above. That represents 77 more students in this year's freshman class with that standing than there were in last year's class. He pointed out that 30 percent of the freshman class had a high school GPA of 3.8 or above, and that represents 115 more freshman this year with that grade point average. He said that he is very proud of the quality of the freshman class. This is a testament to the recruiting efforts and a statement to the scholarships that have been awarded. President Todd noted that the disappointing number was that the university is down significantly in African-American freshman.

President Todd said the graduate and professional enrollment goals were 7,500. The university is at 7,252. Some of that impact is because of 9-11 and the decreased number of incoming international students.

The university's undergraduate enrollment goal is 18,707. It is now at 18,500. It has been necessary to watch the size of the freshman class because of budget constraints and the inability to add faculty. The administration did not feel that it could continue to grow the freshman class any faster; therefore, the numbers have been held back. There was, however, an increase this year.

The recent CPE meeting released information showing that the overall population for Kentucky increased only 1.5 percent this past year, which is not enough to get toward the 2020 goal. A lot of that increase was in the Kentucky Community and Technical College System (KCTCS), and UK probably had the largest increase for undergraduates in the state of Kentucky this last year.

President Todd said that the university wants to increase the retention rate for undergraduate students. The goal was to increase the first- to second-year retention rate to 83 percent. While it appears that the university will not make that goal, there was a slight increase this year over last year. He pointed out that this goal has not been accomplished, and there is still work to do.

President Todd reported that the six-year graduation rate goal was exceeded two years ago, but it was not exceeded in the fall of 2004. Therefore, this goal has not been met. Other state four-year institutions are at 30 percent and 40 percent in this measure. Being up in the area of 60 percent is somewhat pleasing for the administration. The goal is to be at 70 percent, and that is where UK's benchmarks are. The Top 20 Business Plan information that will be provided to the Board will show that this is the target that UK really needs to achieve by 2020.

President Todd talked about faculty salaries. While there have been increases, UK's benchmarks are increasing salaries to higher levels than UK. He said that he is going to put the highest premium for next year's budgeting process on faculty salaries. There have to be some increases in faculty and staff salaries in order to get where the university needs to go. In the Top 20 Business Plan, salaries will be put in as part of the budgeting process in order to try to catch up with this statistic. President Todd said that he is very pleased with the faculty that the university has been able to retain during this period of time and also with the faculty that have been recruited. The university has had some faculty leave because of salary conditions.

In the federal research dollars area, the latest number is for 2004. The university was at \$130 million. With the performance this past year, UK should be above the goal of \$140 million. President Todd said that he has asked the staff to look at the Top 20 universities that are above UK and plot their federal research dollars over time starting back in the 1940's and 1950's when the federal government started investing in research at universities to determine where those universities experienced their break point. If such research was done for the state of Kentucky, clearly when HB 1 passed in 1997 would have shown up as a transition time because that is when those numbers started growing for UK. President Todd said that he is very pleased

with the results and very pleased with the amount of research the university is doing to affect Kentucky's problems. He was pleased to report that the university is on target to complete this goal.

Under the goal of discover, share, and apply new knowledge, doctoral enrollment and doctoral degrees awarded are two things that the university is tracking.

Dr. Jeanine Blackwell, Dean of the Graduate School, announced at graduation that the university has exceeded its goal for awarding doctoral degrees. It was a very pleasant announcement, and this achievement is very important.

President Todd reported that the university is behind its goal in doctoral enrollment. Some of that could still be due to the situation with international students. The economy could also have an effect. The university will continue to work hard in this recruitment area. This goal is still to be achieved.

Post doctoral enrollment is very important, and it really is a measure of the amount of research the university is doing because post-doctoral students come to join faculty who are active in research. This is after they finish their Ph.D. The students are really on top of their game at that point and want to go into an institution to work with faculty members. The university has exceeded that goal by 20. It still has another year to go. President Todd said that he is very pleased with this accomplishment.

President Todd noted that in the area of diversity of thought, culture, gender, and ethnicity, UK will not meet its goal. He reported that he had been meeting with faculty, Interim Provost Scott Smith, Associate Provost Phil Kramer, and Vice President Bill Turner to discuss improving the strategies in these areas and to get the African-American diversity where the administration wants it to be and where it needs to be for the good of the university.

President Todd talked about the resident African-American retention rate. Two goals have been achieved: overall undergraduate retention and graduate enrollment of resident African-American students.

President Todd noted that in general first-year retention is down, at 73 percent, and the graduation rate is 53 percent. He pointed out that between the end of the freshman year and graduation there is work that needs to be done. He said that strategies will be put in place to meet those goals.

President Todd said that the university has never been able to meet the African-American administrative and managerial goals in the faculty and staff areas. The administration will have to focus strategies in that area in order to improve the percentage. He pointed out that there is work to be done there.

President Todd said the university had done much better in hiring females for those areas. The university is close to meeting its goal for hiring female faculty and has exceeded the goal in the executive and managerial staff areas. One of the good things about tracking these each year

is you begin to see where you are falling short. You then set strategies to try to fix those things, and that is the process the administration is going through right now.

President Todd reported that progress is being made to achieve the goal to elevate the quality of life for Kentuckians. In information provided by Dr. Baldwin earlier, she mentioned that the Patent Trademark Office has been quite slow in issuing patents in the last couple of years. They are going through some systems changes, but UK has exceeded its goal of the number of patent applications for the last two years.

President Todd noted that the university will also be measuring the number of start-ups businesses last year and specifically where those start-ups were.

He talked about the amount of money the university is spending in research that is directed toward public service. With the Commonwealth collaboratives, the university will be focusing on 23 issues in Kentucky. As the university focuses on those issues, more funding should follow. The \$55 million from outside grants and contracts is new money coming to Kentucky to solve Kentucky's issues.

President Todd concluded his report by calling attention to the 2004-05 Summary of Progress slide and noted that the goal had been achieved for 14 key indicators. While some goals may not show progress, the university is making progress on some of them. He mentioned areas that the university needs to focus on and give particular attention to, including faculty salaries being noticeably low and African-American enrollment in the freshman class. He was pleased to report that some progress has been made in these tight fiscal times.

I. Naming of Building (PR 3)

President Todd recommended that the Board approve renaming the Public Service Commission Building the "Robert Straus Behavioral Research Building" located at 515 Oldham Court. The building is currently being refurbished so the university can use it to do some externally funded and other behavioral science research on a variety of medically related conditions, as well as for alcohol and drug research.

Professor Straus came to UK in 1956 as a member of the planning staff for the newly started University of Kentucky Medical Center. He served as the first Coordinator for Academic Affairs for the College of Medicine and for 28 years as Chairman of the Department of Behavioral Science in the College of Medicine. In addition to his service, scholarship, and research, he has trained generations of behavioral scientists and physicians at the University of Kentucky.

This recommendation has been reviewed by the Committee on Naming University Buildings. They found it to be in compliance with university policies. President Todd recommended approval of PR 3.

On motion made by Mr. Shoop, seconded by Ms. Tobin, and carried, PR 3 was approved without dissent. (See PR 3 at the end of the Minutes.)

J. Quantitative Waiver for Submission of Requests for New Academic Programs
(PR 4)

President Todd said that PR 4 is a recommendation that the Board adopt a resolution for submission of a quantitative waiver to the Council on Postsecondary Education (CPE). This quantitative waiver allows the university to submit new program requests to CPE. In the Kentucky Plan for Equal Opportunities in Higher Education, there were eight objectives established for the University of Kentucky. If UK failed to meet three of those objectives, the administration must go before CPE with the recommendation that CPE waive the clause that would no longer allow UK to recognize new academic programs.

President Todd noted that UK missed three of the objectives. He briefly reviewed each of the objectives missed and noted that the employment of African-American in executive administrative and managerial positions needs serious attention. President Todd said that the university will have to submit strategies for each of the objectives missed in order to try to get back in line with the CPE requirements.

President Todd reported that Deneese Jones led the University Commission on Diversity in setting up a diversity plan task force for a university-wide diversity plan that is inclusive of all of these goals before she left the university this past summer.

He recommended that the Board authorize the work plan in PR 4. Following the Board's approval, it will then be submitted to CPE so the university can continue to add courses.

Dr. Dembo said that all of these objectives apply to Africans-Americans and yet in numbers 2, 3, and 4 of the ones in which the university has made inadequate progress it is not specifically indicated that those are African-American students. For accuracy, it probably should be stated as such.

President Todd agreed with Dr. Dembo's comments and said that it needed to be corrected. He expressed appreciation to Dr. Dembo for pointing that out.

On motion made by Ms. Haney, seconded by Ms. Smith Edge, and carried, PR 4 was approved without dissent. (See PR 4 at the end of the Minutes.)

K. Audit Subcommittee Report

Mr. Hardymon said that the Finance Committee has a subcommittee which is the Audit Subcommittee. It meets twice a year at a minimum, and Ms. Wickliffe is the chair of both the Finance Committee and the Audit Subcommittee. He asked Ms. Wickliffe to give the Audit Subcommittee report and then go into the Finance Committee report.

Ms. Wickliffe reported that the Audit Subcommittee is made up of Billy Joe Miles, Myra Tobin, and herself. The Audit Subcommittee's primary charge is to provide oversight of UK's

financial practices, internal controls, financial management, and standards of conduct. The subcommittee is responsible for reviewing financial statements, assuring the Board that the statements reflect the financial condition of UK, determining the accuracy of internal controls, assuring the Board that any significant non-compliance with regulatory matters will be brought to the Board's attention, and insuring adherence to UK's conflict-of-interest policy.

Ms. Wickliffe reported that the subcommittee met with Mr. Joe Reed, the internal auditor of the internal audit committee of the university, and the external auditors Deloitte & Touche. Internal controls have been reviewed and found to be very good. Mr. Reed and his staff seem to have a strong internal program as confirmed by the external auditors. Ms. Wickliffe commended Mr. Reed and his staff on the professional performance of the work. The annual report is available to all Board members of the internal audit committee.

Mr. Hardymon and Ms. Wickliffe met with the external auditors, specifically Mr. Jim Carpenter, and discussed the audit and management's cooperation. Mr. Carpenter assured them that there were no problems in getting information to them to perform their audit.

Ms. Wickliffe reported that the other item discussed in the Audit Subcommittee meeting was the renewal option for the independent auditors. The university's four-year contract ended on June 30, 2004, but UK had the option to renew two one-year contracts, which it did. At the end of the two-year contract, the partner will be changed even though the second-line partner has been changed every year in the last three years. The increase in the price of that will be according to the CPI (consumer price index) and that right now is somewhere between the 2 percent and 3 percent.

Ms. Wickliffe reported that the Audit Subcommittee did have a good discussion on the Sarbanes Oxley Act that the Board discussed at its retreat. All of the items that UK was not complying with, even though UK does not have to be in compliance at this time, have been or will be corrected by this time next year.

Ms. Wickliffe thanked Mr. Reid and his staff for the progress they have made on the internal audit. It looks as though it is a good program. It has been reviewed by the external auditors, and they were very pleased as well. She recognized Mr. Reid who was in the audience.

L. Finance Committee Report – Gifts and Pledges (FCR 1 through 19)

Ms. Wickliffe noted that FCRs 1 through 20 are consent items. FCR 1 through 19 are gifts and pledges that total \$9.7 million: \$4.4 million will be matched by the state under the endowment match program, and \$5.3 million for the basketball practice facility.

M. Report of Lease (FCR 20)

Ms. Wickliffe reported that FCR 20, which is on the consent agenda, is the report of leases not exceeding \$30,000. There are three renewals and one new lease.

N. Approval of Lease (FCR 21)

Ms. Wickliffe said that FCR 21 is a lease between the College of Medicine and Blazer Parkway Venture, LLC. The space is located at 3470 Blazer Parkway in Lexington, and the lease will run through June 30, 2010. On behalf of the Finance Committee, she moved the adoption of FCR 21. Ms. Tobin seconded her motion, and it carried without dissent. (See FCR 21 at the end of the Minutes.)

O. Acceptance of Audit Report and the Report on Compliance and on Internal Control for the University of Kentucky for 2004-05 (FCR 22)

Ms. Wickliffe said that FCR 22 is the Acceptance of Audit Report and the Report on Compliance and on Internal Control for the University of Kentucky for 2004-05. The Finance Committee was given a detailed and thorough report by Mr. Marc Mathews, Controller, on FCR 22. She commented about the report from President Todd at the very beginning. She called attention to the independent auditors report and read the following statement from their report: "In our opinion, such consolidated financial statements present fairly, in all material respects, the financial position of the University of Kentucky and affiliated corporations as of June 30, 2005 and 2004, and their changes in net assets and their cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America." Ms. Wickliffe said that this statement specifies that it is a clean, unqualified audit and one that the university wants each time. She encouraged the Board to read the notes that better clarify what the figures represent.

Mr. Wickliffe reported that the university ended the year in excellent financial condition. As of June 30, 2005 the university has assets of \$2.45 billion, liabilities of \$582 million, and fund balances of \$1.863 billion. She noted that new assets increased \$125 million or 6 percent during the year. She moved the adoption of FCR 22, and Ms. Haney seconded her motion.

Mr. Hardymon said that the financials are something that some spend a little more time enjoying than others. As a Board, they should look at a couple of items: net assets improvement of \$125 million shows UK is a very strong organization, and to run the university, you have to have a fund balance. To see it improving is very positive. These two items show the strength of the organization. It is more or less called balance sheet management instead of maybe just regular revenue and expenses.

Mr. Hardymon expressed appreciation to Mr. Butler, Mr. Owen, and Dr. Smith for their good work. A good set of financials allows the Board to be comfortable. He said that he had a motion and second and called for a vote. FCR 22 was approved without dissent. (See FCR 22 at the end of the Minutes.)

P. Adoption of University of Kentucky Debt Policy (FCR 23)

Ms. Wickliffe called upon Mr. Henry Clay Owen, Treasurer, to comment on FCR 23.

Mr. Owen said that the proposed debt policy statement provides guidance on the strategic use of debt as a funding source and establishes a process for identifying and prioritizing capital projects that require debt. Generally, the allocation of debt to specific projects will be made based upon the importance of the project to the core mission of the University of Kentucky and also whether the project will generate enough revenues to pay the debt service. In other words, the use of debt is somewhat limited at UK. It is a valuable resource, but it is not unlimited. We have to use debt wisely, and the policy contemplates that we would do that.

The debt policy statement is attached to FCR 23. The purpose and objectives of the debt policy are stated on pages 1 and 2 of the document. There is a debt matrix allocation which is a four-quadrant model that shows an approach to identifying and prioritizing capital projects that would require debt.

Mr. Owen explained that generally projects that will receive the highest priority are in quadrant one, and those would represent projects that are critically important to the core missions of the University of Kentucky and would generate a revenue stream.

Quadrant two represents projects that are also critically important to the mission of the university but would not generate additional revenue or debt service but would require an allocation of general funds to support that.

Projects that fall in quadrants three and four would be judged to be projects that are not critical to the core missions. Quadrant three would have an income stream to support the debt service. Quadrant four would not, and they would generally receive the lowest priority.

The debt policy does not assume that any project that would fall in any of the four quadrants could not be funded. Circumstances will dictate that. Final decision, of course, will be up to the Board of Trustees as recommended by the President.

Mr. Owen said that financial ratios had been provided on page 4, and they also provided ratings indicator ratios that are shown on page 5. For those interested, the formulas of these ratios and how the ratios are put together are on pages 11 and 12. These financial ratios will give the administration an indication as to the overall financial health of the university from a balance-sheet prospective, from an operating perspective, and also from a capital perspective. Each time the university incurs debt or at least annually, based on the audited financial statements of the university, we would calculate these ratio, we would compare them to the ratios of our peer institutions even though the debt policy does not assume that we will have a targeted bond rating which is Aa3 for the university. That is certainly a rating that is desirable for the university. That rate probably puts the university in the top 25 percent of all institutions in terms of credit worthiness as reflected by the bond rating.

The Executive Vice President of Finance and Administration, Mr. Butler, will have overall responsibility for management of the capital debt of the university. Others will be involved in reviewing projects and setting priorities. This group, headed by Mr. Butler, then would make recommendations to the President for projects to be funded with debt, and then, of course, the President would make those recommendations to the Board of Trustees.

The Board in December adopted AR II 1.4-1 which calls for a process for approving all capital projects of the university that exceed \$400,000. All projects would come to the Board for approval on an individual basis if they cost \$400,000 or more. All debt that would be issued under this policy would also come to the Board for approval. Mr. Owen said that he would be happy to try to answer any questions that anyone may have on the debt policy.

Mr. Wilcoxson asked Mr. Owen to give an example that would fall into each of the quadrants. Mr. Owen gave the following examples:

Quadrant one would be a research building which would support research grant activity which generates an indirect cost reimbursement stream of revenue that then could be used to pay the underlying debt service. In other words, the project would be self-supporting by paying the debt;

Quadrant two would be an academic building that is needed for teaching or to serve students but which would not generate new revenues but, in effect, would have to be supported by the general fund;

Quadrant three would be another project that may not be critical to the mission of the university but would have a revenue stream, and

Quadrant four would represent a project not critical to the core that would not have a revenue stream.

Mr. Hardymon said that the Finance Committee has been working quite a bit on regulations and procedures, but there are still more to go. Periodically, you have to put some things in place to be sure that generations of people know how the university is to operate. This somewhat falls in that category. Yet, with hospital projects and debt situations as we try to really make a jump start into the Top 20, debt and how to use debt are things that we are going to be looking at very hard.

On behalf of the Finance Committee, Ms. Wickliffe moved the adoption of FCR 23. Mr. Shoop seconded the motion, and it carried without dissent. (See FCR 23 at the end of the Minutes.)

Q. A Resolution of the Board of Trustees of the University of Kentucky Approving a Trust Agreement Dated as of November 1, 2005 between the University of Kentucky and the Trustee Named Therein, Providing for the Authorization, Issuance, and Sale from Time to Time of General Receipts Obligations of the University of Kentucky, Providing for the Payment of Such Obligations, and the Securing of Such Payment, and Authorizing Other Matters Related Thereto (FCR 24)

Ms. Wickliffe said that FCR 24 is A Resolution of the Board of Trustees of the University of Kentucky Approving a Trust Agreement Dated as of November 1, 2005 between the University of Kentucky and the Trustee Named Therein, Providing for the Authorization, Issuance, and Sale from Time to Time of General Receipts Obligations of the University of Kentucky, Providing for the Payment of Such Obligations, and the Securing of Such Payment, and Authorizing Other Matters Related Thereto.

Ms. Wickliffe said that this new Trust Agreement or “General Bond Resolution” basically changes the university’s debt structure from a “segmented” approach to a consolidated approach that will bring all debt under a single structure secured by a pledge of the general receipts of the university.

A General Receipts structure is security for the university’s debt. It will provide for the lowest cost of capital and a greater debt capacity for the entire university.

The new debt structure has been reviewed and endorsed by the state’s Office of Financial Management (Finance and Administration Cabinet); Moody’s Investors Services; Morgan Stanley, the financial advisors; and Peck, Shaffer & Williams the bond counsel.

Ms. Wickliffe recognized Roger Peterman of Peck, Shaffer & Williams, who was present, and said he would be pleased to answer any questions about the Trust Agreement. Melissa Bastan of Morgan Stanley was also present to answer any questions about the credit structure and/or the general receipts pledge.

Mr. Hardyman asked if the staff was comfortable with the resolution.

Mr. Owen said the new Trust Agreement is one of the most important things that has been done at the university for a number of years in structuring debt. He reminded the Board of the previously issued bonds under three separate trust indentures; one for the consolidated education buildings project, one for the housing and dining system, and one for the University Hospital. Each of those resolutions would require individual issuance of debt, and the revenues received would be revenues from only those particular projects. The consolidation under a single debt structure will give the opportunity to access the bond markets on an equal basis for all projects and will be more accepted in the market, which leads to a lower cost of capital on a consolidated basis rather than on an individual basis. Our bond-rating agencies in the past have always put all of this together in assigning the bond ratings, but that is not always clear to the

bond-buying public or whoever might be out there. This is an excellent move for the University of Kentucky and positions the university well on a go-forward basis.

On behalf of the Finance Committee, Ms. Wickliffe moved the adoption of FCR 24. Ms. Ball seconded the motion, and it carried without dissent. (See FCR 24 at the end of the Minutes.)

R. Resolution of the Board of Trustees of the University of Kentucky (“State Agency”) Approving a Financing/Lease Agreement among the State Agency, the Kentucky Asset/Liability Commission, and the Finance and Administration Cabinet of the Commonwealth of Kentucky for the Purpose of Providing Financing for an Authorized Project (FCR 25)

Ms. Wickliffe said that FCR 25 is a Resolution of the Board of Trustees of the University of Kentucky (“State Agency”) Approving a Financing/Lease Agreement among the State Agency, the Kentucky Asset/Liability Commission, and the Finance and Administration Cabinet of the Commonwealth of Kentucky for the Purpose of Providing Financing for an Authorized Project. This action represents the first borrowing under the new General Receipts Trust Indenture that was just passed.

This resolution provides the initial \$100 million of debt financing for the Hospital Patient Care Facility, using the legislative authority granted by the 2005 General Assembly. The actual debt will be issued by the Commonwealth’s Asset/Liability Commission (ALCo) to take advantage of its legislative authority for the negotiated sale of bonds. The negotiated sale will likely take place during the last two weeks of October, with an expected closing date of approximately November 1, 2005.

On behalf of the Finance Committee, Ms. Wickliffe moved the adoption of FCR 25. Mr. Reed seconded the motion, and it carried without dissent. (See FCR 25 at the end of the Minutes.)

Mr. Hardymon mentioned that the Board was brought up to date on the movement of the University Hospital project at the recent retreat. Since the University Hospital Committee was meeting following the Board meeting, he did not ask Ms. Young or the hospital administrators to comment on FCR 25.

S. Consent to Modification of Listed External Trusts (FCR 26)

Ms. Wickliffe said that FCR 26 is the Consent to Modification of Listed External Trusts. There are 12 of those accounts listed in FCR 26, and they are handled by Bank One. UK is an income beneficiary of certain external trusts for which Bank One serves as Trustee. Distributions from these external trusts have been limited to income only. The Kentucky Principal and Income Act became effective January 1, 2005, which now authorizes Trustees to manage trusts on a “total return” basis. This approach permits Trustees to include a prudent portion of capital appreciation in the distribution to beneficiaries.

This action will allow the Trustee to more properly allocate trust assets to investment classes to maximize total return, which will allow the trust to keep pace with inflation and increase the distributions to UK. Distributions will generally be consistent with the university's endowment spending rate (currently at 4.75 percent; 4.5 percent in 2007 and thereafter.) Last year, the return that we got was 1.8 percent, and that was on \$430,000. So, you can see that if we cash this then we can more than likely come in somewhere between a 3 percent and a 5 percent return versus that 1.8 percent that we had last year.

On behalf of the Finance Committee, Ms. Wickliffe moved the adoption of FCR 26. Ms. May seconded the motion, and it carried without dissent. (See FCR 26 at the end of the Minutes.)

T. Construct Biological/Pharmaceutical Complex, Phase I and Upgrade/Modify Coldstream Research Campus Facilities (FCR 27)

Ms. Wickliffe said that FCR 27 is Construct Biological/Pharmaceutical Complex, Phase I and Upgrade/Modify Coldstream Research Campus Facilities. This approves two capital construction projects. The Biological/Pharmaceutical Complex Phase I was authorized by the 2005 General Assembly and funded with \$40 million of state bonds. The cost estimate is \$120 million. The appropriation is only about one-third of the estimated cost.

Phase I of the Biological/Pharmaceutical complex will shell 242,000 gross square feet for the College of Pharmacy. The university has requested the 2006 General Assembly to provide an additional \$80 million to fitup the shelled space.

The University purchased the Coldstream Center Building which was formerly called the Lexel Building in June 2004. The renovations are necessary to meet the needs of commercial occupants. That is going to take around \$700,000. The renovations will be phased in as space is leased and will be funded by the university and commercial occupants. The initial phase of \$700,000 will be funded by the university. The complete renovation will not exceed \$2.4 million.

On behalf of the Finance Committee, Ms. Wickliffe moved the adoption of FCR 27. Dr. Moore seconded the motion, and it carried without dissent. (See FCR 27 at the end of the Minutes.)

U. IRIS Project Phase II (FCR 28)

Ms. Wickliffe said that FCR 28 involves the IRIS Project. She referred to a brochure that was distributed at the Finance Committee meeting and urged members not present at that meeting to get a copy of the brochure.

The IRIS, the Integrated Resources Information System, is the complete replacement of core UK administrative systems including finance, purchasing, human resources, student information, and the faculty effort distribution system.

Phase I involved the replacement of the core systems and cost \$40 million. The funding was a combination of reserves and lease/purchase financing. Phase II will cost \$20 million and will be funded using lease/purchase financing. Repayment of the lease/purchase will be from the university's general fund.

Phase II will improve the administrative functions initiated in Phase I and add additional modules, which will provide "paperless" workflow; readily accessible student information for advising; faculty and staff self-service opportunities to change personal address, tax, and benefit information.

Phase I, the financials, will be the first module to "go live" on October 1. Other Phase I modules will "go live" through October 2006. Phase II will begin on November 1, 2005 and will end by June 30, 2008. Phase II should be the completion of the project.

On behalf of the Finance Committee, she moved the adoption of FCR 28. Mr. Williams seconded the motion.

Mr. Hardymon asked Dr. David Hardison, IRIS Functional Project Manager, to comment about the startup on October 1, and Dr. Hardison spoke very confidently about it. He asked Mr. Williams, who has been very involved with the project, for any comment.

Mr. Williams said UK was somewhat late to the party when it came to replacing its information system. That has probably turned out to be to the university's advantage because other institutions suffered major problems, such as being over their budget. UK has learned from their mistakes. He said that, in his opinion, the efficiency and the effectiveness of the project is a model for others.

Mr. Hardymon called for a vote, and FCR 28 passed without dissent. (See FCR 28 at the end of the Minutes.)

V. Disposition of Property (FCR 29)

Ms. Wickliffe said that FCR 29 deals with Disposition of Property. This is property that the university will sell at public auction. It is located at 656 Berry Lane in Lexington. The property is appraised at \$166,250, and the proceeds will be given to the School of Music. On behalf of the Finance Committee, she moved the adoption of FCR 29. Ms. Tobin seconded her motion, and it carried without dissent. (See FCR 29 at the end of the Minutes.)

W. 2005-06 Budget Revisions (FCR 30)

Ms. Wickliffe said that FCR 30 is 2005-06 Budget Revisions. The budget revisions total \$784,000, about a .5 percent increase in the university's \$1.7 billion budget. At the time the budget was approved in June, the university did not know the exact increase in federal appropriations. The majority of the new funds that are on page 2 of the action item are in the federal appropriations, and they will be spent for the Agriculture Cooperative Extension Service.

On behalf of the Finance Committee, she moved the adoption of FCR 30. Ms. Tobin seconded her motion, and it carried without dissent. (See FCR 30 at the end of the Minutes.)

X. Patent Assignment Report (FCR 31)

Ms. Wickliffe said that FCR 31 is the Patent Assignment Report from April 1 through July 31. The report includes five new patent applications, and they came from Applied Energy Research, the Department of Chemistry, the Department of Ophthalmology, the Department of Chemical and Materials Engineering, and the Department of Veterinary Science. She said that Dr. Baldwin was present and could answer any questions about the patents.

During fiscal year 2004-05, the university applied for 26 patents; 15 of those patents were issued, and UK received \$856,263. That is up 12 percent from the year before. During the current fiscal year, one patent has been issued, and that has totaled \$68,500.

On behalf of the Finance Committee, she moved the adoption of FCR 31. Ms. May seconded the motion, and it passed without dissent. (See FCR 31 at the end of the Minutes.)

Mr. Hardymon said that he hoped to get some information items back on the agenda in the near future and have Dr. Baldwin give a report on the research in the items.

Y. Capital Construction Report (FCR 32)

Ms. Wickliffe said that FCR 32 involves the capital construction projects. Three projects were completed: the Infrastructure on Utility Upgrade Phase 1 (\$753,988), the Steam and Condensate Pipe Replacement (\$1,124,679), and the Electronic Access Rural Demonstration Project for College of Law (\$247,855).

There were two contracts amended. There was a small design amendment on the CPST project for \$12,400. Another design phase on the Hospital project was added at an amount of \$1,572,700. This amendment during May brought the design totals at this time to \$2,672,860. However, another amendment in the current quarter, which was August, will take the fees up to \$8,663,854. Ultimately the overall design fees are anticipated to total between \$35 million and \$39 million. Further phases of the design will come forward as future amendments to the original contract.

There were eight change orders over \$25,000 as follows:

Two in CPST (for interior work electric room changes).

Four on the recently completed Housing Project (sidewalks, plantings, mailboxes, and Life Safety).

Two in Parking Structure #6. The Parking Structure project was significantly amended to add in additional parking level to the structure off Virginia Avenue. This was adding one level, and that level will include 176 spaces for a cost of \$1,797,284.

On behalf of the Finance Committee, Ms. Wickliffe recommended the adoption of FCR 32. Mr. Branscum seconded the motion, and it carried without dissent. (See FCR 32 at the end of the Minutes.)

Mr. Hardymon thanked Ms. Wickliffe for her good report. He said that it is good that the Finance Committee continues to track these projects.

Z. Investment Committee Report

Mr. Wilcoxson reported that the Endowment investments had a market value at June 30, 2005 of \$548.4 million compared to \$503.8 million on June 30, 2004, an increase of \$44.6 million for the fiscal year. The increase of \$44.6 million is the net result of additions from gifts and matching RCTF funds of \$32.1 million, investment earnings of \$39.3 million, less spending withdrawals of \$26.8 million in support of UK programs.

For the fiscal year ended June 30, 2005, the Endowment returned 7.8 percent, favorable on an absolute basis, but disappointing when compared to the policy benchmark return of 9.3 percent. The underperformance was due to poor performance by our U.S equity and non-U.S equity asset classes.

The favorable results for the quarter ending June 30, 2005 were followed by a positive return of 3 percent for the months of July and August. For the twelve months ended August 31, the Endowment returned 14 percent, which is slightly above the policy benchmark, and that is an indicator that the things we are doing relative to changing our asset allocation and our management structure are working.

Since July 1, 1992, UK's total endowment return is 9.4 percent and the Endowment Foundation benchmark is 9.5 percent. This is right on target. Mr. Wilcoxson said that he would be happy to answer any questions.

AA. Student Affairs Committee Report

Ms. Smith Edge, Chair of the Student Affairs Committee, reported that the committee met that morning. Members of the committee that were present were Phil Patton and Russ Williams. The committee also welcomed the new Student Government President Becky Ellingsworth as well as members of Dr. Pat Terrell's Student Affairs Staff and several of the other Board members.

Ms. Smith Edge complimented Shane Tedder, who is the student coordinator, along with 11 other members, of the resident-life recycling program. The program has recycled almost 24,000 pounds of cardboard during the recent move-in of students. During the first three weeks of the fall semester, over 15 tons of recyclable materials were diverted from the landfills. While this is very positive, it also returns about \$12,000 to \$14,000 in annual income back to the university. Even though these students do get a stipend, this is a very admirable program that many are not aware of, and this quantity is more than the amount recycled during the entire fall semester 2002. The students are doing a good job with the recycling program.

The committee highlighted many of the successes that have occurred since the beginning of the fall semester. UK Fusion, which many are aware of, is now in its third year. This occurs on Monday prior to the beginning of the fall semester. Over 950 students participate with 56 agencies throughout Lexington. This project has become so successful that additional projects will need to be identified next year so that every student who wants to participate will have a project. The university has actually saturated all the available service programs in the surrounding communities. UK continues to develop the town and gown relationship, and that is a benefit.

One of the other enhancements of the town and gown relationship is students can now use a plus account debit card off campus in about 10 different locations. They have already incurred \$48,000 of sales.

Despite the successes of the fall semester, there was an unfortunate death of another student. The committee is again dealing with alcohol issues. Some time was spent on that issue in the meeting since the high risk of alcohol usage continues to be a great challenge facing students in the university community. The Student Affairs Committee will focus exclusively on this issue at an upcoming meeting and will bring back some recommendations to the full Board in the near future.

The Student Affairs Committee heard briefly about a program review for the Student Center that has been completed. The committee is very optimistic about a new and renovated center with additional space for students.

During the next month, the committee will look at reviewing changes in the Governing Regulations, Administrative Regulations, and the Code of Student Conduct in light of some of the recent issues with Student Government as well as some other issues. The Committee hopes to discuss proposed changes at the October meeting.

BB. Athletic Association Board of Directors Report

Mr. Shoop, Trustee representative, reported that the Athletic Association Board of Directors met Wednesday, September 14. There were no action items on the agenda.

President Todd reported that the official notice of NCAA recertification had been received and there were glowing remarks about the program.

The Hall of Fame weekend was a big success. That is a tremendous addition to UK's traditions.

Mr. Barnhart reported that it was a good year financially for athletics, and athletics turned in a balanced budget. He reported on the practice facility and Memorial Coliseum's renovation. He also said that citizens of the commonwealth and the SEC Conference had responded well to the Katrina hurricane victims.

One of the head coaches of Women's Tennis left UK, and the position was filled with Carlos Drada, an assistant coach from Kentucky who was promoted. Mr. Barnhart could not say enough good things about this Mr. Drada.

The Board heard from Dr. Raynor Mullins, Chair of the Athletic Board's Long-Range Planning Committee. Dr. Mullins reported that his committee is working on the first comprehensive long-range planning report that has been done at the university. It is still in draft form but should be presented to the Athletic Board for approval at the next Athletic Board meeting.

Mr. Hardymon asked Rob Mullens if he wished to make any comments.

Mr. Mullens reiterated Mr. Shoop's comments about the success of the Hall of Fame event.

Mr. Dawson agreed that it was a great event. While the guys played football years before him, it was a great opportunity to meet some that he had heard a lot about.

CC. Alumni Association Report

On behalf of her fellow alumni trustees, Ms. Smith Edge, senior alumni trustee, gave a brief overview of the Alumni Association. She called attention to the alumni materials that had been distributed at the Board table and said that she would mention some of the highlights in the report of the Alumni Association.

Ms. Smith Edge mentioned the quarterly *Alumnui* magazine gets mailed once a year to all UK alums whether or not they are members of the Alumni Association. For those present who were not members or friends of the Alumni Association, she said that she, Ms. Haney, and Ms. Tobin would be at the door collecting membership applications from anyone interested in becoming a member.

The UK Alumni Association provides continuity (communication) between the university and its alumni. Their vision is to strengthen the international distinction of the UK Alumni Association as the umbrella organization for all alumni, and to continue to enhance the mission of the University of Kentucky. That does include the efforts to provide an ongoing connection between alumni and the university community.

The association's values, strategic plans, and goals are aligned with the university's Strategic Plan and goals as well.

The association has had an increase in membership by almost 7 percent, to over 35,000 UK members (about 22,000 annual members and over 13,548 life members). It is exciting that student membership has now increased to 1,600 new paying members. Thirty percent of the fall 2004 freshman class chose to show their loyalty to the university early in their career. This response is great.

The university provides a connection between the university and alumni through the *Kentucky Alumni* magazine, an electronic newsletter, and broadcast e-mails. The association has awarded 77 student scholarships in the amount of \$88,000 over the past year. It is extremely involved in student recruitment and college fairs literally around the country. The association has numerous programs and events to aid in retention and to help create an environment conducive to success. The Alumni Association also stays very active with alums through career counseling services. One of the association's large functions is always record updates. The association is constantly working on updating the database of all alumni.

The Alumni Association supports the efforts of several academic colleges and other campus units at association and alumni club events by running numerous reports and also having speakers at various association and alumni club events throughout the country. The association has always asked President and Mrs. Todd to attend the alumni events, and they have been in California as well as others places.

King Alumni House has hosted over 130 events with about 7,400 participants this past year and also stayed very involved in providing alumni tours and athletic tours as well. It remains the strong conduit between university and alumni.

On behalf of Ms. Tobin, Ms. Haney, and herself, Ms. Smith Edge thanked President Todd for the university's support.

Ms. Haney complimented the alumni staff. She reported that she has just stepped down as the president of the Alumni Association, and she praised them for their good work. It is not a large staff by membership size in comparison to UK's benchmarks, but the staff is thoroughly dedicated to the university and the work of the alumni. She complimented Stan Key, Liz Demoran, and the staff and said they are fantastic.

Ms. Smith Edge pointed out that the *Kentucky Alumni* magazine distributed to them has the ballot inside for the 2006 alumni trustee position which will be her position since she will be retiring as alumni trustee in June 2006. This is an opportunity for alums to be able to make a decision about their representation on the Board.

DD. Equine Research Foundation Report

Mr. Shoop, Trustee representative, began by saying that he wants to schedule an equine research presentation to the Board in the next few months.

He reported that during fiscal 2004-05 there were two meetings at the Gluck Center. One was held on Friday, December 3, 2004 and another on Monday, May 23, 2005. Mr. Don Robinson was elected chair, and his term is for a two-year period. He took over for Mrs. Alice Chandler who is a wonderful lady. She did a tremendous job in the position as chair of the Equine Center.

At the May meeting the Board accepted the proposed budget of \$457,000 for the upcoming fiscal year, and of that total, some \$360,000 comprised the research budget. Most of

the money is spent on research. The budget documents also show an endowment of \$4, 600,000 in the Equine Research General Endowment.

One little disappointment is that Dr. Peter Timoney, a long-time director of the Gluck Center, announced his plans to retire in the next year and return to his research efforts. He has been with the Gluck Center for many years and has been a moving force. He is a wonderful individual and has done an outstanding job at the Gluck Center, which is recognized worldwide.

EE. Resolutions

Mr. Hardymon said that there were two resolutions to be read, and he asked Mr. Reed to read the first resolution.

Mr. Reed said that the first resolution is honoring former Trustee Alice Stevens Sparks. He read the following resolution:

WHEREAS, Alice Stevens Sparks served on the University of Kentucky Board of Trustees since 1999, and

WHEREAS, Alice Sparks has chaired the Board of Trustees Academic Affairs Committee and was a member of the Board's Nominating Committee, and

WHEREAS, Alice Sparks has admirably and faithfully presided over many significant changes in the academic policies, administration and organization at the University of Kentucky, and

WHEREAS, Alice Sparks is one of the most steadfast and loyal supporters and cheerleaders of the students, faculty, and staff in the academic realm of the university and the student-athletes and their coaches and leaders,

NOW, THEREFORE, BE IT RESOLVED that the members of the UK Board of Trustees express to Alice Stevens Sparks how much each of us have sincerely enjoyed working alongside her and cheering with her the progress and success of the University during her tenure and wish her great success and happiness in all her future efforts.

Mr. Reed said that one of the benefits of being able to read a resolution is that you get to add a couple of personal comments. When he thinks of the University of Kentucky motto to dream, challenge, and succeed, it reminds him of the university's mission to the students, and it really is wonderful knowing that the university has that great mission. Being able to work alongside Alice Sparks, he learned of her love for students, her work ethic, the sacrifices she made, and her concern for students. Another great benefit of being on the Board is the fact that you are helping these students to dream, challenge, and succeed, and that you develop friendships with people as wonderful as Alice Sparks. Ms. Sparks made people more inspired. He thanked her for effect she had on him.

Mr. Hardymon called upon Ms. May to read the next resolution.

Ms. May began with her personal comments before reading the resolution. “For the new members on the Board, you are beginning down an amazing path and you are going to come into contact with some amazing people over the next few years. The great thing is that you get here and you meet people from all kinds of backgrounds and different experiences. They offer different levels of excitement to the Board and different resources. The sad thing is each year you are going to lose some of those people because you are going to rotate off the Board and some you will not see again. Some may drop you a note in the mail, but it is not the same thing as being at the Board table with them.

You know we are always happy to see new members come. It is with sadness that at the same meeting we have resolutions honoring those that have gone before. Some return to us I understand but many times these people will pass through for the work they have done. It is really fitting to welcome new members and read resolutions for those rotating off at the same meeting to say thank you for what they have done before. There could not be a more impressive group of people to serve with and a more important thing than to try to guide the young people of this Commonwealth and direct its future.”

She read the following resolution for Elaine Wilson:

WHEREAS, Elaine A. Wilson has been a member of the University of Kentucky Board of Trustees since 1999 and

WHEREAS, Elaine Wilson served as the Board of Trustee’s Secretary, as an ex officio member of the Board’s Executive Committee and as a member of the Board’s Academic Affairs and Human Resources committees, and

WHEREAS, Elaine Wilson, who is associate facility director of Oakwood in Somerset, Kentucky, and

WHEREAS, Elaine Wilson serves in many other civic functions, including as a member of the Somerset Independent Schools Board of Education, Hospice of Lake Cumberland, the National Association of Social Workers, the American Association of Mental Retardation, and is a past member of the Board of Directors of the United Way of Kentucky as well as past president of the United Way of South Central Kentucky,

NOW, THEREFORE, BE IT RESOLVED, that the members of the University of Kentucky Board of Trustees offer their profound thanks to Elaine A. Wilson for her faithful service to both her alma mater, the University of Kentucky , and to the citizens of the Commonwealth of Kentucky through her many other civic commitments. We wish her the best that life offers her in every undertaking.

Mr. Hardymon asked that the Board give Ms. Sparks and Ms. Wilson a round of applause.

FF. Consideration of New Contract for President Lee T. Todd, Jr.

Mr. Hardymon said that there was one more item on the agenda and that was the consideration of a new contract for President Todd. He said that he would give his comments and then move into the formal process after that. He gave the following statement:

In June as a Board we evaluated President Todd's job performance. We overwhelmingly agreed that the performance has been extremely positive. Some examples that we saw was the quality of our student body has continuously improved. Even in tough budgetary circumstances, we have attracted nationally renowned faculty. With several hires, plans for expansion, and increased efficiency, UK HealthCare has made significant steps towards national prominence, and our growth as a research institution has reached records heights. Last year alone our research dollars topped \$274 million. The third year in a row our efforts exceeded \$200 million. The bottom line, we have made important progress towards our goal of becoming a Top 20 research institution. At the same time we have a long way to go to reach our goals between now and 2020.

The next few years represent a critical juncture in our efforts. We must, in fact, significantly accelerate our progress if we hope to reach the important goals we have for this institution and for this state.

Against that backdrop, the Board instructs me to begin working with the President on a new contract, something President Todd and I have met several times about since June. In addition earlier this month we held briefings as a Board to discuss a proposed contract. The sessions were thoughtful, insightful, and extremely productive. Many of your comments have been incorporated in today's proposal.

In this proposal we have sought to do three things:

1. Reward President Todd for his leadership in moving this institution forward.
2. Move the UK president's compensation closer to the average of presidents at the institutions in which we compare ourselves.
3. Challenge the president to move us even further, faster, as a university by tying his compensation more clearly to our success in reaching strategic objectives, objectives to be set forth by the President and the Board.

During this process I also examined what other institutions do in terms of presidential compensation. Three significant points jumped out at me. The typical renewal contract has a length of three to four years. My review indicates UK president's compensation is well behind that of presidents at our benchmark institutions. That base salary does not include additional inducements paid by foundation boards or bonuses paid by meeting strategic objectives.

This proposed contract addresses all these three points. The contract is about three years in length from execution today through June 30, 2008. The President's base salary in the contract is \$286,000. In subsequent years of the contract the President will receive any annual increase generated generally authorized for faculty and staff.

In addition, the President will be paid \$25,000 annually for chairing the Research Foundation Board and \$25,000 annually for chairing UK Athletic Association Board. Clearly much of the President's efforts and focus are in attracting research dollars. He also must spend a great deal of time working with our Athletics Department, overseeing its progress and insuring its continued success both on the field and in the classroom.

And as I alluded to you, we have started as a Board to fashion clear strategic objectives that we expect the President to meet over the course of the year. These objectives which we will finalize in the coming weeks will include such items as

- Making significant progress toward the goals outlined in the university's three-year Strategic Plan.
- Managing cost to insure UK performs to budget, including special oversight of the hospital's expansion project.
- Continuing to work with governmental leaders to achieve our legislative priorities and funding needs.
- Improving communications with the Board, faculty, staff, and students.
- Increasing endowment dollars.
- Working to complete and begin to execute a realistic and achievable Top 20 business plan.

Upon achieving these objectives and others this year, the President would be eligible for a \$125,000 bonus. His maximum cash compensation for this year then would be \$460,000. In 2007 and 2008, upon completion of strategic objectives set forth by the Board, the President would be eligible for incentive bonuses in the amount of \$150,000 each year.

My experience as a corporate board member is that the full bonus amounts are rarely achieved. However, there is an expectation that if performance measurers are met, a majority of the bonus would be paid. As a Board governing this institution we would and should expect no less from our president in his leadership and his performance.

Should the President complete his contract, he would be eligible for a retention bonus in 2008 of \$100,000. In addition, if Lee continues to serve as president until the mandatory retirement age of 65, he would be entitled to receive full salary and benefits equal to one

full year. All of this is a signal that we want this president to succeed, to complete his contract, and to remain as president of this institution beyond the terms of this contract.

Now, there is a very important change in this contract versus the last one. This contract clearly seeks to provide incentives to create maximum performance now rather than rewarding the President for performance after the fact. For example, it proposes a significant change from the previous contract which contemplated a larger payout upon retirement as president. That change involved such things as an annual payment of no less than 80 percent of his base salary if he continued in another position, which he could for a number of years.

This proposal chooses to incentivize performance now. Upon retirement as president, Dr. Todd has the option to return to the faculty in the College of Engineering with a salary determined by the dean of that college. Other aspects of this contract (life insurance, deferred compensation, retirement, and health insurance) would remain substantially unchanged.

The proposed contract in my judgment rewards this president for his performance thus far. It challenges him to take bolder steps in the future and creates a more competitive compensation package for the leader of an institution that aspires to be among the best in the country.

With that, Mr. Hardymon entertained a motion for acceptance of the proposed three-year contract for President Todd. Mr. Patton moved approval and Mr. Williams seconded the motion. Mr. Hardymon said that he knew the Board worked hard on the contract, and he expressed appreciation for their hard work.

Mr. Patton said that he really appreciated Mr. Hardymon's hard work guiding the Board through the process. His experience of serving on other corporate boards was a great benefit to the Board in how it arrived at the contract. He said that he feels very good about all of it.

Mr. Hardymon thanked Mr. Patton and said like everything else it takes a team. He noted that the President was very good to work with during the process, and Barbara Jones kept him in line.

Ms. Tobin expressed thanks to Mr. Hardymon and said the university is very fortunate to have a Kentuckian to lead it. She called attention to the *Kentucky Alumni* Magazine and noted that Dr. Todd's name was in there for being recognized as one of the Distinguished Alumni in May 2005. This is something that is done only every five years.

Mr. Shoop said that it goes without saying that one reason all of the Board is not speaking up is that the Board is very confident with this president.

Mr. Hardymon called for a vote, and the motion carried without dissent.

President Todd thanked the Board for its support over these four pleasant years. It has been an interesting time. He feels honored to serve the institution that Patsy and he attended, then worked here, hired employees from here, and now is back in this position. He thanked Mr. Hardymon for the way in which he proceeded through this process and the diligence that he demonstrated in working with the Board and him to come to a contract that he is very pleased with.

President Todd thanked his wife, who has been a vital piece of this job, and their children, all of whom have had to increase their tolerance level for husband and father and have been extremely supportive in that regard. He also thanked his staff.

Some people said before he took the position and shortly into the position when the budget cuts came that the university should forego the Top 20 challenge, but none of them ever wanted to do that. The staff has worked extremely hard to do everything to put this into the reality column.

President Todd also thanked the faculty, the staff, and student body who have seen some interesting times and made tremendous progress. They are certainly up to the job of helping to continue to move this great institution forward.

He said he was humbled by the Board's confidence and the opportunity to serve the university.

GG. Meeting adjourned

With no further business to come before the Board, Mr. Hardymon adjourned the meeting at 3:53 p.m.

Respectfully submitted,

Pamela R. May
Secretary, Board of Trustees

(PR 2, 3, and 4; AACR 1; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, and 32 which follow are official parts of the Minutes of the meeting.)

PR 1

Office of the President
September 20, 2005

1. Record \$274 Million in Grants and Contracts Received in Fiscal Year 2005

University of Kentucky faculty and researchers brought in a record \$273,966,137 million in grants and contracts during fiscal year 2005. The record amount, a 15 percent increase over last year's \$238 million, clearly demonstrates UK's momentum in reaching national prominence as a research institution and is indicative of the success the university is having as a catalyst for positive change in Kentucky. Federal awards – from agencies such as the National Institutes of Health, National Science Foundation, and departments of Defense, Education, and Agriculture, among others – totaled \$152.2 million, a 6 percent increase over the previous year's total of \$143.8 million.

2. College of Medicine Departments Rank among NIH's Top 20

Several UK College of Medicine departments rank among the top 20 in National Institutes of Health funding to public medical schools for fiscal year 2004. Overall, the UK College of Medicine received \$62,202,492 and ranks 31st in fiscal year 2004 NIH funding to public medical schools. This represents a gain over last year's 35th place ranking, with the college earning almost \$3 million additional NIH research dollars. UK College of Medicine basic science departments ranked in the top 20 among public medical schools include: Behavioral Science at No. 1; Molecular and Biomedical Pharmacology at No. 10; Anatomy and Neurobiology at No. 14; Molecular and Cellular Biochemistry at No. 14; and Microbiology, Immunology and Molecular Genetics at No. 17. The Behavioral Science Department is also ranked first among departments of psychology in NIH funding among all medical schools nationwide. UK clinical departments in the top 20 include Physical Medicine and Rehabilitation at No. 8, Neurology at No. 13, OB/GYN at No. 15, and Pathology at No. 20.

3. Four New Residence Halls Open to Students

Students have moved into UK's four new residence halls, the first ones to open on campus since Greg Page Apartments in 1979. At a total cost of \$46 million, the halls were financed through university housing and dining bonds. The new dorms meet the demand for on-campus housing due to the university's record enrollment growth over the past few years. Three of the residence halls are located on south campus near the Kirwan/Blanding Complex and one is located at the corner of Martin Luther King, Jr. Boulevard and Euclid Avenue on north campus.

4. Nearly \$5 Million in Grants Fund Homeland Security Research Campuswide

UK received \$4.91 million in grants from the U.S. Department of Homeland Security for six research and development projects, including a project designed to protect milk from deliberate contamination during production. The projects – most of which will be conducted at UK's Center for Visualization and Virtual Environments – are designed to help overcome safety concerns arising from terrorism.

5. Chellgren Center for Undergraduate Excellence Is Collaborative Effort

A new undergraduate initiative called the Chellgren Center for Undergraduate Excellence will promote undergraduate success and achievement while unifying the efforts of many successful undergraduate programs. The UK undergraduate programs that form the new Chellgren Center Federation are the UK Intercollegiate Debate Program, the Honors Program, the Gaines Center for the Humanities, the Discovery Seminar Program, the Office of External Scholarships, the Office of Study Abroad, and the Office of Undergraduate Research. The program is named for Paul Chellgren, a retired chief executive officer of Ashland Inc. and a former, long-time member of the UK Board of Trustees. Chellgren, a UK alumnus, contributed \$1.5 million to the university, which was matched with funds from the Kentucky Research Challenge Trust Fund, to create a new endowed faculty chair and some endowed professorships.

6. Additional Grants for Partnership Enhancement Programs Received

UK received a second round of grants for Partnership Enhancement Programs from its highly successful Appalachian Math Science Partnership (AMSP) program to elementary, middle, and high schools in 12 Appalachian counties in Kentucky, Tennessee, and Virginia. The new enhancement projects total \$242,584 and are part of the largest National Science Foundation grant ever received by UK – more than \$22 million over five years – all aimed at improving the math and science skills of Appalachian students. Test scores of students in Appalachian schools that received previous partnership enhancement grants showed that students who studied in AMSP-enhanced math and science programs earned dramatically improved math and science grades.

7. Low-income, Uninsured Eastern Kentuckians to Receive Health Care

The UK College of Medicine and North Fork Valley Community Health Board received a federal grant to extend health care services to more low-income, uninsured Eastern Kentuckians. The \$650,000 annual health center grant, announced by the U.S. Department of Health and Human Services, will enable the current UK Family Medicine and Family Dental Clinic to expand its primary, behavioral, and oral health services to those living in Dice, Ky., lower-income areas of Hazard in Perry County, and portions of Knott and Leslie counties.

8. Agreement Signed to Plant Hardwood Trees on Coal-mined Land

The reforestation of coal-mined lands using high-value hardwood trees will be promoted under an initiative signed by Governor Ernie Fletcher, UK, and representatives of the Kentucky

coal industry. UK and state government have partnered with six other states and their universities in the Appalachian Regional Reforestation Initiative, started by the federal Office of Surface Mining. The states, their universities, and OSM are working to promote the planting of more high-value hardwood trees on active and abandoned coal mines in this region.

9. UK Follows Through With Commitment to Horse Industry

The UK College of Agriculture's Equine Initiative, a recently formed partnership between the college and the horse industry, is conducting a survey to give those involved in the industry an opportunity to define the kind of future they want for Kentucky. The survey is another step aimed at fulfilling UK's mission to be more responsive to the daily needs of the equine industry.

10. Kentuckians Among First to Benefit From Lung Disease Research

Residents of Eastern Kentucky are the first in the United States to be able to participate in the Burden of Lung Disease (BOLD) research study, which is part of UK's Marty Driesler Cancer Project. The study will focus on the problem of chronic lung disease in the 5th Congressional District. It is estimated that counties in the district have some of the highest rates of lung disease in the nation.

11. Kentucky Minority and Women Contractors Will Benefit from New Initiative

The Federal Highway Administration through the Kentucky Transportation Cabinet's Office for Business and Occupational Development has provided funding to the Kentucky Small Business Development Centers to implement an extensive training program for minority and women contractors and Disadvantaged Business Enterprises throughout the Commonwealth. Kentucky Association of Disadvantaged Business Enterprises will collaborate with KSBDC and continue their efforts to support DBEs. Additional supporters include the Kentucky Cabinet for Economic Development's Small Minority Business Division and Kentucky Housing Corporation. The goal of the Kentucky Minority and Women Construction Training Program is to increase the pool of qualified Disadvantaged Business Enterprises by enhancing technical, managerial and entrepreneurial skills in order to meet the demands of a rapidly changing highway industry.

12. 60 Minutes Focuses on UK's Parkinson's Disease Research

On Sept. 11, the CBS news show *60 Minutes* aired a feature on UK Parkinson's disease researchers and patients who participated in the clinical trial of the drug GDNF. The drug was withdrawn from testing by its manufacturer amidst controversy last year. CBS correspondent Lesley Stahl visited UK's campus in August to film patients and researchers.

13. Women's Choir Makes University's First International Tour

The UK Women's Choir, conducted by Lori Hetzel, director of UK Women's Choir and associate director of choral ensembles, toured Europe this summer, marking the first international tour by a choral group from the UK School of Music. The ensemble consisted of 50

singers from the present UK Women's Choir, as well as alumni singers. The group gave five concerts in some of the most musically interesting cities in France, Germany and Austria.

14. Student Awards and Achievements

Gwendoline Ayuninjam, doctoral student in Educational Policy Studies and Evaluation, has been named UK's first Holmes Scholar.

Mike Carter, Merritt Johnson, Matt Major and Robert Sellin, Engineering, were selected for the Navy's Nuclear Propulsion Officer Candidate Program in 2003 and have now been commissioned and are serving on active duty. Johnson and Sellin are from Lexington, Carter from Nicholasville and Johnson from Franklin, respectively. All have requested duty in the Navy's fleet of attack submarines.

Carol Lunney, Family Studies master's degree student, has been awarded the second annual Paul Kevin Burberry Award from the UK Interdisciplinary Human Development Institute.

Hussein A. Mahmoud, Anthropology, was awarded a two-year, post-doctoral appointment at the Max Planck Institute of Social Anthropology, Germany, one of Europe's leading anthropological research centers. Mahmoud will be writing and conducting research on ethnic conflict and identity in northern Kenya and southern Ethiopia, and will take a temporary leave from his faculty position at Egerton University, Kenya.

Regina R. Washington, Public Health doctoral student, was awarded the 2005 National Rural Health Association/John Snow, Inc. Student Achievement Award, which honors outstanding graduate students. She is the founder and current president of the UK Student NRHA Chapter.

15. Faculty and Staff Awards and Achievements

Heidi Milia Anderson, Pharmacy, was elected as a Fellow of the American Pharmacists Association during the APhA 2005 annual meeting held in Orlando, Fla.

Ernest Bailey, Veterinary Science, earned the first-ever award for outstanding service to the equine genomics community from the international Havemeyer Equine Genome Workshop, a group of about 30 participating laboratories in the United States and several countries worldwide. The group worked together to develop a horse gene map that is instrumental in identifying genetic causes of inherited equine diseases.

Beth E. Barnes, Journalism and Telecommunications, has been elected to the Accrediting Council on Education in Journalism and Mass Communications.

Suketu Bhavsar, Physics and Astronomy, has been named director of the university's Honors Program.

David Bradshaw, Philosophy, has won the Morris D. Forkosch Prize from the Journal of the History of Ideas. The prize is for the best work of intellectual history by a new author published in 2004. It has been awarded to his book, titled *Aristotle East and West: Metaphysics and the Division of Christendom*. The book, published by Cambridge University Press, is a parallel history of the development of metaphysics and natural theology in the eastern and western halves of Christendom during the Middle Ages. It is thereby also a study of the philosophical background to the division between the Eastern Orthodox and Roman Catholic churches.

The Principal's Excellence Program that Tricia Browne-Ferrigno, Educational Leadership Studies, developed in collaboration with Pike County Public Schools will be featured as a best practices case study in a fieldbook, *Leadership & Sustainability: System Thinkers in Action*, written by Michael Fullan.

Tricia Browne-Ferrigno, Educational Leadership Studies, was an invited panelist for the first annual Innovation Forum in Best Practices in Leadership sponsored by the First Ring Leadership Academy, Cleveland State University.

James Campbell, Music, has taken first place in the Percussive Arts Society 2006 Composition Contest. Campbell's new work, *Garage Drummer* is scored for multiple percussion solo with CD accompaniment.

Terry Childers, Von Allmen Electronic Commerce Center, was recently elected as a Fellow of the Society for Consumer Psychology. He was named the 2005 recipient of the honor based on his contributions to the field of consumer psychology.

Governor Ernie Fletcher has appointed James G. Cibulka, Education, as the newest member to the Education Professional Standards Board.

Bob Coleman, Extension, was awarded the most prestigious honor bestowed by the Equine Science Society upon one of its members. Coleman received the 2005 Distinguished Service Award in Equine Science from the ESS at its symposium in Tuscon, Ariz. The award recognizes outstanding contributions to the field of equine science.

John Connolly, Computational Sciences, has been elected as the president of Hewlett Packard - Consortium for Advanced Scientific and Technological computing, a worldwide organization of institutions that use Hewlett Packard supercomputers.

Stephen DeMers, Educational and Counseling Psychology, was appointed executive officer of the Association of State and Provincial Psychology Boards. The ASPPB is the alliance of state, provincial, and territorial agencies responsible for the licensure and certification of psychologists throughout the United States and Canada. DeMers assumed his position on Sept. 1, 2005.

Carol Pitts Diedrichs, Libraries, was elected to a position on the SOLINET Board of Directors. SOLINET is a non-profit membership organization serving more than 2,600 libraries of all types and sizes in 10 southeastern states and the Caribbean.

Stephen Dobson, Entomology, is one of a team of scientists from five countries awarded a \$10 million grant to further international efforts to combat a disease that strikes 50 million people a year worldwide. The grant, awarded by the Bill and Melinda Gates Foundation and administered by the Foundation for the National Institutes of Health, will enable scientists in the U.S., Australia, and Asia to study ways to improve methods for controlling mosquitoes that carry dengue viruses.

Dennis Doherty, Pulmonology, co-chaired the Sixth Oxygen Consensus Conference Aug. 26-28 in Denver, Colo., along with Denver pulmonologist Dr. Tom Petty. The conference was designed to bring together key leaders in scientific and clinical areas of oxygen therapy, insurance payers, oxygen manufacturers, and patients. Doherty also moderated and delivered the Opening Keynote Address lecture, including a panel discussion on the state of respiratory disease in America, during the World Congress Leadership Summit on Advances in Treating and Managing the Cost of Respiratory Disease.

The first novel written by Kim Edwards, English, has been chosen as a Barnes and Noble Discover Great New Writers title, as well as the Lexington Herald-Leader's book club selection for July. The novel, *The Memory Keeper's Daughte*, released at the end of June and in its third

printing, is a testament to the way life can take unexpected turns, and how two families' lives are intertwined in mystery, loss and grief. It is a tale of redemptive love. Edwards, a professor in the English Department in the College of Arts and Sciences, has won numerous awards including a Whiting Award and the Nelson Algren Award.

Ginny Ellington, Family Studies, earned the Outstanding Service Award from the Kentucky Association for Career and Technical Education.

Jody Ensman is the new manager of the Health & Wellness Program at UK. In this role, she is responsible for the administration of the University's Health & Wellness Program as well as working collaboratively with multiple units across UK's campus to develop and implement programs that support the fiscal and physical well-being of the University and its employees.

Brenda G. Fahy, Anesthesiology, director of critical care anesthesiology, has been awarded a Society for Executive Leadership in Academic Medicine Award for Excellence. SELAM is committed to the advancement and promotion of women to executive positions in academic health professions through programs that enhance professional development and provide networking and mentoring opportunities.

Mark Farman, Plant Pathology, and graduate student Cathryn Rehmeyer were members of an international collaboration to resolve the genome sequence of a rice blast fungus that is the most destructive pathogen of rice worldwide.

The American Society of Mining and Reclamation has presented Donald H. Graves, Forestry, the society's prestigious Reforestation Award.

Philip A. Greasley has been named University of Kentucky associate vice president for University Initiatives.

Michael Grice has been named director of the UK Singletary Center for the Arts.

Jennifer Grisham-Brown, Special Education and Rehabilitation Counseling, co-authored a book with Mary Louise Hemmeter, Vanderbilt University (formerly of UK) and Kristie Pretti-Frontczak of Kent State University. Published by Brookes Publishing Company, it is titled *Blended Practices for Teaching Young Children in Inclusive Settings*.

Kathy Grzech, Sponsored Program Development, has been named to a two-year term on the national advisory board of the Grants Resource Center of the American Association of State Colleges and Universities.

Thomas R. Guskey, Educational Policy Studies and Evaluation, recently delivered keynote presentations based on his research and writing on professional development at three national conferences. These included the Annual Summer Conference of the Consortium for Research on Educational Accountability and Teacher Evaluation in Memphis, Tenn.; the Summer Institute for School Teams sponsored by the National Association for Elementary School Principals and the HOPE Foundation in Williamsburg, Va.; and the Annual School Leadership Conference sponsored by the Kansas University Center for Research on Learning in Lawrence, Kan.

Sunny Ham, Nutrition and Food Science, has been awarded Best Paper Award from International Council on Hotel, Restaurant and Institutional Education annual conference, held in Las Vegas, on July 27-31, for an article *Food-away-from-home expenditure of senior households in the U.S.: A Heckman's Two-Step Approach*.

Dave Harris, Kentucky Geological Survey, will receive the 2005 Honorary Membership Award from the Eastern Section of the AAPG, recognizing distinguished service and devotion to the service and profession of geology. Harris served as lead investigator on the development of a new map of an Eastern Kentucky oil- and natural gas-producing geologic formation known as

the Big Lime.

David Hasselbacher, and David Hiestand, Pulmonary, Critical Care, and Sleep Medicine, are among an elite group of 40 researchers whose work was selected for presentation and the Second Annual Respiratory Disease Young Investigators Forum.

J. Todd Hastings and Sen-Ching Cheung, Engineering, received the Ralph E. Powe Junior Faculty Enhancement Award from Oak Ridge Associated Universities for the 2005-2006 academic year. The \$5,000 grants to both Hastings and Cheung will be matched by UK.

Ardis Dee Hoven, Medicine, was elected to the Board of Trustees of the American Medical Association on the first ballot, and Baretta R. Casey, Infectious Diseases, was elected to the AMA Council on Medical Education on the first ballot.

Carol E. Jordan, Center for Research on Violence Against Women, has been awarded the Paul H. Chapman Award, which is given each year by the Foundation for Improvement of Justice located in Atlanta.

Joel Lee, Health Services Management, has been appointed by the director of the National Institute of Standards and Technology to the 2005 Board of Examiners for the Malcolm Baldrige National Quality Award. The award is the highest level of national recognition for performance excellence that an organization in the United States can receive.

A research breakthrough by Guo-Min Li, Markey Cancer Center, is the cover story in the September issue of the premier international research science journal, Cell Magazine.

David Mannino, Pulmonary, Critical Care, and Sleep Medicine, has been elected co-chair of the USCOPD Coalition. This coalition comprises over 30 health professional organizations, patient organizations and foundations, and government agencies with the goal of increasing awareness and action for chronic obstructive pulmonary disease.

Roy Moore, Journalism and Telecommunications, has been appointed to succeed Buck Ryan as executive director of the University of Kentucky's First Amendment Center, based in the School of Journalism and Telecommunications.

Melanie Otis, Social Work, was appointed to a three-year term (2005-2008) on the Council on Social Work Education, Council on Sexual Identity and Gender Expression.

Gary Palmer, Plant and Soil Sciences, received the 2004 Senator Wendell Ford Leadership Award from the Burley Tobacco Growers Cooperative Association for his leadership and service to Kentucky's tobacco farming families.

Philip Palmgreen, Communications and Information Sciences, will be awarded the 2005 Gerald M. Phillips Award for Distinguished Applied Communication Scholarship given by the National Communication Association.

Johné M. Parker, Mechanical Engineering, was named by the American Society of Mechanical Engineers as an ASME Congressional Fellow for 2005-06. As part of the ASME Federal Fellows program, Congressional Fellows serve a year in the office of a U.S. senator or representative, in federal agencies, the White House, or with a congressional committee or subcommittee providing engineering and technical advice to policy makers in Washington.

Cancer patients and their physicians have new answers as they seek the best treatment for the devastating problem of spinal cord compression caused by metastatic cancer, thanks to Dr. Roy A. Patchell, Neurosurgery, and colleagues at UK. In the Aug. 20 Lancet, Patchell's research team evaluated surgical and radiation therapy options and concluded that direct decompressive surgery plus postoperative radiotherapy is more effective than radiotherapy alone.

Kathy Walsh-Piper, UK Art Museum, has been selected to attend the invitation-only Acadia Summer Art Program in Acadia Maine. The program, now in its 12th year, is a think-tank

of artists, museum directors, curators, architects, musicians, writers, scholars and thinkers who gather every summer on Maine's Mount Desert Island to share and inspire ideas and collaborations.

David Puleo, Graduate Center for Biomedical Engineering, has been appointed to serve in the Musculoskeletal Tissue Engineering Study Section of the NIH.

Ana Rueda, Hispanic Studies, has been elected president of the Ibero-American Society for Eighteenth-Century Studies, an affiliate of the American Society for Eighteenth-Century Studies.

During a recent research trip, Ross Scaife, Modern and Classical Languages, Literatures and Cultures, was noted in the April 25 edition of the New York Sun. Scaife journeyed to the papyrology laboratory at Oxford University to investigate an entirely new method, inspired partially in collaboration with the UK Medical Center, to read ancient papyrus documents that have remained unread since discovery because they are too delicate to be unrolled without severe damage.

Anita M. Superson, Philosophy, has received a \$30,000 postdoctoral leave fellowship to complete a book on moral skepticism.

Liz Swanson, Architecture, and Michael McKay, former faculty member and alumnus of UK School of Architecture, took one of two first place honors in the Bridge/Park Design Competition, Buzzards Bay Village Association, Bourne, Mass. The international architectural design competition was sponsored by the Buzzards Bay Village Association and was funded by the U.S. Army Corp of Engineers; the Commonwealth of Massachusetts; and the Town of Bourne, Mass.

Don Thornton, Parking and Transportation Services, has been re-appointed to the Board of Advisors of the International Parking Institute, headquartered in Fredericksburg, Va. This will be his third term on the Board of Advisors.

Larry Turner, Cooperative Extension Service, has been selected as one of only 21 participants from across the nation for the inaugural Food Systems Leadership Institute.

Brian Umberger, Kinesiology and Health Promotion, had his paper titled *Constraints Necessary To Produce Realistic Simulations Of Vertical Jumping* nominated for the Andrzej Komor Award at the International Symposium on Computer Simulation in Biomechanics, the official meeting of the International Society of Biomechanics Technical Group on Computer Simulation. The Komor award recognizes the work of promising new scientists working in the area of computer simulation as related to the biomechanics of human movement.

16. Faculty Research Activities

Allen, David, Kentucky Transportation Center, \$100,000, Developing A Database of Materials, Design, Construction, and Experimental Techniques of Pavements 04-272

Allen, David, Kentucky Transportation Center, \$100,000, Evaluation and Implementation Issues for the 2002 Pavement Design Guide 04-273

Allen, David, Kentucky Transportation Center, \$100,000, Developing A Database of Materials, Design, Construction, and Experimental Techniques of Pavements 04-272

Allen, David, Kentucky Transportation Center, \$100,000, Evaluation and Implementation Issues for the 2002 Pavement Design Guide 04-273

Allen, David, Kentucky Transportation Center, \$100,000, Durability Issues of Asphalt Pavements (Polymer Modifiers) 05-298

Allen, David, Kentucky Transportation Center, \$70,000, Analysis of Permeability of Kentucky Hot Mix Pavements 05-299

Allen, David, Kentucky Transportation Center, \$125,000, Identification and Determination of Distress Levels and Rehabilitation Cycles 05-300

Allen, David, Kentucky Transportation Center, \$50,000, Rideability Issues for Asphalt and Concrete Specification Modifications 05-304

Allen, David, Kentucky Transportation Center, \$50,000, Investigation of the Extended Use of GPR (Ground Penetration Radar) for Measuring in-situ Material Quality Characteristics 06-307

Allen, David, Kentucky Transportation Center, \$100,000, Up-Date ESAL Forecasting Estimation Program for Superwave Pavement Design 06-308

Allen, David, Kentucky Transportation Center, \$25,000, Evaluation of the Effects of Diamond Grinding on PCC Pavement Performance 06-310

Anderson, Kimberly, Chemical and Materials Engin., \$8,888, Monitoring and Assessment of Human Health and Responses to Countermeasures During Space Deconditioning - Scope Account

Andrade, Francisco, Physiology, \$256,609, Determinants of Extraocular Muscle Function

Andrews, Rodney, Center for Applied Energy Research, \$48,456, Pitch-Polymer CO2 Adsorbents

Andrews, Rodney, Center for Applied Energy Research, \$48,456, Pitch-Polymer CO2 Adsorbents

Armstrong, Debra, Kentucky Community Cancer Prog, \$5,000, KWCSP Program Evaluation

Balke, C., Medicine, \$148,500, Ca Permeable Na Channels & Cardiacs Cell Excitation

Badenhop, Suzanne, Family & Consumer Sciences, \$2,500, Home Technology (Equipment & Utilities) Handbook

Barrett, Monica, Kentucky Transportation Center, \$90,000, Incident Management Strategic Plan Recommendations 06-321

Black, Esther, Pharmaceutical Tech, \$20,000, Targeting Small Molecule Therapeutics to Non-Small Cell Lung Cancer Using Genomic Approaches

Brion, Gail, Civil Engineering, \$91,628, State EPSCoR: UK Environmental Initiative

Brion, Gail, Civil Engineering, \$91,628, State EPSCoR: UK Environmental Initiative

Bruemmer, Dennis, Internal Medicine, \$65,000, Anti-Inflammatory and Antiproliferative Liver X Receptor Signaling Pathways in Cardiovascular Disease

Boyanovsky, Boris, Internal Medicine, \$41,000, sPLA2 GV and GX Hydrolysis of LDL Results in Free Fatty Acid and Lyso-Phospholipid Generation and Affects Macrophage Functions

Cantrell, Susan, Education Reform & Research, \$183,964, Kentucky Adult Education Literacy Institute (KAELI) Grant

Chen, Mei, Civil Engineering, \$51,000, Develop an Archived Data Management System Prototype 04-279

Chen, Zhi, RCTF-Electrical Engineering, \$260,915, State EPSCoR: UK Nano Initiative

Chen, Zhi, RCTF-Electrical Engineering, \$651,094, Building Kentucky's New Economy with EPSCoR: UK Nano Initiative

Chen, Linda, Nutritional Sciences, \$207,000, Doctoral Training in Nutrition and Chronic Diseases

Chen, Mei, Civil Engineering, \$51,000, Develop an Archived Data Management System Prototype 04-279

Chen, Mei, Civil Engineering, \$88,000, Route Disruption Analysis 05-289

Chen, Mei, Civil Engineering, \$61,000, Speed Estimation and Database Program 06 305

Chen, Zhi, RCTF-Electrical Engineering, \$260,915, State EPSCoR: UK Nano Initiative

Chen, Zhi, RCTF-Electrical Engineering, \$651,094, Building Kentucky's New Economy with EPSCoR: UK Nano Initiative

Connolly, John, Computational Sciences, \$3,000,000, Building Kentucky's New Economy with EPSCoR

Connolly, John, Computational Sciences, \$3,000,000, Building Kentucky's New Economy with EPSCoR

Cothran, Ernest, Veterinary Science, \$27,000, Genetic Analysis of Wild Horse and Burro Blood Samples

Crabtree, Joseph, Kentucky Transportation Center, \$60,000, Update Kentucky's Statewide Intelligent Transportation Systems (ITS) Architecture 06-320

Creamer, Trevor, Molecular & Cellular Biochemistry, \$40,000, Fellowship Veronique Hermann / Structural Characterization of Exon 1 of Huntingtin, an Emerging Drug Target

Daugherty, Alan, Internal Medicine, \$116,962, Role of MMPs in AngII Induced Abdominal Aortic Aneurysms

Dillon, Carl, Agr Economics, \$56,806, Scope G: An Econometric Assessment of Specialty Grain Crops in Kentucky

Dwoskin, Linda, Pharmaceutical Tech, \$1,338,604, Development of Novel Treatments for Nicotine Addiction

Fakhoury, Toufic, Neurology, A Double-Blind, Placebo-Controlled, Dose-Escalation, Parallel-Group Study of E2007 Given as Adjunctive Therapy in Patients with Refractory Partial Seizures

Farman, Mark, Plant Pathology, \$9,325, Scope C: Whole Genome Analysis of Pathogen-Host Recognition and Subsequent Responses in the Rice Blast Patho-System -- REU Supplement

Fox, Charles, Entomology, \$6,000, Scope B: REU: The Evolutionary Genetics of Sexual-Size Dimorphism in a Seed-Feeding Beetle

Gardner, Susan, Physics and Astronomy, \$113,063, Tests of Fundamental Symmetries

Garen, John, Center for Business & Econ Res, Kentucky Utility Service Territory Database and Modeling System

Geneve, Robert, Horticulture, \$25,000, Scope D: Development of a Novel Production System to Enhance the Survival and Growth of Flowering Dogwood, Cornus florida, a High Value Crop with Potential for Kentucky Producers

Glauert, Howard, Nutritional Sciences, Mechanisms of Hepatic Tumor Promotion by PCBs: Carry forward account for Superfund year 8 funds

Greenberg, Richard, Internal Medicine, A Phase I Randomized, Placebo-Controlled, Double-Blind, Dose Ranging Study of the Safety, Tolerability and Immunogenicity of a Clostridium difficile Toxoid Vaccine, Alum Adsorbed, in Healthy Adult volunteers (18-55 years)

Goodrum, Paul, Civil Engineering, \$82,000, Analysis of the Direct and Indirect Costs of Utility and Right-of-Way Conflicts on Construction Roadway Projects 05-293

Graves II, Ronnie, Kentucky Transportation Center, \$125,000, WIM Technologies 05-284

Graves II, Ronnie, Kentucky Transportation Center, \$75,000, Evaluation of Extended Truck Weight Impacts 06-309

Greenberg, Richard, Internal Medicine, A Double-Blind, Placebo-Controlled, Dose-Finding, Phase II Study To Assess the Safety, Tolerability, and Immunogenicity of Three Dose Levels of ACAM3000 Modified Ankara (MVA) Smallpox Vaccine in Adults With and Without Previous Smallpox Vaccination

Grisham-Brown, Jennifer, Special Ed. & Rehab Counseling, \$250,000, An Evaluation of the Governor's Initiative in Early Childhood Education (KIDS NOW Evaluation Project)

Grisham-Brown, Jennifer, Special Ed. & Rehab Counseling, \$24,840, Person-Centered Training for Services to Students with Deaf Blindness

Hanley, Carol, Tracy Farmer Cntr for the Environment , \$10,000, Natural Resource Academy for Urban Youth

Hackbart, Merl, Management, \$35,000, Long Term Options and Implications for Enhancing Transportation Finance 05-302

Hahn, Ellen, Nursing Instruction, \$110,000, Community Partnerships for Tobacco Cessation and Prevention

Hancher, Donn, Civil Engineering, \$70,000, Improve Safety of Workers during Highway Construction and Maintenance 06-323

Harik, Issam, Civil Engineering, \$100,000, Seismic Evaluation of the Parkways in Western Ky 02-246

Harik, Issam, Civil Engineering, \$75,000, Remote Monitoring of Bridge Piers for Barge Impact 06-322

Harik, Issam, Civil Engineering, \$26,500, Testing and Calibration of the Bridge Management System

Harik, Issam, Civil Engineering, \$100,000, Seismic Evaluation of the Parkways in Western Ky 02-246

Harik, Issam, Civil Engineering, \$26,500, Testing and Calibration of the Bridge Management System

Harrison, Lenn, Livestock Diag Disease Control, \$478,500, Diagnostic Laboratory Services for Farmers and Agribusinesses

Hastings, Jeffrey, Electrical and Computer Engineering, \$5,000, Self-Referencing Surface-Plasmon Sensors

Havens, Jennifer, Behavioral Science, \$60,075, Jefferson County Family Drug Court Evaluation, Year 2

Hildebrand, David, Plant & Soil Sciences, \$15,000, Epoxy Fatty Acid Accumulation in Seed Oil

Hopkins, Tommy, Kentucky Transportation Center, \$75,000, Reduction of Stresses on Buried Rigid Highway Structures Using the Imperfect Ditch Method and Expanded Polystyrene (Geofoam) 01-228

Hopkins, Tommy, Kentucky Transportation Center, \$74,000, Engineering Properties of the Soft Soil Layer at the Top of Highway Soil Subgrades 03-270

Hopkins, Tommy, Kentucky Transportation Center, \$75,000, Reduction of Stresses on Buried Rigid Highway Structures Using the Imperfect Ditch Method and Expanded Polystyrene (Geofoam) 01-228

Hopkins, Tommy, Kentucky Transportation Center, \$74,000, Engineering Properties of the Soft Soil Layer at the Top of Highway Soil Subgrades 03-270

Hopkins, Tommy, Kentucky Transportation Center, \$100,000, By Products Resource Manual 05-296

Hopkins, Tommy, Kentucky Transportation Center, \$109,000, Use of Ultra Lightweight Geofoam to Reduce Stresses in Highway Culvert Extensions 05-297

Hopkins, Tommy, Kentucky Transportation Center, \$50,000, Stockpiling Hydrated Lime-Soil Mixture 06-313

Hopwood, Theodore, Kentucky Transportation Center, \$90,000, Coatings, Sealants and Filters to Address Bridge Concrete Deterioration and Aesthetics 05-291

Hopwood, Theodore, Kentucky Transportation Center, \$70,000, Disposal of Bridge Pant Debris 05-292

Huffman, Gerald, CFFLS, \$132,000, Production and Storage of Hydrogen from Coal

Huffman, Gerald, CFFLS, \$80,000, Analytical Characterization of Catalysts and Reaction Products

Huffman, Gerald, CFFLS, \$92,000, Program Management

Huffman, Gerald, CFFLS, \$132,000, Production and Storage of Hydrogen from Coal

Huffman, Gerald, CFFLS, \$80,000, Analytical Characterization of Catalysts and Reaction Products

Huffman, Gerald, CFFLS, \$92,000, Program Management

Huffman, Gerald, CFFLS, \$975,000, Development of Novel Technologies for the Production and Storage of Hydrogen from Coal Using C1 Chemistry

Izu, Leighton, Internal Medicine, \$100,000, Calcium Waves in Atrial Cells

Jay, Michael, Pharmaceutical Tech, \$23,000 Kentucky Cancer Experimental Therapeutics Program Jay Scope

Jay, Michael, Pharmaceutical Science & Tech, \$32,700, SaberMate HPLC Assay and Dissolution Assay Development

Jay, Michael, Pharmaceutical Science & Tech, \$15,000, Formulation and Regulatory Option Assessment for PD-CPST-01

Jay, Michael, Pharmaceutical Science & Tech, \$15,000, Formulation and Regulatory Option Assessment for PD-CPST-01

Jaynes, Christopher, RCTF-Computer Science, \$213,400, State EPSCoR: UK Virtual Environments Initiative

Jaynes, Christopher, RCTF-Computer Science, \$511,599, Building Kentucky's New Economy with EPSCoR: UK Virtual Environments Initiative

Jaynes, Christopher, RCTF-Computer Science, \$213,400, State EPSCoR: UK Virtual Environments Initiative

Jennings, Edward, Martin School of Public Policy, \$400,000, Institute for Federalism and Intergovernmental Relations

Jones, Jeffery, Center for Prevention Research, \$150,000, Coordinated School Health Grants Evaluation

Jones, Judy, Rural Ky Healthcare, \$1,791,900

Kachroo, Pradeep, Plant Pathology, \$7,850, Scope A: Fatty Acid Signaling Pathway and its Role in Plant Defense -- REU Supplement

Karathanasis, Anastasios, Plant & Soil Sciences, \$45,000, Soil Morphology Training Course for Onsite Septic Systems

Kearns, Jacqueline, Interdisc Human Development Institute, Ky. Alternate Portfolio

King, Victoria, Internal Medicine, \$60,500, ProstaglandinE2-EP4 Axis and AngiotensinII-Induced Abdominal Aortic Aneurysms

Kreis II, Steven, Kentucky Transportation Center, \$10,000, Statewide Planning Scenario Synthesis 05-303

Kreis II, Steven, Kentucky Transportation Center, \$60,000, High Level Benefit Cost Estimate 06-306

Lacefield, Eugene, Plant & Soil Sciences, \$24,000, Scope I: Testing Novel Soybean Varieties

Lacki, Michael, Forestry, \$32,975, Status, Distribution, and Reproductive Characteristics of River Otters in Kentucky

Lee, Chad, Plant & Soil Sciences, \$32,684, Scope H: New No-till Corn Silage Opportunities in Kentucky

Lewis, Carolyn, Nursing Instruction, Public Health Nurse Leadership Scholars' Workshops and Module Updates

Li, Mengtao, Dentistry Research & Graduate Studies, \$193,852, Cooperative Roles of Tat & K-Cyclin in Kaposi's Sarcoma

Lile, Joshua, Behavioral Science, \$130,601, A Pharmacological Analysis of Delta 9-THC in Humans

Lock, Sharon, Nursing Instruction, \$18,057, The Nurse Practitioner Agricultural Study

Lock, Sharon, Nursing Instruction, \$18,057, The Nurse Practitioner Agricultural Study

Lynn, Bert, Chemistry, \$51,555, Mechanism of MnSOD Expression in Normal and Tumor Cells

Maehr, David, Forestry, \$12,000, Cooperative Cerulean Warbler Forest Management Project

Mannino, David, Internal Medicine, \$13,700, BOLD Participant Support

Maragos, William, Neurology, \$100,000, Targeted Mitochondrial Uncoupling in Focal Cerebral Ischemia

Marshall Jr., W, Library Administration, \$200,503, UK Appalachian Collections Project

Mccormick, Malkanthie, Internal Medicine, \$18,500, TB Control Program

Mccormick, Malkanthie, Internal Medicine, \$18,500, TB Control Program

Mccormick, Katherine, Special Ed. & Rehab Counseling, \$208,606, University of Kentucky First Step Technical Assistance Team

Mcniel, Robert, Horticulture, \$74,484, Scope C: Hydrangea macrophylla as Cut Woody Stems for the Florist Trade

Mentzer, Robert, Surgery/Department, \$365,750, Adenosine and Pyruvate Protection During Heart Surgery

Molinaro, Mary, Library Administration, \$310,000, National Digital Newspaper Program Phase One: The Kentucky Test Bed, or KNP Redux

Montross, Michael, Biosystems & Ag Eng, \$40,170, Scope F: Evaluation of High Fermentable Corn Hybrids in Kentucky

Muse Jr., Kenneth, Obstetrics & Gynecology, A Phase 3, Continuation Study to Evaluate the Long-Term Safety of Asoprisnil in Subjects with Uterine Leiomyomata Incorporating Amendment 1

Naugle, Lottie, Center for Entrepreneurship, \$328,800, Center for Business Development: Kentucky Business Environmental Assistance Program-Air Quality Memorandum of Understanding

O'Connor, Lisa, School of Library & Information Science, \$6,600, TRAILS-9 Assessment

Ormsbee, Lindell, Civil Engineering, \$78,500, Kentucky River Watershed Management

Ormsbee, Lindell, Civil Engineering, \$78,500, Kentucky River Watershed Management

Orthel, Bryan, School of Interior Design, \$10,000, Inside Main Street: Guidelines for the Adaptive Use of Interior Spaces in Traditional Business Districts

Peiter, R., Community & Leadership Devel, \$28,200, Leadership Activities for Rural Youth

Perrier, Donald, Pharmacy Practice & Science, \$580,000, Research and Information Systems Management for the KY Department for Mental Health/Mental Retardation

Perry, Sharyn, RCTF-1-Plant Sciences-Agronomy, \$250,000, Mechanisms of Gene Expression by the embryo MADS-factor AGL15, and roles in Glycine max Somatic Embryogenesis

Pfeiffer, T., Plant & Soil Sciences, \$46,660, Scope J: Edamame Production Systems: Improving Spring Transplant Production

Piercy, Larry, Biosystems & Ag Eng, \$30,000, Cooperative Extension Radon and Indoor Air Quality Education

Pigman, Jerry, Kentucky Transportation Center, \$60,000, Evaluation of Work Zone Safety Operations and Issues 05-287

Pigman, Jerry, Kentucky Transportation Center, \$65,000, Technical Support for Implementation of Access Management Plan 05-290

Pigman, Jerry, Kentucky Transportation Center, \$50,000, Economic Costs of Low Seatbelt Usage in Kentucky 06-315

Pigman, Jerry, Kentucky Transportation Center, \$75,000, Investigate Low-Cost Safety Measures at Signalized Intersections 06-316

Pigman, Jerry, Kentucky Transportation Center, \$90,000, Traffic Control for Emergency Responders 06-317

Pigman, Jerry, Kentucky Transportation Center, \$25,000, Development of a Traffic Sign Inventory System 06-318

Pigman, Jerry, Kentucky Transportation Center, \$50,000, Evaluation of the Effectiveness of Pavement Rumble Strips 06-319

Potter, Daniel, Entomology, \$61,798, Scope B: Sustainable Management of Insect Pests and Diseases of Nursery-Grown Maples in Kentucky

Puleo, David, Center for Biomedical Engineering, \$6,259, Biomaterials with Rationally Immobilized Growth Factors

Raybould, Ted, Oral Health Science, A Phase 3, Multicenter, Randomized, Blinded, Controlled Study of NV-101 for Efficacy and Safety in Patients Undergoing Simple Mandibular Dental Procedures

Raybould, Ted, Oral Health Science, A Phase 3, Multicenter, Randomized, Blinded, Controlled Study of NV-101 for Safety and Efficacy in Pediatrics Patients Undergoing Simple Dental Procedures (Protocol No. NOVA 05-PED) 5-9 year old

Raybould, Ted, Oral Health Science, A Phase 3, Multicenter, Randomized, Blinded, Controlled Study of NV-101 for Efficacy and Safety in Patients Undergoing Simple Maxillary Dental Procedures

Reed, Michael, International Programs, \$10,142, Norman Borling International Agriculture Science Program

Reed, Michael, International Programs, \$80,000, Technical Assistance to the Extension System in Serbia

Rimmer, S., Geology, \$2,405, Organic Carbon Accumulation in Paleozoic Black Shales: An Opportunity for Mentorship Teams

Rogers, Freddie, Historic Preservation Master's Program, \$24,004, Blue Grass Airport Documentation Project

Rous, Beth, Interdisc Human Development Institute, \$373,617, Child Care Quality Services

Rous, Beth, Interdisc Human Development Institute, \$49,408, Child Care CCR&R Services

Sandidge, Rosetta, Curriculum & Instruction, \$87,150, Proposal for Year Tow Funding of the Teacher Quality Enhancement Grant Curriculum Review and Response Strand

Schardl, Christopher, Plant Pathology, \$57,906, Tall fescue endophyte effects on environmental stress tolerances

Schardl, Christopher, Plant Pathology, \$601,893, Advanced Genetic Technologies, KY

Schardl, Christopher, Plant Pathology, \$57,906, Tall fescue endophyte effects on environmental stress tolerances

Schmitt, Frederick, Sanders-Brown Center on Aging, \$153,739, A Double-blind, Trial of TempurPedic vs. Standard Mattresses for Agitation in Alzheimer's Disease

Schoenberg, Nancy, Behavioral Science, \$56,229, Appalachia Community Cancer Network

Sebastian, Juliann, Nursing Instruction, \$199,201, Nursing Management in Complex Systems - Rural Outreach

Shaffer, William, Orthopedic Surgery, A Prospective Multicenter Randomized Study Comparing Healos to Iliac Crest Autograft in a Posterolateral Lumbar Fusion (PLF) Approach

Shen, Zhongwei, Mathematics, \$72,001, Elliptic Boundary Value Problems in Non-Smooth Domains

Singleton, Michael, KY Injury Prevention & Research Center, \$45,418, Acquired Brain Injury and Spinal Cord Injury Surveillance

Sigler, Pamela, Family & Consumer Sciences, \$286,798, Kentucky Food Stamp Nutrition Program

Slack, Charles, Plant & Soil Sciences, \$9,000, Round Ready Alfalfa Established Stand Benefits

Smith, Douglas, Curriculum & Instruction, \$3,135, UK Perkins 06

Spalding, Mary, Curriculum & Instruction, \$43,000, Bluegrass Writing Project

Spear, Brett, Microbiology, Immunology & Molecular Gen, \$50,676, Generation of Rev1 Antisense Transgenic Mice

Stamatiadis, Nikiforos, Civil Engineering, \$75,000, Analysis of Inconsistencies Related to Design Speed, Operating Speed, and Speed Limits 05-286

Stewart, Sharon, Rehabilitation Sciences, \$116,291, The Rehabilitation Sciences Ph.D. Program: A Continuation

Stinchcomb, Audra, RCTF-Clinical Pharmaceutical Science, \$2,210, Evaluation of a Drug for Transferral Delivery

Stinchcomb, Audra, RCTF-Clinical Pharmaceutical Science, \$2,210, Evaluation of a Drug for Transferral Delivery

Sushko, Yuriy, Physics and Astronomy, \$45,044, Probing Quantum Phase Transitions by MuSR and Complementary Methods in Applied Pressure

Tannenbaum, Robert, Undergraduate Studies, \$115,800, Beckman Scholars Program

Tannock, Lisa, Internal Medicine, \$60,500, Serum Amyloid A and Vascular Proteoglycans in Atherosclerosis

Tanner, Bonnie, Family & Consumer Sciences, \$809,472, Health Education through Extension Leadership

Toussaint, Paul, Kentucky Transportation Center, \$200,000, State: Kentucky Cooperative Transportation Research Program KCTRP 06-26 State Funds Only

Toussaint, Paul, Kentucky Transportation Center, \$60,000, General Administration 06-50

Toussaint, Paul, Kentucky Transportation Center, \$90,000, Equipment Acquisition and Maintenance 06-51

Toussaint, Paul, Kentucky Transportation Center, \$50,000, Student Assistance 06-52

Toussaint, Paul, Kentucky Transportation Center, \$3,384,000, Federal: Kentucky Cooperative Transportation Research Program KCTRP 06-26 Federal Funds

Toussaint, Paul, Kentucky Transportation Center, \$10,000, General Administration 06-50

Toussaint, Paul, Kentucky Transportation Center, \$137,000, Unforeseen Investigations 06-56

Toussaint, Paul, Kentucky Transportation Center, \$100,000, Long-Term Monitoring of Experimental Features 85-107

Toussaint, Paul, Kentucky Transportation Center, \$90,500, Implementation 06-324

Toussaint, Paul, Kentucky Transportation Center, \$150,000, Miscellaneous State Projects 06-325

Toussaint, Paul, Kentucky Transportation Center, \$200,000, State: Kentucky Cooperative Transportation Research Program KCTRP 06-26 State Funds Only

Toussaint, Paul, Kentucky Transportation Center, \$60,000, General Administration 06-50

Toussaint, Paul, Kentucky Transportation Center, \$90,000, Equipment Acquisition and Maintenance 06-51

Toussaint, Paul, Kentucky Transportation Center, \$50,000, Student Assistance 06-52

Toussaint, Paul, Kentucky Transportation Center, \$3,384,000, Federal: Kentucky Cooperative Transportation Research Program KCTRP 06-26 Federal Funds

Toussaint, Paul, Kentucky Transportation Center, \$10,000, General Administration 06-50

Toussaint, Paul, Kentucky Transportation Center, \$137,000, Unforeseen Investigations 06-56

Toussaint, Paul, Kentucky Transportation Center, \$100,000, Long-Term Monitoring of Experimental Features 85-107

Ueland, Frederick, Obstetrics & Gynecology, Gynecologic Oncology Group

Ueland, Frederick, Obstetrics & Gynecology, A Phase II, Randomized, Placebo-Controlled, Double-Blind Study to Evaluate the Efficacy of Partuzumab (rhuMAb 2C4) in Combination with Gencitabine

Vansanford, D, Plant & Soil Sciences, \$26,750, Scope E: Antioxidant Activity of Soft Winter Wheat Cultivars

Voss, Stephen, Biological Sciences, \$166,886, Operational Support for the Ambystoma Genetic Stock Center

Wagner, George, Plant & Soil Sciences, \$50,000, KSEF R&D Excellence: Development of a System for Producing Highly Purified Proteins on the Plant Surface

Walker, James, Center on Drug and Alcohol Research, Follow-up Study/Outcome Project (TAAA)

Walker, James, Center on Drug and Alcohol Research, Follow-up Study/Outcome Project (TAAA)

Wang, Zhigang, Graduate Center for Toxicology, \$53,304, Generation of Rev1 Antisense Transgenic Mice

Wekstein, David, Sanders-Brown Center on Aging, \$28,000, Alzheimer's Disease Detection via Nonlinear Analysis of EEG

Werkmeister, Raymond, Kentucky Transportation Center, \$25,000, Conceptual Cost Estimating Guide 05-295

Werkmeister, Raymond, Kentucky Transportation Center, \$15,000, Project Cost Management Tracking System 05-301

Werkmeister, Raymond, Kentucky Transportation Center, \$35,000, Tracking Best Management Practices Used by KyTC for On-Site Erosion Control 06-311

Werkmeister, Raymond, Kentucky Transportation Center, \$35,000, Develop Environmental Course Content for Construction Inspection Training 06-312

Williams, Mark, Horticulture, \$70,719, Scope A: Evaluating the Sustainability of Two Widely Used Organic Production Systems and Their Potential Use in Kentucky

Wu, Shengqing, Modern & Classical Languages, \$40,000, Classical Lyric Modernities; Poetics, Gender, and Politics in Modern China (1900-1937)

Zeidler, Kimberly, Appalachian Center, \$50,000, Making sense of fractions Morehead

Zeidler, Kimberly, Appalachian Center, \$50,000, Making sense of fractions Richmond

Zeidler, Kimberly, Appalachian Center, \$10,000, Making sense of fractions Richmond

Zeidler, Kimberly, Appalachian Center, \$50,000, Exploring Energy Transformation

Zeidler, Kimberly, Appalachian Center, \$10,000, Exploring Energy Transformation

Zeidler, Kimberly, Appalachian Center, \$10,000, Making sense of fractions Morehead

Zeidler, Kimberly, Appalachian Center, \$50,000, Making sense of modern genetics

Zeidler, Kimberly, Appalachian Center, \$10,000, Making sense of modern genetics

Zeidler, Kimberly, Appalachian Center, \$50,000, Elementary Science Teacher Academy

Zeidler, Kimberly, Appalachian Center, \$10,000, Elementary Science Teacher Academy

Follow UP

Zeidler, Kimberly, Appalachian Center, \$50,000, Elementary Geometry Teacher Academy

Zeidler, Kimberly, Appalachian Center, \$10,000, Elementary Geometry Teacher Academy Follow Up

Zeidler, Kimberly, Appalachian Center, \$50,000, Elementary Math Teacher Academy

Zeidler, Kimberly, Appalachian Center, \$10,000, Elementary Math Teacher Academy

Follow Up

Zhu, Hongyan, Plant & Soil Sciences, \$153,066, Positional Cloning and Characterization of RCT1, an Anthracnose Resistance Gene in Medicago

PR 2

Office of the President
September 20, 2005

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate Provost/Executive Vice President through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Executive Vice President for Finance and Administration

Kraal, Jan, Regular Faculty, Employee Benefits, Human Resources, after 32 years and 11 months of consecutive service, under AR II-1.6-1 Section III.B, effective 6/30/05.

Marcus, William, Sheet Metal Worker III, Employee Benefits, Human Resources, after 43 years and 11 months of consecutive service, under AR II-1.6-1 Section III.B, effective 7/31/05.

Perkins, Bobby, Agriculture Technician I, Employee Benefits, Human Resources, after 21 years of consecutive service, under AR II-1.6-1 Section III.B, effective 6/30/05.

Strecker, Charles, Project Coordinator, Geological Survey, Employee Benefits, Human Resources, after 23 years and 9 months of consecutive service, under AR II-1.6-1 Section III.B, effective 4/30/05.

Vice President for Facilities Management

Guy, Marion, Custodial Worker I, Custodial Services, Physical Plant Division, after 15 years of consecutive service, under AR II-1.6-1 Section III.B, effective 6-27-05.

Miller, Jack S., Project Manager IV, Capital Project Management, after 13 years and 8 months of consecutive service, under AR II-1.6-1 Section III.B, effective 6/30/05.

Plambeck, Wesley, Storekeeper, Medical Center, Physical Plant Division, after 19 years and 2 months of consecutive service, under AR II-1.6-1 Section III.B, effective 7/29/05.

B. EARLY RETIREMENTS

Executive Vice President for Finance and Administration

Hill, Martha B., Dietician/Nutrition, Employee Benefits, Human Resources, after 37 years and 10 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 7/11/05.

Vice President for Facilities Management

Burns, Sam E., Jr., Custodial Worker I, Physical Plant Division, after 16 years of consecutive Service, under AR II-1.6-1 Section III.A.2, effective 9/1/05.

Gumm, Sandra, Food Services Supervisor, Dining Services, after 21 years and 10 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 7/8/05.

Holloman, James B., Painter III, Paint/Glass/Sign Services, Physical Plant Division, after 21 years and 10 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 6/30/05.

McKenzie, Douglas, Food Services Manager II, Dining Services, after 34 years and 10 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 6/30/05.

Robinson, Anthony W., Custodial Worker I, Custodial Services, Physical Plant Division, after 30 years and 8 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 5/20/05.

Athletics Director

Sims, H. Joyce, Administrative Support Associate I, Media Relations, Athletics Department, after 28 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 8/1/05.

II. ADMINISTRATIVE ACTION

A. PROMOTIONS

Office of the Treasurer

Carter, Annetta H., from Accountant Principal, General Accounting Department, to Accounting Manager II, General Accounting Department, effective 7/1/05.

Ward, Tina, from Administrative Staff Officer II, Surgery Department, to Accounting Manager II, Sponsored Projects Accounting Department, Office of the Treasurer, effective 8/11/05.

Associate Vice President for Human Resources

Ensman, Jodi, from Assistant HR Manager/Wellness, Human Resources, to HR Manager/Wellness, Human Resources, effective 8/1/05.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Aikat, Shamik, College of Medicine, Clinical Assistant Professor, Internal Medicine, 8/1/05 through 6/30/06.
- Chambers, Mara D., College of Medicine, Clinical Instructor, Internal Medicine, 8/1/05 through 6/30/06.
- Denison, Dwight, Graduate School, Associate Professor (with tenure), Martin School of Public Policy and Administration, effective 7/1/05.
- Desai, Dharmin D., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 8/8/05 through 6/30/06.
- Deshpande, Pradeep, College of Business and Economics, Professor (visiting), Management, 8/16/05 through 5/15/06.
- Flowers, Nancy C., College of Medicine, Professor (part-time), Internal Medicine, 6/1/05 through 6/30/05.
- Goldsby, Thomas J., College of Business and Economics, Associate Professor (with tenure), Management, effective 7/1/05.
- Hardesty, David, College of Business and Economics, Assistant Professor, Management, 8/16/05 through 6/30/06.
- Herberth, Johann, College of Medicine, Assistant Professor, Internal Medicine, 7/18/05 through 6/30/06.
- Horan, Leo G., College of Medicine, Professor (part-time), Internal Medicine, 6/1/05 through 6/30/05.
- Jicha, Gregory A., College of Medicine, Assistant Professor, Neurology, 7/1/05 through 6/30/06, and appointed to the Robert T. & Nyle Y. McCowan Chair in Alzheimer's Chair, 7/1/05 through 6/30/10.
- Kidwell, Blair, College of Business and Economics, Assistant Professor, Management, 8/16/05 through 6/30/06.
- Lain, Kristine Y., College of Medicine, Assistant Professor*, Obstetrics and Gynecology, 8/15/05 through 6/30/06.
- Leaman, Oliver, College of Arts and Sciences, Professor (with tenure), Philosophy, appointed to the Zantker Charitable Foundation Professorship in Judaic Studies, 7/1/05 through 6/30/10.
- McCoun, Kenneth T., College of Medicine, Clinical Instructor, Anesthesiology, 7/11/05 through 6/30/06.
- Meek, Mary F., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 8/15/05 through 6/30/06.
- Murphy, Michael K., College of Medicine, Professor (voluntary), Family and Community Medicine, 8/1/05 through 6/30/06.
- Nadel, Alan M., College of Arts and Sciences, Professor (temporary), English, 1/1/06 through 6/30/06.
- Pais, Vernon M., College of Medicine, Assistant Professor*, Surgery, 8/1/05 through 6/30/06.
- Parish, Kyle D., College of Medicine, Assistant Professor*, Family and Community Medicine, and joint appointment as Assistant Professor*, Orthopaedic Surgery, 8/1/05 through 6/30/06.

*Special Title Series

Rangnekar, Vivek M., College of Medicine, Professor (with tenure), Radiation Medicine, and joint appointment as Professor (without tenure), Microbiology, Immunology and Molecular Genetics, appointed to the Alfred M. Cohen M.D. Professorship/Chair in Oncology Research Endowment Fund, 7/1/05 through 6/30/10.

Saito, Kozo, College of Engineering, Professor (with tenure), Mechanical Engineering, reappointed to the TVA Power Engineering Endowment Fund, 1/1/06 through 12/31/10.

Temprano, James, College of Medicine, Assistant Professor, Internal Medicine, 8/15/05 through 6/30/06.

Vincent, Leslie H., College of Business and Economics, Instructor, Management, 8/16/05 through 6/30/06.

Viswanathan, Chandramouli, College of Engineering, Associate Professor (visiting), Civil Engineering, 12/1/04 through 6/30/05.

Walsh, Sharon L., College of Medicine, Professor (with tenure), Behavioral Science, effective 7/1/05.

Wright, Heather R., College of Medicine, Clinical Assistant Professor, Surgery, 8/8/05 through 6/30/06.

Ziebart, David, College of Business and Economics, Professor (with tenure), Accountancy, effective 7/1/05.

B. ADMINISTRATIVE APPOINTMENTS

Barrett, Michael, College of Agriculture, Professor (with tenure), Plant and Soil Sciences, appointed Chair, Plant and Soil Sciences, 7/1/05 through 6/30/11.

Costich, Julia F., College of Public Health, Assistant Research Professor, Health Services Management, appointed Chair, Health Services Management, 7/1/05 through 6/30/11.

Garen, John E., College of Business and Economics, Professor (with tenure), Economics, appointed Chair, Economics, 7/1/05 through 6/30/09.

Prince, Timothy S., College of Public Health, Associate Professor* (with tenure), Preventive Medicine and Environmental Health, and joint appointment as Associate Professor* (without tenure), Family and Community Medicine, College of Medicine, appointed Chair, Preventive Medicine & Environmental Health, 7/1/05 through 6/30/09.

Puleo, David A., Graduate School, Professor (with tenure), Biomedical Engineering, appointed Director, Biomedical Engineering, 7/1/05 through 6/30/09.

Ziebart, David, College of Business and Economics, Professor (with tenure), Accountancy, appointed Director, Von Allmen School of Accountancy, 7/1/05 through 6/30/09.

C. JOINT APPOINTMENTS

Benson, James M., College of Engineering, Associate Professor (with tenure), Industrial Engineering Technology, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/05 through 6/30/06.

Bryson, James S., College of Medicine, Associate Professor (with tenure), Internal Medicine, and joint appointments as Associate Professor (without tenure), Microbiology, Immunology and Molecular Genetics and Associate Professor (without tenure), Clinical Sciences, College of Health Sciences, new joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, 8/1/05 through 7/31/10.

*Special Title Series

- Crofton, John B., College of Engineering, Associate Professor (with tenure), Physics and Engineering Physics, Murray State University, joint appointment as Associate Professor (without tenure), Electrical and Computer Engineering, College of Engineering, 7/1/05 through 6/30/06.
- Dignan, Mark B., College of Medicine, Professor (with tenure), Internal Medicine, and joint appointments as Professor (without tenure), Behavioral Science and Professor (without tenure), Health Behavior, College of Public Health, new joint appointment as Professor (without tenure), College of Social Work, effective 8/1/05.
- Rowles, Graham, College of Public Health, Professor (with tenure), Gerontology, and joint appointments as Professor (without tenure), College of Nursing and Professor (without tenure), Emergency Medicine, College of Medicine and Professor (without tenure), Behavioral Science, College of Medicine and Professor (without tenure), Geography, College of Arts and Sciences, new joint appointment as Professor (without tenure), Health Behavior, effective 1/1/05.
- Thiede, Theodore D., College of Engineering, Associate Professor (with tenure), Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/05 through 6/30/06.

D. REAPPOINTMENTS

- Faugere, Marie-Claude, College of Medicine, Research Professor, Internal Medicine, and joint appointment as Research Professor, Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.
- Fleming, Bernard P., Graduate School, Associate Adjunct Professor, Biomedical Engineering, 7/1/05 through 6/30/06.
- Flowers, Nancy C., College of Medicine, Professor (part-time), Internal Medicine, 7/1/05 through 6/30/06.
- Horan, Leo G., College of Medicine, Professor (part-time), Internal Medicine, 7/1/05 through 6/30/06.
- Kleinert, Harold, College of Education, Associate Adjunct Professor, Special Education and Rehabilitation Counseling, 8/16/05 through 12/31/05.
- Lange, Karl W., College of Dentistry, Professor (part-time), Oral Health Practice, 7/1/05 through 6/30/06.
- McDaniel, William E., College of Medicine, Professor (part-time), Internal Medicine, 7/1/05 through 6/30/06.
- McKeown, John W., College of Medicine, Clinical Professor, Internal Medicine, 7/1/05 through 6/30/06.
- Payne, Jeff L., College of Business and Economics, Associate Professor (without tenure), Accountancy, 7/1/05 through 6/30/06.
- Shahidain, Abunasar M., College of Engineering, Associate Professor (part-time), Electrical and Computer Engineering, 7/1/05 through 6/30/06.
- Waid, Thomas H., College of Medicine, Clinical Professor, Internal Medicine, 7/1/05 through 6/30/06.
- Williams, John P., College of Medicine, Associate Professor (without tenure), Internal Medicine, and joint appointment as Associate Professor (without tenure), Physiology, 7/1/05 through 6/30/06.

E. TENURE

Fried, Michael G., College of Medicine, from Professor (without tenure), Molecular and Cellular Biochemistry, to Professor (with tenure), Molecular and Cellular Biochemistry, effective 9/1/05.

F. PROMOTIONS

Hoyt, Gail, College of Business and Economics, from Associate Professor* (with tenure), Economics, to Professor* (with tenure), Economics, effective 7/1/05.

Joyce, Jennifer M., College of Medicine, from Assistant Professor*, Family and Community Medicine, to Associate Professor* (with tenure), Family and Community Medicine, effective 7/1/05.

Ryan, Melody, College of Pharmacy, from Associate Professor* (with tenure), and joint appointment as Assistant Professor*, Neurology, College of Medicine, to Associate Professor* (with tenure), and joint appointment as Associate Professor* (without tenure), Neurology, College of Medicine, effective 7/1/05.

Stinchcomb, Audra L., College of Pharmacy, from Assistant Professor, to Associate Professor (with tenure), effective 1/1/05.

Tobin, Stuart, College of Medicine, from Assistant Professor (voluntary), Internal Medicine, to Associate Professor (voluntary), Internal Medicine, 8/1/05 through 6/30/06.

G. CHANGES

Carter, Craig N., College of Agriculture, from Professor (temporary), Veterinary Science, to Professor* (with tenure), Veterinary Science, effective 7/1/05.

Cottrill, Carol M., College of Medicine, from Professor (voluntary), Pediatrics, to Professor (part-time), Pediatrics, 7/1/05 through 6/30/06.

Mannino, David M., College of Medicine, from Clinical Associate Professor, Internal Medicine, to Associate Professor (without tenure), Internal Medicine, 7/1/05 through 6/30/06.

Schipke, Roger, College of Business and Economics, from Instructor (temporary), Management, to Professor (visiting), Management, College of Business and Economics, 7/1/05 through 6/30/06.

Tucker, Tarvez, College of Medicine, from Associate Professor (part-time), Neurology, to Clinical Associate Professor, Neurology, 7/1/05 through 6/30/06.

Wendroth, Ole O., College of Agriculture, from Associate Professor (temporary), Plant and Soil Sciences, to Associate Professor (without tenure), Plant and Soil Sciences, 7/1/05 through 6/30/06.

H. TERMINAL REAPPOINTMENTS

Huffman, Gerald P., College of Engineering, Research Professor, Chemical and Materials Engineering, and joint appointment as Research Professor, Physics and Astronomy, College of Arts and Sciences, 7/1/05 through 6/30/06.

*Special Title Series

Huggins, Frank E., College of Engineering, Research Professor, Chemical and Materials Engineering, 7/1/05 through 6/30/06.

Shah, Naresh, College of Engineering, Associate Research Professor, Chemical and Materials Engineering, 7/1/05 through 6/30/06.

I. RETIREMENTS

Centers, Barbara, College of Agriculture, County Extension Secretary, Cooperative Extension Service, after 39 years and 2 months of consecutive service, under AR II-1.6-1, Section III.B, effective 7/5/05.

Cowen, David L., College of Medicine, Professor* (with tenure), Physical Medicine and Rehabilitation, after 32 years of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/05.

Dendle, Brian, College of Arts and Sciences, Professor (with tenure), Hispanic Studies, after 34 years of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/05.

Dhooper, Surjit Singh, College of Social Work, Professor (with tenure), after 21 years and 7 months of consecutive service, under AR II-1.6-1, Section III.B, effective 7/31/05.

Dickson, Larry G., College of Medicine, Professor* (with tenure), Pathology and Laboratory Medicine, after 22 years and 2 months of consecutive service, under AR II-1.6-1, Section III.B, effective 8/31/05.

Friedler, Robert M., College of Medicine, Professor (with tenure), Internal Medicine, after 23 years and 6 months of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/05.

Garrison, Juanita, College of Medicine, Research Protocol Manager, McDowell Cancer Network, after 29 years and 7 months of consecutive service, and 41 years and 4 months of total service, under AR II-1.6-1, Section III.B, effective 6/3/05.

Hahn, Ottfried J., College of Engineering, Professor (with tenure), Mechanical Engineering, after 38 years of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/05.

Hudson, Rebecca, College of Nursing, Student Affairs Officer III, after 24 years and 3 months of consecutive service, under AR II-1.6-1, Section III.B, effective 9/7/05.

Jackson, Florence, College of Medicine, Medical Assistant Senior, Pediatrics, after 17 years and 8 months of consecutive service, under AR II-1.6-1, Section III.B, effective 7/29/05.

Knapp, Charles, Graduate School, Professor (with tenure), Biomedical Engineering, after 37 years of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/05.

Newman, Dewey H. Jr., College of Agriculture, Agricultural Regulatory Specialist, Livestock Disease Diagnostic Center, after 33 years and 8 months of consecutive service, under AR II-1.6-1, Section III.B, effective 5/4/05.

Swauger, Cliff, College of Arts and Sciences, Assistant Dean, Administration, after 43 years of consecutive service, under AR II-1.6-1, Section III.B, effective 8/31/05.

Tulloch, Rodney, College of Agriculture, Associate Professor (with tenure), Community and Leadership Development, after 32 years and 10 months of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/05.

J. EARLY RETIREMENTS

Bowling, William, College of Agriculture, Research Farm Technician II, Robinson Station, after 34 years and 1 month of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/7/05.

*Special Title Series

Collins, Harry G., College of Agriculture, Security Worker, Management Operations, after 16 years and 3 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/31/05.

Davis, Judith Rae, College of Agriculture, Administrative Support Associate I, Forestry, after 37 years and 1 month of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/8/05.

Deweese, Winston, College of Agriculture, Agricultural Research Specialist, Animal and Food Science, after 39 years and 1 month of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/31/05.

Downey, Emma W., University Initiatives, Staff Support Associate II, after 20 years of consecutive service, under AR II-1.6-1, Section III.A.2, effective 9/30/05.

Hatton, Charlotte, College of Medicine, Staff Support Associate II, Internal Medicine, after 18 years and 11 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 8/5/05.

Kiernan, Kevin, College of Arts and Sciences, Professor (with tenure), English, after 34 years and 10 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/30/05.

Kirby, Joyce P., College of Agriculture, Extension Assistant, Cooperative Extension Service, after 16 years and 10 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/29/05.

Robinson, Nancy B., College of Engineering, Tech Writer/Editor, Kentucky Transportation Center, after 22 years and 2 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/24/05.

Salisbury, Holly, College of Fine Arts, Director, Singletary Center for the Arts, after 25 years and 9 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/30/05.

Skinner, William, College of Arts and Sciences, Professor (with tenure), Sociology, after 20 years and 10 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/30/05.

Todd, Paula K., Student Affairs, Data Coordinator, University Health Services, after 29 years of consecutive service, and 35 years and 1 month of total service, under AR II-1.6-1, Section III.A.2, effective 9/2/05.

Trimnell, Timothy, College of Dentistry, Dental Technician, Lab Services, after 21 years and 10 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/11/05.

Williams, Janet R., College of Medicine, Facilities Planner, Dean's Office, after 13 years and 10 months of consecutive service, and 21 years and 2 months of total service, under AR II-1.6-1, Section III.A.2, effective 7/31/05.

Wyles, Joseph, College of Agriculture, Agricultural Research Specialist, Management Operations, after 36 years and 3 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/31/05.

K. POST-RETIREMENT APPOINTMENTS

Bitzer, Morris J., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 7/1/05 through 6/30/06.

Caldwell, Michael, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 6/21/05 through 6/20/06.

Carter, Mike, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 7/6/05 through 7/5/06.

Crist, William L., College of Agriculture, Professor (part-time), Animal and Food Science, 8/5/05 through 8/4/06.

Dickson, Larry G., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 9/1/05 through 6/30/06.

Dillon, Oscar W., College of Engineering, Professor (part-time), Mechanical Engineering, 7/1/05 through 6/30/06.

Fosson, Abe R., College of Medicine, Professor (part-time), Pediatrics, and joint appointment as Professor (part-time), Psychiatry, 7/1/05 through 6/30/06.

Funk, James E., College of Engineering, Professor (part-time), Mechanical Engineering, 7/1/05 through 6/30/06.

Furst, Richard W., Office of the President, Assistant to the President for Economic Development (part-time), 7/1/05 through 6/30/06.

Harker, Candace, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 7/1/05 through 6/30/06.

Harvey, Wayne, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/05 through 6/30/06.

Heard, Rod, College of Engineering, Industry Extension Specialist (part-time), UK Center for Manufacturing, 7/1/05 through 6/30/06.

Howerton, Steve, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 8/5/05 through 8/4/06.

Johns, John T., College of Agriculture, Professor (part-time), Animal and Food Science, 11/4/05 through 11/3/06.

Jones, Larry D., College of Agriculture, Professor (part-time), Agricultural Economics, 10/6/05 through 10/5/06.

Knapp, Charles F., Graduate School, Professor (part-time), Biomedical Engineering, 7/1/05 through 6/30/06.

Knapp, Fred W., College of Agriculture, Professor (part-time), Entomology, 7/1/05 through 6/30/06.

Lawson, James D., College of Agriculture, Assistant Director (part-time), Agricultural Experiment Station for Legal Affairs, 4/1/05 through 3/31/06.

LeVeque, Paul, College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 7/1/05 through 6/30/06.

Long, Joy, College of Agriculture, Administrative Staff Officer (part-time), Agriculture Administration, 7/1/05 through 6/30/06.

Marciniak, Ewa J., College of Medicine, Professor (part-time), Internal Medicine, 7/1/05 through 6/30/06.

Marshall, Andrew, College of Agriculture, Laborer (part-time), Forestry, 7/1/05 through 6/30/06.

Overly, Betty, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 8/9/05 through 8/8/06.

Powell, Andrew J., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 7/1/05 through 6/30/06.

Riggins, Steven K., College of Agriculture, Professor (part-time), Agricultural Economics, 9/7/05 through 9/6/06.

Royster, Wimberly C., College of Arts and Sciences, Professor (part-time), Mathematics, 7/1/05 through 6/30/06.

Salisbury, Holly, College of Fine Arts, Consultant (part-time), Singletary Center for the Arts, 7/1/05 through 6/30/06, and 7/1/06 through 6/30/07.

Sands, Donald, College of Arts and Sciences, Professor (part-time), Chemistry, 8/16/05 through 6/30/06.

Smith, Patty R., College of Agriculture, Associate Professor (part-time), Family and Consumer Science, 8/22/05 through 2/21/06.

Stevens, Ray, College of Agriculture, Greenhouse Technician (part-time), Agronomy, 7/1/05 through 6/30/06.

Tanner, Bonnie, College of Agriculture, Director (part-time), Health Education, Extension Leadership Program, 7/1/05 through 6/30/05.

Taylor, Norman L., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 7/1/05 through 6/30/06.

Tearney, Michael, College of Business and Economics, Professor (part-time), Accountancy, 8/1/05 through 6/30/06.

Terry, Quentin, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 8/1/05 through 10/31/05.

Wilson, Angene, College of Education, Professor (part-time), Curriculum and Instruction, and joint appointment as Professor (part-time), History, College of Arts and Sciences, 8/16/05 through 12/31/05.

Wilson, Joseph W., College of Arts and Sciences, Professor (part-time), Chemistry, 8/16/05 through 6/30/06.

Wittwer, Alvin L., College of Engineering, Industry Extension Specialist (part-time), UK Center for Manufacturing, 7/1/05 through 6/30/06.

Wood, Don J., College of Engineering, Professor (part-time), Civil Engineering, 7/1/05 through 6/30/06.

Wyles, Joseph, College of Agriculture, Agricultural Research Specialist (part-time), Management Operations, 9/1/05 through 8/31/06.

L. PHASED RETIREMENT APPOINTMENTS

Eberhart, Carl, College of Arts and Sciences, Professor (with tenure), Mathematics, 1/1/06 through 12/31/10.

Govindarajulu, Zakkula, College of Arts and Sciences, Professor (with tenure), Statistics, 7/1/05 through 7/1/10.

Wilkinson, Doris, College of Arts and Sciences, Professor (with tenure), Sociology, and joint appointment as Professor (without tenure), Behavioral Science, College of Medicine, 7/1/05 through 6/30/10.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Ahmad, Masuda, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 8/1/05 through 6/30/06.

Alimam, M. Zuhdi, College of Medicine, Assistant Professor (voluntary), Pediatrics, 6/1/05 through 6/30/06.

Arevalo, Oscar A., College of Dentistry, Assistant Professor, Oral Health Science, 9/21/05 through 6/30/06.

Badurdeen, F. Fazleena, College of Engineering, Assistant Professor, Mechanical Engineering, 8/16/05 through 6/30/07.

Biggs, Dana M., College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.
Blair, Colby A., College of Agriculture, Instructor (part-time), Agricultural Economics, 8/24/05 through 6/30/06.
Bowman, Mark A., College of Public Health, Assistant Professor (part-time), Health Services Management, 8/1/05 through 6/30/06.
Bowman, Roxanne K., College of Nursing, Instructor (part-time), 8/1/05 through 6/30/06.
Burns, Leslie D., College of Education, Assistant Professor, Curriculum and Instruction, 8/1/05 through 6/30/06.
Bustamante, Tamara A., College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.
Campbell, Deborah J., College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 6/1/05 through 6/30/06.
Carney, Paige, College of Education, Lecturer, Curriculum and Instruction, 8/1/05 through 6/30/06.
Carper, Ramona A., College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 7/1/05 through 6/30/06.
Carr, James H., College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.
Cassidy, David B., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/05 through 6/30/06.
Castaneda, Jay O., College of Arts and Sciences, Lecturer, Psychology, 8/16/05 through 5/15/06.
Chester, Frances M., College of Nursing, Instructor (part-time), 8/1/05 through 6/30/06.
Chico-Wyatt, Irene O., College of Arts and Sciences, Lecturer, Hispanic Studies, 8/16/05 through 5/15/06.
Chiswell, Charles L., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 9/20/05 through 9/19/06.
Clark, Barbara, College of Nursing, Instructor (part-time), 8/1/05 through 6/30/06.
Cline, Janet W., College of Pharmacy, Assistant Professor (voluntary), 4/14/05 through 6/30/06.
Collier, Lisa, College of Pharmacy, Assistant Professor (voluntary), 7/1/05 through 6/30/06.
Combs, Ruth A., College of Nursing, Instructor (voluntary), 7/1/05 through 6/30/06.
Cosby, Stephanie L., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/05 through 6/30/06.
Cotterill, Christopher A., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/05 through 6/30/06.
Crager, Lucinda J., College of Nursing, Instructor (voluntary), 7/1/05 through 6/30/06.
David, Reed W., College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.
Deibel, Karl, College of Pharmacy, Assistant Professor (voluntary), 5/30/05 through 6/30/06.
Devine, Kit S., College of Nursing, Instructor (voluntary), 8/1/05 through 6/30/06.
Downey, D. Bryce, College of Medicine, Assistant Professor (voluntary), Pediatrics, 8/1/05 through 6/30/06.
Eads, Nelda, College of Pharmacy, Assistant Professor (voluntary), 4/4/05 through 6/30/06.
Eberwein, Kip, College of Pharmacy, Assistant Professor (voluntary), 7/14/05 through 6/30/06.
Elliott, Cynthia, College of Pharmacy, Assistant Professor (voluntary), 4/14/05 through 6/30/06.
Evensen, Grace, College of Education, Instructor (part-time), Curriculum and Instruction, 8/1/05 through 12/31/05.
Fain, Matthew B., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 5/1/05 through 6/30/06.
Feola, David J., College of Pharmacy, Assistant Professor*, 9/1/05 through 6/30/06.

*Special Title Series

Filkins, Joanne M., College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.
Finlin, Brian S., College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 8/1/05 through 6/30/06.
Fowler, Joan B., College of Pharmacy, Assistant Professor (voluntary), 4/14/05 through 6/30/06.
Fox, James F., College of Engineering, Assistant Professor, Civil Engineering, 8/16/05 through 6/30/07.
Friedrich, Andrea M., College of Arts and Sciences, Lecturer, Psychology, 8/16/05 through 5/15/06.
Frolenkov, Gregory I., College of Medicine, Assistant Professor, Physiology, 8/1/05 through 6/30/06.
Gabriel, Shelly, College of Nursing, Instructor (voluntary), 7/1/05 through 6/30/06.
Gascon, Diana Zenaida J., College of Medicine, Clinical Instructor, Family and Community Medicine, 8/15/05 through 6/30/06.
Gaur, Rajesh, College of Communications and Information Studies, Instructor (part-time), Communication, 7/1/05 through 6/30/06.
Geile, Sandra F., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/05 through 6/30/06.
George-Hirons, Amy, College of Arts and Sciences, Assistant Professor (visiting), Hispanic Studies, 8/16/05 through 5/15/06.
German, Teresa C., College of Pharmacy, Assistant Professor (voluntary), 4/14/05 through 6/30/06.
Gultekin, Ebru K., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 5/1/05 through 6/30/06.
Hairel, John E., College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.
Hardesty, Peggy J., College of Nursing, Adjunct Instructor, 7/1/05 through 6/30/06.
Hayes, Mary, College of Arts and Sciences, Assistant Professor (visiting), English, 8/16/05 through 5/15/06.
Head, Marylou S., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/05 through 6/30/06.
Hensley, Victoria R., College of Nursing, Instructor (part-time), 8/1/05 through 6/30/06.
Howell, John D., College of Arts and Sciences, Lecturer, English, 8/16/05 through 5/15/06.
Hudson, Kimberly A., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/05 through 6/30/06.
Huhn, Thomas M., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 7/1/05 through 6/30/06.
Iyengar, Deepak, College of Business and Economics, Instructor, Management, 8/16/05 through 6/30/06.
James, Scott M., College of Arts and Sciences, Lecturer, Philosophy, 8/16/05 through 5/15/06.
Kagan, Isabelle A., College of Agriculture, Assistant Adjunct Professor, Plant and Soil Sciences, 7/1/05 through 6/30/06.
Kahloon, Mansha, College of Medicine, Instructor (voluntary), Internal Medicine, 9/1/05 through 6/30/06.
Kale, Amit, College of Engineering, Assistant Research Professor, Computer Science, 8/1/05 through 6/30/06.
Karem, Wayne, College of Engineering, Instructor (part-time), Civil Engineering, 7/1/05 through 6/30/06.
Kelley, Debra, College of Pharmacy, Assistant Professor (voluntary), 4/18/05 through 6/30/06.
Kendall, Constance L., College of Arts and Sciences, Assistant Professor, English, 8/16/05 through 5/15/07.

Kennedy, Rachel M., College of Design, Instructor (part-time), Interior Design, 8/16/05 through 6/30/06.

Kesling, David P., College of Agriculture, Instructor (part-time), Landscape Architecture, 8/24/05 through 6/30/06.

Knox, Wendy, College of Pharmacy, Instructor (voluntary), 5/2/05 through 6/30/06.

Layton, Rebecca L., College of Medicine, Assistant Professor (part-time), Anesthesiology, 8/1/05 through 6/30/06.

Lenihan, John F., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/05 through 6/30/06.

Leslie, Jeremy C., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 7/1/05 through 6/30/06.

Li, Tianxiang, College of Engineering, Assistant Research Professor, Mechanical Engineering, 7/1/05 through 6/30/06.

Liao, Yuan, College of Engineering, Assistant Professor, Electrical and Computer Engineering, 8/16/05 through 6/30/07.

Liu, Wenchang, College of Engineering, Assistant Research Professor, Chemical and Materials Engineering, 8/1/05 through 6/30/06.

Long, Linwood M., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 9/1/05 through 6/30/06.

Lukins, Joseph L., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/05 through 6/30/06.

Matysak, Tricia, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 8/1/05 through 12/31/05.

McCrary, Nancye, College of Education, Assistant Professor, Curriculum and Instruction, 8/1/05 through 6/30/06.

McGuire, Russell C., College of Nursing, Assistant Professor (part-time), 8/1/05 through 6/30/06.

McIntosh, Tera, College of Pharmacy, Assistant Professor (voluntary), 4/1/05 through 6/30/06.

McKay, Michael B., College of Design, Lecturer, Architecture, 7/1/05 through 6/30/06.

McKenzie, Ryan N., College of Engineering, Instructor (temporary), Computer Science, 8/16/05 through 12/31/05.

McLaren, Elizabeth M., College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 8/16/05 through 12/31/05.

McNeely, Connie A., College of Nursing, Instructor (part-time), 8/1/05 through 6/30/06.

Medina, Jorge, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/05 through 12/31/05.

Messerli, Werner, College of Medicine, Instructor (voluntary), Internal Medicine, 7/1/05 through 6/30/06.

Mikos, George J., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/05 through 6/30/06.

Mulberry, Brian T., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 8/1/05 through 6/30/06.

Mullen, Vincent J., College of Medicine, Assistant Professor (voluntary), Psychiatry, 6/1/05 through 6/30/06.

Nalbach, Lena, College of Design, Lecturer, Interior Design, 8/15/05 through 6/30/06.

Nixon, Kimberly, College of Pharmacy, Assistant Professor, 7/1/05 through 6/30/06.

Noss, Charles, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/05 through 6/30/06.

Nychka, John A., College of Engineering, Assistant Professor, Chemical and Materials Engineering, 8/1/05 through 6/30/07.

Patel, Alpa, College of Pharmacy, Assistant Professor (voluntary), 5/6/05 through 6/30/06.

Pearce, Dennis, College of Business and Economics, Instructor (part-time), Management, 8/16/05 through 6/30/06.

Quayyum, Abdul, College of Business and Economics, Instructor (part-time), Management, 8/16/05 through 6/30/06.

Ramsey, Deanna, College of Business and Economics, Instructor (part-time), Accountancy, 8/16/05 through 6/30/06.

Rice, Christopher S., College of Arts and Sciences, Instructor (temporary), Political Science, 8/16/05 through 5/15/06.

Riley, Lee A., College of Pharmacy, Assistant Professor (voluntary), 4/13/05 through 6/30/06.

Riley, Peggy A., College of Nursing, Instructor (part-time), 8/1/05 through 6/30/06.

Robbins, Susan N., College of Medicine, Assistant Professor (part-time), Pediatrics, 8/12/05 through 6/30/06.

Sewalls, Travis C., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 6/1/05 through 6/30/06.

Shannon, Lisa M., College of Social Work, Adjunct Instructor, 8/16/05 through 6/30/06.

Shaw, Kin W., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/05 through 6/30/06.

Shedd, Omer L., College of Medicine, Instructor (voluntary), Internal Medicine, 7/1/05 through 6/30/06.

Siddiqui, Mohammad A., College of Pharmacy, Assistant Professor (voluntary), 4/13/05 through 6/30/06.

Smith, Douglas F., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 7/1/05 through 6/30/06.

Smith, Gary W., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/05 through 6/30/06.

Soper, Linda F., College of Pharmacy, Instructor (voluntary), 4/27/05 through 6/30/06.

Speck, Dana W., College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 6/1/05 through 6/30/06.

Spencer, Regina L., College of Social Work, Adjunct Instructor, 8/16/05 through 6/30/06.

Staller, John E., College of Arts and Sciences, Assistant Professor (visiting), Anthropology, 8/16/05 through 5/15/06.

Steffen, Tim J., College of Pharmacy, Assistant Professor (voluntary), 4/4/05 through 6/30/06.

Stewart, William S., College of Social Work, Instructor (part-time), 8/16/05 through 6/30/06.

Stocker, Sean D., College of Medicine, Assistant Professor, Physiology, 9/1/05 through 6/30/06.

Suarez, Louis A., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 9/1/05 through 6/30/06.

Sumandea, Marius P., College of Medicine, Assistant Professor, Internal Medicine, 7/1/05 through 6/30/06.

Sweeney, Heather, College of Pharmacy, Assistant Professor (voluntary), 4/19/05 through 6/30/06.

Tang, Guiliang, College of Agriculture, Assistant Professor, Plant and Soil Sciences, 7/1/05 through 6/30/06.

Taylor, Darren J., College of Design, Instructor (part-time), Historic Preservation, 8/16/05 through 6/30/06.

Thompson, Jan M., College of Medicine, Instructor (part-time), Microbiology, Immunology and Molecular Genetics, 8/12/05 through 6/30/06.

Tichenor, Christopher T., College of Engineering, Lecturer, UK Center for Manufacturing, 5/1/05 through 6/30/05.

Towe, Richard L., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/13/05 through 6/30/06.

Turner, John, College of Pharmacy, Assistant Professor (voluntary), 4/20/05 through 6/30/06.

Warner, Jeremy L., College of Medicine, Assistant Professor (voluntary), Pediatrics, 5/1/05 through 6/30/06.

Watson, Adria M., College of Nursing, Instructor (part-time), 8/1/05 through 6/30/06.

Whitaker, Kelly, College of Pharmacy, Assistant Professor (voluntary), 4/14/05 through 6/30/06.

Whitaker, Marla, College of Pharmacy, Assistant Professor (voluntary), 7/14/05 through 6/30/06.

Wilson, Barry J., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/05 through 6/30/06.

Wong, Conrad J., College of Medicine, Assistant Research Professor, Behavioral Science, 8/1/05 through 6/30/06.

Yang, Hsin-Sheng, College of Medicine, Assistant Professor, Graduate Center for Toxicology, 7/18/05 through 6/30/06.

Zhang, Tongxiao, College of Business and Economics, Lecturer, Management, 8/16/05 through 6/30/06.

B. ADMINISTRATIVE APPOINTMENTS

Bell, Michael, College of Pharmacy, CPST CGMP Lab Manager, Pharmaceutical Science and Technology, effective 7/1/05.

Boyd, Douglas A., College of Communications and Information Studies, Professor (with tenure), Communication, and Acting Chief of Staff, Office of the President, reappointed Acting Chief of Staff, Office of the President, 7/1/05 through 6/30/06.

Coleman, Elizabeth, College of Medicine, Nurse Practitioner, Internal Medicine, effective 7/11/05.

Duffy, Diane C., College of Arts and Sciences, Assistant Dean/Administration, Administration Services, effective 6/13/05.

Hermann, Allen M., College of Engineering, Professor (visiting), Electrical and Computer Engineering, appointed Interim Director, Center for Nanoscale Science and Engineering, 7/1/05 through 6/30/06.

Leep, David, College of Arts and Sciences, Professor (with tenure), Mathematics, appointed Acting Chair, Political Science, 7/1/05 through 6/30/06.

McRae, David, College of Medicine, Physician Assistant, Internal Medicine, effective 5/23/05.

Scarbrough, Elizabeth, College of Medicine, Nurse Practitioner, Internal Medicine, effective 8/1/05.

Smith, M. Scott, College of Agriculture, Professor (with tenure), Agronomy, appointed Interim Provost, 5/15/05 through 5/14/06.

St. Clair, William H., College of Medicine, Assistant Professor, Radiation Medicine, appointed Acting Chair, Radiation Medicine, 7/1/05 through 6/30/05.

Thomas, Mark V., College of Dentistry, Associate Professor* (with tenure), Oral Health Practice, appointed Acting Chair, Oral Health Practice, 8/1/05 through 7/31/06.

*Special Title Series

Yu, Chen-Quang, College of Medicine, Scientist III, Spinal Cord and Brain Research Center, effective 5/23/05.

C. JOINT APPOINTMENTS

- D'Orazio, John A., College of Medicine, Assistant Professor, Pediatrics, joint appointment as Assistant Professor, Graduate Center for Toxicology, 8/1/05 through 6/30/06.
- Godlaski, Theodore M., College of Social Work, Clinical Assistant Professor, joint appointment as Clinical Assistant Professor, Psychiatry, College of Medicine, 7/1/05 through 6/30/06.
- Hargan, James K., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, joint appointment as Assistant Professor (voluntary), Surgery, College of Medicine, 7/15/05 through 6/30/06.
- Kemp, Michael C., College of Engineering, Assistant Professor, Industrial Engineering Technology, Murray State University, joint appointment as Assistant Professor, Chemical and Materials Engineering, College of Engineering, 7/1/05 through 6/30/06.
- Love, Margaret M., College of Medicine, Assistant Research Professor, Family and Community Medicine, joint appointment as Assistant Research Professor, Kentucky School of Public Health, joint appointment as Assistant Research Professor, Health Behavior, College of Public Health, 7/1/05 through 6/30/06.
- McEwen, Melanie L., College of Medicine, Assistant Research Professor, Physical Medicine and Rehabilitation, joint appointment as Assistant Research Professor, Anatomy and Neurobiology, 7/1/05 through 6/30/06.
- Nicol, Bruce R., College of Dentistry, Instructor (voluntary), Oral Health Science, and joint appointments as Assistant Professor (voluntary), Oral Health Practice and Assistant Professor (voluntary), Family and Community Medicine, College of Medicine, new joint appointment as Instructor (voluntary), Surgery, College of Medicine, 6/1/05 through 6/30/06.
- Reese, David R., College of Medicine, Instructor (voluntary), Family and Community Medicine, joint appointment as Assistant Professor (voluntary), Health Behavior, College of Public Health, 11/1/05 through 6/30/06.
- Rogers, James W., College of Engineering, Assistant Professor, Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/05 through 6/30/06.
- Yepes, Juan F., College of Dentistry, Assistant Professor, Oral Health Practice, joint appointment as Assistant Professor, Diagnostic Radiology, College of Medicine, 7/1/05 through 6/30/06.
- Zhu, Haining, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, joint appointment as Assistant Professor, Graduate Center for Toxicology, 8/1/05 through 6/30/06.

D. REAPPOINTMENTS

- Adams, Charles D., College of Social Work, Instructor (part-time), 8/1/05 through 5/15/06.
- Almquist, Sharon G., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 7/1/05 through 6/30/06.
- Andrews, Rodney J., College of Engineering, Assistant Adjunct Professor, Mechanical Engineering, 7/1/05 through 6/30/08.

Armistead, Jane, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/05 through 12/31/05.

Arnold, Elizabeth, College of Education, Instructor (part-time), Curriculum and Instruction, 8/1/05 through 6/30/06.

Arrington, Michael I., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/05 through 6/30/06.

Aslan, Mustafa M., College of Engineering, Assistant Research Professor, Mechanical Engineering, 7/1/05 through 6/30/06.

Aversa Fessler, Nancy, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/05 through 12/31/05.

Badger, Karen L., College of Social Work, Instructor (part-time), 8/16/05 through 6/30/06.

Baron, Andre T., College of Medicine, Assistant Professor, Internal Medicine, 7/1/05 through 6/30/06.

Bebrowsky, Charles, College of Business and Economics, Lecturer, Management, 7/1/05 through 6/30/06.

Black, James E., College of Engineering, Assistant Adjunct Professor, Civil Engineering, 7/1/05 through 6/30/06.

Bognar, Benedek, College of Medicine, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/05 through 6/30/06.

Bonzani, Renee M., College of Arts and Sciences, Instructor (part-time), Anthropology, 8/16/05 through 12/31/05.

Boyle, Karen R., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 7/1/05 through 6/30/06.

Boyson, Aaron, College of Communications and Information Studies, Assistant Professor, Communication, 7/1/05 through 6/30/06.

Branham, Kristi R., College of Arts and Sciences, Instructor (part-time), English, 8/16/05 through 12/31/05.

Brown, Paul, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/05 through 12/31/05.

Brown, Ralph, College of Business and Economics, Instructor (part-time), Management, 8/16/05 through 6/30/06.

Brzyski, Anna W., College of Fine Arts, Assistant Professor, Art, 7/1/05 through 6/30/06.

Buck, Patrick L., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/05 through 12/31/05.

Carrigan, Dennis P., College of Communications and Information Studies, Assistant Professor*, Library and Information Science, 7/1/05 through 6/30/06.

Castaneda, Marco A., College of Business and Economics, Assistant Professor, Economics, 7/1/05 through 6/30/06.

Chambers, Dennis, College of Business and Economics, Assistant Professor, Accountancy, 7/1/05 through 6/30/06.

Cheeks, Richard, College of Engineering, Assistant Adjunct Professor, Civil Engineering, 7/1/05 through 6/30/06.

Christensen, Spencer D., College of Communications and Information Studies, Instructor (part-time), Communication, 8/16/05 through 6/30/06.

Clark, John R., College of Business and Economics, Instructor (part-time), Accountancy, 8/16/05 through 6/30/06.

*Special Title Series

Clarke, Benita J., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/05 through 12/31/05.

Cox, Angie, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/05 through 12/31/05.

Crawford, Charles W., College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 7/1/05 through 6/30/06.

Cupp, Pamela K., College of Communications and Information Studies, Assistant Research Professor, Communication, 7/1/05 through 6/30/06.

d'Angelo, Rebecca D., College of Arts and Sciences, Instructor (part-time), Statistics, 8/16/05 through 12/31/05.

Daniel, Lois, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/05 through 12/31/05.

Davis, Dennis, College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.

Dean, Johnie, College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.

Dineen, Brian, College of Business and Economics, Assistant Professor, Management, 7/1/05 through 6/30/06.

Duzyk, Darren, College of Engineering, Instructor (part-time), Civil Engineering, 7/1/05 through 6/30/06.

Eckman, Alyssa A., College of Communications and Information Studies, Assistant Professor*, Journalism and Telecommunications, 7/1/05 through 6/30/06.

Edgington, Ernest L., College of Education, Instructor (part-time), Educational Policy Studies and Evaluation, 8/1/05 through 12/31/05.

Edin, Linda, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/05 through 12/31/05.

Eike, Ann, College of Business and Economics, Lecturer, Economics, 7/1/05 through 6/30/06.

El-Ghannam, Ahmed R., Graduate School, Assistant Professor, Biomedical Engineering, 7/1/05 through 6/30/06.

Ellis, Steven L., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 8/16/05 through 12/31/05.

Evans, Connie, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/05 through 12/31/05.

Farrell, James M., College of Communications and Information Studies, Instructor*, Journalism and Telecommunications, 7/1/05 through 6/30/06.

Faulkner, Samuel S., College of Social Work, Instructor (part-time), 8/16/05 through 6/30/06.

Firey, Abigail, College of Arts and Sciences, Assistant Professor, History, and joint appointment as Assistant Professor, Honors Program, 7/1/05 through 6/30/07.

Fox, Stephen R., College of Social Work, Instructor (part-time), 8/16/05 through 5/15/06.

Franks, Mildred M., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 7/1/05 through 6/30/06.

Freling, Traci, College of Business and Economics, Assistant Professor, Management, 7/1/05 through 6/30/06.

Gibbs, William, College of Arts and Sciences, Instructor (part-time), History, 8/16/05 through 12/31/05.

Gildersleeve, Marion A., College of Social Work, Instructor (part-time), 8/16/05 through 6/30/06.

Glikman, Andrew Y., College of Communications and Information Studies, Instructor (part-time), Communication, 8/16/05 through 6/30/06.

*Special Title Series

Glixon, Beth, College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.

Goble, Christine F., College of Engineering, Assistant Professor (part-time), Mechanical Engineering, 7/1/05 through 6/30/06.

Goldman, Art, College of Business and Economics, Lecturer, Accountancy, 7/1/05 through 6/30/06.

Gottlob, Lawrence, College of Arts and Sciences, Assistant Professor, Psychology, 7/1/05 through 6/30/07.

Greb, Stephen F., College of Arts and Sciences, Assistant Adjunct Professor, Geology, 7/1/05 through 6/30/07.

Guarnieri, Karen, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 8/16/05 through 12/31/05.

Guindon, Lynn, College of Arts and Sciences, Lecturer, English, 7/1/05 through 6/30/06.

Gunther, Denotra S., College of Business and Economics, Instructor (part-time), Management, 8/16/05 through 6/30/06.

Hale, Shelda, College of Arts and Sciences, Lecturer, English, 7/1/05 through 6/30/06.

Hall, Arlie, College of Engineering, Assistant Professor (voluntary), Mechanical Engineering, 7/1/05 through 6/30/06.

Hamilton, Phyllis, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/05 through 12/31/05.

Hammond, Angela D., College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.

Hammonds, Pam, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/05 through 12/31/05.

Hardin, Dave, College of Business and Economics, Instructor (part-time), Management, 8/16/05 through 6/30/06.

Hardwick, Theodore H., College of Agriculture, Instructor (part-time), Landscape Architecture, 8/24/05 through 6/30/06.

Hardy, Peter A., Graduate School, Assistant Research Professor, Biomedical Engineering, and joint appointments as Assistant Research Professor, Anatomy and Neurobiology, College of Medicine and Assistant Research Professor, Diagnostic Radiology, College of Medicine, 7/1/05 through 6/30/06.

Hayslett, Kris, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 8/16/05 through 12/31/05.

Helm, David, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/05 through 12/31/05.

Hensley, Hunter C., College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.

Hoffman, Dorotea, College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.

Holbein, Gordon, College of Business and Economics, Lecturer, Management, 7/1/05 through 6/30/06.

Hood, James, College of Arts and Sciences, Instructor (part-time), History, 8/16/05 through 12/31/05.

Hostetler, Miriam, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures and Cultures, 7/1/05 through 6/30/06.

Hutchinson, Judy, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/05 through 12/31/05.

Ireland, Sandra B., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 7/1/05 through 6/30/06.

Jacobs, Michael W., College of Design, Instructor (part-time), Architecture, 8/16/05 through 6/30/06.

Jahed, Peyman, College of Design, Instructor (part-time), Architecture, 7/1/05 through 6/30/06.

Johnson, Wardell, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 8/16/05 through 12/31/05.

Jones, Jeffery A., College of Public Health, Assistant Research Professor, Health Behavior, 7/1/05 through 6/30/06.

Kamlager, Carolee, College of Social Work, Instructor (part-time), 8/16/05 through 5/15/06.

Karkelanova, Anushka A., College of Arts and Sciences, Instructor (part-time), Statistics, 8/16/05 through 12/31/05.

Karp, Margaret B., College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.

Keen, Debby L., College of Engineering, Lecturer, Computer Science, 7/1/05 through 6/30/06.

Kew, Barry W., College of Agriculture, Assistant Professor, Landscape Architecture, 7/1/05 through 6/30/06.

Kilgore-Bowling, Genesia, College of Social Work, Instructor (part-time), 8/16/05 through 5/15/06.

King, David, College of Arts and Sciences, Instructor (part-time), History, 8/16/05 through 12/31/05.

Kudrimoti, Archana M., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/05 through 6/30/06.

Lee, Brian D., College of Agriculture, Assistant Professor, Landscape Architecture, 7/1/05 through 6/30/06.

Lee, Dong, College of Business and Economics, Assistant Professor, Management, 7/1/05 through 6/30/06.

Leet, Vicki, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/05 through 12/31/05.

Leonard, Andrew J., College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.

Lester, Denis, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/05 through 12/31/05.

Letcher, Traci, College of Communications and Information Studies, Lecturer, Communication, 7/1/05 through 6/30/06.

Lewis, Karen J., College of Design, Lecturer, Architecture, 7/1/05 through 6/30/06.

Lindsay, Tedrin B., College of Fine Arts, Lecturer, Music, 7/1/05 through 6/30/06.

Liu, Mark, College of Business and Economics, Assistant Professor, Management, 7/1/05 through 6/30/06.

Lockhart, Dan, College of Business and Economics, Lecturer, Management, 7/1/05 through 6/30/06.

Lockhart, Dan, College of Business and Economics, Instructor (part-time), Management, 8/16/05 through 6/30/06.

Loughlin, Michael L., College of Arts and Sciences, Instructor (part-time), Anthropology, 8/16/05 through 12/31/05.

Lowdermilk, John L., College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 8/16/05 through 12/31/05.

Lowe, Donald I., College of Communications and Information Studies, Lecturer, Communication, 7/1/05 through 6/30/06.

Lynch, John, College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 7/1/05 through 6/30/06.

MacDuffee, David L., College of Engineering, Lecturer, UK Center for Manufacturing, 7/1/05 through 6/30/06.

Maggard, Gregory J., College of Arts and Sciences, Instructor (part-time), Anthropology, 8/16/05 through 12/31/05.

Malik, Uzma, College of Medicine, Assistant Professor*, Radiation Medicine, 7/1/05 through 8/22/05.

Manley, Peggy, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/05 through 12/31/05.

Marczinski, Cecile A., College of Arts and Sciences, Instructor (part-time), Psychology, 8/16/05 through 12/31/05.

Masabni, Joseph G., College of Agriculture, Assistant Extension Professor, Horticulture, 7/1/05 through 6/30/06.

Mawad, Hanna W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/05 through 6/30/06.

McClellan, Gregory L., College of Social Work, Instructor (part-time), 8/16/05 through 5/15/06.

McEachin, Neal, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 8/16/05 through 12/31/05.

McFadden, Steven Y., College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 7/1/05 through 6/30/06.

McFarland, Martha, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 8/16/05 through 12/31/05.

McHugh, Susan, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 7/1/05 through 6/30/06.

McNabb, Sarah, College of Design, Lecturer, Interior Design, 7/1/05 through 6/30/06.

Merriman, Scott, College of Arts and Sciences, Instructor (part-time), History, 8/16/05 through 12/31/05.

Miller, Cynthia H., College of Communications and Information Studies, Lecturer, Communication, 7/1/05 through 6/30/06.

Miller, Robert O., College of Communications and Information Studies, Instructor (part-time), Communication, 7/1/05 through 6/30/06.

Morley, Elizabeth L., College of Arts and Sciences, Lecturer, English, 7/1/05 through 6/30/06.

Muntz, Charlie, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 8/16/05 through 12/31/05.

Murphy, Mindy L., College of Social Work, Instructor (part-time), 8/16/05 through 5/15/06.

Myers, Larry, College of Business and Economics, Instructor (part-time), Management, 8/16/05 through 6/30/06.

Nelson, Rebecca, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 7/1/05 through 6/30/06.

Noar, Seth M., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/05 through 6/30/06.

O'Connor, Lisa O., College of Communications and Information Studies, Assistant Professor, Library and Information Science, 7/1/05 through 6/30/06.

O'Connor, Sandra F., College of Communications and Information Studies, Instructor (part-time), Communication, 7/1/05 through 6/30/06.

Orthel, Bryan D., College of Design, Lecturer, Interior Design, 7/1/05 through 6/30/06.

Osland, Lisa, College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.

Otis, Melanie D., College of Social Work, Assistant Professor, 7/1/05 through 6/30/06.

Pappas, Nikos A., College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.

Park, Christina, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/05 through 6/30/06.

Parker, Larry A., College of Business and Economics, Instructor (part-time), Management, 8/16/05 through 6/30/06.

Patwardhan, Manjiri A., College of Arts and Sciences, Instructor (part-time), Chemistry, 8/16/05 through 12/31/05.

Paul, Mark T., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 7/1/05 through 6/30/06.

Penn, Stephen T., College of Fine Arts, Lecturer, Music, 7/1/05 through 6/30/06.

Pergande, Delia, College of Arts and Sciences, Instructor (part-time), History, 8/16/05 through 12/31/05.

Perry, Gerald R., College of Business and Economics, Lecturer, Management, 7/1/05 through 6/30/06.

Pita Parra, Laura M., College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.

Piwowski, Paul, College of Engineering, Lecturer, Computer Science, 7/1/05 through 6/30/06.

Prats, Judith, College of Arts and Sciences, Lecturer, English, 7/1/05 through 6/30/06.

Prewitt, Sallie, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 8/16/05 through 12/31/05.

Price, James D., College of Engineering, Lecturer, UK Center for Manufacturing, 7/1/05 through 6/30/06.

Rankin, Caroline T., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/05 through 6/30/06.

Real, Kevin J., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/05 through 6/30/06.

Reed, Robert, College of Business and Economics, Assistant Professor, Economics, 7/1/05 through 6/30/06.

Ross, Danny, College of Business and Economics, Instructor (part-time), Accountancy, 8/16/05 through 6/30/06.

Saffari, Kay, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/05 through 12/31/05.

Sageser, Vicki, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 8/16/05 through 12/31/05.

Schimpeler, Charles C., College of Engineering, Instructor (part-time), Civil Engineering, 7/1/05 through 6/30/06.

Schroeder, Mark, College of Arts and Sciences, Instructor (part-time), Political Science, 8/16/05 through 12/31/05.

Selimovic, Inela, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/05 through 12/31/05.

Shearer, Mary E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/05 through 6/30/06.

Sirk, Charles L., College of Business and Economics, Instructor (part-time), Management, 8/16/05 through 6/30/06.

Smith, Michelle, College of Education, Instructor (part-time), Kinesiology and Health Promotion, and joint appointment as Instructor (part-time), Management, College of Business and Economics, 8/16/05 through 12/31/05.

Smoot, Richard C., College of Arts and Sciences, Instructor (part-time), History, 8/16/05 through 12/31/05.

Soult, Allison S., College of Arts and Sciences, Instructor (part-time), Chemistry, 8/16/05 through 12/31/05.

Stark, Glenn W., College of Pharmacy, Assistant Professor (voluntary), 4/14/05 through 6/30/06.

Stein, Lauren P., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/05 through 6/30/06.

Steinke, Douglas, College of Pharmacy, Assistant Professor, 7/1/05 through 6/30/06.

Stephens, Taylor C., College of Communications and Information Studies, Lecturer, Communication, 7/1/05 through 6/30/06.

Strother, Eric, College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.

Susman, David T., College of Arts and Sciences, Clinical Assistant Professor, Psychology, and joint appointment as Clinical Assistant Professor, Psychiatry, College of Medicine, 7/1/05 through 6/30/07.

Swan, Gerry M., College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 8/16/05 through 12/31/05.

Thomas, Brian N., College of Agriculture, Instructor (part-time), Agricultural Economics, 8/24/05 through 12/31/05.

Tichenor, Christopher T., College of Engineering, Lecturer, UK Center for Manufacturing, 7/1/05 through 6/30/06.

Turner, Lue K., College of Agriculture, Lecturer, Family Studies, 8/16/05 through 6/30/06.

Vallance, R. Ryan, College of Engineering, Assistant Professor (visiting), Mechanical Engineering, 7/1/05 through 6/30/06.

Van Erden, Don, College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 7/1/05 through 6/30/06.

Vance, Gregory S., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/05 through 6/30/06.

Veech, David S., College of Engineering, Lecturer, UK Center for Manufacturing, 7/1/05 through 6/30/06.

Viswanathan, Chandramouli, College of Engineering, Assistant Professor (visiting), Civil Engineering, 7/1/05 through 6/30/06.

Wagner, Gretchen, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 8/16/05 through 12/31/05.

Watson, Mark, College of Arts and Sciences, Assistant Professor, Chemistry, 7/1/05 through 6/30/07.

Wertzler, Jon, College of Business and Economics, Instructor (part-time), Management, 8/16/05 through 6/30/06.

Whitehead, Rebecca E., College of Arts and Sciences, Instructor (temporary), Hispanic Studies, 8/16/05 through 5/15/06.

Williams, Mark A., College of Agriculture, Assistant Professor, Horticulture, 7/1/05 through 6/30/06.

Wooten, Billy W., College of Communications and Information Studies, Instructor (part-time), Communication, 8/16/05 through 6/30/06.

Ximenes, Heidy K., College of Fine Arts, Instructor (part-time), Music, 7/1/05 through 6/30/06.

Yao, Emery, College of Business and Economics, Assistant Professor, Management, 7/1/05 through 6/30/06.

Young-Brown, Fiona M., College of Arts and Sciences, Instructor (temporary), Modern and Classical Languages, Literatures and Cultures, 8/16/05 through 5/15/06.

Zirkle, Ross E., College of Fine Arts, Assistant Professor, Art, 7/1/05 through 6/30/06.

E. PROMOTIONS WITHOUT TENURE

- Grider, Jay S., College of Medicine, from Instructor (part-time), Anesthesiology, to Assistant Professor (part-time), Anesthesiology, 8/1/05 through 6/30/06.
- King, Michael R., College of Medicine, from Clinical Instructor, Family and Community Medicine, to Clinical Assistant Professor, Family and Community Medicine, 7/1/05 through 6/30/06.
- Liu, De, College of Business and Economics, from Instructor, Management, to Assistant Professor, Management, 7/1/05 through 6/30/06.
- Powell, David B., College of Medicine, from Instructor (part-time), Anesthesiology, to Assistant Professor (part-time), Anesthesiology, 8/1/05 through 6/30/06.

F. CHANGES

- Ades, Harriet, College of Arts and Sciences, from Instructor (part-time), Chemistry, to Instructor (temporary), Chemistry, 8/16/05 through 12/31/05.
- Bowman, Shearer, College of Arts and Sciences, from Instructor (part-time), History, to Lecturer, History, 7/1/05 through 6/30/06.
- D'Angelo, Sandra L., College of Medicine, from Clinical Assistant Professor, Pediatrics, and joint appointment as Clinical Assistant Professor, Behavioral Science, to Assistant Professor (part-time), Pediatrics, and joint appointment as Assistant Professor (part-time), Behavioral Science, 7/1/05 through 6/30/06.
- Gibson, James, College of Design, from Lecturer, Architecture, to Instructor (part-time), Architecture, 8/16/05 through 6/30/06.
- Hannemann, Regina, College of Engineering, from Instructor (part-time), Electrical and Computer Engineering, to Lecturer, Electrical and Computer Engineering, 7/1/05 through 6/30/06.
- Harrison, Ershal, College of Dentistry, from Assistant Professor (part-time), Oral Health Practice, to Assistant Professor*, Oral Health Practice, 7/1/05 through 6/30/06.
- Huhn, Thomas M., College of Medicine, from Assistant Professor (voluntary), Emergency Medicine, to Assistant Professor (part-time), Emergency Medicine, 9/1/05 through 6/30/06.
- Kim, Tae-Hyung, College of Arts and Sciences, from Instructor (part-time), Political Science, to Assistant Professor (visiting), Political Science, 8/16/05 through 5/15/06.
- Kirsh, Kenneth L., College of Medicine, from Assistant Research Professor, Internal Medicine, to Assistant Professor*, College of Pharmacy, 7/1/05 through 6/30/06.
- Lambert, Hilary, College of Arts and Sciences, from Instructor (part-time), Geography, to Instructor (part-time), Political Science, 8/16/05 through 12/31/05.
- Lewis, Thomas, College of Business and Economics, from Instructor (part-time), Accountancy, to Lecturer, Management, 8/16/05 through 6/30/06.
- Lott, Kimberly H., College of Education, from Assistant Research Professor, Special Education and Rehabilitation Counseling, to Lecturer, Curriculum and Instruction, 8/1/05 through 6/30/06.
- McComb, Gary N., College of Design, from Lecturer, to Instructor (part-time), Architecture, 8/16/05 through 5/15/06.
- McRaven, Deborah K., College of Arts and Sciences, from Instructor (part-time), History, to Instructor (temporary), History, 8/16/05 through 6/30/06.

*Special Title Series

Myers, Lindsay L., College of Arts and Sciences, from Instructor (part-time), Modern and Classical Languages, Literatures and Cultures, to Instructor (temporary), Modern and Classical Languages, Literatures and Cultures, 8/16/05 through 5/15/06.

Panchal, Mahendra J., College of Medicine, from Clinical Assistant Professor, Diagnostic Radiology, to Assistant Professor (part-time), Diagnostic Radiology, 7/1/05 through 6/30/06.

Pulmano, Calixto M., College of Medicine, from Assistant Professor (part-time), Diagnostic Radiology, and joint appointment as Assistant Professor (part-time), Neurology, to Clinical Assistant Professor, Diagnostic Radiology, and joint appointment as Clinical Assistant Professor, Neurology, 7/1/05 through 6/30/06.

Riesenweber, Julie, College of Design, from Lecturer, Historic Preservation, to Instructor (part-time), Historic Preservation, 8/15/05 through 6/30/06.

Stafford, Richard, College of Business and Economics, from Lecturer, Management, to Instructor (part-time), Management, 8/16/05 through 6/30/06.

Whitlock, Tammy, College of Arts and Sciences, from Instructor (part-time), History, to Lecturer, History, 7/1/05 through 6/30/06.

Wyse, Joseph, College of Pharmacy, from CPST Business Development Director Associate, to CPST Director Associate and General Manager, effective 8/1/05.

Young, William S., College of Engineering, from Industry Extension Specialist IV, UK Center for Manufacturing, to Industry Extension Specialist V, UK Center for Manufacturing, effective 8/15/05.

G. TERMINAL REAPPOINTMENTS

Badaker, Viktor, College of Engineering, Assistant Research Professor, Mining Engineering, 7/1/05 through 6/30/06.

Buckey, Polly B., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/05 through 6/30/06.

Campbell, Sean, College of Arts and Sciences, Assistant Professor, Geography, 7/1/05 through 6/30/06.

Garcia Ramos, Gisela, College of Arts and Sciences, Assistant Research Professor, Biology, 5/1/05 through 4/30/06.

Gavalas, Vasileios G., College of Arts and Sciences, Assistant Research Professor, Chemistry, 7/1/05 through 6/30/06.

Grossmann, Etienne G., College of Engineering, Assistant Research Professor, Computer Science, 7/1/05 through 11/16/05.

Hamann, Scott R., College of Medicine, Assistant Professor, Anesthesiology, 8/18/05 through 8/17/06.

Herrin, David W., College of Engineering, Assistant Research Professor, Mechanical Engineering, 7/1/05 through 6/30/06.

Malik, Uzma, College of Medicine, Assistant Professor*, Radiation Medicine, 8/23/05 through 8/22/06.

Rolf, Cristin M., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/05 through 6/30/06.

Salazar, Abraham J., College of Engineering, Assistant Research Professor, Mechanical Engineering, 7/1/05 through 6/30/06.

Thompson, Timothy, College of Arts and Sciences, Instructor (temporary), Sociology, 8/16/05 through 5/15/06.

*Special Title Series

H. LEAVES OF ABSENCE

- Altman, Dennis M., College of Communications and Information Studies, Associate Professor* (with tenure), Journalism and Telecommunications, Leave without pay, 1/1/06 through 6/30/06.
- Cliggett, Lisa, College of Arts and Sciences, Associate Professor (with tenure), Anthropology, from Sabbatical leave at half salary, 7/1/05 through 6/30/06, to Sabbatical leave at full salary, 7/1/05 through 12/31/05.
- Carvalho, Susan, College of Arts and Sciences, Associate Professor (with tenure), Hispanic Studies, Scholarly fellowship leave, 7/1/05 through 6/30/06.
- Farman, Mark L., College of Agriculture, Associate Professor (with tenure), Plant Pathology, from Sabbatical leave at half salary, 7/1/05 through 6/30/06, to Sabbatical leave at full salary, 1/1/06 through 6/30/06.
- Hildreth, Gladys J., College of Agriculture, Professor (with tenure), Family Studies, Sabbatical leave at half salary, 9/1/05 through 8/31/06.
- Jackson, Vanessa P., College of Agriculture, Associate Professor (with tenure), Merchandising, Apparel and Textiles, Sabbatical leave at full salary, 1/1/06 through 5/30/06.
- Lewin, Jennifer, College of Arts and Sciences, Assistant Professor, English, to rescind Scholarly fellowship leave, 7/1/05 through 12/31/05.
- Mankad, Vipul N., College of Medicine, Professor (with tenure), Pediatrics, Leave at full salary, 9/1/05 through 9/30/05.
- Mutersbaugh, Tad, College of Arts and Sciences, Associate Professor (with tenure), Geography, from Scholarly fellowship leave, 7/1/05 through 6/30/06, to Scholarly fellowship leave, 1/1/06 through 6/30/06.
- Riley, Kathryn P., College of Public Health, Associate Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Associate Professor (part-time), Family and Community Medicine, College of Medicine, Leave without pay, 6/1/05 through 8/31/05.
- Schuster, John, College of Education, Professor (with tenure), Special Education and Rehabilitation Counseling, Sabbatical leave at full salary, 1/1/06 through 6/30/06.
- Tunberg, Jennifer, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures and Cultures, and joint appointment as Assistant Professor, Honors Program, Leave without pay, 7/1/05 through 6/30/06.
- Vance, Jane, College of Arts and Sciences, Professor (with tenure), English, Leave at partial salary, 7/1/05 through 6/30/06.
- Wu, Shengqing, College of Arts and Sciences, Assistant Professor (visiting), Modern and Classical Languages, Literatures and Cultures, Scholarly fellowship leave, 7/1/05 through 6/30/06.

*Special Title Series

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Galloway, Whitney, University Hospital, Clinical Staff Pharmacist, Pharmacy Services, effective 5/15/05.
Miesle, Daniel J., University Hospital, UK Healthcare Facilities, Planning and Development Director, Hospital Administration, effective 6/6/05.
Pedigo, Nancy, University Hospital, Clinical Staff pharmacist, Pharmacy Services, effective 7/26/05.
Phillips, John, University Hospital, Director of Kentucky Neuroscience and Orthopaedics Service, Diagnostic Services – Kentucky Neuroscience and Orthopaedics Institute, effective 8/1/05.
Richardson, Jennifer, University Hospital, Pharmacist, Pharmacy Services, effective 5/31/05.

B. CHANGES

Rogers, Leslie C., from Associate Director, Patient Accounts, to Cancer Services Director, Markey Cancer Center Ambulatory, effective 8/21/05.

C. RETIREMENTS

Duff, Clara, Senior Central Services Technician, Perioperative Services, after 15 years consecutive service, under AR II-1.6-1, Section III.B, effective 6/10/05.

D. EARLY RETIREMENTS

Mabson, Calvin, Communication Technician, Emergency Transport Services, after 16 years and 11 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 5/26/05.

E. POST-RETIREMENT APPOINTMENTS

Smith, Cynthia, University Hospital, Registered Nurse, Perioperative Services, 6/7/05 through 6/30/06.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Davis, Jan, University Hospital, Patient Care Manager, Clinical Decision Unit, effective 7/18/05.

Matthews, Brandy Galloway, University Hospital, Patient Placement
Coordinator, Nursing Administration, effective 8/8/05.

Robinson, Stephen, University Hospital, Service Line Financial Manager, Decision Support,
effective 6/13/05.

Willis, Kelly, University Hospital, Clinical Dosimetrist, Radiation Medicine, effective 8/8/05.

Zimmerman, Ronald A., University Hospital, Service Line Financial Manager, Oncology
Department, effective 7/18/05.

B. CHANGES

Morgan, Tiffany, from Technical Project Specialist II to Technical Project Specialist III, Medical
Center Information Systems, effective 6/12/05.

EXECUTIVE VICE PRESIDENT FOR RESEARCH

I. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

DiLorenzo, Amy N., Interdisciplinary Human Development Institute, Disability Program
Administrator Associate, effective 8/22/05.

B. CHANGES

Grosso, John G., Center for Applied Energy Research, from Engineering Associate IV/Research to
Engineering Associate Program Manager I, effective 6/1/05.

Keach, Donald G., Intellectual Property Development Office, from Intellectual Property
Development Director (grade 46) to Intellectual Property Development Director (grade 47),
effective 8/1/05.

PR 3

Office of the President
September 20, 2005

Members, Board of Trustees:

NAMING OF BUILDING

Recommendation: that the Board of Trustees approve renaming the Public Service Commission Building the “Robert Straus Behavioral Research Building.”

Background: The Public Service Commission Building, located at 515 Oldham Court, is currently being renovated to provide space for externally funded and other behavioral science research, on a variety of medically related conditions, as well as for alcohol and drug research. The proposal to rename the building is grounded in the longstanding association Robert Straus has had with the University of Kentucky and the advancement of knowledge and science he fostered through out his career as a UK teacher and researcher.

Robert Straus, Professor Emeritus, came to Lexington in 1956 as a member of the planning staff for the University of Kentucky Medical Center. He served as the first Coordinator of Academic Affairs for the College of Medicine and for 28 years as Chairman of the Department of Behavioral Science in the College of Medicine. In addition to Dr. Straus’s service, scholarship, and research, he has trained generations of behavioral scientists and physicians at the University of Kentucky.

The Committee on Naming University Buildings has reviewed the request and found it to be in compliance with university policy AR II-1.1-6 and unanimously recommended approval of this name change. The Interim Provost of the university supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 4

Office of the President
September 20, 2005

Members, Board of Trustees:

QUANTITATIVE WAIVER FOR SUBMISSION OF REQUESTS FOR NEW ACADEMIC PROGRAMS

Recommendation: that the Board of Trustees adopt a resolution for submission of a quantitative waiver to the Council on Postsecondary Education. The quantitative waiver allows submission of requests for new academic programs during calendar year 2005 in accordance to KRS 164.020, Section 19, as implemented through 13 KAR 2:060, Section 7.

Background: The Kentucky Plan for Equal Opportunities in Higher Education establishes eight objectives for the University of Kentucky, five goals in enrollment and retention of Kentucky resident African American students, and three goals for African American employment. Based on Council on Postsecondary Education assessment, the University of Kentucky attained the goal or demonstrated continuous progress in five of the eight objectives.

The university made progress in:

1. Kentucky Resident African American Student Enrollment
2. Retention of First-year Kentucky Resident Students
3. Retention of All Kentucky Resident Undergraduate Students
4. Kentucky Resident Graduate Student Enrollment
5. Employment of African Americans as Faculty.

The university failed to progress in the following:

1. Six-year Graduation Rate for Degree-Seeking Kentucky Resident African American Students – Fall 1998 Cohort
2. Employment of African Americans in Executive, Administrative, and Managerial Positions
3. Employment of African Americans in Other Professionals Positions.

As stated in 13 KAR 2:060, Section 7, if an institution exhibits continuous progress in five of eight annual plan implementation objectives, a quantitative waiver resolution approved by the institution's governing board shall be submitted to the Council on Postsecondary Education, including the institution's efforts to achieve objectives as set forth in the Kentucky Plan.

Attached is a proposal of strategies and initiatives for improvement in the three objectives in which the university did not progress.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

RESOLUTION OF THE UNIVERSITY OF KENTUCKY
Board of Trustees
Approving a Quantitative Waiver
For Submission of Requests for New Academic Programs
September 20, 2005

WHEREAS, KRS 164.020, Section 19, authorizes the Kentucky Council on Postsecondary Education to postpone approval of any new academic program at a state postsecondary educational institution unless the institution meets equal educational opportunity goals, and

WHEREAS, KRS 164.020, Section 19, allows institutions not meeting the goals to obtain a temporary waiver if the institution has made substantial progress toward meeting its equal educational opportunity goals, and

WHEREAS, 13 KAR 2:060, Section 7, states an institution may request a one (1) year waiver if not automatically eligible and is eligible for a quantitative or qualitative waiver, and

WHEREAS, 13 KAR 2:060, Section 7, specifies a waiver request by an institution shall include a resolution submitted to the Council on Postsecondary Education approved by the institution's governing board and shall include a quantitative assessment of the institution's efforts to achieve objectives as set forth in the Kentucky Plan,

NOW THEREFORE BE IT RESOLVED that the University of Kentucky Board of Trustees approves a quantitative waiver request for submission of new academic programs in calendar year 2005.

James F. Hardymon, Chair
University of Kentucky Board of Trustees

2005 QUANTITATIVE WAIVER PROPOSAL

University of Kentucky

INTRODUCTION

In accordance with the 1997-2002 Kentucky Plan for Equal Opportunities in Postsecondary Education (Kentucky Plan) a university shall be eligible to receive a quantitative waiver for submission of requests for new academic programs if the institution exhibits continuous progress or goal attainment in five of eight equal opportunity objectives. The Kentucky Plan objectives are undergraduate and graduate enrollment, first-time freshman and all undergraduate retention, graduation of Kentucky resident African American students, and the employment of African Americans in three position categories: Executive/Administrative/Managerial, Faculty, and Other Professional.

Based on Council on Postsecondary Education (CPE) assessment of the University of Kentucky meeting Kentucky Plan objectives, the University exhibited progress in five of eight objectives. Therefore, to establish eligibility to submit requests for new academic programs to CPE in calendar year 2005 the University must request a quantitative waiver.

BACKGROUND

The University of Kentucky attained the goal or made continuous progress in the following objectives based on 2003-2004 performance measurement:

- Kentucky resident African American undergraduate enrollment
- Retention of first-time freshmen resident students
- Retention of all Kentucky resident undergraduate students
- Kentucky resident graduate student enrollment
- Employment of African Americans as faculty

The University failed to attain the goal or make continuous progress in the following objectives:

- Six-year graduation rate for degree-seeking Kentucky residents from the fall 1998 cohort
- Employment of African Americans in Executive/Administrative/Managerial positions
- Employment of African Americans as Other Professionals

NOTE: The evaluation method “continuous progress” is defined as an increase in the number of students or employees over the previous year for each category objective.

AREAS OF IMPROVEMENT

The University of Kentucky has consistently attained the goal or achieved continuous progress status in at least six of the eight Kentucky Plan objectives since 2002 for automatic eligibility to submit requests for new academic programs. This quantitative waiver proposal outlines initiatives the University will continue based on a history of success and new strategies to strengthen performance in the categories requiring improvement.

GRADUATION RATE

Six-Year Graduation Rate for Degree Seeking Kentucky Fall 1998 Cohort

Residents-

	00-01	01-02	02-03	03-04	Objectives
African American	67 of 169 39.6%	85 of 183 46.4%	91 of 172 52.9%	88 of 166 53.0%	57.50%
White	1,395 of 1,304 60.5%	1,466 of 2,329 62.9%	1,292 of 1,933 66.8%	1,381 of 2,113 65.4%	57.50%

Following is a list of programs, services and activities to increase student retention and graduation rate including Kentucky resident African Americans:

- **Academic Enhancement Programs**

The Academic Enhancement Programs (AEP) are dedicated to improving students' overall academic experience at the University of Kentucky and include peer tutoring, tutor referral, guided study, customized workshops, and individual consultations. The Brevard Center on First Year Experience identifies academic support such as tutoring and learning skills consultation as an important element for first year retention and helping students make progress toward degree. In the fall of 2004, the Office of Undergraduate Education brought together a set of existing academic support programs and a well respected Writing Center to serve the general undergraduate population. The Writing Center also serves graduate students and faculty.

- **Academic Recovery**

Beginning with a pilot course in 2001, the University of Kentucky now offers multiple sections of a for-credit, academic recovery course, EPE174 Theories of College Success. This course introduces students on academic probation to current theories of student development and academic strategies as well as the history and organization of higher education. Students also meet every other week with their instructor to apply their understanding of course material to a personal action plan. The course culminates with a career exploration project designed to strengthen students' goal setting skills. To date students participating in the class are retained at the University at a level 30-40% higher than their peers on probation that do not take the class.

- **First Year Initiatives**

As a result of the President's Taskforce on First Year Experience, the Associate Provost for Undergraduate Education appointed a Faculty Coordinator of First Year Initiatives in 2003. This position serves to explore ways in which the University might improve the undergraduate experience for first year students through partnerships between Academic and Student Affairs and increased communication regarding first year issues across campus. Examples of successful first year initiatives include the establishment of Academic Enhancement and Academic Recovery Programs to serve the entire undergraduate population, the dedication of a staff position within the Department of Residence Life to focus upon academic initiatives, the creation of a Learning Specialist position appointed in 2005 to direct tutoring and faculty development pertinent to student learning, and increased communication among faculty and Student Affairs.

- **UK 101**

UK 101, the first-year Academic Orientation Course, is designed to help new students succeed at the University of Kentucky. The class instructors provide information and teach academic skills that are useful throughout the undergraduate college experience and beyond. Campus resources, time management, study strategies, library skills, career exploration, and University expectations are just a few of the topics addressed in UK 101. Students who take UK 101 are consistently retained at higher rates than students who do not enroll in the class.

A similar course, UK 201, is offered to transfer students. Topics are modified to better meet the needs of this student group.

- **UK Parent Association**

The UK Parent Association strives to keep parents informed about campus resources and opportunities so parents can serve as a referral source for their students. Through publications, e-mail correspondence, a telephone hotline, and campus activities such as Family Weekend, the Parent Association provides parents with the tools they need to support their students' academic goals.

- **DARWIN**

The University is implementing an automated web-based, graphical-displayed degree audit system that provides information to students and advisors about how coursework is being used to complete degree program requirements. The report provides details for students such as what the requirements are for each program by breaking the program into components, and then the courses or hours required for each of those components. The information is available via the web so students can see this at any time. By following the reports, students and advisors can quickly see what has been completed and what remains to be completed, helping to keep students on track toward graduation. Students can also use the system to explore how courses they have completed would fulfill the program requirements of another major. This helps students make more informed decisions about changing majors.

- **Experiential Education and Career Services**

Merging the Office of Experiential Education and the Career Center occurred July 1, 2004. The primary goal of the merger was to provide "one stop shopping" for University of Kentucky students and graduates seeking internships during their college careers and full time jobs after graduation, career planning assistance and job skills development, and employers seeking both interns and full-time professionals. Ten career counselors/coordinators were cross-trained after the merger and equipped to advise students on job search strategies and career preparation regarding internship information and full-time employment.

In collaboration with the Office of Multicultural Affairs, the first Multicultural Networking Reception was held September 21, 2004. This new event attracted more than 100 minority students, and 17 employers including Procter & Gamble, Wells Fargo, and Merrill Lynch, to name a few. The employers that attended this event asked if the University would make this an annual event. Many students attending the event received multiple employment offers.

- **Office of Multicultural and Academic Affairs Programs and Services**

The mission of the Office for Multicultural and Academic Affairs (OMAA) is to become one of the leading programs in the country recognized for policies and procedures that provide specialized support in the recruitment, retention, and graduation of a racially and ethnically-diverse student body. This student-driven aspect focuses on underrepresented groups such as African Americans, Hispanics, Native Americans and students from the Appalachian Region of Kentucky.

The culture driven elements of the OMAA, will through programs designed to promote awareness, understanding, appreciation, and specific social actions, lead in articulating and demonstrating belief in the value and richness of human differences framed by culture, gender, race and ethnicity.

Additional resources designated to increase successful matriculation of African American students are being identified. OMAA will focus attention in three major areas including recruitment, retention and cultural development. An emphasis on increasing efforts to engage African American student organizations is planned.

The key units of OMAA and programs within these units that specifically address multicultural student graduation rates are as follows:

- **UK Center for Academic Resources and Enrichment Services (UK CARES)** provides a comprehensive academic support system and enrichment services outside the classroom. Programs and activities are designed to assist students in achieving academic excellence and adjusting to student life at the University. Services include tutoring, study groups, study skills workshops, academic planning and monitoring, career exploration, and peer mentoring. The OMAA is seeking a faculty member or professional with a background in

retention of multicultural students to serve in a role that will increase the effectiveness of the UK CARES programs, thus increasing retention and graduation rates.

- **The Freshman Summer Program** is an academically intensive program designed to improve the retention of students admitted to the University of Kentucky. Students enroll in college courses, attend workshops that introduce them to campus resources and participate in social and cultural activities.
- **The Critical First-Year Program** is an academic support program designed to assist first-year students in making a successful transition from high school to college. The goal is for students to have at least a 3.0 grade point average at the end of their freshman year. Components of the program include a multicultural student orientation, tutoring, first-year focus seminars, academic updates from professors, academic progress sessions, peer mentoring and study groups.
- **The Science Living-Learning Community** is targeted to students interested in majoring in a math or science related field. The program uses a collaborative learning model to aid in student comprehension and mastery of the selected subject. Participants live together and enroll in University Orientation (UK 101), General Chemistry courses, and Chemistry Excel Workshops taught in their residence hall.
- **The Martin Luther King, Jr. Cultural Center** offers an atmosphere for cultural enrichment and intellectual stimulation. Programs sponsored by the King Cultural Center aim to promote a better understanding of and appreciation for diversity. Events typically include lectures, concerts, theater and dance performances, art exhibits, workshops and seminars.

The OMAA is planning a fall 2005 relocation of the King Cultural Center to a more visible and attractive location. Resources have been identified to foster the exchange of ideas and utilize the various programs and services available to African American and all campus students. This provides a vital link in building and sustaining an inclusive campus environment.

- **Multicultural Student Programming** provides staff assistance and organizational support to a wide range of student organizations in planning events, projects and activities of special relevance to the University's multicultural student population. The office also collaborates with many other University offices, student organizations and community groups in planning events that advance and support the value of multiculturalism and diversity.
- **Student Support Services** is a federally funded TRIO program designed to provide comprehensive academic support and increase retention and graduation rates for eligible students. To be eligible for the program, students

must be citizens of the United States or permanent residents and qualify under one or more of the following categories: a first generation college student (neither parent has a four-year degree); low Income, as established by the U.S. Department of Education; have a documented disability.

Services provided are free individual tutoring, academic planning, career counseling, personal counseling, peer mentoring, study skills sessions, financial aid information, graduate school preparation, and cultural/social activities.

Through these various programs and services, the OMAA plans to have more contact with and greater oversight of all multicultural students including African American students, making it easier to monitor and intervene when necessary.

STUDENT ACTIVITIES, LEADERSHIP & INVOLVEMENT

- **Contributing Programs to Graduation and Retention**

The Division of Student Affairs restructured organizationally (2004) to create an administrative unit entitled *Student Activities, Leadership, and Involvement* in order to broaden the promotion, scope and support for student development through student leadership education programs, student organizations, and curricular and co-curricular programs and services. *Research indicates that student involvement in co-curricular activities is a key factor in retention and graduation rates.* Programs and services instrumental in providing this co-curricular connection include the Student Activities Board, Student Government Association, Freshman Representative Council, Student Organization Center, Student Volunteer Center, and Emerging Leader Institution.

Student success and engagement through leadership development opportunities that support the academic arena are practiced throughout Student Activities, Leadership & Involvement. Students serving in leadership roles receive academic credit via graduate assistantships, internships, independent study and direct coursework in a variety of academic departments. Students are connected with faculty advisors and mentors through programming initiatives and student development opportunities.

- **UK AMSTEMM**

The UK Appalachian and Minority Science, Technology, Engineering and Mathematics Majors program (**UK AMSTEMM**) was established in January 2005 to recruit, retain, and graduate students from Appalachia and minority populations by means of a number of related activities. The recruitment phase of the **UK AMSTEMM** program includes providing and coordinating such programs as high school mathematics support, summer science camps on-campus, visits to schools, teacher support, programs for parents, and Saturday events, such as career advising. **UK AMSTEMM** provides a number of students with the financial assistance (in the form of fellowships for participating in mentored research experiences and serving as peer mentors) that allows them to realistically afford to attend the University of Kentucky.

The retention phase includes dedicated professional advising, faculty mentoring, intensive summer mathematics courses for students who are not totally prepared for calculus, increased support for the Math Excel program for pre-calculus students, a special first year, place-based Discovery Seminar program, mentored research experiences for first- and second-year students, a peer mentoring program, and bi-weekly research colloquia.

The graduation phase, intended to ensure that students make regular progress toward degrees and graduate "on time," includes continued participation in the biweekly research colloquia, continued professional advising, additional peer mentoring, increased mentored research experiences, and place-based courses in the various STEM disciplines.

In addition to the immediate influence of UK AMSTEMM on the number of STEM majors and graduates at UK, the project adds significantly to the knowledge-base of how to recruit, retain, and graduate minority and rural students and how to serve their unique needs.

- **Robinson Scholars** The Robinson Scholars Program serves first generation college-bound and college students from 29 counties in eastern Kentucky with historically low rates of college attendance. Robinson Scholars have demonstrated the potential to succeed, but face economic, cultural, or institutional impediments to their completion of four-year college degrees. The Program's mission is to provide these students with support services and scholarship resources that empower them to complete a baccalaureate degree at the University of Kentucky.

The Program identifies Scholars in the eighth grade. This approach acknowledges the importance of academic support, social mentoring, and college preparation throughout high school. The Program monitors students' progress through school visits and individualized programming. Each year, Robinson Scholars also participate in a summer academic program and at least three weekend academic programs.

Although the minority population is 2.18% in the twenty-nine Robinson Scholars counties, twenty-one Robinson Scholars (4.13% of the total) are minority students. Fourteen are African American, six are Hispanic, and one is Asian. The first class of Robinson Scholars graduating from the University included two African American and two Hispanic students.

EMPLOYMENT GOALS

We cannot ignore the fact that employment goals for African Americans in Executive/Administrative/Managerial (EAM) and Other Professional positions have never been attained at the University of Kentucky. There are a number of reasons for

failure to reach these goals, but the more applicable discourse is the University's commitment to changing this pattern.

In making this commitment the University believes these goals are important, for the pragmatic purposes of reaching the goals of the Kentucky Plan for Equal Opportunities and corresponding state statute for new academic program eligibility, Affirmative Action Plan as a recipient of federal contracts, and University of Kentucky 2003-2006 Strategic Plan: *The Dream and The Challenge*.

Employment of African Americans in Executive, Administrative and Managerial Positions

	00-01	01-02	02-03	03-04	Objectives
African American	15	14	12	12	-----
Total (AA + W)	395	382	336	340	-----
% African American	3.8%	3.7%	3.6%	3.5%	5.0%

In the EAM category the number of African American employees is very low and the University has made only incremental progress. There are relatively few hires in this category in most years. Departure of African Americans in EAM positions for promotions at other institutions makes big differences in the outcomes reported from year to year.

In the past, University of Kentucky Human Resources hiring processes were less assistive in national searches and the collection of academic credentials required for EAM level academic employment. Hence, many national searches were generally handled outside of regular staff employment procedures. With the introduction of UK's Online Employment System (OES), developed by individuals working in postsecondary education, the University is able for the first time to collect more reliable information relative to federal labor regulation goals of a more diverse work force.

Applicant flow, once over 60% non-compliant on voluntarily disclosed race and gender information, is now over 90% compliant, which allows Human Resources employment recruiters to send hiring officials representative pools, to identify those positions for which there is not a representative pool in any employment category where UK has unmet goals, and to recommend advertising to a wider audience in an effort to expand the pool of diverse applicants.

Employment of African Americans as Other Professionals

	00-01	01-02	02-03	03-04	Objectives
African American	139	105	106	103	-----
Total (AA + W)	3,651	3,698	2,460	2,470	-----
% African American	3.8%	2.8%	4.3%	4.2%	5.0%

The employment group including all Other Professionals is a broad range of employees. This category includes many positions ranging from nurses and physical therapists in the University Hospital to coaches in Athletics, technology specialists to research analysts,

and student support personnel. Progress in the number of African Americans in this category can be demonstrated while increasing the number of total professional employees.

The significant increase in research grant and contracts at UK has contributed to the increased number of positions in this category. Significant oversight will be taken to refer representative pools of applicants to the hiring officials. However, the University can demonstrate, paralleling the EAM category, that UK does not receive significant numbers of African American applicants for these positions as it does in other employment categories. Human Resources Employment has identified opportunities and strategies where more applicants will participate in the selection process.

- **The Office of Institutional Equity and Equal Opportunity**, Associate Vice President for Institutional Equity and Assistant Vice President for Equal Opportunity, are available to meet with search committees, search firms, hiring officials, and academic and administrative units wanting more information on the University of Kentucky's goals and equal opportunity commitments.
 - **University representation** to the CPE Committee on Equal Opportunities (CEO) and the institutional workgroup that monitors the University's compliance with Kentucky Plan objectives and development of a new Kentucky Plan is provided by this office.
 - **Training and outreach** on all the University of Kentucky equal opportunity commitments are available by contacting the Institutional Equity and Equal Opportunity Office or visiting the website at www.uky.edu/evpfa/eeo . This office is responsible advising University officials and discussing ways to affirmatively impact UK's goals.
 - **UK Policy and Procedures** are examined on a regular basis with regulatory guidance from the U.S. Department of Labor Office of Federal Contract Compliance Programs (OFCCP), the Uniform Employee Selection Guidelines, other enforcement agency guidance, and Human Resources to ensure the University of Kentucky in practice and spirit is an inclusive employer, without disparate impact of any protected group or affiliation.
 - **University of Kentucky Affirmative Action Plan** is a mandatory written plan prepared with OFCCP guidance and includes directions on how the University may seek to meet the Kentucky Plan goals.
- **Human Resources Initiatives** under the Director and Employment Office genuinely continue to seek more proactive resources and tools to assist hiring officials and departments in broadening the applicant pool representation of minority candidates.
 - **Employment Advertising Improvements**

- **Bayard Advertising** services have been acquired through University procurement process (RFP) to improve UK employment branding and establish more effective advertising capabilities through improved exposure and visibility. This contract became effective January 1, 2005.
 - **CareerBuilder.com** is now being utilized to increase exposure of employment opportunities in over 700 different online job sites and professional organizations. For a reasonable charge, departments may now place online ads for a 30-day period targeting over 100 predominantly minority sites.
 - **KY Business Leadership Network or KYBLN**, a UK grant funded organization associated with the USBLN, the Department of Labor's initiative to hire people with disabilities, is developing a website to link **UK Jobs** and other employers of choice to multiple diversity sites across the country with no additional charge to University departments for advertising.
- **Education & Training**
 - **Responsible Hiring Practices**, developed by the HR Employment Office, provides education and training for hiring officials. Information on the Kentucky Plan will be incorporated into the curriculum for both Responsible Hiring Practices and Search Committee Orientation workshops to increase awareness of the Kentucky Plan and its impact on academic program growth.
 - **Search Committee Orientation** was developed for executive search firms hired at times by the University for executive level position openings. The HR Employment office will proactively work with these firms to not only inform and educate regarding the Kentucky Plan, but also to direct UK's expectation that applicants in EAM and professional positions include a representative pool of minorities.
 - **Competitive Recruitment Offerings**
 - **Dual Career Partner Advocacy Resource** offers assistance to the partner of any person being recruited for and/or hired by the University who would be relocating to accept an offer. This service increases the success of the partner's job search and can alleviate pressures of an unemployed partner.
 - **Work-life Culture Survey** will be conducted University-wide October 2005-January 2006 to provide a baseline measure of the current UK workplace status. Only by knowing the baseline status and becoming aware of current issues may the University identify specific actions by which to improve. Establishing specific goals from survey results and

making improvements to the work environment will improve the ability to attract and retain diverse faculty and staff. The Human Resources Office of Work-life was established in July 2004 to lead efforts at the University to improve the workplace culture and sensitivity to the sometimes conflicting demands of work and personal issues.

- **Diversity Plans**

- **President Lee Todd**, under recommendation of the President's Commission on Diversity, charged the Diversity Plan Task Force with development of a comprehensive, University-wide Diversity Plan inclusive of diversity goals and specific action plans for campus units. The Task Force is comprised of academic and administrative unit heads, staff and student representatives. Progress toward accomplishing diversity plan goals will be monitored on an ongoing basis using the University Strategic Planning and Reporting system.

SUMMARY

A Key Indicator in *Goal V: Nurture Diversity of Thought, Culture, Gender and Ethnicity* of **The University of Kentucky 2003-2006 Strategic Plan** is to meet the enrollment and persistence goals of the Kentucky Plan for Equal Opportunities in Postsecondary Education. This proposal outlines specific programs and strategies to improve performance in attaining Kentucky Plan goals. Overall, the University has steady progress in African American student enrollment, retention and graduation, and employment of faculty and staff.

AACR 1

Office of the President
September 20, 2005

Members, Board of Trustees:

CANDIDATES FOR DEGREES

Recommendation: that the President be authorized to confer upon each individual whose name appears on the list presented herewith the degree to which he/she is entitled, upon certification by the Registrar and approval by the University Senate, that the individual has satisfactorily completed all requirements for the degree for which application has been made.

Background: Each individual whose name appears on the attached list completed the work leading toward the degree for which application was made at the close of the 2005 Summer Session.

For information regarding the AACR 1 Candidates for Degrees, please contact Cleo Price, 859-257-7169, Office of University Registrar.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES – AUGUST 2005

<u>Graduate Degrees:</u>		<u>Undergraduates (cont'd)</u>		<u>Undergraduates (cont'd)</u>	
Ph.D.	171	B.S.A.S.	4	Fine Arts	
D.M.A.	5	B.S.F.C.S.	9	B.A.	18
Ed.D.	8	B.S.NRC.	3	B.M.	2
Ed.S.	24	B.S.P.S.S.	3	B.M.M.E.	3
M.A.	100	B.S.DIE	11		
M.A.EDU	57	B.S.H.M.	12	TOTAL	23
M.A.IDM	1	B.S.HN	3		
M.B.A.	29	B.S.MAT.	20	Health Sciences	
M.E	7			B.H.S	3
M.H.A.	10	TOTAL	84		
M.H.P.	12			TOTAL	3
M.M.	7	Arts and Sciences			
M.P.A.	15	B.A.	163		
M.P.H.	31	B.S.	58	Nursing	
M.R.C.	7			B.S.N.	10
M.S.	120	TOTAL	221		
M.S.ACC.	8			TOTAL	10
M.S.B.E	4	Business and Economics			
M.S.BAE	2	B.B.A.	64	Social Work	
M.S.CIV.E.	27	B.S.A.	25	B.A.S.W.	20
M.S.C.D.	1	B.S.B.E.	2		
M.S.EDU.	34			TOTAL	20
M.S.E.E.	19	TOTAL	91		
M.S.FAM.S.	8			<u>Lexington Community College:</u>	
M.S.FOR	2	Comm. & Information Studies		A.A.	97
M.S.L.S.	31	B.A.	38	A.S.	44
M.S. MSE.	8	B.S.	33	A.A.S.	22
M.S.MAEN	4				
M.S.MIN	1	TOTAL	71	TOTAL	163
M.S.ME.	18				
M.S.N.S	1	Design			
M.S.NUR.	7	B.ARCH	16	Graduate Degrees	920
M.S.PAS	53	B.A. ID	4	Professional Degrees	12
M.S.PT	44			Undergraduate Degrees	618
M.S.R.M.P.	8			Associate Degrees	163
M.S.V.EDV.	4	TOTAL	20		
M.S.W.	32			TOTAL	1713
		Education			
TOTAL	920	B.A.EDU.	47		
		TOTAL	47		
<u>Professional Degrees:</u>					
DMD	1	Engineering			
DNP	6	B.S.CIV.E.	7		
DPH	1	B.S.C.S.	7		
Pharm. D.	4	B.S.E.E.	6		
		B.S.MECH.E.	7		
TOTAL	12	B.S.MIE	1		
<u>Undergraduates:</u>		TOTAL	28		
Agriculture					
B.S.A.B.	3				
B.S.A.E.C	9				
B.S.A.E.C.L.	7				

FCR 1

Office of the President
September 20, 2005

Members, Board of Trustees:

ANONYMOUS GIFT FOR CARDIOVASCULAR IMAGING RESEARCH

Recommendation: that the Board of Trustees accept a gift of \$100,000 from an anonymous donor to create and endow a professorship in Cardiovascular Imaging Research in the College of Medicine's Division of Cardiology and submit these gifts of \$100,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The anonymous donor has made previous gifts to the university and hopes that others will contribute to this professorship to help reduce the incidence of heart disease in Kentucky.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 2

Office of the President
September 20, 2005

Members, Board of Trustees:

THE ALBERT G. AND LORRAINE N. CLAY CHARITABLE LEAD TRUST

Recommendation: that the Board of Trustees accept a pledge of \$250,000 from the Albert G. and Lorraine N. Clay Charitable Lead Trust to add to the existing Albert and Lorraine Clay Visiting Scholars Endowment and submit this pledge of \$250,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: On March 6, 2001, the Board of Trustees approved annual transfers of \$50,000 through October 31, 2005 from the Albert G. and Lorraine N. Clay Charitable Lead Trust to the Albert and Lorraine Clay Visiting Scholars Endowment. To date, \$250,000 has been matched by Kentucky's Endowment Match Program. The College of Agriculture administration and the Gluck Equine Research Foundation board request that the \$50,000 annual transfers be renewed for an additional five years beginning in October 2006 and ending in October 2010.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 3

Office of the President
September 20, 2005

Members, Board of Trustees:

UK HEALTH CARE LEADERSHIP TEAM GIFTS AND PLEDGES

Recommendation: that the Board of Trustees accept gifts of \$6,000 and pledges of \$124,000 from several members of the UK Health Care leadership team to add to the existing Patient Quality, Safety, and Rights Research Endowment in the College of Medicine and submit these gifts and pledges of \$130,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The gifts and pledges are being made to augment previous contributions from Dr. Michael Karpf, Executive Vice President for Health Affairs, to create the Patient Quality, Safety, and Rights Research Endowment. The initiative will support research in this important field of study to benefit patients who receive care at the UK Chandler Medical Center.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 4

Office of the President
September 20, 2005

Members, Board of Trustees:

KENTUCKY HOSPITAL RESEARCH AND EDUCATION FOUNDATION
GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$100,000 and a pledge of \$400,000 from the Kentucky Hospital Research and Education Foundation of Louisville, Kentucky, to create and endow the Kentucky Hospital Association Professorship and Research Fund in the College of Pharmacy and submit these gifts and pledges of \$500,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The Kentucky Hospital Research and Education Foundation is an entity of the Kentucky Hospital Association (KHA), the membership group of Kentucky hospitals. The KHA supports health care education in Kentucky through gifts directed from this foundation. The Kentucky Hospital Association Professorship and Research Fund may support either a professorship or a research program that focuses on the enhancement of clinical hospital pharmacy education and research in Kentucky.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 5

Office of the President
September 20, 2005

Members, Board of Trustees:

KENTUCKY PHARMACISTS EDUCATION RESEARCH FOUNDATION GIFT
AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$10,000 and a pledge of \$40,000 from the Kentucky Pharmacists Education Research Foundation of Louisville, Kentucky, to create and endow the Kentucky Pharmacists Association Professor of Leadership Endowed Fund in the College of Pharmacy and submit these gifts and pledges of \$50,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The Kentucky Pharmacists Education and Research Foundation is the foundation of the Kentucky Pharmacists Association, the professional association for practicing pharmacists in Kentucky. In March 2005, the Board of the Kentucky Pharmacists Association approved the funding of an endowed professorship that focuses on research and initiatives promoting the professional development of pharmacy's future leaders.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 6

Office of the President
September 20, 2005

Members, Board of Trustees:

JANET H. KOLLER ESTATE GIFT

Recommendation: that the Board of Trustees accept a distribution of \$3,021,223 from the Estate of Janet H. Koller to create and endow the Janet H. Koller Equine Bioinformatics Research Endowment and the Janet H. Koller Equine Infectious Diseases Research Endowment at the Maxwell H. Gluck Equine Research Center in the Department of Veterinary Science, College of Agriculture, and submit this gift of \$3,021,223 for matching funds from Kentucky's Endowment Match Program. The distribution will be split evenly between the two endowments. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Ms. Janet H. Koller, formerly of Valley View Farm in Danville, Kentucky, has left the residuary of her estate, valued at \$6.2 million, in support of equine research at the Maxwell H. Gluck Equine Research Center. Ms. Koller had a lifelong affiliation with both thoroughbred and American saddlebred horses. The Board of Trustees accepted the estate gift at the January 11, 2005 meeting. This board action asks for permission to submit this distribution of the estate gift for matching funds from the state's Endowment Match Program.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 7

Office of the President
September 20, 2005

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE COLLEGE OF NURSING MILLENNIUM FUND

Recommendation: that the Board of Trustees accept gifts of \$11,519 and pledges of \$84,449 from multiple donors to add to the existing College of Nursing Millennium Fund and submit these gifts of \$95,968 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The gifts are being made by College of Nursing alumni, faculty, friends, and corporations to support new research projects by college faculty.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 8

Office of the President
September 20, 2005

Members, Board of Trustees:

RUTH J. SOUTHAM ESTATE GIFT

Recommendation: that the Board of Trustees accept a gift of \$119,267 from the estate of Ruth J. Southam to create and endow the John L. and Ruth J. Southam Animal Biopathology Research Endowment in the College of Agriculture's Livestock Disease Diagnostic Center and submit this gift of \$119,267 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Mrs. Southam died on May 27, 2005. She made the Livestock Disease Diagnostic Center the sole beneficiary of her estate to honor the good service and caring attitude of the center's staff.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 9

Office of the President
September 20, 2005

Members, Board of Trustees:

GIFTS TO THE KEVIN HEIDRICH/TEAM 7 ENDOWMENT
FOR ALS PATIENT SERVICES

Recommendation: that the Board of Trustees accept gifts of \$164,287 from multiple donors to add to the existing Kevin Heidrich/Team 7 Endowment for Amyotrophic Lateral Sclerosis (ALS) Patient Services in the College of Medicine's Division of Neurology and submit these gifts of \$164,287 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The gifts are being made in honor and memory of Mr. Kevin Heidrich, who died in July 2005 as a result of amyotrophic lateral sclerosis (ALS or Lou Gehrig's disease). The Team 7 Fighting ALS organization, founded by friends and family of Mr. Heidrich, raises funds to support ALS patient services, education, and research.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 10

Office of the President
September 20, 2005

Members, Board of Trustees:

THE ABERCROMBIE FOUNDATION PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from the Abercrombie Foundation of Versailles, Kentucky, to the Capital Projects Gift Fund in the UK Athletic Association. This pledge will be used for the construction of the basketball practice facility.

Background: The Abercrombie Foundation was founded by Josephine Abercrombie, the owner of a local thoroughbred horse farm. The \$30 million (\$27.5 million construction and \$2.5 million design) basketball facility will provide practice courts, training room, weight room, and video room for the men's and women's basketball programs in addition to administrative offices.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 11

Office of the President
September 20, 2005

Members, Board of Trustees:

D. RAY BALL PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$500,000 from D. Ray Ball of Lexington, Kentucky to the Capital Projects Gift Fund in the UK Athletic Association. This pledge will be used for the construction of the basketball practice facility.

Background: D. Ray Ball is the president of Ball Homes, Inc. The \$30 million (\$27.5 million construction and \$2.5 million design) basketball facility will provide practice courts, training room, weight room, and video room for the men's and women's basketball programs in addition to administrative offices.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 12

Office of the President
September 20, 2005

Members, Board of Trustees:

STEVE CANFIELD PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$150,000 from Steve Canfield of Prospect, Kentucky, to the Capital Projects Gift Fund in the UK Athletic Association. This pledge will be used for the construction of the basketball practice facility.

Background: Steve Canfield is a self-employed developer. The \$30 million (\$27.5 million construction and \$2.5 million design) basketball facility will provide practice courts, training room, weight room, and video room for the men's and women's basketball programs in addition to administrative offices.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 13

Office of the President
September 20, 2005

Members, Board of Trustees:

GIVENS REAL PROPERTY PARTNERSHIP LIMITED PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$150,000 from Givens Real Property Limited of Central City, Kentucky, to the Capital Projects Gift Fund in the UK Athletic Association. This pledge will be used for the construction of the basketball practice facility.

Background: Givens Real Property Limited is owned by Dr. Gary Givens, an internal medicine physician. The \$30 million (\$27.5 million construction and \$2.5 million design) basketball facility will provide practice courts, training room, weight room, and video room for the men's and women's basketball programs in addition to administrative offices.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 14

Office of the President
September 20, 2005

Members, Board of Trustees:

LENNIE HOUSE PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$400,000 from Lennie House of Lexington, Kentucky, to the Capital Projects Gift Fund in the UK Athletic Association. This pledge will be used for the construction of the basketball practice facility.

Background: Lennie House is the owner of Nurses Registry. The \$30 million (\$27.5 million construction and \$2.5 million design) basketball facility will provide practice courts, training room, weight room, and video room for the men's and women's basketball programs in addition to administrative offices.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 15

Office of the President
September 20, 2005

Members, Board of Trustees:

RAMSEY FARM PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$275,000 from Ramsey Farm of Nicholasville, Kentucky, to the Capital Projects Gift Fund in the UK Athletic Association. This pledge will be used for the construction of the basketball practice facility.

Background: Ramsey Farm is owned by Ken Ramsey and operated by his daughter, Jill Ramsey Littrell. The \$30 million (\$27.5 million construction and \$2.5 million design) basketball facility will provide practice courts, training room, weight room, and video room for the men's and women's basketball programs in addition to administrative offices.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 16

Office of the President
September 20, 2005

Members, Board of Trustees:

CHRIS SULLIVAN PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$500,000 from Chris Sullivan of Tampa, Florida, to the Capital Projects Gift Fund in the UK Athletic Association. This pledge will be used for the construction of the basketball practice facility.

Background: Chris Sullivan is a 1972 graduate of the Gatton College of Business and Economics and CEO and founder of Outback Steakhouse. The \$30 million (\$27.5 million construction and \$2.5 million design) basketball facility will provide practice courts, training room, weight room, and video room for the men's and women's basketball programs in addition to administrative offices.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 17

Office of the President
September 20, 2005

Members, Board of Trustees:

JUDE THOMPSON GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$25,000 and a pledge of \$125,000 from Jude Thompson of Louisville, Kentucky, to the Capital Projects Gift Fund in the UK Athletic Association. This pledge will be used for the construction of the basketball practice facility.

Background: Jude Thompson is the President of Anthem Blue Cross Blue Shield and a 1988 graduate of the College of Arts and Sciences. The \$30 million (\$27.5 million construction and \$2.5 million design) basketball facility will provide practice courts, training room, weight room, and video room for the men's and women's basketball programs in addition to administrative offices.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 18

Office of the President
September 20, 2005

Members, Board of Trustees:

S. CRAIG WELCH PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$125,000 from Craig Welch of Louisville, Kentucky, to the Capital Projects Gift Fund in the UK Athletic Association. This pledge will be used for the construction of the basketball practice facility.

Background: S. Craig Welch is the owner of Welch Printing Company, Inc. The \$30 million (\$27.5 million construction and \$2.5 million design) basketball facility will provide practice courts, training room, weight room, and video room for the men's and women's basketball programs in addition to administrative offices.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 19

Office of the President
September 20, 2005

Members, Board of Trustees:

ANONYMOUS PLEDGE TO THE CAPITAL PROJECTS GIFT FUND

Recommendation: that the Board of Trustees accept a pledge of \$3 million from an anonymous donor to the Capital Projects Gift Fund in the UK Athletic Association. This pledge will be used for the construction of the basketball practice facility.

Background: The \$30 million (\$27.5 million construction and \$2.5 million design) basketball facility will provide practice courts, training room, weight room, and video room for the men's and women's basketball programs in addition to administrative offices.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 20

Office of the President
September 20, 2005

Members, Board of Trustees:

REPORT OF LEASES

Recommendation: that the Board accept the report of the following lease agreements in accordance with Board policy:

The renewal of a lease between the University of Kentucky (Small Business Development) and Lexington Public Library, 140 East Main Street, Lexington, Kentucky, for space at 140 East Main Street, Lexington, Kentucky, for the use of 4,170 square feet of office space, effective July 1, 2005, through June 30, 2006, at an annual rate of \$16,467.73.

The renewal of a lease between the University of Kentucky (Rural Health) and Allen County Board of Education, 238 Bowling Green Road, Scottsville, Kentucky, for space at 311 North Third Street, Scottsville, Kentucky, for the use of 368 square feet of office space, effective July 1, 2005, through June 30, 2006, at an annual rate of \$3,600.00.

A new lease between the University of Kentucky (Pediatrics, Hemophilia Treatment Center) and B & C Investments, 651 Perimeter Drive, Lexington, Kentucky, for space located at 333 Waller Avenue, Lexington, Kentucky, for the use of 914 square feet of office space, effective June 15, 2005, through June 30, 2008, at an annual rate of \$11,653.50.

The renewal of a lease between the University of Kentucky (Academic Outreach and Public Service) and YWCA of Lexington, 647 Chestnut Street, Lexington, Kentucky, for space located at 647 Chestnut Street, Lexington, Kentucky, for the use of 2,690 square feet of office space, effective October 1, 2005, through June 30, 2006, at an annual rate of \$3,600.00.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 21

Office of the President
September 20, 2005

Members, Board of Trustees:

APPROVAL OF LEASE

Recommendation: that the Executive Vice President for Finance and Administration be authorized to negotiate and execute the following:

The renewal of a lease between the University of Kentucky (College of Medicine, CATS Project) and Blazer Parkway Venture, LLC, c/o Harman Investment Properties, 3470 Blazer Parkway, Lexington, Kentucky, for space located at 3470 Blazer Parkway, Lexington, Kentucky, effective September 1, 2005, through June 30, 2010, for the use of 8,533 square feet of office/clinic space, at an annual rate of \$142,927.75.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases in excess of \$30,000 be approved by the Board of Trustees.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 22

Office of the President
September 20, 2005

Members, Board of Trustees:

ACCEPTANCE OF AUDIT REPORT
AND THE REPORT ON COMPLIANCE AND ON INTERNAL CONTROL
FOR THE UNIVERSITY OF KENTUCKY FOR 2004-05

Recommendation: that the Board of Trustees accept (1) the University of Kentucky Consolidated Financial Statements for the year ended June 30, 2005, consisting of the Consolidated Statement of Net Assets, Consolidated Statement of Revenues, Expenses and Changes in Net Assets, Consolidated Statement of Cash Flows, Notes to Consolidated Financial Statements, and Management's Discussion and Analysis, and (2) the Independent Auditor's Report on Compliance and on Internal Control over Financial Reporting Based on the Audit Performed in Accordance with Government Auditing Standards.

Background: The Audit Subcommittee of the Finance Committee of the University of Kentucky Board of Trustees has reviewed the Consolidated Financial Statements for the year ended June 30, 2005, that have been audited by Deloitte & Touche LLP, Certified Public Accountants. The Consolidated Financial Statements are prepared in accordance with Governmental Accounting Standards Board ("GASB") Statement No. 35, *Basic Financial Statements – and Management's Discussion and Analysis – for Public Colleges and Universities*, which focuses on the financial condition, results of operations, and cash flows of the University as a whole, with resources classified for accounting and reporting purposes into four net asset categories: invested in capital assets (net of related debt), restricted-nonexpendable, restricted-expendable, and unrestricted.

The Consolidated Financial Statements of the university include the operations of the university, its for-profit subsidiary (Kentucky Healthcare Enterprise, Inc.), and its affiliated non-profit corporations (entities for which the university is financially accountable as defined by Statement No. 14 and amended by Statement No. 39 of the Governmental Accounting Standards Board) as follows: The University of Kentucky Research Foundation and its for-profit subsidiary (Kentucky Technology, Inc.); The Fund for Advancement of Education and Research in the University of Kentucky Medical Center; University of Kentucky Athletic Association; Central Kentucky Management Services, Inc. (formerly Health Care Collection Service, Inc.); University of Kentucky Mining Engineering Foundation, Inc.; University of Kentucky Business Partnership

Foundation, Inc.; University of Kentucky Gluck Equine Research Foundation, Inc.;
University of Kentucky Humanities Foundation, Inc.; University of Kentucky Center on
Aging Foundation, Inc.; and Kentucky Medical Services Foundation, Inc.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 23

Office of the President
September 20, 2005

Members, Board of Trustees:

ADOPTION OF UNIVERSITY OF KENTUCKY DEBT POLICY

Recommendation: that the Board of Trustees adopt the proposed Debt Policy for the University of Kentucky.

Background: To fulfill its mission, the University of Kentucky will need to make ongoing strategic capital investments for academic, student life, and other plant facilities, using an appropriate mix of funding sources, including state bonds and appropriations, university bonds, internal reserves, and private giving.

The purpose of this debt policy is to ensure that the appropriate mix of funding sources is utilized and to provide guidance on the strategic use of debt as a funding source. Debt is a valuable source of capital project financing, and its use should be limited to projects that relate to the mission and strategic objectives of the university. The amount of debt incurred impacts the financial health of the university and its credit rating.

This policy provides a discipline and framework that will be used by management to evaluate the appropriate use of debt in capital-financing plans. Maintaining this debt policy is a long-term process.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

University of Kentucky

Debt Policy

Purpose

To fulfill its mission, the University of Kentucky will need to make ongoing strategic capital investments for additional academic, student life, and other plant facilities using an appropriate mix of funding sources including State bonds and appropriations, University bonds, internal reserves, and private giving.

The purpose of this debt policy is to ensure the appropriate mix of funding sources is utilized and to provide guidance on the strategic use of debt as a funding source. Debt is a valuable source of capital project financing and its use should be limited to projects that relate to the mission and strategic objectives of the University. The amount of debt incurred impacts the financial health of the University and its credit rating.

This policy provides a discipline and framework that will be used by management to evaluate the appropriate use of debt in capital financing plans.

Objectives of the Debt Policy

The objectives stated below provide the framework by which decisions will be made regarding the use and management of debt. The debt policy and objectives are subject to re-evaluation and change over time.

This Debt Policy is set forth to:

1. Outline a process for identifying and prioritizing capital projects considered eligible for debt financing and assuring that debt-financed projects have a feasible plan of repayment. Projects that relate to the core mission and that have associated revenues will generally be given higher priority for debt financing.
2. Define the quantitative tests that will be used to evaluate the University's overall financial health and present and future debt capacity.
3. Define project specific quantitative tests, as appropriate, which will be used to determine the financial feasibility of an individual project.
4. Manage the University's debt to maintain an acceptable credit rating. The University, consistent with the capital objectives, will limit its overall debt to a level that will maintain an acceptable credit rating with bond rating agencies. Maintaining an acceptable credit rating will permit the University to continue to issue debt and finance capital investments at favorable interest rates, although the attainment or maintenance of a specific rating is not an objective of this policy.

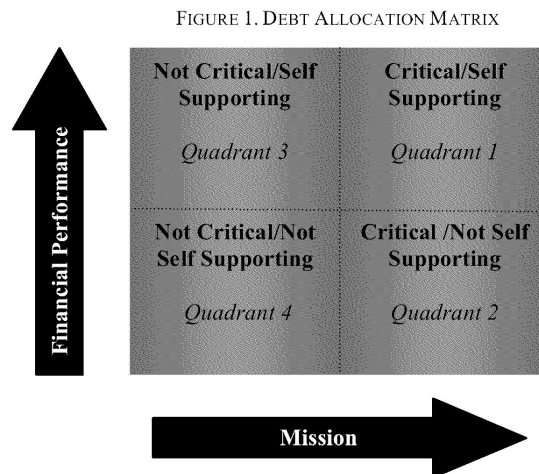
5. Establish guidelines to limit the risk of the total debt portfolio. The University will manage debt on a portfolio basis, rather than on a transactional or project specific basis, and will use an appropriate mix of fixed and variable rate debt (as permitted by State law) to achieve the lowest cost of capital while limiting exposure to market interest rate shifts.
6. Establish guidelines to manage variable rate interest exposure.
7. Assign responsibilities for the implementation and management of the University's Debt Policy.

Process for Identifying and Prioritizing Capital Projects Requiring Debt

The University has substantial but limited debt capacity at a desired credit rating level. Additionally, the Commonwealth of Kentucky has imposed State-wide limits on debt issuance and the University must compete with all other state agencies for capital projects bonding authority. Therefore it is essential that the University appropriately prioritize capital projects requiring debt.

Management will allocate the use of debt financing within the University to include prioritization of debt resources among all uses, including academic and student life projects, plant and equipment financing, and projects with University-wide impact.

The debt allocation matrix below depicts an approach to prioritizing capital projects requiring debt.



Explanation of debt allocation matrix

Quadrant 1:

Project is **critical** to the core missions of research, service or instruction **and** has its own funding source (i.e., non-general fund supported).

Quadrant 2

Project is **critical** to the core missions of research, service or instruction **but does not** have its own funding source (i.e., will require-general fund support).

Quadrant 3

Project is **not critical** to the core missions of research, service or instruction **but** has its own funding source (i.e., non-general fund supported).

Quadrant 4

Project is **not critical** to the core missions of research, service or instruction **and does not have** its own funding source (i.e., will require general fund support).

Note that approval of projects in Quadrant 3 and 4 will reduce the ability to issue debt for the mission critical projects identified in Quadrants 1 and 2.

Guidelines for Prioritizing Capital Projects Requiring Debt

Management will use the following guidelines when prioritizing capital projects and making decisions about financing options and use of debt:

1. Only projects related to the mission of the University, directly or indirectly, will be eligible for debt financing.
2. State funding and philanthropy are expected to remain major sources of financing for the University's capital investments. In assessing the possible use of debt, all other financing and revenue sources will be considered. State appropriations and bonds, philanthropy, project-generating revenues, research facilities and administration cost reimbursement, expendable reserves, and other sources are expected to finance a portion of the cost of a project. Debt is to be used conservatively and strategically.
3. The University will consider other funding opportunities (e.g., joint ventures, real estate development, etc.) when appropriate and advantageous to the University. Opportunities and financing sources will be evaluated within the context of the Debt Policy.
4. Federal research projects will receive priority consideration for debt financing due to partial reimbursement of operating expenses (including the interest component of applicable debt service) of research facilities.

5. Every project considered for financing must have a defined, supportable plan of costs (construction and incremental operating) approved by management. A project that has a related revenue stream or can create budgetary savings will receive priority consideration. However, projects may not receive a higher priority simply because they are self supporting.

Financial Ratios/Institutional Quantitative Tests

The University will establish guidelines for overall debt management using a select number of financial ratios calculated and reported annually and when new debt is issued, and revised periodically to reflect any changes in accounting standards. Financial ratios will serve as indicators of the University's financial health and capacity to incur debt. Calculation of these ratios will be based on the audited consolidated financial statement on a University-wide basis.

Ratios fall into two specific categories: (a) financial ratios that provide information about the overall financial health of the University, and (b) ratings indicator ratios that are specific to the ability to issue debt and are key determinants used by the rating agencies in rating the University's bonds.

1. RATIOS THAT PROVIDE INFORMATION ABOUT THE UNIVERSITY'S OVERALL FINANCIAL HEALTH.

The following four strategic financial ratios, when considered together and over time, will help to provide a clear, high level, assessment of the overall financial health of the University.

- a. **Primary Reserve Ratio.** Measures financial strength by comparing expendable net assets to total expenses. This ratio provides a snapshot of financial strength and flexibility by indicating how long the University could function using its expendable resources without relying on additional net assets generated by operations. A negative ratio or decreasing trend over time indicates a weakening financial condition.
- b. **Return on Net Assets Ratio.** Determines whether the University is financially better, or worse, than in previous years by measuring total economic return.
- c. **Net Operating Revenue Ratio.** Indicates whether total operating activities resulted in a surplus or deficit and measures the ability of the University to operate in the short term.
- d. **Viability ratio.** Measures the availability of expendable net assets to cover debt. As this ratio falls below 1:1, the University's ability to respond to adverse conditions, to attract capital from external sources, and its flexibility to fund new objectives is diminished. This ratio is regarded as an important indicator of the ability to assume new debt.

2. ***RATINGS INDICATOR RATIOS***

In addition to the four strategic financial ratios, there are many other ratios used by bond rating agencies in rating debt. These ratios, referred to as ratings indicator ratios, are specific to the ability to issue debt and are key determinants in the debt ratings assigned by the rating agencies. The following are considered among the important ratings indicator ratios:

- a. **Unrestricted Resources (Net Assets) to Operating Expenses** (times coverage) **and** **Expendable Resources (Net Assets) to Operating Expenses** (times coverage). These two balance sheet ratios provide indicators of near to medium term financial health by measuring the availability of unrestricted and expendable funds to cover debt should unexpected interruptions occur in cash flow. They provide an indicator of short term liquidity and/or financial flexibility in periods of volatility.
- b. **Unrestricted Resource (Net Assets) to Debt** (times coverage) **and** **Expendable Resources (Net Assets) to Debt** (times coverage). These two capital ratios measure the University's liquid assets to its debt.
- c. **Peak Debt Service to Operating Expenses** (percentage). Measures the University's longer term debt and related operating flexibility.
- d. **Operating Margin and Average Operating Margin** (percentage). Measures the University's excess margin (or deficit) by which revenues cover expenses (including depreciation of capital assets and interest expense) annually, and averaged over a three year period.
- e. **Average Peak Debt Service Coverage** (times coverage). Measures the ability to repay debt from current operating funds. This ratio measures, over a 3 year period, whether sufficient operating cash flow is generated to issue and repay additional debt.
- f. **Direct Debt to Cash Flow** (times coverage). Measures the total debt burden compared to its annual cash flow generating ability.

Project Specific Quantitative Tests

Consideration of the ratios calculated above will determine the ability and/or advisability of issuing additional debt from a University-wide perspective. Determination of the prioritization of individual projects to be allocated a portion of available debt capacity is a separate, internal decision that must be made before a project is initiated.

Many factors will influence this internal decision process. First and foremost will be how the project is prioritized with regard to mission criticality as described by the debt allocation matrix (four quadrant model) above. Additionally, every project considered for debt financing must have a management approved plan of project costs, including incremental operating expenses and revenues. Incremental revenues include revenue increases directly associated with the project (e.g., usage fees) that can only be realized if the project is undertaken. Similarly, incremental expenses include any increase in expected operating costs associated with the project. Revenues and costs savings should be estimated conservatively, especially for high risk projects. The net present value of each project will be calculated by summing the discounted value of the annual net project cash flows over the life of the project.

Mix of Fixed and Variable Debt

Due to the financing flexibility and lower interest cost typically associated with variable rate debt, it may be desirable, depending on market conditions, to maintain a portion of the University's aggregate debt on a floating (variable) rate basis. However, variable rate debt also introduces volatility to the debt service obligations. Therefore, the University will balance the mix of variable and fixed rate debt according to a maximum of 50% variable, with a target guideline of zero to 30% variable, although the actual percentage for debt outstanding will fluctuate from time-to-time due in part to financing needs and prevailing market interest rates.

Use of Interest Rate Swap Products

The use of interest rate swaps will be employed primarily to manage the University's variable rate exposure. The University will utilize a framework to evaluate potential instruments through evaluation of its variable rate allocation, market and interest rate conditions, and the compensation for undertaking counterparty exposure.

Credit Rating

The University will maintain ongoing communications and interaction with bond rating agencies, striving to educate the agencies about the general credit structure and financial performance of the University in order to attain the highest credit rating possible.

Assignment of Responsibilities

The Executive Vice President for Finance and Administration is directly responsible for capital debt management.

Facilities Planning and Facilities Management

The Vice President for Facilities will take the lead role in estimating and defining project costs and in maintaining a list of projects that are being considered. The Vice President for Planning and Budget will take the lead role in developing capital planning documents for the current year, current biennium and the six-year capital plan.

Treasury Management

The Treasurer will maintain a schedule of current and forecasted debt and associated payment of principal, interest and fees. The Treasurer is responsible for the administration of all aspects of debt financing, including accounting, and coordination with financial advisors, underwriters and bond counsel to issue new debt or refinance existing debt.

Management

The Executive Vice President for Finance and Administration, the Vice President for Facilities, the Vice President for Planning and Budget and the Treasurer will meet on a regular basis to review the projects being considered and the various financing options available. They will discuss options and recommend a priority order. The Executive Vice President for Finance and Administration will present the recommendations of this group to the President.

Board of Trustees

The Board of Trustees will review and approve the annual capital project plan as well as each individual debt financing transaction. Additionally, the Board will review and approve the implementation of this Debt Policy and will be apprised of any significant future changes proposed.

Review of Debt Policy

This debt policy should be reviewed at least annually and changed as needed to reflect changing conditions and practices. However, it is noted that consistent application of the University's debt policy provides evidence of debt management discipline over the long term. Accordingly, the policy should not be changed unless it no longer reflects the debt philosophy of the University.

Debt Management Practices

STRUCTURE AND MATURITY

The University will issue bonds to finance capital projects under the provisions of trust indentures approved by the Board of Trustees. The following trust indentures have been approved by the Board of Trustees:

- General Receipts Obligation Revenue Bonds
- Consolidated Educational Buildings Revenue Bonds
- Consolidated Housing and Dining System Revenue Bonds

Debt in the form of capitalized lease obligations will be approved by the Board of Trustees and issued on behalf of the University by the Commonwealth of Kentucky's Asset Liability Commission, the State Property and Buildings Commission, and other financing entities.

The University will employ maturity structures that correspond with the life of the facilities financed, generally not to exceed 20 years. As market dynamics change, maturity structures should be reevaluated. Call features should be structured to provide the highest degree of flexibility relative to cost.

METHODS OF SALE

The University will use the method of sale which will achieve the lowest cost of capital considering the complexity of the transaction. Generally, this can be achieved utilizing a competitive sales method for the placement of bond offerings. However, for transactions using new or non-traditional pledges of University revenues, or those involving greater complexity, a negotiated method of sale will be considered, and legislative approval requested, on an individual transaction basis. The negotiated sales method allows the utilization of a greater scope of services from the underwriting community.

REFUNDING TARGETS

The University will continuously monitor its outstanding tax-exempt debt portfolio for refunding and/or restructuring opportunities.

For a stand-alone refunding, the University will enter into an advance refunding transaction that, in general, produces at least 3-5% present value savings (based on refunded bonds), with this threshold higher for those transactions with a long escrow period. Advance refunding transactions must weigh the current opportunity against possible future refunding opportunities. For current refundings, the University will consider transactions that, in general, produce a least a 1.5 – 3% present value savings (based on refunded bonds). In addition to the net present value percentage savings, the annual dollar savings provided by any refunding will be reviewed, as for large refunding transactions, significant savings may accrue even though the percentage of net present value savings falls below the thresholds defined above.

A refunding will also be considered if it relieves the University of certain limitations, covenants, payment obligations or reserve requirements that reduce flexibility. The University will also consider refinancing certain obligations within a new money offering even if savings levels are minimal in order to consolidate debt into a general revenue pledge, and/or reduce the administrative burden and cost of managing many small outstanding obligations.

DISCLOSURE

The University will continue to meet its ongoing disclosure requirements in accordance to SEC rule 15c2-12. The University will submit financial reports, statistical data, and any other material events as required under outstanding bond indentures.

ARBITRAGE

The University will comply with federal arbitrage requirements on invested tax-exempt bond proceeds, causing arbitrage rebate calculations to be performed annually and rebate payments to be remitted to the IRS periodically as required.

BOND PROCEEDS INVESTMENT

The University, in concert with the Commonwealth of Kentucky's Office of Financial Management, will invest bond-funded construction funds, capitalized interest funds, and costs of issuance funds appropriately to achieve the highest return available under arbitrage limitations. When sizing bond transactions, the University will consider funding on either a net or gross basis.

OFFICE OF FINANCIAL MANAGEMENT

The Commonwealth of Kentucky's Office of Financial Management, in accordance with Kentucky Revised Statute 42.400, shall review and approve, prior to the issuance, all proposed bond issues, bond anticipation notes or interim debt financings.

SELECTION OF FINANCIAL ADVISORS, UNDERWRITERS AND BOND COUNSEL

The University will, in accordance with Kentucky Revised Statute 45A.840, utilize a request for proposal process to select Financial Advisors, Underwriters and Bond Counsel. Multiple firms may be selected to provide financial advisory, underwriting, and bond counsel services for a specific contract period of time. The firms utilized on an individual transaction will be selected based upon expertise related to the specific transaction. Additionally, the University may utilize the Financial Advisors, Underwriters and Bond Counsel selected by the Commonwealth of Kentucky's Office of Financial Management through their own similar competitive process.

Appendix A
Formulas and Benchmarks for Key Ratios

**RATIOS THAT PROVIDE INFORMATION ABOUT THE UNIVERSITY'S OVERALL
FINANCIAL HEALTH**

1. PRIMARY RESERVE RATIO

$$\frac{\text{EXPENDABLE NET ASSETS}^1}{\text{TOTAL EXPENSES}^2}$$

2. RETURN ON NET ASSETS RATIO

$$\frac{\text{CHANGE IN TOTAL NET ASSETS}}{\text{TOTAL NET ASSETS, BEGINNING OF THE YEAR}}$$

3. NET OPERATING REVENUES

$$\frac{\text{OPERATING INCOME (LOSS) + NET NON-OPERATING INCOME (EXPENSES)}}{\text{TOTAL OPERATING REVENUES + TOTAL NON-OPERATING REVENUES (EXCLUDING CAPITAL APPROPRIATIONS & GIFTS, AND ADDITIONS TO PERMANENT ENDOWMENTS)}}$$

4. VIABILITY RATIO

$$\frac{\text{EXPENDABLE NET ASSETS}}{\text{LONG TERM DEBT (BONDS AND CAPITAL LEASES)}}$$

¹ Expendable net assets = unrestricted net assets plus expendable restricted net assets less expendable assets to be invested in plant.

² Total expenses = operating expenses plus non-operating expenses

RATINGS INDICATOR RATIOS

1A. UNRESTRICTED RESOURCES (NET ASSETS) TO OPERATING EXPENSES (TIMES COVERAGE)

$$\frac{\text{UNRESTRICTED NET ASSETS}}{\text{TOTAL OPERATING EXPENSES}}^3$$

1B. EXPENDABLE RESOURCES (NET ASSETS) TO OPERATING EXPENSES (TIMES COVERAGE)

$$\frac{\text{EXPENDABLE RESOURCES}^4}{\text{OPERATING EXPENSES}}$$

2A. UNRESTRICTED RESOURCES (NET ASSETS) TO DEBT (TIMES COVERAGE)

$$\frac{\text{UNRESTRICTED NET ASSETS}}{\text{DEBT}}^5$$

2B. EXPENDABLE RESOURCES (NET ASSETS) TO DEBT (TIMES COVERAGE)

$$\frac{\text{EXPENDABLE RESOURCES}}{\text{DEBT}}$$

3. PEAK DEBT SERVICE TO OPERATING EXPENSES (%)

$$\frac{\text{MAXIMUM ANNUAL PRINCIPAL AND INTEREST ON ALL DEBT}}{\text{TOTAL OPERATING EXPENSES}}$$

³ Total Operating expenses= operating expenses per SRECNA, less student financial aid expense plus interest on capital asset related debt.

⁴ Unrestricted net assets plus expendable restricted net assets

⁵ Par amount of debt = Consolidated Educational Building Revenue Bonds, Housing & Dining System Revenue Bonds, Hospital Revenue Bonds, Library Bonds, capital leases and notes payable.

4A. OPERATING MARGIN (PERCENTAGE)

$$\frac{\text{ANNUAL OPERATING MARGIN}^6}{\text{TOTAL OPERATING REVENUES}^7}$$

4B. 3-YEAR AVERAGE OPERATING MARGIN (PERCENTAGE)

$$\frac{\text{THREE YEAR AVERAGE OF}}{\text{TOTAL OPERATING REVENUES}^8} \text{ ANNUAL OPERATING MARGIN}$$

5. 3 –YEAR AVERAGE PEAK DEBT SERVICE COVERAGE (TIMES COVERAGE)

$$\frac{\text{3 YEAR AVERAGE (ANNUAL OPERATING MARGIN + DEPRECIATION AND INTEREST EXPENSE)}/}{\text{CURRENT YEAR PEAK DEBT SERVICE}^9}$$

6. DIRECT DEBT TO CASH FLOW (TIMES COVERAGE)

$$\frac{\text{DEBT}}{\text{OPERATING MARGIN + DEPRECIATION AND INTEREST EXPENSE}}$$

⁶ Annual operating margin = net (loss) from operations per SRECNA, + state appropriations, + gifts/grants (non-capital and non-permanent endowment) + 4.5% of the prior year-end cash and investments, minus interest on capital related debt.

⁷ Total operating revenues = operating revenues per SRECNA +state appropriations, + gifts/grants (non-capital and non-permanent endowment) + 4.5% of the prior year-end cash and investments, minus student financial aid expense.

⁸ Total operating revenues = operating revenues per SRECNA +state appropriations, + gifts/grants (non-capital and non-permanent endowment) + 4.5% of the prior year-end cash and investments, minus student financial aid expense.

⁹ Maximum debt service on all debt as of the end of the most recent fiscal year.

FCR 24

Office of the President
September 20, 2005

Members, Board of Trustees:

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY APPROVING A TRUST AGREEMENT DATED AS OF NOVEMBER 1, 2005 BETWEEN THE UNIVERSITY OF KENTUCKY AND THE TRUSTEE NAMED THEREIN, PROVIDING FOR THE AUTHORIZATION, ISSUANCE AND SALE FROM TIME TO TIME OF GENERAL RECEIPTS OBLIGATIONS OF THE UNIVERSITY OF KENTUCKY, PROVIDING FOR THE PAYMENT OF SUCH OBLIGATIONS, AND THE SECURING OF SUCH PAYMENT, AND AUTHORIZING OTHER MATTERS RELATED THERETO

Recommendation: that the Board of Trustees adopt a Resolution (i) approving a Trust Agreement dated as of November 1, 2005 (the "Trust Agreement") between the University and a corporate trustee to be named therein, authorizing the issuance, from time to time, of Obligations (described below) secured by a pledge of the General Receipts (described below) of the University, (ii) determining that bonds will no longer be issued under the Consolidated Educational Buildings Project resolution adopted on September 20, 1960 (the "Original Basic Resolution"), and (iii) determining that bonds will only be issued under the Housing and Dining System Trust Indenture dated June 1, 1965 (the "Original Housing Indenture") if the issuance of such bonds is in compliance with the requirements of the Trust Agreement.

The Resolution does not authorize the issuance of particular Obligations. Its purpose is to establish a financing structure to reflect changes in the University, financing standards, market expectations and financing techniques since the adoption of the Original Basic Resolution and the Original Housing Indenture, to provide the University greater flexibility in financing and investing, and improved cash management. Bonds will no longer be issued under the Original Basic Resolution. Bonds for housing and dining facilities will continue to be issued under the Original Housing Indenture until all bonds that are outstanding under the Original Housing Indenture as of the date of the Trust Agreement are retired or defeased, at which time all bonds issued for housing and dining facilities after the date of the Trust Agreement will be outstanding only under the Trust Agreement. Obligations that may be issued under the Trust Agreement are bonds, notes, Financing Agreements with the Kentucky Asset/Liability Commission, leases with the State Property and Buildings Commission and additional obligation instruments that may be authorized by law. Obligations to be issued under the Trust Agreement will be authorized by series resolutions and supplemental trust agreements.

Background: The University presently has outstanding (i) Consolidated Educational Buildings Project Revenue Bonds secured by a pledge of student fees and by a statutory mortgage lien against all of the buildings of the Project and (ii) Housing and Dining System Revenue Bonds secured by a pledge of the revenues derived from the operation of the University's Housing and Dining System and by a statutory mortgage lien against all of the buildings of the System. The Trust Agreement replaces that financing structure with a structure that pledges the General Receipts of the University as security for Obligations issued in the future. It is anticipated that, in the future, Obligations will be issued under the Trust Agreement for all capital projects of the University to be financed with borrowed funds. The pledge of General Receipts under the Trust Agreement will, to the extent already pledged under the Original Basic Resolution and Original Housing Indenture, be subordinate to the those pledges until no bonds are outstanding under the Original Basic Resolution and Original Housing Indenture, respectively.

Action taken:



Approved



Disapproved



Other _____

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY APPROVING A TRUST AGREEMENT DATED AS OF NOVEMBER 1, 2005 BETWEEN THE UNIVERSITY OF KENTUCKY AND THE TRUSTEE NAMED THEREIN, PROVIDING FOR THE AUTHORIZATION, ISSUANCE AND SALE FROM TIME TO TIME OF GENERAL RECEIPTS OBLIGATIONS OF THE UNIVERSITY OF KENTUCKY, PROVIDING FOR THE PAYMENT OF SUCH OBLIGATIONS, AND THE SECURING OF SUCH PAYMENT, AND AUTHORIZING OTHER MATTERS RELATED THERETO

WHEREAS, the Board of Trustees (the "Board") of the University of Kentucky (the "University"), by adoption of a resolution on September 20, 1960 (the "Prior Basic Resolution"), has provided for the issuance from time to time of Consolidated Educational Buildings Revenue Bonds of the University, with each such issue authorized by a series resolution adopted by the Board; and

WHEREAS, the Board, under a Trust Indenture and Supplemental Trust Indenture dated as of June 1, 1965 (and all supplemental indentures related thereto) between the University and Farmers Bank & Capital Trust Company (the "Prior Housing Indenture"), has provided for the issuance from time to time of Housing and Dining System Revenue Bonds of the University, with each such issue authorized by a supplemental trust indenture; and

WHEREAS, the University desires to, from this time forward, provide for the financing of capital projects by the issuance of General Receipts Obligations under a Trust Agreement dated as of November 1, 2005 (the "Trust Agreement") between the University and a trustee named therein (the "Trustee") to reflect changes in the University, financing standards, market expectations and financing techniques since the adoption of the Original Basic Resolution and the Original Housing Indenture, to provide the University greater flexibility in financing and investing, and improved cash management, from and after the date of execution and delivery of the Trust Agreement; and

WHEREAS, the University will no longer issue "bonds" under the Prior Basic Resolution and will only issue "bonds" under the Prior Housing Indenture if the issuance of such bonds complies with the terms of the Trust Agreement; and

WHEREAS, by the Constitution and laws of the Commonwealth of Kentucky, and particularly Sections 162.340 to 162.380 of the Kentucky Revised Statutes, Chapter 56 of the Kentucky Revised Statutes and Sections 58.010 to 58.140 of the Kentucky Revised Statutes (collectively, the "Act"), the University is authorized to enter into the Trust Agreement with the Trustee providing for the issuance from time to time of bonds, notes, Financing Agreements (as defined in the Act), leases with the State Property and Buildings Commission of the Commonwealth of Kentucky, and other agreements providing for the repayment of money that the University may, from time to time, be authorized to enter into under the laws of the Commonwealth of Kentucky (the "Commonwealth") (collectively, the "Obligations"), for the purpose of paying the costs of buildings and appurtenances to be used in connection with the University for educational purposes, including, but not limited to any Authorized Project, any Building, any Building project and any Public project, as those terms are defined in the Act,

including site improvements, utilities, machinery, furnishings and any separate or connected buildings, structures, improvements, sites, open space and green space areas, utilities or equipment to be used in, or in connection with the operation or maintenance of, or supplementing or otherwise related to the services or facilities to be provided by such facilities; and

WHEREAS, the University desires to make provision for the issuance from time to time of the Obligations and for the payment of the Debt Service Charges (as defined in the Trust Agreement) thereon and the security thereof by this 2005 General Bond Resolution (the "2005 Resolution") and the Trust Agreement herein authorized, with each issue of Obligations to be authorized by a separate Series Resolution (as defined in the Trust Agreement) based upon this 2005 Resolution and the Trust Agreement and, if required under the Trust Agreement, a Supplemental Trust Agreement (as defined in the Trust Agreement), pertaining to that issue of Obligations;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, AS FOLLOWS:

Section 1. Determinations by the Board. The Board hereby finds and determines the facts set forth in the preambles to this 2005 Resolution.

Section 2. Approval of Trust Agreement. To better provide for the facilities and the financing mechanisms thereof, as set forth in the preambles hereto, and in order to better provide for the availability of comprehensive financing options and techniques which were not in existence at the time of the execution of either the Original Basic Resolution or the Original Housing Indenture, this Board hereby determines that it is necessary to enter into the Trust Agreement, and hereby authorizes the execution thereof substantially in the form presented to this Board on the date hereof. Said Trust Agreement shall be subject to changes, insertions and omissions as may be approved by the Treasurer of the University, as well as by bond counsel, which approval shall be conclusively evidenced by the execution of said Trust Agreement as herein provided.

Section 3. Execution of Trust Agreement. The Trust Agreement and any accompanying documents, certifications or agreements may be executed on behalf of the University by the Chairman of the Board.

Section 4. Open Meetings Determination. The Board hereby finds and determines that all formal actions relative to the adoption of this 2005 Resolution were taken in an open meeting of this Board, and that all deliberations of this Board and of its committees, if any, which resulted in formal action, were taken in meetings open to the public, in full compliance with applicable legal requirements.

Section 5. Severability. Should it be judicially determined by a court having jurisdiction to pass upon the validity of this 2005 Resolution or the Trust Agreement, that any provision of this 2005 Resolution or the Trust Agreement is beyond the powers of the Board, or is otherwise invalid, then such decision shall in no way affect the validity of the 2005 Resolution

or the Trust Agreement, or any proceedings related thereto, except as to the particular matters found by such decision to be invalid.

Section 6. Conflicts. All resolutions or parts thereof in conflict with the provisions of this 2005 Resolution are, to the extent of such conflict, hereby repealed.

Section 7. Effective Date. This 2005 Resolution shall take effect from and after its passage.

Dated: September 20, 2005

Chairman, Board of Trustees of the
University of Kentucky

(SEAL)

Attest:

Secretary, Board of Trustees of the
University of Kentucky

CERTIFICATION

I, the undersigned, do hereby certify that I am duly qualified and acting Secretary of the Board of Trustees of the University of Kentucky, and as such officer I further certify that the foregoing is a true, correct and complete copy of a Resolution duly adopted by the members of said Board at a meeting properly held on September 20, 2005, has been duly executed and is now in full force and effect, all as appears in the official records of said Board in my possession and under my control.

I further certify that said meeting held on September 20, 2005, was duly held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.820 and 61.825, that a quorum was present at said meeting, that said Resolution has not been modified, amended, revoked or I repealed, and that same is now in full force and effect.

WITNESS my hand this _____ day of _____, 2005.

Secretary, Board of Trustees of the
University of Kentucky

FCR 25

Office of the President
September 20, 2005

Members, Board of Trustees:

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY
("STATE AGENCY") APPROVING A FINANCING/LEASE AGREEMENT AMONG THE
STATE AGENCY, THE KENTUCKY ASSET/LIABILITY COMMISSION, AND THE
FINANCE AND ADMINISTRATION CABINET OF THE COMMONWEALTH OF
KENTUCKY FOR THE PURPOSE OF PROVIDING FINANCING FOR AN AUTHORIZED
PROJECT

Recommendation: that the Board of Trustees adopt a Resolution approving a Financing Agreement dated as of November 1, 2005 (the "Financing Agreement") among the university, the Finance and Administration Cabinet of the Commonwealth of Kentucky and the Kentucky Asset/Liability Commission ("ALCo"), having an aggregate principal component of financing payments of approximately \$100,000,000.

The Resolution approves the Financing Agreement which requests that ALCo issue approximately \$100,000,000 of its notes (the "Notes") for the purpose of financing a portion of the costs of the Patient Care Facility - Hospital (the "Project"). The exact principal amount of Notes to be issued will be determined as the amount required to (i) pay the budgeted costs of up to \$100,000,000 for Project, (ii) capitalize interest during construction of the Project, and (iii) pay the costs of issuing the Notes.

Background: That the Board adopted a Resolution approving a Trust Agreement dated as of November 1, 2005 (the "Trust Agreement") authorizing the issuance, from time to time, of Obligations (as defined in the Trust Agreement). Obligations include Financing Agreements with ALCo. Payments under the Financing Agreement will be secured by a pledge of the General Receipts (as defined in the Trust Agreement) of the university. The financing Agreement will be the first Obligations issued under the Trust Agreement.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ("STATE AGENCY") APPROVING A FINANCING/LEASE AGREEMENT AMONG THE STATE AGENCY, THE KENTUCKY ASSET/LIABILITY COMMISSION, AND THE FINANCE AND ADMINISTRATION CABINET OF THE COMMONWEALTH OF KENTUCKY FOR THE PURPOSE OF PROVIDING FINANCING FOR AN AUTHORIZED PROJECT

WHEREAS, pursuant to Section 56.860 et seq. of the Kentucky Revised Statutes (the "Act"), the Kentucky Asset/Liability Commission (the "Commission") was created and exists as an independent agency and constituted authority of the Commonwealth of Kentucky (the "Commonwealth") and is authorized to issue Project Notes, as defined in the Act, at the request of the Cabinet, as defined in the Act, to provide financing for Authorized Projects, as defined in the Act; and

WHEREAS, the General Assembly pursuant to H.B. 267 of the General Assembly of the Commonwealth of Kentucky, 2005 Regular Session (the "Budget Act") authorized the issuance of \$100,000,000 of bonds by or for the State Agency, which bonds are to be issued for the purpose of paying costs related to the Patient Care Facility - Hospital (the "Project"), which Project constitutes an Authorized Project, as defined in the Act; and

WHEREAS, the Finance and Administration Cabinet (the "Cabinet") has requested, in accordance with the Act, that the Commission issue and sell Project Notes to provide funds for the Project; and

WHEREAS, the Commission has determined to provide financing for the Project through the issuance of its Project Notes, 2005 Agency Fund Third Series (the "Notes"); and

WHEREAS, the State Agency desires to authorize the Financing/Lease Agreement (the "Financing Agreement") among the State Agency, the Commission and the Cabinet to provide financing for the Project; and

WHEREAS, by a resolution adopted by the Board of Trustees (the "Board") of the State Agency on September 20, 2005 (the "General Bond Resolution"), and by a Trust Agreement, dated as of November 1, 2005 (the "Trust Agreement"), the State Agency has provided for the issuance from time to time of Obligations (as defined in the Trust Agreement) of the State Agency secured by a pledge of the State Agency's "General Receipts" (as defined in the Trust Agreement), each such issue to be authorized by a Series Resolution, as required by the Trust Agreement; and

WHEREAS, the Financing Agreement constitutes an Obligation under the terms of the Trust Agreement and the State Agency has, or will have in all respects complied with the provisions of the Trust Agreement so as to be entitled to execute and deliver Obligations thereunder; and

WHEREAS, this Resolution is adopted by the Board pursuant to the General Bond Resolution and constitutes a Series Resolution within the meaning of the General Bond Resolution and the Trust Agreement;

NOW THEREFORE, it is hereby resolved and ordered by the Board of Trustees of the University of Kentucky as follows:

Section 1. Determinations. The State Agency hereby finds and determines that (a) it ratifies and affirms its request that the Commission issue the Notes for the purpose of paying the costs of the Project; (b) it is necessary to execute and deliver the Financing Agreement in order to provide for a source of payment for the Notes; and (c) approval of the Project described in the Financing Agreement is hereby ratified and confirmed.

Section 2. The Financing Agreement and Other Documents. As evidence of its obligations in this Resolution, the Chairman is hereby authorized to execute, acknowledge and deliver, on behalf of the State Agency, the Financing Agreement, in substantially the form submitted to the State Agency, which is hereby approved, with such changes therein not inconsistent with this Resolution and not substantially adverse to the State Agency as may be permitted by the Act and approved by such officer. The approval of such changes by such officer, and that such changes are not substantially adverse to the State Agency, shall be conclusively evidenced by the execution of the Financing Agreement by such officer. The Chairman and Treasurer are hereby separately authorized to take any and all actions and to execute such other instruments that may be necessary or appropriate in the opinion of Peck, Shaffer & Williams LLP, as bond counsel for the Notes, in order to affect the issuance of the Notes and the intent of this Resolution.

Section 3. Financing Agreement an Obligation. This resolution constitutes a Series Resolution as contemplated by the General Bond Resolution; and the Financing Agreement is hereby deemed an Obligation within the meaning of the Trust Agreement, shall be issued in accordance with the terms of the Trust Agreement, with Financing Payments, as defined in the Financing Agreement, deemed Debt Service Charges within the meaning of the Trust Agreement, and with the payment of such Debt Service Charges secured, according to their true intent and meaning, by all pledges, covenants and agreements set forth in the Trust Agreement, subject to all the terms and conditions of the Trust Agreement.

Section 4. Effective Date. This resolution shall take effect immediately upon its adoption.

ADOPTED AND APPROVED this 20th day of September, 2005.

Chairman, Board of Trustees of the
University of Kentucky

Attest:

Secretary, Board of Trustees of the
University of Kentucky

CERTIFICATION

I, the undersigned, do hereby certify that I am duly qualified and acting Secretary of the Board of Trustees of the University of Kentucky, and as such officer I further certify that the foregoing is a true, correct and complete copy of a Resolution duly adopted by the members of said Board at a meeting properly held on September 20, 2005, has been duly executed and is now in full force and effect, all as appears in the official records of said Board in my possession and under my control.

I further certify that said meeting held on September 20, 2005, was duly held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.820 and 61.825, that a quorum was present at said meeting, that said Resolution has not been modified, amended, revoked or I repealed, and that same is now in full force and effect.

WITNESS my hand this _____ day of _____, 2005.

Secretary, Board of Trustees of the
University of Kentucky

FCR 26

Office of the President
September 20, 2005

Members, Board of Trustees:

CONSENT TO MODIFICATION OF LISTED EXTERNAL TRUSTS

Recommendation: that the Board of Trustees approve and authorize the Treasurer to execute consents generally in the form attached hereto, which will be attached as exhibits to petitions to be filed pursuant to KRS 386.454 by Bank One Trust Company, N.A. (as trustee of the trusts) in the Fayette District Court, consenting to modification of the applicable trusts to allow Bank One to utilize a “total return” investment and distribution method for the following external trusts:

James K. Patterson Trust
John. S. and Annabel Chambers Scholarship Trust
Edith J. and Charles G. Dickerson Medical Center Scholarship Trust
Edith J. and Charles G. Dickerson Journalism Scholarship Trust
Josephine K. and W. D. Funkhouser Scholarship Trust
Herman Lee and Nell Stuart Donovan Memorial Trust
Dr. Clarence Deweese Scholarship Trust
Ocie M. Downey Trust
Dr. Marius Johnston and Margaret J. Wright Memorial Trust
Alwilda L. Bryan College of Medicine Trust
Wallace and Olive Briggs Theatre Trust
Alfred Chambers Brent Scholarship Trust

Background: Bank One Trust Company, N.A. serves as Trustee of the above External Trusts of which the University of Kentucky is an income beneficiary. Currently, under the terms of the applicable trusts, distributions to the university from these Trusts are restricted to income only. The Kentucky Principal and Income Act, enacted by the 2004 General Assembly, became effective January 1, 2005. This Act allows trustees to petition the District Court, subject to the consent of the beneficiary, to manage trusts using a “total return” investment and distribution method. A total return method is desirable as it allows trustees more discretion in making prudent investment decisions to maximize the total return of the trust and related distributions to the beneficiary. Future distributions to the university, subject to court approval and Trustee discretion, could be based on an annual spending rate between 3 percent-5 percent consistent with the spending policy for the university’s endowments.

Bank One, as Trustee, with the approval of the Board of Trustees, will file a petition for each external trust requesting authority to manage the trusts under a total return and distribution method. The consents of the university, executed by the Treasurer, will be filed with the Trustee's petitions in the Fayette District Court.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 27

Office of the President
September 20, 2005

Members, Board of Trustees:

CONSTRUCT BIOLOGICAL/ PHARMACEUTICAL COMPLEX, PHASE I AND
UPGRADE/MODIFY COLDSTREAM RESEARCH CAMPUS FACILITIES

Recommendation: that the Board of Trustees approve Phase I of the construction of the Biological/Pharmaceutical Complex and the renovation of the Coldstream Center Building. The 2005 General Assembly approved \$40 million in state bonds for Phase I of the Biological/Pharmaceutical Complex project. The \$2.4 million renovation of the Coldstream Center Building will be funded by the university and future commercial occupants.

Background:

Biological/Pharmaceutical Complex

Phase I of the Biological/Pharmaceutical Complex Project provides approximately 242,000 gross square feet of shelled space for the College of Pharmacy. Phase II supplies an additional \$79,892,000 to fit-up the facility and is included in the University's 2006-08 Capital Request. The completed facility will provide the college with a state-of-the-art teaching and research facility.

The College of Pharmacy will relocate to this new facility and vacate their existing space. The vacated space will be reassigned to the Department of Biology to provide needed expansion space for classrooms, research, and faculty to meet the needs of the growing student enrollment, including Pre-Pharmacy.

Coldstream Center Building

The Coldstream Center Building (formerly the Lexel Building) is located on the Coldstream Research Campus. The planned renovations are necessary to meet the needs of the research campus's current and future commercial occupants. The initial phase of the renovation is expected to cost approximately \$700,000; the complete renovation will not exceed \$2,400,000. Board approval of the entire scope will allow the university to proceed with space and infrastructure modifications required for contracts with commercial and private companies locating to the Coldstream Center Building. It also will ensure flexibility to negotiate, sign, and implement contractual arrangements with private corporations in a timely manner.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 28

Office of the President
September 20, 2005

Members, Board of Trustees:

IRIS PROJECT PHASE II

Recommendation: that the Board of Trustees approve the implementation of Phase II of the Integrated Resource Information Systems (IRIS) project with a scope of \$20 million. The project will be funded using lease/purchase financing. Repayment of the lease/purchase will be from general fund dollars.

Background: Phase I of the IRIS project [officially called the “Lease/Purchase Enterprise Resource Planning (ERP) Project”], with a \$40 million scope, included new computer software to replace existing administrative systems for finance, materials management, human resources, and student services.

In December 2004, the Board of Trustees accepted the 2004-06 Capital Request Status Report which included Phase II at an estimated cost of \$15 million. The 2005 General Assembly authorized the \$15 million project in March 2005. Following Board action, UK will request an increase in the legislative authorization to \$20 million during the 2006 session of the General Assembly. Phase II will be implemented within the authorized \$15 million scope through June 2006. If approved by the Board and authorized by the General Assembly, the additional \$5 million of work will be implemented.

Phase II will improve the administrative functions of the university by adding to the modules implemented in Phase I and by implementing the budget preparation, plant maintenance, training and event management, travel and expense reimbursement, and customer relationship manager modules. These modules in Phase II will provide readily accessible student information for advising and faculty administrative tasks; improve the timeliness of travel reimbursements; provide faculty and staff self-service opportunities to change personal address, tax, and benefit information; improve decision making by delivering targeted management information directly to deans, department chairs, and administrators; and allow colleges to target and manage student recruitment efforts.

Phase I implementation “goes live” for the finance, procurement, and student accounting modules October 1, 2005. The human resources, payroll, and hospital inventory will go live January 1, 2006, and the academic/student services module (Campus Management) will go live October 1, 2006. The ERP Phase II implementation will begin on November 1, 2005 for finance. Each subsequent module will be implemented one month after the go-live date.

Action taken:



Approved



Disapproved



Other _____

FCR 29

Office of the President
September 20, 2005

Members, Board of Trustees:

DISPOSITION OF PROPERTY

Recommendation: that the Executive Vice President for Finance and Administration be authorized to execute appropriate legal documents to sell property located at 656 Berry Lane, Lexington, Kentucky at public auction.

Background: The property was a gift to the University of Kentucky from Katherine E. Longyear in September 2004. Proceeds from the sale are to be used by the University of Kentucky, School of Music. The appraised value is \$166,250.00.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 30

Office of the President
September 20, 2005

Members, Board of Trustees:

2005-06 Budget Revisions

Recommendation: that the following revisions to the 2005-06 budget be authorized and approved. The budget revisions outlined below will increase the University of Kentucky's total budget by \$784,000 – from \$1,664,857,600 to \$1,665,641,600.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u>			
1. Income Estimates			
Tuition and Fees			
Noncredit	\$10,707,900	\$10,712,900	\$5,000
Gifts, Grants, and Contracts			
Other	1,820,600	1,905,700	85,100
Sales and Services of Educational Activities			
Departmental Sales and Services	16,582,500	16,598,000	<u>15,500</u>
			\$105,600
2. Expenditures			
Finance and Administration			
Human Resource Services	\$5,172,400	\$5,223,400	\$51,000
President			
Alumni Relations	969,800	1,054,900	85,100
Provost			
College of Medicine			
Internal Medicine	15,281,200	15,286,200	5,000
Surgery and Divisions	18,320,600	18,275,600	(45,000)
Undergraduate Education			
Humanities Program	202,300	211,800	<u>9,500</u>
			\$105,600
3. <u>Comments</u> – The College of Medicine's Hematology Symposium is expected to generate an additional \$5,000 in noncredit fees.			

The \$85,100 expected increase in gifts will correctly budget two filled positions in Alumni Relations. The original income estimate did not reflect the increase.

	Approved <u>Budget</u>	Revised <u>Budget</u>	<u>Change</u>
B. <u>RESTRICTED FUND</u>			
1. Income Estimates			
Federal Governmental Appropriations			
Agricultural Experiment Station	\$5,259,200	\$5,270,300	\$11,100
Agricultural Cooperative Extension Service	9,851,400	10,518,700	<u>667,300</u>
			\$678,400
2. Expenditures			
College of Agriculture			
Agricultural Experiment Station			
Director	\$247,300	\$250,300	\$3,000
Associate Director	297,400	301,200	3,800
Veterinary Science	6,787,500	6,791,800	4,300
Agricultural Cooperative Extension Station			
Director	536,100	1,203,400	<u>667,300</u>
			\$678,400
3. <u>Comments</u> - The increase in restricted funds is an adjustment in the Federal appropriations, primarily the Smith – Lever funds in the Agricultural Cooperative Extension Service.			

Action taken: ☒ Approved ☐ Disapproved ☐ Other

FCR 31

Office of the President
September 20, 2005

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period ending July 31, 2005.

Background: On March 4, 1997, the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PATENT ASSIGNMENT
FOR THE PERIOD APRIL 1, 2005 THROUGH JULY 31, 2005

Patents

The following assignments on behalf of the Board of Trustees of the University of Kentucky Research Foundation have been executed:

1. **U.S. Patent Application Serial Number: (to be assigned)**
 Filed: July 28, 2005
 Title: “ADSORBENT AND CATALYSTS FOR THE REMOVAL OF CARBONYL SULFIDE AND MERCAPTANS FROM LIGHT HYDROCARBON FUELS”
 Inventors: Drs. Mark Crocker and Patricia Patterson (Center for Applied Energy Research)
 Technical Description: A process is provided for hydrolyzing carbonyl sulfide. The process includes the step of combining carbonyl sulfide and water in a reaction vessel in the presence of a lamellar mixed hydroxide catalyst. A process is also provided for removing carbonyl sulfide from a hydrocarbon feed stream. That process includes the steps of (a) passing the hydrocarbon feed stream through a reaction vessel holding a lamellar mixed hydroxide catalyst so as to convert carbonyl sulfide in the hydrocarbon feed stream into hydrogen sulfide and carbon dioxide and (b) adsorbing the hydrogen sulfide on a substrate. In addition, a process is provided for adsorbing organosulfur compounds and carbonyl sulfide from a gaseous feed stream. That process includes passing the gaseous feed stream through a vessel in the presence of a mixed metal oxide adsorbent obtained from thermal decomposition of layered double hydroxide and adsorbing the carbonyl sulfide to the adsorbent.
 Summary: Gassified fossil fuels are used in chemical production and in the production of hydrogen fuel cells. Gassified fossil fuels contain sulfur, which must be removed for most uses. This invention provides an efficient method of removing sulfur from gassified fossil fuels.

2. **U.S. Patent Application Serial Number: (to be assigned)**
 Filed: June 23, 2005
 Title: “CLEAVAGE OF PHOSPHATE ESTER BONDS BY USE OF NOVEL GROUP 13 CHELATE COMPOUNDS”
 Inventor: Dr. David Atwood (Department of Chemistry)
 Technical Description: The present invention relates generally to novel compounds, compositions and methods for the cleavage of phosphate ester bonds. A novel chemical compound has a general formula (LX)_nY wherein X is selected from a group consisting of a group 13 element other than boron, Y is selected from a group consisting of a halide, a chlorate, a sulfate and a nitrate and L is a chelating ligand containing two nitrogen and two oxygen donor groups where n = 1 or 2.

Summary: Organophosphates, such as nerve gas and pesticides, are highly toxic. Their safe destruction is of great environmental concern. The present invention provides chemical compounds useful in the safe destruction of organophosphates.

3. **U.S. Patent Application Serial Number: (to be assigned)**

Filed: May 3, 2005

Title: "METHODS AND COMPOSITIONS FOR THE TREATMENT OF OCULAR NEOVASCULARIZATION"

Inventor: Dr. Jayakrishna Ambati (Department of Ophthalmology)

Technical Description: The invention relates to compositions and methods for the treatment or prevention of ocular neovascularization by reducing macrophage infiltration into the eye. The compositions of the invention include an antagonist of MCP-1 and/or CCR2 that blocks MCP-1 binding to or activation of CCR2.

Summary: Inflammation and aberrant proliferation of eye tissue are undesirable effects of eye disease and eye injury. These conditions adversely affect vision. The inventors have discovered that intraocular injection of agents that block the action of known compounds (MCP-1 and/or CCR2) prevents these adverse effects.

4. **U.S. Patent Application Serial Number: (to be assigned)**

Filed: May 13, 2005

Title: "ALIGNED NANOTUBULE MEMBRANES"

Inventor: Dr. Bruce Hinds (Department of Chemical and Materials Engineering)

Technical Description: The present invention relates to methods for fabricating nanoporous membranes comprising aligned nanotubes, and to membranes fabricated thereby. A method is provided for producing a permeable membrane, comprising the steps of aligning a plurality of hollow nanotubes to form a mat, coating the mat with a continuous polymer matrix to form a membrane. The membrane is etched (a) to open the plurality of hollow nanotubes and form pores and (b) to oxidize the carboxyl groups to carboxylate groups. At least one additional functional unit having at least one available amine group to bind at least one additional functional unit to the nanotube end carboxylate group may be provided. Membranes fabricated in accordance with the method of the invention are provided also.

Summary: In many scientific applications, it is desirable to have a physical barrier which allows substances to pass through the barrier. One well known example is the transdermal "drug patch." A drug patch is a thin sheet of material, or membrane, that is coated on one surface with a pharmaceutical. When the uncoated surface is affixed to a person's skin, the pharmaceutical passes through the membrane to contact and enter the person's skin. Ideally, the membrane would allow only the pharmaceutical to pass through the patch, but not other substances, so that the pharmaceutical would not be contaminated by substances like skin perspiration.

The inventors have devised a process for producing membranes that allow some molecules to pass from one side of the membrane to the other, while blocking the transit of other molecules. . The membranes may be chemically treated to further limit which molecules can pass. These membranes may be useful in making drug “patches” and other drug delivery devices.

5. **U.S. Patent Application Serial Number: (to be assigned)**

Filed: June 23, 2005

Title: “GENETICALLY ENGINEERED EQUINE INFLUENZA VIRUS AND USES THEREOF”

Inventor: Dr. Thomas Chambers (Department of Veterinary Science)

Technical Description: The present invention relates, in general, to attenuated equine influenza viruses having an impaired ability to antagonize the cellular interferon (IFN) response, and the use of such attenuated viruses in vaccine and pharmaceutical formulations. In particular, the invention relates to attenuated equine influenza viruses having modifications to an equine NS 1 gene that diminish or eliminate the ability of the NS 1 gene product to antagonize the cellular IFN response. These viruses replicate in vivo, but demonstrate decreased replication, virulence and increased attenuation, and therefore are well suited for use in live virus vaccines, and pharmaceutical formulations.

Summary: Equine influenza causes significant morbidity in horses. The inventors developed a weakened strain of Equine Influenza Virus, which can be used as a live vaccine to immunize horses from contracting the wild type virus.

Patent Activities
Fiscal Year 2004-05

Number of Patent Applications	26
Number of Patents Issued	15
Patent Income	\$856,263

Patent Activities
Fiscal Year 2005-06 to date as of 7/31/05

Number of Patent Applications	0
Numbers of Patent Issued	1
Patent Income	\$68,500

FCR 32

1

Office of the President
September 20, 2005

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending June 30, 2005 be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the university is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period April 1, 2005 thru June 30, 2005:

There were no new contracts this quarter.

Three contracts were completed:

Project 1949.2	Infrastructure Utility Upgrade Phase I – Hospital Drive Steam Line Lagco Inc., \$753,988
Project 2145.1	Replace Steam and Condensate Pipe Finney Co., \$1,124,679
Project 2198.0	Electronic Access Rural Demonstration Project – College of Law (Phase I) Denham-Blythe Co. Inc., \$247,855

There were two amendments this quarter and eight change orders greater than \$25,000.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT

This report contains capital projects that have a dollar scope value of \$400,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

Consultant: This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

Contractor: This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

Glossary

Amendment: refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

Change Order: refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

Consultant: the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

Contract administration: refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

General Contractor: the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

Feasibility Study: refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probably cost, preliminary program issues, and so forth.

Gross square feet: the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

H.B.C.: refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

Penthouse: refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

Percent complete: as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

Net assignable square feet: the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

Phase: The various stages of design and construction of a capital project, including:

- **Programming phase:** refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- **Schematic design (S/D or Phase 1):** refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- **Design development (D/D or Phase 2):** refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- **Construction document phase (C/D or Phase 3):** refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- **Bidding phase:** refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- **Construction phase (or Phase 5):** refers to the actual construction of the project by contractors.

Scope: The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

Substantial Completion: refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

Trade Contractor: Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

Major Projects

Capital Project Management Division

Center for Pharmaceutical & Science Technology (CPST Bldg.)

The CPST operation will be located in the small buildings zone of the Coldstream Research Park. Some of the operation presently located on the 1st floor of the College of Pharmacy building will be relocated to the new operation in Coldstream. The project scope is \$12 million, CE&IC from Princeton NJ provided the bridging documents, and Messer Construction is the design/builder.

Project Status:

Building shell is being "dried-in". Site concrete is being poured and final grading is proceeding. Paving will be delayed until major equipment is delivered to avoid damage. Work has begun on the 'Manufacturing Core' installing ductwork and piping. All major manufacturing and clean utility equipment has been bid and ordered. In the next month the glass and glazing installation will be completed and Messer will initiate "Clean Construction" procedures in order to maintain an environment within the building that will allow clean air classifications as needed to meet design intent of the core spaces. Rough in mechanical systems and masonry walls will begin.

Construct Parking Structure

Project Description:

This project constructs two parking structures located on the University of Kentucky central campus. Virginia Avenue and Complex Drive were selected as the sites for these two structures. The structures house approximately 1,500 parking spaces and 10,000 sq. ft. of potential office space. The structures will serve mixed usage of students, faculty, staff and visitors.

Project Status:

Parking Structure #6 (Virginia Ave.):

Foundation work continued through the past month, which is roughly 99% complete. All gradebeams and columns on the first level are complete. The communications manhole has been completed and installation of the communication ductbank is 90% complete. The retaining wall along Press Avenue is complete. The elevator pit and foundation walls have been completed. Slab on grade pour 1B and 1C have been completed. Work for August will include Slab on Grade 1A and 1A office area, storm piping for 1D, Pour Slab on Grade 1D. Form and pour deck pour 2A and 2B. Storm piping along the west side of the structure and primary ductbank installation. A change order was executed to add a level to the structure during the construction phase.

Parking Structure #7 (Complex Dr.):

Progress during the last two weeks of June and first two weeks of July included the completion of level 3 supported deck on July 7, 2005. Stub columns at the top of the supported deck are complete as well. Bumper walls along the ramps are in progress. The supported stairs and landings at Stair A, B & C are in progress. Electrical sub-contractor is continuing rough-ins for garage lighting onto the supported decks. Mechanical sub-contractor will begin stacking the storm piping from the under-slab drainage system to the deck drains by the end of July. The new tennis courts were substantially complete the third week of July. The contractor has completed the supported stairs and landings at Stair A, B & C and the bumper-walls. The erection of the precast will begin in late August. Masonry has begun at the office complex and will progress to stair B and follow the installation of precast.

Design Patient Bed Tower – Hospital/Clinical Enterprise Master Plan

Project Description:

The Hospital's present patient bed tower is approximately 40 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate present anticipated technology. This project will provide the site location evaluation, building programming, planning, and design services for a new bed tower.

Project Status:

The project design team is lead by GBBN with major subcontracts with AM Kinney, Ellerbe-Becket, Staggs and Fisher, Affiliated Engineers, THP Limited as well as a number of specialty consultants. A contract has been executed for departmental level and detail programming, schematic design of the Hospital and Concourse as well as complete design services for the parking garage and related infrastructure. Groups that were established within the Medical Center community and throughout the campus have provided input into the gross program and master plan. The second round to include departmental level and detailed programming for the patient care facility is scheduled to begin in mid August and end in early December. During the same period design on the parking garage and infrastructure will continue on an accelerated schedule. The design schedule allows for beginning of the construction of the parking garage in late 2005 and the infrastructure in mid 2006.

Construct Patient Care Facility

Project Description:

This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. This project also includes the replacement of the hospital parking garage and a connecting bridge to clear the site for the new hospital addition as well as land acquisition and utility relocation costs.

Project Status:

The bulk of the design work is proceeding under Project Number 2099.0 (Design Patient Bed Tower). The process of selecting the CM to play a leadership role in the construction for the project is underway. Qualifications were submitted on July 6th with oral presentations being given July 26th. The construction will be administered as a CM at Risk with GMPs. At this time GMP #1 will be the Virginia Ave. extension; GMP #2 is the parking garage; GMP #3 is the infrastructures package; GMP #4 is the demolition of the existing structure and foundations for the new hospital, GMP #5 will be the core and shell of the structure, and GMP #6 will be the interior fit up of the structure. The Construction Manager will play a significant role in the planning and scheduling of construction. As of this date, the construction of the parking garage is to begin in early 2006; the Virginia Ave. extension is scheduled to begin in the spring of 2006; the work associated with the infrastructure package is scheduled to begin in mid 2006, and the construction of the new hospital will begin in early 2007.

Electronic Access Rural Demonstration Project College of Law – Phase I

Project Description:

This demonstration project involves the development and installation of electronic access sites in rural locations, an electronic access information hub, and classroom and office improvements in the College of Law. The electronic access sites will provide remote access to the College of Law's virtual reference service and enhanced web site and will include dedicated interactive videoconferencing technology. The project involves upgrades to the College of Law infrastructure and renovation of College of Law facilities.

Project Status:

The project is currently under warranty and there is no change in the status.

4th Floor Fit-up BBSRB

Project Description:

This project will fit-up approximately 32,750 square feet in the currently "shelled space" on the fourth floor of the BBSRB Building. The fit-up will include wet bench laboratory space, support space, and dry computational labs. The University has received a federal grant award of \$3,685,000 from the National Center for Research Resources Extramural Research Facilities Improvement Program. The space will primarily be utilized by Pharmacology.

Project Status:

Phase 3 review meetings were held on July 18, 2005 at UK and comments were given to AM Kinney. A teleconference was scheduled with the NIH after they have completed their reviews. The Phase 3 construction cost estimate was reduced to \$7,667,000. The project is currently on schedule. It is planned to bid mid-September 2005, with substantial completion planned for mid-July 2006 and final completion planned for mid-August 2006.

Memorial Coliseum Expansion

Project Description:

The basketball practice facility consists of the addition of men's and women's basketball offices, practice facility, weight training, medical support, locker/shower, facilities ticket office, administrative offices, and minor renovation of existing locker rooms. The estimated total cost of the facility is \$30 million, consisting of the \$2.5 million for design plus \$27.5 million for construction. This project involves the design of a 92,300 square foot Basketball Practice Facility to enhance the University's ability to attract top student athletes. The new facility will alleviate scheduling problems at Memorial Coliseum and will provide amenities in line with other NCAA basketball facilities in the country. The practice facility will be connected to Memorial Coliseum, which is located near the intersection of Lexington Avenue and the Avenue of Champions, and will renovate 7,700 square feet to support volleyball, gymnastics, offices and ticketing. Sherman-Carter-Barnhart, Inc. is the prime architect for the project. They are working in conjunction with HNTB as the design architect, Staggs and Fisher as the M/E/P engineers, and the Structural Design Group as the structural engineer. Fuller, Mossbarger, Scott and May perform geotechnical and survey work for the project.

Project Status:

Bids were received, and, overall, were \$3.1M over budget. Value Engineering (VE) options have been identified to reduce the construction cost. RFP's were issued to the 2-3 low bid contractors for identified work categories to facilitate competitive negotiations, as per the model procurement code. Revised bids were submitted July 28th and the project is now back in budget.

Renovate Commonwealth Stadium Locker Room

Project Description:

This project will serve to expand and renovate the football team's defensive squad locker room to allow both offense and defense to gather in one space. Currently the two squads have separate locker rooms connected by a passageway. Restroom and shower facilities will be relocated. New HVAC systems, exhaust fans, lockers, ceiling treatments and floor coverings are included in this project.

Project Status:

Project is substantially complete.

Renovate Football Practice Field - Nutter Training Center

Project Description:

The project will involve implementation of a feasibility study completed in October of 2003 to renovate the football practice fields behind the Nutter Training Center. The existing fields are a "soil-based" natural turf (3 total) and the fourth is an artificial turf field. The project will be issued as a design/build RFP to install two (2) "sand-based" natural turf fields, one (1) synthetic turf field and remove the artificial turf covering on the artificial turf field so that it can be used as a parking lot. Miscellaneous associated work will include relocation of the existing camera/observation tower, new irrigation system for natural turf areas, new lighting for synthetic turf areas and adjacent natural turf areas, and miscellaneous electrical outlets, goal posts sleeves and timing clocks.

Project Status:

Work on the sand-based natural turf fields is complete as is sod for perimeter areas around these fields. All perimeter drainage systems are complete. Field lighting for synthetic rubber in-fill field is complete. All wiring for lighting and irrigation piping is complete and the controls for the irrigation system are operational. Removable goal posts have been installed for the sand based fields. Contractor has removed approximately 70% of the original carpet and padding from the astro-turf field in preparation for converting this field into a parking lot. Concrete curb for synthetic rubber in-fill field was installed the third week of July and interior drainage for this field is ongoing. The gravel sub-base was installed the last week of July and intallation of the carpet and synthetic rubber in-fill material will begin in early August. Miscellaneous concrete pads began in early July and were completed by late July. Sod for the area around the new synthetic rubber in-fill field began in early August, and all other areas will be complete by mid-August.

Student Housing Facilities

Project Description:

In an effort to respond to issues related to students living off campus, the University has undertaken efforts to assess its campus housing. Consistent with the recently adopted UK Physical Development Campus Plan 2050 Final Report December 2002, the University is constructing new dormitories with 684 new beds. The dormitories will be configured with suites or semi-suite bedrooms and living areas. The dormitories will be located at sites as identified in the Phase 1 Housing-2010 Campus Plan. New housing will include common areas, student activity spaces, academics, food services, parking and central campus utilities. Completion/occupancy scheduled for August 2005.

Project Status:

Building #1: The building was issued Substantial Completion on July 18, 2005. They will continue to finish punch items over the next few weeks.

Building #2: The building was issued Substantial Completion on July 18, 2005. They will continue to finish punch items over the next few weeks.

Building #3: The building was issued a temporary certificate of occupancy on July 19, 2005. They will continue to work on deficiencies noted in the temporary certificate of occupancy, punch list items and final completion items. The building was issued Substantial Completion on August 3, 2005.

Building #4: The building was issued a temporary certificate of occupancy on July 19, 2005. They will continue to work on deficiencies noted in the temporary certificate of occupancy, punch list items and final completion items. Site restoration continues. The building was issued Substantial Completion on August 2, 2005.

Student Housing - Kirwan/Blanding Commons Accessibility Upgrades

Project Description:

This \$555,000 project is part of the Student Housing authority. The Kirwan/Blanding Residence Complex was built prior to the enactment of "The Americans with Disabilities Act." Currently, there are no rooms within the Kirwan/Blanding Complex that accommodate a disabled student. The new Student Housing Facilities, being built east of the Kirwan/Blanding Complex, will be 100% accessible to disabled students. Students residing in the new housing facilities utilize the Kirwan/Blanding Commons for dining and food service; thus, the need arose to renovate the facility prior to completion of the new residential facility. The design consultant is James Potts Architects.

Project Status:

Installation of the elevator components completed in July. Elevator inspection and all other work in the restrooms were completed the last week in July. Final inspections are being coordinated.

Student Housing - Supporting Utility Plant Upgrades (Boiler/Chilled Water)

Project Description:

This project includes expanding the Virginia Ave. CUP to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Project Status:

A.M. Kinney of Cincinnati, the designer of record for the BBSRB Project, completed an analysis of various approaches to implement the project. The study included several program options containing a budget analysis of each option. Facilities and PPD personnel reviewed the study in an attempt to establish the most effective program for expenditure of the available funding. Issues regarding Title V permitting and establishment of infrastructure priorities as well as State budgetary considerations will play into the establishment of the final program. Further progress is dependent on decisions as to proper avenue for expenditure of funding in light of future projects.

Construct Student Health Facility

Project Description:

University Health Services will be an outpatient clinic to house University Health Services for students with support spaces and some administrative offices. This project will create additional space by constructing a new building of 65,000 gross square feet. The site will be in the courtyard framed by the Wethington Building, Warren Wright Medical Plaza and the Sanders Brown Center on Aging.

Project Status:

Interviews were held on June 23, 2005 and Omni Architects were selected as the prime consultant for this project. The contract has been finalized and programming and schematic design are underway.

Expand Cancer Infusion Suites - Hospital

Project Description:

This project is for the expansion of the chemotherapy infusion program into the 2nd floor of the Davis Millis portion of the Whitney Hendrickson Building. The existing infusion operation is located in the first floor of the same facility directly below the proposed expansion. The demand for chemotherapy infusion services has exceeded the available clinic space capacity causing patients to use inpatient facilities for outpatient services or to wait for needed treatments. The

renovation will also provide urgent treatment cancer services that are not currently provided by the cancer center. The space is connected to the Hospital's central chilled water and steam systems.

Project Status:

Stengel-Hill Architecture was selected as the Architectural Consultant on June 28, 2005. A fee was negotiated and a contract has been submitted. A meeting was held on July 14, 2005 between the users and design team to determine the scope of work. Schematic design meetings are ongoing.

Expand Emergency Services - Hospital

Project Description:

The project will consist of renovating sections of the existing 18,400 sf Emergency Room and may involve the annexation and renovation of space inside the existing Critical Care Center, or construction of new space in the courtyard next to the Emergency Room.

Project Status:

The program was completed on June 29, 2005. The project was advertised for consulting services on Monday June 27th and submittals were due on July 8, 2005. Four firms submitted: DCT, Stengel-Hill Architecture, URS and GBBN. The shortlist committee met on July 14, 2005 and determined that GBBN was the best firm for this project. Preliminary design work is progressing.

Expand Ophthalmology Clinic - Hospital

Project Description:

The project is for renovation and expansion of the existing Ophthalmology Clinic located in the Kentucky Clinic. Adjacent available space would be utilized for the expansion. The project will expand the existing operation into vacated space in the Kentucky Clinic as well as renovating the existing space. The expansion is needed to provide additional clinic space for the overcrowded Ophthalmology Department services. This expansion will allow for improvements in efficiency and in customer satisfaction within this clinic.

Project Status:

Hospital Administration is working with a programming consultant to assess needs for this project prior to the selection of a consultant.

Renovate Vivarium in Central DLAR Facility

Project Description:

This project will renovate the cage washing area, install a robotic cage washing system, and upgrade spaces to animal procedure rooms in the vivarium in the Combs Building. The Combs Cancer Research building is approximately 75,800 gross square feet. The building was constructed in 1987 and is in good condition. The facility consists of research labs, offices, lab support areas, animal care areas, and animal care support space. The building is heated with steam from the coal fueled central plants and the cooling is supplied from the central, electric generated, chilled water plants. The building's current use will not change as a result of this project.

Project Status:

Advertisement and selection of the design team is complete with the firm BHDP being selected. Negotiations are ongoing.

Upgrade Surgical Services

Project Description:

This project will renovate existing space to develop a Same Day Surgery Intake Unit; will relocate surgical offices adjacent to the operating rooms in the Critical Care Center to expand the Post Anesthesia Care Unit; will develop a Pre-op, PACU, and waiting space in the main hospital building dedicated to pediatric patients; and will renovate the 1st floor surgical waiting area. The current layout and size of unit causes numerous inefficiencies in the department. The amount of PACU beds is too few based on industry standards. This renovation will allow for improved operational efficiencies of the operating rooms and will provide for an improved patient experience with the development of the same day surgery intake unit. This space is connected to the Hospital's central chilled water and steam systems (coal or gas fired boilers/chillers).

Project Status:

A programming meeting was held on June 28, 2005 with Hospital representatives, Ellerbe-Becket and GBBN to finalize the program based on earlier discussions. Further internal discussions to determine the best way to utilize the existing space and vacated space in the old Cath Labs (2nd floor ICU area) are underway. Upon approval of the final program, the project will be advertised for architectural consulting services for design.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Biomedical /Biological Sciences Research Bldg

Project Number: 1876.0

Project Description:

Scope: \$75,023,719

BBSRB is a state of the art facility for collaboration in research and graduate education in biological chemistry, genetics, molecular and cellular biology, neuroscience and related fields. This project was designed by A.M. Kinney and ZBA of Cincinnati and Venturi, Scott Brown of Philadelphia.

Consultant: A.M. Kinney

Contract # A001400

Original Contract Amount: \$5,600,000

Total Amendments to Date: 5

Cumulative Amendment Amount: \$814,079

Describe all amendments :

Revised Contract Amount: \$6,414,079

Amendment #A1, 12/11/01, an increase of \$485,742.00, was approved to provide consultant services to include the addition of a pedestrian bridge to the main campus, expanded Vivarium facilities and the addition of space for an amenity feature. **Amendment #2**, 10/8/02, an increase of \$204,750.00, was approved to provide for owner requested changes to the design during the construction document phase and for reimbursable expenses for printing and HB&C plan review fees. **Amendment #3**, 6/10/03, an increase of \$95,662, was approved for additional service during the Bidding Process which includes Specification and Bidding of a Boiler for the CUP, Revision of Division 1700 Specifications to current standards and analysis of multiple bid packages. **Amendment #4**, 3/9/04, an increase of \$20,425, was approved for additional design services as required to support application for a federal grant. This amendment was also for additional design services to redesign the spine due to the discovery of concealed utilities, and for the redesign of site work to accommodate the demolition of an existing building. **Amendment #5**, 03/08/2005, an increase of \$7,500.00 was approved for additional design services to upgrade/modify some of the electrical panels as a result of equipment and systems being moved in.

No amendments this quarter.

Contractor: Gilbane (Construction Manager At-Risk)

Note: This is 'CM-At-Risk' contract. The contracted amount will be increased as sub-contracts are bid and added. *Gilbane's contract was awarded by RFP process.

Contract # X203535

Original Contract Amount: \$60,301,023

Total Change Orders to Date: 245

Cumulative Change Order Amount: \$2,363,250

Low Bid:

Revised Contract Amount: \$62,664,273

High Bid:

Contract Percent Complete: 99%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order #27, 1/9/03, was approved for \$32,683 to delete Adscos steam expansion joints from Specification 15121CUP. After bid, issues were discovered with one of the specified expansion joints, as such this manufacturer was eliminated from specification, resulting in additional cost for alternate joints. **Change Order #40**, 3/14/03 was approved for \$72,705 to incorporate revisions to pumping systems and valves. **Change Order #44**, 3/17/03, was approved for \$82,037 to change direct buried steam piping Multitherm 500 System Class A. This will provide a more durable protective jacket for steam piping. **Change Order #45**, 3/28/03, was approved for \$48,745 to revise circuit breaker specification and to relocate bus differential relays. **Change Order #49**, 4/8/03, was approved for \$42,430 to undercut BBSRB ground floor from column line 8 north as required due to unsuitable soils and uneven rock. **Change Order #59**, 5/20/03, was approved for \$87,614 because it was determined that certain portions of the plumbing design did not comply with the Kentucky HB&C requirements as interpreted by the reviewer. **Change Order #61**, 5/23/03, was approved for \$117,246 to revise the RO/DI water system in the BBSRB to increase the quality of water provided at the labs to a Level II. **Change Order #70**, 6/11/03, was approved for \$26,383 to revise routing of ductbanks east of CUP. **Change Order #71**, 6/11/03 was approved for \$35,484 to provide additional down leads for the Lightning Protection System required to obtain U.L. Listing. **Change Order #77**, 7/21/03, was approved for \$170,684 to revise the electrical switch gear and associated bus ducts. The feeds for the CUP were converted from the 4160V to 480V to accommodate operational safety considerations. **Change Order #89**, 8/22/03, was approved for \$112,333, to provide reimbursement for costs incurred as a result of the storm event on May 15, 2003. This was reimbursed thru the Builders Risk Insurance Policy held by the University. **Change Order #109**, 10/21/03, was approved for \$36,466 to provide temporary heating. **Change Order #110**, 10/21/03, was approved for \$57,460, to provide a resinous flooring system. **Change Order #120**, 11/24/03, was approved for \$47,518 to modify ground floor ceiling to a stud frame. **Change Order #121**, 12/3/03, was approved for \$25,793 to provide freezer monitoring. **Change Order #125**, 12/9/03, was approved for \$40,000 to modify concrete columns at bridge. **Change Order #135**, 1/21/04, was approved for \$116,760 for addition of ductwork to animal rooms. This CO provided dedicated exhaust for the caging systems being purchased for the DLAR facilities. Installation of this ductwork reduced the cost of the caging systems in excess of \$200,000. **Change Order #136**, 1/21/04, was approved for \$25,811 to revise HVAC layout in the Cafe. The space allowed in the original design was not sufficient for the services to be installed. **Change Order #140**, 1/21/04, was approved for

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

\$78,477 to provide Energex ceiling on the ground floor. This CO upgraded the ceiling in the DLAR facilities to allow for improved wash down, maintenance and disinfecting operations. **Change Order #148**, 2/24/04, was approved for \$30,000 to modify concrete columns at bridge. This CO covers corrective work to adjust concrete column height. The consultant will be back charged for this work as it resulted from incorrect instructions to the contractor. **Change Order #150**, 3/9/04, was approved for \$51,606 to provide chain link fencing, gates and accessories. This CO was to have been in site paving package that did not bid until April. The CO was issued to another trade contractor in maintain the construction schedule. **Change Order #153**, 3/30/04, was approved for \$57,806 to provide new intake ventilators, painted discharge grill and masonry wall. The original design did not provide sufficient intake air for the emergency generator. **Change Order # 158**, 04/09/04, was approved for \$41,023.00 to revise sitework. **Change order #161**, 04/16/01, was approved for \$34,426 to add additional VAV's and associated ductwork to Imaging Suite. **Change order #163**, 04/28/04, was approved for -\$25,203 to credit funds approved for rework of bridge columns. **Change order #226**, 09/15/04, was approved for \$31,463 to relocate generator muffler/exhaust to exterior of building. The required size of the generator did not provide required headroom inside the building to install as originally indicated. **Change order #237**, 09/30/04, was approved for \$32,968 to provide cabling for elevator communications intercoms from each elevator in the BBSRB to the UK MC dedicated intercom system. **Change Order #270**, 03/07/05, was approved for \$36,381 to add gravity ventilators and smoke dampers at the elevator equipment rooms. **No change orders greater than \$25,000 this quarter.**

Center for Pharmaceutical & Science Technology (CPST Bldg.)

Project Number: 2163.0

Project Description:

Scope: \$12,000,000

The CPST operation will be located in the small buildings zone of the Coldstream Research Park. Some of the operation presently located on the 1st floor of the College of Pharmacy building will be relocated to the new operation in Coldstream.

Consultant: CE & IC / Lockwood Greene Engineers, Inc.

*Multiple consultants have been hired.

The \$ amounts represent the sum of all contracts.

Contract # A041040 / A051060

Original Contract Amount: \$1,165,000

Total Amendments to Date: 1

Cumulative Amendment Amount: \$17,500

Describe all amendments :

Revised Contract Amount: \$1,182,500

Contract A051060, Amendment #1, 02/08/05, an increase of \$17,500.00, was approved to complete conceptual design and a cost estimate for the CPST Lexel Building alternative. **Amendment #2, 06/14/05, an increase of \$12,400.00 was approved for additional design of roof drainage and revision of storm drainage.**

Contractor: Messer Construction

* Messer's contract was awarded by RFP process.

Contract # X404999 & X504626

Original Contract Amount: \$12,970,247

Total Change Orders to Date: 3

Cumulative Change Order Amount: -\$4,669,771

Low Bid:

Revised Contract Amount: \$8,300,476

High Bid:

Contract Percent Complete: 39%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order #1, 06/18/2004, was approved for -\$4,622,955.00 to reduce the scope of the Design/Build Contract to eliminate the remaining design and construction of the "clean room" areas and building utilities. The manufacturing functions and equipment has changed due to the users' realignment of their processes. The University determined that redesign of the clean room spaces should be done outside of the design/build contract. **Change Order #2, 04/21/05, was approved for -\$78,807.00 to eliminate all remaining interior work from current contract, and add under slab utilities, second floor structure for mechanical room and roof drainage.** **Change Order #3, 05/03/05, was approved for \$31,991.00, to provide and install an electrode conductor and quazite pull box into the electric room for future equipment connections.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Parking Structure (PS #6 & #7)

Project Number: 2158.1

Project Description:

Scope: \$21,780,000

This project will construct two parking structures located on the University of Kentucky central campus. Virginia Avenue and Complex Drive were selected as the sites for these two structures. The structures house approximately 1,500 parking spaces and 10,000 sq. ft. of office space. The structures will serve a mixed use of students, faculty, staff and visitors.

Consultant: HNTB

Contract # A041060

Original Contract Amount: \$939,790

Total Amendments to Date: 1

Cumulative Amendment Amount: \$49,900

Describe all amendments :

Revised Contract Amount: \$989,690

Amendment #1, 7/13/04, an increase of \$49,900 was approved for design services and expenses for the re-design of the buildings' facades (materials & elevation design). **No amendments this quarter.**

Contractor: Messer Construction Co.

*Messer's contract was awarded by RFP process.

Contract # X501385

Original Contract Amount: \$18,227,000

Total Change Orders to Date: 18

Cumulative Change Order Amount: \$2,178,121

Low Bid:

Revised Contract Amount: \$20,405,121

High Bid:

Contract Percent Complete: 45%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order #2, 01/06/05, was approved for \$100,080.00 to provide for changes in the live load structural design of PS #6. **Change order #4, 02/23/05**, was approved for \$195,522.00 to include an additional tennis court and to modify grading and drainage. **Change order #5, 02/23/05**, was approved for -\$51,660.00 to delete the scope of all work associated with the basketball court addition originally planned. **Change order #11, 05/31/05, was approved for \$119,290.00 for revisions to underground domestic water and fire services at PS#6.** The KAWC owned line required new services, to be installed by their approved contractor, including a new vault in the KAWC easement along Virginia Ave. and a separate line to serve domestic water and fire service.

Change order #13, 05/31/05, was approved for \$1,797,284.00 to include an additional parking level to PS#6. The university recognized that additional parking will be necessitated by the upcoming construction of the Hospital Expansion project. The additional funding was made available by the UK Parking & Transportation Services.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Design Patient Bed Tower - Hospital [Clinical Enterprise Facilities Master Plan]

Project Number: 2099.0

Project Description:

Scope: \$10,000,000

The Hospital's present patient tower is approximately 40 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate present anticipated technology. This project will provide the site location evaluation, building programming, planning, and design services for a new bed tower.

Consultant: KSA and GBBN, Inc

*Multiple consultants have been hired.

The \$ amounts represent the sum of all contracts.

Contract # K04-137/A051130

Original Contract Amount: \$978,160

Total Amendments to Date: 2

Cumulative Amendment Amount: \$1,693,700

Describe all amendments :

Revised Contract Amount: \$2,671,860

K04-137, Amendment #1, 01/11/05, an increase of \$121,000.00 was approved for additional work for a Traffic Study as well as a Parking Study.

A051130, Amendment #1, was approved on 05/10/05. This amendment for \$1,572,700 incorporates the agreements reached on the scope of services necessary to develop the Master Planning and Gross Programming through the year 2020 and the Detailed Programming for the first phase 1A of the project.

Contractor:

Contract # No Construction contracts awarded to date

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Electronic Access Rural Demonstration Project College of Law - Phase I

Project Number: 2198.0

Project Description:

Scope: \$1,000,000

This demonstration project involves the development and installation of electronic access sites in rural locations, an electronic access information hub, and classroom and office improvements in the College of Law. The electronic access sites will provide remote access to the College of Law's virtual reference service and enhanced web site and will include dedicated interactive videoconferencing technology. The project involves upgrades to the College of Law infrastructure and renovation of College of Law facilities.

Consultant:

Contract # No Consultant contract awarded

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Denham-Blyth Co. Inc.

*Denham-Blyth's contract was awarded by RFP process.

Contract # X404392

Original Contract Amount: \$223,525

Total Change Orders to Date: 8

Cumulative Change Order Amount: \$24,330

Low Bid:

Revised Contract Amount: \$247,855

High Bid:

Contract Percent Complete: 100%

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Fit Up 4th Floor in BBSRB

Project Number: 2227.0

Project Description:

Scope: \$8,163,925

This project will fitup approximately 32,750 square feet in the currently "shelled space" on the fourth floor of the BBSRB Building. The fit-up will include wet bench laboratory space, support space, and dry computational labs. The University has received a federal grant award of \$3,685,000 from the National Center for Research Resources Extramural Research Facilities Improvement Program. The space will primarily be utilized by Pharmacology.

Consultant: A.M. Kinney

Contract # A051120

Original Contract Amount:

\$415,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$415,000

No amendments this quarter.

Contractor:

Contract # No Construction contracts awarded to date.

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Infrastructure Utility Upgrade Phase I - Hospital Drive Steam Line

Project Number: 1949.2

Project Description:

Scope: \$819,090

This project consists of replacing direct buried steam piping under Hospital Drive. Buried piping will be replaced between manholes and in stages. The east steam manhole will be demolished and rebuilt; the west steam manhole will have its roof replaced. Piping will be reworked in both manholes.

Consultant: Staggs & Fisher (Amendment to 1949.0 Contract)

Contract # A011180

Original Contract Amount:

\$67,600

Total Amendments to Date: 0

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$67,600

No amendments this quarter.

Contractor: Lagco

Contract # X501578

Original Contract Amount:

\$699,000

Total Change Orders to Date: 8

Cumulative Change Order Amount:

\$54,988

Low Bid: \$699,000

Revised Contract Amount:

\$753,988

High Bid: \$1,031,477

Contract Percent Complete:

100%

Number of Bids: 4

Describe all change orders greater than \$25,000.00:

Change Order #6, 12/01/2004, was approved for \$34,852.00 to provide intermediate pre-cast vault and revised section of steam pipe for offset over storm line that was found to be higher in elevation than originally anticipated. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Memorial Coliseum Expansion

Project Number: 2196.0

Scope: \$30,000,000

Project Description:

This project consists of the addition of men's and women's basketball offices, practice facility, weight training, medical support, locker/shower, facilities ticket office, administrative offices, and minor renovation of existing locker rooms. The estimated total cost of the facility is \$30 million, consisting of the \$2.5 million for design plus \$27.5 million for construction. This project involves the design of a 92,300 square foot Basketball Practice Facility to enhance the University's ability to attract top student athletes. The new facility will alleviate scheduling problems at Memorial Coliseum and will provide amenities in line with other NCAA basketball facilities in the country. The practice facility will be connected to Memorial Coliseum, which is located near the intersection of Lexington Avenue and the Avenue of Champions, and will renovate 7,700 square feet to support volleyball, gymnastics, offices and ticketing.

Sherman-Carter-Barnhart, Inc. is the prime architect for the project. They are working in conjunction with HNTB as the design architect, Staggs and Fisher as the M/E/P engineers, and the Structural Design Group as the structural engineer. Fuller, Mossbarger, Scott and May perform geotechnical and survey work for the project.

Consultant: Sherman-Carter-Barnhart/HNTB

Contract # A041080

Original Contract Amount:

\$2,000,000

Total Amendments to Date: 0

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$2,000,000

No amendments this quarter.

Contractor: Messer Construction (CM @ Risk)

*Messer's contract was awarded by RFP process.

Contract # X502765

Original Contract Amount:

\$178,300

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

\$178,300

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Commonwealth Stadium Locker Room

Project Number: 2226.0

Project Description:

Scope: \$690,000

This project will serve to expand and renovate the football team's defensive squad locker room to allow both offense and defense to gather in one space. Currently the two squads have separate locker rooms connected by a passageway. Restroom and shower facilities will be relocated. New HVAC systems, exhaust fans, lockers, ceiling treatments and floor coverings are included in this project.

Consultant: James W. Potts Architects

Contract # A051000II Assn #4

Original Contract Amount:

\$30,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$30,000

No amendments this quarter.

Contractor: Eubank & Steele Construction

Contract # X503190

Original Contract Amount:

\$580,900

Total Change Orders to Date: 7

Cumulative Change Order Amount:

\$20,791

Low Bid: \$580,900

Revised Contract Amount:

\$601,691

High Bid: \$716,207

Contract Percent Complete:

90%

Number of Bids: 7

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Renovate Football Practice Field - Nutter Training Center

Project Number: 2225.0

Project Description:

Scope: \$2,250,000

The project will involve implementation of a feasibility study completed in October of 2003 to renovate the football practice fields directly behind the Nutter Training Center. The existing fields are a "soil-based" natural turf (3 total) and the fourth is an artificial turf field. The project will be issued as a design/build RFP to install two (2) "sand-based" natural turf fields, one (1) synthetic turf field and remove the artificial turf covering on the artificial turf field so that it can be used as a parking lot. Miscellaneous associated work will include relocation of the existing camera/observation tower, new irrigation system for natural turf areas, new lighting for synthetic turf areas and adjacent natural turf areas and miscellaneous electrical outlets, goal posts sleeves and timing clocks.

Consultant: No Consultant contract awarded.

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Sportsfields

*Sportsfields' contract was awarded by RFP process.

Contract # X502655

Original Contract Amount:

\$1,850,937

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

\$1,850,937

High Bid:

Contract Percent Complete:

54%

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Replace Steam and Condensate Pipe

Project Number: 2145.1

Project Description:

Scope: \$1,299,159

This project consists of a new utility tunnel under Limestone Avenue adjacent to the Peterson Service Building. There will be new concrete vaults on each side of Limestone Avenue connected to the utility tunnel.

Consultant: ZBA A031020F/Woolpert A051070

Contract # A031020F/A051070

Original Contract Amount: \$54,700

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$54,700

No amendments this quarter.

Contractor: Finney Company, Inc.

Contract # X501255

Original Contract Amount: \$1,037,300

Total Change Orders to Date: 13

Cumulative Change Order Amount: \$87,379

Low Bid: \$1,037,300

Revised Contract Amount: \$1,124,679

High Bid: \$1,391,370

Contract Percent Complete: 100%

Number of Bids: 4

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Student Housing Facilities

Project Number: 2153.0

Scope: \$44,170,000

Project Description:

In an effort to respond to issues related to students living off campus, the University has undertaken efforts to assess its campus housing. Consistent with the recently adopted UK Physical Development Campus Plan 2050 Final Report December 2002, the University is constructing new dormitories with 684 new beds. The dormitories will be configured with suites or semi-suite bedrooms and living areas. The dormitories will be located at sites as identified in the Phase 1 Housing-2010 Campus Plan. New housing will include common areas, student activity spaces, academics, food services, parking and central campus utilities. Completion scheduled for summer 2005.

Consultant: Ayers/Saint/Gross

Contract # A031090

Original Contract Amount: \$545,000

Total Amendments to Date: 1

Cumulative Amendment Amount: \$187,707

Describe all amendments :

Revised Contract Amount: \$732,707

Amendment #1, 3/9/04, an increase of \$187,707, was approved for consultant fees to final review documents from design/builder and provide periodic construction observations. It also covered an increase in reimbursable services to employ a Geotechnical Consultant during foundation construction. **No amendments this quarter.**

Contractor: Messer Construction

* Messer's contract was awarded by RFP process.

Contract # X404699

Original Contract Amount: \$33,775,000

Total Change Orders to Date: 76

Cumulative Change Order Amount: \$1,480,206

Low Bid:

Revised Contract Amount: \$35,255,206

High Bid:

Contract Percent Complete: 91%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 8, 05/18/04, was approved for \$37,340.37 for 15kV feed from manhole to Copperstown transformer.

Change Order #11, 05/18/04, was approved for \$30,018.45 for gas line replacement and relocation. **Change Order #15**, 06/17/04, was approved for \$75,900.00 for construction of structural tunnel and manhole as outlined by Fuller,

Mossbarger, Scott, and May. **Change Order #18**, 06/29/04, was approved for \$48,755.00 for relocation of domestic water and domestic water pit. **Change Order #26**, 08/16/04, was approved for \$28,246.94 for addition of walls to enclose the electrical room from the rest of the mechanical room. **Change Orders #28 & #29**, 08/18/04, were approved for

finishes in all public areas - \$223,000.00 for addition of wood flooring and \$64,800.00 for carpet. **Change Order #31**, 08/30/04, was approved for \$102,452.00 for hardware changes to install 16 new automated door openers. **Change Order**

#37, 10/19/04, was approved for -\$30,312.32 as a window credit for changes requested by UK. **Change Order #46**, 12/01/04, was approved for -\$86,594.00 for a landscape change/deduct. The landscaping will be coordinated by the UK

Grounds department. **Change Order #58**, 03/08/05, was approved for \$39,644.55 for the change from a solid retractable wall to a glass accordion partition wall in the lounges of buildings 1, 2 and 3 for visibility in the lobby classroom area.

Change Order #63 & #64, 04/05/05, were approved for \$96,839.00 and \$80,845.00 respectively, for revisions of the South and North Site Sidewalks. These revisions include additional sidewalks, revised sidewalk locations, thicken pavement and additional planting curbs to benefit the long term use and care of these new facilities.

Change Order #66, 04/25/05, was approved for \$58,425.31 for the installation of student mailboxes in each of the four new residence halls. **Change Order #67**, 04/25/05, was approved for \$32,716.35 for additional Life Safety Devices for the South Site to meet the UK Fire Marshall's requirements.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Student Housing - Kirwan/Blanding Commons Accessibility Upgrades

Project Number: 2153.2

Project Description:

Scope: \$555,000

This project is part of the "Student Housing Facilities" authority. The Kirwan/Blanding Residence Complex was built prior to the enactment of "The Americans with Disabilities Act." Currently, there are no rooms within the Kirwan/Blanding Complex that will accommodate a disabled student. The new Student Housing Facilities, being built east of the Kirwan/Blanding Complex, will be 100% accessible to the disabled. Students residing in the new housing facilities will utilize the Kirwan/Blanding Commons for dining and food service; thus, the need to renovate the facility prior to completion of the new residence facilities.

Consultant: James Potts Architects

Contract # A041110

Original Contract Amount:

\$48,700

Total Amendments to Date: 0

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$48,700

No amendments this quarter.

Contractor: Spectrum Contracting Services, Inc.

Contract # X501131

Original Contract Amount:

\$446,555

Total Change Orders to Date: 2

Cumulative Change Order Amount:

\$14,906

Low Bid: \$446,555

Revised Contract Amount:

\$461,461

High Bid: \$579,786

Contract Percent Complete:

76%

Number of Bids: 5

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

Student Housing - Supporting Utility Plant Upgrades

Project Number: 2153.3

Project Description:

Scope: \$1,275,000

This project includes expanding the Virginia Ave. CUP to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Consultant: AM Kinney

Contract # A041000GG

Original Contract Amount:

\$26,315

Total Amendments to Date: 0

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$26,315

No amendments this quarter.

Contractor: English Boiler & Tube Inc.

*English Boiler's contract was awarded by RFP process.

Contract # X500192

Original Contract Amount:

\$245,155

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

\$245,155

High Bid:

Contract Percent Complete:

98%

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Upgrade Fume Hoods - LC - Life Safety (Research Bldg. #3)

Project Number: 2201.0

Project Description:

Scope: \$532,000

The scope of work to be performed in this project includes installation of strobic fans, combination eyewash/showers and securing of hood sashes, installation of sprinklers throughout the building, the replacement of 30" X 60" ceiling tiles, and enclosing of the 2 stairwells.

Consultant: Biagi, Chance, Cummins, London and Titzer

Contract # A041020K

Original Contract Amount:

\$23,700

Total Amendments to Date: 0

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$23,700

No amendments this quarter.

Contractor: Tri State Fire Protection

Contract # X405017

Original Contract Amount:

\$170,000

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$170,000

Revised Contract Amount:

\$170,000

High Bid: \$204,800

Contract Percent Complete:

97%

Number of Bids: 3

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.
