

**Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, June 13, 1995.**

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, June 13, 1995 at 1:00 p.m. in the Board Room on the 18th floor of Patterson Office Tower.

**A. Meeting Opened**

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Mr. Scott Crosbie.

**B. Roll Call**

The following members of the Board of Trustees answered the call of the roll: Governor Edward T. Breathitt (Chairperson), Mr. Ted Bates, Mrs. Kay Shropshire Bell, Mr. Robert N. Clay, Mr. Scott A. Crosbie, Mr. James F. Hardymon, Professor Loys L. Mather, Dr. Robert P. Meriwether, Mrs. Elissa Plattner, Professor Deborah Powell, Mr. Steven S. Reed, Mr. C. Frank Shoop, Mrs. Marian Moore Sims, Professor John Sistarenik, Mr. William B. Sturgill, Mr. Daniel C. Ulmer, Mrs. Lois C. Weinberg, Mr. Martin Welenken, and Mr. Billy B. Wilcoxson. Absent from the meeting was Mr. Paul W. Chellgren. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors Ben W. Carr, and James W. Holsinger; Assistant Chancellor James Chapman; Vice Presidents Joseph T. Burch, Edward A. Carter, and Eugene R. Williams; Acting Vice President Delwood Collins; Assistant to the President for Administrative Affairs Robert G. Lawson; Assistant to the President for Fiscal Affairs George J. DeBin; Special Assistant for Academic Affairs Dr. Juanita Fleming; and General Counsel Mr. Richard E. Plymale.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:03 p.m.

**C. Approval of Minutes**

Governor Breathitt said that the Minutes of the Board meeting on May 2, 1995 had been distributed. Mr. Shoop moved that the Minutes be approved. Mrs. Sims seconded the motion and it carried.



D. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. UK's Community College Campaign reached a record combined total of \$22,361,176 in private gifts.
2. UK's College of Medicine ranks in the top half of medical schools with extramural funding from the National Institutes of Health.
3. The 1995 Children's Miracle Network Telethon raised a record-breaking \$815,776 for the UK Children's Hospital.

President Wethington asked the members to peruse the other significant items in the report at their leisure.

E. Strategic Plan Progress Report

President Wethington noted that each Board member had been sent a copy of the Strategic Plan Progress Report that reflects the second year of progress toward meeting the University's strategic indicators. He pointed out the 37 strategic indicators in the report and focused on selected indicators in his presentation. He reviewed the progress that the University is making in achieving the goals, noting that some goals have already been met entirely. He said that the report shows a very good year of progress and encouraged the Board to read the full report.

F. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Professor Powell moved approval. Her motion, seconded by Mr. Hardyman, carried. (See PR 2 at the end of the Minutes.)

G. Endorsement of 1995 Position Paper of the Kentucky Advocates for Higher Education, Inc. (PR 3A)

President Wethington said that PR 3A recommends that the Board give its endorsement to the 1995 Position Paper of the Kentucky Advocates for Higher Education between and among the Advocates for Higher Education, the university presidents, and the Council on Higher Education. He asked Mr. Burch to comment on the Paper.

Mr. Burch said that the Advocates consist of business and civic leaders from across the state. These leaders have developed a Position Paper which advocates improved funding for higher education. They are seeking endorsements of their position across the state from Chambers of Commerce, civic clubs, businesses and individuals. He noted that this Position Paper was developed with the assistance and support of the university presidents of the state institutions.

Governor Breathitt pointed out that the percentages of state support for higher education have been steadily declining, and the Advocates are making a valiant effort to educate the public on the critical need for adequate support for higher education. He said that this effort is very important for the State of Kentucky and urged the Board members to support the 1995 Position Paper.

Mr. Crosbie moved approval. Mrs. Weinberg seconded the motion and it passed. (See PR 3A at the end of the Minutes.)

H. Appointment of Chancellor for the Lexington Campus (PR 3B)

President Wethington said that PR 3B recommends that Elisabeth Zinser be appointed Chancellor for the Lexington Campus, effective July 1, 1995. He asked Professor Lawson to comment on the appointment.

Professor Lawson said that he was especially pleased to report on the recommendation that Dr. Elisabeth Zinser be appointed Chancellor for the Lexington Campus. Following Chancellor Hemenway's resignation earlier in the year, under the direction of the President and with the help of a committee that was comprised of faculty, staff, students and administrators, the University conducted a comprehensive national search trying to find the very best person that could be found for the position. From a long list of candidates, a group of 20 was selected for interviews. These interviews were conducted by him, the President, and a consultant who was helping with the search. He reported that five candidates were invited to the campus for visits and meetings with more than 10 groups. From that process, the advisory committee overwhelmingly selected and recommended to the President that Dr. Zinser be appointed Chancellor. The committee was delighted that President Wethington concurred with the recommendation. He briefly reviewed Dr. Zinser's impressive list of academic credentials and superb administrative experience.

President Wethington expressed pleasure in recommending that Elisabeth A. Zinser be appointed Chancellor for the Lexington Campus effective July 1, 1995.

Governor Breathitt expressed appreciation to President Wethington for giving the Board members an opportunity to meet and talk to Dr. Zinser

before the Board meeting. He commended President Wethington and the search that was rendered. He added his strong endorsement to the work done on this important search.

Professor Mather moved approval. Mr. Bates seconded the motion and it carried unanimously. Dr. Zinser was asked to stand and be recognized, following which she received a round of applause.

**I. Appointment of Board of Directors - University of Kentucky Research Foundation (3C)**

President Wethington recommended that approval be given to the appointment of Dr. Patrick McNamara (faculty member) to the Board of Directors of the University of Kentucky Research Foundation for a three-year term beginning July 1, 1995 and ending June 30, 1998; and the reappointment of Mrs. Kay S. Bell and Mrs. Marian M. Sims (Trustee members) for three-year terms beginning July 1, 1995 and ending June 30, 1998. On motion made by Mr. Welenken and seconded by Mr. Shoop, PR 3C passed. (See PR 3C at the end of the Minutes.)

**J. Appointment to Advisory Board - Lexington Community College PR 4A)**

President Wethington recommended that approval be given to the appointment of Mr. William H. Wilson to the Advisory Board of Lexington Community College for a three-year term beginning July 1, 1995 and ending June 30, 1998. He noted that Mr. Wilson replaces Mr. John Barr on this Board. Mr. Welenken moved approval. His motion, seconded by Mrs. Sims, carried. (See PR 4A at the end of the Minutes.)

**K. Change in Degree Title (PR 5A)**

President Wethington recommended that the Board approve the change in the degree title from Bachelor of Science in Agriculture for Animal Science to Bachelor of Science in Animal Science. Professor Mather moved approval. Mr. Welenken seconded the motion and it passed. (See PR 5A at the end of the Minutes.)

**L. Appointments/Reappointments to University of Kentucky Business Partnership Foundation (PR 5B)**

President Wethington recommended that approval be given to the appointment of Kim H. Burse, Joel C. Gordon and Richard M. Loughry and the reappointment of Donald O. Dulude, Lois H. Gray, James F. McDonald, and H. M. Zachem as members to the Board of Directors of the University of Kentucky Business Partnership Foundation for four-year terms beginning

immediately and extending through the Spring 1999 Board meeting. Mr. Shoop moved approval. His motion, seconded by Mrs. Sims, carried. (See PR 5B at the end of the Minutes.)

M. The Mining Engineering Foundation Distinguished Professorship (PR 5C)

President Wethington recommended that the Board approve the reappointment of Professor Joseph W. Leonard, Department of Mining Engineering, as the Mining Engineering Foundation Distinguished Professor for a three-year term effective July 1, 1995. He noted that Professor Leonard has been serving as the Distinguished Professor since July 1, 1992. Mr. Reed moved approval. Mrs. Sims seconded the motion and it passed. (See PR 5C at the end of the Minutes.)

N. Proposed New Ph.D. Program in Gerontology (PR 6A)

President Wethington said that PR 6A recommends that the Board authorize the new degree program, Ph.D. in Gerontology for submission to the Council on Higher Education.

Chancellor Holsinger said that this item is one of the most exciting things that has occurred in the graduate programs in the Medical Center in some years. This program will be centered in the Sanders Brown Center on Aging which is a university-wide Center for research and clinical work as well as education. This will be the only program in the country that focuses directly on the medical aspects of gerontology which makes it rather unique. This program will be associated with the Center for Alzheimer's Disease Research which UK has one of only 10 in the country. This is an outstanding opportunity for UK to move forward in a very vital area that effects everyone.

President Wethington said it was a pleasure to recommend that the Board authorize this new program for submission to the Council on Higher Education who has ultimate approval of new degree programs. Mrs. Bell moved approval. Mr. Reed seconded the motion and it carried. (See PR 6A at the end of the Minutes.)

O. Amendments to and Restatement of Medical Practice Plan (PR 6B)

President Wethington said that PR 6B and 6C are action items that relate to the Medical Practice Plan and the Kentucky Medical Services Foundation. He asked Professor Lawson to comment on these items.

Professor Lawson said that PR 6B relates to the Board Resolution that was passed in 1978 to create a Medical Practice Plan for faculty members who

are in the Medical Center. He said that the Plan has been amended previously and because of the Health Care Reform activity there is a need for some additional amendments to the Resolution. He reviewed the four amendments and provided an explanation of each amendment.

President Wethington recommended that the Board approve PR 6B which makes amendments to and restates the Medical Practice Plan. Dr. Meriwether moved approval. His motion was seconded by Mr. Wilcoxson and carried. (See PR 6B at the end of the Minutes.)

P. Agreement Between University of Kentucky and Kentucky Medical Services Foundation (PR 6C)

Professor Lawson said that PR 6C asks for Board approval for the agreement between the University of Kentucky and the Kentucky Medical Services Foundation, Inc. (KMSF). He explained that this entity has managed the Medical Practice Plan since it came into existence in 1978; however, it is done under a contract that extends for only one year. Each year the Board must approve the contract which expires the end of June. He reviewed the changes in the contract, noting that the underscored material in the contract is new material and the bracketed material will be removed from the contract. He also provided an explanation of the technical and substantive changes in the agreement.

President Wethington recommended that the annual agreement between the University of Kentucky and KMSF be approved. On motion made by Dr. Meriwether, seconded by Professor Powell and carried, PR 6C was approved. (See PR 6C at the end of the Minutes.)

Q. Introduction of Board Members and Chairperson of University System Senate

President Wethington introduced two new Board members who will be joining the Board July 1, 1995: Professor Jimmy Jack Miller, faculty representative from the Community College System, and Shea Chaney, President of the Student Government Association, student representative. He also introduced Professor Gretchen LaGodna, Chairperson of the University System Senate for 1995-96, who will be succeeding Professor Raymond Cox.

R. Recognition of Board Members

President Wethington recognized the Board members whose terms will be expiring June 30, 1995: Professor John Sistarenik, faculty representative from Jefferson Community College; Mr. Scott Crosbie, student representative; Mr. Dan Ulmer; and Mr. William Sturgill. He expressed

pleasure in having them as members of the Board and thanked them for their good support and hard work.

Governor Breathitt expressed his respect and appreciation to the outgoing members, following which they received a round of applause.

S. Acceptance of Interim Financial Report for the University of Kentucky for the Ten Months Ended April 30, 1995 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Finance Committee met and considered 28 items. He said that FCR 1 is the monthly report on interim financing for the ten months ending April 30, 1995. He noted that the institution is in solid financial condition. He reviewed the realized income and expenditures and moved acceptance of FCR 1. Mr. Wilcoxson seconded the motion and it passed. (See FCR 1 at the end of the Minutes.)

T. Disposal of Personal Property (FCR 2)

Mr. Hardymon moved that the Board approve the routine annual disposition of surplus equipment as described in Exhibit I. His motion, seconded by Mr. Shoop, carried. (See FCR 2 at the end of the Minutes.)

U. Designation of Depository Bank (FCR 3)

Mr. Hardymon briefed the Board on the Designation of Depository Banks and moved that the Board approve Middlesboro Federal Bank, Middlesboro, Kentucky, to serve as a depository bank for the University of Kentucky and its affiliated corporations. Mr. Reed seconded the motion and it passed. (See FCR 3 at the end of the Minutes.)

V. Report of Leases (FCR 4)

Mr. Hardymon said that FCR 4 is a report of leases less than \$30,000 annually. He commented on the lease renewals and reviewed the terms of the new lease in the report. On motion made by Mr. Hardymon, seconded by Mrs. Bell and carried, the report of the lease agreements was approved.

W. Approval of Leases (FCR 5)

Mr. Hardymon said that FCR 5 involves renewals of leases. He reported that the Finance Committee discussed the leases in detail and recommended that the Assistant to the President for Fiscal Affairs be authorized to negotiate and execute them. He moved approval of FCR 5. His motion, seconded by Mrs. Sims, passed. (See FCR 5 at the end of the Minutes.)

X. Disposition of Surplus Property (FCR 6)

Mr. Hardymon reported that FCR 6 recommends that the Assistant to the President for Fiscal Affairs be authorized to sell surplus property at 1281 Village Drive. It is a condominium donated to the University by the Varia Family Foundation, Inc. on March 1, 1994 and is valued at \$30,000 to \$40,000. He said that the Committee recommends approval and moved that FCR 6 be approved. Mr. Crosbie seconded the motion, and it carried. (See FCR 6 at the end of the Minutes.)

Y. Disposition of Surplus Property (FCR 7)

Mr. Hardymon said that FCR 7 is for an exchange of unimproved land for a permanent easement. He asked Mr. DeBin to comment on the property.

Mr. DeBin displayed a map and pointed out that this property is not part of the campus development plan. He explained that this property involves an easement needed for construction of an access road from U.S. 119 to the Southeast Community College campus. He noted that the easement will allow for greatly improved access to the College.

On motion made by Mr. Hardymon, seconded by Mr. Shoop and carried, FCR 7 was approved. (See FCR 7 at the end of the Minutes.)

Z. 1994-95 Budget Revisions (FCR 8)

Mr. Hardymon said that budget revisions are given quarterly; however, this item is presented at this time in order to provide a more accurate base for the 1995-96 budget. FCR 8 involves reimbursement to the University Hospital for services performed. These payments were received as part of the Disproportionate Share Hospital Payment Program as administered by the Cabinet for Human Resources and the University has received \$3,900,000. He explained that there is more money to come in this category, but it probably will not be received until after July 1, 1995. These funds are to support the Hospital program. He moved approval of FCR 8. Mrs. Bell seconded the motion and it carried. (See FCR 8 at the end of the Minutes.)

AA. Operating Budget for 1995-96 (FCR 9)

Mr. Hardymon said that FCR 9 is the Operating Budget for 1995-96 and asked President Wethington to make his presentation.

President Wethington noted that the Operating Budget for 1995-96 was mailed to the Board in advance. He said that individual members of the Board and the media had been briefed on the budget, and questions had been

answered. He provided a summary presentation of the budget, noting that the document does reflect as accurately as possible the proposed Operating Budget for 1995-96. This document is coming to the Board for approval in order that the University may function July 1, 1995.

Through a series of slides, he reviewed the positive aspects of the budget. He elaborated on the University's Strategic Plan priorities, the available resources and proposed uses, including fixed costs, salaries, benefits, operating expenses, and program improvement. He said that this is a solid budget and reflects the best estimate of income and proposed expenditures for 1995-96. He expressed pleasure in recommending the approval of the 1995-96 Operating Budget.

Mr. Wilcoxson moved that the budget as recommended be adopted and that the Chairperson of the Board be authorized to execute contracts with the administrative officers providing for the terms and conditions of their employment. Mr. Shoop seconded the motion and it passed. (See FCR 9 at the end of the Minutes.)

President Wethington recognized Ed Carter, Joan McCauley and their staff for their good work and praised Mr. Carter and Ms. McCauley for their fine leadership. They were asked to stand and be recognized, following which they received a round of applause.

BB. Brazeway, Inc. Pledge (FCR 10), Flynn Enterprises Inc. Pledge (FCR 11), Copar, Inc. Pledge (FCR 12), and Dana Corporation Gift and Pledge (FCR 13)

Mr. Hardymon said that he would like to present FCR 10 through 13 as one action. They involve three pledges and a gift involving the Hopkinsville Community College. There are four manufacturers who have made pledges to the Partners in Progress Campaign to be applied to the Regional Technology Center Seed Fund. He reviewed each recommendation and moved approval of FCR 10, 11, 12, and 13. Professor Sistarenik seconded the motion and it passed. (See FCR 10, 11, 12, and 13 at the end of the Minutes.)

CC. C. M. Gatton Pledge (FCR 14)

Mr. Hardymon said that FCR 14 recommends that the Board accept a pledge from C. M. "Bill" Gatton of Bristol, Tennessee, in excess of \$100,000 to benefit the College of Business and Economics. This gift is to create an endowment to benefit the College through endowed chairs, endowed professorships, teaching awards, scholarships, and faculty development. He noted that Mr. Gatton is a 1954 graduate of the College of Business and Economics. He moved acceptance of the pledge. His motion, seconded by Mr. Shoop, carried. (See FCR 14 at the end of the Minutes.)



**DD. First Kentucky Federal Savings Bank of Central City and Greenville Pledge (FCR 15)**

Mr. Hardymon said that FCR 15 recommends that the Board accept a pledge of \$100,000 from First Kentucky Federal Savings Bank of Central City and Greenville, Kentucky, to the Madisonville Community College's Partners in Progress Campaign. The gift is designated for support of the College's off-campus program in Muhlenberg County. He moved approval of FCR 15. Mrs. Weinberg seconded the motion and it passed. (See FCR 15 at the end of the Minutes.)

**EE. Arthur and Gertrude Dale Gift and Pledge (FCR 16)**

Mr. Hardymon moved that the Board accept a gift of \$25,000 and pledge of \$125,000 from Gertrude and Arthur Dale of Harlan, Kentucky, to Southeast Community College. He noted that Mrs. Dale is a former librarian at the College and this is a part of the Partners in Progress Campaign. Mr. Crosbie seconded the motion and it carried. (See FCR 16 at the end of the Minutes.)

**FF. Anne P. Baker Pledge (FCR 17)**

Mr. Hardymon said that FCR 17 is a pledge of \$100,000 from Anne P. Baker of Madisonville, Kentucky, to Madisonville Community College. It is a part of the Partners in Progress and is designated for campus improvements to enhance the College's Fine Arts Center. He moved approval. His motion, seconded by Mrs. Weinberg, passed. (See FCR 17 at the end of the Minutes.)

**GG. Establishment of Carroll W. Morrow Memorial Scholarship Fund Quasi-Endowment (FCR 18)**

Mr. Hardymon moved that the Board authorize the creation of a quasi-endowment fund to be entitled the Carroll W. Morrow Memorial Scholarship Fund. This action will allow the College to award an annual scholarship to a law student in memory of Carroll W. Morrow, a 1931 College of Law graduate. Mr. Welenken seconded the motion and it carried. (See FCR 18 at the end of the Minutes.)

**HH. Establishment of the Bert Combs Scholars Quasi-Endowment Fund (FCR 19)**

Mr. Hardymon moved that the Board authorize the creation of a quasi-endowment to be entitled the Bert Combs Scholars Quasi-Endowment Fund. Mr. Morrow was a friend of the late Governor Bert Combs and the interest generated by this quasi-endowment will be used to fund scholarships for up

to two students in each College of Law entering class. Mrs. Weinberg seconded the motion and it passed. (See FCR 19 at the end of the Minutes.)

II. Patent Assignments (FCR 20, 21, 22, 23, 24, 25, and 26)

Mr. Hardymon said that FCR 20 through 26 are Patent Assignments. He noted that there is a wide variety of assignments and the Committee had covered each Assignment in their discussions. He asked that he be allowed to review all of the Assignments and have one motion for them. He then provided a summary of each Patent Assignment and moved that the assignments be approved. His motion, seconded by Mr. Wilcoxson, carried. (See FCR 20, 21, 22, 23, 24, 25, and 26 at the end of the Minutes.)

JJ. Grant of Mortgage to EDA on Kentucky 4-H Leadership Center, Wayne County, Kentucky FCR 27)

Mr. Hardymon said that FCR 27 is a grant of a mortgage to the Economic Development Administration on Kentucky's 4-H Leadership Center in Wayne County, Kentucky. He explained that the Friends of Kentucky 4-H, Inc. received a grant in the amount of \$950,000 in 1984 to construct a building to house the Kentucky 4-H Leadership Center in Wayne County. The property was deeded to 4-H by the University in December 1984. He reported that the building is now completed and 4-H and UK have agreed that it is in the best interest of both parties that the property be reconveyed to the University at no cost. He said that the EDA wants to be sure that the University continues to use the property for the intended purpose. He moved approval of FCR 27. Mrs. Sims seconded the motion and it passed. (See FCR 27 at the end of the Minutes.)

KK. A Resolution Authorizing the Issuance of \$12,025,000 (Plus or Minus up to \$1,200,000) of University of Kentucky Consolidated Education Buildings Revenue Bonds, Series O, Dated August 1, 1995, of the Board of Trustees of the University of Kentucky (FCR 28)

Mr. Hardymon said that FCR 28 involves a bond issue for a parking garage and renovation of dental labs in the College of Dentistry. The process is to advertise these the first of August and bids will be in by August 15th. The bids will be reviewed by the Finance Committee the morning of August 15th. If the Committee approves the bids, they will be brought to the Board for approval at its August 15th meeting. He moved that the Board approve a Resolution authorizing the issuance of these bonds. His motion, seconded by Mr. Ulmer, carried. (See FCR 28 at the end of the Minutes.)

**LL. Meeting Adjourned**

There being no further business to come before the Board, the Chairperson adjourned the meeting at 3:00 p.m.

Respectfully submitted,

Lois C. Weinberg  
Secretary  
Board of Trustees

(PR 2, 3A, 3B, 3C, 4A, 5A, 5B, 5C, 6A, 6B and 6C; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, and 28 which follow are official parts of the Minutes of the meeting.)

# PR 2

Office of the President  
June 13, 1995

Members, Board of Trustees:

## PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

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Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

Central Administration

**I. BOARD ACTION**

**A. PROMOTIONS**

Vice President for Information Systems

Buckland, Gillian M., Librarian III, Research and Information Services, University Libraries, to Librarian II (with tenure), Research and Information Services, University Libraries, effective 7/1/95.

Cantagallo, Carla A., Librarian III, Business and Economics Information Center, to Librarian II (with tenure), Business and Economics Information Center, effective 7/1/95.

Hale, Barbara S., Librarian II (with tenure), Interlibrary Loans, University Libraries, to Librarian I (with tenure), Interlibrary Loans, University Libraries, effective 7/1/95.

Hickner, Paula L., Librarian III, Cataloging Department, University Libraries, to Librarian II (with tenure), Cataloging Department, University Libraries, effective 7/1/95.

McLaren, Mary K., Librarian II (with tenure), Acquisitions, University Libraries, to Librarian I (with tenure), Acquisitions, University Libraries, effective 7/1/95.

Molinaro, Mary H., Librarian III, Microlabs, University Libraries, to Librarian II (with tenure), Microlabs, University Libraries, effective 7/1/95.

Pattie, Ling-Yuh W., Librarian II (with tenure), Director's Office, University Libraries, to Librarian I (with tenure), Director's Office, University Libraries, effective 7/1/95.

Vaughn, Sarah C., Librarian III, Library Extension, University Libraries, to Librarian II (with tenure), Library Extension, University Libraries, effective 7/1/95.

Wayne, Laura L., Librarian III, Kentucky Transportation Center Library, University Libraries, to Librarian II (with tenure), Kentucky Transportation Center Library, University Libraries, effective 7/1/95.

Wilson, Patricia S., Librarian III, Agriculture Library, University Libraries, to Librarian II (with tenure), Agriculture Library, University Libraries, effective 7/1/95.

**B. RETIREMENTS**

Council on Aging

James, Roberta A., Extension Officer II, after 10 years of service, under AR II-1.6-1 Section III.B, effective 6/30/95.

**Employee Benefits**

Griffith, Martha C., Disabled Staff, Employee Benefits, after 16.75 consecutive years of service, under AR II-1.6-1 Section III.B, effective 6/30/95.

**Vice President for Information Systems**

Gibson, Norma Jean, Librarian II, Research and Information Services, University Libraries, after 40 consecutive years of service, under AR II-1.6-1 Section III.B, effective 7/31/95.

**C. POST-RETIREMENT APPOINTMENTS**

**Council on Aging**

Johnson, Bernard, Instructor (part-time), Sanders-Brown Center on Aging, 7/1/95 through 6/30/96.

**Graduate School**

Hennessey, James V., Director of the Extended-Campus Graduate Program at Paducah (part-time), 7/1/95 through 6/30/96.

**Kentucky Geological Survey**

Noger, Martin C., Geologist VII (part-time), Kentucky Geological Survey, 7/1/95 through 6/30/96.

**Office of the Vice President for Research and Graduate Studies**

McDonald, James Y., Special Assistant to the Vice President for Intellectual Properties and Sponsored Projects Activities (part-time), 7/1/95 through 6/30/96.

**II. ADMINISTRATIVE ACTION**

**A. REAPPOINTMENTS**

**Vice President for Information Systems**

Hatfield, Deborah S., Librarian III, Lexmark Technical Library, University Libraries, 7/1/95 through 6/30/96.

**B. CHANGES**

Vice President for Information Systems

Hatfield, Deborah S., from Librarian III (temporary), Lexmark Technical Library, University Libraries to Librarian III, Lexmark Technical Library, University Libraries, effective 5/1/95 through 6/30/95.

**C. LEAVES OF ABSENCE**

Vice President for Information Systems

Black, Katherine, J., Librarian II, Special Collections, University Libraries, educational leave without pay, 7/1/95 through 6/30/96.

## COMMUNITY COLLEGE SYSTEM

### I. BOARD ACTION

#### A. ACADEMIC APPOINTMENTS

##### Transfer from Maysville Community College to Prestonsburg Community College

Bishop, Yvonne, Associate Professor in the Community College System (with tenure), effective 7/1/95.

#### B. ADMINISTRATIVE APPOINTMENTS

##### Hazard Community College

Kaikumba, Francis, Assistant Professor in the Community College System, appointed Dean of Academic Affairs, effective 6/1/95.

Reed, Ron, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Humanities, Social Sciences and Related Technologies, effective 7/1/95 through 6/30/98.

Strickland, William M., Associate Professor in the Community College System (with tenure), appointed Chair, Division of Natural and Physical Sciences, effective 7/1/95 through 6/30/97.

##### Jefferson Community College

Couch, Patty, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Business and Related Technologies, Southwest Campus, effective 7/1/95 through 6/30/98.

Greenburg, Ruth, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Allied Health, Downtown Campus, effective 7/1/95 through 6/30/98.

##### Lexington Community College

Beatty, Eunice, Professor in the Community College System (with tenure), appointed Dean of Academic Affairs, effective 7/1/95.

Chittenden, C. David, Assistant Professor in the Community College System, appointed Chair, Division of Humanities and Business Technologies, 7/1/95 through 6/30/98.

Olson-Biglieri, Joanne, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Social Science and Graphics Technologies, effective 7/1/95 through 6/30/98.



Madisonville Community College

Hawkins, J. Gail, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Biological Sciences and Related Technologies, effective 7/1/95 through 6/30/98.

Stone, Forest E., Associate Professor in the Community College System (with tenure), appointed Chair, Division of Physical Sciences and Related Technologies, effective 7/1/95 through 6/30/98.

Paducah Community College

Krueger, David, Professor in the Community College System (with tenure), appointed Chair, Division of Social Science, Business, and Related Technologies, effective 7/1/95 through 6/30/98.

Wallace, Patricia, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Sciences and Related Technologies, effective 7/1/95 through 6/30/98.

Southeast Community College

Carr, M. Anne, Professor in the Community College System (with tenure), appointed Chair, Division of Communications, Humanities and Fine Arts, effective 7/1/95 through 6/30/98.

**C. PROMOTIONS**

Madisonville Community College

Hagan, Gregory D., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/95.

**D. RETIREMENTS**

Hazard Community College

Davis, Jo Ann, Instructional Specialist, under AR II-1.6-1 Section III.B, following 10 consecutive years of service, 6/30/95.

Jefferson Community College

Conkin, Barbara M., Professor in the Community College System (with tenure), under AR II-1.6-1 Section III.B, following 27.5 consecutive years of service, 6/30/95.

Paducah Community College

Lengsfeld, Dianne, Student Services Officer, under AR II-1.6-1 Section III.B, following 11.5 consecutive years of service, 5/31/95.

**E. EARLY RETIREMENTS**

Elizabethtown Community College

Wallace, Donald F., Professor in the Community College System (with tenure), under AR II-1.6-1 Section III.A.2, following 30.75 consecutive years of service, 6/30/95.

Jefferson Community College

Gilbert, Leslie M., Security Worker, under AR II-1.6-1 Section III.A.2, following 24.25 consecutive years of service, 6/16/95.

Maysville Community College

Richey, Herbert P., Professor in the Community College System (with tenure), under AR II-1.6-1 Section III.A.2, following 25.75 consecutive years of service, 6/30/95.

**F. POST RETIREMENT APPOINTMENTS**

Office of the Chancellor

Horvath, Ronald, Associate Professor in the Community College System, 8/12/95 through 12/31/95.

Elizabethtown Community College

Wallace, Donald, Professor in the Community College System, 7/1/95 through 6/30/96.

Hazard Community College

Blandau, James, Professor in the Community College System, 7/1/95 through 6/30/96.

## II. **ADMINISTRATIVE ACTION**

### A. **ACADEMIC APPOINTMENTS**

#### Jefferson Community College

Barton, Steve, Instructor in the Community College System (voluntary),  
3/1/95 through 12/31/97.

Payne, Sandra, Instructor in the Community College System (voluntary),  
3/1/95 through 12/31/97.

#### Prestonsburg Community College

Bowen, Judy K., Librarian IV in the Community College System, 5/15/95  
through 6/30/95.

#### Somerset Community College

Lewis, Kathy, Instructor in the Community College System, 8/1/95 through  
6/30/96.

Rogers, Omar G., Assistant Professor in the Community College System,  
5/2/95 through 6/30/95.

### B. **ADMINISTRATIVE APPOINTMENTS**

#### Maysville Community College

Kilgore, Tara C., Professor in the Community College System (with tenure),  
appointed Acting Chair, Division of Business and Related  
Technologies, effective 7/1/95 through 6/30/96.

#### Prestonsburg Community College

Cantrell, Etta, Professor in the Community College System (with tenure),  
appointed Acting Chair, Division of Humanities and Related Technologies,  
effective 7/1/95 through 6/30/96.

### C. **JOINT APPOINTMENTS**

#### Henderson Community College

Carson, Michelle D., Assistant Professor in the Community College System,  
joint with Owensboro Community College, 7/1/95 through 6/30/96.

Elmer, Carol E., Assistant Professor in the Community College System, joint with Owensboro Community College, 7/1/95 through 6/30/96.  
Greenwell, Georgia, Assistant Professor in the Community College System, joint with Owensboro Community College, 7/1/95 through 6/30/96.  
Jones, Mary Jane, Assistant Professor in the Community College System, joint with Owensboro Community College, 7/1/95 through 6/30/96.  
Luxner, Karla L., Assistant Professor in the Community College System, joint with Owensboro Community College, 7/1/95 through 6/30/96.  
Palmer, Carol R., Assistant Professor in the Community College System, joint with Owensboro Community College, 7/1/95 through 6/30/96.  
Richards, Nancy, Assistant Professor in the Community College System, joint with Owensboro Community College, 7/1/95 through 6/30/96.

Owensboro Community College

Lanham, Terri, Assistant Professor in the Community College System, joint with Henderson Community College, 7/1/95 through 6/30/96.  
Whitaker, Deborah K., Assistant Professor in the Community College System, joint with Henderson Community College System, 7/1/95 through 6/30/96.  
Yates, Deborah D., Assistant Professor in the Community College System, joint with Henderson Community College, 7/1/95 through 6/30/96.

**D. REAPPOINTMENTS**

Ashland Community College

Robinson, Donna, Instructor in the Community College System, 7/1/95 through 6/30/96.

Elizabethtown Community College

Allison, Eric P., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Ashman, Allen B., Librarian III in the Community College System, 7/1/95 through 6/30/96.  
Beattie, Linda, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Buck, David C., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Cantrell, Douglas, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Dixon, James, Instructor in the Community College System, 7/1/95 through 6/30/96.  
Eicher-Sherrill, Katrina, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Embry, Robin, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Fitzgerald, Linda, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Hall, Christopher, Instructor in the Community College System, 7/1/95 through 6/30/96.

Handy, Ty J., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Hart, Judy A., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Hassan, Mo N., Instructor in the Community College System, 7/1/95 through 6/30/96.

Hornback, Carla, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Huccaby, Perry, Instructor in the Community College System, 7/1/95 through 6/30/96.

Johnson, Alonzo, Instructor in the Community College System, 7/1/95 through 6/30/96.

Jones, Donald A., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

McKain, Victoria, Instructor in the Community College System, 7/1/95 through 6/30/96.

Morgan, Darla, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Nade, Ann Marie, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Nason, Dean W., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Nemes, Janice, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Nusbaumer, David, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Owens, Rebecca, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Stearns, Gary M., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Williams, Richard D., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Hazard Community College

Asher, William, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Boyer, Charles E., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Davison, Patrick, Librarian III in the Community College System, 7/1/95 through 6/30/96.

Delpont, Donna, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Gillett, Suzanne, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Globig, Sabine, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Hagans, Ludrenia, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Halcomb, Astor, Instructor in the Community College System, 7/1/95 through 6/30/96.

Hoppe, John, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Jones, Steven L., Instructor in the Community College System, 7/1/95 through 6/30/96.

Kaikumba, Francis, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Kaikumba, Sandra, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Lucero, Scott, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Mackin, Reid, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Maggard, LuAnn, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

May, Scott, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

McLeod, Norman, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Morgan-Caldwell, Venita, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Napier, Anna, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Onwubu, Emeka, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Reynolds, Scott, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Riddle, Sue, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Shaffer, Germaine, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Sizemore, Elizabeth, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Strong, Ella, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Sullivan, Deborah, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Terry, Homer, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Ubing, Christopher, Instructor in the Community College System, 7/1/95 through 6/30/96.  
Watts, Bernard, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Woods, Sherry, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Henderson Community College

Burton, Sharon K., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Carver, Mary A., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Corley, Maura L., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Fritts, David C., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Furbush, Frank, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Jackson, DeLisa, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Knecht, Michael W., Librarian IV in the Community College System, 7/1/95 through 6/30/96.  
McCarty, Steven, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Odum, James A., Instructor in the Community College System, 7/1/95 through 6/30/96.  
Reid, Kevin B., Librarian IV in the Community College System, 7/1/95 through 6/30/96.  
Tutt, Doris A., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Hopkinsville Community College

Baker, Gwendol E., Instructor in the Community College System, 7/1/95 through 6/30/96.  
Baker, Judy, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Barlow, Nelson., Instructor in the Community College System, 7/1/95 through 6/30/96.  
Denison, Karen, Librarian III in the Community College System, 7/1/95 through 6/30/96.  
Fikes-Maki, Barbara, Instructor in the Community College System, 7/1/95 through 6/30/96.  
Freeland, Flora Ellen, Instructor in the Community College System, 7/1/95 through 6/30/96  
Gharavi, Abraham, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Horton, Antoinette, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Lancaster, Kay, Instructor in the Community College Sytem, 7/1/95 through 6/30/96.  
Montgomery, Frank, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Murgas, Carmen S., Instructor in the Community College System, 7/1/95 through 6/30/96.  
Pearson, Alissa, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Rioux, Mavis, Instructor in the Community College System, 7/1/95 through 7/30/96.  
Sandifer, Dana, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Simpson, Allan, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Williams, Dana M., Instructor in the Community College System, 7/1/95 through 6/30/96.  
Wilson, Randal H., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Jefferson Community College

Bell, Donna, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Cantor, Richard R., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Charasika, Margie W., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Chieffi, April L., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Cooper, Mary Ann, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Cummins, Peggy, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.



Dockstader, Brita G., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Duncan, Carol L., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Eastham, Yvette, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Edgar, Donna W., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Ewing, Shirley R., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Gonzalez, Ruth, Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

Graney, Christopher M., Assistant Professor in the Community College  
System, 7/1/95 through 6/30/96.

Gregory, Michael, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Gross, Leonard, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Hanson, Richard, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Heyman, Patricia A., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Hill, Michael E., Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

Hoyt, Cheryl, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Irish, Nyeita M., Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

Johnson, Rafe A., Librarian III in the Community College System, 7/1/95  
through 6/30/96.

Jones, M. Douglas, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Jones, Sylvia, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Kataky, Devajyoti, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Kaufman, Gerald R., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Koach, Robert B., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Limeberry, John, Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

Long, John P., Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

Missing page(s)

Ball, Andrew, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Binzer, Michael, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Blankenship, Paul, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Carr, Sharon, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Cothran, Marian, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Flanary, Patricia, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Frisbie, Elizabeth, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Fuller, Paul E., Librarian III in the Community College System, 7/1/95 through  
6/30/96.

Galvin, Sarah, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Gil, Caroline, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Hackney, Sandra, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Hardwick, Donald, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Holt, Deborah, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Isaac-Fahey, Rebecca, Instructor in the Community College System, 7/1/95  
through 6/30/96.

James, Charles, Librarian III in the Community College System, 7/1/95  
through 6/30/96.

Liles, Tammy, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Magee, David, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Martin, Diana, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Matthews, Joanne, Instructor in the Community College System, 7/1/95  
through 6/30/96.

Mullins, Larry, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Papanicolaou, Thomas, Instructor in the Community College System, 7/1/95  
through 6/30/96.

Ripley, Michael B., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Rogers, Thomas, Instructor in the Community College System, 7/1/95 through 6/30/96.

Springate, Suzanne, Instructor in the Community College System, 7/1/95 through 6/30/96.

Story, John, Instructor in the Community College System, 7/1/95 through 6/30/96.

Swanson, Sandra, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

- Teeple, Pat L., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Wyatt, Nelda, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

#### Madisonville Community College

Adkins, Robert T., Instructor in the Community College System, 7/1/95 through 6/30/96.

Barnett, Sherry D., Instructor in the Community College System, 7/1/95 through 6/30/96.

Bowles, Gayle, Instructor in the Community College System, 7/1/95 through 6/30/96.

Cook, Sandra, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Davis, Marcella, Instructor in the Community College System, 7/1/95 through 6/30/96.

Deger, Robert J., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Edlington, Susan, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Fitzgerald, Patricia, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Girten, April, Instructor in the Community College System, 7/1/95 through 6/30/96.

Gower, Virginia, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Haydon, Kimberly B., Instructor in the Community College System, 7/1/95 through 6/30/96.

Hood, Timothy L., Instructor in the Community College System, 7/1/95 through 6/30/96.

Mabrouk, Saied, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

McLaughlin, Pam, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Mitchell, Ralph, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Moore, Bob A., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Oliver, Deborah, Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.  
Pace, Debra, Instructor in the Community College System, 7/1/95 through  
6/30/96.  
Pitts, Carol, Instructor in the Community College System, 7/1/95 through  
6/30/96.  
Polley, Virginia, Instructor in the Community College System, 7/1/95 through  
6/30/96.  
Ramsey, Eric, Instructor in the Community College System, 7/1/95 through  
6/30/96.  
Robin, Christopher, Instructor in the Community College System, 7/1/95  
through 6/30/96.  
Shifflett, George, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Stallins, M. Chantay, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Thom, Richard C., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
West, Ann E., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Whittington, Sarah S., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Maysville Community College

Boyd, Tony, Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.  
Calland, Dana T., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Denton, Laura C., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Graves, Robert L., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Kendall, Kimberly S., Instructor in the Community College System, 7/1/95  
through 6/30/96.  
Lightner, Rebecca S., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Rowbotham, John R., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Webb, Kirsten D., Instructor in the Community College System, 7/1/95  
through 6/30/96.

Owensboro Community College

Boyd, Vicki H., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Clouse, John H., Instructor in the Community College System, 7/1/95 through 6/30/96.  
Houston, James, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Patsalides, Eugenios, Instructor in the Community College System, 7/1/95 through 6/30/96.  
Tolbert, Reggie, Instructor in the Community College System, 7/1/95 through 6/30/96.  
Wallace, Abby, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Wetzel, William F., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Paducah Community College

Adkins, Rhonda, Instructor in the Community College System, 7/1/95 through 6/30/96.  
Akin, Renea, Instructor in the Community College System, 7/1/95 through 6/30/96.  
Akojie, Felix, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Block, Peggy, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Cope, Sherry, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Garrett, T. Scott, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Hlinka, Karen, Instructor in the Community College System, 7/1/95 through 6/30/96.  
Jordan, John J., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Jordan, Tracy L., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Kishel, Mary-Lee, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Lindsay, Dennice, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Mahoney, Joseph D., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Mandrell, Constance, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

McMullen, DeAnn, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Myrick, Tana, Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

Nickell, Stacey, Librarian IV in the Community College System, 7/1/95  
through 6/30/96.

Qualls, Kevin, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Reece, Anton, Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

Rudolph, Donnie, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Schaffer, Alan, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Stoffel, Claudia, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Watkins, Gerald, Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

Wolfrom, David, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

#### Prestonsburg Community College

Adam, Kelly J., Instructor in the Community College System, 7/1/95 through  
6/30/96.

Bowen, Judy K., Librarian IV in the Community College System, 7/1/95  
through 6/30/96.

Bremer, Timmy, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Briggs, Judith, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Buchanan, Jo Ann, Instructor in the Community College System, 7/1/95  
through 6/30/96.

Carrell, Charlene, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Chen, Y. Sarah, Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

Cole, Elizabeth, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Fleming, Christopher, Instructor in the Community College System, 7/1/95  
through 6/30/96.

Fuller, James L., Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

Gillis, Bill R., Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

Heywood, Timothy, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Horne, Roger, Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

Hoskins, Tametha, Instructor in the Community College System, 7/1/95  
through 6/30/96.

Jackson, Patsy, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Jacobs, Deborah, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Khanfar, Khalid, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Mahmoodian, Ladan, Instructor in the Community College System, 7/1/95  
through 6/30/96.

Mauk, Craighton, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Mulling, Craig, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Nayak, Pares, Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

Salter, Bertie, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Smith, Dwight P., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Thompson, Paul, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Vierheller, Thomas, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Watts, Randall, Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

Somerset Community College

Allen, Valerie G., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Atkinson-Bigelow, Johnna, Assistant Professor in the Community College  
System, 7/1/95 through 6/30/96.

Ballard, Linda K., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Barnes, Virgie, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Bolze, Wanda S., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Bowdan, Jean, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.



Campbell, Andrew, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Carothers, Franklin, Instructor in the Community College System, 7/1/95  
through 6/30/96.  
Dobbs, Billy W., Instructor in the Community College System, 7/1/95 through  
6/30/96.  
Grover, Alyce, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Hansel, Charles V., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
McDaniel, William C., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Rogers, Omar G., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Sargent, Steve, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Sherman, Loris, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Stanton, Oris, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Stringer, Gail, Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Southeast Community College

Austin, Marlisa R., Instructor in the Community College System, 7/1/95  
through 6/30/96.  
Barr, Edward, Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.  
Cloud, Harriet G., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Corrison, Michael, Instructor in the Community College System, 7/1/95  
through 6/30/96.  
Cox, Lynn M., Librarian IV in the Community College System, 7/1/95 through  
6/30/96.  
Edens, Janet E., Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.  
Eldridge, Brent, Instructor in the Community College System, 7/1/95 through  
6/30/96.  
Elliott, Richard, Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.  
England, Rhonda G., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.  
Fain, Dianne, Instructor in the Community College System, 7/1/95 through  
6/30/96.

Frost, Glenn E., Instructor in the Community College System, 7/1/95 through 6/30/96.

Gibson, Gary Lee, Instructor in the Community College System, 7/1/95 through 6/30/96.

Hunsucker, Sandy, Instructor in the Community College System, 7/1/95 through 6/30/96.

Lewis, Wanda, Instructor in the Community College System, 7/1/95 through 6/30/96.

Maciula, Terry A., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Mackellar, Laurie, Librarian IV in the Community College System, 7/1/95 through 6/30/96.

Marcum, Joseph S., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Omar, Saeb, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Pace, Janet M., Instructor in the Community College System, 7/1/95 through 6/30/96.

Pennell, L. Robin, Instructor in the Community College System, 7/1/95 through 6/30/96.

Reader, Larry N., Instructor in the Community College System, 7/1/95 through 6/30/96.

Richmond, Richard, Instructor in the Community College System, 7/1/95 through 6/30/96.

Scopa, Joseph A., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Simpson, Astor L., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Thomas, James D., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Vaught, James H., Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Webb, Danny J., Instructor in the Community College System, 7/1/95 through 6/30/96.

Winegardner, Karl, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Wright, Edward, Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

## **E. PROMOTIONS WITHOUT TENURE**

### **Elizabethtown Community College**

Fentress, Phyllis, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Mudd, Susan, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Sparks, Linda, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

### **Hazard Community College**

Blair, Linda C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Brunty, Helen, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Butler, Shirley, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Moon, Randall, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Shostell, Joseph, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Williams, Jenny, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

### **Henderson Community College**

Carson, Michelle, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Gary, William, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

### **Hopkinsville Community College**

Adams, Elwanda, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Jefferson Community College

- Baglan, Amy, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.
- Broadhead, Morgan R., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.
- Drogin, LaurieAnn, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.
- Gittings, Jennie M., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.
- Jones, Mary C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.
- Kilpatrick, John, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.
- Leonard, Mona, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.
- Rayburn, Nancy, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.
- Riesser, Valerie, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.
- Robertson, Else, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.
- Rodski, Peter, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.
- White, Deborah C., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.
- Whiteside, Luanne, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.
- Wood, Marilyn McNeill, from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.
- Woods, George, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Lexington Community College

Freyman, Marcia, from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/95 through 6/30/96.  
Partin, Vicki, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Roser, Lynn, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Yonts, Linda, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Madisonville Community College

Berges, Cherry L., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/95 through 6/30/96.  
Hofmann, Martha A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Wright, Thomas F., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Maysville Community College

Brandenburg, Janet W., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
DeVaughn, Gary W., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Hamm, Margo M., from Librarian IV in the Community College System to Librarian III in the Community College System, 7/1/95 through 6/30/96.  
Lott, Barbara A., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Pasley, Terry L., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.  
Quillen, Michael D., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Owensboro Community College

Gerrard, Diane E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Grant, Timothy, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Prestonsburg Community College

Duncan, Angela M., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Little, Jennifer, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Southeast Community College

Brooks, Sheila G., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Hellams, Pierce D., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/95 through 6/30/96.

McDonald, Christopher, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Spoelman, Linda, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Thomas, Katherine M., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

Wilde, James M., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/95 through 6/30/96.

**F. TERMINAL REAPPOINTMENTS**

Hazard Community College

Brunty, Helen, Assistant Professor in the Community College System, 9/1/95 through 6/30/96.

Owensboro Community College

Smith, Timothy L., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Prestonsburg Community College

Duncan, Angela M., Assistant Professor in the Community College System,  
7/1/95 through 6/30/96.

Southeast Community College

Ewing, Ben R., Assistant Professor in the Community College System, 7/1/95  
through 6/30/96.

**G. LEAVES OF ABSENCE**

Elizabethtown Community College

Hill, Martha L., Professor in the Community College System (with tenure),  
sabbatical leave at full salary for the 1995 fall semester.

Lexington Community College

Gibbs, James, Associate Professor in the Community College System (with  
tenure), sabbatical leave at one-half salary for the 1995-96 academic year.

Prestonsburg Community College

Bell, Dan E., Associate Professor in the Community College System (with  
tenure), sabbatical leave at one-half salary for the 1995-96 academic year.

## **LEXINGTON CAMPUS**

### **I. BOARD ACTION**

#### **A. ACADEMIC APPOINTMENTS**

##### **College of Business and Economics**

Ramsay, Robert J., Assistant Professor, Accountancy, 8/1/95 through 6/30/96.

##### **College of Education**

Raines, Shirley C., Professor (with tenure), Curriculum and Instruction, effective 7/1/95.

Zinser, Elisabeth A., Professor (with tenure), Educational Policy Studies and Evaluation, effective 7/1/95.

##### **College of Human Environmental Sciences**

Jolly, Laura Dunn, Associate Professor (with tenure), Interior Design, Merchandising and Textiles, effective 7/1/95.

#### **B. ADMINISTRATIVE APPOINTMENTS**

##### **College of Arts and Sciences**

Durant, David, Associate Professor (with tenure), English, and Chair, English, reappointed Chair, English, 7/1/95 through 6/30/99.

##### **College of Engineering**

Sweigard, Richard J., Associate Professor (with tenure), Mining Engineering, and Chair, Mining Engineering, reappointed Chair, Mining Engineering, 7/1/95 through 6/30/99.

Truszczyński, Mirosław, Professor (with tenure), Computer Science, and Acting Chair, Computer Science, appointed Chair, Computer Science, 7/1/95 through 6/30/99.

##### **College of Human Environmental Sciences**

Jolly, Laura Dunn, Associate Professor (with tenure), Interior Design, Merchandising and Textiles, appointed Chair, Interior Design, Merchandising and Textiles, 7/1/95 through 6/30/99.

#### **C. JOINT APPOINTMENTS**

##### **College of Arts and Sciences**

Allen, David M., Professor (with tenure), Statistics, joint appointment as Professor (without tenure), Toxicology, Graduate School, 1/1/95 through 12/31/98.

##### **College of Education**

Zinser, Elisabeth A., Professor (with tenure), Educational Policy Studies and Evaluation, joint appointment as Professor (without tenure), College of Nursing, effective 7/1/95.



#### **D. REAPPOINTMENT**

##### **College of Agriculture**

Smith, Roy A., Associate Professor\* (without tenure), Veterinary Science, 7/1/95 through 6/30/96.

#### **E. PROMOTIONS**

##### **College of Agriculture**

Harmon, David L., from Associate Professor (with tenure), Animal Sciences, to Professor (with tenure), Animal Sciences, effective 7/1/95.

Haynes, Kenneth F., from Associate Professor (with tenure), Entomology, to Professor (with tenure), Entomology, effective 7/1/95.

Hershman, Donald E., from Associate Extension Professor (with tenure), Plant Pathology, to Extension Professor (with tenure), Plant Pathology, effective 7/1/95.

Hill, Deborah B., from Associate Extension Professor (with tenure), Forestry, to Extension Professor (with tenure), Forestry, effective 7/1/95.

Lacki, Michael J., from Assistant Professor (without tenure), Forestry, to Associate Professor (with tenure), Forestry, effective 7/1/95.

Marchant, Mary A., from Assistant Professor (without tenure), Agricultural Economics, to Associate Professor (with tenure), Agricultural Economics, effective 7/1/95.

Moore, Allen J., from Assistant Professor (without tenure), Entomology, to Associate Professor (with tenure), Entomology, effective 7/1/95.

Taraba, Joseph L., from Associate Extension Professor (with tenure), Biosystems and Agricultural Engineering, to Extension Professor (with tenure), Biosystems and Agricultural Engineering, effective 7/1/95.

Vincelli, Paul, from Assistant Extension Professor (without tenure), Plant Pathology, to Associate Extension Professor (with tenure), Plant Pathology, effective 7/1/95.

Wood, Craig H., from Associate Extension Professor (with tenure), Animal Sciences, to Extension Professor (with tenure), Animal Sciences, effective 7/1/95.

Worms, Allan J., from Associate Extension Professor (with tenure), Forestry, to Extension Professor (with tenure), Forestry, effective 7/1/95.

Xiong, Youling, from Assistant Professor (without tenure), Animal Sciences, to Associate Professor (with tenure), Animal Sciences, effective 7/1/95.

##### **College of Arts and Sciences**

Bachas, Leonidas, from Associate Professor (with tenure), Chemistry, to Professor (with tenure), Chemistry, effective 7/1/95.

Bai, Zhaojun, from Assistant Professor (without tenure), Mathematics, to Associate Professor (with tenure), Mathematics, effective 7/1/95.

Callahan, Joan, from Associate Professor (with tenure), Philosophy, to Professor (with tenure), Philosophy, effective 7/1/95.

Carswell, Catherine, from Assistant Professor (without tenure), Psychology, to Associate Professor (with tenure), Psychology, effective 7/1/95.

\* Special Title Series

Chapman, James P., from Adjunct Associate Professor, Classics, to Adjunct Professor, Classics, effective 7/1/95.

de Carvalho, Susan, from Assistant Professor (without tenure), Spanish and Italian, to Associate Professor (with tenure), Spanish and Italian, effective 7/1/95.

Draper, Terrence, from Assistant Professor (without tenure), Physics and Astronomy, to Associate Professor (with tenure), Physics and Astronomy, effective 7/1/95.

Fertig, Herbert, from Assistant Professor (without tenure), Physics and Astronomy, to Associate Professor (with tenure), Physics and Astronomy, effective 7/1/95.

Fiedler, Theodore, from Associate Professor (with tenure), German, to Professor (with tenure), German, effective 7/1/95.

Gargola, Daniel, from Assistant Professor (without tenure), History, to Associate Professor (with tenure), History, effective 7/1/95.

Gordon, Fon, from Assistant Professor (without tenure), History, to Associate Professor (with tenure), History, effective 7/1/95.

Gorringe, Tim, from Assistant Professor (without tenure), Physics and Astronomy, to Associate Professor (with tenure), Physics and Astronomy, effective 7/1/95.

Green, Michael, from Associate Professor (with tenure), History, to Professor (with tenure), History, effective 7/1/95.

Holler, F. James, from Associate Professor (with tenure), Chemistry, to Professor (with tenure), Chemistry, effective 7/1/95.

Hoyle, Rick, from Assistant Professor (without tenure), Psychology, to Associate Professor (with tenure), Psychology, effective 7/1/95.

Kern, Kathi, from Assistant Professor (without tenure), History, to Associate Professor (with tenure), History, effective 7/1/95.

MacDonald, Joyce, from Assistant Professor (without tenure), English, to Associate Professor (with tenure), English, effective 7/1/95.

Mortensen, Karen, from Assistant Professor (without tenure), Mathematics, to Associate Professor (with tenure), Mathematics, effective 7/1/95.

Mortensen, Peter, from Assistant Professor (without tenure), English, to Associate Professor (with tenure), English, effective 7/1/95.

Pickles, John, from Associate Professor (with tenure), Geography, to Professor (with tenure), Geography, effective 7/1/95.

Roeder, Phillip, from Associate Professor (with tenure), Political Science, to Professor (with tenure), Political Science, effective 7/1/95.

Smith, Gregory, from Assistant Professor (without tenure), Psychology, to Associate Professor (with tenure), Psychology, effective 7/1/95.

Staben, Charles, from Assistant Professor (without tenure), Biological Sciences, to Associate Professor (with tenure), Biological Sciences, effective 7/1/95.

Torres, Lourdes, from Assistant Professor (without tenure), Spanish and Italian, to Associate Professor (with tenure), Spanish and Italian, effective 7/1/95.

Weisenburger, Steven, from Associate Professor (with tenure), English, to Professor (with tenure), English, effective 7/1/95.

Westneat, David, from Assistant Professor (without tenure), Biological Sciences, to Associate Professor (with tenure), Biological Sciences, effective 7/1/95.

#### College of Business and Economics

Scott, Frank A., from Associate Professor (with tenure), Economics, to Professor (with tenure), Economics, effective 7/1/95.

**College of Education**

de Mesquita, Paul Joseph, from Assistant Professor (without tenure), Educational and Counseling Psychology, to Associate Professor (with tenure), Educational and Counseling Psychology, effective 7/1/95.

**College of Engineering**

Kovacevic, Radovan, from Associate Professor (with tenure), Mechanical Engineering, to Professor (with tenure), Mechanical Engineering, effective 7/1/95.

Sadler, J. Peter, from Associate Professor (with tenure), Mechanical Engineering, to Professor (with tenure), Mechanical Engineering, effective 7/1/95.

Smith, Suzanne Weaver, from Assistant Professor (without tenure), Engineering Mechanics, to Associate Professor (with tenure), Engineering Mechanics, effective 7/1/95.

Yavatkar, Rajendra, from Assistant Professor (without tenure), Computer Science, to Associate Professor (with tenure), Computer Science, effective 7/1/95.

**College of Fine Arts**

Pen, Ronald A., from Assistant Professor (without tenure), Music, to Associate Professor (with tenure), Music, effective 7/1/95.

**College of Human Environmental Sciences**

Easter, Elizabeth P., from Associate Professor (with tenure), Interior Design, Merchandising and Textiles, to Professor (with tenure), Interior Design, Merchandising and Textiles, effective 7/1/95.

Hennig, Bernhard, from Associate Professor (with tenure), Nutrition and Food Science, to Professor (with tenure), Nutrition and Food Science, effective 7/1/95.

Turner, William L., from Assistant Professor (without tenure), Family Studies, to Associate Professor (with tenure), Family Studies, effective 7/1/95.

**College of Law**

Davis, Mary J., from Assistant Professor (without tenure), Law, to Associate Professor (with tenure), Law, effective 7/1/95.

Harding, Roberta M., from Assistant Professor (without tenure), Law, to Associate Professor (with tenure), Law, effective 7/1/95.

**College of Social Work**

Rompf, Elizabeth L., from Assistant Professor (without tenure), Social Work, to Associate Professor (with tenure), Social Work, effective 7/1/95.

**Graduate School**

Rodgers, Robert C., from Associate Professor (with tenure), Martin School of Public Policy and Administration, to Professor (with tenure), Martin School of Public Policy and Administration, effective 7/1/95.

## **F. RETIREMENTS**

### **College of Agriculture**

Sims, John L., Professor (with tenure), Agronomy, after 29.33 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/95.

### **Vice Chancellor for Administration**

Lyons, Raymond N., Heavy Equipment Operator, Grounds Department, Physical Plant Division, after 25.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/23/95.

Walters, Darrell, Building Operator Supervisor, Building Operators, Physical Plant Division, after 28 consecutive years of service, under Section III.B of AR II-1.6-1, effective 7/28/95.

## **G. EARLY RETIREMENTS**

### **College of Agriculture**

Evans, Kenneth O., Research Specialist, Agronomy, after 28.5 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/95.

Horton, Reba S., Program Assistant, Cooperative Extension Service, after 16.75 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/95.

Miller, Mary C., County Extension Clerk, Area Extension Service, after 21 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/95.

Mitchell, William L., Agricultural Technician I, Western Kentucky Substation, after 16.33 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 4/28/95.

Walker, Sarah D., Program Assistant, Cooperative Extension Service, after 16.75 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/95.

### **College of Arts and Sciences**

Moore, Bruce R., Associate Professor (with tenure), Geological Sciences, 26.75 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/95.

Nugent, Donald G., Associate Professor (with tenure), History, after 28.75 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/95.

### **College of Education**

Call, Barbara J., Associate Professor\* (with tenure), Kinesiology and Health Promotion, after 24.75 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/95.

Thurston, Ann T., Staff Assistant VII, Educational Policy Studies and Evaluation, after 22.75 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/95.

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\* Special Title Series

College of Engineering

Deacon, John A., Professor (with tenure), Civil Engineering, after 27.5 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/95.

Vice Chancellor for Administration

Pelfrey, Bettie M., Account Clerk V, Apartment Housing, Auxiliary Services, after 17 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/95.

Whalen, Mary A., Custodial Worker I, Custodial Services, Physical Plant Division, after 17.75 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/9/95.

**H. POST-RETIREMENTS**

College of Agriculture

Herron, James, Agronomy Specialist (part-time), Agronomy, 7/1/95 through 6/30/96.

Marshall, Andrew Jr., Laborer (part-time), Forestry, 7/1/95 through 6/30/96.

Stevens, Raymond R., Technical Technician (part-time), Agronomy, 7/1/95 through 6/30/96.

College of Arts and Sciences

Moore, Bruce R., Associate Professor (part-time), Geological Sciences, 7/1/95 through 8/31/95.

College of Engineering

Courtney, Edgar E., Engineering Technician (part-time), Kentucky Transportation Center, 7/1/95 through 6/30/96.

Southgate, Herbert F., Transportation Research Engineer (part-time), Kentucky Transportation Center, 7/1/95 through 6/30/96.

**I. POST-RETIREMENT APPOINTMENT CHANGE**

Vice Chancellor for Administration

Witt, Elvin Gene, Plumber (part-time), Plumbing Shop, Physical Plant Division, to change post-retirement date from 4/15/95 through 4/14/96, to 4/15/95 through 6/30/95.

**II. ADMINISTRATIVE ACTION**

**A. ACADEMIC APPOINTMENTS**

College of Agriculture

Chandrasekaran, Shantha N., Assistant Research Professor, Animal Sciences, 5/1/95 through 8/31/96.

Nokes, Sue E., Assistant Professor, Biosystems and Agricultural Engineering, 6/26/95 through 6/30/96.

Workman, Stephen R., Assistant Professor, Biosystems and Agricultural Engineering, 6/26/95 through 6/30/96.

#### College of Arts and Sciences

Aziabu, Yao, Assistant Professor, Political Science, 8/16/95 through 6/30/97.

Bartilow, Horace, Assistant Professor, Political Science, 8/16/95 through 6/30/97.

Gardner, Susan V., Assistant Professor, Physics and Astronomy, 8/1/95 through 6/30/97.

Segal, Jennifer A., Assistant Professor, Political Science, 8/1/95 through 6/30/97.

Wiant, Terry L., Assistant Professor, Military Science, 7/1/95 through 6/30/98.

#### College of Business and Economics

Ferrier, Walter J., Instructor, Management, 8/1/95 through 6/30/96.

Goldman, Arthur H., Assistant Professor\*, Accountancy, 8/1/95 through 6/30/96.

#### College of Education

Lahm, Elizabeth A., Assistant Professor, Special Education, 8/16/95 through 6/30/97.

#### College of Engineering

Knutson, Barbara L., Assistant Professor, Chemical and Materials Engineering, 7/1/95 through 6/30/97.

#### College of Social Work

Rolland, Susanne M., Assistant Professor (part-time), Social Work, 8/16/95 through 5/15/96.

#### Graduate School

Murray, Sheila, Assistant Professor, Martin School of Public Policy and Administration, 8/16/95 through 5/15/96.

Talbert, Jeffery C., Assistant Professor, Martin School of Public Policy and Administration, 8/16/95 through 5/15/96.

### **B. REAPPOINTMENTS**

#### College of Agriculture

Barney, Robert J., Adjunct Assistant Professor, Entomology, 7/1/95 through 6/30/96.

Bessin, Ricardo T., Assistant Extension Professor, Entomology, 7/1/95 through 6/30/96.

Crankshaw, Ned, Assistant Professor, Horticulture and Landscape Architecture, 7/1/95 through 6/30/96.

Dong, Ke, Assistant Research Professor, Entomology, 7/1/95 through 6/30/96.

He, Sheng Yang, Assistant Professor, Plant Pathology, 7/1/95 through 8/31/95.

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\* Special Title Series

Hunt, Randy E., Adjunct Assistant Professor, Entomology, 7/1/95 through 6/30/96.  
Layne, Desmond R., Adjunct Assistant Professor, Horticulture and Landscape Architecture, 7/1/95 through 6/30/96.  
Rowell, Albert Brent, Assistant Extension Professor, Horticulture and Landscape Architecture, 7/1/95 through 6/30/96.  
Sedlacek, John D., Adjunct Assistant Professor, Entomology, 7/1/95 through 6/30/96.  
Webb, Bruce A., Assistant Professor, Entomology, 7/1/95 through 6/30/96.  
Weston, Paul A., Adjunct Assistant Professor, Entomology, 7/1/95 through 6/30/96.

#### College of Arts and Sciences

Barron, Susan, Assistant Professor, Psychology, 7/1/95 through 6/30/97.  
Bosch, Anna R., Assistant Professor, English, 7/1/95 through 6/30/96.  
Brodie, Edmund D., Assistant Professor, Biological Sciences, 7/1/95 through 6/30/97.  
Campbell, F. Diane, Instructor (temporary), English, 7/1/95 through 6/30/96.  
Carter, Michael, Instructor (temporary), English, 7/1/95 through 6/30/96.  
Chen, Zhe, Assistant Professor, Psychology, 7/1/95 through 6/30/98.  
Donesky, Finlay, Assistant Professor, English, 7/1/95 through 6/30/96.  
Ehrman, James F., Visiting Assistant Professor, German, 8/1/95 through 6/30/96.  
Finney, Nikky, Assistant Professor, English, 7/1/95 through 6/30/97.  
Fragd, Lula M., Assistant Professor, English, 7/1/95 through 6/30/97.  
Grev, Roger S., Assistant Professor, Chemistry, 7/1/95 through 6/30/97.  
Harling, Philip R., Assistant Professor, History, 7/1/95 through 6/30/97.  
Howell, Paul, Assistant Professor\*, Geological Sciences, 7/1/95 through 6/30/97.  
Hunt, Scott A., Assistant Professor, Sociology, 7/1/95 through 6/30/97.  
Irvin, Cynthia L., Assistant Professor, Political Science, 7/1/95 through 6/30/97.  
Jefferies, Richard W., Assistant Professor, Anthropology, 7/1/95 through 6/30/96.  
Kaiser, Jo Ellen, Assistant Professor, English, 7/1/95 through 6/30/97.  
Miller, Penny, Assistant Professor\*, Political Science, 7/1/95 through 6/30/96.  
Mirabito, Peter, Assistant Professor, Biological Sciences, 7/1/95 through 6/30/97.  
Moecher, David P., Assistant Professor, Geological Sciences, 7/1/95 through 6/30/97.  
Nyerges, A. Endre, Assistant Professor, Anthropology, 7/1/95 through 6/30/97.  
Perring, Christian D., Assistant Professor (temporary), Philosophy, 7/1/95 through 6/30/96.  
Prats, Judith G., Instructor (temporary), English, 7/1/95 through 6/30/96.  
Ramanathan, Subathra, Assistant Professor\*, Statistics, 7/1/95 through 6/30/97.  
Riggle, Ellen D., Assistant Professor, Political Science, 7/1/95 through 6/30/96.  
Roberts, Susan M., Assistant Professor, Geography, 7/1/95 through 6/30/97.  
Ruder, Cynthia A., Assistant Professor, Russian and Eastern Studies, 7/1/95 through 6/30/96.  
Scaife, Allen Ross, Assistant Professor, Classics, 7/1/95 through 6/30/97.  
Sheard, Cynthia Miecznikowski, Assistant Professor, English, 7/1/95 through 6/30/97.  
Stapleton, Kristin E., Assistant Professor, History, 7/1/95 through 6/30/97.  
Stromberg, Arnold J., Assistant Professor, Statistics, 7/1/95 through 6/30/96.  
Talley, Clarence R., Assistant Professor, Sociology, 7/1/95 through 6/30/97.  
Torecki, Robert, Assistant Professor, Chemistry, 7/1/95 through 6/30/97.  
Tri, Mary Kathryn, Instructor (temporary), English, 7/1/95 through 6/30/96.  
Udvardy, Monica, Assistant Professor\*, Anthropology, 7/1/95 through 6/30/97.

Wetter, Martha W., Assistant Professor, Psychology, 7/1/95 through 6/30/96.  
Yan, Hong Y., Assistant Professor, Biological Sciences, 7/1/95 through 6/30/97.

College of Business and Economics

Keep, William W., Assistant Professor, Management, 7/1/95 through 6/30/96.  
Sundaramurthy, Chamundeswari, Assistant Professor, Management, 7/1/95 through 6/30/96.  
Tepper, Kelly L., Assistant Professor, Management, 7/1/95 through 6/30/96.

College of Communications and Information Studies

Bronson, Harold L., Assistant Professor, Communication, 7/1/95 through 6/30/96.  
DeSantis, Alan Douglas, Assistant Professor\*, Communication, 7/1/95 through 6/30/96.  
Finn, T. Andrew, Associate Professor (without tenure), Journalism and Telecommunications, 7/1/95 through 6/30/96.  
Harrington, Nancy Grant, Assistant Professor, Communication, 7/1/95 through 6/30/96.  
Hosbein, Cindy J., Assistant Professor\*, Journalism and Telecommunications, 7/1/95 through 6/30/96.  
Roth, Richard L., Assistant Professor\*, Journalism and Telecommunications, 7/1/95 through 6/30/96.

College of Education

Parker, Steve Robert, Assistant Professor\*, Kinesiology and Health Promotion, 7/1/95 through 6/30/96.  
Wright, Lynda B., Assistant Professor, Educational and Counseling Psychology, 7/1/95 through 6/30/96.

College of Fine Arts

Bibbs, Garry, Assistant Professor, Art, 7/1/95 through 6/30/96.  
Braun, Michael, Assistant Professor, Art Administration Program, 7/1/95 through 6/30/96.  
Christ, Alice T., Assistant Professor, Art, and joint appointment as Assistant Professor, Honors, 7/1/95 through 6/30/96.  
Clary, Richard S., Assistant Professor\*, Music, 7/1/95 through 6/30/96.  
Everson, Terry R., Assistant Professor\*, Music, 7/1/95 through 6/30/96.  
Friedman, Michael B., Assistant Professor, Theatre, 7/1/95 through 6/30/96.  
Kac, Eduardo, Assistant Professor, Art, 7/1/95 through 6/30/96.  
Karp, Benjamin, Assistant Professor\*, Music, 7/1/95 through 6/30/96.  
King, Stephen, Assistant Professor\*, Music, 7/1/95 through 6/30/96.  
Pinkney, A. Jackson, Assistant Professor\*, Theatre, 7/1/95 through 6/30/96.  
Richards, Allan G., Assistant Professor, Art, 7/1/95 through 6/30/96.  
Scroggins, Robert, Assistant Professor, Art, 7/1/95 through 6/30/96.  
Unsel, Teresa, Assistant Professor, Art, 7/1/95 through 6/30/96.



College of Human Environmental Sciences

Addo, Kwaku, Assistant Professor, Nutrition and Food Science, 7/1/95 through 6/30/96.

Barth, M. Margaret, Assistant Professor, Nutrition and Food Science, 7/1/95 through 6/30/96.

Brown, Desmond O., Assistant Professor\*, Nutrition and Food Science, 7/1/95 through 6/30/96.

Miller-Spillman, Kimberly, Assistant Professor, Interior Design, Merchandising and Textiles, 7/1/95 through 6/30/96.

Okorley, Maria Anastasakis, Assistant Professor, Interior Design, Merchandising and Textiles, 7/1/95 through 6/30/96.

Portillo, Margaret B., Assistant Professor, Interior Design, Merchandising and Textiles, 7/1/95 through 6/30/96.

Smith, Teresa T., Assistant Professor\*, Nutrition and Food Science, 7/1/95 through 6/30/96.

**C. TERMINAL REAPPOINTMENTS**

College of Arts and Sciences

Majidi, Vahid, Assistant Professor, Chemistry, 7/1/95 through 6/30/96.

College of Communications and Information Studies

Reynolds, Nancy J., Assistant Professor, Journalism and Telecommunications, 7/1/95 through 6/30/96.

College of Engineering

Ballinger, Robert S., Assistant Professor, Mechanical Engineering, 7/1/95 through 6/30/96.

**D. LEAVES OF ABSENCE**

College of Arts and Sciences

Bialecki, Bernard, Associate Professor (with tenure), Mathematics, Leave without pay, Fall Semester 1995 and Spring Semester 1996.

Impey, Michael H., Associate Professor (with tenure), Spanish and Italian, Sabbatical leave with full salary, Fall Semester 1995.

College of Engineering

Rose, Jerry G., Professor (with tenure), Civil Engineering, Sabbatical leave with half salary, Fall Semester 1995 and Spring Semester 1996.

College of Fine Arts

Pen, Ronald A., Associate Professor (with tenure), Music, Sabbatical leave with half salary, Spring Semester 1996 and Fall Semester 1996.

\* Special Title Series

College of Law

Fortune, William H., Professor (with tenure), Law, Sabbatical leave with full salary, Fall Semester 1995.

**E. LEAVES OF ABSENCE CHANGE**

College of Arts and Sciences

Blum, Virginia, Associate Professor (with tenure), English, to change Sabbatical leave with full salary, Fall Semester 1995 to Sabbatical leave with half salary, Fall Semester 1995 and Spring Semester 1996.

## **MEDICAL CENTER**

### **I. BOARD ACTION**

#### **A. ACADEMIC APPOINTMENTS**

##### College of Medicine

Barnhill, John, Clinical Assistant Professor, Psychiatry, 7/15/95 through 6/30/96.  
Beard, Jeffrey S., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.  
Kline, Michael J., Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/95 through 6/30/96.  
Kohrs, Frank, Assistant Professor, Family Practice, 7/1/95 through 6/30/96.  
Lower, Fritz E., Clinical Assistant Professor, Pathology and Laboratory Medicine, 8/1/95 through 6/30/96.  
Papanikolaou, Nikos, Clinical Assistant Professor, Radiation Medicine, 6/1/95 through 6/30/95.  
Popham, Julia A., Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.  
Prince, Timothy S., Assistant Professor, Preventive Medicine and Environmental Health, 7/1/95 through 6/30/96.  
Pugh, Kevin, Assistant Professor, Surgery, 8/7/95 through 6/30/96.  
Shearer, Mary E., Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.  
Sihau, David W., Clinical Assistant Professor, Internal Medicine, 8/15/95 through 6/30/96.  
Weinstein, Michael H., Assistant Professor, Pathology and Laboratory Medicine, 7/1/95 through 6/30/96.  
Yannelli, John R., Associate Professor (without tenure), Internal Medicine, 7/1/95 through 6/30/96.

#### **B. ADMINISRATIVE APPOINTMENTS**

##### College of Allied Health Professions

Boissonneault, Gilbert A., Associate Professor (with tenure), Clinical Sciences, named Chair, Clinical Sciences, 7/1/95 through 6/30/99.

### C. JOINT APPOINTMENTS

#### College of Medicine

Bosomworth, Peter P., Professor (with tenure), Anesthesiology, joint appointment as Professor (without tenure), College of Allied Health Professions, Department of Health Services, effective 6/14/95.

### D. REAPPOINTMENTS

#### College of Allied Health Professions

Motzer, Earl J., Associate Adjunct Professor, Health Services, 7/1/95 through 6/30/96.

#### College of Dentistry

Lewis, Gene P., Associate Professor (part-time), Oral Health Science, 7/1/95 through 6/30/96.

#### College of Medicine

Bachner, Paul, Clinical Professor, Pathology and Laboratory Medicine, 7/1/95 through 6/30/96.

Branch, Leslie B., Associate Professor (part-time), Internal Medicine, 7/1/95 through 6/30/96.

Calico, Forrest W., Associate Professor (part-time), Family Practice, 7/1/95 through 6/30/96.

Chasen, Craig A., Clinical Associate Professor, Internal Medicine, 7/1/95 through 6/30/96.

Chien, Sufan C., Associate Research Professor, Surgery, 7/1/95 through 6/30/96.

Faugere, Marie-Claude, Associate Research Professor, Internal Medicine, joint appointment as Associate Research Professor, Pathology and Laboratory Medicine, 7/1/95 through 6/30/96.

Fleischman, Roger A., Associate Professor (without tenure), Internal Medicine, 7/1/95 through 6/30/96.

Foulks, Edward, Professor (part-time), Psychiatry, 7/1/95 through 6/30/96.

Garden, John W., Professor (part-time), Ophthalmology, 7/1/95 through 6/30/96.

Gerson, Kenneth L., Associate Professor (part-time), Pediatrics, 7/1/95 through 6/30/96.

Getchell, Marilyn L., Research Professor, Surgery, 7/1/95 through 6/30/96.

Glenn, James F., Professor (part-time), Surgery, 7/1/95 through 6/30/96.

Griffen, Ward O., Professor (part-time), Surgery, 7/1/95 through 6/30/96.

Hager, W D., Professor (part-time), Obstetrics and Gynecology, 7/1/95 through 6/30/96.

Halmi, Katherine, Professor (part-time), Psychiatry, 7/1/95 through 6/30/96.

Johnson, James E., Associate Professor (part-time), Preventive Medicine and Environmental Health, 7/1/95 through 6/30/96.

Kielar, Richard A., Professor (part-time), Ophthalmology, 7/1/95 through 6/30/96.

Leavell, Ullin W., Professor (part-time), Internal Medicine, 7/1/95 through 6/30/96.

Lester, Robert L., Professor (part-time), Biochemistry, 7/1/95 through 6/30/96.

MacFarlane, Noble T., Professor (part-time), Pediatrics, joint appointment as Professor (part-time), Physical Medicine and Rehabilitation, 7/1/95 through 6/30/96.

Maguire, Grace F., Associate Professor (part-time), Pediatrics, 7/1/95 through 6/30/96.

Mankad, Aparna V., Associate Professor (part-time), Anesthesiology, 7/1/95 through 6/30/96.

Mayer, Lloyd D., Professor (part-time), Internal Medicine, 7/1/95 through 6/30/96.

McCormick, James R., Professor\* (without tenure), Internal Medicine, 7/1/95 through 8/31/95.

McCormick, Malkanthie I., Clinical Associate Professor, Internal Medicine, 7/1/95 through 6/30/96.

McKeown, John W., Clinical Associate Professor, Internal Medicine, 7/1/95 through 6/30/96.

Mersack, Ira D., Associate Professor (part-time), Internal Medicine, 7/1/95 through 6/30/96.

Miller, Ralph, Clinical Associate Professor, Internal Medicine, 7/1/95 through 6/30/96.

Muller, Sybille, Associate Research Professor, Internal Medicine, 7/1/95 through 6/30/96.

Ngai, Bernard C., Clinical Associate Professor, Internal Medicine, 7/1/95 through 6/30/96.

Pavlik, Edward J., Associate Research Professor, Obstetrics and Gynecology, 7/1/95 through 6/30/96.

Prasad, M. R., Associate Research Professor, Surgery, 7/1/95 through 6/30/96.

Purcell, Marguerite, Associate Adjunct Professor, Diagnostic Radiology, 7/1/95 through 6/30/96.

Ralph, Donald E., Clinical Professor, Psychiatry, joint appointment as Professor (without tenure), College of Arts and Sciences Department of Psychology, 7/1/95 through 6/30/96.

Ranjan, Dinesh, Associate Professor (without tenure), Surgery, 7/1/95 through 6/30/96.

Reece, Donna E., Associate Professor (without tenure), Internal Medicine, 7/1/95 through 6/30/96.

Roach, Benjamin F., Professor (part-time), Family Practice, 7/1/95 through 6/30/96.

Scherl, Eileen R., Clinical Professor, Internal Medicine, 7/1/95 through 6/30/96.

Schmeisser, Elmar T., Associate Research Professor, Ophthalmology, 7/1/95 through 6/30/96.

Schossor, Robert H., Associate Professor\* (without tenure), Internal Medicine, 7/1/95 through 6/30/96.

Simmons, Guy H., Professor (part-time), Diagnostic Radiology, 7/1/95 through 6/30/96.

Sloss, Robert R., Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/95 through 6/30/96.

Stevens, David B., Professor (part-time), Surgery, joint appointment as Professor (part-time), Physical Medicine and Rehabilitation, 7/1/95 through 6/30/96.

Stone, Charles K., Associate Professor\* (without tenure), Emergency Medicine, 7/1/95 through 6/30/96.

Turner, H. S., Clinical Professor, Preventive Medicine and Environmental Health, 7/1/95 through 6/30/96.

Vandenbrink, Keith D., Clinical Associate Professor, Surgery, 7/1/95 through 6/30/96.

Walker, Betty A., Associate Professor\* (without tenure), Family Practice, 7/1/95 through 6/30/96.

Witzke, Donald B., Associate Research Professor, Pathology and Laboratory Medicine, 7/1/95 through 6/30/96.

Wolf, Betty L., Clinical Associate Professor, Pediatrics, 7/1/95 through 6/30/96.

Zimmerman, Rick S., Associate Professor (without tenure), Behavioral Science, joint appointment as Associate Professor (without tenure), College of Arts and Sciences, Department of Sociology, 7/1/95 through 6/30/96.

#### College of Nursing

King, Marilyn G., Associate Professor\* (without tenure), 7/1/95 through 6/30/96.

#### College of Pharmacy

Gairola, Chandra G., Research Professor, joint appointment as Research Professor, Graduate Center for Toxicology, 7/1/95 through 6/30/96.

Kadaba, Pankaja K., Professor (part-time), 7/1/95 through 6/30/96.

Liter, Melvin E., Adjunct Professor, 7/1/95 through 6/30/96.

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\*Special Title Series

Parker, Chester L., Associate Professor (part-time), 7/1/95 through 6/30/96.

Wermeling, Daniel P., Associate Research Professor, 7/1/95 through 6/30/96.

#### **E. TENURE**

##### College of Medicine

Ashford, J. W., Associate Professor (without tenure), Psychiatry, joint appointment as Associate Professor (without tenure), Neurology to Associate Professor (with tenure), Psychiatry, joint appointment as Associate Professor (without tenure), Neurology, effective 7/1/95.

#### **F. PROMOTIONS**

##### College of Allied Health Professions

Gairola, Gerry A., Associate Professor (with tenure), Health Services to Professor (with tenure), Health Services, effective 7/1/95.

Lee, Joel M., Associate Professor\* (with tenure), Health Services to Professor\* (with tenure), Health Services, effective 7/1/95.

##### College of Dentistry

Beeman, Cynthia S., Assistant Professor, Oral Health Practice to Associate Professor (with tenure), Oral Health Practice, effective 7/1/95.

Williamson, Russell T., Assistant Professor\*, Oral Health Practice to Associate Professor\* (with tenure), Oral Health Practice, effective 7/1/95.

##### College of Medicine

Donnelly, Michael, Associate Research Professor, Surgery to Research Professor, Surgery, 7/1/95 through 6/30/96.

#### **G. CHANGES**

##### College of Medicine

Bruce, Margaret C. from Associate Research Professor, Pediatrics, joint appointment as Associate Research Professor, Physiology to Associate Professor (with tenure), Pediatrics, joint appointment as Associate Professor (without tenure), Physiology, effective 7/1/95.

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\*Special Title Series

## H. RETIREMENTS

### College of Medicine

Boettner, Robert B., Professor (with tenure), Anesthesiology, after 19.0 consecutive years of service, under AR II-1.6-1 Section III.B, effective 6/30/95.

Feola, Jose M., Associate Research Professor, Radiation Medicine, after 20.0 consecutive years of service, under AR II-1.6-1 Section III.B, effective 6/30/95.

Mabry, C. Charlton, Professor (with tenure), Pediatrics, joint appointment as Professor (without tenure), Pathology and Laboratory Medicine, after 34.25 consecutive years of service, under AR II-1.6-1 Section III.B, effective 8/31/95.

Mandelstam, Paul, Professor (with tenure), Internal Medicine, after 34.0 consecutive years of service, under AR II-1.6-1 Section III.B, effective 4/30/95.

### University Hospital

Fain, Mary, Transport Aide, Radiation Medicine, after 28.5 consecutive years of service, under AR II-1.6-1 Section III.B, effective 7/21/95.

## I. EARLY RETIREMENTS

### Office of the Chancellor

Stanley, Dolores O., Medical Assistant II, University Health Service, after 18.5 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 6/23/95.

### College of Dentistry

Cloyd, Mildred W., Staff Assistant VII, Periodontics, after 26.75 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 6/30/95.

### College of Medicine

Neilsen, Audrey, Administrative Assistant I, Center on Aging, after 15.0 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 7/12/95.

Selke, Albert C., Professor\* (with tenure), Diagnostic Radiology, joint appointment as Professor\* (without tenure), Pediatrics, after 24.0 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 8/11/95.

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\*Special Title Series



University Hospital

Hatfield, Nila, Patient Clerical Assistant, Patient Clerical Services, after 31.5 consecutive years of service, under AR II-1.6-1 Section III.A.2. effective 7/07/95.

Mack, Gail R., Lead Systems Analyst/Programmer, Applications Development/Support, after 21.3 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 6/16/95.

**J. POST-RETIREMENT APPOINTMENTS**

College of Medicine

Boettner, Robert B., Professor (part-time), Anesthesiology, 7/1/95 through 6/30/96.

Fischer, Doane, Professor (part-time), Pediatrics, 7/1/95 through 6/30/96.

Valle, Sylvia, Histology Technician, Pathology and Laboratory Medicine, 6/1/95 through 6/30/95 and 7/1/95 through 6/30/96.

Office of the Chancellor

Bolton, Bronell, Shuttle Driver I, Safety, Security and Parking, 5/1/95 through 6/30/95 and 7/1/95 through 6/30/96.

Offutt, Jesse, Security Worker I, Safety, Security and Parking, 7/1/95 through 6/30/96.

**II. ADMINISTRATIVE ACTION**

**A. ACADEMIC APPOINTMENTS**

College of Allied Health Professions

Allen, Jackie, Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.

Baker, Doris J., Assistant Professor\*, Clinical Sciences, 8/1/95 through 6/30/96.

Brinegar, Carol A., Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.

Easterling, Teresa, Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.

Haygood, Johnnie F., Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.

Horn, Donna G., Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.

Ison, Debra L., Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.

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\*Special Title Series

Lusby, Barbara P., Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.  
Nyland, John A., Assistant Professor, Clinical Sciences, 7/1/95 through 6/30/96.  
Parrott, Gwendolyn J., Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.  
Peavie-Gregory, Linda F., Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.  
Reiser, Teresa A., Instructor (voluntary), Clinical Sciences, 6/17/95 through 6/30/97.  
Rinehardt, Scott, Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.  
Scherrer, Jan M., Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.  
Scott, Donna B., Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.  
Senior, Christina, Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.  
Smith, Eric, Instructor (voluntary), Clinical Sciences, 8/16/95 through 6/30/97.  
Street, Anne F., Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.  
Vaughn, Teresa, Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.  
Williams, Theresa M., Instructor (voluntary), Clinical Sciences, 6/14/95 through 6/30/97.

College of Dentistry

Adkins, Robert S., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Ballard, Billy C., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Brown, Marilyn G., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Campbell, Roger D., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Cash, Clark D., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Caudill, Jim S., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Clements, John H., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Durbin, Douglas D., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Fowler, Catherine, Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.

Hensley, Ben H., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Holmes, Scott E., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Kelly, Huong D., Assistant Professor (part-time), Oral Health Practice, 7/1/95 through 6/30/96.  
Mason, John I., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Ramey, L. G., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Rogers, Kathleen A., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Sanders, Brian J., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Smith, Edwin E., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Tarantino, Ernest E., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.  
Wallace, Kenneth P., Instructor (voluntary), Oral Health Science, 6/14/95 through 6/30/97.

College of Medicine

Alatassi, Emad, Assistant Professor (voluntary), Internal Medicine, 6/14/95 through 6/30/97.  
Breeding, Larry T., Instructor (part-time), Internal Medicine, 7/1/95 through 6/30/96.  
Buker, John L., Assistant Professor (voluntary), Surgery, 6/14/95 through 6/30/97.  
Cooke, Robert G., Clinical Instructor, Obstetrics and Gynecology, 7/1/95 through 6/30/96.  
Cottrell, Leslie T., Assistant Professor (voluntary), Family Practice, 6/14/95 through 6/30/97.  
Davenport, Robert A., Assistant Professor (voluntary), Family Practice, 6/14/95 through 6/30/97.  
Graul, William J., Assistant Professor (voluntary), Family Practice, 6/14/95 through 6/30/97.  
Hall, Hugh, Assistant Professor (voluntary), Family Practice, 6/14/95 through 6/30/97.  
Hong, Peter, Assistant Professor (voluntary), Family Practice, 6/14/95 through 6/30/97.  
Jurich, N. R., Assistant Professor (part-time), Family Practice, 7/1/95 through 6/30/96.  
Katz, Richard N., Clinical Assistant Professor, Ophthalmology, 7/1/95 through 6/30/96.  
Koury, Shaheed L., Assistant Professor (part-time), Emergency Medicine, 7/1/95 through 6/30/96.

Leslie, Larry M., Assistant Professor (part-time), Family Practice, 7/1/95 through 6/30/96.

Marlowe, Wayne A., Assistant Professor (voluntary), Family Practice, 6/14/95 through 6/30/97.

Marshall, Kenneth E., Assistant Professor (voluntary), Family Practice, 6/14/95 through 6/30/97.

Marwit, David J., Assistant Professor (voluntary), Family Practice, 6/14/95 through 6/30/97.

McBrayer, Frank, Assistant Professor (voluntary), Family Practice, 6/14/95 through 6/30/97.

McCay, Thomas E., Assistant Professor (voluntary), Emergency Medicine, 6/14/95 through 6/30/97.

O'Halloran, Henry S., Clinical Assistant Professor, Ophthalmology, 7/24/95 through 6/30/96.

Pearson, Terry A., Clinical Assistant Professor, Physical Medicine and Rehabilitation, 6/15/95 through 6/30/95.

Pratt, Gerald R., Assistant Professor (voluntary), Family Practice, 6/14/95 through 6/30/97.

Roberts, Lonnie W., Assistant Professor (voluntary), Internal Medicine, 6/14/95 through 6/30/97.

Seifert, Brent W., Instructor (part-time), Internal Medicine, 7/1/95 through 6/30/96.

Stephens, Scott, Instructor (part-time), Internal Medicine, 7/1/95 through 6/30/96.

Sundaram, Raghu R., Assistant Professor (part-time), Internal Medicine, 7/1/95 through 6/30/96.

Ueland, Frederick R., Clinical Instructor, Obstetrics and Gynecology, 7/1/95 through 6/30/96.

Waldman, S. R., Assistant Professor (voluntary), Family Practice, 6/14/95 through 6/30/97.

Webb, Nancy R., Adjunct Instructor, Internal Medicine, 7/1/95 through 6/30/96.

Xu, Fadi, Assistant Research Professor, Physiology, 6/1/95 through 6/30/95.

#### College of Nursing

Easton, C. Diane, Instructor (voluntary), 6/14/95 through 6/30/97.

Hines-Martin, Vicki P., Assistant Professor, 7/1/95 through 6/30/96.

Hooper, Susan J., Instructor (voluntary), 7/1/95 through 6/30/97.

Kral, Paula R., Instructor (part-time), 8/1/95 through 6/30/96.

#### College of Pharmacy

Nowak-Rapp, Margaret, Assistant Adjunct Professor, 7/1/95 through 6/30/96.

Rogers, Chen Y., Clinical Assistant Professor, 4/1/95 through 6/30/95.

## **B. ADMINISTRATIVE APPOINTMENTS**

### College of Dentistry

Lillich, Thomas T.. Professor (with tenure). Oral Health Science, named Associate Dean, effective 6/14/95.

## **C. REAPPOINTMENTS**

### College of Allied Health Professions

Botkin, John R., Assistant Adjunct Professor, Health Services, 7/1/95 through 6/30/96.

Bryant, Jane G., Assistant Adjunct Professor, Clinical Sciences, 7/1/95 through 6/30/96.

Cluff, Patricia L., Adjunct Instructor, Health Services, 7/1/95 through 6/30/96.

Fahringer, David A., Assistant Professor\*, Health Services, 7/1/95 through 6/30/96.

Huff, Frank R., Assistant Adjunct Professor, Health Services, 7/1/95 through 6/30/96.

Hurley, Douglas E., Assistant Adjunct Professor, Health Services, 7/1/95 through 6/30/96.

Lloyd, Brenda M., Adjunct Instructor, Clinical Sciences, 7/1/95 through 6/30/96.

Main, Karen A., Assistant Research Professor, Health Services, 7/1/95 through 6/30/96.

Molinari, Carol A., Assistant Professor, Health Services, 7/1/95 through 6/30/96.

O'Keefe, Joan A., Assistant Professor, Clinical Sciences, 7/1/95 through 6/30/96.

Overman, Sue B., Assistant Adjunct Professor, Clinical Sciences, 7/1/95 through 6/30/96.

Peduzzi, Joanne E., Adjunct Instructor, Clinical Sciences, 7/1/95 through 6/30/96.

Perritt, Lea J., Assistant Professor (part-time), Health Services, 7/1/95 through 6/30/96.

Rapp, Doris A., Assistant Professor\*, Health Services, 7/1/95 through 6/30/96.

Schwarz, Bradford W., Instructor\*, Health Services, 7/1/95 through 6/30/96.

Sims, Priscilla, Adjunct Instructor, Clinical Sciences, 7/1/95 through 6/30/96.

Werkmeister, Kelly J., Assistant Adjunct Professor, Health Services, 7/1/95 through 6/30/96.

Wojtowicz, GERALYN M., Clinical Assistant Professor, Clinical Sciences, 7/1/95 through 6/30/96.

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\*Special Title Series

College of Dentistry

Casada, Jane P., Assistant Professor (part-time), Oral Health Practice, 7/1/95 through 6/30/96.  
Horn, Leslie, Assistant Professor (part-time), Oral Health Practice, 7/1/95 through 6/30/96.  
Lindroth, John E., Assistant Professor (part-time), Oral Health Practice, 7/1/95 through 6/30/96.  
Rodriquez, Carla M., Assistant Professor (part-time), Oral Health Practice, 7/1/95 through 6/30/96.  
Rubeling, Richard R., Assistant Professor (part-time), Oral Health Science, 7/1/95 through 6/30/96.  
Selwitz, Stephen P., Assistant Professor (part-time), Oral Health Practice, 7/1/95 through 6/30/96.  
Shaw, Pamela P., Assistant Adjunct Professor, Oral Health Science, 7/1/95 through 6/30/96.  
Wilkinson, Alan D., Assistant Professor (part-time), Oral Health Practice, 7/1/95 through 6/30/96.

College of Medicine

Abu Kwaik, Yousef, Assistant Professor, Microbiology and Immunology, 7/1/95 through 6/30/96.  
Adibhatla, Rao M., Assistant Research Professor, Surgery, 7/1/95 through 6/30/96.  
Ain, Kenneth B., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.  
Akindipe, Olufemi A., Clinical Assistant Professor, Family Practice, joint appointment as Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.  
Andres, Douglas A., Assistant Professor, Biochemistry, 7/1/95 through 6/30/96.  
Arden, Warwick A., Assistant Professor, Surgery, joint appointment as Assistant Professor, Physiology, 7/1/95 through 6/30/96.  
Atchison, James W., Assistant Professor, Physical Medicine and Rehabilitation, 7/1/95 through 6/30/96.  
Bailey, Cheryl L., Clinical Instructor, Obstetrics and Gynecology, 7/1/95 through 6/30/96.  
Barve, Shirish, Assistant Research Professor, Internal Medicine, 7/1/95 through 6/30/96.  
Beihn, Robert, Instructor (part-time), Diagnostic Radiology, 7/1/95 through 6/30/96.  
Bierly, John R., Assistant Professor, Ophthalmology, 7/1/95 through 6/30/96.  
Bingcang, Cornelia, Clinical Assistant Professor, Psychiatry, 7/1/95 through 6/30/96.

Birge, Barbara A., Instructor (part-time), Physiology, 7/1/95 through 6/30/96.

Bishof, Nancy A., Assistant Professor, Pediatrics, 7/1/95 through 6/30/96.

Blades, Deborah A., Assistant Professor, Surgery, 7/1/95 through 6/30/96.

Boghaert, Erwin R., Assistant Research Professor, Surgery, 7/1/95 through 6/30/96.

Braun, Nancy S., Assistant Professor (part-time), Pediatrics, 7/1/95 through 6/30/96.

Brenzel, Allen J., Clinical Assistant Professor, Psychiatry, joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/95 through 6/30/96.

Bright, Katherine L., Assistant Professor (part-time), Pediatrics, joint appointment as Assistant Professor (part-time), Family Practice, 7/1/95 through 6/30/96.

Browning, Steven R., Assistant Research Professor, Preventive Medicine and Environmental Health, 7/1/95 through 6/30/96.

Bryson, James S., Assistant Professor, Internal Medicine, joint appointment as Assistant Professor, Microbiology and Immunology, 7/1/95 through 6/30/96.

Burke, Mary, Assistant Professor\*, Internal Medicine, joint appointment as Assistant Professor\*, Pediatrics, 7/1/95 through 6/30/96.

Bustin, Andrew G., Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Cadle, Ronald G., Adjunct Instructor, Pediatrics, 7/1/95 through 6/30/96.

Campbell, Berry A., Assistant Professor, Obstetrics and Gynecology, 7/1/95 through 6/30/96.

Campbell, E. S., Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/95 through 6/30/96.

Campbell, Jeffrey P., Assistant Professor, Surgery, 7/1/95 through 6/30/96.

Cardenas, Ignacio, Assistant Professor, Anesthesiology, 7/1/95 through 6/30/96.

Carlson, Sonia L., Assistant Professor, Anatomy and Neurobiology, 7/1/95 through 6/30/96.

Cass, Wayne A., Assistant Professor, Anatomy and Neurobiology, 7/1/95 through 6/30/96.

Chaco, Lena E., Assistant Professor\*, Family Practice, 7/1/95 through 6/30/96.

Charash, William E., Assistant Professor, Surgery, 7/1/95 through 6/30/96.

Chen, Kuey C., Assistant Research Professor, Pharmacology, 7/1/95 through 6/30/96.

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\*Special Title Series

Chowdhury, Khalid, Assistant Professor, Surgery, 7/1/95 through 6/30/96.

Clarke, Gregory B., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Clerici, William J., Assistant Professor, Surgery, 7/1/95 through 6/30/96.

Cole, Cynthia M., Assistant Professor\*, Behavioral Science, 7/1/95 through 1/31/96.

Cole, Kelly K., Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Collins, Rebecca L., Assistant Professor\*, Pediatrics, 7/1/95 through 6/30/96.

Collins, Robert W., Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Combs, Greta L., Assistant Professor\*, Family Practice, 7/1/95 through 6/30/96.

Conklin, John D., Assistant Professor, Ophthalmology, 7/1/95 through 6/30/96.

Cowen, David E., Assistant Professor, Ophthalmology, 7/1/95 through 6/30/96.

Coyne, Carolyn M., Assistant Professor\*, Pathology and Laboratory Medicine, 7/1/95 through 6/30/96.

Cross, Andrew M., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Crutchfield, Barbara K., Clinical Instructor, Ophthalmology, 7/1/95 through 6/30/96.

Dale, Thomas A., Assistant Adjunct Professor, Family Practice, 7/1/95 through 6/30/96.

D'Angelo, Sandra L., Assistant Research Professor, Pediatrics, joint appointment as Assistant Research Professor, Behavioral Science, 7/1/95 through 6/30/96.

Danner, Deborah D., Assistant Research Professor, Preventive Medicine and Environmental Health, 7/1/95 through 6/30/96.

Davey, Patrick, Assistant Professor (part-time), Internal Medicine, 7/1/95 through 6/30/96.

Davis, Patricia L., Clinical Assistant Professor, Ophthalmology, 7/1/95 through 6/30/96.

Davis, Robert T., Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

De Beer, Maria C., Assistant Research Professor, Biochemistry, 7/1/95 through 6/30/96.

DeGnore, Lisa T., Assistant Professor, Surgery, 7/1/95 through 6/30/96.

DeMoll, Louis E., Assistant Research Professor, Microbiology and Immunology, 7/1/95 through 6/30/96.

Dennison, R. R., Assistant Professor (part-time), Emergency Medicine, 7/1/95 through 6/30/96.



DePriest, Paul D., Assistant Professor, Obstetrics and Gynecology, 7/1/95 through 6/30/96.

Diana, Louise, Assistant Research Professor, Surgery, 7/1/95 through 6/30/96.

Egan, Rita M., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Elliott, Lucinda H., Assistant Research Professor, Microbiology and Immunology, 7/1/95 through 6/30/96.

Emmett, Kim R., Assistant Professor\*, Internal Medicine, 7/1/95 through 6/30/96.

Escalante, David A., Assistant Professor (part-time), Internal Medicine, 7/1/95 through 6/30/96.

Estus, Steven, Assistant Professor, Physiology, 7/1/95 through 6/30/96.

Etscheidt, Mark A., Clinical Assistant Professor, Anesthesiology, 7/1/95 through 6/30/96.

Falcone, Jeff C., Assistant Research Professor, Physiology, 7/1/95 through 6/30/96.

Fanti, Paolo, Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Fetherston, Jacqueline D., Assistant Research Professor, Microbiology and Immunology, 7/1/95 through 6/30/96.

Fields, Robia A., Clinical Assistant Professor, Psychiatry, 7/1/95 through 6/30/96.

Fleming, Donald R., Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Fleming-Phillips, Barbara, Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Fontenot, Michael T., Clinical Instructor, Obstetrics and Gynecology, 7/1/95 through 6/30/96.

Fowler, Carol L., Assistant Professor, Surgery, 7/1/95 through 6/30/96.

Francke, Patrick M., Instructor, Radiation Medicine, 7/1/95 through 6/30/96.

Garrett, Rebecca V., Assistant Professor\*, Physical Medicine and Rehabilitation, 7/1/95 through 6/30/96.

Gates, Lawrence K., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Geddes, James W., Assistant Professor, Anatomy and Neurobiology, 7/1/95 through 6/30/96.

Geissler, Richard H., Clinical Instructor, Pathology and Laboratory Medicine, 7/1/95 through 6/30/96.

Gevedon, Teresa G., Clinical Assistant Professor, Psychiatry, 7/1/95 through 6/30/96.

Girdler, Renee V., Clinical Assistant Professor, Family Practice, 7/1/95 through 6/30/96.

Godlaski, Theodore M., Assistant Research Professor, Psychiatry, 7/1/95 through 6/30/96.

Gonsalves, Wanda C., Clinical Assistant Professor, Family Practice, 7/1/95 through 6/30/96.

Gorczyca, John T., Assistant Professor, Surgery, 7/1/95 through 6/30/96.

Griffith, Charles H., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Grigsby, Donna J., Assistant Professor\*, Pediatrics, 7/1/95 through 6/30/96.

Guiglia, Mary C., Assistant Professor (part-time), Internal Medicine, 7/1/95 through 6/30/96.

Hadley, Robert W., Assistant Professor, Pharmacology, 7/1/95 through 6/30/96.

Haq, Najm U., Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Harris, Karen, Clinical Instructor, Obstetrics and Gynecology, 7/1/95 through 6/30/96.

Hashem, Abul, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/95 through 6/30/96.

Hawthorne, Collette W., Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Herman, James P., Assistant Professor, Anatomy and Neurobiology, 7/1/95 through 6/30/96.

Hightower, Edward, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/95 through 6/30/96.

Hill, Daniell B., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Hill, Kelly K., Assistant Professor, Psychiatry, joint appointment as Assistant Professor, Pediatrics, 7/1/95 through 6/30/96.

Holleman, Jr., Donald R., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Irwin, Leland J., Assistant Professor (part-time), Emergency Medicine, 7/1/95 through 6/30/96.

Jenkins, Connie W., Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

John, William J., Assistant Professor\*, Internal Medicine, joint appointment as Assistant Professor\*, Surgery, 7/1/95 through 6/30/96.

Jones, Janet H., Assistant Adjunct Professor, Psychiatry, 7/1/95 through 6/30/96.

Kabir, Ekramul, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/95 through 6/30/96.

Kacki, Marta L., Clinical Assistant Professor, Diagnostic Radiology, 7/1/95 through 6/30/96.

Kaetzel, David M., Assistant Professor, Pharmacology, 7/1/95 through 6/30/96.

Karounos, Dennis G., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Kennedy, Charles A., Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Kennedy, Joseph L., Clinical Instructor, Obstetrics and Gynecology, 7/1/95 through 6/30/96.

Kenney, Charles M., Clinical Assistant Professor, Diagnostic Radiology, 7/1/95 through 6/30/96.

Khan, Amtullah, Clinical Assistant Professor, Radiation Medicine, 7/1/95 through 6/30/96.

Knapp, Pamela E., Assistant Professor, Anatomy and Neurobiology, 7/1/95 through 6/30/96.

Koszewski, Nicholas J., Assistant Professor, Internal Medicine, joint appointment as Assistant Professor, Pharmacology, 7/1/95 through 6/30/96.

Kramer, Carol A., Assistant Professor, Radiation Medicine, 7/1/95 through 6/30/96.

Kregor, Janice M., Assistant Professor (part-time), Pediatrics, 7/1/95 through 6/30/96.

Krishna, Rama S., Assistant Research Professor, Surgery, 7/1/95 through 6/30/96.

Kriss, Vesna M., Assistant Professor, Diagnostic Radiology, joint appointment as Assistant Professor, Pediatrics, 7/1/95 through 6/30/96.

Kroot, Louis J., Assistant Professor\*, Emergency Medicine, 7/1/95 through 6/30/96.

Lahr, Barbara A., Assistant Adjunct Professor, Diagnostic Radiology, 7/1/95 through 6/30/96.

Larson, Thomas O., Assistant Adjunct Professor, Diagnostic Radiology, 7/1/95 through 6/30/96.

Lawson, Erma J., Assistant Research Professor, Behavioral Science, 7/1/95 through 12/31/95.

Lear, Linda A., Clinical Assistant Professor, Pediatrics, 7/1/95 through 6/30/96.

Lehner, Andreas F., Assistant Research Professor, Pharmacology, 7/1/95 through 6/30/96.

Lewis, Barnett, Assistant Professor (part-time), Pediatrics, 7/1/95 through 6/30/96.

Lock, Richard, Clinical Assistant Professor, Anesthesiology, 7/1/95 through 6/30/96.

Luchok, Kathryn J., Assistant Research Professor, Preventive Medicine and Environmental Health, 7/1/95 through 6/30/96.

Madhat, Maher, Assistant Research Professor, Ophthalmology, 7/1/95 through 6/30/96.

Mainous, Arch G., Assistant Research Professor, Family Practice, joint appointments as Assistant Research Professor, Internal Medicine, and Assistant Research Professor, Psychiatry, 7/1/95 through 6/30/96.

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\*Special Title Series

Martin, Walter L., Assistant Professor (part-time), Psychiatry, 7/1/95 through 6/30/96.

McCaffrey, Francis M., Assistant Professor, Pediatrics, 7/1/95 through 6/30/96.

McClintock, Timothy S., Assistant Professor, Physiology, 7/1/95 through 6/30/96.

McDowell, Susan M., Assistant Professor\*, Physical Medicine and Rehabilitation, 7/1/95 through 6/30/96.

McMahon, Douglas G., Assistant Professor, Physiology, 7/1/95 through 6/30/96.

Meek, Robin R., Assistant Professor (part-time), Internal Medicine, 7/1/95 through 6/30/96.

Meigooni, Ali S., Clinical Assistant Professor, Radiation Medicine, 7/1/95 through 6/30/96.

Milan, Primo, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/95 through 6/30/96.

Milligan, Douglas A., Assistant Professor, Obstetrics and Gynecology, 7/1/95 through 6/30/96.

Montgomery, Christopher L., Assistant Professor\*, Anesthesiology, 7/1/95 through 6/30/96.

Moody, Edward B., Assistant Professor, Diagnostic Radiology, 7/1/95 through 6/30/96.

Moore, Robert W., Assistant Research Professor, Physical Medicine and Rehabilitation, 7/1/95 through 6/30/96.

Morehead, Richard S., Assistant Professor\*, Internal Medicine, 7/1/95 through 6/30/96.

Morgenstern, John C., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Morrow, Jennifer L., Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/95 through 6/30/96.

Munch, Larry C., Assistant Professor, Surgery, 7/1/95 through 6/30/96.

Munn, Rita K., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Myers, Joann B., Assistant Research Professor, Psychiatry, 7/1/95 through 6/30/96.

Nickerson, Robert B., Assistant Professor, Physical Medicine and Rehabilitation, 7/1/95 through 6/30/96.

Nolan, Timothy A., Assistant Adjunct Professor, Psychiatry, 7/1/95 through 6/30/96.

Pan, Bin-Tao, Assistant Professor, Surgery, joint appointment as Assistant Professor, Microbiology and Immunology, 7/1/95 through 2/14/96.

Papanikolaou, Nikos, Assistant Professor, Radiation Medicine, 7/1/95 through 6/30/96.

Park-Sarge, Ok-Kyong, Assistant Professor, Physiology, 7/1/95 through 6/30/96.

Parrott, Mark L., Clinical Assistant Professor, Pediatrics, 7/1/95 through 6/30/96.

Pearson, P. A., Assistant Professor, Ophthalmology, 7/1/95 through 6/30/96.

Pearson, Terry A., Clinical Assistant Professor, Physical Medicine and Rehabilitation, 7/1/95 through 6/30/96.

Pedigo, Nancy G., Assistant Research Professor, Pharmacology, 7/1/95 through 6/30/96.

Pettigrew, Anjana L., Clinical Assistant Professor, Pathology and Laboratory Medicine, joint appointments as Clinical Assistant Professor, Obstetrics and Gynecology, Pediatrics, and Neurology, 7/1/95 through 6/30/96.

Pfeifer, Bernd L., Assistant Professor, Anesthesiology, 7/1/95 through 6/30/96.

Pieretti, Maura, Assistant Research Professor, Pathology and Laboratory Medicine, joint appointment as Assistant Research Professor, Obstetrics and Gynecology, 7/1/95 through 6/30/96.

Porter, Nada M., Assistant Research Professor, Pharmacology, 7/1/95 through 6/30/96.

Pulmano, Calixto, Assistant Adjunct Professor, Diagnostic Radiology, joint appointment as Assistant Adjunct Professor, Neurology, 7/1/95 through 6/30/96.

Ramsbottom-Lucier, Mary T., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Rangnekar, Vivek M., Assistant Professor, Surgery, joint appointment as Assistant Professor, Microbiology and Immunology, 7/1/95 through 6/30/96.

Regine, William F., Assistant Professor, Radiation Medicine, 7/1/95 through 6/30/96.

Riley, Christine N., Assistant Adjunct Professor, Diagnostic Radiology, 7/1/95 through 6/30/96.

Robinson, M. C., Assistant Professor, Surgery, 7/1/95 through 6/30/96.

Rogers, Anita, Assistant Professor\*, Emergency Medicine, 7/1/95 through 6/30/96.

Sampers, Jackie, Clinical Instructor, Pediatrics, 7/1/95 through 6/30/96.

Sanders, Sheila P., Assistant Professor, Ophthalmology, 7/1/95 through 6/30/96.

Sapin, Peter M., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Sarge, Kevin D., Assistant Professor, Biochemistry, 7/1/95 through 6/30/96.

Satin, Jonathan, Assistant Professor, Physiology, 7/1/95 through 6/30/96.

Sawaya, Boutros P., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Scheib, Christopher M., Assistant Professor, Anesthesiology, 7/1/95 through 6/30/96.

Schleenbaker, Randal E., Assistant Professor, Physical Medicine and Rehabilitation, 7/1/95 through 6/30/96.

Schmidt, Jack J., Assistant Research Professor, Internal Medicine, 7/1/95 through 6/30/96.

Sellitti, Marguerite A., Clinical Instructor, Radiation Medicine, 7/1/95 through 6/30/96.

Sen, Harsha A., Assistant Professor, Ophthalmology, 7/1/95 through 6/30/96.

Seroogy, Kim B., Assistant Professor, Anatomy and Neurobiology, 7/1/95 through 6/30/96.

Shook, Lori A., Clinical Assistant Professor, Pediatrics, 7/1/95 through 6/30/96.

Simmons, Truman D., Clinical Assistant Professor, Diagnostic Radiology, 7/1/95 through 6/30/96.

Sloan, David A., Assistant Professor, Surgery, 7/1/95 through 6/30/96.

Sloan, Jewell W., Assistant Research Professor, Anesthesiology, 7/1/95 through 6/30/96.

Sloan, Paul A., Assistant Professor, Anesthesiology, joint appointment as Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Smith, T. Timothy, Assistant Research Professor, Obstetrics and Gynecology, 7/1/95 through 6/30/96.

Smith, W. J., Assistant Professor, Pediatrics, joint appointment as Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Spear, Brett T., Assistant Professor, Microbiology and Immunology, joint appointment as Assistant Professor, Pathology and Laboratory Medicine, 7/1/95 through 8/31/95.

Steltenkamp, Carol L., Assistant Professor (part-time), Pediatrics, 7/1/95 through 6/30/96.

Stephens, G. C., Assistant Professor, Surgery, 7/1/95 through 6/30/96.

Stevens, Julia C., Assistant Professor, Ophthalmology, 7/1/95 through 6/30/96.

Stigers, Kimberly B., Assistant Professor (part-time), Diagnostic Radiology, 7/1/95 through 6/30/96.

Stiles, Nancy J., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Stockwell, Jana A., Assistant Professor, Pediatrics, 7/1/95 through 6/30/96.

Straub, James G., Assistant Professor (part-time), Pediatrics, 7/1/95 through 6/30/96.

Strottmann, James M., Assistant Professor, Diagnostic Radiology, 7/1/95 through 6/30/96.

Sumner, H. Walton, Assistant Professor, Family Practice, 7/1/95 through 6/30/96.

Sun, Luzhe, Assistant Professor, Pharmacology, 7/1/95 through 6/30/96.

Sutherland, Ellen W., Clinical Assistant Professor, Pediatrics, 7/1/95 through 6/30/96.

Svenson, James E., Assistant Professor\*, Emergency Medicine, 7/1/95 through 6/30/96.

Swanson, Hollie I., Assistant Professor, Pharmacology, 7/1/95 through 6/30/96.

Swope, Marian, Clinical Assistant Professor, Psychiatry, 7/1/95 through 6/30/96.

Szabo, Tibor S., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Thielmeier, Kenneth A., Assistant Professor, Anesthesiology, 7/1/95 through 6/30/96.

Toney, Dale E., Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Tritz, Denise M., Assistant Professor, Pathology and Laboratory Medicine, 7/1/95 through 6/30/96.

Truitt, Robert, Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Tzouanakis, Alexander E., Assistant Professor\*, Internal Medicine, 7/1/95 through 6/30/96.

Valentino, Joseph, Assistant Professor, Surgery, 7/1/95 through 6/30/96.

Vanarthos, William J., Assistant Professor, Diagnostic Radiology, 7/1/95 through 6/30/96.

Varilek, Gary W., Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.

Wala, Elzbieta, Assistant Research Professor, Anesthesiology, 7/1/95 through 6/30/96.

Walker, John R., Assistant Professor, Pediatrics, 7/1/95 through 6/30/96.

Warf, Benjamin C., Assistant Professor, Surgery, 7/1/95 through 6/30/96.

Watson, Robert E., Instructor (part-time), Anatomy and Neurobiology, 7/1/95 through 6/30/96.

Weeks, Julia A., Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/95 through 6/30/96.

Wells, Mark D., Assistant Professor, Surgery, 7/1/95 through 6/30/96.

Wells, Sharon E., Clinical Assistant Professor, Emergency Medicine, 7/1/95 through 6/30/96.

Werner, Heinrich A., Assistant Professor, Pediatrics, 7/1/95 through 6/30/96.

Whiteheart, Sidney W., Assistant Professor, Biochemistry, 7/1/95 through 6/30/96.

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\*Special Title Series

Wilson, Dianne, Clinical Assistant Professor, Pathology and Laboratory Medicine, joint appointment as Clinical Assistant Professor, Surgery, 7/1/95 through 6/30/96.  
Woods, Sheila H., Assistant Professor\*, Pediatrics, 7/1/95 through 6/30/96.  
Wrightson, Alan S., Clinical Assistant Professor, Family Practice, 7/1/95 through 6/30/96.  
Wrightson, Michelle E., Clinical Assistant Professor, Internal Medicine, 7/1/95 through 6/30/96.  
Wu, Donghai, Assistant Professor, Biochemistry, 7/1/95 through 6/30/96.  
Xie, Gong Y., Assistant Research Professor, Internal Medicine, 7/1/95 through 6/30/96.  
Xu, Fadi, Assistant Research Professor, Physiology, 7/1/95 through 6/30/96.  
Young, Elizabeth H., Assistant Professor (part-time), Family Practice, 7/1/95 through 6/30/96.  
Young, Thomas, Assistant Professor, Anesthesiology, 7/1/95 through 6/30/96.  
Young, Thomas L., Clinical Assistant Professor, Pediatrics, 7/1/95 through 6/30/96.  
Yurek, David M., Assistant Professor, Surgery, joint appointment as Assistant Professor, Anatomy and Neurobiology, 7/1/95 through 6/30/96.  
Zhang, Jiayou, Assistant Professor, Microbiology and Immunology, 7/1/95 through 6/30/96.  
Zhang, Zhiming, Assistant Research Professor, Anatomy and Neurobiology, 7/1/95 through 6/30/96.  
Zweng, Thomas N., Assistant Professor, Surgery, 7/1/95 through 6/30/96.

#### College of Nursing

Alexander, Brenda, Instructor\*, 7/1/95 through 6/30/96.  
Barton, Sharon J., Assistant Professor\*, 7/1/95 through 6/30/96.  
Berry, Ruth D., Clinical Assistant Professor, 7/1/95 through 6/30/96.  
Bowdy, Bruce D., Assistant Professor (part-time), 7/1/95 through 6/30/96.  
Buckles, Diane C., Instructor (part-time), 7/1/95 through 6/30/96.  
Chappell, Hazel W., Instructor (part-time), 7/1/95 through 6/30/96.  
Cowley, Jennifer B., Instructor (part-time), 7/1/95 through 6/30/96.  
Daniels, Judith A., Clinical Instructor, 7/1/95 through 6/30/96.  
Eades, Bernice M., Clinical Instructor, 7/1/95 through 6/30/96.  
French, Bonnie J., Instructor (part-time), 7/1/95 through 6/30/96.  
Gall, Roxanne B., Instructor (part-time), 7/1/95 through 6/30/96.  
Gordy, Carrie M., Instructor\*, 7/1/95 through 6/30/96.  
Hahn, Ellen J., Assistant Professor, 7/1/95 through 6/30/96.  
Harley, Jane R., Clinical Instructor, 7/1/95 through 6/30/96.



Hoefner, Ruthie B., Instructor (part-time), 7/1/95 through 6/30/96.  
Hopper, Vera F., Instructor\*, 7/1/95 through 6/30/96.  
Hosley, Brenda L., Assistant Professor\*, 7/1/95 through 6/30/96.  
Howard, Patricia B., Assistant Professor, 7/1/95 through 6/30/96.  
Ireson, Carol L., Assistant Adjunct Professor, 7/1/95 through 6/30/96.  
Knollmueller, Ruth N., Lecturer (part-time), 7/1/95 through 6/30/96.  
Littrell, Margaret, Instructor (part-time), 7/1/95 through 6/30/96.  
McMahan, Anna M., Instructor (part-time), 7/1/95 through 6/30/96.  
O'Neill, Nancy L., Instructor (part-time), 7/1/95 through 6/30/96.  
Ossege, Julianne, Assistant Professor\*, 7/1/95 through 6/30/96.  
Presler, Elizabeth P., Assistant Professor (part-time), 7/1/95 through 6/30/96.  
Rippetoe Freeman, Patricia E., Instructor (part-time), joint appointment as Instructor (part-time), College of Dentistry, Department of Oral Health Science, 7/1/95 through 6/30/96.  
Scheideberg, Donna E., Assistant Professor\*, 7/1/95 through 6/30/96.  
Staten, Ruth R., Assistant Professor\*, 7/1/95 through 6/30/96.  
Stefaniak, Karen A., Adjunct Instructor, 7/1/95 through 6/30/96.  
Sward, Renate H., Instructor\*, 7/1/95 through 6/30/96.  
Wagner, Kathleen D., Clinical Assistant Professor, 7/1/95 through 6/30/96.  
Warren, Janet K., Instructor (part-time), 7/1/95 through 6/30/96.  
Wells, Susan M., Instructor (part-time), 7/1/95 through 6/30/96.  
Welsh, J. Darlene, Clinical Instructor, 7/1/95 through 6/30/96.  
Wilson, Esther Ann, Instructor (part-time), 7/1/95 through 6/30/96.

College of Pharmacy

Brandt, Barbara F., Assistant Professor\*, 7/1/95 through 6/30/96.  
Bummer, Paul M., Assistant Professor, 7/1/95 through 6/30/96.  
Dickens, George R., Assistant Professor\*, 7/1/95 through 6/30/96.  
Durthaler, Jeffrey M., Assistant Adjunct Professor, 7/1/95 through 6/30/96.  
Ensor, Charles M., Assistant Research Professor, 7/1/95 through 6/30/96.  
Fowler, Joan B., Clinical Assistant Professor, 7/1/95 through 6/30/96.  
German, Teresa C., Clinical Assistant Professor, 7/1/95 through 6/30/96.  
Green, Dwaine K., Assistant Adjunct Professor, 7/1/95 through 6/30/96.  
Harper, Mary L., Assistant Professor\*, 7/1/95 through 6/30/96.  
Holbrook, Timothy D., Instructor (part-time), 7/1/95 through 6/30/96.  
Houdi, Abdulghani A., Assistant Research Professor, 7/1/95 through 6/30/96.

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\*Special Title Series

Howard, Georgette, Assistant Professor, 7/1/95 through 6/30/96.  
Hunt, Max L., Clinical Assistant Professor, 7/1/95 through 6/30/96.  
Jay, Susan M., Assistant Professor (part-time), 7/1/95 through 6/30/96.  
Magnuson, Barbara L., Assistant Adjunct Professor, 7/1/95 through 6/30/96.  
Mehta, Rahul C., Assistant Research Professor, 7/1/95 through 6/30/96.  
Nerstad, Darrel O., Clinical Assistant Professor, 7/1/95 through 6/30/96.  
Pascik, Mary M., Assistant Professor\*, 7/1/95 through 6/30/96.  
Rogers, Thea Y., Clinical Assistant Professor, 7/1/95 through 6/30/96.  
Roth, Kimberley L., Assistant Adjunct Professor, 7/1/95 through 6/30/96.  
Scheckelhoff, Douglas J., Clinical Assistant Professor, 7/1/95 through 6/30/96.  
Sunahara, Janice M., Clinical Assistant Professor, 7/1/95 through 6/30/96.  
Tiggelaar, John M., Assistant Professor (part-time), 7/1/95 through 6/30/96.

#### **D. CHANGES**

##### College of Dentistry

Kluemper, G Thomas from Assistant Professor\*, Oral Health Practice to Clinical Assistant Professor, Oral Health Practice, 7/1/95 through 6/30/98.

##### College of Medicine

Baker, Karen S. from Clinical Assistant Professor, Diagnostic Radiology to Assistant Professor (part-time), Diagnostic Radiology, 7/1/95 through 6/30/96.  
Garrett, Rebecca V. from Assistant Professor (voluntary), Physical Medicine and Rehabilitation to Assistant Professor\*, Physical Medicine and Rehabilitation, 6/15/95 through 6/30/95.  
Horn, Everett J. from Instructor (voluntary), Obstetrics and Gynecology to Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/95 through 6/30/96.  
Murray, Shona C. from Clinical Instructor, Obstetrics and Gynecology to Assistant Professor, Obstetrics and Gynecology, 7/1/95 through 6/30/96.  
Reed, Elaine L. from Assistant Professor\*, Family Practice to Clinical Assistant Professor, Family Practice, 7/1/95 through 6/30/96.

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\*Special Title Series

Roberts, Steve L. from Instructor (voluntary), Obstetrics and Gynecology to Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/95 through 6/30/96.

Zoorob, Roger J. from Clinical Instructor, Family Practice to Assistant Professor\*, Family Practice, 7/1/95 through 6/30/96.

**College of Nursing**

Collins, Lisa C. from Instructor\* to Instructor (part-time), 7/1/95 through 6/30/96.

**E. TERMINAL REAPPOINTMENTS**

College of Medicine

Duncan, Marilyn J., Assistant Professor, Anatomy and Neurobiology, 6/15/95 through 6/14/96.

King, Joseph G., Assistant Professor\*, Diagnostic Radiology, 7/1/95 through 6/30/96.

Leahy-Auer, Joanne M., Clinical Assistant Professor, Pediatrics, 7/1/95 through 6/30/96.

McKnight, Robert H., Assistant Professor, Preventive Medicine and Environmental Health, 3/19/95 through 3/18/96.

Spear, Brett T., Assistant Professor, Microbiology and Immunology, joint appointment as Assistant Professor, Pathology and Laboratory Medicine, 9/1/95 through 8/31/96.

**E. LEAVES OF ABSENCE**

College of Allied Health Professions

Bruckner, Geza G., Professor (with tenure), Clinical Sciences, sabbatical leave at full salary, 7/1/95 through 12/31/95.

College of Medicine

Bosomworth, Peter P., Professor (with tenure), Anesthesiology, sabbatical leave at full salary, 6/5/95 through 9/13/95.

Hagen, Michael D., Professor\* (with tenure), Family Practice, sabbatical leave at full salary, 7/1/95 through 12/31/95.

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\*Special Title Series

# PR 3A

Office of the President  
June 13, 1995

Members, Board of Trustees:

ENDORSEMENT OF 1995 POSITION PAPER OF THE KENTUCKY  
ADVOCATES FOR HIGHER EDUCATION, INC.

Recommendation: that the Board of Trustees give its endorsement to the attached 1995 Position Paper of the Kentucky Advocates for Higher Education.

Background: The Kentucky Advocates for Higher Education, Inc., a volunteer citizens' group that works for the betterment of higher education in Kentucky, has adopted a position paper on funding of higher education for the next four years. The Advocates propose essentially the following:

- (1) That higher education receive an increase in funding during the first biennium of the next administration that would bring Kentucky higher education to 100 percent of the average, actual funding level of colleges and universities in neighboring states.
- (2) That higher education funding for the second biennium of the next administration move beyond the average, actual funding level of colleges and universities in neighboring states.

The Advocates are asking gubernatorial candidates to commit to these proposals if elected to office. A complete copy of the Advocates' position paper is attached to this recommendation.

The Kentucky Advocates for Higher Education have requested governing boards of the state's public universities to add their endorsements to the attached position paper. It is recommended that the Board of Trustees provide the endorsement requested by the Kentucky Advocates.

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Action taken:    Approved ☒    Disapproved ☐    Other ☐ \_\_\_\_\_

**Norma Bester Adams, Chair**  
Somerset

**Ren Geoghegan, Vice Chairman**  
Frankfort

**C. Michael Reynolds, Secretary**  
Bowling Green

**William M. Walters, Treasurer**  
Richmond

**William E. Beasley**  
Paducah

**Robert D. Bell**  
Lexington

**James Bottomley**  
Lexington

**Joe Bill Campbell**  
Bowling Green

**Robert C. Carter**  
Hopkinsville

**Judith G. Claes**  
Covington

**Jef Conner**  
Louisville

**Harold G. Doran, Jr.**  
Murray

**Jeffery A. Eger**  
Ft. Wright

**Michael A. Fiorella**  
Owensboro

**William G. Francis**  
Prestonsburg

**Lois Howard Gray**  
Glasgow

**Thomas V. Haady**  
London

**J. Dee Lacy**  
Ashland

**Ann R. Latta**  
Prestonsburg

**Warren R. Lee**  
Louisville

**Douglas M. Lester**  
Bowling Green

**Robert K. Lewis, Jr.**  
Lexington

**Marrs Allen May**  
Pikeville

**Aleis Ann Moore**  
Hazard

**Barbara Riche**  
Lexington

**William A. Stone**  
Louisville

**Wendell C. Thomas**  
Louisville

**Brent D. Thompson**  
Radcliff

**David G. Wilkins**  
Louisville

**James M. Wiseman**  
Georgetown

**David D. York**  
Paducah

The Kentucky Advocates for Higher Education is a volunteer citizens' group composed of business and civic leaders working to assure that our post-secondary schools are adequately supported in order to provide meaningful employment for all Kentuckians.

## **KENTUCKY ADVOCATES FOR HIGHER EDUCATION 1995 POSITION PAPER**

The balance of this century promises to be a pivotal era for American higher education. After more than a decade of being in the shadow of other priorities, recognition of higher education's value to society appears to be reemerging. This certainly appears to be the case in the South, where the Southern Region Education Board (SREB), of which Kentucky is a member, has recently released a report entitled, "Changing States: Higher Education and the Public Good."

This report includes the following conclusions:

- ▶ Higher education is a major asset but its value in an uncertain world is not sufficiently understood;
- ▶ The declining priority of higher education in state budgets poses real problems for our future;
- ▶ Higher education must change in important, fundamental ways;
- ▶ There needs to be a new and better balance in higher education, especially between teaching and research;
- ▶ Colleges and universities need to rethink what they teach and the ways in which they deliver instruction;
- ▶ Constantly rising and high tuition is a serious threat to access and imperils both the individual student and all of us;
- ▶ Better connections must exist among our schools, colleges, and businesses;
- ▶ There are important ways for higher education institutions to share within each state and across state lines.

The Kentucky Advocates for Higher Education subscribe to these conclusions and have been pleased to witness progress related to many of them in Kentucky.



The restructuring efforts implemented by the faculty, administrations, and governing boards have done much to reform the way in which our universities provide their services. The legislature has mandated further accountability processes for the institutions. The work of the Higher Education Review Commission resulted in revised, focused mission statements for each institution and in a commitment to performance funding. As KERA has continued to be implemented, the involvement and commitment of resources by our universities have grown. That commitment will continue.

Of paramount concern, however, is the current status of higher education funding in Kentucky. Consider the following:

- ▶ Over the past decade, largely as a result of taking disproportionate reductions in state budget cuts, higher education's share of state appropriations has slipped from 17 to 14 percent. As a result, the portion of the universities' budgets provided by the state has declined from 47 to 39 percent. Tuition and fees, meanwhile, have increased from 12 to 17 percent of those budgets.
- ▶ During the same time that the state's support of higher education has declined, total institutional enrollments have increased by 40 percent and community college enrollments have doubled.
- ▶ Higher education is inextricably linked to economic development. It is now estimated that more than 60 percent of new jobs require post-secondary education. Kentucky ranks 48th among the states in percentage of its adult population with college degrees.
- ▶ Kentucky continues to spend less on higher education than the average of our neighboring states. Despite similar fiscal situations, those states have somehow managed to find the money to support their systems at a higher level than Kentucky.
- ▶ If Kentucky fails to respond to the SREB call for a higher priority for higher education, and our neighboring states do, then our relative position will be further eroded.

Accordingly, the Kentucky Advocates for Higher Education propose the following commitment for action from 1995 Gubernatorial candidates:

- ▶ During the first biennium of the administration, we propose that higher education receive an increase in funding that would bring higher education to 100 percent of the average, actual funding level of colleges and universities in our neighboring states. Adjusted for inflation, this would require a 7 percent increase each year of the biennium, or approximately \$52 million in the first year of the biennium and approximately \$54 million in the second year.

- ▶ During the second biennium of the administration, we propose that funding move beyond the average, actual funding level of colleges and universities in neighboring states with which we compare ourselves. **In the long term, the state should commit to a Kentucky Higher Education Performance Funding Model which would make Kentucky competitive with the rest of the nation.**
- ▶ Increases in funding would be used to offset inflation and fixed costs, improve faculty/staff salaries, enhance quality, recognize performance, and address equity within the system. Institutions would be expected to use the increased resources for enhancement of quality consistent with their missions, the strategic plan, and the advancement of the commonwealth's economy. Performance will be evaluated through the established accountability processes. Equity should be addressed so that each component of the system, universities and community colleges, is funded appropriately when compared to peer institutions in neighboring states.
- ▶ If the funding request can be achieved, the Council on Higher Education should maintain its present tuition policy which is designed to set tuition based on Kentuckians' ability to pay and the comparable level of tuition in neighboring states.
- ▶ State-funded student financial assistance programs should be increased at the same percentage level as tuition increases in order to help ensure access to higher education.

The Kentucky Advocates for Higher Education continue to promote the cause of higher education because we remain convinced that our ability as a state to provide meaningful employment opportunities for our people largely depends upon our system of higher education. We feel strongly that the current trend of diminishing state support is a short-sighted strategy that erodes the quality of our institutions and undermines the ability of higher education to contribute to Kentucky's economic progress. We ask you to join us to ensure that higher education receives the resources it needs to build a better future for our state.

# PR 3B

Office of the President  
June 13, 1995

Members, Board of Trustees:

## APPOINTMENT OF CHANCELLOR FOR THE LEXINGTON CAMPUS

**Recommendation:** that Elisabeth A. Zinser be appointed Chancellor for the Lexington Campus, effective July 1, 1995.

**Background:** The Chancellor for the Lexington Campus is the principal academic and administrative officer responsible for planning, development and operations for the Lexington Campus. Dr. Robert Hemenway resigned recently from this position to become Chancellor of Kansas University.

The recommendation that Elisabeth A. Zinser be appointed Chancellor for the Lexington Campus comes after a comprehensive national search conducted by the President and an Advisory Committee of faculty, students and staff representing all sectors of the University. Dr. Zinser was one of five final candidates for the position brought to the campus for interviews with deans, department chairs, faculty, staff, administrators, students, the Senate Council, and the Advisory Committee. Very strong support for the appointment of Dr. Zinser exists in all segments of the University community and she was the overwhelming choice of the Advisory Committee.

Dr. Zinser is currently the President of the University of Idaho, a land grant university with an enrollment of over 11,500 students. She has served in this position since 1989. She has served as Vice-Chancellor for Academic Affairs at the University of North Carolina at Greensboro (for six years) and as Dean of Nursing at the University of North Dakota (for five years). Dr. Zinser received a B.S. from Stanford University, a M.S. from the University of California at San Francisco, a Ph.D. in Educational Psychology from the University of California at Berkeley, and a S.M. in Management from the Massachusetts Institute of Technology. She is nationally known and highly respected as an educator and brings to the University a unique and unusual blend of competence and experience as a high level administrator.

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Action taken:    Approved ☒    Disapproved ☐    Other ☐ \_\_\_\_\_



PR 3C

Office of the President  
June 13, 1995

Members, Board of Trustees:

APPOINTMENT OF BOARD OF DIRECTORS  
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

**Recommendation:** that approval be given to (1) the appointment of Dr. Patrick McNamara, (faculty member) to the Board of Directors of the University of Kentucky Research Foundation (UKRF) for a three-year term beginning July 1, 1995 and ending June 30, 1998; and (2) the reappointment of Ms. Kay S. Bell (Trustee member) and Ms. Marian M. Sims (Trustee member) for three-year terms beginning July 1, 1995 and ending June 30, 1998.

**Background:** In accordance with the by-laws, members of the Board of Directors of the University of Kentucky Research Foundation are appointed by the Board of Trustees to serve three-year terms. The Nominating Committee has recommended and the UKRF Board of Directors has endorsed the appointment of Dr. Patrick McNamara (faculty member) and the reappointment of Ms. Kay S. Bell (Trustee member) and Ms. Marian M. Sims (Trustee member).

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Action taken:    ☒ Approved    ☐ Disapproved    ☐ Other \_\_\_\_\_

# PR 4A

Office of the President  
June 13, 1995

Members, Board of Trustees:

APPOINTMENT TO ADVISORY BOARD  
LEXINGTON COMMUNITY COLLEGE

Recommendation: that approval be given to the appointment of the following individual to the Advisory Board of Lexington Community College.

Three-Year Term  
July 1, 1995 - June 30, 1998

Appointment

Mr. William H. Wilson

Background: The establishment of an Advisory Board for Lexington Community College was approved by the University of Kentucky Board of Trustees at the December 13, 1983 meeting. The action called for the President of the University to recommend seven (7) lay members of the board to serve in an advisory capacity to the President of the college and act as liaison between the community and the college to assist the college in meeting the needs of the area. One elected faculty representative and one elected student representative will complete the membership of the Board.

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Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# PR 5A

Office of the President  
June 13, 1995

Members, Board of Trustees:

## CHANGE IN DEGREE TITLE

Recommendation: that the Board of Trustees approve the change in the degree title from Bachelor of Science in Agriculture for Animal Science to Bachelor of Science in Animal Science.

Background: The College of Agriculture and the University Senate have approved undergraduate requirements for the Animal Science Program and recommend a degree title change to reflect the program changes.

Approval by the Board of Trustees of the change in degree title is required prior to submission to the Council on Higher Education.

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Action taken:



Approved



Disapproved



Other \_\_\_\_\_

# PR 5B

Office of the President  
June 13, 1995

Members, Board of Trustees:

APPOINTMENTS/REAPPOINTMENTS TO UNIVERSITY OF KENTUCKY  
BUSINESS PARTNERSHIP FOUNDATION

**Recommendation:** that approval be given to the appointment/reappointment of the following as members to the Board of Directors of the University of Kentucky Business Partnership Foundation for four-year terms beginning immediately and extending through the Spring 1999 Board meeting.

Appointments

Kim H. Burse  
Joel C. Gordon  
Richard M. Loughry

Reappointments

Donald O. Dulude  
Lois H. Gray  
James F. McDonald  
H. M. Zachem

**Background:** These appointees/reappointees were unanimously elected to the Board of Directors of the University of Kentucky Business Partnership Foundation at their April 18, 1995 meeting. The Articles of Incorporation of the University of Kentucky Business Partnership Foundation, as approved by the Board of Trustees at its meeting on August 25, 1981, require that these appointments/reappointments be approved by the Board of Trustees.

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Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# PR 5C

Office of the President  
June 13, 1995

Members, Board of Trustees:

## THE MINING ENGINEERING FOUNDATION DISTINGUISHED PROFESSORSHIP

**Recommendation:** that the Board of Trustees approve the reappointment of Professor Joseph W. Leonard, Department of Mining Engineering, as the Mining Engineering Foundation Distinguished Professor for a three-year term effective July 1, 1995.

**Background:** Professor Joseph Leonard has been serving as the Mining Engineering Foundation Distinguished Professor since July 1, 1992. A faculty committee of the College of Engineering, operating under by-laws governing the selection of candidates for titled professorships, reviewed candidates for this professorship and recommended the reappointment of Professor Leonard. The Dean of the College of Engineering concurs with the recommendation of the committee.

Funding for the professorship is provided from a quasi-endowment, which was approved by the Board of Trustees in April of 1992 for the Mining Engineering Foundation and its Mining Engineering Foundation Development Fund.

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Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

# PR 6A

Office of the President  
June 13, 1995

Members, Board of Trustees:

## PROPOSED NEW Ph.D. PROGRAM IN GERONTOLOGY

Recommendation: that the Board of Trustees authorize the new degree program, Ph.D. in Gerontology for submission to the Council on Higher Education.

Background: The proposed program will focus on aging and health. Major demographic changes stemming from increases in life expectancy and improvement in living conditions have resulted in the emergence of the aged as a significant population and in a growing concern with the phenomenon of aging. One consequence of this trend has been the evolution of a new domain of research and scholarship: Gerontology.

The program will be research oriented with an emphasis on aging and health as the highest priority for educational innovation. Expertise, methodologies and facilities from different departments throughout the University will be combined. The Sanders Brown Center on Aging, which has been designated a Commonwealth Center on excellence and is nationally known for its research, education and service programs, will provide the focus for the implementation of this doctoral program.

The Ph.D. program in Gerontology will enable its graduates to provide leadership in addressing health related issues that will become increasingly important as we move to the next century. The University of Kentucky is in a unique position to play a pioneering role in developing a multidisciplinary program in an area of vital national concern.

The proposed program has been reviewed by the Senate's Academic Programs Committee and the Senate Council, and has been approved by the University Senate.

Approval by the Board of Trustees is required prior to submission to the Council on Higher Education.

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Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# PR 6B

Office of the President  
June 13, 1995

Members, Board of Trustees:

## AMENDMENTS TO AND RESTATEMENT OF MEDICAL PRACTICE PLAN

Recommendation: that the Board approve the attached Resolution which incorporates certain amendments of this year to the Medical Practice Plan and all previous amendments, dated March 3, 1980, September 15, 1981 and June 19, 1984 to the Medical Practice Plan as adopted June 20, 1978.

Background: The Board adopted the Medical Practice Plan in 1978 to attract and retain high quality faculty through the provision of reasonable and competitive levels of compensation; to improve patient care through the provision of incentives; and to provide the necessary support to enable the College of Medicine to accomplish its educational, research and service goals. The Plan has existed with but a few minor amendments since 1978. At this time, with the changing future of healthcare, additional modifications to the Plan are warranted to ensure that both the original purposes of the Medical Practice Plan and additional features of the Plan may be served. Amendments are denoted by underlining and deletions are denoted with brackets.

Section II.B. of the Plan will be amended to incorporate the definition of clinical income as is stated in both the annual contract between the University and the administering entity and the Practice Agreements and Assignments which are executed by clinical faculty each year.

The term "Vice President" has been changed to "Chancellor" in Section II.C.2 of the Plan.

Section II.D.4 has been added to clarify that the administering entity may make provision for the expenses of operations when such expenses and costs are agreed to by the University. This will enable the administering entity to act as a Management Services Organization or provide other services to other entities upon the specific approval of the University.

Section III.B.5 of the Plan has been modified to allow the administering entity to employ those persons necessary to fulfill other authorized activities. The employment will be upon the approval of both the Dean of the College of Medicine and the Chancellor for the Medical Center.

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Action taken:



Approved

☐ Disapproved

☐ Other

## **BOARD OF TRUSTEES RESOLUTION REGARDING MEDICAL PRACTICE PLAN**

### **I. Establishment of “Geographic Full-Time Plan”**

- A.** The Board hereby establishes a geographic full-time medical service plan for the University of Kentucky College of Medicine (hereinafter referred to as “the plan”). This action shall become effective upon approval of satisfactory contractual arrangements providing for its implementation and activation.
- B.** The purposes of the Board in establishing the plan are (1) to attract and retain high quality faculty to teach, conduct research and provide patient care through the provision of reasonable and competitive levels of compensation, (2) to improve patient care through the provision of incentives, and (3) to provide the necessary support within available resources to enable the College of Medicine to accomplish its educational, research and service goals.

### **II. Terms of the Plan**

#### **A. Membership**

- 1.** Membership in the plan shall be limited to individuals who hold active full- or part-time faculty appointments in a clinical department of the College of Medicine and shall be subject to the further conditions and limitations provided herein. Membership shall not extend beyond termination of faculty appointment. The Board of Directors of the corporate entity administering the plan shall determine the conditions, limitations and benefits attendant to termination of membership.
- 2.** All faculty in clinical departments as of the activation date shall be given the option of becoming members of the plan, provided their appointment is in a department in which a majority of the full-time faculty have elected membership. In such departments, those who do not elect membership in the plan will continue to participate in the Physicians’ Services Plan as heretofore, but may subsequently elect to become members of the plan effective as of the beginning of a fiscal year, provided written notice of such election is submitted to the Dean of the College of Medicine at least one hundred fifty (150) days prior to the beginning of such fiscal year. Faculty who elect membership in the plan may not thereafter discontinue such participation. Provided, however, that the Board of Trustees, acting on appropriate recommendations, may grant an individual’s request for termination of membership in the plan where clearly warranted on the basis of program considerations.
- 3.** Membership in the plan shall be a condition of employment for all faculty appointed after the date of activation of the plan where the appointment is



to a clinical department in which a majority of those holding full-time faculty appointments are members. Faculty appointed after the activation date of the plan to clinical departments not under the plan shall not become members of the plan but shall be covered by and subject to the provisions of the Physicians' Services Plan (PSP). All faculty appointees to full-time positions subsequent to the activation date shall, without regard to membership in the plan, be subject to University policies and regulations as applied to the College of Medicine with respect to tenure and sabbatical leave except as provided in II. C. hereof. The obligation of the University with respect to tenure and leave shall be defined and established in the same manner for all such full-time faculty appointees irrespective of membership in the plan. The level or amount of such obligation to individual appointees shall be established independently from the amount of University funds allocated towards financing the total compensation of the individual; likewise, it shall not be governed by, and shall be established independently from, the amount of total compensation for the individual.

4. All faculty who become members of the plan shall, as a condition of continued employment and continued membership in the plan, submit to the Dean of the College of Medicine, upon request, a written acceptance of the terms of the plan and agreement to adhere to the terms thereof.
5. For full-time faculty, the agreement referred to in subparagraph 4 above shall include provisions confining professional practice to facilities of the University with the exception of activities which are operated as part of the approved program of the University in affiliated institutions and facilities. Exceptions may be made where required by professional ethics in temporary emergency situations.
6. Part-time faculty whose medical practice is normally carried out in a hospital which does not have a formal practice affiliation agreement with the University of Kentucky Medical Center and who receive remuneration from the College of Medicine only for educational program supervision or other educational program support activities may be exempted from the requirement that all their clinically generated income be assigned to the entity administering the plan. The exemption request must be initiated by the appropriate Department Chair and may only be granted by the Dean of the College of Medicine with the approval of the Chancellor of the Medical Center; the exemption and the approval shall be evidenced by written documents stating the precise terms of the exemption and the justification therefor. In the event that patients of such part-time faculty are admitted to the University Hospital, the Dean of the College of Medicine may, with the concurrence of the governing board of the entity administering the plan,

negotiate special arrangements with respect to the distribution of professionally generated clinical income accruing to part-time faculty so exempted. In the absence of such special arrangements, professionally generated clinical income accruing to part-time faculty from services rendered to patients in University Hospital shall be billed, collected and distributed in accord with the applicable departmental plan.

**B. Applicability to Income from Professional Services**

The plan is applicable to all professionally generated clinical income of members of the plan regardless of where services are performed. "Clinical Income" means (1) all compensation, income and payments (direct or in kind and whether characterized as fees, retainers, or otherwise) for professional services rendered or to be rendered, including, but not limited to those relating to the diagnosis, treatment or evaluation of patients or others and consultation, and (2) all payments whether direct or in kind (excluding actual travel costs) for providing advice, consultation, serving on boards or committees, overseeing, supervising or other participation with any person or entity involved with (a) health or medical care, (b) evaluation or care of patients, (c) drugs, (d) therapy of any kind, (e) accident or disease prevention, (f) health or medical care facilities, (g) programs involving health or medical care or (h) health or medical care of any other type, and (3) witness fees and payments relating to depositions, testimony or other evaluations in the capacity of a witness, and (4) all other income which relates to or would not exist but for the professional education, experience, or training of members of the Plan. The Dean has the authority to approve exceptions to clinical income and is required to document the nature of the exception and the reason. Non-clinical income and /or income from the following specific sources are excluded from the plan:

1. Payments for occasional service to governmental agencies or non-profit health or educational-related organizations after both the dean and the departmental chairperson concur that such service is of academic benefit to the University.
2. Prizes, defined as gifts in recognition of personal past achievements and not for services rendered.
3. Royalties, defined as proceeds for contributions as authors or inventors (the latter subject to University patent policy).
4. University-sponsored continuing education services.
5. Honoraria, defined as payments by agencies outside the University for occasional lectures and similar public appearances beyond normal

responsibilities and which are not in return for other services, whether given directly or indirectly.

6. Special administrative stipends, defined as payments by the University for administrative assignments beyond normal academic responsibilities.
7. Fees from a profession unrelated to that which is the qualification for University appointment, provided such fees are generated on non-University time.
8. Salaries, fringe benefits and Public Law 95-201 (Pay Comparability Act) bonuses paid by the Veterans Administration or other non-University entities pursuant to affiliation agreements specifically approved by the President of the University.

Income from excluded activities and sources remains subject to University policies and regulations.

Professional fees will be charged in accordance with an appropriate fee schedule for all services rendered with exception for professional courtesy discount or waiver granted by individual practitioners. All income and rights to income derived from professional practice as defined herein by members of the plan will be assigned to the entity administering the plan to be billed, collected, received, held and administered by such entity in accordance with the provisions of the plan. Professional practice income received directly by members shall be reported and remitted to such entity immediately upon receipt.

Payments to the College of Medicine by University Hospital or affiliated institutions for administrative services rendered by faculty are excluded from the plan, but income billed and collected by the Hospital for services rendered to patients by hospital-based physicians who are members of the plan is specifically included.

C. Compensation of Members of the Plan

Compensation of members of the plan will consist of the following:

1. University salary

This compensation will be paid to the member directly by the University from funds provided to the College of Medicine through the University operating budget. Amounts payable to individuals will be determined by

the University pursuant to established budgetary procedures applicable to the formulation of the annual operating budget of the College of Medicine.

2. Plan Income

The departmental plan for each department, developed in accordance with this policy statement and the operating agreement, will define the plan component of income for each member and will be effective upon approval of the Dean of the College of Medicine and the Chancellor [Vice President] for the Medical Center. The principles to be applied in the approval of such a plan [are] include:

- a. University and College of Medicine program needs must be met with all funds available.
- b. Funds must be available for departmental or divisional academic enrichment as well as for personal income.
- c. There must be an incentive for individuals or academic units to provide exemplary patient care in a teaching setting.

3. For purposes of tenure, the University portion of a member's salary shall not govern the obligation of the institution. Salary levels for purposes of tenure and sabbatical leave will be established and applicable to members of the plan in accordance with the following schedule:

| <u>Academic Rank</u>                                | <u>Annual Amt.</u> <sup>1</sup> |
|-----------------------------------------------------|---------------------------------|
| Professor (regular full-time appointment)           | \$ 40,000.00                    |
| Associate Professor (regular full-time appointment) | \$ 32,000.00                    |

<sup>1</sup> However, the amount shall not exceed the actual authorized salary from the University and Plan income as provided in Section II.C.1 and 2 of this plan. For V.A. faculty, amounts will be prorated based on share of full-time salary assumed by the University.

The above amounts are subject to adjustments in future years by action of the Board of Trustees in accord with general University budgetary procedures.

**D. Distribution of Income from Professional Services for Operation of the Plan and College of Medicine Enrichment**

The formula governing the distribution of income from the plan will be applied to income as it is received for the services of each member and distributed by the administering entity on a current basis in accordance with the following formula:

1. Provision for expenses of billing and collection of income and costs of administration of income received--to be quantified annually and expressed as a percentage of gross income (not to exceed actual costs) with such percentage to be applied uniformly to income produced by all members and with the proceeds being retained by the administering entity. In the event that experience during the first year of operation under the plan indicates gross inequities between departments because of differences to billing and collection, consideration will be given to amending this portion of the formula to more accurately reflect actual billing and collection costs.
2. Provision for reimbursement of University expenses attendant to the production of professional income--to be quantified annually and expressed as a percentage of gross income (not to exceed actual costs). Exceptions may be granted depending on costs to the University to allow the percentage tax to be set at different levels for different activities, but the tax will be applied uniformly for all faculty for the same activity.
3. Provision for College of Medicine Academic Enrichment Fund for use in support of programs of the College and related purposes as determined by the dean--such funds to receive 10% of the gross income with this percentage being applied uniformly to the income produced by all members.
4. Provision for other expenses, expenditures, payments, charges, obligations, commitments, reserves, and other costs of, or agreed to by, University and administering entity.

**III. Administration of Income for Professional Services**

**A. Authorization for Administration through Contractual Arrangement**

The President is authorized to negotiate and execute a contract, subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

Neither the organizational entity nor the University may change the agreement unilaterally during a plan year.

Criteria to be considered in determining that an organization is appropriately qualified to assume and fulfill the role of administering entity for income under the plan shall include the following: (1) the character of the organization, (2) the purposes for which it was created and exists, (3) its structure and governance as these bear upon assurance of appropriately representing and responding to the interest of the members of the plan and of effectively relating to the University and its program goals, and (4) whether the organization has or will have the capability to carry out the functions and fulfill the responsibilities to be assumed.

Should the President determine that no other appropriately qualified organizational entity is available and prepared to assume the role of administering entity at the time of activation of the plan, THE FUND for Advancement of Education and Research in the University of Kentucky Medical Center may be utilized as the administering entity through appropriate contractual arrangement.

**B. Conditions Applicable to Contractual Arrangement for Administration**

1. The books, records and accounts must be open at all reasonable times to inspection and audit by appropriately designated University representatives. Reporting requirements, including form, content and frequency, as well as provision for periodic audit, shall be defined in the contract.
2. The contract must not conflict with applicable laws and regulations under which the University and all its component units are governed and may not require actions by the University which conflict with basic principles under which academic institutions operate (e.g., academic privilege and tenure).
3. The contract must be negotiated between the University and a single non-profit corporation organized pursuant to Chapter 273 of the Kentucky Revised Statutes, although provision may be made for further agreements by said corporations with departmental professional service corporations under appropriate conditions.
4. The contract must provide that responsibility and authority will be retained by the University for certain matters including the following:
  - a. Appointment of faculty and house staff: (This agreement does not preclude formal agreements of units with the University for employment of such individuals to be financed from income under the plan distributed to such units);

- b. Direction and supervision of institutional activities and programs;
  - c. Assignment of duties to faculty and employees engaged in institutional programs or activities;
  - d. Control of institutional (as distinct from plan) resources, including assignment and use of space in University facilities;
  - e. Extension of program activities into community hospitals or extramural settings;
  - f. Allocation and use of University (as distinct from plan) funds;
  - g. Determination of institutional goals, objectives and priorities;
  - h. Determination of institutional staffing patterns;
  - i. Maintenance of balance among programs and their component activities;
  - j. Faculty rights and responsibilities.
5. The contract should provide for the employment and full responsibility and control by the administering entity of all staff engaged in carrying out functions involved in the administration of income under the plan and to fulfill any other authorized activities. In addition, it may permit employment by the administering entity of professionals whose primary functions are limited in scope to service or research functions which generate income under the plan and who do not have regular or special title series faculty appointment nor eligibility for tenure, provided, however, that such professionals may be employed only upon the request of a unit or department submitted with the approval of the Dean of the College of Medicine and approved by the Chancellor for the Medical Center and with payment of salary and related costs to be from income under the plan which has been distributed to the restricted account for the fund of the unit, department or division in accordance with the approved distribution formula of the departmental plan and further provided that, when employed, such professionals are to be assigned by the administering entity to the unit, department or division requesting their activities upon approval of the Dean and in accordance with the administrative structure of the College of Medicine and the University.

6. The administering entity must agree that it will not engage in the practice of medicine.
7. The contract should provide for the disposition of assets held by the administering entity in the event of termination or non-renewal of such agreement or dissolution of the administering entity, including provisions for the transfer of assets to a successor entity, if any, and other eventualities.

V. Special Salary Supplement

The Board hereby authorizes the payment of a special salary supplement, as of June 30, 1978, to those individuals currently holding appointments in clinical departments of the College of Medicine under the terms of the Physicians' Services Plan and who elect to accept the provisions of this "Geographic Full-Time Plan" by executing a "Practice Agreement and Assignment" effective as of July 1, 1978. This special salary supplement is to be paid from assets (including accounts receivable) held by THE FUND for Advancement of Education and Research in the University of Kentucky Medical Center as of June 30, 1978, and it will be in addition to the regular salary supplement to be determined on July 1, 1978. This special salary supplement will be paid in five equal monthly installments, beginning on August 7, 1978, but will be reduced on a pro rata basis in the event the individual's employment at the University is terminated, by resignation or otherwise, prior to November 30, 1978. In consideration of the individual accepting the provisions of this "Geographic Full-Time Plan", including a reduction in the University base salary for purposes of tenure and sabbatical leave, the special salary supplement will be equal to five-twelfths of the difference between the individual's base salary for 1977-78 (including the ten percent TIAA retirement contribution) plus five percent and the individual's University salary for 1978-79 (including the ten percent TIAA retirement contribution.).



# PR 6C

Office of the President  
June 13, 1995

Members, Board of Trustees:

## AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY AND KENTUCKY MEDICAL SERVICES FOUNDATION

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978 and later amended.

Background: The Board action on June 20, 1978 provided that:

The president is authorized to negotiate and execute a contract subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

Kentucky Medical Services Foundation, Inc. meets the "criteria" specified under Section 3A for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20, 1978 Board resolution and specifically satisfies the "conditions" set forth in Section 3B.

The format of the attached document is essentially the same as all previous annual contracts between the University and Kentucky Medical Services Foundation, Inc., with amendments denoted by underlining and deletions denoted with brackets.

The major substantive addition to the contract is set forth in Section 22, Additional Activities. In order to advance the healthcare delivery capability of the Medical Center, KMSF will be authorized to act as a Management Services Organization (MSO) for entities outside the University and provide administrative and other services to such entities. Such activities will be undertaken with the specific written approval of the Chancellor for the Medical Center after a determination that such specific activities either do not duplicate activities already underway by units of the Medical Center or that any duplication could be managed. It is anticipated that the provision by KMSF of services to other healthcare providers will enhance the opportunities for graduate and undergraduate medical and health related education in areas outside of the Lexington community, including areas which are currently underserved by healthcare providers. The provision of services will also aid to strengthen communication between the Medical

Center and other providers, which will enhance the University's participation in the Commonwealth Health Alliance and utilization of Kentucky Telecare, the University's telemedicine service.

A number of the additions and deletions are editorial in nature, designed to provide clarity and internal consistency in the document. The former Section 17 has been eliminated, as have corresponding passages throughout the contract which referenced the Physicians Services Plan, which was eliminated by action of the Board of Trustees at its meeting of May 2, 1995. Reference to that plan had been necessary in the 1978 action because certain members of the faculty exercised their rights to remain in that plan as opposed to changing to the Medical Practice Plan.

Sections 5, 6 and 7 reflect the 1995-96 reimbursements between the Foundation and the University to insure that the Foundation bears 100% of the expenses attendant to the production of clinical income, and that the Foundation is fully compensated for professional services provided to the University, such as student health service examinations and certain specified physical examinations of employees. The Foundation and the University will continue to jointly undertake certain activities set forth in Section 7, and will continue to engage in cost settlements for shared costs. This Section 7 will now allow the Foundation to remit directly to the Fund for Advancement of Education and Research in the Medical Center those fees generated by faculty members in the Colleges of Allied Health, Nursing or Pharmacy when such faculty members are members of their College Practice Plan and engage in clinical activities in support of the College of Medicine and for which a unified bill is typically submitted to a third party payor.

Amendments to Section 11B. have been made to more accurately follow the language in Section III. B. 5 of the Geographic Full Time Plan, as originally written. The corrected language will now allow the Foundation to engage the services of non-faculty personnel, which will be essential to support the contemplated MSO activities. The Geographic Full Time Plan had previously authorized this type of employment, though the provision had not been included in the annual contract.

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Action taken:



Approved

☐ Disapproved

☐ Other

THIS AGREEMENT made and entered into this 13th day of June, 1995 by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq. of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as the Foundation).

WITNESSETH:

Section 1. RECITALS

A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

B. [A substantial number of] University['s] faculty in the clinical departments or units of the [its] College of Medicine have heretofore executed agreements with Foundation, known as "Practice Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.

C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members who produce income are permitted, through the medium of the Foundation, to exercise a larger voice in the expenditure of the funds so produced.

E. The University has heretofore established, [ in addition to its previously adopted Physicians Service Plan,] a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978, as amended on March 3, 1980, September 15, 1981 and June 19, 1984; said action is hereby incorporated by reference as if set out in full herein.

F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

## Section 2. DEFINITIONS

A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University

dated June 20, 1978, as amended on March 3, 1980, September 15, 1981 and June 19, 1984.

B. "Clinical Income" means the following income attributable to members of the Plan :

(1) all compensation, income and payments (direct or in kind and whether characterized as fees, retainers, or otherwise) for professional services rendered or to be rendered, including, but not limited to those relating to the diagnosis, treatment or evaluation of patients or others and consultation, and (2) all payments whether direct or in kind (excluding actual travel costs) for providing advice, consultation, serving on boards or committees, overseeing, supervising or other participation with any person or entity involved with (a) health or medical care, (b) evaluation or care of patients, (c) drugs, (d) therapy of any kind, (e) accident or disease prevention, (f) health or medical care facilities, (g) programs involving health or medical care or (h) health or medical care of any other type, and (3) witness fees and payments relating to depositions, testimony or other evaluations in the capacity of a witness, and (4) all other income which relates to or would not exist but for the professional education, experience, or training of members of the Plan. The Dean has the authority to approve exceptions to clinical income and is required to document the nature of the exception and the reason. Provided, however, that nothing contained herein shall be deemed to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice Agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University, [The Fund for Advancement of Education and Research in the University of Kentucky Medical Center] and the Foundation.

D. "University" as used herein, whenever approvals or consents are necessary, shall mean the approval or consent of the President or his or her designee unless the President determines that approval by the Board of Trustees is necessary.

### Section 3. NATURE OF THE AGREEMENT

Pursuant to Paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, as amended on March 3, 1980, September 15, 1981 and June 19, 1984, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under University's "geographic full-time medical services plan".

### Section 4. BILLING AND COLLECTION

Foundation agrees that it will accept, pursuant to practice agreements, the assignment of all clinical income by participants in the plan and, in accordance with such assignment, agrees to bill the amount of duly established charges for professional services to parties determined to be responsible for payment of such charges.

## **Section 5. REIMBURSEMENT OF UNIVERSITY**

A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.

B. Foundation agrees to pay to University, as reimbursement for the expenses attendant to the production of clinical income, University's actual annual costs, as determined by clinical department or unit expenditures as reimbursement of personnel expenses and other current expenses, in direct support of the operation of the Kentucky [Clinic] Clinics.

Payments hereunder shall be in the following manner:

1. The University will submit statements of actual expenditures for each month by the 15th day of the succeeding month. KMSF will reimburse the University of Kentucky by the 22nd day of that month.

C. In addition to the above, Foundation agrees that it will reimburse University for all "plan income", as that term is defined in paragraph II, C., 2 of the plan paid to faculty by University.

## **Section 6. REIMBURSEMENT OF FOUNDATION**

A. The parties recognize that University receives certain services from Foundation, for which it is due reimbursement as specified herein below.

B. University agrees to pay to Foundation on behalf of members of the Department of Diagnostic Radiology for the provision of x-ray interpretation services to the University student Health Service during the period July 1, 1995 - June 30, 1996 a sum not to exceed Twenty-One Thousand Seven Hundred Ninety-Five (\$21,795.00) Dollars [Twenty-One Thousand One Hundred Sixty (\$21,160.00) Dollars].

C. University agrees to pay Foundation for provision of health services at Kentucky Clinic Prestonsburg by University Health Services an amount equal to \$1,000.00 per annum for each physician providing services at that site, payable in two (2) equal installments on July 15 and January 15 of each fiscal year.

D. University agrees to pay to Foundation on behalf of the clinical faculty members of the College of Medicine, for provision of specified physical examinations to certain University employees, a fee for each examination.

E. University agrees to pay Foundation on behalf of clinical faculty members of the Department of Pathology, for provision of pathology services to the University Health Service, a fee of \$6.00 per patient for pap smears, whether normal or abnormal, for the professional component. All other pathology professional services are to be reimbursed at 50% of the usual and customary fee for each service rendered.

F. University will make payment to Foundation quarterly, on the last calendar day of each quarter beginning September 30, 1995, for services as described in paragraph B and C hereinabove. University will make payment to Foundation monthly, on the last calendar day of each month beginning July 31, 1995, for services as described in paragraphs [C and] D and E .



## **Section 7. COST SETTLEMENTS**

A. The parties recognize that the provision of medical services in the current competitive environment may at times require a joint effort on the part of the University and University Hospital and the Foundation in the formation of new delivery systems. These efforts may at times require the sharing of cost between the parties. Formulas for the sharing will be developed and mutually agreed to by the parties, which may result in payment by the University to the Foundation, or by the Foundation to the University. Examples of such joint efforts include but are not limited to:

1. Discounts to University of Kentucky employees and their dependents as part of the University of Kentucky Health Plan.
2. The operation of the UK Health Care Plans.
3. The operation of certain marketing efforts.
4. Payment to the Fund for Advancement of Education and Research for the Medical Center for services rendered to clinical departments or units of the College of Medicine by members of practice plans of the Colleges of Allied Health, Nursing or Pharmacy.

B. The parties also agree that data exchanges between the computer systems, regardless of mode, of the University and the Foundation will be payable at cost by the receiving party, or offset by an exchange of data deemed by both parties to be payment-in-kind.

C. Foundation may, on behalf of the members of the clinical departments of the College of Medicine, acquire real and/or personal property to be utilized in the production of clinical

income resulting from teaching, research and service functions performed by the members of the clinical departments. Acquisition of said real and/or personal property must be authorized by the Board of Directors of Foundation.

#### Section 8. ACADEMIC ENRICHMENT FUND

A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981 and June 19, 1984, Foundation agrees that it will pay to The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (hereinafter Fund), an amount equal to one and one-half (1.5%) percent of the actual clinical income collected by said Foundation. The Fund will deposit said payments in an account maintained for the purpose of providing an academic enrichment fund to be used by the Dean of the College of Medicine in support of the programs of said College and for related purposes. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to the Fund within twenty-five (25) days after the close of each monthly accounting period.

B. Foundation further agrees that it will deposit, in an account maintained by Foundation, on the same basis as provided in subsection A. hereinabove, an additional amount equal to three and one-half (3.5%) percent of the actual clinical income collected by said Foundation, said funds to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes in his sole discretion.

## Section 9. PARTICULAR COVENANTS OF THE FOUNDATION

A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on June 19, 1978, as amended have been inspected by the University as a condition precedent to University's entry into this agreement. Foundation covenants that it will not, absent the written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.

B. Foundation covenants it will not engage in the practice of medicine, nor will it without the written consent of the University solicit, administer, receive, perform, or accept any gift, grant, devise, bequest, contract or other arrangement with or from any governmental unit, entity, or subdivision or any person, corporation, partnership, association or other entity whatsoever except as specifically permitted herein or as necessary for normal business operations. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.

C. Foundation covenants that it will not without the written consent of the University merge with any other corporation or convey all or substantially all [any substantial portion] of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as follows: (a) all liabilities and obligations of the corporation shall be paid,

satisfied and discharged, or adequate provisions shall be made therefore; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned, transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky, or its successor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality of the State of Kentucky or as an exempt organization under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, or scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended.

#### Section 10. MEMBERSHIP AND BENEFITS

A. University agrees that it will assist the Foundation to enforce the terms of the practice agreements entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.

B. University agrees that it will require, as a condition of employment as a member of University's faculty in a clinical department or unit [in which a majority of the faculty members have executed practice agreements,] that new members of its faculty execute said practice

agreement in form identical to said agreements heretofore executed or as the form of same may be modified by mutual agreement of the parties hereto from time to time.

C. Foundation agrees that it will not, absent the written consent of University, bill for, collect or administer any item of income for any person or entity not holding membership in the plan, nor will it permit persons not members of the plan to serve as voting members on its Board of Directors, nor will it provide any benefit or other thing of value to any person or entity not a member of the plan except for (1) termination benefits to former members as provided by action of Foundation's Board of Directors, (2) incidental benefits indirectly accruing to employees of the Medical Center by virtue of Foundation's activities, (3) students and House Staff of University, (4) payment to nurses incident to their recruitment to the University Medical Center, (5) education and technical training costs of persons involved in patient care activities [or] (6) education and technical training costs of persons indirectly involved in patient care activities if approved on a case by case basis by the Dean of the College of Medicine or the Chancellor for the Medical Center, or (7) to persons who engage the services of the Foundation pursuant to the provisions of Section 22. Additional Activities, or (8) to persons whose employment is authorized by Section 11.B., or (9) payments in the ordinary course of its business.

D. In order to provide University of Kentucky Health Plan patients with a unified bill, Foundation is authorized to bill and collect the following items: clinic Pharmacy charges, patient deductible and non-covered items, fees generated by the faculty in the clinical practice plans of the colleges in the Medical Center and Oral Surgery fees. The collection and billing shall be in accord with that certain contract entered into between the University and the Foundation.

**E. In certain contractual relationships for the provisions of patient care services it may be necessary or advantageous to present a unified billing, incorporating charges from a University patient care organizational entity(ies) and Foundation members. In such cases, the Foundation is authorized to bill and collect on behalf of the University patient care organizational entity(ies) provided a proposed unified billing arrangement has been developed and agreed to by the parties and has been reviewed by University legal counsel.**

**Examples of such unified billing include but are not limited to:**

- 1. Charges for hospital and physician services under the terms of an agreement with Humana or Healthwise Health Care Plans for the provision of kidney transplantation services.**
- 2. Hourly charges for physician, dental, and nursing services rendered at the Family Care Center under the terms of an agreement with the Lexington-Fayette Urban County Department of Social Services.**
- 3. University of Kentucky Health Care Plans.**

**F. Foundation shall assume responsibility for the costs of drugs and supplies to be used in the patient care programs in the clinics and is hereby authorized to bill, collect, and deposit in foundation accounts revenue from these non ancillary items.**

**G. Foundation agrees that it will strictly enforce the terms of its practice agreements, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.**

## Section 11. PERSONNEL

The parties recognize that the Foundation must employ various individuals to carry out its functions hereunder; however, the parties also recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

### A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary or appropriate to permit said Foundation to bill and collect professional fee income, [and] to administer its funds in accord with the terms of the geographic full-time plan, and to fulfill any other authorized activities of Foundation.

The Foundation is authorized to house computer equipment as may be required to communicate with its central computer and house sufficient employees in the [University Medical Plaza and the] Kentucky Clinic [Building] Buildings for the purpose of providing billing and financial information to patients of University physicians who are members of [KMSF] the plan and other activities as mutually agreed. In addition, Foundation is also authorized to utilize

designated storage space in the basement of the Kentucky Clinic Building for information necessary to the billing function.

B. Other personnel

During the term of this contract Foundation agrees that it will not have any employees [employ persons] other than the employees authorized in Section 11. A. to perform services in University's patient care or college programs. Provided, however, that this provision shall not be construed to prohibit specific agreements between the parties whereby Foundation [University] will employ personnel for [such] service [and/or research] duties [with reimbursement of salary, fringe benefits and other direct costs by Foundation] which generate income, provided, however, that such professionals may be employed only upon the recommendation and approval of the Dean of the College of Medicine and approval of same by the Chancellor for the Medical Center, with payment of salary and related costs to be from income produced by such professional activity. [Where such personnel are employed for service in a specific department or unit, employment will not occur unless (1) the department or unit where service is to be performed has consented in writing to said appointment, (2) the Dean of the College of Medicine has approved the employment and the job description related thereto and (3) funds for the salary, fringe benefits, and other costs related to said employee are either on hand or reasonably anticipated in the departmental or unit budget.]

C. Equal opportunity-affirmative action

Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age, [or] handicap or disability. The Foundation agrees that it will take affirmative action to ensure that applicants are employed



and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age, handicap, or disability. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, age, handicap, or disability. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University including the individual members thereof, officers, agents and employees of the University by reason of any action by law or equity or by reason of any administrative proceeding initiated by any person arising out of any such employment action or practice of Foundation, including the payment of attorney fees and other costs of such action or proceeding.

#### Section 12. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981 and June 19, 1984, require that institutional control be maintained with respect to direction and supervision of institutional activities and programs, assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.

Accordingly, Foundation and University agree that University will provide space within the University Hospital, Kentucky Clinic and other space officially designated for the conduct of the patient care operations.

With respect to any other facilities which University may from time to time provide to Foundation, Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same.

### Section 13. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of University programs, are and shall remain the property of University and that Foundation has no right or property interest therein. Provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty, staff, and students pursuant to existing University procedures. Provided, further, that University agrees to provide or make available to Foundation such information and data from patient records and program data maintained by university as may be necessary for Foundation to perform its billing and collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payors as the basis for payment for services.

#### Section 14. INSPECTION AND AUDIT

A. Foundation agrees that, in accord with paragraph II, B. 1, of the action of University's Board of Trustees dated June 20, 1978, as amended on June 19, 1984, its books, records and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

B. The parties agree that the University's Internal Auditors may conduct an audit of Foundation's operations and accounts for the period ending June 30, 1995 and such other audits, including audits to determine compliance with this agreement, the terms of the action of University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, and the terms of the departmental agreements referred to in Section 20 hereof, as may be deemed necessary or advisable by the University of Kentucky Controller and Treasurer, and that Foundation's agents and employees will cooperate to the fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants, mutually agreeable to the parties, will be employed by the Foundation to perform an independent audit of the Foundation's books, records and accounts for the year ended June 30, 1995.

C. The Parties agree that Foundation will be furnished information by University sufficient for Foundation to verify the nature and detail of expenditure of funds paid to University under the provisions of Section 5. B [c. 1.] hereinabove.

Foundation agrees that it will furnish to University the following reports.

**Annually**

Audited Financial Statements to include:

Opinion of Independent Certified Public Accountants

Balance Sheet

Statement of Changes in Fund Balances

Statement of Current Revenues and Expenditures

Supplementary Schedules

**Quarterly**

Financial Statements to include:

Balance Sheet

Statement of Operations

Gross Billings

Collections

Financial Allowances

Bad Debts

Aging Trial Balance of Accounts Receivable by:

Patient liability

Third party payor

Reports of Delinquent Account Activity upon written request of University Treasurer to include:

Referrals

Collections

#### **Section 15. DEFAULT**

A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against Foundation in any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such additional time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.

B. University may at its election terminate this agreement with respect to any department without termination of the entire agreement for the same reasons and in the same manner as provided hereinabove.

#### Section 16. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program. University agrees that, when so acting, said faculty, house staff and students shall be considered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941 or other University funds.

#### [ Section 17. APPLICATION OF INCOME

University agrees that it will not, during the operation of this contract, cause charges for services rendered after July 1, 1978 by members of the faculty who have executed Practice Agreements and Assignments to be deposited in the accounts of the Fund for Advancement of Education and Research in the University of Kentucky pursuant to the provisions of University of Kentucky Administrative Regulation AR II-7.0; deposits of such charges attributable to faculty who have not executed said Practice Agreements and Assignments shall continue pursuant to said regulation. ]

**Section 17.[18] MANAGEMENT AND INVESTMENT POLICIES**

A. The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of [professional] clinical income and the provision of a sum equal to ten (10%) percent (which sum is inclusive of the (5%) five percent described in Sections 8A. and B.) of Foundation's gross clinical income received for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred for costs of administration and in billing and collecting said income and providing for the central administration of Foundation.

B. Foundation agrees that funds deposited in a separate account pursuant to Section 8, paragraph B, Academic Enrichment Fund and the separate account pursuant to its contribution for self-insurance identified in Section 18 [19], paragraph B shall be invested only in the following: (1) direct obligations of the United States Treasury; (2) other appropriate securities issued by federal agencies (including the Federal National Mortgage Association, the Export Import Bank, Federal Intermediate Credit Bank, Federal Home Loan Banks, Federal Land Banks); and (3) certificates of deposit collateralized by direct obligations of the federal government, federal agency obligations which qualify for direct investment under this recommendation, general obligations of the Commonwealth of Kentucky or obligations of the University of Kentucky.

Foundation shall be entitled to the investment income derived from said account, provided however investment income derived from balances attributed to the academic

enrichment fund identified in subparagraph A. and Section 8, paragraph B. hereinabove shall be credited to said account.

Section 18. [19] PROVISION OF PROFESSIONAL LIABILITY INSURANCE

A. Under the restructured professional liability insurance program of total self insurance, Foundation agrees that it will pay the University, as reimbursement, for its portion (52.64%) [48.62%] of the costs of the following: (i) payments required pursuant to KRS 164.941 in one (1) lump sum payment within five (5) working days of receipt of amount due, and (ii) costs of claims administration in twelve (12) equal installments the first said installment being due and payable on July 31, 1995 and monthly thereafter until June 30, 1996.

B. In addition, Foundation has agreed that between July 1, 1995 and June 30, 1996, it will cause to be deposited in a separate account within the Foundation the sum of 52.64% [48.62%] of the additional contributions for self insurance, said account together with interest accruing thereto to be held solely for the purpose of payment of legal fees, settlements, judgments arising for liabilities defined in KRS 164.941 (3) and for lump sum payments referenced in previous paragraph 18A [19A]. No monies in said account shall be expended for any other purpose than as provided in this Section without the written agreement of University, nor shall such monies be encumbered, pledged or otherwise hypothecated. The monies in said account shall be invested only as provided in Section 17 [18] hereof. Payment to the separate account may be in equal installments, provided that the full 52.64% [48.62%] shall be deposited by June 30, 1996.



C. In sum, Foundation agrees to pay in both assessments and contributions 52.64% [48.62%] of the total program costs of 1995-96 as determined by KRS 164.941 and the Malpractice Risk Management Committee.

Section 19 [20] ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation [agrees that it will], after making provision for[.] (1) the costs of its administration and costs relating to billing and collection, (2) reimbursement of University expenses pursuant to Section 5 hereof, (3) the College of Medicine Academic Enrichment Fund pursuant to Section 8 hereof and (4) provision of professional liability insurance pursuant to Section 18 [19] hereof, and (5) provision of payment of actual expenses incurred by it which are attendant to the production of clinical income, and (6) such reserves as are reasonably determined by the Foundation's Board of Directors, and (7) other obligations which are agreed to by the Foundation and are made pursuant to any agreement with, at the written request of, or ratified in writing by the University of Kentucky, agrees that it will maintain its accounts in such a manner that income attributable to the professional clinical activities of each of the following departments or divisions shall be shown separately on books maintained by the Foundation from any other fund or funds held by said Foundation:

Anesthesiology  
[Center of Excellence for Rural Health Care]  
Diagnostic Radiology  
Emergency Medicine  
Family Practice

Kentucky Clinic Frankfort

Kentucky Clinic Hazard

Kentucky Clinic Prestonsburg

Kentucky Clinic South

[Kentucky Model Clinic]

Internal Medicine

Neurology

Ob/Gyn

Ophthalmology

Pathology and Laboratory Medicine

Pediatrics

Preventive Medicine & Environmental Health

Psychiatry

Radiation Medicine

Physical Medicine and Rehabilitation

Surgery

B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned accounts only in accord with the departmental or divisional agreement relating to each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to time, as provided in said agreements, with the approval of the Dean of the College of Medicine.

**Section 20. [21] NOTICES**

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

[Dean, College of Medicine]

Vice Chancellor for Clinical Professional Services

MN-150

University Medical Center

800 Rose Street

Lexington, Kentucky 40536-0084

In the case of Foundation to:

Kentucky Medical Services Foundation, Inc.

729 South Limestone

Lexington, Kentucky 40508

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed served on the date it is deposited in the United States mails properly addressed and with postage fully prepaid.

#### Section 21. [22] RECORDS ACCESS

Pursuant to Public Law 96-499, Section 952, the Foundation agrees to make available upon written request from the Secretary of Health and Human Resources, the Comptroller General, or the Department of Human Services of the State of Kentucky or any of their duly authorized representatives, access to this contract and all other service agreements written or oral entered into or renewed since December 5, 1980, and access to books, documents, and records necessary to verify costs of services provided by such arrangements exceeding \$10,000 in a 12-month period. Access will be permitted until four years have expired after completion of services furnished, and in accordance with the applicable federal regulations.

If the Foundation carries out any of the duties under this contract through a sub-contract with a related organization, with a value or cost of \$10,000 or more over a 12-month period, such sub-contract shall contain a clause to the effect that until the expiration of four years after the furnishing of such services pursuant to the sub-contract, the related organization shall make available, upon written request from the Secretary or Comptroller General, or any of their duly authorized representatives, the sub-contract, books, documents and records of such organization that are necessary to verify the nature and extent of such costs.

#### Section 22. [23] ADDITIONAL ACTIVITIES

The University recognizes that in the changing healthcare delivery environment, the future condition of the University of Kentucky Medical Center and the University of Kentucky

College of Medicine will depend on adjusting to those changes. The Foundation recognizes that it can assist the University to make these adjustments and that it should do so when such assistance can be provided without having a material negative impact on the Foundation's primary duty to bill and collect on behalf of its members. Thus, subject only to the limitation that the Foundation not engage in the practice of medicine or duplicate other activities of the University of Kentucky Medical Center, the Foundation with the prior written approval of the University shall be entitled and is authorized to engage in and pay for the expenses of business activities of any and/or all types, (directly or indirectly, as an owner, investor or through a wholly or partly owned subsidiary, pursuant to a contract and/or joint venture and/or in any other manner) including but not limited to the provision of administrative services, a Management Services Organization (MSO), and other services to individuals and entities within and/or outside the Plan. It is also authorized with prior written approval of the University to incur obligations in connection therewith, as contemplated by or authorized pursuant to this Agreement. The University shall determine whether duplication of activities exists and a means for resolution of same.

#### Section 23. [24] TERM

The term of this agreement shall begin on July 1, 1995 and end on June 30, 1996.

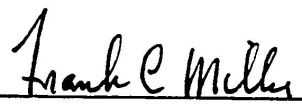
IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES OF  
THE UNIVERSITY OF KENTUCKY

BY:   
Charles T. Wethington, Jr.  
President  
University of Kentucky

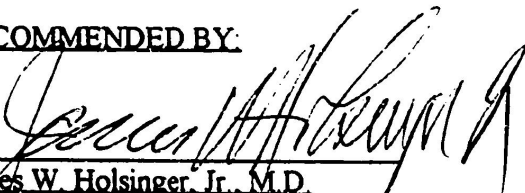
ATTEST: \_\_\_\_\_

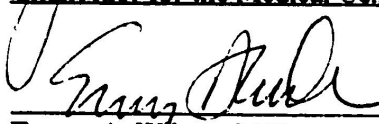
KENTUCKY MEDICAL SERVICES  
FOUNDATION, INC.

BY:   
President

ATTEST: \_\_\_\_\_

RECOMMENDED BY:

  
James W. Holsinger, Jr., M.D.  
Chancellor for the Medical Center

  
Emery A. Wilson, M.D.  
Vice Chancellor for Clinical Professional Services

# FCR 1

Office of the President  
June 13, 1995

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE TEN MONTHS ENDED  
APRIL 30, 1995

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the ten months ended April 30, 1995.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of April 30, 1995, the University had realized income of \$886,899,000 representing 93% of the 1994-95 estimate of \$955,226,000. Expenditures and commitments total \$780,647,000 or 82% of the approved budget of \$955,226,000.

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Action taken:

☒ Approved

☐ Disapproved

☐ Other

\_\_\_\_\_

**CONSOLIDATED BALANCE SHEET**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**APRIL 30, 1995**  
with comparative totals for April 30, 1994  
(in thousands)

|                                                                 | 1994-95                          |                                |                  |                    |                     | 1993-94             |                     |
|-----------------------------------------------------------------|----------------------------------|--------------------------------|------------------|--------------------|---------------------|---------------------|---------------------|
|                                                                 | Current<br>Unrestricted<br>Funds | Current<br>Restricted<br>Funds | Loan<br>Funds    | Endowment<br>Funds | Plant<br>Funds      | Totals              | Totals              |
| <b>ASSETS</b>                                                   |                                  |                                |                  |                    |                     |                     |                     |
| Cash and cash equivalents                                       | \$ 138,318                       | \$ 23,749                      | \$ 1,872         | \$ 756             | \$ 121,538          | \$ 286,233          | \$ 284,716          |
| Notes, loans, and A/R (less bad<br>debt allowances of \$10,336) | 87,096                           | 13,594                         | 19,577           | 835                | 2,484               | 123,586             | 106,372             |
| Investments                                                     | 11,901                           | 53,219                         |                  | 121,055            | 105,931             | 292,106             | 229,432             |
| Property, plant, and equipment                                  |                                  |                                |                  |                    | 1,178,414           | 1,178,414           | 1,111,123           |
| Inventories and other                                           | 12,702                           | 79                             |                  |                    |                     | 12,781              | 13,608              |
| <b>Total Assets</b>                                             | <b>\$ 250,017</b>                | <b>\$ 90,641</b>               | <b>\$ 21,449</b> | <b>\$ 122,646</b>  | <b>\$ 1,408,367</b> | <b>\$ 1,893,120</b> | <b>\$ 1,745,251</b> |
| <b>LIABILITIES AND FUND BALANCES</b>                            |                                  |                                |                  |                    |                     |                     |                     |
| <b>Liabilities</b>                                              |                                  |                                |                  |                    |                     |                     |                     |
| Accounts payable                                                | \$ 26,733                        | \$ 1,854                       | \$ 13            |                    | \$ 605              | \$ 29,205           | \$ 26,582           |
| Employee withholdings and<br>deposits                           |                                  | 18,007                         |                  |                    |                     | 18,007              | 8,699               |
| Other liabilities                                               | 3,290                            | 226                            |                  |                    | 4,768               | 8,284               | 8,192               |
| Liability for self insurance                                    | 26,705                           |                                |                  |                    |                     | 26,705              | 22,986              |
| Deferred income                                                 | 4,672                            | 12,326                         |                  |                    |                     | 16,998              | 16,565              |
| Bonds payable                                                   |                                  |                                |                  |                    | 353,926             | 353,926             | 327,274             |
| Capitalized lease obligation                                    |                                  |                                |                  |                    | 34,158              | 34,158              | 33,866              |
| Net deferred revenues and<br>appropriated fund balances         | 116,583                          | 1,114                          |                  |                    |                     | 117,697             | 120,075             |
| <b>Total Liabilities</b>                                        | <b>177,983</b>                   | <b>33,527</b>                  | <b>13</b>        |                    | <b>393,457</b>      | <b>604,980</b>      | <b>564,239</b>      |
| Interfund Balances                                              | (26,876)                         | 28,455                         |                  |                    | (1,579)             |                     |                     |
| <b>Fund Balances</b>                                            |                                  |                                |                  |                    |                     |                     |                     |
| <b>Current unrestricted</b>                                     |                                  |                                |                  |                    |                     |                     |                     |
| Working capital                                                 | 88,086                           |                                |                  |                    |                     | 88,086              | 70,063              |
| Future operating purposes                                       | 7,894                            |                                |                  |                    |                     | 7,894               | 6,562               |
| Other                                                           | 2,930                            |                                |                  |                    |                     | 2,930               | 2,547               |
| <b>Current restricted</b>                                       |                                  | <b>28,659</b>                  |                  |                    |                     | <b>28,659</b>       | <b>28,076</b>       |
| Loan                                                            |                                  |                                | 21,436           |                    |                     | 21,436              | 20,720              |
| True endowments                                                 |                                  |                                |                  | \$ 69,454          |                     | 69,454              | 65,899              |
| Term endowments                                                 |                                  |                                |                  | 6,302              |                     | 6,302               | 5,136               |
| Quasi endowments                                                |                                  |                                |                  | 46,890             |                     | 46,890              | 40,881              |
| Plant                                                           |                                  |                                |                  |                    |                     |                     |                     |
| Retirement of indebtedness                                      |                                  |                                |                  |                    | 40,205              | 40,205              | 38,403              |
| Renewal and replacement                                         |                                  |                                |                  |                    | 66,442              | 66,442              | 63,953              |
| Allocated for designated<br>projects                            |                                  |                                |                  |                    | 108,882             | 108,882             | 82,379              |
| Net investment in plant                                         |                                  |                                |                  |                    | 800,960             | 800,960             | 756,393             |
| <b>Total Fund Balances</b>                                      | <b>98,910</b>                    | <b>28,659</b>                  | <b>21,436</b>    | <b>122,646</b>     | <b>1,016,489</b>    | <b>1,288,140</b>    | <b>1,181,012</b>    |
| <b>Total Liabilities and<br/>Fund Balances</b>                  | <b>\$ 250,017</b>                | <b>\$ 90,641</b>               | <b>\$ 21,449</b> | <b>\$ 122,646</b>  | <b>\$ 1,408,367</b> | <b>\$ 1,893,120</b> | <b>\$ 1,745,251</b> |



**CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE TEN MONTHS ENDED APRIL 30, 1995**  
with comparative totals for April 30, 1994  
(in thousands)

|                                 | (A)                        | (B)                       | (C)                         | (D)      | (E)                         | (F)      |
|---------------------------------|----------------------------|---------------------------|-----------------------------|----------|-----------------------------|----------|
|                                 | <b>1994-95</b>             |                           |                             |          | <b>1993-94</b>              |          |
|                                 | <u>Original<br/>Budget</u> | <u>Revised<br/>Budget</u> | <u>Realized<br/>To Date</u> | <u>%</u> | <u>Realized<br/>To Date</u> | <u>%</u> |
| <b>General Fund</b>             |                            |                           |                             |          |                             |          |
| (1) State appropriations        | \$ 321,594                 | \$ 321,594                | \$ 321,316                  | 100      | \$ 311,549                  | 99       |
| (2) Student fees                | 120,703                    | 120,507                   | 116,340                     | 97       | 108,459                     | 98       |
| (3) Federal appropriations      | 15,293                     | 15,280                    | 13,015                      | 85       | 12,860                      | 84       |
| (4) County appropriations       | 5,613                      | 5,823                     | 3,889                       | 67       | 3,855                       | 69       |
| (5) Endowment income            | 785                        | 785                       | 528                         | 67       | 495                         | 62       |
| (6) Investment income           | 6,148                      | 6,148                     | 8,134                       | 132      | 5,421                       | 90       |
| Gifts and grants                |                            |                           |                             |          |                             |          |
| (7) Affiliated corporations     | 9,481                      | 10,381                    | 7,013                       | 68       | 6,849                       | 71       |
| (8) Other                       | 5,231                      | 5,473                     | 4,449                       | 81       | 3,590                       | 80       |
| (9) Sales and services          | 26,806                     | 27,667                    | 21,607                      | 78       | 18,937                      | 75       |
| (10) Fund balance               | <u>9,140</u>               | <u>14,342</u>             | <u>14,342</u>               | 100      | <u>11,946</u>               | 100      |
| (11) Total General Fund         | 520,794                    | 528,000                   | 510,633                     | 97       | 483,961                     | 96       |
| (12) Auxiliary Enterprises      | 37,361                     | 37,464                    | 32,825                      | 88       | 32,485                      | 88       |
| (13) Restricted Funds           | 76,482                     | 77,363                    | 60,416                      | 78       | 52,537                      | 73       |
| (14) Affiliated Corporations    | 117,258                    | 118,472                   | 102,916                     | 87       | 96,892                      | 91       |
| <b>Hospital</b>                 |                            |                           |                             |          |                             |          |
| (15) Revenues                   | 189,427                    | 193,927                   | 180,109                     | 93       | 175,797                     | 92       |
| (16) Fund balance               | <u></u>                    | <u></u>                   | <u></u>                     |          | <u></u>                     |          |
| <b>Total Revenues and</b>       |                            |                           |                             |          |                             |          |
| (17) Appropriated Fund Balances | <u>\$ 941,322</u>          | <u>\$ 955,226</u>         | <u>\$ 886,899</u>           | 93       | <u>\$ 841,672</u>           | 92       |

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE TEN MONTHS ENDED APRIL 30, 1995**  
with comparative totals for April 30, 1994  
(in thousands)

|                                        | (A)                | (B)               | (C)                                | (D) | (E)                                | (F) |
|----------------------------------------|--------------------|-------------------|------------------------------------|-----|------------------------------------|-----|
|                                        | <b>1994-95</b>     |                   |                                    |     | <b>1993-94</b>                     |     |
|                                        | Original<br>Budget | Revised<br>Budget | Expended/<br>Encumbered<br>To Date | %   | Expended/<br>Encumbered<br>To Date | %   |
| (1) Instruction                        | \$ 236,231         | \$ 231,444        | \$ 182,803                         | 79  | \$ 175,078                         | 78  |
| (2) Research                           | 116,443            | 117,302           | 91,804                             | 78  | 88,874                             | 85  |
| (3) Public service                     | 82,301             | 81,302            | 71,501                             | 88  | 62,675                             | 80  |
| (4) Academic support                   | 62,020             | 66,071            | 55,175                             | 84  | 44,672                             | 75  |
| (5) Student services                   | 22,186             | 23,642            | 18,819                             | 80  | 17,752                             | 79  |
| (6) Institutional support              | 41,981             | 45,889            | 32,010                             | 70  | 28,659                             | 69  |
| (7) Student financial aid              | 56,970             | 57,680            | 54,566                             | 95  | 52,221                             | 95  |
| (8) Operation and maintenance          | 43,587             | 47,690            | 37,203                             | 78  | 31,552                             | 76  |
| (9) Mandatory transfers (debt service) | 32,022             | 32,022            | 31,543                             | 99  | 35,164                             | 97  |
| (10) Hospital                          | 189,870            | 194,370           | 152,672                            | 79  | 141,226                            | 74  |
| (11) Auxiliary enterprises             | 57,711             | 57,814            | 52,551                             | 91  | 49,927                             | 90  |
| (12) Total Expenditure by Program      | <u>\$ 941,322</u>  | <u>\$ 955,226</u> | <u>\$ 780,647</u>                  | 82  | <u>\$ 727,800</u>                  | 80  |

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE TEN MONTHS ENDED APRIL 30, 1995**  
with comparative totals for April 30, 1994  
(in thousands)

|                                         | (A)                | (B)               | (C)                                | (D) | (E)                                | (F) |
|-----------------------------------------|--------------------|-------------------|------------------------------------|-----|------------------------------------|-----|
|                                         | <b>1994-95</b>     |                   |                                    |     | <b>1993-94</b>                     |     |
|                                         | Original<br>Budget | Revised<br>Budget | Expended/<br>Encumbered<br>To Date | %   | Expended/<br>Encumbered<br>To Date | %   |
| (13) Personnel costs                    | \$ 538,774         | \$ 535,414        | \$ 439,779                         | 82  | \$ 416,612                         | 82  |
| (14) Operating expenses                 | 322,542            | 335,469           | 274,074                            | 82  | 244,732                            | 75  |
| (15) Mandatory transfers (debt service) | 37,322             | 37,322            | 36,173                             | 97  | 39,894                             | 97  |
| (16) Capital outlay                     | 42,684             | 47,021            | 33,920                             | 72  | 26,562                             | 79  |
| (17) Total Expenditure by Category      | <u>\$ 941,322</u>  | <u>\$ 955,226</u> | <u>\$ 783,946</u>                  | 82  | <u>\$ 727,800</u>                  | 80  |

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE TEN MONTHS ENDED APRIL 30, 1995**  
with comparative totals for April 30, 1994  
(in thousands)

|                                                                            | (A)            | (B)               | (C)               |
|----------------------------------------------------------------------------|----------------|-------------------|-------------------|
|                                                                            | <u>1994-95</u> |                   | <u>1993-94</u>    |
| (1) <b>Realized Revenues</b>                                               |                | \$ 872,557        | \$ 829,726        |
| <b>Appropriated Fund Balances</b>                                          |                |                   |                   |
| (2) Current Unrestricted Fund                                              | \$ 14,342      |                   | 11,946            |
| (3) University Hospital                                                    |                |                   |                   |
| (4) <b>Total Appropriated Fund Balances</b>                                |                | <u>14,342</u>     | <u>11,946</u>     |
| (5) <b>Total Revenues and Appropriated Fund Balances</b>                   |                | 886,899           | 841,672           |
| (6) <b>Expenditures/Encumbrances</b>                                       | 780,647        |                   | 727,800           |
| (7) Less: Reserve for Encumbrances                                         | <u>(9,319)</u> |                   | <u>(6,259)</u>    |
| (8) <b>Total Expenditures</b>                                              |                | <u>771,328</u>    | <u>721,541</u>    |
| (9) <b>Excess/(Deficit) Restricted Receipts Over Transfers to Revenues</b> |                | <u>2,126</u>      | <u>(56)</u>       |
| (10) <b>Net Deferred Revenues and Appropriated Fund Balances</b>           |                | <u>\$ 117,697</u> | <u>\$ 120,075</u> |

# FCR 2

Office of the President  
June 13, 1995

Members, Board of Trustees:

## DISPOSAL OF PERSONAL PROPERTY

Recommendation: that the Board of Trustees approve the disposition of surplus equipment as described in Exhibit I.

Background: KRS 164A.575 requires that the governing Board sell or otherwise dispose of all personal property which is not needed by the institution. The Board must be provided with a description of the property, the reason why the disposal should be effected, and the method of disposition, all of which is provided in Exhibit I.

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Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

**UNIVERSITY OF KENTUCKY**

**SURPLUS PROPERTY DISPOSAL REQUEST**

**Anticipated Livestock Disposal  
1995-96**

**Estimated Quantities to be Sold**

|        |      |
|--------|------|
| Swine  | 1500 |
| Cattle | 1000 |
| Sheep  | 500  |
| Horses | 50   |

**Sale Methods**

Auction  
Sealed Bid  
Packing Company  
Private Treaty

**Reasons for Selling**

Research program completed  
Low milk production  
Breeding problems  
To obtain carcass data and analytical samples  
Age

**Anticipated Recyclable Materials Disposal  
1995-96**

**Estimated Quantities to be Sold**

|              |              |
|--------------|--------------|
| Scrap Paper  | 250,000 lbs. |
| Scrap Metal  | 300,000 lbs. |
| Scrap Film   | 12,000 lbs.  |
| Scrap Silver | 120 lbs.     |

**Sale Method**

Sealed Bid

**Anticipated Public Sale of  
Surplus Equipment  
1995-96**

**Estimated Auction Dates and Proceeds**

| <b><u>DATE</u></b> | <b><u>PROCEEDS</u></b> |
|--------------------|------------------------|
| July, 1995         | \$5,000                |
| September, 1995    | \$5,000                |
| November, 1995     | \$5,000                |
| January, 1996      | \$5,000                |
| March, 1996        | \$5,000                |
| May, 1996          | \$5,000                |

**Sale Methods**

Public Auction or Sealed Bid

**Reasons for Disposition**

Equipment is determined to be surplus to the needs of the University. All such equipment has only a small amount of residual value and will be disposed of in a competitive sales method (i.e., public auction or sealed bid).

# FCR 3

Office of the President  
June 13, 1995

Members, Board of Trustees:

## DESIGNATION OF DEPOSITORY BANK

**Recommendation:** that the Board of Trustees approve Middlesboro Federal Bank, Middlesboro, Kentucky, to serve as a depository bank for the University of Kentucky and its affiliated corporations.

**Background:** To comply with K.R.S. 41.070 and K.R.S. 164A.560 the Board of Trustees must designate depository banks serving the University of Kentucky and its affiliated corporations. Competitive bids for required services were solicited from banks serving the locations of Southeast Community College and other operations conducted outside Fayette County. Middlesboro Federal Bank was selected on the basis of lowest cost to the University. This Bank has not previously been approved as a depository by the Board of Trustees.

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Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

# FCR 4

Office of the President  
June 13, 1995

Members, Board of Trustees:

## REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

The renewal of a lease between the University of Kentucky (Elizabethtown Community College) and Grayson County Board of Education, Leitchfield, Kentucky, for property located in the Grayson County High School, Leitchfield, Kentucky, effective August 15, 1995 through June 30, 1996, for the use of classroom space, at an annual rental rate of \$3,000.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Cooperative Extension Service) and Roger LaPointe, 1903 Cedar Ridge, Bowling Green, Kentucky, for property located at 1026 East 13th Street, Bowling Green, Kentucky, effective July 1, 1995 through June 30, 1996, for the use of office space, at an annual rental rate of \$7,200.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (University Extension) and the Board of Education of Fayette County, 701 East Main Street, Lexington, Kentucky, for property located in the Bates Creek Elementary and Junior High School, Lexington, Kentucky, effective July 1, 1995 through June 30, 1996, for the use of classroom space for the Japanese Saturday School, at an annual rental rate of \$3,600.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Center on Aging) and Robert K. Wood, %Pat Gibbs, Limestone Square Apartments, 129 Transcript Avenue, Lexington, Kentucky, for property located at 915 South Limestone, Lexington, Kentucky, effective July 1, 1995 through June 30, 1996, for the use of office space, at an annual rental rate of \$25,746.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Southeast Community College) and Middlesboro Independent Board of Education, 220 North 20th Street, Middlesboro, Kentucky, for the use of classroom space, effective July 1, 1995 through September 30, 1995, for three months at a rate of \$7,385.00, be accepted and made a matter of record.



The renewal of a lease between the University of Kentucky (Cooperative Extension Service) and Farm Credit Services, P. O. Box 699, Shelbyville, Kentucky, for property located on Highway 53, South, Shelbyville, Kentucky, effective July 1, 1995 through June 30, 1996, for the use of office space, at an annual rental rate of \$2,340.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Southeast Community College) and Whitesburg Educational Development Foundation, Inc., 112 North Webb Avenue, Whitesburg, Kentucky, for property located at 112 North Webb Avenue, Whitesburg, Kentucky, effective August 15, 1995 through August 14, 1996, for the use of classroom space, at an annual rental rate of \$29,500.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Ashland Community College) and Public School Corporation of the Ashland Independent School District, P. O. Box 3000, Ashland, Kentucky, for property located at 1903 Oakview Road, Ashland, Kentucky, effective July 1, 1995 through June 30, 1996, for the use of office space, at an annual rental rate of \$4,800.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Prestonsburg Community College) and Evelyn Justice, 402 Scott Avenue, Pikeville, Kentucky, for property located at 405 Main Street, Pikeville, Kentucky, effective July 1, 1995 through June 30, 1996, for the use of office space, at an annual rental rate of \$10,200.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Rural Health) and Barbara Sellers, RR 3, Box 53, Hazard, Kentucky, for property located on Upper Second Creek Road, Hazard, Kentucky, effective July 1, 1995 through June 30, 1996, for the use of an apartment, at an annual rental rate of \$5,400.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Rural Health) and Metts Co. Real Estate, 4014 Dutchman Lane, Louisville, Kentucky, for property located at 2600 West Broadway, Louisville, Kentucky, effective June 14, 1995 through June 30, 1996, for the use of office space, at an annual rental rate of \$12,600.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Ky. Cancer Program) and Carolyn Adams, P. O. Box 1741, Hyden, Kentucky, for property located in the Denver Adams Bldg., Main Street, Hyden, Kentucky, effective July 1, 1995 through June 30, 1996, for the use of office space, at an annual rental rate of \$4,800.00, be accepted and made a matter of record.

**Background:** FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

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Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 5

Office of the President  
June 13, 1995

Members, Board of Trustees:

## APPROVAL OF LEASES

Recommendation: that the Assistant to the President for Fiscal Affairs be authorized to negotiate and execute the following:

The renewal of a lease between the University of Kentucky (Medical Center Finance Division) and CHM Property Partners, %Haymaker Company, 1733 Harrodsburg Road, Suite 210, Lexington, Kentucky, for property located at 2200 Regency Road, Lexington, Kentucky, effective July 1, 1995 through June 30, 1996, for the use of office space, at an annual rental rate of \$96,093.75.

The renewal of a lease between the University of Kentucky (Information Management/Nursing Services and Applications Programming) and CHM Property Partners, %Haymaker Company, 1733 Harrodsburg Road, Suite 210, Lexington, Kentucky, for property located at 2224 Regency Road and 181 West Lowry Lane, Lexington, Kentucky, effective July 1, 1995 through June 30, 1996, for the use of office space, at an annual rental rate of \$124,025.50.

The renewal of a lease between the University of Kentucky (Lexington Community College) and Starwood Opportunity Fund II, L.P., %Graves/Turner Development, 250 Todds Road, Lexington, Kentucky, for property located at 817 East Third Street, Lexington, Kentucky, effective July 1, 1995 through June 30, 1996, for the use of office/classroom space, at an annual rental rate of \$151,890.00.

The renewal of a lease between the University of Kentucky (Pharmacy Continuing Education) and Rose/Harman Investment Properties, 333 Waller Avenue, Suite 200, Lexington, Kentucky, for property located at 465 East High Street, Lexington, Kentucky, effective July 1, 1995 through June 30, 1996, for the use of office space, at an annual rental rate of \$34,580.85.

The renewal of a lease between the University of Kentucky (Elizabethtown Community College) and Helmwood Plaza, Inc., 201 South Mulberry Street, Elizabethtown, Kentucky, for property located at Helmwood Plaza, 201 South Mulberry Street, Elizabethtown, Kentucky, effective July 1, 1995 through June 30, 1996, for the use of dental clinic space, at an annual rental rate of \$72,000.00.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases in excess of \$30,000 be approved by the Board of Trustees.

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Action taken:    ☒ Approved    ☐ Disapproved    ☐ Other \_\_\_\_\_

# FCR 6

Office of the President  
June 13, 1995

Members, Board of Trustees:

## DISPOSITION OF SURPLUS PROPERTY

Recommendation: that the Assistant to the President for Fiscal Affairs be authorized to sell surplus property located at 1281 Village Drive, Unit D-8, Wolf Run Condominiums, Lexington, Kentucky, by sealed bid or public auction.

Background: The property located at 1281 Village Drive, Unit D-8, Wolf Run Condominiums is surplus to the needs of the University. The condominium was a gift to the University from Mahandra and Chandra Varia Family Foundation, Inc. on March 1, 1994, for the benefit of Prestonsburg Community College.

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Action taken: ☒ Approved    ☐ Disapproved    ☐ Other \_\_\_\_\_

# FCR 7

Office of the President  
June 13, 1995

Members, Board of Trustees:

## EXCHANGE OF PROPERTY

Recommendation: that the Assistant to the President for Fiscal Affairs be authorized to transfer approximately 6.5 acres of land in Cumberland, Kentucky to the Harlan County School System in exchange for a permanent easement through property owned by that School System, an easement needed for construction of an access road from U. S. 119 to the Southeast Community College campus.

Background: The property to be exchanged for this easement is 6.5 acres of unimproved land that was deeded to the Commonwealth of Kentucky for the use and benefit of the University of Kentucky on May 26, 1958 by the Harlan County College Extension Fund. The easement received in exchange for this property will run through land owned by the Harlan County School System and previously used by the Cumberland Middle School for recreational activities. The easement will allow for greatly improved access to the Southeast Community College Campus and has a value equal to that of the 6.5 acres to be transferred to the Harlan County School System.

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Action taken:

Approved ☒

Disapproved ☐

Other ☐

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# FCR 8

Office of the President  
June 13, 1995

Members, Board of Trustees:

## 1994-95 Budget Revisions Central Administration

Recommendation: that the following revision to the 1994-95 budget be authorized and approved.

|                               | <u>Approved<br/>Budget</u> | <u>Revised<br/>Budget</u> | <u>Change</u> |
|-------------------------------|----------------------------|---------------------------|---------------|
| <b>A. <u>GENERAL FUND</u></b> |                            |                           |               |
| 1. Income Estimates           |                            |                           |               |
| University Hospital*          | \$191,927,100              | \$195,827,100             | \$ 3,900,000  |
| State Appropriation - Support | <u>1,053,000</u>           | <u>1,053,000</u>          | <u>-0-</u>    |
| (Neo-Natal Program)           | \$192,980,100              | \$196,880,100             | \$ 3,900,000  |

\*Net of \$2 million of hospital income used to support expenditures within the University General Fund for University-provided services relating to the hospital.

|                     |               |               |              |
|---------------------|---------------|---------------|--------------|
| 2.. Expenditures    |               |               |              |
| University Hospital | \$192,980,100 | \$196,880,100 | \$ 3,900,000 |

3. Comments - The increase in hospital income is due to payments received as part of the Disproportionate Share Hospital Payment Program as administered by the Cabinet for Human Resources. The funds will support the hospital program.

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Action taken:    Approved ☒    Disapproved ☐    Other ☐ \_\_\_\_\_

# FCR 9

Office of the President  
June 13, 1995

Members, Board of Trustees:

## OPERATING BUDGET FOR 1995-96

Recommendation: that the 1995-96 Operating Budget as submitted, including the authorization set forth in the letter of transmittal, be approved and ordered recorded as an integral part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures and accepted as a basis of maximum expenditures for the year 1995-96.

Background: The 1995-96 Operating Budget of the University of Kentucky is presented for approval by the Board of Trustees. Copies of the budget document have been made available to the members of the Board.

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Action taken:    Approved ☒    Disapproved ☐    Other ☐ \_\_\_\_\_

# FCR 10

Office of the President  
June 13, 1995

Members, Board of Trustees:

## BRAZEWAY, INC. PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from Brazeway, Inc., in Hopkinsville, Kentucky, to Hopkinsville Community College.

Background: Brazeway, Inc., a manufacturer of refrigerator coils, has pledged \$100,000 to the Regional Technology Center Seed Fund of Hopkinsville Community College's Partners in Progress Campaign. Brazeway, Inc. will fulfill this pledge with five annual payments of \$20,000 beginning January, 1996.

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Action taken:



Approved

☐ Disapproved

☐ Other

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# FCR 11

Office of the President  
June 13, 1995

Members, Board of Trustees:

## FLYNN ENTERPRISES INC. PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from Flynn Enterprises, Inc. in Hopkinsville, Kentucky, to Hopkinsville Community College.

Background: Flynn Enterprises, Inc., a manufacturer of denim clothing, has pledged \$100,000 to the Hopkinsville Community College's Partners in Progress Campaign for the Regional Technology Center Seed Fund. Flynn Enterprises will fulfill the pledge with five annual payments of \$20,000.

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Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_



# FCR 12

Office of the President  
June 13, 1995

Members, Board of Trustees:

## COPAR, INC. PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from CoPar, Inc. in Hopkinsville, Kentucky, to Hopkinsville Community College.

Background: CoPar, Inc., which manufactures air conditioner evaporators, has pledged \$100,000 to the Regional Technology Center Seed Fund of Hopkinsville Community College's Partners in Progress Campaign. The pledge will be fulfilled with five annual payments of \$20,000 beginning in 1995.

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Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

# FCR 13

Office of the President  
June 13, 1995

Members, Board of Trustees:

## DANA CORPORATION GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$40,000 and a pledge of \$60,000 from Dana Corporation of Hopkinsville, Kentucky, to Hopkinsville Community College.

Background: Dana Corporation has pledged \$100,000 to be applied to the Regional Technology Center Seed Fund of Hopkinsville Community College's Partners in Progress Campaign. Dana Corporation has already donated \$40,000 to the campaign and will fulfill the balance of its pledge with payments of \$30,000 in 1996 and 1997. Dana Corporation manufactures automobile frames in Hopkinsville.

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Action taken:



Approved

☐ Disapproved

☐ Other \_\_\_\_\_

# FCR 14

Office of the President  
June 13, 1995

Members, Board of Trustees:

## C. M. GATTON PLEDGE

**Recommendation:** that the Board of Trustees accept a pledge from C. M. "Bill" Gatton of Bristol, Tennessee, in excess of \$100,000 to benefit the College of Business and Economics.

**Background:** Mr. Gatton's gift will create an endowment to benefit the College of Business and Economics through endowed chairs, endowed professorships, outstanding teaching awards, student scholarships, and faculty development. Mr. Gatton is a Muhlenberg County native and is a 1954 graduate of the College of Business and Economics. His business interests include automobile dealerships, banking and real estate.

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Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

# FCR 15

Office of the President  
June 13, 1995

Members, Board of Trustees:

FIRST KENTUCKY FEDERAL SAVINGS BANK  
OF CENTRAL CITY AND GREENVILLE PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from First Kentucky Federal Savings Bank of Central City and Greenville, Kentucky, to Madisonville Community College.

Background: First Kentucky Federal Savings Bank of Central City and Greenville has pledged \$100,000 to the Madisonville Community College's Partners in Progress Campaign. The gift is designated for support of the College's off-campus program in Muhlenberg County. The bank's president, Dennis Kirtley, is co-chair of the college's campaign in Muhlenberg County.

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Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

# FCR 16

Office of the President  
June 13, 1995

Members, Board of Trustees:

## ARTHUR AND GERTRUDE DALE GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$25,000 and pledge of \$125,000 from Gertrude and Arthur Dale of Harlan, Kentucky, to Southeast Community College.

Background: Mr. and Mrs. Dale made their commitment to Southeast Community College's Partners in Progress Campaign. Their gift will establish the Gertrude Angel Dale Endowment to support the college library. Mrs. Dale is a former librarian at the college.

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Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 17

Office of the President  
June 13, 1995

Members, Board of Trustees:

## ANNE P. BAKER PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from Anne P. Baker of Madisonville, Kentucky, to Madisonville Community College.

Background: Anne P. Baker, past president of Madisonville's Community Improvement Foundation, has pledged \$100,000 to Madisonville Community College's Partners in Progress Campaign. Her gift is designated for campus improvements to enhance the college's Fine Arts Center.

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Action taken:



Approved

☐ Disapproved

☐ Other

\_\_\_\_\_

# FCR 18

Office of the President  
June 13, 1995

Members, Board of Trustees:

ESTABLISHMENT OF CARROLL W. MORROW  
MEMORIAL SCHOLARSHIP FUND QUASI-ENDOWMENT

**Recommendation:** that the Board of Trustees authorize the creation of a quasi-endowment fund to be entitled the Carroll W. Morrow Memorial Scholarship Fund.

**Background:** This action will establish a quasi-endowment fund that will allow the college to award an annual scholarship to a law student in memory of Carroll W. Morrow, a 1931 College of Law graduate. The College of Law received an unrestricted bequest in 1990-92 from the estate of Mr. Morrow in the amount of \$271,620. The college requests the Board's approval to put \$150,000 of that amount into a quasi-endowment for the benefit of this scholarship.

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Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 19

Office of the President  
June 13, 1995

Members, Board of Trustees:

## ESTABLISHMENT OF THE BERT COMBS SCHOLARS QUASI-ENDOWMENT FUND

Recommendation: that the Board of Trustees authorize the creation of a quasi-endowment to be entitled the Bert Combs Scholars Quasi-Endowment Fund.

Background: This action will establish a quasi-endowment to be funded with \$121,620 from a previously received unrestricted bequest from the estate of Carroll W. Morrow, a 1931 College of Law graduate. The interest generated by this quasi-endowment will be used to fund scholarships and living stipends for up to two students in each College of Law entering class.

Mr. Morrow was a friend of the late Governor Combs and after discussion with his law partners it was decided that it would be appropriate to use a portion of the bequest for the Bert Combs Scholars fund.

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Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_



# FCR 20

Office of the President  
June 13, 1995

Members, Board of Trustees:

## PATENT ASSIGNMENT RESEARCH AND GRADUATE STUDIES

**Recommendation:** that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Peter Crooks, William Caldwell, Gary Dull, Balwinder Bhatti, Niranjana Deo and Alain Ravard, Serial No. 08/364,976, filed January 6, 1995.

**Background:** Peter Crooks, William Caldwell, Gary Dull, Balwinder Bhatti, Niranjana Deo and Alain Ravard have invented certain improvements in PHARMACEUTICAL COMPOSITIONS FOR PREVENTION AND TREATMENT OF CENTRAL NERVOUS SYSTEM DISORDERS. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

PATENT

ATTY. DOCKET NO.: DD-129C-R&D  
SERIAL NO.: USSN 08/364,976  
FILED: January 6, 1995

## ASSIGNMENT

**WHEREAS**, the **University of Kentucky**, a corporation of the State of **Kentucky** having a place of business at **Lexington** in the County of **Fayette** and State of **Kentucky**, is assignee of United States Letters Patent Serial No. 08/364,976 filed January 6, 1995; having all rights, title and interest to said invention and patent application by Assignment of the inventors.

**NOW, THEREFORE**, to all whom it may concern, be it known that the **University of Kentucky**, hereby sells, assigns and transfers for good and valuable consideration the receipt of which is hereby acknowledged to the **University of Kentucky Research Foundation**, a corporation of the State of **Kentucky** having a place of business at **Lexington** in the County of **Fayette** and State of **Kentucky** its successors, assigns and legal representatives, its entire right, title and interest for all countries, in and to the invention disclosed and claimed, and as possessed by the undersigned, any invention which is disclosed but not claimed, in United States Letters Patent Serial No. 08/364,976 filed January 6, 1995; and entitled **PHARMACEUTICAL COMPOSITIONS FOR PREVENTION AND TREATMENT OF CENTRAL NERVOUS SYSTEM DISORDERS** and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said inventions;

Authorize and request the Commissioner of Patents and Trademarks to issue to the Assignees, the corporations above named, their successors, assigns and legal representatives, in accordance with this agreement, any and all United States Letters Patent on said inventions disclosed in said application;

Agree that said Assignees may apply for and receive foreign Letters Patent for said inventions; and may claim, in applications for said foreign Letters Patent, the priority of the aforesaid United States application under the provisions of the International Convention; and that, when requested, without charge to but at the expense of said Assignees, their successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignees, their successors, assigns, and representatives, all facts known to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said

Assignees, their successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignees, their successors, assigns and legal representatives; and

COVENANT with said Assignees, their successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

IN WITNESS WHEREOF, the University of Kentucky has caused its corporate name to be hereunto subscribed by an officer of the corporation, and its seal to be hereunto affixed this 13<sup>th</sup> day of June, 1995.

University of Kentucky

By: Edward T. Breathitt

Name: \_\_\_\_\_

Title: \_\_\_\_\_

STATE OF Kentucky )  
COUNTY OF Fayette ) SS.

Before me this 13<sup>th</sup> day of June, 1995 personally appeared

to me personally known to be the person(s) who is/are described in and who executed the above instrument, and he/they acknowledged to me that he/they executed the same of his/their own free will for the purposes therein set forth.

Jinda H. Saulk  
Notary Public

My Commission Expires January 21, 1999

# FCR 21

Office of the President  
June 13, 1995

Members, Board of Trustees:

## PATENT ASSIGNMENT RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Kathleen Marie Hanley, Gary Mark Hellmann and Olivier Nicolas, Serial No. 08/310,693, filed September 22, 1994.

Background: Kathleen Marie Hanley, Gary Mark Hellmann and Olivier Nicolas have invented certain improvements in DNA SEQUENCE ENCODING SQUALENE SYNTHETASE. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action taken:



Approved

☐ Disapproved

☐ Other

\_\_\_\_\_

PATENT

ATTY. DOCKET NO.: CC-212A-R&D

SERIAL NO.: USSN 08/310,693

FILED: September 22, 1994

## ASSIGNMENT

**WHEREAS**, the **University of Kentucky**, a corporation of the State of **Kentucky** having a place of business at **Lexington** in the County of **Fayette** and State of **Kentucky**, is assignee of United States Letters Patent Serial No. 08/310,693 filed September 22, 1994; having all rights, title and interest to said invention and patent application by Assignment of the inventors.

**NOW, THEREFORE**, to all whom it may concern, be it known that the **University of Kentucky**, hereby sells, assigns and transfers for good and valuable consideration the receipt of which is hereby acknowledged to the **University of Kentucky Research Foundation**, a corporation of the State of **Kentucky** having a place of business at **Lexington** in the County of **Fayette** and State of **Kentucky** its successors, assigns and legal representatives, its entire right, title and interest for all countries, in and to the invention disclosed and claimed, and as possessed by the undersigned, any invention which is disclosed but not claimed, in United States Letters Patent Serial No. 08/310,693 filed September 22, 1994; and entitled **DNA SEQUENCE ENCODING SQUALENE SYNTHETASE** and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said inventions;

Authorize and request the Commissioner of Patents and Trademarks to issue to the Assignees, the corporations above named, their successors, assigns and legal representatives, in accordance with this agreement, any and all United States Letters Patent on said inventions disclosed in said application;

Agree that said Assignees may apply for and receive foreign Letters Patent for said inventions; and may claim, in applications for said foreign Letters Patent, the priority of the aforesaid United States application under the provisions of the International Convention; and that, when requested, without charge to but at the expense of said Assignees, their successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignees, their successors, assigns, and representatives, all facts known to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said

Assignees, their successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignees, their successors, assigns and legal representatives; and

COVENANT with said Assignees, their successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

IN WITNESS WHEREOF, the University of Kentucky has caused its corporate name to be hereunto subscribed by an officer of the corporation, and its seal to be hereunto affixed this 13<sup>th</sup> day of June, 1995.

University of Kentucky

By: Edward T. Breathitt

Name: \_\_\_\_\_

Title: \_\_\_\_\_

STATE OF Kentucky )  
COUNTY OF Fayette ) SS.

Before me this 13<sup>th</sup> day of June, 1995 personally appeared

to me personally known to be the person(s) who is/are described in and who executed the above instrument, and he/they acknowledged to me that he/they executed the same of his/their own free will for the purposes therein set forth.

Jonda A. Hawk  
Notary Public

My Commission Expires January 21, 1999

# FCR 22

Office of the President  
June 13, 1995

Members, Board of Trustees:

## PATENT ASSIGNMENT RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Radovan (NMI) Kovacevic, Yu Ming Zhang, Heather W. Beardsley, Shuang (NMI) Ruan, Dennis R. Timmons and Michael L. Carbaugh, Serial No. (to be assigned), filed (to be filed).

Background: Radovan (NMI) Kovacevic, Yu Ming Zhang, Heather W. Beardsley, Shuang (NMI) Ruan, Dennis R. Timmons and Michael L. Carbaugh have invented certain improvements in APPARATUS AND METHOD OF WELD INSPECTION. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

**ASSIGNMENT**

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: RADOVAN (NMI) KOVACEVIC; YU MING ZHANG; HEATHER W. BEARDSLEY; SHUANG (NMI) RUAN; DENNIS R. TIMMONS; AND MICHAEL L. CARBAUGH

Serial Number:

Filed:

For: APPARATUS AND METHOD OF WELD INSPECTION; and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to



issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest, any and all Letters Patent for said invention including any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 13<sup>th</sup> day of June, 1995.

THE BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY

Edward T. Beathitt

S E A L

ATTEST:

Jois Weinberg  
Secretary, Board of Trustees

# FCR 23

Office of the President  
June 13, 1995

Members, Board of Trustees:

PATENT ASSIGNMENT  
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Clair L. Hicks, Serial No. (to be assigned), filed (to be filed).

Background: Clair L. Hicks has invented certain improvements in CHEESE MAKING WITH BACTERIOPHAGE RESISTANT BACTERIA. He has assigned his rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action taken: ☒ Approved    ☐ Disapproved    ☐ Other \_\_\_\_\_

ASSIGNMENT

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors:           CLAIR L. HICKS

Serial Number:

Filed:

For:   CHEESE MAKING WITH BACTERIOPHAGE RESISTANT BACTERIA;

and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION as assignee of the entire right, title

and interest, any and all Letters Patent for said invention including any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 13<sup>th</sup> day of June, 1995.

THE BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY

Edward T. Brathitt

S E A L

ATTEST:

Lois Weinberg  
Secretary, Board of Trustees

# FCR 24

Office of the President  
June 13, 1995

Members, Board of Trustees:

PATENT ASSIGNMENT  
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Xiang-Huai Wang, Serial No. (to be assigned), filed (to be filed).

Background: Xiang-Huai Wang has invented certain improvements in APPARATUS AND METHOD OF MAGNETIC SEPARATION. He has assigned his rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

**ASSIGNMENT**

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: **XIANG-HUAI WANG**

Serial Number:

Filed:

For: **APPARATUS AND METHOD OF MAGNETIC SEPARATION;**

and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title

and interest, any and all Letters Patent for said invention including any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 13th day of June, 1995.

THE BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY

Edward T. Breathitt

S E A L

ATTEST:

Lois Chelmsberg  
Secretary, Board of Trustees

# FCR 25

Office of the President  
June 13, 1995

Members, Board of Trustees:

## PATENT ASSIGNMENT RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Jack W. Buxton, Serial No. (to be assigned), filed (to be filed).

Background: Jack W. Buxton has invented certain improvements in SUBIRRIGATION SYSTEM AND RELATED METHOD. He has assigned his rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_



**ASSIGNMENT**

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors:                   **JACK W. BUXTON**

Serial Number:

Filed:

For:   **SUBIRRIGATION SYSTEM AND RELATED METHOD;**       and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest, any and all Letters Patent for said invention including

any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 13<sup>th</sup> day of June, 1995.

THE BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY

Edward T. Beathitt

S E A L

ATTEST:

Louis C. Wemmer  
Secretary, Board of Trustees

# FCR 26

Office of the President  
June 13, 1995

Members, Board of Trustees:

## PATENT ASSIGNMENT RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Francis J. Derbyshire and Marit (NMI) Jagtoyen, Serial No. (to be assigned), filed (to be filed).

Background: Francis J. Derbyshire and Marit (NMI) Jagtoyen have invented certain improvements in ACTIVATED CARBON AND PROCESS FOR MAKING SAME. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action taken:



Approved



Disapproved



Other

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# **ASSIGNMENT**

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: FRANCIS J. DERBYSHIRE AND MARIT (NMI) JAGTOYEN

Serial Number:

Filed:

For: ACTIVATED CARBON AND PROCESS FOR MAKING SAME; and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest, any and all Letters Patent for said invention including

any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 13<sup>th</sup> day of June, 1995.

THE BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY

Edward T. Routhitt

S E A L

ATTEST:

Louis C. Searcy  
Secretary, Board of Trustees

# FCR 27

Office of the President  
June 13, 1995

Members, Board of Trustees:

GRANT OF MORTGAGE TO EDA ON KENTUCKY 4-H LEADERSHIP CENTER,  
WAYNE COUNTY, KENTUCKY

Recommendation: that the President (or his designee) be authorized to execute a mortgage to the United States Department of Commerce, Economic Development Administration (EDA), on property comprising the site of the Kentucky 4-H Leadership Center, Wayne County, Kentucky, to secure a grant in the amount of \$950,000.

Background: In 1984, Friends of Kentucky 4-H, Inc. (4-H), a Kentucky nonprofit corporation, accepted a grant from EDA in the amount of \$950,000 pursuant to Project No. 04-22-03245 to construct a building to house the Kentucky 4-H Leadership Center in Wayne, County, Kentucky. The property comprising the site of the Center was deeded to 4-H by the University on December 13, 1984.

The leadership role of 4-H in the planning and development of the Center has been fulfilled and 4-H and the University have agreed that it is in the best interest of both parties that the property comprising the site be reconveyed to the University at no cost. In order to satisfy EDA requirements and secure the grant funds, it is necessary that the University execute a mortgage to EDA on the property comprising the site.

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Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

# FCR 28

Office of the President  
June 13, 1995

Members, Board of Trustees:

**A RESOLUTION AUTHORIZING THE ISSUANCE OF \$12,025,000 (PLUS OR MINUS UP TO \$1,200,000) OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES O, DATED AUGUST 1, 1995, OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY**

**Recommendation:** that the Board of Trustees approve a Resolution authorizing the issuance of \$12,025,000 (plus or minus up to \$1,200,000) of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series O, dated August 1, 1995.

The Resolution authorizes the issuance of \$12,025,000 (plus or minus up to \$1,200,000) of Series O Bonds for the purpose of financing (i) the construction of a new Parking Garage, and (ii) the renovation of the Clinical Laboratories of the College of Dentistry (the "Series O Project"), upon the campus of the University in Fayette County in the Commonwealth of Kentucky, which Series O Project will, when completed, become part of the University of Kentucky Consolidated Educational Buildings Project of the University.

The Resolution also approves a Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds and Bid Form, all contemplating that the Bonds be sold on the advice of the Fiscal Agent, J.J.B. Hilliard, W. L. Lyons, Inc., in the month of August on a date to be selected.

**Background:** The University presently has outstanding thirteen series of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series C, Series D (Second Series), Series F (Second Series), Series I, Series J, Series G, H and I (Second Series), Series G, H and I (Third Series), Series K, Series K (Second Series), Series L, Series M, Series N and Series E, J and L (Second Series) (hereinafter referred to as the "Series C through N Bonds"), all secured by a pledge of the Revenues (registration fees) and by a statutory mortgage lien against all of the buildings of the Project. These Series O Bonds are issued for the purpose of financing (a) the Series O Project identified above, (b) the funding of the Required Debt Service Reserve, and (c) the cost of issuance of the Series O Bonds. The Bond Resolution approves the appointment of the Bank One, Lexington, N.A. (formerly, First Security National Bank & Trust Company), Lexington, Kentucky, as Trustee, Bond Registrar, Transfer Agent, Payee Bank and Depository Bank, with respect to these Bonds, provides for the execution of the appropriate parity certification establishing that the "coverage" of projected income of the Project over debt service requirements will meet the 1.25 test necessary to establish that the Series O Bonds will rank on a parity with the outstanding Series C through N Bonds.

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Action taken:



Approved



Disapproved



Other \_\_\_\_\_

June 13, 1995

SERIES O PROJECT

|                                  |                 |
|----------------------------------|-----------------|
| Parking Garage Project           | \$ 9,375,000.00 |
| Dental Laboratories Project      | 1,500,000.00    |
| Bond Discount                    | 240,500.00      |
| Cost of Issuance (estimated)     | 58,697.00       |
| Debt Service Reserve (estimated) | 1,016,123.00    |
| Contingency                      | <u>3,305.00</u> |
| Total                            | \$12,193,625.00 |

Sources of Funds:

|                                                    |                   |
|----------------------------------------------------|-------------------|
| Par Amount of Series O Bond                        | \$12,025,000.00   |
| Interest Earnings on Series O Construction Account | <u>168,625.00</u> |
| Total                                              | \$12,193,625.00   |



RESOLUTION AUTHORIZING THE ISSUANCE

OF

\$12,025,000 (plus or minus up to \$1,200,000)

OF

UNIVERSITY OF KENTUCKY  
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS,  
SERIES O,  
DATED AUGUST 1, 1995,

OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY

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## RESOLUTION

A RESOLUTION AUTHORIZING THE ISSUANCE OF \$12,025,000 (PLUS OR MINUS UP TO \$1,200,000) OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES O, DATED AUGUST 1, 1995, OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY.

WHEREAS, the Board of Trustees of the University of Kentucky, by Resolution entitled:

"A RESOLUTION OF THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY, A STATE SUPPORTED INSTITUTION OF HIGHER LEARNING OF THE COMMONWEALTH OF KENTUCKY, CREATING AND ESTABLISHING A CONSOLIDATED EDUCATIONAL BUILDINGS PROJECT OF SAID UNIVERSITY OF KENTUCKY; CREATING AND ESTABLISHING AN ISSUE OF CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS OF THE BOARD OF TRUSTEES OF SAID UNIVERSITY OF KENTUCKY; PROVIDING FOR THE ISSUANCE OF SUCH BONDS FROM TIME TO TIME; AND PROVIDING FOR THE PAYMENT OF THE PRINCIPAL OF AND INTEREST ON SAID BONDS FROM REVENUES IN SUCH MANNER AS NOT TO CONSTITUTE INDEBTEDNESS OF THE BOARD, THE UNIVERSITY, OR THE COMMONWEALTH,"

heretofore adopted on September 20, 1960 (the "Basic Resolution" or "Resolution"), has created and established an issue of University of Kentucky Consolidated Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky (the "Bonds"); and

WHEREAS, the Basic Resolution authorizes the issuance by said Board of said Bonds in one or more Series pursuant to a new resolution authorizing each such Series; and

WHEREAS, the Board has previously authorized, sold, issued, and has outstanding thirteen prior issues of Bonds designated "University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series C, Series D (Second Series), Series F (Second Series), Series I, Series J, Series G, H and I (Second Series), Series G, H and I (Third Series), Series K, Series K (Second Series), Series L, Series M, Series N and Series E, J and L (Second Series) (hereinafter referred to as the "Series C through N Bonds"), and has determined that the Board is in compliance with the conditions set forth in Section 7.10 of the Basic Resolution relative to the issuance of the Series O Bonds (authorized herein) on a basis of parity and equality as to security and source of payment with the outstanding Series C through N Bonds; and

WHEREAS, the Board has determined that it is in the best interests of the Board to authorize at this time an additional series of Bonds to be designated "University of Kentucky

Consolidated Educational Buildings Revenue Bonds, Series O," ranking on a parity with said Series C through N Bonds.

NOW, THEREFORE, the Board of Trustees of the University of Kentucky hereby resolves as follows:

ARTICLE I  
DEFINITIONS AND AUTHORITY

Section 1.1. Series O Resolution. This Resolution (the "Series O Resolution") is adopted in accordance with Article II, Section 2.03, of the Basic Resolution.

Section 1.2. Definitions.

A. All terms which are defined in Article I of the Basic Resolution shall have the same meanings, respectively, in this Series O Resolution as such terms are given in said Article of the Basic Resolution.

B. In this Series O Resolution, unless the context shall indicate otherwise, the following terms shall have the following respective meanings:

"ALL BONDS" or "OUTSTANDING BONDS" refers to all bonds outstanding against and payable from the revenues of the Project, including (a) the Series C through N Bonds, plus (b) the Series O Bonds, and (c) any and all future Bonds ranking on a parity therewith.

"BASIC RESOLUTION" or "RESOLUTION" refers to the original Resolution adopted on September 20, 1960, creating and establishing the Consolidated Educational Buildings Project and creating, establishing, and authorizing the issuance of Consolidated Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky.

"BOARD" refers to the Board of Trustees of the University of Kentucky, and shall include the Executive Committee of the Board acting pursuant to authorization of and/or with ratification by said Board of Trustees.

"BOND REGISTER" means the books and records maintained by the Bond Registrar as to the registered ownership and transfers of ownership of the Series O Bonds, from time to time.

"BOND REGISTRAR," "REGISTRAR," "PAYEE BANK" or "TRANSFER AGENT" refers to Bank One, Lexington, N.A. (formerly, the First Security National Bank & Trust Company), Lexington, Kentucky, which shall constitute the Bond Registrar, Transfer Agent and Payee Bank, with respect to the Series O Bonds authorized herein, which Bank shall have the duties and responsibilities of (a) issuing semi-annual checks in payment of interest requirements as to the Series O Bonds, (b) payment of the principal as same matures upon

surrender of the Series O Bonds, (c) authenticating, issuing, and delivering the Series O Bonds to the Original Purchasers of same in accordance with the sale of the Series O Bonds, at the direction of the Board, (d) maintaining the Bond Register, (e) handling exchanges, cancellations, reissuance, redemption, and all appurtenant duties of a Bond Registrar and Transfer Agent with respect to the Series O Bonds, as hereinafter set out, and (f) serving as depository of all funds in connection with the Series O Bonds and All Bonds, as defined herein.

"CODE" refers to the Internal Revenue Code of 1986, as amended.

"DEBT SERVICE RESERVE" refers to the portion of the Bond Fund in excess of the aggregate principal, interest, and Bond Fund Charges of All Bonds for the ensuing year, which excess portion shall constitute the "Debt Service Reserve" created and provided for in Section 405(3) of the Basic Resolution.

"FINANCE CABINET" refers to the Finance and Administration Cabinet of the Commonwealth of Kentucky or its successor by whatever name designated.

"FISCAL AGENT" refers to J.J.B. Hilliard, W.L. Lyons, Inc., Louisville, Kentucky.

"INTEREST PAYMENT DATE" refers to May 1 and November 1 of each year, commencing November 1, 1995.

"ORIGINAL PURCHASERS" or "PURCHASERS" refers to the original purchasers of the Series O Bonds at the public sale, including all members of the approved purchasing syndicate or group.

"PROJECT" refers to the University of Kentucky Consolidated Educational Buildings Project, consisting of all educational buildings and necessary appurtenances on the campus of the University of Kentucky in Lexington, Kentucky, and all such buildings hereafter erected on such campus and elsewhere in Fayette County, Kentucky.

"RECORD DATE" shall mean with respect to any interest payment date, the close of business on April 15 or October 15, as the case may be, next preceding such Interest Payment Date, whether or not such April 15 or October 15 is a business day.

"REQUIRED CURRENT DEBT SERVICE RESERVE ACCUMULATION" refers to the amount required at any given time to have been accumulated toward the goal of the "Required Debt Service Reserve," based on All Bonds outstanding, including the additional amounts required to have been deposited in each year to date (and scheduled to continue for a period of four years) in such Reserve as a result of the issuance of one or more additional Series of Bonds, until the total Required Debt Service Reserve is accumulated.

"REQUIRED DEBT SERVICE RESERVE" refers to the amount required to be accumulated and maintained in the Debt Service Reserve portion of the Bond Fund, which required amount is an amount equal to 100% of maximum Aggregate Principal, Interest, and Bond Fund Charges falling due on All Bonds in any twelve month period.

"SECRETARY," "SECRETARY OF FINANCE CABINET" or "SECRETARY OF THE CABINET" refers to the Secretary of the Finance and Administration Cabinet of the Commonwealth of Kentucky, and each and every officer of the Commonwealth who may hereafter be authorized to exercise powers and authority similar to those vested by law in said Secretary; and each and every Deputy Secretary or other similar officer authorized by law to exercise the powers and authority presently vested by law in the Secretary.

"SERIES O BONDS" or "THESE BONDS" shall mean the Bonds authorized by Article II of this Series O Resolution.

"SERIES O PROJECT" refers to (i) the construction of a new Parking Garage, and (ii) the renovation of the Clinical Laboratories of the College of Dentistry, which Project is located in Fayette County, Kentucky, and is not a part of the separate Community Colleges Educational Buildings Project of the University.

"SERIES O RESOLUTION" or "THIS RESOLUTION" refers to this Resolution authorizing the Series O Bonds.

"TRUSTEE" or "TRUSTEE-REGISTRAR" refers to Bank One, Lexington, N.A. (formerly, First Security National Bank & Trust Company), Lexington, Kentucky, as more fully defined under "BOND REGISTRAR."

Section 1.3. Statutory Authority. This Series O Resolution is adopted pursuant to the provisions of Section 162.340, et seq., and 58.010 through 58.140 of the Kentucky Revised Statutes (the "Enabling Acts") and the Basic Resolution.

## ARTICLE II AUTHORIZATION OF SERIES O BONDS

Section 2.1. Series O Bonds Authorized. Pursuant to the provisions of the Basic Resolution, there is hereby authorized to be issued by the Board of Trustees of the University of Kentucky, in its corporate capacity, an additional Series of Bonds in the aggregate principal amount of TWELVE MILLION TWENTY-FIVE THOUSAND DOLLARS (\$12,025,000) (plus or minus up to \$1,200,000). Such Bonds shall be designated "University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series O." Said Series O Bonds are hereby declared to have been authorized under the Basic Resolution and in conformity with the provisions of Section 7.10 of the Basic Resolution.

Section 2.2. Purpose of Issue. Said Series O Bonds are being issued for the purpose of (a) paying the costs (to the extent not otherwise provided from other funds, including projected investment income from the investment of the net proceeds of the Series O Bonds until expended) of establishing and constructing the Series O Project, as defined herein, upon property of the University in Fayette County, Kentucky, which Series O Project will, when constructed and established, become and constitute part of the Consolidated Educational Buildings Project of said University, and (b) funding the Required Debt Service Reserve, and (c) paying the costs of issuance of the Series O Bonds.

Section 2.3. Date of Bonds; Maturities; and Interest Rates. The Series O Bonds shall be issued as fully registered bonds as to both principal and interest in denominations of \$5,000 or any multiple thereof within a single maturity, shall be dated the first day of the month in which sold, and shall be numbered consecutively from R-1 upward. The Series O Bonds shall mature serially on May 1 in each of the years, and in such principal amounts, and shall bear interest at such rates payable semiannually on May 1 and November 1, as set forth in the Sale Resolution as a result of the advertised sale of the Series O Bonds.

Each Series O Bond shall bear interest from the Interest Payment Date to which interest has been paid next preceding the date on which such Series O Bond is authenticated by the Trustee, unless such Series O Bond is authenticated on an interest payment date to which interest has been paid, in which event it shall bear interest from such date, or if such Series O Bond is authenticated prior to the first interest payment date, such Series O Bond shall bear interest from the date of original issue of the Series O Bonds.

Section 2.4. Registered Owners; Payment of Principal and Interest; Authentication of Series O Bonds; Mutilated, Lost, Stolen or Destroyed Bonds. So long as any Series O Bonds remain outstanding, the Trustee shall keep at its principal office a Bond Register showing and recording a register of the owners of the Series O Bonds and shall provide for the registration and transfer of Series O Bonds in accordance with the terms of the Series O Resolution, subject to such reasonable regulations as the Trustee may prescribe.

The person in whose name any Series O Bond is registered on the Bond Register maintained by the Trustee, on the 15th day of the calendar month next preceding an interest payment date (the "Record Date") shall be entitled to receive the interest payable on such interest payment date unless such Series O Bond shall have been called for redemption on a redemption date which is prior to such interest payment date) notwithstanding the cancellation of such Series O Bond upon any registration of transfer or exchange thereof subsequent to such Record Date and prior to such interest payment date.



The principal of (redemption price, if redeemed prior to maturity) and interest on the Series O Bonds shall be payable in lawful money of the United States of America as same respectively become due. The principal of each Series O Bond is payable upon surrender of same at the corporate trust office of the Payee Bank and Bond Registrar. Interest on the Series O Bonds shall be paid by check mailed by the Payee Bank on or before each Interest Payment Date to the owners of the Bonds shown on the Bond Register as of the end of business on the Record Date, at the respective addresses appearing on the Bond Register.

The Series O Bonds shall be executed on behalf of the Board, as permitted by Section 61.390 of the Kentucky Revised Statutes, with the duly authorized reproduced facsimile signature of the Chairman, and the reproduced facsimile of its corporate seal shall be imprinted thereon and attested by the reproduced facsimile signature of the Secretary; and said officials, by the execution of appropriate certifications, shall adopt as and for their own proper signatures, their respective facsimile signatures on said Series O Bonds; provided the Authentication Certificate of Trustee must be executed by the manual signature of the Trustee on each Series O Bond before such Series O Bond shall be valid.

The Trustee shall have the right to order the preparation of whatever number of Series O Bond certificates as, in the sole discretion of the Trustee, shall be deemed necessary in order to enable the Trustee to maintain an adequate reserve supply of such Series O Bond certificates to effect properly the continuing transfers and exchanges of ownership of Series O Bond certificates as same are sold, exchanged and/or otherwise surrendered in the future. No further action regarding the authorization or execution of additional Series O Bond certificates shall be required by the Board, and all expenses incident thereto shall be borne by the Board.

All Series O Bonds shall be exchangeable and transferable upon presentation and surrender thereof at the office of the Trustee, duly endorsed for transfer or accompanied by an assignment duly executed by the owner or his authorized representative, for a Series O Bond or Series O Bonds of the same maturity and interest rate and in any authorized denomination of \$5,000 and/or a multiple thereof within a single maturity, in an aggregate principal amount or amounts equal to the unpaid principal amount of the Series O Bond or Series O Bonds presented for exchange and transfer. The Trustee shall be and is hereby authorized to authenticate and deliver exchange Series O Bonds in accordance with the provisions of this Section. Each exchange Series O Bond delivered in accordance with this Section shall constitute an original contractual obligation of the Board and shall be entitled to the benefits and security of the Resolution and this Series O Resolution to the same extent as the Series O Bond or Series O Bonds in lieu of which such exchange Series O Bond is delivered.

No Series O Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit of the Resolution or this Series O Resolution unless and until such Series O Bond has been duly authenticated by the Trustee by the execution of the Authentication Certificate of Trustee appearing on such Series O Bond. Such Certificate appearing on any Series O Bond shall be deemed to have been duly executed by the Trustee if manually signed by an authorized officer of the Trustee. It shall not be required that the same officer of the Trustee sign such Certificate on all of the Series O Bonds.

If any Series O Bond shall be mutilated, lost, stolen or destroyed, the Board may execute, authenticate and deliver a new Series O Bond of like maturity and tenor in lieu of and in substitution for the Series O Bond mutilated, lost, stolen or destroyed; provided that, in the case of any mutilated Series O Bond, such mutilated Series O Bond shall first be surrendered to the Board, and in the case of any lost, stolen or destroyed Series O Bond, there shall be first furnished to the Board satisfactory evidence of the ownership of such Series O Bond and of such loss, theft or destruction, together with indemnity satisfactory to the Board. If any such Series O Bond shall have matured, the Board may pay the same instead of issuing a new Series O Bond. The Board may charge the owner of such Series O Bond its reasonable fees and expenses in this connection.

Section 2.5. Redemption Provisions. The Series O Bonds maturing on and after May 1, 2006, shall be subject to redemption by the Board prior to maturity, in whole or in part, in the inverse order of their maturities (less than all of a single maturity to be selected by lot), on any Interest Payment Date falling on or after May 1, 2005, at a redemption price, expressed as a percentage of the principal amount of the Bonds called for redemption, plus unpaid interest accrued to the date of redemption, as follows:

| <u>Redemption Dates (inclusive)</u>  | <u>Redemption Prices</u> |
|--------------------------------------|--------------------------|
| May 1, 2005 through May 1, 2006      | 102%                     |
| November 1, 2006 through May 1, 2007 | 101%                     |
| November 1, 2007, and thereafter     | 100%                     |

In the event that a Series O Bond subject to redemption is in a denomination larger than \$5,000, a portion of such Series O Bond may be redeemed, but only in a principal amount equal to \$5,000 or an integral multiple thereof. Upon surrender of any Series O Bond for redemption in part, the Trustee, in accordance with this Series O Resolution, shall authenticate and deliver an exchange Series O Bond or Series O Bonds in an aggregate principal amount equal to the unredeemed portion of the Series O Bond so surrendered.

The Trustee shall give notice of any redemption by sending such notice by United States mail, first class, postage prepaid, not less than thirty (30) and not more than sixty (60)

days prior to the date fixed for redemption, to the Registered Owner of each Series O Bond to be redeemed in whole or in part at the address shown on the Bond Register as of the date of mailing of such notice. Such notice shall state the redemption date, the place at which the Bonds are to be surrendered for payment, and, if less than all of the Series O Bonds outstanding are to be redeemed, an identification of the Series O Bonds or portions thereof to be redeemed. Any notice mailed as provided in this Section shall be conclusively presumed to have been duly given, whether or not the Bondowner receives such notice.

Prior to each redemption date, the Trustee shall make provision, to the extent funds are then available therefor, for the payment of the redemption price of the Series O Bonds to be redeemed on such date by setting aside and holding in trust an amount sufficient to pay such redemption price. Upon presentation and surrender of any such Series O Bond at the corporate trust office of the Trustee on or after the date fixed for redemption, the Trustee shall pay the Redemption Price of such Bond (plus accrued interest to the date of redemption) from the funds set aside for such purpose.

All of said Bonds as to which the Board reserves and exercises the right of redemption and as to which notice as aforesaid shall have been given, and for the retirement of which, upon the terms aforesaid, funds are duly provided, will cease to bear interest on the redemption date.

The Trustee shall not be required to transfer or exchange any Series O Bonds (i) during the period between the Record Date and the next Interest Payment Date of such Series O Bond; (ii) after notice calling such Series O Bond for redemption has been mailed; or (iii) during a period of fifteen (15) days next preceding the mailing of a notice of redemption of any Series O Bond.

No service charge shall be made for any transfer or exchange of Series O Bonds, but the Board and the Trustee may require payment of a sum sufficient to cover any tax or any other governmental charge that may be imposed in connection with any transfer or exchange of Series O Bonds, except in the case of the issuance of a Bond or Bonds for the unredeemed portion of a Series O Bond surrendered for redemption.

Section 2.6. Designation of Trustee (Bond Registrar, Transfer Agent, and Payee Bank). For the purpose of securing the payment of both the principal of and interest on all of the Series O Bonds, and to secure for the benefit of all the owners thereof the faithful performance of the covenants and provisions contained in the Resolution in the manner and to the extent permitted and provided in this Series O Resolution and in Section 162.340, et seq., and 58.010 through 58.140 of the Kentucky Revised Statutes, Bank One, Lexington, N.A. (formerly, the First Security National Bank & Trust Company), in the City of Lexington, Kentucky, is hereby designated as Trustee, Bond Registrar, Transfer Agent, and

Payee Bank under the Basic Resolution and this Series O Resolution for the owners of all of said Series O Bonds, with the powers and duties set forth in the Basic Resolution and in this Series O Resolution and with no liability in connection with any action or omission to act under the Basic Resolution or under this Series O Resolution except for its own negligence or willful breach of trust. Execution of the Authentication Certificate of the Bond Registrar on the respective Series O Bonds, shall conclusively establish the acceptance by the Trustee and Bond Registrar of the trusts and provisions with respect thereto as set forth in this Resolution. Acceptance of the duties of the Trustee and Bond Registrar shall also be evidenced by the execution of the appropriate form of Acceptance immediately following the end of this Series O Resolution.

Section 2.7. Security for Series O Bonds; Statutory Mortgage Lien; Revenue Pledge. For the protection of the holders and owners of the Bonds secured by the Basic Resolution and this Resolution, a statutory mortgage lien upon the Project and properties connected therewith and belonging thereto, on a parity with All Bonds, is granted and created by and pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, which said statutory mortgage lien is hereby recognized and declared to be valid and binding as provided by law, and shall take effect immediately upon the delivery of any and all of the Series O Bonds, ranking on a parity with the outstanding Series C through N Bonds.

All of the Series O Bonds are payable only from the Gross Revenues derived from the operation of the Project, ranking on a parity with the outstanding Series C through N Bonds, and the Series O Bonds shall not and do not constitute an indebtedness of the University of Kentucky or of its Board of Trustees or of the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

Section 2.8. Authentication of Bonds. No Series O Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit of this Resolution unless and until such Bond has been duly authenticated by the Bond Registrar by the execution of the Authentication Certificate of Bond Registrar appearing on such Bond. Such Certificate appearing on any Bond shall be deemed to have been duly executed by the Bond Registrar if manually signed by an authorized officer of the Bond Registrar. It shall not be required that the same officer of the Bond Registrar sign such Certificate on all of the Series O Bonds.

Section 2.9. Form of Bonds. The aforesaid authorized issue of Series O Bonds shall be in substantially the following form:

UNITED STATES OF AMERICA  
COMMONWEALTH OF KENTUCKY  
UNIVERSITY OF KENTUCKY  
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BOND  
SERIES O

NUMBER R-\_\_\_\_\_

PRINCIPAL AMOUNT:  
(DOLLARS)

DATE OF ORIGINAL ISSUE: INTEREST RATE:

CUSIP:

August 1, 1995

REGISTERED OWNER:

PRINCIPAL AMOUNT:

The Board of Trustees of the University of Kentucky (the "Board"), a body corporate, as an educational institution and agency and a political subdivision of the Commonwealth of Kentucky, for value received, hereby promises to pay to the Registered Owner, identified above, or his or its registered assigns, solely from the special fund hereinafter identified, upon presentation and surrender of this Bond, the Principal Amount set out above on the Maturity Date specified above and to pay interest on said sum at the per annum Interest Rate specified above, from the interest payment date to which interest has been paid next preceding the date on which this Bond is authenticated, unless this Bond is authenticated on an interest payment date to which interest has been paid, in which event this Bond shall bear interest from such date, or if this Bond is authenticated prior to the first interest payment date, this Bond shall bear interest from the date of original issue set out above, commencing November 1, 1995, and semiannually thereafter on May 1 and November 1 of each year until payment of the Principal Amount, except as the provisions hereinafter set forth with regard to redemption prior to maturity may be and become applicable. The principal of and redemption price, if any, on this Bond are payable in lawful money of the United States of America at the main office of Bank One, Lexington, N.A., Lexington, Kentucky (the "Trustee," "Bond Registrar," and "Payee Bank"). All interest on this Bond shall be payable by check mailed by the Trustee to the registered owner hereof at the address shown on the registration books kept by the Trustee.

This Bond is one of a duly authorized issue of \$12,025,000 principal amount of Bonds (said Bonds being hereinafter sometimes collectively referred to as "the Bonds," "these Bonds" or "the Series O Bonds"), issued in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including Sections 162.340 to 162.380, and 58.010 through 58.140 of the Kentucky Revised Statutes, now in full force and effect, and under and pursuant to the Resolution adopted by the Board on

September 20, 1960 (the "Basic Resolution"), and the Resolution adopted on June 13, 1995, authorizing the Series O Bonds (the "Series O Resolution"), issuable as fully registered Bonds in the denominations of \$5,000 and any authorized multiple thereof within the same maturity, for the purpose of financing (a) the costs (to the extent not otherwise provided) of establishing and erecting certain educational buildings and necessary appurtenances (the "Series O Project"), upon the property of the University in Fayette County, Kentucky, which Series O Project buildings and appurtenant facilities will, when erected and acquired, become part of the Consolidated Educational Buildings Project of said University (the "Project"), (b) the amount necessary to fully fund the Required Debt Service Reserve, and (c) the costs of issuance of the Series O Bonds. Copies of the Basic Resolution and the Series O Resolution are on file at the office of the Trustee.

As provided in the Series O Resolution, these Series O Bonds, together with the outstanding Bonds of Series C, Series D (Second Series), Series F (Second Series), Series I, Series J, Series G, H and I (Second Series), Series G, H and I (Third Series), Series K, Series K (Second Series), Series L, Series M, Series N and Series E, J and L (Second Series), and any additional Parity Bonds which may hereafter be issued and outstanding under the terms of the Basic Resolution and subsequent Series Resolutions (hereinafter all references to said outstanding Bonds, and any additional Parity Bonds shall be collectively referred to as "All Bonds"), are and will be payable from and constitute a first charge and lien upon the Revenues (as defined in the Basic Resolution) to be derived by the University from the operation of its Consolidated Educational Buildings Project. The fee imposed upon and collected from all students enrolled in the University at the Lexington Campus as an incident to registration at the beginning of each semester of the regular academic year and each summer session, including fees imposed for part-time students, night school and extension courses, is designated as the source of Revenues of the Project. Such fees are known as the Student Registration Fees, and the Board has covenanted on behalf of the University that such fees will be fixed (and, if necessary, revised and increased from time to time) at such rates as may be required to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves therefor, and to pay the operating costs of the Project to the extent that such costs are not otherwise provided. All collections of the Student Registration Fees are to be set aside, as received, into the Consolidated Educational Buildings Project Revenue Fund (the "Revenue Fund") and are made subject to a first pledge, lien, and charge for the security and source of payment of All Bonds.

The Basic Resolution provides for the creation of a special account designated "Consolidated Educational Buildings Project Bond and Interest Sinking Fund" (the "Bond Fund") and for the deposit from the Revenue Fund to the credit of the Bond Fund of a fixed amount of the gross Revenues of the Project sufficient to pay interest on All Bonds as the same become due, to pay or retire



the principal of All Bonds at or prior to the maturity thereof, and to provide a reserve for such purpose, which Bond Fund is pledged to and charged with the payment of said principal and interest.

The aggregate principal amount of Bonds which may be issued under the Basic Resolution is not limited except as provided in such Basic Resolution, and all Bonds issued and to be issued under the Basic Resolution are and will be equally secured by the pledges and covenants made therein, except as otherwise expressly provided or permitted in the Basic Resolution.

As further security for these Series O Bonds, a statutory mortgage lien, which is hereby recognized as valid and binding on the Project and properties connected therewith and belonging thereto, on a parity with All Bonds, is created and granted to and in favor of the owner(s) of these Series O Bonds, pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, which said statutory mortgage lien shall take effect immediately upon the delivery of any and all of the Series O Bonds, and the Project shall remain subject to such statutory mortgage lien until the payment in full of the principal of and interest on All Bonds, including the Series O Bonds.

All Bonds as may be outstanding from time to time are payable only from the gross Revenues derived from the operation of the Project, a sufficient amount of which revenues will be annually set aside into the Bond Fund, and this Bond does not constitute an indebtedness of the University of Kentucky, its Board, or the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

This Bond is transferable by the registered owner hereof in person or by his attorney duly authorized in writing at the main office of the Bond Registrar, but only in the manner, subject to the limitations provided in the Series O Resolution, and upon surrender and cancellation of this Bond, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner or his authorized representative. Upon such transfer being effected, a new fully registered Bond or Bonds of the same series and the same maturity of authorized denomination, for the same aggregate principal amount, and the same interest rate, will be issued to the transferee in exchange therefor.

The Board and the Bond Registrar may deem and treat the registered owner hereof as the absolute owner hereof for the purpose of receiving payment of or on account of principal hereof and redemption price, if any, hereon, and interest due hereon, and for all other purposes, and neither the Board nor the Bond Registrar shall be affected by any notice to the contrary.

The owner of this Bond shall have no right to enforce the provisions of the Basic Resolution or the Series O Resolution, to institute action to enforce the covenants therein, to take any action with respect to any default under such Resolutions, or to

institute, appear in, or defend any suit or other proceedings with respect thereto, except as provided in such Resolutions. The Basic Resolution provides for fixing, charging, and collecting fees for the services of the Project, which fees will be sufficient to pay the principal of and interest on All Bonds as the same become due, to provide a reserve for such purpose, and also to pay the cost of operation and maintenance of the Project to the extent that same are not otherwise provided.

Reference is made to such Basic Resolution and Series O Resolution (a) pursuant to which Bank One, Lexington, N.A. (formerly, First Security National Bank & Trust Company), in the City of Lexington, Kentucky, has been designated as Trustee and Bond Registrar, Payee Bank, and Transfer Agent for the owners of the Series O Bonds, (b) for the provisions, among others, with respect to the custody and application of the proceeds of the Series O Bonds, (c) the rights, duties, and obligations of the Board and of the Trustee, and (d) the rights of the owners of these Series O Bonds; and by the acceptance of this Bond, the registered owner hereof assents to all of the provisions of said resolutions.

The Series O Bonds maturing on and after May 1, 2006, shall be subject to redemption by the Board prior to maturity, in whole or in part, in the inverse order of their maturities (less than all of a single maturity to be selected by lot), on any Interest Payment Date falling on or after May 1, 2005, at a redemption price, expressed as a percentage of the principal amount of the Bonds called for redemption, plus unpaid interest accrued to the date of redemption, as follows:

| <u>Redemption Dates (inclusive)</u>  | <u>Redemption Prices</u> |
|--------------------------------------|--------------------------|
| May 1, 2005 through May 1, 2006      | 102%                     |
| November 1, 2006 through May 1, 2007 | 101%                     |
| November 1, 2007, and thereafter     | 100%                     |

[ The Series O Bonds maturing on May 1, \_\_\_\_, are subject to mandatory redemption, at 100% of the principal amount redeemed plus accrued interest to the date of redemption, on the dates and in the principal amounts to be selected by lot by the Trustee, as follows:

| <u>Date</u>                  | <u>Amounts</u> |
|------------------------------|----------------|
| May 1, ____                  | \$ ____        |
| May 1, ____                  | _____          |
| May 1, ____ (final maturity) | _____]         |

In the event that a Bond subject to redemption is in a denomination larger than \$5,000, a portion of such Bond may be redeemed, but only in a principal amount equal to \$5,000 or an integral multiple thereof. Upon surrender of any Bond for redemption in part, the Trustee, in accordance with the Series O Resolution, shall authenticate and deliver an exchange Bond or



Bonds in an aggregate principal amount equal to the unredeemed portion of the Bond so surrendered.

The Trustee shall give notice of any redemption by sending such notice by United States mail, first class, postage prepaid, not less than 30 and not more than 60 days prior to the date fixed for redemption, to the registered owner of this Bond at the address shown on the Bond Register as of the date of mailing of such notice.

This Bond is exempt from taxation (except inheritance taxes) by the Commonwealth of Kentucky and all of its political subdivisions.

It is hereby certified, recited, and declared that all acts, conditions, and things required to exist, to happen, and to be performed precedent to and in the issuance of this Bond, do exist, have happened, and have been performed in due time, form, and manner as required by law, and that the amount of this Bond, together with all other obligations of the Board and of said University of Kentucky, does not violate any provision or exceed any limit prescribed by the Constitution or Statutes of Kentucky; that the Project will be continuously operated, and that a sufficient portion of the gross Revenues therefrom has been pledged to and will be set aside into the Bond Fund (created in the Basic Resolution) for the payment of the principal of and interest on this Bond and All Bonds which by their terms and the terms of the Basic Resolution, the Series O Resolution, and all previous Series Resolutions, are payable from the Bond Fund as and when the same will respectively become due.

IN TESTIMONY WHEREOF, the Board of Trustees of the University of Kentucky has caused this Bond to be executed on its behalf by the reproduced facsimile signature of its Chairman, and the facsimile of its corporate seal to be imprinted hereon, and attested by the reproduced facsimile signature of its Secretary, dated as of August 1, 1995; provided, however, that this Bond shall not be valid or obligatory for any purpose or be entitled to any security or benefit under the Series O Resolution pursuant to which it was authorized until the Authentication Certificate of Bond Registrar printed hereon shall have been executed by the manual signature of a duly authorized representative of the Bond Registrar.

UNIVERSITY OF KENTUCKY  
Lexington, Kentucky

By \_\_\_\_\_ (Facsimile Signature)  
Chairman, Board of Trustees

Attest:

(Facsimile Signature)  
Secretary, Board of Trustees

(Facsimile Seal of Board of Trustees)

THE AUTHENTICATION DATE OF THIS BOND IS: \_\_\_\_\_

AUTHENTICATION CERTIFICATE OF BOND REGISTRAR

This is to certify that this Bond is one of the Bonds referred to in the within Bond and in the Series O Resolution authorizing same. Printed on the reverse hereof is the complete text of the opinion of Bond Counsel, Rubin Hays & Foley, 200 South Fifth Street, Louisville, Kentucky, a signed original of which is on file with the undersigned, delivered and dated on the date of the original delivery and payment for the Bonds.

BANK ONE, LEXINGTON, N.A.,  
Lexington, Kentucky,  
Bond Registrar

By \_\_\_\_\_  
Authorized Officer

ASSIGNMENT

For value received, the undersigned hereby sells, assigns and transfers unto \_\_\_\_\_ the within Bond and hereby irrevocably constitutes and appoints \_\_\_\_\_ attorney to transfer said Bond on the books kept for registration and transfer of this Bond, with full power of substitution in the premises.

Dated: \_\_\_\_\_

Registered Owner (Signature must correspond with name of Registered Owner as it appears on the front of this Bond in every particular, without alteration, enlargement, or any change whatsoever.)

Social Security or other taxpayer identification number of Assignee:  
\_\_\_\_\_

Signature Guaranteed:

Notice: Signature(s) must be guaranteed by a member firm of the New York Stock Exchange, Inc., or a commercial bank or trust company

The following abbreviations, when used in the inscription on the face of the within Bond, shall be construed as though they were written out in full according to applicable laws or regulations:

|         |                                                                             |                                       |           |
|---------|-----------------------------------------------------------------------------|---------------------------------------|-----------|
| TEN COM | -- as tenants in common                                                     | UNIF TRANS MIN ACT -                  | Custodian |
| TEN ENT | -- as tenants by the entireties                                             | (Cust)                                | (Minor)   |
| JT TEN  | -- as joint tenants with right of survivorship and not as tenants in common | under Uniform Transfers to Minors Act |           |
|         |                                                                             |                                       | (State)   |

Additional abbreviations may also be used though not in list above.

ARTICLE III  
PUBLIC SALE OF SERIES O BONDS; COMPLIANCE WITH PARITY  
REQUIREMENTS; THE SERIES O CONSTRUCTION ACCOUNT;  
DISPOSITION OF PROCEEDS OF SERIES O BONDS

Section 3.1. Advertisement of Series O Bonds for Sale.

The Series O Bonds shall be offered publicly for sale upon the basis of sealed, competitive bids at such time as the Board (or the Executive Committee of the Board) shall designate by duly adopted motion or resolution.

The Treasurer of the Board is hereby authorized and directed to cause an appropriate form or forms of a Notice of Sale of Bonds to be published in THE COURIER-JOURNAL, a newspaper published in the City of Louisville, Kentucky, which will afford statewide notice of the sale, and in THE BOND BUYER, a financial journal published in the City of New York, New York, which is a publication having general circulation among bond buyers; and said newspaper and financial journal are hereby declared to be qualified to publish such notice for the Board within the meaning and provisions of KRS Chapter 424. Such notice shall be published in said newspaper and financial journal at least once not less than seven nor more than twenty-one days prior to the scheduled date of sale of the Series O Bonds.

A suggested form of Notice of Sale of Bonds, a suggested form of Official Terms and Conditions of Sale of Bonds, and a suggested form of Official Bid Form, having been prepared by Bond Counsel in cooperation with the Fiscal Agent, and same are hereby approved for such purposes, and a copy of each is hereby ordered to be attached to this Resolution and to the minutes of the meeting at which this Resolution is adopted. The notice of Sale of Bonds shall be signed by the Treasurer of the University and may be used

for the purpose of publishing notice of the sale of the Bonds. The Fiscal Agent is authorized to disseminate copies of the preliminary Official Statement and the final Official Statement in such form as approved by the Controller-Treasurer.

Bidders shall be advised that J.J.B. Hilliard, W.L. Lyons, Inc., Louisville, Kentucky, has been employed as Fiscal Agent in connection with the issuance of these Series O Bonds, that their fee for services rendered with respect to the sale of the Series O Bonds is contingent upon the issuance and delivery of the Series O Bonds, and that they may submit a bid for the purchase of the Series O Bonds at the time of the advertised public sale of the Series O Bonds, either individually or as the member of a syndicate organized to submit a bid for the purchase of the Series O Bonds.

On the date and at the respective hour set forth for the opening and consideration of purchase bids, as provided in the instruments hereinabove approved, the sealed bids theretofore received by the Treasurer and the Finance Committee of the Board shall be publicly opened and publicly read by the Treasurer and later delivered to the Board and again publicly read by the presiding officer of the Board. If there shall be one or more bids which conform in all respects to the prescribed terms and conditions, such bids shall be compared, and the Board agrees that if a bid is accepted, it will accept the best of such bids, as measured in terms of the lowest interest cost to the Board, as calculated in the manner prescribed in the Official Terms and Conditions of Sale of Bonds.

Bids will be received for the purchase of such Bonds at such date and hour as shall be fixed or otherwise determined by the Resolution of the Board (or of its Executive Committee).

It is hereby determined that the successful bid for the purchase of the Series O Bonds, at the option of the Board of Trustees, may, in lieu of acceptance by the full Board of Trustees, be accepted by the Executive Committee of the Board of Trustees upon the advice of the Board's Fiscal Agent, J.J.B. Hilliard, W.L. Lyons, Inc., with the approval of the Treasurer of the University.

Section 3.2. Compliance with Parity Requirements of Basic Resolution. In accordance with the requirements of Section 7.10 of the Basic Resolution, it is hereby certified, covenanted, and declared:

(a) That at the present time, and at the time of issuance of the Series O Bonds, there is and will be no deficiency in amounts required by the Basic Resolution or any Series Resolution to be paid into the Bond Fund;

(b) That prior to the issuance of the Series O Bonds, there will have been procured and filed with the Trustee a statement by the Treasurer of the Board to the effect that the average of the annual revenues from the Project for the two fiscal years

immediately preceding the issuance of the Series O Bonds, was equal to not less than 1.25 times the maximum Aggregate Principal, Interest and Bond Fund Charges in any succeeding twelve month period ending May 1 on the Outstanding Bonds and on the Series O Bonds proposed to be issued. For the purposes of such computation, such certification of the Treasurer shall make an adjustment in the amount of the annual Revenues to reflect any increases or decreases in the pledged Revenues (the student registration fees) which at that time shall have been determined by the Board and made effective for future services of the Project.

Accordingly, based on the foregoing compliance with the requirements of the Basic Resolution, it is hereby found and declared that the Series O Bonds shall rank and be payable on a parity with the outstanding Series C through N Bonds on a first lien basis from the gross revenues of the Project and further secured by a first statutory mortgage lien on the Project buildings.

Section 3.3. The Series O Construction Account; Disposition of Proceeds of Series O Bonds. There is hereby established in the Treasury of the Commonwealth a Construction Account to be designated "University of Kentucky Consolidated Educational Buildings Project Bond Series O Construction Account" (the "Series O Construction Account").

Immediately upon the issuance, sale, and delivery of the Series O Bonds, the entire amount received from the Original Purchasers of the Series O Bonds shall be deposited in the Treasury of the Commonwealth for immediate credit and/or transfer as follows:

(a) To the Bond Fund, all amounts received as collected accrued interest for the period from the date of the Series O Bonds, to the date of delivery, for application to the payment of interest next becoming due on the Series O Bonds.

(b) To the Debt Service Reserve Fund, the amount necessary to fully fund the Required Debt Service Reserve.

(c) To the "University of Kentucky Consolidated Educational Buildings Revenue Bond Series O Cost of Issuance Account," hereby created and to be maintained on deposit with the Trustee, such appropriate amount as shall be directed by the Treasurer of the Board, to be held and disbursed upon written authorization of the Board or its designee. The Trustee shall apply funds in such account for the purpose of meeting issuance expenses, including printing, fiscal agent fee, legal fees and expenses, rating agency fees, and other necessary costs (to the extent not payable by the Fiscal Agent) of preparing, issuing, advertising, and selling the Bonds. After payment in full of such issuance expenses, based upon the certification of the Board, through its Treasurer, all amounts remaining in such Account shall be transferred to and deposited in

the Bond Fund and applied toward the payment of interest requirements falling due on the Bonds on November 1, 1995.

(d) The balance of the proceeds of the Series O Bonds shall be deposited in the Series O Construction Account.

Such balance in the Construction Account shall be disbursed from such Account by the Treasurer of the Commonwealth according to such inspection, audit, and disbursement procedures as may from time to time be provided by law, for the purpose of paying the costs (to the extent not otherwise provided) of establishing and constructing the Series O Project as identified in Section 2.2 of this Series O Resolution in accordance with the approved plans and specifications therefor and to reimburse the University or the Commonwealth for any payments which may have been made from other available resources in anticipation of the issuance of the Series O Bonds, and only upon certification of the Architects or Engineers having supervision of construction (or of the Secretary of the Finance Cabinet of the Commonwealth with respect to reimbursements sought by the Commonwealth), as to each disbursement for construction costs, that the amount thereof has been duly earned by and is payable to (and has not previously been paid to) the designated party or parties, for materials, services, and/or labor furnished pursuant to proper contract duly awarded therefor.

Amounts on deposit in the Construction Account shall be invested and reinvested from time to time by the State Treasurer upon direction to the State Treasurer by the Treasurer of the University, in direct obligations of the United States Government or of agencies of the United States Government, or obligations which are fully guaranteed by the United States Government, or in negotiable or non-negotiable Certificates of Deposit issued by any bank, trust company or national banking association (including the Trustee) whose deposits are insured by the Federal Deposit Insurance Corporation, provided such Certificates of Deposit, to the extent that same shall exceed the amount insured by the Federal Deposit Insurance Corporation, must be continuously secured by a valid pledge of direct obligations of or obligations guaranteed by the United States Government having a market value (exclusive of accrued interest) at all times equal to at least 100% of the principal amount of said Certificates of Deposit, which Certificates shall be lodged with the State Treasurer as custodian, or in investments permitted by KRS 42.500(6). The Investments shall be made upon the determination of the University Treasurer, upon advice from said Architects or Engineers (or of said Secretary of the Finance Cabinet) that moneys on deposit in the Construction Account are not immediately required for construction purposes and any investments made from the Construction Account shall be selected in contemplation of the anticipated schedule of disbursements prepared by the University Treasurer. All such investments shall be reconverted into cash as and when cash is required to pay costs for which the Construction Account is established.

Any balance remaining in said Construction Account after the establishment and construction of said Series O Project and the payment of all costs in connection therewith shall be deposited in the Bond Fund and (a) credited to the Debt Service Reserve established in and by Section 405(3) of the Basic Resolution if and to the extent that such Debt Service Reserve contains less than the amount of the Required Debt Service Reserve, and/or (b) either applied as a credit against the next deposit required to be made into the Bond Fund, or used to purchase Series O Bonds in the open market at not exceeding par plus accrued interest, as may be directed by the Board; provided, however, that if proceedings are then pending or imminently contemplated for the establishment and/or construction of one or more additional educational buildings, which are or will be financed by the issuance of additional Series of Bonds pursuant to the Basic Resolution, and which building or buildings constitute or will constitute a part of the Consolidated Educational Buildings Project, any such unexpended balance may be taken into account in determining the amount of Bonds to be authorized therefor, or may otherwise be applied to the proper costs thereof, in which event such unexpended balance may, upon authority of the Board, be transferred to the Series Construction Account thereof; and provided further, that if the Board has made a contribution to the Construction Account, any surplus to the extent of such contribution shall be transferred back to the Board.

The Board authorizes and directs that the investment earnings on the Series O Construction Account be applied toward the costs of the Series O Project.

ARTICLE IV  
COMPLIANCE WITH FEDERAL ARBITRAGE REQUIREMENTS;  
THE REVENUE FUND; DEBT SERVICE RESERVE FUND

Section 4.1. Compliance with Federal Non-Arbitrage Requirements. Neither the University nor the Trustee shall take any action at any time which will cause the interest on the Bonds to become subject to Federal income taxation, and, without limiting the foregoing, it is covenanted for the benefit of the owners of such Bonds that no use of the proceeds of the Series O Bonds will be made at any time which, if such use had been reasonably expected on the date of issue of such Bonds, would have caused them to be "arbitrage bonds" within the meaning of Section 148 of the Code, and the applicable regulations thereunder. Without limiting the generality of the foregoing, in no event shall moneys be deposited in any accounts created hereunder or invested pursuant to the provisions hereof if such deposit or investment shall cause any Bond to be an "arbitrage bond" within the meaning of Section 148 of the Code.

The Board certifies that on the basis of the facts, expectations, and circumstances (including covenants of the Board) in existence on the date of adoption of this Series O Resolution it is not expected that the proceeds of the Series O Bonds will be used in a manner that will cause such obligations to be "arbitrage



bonds." The Chairman and the Treasurer of the Board are hereby jointly and severally designated and charged by the Board and the University with the responsibility for issuing the Series O Bonds and are hereby designated as the officers of the Board and the University to execute (by either of them) the certification of expectations required by Section 1.148-2 of the Treasury Regulations, and such certification shall set forth such facts, expectations and circumstances, which may be in brief and summary terms, and shall state that to the best of his knowledge and belief there are no other facts, expectations, or circumstances that would materially change such expectation that the proceeds of the issue of Bonds herein authorized will not be used in a manner that would cause same to be "arbitrage bonds." If, under any valid provisions of law hereafter enacted, the interest paid by the Board on the Bonds should be excludable from the gross income of a recipient thereof for Federal income tax purposes without regard to compliance with the provisions of Section 148 of the Code, then the University shall not be required to comply with such provisions of the Code.

Section 4.2. Compliance with SEC Rule 15c2-12 Disclosure Requirements. The Board agrees for the benefit of the holders of the Series O Bonds to provide, or cause to be provided, continuing disclosure of the information required by Securities and Exchange Commission Rule 15c2-12 (the "Rule"), which includes, but is not limited to, annual financial information and operating data consistent with the financial information and operating data included in the official statement prepared for the Series O Bonds, and annual audited financial statements of the Board prepared in accordance with Governmental Auditing Standards and notices of certain material events with respect to the Series O Bonds. The Board agrees to file such financial information, operating data and audited financial statements on or before 120 days after the close of each fiscal year while the Series O Bonds are outstanding with each nationally recognized municipal securities information repository, as designated by the Securities and Exchange Commission in accordance with the Rule, and to the Kentucky state information repository, if any. Such information will be made available to each owner of the Series O Bonds upon request. The Board reserves the right to modify, from time to time, the specific types of information provided or the format of the presentation of such information, provided that the Board agrees that any such modification will be done in a manner consistent with the Rule. The Board further agrees to file, in a timely manner, notice of any of the following events, if material, with respect to the Series O Bonds with the Municipal Securities Rulemaking Board and the Kentucky state information repository, if any:

1. Series O Bond principal and interest payment delinquencies.
2. Non-payment related defaults.



3.     Unscheduled draws on the Debt Service Reserves, reflecting financial difficulties.

4.     Adverse tax opinions or events affecting the tax-exempt status of the Series O Bonds.

5.     Modification to rights of the owners of the Series O Bonds.

6.     Series O Bond calls.

7.     Defeasances.

8.     Release, substitution or sale of property securing repayment of the Series O Bonds.

9.     Rating changes.

The Board may from time to time choose to provide notice of an occurrence of certain other events, in addition to those listed above, if such other event is material with respect to the Series O Bonds, but the Board does not undertake to commit to provide any such notice of the occurrence of any material event except those events listed above.

The Board agrees that its undertaking pursuant to the Rule is intended to be for the benefit of the holders of the Series O Bonds and shall be enforceable by such holders but such right to enforce the provisions of this undertaking shall be limited to a right to obtain specific performance of the Board's obligations hereunder in a court of competent jurisdiction in Kentucky following notice and an opportunity to cure such failure to comply, and any failure by the Board to comply with the provisions of this undertaking shall not be an event of default with respect to the Series O Bonds under the Resolution and the Series O Resolution.

Section 4.3. The Revenue Fund; Transfers to the Bond Fund and the Debt Service Reserve Fund to Provide for the Additional Requirements of the Series O Bonds.

(1) From and after the issuance and delivery of the Series O Bonds, the Treasurer, conforming to the provisions of Section 4.05(2) of the Basic Resolution, shall transfer from the Revenue Fund and deposit to the credit of the Bond Fund from time to time such sums as are required to pay (a) interest falling due on each November 1 with respect to the Series O Bonds, and (b) interest and principal, if any, falling due on each May 1 with respect to the Series O Bonds; and such transfers and deposits into the Bond Fund shall be in addition to the transfers and deposits required to be made from time to time for payment of the interest on and principal of the Series C through N Bonds.

(2) Conforming to the requirements of Section 4.05(2) of the Basic Resolution, if, whenever, and so long as the amount on

deposit in the Debt Service Reserve (as part of the Bond Fund) shall be less than the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve (as defined herein), with respect to All Bonds for any future year ending May 1, the Treasurer shall transfer from the first available funds in the Revenue Fund and deposit in the Bond Fund, whatever additional amounts over and above all deposits otherwise required to be made into the Bond Fund, as set out in the foregoing paragraph (1) of this Section, shall be required in order to restore and maintain the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve in the Debt Service Reserve portion of the Bond Fund.

(3) It is further determined by the Board that in calculating the amount of the required annual payments to be made by the Board into the Bond Fund to meet the principal and interest requirements of All (Outstanding) Bonds, the Board shall (whenever and so long as the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve has been accumulated and is being maintained), take credit for the investment income derived from the investment of funds in the Bond Fund, including funds in the Debt Service Reserve; provided, further, however, that the Board, in compliance with the arbitrage requirements of the Internal Revenue Code of 1986, as amended, represents that amounts on deposit in the Bond Fund will be used within thirteen (13) months from the date of deposit for the payment of principal of and interest on the Outstanding Bonds; and the Bond Fund will annually be depleted through such application, for current debt service requirements on the Outstanding Bonds, except for an amount equal to not more than the greater of (a) one-twelfth (1/12) of the debt service requirements of the Outstanding Bonds for the then ensuing year, or (b) one year's earnings on the Bond Fund.

Section 4.4. Adjustments in the Debt Service Reserve.

It is hereby determined that it is necessary (a) in order to comply with the parity requirements of the Basic Resolution, and (b) for the marketability of the Series O Bonds, that the Debt Service Reserve shall continue to be maintained and, when necessary, accumulated and/or restored, in an amount equal to the Required Debt Service Reserve.

The Debt Service Reserve shall not (except where the amount on deposit therein shall exceed the Required Debt Service Reserve) be used for any purpose except to make the payments required to be made from the Bond Fund when there are insufficient funds available in the Bond Fund for such purposes.

ARTICLE V  
MISCELLANEOUS CONCLUDING PROVISIONS

Section 5.1. All Provisions of Basic Resolution and Various Series Resolutions Are Hereby Readopted, Ratified and Confirmed. The Board hereby readopts, ratifies and confirms all previous Resolutions relating to the Project, including the Basic Resolution adopted on September 20, 1960, and each of the various Series Resolutions C through N.

Section 5.2. Ratification of Previous Appointment of Trustee to Serve as Registrar, Transfer Agent and Payee Bank. Bank One, Lexington, N.A. (formerly, First Security National Bank & Trust Company), Lexington, Kentucky, the Trustee designated in previously adopted Series Resolutions to serve also as Bond Registrar, Transfer Agent and Payee Bank with respect to the Series C through N Bonds, is also designated to serve in all capacities referred to above with respect to the Series O Bonds.

Its duties as Registrar, Transfer Agent and Payee Bank with respect to the Series O Bonds shall be the same as its duties in such capacities with respect to the Series C through N Bonds.

The Trustee/Registrar/Payee Bank shall be entitled to the same rights, privileges, and immunities with respect to the Series O Bonds as are specified in the Series C through N Resolutions with respect to the Series C through N Bonds.

The Trustee Bank shall indicate its acceptance of its respective duties and capacities by signing its Acceptance at the conclusion of this Resolution.

Section 5.3. Replacement by Board of Registrar, Transfer Agent, Payee Bank and Depository Bank. The Board shall have the right at any time to replace the Registrar, Transfer Agent, Payee Bank, and/or Depository Bank by observing the same procedure as that required by the Basic Resolution and/or the respective Series Resolutions for replacement of the Trustee.

Section 5.4. Covenant of Board to Take All Action Necessary to Assure Compliance with the Internal Revenue Code of 1986, as Amended. In order to assure purchasers of the Series O Bonds that interest thereon will continue to be exempt from all Federal and Kentucky income taxation (subject to certain exceptions set out below), the Board covenants to and with the owners of Series O Bonds that (1) the Board will take all actions necessary to comply with the provisions of the Internal Revenue Code of 1986, as amended, (2) the Board will take no actions which will violate any of the provisions of such Code, or would cause the Series O Bonds to become "private activity bonds" within the meaning of the Code, (3) none of the proceeds of the Series O Bonds will be used for any purpose which would cause the interest on the Series O Bonds to become subject to Federal income taxation, and the Board will comply with any and all requirements as to rebate (and reports

with reference thereto) to the United States of certain investment earnings on the proceeds of the Series O Bonds.

The Board certifies that the Series O Bonds are not "private activity bonds" within the meaning of the Code, and the Board has been advised by Bond Counsel and therefore believes that interest on the Series O Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals.

The Board is further advised that the exemption from income taxation by the United States of America of interest on the Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), interest on the Series O Bonds is taken into account in determining adjusted current earnings for taxable years beginning after December 31, 1989.
2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series O Bonds, or, in the case of a financial institution, that portion of a holder's interest expense allocated to interest on the Series O Bonds.
3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series O Bonds.
4. For taxable years beginning before January 1, 1996, interest on the Series O Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.
5. Interest on the Series O Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.
6. Passive investment income, including interest on the Series O Bonds, may be subject to federal income taxation under Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.
7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series O Bonds.

The Board reserves the right to amend the Resolution authorizing these Series O Bonds without obtaining the consent of the owners of the Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series O Bonds shall be exempt from Federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of the Series O Bonds) to eliminate or reduce any restrictions concerning the Project, the investment of the proceeds of the Series O Bonds, or the application of such proceeds or of the revenues of the Project. The purchasers of the Series O Bonds are deemed to have relied fully upon these covenants and undertakings on the part of the Board as part of the consideration for the purchase of the Series O Bonds. To the extent that the Board obtains an opinion of nationally recognized bond counsel to the effect that non-compliance with any of the covenants contained in this Resolution or referred to in this Resolution would not subject interest on the Series O Bonds to Federal income taxes or Kentucky income taxes, the Board shall not be required to comply with such covenants or requirements.

This Resolution is adopted in contemplation that Bond Counsel will render an opinion as to exemption of principal of the Bonds from Kentucky ad valorem taxation and as to exemption of interest on the Series O Bonds from Federal and Kentucky income taxation, based on the assumption by Bond Counsel that the Board complies with covenants made by the Board with respect to compliance with the provisions of the Code, subject to the exceptions set out above, and based on the assumption of compliance by the Board with requirements as to any required rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series O Bonds. The Board has been advised that, based on the foregoing assumption of compliance, Bond Counsel is of the opinion that the Series O Bonds are not "arbitrage bonds" within the meaning of Section 148 of the Code.

Section 5.5. Resolution Contractual With Bondowners. The Basic Resolution and this Series O Resolution shall, from and after the issuance and delivery of the Series O Bonds, constitute a contract between the Board of the University and the owners of the Series O Bonds as shall be outstanding hereunder.

Section 5.6. Provisions in Conflict are Repealed. All resolutions or parts thereof in conflict with the provisions of this Series O Resolution are hereby rescinded to the extent of such conflict.

Section 5.7. Copy to be Filed with Trustee. A certified copy of this Series O Resolution shall be filed with the Trustee, and this Series O Resolution shall take effect immediately upon its adoption and the filing of the certified copy thereof with the Trustee, as provided in the Basic Resolution.

Adopted June 13, 1995.

Edward T. Beathitt  
Chairman, Board of Trustees  
University of Kentucky

(SEAL)

Attest:

Lois C. Weinberg  
Secretary, Board of Trustees

CERTIFICATION

I, Lois C. Weinberg, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certify that the foregoing is a true copy of a Resolution adopted by the Board of Trustees of said University at a meeting held on June 13, 1995, as recorded in the official Minute Book of said Board of Trustees, which is in my custody and under my control, that said meeting was held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820, and 61.825, that a quorum was present at said meeting, and that the aforesaid Resolution is of record in the office of the Board, has not been modified, amended, or rescinded, and is in full force and effect at this date.

WITNESS my signature and the Seal of said Board this June 13, 1995.

Lois C. Weinberg  
Secretary, Board of Trustees  
University of Kentucky

ACCEPTANCE BY BANK ONE, LEXINGTON, N.A.,  
LEXINGTON, KENTUCKY, AS  
BOND REGISTRAR, TRANSFER AGENT, PAYEE BANK AND TRUSTEE

The undersigned, Bank One, Lexington, N.A., Lexington, Kentucky, having heretofore been appointed as and having served as Trustee, hereby agrees to the provisions of the foregoing Resolution to the extent that there are contained therein provisions as to the additional rights and duties of the undersigned as Bond Registrar, Transfer Agent, Payee Bank, and Trustee.

Dated this \_\_\_\_\_, 1995.

BANK ONE, LEXINGTON, N.A.,  
Lexington, Kentucky

By \_\_\_\_\_

Title: \_\_\_\_\_

(Seal of Bank)

## NOTICE OF BOND SALE

The Board of Trustees of University of Kentucky, Lexington, Kentucky, will until 10:00 A.M., E.D.T., on August 15, 1995, at the office of the Controller and Treasurer of the University in Lexington, Kentucky, receive bids on \$12,025,000 (plus or minus up to \$1,200,000) of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series O, dated August 1, 1995, maturing on May 1, 1996 through 2015. Minimum bid is \$11,784,500 (98%). In the Series O Resolution, the Board has covenanted to comply with the continuing disclosure requirements of SEC Rule 15c2-12 for the benefit of the bondowners. Legal Opinion by Rubin Hays & Foley, Louisville, Kentucky. Good faith deposit is \$120,250. The Bonds will be issued on a tax-exempt basis subject to certain qualifications set out in detail in the Official Terms and Conditions and in the Preliminary Official Statement. Bid Forms, Official Terms and Conditions and Preliminary Official Statements in a form deemed to be "near final" by the Board may be obtained from the Financial Advisor, J.J.B. Hilliard, W.L. Lyons, Inc., P.O. Box 32760, 501 South Fourth Avenue, Louisville, Kentucky 40232-2760, (502) 588-8400. Right to reject bids and to waive defects or informalities is expressly reserved. (Signed) Lois C. Weinberg, Secretary.



**OFFICIAL TERMS AND CONDITIONS  
OF SALE OF BONDS**

1. **DATE AND HOUR OF SALE.** The University of Kentucky, Lexington, Kentucky, will, until 10:00 A.M., E.D.T., August 15, 1995, in the office of the Controller and Treasurer, 369 Peterson Service Building, Lexington, Kentucky 40506-0005, receive competitive, sealed bids for the purchase of \$12,025,000 (plus or minus up to \$1,200,000) of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series O, dated August 1, 1995. The Board of Trustees will meet at 1:00 P.M. on the same date to act upon the bids received.

2. **DESCRIPTION AND MATURITIES OF BONDS.** Said Series O Bonds bear interest from August 1, 1995, payable semiannually, on May 1 and November 1, commencing November 1, 1995, will be in the denomination of \$5,000 or any multiple thereof within the same maturity, will be numbered R-1 and upward, and will mature or be subject to mandatory redemption on May 1 in each of the respective years, as follows:

| <u>Maturity</u><br><u>May 1,</u> | <u>Principal</u> <sup>*</sup><br><u>Amount</u> | <u>Maturity</u><br><u>May 1,</u> | <u>Principal</u> <sup>*</sup><br><u>Amount</u> |
|----------------------------------|------------------------------------------------|----------------------------------|------------------------------------------------|
| 1996                             | \$360,000                                      | 2006                             | \$580,000                                      |
| 1997                             | 375,000                                        | 2007                             | 615,000                                        |
| 1998                             | 395,000                                        | 2008                             | 650,000                                        |
| 1999                             | 410,000                                        | 2009                             | 685,000                                        |
| 2000                             | 430,000                                        | 2010                             | 725,000                                        |
| 2001                             | 455,000                                        | 2011                             | 765,000                                        |
| 2002                             | 475,000                                        | 2012                             | 810,000                                        |
| 2003                             | 500,000                                        | 2013                             | 855,000                                        |
| 2004                             | 525,000                                        | 2014                             | 905,000                                        |
| 2005                             | 550,000                                        | 2015                             | 960,000                                        |

\*The principal maturities are subject to change as provided in the Series Resolutions pursuant to which the Series O Bonds will be issued. **SUCH ADJUSTMENT MAY HAVE A NEGATIVE OR POSITIVE EFFECT ON THE UNDERWRITING SPREAD.**

Bidders may elect to structure the maturities to include term bonds with mandatory sinking fund redemptions.

The Series O Bonds are payable as to principal at Bank One, Lexington, N.A., Lexington, Kentucky, the Trustee.

The Series O Bonds maturing on and after May 1, 2006, shall be subject to redemption by the Board prior to maturity, in whole or in part, in the inverse order of their maturities (less than all of a single maturity to be selected by lot), on any Interest Payment Date falling on or after May 1, 2005, at a redemption price, expressed as a percentage of the principal amount of the Bonds called for redemption, plus unpaid interest accrued to the date of redemption, as follows:

| <u>Redemption Dates (inclusive)</u>  | <u>Redemption Prices</u> |
|--------------------------------------|--------------------------|
| May 1, 2005 through May 1, 2006      | 102%                     |
| November 1, 2006 through May 1, 2007 | 101%                     |
| November 1, 2007, and thereafter     | 100%                     |

The Series O Bonds are to be issued in fully registered form (both principal and interest). The Trustee will mail a check representing interest payments semiannually to each Bondowner of record as of the 15th day of the month preceding each interest due date by regular United States mail postmarked not later than the due date. Principal will be paid upon submission of matured Series O Bonds to the Trustee. Upon the submission of a proper executed assignment, the Trustee will transfer ownership of a Series O Bond within three (3) business days of receipt without expense to the Bondowner.

3. **AUTHORITY AND PURPOSE.** These Series O Bonds are being issued pursuant to the authority of Sections 162.340 through 162.380, inclusive, of the Kentucky Revised Statutes are being issued on a parity as to security and source of payment with the outstanding Bonds of Series C, Series D (Second Series), Series F (Second Series), Series I, Series J, Series G, H and I (Second Series), Series G, H and I (Third Series), Series K, Series K (Second Series), Series L, Series M, Series N and Series E, J and L (Second Series) (hereinafter referred to as the "Series C through N Bonds"), heretofore issued pursuant to a Resolution of the Board of Trustees of the University of Kentucky adopted on September 20, 1960, establishing the Consolidated Educational Buildings Project for the University (the "Resolution"), and the Series O Resolution. The proceeds of the Series O Bonds will be used for the purpose of financing (a) the cost of certain educational buildings and necessary appurtenances on the campus of the University of Kentucky in Lexington, Kentucky, consisting of (i) the construction of a new Parking Garage, and (ii) renovations to the Clinical Laboratories of the College of Dentistry, (b) funding the Required Debt Service Reserve, and (c) paying the costs of issuance of the Series O Bonds.

4. **SECURITY.** These Series O Bonds, together with the Series C through N Bonds, and any additional parity bonds hereafter issued and outstanding under the terms of the Resolution (hereinafter referred to as the "Bonds"), are and will be payable from and constitute a paramount charge upon the defined Revenues (student registration fees) derived by the University from the operation of its Consolidated Educational Buildings Project, as defined in the Resolution. The fee imposed upon and collected from all students of the University at Lexington, Kentucky, as an incident to registration at the beginning of each semester of the regular academic year and each summer session, including fees imposed for part-time students, night school and extension courses, is designated as the source of Revenues of the Consolidated Educational Buildings Project. Such fees are known as the Student Registration Fees (the "Registration Fee") and the Board covenants that the same will be fixed and if necessary revised and increased from time to time at such rates as may be required to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves

therefor, and to pay the operating costs of the Project to the extent that such costs are not otherwise provided. All collections of the Student Registration Fee are to be set aside as received into the Consolidated Educational Buildings Project Revenue Fund and are made subject to a first lien and paramount charge for the security and source of payment of all outstanding Consolidated Educational Buildings Revenue Bonds.

As further security for the Bonds there is created and granted by Sections 162.350 and 162.200 of the Kentucky Revised Statutes a statutory mortgage lien upon the Consolidated Educational Buildings Project of the University.

5. **LEGAL OPINION.** In the opinion of Rubin Hays & Foley, Municipal Bond Counsel, Louisville, Kentucky, the principal of the Series O Bonds is not subject to Kentucky ad valorem taxation and the interest on the Series O Bonds is excludable from gross income for federal income tax purposes, is not an item of tax preference for purposes of the federal alternative minimum tax on individuals and corporations, and is not subject to Kentucky income taxation, subject to certain exceptions set out below. The legal opinion of Rubin Hays & Foley is subject to the condition that the Board comply with all requirements of the Internal Revenue Code of 1986, as applicable (the "Code") that must be satisfied subsequent to issuance of the Series O Bonds in order that interest thereon be, or continue to be, excludable from gross income for federal income tax purposes, including the requirement as to any required rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series O Bonds. The purchaser will be furnished said opinion, printed bond forms, and the usual closing documents, which will include a certificate that there is no litigation pending or threatened at the time of delivery of the issue affecting the validity of the Series O Bonds.

In order to assure the purchasers of the Series O Bonds that interest thereon will continue to be excludable from gross income for federal income tax purposes and exempt from Kentucky income taxation (subject to certain exceptions set out below), the Board has covenanted in the Series O Resolution that (1) the Board will take all actions necessary to comply with the provisions of the Code, (2) the Board will take no actions which will violate any of the provisions of the Code, or that would cause the Series O Bonds to become "private activity bonds" or "arbitrage bonds" within the meaning of the Code, (3) none of the proceeds of the Series O Bonds will be used for any purpose which would cause the interest on the Series O Bonds to become subject to federal income taxation, and that the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series O Bonds.

The Series O Bonds are not "private activity Bonds" within the meaning of the Code, and the Board of Trustees has been advised by Bond Counsel, and therefore believes, that interest on the Series O Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals.

The exemption from income taxation by the United States of America of interest on the Series O Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), interest on the Series O Bonds is taken into account in determining adjusted current earnings for taxable years beginning after December 31, 1989.

2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series O Bonds, or, in the case of a financial institution, that portion of a holder's interest expense allocated to interest on the Series O Bonds.

3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series O Bonds.

4. For taxable years beginning before January 1, 1996, interest on the Series O Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.

5. Interest on the Series O Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.

6. Passive investment income, including interest on the Series O Bonds, may be subject to federal income taxation under Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.

7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series O Bonds.

The Board of Trustees has reserved the right to amend the Series O Resolution pursuant to which the Series O Bonds are issued without obtaining the consent of the owners of the Series O Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series O Bonds shall be exempt from federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of the Series O Bonds) to eliminate or reduce any restrictions concerning the investment of the proceeds of the Series O Bonds, or the application of such proceeds of the Series O Bonds. The purchasers of the Series O Bonds will be deemed to have relied fully upon these covenants and undertakings on the part of the Board of Trustees as part of the consideration for the purchase of the Series O Bonds. To the

extent that the Board of Trustees obtains an opinion of nationally recognized bond counsel to the effect that non-compliance with any of the covenants contained in the Series Resolutions would not subject interest on the Series O Bonds to federal income taxation or Kentucky income taxation, the Board of Trustees is not required to comply with such covenants and requirements.

If, prior to the delivery of the Series O Bonds, any event shall occur which alters the tax-exempt status of the Series O Bonds, the purchaser shall have the privilege of voiding the purchase contract by giving immediate written notice to the Board of Trustees, whereupon the amount of the good faith deposit of the purchaser will be returned to the purchaser, and all respective obligations of the parties will be terminated.

Bond Counsel has reviewed the Official Statement with regard to all matters pertaining to the legality and tax exemption of the Series O Bonds, including statements concerning the authority, purpose and security of the Series O Bonds; but Bond Counsel has not reviewed any of the financial statements or calculations, such as debt service requirements, budget estimates, enrollment, capital outlay, estimated revenues, expenditures or other financial information in the Official Statement, and expresses no opinion thereon and assumes no responsibility in connection therewith.

**6. TERMS OF SALE.** The Series O Bonds are offered for sale upon the following terms and conditions:

- A. A minimum price is required for the entire issue of not less than \$11,784,500 (98% of par), plus accrued interest from the date of the Series O Bonds (August 1, 1995) to the date of delivery.
- B. The successful bidder will be required to deposit with Bank One, Lexington, N.A., Lexington, Kentucky, for the account of the University of Kentucky immediately available funds in the amount of \$120,250, representing the good faith deposit, by the close of business on August 16, 1995. The amount of the good faith deposit, without interest, will be deducted from the purchase price at the time of delivery of the Series O Bonds.
- C. The determination of the best bid will be made on the basis of all bids submitted for exactly \$12,025,000 of Series O Bonds as offered for sale under the terms and conditions herein specified. Upon determination of the lowest net interest cost according to the schedule of principal amounts listed in the Official Bid Form, the Board shall immediately proceed to adjust such principal amounts of the Series O Bonds to determine the maturities of its final bond issue. The successful bidder will be required to accept the final bond issue as so computed, whether the principal amount has been increased or decreased by up to \$1,200,000, and to

pay the percentage purchase price based upon the aggregate amount of the final bond issue. **SUCH ADJUSTMENT MAY HAVE A NEGATIVE OR POSITIVE EFFECT ON THE UNDERWRITING SPREAD.**

- D. Bidders must state an interest rate or rates in a multiple of 1/8, 1/10 or 1/20 of 1%.
- E. There is no limit on the number of different rates which may be specified by any bidder.
- F. Interest rates must be on an ascending scale, in that the interest rate for Series O Bonds of any maturity may not be less than the interest rate stipulated for any preceding maturity.
- G. All Series O Bonds of the same maturity shall bear the same and a single interest rate from the date thereof to maturity, even though some such Bonds may be subject to mandatory redemption prior to their maturity date.
- H. Bidders may require that a portion of the Bonds be term bonds maturing on one or more dates (the "Term Bonds"); provided, however, that the University may require such Term Bonds to be subject to mandatory redemption by lot at a redemption price of 100% of the principal amount thereof plus accrued interest to the date of redemption on May 1 of the years and in the principal amounts set forth in the final adjusted maturity schedule as seen on page 2 of the successful bid.
- I. The right to reject bids for any reason deemed advisable by the Board of Trustees, and the right to waive any possible informalities, irregularities or defect in any bid which, in the judgment of the Board of Trustees, shall be minor or immaterial, is expressly reserved.
- J. Bids must be made on forms which, together with an Official Statement, may be obtained at the office of the Financial Advisor, J.J.B. Hilliard, W.L. Lyons, Inc., P.O. Box 32760, Louisville, Kentucky 40232-2760. Bids must be enclosed in sealed envelopes marked "Bid for University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series O" and bids must be received in the office of the Controller and Treasurer of the University of Kentucky prior to the date and hour stated above.
- K. It shall be the responsibility of the purchasers of the Series O Bonds to furnish or cause to be furnished to the Trustee at least five (5) days prior to the date of delivery of the Series O Bonds, a list of the names, addresses and social security numbers or employer identification numbers of each



of the parties to whom the Series O Bonds are to be registered, and the principal amounts and maturities thereof. In the event of the failure to so deliver such list, the Series O Bonds delivered to the purchasers shall be registered in the name or names of such purchasers or their designated representatives appearing as the first name on the successful bid form, or otherwise appropriately designated, and shall be issued in denominations corresponding to the principal amount of each respective maturity, or in the denomination of \$5,000, as shall be determined by the Trustee.

- L. Delivery will be made in Louisville, Kentucky, on or about September 6, 1995. The purchasers may elect to require delivery through a depository trust corporation, provided the purchasers agree to pay any additional expense in connection therewith, such expense to include shipping expense, insurance in transit and the fee of the depository trust corporation. In connection with the issuance of the Series O Bonds, the Board of Trustees will pay for the printing of the Series O Bonds, which will contain the opinion of Bond Counsel.
- M. Upon wrongful refusal of the successful bidder to take delivery of and pay for the Series O Bonds when tendered for delivery, the amount of the good faith deposit shall be forfeited by such bidder, and such amount shall be deemed liquidated damages for such default; provided, however, if said Series O Bonds are not ready for delivery and payment within forty-five (45) days from the date of sale, said bidder shall be relieved of any liability to accept the Series O Bonds hereunder.
- N. The purchasers of the Series O Bonds will pay the CUSIP Service Bureau charge for the assignment of CUSIP numbers, which numbers will be printed on the Series O Bonds at no expense or cost to the purchasers. Neither the failure to print a CUSIP number on any Series O Bond, nor any error with respect thereto, shall constitute cause for a failure or refusal by the purchasers thereof to accept delivery of and payment for the Series O Bonds in accordance with the terms of the purchase agreement.
- O. The successful bidder shall promptly advise the Financial Advisor to the Board of Trustees of (i) the reoffering price for each maturity of the Series O Bonds, and (ii) the principal amount sold to the public of each principal maturity of the Series O Bonds on the reoffering date.
- P. If the successful bidder desires to obtain insurance guaranteeing the payment of the principal and/or

interest on the Series O Bonds, the University agrees that it will cooperate with such successful bidder in obtaining such insurance, but all of the expenses and charges in connection therewith shall be borne by such bidder (with the exception of the costs of ratings by Standard & Poor's Corporation and Moody's Investors Service), and the University shall not be liable to any extent therefor.

- Q. The Board of Trustees, in accordance with the requirements of Rule 15c2-12 (the "Rule") promulgated by the Securities and Exchange Commission, is an "Obligated Person" within the meaning of the Rule, and has agreed in the Series O Resolution to provide or cause to be provided, (i) on or prior to November 1 of each year, certain annual financial information and operating data, including audited financial statements for the preceding fiscal year, generally consistent with the information contained or cross-referenced in the official Statement, and (ii) timely notice of the occurrence of certain material events with respect to the Series O Bonds. The purchaser's obligation to purchase the Series O Bonds shall be conditioned upon its receiving, at or prior to the delivery of the Series O Bonds, in form and substance reasonably satisfactory to the purchaser, evidence that the Obligated Person has made the continuing disclosure undertaking set forth above in a written agreement or contract for the benefit of the owners of the Series O Bonds.

The Board of Trustees shall provide to the successful purchaser a final Official Statement in accordance with the Rule. Arrangements have been made with the printer of the preliminary official statement, upon submission of completion text, to print a reasonable quantity of final Official Statements in sufficient time to meet the delivery requirements of the successful bidder under SEC or Municipal Securities Rulemaking Board Delivery Requirements, which Official Statements will be provided at the expense of the Board.

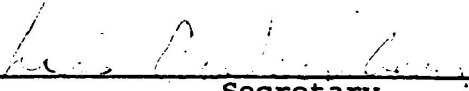
J.J.B. Hilliard, W.L. Lyons, Inc., Louisville, Kentucky, has been employed as Financial Advisor to the Board of Trustees and the University in connection with the issuance of the Series O Bonds. The Financial Advisor's fee for services rendered with respect to the sale of the Series O Bonds is contingent upon the issuance and delivery of the Series O Bonds. The Financial Advisor may submit bids for the purchase of the Series O Bonds at the time of the advertised public sale of the Series O Bonds, either independently or as a member of a syndicate organized to submit a bid for the purchase of the Series O Bonds.

(Signed) Board of Trustees of University of Kentucky by  
Lois C. Weinberg, Secretary.



The foregoing was approved by the Board of Trustees of University of Kentucky, Lexington, Kentucky, at a meeting held on June 13, 1995.

(Seal)

  
Secretary

# BID FORM

Subject to the terms and conditions set forth in the Official Terms and Conditions of Sale of Bonds, providing for the sale of \$12,025,000 (plus or minus up to \$1,200,000) of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series O, dated August 1, 1995, and in accordance with the notice of sale of the Bonds as advertised, to all of which the undersigned agrees, the undersigned hereby submits the following offer to purchase said Bonds.

We hereby bid for said \$12,025,000 (plus or minus up to \$1,200,000) principal amount of Bonds, the sum of \$\_\_\_\_\_ (not less than \$11,784,500), plus accrued interest from August 1, 1995, to the date of delivery of the Bonds, such Bonds to bear interest payable semiannually on May 1 and November 1, commencing November 1, 1995, at the following annual rate(s):

| <u>Maturity</u><br><u>May 1</u> | <u>Principal</u><br><u>Amount</u> | <u>Serial</u><br><u>Bond</u><br><u>Rate</u> | <u>Term*</u><br><u>Bond</u><br><u>Rate</u> | <u>Maturity</u><br><u>May 1</u> | <u>Principal</u><br><u>Amount</u> | <u>Serial</u><br><u>Bond</u><br><u>Rate</u> | <u>Term*</u><br><u>Bond</u><br><u>Rate</u> |
|---------------------------------|-----------------------------------|---------------------------------------------|--------------------------------------------|---------------------------------|-----------------------------------|---------------------------------------------|--------------------------------------------|
| 1996                            | \$360,000                         | ____%                                       | ____%                                      | 2006                            | \$580,000                         | ____%                                       | ____%                                      |
| 1997                            | 375,000                           | ____%                                       | ____%                                      | 2007                            | 615,000                           | ____%                                       | ____%                                      |
| 1998                            | 395,000                           | ____%                                       | ____%                                      | 2008                            | 650,000                           | ____%                                       | ____%                                      |
| 1999                            | 410,000                           | ____%                                       | ____%                                      | 2009                            | 685,000                           | ____%                                       | ____%                                      |
| 2000                            | 430,000                           | ____%                                       | ____%                                      | 2010                            | 725,000                           | ____%                                       | ____%                                      |
| 2001                            | 455,000                           | ____%                                       | ____%                                      | 2011                            | 765,000                           | ____%                                       | ____%                                      |
| 2002                            | 475,000                           | ____%                                       | ____%                                      | 2012                            | 810,000                           | ____%                                       | ____%                                      |
| 2003                            | 500,000                           | ____%                                       | ____%                                      | 2013                            | 855,000                           | ____%                                       | ____%                                      |
| 2004                            | 525,000                           | ____%                                       | ____%                                      | 2014                            | 905,000                           | ____%                                       | ____%                                      |
| 2005                            | 550,000                           | ____%                                       | ____%                                      | 2015                            | 960,000                           | ____%                                       | ____%                                      |

\* Bidders may elect to structure the maturities to include term bonds with mandatory sinking fund redemptions. To bid term Bonds, put interest rate in Term Bond Rate column.

We understand that this bid may be accepted for as much as \$13,225,000 of Bonds and for as little as \$10,825,000 of Bonds, at the same price per \$1,000 of Bonds as the price bid per \$1,000 of Bonds by the undersigned with the variation in such amount being adjusted as determined by the Board of Trustees over the term of the Series O Bonds, and that the principal maturities are subject to change and **SUCH ADJUSTMENT MAY HAVE A NEGATIVE OR POSITIVE EFFECT ON THE UNDERWRITING SPREAD.**

We understand that the Board of Trustees will furnish the final, approving legal opinion of Rubin Hays & Foley, Municipal Bond Attorneys, Louisville, Kentucky. If we are the successful bidder, we will cause to be deposited in Bank One, Lexington, N.A., Lexington, Kentucky, for the account of University of Kentucky, immediately available funds in the amount of \$120,250 representing the good faith deposit on the Bonds, prior to the close of business on August 16, 1995, which amount, without interest, will be deducted from the purchase price of the Bonds when tendered to us for delivery. If we are the successful bidder, we agree to accept and make payment for the Bonds in accordance with the terms of sale.

Respectfully submitted,

\_\_\_\_\_  
\_\_\_\_\_

Total interest cost from August 1, 1995,  
to final maturity  
Less premium bid or plus discount, if any  
Net interest cost  
True Interest Cost

\$ \_\_\_\_\_  
\$ \_\_\_\_\_  
\_\_\_\_\_%  
\_\_\_\_\_%

The above computations of net interest cost and of true interest cost are submitted for information only and are not part of this Bid.

ACCEPTANCE OF BID WITH  
ADJUSTMENT OF AMOUNT AND TOTAL BID PRICE

Accepted by the Board of Trustees of the University of Kentucky on this August 15, 1995, as to \$\_\_\_\_\_ of Bonds at an adjusted price of \$\_\_\_\_\_, plus accrued interest, with the change in the principal amount of Bonds being reflected by the following changes in the maturities.

| Maturity<br>May 1 | Principal<br>Amount | Serial<br>Bond<br>Rate | Term<br>Bond<br>Rate | Maturity<br>May 1 | Principal<br>Amount | Serial<br>Bond<br>Rate | Term<br>Bond<br>Rate |
|-------------------|---------------------|------------------------|----------------------|-------------------|---------------------|------------------------|----------------------|
| 1996              | \$ _____            | _____%                 | _____%               | 2006              | \$ _____            | _____%                 | _____%               |
| 1997              | _____               | _____%                 | _____%               | 2007              | _____               | _____%                 | _____%               |
| 1998              | _____               | _____%                 | _____%               | 2008              | _____               | _____%                 | _____%               |
| 1999              | _____               | _____%                 | _____%               | 2009              | _____               | _____%                 | _____%               |
| 2000              | _____               | _____%                 | _____%               | 2010              | _____               | _____%                 | _____%               |
| 2001              | _____               | _____%                 | _____%               | 2011              | _____               | _____%                 | _____%               |
| 2002              | _____               | _____%                 | _____%               | 2012              | _____               | _____%                 | _____%               |
| 2003              | _____               | _____%                 | _____%               | 2013              | _____               | _____%                 | _____%               |
| 2004              | _____               | _____%                 | _____%               | 2014              | _____               | _____%                 | _____%               |
| 2005              | _____               | _____%                 | _____%               | 2015              | _____               | _____%                 | _____%               |

UNIVERSITY OF KENTUCKY

By \_\_\_\_\_  
Chairman