

Minutes of the Meeting of the Board of Trustees
University of Kentucky
Tuesday, September 10, 2013

The Board of Trustees of the University of Kentucky met at 1:00 p.m. on Tuesday, September 10, 2013 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Dr. E. Britt Brockman, chair of the Board of Trustees, called the meeting to order at 1:02 p.m. Chair Brockman asked Trustee Sheila Brothers, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: C.B. Akins, Sr., James H. Booth, William C. Britton, E. Britt Brockman, Sheila Brothers, Mark P. Bryant, Jo Hern Curris, Angela L. Edwards, Oliver Keith Gannon, Carol Martin (Bill) Gatton, Kelly Sullivan Holland, David V. Hawpe, Terry Mobley, Roshan Palli, C. Frank Shoop, James W. Stuckert, and Barbara Young. William S. Farish, Jr., Irina Voro, and John Wilson were absent from the meeting. Secretary Brothers announced that a quorum was present.

The University administration was represented by President Eli Capilouto, Provost Christine Riordan, Executive Vice President for Health Affairs Michael Karpf, Executive Vice President for Finance and Administration Eric Monday, and General Counsel William Thro.

The University faculty was represented by Chair of the University Senate Council Lee Blonder, and the University staff was represented by Chair of the Staff Senate Jeff Spradling.

Guests and members of the news media were also in attendance.

C. Consent Items

Chair Brockman called attention to the four consent items on the agenda. The items were the minutes for the Board of Trustees meeting on June 11, 2013; PR 2, Personnel Actions; FCR 1, Bequests from the Estate of Dr. E. Vernon Smith; and FCR 2, Barnstable Brown Foundation Gift.

Trustee Stuckert moved approval of the consent items. Trustee Britton seconded the motion. Chair Brockman called for the vote and it passed without dissent. (See consent items listed below on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Minutes, June 11, 2013

PR 2 Personnel Actions

FCR 1 Bequests from the Estate of Dr. E. Vernon Smith

FCR 2 Barnstable Brown Foundation Gift

D. Chairman's Report

Chair Brockman reported that there had been no petitions to address the Board since the last Board of Trustees meeting.

Chair Brockman introduced the four new members to the Board of Trustees: Student Government President Roshan Palli, Governor appointed trustees James Booth, Angela Edwards, and David Hawpe. In addition, he acknowledged reelected staff representative Trustee Sheila Brothers and reelected faculty representative Trustee John Wilson.

E. Proposed Revision to Governing Regulation: Executive Committee (GR II)
(ECR 1)

Chair Brockman stated that ECR 1 was the recommendation that Board of Trustees receive and vote to accept for the first reading the proposed revision to Governing Regulations II relating to the composition of the Executive Committee. The proposed revision adds the Vice Chair of the Board of Trustees as a member of the Executive Committee. As this change would take effect only after a second reading at the October 26, 2013 Board meeting, this change will not affect the term of any member of the Executive Committee elected at the September 10, 2013, meeting.

Chair Brockman opened the floor for discussion. Hearing none, Chair Brockman called the question and it passed without dissent. (See ECR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

At Chair Brockman's suggestion, there was a moment of silence as a show of respect to the victims and families of the tragedies that occurred on September 11, 2001.

F. Presidential Evaluation and Compensation (ECR 2)

Chair Brockman began by reporting that on August 13, 2013, the Executive Committee received the final report of Dr. Capilouto's performance in his second year as President of the University of Kentucky. Broadly speaking, those participating in the evaluation process "rated the President's performance positively" and demonstrated an understanding of, and support for, the President's priorities. He also pointed out that as with any evaluation of an executive of an institution as complex as the University of Kentucky, there are areas for improvement and future consideration.

Chair Brockman reviewed the evaluation timeline, highlights of each phase and the specific processes, and consultant David Hardesty's summary points. The summary points included that President Capilouto is well established as President of the University. He is a skillful, focused and trusted leader, capable of accomplishing several initiatives in a short period of time.

President Capilouto has improved communications with campus constituents, state and community stakeholders and successfully filled critical positions in the senior leadership team.

His priorities are aligned with those of the Board of Trustees and many respondents recognized and were appreciative of Dr. Mary Lynne Capilouto's contributions to the University.

Based on some of the respondent's feedback, Dr. Hardesty also described some areas for future consideration and improvement. These included:

- The development of vision and priorities in the new strategic planning effort;
- A concerted effort to examine initiatives and implications prior to implementation;
- A continued effort to effectively communicate with all constituencies across campus; and
- Concerns about the impact on the University of the changing higher education environment.

Chair Brockman continued that following Dr. Hardesty's report, members of the Board submitted additional feedback. The Board was asked to respond to four questions:

- What were the President's major accomplishments in 2012;
- What are the President's major strengths;
- What areas should the President improve upon; and
- What is your overall assessment of the progress the President made in achieving the University's goals in 2012-13?

The feedback was reviewed by the Executive Committee on September 10, 2013 and all responses were positive with a consistent theme of praise for:

- Infrastructure and campus revitalization;
- Administrative hires;
- Budget planning and accountability with the new financial model; and
- Leveraging relationships in Frankfort with the legislature and Governor.

Major Strengths of President Capilouto identified were:

- Intelligence, vision, focus, energy and the ability to implement;
- Integrity and ethics;
- Surrounds himself with a strong team;
- Passion for the student experience;
- Understanding the entire University; and
- Working with major stakeholders to establish relationships.

Under areas of improvement, Chair Brockman continued that one third of the respondents stated that it would be hard to find one. The remaining responses dealt with three themes, although none were mentioned by the majority of the respondents. The themes included:

- Communicating more fully the concepts and plans either with the Board or with staff and faculty (depending on the respondent);

- Emphasizing diversity and inclusivity either through top-down hires or campus training; and
- Public relations – telling the great story we have at UK throughout Kentucky.

Chair Brockman concluded that the overall assessment by the Board of Trustees was that all respondents gave the President their highest praise for the job he has performed. With the need to keep our eye on graduate education in 2013-14, says one respondent, “he is achieving most of our broad goals in record time and working tirelessly to make things happen.”

Chair Brockman shared that he felt President Capilouto had settled into his position well and, taking into consideration the recommendations outlined in this performance evaluation, will continue to work diligently to improve the University of Kentucky.

Based upon this report, on behalf of the Executive Committee, Chair Brockman stated that ECR 2 is a recommendation that the Board of Trustees 1) extend President Eli Capilouto’s Employment Agreement to June 30, 2018; 2) increase President Capilouto’s base salary by five percent (5%) (or \$25,000) to \$525,000 effective July 1, 2013; 3) award a one-time bonus of ten percent (10%) of President Capilouto’s original base salary (or \$50,000); and 4) establish a longevity incentive equal to his 2017-2018 base salary if he serves until June 30, 2018. The exact structure of the longevity incentive is to be determined.

Chair Brockman continued that in appreciation for the President's visionary and effective leadership during 2012-13, in addition to the five percent salary increase and the one-time bonus of ten percent, consistent with the President’s Employment Agreement, the Board would sincerely like to discuss an additional performance incentive payment based upon extraordinary performance. However, based on discussions with the President, the board has decided to postpone discussions because of the uncertain budgetary environment.

Chair Brockman opened the floor for discussion. Trustees Stuckert, Shoop, Britton, Curris, Akins, Brothers, Gatton, Bryant, Young, Gannon, Holland, Palli, and Hawpe offered their individual appreciation to President Capilouto.

Chair Brockman called for the vote and it passed without dissent. (See ECR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

There was a round of applause.

President Capilouto thanked the Board for their kind words and support, and reiterated that anything achieved is due to the people he is fortunate to have working with him. He was humbled by the offer of an extension of his contract and replied it would be a family decision. Equally appreciative of the bonus offered, he wanted to “express my confidence in this University and my gratitude in returning my bonus to this University, that I so deeply believe in.”

He closed by acknowledging that he will work hard every day to continue to earn the respect of the University community.

G. Nominating Committee Report

Trustee Shoop reported that the Nominating Committee met on August 28, 2013.

H. Election of Officers

Trustee Shoop submitted the following nominations for the following offices:

For Chair - Dr. E. Britt Brockman

For Vice Chair - Dr. O. Keith Gannon

For Secretary - Ms. Sheila Brothers

For Assistant Secretary - William Thro, General Counsel

Chair Brockman stated that the Board would proceed with the election of the officers. He continued that he was honored to be the nominee for chair, but felt it would be a conflict of interest to preside over that election. He asked Trustee James Stuckert to come forward to accept the gavel and conduct the election of chair.

Chair Pro Tem Stuckert stated that the Nominating Committee nominated E. Britt Brockman for chair. He asked for any nominations from the floor. Trustee Mobley moved the nominations cease. It was seconded by Trustee Brothers and hearing no discussion, it passed without dissent.

Chair Pro Tem Stuckert called for the vote for E. Britt Brockman as chair and it passed without dissent. Trustee Stuckert passed the gavel back to Chair Brockman who continued with the election.

For vice chair, Trustee O. Keith Gannon was nominated by the Nominating Committee. Chair Brockman asked for nominations from the floor. Trustee Bryant moved the nominations cease. It was seconded by Trustee Stuckert and hearing no discussion, it passed without dissent.

Chair Brockman called for the vote for Trustee O. Keith Gannon as vice chair and it passed without dissent. Chair Brockman congratulated Trustee Gannon.

For secretary, Trustee Sheila Brothers was nominated by the Nominating Committee. Chair Brockman asked for nominations from the floor. Trustee Gatton moved the nominations cease. It was seconded by Trustee Curris and hearing no discussion, it passed without dissent.

Chair Brockman called for the vote for Trustee Sheila Brothers as secretary and it passed with 16 affirmative votes and one abstention by Trustee Brothers. Chair Brockman congratulated Trustee Brothers.

The Nominating Committee nominated William Thro, General Counsel for the office Assistant Secretary. Chair Brockman asked for nominations from the floor. Trustee Curris

moved the nominations cease. It was seconded by Trustee Stuckert and hearing no discussion, it passed without dissent.

Chair Brockman called for the vote for William Thro as assistant secretary and it passed with 16 affirmative votes and one abstention by Trustee Hawpe. Chair Brockman congratulated Mr. Thro.

I. Election of Executive Committee

Trustee Shoop stated that the Nominating Committee submits the following nominations for the Executive Committee:

Chair, Dr. Britt Brockman
Member, Dr. C.B. Akins, Sr.
Member, Mr. Terry Mobley
Member, Mr. Jim Stuckert
Member, Ms. Barbara Young

Chair Brockman pointed out that by virtue of Governing Regulation II.E.2(a), the Chair of the Board is a member and the designated chair of the Executive Committee.

Chair Brockman stated that the Nominating Committee nominated C.B. Akins, Sr., Terry Mobley, Jim Stuckert and Barbara Young as members of the Executive Committee. He asked for any nominations from the floor. Trustee Curris moved the nominations cease. It was seconded by Trustee Stuckert and hearing no discussion, it passed without dissent.

Chair Brockman called for the vote on the slate of nominated members as presented and it passed without dissent.

J. Reappointment to Board of Directors University of Kentucky Mining Engineering Foundation, Inc. (NCR 1)

Trustee Shoop stated that NCR 1 recommends that the Board approve the reappointment of Trustee Jo Hern Curris as a trustee member to the University of Kentucky Mining Engineering Foundation Inc. Board of Directors for a one-year term ending September 30, 2014. The bylaws of the Foundation require that the Board of Trustees approve appointments to the Board of Directors.

On behalf of the Nominating Committee, Trustee Shoop moved approval of NCR 1 and it passed without dissent. (See NCR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

K. President's Report (PR 1)

President Capilouto began by reporting that though enrollment in colleges and universities is declining nationally, UK welcomed its largest first-year class. The enrollment of 4702 students is marked by historic levels of diversity and academic quality. Among them are a

record number of students with ACT's of 28 to 36, including nine students with a perfect ACT score or SAT composite score, the most in a first-year class in UK's history. 25% of this incoming class has a GPA of 4.0 or higher. UK welcomed a record number of Singletary and Patterson Scholars, 59 and 94, respectively. UK also reached a record first-to-second year retention rate for the fall 2012 of 82.5%. Also of note were gains of two percentage points in the second to third year retention rate and a three percent gain in the third- to fourth- year retention.

President Capilouto reported that adding to the diverse richness of the campus community, enrollment grew in many under-represented areas including African American, Hispanic and international students. Academically, UK welcomed a total of 105 National Merit, National Achievement, and National Hispanic Scholars, up from 71 last year.

With the addition of a new transfer center that helps students transition, UK's transfer student enrollments have increased. Doctorate and Masters student enrollment has also been on the rise, thus making UK's total enrollment over 29,000 students.

President Capilouto thanked Associate Provost for Enrollment Management Don Witt and his team for their remarkable work in recruiting this year's class and thanked the efforts of all University faculty and staff for the strides made in retention and graduation rates.

He was pleased to introduce to the Board five of the nine students who achieved a perfect score of 36 on the ACT. They were Jared Wittrock, a sophomore Pre-Chemical Engineering major from Alexandria, KY; Samuel Saarinen, a junior; Pre-Computer Science, Mathematics and Physics major from Shelbyville, KY; Jenna Garofolo, a junior Chemistry major from Butler, KY; Donald Venus, a freshman, Pre-Chemical Engineering major from Richfield, Ohio; and Jon Fish, a freshman Political Science major from Finchville, KY.

The students received a round of applause.

President Capilouto remarked that as Kentucky's flagship, land-grant institution of higher learning, UK has an obligation to serve in many ways. One of the newest innovations in education and service involving UK is the new STEAM Academy; a cutting edge approach to high school emphasizing those disciplines in demand in the modern workforce: science, technology, engineering, arts and mathematics. President Capilouto introduced Dean Mary John O'Hair from the College of Education and Fayette County Superintendent Tom Shelton to share the details of the partnership that is the STEAM Academy.

Dean O'Hair and Superintendent Shelton shared with the Board the milestones of the Academy. From groundwork laid by the College of Education's P20 Innovation Lab, to cooperative ideas such as the Bluegrass Economic Advancement Movement (BEAM) and the National Center for Innovation in Education (CIE) based at the UK, STEAM is a lottery-based program where any eighth grade student in Fayette County can apply. The 150 students that make up the first freshman class match the diversity of the Fayette county school district. This includes race, gender, and socio-economic factors.

With the award of a pre-launch planning grant from the Bill and Melinda Gates Foundation, the school is eligible for up to \$300,000 in one-to one matching funds. The STEAM

Academy will use an innovative, hybrid instructional program based in student-paced, mastery- and problem-based experiential learning. Student voice and student agency are central to the school model. Students will take ownership of their learning by choosing their instruction delivery, schedule and leadership style and engage in real-world problem solving projects that interest them. The collaboration will also allow pre-service teachers from the College of Education to be involved in STEAM classrooms early in their college studies, helping to establish a strong clinical-based teacher preparation program. The goal is to ensure that students will graduate college and career ready.

A six-member advisory board of UK faculty and Fayette County Public School administrators will help guide the partnership and collaboration.

Dean O'Hair and Superintendent Shelton thanked President Capilouto and the Board for their support and for the opportunity to create a new vibrant learning experience for both high school and college students.

L. Appointment/Reappointment to Board of Directors University of Kentucky Gluck Equine Research Foundation, Inc. (PR 3)

President Capilouto stated that PR 3 is the recommendation that the Board of Trustees approve the appointment of Bill Thomason and the reappointment of Dan Rosenberg and F. E. "Butch" Wise to the Board of Directors of the University of Kentucky Equine Research Foundation for four-year terms ending December 31, 2017.

Chair Brockman asked for a motion for approval of PR 3. Trustee Akins so moved and it was seconded by Trustee Curris. With no discussion, Chair Brockman called the question and it passed without dissent. (See PR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

M. Reappointment to Board of Directors of the Fund for Advancement of Education and Research in the University of Kentucky Medical Center (PR 4)

President Capilouto stated that PR 4 is the recommendation that the Board of Trustees approve the reappointment of Anita M. Britton to the Board of Directors of the Fund for Advancement of Education and Research at the University of Kentucky Medical Center for a two-year term ending June 30, 2015.

Chair Brockman asked for a motion for approval of PR 4. Trustee Britton so moved and it was seconded by Trustee Stuckert. With no discussion, Chair Brockman called the question and it passed without dissent. (See PR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

N. Academic and Student Affairs Committee Report

Trustee Keith Gannon, chair of the Academic and Student Affairs Committee mentioned to the Board the change in the committee report acronym to "ASACR" to reflect the

consolidation of the Academic and Student Affairs Committees.

O. Candidates for Degree: December 2012 (ASACR 1)

Trustee Gannon stated that ASACR 1 related to three candidates for degrees to be awarded December 2012; due to administrative errors the names were not previously submitted. The individuals have satisfactorily completed all requirements for the degrees for which the applications were made and were approved by the elected faculty of the University Senate.

On behalf of the Academic Affairs Committee, Trustee Gannon asked for approval of ASACR 1 and it passed without dissent. (See ASACR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

P. Candidates for Degree: May 2013 (ASACR 2)

Trustee Gannon stated that ASACR 2 related to three candidates for degrees to be awarded May 2013, that due to administrative errors the names were not previously submitted. The individuals have satisfactorily completed all requirements for the degrees for which the applications were made and were approved by the elected faculty of the University Senate.

On behalf of the Academic Affairs Committee, Trustee Gannon asked for approval of ASACR 2 and it passed without dissent. (See ASACR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Q. Candidates for Degree: August 2013 (ASACR 3)

Trustee Gannon stated that ASACR 3 related to candidates for degrees to be awarded August 2013. The individuals have satisfactorily completed all requirements for the degrees for which the applications were made and were approved by the elected faculty of the University Senate.

On behalf of the Academic Affairs Committee, Trustee Gannon asked for approval of ASACR 3 and it passed without dissent. (See ASACR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

R. University Athletics Committee

Trustee C.B. Akins, Sr., chair of the University Athletics Committee, reported that the Committee heard a report from Athletics Director Mitch Barnhart on fall sports.

Items of note included that football coach Mark Stoops won his first game as head coach this past Saturday and is now 1-1 on the season. Women's soccer is 4-1-1 to start 2013. The volleyball team hosted and won the Kentucky Classic this past weekend and moved to 4-2. Men's soccer is 2-1 thus far, during Coach Cedergren's second season as head coach. Cross-country opened 2013 with a dual meet against Vanderbilt and Tennessee on August 30 in Nashville. Saturday, September 14, UK will host the Bluegrass Cross Country Invitational,

which will be UK's first home meet since the 1990s. The men's basketball program held their Second Annual Alumni Game last night at Rupp Arena. Dollar amounts are expected to total approximately \$1 million dollars for charity raised through the event. The funds will be distributed to 15 different organizations, most of which operate in Kentucky.

Trustee Akins praised the production of a booklet by Senior Associate Director of Athletics Jason Schlafer and his team: a compilation of student-athletes essays about their trip to Ethiopia. All of the student-athletes who have participated have remarked on the lasting effects of this experience on their lives. The Committee also commended Mr. Schlafer and the Department of Intercollegiate Athletics for organizing and sponsoring these trips that enrich the lives of UK's student-athletes.

The Committee received a very extensive educational report from Ms. Rachel Baker, Senior Associate Director for Compliance. She provided an overview of general NCAA rules to the committee, including guidance regarding their interaction with prospective student athletes and their families and general information regarding autograph and ticket requests. Specifically Ms. Baker stated that members of the Board of Trustees cannot be involved in the recruiting process. Recruiting contacts on or off campus are impermissible under NCAA rules. Additionally, Board members cannot provide benefits to a prospect, their parent, or other family members. Ms. Baker encouraged Board members to contact the Athletics Compliance Office with any specific scenarios or questions they might have.

S. Finance Committee Report

Trustee James Stuckert, chair of the Finance Committee, reviewed the consent items, FCR 1, Bequests from the Estate of Dr. E. Vernon Smith and FCR 2, Barnstable Brown Foundation Gift, and thanked these individuals for their generosity to the University.

T. University of Kentucky Chandler Medical Center Endowed Chair Name Change and Permanent Location (FCR 3)

Trustee Stuckert stated that FCR 3 is the recommendation to change the name of the University of Kentucky Chandler Medical Center Endowed Chair to the Kentucky Medical Services Foundation Endowed Chair in Pharmacy in the College of Pharmacy. The donor, Kentucky Medical Services Foundation (KMSF) has requested that the endowed chair now reside in the College of Pharmacy in perpetuity.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 3 and it passed without dissent. (See FCR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

U. Dissolution of the Wellington B. Stewart Scholarship Quasi-Endowment Fund (FCR 4)

Trustee Stuckert stated that FCR 4 requested the dissolution of the Wellington B. Stewart Quasi-Endowment Fund and such funds be spent as defined by the original scholarship

guidelines. The quasi-endowment has a market value of \$92,067 (June 30, 2013) , which generated spending distributions of \$3,262 in fiscal year 2013. The generated spending amount no longer has meaningful impact for medical students.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 4 and it passed without dissent. (See FCR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

V. Patent Assignment Report (FCR 5)

Trustee Stuckert stated that FCR 5 involves the acceptance of the patent assignment report for the period April 1 through June 30, 2013. Dr. Jim Tracy, Vice President for Research, provided a brief report to the Committee and was pleased to report an annual increase in patent applications, patents issued, and in revenue generated.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 5 and it passed without dissent. (See FCR 5 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

W. Disposal of Personal Property (FCR 6)

Trustee Stuckert stated that FCR 5 involves the annual authorization of the disposition of University property having a value of less than \$25,000 per item.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 6 and it passed without dissent. (See FCR 6 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

X. Capital Construction Report (FCR 7)

Trustee Stuckert stated that FCR 7 was the capital construction report for the three months ending June 30, 2013. Vice President for Facilities Bob Wiseman provided a detailed report to the Committee earlier in the day.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 7 and it passed without dissent. (See FCR 7 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Y. Repair/Upgrade/Improve Building Shell Systems (W.P. Garrigus Building)
(FCR 8)

Trustee Stuckert stated that FCR 8 recommends the approval of the initiation of the repair/upgrade/improve building shell systems for the W.P. Garrigus building. The project will replace the roof structure and provide for the harvesting of rainwater that will improve sustainability.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 8 and it passed without dissent. (See FCR 8 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Z. Acquire/Renovate Academic Facilities – Early Childhood Laboratory (FCR 9)

Trustee Stuckert stated that FCR 9 involves the acquisition/renovation of academic facilities for the Early Childhood Laboratory. The project will renovate approximately 10,566 square feet of shelled space in the recently acquired Lexington Theological Seminary facility.

The Laboratory, which is currently located in the basement of the Erickson Building, educates children from six weeks of age through preschool and provides College of Education students with an excellent opportunity to gain invaluable field experience in early childhood teaching. The accommodation capability will go from 52 students to 104 students. The project scope is not to exceed \$3 million dollars and will be funded by a \$1 million internal loan, private gifts, and general funds.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 9 and it passed without dissent. (See FCR 9 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

AA. Emergency Replacement of Woodford County Feed Mill (FCR 10)

Trustee Stuckert stated that FCR 10 involves the emergency replacement of the Woodford County Feed Mill, located at the C.O. Little Research Center in Woodford County. On May 26, 2013 a fire destroyed the feed manufacturing facility. The facility was used to create precise diets suitable for research for all poultry at the Coldstream Research Center and all swine and sheep at the C. O. Little Research Center. The cause of the fire is undetermined and the facility is insured at full replacement value.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 10 and it passed without dissent. (See FCR 10 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

BB. Renovate Schmidt Vocal Arts Center (FCR 11)

Trustee Stuckert stated that FCR 11 involves the renovation of the Schmidt Vocal Arts Center. The Board of Trustees recommended/approved the initiation of this capital project at the September 2008 meeting. The estimated scope is \$1.7 million dollars and will be funded with private gifts and general fund balances. It will renovate the rehearsal rooms, teaching studios, practice rooms, music library, and office space for staff and graduate assistants.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 11 and it passed without dissent. (See FCR 11 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

CC. Renovate Academic Facility – University Lofts (FCR 12)

Trustee Stuckert stated that FCR 12 is the recommendation that the Board of Trustees approve the initiation of the renovation of the University Lofts. The Lofts will house the School of Art and Visual Studies in the College of Fine Arts.

At the June 2011 meeting, the Board approved the purchase of this facility. Renovation and system upgrades were initially estimated to cost \$8,000,000. A more detailed assessment and design have revealed that early assumptions about reliance on existing systems are not feasible. The necessary system upgrades to HVAC, communications, plumbing, electrical, fire safety, and security have increased the project scope to \$15,000,000.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 12 and it passed without dissent. (See FCR 12 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

DD. Repair/Upgrade/Improve Building Mechanical Systems – Fine Arts Building (FCR 13)

Trustee Stuckert stated that FCR 13 is the recommendation that the Board of Trustees approve the initiation of the repair/upgrade/improve building mechanical systems in the Fine Arts Building. This project will replace and upgrade major mechanical systems, which include air handling units and associated temperature controls, exhaust fans, and reheat coils.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 13 and it passed without dissent. (See FCR 13 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

EE. Patient Care Facility Project: Phase I-E Fit-Up Ground Floor Clinical Decision Unit (FCR 14)

Trustee Stuckert stated that FCR 14 is the recommendation that the Board of Trustees approve a \$500,000 architectural planning and design phase for a project that will provide up to 24 beds in the Clinical Decision Unit (CDU) in the Patient Care Facility. These beds will relieve the patient load and increase throughput in the Emergency Department. This project was discussed extensively at the University Health Care Committee on Monday, September 9, 2013.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 14 and it passed without dissent. (See FCR 14 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

FF. University of Kentucky Ground Lease to Shriners Hospitals for Children (FCR 15)

Trustee Stuckert stated that FCR 15 is the recommendation that the Board of Trustees authorize the President or his designee to enter into a long-term ground lease with Shriners

Hospital for Children (SHC) for the purpose of the construction of a new Shriners Hospital facility.

This ground lease was discussed at the University Health Care Committee on Monday, September 9, 2013. The lease will be a ground lease for up to 99 years by which the University will lease land to SHC, who will develop a new facility in Lexington to provide outpatient surgical services, outpatient clinics, physical therapy and other related services. All inpatient care for the children SHC (Lexington) serves will be provided by Kentucky Children's Hospital.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 15 and it passed without dissent. (See FCR 15 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

GG. Proposed Revision to Administrative Regulations: University of Kentucky Retirement Plan (HRCR 1)

Trustee Terry Mobley, chair of the Human Resources Committee, stated that HRCR 1 is the recommendation that the Board of Trustees approve changes to the Retirement Plan Vesting Schedule contained in the University of Kentucky's Retirement Plan as defined in Administrative Regulation (AR) 3:1 from five to three years.

This change would be retroactive to January 1, 2013, and helps the University attract and retain top talent.

On behalf of the Human Resources Committee, Trustee Mobley moved adoption of HRCR 1. Chair Brockman opened the floor for discussion. Trustee e Sheila Brothers offered that the change from five years to three years is in the University's best interest, and in the best interest of staff, and would support this resolution.

Chair Brockman asked for the vote and it passed without dissent. (See HRCR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

HH. Investment Committee Report

Trustee Carol Martin "Bill" Gatton, chair of the Investment Committee, reported that the committee met on Monday, September 9, 2013 to review performance results and conduct other business.

The endowment had a net market value of \$957.8 million as of June 30, 2013. For the year ended June 30, 2013, the endowment pool returned 11.6% on the academic year due to strong equity returns during this period. The endowment pool outperformed the policy benchmark during that same academic year by 150 basis points due to the strong relative performance by the international equity, fixed income, and absolute return managers. Unfortunately the fiscal year performance of the endowment pool was dampened by a two percent loss during the month of June due to market uncertainty. However, the endowment pool rebounded strongly in July, going up by 2.6% to where it was 0.6 % higher than it was before,

so the endowment pool outperformed its policy benchmark by 20 basis points for the month.

II. University Health Care Committee Report

Trustee Barbara Young, chair of the University Health Care Committee, stated that the Health Care Committee had three action items. FCR 14 concerned the Phase I-E Fit-Up of the Ground Floor Clinical Decision Unit, and FCR 15 concerned UK's Ground Lease to Shriners Hospitals for Children. Both FCR 14 and 15 were approved by the Health Care Committee and were passed on to the Finance Committee, where the Board voted to accept them. The third was UHCCR1.

JJ. Appointment to Board of Directors of the University of Kentucky Center on Aging Foundation, Inc. (UHCCR1)

Trustee Young stated that UHCCR 1 is the recommendation that the Board of Trustees appoint Mr. Dennis Pike as a member of the Board of Directors of the University of Kentucky Center on Aging Foundation, Inc. for a three year term ending August 30, 2016. Mr. Pike's nomination is supported by Foundation board members and the Executive Vice President of Health Affairs, the President and the University Health Care Committee.

On behalf of the University Health Care Committee, Trustee Young moved approval of UHCCR 1 and it passed without dissent. (See UHCCR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Trustee Young continued the committee report stating that Dr. Susan Smyth presented an update on the Cardiology program and the Gill Institute. She reported that the mission of the Gill Heart Institute is trifold. It designed to serve as the premier destination for advanced cardiovascular care in the region. It is dedicated to having an impact on the national cardiovascular research agenda and it is committed to improving cardiovascular health in Kentucky and beyond. The Gill Heart Institute offers advanced patient-centered cardiovascular care built on a foundation of research and innovation.

Dr. Bernard Boulanger presented a Quality and Enterprise Goal Performance Update. After a strong performance in 2013, 2014 presents some challenges. These challenges can be met if UK HealthCare continues to emphasize quality, patient safety and satisfaction. UK must also understand the marketplace in a way that will enhance its appropriate market share and position. Finally, by emphasizing efficiency and cost structure, it can maintain its strong financial position. UK is strategically pursuing its vision to be a leader in quality of care and is working hard to meet or exceed the enterprise goals as approved at the June 2013 University Health Care Committee retreat in the areas of mortality, effectiveness, patient safety, efficiency, patient centeredness and work environment. Attention to these goals is ongoing and will be addressed and reported at all UK Health Care Committee meetings. The UK mortality index, 30 day survival, patient safety composite and efficiency of care are now also available online.

Trustee Young reported that Dr. Colleen Swartz, Chief Nursing Executive, presented an update on the capacity issues facing UK's Chandler Hospital. In short, because of a lack of bed

capacity the Chandler Hospital is not able to accept a good number of transfer patients who have requested admittance to UK. In addition, the Emergency Department and Post-Anesthesia Care Unit have far too many patients boarding in halls while waiting for a bed. Dr. Swartz also reported on the significant improvement UK has shown in the area of patient centeredness, which includes patient likeliness to recommend, communication with doctors and nurses, pain management, discharge procedure, overall doctor rating etc. Recently, University HealthSystem Consortium Care (UHC) has named UK Chandler Hospital as the facility with greatest improvement following the 2011-2012 UHC Patient Experience Improvement Collaborative.

Trustee Young reported that Vice President for Health Affairs and Chief Financial Officer Murray Clark presented the preliminary June year-end financial report. In summary, patient care activity was very robust in FY 2013. Inpatient cases exceeded the budget significantly and most all other key indicators exceeded the budget and/or the prior year levels of activity. The growth of inpatient cases, however, is placing significant pressure on the capacity of the facility. A series of short-term, mid-term and long-term efforts are underway to add capacity. The income statement for FY 2013 was positive in most respects. Revenue exceeded expectations driven by both volume and greater net revenue per case. Expenses were below budget even though volume was up. Non-operating revenues exceeded expectations as a result of strong investment income with a year to date gain of \$24.6 million and year-end balance of \$232.7 million. Year-end income from operations was \$64.3 million with an operating margin for the year of 6.8%. This strong year end resulted in spite of a \$9 million adjustment from Medicare that recalculated reimbursement since 2008 on disproportionate supplemental social security, Medicare and Medicaid.

The balance sheet comparison to June 30, 2012 shows a significant change has occurred in strengthening the balance sheet in FY 2013. June ended with a total of \$48 million in cash. Operating cash was \$34 million and restricted cash held for capital and facilities projects in progress totaled \$14 million. The cash advance owed the University has been reduced from \$62 million to zero. In order to improve the cash position, diligence has been applied to collect cash from all sources. The effect has been significant as demonstrated by the growth in cash equivalents.

An increase in cash on hand is essential in preparation for the continued build-out of the Patient Care Facility (PCF) and investment in the program. Also included in the financial statement is a new schedule titled Financial Median Analysis. This schedule includes targets in area such as cash on hand, operating margin, operating EBIDA (earnings before interest, depreciation and amortization) margin, MADS (maximum Annual Debt) coverage, debt to capitalization, cash to debt and capital expenditure ratios, which must be met in order to finance completion of the PCF. While several of the targets are below where we need to be, we moved in a positive direction in FY 2013.

Mr. Clark continued that preliminary financials for July indicate a very positive month driven by Neonatal Intensive Care Unit) cases, adult outlier cases, positive Operating Room activity and outpatient visits. In summary, the income statement presents a very strong operating income and positive non-operating income, which have combined to produce an increase in net assets for July significantly above budget and the prior year. On the balance sheet cash and cash

equivalents for July were \$24.1 million in operating cash compared with \$34 million at year end and restricted cash of \$13.2 million compared with \$14 million at year end. Cash decreased as a result of the reduction in payables and current liabilities are down significantly from year end. In summary, UK HealthCare is off to a very positive start with an operating income of \$14.1 million for the month.

Trustee Young reported that Dr. Steven Strup presented for approval the current list of privileges and credentials. The committee made a motion to accept the privileges and credentials brought before them. The motion carried and was approved by the committee.

KK. Item for Discussion - Strategic Plan

Provost Christine Riordan updated the Board of Trustees on the work underway for the 2014-2020 Strategic Plan.

Six areas have been identified as key initiatives. They are:

- Create a vibrant undergraduate learning community;
- Advance a high quality graduate and professional education portfolio;
- Cultivate a robust research environment;
- Develop a strong and sustainable UK infrastructure;
- Create a positive work environment for faculty and staff; and
- Have a meaningful impact on the community.

Teams of faculty, staff, students and possibly alumni will be assembled to evaluate and define, benchmark, and prepare action plans. All six of the areas will be integrated to create a useable plan that can be resourced and executed over the next six years.

Provost Riordan continued that pre-work was initiated in August, basically laying the foundation. In September, the President will put out a call for nominations for the planning committee. Based on the six key initiatives, six committee chairs will be selected and committees assembled. From September to March 2014 the committees will do their work and will submit a draft plan to the executive team and President for review in April. It is anticipated that a final plan will be brought to the Board at its June 2014 meeting.

Provost Riordan explained that in term of organizational structure, the Steering Committee will be composed of the executive team and the six working group chairs. There will be a communications team, led by Jay Blanton, Executive Director of Public Relations and Marketing, to create a visual identity, a name for the plan, and strategies to keep the campus informed. There will be support teams helping the working groups, which includes a data analytic group and administrative support. The data analytic team has already been preparing internal analysis, to provide portfolios of benchmark information and research to each working group.

Trustee Britton expressed his hope that financial metrics and accountability, the utilization of the expertise in the Gatton College of Business and Economics, and a strong

emphasis on enhancing business relationships, in terms of internships or research, would be included in the plan.

Trustee Brothers inquired if there would be any changes to the UK's Values. Provost Riordan stated that the Values were ratified last year, have been endorsed by the entire campus, and she hoped to keep them intact.

LL. Other Business

Chair Brockman stated that requests for committee assignments would be sent to Trustees this week for their consideration.

Trustee Brothers introduced and welcomed the new Staff Senate chair, Jeff Spradling. Mr. Spradling is director of the Robinson Scholars program and will serve as chair until June 30, 2014.

MM. Meeting Adjourned

Hearing no further business, Chair Brockman declared the meeting adjourned at 3:06 p.m.

Respectfully submitted,



Sheila Brothers
Secretary, Board of Trustees

(ECR 1 and 2, NCR 1, PR 1, 2, 3, and 4, ASACR 1, 2, and 3, FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14 and 15, HRCR 1, and UHCCR 1 are official parts of the Minutes of the meeting)

ECR 1

Chairman, Board of Trustees
September 10, 2013

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATIONS:
EXECUTIVE COMMITTEE

Recommendation: that the Board of Trustees receive and vote to accept for the first reading the attached revision of Governing Regulation (GR) II, relating to the composition of the Board of Trustees Executive Committee. The proposed revision adds the Vice Chair of the Board of Trustees as a member of the Executive Committee.

Background: At its August 28 meeting, the Nominating Committee of the Board of Trustees recognized the importance of having the elected Vice Chair of the Board serve on the Executive Committee. The Chair already is a member of the Committee, as is the Secretary (non-voting ex-officio). Because this change would take effect only after a second reading at the October 26 meeting of the Board, it is the intent of the Board that this change will not affect the term of any member of the Executive Committee elected at the September 10, 2013 Board meeting.

A revised copy of the relevant section of GR II is attached. The proposed addition is underlined.

The Executive Committee of the Board and the President support this revision. In accordance with Governing Regulation XIII, the University Senate, Staff Senate, and Student Government Association will review this proposed revision before the second reading (final action) by the Board of Trustees.

Action taken:



Approved



Disapproved



Other



UNIVERSITY OF KENTUCKY®

Regulations

Governing Regulation, Part II

Responsible Office: Board of Trustees

Effective: 7/1/2013

Supersedes Version: 6/11/2013

Governing Regulation, Part II Governance of the University of Kentucky

Major Topics

[Introduction](#)

- A. [Delegation of Authority](#)
- B. [Board of Trustees - Membership](#)
 - 1. [Definition](#)
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 - 3. [Officers](#)
- C. [Meetings of the Board of Trustees](#)
- D. [Conflicts of Interest of Board Membership](#)
- E. [Standing and Special Committees](#)
 - 1. [Standing Committee General Rules](#)
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 - 3. [Special Committees](#)
- F. [Powers and Responsibilities of the Board of Trustees](#)
- G. [Petitions to Address the Board of Trustees](#)

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[Appendix I – Petition to Address the Board](#)

Introduction

The governance of the University of Kentucky is vested by law in the Board of Trustees (KRS 164.131). Within the limits set by the State Constitution and the federal and state laws,* the Board of Trustees is the final authority in all matters affecting the institution and exercises jurisdiction over the institution's financial, educational, and other policies and its relation with the state and federal governments.

* The Council on Postsecondary Education in Kentucky (KRS 164.020) has powers and duties that relate to the governance of the University of Kentucky.

This *Governing Regulation* describes the authority, membership, committees, and responsibilities of the Board of Trustees.

A. Delegation of Authority

1. These *Governing Regulations* describe the composition, powers, and duties of the Board of Trustees of the University of Kentucky, as defined by Kentucky Revised Statutes, and establish policies and procedures for the performance of its functions. In these *Governing Regulations*, the Board of Trustees delegates certain responsibilities to the President, the University Senate, the Staff Senate, the Student Government Association, the Graduate Faculty, and the faculties of educational units in order to provide for the responsible and efficient administration of the University and the accomplishment of its goals.
2. As the chief administrative officer of the University, the President is authorized by the Board of Trustees to promulgate the *Administrative Regulations* including the *Human Resources Policies and Procedures* and the *Business Procedures Manual*, to provide interpretation and implementation of these *Governing Regulations*, and of the *Minutes of the Board of Trustees*, and to delineate policies within the sphere of delegated responsibility. Chief administrative officers are authorized to establish unit policies and procedures attendant to their delegated administrative responsibilities.
3. At an institution-wide level, the University Senate, as a primary educational policy-forming agency of the University, establishes the broad academic policies of the University. Within the limits set by the Board of Trustees and the University Senate, the Graduate Faculty is delegated jurisdiction over programs leading to graduate degrees and has the responsibility to safeguard, promote and assist in the development of research in all fields. Within the limits set by the institution-wide policies of the Board of Trustees, the University Senate, and the Graduate Faculty, the respective faculties exercise the governance role of policy-making responsibility for the instructional, research and service programs of their educational units. The University Senate, the Graduate Faculty, and the faculties of educational units are authorized to issue rules concerning the policy and procedure-making responsibilities that are attendant to their delegated educational policy-making role.
4. Where appropriate, the rules and regulations appearing in the *Administrative Regulations*, *Human Resources Policy and Procedures*, *Business Procedures Manual*, and *University Senate Rules* should refer to the source or sources in these *Governing Regulations* or *Minutes of the Board of Trustees*.

B. Board of Trustees - Membership

1. Definition

The Board of Trustees is a body corporate, under the name of Board of Trustees of the University of Kentucky, with the usual corporate powers, and possesses all the immunities, rights, privileges, and franchises usually attaching to the governing bodies of educational institutions. It may receive, hold, and administer, on behalf of the University, subject to the conditions attached, all revenues accruing from endowments, appropriations, allotments, grants or bequests, and all types of property. (KRS 164.160)

2. Membership

The Board of Trustees of the University of Kentucky consists of sixteen members appointed by the Governor, two (2) University faculty members who shall have the right to vote on all matters except that of faculty compensation, one (1) University staff employee, and one (1) University student.

a. Appointed Members

The Governor shall make the appointments so as to reflect proportional representation on the Board of the two leading political parties of the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.131)

The terms of the appointed members shall be for six (6) years and until their successors are appointed and qualified. Three (3) of the appointments shall be graduates of the University and may include one (1) graduate of the institution who may reside outside the Commonwealth; three (3) shall be representative of agricultural interests; and ten (10) shall be other distinguished citizens representative of the learned professions. Each graduate member is appointed by the Governor from a list of three (3) names submitted by the alumni of the University according to a method prescribed by the Board of Trustees, upon recommendation of the UK Alumni Association, pursuant to KRS 164.131(2)(a); KRS 164.140. The prescribed methods are published in the *Minutes of the Meetings of the Board of Trustees* (May 6, 2003).

b. Elected Members

The number of faculty members, staff employees, and student members elected to the Board of Trustees shall not exceed four (4). (KRS 164.131)

- (1) The two (2) faculty members representing the University shall be members of the faculty of the rank of assistant professor or above, elected by secret ballot by faculty members of the rank of assistant professor or above.

In accordance with KRS 164.131(3), faculty members eligible to vote and eligible to serve as elected members of the Board of Trustees shall be those regular, full-time employees conferred with a faculty title and a rank at the level of assistant professor (or its equivalent, librarian III) or above, and who do not have an administrative assignment at or above the level of department chair, and as otherwise defined in *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*.

The faculty members shall serve for terms of three (3) years and until their successors are elected and qualified. They are eligible for reelection but are ineligible to continue to serve as members of the Board of Trustees if they cease to be members of the faculty. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections.

The authority to develop procedures for the election of faculty members to serve as members of the Board of Trustees, in accordance with the provisions of KRS 164.131(3), is hereby delegated to the University Senate.

- (2) The staff employee representing the University shall be a regular, full-time staff employee as defined in the *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*, excluding the President, Provost, executive vice presidents, vice presidents, academic deans, academic department chairpersons, vice provosts, and equivalent positions. The staff trustee shall represent all University staff employees.

The staff member shall serve a term of three (3) years and until a successor is elected and qualified. The staff member shall be eligible for reelection, but is ineligible to continue to serve as a member of the Board of Trustees if the staff employee ceases to be an employee of the

University. Elections to fill vacancies shall be for any unexpired term and shall be held in the same manner as provided for the original election.

The staff trustee shall be elected by secret ballot by the regular staff employees with a 0.75 or greater full time equivalency (FTE) , as defined in the *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*, including the President, Provost, executive vice presidents, vice presidents, academic deans, academic department chairpersons, vice provosts, and equivalent positions.

The authority to develop procedures for the election of a staff employee to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(4), is hereby delegated to the Staff Senate.

- (3) The student member shall be the President of the Student Government Association during the appropriate academic year and may be an out-of-state resident. If the student member does not maintain the position as President or status as a full-time student at any time during that academic year, a special election shall be held to select a full-time student. The authority to develop procedures for the election of a student of the University to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(5), is hereby delegated to the Student Government Association.

c. Non-voting Members

Pursuant to KRS 164.170 and 164.131(1)(e), the Board of Trustees may from time to time designate persons as nonvoting honorary members of the Board of Trustees, with responsibilities and privileges as it may deem appropriate, except for the power to vote.

d. Removal of Members

Pursuant to KRS 164.131(1)(d), "Board members may be removed by the Governor for cause, which shall include neglect of duty or malfeasance in office, after being afforded a hearing with counsel before the council and a finding of fact by the council." The "Council" is defined in KRS 164.001(8) as the Council on Postsecondary Education.

3. Officers

- a. The Chair, Vice Chair, Secretary, and Assistant Secretary of the Board of Trustees shall be elected annually at the first fall meeting of the Board of Trustees. (KRS 164.180)
- b. The Chair of the Board of Trustees shall be limited to no more than four (4) consecutive terms as Chair. In the absence of the Chair, the Vice Chair presides at meetings of the Board of Trustees and the Executive Committee.
- c. The Secretary keeps the minutes of all meetings, issues notices of meetings, and provides for the publication and distribution of the minutes in accordance with instructions from the Board of Trustees. The Secretary is responsible for the maintenance of an official revised copy of these Governing Regulations and for the publication of revisions. The Assistant Secretary is empowered to perform the duties of the Secretary when the Secretary is not present. The Assistant Secretary need not be a member of the Board of Trustees.

C. Meetings of the Board of Trustees

1. The Board of Trustees shall meet at least quarterly. Special meetings may be called by the Chair or by any three (3) members upon giving ten (10) days' written notice to each member of the Board of Trustees. The business to be transacted at special meetings shall be specified in the notice of the meeting. All meetings are to be held on the campus of the University unless otherwise specified by a majority vote of the Board of Trustees. A majority of the voting members of the Board of Trustees constitutes a quorum. (KRS 164.170)
2. The Board of Trustees may adjourn any regular or called meeting to any date that it may set for such adjournment. Any meeting of the Board of Trustees, if a quorum is not present, may be adjourned by the members attending to a time when a quorum shall be present.
3. The meetings of the Board of Trustees shall be open to the public. The Board of Trustees is required to arrange for a stenographic transcript of each of its meetings to be prepared and filed, to send an agenda for each of the meetings to each member of the Board of Trustees, and to make a copy of the agenda available to the press. (KRS 164.170) For regularly scheduled meetings, the agenda shall be released to the general public at least three (3) full business days prior to the Board meeting. "Business Day" means Monday through Friday, excluding Saturday and Sunday and official University holidays. The Board of Trustees and its committees shall operate in compliance with the provisions of the Open Meetings Law. (KRS 61.800-KRS 61.850)
4. All necessary expenses incurred by the Trustees in traveling to and from and while attending meetings of the Board of Trustees are designated to be paid out of the funds of the University. (KRS 164.170)
5. The order of business at meetings of the Board of Trustees normally is as follows:
 - Call to Order
 - Roll Call
 - Reading and Approval of Minutes with Necessary Modifications
 - Chair's Report
 - Report of the President
 - Consideration of President's Recommendations for Action
 - Consideration of President's Discussion Items
 - Reports of Committees
 - Items for Board Discussion
 - Other Business
 - Adjournment
6. The most recent version of *Robert's Rules of Order* shall be observed in conducting the business of the Board of Trustees except as these may be modified by regulations adopted by the Board of Trustees.

D. Conflicts of Interest of Board Membership

1. No employee of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the two (2) faculty members and the one (1) staff employee who are members of the Board of Trustees. (KRS 164.131)
2. No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified

in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (KRS 45A.340(7))

3. No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.340. (KRS 164.150)

E. Standing and Special Committees

The purpose of standing and special committees is to assist the Board of Trustees in its oversight role by gathering information, discussing, and providing advice on policy proposals prior to their coming before the entire Board of Trustees. Members of the University community and the general public may attend any committee meeting. An individual desiring to address a particular committee or otherwise participate in a committee meeting should contact the committee chair.

1. Standing Committee General Rules

- a. Unless otherwise provided in this Governing Regulation, membership of standing committees shall include at least five (5) members of the Board of Trustees including the committee chair.
- b. Unless otherwise provided in this Governing Regulation, committee members shall be appointed by the Chair of the Board of Trustees.
- c. Unless otherwise provided in this Governing Regulation, the Chair of the Board of Trustees shall select the Chair for each committee from the Trustee members.
- d. Board of Trustee members may be reappointed to a committee for consecutive terms.
- e. Any vacancy occurring before the expiration of the term of the appointment shall be filled for the unexpired term.
- f. A quorum of the Committee members must be present in order to conduct business.
- g. Committee Reports shall be posted on line within 72 hours after the conclusion of Committee meetings.

2. Standing Committees

a. Executive Committee

- (1) The Board of Trustees annually elects an Executive Committee of five (5) members that has the powers that the Board of Trustees delegates to it as prescribed in KRS 164.190. This election shall be held at the first fall meeting of the Board of Trustees. Vacancies may be filled at any meeting of the Board of Trustees. The Chair and the Vice Chair of the Board of Trustees shall be ~~two~~ (2) of the five (5) members. The Chair of the Board of Trustees ~~and~~ shall also serve as Chair of the Executive Committee.

(2) The Executive Committee:

- (a) In general, exercises oversight of the financial and business interests of the University and possesses the same powers as the Board of Trustees during the periods between meetings of the full Board of Trustees;

- (b) Serves as a hearing panel in the event of a faculty member, staff employee, or student appeal coming to the Board of Trustees;
 - (c) Serves as the performance review committee for the President. The Executive Committee shall involve the entire Board of Trustees in this evaluation and shall also solicit input from the executive committees or executive councils of the University Senate, Staff Senate, and Student Government Association.
 - (d) Serves in an advisory capacity to the President on regulations and policies, institutional compliance, and accountability.
- (3) Meetings may be held as necessary at the call of the Chair. The Secretary of the Board of Trustees is the *ex officio* Secretary of the Executive Committee. The President may attend all meetings.
- (4) The Executive Committee is required to submit to the Board of Trustees at each meeting, for the latter's consideration and approval, a complete record of the proceedings of the Executive Committee. The authority of the Board of Trustees to amend the action of the Executive Committee does not extend to the rejection of any valid or authenticated expenditure of money by the Executive Committee (KRS 164.190).

b. Academic and Student Affairs Committee

This Committee:

- (1) Reviews recommendations on policy matters pertaining to the academic mission of the University in instruction, research, and public service as well as to policy matters concerning academic freedom, tenure, and shared governance;
- (2) Reviews academic program proposals from the President to ensure that a) the academic programs are consistent with the University's mission; b) resources are available to achieve academic priorities as set forth in the strategic plan; c) academic programs are appropriate for its student needs; and d) the University has a system in place for assessing the effectiveness of its academic programs;
- (3) Reviews new program and degree-program closure proposals and changes in the academic units or in the academic organization to ensure these proposals or changes will effectively carry out the academic mission;
- (4) Reviews the list of candidates for academic degrees approved by the faculty of the University through the University Senate for submission to the Board of Trustees;
- (5) Reviews recommendations regarding revisions of the Code of Student Conduct;
- (6) Reviews recommendations concerning the general welfare of students and the adoption of rules, procedures, rights, and responsibilities governing non-academic relationships between the University and its students; and
- (7) Provides needed information to the Board of Trustees.

c. Finance Committee & Audit and Compliance Subcommittee

- (1) The Finance Committee:

- (a) Ensures the financial stability and long-term economic health of the University by monitoring the financial operations of the University and its affiliated corporations and making appropriate recommendations to the Board of Trustees to ensure achievement of the University's mission;
- (b) Consistent with KRS 164A.550 through 164A.630, maintains oversight responsibility for the financial management of the University in the areas of accounting, auditing, payroll, purchasing, capital construction, real property, and affiliated corporations;
- (c) Oversees the budgets of the University by reviewing and recommending to the Board of Trustees on the annual operating budgets;
- (d) Ensures that accurate and complete financial records are maintained by reviewing and recommending to the Board of Trustees on:
 - i. annual financial reports and related recommendations from the Audit and Compliance Subcommittee,
 - ii. interim financial reports,
 - iii. long-term debt obligations,
 - iv. gifts to the University of \$400,000 or more,
 - v. capital projects of \$600,000 or more,
 - vi. leases over \$200,000 per year,
 - vii. disposition of property,
 - viii. sale of assets, and
 - ix. financial transactions not provided for in the annual operating budget.

(2) The Audit and Compliance Subcommittee:

- (a) Reviews recommendations and appoints the external auditors;
- (b) Receives and reviews the annual reports from the external auditors and makes recommendations to the Finance Committee concerning these reports; and
- (c) Receives and reviews reports from the Senior Director of Internal Audit on the University's system of internal controls and compliance with applicable laws, regulations, and University policy, and reports on such to the Finance Committee.

d. Human Resources and University Relations Committee

This committee:

- (1) Reviews recommendations to the Board of Trustees regarding policies pertaining to employee benefits, rights, and privileges requiring Board of Trustees approval;
- (2) Reviews recommendations relating to the economic, social, and public policy environments within which the University operates that directly affect the University; and
- (3) Reviews policies or policy proposals regarding marketing and development as well as public, governmental, and alumni relations.

e. Investment Committee

- (1) Under delegation from the Board of Trustees, and consistent with KRS 164A.550 through 164A.630, the Investment Committee is responsible for review and oversight of the endowment

investment programs of the University and its affiliated corporations. These responsibilities include:

- (a) formulating and reviewing investment policies;
 - (b) appointing, monitoring and evaluating investment managers and consultants; and
 - (c) reviewing and approving plans for the general management of the endowment funds of the University.
- (2) In addition to the Trustee members, the Investment Committee may, from time to time, have the Chair of the Board of Trustees appoint, upon recommendation of the University President, non-voting Community Advisory Members to assist it in its functions by providing specialized advice and support. Said Community Advisory Members shall be selected on the basis of their expertise in such areas as investment management and finance. The appointments of Community Advisory members to the Investment Committee shall be for three years and may be reappointed for an unlimited number of three year terms. The maximum number of Community Advisory members authorized at any one time shall be three (3).
- (3) The Investment Committee Chair reports to the Board of Trustees after each meeting of the committee on the performance results of endowment investments. Policies of the committee are implemented by the Office of the Treasurer in carrying out the day-to-day operations of the University's endowment funds.

f. Nominating Committee

This committee:

- (1) Nominates officers of the Board of Trustees and members of the Executive Committee; and
- (2) Reviews and recommends the appointment of trustees to the boards and committees of the University and its affiliated entities.

g. University Athletics Committee

- (1) The NCAA requires a member institution's chief executive officer to have ultimate responsibility and final authority for the conduct of the intercollegiate athletics program. In addition, the Southern Association of Colleges and Schools (SACS) requires an institution's chief executive officer to have ultimate responsibility for, and exercise appropriate administrative and fiscal control over, the intercollegiate athletics program. To assist the President in carrying out this responsibility, the Athletics Committee is responsible for:
- (a) Providing counsel to the President concerning matters or activities of the Athletics Department;
 - (b) Reviewing the Athletics Department's annual budget and audit reports, major expenditures and acquisitions, and construction of facilities if the cost is expected to exceed \$600,000; and
 - (c) Providing needed information to the Board of Trustees.
- (2) The membership of the Committee shall be composed of five (5) voting Trustee members and up to three (3) non-voting Community Advisory members. In addition, the Faculty Athletics Representative shall serve as an *ex officio*, non-voting member. The membership of the

Athletics Committee, including the Chair, shall be appointed by the President in consultation with the Chair of the Board of Trustees. The initial Board member appointments to the Athletics Committee shall be staggered terms in the following manner: two (2) members for three (3) years, two (2) members for four (4) years, and one (1) member for five (5) years. Board members appointed thereafter shall serve for three-year terms and may be reappointed.

- (3) The University Athletics Committee shall provide to the full Board a brief update at each Board meeting if the Committee has met.

h. University Health Care Committee

- (1) This Committee:

- (a) Serves as the governing body and governing authority to manage and operate the University Hospitals in accordance with the Conditions of Participation promulgated by the Centers for Medicare and Medicaid Services and with the laws and regulations governing the operations and services of hospitals in the Commonwealth of Kentucky; and

- (b) Oversees the University's clinical enterprise, including management oversight for the development of policies, rules, and regulations for the governance of the University Hospitals and clinical enterprise.

- (2) Policies of the Committee are implemented by the Executive Vice President for Health Affairs in carrying out the day-to-day operations of the University Hospitals and clinical enterprise.

- (3) The membership of the Committee shall be composed of five (5) voting Trustee members, seven (7) non-voting Advisory Members, and up to seven (7) non-voting Community Advisory Members. Each member shall be appointed by the Chair of the Board of Trustees, acting upon recommendation of the President of the University. The initial Board of Trustee member appointments to the University Health Care Committee shall be for staggered terms in the following manner: two members for three years, two members for four years and one member for five years. Board members appointed thereafter shall serve for three-year terms and may be reappointed.

- (4) The University Health Care Committee may create sub-committees and shall create committee rules to guide their governance consistent with The Joint Commission. (See University Health Care Committee Operating Rules, approved by the Board of Trustees December 7, 2010)

- (5) The University Health Care Committee shall provide to the full Board a brief update at each meeting if the Committee has met.

3. Special Committees

Special committees may be established and appointed at any time by the Chair of the Board of Trustees and with such charge as the Board of Trustees Chair may determine. Special committees shall carry out their duties as specified and report to the Board of Trustees. Such committees shall function until discharged. Membership shall include trustees and may also include persons who are not Board of Trustees members.

F. Powers and Responsibilities

The powers and responsibilities of the Board of Trustees include the following:

1. Periodically evaluate the institution's progress in implementing its missions, goals, and objectives to conform to the strategic agenda. (KRS 164.131)
2. Make such bylaws, rules, and regulations consistent with KRS Chapter 164 as it deems proper. (KRS 164.180)
3. Establish proper regulations for the governance of the University and the physical training, military or otherwise, of the students. The Board may authorize the suspension and dismissal of students for neglect or violation of the regulations or for other conduct prejudicial to the character and welfare of the University. (KRS 164.200)
4. Determine the colleges, schools, divisions, departments, bureaus, and offices which shall comprise the University within the scope of the Acts of Congress approved July 2, 1862, and Acts supplementary thereto, and the relation which each division shall sustain to each other division and to the whole. It may devise, allot, and arrange the distribution of divisions with the designation appropriate for each, and devise the means required for their effective instruction, administration, and governance. (KRS 164.210)
5. Appoint a President, professors, assistants, tutors, and other personnel and determine the compensation, duties, and official relations of each. In the appointment of presidents, professors, or instructors no preference shall be shown to any religious denomination. The Board is required to provide compensation for all positions created and filled by the Board of Trustees. The Board may provide for employee retirement benefits and such other employee benefits as are related to the respective employments and services furnished. Retirement plans may include, but without limitation, the retirement ages, the benefits of employees including group insurance, annuities, establishment of a trust fund or funds; and, the amounts to be paid or contributed by employees and the amounts to be paid or contributed by the University of Kentucky and other appropriate terms and provisions with respect thereto. (KRS 164.220)
6. Exercise power over and control of appointments, qualifications, salaries, and compensation payable out of the State Treasury or otherwise, promotions and official relations of all employees of the University of Kentucky, as provided in KRS 164.220, and, subject to any restrictions imposed by general law, the retirement ages and benefits of such employees shall be under the exclusive jurisdiction of the Board of Trustees of the University of Kentucky, which shall be an independent agency and instrumentality of the Commonwealth. (KRS 164.225)
7. Suspend or remove any of the officers, teachers, professors, or agents that it is authorized to appoint, except that no President, professor, or teacher may be removed except for incompetence, neglect of or refusal to perform duties, or for immoral conduct, and then only after ten (10) days' notice in writing stating the nature of the charges preferred, and after the individual has been given an opportunity to make defense before the Board of Trustees by counsel or otherwise and to introduce testimony which shall be heard and determined by the Board of Trustees. (KRS 164.230)
8. Grant degrees to graduates of the University, prescribe conditions for the award of honorary degrees, and confer such honorary degrees, upon the recommendation of the faculty of the University, as it deems proper. (KRS 164.240)
9. Make a full report to the General Assembly, within the first month of each even-numbered year regular session, of the condition and operation of the University since the date of the previous report, with such recommendations concerning the University as are deemed necessary. (KRS 164.250)
10. Acquire additional lands or other property or material for the purpose of expanding its plant and extending its usefulness. When unable to contract with the owner of land or other property or material

necessary for the purposes of the University, it may acquire the same by condemnation proceedings in the manner provided in KRS 416.010 to 416.080. (KRS 164.260)

11. Dispose of real estate held by the Commonwealth for the use or benefit of the University or the Kentucky Agricultural Experiment Station as authorized in KRS 164.270 and KRS 45.360.

G. Petitions to Address the Board of Trustees

The purpose of this section is to provide a standard process for members of the University community and the general public to address the Board of Trustees on matters relevant to the University. This process provides for reasonable access to the Board of Trustees, while also preserving the Board's orderly business operations.

The procedures established by this regulation do not supersede *Governing Regulations* or *Administrative Regulations* that specifically permit appeal to, or appearances before, the Board or a Board Committee.

1. Petitions

- a. An individual desiring to address the Board of Trustees shall submit a written petition to the Chair of the Board of Trustees through the Office of the President at least two (2) full business days prior to a scheduled meeting of the full Board. The petition shall describe the subject matter and the rationale for addressing the Board, and shall be submitted on the form (Petition to Address the Board of Trustees) attached as Appendix I to this regulation.

Petitions are available in the Office of the President, 101 Main Building, Lexington KY 40506-0032, or on-line at <http://www.uky.edu/Trustees/petition.htm>, and may be submitted in hardcopy or electronically. In order to be considered, any petition to address the Board of Trustees shall be submitted in accordance with this regulation and shall contain all required information. The Office of the President is responsible for forwarding to the Board Chair all petitions submitted in accordance with this regulation.

- b. The Chair, who may consult with the President, shall determine if the subject matter of the petition is relevant to a pending or future agenda item, or deemed essential information necessary for the Board to fulfill its statutory responsibilities. If the Chair determines that the subject is relevant or the information is essential, the Chair shall:
 - (1) Approve the petition to address the Board and ask that the petitioner be so informed; or
 - (2) Refer the petition to an appropriate committee of the Board and ask that the petitioner be informed of the Committee's meeting time and location.
- c. The Committee to which a petition is referred shall review the merits of the petition to address the Board and shall take such action as it deems appropriate.
- d. The list of petitioners requesting to address the Board of Trustees, along with the disposition of each petition, shall be made available immediately prior to each Board meeting at the meeting location.
- e. The Chair of the Board of Trustees shall provide a report during the full Board meeting on the number of petitions to address the Board received, as well as the disposition of said petitions.
- f. Prior to acting on an item or issue, the full Board shall hear approved petitioners who are present and prepared to address the Board when called to appear.

- g. A record shall be kept indicating the petitioner's name, the subject matter, and action taken on each petition to address the Board.

2. Limitations

- a. The Chair of the Board of Trustees may establish reasonable and appropriate limitations on the number of petitioners who may address the Board at each meeting and the time permitted for each address.
- b. In the event of a substantial change to an action item, or the addition of an action item to the Board agenda, the Chair may, in his or her discretion, accept a petition(s) received outside the procedures and timelines established by this Governing Regulation.
- c. The Chair of a Committee may establish reasonable and appropriate limitations on the number of petitioners who may address or participate in a committee meeting and the time permitted for each address.

References and Related Materials

Kentucky Revised Statutes:

45A.335, Definitions for terms used in KRS 45A.330 to 45A.340

45A.340, Conflicts of interest of public officers and employees

45.360, Finance and Administration Cabinet -- Powers and duties

61.800, Legislative statement of policy

61.850, Construction

164.131, Board of Trustees of University of Kentucky -- Membership -- Terms

164.140, Selection of alumni trustees

164.160, Trustees constitute body corporate -- Power to receive and administer revenue and property

164.170, Meetings of board -- Quorum -- Expenses -- Public character

164.180, Officers of board -- Bylaws

164.190, Executive committee

164.200, Regulations for university -- Physical training and discipline of students

164.210, Colleges, departments, and bureaus determined by trustees

164.220, Appointment, salaries and retirement benefits of university personnel

164.240, Degrees granted by trustees

164.250, Report to legislature 164.270 Sale of realty -- When authorized

164.270, Sale of realty -- When authorized

164A.550, Definitions for KRS 164A.555 to 164A.630

164A.630, Statutes binding the board

416.010, Institution of condemnation action by railroad

416.080, When company entitled to possession of condemned property

Revision History

6/11/2002, 9/17/2002, 10/10/2006, 10/16/2007, 6/17/2008, 3/29/2011, 9/13/2011, 12/13/2011, 6/19/2012, 12/11/2012, 1/29/2013, 6/11/2013, 7/1/2013 (approved 3/19/2013)

For questions, contact: [Office of Legal Counsel](#)

Appendix I

Petition to Address the Board of Trustees University of Kentucky

Petitions to address the Board may be made by completing and submitting this form to the Office of the President no later than two (2) full business days before the start of the meeting (e.g., for a Tuesday, 1:00 p.m. meeting, petitions must be received by Friday at 1:00 p.m.). To ensure the proper recognition of speakers, no change in the original presenter may be made, except possibly as determined by the Board Chair or a Board Committee. For a complete list of guidelines, see GR II, *Governance of the University of Kentucky*.

INFORMATION (Please type or print.)

Contact Information (All fields must be completed)*

Name: _____ Telephone Number: _____

E-Mail: _____ Mailing Address: _____

***YOU MUST PROVIDE A TELEPHONE NUMBER AND EMAIL ADDRESS IN ORDER FOR YOUR REQUEST TO BE CONSIDERED.**

University Relationship

Relationship to the University (please check the most appropriate category):

☐ Student ☐ Faculty ☐ Staff ☐ Alumni ☐ Other (Please specify) _____

Name of Group (if applicable): _____

Topic

Topic on which you wish to speak: _____

Please indicate if you will speak ☐ pro ☐ con or ☐ neutrally on this topic.

Briefly summarize your proposed address to the board: (maximum 1000 characters)

Have you contacted any University units about this topic previously?

Yes ☐ No ☐

If yes, list the units and the action taken by each office: (maximum 300 characters)

The University of Kentucky is committed to providing equal opportunity for participation in all programs, services, and activities. Accommodations for persons with disabilities may be requested by contacting the Office of the President within 24 hours of the meeting. Requests received after this date will be honored whenever possible.

Office of the President
101 Main Building
Lexington, KY 40506
Phone: (859) 257-1704 Fax: (859) 257-1760 E-mail: akhisel@uky.edu

ECR 2

Office of the President
September 10, 2013

Members, Board of Trustees:

PRESIDENTIAL EVALUATION AND COMPENSATION

Recommendations: that the Board of Trustees 1) extend President Eli Capilouto's Employment Agreement to June 30, 2018; 2) increase President Capilouto's base salary by five percent (5%) (or \$25,000) to \$525,000 effective July 1, 2013; 3) award a one-time bonus of ten percent (10%) of President Capilouto's original base salary (or \$50,000); and 4) establish a longevity incentive equal to his 2017-2018 base salary if he serves until June 30, 2018. The exact structure of the longevity incentive is to be determined.

Background: President Eli Capilouto's Employment Agreement (Section D.5) requires an annual performance evaluation in accordance with the Governing Regulations of the University. At its March 2013 meeting, the Board of Trustees approved ECR1, which outlines the process for evaluating the President's performance during 2012-13. On August 13, the Executive Committee reviewed the President's Self-Evaluation and a Report from external consultant Dr. David Hardesty. Dr. Hardesty's Report reflected the input of representatives of faculty, staff, students, alumni, donors, policy-makers, and community members. Following the August 13 Executive Committee meeting, members of the Board of Trustees submitted individual evaluations to the Board Chair.

The President's Employment Agreement stipulates:

Any increases of the Base Salary shall be within the Board's discretion and based upon the President's performance during the previous 12 months in connection with his annual evaluation... (Eli Capilouto Employment Agreement, D.1)

Dr. Capilouto shall be eligible for a performance incentive payment beginning fiscal year 2012-2013, based upon his substantial achievement of target goals established during his first year and, thereafter, during his annual evaluation process. The performance incentive, if any, shall be established at no less than the level of ten percent (10%) of the President's Base Salary. The Board, at its discretion, at any time may award an additional performance incentive payment based upon extraordinary performance during the previous year. (Eli Capilouto Employment Agreement, D.4)

In response to the strong, positive evaluation of the President's performance by the various constituencies, the Executive Committee recommends an increase in the President's base salary consistent with the 5% salary increase pool established for University faculty and staff as part of the 2013-14 Operating and Capital Budget. The Executive Committee further recommends an

incentive payment of \$50,000 in recognition of the President's substantial achievement of target goals for 2012-13 as documented in the annual performance evaluation.

Consistent with the President's Employment Agreement, the Board would sincerely like to discuss "...an additional performance incentive payment based upon extraordinary performance", in appreciation for the President's visionary and effective leadership during 2012-13. However, based on discussions with the President, the Board has decided to postpone discussions because of the uncertain budgetary environment.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

NCR 1

Office of the President
September 10, 2013

Members, Board of Trustees:

REAPPOINTMENT TO BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY MINING ENGINEERING FOUNDATION, INC.

Recommendation: that the Board of Trustees approve the reappointment of Ms. Jo Hern Curris as a Trustee member to the University of Kentucky Mining Engineering Foundation, Inc. Board of Directors for a one-year term ending September 30, 2014.

Background: In accordance with the Bylaws of the University of Kentucky Mining Engineering Foundation, Inc., two members shall be from the Board of Trustees, and those appointments shall be approved by the Board of Trustees. Trustee appointments to affiliated boards must be approved by the Nominating Committee before submission to the Board.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 1

Office of the President
September 10, 2013

1. Markey Cancer Center Receives National Cancer Institute Designation

The Markey Cancer Center has been designated as a National Cancer Institute cancer center. The UK Markey Cancer Center is the 68th medical center in the country to receive this prestigious designation, and is the only NCI-designated cancer center in the state of Kentucky. Earning NCI designation was a lengthy process that required strong efforts in research, recruiting and improving many of the programs at Markey. As a result of the designation, patients will have access to new drugs, treatment options and clinical trials offered only at NCI centers. The UK Markey Cancer Center will be able to apply for federal research grants available only to NCI-designated cancer centers, with the potential to bring millions in additional funding to the area.

2. Central Hall I and II Open with Ribbon Cutting Ceremony

Hundreds of faculty, staff, students and visitors celebrated the opening of Central Hall I and II August 16 during a ribbon cutting ceremony in the courtyard area between the two new residence halls. Home to the UK Honors Program now, Central I and II mark the beginning of the multi-phase initiative to revitalize the university's undergraduate housing.

3. UK and Fayette County Schools' STEAM Academy Opens

The University of Kentucky College of Education and Fayette County Public Schools officially opened the doors of the new STEAM Academy to 150 ninth graders. Described as "Kentucky's most innovative school," the academy will give students a learning experience unlike any other while engaging them with the local community. With the help of UK faculty, STEAM (which stands for science, technology, engineering, arts and mathematics) will offer its students an innovative hybrid instructional program, focusing on mastery learning, personalized instruction, internships, and dual/college credit opportunities. There will also be a very strong emphasis on technology in all aspects of learning.

4. University Press of Kentucky Launches Free E-book Program

On August 8, University Press of Kentucky launched its free e-book loyalty program, believed the first of its kind in university presses, allowing owners of press books to upload a free e-book copy of the title.

5. UK Fire Marshal's Office Hosts Fire School Training

Boyd Hall, which is no longer used as a residence hall, became a primary training ground for firefighters from across Kentucky as the UK Fire Marshal's Office hosted the Lexington Fire Department's 84th Annual Kentucky State Fire School & Kentucky Office of Homeland Security

Conference. The conference is the largest fire training for the state of Kentucky and has a tradition of excellence for classroom and hands-on training and certification for emergency responders.

6. Grand Night for Singing Turns 21

UK Opera Theatre's 21st annual town-and-gown celebration, "It's a Grand Night for Singing!" kicked off the summer musical season in the Bluegrass. Executive producer and music director Everett McCorvey along with stage director Peggy Stamps and choreographers Lyndy Franklin Smith and Jeromy Smith led a cast of 50 singers from the university and the Lexington community. Conductor Robert Baldwin, of the Salt Lake Symphony, returned for his 13th "Grand Night" to lead an orchestra of more than 20 musicians.

7. UK and UofL Work Together on New Joint Executive MBA Program

UK and UofL have agreed in principle to create a new MBA to prepare experienced mid-level executives for senior leadership. The program would be open to executives from both profit and not-for-profit organizations and would likely start in Fall 2014 subject to normal approval processes at both universities.

8. Chandler Hospital Pavilion A to Open More Beds

UK HealthCare announced plans to open 64 more beds in Pavilion A of the UK Albert B. Chandler Hospital. The eighth floor of the 12-story pavilion is targeted for completion in the fall of 2014.

9. UK and General Electric to Collaborate on Research Projects

UK and GE Appliances entered a master agreement to collaborate on research projects that could yield new inventions in the field of major appliances. The agreement specifies terms and conditions for the licensing and sharing of jointly developed intellectual property and establishes parameters for future research projects

10. Students From Brazil Study at UK

Twenty-five Brazilian students will become Kentucky wildcats in the fall, as part of UK's partnership with the Brazil Scientific Mobility Program (BSMP) and the Institute of International Education (IIE). The BSMP initiative is administered by IIE and is part of the Brazilian government's larger effort to grant 100,000 scholarships for the best students from Brazil to study abroad at the world's top universities. The 25-student cohort will begin studies at UK in the Center for English as a Second Language in the College of Arts and Sciences. The center will provide the support for the students in achieving language proficiency goals for academic, professional, as well as social purposes through multi-level language instruction.

11. Kentucky Named a Leader in Transition to Electronic Health Records

Kentucky health care providers and hospitals were recognized by the Kentucky Regional Extension Center in conjunction with the Office of the National Coordinator for Health Information Technology at six regional events across the state for their leadership in the nationwide transition toward electronic health records. Kentucky REC is one of 60 regional extension centers across the country commissioned by the Office of the National Coordinator to help providers and hospitals transition to EHR technology.

12. Sustainable Manufacturing Forum Attracts National and International Experts

The Third International Forum on Sustainable Manufacturing, organized by the Institute for Sustainable Manufacturing, took place August 9, at the Hilary J. Boone Center. It brought together nationally and internationally known experts in sustainable manufacturing from industry, academia and federal agencies to examine advances in sustainable manufacturing at the product, process and systems (including supply-chain) levels.

13. UK Dental Outreach Left Children Smiling in Perry County

An estimated 150 children received free preventive dental care in Perry County, thanks to a UK dental outreach team from the North Fork Valley Community Health Center in Hazard and the UK Center of Excellence in Rural Health, as part of the American Dental Association's Give Kids A Smile® Program.

14. Musician Larry Cordle Played Benefit Concert for Robinson Scholars

The Robinson Scholars Program welcomed Eastern Kentucky musician and Grammy Award winner Larry Cordle for a benefit concert June 27 at the Hilton in downtown Lexington. In addition, Cordle led students in sessions about the music business in the program's Mission Appalachia summer camp.

15. UK Police Department Hosts International Campus Law Enforcement Conference

Nearly 500 delegates and exhibitors gathered in Louisville for the International Association of Campus Law Enforcement Administrators' 55th Annual Conference and Exposition in June and July. The UK Police Department hosted this year's conference. IACLEA's Annual Conference is the premier event for campus public safety leaders. Among the speakers were law enforcement officials who were involved in two of the nation's most recent mass shooting tragedies in Aurora, Colo. and Newtown, Conn.

16. Fifteen UK Grads in Teach for America

Fifteen UK graduates will head back to the classroom this fall with Teach for America. As corps members these outstanding UK graduates have committed to teach for two years in disadvantaged urban and rural public schools.

17. Sanders-Brown Center Conducting Research With Northwestern and Columbia

The Sanders-Brown Center on Aging, in collaboration with Northwestern University and Columbia University, has produced new research findings that indicate the potential for a new class of drugs targeting early memory loss in conditions such as Alzheimer's disease. Linda Van Eldik, director of the UK SBCoA, is a senior author on the paper that appeared in the journal PLOS ONE.

18. UK Ag Offers Courses for Students Interested in Wine Industry

As interest in vineyards and wineries in the state has seen a resurgence in recent years, the College of Agriculture, Food and Environment has assisted producers in the re-establishment of these enterprises. This fall, the college will begin assisting on another level — by offering courses that will enhance career opportunities for students interested in entering the industry.

19. CAER Sees Major Energy Savings With Renewable Energy Lab

When the University of Kentucky Center for Applied Energy Research's renewable energy laboratory building opened a year ago, it was with energy conservation in mind. A year's worth of data shows a total energy reduction of 55 percent compared to a typical laboratory building its size. Much of the conservation took place after the building's completion, by operating and maintaining the building to achieve savings targets. Last year, the utility costs of the original CAER building (erected in 1977) totaled \$258,694 (or \$4.88 per square foot). The new building's utility cost was \$111,181 (\$2.58 per square foot). This is a difference of \$147,000 per year in utility savings that can be directed toward research.

20. Sanders-Brown Studies Gammagard™ IVIg Therapy for Alzheimer's Treatment

Researchers at the Sanders-Brown Center on Aging, led by faculty member Donna Wilcock, published a new paper in the Journal of Neuroscience detailing an advance in treatment of Alzheimer's disease. Gammagard™ IVIg is a therapy that has been investigated for treatment of Alzheimer's. Despite small clinical studies that have reported efficacy of the approach, the mechanism of action is poorly understood.

21. UK Pharmacy and Kindred Healthcare Partner on Pharmacy Fellowship

The College of Pharmacy and Louisville-based Kindred Healthcare Inc. have forged an innovative, collaborative partnership that will provide a pharmacy scholar with a unique glimpse into the future of health care. UK and Kindred have partnered to create the UK College of Pharmacy/Kindred Healthcare Executive Pharmacy Fellowship, which will allow the fellow the opportunity to learn from two world-class health care organizations in an executive training program at Kindred Healthcare focused on transitional care delivery methods, practice and research.

22. Art Museum at UK Offers Exhibit of 17th- and 18-Century Paintings

Featuring 70 extraordinary 17th- and 18th-century paintings by renowned artists, the Art Museum at UK gives the Bluegrass an opportunity to peruse "Rembrandt, Rubens, Gainsborough and the Golden Age of Painting in Europe" through September 22.

23. College of Education Leadership Academy Assisting Kentucky Education Leaders

Kentucky is among six states selected to lead the nation in developing transformative educational practices as part of the Council of Chief State School Officers' Partnership for Next Generation Learning. The UK College of Education's Next Generation Leadership Academy is showing Kentucky's education leaders how to implement these innovative practices in their schools.

24. APAT's Office of eLearning Helps Faculty Develop Online Courses

UK Academic Planning, Analytics and Technologies (APAT) has expanded its services for faculty teaching with technology through the Office of eLearning. The Office of eLearning assists UK faculty and departments with course and program development. The office also supports the creation and implementation of hybrid courses, online professional development and Massive Open Online Courses or MOOCs. Additionally, eLearning staff will focus on enhancing technology training opportunities for faculty and students.

25. 'Super Mouse' Created at Markey Plays Role in Cancer Research Nationally

The cancer-resistant "super mouse" created by researchers at the Markey Cancer Center six years ago has spawned plenty of new research into preventing and/or treating many types of cancer. Researcher Vivek Rangnekar and his team discovered a gene that specifically kills cancer cells without killing normal cells, and used it to develop "super mice. Since that initial discovery, researchers across the country have built upon Rangnekar's discovery, including a team at the University of Pennsylvania, who recently published findings on how Par-4 down regulation affects breast cancer recurrence. In a new article for Cancer Cell, UK researchers including Rangnekar as well as Tripti Shrestha-Bhattarai and Nikhil Hebbar discuss a recent study and how its findings may lead to the development of novel treatment strategies for breast cancer.

26. Girls Make 'Aquabots' at Annual STEM Camp

Nineteen girls participated in "Aquabots," a STEM camp (science, technology, engineering and math) designed just for girls in early August. Hosted by the Kentucky Girls STEM Collaborative and the University of Kentucky, the camp taught the girls about underwater robotics using the Lego Mindstorm system. Student mentors, faculty and staff from UK, as well as an engineer from Lexmark, worked with the girls as they designed, built, programmed and tested their own robots.

27. UK Mining Engineering Co-sponsors Symposium on Miner Safety

The 2013 Central Appalachian Regional Work Safety and Health Symposium August 22 brought together researchers, government officials, industry representatives, and health and safety professionals to examine evidence and debate issues relevant to miner health and safety. The University of Kentucky Department of Mining Engineering and the Central Appalachian Regional Education and Research Center hosted the conference

28. Singletary Signature Series Kicks Off With Performance by Mayer Hawthorne

The Singletary Center for the Arts kicks off its 2013-14 Singletary Signature Series in September with up-and-coming soul singer Mayer Hawthorne in a season that also includes performances by icons in tap, jazz and classical music.

29. UK Hosts Veteran's Recruitment Night in Hardin County

Representatives from UK Admissions, Financial Aid, Veterans Resource Center, and the College of Education hosted Veteran's Recruitment Night on August 7 at the Hardin County Extension Office in Elizabethtown. This drop-in information session was open to all veterans interested in learning more about the university.

30. New Way to Pay Student Accounts Allows Guest to Make Payments

UK students now have a new tool at their disposal to make student account payments. A Guest Access option allows students, if they wish, to authorize guests to access their account information and/or make payments on their behalf. Guests may be parents, relatives or any person the student appoints to utilize Guest Access.

31. UK's Hometown is Fifth Best College Town in America

Lexington has been ranked as the fifth best college town in America according to Livability.com. The best college towns appeal not just to potential students but to potential residents and businesses looking for strong economies and opportunities to grow.

32. Annual K Week Welcomes Students to Campus in Big Way

K Week, UK's annual student welcome week provided new students with 257 activities over nine days. K Week, August 23-31, offered a range of activities designed to meet the diverse needs and interests of UK students.

33. UK Sophomores Receive Special Welcome Back to Campus

The UK Office of Student Success congratulated UK sophomores for completing their first year at UK with the Sophomore Back to School Kickoff August 27 in the Student Center Grand Ballroom. The kickoff was a celebration as well as an opportunity to reconnect with friends, meet new friends, receive information and locate resources aimed at enhancing students' success at UK.

34. Event Allows Youngsters to Experience 'Day in the Life' of UK Medical Student

For 32 minority students, a unique program provided an intensive “day in the life” of a UK medical student. Along with a sample of courses, it provided time to network with medical school administrators, faculty and current students. For some, it was even time to envision themselves as part of a future class.

35. Dental Simulators Provide Life Like Experience for College of Dentistry Students

Students at the University of Kentucky College of Dentistry can now get much more realistic hands-on experience long before they see their first human patient thanks to technologically advanced dental simulators in the new White, Greer and Maggard Simulation Laboratory which debuted in the college August 14. The dental simulators consist of a torso and head apparatus, similar to a mannequin, that duplicate nearly all aspects of treating a live patient in a dental chair.

36. UK Employees Receive Smoking Cessation Assistance

UK employees, non-Medicare retirees, and sponsored dependents covered under a UK-sponsored health insurance plan, can now receive expanded tobacco cessation aids at no cost. The new benefit provides free access to Chantix and generic prescription tobacco-treatment medications for 24 weeks with no co-pay. Generic over-the-counter nicotine replacement therapy is also available at no cost for a 90-day time period with a prescription.

37. Engineering Students Visit Honduras on Humanitarian Mission

Five UK engineering students traveled to Honduras, where they put their skills to use to help provide clean drinking water for a small village. The students, members of the UK student chapter of Engineers Without Borders, along with faculty mentor Professor Nick Stamatiadis, visited the rural, geographically isolated village of El Carrizo.

38. Saudi Students Visit College of Pharmacy

Six students from Jazan University, in Saudi Arabia, spent four weeks studying clinical pharmacy at UK’s top-ranked College of Pharmacy.

39. Five UK Grad Students Attend Shanghai Conference

Five UK graduate students, selected by UK American Studies Center at Shanghai University, attended the 2013 International Graduate Student Conference at Shanghai University. The conference promoted an interdisciplinary and cross-cultural dialogue about Asia’s past and present. The UK graduate students presented papers that explored specific interrelationships between Asia and the United States.

40. Three Students Awarded Gilman Scholarships to Study Abroad

Three students have been awarded the fall 2013 Benjamin A. Gilman International Scholarship by the Institute of International Education to support their study abroad experiences. The students receiving the scholarship for Fall 2013 were Ana Garner, an anthropology major, will study in China; Sean Meloney, a community and leadership development major, will study in India; and Dustin Roberts, an elementary education major, will study in South Africa.

41. Faculty Receive Fulbright Scholarships

Several faculty members have been selected as recipients of prestigious Fulbright program scholarships, including Srimati Basu, an associate professor of gender and women's studies in the College of Arts and Sciences; Mel Coffee, an assistant professor of journalism and telecommunications in the School of Communication and Information; Alan Fryar, an associate professor of earth and environmental sciences in the College of Arts and Sciences; Michael Samers, an associate professor of geography in the College of Arts and Sciences; Doug Slaymaker, an associate professor of Japanese in the College of Arts and Sciences; Paul Vincelli, a professor of plant pathology in the UK College of Agriculture, Food and Environment; and Matthew Zook, an associate professor of geography in the College of Arts and Sciences.

42. Women's Forum Board Welcomes New Members

Nine new members elected to Women's Forum board include Erika Chambers, Work Life director; Carol Dell, clinical faculty in Radiology; Lindsey Jasinski, clinical faculty in Psychiatry; Connie LeRoy, a supervisor in Physical Plant-Medical Center; Lisa Lockman, a Residence Life officer; Therese Smith, behavioral intervention coordinator for Food Services Administration; Abbye Tackett, of the Lucille Little Fine Arts Library; Sara Talbott, an agricultural extension agent; and Jennafer Greene of Obstetrics and Gynecology, Maternal-Fetal Medicine.

43. Alumni Association Announces New Officers

The UK Alumni Association has announced its 2013-2014 board of directors officers: Brenda B. Gosney, president; Elaine Adams Wilson, president-elect; David B. Ratterman, treasurer; and Stan Key, secretary. The new officers took office July 1, and will serve until June 30, 2014.

44. Faculty and Staff Achievements

Dr. Jayakrishna Ambati (Ophthalmology and Visual Sciences) received the Mark Brothers Award from the Indiana University School of Medicine.

Sheila Brothers was elected in a university-wide election to serve a second term as staff representative to the UK Board of Trustees.

Dr. Allan Butterfield (Chemistry) was named by the Society for Free Radical Biology and Medicine as the recipient of its 2013 Discovery Award.

Timothy Butterfield (Health Sciences) received the National Athletic Trainers Association Research and Education's 2013 New Investigator Award.

Tracy A. Campbell (History) published "The Gateway Arch: A Biography," a book that explores the political and economic history of St. Louis and the origins of the city's most recognized structure.

Dr. Michael R. Dobbs (Neurology) has edited and published the latest edition of "Psychiatric Clinics of North America" titled "Psychiatric Manifestations of Neurotoxins."

Dr. Brandon Fornwalt (Biomedical Engineering), whose research is helping to find new ways to heal the hearts of sick children, has received the NIH Director's Early Independence Award.

Brian L. Frye (Law) produced the film documentary "Our Nixon," recently featured in the New York Times.

Bradley Gelfand (Ophthalmology and Visual Sciences) has been awarded a research grant from the American Heart Association to study atherosclerosis.

Gordon Garrett (Kentucky Small Business Development Center) participated in the 2013 National Institutes of Health Commercialization Assistance Program.

Chester Grundy (Medicine) received the Outstanding Achievement in Human Rights Award presented by the Lexington-Fayette Urban County Human Rights Commission.

Marcelo Guzman (Chemistry) was awarded the prestigious National Science Foundation Career Award, a five-year grant for his research, education, and outreach efforts in the field of environmental chemistry.

Anne Leath Harrison (Physical Therapy) received an Excellence in Teaching Award from the American Physical Therapy Association.

Dr. Darren Johnson (Orthopaedic Surgery & Sports Medicine) was voted the 2012-13 SEC Team Physician of the Year and was recently ranked as one of the Top 19 U.S. Sports Medicine Specialists by Orthopedics This Week.

Nagaraj Kerur (Ophthalmology and Visual Sciences) has received the Beckman Initiative for Macular Research Fellowship Award to pursue his goals in translational Age-Related Macular Degeneration research.

Sujin Kim (Biomedical Informatics) has been chosen to receive UK's first Academic Planning, Analytics and Technologies Internal Grant Award.

Dr. Mark E. Kleinman (Ophthalmology and Visual Sciences) has been awarded a research grant from the American Federation on Aging Research to pursue his research on age related macular degeneration, the leading cause of vision loss in older adults.

Hui Chi Lee and Brandon C. Smith (Fine Arts) were among only eight Kentucky artists being presented with Al Smith Individual Artist Fellowships.

Lt. Robert McPherson (UK Police) received a top honor from the American Association of Police Polygraphists at its annual conference in Charlotte, N.C., in June.

Dan Potter (Entomology) and graduate student Emily Dobbs are working with Lexington golf courses to plant flowers that attract insects that will help with pollination in Central Kentucky.

Buck Ryan (Journalism) was honored by Shanghai University at a teaching award ceremony after completing a two-week summer course sponsored by the Confucius Institute.

Bradford Schwarz (Health Sciences) recently served as a nationally selected faculty member for the Physician Assistant Education Association.

Karen O. Skaff (Clinical Sciences) has been appointed to the Kentucky Board of Licensure for Long-Term Care Administrators by Gov. Steve Beshear.

Richard Smith (Psychology) has published "The Joy of Pain," a book that provides a glimpse into the malicious glee people experience from others' pain, known as schadenfreude.

William W. Stoops (Behavioral Science) has been named the president-elect of the Division of Psychopharmacology and Substance Abuse of the American Psychological Association, and is the recipient of the 2013 Joseph Cochin Young Investigator Award from the College on Problems of Drug Dependence.

Mark Stuhlfaut (Journalism and Telecommunications) co-authored a study about creativity in advertising published in Advertising Age.

Gregory Stump (English) and Raphael Finkel (Computer Science) co-authored a comparative study of the morphology of natural languages.

Mirosław Truszczyński (Computer Science) was one of eight individuals recently recognized as a Fellow in the Association for the Advancement of Artificial Intelligence (AAAI).

Virginia Valentin (Health Sciences) was elected president of the Kentucky Academy of Physician Assistants.

Gene Wilhoit (National Center for Innovation in Education at the UK College of Education) received the Education Commission of the States James Bryant Conant Award for outstanding contributions to American education.

45. Student Achievements

Jenna Day was crowned Miss Kentucky 2013 and will represent Kentucky in the Miss America Pageant to be held Sept. 15, in Atlantic City.

Mary Kate Elliott (English/French) was selected to attend a Fulbright Summer Institute at Nottingham-Trent University in England.

Madison Gooch (Business Management) received one of three National Pathfinder Scholarships awarded by the National Federation of Republican Women.

Emily Hogston, (Education) is the 2013 recipient of the UK Human Development Institute's Paul Kevin Burberry Award.

46. Alumni Achievements

Stefan Hulliger and Stephen Wiggins (Art) won a rain barrel art competition presented this summer by Bluegrass Greensource.

Natalie S. Wilson and Judge James Park Jr. were recently inducted by the UK College of Law and the UK College of Law Alumni Association into their 2013 Hall of Fame.

PR 2

Office of the President
September 10, 2013

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that the Board of Trustees approve the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Athletics Director

Cropp, John W., Athletics Director Associate, after 21 years and 8 months of consecutive service under AR 3.1 Section VIII.B, effective 6/30/13.

Vice President for Development

Farah, Kathryn C., Development Administrative Director, Office of Development, after 33 years of consecutive service under AR 3.1 Section VIII.A, effective 6/30/13.

Vice President for Facilities Management

Holley, Robert C., Grounds Worker II, Medical Center Physical Plant, after 15 years and 7 months of consecutive service under AR 3.1 Section VIII.B, effective 6/15/13.

Executive Vice President for Finance and Administration

Clark, Madeline L., Telephone Operator, Communication Network Services, Human Resources, after 8 years and 2 months of consecutive service under AR 3.1 Section VIII.B, effective 6/30/13.

Fine, Harold A., Regular Faculty, College of Engineering, Human Resources, after 35 years and 11 months of consecutive service under AR 3.1 Section VIII.B, effective 6/30/13.

Holland, Brenda L., Medical Transcript Data Support Specialist, Human Resources, after 24 years and 1 month of consecutive service under AR 3.1 Section VIII.B, effective 9/30/13.

Straus, Carol M., Research Associate, Center for Business and Economic Research, Human Resources, after 31 years and 11 months of consecutive service under AR 3.1 Section VIII.B, effective 7/31/13

Wells, Sarah J., Administrative Support Associate I, College of Agriculture, Human Resources, after 14 years and 2 months of consecutive service under AR 3.1 Section VIII.B, effective 6/30/13.

*Special Title Series

Willhoite, Sharon E., Patient Service Coordinator, University Hospital, Human Resources, after 32 years and 2 months of consecutive service under AR 3.1 Section VIII.B, effective 9/30/13.

B. EARLY RETIREMENTS

Athletics Director

Weber, Donald S., Head Coach Track, Athletics Administration, after 33 years and 9 months of consecutive service under AR 3.1 Section VIII.A, effective 6/30/13.

Chief Human Resources Officer

Curry Reedy, Melissa A., Information Technology Trainer Senior, Human Resources Training & Development, after 17 years and 4 months of consecutive service under AR 3.1 Section VIII.A, effective 8/4/13.

Spearman, Janet, Compensation Analyst, Human Resources, after 15 years of consecutive service under AR 3.1 Section VIII.A, effective 6/15/13.

Vessel, Stephanie J., Employment Specialist, Human Resources, after 35 years and 4 months of consecutive service under AR 3.1 Section VIII.A, effective 9/1/13.

Executive Vice President for Finance and Administration

Hocker, Thomas R., Custodial Worker II, Physical Plant – Custodial Services, Human Resources, after 42 years and 10 months of consecutive service under AR 3.1 Section VIII.A, effective 6/30/13.

McKnight, Shafter M., Maintenance Mechanic III, Dining Services Administrative, Human Resources, after 24 years and 2 months of consecutive service under AR 3.1 Section VIII.A, effective 7/31/13.

Mack, Vernita E., Custodial Worker I, Physical Plant – Custodial Services, Human Resources, after 24 years and 2 months of consecutive service under AR 3.1 Section VIII.A, effective 6/30/13.

Smith, Bruce G., Custodial Worker Supervisor I, Physical Plant Custodial Services, Human Resources, after 25 years and 3 months of consecutive service under AR 3.1 Section VIII.A, effective 5/31/13.

Vice President for Facilities Management

Abney II, Elza, Pest Control Worker Senior, Physical Plant – Trucking Services, Facilities Management, after 38 years and 1 month of consecutive service under AR 3.1 Section VIII.A., effective 7/5/13.

Marshall, Terry L., Grounds Worker II, Physical Plant – Ground Services, after 40 years and 5 months of consecutive service under AR 3.1 Section VIII.A, effective 10/2/13.

Vice President for Research

Banks, Roger S., Stores Supervisor, KY Geological Survey, after 29 years and 10 months of consecutive service under AR 3.1 Section VIII.A, effective 10/1/13.
Spragens, Lori, Non-University/ASDS, Water Resources Institute, after 25 years of consecutive service under AR 3.1 Section VIII.A, effective 7/19/13.

ADMINISTRATIVE ACTION

A. APPOINTMENTS

Athletics Director

Baker, Rachel, Athletics Director Associate Sr/Com, effective 7/8/13.
Dunleavy, Sarah, Assistant Swim Coach, effective 7/2/13.
Hall, Timothy, Assistant Track Coach, effective 8/1/13.
Horton, Donald, Assistant Strength Coach, effective 7/1/13.
Kline, Laurence, Assistant Swim Coach, effective 7/1/13.
Mason, Norman, Assistant Swim Coach, effective 6/17/13.
Rankin, Jeanne, Assistant Strength Coach, effective 7/15/13.
Rose, Ashley, Assistant Rifle Coach, effective 7/29/13.

Executive Vice President for Finance and Administration

Frazier, James P., Director for Process Improvement & Risk Analysis, Finance and Administration, effective 8/12/13.

B. PROMOTIONS

Athletics Director

Campbell, Stephanie, from Accountant Senior, UKAA Administrative Support to Athletics Business Operations Director, UKAA Administrative Support, effective 7/1/13.
Legate, Jamie, from Accounting Coordinator, UKAA Administrative Support to Athletic Events Director, UKAA Operations, effective 7/1/13.

Executive Vice President for Finance and Administration

Krauss, Susan, from Assistant Treasurer for Investment to Interim Treasurer, effective 8/1/13.

Vice President for Development

Laur, Gregory, from Development Assistant III, Office of Development to Development Administrative Director, Office of Development, effective 7/1/13.

*Special Title Series

Vice President for Research

Gregory, Alicia, from Publications Production Manager II, Research
Communications to University Relations Officer/RGS, Research
Communications, effective 7/1/13.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Amato, Ralph S., College of Medicine, Clinical Professor, Pediatrics, 6/1/13 through 6/30/14, and appointed to the Man 'O War Ford Professorship in Metabolic Diseases, 7/1/13 through 6/30/14.

Anderson, Urton, College of Business and Economics, Professor (with tenure), Accountancy, effective 7/1/13, and appointed to the Ernst and Young Professorship, 7/1/13 through 6/30/15.

Bhandary, Prasad, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Bolster, Carl H., College of Agriculture, Food and Environment, Adjunct Professor, Biosystems and Agricultural Engineering, 7/1/13 through 6/30/14.

Bryson, L. Sebastian, College of Engineering, Associate Professor (with tenure), Civil Engineering, appointed to the Hardin-Drnevich-Huang Professorship in Geotechnical Engineering, 7/1/13 through 6/30/16.

Caldwell, Glyn G., College of Public Health, Associate Professor (voluntary), Epidemiology, 7/1/13 through 6/30/14.

Cheung, Senching Samson, College of Engineering, Associate Professor (with tenure), Electrical and Computer Engineering, appointed to the Blazie Family Professorship in Electrical and Computer Engineering, 7/1/13 through 6/30/16.

Connelly, Allison I., College of Law, Clinical Professor, appointed to the Thomas P. Lewis Professorship, 7/1/12 through 6/30/13.

Critchfield, Agatha S., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 10/1/13 through 6/30/14.

Crosby, Richard A., College of Public Health, Professor (with tenure), Health Behavior, appointed to the Good Samaritan Professorship or Chair in Health Education, Research, Health Promotion and Preventive Services, 7/4/13 through 6/30/18.

de Villiers, Willem J., College of Medicine, Adjunct Professor, Internal Medicine, 7/1/13 through 6/30/14.

Ederington, Josh, College of Business and Economics, Professor (with tenure), Economics, appointed to the Carol Martin Gatton Professorship in Economics, 7/1/13 through 6/30/15.

Hamdalla, Hussam N., College of Medicine, Associate Professor (voluntary), Internal Medicine, 6/1/13 through 6/30/16.

Hartsfield, James K., College of Dentistry, Professor (with tenure), Oral Health Science, and joint appointment as Professor (without tenure), Microbiology, Immunology and Molecular Genetics, College of Medicine, appointed to the E. Preston Hicks Professorship for Orthodontics and Oral Health Research, effective 7/1/13.

Hassebrook, Laurence G., College of Engineering, Professor (with tenure), Electrical and Computer Engineering, appointed to the Kentucky Utilities Professorship in Electrical and Computer Engineering, 7/1/13 through 6/30/16.

Howard, Thomas W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Karki Maskey, Mitu, College of Medicine, Clinical Assistant Professor, Internal Medicine, 9/1/13 through 6/30/14.

Koul, Sharat, College of Medicine, Associate Professor (voluntary), Internal Medicine, 6/1/13 through 6/30/16.

LaBarbera, Frank R., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 9/19/13 through 6/30/14.

Liu, De, College of Business and Economics, Associate Professor (with tenure), Marketing and Supply Chain, appointed to the Alan F. and Irene Bloomfield Professorship Fund, 7/1/13 through 6/30/15.

Lu, Pai-Yue, College of Medicine, Clinical Assistant Professor, Pediatrics, 8/1/13 through 6/30/14.

Mehra, Ajay, College of Business and Economics, Professor (with tenure), Management, appointed to the Carol Martin Gatton Professorship in Management, 7/1/13 through 6/30/15.

Moore, Daniel B., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 8/7/13 through 6/30/14.

Pierce, Joshua R., College of Business and Economics, Associate Professor (with tenure), Finance and Quantitative Methods, appointed to the PNC Professorship, 8/1/13 through 6/30/15.

Prabhu, Ashwin B., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 8/1/13 through 6/30/14.

Raissi, Driss, College of Medicine, Clinical Assistant Professor, Radiology, 8/26/13 through 6/30/14.

Reid, Michael B., College of Medicine, Adjunct Professor, Physiology, 7/1/13 through 6/30/14.

Riordan, Christine M. College of Business and Economics, Professor (with tenure), Management, effective 8/1/13.

Russell, Patrick M., College of Medicine, Clinical Instructor, Emergency Medicine, 7/1/13 through 6/30/14.

Salam, Maamoun, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/15/13 through 6/30/14.

Shehata, Ehab A., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/15/13 through 6/30/14.

Stivers, Kellie J., College of Medicine, Clinical Assistant Professor, Anesthesiology, 9/1/13 through 6/30/14.

Sweigart, Joseph R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 9/1/13 through 6/30/14.

Tzeng, Ching-Wei D., College of Medicine, Assistant Professor*, Surgery, 8/1/13 through 6/30/14.

Umbrell, Shawn M., College of Arts and Sciences, Professor (without tenure), Military Science and Leadership, 5/20/13 through 6/30/17.

*Special Title Series

Vallabh, Hiren I., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Wang, Peng, College of Medicine, Clinical Assistant Professor, Internal Medicine, 8/12/13 through 6/30/14.

Wiemann, Alfred H., College of Dentistry, Assistant Professor*, Oral Health Practice, 8/1/13 through 6/30/14.

Wright, Theodore S., College of Medicine, Clinical Assistant Professor, Surgery, 8/19/13 through 6/30/14.

Zheng, Fang, College of Pharmacy, Associate Research Professor, Pharmaceutical Sciences, 7/1/12 through 6/30/14.

B. ADMINISTRATIVE APPOINTMENTS

Bastin, Sandra P., College of Agriculture, Food and Environment, Extension Professor (with tenure), Dietetics and Human Nutrition, appointed Chair, Dietetics and Human Nutrition, 7/1/13 through 6/30/19.

Biagi, David M., College of Design, Associate Professor (with tenure), Architecture, reappointed Director, Architecture, 7/1/13 through 6/30/15.

Chappell, Joseph, College of Pharmacy, Professor (with tenure), Pharmaceutical Sciences, and joint appointment as Professor (without tenure), Molecular and Cellular Biochemistry, College of Medicine, appointed Chair, Pharmaceutical Sciences, 7/1/13 through 6/30/19.

Cohen, Elisia L., College of Communication and Information, Associate Professor (with tenure), Communication, appointed Chair, Communication, 7/1/13 through 6/30/17.

Klim, Gerald V., College of Medicine, Associate Professor* (with tenure), Physical Medicine and Rehabilitation, reappointed Chair, Physical Medicine and Rehabilitation, 5/1/13 through 8/31/13.

Umbrell, Shawn M., College of Arts and Sciences, Professor (without tenure), Military Science and Leadership, appointed Chair, Military Science, 5/20/13 through 6/30/17.

Werner-Wilson, Ronald J., College of Agriculture, Food and Environment, Professor (with tenure), Family Sciences, reappointed Chair, Family Studies, 7/1/13 through 10/31/13.

C. JOINT APPOINTMENTS

Balasuriya, Udeni B., College of Agriculture, Food and Environment, Professor (with tenure), Veterinary Science, joint appointment as Professor (without tenure), Microbiology, Immunology and Molecular Genetics, College of Medicine, effective 7/15/13.

Benson, James M., College of Engineering, Associate Professor (with tenure), Industrial Engineering Technology, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/13 through 6/30/14.

Butterfield, Timothy A., College of Health Sciences, Associate Professor (without tenure), Rehabilitation Sciences, joint appointment as Associate Professor (without tenure), Physiology, College of Medicine, effective 7/1/13.

D'Orazio, John A., College of Medicine, Associate Professor (with tenure), Pediatrics, and joint appointments as Assistant Professor, Graduate Center for Toxicology and Associate Professor (without tenure), Molecular and Biomedical Pharmacology, new joint appointment as Associate Professor (without tenure), Physiology, effective 7/1/13.

Kobraei, Hamid R., College of Engineering, Professor (with tenure), Physics and Engineering Physics, Murray State University, joint appointment as Associate Professor (without tenure), Chemical and Materials Engineering, College of Engineering, 7/1/13 through 6/30/14.

Sellnow, Deanna D., College of Communication and Information, Professor* (with tenure), Communication, joint appointment as Professor* (without tenure), Library and Information Science, effective 8/1/13.

Thiede, Theodore D., College of Engineering, Associate Professor (with tenure), Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/13 through 6/30/14.

D. REAPPOINTMENTS

Bailey, Francis M., College of Arts and Sciences, Associate Professor (without tenure), Modern and Classical Languages, Literatures, and Cultures, 7/1/13 through 6/30/15.

Bakker, Siebe T., College of Design, Professor (visiting), Architecture, 6/6/13 through 8/1/13.

Beck, Sandra J., College of Medicine, Clinical Associate Professor, Surgery, 7/1/13 through 6/30/14.

Blomquist, Glenn C., College of Business and Economics, Professor (with tenure), Economics, and joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, reappointed to the Carl F. Pollard Professorship of Health Economics, 7/1/13 through 6/30/15.

Bollinger, Christopher R., College of Business and Economics, Professor (with tenure), Economics, reappointed to the Carol Martin Gatton Professorship in Economics, 7/1/13 through 6/30/15.

Chang, Phillip K., College of Medicine, Clinical Associate Professor, Surgery, 7/1/13 through 6/30/14.

Daugherty, Alan, College of Medicine, Professor (with tenure), Internal Medicine, and joint appointments as Professor (without tenure), Graduate Center for Toxicology and Professor (without tenure), Physiology, reappointed to the Gill Foundation Chair in Preventive Cardiology, effective 7/1/13.

DeMoll, Louis E., College of Medicine, Associate Adjunct Professor, Microbiology, Immunology and Molecular Genetics, 7/1/13 through 6/30/14.

Donohue, Kevin D., College of Engineering, Professor (with tenure), Electrical and Computer Engineering, reappointed to the DataBeam Professorship in Electrical and Computer Engineering, 7/1/13 through 6/30/18.

*Special Title Series

Donovan, James M., College of Law, Associate Professor (without tenure), 7/1/13 through 6/30/14.

Endean, Eric D., College of Medicine, Professor (with tenure), Surgery, reappointed to the Gordon L. Hyde M.D., Chair in Vascular Surgery, 7/1/13 through 6/30/18.

Ferrier, Walter, College of Business and Economics, Associate Professor (with tenure), Management, reappointed to the Gatton Endowed Associate Professor in Strategic Management, 7/1/13 through 6/30/15.

Franklin, Gregory C., College of Arts and Sciences, Professor (without tenure), Aerospace Studies, 7/1/13 through 6/30/14.

Godlaski, Theodore M., College of Social Work, Clinical Associate Professor, and joint appointment as Clinical Assistant Professor, Psychiatry, College of Medicine, 7/1/13 through 6/30/14.

Griffith, Charles H., College of Medicine, Professor (with tenure), Internal Medicine, and joint appointments as Professor (without tenure), Pediatrics and Professor (without tenure), Preventive Medicine and Environmental Health, College of Public Health, reappointed to the University Professorship in Medicine, effective 7/1/13.

Hackbart, Merl, College of Business and Economics, Professor (with tenure), Finance and Quantitative Methods, and joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, reappointed to the Carol Martin Gatton Professorship in Management, 7/1/13 through 6/30/15.

Hansen, Wendy F., College of Medicine, Professor* (with tenure), Obstetrics and Gynecology, reappointed to the John W. Greene, Jr., M.D. Chair in Obstetrics and Gynecology, effective 7/1/13.

Hardesty, David, College of Business and Economics, Professor (with tenure), Marketing and Supply Chain, reappointed to the Thomas Simons Professorship, 7/1/13 through 6/30/15.

Henrickson, Michael, College of Medicine, Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Holsapple, Clyde, College of Business and Economics, Professor (with tenure), Finance and Quantitative Methods, reappointed to the Rosenthal Endowed Chair in Management Information Systems, 7/1/13 through 6/30/18.

Hoopes, Charles W., College of Medicine, Associate Professor* (without tenure), Surgery, 7/1/13 through 6/30/14.

Hulse, David, College of Business and Economics, Associate Professor (with tenure), Accountancy, and joint appointment as Associate Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, reappointed to the Deloitte-Touche Professorship of Accountancy, 7/1/13 through 6/30/15.

Humphries, Roger L., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/13 through 6/30/14.

Kelley, Scott, College of Business and Economics, Professor (with tenure), Marketing and Supply Chain, reappointed to the Carol Martin Gatton Professorship in Management, 7/1/13 through 6/30/15.

Klein, Lisa R., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/13 through 6/30/14.

*Special Title Series

Kraemer, Philipp, College of Arts and Sciences, Professor (with tenure), Psychology, reappointed to the Chellgren Center Endowed Chair, 8/15/13 through 8/14/18.

Kyprianou, Natasha, College of Medicine, Professor (with tenure), Surgery, and joint appointments as Professor (without tenure), Graduate Center for Toxicology and Professor (without tenure), Molecular and Cellular Biochemistry and Professor (without tenure), Pathology and Laboratory Medicine, reappointed to the James F. Hardyman Chair in Urologic Research, 7/1/13 through 6/30/18.

Lederer, Albert, College of Business and Economics, Professor (with tenure), Management, reappointed to the Phillip Morris Professorship in Business, 7/1/13 through 6/30/15.

Lile, Joshua A., College of Medicine, Associate Professor (without tenure), Behavioral Science, 7/1/13 through 6/30/14.

Mahboub, Kamyar C., College of Engineering, Professor (with tenure), Civil Engineering, reappointed to the Lawson Professorship in Civil Engineering, 7/1/13 through 6/30/16.

Maske, Huajing X., College of Education, Clinical Associate Professor, Curriculum and Instruction, 7/1/13 through 4/30/14.

Matheny, Samuel C., College of Medicine, Professor* (with tenure), Family and Community Medicine, and joint appointment as Professor* (without tenure), Preventive Medicine and Environmental Health, College of Public Health, reappointed to the Nicholas J. Pisacano, M.D. Endowed Chair, 7/1/13 through 4/30/16.

McClintock, Timothy S., College of Medicine, Professor (with tenure), Physiology, reappointed to the Dr. Louis L. Boyarsky Professorship, 7/1/13 through 6/30/15.

Mullett, Timothy W., College of Medicine, Clinical Professor, Surgery, 7/1/13 through 6/30/14.

Mullineaux, Donald, College of Business and Economics, Professor (with tenure), Finance and Quantitative Methods, reappointed to the DuPont Endowed Chair in Banking and Financial Services, 7/1/13 through 6/30/18.

Muralidhar, Krishnamurty, College of Business and Economics, Professor (with tenure), Finance and Quantitative Methods, reappointed to the Carol Martin Gatton Professorship in Management, 7/1/13 through 6/30/15.

Peterson, Charlotte A., College of Health Sciences, Professor (with tenure), Rehabilitation Sciences, and joint appointments as Professor (without tenure), Physiology, College of Medicine and Professor (without tenure), Clinical Sciences, reappointed to the Joseph Hamburg Professorship in Rehabilitation Services, 7/1/13 through 6/30/14.

Plunkett, Mark D., College of Medicine, Associate Professor* (without tenure), Surgery, and joint appointment as Associate Professor* (without tenure), Pediatrics, 7/1/13 through 8/14/13.

Pomper, Kirk W., College of Agriculture, Food and Environment, Associate Adjunct Professor, Horticulture, 7/1/13 through 6/30/14.

Prybil, Lawrence D., College of Public Health, Professor (part-time), Health Services Management, 7/1/13 through 6/30/14.

Ramsay, Robert, College of Business and Economics, Professor (with tenure), Accountancy, reappointed to the Arthur Andersen Professorship in Accountancy, 7/1/13 through 6/30/15.

*Special Title Series

Reda, Hassan K., College of Medicine, Clinical Associate Professor, Surgery, 7/1/13 through 6/30/14.

Saha, Siby P., College of Medicine, Clinical Professor, Surgery, and joint appointment as Clinical Professor, Biomedical Engineering, College of Engineering, 7/1/13 through 6/30/14.

Scott, Frank, College of Business and Economics, Professor (with tenure), Economics, reappointed to the Carol Martin Gatton Professorship in Economics, 7/1/13 through 6/30/15.

Sekela, Michael E., College of Medicine, Clinical Professor, Surgery, 7/1/13 through 6/30/14.

Selwitz, Ada S., College of Medicine, Associate Adjunct Professor, Behavioral Science, 7/1/13 through 6/30/14.

Skinner, Steven, College of Business and Economics, Professor (with tenure), Marketing and Supply Chain, reappointed to the Warren W. Rosenthal Professorship in Marketing, 7/1/13 through 6/30/15.

Sloan, David A., College of Medicine, Professor (with tenure), Surgery, reappointed to the Warren H. Proudfoot, M.D. Professorship in Surgical Oncology, 7/1/13 through 6/30/15.

Smith, Bret N., College of Medicine, Professor (with tenure), Physiology, reappointed to the Dr. Thomas L. Skinner Professorship in Physiology, 7/1/13 through 6/30/15.

Spengler, Susan E., College of Public Health, Associate Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Assistant Professor (part-time), Family and Community Medicine, College of Medicine, 7/1/13 through 6/30/14.

Spires, Susan E., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Stewart, Daniel H., College of Medicine, Clinical Associate Professor, Surgery, 7/1/13 through 6/30/14.

Thompson, James R., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Tobin, Stuart, College of Medicine, Clinical Associate Professor, Surgery, 7/1/13 through 6/30/14.

Troske, Kenneth R., College of Business and Economics, Professor (with tenure), Economics, reappointed to the William B. Sturgill Professorship in Business and Public Policy, 7/1/13 through 6/30/15.

Wright, Heather R., College of Medicine, Clinical Associate Professor, Surgery, 7/1/13 through 6/30/14.

Zhang, Yu-Ming, College of Engineering, Professor (with tenure), Electrical and Computer Engineering, reappointed to the James R. Boyd Professorship in Electrical Engineering, 7/1/13 through 6/30/18.

Ziebart, David, College of Business and Economics, Professor (with tenure), Accountancy, reappointed to the PricewaterhouseCoopers LLP Professorship in Accountancy, 7/1/13 through 6/30/15.

E. TENURE

Wagner, Lars M., College of Medicine, from Professor* (without tenure), Pediatrics, to Professor* (with tenure), Pediatrics, effective 7/1/13.

Wang, Dongfang, College of Medicine, from Associate Professor (without tenure), Surgery, to Associate Professor (with tenure), Surgery, effective 7/1/13.

F. PROMOTIONS

Anaya, Paul, College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.

Bailey, Alison L., College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.

Butterfield, Timothy A., College of Health Sciences, from Assistant Professor, Rehabilitation Sciences, to Associate Professor (with tenure), Rehabilitation Sciences, effective 3/1/13.

Callahan, Leigh Ann, College of Medicine, from Associate Professor (without tenure), Internal Medicine, to Professor (with tenure), Internal Medicine, effective 7/1/13.

Creamer, Trevor P., College of Medicine, from Associate Professor (with tenure), Molecular and Cellular Biochemistry, to Professor (with tenure), Molecular and Cellular Biochemistry, effective 7/1/13.

Davenport, Daniel L., College of Medicine, from Assistant Professor*, Surgery, and joint appointment as Assistant Professor*, Management, College of Business and Economics, to Associate Professor* (with tenure), Surgery, effective 7/1/13.

de Leeuw, Reny, College of Dentistry, from Associate Professor (with tenure), Oral Health Science, to Professor (with tenure), Oral Health Science, effective 7/1/13.

Dearinger, Angela T., College of Medicine, from Clinical Assistant Professor, Internal Medicine, and joint appointment as Clinical Assistant Professor, Health Services Management, College of Public Health, to Clinical Associate Professor, Internal Medicine, and joint appointment as Clinical Associate Professor, Health Services Management, College of Public Health, 7/1/13 through 6/30/14.

Deep, Kristy S., College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.

Gentry, Matthew S., College of Medicine, from Assistant Professor, Molecular and Cellular Biochemistry, to Associate Professor (with tenure), Molecular and Cellular Biochemistry, effective 7/1/13.

Grondin, Richard C., College of Medicine, from Assistant Professor, Anatomy and Neurobiology, to Associate Professor (with tenure), Anatomy and Neurobiology, effective 7/1/13.

Guo, Zhenheng, College of Medicine, from Assistant Professor, Internal Medicine, and joint appointment as Assistant Professor, Physiology, to Associate Professor (with tenure), Internal Medicine, and joint appointment as Associate Professor (without tenure), Physiology, effective 7/1/13.

Minion, David J., College of Medicine, from Associate Professor* (with tenure), Surgery, to Professor* (with tenure), Surgery, effective 7/1/13.

Morehead, Richard S., College of Medicine, from Associate Professor* (with tenure), Internal Medicine, and joint appointment as Associate Professor* (without tenure), Physical Medicine and Rehabilitation, to Professor* (with tenure), Internal Medicine, and joint appointment as Associate Professor* (without tenure), Physical Medicine and Rehabilitation, effective 7/1/13.

O'Connor, Kathleen L., College of Medicine, from Associate Professor (with tenure), Molecular and Cellular Biochemistry, to Professor (with tenure), Molecular and Cellular Biochemistry, effective 7/1/13.

Rosenthal, M. Sara, College of Medicine, from Clinical Associate Professor, Internal Medicine, and joint appointments as Clinical Associate Professor, Behavioral Science and Clinical Associate Professor, Pediatrics, to Clinical Professor, Internal Medicine, and joint appointments as Clinical Professor, Behavioral Science and Clinical Professor, Pediatrics, 7/1/13 through 6/30/14.

Sargent, Charles G., College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Clinical Associate Professor, Internal Medicine, 7/1/13 through 6/30/14.

Sinai, Anthony P., College of Medicine, from Associate Professor (with tenure), Microbiology, Immunology and Molecular Genetics, to Professor (with tenure), Microbiology, Immunology and Molecular Genetics, effective 7/1/13.

Sorial, Ehab S., College of Medicine, from Clinical Assistant Professor, Surgery, to Clinical Associate Professor, Surgery, 7/1/13 through 6/30/14.

Stadler, Laura P., College of Medicine, from Clinical Assistant Professor, Pediatrics, to Clinical Associate Professor, Pediatrics, 7/1/13 through 6/30/14.

Thornton, Alice C., College of Medicine, from Associate Professor* (with tenure), Internal Medicine, and joint appointment as Associate Professor* (without tenure), Epidemiology, College of Public Health, to Professor* (with tenure), Internal Medicine, and joint appointment as Associate Professor* (without tenure), Epidemiology, College of Public Health, effective 7/1/13.

Vander Kooi, Craig W., College of Medicine, from Assistant Professor, Molecular and Cellular Biochemistry, to Associate Professor (with tenure), Molecular and Cellular Biochemistry, effective 7/1/13.

G. CHANGES

Chappell, Joseph, from Professor (with tenure), Plant and Soil Sciences, College of Agriculture, Food and Environment, and joint appointment as Professor (without tenure), Molecular and Cellular Biochemistry, College of Medicine, to Professor (with tenure), Pharmaceutical Sciences, College of Pharmacy, and joint appointment as Professor (without tenure), Molecular and Cellular Biochemistry, College of Medicine, effective 5/15/13.

*Special Title Series

Chau, Destiny F., College of Medicine, from Clinical Associate Professor, Anesthesiology, to Associate Professor (part-time), Anesthesiology, 8/1/13 through 6/30/14.

Grider, Jay S., College of Medicine, from Associate Professor (part-time), Anesthesiology, to Clinical Associate Professor, Anesthesiology, 7/1/13 through 6/30/14.

Huang, Michael H., College of Medicine, from Clinical Associate Professor, Internal Medicine, to Clinical Associate Professor, Family and Community Medicine, 7/1/13 through 12/2/13.

Makhoul, Majd, College of Medicine, Clinical Assistant Professor, Pediatrics, from 7/1/13 through 6/30/14, to 8/1/13 through 6/30/14.

Spence, Patric R., College of Communication and Information, from Associate Professor (without tenure), Communication, to Associate Professor (without tenure), Library and Information Science, 7/1/13 through 6/30/14.

H. TERMINAL REAPPOINTMENTS

Casey, Baretta R., College of Public Health, Clinical Professor, Health Behavior, and joint appointments as Clinical Professor, Family and Community Medicine, College of Medicine and Clinical Professor, Preventive Medicine and Environmental Health and Clinical Professor, Health Services Management, 7/1/13 through 6/30/14.

Hedman, Thomas P., College of Engineering, Associate Research Professor, Biomedical Engineering, and joint appointment as Associate Research Professor, Neurosurgery, College of Medicine, 7/1/13 through 10/31/13.

Li, Tianxiang, College of Engineering, Associate Research Professor, Mechanical Engineering, 7/1/13 through 12/31/13.

Stone, Ramona F., College of Public Health, Associate Research Professor, Health Behavior, 7/1/13 through 6/30/14.

Wang, Dongfang, College of Medicine, Associate Professor, Surgery, 6/1/13 through 5/31/14.

I. RETIREMENTS

Birchfield, James D., Libraries, Librarian I (with tenure), after 33 years and 8 months of consecutive service, under AR 3:1, Section VIII.B, effective 10/2/13.

Boling, James A., College of Agriculture, Food and Environment, Professor (with tenure), Animal and Food Sciences, after 46 years and 1 month of consecutive service, under AR 3:1, Section VIII.B, effective 10/15/13.

Brumlow, Carol S., Extension Staff Assistant, Extension Field Programs, after 8 years and 6 months of consecutive service, under AR 3:1, Section VIII.B, effective 8/2/13.

Brunn, Isabel D., Libraries, Library Technician Senior, after 32 years and 7 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/2/13.

Cohen, William, College of Arts and Sciences, Associate Professor (with tenure), Biology, after 35 years and 11 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/13.

*Special Title Series

Cowan, Ann M., Information Technology, Programmer Systems Analyst Senior, Enterprise Applications Group, after 15 years of consecutive service, under AR 3:1, Section VIII.B, effective 9/3/13.

Hetzel, Allan D., Information Technology, Operational Facilities Analyst III, IT Infrastructure Operations Group, after 39 years and 2 months of consecutive service, under AR 3:1, Section VIII.B, effective 10/2/13.

Keeton, Deloris Sue, College of Agriculture, Extension Staff Assistant, Extension Field Programs, after 23 years and 11 months, effective 6/28/13.

Kohler, Heinz, College of Medicine, Professor (with tenure), Microbiology, Immunology and Molecular Genetics, after 20 years and 6 months of consecutive service, under AR 3:1, Section VIII.B, effective 7/31/13.

Loh, Fang K., College of Medicine, Associate Professor* (with tenure), Radiology, after 33 years of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/13.

McCormick, Frank B., Information Technology, Computer Facility Services Manager Assistant, IT Infrastructure Operations Group, after 34 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 8/8/13.

Oeltgen, Peter R., College of Medicine, Professor (with tenure), Pathology and Laboratory Medicine, and joint appointment as Professor (without tenure), Graduate Center for Toxicology, after 31 years and 8 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/13.

Robbins, Lynn W., College of Agriculture, Food and Environment, Professor (with tenure), Agricultural Economics, after 24 years and 10 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/6/13.

Sears, Lueva, College of Agriculture, Program Assistant, Family and Consumer Sciences, after 26 years and 5 months of consecutive service, under AR 3:1, Section VIII.B, effective 7/18/13.

Seybert, Andrew F., College of Engineering, Professor (with tenure), Mechanical Engineering, after 33 years and 11 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/13.

Spaeth, A. David Jr., College of Agriculture, Research Farm Technician I, Eden Shale Farm, after 8 years and 2 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/11/13.

Stempel, John D., Graduate School, Professor (with tenure), Patterson School of Diplomacy and International Commerce, after 23 years and 11 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/13.

Stith, Janet B., Libraries, Librarian I (with tenure), after 42 years and 2 months of consecutive service, under AR 3:1, Section VIII.B, effective 8/2/13.

Tibe, John, Information Technology, Information Management Director, IT Infrastructure Operations Group, after 14 years and 8 months of consecutive service, under AR 3:1, Section VIII.B, effective 7/17/13.

Vance, Jane, College of Arts and Sciences, Professor (with tenure), English, after 38 years and 3 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/13.

J. EARLY RETIREMENTS

- Badagliacco, Joanna, College of Arts and Sciences, Associate Professor* (with tenure), Sociology, after 20 years of consecutive service, under AR 3:1, Section VIII.A, effective 7/5/13.
- Baker, Doris J., College of Health Sciences, Professor* (with tenure), Clinical Sciences, after 16 years and 10 months of consecutive service, under AR 3:1, Section VIII.A, effective 6/30/13.
- Beach, Carol M., College of Medicine, Scientist II, Molecular and Cellular Biochemistry, after 26 years and 7 months of consecutive service, under AR 3:1, Section VIII.A, effective 6/30/13.
- Black, David R., College of Agriculture, Carpenter III, Engineering Division, after 19 years and 6 months of consecutive service, under AR 3:1, Section VIII.A, effective 5/15/13.
- Caldwell, Eugene, College of Agriculture, Research Farm Technician II, Eden Shale Farm, after 38 years and 1 month of consecutive service, under AR 3:1, Section VIII.A, effective 7/4/13.
- Colligan, Patrick J., College of Agriculture, Research Farm Technician I, Eden Shale Farm, after 34 years and 3 months of consecutive service, under AR 3:1, Section VIII.A, effective 7/4/13.
- Colligan, Thomas P., College of Agriculture, Research Farm Technician II, Eden Shale Farm, after 27 years and 6 months of consecutive service, under AR 3:1, Section VIII.A, effective 9/17/13.
- Craddock, Susan, College of Medicine, Research Analyst Principal, Sanders-Brown Center on Aging, after 23 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 9/5/13.
- Green, Richard C., College of Agriculture, Laboratory Technician Senior, Plant and Soil Sciences, after 36 years and 11 months of consecutive service, under AR 3:1, Section VIII.A, effective 9/13/13.
- Hardison, James D., College of Dentistry, Professor* (with tenure), Oral Health Science, and Enterprise Applications Group Director, Enterprise Applications Group, Information Technology, after 26 years and 11 months of consecutive service, under AR 3:1, Section VIII.A, effective 6/28/13.
- Miller, Pamela K., Student Affairs, Administrative Support Associate I, Student Financial Aid, after 25 years and 3 months of consecutive service, under AR 3:1, Section VIII.A, effective 7/26/13.
- Scahill, Kathleen O., College of Agriculture, Administrative Support Associate I, Horticulture, after 37 years and 7 months of consecutive service, under AR 3:1, Section VIII.A, effective 9/6/13.
- Simpson, Kathi J., College of Nursing, Nurse Practitioner Specialty, after 18 years and 2 months of consecutive service, under AR 3:1, Section VIII.A, effective 7/31/13.
- Yates, J.W., College of Education, Associate Professor (with tenure), Kinesiology and Health Promotion, and joint appointments as Associate Professor (without tenure), Graduate Center for Nutritional Sciences, College of Medicine, and Associate Professor (without tenure), Gerontology, College of Public Health, after 17 years and 5 months of consecutive service, under AR 3:1, Section VIII.A, effective 6/30/13.

*Special Title Series

K. POST-RETIREMENT APPOINTMENTS

- Abadi, Behruz J., College of Dentistry, Associate Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.
- Allen, David, College of Engineering, Engineer Associate Program Manager (part-time), Kentucky Transportation Center, 7/1/13 through 6/30/14.
- Baker, Doris J., College of Health Sciences, Professor (part-time), Clinical Sciences, 7/1/13 through 6/30/14.
- Buckner, Elizabeth, College of Agriculture, Agriculture Extension Specialist Administrator (part-time), Cooperative Extension Service, 10/1/13 through 9/30/14.
- Carrigan, Dennis P., College of Communication and Information, Instructor (part-time), Library and Information Science, 7/1/13 through 6/30/14.
- Clayton, Richard R., College of Public Health, Professor (part-time), Health Behavior, 7/4/13 through 6/30/14.
- Colclough, George W., College of Medicine, Associate Professor (part-time), Anesthesiology, 7/1/13 through 6/30/14.
- Crouch, Sally J., College of Engineering, Administrative Coordinator (part-time), Kentucky Transportation Center, 7/1/13 through 6/30/14.
- Ewing, Jack, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 1/8/14 through 1/7/15.
- Fosson, Abe R., College of Medicine, Professor (part-time), Pediatrics, and joint appointment as Professor (part-time), Psychiatry, 7/1/13 through 6/30/14.
- Friedell, Gilbert H., College of Medicine, Professor (part-time), Behavioral Science, 7/1/13 through 6/30/14.
- Guskey, Thomas R., College of Education, Professor (part-time), Educational, School, and Counseling Psychology, 7/1/13 through 6/30/14.
- Hahn, Ottfried J., College of Engineering, Professor (part-time), Mechanical Engineering, 7/1/13 through 6/30/14.
- Knapp, Charles F., College of Engineering, Professor (part-time), Biomedical Engineering, 7/1/13 through 6/30/14.
- Koester, David, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 11/7/13 through 11/6/14.
- LeVeque, Paul, College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 7/1/13 through 6/30/14.
- Long, Joy, College of Agriculture, Administrative Staff Officer (part-time), Agriculture Administration, 7/1/13 through 6/30/14.
- Lucas, Bruce A., College of Medicine, Professor (part-time), Surgery, 7/1/13 through 6/30/14.
- Meade, Bobby, College of Engineering, Temp Tech/Paraprofessional (part-time), Kentucky Transportation Center, 7/1/13 through 6/30/14.
- Minch, Connie, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 10/4/13 through 10/3/14.
- Moore, Louise, College of Agriculture, Extension District Director (part-time), Cooperative Extension Service, 11/7/13 through 11/6/14.

*Special Title Series

Nahum, Daniel D., College of Medicine, Associate Professor (part-time), Psychiatry, from 7/1/13 through 6/30/14, to 7/2/13 through 6/30/14.

Pyles, Michael, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 11/6/13 through 11/5/14.

Reitenour, Richard, College of Engineering, Transportation Technician (part-time), Kentucky Transportation Center, 7/1/13 through 6/30/14.

Robbins, Lynn W., College of Agriculture, Food and Environment, Professor (part-time), Agricultural Economics, 9/10/13 through 9/9/14.

Sparrow, Roger, College of Agriculture, Extension District Director (part-time), Cooperative Extension Service, 11/4/13 through 11/3/14.

Strohmeier, Kim D., College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 11/4/13 through 2/3/14.

Thomas, Charles A., College of Dentistry, Associate Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Thompson, Martha, College of Agriculture, Extension Personnel Director (part-time), Cooperative Extension Service, 11/6/13 through 11/5/14.

Vantubergen, G. N., College of Communication and Information, Associate Professor (part-time), Communication, 7/1/13 through 6/30/14.

White, Dean K., College of Dentistry, Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Ackerman, Kera, College of Education, Lecturer, Early Childhood, Special Education, and Rehabilitation Counseling, 8/16/13 through 6/30/14.

Adler, Melissa A., College of Communication and Information, Assistant Professor, Library and Information Science, 8/1/13 through 6/30/14.

Allen, Leonard F., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 5/1/13 through 6/30/16.

Annabathula, Jagan M., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 6/1/13 through 6/30/16.

Appakondur, Srinivasa R., College of Medicine, Assistant Adjunct Professor, Internal Medicine, 7/1/13 through 6/30/14.

Arya, Sampurna N., College of Engineering, Instructor (part-time), Mining Engineering, 6/1/13 through 8/5/13.

Babalonis, Shanna L., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/13 through 6/30/14.

Baber, Katherine A., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/13 through 6/30/16.

Barnhill, Suzanne, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/10/13 through 6/30/16.

Baron, Andre T., College of Public Health, Assistant Professor (voluntary), Epidemiology, 7/1/13 through 6/30/14.

Bebout, William B., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 5/1/13 through 6/30/16.

*Special Title Series

Bell, Mary M., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/13 through 6/30/14.

Biebel, Elizabeth P., College of Arts and Sciences, Instructor (part-time), Sociology, 6/6/13 through 8/1/13.

Bollinger, Lance, College of Education, Assistant Professor, Kinesiology and Health Promotion, 1/1/14 through 6/30/14.

Boss, Renee J., College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/13 through 6/30/14.

Botero, Isabel C., College of Business and Economics, Instructor (part-time), Management, 8/16/13 through 12/30/13, and Instructor (part-time), Library and Information Science, College of Communication and Information, 8/16/13 through 6/30/14.

Brown, Laura R., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/16/13 through 6/30/14.

Burns, Christopher S., College of Communication and Information, Assistant Professor, Library and Information Science, 8/1/13 through 6/30/14.

Bushnell, Emily F., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/16/13 through 6/30/14.

Cahill, Maria C., College of Communication and Information, Assistant Professor, Library and Information Science, and joint appointment as Assistant Professor, Educational Leadership Studies, College of Education, 8/1/13 through 6/30/14.

Campbell, Lisa, College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 6/1/13 through 6/30/16.

Cardenas, Jose A., College of Medicine, Assistant Professor (voluntary), Neurology, 5/1/13 through 6/30/16.

Chiles, Jennifer, College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 6/1/13 through 6/30/16.

Christian, Warren J., College of Public Health, Assistant Professor (part-time), Epidemiology, 8/1/13 through 6/30/14.

Cochell, Thomas J., College of Engineering, Lecturer, Chemical and Materials Engineering, 8/1/13 through 6/30/15.

Cole, Glenda, College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 6/1/13 through 6/30/16.

Cox, John J., College of Agriculture, Food and Environment, Assistant Professor, Forestry, 7/1/13 through 6/30/14.

Dadi, Gabriel, College of Engineering, Assistant Professor, Civil Engineering, 8/1/13 through 6/30/15.

Day, Jill, College of Education, Lecturer, Kinesiology and Health Promotion, 8/16/13 through 6/30/14.

Dodd, Luke E., College of Agriculture, Instructor (part-time), Entomology, 6/6/13 through 8/1/13.

Dorroh, Colby P., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 6/1/13 through 6/30/16.

Eddington, Samantha D., College of Nursing, Instructor (part-time), 8/1/13 through 6/30/14.

Ferguson, Lance S., College of Medicine, Assistant Professor (voluntary), Ophthalmology and Visual Sciences, 5/1/13 through 6/30/16.

*Special Title Series

Findlay, Lillian J., College of Nursing, Assistant Professor*, 8/1/13 through 6/30/14.

Fisher, Sycarah, College of Education, Assistant Professor, Educational, School, and Counseling Psychology, 8/16/13 through 6/30/14.

Fuchs, Beth, Libraries, Librarian III, 7/1/13 through 6/30/14.

Gibson, Marcus A., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/13 through 6/30/16.

Goble, Rondal E., College of Medicine, Instructor (voluntary), Family and Community Medicine, 5/1/13 through 6/30/16.

Goldberg, Amit, College of Medicine, Assistant Adjunct Professor, Internal Medicine, 7/15/13 through 6/30/14.

Goss, Clifford R., College of Design, Instructor (temporary), Interior Design, 7/1/13 through 6/30/14.

Gray, Adam J., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Gray, Anita A., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/13 through 6/30/16.

Gray, Larry W., College of Public Health, Instructor (part-time), Health Services Management, 7/1/13 through 6/30/14.

Green, Ashley A., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 5/1/13 through 6/30/16.

Harris, Vernetta L., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 6/1/13 through 6/30/16.

Hasan, Iquebal, College of Dentistry, Assistant Professor*, Oral Health Practice, 8/1/13 through 6/30/14.

Henry, Richard F., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/13 through 6/30/16.

Herman, Larry M., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/13 through 6/30/16.

Hiler, Carol, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 1/1/13 through 6/30/13.

Hill, DaMaris B., College of Arts and Sciences, Assistant Professor, English, 8/16/13 through 6/30/15.

Horn, Charles J., College of Arts and Sciences, Instructor (part-time), Philosophy, 6/6/13 through 8/1/13.

Hunter, Alyssa A., College of Medicine, Instructor (voluntary), Family and Community Medicine, 2/1/13 through 6/30/16.

Irvin, Michelle A., College of Nursing, Instructor (part-time), 8/1/13 through 6/30/14.

Irving, Shelley, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 6/1/13 through 6/30/16.

Johnson, Crystal D., College of Medicine, Assistant Professor (voluntary), Pediatrics, 6/1/13 through 6/30/16.

Johnson, Lewis J., College of Medicine, Clinical Instructor, Surgery, 7/1/13 through 6/30/14.

Jones, LeTonia A., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Kaufman, Erin L., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/13 through 6/30/16.

*Special Title Series

Keenan, Nicole F., College of Arts and Sciences, Adjunct Instructor, Dean's Office, 6/6/13 through 8/1/13.

Kim, Eun Young, College of Design, Instructor (temporary), Interior Design, 7/1/13 through 6/30/14.

Kim, Youngseek, College of Communication and Information, Assistant Professor, Library and Information Science, 8/1/13 through 6/30/14.

Kinsey, William R., College of Arts and Sciences, Instructor, Military Science and Leadership, 6/3/13 through 6/30/17.

Knott, Carrie A., College of Agriculture, Food and Environment, Assistant Extension Professor, Plant and Soil Sciences, 8/1/13 through 6/30/14.

Knott, Cheryl A., College of Communication and Information, Instructor (part-time), Library and Information Science, 6/6/13 through 8/1/13.

Kochis, Lisa A., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Kouper, Inna, College of Communication and Information, Instructor (part-time), Library and Information Science, 6/6/13 through 8/1/13.

Kwakye, Chamara J., College of Arts and Sciences, Assistant Professor, Gender and Women's Studies, 8/16/13 through 6/30/15.

Lambirth, Shea P., College of Health Sciences, Assistant Professor (part-time), Clinical Sciences, 8/1/13 through 6/30/14.

LeBeaux, Victoria S., College of Agriculture, Food and Environment, Instructor (part-time), Horticulture, 8/15/13 through 12/31/13.

Lefringhouse, Jason R., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/13 through 6/30/14.

LeRoy, Leslie, College of Communication and Information, Instructor (part-time), Library and Information Science, 8/16/13 through 6/30/14.

Lewellen, Thomas L., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 6/1/13 through 6/30/16.

Li, Wei, College of Engineering, Assistant Professor, Mechanical Engineering, 8/1/13 through 6/30/15.

Lile, Joanna P., College of Arts and Sciences, Instructor (part-time), History, 6/6/13 through 8/1/13.

Lima, Florence, College of Medicine, Assistant Research Professor, Internal Medicine, 8/1/13 through 6/30/14.

Martin, Kimberly A., College of Design, Instructor (temporary), Interior Design, 7/1/13 through 6/30/14.

Massey, Nathan H., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/1/13 through 6/30/16.

Mateer, G. D., College of Business and Economics, Senior Lecturer, Economics, 7/1/13 through 6/30/16.

McGladrey, Margaret L., College of Public Health, Adjunct Instructor, Health Behavior, 7/1/13 through 6/30/14.

McGlue, Michael M., College of Arts and Sciences, Assistant Professor, Earth and Environmental Sciences, 8/16/13 through 6/30/15.

McNealy, Jasmine E., College of Communication and Information, Assistant Professor, Library and Information Science, 8/1/13 through 6/30/14.

*Special Title Series

Meints, Laura, College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/13 through 6/30/14.

Melcher, Susan M., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/16/13 through 6/30/14.

Milo, Jackie P., College of Medicine, Assistant Professor (voluntary), Pediatrics, 6/1/13 through 6/30/16.

Nagaoka, Hiroko, College of Dentistry, Assistant Professor*, Oral Health Practice, 8/1/13 through 6/30/14.

Nkulu, Kiluba L., College of Education, Instructor (part-time), Educational Policy Studies and Evaluation, 7/1/13 through 6/30/14.

Pavkovich, Ronald K., College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 8/1/13 through 6/30/16.

Pedescleaux, Jonell M., College of Education, Lecturer, Kinesiology and Health Promotion, 8/16/13 through 6/30/14.

Pennell, Kelly G., College of Engineering, Assistant Professor, Civil Engineering, 8/1/13 through 6/30/15.

Phillips, Lynn R., College of Arts and Sciences, Assistant Professor*, Geography, 7/1/13 through 6/30/15.

Piercey, Raven R., College of Medicine, Lecturer, Behavioral Science, 7/1/13 through 6/30/14.

Podapati, Nageswara R., College of Medicine, Assistant Adjunct Professor, Internal Medicine, 7/1/13 through 6/30/14.

Pope, Natalie D., College of Social Work, Assistant Professor, 7/1/13 through 6/30/14.

Powell, David K., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 7/1/13 through 6/30/14.

Quinlan, Stephanie, College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/13 through 6/30/14.

Rapchak, Marcia E., College of Communication and Information, Instructor (part-time), Library and Information Science, 1/1/13 through 6/30/13.

Rawashdeh, Samir A., College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 7/1/13 through 6/30/14.

Ridolfo, James P., College of Arts and Sciences, Assistant Professor, English, 8/16/13 through 6/30/15.

Rivers, Ishwanzya D., College of Education, Lecturer, Educational Policy Studies and Evaluation, 8/16/13 through 6/30/14.

Rizzo, James P., College of Medicine, Assistant Professor (part-time), Pathology and Laboratory Medicine, 7/1/13 through 6/30/14.

Robinson, William E., College of Medicine, Instructor (voluntary), Family and Community Medicine, 5/1/13 through 6/30/16.

Sass, Christopher K., College of Agriculture, Food and Environment, Assistant Professor, Landscape Architecture, 7/15/13 through 6/30/14.

Scheidt, Lois A., College of Communication and Information, Instructor (part-time), Library and Information Science, 6/6/13 through 8/1/13.

Schindler, Stephen P., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 4/1/13 through 6/30/16.

Seales, Pamela, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/13 through 6/30/14.

*Special Title Series

Sharma, Manish, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 5/1/13 through 6/30/16.

Shay, Karen E., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/13 through 6/30/16.

Shouse, Donna K., College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/13 through 6/30/13.

Silva Castro, Jhon J., College of Engineering, Assistant Professor, Mining Engineering, 8/1/13 through 6/30/15.

Singh, Shanteri, College of Pharmacy, Assistant Research Professor, Pharmaceutical Sciences, 8/1/12 through 6/30/13.

Sleight, James F., College of Arts and Sciences, Instructor (part-time), Dean's Office, 6/6/13 through 8/1/13.

Smith, Benjamin E., College of Medicine, Assistant Professor (part-time), Anesthesiology, 9/1/13 through 6/30/14.

Smith, Graham G., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 5/1/13 through 6/30/16.

Smith, Lauren B., College of Nursing, Instructor (part-time), 8/1/13 through 6/30/14.

Sobolewski, Brad, College of Medicine, Assistant Professor (voluntary), Pediatrics, 6/1/13 through 6/30/16.

Stambaugh, James, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/13 through 6/30/16.

Stephens, Adam, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/13 through 6/30/13.

Stevenson, Erin, College of Education, Clinical Assistant Professor, Educational Policy Studies and Evaluation, 8/16/13 through 6/30/14.

Strode, Darryl, College of Agriculture, Food and Environment, Assistant Adjunct Professor, Community and Leadership Development, 7/1/13 through 6/30/14.

Tanner, Michael L., College of Arts and Sciences, Instructor, Military Science and Leadership, 6/24/13 through 6/30/17.

Tilton, Shane M., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/16/13 through 6/30/14.

Tsikerdekis, Michail, College of Communication and Information, Assistant Professor, Library and Information Science, 8/1/13 through 6/30/14.

Turner-Pryor, Mika L., College of Agriculture, Food and Environment, Instructor (part-time), Retailing and Tourism Management, 8/15/13 through 12/31/13.

Tyler, Quentin R., College of Agriculture, Food and Environment, Assistant Adjunct Professor, Community and Leadership Development, 7/1/13 through 6/30/14.

Ubelhor, Anthony E., College of Communication and Information, Instructor (part-time), Library and Information Science, 6/6/13 through 8/1/13.

Upreti, Meenakshi, College of Pharmacy, Assistant Research Professor, Pharmaceutical Sciences, and joint appointment as Assistant Research Professor, Epidemiology, College of Public Health, 9/14/12 through 6/30/14.

Veeraraghavan, Priva, College of Medicine, Instructor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Walinsky, Daniel, College of Education, Lecturer, Educational, School, and Counseling Psychology, 8/16/13 through 6/30/14.

Walker, John A., College of Agriculture, Food and Environment, Instructor (part-time), Veterinary Science, 8/15/13 through 12/31/13.

Wallace, Brian, College of Education, Lecturer, Kinesiology and Health Promotion, 8/16/13 through 6/30/14.

Waters, Susan R., College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 7/1/13 through 6/30/16.

Wilson, Kenneth, College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Wright, Samantha J., College of Engineering, Lecturer, Civil Engineering, 8/1/13 through 6/30/14.

Yalamanchi, Vidya B., College of Medicine, Assistant Adjunct Professor, Internal Medicine, 7/1/13 through 6/30/14.

Yeary, Paul E., College of Arts and Sciences, Instructor (part-time), Chemistry, 6/6/13 through 8/1/13.

B. ADMINISTRATIVE APPOINTMENTS

Andrade, Francisco H., College of Medicine, Professor (with tenure), Physiology, appointed Interim Chair, Physiology, 7/1/13 through 6/30/14.

Brewer, Lee Ann, College of Medicine, Advanced Practice Provider I, Family and Community Medicine, effective 5/6/13.

Chappell, Joseph, College of Pharmacy, Professor (with tenure), Pharmaceutical Sciences, and joint appointment as Professor (without tenure), Molecular and Cellular Biochemistry, College of Medicine, appointed Interim Chair, Pharmaceutical Sciences, 5/15/13 through 6/30/13.

Gray, Harold R., College of Fine Arts, Professor* (with tenure), Music, reappointed Interim Director, Music, 7/1/13 through 6/30/14.

Harrington, Bradley, College of Arts and Sciences, Department Academic Administration Director, effective 6/17/13.

Hattle, William Z., College of Medicine, Nurse Anesthetist, Anesthesiology, effective 6/17/13.

Henry, Laurie, College of Education, Associate Professor (with tenure), Curriculum and Instruction, appointed Interim Chair, Curriculum and Instruction, 7/1/13 through 6/30/14.

Horn, Jamie, College of Pharmacy, Scientist III, Pharmaceutical Sciences, effective 6/27/13.

Howard, Patricia B., College of Nursing, Professor (with tenure), reappointed Interim Dean, College of Nursing, 7/1/13 through 6/30/14.

Jensen, Robert W., College of Fine Arts, Associate Professor (with tenure), Art and Visual Studies, reappointed Interim Chair, Arts and Visual Studies, 7/1/13 through 6/30/14.

Johnson, Andrew, Information Technology, Data Scientist II, Business Intelligence Enterprise Reporting, effective 6/1/13.

Jones, David, College of Medicine, Advanced Practice Provider III, Surgery, effective 7/1/13.

Kerr, Mary T., College of Medicine, Advanced Practice Provider II, Internal Medicine, effective 6/3/13.

*Special Title Series

Miller, Mark A., College of Medicine, Nurse Anesthetist, Anesthesiology, effective 8/12/13.

Steele, Crystal L., College of Medicine, Nurse Anesthetist, Anesthesiology, effective 6/3/13.

Stewart, Sharon R., College of Health Sciences, Professor* (with tenure), Rehabilitation Sciences, reappointed Interim Dean, College of Health Sciences, 7/1/13 through 6/30/14.

Walker, Lindsay, College of Medicine, Audiologist, Otolaryngology-Head and Neck Surgery, effective 6/3/13.

Wang, Shuxia, College of Medicine, Associate Professor (with tenure), Graduate Center for Nutritional Sciences, reappointed Interim Director, Graduate Center for Nutritional Sciences, 7/1/13 through 12/31/13.

Weaver, Kelly, College of Medicine, Advanced Practice Provider II, Internal Medicine, effective 7/15/13.

C. JOINT APPOINTMENTS

Hereford, James M., College of Engineering, Assistant Professor, Physics and Engineering Physics, joint appointment as Assistant Professor, Electrical and Computer Engineering, College of Engineering, 7/1/13 through 6/30/14.

Liu, S. Sarah, College of Engineering, Assistant Professor, Science and Mathematics, West Kentucky Community and Technical College, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/13 through 6/30/14.

Rogers, James W., College of Engineering, Assistant Professor, Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/13 through 6/30/14.

D. REAPPOINTMENTS

Abboud, Joshua M., College of Arts and Sciences, Lecturer, English, 7/1/13 through 6/30/15.

Abisambra, Jose F., College of Medicine, Assistant Professor, Physiology, 7/1/13 through 6/30/14.

Adams, Charles D., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Arnold, Beth J., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Ashley, Jeffrey, College of Engineering, Lecturer, Electrical and Computer Engineering, 7/1/13 through 6/30/15.

Balk, Ilka M., College of Engineering, Instructor (part-time), Mechanical Engineering, 7/1/13 through 6/30/14.

Ballard-Croft, Cherry, College of Medicine, Assistant Research Professor, Surgery, 7/1/13 through 6/30/14.

Ballert, Erik Q., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

*Special Title Series

Ballert, Katie N., College of Medicine, Assistant Professor*, Surgery, 7/1/13 through 6/30/14.

Bartek, James M., College of Arts and Sciences, Instructor (part-time), History, 5/7/13 through 6/4/13.

Bazrgari, Babak, College of Engineering, Assistant Professor, Biomedical Engineering, 7/1/13 through 6/30/15.

Bennett, Stephanie L., College of Education, Lecturer, Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Bird-Pollan, Jennifer E., College of Law, Assistant Professor, 7/1/13 through 6/30/14.

Black, James E., College of Engineering, Assistant Adjunct Professor, Civil Engineering, 7/1/13 through 6/30/14.

Blackburn, Stephanie A., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Blackburn, Teena H., College of Arts and Sciences, Instructor (part-time), Philosophy, 6/6/13 through 8/1/13.

Bobadilla, Joseph L., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Bomford, Michael K., College of Agriculture, Food and Environment, Assistant Adjunct Professor, Horticulture, 7/1/13 through 6/30/14.

Bonzani, Renee M., College of Arts and Sciences, Lecturer, Anthropology, 7/1/13 through 6/30/15.

Bottiggi, Anthony J., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Branson, Shellie A., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.

Braun, Benjamin J., College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/13 through 8/15/14.

Breeding, Richard, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 6/30/14.

Brooks, Tina M., College of Law, Librarian IV, 7/1/13 through 6/30/15.

Burke, William F., College of Arts and Sciences, Instructor (part-time), Biology, 7/1/13 through 6/30/14.

Bylund, Jason R., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Byrd, Andrew M., College of Arts and Sciences, Lecturer, English, 7/1/13 through 6/30/15.

Causholli, Monika, College of Business and Economics, Assistant Professor, Accountancy, 7/1/13 through 6/30/14.

Cavatassi, William C., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Charalambakis, John E., Graduate School, Instructor (part-time), Patterson School of Diplomacy and International Commerce, 8/1/13 through 6/30/14.

Cheeks, Richard, College of Engineering, Assistant Adjunct Professor, Civil Engineering, 7/1/13 through 6/30/14.

Choi, Namjoo, College of Communication and Information, Assistant Professor, Library and Information Science, 7/1/13 through 6/30/14.

*Special Title Series

Clancy, Karen F., College of Public Health, Adjunct Instructor, Health Services Management, 7/1/13 through 6/30/14.

Clemens, Justin C., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Closson, Stacy R., Graduate School, Instructor (part-time), Patterson School of Diplomacy and International Commerce, 8/1/13 through 12/31/13.

Coffee, Melvin N., College of Communication and Information, Assistant Professor*, Journalism and Telecommunications, 7/1/13 through 6/30/14.

Corman, Elizabeth B., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Cox, Penny, Graduate School, Instructor (part-time), Martin School of Public Policy and Administration, 7/1/13 through 6/30/14.

Crabtree, Joseph D., College of Engineering, Assistant Adjunct Professor, Civil Engineering, 7/1/13 through 6/30/14.

Crouch, Stacey R., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Culp-Roche, Amanda D., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Daily, Michael F., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Davis, Stephen R., College of Arts and Sciences, Assistant Professor, History, 7/1/13 through 6/30/15.

Day, Tricia M., College of Agriculture, Food and Environment, Lecturer, Retailing and Tourism Management, 7/1/13 through 6/30/14.

Demos, Jon S., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Dolloff, Paul A., College of Engineering, Assistant Adjunct Professor, Electrical and Computer Engineering, 7/1/13 through 6/30/16.

Doss, Janet W., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Douglas, Joshua, College of Law, Assistant Professor, 7/1/13 through 6/30/14.

Dove, Ed, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 6/30/14.

Draus, John M., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Dunn, Judi C., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Eddens, Katherine S., College of Public Health, Assistant Professor, Health Behavior, 7/1/13 through 6/30/14.

Eggers, Derek C., College of Arts and Sciences, Adjunct Instructor, Dean's Office, 6/6/13 through 8/1/13.

Ellis, Steven L., College of Arts and Sciences, Adjunct Instructor, Physics and Astronomy, 6/6/13 through 8/1/13.

Elmo Jr., Sal, College of Business and Economics, Instructor (part-time), Management, 7/1/13 through 6/30/14.

Eslinger, Jessica G., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Filson, Anne E., College of Design, Assistant Professor, Architecture, 7/1/13 through 6/30/14.

*Special Title Series

Flanagan-Jessee, Allison, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 6/30/14.

Freadreacea, Christy L., College of Arts and Sciences, Instructor (part-time), Sociology, 6/6/13 through 8/1/13.

Frye, Brian L., College of Law, Assistant Professor, 7/1/13 through 6/30/14.

Galloway, Amy, College of Education, Instructor (part-time), Educational Leadership Studies, 7/1/13 through 6/30/14.

Gassaway, Linda, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 6/30/14.

Genovese, Michael E., College of Arts and Sciences, Assistant Professor, English, 7/1/13 through 6/30/15.

Ghasemi, Amirhossein, College of Engineering, Assistant Professor (part-time), Mechanical Engineering, 7/1/13 through 6/30/14.

Gilbert, Helga, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 6/30/14.

Gillen, Martie, College of Social Work, Instructor (part-time), and Instructor (part-time), Family Sciences, College of Agriculture, Food and Environment, 7/1/13 through 6/30/14.

Glazer, Edith C., College of Arts and Sciences, Assistant Professor, Chemistry, 7/1/13 through 6/30/15.

Goble, Christine F., College of Engineering, Lecturer, Mechanical Engineering, 7/1/13 through 6/30/14.

Graves, Ronnie C., College of Engineering, Instructor (part-time), Civil Engineering, 7/1/13 through 6/30/14.

Greenwell, Karen J., College of Law, Instructor (part-time), 7/1/13 through 6/30/14.

Greer, Sherri, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 6/30/14.

Grey, Chrystal Y., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/13 through 12/31/13.

Gunther, Denotra S., College of Business and Economics, Instructor (part-time), Management, 7/1/13 through 6/30/14.

Hale, Bonnie B., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Hammons, Jane C., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Hannemann, Regina, College of Engineering, Lecturer, Electrical and Computer Engineering, 7/1/13 through 6/30/15.

Hazelwood, Kristin J., College of Law, Clinical Assistant Professor, 7/1/13 through 6/30/14.

Heinonen, Alayna L., College of Arts and Sciences, Instructor (part-time), History, 5/7/13 through 6/4/13.

Henke, Melissa N., College of Law, Clinical Assistant Professor, 7/1/13 through 6/30/14.

Henninger, J. Thomas, College of Engineering, Lecturer, Mechanical Engineering, 7/1/13 through 6/30/14.

Hickman, Anne E., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Hobbs, Julie R., College of Fine Arts, Assistant Professor*, Music, 7/1/13 through 6/30/14.

Hourigan, Jon S., College of Medicine, Assistant Professor*, Surgery, 7/1/13 through 7/22/13.

*Special Title Series

Hubbard, Christy L., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Hudson, Cindy K., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Hutchison, Phillip, College of Communication and Information, Assistant Professor, Journalism and Telecommunications, 7/1/13 through 6/30/14.

Jahed, Peyman, College of Design, Instructor (part-time), Architecture, and Instructor (part-time), Civil Engineering, College of Engineering, 7/1/13 through 6/30/14.

Johnson, Nancy E., College of Public Health, Assistant Professor (part-time), Preventive Medicine and Environmental Health, 7/1/13 through 6/30/14.

Jones, Blake L., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Kaul, Ribhu, College of Arts and Sciences, Assistant Professor, Physics and Astronomy, 7/1/13 through 6/30/15.

Keen, Debby L., College of Engineering, Lecturer, Computer Science, 7/1/13 through 6/30/15.

Kim, Daehyun, College of Arts and Sciences, Assistant Professor, Geography, 7/1/13 through 6/30/15.

Kim, Doo Oak, Graduate School, Lecturer, Martin School of Public Policy and Administration, 7/1/13 through 6/30/14.

Kim, Yung Soo, College of Communication and Information, Assistant Professor, Journalism and Telecommunications, 7/1/13 through 6/30/14.

King, Diane E., College of Arts and Sciences, Assistant Professor, Anthropology, 7/1/13 through 8/15/14.

Kirk, Adam J., College of Engineering, Instructor (part-time), Civil Engineering, 7/1/13 through 6/30/14.

Kirn, John, College of Business and Economics, Instructor (part-time), Management, 8/16/13 through 12/30/13.

Kozik, Deborah J., College of Medicine, Clinical Assistant Professor, Surgery, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Kraft, Diane B., College of Law, Clinical Assistant Professor, 7/1/13 through 6/30/14.

Laub, Daniel, College of Business and Economics, Instructor (part-time), Economics, 8/16/13 through 12/30/13.

Lazzari, Lori W., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Lee, Cortney Y., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Lee, Donna, College of Education, Clinical Assistant Professor, Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 6/30/14.

Leukefeld, Sarabeth, College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Li, Jing, College of Medicine, Assistant Research Professor, Surgery, 7/1/13 through 6/30/14.

Liau, James Y., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Lineberry, Michelle J., College of Public Health, Adjunct Instructor, Health Services Management, 7/1/13 through 6/30/14.

Linton, Paul J., College of Engineering, Instructor (part-time), Computer Science, 7/1/13 through 6/30/14.

Lipka, Stephen M., College of Engineering, Assistant Adjunct Professor, Electrical and Computer Engineering, 7/1/13 through 6/30/14.

*Special Title Series

Lucas, Timothy K., College of Design, Instructor (part-time), Architecture, 7/1/13 through 6/30/14.

Maginnis, M. Abbot, College of Engineering, Instructor (part-time), Mechanical Engineering, 7/1/13 through 6/30/14.

Mallozzi, Christine, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/13 through 6/30/14.

Manno, Charlotte, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 6/30/14.

Martin, Jeremiah T., College of Medicine, Assistant Professor*, Surgery, 7/1/13 through 6/30/14.

McFadden, Steven Y., College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 7/1/13 through 6/30/14.

McIntosh, Tara N., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

McKay, Michael B., College of Design, Assistant Professor, Architecture, 7/1/13 through 6/30/14.

McKenzie, Shaun P., College of Medicine, Assistant Professor*, Surgery, 7/1/13 through 7/30/13.

McLaughlin, Allis R., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

McLay, Carol M., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

McSwain, Stephen B., College of Communication and Information, Instructor (part-time), Communication, 8/1/13 through 6/30/14.

Meng, R. C., College of Business and Economics, Instructor (part-time), Management, 7/1/13 through 6/30/14.

Moore, Melinda, College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Moore, Neil, College of Engineering, Instructor (part-time), Computer Science, 7/1/13 through 6/30/14.

Moore, Wilda E., College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 7/1/13 through 6/30/14.

Morford, Lorri A., College of Dentistry, Assistant Research Professor, Oral Health Science, 7/1/13 through 6/30/14.

Morris, Ann C., College of Arts and Sciences, Assistant Professor, Biology, 7/1/13 through 6/30/15.

Mundt, Patricia A., College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 6/30/14.

Musoni, Francis, College of Arts and Sciences, Assistant Professor, History, 7/1/13 through 6/30/15.

Musulin, Mike, College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 7/1/13 through 6/30/14.

Myrup, Erik L., College of Arts and Sciences, Assistant Professor, History, 7/1/13 through 6/30/15.

Napier, Robyn, College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Neathery, James K., College of Engineering, Assistant Adjunct Professor, Mechanical Engineering, 7/1/13 through 6/30/14.

O'Connell, Lenahan L., College of Engineering, Instructor (part-time), Civil Engineering, 7/1/13 through 6/30/14.

Oltmann, Shannon, College of Communication and Information, Assistant Professor, Library and Information Science, 7/1/13 through 6/30/14.

*Special Title Series

Ovall, Jeffrey S., College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/13 through 6/30/15.

Painter, Travis A., College of Health Sciences, Assistant Professor (part-time), Clinical Sciences, 7/1/13 through 6/30/14.

Patterson, Kelly R., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Paulose, Jiffin K., College of Arts and Sciences, Instructor (temporary), Biology, 7/1/13 through 5/15/14.

Paumi, Christian M., College of Medicine, Assistant Professor, Graduate Center for Toxicology, 7/1/13 through 4/14/14.

Payne, Gary, College of Business and Economics, Instructor (part-time), Management, 7/1/13 through 6/30/14.

Pergram, Nakisha L., College of Communication and Information, Instructor (part-time), Communication, 8/1/13 through 6/30/14.

Piowowski, Paul, College of Engineering, Lecturer, Computer Science, 7/1/13 through 6/30/15.

Poole, Patrick K., College of Engineering, Senior Lecturer, Mechanical Engineering, 7/1/13 through 6/30/16.

Preston, David M., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Purdy, Janice R., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Quandt, M. Denise, College of Medicine, Clinical Instructor, Behavioral Science, 7/1/13 through 6/30/14.

Quintero, Margaret, College of Education, Instructor (part-time), Educational Leadership Studies, 7/1/13 through 6/30/14.

Rapchak, Marcia E., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/13 through 6/30/14.

Rash, Timothy R., College of Design, Lecturer, Architecture, 7/1/13 through 6/30/15.

Ray, Robin, College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Reese, Daniel P., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/13 through 6/30/14.

Reeves, Courtney L., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Richardson, Amy E., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Rintamaa, Margaret, College of Education, Clinical Assistant Professor, Curriculum and Instruction, 7/1/13 through 6/30/14.

Roberts, Sophie B., College of Arts and Sciences, Assistant Professor, History, 7/1/13 through 6/30/15.

Robinson, Deirdra L., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Rockich, Anna K., College of Medicine, Assistant Professor (part-time), Surgery, and joint appointment as Assistant Professor (part-time), Pharmacy Practice and Science, College of Pharmacy, 7/1/13 through 6/30/14.

Rogers, Jackie B., College of Education, Clinical Assistant Professor, Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 5/15/14.

Rogers-Carpenter, Katherine L., College of Arts and Sciences, Lecturer, English, 7/1/13 through 6/30/15.

Rohrbacher, Gary P., College of Design, Assistant Professor, Architecture, 7/1/13 through 6/30/14.

*Special Title Series

Rose, Robert T., College of Design, Instructor (part-time), Historic Preservation, 7/1/13 through 6/30/14.

Rosen, Brenda, College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Rosenberg, Robert E., College of Arts and Sciences, Instructor (part-time), Chemistry, 6/6/13 through 8/1/13.

Ross, Michael D., College of Business and Economics, Instructor (part-time), Marketing and Supply Chain, 7/1/13 through 6/30/14.

Routt, Thalethia B., College of Law, Instructor (part-time), 7/1/13 through 6/30/14.

Runge, Franklin L., College of Law, Librarian IV, 7/1/13 through 6/30/15.

Rychahou, Piotr G., College of Medicine, Assistant Research Professor, Surgery, 7/1/13 through 6/30/14.

Savage, Matthew W., College of Communication and Information, Assistant Professor, Communication, 7/1/13 through 6/30/14.

Scalise, Brandy M., College of Arts and Sciences, Lecturer, English, 7/1/13 through 6/30/15.

Schelling, Christopher M., College of Business and Economics, Instructor (part-time), Finance and Quantitative Methods, 8/16/13 through 12/30/13.

Scott, Allison M., College of Communication and Information, Assistant Professor, Communication, 7/1/13 through 6/30/14.

Scroggin, Jason, College of Design, Assistant Professor, Architecture, 7/1/13 through 6/30/14.

Setser, Edward R., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Setters, Melissa, College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Shah, Malay B., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Shearer, Boyd L., College of Arts and Sciences, Instructor (part-time), Geography, and Instructor (part-time), Landscape Architecture, College of Agriculture, Food and Environment, 8/16/13 through 12/31/13.

Silman, Miriam S., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Sizemore, Edward A., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Skinner, Sean C., College of Medicine, Clinical Assistant Professor, Surgery, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/13 through 6/30/14.

Slone, Melissa, College of Social Work, Clinical Instructor, 7/1/13 through 6/30/14.

Smith, Michael D., College of Public Health, Assistant Adjunct Professor, Health Services Management, 7/1/13 through 6/30/14.

Sorrell, Shawn J., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Srinivasan, Madhusudan P., College of Arts and Sciences, Instructor (temporary), Biology, 7/1/13 through 6/30/14.

Stamatel, Janet P., College of Arts and Sciences, Assistant Professor, Sociology, 7/1/13 through 6/30/15.

Steele, Stephanie L., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Steenken, Beau B., College of Law, Librarian IV, 7/1/13 through 6/30/15.

Stevens, Nathan, College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 7/1/13 through 6/30/14.

*Special Title Series

Stewart, Miranda L., College of Communication and Information, Lecturer, Communication, 7/1/13 through 6/30/14.

Stivers, Teresa, College of Social Work, Clinical Instructor, 7/1/13 through 6/30/14.

Sturgill, Roy E., College of Engineering, Instructor (part-time), Civil Engineering, 7/1/13 through 6/30/14.

Summers, Martin R., College of Design, Assistant Professor, Architecture, 7/1/13 through 6/30/14.

Sunderam, Sridhar, College of Engineering, Assistant Professor, Biomedical Engineering, 7/1/13 through 6/30/15.

Takenaka, Akiko, College of Arts and Sciences, Assistant Professor, History, 7/1/13 through 6/30/15.

Talbert, Cheryl L., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Talley, Cynthia L., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Taylor, Timothy R., College of Engineering, Assistant Professor, Civil Engineering, 7/1/13 through 6/30/15.

Thacker, Jeremy W., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/13 through 6/30/14.

Thompson, Misty D., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/13 through 6/30/14.

Tucker, Brian K., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

Turley, David P., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/13 through 6/30/14.

Tyler, Aesha L., College of Education, Instructor (part-time), Educational, School, and Counseling Psychology, 7/1/13 through 6/30/14.

Urch, Kathleen, College of Communication and Information, Assistant Professor*, Journalism and Telecommunications, 7/1/13 through 6/30/14.

Valentin, Ryan A., College of Law, Librarian III, 7/1/13 through 12/31/13.

Walker, James R., College of Medicine, Assistant Professor (part-time), Behavioral Science, and joint appointments as Assistant Professor (part-time), Psychiatry and Assistant Professor (part-time), College of Social Work, 7/1/13 through 6/30/14.

Wang, Qingding, College of Medicine, Assistant Research Professor, Surgery, 7/1/13 through 6/30/14.

Ward Harris, Heather, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 6/30/14.

Wei, Qiou, College of Medicine, Assistant Professor, Graduate Center for Toxicology, 7/1/13 through 6/30/14.

Weisenfluh, Sherri, College of Social Work, Instructor (part-time), 6/6/13 through 8/1/13.

Wellman, Jerry L., College of Engineering, Instructor (part-time), Mechanical Engineering, 7/1/13 through 6/30/14.

Wells, Matthew V., College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, 7/1/13 through 6/30/15.

*Special Title Series

Wenk, Jonathan F., College of Engineering, Assistant Professor, Mechanical Engineering, and joint appointment as Assistant Professor, Surgery, College of Medicine, 7/1/13 through 6/30/15.

Wertzler, Jon F., College of Business and Economics, Instructor (part-time), Management, 8/16/13 through 12/30/13.

Whitaker, Melissa, College of Social Work, Clinical Instructor, 7/1/13 through 6/30/14.

Whiteman, Michael, College of Communication and Information, Instructor (part-time), Library and Information Science, 6/6/13 through 8/1/13.

Wilhelm, Jana S., College of Business and Economics, Instructor (part-time), Accountancy, 8/16/13 through 12/30/13.

Willey, Maria D., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Wilson, John K., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Wilson, Virginia C., Graduate School, Assistant Adjunct Professor, Martin School of Public Policy and Administration, 7/1/13 through 6/30/14.

Wise, George M., Graduate School, Instructor (part-time), Patterson School of Diplomacy and International Commerce, 8/1/13 through 6/30/14.

Woolverton, Sheryl W., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Wright, Nazera S., College of Arts and Sciences, Assistant Professor, English, 7/1/13 through 6/30/15.

Xiang, Lin, College of Arts and Sciences, Instructor (temporary), Biology, 7/1/13 through 6/30/14.

Xiong, Ying J., Graduate School, Instructor (part-time), Patterson School of Diplomacy and International Commerce, 8/1/13 through 6/30/14.

Yarberry, Tara L., College of Nursing, Instructor (part-time), 7/1/13 through 6/30/14.

Yu, Ning, College of Communication and Information, Assistant Professor, Library and Information Science, 7/1/13 through 6/30/14.

Zembrodt, James W., College of Public Health, Adjunct Instructor, Health Services Management, 7/1/13 through 6/30/14.

Zeps, Valdis, College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 6/6/13 through 8/1/13.

Zhang, Zhuo, College of Medicine, Assistant Professor, Graduate Center for Toxicology, 7/1/13 through 6/30/14.

Ziada, Ali M., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/13 through 6/30/14.

E. PROMOTIONS WITHOUT TENURE

Lee, Hui C., College of Fine Arts, from Lecturer, Art and Visual Studies, to Senior Lecturer, Art and Visual Studies, 7/1/13 through 6/30/16.

Loeffler, Diane N., College of Social Work, from Lecturer, to Senior Lecturer, 7/1/13 through 6/30/16.

VanCleave, Jeffrey T., College of Communication and Information, from Lecturer, Communication, to Senior Lecturer, Library and Information Science, 7/1/13 through 6/30/16.

*Special Title Series

Wade, James, College of Fine Arts, from Lecturer, Art and Visual Studies, to Senior Lecturer, Art and Visual Studies, 7/1/13 through 6/30/16.

F. CHANGES

Adams, Thomas W., College of Communication and Information, from Instructor (part-time), Communication, to Instructor (part-time), Library and Information Science, 8/1/13 through 6/30/14.

Bevins, Carla C., College of Communication and Information, from Lecturer, Communication, to Lecturer, Library and Information Science, 7/1/13 through 6/30/14.

Birdwhistell, Janice E., College of Communication and Information, from Development Assistant III, to Dean Assistant, effective 7/3/13.

Borders, Daniel A., College of Medicine, from Assistant Professor (part-time), Psychiatry, to Clinical Assistant Professor, Psychiatry, 8/1/13 through 6/30/14.

Bradshaw, Jennifer, College of Arts and Sciences, from Administrative Staff Officer II, Chemistry, to College Budget Manager, Arts and Sciences Administrative Services, effective 7/1/13.

Cattafesta, Joanne, College of Communication and Information, from Lecturer, Communication, to Lecturer, Library and Information Science, 7/1/13 through 6/30/14.

Combs, Elizabeth L., College of Agriculture, Food and Environment, from Instructor (part-time), Dietetics and Human Nutrition, to Lecturer, Dietetics and Human Nutrition, 8/16/13 through 6/30/14.

Cooper, Troy, College of Communication and Information, from Lecturer, Communication, to Lecturer, Library and Information Science, 7/1/13 through 6/30/14.

Cooper, William, College of Communication and Information, from Lecturer, Communication, to Lecturer, Library and Information Science, 7/1/13 through 6/30/14.

Copher, Stephanie, from Critical Care Services/UKHC, Nurse Clinical, to Advanced Practice Provider I, Internal Medicine, College of Medicine, effective 7/28/13.

Davies, Conrad A., College of Communication and Information, from Instructor (part-time), Communication, to Lecturer, Library and Information Science, 8/1/13 through 6/30/14.

DeVito, Allyson, College of Communication and Information, from Instructor (part-time), Communication, to Instructor (part-time), Library and Information Science, 8/1/13 through 6/30/14.

Fleming-Phillips, Barbara, College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Clinical Assistant Professor, Family and Community Medicine, 7/1/13 through 6/30/14.

Francies, Regina S., College of Communication and Information, from Lecturer, Communication, to Lecturer, Library and Information Science, 7/1/13 through 6/30/14.

Frisby, Brandi N., College of Communication and Information, from Assistant Professor, Communication, to Assistant Professor, Library and Information Science, 7/1/13 through 6/30/14.

*Special Title Series

Frolov, Andrey A., College of Medicine, from Assistant Research Professor, Internal Medicine, to Assistant Research Professor, Molecular and Biomedical Pharmacology, 7/1/13 through 6/30/14.

Gaffney, Amy, College of Communication and Information, from Assistant Professor, Communication, to Assistant Professor, Library and Information Science, 7/1/13 through 6/30/14.

Gaur, Rajesh, College of Communication and Information, from Lecturer, Communication, to Lecturer, Library and Information Science, 7/1/13 through 6/30/14.

Habashy, Catherine H., College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Assistant Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Hartman, Chas, College of Communication and Information, from Lecturer, Communication, to Lecturer, Library and Information Science, 7/1/13 through 6/30/14.

Herdelin, Mary J., College of Communication and Information, from Assistant Professor (visiting), Library and Information Science, to Instructor (part-time), Library and Information Science, 6/6/13 through 8/1/13.

Ingram, Richard C., College of Public Health, from Assistant Research Professor, Health Services Management, to Assistant Professor, Health Services Management, 9/1/13 through 6/30/14.

Jacobs, Michael W., College of Design, from Instructor (temporary), Architecture, to Instructor (part-time), Architecture, 7/1/13 through 6/30/14.

Johnson, Andrew, College of Public Health, from Assistant Professor, Health Services Management, to Assistant Adjunct Professor, Health Services Management, 7/1/13 through 6/30/14.

Kercsmar, Sarah E., College of Communication and Information, from Lecturer, Communication, and joint appointment as Lecturer, College of Nursing, to Lecturer, Library and Information Science, and joint appointment as Lecturer, College of Nursing, 7/1/13 through 6/30/14.

Kuns-Adkins, Candice B., College of Medicine, from Advanced Practice Provider II, Internal Medicine (Gastroenterology), to Advanced Practice Provider II, Internal Medicine (Cardiology), effective 5/15/13.

Leddin, Jamie P., College of Communication and Information, from Instructor (part-time), Communication, to Instructor (part-time), Library and Information Science, 8/1/13 through 6/30/14.

Leddin, Patrick, College of Communication and Information, from Instructor (part-time), Communication, to Instructor (part-time), Library and Information Science, 8/1/13 through 6/30/14.

Limperos, Anthony M., College of Communication and Information, from Assistant Professor, Journalism and Telecommunications, to Assistant Professor, Library and Information Science, 7/1/13 through 6/30/14.

McClure, Nicholas J., Information Technology, from Operating Systems Programmer III, University Computer Systems Program, to Integration Development Systems Engineer III, Data Center Operations, effective 8/1/13.

Meadows, Robert S., College of Communication and Information, from Instructor (part-time), Communication, to Instructor (part-time), Library and Information Science, 8/1/13 through 6/30/14.

Minor, Tammy M., College of Medicine, from Administrative Staff Officer I, Center on Drug and Alcohol Research, to Department Administrator III, Behavioral Science, effective 5/7/13.

Peeler, Jennifer, Information Technology, from EAG Team Member Level I, Human Resources Business Systems, to EAG Team Member II, Human Resources Business Systems, effective 5/13/13.

Price, Rachel, College of Communication and Information, from Lecturer, Communication, to Lecturer, Library and Information Science, 7/1/13 through 6/30/14.

Redmond, Jennifer L., College of Public Health, from Assistant Research Professor, Health Services Management, to Assistant Professor (part-time), Health Services Management, 7/1/13 through 6/30/14.

Reed, Andrea, College of Communication and Information, from Lecturer, Communication, to Lecturer, Library and Information Science, 7/1/13 through 6/30/14.

Reynolds, Molly, College of Communication and Information, from Lecturer, Communication, to Lecturer, Library and Information Science, 7/1/13 through 6/30/14.

Reynolds, Stephanie D., College of Communication and Information, from Assistant Professor, Library and Information Science, to Lecturer, Library and Information Science, 7/1/13 through 6/30/14.

Riddell-Peeler, Vicki, from Information Technology, Information Technology Manager III, Planning, Finance and Administration, to College of Dentistry, Dean Associate, Dental Administrative Affairs, effective 8/14/13.

Riesenweber, Julie, College of Design, from Instructor (part-time), Historic Preservation, to Lecturer, Historic Preservation, 7/1/13 through 6/30/15.

Sabetta, Thomas, College of Communication and Information, from Lecturer, Communication, to Lecturer, Library and Information Science, 7/1/13 through 6/30/14.

Salgado-Robles, Francisco, College of Arts and Sciences, from Lecturer, Hispanic Studies, to Instructor (part-time), Hispanic Studies, 6/6/13 through 8/1/13.

Shane, Rachel, College of Fine Arts, from Assistant Professor, Art and Visual Studies, to Assistant Professor*, Art and Visual Studies, 7/1/13 through 6/30/14.

Simpson, Schyler B., College of Communication and Information, from Lecturer, Communication, to Lecturer, Library and Information Science, 7/1/13 through 6/30/14.

Steckler, Rachel, College of Communication and Information, from Instructor (part-time), Communication, to Instructor (part-time), Library and Information Science, 8/1/13 through 6/30/14.

Strong, Lisa D., College of Medicine, from Administrative Staff Officer I, Family and Community Medicine, to Practice Manager III, Family and Community Medicine, effective 6/2/13.

Studts, Christina R., College of Medicine, from Assistant Research Professor, Behavioral Science, to Assistant Professor, Health Behavior, College of Public Health, 8/1/13 through 6/30/14.

Summers, Regina H., College of Design, from Instructor (part-time), Architecture, to Lecturer, Interior Design, 7/1/13 through 6/30/14.

Takebayashi, Akari, College of Design, from Instructor (temporary), Architecture, to Instructor (visiting), Architecture, 7/1/13 through 6/30/14.

Temel, Ryan E., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, from 7/1/13 through 6/30/14, to 7/17/13 through 6/30/14.

Thiel, Susan, College of Fine Arts, from Lecturer, Theatre, to Assistant Professor, Theatre, 8/16/13 through 6/30/14.

Thompson, Pamela, College of Arts and Sciences, from Administrative Staff Officer II, Arts and Sciences Administrative Services, to Administrative Staff Officer III, Arts and Sciences Administrative Services, effective 7/1/13.

Warren, Jami L., College of Communication and Information, from Lecturer, Communication, to Lecturer, Library and Information Science, 7/1/13 through 6/30/14.

G. TERMINAL REAPPOINTMENTS

Affolter, Jacob D., College of Arts and Sciences, Lecturer, Philosophy, 7/1/13 through 6/30/14.

Akafuah, Nelson K., College of Engineering, Assistant Research Professor, Mechanical Engineering, 7/1/13 through 6/30/14.

Bradley, Luke H., College of Medicine, Assistant Professor, Anatomy and Neurobiology, and joint appointment as Assistant Professor, Molecular and Cellular Biochemistry, 9/1/13 through 8/31/14.

Brumfield, Jeffrey T., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/13 through 6/30/14.

Caban-Holt, Allison M., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/13 through 6/30/14.

Cole, Jennifer E., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/13 through 6/30/14.

Danner, Deborah D., College of Medicine, Assistant Research Professor, Behavioral Science, and joint appointment as Assistant Research Professor, Family and Community Medicine, 7/1/13 through 6/30/14.

Duvall, Jamieson L., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/13 through 6/30/14.

Gonzalez, Octavio A., College of Dentistry, Assistant Research Professor, Oral Health Science, 7/1/13 through 6/30/14.

Hoover, Anna G., College of Public Health, Assistant Research Professor, Health Services Management, 7/1/13 through 6/30/14.

Huang, Chifu B., College of Dentistry, Assistant Research Professor, Oral Health Science, 7/1/13 through 6/30/14.

Ingram, Richard C., College of Public Health, Assistant Research Professor, Health Services Management, 7/1/13 through 8/31/13.

*Special Title Series

Lamberth, Cynthia, College of Public Health, Clinical Assistant Professor, Health Behavior, 7/1/13 through 6/30/14.

Mamaril, Cezar B., College of Public Health, Assistant Research Professor, Health Services Management, 7/1/13 through 6/30/14.

Manson, Andrew J., College of Design, Instructor (temporary), Architecture, 7/1/13 through 6/30/14.

Studts, Christina R., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/13 through 7/31/13.

Uzuegbunam, Ikenna S., College of Business and Economics, Assistant Professor, Management, 7/1/13 through 6/30/14.

Vincent, Leslie H., College of Business and Economics, Assistant Professor, Marketing and Supply Chain, 7/1/13 through 6/30/14.

Young, John C., College of Engineering, Assistant Research Professor, Electrical and Computer Engineering, 7/1/13 through 6/30/14.

H. LEAVES OF ABSENCE

Bishop, Malachy, College of Education, Professor (with tenure), Early Childhood, Special Education, and Rehabilitation Counseling, Sabbatical leave at full salary, 8/16/14 through 12/31/14.

Blum, Virginia, College of Arts and Sciences, Professor (with tenure), English, Sabbatical leave at half salary, 7/1/13 through 12/31/13, and Leave without pay, 1/1/14 through 6/30/14.

Bowers, Rebecca C., College of Medicine, Clinical Associate Professor, Emergency Medicine, from Leave without pay, 1/1/13 through 6/30/13, to Leave without pay, 1/1/13 through 8/1/13.

Burns, Leslie D., College of Education, Associate Professor (with tenure), Curriculum and Instruction, Sabbatical leave at full salary, 1/1/14 through 5/15/14.

Johnson, Jefferson G., College of Fine Arts, Professor* (with tenure), Music, Sabbatical leave at full salary, 1/1/14 through 6/30/14.

Kim, Yoonbai, College of Business and Economics, Professor (with tenure), Economics, Leave without pay, 7/1/13 through 6/30/14.

Levstik, Linda, College of Education, Professor (with tenure), Curriculum and Instruction, Sabbatical leave at full salary, 8/16/14 through 12/31/14.

Maschio, Geraldine A., College of Fine Arts, Associate Professor (with tenure), Theatre, Sabbatical leave at full salary, 1/1/14 through 6/30/14.

Ovall, Jeffrey S., College of Arts and Sciences, Assistant Professor, Mathematics, Leave without pay, 7/1/13 through 6/30/14.

Sanday, Eric C., College of Arts and Sciences, Associate Professor (with tenure), Philosophy, from Sabbatical leave at full salary, 7/1/13 through 12/31/13, to Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.

Sharkey, Michael J., College of Agriculture, Professor (with tenure), Entomology, Sabbatical leave at full salary, 10/15/13 through 4/14/14.

Tobin, Thomas, College of Agriculture, Food and Environment, Professor (with tenure), Veterinary Science, Leave without pay, 7/1/13 through 6/30/14.

Vincelli, Paul, College of Agriculture, Extension Professor (with tenure), Plant Pathology, Sabbatical leave at full salary, 1/20/14 through 7/22/14.

*Special Title Series

Wesley, Scarlett C., College of Agriculture, Associate Professor (with tenure), Retailing and Tourism Management, Sabbatical leave at full salary, 8/16/13 through 12/31/13.

Wildasin, David E., Graduate School, Professor (with tenure), Martin School of Public Policy and Administration, and joint appointment as Professor (without tenure), Economics, College of Business and Economics, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.

Ye, Qiang, College of Arts and Sciences, Professor (with tenure), Mathematics, from Sabbatical leave at full salary, 7/1/13 through 12/31/13, to Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.

I. RESIGNATIONS

Bao, Mingzhen, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, effective 6/30/13.

Bishop, Bradley W., College of Communication and Information, Assistant Professor, Library and Information Science, effective 6/30/13.

Bower, Curtis E., College of Medicine, Clinical Instructor, Surgery, effective 6/30/13.

Breheny, Patrick J., College of Public Health, Assistant Professor, Biostatistics, and joint appointment as Assistant Professor, Statistics, College of Arts and Sciences, effective 6/30/13.

Challa, Harigovinda R., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Pediatrics, effective 8/30/13.

Cherian, Ajith Z., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, effective 6/30/13.

Co, Angela D., College of Design, Assistant Professor, Architecture, effective 6/30/13.

Cooper, Michael A., College of Health Sciences, Assistant Professor*, Clinical Sciences, effective 12/31/13.

Cowen, Joshua M., Graduate School, Assistant Professor, Martin School of Public Policy and Administration, effective 6/30/13.

Fawson, Parker C., College of Education, Professor (with tenure), Curriculum and Instruction, effective 6/30/13.

Frolov, Andrey A., College of Medicine, Assistant Research Professor, Internal Medicine, to rescind resignation effective 6/30/13.

Hamann, Scott R., College of Medicine, Clinical Professor, Anesthesiology, effective 6/30/13.

Huang, Michael H., College of Medicine, Clinical Associate Professor, Family and Community Medicine, effective 12/2/13.

Hutchens, Neal H., College of Education, Assistant Professor, Educational Policy Studies and Evaluation, effective 6/30/13.

Khader, Ruba, College of Dentistry, Clinical Assistant Professor, Oral Health Science, effective 8/19/13.

Knight, Victoria, College of Education, Assistant Professor, Early Childhood, Special Education, and Rehabilitation Counseling, effective 6/30/13.

Lawson, Rick J., College of Medicine, Clinical Assistant Professor, Internal Medicine, from effective 5/28/13, to effective 5/31/13.

*Special Title Series

Livingston, Scott C., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, effective 7/31/13.

McKeon, Jennifer M., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, effective 6/30/13.

McKeon, Patrick O., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, effective 6/30/13.

Miller, Kyle J., College of Design, Assistant Professor, Architecture, effective 6/30/13.

Plunkett, Mark D., College of Medicine, Associate Professor* (with tenure), Surgery, and joint appointment as Associate Professor* (without tenure), Pediatrics, effective 8/14/13.

Pollack, Rebecca I., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, effective 6/30/13.

Sauers, Nicholas J., College of Education, Assistant Research Professor, Educational Leadership Studies, effective 6/30/13.

Seay, Thomas M., College of Medicine, Clinical Assistant Professor, Radiology, effective 8/30/13.

Speaks, Michael A., College of Design, Professor (with tenure), Architecture, effective 6/30/13.

Stafford, Laura L., College of Communication and Information, Professor (with tenure), Communication, effective 6/30/13.

Symons, Thorburn B., College of Public Health, Assistant Professor, Gerontology, effective 7/31/13.

Veling, Maria C., College of Medicine, Associate Professor* (with tenure), Otolaryngology-Head and Neck Surgery, and joint appointment as Associate Professor* (without tenure), Pediatrics, effective 10/31/13.

Westberry, Jenne M., College of Medicine, Assistant Research Professor, Physiology, effective 6/30/13.

Zanjani, Faika, College of Public Health, Assistant Professor, Gerontology, and joint appointments as Assistant Professor, Health Behavior, and Assistant Professor, Psychiatry, College of Medicine, effective 6/30/13.

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Bachmeier, Harrison, Pharmacist Clinical Staff/UKHC, Pharmacy Services, effective 08/11/2013

Bailey, Abby, Pharmacist Clinical Staff/Shift-based/UKHC, Pharmacy Services, effective 7/28/2013

Bain, Jonathan, Clinical Staff Pharmacist/UKHC, Pharmacy Services, effective 07/01/2013

Boots, Emily, Pharmacist Clinical Staff/Shift-Based/UKHC, Pharmacy Services, effective 06/17/2013

Carracedo, Christina, Pharmacist Clinical Staff/UKHC, Pharmacy Services, effective 08/12/2013

Flannery, Alexander, Pharmacist Clinical Staff/UKHC, Pharmacy Services, effective 07/28/2013

Friedersdorf, Karen, Hospital Recovery & Rehabilitation Services Executive Director/UKHC, Eastern State Hospital, effective 07/15/2013

Griffith, Susan, Associate Administrator/UKHC, Eastern State Hospital, effective 09/10/2013

Kersey, Jr., Jerry, Human Resources Business Partner/UKHC, Eastern State Hospital, effective 09/10/2013

Kincaid, Scott, Pharmacist Supervisor/Coordinator/UKHC, Pharmacy Services, effective 06/26/2013

Long, Katie, Pharmacist Clinical Staff/UKHC, Pharmacy Services, effective 07/28/2013

MayBrier, Elizabeth, Manager of Risk Management/UKHC, Eastern State Hospital, effective 09/10/2013

Pijut, Kyle, Pharmacist Clinical/UKHC, Pharmacy Services, effective 08/01/2013

Smith, Jennifer, Personal Care Homes Director/UKHC, Eastern State Hospital, effective 09/10/2013

B. CHANGES

Adams, Anna, from Nurse Coordinator Clinical/UKHC, 6th Floor Administration to Staff Development Specialist/UKHC, Nursing Practice and Support Services, effective 01/13/2013

Blake, Lara, from Service Line Manager/UKHC, Hospital Operating Room Administration to Hospital Operations Administration/UKHC, Hospital Command Center, effective 04/07/2013

Branson, Pamela, from Nursing Operations Administration/UKHC, 5th Floor Administration to Clinical Nurse Specialist/UKHC, Neurosciences Administration, effective 06/30/2013

*Special Title Series

Brassfield, Amy, from Nurse Coordinator Clinical/UKHC, Hospital Ground Transport to Clinical Nurse Specialist/UKHC, Pediatric Administration, effective 01/13/2013

Calvert, Barbara, from Nurse Practitioner Clinical/UKHC, Surgery/Dermatology to Advanced Practice Provider I/UKHC,EVPHA Administration, effective 03/24/2013

Collett, Natalie, from Clinical Integration Analyst/UKHC, EVPHA Information Technology to Application Services Manager/UKHC, EVPHA Information Technology, effective 06/02/2013

Cox, Monica, from Nurse Clinical/UKHC, Neuroscience ICU to Hospital Operations Administrator/UKHC, Hospital Command Center

Curtis, Celia N., from Nurse Clinical/UKHC, H7000, T&ACSS ICU Tower 100 to Staff Development Specialist/UKHC, Nursing Practice & Support Services/UKHC,, effective 06/16/2013

Dezarn, Morgan, from Radiology Support Services Manager/UKHC, Imaging Services to Clinical Analyst Principal/ UKHC, Ambulatory Services Administration, effective 05/05/2013

Dobias, Jill, from Nurse Clinical/UKHC, Markey Cancer Center to Clinical Nurse Specialist/UKHC, Nursing Administration, Markey Cancer Center, effective 08/11/2013

Green, Amanda, from Outcomes Manager/UKHC, Office of Enterprise Quality & Safety to Clinical Systems Coordinator Sr./UKHC, Office of Enterprise Quality & Safety, effective 05/05/2013

Hay, Lauren, from Acting Patient Care Manager/UKHC, ICU Administration to Patient Care Manager/UKHC, ICU Administration, effective 06/30/2013

Luzuriaga, Rosa, from Business Analyst/ UKHC, Surgery to Project Manager IT/ UKHC, EVPHA Information Technology, effective 06/16/2013

McCallie, Deeanna, from Patient Care Manager/UKHC, ICU Administration to Manager of Nursing Excellence and Support Services/ UKHC, Nursing Practice and Support Services, effective 04/21/2013

Watkins, Jennifer, from Nurse Transplant Coordinator/UKHC, Transplant Services to QAPI Program Manager/UKHC, Transplant Services, effective 05/05/2013

C. RETIREMENTS

Adler, Thomas A., Administrative Support Associate I, after 5 years and 0 months of consecutive service, under AR 3:1 Section VIII.A., effective 06/06/2013

Hall, Patricia L., Marketing Director, after 5 years and 11 month of consecutive service, under AR 3:1 Section VIII.A., effective 06/13/2013

Hardin, Debra L., Research Associate, after 24 years and 5 months of consecutive service, under AR 3:1 Section VIII.A., effective 07/31/2013

Jurgensen, Janice C., Nurse Clinical, after 8 years and 0 months of consecutive service, under AR 3:1 Section VIII.A., effective 06/02/2013

Lake, Sharon Wells, Nursing Division Director, after 26 years and 0 months of consecutive service, under AR 3:1 Section VIII.A., effective 05/31/2013

*Special Title Series

Large, Carolyn A., Staff Support Associate II, after 5 years and 3 months of consecutive service , under AR 3:1 Section VIII.A., effective 06/28/2013
Sexton, Hilda Jo., Surgical Technician, after 5 years and 8 months of consecutive service, under AR 3:1 Section VIII.A., effective 07/12/2013
Thomas, Thelma A., Central Services Technician, after 32 years and 6 months of consecutive service, under AR 3:1 Section VIII.A., effective 07/09/2013
Watts, Howard S., Electronic Technician Biomedical I, after 22 years and 10 months of consecutive service, under AR 3:1 Section VIII.A., effective 05/22/2013
Whitaker, Mary N., Administrative Services Assistant, after 17 years and 7 months of consecutive service, under AR 3:1 Section VIII.A. effective 06/12/2013

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Burch, Danielle, Physical Therapist/UKHC, Therapeutic Services, effective 07/29/13
Kylor, Shelley, Physical Therapist/UKHC, Therapeutic Services, effective 07/15/13
Leimbach, Kathryn, Physical Therapist/UKHC, Therapeutic Services, effective 07/29/13
Lin, Timothy, Physical Therapist/UKHC, Therapeutic Services, effective 07/29/13
Michael, Kristin, C., Pediatric Sonographer/UKHC, Pediatrics-Cardiology, effective 07/01/13
Polnisch, Denise, Physical Therapist/UKHC, Therapeutic Services, effective 06/11/13
Sammons, Brent, Physical Therapist/UKHC, Therapeutic Services, effective 06/26/13
Schrack, Mollie, Physical Therapist/UKHC, Therapeutic Services, effective 07/08/13
Stilz, Kathryn, Physical Therapist/UKHC, Therapeutic Services, effective 07/15/13

PR 3

Office of the President
September 10, 2013

Members, Board of Trustees:

APPOINTMENT/REAPPOINTMENT TO BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY GLUCK EQUINE RESEARCH FOUNDATION, INC.

Recommendation: that the Board of Trustees approve the appointment of Bill Thomason and the reappointment of Dan Rosenberg and F. E. “Butch” Wise to the Board of Directors of the University of Kentucky Gluck Equine Research Foundation, Inc. for a four-year term, ending December 31, 2017.

Background: The Nominating Committee of the University of Kentucky Gluck Equine Research Foundation, which includes the Dean of the College of Agriculture, recommended these appointments. These recommended appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation and By-Laws for the University of Kentucky Gluck Equine Research Foundation, Inc. approved by the Board of Trustees at its meeting in September, 1984. These nominations are supported by the Provost and the President.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

Biographies for Board of Directors
University of Kentucky Gluck Equine Research Foundation, Inc.

Bill Thomason became the seventh President and Chief Executive Officer of Keeneland on September 1, 2012. He was previously the Vice President and Chief Financial Officer, having joined Keeneland in June 2010. Thomason graduated from the University of Kentucky with a B.S. in Accounting in 1977 and a Masters in Business Administration in 1978. He worked for two years at the accounting firm of Alexander Grant & Co. before joining Mill Ridge Farm, where he worked for 28 years as Financial and Administrative Manager.

Dan Rosenberg is the owner of Rosenberg Thoroughbred Consulting in Midway. He served as president and chief operating officer of Three Chimneys Farm from 2001 to 2007 and was general manager from 1978 to 2001. He is past president of the Kentucky Thoroughbred Farm Managers' Club and former director of the Thoroughbred Club of America. He is also past vice president of the Kentucky Equine Institute, where he also served on the board of directors. Rosenberg was named Farm Manager of the Year in 1992 and an Ambassador for Racing in 1994 by the Kentucky Thoroughbred Farm Managers' Club.

F. E. "Butch" Wise is the manager of Lazy E Ranch in Guthrie, Oklahoma. He was named manager of Lazy E Ranch, a Quarter Horse farm, in 1993. He received a B.S. in Animal Science from Oklahoma State University in 1973.

PR 4

Office of the President
September 10, 2013

Members, Board of Trustees:

REAPPOINTMENT TO BOARD OF DIRECTORS
OF THE FUND FOR ADVANCEMENT OF EDUCATION AND RESEARCH IN THE
UNIVERSITY OF KENTUCKY MEDICAL CENTER

Recommendation: that the Board of Trustees approve the reappointment of Anita M. Britton to the Board of Directors of The Fund for Advancement of Education and Research in the University of Kentucky Medical Center for a two-year term ending June 30, 2015.

Background: The Articles of Incorporation of The Fund for Advancement of Education and Research in the University of Kentucky Medical Center require two-year-term Board of Director appointments approved by the Board of Trustees of the University of Kentucky. This nomination is supported by the deans of the health care colleges, the Provost, and the President.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

Bio for Board of Directors
The Fund for Advancement of Education and Research in the
University of Kentucky Medical Center

Anita M. Britton: Ms. Britton received a Bachelor of Science degree in Psychology from Centre College of Kentucky. After a year at the college as a Lilly Fellow, Ms. Britton came to Lexington where she worked in local government before entering the College of Law at the University of Kentucky. She received her Juris Doctorate with Distinction from the University of Kentucky in 1982. She is currently with Britton Osborne Johnson, PLLC.

ASACR 1

Office of the President
September 10, 2013

Members, Board of Trustees:

CANDIDATES FOR DEGREE: DECEMBER 2012

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he is entitled, upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees.

Background: The individuals whose names appear on the attached list completed the work leading toward the degree for which application was made at the close of the 2012 Fall Semester. Due to administrative errors, the names were not previously submitted.

For details on degree candidate listings,
please contact Sean Cooper
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

**OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - December 2012**

Graduate Degrees:

Ph.D.	133
D.M.A.	8
Ed.D.	15
Ed.S.	5
M.A.	57
M.AR.	4
M.A.EDU.	5
M.B.A.	3
M.E.	2
M.F.A.	1
M.H.A.	2
M.H.P.	2
M.M.	6
M.P.A.	5
M.P.H.	23
M.P.P.	2
M.R.C.	42
M.S.	100
M.S.BE.	4
M.S.BAE.	7
M.S.CE.	9
M.S.CHE.	1
M.S.CTL.	5
M.S.EDU.	31
M.S.E.E.	15
M.S.FOR.	2
M.S.FS.	3
M.S.IDMT.	1
M.S.L.S.	21
M.S.MAT.	3
M.S.MSYE.	5
M.S.ME.	10
M.S.MNE.	1
M.S.MSE.	1
M.S.N.	3
M.S.N.S.	2
M.S.PAS.	1
M.S.W.	4

TOTAL.....544

Professional Degrees:

D.N.P.	7
Dr.P.H.	2
D.P.T.	32
J.D.	9

TOTAL.....50

Undergraduate Degrees:

Agriculture

BSAB.....	4
BSAEC	39
BSAG	5
BSAS	16
BSCCLD	30
BSCLD.....	1
BSDIE	22
BSESM	14
BSFOR	3
BSFOS.....	6
BSFSC	16
BSHPS	6
BSHM	7
BSHN	11
BSLA	2
BSMAT.....	18
BSNRC.....	5
BSNRES.....	4

TOTAL 209

Arts and Sciences

BA	268
BS.....	84

TOTAL352

Gatton Business and Economics

BBA.....	130
BSA	41
BSBE	6

TOTAL177

Comm. & Information Studies

BA	73
BS.....	35

TOTAL 108

Design

BAARC.....	7
BAID	5

TOTAL12

Education

BAEDU	73
BSEDU.....	66

TOTAL.....139

Engineering

BSBE	6
BSCHE.....	5
BSCE	40
BSCS	23
BSCOE.....	6
BSEE	14
BSMEE.....	24
BSMIE.....	8

TOTAL..... 126

Fine Arts

BA	31
BFA	5
BM.....	10
BMME.....	8

TOTAL..... 54

Health Sciences

BHS.....	25
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TOTAL 25

Nursing

BSN	90
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TOTAL..... 90

Social Work

BASW	22
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TOTAL..... 22

Graduate Degree.....544

Professional Degrees.....50

Undergraduate Degrees1,314

TOTAL 1,908

ASACR 2

Office of the President
September 10, 2013

Members, Board of Trustees:

CANDIDATES FOR DEGREE: MAY 2013

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled, upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees.

Background: The individuals whose names appear on the attached list completed the work leading toward the degrees for which application was made at the close of the 2013 Spring Semester. Due to administrative errors, the names were not previously submitted.

For details on degree candidate listings,
please contact Sean Cooper
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

**OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - May 2013**

Graduate Degrees:

Ph.D.	191
D.M.A.	11
Ed.D.	18
Ed.S.	18
M.A.	61
M.AR.	27
M.A.EDU.	54
M.A.ID.	2
M.B.A.	48
M.E.	7
M.F.A.	3
M.H.A.	23
M.H.P.	8
M.M.	16
M.P.A.	22
M.P.H.	46
M.P.P.	9
M.R.C.	20
M.S.	140
M.S.BE.	5
M.S.BAE.	6
M.S.CD.	27
M.S.CE.	11
M.S.CHE.	2
M.S.CTL.	6
M.S.EDU.	34
M.S.E.E.	20
M.S.FOR.	4
M.S.FS.	1
M.S.FSC.	2
M.S.L.S.	56
M.S.MAT.	4
M.S.MIE.	6
M.S.MSYE.	5
M.S.ME.	9
M.S.N.	1
M.S.N.S.	7
M.S.RMP.	2
M.S.W.	122

TOTAL.....1045

Professional Degrees:

D.M.D.	56
D.N.P.	17
Dr.P.H.	6
D.P.T.	2
J.D.	127
M.D.	123
PharmD.	124
TOTAL.....	455

Undergraduate Degrees:

Agriculture

BSAB	13
BSAEC	53
BSAG	14
BSAS	19
BSCCLD	38
BSCLD	4
BSCTE	5
BSDIE	8
BSESM	25
BSFOR	17
BSFOS	7
BSFSC	32
BSHPS	4
BSHM	9
BSHN	40
BSLA	17
BSMAT	22
BSNRC	6
BSNRES	12

TOTAL 341

Arts and Sciences

BA	600
BGS	1
BS	282

TOTAL 883

Gatton Business and Economics

BBA	303
BSA	113
BSBE	40

TOTAL 456

Communication & Information

BA	180
BS	51

TOTAL 231

Design

BAARC	45
BAID	14

TOTAL 59

Education

BAEDU	165
BSEDU	110

TOTAL..... 275

Engineering

BSBE	5
BSCHE	34
BSCE	41
UK/WKU: BSCE	12
BSCS	40
BSCOE	12
BSEE	41
BSMAE	6
BSMEE	81
UK/WKU: BSMEE	24
BSMIE	24

TOTAL..... 320

Fine Arts

BA	60
BFA	8
BM	10
BMME	14

TOTAL..... 92

Health Sciences

BHS	39
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TOTAL 39

Nursing

BSN	101
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TOTAL..... 102

Social Work

BASW	46
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TOTAL..... 46

Graduate Degree 1045

Professional Degrees..... 455

Undergraduate Degrees 2844

TOTAL 4336

ASACR 3

Office of the President
September 10, 2013

Members, Board of Trustees:

CANDIDATES FOR DEGREES: AUGUST 2013

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled, upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees.

Background: Each individual whose name appears on the attached list completed the work leading toward the degree for which application was made at the close of the 2013 Summer Session.

For details on degree candidate listings,
please contact Sean Cooper
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - August 2013*

Graduate Degrees:

Ph.D.	130
D.M.A.	5
Ed.D.	8
Ed.S.	9
M.A.	32
M.A.R.	6
M.A.EDU.	3
M.A.ID.	1
M.B.A.	1
M.E.	0
M.F.A.	1
M.H.A.	1
M.H.P.	5
M.M.	13
M.P.A.	2
M.P.H.	10
M.P.P.	1
M.R.C.	5
M.S.	43
M.S.ACC.	1
M.S.BE.	0
M.S.BAE.	6
M.S.CD.	0
M.S.CE.	6
M.S.CHE.	2
M.S.CTL.	5
M.S.EDU.	11
M.S.E.E.	9
M.S.FOR.	2
M.S.FS.	2
M.S.FSC.	0
M.S.L.S.	11
M.S.MAT.	0
M.S.MIE.	1
M.S.MSYE.	2
M.S.ME.	5
M.S.N.	0
M.S.N.S.	1
M.S.PAS.	3
M.S.RMP.	4
M.S.W.	7
TOTAL.....	354

Professional Degrees:

D.M.D.	0
D.N.P.	5
Dr.P.H.	2
D.P.T.	13
J.D.	5
M.D.	1
PharmD.	0
TOTAL.....	26

Undergraduate Degrees:

Agriculture

BSAB	2
BSAEC	12
BSAG	1
BSAS	1
BSCCLD	7
BSCLD	1
BSCTE.....	0
BSDIE	1
BSESM	3
BSFOR	0
BSFOS	0
BSFSC	2
BSHPS	0
BSHM	4
BSHN	4
BSLA	0
BSMAT	1
BSNRC	1
BSNRES	3

TOTAL43

Arts and Sciences

BA	58
BGS	0
BS	16

TOTAL 74

Gatton Business and Economics

BBA	12
BSA	7
BSBE	2

TOTAL 21

Communication & Information

BA	15
BS	6

TOTAL 21

Design

BAARC.....	13
BAID	5

TOTAL 18

Education

BAEDU	10
BSEDU.....	20

TOTAL..... 30

Engineering

BSBE	2
BSCHE.....	1
BSCE	1
UK/WKU: BSCE	0
BSCS	3
BSCOE	0
BSEE	0
BSMAE.....	0
BSMEE.....	3
UK/WKU: BSMEE.....	0
BSMIE.....	1

TOTAL..... 11

Fine Arts

BA	5
BFA	0
BM.....	5
BMME.....	1

TOTAL..... 11

Health Sciences

BHS.....	1
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TOTAL1

Nursing

BSN	2
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TOTAL..... 2

Social Work

BASW	5
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TOTAL..... 5

Graduate Degree 354

Professional Degrees.....26

Undergraduate Degrees 237

TOTAL 617

FCR 1

Office of the President
September 10, 2013

Members, Board of Trustees:

BEQUESTS FROM THE ESTATE OF DR. E. VERNON SMITH

Recommendation: that the Board of Trustees accept two bequests totaling \$4,200,000 from the Estate of E. Vernon Smith, formerly of Cincinnati, Ohio, to add to the existing Dr. E. Vernon Smith and Eloise C. Smith Alzheimer's Research Endowed Chair Fund.

Background: In June 2006, the Board of Trustees accepted a pledge of \$5,200,000 from Dr. E. Vernon Smith to create nine endowments to honor the memory of his wife and other family members. Dr. Smith fulfilled this pledge in 2011. An additional \$5,200,000 in state matching funds from Kentucky's Endowment Match Program was also received.

Dr. Smith, a 1937 graduate of the College of Arts and Sciences, died in October 2011. In May 2013, the Board of Trustees accepted the first bequests from the Estate of E. Vernon Smith totaling \$750,000; \$500,000 to fund the Dr. E. Vernon Smith and Eloise C. Smith Nursing Scholarship Endowment in the College of Nursing; and \$250,000 to fund the Frances Tinsley Smith and E. Vernon Smith Band Scholarship Endowment in the College of Fine Arts.

In addition to the two bequests recommended for Board acceptance with this action, a final bequest representing the residual of the estate is expected to be received at a later date.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 2

Office of the President
September 10, 2013

Members, Board of Trustees:

BARNSTABLE BROWN FOUNDATION GIFT

Recommendation: that the Board of Trustees accept a gift of \$600,000 from the Barnstable Brown Foundation of Louisville, Kentucky, to support the existing Barnstable Brown Kentucky Diabetes and Obesity Center.

Background: This gift of \$600,000 to the Barnstable Brown Kentucky Diabetes and Obesity Center will support ongoing core activities of the Center. The Center was established in December 2008 with Board acceptance of a pledge and gifts of \$3,700,000 from the Barnstable Brown Foundation. This \$600,000 gift is in addition to the prior pledge, which has been fulfilled.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 3

Office of the President
September 10, 2013

Members, Board of Trustees:

UNIVERSITY OF KENTUCKY CHANDLER MEDICAL CENTER
ENDOWED CHAIR NAME CHANGE AND PERMANENT LOCATION

Recommendation: that the Board of Trustees approve a request by the Kentucky Medical Services Foundation (KMSF) of Lexington, Kentucky, to change the name of the University of Kentucky Chandler Medical Center Endowed Chair to the Kentucky Medical Services Foundation Chair in Pharmacy in the College of Pharmacy.

Background: The original intent of the fund, established in 2002 under the former Medical Center Chancellor's Office, was to provide a rotating endowed chair among the health science colleges. The donor, KMSF, has requested that the endowed chair now reside in the College of Pharmacy in perpetuity.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 4

Office of the President
September 10, 2013

Members, Board of Trustees:

DISSOLUTION OF THE WELLINGTON B. STEWART SCHOLARSHIP
QUASI-ENDOWMENT FUND

Recommendation: that the Board of Trustees approve a request by the Board of Directors of The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (The FUND) of Lexington, Kentucky, to dissolve the quasi-endowment entitled the Wellington B. Stewart Scholarship Fund and spend remaining funds as defined by the original Scholarship guidelines.

Background: The Wellington B. Stewart Scholarship Fund was established to provide aid to students in the fields of medicine, dentistry, and/or medical technology. W.B. Stewart was the Professor and Chairman of the Department of Pathology in 1960. The Wellington B. Stewart Quasi-Endowment was governed by the Board of Directors for the FUND.

The FUND Board of Directors approved the dissolution of the quasi-endowment at its June, 2013 meeting. The quasi-endowment had a market value of \$92,067 as of June 30, 2013 and generated spending distributions of \$3,262 in fiscal year 2013. Given the amount of the spending distribution, it no longer has a meaningful impact to medical students.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 5

Office of the President
September 10, 2013

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period April 1 through June 30, 2013.

Background: At its March 1997 meeting, the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD THROUGH June 30, 2013

Patents

The following assignment on behalf of the Board of Trustees of the University of Kentucky Research Foundation has been executed:

1. **U.S. Patent Application Serial Number: 13/928,160**
Filed: June 26, 2013
Title: Glucan Phosphatase Variants for Starch Phosphorylation
Inventors: Matthew Gentry and Craig Vander Kooi (Molecular and Cellular Biochemistry)
Technical Description: This invention relates to glucan phosphatase polypeptide variants and methods of utilizing them to alter starch metabolism and structure.
Summary: Starch plays a central role in many aspects of daily life, including being a major food source and industrial feedstock, as well as a key to biofuels. Demand exists for “designer starches” that possess novel biophysical properties and functionalities to improve nutrition, increase energy supplies, and provide safer and cheaper industrial feedstocks. However, known chemicals that modify the biophysical properties of native starch for industrial applications are hazardous. Thus, there remains a need for natural starch modification that can alter starch metabolism without the use of hazardous chemicals. This invention addresses that need and discloses multiple variants of glucan phosphatases, which function in the degradation of glucan chains. The glucan phosphatases Starch Excess 4 (SEX4) and Like Sex Four2 (LSF2) have been shown to preferentially dephosphorylate carbons in specific positions within glucose moieties. In addition to disclosing SEX4 and LSF2 variants, this invention also discloses a method of making starch by expressing the disclosed glucan phosphatase variants in plants and collecting the starch produced by the modified plants. In some embodiments, the glucan phosphatase variant can alter the biophysical properties and/or the total biomass of the starch that is collected.

Patent Activities
Fiscal year to date as of June 30, 2013

Number of Patent Applications	17
Number of Patents Issued	30
Patent Gross Revenue	\$4,833,581.67

FCR 6

Office of the President
September 10, 2013

Members, Board of Trustees:

DISPOSAL OF PERSONAL PROPERTY

Recommendation: that the Board of Trustees approve a plan for Fiscal Year 2013-2014 authorizing routine disposition of personal property having a value of less than \$25,000 per item, to include equipment and machinery, vehicles, library books and materials, livestock and recyclable materials as listed on Attachment I.

Background: Kentucky Revised Statute 164A.575 requires that the Board of Trustees approve disposition of equipment and other property. Administrative Regulation 8.1, approved by the Board of Trustees in March, 2007 establishes the policies and procedures, including required approvals for the disposition of personal property. This plan will provide an efficient and timely process for the disposition of property having a value of less than \$25,000 in accordance with Administrative Regulation 8.1. Dispositions of personal property having a value greater than \$25,000 will be approved by the Board of Trustees on a case by case basis.

Action taken: ☒ Approved ☐ Disapproved ☐ Other

ATTACHMENT I

ANTICIPATED DISPOSAL OF PROPERTY 2013-2014

A. Equipment and Machinery

Method of Disposal

Public Auction

Sealed Bid

Fixed Price

Transfers to Other State Agencies and Educational Institutions

Reason for Disposal

Equipment and machinery surplus to the needs of the University due to depreciation, obsolescence, program changes or termination.

B. Vehicles

Method of Disposal

Public Auction

Reason for Disposal

Vehicles of excessive age and/or mileage or in need of major repairs.

C. Library Books and Materials

Method of Disposal

Public Auction

Sealed Bid

Fixed Price

Reason for Disposal

Library books and materials that are obsolete or outdated.

D. Livestock

Method of Disposal

Public Auction

Sealed Bid

Fixed Price

Reason for Disposal

Livestock surplus to the needs of the College of Agriculture or otherwise unsuitable for use (completion of research projects, carcass data requirements, normal culling of herds).

E. Recyclable Materials

Method of Disposal

Price Contracts

Fixed Price

Reason for Disposal

To comply with regulations for proper and timely disposition of waste products and recyclable materials (as directed by the Office of Environmental Health and Safety).

FCR 7

Office of the President
September 10, 2013

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the Board of Trustees accept the capital construction report for the three months ending June 30, 2013. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period April 1, 2013 thru June 30, 2013:

There were seven new contracts this quarter:

Project 2239.73	Construct Patient Care Facility – 8 th Floor Fit Out GBBN Architects, \$598,000 (<i>Design</i>)
Project 2355.0	Repair/Upgrade/Improve Mechanical Infrastructure - Steam Line Farm Road Industry Services Co. Inc., \$582,501 (<i>Construction</i>)
Project 2356.0	Repair/Upgrade/Expand Central Plants – Cooling Tower Lagco, Inc., \$774,483 (<i>Construction</i>)
Project 2362.0	Upgrade/Expand Commonwealth Stadium Ross Tarrant Architects, \$8,367,464 (<i>Design</i>) Skanska/Congleton-Hacker Joint Venture, \$5,898,580 (<i>Construction</i>)
Project 2364.0	Repair/Upgrade/Improve Building Shell Systems – 2013 Annual Parking Garage Maintenance & Restoration THP Limited, \$68,750 (<i>Design</i>) RAM Construction Services, \$669,018 (<i>Construction</i>)

One contract was completed this quarter:

Project 2335.0	Ambulatory Electronic Health Record Equipment System (EHR Phase 2B) Eubank & Steele, \$629,525
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Two amendments were as follows:

- Project 2345.0 Renovate/Expand Gatton Building
- Provide for bidding and construction administration services (+) \$1,752,276
- Project 2346.0 Renovate Dental Class Labs (Room 611)
- Provide for additional design services as required to create a BIM model of structural and architectural components (+) \$3,200

Five change orders greater than \$25,000 were as follows:

- Project 2342.0 Campus Security System
- Provide for change in ID cards from Duo Prox II to iClass Corporate 1000 (+) \$27,539
- Project 2349.0 Renovate/Expand Soccer Facilities
- Provide for addition of alternate # 2; press level suites (+) \$121,652
 - Provide for addition of alternate # 3; officials rooms (+) \$159,634
 - Provide for addition of alternate # 4; visitor's locker room (+) \$119,859
 - Provide for addition of alternate # 1; training room (+) \$146,412

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT

This report contains capital projects that have a dollar scope value of \$600,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

Consultant: This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

Contractor: This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

Glossary

Amendment: refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

Change Order: refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

Consultant: the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

Contract administration: refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

General Contractor: the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

Feasibility Study: refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probability cost, preliminary program issues, and so forth.

Gross square feet: the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

H.B.C.: refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

Penthouse: refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

Percent complete: as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

Net assignable square feet: the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

Phase: The various stages of design and construction of a capital project, including:

- Programming phase: refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- Schematic design (S/D or Phase 1): refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- Design development (D/D or Phase 2): refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- Construction document phase (C/D or Phase 3): refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- Bidding phase (Phase 4): refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- Construction phase (Phase 5): refers to the actual construction of the project by contractors.

Scope: The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

Substantial Completion: refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

Trade Contractor: sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

**Major Projects
Capital Project Management Division
August 2013**

Campus Security System

Project Description:

This project includes various security initiatives using a centralized safety response to campus monitored from the Police Department located in the Safety and Security Building. The project includes a geo-diverse video management (VMS) and security management system (SMS) that provides situational awareness to targeted areas on campus and event driven analytics to alert University Campus Police of possible threats to campus. This project will provide campus-wide camera monitoring, early warning systems speakers, and other communication devices to monitor and respond to campus incidents. The project will provide the infrastructure necessary for proposed installations and incorporate or replace legacy systems to a single security system.

Project Status:

Significant progress has been made this summer with the completion of the Proof of Concept facility (VDL at Coldstream) and installations at each of the sixteen main campus buildings for exterior locks and cameras. Additionally, exterior Talk-a-Phones and exterior cameras continue to be installed. The new UK ID continues to be issued to main campus students, faculty and staff. The Security contract is prepared to accept the newly finished residence halls, Central I and II, onto the operating campus security system this month.

Installation at the UK Medical Center will not begin until this fall with issuance of IDs to follow.

Construct Patient Care Facility – 8th Floor Fit Out

Project Description:

Since opening the first two patient care floors in Pavilion A in May 2011, UK HealthCare has continued to experience strong inpatient admissions growth. This volume increase has placed substantial capacity constraints on UK inpatient hospital operations. This project will help alleviate these constraints and includes the fit-up of the eighth floor for inpatient cardiovascular services and fit-up of space on the third floor for an expansion of inpatient pharmacy services. This project will provide 64 inpatient beds and increase the medication packaging and distribution capacity for the Central Pharmacy.

Project Status:

Phase 3 construction documents have been received from the consultant, GBBN Architects, for review. Seven proposals for CM services were received. The selected firm was Turner Construction.

Construct UK/Nicholasville Road Flood Mitigation

Project Description:

This project will construct three separate improvements, to provide 100 year storm (and lesser storm) mitigation to prevent roadway overtopping and improve pedestrian safety addressing storm water quantity within this one campus water shed. 1) Area between Alumni Drive and Shawneetown Drive (includes permanent removal of Shawneetown Drive and relocation of existing utilities) will be excavated for additional storm water detention. 2) Existing culvert upgrade at the upstream side of Nicholasville Road. 3) Portions of impervious pavements for the football stadium will be removed and replaced with pervious pavements. The \$8,015,463 project will be funded by a federal grant of \$6,011,597 from FEMA with the balance of \$2,003,866 being the In-Kind value of the 3.6 acres of University of Kentucky land determined by adjacent property values. Water quality related issues may also be part of the project scope depending on available funds and compatibility with storm water quantity design.

Project Status:

Project will go out to bid after football season has completed. Construction will begin after January 2014.

Renovate Academic Facility – University Lofts Facility

Project Description:

This project will renovate the 110,000 gross square foot facility into classrooms, class labs/studios, office and support space for the College of Fine Arts. The improvements will also include necessary upgrades of the building systems such as communications, plumbing, HVAC, electrical, fire safety and security.

Project Status:

Phase III Construction Documents were completed by the university in June..

Renovate Dental Class Labs (611)

Project Description:

The project includes upgrading ventilation systems, modernizing and enhancing technology utilized in training dental students, and accommodating additional student dental workstations and workspaces in the Student Simulation Lab.

Project Status:

The project is on schedule and is substantially complete. The contractor is completing the punch list.

Renovate Robotics Building

Project Description:

The Center for Biomedical Engineering (CBME) was transferred administratively to the College of Engineering effective July 1, 2010. CBME is currently in the Wenner Gren Research Lab. A key component of this transfer is the relocation of the CBME from the Wenner-Gren buildings to the 5th floor of the Center for Robotics and Manufacturing Systems (CRMS). The relocation is needed to take advantage of the many collaborative research opportunities between the CBME faculty and the various other Engineering faculty in and near the CRMS building. The project will completely renovate eight (8) existing labs to accommodate the relocation, as well as renovate two former labs into shared equipment rooms and graduate student office space. The approximate square footage of the planned renovation will be 3,500.

The legislative authorized scope and current project estimate is \$3,842,000. The current total available funding is only \$2,375,000 therefore the University intends to prioritize the improvements and install all the utilities in this first phase thereby enabling future connections as additional funds become available.

Project Status:

Bids were received on 8/1/13. The bids were well within the project budget. Constuction will begin in mid-August.

Renovate Schmidt Vocal Arts Center

Project Description:

This project will complete the renovations to include rehearsal rooms, teaching studios, practice rooms, music library, office space for staff and graduate assistants, and enhancements to the building's main entrance.

Project Status:

The bid opening is scheduled for August 15, 2013, with an anticipated substantial completion of early March, 2014.

Renovate/Expand Gatton Building

Project Description:

This project includes renovating and expanding the existing 138,400 gross square foot Gatton Building. The expansion will include a three story addition comprised primarily of community-oriented space to include an atrium, with a café and seating area, classrooms, and a 500 seat auditorium. The addition will become the primary entrance to the building and will be accessed from central campus. A secondary entrance from South Limestone St. will also be incorporated in the renovated space. The original 1963 building will provide various types of space for faculty and students; the classrooms in the 1991 addition will be renovated and updated to include the latest technology.

Project Status:

Design Development review meetings have been completed and the project is currently in budget and on schedule. Bi-weekly meetings are being held with the project implementation team to coordinate issues.

The project includes two separate bid packages. The first will be a site utility enabling package (i.e., relocation of site utilities, site stabilization, reworking mechanical spaces, etc.) to prepare for the renovation and construction work of the building. This work is scheduled to bid in Aug. 2013, with work to be completed in February 2014. The second bid package will renovate the existing building and construct the new addition.

Construction will occur in multiple phases: Bid package #1 includes the enabling package (Sep. 13 – Feb. 14); Bid package #2 will renovate and expand the existing building and will be completed in three phases: Phase 1 consists of construction of the new addition (Feb. 14 – July 15); Phase 2, which partially overlaps with phase 1, includes the

renovation of the original 1963 building (May 14 – July 15); and Phase 3 will renovate the 1991 addition (Aug. 15 – Apr. 16).

Renovate/Expand Soccer Facilities

Project Description:

This project will renovate and expand the University's soccer facilities to include locker, shower, and lounge space for the men's and women's soccer teams, training and umpire rooms, and new seating and a press box. This project will also include upgrades to plumbing, electrical, and HVAC systems.

Project Status:

The ground slabs are in place. The concrete block is complete. Steel erection is 95% complete. Elevated slabs are in process. Mechanical, electrical and plumbing (MEP) rough-in is under way.

Renovate/Upgrade Softball Complex

Project Description:

This project consists of 1) Spectator seating and amenities, including replacement of the existing portable seating sections for Softball with new treads and risers from end to end of the dugouts that will provide approximately 400-600 new fixed chair back seats, and a combination of 600-800 of bleachers for a total permanent seating capacity of around 1200, including new elevated terraces overlooking the field at the back of the new grandstands and new press facilities, 2) New indoor practice facilities, 3) Playing field improvements, including site lighting and entry plaza to the complex including a new ticket facility and 4) New Team Building with coaches offices, team locker, lounge and equipment facilities for Softball.

Project Status:

The Dedication ceremony was held on April 13, 2013. The project should be substantially complete by the end of August.

Repair/Upgrade/Improve Building Shell Systems (2013 Annual Parking Garage Maintenance & Restoration

Project Description:

This is the first part of a preventative maintenance and repair program for the campus parking structures for the next ten years and includes repairs of 8 of the parking garages that are considered more critical in nature. These maintenance and repair are intended to maintain and extend the useful life of the parking structures.

Project Status:

The project has been successfully bid within budget. The successful contractor was RAM Construction Services. Work began in June and is currently underway. All submittals have been delivered and reviewed.

Concrete repairs in garage numbers 1 and 3 are being staged to accommodate the parking demand for those garages. The work in garage numbers 2, 6 and 7 is being completed in the evening hours as not to disrupt daily operations. The replacement of expansion joints in garages numbers 1, 2, 6 and 7 is near completion. The repairs and modifications of the plumbing systems in garage number 1 is 30% complete. Other miscellaneous repairs are being undertaken in each garage as the contractor moves forward. This work includes repairs to stair rails, door hardware replacement, bollard repair and caulking. When this work is complete the contractor will move to garage numbers 5, 8 and the Good Samaritan garage.

The contractor is behind his original schedule and has increased his man power in the last several weeks. Substantial Completion for garage numbers 1, 2 and 3 is August 22, 2013 with Substantial Completion for garage numbers 5, 6, 7, 8 and Good Samaritan being October 11, 2013.

Repair/Upgrade/Expand Central Plants (Cooling Tower)

Project Description:

The Medical Center Cooling Plant provides chilled water to the campus loop as well as the Medical Center. The cooling tower is in excess of 10 years old. This tower does not meet today's cooling capacity requirements due to increased loads and other inefficiencies.

Project Status:

Construction is progressing on schedule. The demolition and new structural steel are complete. The cooling tower erection is underway.

Repair/Upgrade/Improve Mechanical Infrastructure (Steam Line Farm Road)

Project Description:

The Farm Road steam line delivers steam from the campus steam plants to the following buildings: Gluck Equine, Plant Science, ES Good Barn, KY Tobacco Center, and BCTCS. The steam is used for building heat, steam humidification, cleaning, and sterilizers. This project replaces the steam and condensate piping from the ES Good Barn to the Gluck Building. The 25 year old piping has deteriorated beyond repair. The upstream and downstream sections have been recently replaced.

Project Status:

Construction is progressing on schedule. Demolition is complete. Phase 1 piping is in place and being pressure tested. Substantial completion is scheduled for 8/30/13.

Upgrade/Expand Commonwealth Stadium

Project Description:

The existing stadium was built in 1973 and needs upgrades and expansion to better accommodate the students, fans, and players. The project will provide new premium seating with revenue generating opportunities, improving the overall operational effectiveness and enhancing the fan experience as well as allowing UK to attract the best student-athletes to the campus. Among the planned improvements are many spectator driven improvements (improved concessions, restrooms and security), a new multi-purpose recruiting room, 16-20 private suites, new home team facilities, approximately 2,000 new club seats, new press facilities, a new full service kitchen, and a new team store.

Project Status:

The Stadium project is moving forward on schedule with substantial completion set for August 14, 2015 prior to the beginning of the 2015 football season. The schematic design documents were delivered to the University July 10, 2013. Review meetings were held with various representatives of the campus community on July 23. Both written and verbal comments were evaluated. All comments will be addressed before moving to the design development phase. Further design meetings are scheduled on a bi-weekly basis during 2013. Design development documents are due to be delivered to the University September 17, 2013.

The team of Skanska/Congleton-Hacker has been selected to serve as the construction manager for the project. This team's first construction estimate which was based on schematic design documents was delivered July 25. During the last week of July this estimate was reconciled with an estimate generated by the design team. The reconciled estimate exceeded the funds available for the project. The project team is currently undertaking a value engineering exercise.

Current schedule calls for the initial package which will include site clearing/mass excavation, demolition, foundations, waterproofing and underground MEP systems will bid in early November with construction to begin in early December. Subsequent packages will follow with all packages being bid by June of 2014. Construction will proceed thru the 2014 football season with design and construction coordinated in a fashion to have minimal impact on game day events and operations.

Central Hall I & II (Previously referred to as Haggin Honors Housing)

Project Description:

This project is the Phase I development of the partnership between the University of Kentucky and Education Realty Trust (EdR) to replace on campus undergraduate and graduate student housing. The Haggin Honors Housing (Central I and II) is the first phase of this partnership and includes construction of two new buildings located in what was formerly a green field immediately to the east of the existing Haggin Hall. These buildings will provide a combined total of 601 new beds. The buildings are each four (4) stories high, plus a partial basement in the south building to house the Honor's program. The south building also includes three (3) classrooms in the basement space.

Project Status:

These two buildings have been accepted as substantially complete and will be occupied for the 2013 Fall Semester. UK-Honors and EdR's Management staff have now occupied the buildings. The formal dedication of the buildings is scheduled for 8/16/13.

Champions Court I & II (Previously referred to as “Blazer” & “Wildcat”)

Project Description:

This project is a part of the Phase IIA development of the partnership between the University of Kentucky and Education Realty Trust (EdR) to replace on campus undergraduate and graduate student housing. The Champions Court I (CCI) building consists of 8 floors with 740 beds, and includes shelved space for fit-out of retail and classroom space. The Champions Court II (CCII) building consists of 427 beds on 5 floors and does not include shelved space. These buildings are being constructed on the site encompassed by Martin Luther King Blvd. to the west, Lexington Ave. to the east, Avenue of Champions to the south and north to include the Samaritan parking lot which is located just north of the former Joe B. Hall Wildcat Lodge. The Joe B. Hall Wildcat Lodge was demolished to make room for the new construction.

Project Status:

These two buildings are currently under construction and scheduled to open in August 2014. Below is a summary of the work currently in progress:

Champions Court I (South Building) – Interior wall framing and MEP rough-in work is currently in progress.

Champions Court II (North Building) – Exterior wall framing and sheathing is currently in progress.

Woodland Glen I & II (Housing to replace Cooperstown Buildings D & E)

Project Description:

This project is a part of the Phase IIA development of the partnership between the University of Kentucky and Education Realty Trust (EdR) to replace on campus undergraduate and graduate student housing. These buildings make up the first section of the Woodland Glen housing area. These two new buildings (WGI & WGII) will be 5 stories each, with each providing 409 beds for a total of 819 beds. They will be located where the former Cooperstown D & E Buildings were located. A total of three (3) classrooms will be included in these buildings combined.

Project Status:

Structural foundation systems are nearing completion; wall panels and MEP rough-ins are in progress. The buildings are scheduled to open for occupancy in August 2014. The buildings are currently on schedule.

Construct Academic Science Building

Project Description:

This project will construct a 263,000 gross square foot Academic Science facility. The new facility will house most of the introductory science laboratory courses allowing students to learn the commonalities of multiple science disciplines in an interdisciplinary fashion and model “team problem-solving”. The facility will be student oriented, having an open environment that encourages interaction and collaboration beyond the classroom. The new building will also contain premier interdisciplinary undergraduate science teaching labs and classrooms as well as student commons space, group and individual study rooms, and other amenities.

Project Status:

The selection for the national and local design consultants and the construction manager has been completed. JRA Architects out of Lexington and Payette Architects of Boston have been awarded the contract for the design of the facility. Messer Construction of Lexington has been awarded the construction manager contract. The project kick-off meeting was held this past month with the University President, Provost, Executive Vice President for Finance and Administration, and representatives of the College of Arts and Science and Facilities Management. The building committee has traveled to a number of recently completed science facilities to view the latest programs and concepts. The programming and conceptual design phase has begun and will continue for three to four months. The building massing and site orientation will be accomplished during this initial phase. The overall design phase is scheduled to complete by spring 2014 and construction is estimated for two years to complete by August 2016. Demolition of Donovan Hall is scheduled for May 2014.

Repair/Upgrade/Improve Bldg. Systems – UKHC (Good Samaritan Hospital Air Handlers)

Project Description:

This project will replace air handling units (AHU) 19 and 21 in Good Samaritan Hospital. AHU 19 heats and cools patient areas on floors four through seven. AHU 21 serves the second floor operating rooms and the pre-operative and post-operative acute care unit areas. These AHUs are 42 years old, in poor mechanical condition and do not provide sufficient airflow to meet current code requirements. Replacement of these AHUs is needed to insure patient and staff comfort and air quality and to meet regulatory requirements.

Project Status:

The University received proposal from seven engineering design firms. The project team short-listed three firms, with interviews scheduled for August 13. A contract with the selected firm should be approved by no later than August 27.

Repair/Upgrade/Improve Electrical Infrastructure (Central Campus)

Project Description:

Current projects under construction and in various stages of planning will require expanded electrical capacity to meet the power needs of these facilities. This project will construct an underground duct bank and bring 12,000 volts (12kV) feeds from Substation #3 to power each facility. The duct bank will serve the future academic science building along with the other new facilities. It will also add to campus system overall reliability.

Project Status:

Staggs & Fisher was chosen as the project engineer. The project is currently in schematic design.

Repair/Upgrade/Improve Mechanical Infrastructure (Replace Sanitary Sewer on Woodland Avenue)

Project Description:

The Phase IIA and Phase IIB Housing projects include replacing Cooperstown Apartments with undergraduate student residence halls. The number of students residing in this area will significantly increase, as the 314 graduate and family apartments will be replaced with 2,428 undergraduate beds. As a result, the sanitary main capacity must be increased to handle the effluent discharge from these facilities. This project will replace an aged 8" clay sewer line with a 12" ductile iron line.

Project Status:

The successful low bidder is Lagco Inc. The contractor is mobilizing and securing required permits from the city.

Upgrade/Relocate Pediatric Critical Care Unit

Project Description:

The project will renovate existing space of the KY Children's Hospital Acute Care Unit in Pavilion HA. The work implements contemporary pediatric design concepts, interior finish upgrades including flooring, wall reconfigurations, ceiling and lighting. The project includes all patient, public and staff spaces such as patient rooms, patient bathrooms, team work areas and corridors. The implementation of this project is dependent upon private donations. Preliminary designs and related renderings will assist the University in fund-raising efforts.

Project Status:

Moody-Nolan, Inc. was the selected design firm. Given that schematic design was provided from earlier design concepts, Design Development documents are scheduled to be delivered on August 20, 2014.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Ambulatory Electronic Health Record Equipment System (EHR)

Project Number: 2335.0

Scope: \$4,861,760

Project Description:

This project will upgrade the existing electronic information infrastructure to include building modifications, to provide a common, secure electronic information infrastructure for sharing healthcare information. In addition the project will ensure interoperability among health record systems, which, if not implemented, could create up to a 3% reduction in Medicare reimbursements to the Hospital. The infrastructure portion of the project will take place in four phases impacting over 50 clinics. The phases will include an initial bid package supporting three pilot clinic activations and three subsequent bid packages resulting in all impacted clinics being upgraded to new operating standards. Extensive modifications to the cooling systems of the various communication closets are included in the project as well as upgrades to the various clinics' power and data systems.

Consultant: CMTA

Contract #	A121090	Original Contract Amount:	\$123,465
Total Amendments to Date:	1	Cumulative Amendment Amount:	\$253,890
Describe all Amendments:		Revised Contract Amount:	\$377,355

Amendment 1, 5/8/12, an increase of \$253,890 was approved to provide for complete design and construction administration required to activate an electronic health record system in an additional forty four clinics. **No amendments this quarter.**

Contractor: Jarboe Construction - Phase 1

Contract #	7500002862	Original Contract Amount:	\$164,800
Total Change Orders to Date:	6	Cumulative Change Order Amount:	\$6,638
Low Bid:	\$164,800	Revised Contract Amount:	\$171,438
High Bid:	\$203,300	Contract % complete:	100%
Number of Bids:	4		

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Contractor: Jarboe Construction - Phase 2

Contract #	7500005737	Original Contract Amount:	\$711,000
Total Change Orders to Date:	7	Cumulative Change Order Amount:	\$38,060
Low Bid:	\$711,000	Revised Contract Amount:	\$749,060
High Bid:	\$798,500	Contract % complete:	100%
Number of Bids:	4		

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Contractor: Eubank & Steele - Phase 2B

Contract #		Original Contract Amount:	\$604,000
Total Change Orders to Date:	3	Cumulative Change Order Amount:	\$25,525
Low Bid:	\$604,000	Revised Contract Amount:	\$629,525
High Bid:	\$774,000	Contract % complete:	100%
Number of Bids:	5		

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Campus Security System

Project Number: 2342.00

Scope: \$4,800,000

Project Description:

This project includes various security initiatives using a centralized safety response to campus monitored from the Police Department located in the Safety and Security Building. The project includes a geo-diverse video management (VMS) and security management system (SMS) that provides situational awareness to targeted areas on campus and event driven analytics to alert University Campus Police of possible threats to campus. This project will provide campus-wide camera monitoring, early warning systems speakers, and other communication devices to monitor and respond to campus incidents. The project will provide the infrastructure necessary for proposed installations and incorporate or replace legacy systems to a single security system.

Consultant: Biagi, Chance, Cummins, London & Titzer

Contract # A131060B

Original Contract Amount:

\$50,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$50,000

No amendments this quarter.

Contractor: Dallmann Systems, Inc. *Dallman's contract awarded by RFP Process

Contract # 7500014489

Original Contract Amount:

\$3,624,511

Total Change Orders to Date: 1

Cumulative Change Order Amount:

\$27,539

Low Bid:

Revised Contract Amount:

\$3,652,050

High Bid:

Contract Percent Complete:

62%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 1, 5/2/13, was approved for an increase of \$27,539 to change ID cards form Duo Prox II to iClass Corporate 1000.

Construct Patient Care facility - 8th Floor Patient Rooms

Project Number: 2239.73

Scope: \$31,500,000

Project Description:

Since opening the first two patient care floors in Pavilion A in May 2011, UK HealthCare has continued to experience strong inpatient admissions growth. This volume increase has placed substantial capacity constraints on UK inpatient hospital operations. This project will help alleviate these constraints and includes the fit-up of the eighth floor for inpatient cardiovascular services and fit-up of space on the third floor for an expansion of inpatient pharmacy services. This project will provide 64 inpatient beds and increase the medication packaging and distribution capacity for the Central Pharmacy.

Consultant: GBBN Architects

Contract # A131160

Original Contract Amount:

\$538,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$538,000

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct UK/Nicholasville Road Flood Mitigation

Project Number: 2336.00

Scope: \$11,880,030

Project Description:

This project will construct three separate improvements, to provide 100 year storm (and lesser storm) mitigation to prevent roadway overtopping and improve pedestrian safety addressing storm water quantity within this one campus water shed. 1) Area between Alumni Drive and Shawneetown Drive (includes permanent removal of Shawneetown Drive and relocation of existing utilities) will be excavated for additional storm water detention. 2) Existing culvert upgrade at the upstream side of Nicholasville Road. 3) Portions of impervious pavements for the football stadium will be removed and replaced with pervious pavements. The \$8,015,463 project will be funded by a federal grant of \$6,011,597 from FEMA with the balance of \$2,003,866 being the In-Kind value of the 3.6 acres of University of Kentucky land determined by adjacent property values. Water quality related issues may also be part of the project scope depending on available funds and compatibility with storm water quantity design.

Consultant: Bell Engineering

Contract # A121130

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$637,000

Cumulative Amendment Amount:

Revised Contract Amount: \$637,000

Contractor: No construction contract awarded to date

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Renovate Academic Facility - University Lofts Facility

Project Number: 2339.00

Scope: \$8,000,000

Project Description:

This project will renovate the 110,000 gross square foot facility into classrooms, class labs/studios, office and support space for the College of Fine Arts. The improvements will also include necessary upgrades of the building systems such as communications, plumbing, HVAC, electrical, fire safety and security.

Consultant: Omni Architects

Contract # A130180

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$584,437

Cumulative Amendment Amount:

Revised Contract Amount: \$584,437

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Dental Class Labs (Room 611)

Project Number: 2346.00

Project Description:

Scope: \$4,700,000

The project includes upgrading ventilation systems, modernizing and enhancing technology utilized in training dental students, and accommodating additional student dental workstations and workspaces in the Student Simulation Lab.

Consultant: Stengel Hill Architecture

Contract # A131120

Original Contract Amount: \$259,900

Total Amendments to Date: 1

Cumulative Amendment Amount: \$3,200

Describe all amendments :

Revised Contract Amount: \$263,100

Amendment 1, 5/14/13, an increase of \$3,200 was approved for additional design services as required to create a BIM model of structural and architectural components.

Contractor: Enola Contracting Services, Inc.

Contract # 7500014738

Original Contract Amount: \$2,183,236

Total Change Orders to Date: 6

Cumulative Change Order Amount: -\$7,936

Low Bid: \$2,183,236

Revised Contract Amount: \$2,175,300

High Bid: \$2,816,000

Contract Percent Complete: 59%

Number of Bids: 9

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Robotics Building

Project Number: 2353.00

Scope: \$2,375,000

Project Description:

The Center for Biomedical Engineering (CBME) was transferred administratively to the College of Engineering effective July 1, 2010. CBME is currently in the Wenner Gren Research Lab. A key component of this transfer is the relocation of the CBME from the Wenner-Gren buildings to the 5th floor of the Center for Robotics and Manufacturing Systems (CRMS). The relocation is needed to take advantage of the many collaborative research opportunities between the CBME faculty and the various other Engineering faculty in and near the CRMS building. The project will completely renovate eight (8) existing labs to accommodate the relocation, as well as renovate two former labs into shared equipment rooms and graduate student office space. The approximate square footage of the planned renovation will be 3,500.

The legislative authorized scope is \$3,842,000. The current total available funding is only \$1,800,000 therefore the University intends to prioritize the improvements and install all the utilities in this first phase thereby enabling future connections as additional funds become available.

Consultant: Bender Associates Architects

Contract # A131130

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$105,000

Cumulative Amendment Amount:

Revised Contract Amount:

\$105,000

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Renovate Schmidt Vocal Arts Center

Project Number: 2326.00

Scope: \$1,500,000

Project Description:

This project will complete the renovations to include rehearsal rooms, teaching studios, practice rooms, music library, office space for staff and graduate assistants, and enhancements to the building's main entrance.

Consultant: Sherman Carter Barnhart

Contract # A111120

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$128,540

Cumulative Amendment Amount:

Revised Contract Amount:

\$128,540

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate/Expand Gatton Building

Project Number: 2345.00

Scope: \$65,000,000

Project Description:

This project includes renovating and expanding the existing 138,400 gross square foot Gatton Building. The expansion will include a three story addition consisting of 65,727 gross square feet comprised primarily of community-oriented space to include an atrium, with a café and seating area, classrooms, and a 500 seat auditorium. The addition will become the primary entrance to the building and will be accessed from central campus. A secondary entrance from South Limestone St. will also be incorporated in the renovated space. The original 1963 building will provide various types of space for faculty and students; the classrooms in the 1991 addition will be renovated and updated to include the latest technology. When completed, the building will have a total of 204,127 gross square feet.

Consultant: Ross Tarrant Architects

Contract # A131090

Original Contract Amount: \$3,716,149

Total Amendments to Date: 1

Cumulative Amendment Amount: \$1,752,276

Describe all amendments :

Revised Contract Amount: \$5,468,425

Amendment 1, 6/11/13, an increase of \$1,752,276.24 was approved to provide bidding and construction administration services which were not included in the original contract due to phased legislative authorities, and includes expanded programming and design services.

Contractor: Skanska USA Building Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. *SKANSKA's contract was awarded by RFP process.

Contract # 7500012212

Original Contract Amount: \$57,000

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

\$57,000

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate/Expand Soccer Facilities

Project Number: 2349.00

Scope: \$7,700,000

Project Description:

This project will renovate and expand the University's soccer facilities to include locker, shower, and lounge space for the men's and women's soccer teams, training and umpire rooms, and new seating and a press box. This project will also include upgrades to plumbing, electrical, and HVAC systems.

Consultant: Luckett & Farley

Contract # A121100

Original Contract Amount:

\$613,500

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$613,500

No amendments this quarter.

Contractor: Codell Construction Co.

Contract # 7500014867

Original Contract Amount:

\$5,896,000

Total Change Orders to Date: 6

Cumulative Change Order Amount:

\$545,367

Low Bid: \$5,896,000

Revised Contract Amount:

\$6,441,367

High Bid: \$6,321,000

Contract Percent Complete:

30%

Number of Bids: 6

Describe all change orders greater than \$25,000.00:

Change Order # 2, 5/2/13, was approved for an increase of \$121,652 to add alternate # 2, press level suites: rooms 301 & 312, post bid once scope was increased. Change Order # 3, 5/2/13, was approved for an increase of \$159,634 to add alternate # 3, officials room: rooms 121, 121A, 121B, 121A1 & 121B1, post bid once scope was increased. Change Order # 4, 5/2/13, was approved for an increase of \$119,859 to add alternate # 4, visitor's locker room: rooms 122, 122A, 122B & 122B1, post bid once scope was increased. Change Order # 5, 5/2/13, was approved for an increase of \$146,412 to add alternate # 1, training room: rooms 103, 103A, 103B & 103C, post bid once scope was increased. The base bid received was within the authorized budgeted amount. It was the Athletic Department's desire to also award the (4) additive alternatives plus related site work and contingency to complete the project.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate/Upgrade Softball Complex

Project Number: 2338.00

Scope: \$9,700,000

Project Description:

This project consists of 1) Spectator seating and amenities, including replacement of the existing portable seating sections for Softball with new treads and risers from end to end of the dugouts that will provide approximately 400-600 new fixed chair back seats, and a combination of 600-800 of bleachers for a total permanent seating capacity of around 1200, including new elevated terraces overlooking the field at the back of the new grandstands and new press facilities, 2) New indoor practice facilities, 3) Playing field improvements, including site lighting and entry plaza to the complex including a new ticket facility and 4) New Team Building with coaches offices, team locker, lounge and equipment facilities for Softball.

Consultant: Luckett & Farley

Contract # A121120

Total Amendments to Date: 1

Original Contract Amount:

\$597,800

Cumulative Amendment Amount:

\$30,000

Describe all amendments :

Revised Contract Amount:

\$627,800

Amendment 1, 12/11/12, an increase of \$30,000 was approved for additional construction administration services. **No amendments this quarter.**

Contractor: Codell Construction Co.

Contract # 7500008312

Total Change Orders to Date: 54

Low Bid: \$5,549,310

High Bid: \$5,568,000

Number of Bids: 6

Original Contract Amount:

\$8,049,310

Cumulative Change Order Amount:

\$264,163

Revised Contract Amount:

\$8,313,473

Contract Percent Complete:

96%

Describe all change orders greater than \$25,000.00:

Change Order # 1, 12/11/12, was approved for an increase of \$28,587 to provide hub pipe in all underground installations to comply with the UK Standards. **Change Order # 7**, 12/17/12, was approved for an increase of \$35,387 to coordinate miscellaneous electrical components with the remainder of the design. **Change Order # 16**, 1/3/13, was approved for an increase of \$30,882 for revision of brick allowance. **Change Order # 19**, 1/4/13, was approved for an increase of \$28,750 to revise and adjust electrical components for coordination and compliance with code. **Change Order # 32**, 2/11/13, was approved for an increase of \$49,098 to install all concealed infrastructure necessary for the concession stand fit-up which was originally not included in the scope. **Change Order # 33**, 2/11/13, was approved for an increase of \$31,219 to accommodate the design of the future soccer stadium connecting bridges and elevator coordination. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Repair/Upgrade/Improve Building Shell Systems - 2013 Annual Parking Garage

Project Number: 2364.00

Project Description:

Scope: \$1,000,000

This is the first part of a preventative maintenance and repair program for the campus parking structures for the next ten years and includes repairs of 8 of the parking garages that are considered more critical in nature. These maintenance and repair are intended to maintain and extend the useful life of the parking structures.

Consultant: THP Limited, Inc.

Contract # A131110

Original Contract Amount:

\$68,750

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$68,750

No amendments this quarter.

Contractor: RAM Construction Services

Contract # 7500019575

Original Contract Amount:

\$669,018

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$669,018

Revised Contract Amount:

\$669,018

High Bid: \$1,075,100

Contract Percent Complete:

2%

Number of Bids: 5

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Repair/Upgrade/Expand Central Plants (Cooling Tower)

Project Number: 2356.00

Project Description:

Scope: \$870,000

The Medical Center Cooling Plant provides chilled water to the campus loop as well as the Medical Center. The cooling tower is in excess of 10 years old. This tower does not meet today's cooling capacity requirements due to increased loads and other inefficiencies.

Consultant: Staggs & Fisher Consulting Engineers

Contract # A131020S

Original Contract Amount:

\$43,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$43,000

No amendments this quarter.

Contractor: Lagco, Inc.

Contract # 7500018392

Original Contract Amount:

\$774,483

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$774,483

Revised Contract Amount:

\$774,483

High Bid: \$1,052,000

Contract Percent Complete:

50%

Number of Bids: 5

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Repair/Upgrade/Improve Mechanical Infrastructure (Steam Line Farm Road)

Project Number: 2355.00

Scope: \$835,000

Project Description:

The Farm Road steam line delivers steam from the campus steam plants to the following buildings: Gluck Equine, Plant Science, ES Good Barn, KY Tobacco Center, and BCTCS. The steam is used for building heat, steam humidification, cleaning, and sterilizers. This project replaces the steam and condensate piping from the ES Good Barn to the Gluck Building. The 25 year old piping has deteriorated beyond repair. The upstream and downstream sections have been recently replaced.

Consultant: Staggs & Fisher Consulting Engineers, Inc.

Contract # A131140

Original Contract Amount: \$55,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$55,000

No amendments this quarter.

Contractor: Industry Services Co., Inc.

Contract # 7500017884

Original Contract Amount: \$582,501

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$582,501

Revised Contract Amount: \$582,501

High Bid: \$942,900

Contract Percent Complete: 5%

Number of Bids: 4

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Upgrade/Expand Commonwealth Stadium

Project Number: 2362.00

Scope: \$110,000,000

Project Description:

The existing stadium was built in 1973 and needs upgrades and expansion to better accommodate the students, fans, and players. The project will provide new premium seating with revenue generating opportunities, improving the overall operational effectiveness and enhancing the fan experience as well as allowing UK to attract the best student-athletes to the campus. Among the planned improvements are many spectator driven improvements (improved concessions, restrooms and security), a new multi-purpose recruiting room, 16-20 private suites, new home team facilities, approximately 2,000 new club seats, new press facilities, a new full service kitchen, and a new team store.

Consultant: Ross Tarrant Architects

Contract # A131170

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$8,367,464

Cumulative Amendment Amount:

Revised Contract Amount:

\$8,367,464

Contractor: Skanska/Congleton-Hacker Joint Venture

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. The contract was awarded by RFP process

Contract # 7500019555

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:

\$5,895,580

Cumulative Change Order Amount:

Revised Contract Amount:

\$5,895,580

Contract Percent Complete:

FCR 8

Office of the President
September 10, 2013

Members, Board of Trustees:

REPAIR/UPGRADE/IMPROVE BUILDING SHELL SYSTEMS
(W.P. GARRIGUS BUILDING)

Recommendation: that the Board of Trustees approve the initiation of the Repair/Upgrade/Improve Building Shell Systems (W.P. Garrigus Building) capital project.

Background: This project will replace the roof structure which has exceeded its useful life and create a variety of outdoor spaces for teaching and learning. The project will increase the permeability and harvesting of rainwater which will improve sustainability efforts. The work includes replacement of the roof and existing roof membrane, protection board and stone, serving as the drainage medium for the roof. New concrete curbs and walkways, porous unit pavers, steel arch and arbor pieces, foundations and site lighting, electrical distribution and other related site improvements will be included in the project.

This \$985,000 project is well within the \$10,000,000 authorized for this infrastructure pool by the 2012 Session of the Kentucky General Assembly and will be funded with agency funds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 9

Office of the President
September 10, 2013

Members, Board of Trustees:

ACQUIRE/RENOVATE ACADEMIC FACILITIES – EARLY CHILDHOOD LABORATORIES

Recommendation: that the Board of Trustees approve the initiation of the Acquire/Renovate Academic Facilities capital project.

Background: This project will renovate approximately 10,566 square feet of shelled space in the recently purchased Lexington Theological Seminary facilities to accommodate the College of Education's Early Childhood Laboratory. The Laboratory, currently located in the basement of the Erickson Building, educates children from six weeks of age through pre-school and provides College of Education students with an excellent opportunity to gain invaluable field experience in early childhood teaching. The program also provides a highly valued on-campus childcare opportunity for faculty and staff.

The renovation will upgrade the mechanical systems and fit-up programming space. The project will allow for expansion of the program in much improved facilities, alleviate long-standing environmental concerns related to the current location, and create space that will be compliant with the Americans with Disabilities Act.

The project scope is not expected to exceed \$3,000,000, well within the \$25,000,000 legislative authorization and will be funded with a \$1,000,000 million internal loan, private gifts, and general funds. The College of Education will repay the principal of the loan over a period not to exceed 10 years. The Office of the Executive Vice President for Finance and Administration will pay the accrued interest on the internal loan.

In accordance with the University's Debt Policy, the Debt Management Committee has reviewed and supports the proposed internal loan and financing plan.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 10

Office of the President
September 10, 2013

Members, Board of Trustees:

EMERGENCY REPLACEMENT OF WOODFORD COUNTY FEED MILL

Recommendation: that the Board of Trustees be informed and recognize the Replacement of the Woodford County Feed Mill capital project as an emergency project and approve the initiation of the design and reconstruction of the facility.

Background: On May 26, 2013, a fire destroyed the College of Agriculture's feed manufacturing facility located on the C.O. Little Research Center in Woodford County. The cause of the fire is undetermined. This facility was used to create precise diets suitable for research for all poultry at the Coldstream Research Center and all swine and sheep at the C.O. Little Research Center.

Emergency provisions have been made to manufacture the research rations at other facilities and for farm operations to continue uninterrupted until a replacement facility is completed. The facility was insured at full replacement value.

Pursuant to KRS 45.750(1)(g) this project qualifies as an Emergency, Repair, Maintenance or Replacement Project as it is necessitated by damage resulting from a disaster. The President recognized the replacement as an emergency August 12 (see attached). Contingent upon approval by the Board of Trustees, the University will report the project to the Capital Projects and Bond Oversight Committee as an emergency capital project.

The scope of this project is \$3 million and will be funded from insurance proceeds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

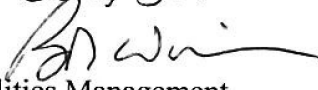


College of Agriculture,
Food and Environment
Office of the Dean and Director
S123 Ag. Science Building - North
Lexington, KY 40546-0091
859 257-4772
fax 859 323-2885
www.uky.edu

August 12, 2013

TO: Eli Capilouto
President, University of Kentucky

FROM: Scott Smith
Dean and Director 

THROUGH: Bob Wiseman
Vice President for Facilities Management 

RE: Emergency Replacement, Woodford County Feed Mill

On May 26, 2013, a fire destroyed the College of Agriculture's feed manufacturing facility located on the C.O. Little Research Center in Woodford County. The cause of the fire is undetermined. This facility manufactured all research feed stocks for all livestock research at the Coldstream Research Center and the C.O. Little Research Center. Emergency provisions have been made to manufacture the research rations at other facilities and for farm operations to continue uninterrupted until a replacement facility is completed. The facility was insured through the University's Risk Management Division and is covered to the level of full replacement cost new. Due to the importance of this facility it is critical that the design and reconstruction begin as soon as possible to reduce the negative impact to valuable research and to minimize the overall cost of the emergency replacement project.

Pursuant to KRS 45.750(1)(g) this project is an Emergency, Repair, Maintenance or Replacement Project as it is necessitated by damage resulting from a disaster. The College of Agriculture requests that this project be recognized as an Emergency, Repair, Maintenance or Replacement Project and submitted to the Board of Trustees. Contingent upon approval by the Board of Trustees the University will report the project to the Capital Projects and Bond Oversight Committee as an emergency capital project.

The scope of this project is \$3 million and will be funded from insurance proceeds.

A handwritten signature in cursive script, appearing to read "Eli Capilouto".

Approved: Eli Capilouto, President, University of Kentucky

FCR

Office of the President
September 10, 2013

Members, Board of Trustees:

EMERGENCY REPLACEMENT OF WOODFORD COUNTY FEED MILL

Recommendation: that the Board of Trustees be informed and recognize the Replacement of the Woodford County Feed Mill capital project as an emergency repair, maintenance or replacement project and approve the initiation of the design and reconstruction of this capital project.

Background: On May 26, 2013, a fire destroyed the College of Agriculture's feed manufacturing facility located on the C.O. Little Research Center in Woodford County. The cause of the fire is undetermined. This facility manufactured all research feed stocks for all livestock research at the Coldstream Research Center and the C.O. Little Research Center. Emergency provisions have been made to manufacture the research rations at other facilities and for farm operations to continue uninterrupted until a replacement facility is completed. The facility was insured through the University's Risk Management Division and is covered to the level of full replacement cost new. Due to the importance of this facility it is critical that the design and reconstruction begin as soon as possible to reduce the negative impact to valuable research and to minimize the overall cost of the emergency replacement project.

Pursuant to KRS 45.750(1)(g) this project is an Emergency, Repair, Maintenance or Replacement Project as it is necessitated by damage resulting from a disaster. Contingent upon approval by the Board of Trustees the University will report the project to the Capital Projects and Bond Oversight Committee as an emergency capital project.

The scope of this project is \$3 million and will be funded from insurance proceeds.

Action taken: ☐ Approved ☐ Disapproved ☐ Other _____

FCR 11

Office of the President
September 10, 2013

Members, Board of Trustees:

RENOVATE SCHMIDT VOCAL ARTS CENTER

Recommendation: that the Board of Trustees approve the initiation of the Renovate Schmidt Vocal Arts Center capital project.

Background: At its September, 2008 meeting, the Board of Trustees approved the upgrade of the electrical and mechanical systems for the Schmidt Vocal Arts Center. This new project will renovate the rehearsal rooms, teaching studios, practice rooms, music library, and office space for staff and graduate assistants.

The estimated scope of this project is \$1,700,000 and will be funded with private gifts and general fund balances. The project is legislatively authorized to be completed with agency funds (general funds). Contingent upon approval by the Board of Trustees, the University will submit the project to the Capital Projects and Bond Oversight Committee for authorization to use a combination of private and agency funds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 12

Office of the President
September 10, 2013

Members, Board of Trustees:

RENOVATE ACADEMIC FACILITY – UNIVERSITY LOFTS

Recommendation: that the Board of Trustees approve the initiation of the Renovate Academic Facility – University Lofts capital project and grant an exemption from the Commonwealth of Kentucky's High Performance Building Standards pursuant to 200 KAR 6:070 Section 3(c) (Kentucky Administrative Regulation.)

Background: At its June 2011 meeting, the Board of Trustees approved the purchase of the 107,578 gross square foot University Lofts facility located at 236 Bolivar Street, Lexington, Kentucky. At that meeting, the Board also discussed needed system upgrades and renovating the facility into classrooms, class labs/studios, and office and support space for the College of Fine Arts at an estimated cost of \$8,000,000. Board approval of the upgrades and renovation would be sought once final costs are established. The College's instructional space is currently housed in Reynolds #1 which was constructed in 1934 as a tobacco warehouse and processing facility. The University acquired the building in 1962 and it is currently substandard and inadequate for the College's programs.

Since the June 2011 Board meeting, a more detailed assessment and design have revealed that early assumptions about reliance on existing systems are not feasible. While some existing building mechanical and electrical systems will remain in service, more extensive system upgrades are necessary to handle the College's anticipated program loads and needs. Thus, the project must include system upgrades such as HVAC, communications, plumbing, electrical, fire safety, and security. The building will be connected to campus central utilities to provide a back-up system and reduce the long-term utility costs as compared to stand-alone systems. The necessary system upgrades have increased the project scope to \$15,000,000.

The interior renovation of the facility has been designed to maximize sustainability wherever possible, but an exemption from the Commonwealth's High Performance Building Standards (200 KAR 6:070 Section 3(c)) is necessary to preserve the historical integrity of the building. Bringing the solid masonry exterior walls and the wooden frame up to the current energy performance standards would fundamentally change the character of the building and eliminate the "loft" feel that is important to the School of Art and Visual Studies. Sustainability strategies implemented in the design include the use of regionally-available and recycled materials, reuse of existing building materials, removing materials that contain volatile organic compounds to improve indoor environmental quality, and high-efficiency lighting design with multi-level

switching to reduce energy consumption. Upon completion of the renovation, the School will be housed in a modern and safe facility that appropriately serves its programs.

Contingent upon approval by the Board, the University will request the Capital Projects and Bond Oversight Committee to merge the \$8,000,000 legislative authorization for the Renovate Academic Facility project and \$7,000,000 of the 'Repair, Upgrade, or Improve Building Mechanical Systems' project pool for a combined \$15,000,000 authorized project scope. The project will be funded with accumulated fund balances.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 13

Office of the President
September 10, 2013

Members, Board of Trustees:

REPAIR/UPGRADE/IMPROVE BUILDING
MECHANICAL SYSTEMS - FINE ARTS BUILDING

Recommendation: that the Board of Trustees approve the initiation of the Repair/Upgrade/Improve Building Mechanical Systems in the Fine Arts Building capital project.

Background: This project will replace and upgrade major mechanical systems in the Fine Arts Building which includes replacement/upgrade of the air handling units and associated temperature controls, exhaust fans and the reheat coils. The replacement and upgrade of these mechanical systems will improve the comfort and energy efficiency of the building and also improve indoor air quality as the existing supply and return duct systems will be cleaned.

The project scope is not expected to exceed \$4,500,000 well within the \$25,000,000 legislative authorization. The work will be phased over the next two years during the summers and off peak times to minimize the impact to students, faculty and staff. The project will be funded with agency funds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 14

Office of the President
September 10, 2013

Members, Board of Trustees:

PATIENT CARE FACILITY PROJECT: PHASE I-E FIT-UP GROUND FLOOR CLINICAL DECISION UNIT

Recommendation: that the Board of Trustees approve the planning and design of the fit-up of the Clinical Decision Unit (CDU) of the Patient Care Facility and increase the existing \$607,100,000 total project scope by \$500,000 to \$607,600,000.

Background:

- At its December, 2004 meeting, the Board approved initiation of a major initiative to replace the original 1959 hospital structure. The project would be completed in multiple phases over an estimated five-year period.
- At its October, 2005 meeting, the Board approved the consolidation of the Patient Care Facility project (Phase I-A) with several authorized infrastructure projects to bring the aggregate authorized scope to \$450,000,000.
- At its September, 2007 meeting, the Board approved adding two shelled patient bed floors to the project.
- At its September, 2008 meeting, the Board approved the consolidation of existing legislative authorizations relating to the project and established the scope of the initial phases of the project at \$532,300,000.
- At its June, 2010 meeting, the Board approved the initiation of the Operating Room Suite and Related Support Space project (Phase I-B) increasing the scope of the total project to \$570,000,000.
- At its February, 2011 meeting, the Board approved the initiation of the Data Center and Related Support Space project (Phase I-C) increasing the scope of the total project to \$575,600,000.
- At its June, 2013 meeting, the Board approved the initiation of the Fit-up of the Eighth Floor and Pharmacy Project (Phase I-D) increasing the scope of the total project to \$607,100,000.

Since the opening of the first two patient care floors in Pavilion A of the Patient Care Facility in May 2011, UK HealthCare has continued to experience strong inpatient admissions growth. This volume increase has placed substantial capacity constraints on UK inpatient hospital operations. This project will include fitting up existing ground floor shell space for the Clinical Decision Unit (CDU) to provide up to 24 CDU beds to relieve the patient load and increase throughput in the Emergency Department. This request is for design services only with an

anticipated cost of \$500,000. Once the design is developed, a request to initiate construction will be submitted to the Board for consideration. The capital project scope is expected to total \$5,000,000.

The Board must approve any increase in the scope of the Patient Care Facility prior to project implementation. The estimated increase in the total project scope to \$607,600,000 is well within the total legislative authorization of \$700,000,000. The project will be funded from UK HealthCare patient care revenues.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 15

Office of the President
September 10, 2013

Members, Board of Trustees:

UNIVERSITY OF KENTUCKY GROUND LEASE TO SHRINERS HOSPITALS FOR CHILDREN

Recommendation: that the Board of Trustees authorize the President, or his designee, to enter into a long-term ground lease with Shriners Hospitals for Children (“SHC”) for the purpose of the construction of a new Shriners Hospital facility.

Background: At the Board of Trustees meeting in September, 2012, the Board approved a proposal to authorize the President to finalize a development plan under which SHC would relocate its Lexington hospital (“SHC Lexington”), and its critical children’s health care services, to the University campus.

The University of Kentucky and SHC Lexington have a long-standing relationship. SHC Lexington provides pediatric orthopedic care to children of Kentucky and surrounding states. The majority of the medical staff at SHC Lexington are faculty in the Department of Orthopedics in the College of Medicine. The faculty in the Department of Anesthesia as well as several other College of Medicine department faculty, provide services at SHC Lexington. SHC Lexington also supports several medical resident and student rotations.

SHC Lexington’s hospital on Richmond Road currently provides care to 10,800 patients. The hospital, now in its 85th year of operation, has a 180,000 square foot facility. The facility has 50 inpatient beds, two operating rooms, physical therapy services and outpatient clinics.

The majority of the services provided by SHC Lexington are outpatient. In 2011, the hospital performed 689 out-patient surgeries and 198 inpatient surgeries. The movement of the majority of its surgical cases to an outpatient setting has significantly diminished the need for SHC Lexington to operate an inpatient hospital service.

The parties have agreed to the key terms of a ground lease by which the University will lease land to SHC Lexington, who will develop a new facility to provide outpatient surgical services, outpatient clinics, physical therapy and other related services. All inpatient care for the children SHC Lexington serves will be provided in the Kentucky Children’s Hospital.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

HRCR 1

Office of the President
September 10, 2013

Members, Board of Trustees:

PROPOSED REVISION TO ADMINISTRATIVE REGULATION:
UNIVERSITY OF KENTUCKY RETIREMENT PLAN

Recommendation: that the Board of Trustees approves changing the Retirement Plan Vesting Schedule contained in the University of Kentucky's Retirement Plan as defined in Administrative Regulation (AR) 3:1 from five (5) years to three (3) years.

Background: The proposed change will enhance faculty and staff benefits by decreasing the Retirement Plan Vesting Schedule from five years "cliff vesting" (employee loses 100% of the University's retirement contribution if they leave before five years of employment) to three years. The change would be retroactive to January 1, 2013, the beginning of the current plan year.

The Board of Trustees approved a change in the vesting schedule from immediate vesting to the five-year cliff vesting schedule at its October, 2009 meeting, which became effective January 1, 2010. This change was implemented as a cost saving measure. The University has realized \$1.5 million in retirement plan savings through "forfeitures" (employer contributions returned to the Retirement Plan) over the last three fiscal years.

To attract and retain top talent, a decrease in the vesting period from five years to three years is recommended to improve the University's competitiveness while not substantially increasing costs. The change will not impact the FY 2013-14 operating budget. However, the University will forego collecting some forfeitures in the future. The opportunity cost of the change is estimated to be \$900,000 in FY 2014-15. The concept of changing the vesting schedule from five years to three years was strongly supported by the Administrative Regulations Committee, made up of faculty and staff, and unanimously supported by the Employee Benefits Committee. A revised copy of AR 3:1 is attached. Proposed additions are underlined; proposed deletions are lined through.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

UHCCR 1

Office of the President
September 10, 2013

Members, Board of Trustees:

APPOINTMENT TO BOARD OF DIRECTORS OF
THE UNIVERSITY OF KENTUCKY CENTER ON AGING FOUNDATION, INC.

Recommendation: that the Board of Trustees appoint Mr. Dennis Pike as a member of the Board of Directors of the University of Kentucky Center on Aging Foundation, Inc. for a three year term ending August 30, 2016.

Background: The University of Kentucky Center on Aging Foundation, Inc. is a not-for-profit support organization of the university, established to support the university's Center on Aging and related programs. Under the Articles of Incorporation, the Board of Trustees of the university formally appoints members of the Board of Directors.

Mr. Pike was nominated by current Board of Directors members Mrs. Pat Freibert and Mr. Jim LeMaster. His nomination is supported by the Foundation board members, the Executive Vice President of Health Affairs, and the President.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

Biographies for Board of Directors
University of Kentucky Center on Aging Foundation, Inc.

Mr. Dennis Pike is a lifetime resident of Lexington, KY and a graduate of the University of Kentucky. A partner in Pike and Preston, a financial services firm based in Lexington, he has for many years been an active participant in important civic organizations with both his energy and resources.

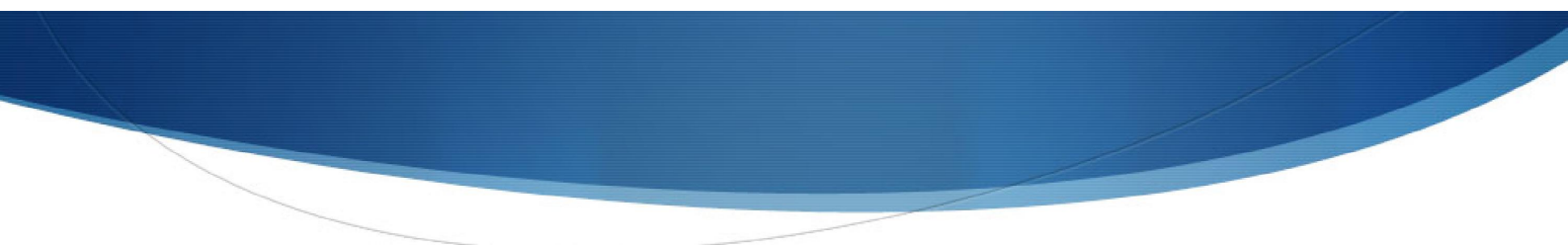
Board of Trustees

Fall 2013 Enrollment Report



September 2013

UNIVERSITY OF
KENTUCKY[®]



THE WALL STREET JOURNAL.

U.S. EDITION ▼

Tuesday, September 3, 2013 As of 9:44 PM EDT

EDUCATION | September 3, 2013, 9:44 p.m. ET

College Enrollment Falls for First Time Since '06

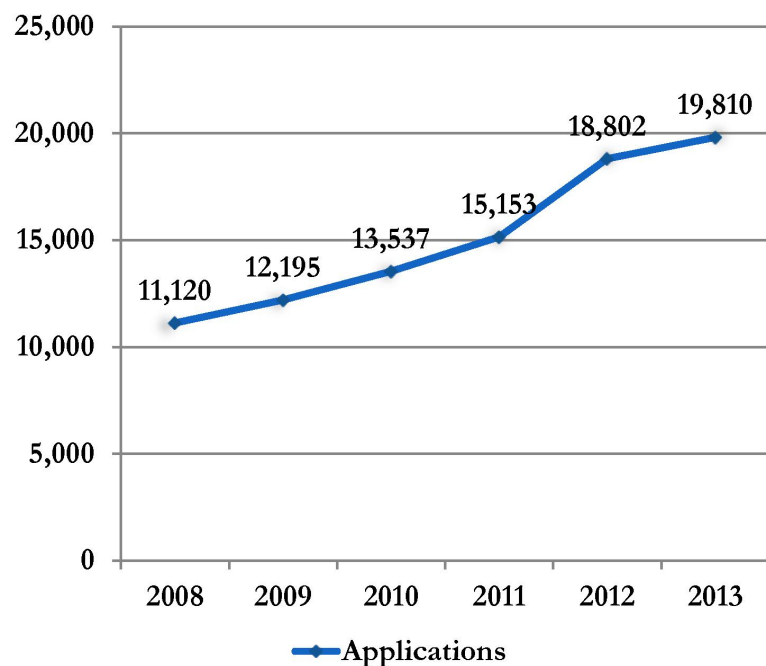
Number of Hispanic Students Hits All-Time High

Record Breaking Year

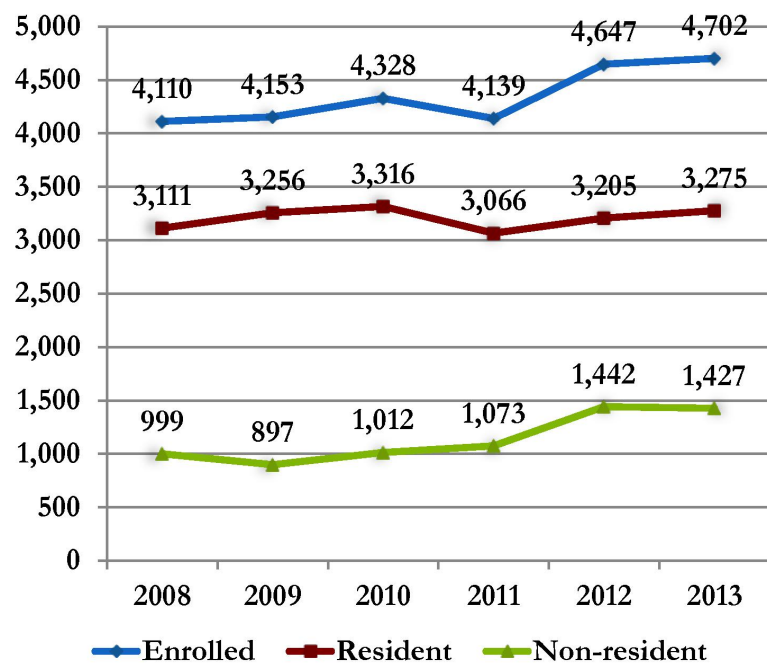
- UK's largest first-year class is marked by historic levels of diversity and academic quality
- Record number of students with 28-36 ACT/SAT Composite
- Record number of students with perfect ACT/SAT Composite
- 25 percent of the incoming class have a 4.0 high school GPA or higher
- Record number of Singletary Scholars
- Record first, second and third year retention rates

Fall 2013 Incoming Class – Record Numbers

Applications

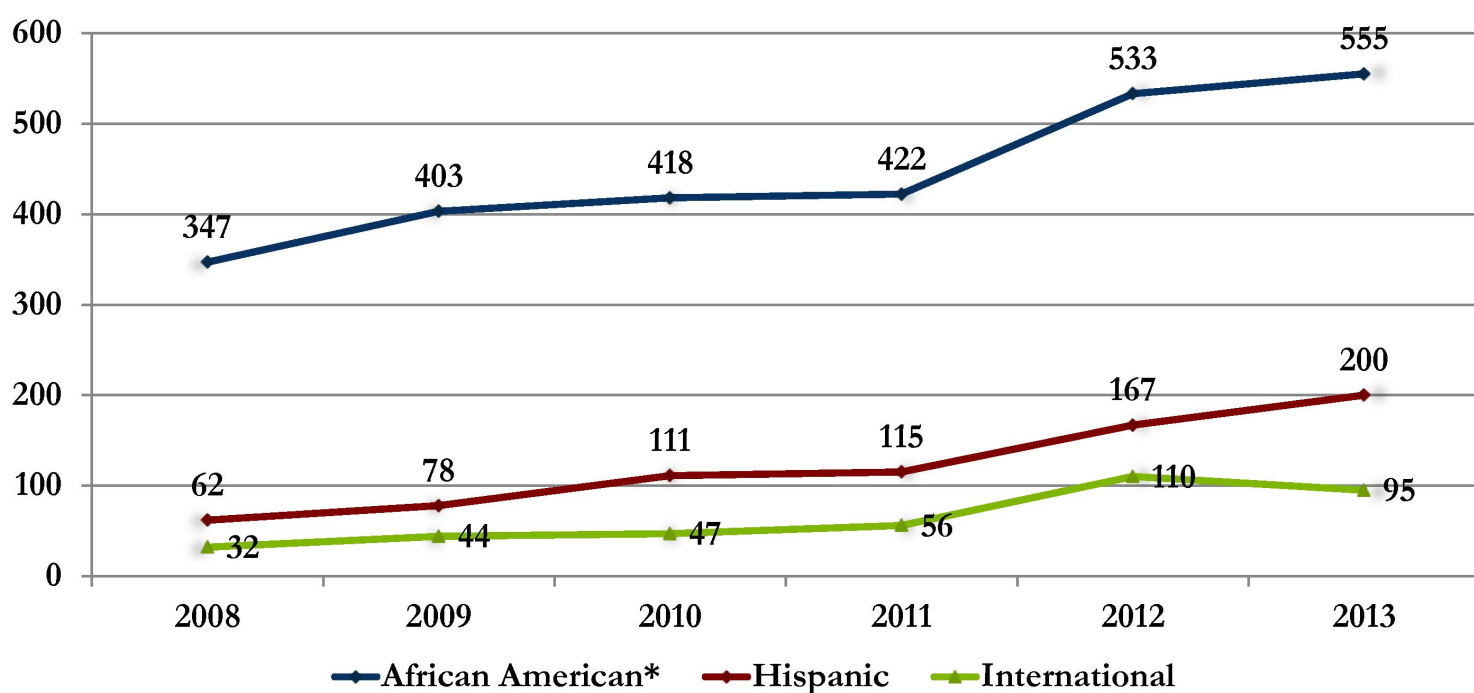


Enrollment Growth



Fall 2013 Incoming Class – Record Diversity

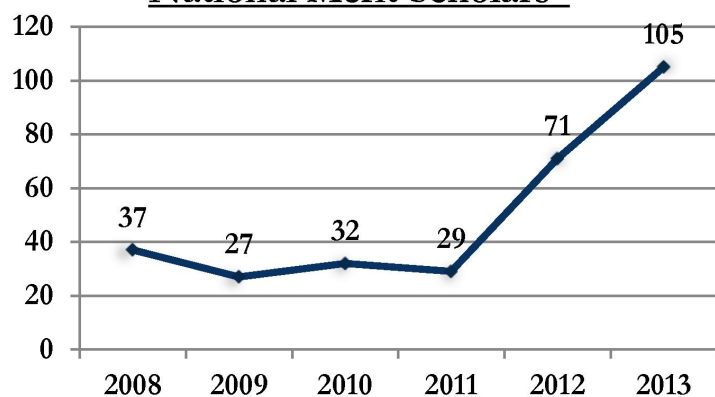
Underrepresented Student Enrollment



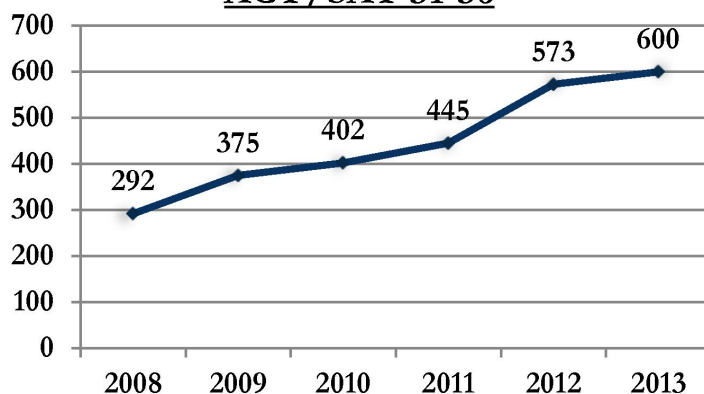
*Number includes students who reported two or more races, one of which was "Black or African American"

Fall 2013 Incoming Class – Record Quality

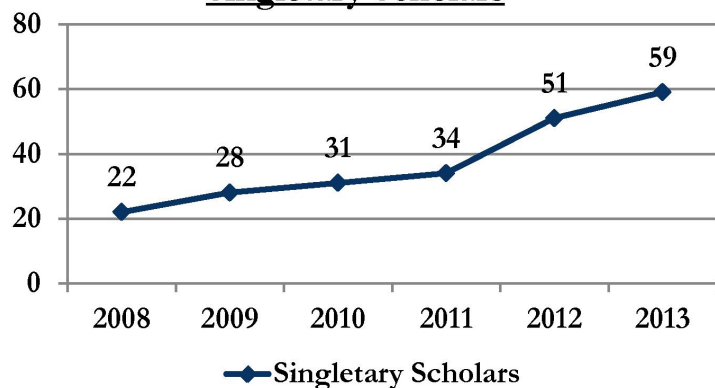
National Merit Scholars*



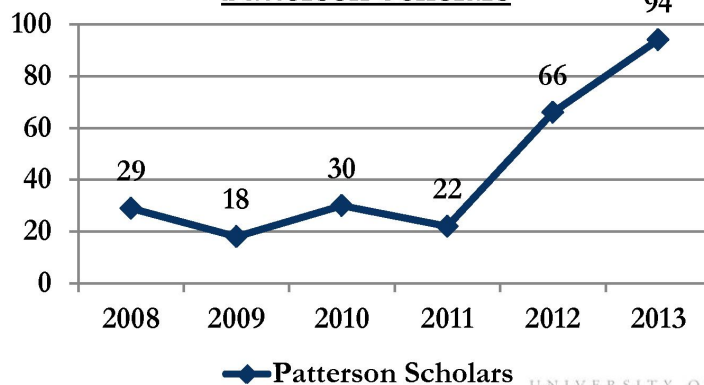
ACT/SAT 31-36



Singletary Scholars

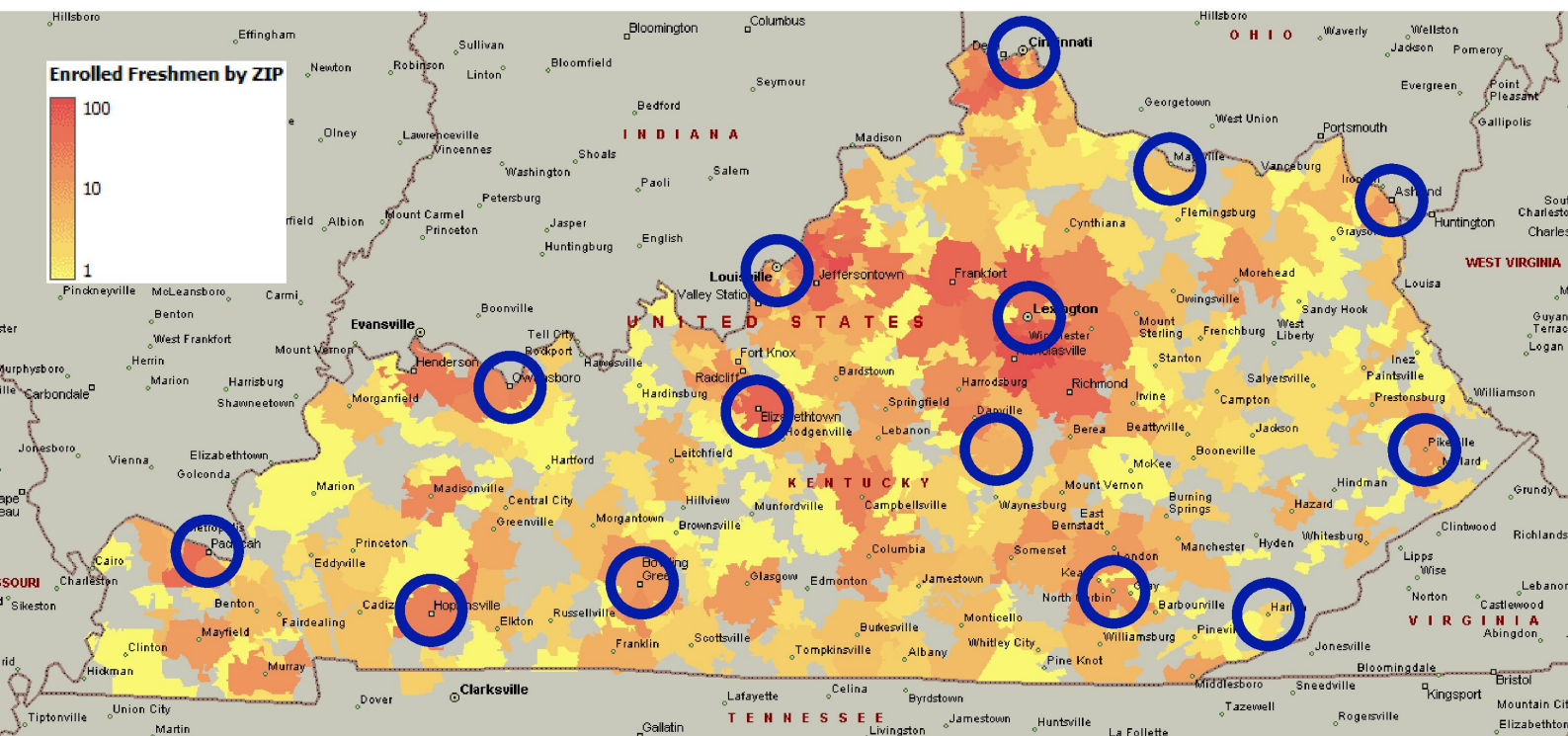


Patterson Scholars



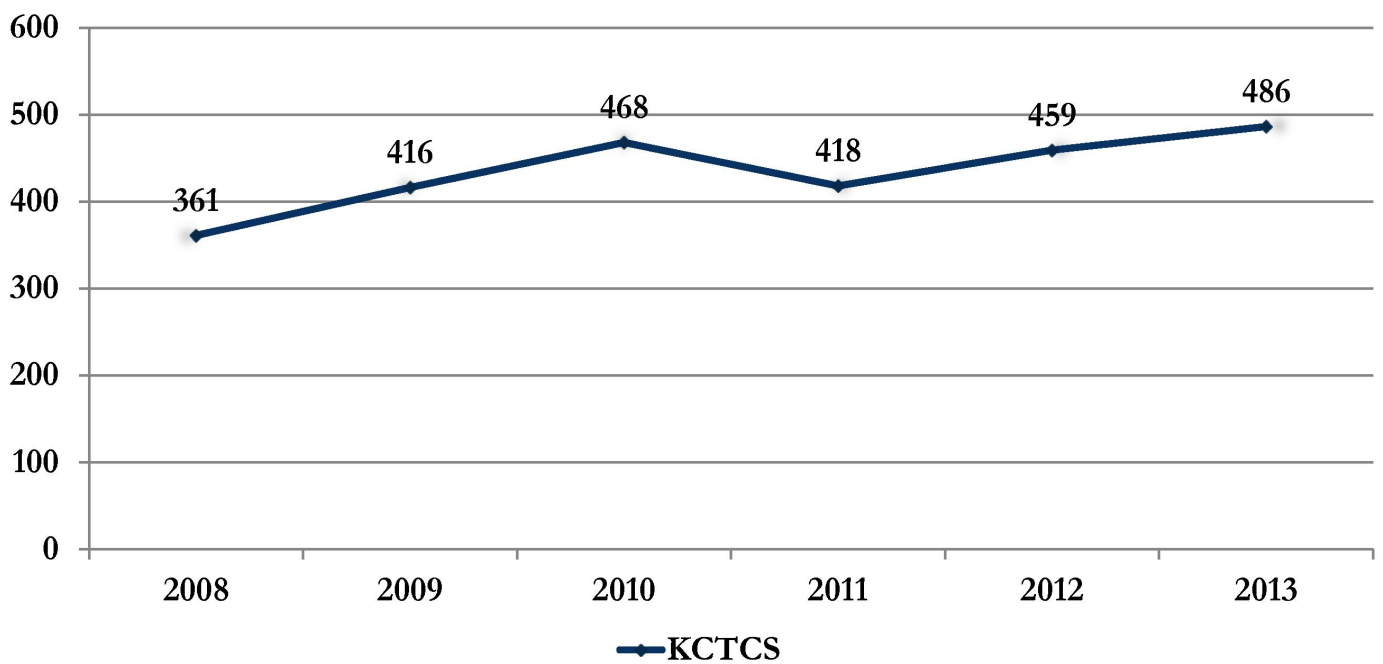
*Number includes National Merit, National Achievement and National Hispanic Finalists

The First Choice for Kentucky Students



Transfer Student Enrollment

Transfer Enrollment - KCTCS

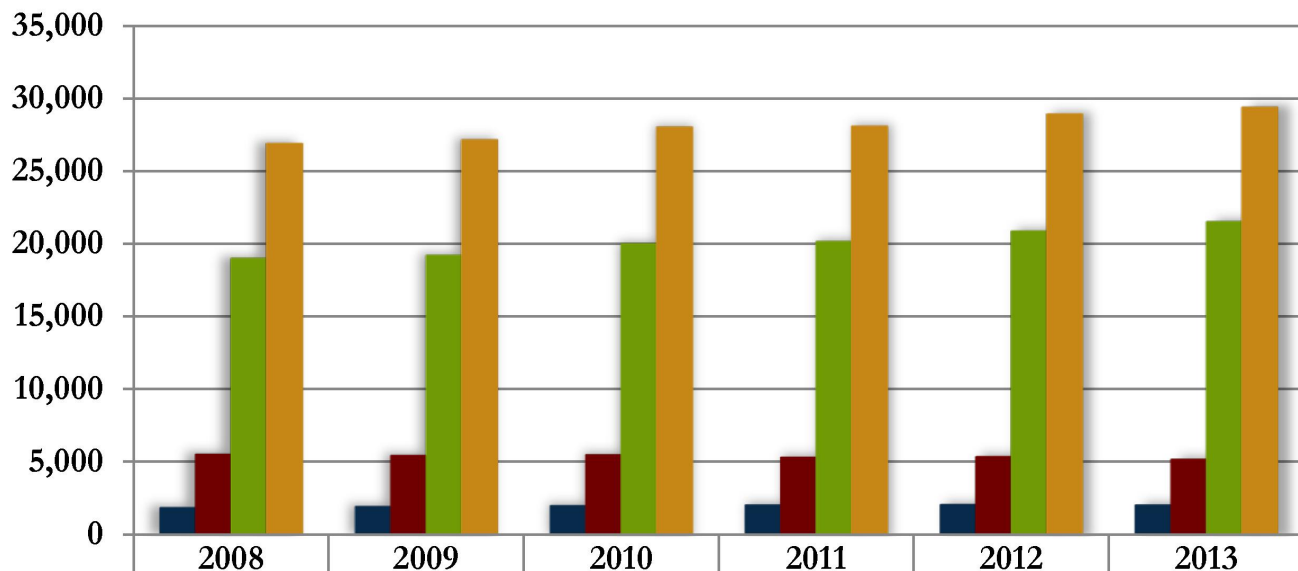


Graduate Student Enrollment

- Academic programs are more selective and our yield is up
- Students completing programs at higher rates
- Total Graduate and Professional Doctorates awarded far exceeds the 2009-14 Strategic Plan Goals
- The new Strategic Plan will develop methods to advance high quality graduate and professional education

University Overall Enrollment

University Enrollment



	2008	2009	2010	2011	2012	2013
■ Professional Practice	1,827	1,930	1,988	2,034	2,052	2,038
■ Graduate	5,533	5,439	5,502	5,330	5,373	5,195
■ Undergraduate	18,988	19,217	19,988	20,152	20,878	21,523
■ Total Enrollment	26,913	27,171	28,037	28,094	28,928	29,410

The Right Priorities at the Right Time

- Students-first university
- Renewing the campus core
- Vibrant campus programs
- New initiatives in eLearning and modern technology
- Safe and secure campus
- Retain and reward talented faculty and staff
- Affordability and access



Board of Trustees

Fall 2013 Enrollment Report



September 2013

UNIVERSITY OF
KENTUCKY[®]



UK College of Education Fayette County STEAM Academy Partnership

seeblue.com/education



KENTUCKY P20 INNOVATION LAB

Impacting 1/4th of school districts across Kentucky through the Next Generation Leadership Academy and Design Lab – with more districts joining every year.



Established by UK College of Education in 2010



Bluegrass Economic Advancement Movement

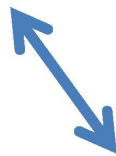


national center for innovation in education
University of Kentucky

Directed by Gene Wilhoit, this national center is working with 10 states, including Kentucky, to transform public education for 21st century learners.



Established at UK College of Education in 2013



Fayette County STEAM Academy

(STEAM = Science, Technology, Engineering, Arts and Math)



seeblue.com/education



The UK College of Education and Fayette County Public Schools began with a proposal for the “Next Generation Learning Challenges” to transform education through technology and design a school in close partnership with a university.

FAYETTE COUNTY PUBLIC SCHOOLS THE STEAM ACADEMY

DESIGNING A NEXT GENERATION SCHOOL IN CLOSE PARTNERSHIP WITH A UNIVERSITY

“This school will be a place that will stimulate creative thinking and problem solving ... where students will be actively involved in identifying, analyzing, and helping solve problems in their local and global communities.”

Mary Jean O'Hara, University of Kentucky

AT A GLANCE:

Opening: Fall 2013
Grades Served: 9-12
Location: Lexington, KY
Operator: Fayette County Public Schools
Operator Type: School District
Focus: Urban, Higher Education Partnership
Students at Opening: 150
Students at Capacity: 600

MODEL TOOLBOX:

Learning Management System: Schoology (Moodle-based district system)
Assessment Tools and Approaches: CASAS/KATE
Digital Content Providers: Khan Academy, Discovery Learning, BAYEL Academy

BY THE NUMBERS:

Year 1 public revenue per pupil: \$17,145
Year 1 expenses per pupil: \$25,853
Year 4 revenue per pupil: \$16,545
Year 4 expenses per pupil: \$12,297
Years to sustainability: 1

Fayette County Public Schools and the University of Kentucky imagine a 21st-century school that is flexible and adaptable, technology rich, responsive to student and teacher needs, and recognizes and extends learning beyond the traditional school day and classroom.

The STEAM Academy, an urban public school opening in fall 2013, will incorporate mastery learning, personalized instruction, internships, and dual college credit opportunities. The goal: to ensure that students will graduate college and career ready, and experienced. Low-income, first-generation college, and traditionally underserved students will have enrollment preference.

The STEAM Academy will use an innovative, hybrid instructional program based in student-paced, mastery- and problem-based experiential learning. Technology will help deliver content and real-time assessment to provide students with greater autonomy, help instructors better diagnose and address each student's needs, and engage parents more effectively.

effectively.

Student voice and student agency are central to the school model. Students will take ownership of their learning by choosing their instructional delivery, schedule, and learning style and engaging in real-world problem-solving projects that interest them. At the classroom level students will work to mastery with time as a variable. Some students may move through the coursework in two years and matriculate into classes at the University of Kentucky while others will need four years to be ready for college or career. Demonstration of mastery will be determined by summative assessments and evidence collected in an e-portfolio.

Upon entering the school, students will be assigned an adviser who will remain with the student until graduation. Advisory groups will meet bi-weekly throughout the year to provide guidance in college and career readiness skills, personal goal setting and monitoring, and problem identification and solution finding.

“Student voice and student agency are central to the school model. Students will take ownership of their learning by choosing their instructional delivery, schedule, and learning style and engaging in real-world problem-solving projects that interest them.”

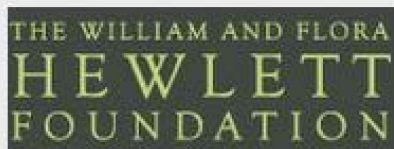
seeblue.com/education



In October 2012, Fayette County Public Schools was awarded \$150,000 in pre-launch planning grants and is eligible for up to \$300,000 in one-to-one matching funds.

**Fayette County is the first public school district to be selected by NGLC.
The Commonwealth of Kentucky and UK/FCPS are national leaders in this initiative!**

NGLC PARTNERS



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What this means for U.S. public education

The eyes of the nation are on this partnership:

Can a public school district working in collaboration with a flagship, land grant university rethink education, meet the needs of students, and keep the U.S. competitive economically?

Economic incentives: Keep the best and brightest students in Kentucky.

Impact on teacher education: Potential to reshape teacher preparation across Kentucky and nationally.

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← Tina Stevenson

Tina Stevenson, former principal of Winburn Middle School, was named director of the STEAM Academy.

She's a UK College of Education alumnus!

STEAM Advisory Board



Justin Bathon



Linda France



Jack Hayes



Laurie Henry



John Price



Lu Young



Current Location



UK 110: On campus T/Th Mornings



Future Location



Proximity to UK Campus



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UK Resources Available to STEAM Students

Special thanks to:
UK Undergraduate Education
University Senate
16 Colleges
UK Libraries



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This summer on the UK campus, incoming STEAM students designed the STEAM Academy along with their teachers and UK faculty. For many, it was the first time on a college campus.

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UK faculty member John Nash and Superintendent Tom Shelton work with STEAM students on “Design Thinking” to help the students generate new ideas and bring them to life.

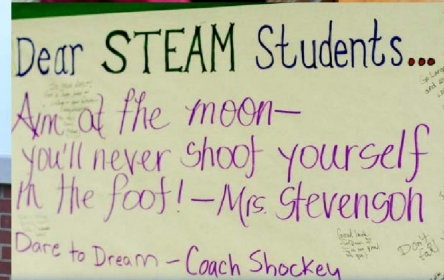
Fayette County
Schools
Superintendent
Tom Shelton

STEAM Students



John Nash, UK
College of
Education
faculty
member

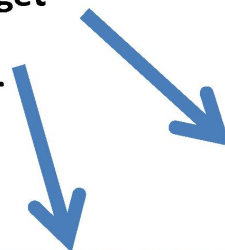
First Day of School!



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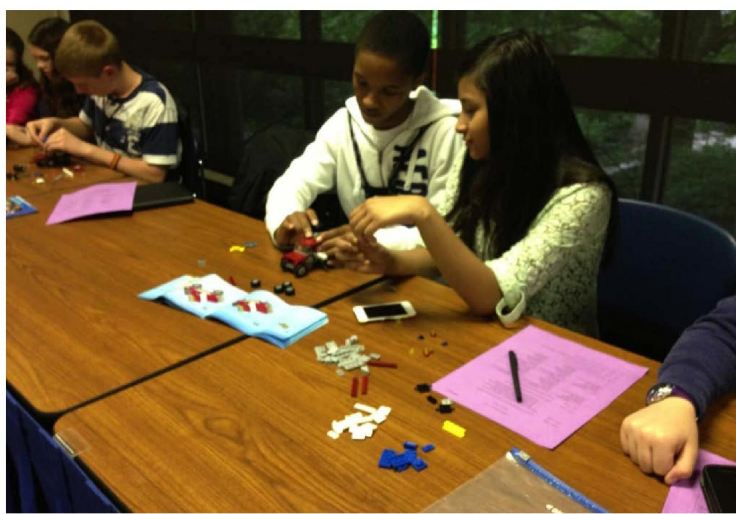


Our collaboration will allow pre-service teachers to get involved in STEAM classrooms early in their college studies and help establish a "blue ribbon model" for clinically-based teacher preparation programs.



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Dr. Henry guides UK students and Fayette County teachers as they learn “elbow to elbow” in a specially designed course for innovation in education with a focus on problem based learning; course participants apply instructional techniques they’ve learned directly with students in Fayette County schools.



**Incoming UK students were introduced to the
STEAM Academy partnership at the College of Education's
K-Week Education Fair.**



UK College of
Education faculty
members

STEAM Principal
Tina Stevenson



We're delighted to welcome our youngest Wildcats!



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A wide-angle photograph of the University of Kentucky campus at sunset. The sun is low on the left, casting a warm glow over the scene. In the center-right, a large, multi-story red brick building with a central tower and arched windows stands prominently. The foreground is a lush green lawn with scattered trees and a winding path. The sky is filled with soft, white clouds.

University of Kentucky: 2014 – 2020 Strategic Planning Process

September 10, 2013



An Equal Opportunity University

Momentum

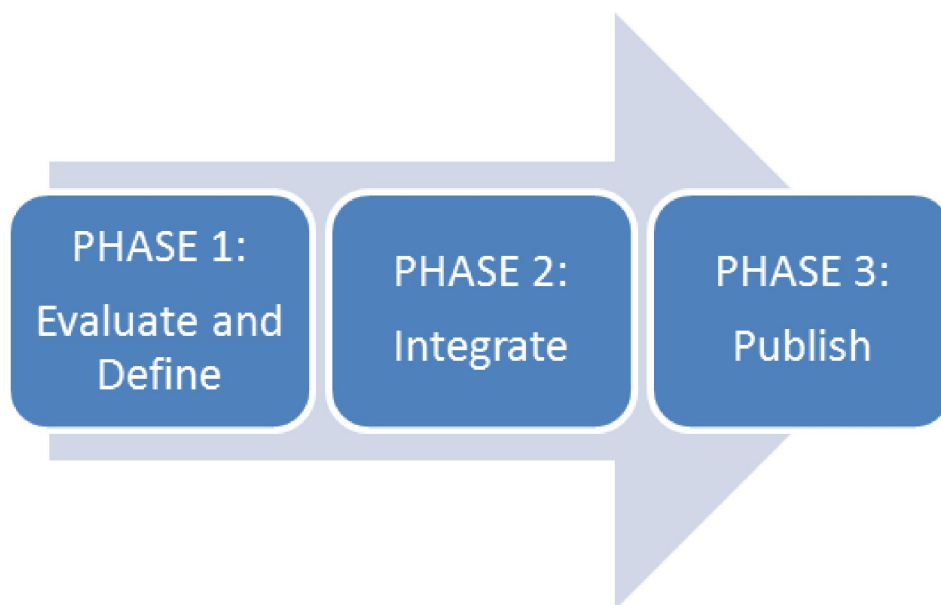
- *Leverage. Lead. Look Ahead.*
- Nearing conclusion of 2009-2014 Strategic Plan
- Groundwork well underway for 2014-2020 Strategic Plan



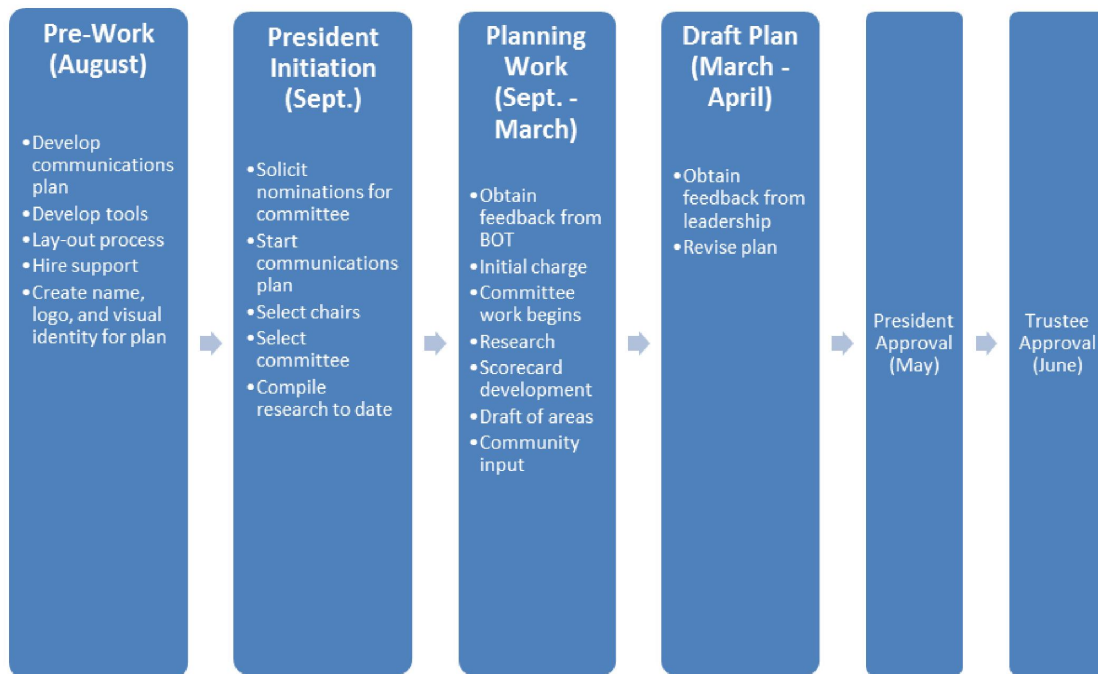
Six Key Initiatives

1. Create a vibrant undergraduate learning community
2. Advance a high quality graduate and professional education portfolio
3. Cultivate a robust research environment
4. Develop a strong and sustainable UK infrastructure
5. Create a positive work environment for faculty and staff
6. Have a meaningful impact on the community

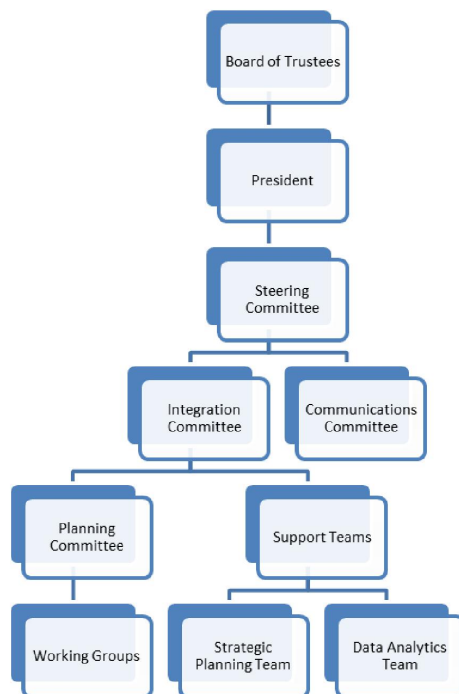
Three Phases



Timeline



Organizational Structure



Questions?

