

Minutes of the Meeting of the Board of Trustees
University of Kentucky
Tuesday, December 17, 2013

The Board of Trustees of the University of Kentucky met on Tuesday, December 17, 2013 in the Board Room on the 18th floor of Patterson Office Tower, Lexington, Kentucky.

A. Meeting Opened

Dr. E. Britt Brockman, chair of the Board of Trustees, called the meeting to order at 1:20 p.m. Chair Brockman asked Trustee Sheila Brothers, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: C.B. Akins, Sr., James H. Booth, William C. Britton, E. Britt Brockman, Sheila Brothers, Mark P. Bryant, Jo Hern Curris, Angela L. Edwards, William S. Farish, Jr., Oliver Keith Gannon, Carol Martin (Bill) Gatton, Kelly Sullivan Holland, David V. Hawpe, Terry Mobley, Roshan Palli, C. Frank Shoop, James W. Stuckert, Irina Voro, John Wilson and Barbara Young. Secretary Brothers announced that a quorum was present.

The University administration was represented by President Eli Capilouto, Provost Christine Riordan, Executive Vice President for Health Affairs Michael Karpf, Executive Vice President for Finance and Administration Eric Monday and General Counsel William Thro.

The University faculty was represented by the Chair of the University Senate Council Lee Blonder, and the University staff was represented by the Chair of the Staff Senate Jeff Spradling.

Guests, other administrators and members of the news media were also in attendance.

C. Consent Items

Chair Brockman called attention to the ten consent items on the agenda. The items were the minutes for the Board of Trustees Retreat on October 25-26, 2013 and the Board of Trustees meeting on the morning of October 26, 2013; PR 2, Personnel Actions; FCR 1, Davis and Beverly Marksburry Foundation Pledge; FCR 2, Terry Woodward Pledge; FCR 3, The Estate of Dr. Judith M. Janssen Gift; FCR 4, The Estate of Maywin S. Lauderdale Gift; FCR 5, The Roger E. Mick Gift; FCR 6, The Estate of Joy R. Wills Gift; and FCR 7, Multiple Gifts to the East and Environmental Sciences Endowed Alumni Professorship to Replace unfulfilled Pledge to Research Challenge Trust Fund. Trustee Stuckert moved approval of the consent items and Trustee Farish seconded the motion. Chair Brockman called for the vote and it passed without dissent. (See consent items listed below on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Minutes, Board of Trustees Retreat, October 25-26, 2013

Minutes, Board of Trustees Meeting, October 26, 2013

PR 2 Personnel Actions

FCR 1 Davis and Beverly Marksbury Foundation Pledge

FCR 2 Terry Woodward Pledge

FCR 3 The Estate of Dr. Judith M. Janssen Gift

FCR 4 The Estate of Maywin S. Lauderdale Gift

FCR 5 Roger E. Mick Gift

FCR 6 The Estate of Joy R. Wills Gift

FCR 7 Multiple Gifts to the Earth and Environmental Sciences Endowed Alumni
Professorship to Replace unfulfilled Pledge to Research Challenge Trust Fund

D. Chairman's Report

Chair Brockman reported that there had been no petitions to address the Board since the last Board of Trustees meeting.

Chair Brockman introduced Gatton College of Business and Economics Dean David Blackwell who presented to Trustee Bill Gatton and President Capilouto commemorative shovel plaques from the ground breaking ceremony of the renovation/expansion of the Gatton College of Business and Economics building. He thanked them both for their vision and support in making the dream for a new building a reality. Trustee Gatton gave credit to former Gatton College Dean Dick Furst, who was instrumental in making him understand that to retain first class faculty and staff, the College needed first class facilities. President Capilouto gave credit to the many individuals who shared and worked toward the fulfilment of this dream for many years.

Dean Blackwell also acknowledged and thanked Vice President for Facilities Management Bob Wiseman and his team, in particular Dall Clark and David Collins and their staff for the long hours, hard decisions and tough work.

E. President's Report (PR 1)

President Capilouto began by mentioning the many talented faculty and staff that were referenced as academic appointments, administrative appointments and personnel decisions in PR 2, Personnel Actions.

President Capilouto was delighted to introduce Dr. Nancy M. Cox, dean-appointee to the College of Agriculture, Food and Environment. President Capilouto stated that as the current Associate Dean of Research and Associate Director of the Experiment Station, Dr. Cox is highly regarded throughout the state, where she has forged strong and enduring relationships with agricultural and civic leaders and on campus as a distinguished leader and valued colleague.

Dr. Cox was honored to be in the position of representing, serving and supporting the College of Agriculture, Food and Environment. She thanked Provost Riordan and President Capilouto for the appointment. She stated the College is a key part of the fabric of the

agricultural economy and agricultural leadership in Kentucky, and it was an honor to follow Dean Smith in that role. She also was proud to represent and to serve the great students, great research programs and the nationally prominent extension service.

President Capilouto thanked Dean Scott Smith for his outstanding service as Dean of the College since 2001. Under his leadership, the College had undergone significant transformation and remains at the forefront of agricultural education programs. He noted that Dean Smith's return to faculty is met with great enthusiasm. President Capilouto also thanked Provost Riordan and the search committee for their outstanding service on this search.

President Capilouto shared a few stories with the Board from his travels to New York City, Washington, D.C., cities across Texas and Atlanta during the past several weeks. During this time, he met with prospective students and their families, lawmakers and their staff, policy leaders, alumni, donors and friends of the University. In particular, in Washington, D.C., he met with the Director of the National Institutes of Health (NIH) Dr. Francis Collins, Association of Public and Land-grant Universities (APLU) President Peter McPherson and Kentucky legislative leaders to discuss the impact of sequestration. Vice President for Research Jim Tracy and UK's federal legislative liaison Bill Schweri accompanied President Capilouto on these visits. He reported that Chairman Hal Rogers, Congressman Brett Guthrie and Congressman Andy Barr were well-versed and had significant concerns for other issues affecting the University. President Capilouto was grateful for their willingness to take time from their schedules and to be informed about matters as they relate to Kentucky's flagship university. He shared that he was confident that they listened and will translate our concerns into their decisions.

President Capilouto recognized the over 1,900 undergraduate and graduate student candidates for graduation who would be joined by their families and friends at the December Commencement ceremonies at Memorial Coliseum on December 20, 2013.

President Capilouto shared that he and his wife, Mary Lynne, had the pleasure of hosting the acoUstiKats before their nationally televised participation on the television show "The Sing Off" and were proud of their achievements to date.

In closing, President Capilouto recognized and congratulated Trustee Jim Booth and his wife Linda for their outstanding commitment to the region, their extraordinary investment in Kentucky's success and long standing service to Morehead State University. He shared that the Booths were awarded with honorary doctorates of letters at the Morehead State University's commencement ceremony recently.

F. Appointment of Dean of the College of Agriculture, Food and Environment (PR 3)

Chair Brockman stated that PR 3 is the recommendation of the appointment of the Dean of the College of Agriculture, Food and Environment. Trustee Farish moved approval and it was seconded by Trustee Shoop. Chair Brockman opened the floor discussion. Trustee Shoop stated that he had been extremely impressed with Dr. Cox's work over the years, and was extremely pleased by her appointment. He was happy to see the College's future in such capable hands. Chair Brockman called for the vote and it passed without dissent. (See PR 3 on the Board of

Trustees website, www.uky.edu/Trustees, under agenda.)

G. Appointment to Board of Directors University of Kentucky Gluck Equine Research Foundation, Inc. (PR 4)

President Capilouto stated that PR 4 is a recommendation to the Board of Trustees to approve the appointment of Thomas Grossman, Eric Hamelback, William Lynn Jones, Mike Meuser and Dermot Ryan to the Board of Directors of the University of Kentucky Gluck Equine Research Foundation for a four-year term. Trustee Brothers moved approval of PR 4. It was seconded by Trustee Britton and it passed without dissent. (See PR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

H. Candidates for Degrees: December 2013 (ASACR 1)

Trustee Gannon, chair of the Academic and Student Affairs Committee, stated that ASACR 1 was the recommendation that the President be authorized to confer upon each individual, the degree to which he or she is entitled, upon certification by the university registrar that the individual satisfactorily completed all the requirements for the degree for which application had been made

On behalf of the Academic and Student Affairs Committee, Trustee Gannon asked for approval of ASACR 1 and it passed without dissent. (See ASACR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

I. Academic Degree Recommendation: College of Communication and Information (ASACR 2)

Trustee Gannon stated that ASACR 2 was the recommendation that the Board approve the establishment of a Bachelor of Arts/Bachelor of Science with a major in Information Communication Technology in the School of Library and Information Science within the College of Communication and Information effective spring of 2014. This program has been approved by the appropriate councils and University Senate. It has completed the 45 day review by the Council on Postsecondary Education. The Provost of the University supports this recommendation.

On behalf of the Academic and Student Affairs Committee, Trustee Gannon asked for approval of ASACR 2 and it passed without dissent. (See ASACR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

J. Academic Degree Transfer Recommendation (ASACR 3)

Trustee Gannon stated that ASACR 3 is the recommendation that the Board of Trustees approve the transfer of the Master of Science in Radiological Medical Physics with a major in Radiation Science from the Department of Clinical Sciences in the College of Health Sciences to the Department of Radiation Medicine in the College of Medicine, effective January 1, 2014. The transfer has been reviewed and recommended by the Senate Committee on Academic

Organization and Structure and the Senate Council. The University Senate endorsed the transfer. The Provost of the University supports this recommendation.

On behalf of the Academic and Student Affairs Committee, Trustee Gannon asked for approval of ASACR 3 and it passed without dissent. (See ASACR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

K. Change in the Name of an Educational Unit: College of Public Health (ASACR 4)

Trustee Gannon stated that ASACR 4 was the recommendation that the Board of Trustees approve the change in the name of the Department of Health Services Management to the Department of Health Management and Policy, in the College of Public Health, effective immediately. The proposed change has been approved by the faculty of the Department of Health Services Management, the College of Public Health Faculty Council and the Administrative Council; reviewed and recommended by the Senate Academic Organization and Structure Committee and the Senate Council; and endorsed by the University Senate. The Provost of the University supports this recommendation.

On behalf of the Academic and Student Affairs Committee, Trustee Gannon asked for approval of ASACR 4 and it passed without dissent. (See ASACR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

L. University Athletics Committee

Trustee C.B. Akins, Sr., chair of the University Athletics Committee, reported that the Committee heard a report from Deputy Athletics Director DeWayne Peevy on fall and winter sports.

Deputy Director Peevy commented on several sports. Football, which had a disappointing record this season, is proud of the 2014 incoming recruit class. It is reported to be the best in team history and ranks 12th nationally. He also reported on volleyball, men's and women's soccer, men's and women's cross country team, men's and women's golf, men's basketball and the rifle team. Women's basketball has a perfect 11-0 record and this Sunday will play the number two rated team in the nation, Duke, in Rupp Arena. Other teams in the report included men's and women's track, men's and women's swimming and diving, and gymnastics.

Off the field, there are 30 current or former student athletes who will receive degrees at the December commencement. Men's basketball team member Jarrod Polson and women's basketball team member Kastine Evans are each nominees for the Allstate Good Works Team for their dedication to volunteerism and civic service. Tyler Riggs (men's soccer), a mechanical engineering major with a 3.93 GPA, was named First Team Academic All-American. The women's basketball team participated in a Thanksgiving service project, encouraging fans to donate toiletry items and delivering them at the Bluegrass Hospitality Group's Thanksgiving Dinner for the less fortunate. Also, student-athletes, led by the Student-Athlete Advisory Committee, made blankets and delivered them to patients at UK Children's Hospital.

Mr. Peevy concluded his report by showing a video of the most recent trip to Ethiopia in which several student-athletes from various sports completed service work. These trips are led by Senior Associate Athletics Director Jason Schlafer. This was Mr. Schlafer's seventh trip, and each one of these students expressed how their entire life was changed because of what they encountered on that trip.

Trustee Akins stated that in business items, the Committee considered and accepted as presented the 2012-13 Audited Financial Statements and the Independent Auditor's Report on Financial Statements and Supplementary Information for the Department of Intercollegiate Athletics. Interim Treasurer Susan Krauss reviewed the Management's discussion and analysis of the statements of net position. This included assets, liabilities and operating revenues and expenses.

Executive Vice President for Finance and Administration Eric Monday reviewed the interim financial statements of the Department for the three months ended September 30, 2013. The information included the statement of net position, the statement on revenue and expenses, and the change in net position. The Committee accepted the quarterly financial statements as presented.

Mr. Monday also reviewed FCR 12, the resolution for the authorization, issuance and sale of approximately \$265,000,000 general receipts obligations (bonds) for the Gatton Building, Academic Science Building and Commonwealth Stadium project. The Committee recommended approval to the Finance Committee of the Department of Intercollegiate Athletics full debt service for the Commonwealth Stadium project portion and the partial debt service for the Academic Science building.

Trustee Akins also thanked Athletics Committee Community member Davis Marksbury and his wife Beverly for their generous pledge to UK Athletics. It is FCR 1 and was accepted as one of the consent items earlier in the meeting.

M. Finance Committee Report

Trustee James Stuckert, chair of the Finance Committee, reviewed the consent items: FCR 1, Davis and Beverly Marksbury Foundation Pledge; FCR 2, Terry Woodward Pledge; FCR 3, The Estate of Dr. Judith M. Janssen Gift; FCR 4, The Estate of Maywin S. Lauderdale Gift; FCR 5 Roger E. Mick Gift; FCR 6, The Estate of Joy R. Wills Gift; and FCR 7, Multiple Gifts to the Earth and Environmental Sciences Endowed Alumni Professorship to Replace Unfulfilled Pledge to Research Challenge Trust Fund. Trustee Stuckert thanked these individuals for their generosity to the University. (See FCR 1 through FCR 7 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

N. Dissolution of the Lawson Endowment Fund – Center for Research on Violence Against Women and the Transfer to other UK Center for Research on Violence Against Women Endowments (FCR 8)

Trustee Stuckert stated that FCR 8 is the recommendation of the Dissolution of the Lawson Endowment Fund - Center for Research on Violence Against Women and the transfer to

other UK Center for Research on Violence Against Women Endowments. The donor requested the market value of the Lawson Endowment be transferred to the Georgia Davis Powers Endowment and the CRVAW General Endowment.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 8 and it passed without dissent. (See FCR 8 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

O. Acceptance of the 2012-13 Audited Financial Statements and the Independent Auditor's Report on Financial Statements and Supplementary Information (FCR 9)

Trustee Stuckert stated that FCR 9 is the acceptance by the Board of the 2012-13 Audited Financial Statements and the Independent Auditor's Report on Financial Statements and Supplementary Information.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 9. Trustee Brothers recognized and thanked EVPFA Eric Monday, his office and the many hundreds of UK staff who work daily to ensure that the University finances are honest and transparent. Chair Brockman called for the vote and it passed with 19 affirmative votes and one abstention by Trustee Voro. (See FCR 9 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

P. Acceptance of the Interim Financial Report for the Three Months Ended September 30, 2013 (FCR 10)

Trustee Stuckert stated that FCR 10 is the recommendation that the Board accept the Interim Financial Report for the three months ended September 30, 2013.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 10 and it passed with 19 affirmative votes and one abstention by Trustee Voro. (See FCR 10 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Q. 2013-14 Budget Revisions (FCR 11)

Trustee Stuckert stated that FCR 11 was the recommendation that the Board of Trustees authorize and approve revisions to the 2013-14 Operating and Capital Budget. The budget revisions will increase the University's total budget by \$18,864,100 or 0.7 percent, from \$2,709,205,300 to \$2,728,069,400.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 11 and it passed with 19 affirmative votes and one abstention by Trustee Voro. (See FCR 11 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

R. A Resolution Providing for the Authorization, Issuance, and Sale of Approximately \$265,000,000 General Receipts Obligations (Renovate/Expand Gatton Building, Academic Science Building and Commonwealth Stadium Project) of the University of Kentucky,

Pursuant to the Trust Agreement dated as of November 1, 2005. (FCR 12)

Trustee Stuckert stated that the FCR 12 is a recommendation that the Board of Trustees approve a Resolution authorizing the issuance, in one or more installments, of approximately \$265,000,000 of University of Kentucky General Receipts Obligations (Renovate/Expand Gatton Building, Academic Science Building and Commonwealth Stadium Project), to be designated General Receipts Bonds, 2014 Series A (or such series designation that is appropriate to the order of issuance thereof, the "2014 Series A Bonds") and to be dated as of a date determined by the Treasurer.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 12. Trustee Voro asked if the three building projects could be voted on separately. She stated she was strongly in favor of academic buildings, but against luxury additions to the football stadium. Trustee Gatton voiced concern about the risk if one of entities couldn't "shoulder their share". Executive Vice President Eric Monday explained that several years ago the University of Kentucky went to a general receipt indenture, which pledges the assets, faith and credit of the University of Kentucky. These are not all auxiliary revenue bonds; these are general receipts of the institution, which allows the University to have the ability to issue debt for health care auxiliaries and general University use. The University pledges the good faith and assets of the University of Kentucky to pay back this debt. The debt may be assigned internally, so ascribed to the Department of Intercollegiate Athletics and other operating units as determined by the President, but the University of Kentucky issues the debt for these purposes.

Chair Brockman called the vote and it passed with 19 affirmative votes and one abstention by Trustee Voro. (See FCR 12 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

S. Approval of Lease (FCR 13)

Trustee Stuckert stated that FCR 13 is the recommendation that the Board of Trustees authorize the Executive Vice President for Finance and Administration to negotiate and execute a lease between the University of Kentucky and the Stockyard LLC/Michael and Christine Huskisson for space at 845 Angliana Avenue, Lexington, Kentucky. The leased space will include approximately 15,000 square feet of office space and 15,700 square feet of warehouse space.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 13 and it passed without dissent. (See FCR 13 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

T. Construct/Replace/Upgrade Student Housing (Fit-Up the Study/Coffee Shop in Champions Court I) (FCR 14)

Trustee Stuckert stated that FCR 14 is the recommendation that the Board of Trustees approve the initiation of the fit-up of the second location of The Study with an addition of a Coffee Shop in the Champions Court I residence hall capital project. This Study/Coffee Shop

should provide approximately 250 seats and numerous study spaces conducive for group interaction and the latest technology to support a collaborative academic retention focus on the North Campus. The scope of the project is \$3,000,000 and will be funded with fund balance, well within the existing legislative authorization.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 14 and it passed without dissent. (See FCR 14 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

U. Repair/Upgrade/Expand Central Plants (CUP Boiler Feed Water System)
(FCR 15)

Trustee Stuckert stated that FCR 15 is the recommendation that the Board of Trustees approve the initiation of the Repair/Upgrade/Expand Central Plants (CUP Boiler Feed Water System) capital project. Trustee Stuckert explained that the current feed water system was installed when the facility was initially opened and does not have the capacity to support the plant feed requirements when all three boilers are online. The scope of the project is \$1,000,000 and has been authorized by the 2012 Session of the Kentucky General Assembly as part of a \$62,000,000 infrastructure pool for educational and general facilities. It will be financed by agency funds.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 15 and it passed without dissent. (See FCR 15 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

V. Lease/Purchase Telephone System Replacement (FCR 16)

Trustee Stuckert stated that FCR 16 is the recommendation that the Board of Trustees approve the Lease/Purchase of the Telephone System Replacement. This involves replacing the campus voice infrastructure, provide enhanced licenses to accommodate health care requirements, deliver increased call center stability, and improve communications across the University enterprise. The scope of the project is \$853,000, well within the \$3,000,000 scope authorized by the 2012 session of the Kentucky General Assembly. It also will be funded by agency funds.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 16 and it passed without dissent. (See FCR 16 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

W. Construct/Expand/Renovate Ambulatory Care Facility – UK HealthCare
(FCR 17)

Trustee Stuckert stated that FCR 17 is the recommendation that the Board of Trustees approve the initiation of the Construct/Expand/Renovate Ambulatory Care Facility – UK HealthCare capital project. The project will renovate the Department of Medicine Clinic located in the original section of the Kentucky Clinic Building. Vetted and recommended to the Finance

Committee for approval in the University Health Care Committee meeting on Monday, December 16, 2013, the estimated scope of this project is \$2,700,000 and will also be financed by agency funds. This project has been authorized by the 2012 session of the Kentucky General Assembly.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 17 and it passed without dissent. (See FCR 17 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

X. Design/Renovate/Upgrade Hospital Facilities – Good Samaritan (FCR 18)

Trustee Stuckert stated that FCR 18 is the recommendation that the Board of Trustees approve the initiation of the design phase for the Design/Renovate/Upgrade Hospital Facilities at the Good Samaritan Hospital. The project will develop a design for the renovation of the Good Samaritan Emergency Department. The request with an anticipated cost of \$600,000 has been authorized by the 2012 session of the Kentucky General Assembly and will be financed with agency funds.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 18 and it passed without dissent. (See FCR 18 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Y. Repair/Upgrade/Improve Civil/Site Infrastructure (South Campus Parking Lot) (FCR 19)

Trustee Stuckert stated that FCR 19 is the recommendation that the Board of Trustees approve the initiation of the Repair/Upgrade/Improve Civil/Site Infrastructure (South Campus Parking Lot) capital project. This project will expand the E.S. Good Barn parking lot and connect it to the parking lot currently under construction as part of the FEMA South Campus Storm Water capital project. This \$1,400,000 project has been authorized by the 2012 Session of the Kentucky General assembly as part of a \$14,000,000 infrastructure pool for auxiliary facilities and will be financed with agency funds.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 19 and it passed without dissent. (See FCR 19 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Z. Repair/Upgrade/Improve Building Shell Systems (2014 Annual Parking Garage Maintenance and Restoration) (FCR 20)

Trustee Stuckert stated that FCR 20 is the recommendation that the Board of Trustees approve the initiation of the Repair/Upgrade/Improve Building Shell Systems, specifically for the 2014 Annual Parking Garage Maintenance and Restoration. This project will include the recommended maintenance or repairs on eight university parking structures during 2014. This \$1,000,000 project has been authorized by the 2012 session of the Kentucky General Assembly and will be financed by agency funds.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 20 and it passed without dissent. (See FCR 20 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

AA. Capital Construction Report (FCR 21)

Trustee Stuckert stated that FCR 21 is the recommendation that the Board of Trustees accept the Capital Construction Report for the three months ending September 30, 2013. Vice President Bob Wiseman provided details regarding the quarterly report to the Finance Committee earlier in the day.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 21 and it passed without dissent. (See FCR 21 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

BB. Approval of the 2012-13 Endowment Match Program Annual Report (FCR 22)

Trustee Stuckert stated that FCR 22 is the recommendation that the Board of Trustees accept the 2012-13 Endowment Match Program Annual Report. The Endowment Match Program, also known as “Bucks for Brains,” is one of several programs created as part of the Research Challenge Trust Fund.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 22 and it passed with 19 affirmative votes and one abstention by Trustee Voro. (See FCR 22 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

CC. Patent Assignment Report (FCR 23)

Trustee Stuckert stated that FCR 23 is the recommendation that the Board of Trustees accept the patent assignment report for the period of July 1 through September 30, 2013. Vice President for Research Jim Tracy reviewed the report with the Finance Committee earlier in the day.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 23 and it passed without dissent. (See FCR 23 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

DD. Investment Committee Report

Trustee Bill Gatton, chair of the Investment Committee, stated that the Committee met Monday, December 16, 2013, to review performance results and conduct other business. The endowment had a net market value of \$1,030,000,000 as of October 31, 2013. For the four months ended October 31, the endowment pool returned 6.6 percent annualized due to strong equity market returns during this period. The endowment pool outperformed the policy benchmark during the period by 23 basis points due to strong relative performance by the Domestic Equity and Absolute Return managers.

EE. University Health Care Committee

Trustee Barbara Young, chair of the University Health Care Committee, reported that the Committee had two action items. FCR 17 concerned the renovation of the Department of Medicine Clinic, located in the original section of the Kentucky Clinic Building and FCR 18 concerned a request for funds to initiate the design phase for the Good Samaritan Emergency Department. Both FCR 17 and FCR 18 were approved by the HealthCare Committee and were passed on to the Finance Committee.

The Committee heard a presentation from Dr. Craig Van Horne and Don Gash, Ph.D. on the Parkinson's Disease Translational Research Program at UK. This program represents cutting edge collaborative clinical and laboratory research and could potentially lead to greatly improved outcomes for patients who suffer from Parkinson's disease. Research that spans several academic and clinical departments represents and defines translational research and the cross pollination that this collaborative work brings to research, has put UK on the path to innovative discoveries now and into the future.

Trustee Young reported that Dr. Michael Karpf presented a report on the next phase of the building project. This includes the fit-up of Pavilion A and the renovation of Pavilion HA/Kentucky Children's Hospital. The Chandler Hospital master plan provides for a step by step replacement of the original Chandler buildings. Over time the Markey Cancer Center, Gill Building, Pavilion HA and the new Pavilion A will house all of the Chandler Hospital facilities. Thus far, Phase I-A (Patient Care facility), I-B (nine operating rooms, etc.), I-C (data center), I-D (eighth floor and Central Pharmacy) and I-E (Clinical Decision Unit) are either complete, under construction or in the design phase. However, as demand for services continues to grow, Chandler Hospital must plan for the future and Dr. Karpf discussed the need to move forward with the next phase of development. Phase I-F includes the replacement of outdated facilities including rooms and bathrooms in the original 1962 Chandler Hospital building, the 66-bed Neonatal Intensive Care Unit (NICU), the current original kitchen and cafeteria and other outdated infrastructure.

In preparation of this modernization and fit-up, Dr. Karpf reported that the University of Kentucky has asked the state for \$150,000,000 in bonding authority to undertake this project. Dr. Karpf concluded his presentation by stating that this current \$150,000,000 project is the start of an ongoing multi-year effort to finish out the new Patient Care and other hospital facilities in response to demand for more capacity. Over the next four to five years, UK HealthCare must determine how big the UK Hospital needs to be. This will be dependent on the effects of the Affordable Care Act, money in hand and demand.

Dr. Bernard Boulanger presented the Quality Update. First, he highlighted the recent recognition UK HealthCare had received from several national organizations. He reported that the Joint Commission has recognized UK HealthCare as a Top Performer on key quality Measures for 2012. University Healthsystem Consortium (UHC) has recognized UK HealthCare as #1 in Nursing Care for HCAHPS (patient satisfaction). UK has also received the 2013 Quality

Leadership Rising Star Award from UHC. UK had the highest jump among Rising Stars winners in history of UHC, finishing with a rank of 12th among the nation's leading university health systems. UK's Quality and Accountability performance scorecard received four out of five stars from UHC. Performance around Core measures continue at a high level with mortality, effectiveness, efficiency and patient centeredness all performing at a high level. Attention will continue to be focused on Patient Safety indicators as most target goals have not been met.

Murray Clark presented the October financial report. He reported that the level of activity for inpatient discharges is well below budget and somewhat below the prior year. The case mix and length of stay is up. This is creating an increase in patient days and leading to significant occupancy problems at Chandler. Outpatient activity is above last year but slightly below budget. FTE's are up but in line with the higher activity. The payor mix is stable. The income statement shows that in spite of fewer discharges, inpatient net revenue is \$3,600,000 higher than budget and significantly higher than last year. This is due to a higher case mix and a significant number of high cost cases. Outpatient revenue is \$1,000,000 higher than budget, primarily driven by increased clinic activity. Net revenue exceeds the prior year by \$16,000,000. Net income from operations for the month is \$6,100,000 and the year-to-date operating margin is \$26,700,000. On the balance sheet, cash and cash equivalents for October totaled \$66,700,000 consisting of \$54,400,000 in unrestricted cash and \$12,300,000 in restricted funds. The financial median analysis indicates days of cash on hand have improved slightly from last year, operating margin and EBIDA exceed target, debt to capitalization has improved slightly since year end and cash to debt has improved.

Trustee Young reported that Dr. Kevin Nelson presented for approval the current list of privileges and credentials. The Committee made a motion to accept the privileges and credentials brought before them. The motion carried and was approved by the committee.

FF. Other Business

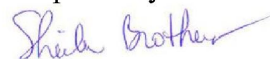
Trustee Brothers reminded the Board of the Staff Senate sponsored Stakes Reception being held immediately following the conclusion of the Board meeting and encouraged everyone to attend.

Trustee Britton asked the Board to be mindful of the University's increasing long-term debt commitments.

GG. Meeting Adjourned

Hearing no further business, Chair Brockman declared the meeting adjourned at 2:36 p.m.

Respectfully submitted,



Sheila Brothers
Secretary, Board of Trustees

(PR 1, PR 2, PR 3, PR 4, ASACR 1, ASACR 2, ASACR 3, ASACR 4, FCR 1, FCR 2, FCR 3, FCR 4, FCR 5, FCR 6, FCR 7, FCR 8, FCR 9, FCR 10, FCR 11, FCR 12, FCR 13, FCR 14, FCR 15, FCR 16, FCR 17, FCR 18, FCR 19, FCR 20, FCR 21, FCR 22 and FCR 23 are part of the minutes of the meeting.)

PR 1

Office of the President
December 17, 2013

1. UK Medical Research Enterprise Achieves Three National Designations

UK recently accomplished the equivalent of a “triple crown” in the academic medical world, becoming only the 22nd medical center in the country to have a National Cancer Institute designation (at the Markey Cancer Center), a federally funded Alzheimer's Disease Center (ADC, at the UK Sanders-Brown Center on Aging), and a Clinical and Translational Science Awards grant (at the Center for Clinical and Translational Science).

2. UK HealthCare Honored for Its Quality

UK HealthCare was named a "Top Performer on Key Quality Measures" by The Joint Commission, the leading accreditor of health care organizations in America. UK HealthCare was recognized by The Joint Commission for exemplary performance using evidence-based clinical processes that are shown to improve care in five areas - the most by any hospital included in the Kentucky listing. Those areas are: heart attack, heart failure, pneumonia, surgical care and children's asthma. In October, UK HealthCare also earned national accolades as recipient of the University HealthSystem Consortium's Rising Star Award which recognizes significant improvements in ranking in UHC's annual Quality and Accountability Study, which identifies exemplary performance in patient safety, mortality, clinical effectiveness and equity of care. UHC is an alliance of the nation's leading nonprofit university medical centers. UK HealthCare had the highest jump in rankings in the UHC's history with a Quality and Accountability Ranking of 12th among the nation's 118 academic medical centers.

3. UK Among First Colleges Recognized for Programs that Prevent Suicide

UK has been awarded the JedCampus Seal from The Jed Foundation, a leading organization working to promote emotional health and prevent suicide among college and university students. The seal recognizes schools that exhibit comprehensive mental health promotion and suicide prevention programming on campus. With award of the JedCampus Seal, UK is among the first colleges and universities in the nation to be recognized for comprehensive mental health programming on campus. As part of the process to earn the seal, the UK Counseling Center took a voluntary, online self-assessment reviewing its campus mental health and suicide prevention programming and received confidential feedback designed to help enhance programming and resources. The seal is valid for two years.

4. Gatton College Breaks Ground for \$65 Million Expansion

The Gatton College of Business and Economics revealed the architectural plans and held a ceremonial groundbreaking October 11 for a major expansion and renovation of its facilities. President Eli Capilouto and Gatton College Dean David W. Blackwell were joined by Governor Steve Beshear, other state officials, major donors, faculty, staff and students in unveiling details of the \$65 million project expected to be completed in spring 2016.

5. Arts in HealthCare Program Honored Again

UK HealthCare has been honored for its Arts in HealthCare Program. At the Design & Health International Academy Awards, held in Brisbane, Australia, UK was recognized for its stellar use of art in the patient environment. This award comes on the heels of the 2012 Governor's Award in the Arts Business Award and the 2013 Medistar Consumer First Award.

6. UK Receives High Marks for the Helpfulness of Its Website

UK recently earned an 'A' from the My College Options 15th Annual Enrollment Power Index Program funded by the National Research Center for College & University Admissions. Only 160 schools received a ranking this high, which positions UK in the top 5 percent of all college websites. High school students rated around 3,000 college websites on many key features such as the ability for students to apply online, find information on the community or city in which the college is located and the ability to contact the admissions office to set up a campus visit.

7. Pilot Initiative to Focus on Retention and Graduation for First-Gen Students

With a two-year \$100,000 grant from the Louisville-based Gheens Foundation, UK is launching a pilot initiative focused on improving retention and graduation rates for first-generation college students from Jefferson County. These Louisville-area students will receive advising and mentoring that specifically addresses the challenges of first-generation students, all under the guidance of the UK Office of First Generation Initiatives, which directs the campus-wide efforts to recruit, retain and graduate more first-generation students at the University.

8. State's Two Children's Hospitals Join Forces

Kentucky's two children's hospitals — Norton Healthcare's Kosair Children's Hospital and UK HealthCare's Kentucky Children's Hospital — signed an agreement to join forces to better meet the health care needs and interests of Kentucky's children and their families. The new partnership will maximize the hospitals' combined resources to improve care and enhance access to quality services for kids throughout the state and ultimately improve the health of children throughout the Commonwealth.

9. UK Welcomes Largest, Most Talented Freshman Class in History

While enrollment in colleges and universities is declining nationally, UK welcomed its largest ever first-year class, a class also marked by historic levels of students in terms of diversity and academic quality. In addition, preliminary data indicates UK's retention rates between 2011 and 2012 increased by more than 1 percent from 81.3 percent to 82.5 percent.

10. Student Center Celebrates 75th Anniversary

The Student Center is celebrating its 75th anniversary this year. Since the Student Union opened May 14, 1938, the facility has provided a space on campus where students can relax, socialize, dine, play, shop, learn and be entertained. Total cost of the original Student Union was \$277,000. As enrollment grew at UK, more space was needed leading to an expansion that was completed in 1964. A new cafeteria and grill opened in the addition and the facility was renamed the Student Center. Eighteen years later, in 1982, the final addition was made to the Student Center at a cost of \$4.2 million.

11. Martin Luther King Center Re-launched With New Purpose

In its storied 26-year service to the University, the Martin Luther King Jr. Cultural Center has undergone many changes, and with a new calling to address the most vital needs of the students it serves, the center has been launched with a new purpose, new leadership, new programming, new goals, and a new name — the Martin Luther King Center. It will focus on supporting the University's diversity goals by developing and offering programs and experiences designed to prepare all students for the social, cultural, and political challenges of an increasingly complex, multicultural, global community, according to its new mission statement. The new interim director is Kahlil Baker and Gerald L. Smith, professor in the Department of History, is scholar-in-residence.

12. NOHMs Technologies to Locate at Center for Applied Energy Research Campus

Lithium-ion battery manufacturer NOHMs Technologies Inc. will locate a state-of-the-art research, manufacturing, and product development facility at the UK Center for Applied Energy Research campus. President Eli Capilouto joined Governor Steve Beshear, Lexington Mayor Jim Gray, NOHMs CEO Nathan Ball and Bob Quick, president and CEO of Commerce Lexington Inc. to announce the project, which will bring more than \$5.3 million in investment and could create up to 162 new Kentucky jobs.

13. QIPSR Enhances Research and Analysis Techniques Across Campus

Graduate students and faculty interested in brushing up on quantitative research methodology, software knowledge or grant-writing techniques have a new tool. The Quantitative Initiative of Policy and Social Research (QIPSR) is an organization committed to developing cutting-edge research and analysis techniques to enhancing quantitative research among all colleges. The initiative organizes research and statistical workshops, grant writing workshops, practical software workshops including a software festival introducing programs such as STATA, SAS,

SPSS and others, and an annual conference. QIPSR is based in the College of Arts and Sciences and led by Sociology professor Thomas Janoski.

14. Big Blue Goes Green for Seventh Year

Now in its seventh year, Big Blue Goes Green, a popular interactive showcase of sustainability initiatives at UK, was held in October. Hosted by the President's Sustainability Advisory Committee, the Tracy Farmer Institute for Sustainability and the Environment, and the Student Sustainability Council, BBGG 2013 introduced students, faculty and staff to the wide variety of sustainability-related efforts at UK, and recognized the work of the individuals and units responsible for these programs.

15. Residence Life Program Addresses Needs of New Students

The Division of Student Affairs Office of Residence Life sponsored After Office Hours in October. The event, an evolving initiative designed to reach out to students and give them an opportunity to meet with key and influential members of the UK community, sent faculty and staff into the residence halls to meet first-year students and build relationships. They answered questions and provided information on a variety of topics such as academic advising, how to get involved on campus, safety and personal needs.

16. Work-Life Office Celebrates National Work and Family Month

In celebration of the 10th anniversary of National Work and Family Month, the UK Office of Work-Life organized several events in October to appeal to the various needs and interests of employees. Among the topics covered were managing stress, retirement, teen development, virtual dementia tour and financial investments.

17. New App Helps Campus Find Its Resources

Students, faculty and staff have an updated option for managing their UK information. The myUK mobile app, released in early August, offers quick access so mobile devices can be used for many actions such as reviewing the course catalog, finding campus buildings and making tuition payments.

18. Luzzatto Delivers Bowling Lecture

Tamera Luzzatto, senior vice president of Government Relations for The Pew Charitable Trusts, delivered the 2013 James C. Bowling Executive-in-Residence lecture October 22. Luzzatto's presentation, "Experiences in the Change Business" focused on coping with and managing change. Prior to the lecture, the school honored Phil Osborne, president of Preston-Osborne, with the 2013 Excellence in Public Relations Award.

19. College Hosts Innovate to Learn Institute

The College of Education hosted the Innovate to Learn Institute October 28-29. Among the topics discussed was the Kentucky 1:1/BYOD Institute, focusing on the growing trend of schools equipping students with computing devices – known as 1:1, or encouraging students to bring their own devices to school – known as BYOD, or bring your own device.

20. Top High School Seniors Named Kentucky Collegians

Top high school seniors from across the Bluegrass state and around the country can now call themselves “Kentucky Collegians.” The UK Office of Undergraduate Admission and University Registrar honored 250 students from 14 different states for their academic success at the annual Kentucky Collegians event.

21. Students Draw Attention to the Hungry and Homeless

The Center for Community Outreach, Helping Hands, STAG, Delta Sigma Theta Sorority Inc., Zeta Phi Beta Sorority Inc. and Phi Beta Sigma Fraternity brought National Hunger and Homelessness Awareness Week to campus November 18-22. The week of activities was meant to draw awareness to the number of Kentuckians who suffer from hunger or homelessness.

22. Researchers Dig Deep to Find Treatments for Disease

In their ongoing quest to develop the latest and most effective drugs for disease treatment, researchers in the Center for Pharmaceutical Research and Innovation (CPRI) are looking in underground coal mines. It's all part of a new UK-based bioprospecting initiative, which involves a collaboration between CPRI, the Center for Applied Energy Research and the Kentucky Geological Survey. The idea is to collect samples from unusual environments with the goal of finding new, unique organisms that produce natural products that could potentially be used to develop new drugs with an initial focus on treatments for cancer, infectious disease and inflammation. CPRI Director Jon Thorson and his 11-member lab team are part of a large consortium of investigators at UK focused upon the discovery and development of natural product-based drug leads from unique sources including bacteria, fungi and plants.

23. Researchers at CAER Work on Ways to Lower CO2 Emissions

In November, researchers from the Center for Applied Energy Research (CAER) demonstrated a system that uses algae to absorb carbon dioxide emissions at Duke Energy's East Bend power station in Northern Kentucky. The pilot-scale system, built with \$1.8 million in funding from the Kentucky Energy and Environment Cabinet and UK with in-kind contributions from Duke Energy, is the only one of its kind currently operating in a "real-world" setting at a coal-fired power plant. Meanwhile, the National Energy Technology Laboratory, part of the U.S. Department of Energy, has selected the Center for Applied Energy Research for another three-year, \$3 million project focused on reducing carbon dioxide emissions at power plants. A major cost associated with commercial carbon dioxide capture is the size of the "scrubber" needed to handle the volume of flue gas produced by a power plant. CAER has developed a catalyst to

speed up the absorption rate of the solvent used, so the scrubber can be much smaller. Overall, the CAER technology could reduce the cost of carbon dioxide capture by 56 percent.

24. Center Develops Biobank to Aid Biomedical Research

In biomedical research, access to human tissues is of central importance in studying a disease or condition, and ultimately in developing drugs and looking for cures. For this reason, the Center for Clinical and Translational Science is rolling out an innovative project to develop an extensive Research Registry and Specimen Bank, called a biobank, for UK researchers. The biobank will utilize leftover blood and tissue from normal medical procedures. All UK patients, both inpatient and outpatient, will begin receiving biobank consent forms by January 2014. UK HealthCare sees about 35,000 patient discharges annually, which could translate into a robust biobank of both healthy and non-healthy biospecimens of all varieties.

25. Study of 7,000-year-old Language Receives National Attention

What did our ancestors sound like in the 50th century B.C.? UK linguistics lecturer Andrew M. Byrd examines ancient Indo-European languages and the language from which they derive, Proto-Indo-European, or PIE. The language is typically thought to have been in use around 7,000 years ago, though some suspect it was spoken at an even earlier time. Byrd's work was recently featured on the website of Archaeology Magazine, published by the Archaeological Institute of America. The online piece includes recordings of Byrd reading two fables constructed in PIE. Since then, Byrd has been featured in several major news outlets, including the BBC, The Huffington Post, io9.com, Le Figaro, USA Today, and Smithsonian magazine.

26. New Method May Control Tiger Mosquitoes

Entomologists in the College of Agriculture, Food and Environment have developed a new control method for mosquitoes. The U.S. Environmental Protection Agency recently granted a permit to begin field trials. The biological control method targets the Asian tiger mosquito; it is the first of its kind in the nation. Stephen Dobson, professor in the Department of Entomology, says this mosquito has been labeled as one of the most important invasive species in the world, and traditional ways of controlling mosquitoes don't work against this mosquito. A native of Southeast Asia, the Asian tiger mosquito now exists throughout the U.S., in every county in Kentucky with particularly heavy populations in southeastern Kentucky.

27. Timing of Meals Could Have Significant Impact on Health

When you eat could have as much impact on your health as what you eat. That's the conclusion reached by researchers in an ongoing series of papers and studies funded as part of a major grant from the National Institutes of Health. Vincent Cassone, College of Arts and Sciences professor and chair of the Department of Biology, has published more than 100 papers in leading academic journals on the internal timekeeping functions of the body. Cassone began working on body clocks and digestion as part of a collaboration with a gastroenterologist studying the role of body clocks in the digestive system. He is currently working with the support of a \$1 million grant

from the National Institute on Aging, a division of the National Institutes of Health, to study how the gastrointestinal clock is affected by aging.

28. Common Reading Experience Takes a Tour of the World

This fall's Common Reading Experience (CRE) book was *Where Am I Wearing?* and the CRE team welcomed to campus its author, Kelsey Timmerman on October 15. The author lecture, sponsored by the Office of New Student and Parent Programs, is one of many events that connect the CRE to student life on campus. The book is a global tour to the countries, factories and people who make today's clothes.

29. Residents Encouraged to Return to On-campus Housing

"Won't You Stay?" was the theme of an effort this fall to attract current residents of UK residence halls back to campus housing for 2014-15. Students living in the Kirwan-Blanding Complex now and other on-campus residents who choose to live there next year were offered several incentives to re-apply this fall for Complex housing. October 21-24 was Re-apply for Housing Week. Several locations were set up around campus offering information and the opportunity to apply. Some of the incentives were: getting priority to apply for parking in proximity to the complex; requesting group assignments so that groups of friends can live close to each other; and being entered into a drawing for special prizes.

30. Anderson Cooper Wows UK Audience

The inaugural SpeakBlue Distinguished Speaker, Anderson Cooper, spoke to a huge crowd September 30 in Memorial Coliseum. "From the Front Lines: An Evening with Anderson Cooper" was sponsored by the Student Activities Board Multicultural Affairs Committee. Cooper, host of CNN's Emmy Award-winning "Anderson Cooper 360°" and reporter for CBS's "60 Minutes," has become one of the most respected journalists of this generation.

31. New High-tech Security System Implementation in Process

Installation of the new \$4.8 million high-tech security management system is underway. Emergency phone towers have been installed throughout campus. The towers include emergency phones that can be used to immediately access the UK Police Department; loudspeakers to be used in emergency situations for outdoor warnings; and video cameras for surveillance of criminal activity. The UK Police Department is also in the midst of issuing or reissuing new identification cards to all students and employees. Work is also in progress on central building access control for several University buildings. This new campus security system puts UK at the forefront of safety enhancement in higher education.

32. UK Receives \$1 Million Rebate for Saving Energy

After two years of concerted efforts to conserve energy, Kentucky Utilities Company presented the University with a commercial rebate check of more than \$1 million. Retrofitting new, energy-efficient replacement parts to the infrastructure of many of the aging buildings on campus

made the difference. UK received the rebates through the Commercial Rebate Program offered by KU and Louisville Gas and Electric Company, which gives qualified commercial customers cash incentives to replace aging, less efficient equipment.

33. Survey Reveals Economic Impact of Kentucky's Equine Industry

The 2012 Kentucky Equine Survey revealed that Kentucky's equine industry had a total economic impact of almost \$3 billion and generated 40,665 jobs last year, with a tax contribution approaching \$134 million. The 2012 Equine Study was the first such wide-ranging study of Kentucky's equine industry since 1977 and the first-ever detailed economic impact study about the industry. The UK College of Agriculture, Food and Environment's Ag Equine Programs and Kentucky Horse Council, in conjunction with the U.S. Department of Agriculture National Agricultural Statistics Service, released the economic impact figures from a comprehensive statewide survey of all breeds of horses, ponies, donkeys and mules.

34. UK Dedicated to Help Veterans Succeed, Efforts Recognized

The University of Kentucky has been named a 2014 Military Friendly School by Victory Media, the publisher of G.I. Jobs magazine. This is the fifth consecutive year that UK has earned this recognition. The Military Times also released their rankings of Best for Vets schools November. 11, and UK is ranked 17th in the country in the category of four-year institutions. Meanwhile, UK was among the 62 new institutions joining the Department of Veterans Affairs' VetSuccess on Campus program. The innovative approach helps veterans in the often difficult transition from military service to academic life by placing experienced VA counselors directly on college campuses, strengthening the VA's partnership with institutions of higher learning and creating myriad opportunities to help veterans succeed.

35. Campus Grows Familiar with Resources at Safety Awareness Month Event

In recognition of September's National Preparedness Month, Campus Fire Safety Month, and Campus Safety Awareness Month, the UK Police Department's Division of Crisis Management & Preparedness hosted an event along with other university departments to engage students, staff and faculty on various issues related to overall safety and awareness, disaster preparedness and crime prevention.

36. Scholarship Information Sessions Help Prepare Students for Future Success

In September, the Office of External Scholarships presented information sessions on national and international scholarships that fund language studies, education abroad, public service and graduate research. Attending these information sessions early in the academic career helps students be more prepared when the time actually comes to apply. The sessions were open to all classes.

37. Satellite Clinic for ALS Established at Ashland Hospital

UK HealthCare teamed with Our Lady of Bellefonte Hospital in Ashland to create a satellite multidisciplinary clinic for patients with amyotrophic lateral sclerosis (ALS). The new satellite clinic's development was supported through a research grant from the ALS Association.

38. Medical Laboratory Sciences Celebrates 80th Year

The Medical Laboratory Sciences (MLS) program at the UK College of Health Sciences celebrated its 80th anniversary this year. The MLS program was one of the first such programs of its kind in the U.S. and one of the first medical programs established at UK.

39. Internet Connection Upgraded to Answer Today's Students' Needs

Time Warner Cable replaced the wireless Internet infrastructure for the 46-year-old Kirwan-Blanding Complex area, home to more than 2,500 undergraduate UK students each semester. Before the upgrade, wireless access was slow and not consistently available throughout the complex. The new technology supports download speeds of 40 megabits per second, 20 times faster than the previous technology. The wireless upgrade provides 100 percent coverage.

40. Civil Rights Martyr Honored by Poet Laureate on NPR Radio

A special one-hour radio production by WUKY honored the 50th anniversary of the assassination of civil rights icon Medgar Evers in 1963, just hours after President John F. Kennedy's nationally broadcast speech in support of civil rights. Kentucky Poet Laureate Frank X Walker recited his poetry from "Turn Me Loose: The Unghosting of Medgar Evers" accompanied by music and historical accounts from that time period. The show was hosted by DeBraun Thomas and produced by Thomas, WUKY General Manager Tom Godell and John Lumagui, director of operations for WUKY. Funding was provided by the College of Arts and Sciences and the College of Fine Arts.

41. UK Launches New Social Media Sites

UK launched two new social media accounts on Tumblr and Twitter for prospective and current students, faculty, staff, alumni and fans interested in news and events at the institution, as well as previews of coming announcements and news stories. In addition, the #AskACat Twitter chat was created to allow questions from the campus community and the public.

42. Young Library Unveils Active Learning Centers

Nearly \$350,000 was invested at the William T. Young Library to transform areas of the second floor into more active learning centers designed to facilitate collaborative learning and group study. The newly renovated reference area on the north wing and the learning space on the south wing now feature group study rooms with technology allowing students to share images from their laptops, furniture that is more conducive for study and a large area designed for library instruction.

43. UK Panhellenic Association Wins National Recognition

UK recently received one of 14 College Panhellenic Excellence Awards from the National Panhellenic Conference (NPC), one of the nation's largest advocacy organizations for women. College Panhellenics are scored on seven criteria, including those of value to college campuses such as academics, programming, and community relations. The University's largest student organization, the UK Panhellenic Association supports all sorority chapters on campus.

44. Students Reach for the Stars and Achieve Them

UK graduate and undergraduate students worked in conjunction with their peers at Morehead State University, under the auspices of the Kentucky Space consortium, to design, build, and test the satellite named KySat-2. Their satellite, along with others in the CubeSat programs operating in the United States, were transported to NASA's Wallops Flight Facility in Virginia, where they were launched in an unmanned rocket in November. CubeSat was created to bring small, affordable satellite technology within reach of educational and research institutions.

45. Program Helps Academic Advisors Better Serve International Students

International Student and Scholars Services, in the UK International Center, offered three workshops this fall to help UK's academic advisors understand the process of working with international undergraduate students.

46. Women's Leadership Retreat Allows Students to Learn Their Strengths

The Leadership Exchange in the Office of Student Involvement, a group that offers a variety of leadership programs to students, teamed up with the Violence Intervention and Prevention Center to create EMPOWER: Women's Leadership Retreat. The retreat gave students the opportunity to discover strengths, refine leadership skills, complete a high ropes course challenge, network with other leaders and be empowered as a woman.

47. Campus Leaders Learn Anti-bullying Techniques

Students, faculty and staff participated in "Project Speak Out," an anti-bullying training sponsored by the Student Association of School Psychologists and Lexington Fairness. Project Speak Out training equips role models with tools to handle bias against LGBT students in school settings.

48. Body's Response to Trauma Leads to Discovery of Natural Pain Control

A team of researchers, led by those at the University of Kentucky, has uncovered groundbreaking new information about how the body responds to traumatic injury with the development of a surprisingly long-lasting opioid mechanism of natural chronic pain control.

49. Eye Screenings for Diabetics to be offered in Rural Kentucky

Kentucky TeleCare, in conjunction with the Department of Ophthalmology and Visual Sciences UK College of Medicine, are partnering to bring technologically advanced diabetic eye screening to diabetics in rural Kentucky with the goal of preventing blindness. Thanks to a Health Resources and Services Administration grant from the Office for the Advancement of Telehealth, getting an annual eye exam will be easier than ever for rural Kentuckians with diabetes.

50. UK Opera Theatre Brought "Les Mis" Production to Lexington for First Time

UK Opera Theatre's production of Alan Boublil and Claude Schönberg's mega-musical "Les Misérables" in October at the Lexington Opera House was the first full-Broadway length production of "Les Mis" in Lexington. It played to sold out audiences and critical acclaim. UK was among a small group of universities in the country granted rights to present the blockbuster musical.

51. UK Men's Chorus Chosen to Perform at National Conference

The University of Kentucky's nationally renowned Men's Chorus performed for the National Collegiate Choral Organization (NCCO) national conference in Charleston, S.C., October 31. UK's Men's Chorus is one of only two collegiate male choruses chosen throughout the U.S. to perform at this year's conference.

52. "Living Landscapes" Festival Held in September

The people, lands and livelihood of Inner Mongolia and Kentucky come together in celebration in "Living Landscapes," a weeklong festival in September of international arts and culture. Presented by the College of Fine Arts and the UK Confucius Institute in partnership with the Art College of Inner Mongolia University (IMU) and the Inner Mongolia Autonomous Region International Culture Association, "Living Landscapes," brought more than 30 students, faculty, and administrators from IMU to UK's campus to participate in master classes, workshops and lectures exploring both Eastern and Western cultural themes, from throat singing to classical singing, from bowl dances to musical theatre dance. Additionally, events include several art exhibitions and music and dance concerts, all free and open to the public.

53. Faculty and Staff Awards and Achievements

Robert Baumann (Pediatrics) was recognized for his dedication to the health of children by being named the recipient of the Kentucky Chapter of the American Academy of Pediatrics' Don Cantley Community Service Award.

John Thelin (Higher Education and Public Policy) and Richard Trollinger, vice president of Centre College and doctoral student in the UK College of Education, received the John Grenzebach Award for Outstanding Research in Philanthropy for Educational Advancement.

Jennifer Havens (Behavioral Science) sat on a panel in Washington, D.C., addressing the current U.S. congressional ban on federal funding of syringe services programs, advocating that releasing the ban will positively affect the rising abuse issue.

Anna Hays-Taul (academic advisor) won the prestigious 2013 National Association for College Admission Counseling Rising Star Award, which recognizes new leaders who exemplify excellence and show dedication in serving the needs of students during their transition into college life.

Five distinguished alumni and faculty were honored by the postsecondary education community at the 2013 Annual Governor's Conference on Postsecondary Education Trusteeship for achieving national stature in their chosen careers and our outstanding faculty for leading the way to greater excellence in teaching, service and innovation. UK alumni Tom Hammond, (1967) longtime NBC sportscaster, received the Oak Award, and Jeffrey P. Okeson, professor and chair, (Oral Health) received the Acorn Award. The awards are sponsored by the Council on Postsecondary Education and Kentucky's colleges and universities.

Kathy Swan (Education) has served as lead writer and project director of the *College, Career and Civic Life Framework for Social Studies*, which was published recently by the National Council for the Social Studies.

David Fardo (Public Health) received a career development grant from the National Institute on Aging in the area of age-related neurodegenerative diseases such as Alzheimer's disease.

The Kentucky Library Association announced that Laura Whayne (Kentucky Transportation Center Library) is the association's president-elect.

A team of researchers, led by several at UK, has uncovered groundbreaking new information about how the body responds to traumatic injury with the development of a surprisingly long-lasting opioid mechanism of natural chronic pain control. Published in *Science*, the research article was written by lead author Bradley Taylor, Gregory Corder, Suzanne Doolen and Renee Donahue (Physiology); and Brandon Jutras (Microbiology, Immunology and Molecular Genetics).

Carl Lee (Mathematics) has won a Mathematical Association of America 2014 Deborah and Franklin Tepper Haimo Award.

Forty-nine faculty and administrators from Southeastern Conference universities, including four from UK, have been selected as 2013-14 SEC Academic Leadership Development Program fellows. The SEC Academic Leadership Development Program is a professional development program that seeks to identify, prepare and advance academic leaders for roles within SEC institutions and beyond. The UK representatives who were chosen are Nancy C. Jones (Theatre), Douglass S. Kalika (Chemical and Materials Engineering), Sue Roberts (Geography), and Deirdre A. Scaggs (Special Collections, UK Libraries).

Jane Kleinert (Communication Sciences and Disorders) won the 2013 Louis M. DiCarlo Award for Recent Clinical Achievement from the American Speech-Language-Hearing Foundation.

David M. Mannino (Preventive Medicine and Environmental Health) has been honored as the recipient of the Chronic Obstructive Pulmonary Disease Treatment Achievement Award from the COPD Foundation.

Hatim Omar (Pediatrics and Obstetrics and Gynecology) and chief of adolescent medicine and the Young Parent Program, was honored by the Ohio Valley Chapter of the Society for Adolescent Health and Medicine with the annual Regional Chapter Award in recognition of

his ongoing dedication to the health and well-being of teenagers, both in Kentucky and around the world.

Jayakrishna Ambati (Ophthalmology and Visual Sciences) received the Junius-Kuhnt Award from the University of Bonn in Hamburg, Germany, for his group's outstanding work and commitment to age-related macular degeneration research.

54. Student Awards and Achievements

Doctoral student David Wheeler (Communication and Information) wrote an article on automated voice systems that was recently published on CNN's website.

Graduate student Katrina Hutchison (Educational Policy Studies and Evaluation) has received a fellowship from the International Foundation for Education and Self-Help. She will serve as a teacher trainer for the International Educators for Africa in Ethiopia.

Doctoral student Maria Apostolova (Business and Economics) was one of 13 people chosen nationally as a Horowitz Foundation grant recipient, along with winning the Eli Ginzberg Award. The unifying theme of her research is to explore the policy determinants and macroeconomic effects of fertility.

Graduate student and part-time nursing instructor Demetrius Abshire recently was awarded a coveted Love of Learning scholarship award from The Honor Society of Phi Kappa Phi.

55. Alumni Awards and Achievements

The Bluegrass Opera returned to the Lexington Opera House to present the world premiere of a piece written by UK School of Music alumnus Lorne Dechtenberg, a musical titled "Lady Windermere's Fan."

The School of Human Environmental Sciences Hall of Fame inducted new members recently. The school, in the UK College of Agriculture, Food and Environment, honors alumni who have made a positive difference in their profession, their communities and to the school. Patricia Brantley Todd, Martha Allen Nall and the late Elizabeth Cromwell Kremer recently became the newest members of the Hall of Fame.

Ron Sowell, executive vice president and CFO of Commonwealth Health Corporation in South Central Kentucky, is this year's recipient of the Martin School of Public Policy and Administration's Distinguished Alumnus Award. At the same time O. Leonard "Len" and Lillian "Lil" Henken Press of Lexington were honored as the 2013 inductees into the Kentucky Public Service Hall of Fame created by the Martin School last year. Len Press is retired founding director of Kentucky Educational Television and Lil Press has organized and directed several major state initiatives, including being the founding director of the Governor's Scholars Program and helping develop Kentucky's first Regional Mental Health Board.

PR 2

Office of the President
December 17, 2013

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate Provost/Executive Vice President through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Executive Vice President for Finance and Administration

Griffin, Dorothy J., Staff Support Associate I, Physical Plant-Plumbing Services, Human Resources, after 28 years and 1 month of consecutive service under AR 3.1 Section VIII.B, effective 8/31/13.

Nickolaus, Marvin D., Production Manager, College of Pharmacy, Human Resources, after 15 years and 8 months of consecutive service under AR 3.1 Section VIII.B, effective 1/31/14.

B. EARLY RETIREMENTS

Associate Vice President for Auxiliary Services

Dearborn, William E., Postal Supervisor, General Fund Mail Services, Auxiliary Services, after 20 years and 7 months of consecutive service under AR 3.1 Section VIII.A, effective 1/3/14.

Vice President for Facilities Management

Stevenson, Derek L., Building Operator II, Physical Plant Building Operators, Facilities Management, after 27 years and 6 months of consecutive service under AR 3.1 Section VIII.A., effective 1/23/14.

Wiley, Kenneth L., Physical Plant Manager I, Campus Physical Plant, Facilities Management, after 39 years and 11 months of consecutive service under AR 3.1 Section VIII.A, effective 1/2/14.

Executive Vice President for Finance and Administration

Mills, Wanda S., Technical Support Assistant, Support Services, Human Resources, after 28 years of consecutive service under AR 3.1 Section VIII.A, effective 10/31/13.

Price, Deborah A., Data Entry Operator, Graduate School, Human Resources, after 22 years and 3 months of consecutive service under AR 3.1 Section VIII.A, effective 10/1/13.

Vice President for Institutional Diversity

Slaughter, Ruth A., Administrative Support Associate I, Martin Luther King Jr. Cultural Center, Institutional Diversity, after 15 years and 6 months of consecutive service and 27 years and 7 months of total service under AR 3.1 Section VIII.A, effective 1/3/14.

Vice President for Research

Mock, Steven R., Scientist I, KGS Water Analysis, Research, after 23 years and 4 months of consecutive service under AR 3.1 Section VIII.A, effective 1/4/14.

C. CORRECTION IN MINUTES

Vice President for Research

Banks, Roger S., Stores Supervisor, KY Geological Survey, after 29 years and 10 months of consecutive service under AR 3.1 Section VIII.A, effective date changed from 10/1/13 to 09/08/13.

II. ADMINISTRATIVE ACTION

A. APPOINTMENTS

Athletics Director

Graham, Sean, Assistant Coach Track, effective 8/19/13.

Vice President for Facilities Management

Fendley, Gary, Physical Plant Manager II, Physical Plant Division Medical Center, effective 10/8/13.

B. PROMOTIONS

Office of the Treasurer

Payton, Laura S., from Accountant Principal, Accounts Payable to Procurement Card Administrator, Accounts Payable, effective 11/11/2013.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Arriagada-Alvarado, Susana A., College of Medicine, Clinical Assistant Professor, Pediatrics, 11/1/13 through 6/30/14.
- Ballard, Jonathan R., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, and joint appointment as Clinical Assistant Professor, Health Services Management, College of Public Health, 1/15/14 through 6/30/14.
- Browning, Steven R., College of Public Health, Associate Professor* (with tenure), Epidemiology, effective 10/1/13.
- Cardarelli, Kathryn M., College of Public Health, Associate Professor (with tenure), Health Behavior, effective 10/1/13.
- Cardarelli, Roberto, College of Medicine, Clinical Professor, Family and Community Medicine, and joint appointment as Clinical Professor, Health Behavior, College of Public Health, 9/1/13 through 6/30/14.
- Collier, Ronald N., College of Medicine, Associate Professor (voluntary), Family and Community Medicine, 7/1/13 through 6/30/16.
- Crouch, Larry, College of Public Health, Adjunct Professor, Health Behavior, 7/1/13 through 6/30/14.
- Despa, Florin, College of Medicine, Associate Professor (without tenure), Molecular and Biomedical Pharmacology, 7/1/13 through 6/30/14.
- Despa, Sanda I., College of Medicine, Associate Professor (without tenure), Molecular and Biomedical Pharmacology, 8/1/13 through 6/30/14.
- Dillard, Robert P., College of Medicine, Professor* (without tenure), Pediatrics, 7/1/13 through 6/30/14.
- Duncan, Stephen T., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 11/1/13 through 6/30/14.
- Edris, Basel, College of Medicine, Clinical Assistant Professor, Internal Medicine, 9/16/13 through 6/30/14.
- Fields, Kenneth A., College of Medicine, Associate Professor (with tenure), Microbiology, Immunology and Molecular Genetics, effective 9/1/13.
- Giannone, Peter J., College of Medicine, Professor* (without tenure), Pediatrics, 10/1/13 through 6/30/14.
- Gonsalves, Wanda C., College of Medicine, Clinical Professor, Family and Community Medicine, 11/18/13 through 6/30/14.
- Hakansson, Thomas, College of Arts and Sciences, Associate Adjunct Professor, Anthropology, 7/1/13 through 6/30/15.
- Harrar, Solomon W., College of Arts and Sciences, Associate Professor (temporary), Statistics, 8/16/13 through 6/30/14.
- High, Walter M., College of Medicine, Associate Adjunct Professor, Physical Medicine and Rehabilitation, and joint appointment as Associate Adjunct Professor, Neurosurgery, 10/16/13 through 6/30/14.

Hoyt, William, College of Business and Economics, Professor (with tenure), Economics, and joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, appointed to the Carol Martin Gatton Professorship in Economics, 7/1/12 through 6/30/14.

Joubert, Hendrika, College of Education, Associate Adjunct Professor, Educational Leadership Studies, 1/1/14 through 6/30/15.

Larrow, Daniel W., College of Medicine, Clinical Assistant Professor, Pediatrics, 9/1/13 through 6/30/14.

Lee, Daniel, College of Medicine, Professor (voluntary), Neurology, 9/1/13 through 6/30/16.

Lewis, Phyllis, College of Medicine, Clinical Assistant Professor, Pediatrics, 10/13/13 through 6/30/14.

Lollar, Cortney E., College of Law, Assistant Professor, 7/1/13 through 6/30/14.

Messerli, Adrian W., College of Medicine, Clinical Associate Professor, Internal Medicine, 10/1/13 through 6/30/14.

Moriarty, Thomas M., College of Medicine, Adjunct Professor, Neurosurgery, 12/1/13 through 6/30/14.

Moseley, Hunter N., College of Medicine, Associate Professor (with tenure), Molecular and Cellular Biochemistry, effective 9/1/13.

Murphy, Richard R., College of Medicine, Assistant Professor*, Neurology, 11/1/13 through 6/30/14.

Nahum, Daniel D., College of Medicine, Associate Professor (voluntary), Psychiatry, 1/1/14 through 6/30/16.

O'Brien, John M., College of Medicine, Clinical Associate Professor, Obstetrics and Gynecology, appointed to the Professorship in Obstetrics and Gynecology, 7/1/13 through 6/30/15.

Pennell, Michael C., College of Communication and Information, Associate Professor (without tenure), Library and Information Science, 8/1/13 through 6/30/14.

Rabideau, Mark, College of Fine Arts, Associate Professor (visiting), Music, 8/15/13 through 6/30/14.

Seethamraju, Harish, College of Medicine, Clinical Associate Professor, Surgery, 7/1/13 through 6/30/14.

Shelton, Thomas D., College of Education, Associate Adjunct Professor, Educational Leadership Studies, 1/1/14 through 6/30/15.

Shinn, Jennifer B., College of Medicine, Associate Professor (voluntary), Otolaryngology - Head and Neck Surgery, 3/1/13 through 6/30/16.

Sloas, Harold A., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 8/1/13 through 6/30/14.

Thomas, Joseph E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 11/1/13 through 6/30/14.

Trane, R. Nicholas, College of Medicine, Clinical Assistant Professor, Radiology, 12/20/13 through 6/30/14.

Williams, Tracy E., College of Nursing, Associate Professor (voluntary), 1/1/14 through 6/30/16.

Young, Lu, College of Education, Associate Adjunct Professor, Educational Leadership Studies, 1/1/14 through 6/30/15.

Zent, Walter W., College of Agriculture, Food and Environment, Adjunct Professor, Veterinary Science, 11/1/13 through 6/30/15.

Zunshine, Lisa, College of Arts and Sciences, Professor (with tenure), English, appointed to the Bush-Holbrook Endowed Professorship in English, 7/1/13 through 6/30/16.

B. ADMINSTRATIVE APPOINTMENTS

Franklin, Gregory C., College of Arts and Sciences, Professor (without tenure), Aerospace Studies, reappointed Chair, Aerospace Studies, 7/1/13 through 6/30/14.

Hansen, Gary L., College of Agriculture, Food and Environment, Extension Professor (with tenure), Community and Leadership Development, and joint appointment as Extension Professor (without tenure), Sociology, College of Arts and Sciences, reappointed Chair, Community & Leadership Development, 7/4/14 through 6/30/15.

Kuperstein, Janice M., College of Health Sciences, Associate Professor* (with tenure), Rehabilitation Sciences, and joint appointment as Associate Professor* (without tenure), Family and Community Medicine, College of Medicine, reappointed Chair, Rehabilitation Sciences, 7/1/13 through 6/30/17.

McDowell, Susan M., College of Medicine, Associate Professor* (with tenure), Physical Medicine and Rehabilitation, appointed Chair, Physical Medicine and Rehabilitation, 10/1/13 through 9/30/19.

Oates, Mary E., College of Medicine, Professor (with tenure), Radiology, reappointed Chair, Radiology, 10/1/13 through 9/30/19.

Werner-Wilson, Ronald J., College of Agriculture, Food and Environment, Professor (with tenure), Family Sciences, reappointed Chair, Family Studies, 11/1/13 through 10/31/19.

Zwischenberger, Joseph B., College of Medicine, Professor (with tenure), Surgery, and joint appointments as Professor (without tenure), Radiology and Professor (without tenure), Pediatrics and Professor (without tenure), Biomedical Engineering, College of Engineering, reappointed Chair, Surgery, 5/1/13 through 4/30/19.

C. JOINT APPOINTMENTS

Escott, Edward J., College of Medicine, Clinical Associate Professor, Radiology, joint appointment as Clinical Associate Professor, Otolaryngology - Head and Neck Surgery, 9/1/13 through 6/30/14.

Iocono, Joseph A., College of Medicine, Associate Professor* (with tenure), Surgery, joint appointment as Assistant Professor*, Pediatrics, effective 7/1/13.

Schmitt, Frederick A., College of Medicine, Professor (with tenure), Neurology, and joint appointments as Professor (without tenure), Behavioral Science and Professor (without tenure), Psychiatry and Professor (without tenure), Psychology, College of Arts and Sciences, new joint appointment as Professor (without tenure), Neurosurgery, effective 9/1/13.

D. REAPPOINTMENTS

- Berger, Joseph R., College of Medicine, Professor (with tenure), Neurology, and joint appointment as Professor (without tenure), Internal Medicine, reappointed to the Ruth Louise Works Professorship in Neuromuscular Diseases, 7/1/13 through 10/31/14.
- Blanton, Jack, Graduate School, Adjunct Professor, Martin School of Public Policy and Administration, 7/1/13 through 6/30/14.
- Bouvette, Ralph E., College of Pharmacy, Associate Professor (part-time), Pharmacy Practice and Science, 7/1/13 through 6/30/14.
- Brouse, Sara D., College of Pharmacy, Associate Adjunct Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.
- Cobb, James C., College of Arts and Sciences, Associate Adjunct Professor, Earth and Environmental Sciences, 7/1/13 through 6/30/14.
- Crocker, Mark, College of Arts and Sciences, Associate Adjunct Professor, Chemistry, 7/1/13 through 6/30/15.
- Davis, Burtron H., College of Arts and Sciences, Adjunct Professor, Chemistry, 7/1/13 through 6/30/15.
- Davis, George A., College of Pharmacy, Associate Adjunct Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.
- Divine, Holly S., College of Pharmacy, Clinical Associate Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.
- Hower, James C., College of Arts and Sciences, Adjunct Professor, Earth and Environmental Sciences, 7/1/13 through 6/30/14.
- Jordan, Bradford D., College of Business and Economics, Professor (with tenure), Finance and Quantitative Methods, reappointed to the Richard W. and Janice H. Furst Endowed Chair in Finance, 7/1/13 through 6/30/18.
- Labianca, Giuseppe J., College of Business and Economics, Professor (with tenure), Management, reappointed to the Carol Martin Gatton Professorship in Management, 7/1/13 through 6/30/15.
- Lane, Matthew T., College of Pharmacy, Clinical Associate Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.
- Littleton, John M., College of Arts and Sciences, Professor (part-time), Psychology, 1/1/13 through 12/31/13.
- Magnuson, Barbara L., College of Pharmacy, Associate Adjunct Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.
- Martin, Craig A., College of Pharmacy, Clinical Associate Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.
- McDaniel, Linda, College of Business and Economics, Professor (with tenure), Accountancy, reappointed to the Von Allmen Endowed Chair in Accountancy, 7/1/12 through 6/30/14.
- Nihill, Patricia, College of Dentistry, Associate Professor* (without tenure), Oral Health Practice, 7/1/13 through 6/30/14.
- Ravinuthala, Ravi V., College of Medicine, Associate Professor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

Sellnow, Deanna D., College of Communication and Information, Professor* (with tenure), Communication, and joint appointment as Professor* (without tenure), Library and Information Science, reappointed to the Gifford Blyton Endowed Professorship in Oral Communication and Forensics, 8/1/13 through 7/31/16.

Slevin, John T., College of Medicine, Professor (with tenure), Neurology, and joint appointments as Professor (without tenure), Graduate Center for Toxicology and Professor (without tenure), Molecular and Biomedical Pharmacology, reappointed to the James W. Holsinger, Jr., M.D. Professorship in Neurosciences, 10/1/13 through 6/30/18.

Susman, David T., College of Arts and Sciences, Clinical Associate Professor, Psychology, and joint appointment as Clinical Associate Professor, Psychiatry, College of Medicine, 7/1/13 through 6/30/15.

van Nagell, John R., College of Medicine, Professor (with tenure), Obstetrics and Gynecology, reappointed to the John R. van Nagell, M.D. Chair in Gynecologic Oncology, effective 7/1/13.

Zhao, Hong-Bo, College of Medicine, Associate Professor (with tenure), Otolaryngology - Head and Neck Surgery, and joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, reappointed to the Richard C. Haydon III, M.D. Chair in Otolaryngology, effective 7/1/13.

Ziada, Khaled M., College of Medicine, Associate Professor* (with tenure), Internal Medicine, reappointed to the Gill Foundation Professorship in Interventional Cardiology, effective 7/1/13.

E. PROMOTIONS

Adams, Ingrid K., College of Agriculture, Food and Environment, from Assistant Extension Professor, Dietetics and Human Nutrition, to Associate Extension Professor (with tenure), Dietetics and Human Nutrition, effective 12/1/13.

Bewley, Jeffrey M., College of Agriculture, Food and Environment, from Assistant Extension Professor, Animal and Food Sciences, to Associate Extension Professor (with tenure), Animal and Food Sciences, effective 2/7/14.

Knight, Judson M., College of Dentistry, from Associate Professor (part-time), Oral Health Science, to Professor (part-time), Oral Health Science, 10/1/13 through 6/30/14.

McNear, David H., College of Agriculture, Food and Environment, from Assistant Professor, Plant and Soil Sciences, to Associate Professor (with tenure), Plant and Soil Sciences, effective 1/1/14.

Valentin, Ryan A., College of Law, from Librarian III, to Librarian II (with tenure), effective 1/1/14.

Webber, Kelly H., College of Agriculture, Food and Environment, from Assistant Professor, Dietetics and Human Nutrition, to Associate Professor (with tenure), Dietetics and Human Nutrition, effective 1/1/14.

Youkilis, Bradley B., College of Health Sciences, from Assistant Professor (voluntary), Clinical Sciences, and joint appointment as Assistant Professor (voluntary), Obstetrics and Gynecology, College of Medicine, to Associate Professor (voluntary), Clinical Sciences, and joint appointment as Assistant Professor (voluntary), Obstetrics and Gynecology, College of Medicine, 7/1/13 through 6/30/16.

F. CHANGES

Abu Jawdeh, Elie G., College of Medicine, Clinical Assistant Professor, Pediatrics, from 7/1/13 through 6/30/14, to 8/16/13 through 6/30/14.

Casey, Baretta R., College of Public Health, from Clinical Professor, Health Behavior, and joint appointments as Clinical Professor, Family and Community Medicine, College of Medicine and Clinical Professor, Preventive Medicine and Environmental Health and Clinical Professor, Health Services Management, to Professor (part-time), Health Behavior, 10/1/13 through 3/31/14.

Karki Maskey, Mitu, College of Medicine, Clinical Assistant Professor, Internal Medicine, from 9/1/13 through 6/30/14, to 9/26/13 through 6/30/14.

Maschio, Geraldine A., College of Fine Arts, from Associate Professor (with tenure), Theatre, to Associate Professor* (with tenure), Theatre, effective 9/23/13.

G. TERMINAL REAPPOINTMENTS

Hedman, Thomas P., College of Engineering, Associate Research Professor, Biomedical Engineering, and joint appointment as Associate Research Professor, Neurosurgery, College of Medicine, 11/1/13 through 6/30/14.

H. RETIREMENTS

Allen, Larry, College of Medicine, Rural Health Director State Office, Rural Kentucky Health Care, after 13 years and 6 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/11/13.

Bishop, Janice, College of Medicine, Staff Nurse Clinical I, Internal Medicine, after 11 years and 11 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/1/14.

Burns, James M., College of Dentistry, Dental Technician, Lab Services, after 29 years and 1 month of consecutive service, under AR 3:1, Section VIII.B, effective 9/3/13.

Carpenter, Dresden C., College of Health Services, Administrative Support Associate I, Business Office, after 26 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/13.

Coleman, Norma J., College of Agriculture, Administrative Services Assistant Senior, Agriculture Communications, after 23 years and 7 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/3/14.

Creech, Judy C., College of Agriculture, Extension Assistant, 4-H Central Operations, after 25 years and 9 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/2/13.

Ghabrial, Said A., College of Agriculture, Food and Environment, Professor (with tenure), Plant Pathology, after 39 years and 1 month of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/13.

Hanson, Roxanna D., College of Arts and Sciences, Student Affairs Support Associate, Administrative Services, after 15 years of consecutive service, under AR 3:1, Section VIII.B, effective 5/3/14.

Hartman, Donald G., College of Engineering, Transportation Program Manager, Kentucky Transportation Center, after 24 years and 7 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/1/14.

Kisil, Judith, Information Technology, Technical Administrator III, Data Administration, after 37 years and 1 month of consecutive service, under AR 3:1, Section VIII.B, effective 12/13/13.

Lacy, Terry G., College of Medicine, Laboratory Technician Senior, Internal Medicine, after 10 years and 8 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/30/13.

Martin, Patsy N., Student Affairs, Administrative Services Assistant Senior, Student Media Services, after 32 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 11/15/13.

Michelman, Susan O., College of Agriculture, Food and Environment, Associate Professor (with tenure), Retailing and Tourism Management, after 11 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/13.

O'Leary, Joseph H., College of Agriculture, Food and Environment, Associate Professor (with tenure), Animal and Food Sciences, after 39 years of consecutive service, under AR 3:1, Section VIII.B, effective 1/10/14.

Overman, Timothy L., College of Medicine, Associate Professor* (with tenure), Pathology and Laboratory Medicine, and joint appointment as Associate Professor* (without tenure), Clinical Sciences, College of Health Sciences, after 32 years and 3 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/3/14.

Swiderski, Carol F., College of Medicine, Research Analyst Senior, Internal Medicine, after 20 years and 1 month of consecutive service, under AR 3:1, Section VIII.B, effective 10/7/13.

Teachey, Peggy C., College of Nursing, Administrative Assistant, after 19 years and 8 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/31/14.

Thaxton, Connie J., College of Dentistry, Patient Registration Counselor II, Dentistry Periodontics, after 37 years and 10 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/10/14.

Wala, Andrzej M., College of Engineering, Professor (with tenure), Mining Engineering, after 27 years and 10 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/13.

Walsh-Piper, Kathleen, College of Fine Arts, Art Museum Director, Art Museum, after 10 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 8/16/13.

- Watson, Carolyn Sue, College of Agriculture, Extension Staff Assistant, Extension Field Programs, after 24 years and 7 months of consecutive service, under AR 3:1, Section VIII.B, effective 10/10/13.
- Williams, Sherry C., College of Medicine, Staff Associate Senior, Sanders-Brown Center on Aging, after 22 years and 7 months of consecutive service, under AR 3:1, Section VIII.B, effective 10/31/13.
- Winkle, Edward W., College of Arts and Sciences, Administrative Staff Officer I, Anthropology Museum, after 29 years and 2 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/2/13.
- Wolbarst, Anthony B., College of Health Sciences, Associate Professor* (with tenure), Clinical Sciences, and joint appointment as Associate Professor*, after 7 years and 1 month of consecutive service, under AR 3:1, Section VIII.B, effective 9/30/13.

I. EARLY RETIREMENTS

- Addo, Kwaku, College of Agriculture, Food and Environment, Associate Professor (with tenure), Dietetics and Human Nutrition, after 22 years and 1 month of consecutive service, under AR 3:1, Section VIII.A, effective 10/1/13.
- Argo, Barbara, College of Medicine, Rad Tech Master Clinic/Ultrasound, Obstetrics and Gynecology, after 15 years and 5 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/8/14.
- Breunig, Janet L., Information Technology, Administrative Support Associate I, Planning, Administration and Finance, after 23 years and 5 months of consecutive service, under AR 3:1, Section VIII.A, effective 9/18/13.
- Burton, Deborah, College of Arts and Sciences, College Payroll Specialist, Administrative Services, after 16 years and 3 months of consecutive service, and a total of 25 years of service, under AR 3:1, Section VIII.A, effective 1/3/14.
- Byrne, Mary J., College of Medicine, QA Manager/Abstract and Training, Kentucky Community Cancer Program, after 22 years and 10 months of consecutive service, under AR 3:1, Section VIII.A, effective 11/15/13.
- Davidson, Jeffrey N., College of Medicine, Professor (with tenure), Microbiology, Immunology and Molecular Genetics, after 29 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 10/31/13.
- Davis, Jeffrey K., College of Agriculture, Programmer Systems Analyst Senior, Agriculture Communications, after 31 years and 7 months of consecutive service, under AR 3:1, Section VIII.A, effective 9/30/13.
- Dembo, Jeffrey B., College of Dentistry, Professor* (with tenure), Oral Health Science, and joint appointments as Professor* (without tenure), Anesthesiology, College of Medicine and Professor* (without tenure), Surgery, College of Medicine, after 25 years and 11 months of consecutive service, under AR 3:1, Section VIII.A, effective 10/2/13.
- Dunaway, Barbara I., College of Agriculture, Staff Support Associate II, Veterinary Diagnostic Laboratory, after 30 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/3/14.

Elbon, David C., Information Technology, Programmer Systems Lead, Student Computing Services, after 36 years and 8 months of consecutive service, under AR 3:1, Section VIII.A, effective 2/8/14.

Hopenhayn, Claudia, College of Public Health, Associate Professor (with tenure), Epidemiology, after 17 years and 10 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/4/14.

O'Malley, Gail A., College of Nursing, Information Coordinator, after 29 years and 6 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/1/14.

Poynter, Arlena F., Student Affairs, Food Services Supervisor, Residential Dining Services, after 26 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/10/14.

Ritchie, John W., Provost Budget Office, Provost Assistant Resource Management, after 40 years and 5 months of consecutive service, under AR 3:1, Section VIII.A, effective 9/30/13.

Roberts, Kay H., Student Success, Program Coordinator II, International Center, after 25 years and 11 months of consecutive service, under AR 3:1, Section VIII.A, effective 11/30/13.

Robertson, Connie G., Office of the Provost, Administrative Staff Officer I, University Engagement Administration, after 15 years of consecutive service, under AR 3:1, Section VIII.A, effective 10/6/13.

Smyth-Pinney, Julia M., College of Design, Associate Professor (with tenure), Architecture, after 31 years and 10 months of consecutive service, under AR 3:1, Section VIII.A, effective 12/31/13.

Solomon, Francis S., Information Technology, Programmer Systems Lead, University Computing Systems Programs, after 29 years and 3 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/7/14.

Sumner, Donna M., College of Medicine, IS Technical Support Assistant, Molecular and Cellular Biochemistry, after 21 years and 3 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/4/14.

Truszczynska, Helena, Information Technology, Statistician, Institutional Research, Planning and Effectiveness, after 17 years and 6 months of consecutive service, under AR 3:1, Section VIII.A, effective 9/12/13.

J. POST-RETIREMENT APPOINTMENTS

Allen, David M., College of Arts and Sciences, Professor (part-time), Statistics, 1/1/14 through 6/30/14.

Beal, Rayma, Undergraduate Studies, Associate Professor (part-time), Honors Program, 8/16/13 through 5/15/14.

Boosalis, Maria G., College of Health Sciences, Associate Professor (part-time), Clinical Sciences, 7/1/13 through 6/30/14.

Cantor, Austin H., College of Agriculture, Food and Environment, Associate Professor (part-time), Animal and Food Sciences, 1/5/14 through 1/4/15.

Carter, Gary, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 1/7/14 through 1/6/15.

Childress, Linda, College of Agriculture, County Staff Assistant (part-time), Cooperative Extension Service, 2/1/14 through 1/31/15.

Cromwell, Gary L., College of Agriculture, Food and Environment, Professor (part-time), Animal and Food Sciences, 1/5/14 through 1/4/15.

Davis, Jeanne, College of Agriculture, Program Coordinator (part-time), Cooperative Extension Service, 4/8/14 through 4/7/15.

Ewing, Jack, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, from 1/8/14 through 1/7/15, to 2/6/14 through 2/5/15.

Gadberry, Shirlene, College of Agriculture, Service/Maintenance (part-time), Cooperative Extension Service, 1/12/14 through 1/11/15.

Grigson, Dan, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 1/7/14 through 1/6/15.

Harrison, David, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 1/7/14 through 1/6/15.

Henderson, Jeff, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 11/6/13 through 11/5/13.

Hunt, Nancy, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 2/6/14 through 2/5/15.

Jackson, Cliff, College of Fine Arts, Associate Professor (part-time), Music, 8/15/13 through 6/30/14.

Jacobs, Charlene, College of Agriculture, Assistant Director for 4-H/Youth Development (part-time), Cooperative Extension Service, 1/1/14 through 12/31/14.

Jasper, Linda Sue, College of Agriculture, Service/Maintenance (part-time), Cooperative Extension Service, 3/4/14 through 3/3/15.

Johnting, Kaye, College of Agriculture, Program Assistant (part-time), Cooperative Extension Service, 2/28/14 through 2/27/15.

MacAdam, Keith, College of Arts and Sciences, Professor (part-time), Physics and Astronomy, 1/1/14 through 5/15/14.

May, Charles E., Undergraduate Studies, Assistant Professor (part-time), Honors Program, 8/16/13 through 5/15/14.

Michelman, Susan O., College of Agriculture, Food and Environment, Associate Professor (part-time), Retailing and Tourism Management, 8/1/14 through 6/30/15.

Moore, Nelda, College of Agriculture, Family and Consumer Science Agent (part-time), Cooperative Extension Service, 2/1/14 through 1/31/15.

Moore, Steve, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 2/4/14 through 2/3/15.

O'Leary, Joseph H., College of Agriculture, Food and Environment, Associate Professor (part-time), Animal and Food Sciences, 1/13/14 through 5/31/14.

Royster, Wimberly C., College of Arts and Sciences, Professor (part-time), Mathematics, 7/1/13 through 6/30/14.

Scahill, Kathy, College of Agriculture, Administrative Support Associate (part-time), Horticulture, 9/9/13 through 11/30/13.

Stivers, Wendy, College of Agriculture, 4-H Specialist (part-time), Dietetics and Human Nutrition, 6/1/13 through 5/31/14.

Wilson, Joseph W., College of Arts and Sciences, Professor (part-time), Chemistry, 8/16/13 through 6/30/14.

K. PHASED RETIREMENT APPOINTMENTS

- Crooks, Deborah, College of Arts and Sciences, Associate Professor (with tenure), Anthropology, 7/1/14 through 6/30/19.
- Davies, H M., College of Agriculture, Food and Environment, Professor (with tenure), Plant and Soil Sciences, 4/1/14 through 3/31/19.
- Kaplan, Alan M., College of Medicine, Professor (with tenure), Microbiology, Immunology and Molecular Genetics, and joint appointment as Professor (without tenure), Graduate Center for Toxicology, 1/1/14 through 12/31/16.
- Patel, Pushpa M., College of Medicine, Associate Professor* (with tenure), Radiation Medicine, 1/1/14 through 12/31/18.
- Reed, Deborah B., College of Nursing, Professor (with tenure), and joint appointment as Professor (without tenure), Preventive Medicine and Environmental Health, College of Public Health, 7/1/14 through 6/30/17.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

- Abner, Erin, College of Public Health, Assistant Professor, Epidemiology, and joint appointment as Assistant Professor, Gerontology, 8/1/13 through 6/30/14.
- Alkhasova, Svetlana, College of Fine Arts, Instructor (part-time), Music, 8/15/13 through 6/30/14.
- Alkhateeb, Maher A., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/13 through 12/31/13.
- Allen, James E., College of Agriculture, Food and Environment, Instructor (part-time), Agricultural Economics, 8/28/13 through 6/30/14.
- Armstrong, Donna, College of Social Work, Instructor (part-time), 8/15/13 through 6/30/14.
- Artiouchine, Ivan S., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/13 through 12/31/13.
- Barnett, Carol J., College of Social Work, Instructor (part-time), 8/15/13 through 6/30/14.
- Bates, Dann, College of Social Work, Instructor (part-time), 8/15/13 through 6/30/14.
- Baxley, Danna, College of Agriculture, Food and Environment, Assistant Adjunct Professor, Forestry, 8/28/13 through 6/30/15.
- Best, Laura, College of Social Work, Instructor (part-time), 8/15/13 through 6/30/14.
- Blackburn, Kari J., College of Nursing, Instructor (part-time), 1/1/14 through 6/30/14.
- Bondra, Kenneth, College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/13 through 6/30/14.
- Bowman, Jennifer, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 9/1/13 through 6/30/16.
- Brown, Ariella, College of Fine Arts, Lecturer (visiting), Theatre, 8/15/13 through 6/30/14.
- Brown, Courtney N., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 1/1/13 through 6/30/16.
- Brown, Ruth R., College of Arts and Sciences, Lecturer, Hispanic Studies, 8/16/13 through 6/30/15.

Brunjes, John H., College of Agriculture, Food and Environment, Assistant Adjunct Professor, Forestry, 8/28/13 through 6/30/15.

Bushong, Suanne, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 9/1/13 through 6/30/16.

Butcher, Gene L., College of Arts and Sciences, Lecturer (visiting), Mathematics, 8/16/13 through 5/15/14.

Caldesi Valeri, Valerio, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 8/16/13 through 6/30/15.

Carden, Russell L., College of Arts and Sciences, Assistant Professor, Mathematics, 8/16/13 through 6/30/15.

Carpenter, Bethany, College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 9/1/13 through 6/30/16.

Carter, Emilie, College of Social Work, Instructor (part-time), 8/15/13 through 6/30/14.

Cecil, Daniel, College of Fine Arts, Instructor (part-time), Music, 8/15/13 through 6/30/14.

Chan, Vickie, College of Medicine, Instructor (voluntary), Family and Community Medicine, 8/1/13 through 6/30/16.

Christiansen, David C., College of Social Work, Instructor (part-time), 8/15/13 through 6/30/14.

Chu, Hui, College of Arts and Sciences, Instructor (temporary), Psychology, 8/16/13 through 5/15/14.

Claffey, Elizabeth, College of Fine Arts, Lecturer, Art and Visual Studies, 8/15/13 through 6/30/14.

Collins, James B., College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 8/16/13 through 6/30/14.

Collins-Frohlich, Jesslyn R., College of Arts and Sciences, Instructor (part-time), English, 8/16/13 through 12/31/13.

Corporandy, Sarah C., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/1/13 through 6/30/14.

Crawford, Rebecca, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 6/30/14.

Csima, Nora E., College of Arts and Sciences, Lecturer (visiting), Mathematics, 8/16/13 through 5/15/14.

Cunningham, Carol, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/13 through 6/30/14.

Dampier, Alexandra, College of Nursing, Instructor (part-time), 8/15/13 through 6/30/14.

Del Toro, Jose C., College of Arts and Sciences, Lecturer (visiting), Hispanic Studies, 8/16/13 through 5/15/14.

Dossett, Angela P., College of Agriculture, Food and Environment, Instructor (part-time), Community and Leadership Development, 1/15/14 through 6/30/14.

Dove, Patrick, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Dovel, Jason L., College of Fine Arts, Assistant Professor*, Music, 8/16/13 through 6/30/14.

Dye, Jessica, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Elsheikh, Ibrahim, College of Medicine, Assistant Professor (voluntary), Pediatrics, 9/1/13 through 6/30/16.

Farrington, Joshua D., College of Arts and Sciences, Instructor (part-time), History, 8/16/13 through 12/31/13.

Feltner, Christina, College of Social Work, Instructor (part-time), 8/15/13 through 6/30/14.

Flygstad, Jana, College of Fine Arts, Instructor (part-time), Music, 8/28/13 through 10/31/13.

Folley, Bradley S., College of Medicine, Assistant Adjunct Professor, Neurosurgery, 12/1/13 through 6/30/14.

Forester, Corey B., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 6/1/13 through 6/30/16.

Gal, Jozsef, College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 12/1/13 through 6/30/14.

Gallas, Chadwick G., College of Design, Instructor (part-time), Architecture, 7/1/13 through 6/30/14.

Gannon, Marc M., College of Design, Instructor (part-time), Architecture, 7/1/13 through 6/30/14.

Garcia, Maria L., College of Arts and Sciences, Instructor (part-time), English, 8/16/13 through 12/31/13.

Gentile, Brooke D., College of Agriculture, Food and Environment, Instructor (part-time), Community and Leadership Development, 1/8/14 through 5/15/14.

Gorrell, John A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 6/1/13 through 6/30/16.

Grant, Jason C., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/13 through 12/31/13.

Griffen, Ann, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 6/30/14.

Guffey, Roger L., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/13 through 12/31/13.

Gump, William C., College of Medicine, Assistant Adjunct Professor, Neurosurgery, 12/1/13 through 6/30/14.

Hammock, James J., College of Medicine, Instructor (voluntary), Family and Community Medicine, 8/1/13 through 6/30/16.

Hansen, Garrett, College of Fine Arts, Lecturer, Art and Visual Studies, 8/15/13 through 6/30/14.

Harris, Daniel R., College of Engineering, Instructor (part-time), Computer Science, 8/1/13 through 6/30/14.

Hays, Mark A., College of Engineering, Instructor (part-time), Computer Science, 8/1/13 through 6/30/14.

Hedge, Jesse A., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/16/13 through 12/31/13.

Hillenberg, Lara L., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/16/13 through 12/31/13.

Hinerman, James, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Holmes, Gretchen N., College of Communication and Information, Assistant Adjunct Professor, Communication, 9/1/13 through 6/30/14.

Horn, Jeffrey S., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/16/13 through 12/31/13.

Jame, Katie, College of Business and Economics, Instructor (part-time), Management, 8/16/13 through 12/30/13.

Jeong, Hyun J., College of Communication and Information, Lecturer, Journalism and Telecommunications, 7/1/13 through 6/30/14.

Johnson, Benjamin D., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/13 through 12/31/13.

Johnson, Erin W., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 8/1/13 through 6/30/16.

Johnson, Matthew, College of Fine Arts, Instructor (part-time), Theatre, 8/15/13 through 6/30/14.

Johnson, Terry, College of Business and Economics, Instructor (part-time), Marketing and Supply Chain, 8/16/13 through 12/30/13.

Jones, Clint W., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/13 through 12/31/13.

Jones, Daniel J., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 8/1/13 through 6/30/16.

Jones, Lorie Jean C., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 8/1/13 through 6/30/16.

Kanga, Fareesh H., College of Medicine, Assistant Professor (voluntary), Psychiatry, 8/1/13 through 6/30/16.

Kirven, Lee E., College of Arts and Sciences, Lecturer (visiting), Hispanic Studies, 8/16/13 through 12/31/13.

Koebcke, Nathan S., College of Arts and Sciences, Instructor (temporary), Statistics, 8/16/13 through 5/15/14.

Kozik, Deborah J., College of Medicine, Assistant Professor (voluntary), Surgery, 9/24/13 through 6/30/16.

Kruger, MaryAnn, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 8/1/13 through 6/30/16.

Landolt, Sarah H., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/13 through 12/31/13.

Lanphere, Kathryn R., College of Education, Lecturer, Kinesiology and Health Promotion, 8/16/13 through 6/30/14.

Law, Jeremy, College of Communication and Information, Instructor (part-time), Communication, 8/16/13 through 6/30/14.

Lawrence, Sarah M., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/13 through 6/30/16.

Lawson, Jeremy S., College of Nursing, Instructor (part-time), 8/15/13 through 6/30/14.

Least, Brett, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Lightfield, Karla L., College of Arts and Sciences, Lecturer, Biology, 8/16/13 through 6/30/15.

Lim, Molly M., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/13 through 12/31/13.

Lin, Ying, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/13 through 12/31/13.

Little, David L., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/13 through 12/31/13.

Lopez, Cassandra R., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/1/13 through 6/30/14.

Lorch, Jason R., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/13 through 6/30/16.

Loria, Analia S., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 9/23/13 through 6/30/14.

Maigret, Thomas A., College of Arts and Sciences, Instructor (part-time), Biology, 8/16/13 through 12/31/13.

Malji, Andrea N., College of Arts and Sciences, Lecturer (visiting), Political Science, 8/16/13 through 5/15/14.

Manzo, Christopher M., College of Design, Instructor (part-time), Architecture, 7/1/13 through 6/30/14.

Marchioni, ToniMarie, College of Fine Arts, Assistant Professor*, Music, 8/15/13 through 6/30/14.

Mardini, Samuel H., College of Medicine, Assistant Professor (part-time), Internal Medicine, 12/1/13 through 6/30/14.

Mark, Tyler B., College of Agriculture, Food and Environment, Assistant Professor, Agricultural Economics, 1/6/14 through 6/30/14.

Martin, Aribbe A., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 9/1/13 through 6/30/16.

Martin, David, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 6/30/14.

Martin, Susan H., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 5/1/13 through 6/30/16.

McClung, Paul R., College of Social Work, Instructor (part-time), 8/15/13 through 6/30/14.

McDonald, Brent C., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/13 through 6/30/16.

McFadden, Jonathan, College of Fine Arts, Assistant Professor, Art and Visual Studies, 8/15/13 through 6/30/14.

McGregor, Monte A., College of Agriculture, Food and Environment, Assistant Adjunct Professor, Forestry, 8/28/13 through 6/30/15.

Meroz-Aharoni, Tikva, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/13 through 5/31/14.

Mitchell, Jonah, College of Business and Economics, Instructor (part-time), Finance and Quantitative Methods, 8/16/13 through 12/30/13.

Monohan, Susan M., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/13 through 6/30/16.

Mullis, Lindsey, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Murine, Eric, College of Fine Arts, Instructor (part-time), Music, 8/15/13 through 6/30/14.

Mutchnick, Ian, College of Medicine, Assistant Adjunct Professor, Neurosurgery, 12/1/13 through 6/30/14.

Nesbitt, Laurie S., College of Arts and Sciences, Lecturer, English, 8/16/13 through 6/30/15.

Nguyen, Nicholas D., College of Arts and Sciences, Lecturer (visiting), Mathematics, 8/16/13 through 5/15/14.

Nolan, Robert B., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 9/1/13 through 6/30/16.

O'Neill, James E., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/13 through 6/30/16.

Peffer, Aaron M., College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 10/1/13 through 6/30/16.

Peiris, Nisal Abheetha, College of Engineering, Instructor (part-time), Civil Engineering, 6/1/13 through 6/30/13.

Prabhu, Mukhyaprana M., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 11/1/13 through 6/30/16.

Qian, Chen, College of Engineering, Assistant Professor, Computer Science, 8/1/13 through 6/30/15.

Raney, Brian K., College of Communication and Information, Instructor (part-time), Communication, 9/1/13 through 6/30/14.

Rashid, Sayama, College of Medicine, Instructor (voluntary), Family and Community Medicine, 9/1/13 through 6/30/16.

Ratcliffe, Ellen, College of Nursing, Instructor (part-time), 8/15/13 through 6/30/14.

Ray, Andrew, College of Fine Arts, Instructor (part-time), Theatre, 8/15/13 through 6/30/14.

Rector, Bruce, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Rignall, Karen E., College of Arts and Sciences, Instructor (part-time), Anthropology, 6/6/13 through 8/1/13.

Risco-Avila, Norbe, College of Fine Arts, Instructor (part-time), Theatre, 8/15/13 through 6/30/14.

Robinson, Penelope, Undergraduate Studies, Instructor (part-time), Honors Program, 8/16/13 through 5/15/14.

Rodocker, Joshua A., College of Medicine, Assistant Professor (part-time), Pediatrics, and joint appointment as Assistant Professor (part-time), Psychiatry, 8/28/13 through 6/30/14.

Saha, Shubin K., College of Agriculture, Food and Environment, Assistant Extension Professor, Horticulture, 9/15/13 through 6/30/14.

Sahay, Chandan, College of Medicine, Instructor (voluntary), Family and Community Medicine, 8/1/13 through 6/30/16.

Saleem, Waqar A., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/13 through 6/30/16.

Schueler, Collin D., College of Law, Assistant Professor (visiting), 7/1/13 through 6/30/14.

Schultz, Robert, College of Fine Arts, Assistant Professor, Music, 8/15/13 through 6/30/14.

Schwartz, Andrew, College of Business and Economics, Instructor (part-time), Marketing and Supply Chain, 8/16/13 through 12/30/13.

Sharrett-Field, Lynda, College of Arts and Sciences, Instructor (part-time), Psychology, 8/16/13 through 6/30/14.

Shelton, Amy, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/13 through 6/30/14.

Shields, Jessica, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/1/13 through 6/30/14.

Smith, Jeromy, College of Fine Arts, Instructor (part-time), Theatre, 8/15/13 through 6/30/14.

Smith, Matthew D., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 8/1/13 through 6/30/16.

Smith, Richard D., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/13 through 6/30/16.

Sparks, Holly C., College of Medicine, Assistant Professor (part-time), Pediatrics, 9/1/13 through 6/30/14.

Spock, Jennifer B., College of Arts and Sciences, Instructor (part-time), History, 8/16/13 through 12/31/13.

Stewart, Bruce E., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 8/1/13 through 6/30/14.

Strack, Stephen T., College of Arts and Sciences, Instructor, Military Science and Leadership, 7/1/13 through 6/30/17.

Struckhoff, Mary E., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Surface, Brittany N., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/1/12 through 6/30/16.

Taylor, Darren J., College of Design, Instructor (part-time), Architecture, 7/1/13 through 6/30/14.

Taylor, Robert C., College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 8/1/13 through 6/30/14.

Tharp-Barrie, Kim, College of Nursing, Assistant Professor (voluntary), 1/1/14 through 6/30/16.

Thomas, Darlene, College of Social Work, Instructor (part-time), 8/15/13 through 6/30/14.

Thomas, Matthew R., College of Agriculture, Food and Environment, Adjunct Instructor, Forestry, 10/16/13 through 6/30/14.

Thompson, Katherine L., College of Arts and Sciences, Assistant Professor, Statistics, 8/16/13 through 6/30/15.

Thompson-Bastin, Melissa L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 5/1/13 through 6/30/16.

Tolle, Misty, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/1/13 through 6/30/14.

Tucker, Willard, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 12/31/13.

Tyler, Jonathan P., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/13 through 12/31/13.

Violette, Jeffrey M., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 10/1/13 through 6/30/16.

Voss, Jon H., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 6/1/13 through 6/30/16.

Wahl, Caroline, College of Design, Instructor (part-time), Architecture, 7/1/13 through 6/30/14.

Walker, Scott M., College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/13 through 6/30/14.

Walters, Brian, College of Medicine, Instructor (voluntary), Family and Community Medicine, 9/1/13 through 6/30/16.

Walty, Alycia A., College of Medicine, Assistant Professor (voluntary), Pediatrics, 9/1/13 through 6/30/16.

Ward, Tracy M., College of Fine Arts, Lecturer, Theatre, 8/15/13 through 6/30/14.

Williams, Amy W., College of Agriculture, Food and Environment, Lecturer, Dietetics and Human Nutrition, 8/21/13 through 6/30/14.

Williams, Bradley, College of Medicine, Instructor (voluntary), Family and Community Medicine, 8/1/13 through 6/30/16.

Wischer, David, College of Fine Arts, Lecturer, Art and Visual Studies, 8/15/13 through 6/30/14.

Wojasinski, William, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Wolf, Katerina, College of Medicine, Assistant Research Professor, Microbiology, Immunology and Molecular Genetics, 1/1/14 through 6/30/14.

Wolfe, Theresa R., College of Medicine, Assistant Professor (voluntary), Physical Medicine and Rehabilitation, 8/1/13 through 6/30/16.

Wolff, Brett A., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/13 through 12/31/13.

Yeiser, Michael F., College of Medicine, Assistant Professor (voluntary), Pediatrics, 8/1/13 through 6/30/16.

Young, April M., College of Public Health, Assistant Professor, Epidemiology, 1/1/14 through 6/30/14.

B. ADMINISTRATIVE APPOINTMENTS

Covert, Kara, College of Arts and Sciences, Development Director Associate, effective 10/1/13.

Cowherd, Mary, College of Medicine, Advanced Practice Provider I, Internal Medicine, effective 9/16/13.

Epling, Linda, College of Dentistry, Development Assistant IV, effective 11/1/13.

Kays, Lori, College of Pharmacy, Clinical Program Manager, effective 7/8/13.

Krompak, Lindsey E., College of Medicine, Advanced Practice Provider I, Neurology, effective 9/9/13.

Poynter, Darin, College of Health Sciences, Technical Project Specialist III, effective 10/1/13.

Simpson, Denise, Student Affairs, Student Conduct Director, Dean of Students, effective 9/16/13.

Wafford, Neda, College of Medicine, Advanced Practice Provider I, Internal Medicine, effective 9/9/13.

Wiley, Maria, College of Medicine, Advanced Practice Provider III, Internal Medicine, effective 1/1/14.

C. JOINT APPOINTMENTS

Anderson, Amelia J., College of Medicine, Clinical Assistant Professor, Neurology, joint appointment as Clinical Assistant Professor, Neurosurgery, 9/1/13 through 6/30/14.
Han, Dong Y., College of Medicine, Clinical Assistant Professor, Neurology, joint appointment as Clinical Assistant Professor, Neurosurgery, 9/1/13 through 6/30/14.
Hardin, James A., College of Engineering, Assistant Professor, Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 9/4/13 through 6/30/14.
Mehari, Enawgaw, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, joint appointment as Assistant Professor (voluntary), Neurology, 6/1/13 through 6/30/16.
Powell, David K., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, joint appointment as Assistant Research Professor, Biomedical Engineering, College of Engineering, 9/10/13 through 6/30/14.
Prendergast, Jordan M., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, joint appointment as Assistant Professor (voluntary), Family and Community Medicine, College of Medicine, 7/1/13 through 6/30/16.
Yu, Ning, College of Communication and Information, Assistant Professor, Library and Information Science, joint appointment as Assistant Professor, Computer Science, College of Engineering, 7/1/13 through 6/30/14.

D. REAPPOINTMENTS

Abdon, Brandon, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/13 through 6/30/14.
Adams, Aimee G., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, and joint appointment as Assistant Adjunct Professor, Internal Medicine, College of Medicine, 7/1/13 through 6/30/14.
Ades, Harriet, College of Arts and Sciences, Instructor (part-time), Chemistry, 8/16/13 through 12/31/13.
Alley, Rebecca, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 6/30/14.
Anderson, Charlotte R., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/16/13 through 12/31/13.
Arnold, Elizabeth, College of Fine Arts, Assistant Professor*, Music, 7/1/13 through 6/30/14.
Atchison, Scott L., College of Fine Arts, Assistant Professor*, Music, 7/1/13 through 6/30/14.
Bagby, Glen S., College of Law, Instructor (part-time), 7/1/13 through 6/30/14.
Baker, Stephanie, College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Balasundaram, Sasikumar, College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/16/13 through 6/30/14.

Barbour, John T., College of Arts and Sciences, Instructor (temporary), English, 8/16/13 through 12/31/13.

Basuray, Rita, College of Arts and Sciences, Lecturer (visiting), Dean's Office, 7/1/13 through 6/30/14.

Beard, Jessica L., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Bemis, Sean P., College of Arts and Sciences, Assistant Professor, Earth and Environmental Sciences, 7/1/13 through 6/30/15.

Benassi, Matteo, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/13 through 12/31/13.

Bjork, Anne W., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 6/30/14.

Bond, Mona, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Boss, Christopher R., College of Arts and Sciences, Instructor (part-time), English, 8/16/13 through 12/31/13.

Bowersox, John R., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/13 through 6/30/14.

Brantley-Hite, Kimberley L., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Bromley-Trujillo, Rebecca, Graduate School, Assistant Professor, Martin School of Public Policy and Administration, 7/1/13 through 6/30/14.

Brown, David B., College of Engineering, Instructor (part-time), Computer Science, 8/1/13 through 6/30/14.

Cain, John P., College of Arts and Sciences, Instructor (temporary), Statistics, 7/1/13 through 6/30/14.

Callahan-Caudill, Krista E., College of Arts and Sciences, Instructor (part-time), English, 8/16/13 through 12/31/13.

Carrico, Jeffery M., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Caspani, Guido, Undergraduate Studies, Instructor (part-time), Honors Program, 8/16/13 through 5/15/14.

Cetrulo, Donald P., College of Law, Instructor (part-time), 7/1/13 through 6/30/14.

Chaney, Joyce P., College of Arts and Sciences, Instructor (part-time), English, 8/16/13 through 12/31/13.

Christianson, Ann, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 6/30/14.

Clay, Marianna J., College of Law, Instructor (part-time), 7/1/13 through 6/30/14.

Clifford, Timothy M., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, and joint appointment as Assistant Adjunct Professor, Surgery, College of Medicine, 7/1/13 through 6/30/14.

Cook, Aaron M., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Cook, Elaine H., College of Fine Arts, Instructor (part-time), Music, 9/1/13 through 6/30/14.

Cook, Peter V., College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 8/16/13 through 6/30/14.

Cox, James, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 6/30/14.

Crist, Randall W., College of Education, Lecturer, Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Dailey, Raleigh K., College of Fine Arts, Assistant Professor*, Music, 7/1/13 through 6/30/14.

Davis, Gregory, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 6/30/14.

Deatherage, Paul, College of Fine Arts, Instructor (part-time), Music, 8/15/13 through 6/30/14.

Debord, Charles, Undergraduate Studies, Instructor (part-time), Honors Program, 8/16/13 through 5/15/14.

Deen, Diana, College of Fine Arts, Instructor (part-time), Music, 8/15/13 through 6/30/14.

Deffendall, Matthew, College of Communication and Information, Instructor (part-time), Communication, 8/1/13 through 6/30/14.

DeVries, Brigid, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

DiLoreto, Rebecca B., College of Law, Instructor (part-time), and Instructor (part-time), College of Social Work, 7/1/13 through 6/30/14.

Duncan, Robert F., College of Law, Instructor (part-time), 7/1/13 through 6/30/14.

Dutch, Kenneth D., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/13 through 12/31/13.

Eble, Cortland F., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/13 through 6/30/14.

Effinger, Kathy, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/13 through 12/31/13.

Eggers, Derek C., College of Arts and Sciences, Instructor (part-time), Dean's Office, 7/1/13 through 12/31/13.

Elliott, Rachel A., College of Arts and Sciences, Instructor (part-time), English, 8/16/13 through 5/15/14.

Ellis, Steven L., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 8/16/13 through 6/30/14.

Farris, Annie, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/13 through 6/30/14.

Faulkner, Samuel S., College of Social Work, Instructor (part-time), 8/15/13 through 6/30/14.

Fields, Jim, College of Arts and Sciences, Instructor (part-time), English, 8/16/13 through 12/31/13.

Flynn, Jeremy, College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, and joint appointment as Assistant Adjunct Professor, Surgery, College of Medicine, 7/1/13 through 6/30/14.

French, April N., College of Arts and Sciences, Instructor (part-time), Chemistry, 7/1/13 through 6/30/14.

Gardner, Brian M., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Geurin, Danni L., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Giles, Natasha, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 6/30/14.

Glasscock, Rebecca C., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/16/13 through 12/31/13.

Glixon, Beth, College of Fine Arts, Instructor (part-time), Music, 8/15/13 through 6/30/14.

Gooding, Lori, College of Fine Arts, Assistant Professor, Music, and joint appointment as Assistant Professor, Internal Medicine, College of Medicine, 7/1/13 through 6/30/14.

Grantz, Daniel A., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Greb, Stephen F., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/13 through 6/30/14.

Greer, John, College of Fine Arts, Instructor (part-time), Music, 8/15/13 through 6/30/14.

Grogan, Mary, College of Fine Arts, Instructor (part-time), Theatre, 8/15/13 through 6/30/14.

Groth, Jeanette, College of Education, Lecturer, Curriculum and Instruction, 7/1/13 through 6/30/14.

Guarnieri, Karen, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Hale, Jonathan, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 6/30/14.

Hall, Meada, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 6/30/14.

Hamblin, Pierce W., College of Law, Instructor (part-time), 7/1/13 through 6/30/14.

Hamilton, Charles, College of Education, Instructor (part-time), Educational Leadership Studies, 6/1/13 through 8/1/13.

Harris, Natosha E., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Hays, Allison B., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 6/30/14.

Hays, John W., College of Law, Instructor (part-time), 7/1/13 through 6/30/14.

Helian, Feifei, College of Arts and Sciences, Instructor (temporary), Modern and Classical Languages, Literatures, and Cultures, 7/1/13 through 5/15/14.

Henderson, A. G., College of Arts and Sciences, Assistant Adjunct Professor, Anthropology, 7/1/13 through 8/16/14.

Henke, Kevin R., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/13 through 6/30/14.

Hennings Yeomans, Dieter, College of Fine Arts, Assistant Professor*, Music, 7/1/13 through 6/30/14.

Henry, George E., College of Law, Instructor (part-time), 7/1/13 through 6/30/14.

Henson, Robert A., College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 8/16/13 through 6/30/14.

Ho, Denise Y., College of Arts and Sciences, Assistant Professor, History, 7/1/13 through 6/30/15.

Holbrook, Paula J., College of Law, Instructor (part-time), 7/1/13 through 6/30/14.

Hood, James L., College of Arts and Sciences, Instructor (part-time), History, 8/16/13 through 12/31/13.

Hu, Patrick G., College of Engineering, Assistant Adjunct Professor, Mechanical Engineering, 7/1/13 through 6/30/14.

Hudson, Michael, College of Fine Arts, Assistant Professor, Music, 7/1/13 through 6/30/14.

Hull, Timothy, College of Fine Arts, Instructor (part-time), Theatre, 8/15/13 through 6/30/14.

Jennings, Randee D., College of Arts and Sciences, Instructor (temporary), English, 8/16/13 through 12/31/13.

Johnson, Christine, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Johnson, Jill, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Johnson, Miles, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 6/30/14.

Johnston, Kathleen M., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Jones, Courtney, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Jones, Seth V., College of Arts and Sciences, Lecturer, Biology, 7/1/13 through 6/30/15.

Jones, Sonya L., Undergraduate Studies, Instructor (part-time), Honors Program, 8/16/13 through 5/15/14.

Jordan, Carol E., College of Arts and Sciences, Assistant Adjunct Professor, Psychology, and joint appointment as Assistant Adjunct Professor, Psychiatry, College of Medicine, 7/1/13 through 6/30/15.

Karp, Margaret B., College of Fine Arts, Instructor (part-time), Music, 8/15/13 through 6/30/14.

Kerns, Bradley, College of Fine Arts, Assistant Professor*, Music, 7/1/13 through 6/30/14.

Kim, Doo Young, College of Arts and Sciences, Assistant Professor, Chemistry, 7/1/13 through 6/30/15.

Kisling, Jeremy P., College of Fine Arts, Instructor (part-time), Theatre, 8/15/13 through 6/30/14.

Kleven, Robin S., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Knauer, Timothy G., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 7/1/13 through 6/30/14.

Knowles, Justin L., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/13 through 6/30/14.

Kwon, Donna L., College of Fine Arts, Assistant Professor, Music, 7/1/13 through 6/30/14.

Land, Travis, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Lankster, Florence M., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Larson, Raymond W., College of Law, Instructor (part-time), 7/1/13 through 6/30/14.

Larusso, Lori, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 6/30/14.

Lawson, Amber P., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Leedham, Cynthia, College of Arts and Sciences, Instructor (part-time), Sociology, 7/1/13 through 6/30/14.

Leigh, Phyllis, College of Social Work, Instructor (part-time), 8/15/13 through 6/30/14.

Liang, Liang, College of Arts and Sciences, Assistant Professor, Geography, 7/1/13 through 6/30/15.

Lindsay, Tedrin B., College of Fine Arts, Lecturer, Music, 7/1/13 through 6/30/14.

Linnen, Catherine R., College of Arts and Sciences, Assistant Professor, Biology, 7/1/13 through 6/30/15.

Lueker, Dustin C., College of Arts and Sciences, Instructor (temporary), Statistics, 7/1/13 through 6/30/14.

Macaulay, Tracy, College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Maharjan, Dharma S., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/13 through 12/31/13.

Mansfield, DeeAnn W., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/13 through 12/31/13.

Marani, Jodi, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Marinelli, James M., College of Arts and Sciences, Instructor (temporary), English, 8/16/13 through 12/31/13.

Massey, Delano R., College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 8/16/13 through 6/30/14.

McCoy, Michael Q., College of Medicine, Instructor (part-time), Pediatrics, and Instructor (part-time), Internal Medicine, 7/1/13 through 6/30/14.

McFarland, Martha, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

McKee, Minnie C., College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/13 through 6/30/14.

McSwain, Nan, College of Fine Arts, Lecturer, Music, 7/1/13 through 6/30/14.

McVay, Vicki S., College of Fine Arts, Lecturer, Music, 7/1/13 through 6/30/14.

Mead, Michael, College of Design, Instructor (part-time), Architecture, 7/1/13 through 6/30/14.

Meade, Cynthia J., College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/13 through 6/30/14.

Michalski, Lennon T., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 6/30/14.

Miller, Jennifer L., College of Fine Arts, Instructor (part-time), Theatre, 8/15/13 through 6/30/14.

Modenbach, Jeffrey C., College of Arts and Sciences, Instructor (temporary), Statistics, 7/1/13 through 6/30/14.

Moore, Julia M., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Moore, Ryan, College of Fine Arts, Instructor (part-time), Music, 8/15/13 through 6/30/14.

Nash, Christi, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/13 through 6/30/14.

Norman, Charlene, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/13 through 12/31/13, and Instructor (part-time), STEM Education, College of Education, 7/1/13 through 6/30/14.

Odom, Susan A., College of Arts and Sciences, Assistant Professor, Chemistry, 7/1/13 through 6/30/15.

O'Neil, Joseph D., College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, 7/1/13 through 6/30/15.

Ohr, Tennyne, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Olsen, Karl P., College of Fine Arts, Instructor (part-time), Music, 7/1/13 through 6/30/14.

Osland, Lisa, College of Fine Arts, Instructor (part-time), Music, 7/1/13 through 6/30/14.

Osterhage, Jennifer L., College of Arts and Sciences, Lecturer, Biology, 7/1/13 through 6/30/15.

Parkin, Sean, College of Arts and Sciences, Assistant Adjunct Professor, Chemistry, 7/1/13 through 6/30/14.

Parris, Thomas M., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/13 through 6/30/14.

Patwardhan, Manjiri A., College of Arts and Sciences, Instructor (part-time), Chemistry, 8/16/13 through 5/15/14.

Paynter, Lee Ann, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 6/30/14.

Peiris, Nisal Abheetha, College of Engineering, Instructor (part-time), Civil Engineering, 7/1/13 through 6/30/14.

Pfankuch, Jill, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Picklesimer, Rita, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Pollack, David, College of Arts and Sciences, Assistant Adjunct Professor, Anthropology, 8/16/13 through 8/16/14.

Prats, Judith, College of Arts and Sciences, Senior Lecturer, English, 7/1/13 through 6/30/16.

Rice, Christopher S., College of Arts and Sciences, Instructor (part-time), Political Science, 8/16/13 through 12/31/13.

Robl, Thomas L., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/13 through 6/30/14.

Rolfes, Siobhan B., College of Arts and Sciences, Instructor (part-time), English, 8/16/13 through 12/31/13.

Rutledge, Thomas E., College of Law, Instructor (part-time), 8/1/12 through 6/30/13, and 1/1/14 through 6/30/14.

Sageser, Vicki, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Schroot, Lisa M., College of Arts and Sciences, Instructor (temporary), English, 8/16/13 through 12/31/13.

Shin, Hainsworth Y., College of Engineering, Assistant Professor, Biomedical Engineering, 7/1/13 through 6/30/14.

Shouse, Donna K., College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/13 through 6/30/14.

Singh, Shanteri, College of Pharmacy, Assistant Research Professor, Pharmaceutical Sciences, 7/1/13 through 6/30/14.

Smith, Jeremiah J., College of Arts and Sciences, Assistant Professor, Biology, 7/1/13 through 6/30/15.

Smith, Lynda, College of Fine Arts, Instructor (part-time), Theatre, 8/15/13 through 6/30/14.

Stansbury, Joan T., College of Fine Arts, Instructor (part-time), Music, 8/15/13 through 6/30/14.

Stein, Melissa N., College of Arts and Sciences, Assistant Professor, Gender and Women's Studies, 7/1/13 through 6/30/15.

Stinson, Dawn, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 7/1/13 through 6/30/14.

Stone, Peter, College of Fine Arts, Assistant Professor (visiting), Theatre, 8/15/13 through 6/30/14.

Sutphin, Stephanie, College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Swinford, Bill, College of Arts and Sciences, Instructor (part-time), Political Science, 8/16/13 through 12/31/13.

Sykes, Larry, College of Law, Instructor (part-time), 7/1/13 through 6/30/14.

Taylor, Stacy A., College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Terhune, Katherina N., College of Social Work, Instructor (part-time), 8/15/13 through 6/30/14.

Turay II, Gregory, College of Fine Arts, Instructor (part-time), Music, 8/15/13 through 6/30/14.

Van Sickels, Mary, College of Arts and Sciences, Instructor (part-time), English, 8/16/13 through 12/31/13.

VanArsdall, Marilee, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Vance, Michael, College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Vanderpool, James, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/15/13 through 6/30/14.

Visona, Paolo, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/13 through 12/31/13.

Walker, Erin F., College of Fine Arts, Lecturer, Music, 7/1/13 through 6/30/14.

Wall, Maryjean, College of Arts and Sciences, Instructor (part-time), History, 8/16/13 through 12/31/13.

Wang, Zhenming, College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/13 through 6/30/14.

Ward, George D., College of Agriculture, Food and Environment, Instructor (part-time), Retailing and Tourism Management, 1/1/14 through 5/31/14.

Watson, Susan, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/13 through 6/30/14.

Wehrman, Paul T., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/13 through 6/30/14.

Weisenfluh, Gerald A., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/13 through 6/30/14.

Weisenfluh, Sherri, College of Social Work, Instructor (part-time), 8/15/13 through 6/30/14.

Whitesel, Heather L., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/13 through 6/30/14.

Wilke, David G., Undergraduate Studies, Instructor (part-time), Honors Program, 8/16/13 through 5/15/14.

Williams, Lisa G., College of Social Work, Instructor (part-time), 8/15/13 through 6/30/14.

Wilson, Matthew W., College of Arts and Sciences, Assistant Professor, Geography, 7/1/13 through 6/30/15.

Wilson, Melissa J., College of Social Work, Instructor (part-time), 7/1/13 through 6/30/14.

Winstead, P. S., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/13 through 6/30/14.

Wisdom, Charles P., College of Law, Instructor (part-time), 7/1/13 through 6/30/14.

Wood, Mark F., College of Arts and Sciences, Instructor (temporary), English, 8/16/13 through 12/31/13.

Woods, Dorothy K., Undergraduate Studies, Instructor (part-time), Honors Program, 8/16/13 through 5/15/14.

Yeary, Katherine, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/13 through 6/30/14.

Yinger, Olivia, College of Fine Arts, Assistant Professor, Music, 7/1/13 through 6/30/14.

Zanjani, Faika, College of Public Health, Assistant Professor, Gerontology, and joint appointments as Assistant Professor, Psychiatry, College of Medicine and Assistant Professor, Health Behavior, 7/1/13 through 8/31/13.

E. CHANGES

Blazjewski, Jonathan, Enrollment Management, from Student Services Director Assistant, Admissions and Registrar, to Student Services Director, Admissions and Registrar, effective 8/12/13.

Ceballos Osorio, Janeth, College of Medicine, from Clinical Assistant Professor, Pediatrics, to Assistant Professor (part-time), Pediatrics, 7/1/13 through 6/30/14.

Closson, Stacy R., Graduate School, from Instructor (part-time), Patterson School of Diplomacy and International Commerce, to Assistant Professor, Patterson School of Diplomacy and International Commerce, 1/1/14 through 12/31/15.

Hawkins, Brian T., College of Medicine, from Clinical Assistant Professor, Emergency Medicine, to Assistant Professor (part-time), Emergency Medicine, 10/1/13 through 6/30/14.

Hollen, Miranda, College of Medicine, from Clinical Assistant Professor, Family and Community Medicine, to Assistant Professor (part-time), Family and Community Medicine, 10/1/13 through 6/30/14.

Hourigan, Jon S., College of Medicine, from Assistant Professor*, Surgery, to Clinical Assistant Professor, Surgery, 7/23/13 through 6/30/14.

Howard, Alex F., College of Public Health, from Lecturer, Health Services Management, to Clinical Assistant Professor, Health Services Management, 9/1/13 through 6/30/14.

Huffman, Rebecca P., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 8/16/13 through 12/31/13.

Karkelanova, Anushka A., College of Arts and Sciences, from Instructor (part-time), Statistics, to Instructor (temporary), Statistics, 8/16/13 through 6/30/14.

Pope, Lisa A., from EVPHA Information Technology, Information Technology Analyst II, to Information Technology, Information Technology Manager III, Planning, Administration and Finance Group, effective 9/8/10.

Probst, Denise, College of Education, from Instructor (part-time), Curriculum and Instruction, to Instructor (temporary), Curriculum and Instruction, 7/1/13 through 6/30/14.

Sayre, Kimberly, College of Engineering, from Student Affairs Director, to Engineer Associate Program Manager II/Research, Institute of Research for Technology Development, effective 10/7/13.

Scott, Ernie, College of Medicine, from Rural Project Manager, Rural Kentucky Health Care, to Rural Health Director State Office, Rural Kentucky Health Care, effective 11/1/13.

Shumer, Daniel, College of Arts and Sciences, from Instructor (temporary), English, to Instructor (part-time), English, 8/16/13 through 12/31/13.

Smith, Cherry Kay, College of Agriculture, from Agriculture Extension Specialist Senior, Family and Consumer Sciences, to Agriculture Extension Director Assistant, Family and Consumer Sciences, effective 11/1/13.

F. TERMINAL REAPPOINTMENTS

Garcia Ramos, Gisela, College of Arts and Sciences, Assistant Professor, Biology, 7/1/13 through 6/30/14.

Howard, Alex F., College of Public Health, Lecturer, Health Services Management, 7/1/13 through 8/31/13.

Lander, Deborah R., College of Fine Arts, Assistant Professor*, Music, 7/1/13 through 6/30/14.

Machwe, Amrita, College of Medicine, Assistant Research Professor, Graduate Center for Toxicology, 7/1/13 through 6/30/14.

G. LEAVES OF ABSENCE

- Abel, Mark G., College of Education, Associate Professor (with tenure), Kinesiology and Health Promotion, Sabbatical leave at full salary, 7/1/14 through 12/31/14.
- Albisetti, James, College of Arts and Sciences, Professor (with tenure), History, Sabbatical leave at two-thirds salary, 7/1/14 through 6/30/15.
- Baskin, Carol, College of Arts and Sciences, Professor (with tenure), Biology, Sabbatical leave at full salary, 7/1/14 through 12/31/14.
- Batty, Clare E., College of Arts and Sciences, Associate Professor (with tenure), Philosophy, Sabbatical leave at two-thirds salary, 7/1/14 through 6/30/15.
- Blonder, Lee X., College of Medicine, Professor (with tenure), Behavioral Science, and joint appointments as Professor (without tenure), Neurology and Professor (without tenure), Anthropology, College of Arts and Sciences, Sabbatical leave at full salary, 9/1/14 through 2/28/15.
- Brown, Alan V., College of Arts and Sciences, Associate Professor (with tenure), Hispanic Studies, Sabbatical leave at full salary, 1/1/15 through 6/30/15.
- Cavagnero, Michael, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, from Sabbatical leave at full salary, 7/1/13 through 12/31/13, to Sabbatical leave at full salary, 7/1/14 through 12/31/14.
- Corso, Alberto, College of Arts and Sciences, Associate Professor (with tenure), Mathematics, Sabbatical leave at full salary, 7/1/14 through 12/31/14.
- Costich, Julia F., College of Public Health, Professor* (with tenure), Health Services Management, and joint appointment as Associate Professor* (without tenure), Family and Community Medicine, College of Medicine, Sabbatical leave at full salary, 7/1/14 through 12/31/14.
- Ehrenborg, Richard, College of Arts and Sciences, Professor (with tenure), Mathematics, Sabbatical leave at half salary, 7/1/14 through 12/31/14.
- Eldred, Janet, College of Arts and Sciences, Professor (with tenure), English, Sabbatical leave at full salary, 1/1/15 through 6/30/15.
- Farr, Arnold L., College of Arts and Sciences, Associate Professor (with tenure), Philosophy, Sabbatical leave at full salary, 1/1/15 through 6/30/15.
- Ferland, Gary, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, Sabbatical leave at two-thirds salary, 7/1/14 through 6/30/15.
- Firey, Abigail, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave at two-thirds salary, 7/1/14 through 6/30/15.
- Forsythe, Hazel W., College of Agriculture, Food and Environment, Associate Professor (with tenure), Dietetics and Human Nutrition, Sabbatical leave at full salary, 1/1/14 through 6/30/14.
- Hamilton, David, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave at full salary, 7/1/14 through 12/31/14.
- Harwood, James D., College of Agriculture, Food and Environment, Associate Professor (with tenure), Entomology, Sabbatical leave at full salary, 2/1/14 through 7/31/14.
- Hinds, Bruce J., College of Engineering, Professor (with tenure), Chemical and Materials Engineering, and joint appointment as Professor (without tenure), Chemistry, College of Arts and Sciences, Sabbatical leave at full salary, 1/1/14 through 6/30/14.

Hippisley, Andrew, College of Arts and Sciences, Professor (with tenure), English, Sabbatical leave at full salary, 7/1/14 through 12/31/14.

Hislop, Peter, College of Arts and Sciences, Professor (with tenure), Mathematics, Sabbatical leave at full salary, 1/1/15 through 6/30/15.

Liberato, Ana S., College of Arts and Sciences, Associate Professor (with tenure), Sociology, from Sabbatical leave at full salary, 7/1/13 through 12/31/13, to Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.

McCulley, Rebecca L., College of Agriculture, Food and Environment, Associate Professor (with tenure), Plant and Soil Sciences, Sabbatical leave at half salary, 1/1/14 through 12/31/14.

Meier, Mark, College of Arts and Sciences, Professor (with tenure), Chemistry, Sabbatical leave at full salary, 7/1/14 through 12/31/14.

Minkova, Milena, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, Sabbatical leave at two-thirds salary, 7/1/14 through 6/30/15.

Mooney, Patrick, College of Arts and Sciences, Professor (with tenure), Sociology, Sabbatical leave at two-thirds salary, 7/1/14 through 6/30/15.

Morris, Edward W., College of Arts and Sciences, Associate Professor (with tenure), Sociology, Sabbatical leave at full salary, 7/1/14 through 12/31/14.

Nagel, Uwe, College of Arts and Sciences, Professor (with tenure), Mathematics, Sabbatical leave at full salary, 1/1/15 through 6/30/15.

Perry, Brea L., College of Arts and Sciences, Associate Professor (with tenure), Sociology, Sabbatical leave at full salary, 1/1/15 through 6/30/15.

Plaster, Bradley R., College of Arts and Sciences, Associate Professor (with tenure), Physics and Astronomy, Sabbatical leave at full salary, 7/1/14 through 12/31/14.

Price, Melynda J., College of Law, Associate Professor (with tenure), Sabbatical leave at full salary, 1/1/14 through 6/30/14.

Readdy, Margaret, College of Arts and Sciences, Professor (with tenure), Mathematics, Sabbatical leave at half salary, 7/1/14 through 12/31/14.

Roorda, Randall, College of Arts and Sciences, Associate Professor (with tenure), English, Sabbatical leave at full salary, 7/1/14 through 12/31/14.

Rosenman, Ellen, College of Arts and Sciences, Professor (with tenure), English, Sabbatical leave at full salary, 1/1/15 through 6/30/15.

Scheff, Stephen W., College of Medicine, Professor (with tenure), Anatomy and Neurobiology, and joint appointments as Professor (without tenure), Neurology and Professor (without tenure), Psychology, College of Arts and Sciences, Sabbatical leave at full salary, 6/23/14 through 12/23/14.

Shapere, Alfred, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, Sabbatical leave at full salary, 1/1/15 through 6/30/15.

Shen, Zhongwei, College of Arts and Sciences, Professor (with tenure), Mathematics, Sabbatical leave at full salary, 1/1/15 through 6/30/15.

Silvia, William J., College of Agriculture, Food and Environment, Professor (with tenure), Animal and Food Sciences, Sabbatical leave at half salary, 7/1/14 through 6/30/15.

Smith, Richard, College of Arts and Sciences, Professor (with tenure), Psychology, Sabbatical leave at full salary, 7/1/14 through 12/31/14.

Superson, Anita, College of Arts and Sciences, Professor (with tenure), Philosophy, Sabbatical leave at full salary, 1/1/15 through 6/30/15.

Tai, Zixue, College of Communication and Information, Associate Professor (with tenure), Journalism and Telecommunications, Sabbatical leave at full salary, 1/1/14 through 5/15/14.

Thyne, Clayton L., College of Arts and Sciences, Associate Professor (with tenure), Political Science, Sabbatical leave at full salary, 1/1/15 through 6/30/15.

Underwood, Richard, College of Law, Professor (with tenure), Sabbatical leave at full salary, 8/1/13 through 12/31/13.

Waterman, Richard, College of Arts and Sciences, Professor (with tenure), Political Science, Sabbatical leave at full salary, 7/1/14 through 12/31/14.

Wedeking, Justin P., College of Arts and Sciences, Associate Professor (with tenure), Political Science, Sabbatical leave at full salary, 1/1/15 through 6/30/15.

Zunshine, Lisa, College of Arts and Sciences, Professor (with tenure), English, Sabbatical leave at two-thirds salary, 7/1/14 through 6/30/15.

H. RESIGNATIONS

Al Rifai, Anas, College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 12/15/13.

Bruce, Brandon T., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, effective 1/3/14.

Cargile, Jill S., College of Arts and Sciences, Lecturer, English, effective 6/30/13.

Coker, Stephanie L., College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, effective 6/30/13.

Gebert, Mark A., College of Arts and Sciences, Senior Lecturer, Statistics, effective 7/31/13.

Johnson, Todd R., College of Public Health, Professor (with tenure), Biostatistics, effective 10/31/13.

Kozik, Deborah J., College of Medicine, Clinical Assistant Professor, Surgery, and joint appointment as Clinical Assistant Professor, Pediatrics, effective 9/23/13.

Maki, John M., College of Arts and Sciences, Lecturer, Mathematics, effective 6/30/13.

Miller, Saul L., College of Arts and Sciences, Assistant Professor, Psychology, effective 6/30/13.

Mueller, Thomas G., College of Agriculture, Food and Environment, Associate Professor (with tenure), Plant and Soil Sciences, effective 9/20/13.

Ovall, Jeffrey S., College of Arts and Sciences, Assistant Professor, Mathematics, effective 6/30/14.

Pellegrini, Richard A., College of Medicine, Clinical Assistant Professor, Radiology, effective 6/30/14.

Romanek, Christopher S., College of Arts and Sciences, Associate Professor (with tenure), Earth and Environmental Sciences, effective 3/31/13.

Roudnev, Vladimir, College of Arts and Sciences, Assistant Research Professor, Physics and Astronomy, effective 6/30/13.

Santhanam, Radhika, College of Business and Economics, Professor (with tenure), Management, effective 6/30/13.

Warfield, Sarah A., College of Arts and Sciences, Lecturer, effective 7/31/13.
Williams, Jakobi E., College of Arts and Sciences, Associate Professor (with tenure),
History, effective 8/29/13.
Wittenberg-Lyles, Elaine M., College of Communication and Information, Associate
Professor (with tenure), Communication, effective 10/31/13.

**EXECUTIVE VICE PRESIDENT
FOR HEALTH AFFAIRS**

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Albrecht, Travis, Pharmacist/UKHC, Pharmacy Services, effective 11/03/2013
Davis, Evan W., Client Tech Engineering Tech Manager/UKHC, Eastern State Hospital, effective 10/08/2013
Drake, Dorinda F., Pharmacist Clinical Staff/Shift-Based/UKHC, Pharmacy Services, effective 10/14/2013
Hudson, Julie L., Perioperative Services Enterprise Director/UKHC, UK HealthCare CNO, effective 09/03/2013
Penn, Angela, Vendor Relationship Management Manager/UKHC, EVPHA Information Management, effective 09/03/2013
Westendorf, Colleen, Pharmacist/UKHC, Pharmacy Services, effective 10/28/2013

B. CHANGES

Blanton, Kimberly, from Hospital Operations Administrator/UKHC, Command Center to Director-Enterprise IPACE & Safety/UKHC, Office of Enterprise Quality & Safety, effective 09/22/2013
Campbell, Kirtricia N., from Nurse Clinical/UKHC, Trauma & Acute Care to Advanced Practice Provider II/UKHC, Internal Medicine & Divisions-Gastro, effective 11/03/2013
Flynn, Jeremy, from Pharmacist Clinical Staff/UKHC, Pharmacy Services to Pharmacist Clinical Staff/UKHC, Pharmacy Services, effective 11/03/2013
Green, Brandon, from Clinical Application Analyst Pharm/UKHC, EVPHA IT to Associate Director of Pharmacy IT/UKHC, Pharmacy Services, effective 11/17/13
Hook, Corinne, from Advanced Practice Provider III/UKHC, Internal Medicine & Divisions-Pulmonary to Advanced Practice Provider III Supervisor/ UKHC, Internal Medicine & Divisions-Pulmonary, effective 11/03/2013
Issacs, Kathy, from Patient Care Manager/UKHC, NICU to Director of Nursing Professional Development/UKHC, Division of Nursing Practice Improvement
Schweiteman, Philip, from Clinical Staff Pharmacist/UKHC, Pharmacy Services to Pharmacy Director/UKHC, Pharmacy Services, effective 08/25/13

C. RETIREMENTS

Addo, Esther, Nurse Clinical, after 20 years and 8 months of consecutive service, under AR 3:1 Section VIII.A., effective 12/01/2013
Broadus, Renee E., Nurse Clinical, after 32 years and 0 months of consecutive service, under AR 3:1 Section VIII.A., effective 11/30/2013

Crellin, Margaret E., Medical Technologist Master, after 36 years and 6 months of consecutive service, under AR 3:1 Section VIII.A., effective 10/8/2013
Darland, Betty L., Nurse Clinical, after 18 years and 0 months of consecutive service, under AR 3:1 Section VIII.A., effective 11/02/2013
Grevious, Roberta, Nursing Care Technician, after 24 years and 7 months of consecutive service, under AR 3:1 Section VIII.A., effective 09/20/2013
Haggard, Melissa L., Materials Management Technician, after 25 years and 5 months of consecutive service, under AR 3:1 Section VIII.A., effective 09/06/2013
Hamilton, Dessie, Licensed Practical Nurse Senior, after 6 years and 1 month of consecutive service, under AR 3:1 Section VIII.A., effective 08/31/2013
Howard, Carol E., Nurse Clinical, after 27 years and 0 months of consecutive service, under AR 3:1 Section VIII.A., effective 07/31/2013
Leigh, Julie A., Heart /Lung Transplant Coordinator, after 22 years and 0 months of consecutive service, under AR 3:1 Section VIII.A., effective 01/03/2014
Mooney, Laura P., Respiratory Therapist Senior, after 37 years and 5 months of consecutive service, under AR 3:1 Section VIII.A., effective 12/02/2013
Prenatt, Susan J., Customer Access Associate, after 15 years and 0 months of consecutive service, under AR 3:1 Section VIII.A., effective 08/16/2013
Redick, Allan C., Customer Access Senior, after 24 years and 1 month of consecutive service, under AR 3:1 Section VIII.A., effective 09/20/2013
Seybold, Glenda J., Nurse Clinical, after 37 years and 5 months of consecutive service, under AR 3:1 Section VIII.A., effective 01/17/2014

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Ford, Josh, Physical Therapist/Shift-Based/UKHC, Rehabilitation Department, effective 10/20/2013
Holzer, Camilla Nell, Clinical Pastoral Education Supervisor/UKHC, Office of Service Excellence, effective 08/25/2013
Housley, Jon J., Operations Manager/Ancillary Services/UKHC, Hospital Administration, effective 06/02/2013
Mucha, Kathleen, Pathologists Assistant/UKHC, Laboratory Services, effective 09/03/2013
Scott, Melinda S., Nurse Director University Health Service/UKHC, University Health Service/Hospital, effective 10/02/2013
Wilson, Carol S., Coding Compliance Coordinator/UKHC, Health Information Management, effective 10/07/2013

B. CHANGES

Allen, Staci, from Radiology PACS Administrator/UKHC, EVPHA IT to RIS/PACS Administrator III/UKHC, EVPHA IT, effective 10/20/2013
Blair, Holly, from Nurse Clinical/UKHC, Trauma and Acute Care Surgical Services/Pavilion A to Patient Care Manager Assistant/UKHC, Trauma and Acute Care Surgical Services/Pavilion A, effective 08/25/2013

Castle, Ester, from Nurse Clinical/UKHC, Neuroscience ICU Tower 200 to Patient Care Manager Assistant/UKHC, Neuroscience Services Administration, effective 08/11/2013

Charles, Rebecca, from Nurse Clinical/UKHC, Trauma and Acute Care Surgical Services/Pavilion A to Patient Care Manager Assistant/UKHC, Trauma and Acute Care Surgical Services/Pavilion A, effective 08/25/13

Furtula, Zeljko, from Application Analyst II/UKHC, EVPHA IT to RIS/PACS Administrator III/UKHC, EVPHA IT, effective 10/20/2013

Grant, Deana, from Clinical Documentation Specialist/UKHC, Utilization Review to Clinical Documentation Manager/UKHC, Utilization Review, effective 08/11/2013

Martin, Amanda, from Nurse Coordinator Clinical/UKHC, Trauma and Acute Care Surgical Services/Pavilion A to Patient Care Manager Assistant/UKHC, Neuroscience Services Administration, effective 08/11/2013

Yosmali, Gregory, from Clinical Benchmark Analyst Sr./UKHC, Office of Enterprise Quality and Safety to Enterprise Quality Analytics Director/UKHC, Office of Enterprise Quality and Safety, effective 08/11/2013

PR 3

Office of the President
December 17, 2013

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE COLLEGE OF AGRICULTURE, FOOD AND ENVIRONMENT

Recommendation: that Dr. Nancy M. Cox be appointed Dean of the College of Agriculture, Food and Environment, effective January 1, 2014.

Background: In October 2012, Dean M. Scott Smith announced he was retiring as Dean of the College of Agriculture, Food and Environment after 12 years of service. A search committee was appointed to identify and recommend qualified persons for the position of Dean of the College.

Dr. Cox is currently Associate Dean for Research and Associate Director of the Experiment Station in the College of Agriculture, Food and Environment at the University of Kentucky. She earned a bachelor's degree (1975) from Furman University, M.S. (1977) from University of Georgia, and Ph.D. (1982) from North Carolina State University.

Dr. Cox has received honors and awards from the universities where she has taught and has served on numerous national committees for the Board on Agriculture section of the Association of Public and Land-Grant Universities. She served as chair of The National Agricultural Biotechnology Council and has served two terms on the National Research, Education, Extension and Economics Advisory Board for the Secretary of Agriculture. She has a history of representing research in public policy arenas in Mississippi and Kentucky, as a co-chair of the Joint Legislative Task Force on Swine Farming in Mississippi and the Kentucky Animal Care Standards Commission and the Kentucky Biofuels Task Force.

During the first 19 years of her career at Mississippi State University, she taught and conducted research, worked with 58 graduate students (21 as major advisor) and co-produced 43 peer-reviewed articles and book chapters. At UK, extramural funding grew from less than \$10 million in 2001 to \$25 million for Fiscal Year 2013 under Dr. Cox's leadership. Dr. Cox worked to secure renovated facilities for the Veterinary Diagnostic Laboratory, with a \$30 million state investment. She has worked extensively with the Kentucky Farm Bureau and numerous agriculture commodity boards to promote UK's agriculture research and extension programs.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 4

Office of the President
December 17, 2013

Members, Board of Trustees:

APPOINTMENT TO BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY GLUCK EQUINE RESEARCH FOUNDATION, INC.

Recommendation: that the Board of Trustees approve the appointment of Thomas Grossman, Eric Hamelback, William Lynn Jones, Mike Meuser and Dermot Ryan to the Board of Directors of the University of Kentucky Gluck Equine Research Foundation, Inc. for a four-year term, ending December 31, 2017.

Background: The Nominating Committee of the University of Kentucky Gluck Equine Research Foundation, which includes the Dean of the College of Agriculture, recommended these appointments to the Provost. These recommended appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation and By-Laws for the University of Kentucky Gluck Equine Research Foundation approved by the Board of Trustees at its meeting in September, 1984. These nominations are supported by the President and Provost.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

Bios for Board of Directors

University of Kentucky Gluck Equine Research Foundation, Inc.

Thomas Grossman, owner of Blue Chip Farms

Tom Grossman received his BS in Finance, cum laude, from Lehigh University. After several years with Goldman Sachs Group, Inc., he was hired in 1994 to set up the new international trading focus at Kingdon Capital Management, a successful domestic investment fund. Tom coordinated the efforts of this four-member team as assets under management grew to over \$400 million. In 1997, Tom launched S.A.C. International Equities as a fully autonomous subsidiary of S.A.C. Capital Advisors, LLC, a multi-billion dollar hedge fund. At S.A.C. International Equities, he built a nine-member team and managed a portfolio with over \$700 million of international exposure. Currently Tom is the Manager Partner of Union Avenue Advisors, an international equity investment fund. Tom has been familiar with the ins and outs of the Standardbred world since a teenager, having been friends with the Kimelman family, who in 1969 founded Blue Chip Farms in Wallkill, NY. Blue Chip is a 700 acre commercial breeding farm that has been home to some of the finest Standardbred stallions in the world.

In 1989 Tom became actively involved in the harness racing after purchasing his first race horse. His enthusiasm and commitment to the sport increased as the years passed, and in 2001 he purchased Blue Chip Farms from the Kimelman family. Tom's primary goal was to reformulate Blue Chip's operation into a viable business endeavor, and by doing so push New York's breeding program into national prominence. To that end he attracted three major syndicated stallions to stand at the farm by purchasing significant portions of Credit Winner, Art Major and Bettor's Delight. To support those stallions, he invested heavily in broodmares. This went hand in hand with a major capital improvement outlay to renovate the 24 barns and sheds that make up the facility. His plans are starting to reap rewards. Currently the farm stands nine commercial stallions in 3 states and/or provinces.

Eric Hamelback, general manager of Adena Springs Farm

Eric Hamelback is the general manager of Frank Stronach's Adena Springs Farm and resides in Paris, Ky. with his wife Debra and two children. He is originally from Frierson, Louisiana where he attended Louisiana State University. Eric graduated in 1993 with a Bachelor of Science in Animal Systems. He and his family moved to Paris in 2008 after living in the Versailles/Midway area for 8 years and then in Florida for 5 years. Adena Springs Farm moved him from their Florida operation to the Bourbon County operation. Both Eric and his wife have truly enjoyed living in the Paris community.

Eric began his career with Prestonwood Farm in Versailles, after leaving Louisiana in 1994. There he began as yearling manager. In 1997, he was offered a position at Adena Springs as the yearling manager under then, General Manager, Dan Hall. Eric was promoted to assistant farm manager in 1998 and in 2002 was named general manager at Live Oak Stud in Ocala, Fla. From 2002 until 2005 Eric headed the Florida operation before returning to Adena Springs, this time in Florida, in 2006. In mid-2008, Mr. Stronach promoted Eric to his current position as general manager over the Kentucky division.

William Lynn Jones, owner of Equus Standardbred Station

Lynn Jones co-founded Equus Unlimited in 1985 and co-founded Standardbred Station in 1986. He currently co-owns Equus Standardbred Station with his spouse. Equus/Standardbred is the largest equine agency in the United States and one of the largest worldwide by premium volume representing Underwriter's at Lloyds, QBE, Great American, Markel and Caitlin.

With the Fasig-Tipton Livestock Underwriters, he developed a program and established an entire client base for this book of business. He was vice president for operations from 1984-1986 and was manager of the Standardbred division from 1981-1984.

He is the past president, vice president and treasurer of Kentucky Lloyds Agents Association and Kentucky Harness Racing Commission. He was also previously appointed to the Kentucky Racing Commission.

Mike Meuser, lawyer at Miller, Griffin & Marks, P.S.C.

Mike Meuser is a shareholder and member of the Management Committee of Miller, Griffin & Marks, P.S.C., with which firm he has practiced law for his entire career. He graduated in 1980 from the University of Kentucky College of Law, where he was a member of the National Moot Court Team. He is a Fellow of the American College of Equine Practitioners and is a past President of the Equine Law Sections of the Fayette County and Kentucky Bar Associations. He has been counsel of record in numerous published state and federal court opinions in the area of equine law. Among his representative clients are Coolmore, Ashford Stud, WinStar Farm, Hill 'n' Dale Farm, Castleton Lyons Farm, Ramsey Farm, Denali Stud, Starlight Racing, Repole Stables, Eaton Sales, Warrendale Sales and Bluewater Sales. He is a frequent lecturer and member of the Planning Committee of the UK National Equine Law Conference. Currently he serves as Vice President and Secretary of the Thoroughbred Aftercare Alliance, a national non-profit organization dedicated to providing sustainable funding to other non-profit organizations for the care of retired Thoroughbred racehorses.

Dermot Ryan, manager of Ashford Stud

Dermot Ryan is the manager of Ashford Stud, the American division of Coolmore Stud. A native of Co. Tipperary, Ireland, Dermot graduated from the Irish National Stud Thoroughbred Breeding Course before taking a position with Coolmore Stud in Ireland. Following eight years working for Coolmore in Ireland, Dermot relocated to Coolmore America in 1993. Dermot was a founding member of the Consignors and Commercial Breeders Association and also spent two terms on the board for the Thoroughbred Owners and Breeders Association.

ASACR 1

Office of the President
December 17, 2013

Members, Board of Trustees:

CANDIDATES FOR DEGREES: DECEMBER 2013

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled, upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees.

Background: Since the University of Kentucky will hold the December commencement ceremonies immediately following completion of examinations, it is not possible for the University Registrar to certify satisfactory completion of requirements prior to the ceremonies for those candidates who complete their requirements in December.

For details on degree candidate listings,
please contact Sean Cooper
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

**OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - December 2013**

Graduate Degrees:

Ph.D.	142
D.M.A.	2
Ed.D.	5
Ed.S.	4
M.A.	69
M.AR.	2
M.A.EDU.	0
M.A.ID.	0
M.B.A.	6
M.Ed.	3
M.F.A.	1
M.H.A.	1
M.H.P.	0
M.M.	6
M.P.A.	1
M.P.H.	19
M.P.P.	0
M.R.C.	34
M.S.	103
M.S.A.	2
M.S.BE.	6
M.S.BAE.	5
M.S.CD.	0
M.S.CIE.	10
M.S.CHE.	2
M.S.CTL.	7
M.S.EDU.	25
M.S.E.E.	9
M.S.FOR.	2
M.S.FS.	1
M.S.FSC.	4
M.S.L.S.	40
M.S.MAT.	1
M.S.MEE.	10
M.S.MIE.	1
M.S.MSYE.	0
M.S.ME.	0
M.S.N.	0
M.S.N.S.	2
M.S.PAS.	2
M.S.RMP.	0
M.S.W.	1

TOTAL.....528

Professional Degrees:

D.M.D.	0
D.N.P.	8
Dr.P.H.	4
D.P.T.	4
J.D.	3
M.D.	3
PharmD.	0
TOTAL	22

Undergraduate Degrees:

Agriculture

BSAB	3
BSAEC	53
BSAG	5
BSASC	14
BSCCL	17
BSCLD	11
BSCTE	0
BSDIE	20
BSESM	21
BSFOR	0
BSFOS	7
BSFSC	25
BSHPS	4
BSHM	6
BSHN	7
BSLA	2
BSMAT	13
BSNRC	0
BSNRES	5

TOTAL 213

Arts and Sciences

BA	260
BS	80

TOTAL340

Gatton Business and Economics

BBA	106
BSA	50
BSBE	20

TOTAL 176

Communication & Information

BA	62
BS	21

TOTAL83

Design

BAARC	11
BAID	2

TOTAL 13

Education

BAEDU	86
BSEDU	80

TOTAL..... 166

Engineering

BSBE	6
BSCHE	1
BSCIE	24
UK/WKU: BSCE	0
BSCS	29
BSCOE	11
BSEE	22
BSMAE	0
BSMEE	41
UK/WKU: BSMEE	0
BSMIE	8

TOTAL..... 142

Fine Arts

BA	40
BFA	5
BM	7
BMME	6

TOTAL..... 58

Health Sciences

BHS	25
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TOTAL 25

Nursing

BSN	91
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TOTAL.....91

Social Work

BASW	28
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TOTAL..... 28

Graduate Degree528

Professional Degrees.....22

Undergraduate Degrees1335

TOTAL 1885

ASACR 2

Office of the President
December 17, 2013

Members, Board of Trustees:

ACADEMIC DEGREE RECOMMENDATION:
COLLEGE OF COMMUNICATION AND INFORMATION

Recommendation: that the Board of Trustees approve the establishment of a Bachelor of Arts/Bachelor of Science with a major in Information Communication Technology degree in the School of Library and Information Science within the College of Communication and Information, effective in the Spring 2014 Semester.

Background: Information Communication Technology (ICT) programs strive to educate students to assume leadership roles where the application of information technology is concerned, with the ultimate goal of connecting people, organizations, and communities to enhance their ability to succeed. The proposed program focuses on providing students with the knowledge and skills needed to effectively apply, use, and manage technology when solving problems specifically related to information and communication. It provides a human and organizational focus on technology—teaching students how to be effective users of technology, as opposed to teaching students how to program. While other Kentucky-based programs exist, they are either based largely on computer science or are discipline specific, rather than focusing on the application of information technology across disciplines. The ICT program focuses on the intersection of technology: the people who use that technology; the policies and regulations governing or affecting use of that technology; and the community or environment in which that technology is used.

The broad cluster of occupations that fall within the ICT arena includes, but is not limited to, computer analysts, computer support specialists, technical writers, media and communications coordinators, and instructional coordinators. The objectives of the program include educating and preparing students for a successful career in the ICT field in a global economy ever more dependent upon technology. This will be accomplished by exposing students to theoretical underpinnings of ICT as well as practical applications of technology.

This program has the approval of the appropriate councils and the University Senate and has completed the 45 day review by the Council on Postsecondary Education. The Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

ASACR 3

Office of the President
December 17, 2013

Members, Board of Trustees:

ACADEMIC DEGREE TRANSFER RECOMMENDATION

Recommendation: that the Board of Trustees approve the transfer of the Master of Science in Radiological Medical Physics (RMP) with a major in Radiation Science degree from the Department of Clinical Sciences in the College of Health Sciences to the Department of Radiation Medicine in the College of Medicine, effective January 1, 2014.

Background: The RMP master's degree program was initiated in 1968 and is considered one of the premier clinical medical physics programs in North America. It is a small, selective program noted for providing high quality didactic and clinical training. The program provides students a thorough didactic grounding in fundamental and specialized medical physics, with hands on experience using state-of-the-art equipment. It is recognized for its strong clinical focus and offers an in-house residency for selected graduates of the UK program. In 1998, it was fully accredited by the Commission on Accreditation of Medical Physics Education Programs (CAMPEP) and was reaccredited in 2002 and 2009. The next reaccreditation self-study is due in 2014 with the site visit scheduled for 2015.

Since its inception, the program has been offered by the College of Health Sciences in collaboration with the College of Medicine. Over the years, the leadership, resources and responsibility of the program have transitioned to the College of Medicine. It is anticipated that the program transfer will have no adverse effect on the RMP degree program, faculty, staff or students. In fact, the transfer is expected to strengthen the program by promoting continuity and placing the responsibility for the program in the Department of Radiation Medicine, where the leadership, faculty expertise, equipment and other resources reside.

The transfer has been reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council. The University Senate endorsed the transfer. The Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

ASACR 4

Office of the President
December 17, 2013

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT:
COLLEGE OF PUBLIC HEALTH

Recommendation: that the Board of Trustees approve the change in the name of the Department of Health Services Management to Department of Health Management and Policy, in the College of Public Health, effective immediately.

Background: The College of Public Health has utilized the original departmental structure to grow and successfully achieve reaccreditation since its creation in 2004. As the College moves from a founding phase, it is appropriate to assess structure, build on strengths and forge new directions that are consistent with the changing environment of higher education and public health/healthcare administration education.

In 2012, as part of an internal environmental scan, the Task Force for Organizational Structure identified several potential issues for consideration. One of the issues related to the review of the departmental structure of other leading colleges of Public Health in the US, where health policy was a distinct area of focus. The Task Force recommended that a department within the College add the term “policy” to its title in recognition of the importance of health policy to public health education and research. The Task Force recommendation prompted the Department of Health Services Management faculty to consider formally incorporating the term “policy”.

The proposed change has been approved by the faculty of the Department of Health Services Management, the College of Public Health Faculty Council and the Administrative Council; reviewed and recommended by the Senate Academic Organization and Structure Committee and the Senate Council; and endorsed by the University Senate. The Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 1

Office of the President
December 17, 2013

Members, Board of Trustees:

DAVIS AND BEVERLY MARKSBURY FOUNDATION PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$535,000 from the Davis and Beverly Marksbury Foundation of Nicholasville, Kentucky to fund capital projects within the Department of Intercollegiate Athletics. This pledge is in addition to the \$400,000 donated for this purpose.

Background: Davis Marksbury received his Bachelor of Science in Civil Engineering in 1980 and began his successful business career with Shell Oil. He subsequently moved to PDR Engineers, where he formed PDR Information Services. He has launched three successful companies to address complex technology challenges in the document publishing industry, including Exstream, founded in 1998, and sold to Hewlett-Packard Company in 2008. Mr. Marksbury was a regional winner of the 2003 Ernst & Young Entrepreneur of the Year Award and has twice been a finalist for *Inc.* Magazine's Entrepreneur of the Year Award. He was inducted into the College of Engineering Hall of Distinction in 2008, and the Kentucky Entrepreneur Hall of Fame in 2010.

The Marksburys are long-time and generous donors to the University of Kentucky, particularly to the College of Engineering and the Department of Intercollegiate Athletics. Their \$6,000,000 pledge and gift toward what has become the Davis Marksbury Building created a facility which is the second building of the College of Engineering's "digital village" complex located near the corner of Maxwell and Rose streets. The Davis Marksbury Building was dedicated in 2011.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 2

Office of the President
December 17, 2013

Members, Board of Trustees:

TERRY WOODWARD PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$2,000,000 from Terry Woodward of Owensboro, Kentucky to support the Gatton College of Business and Economics Capital Campaign.

Background: Terry Woodward earned his bachelor's degree in Commerce in 1963 from the College of Business and Economics. He is the CEO of Wax Works, Inc. located in Owensboro, Kentucky, a business founded by his father in 1949 and now recognized as one of the nation's leading authorized wholesale home entertainment distributors.

The pledge of \$2,000,000 to the Gatton College Capital Campaign will be used for the \$65,000,000 redesign and expansion of the Gatton College of Business and Economics Building.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 3

Office of the President
December 17, 2013

Members, Board of Trustees:

THE ESTATE OF DR. JUDITH M. JANSSEN GIFT

Recommendation: that the Board of Trustees accept a gift of \$1,705,893.15 from the Estate of Dr. Judith M. Janssen, formerly of Evanston, Illinois, to add to the existing Guy M. Davenport Endowed Professorship in English.

Background: Dr. Judith M. Janssen passed away on October 15, 2012. Dr. Janssen earned her master's degree in English in 1969 and a doctorate in philosophy in English in 1972 from the College of Arts and Sciences. She went on to earn a juris doctorate at Vanderbilt University in 1979. She retired from the Exxon Mobil Corporation in 2009 where she was a labor and employment attorney in the marketing and refining division. Dr. Janssen left 40% of her residuary estate to support the Guy M. Davenport Endowed Professorship in English which she established in December 2005 in memory of Dr. Guy M. Davenport, Jr., a professor in the Department of English in the College of Arts and Sciences from 1963 to 1991.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 4

Office of the President
December 17, 2013

Members, Board of Trustees:

THE ESTATE OF MAYWIN S. LAUDERDALE GIFT

Recommendation: that the Board of Trustees accept a gift of \$735,228.12 from the Estate of Maywin S. Lauderdale, formerly of Lexington, Kentucky, to create and endow the Robert A. and Maywin S. Lauderdale Graduate Fellowship Fund in the College of Engineering.

Background: Maywin S. Lauderdale passed away October 25, 2012. Mrs. Lauderdale left 33.33% of her residuary estate to benefit the Department of Civil Engineering in the College of Engineering specifically to fund an annual grant award to a Master's candidate with a research focus on city, county or state water supply. To fulfill Mrs. Lauderdale's wishes, the Robert A. and Maywin S. Lauderdale Graduate Fellowship Fund will be established in the College of Engineering. The bequest of \$735,228.12 is a partial distribution of her estate, with final distribution expected in 2014.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 5

Office of the President
December 17, 2013

Members, Board of Trustees:

ROGER E. MICK GIFT

Recommendation: that the Board of Trustees accept a gift of \$1,000,000 from Roger E. Mick of Brentwood, Tennessee to support the Gatton College of Business and Economics Capital Campaign.

Background: Roger Mick earned his bachelor's degree in Accounting in 1968 from the College of Business and Economics. He is a retired healthcare executive and president of The Mick Foundation. Mr. Mick, his wife Barbara and their two children John and Jennifer are avid UK basketball fans. In September 2001, the Board of Trustees accepted a pledge of \$313,020 from the Roger E. Mick Family to create and endow the Mick Family Endowed Basketball Scholarship in the Department of Intercollegiate Athletics.

The gift of \$1,000,000 to the Gatton College Capital Campaign will be used towards the \$65,000,000 redesign and expansion of the Gatton College of Business and Economics Building.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 6

Office of the President
December 17, 2013

Members, Board of Trustees:

THE ESTATE OF JOY R. WILLS GIFT

Recommendation: that the Board of Trustees accept a gift of \$491,517.65 from the Estate of Joy R. Wills, formerly of Richmond, Kentucky, to create and endow the Joy R. Wills DanceBlue Endowment Fund, which will provide additional support for social workers and programs dedicated to pediatric oncology, as well as support for laboratory research in pediatric oncology at Kentucky Children's Hospital.

Background: Joy R. Wills passed away on August 21, 2012. She earned her bachelor's degree in education in 1943, and a master's degree in education in 1950 from the College of Education. She served as an educator and school principal in Louisville, Kentucky, for 42 years. Her lifelong passions included children, curing cancer and the University of Kentucky, thus motivating her to support DanceBlue, a student-run philanthropy at the University of Kentucky, which to date has raised more than \$5,100,000 to support childhood cancer research and provide social support at the DanceBlue Kentucky Children's Hospital Hematology/Oncology Clinic.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 7

Office of the President
December 17, 2013

Members, Board of Trustees:

MULTIPLE GIFTS TO THE EARTH AND ENVIRONMENTAL SCIENCES ENDOWED
ALUMNI PROFESSORSHIP TO REPLACE UNFULFILLED PLEDGE TO
RESEARCH CHALLENGE TRUST FUND

Recommendation: that the Board of Trustees accept a gift of \$12,400 from multiple donors given to the existing Earth and Environmental Sciences Endowed Alumni Professorship in the College of Arts & Sciences to replace an unfulfilled Research Challenge Trust Fund pledge.

Background: The Board of Trustees accepted gifts and pledges of \$150,000 to create and endow the Bonnie Jean Cox Graduate Research Endowment in Gender and Women's Studies in December 2006. The gifts and pledges were matched by Kentucky's Research Challenge Trust Fund. The Board of Trustees accepted replacement funds to fulfill \$45,790 in unpaid pledges to the Bonnie Jean Cox Graduate Research Endowment in Gender and Women's Studies at its meeting in June 2011. Since that time, one of the donors to the fund has informed the University that the remaining pledge of \$12,400 will not be fulfilled. The unpaid pledge of \$12,400 will be replaced using multiple gifts already contributed to the Earth and Environmental Sciences Endowed Alumni Professorship listed above. The Council on Postsecondary Education has been advised of the proposed replacement.

The Board of Trustees accepted gifts in the amount of \$55,000 to the Earth and Environmental Sciences Endowed Alumni Professorship at the March 2007 meeting, and these gifts were matched with Research Challenge Trust Fund dollars.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 8

Office of the President
December 17, 2013

Members, Board of Trustees:

DISSOLUTION OF THE LAWSON ENDOWMENT FUND – CENTER FOR RESEARCH ON
VIOLENCE AGAINST WOMEN AND THE TRANSFER TO OTHER UK CENTER FOR
RESEARCH ON VIOLENCE AGAINST WOMEN ENDOWMENTS

Recommendation: that the Board of Trustees approve the dissolution of the Lawson Endowment Fund – Center for Research on Violence Against Women (CRVAW) and the transfer of the market value of the endowment to the following CRVAW endowments:

- Georgia Davis Powers Endowment: transfer \$86,840 of contributed value and proportionate share of net investment income; and
- CRVAW General Endowment: transfer \$113,160 of contributed value and proportionate share of net investment income.

Background: The Lawson Endowment Fund – Center for Research on Violence Against Women was established in November 2002 to support CRVAW research initiatives. The endowment has a contributed value of \$200,000 (\$100,000 in donor gifts and \$100,000 from the Research Challenge Trust Fund Endowment Match Program) and a current market value of \$212,231. The donor, Steven L. Lawson of Lexington, Kentucky, has requested that this endowment be dissolved, and the funds transferred to and accounted for in other CRVAW endowments maintained by the University. The funds will be transferred to the endowments listed above to provide support of CRVAW's research mission, and allow creation of a larger program such as an endowed chair. The Board of Trustees approved the establishment of the endowment fund and submission for matching funds from Kentucky's Endowment Match Program in December 2002.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 9

Office of the President
December 17, 2013

Members, Board of Trustees:

ACCEPTANCE OF 2012-13 AUDITED FINANCIAL STATEMENTS
AND THE INDEPENDENT AUDITOR'S REPORT ON FINANCIAL STATEMENTS
AND SUPPLEMENTARY INFORMATION

Recommendation: that the Board of Trustees accept (1) the University of Kentucky Audited Financial Statements for the Fiscal Year ended June 30, 2013, consisting of the Statements of Net Position, Statements of Revenues, Expenses and Changes in Net Position, Statements of Cash Flows, Notes to Financial Statements, and Management's Discussion and Analysis; and (2) the Independent Auditor's Report on Financial Statements and Supplementary Information.

Background: The Audit and Compliance Subcommittee of the Finance Committee of the University of Kentucky has reviewed the University's financial statements for the Fiscal Year ended June 30, 2013, that have been audited by BKD LLP, Certified Public Accountants. These financial statements are prepared in accordance with Governmental Accounting Standards Board ("GASB") Statement No. 35, *Basic Financial Statements – and Management's Discussion and Analysis – for Public Colleges and Universities*, which focuses on the financial condition, results of operations, and cash flows of the University as a whole, with resources classified for accounting and reporting purposes into four net asset categories: invested in capital assets (net of related debt), restricted-nonexpendable, restricted-expendable, and unrestricted.

The financial statements of the University include its operations, the UK HealthCare Hospital System and the Department of Intercollegiate Athletics (organizational units of the University), the University's for-profit subsidiary (Kentucky Healthcare Enterprise, Inc.), and its affiliated non-profit corporations [i.e. entities for which the University is financially accountable as defined by Statement No. 14 and amended by Statement No. 39 of the Governmental Accounting Standards Board (GASB), and which meet the definition of an affiliated corporation under Kentucky Revised Statute (KRS) section 164A.550]:

- The University of Kentucky Research Foundation and its for-profit subsidiaries (Kentucky Technology, Inc. and Coldstream Laboratories, Inc.)
- The Fund for Advancement of Education and Research in the University of Kentucky Medical Center
- Central Kentucky Management Services, Inc.
- University of Kentucky Mining Engineering Foundation, Inc.
- University of Kentucky Gluck Equine Research Foundation, Inc.

- University of Kentucky Humanities Foundation, Inc.
- University of Kentucky Center on Aging Foundation, Inc.

The financial statements also include the operations of Kentucky Medical Services Foundation, Inc. (KMSF), a non-profit entity for which the University is financially accountable as defined by GASB, but which is not an affiliated corporation under KRS.

The financial statements and the independent auditor's report have been provided separately. The documents may be viewed after Board of Trustees' approval at:

<http://www.uky.edu/EVPFA/Controller/finst.htm>

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 10

Office of the President
December 17, 2013

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2013

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the three months ended September 30, 2013.

Background: The consolidated financial report includes the financial activities of the University of Kentucky, its organizational units including UK HealthCare Hospital System and the Department of Intercollegiate Athletics, and its affiliated corporations:

- The University of Kentucky Research Foundation
- The Fund for Advancement of Education and Research in the University of Kentucky Medical Center
- Central Kentucky Management Services, Inc.
- University of Kentucky Mining Engineering Foundation, Inc.
- University of Kentucky Gluck Equine Research Foundation, Inc.
- University of Kentucky Humanities Foundation, Inc.
- University of Kentucky Center on Aging Foundation, Inc.

As of September 30, 2013, the University has recognized \$749,155,198 of current funds revenue representing 28 percent of the 2013-14 approved budget of \$2,709,205,300. Expenses and transfers total \$655,281,172 or 24 percent of the approved budget.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____



*Consolidated Financial
Statements*

For the three months ended September 30, 2013

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
STATEMENT OF NET POSITION
SEPTEMBER 30, 2013 AND 2012

	2013	2012
ASSETS		
Current Assets		
Cash and cash equivalents	\$ 410,162,259	\$ 307,445,624
Notes, loans and accounts receivable, net	236,336,063	277,713,668
Inventories and other assets	49,930,711	49,070,908
Total current assets	696,429,033	634,230,200
Noncurrent Assets		
Restricted cash and cash equivalents	58,368,168	55,189,269
Endowment investments	1,106,645,365	997,741,848
Other long-term investments	183,602,044	144,855,360
Notes, loans and accounts receivable, net	51,916,670	42,245,267
Other noncurrent assets	12,862,195	12,836,459
Capital assets, net	1,889,472,314	1,911,223,657
Total noncurrent assets	3,302,866,756	3,164,091,860
Total assets	3,999,295,789	3,798,322,060
LIABILITIES AND DEFERRED INFLOWS OF RESOURCES		
Current Liabilities		
Accounts payable and accrued liabilities	145,594,028	134,594,531
Unearned revenue	70,665,254	71,259,531
Long-term liabilities - current portion	66,460,305	60,172,012
Total current liabilities	282,719,587	266,026,074
Noncurrent Liabilities		
Long-term liabilities	744,836,565	753,029,991
Total noncurrent liabilities	744,836,565	753,029,991
Total liabilities	1,027,556,152	1,019,056,065
Deferred Inflows of Resources	561,390	-
Total liabilities and deferred inflows of resources	1,028,117,542	1,019,056,065
NET POSITION		
Net investment in capital assets	1,262,856,577	1,266,376,842
Restricted		
Nonexpendable		
Scholarships and fellowships	133,083,087	128,163,717
Research	267,605,502	261,985,452
Instruction	76,707,532	76,545,652
Academic support	83,754,074	83,555,914
Other	7,955,410	7,991,380
Total restricted nonexpendable	569,105,605	558,242,115
Expendable		
Scholarships and fellowships	61,978,820	50,229,365
Research	70,655,428	47,697,434
Instruction	49,779,725	40,986,382
Academic support	44,709,716	32,513,805
Loans	9,971,191	10,255,974
Capital projects	69,407,256	62,708,745
Debt service	32,713,294	31,413,817
Auxiliary	19,802,087	18,311,160
Other	26,368,867	12,919,195
Total restricted expendable	385,386,384	307,035,877
Total restricted	954,491,989	865,277,992
Unrestricted	753,829,681	647,611,161
Total net position	\$ 2,971,178,247	\$ 2,779,265,995

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
ALL FUNDS
STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2013 AND 2012

	2013	2012
OPERATING REVENUES		
Student tuition and fees	\$ 193,959,192	\$ 181,448,494
Federal grants and contracts	33,881,470	35,568,873
State and local grants and contracts	13,715,511	24,829,866
Nongovernmental grants and contracts	42,869,228	43,407,493
Recoveries of facilities and administrative costs	10,354,796	11,143,675
Sales and services	10,070,902	10,286,895
Federal appropriations	5,441,220	4,964,950
County appropriations	6,410,006	7,059,049
Hospital services	247,955,462	233,779,721
Auxiliary enterprises:		
Housing and dining	14,632,201	14,205,727
Athletics	23,379,149	21,647,293
Other auxiliaries	11,703,593	11,474,036
Other operating revenues	78,871	74,973
Total operating revenues	<u>614,451,601</u>	<u>599,891,045</u>
OPERATING EXPENSES		
Educational and general:		
Instruction	63,288,752	60,314,179
Research	59,189,299	58,414,798
Public service	47,329,792	54,690,020
Libraries	3,806,685	3,807,846
Academic support	16,159,063	14,867,306
Student services	8,940,878	8,582,252
Institutional support	19,190,410	18,776,146
Operations and maintenance of plant	15,624,182	14,237,047
Student financial aid	67,495,701	63,611,403
Depreciation	14,053,084	14,223,305
Total educational and general	<u>315,077,846</u>	<u>311,524,302</u>
Clinical operations	31,637,816	33,056,637
Hospital and clinics (including depreciation of \$11,496,498 in 2013 and \$11,180,444 in 2012)	232,638,729	218,995,638
Auxiliary enterprises:		
Housing and dining (including depreciation of \$854,718 in 2013 and \$717,075 in 2012)	13,616,611	9,965,306
Athletics (including depreciation of \$1,301,465 in 2013 and \$986,009 in 2012)	24,957,708	22,305,259
Other auxiliaries	7,129,830	6,689,097
Other operating expenses	657,901	80,872
Total operating expenses	<u>625,716,441</u>	<u>602,617,111</u>
Net loss from operations	<u>(11,264,840)</u>	<u>(2,726,066)</u>
NONOPERATING REVENUES (EXPENSES)		
State appropriations	85,160,800	85,160,800
Gifts and non-exchange grants	37,134,103	37,109,802
Investment income (loss)	48,517,998	45,859,527
Interest on capital asset-related debt	(3,845,094)	(4,421,221)
Other nonoperating revenues and expenses, net	1,047,023	2,644,522
Net nonoperating revenues (expenses)	<u>168,014,830</u>	<u>166,353,430</u>
Net income before other revenues, expenses, gains or losses	<u>156,749,990</u>	<u>163,627,364</u>
Capital grants and gifts	8,748,714	5,743,748
Additions to permanent endowments	1,514,095	580,376
Other, net	(16,125)	(4,085,182)
Total other revenues (expenses)	<u>10,246,684</u>	<u>2,238,942</u>
INCREASE IN NET POSITION	<u>166,996,674</u>	<u>165,866,306</u>
NET POSITION, July 1	<u>2,804,181,573</u>	<u>2,613,399,689</u>
NET POSITION, September 30	<u>\$ 2,971,178,247</u>	<u>\$ 2,779,265,995</u>

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
CURRENT FUNDS
STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET POSITION
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2013 AND 2012

	2013			2012	
	Budget	Actual	%	Actual	%
OPERATING REVENUES					
Student tuition and fees	\$ 379,993,400	\$ 193,959,192	51%	\$ 181,448,494	54%
Federal grants and contracts	165,662,900	33,881,470	20%	35,568,873	20%
State and local grants and contracts	59,832,900	13,715,511	23%	24,829,866	33%
Nongovernmental grants and contracts	200,649,300	42,869,228	21%	43,407,493	24%
Recoveries of facilities and administrative costs	41,500,000	10,354,796	25%	11,143,675	25%
Sales and services	41,710,300	10,070,902	24%	10,286,895	25%
Federal appropriations	18,315,200	5,441,220	30%	4,964,950	27%
County appropriations	22,100,000	6,410,006	29%	7,059,049	37%
Hospital services	971,622,400	247,955,462	26%	233,779,721	24%
Auxiliary enterprises:					
Housing and dining	50,136,400	14,632,201	29%	14,205,727	28%
Athletics	73,133,800	23,379,149	32%	21,647,293	32%
Other auxiliaries	37,301,100	11,703,593	31%	11,474,036	30%
Total operating revenues	<u>2,061,957,700</u>	<u>614,372,730</u>	<u>30%</u>	<u>599,816,072</u>	<u>30%</u>
OPERATING EXPENSES					
Educational and general:					
Instruction	368,143,200	63,288,752	17%	60,314,179	19%
Research	263,581,100	59,188,944	22%	58,436,303	22%
Public service	231,459,000	47,329,792	20%	54,690,020	28%
Libraries	13,547,400	3,806,685	28%	3,807,846	30%
Academic support	101,219,200	16,159,063	16%	14,867,306	14%
Student services	37,144,600	8,865,591	24%	8,503,361	22%
Institutional support	141,590,100	19,190,410	14%	18,776,146	14%
Operations and maintenance of plant	69,297,700	13,856,408	20%	12,307,812	18%
Student financial aid	135,679,500	67,495,701	50%	63,611,403	51%
Total educational and general	<u>1,361,661,800</u>	<u>299,181,346</u>	<u>22%</u>	<u>295,314,376</u>	<u>23%</u>
Clinical operations	109,539,800	31,637,816	29%	33,056,637	29%
Hospital and clinics	947,060,100	221,102,945	23%	205,067,488	22%
Auxiliary enterprises:					
Housing and dining	40,538,900	12,630,497	31%	9,171,441	22%
Athletics	88,940,300	23,656,243	27%	21,284,539	27%
Other auxiliaries	33,340,600	7,118,865	21%	6,685,021	20%
Total operating expenses	<u>2,581,081,500</u>	<u>595,327,712</u>	<u>23%</u>	<u>570,579,502</u>	<u>23%</u>
Net loss from operations	<u>(519,123,800)</u>	<u>19,045,018</u>	<u>-4%</u>	<u>29,236,570</u>	<u>-7%</u>

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
CURRENT FUNDS
STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET POSITION
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2013 AND 2012

	2013			2012	
	Budget	Actual	%	Actual	%
Net loss from operations	(519,123,800)	19,045,018	-4%	29,236,570	-7%
NONOPERATING REVENUES (EXPENSES)					
State appropriations	283,869,300	85,160,800	30%	85,160,800	30%
Gifts and non-exchange grants	67,723,400	37,062,479	55%	36,646,867	66%
Investment income	38,866,100	4,695,495	12%	4,240,921	15%
Other nonoperating revenues and expenses, net	5,843,000	1,046,701	18%	2,644,115	45%
Net nonoperating revenues (expenses)	396,301,800	127,965,475	32%	128,692,703	34%
Net income before other revenues, expenses, gains or losses	(122,822,000)	147,010,493	-120%	157,929,273	-224%
Capital grants and gifts	15,009,200	6,816,993	45%	5,438,487	75%
Other, net	-	358	-	16,501	165%
Total other revenues (expenses)	15,009,200	6,817,351	45%	5,454,988	
NON-GASB ACTIVITY					
Appropriated fund balance	230,846,600	-	-	-	-
Capital purchases and transfers	(57,140,500)	(25,600,155)	45%	(28,466,124)	40%
Debt service transfers	(70,983,300)	(34,534,910)	49%	(42,172,982)	54%
Noncapital transfers	5,090,000	181,247	4%	197,731	5%
Total non-GASB current funds activity	107,812,800	(59,953,818)	-56%	(70,441,375)	-111%
INCREASE IN NET POSITION	-	93,874,026		92,942,886	
NET POSITION, beginning of year		485,659,019		392,255,776	
NET POSITION, end of year		<u>\$ 579,533,045</u>		<u>\$ 485,198,662</u>	
RECONCILIATION TO ANNUAL BUDGET					
Operating revenues	\$ 2,061,957,700	\$ 614,372,730	30%	\$ 599,816,072	30%
Nonoperating revenues	647,247,600	134,782,468	21%	134,147,691	23%
Total revenues	2,709,205,300	749,155,198	28%	733,963,763	28%
Operating expenses	2,581,081,500	595,327,712	23%	570,579,502	23%
Nonoperating expenses and transfers	128,123,800	59,953,460	47%	70,441,375	47%
Total expenses and transfers	2,709,205,300	655,281,172	24%	641,020,877	24%
INCREASE IN NET POSITION	<u>\$ -</u>	<u>\$ 93,874,026</u>		<u>\$ 92,942,886</u>	

FCR 11

Office of the President
December 17, 2013

Members, Board of Trustees:

2013-14 BUDGET REVISIONS

Recommendation: that the Board of Trustees authorize and approve the following revisions to the 2013-14 Operating and Capital Budget. The budget revisions outlined below will increase the University's total budget by \$18,864,100, or .7 percent, from \$2,709,205,300 to \$2,728,069,400.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUNDS</u>			
1. Income Estimates			
Gifts, Grants, and Contracts			
State and Local Grants and			
Contracts	\$ 35,884,000	\$ 35,889,700	\$ 5,700
Sales and Services			
Departmental Sales and			
Services	17,326,700	17,322,800	(3,900)
Appropriated Fund Balances	139,593,300	157,598,100	18,004,800
Transfers	8,906,700	9,060,100	<u>153,400</u>
			\$ 18,160,000
2. Expenditures			
Provost			
College of Medicine			
Kentucky Telecare	\$ 53,000	\$ 58,700	\$ 5,700
College of Pharmacy			
Administration	3,370,900	3,367,000	(3,900)
Student Affairs			
Residence Life	3,980,700	4,134,100	153,400
University Wide			
Operating and Capital			
Projects	112,785,800	130,790,600	<u>18,004,800</u>
			\$ 18,160,000

3. Comments – The 2013-14 Operating and Capital Budget approved by the Board of Trustees on June 11, 2013 included a projected fund balance of General Funds of \$139,593,300. The actual fund balance as of June 30, 2013 was \$157,598,100, or \$18,004,800 more than estimated. The majority of the fund balance will be returned to the colleges and departments in accordance with previously approved expenditure plans. The plans include program investments, capital projects, reserves and expenses encumbered in the prior year but not yet paid. Additional expenditure authority is requested to fully recognize the available funds. The areas will be requested to submit revised expenditure plans to the President.

Transfers to the General Funds are estimated to increase by \$153,400 from the Auxiliary Funds (Housing Operations) to support Resident Assistants and House Director programs. The revenues associated with apartment rentals, as described below, were not budgeted during the FY 2013-14 budget development process.

State and Local Grants and Contracts are expected to increase \$5,700 as a result of a new contract for the Kentucky Telecare Network program in the College of Medicine. Departmental Sales and Services are expected to decrease by \$3,900 as revenues originally budgeted for the Poster Printer program in the College of Pharmacy will not be realized.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>AUXILIARY FUNDS</u>			
1. Income Estimates			
Auxiliary Enterprises			
Housing			
Departmental Sales and Services	\$ 27,158,000	\$ 29,418,600	\$ 2,260,600
Other			
Departmental Sales and Services	8,544,400	8,546,900	2,500
Transfers	13,223,100	13,069,700	(153,400)
			\$ 2,109,700
2. Expenditures			
Finance and Administration			
Facilities Management			
Housing Operations	\$ 17,969,800	\$ 20,077,000	\$ 2,107,200
Provost			
College of Medicine			
Office of Academic Affairs	0	2,500	2,500
			\$ 2,109,700

3. Comments – Departmental Sales and Services from the Housing enterprise are expected to increase a net \$2,260,600 due to unbudgeted rental income (\$3,700,000) from three leased or purchased apartment facilities (University Trails, Lexington Theological Seminary and Royal Lexington) and a reduction of anticipated income (\$1,400,000) due to lower than expected occupancy in the traditional residence halls. An additional \$153,400 will be transferred to General Funds to support Resident Assistants and House Director programs. Other Departmental Sales and Services are expected to increase \$2,500 from the Standardized Patient Care service center.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
C. <u>RESTRICTED FUNDS</u>			
1. Income Estimates			
Endowment and Investment Income	\$ 19,554,900	\$ 19,609,700	\$ 54,800
Federal Appropriations			
Agricultural Cooperative Extension Services	11,178,100	10,454,700	(723,400)
Agricultural Experiment Station	7,137,100	6,602,300	(534,800)
Sales and Services			
Departmental Sales and Services	197,200	187,200	(10,000)
UK Affiliated Corporations			
Mining Engineering Foundation, Inc.	65,900	59,800	(6,100)
Appropriated Fund Balances	72,232,000	72,045,900	(186,100)
			\$ (1,405,600)
2. Expenditures			
Provost			
Agricultural Experiment Station			
Administration	\$ 1,467,600	\$ 968,700	\$ (498,900)
Associate Director	857,200	829,400	(27,800)
Veterinary Science	5,759,300	5,751,200	(8,100)
Kentucky Tobacco Research and Development Center	2,396,100	2,200,000	(196,100)
Agricultural Cooperative Extension Service			
Administration	1,696,200	1,318,200	(378,000)
Advancement	2,200	2,100	(100)

	Approved <u>Budget</u>	Revised <u>Budget</u>	<u>Change</u>
2. Expenditures (continued)			
Provost (continued)			
Agricultural Communications and Data Center	\$ 1,638,000	\$ 1,556,100	\$ (81,900)
Agricultural Economics	393,200	385,700	(7,500)
Animal and Food Sciences	394,900	380,000	(14,900)
Associate Director	133,300	132,200	(1,100)
Biosystems and Agricultural Engineering	305,800	290,500	(15,300)
Business Center	117,800	109,700	(8,100)
Community and Economic Development	75,700	71,900	(3,800)
Community and Leadership Development	257,300	245,700	(11,600)
E-Extension Program	33,900	32,200	(1,700)
Entomology	90,200	86,200	(4,000)
Family and Consumer Sciences	1,778,200	1,789,400	11,200
Field Programs	1,910,600	1,793,100	(117,500)
Forestry	207,800	194,600	(13,200)
Horticulture	252,100	239,700	(12,400)
Plant and Soil Sciences	432,600	427,800	(4,800)
Plant Pathology	40,300	38,300	(2,000)
Veterinary Science	51,400	48,800	(2,600)
4-H Youth Development Programs	1,467,600	1,413,500	(54,100)
College of Pharmacy Administration	622,000	676,800	54,800
UK Affiliated Corporations			
UK Mining Engineering Foundation Inc.	65,900	59,800	(6,100)
			\$ (1,405,600)

3. Comments – As a result of the federal sequester, Federal Appropriations for the Agriculture Cooperative Extension Services and the Agriculture Experiment Station are expected to decline by \$1,300,000. The expenditure budgets for these programs have been reduced accordingly.

The Kentucky Tobacco Research and Development Center's anticipated fund balance was overstated during the Fiscal Year 2013-14 budget development process and will be reduced by \$186,100. In addition, Departmental Sales and Services revenue budget for the Center will decrease by \$10,000 due to a decline in rental income.

3. Comments (continued) –

The budget for the College of Pharmacy for Endowment and Investment Income will increase by \$54,800 to recognize unbudgeted endowment spending distributions. These funds will support the KMSF Endowed Chair in Pharmacy.

The Mining Engineering Foundation's fund balance and endowment income estimates were overstated in the University's original 2013-14 operating budget. The recommended budget revision includes a \$6,100 decrease in Foundation's budget.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 12

Office of the President
December 17, 2013

Members, Board of Trustees:

A RESOLUTION PROVIDING FOR THE AUTHORIZATION, ISSUANCE
AND SALE OF APPROXIMATELY \$265,000,000 GENERAL RECEIPTS
OBLIGATIONS (RENOVATE/EXPAND GATTON BUILDING, ACADEMIC
SCIENCE BUILDING AND COMMONWEALTH STADIUM PROJECT) OF
THE UNIVERSITY OF KENTUCKY, PURSUANT TO THE TRUST
AGREEMENT DATED AS OF NOVEMBER 1, 2005.

Recommendation: that the Board of Trustees approve a Resolution authorizing the issuance, in one or more installments, of approximately \$265,000,000 of University of Kentucky General Receipts Obligations (Renovate/Expand Gatton Building, Academic Science Building and Commonwealth Stadium Project), to be designated General Receipts Bonds, 2014 Series A (or such series designation that is appropriate to the order of issuance thereof, the "2014 Series A Bonds") and to be dated as of a date determined by the Treasurer.

The Resolution approves the issuance of approximately \$265,000,000 of 2014 Series A Bonds for the purpose of financing the projects identified in House Bill 7 of the General Assembly of the Commonwealth of Kentucky, 2013 Regular Session, as enacted, as (i) "Expansion of the Gatton College of Business and Economics," (ii) "Construction of the Academic Science Building", and (iii) "Renovation and Expansion of Commonwealth Stadium and Nutter Center" (the "Gatton Building, Academic Science Building and Commonwealth Stadium Project"). The amount authorized for project costs is (i) \$40,000,000 for Expansion of the Gatton Building, (ii) \$100,000,000 for the Academic Science Building, and (iii) \$110,000,000 for Renovate/Expand Commonwealth Stadium (the additional authorization relates to issuance costs, capitalized interest, and an allowance for original issue discount in the sale price for the 2014 Series A Bonds).

The Resolution also approves the offering for sale of the 2014 Series A Bonds in one or more installments, on a date or dates to be determined by the Treasurer of the University, upon the advice of the Financial Advisor to the University. The Resolution further authorizes the acceptance of the bid or bids for the sale of the 2014 Series A Bonds by the Treasurer. The exact principal amount of 2014 Series A Bonds to be sold will be determined on the date or dates of sale as the amount required to (i) pay the budgeted costs of the Gatton Building, Academic Science Building and Commonwealth Stadium Project, (ii) fund any capitalized interest determined to be appropriate by the Treasurer of the University, and (iii) pay the costs of issuing the 2014 Series A Bonds.

Pursuant to House Bill 7, the University of Kentucky Board of Trustees shall certify that these projects will not result in an increase in tuition. The Board of Trustees hereby authorizes the President to submit the required certification to the President of the Council on Postsecondary Education, the Secretary of the Finance and Administration Cabinet, and the Capital Projects and Bond Oversight Committee.

Background: The General Assembly, at its 2013 Regular Session, authorized the issuance of revenue bonds to finance the Gatton Building, Academic Science Building and Commonwealth Stadium Project.

The Board adopted a resolution approving a Trust Agreement dated as of November 1, 2005 (the "Trust Agreement") authorizing the issuance, from time to time, of Obligations (as defined in the Trust Agreement) to finance capital projects. The 2014 Series A Bonds will be issued under the Trust Agreement. The Resolution authorizes the issuance of the 2014 Series A Bonds in one or more installments, establishes certain specific terms of the 2014 Series A Bonds, provides for a competitive sale of the 2014 Series A Bonds, including approval of the forms of a Notice of Bond Sale, Official Terms and Conditions of Bond Sale, Official Bid Form and Official Statement, and authorizes Supplemental Trust Agreements and Continuing Disclosure Agreements related to the 2014 Series A Bonds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

SERIES RESOLUTION

A RESOLUTION PROVIDING FOR THE AUTHORIZATION, ISSUANCE AND SALE OF APPROXIMATELY \$265,000,000 GENERAL RECEIPTS OBLIGATIONS (RENOVATE/EXPAND GATTON BUILDING, ACADEMIC SCIENCE BUILDING AND COMMONWEALTH STADIUM PROJECT) OF THE UNIVERSITY OF KENTUCKY, PURSUANT TO THE TRUST AGREEMENT DATED AS OF NOVEMBER 1, 2005.

WHEREAS, the University of Kentucky (herein called the "University"), a public body corporate and an educational institution and agency of the Commonwealth of Kentucky, by resolution adopted by the Board of Trustees of the University on September 20, 2005 (herein called the "General Bond Resolution"), and by a Trust Agreement, dated as of November 1, 2005, as supplemented (herein called the "Trust Agreement"), comprised in part of the General Bond Resolution, has provided for the issuance from time to time of Obligations (as defined in the Trust Agreement) of the University secured by a pledge of the University's "General Receipts" (as defined in the Trust Agreement), each such issue to be authorized by a Series Resolution, as required by the Trust Agreement; and

WHEREAS, the Board has determined that it is necessary to finance the costs of the acquisition, construction, installation and equipping of the projects identified in H.B. 7 of the General Assembly of the Commonwealth of Kentucky, 2013 Regular Session, as enacted, as (i) "Expansion of the Gatton College of Business and Economics," (ii) "construction of the Academic Science Building" and (iii) "Renovation and Expansion of Commonwealth Stadium and Nutter Center" (collectively, the "Project"); and

WHEREAS, by authority of Sections 162.340 to 162.380 of the Kentucky Revised Statutes, Chapter 56 of the Kentucky Revised Statutes and Sections 58.010 to 58.140 of the Kentucky Revised Statutes (collectively, the "Act"), the University is authorized to issue its obligations to pay all or part of the costs of educational buildings, and to secure said obligations by a pledge of and lien on all or such part of the revenues and receipts of the University; and

WHEREAS, the Board of Trustees desires to provide for issuance and sale of University of Kentucky General Receipts Bonds in one or more installments and for other matters in connection therewith, by the adoption of this Resolution;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, AS FOLLOWS:

SECTION 1. Definitions and Interpretations. All words and terms defined in Section 1 of the Trust Agreement and all interpretations therein provided shall have the same meanings, respectively, and be subject to the same interpretations as therein provided where used in this Resolution, unless the context or use clearly indicates another or different meaning or intent, except that this Resolution is sometimes herein called and may be known as the "Gatton Building, Academic Science Building and Commonwealth Stadium Project Resolution," the Obligations authorized by this Resolution are referred to herein (and in the Supplemental Trust

Agreement hereby authorized) as the "Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations," and the terms "hereof," "hereby," "hereto," "herein," and "hereunder," and similar terms, mean this Resolution.

SECTION 2. Authority. This Resolution is adopted pursuant to the General Bond Resolution, the Trust Agreement, and the Act.

SECTION 3. Authorization, Designation and Purpose of Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations. It is hereby declared to be necessary to, and the Board shall, issue, sell and deliver, as provided and authorized herein, approximately \$265,000,000 principal amount of General Receipts Obligations which shall be issued as General Receipts Bonds ("Bonds"). Such Obligations shall be designated "University of Kentucky General Receipts Bonds" and shall bear such further series designation or designations as the Fiscal Officer (as defined in the Trust Agreement) deems appropriate. Such Obligations shall be issued for the purpose of (i) financing the costs of the Project and (ii) paying costs of issuance in connection with such Obligations. The proceeds from the sale of such Obligations shall be deposited and allocated as provided in Section 6 hereof.

SECTION 4. Terms and Provisions Applicable to the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations.

(a) Form, Numbering and Designation. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall be issued in the form of fully registered Obligations as approved by the Fiscal Officer, shall be numbered from 1 upwards, and shall bear such series designation as the Fiscal Officer deems appropriate.

(b) Denomination and Dates. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall be in such denominations as requested by the Original Purchaser (hereafter defined), and shall be dated on the date or dates determined by the Fiscal Officer and may be issued in installments (each installment being a part of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations herein authorized) with maturity dates approved by the Fiscal Officer, having a final maturity that is no later than thirty years from the date the respective installment of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations is issued.

(c) Interest. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall bear interest from their respective dates payable on dates approved by the Fiscal Officer, beginning on a date approved by the Fiscal Officer, at the rate or rates per annum determined pursuant to Section 5 hereof.

(d) Maturities. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall mature on such dates, in the years and in the principal amounts set forth in the maturity schedule approved by the Fiscal Officer pursuant to Section 5 hereof.

(e) Redemption Terms and Prices. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall be subject to optional and mandatory redemption on such dates and terms as approved by the Fiscal Officer, with the advice of the

Financial Advisor (hereinafter identified) and set forth in the related Supplemental Trust Agreement. If less than all of the outstanding Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations are called for redemption at one time, they shall be called in the order of the maturities as directed by the Fiscal Officer. If less than all of the outstanding Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations of one maturity are to be called, the selection of such Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations or portions of Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations of such maturity to be called shall be made by lot in the manner provided in the Trust Agreement. Notice of call for redemption of Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall be given in the manner provided in the Trust Agreement.

(f) Other Provisions. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations may, at the option of the Fiscal Officer, be secured by municipal bond insurance or similar instrument issued by a financial or insurance institution acceptable to the Fiscal Officer.

(g) Place of Payment and Paying Agents. The principal, interest and any redemption premium on registered Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall be payable by check or draft, as provided in the Trust Agreement.

(h) Execution. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall be executed in the manner provided in the General Bond Resolution.

SECTION 5. Award and Sale of Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall be offered publicly for sale upon the basis of competitive bids at such time as the Fiscal Officer, upon advice of the Financial Advisor to the University, shall designate.

The Fiscal Officer is hereby authorized and directed to cause an appropriate form or forms of a Notice of Sale of Bonds to be published in *The Lexington Herald Leader*, a legal newspaper published in the City of Lexington, Kentucky, which will afford local notice of the sale, *The Courier Journal*, a legal newspaper published in the City of Louisville, Kentucky, which will afford statewide notice of the sale, and, to the extent required by law, in *The Bond Buyer*, a financial journal published in the City of New York, New York, which is a publication having general circulation among bond buyers; and said newspapers and financial journal are hereby declared to be qualified to publish such notice for the Board within the meaning and provisions of Chapter 424 of the Kentucky Revised Statutes. Such notice shall be published in said newspapers and financial journal at least once not less than seven nor more than twenty-one days prior to the scheduled date of sale of the respective installments of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations.

The forms of Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds, Bid Form and Official Statement, shall be in such form as approved by Bond Counsel for the University, by the Financial Advisor, by the General Counsel of the University and by the Fiscal Officer.

Bidders shall be advised that the fee of the Financial Advisor for services rendered with respect to the sale of Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations is contingent upon the issuance and delivery of the respective installment of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations.

Upon the date and at the respective hour set forth for the submission and consideration of purchase bids, as provided in the instruments hereinabove approved, bids shall be reviewed as provided in such instruments. If there shall be one or more bids which conform in all respects to the prescribed terms and conditions, such bids shall be compared, and the Fiscal Officer, upon the advice of the Financial Advisor, is authorized to accept the best of such bids, as measured in terms of the lowest interest cost to the Board, as calculated in the manner prescribed in the Official Terms and Conditions of Sale of Bonds. Calculations shall be performed as are necessary to determine the exact amount of the applicable installment of Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations that are required to be issued in order to (i) pay the budgeted costs of the Project and (ii) pay the costs of issuing the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations and the final principal amount, interest rates and maturities of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall thereupon be established, as prescribed in the Official Terms and Conditions of Sale of Bonds.

SECTION 6. Allocation of Proceeds of Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations. All of the proceeds from the sale of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations and other lawfully available funds of the University shall be received and receipted for by the Fiscal Officer and shall be deposited and allocated as set forth in the applicable Supplemental Trust Agreement approved hereby.

SECTION 7. Additional Covenants with Respect to Internal Revenue Code of 1986, as Amended. This Board hereby finds and determines that all of the proceeds from the sale of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations will be needed for the purposes set forth in Section 6 hereof. This Board hereby covenants for and on behalf of the University, that, unless it is determined by the Fiscal Officer with the advice of special bond counsel to the University that compliance with the requirements of the Code cannot be accomplished with respect to a particular installment of the Obligations, it will restrict the use of the proceeds of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations in such manner and to such extent, if any, and take such other actions as may be necessary, in view of reasonable expectations at the time of issuance of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations, so that the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations will not constitute obligations the interest on which is subject to federal income taxation or "arbitrage bonds" under Sections 103(b)(2) and 148 of the Internal Revenue Code of

1986, as amended (the "Code"), and regulations prescribed under such Sections. The Fiscal Officer or any other officer of the University having responsibility with respect to issuance of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations is hereby authorized and directed to give an appropriate certificate for inclusion in the transcript of proceedings with respect to the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations, setting forth the facts, estimates and reasonable expectations pertinent under said Sections 103(b)(2) and 148 of the Code. The Fiscal Officer is hereby authorized and directed to create a Rebate Account (which shall be held by either the University or the Trustee, at the discretion of the Fiscal Officer) if the Fiscal Officer determines such account is necessary so that the University complies with the rules concerning "rebate" as set forth in the Code, as they apply to the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations.

SECTION 8. Supplemental Trust Agreement. The Chairman of the Board is authorized and directed to execute, acknowledge and deliver to the Trustee, in the name of and on behalf of the University, a Supplemental Trust Agreement pursuant to the Trust Agreement and in connection with the issuance of each installment of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations, in the prescribed form, with such changes therein not substantially adverse to the University as may be permitted by the Act and the Trust Agreement and approved by the officer executing the same on behalf of the University. The approval of such changes, and that such changes are not substantially adverse to the University, shall be conclusively evidenced by the execution of such Supplemental Trust Agreement by such officer.

SECTION 9. Official Statement. The Chairman is hereby authorized and directed to execute and deliver an Official Statement with respect to each installment of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations for the purpose of making available to potential investors the information therein contained, which describes the interest rates and other terms to be borne by and the price to be paid for the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations, as applicable, and such other information with respect to the University and the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations, necessary in the judgment of the Chairman with the advice of the Fiscal Officer and the Financial Advisors. The Chairman and the Fiscal Officer are each hereby authorized to deem each Preliminary Official Statement and final Official Statement "near final" and "final" for purposes of Securities Exchange Commission Rule 15c2-12, as amended and interpreted from time to time, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934 (the "Rule").

SECTION 10. Compliance With Rule 15c2-12. The Board of Trustees hereby agrees, to comply with the provisions of the Rule. In order to comply with the Rule, related Continuing Disclosure Agreements in the usual and customary form are hereby authorized and approved, with such modifications and additions as may be approved by the officer of the University executing the same. The Chairman and the Fiscal Officer are each hereby authorized to execute and deliver such Continuing Disclosure Agreements.

SECTION 11. Open Meetings. This Board hereby finds and determines that all formal actions relative to the adoption of this Resolution were taken in an open meeting of this Board, and that all deliberations of this Board and of its committees, if any, which resulted in formal action, were taken in meetings open to the public, in full compliance with applicable legal requirements.

SECTION 12. Further Authorization. That the proper and appropriate officers of the Board and of the University, to the extent authorized by law, are hereby authorized to execute and deliver the closing certificates, if any, with such modifications thereto as may be required by the purchasers of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations and approved by special bond counsel to the University as well as such other documents, certificates and statements as may be so required and so approved in connection with sale and delivery of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations.

SECTION 13. Provisions in Conflict are Repealed. All resolutions or parts thereof in conflict with the provisions of this Resolution are hereby rescinded to the extent of such conflict.

SECTION 14. Effective Date. This resolution shall take effect from and after its passage.

SECTION 15. Copy to be Filed with Trustee. A certified copy of this Resolution shall be filed with the Trustee

Adopted December 17, 2013.

Chairman, Board of Trustees
University of Kentucky

(SEAL)

Attest:

Secretary, Board of Trustees

CERTIFICATION

The undersigned, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certifies that the foregoing is a true copy of a Resolution adopted by the Board of Trustees of said University at a meeting held on December 17, 2013, as recorded in the official Minute Book of said Board of Trustees, which is in my custody and under my control, that said meeting was held in accordance with all applicable requirements of Kentucky law, including KRS 61.800 to 61.850, that a quorum was present at said meeting, and that the aforesaid Resolution is of record in the office of the Board, has not been modified, amended, or rescinded, and is in full force and effect at this date.

WITNESS my signature and the Seal of said Board this ____ day of _____, 201__.

Assistant Secretary, Board of Trustees
University of Kentucky

(SEAL)

SERIES RESOLUTION

A RESOLUTION PROVIDING FOR THE AUTHORIZATION, ISSUANCE AND SALE OF APPROXIMATELY \$265,000,000 GENERAL RECEIPTS OBLIGATIONS (RENOVATE/EXPAND GATTON BUILDING, ACADEMIC SCIENCE BUILDING AND COMMONWEALTH STADIUM PROJECT) OF THE UNIVERSITY OF KENTUCKY, PURSUANT TO THE TRUST AGREEMENT DATED AS OF NOVEMBER 1, 2005.

WHEREAS, the University of Kentucky (herein called the "University"), a public body corporate and an educational institution and agency of the Commonwealth of Kentucky, by resolution adopted by the Board of Trustees of the University on September 20, 2005 (herein called the "General Bond Resolution"), and by a Trust Agreement, dated as of November 1, 2005, as supplemented (herein called the "Trust Agreement"), comprised in part of the General Bond Resolution, has provided for the issuance from time to time of Obligations (as defined in the Trust Agreement) of the University secured by a pledge of the University's "General Receipts" (as defined in the Trust Agreement), each such issue to be authorized by a Series Resolution, as required by the Trust Agreement; and

WHEREAS, the Board has determined that it is necessary to finance the costs of the acquisition, construction, installation and equipping of the projects identified in H.B. 7 of the General Assembly of the Commonwealth of Kentucky, 2013 Regular Session, as enacted, as (i) "Expansion of the Gatton College of Business and Economics," (ii) "construction of the Academic Science Building" and (iii) "Renovation and Expansion of Commonwealth Stadium and Nutter Center" (collectively, the "Project"); and

WHEREAS, by authority of Sections 162.340 to 162.380 of the Kentucky Revised Statutes, Chapter 56 of the Kentucky Revised Statutes and Sections 58.010 to 58.140 of the Kentucky Revised Statutes (collectively, the "Act"), the University is authorized to issue its obligations to pay all or part of the costs of educational buildings, and to secure said obligations by a pledge of and lien on all or such part of the revenues and receipts of the University; and

WHEREAS, the Board of Trustees desires to provide for issuance and sale of University of Kentucky General Receipts Bonds in one or more installments and for other matters in connection therewith, by the adoption of this Resolution;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, AS FOLLOWS:

SECTION 1. Definitions and Interpretations. All words and terms defined in Section 1 of the Trust Agreement and all interpretations therein provided shall have the same meanings, respectively, and be subject to the same interpretations as therein provided where used in this Resolution, unless the context or use clearly indicates another or different meaning or intent, except that this Resolution is sometimes herein called and may be known as the "Gatton Building, Academic Science Building and Commonwealth Stadium Project Resolution," the Obligations authorized by this Resolution are referred to herein (and in the Supplemental Trust Agreement hereby authorized) as the "Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations," and the terms "hereof," "hereby," "hereto," "herein," and "hereunder," and similar terms, mean this Resolution.

SECTION 2. Authority. This Resolution is adopted pursuant to the General Bond Resolution, the Trust Agreement, and the Act.

SECTION 3. Authorization, Designation and Purpose of Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations. It is hereby declared to be necessary to, and the Board shall, issue, sell and deliver, as provided and authorized herein, approximately \$265,000,000 principal amount of General Receipts Obligations which shall be issued as General Receipts Bonds ("Bonds"). Such Obligations shall be designated "University of Kentucky General Receipts Bonds" and shall bear such further series designation or designations as the Fiscal Officer (as defined in the Trust Agreement) deems appropriate. Such Obligations shall be issued for the purpose of (i) financing the costs of the Project and (ii) paying costs of issuance in connection with such Obligations. The proceeds from the sale of such Obligations shall be deposited and allocated as provided in Section 6 hereof.

SECTION 4. Terms and Provisions Applicable to the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations.

(a) Form, Numbering and Designation. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall be issued in the form of fully registered Obligations as approved by the Fiscal Officer, shall be numbered from 1 upwards, and shall bear such series designation as the Fiscal Officer deems appropriate.

(b) Denomination and Dates. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall be in such denominations as requested by the Original Purchaser (hereafter defined), and shall be dated on the date or dates determined by the Fiscal Officer and may be issued in installments (each installment being a part of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations herein authorized) with maturity dates approved by the Fiscal Officer, having a final maturity that is no later than thirty years from the date the respective installment of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations is issued.

(c) Interest. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall bear interest from their respective dates payable on dates approved by the Fiscal Officer, beginning on a date approved by the Fiscal Officer, at the rate or rates per annum determined pursuant to Section 5 hereof.

(d) Maturities. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall mature on such dates, in the years and in the principal amounts set forth in the maturity schedule approved by the Fiscal Officer pursuant to Section 5 hereof.

(e) Redemption Terms and Prices. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall be subject to optional and mandatory redemption on such dates and terms as approved by the Fiscal Officer, with the advice of the Financial Advisor (hereinafter identified) and set forth in the related Supplemental Trust Agreement. If less than all of the outstanding Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations are called for redemption at one time, they shall be called in the order of the maturities as directed by the Fiscal Officer. If less than all of the outstanding Gatton Building, Academic Science Building and Commonwealth Stadium Project

Obligations of one maturity are to be called, the selection of such Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations or portions of Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations of such maturity to be called shall be made by lot in the manner provided in the Trust Agreement. Notice of call for redemption of Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall be given in the manner provided in the Trust Agreement.

(f) Other Provisions. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations may, at the option of the Fiscal Officer, be secured by municipal bond insurance or similar instrument issued by a financial or insurance institution acceptable to the Fiscal Officer.

(g) Place of Payment and Paying Agents. The principal, interest and any redemption premium on registered Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall be payable by check or draft, as provided in the Trust Agreement.

(h) Execution. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall be executed in the manner provided in the General Bond Resolution.

SECTION 5. Award and Sale of Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations. The Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall be offered publicly for sale upon the basis of competitive bids at such time as the Fiscal Officer, upon advice of the Financial Advisor to the University, shall designate.

The Fiscal Officer is hereby authorized and directed to cause an appropriate form or forms of a Notice of Sale of Bonds to be published in *The Lexington Herald Leader*, a legal newspaper published in the City of Lexington, Kentucky, which will afford local notice of the sale, *The Courier Journal*, a legal newspaper published in the City of Louisville, Kentucky, which will afford statewide notice of the sale, and, to the extent required by law, in *The Bond Buyer*, a financial journal published in the City of New York, New York, which is a publication having general circulation among bond buyers; and said newspapers and financial journal are hereby declared to be qualified to publish such notice for the Board within the meaning and provisions of Chapter 424 of the Kentucky Revised Statutes. Such notice shall be published in said newspapers and financial journal at least once not less than seven nor more than twenty-one days prior to the scheduled date of sale of the respective installments of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations.

The forms of Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds, Bid Form and Official Statement, shall be in such form as approved by Bond Counsel for the University, by the Financial Advisor, by the General Counsel of the University and by the Fiscal Officer.

Bidders shall be advised that the fee of the Financial Advisor for services rendered with respect to the sale of Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations is contingent upon the issuance and delivery of the respective installment of

the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations.

Upon the date and at the respective hour set forth for the submission and consideration of purchase bids, as provided in the instruments hereinabove approved, bids shall be reviewed as provided in such instruments. If there shall be one or more bids which conform in all respects to the prescribed terms and conditions, such bids shall be compared, and the Fiscal Officer, upon the advice of the Financial Advisor, is authorized to accept the best of such bids, as measured in terms of the lowest interest cost to the Board, as calculated in the manner prescribed in the Official Terms and Conditions of Sale of Bonds. Calculations shall be performed as are necessary to determine the exact amount of the applicable installment of Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations that are required to be issued in order to (i) pay the budgeted costs of the Project and (ii) pay the costs of issuing the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations and the final principal amount, interest rates and maturities of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations shall thereupon be established, as prescribed in the Official Terms and Conditions of Sale of Bonds.

SECTION 6. Allocation of Proceeds of Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations. All of the proceeds from the sale of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations and other lawfully available funds of the University shall be received and receipted for by the Fiscal Officer and shall be deposited and allocated as set forth in the applicable Supplemental Trust Agreement approved hereby.

SECTION 7. Additional Covenants with Respect to Internal Revenue Code of 1986, as Amended. This Board hereby finds and determines that all of the proceeds from the sale of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations will be needed for the purposes set forth in Section 6 hereof. This Board hereby covenants for and on behalf of the University, that, unless it is determined by the Fiscal Officer with the advice of special bond counsel to the University that compliance with the requirements of the Code cannot be accomplished with respect to a particular installment of the Obligations, it will restrict the use of the proceeds of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations in such manner and to such extent, if any, and take such other actions as may be necessary, in view of reasonable expectations at the time of issuance of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations, so that the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations will not constitute obligations the interest on which is subject to federal income taxation or "arbitrage bonds" under Sections 103(b)(2) and 148 of the Internal Revenue Code of 1986, as amended (the "Code"), and regulations prescribed under such Sections. The Fiscal Officer or any other officer of the University having responsibility with respect to issuance of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations is hereby authorized and directed to give an appropriate certificate for inclusion in the transcript of proceedings with respect to the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations, setting forth the facts, estimates and reasonable expectations pertinent under said Sections 103(b)(2) and 148 of the Code. The Fiscal Officer is hereby authorized and directed to create a Rebate Account (which shall be held by either the University or the Trustee, at the discretion of the Fiscal Officer) if the Fiscal Officer determines such account is necessary so that the University complies with the rules concerning "rebate" as

set forth in the Code, as they apply to the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations.

SECTION 8. Supplemental Trust Agreement. The Chairman of the Board is authorized and directed to execute, acknowledge and deliver to the Trustee, in the name of and on behalf of the University, a Supplemental Trust Agreement pursuant to the Trust Agreement and in connection with the issuance of each installment of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations, in the prescribed form, with such changes therein not substantially adverse to the University as may be permitted by the Act and the Trust Agreement and approved by the officer executing the same on behalf of the University. The approval of such changes, and that such changes are not substantially adverse to the University, shall be conclusively evidenced by the execution of such Supplemental Trust Agreement by such officer.

SECTION 9. Official Statement. The Chairman is hereby authorized and directed to execute and deliver an Official Statement with respect to each installment of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations for the purpose of making available to potential investors the information therein contained, which describes the interest rates and other terms to be borne by and the price to be paid for the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations, as applicable, and such other information with respect to the University and the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations, necessary in the judgment of the Chairman with the advice of the Fiscal Officer and the Financial Advisors. The Chairman and the Fiscal Officer are each hereby authorized to deem each Preliminary Official Statement and final Official Statement "near final" and "final" for purposes of Securities Exchange Commission Rule 15c2-12, as amended and interpreted from time to time, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934 (the "Rule").

SECTION 10. Compliance With Rule 15c2-12. The Board of Trustees hereby agrees, to comply with the provisions of the Rule. In order to comply with the Rule, related Continuing Disclosure Agreements in the usual and customary form are hereby authorized and approved, with such modifications and additions as may be approved by the officer of the University executing the same. The Chairman and the Fiscal Officer are each hereby authorized to execute and deliver such Continuing Disclosure Agreements.

SECTION 11. Open Meetings. This Board hereby finds and determines that all formal actions relative to the adoption of this Resolution were taken in an open meeting of this Board, and that all deliberations of this Board and of its committees, if any, which resulted in formal action, were taken in meetings open to the public, in full compliance with applicable legal requirements.

SECTION 12. Further Authorization. That the proper and appropriate officers of the Board and of the University, to the extent authorized by law, are hereby authorized to execute and deliver the closing certificates, if any, with such modifications thereto as may be required by the purchasers of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations and approved by special bond counsel to the University as well as such other documents, certificates and statements as may be so required and so approved in connection with

sale and delivery of the Gatton Building, Academic Science Building and Commonwealth Stadium Project Obligations.

SECTION 13. Provisions in Conflict are Repealed. All resolutions or parts thereof in conflict with the provisions of this Resolution are hereby rescinded to the extent of such conflict.

SECTION 14. Effective Date. This resolution shall take effect from and after its passage.

SECTION 15. Copy to be Filed with Trustee. A certified copy of this Resolution shall be filed with the Trustee

Adopted December 17, 2013.

Chairman, Board of Trustees
University of Kentucky

(SEAL)

Attest:

Secretary, Board of Trustees

CERTIFICATION

The undersigned, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certifies that the foregoing is a true copy of a Resolution adopted by the Board of Trustees of said University at a meeting held on December 17, 2013, as recorded in the official Minute Book of said Board of Trustees, which is in my custody and under my control, that said meeting was held in accordance with all applicable requirements of Kentucky law, including KRS 61.800 to 61.850, that a quorum was present at said meeting, and that the aforesaid Resolution is of record in the office of the Board, has not been modified, amended, or rescinded, and is in full force and effect at this date.

WITNESS my signature and the Seal of said Board this ____ day of _____, 201__.

Assistant Secretary, Board of Trustees
University of Kentucky

(SEAL)

81355v3

FCR 13

Office of the President
December 17, 2013

Members, Board of Trustees:

APPROVAL OF LEASE

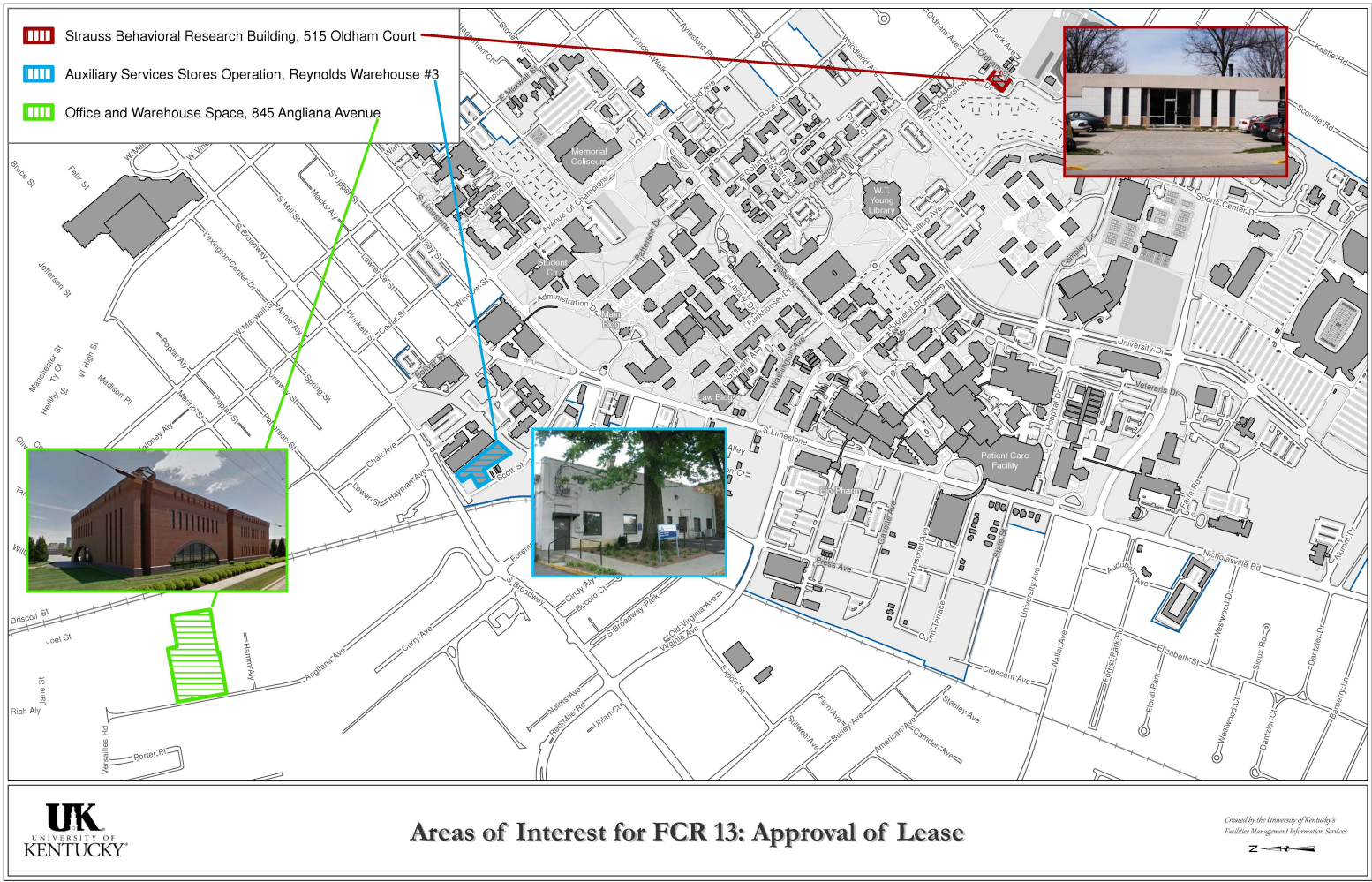
Recommendation: that the Board of Trustees authorize the Executive Vice President for Finance and Administration to negotiate and execute a lease between the University of Kentucky and Stockyard LLC/Michael and Christine Huskisson for space located at 845 Angliana Avenue, Lexington, Kentucky. The leased space will include approximately 15,000 square feet of office space and 15,700 square feet of warehouse space.

Background: As the University moves forward with the transformation of its student housing, several buildings on campus must be demolished. Due to the construction of the new Woodland Glen Housing Complex located off Woodland Avenue, the Strauss Behavioral Research Building on Oldham Court will be demolished, and its occupants, the Center for Drug and Alcohol Research, will be relocated. The Center plans to take advantage of this opportunity by consolidating this office and their Waller Avenue offices to the new leased location. The Center will occupy approximately 15,000 square feet of office space.

With the planned demolition of the Reynolds warehouses, Auxiliary Services also will be relocating its Stores operation to the same property on Angliana Avenue. The University's Stores operation provides materials management to all aspects of the University community and will be occupying approximately 15,700 square feet of warehouse space.

Pursuant to Kentucky Revised Statute §48.111, this lease has been authorized by the 2012 Session of the Kentucky General Assembly. Contingent upon approval from the Board of Trustees, the lease will be reported to the Capital Projects and Bond Oversight Committee.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____



FCR 14

Office of the President
December 17, 2013

Members, Board of Trustees:

CONSTRUCT/REPLACE/UPGRADE STUDENT HOUSING
(FIT-UP THE STUDY/COFFEE SHOP IN CHAMPIONS COURT I)

Recommendation: that the Board of Trustees approve the initiation of the fit-up of the second location of The Study with an addition of a Coffee Shop in Champions Court I residence hall capital project which is part of the University's overall Housing Transformation project.

Background: This project will fit-up 8,400 square feet of shelled space in the new housing facility, Champions Court I, for a second location of The Study with an addition of a Coffee Shop. The Study/Coffee shop will provide approximately 250 seats and numerous study spaces conducive for group interaction and the latest technology to support a collaborative academic retention focus on north campus. The scope of this project is \$3,000,000, and will be funded with fund balance, well within the existing legislative authorization.

A summary of the existing and recommended development cost by phase follows:

	Development Cost	Number of Beds	Board Approval	Online
Phase I	\$ 25,200,138	601	February 2012	August 2013
Phase II-A	\$138,026,392	2,381	*See Below	August 2014
Phase II-B	\$101,172,427	1,610	May 2013	August 2015
The Study/Coffee Shop	\$3,000,000	0	Recommended December 2013	August 2014
Total	\$267,398,957	4,592		

* Phase II-A Approved October 2012; Phase II-A Amendment Approved May 2013

Pursuant to Administrative Regulation 8.2, any capital project with an estimated cost of \$600,000 or more will be submitted to the Board for approval prior to initiation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 15

Office of the President
December 17, 2013

Members, Board of Trustees:

REPAIR/UPGRADE/EXPAND CENTRAL PLANTS
(CUP BOILER FEED WATER SYSTEM)

Recommendation: that the Board of Trustees approve the initiation of the Repair/Upgrade/Expand Central Plants (CUP Boiler Feed Water System) capital project.

Background: The Central Utility Plant (CUP) on Press Avenue, Lexington KY, houses three gas boilers that furnish steam to the campus system. The current feed water system was installed when the plant was initially opened and does not have the capacity to support the plant feed water requirements when all three boilers are on-line. This project will replace the existing feed water system with an enhanced higher capacity feed water system.

The scope of this project is \$1,000,000 and has been authorized by the 2012 Session of the Kentucky General Assembly as part of a \$62,000,000 infrastructure pool for educational and general facilities. The project will be financed with agency funds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 16

Office of the President
December 17, 2013

Members, Board of Trustees:

LEASE/PURCHASE TELEPHONE SYSTEM REPLACEMENT

Recommendation: that the Board of Trustees approve the Lease/Purchase of the Telephone System Replacement.

Background: At the September 2007 Board meeting, the Executive Vice President for Finance and Administration was delegated the responsibility for managing University debt related to the lease/purchase of equipment and information technology items costing less than \$200,000. However, acquisitions of major equipment and information technology items costing \$200,000 or more, where the method of procurement involves debt in the form of capitalized lease obligations, will be submitted to the Board of Trustees for approval.

This Telephone System Replacement will improve the campus voice infrastructure, provide enhanced licenses to accommodate healthcare requirements, deliver increased call center stability and improve communications across the University enterprise. It will also provide enhanced 911 services and mobile application features. In comparison, the lease payment represents an annual reduction of \$30,000 per year over the five-year term.

The University's debt committee has reviewed the proposed lease/purchase to assess the impact on the University's debt capacity. The debt committee has determined that the proposed lease/purchase is consistent with the University's debt policy.

The scope of this project is \$853,000, well within the \$3,000,000 scope authorized by the 2012 Session of the Kentucky General Assembly. The project will be financed with agency funds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 17

Office of the President
December 17, 2013

Members, Board of Trustees:

CONSTRUCT/EXPAND/RENOVATE
AMBULATORY CARE FACILITY - UK HEALTHCARE

Recommendation: that the Board of Trustees approve the initiation of the Construct/Expand/Renovate Ambulatory Care Facility – UK HealthCare capital project.

Background: This project will renovate the Department of Medicine Clinic located in the original section of the Kentucky Clinic Building. While there have been some minor renovations and refurbishments, there have been no major renovations to this part of the facility since its construction in 1983. This renovation will create more usable exam room space, enhance patient and staff circulation, improve waiting areas and upgrade the HVAC systems to current standards. These improvements will allow for the consolidation of clinical services resulting in more efficient operations needed to manage the increasing volume of patients.

The estimated scope of this project is \$2,700,000 and will be financed with agency funds. The project has been authorized by the 2012 Session of the Kentucky General Assembly.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 18

Office of the President
December 17, 2013

Members, Board of Trustees:

DESIGN/RENOVATE/UPGRADE HOSPITAL
FACILITIES - GOOD SAMARITAN

Recommendation: that the Board of Trustees approve the initiation of the design phase for the Design/Renovate/Upgrade Hospital Facilities – Good Samaritan capital project.

Background: This project will develop a design for the renovation of the Good Samaritan Emergency Department. The renovation contemplated will address the needs of the patient population and the clinical services offered at Good Samaritan. Specific elements will likely include expanded service capabilities, private patient rooms, improved staff support space and enhanced patient and family waiting space.

This request is for design services only with an anticipated cost of \$600,000. The entire project has been authorized by the 2012 Session of the Kentucky General Assembly. Once the design is developed, a request to initiate construction will be submitted to the Board of Trustees for consideration. Both the design and construction will be financed with agency funds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 19

Office of the President
December 17, 2013

Members, Board of Trustees:

REPAIR/UPGRADE/IMPROVE CIVIL/SITE INFRASTRUCTURE
(SOUTH CAMPUS PARKING LOT)

Recommendation: that the Board of Trustees approve the initiation of the Repair/Upgrade/Improve Civil/Site Infrastructure (South Campus Parking Lot) capital project.

Background: This project will expand the E.S. Good Barn parking lot and connect it to the parking lot currently under construction as part of the FEMA South Campus Storm Water capital project at the northwest corner of University and Alumni Drives. The Board of Trustees authorized the FEMA project at its December 2011 meeting and approved a scope increase at the June 2013 meeting. The expanded parking lot will provide parking along the University Drive transit corridor, and will create approximately 400 parking spaces.

This \$1,400,000 project has been authorized by the 2012 Session of the Kentucky General Assembly as part of a \$14,000,000 infrastructure pool for auxiliary facilities. The project will be financed with agency funds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 20

Office of the President
December 17, 2013

Members, Board of Trustees:

REPAIR/UPGRADE/IMPROVE BUILDING SHELL SYSTEMS
(2014 ANNUAL PARKING GARAGE MAINTENANCE AND RESTORATION)

Recommendation: that the Board of Trustees approve the initiation of the Repair/Upgrade/Improve Building Shell Systems (2014 Annual Parking Garage Maintenance and Restoration) capital project.

Background: Earlier this year, the University employed an engineering consultant to conduct a detailed condition assessment and structural evaluation of the campus parking structures. The report included the development of a ten-year preventative maintenance and repair program for the various campus parking structures which is necessary to maintain and extend the useful life of the facilities. The recommended work for 2013 has been completed. This project will include the recommended maintenance and repairs on eight University parking structures during 2014.

The \$1,000,000 project has been authorized by the 2012 Session of the Kentucky General Assembly and will be financed with agency funds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 22

Office of the President
December 17, 2013

Members, Board of Trustees:

APPROVAL OF THE 2012-13 ENDOWMENT MATCH PROGRAM ANNUAL REPORT

Recommendation: that the Board of Trustees accept the 2012-13 Endowment Match Program Annual Report.

Background: The Research Challenge Trust Fund (RCTF) was established in 1997 with the passage of House Bill 1, the *Kentucky Postsecondary Education Improvement Act*. The RCTF is designed to encourage research activities at the University of Kentucky and the University of Louisville. The Endowment Match Program, also known as 'Bucks for Brains', is one of several programs created as part of the trust fund. Pursuant to the Council on Postsecondary Education's Endowment Match Program Request and Reporting Procedures, the Board of Trustees must review and approve the annual report on UK's participation in the program. The annual report and additional information about the program is also available online at:

<http://www.research.uky.edu/faculty/rctf/index.html>

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

Endowment Match Program 2012-13 Annual Report



UNIVERSITY OF
KENTUCKY®



For nearly 150 years, Kentucky's flagship and land grant research university has shined a bright path to prosperity for the Commonwealth it serves by providing a comprehensive academic experience that prepares students to lead lives of meaning and purpose; conducting cutting-edge research that addresses the relevant questions of our day; and rendering sophisticated care and meaningful service.

Over the last 12 years, the "Bucks for Brains" partnership between UK's Research Challenge Trust Fund and the Commonwealth of Kentucky has been a key component in supporting the innovations made by our faculty, staff and students. Since its inception, Kentucky has pledged more than \$230 million in state funds to UK, which we matched – dollar for dollar – to support the research enterprise of our institution. We've used these funds:

- As part of the effort to build the University's endowment from \$420.8 million in Fiscal Year 2001 to \$957.8 million in Fiscal Year 2013.
- To create more than 300 endowed chairs and professorships; more than 260 fellowships; and endowments for research support.
- To support the William T. Young Library.
- As a key element of increasing our external grants and contracts from \$173.6 million in Fiscal Year 2001 to more than \$265.9 million in Fiscal Year 2013.
- And, to open state-of-the-art research space in the College of Pharmacy and Davis Marksby Building through increased flexibility with "Bucks for Brains" matching state funds.

The benefits of this partnership – spanning more than a decade – are fulfilling our commitment to Kentucky. With your help, we have catapulted our institution to new heights, including:

- The Markey Cancer Center being named among the 68 NCI-designated cancer centers in the U.S.
- UK being among 22 universities with the triple-crown of federal research awards: NCI-designation, a Clinical and Translational Sciences Award, and an Alzheimer's Disease Center.
- Opening of the Kentucky-Argonne National Battery Laboratory at UK's Center for Applied Energy Research, allowing UK to attract and attain competitive energy research grants.

Our goal is to leverage this success into a brighter future. This model – our partnership – will help us continue our ascent and, as a result, improve the lives of citizens across the Commonwealth.

Eli Capilouto


President, University of Kentucky

FOCUS ON FACULTY



Kimberly Ward Anderson

Gill Eminent Professor Chemical Engineering

In 2006, Kim Anderson was diagnosed with breast cancer, and she says she was lucky that it did not metastasize—spread to other parts of her body. “Metastasis is usually how cancer kills. My experience with breast cancer got me back to looking at molecules that enable cancer cells to stick to blood vessels.” Today, in a project funded by the Kentucky Science & Technology Corporation in collaboration with Zach Hilt (William T. Bryan Professor in Engineering), Anderson is working on magnetic nanoparticles to target and kill cancer. These nanoparticles are heated remotely and used in a cancer treatment called hyperthermia—heat up the nanoparticles and they kill the cancer. Anderson explains, “Studies have proven radiation and chemotherapy are more effective if you also apply hyperthermia. It weakens the cancer cells.” Hilt is

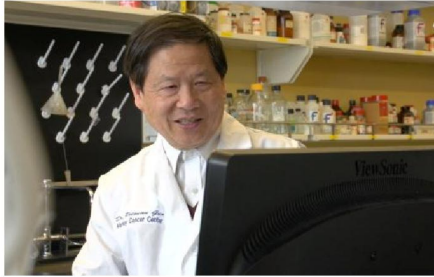
developing nanoparticle hydrogels that deliver heat and cancer drugs to a tumor at the same time. Anderson is identifying “homing” and penetrating molecules, with which to coat the nanoparticles, to destroy lung cancer tumors. Is hyperthermia a “magic bullet” for cancer? “Probably not, but it’s one more weapon in our arsenal to fight this devastating disease,” says Anderson. In 2013 Anderson won the SEC Faculty Achievement Award, which recognizes excellence in teaching and scholarship, and she became Associate Dean for Administration and Academic Affairs in the College of Engineering. Anderson came to UK in 1987 after receiving her doctorate in chemical engineering and bioengineering from Carnegie Mellon University. Her research efforts have resulted in more than 55 publications and 100 presentations at regional and national meetings. Anderson’s honors include the Research Mentor Award from the University of Kentucky Undergraduate Research Program, the Outstanding Chemical Engineering Teacher Award, the College of Engineering Henry Lutes Teaching Award, and the UK Provost’s Award for Outstanding Teaching.



Daniel W. Pack

Ashland Inc. Chair in Chemical Engineering

Dan Pack joined the University of Kentucky faculty in August 2012. Pack says, “The reason I came to UK was the opportunity to link engineering and pharmaceutical sciences. I’m looking forward to working with the Markey Cancer Center, one of the big things that drew me here.” Pack credits his research focus—engineering systems to deliver DNA, RNA and other genetic material to prevent, control and treat disease—to his tenure as an NIH postdoctoral fellow in Robert Langer’s lab at MIT. “He’s one of the ‘gods’ of drug delivery. In 1997 gene therapy was the hot new area. He presented me with a gene therapy project and let me run with it.” One of Pack’s patents came out of his time in Langer’s lab. “People had published a polymer that worked really well for gene version of that polymer, made up of naturally occurring molecules.” In total, Pack has seven patents. Several of those patents are from collaborative work at Illinois based on spherical particles that can deliver drugs via biodegradable polymers. This technology was licensed by startup company founded by one of Pack’s former grad students. During his tenure at Illinois, Pack directed 15 Ph.D. students to completion and several of these students now hold faculty positions in engineering and pharmaceutical sciences. He earned two chemical engineering degrees: a bachelor’s from the University of Illinois Urbana-Champaign and doctorate from the California Institute of Technology. Following his tenure as a postdoc at MIT, Pack returned to the University of Illinois as assistant professor of chemical and biomolecular engineering, with subsequent promotions to the associate professor and professor. Among his many honors, Pack received the National Science Foundation CAREER Award and 3M Young Investigator Award.

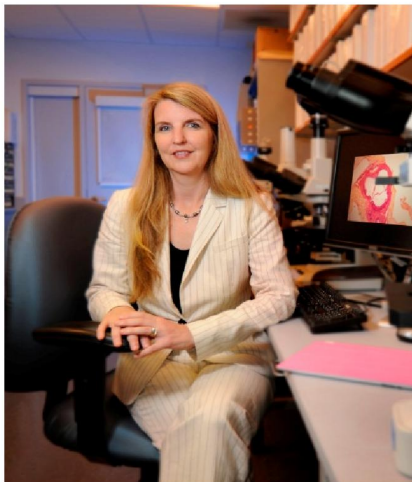


Peixuan Guo

William Farish Endowed Chair in Nanobiotechnology

Peixuan Guo came to the University of Kentucky in 2011, bringing with him a nationally recognized cancer nanobiotechnology program and wide spectrum of state-of-the-art instruments and funding. His lab has been continuously supported by grants from the National Institutes of Health, National Science Foundation and Department of Defense. Guo has joint appointments at the Markey Cancer Center and the UK College of Pharmacy. A pioneer of RNA nanotechnology, Guo organized the first international conference of RNA nanotechnology and therapeutics and currently is an editor or board

member on four nanotech journals. His research tries to answer basic questions on the mechanisms used by viruses to package DNA, using molecular “biomotors” that work similarly to a mechanical motor. Guo’s team constructed the first functional biomotor with purified components—known as the phi29 nanomotor, the most powerful biomotor constructed to date—successfully elucidated the mechanism of viral DNA packaging motors, and productively converted DNA-filled complexes into infectious virions *in vitro* using synthetic and purified recombinant components. Nanobiotechnology shows promise in the development of new treatments for cancer and other diseases by allowing targeted delivery of therapies to specific cells. Knowledge derived from Guo’s basic studies also has potential application in solving a variety of other complex problems, including the development of molecular vaccines, single-molecule sensing of the environment, diagnosis of disease, detection of pathogens, even the design of chips or arrays for computer storage. Guo’s laboratory employs diverse investigative approaches, including molecular biology, chemistry, biophysics, computer modeling, and mathematical quantification. Guo is also a high-tech entrepreneur and is the founder of two biotechnology companies.



Susan S. Smyth

Jeff Gill Professor in Cardiology

“I had a series of absolutely fantastic mentors who were incredibly influential in my career development. I feel a great need to give back,” says Susan Smyth, chief of the Division of Cardiovascular Medicine, director of the Gill Heart Institute, and director of the MD/PhD program at UK. The MD/PhD program is challenging, involving four of medical school and between three and five years of graduate school. “Our goal is the train students to tackle clinically relevant problems—through critical thinking, experimental design, and understanding the underlying principles of advanced biomedical research.” Smyth also has a vested interest in mentoring women to become physician-scientists and cardiologists—two areas where women historically have been underrepresented. “We are fortunate to have had a series of female icons in cardiology at UK—Jacqueline Noonan, Nancy Flowers, Cindy Grines among them—who serve as role models for all women in medicine,” she says. Smyth’s own research focuses on the interplay between inflammation and thrombosis, and in particular the contribution of blood and vascular cell adhesion and signaling receptors. Her team applies genetic and pharmacologic strategies in animal models of cardiovascular disease, in order to define cellular and molecular pathways and then tests those pathways in clinical studies in humans. “At the Gill Heart

Institute we really do go from molecules to mice to men. We have a superb research group that connects both the basic science and clinical arenas and that is making an impact on the national level. Our vibrant clinical research unit offers unique options for our patients that would otherwise not be available in Kentucky.” Smyth earned an MD and PhD from the University of North Carolina School of Medicine in Chapel Hill. She completed residency at the University Medical Center in Stony Brook, New York and fellowships at the Mt. Sinai Medical School in New York and at the University of North Carolina-Chapel Hill. Smyth came to UK in 2006.

FOCUS ON STUDENTS

The Endowment Match Program is not only a mechanism to recruit faculty, it also provides research opportunities and financial support for some of the most talented graduate students in the U.S. In Fiscal Year 2013, the Endowment Match Program provided graduate fellowships to 650 recipients.

FOCUS ON KENTUCKY

Bucks for Brains is a primary catalyst for the substantial growth in external research funding to the University and the Commonwealth. Since the Kentucky General Assembly passed the Postsecondary Education Improvement Act of 1997 (House Bill 1), external research grants and contracts at the University have increased from \$122 million to \$265.9 million (a 118 percent increase). (www.research.uky.edu/numbers/stats.html).

During Fiscal Year 2013, external research grants and contracts resulted in a \$367.1 million contribution to the Kentucky economy, including \$194.4 million in personal income. Externally supported research accounted for 9,427 jobs at UK and throughout Kentucky. Each dollar of out-of-state external funding for research generates approximately \$1.90 of total related expenditures.

ENDOWMENT ACTIVITY

Since its creation, the University of Kentucky has received \$233,334,000 in state funds through the Research Challenge Trust Fund for the Endowment Match Program and the Research Capital Match Program which was created by the 2008 Kentucky General Assembly to support research capital projects. Pursuant to its statutory authority, the University of Kentucky Board of Trustees allocated \$21,926,570 to the Research Capital Match Program and the remaining funds have been dedicated to the Endowment Match Program. Per the Program Guidelines for both the Endowment Match Program and the Research Capital Match Program all state funds have been fully matched by the University on a dollar-for-dollar basis.

Since 1998, the University of Kentucky has used the Endowment Match Program to:

- create 93 endowed chairs and 213 endowed professorships; and
- create 265 fellowships, enrichments and mission support projects to support research and the William T. Young Library (Table 1).

**TABLE 1: ENDOWMENT MATCH PROGRAM POSITIONS, PROGRAMS AND PROJECTS
ESTABLISHED DURING FISCAL YEAR 2012-2013 AND SINCE PROGRAM INCEPTION**

Activity	2012-2013		1998-2013	
	Number of Positions or Projects	Occupied Projects with Expenditures	Number of Positions or Projects	Occupied Projects with Expenditures
Chair	0	0	93	84
Professorships	0	2	213	163
Fellowships	0	0	127	85
Mission Support	0	0	138	87
TOTAL	0	2	571	419

ENDOWMENT MATCH PROGRAM AT A GLANCE

Endowment Match Program faculty have made substantial contributions to the University of Kentucky research portfolio. As of June 30, 2013, UK has 313 total active patents, primarily in drug development and drug design; plant biotech; equine health; medical implants; drug delivery systems; and medical devices. Thirty new patents were issued and 17 patent applications were filed in Fiscal Year 2013. UK received \$4.8 million in licensing revenue, held a total of 174 licenses, and issued 9 new licenses, three of which were to UK start-up companies. According to the 2012 report from AUTM, the Association of University Technology Managers, UK ranks 30th (tied with six other universities) in start-up companies among all public universities. In addition, UK ranks 56th among all universities and 30th among all public universities in total licensing income.

DEMOGRAPHIC INFORMATION

As of June 30, 2013, the University of Kentucky has appointed a total of 219 faculty endowed chairs and professorships under the auspices of the Endowment Match Program. Of those appointments, 172 (78.5 percent) are male, and 47 (21.5 percent) are female. Of the 876 research staff associated with the Bucks for Brains program, 404 (46.1 percent) are male and 472 (53.9 percent) are female (Table 2).

TABLE 2: GENDER OF ENDOWMENT MATCH PROGRAM FACULTY AND STAFF AS OF JUNE 30, 2013

	Male	Female	TOTAL
Chairs	61	12	73
Professorships	111	35	146
Staff	404	472	876
TOTAL	576	519	1,095

TABLE 3: RACE OF ENDOWMENT MATCH PROGRAM FACULTY AND STAFF AS OF JUNE 30, 2013

	White	Black	American Indian	Asian	Hispanic	TOTAL
Chairs	62	0	0	9	2	73
Professorships	128	4	0	12	2	146
Staff	612	51	1	162	50	876
TOTAL	802	55	1	183	54	1,095

During Fiscal Year 2013 there were 127 endowments supporting graduate fellowships. Since a single endowment may support multiple student awards, 650 graduate students received support from endowment proceeds (Table 4). There were 242 (37.2 percent) minority students among fellowship recipients.

TABLE 4: GENDER AND RACE OF ENDOWMENT MATCH PROGRAM FINANCIAL AID RECIPIENTS FOR AWARDS MADE DURING FISCAL YEAR 2013

Fellowship Recipients	White	Black	Other	Asian	Hispanic	TOTAL
Male	211	9	13	97	5	335
Female	197	21	15	69	13	315
TOTAL	408	30	28	166	18	650

AREAS OF CONCENTRATION

The Council on Postsecondary Education's 2002-04 and 2008-10 Endowment Match Program Guidelines stipulate that at least 70 percent of program funds at the research institutions must be used to support Research Challenge programs or academic disciplines contained within Kentucky's five new economy clusters: (1) human health and development; (2) biosciences; (3) materials science and advanced manufacturing; (4) information technologies and communications; and (5) environmental and energy technologies. As shown below, the University of Kentucky directed 79.2 percent of the funds to support positions, programs, or projects within the new economy clusters (Table 5).

TABLE 5: 2002-2004 AND 2008-10 ENDOWMENT MATCH PROGRAM FUNDS DEDICATED TO COUNCIL PRIORITY AREAS

	State Funds	Private Funds	Total	Percent of Total
Human Health & Development	\$43,189,851	\$43,189,851	\$86,379,001	55.3%
Biosciences	11,465,768	11,465,768	22,931,536	14.7%
Materials Science & Advanced Manufacturing	4,881,904	4,881,904	9,763,808	6.3%
Information Technologies & Communications	997,771	997,771	1,995,543	1.3%
Environmental & Energy Technologies	1,254,500	1,254,500	2,509,000	1.6%
Other	16,283,636	16,283,636	32,567,272	20.8%
TOTAL	\$78,073,430	\$78,073,430	\$156,146,860	100.0%

Pursuant to the 2002-04 and 2008-10 Endowment Match Program Guidelines, the University also directed at least 70 percent of program funds to support chairs, professorships or research scholars, and infrastructure or fellowships directly linked to the research activities of endowed chairs and professors.

FINANCIAL SUMMARY

The University has received all currently available state matching funds for the Endowment Match Program. The market value and accumulated fund balances as of June, 30, 2013, of all endowments created or expanded as part of the Endowment Match Program total \$464,197,823.

University of Kentucky
Endowment Match Program
Table 6: Endowments Established or Expanded with State Funds
For All Matched FY 2002-04 and FY 2008-10 Appropriated Funds
Reporting Period: Fiscal Year 2012 - 2013

Endowment Name	Date Estab.	Prior to 07/01/12			During Fiscal Year 2012 - 2013					Outstanding Pledges as of 06/30/13
		State Funds Received	Gifts Received	Market Value as of 07/01/12	State Funds Received	Gifts Received and Transfers ¹	Investment Earnings (Loss)	Earnings Distributed ²	Market Value as of 06/30/13	
New RCTF Funded Endowments										
Dr. Robert D. Marciani Endowed Fund in Oral & Maxillofacial Surgery ³	12/14/09	\$0	\$105,417	\$116,376	\$59,989	\$25,333	\$18,188	\$0	\$219,886	\$0
New RCTF Funded Endowments Sub-Total		\$0	\$105,417	\$116,376	\$59,989	\$25,333	\$18,188	\$0	\$219,886	\$0
Endowments Expanded										
Braden-Clark Fellowship in Parkinson's Disease Research ⁴	06/09/09	\$178,187	\$250,000	\$462,062	\$47,063	\$100,000	\$57,177	\$0	\$666,302	\$0
Cardinal Hill Rehabilitation Hospital Fellowship ⁴	06/01/06	50,000	40,000	81,088	(10,000)	0	8,776	(2,298)	\$77,566	0
Cardiovascular Imaging Professorship Fund in the College of Medicine ⁵	04/06/05	500,000	501,500	1,062,904	(500,000)	(501,500)	(61,404)	0	\$0	0
Dr. Anthony N. DeMaria Cardiovascular Imaging Chair ⁵	04/06/05	0	0	0	500,000	506,500	182,783	(76,660)	\$1,112,623	0
Endowed Professorship in Neuro-Urologic Research ⁴	02/29/04	371,215	336,216	623,983	(35,000)	0	69,762	(18,513)	\$640,233	0
Fifth and Pacific Foundation Endowment ⁵	12/31/04	125,000	125,359	221,942	0	(359)	25,755	43,648	\$290,986	0
Georgia Davis Powers Endowment Fund ⁷	01/22/07	349,658	273,076	549,201	106,922	185,716	303,563	(147,464)	\$997,938	0
James L. and Suzanne H. Elliott Professorship in Pediatrics ⁴	12/09/04	50,000	47,937	115,846	(2,063)	0	13,079	0	\$126,862	0
John Mink Professorship for Pediatric Dentistry in the College of Dentistry ³	11/25/98	524,931	517,297	1,201,544	(15,372)	(3,339)	143,430	(12,416)	\$1,313,846	0
Physical Therapy Richard McDougall Alumni Professorship ³	01/22/07	75,000	67,133	131,095	(7,867)	0	14,620	(3,877)	\$133,971	0
Thomas C. Robinson Graduate Fellowship Endowment ³	06/30/05	70,960	60,456	146,217	(10,504)	0	16,089	0	\$151,802	0
University of Kentucky Center for Research on Violence Against Women ⁷	10/03/03	182,878	259,769	517,460	(106,922)	(182,519)	(184,436)	150,314	\$193,897	0
William H. Ray Professorship ³	04/27/07	65,105	47,655	92,078	(17,450)	0	9,637	(2,489)	\$81,776	0
William R. Willard Professorship in Behavioral Science ³	05/26/05	50,000	41,205	95,652	(8,795)	1,083	10,472	0	\$98,412	0
Endowments Expanded Sub-Total		\$2,592,934	\$2,567,603	\$5,301,072	(\$59,989)	\$105,582	\$609,303	(\$69,754)	\$5,886,213	\$0
Grand Total		\$2,592,934	\$2,673,020	\$5,417,447	(\$0)	\$130,915	\$627,491	(\$69,754)	\$6,106,099	\$0

Notes to Table 6:

¹ In FY 2012 and 2013, a comprehensive review of historical accounting entries resulted in reclassification of prior year transfers. Negative amounts reported in this column reflect these reclassified transfers or transfers to other endowment funds.

² Net of reinvestment of prior year spending distributions.

³ Board Action FCR 6, December 11, 2012 - State funds transferred from multiple funds to the Dr. Robert D. Marciani Endowed Fund in Oral and Maxillofacial Surgery.

⁴ Board Action FCR 4, December 11, 2012 - State funds transferred from multiple funds to the Braden-Clark Fellowship in Parkinson's Disease Research.

⁵ Board Action FCR 2, September 11, 2012 - A request to change the name of the Cardiovascular Imaging Professorship Fund in the College of Medicine to the Dr. Anthony N. DeMaria Cardiovascular Imaging Chair.

⁶ Board Action FCR 2, June 19, 2012 - The donor requested that the fund be renamed from the Liz Claiborne Foundation to the Fifth and Pacific Foundation, no money was moved.

⁷ Board Action FCR 5, December 11, 2012 - Renaming the Multicultural Studies Endowment Fund to the Georgia Davis Powers Endowment Fund and reallocating state funds and gifts to the same endowment fund from the University of Kentucky Center on Research on Violence Against Women fund to enable the Powers Chair to reach the financial level necessary to be an endowed Chair.

University of Kentucky
Endowment Match Program
Table 7: Components of Change in Endowment Market Value
During Fiscal Year 2012 - 2013

Endowment Name	Date Estab.	Prior to 07/ 01/ 12			During Fiscal Year 2012 - 2013					Outstanding Pledges as of 06/ 30/ 13
		State Funds Received	Gifts Received	Market Value as of 07/ 01/ 12	State Funds Received	Gifts Received and Transfers ¹	Investment Earnings (Loss)	Earnings Distributed ²	Market Value as of 06/ 30/ 13	
New RCTF Funded Endowment										
Dr. Robert D. Marciani Endowed Fund in Oral & Maxillofacial Surgery & Oral Health Research ³	12/14/09	\$0	\$105,417	\$116,376	\$59,989	\$25,333	\$18,188	\$0	\$219,886	\$0
New RCTF Funded Endowment Sub-Total		\$0	\$105,417	\$116,376	\$59,989	\$25,333	\$18,188	\$0	\$219,886	\$0
Existing RCTF Funded Endowments										
Abercrombie Foundation Research - Art	01/17/01	\$400,000	\$400,000	\$715,836	\$0	0	\$82,086	(\$17,117)	\$780,804	\$0
Abercrombie Foundation Research Fund - Music	01/17/01	400,000	400,000	697,755	0	0	80,013	(16,685)	761,083	0
AIA Kentucky Endowed Professorship	11/28/00	100,000	100,025	185,586	0	0	21,356	(1,955)	204,987	0
Alan F. Bloomfield Professorship in Business	02/10/97	150,000	150,405	271,649	0	0	31,180	(8,359)	294,470	0
Albert and Lorraine Gay Visiting Scholars Endowment	04/06/99	750,000	750,000	1,408,334	0	0	161,713	(43,355)	1,526,693	0
Albert G. Clay Endowed Chair in Equine Reproduction ⁴	12/09/98	3,250,000	24,225	3,515,190	0	0	400,189	0	3,915,379	0
Alberto Mazzoleni MD Professorship/Chair in Cardiology	12/10/08	149,001	162,841	319,555	0	6,859	36,417	(13,278)	349,552	0
Albisetti Dissertation Research Fellowship	06/24/03	50,000	75,788	117,714	0	250	13,500	(1,599)	129,866	0
Alfred M. Cohen M.D. Chair in Oncology Research	03/21/03	500,000	500,000	953,309	0	0	109,423	(29,336)	1,033,396	0
Alice P. Kilpatrick Endowed Fellowship	04/09/09	200,211	256,599	514,154	0	0	57,885	(21,102)	550,937	0
Alvin L. Morris Professorship in Oral Health Research ⁵	01/20/98	200,000	200	176,302	0	0	20,236	(5,425)	191,113	0
Amerman Family Equine Research Endowment Fund	12/31/03	500,000	500,000	933,756	0	0	108,434	(28,737)	1,013,452	0
Ammon Nursery/Landscape Research Endowment	09/28/99	25,000	25,232	46,312	0	0	5,316	(1,425)	50,202	0
Ann Scott & Ralph E. Mason Endowed Research Fund in Opera Studies	11/10/01	100,000	100,000	204,141	0	0	23,709	(6,283)	221,567	0
Ann Scott Maher Mason Endowed Research Fund in Choral Studies	10/29/04	100,000	100,000	196,934	0	0	22,872	(6,062)	213,744	0
Anne Scott Maher Mason Vocal Music Scholarship Fund	12/04/95	50,000	50,000	95,645	0	0	10,978	(2,943)	103,680	0
Anthony V. Carozza Fellowship	08/31/05	50,000	50,000	113,703	0	0	12,945	0	126,648	0
Antonides-Williams Visiting Professorship	03/03/07	58,812	54,134	97,318	0	5,285	11,496	(3,118)	110,982	0
Antonio S. Turco Professorship in Biochemistry	10/18/00	218,000	218,000	404,107	0	0	46,933	(12,438)	438,601	0
Appalachian Fellowship Endowment Fund	12/08/98	1,000,000	1,031,698	1,756,883	0	140	201,658	(54,065)	1,904,616	0
Armor & Letitia Taylor Fund for Library Research Equipment	08/23/99	218,800	218,800	401,345	0	0	46,261	0	447,606	0
Arthur Andersen Endowed Professorship	11/20/00	100,000	336,327	381,571	0	0	43,797	(11,742)	413,626	0
Arthur E. Walker, Jr. Graduate Fellowship in Civil Engineering	12/31/01	300,000	300,000	564,255	0	0	64,766	(17,364)	611,657	0
Arthur Lieber, M.D. Professorship in Diagnostic Radiology	10/10/03	310,000	310,000	853,312	0	0	97,888	(78,006)	873,194	0
Arts & Sciences Endowed Professorship	05/20/08	495,217	495,417	1,140,227	0	0	128,370	(46,797)	1,221,800	0
Arts and Sciences DiSilvestro Professorship	06/01/04	50,000	50,000	90,606	0	0	10,440	0	101,046	0
Arturo Alonzo Sandoval Endowed Professorship	07/25/06	100,000	102,081	181,950	0	600	20,917	(5,611)	197,855	0
Arvle & Ellen Turner Thacker Endowment Fund	09/02/02	50,000	50,000	104,430	0	0	11,757	(4,286)	111,901	0
Ashland Inc. Chair in Chemical Engineering	01/20/99	1,000,000	1,000,000	1,886,531	0	0	216,539	(58,053)	2,045,017	0
Ashland Inc. Research Fellowship in Literacy and Leadership	12/08/04	200,000	200,000	369,858	0	0	42,453	(11,381)	400,930	0
Ashland Inc. Library Endowment	09/26/00	1,000,000	1,000,000	2,009,046	0	0	230,858	(44,027)	2,195,877	0
Ashwini Anand, M.D. Professorship in Cardiology	04/06/05	50,000	50,000	115,746	0	0	13,177	0	128,923	0
Barnhart Memorial Fellowship in Geography	10/05/05	73,295	73,345	159,832	0	0	18,196	0	178,028	0

University of Kentucky
Endowment Match Program
Table 7: Components of Change in Endowment Market Value
During Fiscal Year 2012 - 2013

Endowment Name	Date Estab.	Prior to 07/ 01/ 12			During Fiscal Year 2012 - 2013				Market Value as of 06/ 30/ 13	Outstanding Pledges as of 06/ 30/ 13
		State Funds Received	Gifts Received	Market Value as of 07/ 01/ 12	State Funds Received	Gifts Received and Transfers ¹	Investment Earnings (Loss)	Earnings Distributed ²		
Barnstable Brown Kentucky Diabetes & Obesity Center Endowed Chair	06/18/09	500,000	500,000	1,084,652	0	0	122,113	(44,516)	1,162,249	0
Barnstable Brown Kentucky Diabetes & Obesity Center Research	06/18/09	2,047,991	2,047,492	4,639,231	0	0	526,451	(55,814)	5,109,867	499
Barnstable Brown Pediatric Diabetes Laboratories Research Endowment	09/01/06	500,000	501,000	793,016	0	0	91,023	(24,403)	859,636	0
Barnstable Brown Chair in Pediatrics Diabetes Research	02/13/00	900,000	900,000	1,608,097	0	0	184,851	(5,812)	1,787,137	0
Bayer Professorship in Pulmonary Medicine	03/02/99	177,500	177,500	312,003	0	0	35,810	(9,600)	338,212	0
Beaven-Eidetik Doctoral Research Fellowship	11/16/05	50,000	50,000	104,279	0	0	12,090	(2,158)	114,211	0
Beaven-Eidetik Research Fellowship in Developmental Disabilities and Mental Retardation	08/10/01	50,000	50,300	121,024	0	0	14,032	(2,505)	132,551	0
Belinda Mason Carden and Paul Mason Professorship in HIV/AIDS Research	08/11/98	151,960	181,810	302,613	0	0	34,734	(9,312)	328,035	0
Bell Alcohol and Addictions Chair	01/20/06	852,368	870,560	1,523,052	0	26,090	174,766	(47,037)	1,676,871	0
Benjamin C. Warf, M.D., Lectureship/Fellowship in Pediatric Neurosurgery	01/09/01	100,000	100,000	174,721	0	0	20,131	0	194,852	0
Bill & Marie Cull Fellowship Endowment Fund	03/01/05	100,000	100,393	177,412	0	0	20,361	(5,459)	192,314	0
Blazie Family Professorship in Electrical and Computer Engineering	03/25/02	50,000	60,000	109,186	0	0	12,533	(3,360)	118,359	0
Bobby C. Pass Professorship	04/27/05	54,846	83,182	126,700	0	4,500	14,524	(3,927)	141,797	0
Boehringer Ingelheim Pulmonary Endowed Research Professorship	07/26/02	185,000	185,000	365,997	0	0	42,010	(11,263)	396,744	0
Bonnie Jean Cox Graduate Research Endowment in Gender and Women's Studies	12/19/01	104,210	91,910	172,799	0	0	19,909	0	192,708	12,400
Boone Graduate Fellowship	07/21/06	50,000	50,000	111,151	0	0	12,654	0	123,805	0
Braden-Clark Fellowship in Parkinson's Disease Research ⁵	06/09/09	178,187	250,000	462,062	47,063	100,000	57,177	0	666,302	0
Brown and Williamson Research Fund	04/06/99	250,000	250,000	485,584	0	0	55,759	(14,949)	526,394	0
Brown-Forman Visiting Chair in Urban Design	12/19/01	500,000	502,051	927,918	0	0	106,508	(28,554)	1,005,872	0
Brown-McFarlan Endowed Fund	12/29/10	82,266	107,946	191,889	0	15,975	22,273	0	230,137	0
Bruce A. Lucas, M.D. Endowment for Urologic Research	03/07/01	70,000	70,074	123,340	0	0	14,157	(3,795)	133,701	0
Buckhorn Professor of Social Work	06/01/99	150,000	150,025	277,059	0	0	31,801	(8,526)	300,335	0
Buck-Kentucky Lung Cancer Research Chair Endowment Fund	10/22/02	300,000	792,494	1,099,260	0	0	126,175	(33,827)	1,191,608	0
Burt L. Sims Graduate Fellowship	10/30/03	50,000	50,000	93,121	0	0	10,687	(2,865)	100,943	0
Bush-Holbrook Endowed Chair/Professorship in the English Department	09/25/06	574,788	574,789	1,093,436	0	0	126,294	(14,540)	1,205,191	0
Bush-Holbrook Graduate Fellowship in the College of Arts and Sciences	09/25/06	324,399	324,399	691,063	0	0	78,691	(850)	768,905	0
Byron Young Fellowship for Neuroscience Research	05/27/09	50,000	50,000	111,879	0	0	12,737	0	124,616	0
Byron Young, MD Research Endowment for Neurosurgery Residents	08/10/09	100,000	98,500	222,958	0	33,750	26,940	0	283,648	20,000
C. M. Gatton Endowment Fund	05/04/99	1,024,500	1,025,180	2,013,699	0	0	231,136	(61,967)	2,182,869	0
C. M. Gatton Endowment Fund - Chairs	05/04/95	6,000,000	6,000,100	11,975,551	0	0	1,374,575	(368,518)	12,981,607	0

University of Kentucky
Endowment Match Program
Table 7: Components of Change in Endowment Market Value
During Fiscal Year 2012 - 2013

Endowment Name	Date Estab.	Prior to 07/ 01/ 12			During Fiscal Year 2012 - 2013					Outstanding Pledges as of 06/ 30/ 13
		State Funds Received	Gifts Received	Market Value as of 07/ 01/ 12	State Funds Received	Gifts Received and Transfers ¹	Investment Earnings (Loss)	Earnings Distributed ²	Market Value as of 06/ 30/ 13	
C. M. Gattton Endowment Fund - Faculty Research & Development	05/04/95	2,000,000	2,000,000	4,069,158	0	0	467,065	(125,218)	4,411,005	0
C. M. Gattton Endowment Fund - Professorship	05/04/95	2,000,000	2,000,000	3,929,749	0	0	451,063	(120,928)	4,259,884	0
C. Michael Carver Endowment Fund - Fellowship Fund	09/11/00	750,000	751,039	1,247,144	0	0	143,149	(38,378)	1,351,916	0
Cardinal Hill Rehabilitation Hospital Chair in Neurorehabilitation	06/06/99	1,000,000	1,000,150	1,721,824	0	0	197,632	(52,984)	1,866,472	0
Cardinal Hill Rehabilitation Hospital Chair in Spinal Cord Injury Rehabilitation	06/06/99	500,000	500,000	842,198	0	0	96,668	(25,916)	912,949	0
Cardinal Hill Rehabilitation Hospital Fellowship ³	06/01/06	50,000	40,000	81,088	(10,000)	0	8,776	(2,298)	77,566	0
Cardinal Hill Rehabilitation Hospital Professorship in Traumatic Brain Injury	06/06/99	500,000	500,000	852,687	0	0	97,872	(26,239)	924,319	0
Cardiology Associates of Kentucky Fellowship Fund	09/13/01	137,500	137,500	253,640	0	0	29,113	(7,805)	274,948	0
Cardiovascular Imaging Professorship Fund in the College of Medicine ⁷	04/06/05	500,000	501,500	1,062,904	(500,000)	(501,500)	(61,404)	0	0	0
Cardiovascular Research and Education Fund	07/26/06	50,000	62,200	114,931	0	0	13,242	0	128,173	0
Carmen L. Buck Professorship/Chair in Oncology Research Endowment Fund	10/10/02	429,453	429,453	877,184	0	0	100,685	(26,993)	950,875	0
Carol Lee Robertson Endowed Professorship in Literacy	02/04/99	160,000	246,400	379,219	0	10,000	43,936	(11,832)	421,323	0
Caroline Frances Ransdell Research Professorship	03/06/01	51,179	51,179	85,768	0	0	9,845	(2,639)	92,974	0
Carroll M. Redford, Jr. Scholarship Endowment	08/15/06	50,000	69,600	105,488	0	2,525	12,359	(3,288)	117,084	0
Center for Aluminum Technology Endowment Fund	06/29/99	1,775,000	1,775,000	3,475,333	0	0	403,622	(106,969)	3,771,985	0
Center for Financial Services Research Endowment	08/28/00	50,000	50,000	89,597	0	0	10,284	(2,757)	97,124	0
Center for Real Estate Studies Endowment	08/28/00	50,000	50,000	80,286	0	0	9,215	(2,471)	87,031	0
Center for Sports Marketing Endowment Fund	06/15/00	75,000	75,000	104,947	0	0	11,933	24,086	140,966	0
Center on Aging Foundation Fellowship in Gerontology	02/01/99	75,000	75,400	135,632	0	100	15,566	(4,174)	147,125	0
Center on Membrane Sciences Graduate Student Mentoring Endowment	05/25/99	25,000	27,750	51,864	0	0	5,990	(724)	57,130	0
Charles D. Lucas, Jr. Endowment for Parkinson's Disease Research	02/12/04	50,000	53,804	125,627	0	0	14,302	0	139,929	0
Charles H. Nicholson, M.D. Residency Fellowship in General Surgery	12/26/02	62,100	87,756	155,297	0	0	17,484	(6,374)	166,407	0
Charles Parker Graves Endowed Professorship Fund	05/15/01	57,335	63,730	108,054	0	0	12,436	(2,604)	117,886	0
Charles S. Cassis Professorship of Law	07/19/00	102,984	103,485	173,566	0	0	19,922	(5,341)	188,148	0
Charles T. Wethington, Jr. Graduate and Professional Fellowship	12/09/98	500,000	500,000	915,429	0	0	105,074	(28,170)	992,334	0
Charles W. Hammond Professorship in Chemistry	05/12/04	340,000	349,405	876,709	0	0	99,809	0	976,518	0
Charlotte R. Schmidlapp Fellowship in Gerontology	01/05/01	150,000	150,000	259,507	0	0	29,787	(7,986)	281,308	0
Charlotte R. Schmidlapp Fellowship in Gynecologic Oncology	09/10/02	100,000	100,000	195,442	0	0	22,433	(6,014)	211,861	0
Chellgren Endowed Chair in Corporate Strategy	06/02/00	500,000	500,153	891,306	0	0	102,306	(27,428)	966,184	0
Chellgren Fund for Excellence	01/05/05	2,250,000	2,255,850	4,111,314	0	1,000	471,839	(126,507)	4,457,646	0
Chellgren Lecturer Series	05/05/99	100,000	100,000	174,940	0	0	20,080	(5,383)	189,637	0
Children's Miracle Network Research Chair #3	10/06/04	1,000,000	1,000,000	2,074,023	0	0	233,495	(85,120)	2,222,398	0
Children's Miracle Network Research Chair in Pediatrics, No.2	12/08/98	500,000	504,303	1,014,847	0	0	116,486	(31,229)	1,100,103	0
Class of 1949 Fellowship Endowment Fund	11/13/00	50,000	93,241	122,546	0	0	14,066	(3,771)	132,841	0

University of Kentucky
Endowment Match Program
Table 7: Components of Change in Endowment Market Value
During Fiscal Year 2012 - 2013

Endowment Name	Date Estab.	Prior to 07/ 01/ 12			During Fiscal Year 2012 - 2013					Outstanding Pledges as of 06/ 30/ 13
		State Funds Received	Gifts Received	Market Value as of 07/ 01/ 12	State Funds Received	Gifts Received and Transfers ¹	Investment Earnings (Loss)	Earnings Distributed ²	Market Value as of 06/ 30/ 13	
Clay Lancaster Endowed Professorship in Historic Preservation	03/07/00	250,500	276,270	525,043	0	(330)	61,543	(16,395)	569,860	0
Clifford J. Swauger, Jr. Summer Graduate Fellowship	07/28/05	59,160	59,565	116,389	0	0	13,494	(4,000)	125,883	0
College of Law 2002 Memorials Fellowship Endowment Fund	12/31/03	50,922	63,815	105,670	0	12,370	12,539	(3,463)	127,115	0
College of Nursing Millennium Fund	12/05/00	95,968	96,894	174,413	0	698	20,043	(5,378)	189,777	0
College of Pharmacy Parent & Alumni Scholarship/Fellowship Endowment Fund	06/22/02	51,454	57,075	119,622	0	0	13,468	(4,910)	128,180	0
Commonwealth Professorship of Minimally Invasive Surgery	09/25/98	100,000	105,527	180,434	0	(5,527)	28,591	(7,905)	195,592	0
CompEd, Inc. Fellowship Fund	06/06/02	50,000	100,000	139,628	0	10,000	15,813	(4,297)	161,145	0
Constance Wilson Endowed Professorship in Mental Health	06/24/99	50,000	83,873	126,294	0	50	14,498	(3,887)	136,955	0
Cottrill-Rolfes Chair in Catholic Studies	10/28/05	500,000	501,000	890,965	0	0	103,825	(54,709)	940,081	0
Cralle Foundation/Joan Cralle Day Endowed Fellowship Fund	12/11/01	300,000	300,000	564,282	0	0	64,769	(17,364)	611,687	0
Cralle-Day Children at Risk Endowment	07/31/06	500,000	500,000	887,404	0	0	100,096	55,192	1,042,693	0
Creed Fulton Smith Endowed Fellowship Fund	01/31/02	50,000	50,000	97,711	0	0	11,215	(3,007)	105,920	0
CSX Transportation Graduate Fellowship Fund	02/23/01	50,000	53,538	95,874	0	0	11,135	(2,951)	104,058	0
Cynthia Shaw Crispen Chair in ALS Disease Research	11/15/99	500,000	500,325	838,774	0	0	96,274	(25,811)	909,238	0
Databeam Professorship in Electrical & Computer Engineering	11/05/01	80,000	85,000	154,352	0	0	17,717	(4,750)	167,319	0
David B. Stevens, M.D. Medical Fellowship Endowment	02/09/07	50,000	50,000	79,363	0	0	9,166	(1,317)	87,213	0
David B. Stevens, M.D. Professorship or Chair in Orthopaedic Surgery	04/12/02	50,000	50,000	136,524	0	0	15,542	0	152,066	0
David H. and Barbara Schlund Kaufman Professorship	06/05/00	50,000	55,021	97,052	0	0	11,272	(2,987)	105,336	0
Della Marie Johnson Endowed Research Fund	03/10/06	50,000	56,332	88,283	0	1,858	10,194	(2,744)	97,591	0
Deloitte Foundation Professorship in Accounting	01/17/07	121,525	163,025	317,725	0	750	35,819	(13,057)	341,237	0
Delta Dental Plan of Kentucky Clinical Research Center Endowment	08/21/02	750,000	750,000	1,590,593	0	0	179,077	(65,282)	1,704,388	0
Dennis M. Tekrony Fund for Seed Science Research	03/31/08	50,929	49,179	101,273	0	0	11,624	(3,116)	109,780	1,750
DePuy Neuro Fellowship	06/19/00	500,000	501,608	842,993	0	0	96,849	(30,070)	909,771	0
DePuy Neuro/Spine Surgery Fund	06/19/00	500,000	500,000	848,528	0	0	97,396	(26,111)	919,812	0
DePuy Orthopaedic Fellowship	06/19/00	235,000	235,000	420,121	0	0	48,406	0	468,528	0
Dermoniti F. & Regina M. Dawson Graduate Fellowship in Education	02/20/01	50,000	50,300	85,872	0	0	9,857	(2,642)	93,086	0
Development Dimensions International Professorship in Behavioral Health	03/17/00	100,000	100,050	174,441	0	0	20,023	(5,368)	189,095	0
Division of Urology Leadership Endowment for Resident Research and Education	04/27/10	240,000	242,300	509,300	0	0	57,338	(20,902)	545,735	0
Don Corum and National Nursery Products/Landscape Research Endowment	05/04/99	50,000	50,000	91,825	0	0	10,544	(2,827)	99,542	0
Donald L. and Gertrude L. Lester Professorship in Mechanical Engineering	03/18/02	100,000	100,000	198,229	0	0	23,022	(6,101)	215,149	0
Doris Nowak and William E. Stilwell, III Graduate Fellowship in Educational and Counseling Psychology	08/15/06	50,000	57,780	98,925	0	6,000	11,506	(3,129)	113,302	0

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Table 7: Components of Change in Endowment Market Value
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Endowment Name	Date Estab.	Prior to 07/ 01/ 12			During Fiscal Year 2012 - 2013					Outstanding Pledges as of 06/ 30/ 13
		State Funds Received	Gifts Received	Market Value as of 07/ 01/ 12	State Funds Received	Gifts Received and Transfers ¹	Investment Earnings (Loss)	Earnings Distributed ²	Market Value as of 06/ 30/ 13	
Dorothy A. Miller Research Professorship in Social Work Education	01/27/00	100,000	100,705	175,994	0	0	20,201	(5,416)	190,780	0
Dorothy Leathers Graduate Fellowship Fund	02/23/99	25,000	38,200	58,784	0	1,000	6,858	(1,500)	65,142	0
Dorothy Luther Nursing Fellowship	06/25/03	50,759	52,759	105,209	0	0	12,076	(3,238)	114,047	0
Douglas A. and Carole A. Boyd Professorship in Communication	05/06/11	100,674	100,674	192,690	0	0	21,695	(7,909)	206,476	0
Douglas J. Von Allmen Center for Entrepreneurship & E-Commerce	09/19/00	2,000,000	2,002,000	3,402,902	0	0	390,591	(104,716)	3,688,777	0
Douglas J. Von Allmen School of Accountancy Endowed Chair	09/19/00	2,000,000	2,005,500	3,410,517	0	0	391,465	(104,950)	3,697,032	0
Dr. and Mrs. C. Milton Coughenour Sociology Professorship in Agriculture and Natural Resources	05/17/00	100,000	100,000	177,485	0	0	20,372	(5,462)	192,395	0
Dr. Anthony N. DeMaria Cardiovascular Imaging Chair ⁷	05/09/05	0	0	0	500,000	506,500	182,783	(76,660)	1,112,623	0
Dr. Claire Louise Caudill Professorship in Family Medicine	09/24/96	741,880	742,100	1,583,290	0	0	181,061	(158,253)	1,606,098	0
Dr. Donald T. Frazier Professorship	01/17/03	50,000	50,000	129,268	0	0	14,715	0	143,983	0
Dr. E. Vernon Smith and Eloise C. Smith Professorship in Macular Degeneration	05/11/06	100,000	100,000	197,765	0	0	22,786	0	220,552	0
Dr. E. Vernon Smith and Eloise C. Smith Alzheimer's Research Endowed Chair Fund	05/11/06	2,000,000	2,000,000	3,488,602	0	4,200,000	310,777	(107,352)	7,892,027	0
Dr. E. Vernon Smith and Eloise C. Smith Macular Degeneration Endowed Chair Fund	05/11/06	2,245,133	2,250,000	4,053,961	0	0	465,318	(124,750)	4,394,529	0
Dr. E. Vernon Smith and Eloise C. Smith Professorship in American History	05/11/06	100,000	100,000	182,110	0	0	20,983	0	203,093	0
Dr. E. Vernon Smith, Bertice Henry Smith and Bertice Harold Smith Professorship in Nursing	05/11/06	100,000	100,000	182,271	0	0	20,921	(5,609)	197,583	0
Dr. Fred W. Zechman Professorship	01/17/03	50,000	50,000	116,415	0	0	13,264	(502)	129,176	0
Dr. Jamie Jacobs Medical Student Scholarship	06/12/02	50,000	50,000	88,933	0	0	10,208	(2,737)	96,404	0
Dr. Judith Ann Rowell Pachtman Professorship or Chair in Obstetrics & Gynecology	01/19/01	50,000	51,000	141,933	0	0	16,158	0	158,092	0
Dr. Laurie L. Humphries Endowed Chair in Child Psychiatry	06/30/10	500,000	1,250,000	1,828,918	0	0	210,419	(49,842)	1,989,495	0
Dr. Louis L. Boyarsky Professorship	01/17/03	50,000	50,000	106,455	0	0	12,408	(13,517)	105,346	0
Dr. Richard R. & Dorothy Hillenmeyer Crutcher Scholarship Fund	02/01/01	200,000	200,000	378,411	0	0	43,435	(11,645)	410,200	0
Dr. Robert and Linda Granacher Scholarship	03/30/11	50,132	50,133	98,192	0	0	11,179	0	109,371	0
Dr. Robert D. Hayes Endowed Graduate Fellowship Fund	06/01/01	50,000	51,292	100,533	0	0	11,676	(3,094)	109,115	0
Dr. Shih-Chun Wang Professorship in Physiology	10/27/00	50,000	51,077	97,062	0	0	11,346	(7,621)	100,788	0
Dr. Thomas L. Skinner Professorship in Physiology	06/21/00	50,000	53,000	129,652	0	0	14,760	0	144,412	0
Dr. William A. & Ann T. Withington Graduate Student Support Fund	10/30/00	68,510	69,410	165,959	0	150	18,904	0	185,013	0
Dr. William Jack Carey Professorship in Emergency Medicine	01/09/01	50,000	51,814	135,808	0	0	15,461	0	151,269	0
Drs. Barbara and James Holsinger Professorship in Anatomy	03/15/01	115,000	115,000	221,034	0	0	25,371	(6,802)	239,603	0
DuMez Faculty Research Development Fund	12/30/07	50,000	56,000	102,602	0	0	11,777	(3,157)	111,221	0

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Endowment Name	Date Estab.	Prior to 07/ 01/ 12			During Fiscal Year 2012 - 2013					Outstanding Pledges as of 06/ 30/ 13
		State Funds Received	Gifts Received	Market Value as of 07/ 01/ 12	State Funds Received	Gifts Received and Transfers ¹	Investment Earnings (Loss)	Earnings Distributed ²	Market Value as of 06/ 30/ 13	
E. Preston Hicks Professorship for Orthodontics & Oral Health Research	09/30/04	477,001	477,168	947,689	0	4,667	109,116	(14,689)	1,046,782	0
E. Vernon Smith & William E. Smith Endowed Faculty Fellowship in the College of Business and Economics	05/11/06	100,000	100,000	177,277	0	0	20,348	(5,455)	192,170	0
E.A. Ford, III Endowment for ALS Research	03/26/04	50,000	50,000	105,476	0	0	12,008	0	117,484	0
Earl F. & Jean M. Lockwood Professorship of International Commerce and Entrepreneurship	09/28/00	200,000	200,000	349,522	0	0	40,119	(10,756)	378,884	0
Earl Platt Stone Endowed Professorship in Pharmacy Practice and Science	09/12/02	142,014	143,995	323,974	0	386	37,425	(13,239)	348,547	0
Earle C. Clements Graduate Research Fellowship	03/14/07	125,000	100,000	203,782	0	25,000	24,718	(6,763)	246,738	0
Earth and Environmental Sciences Endowed Alumni Professorship	11/07/06	55,000	67,391	121,030	0	3,046	14,091	0	138,168	0
Edith D. Gardner Chair in Cancer Research	11/27/89	789,700	789,700	1,833,199	0	0	210,715	(94,371)	1,949,542	0
Elise White Boyd Graduate Fellowship	12/09/04	125,000	139,244	230,637	0	0	26,471	(7,097)	250,012	0
Boise C. Smith and Dr. E. Vernon Smith Medical Scholarship Endowment	05/11/06	800,000	800,000	1,424,665	0	0	163,524	(43,840)	1,544,349	0
Emery A. Wilson, M.D. Dean's Chair	05/31/07	99,037	101,974	215,624	0	1,150	24,585	0	241,359	0
Endowed Chair in Neuroscience	12/31/01	500,066	500,066	896,567	0	0	102,910	(27,590)	971,887	0
Endowed Chair in Neurosurgery	05/19/99	500,000	500,000	932,159	0	0	106,995	(28,685)	1,010,468	0
Endowed Chair in Orthopaedic Surgery Research	06/23/04	500,000	524,900	1,198,931	0	0	139,773	(153,710)	1,184,994	0
Endowed Chair/Distinguished Scholar in Rural Health Policy	09/03/01	1,000,000	1,000,000	2,015,103	0	0	233,737	(48,204)	2,200,636	0
Endowed Professorship in Cardiology	04/19/00	50,000	149,755	170,626	0	0	19,838	(6,615)	183,849	0
Endowed Professorship in Forestry and Environmental Sciences	12/31/01	500,066	500,066	974,376	0	0	111,886	(29,996)	1,056,266	0
Endowed Professorship in Neuro-Urologic Research ⁶	02/29/04	371,215	336,216	623,983	(35,000)	0	69,762	(18,513)	640,233	0
Ernst & Young Professorship of Accountancy	08/12/87	153,065	274,885	428,944	0	7,500	49,369	(13,285)	472,527	0
Ethelyn O'Neal Endowed Fellowship Fund	05/15/06	900,000	1,003,343	1,819,056	0	0	208,791	(55,976)	1,971,871	0
Evelyn Anderson Edwards Professorship	10/29/04	250,000	250,000	454,869	0	0	52,210	(13,998)	493,081	0
Everett H. Metcalf, Jr. Professorship of Law	06/19/01	200,000	200,000	388,019	0	0	44,939	(10,000)	422,958	0
Fifth and Pacific Foundation Endowment ⁵	12/31/04	125,000	125,359	221,942	0	(359)	25,755	43,648	290,986	0
Floyd H. Wright Research Endowment	12/08/98	1,000,000	1,000,000	1,927,349	0	0	221,224	(59,309)	2,089,264	0
Frank J. Derbyshire Research Professorship Endowment	12/06/99	100,000	128,858	234,160	0	0	26,363	(9,611)	250,913	0
G. Dan and Virginia Martin Pediatric Research Fund	12/31/08	100,000	70,000	185,182	0	20,000	22,485	(8,203)	219,465	10,000
Gaines Center Lafayette Seminar Endowment	03/18/04	111,230	137,026	232,803	0	826	26,756	(7,178)	253,207	0
Gallion & Baker Professorship	08/16/00	100,000	100,350	167,916	0	0	19,274	(5,167)	182,022	0
Gartner Group Chair in Network Engineering	09/15/98	900,000	900,000	1,708,953	0	0	196,157	(52,589)	1,852,520	0
Gary B. Knapp Endowed Chair in Arts Administration	06/24/99	500,000	500,000	905,774	0	0	103,966	(27,873)	981,867	0
Gary B. Knapp Endowment in Support of Business Education and the Arts	06/24/99	1,000,000	1,000,000	1,798,791	0	0	206,468	(55,353)	1,949,906	0
George A. Digenis Professorship/Chair in Drug Design and Discovery in Pharmaceutical Sciences	03/12/02	68,650	84,750	154,104	0	0	17,897	(4,743)	167,259	0
George A. Young Endowment for Stroke Research	08/02/02	1,479,600	1,968,415	5,048,271	0	0	574,720	0	5,622,990	0
George and Betty Blanda Professorship in Education	01/25/00	100,000	100,000	172,650	0	0	19,817	(5,313)	187,154	0

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George E. Mitchell, Jr. Graduate Fellowship Fund for Animal Sciences	02/17/99	120,000	131,003	234,461	0	40	26,914	(7,216)	254,199	0
George Schwert Professorship in Biochemistry	10/18/00	235,000	235,000	598,747	0	0	69,811	0	668,558	0
Georgia Davis Powers Endowment Fund ⁶	01/22/07	349,658	273,076	549,201	106,922	185,716	303,563	(147,464)	997,938	0
Gerald L. Nichols Fellowship Fund	12/22/98	125,000	125,000	220,957	0	0	25,362	(6,799)	239,519	0
Geraldine L. Cosby Scholarship	12/09/98	45,000	45,000	82,039	0	0	9,417	(2,525)	88,931	0
Gertrude F. Ribble Academic Fund	04/29/98	1,000,000	1,152,209	2,212,546	0	0	250,975	(123,366)	2,340,155	0
Gifford Blyton Professorship in Oral Communication and Forensics	06/04/02	197,926	200,751	389,693	0	475	44,760	(12,004)	422,924	0
Gill Foundation Chair in Preventive Cardiology ⁵	01/20/98	0	1,000,000	860,199	0	0	99,112	0	959,311	0
Gill Foundation Professorship in Interventional Cardiology ⁵	12/19/97	0	200,225	167,478	0	0	19,223	(5,154)	181,547	0
Gill Heart Institute Endowment ⁵	12/26/02	0	1,168,759	1,123,249	0	0	129,421	0	1,252,670	0
Gloria W. Singletary Chair in Women's Health	05/29/02	500,150	502,300	990,264	0	0	113,664	(30,473)	1,073,455	0
Good Samaritan Chair in Health Education, Research, Health Promotion and Preventive Services	03/02/00	500,000	500,000	927,997	0	0	107,777	(28,563)	1,007,210	0
Good Samaritan Professorship or Chair in Community Health Nursing	09/19/02	500,000	500,000	891,181	0	0	102,291	(27,424)	966,048	0
Gordon L. Hyde, M.D.Chair in Vascular Surgery	12/20/96	189,445	588,332	1,006,698	0	0	117,037	(39,029)	1,084,706	0
Graduate Studies and Research Endowed Fund	09/10/02	130,000	130,000	241,092	0	0	27,789	(462)	268,419	0
Gregory M. Jasko Cardiovascular Research Endowment in the Division of Cardiology	07/01/03	142,000	144,036	261,662	0	0	30,168	(1,099)	290,731	0
Guy M. Davenport Endowed Professorship in English	11/16/05	50,000	110,000	166,965	0	0	19,328	(15,000)	171,293	0
H. Bruce Price Professorship in Agricultural Economics	05/19/94	50,000	60,734	130,799	0	0	14,726	(5,368)	140,156	0
H. E. Katterjohn Professorship in Engineering	12/01/03	250,000	251,811	482,121	0	0	55,339	(14,836)	522,624	0
H. Lester Reynolds Professorship in Engineering	11/13/02	100,000	104,000	212,924	0	0	23,972	(8,739)	228,157	0
Hajja Razia Sharif Sheikh Islamic Studies Professor	02/14/11	100,000	100,000	192,951	0	0	21,723	(7,919)	206,755	0
Halcomb Endowed Fellowship in Medicine and Engineering	10/21/08	500,000	351,933	859,209	0	100,000	102,406	(28,176)	1,033,439	48,067
Hardin-Drnovich-Huang Professorship in Geotechnical Engineering	07/21/06	156,205	175,931	287,583	0	500	33,048	(8,862)	312,269	0
Harold R. Burton Endowed Professorship in Plant Biochemistry	05/01/00	618,750	618,750	1,165,148	0	0	133,738	(35,855)	1,263,031	0
Harper Industries, Inc. Graduate Fellowship Endowment Fund	10/14/99	200,000	200,000	398,600	0	0	46,341	(15,454)	429,487	0
Harry B. Kostenbauder Professorship in Pharmacy ⁵	04/24/02	200,000	34,825	223,696	0	0	25,716	(6,617)	242,795	0
Harry E. Wheeler Chair in Plant Mycology	09/28/00	500,000	500,000	853,932	0	0	98,016	(26,278)	925,670	0
Helen Edwards Abell Chair in Historic Preservation	03/15/00	500,937	502,592	908,628	0	20	104,295	(27,961)	984,982	0
Helen Thacker Graduate Fellowship in Educational and Counseling Psychology	06/14/00	100,000	100,000	180,258	0	0	20,935	(5,548)	195,645	0
Hellmann Family Fund in the Division of Infectious Diseases	02/07/02	50,000	50,000	88,766	0	0	10,189	(2,732)	96,223	0
Hellmann Family Scholarship Endowment Fund	04/11/08	50,000	50,000	102,008	0	0	11,708	(3,139)	110,577	0
Hildegard Rose Shapiro Endowed Equine Research Fund	03/20/09	500,000	520,597	1,116,513	0	0	125,701	(45,824)	1,196,390	0
Holbrook Law Library Endowment	05/24/01	150,000	176,517	334,086	0	3,896	40,269	(7,394)	370,857	0

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Infectious Diseases Research Professorship in Internal Medicine	05/10/02	50,000	50,000	99,114	0	0	11,376	(3,050)	107,440	0
Institute of Rural Journalism & Community Issues Endowed Fund for Excellence	03/28/05	722,097	723,798	1,114,396	0	3,420	127,981	(34,334)	1,211,463	0
Isaac T. Stewart Fellowship Fund	12/15/05	50,000	50,000	78,990	0	0	9,067	(2,431)	85,626	0
J. C. Ferm Graduate Fellowship Fund	11/13/00	50,000	69,694	118,869	0	250	13,706	0	132,825	0
J. Gary Maynard, Jr. Professorship for Periodontology and Oral Health Research	11/10/05	300,259	302,008	631,501	0	3,200	73,817	0	708,518	0
J. J. B. Hilliard, W.L. Lyons, Inc. Chair for Innovation Management	06/22/99	500,000	500,000	894,679	0	0	102,693	(27,532)	969,840	0
Jack & Linda Gill College of Arts & Sciences Research Excellence Fund	06/18/01	500,000	500,000	790,685	0	0	91,759	(30,734)	851,710	0
Jack & Linda Gill Engineering Research Excellence Fund	06/18/01	500,000	500,000	768,525	0	0	88,214	(23,650)	833,089	0
Jack M. Gill Chair in Internal Medicine ⁵	01/20/98	1,000,000	0	903,563	0	0	103,713	(27,805)	979,471	0
Jacqueline A. Noonan - OMN Research Chair in Pediatrics ⁵	12/08/08	1,000,000	1,002,276	1,816,440	0	0	208,492	(55,896)	1,969,036	0
Jacqueline A. Noonan Professorship in Pediatrics	01/20/98	200,000	72,250	235,944	0	(22,427)	70,076	(27,191)	256,402	0
Jacqueline K. Resinger Fellowship in Medical Laboratory Science	02/27/04	50,000	50,000	97,807	0	0	11,226	(3,010)	106,024	0
Jacqueline Y. Miller Smith Visiting Professorship in Women's Health & Rheumatology	03/16/06	54,225	54,825	100,710	0	0	11,649	(2,105)	110,254	0
James & Mary Lassiter Endowed Chair	12/22/00	500,000	500,000	852,918	0	0	97,899	(26,246)	924,571	0
James & Mary Lassiter Endowed Fellowship	05/17/01	200,000	219,025	366,376	0	0	42,053	(11,274)	397,155	0
James & Mary Lassiter Endowed Professorship	05/17/01	100,000	100,000	175,881	0	0	20,188	(5,412)	190,657	0
James and Cora Sanders Nursery/Landscape Research Endowment	04/28/99	26,195	29,145	51,138	0	0	5,870	(1,574)	55,434	0
James and Diane Stuckert BS/MBA Program Endowment Fund	04/02/07	3,200,000	3,200,000	5,900,713	0	0	677,295	(181,580)	6,396,428	0
James and Diane Stuckert BS/MBA Program Endowment Fund	04/02/07	1,800,000	1,800,000	3,244,786	0	0	372,444	(99,851)	3,517,379	0
James Arvie Thacker Fund	06/30/04	50,000	50,000	132,737	0	0	15,477	0	148,213	0
James E. & Christine D. Lockett Endowed Fellowship Fund	08/23/05	400,000	400,078	713,705	0	0	81,920	(21,963)	773,663	0
James F. Glenn, M.D. Endowed Chair in Urology	10/25/00	600,000	674,319	1,122,103	0	(74,319)	224,490	(55,903)	1,216,371	0
James F. Hardymon Chair in Manufacturing Systems	02/19/99	500,000	500,000	880,597	0	0	101,076	(27,098)	954,575	0
James F. Hardymon Chair in Urologic Research	04/06/00	1,000,000	1,000,000	1,687,375	0	0	193,680	(51,925)	1,829,130	0
James F. Hardymon Chairs in Networking	02/19/99	500,000	505,293	885,479	0	0	101,637	(27,248)	959,868	0
James F. Hardymon Graduate Fellowship Program	02/19/99	500,000	500,000	880,597	0	0	101,076	(27,098)	954,575	0
James L. & Suzanne H. Elliott Professorship in Pediatrics ⁸	12/09/04	50,000	47,937	115,846	(2,063)	0	13,079	0	126,862	0
James R. Boyd Professorship in Electrical Engineering	01/28/98	100,000	118,168	214,131	0	0	24,895	(8,302)	230,724	0
James Stephen Brown Graduate Appalachian Studies Fund	02/20/99	50,330	51,200	93,013	0	0	10,717	0	103,729	0
James W. and Diane V. Stuckert Professorship in Service Learning	08/23/05	250,000	250,000	434,784	0	0	49,905	(13,379)	471,310	0

University of Kentucky
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Table 7: Components of Change in Endowment Market Value
During Fiscal Year 2012 - 2013

Endowment Name	Date Estab.	Prior to 07/ 01/ 12			During Fiscal Year 2012 - 2013					Outstanding Pledges as of 06/ 30/ 13
		State Funds Received	Gifts Received	Market Value as of 07/ 01/ 12	State Funds Received	Gifts Received and Transfers ¹	Investment Earnings (Loss)	Earnings Distributed ²	Market Value as of 06/ 30/ 13	
James W. Holsinger, Jr. M.D. Professorship in Neurosciences	11/26/03	50,000	50,000	122,153	0	0	13,923	(2,541)	133,534	0
Jana Oliver Memorial Fellowship Fund	12/28/00	100,000	100,000	175,594	0	0	20,155	(5,403)	190,345	0
Janet Galloway Carter Chair in Rehabilitation Medicine	05/10/00	272,714	814,451	1,223,517	0	60,000	140,334	(51,365)	1,372,486	0
Janet H. Koller Endowment for Equine Research and Development	01/25/05	1,684,279	1,684,968	2,770,445	0	0	317,950	(85,241)	3,003,154	0
Janet H. Koller Equine Bioinformatics Research Endowment	09/30/05	2,000,000	2,000,113	3,385,363	0	0	388,580	(104,177)	3,669,766	0
Janet H. Koller Equine Infectious Diseases Research Endowment	08/31/05	2,000,000	2,000,000	3,385,373	0	0	388,581	(104,177)	3,669,777	0
Janet H. Koller Priority Response Research Endowment	01/25/05	1,000,000	1,001,350	1,712,860	0	(1,350)	196,469	(52,671)	1,855,309	0
Jason Alexander Gill Professorship in Cardiothoracic Surgery ⁵	12/19/97	0	200,000	219,064	0	0	24,663	(8,991)	234,736	0
Jefferson Morris Gill, Ph.D. Professorship in Cardiology ⁵	12/19/97	0	200,000	193,478	0	0	22,208	(5,954)	209,732	0
Jeffrey N. and Jennifer S. Quinn Graduate Fellowship in Engineering	04/05/07	50,000	50,089	91,225	0	0	10,471	(2,807)	98,888	0
Jennifer Gill Roberts Professorship in Pediatric Cardiology ⁵	12/19/97	0	200,000	191,532	0	0	21,984	(5,894)	207,623	0
Jennifer S. Quinn M.D. and Jeffrey N. Quinn Professorship in Pediatric Oncology Endowment	03/03/07	50,000	50,000	102,512	0	0	11,811	0	114,323	0
Jes & Clementine Schlaikjer Professorship - I ⁴	06/11/02	0	256,515	258,104	0	0	29,058	(10,593)	276,569	0
Jes & Clementine Schlaikjer Professorship - II ⁴	06/11/02	0	253,538	257,718	0	0	29,015	(10,577)	276,155	0
Jes E. and Clementine Mills Schlaikjer Chair in Equine Infectious Diseases ⁴	12/09/98	0	3,467,208	4,431,530	0	0	519,269	(691,425)	4,259,374	0
Joanne I. Bell Endowment in Critical Thinking and Social Policy Analysis	02/07/03	50,000	82,550	134,565	0	400	15,460	(4,147)	146,278	0
Joe A. & Cecil Dulin Wallace Fund	09/07/00	375,000	410,000	742,233	0	0	86,203	(22,846)	805,591	0
John A. Morris Library Endowed Research and Mission Support Fund ⁴	12/09/98	1,000,000	0	938,351	0	0	107,748	(28,887)	1,017,212	0
John B. and Jane B. Stephenson Endowed Graduate Fellowship	05/14/99	25,000	25,069	74,942	0	0	8,532	0	83,473	0
John C. Hubbard Endowment	11/22/05	122,010	122,010	222,784	0	0	25,829	(7,500)	241,113	0
John Edwin Partington and Gwendolyn Gray Partington Scholarship Fund	08/31/98	268,000	294,588	497,345	0	0	57,109	(15,311)	539,144	0
John H. Heick Professorship in Soil Science	01/22/01	100,000	100,000	178,058	0	0	20,438	(5,479)	193,016	0
John I. and Patricia J. Buster Endowment Fund in the College of Human Environmental Sciences	04/07/99	956,358	912,243	1,715,386	0	(22,903)	270,308	(56,341)	1,906,450	69,065
John L. and Ruth J. Southam Animal Biopathology Research Endowment	05/27/05	384,267	420,475	714,675	0	0	82,342	0	797,017	0
John L. Clarke, Jr. & Fred K. Knapp Entomology Graduate Student Research Fund	12/31/05	50,420	38,346	89,397	0	12,074	16,968	(4,054)	114,386	0
John Mink Professorship in Pediatric Dentistry in the College of Dentistry ³	11/25/98	524,931	517,297	1,201,544	(15,372)	(3,339)	143,430	(12,416)	1,313,846	0
John R. Gaines Endowed Chair in the Humanities	03/30/05	563,990	676,480	1,063,082	0	0	122,015	(32,712)	1,152,385	0
John R. Van Nagell, M.D. Chair in Gynecologic Oncology	12/12/00	392,000	592,000	1,103,578	0	0	123,151	(63,579)	1,163,151	0

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John Russell Groves-Kentucky Housing Corporation Research Fund in Affordable Housing Design	06/11/02	300,000	300,000	568,070	0	0	65,204	(17,481)	615,794	0
John S. & Elizabeth A. Knight Chair Endowment Supplement ⁴	12/09/98	1,716,000	249,657	2,019,100	0	0	234,193	(72,800)	2,180,493	0
John W. Greene, Jr., M.D. Professorship in Obstetrics & Gynecology	05/01/01	425,000	562,281	938,393	0	(55,226)	149,198	14,150	1,046,515	0
Jorgi Nicholau Boom Ovarian Cancer Research Endowment	03/20/07	50,000	114,100	174,354	0	0	19,849	0	194,203	0
Joseph Hamburg Professorship in Rehabilitation Sciences ⁵	01/20/98	200,000	0	180,970	0	0	20,772	(5,569)	196,174	0
Joy Bale & George Street Boone Symposium in the Humanities	01/12/06	240,035	302,636	475,128	0	1,950	54,566	(14,642)	517,003	0
Karri Casner Environmental Sciences Fellowship	01/17/05	100,000	100,000	175,627	0	0	20,159	(5,404)	190,382	0
Kathryn Louise Chellgren Endowed Professorship for Research in Family Studies	02/05/01	60,000	60,000	111,282	0	0	12,773	(3,424)	120,630	0
Kay Seeley Hoffman Research Endowment	02/29/08	99,652	86,342	207,836	0	7,660	24,135	0	239,631	18,150
Kaye Matthews Stone Memorial Fund for Pancreatic Cancer Research	02/08/02	50,000	76,350	149,847	0	4,700	17,203	0	171,750	0
Keeneland Concours d'Elegance Endowment for Newborn Research to Benefit Kentucky Children's Hospital	06/01/06	200,000	200,110	408,504	0	0	47,067	0	455,571	0
Keith and Phyllis MacAdam Library Endowed Fund for American Music	12/06/00	75,000	75,100	154,837	0	0	18,003	(3,394)	169,447	0
Kenneth H. and Susan W. Beard Graduate Fellowship Fund	10/01/01	250,000	250,310	460,334	0	0	52,838	(14,166)	499,007	0
Kenneth N. and Carol L. Robertson Endowment Fund	11/01/04	100,000	155,523	226,878	0	0	26,040	(6,981)	245,937	0
Kentuckiana Masonry Institute Endowed Professorship	04/06/99	100,000	100,000	183,224	0	5,144	21,147	(1,947)	207,569	0
Kentucky Economic Development Endowment for Science & Technology	05/01/01	394,643	395,962	826,971	0	0	95,657	(24,227)	898,401	0
Kentucky Hospital Association Professorship and Research Fund	08/10/05	500,000	500,000	1,036,744	0	0	116,722	(42,551)	1,110,915	0
Kentucky Medical Services Foundation Chair in Pharmacy	12/12/12	0	0	0	500,000	500,000	638,399	(25,560)	1,612,839	0
Kentucky Pharmacists Association Professor of Leadership Endowed Fund	04/04/05	50,000	52,500	115,749	0	0	13,104	(2,393)	126,460	0
Kentucky Utilities Professorship in Electrical & Computer Engineering	06/11/02	50,000	50,000	108,107	0	0	12,171	(4,437)	115,841	0
Kevin Heidrich/Team 7 Endowment for ALS Patient Services Research	03/31/03	500,345	506,261	938,498	0	500	107,747	(28,889)	1,017,856	0
KiYoung Lee Graduate Fellowship	08/23/05	36,000	36,000	61,271	0	0	7,031	(1,885)	66,417	0
KPMG Professorship Endowment	05/10/05	50,000	179,650	260,126	0	3,000	29,328	(10,714)	281,740	0
Laramie L. Leatherman Professorship of Law II	04/01/99	100,000	100,000	173,529	0	0	19,918	(5,340)	188,107	0
Lauranne Laise Howell Graduate Fellowship in Economic Education	05/17/02	125,000	125,101	257,158	0	0	28,952	(10,554)	275,556	0
Lawson Endowment Fund - Professorship in Civil Engineering	11/04/02	250,000	250,000	522,540	0	0	58,830	(21,446)	559,923	0

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Lawson Endowment Fund - UK Center for Research on Violence Against Women Endowment Fund	11/04/02	100,000	100,000	189,783	0	0	21,784	(5,840)	205,727	0
Lawson Endowment Fund for Asphalt Research	11/04/02	650,001	650,001	1,313,527	0	0	150,769	(40,421)	1,423,875	0
Lee Todd, Jr. and Patricia B. Todd Orchestra Research Endowment	06/17/09	600,000	905,002	1,525,480	0	10,000	175,569	(36,659)	1,674,390	0
Leo P. Branstetter Alzheimer's Disease Research Fund	11/17/05	248,751	248,751	450,295	0	0	51,883	0	502,178	0
Leslie L. Martin Endowed Fellowship Fund	05/29/01	50,000	51,863	103,990	0	0	11,936	(3,200)	112,726	0
Leslie L. Martin Endowed Research Fellowship	08/31/05	50,000	50,000	90,721	0	0	10,413	(2,792)	98,343	0
Lewis Cockran Graduate Fellowship Fund	08/28/98	52,000	76,817	123,517	0	0	14,232	0	137,749	0
Lexington Herald-Leader Fellowship Endowment	02/17/00	100,000	100,000	185,290	0	0	21,268	(5,702)	200,856	0
Lexington Opera Society Chair in Opera Studies	12/15/04	750,000	755,845	1,381,576	0	0	160,454	(42,524)	1,499,506	0
Lexington Opera Society Community Endowment for Vocal Studies	05/11/04	50,000	52,085	92,163	0	(2,085)	12,690	(2,863)	99,906	0
Lexmark Graduate Fellowship Endowment	07/09/02	100,000	103,504	195,840	0	300	22,480	(6,029)	212,592	0
Library & Information Science Endowed Graduate Fellowship Fund	02/25/99	30,724	32,497	58,391	0	0	6,705	(1,798)	63,298	0
Linda C. Gill Chair in Nursing ⁵	01/20/98	1,000,000	0	882,312	0	0	101,273	(27,151)	956,434	0
Lion Apparel, Inc. Fellowship Endowment	09/26/00	50,000	50,000	92,061	0	0	10,567	(2,833)	99,795	0
Log-A-Load for Kids of Kentucky Fund	06/28/01	125,000	126,100	344,088	0	0	39,173	0	383,261	0
Log-a-Load for Kids of Kentucky Fund No. 2	05/15/06	125,000	125,000	261,675	0	0	30,150	0	291,825	0
Louise Harrison Shouse Endowed Graduate Fellowship Fund in Accountancy	12/12/05	175,000	175,000	301,617	0	0	35,030	(9,284)	327,363	0
Louise Harrison Shouse Endowed Research Fund in Opera Studies	12/12/05	75,000	75,000	129,489	0	0	15,039	(3,986)	140,542	0
Lucille Caudill Little Endowed Research Fund in Opera Studies	08/28/00	1,200,000	1,736,755	2,217,975	0	(492,140)	1,142,567	(448,081)	2,420,321	0
Lucille Caudill Little Fine Arts Library & Learning Center Endowment Fund	05/01/01	1,500,000	1,509,385	3,071,472	0	50	357,126	(67,324)	3,361,324	0
Lucille Caudill Little Performing Arts in Healthcare Program Fund	08/01/08	1,000,000	1,005,200	2,108,637	0	0	237,396	(86,542)	2,259,491	0
Lucille Caudill Little Professorship/Chair in Music Therapy	10/29/10	300,000	300,000	536,681	0	0	61,485	(9,143)	589,023	0
Lucille Caudill Little Research Endowment for Theatre	10/06/00	500,000	502,717	921,361	0	0	105,446	27,812	1,054,619	0
MacAdam-Abrahams Observatory Fund	08/14/06	500,000	521,000	958,084	0	0	110,389	0	1,068,473	0
Madeline F. James and Edith D. Gardner Chair in Cancer Research	10/10/02	492,086	492,086	955,314	0	0	109,653	(29,397)	1,035,569	0
Major Endowed Research Fund in Veterinary Science ⁴	12/09/98	1,825,881	10,000	1,727,902	0	0	198,410	(53,193)	1,873,120	0
Marcia A. Dake Professorship in Nursing ⁵	01/20/98	200,000	0	183,987	50,000	50,000	84,470	(11,552)	356,905	0
Margaret Dorrance Strawbridge Foundation Translational Cancer Research Endowment	07/21/06	2,000,000	2,000,000	3,549,844	0	0	407,451	(109,236)	3,848,059	0
Margaret Logan Colvin Chair in Lung Disease Research	05/02/98	663,900	667,754	1,225,375	0	1,398	142,958	(41,414)	1,328,318	0
Marie Rich Professorship in Arts and Sciences	12/22/06	50,000	50,000	101,215	0	0	11,655	0	112,870	0
Marion E. McKenna Professorship in Nursing Leadership	02/10/05	55,163	59,301	114,941	0	25	13,196	(3,538)	124,624	0
Mark and Ruth Luckens Graduate Fellowships in Toxicology	04/01/02	50,000	50,000	96,177	0	0	11,082	0	107,259	0
Mark Lee Gross M.D. Memorial Residency Fellowship in Ophthalmology	04/19/04	53,165	71,327	133,305	0	3,349	15,235	(5,556)	146,333	0

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Markey Cancer Center Chair in Clinical Research	07/25/00	1,000,000	1,000,000	1,857,205	0	0	213,171	(57,150)	2,013,225	0
Markey Cancer Foundation Endowed Chair in Radiation Medicine	03/01/04	1,000,000	1,000,000	1,829,550	0	0	209,999	(56,300)	1,983,249	0
Martin-Thacker Scholarships	07/31/98	100,397	100,398	217,701	0	0	24,571	(23,841)	218,431	0
Mary Byron Scholars Program	12/31/04	50,000	50,000	89,555	0	0	9,852	17,244	116,652	0
Mary Florence Jones Professorship in Neonatology	01/01/00	100,000	100,000	186,863	0	0	21,457	(5,753)	202,568	0
Mary Rebecca Johnson Whidby Endowed Professorship Fund	05/15/02	51,000	53,547	135,572	0	19	15,604	(5,562)	145,633	0
Max Steckler Fellowship Fund	11/19/04	1,749,284	1,753,166	3,322,004	0	0	381,305	(102,226)	3,601,083	0
McDowell Cancer Foundation Chair in the Lucille P. Markey Cancer Center	07/25/00	1,000,000	1,030,100	2,161,448	0	0	243,751	(107,776)	2,297,422	0
MQ WorldCom Graduate Fellowship	06/21/00	100,000	100,000	169,160	0	0	19,416	(5,205)	183,371	0
Medical Alumni Association Scholarship Endowment Fund	06/12/02	676,832	782,073	1,375,308	0	15,573	158,387	(42,568)	1,506,700	0
Merck Co., Inc. Pulmonary Medicine Professorship	02/08/99	100,000	100,000	180,688	0	0	20,740	(5,560)	195,867	0
Michael A. Davis Thoracic Oncology Research Professorship	10/18/11	100,000	100,000	201,877	0	0	22,983	0	224,860	0
Michael D. Rankin, M.D. Community Scholarship Endowment	09/10/08	450,000	350,000	811,658	0	95,000	99,805	0	1,006,463	5,000
Mining Engineering Foundation Graduate Fellowship Fund	05/26/99	1,000,000	1,013,455	1,808,128	0	100	207,543	(55,642)	1,960,129	0
Mitzi Strobe Ovarian Cancer Research Endowment Fund	04/27/05	50,865	51,904	115,583	0	25	13,160	0	128,768	0
Myrle E. and Verle D. Nietzel Visiting Distinguished Faculty Endowment	11/22/04	50,000	62,994	111,860	0	0	12,839	(3,442)	121,256	0
Nadine James Alzheimer's Disease Research Fund	05/04/99	150,000	150,000	460,942	0	0	52,476	0	513,418	0
Neurology Research Chair	12/10/04	500,000	500,000	1,182,110	0	0	136,620	(95,761)	1,222,970	0
Neurology Research Professorship No.1	03/29/05	50,000	64,603	131,572	0	0	14,978	(3,186)	143,364	0
Neurology Research Professorship No.2	03/29/05	50,000	50,000	114,530	0	0	13,039	0	127,568	0
Newman Foundation Research Fund in Catholic Studies	09/06/06	500,000	500,750	1,046,115	0	1,000	120,610	0	1,167,725	0
Non-Tenured Faculty Research Enrichment Fund	01/28/99	150,000	150,000	285,200	0	0	32,874	0	318,073	0
Northern Kentucky UK Alumni Club Fellowship Fund	11/15/01	50,000	60,000	110,661	0	0	12,702	(3,405)	119,957	0
Otolaryngology Research Professorship Endowment	04/20/07	100,000	102,020	214,759	0	1,000	24,514	0	240,273	0
Palmer Engineering Civil Engineering Laboratory Endowment	11/04/02	50,000	50,000	101,010	0	0	11,594	(3,108)	109,496	0
Patient Quality, Safety and Rights Research Endowment	07/27/05	507,349	494,755	822,606	0	10,195	95,127	(25,529)	902,400	3,762
Patrick F. Hagihara, MD Professorship/Chair in General Surgery	05/01/90	252,500	319,820	579,337	0	1,583	66,578	(17,858)	629,640	0
Patrick P. DeLuca Pharmaceutical Technology Professorship in the College of Pharmacy	11/28/00	129,400	171,544	406,080	0	7,585	37,007	(26,289)	424,383	0
Patterson School of Diplomacy Fund for Excellence Graduate Intern Research Fellowship	01/26/99	74,300	105,563	195,157	0	(18,688)	48,858	(16,148)	209,179	0
Paul A. Kearney, M.D., Endowed Chair in Trauma Surgery	10/28/08	1,000,000	400,000	1,469,048	0	300,000	184,214	(68,198)	1,885,065	300,000

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Paul A. Thornton Graduate Fellowship in Clinical Nutrition/Nutritional Sciences	08/28/00	350,000	356,575	621,207	0	0	71,303	(19,116)	673,394	0
Paul Ashton Ophthalmology Research Fund	12/22/08	75,894	75,895	177,923	0	0	20,256	0	198,179	0
Paul C. VanBooven Fellowship Endowment Fund	06/30/04	341,686	342,864	609,708	0	10,702	70,024	(18,836)	671,599	0
Paul E. Miller and Judith Sebastian Miller Fellowship Endowment Fund	04/29/05	114,837	114,837	204,642	0	0	23,490	(6,298)	221,834	0
Paul G. Blazer, Jr. Professorship in Stroke Research	08/24/98	250,000	273,532	735,779	0	0	86,363	(132,498)	689,644	0
Paul Mellon Endowed Graduate Student Support ⁴	04/04/00	0	1,750,000	1,729,820	0	0	198,633	(53,253)	1,875,200	0
Paul Mellon Equine Research Endowment ⁴	04/04/00	0	985,732	944,383	0	43,443	104,067	(68,141)	1,023,752	0
Paul Mellon Post Doctorial Fellowship ⁴	03/27/01	2,392,537	2,436	2,409,642	0	0	276,692	(74,180)	2,612,154	0
Paul Parker Endowment Fund for Residency Training and Research	12/27/01	54,820	79,848	165,063	0	291	18,263	(6,694)	176,922	0
Pediatric Exercise Physiology Laboratory Endowed Research Fund	05/13/05	225,000	238,580	430,096	0	0	49,367	(13,235)	466,228	0
Peter Pearlman Fellowship	11/10/00	50,000	80,893	114,193	0	250	13,131	(3,521)	124,052	0
Pharmaceutical Science Professorship and Research Endowment	12/21/06	50,000	50,000	94,047	0	0	10,789	(2,892)	101,943	0
Pharmacy Practice and Science Endowed Fund for Excellence	01/23/03	131,400	131,400	332,333	0	0	38,316	(13,653)	356,996	0
Physical Therapy Richard McDougall Alumni Professorship ³	01/22/07	75,000	67,133	131,095	(7,867)	0	14,620	(3,877)	133,971	0
Pm Oak Stud Endowed Research Fund ⁴	04/06/99	500,000	500,000	952,356	0	0	109,357	(29,318)	1,032,394	0
PJC Board of Trustees Engineering Professorship	10/23/00	50,000	50,000	84,789	0	0	9,732	(2,609)	91,912	0
President A.P.J. Abdul Kalam India Studies Endowment Fund	03/20/08	1,000,000	934,189	2,018,721	0	0	227,274	(82,852)	2,163,143	91,788
PricewaterhouseCoopers LLP Professorship in Accounting	06/29/00	150,000	552,415	587,082	0	3,000	67,434	(18,098)	639,417	0
Professorship for the Study of Complementary Healthcare	03/07/07	50,000	50,000	91,204	0	0	10,468	(2,807)	98,866	0
Professorship in Pediatric Otolaryngology Surgery	06/28/04	100,000	180,000	342,451	0	10,000	39,125	0	391,576	0
Professorship or Chair in Obstetrics and Gynecology	05/18/01	250,000	250,000	584,382	0	0	65,792	(23,984)	626,189	0
Professorship or Chair of Urologic Surgical Research	10/23/84	1,000,000	1,000,000	1,658,253	0	0	190,337	(51,029)	1,797,562	0
Psychiatry Endowed Professorship for the Addictions Fund	03/25/03	100,000	101,200	247,847	0	0	28,216	0	276,063	0
R. C. Durr Civil Engineering Fellowship Fund	11/21/97	300,000	300,000	577,902	0	0	66,359	(17,791)	626,470	0
R.C. Durr Chair in Alzheimer's Disease Research	07/10/03	500,000	500,000	1,170,956	0	0	131,832	(48,059)	1,254,729	0
Ralph E. & Norma L. Edwards Research Professorship	01/14/02	100,493	100,494	200,498	0	0	23,101	0	223,600	0
Randall G. Rowland, MD Endowment for Urologic Research	03/23/00	1,169,790	1,182,464	2,083,703	0	0	239,170	(64,120)	2,258,752	0
Past-Holbrook Research and Seminar Fund	12/26/01	55,455	75,461	129,667	0	1,350	15,044	(2,445)	143,616	0
Raymond Civil Engineering Endowment Fund	09/08/98	2,000,000	2,000,000	3,786,545	0	0	434,628	(116,522)	4,104,652	0
Real Estate Professorship Endowment	01/20/98	166,300	169,233	414,924	0	0	46,950	72,498	534,371	0
Rebecca Cunningham Van Meter Endowment Fund	12/07/01	50,000	50,000	91,245	0	0	10,473	(2,808)	98,911	0
Reese S. Terry Professorship in Electrical Engineering	08/25/00	100,000	100,048	177,966	0	0	20,427	(5,476)	192,917	0
Regina Drury/Children's Miracle Network Chair in Pediatric Research	10/06/04	600,000	600,000	1,387,113	0	0	157,930	(656)	1,544,387	0

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Endowment Name	Date Estab.	Prior to 07/ 01/ 12			During Fiscal Year 2012 - 2013					Outstanding Pledges as of 06/ 30/ 13
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Research Fund for the Prevention of Alcohol and Drug Abuse	04/02/01	50,000	50,000	92,289	0	0	10,634	0	102,923	0
Rey M. Longyear Memorial Fund in Musicology	12/17/96	166,114	324,081	439,466	0	500	50,452	(13,529)	476,890	0
Richard C. Hayden, III, M.D. Chair in Otolaryngology	04/24/07	150,000	770,590	1,154,918	0	22,828	130,942	(47,838)	1,260,850	0
Richard G. Elliott, M.D. Professorship in Pediatrics	08/14/08	393,950	429,441	921,013	0	0	104,852	0	1,025,865	0
Richard K. Brautigam Professorship in Juvenile, Criminal and Social Justice	10/19/01	50,000	56,462	95,158	0	0	11,052	(2,929)	103,281	0
Richard W. Schwartz Commonwealth Professorship in Physician Leadership	01/19/01	250,000	250,000	424,337	0	0	48,778	(1,534)	471,581	0
Robert and Jeanne Nyere Professorship in Epilepsy Research	11/25/05	125,000	135,000	284,133	0	0	32,639	(13,794)	302,978	0
Robert E. Harding Jr. Professorship Fund	10/23/84	50,000	51,650	88,119	0	0	10,114	(2,712)	95,521	0
Robert E. McNeil Endowed Research Fund	03/05/02	50,000	71,841	96,406	0	(20,287)	54,800	(26,413)	104,505	0
Robert G. Lawson & William H. Fortune Professorships Endowment	12/31/02	85,680	97,188	170,880	0	(808)	22,034	(5,283)	186,823	0
Robert G. Lawson Professorship	12/29/98	57,000	117,904	149,051	0	(10,954)	27,525	(4,049)	161,572	0
Robert G. Luke, M.D. Research Chair of Nephrology	04/26/99	500,000	500,000	951,588	0	0	109,269	(29,295)	1,031,562	0
Robert H. & Anna B. Qulton Professorship	12/18/02	50,000	50,400	100,376	0	0	11,035	19,711	131,122	0
Robert L. Bergen Professorship in Ophthalmology	10/23/84	200,000	200,000	383,742	0	0	44,047	(11,809)	415,980	0
Robert L. Johnson Scholarship Fund	05/19/00	250,000	300,541	483,550	0	550	55,555	(14,895)	524,760	0
Robert L. Lester Professorship in Biochemistry	10/18/00	52,000	72,000	115,023	0	0	13,359	(3,540)	124,841	0
Robert Lynn Leininger Endowed Professorship in Furniture Design	03/01/07	50,000	50,000	90,435	0	0	10,380	(2,783)	98,032	0
Robert M. Odear, '28, '30, Faculty Research Fund in Latin America	03/09/01	16,650	16,650	51,265	0	0	5,868	(1,500)	55,634	0
Robert P. & Mildred A. Moores Alzheimer's Disease Research	01/23/01	350,000	380,698	684,342	0	0	78,581	(21,067)	741,856	0
Robert Straus Professorship in Behavioral Science	04/19/00	50,000	51,150	108,225	0	0	12,321	0	120,546	0
Robert T. & Nyle Y. McCowan Chair in Alzheimer's Research	01/16/04	848,849	848,849	1,614,235	0	0	185,470	(7,158)	1,792,547	0
Rockcastle Hospital and Respiratory Care Center Fellowship	06/13/06	50,000	50,000	92,438	0	0	10,610	(2,845)	100,204	0
Ronald Allen Forester & Nancy Smoot Forester Endowed Professorship Fund	12/05/00	50,000	50,500	88,372	0	0	10,143	(2,719)	95,796	0
Ronald L. Akers Professorship in Criminology & Deviance Fund	06/07/00	100,000	100,000	201,955	0	0	23,181	(6,215)	218,921	0
Rose Carol Shumate Professorship in Cancer Research	12/24/06	42,500	42,500	81,398	0	0	9,367	0	90,765	0
Rosenthal Business & Economics Library Endowment	05/24/01	1,500,000	1,500,000	3,143,332	0	0	363,595	(92,087)	3,414,840	0
Royster Research Professorship	06/03/01	50,000	64,500	113,875	0	100,000	19,457	0	233,332	0
RFPW Law Library Endowed Account	05/24/01	50,000	51,326	101,725	0	0	11,828	(2,230)	111,323	0
S. Lawrence and Elizabeth Ritchey Yost Lea Professorship in Plant and Soil Science	04/17/09	180,000	180,000	394,234	0	0	44,384	(16,180)	422,438	0
Saha Cardiovascular Research Center Fund	01/15/10	500,000	260,000	778,851	0	160,000	95,203	(34,839)	999,215	80,000
Sally and David B. Stevens, M.D. Scholarship	06/22/04	50,000	50,100	99,168	0	0	11,387	(3,053)	107,503	0
Sanders Brown Center on Aging Foundation Research Fund	02/01/07	52,500	99,170	167,923	0	50	19,122	0	187,095	0

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Sanders-Brown Center on Aging Foundation Research Development Fund	08/30/00	1,000,000	1,171,004	2,227,880	0	0	256,861	(91,524)	2,393,218	0
Saylay/Garbulinska Fund for Rheumatology Fellows Res & Ed	10/30/08	200,000	105,000	356,394	0	95,000	44,843	0	496,238	0
Schutte Chair in Pharmaceutical Policy	12/18/06	1,000,000	1,000,325	1,850,549	0	0	212,000	(31,526)	2,031,022	0
Scott V. Breckenridge Professorship in Intelligence Education	05/10/04	102,415	102,500	196,059	0	0	22,770	(6,035)	212,794	0
Secat-J.G. Morris Aluminum Professorship	04/11/02	50,000	52,260	105,413	0	0	12,154	(4,330)	113,236	0
Spears Chair in Pharmaco Genetics	05/10/11	200,000	263,000	469,192	0	80,000	56,670	0	605,862	0
Spinal Cord & Brain Injury Chair No. 2	04/09/00	500,000	500,000	947,973	0	0	108,810	(29,172)	1,027,611	0
Spinal Cord & Head Injury Chair No. 1	04/09/00	500,000	500,000	876,040	0	0	100,937	0	976,977	0
Spinal Cord and Brain Injury Chair No. 3	04/09/00	500,000	500,000	900,932	0	0	103,410	(27,724)	976,619	0
Spinal Cord and Brain Injury Chair No. 5	06/17/03	500,000	500,000	1,000,819	0	0	114,876	(30,798)	1,084,897	0
Stanley Smith Dickson Professorship	05/17/06	500,000	500,000	812,568	0	0	93,267	(25,005)	880,830	0
Stephen D. Quillen Memorial Fellowship Fund	07/10/06	60,000	60,000	94,789	0	0	10,880	(2,917)	102,752	0
Stites & Harbison Professorship of Law	06/23/97	72,500	122,410	172,913	0	(17,810)	42,398	(10,061)	187,440	0
Sue Fan Gooding Fund	10/18/96	80,115	80,115	142,292	0	0	16,332	(4,379)	154,245	0
Susan Abbott-Jamieson Dissertation Research Fund in Anthropology	09/03/01	50,000	55,000	96,134	0	0	11,077	0	107,211	0
Susan Ballard Lester Endowment	09/23/04	50,000	50,000	99,597	0	0	11,476	0	111,073	0
Sutherland Professorship of Landscape Design	03/08/04	250,000	250,000	446,942	0	0	51,907	(13,757)	485,093	0
Swift/Longacre/Scaife Fellowship in Classics	06/09/09	54,189	59,033	116,064	0	6,925	13,327	(6,000)	130,316	0
Sylvia Mansbach Endowed Chair in Alzheimer's Disease Research	04/02/01	500,000	531,464	913,774	0	0	104,885	(28,119)	990,539	0
Symptom Management & Palliative Care Professorship/Chair	04/14/04	120,875	125,365	214,855	0	6	24,661	(6,617)	232,904	0
T. Marshall Hahn, Jr. Graduate Fellowship Fund	04/29/99	50,000	50,000	89,229	0	0	10,281	0	99,510	0
T. Marshall Hahn, Jr. Professorship Fund in the College of Arts & Sciences	04/29/99	200,000	200,000	367,225	0	0	42,679	(17,213)	392,691	0
Teresa Garbulinska Music in HealthCare Fund	05/12/09	100,000	60,000	167,740	0	40,000	20,782	(7,688)	220,834	0
The Judy and Byron Young Neuroscience Institute Endowed Professorship	07/20/06	100,000	100,000	213,005	0	0	24,977	(34,108)	203,874	0
The Martha Davis Fellowship Fund	05/08/06	200,000	200,625	343,549	0	0	39,433	(10,572)	372,411	0
The Sweeney-Nelms Professorship in Alzheimer's Disease Research	12/05/05	60,000	61,000	143,356	0	2,500	16,452	0	162,308	0
Thomas B. & Bettie T. Deen Graduate Fellowship	04/30/08	50,000	50,000	98,577	0	0	11,315	(3,033)	106,858	0
Thomas C. & Evelyn W. Finnie BS/MBA Graduate Fellowship Fund	04/27/99	520,316	601,617	1,073,920	0	14,974	124,069	(33,322)	1,179,641	0
Thomas C. Robinson Graduate Fellowship Endowment ²	09/08/05	70,960	60,456	146,217	(10,504)	0	16,089	0	151,802	0
Thomas D. Clark Professorship Fund	01/05/01	119,013	121,013	248,901	0	0	27,990	(5,137)	271,754	0
Thomas J. and Viva B. Timmons Graduate Fellowship Fund	08/17/06	320,000	444,487	665,011	0	0	76,331	(20,464)	720,878	0
Thomas P. Lewis Professorship	04/23/99	100,000	103,648	177,474	0	250	20,384	(5,466)	192,642	0
Toyota (Young) Library Endowment	05/24/01	1,000,000	1,000,000	2,076,373	0	0	240,178	(60,830)	2,255,721	0
Toyota-IR4TD Research Fund	01/31/07	1,000,000	1,000,000	1,598,187	0	0	185,490	(49,159)	1,734,518	0

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Tracy Farmer Center for the Environment Endowment Fund	08/15/00	2,000,000	2,134,615	2,667,858	0	0	306,221	(82,097)	2,891,982	0
Trane Company Graduate Fellowship	10/21/02	75,000	75,000	146,993	0	0	16,872	(4,523)	159,342	0
Tri for Sight Eye Research Professorship	04/28/06	63,241	93,232	157,263	0	9,695	18,621	(2,493)	183,086	0
TVA Power Engineering Endowment Fund	04/16/99	1,000,000	1,000,000	1,784,269	0	0	204,801	(54,906)	1,934,163	0
Tyler Aaron Gill Professorship in Vascular Surgery ³	12/19/97	0	200,000	167,283	0	0	19,201	(5,148)	181,336	0
U.S. Surgical Endowed Professorship in Pharmacy Administration	04/06/99	100,000	100,000	175,732	0	0	20,171	(5,408)	190,495	0
UK College of Human Environmental Sciences Alumni Association Endowed Professorship	11/30/00	50,000	53,367	151,659	0	0	17,683	0	169,342	0
UK Nursery/Landscape Research Endowment	10/12/99	34,200	34,571	64,374	0	0	7,389	(1,981)	69,782	0
Ullin W. Leavell, MD Professorship in Dermatology	02/14/11	100,000	100,000	198,020	0	0	22,544	0	220,564	0
University of Kentucky Association of Emeriti Faculty Endowed Fellowship Fund	04/17/02	50,000	103,365	146,564	0	0	16,823	(4,510)	158,877	0
University of Kentucky Center for Research on Violence Against Women ⁴	10/03/03	182,878	259,769	517,460	(106,922)	(182,519)	(184,436)	150,314	193,897	0
University of Kentucky Chandler Medical Center Chair	07/23/02	500,000	500,000	1,470,702	(500,000)	(500,000)	(470,702)	0	0	0
University of Kentucky Margaret Ingels Society of Women Engineers Graduate Fellowship Fund	09/01/99	25,000	25,000	46,291	0	0	5,313	(1,424)	50,180	0
University of Kentucky Oral History Endowment Fund	03/29/04	679,740	696,955	1,300,697	0	1,941	151,156	(40,070)	1,413,725	0
University of Kentucky Woman's Club Fund	10/07/03	100,000	101,000	214,253	0	0	24,122	(8,793)	229,581	0
University Professorship in Allied Health	01/09/01	50,000	50,000	97,482	0	0	11,189	(3,000)	105,671	0
University Professorship in Dentistry	12/06/00	50,000	50,000	94,781	0	0	10,671	(3,890)	101,562	0
University Professorship in Graduate Medical Education	12/06/00	50,000	50,100	116,737	0	0	13,399	(3,592)	126,544	0
University Professorship in Medicine	12/09/00	50,000	50,000	94,370	0	0	10,832	(2,904)	102,298	0
University Professorship in Nursing	12/06/00	50,000	50,000	143,585	(50,000)	(50,000)	(46,979)	3,394	0	0
University Professorship in Pharmacy	04/11/01	50,000	50,000	128,142	0	0	14,427	(5,259)	137,310	0
USEC Inc. Graduate Fellowship	09/27/02	50,000	50,000	99,327	0	0	11,401	(3,057)	107,671	0
Vaughn & Melton Graduate Fellowship in Civil Engineering	04/26/01	100,000	107,788	189,750	0	0	21,779	(5,839)	205,691	0
Verizon Communications Graduate Fellowship	12/15/99	900,000	900,000	1,650,616	0	0	189,461	(50,794)	1,789,283	0
Verizon Wireless Chair on Studies on Violence Against Women	12/31/04	500,000	500,000	875,897	0	0	100,537	(26,954)	949,480	0
Vernon R. Wiehe Endowed Professorship in Family Violence	05/16/00	50,000	50,445	102,460	0	0	11,754	(5,216)	108,998	0
Violet Irene Eads Research Fund for Eye Tissue in the Lions Eye Bank of Lexington	11/08/01	56,000	61,574	145,046	0	0	16,329	(5,953)	155,423	0
Virginia T. Barrow Chair in Brain Disease Research	10/27/06	1,191,972	1,195,520	2,473,008	0	350	285,399	(43,039)	2,715,718	0
Von Allmen School of Accountancy Fellowship	04/29/00	1,000,000	1,000,200	1,721,545	0	75	197,607	(52,978)	1,866,248	0
Von Allmen School of Accountancy Research Support	04/29/00	2,000,000	2,000,180	3,415,098	0	0	391,991	(105,091)	3,701,998	0
W. Hugh Peal Collection	08/14/86	200,000	226,000	491,727	0	0	56,003	0	547,730	0
W. T. Young STM Library Endowment Fund	03/08/01	12,998,356	12,998,356	27,216,742	0	0	3,148,190	(797,339)	29,567,592	0
W.T. Young Library Endowment Fund	03/07/00	5,770,332	5,983,465	12,181,599	0	0	1,409,056	(356,871)	13,233,785	0
Wallace Charles Hill Graduate Fellowship in Administration and Supervision	05/18/99	50,000	50,000	88,994	0	0	10,215	(2,739)	96,470	0

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Wallace Charles Hill Professorship in Rehabilitation Counseling	05/18/99	50,000	50,000	93,640	0	0	10,748	(2,882)	101,507	0
Wendell H. Ford Endowed Professorship	03/07/00	590,410	595,802	1,240,171	0	0	139,624	(50,900)	1,328,895	0
Werner F. S. Schmitt Professorship in Aging and Neurobehavior	11/30/05	146,485	208,756	375,615	0	6,000	43,256	0	424,871	0
Whalen Graduate Fellowship Fund	08/15/00	500,000	500,320	865,069	0	0	99,294	(26,620)	937,743	0
Wilbur E. Chellgren Professorship in Accounting	06/02/00	125,000	125,000	211,791	0	0	24,310	(6,517)	229,583	0
William A. Marquard Chair in Cancer Research	11/29/04	750,000	771,393	1,346,806	0	0	154,562	(41,437)	1,459,930	0
William Baxter Jennings Fellowship Endowment Fund	06/01/04	64,225	75,308	125,612	0	1,645	14,495	(3,893)	137,858	0
William H. Otis and Marquita Svis Otis Professorship in Gerontology and Intergenerational Social Work	03/14/07	50,000	52,170	103,507	0	2,180	12,037	0	117,723	0
William H. Pay Professorship ³	04/27/07	65,105	47,655	92,078	(17,450)	0	9,637	(2,489)	81,776	0
William J. Tuggle & Nina B. Tuggle Professorship in English	03/06/97	300,000	300,000	577,531	0	0	66,543	0	644,074	0
William R. Markesbery, MD Chair in Neurotrauma Research	04/09/00	500,000	500,000	871,237	0	0	100,002	(26,810)	944,429	0
William R. Willard Professorship in Behavioral Science ³	02/26/06	50,000	41,205	95,652	(8,795)	1,083	10,472	0	98,412	0
William Robert Mills Chair in Equine Infectious Diseases ⁴	12/09/98	0	3,355,208	3,640,837	0	0	410,075	(149,492)	3,901,420	0
William Stamps Farish Fund Chair in Cancer Research	09/01/04	1,000,000	1,000,000	2,364,257	0	0	271,918	(329,432)	2,306,744	0
William Stamps Farish Fund Chair in Plastic Surgery	02/16/05	1,000,000	1,000,000	1,747,423	0	0	200,564	(53,771)	1,894,215	0
William T. Bryan Endowed Chair in History	12/08/98	500,000	508,848	984,510	0	0	114,286	(37,729)	1,061,067	0
William T. Bryan Endowed Chair in Public Finance in the Martin School	12/08/98	500,000	508,848	975,036	0	0	111,961	(30,016)	1,056,981	0
William T. Bryan Endowed Chair in Public Finance in the Martin School	11/08/05	125,000	125,000	234,034	0	0	26,874	(7,205)	253,703	0
William T. Bryan Endowed Chair in Spanish	12/08/98	500,000	508,848	973,827	0	0	112,250	0	1,086,077	0
William T. Bryan Endowed Chair in Special Education	12/08/98	500,000	508,848	975,036	0	0	111,961	(30,016)	1,056,981	0
William T. Bryan Endowed Chair in Vocal Music	12/08/98	500,000	508,848	975,036	0	0	111,961	(30,016)	1,056,981	0
William T. Bryan Endowed Professorship in Materials Engineering	12/08/98	125,000	125,000	234,034	0	0	26,874	(7,205)	253,703	0
William T. Bryan Library Endowment Fund	08/24/00	750,000	774,753	1,575,544	0	(4,532)	187,724	(34,535)	1,724,201	0
William T. Bryan University Chair	12/08/98	500,000	508,848	1,089,756	0	0	122,740	(44,745)	1,167,751	0
William T. Young Endowed Chair	04/12/02	500,000	500,000	1,045,506	0	0	117,708	(42,910)	1,120,304	0
William T. Young Library Endowment for Markey Cancer Center	05/24/01	1,500,000	1,500,000	3,131,122	0	0	362,182	(91,730)	3,401,574	0
William T. Young Library Endowment for Saunders Brown Center on Aging	05/24/01	1,000,000	1,000,000	1,988,187	0	0	231,171	(43,580)	2,175,778	0
Women's Orde Endowment	07/31/06	503,718	503,801	840,634	0	542	96,510	(25,877)	911,809	0
Wyatt, Tarrant & Combs Professorship of Law II	04/01/99	60,000	60,000	103,764	0	0	11,910	(3,194)	112,481	0
Zantker Charitable Foundation Professorship in Judaic Studies	11/08/00	473,350	490,550	957,755	0	0	111,233	(29,480)	1,039,509	0
Zantker Foundation Professorship in Jewish History	10/20/05	250,000	250,000	544,676	0	0	62,922	(42,777)	564,821	0
Zimmer Endowment for Orthopaedic Research	03/21/01	300,000	300,000	630,529	0	0	70,987	(25,878)	675,638	0
Existing RCTF Funded Endowments Sub-Total		\$213,597,359	\$222,285,172	\$422,740,696	(\$59,988)	\$5,028,199	\$49,766,314	(\$13,497,283)	\$463,977,937	\$660,481
Grand Total		\$213,597,359	\$222,390,589	\$422,857,072	\$0	\$5,053,532	\$49,784,502	(\$13,497,283)	\$464,197,823	\$660,481

University of Kentucky
Endowment Match Program
Table 7: Components of Change in Endowment Market Value
During Fiscal Year 2012 - 2013

Endowment Name	Date Estab.	Prior to 07/ 01/ 12			During Fiscal Year 2012 - 2013					Outstanding Pledges as of 06/ 30/ 13
		State Funds Received	Gifts Received	Market Value as of 07/ 01/ 12	State Funds Received	Gifts Received and Transfers ¹	Investment Earnings (Loss)	Earnings Distributed ²	Market Value as of 06/ 30/ 13	

Notes to Table 7:

¹ In FY 2012 and 2013, a comprehensive review of historical accounting entries resulted in reclassification of prior year transfers. Negative amounts reported in this column reflect these reclassified transfers or transfers to other endowment funds.

² Net of reinvestment of prior year spending distributions.

³ Board Action FCR 6, December 11, 2012 - State funds transferred from multiple funds to the Dr. Robert D. Marciani Endowed Fund in Oral and Maxillofacial Surgery.

⁴ State funds and matching gifts recorded in different funds. See attached Footnote 4 schedule.

⁵ State funds and matching gifts recorded in different funds. See attached Footnote 5 schedule.

⁶ Board Action FCR 4, December 11, 2012 - State funds transferred from multiple funds to the Braden-Clark Fellowship in Parkinson's Disease Research.

⁷ Board Action FCR 2, September 11, 2012 - A request to change the name of the Cardiovascular Imaging Professorship Fund in the College of Medicine to the Dr. Anthony N. DeMaria Cardiovascular Imaging Chair.

⁸ Board Action FCR 2, June 19, 2012 - The donor requested that the fund be renamed from the Liz Claiborne Foundation to the Fifth and Pacific Foundation, no money was moved.

⁹ Board Action FCR 5, December 11, 2012 - Renaming the Multicultural Studies Endowment Fund to the Georgia Davis Powers Endowment Fund and reallocating state funds and gifts to the same endowment fund from the University of Kentucky Center on Research on Violence Against Women fund to enable the Powers Chair to reach the financial level necessary to be an endowed Chair.

Note 4: The following table is a summary of all activity in the accounts related to the Schlaikjer gifts and pledges to clearly identify state and gift funds received.

Endowment Name	Date Estab.	Prior to 07/ 01/ 12			During Fiscal Year 2012 - 2013					Outstanding Pledges as of 06/ 30/ 13
		State Funds Received	Gifts Received	Market Value as of 07/ 01/ 12	State Funds Received	Gifts Received and Transfers ¹	Investment Earnings (Loss)	Earnings Distributed ²	Market Value as of 06/ 30/ 13	
Albert G. Clay Endowed Chair in Equine Reproduction	12/09/98	\$3,250,000	\$24,225	\$3,515,190	\$0	\$0	\$400,189	\$0	\$3,915,379	\$0
Jes & Clementine Schlaikjer Professorship - I	06/11/02	0	256,515	258,104	0	0	29,058	(10,593)	276,569	0
Jes & Clementine Schlaikjer Professorship - II	06/11/02	0	253,538	257,718	0	0	29,015	(10,577)	276,155	0
Jes E. and Clementine Mills Schlaikjer Chair in Equine Infectious Diseases	12/09/98	0	3,467,208	4,431,530	0	0	519,269	(691,425)	4,259,374	0
John A. Morris Library Endowed Research and Mission Support Fund	12/09/98	1,000,000	0	938,351	0	0	107,748	(28,887)	1,017,212	0
John S. & Elizabeth A. Knight Chair Endowment Supplement	12/09/98	1,716,000	249,657	2,019,100	0	0	234,193	(72,800)	2,180,493	0
Major Endowed Research Fund in Veterinary Science	12/09/98	1,825,881	10,000	1,727,902	0	0	198,410	(53,193)	1,873,120	0
Paul Mellon Endowed Graduate Student Support	04/04/00	0	1,750,000	1,729,820	0	0	198,633	(53,253)	1,875,200	0
Paul Mellon Equine Research Endowment	04/04/00	0	985,732	944,383	0	43,443	104,067	(68,141)	1,023,752	0
Paul Mellon Post Doctorial Fellowship	03/27/01	2,392,537	2,436	2,409,642	0	0	276,692	(74,180)	2,612,154	0
Pan Oak Stud Endowed Research Fund	04/06/99	500,000	500,000	952,356	0	0	109,357	(29,318)	1,032,394	0
William Robert Mills Chair in Equine Infectious Diseases	12/09/98	0	3,355,208	3,640,837	0	0	410,075	(149,492)	3,901,420	0
Total		\$10,684,418	\$10,854,519	\$22,824,932	\$0	\$43,443	\$2,616,707	(\$1,241,859)	\$24,243,223	\$0

Note 5: The following table is a summary of all activity in the accounts related to the Gill gifts and pledges to clearly identify state and gift funds received.

Endowment Name	Date Estab.	Prior to 07/ 01/ 12			During Fiscal Year 2012 - 2013					Outstanding Pledges as of 06/ 30/ 13
		State Funds Received	Gifts Received	Market Value as of 07/ 01/ 12	State Funds Received	Gifts Received and Transfers ¹	Investment Earnings (Loss)	Earnings Distributed ²	Market Value as of 06/ 30/ 13	
Alvin L. Morris Professorship in Oral Health Research	01/20/98	\$200,000	\$200	\$176,302	\$0	\$0	\$20,236	(\$5,425)	\$191,113	\$0
Gill Foundation Chair in Preventive Cardiology	01/20/98	0	1,000,000	860,199	0	0	99,112	0	959,311	0
Gill Foundation Professorship in Interventional Cardiology	12/19/97	0	200,225	167,478	0	0	19,223	(5,154)	181,547	0
Gill Heart Institute Endowment	12/26/02	0	1,168,759	1,123,249	0	0	129,421	0	1,252,670	0
Harry B. Kostenbauder Professorship in Pharmacy	04/24/02	200,000	34,825	223,696	0	0	25,716	(6,617)	242,795	0
Jack M. Gill Chair in Internal Medicine	01/20/98	1,000,000	0	903,563	0	0	103,713	(27,805)	979,471	0
Jacqueline A. Noonan - OMN Research Chair in Pediatrics	12/08/08	1,000,000	1,002,276	1,816,440	0	0	208,492	(55,896)	1,969,036	0
Jason Alexander Gill Professorship in Cardiothoracic Surgery	12/19/97	0	200,000	219,064	0	0	24,663	(8,991)	234,736	0
Jefferson Morris Gill, Ph.D. Professorship in Cardiology	12/19/97	0	200,000	193,478	0	0	22,208	(5,954)	209,732	0
Jennifer Gill Roberts Professorship in Pediatric Cardiology	12/19/97	0	200,000	191,532	0	0	21,984	(5,894)	207,623	0
Joseph Hamburg Professorship in Rehabilitation Sciences	01/20/98	200,000	0	180,970	0	0	20,772	(5,569)	196,174	0
Linda C. Gill Chair in Nursing	01/20/98	1,000,000	0	882,312	0	0	101,273	(27,151)	956,434	0
Marcia A. Dake Professorship in Nursing	01/20/98	200,000	0	183,987	50,000	50,000	84,470	(11,552)	356,905	0
Tyler Aaron Gill Professorship in Vascular Surgery	12/19/97	0	200,000	167,283	0	0	19,201	(5,148)	181,336	0
Total		\$3,800,000	\$4,206,285	\$7,289,553	\$50,000	\$50,000	\$900,485	(\$171,155)	\$8,118,883	\$0

FCR 23

Office of the President
December 17, 2013

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period July 1 through September 30, 2013.

Background: The March 1997 meeting of the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board of Trustees.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD THROUGH September 30, 2013

Patents

The following assignment on behalf of the Board of Trustees of the University of Kentucky Research Foundation has been executed:

1. **U.S. Patent Application Serial Number: 13/957,480**
Filed: August 2, 2013
Title: Method of Manufacturing a Semiconductor Heteroepitaxy Structure
Inventor: Zhi David Chen (electrical engineering)
Technical Description: This invention relates generally to the field of semiconductor structures and devices and, more particularly, to a new method of manufacturing a semiconductor heteroepitaxy structure.
Summary: Modern integrated circuits (ICs) have a profound impact on society because of the high growth in consumer electronics such as cell phones and laptops. The key device in ICs, which functions as switches in logic gates, is the silicon (Si)-based metal-oxide semiconductor field-effect transistor (MOSFET). The method of manufacturing a semiconductor heteroepitaxy structure comprises the steps of depositing a layer of semiconductor oxide on a base semiconductor layer, scavenging oxygen from the semiconductor oxide layer and recrystallizing the oxygen scavenged semiconductor oxide layer as a semiconductor heteroepitaxy layer. This invention discloses multiple embodiments of the layer of semiconductor oxide, the insulator layer, the gate metal, and the positive dielectric material used in making a semiconductor heteroepitaxy structure, as well as variations in the method of making this structure.

2. **U.S. Patent Application Serial Number: 13/958,087**
Filed: August 2, 2013
Title: Prostaglandin E Synthase Inhibitors and Methods for Utilizing the Same
Inventors: Chang-Guo Zhan, Hoon Cho, Hsin-Hsiung (Dan) Tai (pharmaceutical sciences), Adel Hamza (molecular and cellular biochemistry), Xinyun Zhao, Shurong Hou, and Ying Wu (pharmaceutical sciences)
Technical Description: This invention relates to prostaglandin E synthase (PGES) inhibitors and, in particular, microsomal PGES-1 (mPGES-1) inhibitors and to methods of utilizing mPGES-1 inhibitors to treat inflammatory disorders.
Summary: It is known that mPGES-1 couples with COX-2 and plays a key role in a number of disease conditions, including inflammation, arthritis, fever, pain, cancer, stroke, and bone disorders. Human mPGES-1 has been recognized as a promising target of next-generation therapeutics for those diseases, but few inhibitors of mPGES-1 have been identified in screening efforts. This invention discloses multiple pharmaceutical structures that have utility as PGES inhibitors, in particular mPGES-1, and their respective formations, such as injections, implants, suppositories, creams or lotions. The invention also discloses kits that include one of the pharmaceutical compositions, along with a second compound that has PGES inhibition and/or anti-inflammatory activity, and methods of inhibiting mPGES.

3. **U.S. Patent Application Serial Number: 14/019,709**

Filed: September 6, 2013

Title: Method of Treating Neuropathic Pain

Inventors: Joseph Holtman (anesthesiology), Peter A. Crooks, Linda Dwoskin, Elzbieta Pogonowska Wala (pharmaceutical sciences), J. Michael McIntosh

Technical Description: This invention relates to *bis*-quaternary ammonium salts and their use as agents for pain modulation, treatment, reversal, and/or prevention of inflammatory pain, neuropathic pain or nociceptive pain.

Summary: Pain can be broadly divided into two categories: nociceptive and neuropathic pain. Nociceptive pain occurs as a result of peripheral nociceptors, free nerve endings, by noxious stimuli such as heat, pressure and inflammatory mediators. Neuropathic pain occurs as a result of damage to the peripheral or central nervous system. In many patients, pain is inadequately managed with currently available drugs, and there is a need for more effective, less toxic analgesic drugs. One of the promising new molecular targets that could form the basis for a new class of analgesics is the neuronal nicotinic acetylcholine receptor (nAChR). This invention discloses *bis*-quaternary ammonium salts that are thought to interact with the nAChR. This invention claims numerous chemical compounds which are useful in treating inflammatory pain, neurotrophic pain or nociceptive pain and lists exemplary compounds of the present invention.

Patent Activities

Fiscal Year to date as of September 30, 2013

Number of Patent Applications	3
Number of Patents Issued	3
Patent Gross Revenue	\$1,048,683.55