

MINUTES

Meeting of the Board of Trustees
University of Kentucky
10:00 A.M.
February 25, 2003
18th Floor Patterson Office Tower

Minutes

Amendment August 13, 2002

Accompanying items:

President's Report and Action Items

- PR 1 President's Report to the Trustees
- PR 2 Personnel Actions
- PR 3 Appointment of Chief of Staff to the President
- PR 4 Appointment of Dean of the Carol Martin Gatton College of Business and Economics
- PR 5 Changes in the Articles of Incorporation and By-Laws of the University of Kentucky Equine Research Foundation, Inc.
- PR 6 Appointment/Reappointment of Board of Directors University of Kentucky Gluck Equine Research Foundation, Inc.
- PR 7 Honorary Degree Recipients

Academic Affairs Committee Report

- AACR 1 Candidates for Degrees – Community College System
- AACR 2 Change in Name of an Educational Unit
- AACR 3 Change in Name of an Educational Unit
- AACR 4 Bachelor of Science in Human Nutrition
- AACR 5 Establishment of a Center for Research on Violence Against Women

Finance Committee Report

- FCR 1 Acceptance of Interim Financial Report for the University of Kentucky for Six Months Ended December 31, 2002
- FCR 2 Capital Construction Report
- FCR 3 Report of Leases
- FCR 4 Patent Assignment Report
- FCR 5 Establishment of Pin Oak Scholarship Quasi-Endowment Fund
- FCR 6 Gifts to Charles H. Nicholson, M.D. Residency Fellowship
- FCR 7 Boehringer Ingelheim Pharmaceuticals, Inc. Pledge
- FCR 8 Gifts to George A. Digenis Professorship/Chair in Drug Design and Discovery
- FCR 9 Robert H. and Anna B. Culton Gift and Pledge
- FCR 10 Joseph Wm. Foran Gift
- FCR 11 Geoffrey C. Hughes Foundation Gift

- FCR 12 Sibu P. Saha, M.D. Gift
- FCR 13 Anthem Blue Cross and Blue Shield Gift
- FCR 14 Michael W. Hart Gift and Pledge
- FCR 15 Brian C. Wood Pledge
- FCR 16 St. Joseph Healthcare Pledge
- FCR 17 Woodall Construction, Inc. Pledge
- FCR 18 Authorization to Sell Property in Sharonville, Ohio
- FCR 19 A Resolution of the Board of Trustees of the University of Kentucky Authorizing the Issuance of Approximately \$5,120,000 of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Third Series), to be Dated the First Day of the Month in which the Bonds are Sold

Minutes of the Meeting of the Board of Trustees of the University of Kentucky,
Tuesday, February 25, 2003.

The Board of Trustees of the University of Kentucky met at 10:00 a.m.
(Lexington time) on Tuesday, February 25, 2003 in the Board Room on the 18th Floor of
Patterson Office Tower.

A. Meeting Opened

Mr. Steven Reed, Chairperson, called the meeting to order at 10:04 a.m., and Dr.
Elissa Plattner gave the invocation.

B. Oath of Office

Paul Van Booven, General Counsel, administered the Oath of Office to the
following new member:

Davy Jones, elected by the faculty to fulfill the term of Claire Pomeroy, for a term
ending June 30, 2004.

C. Roll Call

The following members of the Board of Trustees answered the call of the roll:
Mr. Paul W. Chellgren, Ms. Marianne Smith Edge, Dr. Davy Jones, Professor Michael
Kennedy, Ms. Pamela R. May, Dr. Elissa Plattner, Mr. Steven S. Reed (Chairperson), Mr.
C. Frank Shoop, Ms. Marian Moore Sims, Ms. Myra Leigh Tobin, Ms. JoEtta Y.
Wickliffe, Mr. Billy B. Wilcoxson, Mr. Russ Williams, Ms. Elaine A. Wilson, and Ms.
Barbara S. Young. Absent from the meeting were Dr. Robert P. Meriwether, Mr. Billy
Joe Miles, Ms. Alice Stevens Sparks, and Dr. W. Grady Stumbo. The University
administration was represented by President Lee T. Todd, Jr., Provost Michael Nietzel,
Acting Senior Vice President Jack C. Blanton, Senior Vice President and Chancellor of
the Medical Center James W. Holsinger, Jr., Vice President for Research Wendy
Baldwin, and General Counsel Paul C. Van Booven.

Members of the various news media were also in attendance. A quorum being
present, the Chairperson declared the meeting officially open for the conduct of business
at 10:08 a.m.

D. Introduction of New Student Government Association President

President Todd introduced the new Student Government Association President,
Mary Katherine Thompson. He explained that Ms. Thompson is not a member of the
Board of Trustees at this time and that a special election would be held for the student
Trustee. He thanked Ms. Thompson for making an adjustment in her schedule so that she
could attend the Board meeting. Ms. Thompson received a round of applause.

E. Consent Agenda

Mr. Reed asked if anyone wished to have any of the items under the consent agenda removed. He entertained a motion for approval of the following consent items on the agenda:

Approval of Minutes – December 10, 2002

Amendment August 13, 2002 Minutes

PR 2 - Personnel Actions

AACR 1 - Candidates for Degrees – Community College System

Mr. Shoop moved approval. His motion, seconded by Ms. Smith Edge, carried without dissent. (See PR 2 and AACR 1 at the end of the Minutes.)

F. President's Report to the Board of Trustees (PR 1)

Before beginning with the items in PR 1, President Todd mentioned the recent articles in the newspapers about tuition. He explained that the administration would not know the University's tuition situation until they know what the University's budget situation is. He reminded the Board of the previous 5.5% increase that had been announced and approved by the Board. As the State Senate works through their situation, it may be necessary for the Board of Trustees to have a special meeting to announce what the University of Kentucky's real tuition increase will be pending the Senate budget.

President Todd reported that the "Bucks for Brains" program made it into the State House budget with full support of \$120 million. It is also getting good support in the State Senate. He noted that they are adding some flexible language about the possibility of using some of that money for research facilities if the University chooses to do so. The University would still have to match the money. That language has been written but still has not been approved by the State Senate or the State House.

President Todd reported that he had a tremendously exciting meeting with some of the honor students of Kentucky in Northern Kentucky as well as some students from other states. These are students that are showing an interest in coming to the University, and they attend these meetings with their parents, which is extremely helpful. He said that he had met with the Registrar, Don Witt, and Mr. Witt had informed him that there were 402 attendees last year versus 664 this year. That is great news because these are the kinds of students that the University wants to attract.

One mantra that he has been stating in Frankfort lately with respect to the challenge that House Bill 1 brought about is that they want the University to educate 80,000 more students by the year 2020. Now, they are not funding the University to do that. The University has not had a funding increase for student population since 1998. This only covers 37% of the cost of each student that comes to the University of Kentucky and pays full tuition. State appropriations have to cover the other 63%. So, in a way the University loses by volume. The staff is still proceeding vigorously with recruitment because it is finding an outstanding class of students out there.

President Todd informed the Board of the upcoming Merit Weekends on campus. He reported that there are currently 100 additional reservations over this time last year for these weekend events. He invited the Board members to attend the Merit Weekends and interact with the students and parents.

President Todd then called attention to the following items in PR 1:

1. The University of Kentucky received official word of its reaffirmation of accreditation by the Southern Association of Colleges and Schools Commission on Colleges in December. President Todd thanked John Piccoro and the teams that helped with the process. He also thanked Alice Sparks in particular for representing the Board.
2. The University of Kentucky ranks among top 15 for new National Merit Scholars. The University moved up from the 21st position to 15th in the country among public universities. That again shows the University's recruiting efforts.
3. Scientist Magazine ranks UK among the top 10 for postdoctoral scholars. The University is getting some publicity on that, as well as several others, and this is important to the University.
4. College of Health Sciences opens \$33.5 million building. President Todd recommended that the Board tour the beautiful facility if they had not already done so. It is probably the top health sciences facility in the country.
5. UK partners with LG&E Energy on \$8 million coal-ash recycling project. This item illustrates some of the impact and partnerships of the University. UK will use \$4 million in funds from the U.S. Department of Energy to develop the facility and try to remove the amount of by-products from the coal burning that goes into landfills and converts those to cement projects. These are the kind of things that UK needs to be doing to help the State of Kentucky.
6. A reception was held for Senate Majority Leader Dan Kelly who received the National Reading Recovery Award. The Collaborative Center for Literacy Development is on campus, and that was a very significant recognition for his support of adult literacy.
7. Markey Cancer Center study finds reduced risk from many ovarian cysts. This study is Dr. John R. van Nagell's project that does a lot of good for the State of Kentucky.

8. UK Women's Choir performed at Carnegie Hall in New York. President Todd noted that Trustee Tobin attended the performance and asked her for comments. Ms. Tobin said the Choir was excellent and very well received. She said the University has every reason to be proud.
9. UK opened the new state-of-the-art Bernard M. Johnson Student Recreation Center. President Todd noted that this facility is a major improvement. He said that the students stepped up and agreed to pass a fee some time ago, and the building is now completed. He suggested that the Board members tour the new facility and see the various activities taking place.

President Todd encouraged the Board to peruse the other outstanding information about the faculty and staff achievements in PR 1.

President Todd referred back to page 3 of PR 2 on the consent agenda and said that he would like to recognize some people in the audience. Professor Mark Summers from the College of Arts and Sciences has been appointed to the Thomas D. Clark Professorship in American History to be effective March 1, 2003. He asked Professor Summers to stand and be recognized, following which he received a round of applause. President Todd expressed congratulations to Professor Summers and recognized Mrs. Summers who was also in the audience.

President Todd recognized Dr. Thomas Clark for whom the professorship is named and his wife, Loretta, and they received a round of applause. He asked Dr. Clark to make some remarks about this recognition for Professor Summers.

Dr. Clark said that he had a formal 75 years of connection with the University. This professorship stabilizes a very solid program in history in a department that has earned its pay every day that he has known it since 1928. He said that he is happy that this endowed chair will go marching on, not only in the area of research and writing, but most important of all, in the accomplishments, actions and its leadership in the classroom. He said that he appreciates what the Board has done, and he also appreciates what the donors have done to make this professorship possible. He said that this is one of his happiest moments. Dr. Clark received another round of applause.

President Todd thanked Dr. Clark for all the things he has done for the University during the time he served the University and also since his retirement. He also thanked him for the way he has served the State of Kentucky. He said Dr. Clark has kept everyone's conscience focused on the things that they need to do in the state. He wished Dr. Clark good luck in continuing to try to prod everyone's conscience to continue to move education forward because he thinks the foot has slipped a bit this session in Frankfort. President Todd said that he knows Dr. Clark's thoughts on that subject, and he appreciates every time Dr. Clark speaks on the subject matter. Again, he thanked Dr. and

Mrs. Clark and David Hamilton of the History Department and congratulated Professor Summers.

President Todd called attention to another item in PR 2 on the consent agenda. Terry Allen, who has been the Assistant Vice President of Affirmative Action, has been promoted to Associate Vice President for Employment Equity. He noted that Nancy Ray, former Associate Vice President for Employment Equity, took early retirement.

President Todd also called attention to Susan Krauss who has moved from Assistant Controller in the Office of Controller and Treasurer to Director of Investment and Endowment Services. He said that Ms. Krauss was at the Investment Committee meeting on Monday and did a fine job.

G. Appointment of Chief of Staff to the President (PR 3)

President Todd said that he was pleased to recommend that the Board of Trustees approve the appointment of Dr. Phyllis P. Nash as Chief of Staff for the President effective February 25, 2003. President Todd said that he certainly needed this position. He called attention to the background section and indicated that her duties will probably grow. He said that he is extremely pleased with the reaction to her selection. He recommended that Dr. Nash be appointed Chief of Staff to the President. Mr. Reed entertained a motion. Ms. Wilson so moved. Mr. Williams seconded the motion.

Dr. Jones said that he has known Dr. Nash for the last decade that she has been at the University, and he thinks the University will be extraordinarily well served and blessed to have her in the position.

Mr. Reed asked for any questions or discussion. The motion then carried without dissent. Dr. Nash was asked to stand and be recognized, following which she received a round of applause. (See PR 3 at the end of the Minutes.)

H. Appointment of Dean of the Carol Martin Gatton College of Business and Economics (PR 4)

President Todd recommended that the Board of Trustees approve the appointment of Dr. Devanthan Sudharshan as Dean of the Carol Martin Gatton College of Business and Economics effective July 1, 2003. He noted that Dr. Sudharshan's credentials were in the background information. He said that Dr. Sudharshan had gone through the selection process, and he was extremely pleased to make the recommendation. Ms. Smith Edge moved approval of PR 4. The motion, seconded by Mr. Chellgren, carried without dissent. (See PR 4 at the end of the Minutes.) President Todd asked Provost Nietzel to introduce the new dean.

Provost Nietzel thanked President Todd and said that he appreciated the opportunity to address two matters for the Board. One is to briefly acknowledge publicly the gratitude that he has and the institution has to Richard Furst for his service as Dean of

the Gatton College for the past 22 years. Dean Furst is the senior member of the dean corps with respect to length of service. Under his leadership, the Gatton College made terrific strides and has strengthened both its undergraduate and graduate programs and its facilities. Dick has done a wonderful job in terms of the endowment of the school, and his leadership will be missed on behalf of the Gatton College. He said that he wished Dean Furst could be there, but he is traveling to Naples, Florida to meet some alumni. Dean Furst's resignation will be effective June 30th. He will return to the faculty in the Gatton College at that time.

Provost Nietzel said the second matter is the opportunity to introduce to the Board Dr. Devanthan Sudharshan who will be the new dean of the Gatton College on July 1st. He said that he is particularly pleased that Dr. Sudharshan could be at the meeting. His wife, Nalini, accompanied him to Lexington, but is not at the meeting. Provost Nietzel briefly reviewed Dr. Sudharshan's credentials. He said that Dr. Sudharshan received his undergraduate degree from the Indian Institute of Technology in 1974. He trained as an engineer. He received his Ph.D. in business administration from the University of Pittsburgh in 1982, after which he joined the faculty at the University of Illinois and has been on the ranks of the faculty at the University of Illinois ever since that time. He was most recently the Associate Dean for Planning in their College of Business and Economics and has been active as the coordinator of the marketing area. He is the person who really reinvigorated/redirected and greatly improved a range of business administration programs at the University of Illinois.

Provost Nietzel reported that there was a very vigorous national search. The search committee interviewed a number of candidates and brought three candidates to campus for lengthy interviews with the faculty and the staff of the Gatton College, as well as administrators at the University. He said that he has seldom seen such a level of consensus with respect to the invitation that was made to Dr. Sudharshan to be the new Dean of the Gatton College. It was really gratifying to see how well he performed here and how extraordinarily well everyone received him. He said he took great pleasure in presenting Dr. Sudharshan. He asked Dr. Sudharshan to make a few comments to the Board.

Dr. Sudharshan said he was honored, humbled, and delighted to be at the meeting. The Gatton College has had terrific leadership from Richard Furst, and it is going to be hard to follow him. The Gatton College has built a strong foundation for scholarship, teaching, and service based on its strengths of its administrative leadership, faculty, staff and students. With the support of the excellent University leadership, he said he was enthusiastically looking forward to pursuing the mission of the college through a renewed commitment to discovery based learning and contributing to developing the future captains of industry for the state of Kentucky, the United States and the world at large. He said that he would do his best, with the support from the University leadership including this august body, to carry out and fulfill the trust that is being placed in him. He thanked the Board, and the Board gave him a round of applause.

President Todd added that the Naples, Florida event that Dean Furst will be attending that evening should have 300 alumni in attendance. He said that Dean Furst and Terry Mobley were already down there as forward scouts to work the fertile ground.

I. Changes in the Articles of Incorporation and By-laws of the University of Kentucky Equine Research Foundation, Inc. (PR 5)

President Todd said that PR 5 is a change in the Articles of Incorporation and By-laws of the University of Kentucky Equine Research Foundation. He recommended that the Board approve the attached amendment to Article I of the Articles of Incorporation and Article I of the By-laws of the Equine Research Foundation. He explained that it basically inserts the name Gluck to make it clear. Most people refer to the Gluck Center, but it is not officially in the name. Ms. Sims moved approval of PR 5. Mr. Chellgren seconded the motion, and it carried without dissent. (See PR 5 at the end of the Minutes.)

J. Appointment/Reappointment of Board of Directors University of Kentucky Gluck Equine Research Foundation, Inc. (PR 6)

President Todd recommended that the Board approve the appointment of Debbie Oxley, Bill Casner, and Stacey Bearse, and the reappointment of Ina Brown Bond, Lucy Young Boutin, Reiley McDonald and Don Robinson to the Board of Directors of the University of Kentucky Gluck Equine Research Foundation for four-year terms that will end December 14, 2006 and that Margaret Brady Glass and James "Ted" Bassett be appointed honorary members of that Board. Mr. Wilcoxson so moved. The motion, seconded by Mr. Shoop, carried without dissent. (See PR 6 at the end of the Minutes.)

K. Honorary Degree Recipients (PR 7)

President Todd said that it is always exciting to announce the honorary degree recipients. He explained that there is a committee that meets throughout the year and looks at various nominees. A condition of receiving an honorary degree is that the recipient attends Commencement. This stipulation has been cleared with these nominees, and they are able to attend the graduation ceremony. He recommended that approval be granted to award the honorary degree of Doctor of Science to Mary Sue Coleman, who was an active professor at the University of Kentucky for a number of years and is now the president of the University of Michigan; the Doctor of Laws to William Farish III, who is the ambassador to England; and the Doctor of Letters to Michael Lee Mullins, who is the executive director of the Hindman Settlement School in Hindman, Kentucky. Ms. Smith Edge moved approval of PR 7. Mr. Chellgren seconded the motion, and it carried without dissent. (See PR 7 at the end of the Minutes.)

L. Change in Name of an Educational Unit (AACR 2)

In the absence of Alice Sparks, Chairperson of the Academic Affairs Committee, Ms. Young reported that there were five items on the Committee's agenda. She noted that the first item (AACR 1) was on the consent agenda. The second item basically is a

name change that will better reflect the mission of the educational unit. The Committee recommended that the Board of Trustees approve the change in the name of the Department of Family Practice to the Department of Family and Community Medicine in the College of Medicine. She explained that this is just a clarification more than anything else. The faculty, the President, the Provost, the Senate Committee and all the powers that be have approved the recommendation. She moved approval of the name change. Ms. Wilson seconded the motion, and it carried without dissent. (See AACR 2 at the end of the Minutes.)

M. Change in Name of an Educational Unit (AACR 3)

Ms. Young reported that the third item is another name change. The recommendation is to change the name of the Department of Military Science to the Department of Military Science and Leadership in the College of Arts and Sciences. Again, this better reflects the Department's mission. It is almost a recruiting tool. There is a lot of interest in the leadership aspect of the Department. The Academic Affairs Committee approved this change in name as did the Arts and Sciences Faculty Council, the Provost, the President and all the powers to be. She said that the Committee recommends the change and moved approval of AACR 3. Ms. Smith Edge seconded the motion, and it carried without dissent. (See AACR 3 at the end of the Minutes.)

N. Bachelor of Science in Human Nutrition (AACR 4)

Ms. Young said that AACR 4 asks the Board to approve the change in the name of the Bachelor of Science in Human Nutrition with a major in Food Science to a Bachelor of Science in Human Nutrition with a major in Human Nutrition. Basically, it is to request to separate the Bachelor of Science in Human Nutrition from the Bachelor of Science in Food Science. She asked Ms. Smith Edge if she would like to explain it better. Ms. Smith Edge said the College would only be offering a Bachelor of Science in Human Nutrition with a major in Human Nutrition. Basically, it will reflect what is actually in place. Ms. Young noted that the proposal has the approval of the Undergraduate Council, the Senate Council, the University Senate, the Provost, the President and the Academic Affairs Committee. She moved approval of AACR 4. Professor Kennedy seconded the motion, and it carried without dissent. (See AACR 4 at the end of the Minutes.)

O. Establishment of the Center for Research on Violence Against Women (AACR 5)

Ms. Young said that AACR 5 recommends that the Board approve the establishment of the Center for Research on Violence Against Women. She noted that the Board had talked about this Center in the past, and everyone is pretty familiar with it. She said it was important to know that the operating funding is supported by the recurring general funds beginning in the fiscal year of 2004. Carol Jordan is the Acting Director for the Center. She said that Dr. Baldwin would have a presentation about the Center at the next Board meeting so the Board may find out more about the Center. The Center is

supported by the Vice President for Research and has been recommended by the University Senate. She said that the Academic Affairs Committee approved the recommendation, and she moved that AACR 5 be approved. Ms. May seconded the motion, and it carried without dissent. (See AACR 5 at the end of the Minutes.)

P. Acceptance of Interim Financial Report for the University of Kentucky for Six Months Ended December 31, 2002 (FCR 1)

Mr. Chellgren, Chairperson of the Finance Committee, reported that the Committee met that morning; however, they were a little short on attendance. Therefore, he asked the Board to formally adopt the 19 resolutions. The first item is FCR 1. This is the normal quarterly update of the year-to-date financials. These go through December 31st. He reported that the University had realized income of \$717 million representing 53% of the 2002-03 estimate of \$1,350,054,000. The expenditures total 49% of the approved budget. There is nothing that needs to be brought to the full Board's attention. He moved the adoption of FCR 1. Ms. Wilson seconded the motion, and it carried without dissent. (See FCR 1 at the end of the Minutes.)

Q. Capital Construction Report (FCR 2)

Mr. Chellgren said that FCR 2 is a normal report on quarterly capital construction activity. He reported that it was a relatively quiet time in the University's capital construction program. There were six change orders and three new projects. The Committee went over these in detail with a report from the Administration, and there is nothing that needs to be brought before the Board's attention. It is all pretty much in the normal course of the execution and implementation of a \$200 plus million annual capital budget. He moved the acceptance of FCR 2. Ms. Wilson seconded the motion, and it carried without dissent. (See FCR 2 at the end of the Minutes.)

Mr. Chellgren mentioned that the administration gave the Finance Committee some details on the magnitude of the damage that the University Physical Plant suffered during the recent ice storm. Apparently, there could be some 500 trees out of some 2500 on the University campus that could be lost. There were various electrical circuits, overtime, and contract labor expenditures. There could be a potential expense of something in the range of \$1.6 million, and there could be a claim to get some of that back. The University was lucky compared to the magnitude of the damage to the region affected by that ice storm.

R. Report of Leases (FCR 3)

Mr. Chellgren said that FCR 3 is the normal report of leases. He explained that this is not approving the leases but is just a report of leases. These leases were less than \$30,000 in annual expenditure. It was pointed out during the Finance Committee that these leases range from Grayson, Kentucky to Henderson, Kentucky to Princeton Kentucky, Lexington and Hyden. This shows the magnitude of the outreach of the University and how its service mission is so effective and so important to so many of the

counties in the state. He moved the acceptance of FCR 3. Ms. Sims seconded the motion, and it carried without dissent. (See FCR 3 at the end of the Minutes.)

S. Patent Assignment Report (FCR 4)

Mr. Chellgren said that FCR 4 is a quarterly patent assignment report. He noted that the patent assignments this past quarter were relatively light. There were only three patents as described in FCR 4. The Vice President for Research, Dr. Wendy Baldwin, described these to the Committee. He moved the acceptance of FCR 4. Ms. Smith Edge seconded the motion, and it carried without dissent. (See FCR 4 at the end of the Minutes.)

T. Establishment of Pin Oak Scholarship Quasi-Endowment Fund (FCR 5)

Mr. Chellgren said that FCR 5 is arguably a bit of a windfall. This was the house and 35 acres of land formerly known as part of the Pin Oak Farm in the greater Lexington area. The property was given to the University years ago. As part of the University's effort to look at under utilized assets and turn them into cash, the decision was made under the President's leadership to conduct an auction for the house and 35 acres of land. The auction has taken place, and \$2,108,650 has been raised. He noted that the transaction has actually closed.

Mr. Chellgren said that the fund will create a Quasi-Endowment within the University and be used to generate approximately \$100,000 a year in additional scholarships for students. He said that this is a nice example of turning a non-liquid asset into a cash asset that will, of course, benefit generations of students to come. He moved that the Board accept the provisions of FCR 5 to establish the Pin Oak Scholarship Quasi-Endowment Fund. Mr. Wilcoxson seconded the motion, and it carried without dissent. (See FCR 5 at the end of the Minutes.)

U. Gifts and Pledges (FCR 6 through 17)

Mr. Chellgren said that he would consider FCR 6 through 17, inclusively, and then deal with one resolution to accept them in total. He said that these items are consistent with the pattern the Board has seen in many meetings and reviewed the following items:

FCR 6 - accept gifts of \$62,100 to create and endow the Charles H. Nicholson Residency Fellowship in the Division of General Surgery. Dr. Nicholson was a longtime professor and surgeon at the University of Kentucky for 34 years. This gift is eligible for matching funds from the state's Research Challenge Trust Fund (RCTF) number three. FCR 6 through 10 have the RCTF designation. These commitments had been made and the funds had been earmarked previously under RCTF. These gifts and pledges will exhaust RCTF number three.

FCR 7 – accept a pledge of \$75,000 from Boehringer Ingelheim Pharmaceuticals, Inc. in Connecticut to be added to their existing endowment and surgical professorship for the College of Medicine’s Department of Internal Medicine. This is adding \$75,000 to an existing pledge of \$50,000 that will now total \$125,000. With the RCTF match, it will be a \$250,000 endowed professorship.

FCR 8 – accept gifts of almost \$16,000 and pledges of approximately \$53,000 to create and endow the George A. Digenis Professorship/Chair in Drug Design and Discovery in the College of Pharmacy. This is eligible for the one-to-one match and those funds had been reserved for this perspective gift.

FCR 9 - accept a gift of \$10,000 and a pledge of \$40,000 from Robert H. and Anna B. Culton to establish and endow a professorship in research devoted to women’s mental health problems. This has been earmarked for the one-to-one RCTF match.

FCR 10 – accept a gift of \$51,000 from Joseph Wm. Foran, a quite successful alumnus of the University, to establish and endow a professorship in the name of a friend, Mary Rebecca Johnson Whidby. This gift exhausts the RCTF number three.

FCR 11 – accept a gift of \$140,000 from the Geoffrey Hughes Foundation of New York to support the Geoffrey Hughes Foundation Fellowship in Veterinary Science in the College of Agriculture. This gift was established before, and this adds to the corpus of that Fellowship.

FCR 12 – accept a gift of \$100,000 from Dr. Saha, Lexington, Kentucky to the Department of Athletics. Dr. Saha has been a generous supporter of the University, and this is to provide scholarships for student athletes.

FCR 13 – accept a gift of \$100,000 from Anthem Blue Cross and Blue Shield of Louisville to the Department of Athletics for the baseball stadium renovation project.

FCR 14 - accept a gift of \$50,000 and a pledge of \$100,000 from Michael W. Hart of Corbin, Kentucky, to the Department of Athletics to support scholarships for student athletes.

FCR 15 – accept a pledge of \$100,000 from Brian C. Wood of Lexington, Kentucky, to the Department of Athletics to support scholarships for student athletes.

FCR 16 – accept a pledge of \$100,000 from St. Joseph Healthcare to the Department of Athletics to provide scholarships for student athletes.

FCR 17 – accept a pledge of \$100,000 from Woodall Construction, Inc., Lexington, Kentucky, to the Department of Athletics.

Mr. Chellgren moved that the Board accept FCR 6 through 17 inclusively. Ms. Sims seconded the motion, and it carried without dissent.

V. Authorization to Sell Property in Sharonville, Ohio (FCR 18)

Mr. Chellgren said that FCR 18 is part of the Board's legal responsibility to sell real property. This is a gift from a very longtime, generous supporter, Tracy Farmer. It is a piece of property and a building in Sharonville, Ohio that was given to the University two years ago. The gift has matured, and now the University has the right to sell it. The value is in the \$400,000 to \$500,000 range. The proceeds of the sale will go to the Tracy Farmer Center for the Environment. He moved the adoption of FCR 18, which authorizes the University to sell the property. Professor Kennedy seconded the motion, and it carried without dissent. (See FCR 18 at the end of the Minutes.)

W. A Resolution of the Board of Trustees of the University of Kentucky Authorizing the Issuance of Approximately \$5,120,000 of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Third Series), to be Dated the First Day of the Month in Which the Bonds Are Sold (FCR 19)

Mr. Chellgren said that FCR 19 is a refinancing of an existing bond issue. The existing bond issue is \$5,120,000. It is a Series K of the Kentucky Consolidated Educational Buildings Revenue Bonds. Given today's interest rates and environment, this is part of a pattern that has not been done too much lately. The Board will remember that much of the University's bond indebtedness was refinanced, and this is consistent with that pattern. The benefits of this bond refinancing go to the State of Kentucky rather than to the University of Kentucky, but nevertheless, it is something that should be done. The Finance Committee discussed and reviewed FCR 19. He moved the adoption of FCR 19 that will permit this bond issue to be refinanced. He mentioned that the University anticipated selling the bonds in about two more weeks, March 6th. The existing bond issue will be called April 1st with the payment date anticipated to be May 1st. He moved the adoption by the Board of Trustees of FCR 19. Ms. Wilson seconded the motion, and it carried without dissent. (See FCR 19 at the end of the Minutes.)

Mr. Wilcoxson asked about the status of the Research Challenge Trust Fund.

President Todd said that with the approval of the gifts and pledges at this meeting, it closes out the match for the previous legislative challenge trust funds. He noted that the University has matched \$67 million twice. The University is now waiting to see if the state budget will fully fund \$120 million. He said that the Senate has been making favorable remarks about keeping it in the budget at \$120 million, and it looks like the University will get a third round. The language that has been written by the Senate side would give the University the flexibility to make the decision about using some of the money for research facilities at the University level if they so choose. The University would still have to match it. The language gives the Council on Postsecondary Education the authority to develop the guidelines for how that money will be used. It looks like the University is going to get full funding. The University's share would be \$67 million like it has been before.

X. Investment Committee Report

Mr. Wilcoxson reported that the Investment Committee met on February 24th at 3:30 p.m. The endowment investments had a market value at December 31, 2002, of \$386.4 million compared to \$416.5 million June 30, 2002. At December 31, 2002 the endowment portfolio was invested 56% U.S. common stocks, 13% in non-U.S. equities, and 31% in U.S. fixed income securities. The endowment posted a negative total return of 12.3% for the year ending December 31, 2002. Preliminary performance results indicate a negative total return of 1.7% for the month of January 2003. The Investment Committee continues to monitor the markets waiting for an upturn.

Y. Other Business

Ms. Sims said that she wanted to put a face on one of the names of the big contributions to the University. Charles Nicholson was a fisherman who just loved UK. She said that she is sure that each of them has a similar story. Mr. Nicholson was a lovely friend.

Mr. Chellgren said that each one is a very real story. It is people who love the institution and want to support it.

Dr. Plattner said that she would like to make a comment on an article that President Todd happened to author last week in the newspaper about a critical, very timely, very important issue, and that is the state of higher education and all education, the academic crisis the state is in. She encouraged some reading on the part of all trustees. "The States Pull Back from Higher Education" is the lead story in the Chronicle of Higher Education. It is a bucket of water in the face. The crisis is everywhere. She said that the Governor was meeting with the National Conference of Governors today, and, in her opinion, this will be at the top of their lists. According to her friends in Ohio and Tennessee, the same thing is true everywhere. There is beginning to be a pull back from funding higher education. She said this with confidence that it is respected for the comment that it is. She thinks legislators everywhere always knew they could balance the budget on the back of higher education because you could raise tuition fees. But, the universities have about raised themselves out of the market. She said that she is ringing a bell and sounding a note because this is real wake up call. She urged everyone to read the article.*

Ms. May said that she hated to follow Dr. Plattner with this issue. Dr. Plattner is such a beautiful speaker. She feels, however, that something should be discussed in this session of the Board because this is the first session the full Board has had since all the publicity regarding the problems with Mr. Barnhart, with the football coach, and all the NCAA matters that have had everyone's attention for several weeks. The University did not obtain the kind of publicity that it seeks and that the Board wants.

Ms. May said that one of the most important responsibilities that the Board has is to protect the University, to make sure that it functions well, and to make sure that no

*Correction of this paragraph was approved at the April 1, 2003 Board meeting. Please see the minutes page (www.uky.edu/Trustees/Minutes) for that meeting.

harm comes to it. She said that she was not speaking about this meeting. She did not question any of the decisions that were made or the way they were handled. Instead, she wanted to talk to each of the Board members about their responsibility. If the Board looks at the Board materials that they received at the summer session, it is the Board's responsibility to set clear cut policy for the President to follow and for the people in the University then to follow the President's direction. She feels that the Board sets policies, but when you go back and look for a specific policy on something, it is not there.

One of the things she requested after the Board got into this entire athletic issue was that the Board adopt a policy, and Ms. Sparks brought all these things to Board members.* When you go back and do a search of the Minutes, which she did and had other people assist her, the Board really did not adopt a policy saying that it is committed to compliance with the NCAA rules and regulations. The Board is committed to be an outstanding institution in terms of NCAA compliance. The Board adopted those recommendations, such as look into the cars that the University has and look into the tickets, but in terms of the global policy, which is the Board's responsibility, it is really not there.

She said that she suspected the same thing is true perhaps in other areas. The President has brought to the Board a Commission on Diversity, but the Board's obligation is to set policies and to clearly say what this organization is about. She encouraged each of the members to try to remember that as the Board faces issues in the future, they need not to be just passing a motion but to be considering adopting a policy that takes the University forward and clearly a sense of what the Board is all about. Then, give that to the President and the President does the various things that it takes to carry out that policy. She said that she thinks it is very easy for an organization to get lost in the day-to-day activities and forget what the Board's ultimate responsibility is, which is to set the tones and policies through which the whole organization is governed.

Mr. Reed said that he could not agree more on the policy role of the Board. The Board is probably well aware of the difficulty it has to address policies in advance. There are any number of issues that come before the Board and the Board deals with them, some in advance through policy measures and some dealt to them. The Board reacts to them as they are presented to the Board. If the Board looks at its mission statement, it says a great deal about the Board as far as compliance and who we are, the mission of this University. It may not be an expressed policy explicitly providing for a compliance with NCAA rules, but at the same time, if you look at the behavior of the Board and the President, who invited Board members to the NCAA hearings, you will see a strong concern by the President, and by the Board as well, to be fully compliant with the NCAA rules and any other number of rules and laws that may lack an expressed statement, an affirmative statement of support, but nonetheless, he thinks some of it is understood. Perhaps, the Board could do a better job, Ms. May, of explicitly laying out some policy statements that perhaps are so intuitive, so ingrained that the Board did not see the need to have a policy statement.

*See clarification in March 18, 2003 minutes.

Dr. Jones said that he wanted to make a comment about one of the honorary degree recipients. As far as the image of the University and helping the University look good, Mary Sue Coleman is one of ours and across the years she has gone higher and higher nationally. He said he knows that the faculty and the UK community have great pride. She has reflected very well on the University of Kentucky. He said he was actually quite ecstatic at his first Board meeting that he would be able to vote for and show appreciation for what Dr. Coleman has done to increase the University's stature.

Z. Meeting Adjourned

With no further business to come before the Board, Mr. Reed adjourned the meeting at 10:59 a.m.

Respectfully submitted,

Russ Williams
Secretary, Board of Trustees

(PR 2, 3, 4, 5, 6 and 7; AACR 1, 2, 3, 4, and 5; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18 and 19 which follow are official parts of the Minutes of the meeting.)

Amendment August 13, 2002 Minutes – L. Add Last Sentence

L. Appointment of Vice President for Academic Outreach and Public Service
(PR 7)

President Todd said that PR 7 is a request for the appointment of Vice President for Academic Outreach and Public Service. He said his recommendation is based on the search committee's recommendation. He recommended that Dr. Retia Scott Walker be appointed Vice President for Academic Outreach and Public Service effective August 14, 2002. He reminded the Board that it recently approved the formation of the position. It is his strong feeling that the University needs someone in this capacity to advance the public service and academic outreach mission of the University. He said it was interesting to learn as he interviewed Dr. Walker that she also served in New York City in their public service well before she came to the University of Kentucky. He recommended the appointment of Dr. Retia S. Walker as Vice President for Academic Outreach and Public Service. Ms. Wilson so moved. Ms. Sparks seconded the motion, and it carried. Ms. Smith Edge voted nay. (See PR 7 at the end of the Minutes.)

PR 1
Office of the President
February 25, 2003

1. University of Kentucky Wins Reaffirmation of SACS/COC Accreditation

The University of Kentucky received reaffirmation of its accreditation by the Southern Association of Colleges and Schools Commission on Colleges (SACS/COC) in December. The renewed accreditation is effective for the period 2002 to 2012. Taken at the SACS/COC annual meeting, the action follows a two-year UK academic self-study and a site visit by a 26-member SACS accreditation team last spring. The UK self-study was guided by John J. Piccoro Jr., Pharmacy, who then led the university's successful response to 30 recommendations from the visiting team. The recommendations involved such areas as regular review of the university's mission, distance learning programs, advising and budget planning, documentation of faculty and teaching assistant qualifications, and a variety of other policies and practices.

2. UK Ranks Among Top 15 for New National Merit Scholars

UK is among the top 15 public universities in the number of new freshman National Merit Scholars, newly released rankings show. UK enrolled 53 National Merit Scholars last fall, more than all other universities in Kentucky combined. UK ranks 35th in the nation among both public and private institutions. Last year, UK ranked 21st among public institutions and 46th among public and private schools. The National Merit Scholarship Program is widely viewed as the most prestigious national award bestowed upon graduating high school seniors. Recipients are chosen for their academic records and extracurricular activities.

3. Scientist Magazine Ranks UK Among Top 10 for Postdoctoral Scholars

UK has been ranked among the top 10 institutions overall for postdoctoral scholars and fellows in a survey conducted by The Scientist. In a Web-based survey of its postdoctoral readers, The Scientist, an international magazine that reports on and analyzes events and issues that impact the world of life scientists, asked about institutions' levels of collaboration, commitment to teaching, interaction with principal investigators, training and counseling, and overall educational and research experience. The survey placed UK tenth, alongside such universities and centers as Rutgers, University of Miami, Princeton, National Cancer Institute and University of California at Davis.

4. College of Health Sciences Opens \$33.5 Million Building

The UK College of Health Sciences Building officially opened on Feb. 21 during a ceremonial ribbon cutting and open house. Located at the intersection of Rose and Limestone streets, the 210,000-square-foot building has a significant presence at UK and the Chandler Medical Center. In March 1998, the Kentucky General Assembly earmarked \$20 million in state funds to help build the new \$33.5 million facility. The remaining \$13.5 million came from private gifts and donations. The College of Health Sciences Building also houses UK Sanders-Brown Center on Aging offices, National Institutes of Health-funded research space, and administrative offices and classrooms to be used by other colleges within the UK Chandler Medical Center.

5. UK Partners With LG&E Energy on \$8 Million Coal-ash Recycling Project

UK and LG&E Energy Corporation are partnering on a recycling project to reduce landfill space needed to store ash from coal-burning power plants. Researchers at the UK Center for Applied Energy Research will use \$4 million in funds from the U.S. Department of Energy to develop a facility at the LG&E plant in Ghent, Ky., to turn coal ash into a cement substitute. Cement manufacturing is one of the highest generators of carbon dioxide of any industrial process. Substituting ash for cement could lead to reductions of carbon dioxide in the atmosphere. LG&E provided \$3 million of its own funds for the project, and UK \$1 million. The DOE funding, announced by U.S. Sen. Jim Bunning, is part of President Bush's Clean Coal Power Initiative.

6. Senate Majority Leader Dan Kelly Wins National Reading Recovery Award

Kentucky state Sen. Dan Kelly of Springfield, Senate majority leader in the Kentucky General Assembly, was presented with the Reading Recovery Teacher Leader Award from the Reading Recovery Council of North America at a special ceremony at Maxwell Place in early January. The national award recognized Kelly's efforts to promote an innovative reading recovery program for Kentucky schools by his support of Senate Bill 186, which established the Collaborative Center for Literacy Development. The center provides reading recovery education programs at all Kentucky universities and is headquartered at UK.

7. Markey Cancer Center Study Finds Reduced Risk From Many Ovarian Cysts

A 15-year study by the UK Markey Cancer Center has determined that surgery may not be necessary for women with ovarian cysts as large as four inches in diameter. The study by John R. van Nagell Jr., chief of Gynecologic Oncology, shows the risk of cancer developing due to simple ovarian cysts is low. In the study, 15,106 women aged 50 and older received an annual transvaginal sonography. Of the women screened, 2,761 had simple cysts. Only 79 had surgery because their cyst did not go away or was causing pain. Fifty-four had their ovaries removed during a hysterectomy or other surgical procedure. None of the women had ovarian malignancies. The women with cysts had follow-up sonographies every three to six months for an average of six years. However, most cysts resolved spontaneously within three months.

Other Gynecologic Oncology faculty participating in the study included Susan C. Modesitt, Frederick R. Ueland, Paul D. DePriest and Edward J. Pavlik. Richard J. Kryscio, Biostatistics Consulting Unit, also participated in the study.

8. UK Partners for Cancer Clinics in Georgetown and Mount Sterling

Just weeks after opening a new outpatient cancer treatment center in Georgetown, UK Chandler Medical Center has partnered with Mary Chiles Hospital in Mount Sterling to open another clinic. Ground was broken last week for Gateway Radiation Medicine Treatment Center, which will serve patients in Montgomery and surrounding patients. In mid-December, UK Chandler Medical Center and Georgetown Community Hospital opened the outpatient cancer treatment center for patients in Scott and surrounding counties. These centers feature a linear accelerator, a simulator, a mold room, exam rooms and offices. The accelerator, housed in a cement vault with walls up to eight feet thick, treats tumors with an external beam of radiation. UK has collaborated with other community hospitals in Berea, Maysville and Morehead to open cancer treatment centers closer to patients' homes.

9. Markey Cancer Center Shares Grant for Statewide Consortium for Action

The UK Markey Cancer Center will share a five-year, \$1.5 million grant from the Centers for Disease Control and Prevention to the Kentucky Cancer Program (KCP). Under the grant to KCP, which is jointly administered by the Markey Cancer Center and the University of Louisville Brown Cancer Center, the KPC will establish a statewide consortium to carry out the state's cancer action plan and coordinate existing cancer resources. Twenty health organizations will be

involved, including the American College of Surgeons, Cancer Information Service, the Kentucky Cancer Registry, and the Kentucky Medical Association.

10. UK Women's Choir Performs at Carnegie Hall in New York

The UK Women's Choir performed Feb. 12 at Carnegie Hall in New York City as part of the activities of the American Choral Directors National Convention. Lori Hetzel, associate director of choral activities, conducts the 110-member choir. The choir's performances at Carnegie Hall and at Riverside Church included a chant by medieval composer Hildegard of Bingen, a new piece commissioned for the UK Women's Choir and oboe professor Nancy Clauter by contemporary composer Paul Basler, a Mendelssohn part song, two Venezuelan folk songs featuring percussion and professor of voice Noemi Lugo, and a rousing gospel finale arranged for the choir by New York composer Roger Holland. The performance resulted from an audition tape submitted by the choir this past summer. This was the first appearance by the UK Women's Choir at Carnegie Hall and the first time a UK choir has been invited to attend the American Choral Directors National Convention.

11. University Health Service Achieves Three-year National Accreditation

University Health Service (UHS) at UK has achieved a three-year accreditation by the Accreditation Association for Ambulatory Health Care (AAAHC). UHS passed a series of rigorous and nationally recognized standards set by AAAHC for providing quality health care. More than 1,300 ambulatory health care organizations across the U.S. are accredited by AAAHC. The accreditation process involves an extensive, on-site, peer-based survey of facilities and services.

12. UK, Lexington Community College Students Can Register Online

After a successful trial run in December, a new online registration system permitted UK and Lexington Community College students to register for spring semester classes, add and drop classes, and sign up on closed-class wait lists in early January. UK's WebUK system now permits students to go online to view and print class schedules, view and print grades, view and print unofficial transcripts, view and update their addresses, view financial status, and perform other functions.

13. Study Finds Rise in On-the-Job Homicides in Kentucky

According to a UK study, the number of occupational homicides in Kentucky increased from eight in 2001 to 12 for the first 10 months of 2002. The study, "Large Increase in the Number of Occupational Homicides," was conducted by the Kentucky Injury Prevention and Research Center (KIPRC) and published in the October 2002 Fatality Assessment and Control Evaluation newsletter. KIPRC is a partnership between UK and the Kentucky Department for Public Health. Terry Bunn, project manager of the program and author of the report, also examined the relationships between the victim and the perpetrator for each of the deaths. Workplace violent acts count among the three leading causes of worker deaths in the U.S. In the late 1990s, there was a downward trend in the number of work-related homicides. That trend may now be reversing.

14. 'Design on the Edge' Brings Innovative Architects to Campus

The 2003 Design on the Edge symposium brought four of the nation's premier architects and designers in the areas of hospitality and entertainment to the UK campus to discuss their innovative works. The panelists were Deborah Berke, an associate professor of architecture at Yale University who was chosen by Interior Design Magazine as its 2002 Hall of Fame recipient; Michael Bierut, partner in Pentagram Design of New York and an award-winning graphic

designer; Duncan Paterson, an architect and designer who has created projects for Universal, Disney, Sony Entertainment, and Dreamworks; and Adam Tihany, an architect whose across-the-board designs link architecture, interiors, graphics, furniture and tableware.

15. UK Opens New State-of-the-art Johnson Student Recreation Center

The new Bernard M. Johnson Student Recreation Center officially opened to the UK community on Jan. 15. The center provides UK students with a modern recreation and workout facility covering 87,000 square feet on two levels adjacent to the Seaton Center. The center was developed as a valuable tool to students: central to the educational mission of the university and promoting active, healthy lifestyle choices. It is viewed as a way to recruit and retain students and employees, develop leadership and employment opportunities for students, and promote wellness and fitness as a way of life. Constructed entirely with funds from student fees at a cost of \$15.3 million, the Johnson Center houses two aerobics studios; a multi-purpose gymnasium with four basketball courts; an elevated, four-lane jogging track and stretching area; a climbing wall; four racquetball courts; and two levels of fitness/weight training.

16. 'Girls in Science' Program Targets Eastern Kentucky Girls for Nurturing

UK is recruiting teachers and middle-school girls for its expanded "Girls in Science" program that encourages girls in Eastern Kentucky to consider careers in science, technology, engineering and math. The program, funded by the National Science Foundation, features mentoring, a week-long summer camp at UK for two consecutive years and five Saturday academies during school years at participants' local community colleges. Girls from Bell, Breathitt, Clay, Floyd, Harlan, Jackson, Johnson, Knott, Knox, Laurel, Lee, Leslie, Letcher, Magoffin, Martin, Owsley, Perry, Pike, Rockcastle, Whitley and Wolfe counties are being recruited.

17. Actress Lynda Carter Helps Markey Cancer Center Raise Pain Awareness

Actress Lynda Carter assisted the UK Markey Cancer Center with a consumer awareness program at the Lexington unveiling of the national tour of the "Many Faces of Pain" photo exhibit that pays tribute to the 50 million Americans who live with persistent pain. The program and exhibit, sponsored by the center's Symptom Management and Palliative Care program in collaboration with Partners Against Pain®, emphasizes the importance of appropriate pain management. While in Lexington, Carter also visited patients at the UK Markey Cancer Center.

18. Kentucky Geological Survey Releases State Data on pH Levels in Groundwater

A study by the Kentucky Geological Survey summarizes statewide data for pH values in groundwater. Regional patterns of groundwater quality affect many citizens and municipalities that rely on wells and springs for drinking water. The new data will help planners anticipate conditions they will encounter when new groundwater supplies are developed.

19. UK Stroke Researchers Explore Vampire Bat Saliva as 'Clot-buster'

A new anti-stroke drug synthesized to resemble a "clot-busting" protein found in vampire bat saliva is under investigation by UK stroke researchers led by Creed Pettigrew, Neurology and director of the UK Stroke Program. Most strokes are caused by a blockage of an artery supplying blood to one region within the brain. Once the artery is blocked, brain cells that receive oxygen and nutrients carried in fresh blood will die unless the artery can be re-opened to restore blood flow. In nature, vampire bats feed by drinking the blood of sleeping prey. A protein that is present in bat saliva keeps the blood from clotting so the bat can feed. The investigational drug resembles this protein and dissolves blood clots that form in brain arteries. UK is one of only 12 sites in the United States to study this potential new treatment for strokes.

20. Army Depot Speaker Discusses Security of Chemical Weapons at Richmond

At a time of concern over escalating tension with Iraq and threats of terrorist activity, the public affairs officer at the Blue Grass Army Depot in Richmond spoke at UK about activities at the depot and plans for chemical weapons stored there. David Easter's talk was at a meeting of the Bluegrass Chapter of the American Society of Mechanical Engineers.

21. UK Advances HIV Treatment by Identifying Cause of Side Effect

UK researchers are one step closer in the treatment of human immunodeficiency virus (HIV). A team led by Eric Smart, Physiology, has been studying highly active anti-retroviral therapy (HAART), a combination of drugs used to treat HIV. HAART has dramatically improved the health of a large number of HIV-infected patients but some patients have experienced harmful side effects, causing concern for its use in long-term treatment for HIV. Smart and his team, including researchers in the Department of Physiology and the Division of Infectious Diseases, as well as the Graduate Center for Nutritional Sciences, have shown that HIV protease inhibitors directly promote atherosclerosis in mice. These findings were published in February's issue of the Journal of Clinical Investigation.

22. King Library Exhibit Focuses on Irish Literature from Swift to Joyce

An exhibit highlighting books, autographed manuscripts and signed letters from the time of satirist Jonathan Swift to novelist James Joyce has opened in UK Libraries' Special Collections unit in the Margaret I. King Library. The exhibit, "Irish Literature, 1699-1944," draws from the extensive holdings of UK's Peal Collection. The exhibit opened in mid-February with a lecture by Helen Vendler, the Harvard University Arthur Kingsley Porter University Professor.

23. University Press of Kentucky Reissues Book, Wins Award

University Press of Kentucky has received the Basil W. Duke Award for reissuing the long-out-of-print "Johnny Green of the Orphan Brigade: The Journal of a Confederate Soldier." Edited in its original form by A. D. Kirwan, UK's seventh president, the reissue contains new illustrations and a foreword by Lexington attorney Kent Masterson Brown, founding editor of Civil War magazine and founder of the Perryville Battlefield Preservation Association. First released in 1955, the book chronicles the battlefield experiences of John W. Green (1841-1920), an enlisted man with Kentucky's famed Confederate Orphan Brigade throughout the Civil War. Green fought at Shiloh, Vicksburg, Chickamauga, Atlanta, and many other crucial battles. The Basil W. Duke Award is given by the Military Order of Stars and Bars, an organization dedicated to the preservation of Confederate history.

24. UK Student Recruitment Publications Garner National Recognition

Several UK admission and recruitment publications received national recognition at the 18th Annual Admissions Advertising Awards sponsored by Admissions Marketing Report, the national newspaper of admissions marketing. UK's Schedule of Classes book received a Merit Award and the school's University Bulletin received the highest honor, the Gold Award for colleges and universities with an enrollment over 20,000. UK's complete recruitment package – which includes the Schedule of Classes and Bulletin – also received a Merit Award. Jacquie Hager, associate registrar, and Matt Patterson, publications manager in the Office of Admissions and Registrar, coordinated and implemented the publications' content, format and designs.

25. UK CARES, Other Units Launch Week of Eating Disorders Awareness

UK CARES (Counseling, Awareness, Resources and Educational Services), along with University Health Service and University Counseling and Testing Center are hosting a week of events aimed at increasing awareness of potentially life-threatening eating disorders. Signs of eating disorders include preoccupation with food and weight, conversations about "feeling fat" when weight is normal or below normal, low self-esteem, knowledge about the number of calories and fat content in foods, and obsessive exercising. Five to 10 million women and girls and one million men and boys suffer from eating disorders in the U.S. The week of events includes presentations and lectures.

26. Physician Assistant Students Receive White Coats at Ceremony

The UK College of Health Sciences physician assistant (PA) studies program celebrated the beginning of training for the newest class of students during its annual convocation and white coat ceremony in January. In addition to receiving their white coats, the new class of 39 students took the physician assistant oath. The PA program, the only program of its kind in Kentucky, converted to a master's level program in February 2001 after more than two decades as a bachelor's program. This year's new class of students includes graduates of 13 Kentucky colleges and universities.

27. Education Graduate Students Assist Henry Clay High International Exhibit

Graduate students from the master's with initial certification (MIC) program in the UK College of Education assisted international students from Henry Clay High School with "The International Flavor of Henry Clay," an exhibit that opened at the Kentucky Theatre earlier this month. The exhibition, which includes photographs and a PowerPoint presentation, celebrates the variety of cultures that make up the high school's student body. The exhibit shows the students in their native countries, including Iran, Bosnia, the Republic of the Congo, Ghana, India, Pakistan, and Mexico, as well as working and interacting with their mentors from the College of Education.

28. Student Awards and Achievements

Suzie Allard, Library and Information Science, received Student Chapter Member-of-the-Year Award from the Southern Ohio chapter of the American Society of Information Science and Technology.

Chase Allen, Rehabilitation Counseling graduate student, and Barbara Slevin, Educational and Counseling Psychology doctoral candidate, each presented research at the American Epilepsy Society meeting in Seattle.

Maria Brann, Communication, had a book chapter "Reframing Communication During Gynecological Exams: A Feminist Virtue Ethics Care Perspective" with M. Mattson and will be published in *Gender in Applied Communication Concept*.

Brandon Taylor Johnson, Communications and Information Studies, has been named one of the 25 most promising minority students in the nation by the American Advertising Federation.

Kitty McClanahan, Library and Information Science, received the John Kayles Membership Award at the Annual Meeting of Southern Ohio chapter of the American Society for Information Science and Technology.

Eric Moyen and Jason Edwards, Education doctoral students, contributed articles and materials to the six-volume *Encyclopedia of Education*, 2nd Edition.

Gina Owens, Educational and Counseling Psychology doctoral student, and Bill Stilwell, Educational and Counseling Psychology professor, published "Recording Practicum Hours: Help for Psychology Graduate Students Seeking Internships" in *The Behavior Therapist*.

Elizabeth A. Towles, Educational and Counseling Psychology doctoral candidate, along with colleagues at the Interdisciplinary Human Development Institute, recently published "Alternate Assessment Scores and Life Outcomes for Students with Significant Disabilities: Are They Related?" and "Portfolios in Large-Scale Alternate Assessment Systems: Frameworks for Reliability" with Brent Garrett in the periodical *Assessment for Effective Intervention*.

29. Faculty Awards and Achievements

Debra Aaron, Animal Sciences, was elected 2002-2003 president of the Southern Section of the American Society of Animal Science.

Richard Angelo, Educational Policy Studies and Evaluation, has been appointed to a four-year term on the editorial board of *Educational Theory*. Published at the University of Illinois, this quarterly journal is the official organ of the John Dewey Society and the Philosophy of Education Society.

Wendy Baldwin, Research, was elected to the Committee on Nominations of the American Association for the Advancement of Science, the world's largest general scientific society and publisher of *Science*.

Margaret Bausch, Tom Guskey, Ted Hasselbring, Bonnie Johnson, Edward "Skip" Kifer, and John R. Thelin, Education, contributed articles and materials to the six-volume *Encyclopedia of Education*, 2nd Edition.

Tricia Browne-Ferrigno, Education, received a three-year, \$475,874 grant from the U.S. Department of Education for the study "School Leadership Development Program: Kentucky's Collaborative Model for Developing School Leaders for Rural High-Need Schools." Co-investigators are Keith Gurley and Bonnie C. Johnson, Education.

Wai Hsien Cheah, Communications and Information Studies, published his article "Social-environmental Marketing: A New Approach, a New Name, and a New Life for Green Marketing" in *Interdisciplinary Environmental Review*.

Henry Cole, Educational Psychology, and Joan Mazur, Curriculum and Instruction, presented three papers in late January to the Centers for Disease Control and Prevention, National Institute for Occupational Safety and Health in Cincinnati. The papers were "Narrative Approaches to Health Behavior Research," "The Kentucky ROPS Project - A Community Trials Tractor Safety Intervention" and "Integration of Community-Relevant Public Health Materials into Required Public School Curricula."

Ken Culp, 4-H/Youth Development Specialist, was elected vice president of the International Association of Leadership Educators for 2002-2003.

Carl Dillon, Agricultural Economics, Michael Montross, Biosystems and Agricultural Engineering, John Grove, Agronomy, Thomas Mueller, Agronomy, and Timothy Stombaugh, Biosystems and Agricultural Engineering, received U.S. Department of Agriculture grants totaling more than \$550,000 for research on precision agriculture involving development and assessment of integrated practices for Kentucky producers.

Sharon Franklin, Animal Science, was elected 2002-2003 president of the Midwest Section of the American Dairy Science Association.

Larry Grabau, Agronomy, received the Agronomic Resident Education Award from the American Society of Agronomy.

Thomas Guskey, Educational Policy Studies and Evaluation, recently published several articles: "New Challenges for Staff Developers Under No Child Left Behind" in *The Networker*; "Professional Development and Teacher Change" in *Teachers and Teaching: Theory and Practice*; "Using Classroom Assessments to Improve Student Learning" in *Educational Leadership*; and "Grading Practices in Schools" in the *Encyclopedia of Education* (2nd ed.). He also co-developed the Grading and Reporting Student Learning Professional Development Inquiry Kit, a self-contained training program published and distributed by the Association for Supervision and Curriculum Development.

Jimmy Henning and Lloyd Murdock, Agronomy, were elected Fellows of the American Society of Agronomy.

Thomas P. Howard, Accounting, is editor of *Issues in Accounting Education* (2001-2004).

David Hulse, Accounting, is associate editor of the *Journal of the American Taxation Association*.

Craig Jordan, Medicine, received a five-year, \$500,000 Career Development Program Award from the Leukemia-Lymphoma Society in support of his laboratory research in cancer.

Bonnie C. Johnson, Administration and Supervision, presented "Full Service Schools, Coordinated Social Services and School Reform" at the annual meeting of The International Congress for School Effectiveness and Improvement in Sydney, Australia, in early January. She is also a contributor to three published articles: "School Public Relations and the Principalship: An Interview With Denny R. Vincent" in *Journal of School Public Relations*; "The Reality And Myth Of The Superintendent Shortage: Implications For Research And Educational Policy" with Lars Bjork, Administration and Supervision, in *The Journal of School Leadership*; and in *The Encyclopedia of Education* (Vol. 2).

Willis Johnson, Curriculum and Instruction, presented "Kentucky's Approach to Standards-Based Mathematics Education" at the International Conference on Children's Mathematical Development and Standards-Based Assessment sponsored by the Ministry of Education, Taipei Municipal Teachers College, in Taipei, Taiwan.

Kristine Jolivet, Special Education and Rehabilitation Counseling, along with colleagues, recently published, "Naturally Occurring Opportunities for Preschool Children With and At-Risk for Disabilities to Make Choices in Education and Treatment of Children" and a second article with C. Michael Nelson, Special Education and Rehabilitation Counseling, and Christine A. Christle, Special Education and Rehabilitation Counseling doctoral student, "Addressing the Needs of At-Risk and Adjudicated Youth Through Positive Behavior Support: Effective Prevention Practices in Education and Treatment of Children."

Larry Jones, Agricultural Economics, received a \$380,000 from Philip Morris USA to conduct a seventh class of the Agricultural Leadership Program in 2003.

Linda McDaniel, Accounting, is president-elect of the auditing section of the American Accounting Association and is on the editorial board of *Auditing, A Journal of Theory and Practice*.

David Mohny, dean of Design, lectured on "The Transformative Power of Architecture" at the Speed Art Museum in Louisville.

Terry Malone, Physical Therapy, received the 2002 Hogeschool van Utrecht Award from the International Federation of Sports Physiotherapists.

Robert Ramsay, Accounting, is on the editorial board of the Accounting Review and Auditing, A Journal of Theory and Practice, as well as the program director for the mid-year meeting of the Auditing Section of the American Accounting Association.

Robert Rapp, Pharmacy and Surgery, received the 2002 Paul F. Parker Award during the American Society of Health-System Pharmacists midyear clinical meeting in Atlanta.

Frank Romanelli, Pharmacy, has been named the Bluegrass Pharmacy Association's 2002 Pharmacist of the Year.

David Royse, Social Work, released the fourth edition of his research text Research Methods in Social Work (Brooks/Cole, Pacific Grove, Calif.) in January.

Jerry Skees, Agricultural Economics, had his article "A Role for Capital Markets in Natural Disasters: A Piece of the Food Security Puzzle" selected for inclusion in the two-volume set "The Economics of Natural Hazards," which will form part of the series, "The International Library of Critical Writings in Economics."

Scott Smith, dean of Agriculture, was recently elected a Fellow of the Soil Science Society of America for his research, teaching and leadership.

Dan Stone, Accounting, is the editor of Journal of Information Systems (2002- 2005) and has been added to the editorial boards of Advances in Accounting Behavioral Research, Behavioral Research in Accounting, International Journal of Accounting Information Systems, Issues in Accounting Education, and Journal of Emerging Technologies in Accounting.

John R. Thelin, Educational Policy Studies and Evaluation, is the author of "Higher Education's Best Made Plans: A Historical Perspective" in The Review of Higher Education.

Eugenia Toma, Martin School of Public Policy and Administration, became president-elect of the Southern Economic Association in November.

Cyndi Vines, Accounting, is the program director for the 2003 mid-year meeting of the American Taxation Association.

Mark Williams, Horticulture, received a \$132,720 grant from the Kentucky Science and Technology Council for database design and usability testing for advanced digital information systems in horticulture. Williams is collaborating with Athenic Systems and Bernheim Forest in developing a computer-based, user-friendly system that will deliver on-site information to visitors at Bernheim's arboretum.

Guichun Zong, Curriculum and Instruction, recently published, "Can Computer Mediated Communication Help to Prepare Global Teachers? An Analysis of Pre-Service Social Studies Teachers' Experiences" in Theory and Research in Social Education.

PR 2

Office of the President
February 25, 2003

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate Provost/Vice President through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

**PRESIDENT AND
SENIOR VICE PRESIDENT FOR ADMINISTRATION**

I. BOARD ACTION

A. PROMOTIONS

Associate Vice President for Employment Equity

Allen, Terry D., Assistant Vice President/Affirmative Action, to Associate Vice President for Employment Equity, effective February 1, 2003.

Associate Vice President for External Affairs

Franseth, Greg, Information Tech. Mgr. I, Medical Center Information Services, to Tech. Project Specialist III, Public Relations, effective 12/10/02.

B. CHANGES

Office of the Controller and Treasurer

Krauss, Susan, Assistant Controller, Office of the Controller and Treasurer to Director of Investment and Endowment Services, effective March 1, 2003.

C. CORRECTION IN MINUTES

Vice President for Auxiliary and Campus Services

Jones, Juanita A., Custodial Worker I, Physical Plant Division, rescinding retirement appointment status reported in 12/10/02 PR 2 and continuing regular employment as Custodial Worker I.

Vice President for Fiscal Affairs and Information Technology

Carroll III, Charles B., Production Control Supervisor, IT Support Services/Operations, after 27 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 1/2/03 (effective dates omitted from previous board actions).

Combs, Barbara H., Telephone Operator Supervisor, Telephone Voice Services, after 22 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 1/3/03 (effective dates omitted from previous board actions).

D. RETIREMENTS

Vice President for Auxiliary and Campus Services

Drury, Curtis E., Carpenter Supervisor, Physical Plant Division, after 39 years and 10 months of consecutive service, and 40 years and 3 months of total service, under AR II-1.6-1 Section III.B, effective 1/31/03.

Ingram, Ruby A., Administrative Support Associate I, Administration and Engineering Services, after 14 years and 8 months of consecutive service, and 15 years of total service, under AR II-1.6-1 Section III.B, effective 1/27/03.

Mason, William E., Heating/Cooling Plant Operator III, Heating/Cooling, after 40 years of consecutive service, and 40 years and 7 months of total service, under AR II-1.6-1 Section III.B, effective 12/31/02.

Powe, Ellen D., Custodial Worker I, Physical Plant Division, after 23 years and 6 months of consecutive service, and 30 years and 6 months of total service, under AR II-1.6-1 Section III.A.2, effective 1/31/03.

Vice President for Fiscal Affairs and Information Technology

Hopkins, Ernest C., Building Operator, Employee Benefits, Human Resources, after 36 years of consecutive service, under AR II-1.6-1, Section III.B, effective 1/31/03.

Smith, Nancy S., LPN II, Employee Benefits, Human Resources, after 24 years of consecutive service, under AR II-1.6-1 Section III.B, effective 3/31/03.

E. EARLY RETIREMENTS

Associate Vice President for Employment Equity

Ray, Nancy T., Associate Vice President for Employment Equity, after 36 years of consecutive service, under AR II-1.6.1 Section III A.2., effective January 31, 2003.

F. POST-RETIREMENT APPOINTMENTS

Vice President for Auxiliary and Campus Services

Drury, Curtis E., (part-time), Physical Plant Division, 2/3/03 through 6/30/03.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Bachas, Leonidas, College of Arts and Sciences, Professor (with tenure), Chemistry, appointed to the Frank J. Derbyshire Research Professorship, 1/1/03 through 6/30/07.

Baldwin, Wendy, College of Arts and Sciences, Professor (with tenure), Sociology, effective 1/13/03.

Barton, Christopher D., College of Agriculture, Assistant Professor, Forestry, 1/6/03 through 6/30/03.

Hong-Fincher, Beverly, College of Arts and Sciences, Visiting Professor, Modern and Classical Languages, 8/16/02 through 6/30/03.

Peffer, Sean, College of Business and Economics, Associate Professor (with tenure), Accountancy, appointed to the Wilbur E. Chellgren Professorship in Accounting, 1/1/03 through 12/31/05.

Roland, Mark K., College of Arts and Sciences, Professor (without tenure), Military Science, 7/1/03 through 6/30/06.

Rowland, Leroy W., College of Law, Professor (part-time), Law, 1/1/03 through 5/15/03.

Smith, Samuel B. Jr., College of Law, Professor (part-time), Law, 1/1/03 through 5/15/03.

Soccorsi, Eric, College of Arts and Sciences, Visiting Professor, Mathematics, 1/1/03 through 6/30/03.

Sudharshan, Devanthan, College of Business and Economics, Professor (with tenure), Management, effective 7/1/03.

Summers, Mark, College of Arts and Sciences, Professor (with tenure), History, appointed to the Thomas D. Clark Professorship in American History, effective 3/1/03.

Wilson, George, College of Arts and Sciences, Visiting Professor, History, 1/1/03 through 6/30/03.

B. ADMINISTRATIVE APPOINTMENTS

Desch, Michael, Graduate School, Professor* (with tenure), Patterson School of Diplomacy and International Commerce, appointed Director, Patterson School of Diplomacy and International Commerce, 7/1/03 through 6/30/07.

Harrington, Nancy, College of Communications and Information Studies, Associate Professor (with tenure), Communication, and Chair, Communication, reappointed Chair, Communication, 7/1/03 through 6/30/07.

Sineath, Timothy, College of Communications and Information Studies, Professor (with tenure), Library and Information Science, and Director,

*Special Title series

Library and Information Science, reappointed Director, Library and Information Science, 7/1/03 through 6/30/07.

C. JOINT APPOINTMENTS

- Baer, Ruth A., College of Arts and Sciences, Associate Professor (with tenure), Psychology, joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, effective 12/11/02.
- Bardo, Michael T., College of Arts and Sciences, Professor (with tenure), Psychology, joint appointment as Professor (without tenure), Behavioral Science, College of Medicine, effective 12/11/02.
- Carlson, Charles, College of Arts and Sciences, Professor (with tenure), Psychology, joint appointment as Professor (without tenure), Behavioral Science, College of Medicine, effective 12/11/02.
- Hoyt, William, College of Business and Economics, Professor (with tenure), Economics, joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, 1/1/03 through 6/30/06.
- Milich, Richard, College of Arts and Sciences, Professor (with tenure), Psychology, joint appointment as Professor (without tenure), Behavioral Science, College of Medicine, effective 12/11/02.
- Talbert, Jeffery, Graduate School, Associate Professor (with tenure), Martin School of Public Policy and Administration, joint appointment as Associate Professor (without tenure), Pharmacy Practice and Science, College of Pharmacy, 11/1/02 through 6/30/03.
- Toma, Eugenia, Graduate School, Professor (with tenure), Martin School of Public Policy and Administration, joint appointment as Professor (without tenure), Pharmacy Practice and Science, College of Pharmacy, 11/1/02 through 6/30/03.
- Wackerbarth, Sarah, Graduate School, Associate Professor (with tenure), Martin School of Public Policy and Administration, joint appointment as Associate Professor (without tenure), Pharmacy Practice and Science, College of Pharmacy, 1/1/03 through 6/30/03.
- Yelowitz, Aaron, College of Business and Economics, Associate Professor (with tenure), Economics, joint appointment as Associate Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, 8/16/02 through 6/30/06.
- Ziliak, James, College of Business and Economics, Professor (with tenure), Economics, joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, 8/16/02 through 6/30/03.

D. REAPPOINTMENTS

- Elliott, Robert L., College of Law, Professor (part-time), Law, 1/1/03 through 5/15/03.

Garmer, William R., College of Law, Professor (part-time), Law, 1/1/03 through 5/15/03.
Ji, Inhae, College of Arts and Sciences, Research Professor, Chemistry, 7/1/02 through 6/30/03.
O'Brien, Stephen M. III, College of Law, Professor (part-time), Law, 1/1/03 through 5/15/03.
Perlman, Peter, College of Law, Professor (part-time), Law, 1/1/03 through 5/15/03.
Savage, Joe C., College of Law, Professor (part-time), Law, 1/1/03 through 5/15/03.

E. TENURE

McKenzie, Robert, College of Education, from Professor* (without tenure), Special Education and Rehabilitation Counseling, to Professor* (with tenure), Special Education and Rehabilitation Counseling, effective 1/1/03.

F. PROMOTIONS

Farman, Mark, College of Agriculture, from Assistant Professor, Plant Pathology, to Associate Professor (with tenure), Plant Pathology, effective 1/1/03.
Gaetke, Lisa M., College of Human Environmental Sciences, from Assistant Professor*, Nutrition and Food Science, to Associate Professor* (with tenure), Nutrition and Food Science, effective 1/1/03.
Wackerbarth, Sarah, Graduate School, from Assistant Professor, Martin School of Public Policy and Administration, to Associate Professor (with tenure), Martin School of Public Policy and Administration, effective 1/1/03.

G. RETIREMENTS

Thompson, Frances C., College of Agriculture, Secretary, Cooperative Extension Service, after 29 years and 1 month of consecutive service, under Section III.B of AR II-1.6-1, effective 2/28/03.
Tucker, Shirley D., College of Agriculture, Staff Assistant, Cooperative Extension Service, after 47 years and 2 months of consecutive service, under Section III.B of AR II-1.6-1, effective 2/28/03.

H. EARLY RETIREMENTS

Hall, Janet M., College of Agriculture, Extension County Clerk, Field Programs, after 42 years and 10 months of consecutive service, and a total of 43 years and 9 months of service, under Section III.A.2 of AR II-1.6-1, effective 1/2/03.

*Special Title series

Rogers, John M., College of Law, Professor (with tenure), Law, after 24 years and 6 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 11/22/02.

Rollins, Paulina Gail, College of Agriculture, Secretary, Cooperative Extension Service, after 20 years and 4 months of consecutive service, and a total of 29 years and 3 months of service, under Section III.A.2 of AR II-1.6-1, effective 2/28/03.

Wingate, Pamela C., College of Agriculture, Staff Support Associate I, Horticulture, after 19 years and 8 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 1/31/03.

I. POST-RETIREMENT APPOINTMENTS

Byars, John, College of Agriculture, Information Tech Manager (part-time), Agricultural Communications, 1/1/03 through 6/30/03.

Howard, Henry, College of Arts and Sciences, Professor (part-time), Mathematics, 1/1/03 through 6/30/03.

Madden, Donald L., College of Business and Economics, Professor (part-time), Accountancy, 1/1/03 through 6/30/03.

McElroy, Jack, College of Education, Professor (part-time), Curriculum and Instruction, 1/1/03 through 5/15/03.

Swift, Louis, College of Arts and Sciences, Professor (part-time), Modern and Classical Languages, 1/1/03 through 6/30/03.

Winburn, Ruth, College of Agriculture, Staff Support Associate II (part-time), Agricultural Economics, 12/2/02 through 1/10/03.

J. PHASED RETIREMENT APPOINTMENTS

Barnhisel, Richard, College of Agriculture, Professor (half-time), Agronomy, effective 7/1/03.

Beidleman, James, College of Arts and Sciences, Professor (half-time), Mathematics, effective 7/1/03.

Canon, Bradley, College of Arts and Sciences, Professor (half-time), Political Science, effective 7/1/03.

II. ADMINISTRATIVE ACTION

A. INITIAL APPOINTMENTS

Adair, Gerald M., Lexington Community College, Instructor (temporary), Lexington Community College, 1/8/03 through 5/31/03.

Batsel, William M. Jr., Lexington Community College, Instructor (temporary), Lexington Community College, 1/15/03 through 5/31/03.

Beatty, T. Jeffrey, College of Business and Economics, Instructor (part-time), Management, 1/1/03 through 5/15/03.

Cleaver, Becke A., College of Education, Instructor (part-time),
Administration and Supervision, 1/1/03 through 5/15/03.

Craft, Tommy R., College of Education, Instructor (part-time), Curriculum
and Instruction, 1/1/03 through 5/15/03.

Crawford, Charles W. Sr., College of Communications and Information
Studies, Instructor (part-time), Journalism and Telecommunications,
1/15/03 through 5/31/03.

Denman, Rose M., College of Social Work, Instructor (part-time), Social
Work, 1/1/03 through 5/15/03.

Downey, Eileen K., Lexington Community College, Instructor, Lexington
Community College, 1/9/03 through 6/30/03.

Dulin, Michael B., College of Agriculture, Instructor (part-time), Landscape
Architecture, 1/10/03 through 5/10/03.

French, Annie Rooney, College of Human Environmental Sciences, Instructor
(part-time), Family Studies, 1/1/03 through 5/15/03.

Goldman, Arthur H., College of Business and Economics, Lecturer,
Accountancy, 8/15/03 through 5/15/04.

Hall, Phyllis, College of Education, Instructor (part-time), Special Education
and Rehabilitation Counseling, 1/1/03 through 5/15/03.

Halleck, Marvin, College of Business and Economics, Instructor (part-time),
Management, 1/1/03 through 5/15/03.

Hartman, Peter W., College of Business and Economics, Instructor (part-
time), Management, 1/1/03 through 5/15/03.

Hauser, Brenda, College of Education, Instructor (part-time), Administration
and Supervision, 1/1/03 through 5/15/03.

Hill, Diane, College of Social Work, Instructor (part-time), Social Work,
1/1/03 through 5/15/03.

Howard, Chad E., Lexington Community College, Instructor (temporary),
Lexington Community College, 1/7/03 through 5/31/03.

Huffman, Florence, College of Education, Instructor (part-time),
Administration and Supervision, 1/1/03 through 5/15/03.

Jacobs, Michael, College of Design, Instructor (part-time), Architecture,
1/1/03 through 5/31/03.

Keller, De De, College of Business and Economics, Instructor (part-time),
Management, 1/1/03 through 5/15/03.

Kerr, Mary Lee, College of Business and Economics, Instructor (temporary),
Management, 1/1/03 through 5/15/03.

Kumudini, Saratha, College of Agriculture, Assistant Professor, Agronomy,
12/16/02 through 6/30/03.

Mathews, Talina Rose, College of Business and Economics, Instructor (part-
time), Economics, 1/1/03 through 5/15/03.

Menzel, Holly Elizabeth Boone, College of Arts and Sciences, Lecturer,
Statistics, 1/1/03 through 6/30/03.

Miller, Cynthia H., College of Communications and Information Studies,
Lecturer, Communication, 1/1/03 through 6/30/03.

Nowak, Theresa, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/03 through 5/15/03.

Phillips, Lynn R., College of Arts and Sciences, Assistant Adjunct Professor, Geography, 12/1/02 through 6/30/04.

Portillo, Norman, College of Human Environmental Sciences, Instructor (part-time), Merchandising, Apparel and Textiles, 1/1/03 through 5/15/03.

Potluri, Ramprasad, College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 1/15/03 through 5/15/03.

Puckett, Ronnie L., College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 1/7/03 through 5/15/03.

Sarrantonio, Linda, Lexington Community College, Instructor, Lexington Community College, 1/6/03 through 6/30/03.

Savage, Joe S., College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 8/16/02 through 5/15/03.

Shastri, Krishna, College of Design, Instructor (temporary), Interior Design, 1/1/03 through 5/15/03.

Smith, Michelle, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/03 through 5/15/03.

Smithers, Carla Arnold, College of Human Environmental Sciences, Instructor (part-time), Family Studies, 1/1/03 through 5/15/03.

Strada, John T., College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/03 through 5/15/03.

Tucker, Angela R., College of Social Work, Instructor (part-time), Social Work, 1/1/03 through 5/15/03.

Walls, Danny C., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/03 through 5/15/03.

Whiteman, Michael, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 1/1/03 through 5/31/03.

Whitt, Adrienne L., College of Social Work, Instructor (part-time), Social Work, 1/1/03 through 5/15/03.

Wild, Susan L., Libraries, Librarian IV, Libraries, 4/1/03 through 6/30/03.

B. JOINT APPOINTMENTS

Fillmore, Mark T., College of Arts and Sciences, Assistant Professor, Psychology, joint appointment as Assistant Professor, Behavioral Science, College of Medicine, 12/11/02 through 6/30/03.

Marton, James, Graduate School, Assistant Professor, Martin School of Public Policy and Administration, joint appointment as Assistant Professor, Pharmacy Practice and Science, College of Pharmacy, 11/1/02 through 6/30/03.

Segerstrom, Suzanne C., College of Arts and Sciences, Assistant Professor, Psychology, joint appointment as Assistant Professor, Behavioral Science, College of Medicine, 12/11/02 through 6/30/03.

C. REAPPOINTMENTS

- Adams, Jeanine Miller, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/03 through 5/15/03.
- Andrews, Clare, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/03 through 5/15/03.
- Bathke, Arne, College of Arts and Sciences, Assistant Professor, Statistics, 7/1/03 through 6/30/05.
- Bausch, Margaret, College of Education, Assistant Research Professor, Special Education and Rehabilitation Counseling, 1/1/03 through 12/31/03.
- Behanan, Russell, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/03 through 5/15/03.
- Bell, Jennifer, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/03 through 5/15/03.
- Bell, Marcia, College of Human Environmental Sciences, Instructor (temporary), Family Studies, 1/1/03 through 5/15/03.
- Biagi, David, College of Design, Instructor (part-time), Architecture, 1/1/03 through 5/31/03.
- Birdwhistell, Terry, College of Education, Adjunct Instructor, Educational Policy Studies and Evaluation, 1/1/03 through 5/15/03.
- Blackport, Ross, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/03 through 5/15/03.
- Blaney, Michelle L., College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/03 through 5/15/03.
- Boggs, Mary, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/03 through 5/15/03.
- Boyle, Karen, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 1/1/03 through 5/31/03.
- Brandewie, Ted, College of Business and Economics, Instructor (part-time), Management, 1/1/03 through 5/15/03.
- Burk, Charles, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/03 through 5/15/03.
- Carey, Karen, College of Education, Adjunct Instructor, Educational Policy Studies and Evaluation, 1/1/03 through 5/15/03.
- Carlin, Patricia, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/03 through 5/15/03.
- Carter, Kathleen B., College of Human Environmental Sciences, Instructor (part-time), Merchandising, Apparel and Textiles, 1/1/03 through 5/15/03.
- Christensen, Spencer D., College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/03 through 5/15/03.
- Clark, John Robert, College of Business and Economics, Instructor (part-time), Accountancy, 1/1/03 through 5/15/03.
- Cliggett, Lisa, College of Arts and Sciences, Assistant Professor, Anthropology, 1/1/03 through 6/30/05.

Corso, Alberto, College of Arts and Sciences, Assistant Professor, Mathematics, 1/1/03 through 12/31/04.

Daniel, Lois, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/03 through 5/15/03.

Daugherty, Deborah, College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/03 through 5/31/03.

Dove, Edward, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/03 through 5/15/03.

Eardley, Anthony, College of Design, Instructor (part-time), Architecture, 1/15/03 through 5/15/03.

Evans, Constance, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/03 through 5/15/03.

Fife, Stephen, College of Human Environmental Sciences, Instructor (part-time), Family Studies, 1/1/03 through 5/15/03.

Foley, Terence, College of Communications and Information Studies, Adjunct Instructor, Journalism and Telecommunications, 1/15/03 through 5/31/03.

Franks, Mildred M., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 1/1/03 through 5/31/03.

Garrett, Brent, College of Human Environmental Sciences, Adjunct Instructor, Family Studies, 1/1/03 through 5/15/03.

Geldlof, Eric, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/03 through 5/15/03.

Goss, Clifford, College of Design, Instructor (part-time), Interior Design, 1/1/03 through 5/15/03.

Hager, Jacqueline O., College of Communications and Information Studies, Adjunct Instructor, Communication, 1/1/03 through 5/31/03.

Hammonds, Pamela, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/03 through 5/15/03.

Haynes, Diane, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/03 through 5/15/03.

Hayslett, Kris, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/03 through 5/15/03.

Hornsby, Mary M., Lexington Community College, Instructor (temporary), Lexington Community College, 1/1/03 through 5/31/03.

Hughes, Tina Louise, College of Human Environmental Sciences, Adjunct Instructor, Family Studies, 1/1/03 through 5/15/03.

Hull, Barbara, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/03 through 5/15/03.

Hutchinson, Judy, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/03 through 5/15/03.

Jacks, Dean, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/03 through 5/15/03.

Jackson, Jim, College of Education, Instructor (part-time), Administration and Supervision, 1/1/03 through 5/15/03.

Jacobs, Michael, College of Design, Instructor (part-time), Architecture, 1/1/03 through 5/31/03.

Kovtun, Dmitry, College of Business and Economics, Instructor (part-time), Economics, 1/1/03 through 5/15/03.

Leet, Vicki, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/03 through 5/15/03.

Lewis, Thomas T., College of Business and Economics, Instructor (part-time), Accountancy, 1/1/03 through 5/15/03.

Lindberg, Laurie, College of Design, Instructor (part-time), Interior Design, 1/1/03 through 5/15/03.

Mahfoud, Ziyad, College of Arts and Sciences, Assistant Professor, Statistics, and joint reappointment as Assistant Professor, Kentucky School of Public Health, College of Medicine, 7/1/03 through 6/30/05.

Manor, Kelli, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/03 through 5/15/03.

McEachin, Neal, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/03 through 5/15/03.

McFadden, Steven Y., College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 1/15/03 through 5/15/03.

McFarland, Martha, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/03 through 5/15/03.

Meyer, Stacie, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/03 through 5/15/03.

Miller, Robert O., College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/03 through 5/31/03.

Mullaney, Sean T., Lexington Community College, Instructor (temporary), Lexington Community College, 1/1/03 through 5/31/03.

Muntz, Charlie, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/03 through 5/15/03.

Nuckols, Leslie, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/03 through 5/15/03.

Nuetzman, Christy, College of Human Environmental Sciences, Instructor (part-time), Family Studies, 1/1/03 through 5/15/03.

O'Connor, Sandra, College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/03 through 5/15/03.

Olak, Kirstin, Lexington Community College, Instructor (temporary), Lexington Community College, 1/1/03 through 5/31/03.

Ortwein, Mary C., College of Human Environmental Sciences, Instructor (part-time), Family Studies, 1/1/03 through 5/15/03.

Parker, Clara, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/03 through 5/15/03.

Parker, Steve, College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/03 through 5/31/03.

Payne, Joey, College of Human Environmental Sciences, Adjunct Instructor, Family Studies, and Adjunct Instructor, Nutrition and Food Science, 1/1/03 through 5/15/03.

Pickett, Joan, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/03 through 5/15/03.

Polk, Beverly, College of Communications and Information Studies, Adjunct Instructor, Communication, 1/1/03 through 5/31/03.

Powell, Gary M., Lexington Community College, Instructor (temporary), Lexington Community College, 1/1/03 through 5/31/03.

Roberts, Randall, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 1/1/03 through 5/31/03.

Rogers, Michael L., Lexington Community College, Instructor (temporary), Lexington Community College, 1/1/03 through 5/31/03.

Rose, Kathleen, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/03 through 5/15/03.

Ross, Danny, College of Business and Economics, Instructor (part-time), Accountancy, 1/1/03 through 5/15/03.

Ross, Edgar C., College of Business and Economics, Instructor (part-time), Accountancy, 1/1/03 through 5/15/03.

Saunders, Dudley, College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/15/03 through 5/31/03.

Savage, Todd, College of Education, Instructor (part-time), Educational and Counseling Psychology, 1/1/03 through 5/15/03.

Snodgrass, H.M., College of Education, Instructor (part-time), Administration and Supervision, 1/1/03 through 5/15/03.

Sprague, Dennis, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/03 through 5/15/03.

Stevens, Randall, College of Design, Instructor (part-time), Architecture, 10/1/02 through 12/31/02.

Storer, Robert, College of Education, Instructor (part-time), Administration and Supervision, 1/1/03 through 5/15/03.

Wachs, Diane, College of Design, Instructor (part-time), Interior Design, 1/1/03 through 5/15/03.

Walker, Amy S., College of Business and Economics, Instructor (part-time), Accountancy, 1/1/03 through 5/15/03.

Williamson, Melanie G., Lexington Community College, Instructor (temporary), Lexington Community College, 1/1/03 through 5/31/03.

D. TERMINAL REAPPOINTMENTS

Bintz, William, College of Education, Assistant Professor, Curriculum and Instruction, 1/1/03 through 12/31/03.

Slaymaker, Douglas, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, 1/1/03 through 12/31/03.

E. LEAVES OF ABSENCE

- Ingle, Cynthia, Lexington Community College, Associate Professor (with tenure), Lexington Community College, Leave without pay, 1/1/03 through 6/30/03.
- Johnson, Nancy, College of Business and Economics, Associate Professor (with tenure), Management, Sabbatical leave with full salary, 1/1/04 through 6/30/04.
- Jones, John Paul, College of Arts and Sciences, Professor (with tenure), Geography, Leave without pay, 7/1/03 through 6/30/04.
- Jordan, Bradford, College of Business and Economics, Professor (with tenure), Management, Sabbatical leave with full salary, 7/1/03 through 12/31/03.
- Jordan, Susan, College of Business and Economics, Associate Professor (with tenure), Management, Sabbatical leave with half salary, 7/1/03 through 6/30/04.
- McLaren, Mary, Libraries, Librarian I (with tenure), Libraries, Sabbatical leave with full salary, 3/1/03 through 8/31/03.
- Menguc, Pinar, College of Engineering, Professor (with tenure), Mechanical Engineering, to rescind Sabbatical leave with full salary, 1/1/03 through 6/30/03.
- Ormsbee, Lindell, College of Engineering, Professor (with tenure), Civil Engineering, Sabbatical leave with full salary, 1/1/03 through 6/30/03.
- Poonacha, Kockanda B., College of Agriculture, Professor* (with tenure), Veterinary Science, Leave without pay, 1/17/03 through 2/14/03.
- Seales, W. Brent, College of Engineering, Associate Professor (with tenure), Computer Science, Scholarly leave, 7/1/03 through 6/30/04, and 7/1/04 through 6/30/05.
- Skinner, Steven, College of Business and Economics, Professor (with tenure), Management, Sabbatical leave with full salary, 7/1/03 through 12/31/03.
- Wesley, Myrna, College of Human Environmental Sciences, Associate Professor* (with tenure), Nutrition and Food Science, Sabbatical leave with half salary, 1/1/03 through 12/31/03.

*Special Title series

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Axelrod, David, College of Medicine, Associate Professor (voluntary), Internal Medicine, 3/5/03 through 6/30/03.

Bertani, Peter A., College of Medicine, Assistant Professor (temporary), Diagnostic Radiology, 1/22/03 through 6/30/03.

Buckey, Polly B., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 2/1/03 through 6/30/03.

Caudill, Cliff M., College of Medicine, Clinical Assistant Professor, Ophthalmology, 1/22/03 through 6/30/03.

Harper, Mary L., College of Pharmacy, Associate Adjunct Professor, 12/1/02 through 6/30/03.

Jackson, Elizabeth C., College of Medicine, Associate Professor (part-time), Pediatrics, 4/1/03 through 6/30/03.

Levine, Harry, College of Medicine, Associate Professor (without tenure), Molecular and Cellular Biochemistry, 3/1/03 through 6/30/03.

Narula, Dhiraj D., College of Medicine, Assistant Professor*, Internal Medicine, 1/22/03 through 6/30/03.

Pierce, Andrew J., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 2/1/03 through 6/30/03.

Samuels, Michael E., College of Medicine, Professor (with tenure), Family Practice, joint appointments as Professor (without tenure), Kentucky School of Public Health and Behavioral Science, effective 1/1/03, and named to the Endowed Chair/Distinguished Scholar in Rural Health Policy, effective 1/1/03.

Szilvassy, Stephen J., College of Medicine, Associate Professor (with tenure), Internal Medicine, named to the Edith D. Gardner Professorship in Cancer Research, 2/1/03 through 6/30/06.

Weaver, Allison W., College of Medicine, Clinical Assistant Professor, Neurology, 1/22/03 through 6/30/03.

Xu, Fadi, College of Medicine, Associate Adjunct Professor, Physiology, 1/22/03 through 6/30/03.

B. ADMINISTRATIVE APPOINTMENTS

Baker, Doris J., College of Health Sciences, Associate Professor* (with tenure), Clinical Sciences, named Chair, Department of Clinical Sciences, 1/1/03 through 6/30/07.

*Special Title series

C. JOINT APPOINTMENTS

- Kyprianou, Natasha, College of Medicine, Professor (with tenure), Surgery, joint appointment as Professor (without tenure), Molecular and Cellular Biochemistry, new joint appointment as Professor (without tenure), Pathology and Laboratory Medicine, effective 1/22/03.
- Martin, Catherine A., College of Medicine, Professor (with tenure), Psychiatry, joint appointment as Professor (without tenure), College of Social Work, effective 1/22/03.
- Matheny, Samuel C., College of Medicine, Professor* (with tenure), Family Practice, joint appointment as Professor* (without tenure), Kentucky School of Public Health, effective 3/5/03.
- Perrier, Donald, College of Pharmacy, Professor (with tenure), joint appointment as Professor (without tenure), Graduate School, Martin School of Public Policy and Administration, 7/1/02 through 6/30/05.
- Witt, William O., College of Medicine, Professor* (with tenure), Anesthesiology, joint appointment as Professor* (without tenure), Surgery, new joint appointment as Professor* (without tenure), Internal Medicine, effective 1/22/03.

D. REAPPOINTMENTS

- Holsinger, James W., College of Medicine, Professor (with tenure), Internal Medicine, and Senior Vice President and Chancellor, Medical Center, reappointed to the Charles T. Wethington, Jr. Chair in the Health Sciences, effective 3/1/03.
- Jackson, Elizabeth C., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/03 through 6/30/04.
- Milligan, Douglas A., College of Medicine, Associate Professor (part-time), Family Practice, 7/1/03 through 6/30/04.
- Vore, Mary, Graduate School, Professor (with tenure), Graduate Center for Toxicology, reappointed Director, Graduate Center for Toxicology, 3/1/03 through 6/30/06.

E. TENURE

- Kluemper, G. T., College of Dentistry, Associate Professor* (without tenure), Oral Health Science, to Associate Professor* (with tenure), Oral Health Science, effective 3/5/03.

F. PROMOTIONS

- Adams, Val R., College of Pharmacy, Assistant Professor*, joint appointment as Assistant Professor*, College of Medicine, Department of Internal Medicine, to Associate Professor* (with tenure), joint appointment as

*Special Title series

Assistant Professor*, College of Medicine, Department of Internal Medicine, effective 7/1/03.

Branch, Leslie B., College of Medicine, Associate Professor (part-time), Internal Medicine, to Professor (part-time), Internal Medicine, 7/1/03 through 6/30/04.

Gevedon, Teresa G., College of Medicine, Clinical Assistant Professor, Psychiatry, to Clinical Associate Professor, Psychiatry, 7/1/03 through 6/30/04.

Katz, Debra A., College of Medicine, Assistant Professor*, Psychiatry, joint appointment as Assistant Professor*, Neurology, to Associate Professor* (with tenure), Psychiatry, joint appointment as Associate Professor* (without tenure), Neurology, effective 7/1/03.

Milligan, Douglas A., College of Medicine, Assistant Professor (voluntary), Family Practice, to Associate Professor (part-time), Family Practice, 2/26/03 through 6/30/03.

G. CHANGES

Blair, Frank, University Hospital, from Patient Accounts Director, Patient Accounts, to Director of Revenue Management, Patient Accounts, effective 3/9/03.

Erway, Edward, University Hospital, from Reimbursement Rate Director, Managed Care Finance, to Reimbursement Rate Director, Managed Care Finance, effective 3/9/03.

Fahy, Brenda G., College of Medicine, from Clinical Professor, Medicine, 12/1/02 through 6/30/03, to Clinical Professor, Medicine, 12/13/02 through 6/30/03.

Jay, Susan M., College of Pharmacy, from Lecturer (part-time), to Lecturer (full-time), 7/1/02 through 6/30/03.

Zembrod, James, University Hospital, from Decision Support Director, Hospital Finance, Decision Support, to Director of Business Development and Decision Support, Hospital Finance, Decision Support, effective 3/9/03.

H. LEAVES OF ABSENCE

Mankad, Vipul N., College of Medicine, Professor (with tenure), Pediatrics, miscellaneous leave at full salary, 3/1/04 through 8/31/04.

Scutchfield, F. D., College of Medicine, Professor (with tenure), Preventive Medicine and Environmental Health, joint appointments as Professor (without tenure), Family Practice and College of Health Sciences, Department of Health Services, miscellaneous leave at full salary, 1/1/04 through 6/30/04.

*Special Title series

I. RETIREMENTS

- French, Robert E., Chancellor's Office, Physician, University Health Service, after 33 years, 11 months of consecutive service, under AR II-1.6-1, Section III.B, effective 5/31/03.
- Horstman, Sanford W., College of Medicine, Associate Professor (with tenure), Preventive Medicine and Environmental Health, after 13 years, 8 months of consecutive service, under AR II-1.6-1, Section III.B, effective 4/30/03.
- Mayse, Ada Margaret, University Hospital, Staff Support Associate I, Admitting, after 14 years, 9 months of consecutive service, and a total of 15 years, 2 months, under AR II-1.6-1, Section III.B, effective 1/3/03.
- Turner, Rose C., Chancellor's Office, Nurse Clinician, University Health Service, after 15 years, 5 months of consecutive service, under AR II-1.6-1, Section III.B, effective 1/31/03.

J. EARLY RETIREMENTS

- Givens, Barbara, College of Medicine, Patient Relations Assistant I, Internal Medicine, after 20 years, 2 months of consecutive service, and a total of 34 years, 9 months, under AR II-1.6-1, Section III.A.2, effective 1/22/03.
- Holbrook, Barbara, College of Medicine, Administrative Support Associate I, Dean's Office, after 15 years, 5 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/31/03.
- Larimore, Ree H., University Hospital, Nursing Care Technician, Mother/Baby Unit, after 15 years of consecutive service, under AR II-1.6-1, Section III.A.2, effective 2/3/03.
- Lyons, Tony L., Chancellor's Office, Security Worker I, Safety, Security, & Parking, after 15 years of consecutive service, and a total of 15 years, 5 months, under AR II-1.6-1, Section III.A.2, effective 11/8/02.
- Moffett, Claudine B., University Hospital, Peds Echo Manager, Special Diagnostic, after 27 years, 4 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/17/03.

K. POST RETIREMENTS

- Fassas, Tarcy, University Hospital, Registered Radiology Technologist, Cath Lab, 1/3/03 through 6/30/03.
- Sims, Jackie, College of Medicine, Clinical Research Coordinator, Radiation Medicine, 4/1/03 through 6/30/03, and reappointed 7/1/03 through 6/30/04.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Alimam, M. Z., College of Medicine, Assistant Professor (voluntary), Pediatrics, 1/22/03 through 6/30/03.

Avason, Frank, College of Dentistry, Assistant Professor (voluntary), Oral Health Practice, 1/22/03 through 6/30/03.

Barclay, Clayton C., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 1/22/03 through 6/30/03.

Buchanan, Bernard J., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 2/26/03 through 6/30/03.

Cabreros, Lorie J., College of Medicine, Assistant Professor (voluntary), Family Practice, 3/5/03 through 6/30/03.

Cahill, Darla, College of Medicine, Assistant Professor (voluntary), Pediatrics, 2/26/03 through 6/30/03.

Camden, Timothy, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 1/22/03 through 6/30/03.

Campbell, Duncan, College of Medicine, Assistant Professor (voluntary), Pediatrics, 3/5/03 through 6/30/03.

Cooper, Robert M., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 1/22/03 through 6/30/03.

Jones, Daniel, College of Medicine, Instructor (voluntary), Family Practice, 3/5/03 through 6/30/03.

Jurich, N. R., College of Medicine, Assistant Professor (voluntary), Family Practice, 2/26/03 through 6/30/03.

Kirk, William D., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 1/22/03 through 6/30/03.

Kress-Schilling, Stacey G., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 2/26/03 through 6/30/03.

Krestik, Paul, College of Medicine, Instructor (voluntary), Family Practice, 2/26/03 through 6/30/03.

Luigs, Stephen W., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 1/22/03 through 6/30/03.

Lykins, Walter S., College of Medicine, Assistant Professor (voluntary), Anesthesiology, 2/26/03 through 6/30/03.

Maheshwari, Jewraj G., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 1/22/03 through 6/30/03.

Powell, David B., College of Medicine, Instructor (part-time), Anesthesiology, 2/1/03 through 6/30/03.

Prasad, Subramanya K., College of Medicine, Instructor (part-time), Internal Medicine, 2/26/03 through 6/30/03.

Primm, Daniel D., College of Medicine, Assistant Professor (part-time), Surgery, 1/22/03 through 6/30/03.

Rakhra, Gursharn S., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 1/22/03 through 6/30/03.

Richardson, Gay, College of Medicine, Assistant Professor (voluntary),
Physical Medicine and Rehabilitation, 3/1/03 through 6/30/03.
Rubeling, Richard R., College of Dentistry, Assistant Professor (part-time),
Oral Health Science, 1/22/03 through 6/30/03.
Scott, Katherine G., College of Health Sciences, Instructor (voluntary), Health
Services, 4/2/03 through 6/30/03.
Stutz, Misty, College of Pharmacy, Assistant Professor (voluntary), 2/25/03
through 6/30/03.
Suter, Mia M., College of Health Sciences, Instructor (voluntary), Health
Services, 4/2/03 through 6/30/03.
Valavalkar, Sushil S., College of Medicine, Assistant Professor (voluntary),
Family Practice, 1/22/03 through 6/30/03.
Walker, John R., College of Medicine, Assistant Professor (voluntary),
Pediatrics, 1/22/03 through 6/30/03.

B. ADMINISTRATIVE REAPPOINTMENTS

Bryan, Kim, College of Dentistry, Assistant Dean, Dean's Office, effective
1/23/03.
Jackson, Brian A., College of Medicine, Professor (with tenure), Physiology,
named Acting Chair, Department of Physiology, 1/1/03 through 6/30/03.
Smiley, Jeff, Chancellor's Office, Veterinarian, Lab Animal Resources,
effective 2/3/03.

C. JOINT APPOINTMENTS

Adams, Val R., College of Pharmacy, Assistant Professor* (with tenure),
College of Pharmacy, joint reappointment as Assistant Professor*, College
of Medicine, Department of Internal Medicine, 10/23/02 through
10/22/03.
Arnold, Susanne M., College of Medicine, Assistant Professor*, Internal
Medicine, joint appointment as Assistant Professor*, Radiation Medicine,
4/2/03 through 6/30/03.
Feddock, Christopher A., College of Medicine, Instructor (part-time), Internal
Medicine, joint appointment as Instructor (part-time), Pediatrics, 2/26/03
through 6/30/03.

D. REAPPOINTMENTS

Cabreros, Lorie J., College of Medicine, Assistant Professor (voluntary),
Family Practice, 7/1/03 through 6/30/06.
Campbell, Duncan, College of Medicine, Assistant Professor (voluntary),
Pediatrics, 7/1/03 through 6/30/06.
Cheeseman, Melissa M., College of Medicine, Assistant Professor (part-time),
Emergency Medicine, 7/1/03 through 6/30/04.

*Special Title series

Hashem, Abul, College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/03 through 6/30/04.

Jones, Daniel, College of Medicine, Instructor (voluntary), Family Practice, 7/1/03 through 6/30/06.

Jurich, N. R., College of Medicine, Assistant Professor (voluntary), Family Practice, 7/1/03 through 6/30/06.

Krestik, Paul, College of Medicine, Instructor (voluntary), Family Practice, 7/1/03 through 6/30/06.

Lykins, Walter S., College of Medicine, Assistant Professor (voluntary), Anesthesiology, 7/1/03 through 6/30/06.

Polisetty, Rayudu B., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/03 through 6/30/04.

Prasad, Subramanya K., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/03 through 6/30/04.

Pulmano, Calixto M., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, joint appointment as Clinical Assistant Professor, Neurology, 7/1/03 through 5/31/04.

Pursley, Holly Gluth, College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/03 through 6/30/04.

Richardson, Gay, College of Medicine, Assistant Professor (voluntary), Physical Medicine and Rehabilitation, 7/1/03 through 6/30/06.

E. CHANGES

Beck, Rhonda, University Hospital, from Accounting Manager/Hospital, Hospital Accounting and Control, to Associate Director for Finance, Hospital Accounting and Control, effective 3/9/03.

Cheeseman, Melissa M., College of Medicine, from Assistant Professor*, Emergency Medicine, to Assistant Professor (part-time), Emergency Medicine, 4/1/03 through 6/30/03.

Hashem, Abul, College of Medicine, from Assistant Adjunct Professor, Diagnostic Radiology, to Assistant Professor (part-time), Diagnostic Radiology, 3/5/03 through 6/30/03.

Polisetty, Rayudu B., College of Medicine, from Assistant Adjunct Professor, Diagnostic Radiology, to Assistant Professor (part-time), Diagnostic Radiology, 3/5/03 through 6/30/03.

Pulmano, Calixto M., College of Medicine, from Assistant Professor (part-time), Diagnostic Radiology, joint appointment as Assistant Professor (part-time), Neurology, to Clinical Assistant Professor, Diagnostic Radiology, joint appointment as Clinical Assistant Professor, Neurology, 3/5/03 through 5/31/03.

Pursley, Holly Gluth, College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Assistant Professor (part-time), Internal Medicine, 6/1/03 through 6/30/03.

Short, David, from Data Base Analyst, University Computing Services, to Data Base Administrator, MC Information Services, effective 12/16/02.

*Special Title series

Thompson, Harry, College of Dentistry, from Instructor (voluntary), Oral Health Science, to Assistant Professor (part-time), Oral Health Practice, 1/22/03 through 6/30/03.

Turner, Vicky, Nurse Practitioner, from University Hospital, OR Services, to College of Medicine, Internal Medicine, effective 11/25/02.

F. TERMINAL REAPPOINTMENTS

Lindroth, John E., College of Dentistry, Assistant Professor*, Oral Health Practice, 4/2/03 through 4/1/04.

G. LEAVES OF ABSENCE

Mankad, Vipul N., College of Medicine, Professor (with tenure), Pediatrics, sabbatical leave at full salary, 9/1/03 through 2/29/04.

Pursley, Holly Gluth, College of Medicine, Clinical Assistant Professor, Internal Medicine, miscellaneous leave without pay, 1/1/03 through 5/31/03.

Wermeling, Daniel P., College of Pharmacy, Associate Professor* (with tenure), miscellaneous leave without pay, 7/1/03 through 6/30/04.

Wever, Jo Ann M., College of Nursing, Associate Professor* (with tenure), educational leave without pay, 1/1/03 through 6/30/03.

*Special Title series

VICE PRESIDENT FOR RESEARCH

I. BOARD ACTION

A. CHANGES

Harris, David C., Kentucky Geological Survey, from Geologist IV to Geologist V, effective 2/1/03.

B. EARLY RETIREMENTS

Smith, Juanita G., Office Assistant, Kentucky Geological Survey, after 42 years 11 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/24/03.

C. POST-RETIREMENT APPOINTMENTS

Street, Ronald L., Kentucky Geological Survey, Temporary Professional (part time), 7/1/02 through 6/30/03.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENT

Atwood, David, Tracy Farmer Center for the Environment, Acting Director, 1/1/03 through 9/30/03.

Crocker, Mark, Center for Applied Energy Research, Scientist III, effective 12/2/02.

Gazula, Vikram, Center for Computational Sciences, Information Technology Manager II, effective 1/1/03.

PR 3

Office of the President
February 25, 2003

Members, Board of Trustees

APPOINTMENT OF CHIEF OF STAFF TO THE PRESIDENT

Recommendation: that the Board of Trustees approve the appointment of Dr. Phyllis P. Nash as Chief of Staff to the President, effective February 25, 2003.

Background: In December 2002 the position of Chief of Staff to the President was established to be responsible for the efficient operation of the Office of the President, including management of scheduling, staffing and operating procedures. In that capacity, this position provides advice and counsel to the President and reports directly to the President. The Chief of Staff serves as a member of the President's Executive Staff and works closely and collaboratively with the senior leadership team, the Board of Trustees, executive and administrative colleagues, faculty and student leaders. The Chief of Staff will organize the information flow to and from the Office of the President to ensure that important issues receive the President's prompt attention. The Chief of Staff briefs the President on policy issues, reviews and analyzes proposals, assists in the process of appointing individuals to various University positions and manages the Office of the President budget. The Chief of Staff represents the President as directed and manages special projects assigned by the President.

Dr. Phyllis Nash holds joint appointments as professor in the Department of Behavioral Science and the Department of Surgery in the College of Medicine at the University of Kentucky. Dr. Nash received her bachelor's degree in Psychology in 1968 from the University of Kentucky, her master's degree in social work in 1979 from West Virginia University, and her doctorate in educational psychology with an area of concentration in human communication from West Virginia University in 1985. From 1979 to 1987, she served as a faculty member at West Virginia University School of Medicine in the Department of Behavioral Medicine and Psychiatry and was granted tenure in 1982. She joined the University of Kentucky College of Medicine as a tenured associate professor of behavioral science in 1988. From 1991 to present, she has held the position of Vice Chancellor for Academic and Student Affairs for the University of Kentucky Albert B. Chandler Medical Center.

Dr. Nash's scholastic interests are in human communication, the psychosocial dimensions of health and illness, psychotherapy, professional education strategies, and the dynamics of teaching and learning. She has pursued these interests in teaching, research, public

and professional service, and in the clinical care for patients. She has been involved in teaching the behavioral sciences to undergraduate, graduate, and/or professional degree students in all five of the University of Kentucky Medical Center Colleges.

Her research has been published in academic journals, including Academic Medicine and Medical Teacher. She also has made numerous presentations at professional conferences. She has chaired the Joint Commission on Accreditation of Healthcare Organizations' Professional-Technical Committee on Mental Health and served on the board of the Kentucky Chapter of the American Association for Marriage and Family Therapy. She also has served on numerous committees of the National Association of Social Workers.

Dr. Nash received the YWCA Women of Achievement Award in 1996 and has been recognized by the Golden Key Honor Society and Omicron Delta Kappa Leadership Honorary. She also has won the Award for Excellence in Education in Surgery. She is a member of the National Association of Social Workers.

Dr. Nash has tremendous organizational skills and will be an asset in assisting the President.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 4

Office of the President
February 25, 2003

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE CAROL MARTIN GATTON COLLEGE OF
BUSINESS AND ECONOMICS

Recommendation: that the Board of Trustees approve the appointment of Dr. Devanthan Sudharshan as Dean of the Carol Martin Gatton College of Business and Economics, effective July 1, 2003.

Background: Dr. Richard Furst announced his resignation to be effective June 30, 2003 as Dean of the Carol Martin Gatton College of Business and Economics after 22 years to return to the faculty. A search committee was appointed to identify and recommend qualified persons for the position of Dean of the College. Approximately 50 candidates were considered by the search committee, and three finalists were interviewed on campus. Dr. Sudharshan received enthusiastic support across the University and was strongly supported by the search committee.

Dr. Sudharshan currently serves as professor and was previously Associate Dean for Planning of the College of Commerce and Business Administration at the University of Illinois at Urbana-Champaign. He received a Ph.D. from the University of Pittsburgh in 1982 and a bachelor's degree in 1974 from Indian Institute of Technology in Madras, India. He has also served as the coordinator of the marketing group, been involved in designing/redesigning the Technology and Management Program, MBA, Executive MBA and MSBA programs at the University of Illinois.

Dr. Sudharshan has the experience and qualifications to be an excellent Dean of the Carol Martin Gatton College of Business and Economics. The University is fortunate to attract such a highly qualified person to this position.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 5

Office of the President
February 25, 2003

Members, Board of Trustees:

CHANGES IN THE ARTICLES OF INCORPORATION AND
BY-LAWS OF THE
UNIVERSITY OF KENTUCKY EQUINE RESEARCH FOUNDATION, INC.

Recommendation: that the Board of Trustees approve the attached amendment to Article I of the Articles of Incorporation and Article I of the By-Laws of the University of Kentucky Equine Research Foundation, Inc.

Background: The attached amendments to Article I of the Articles of Incorporation and Article I of the By-Laws were approved by the Board of Directors of the University of Kentucky Equine Research Foundation. It was the consensus of the Board that renaming the foundation to better tie it to the Gluck Center was in the Foundation's best interest for public relations and fund raising purposes. Pursuant to its Articles of Incorporation and By-Laws, these amendments, changing the name of the foundation to the University of Kentucky Gluck Equine Research Foundation, require approval by the Board of Trustees.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PROPOSED AMENDMENT TO THE UKERF ARTICLES OF INCORPORATION
AND BY-LAWS

Be It Known that the Board of Directors of the University of Kentucky Equine Research Foundation, Inc., makes the following proposal to amend the Articles of Incorporation and corresponding By-Laws as follows:

ARTICLES OF INCORPORATION - ARTICLE I

The name of the corporation shall be the University of Kentucky Gluck Equine Research Foundation, Inc.

BY-LAWS – ARTICLE I – Purpose, First Sentence Change

The University of Kentucky Gluck Equine Research Foundation, Inc. was formed to make the equine research program at the University of Kentucky the finest in the world by establishing a continuing partnership between the University and the equine industry.

PR 6

Office of the President
February 25, 2003

Members, Board of Trustees:

APPOINTMENT/REAPPOINTMENT OF BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY GLUCK EQUINE RESEARCH FOUNDATION, INC.

Recommendation: that the Board of Trustees approve the appointment of Debbie Oxley, Bill Casner and Stacey Bearse and the reappointment of Ina Brown Bond, Lucy Young Boutin, Reiley McDonald and Don Robinson to the Board of Directors of the University of Kentucky Gluck Equine Research Foundation for four-year terms, ending December 14, 2006; and that Margaret Brady Glass and James E. Bassett, III be appointed honorary members of the Board.

Background: The Nominating Committee of the University of Kentucky Gluck Equine Research Foundation, which includes the Dean of the College of Agriculture, recommended these appointments to the Provost. These recommended appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation and By-Laws for the University of Kentucky Gluck Equine Research Foundation approved by the Board of Trustees at its meeting on September 18, 1984.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 7

Office of the President
February 25, 2003

Members, Board of Trustees:

HONORARY DEGREE RECIPIENTS

Recommendation: that approval be granted to awarding the honorary degree of Doctor of Science to Mary Sue Coleman, Doctor of Laws to William S. Farish III, and Doctor of Letters to Michael Lee Mullins.

Background: The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that honorary degrees be awarded to Mary Sue Coleman, William S. Farish III, and Michael Lee Mullins. These three bodies have expressed their approval of the recommendation.

Biographical sketches of the three recipients are attached.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

Mary Sue Coleman
2003 Honorary Degree Nominee

Recently appointed as the 13th president of the University of Michigan, Mary Sue Coleman is at the pinnacle of her distinguished career in higher education. A native of Madison County, Kentucky, Dr. Coleman was formerly the president of the University of Iowa where she served for seven years. She has also served as provost and vice president for academic affairs (1993-1995) at the University of New Mexico and as vice chancellor for graduate studies and research (1992-1993) and associate provost and dean of research (1990-1992) at the University of North Carolina at Chapel Hill. She served 19 years as a member of the biochemistry faculty and as a cancer center administrator at the University of Kentucky, where her research focused on the immune system and malignancies. From 1987 to 1990 she served as a faculty representative on the University of Kentucky Board of Trustees.

At the University of Michigan, Dr. Coleman holds faculty positions as a professor of biological chemistry in the U-M Medical School and professor of chemistry in the College of Literature, Science, and the Arts. She has a record of 77 publications in scientific and academic journals and has received more than two dozen grants totaling \$8 million for her research in cancer, genetic engineering and biology.

Elected to the National Academy of Sciences' Institute of Medicine in 1997, Dr. Coleman is a fellow of the American Association for the Advancement of Science and of the American Academy of Arts and Sciences. She co-chairs the Institute of Medicine's Committee on the Consequences of Uninsurance. Her extensive leadership positions in higher education include serving on the Association of American Universities executive committee, the American Council on Education Board of Directors, the National Collegiate Athletic Association Board of Directors, and the Knight Commission on Intercollegiate Athletics.

Dr. Coleman has also served on the Board of Trustees of Grinnell College, Board of Trustees of the Universities Research Association, ACE Task Force on Teacher Education and Commission on Minorities in Higher Education, Business-Higher Education Forum, Imagining America Presidents' Council, AAU Task Force on Research Accountability, NCAA Standards for Success Advisory Board, and Presidents Leadership Group of the Higher Education Center for Alcohol and Other Drug Prevention.

Dr. Coleman earned her bachelor's degree in chemistry from Grinnell College and her doctorate in biochemistry from the University of North Carolina. She engaged in postdoctoral work at North Carolina and the University of Texas at Austin.

For her distinguished record of leadership in higher education and her research accomplishments, Mary Sue Coleman is recommended as a recipient of the 2003 Honorary Doctor of Science degree.

William S. Farish III
2003 Honorary Degree Nominee

Ambassador to the Court of St. James since Senate confirmation in July 2001, William S. Farish III has had a long and distinguished career with interests both in Texas and Kentucky. Ambassador Farish is the former president of W. S. Farish and Company, a trust management business in Houston. He is also the founder and owner of Lane's End Farm, a 2,000-acre commercial thoroughbred breeding facility near Versailles, and is the former chairman of the Board of Churchill Downs.

Ambassador Farish began his career as a stockbroker at Underwood, Neuhaus and Company in Houston. He later became president of Navarro Exploration Company and was a founding director of Eurus, Inc., a New York bank holding company, and of Capital National Bank in Houston. He is a past organizing member of the National Urban League-Houston Chapter, and chairman of the Houston Parks Board. He is also former chairman of the Ephraim McDowell Cancer Foundation and is currently a member of BritishAmerican Business Incorporated Board and the British-American Business Council International Advisory Board. Prior to becoming ambassador, Mr. Farish served on the boards of Houston Natural Gas, Pogo Productions, Galveston-Houston Corporation, Post Oak Bank, Zapata Offshore Oil, Baylor College of Medicine, Rice University and Transylvania University. He formerly served on the Board of Trustees of St. John's School in Houston and South Kent School in South Kent, Connecticut. He is the former chairman of the William Stamps Farish Fund, a charitable foundation, in Houston.

With ties to the Commonwealth of Kentucky since purchasing his first thoroughbred at Keeneland in 1963, Ambassador Farish has also been a longtime supporter of the University of Kentucky. He assisted with the establishment of the Markey Cancer Center and has been a generous supporter of other UK fundraising efforts. He has also served as chair of private giving for the Gluck Equine Research Center. A founding director and former chairman of the executive committee of Breeders' Cup Ltd., Ambassador Farish is currently a member of the Board of Directors of the Keeneland Association. He was the winner of the Eclipse Award for Leading Breeder in 1992 and again in 1999, and his Lane's End Farm was named leading farm of 2002 by *Thoroughbred Times*.

As Ambassador to Britain, Mr. Farish represents the citizens of the United States and the people of the Commonwealth. His dedication to serving his country and promoting its interests abroad has also strengthened his adoptive home state. His work has and will continue to provide increased opportunities for U.S. interests internationally.

For his distinguished record of leadership in industry, educational contributions, and service to the people of the United States and the Commonwealth of Kentucky, William S. Farish III is recommended as the recipient of a 2003 Honorary Doctor of Laws degree.

Michael Lee Mullins
2003 Honorary Degree Nominee

Through a quarter of a century of service as executive director of the Hindman Settlement School in Hindman, Kentucky, Michael L. Mullins has contributed significantly to education, as well as to the arts and humanities of the Appalachian region. Born in Hi Hat, Kentucky and educated at Berea College and the University of Cincinnati, Mr. Mullins has possessed the vision needed to transform a moribund institution into a thriving and useful community asset. At Hindman Settlement School, Mr. Mullins has been instrumental in the development of the East Kentucky Tutorial Program for children with dyslexic characteristics, the Adult Learning Center, that coordinates tutorial and testing services for adult basic education, and the Eastern Kentucky Teachers Network, an organization of 85 teachers who are using the Foxfire teaching approach. In addition, he has assisted in providing facilities and support for the Knott County Library and making a home for the Knott County 4-H program.

Mr. Mullins has continued to build on the artistic and literary heritage of the School through his creation of the annual Writers Workshop and Family Folk Week. The Writers Workshop has attracted professional and amateur writers from across the country to work with such authors as Gurney Norman, Lee Smith, Wendell Berry, Jim Wayne Miller, James Still, and Ed McClanahan. Similarly, people from all over the United States attend in Family Folk Week which features music, oral tradition, and crafts taught by such remarkable representatives of the culture as Jean Ritchie, Verna Mae Slone, Andrenna Belcher, Minnie Adkins, and Lee Sexton. These annual events are complemented by a wealth of activities such as Artists-in-the-Schools programs, musical festivals, community workshops and dances, and the establishment of the Marie Stewart Crafts Cabin, an outlet for the master craftspeople of the region.

Also important is his work with the Knott County Community Development Initiative. Mr. Mullins has been instrumental in the conception and implementation of \$20,000,000 in projects ranging from a branch of Hazard Community College and a technology center for distance learning, to associated water, sewer, parking, access, and environmental improvements at Hindman.

Michael Mullins represents the kind of leadership that Appalachia needs as it moves into the 21st Century. By his own actions, he has encouraged others to make a difference. He has applied knowledge, education, and pride in his own people as they work together to solve the problems facing his and many other communities in the Appalachian region. His list of service activities is a long one and includes the Knott County Chamber of Commerce, public library board, tourism committee, literacy council, Hindman Lions Club, Kentucky Citizens for the Arts, Kentuckians for the Commonwealth, East Kentucky Leadership Conference, Leadership Kentucky, Appalachian Studies Association, Kentucky Arts Council, Forward in the Fifth, Jenny Wiley Theatre, the Orton Dyslexia Society, Kentucky Appalachian Advisory Council, Kentucky Appalachian Commission, Preservation Kentucky, and the Tracy Farmer Center for the Environment Advisory Board.

For his contributions to education and the arts and humanities in the Appalachian region, Michael Lee Mullins is recommended as a recipient of the 2003 Honorary Doctor of Letters degree.

AACR 1

Office of the President
February 25, 2003

Members, Board of Trustees:

CANDIDATES FOR DEGREES - COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed.

Background: At the November 12, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and the Chancellor.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

KCTCS Community College Candidates Applying for KCTCS/UK Credentials: September 2, 2002 to November 1, 2002

Ashland Community College

Associate in Arts — KCTCS/UK

Malone, Carla J	Humanities	Associate in Arts	Scarberry, Michelle	Humanities	Associate in Arts
Meadows, Deborah K	Humanities	Associate in Arts			

Associate in Applied Science — KCTCS/UK

Bogar, Christopher D	Business	Bus Tech: Mgmt Opt	Smith, John M	Business	Bus Tech: Mgmt Opt
Hunley, Charles Edward	Business	Off Sys	Wright, Cynthia Sue	Business	Bus Tech: Mgmt Opt
Logan, Melissa Kaye	Business	Off Sys			

Elizabethtown Community College

Associate in Arts — KCTCS/UK

Burt, Kristy K.	Humanities	Associate in Arts	Singleton, Sheila Renee	Humanities	Associate in Arts
Cheeseboro, Rochelle L.	Humanities	Associate in Arts	Whitmer, Keely L.	Humanities	Associate in Arts
Mercer, Lynda K.	Humanities	Associate in Arts			

Associate in Science — KCTCS/UK

Decker, Theresa H.	Life & Natural Sciences	Associate in Science	Edwards, Timothy C.	Life & Natural Sciences	Associate in Science
Dixon, Donna C.	Life & Natural Sciences	Associate in Science	Morlan, Arthur D.	Life & Natural Sciences	Associate in Science

Associate in Applied Science — KCTCS/UK

Edwards, Beverly A	Business	Quality Tech	Johnson, Vickie L.	Soc & Behav	Early Child Educ
Embry, Jennifer L.	Soc & Behav	Early Child Educ	Martin, John D.	Business	Comp Info Sys Micro

Hazard Community College

Associate in Applied Science — KCTCS/UK

Hall, Angela Renee	Soc & Behav	Human Services
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Henderson Community College

Associate in Arts — KCTCS/UK

Allen, Lauri	Humanities	Associate in Arts	McWorthy, Kristie Elaine	Humanities	Associate in Arts
Canup, Joni Lou	Humanities	Associate in Arts	Nolen, Katie A.	Humanities	Associate in Arts
Greenwell, Rhonda Lee	Humanities	Associate in Arts	Norman, Shasta P.	Humanities	Associate in Arts
King, Kelly Elaine	Humanities	Associate in Arts			

Associate in Applied Science — KCTCS/UK

Back, Theresa Patterson	Allied Health	Nursing	Mattingly, Melissa Kaye	Allied Health	Nursing
Cocco, Richard A.	Business	Bus Tech: Mgmt Opt	Roberts, Lauri A	Business	Bus Tech: Off Sys Opt
Fowler, Kimberly	Allied Health	Nursing	Terrell, Kara Dawn	Allied Health	Nursing
Goben, Jason W	Soc & Behav	Human Services	Utley, Brooke A.	Allied Health	Nursing
Hager, Laura Jean	Allied Health	Nursing	Walker, Michelle Rae	Allied Health	Nursing
Jones, Darlena Gay	Allied Health	Nursing	Williams, Debra Charlene	Allied Health	Nursing
Jordan, Jody	Allied Health	Nursing			

Hopkinsville Community College

Associate in Arts — KCTCS/UK

McKenna, John J	Humanities	Associate in Arts	Rogers, Laurie Wynette	Humanities	Associate in Arts
Poteet, Jessica Abigail	Humanities	Associate in Arts			

Associate in Science — KCTCS/UK

Montelland, Hugo	Life & Natural Sciences	Associate in Science
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Associate in Applied Science — KCTCS/UK

Pereira, Dora C	Soc & Behav	Human Services
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Jefferson Community College

Associate in Arts — KCTCS/UK

Bailey, Matthew Robert	Humanities	Associate in Arts	Logsdon, Matthew H	Humanities	Associate in Arts
Coleman, Jennifer M	Humanities	Associate in Arts	Martin, Rob M	Humanities	Associate in Arts
Cox, Donna M	Humanities	Associate in Arts	Priddy, Kristie M Triplett	Humanities	Associate in Arts
Grant, Adrienne C	Humanities	Associate in Arts	Schmidt, Jeffrey R	Humanities	Associate in Arts
Hayes, Nicole Anjane	Humanities	Associate in Arts			

Associate in Science — KCTCS/UK

Chapman, Barbara S.	Life & Natural Sciences	Associate in Science	Logan Jr, Jerry D	Life & Natural Sciences	Associate in Science
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Associate in Applied Science — KCTCS/UK

Blissett, Sonja C.	Business	Bus Tech:Mgmt Opt	Michael, Jennifer E	Business	Acct Tech
Hofelich, Jennifer M	Soc & Behav	Early Child Educ	Pittman, Lisa R.	Soc & Behav	Human Services
Lepera, Kathleen M	Allied Health	Nursing	Robb, Thomas B	Business	Comp Info Sys Micro
Manning, Clarissa	Allied Health	Resp Care Adv Prac			

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Madisonville Community College

Associate in Applied Science — KCTCS/UK

Armour, Laura G	Allied Health	Nursing
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Maysville Community College

Associate in Arts — KCTCS/UK

Bishop, Amanda F	Humanities	Associate in ArtsDuncan,	Randolph, Katherine L	Humanities	Associate in Arts
Teresa	Humanities	Associate in Arts	Sales, Brenda S	Humanities	Associate in Arts
Mattox, Melissa J	Humanities	Associate in Arts			

Associate in Science — KCTCS/UK

Auckerman, Scott L	Life & Natural Sciences	Associate in Science
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Associate in Applied Science — KCTCS/UK

Cantu-Dummitt, Lisa A	Business	Bus Tech:Mgmt Opt	Hampton, Clifford Michael	Engineering Tech	IET Electrical Opt
Duncan, Daniel L	Engineering Tech	IET Electrical Opt	Ishmael, Angela L	Business	Bus Tech: Mgt Info Sys
Evans, Thomas Jason	Engineering Tech	IET Electrical Opt	Lee, Ruth N	Business	Bus Tech:Mgmt Opt

Owensboro Community College

Associate in Arts — KCTCS/UK

Deweese, Tiffany Lyn	Soc & Behav	Associate in Arts	Tipton, Rhonda	Humanities	Associate in Arts
Drury, Angela Eileen	Humanities	Associate in Arts	Varble, Kenneth Franklin	Humanities	Associate in Arts
Ervin, Theresa A	Humanities	Associate in Arts	White, Susan N	Soc & Behav	Associate in Arts

Associate in Applied Science — KCTCS/UK

Banks, Kristi Lynn	Allied Health	Nursing	Page, Michael W	Soc & Behav	Law Enforce Tech
Bowser, Elaine Faith	Allied Health	Nursing	Payne, Karie Krystal	Soc & Behav	Human Services
Crump, Wendy Aldridge	Allied Health	Nursing	Renfrow, Deborah L	Business	Off Sys
Ebelhar, Lisa Barr	Business	Bus Tech: Mgt Info Sys	Rone, Heather Anne	Business	Comp Info Sys Prog
Houghland, Kasey Jo	Allied Health	Nursing	Thomas, James Henry	Allied Health	Nursing
House, Ashley Breann	Allied Health	Nursing	Tutt, Robin Renee	Soc & Behav	Human Services
Hundley, Kristina Faye	Allied Health	Nursing	White, Kari Shawnte	Business	Off Sys
Kurz, Alicia K.	Allied Health	Nursing	Whobrey, Patricia Louise	Business	Comp Info Sys Prog

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Prestonsburg Community College

Associate in Arts — KCTCS/UK

Dixon, Kenneth Jason	Humanities	Associate in Arts
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Associate in Applied Science — KCTCS/UK

Woods, Glenna Faye	Business	Bus Tech: Mgmt Opt
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Somerset Community College

Associate in Arts — KCTCS/UK

Barger, Leslie P	Business	Associate in Arts	McCune, Carla D	Soc & Behav	Associate in Arts
Duff, Regina R.	Humanities	Associate in Arts	Vaught, Stella Lacey	Soc & Behav	Associate in Arts
Floyd, Kim H	Soc & Behav	Associate in Arts			

Southeast Community College

Associate in Arts — KCTCS/UK

Baczewski, Mary	Humanities	Associate in Arts	Hutton, Enequita Q.	Humanities	Associate in Arts
Bailey, Charles R	Humanities	Associate in Arts	Johnson, Tabatha L	Humanities	Associate in Arts
Collins, Cassanderia D	Humanities	Associate in Arts	Jones, Paul A.	Humanities	Associate in Arts
Daniels, Katrina M	Humanities	Associate in Arts	Mayes, Sondra	Humanities	Associate in Arts
Farmer, James P	Humanities	Associate in Arts	McGeorge, Harold J	Humanities	Associate in Arts
Farmer, Johnny S	Humanities	Associate in Arts	Sergeant, Ernestine	Humanities	Associate in Arts
Grubbs, Janet L	Humanities	Associate in Arts	Slone, Randall C	Humanities	Associate in Arts
Hubbard, Tracy A	Humanities	Associate in Arts	West, Anora E.	Humanities	Associate in Arts

Associate in Science — KCTCS/UK

Baczewski, Mary	Life & Natural Sciences	Associate in Science	Lyons, Jessica Leah	Life & Natural Sciences	Associate in Science
Baker, Stephanie L	Life & Natural Sciences	Associate in Science	Sergeant, Ernestine	Life & Natural Sciences	Associate in Science
Farmer, James P	Life & Natural Sciences	Associate in Science	West, Anora E.	Life & Natural Sciences	Associate in Science
Haynes, Karen Denise	Life & Natural Sciences	Associate in Science	Young, Malissa A.	Life & Natural Sciences	Associate in Science

Associate in Applied Science — KCTCS/UK

Collins, Cassanderia D	Business	Bus Tech: Mgt Info Sys	Hutton, Zohira L.	Business	Bus Tech: Mgt Info Sys
Epperson, Edwina	Business	Off Sys	Thompson, Opal Lee	Business	Off Sys
Hall, Leslie G.	Business	Off Sys	White, Donya C.	Business	Off Sys
Hammond, Deborah G	Business	Off Sys	York, Cathy D	Business	Bus Tech: Mgmt Opt

AACR 2

Office of the President
February 25, 2003

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve the change in the name of the Department of Family Practice to the Department of Family Practice and Community Medicine in the College of Medicine.

Background: The combined mission of these disciplines is a natural merger of historical interests. There has been, from the inception of both of these disciplines, a strong relationship between primary care and family medicine, with the former focusing on the individual and the family, and the latter focusing on the community as a patient and an area of study.

The reasons for this request are several. Many of the research activities of the Department are not related exclusively to clinical family practice but have a much broader scope of interest, specifically in areas of health services research, community benefits analysis, and studies in population-based research. The Department also has a strong educational interest in Community Medicine. The Healthy Human course in the first year, the clinical clerkship, and proposed new tracks in rural medicine have attempted to integrate the issues of the community along with those of the family. At the graduate level, the residency program now has a required community-oriented primary care project in which all residents diagnose a community problem, develop an intervention, and assess the effectiveness of the intervention in some measure of health change in the concerning community. The Department has also been heavily involved in service as part of its community medicine efforts.

The proposed change has been approved by the faculty in the Department and the Academic Council for the Medical Center; reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and approved by the University Senate. The Senior Vice President and Chancellor for the Medical Center, the Provost, and the President of the University support this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 3

Office of the President
February 25, 2003

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve the change in the name of the Department of Military Science to the Department of Military Science and Leadership in the College of Arts and Sciences.

Background: The commanding general of Cadet Command directed an extensive re-evaluation of subject matter taught within the Department. He directed a re-emphasis on leadership, counseling and mentoring skills, and a reduction of the traditional Common Task Training associated with basic soldier skills. The commanding general also directed the Department to undertake an extensive marketing and recruiting effort emphasizing the leadership aspect of the program. The name change best reflects the new direction set by the commanding general.

The proposal has been approved by the Arts and Sciences Faculty Council; reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and approved by the University Senate. The Provost and the President of the University support this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 4

Office of the President
February 25, 2003

Members, Board of Trustees:

BACHELOR OF SCIENCE IN HUMAN NUTRITION

Recommendation: that the Board of Trustees approve the change in the name of the Bachelor of Science in Human Nutrition with a major in Food Science to Bachelor of Science in Human Nutrition with a major in Human Nutrition.

Background: This change is requested to separate the Bachelor of Science in Human Nutrition from the Bachelor of Science in Food Science. Both have the same major title, however the Department of Nutrition and Food Science, College of Human Environmental Sciences, is requesting to suspend the Bachelor of Science in Food Science, while retaining the Bachelor of Science in Human Nutrition.

This proposal has the approval of the Undergraduate Council, Senate Council and University Senate. The Provost and the President of the University support this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 5

Office of the President
February 25, 2003

Members, Board of Trustees:

ESTABLISHMENT OF A CENTER FOR RESEARCH ON VIOLENCE AGAINST WOMEN

Recommendation: that the Board of Trustees approve the establishment of the Center for Research on Violence Against Women.

Background: The over-all objective of the Center is to provide an infrastructure to promote a vigorous research agenda and to ensure the integration of research, instruction and the service mission of the University related to violence against women. The Center is designed to foster cross discipline approaches and a strong collaboration between the researchers and practitioners in the violence against women field. The Center will facilitate access to extramural funding for University faculty to conduct research, help create a nationally recognized research agenda, and convene research conferences, think tanks and other research-focused events to highlight the Center's efforts and expose faculty, students and practitioners to state-of-the-art work being done in the area.

The Center for Research on Violence Against Women will include research focus in three areas of concentration: Clinical (health and mental health), Legal, and Public Policy and Leadership. The Center is a joint project of the University and Governor Paul Patton's administration. Basic operating funding will be supported by recurring general funds beginning in Fiscal Year 2004.

The Center will report to the Vice President for Research. A director appointed by the Vice President for Research will oversee the Center. A multidisciplinary advisory committee, consisting of UK faculty, practitioners in the field, and five researchers from around the country whose work in the violence against women area is well-known and respected, appointed by the Vice President for Research, will advise the director and will help set both the long-term and short-term goals and objectives of the Center.

The Center for Research on Violence Against Women meets the criteria for establishing centers. It is supported by the Vice President for Research and has been recommended by the University Senate. The President supports this recommendation.

Action Taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 10

Office of the President
February 25, 2003

Members, Board of Trustees:

JOSEPH WM. FORAN GIFT

Recommendation: that the Board of Trustees accept a gift of \$51,000 from Joseph Wm. Foran of Dallas, Texas, to establish and endow the Mary Rebecca Johnson Whidby Professorship in the Carol Martin Gatton College of Business and Economics.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: Mr. Foran, chairman and CEO of Matador Petroleum Corporation, graduated from the University in 1974. An outstanding student, he received his bachelor's degree in accounting and was awarded "departmental honors" and "high distinction" upon graduation. Mr. Foran earned a law degree from Southern Methodist University in 1977. Matador Petroleum is a privately held oil and gas exploration company founded in 1983 by Mr. Foran and a group of 17 investors. The Professorship, named for a University of Kentucky classmate of Mr. Foran, will support the research of a faculty member in the field of business. The gift is eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 1

Office of the President
February 25, 2003

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR SIX MONTHS ENDED
DECEMBER 31, 2002

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the six months ended December 31, 2002.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of December 31, 2002, the University had realized income of \$717,505,000 representing 53% of the 2002-03 estimate of \$1,350,054,000. Expenditures and commitments total \$659,680,000 or 49% of the approved budget of \$1,350,054,000.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____



*Consolidated Financial
Statements*

For the six months ended December 31, 2002

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
DECEMBER 31, 2002
with comparative totals for December 31, 2001
(in thousands)

	2002-03					2001-02	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
Cash and cash equivalents	\$ 130,264		\$ 5,284	\$ 878	\$ 241,363	\$ 377,789	\$ 344,871
debt allowances of \$48,851)	97,463	\$ 23,355	23,096	1,331	5,322	150,567	171,017
Property, plant, and equipment,							
Inventories and other	14,073	278				14,351	14,887
Total Assets	\$ 280,984	\$ 154,581	\$ 28,380	\$ 394,445	\$ 1,151,669	\$ 2,010,059	\$ 1,993,309
Liabilities							
Employee withholdings and							
Other liabilities	5,497	1,592	20,215	\$ 5,656	2,771	35,731	37,608
Deferred income	39,982	19,553				59,535	65,236
Capitalized lease obligation					48,805	48,805	45,773
Total Liabilities	128,554	43,217	20,626	5,656	261,049	459,102	484,375
Interfund Balances	(59,707)	54,270		12,353	(6,916)		
Net deferred revenues and							
Current unrestricted							
Future operating purposes	7,849					7,849	6,674
Current restricted		59,539				59,539	58,979
True endowments				319,474		319,474	349,056
Quasi endowments				53,297		53,297	67,734
Gift annuities				49		49	-
Retirement of indebtedness					26,976	26,976	27,780
Allocated for designated							
Net investment in plant					608,296	608,296	545,376
Total Fund Balances	143,965	59,539	7,754	376,436	897,536	1,485,230	1,456,137
Total Liabilities and							

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2002
with comparative totals for December 31, 2001
(in thousands)

	2002-03				2001-02	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
(1) State appropriations	\$ 313,431	\$ 313,431	\$ 172,285	55	\$ 159,485	49
(3) County appropriations	9,070	9,883	4,309	44	3,744	42
(5) Investment income	10,707	10,707	5,162	48	5,323	47
(7) Grants, donations, pledges						
Other	6,930	12,974	8,903	69	3,430	43
(9) Fund balance	21,837	29,181	29,181	100	20,115	100
(10) Total General Fund	642,118	650,859	358,338	55	320,112	51
(11) Auxiliary Enterprises	43,242	43,278	28,646	66	26,358	64
Restricted Funds						
(13) Other	86,562	86,597	38,882	45	30,137	36
(14) Affiliated Corporations	232,024	232,153	116,270	50	108,550	52
(15) Hospital						
(16) Fund balance						
Total Revenues and						

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2002**

with comparative totals for December 31, 2001

(in thousands)

	2002-03				2001-02	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(2) Research	208,439	207,697	97,330	47	86,846	46
(4) Academic support	83,577	84,683	40,723	48	37,589	47
(6) Institutional support	52,962	57,469	24,639	43	21,624	41
(8) Operation and maintenance	49,750	50,803	22,092	43	20,231	43
(10) Hospital	319,460	319,460	152,039	48	135,286	43

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2002**

with comparative totals for December 31, 2001

(in thousands)

	2002-03				2001-02	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(14) Operating expenses	493,537	500,010	239,643	48	215,061	43
(16) Capital outlay	35,595	42,377	27,040	64	26,602	61
(17) Total Expenditure by Category	<u>\$ 1,341,113</u>	<u>\$ 1,350,054</u>	<u>\$ 659,680</u>	49	<u>\$ 609,239</u>	47

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2002
with comparative totals for December 31, 2001
(in thousands)

	<u>2002-03</u>	<u>2001-02</u>
(2) Current Unrestricted Fund	\$ 29,181	20,115
(7) Less: Reserve for Encumbrances	(7,902)	(10,287)
(8) Total Expenditures	651,778	598,952
(9) Net Deferred Revenues and Appropriated Fund Balances	\$ 65,727	\$ 52,797

FCR 11

Office of the President
February 25, 2003

Members, Board of Trustees:

GEOFFREY C. HUGHES FOUNDATION GIFT

Recommendation: that the Board of Trustees accept a gift of \$140,000 from the Geoffrey C. Hughes Foundation of New York, New York, to support the Geoffrey C. Hughes Foundation Fellowship in Veterinary Science in the College of Agriculture.

Background: The Geoffrey C. Hughes Foundation was established at the death of Mr. Geoffrey C. Hughes, a prominent horseman who bred and boarded his horses in central Kentucky. In 1993, with the assistance of UK Equine Research Foundation Board of Director's member Don Robinson, the Hughes Foundation established a graduate student support program in the Department of Veterinary Science. The graduate students supported by this program are known as the Geoffrey C. Hughes Fellows. The foundation has given \$914,710 since 1993 to support this program.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 12

Office of the President
February 25, 2003

Members, Board of Trustees:

SIBU P. SAHA, M.D., GIFT

Recommendation: that the Board of Trustees accept a gift of \$100,000 from Siby P. Saha, M.D., of Lexington, Kentucky, to the Department of Athletics.

Background: Dr. Saha, a physician associated with Cardiovascular and Thoracic Associates in Lexington, has been a generous supporter of the University. The gift will provide scholarships for student athletes.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 13

Office of the President
February 25, 2003

Members, Board of Trustees:

ANTHEM BLUE CROSS AND BLUE SHIELD GIFT

Recommendation: that the Board of Trustees accept from Anthem Blue Cross and Blue Shield of Louisville, Kentucky, a gift of \$100,000 to the Department of Athletics for the baseball stadium renovation project.

Background: Anthem Blue Cross and Blue Shield is a provider of health care benefit plans. The stadium renovation includes increasing the seating capacity, adding skyboxes, updating the press box and making improvements to the dugout and clubhouse.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 14

Office of the President
February 25, 2003

Members, Board of Trustees:

MICHAEL W. HART GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$50,000 and pledge of \$100,000 from Michael W. Hart of Corbin, Kentucky, to the Department of Athletics.

Background: Mr. Hart is a Corbin businessman and has been a generous supporter of the UK athletic program. His gift will support scholarships for student athletes.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 15

Office of the President
February 25, 2003

Members, Board of Trustees:

BRIAN C. WOOD PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from Brian C. Wood of Lexington, Kentucky, to the Department of Athletics.

Background: A commercial real estate developer, Mr. Wood's gift will support scholarships for student athletes.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 16

Office of the President
February 25, 2003

Members, Board of Trustees:

ST. JOSEPH HEALTHCARE PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from St. Joseph HealthCare of Lexington, Kentucky, to the Department of Athletics.

Background: A generous supporter of the University for many years, St. Joseph HealthCare's gift will provide scholarships for student athletes.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 17

Office of the President
February 25, 2003

Members, Board of Trustees:

WOODALL CONSTRUCTION, INC. PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from Woodall Construction, Inc., of Lexington, Kentucky, to the Department of Athletics.

Background: Woodall Construction has been a generous supporter of athletics at the University of Kentucky. This gift will provide scholarships for student athletes.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 18

Office of the President
February 25, 2003

Members, Board of Trustees:

AUTHORIZATION TO SELL PROPERTY IN SHARONVILLE, OHIO

Recommendation: that the Board of Trustees authorize the Senior Vice President for Administration to sell property known as the Texas Roadhouse, situated at 11525 Chester Road, Sharonville, Ohio for a sale price not less than the appraised fair market value; further, that the Senior Vice President for Administration be authorized to execute any and all documents required for such sale.

Background: The Texas Roadhouse was a gift to the University in the fall of 2000 by Tracy and Carol Farmer. The University agreed not to sell the property for at least two years. The Property is now surplus to the needs of the University. The net proceeds from the sale of this property will go to the Tracy Farmer Center for the Environment.

The University proposes to sell the property at a private sale, if the opportunity presents itself, or otherwise at public auction in early spring. The University will obtain two appraisals to determine fair market value.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 19

Office of the President
February 25, 2003

Members, Board of Trustees:

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF
KENTUCKY AUTHORIZING THE ISSUANCE OF APPROXIMATELY \$5,120,000
OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL
BUILDINGS REFUNDING REVENUE BONDS, SERIES K (THIRD SERIES), TO
BE DATED THE FIRST DAY OF THE MONTH IN WHICH THE BONDS ARE
SOLD

Recommendation: that the Board of Trustees approve a Resolution authorizing the issuance of approximately \$5,120,000 of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Third Series), to be dated the first day of the month in which the Series K (Third Series) Bonds are sold.

The Resolution authorizes the issuance of approximately \$5,120,000 of Series K (Third Series) Bonds for the purpose of refinancing the outstanding University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series K (Second Series), which refinanced University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series K which were originally issued to finance the Business and Economic Building Project comprised of (i) the addition of approximately 50,000 sq. ft. and (ii) building renovations involving a full spectrum of interior alterations providing for classrooms and faculty offices upon the campus of the University in Fayette County in the Commonwealth of Kentucky, which project is a part of the University of Kentucky Consolidated Educational Buildings Project of the University (the "Series K Project").

The Resolution also approves the offering for sale of the Series K (Third Series) Bonds upon the advice of the Financial Advisor, First Kentucky Securities Corporation on a date to be determined by the Treasurer of the University, upon the advice of said Financial Advisor (expected to be March 6, 2003). The Resolution further authorizes the acceptance of the bid for the sale of the Series K (Third Series) Bonds by the Treasurer. The exact principal amount of Series K (Third Series) Bonds to be sold will be determined on the date of sale as the amount required to refund the Series K (Second Series) Bonds.

Background: The University presently has outstanding twelve series of University of Kentucky Consolidated Educational Buildings Project Revenue Bonds, Series E, J, L (Second Series); G, H, I (Third Series); K (Second Series); M; M (Second Series); N (Second Series); O; P; Q and R (the "Outstanding Bonds") all secured by a pledge of the Revenues (registration fees) and by a statutory mortgage lien against all of the buildings of the Project. The Bond Resolution approves the appointment of the Bank One Trust Company, NA, Lexington, Kentucky, as Trustee, Bond Registrar, Transfer Agent, Payee Bank and Depository Bank, with respect to these Bonds, provides for the execution of the appropriate parity certification establishing that the "coverage" of projected income of the Project over debt service requirements will meet the 1.25 test necessary to establish that the Series K (Third Series) Bonds will rank on a parity with the Outstanding Bonds.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

RESOLUTION AUTHORIZING THE ISSUANCE

OF

APPROXIMATELY
\$5,120,000

OF

UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BONDS,
SERIES K (THIRD SERIES)
(TO BE DATED THE FIRST DAY OF THE MONTH IN WHICH THE BONDS ARE SOLD),
OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY

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RESOLUTION

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY AUTHORIZING THE ISSUANCE OF APPROXIMATELY \$5,120,000 OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BONDS, SERIES K (THIRD SERIES), TO BE DATED THE FIRST DAY OF THE MONTH IN WHICH THE BONDS ARE SOLD.

WHEREAS, the Board of Trustees of the University of Kentucky, by Resolution entitled:

"A RESOLUTION OF THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY, A STATE SUPPORTED INSTITUTION OF HIGHER LEARNING OF THE COMMONWEALTH OF KENTUCKY, CREATING AND ESTABLISHING A CONSOLIDATED EDUCATIONAL BUILDINGS PROJECT OF SAID UNIVERSITY OF KENTUCKY; CREATING AND ESTABLISHING AN ISSUE OF CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS OF THE BOARD OF TRUSTEES OF SAID UNIVERSITY OF KENTUCKY; PROVIDING FOR THE ISSUANCE OF SUCH BONDS FROM TIME TO TIME; AND PROVIDING FOR THE PAYMENT OF THE PRINCIPAL OF AND INTEREST ON SAID BONDS FROM REVENUES IN SUCH MANNER AS NOT TO CONSTITUTE INDEBTEDNESS OF THE BOARD, THE UNIVERSITY, OR THE COMMONWEALTH."

heretofore adopted on September 20, 1960 (the "Basic Resolution" or "Resolution"), has created and established an issue of University of Kentucky Consolidated Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky (the "Bonds"); and

WHEREAS, the Basic Resolution authorizes the issuance by said Board of said Bonds in one or more Series pursuant to a new resolution authorizing each such Series; and

WHEREAS, the Board has previously authorized, sold, issued, and has outstanding prior issues of Bonds designated "University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series E, J, L (Second Series); G, H, I (Third Series); K (Second Series); M; M (Second Series); N (Second Series); O; P; Q and R" (all such other Series of Bonds heretofore issued having been retired or defeased); and has determined that the Board is in compliance with the conditions set forth in Section 7.10 of the Basic Resolution relative to the issuance of the Series K (Third Series) Bonds (authorized herein) on a basis of parity and equality as to security and source of payment with such outstanding Bonds of Series E, J, L (Second Series); G, H, I (Third Series); K (Second Series); M; M (Second Series); N (Second Series); O; P; Q and R; and

WHEREAS, the Board has determined that it is in the best interests of the Board to authorize at this time an additional series of Bonds to be designated "University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Third Series)," ranking on a parity with said outstanding Series of Bonds;

NOW, THEREFORE, the Board of Trustees of the University of Kentucky hereby resolves as follows:

ARTICLE I DEFINITIONS AND AUTHORITY

Section 1.1. Series K (Third Series) Resolution. This Resolution (the "Series K (Third Series) Resolution") is adopted in accordance with Article II, Section 2.03, of the Basic Resolution.

Section 1.2. Definitions

A. All terms which are defined in Article I of the Basic Resolution shall have the same meanings, respectively, in this Series K (Third Series) Resolution as such terms are given in said Article of the Basic Resolution.

B. In this Series K (Third Series) Resolution, unless the context shall indicate otherwise, the following terms shall have the following respective meanings:

"Agent Member" means a member of, or participant in, the Securities Depository.

"Basic Resolution" or "Resolution" means the original Resolution adopted on September 20, 1960, creating and establishing the Consolidated Educational Buildings Project and creating, establishing, and authorizing the issuance of Consolidated Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky.

"Board" means the Board of Trustees of the University of Kentucky, and shall include the Executive Committee of the Board acting pursuant to authorization of and/or with ratification by said Board of Trustees.

"Bond Register" means the books and records maintained by the Bond Registrar as to the registered ownership and transfers of ownership of the Series K (Third Series) Bonds, from time to time.

"Bond Registrar," "Registrar," "Payee Bank" or "Transfer Agent" means Bank One Trust Company, NA, Lexington, Kentucky, which shall constitute the Bond Registrar, Transfer Agent and Payee Bank, with respect to the Series K (Third Series) Bonds authorized herein, which Bank shall have the duties and responsibilities of (a) issuing semiannual checks in payment of interest requirements to the Series K (Third Series) Bonds, (b) payment of the principal as same matures upon surrender of the Series K (Third Series) Bonds, (c) authenticating, issuing, and delivering the Series K (Third Series) Bonds to the Original Purchasers of same in accordance with the sale of the Series K (Third Series) Bonds, at the direction of the Board, (d) maintaining the Bond Register, (e) handling exchanges, cancellations, reissuance, redemption, and all appurtenant duties of a Bond Registrar and Transfer Agent with respect to the Series K (Third Series) Bonds, as hereinafter set out, and (f) serving as depository of all funds in connection with the Series K (Third Series) Bonds and Outstanding Bonds.

"Book-Entry Form" means, with respect to the Series K (Third Series) Bonds, a form or system, as applicable, under which (i) the ownership of beneficial interests in the Series K (Third Series) Bonds and bond service charges may be transferred only through a book entry, and (ii) physical Series K (Third Series) Bond certificates in fully registered form are registered only in the name of a Securities Depository or its nominee as owner, with the physical Series K (Third Series) Bond certificates in the custody of a Securities Depository.

"Code" means the Internal Revenue Code of 1986, as amended.

"Continuing Disclosure Agreement" means the Continuing Disclosure Agreement dated as of the first day of the month in which the Series K (Third Series) Bonds are sold, between the University and the Trustee.

"Debt Service Reserve" means the portion of the Bond Fund in excess of the aggregate principal, interest, and Bond Fund Charges of Outstanding Bonds for the ensuing year, which excess portion shall constitute the "Debt Service Reserve" created and provided for in Section 4.05(3) of the Basic Resolution.

"Escrow Agreement" means the Escrow Trust Agreement dated the first date of the month in which the Series K (Third Series) Bonds are sold, as hereinafter provided, between the Board and the Escrow Trustee.

"Escrow Fund" means the Escrow Trust Fund created by the Escrow Agreement.

"Escrow Trustee" means Bank One Trust Company, NA.

"Finance Cabinet" means the Finance and Administration Cabinet of the Commonwealth of Kentucky or its successor by whatever name designated.

"Financial Advisor" means First Kentucky Securities Corporation, 305 Ann Street, Suite 400, Frankfort, Kentucky 40201.

"Interest Payment Date" means May 1, and November 1 of each year, commencing November 1, 2003.

"Memorandum of Instructions" means the Memorandum of Instructions Regarding Rebate delivered to the Board and the Trustee at the time of the issuance and delivery of the Series K (Third Series) Bonds as the same may be amended or supplemented in accordance with its terms.

"Original Purchasers" or "Purchasers" means the original purchasers of the Series K (Third Series) Bonds at the public sale, including all members of the approved purchasing syndicate or group.

"Outstanding Bonds" means all bonds outstanding against and payable from the revenues of the Project, including (a) the Bonds of Series E, J, L (Second Series); G, H, I (Third Series); K (Second Series); M; M (Second Series); N (Second Series); O; P; Q and R; plus (b) the Series K (Third Series) Bonds, and (c) any and all future Bonds ranking on a parity therewith.

"Prior Bonds" means all the outstanding University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series).

"Project" means the University of Kentucky Consolidated Educational Buildings Project, consisting of all educational buildings and necessary appurtenances on the campus of the University of Kentucky in Lexington, Kentucky, and all such buildings hereafter erected on such campus and elsewhere in Fayette County, Kentucky.

"Record Date" means with respect to any interest payment date, the close of business on April 15 or October 15, as the case may be, next preceding such Interest Payment Date, whether or not such April 15 or October 15 is a business day.

"Required Current Debt Service Reserve Accumulation" means the amount required at any given time to have been accumulated toward the goal of the "Required Debt Service Reserve," based on Outstanding Bonds, including the additional amounts required to have been deposited in each year to date (and scheduled to continue for a period of four years) in such Reserve as a result of the issuance of one or more additional Series of Bonds, until the total Required Debt Service Reserve is accumulated.

"Required Debt Service Reserve" means the amount required to be accumulated and maintained in the Debt Service Reserve portion of the Bond Fund, which required amount is an amount equal to 100% of the maximum Aggregate Principal, Interest, and Bond Fund Charges falling due on Outstanding Bonds in any twelve month period.

"Secretary" means the Secretary of the Finance and Administration Cabinet of the Commonwealth of Kentucky, and each and every officer of the Commonwealth who may hereafter be authorized to exercise powers and authority similar to those vested by law in said Secretary; and each and every Deputy Secretary or other similar officer authorized by law to exercise the powers and authority presently vested by law in the Secretary.

"Securities Depository" means any securities depository that is a "clearing corporation" within the meaning of the New York Uniform Commercial Code and a "clearing agency" registered pursuant to the provisions of Section 17A of the Securities Exchange Act, operating and maintaining, with its participants or otherwise, a Book-Entry System to record ownership of beneficial interests in bonds and bond service charges, and to effect transfers of bonds in Book-Entry Form, and means, initially, The Depository Trust Company (a limited purpose trust company), New York, New York.

"Securities Depository Nominee" means any nominee of a Securities Depository and initially means Cede & Co., New York, New York, as nominee of The Depository Trust Company.

"Series K (Third Series) Bonds" or "These Bonds" means the Bonds authorized by Article II of this Series K (Third Series) Resolution.

"Series K Project" means the Business and Economic Building Project comprised of (i) the addition of approximately 50,000 sq. ft. and (ii) building renovations involving a full spectrum of interior alterations providing for classrooms and faculty offices, which Project is located in Fayette County, Kentucky.

"Series K (Third Series) Resolution" or "This Resolution" means this Resolution authorizing the Series K (Third Series) Bonds.

"Trustee" or "Trustee-Registrar" means Bank One Trust Company, NA, Lexington, Kentucky, as more fully defined under "Bond Registrar."

"University" means the University of Kentucky, a body corporate, as an educational institution and agency and a political subdivision of the Commonwealth of Kentucky.

Section 1.3. Statutory Authority. This Series K (Third Series) Resolution is adopted pursuant to the provisions of Section 162.340, et seq., and 58.010 through 58.140 of the Kentucky Revised Statutes (the "Enabling Acts") and the Basic Resolution.

(End of Article I)

ARTICLE II
AUTHORIZATION OF SERIES K (THIRD SERIES) BONDS

Section 2.1. Series K (Third Series) Bonds Authorized. Pursuant to the provisions of the Basic Resolution, there is hereby authorized to be issued by the Board of Trustees of the University of Kentucky, in its corporate capacity, a Series of Bonds in the aggregate principal amount of approximately FIVE MILLION ONE HUNDRED TWENTY THOUSAND DOLLARS (\$5,120,000). Such Bonds shall be designated "University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Third Series)." Said Series K (Third Series) Bonds are hereby declared to have been authorized under the Basic Resolution and in conformity with the provisions of Section 7.10 of the Basic Resolution. The exact principal amount of the Series K (Third Series) Bonds to be issued may be increased or decreased by up to \$510,000. The exact principal amount shall be established at the time of sale of the Series K (Third Series) Bonds as the amount required to refund the Prior Bonds, which amount shall be conclusively established by the acceptance of the best bid for the Series K (Third Series) Bonds in such amount.

Section 2.2. Purpose of Issue. Said Series K (Third Series) Bonds are being issued for the purpose of (a) paying the costs of refinancing a portion of the Prior Bonds which were originally issued to finance the Business and Economic Building Project comprised of (i) the addition of approximately 50,000 sq. ft. and (ii) building renovations involving a full spectrum of interior alterations providing for classrooms and faculty offices, constituting the Series K Project, upon property of the University in Fayette County, Kentucky, which Series K Project is a part of the Consolidated Educational Buildings Project of said University and (b) paying the costs of issuance of the Series K (Third Series) Bonds.

Section 2.3. Date and Maturities of Bonds. Except as set out in Section 2.5 hereof, the Series K (Third Series) Bonds shall be dated the first day of the month in which the Series K (Third Series) Bonds are sold, and bear interest payable on each Interest Payment Date (May 1 and November 1 of each year), commencing November 1, 2003. The Series K (Third Series) Bonds shall be numbered consecutively from R-1 upward, or in such other manner as shall be determined by the Trustee-Registrar, and shall be scheduled to become due and payable on May 1 of the respective years and in the principal amounts (subject to such adjustment to maturity amounts as may be approved by the Chairman of the Board upon acceptance of the bid for the Series K (Third Series) Bonds in order to provide for an appropriate balance of principal and interest after any adjustment as provided in Section 2.1 hereof), as follows:

Maturity Date <u>May 1</u>	Principal <u>Amount</u>
2004	\$675,000
2005	705,000
2006	710,000
2007	725,000
2008	745,000
2009	765,000
2010	795,000

Section 2.4. Series K (Third Series) Bonds to be Issued as Fully Registered Bonds. The Series K (Third Series) Bonds shall be issued only in fully registered form, without coupons, in the denomination of \$5,000 or any authorized multiple thereof within a single maturity.

Section 2.5. Interest Payment Dates. Each Series K (Third Series) Bond shall bear interest from the Interest Payment Date to which interest has been paid next preceding the date on which such Series K (Third Series) Bond is authenticated by the Registrar, unless such Series K (Third Series) Bond is authenticated on an Interest Payment Date to which interest has been paid, in which event it shall bear interest from such date, or if such Series K (Third Series) Bond is authenticated prior to the first Interest Payment Date, such Series K (Third Series) Bonds shall bear interest from their dated date. Interest on the Series K (Third Series) Bonds shall be payable commencing November 1, 2003, and on each November 1 and May 1 thereafter to maturity or earlier redemption.

Section 2.6. Registered Owners. The Person in whose name any Bond is registered on the Bond Register at the Record Date with respect to an Interest Payment Date shall be entitled to receive the interest payable on such Interest Payment Date (unless such Bond shall have been called for redemption on a redemption date which is prior to such Interest Payment Date) notwithstanding the cancellation of such Bond upon any registration of transfer or exchange thereof subsequent to such Record Date and prior to such Interest Payment Date, except in the event of default.

Section 2.7. Payment of Interest and Principal of Bonds. The principal of (redemption price, if redeemed prior to maturity) and interest on the Series K (Third Series) Bonds shall be payable in lawful money of the United States of America as same respectively become due. The principal of each Series K (Third Series) Bond is payable up on surrender of same at the main office of the Payee Bank and Bond Registrar. Interest on the Series K (Third Series) Bonds shall be paid by check mailed by the Payee Bank on or before each Interest Payment Date to the owners of the Bonds shown on the Bond Register as of the end of business on the Record Date, at the respective addresses appearing on the Bond Register.

So long as any of the Series K (Third Series) Bonds remain outstanding, the Registrar shall keep at its principal office a Bond Register showing and recording a register of the owners of the Series K (Third Series) Bonds and shall provide for the registration and transfer of such Bonds in accordance with the terms of this Resolution, subject to such reasonable regulations as the Registrar may prescribe.

Section 2.8. Execution of Bonds; Authentication by Trustee-Registrar; Transferability; Temporary Bond. The Series K (Third Series) Bonds shall be executed on behalf of the Board with the reproduced facsimile signature of the Chairman of the Board, and the facsimile of the Corporate Seal of the Board shall be imprinted thereon and attested by the reproduced facsimile signature of the Secretary of the Board; and said officials, by the execution of appropriate certifications, shall adopt as and for their own proper signatures, their respective facsimile signatures on such Bonds; provided the Authentication Certificate of Trustee on each printed Bond must be executed by the manual signature of the Trustee (not necessarily the same authorized officer of the Trustee on each Bond) on each Bond before such Bond shall be valid, as set out in Section 2.13 below.

Pending the preparation of definitive Bonds, the Series K (Third Series) Bonds may be delivered in the form of one or more temporary Bonds, which may be printed, lithographed, typewritten, mimeographed or otherwise reproduced, in any denomination, substantially of the tenor of the definitive Bonds in lieu of which they are delivered, in registered form, and with such appropriate insertion, omissions, substitutions and other appropriate and necessary variations as the Board may determine, as evidenced by the execution of such temporary Bonds.

Until exchanged for Series K (Third Series) Bonds in definitive form, such temporary Bonds shall be entitled to the benefit and security of this Resolution. The Board shall, without unreasonable delay, cause to have prepared, executed and delivered printed Bonds to the Original Purchasers and/or their designees, and thereupon, upon the presentation and surrender of the temporary Bonds, such printed Bonds shall be delivered to the Original Purchasers and/or their designees in exchange therefore. Such exchange shall be made without the making of any charge therefore to any owner of the Series K (Third Series) Bonds.

Arrangements shall be made for the printing of sufficient Bond Certificates to enable the Trustee-Registrar to maintain an adequate reserve supply of such Bond Certificates as same are sold, exchanged, and/or otherwise surrendered in the future. Notwithstanding anything contained herein, the Trustee-Registrar shall have the right to order the preparation or printing of additional Bond Certificates which, in the sole discretion of the Trustee-Registrar, shall be necessary to maintain adequate reserves of such Bond Certificates to properly effect the continuing transfers of ownership of Bond Certificates. No further action regarding the authorization of additional Bond Certificates shall be required by the Board, and the expenses incidental thereto shall be borne by the Board as additional annual requirements of the Series K (Third Series) Bonds, the same as interest thereon.

If the University determines not to issue the Series K (Third Series) Bonds in Book-Entry Form, at least five business days prior to the payment of the purchase price for the Bonds (the "Closing Date") each of the Original Purchasers shall furnish to the Registrar the name, address, social security number or taxpayer identification number of each party to whom the Bonds shall have been resold and in whose name the respective Bonds are to be registered, and the principal amounts and maturities thereof. The Trustee-Registrar shall then issue and deliver to each respective Purchaser on the Closing Date fully registered printed Bond Certificates for each registered owner so designated, in substantially the same for as that set out in Section 2.15 hereof.

Subject to the provisions hereof for Series K (Third Series) Bonds issued in Book-Entry Form, Series K (Third Series) Bonds shall be exchangeable and transferable upon the presentation and surrender thereof at the office of the Trustee-Registrar, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner and/or his authorized representative, for a Bond or Bonds of the same maturity and interest rate and in the denomination of \$5,000 and/or a multiple thereof within a single maturity, in an aggregate principal amount or amounts equal to the unpaid principal amount of the Bond and/or Bonds presented for exchange. The Trustee-Registrar shall be and is hereby authorized to (authenticate and) deliver the exchange Bond in accordance with the provisions of this Section. Each exchange Bond delivered in accordance with this Section shall constitute an original contractual obligation of the Board and shall be entitled to the benefit and security of this Resolution to the same extent as the Bond or Bonds in lieu of which such exchange Bond is delivered.

In the event of non-payment of interest or of one or more principal maturities on a scheduled Interest Payment Date, and for 30 days thereafter, a new record date for such interest payment or for such maturity or maturities ("Special Record Date") will be established by the Trustee-Registrar if and when funds for the payment of such interest shall have received from the Board. Notice of the Special Record Date and of the Special Payment Date of the past due interest shall be sent at least five (5) business days prior to the Special Record Date by United States mail, first class postage prepaid, to the address of each owner of the Bonds, as shown on the Bond Register, of such maturity or maturities appearing on the books of the Trustee-Registrar at the close of business on the last business day next preceding the date of mailing of such notice.

Section 2.9. Provisions as to Prior Redemption.

(a) Redemption Prior to Maturity. The Series K (Third Series) Bonds shall not be subject to optional redemption prior to maturity. The Series K (Third Series) Bonds may be subject to mandatory sinking fund redemption if the purchaser of the Series K (Third Series) Bonds designates term bonds in its bid for the Series K (Third Series) Bonds.

(b) Redemption of Less Than All of a Single Bond. In the event that a Bond subject to redemption is in a denomination larger than \$5,000, a portion of such Bond may be redeemed, but only in a principal amount equal to \$5,000 or any integral multiple thereof, if the Bond is one of the maturities or amounts or part of the maturities or amounts called for redemption. Upon surrender of any Bond for redemption in part, the Trustee-Registrar shall (authenticate and) deliver an exchange Bond or Bonds in an aggregate principal amount equal to the unredeemed portion of the Bond so surrendered.

(c) Notice of Redemption. The Trustee-Registrar shall give notice of any redemption by sending at least one such notice by United States mail, first class, postage prepaid, not less than 30 and not more than 60 days prior to the date fixed for redemption to the registered owner of each Series K (Third Series) Bond to be redeemed in whole or in part, at the address shown on the Bond Register as of the date of mailing of such notice. The Trustee-Registrar may furnish one other form of such notice more than 60 days prior to the date fixed for redemption, provided at least one such notice shall be sent not less than 30 nor more than 60 days prior to such date. Such notice shall state the redemption date, the Redemption Price, the accrued interest payable on the redemption date, the place at which the Bonds are to be surrendered for payment, and, if less than all of the Bonds outstanding are to be redeemed, an identification of the Bonds or portions thereof to be redeemed. Any notice mailed as provided in this Section shall be conclusively presumed to have been duly given, whether or not the Bondowner receives such notice.

Prior to each redemption date, the Trustee-Registrar shall make provision, to the extent funds are then available therefore, for the payment of the Redemption Price of the Bonds to be redeemed on such date by setting aside and holding in trust an amount sufficient to pay such Redemption Price. Upon presentation and surrender of any such Bond at the main corporate trust office of the Trustee-Registrar on or after the date fixed for redemption, the Trustee-Registrar shall pay the Redemption Price on such Bond (including accrued interest) from the funds set aside for such purpose.

All of said Bonds as to which the Board reserves and exercises the right of redemption and as to which notice as aforesaid shall have been given, and for the retirement of which, upon the terms aforesaid, funds are duly provided, will cease to bear interest on the redemption date.

The required notice shall be deemed to have been given upon the Board furnishing Notice of Redemption to the Trustee-Registrar and upon the Trustee-Registrar acknowledging that it has instructions to send such notice and that it will do so at the proper time, even if the time for furnishing such notice has not yet arrived.

Section 2.10. Transferability. Title to any Series K (Third Series) Bond shall be transferable only upon the presentation and surrender of same at the main office of the Trustee-Registrar, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner or his authorized representative, such transfer to be made on such books and endorsed on the Bond by the Registrar.

Section 2.11. Designation of Trustee, Bond Registrar, Transfer Agent, and Payee Bank. For the purpose of securing the payment of both the principal of and interest on all of the Series K (Third Series) Bonds, and to secure for the benefit of all the owners thereof the faithful performance of the covenants and provisions contained in the Resolution in the manner and to the extent permitted and provided in this Series K (Third Series) Resolution and in Section 162.340, et seq., and 58.010 through 58.140 of the Kentucky Revised Statutes, Bank One Trust Company, NA, in the City of Lexington, Kentucky, successor to First Security National Bank & Trust Company, is hereby designated as Trustee, Bond Registrar, Transfer Agent, and Payee Bank under the Basic Resolution and this Series K (Third Series) Resolution for the owners of all of said Series K (Third Series) Bonds, with the powers and duties set forth in the Basic Resolution and in this Series K (Third Series) Resolution and with no liability in connection with any action or omission to act under the Basic Resolution or under this Series K (Third Series) Resolution except for its own negligence or willful breach of trust. Execution of the Authentication Certificate of the Trustee-Registrar on the respective Series K (Third Series) Bonds, shall conclusively establish the acceptance by the Trustee-Registrar of the trusts and provisions with respect thereto as set forth in this Resolution. Acceptance of the duties of Trustee-Registrar shall also be evidenced by the execution of the appropriate form of Acceptance immediately following the end of this Series K (Third Series) Resolution.

Section 2.12. Security for Series K (Third Series) Bonds; Statutory Mortgage Lien; Revenue Pledge. For the protection of the holders and owners of the Bonds secured by the Basic Resolution and this Resolution, a statutory mortgage lien upon the Project and properties connected therewith and belonging thereto, on a parity with Outstanding Bonds, is granted and created by and pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, which said statutory mortgage lien is hereby recognized and declared to be valid and binding as provided by law, and shall take effect immediately upon the delivery of any and all of the Series K (Third Series) Bonds, ranking on a parity with the Outstanding Bonds.

All of the Series K (Third Series) Bonds are payable only from the Gross Revenues derived from the operation of the Project, ranking on a parity with the Outstanding Bonds, and the Series K (Third Series) Bonds shall not and do not constitute an indebtedness of the University or of its Board of Trustees or of the University or of its Board of Trustees or of the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

Section 2.13. Authentication of Bonds. No Series K (Third Series) Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit of this Resolution unless and until such Bond has been duly authenticated by the Trustee-Registrar by the execution of the Authentication Certificate of Trustee-Registrar appearing on such Bond. Such Certificate appearing on any Bond shall be deemed to have been duly executed by the Trustee-Registrar if manually signed by an authorized officer of the Trustee-Registrar.

It shall not be required that the same officer of the Trustee-Registrar sign such Certificate on all of the Series K (Third Series) Bonds.

Section 2.14. Securities Depository; Ownership of Bonds. Except as provided in paragraph (c) below, the Series K (Third Series) Bonds shall be registered in the name of the Securities Depository or the Securities Depository Nominee, and ownership thereof shall be maintained in Book-Entry Form by the Securities Depository for the account of the Agent Members of the Securities Depository. Initially, the Series K (Third Series) Bonds shall be registered in the name of Cede & Co., as the nominee of The Depository Trust Company. Except as provided in paragraph (c) below, the Series K (Third Series) Bonds may be transferred, in whole but not in part, only to the Securities Depository or the Securities Depository Nominee, or to a successor Securities Depository selected or approved by the Board or to a nominee of such successor Securities Depository. As to any Series K (Third Series) Bond, the person in whose name such Series K (Third Series) Bond shall be registered shall be the absolute owner thereof for all purposes, and payment of or on account of the principal of and premium, if any, and interest on any such Series K (Third Series) Bond shall be made only to or upon the order of the registered owner thereof or his legal representative.

(a) Neither the Board nor the Trustee shall have any responsibility or obligation with respect to:

(i) the accuracy of the records of the Securities Depository or any Agent Member with respect to any beneficial ownership interest in the Series K (Third Series) Bonds;

(ii) the delivery to any Agent Member, any beneficial owner of the Series K (Third Series) Bonds or any other person, other than the Securities Depository, of any notice with respect to the Series K (Third Series) Bonds or the Bond Resolution: or

(iii) the payment to any Agent Member, any beneficial owner of the Series K (Third Series) Bonds or any other person, other than the Securities Depository, of any amount with respect to the principal of, premium, if any, or interest on the Series K (Third Series) Bonds.

So long as any Series K (Third Series) Bonds are registered in Book-Entry Form, the Board and the Trustee may treat the Securities Depository as, and deem the Securities Depository to be, the absolute owner of such Series K (Third Series) Bonds for all purposes whatsoever, including without limitation:

(A) the payment of principal of, premium, if any, and interest on the Series K (Third Series) Bonds;

- (B) giving notices of redemption and other matters with respect to the Series K (Third Series) Bonds;
- (C) registering transfers with respect to the Series K (Third Series) Bonds;
- (D) the selection of Series K (Third Series) Bonds for redemption;
- (E) for purposes of obtaining consents under the Bond Resolution; and
- (F) notwithstanding the definition of the terms "bondholder" or "holder" or "owner" in the Bond Resolution as referencing the registered owners of the Series K (Third Series) Bonds, the Trustee shall be entitled to rely upon written instructions from a majority of the beneficial owners of the Series K (Third Series) Bonds with reference to consent, if any, required from the owners of the Series K (Third Series) Bonds pursuant to the terms of the Bond Resolution.

(b) If at any time the Securities Depository notifies the Board that it is unwilling or unable to continue as Securities Depository with respect to the Series K (Third Series) Bonds, or if at any time the Securities Depository shall no longer be registered or in good standing under the Securities Exchange Act or other applicable statute or regulation and a successor Securities Depository is not appointed by the Board within 90 days after the Board receives notice or becomes aware of such condition, as the case may be, then this Section shall no longer be applicable, and the Board shall execute and the Trustee shall authenticate and deliver certificates representing the Series K (Third Series) Bonds to the owners of the Series K (Third Series) Bonds as otherwise provided in this Article II.

(c) Payment of the principal of, premium, if any, and interest on any Series K (Third Series) Bonds not registered in Book-Entry Form shall be made as provided in Section 2.7 hereof.

(d) The principal of, premium, if any, and interest on the Series K (Third Series) Bonds registered in Book-Entry Form in the name of the Securities Depository or the Securities Depository Nominee shall be payable by wire transfer from the Trustee to the Securities Depository or the Securities Depository Nominee, as the case may be.

Section 2.15. Form of Bonds. The aforesaid authorized issue of Series K (Third Series) Bonds shall be in substantially the following form:

UNITED STATES OF AMERICA
COMMONWEALTH OF KENTUCKY
UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BOND
SERIES K (THIRD SERIES)

NUMBER R-_____ PRINCIPAL AMOUNT \$_____

DATE OF ORIGINAL ISSUE	MATURITY DATE	INTEREST RATE	CUSIP
	May 1, _____	____%	

REGISTERED OWNER:

PRINCIPAL AMOUNT:

The Board of Trustees of the University of Kentucky (the "Board"), a body corporate, as an educational institution and agency and a political subdivision of the Commonwealth of Kentucky, for value received, hereby promises to pay to the Registered Owner, identified above, or his or its registered assigns, solely from the special fund hereinafter identified, upon presentation and surrender of this Bond, the Principal Amount set out above on the Maturity Date specified above and to pay interest on said sum at the per annum Interest Rate specified above, from the interest payment date to which interest has been paid next preceding the date on which this Bond is authenticated, unless this Bond is authenticated on an interest payment date to which interest has been paid, in which event this Bond shall bear interest from such date, or if this Bond is authenticated prior to the first interest payment date, this Bond shall bear interest from the date of original issue set out above, commencing November 1, 2003, and semiannually thereafter on November 1 and May 1 of each year until payment of the Principal Amount, except as the provisions hereinafter set forth with regard to redemption prior to maturity may be and become applicable. The principal of and redemption price, if any, on this Bond are payable in lawful money of the United States of America at the main office of Bank One Trust Company, NA, Lexington, Kentucky (the "Trustee," "Bond Registrar," and "Payee Bank"). All interest on this Bond shall be payable by check or draft mailed by the Trustee-Registrar to the registered owner hereof at the address shown on the registration books kept by the Trustee.

This Bond is one of a duly authorized issue of \$_____ principal amount of Bonds (said Bonds being hereinafter sometimes collectively referred to as "the Bonds," "these Bonds" or "the Series K (Third Series) Bonds"), issued in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including Sections 162.340 to 162.380, and 58.010 through 58.140 of the Kentucky Revised Statutes, now in full force and effect, and under and pursuant to the Resolution adopted by the Board on September 20, 1960 (the "Basic Resolution"), and the Resolution adopted on February 25, 2003, authorizing the Series K (Third Series) Bonds (the "Series K (Third Series) Resolution"), issuable as fully registered Bonds in the denominations of \$5,000 and any authorized multiple thereof within the same maturity, for the purpose of (a) refunding the Prior Bonds (as defined in the Series K (Third Series) Resolution) which were issued to finance the costs (to the extent not otherwise provided) of establishing and erecting certain educational buildings and necessary appurtenances (the "Series K Project"), upon the property of the University in Fayette County, Kentucky, which Series K Project buildings and appurtenant facilities will, when erected and acquired, become part of the Consolidated Educational Buildings Project of said University (the "Project") and (b) paying the

costs of issuance of the Series K (Third Series) Bonds. Copies of the Basic Resolution and the Series K (Third Series) Resolution are on file at the office of the Trustee.

As provided in the Series K (Third Series) Resolution, these Series K (Third Series) Bonds, together with the outstanding Bonds of Series E, J, L (Second Series); G, H, I (Third Series); K (Second Series); M; M (Second Series); N (Second Series); O; P; Q and R, and any additional Parity Bonds which may hereafter be issued and outstanding under the terms of the Basic Resolution and subsequent Series Resolutions (hereinafter all references to said outstanding Bonds and any additional Parity Bonds shall be collectively referred to as "Outstanding Bonds"), are and will be available from and constitute a first charge and lien up on the Revenues (as defined in the Basic Resolution) to be derived by the University from the operation of its Consolidated Educational Buildings Project. The fee imposed upon and collected from all students enrolled in the University at the Lexington Campus as an incident to registration at the beginning of each semester of the regular academic year and each summer session, including fees imposed for part-time students, night school and extension courses, is designated as the source of Revenues of the Project. Such fees are known as the Student Registration Fees, and the Board has covenanted on behalf of the University that such fees will be fixed (and, if necessary, revised and increased from time to time) at such rates as may be required to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves therefor, and to pay the operating costs of the Project to the extent that such costs are not otherwise provided. All collections of the Student Registration Fees are to be set aside, as received, into the Consolidated Educational Buildings Project Revenue Fund (the "Revenue Fund") and are made subject to a first pledge, lien, and charge for the security and source of payment of Outstanding Bonds.

The Basic Resolution provides for the creation of a special account designated "Consolidated Educational Buildings Project Bond and Interest Sinking Fund" (the "Bond Fund") and for the deposit from the Revenue Fund to the credit of the Bond Fund of a fixed amount of the gross Revenues of the Project sufficient to pay interest on Outstanding Bonds as the same become due, to pay or retire the principal of Outstanding Bonds at or prior to the maturity thereof, and to provide a reserve for such purpose, which Bond Fund is pledged to and charged with the payment of said principal and interest.

The aggregate principal amount of Bonds which may be issued under the Basic Resolution is not limited except as provided in such Basic Resolution, and all Bonds issued and to be issued under the Basic Resolution are and will be equally secured by the pledges and covenants made therein, except as otherwise expressly provided or permitted the Basic Resolution.

As further security for these Series K (Third Series) Bonds, a statutory mortgage lien, which is hereby recognized as valid and binding on the Project and properties connected therewith and belonging thereto, on a parity with Outstanding Bonds, is created and granted to and in favor of the owner(s) of these Series K (Third Series) Bonds, pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, which said statutory mortgage lien shall take effect immediately upon the delivery of any and all of the Series K (Third Series) Bonds, and the Project shall remain subject to such statutory mortgage lien until the payment in full of the principal of and interest on Outstanding Bonds, including the Series K (Third Series) Bonds.

Outstanding Bonds are payable only from the gross Revenues derived from the operation of the Project, a sufficient amount of which Revenues will be annually set aside into the Bond Fund, and this Bond does not constitute an indebtedness of the University of Kentucky, its Board, or the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

This Bond is transferable by the registered owner hereof in person or by his attorney duly authorized in writing at the main office of the Trustee-Registrar, but only in the manner, subject to the limitations provided in the Series K (Third Series) Resolution, and up on surrender and cancellation of this Bond, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner or his authorized representative. Upon such transfer being effected, a new fully registered Bond or Bonds of the same series and the same maturity of authorized denomination, for the same aggregate principal amount, and the same interest rate, will be issued to the transferee in exchange therefore.

The Board and the Trustee-Registrar may deem and treat the registered owner hereof as the absolute owner hereof for the purpose of receiving payment of or on account of principal hereof and redemption price, if any, hereon, and interest due hereon, and for all other purposes, and neither the Board or the Trustee-Registrar shall be affected by any notice to the contrary.

The owner of this Bond shall have no right to enforce the provisions of the Basic Resolution or the Series K (Third Series) Resolution, to institute action to enforce the covenants therein, to take any action with respect to any default under such resolutions, or to institute, appear in, or defend any suit or other proceedings with respect thereto, except as provided in such Resolutions. The Basic Resolution provides for fixing, charging, and collection fees for the services of the Project, which fees will be sufficient to pay the principal of and interest on Outstanding Bonds as the same become due, to provide a reserve for such purpose, and also to pay the cost of operation and maintenance of the Project to the extent that same are not otherwise provided.

Reference is made to such Basic Resolution and Series K (Third Series) Resolution (a) pursuant to which Bank One Trust Company, NA, in the City of Lexington, Kentucky has been designated as Trustee and Bond Registrar, Payee Bank, and Transfer Agent for the owners of the Series K (Third Series) Bonds, (b) for the provisions, among others, with respect to the custody and application of the proceeds of the Series K (Third Series) Bonds, (c) the rights, duties, and obligations of the Board and of the Trustee, and (d) the rights of the owners of these Series K (Third Series) Bonds; and by the acceptance of this Bond, the registered owner hereof assents to all of the provisions of said resolutions.

The Series K (Third Series) Bonds are not subject to optional redemption prior to maturity.

[Insert mandatory sinking fund redemption provisions, if any.]

In the event that a Bond subject to redemption is in a denomination larger than \$5,000, a portion of such Bond may be redeemed, but only in a principal amount equal to \$5,000 or an integral multiple thereof. Upon surrender of any Bond for redemption in part, the Trustee-Registrar, in accordance with the Series K (Third Series) Resolution, shall authenticate and

deliver an exchange Bond or Bonds in an aggregate principal amount equal to the unredeemed portion of the bond so surrendered.

The Trustee-Registrar shall give notice of any redemption by sending such notice by United States mail, first class, postage prepaid, not less than 30 and not more than 60 days prior to the date fixed for redemption, to the registered owner of this Bond at the address shown on the Bond Register as of the date of mailing of such notice.

This Bond is exempt from taxation (except inheritance taxes) by the Commonwealth of Kentucky and all of its political subdivisions.

It is hereby certified, recited, and declared that all acts, conditions, and things required to exist, to happen, and to be performed precedent to and in the issuance of this Bond, do exist, have happened, and have been performed in due time, form, and manner as required by law, and that the amount of this Bond, together with all other obligations of the Board and of said University of Kentucky, does not violate any provision or exceed any limit prescribed by the Constitution or Statutes of Kentucky; that the Project will be continuously operated, and that a sufficient portion of the gross Revenues therefrom has been pledged to and will be set aside into the Bond Fund (created in the Basic Resolution) for the payment of the principal of and interest on this Bond and Outstanding Bonds which by their terms and the terms of the Basic Resolution, the Series K (Third Series) Resolution, and all previous Series K Series Resolutions, are payable from the Bond Fund as and when the same will respectively become due.

IN TESTIMONY WHEREOF, the Board of Trustees of the University of Kentucky has caused this Bond to be executed on its behalf by the reproduced facsimile signature of its Chairman, and the facsimile of its corporate seal to be imprinted hereon, and attested by the reproduced facsimile signature of its Secretary, dated as of the Date of Original Issue set forth above; provided, however, that this Bond shall not be valid or obligatory for any purpose or be entitled to any security or benefit under the Series K (Third Series) Resolution pursuant to which it was authorized until the Authentication Certificate of Trustee-Registrar printed hereon shall have been executed by the manual signature of a duly authorized representative of the Trustee-Registrar.

UNIVERSITY OF KENTUCKY
Lexington, Kentucky

By: _____
Chairman, Board of Trustees

Attest:

By: _____
Secretary, Board of Trustees

(Facsimile Seal of Board of Trustees)

(FORM OF AUTHENTICATION CERTIFICATE OF TRUSTEE-REGISTRAR)

THE AUTHENTICATION DATE OF THIS BOND IS: _____

AUTHENTICATION CERTIFICATE OF TRUSTEE-REGISTRAR

This is to certify that this Bond is one of the Bonds referred to in the within Bond and in the Series K (Third Series) Resolution authorizing same. Printed on the reverse hereof is the complete text of the opinion of Bond Counsel, Peck, Shaffer & Williams LLP, Covington, Kentucky, a signed original of which is one file with the undersigned, delivered and dated as of the date of the original delivery and payment for the Bonds.

BANK ONE, KENTUCKY, NA, Lexington, Kentucky,
Trustee and Bond Registrar

By: _____
Authorized Signer

(FORM OF ASSIGNMENT)

ASSIGNMENT

For value received, the undersigned hereby sells, assigns and transfer unto the within Series K (Third Series) Bond and hereby irrevocably constitutes and appoints attorney to transfer the within Series K (Third Series) Bond on the books kept for registration and transfer of said Series K (Third Series) Bond, with full power of substitution in the premises.

Dated: _____

(Signature must correspond with name of Registered Owner as it appears on the front of this Bond in every particular, without alteration, enlargement or any change whatsoever.)

Social Security Number or other identifying number:

Signature Guaranteed By:

Notice: Signatures must be guaranteed by a member firm of the New York Stock Exchange or a commercial bank or trust company.

(End of Article II)

ARTICLE III
PUBLIC SALE OF SERIES K (THIRD SERIES) BONDS;
COMPLIANCE WITH PARITY REQUIREMENTS;
DISPOSITION OF PROCEEDS OF SERIES K (THIRD SERIES) BONDS

Section 3.1. Advertisement of Series K (Third Series) Bonds for Sale. The Series K (Third Series) Bonds shall be offered publicly for sale upon the basis of sealed, competitive bids at such time as the Treasurer, upon advice of the Financial Advisor, shall designate.

The Treasurer of the Board is hereby authorized and directed to cause an appropriate form or forms of a Notice of Sale of Bonds to be published in *The Lexington Herald Leader*, a legal newspaper published in the City of Lexington, Kentucky, which will afford local notice of the sale, *The Courier Journal*, a legal newspaper published in the City of Louisville, Kentucky, which will afford statewide notice of the sale, and, to the extent required by law, in *The Bond Buyer*, a financial journal published in the City of New York, New York, which is a publication having general circulation among bond buyers; and said newspapers and financial journal are hereby declared to be qualified to publish such notice for the Board within the meaning and provisions of KRS Chapter 424. Such notice shall be published in said newspapers and financial journal at least once not less than seven nor more than twenty-one days prior to the scheduled date of sale of the Series K (Third Series) Bonds.

The forms of Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds, Bid Form and Official Statement, shall be in such form as approved by Bond Counsel, by the Financial Advisors, by the General Counsel of the University and by the Treasurer of the University.

Bidders shall be advised that First Kentucky Securities Corporation, Louisville, Kentucky, has been employed as Financial Advisor in connection with the issuance of these Series K (Third Series) Bonds, that their fee for services rendered with respect to the sale of the Series K (Third Series) Bonds is contingent upon the issuance and delivery of the Series K (Third Series) Bonds, and that they may submit a bid for the purchase of the Series K (Third Series) Bonds at the time of the advertised public sale of the Series K (Third Series) Bonds, either individually or as the member of a syndicate organized to submit a bid for the purchase of the Series K (Third Series) Bonds.

Upon the date and at the respective hour set forth for the opening and consideration of purchase bids, as provided in the instruments hereinabove approved, the sealed bids theretofore received by the Treasurer and shall be publicly opened and publicly read by the Treasurer. If there shall be one or more bids which conform in all respects to the prescribed terms and conditions, such bids shall be compared, and the Treasurer, upon the advice of the Financial Advisor, is authorized to accept the best of such bids, as measured in terms of the lowest interest cost to the Board, as calculated in the manner prescribed in the Official Terms and Conditions of Sale of Bonds. Calculations shall be performed as are necessary to determine the exact amount of Series K (Third Series) Bonds that are required to be issued in order to refinance and defease the Prior Bonds and the final principal amount and maturities of the Series K (Third Series) Bonds shall thereupon be established, as prescribed in the Official Terms and Conditions of Sale of Bonds.

Section 3.2. Compliance with Parity Requirements of Basic Resolution In accordance with the requirements of Section 7.10 of the Basic Resolution, it is hereby certified, covenanted, and declared:

(a) That at the present time, and at the time of issuance of the Series K (Third Series) Bonds, there is and will be no deficiency in amounts required by the Basic Resolution or any Series K (Third Series) Resolution to be paid into the Bond Fund;

(b) That prior to the issuance of the Series K (Third Series) Bonds, there will have been procured and filed with the Trustee a statement by the Treasurer of the Board to the effect that the average of the annual revenues from the Project for the two fiscal years immediately preceding the issuance of the Series K (Third Series) Bonds, was equal to not less than 1.25 times the maximum Aggregate Principal, Interest and Bond Fund Charges in any succeeding twelve month period ending May 1 on the Outstanding Bonds and on the Series K (Third Series) Bonds proposed to be issued. For the purposes of such computation, such certification of the Treasurer shall make an adjustment in the amount of the annual Revenues to reflect any increases or decreases in the pledged Revenues (the student registration fees) which at that time shall have been determined by the Board and made effective for future services of the Project.

Accordingly, based on the foregoing compliance with the requirements of the Basic Resolution, it is hereby found and declared that the Series K (Third Series) Bonds shall rank and be payable on a parity with the Outstanding Bonds on a first lien basis from the gross revenues of the Project and further secured by a first statutory mortgage lien on the Project buildings.

Section 3.3. Disposition of Proceeds of Series K (Third Series) Bonds. Immediately upon the issuance, sale, and delivery of the Series K (Third Series) Bonds, the entire amount received from the Original Purchasers of the Series K (Third Series) Bonds shall be deposited in the Treasury of the Commonwealth for immediate credit and/or transfer as follows:

(a) To the Bond Fund, all amounts received as collected accrued interest for the period from the dated date of the Series K (Third Series) Bonds, to the date of delivery, for application to the payment of interest next becoming due on the Series K (Third Series) Bonds.

(b) To the Escrow Fund, the amount required by the Escrow Agreement.

(c) To the "University of Kentucky Consolidated Educational Buildings Series K (Third Series) Bonds Cost of Issuance Account," hereby created and to be maintained on deposit with the Trustee, such appropriate amount as shall be directed by the Treasurer of the Board, to be held and disbursed upon written authorization of the Board or its designee. The Trustee shall apply funds in such account for the purpose of meeting issuance expenses, rating agency fees, and other necessary costs (to the extent not payable by the Financial Advisor) of preparing, issuing, advertising, and selling the Bonds. After payment in full of such issuance expenses, based upon the certification of the Board, through its Treasurer, all amounts remaining in such Account shall be transferred to and deposited in the Bond Fund and applied toward the payment of interest requirements falling due on the Bonds on the next Interest Payment Date.

(d) The balance of the proceeds of the Series K (Third Series) Bonds, if any, shall be deposited in the Bond Fund.

(End of Article III)

ARTICLE IV

COMPLIANCE WITH FEDERAL ARBITRAGE REQUIREMENTS; THE REVENUE FUND; DEBT SERVICE RESERVE FUND

Section 4.1. Compliance with Requirements of Internal Revenue Code. In order to assure purchasers of the Series K (Third Series) Bonds that interest thereon will continue to be exempt from all Federal and Kentucky income taxation (subject to certain exceptions set out below), the Board covenants to and with the owners of Series K (Third Series) Bonds that (1) the Board will take all actions necessary to comply with the provisions of the Code, (2) the Board will take no actions which will violate any of the provisions of the Code, or would cause the Series K (Third Series) Bonds to become "private activity bonds" within the meaning of the Code, and (3) none of the proceeds of the Series K (Third Series) Bonds will be used for any purpose which would cause the interest on the Series K (Third Series) Bonds to become subject to Federal income taxation.

Neither the University nor the Trustee shall take any action at any time which will cause the interest on the Bonds to become subject to Federal income taxation, and, without limiting the foregoing, it is covenanted for the benefit of the owners of such Bonds that no use of the proceeds of the Series K (Third Series) Bonds will be made at any time which, if such use had been reasonably expected on the date of issue of such bonds, would have caused them to be "arbitrage bonds." This covenant shall impose an obligation to comply with the requirements of Section 148 of the Code, and the applicable regulations thereunder. The word "proceeds" as used herein shall have the meaning which it has under such section of the Code and such regulations and shall include all moneys on deposit in all Funds provided for herein and all Funds established in connection with the Project and the Bonds. Without limiting the generality of the foregoing, in no event shall moneys be deposited in any accounts created hereunder or invested pursuant to the provisions hereof if such deposit or investment shall cause any Bond to be an "arbitrage bond" within the meaning of Section 148 of the Code.

The Board certifies that on the basis of the facts, expectations, and circumstances (including covenants of the Board) in existence on the date of adoption of this Series K (Third Series) Resolution it is not expected that the proceeds of the Series K (Third Series) Bonds will be used in a manner that will cause such obligations to be "arbitrage bonds." The Chairman and the Treasurer of the Board are hereby jointly and severally designated and charged by the Board and the University with the responsibility for issuing the Series K (Third Series) Bonds and are hereby designated as the officers of the Board and the University to execute (by either of them) the ("no arbitrage") certification required by Section 1.103-13(a)(2)(ii) and any other provisions of the Treasury Regulations, and such certification shall set forth such facts, expectations and circumstances, which may be in brief and summary terms, and shall state that to the best of his knowledge and belief that are no other facts, expectations, or circumstances that would materially change such expectation that the proceeds of the issue of Bonds herein authorized will not be used in a manner that would cause same to be "arbitrage bonds." If, under any valid provisions of law hereafter enacted, the interest paid by the Board on the Bonds should be excludable from the gross income of a recipient thereof for Federal income tax purposes without regard to compliance with the provisions of Section 148 of the Code, then the University shall not be required to comply with such provisions of the Code.

Without limiting the generality of the foregoing, the Board agrees that there shall be paid from time to time all amounts required to be rebated to the United States pursuant to Section 148(f) of the Code and any temporary, proposed or final Treasury Regulations as may be applicable to the Series K (Third Series) Bonds from time to time. This covenant shall survive payment in full or defeasance of the Series K (Third Series) Bonds. The Board specifically covenants to pay or cause to be paid to the United States, the Rebate Amount, at the times and in the amounts described in the Memorandum of Instructions. The Trustee, by execution of its Acceptance attached hereto, agrees to comply with all instructions of the Board given in accordance with the Memorandum of Instructions.

The Board reserves the right to amend the Resolution authorizing these Series K (Third Series) Bonds without obtaining the consent of the owners of the Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series K (Third Series) Bonds shall be exempt from Federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of the Series K (Third Series) Bonds) to eliminate or reduce any restrictions concerning the Project, the investment of the proceeds of the Series K (Third Series) Bonds, or the application of such proceedings or of the revenues of the Project. The purchasers of the Series K (Third Series) Bonds are deemed to have relied fully upon these covenants and undertakings on the part of the Board as part of the consideration for the purchase of the Series K (Third Series) Bonds. To the extent that the Board obtains an opinion of nationally recognized bond counsel to the effect that non-compliance with any of the covenants contained in this Resolution or referred to in this Resolution would not subject interest on the Series K (Third Series) Bonds to Federal income taxes or Kentucky income taxes, the Board shall not be required to comply with such covenants or requirements.

This Resolution is adopted in contemplation that Bond Counsel will render an opinion as to exemption of principal of the Bonds from Kentucky ad valorem taxation and as to exemption of interest on the Series K (Third Series) Bonds from Federal and Kentucky income taxation, based on the assumption by bond Counsel that the Board complies with covenants made by the Board with respect to compliance with the provisions of the Code and based on the assumption of compliance by the Board with requirements as to any required rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series K (Third Series) Bonds. The Board has been advised that, based on the foregoing assumption of compliance, Bond Counsel is of the opinion that the Series K (Third Series) Bonds are not "arbitrage bonds" within the meaning of Section 148 of the Code.

Section 4.2. The Revenue Fund; Transfer to the Bond Fund and the Debt Service Reserve Fund to Provide for the Additional Requirements of the Series K (Third Series) Bonds.

(1) From and after the issuance and delivery of the Series K (Third Series) Bonds, the Treasurer, conforming to the provisions of Section 4.05(2) of the Basic Resolution, shall transfer from the Revenue Fund and deposit to the credit of the Bond Fund from time to time such sums as are required to pay (a) interest falling due on each November 1 with respect to the Series K (Third Series) Bonds, and (b) interest and principal, if any, falling due on each May 1 with respect to the Series K (Third Series) Bonds; and such transfers and deposits into the Bond Fund shall be in addition to the transfers and deposits required to be made from time to time for payment of the interest on and principal of the Outstanding Bonds.

(2) Conforming to the requirements of Section 4.05(2) of the Basic Resolution, if, whenever, and so long as the amount on deposit in the Debt Service Reserve (as part of the Bond Fund) shall be less than the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve (as defined herein), with respect to Outstanding Bonds for any future year ending May 1, the Treasurer shall transfer from the first available funds in the Revenue Fund and deposit in the Bond Fund, whatever additional amounts over and above all deposits otherwise required to be made into the Bond Fund, as set out in the foregoing paragraph (1) of this Section, shall be required in order to restore and maintain the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve in the Debt Service Reserve portion of the Bond Fund.

(3) It is further determined by the Board that in calculating the amount of the required annual payments to be made by the Board into the Bond Fund to meet the principal and interest requirements of All (Outstanding) Bonds, the Board shall (whenever and so long as the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve has been accumulated and is being maintained), take credit for the investment income derived from the investment of funds in the Bond Fund, including funds in the Debt Service Reserve; provided, further, however, that the Board, in compliance with the arbitrage requirements of the Internal Revenue Code of 1986, as amended, represents that amounts on deposit in the Bond Fund will be used within thirteen (13) months from the date of deposit for the payment of principal of and interest on the Outstanding Bonds; and the Bond Fund will annually be depleted through such application, for current debt service requirements on the Outstanding Bonds, except for an amount equal to not more than the greater of (a) one-twelfth (1/12) of the debt service requirements of the Outstanding Bonds for the then ensuing year, or (b) one year's earnings on the Bond Fund.

Section 4.3. Adjustments in the Debt Service Reserve. It is hereby determined that it is necessary (a) in order to comply with the parity requirements of the Basic Resolution, and (b) for the marketability of the Series K (Third Series) Bonds, that the Debt Service Reserve shall continue to be maintained and, when necessary, accumulated and/or restored, in an amount equal to the "Maximum Aggregate Principal, Interest and Bond Fund Charges" (as defined in the Basic Resolution) with respect to all Outstanding Bonds for any future year ending May 1 (the "Required Debt Service Reserve").

The Debt Service Reserve shall not (except where the amount on deposit therein shall exceed the Required Debt Service Reserve) be used for any purpose except to make the payments required to be made from the Bond Fund when there are insufficient funds available in the Bond Fund for such purposes.

(End of Article IV)

ARTICLE V MISCELLANEOUS CONCLUDING PROVISIONS

Section 5.1. All Provisions of Basic Resolution and Various Series Resolutions Are Hereby Readopted, Ratified and Confirmed. The Board hereby readopts, ratifies and confirms all previous Resolutions relating to the Project, including the Basic Resolution adopted on September 20, 1960, and each of the various Series Resolutions relating to the Outstanding Bonds.

Section 5.2. Ratification of Previous Appointment of Trustee to Serve as Registrar, Transfer Agent and Payee Bank. Bank One Trust Company, NA, Lexington, Kentucky, successor to First Security National Bank & Trust Company, the Trustee in all previous Series Resolutions, which was designated in all Series Resolutions beginning with the Series J Resolution, to serve also as Bond Registrar, Transfer Agent and Payee Bank with respect to the Bonds authorized by such Resolutions, is also designated to serve in all capacities referred to above with respect to the Series K (Third Series) Bonds.

Its duties as Registrar, Transfer Agent and Payee Bank with respect to the Series K (Third Series) Bonds shall be the same as its duties in such capacities with respect to the applicable Outstanding Bonds.

The Trustee Bank shall indicate its acceptance of its respective duties and capacities by signing its Acceptance at the conclusion of this Resolution.

Section 5.3. Replacement by Board of Registrar, Transfer Agent, Payee Bank and Depository Bank. The Board shall have the right at any time to replace the Registrar, Transfer Agent, Payee Bank, and/or Depository Bank by observing the same procedure as that required by the Basic Resolution and/or the respective Series Resolutions for replacement of the Trustee.

Section 5.4. Compliance With SEC Rule 15c2-12. The Board of Trustees hereby agrees, to comply with the provisions of Rule 15c2-12, as amended and interpreted from time to time (the "Rule"), promulgated by the Securities and Exchange Commission (the "Commission") pursuant to the Securities Exchange Act of 1934. In order to comply with the Rule, the Continuing Disclosure Agreement is hereby authorized and approved, substantially in the form presented or described to the Board, with such modifications and additions as may be approved by the officer of the University executing the same. The Chairman and the Secretary of the Board and the Treasurer and the Controller of the University are each separately authorized to execute and deliver the Continuing Disclosure Agreement.

Section 5.5. Resolution Contractual With Bondowners. The Basic Resolution and this Series K (Third Series) Resolution shall, from and after the issuance and delivery of the Series K (Third Series) Bonds, constitute a contract between the Board of the University and the owners of the Series K (Third Series) Bonds as shall be outstanding hereunder.

Section 5.6. Provisions in Conflict are Repealed. All resolutions or parts thereof in conflict with the provisions of this Series K (Third Series) Resolution are hereby rescinded to the extent of such conflict.

Section 5.7. Copy to be Filed with Trustee. A certified copy of this Series K (Third Series) Resolution shall be filed with the Trustee, and this Series K (Third Series) Resolution

shall take effect immediately upon its adoption and the filing of the certified copy thereof with the Trustee, as provided in the Basic Resolution.

(End of Article V)

Adopted February 25, 2003.

Chairman, Board of Trustees
University of Kentucky

(SEAL)

Attest:

Secretary, Board of Trustees

CERTIFICATION

The undersigned, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certifies that the foregoing is a true copy of a Resolution adopted by the Board of Trustees of said University at a meeting held on February 25, 2003, as recorded in the official Minute Book of said Board of Trustees, which is in my custody and under my control, that said meeting was held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820, and 61.825, that a quorum was present at said meeting, and that the aforesaid Resolution is of record in the office of the Board, has not been modified, amended, or rescinded, and is in full force and effect at this date.

WITNESS my signature and the Seal of said Board this _____, 2003.

Secretary, Board of Trustees
University of Kentucky

(SEAL)

**ACCEPTANCE BY BANK ONE, KENTUCKY, NA,
LEXINGTON, KENTUCKY, AS
BOND REGISTRAR, TRANSFER AGENT, PAYEE BANK AND TRUSTEE**

The undersigned, Bank One Trust Company, NA, Lexington, Kentucky, having heretofore been appointed as and having served as Trustee, hereby agrees to the provisions of the foregoing Resolution to the extent that there are contained therein provisions as to the additional rights and duties of the undersigned as Bond Registrar, Transfer Agent, Payee Bank, and Trustee.

Dated this ____ day of _____, 2003.

BANK ONE, KENTUCKY, NA,
Lexington, Kentucky

By: _____

Title: _____

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Office of the President
February 25, 2003

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending December 31, 2002, be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period October 1, 2002 – December 31, 2002, there were three new contracts, three amendments, and six change orders. Two contracts were completed; they are as follows:

Chemotherapy Infusion Center Expansion
Infrastructure Utility Upgrade Phase II – Cooling Plant #1

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

University of Kentucky

For the three months ending December 31, 2002

CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT

This report contains capital projects that have a dollar scope value of \$400,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

Consultant: This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

Contractor: This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

Glossary

Amendment: refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

Change Order: refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

Consultant: the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

Contract administration: refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

General Contractor: the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

Feasibility Study: refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probably cost, preliminary program issues, and so forth.

Gross square feet: the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

H.B.C.: refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

Penthouse: refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

Percent complete: as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

Net assignable square feet: the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

Phase: The various stages of design and construction of a capital project, including:

- Programming phase: refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- Schematic design (S/D or Phase 1): refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- Design development (D/D or Phase 2): refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- Construction document phase (C/D or Phase 3): refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- Bidding phase: refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- Construction phase (or Phase 5): refers to the actual construction of the project by contractors.

Scope: The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

Substantial Completion: refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

Trade Contractor: Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example).

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Administration Building Reconstruction

Project Number: 2025.0

Scope: \$16,075,000

Project Description:

The Administration Building Reconstruction project involves rebuilding the interior and exterior portions of the building while re-using the exterior masonry wall shell that remained after the May 2001 fire. In general, the President's office and related functions will be housed in the building along with a visitor's center, a smart classroom, public ceremonial space and other administrative offices. All building systems will be new and include state of the art HVAC, electrical, communications, fire/smoke detection and suppression components and will be connected to the central campus utility systems. Structural and architectural systems and details are now being developed but will include new toilets, elevator and other amenities associated with new construction to meet current building codes and accessibility standards. Sitework will include the creation of a Patterson Plaza entrance at the east side of the building and a connecting link to the Gillis Building. Other sitework details are now being programmed.

Consultant: James Potts Architects

Contract # A021160

Total Amendments to Date: (1)

Original Contract Amount: \$841,340

Cumulative Amendment Amount: \$172,000

Revised Contract Amount: \$1,013,340

Describe all amendments :

Amendment #1, 9/10/02, was approved for \$172,000 to provide for an increase in scope for additional design services. The additional services include the design and construction of increased site work that includes re-grading, utilities relocation and installation, roadwork and landscaping to incorporate the reconstruction of the building into the surrounding campus buildings and grounds. **No amendments this quarter.**

Contractor: Messer Construction Company (Construction Manager At-Risk)

Note: This is 'CM-At-Risk' contract. The contracted amount will be increased as sub-contracts are bid and added. *Messer's Contract was awarded by RFP process.

Contract # X301712

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$948,100

Cumulative Change Order Amount:

Revised Contract Amount: \$948,100

Contract Percent Complete:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Aging/Allied Health Phase II

Project Number: 1651.1

Scope: \$36,018,664

Project Description:

This project consists of constructing a building to house the College of Allied Health Professions. It includes administrative and faculty offices, classrooms, teaching labs, conference and seminar rooms, a college commons, a student lounge, a student locker room, as well as therapy and exam rooms for the Communication Disorders Division. The building will consist of approximately 210,000 GSF, to include approximately 29,800 GSF of shelled space on the fifth floor, which will be fit up later by a separate project. In addition, the building will provide administrative offices, conference rooms and support spaces to house the Medical Center Chancellor's Office Suite. The building includes offices for the Center on Aging on the third floor and Research Laboratories occupying a part of the 4th floor.

Consultant: CMW, Inc.

Contract # A981240

Total Amendments to Date: (5)

Original Contract Amount: \$310,500

Cumulative Amendment Amount: \$2,124,854

Revised Contract Amount: \$2,435,354

Describe all amendments :

Amendment #1, 5/19/99 an increase of \$1,829,926 was approved to provide additional design services. This amendment covers additional Consultant services for Phases 2-5. **Amendment #2**, 1/5/00, an increase of \$125,000 was approved to provide reimbursable services for a resident inspector and vibration monitoring. **Amendment #3**, 2/21/00, an increase of \$75,915 was approved to provide additional design services required for increasing the project scope and reimbursable services for a constructability review. **Amendment #4**, 1/8/02, an increase of \$79,929.73 was approved to provide for additional design services due to owner requested changes and to provide for additional resident inspector services as a result of extending the construction contract completion date by 61 days. **Amendment#5**, 8/13/02, an increase of \$14,084.00 was approved to provide for additional design services due to owner requested changes and for additional services resulting from found sub-surface conditions. **No amendments this quarter.**

Contractor: D.W. Wilburn, Inc.

Contract # X012376

Total Change Orders to Date: 97

Low Bid: \$29,770,000

High Bid: \$33,000,000

Number of Bids: 5

Original Contract Amount: \$29,770,000

Cumulative Change Order Amount: \$1,562,959

Revised Contract Amount: \$31,332,959

Contract Percent Complete: 100%

Describe all change orders greater than \$25,000.00:

Change Order #4, 5/10/00, an increase of \$56,268 was approved to raise the height of Hospital Drive and adjoining access drives. **Change Order #6**, 5/25/00, an increase of \$96,735 was approved to provide various modifications to the direct bury steam line. **Change Order #8**, 8/30/00, an increase of \$83,302 was approved to change external expansion joints to internal expansion joints in the steam line. **Change Order #17**, 9/30/00, an increase of \$49,395 was approved to change the signaling at Rose Street and to add equipment as mandated by state review. **Change Order #25**, 12/14/00, an increase of \$51,813.25 was approved to modify architectural, structural, mechanical and electrical requirements per the post bid addendum. **Change Order #26**, 1/9/01, an increase of \$34,460.90, was approved to relocate four existing water lines found to be in the path of tunnel construction. **Change Order #27**, 1/11/01, an increase of \$35,180.05, was approved to modify grading and clarify sign replacement work in front of the Nursing College and Pharmacy College. **Change Order #29**, 1/25/01, an increase of \$54,022.24, was approved to lower vault MH-407-1 in order to allow grading at Hospital Drive to work with the building and pedway. **Change Order #30**, 1/31/01, an increase of \$418,664.90, was approved to add chilled water piping to basement and west yard. **Change Order #38**, 3/30/01, for \$120,466.40 was approved for additional rock removal. **Change Order #49**, 06/12/01, an increase of \$44,165.75 was approved to provide for additional excavation for rock removal between manholes EC and ED along Ag. North Drive. **Change Order # 50**, 8/6/01, an increase of \$49,491.00 was approved to modify electrical manholes U-138, E-411, and E-412. **Change Order #57**, 10/01/01, an increase of \$26,119.95 was approved to provide additional raceway in order to provide additional capabilities for teleconferencing to the project. **Change Order #69**, 1/10/02, an increase of \$26,477.70 to extend the sanitary sewer line. **Change Order #89**, 8/15/02, an increase of \$111,170.00 to increase the communications allowance required to provide communications' wiring inside the building as

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

well as outside plant cabling. **No change orders greater than \$25,000 this quarter.**

Biomedical /Biological Sciences Research Bldg

Project Number: 1876.0

Project Description:

Scope: \$73,590,175

The new structure will serve as a state of the art facility for collaboration in research and graduate education, biological chemistry, genetics, molecular and cellular biology, neuroscience and related fields. The design will allow the faculty of multidisciplinary teams to be housed in adjoining labs.

Consultant: A.M. Kinney

Contract # A001400

Total Amendments to Date: (2)

Original Contract Amount: \$5,600,000

Cumulative Amendment Amount: \$690,492

Revised Contract Amount: \$6,290,492

Describe all amendments :

Amendment #1, 12/11/01, an increase of \$485,742.00, was approved to provide consultant services to include the addition of a pedestrian bridge to the main campus, expanded Vivarium facilities and the addition of space for an amenity feature.

Amendment #2, 10/8/02, an increase of \$204,750.00, was approved to provide for owner requested changes to the design during the construction document phase and for reimbursable expenses for printing and HB&C plan review fees.

Contractor: Gilbane (Construction Manager At-Risk)

Note: This is 'CM-At-Risk' contract. The contracted amount will be increased as sub-contracts are bid and added.

*Gilbane's contract was awarded by RFP process.

Contract # X203535

Original Contract Amount: \$54,975,448

Total Change Orders to Date: 1

Cumulative Change Order Amount: \$20,775

Low Bid:

Revised Contract Amount: \$54,996,223

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Building/Site Upgrade III (RO system upgrade)

Project Number: 2074.0

Scope: \$767,000

Project Description:

This project consists of providing separate Reverse Osmosis Water recirculation systems for Riser A/B and Riser C of the University of Kentucky Medical Science wing. Common softener and carbon filter equipment will be located in Mechanical Room MA2. R.O. equipment, storage tanks, distribution pumps, deionized equipment, UV unit and final filters will be located in Mechanical Room MA2 and H46 for Riser A/B and Riser C respectively. Piping and fittings will be schedule 80 PVC.

Consultant: Biagi, Chance, Cummins, London, & Titzer

Contract # A021190

Total Amendments to Date: (0)

Original Contract Amount: \$40,000

Cumulative Amendment Amount:

Revised Contract Amount: \$40,000

Describe all amendments :

No amendments this quarter.

Contractor: Finney Company

Contract # X301139

Total Change Orders to Date:

Low Bid: \$413,000

High Bid: \$604,965

Number of Bids: 3

Original Contract Amount: \$413,000

Cumulative Change Order Amount:

Revised Contract Amount: \$413,000

Contract Percent Complete: 70%

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Commonwealth Stadium Field Lighting

Project Number: 2014.0

Scope: \$1,500,000

Project Description:

The project will provide design and construction of new lighting for the University of Kentucky's Commonwealth (football) Stadium. The lighting will be designed to meet NCAA and Southeastern Conference standards for Division One football as well as enhance the television and video appearance of the stadium and field. Emergency lighting will be included in the lighting design.

Consultant: CMTA

Contract # A021050

Total Amendments to Date: (1)

Original Contract Amount: \$108,000

Cumulative Amendment Amount: \$53,000

Revised Contract Amount: \$161,000

Describe all amendments :

Amendment #1, 2/12/02, was approved for an increase of \$53,000. This increase was to provide Phase 2- Design Development and Phase 3 - Construction Documents for a redesigned lighting scheme and also to allow for reimbursable expenses associated with subconsulting work. **No amendments this quarter.**

Contractor: Musco Sports Lighting

* Musco's contract was awarded by RFP process.

Contract # X206106

Total Change Orders to Date: 2

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$1,134,300

Cumulative Change Order Amount: \$47,000

Revised Contract Amount: \$1,181,300

Contract Percent Complete: 93%

Describe all change orders greater than \$25,000.00:

Change Order #2, 10/24/02, was approved for \$43,000 to provide and install (2) UPS systems. This was necessary in order to meet the 2002 Kentucky Building Code egress lighting requirements for response time and footcandles.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Gluck Equine Research Center Renovation

Project Number: 2005.0

Scope: \$4,700,000

Project Description:

The project consists of the renovation of 18,000 gross square feet of existing laboratory space within the Maxwell H. Gluck Equine Research Center. The newly created space will include both offices and laboratories and will also include renovation of three laboratories on the fourth floor.

Consultant: Sherman / Carter / Barnhart

Contract # A011220

Total Amendments to Date: (1)

Original Contract Amount: \$355,000

Cumulative Amendment Amount: \$41,000

Revised Contract Amount: \$396,000

Describe all amendments :

Amendment #1, 7/9/2002, was approved for an increase of \$41,000 to cover the cost for the Consultant and their consultants to provide additional consultant services for Phase 3 and Phase 5 as stipulated in Section 3.2 of the contract. The Phase 3 services include testing and evaluating the building's air flow conditions and emergency power system. The Phase 5 services include the preparation of supplemental Drawings to modify research areas. **No amendments this quarter.**

Contractor: Burchfield/Thomas

Contract # X203732

Total Change Orders to Date: 9

Low Bid: \$2,497,000

High Bid: \$2,761,000

Number of Bids: 5

Original Contract Amount: \$2,497,000

Cumulative Change Order Amount: \$123,843

Revised Contract Amount: \$2,620,843

Contract Percent Complete: 73%

Describe all change orders greater than \$25,000.00:

Change Order # 9, 11/27/02, was approved for \$101,927.00 to provide support rooms at the west end of rooms 118, 119, and 120. Costs to modify mechanical and electrical infrastructure at rooms 118, 119, and 120 are included and also costs to provide nine individual offices at room 106.

Nursing Building Elevator Controls Upgrade

Project Number: 2044.0

Scope: \$500,000

Project Description:

Upgrade the elevator control systems in the Nursing building. The work will include the installation of a control system that will provide a standard microprocessor operation system for each elevator including all necessary car control systems as well as emergency communications systems.

Consultant: Lyle Associates Architects

Contract # A021180

Total Amendments to Date: (0)

Original Contract Amount: \$41,375

Cumulative Amendment Amount:

Revised Contract Amount: \$41,375

Describe all amendments :

No amendments this quarter.

Contractor: No construction contracts awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Nursing Unit Modification IX (5-North GCRC Unit)

Project Number: 2057.0

Scope: \$600,000

Project Description:

The project involves the design of a renovation to the 5th floor, north GCRC Unit. . The outpatient services will be relocated and the space evacuated will be renovated to provide additional inpatient rooms. When the outpatient services of the GCRC Unit are relocated, this project will provide for possibly 5 additional inpatient rooms and support facilities. The remaining rooms will be upgraded aesthetically with new finishes to the extent the project budget will allow.

Consultant: Gartner, Burdick, Bauer-Nilsen, Inc.

Contract # A021140

Total Amendments to Date: (0)

Original Contract Amount:

\$49,900

Cumulative Amendment Amount:

Revised Contract Amount:

\$49,900

Describe all amendments :

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Parking Structure I (PS 5 Expansion)

Project Number: 2069.0

Scope: \$6,600,000

Project Description:

he project will provide the construction of two additional floors of parking, approximately 200 parking spaces per floor. Included in this work will be the addition of a traction elevator to service all six floors of the structure, a stair enclosure on the top level of stairwell "C", and canopy over existing pedway to Peterson Service Building. The existing structure was built in 1996. The structure is a cast-in-place post tensioned concrete structure with a pre-cast concrete panel façade. One thousand parking spaces are provided for staff, student, and guest parking combined. The building is accessed through two entrance locations equipped with magnetic card operated gates. There are three exits from the structure, one that exists onto Limestone Street and two that exit onto Bolivar and Upper Streets. The existing structure also includes office space for the Parking Department and storage space for the Parking and Police operations.

Consultant: Sherman, Carter Barnhart (Per Diem)

Contract # A021000P

Total Amendments to Date: (0)

Original Contract Amount: \$25,000

Cumulative Amendment Amount:

Revised Contract Amount: \$25,000

Describe all amendments :

No amendments this quarter.

Contractor: Frank Messer & Sons Construction

* Messer's contract was awarded by RFP process.

Contract # X203260

Total Change Orders to Date: 2

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$5,023,000

Cumulative Change Order Amount: \$7,064

Revised Contract Amount: \$5,030,064

Contract Percent Complete: 99%

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Plant Sciences Building

Project Number: 1745.0

Scope: \$21,119,750

Project Description:

Construction of a 96,000 gross square foot facility to include faculty and staff offices, conference rooms, research labs, environmentally controlled plant growth chambers and support services.

Consultant: Design: Louis & Henry Group/Const. Admin: BCCLT

Contract # A991100

Total Amendments to Date: (1)

Original Contract Amount: \$1,371,048

Cumulative Amendment Amount: \$11,163

Revised Contract Amount: \$1,382,211

Describe all amendments :

Amendment #1, 5/25/00, an increase of \$11,163 was approved to provide additional design services required during the design phase including electronic CADD files of Construction Documents. **No amendments this quarter.**

Contractor: D. W. Wilburn, Inc.

Contract # X013436

Total Change Orders to Date: 60

Low Bid: \$18,496,000

High Bid: \$21,656,000

Number of Bids: 5

Original Contract Amount: \$18,496,000

Cumulative Change Order Amount: \$611,503

Revised Contract Amount: \$19,107,503

Contract Percent Complete: 96%

Describe all change orders greater than \$25,000.00:

Change Order #2, 10/20/00, was approved for \$28,716.65 to provide solar cool bronze glass. **Change Order #4**, 11/16/00, was approved for \$43,799.68 to change underground power ducts. **Change Order #10**, 3/26/01, was approved for \$31,557.34 for adjustment of actual drill shaft depths. **Change Order #16**, 9/19/01, was approved for \$231,981.60 to relocate the emergency generator to outside of the building and revise electrical room equipment switchgear layout. **Change Order #25**, 1/16/02, was approved for \$25,187.50 to delete the VCT floor tile and install 12" x 12" porcelain floor tile and 4"x12" porcelain bullnose wall base. **Change Order #26**, 1/23/02, was approved for \$72,850.70 for modifications made to the architecture, HVAC, Plumbing, and Electric as related to the plant growth containment room 152B. The changes were made to allow for the intended use by the user to be in accordance with governing rules and regulations. **Change Order #32**, 2/1/02, was approved for \$34,454.00 to provide additional structural steel members. **Change Order #34**, 3/7/02, was approved for \$25,635.80 to provide piping, elbows, and fittings as marked up on the Kentucky Plumbing Review set of drawings. **Change Order #43**, 6/27/02, was approved for \$74,879.95 to provide design modifications that would accomplish upgrading RO Water System. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Primary Care Ctr/Outpatient Diagnostic & Treatment Ctr

Project Number: 1764.0

Scope: \$24,491,723

Project Description:

Construct a new facility of approximately 90,000 gross square feet of space to house the Center for Advanced Surgery and the Gill Heart Institute. The new facility will consist of an outpatient surgery center and an outpatient clinic devoted to diagnostic and treatment services.

Consultant: CMW, Inc.

Contract # A991240

Total Amendments to Date: (1)

Original Contract Amount: \$2,147,765

Cumulative Amendment Amount: \$217,894

Revised Contract Amount: \$2,365,659

Describe all amendments :

Amendment #1, 12/10/02, an increase of \$217,894.00 was approved for additional design services as a result of value added changes.

Contractor: D.W. Wilburn, Inc.

Contract # X014454

Total Change Orders to Date: 56

Low Bid: \$18,670,000

High Bid: \$20,799,000

Number of Bids: 4

Original Contract Amount: \$18,670,000

Cumulative Change Order Amount: \$881,377

Revised Contract Amount: \$19,551,377

Contract Percent Complete: 68%

Describe all change orders greater than \$25,000.00:

Change Order #6, 1/11/01, was approved for \$35,748.88 to modify roof assembly, fire proofing, one hardware set, four doors, exit signs at penthouse and smoke detectors at air code system per review by Housing, Building and Construction Division.

Change Order #9, 5/8/01, was approved for \$62,186.00 to relocate 5 existing water lines that are in conflict with the ramp in the courtyard area, and to relocate existing storm drainage structures that are also in conflict with new construction. **Change Order #12, 5/16/01,** was approved for \$39,302.40 to add lantern light fixtures to match Allied Health Project. **Change Order #33, 2/7/02,** was approved for \$42,875.27 to make architectural and structural modifications to the electrical room to accommodate electrical gear. **Change Order #37, 4/8/02,** was approved for \$34,909.40 to change the key switches to a Yale seven pin cylinder. **Change Order #44, 5/29/02,** was approved for \$31,459.40 to add light fixtures consisting of 21

Holophane Bollards and 9 Holophane Pole lights. Cost of fixture installation is included in the original contract work. These fixtures were originally planned to be owner provided and contractor installed. This change order will have the contractor provide these fixtures. **Change Order #46, 10/15/02, was approved for \$64,896.34 to install a Hill-Rom Nurse Call System compatible with the Nurse Call in the rest of the hospital. Change Order #51, 11/27/02, was approved for \$669,115.00 to add mechanical chase through the building and to modify wall configurations. The revisions include widening of corridors 000H and 000I to 8'-0", relocation of the elevator equipment room 503, construction of a vertical chase adjacent to same elevator from Penthouse through the floor slab of the first floor. This change is to allow the ground floor to become a diagnostic center in the future for both outpatients and inpatients and provide sufficient HVAC support for future diagnostic center. Change Order #53, 12/17/02, was approved for \$30,164.50 to relocate the roof leader from the stairwell as required by code.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Research Lab Fit-up (Aging Allied/Health) 5th Floor

Project Number: 1984.0

Scope: \$7,000,000

Project Description:

This project consists of the construction to fit-up the shelled fifth floor of the Aging/Allied Health Building for the Research Lab use.

Consultant: James W. Potts Architects

Contract # A011160

Total Amendments to Date: (0)

Original Contract Amount: \$410,000

Cumulative Amendment Amount:

Revised Contract Amount: \$410,000

Describe all amendments :

No amendments this quarter.

Contractor: Woodford Builders

Contract # X301038

Total Change Orders to Date: 2

Low Bid: \$3,877,000

High Bid: \$4,428,000

Number of Bids: 8

Original Contract Amount: \$3,877,000

Cumulative Change Order Amount: -\$2,342

Revised Contract Amount: \$3,874,658

Contract Percent Complete: 1%

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Seaton Center Addition/Renovation

Project Number: 1781.0

Scope: \$15,350,000

Project Description:

Construction of a 87,000 square foot recreation center. The building is being constructed as an addition to the existing Seaton Center and will include 4 basketball courts, 4 raquetball courts, 12,000 square feet of exercise equipment, a free weight room, a 4 lane running track, administrative offices, 2 aerobics rooms, and a climbing wall.

Consultant: HNTB Corporation

Contract # A001050

Total Amendments to Date: (2)

Original Contract Amount: \$997,000

Cumulative Amendment Amount: \$196,370

Revised Contract Amount: \$1,193,370

Describe all amendments :

Notes: Amendment #1, 10/12/00, an increase of \$79,730, was approved to provide a full time, on-site resident inspector during the construction phase. **Amendment #2**, 3/29/02, an increase of \$116,640 was approved to provide for four additional months of Phase 5A/E services including four additional months of Resident Inspector fees and for unforeseen storm/sanitary sewer renovations. **No amendments this quarter.**

Contractor: CB&S Construction Company

Contract # X100530

Total Change Orders to Date: 101

Low Bid: \$12,128,000

High Bid: \$13,730,000

Number of Bids: 4

Original Contract Amount: \$12,128,000

Cumulative Change Order Amount: \$663,490

Revised Contract Amount: \$12,791,490

Contract Percent Complete: 89%

Describe all change orders greater than \$25,000.00:

Change Order #2, 12/22/00, was approved for \$90,210.00 for additional costs to excavate existing rock for building foundation. **Change Order #11**, 5/8/01, was approved for \$32,248.00 to add black granite aggregate to achieve the appearance of the approved precast sample. **Change Order #22**, 7/13/01, was approved for \$31,962.00 to furnish and install a lightening protection system. **Change Order #25**, 8/14/01, was approved for \$34,315.00 for the replacement of plastic laminate countertops with solid surface countertops which will provide lasting protection. **Change Order #78**, 7/26/02, an increase of \$37,053.00 to install new floor outlets in the corridor and weight rooms required to power the machine weights equipment. **Change Order #92**, 8/30/02, an increase of \$28,905.00 to make revisions to metal wall panels at various locations as necessary to coordinate with structural steel bracing and roof structure. **Change Order #98**, 11/21/02, was approved for \$25,505.00 to replace the existing 4/0 cable between switch and the splice with new 500 MCM cable. Replacing the cable will allow connection of the new power circuit for the Seaton Center without splicing into the existing cabling.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

UK Center for Rural Health

Project Number: 1965.0

Scope: \$13,065,000

Project Description:

The University of Kentucky is undertaking the design and construction of a new Center for Rural Health to provide space to house the existing programs of the Center and the Health Professions programs of Hazard Community College.

Consultant: Omni Architects

Contract # A011110

Total Amendments to Date: (1)

Original Contract Amount: \$879,845

Cumulative Amendment Amount: \$140,682

Revised Contract Amount: \$1,020,527

Describe all amendments :

Amendment #1, 3/12/02, was approved for an increase of \$140,682.00 for consultant services to expand the scope of the project. Federal funding was received requiring re-programming and design. **No amendments this quarter.**

Contractor: D.W. Wilburn

Contract # X301039

Total Change Orders to Date:

Low Bid: \$8,320,000

High Bid: \$8,990,000

Number of Bids: 3

Original Contract Amount: \$8,320,000

Cumulative Change Order Amount:

Revised Contract Amount: \$8,320,000

Contract Percent Complete: 9%

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

Urology Clinic Renovation

Project Number: 2061.0

Scope: \$494,600

Project Description:

The Urology Clinic is currently located on the first floor of the Kentucky Clinic and shares some functions with the Orthopaedics clinic. The intent of this project is to provide services allowing Urology to function as an independent clinic, with a new and distinctive entrance. The project is also to provide the clinic with a registration/medical records/phone area, waiting room, eight exam rooms, two Cysto/Flouro rooms (one of which will require lead lined walls), a consultation room, manager's office, clean utility, soiled utility, lab, staff toilet, and a handicap accessible patient toilet.

Consultant: James W. Potts Architects

Contract # A021150

Total Amendments to Date: (1)

Original Contract Amount: \$35,000

Cumulative Amendment Amount: \$3,290

Revised Contract Amount: \$38,290

Describe all amendments :

Amendment #1, 11/12/02 was approved for an increase of \$3,290 for additional design services for added scope of work.

Contractor:

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Describe all change orders greater than \$25,000.00:

FCR 3

Office of the President
February 25, 2003

Members, Board of Trustees:

REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

A new lease between the University of Kentucky (Kentucky Homeplace) and Frog Level Properties, 970 Colony Road, Manchester, Kentucky, for space located at 76 Fayette Properties, Manchester, Kentucky, effective December 15, 2002 through June 30, 2004, for the use of 1,536 square feet of office space, at an annual rate of \$10,800.00., be accepted and made a matter of record.

A new lease between the University of Kentucky (Kentucky Homeplace) and McBill Corporation, 156 South Carol Malone Boulevard, Grayson, Kentucky, for space located at 122 South Carol Malone Boulevard, effective December 15, 2002 through June 30, 2004, for the use of 1,250 square feet of office space, at an annual rate of \$7,140.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Kentucky Homeplace) and Hyden Citizens Bank, PO Box 948, Hyden, Kentucky, for space located on Highway 80, Appalachian Office Complex, Hyden, Kentucky, effective March 1, 2003 through June 30, 2007, for the use of 288 square feet of office space, at an annual rate of \$1,800.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (College of Fine Arts) and Lexington Arts and Cultural Council, Inc., 161 North Mill Street, Lexington, for space located at 161 North Mill Street, Lexington, Kentucky, effective September 1, 2002 through June 30, 2004, for the use of 1,200 square feet of studio space, at an annual rate of \$1,500.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (College of Agriculture) and Kevil Farm Estate, Hopkinsville Street, Princeton, Kentucky, for land located on Hopkinsville Street, Princeton, Kentucky, effective January 1, 2003 through December 31, 2008, for the use of sixty (60) acres of land for agricultural crop experimentation, at an annual rate of \$12,000.00, be accepted and made a matter of record.

An addendum to lease between the University of Kentucky (Kentucky Geological Survey) and Henderson Mini Warehouse, 401 Hoffman Drive, Henderson, Kentucky, for space located at 401 Hoffman Drive, Henderson, Kentucky, effective December 18, 2002 through June 30, 2003, for the use of 75 square feet of storage space, at an annual rate of \$300.00 and an overall annual rate of \$1,260.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action Taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 4

Office of the President
February 25, 2003

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the patent assignment report for the period October 1, 2002 through January 31, 2003 be accepted.

Background: FCR 5, dated March 4, 1997, authorized that all future copyright and patent filings and prosecutions be conducted by the University of Kentucky Research Foundation (UKRF), and that the Vice President for Research and Graduate Studies or his designee be authorized to execute any needed documents to obtain appropriate patent or copyright protection. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action Taken: ☒ Approved ☐ Disapproved ☐ Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD OCTOBER 1, 2002 THROUGH JANUARY 1, 2003

Patents

The following assignments on behalf of the Board of Trustees to the University of Kentucky Research Foundation have been executed:

1. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled “CATALYTIC CONVERSION OF HYDROCARBONS TO HYDROGEN AND HIGH-VALUE CARBON”. Inventors: Drs. Naresh Shah, Devadas Panjala and Gerald P. Huffman. This invention provides novel catalysts for accomplishing catalytic decomposition of undiluted light hydrocarbons to a hydrogen product, and methods for preparing such catalysts. In one aspect, a method is provided for preparing a catalyst by admixing an aqueous solution of an iron salt, at least one additional catalyst metal salt, and a suitable oxide substrate support, and precipitating metal oxyhydroxides onto the substrate support. An incipient wetness method, comprising addition of aqueous solutions of metal salts to a dry oxide substrate support, extruding the resulting paste to pellet form, and calcining the pellets in air is also disclosed. In yet another aspect, a process is provided for producing hydrogen from an undiluted light hydrocarbon reactant, comprising contacting the hydrocarbon reactant with a catalyst as described above in a reactor, and recovering a substantially carbon monoxide-free hydrogen product stream. In yet another aspect, a process is provided for catalytic decomposition of an undiluted light hydrocarbon reactant to obtain hydrogen and a valuable multi-walled carbon nanotube coproduct.
2. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled “PROCESS FOR NANO-MACHINING USING CARBON NANOTUBES”. Inventors: Drs. Robert R. Vallance, Apparao M. Rao and M. Pinar Menguc. This invention provides novel methods and devices for nano-machining a desired pattern on a surface of a conductive workpiece. In one aspect, the method comprises using an electron beam emitted from one or more nanotubes to evaporate nanoscale quantities of material from the workpiece surface. The surface of the workpiece to be machined may be excited to a threshold energy to reduce the amount of power required to be emitted by the nanotube. In another aspect, a device is described for nanomachining a desired pattern on a surface of a conductive workpiece, comprising a vessel capable of sustaining a vacuum, a leveling support, a nanopositioning stage, and a laser for heating the workpiece. A nanotool is provided comprising at least one nanotube supported on an electrically conductive base, adapted to emit an electron beam for evaporating material from an electrically conductive workpiece.
3. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled “DYNAMIC SHADOW REMOVAL FOR FRONT PROJECTION DISPLAYS”.

Inventors: Dr. Christopher O. Jaynes, Steven B. Webb and Robert M. Steele.

This invention provides a technique and system for detecting a radiometric variation/artifacts of a front-projected dynamic display region under observation by at least one camera. The display is comprised of one or more images projected from one or more of a plurality of projectors; the system is preferably calibrated by using a projective relationship. A predicted image of the display region by the camera is constructed using framebuffer information from each projector contributing to the display, which has been geometrically transformed for the camera and its relative image intensity adjusted. A detectable difference between a predicted image and the display region under observation causes a corrective adjustment of the image being projected from at least one projector. The corrective adjustment may be achieved by way of pixel-wise approach (an alpha-mask is constructed from delta pixels/images), or bounding region approach (difference/bounding region is sized to include the area of the display affected by the radiometric variation). Also: a technique, or method, for detecting a radiometric variation of a display region under observation, as well as associated computer executable program code on a computer readable storage medium, therefor.

FCR 5

Office of the President
February 25, 2003

Members, Board of Trustees:

ESTABLISHMENT OF PIN OAK SCHOLARSHIP QUASI-ENDOWMENT FUND

Recommendation: that the Board of Trustees approve the establishment of the Pin Oak Scholarship Quasi-Endowment to provide support for the University's general scholarship fund.

Background: At the June 11, 2002 meeting, the Board authorized the Senior Vice President for Administration to sell 35.58 acres of land in Woodford County, donated to the University in 1991 by Allen Paulson. The 35.58 acres were part of the farm known as Pin Oak. The remaining tract of 1,441.91 acres on the Pin Oak farm was purchased by the State on behalf of the University of Kentucky College of Agriculture for \$11,500,000 and is being used as an Agricultural Experimental Station.

A sale of the 35.58 acres was conducted by sealed bid, and the University sold the property for \$2,108,650, significantly greater than a recent appraisal amount of \$1,443,500.

The Senior Vice President for Administration recommends that the net proceeds be used to establish a quasi-endowment fund that will be used to support merit and need-based scholarships for undergraduate students. Fund raising for scholarships is a high priority of the University and establishing this quasi endowment will allow the University to achieve a long-term benefit with these funds. The initial principal will generate annual spending distributions of approximately \$100,000.

Action Taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 6

Office of the President
February 25, 2003

Members, Board of Trustees:

GIFTS TO CHARLES H. NICHOLSON, M.D. RESIDENCY FELLOWSHIP

Recommendation: that the Board of Trustees accept gifts of \$62,100 from colleagues and friends of the late Charles H. Nicholson, M.D., to create and endow the Charles H. Nicholson, M.D. Residency Fellowship in the Division of General Surgery in the College of Medicine.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: The Fellowship is being established by Dr. Nicholson's widow, Jackie Nicholson, his friend, Edwin Nighbert, M.D., and others. Dr. Nicholson, who died in 1996, was a surgeon in Lexington for 34 years and helped train many UK general surgery residents. This Fellowship recognizes Dr. Nicholson's outstanding contributions as a mentor in the general surgery residency-training program. The gifts are eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 7

Office of the President
February 25, 2003

Members, Board of Trustees:

BOEHRINGER INGELHEIM PHARMACEUTICALS, INC. PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$75,000 from Boehringer Ingelheim Pharmaceuticals, Inc., of Ridgefield, Connecticut, to be added to the Boehringer Ingelheim Pulmonary Endowed Research Professorship for the College of Medicine's Department of Internal Medicine.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: The Board of Trustees accepted a pledge of \$50,000 in May, 1999 from Boehringer Ingelheim Pharmaceuticals, Inc., to establish an endowed professorship to enhance medical research in lung diseases. The company is making this additional pledge of \$75,000 to augment funding for the professorship. The pledge is eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 8

Office of the President
February 25, 2003

Members, Board of Trustees:

GIFTS TO GEORGE A DIGENIS PROFESSORSHIP/CHAIR
IN DRUG DESIGN AND DISCOVERY

Recommendation: that the Board of Trustees accept gifts of \$15,750 and pledges of \$52,900 from Dr. George A. Digenis, corporations and individual donors to create and endow the George A. Digenis Professorship/Chair in Drug Design and Discovery in the College of Pharmacy.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: Dr. Digenis, a College of Pharmacy professor emeritus, retired in 2001 after 35 years of service as a professor of medicinal chemistry and pharmaceuticals in the Division of Pharmaceutical Sciences. During his career, he also was a professor in the College of Medicine's Department of Nuclear Medicine. Business partners of Dr. Digenis, former students and corporations want to recognize Dr. Digenis' distinguished career by establishing a professorship in his honor. Pfizer, Inc., of New York, N.Y., has pledged \$50,000. Fund raising for the initiative will continue toward developing the professorship into an endowed chair. The \$68,650 in gifts and pledges is eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 9

Office of the President
February 25, 2003

Members, Board of Trustees:

ROBERT H. AND ANNA B. CULTON GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$10,000 and a pledge of \$40,000 from Robert H. and Anna B. Culton of Maitland, Florida, to establish and endow the Robert H. and Anna B. Culton Professorship for research devoted to women's mental health problems, substance abuse or victimization from violence or to studying interventions to remedy these problems.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: Robert Culton, a 1951 graduate of the College of Agriculture, is a businessman and real estate developer. He and his wife are generous supporters of the University and recently made a significant gift to UK for the Governor's Scholars scholarship program. The recipient of the professorship may hold an appointment in any UK college and will be selected by the Provost from recommendations made by a committee appointed by the Provost and the Vice President for Research. The Culton's gift and pledge is eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____