MINUTES

Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
June 24, 2003
18th Floor Patterson Office Tower

Minutes

Accompanying materials:

President's Report and Action Items

PR 1 President' s Report to the Trustees
PR 2 Personnel Actions
PR 3 Appointment of Executive Vice President for Finance and Administration
PR 4 Appointment of Associate Provost for Academic Affairs
PR 5 Appointment of Dean of the Graduate School
PR 6 Appointment of Acting Executive Vice President for Health Affairs
PR 7 Chancellor Emeritus Be Conferred Upon James W. Holsinger, Jr., M.D., Ph.D.
PR 8 Appointment to Board of Directors of the Fund for Advancement of Education and Research in the University of Kentucky Chandler Medical Center
PR 9 Appointment/Reappointment of Board of Directors of the University of Kentucky Research Foundation
PR 10 2003-2006 Strategic Plan
PR 11 Authorization and Direction for Interpretation of the ARs and GRs to Implement the Provost Model at the University of Kentucky
PR 12 Agreement Between University of Kentucky and KMSF
PR 13 Waiver of Part X of the Governing Regulations Pertaining to the Employment of Relatives in the Case of Dr. Sandra D' Angelo
PR 14 Appointment of Associate Vice President for Facilities
PR 15 Creation of Executive Vice President for Research and Appointment of Dr. Wendy Baldwin

Academic Affairs Committee Report

AACR 1 Candidates for Degrees
AACR 2 Creation of a School of Human Environmental Sciences in the College of Agriculture; Elimination of College of Human Environmental Sciences

Finance Committee Report

FCR 1 Operating Budget for Fiscal 2003-04
FCR 2 Approval of Leases
FCR 3 Report of Leases
FCR 4 Acquisition of Property
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FCR 6 William T. Young Pledge
FCR 7 Warren W. Rosenthal Pledge
FCR 8 James F. Hardymon Pledge
FCR 9 A Resolution of the Board of Trustees of the University of Kentucky Authorizing the Issuance of Approximately $52,830,000 of University of Kentucky Housing and Dining System Revenue Bonds, Series S, to be Dated the First Day of the Month in Which Sold; Authorizing Proper Proceedings Relative to the Public Sale of the Bonds and the Disposition of the Proceeds Thereof; Authorizing Execution of an Eleventh Supplemental Trust Indenture Between the Board and Farmers Bank & Capital Trust Company, Frankfort, Kentucky, as Trustee, in Compliance With the Provisions of the Trust Indenture Dated June 1, 1965
FCR 10 A Resolution of the Board of Trustees of the University of Kentucky Authorizing the Issuance of Approximately $29,655,000 of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series S, to be Dated the First Day of the Month in Which the Bonds Are Sold
FCR 11 Approval of Lease

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, June 24, 2003.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, June 24, 2003 in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Mr. Steven Reed, Chairperson, called the meeting to order at 1:00 p.m., and Dr. Elissa Plattner gave the invocation.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Marianne Smith Edge, Davy Jones, Michael Kennedy, Pam May, Robert Meriwether, Billy Joe Miles, Elissa Plattner, Steven Reed (Chairperson), Joe Ruschell, Frank Shoop, Marian Sims, Grady Stumbo, Myra Tobin, JoEtta Wickliffe, Billy Wilcoxson, Russ Williams, Elaine Wilson, and Barbara Young. Absent from the meeting were Paul Chellgren and Alice Sparks. The University administration was represented by President Lee T. Todd, Jr., Provost Michael Nietzel, Acting Senior Vice President Jack C. Blanton, Vice President for Research Wendy Baldwin and General Counsel Paul C. Van Booven.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:04 p.m.

C. Consent Agenda

Mr. Reed entertained a motion for approval of the May 6, 2003 Minutes that were on the consent agenda. Ms. Wilson moved approval of the Minutes. Ms. Smith Edge seconded the motion, and it carried without dissent.

D. President's Report to the Board of Trustees (PR 1)

President Todd called attention to the following items in PR 1.

1. The Surgery Department has moved up in the National Institutes of Health rankings and is listed as 16th among 74 public medical schools. This is a great move for the Department of Surgery.

2. The College of Agriculture opened a new $21 million Plant Sciences Building in mid-May.

3. The University of Kentucky recently earned the most "green lights" of all state universities as far as CPE is concerned about moving their agendas forward. This is due to House Bill 1.

4. The annual Children's Miracle Network Telethon raised $1,231,635 to benefit the UK Children's Hospital. The telethon has been tremendous, and the community is extremely supportive of that hospital effort.

5. A team of 50 College of Engineering students has designed a glider ("Big Blue" – Baseline Inflatable Glider Balloon Launched Unmanned Experiment) that may help NASA scientists explore the mysteries of the planet Mars. The glider was tested in early May and performed nearly perfectly. Overseeing the students were Professors James Lumpp and Suzanne Smith.

6. UK researchers won the vast majority of the funding available from the Kentucky Science and Engineering Foundation in mid-May. This is the state's new economy effort where they put together a science and engineering foundation and the Kentucky Science Council solicits proposals. UK related faculty got $1.4 million out of $2 million that was made available. This is new seed money from the State of Kentucky to try to help develop new enterprises based on intellectual property.

7. Vipul Mankad and Karen Stefaniak have been selected for Robert Wood Johnson Foundation fellowships. This gives the University great notoriety when our faculty participates with a few that are selected around the country and spend time with those people. It also improves UK's image.

8. UK's School of Journalism and Telecommunications has been reaccredited for another six years.

9. Three UK students have received Fulbright Scholarships for study abroad.

10. Full-time benefits are now extended to employees with a full time equivalency (FTE) of .75 or greater, except for employees of the University Hospital. This is one of the recommendations of the Work Life Task Force. Employees previously working 30 hours a week without benefits will now have benefits, which is extremely important. In addition, if faculty and staff can work it out with their department chairs to have a FTE of .75 and many would like to do that for elderly parents and child care, and other reasons, they can now do that and still retain their benefits.

11. The Lexington-Fayette Urban County Council conducted a Council meeting on the UK campus. This is the first time in history for that to take place.

President Todd encouraged the Board to read all the student and faculty awards listed in the report. They are too numerous to mention, but they are outstanding.

President Todd reported that the Senate and Provost had worked hard to implement a four-year contract for students. Departments are now being enlisted to participate in the program. If the University system gets in the way of a student, who has followed all of the plan, graduating in four years, the University will pay the tuition after that point. He noted that Tony Stempel is a student who worked hard and did a lot of research for the program.

E. Personnel Actions

President Todd report that PR 2 is typically on the consent agenda; however, since one of the items in PR 2 needed to be mentioned, PR 2 was not on the consent agenda.

President Todd called attention to the appointment of Dr. Charles Wethington. For the record, he reported that Dr. Wethington began his academic career at UK as an instructor in Educational Psychology during the 1965-66 academic year. He then moved into the administration of the Community College System with an appointment as Acting Director of what was then called Lexington Technical Institute in 1966. He was appointed as the Director of Maysville Community College in 1967. After his administrative promotion in 1971 to Assistant Vice President of the Community College System, the Board acted to confer on him an academic status of Associate Professor in the Community College System in 1971. In 1981 Dr. Wethington was appointed as Vice President of the UK Community College System. He became Chancellor of that Community College System in 1982 and President of the University of Kentucky in 1991.

In accordance with Dr. Wethington's contract, on July 1, 2003, he had the option to assume the academic duties of Associate Professor with tenure that the Board conferred on him in 1971. He has chosen to exercise that option. His academic assignment is in the Lexington Community College. It will be in the Division of Humanities and Business and Technology. The faculty of that division, having been a part of this process, was consulted about that assignment.

President Todd reported that he had informed Dr. Wethington that he will set down with Dr. Jim Kerley to talk about his Distribution of Effort. It will include teaching, and Dr. Kerley and Dr. Wethington will work on that. It will have to be approved by the Provost, and then he will be assigned as a result of this PR 2 action to the Lexington Community College as a tenured associate professor. President Todd said that was the only item that he would mention in PR 2.

Mr. Reed asked for a motion to approve PR 2. Mr. Shoop moved approval. Ms. Sims seconded the motion, and it carried without dissent. (See PR 2 at the end of the Minutes.)

F. Appointment of Executive Vice President for Finance and Administration (PR 3)

President Todd said that PR 3 recommends that the Board of Trustees approve the appointment of Richard P. Siemer as Executive Vice President for Finance and Administration effective August 1, 2003. He noted that Dr. Jack Blanton has agreed to work through the month of July.

President Todd said that he admired Mr. Siemer for waiting until August 1st. Mr. Siemer has been instrumental in implementing a new ERP system that includes accounting at the Ohio University. He did not want them to have to close the books without him being there; therefore, he will remain at Ohio University until July 31st to make sure that everything goes smoothly with the new ERP system. One of many strong points of Mr. Siemer is the fact that he has been through ERP system development and that is something that UK is going to undertake as the budget unfolds at this meeting. President Todd reported that Mr. Siemer was Vice President of Administration at Duke University and Vice President for Finance and Treasurer at Ohio University. He recommended the appointment of Mr. Siemer.

On motion made by Mr. Wilcoxson, seconded by Ms. Smith Edge, PR 3 was approved without dissent. (See PR 3 at the end of the Minutes.)

President Todd introduced Dick and Pam Siemer to the Board and asked Mr. Siemer for a comment.

Mr. Siemer said that they were very happy to be at the University of Kentucky and were looking forward to working with the leadership.

G. Appointment of Associate Provost for Academic Affairs (PR 4)

President Todd recommended that Dr. David Watt be appointed as Associate Provost for Academic Affairs effective May 15, 2003. Once this motion is acted upon, he said he would ask Provost Nietzel to make a comment.

Mr. Reed called for a motion. Ms. Wilson moved approval. Ms. Sims seconded the motion, and it carried without dissent. (See PR 4 at the end of the Minutes.)

Provost Nietzel made some comments about the creation of the position. He reported that the position developed as a result of recommendations that were made as the University moved toward the Provost Model and recognizes the need for some additional senior level academic leadership in support of the entire academic enterprise – both the academic units in the Medical Center as well as on the North Campus.

This is a position that has been conceived to be one that would be responsible for a broad array of academic activities concentrating on the recruitment and appointment

of faculty, faculty affairs in general, the promotion and tenure stream for faculty appointments, the coordination of academic space as we again try to integrate the academic program across campus, oversight of special academic initiatives that we are undertaking in response to the Futures Committee recommendations, the Top Twenty Report and other initiatives that we will begin in the next few years and some of which will be talked about as the budget is presented at this meeting.

Provost Nietzel announced that Dr. Watt would act in his absence in the role of the Provost. He said he was thrilled that Dr. Watt was willing to be considered for this position. He reported that the committee to evaluate internal candidates unanimously recommended Dr. Watt for the post.

Provost Nietzel provided background information about Dr. Watt, noting that many people in the audience and on the Board know David Watt. Dr. Watt has been at the University for nearly twenty years. He comes with an outstanding set of credentials and as a scientist himself. A chemist by training, Dr. Watt was an undergraduate at Dartmouth and received his graduate degrees at Harvard. He served as chair of Chemistry at the University of Kentucky. He was director of ASTeCC. In the former organization, Dr. Watt was a Vice Chancellor for the Lexington Campus and Vice Chancellor for Research and most recently has been the Executive Dean in the College of Medicine. He has a wonderful reputation on campus as an academic leader who knows both the details and can appreciate the big picture for the University. Provost Nietzel said he was pleased to be able to have this chance to work with Dr. Watt, he is very pleased with this appointment, with the development of the position, and with Dr. Watt's willingness to accept the position. He asked Dr. Watt to say a few words.

Dr. Watt said that he did not know of any better way to express his commitment to the University other than to say that he and his wife sent their children to the University of Kentucky. He said that he is committed to the University's health and well-being and that he looks forward to working in this new position to assist the faculty and students and, of course, the President and this administration.

Dr. Jones said that he had known Dr. Watt for a number of years, and the University is in very good hands with this part of the team.

President Todd said that was a great commendation coming from a faculty member, and he appreciated Dr. Jones' comment.

H. Appointment of Dean of the Graduate School (PR 5)

President Todd said that PR 5 recommends the Appointment of the Dean of the Graduate School. The recommendation is that Dr. Jeannine Blackwell be appointed as Dean of the Graduate School, effective July 1, 2003.

Mr. Reed called for a motion of approval of PR 5. Ms. Sims moved approval. Professor Kennedy seconded the motion, and it carried without dissent. (See PR 5 at the end of the Minutes.)

Provost Nietzel reported that this position is very important to him. He began his comments by acknowledging the terrific work that Doug Kalika had done the past two years as the Acting Dean of the Graduate School. The Graduate School thrived in those two years, and Dr. Kalika was a wonderful Dean. He said he was very much appreciative to Dr. Kalida for all the good work that he did.

Provost Nietzel said that 1985 was a good year for the University of Kentucky. It was that year that Dr. David Watt and Dr. Jeannine Blackwell both joined the faculty. Dr. Blackwell is also very well known on campus. She has had a number of leadership positions. She was educated at Duke University where she received her undergraduate degree and her Master's degree and then her Ph.D. in German at Indiana. Dr. Blackwell has a national reputation as a leader in the modern language association, and in a variety of professional organizations devoted to scholarship in German studies. She is a former chair of the Department of German and Germanic Languages. She was an Associate Dean in Arts and Sciences and most recently, an Acting Director of Women's Studies as well. She has been the Senior Associate Dean in the Graduate School. A national search for the position was conducted. There were approximately 40 candidates for the position. Three individuals were interviewed. Dr. Blackwell received very good broad support across the campus. Provost Nietzel said that he is delighted to be able to have her assume the leadership as Dean of the Graduate School and also delighted that her husband, Michael, and daughter, Betina, are at the meeting to join in recognizing her appointment. He asked Dr. Blackwell for comments.

Dr. Blackwell said that she is excited to accept the challenge of leading the Graduate School during the next couple of years as the University tries to fulfill the dream and the challenge that is being laid out in the new strategic plan. Graduate education will be a key to preparing future leadership for the Commonwealth. Training scientists, entrepreneurs, teachers, social workers, medical personnel, artists and all sorts of professionals who think creatively, are the people Kentucky needs -- both homegrown and from the nation and the world. A thriving Graduate School helps make a gifted and well-educated community and such a community attracts creative people. She looks forward to making Graduate Studies at UK that kind of productive endeavor. She pointed out that she could not do this without a strong tradition in graduate education at the University. She thanked the former Deans of the Graduate School for helping establish that. She noted that is quite a roster: Wimberly Royster, Dan Reedy and Mike Nietzel. She also thanked the staff of the Graduate School who keeps the wheels turning. That is what makes it possible for the University to grind out all of the degrees. She acknowledged her daughter, Betina Jones, who is an honor student at Morton Middle School but who does not want to go to Graduate School. She wants to go to Law School.

I. Appointment of Acting Executive Vice President for Health Affairs (PR 6)

President Todd recommended that Dr. Alfred Cohen be appointed as Acting Executive Vice President for Health Affairs effective June 1, 2003. He reminded the Board that the University is in a search for a permanent Executive Vice President for Health Affairs. In view of the fact that the University will very likely not have someone occupy that position on July 1st, it was suggested and he certainly concurred that Dr. Cohen be assigned to the position.

President Todd reported that Dr. James Holsinger has been very helpful in the transition. Dr. Holsinger suggested Dr. Cohen's appointment begin June 1st; therefore, Dr. Cohen has been in an acting position since that date.

President Todd stated that Dr. Cohen is currently the Director of the Markey Cancer Center and a Professor of Surgery at UK as well as head of the search committee for the new Executive Vice President. His other credentials are listed in PR 6. Dr. Cohen will reside in the acting position until a replacement is found for the permanent position. He noted that the search committee was down to about three candidates, and he hoped to be able to fill the position fairly soon.

Mr. Reed called for a motion of approval of PR 6. Ms. Smith Edge moved approval. Ms. Wilson seconded the motion, and it carried without dissent. (See PR 6 at the end of the Minutes.)

J. Chancellor Emeritus be Conferred upon James W. Holsinger, Jr., M.D. Ph.D. (PR 7)

President Todd said it was indeed a pleasure for him to recommend that Dr. James W. Holsinger, Jr. be named Chancellor Emeritus in recognition of his long service, dedication, and many contributions to the University of Kentucky. He noted that Dr. Holsinger is not retiring but going away for a year on sabbatical. He will come back and do what he dearly loves to do, and that is teaching students.

President Todd noted that Dr. Holsinger received his B.S. degree from the University of Kentucky and wears a Kentucky ring because he never had a B.S. by passing the final part of his undergraduate studies to go directly to Medical School. While at UK, he actually took calculus and other subjects and has been a mentor for students all along. His distinguished career is in the background information of PR 7.

Dr. Holsinger served for more than twenty-six years in the U.S. Department of Veteran's Affairs. He served for nearly thirty-two years in the U.S. Army reserve, retiring with rank of Major General, and at the end of that assignment was an Assistant to the Director of Logistics of the Joint Chief during the Persian Gulf War of 1991. As Chancellor, he has worked to expand and extend the three core missions of the academic health center. The research mission has expanded from $35 million to more than $100

million. The clinical programs continue to develop. Dr. Holsinger is responsible for nine facilities on campus. President Todd said that he had driven through the south campus and looked in amazement at how that end of campus has changed under Dr. Holsinger's leadership. He said he is grateful to Dr. Holsinger for what he has done for the University. He expressed appreciation to Dr. Holsinger for the last two years that he has worked with him.

Mr. Reed called for a motion of approval. Ms. Sims moved and Ms. Wilson seconded the motion. It carried unanimously. (See PR 7 at the end of the Minutes.)

Mr. Reed said Dr. Holsinger was a very warm, very receptive, very encouraging, reassuring and steady hand. He said that he did not think he had ever been at a UK event and not seen the smiling, friendly face of Dr. Holsinger. He said that he, personally, had enjoyed knowing Dr. Holsinger, and he looked forward to a continued relationship. He said that he wanted to put on the record that Dr. Holsinger has meant a lot to the University and has certainly meant a lot more to him than Dr. Holsinger probably realizes.

President Todd asked Dr. Holsinger for any comments.

Dr. Holsinger thanked the Board for having the courage to elect him to the position nine years ago. He said that he deeply appreciated the nine years of opportunity that he had to be of service to the University. Having been a student here, a faculty member, and a member of the administration, he can tell the Board that he is intensely proud of his institution. This is a great American University and everyone needs to remember that as we move forward into the future. He recognized his wife, Dr. Barbara Holsinger, in the audience and asked her to stand. With her are his family members from New Zealand, his daughter, Dr. Ruth Luellen, her husband, Matthew Luellen, and his grandson, Kerin. He said he was proud that his family members could be at the meeting. He thanked the Board for electing him Chancellor Emeritus and said that he looked forward to being at the University for many, many more years in the future.

K. Appointment to Board of Directors of the Fund for Advancement of Education and Research in the University of Kentucky A. B. Chandler Medical Center (PR 8)

President Todd said that PR 8 recommends that approval be given to the appointment of Carol Farmer to the Board of Directors of the Fund for Advancement of Education and Research in the University of Kentucky Chandler Medical Center for a two-year term beginning July 1, 2003 extending through July 30, 2005.

Mr. Reed asked for a motion of approval of PR 8. Mr. Shoop moved approval. Ms. Smith Edge seconded the motion, and it carried without dissent. (See PR 8 at the end of the Minutes.)

L. Appointment/Reappointment of Board of Directors University of Kentucky Research Foundation (PR 9)

President Todd said that PR 9 recommends approval of Kenneth Roberts as a faculty member for a one year term to fill the unexpired vacancy of Robert Blouin, the reappointment of Ann Francis Miller as a faculty member for a three year term and the reappointment of Richard Kurznske as a public member for a three-year term to the Board of Directors of the University of Kentucky Research Foundation.

Mr. Reed called for a motion of approval of PR 9. Ms. May moved approval. Ms. Tobin seconded the motion, and it carried without dissent. (See PR 9 at the end of the Minutes.)

M. 2003-06 Strategic Plan – The Dream and The Challenge (PR 10)

President Todd said that PR 10 is the Strategic Plan. He explained that the plan is tied tightly with the budget, and he would like to present the Strategic Plan and seek approval at the same time he presents the budget with the FCR's.

N. Authorization and Direction for Interpretation of the Governing Regulations and Administrative Regulations to Implement the Provost Model at the University of Kentucky (PR 11)

President Todd said that PR 11 stems from the fact that the University is moving quickly and adopting the Provost Model effective July 1, 2003. The Governing Regulations and the Administrative Regulations are under consideration by committees on campus to make all the appropriate changes of the titles that are coming about because of the Provost Model. He recommended that the Board direct him as the President, as well as the Provost, the Executive Vice President for Finance and Administration, the Executive Vice President for Health Affairs and other University officials as appropriate to interpret and implement the Governing Regulations and Administrative Regulations and Human Resources Policies and Procedures consistent with the Board of Trustees prior to approval of the Provost Model of the University. This includes, but is not limited to, empowering the Provost and other appropriate officials under the model to make all personnel recommendations and decisions in the Governing and Administrative Regulations previously delegated to the Chancellors, the Senior Vice President of the Medical Center and others. Under this authorization, the President shall be the final authority on questions of interpretation and implementation.

Mr. Reed thanked President Todd for the background information and called for a motion of approval. Dr. Jones moved approval. Marianne Smith Edge seconded the motion, and it carried without dissent. (See PR 11 at the end of the Minutes.)

O. Agreement between University of Kentucky and Kentucky Medical Services Foundations (PR 12)

President Todd recommended that the Board approve the agreement between the University of Kentucky and Kentucky Medical Services Foundation. He noted that this agreement is done annually. He called attention to the paragraph that states, "its modifications include updating the dates that reflect 2003-04 Revision of Section 6, B to establish the reimbursement rate for Diagnostic Radiology Services under this agreement, and substituting Executive Vice President for Health Affairs for Senior Vice President and Chancellor of the Medical Center." While it is a lengthy agreement, it is modifications that are brought to the Board to keep it in line with the present date, present title structure, and new radiation charges.

Mr. Reed entertained a motion of approval. Ms. Wilson so moved. Ms. Tobin seconded the motion, and it carried without dissent. (See PR 12 at the end of the Minutes.)

P. Appointment of Associate Vice President for Facilities (PR 14)

President Todd said that PR 14 is a recommendation that the Board approve the appointment of Bob Wiseman as Associate Vice President for Facilities effective May 15, 2003. Mr. Wiseman was an active member of Mayor Pam Miller's administration for many years in the area of Public Works. He comes to UK's campus to work with the consolidation of the operational organization of the Medical Center with the rest of the campus. He noted that other details of Mr. Wiseman's background are listed in PR 14.

Mr. Reed called for a motion of approval of PR 14. Ms. Wilson so moved. Mr. Miles seconded the motion, and it carried without dissent. (See PR 14 at the end of the Minutes.) Since PR 13 was skipped, Mr. Reed then asked President Todd to go back to PR 13.

Q. Waiver of Part X of the Governing Regulations Pertaining to the Employment of Relatives in the Case of Dr. Sandra D'Angelo (PR 13)

President Todd said that PR 13 is the recommendation that the Board authorize the employment of Sandra D'Angelo, who is the wife of Provost Mike Nietzel, for two years pursuant to the provisions contained in Part X of the Governing Regulations authorizing a waiver of the rules prohibiting employment of a relative of the Provost, the Vice President or the Associate Vice President in that officer's administrative area. When Dr. Nietzel became Provost, his wife, Dr. D'Angelo, had been a member of the faculty for some time. With the reorganization of the Medical Center, she now is under Dr. Nietzel's area of responsibilities. President Todd asked the Board to read PR 13 in detail. He pointed out that Dr. Nietzel will not be involved in any personnel action concerning Dr. D'Angelo to include her merit reviews, compensation, consideration for promotion, reappointment, academic assignment or any other matter involving her

employment or evaluation at the University. Either the President or the Executive Vice President for Health Affairs will assume all supervisory responsibilities.

Mr. Reed called for a motion of approval of PR 13. Dr. Meriwether moved approval. His motion, seconded by Ms. Wilson, carried without dissent.

R. Creation of Executive Vice President for Research and Appointment of Dr. Wendy Baldwin (PR 15)

President Todd said that PR 15 is a walk-on item for action by the Board. It was not in the original package that was sent to the Board. After having worked with Dr. Baldwin and having thought through the organizational structure, President Todd said that he would like the Board to approve the creation of the position of Executive Vice President for Research and appoint Wendy Baldwin as Executive Vice President. Her present title is Vice President of Research. President Todd said that this new position would allow him to put Vice Presidents under Dr. Baldwin as the organization grows. It also brings about equity. He recommended the creation of the new position and noted that there is no new compensation involved.

President Todd said that Dr. Baldwin was in Washington attending a reception for bio-tech companies and was not present at the meeting. He noted that Dr. Baldwin was able to get members of the National Institute for Health, the National Science Foundation, and the Department of Energy to attend the reception and hear about the things going on in Kentucky.

Mr. Reed asked for confirmation that the President was not going to give Dr. Baldwin a new title and take money away from her salary.

President Todd replied that was true.

Mr. Reed called for a motion of approval for PR 15. Professor Kennedy moved approval. Ms. May seconded the motion, and it carried without dissent. (See PR 15 at the end of the Minutes.)

Mr. Reed said that the Academic Affairs Committee report was next on the agenda. He said that he was asked to clarify that Ms. Sparks is the Chairperson of the Academic Affairs Committee. He explained that she made great efforts to rearrange her schedule to attend the meeting; however, she could not be there because she had family commitments that involved long-term travel plans. In the absence of Ms. Sparks, he called upon Barbara Young to give the Academic Affairs Committee Report.

S. Candidates for Degrees – Community College System (AACR 1)

Ms. Young said AACR 1 was on the consent agenda and asked if it needed a motion for approval because there were no other consent agenda items.

Mr. Reed stated that all the consent agenda items had already been approved.

T. Creation of a School of Human Environmental Sciences in the College of Agriculture; Elimination of College of Human Environmental Sciences (AACR 2)

Ms. Young said that AACR 2 is a recommendation for the creation of a School of Human Environmental Sciences (HES) in the College of Agriculture and the elimination of the College of Human Environmental Sciences. The recommendation is that the Board of Trustees approve the creation of the School of Human Environmental Sciences in the College of Agriculture. The School would include three existing units and all of their associated academic programs – the Department of Family Studies, the Department of Nutrition and Food Sciences, and the Department of Merchandising, Apparel and Textiles. With a transfer of these three units, the College of Human Environmental Sciences would be dissolved effective July 1, 2003. The Committee recommends that an immediate study begin to determine the optimal placement of the Early Childhood Program within the University structure. The Committee further recommends that quarterly reports on the findings of this study be reported to the Academic Affairs Committee. She moved that the Board accept the recommendation from the Committee, and after receiving the motion, she said that she would call on Dr. Nietzel to explain the background. She said she would then expand on the background and explain how the Committee worked at arriving at this particular decision. She recommended that AACR 2 be approved. Mr. Reed asked for a second to Ms. Young's motion. Ms. Smith Edge seconded the motion.

Ms. Young called for any questions and said that Provost Nietzel would explain the background. She said that it was a little complex for her, and she would rather Provost Nietzel go through all the ramifications.

Provost Nietzel said that the background on this proposal really originates back around the first of July 2001 with the appointment of the Futures Committee, a Committee that was charged with looking at academic priorities and possible reorganization of academic units in the University. A number of those recommendations have come forward. Some of those will be presented in the Strategic Plan today with respect to specific academic priorities the University will be pursuing. He noted that there were other recommendations having to do with academic reorganization. One of those was for the University to consider the reorganization of the College of Human Environmental Sciences into some other existing colleges. The Task Force formally presented that recommendation in March 2002.

Provost Nietzel explained that the first step in that reorganization was to take the Department of Interior Design which had been one of the units in Human Environmental Sciences and move it into what was then called the College of Architecture. That then created a College of Design that consisted of the School of Architecture, the School of Interior Design and a program in historic preservation. The Board acted upon that particular recommendation in October 2002. It was approved at that time by the Board.

There were then three units left in the College of Human Environmental Sciences and those were the Departments of Family Studies, Nutrition and Food Science and the Department of Merchandising, Apparel and Textiles. As a group, they were then administered by an Acting Dean, and that was Scott Smith, the Dean of Agriculture. And through that period up to today, there has been the development of proposals about the ultimate resolution of how to organize those three units.

The faculty and staff and the administration associated with those three units as well as constituents that remain very devoted and interested in the College of Human Environmental Sciences have worked on proposals on how to organize in the future. A variety of proposals were generated, but there were two that became crystallized as the alternatives. One of those proposals was that the Department of Family Studies would go to the College of Education and that the other two departments -- Nutrition and Food Science and Merchandising, Apparel and Textiles -- would stay in the College of Agriculture. The other proposal was that all three units would stay as they are now, together, essentially as a school that would exist in the College of Agriculture. Those two proposals were deliberated upon. They were modified, and they were then subjected to a variety of discussions and ultimately a series of votes by the affected faculty and staff.

He said that he would characterize those votes as resulting in the lack of a consensus on either one of the two proposals. They were very evenly divided. In some cases, there was a vote preference -- one vote preference for Proposal One. If you took a different group of people who were invited to vote, there was a majority vote for Proposal Two.

He reported that the two proposals were submitted to him on March 10th. He met with the faculty in those three units and explained to them that absent a clear consensus, it imposed a little bit higher burden for the administration to go ahead and make a recommendation. At that point his preference was for Proposal Two in which all three units would remain together as a School of Human Environmental Sciences in the College of Agriculture.

Provost Nietzel reported that he forwarded the proposals to the University Senate on April 29th and asked for input from the Senate. The Senate did an admirable job of providing input at the last hour, and the administration was attempting to get that input because of a concern that this process not take any longer than necessary, a view that all faculty in those three units had expressed a concern about. There needed to be a resolution on this matter.

The President of the Senate Council and the Chair of Senate Council, Jeff Dembo, convened the Committee on Academic Structure, and they forwarded a recommendation. They found that both proposals were acceptable for reorganization and that both proposals had involved the faculty fully. They expressed a preference for the proposal which would have the Department for Family Studies going to Education and

the other two units to the College of Agriculture. After careful consideration of that recommendation, as well as those from a host of constituents with an interest in the College, he said they continued to favor Proposal Two which would take all three units, retain them together and put them as a school in Agriculture. He noted that the reasons are outlined for that recommendation, and he would not go into those unless a member of the Board would like further elaboration of it.

He said that there were basically four reasons that they preferred that outcome, having to do with the sustaining of some complex curricula: the views that had been expressed by alumni and supporters of the College, the belief about how staffing could best be accomplished, and then also the history of success that the College of Agriculture has provided as an academic environment both for the beginnings of the College which is where it began over twenty years ago as well as many other units that have thrived in the Agriculture context.

He reported that the Academic Affairs Committee of the Board has had two meetings to come to this final resolution which he thinks the Administration believes is a very good one, and the notion that the administration would need to continue to monitor this reorganization and specifically look immediately at the issue of Early Childhood Education and its best location programmatically with quarterly reports due is also a good one that will help get to a resolution of that in a timely way. The resolution respects really what the priorities of the faculty and the staff and the students should be and find the best place for that program to exist. He said that concluded a summary of the history, and he would be happy to answer any questions.

Ms. Young said that she had a few additional comments. When the Academic Affairs Committee was presented with the recommendation, Chairperson Sparks really felt that the Committee was not in a position to make a recommendation without convening and gathering more information. She said the Committee met the previous week for several hours with interested parties including President Todd, Associate Provost David Watt because Provost Nietzel was out of town, Dr. Kim Townley, who is Executive Director of the Governor's Office of Early Childhood Development, Ray Forgue, Family Studies faculty, Dean James Cibulka of the College of Education and Liz Demoran of the Alumni Association.

The Committee had a wide-range discussion during which it heard from Associate Provost David Watt concerning the preferences of the faculty of the College of Human Environmental Sciences for Proposal Two. The Committee also learned that out of fifteen tenured faculty in Family Studies, eleven voted for Proposal One and four for Proposal Two. The Committee also heard from Dr. Kim Townley, the Director of the Commission on Early Childhood Development. Dr. Townley reported that on national and state levels, she sees Early Childhood Programs moving to Education. President Bush is moving Early Childhood and Head Start from Health and Human Services into Departments of Education on the Federal level. In the next few months, the Governor will be moving the Office of Early Childhood Development from his office to the Department of Education. Dr. Townley also reported that recently the administration of

the University of Kentucky Extension Services had notified her that they will no longer train early childhood teachers. Clearly, the trend is moving towards the inclusion of early childhood education into departments and offices of education.

The Committee then discussed the pros and cons of moving Early Childhood components of the Department of Family Studies to Education and the rest of the Family Studies program with the other HES departments to Agriculture. President Todd suggested proceeding with moving the College of HES to the College of Agriculture but to add a recommendation that a parallel review of Early Childhood components begin immediately. This review would look at the state and national trends to determine the best fit for Early Childhood Education. The Committee quickly agreed that this compromise approach seemed the most likely to produce a good acceptable outcome for HES. That is how the Committee arrived at its decision. The Committee looked at the various components and decided that it was not totally comfortable with sending HES to Agriculture without carefully examining the Early Childhood components over a period of time. In her opinion, it was a very thoughtful decision. She noted that it is an emotional issue, and the Committee consulted with a lot of people. She said that she knew there were a lot of constituencies involved in it. The Committee feels comfortable, and, in her opinion, the administration feels comfortable that the University should end with a positive outcome from this.

Mr. Reed added that he had received an overwhelming number of emails and letters, including phone calls in the last couple of weeks. He said he found that very encouraging, and he welcomed it. He reported that he had received more than he could respond to. He had several conversations with Chairperson Sparks on this issue and was very pleased with her meeting last week. This is something that is very important to a lot of people, including Ms. Smith Edge, Ms. Sparks and every member of the Committee. He reported that he and Ms. Smith Edge even met in person and discussed it. This has been a lengthy process. You often do not know whether an answer is right or wrong, and sometimes there is not necessarily a right or wrong answer. He said he was very pleased with how hard the Committee worked to make an informed decision. He was very pleased with how conscientious this decision is and the effort that went into gathering information to make the decision and not just make it and be through with it. Rather, make a decision and continue watching it and continue to make sure that it is the right decision as the University goes forward because it affects some very precious resources and very precious lives that are important to the Board. Mr. Reed said there was a motion and a second. He called for a vote.

Mr. Shoop said that he would like to make one quick comment. He said that he supported the move, and he would like to go on record saying that he wanted the Board to stay focused on this re-evaluation of the Early Childhood Development component with the State and their focus on moving more and more into the education area. In his opinion, that is where the University probably needs to wind up with this one component. He emphasized that the Board needs to monitor this, stay focused on this, and try to move that part along with the State's focus.

Ms. Smith Edge said that she would like to also make a quick comment. As an alum, she is officially saddened by dissolving the College of Human Environmental Sciences, but at the same time, she does approve of the recommendation of moving the creation of the School of Human Environmental Sciences into the College of Agriculture. It does keep the intent of the Human Environmental Sciences programs as it relates to its outreach mission, grant mission and connection with the Cooperative Extension Service and recognize that as the University moves forward nationally and with the states with Childhood Development, it is important that everyone respect the changing environment as well and that the Board begin the evaluation. She thanked the Committee, all the Board and all the constituents. This was a very passionate and definite issue, and everyone had a very vested interest. But overall, what is best for the University is really where the Board needs to look. This recommendation does that.

Professor Kennedy said that he wanted to call attention to the last sentence in the background that states that there would be a thorough review of the School of Human Environmental Sciences within the two years in order to assess the impact of this entire reorganization and make necessary changes in response to the review that was based on a recommendation from the Senate Council. In addition to Early Childhood being looked at immediately, the entire School will be examined in two years.

Dr. Jones said he wanted to echo the sentiment that he very much appreciated Ms. Spark's effort last week in making it a very substantive Committee meeting. All parties had the opportunity to put their views out. As a faculty member, he especially appreciated that the effected faculty in the Early Childhood program there were given a chance to discuss how they would be affected by this decision and the invitation for them to continue to be able to have input in this review process.

Mr. Reed repeated that there was a motion and a second to the motion. He called for a vote, and the motion carried without dissent.

President Todd mentioned that there were numerous graduates and alumni of HES at the meeting. He expressed appreciation for their support and asked for their continued support. He thanked them for attending the meeting.

Ms. Tobin said that she would like to make one comment. She said that she is also an alum of the School of Home Economics when it was part of the College of Agriculture. She appreciated her affiliation with that College then, and she thinks that it can have a bright future.

Mr. Reed said that concluded the Academic Affairs Committee Report, and he called upon Dr. Stumbo for the Finance Committee Report.

U. Finance Committee Report

Dr. Stumbo, in the absence of Chairperson Paul Chellgren, said FCR 1 is the Operating Budget for Fiscal Year 2003-2004. The Committee voted to delay their

discussion and ask President Todd to make one presentation at the Board meeting. He said President Todd would talk about PR 10 – Strategic Plan and then present FCR 1.

V. 2003-06 Strategic Plan – The Dream and The Challenge (PR 10)

President Todd said that he would present the Strategic Plan first -- The Dream and The Challenge. He said that he thinks The Dream is the Strategic Plan, and The Challenge is the budget presentation. He thanked all of the faculty and staff that had input into the process. In particular, he thanked Connie Ray and Angie Martin for their tremendous job in pulling it together and putting it into a readable form. He also thanked Barbara Young for serving on the Strategic Planning Committee as a Board representative.

Through a series of slides, he presented the following Strategic Plan:

Presenting

University of Kentucky's 2003-06

Strategic Plan:

The Dream &

the Challenge

and

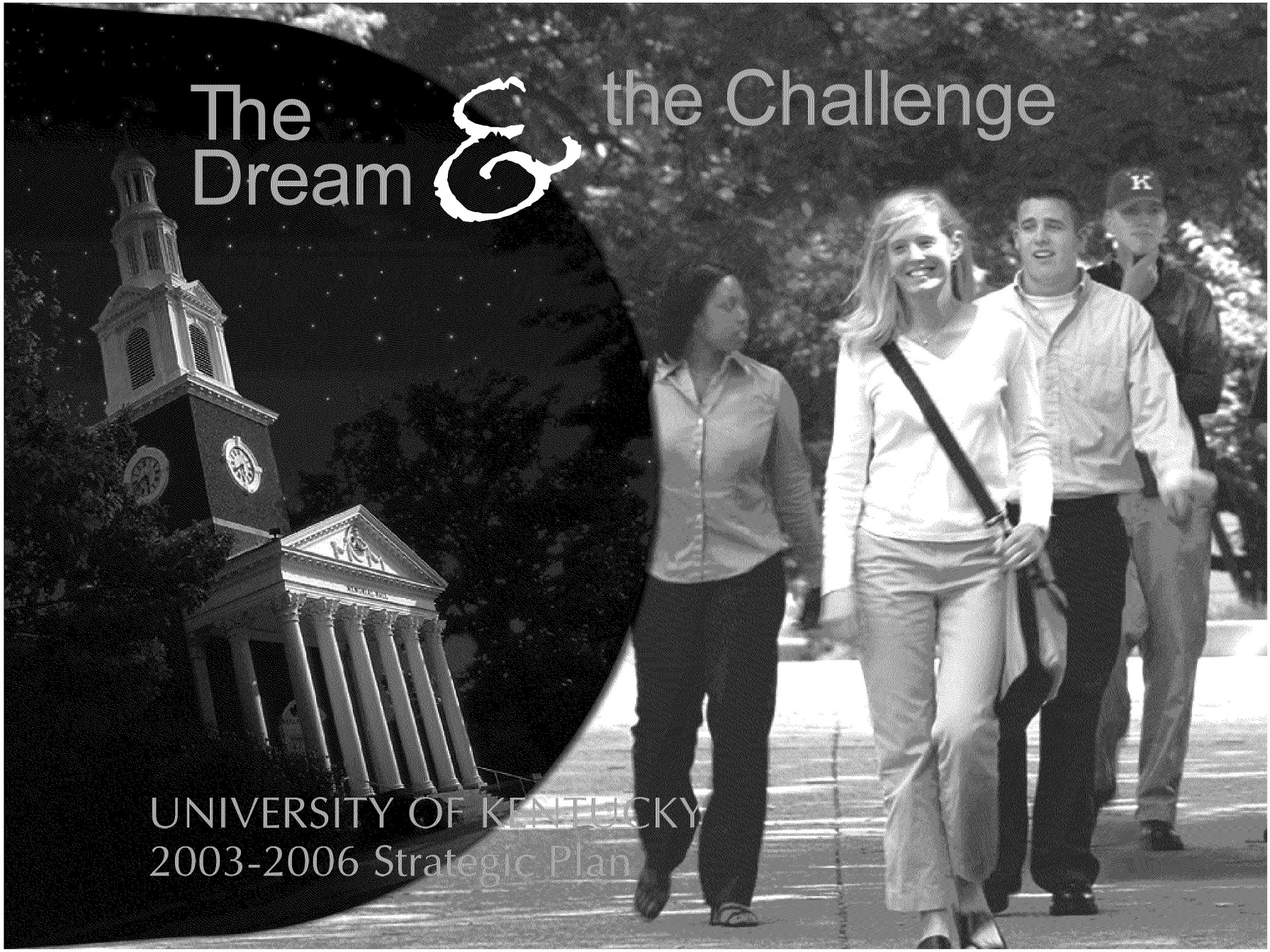
University of Kentucky's 2003-04

Operating Budget

UNIVERSITY OF KENTUCKY

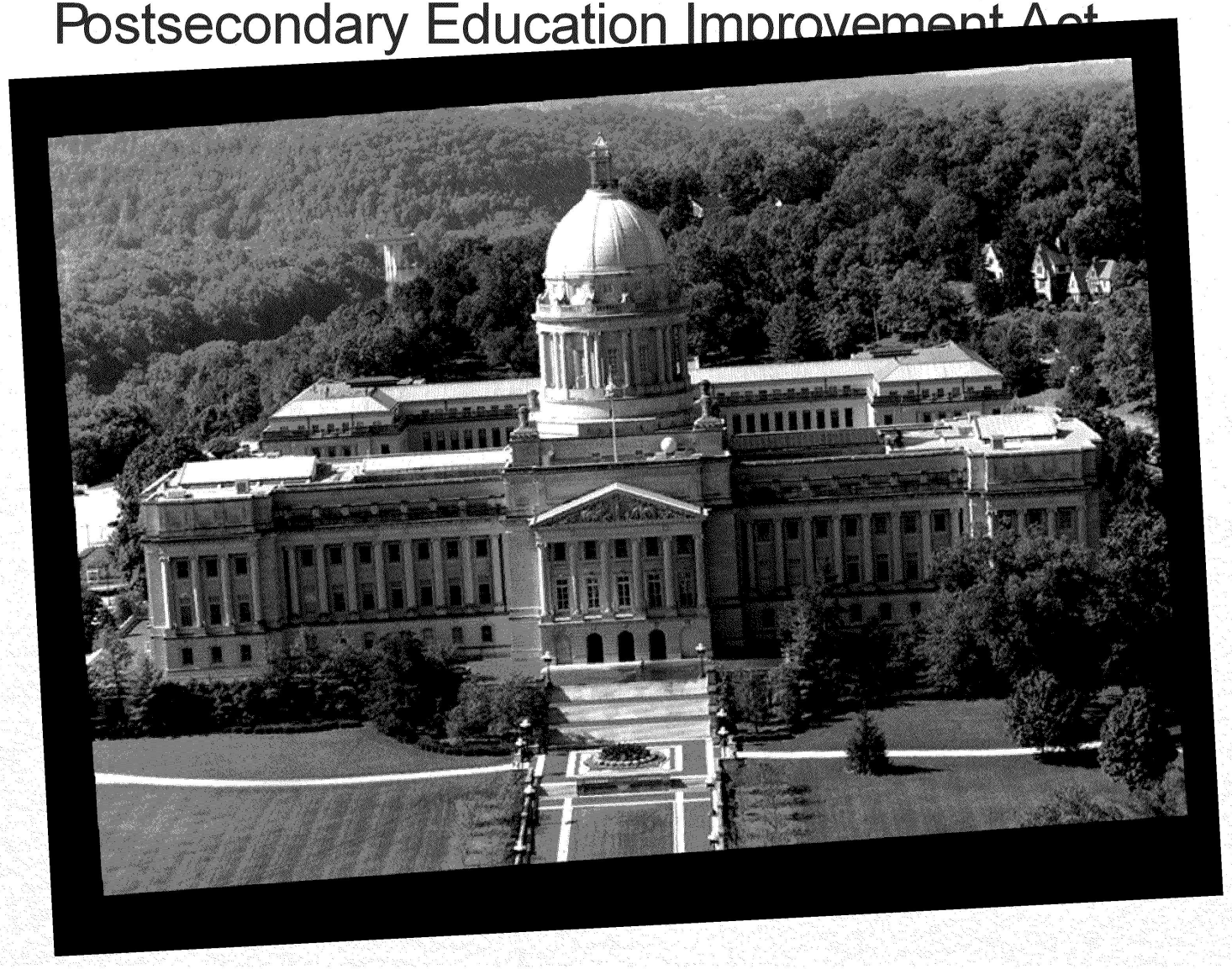
An equal opportunity university




The Dream & the Challenge
UNIVERSITY OF KENTUCKY
2003-2006 Strategic Plan

1997 House Bill 1

Postsecondary Education Improvement Act



1997 House Bill 1

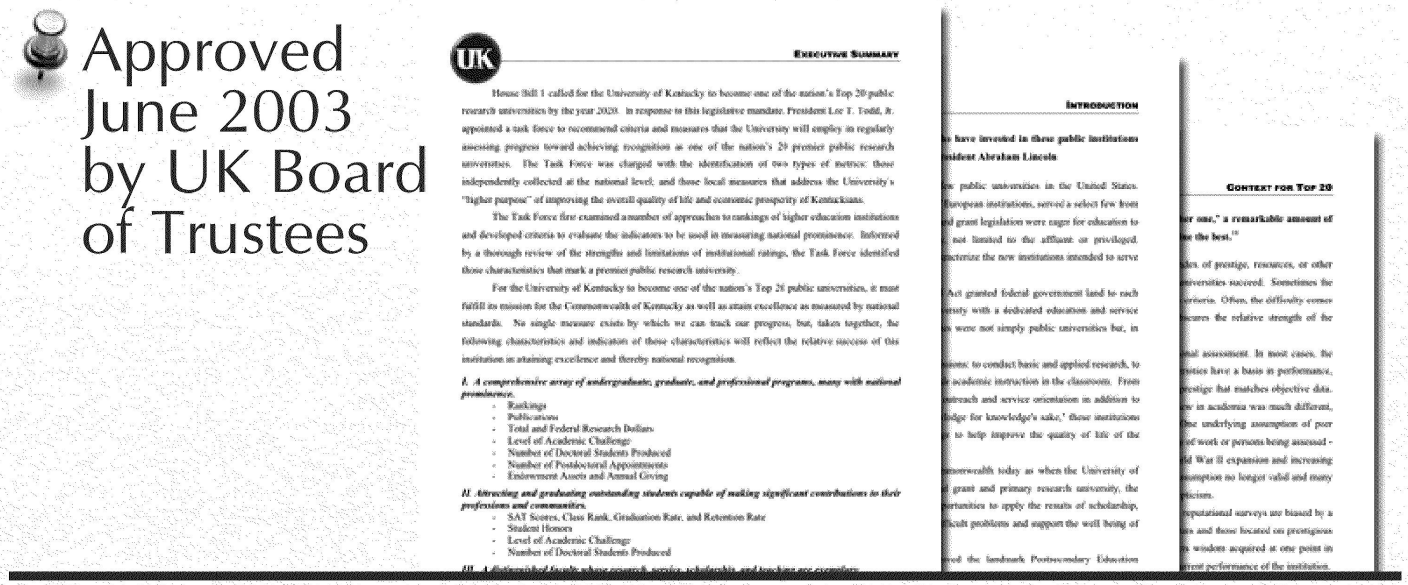
Postsecondary Education Improvement Act

"National recognition is not an end in itself; rather it is a product of excellence in fulfilling our mission and serving the Commonwealth."

–Lee T. Todd Jr.

Dream and Challenge Process

- Task Force on University of Kentucky Futures: March 2002 Report
- President's Top 20 Task Force: April 2002 Report
- Strategic Plan Steering Committee: June 2003 The Dream and the Challenge
- Approved June 2003 by UK Board of Trustees



EXECUTIVE SUMMARY

House Bill 1 called for the University of Kentucky to become one of the nation's Top 20 public research universities by the year 2020. In response to this legislative mandate, President Lee T. Todd, Jr. appointed a task force to recommend criteria and measures that the University will employ in regularly assessing progress toward achieving recognition as one of the nation's 20 premier public research universities. The Task Force was charged with the identification of two types of metrics: those independently collected at the national level; and those local measures that address the University's "higher purpose" of improving the overall quality of life and economic prosperity of Kentuckians.

The Task Force first examined a number of approaches to rankings of higher education institutions and developed criteria to evaluate the indicators to be used in measuring national prominence. Informed by a thorough review of the strengths and limitations of institutional ratings, the Task Force identified those characteristics that mark a premier public research university.

For the University of Kentucky to become one of the nation's Top 20 public universities, it must fulfill its mission for the Commonwealth of Kentucky as well as attain excellence as measured by national standards. No single measure exists by which we can track our progress, but, taken together, the following characteristics and indicators of these characteristics will reflect the relative success of this institution in attaining excellence and thereby national recognition.

I. A comprehensive array of undergraduate, graduate, and professional programs, many with national prominence.

- Rankings
- Publications
- Total and Federal Research Dollars
- Level of Academic Challenge
- Number of Doctoral Students Produced
- Number of Postdoctoral Appointments
- Endowment Assets and Annual Giving

II. Attracting and graduating outstanding students capable of making significant contributions to their professions and communities.

- SAT Scores, Class Rank, Graduation Rate, and Retention Rate
- Student Honors
- Level of Academic Challenge
- Number of Doctoral Students Produced

III. A diversified faculty, whose research, service, scholarship, and teaching are exemplary.

INTRODUCTION

CONTEXT FOR TOP 20

Priority Areas for Future Development

- Medical areas
  - Cardiovascular sciences
  - Cancer
  - Infectious disease
  - Neurosciences
  - Pharmaceutical sciences and toxicology
- Cultural studies of the Americas
- Digital and nanotechnologies
- Environmental and energy studies
- International studies
- Plant bioengineering
- Public policy
- Risk-related behavioral sciences
- Teacher preparation
- Vocal music and performance

Strategic Plan Organization



- There are six goals
- Each goal is described by objectives
- Key indicators will measure the success

Our Goals

I. Reach for National Prominence

II. Attract and Graduate Outstanding Students

III. Attract, Develop and Retain a Distinguished Faculty

IV. Discover, Share and Apply New Knowledge

V. Nurture Diversity of Thought, Culture, Gender and Ethnicity

VI. Elevate the Quality of Life for Kentuckians

Goal I: Reach for National Prominence

OBJECTIVES

1. The University will increase the prominence of faculty scholarship.

2. The University will enhance the excellence and sustainability of the clinical enterprise.

3. The University will increase its resources in order to offer high-quality instructional, research, and service programs.

4. The University will strengthen the link between funding decisions, plans and results.

5. The University will streamline its business and information technology services.

Goal I Key Indicators:

By 2006, we will:

A. Increase from 10 to 15 the number of nationally ranked academic programs, according to the National Institutes of Health (NIH), the National Research Council (NRC), or U.S.News & World Report.

B. Increase health affairs clinical income by 10 percent.

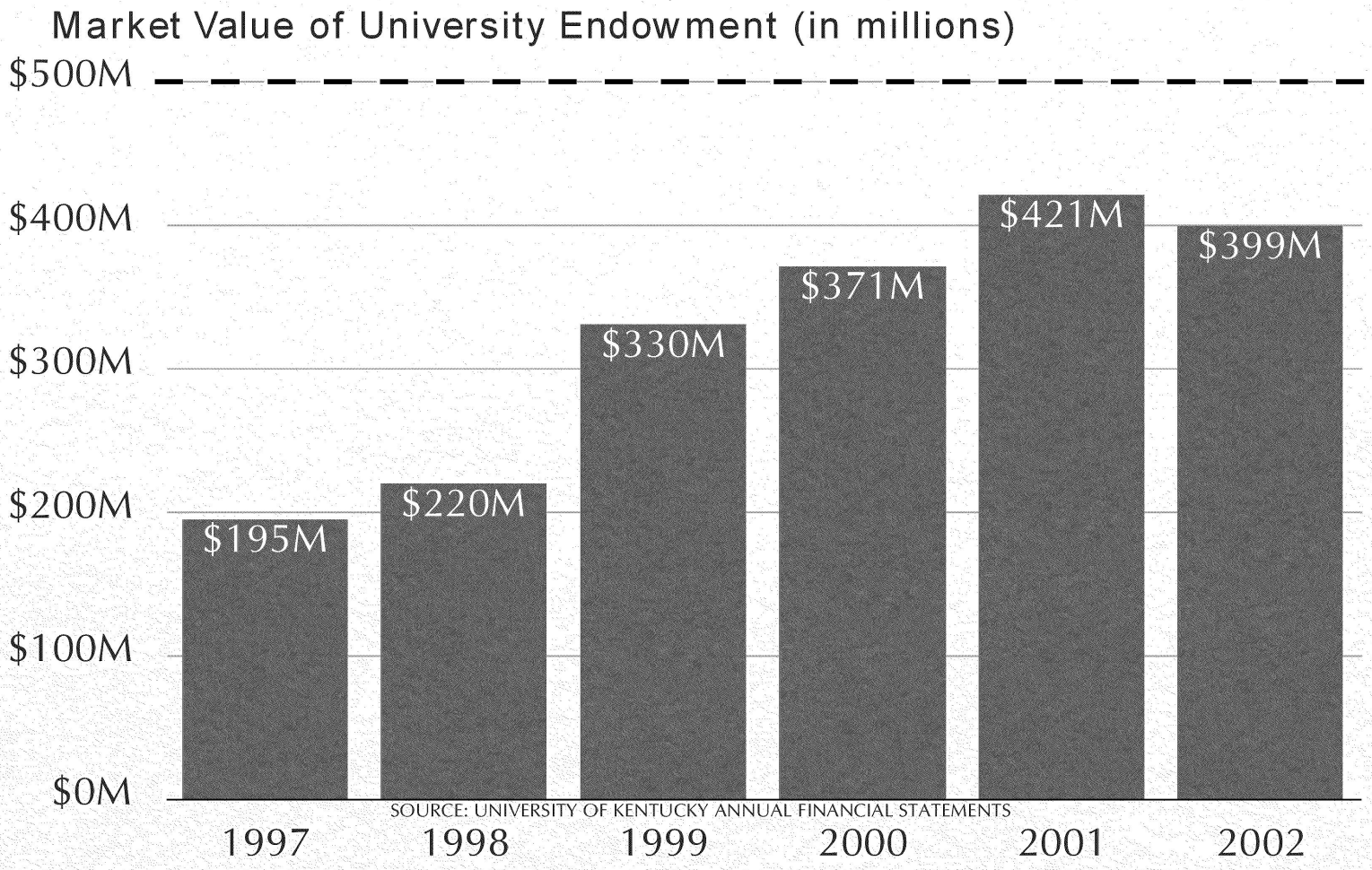
C. Identify four clinical target programs and implement at least two new related business plans.

D. Increase the market value of the University's endowments to $500 million through fund raising focused on the priority research areas identified in the Commonwealth's Strategic Plan for the New Economy.

E. Redesign business and clinical processes as part of the replacement of the financial, human resource, student and health enterprise information systems.

Goal I: Reach for National Prominence

Key Indicator D: Increase the market value of the University's endowments to $500 million.



SOURCE: UNIVERSITY OF KENTUCKY ANNUAL FINANCIAL STATEMENTS

Goal II: Attract and Graduate Outstanding Students

OBJECTIVES

1. The University will admit and enroll an increasingly higher caliber of student.

2. The University will collaborate with Kentucky's other postsecondary education institutions to facilitate success for transfer students.

3. The University will engage students in rigorous educational programs and provide an environment conducive to success.

Goal II Key Indicators:

By 2006, we will:

A. Increase the middle 50 percent range of ACT scores for incoming freshmen to 23-28, as reported to U.S.News & World Report.

B. Achieve enrollment and community college transfer goals established in collaboration with the Council on Postsecondary Education.

C. Open a new undergraduate residence hall, designed as a 21st century living/learning community.

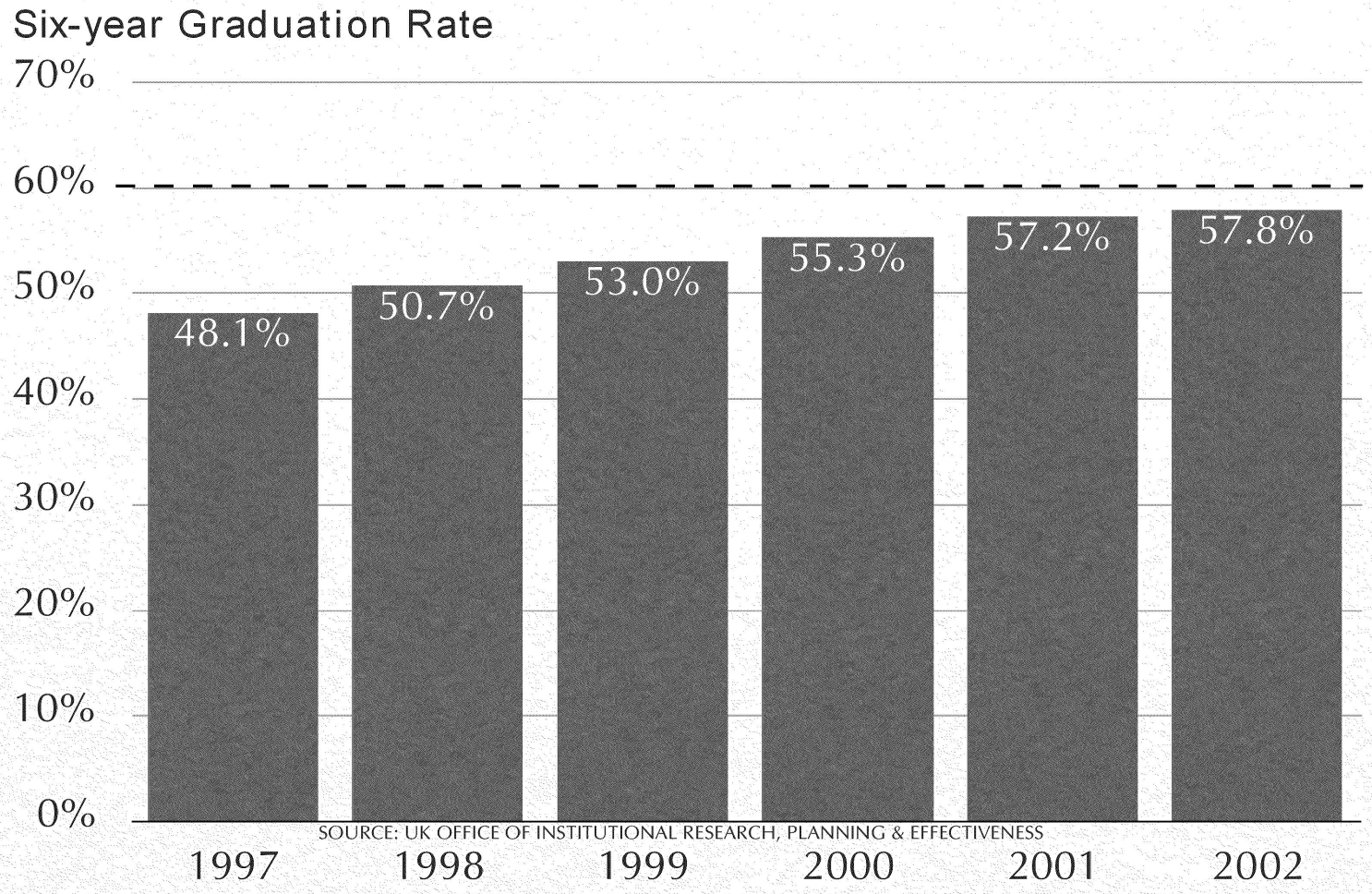
D. Increase the first-to-second year retention rate of first-time, full-time, degree-seeking students to 83 percent.

E. Exceed the predicted levels of attainment on indicators of quality undergraduate education, as reported by seniors on the National Survey of Student Engagement (NSSE).

F. Increase the six-year graduation rate to 60 percent.

Goal II: Attract and Graduate Outstanding Students

Key Indicator F: Increase the six-year graduation rate to 60 percent.



SOURCE: UK OFFICE OF INSTITUTIONAL RESEARCH, PLANNING & EFFECTIVENESS

Goal III: Attract, Develop and Retain a Distinguished Faculty

OBJECTIVES

1. The University will offer competitive salaries and benefits to attract and retain distinguished faculty.

2. The University will offer competitive salaries and benefits to attract and retain superior staff.

3. The University will institute policies to attract and retain a distinguished faculty.

4. The University will strengthen support to faculty and staff.

5. The University will increase the recruitment and support of high-profile faculty capable of conducting influential scholarship and leading major scholarly initiatives.

Goal III Key Indicators:

By 2006, we will:

A. Increase the average faculty salary to at least 90 percent of the benchmark median.

B. Develop institutional policies and procedures that promote recruitment and retention of faculty and staff.

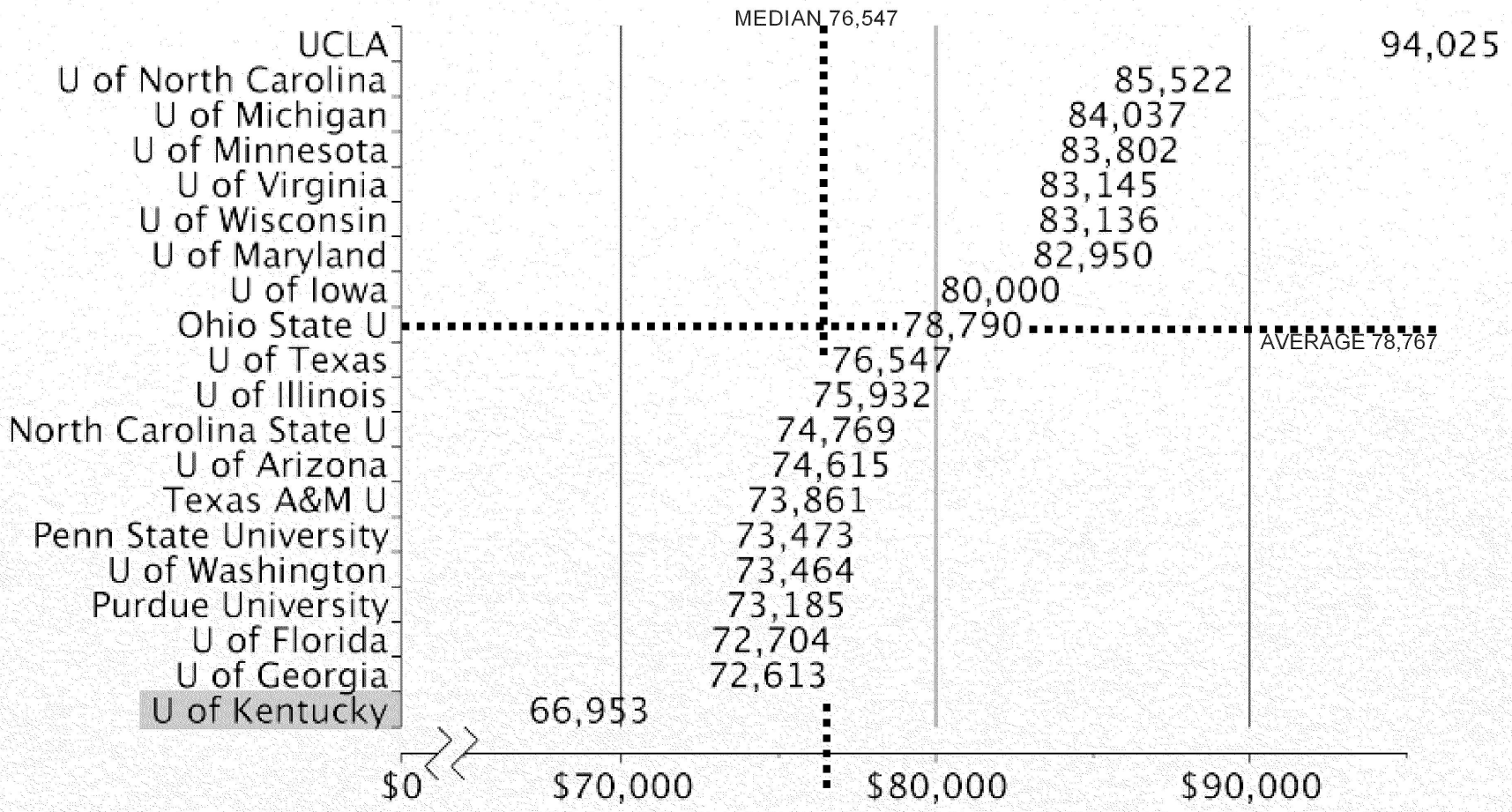
C. Increase by three the number of active or emeritus faculty with membership in the National Academy of Engineering, National Academy of Sciences, or the Institute of Medicine.

D. Increase from 12 to 15 the number of nationally recognized awards and honors earned by faculty.

Goal III: Attract, Develop and Retain a Distinguished Faculty

Key Indicator A: Increase the average faculty salary to at least 90 percent of the benchmark median.

UK Benchmark Average Faculty Salary All-Ranks 2002-03



*Source: Integrated Postsecondary Education Data Systems (IPEDS) Survey of Instructional Faculty Salaries by Rank-Overall Chart: M.Denomme

Goal IV:
Discover, Share and Apply New Knowledge

OBJECTIVES

1. The University will aggressively pursue targeted strategies to increase extramural research funding.

2. The University will provide the facilities and equipment necessary to enhance research capacity.

3. The University will cultivate the success of diverse efforts in scholarly achievement and research.

4. The University will attract and enroll highly qualified doctoral students and postdoctoral scholars.

Goal IV Key Indicators:

By 2006, we will:

A. Increase federal research expenditures, as reported in the National Science Foundation Survey of Research and Development Expenditures at Universities and Colleges, to at least $140 million.

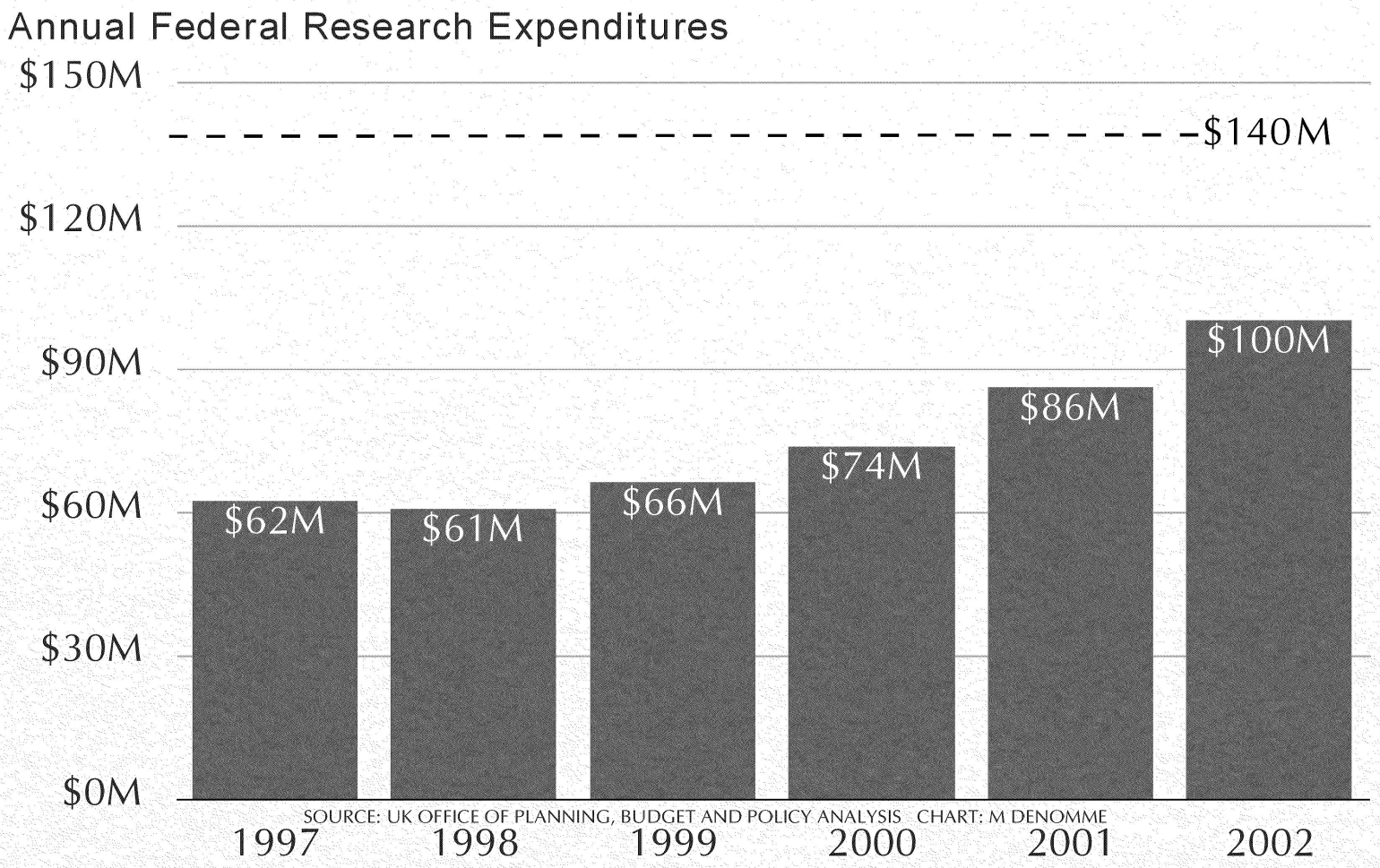
B. Secure authorization for an additional state-of-the-art research facility in support of the growth plan for research facilities as defined in the University's Physical Development Campus Plan.

C. Increase doctoral degree enrollment from 2,154 to 2,350; and increase doctoral degree production from 216 to 250.

D. Increase postdoctoral scholars from 232 to 275.

Goal IV: Discover, Share and Apply New Knowledge

Key Indicator A: Increase federal research expenditures, as reported in the National Science Foundation Survey of Research and Development Expenditures at Universities and Colleges, to at least $140 million.



Goal V: Nurture Diversity of Thought, Culture, Gender and Ethnicity

OBJECTIVES

1. The University will improve the climate for diversity.

2. The University will create a diverse workplace and learning community.

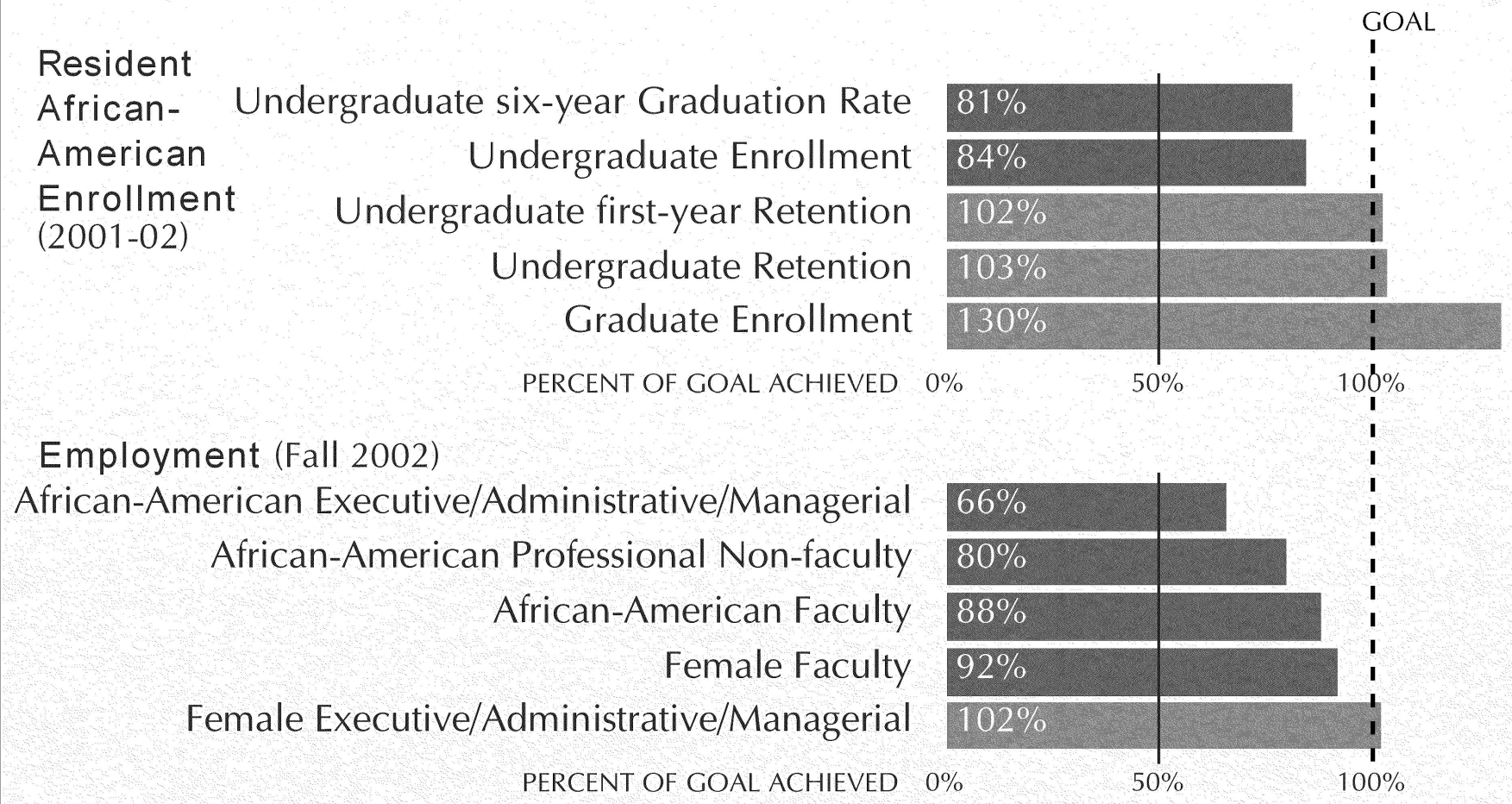
Goal V Key Indicator

By 2006, we will:

Meet the enrollment and persistence goals of the Kentucky Plan for Equal Opportunities in Higher Education and the employment goals of the University Affirmative Action Plan.

Goal V: Nurture Diversity of Thought, Culture, Gender and Ethnicity

Key Indicator: Meet the enrollment and persistence goals of the Kentucky Plan for Equal Opportunities in Higher Education and the employment goals of the University Affirmative Action Plan.



SOURCE: UK OFFICE OF EQUAL OPPORTUNITY CHART: M. DENOMME

Goal VI:
Elevate the Quality of Life for Kentuckians

OBJECTIVES

1. The University will engage its people and resources in a renewed commitment to outreach.

2. The University will lead in the delivery of specialty care in select clinical areas.

3. The University will accelerate industry-funded research and partnerships, technology transfer, and business development to advance Kentucky's economy.

4. The University will expand utilization of its cooperative extension network to improve the quality of life for all Kentuckians.

Goal VI Key Indicators:

By 2006, we will:

A. Develop and implement a plan for University engagement that integrates service into the curriculum and recognizes faculty engagement.

B. Secure authorization and design a strategic clinical facility.

C. Increase industry-funded research expenditures to $25 million.

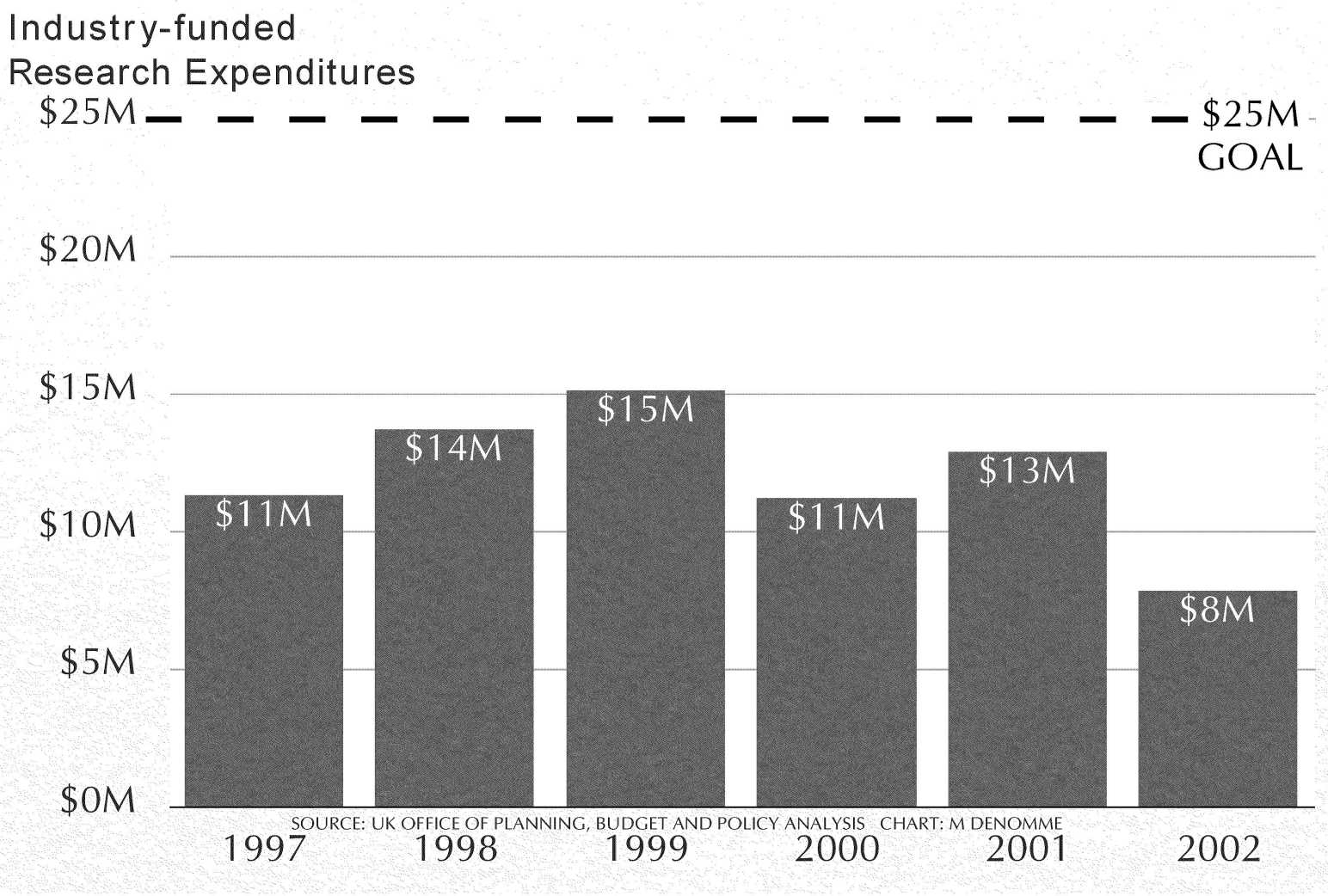
D. Increase the number of patent applications by 10 percent.

E. Increase the number of start-up companies to two per year.

F. Increase public service expenditures, particularly extramural grant-supported expenditures, in areas critical to improving the lives of Kentuckians.

Goal VI: Elevate the Quality of Life for Kentuckians

Key Indicator D: Increase industry-funded research expenditures to $25 million.



Resources and Infrastructure Needed to Reach Top 20

Enrollment growth

More faculty

Research space and facilities

Educational facilities – classrooms and residence halls

Support staff for additional faculty and students

Library support

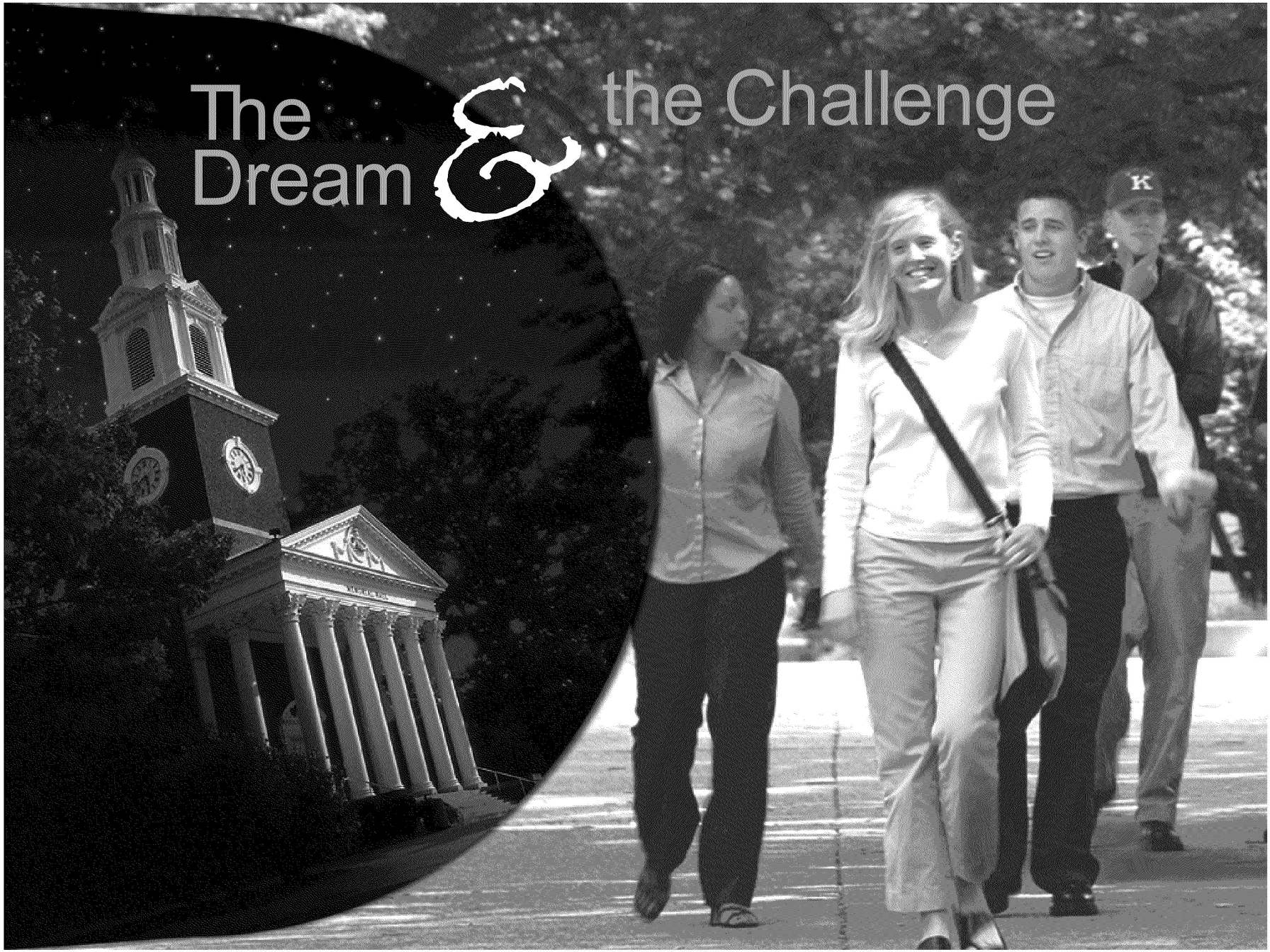
Communications technology

Academic and administrative computing

Financial support for students

Research and teaching equipment

Compensation


The Dream & the Challenge

Information on these slides was prepared to support an oral presentation and cannot be considered complete without that discussion.

UNIVERSITY OF KENTUCKY



In conclusion, President Todd said that the administration is making some headway and feeling good about it. He recommended that the Board approve the Strategic Plan as a working document and a way to focus the resources for the University.

Mr. Reed thanked President Todd for his presentation and called for a motion to approve PR 10. Mr. Williams moved approval. Ms. Young seconded the motion, and it carried without dissent. (See PR 10 at the end of the Minutes).

Mr. Wilcoxson asked President Todd if there were seventeen more years for reaching Top Twenty status.

President Todd said that was the target set in House Bill 1. This is a three-year plan that illustrates how we march our way to that goal.

Mr. Wilcoxson said, "…you can do anything you want to if you've got the money to do it with." He then asked, "Do we have any idea of what we're projecting in the way of dollars to do all of these things?"

President Todd replied, "Not in total, Mr. Wilcoxson. The Legislature has not thought about a funding path. The Legislature can only commit money two years at a time. So, nobody has really looked at the total for that." He said that the university presidents have spoken about the fact that they need to amalgamate their numbers to say what they need to do in order to achieve the purposes that they have laid out for them because it is significant. He noted that there are a lot of higher education institutions in other states that are being treated worse than Kentucky institutions right now, meaning that they are getting cut more. He said that he quickly reminds people that Kentucky is a state that is being asked to catch up and pass a few people. It is not a state that is saying we will continue to do what we have been doing. House Bill 1 made a promise to the people of Kentucky that the University of Kentucky is going to accelerate research and college education, and we need to keep a focus on that.

It has been said that the University of Kentucky has been referred to as having the perfect storm brewing, but the perfect storm is the fact that a lot of legislators are feeling a very strong compulsion to support K through 12. We are here to keep the dream of KERA alive. The Legislature lives out there in the communities. They see the superintendents. They see their kids go to the schools, and they feel the every day pressure. Therefore, they are putting more money in and trying to protect K through 12 funding. What are they preparing them to do? They are preparing them to go to college to improve the college going rate or the postsecondary going rate. The perfect storm edge of that is that they are not investing in higher education across the nation. That has been cut back across the country as the revenues have fallen short. So, the perfect storm comes in. The year of 2009 very likely will have the largest high school graduating class ever in the history of the United States because the reflection of the baby boom. It will probably be the most minority content that they have ever had in a graduating class. They will be less prepared than what we may have had in the past. And so, there is a storm brewing. We need to make sure that we fund education. A second thing that

happened is that not only have they not thought about funding of the 80,000 students that they want in the postsecondary system, but in addition to that, the University has the third round of "Bucks for Brains" coming up, and the idea about facilities has not been coincidental with that. The University needs to have facilities if it is going to attract the people. The University needs to invest in the kind of facilities that will allow it to attract the kind of people and retain the kind of people that can help drive the research to a "Top 20" institution. Those two things are not thought through. With the legislative session meeting annually, there is no ten-year or twenty-year funding plan.

President Todd said that he wished the University had been able to have additional investment venture. The University could have passed more people on the road to success on this "Top 20" mission if it had additional funding this year. The cutbacks that the University faced are a bit of a challenge. He said that he is proud of the people at the University that kept their heads up, but a perfect example is the salary chart. We can meet our goal, and we think it is a bit of a stretch to get to the 90th percentile of the median, but we may still be last in our benchmarks because you cannot hold everybody else still. So, it is a challenge. It is unfortunate that the vision for education in Kentucky came about at the same time as the failure of the economy of the nation. And, we have not stepped up yet to replace the shortfall of revenues and that is something that we need to be talking about with both gubernatorial candidates and the next Legislative session.

President Todd said that they have to go out and find more money. Somebody said that the future of the State cannot generate enough money to really push UK to national prominence. It can help, and UK should get its fair share. What is going to push UK to national prominence is to find the funding, to find the grant support, to do the corporate deals, and to do the kind of things to find that extraordinary income to push UK forward both on a federal and state level.

Dr. Jones said he was glad to see that when the Board adopts this it will be adopting an expression of policy that is seeking to ease the transition of the new assistant professors into the system so they can get their programs launched and go after these extramural funds that President Todd is talking about, seeking their development and their retention. That is going to be very important. We invest a lot into new faculty for them to get their programs going that will help them return the money to the University. He said that he watched the PR 2's very closely, and there are a number of tenures and promotions that show success in that area, but there are some terminal appointments in there where these efforts were not successful. When he looks back over the past, he sees some colleges are having more problems with that than others. Maybe there is a merger of two good policy weather patterns here. The University is adopting the Strategic Plan at the same time it's going to a Provost system.

President Todd said that it is a lot cheaper for the University to keep its best faculty and retain them so that is an important piece. Dr. Baldwin is quite interested in cultivating young faculty members and getting the proposals out there to help build their

reputation and their career. It helps the University offset the costs, but it also helps the young faculty in the process of beginning their careers which is also important.

Mr. Reed said that there was a motion and second, and he called for a vote. The motion carried without dissent. (See PR 10 at the end of the Minutes.)

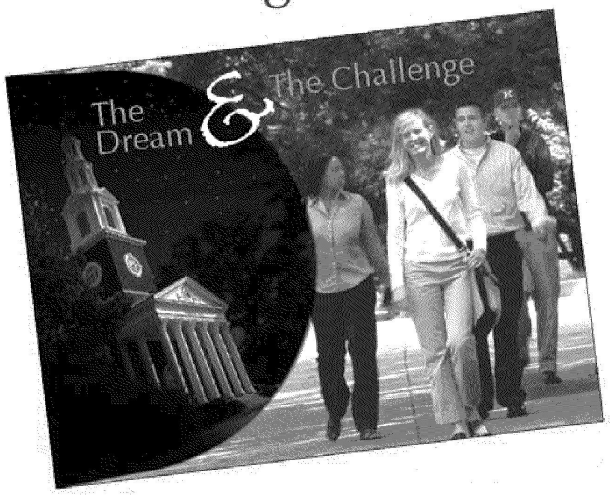
W. Operating Budget for Fiscal 2003-04 (FCR 1)

President Todd said that the Budget does reflect the Strategic Plan and pointed that out as he and Provost Nietzel went through the following series of slides.



1

The budget reflects the Strategic Plan…



2

ting Budget 2003–04:

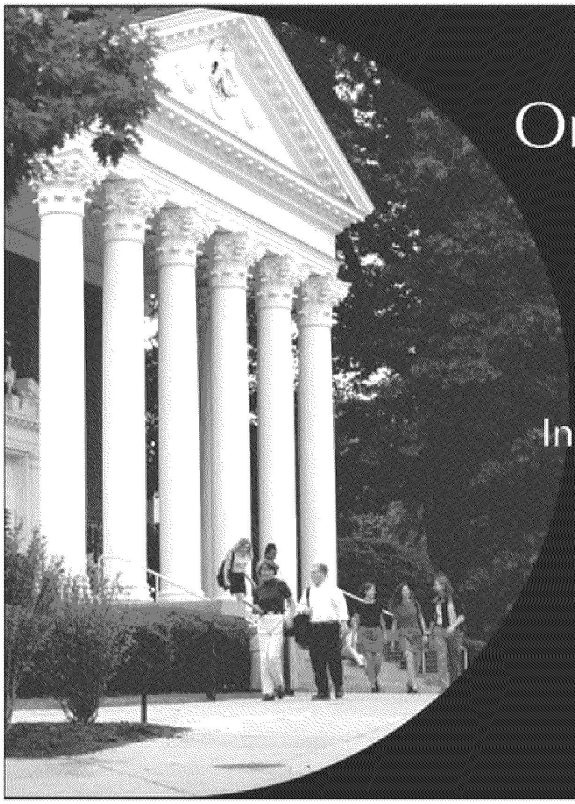
Fund a modest salary increase

health
nce cost increases to our

olarships to
students on both a need- and

Fund program improvements

3



One University

Implementation of
the Provost model

Integration of Medical
Center Operations

Athletic Support
of Academics

4

Current Budget Environment

- **Reduction in State Appropriations:**
  FY 04 will be the third consecutive year of state appropriation reductions
- **Increasing Enrollments:**
  student head count enrollment is up over 9 percent since Fall 2000
- **Internal Reallocations**
  $7.1million in internal reallocations
- **Provost Model Reorganization/ Medical Center Integration:**
  many support functions performed by the UK Chandler Medical Center were integrated with similar units in the rest of campus, and some units were eliminated. Cost savings: $2 million
  - ➤ 5 offices were eliminated
  - ➤ 29 offices were merged
  - ➤ 89 positions were eliminated

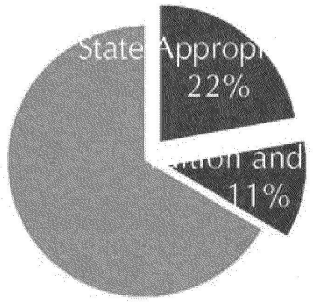
University of Kentucky 2003-04 Operating Budget Revenue Sources and Budget Objectives

	University		LCC	
Revenue Sources	Recurring	Nonrecurring	Recurring	Nonrecurring
State Appropriations	(4,869,000)		549,400	
Loss of Earnings from Investment Income	(3,049,800)			
Tuition	16,496,300		1,701,200	
Reallocation & Provost Model Savings	9,107,900			
Service Assessment Increase	1,950,000		(685,000)	
Nonrecurring Funds		3,210,700		83,800
TOTAL REVENUE SOURCES	19,635,400	3,210,700	1,565,600	83,800

Uses of Funds	Recurring	Nonrecurring	Recurring	Nonrecurring
Salary/Wages	9,411,200		408,500	
Health Insurance	2,535,500		94,900	
Program Improvements	4,070,400	2,210,700	1,052,700	
Scholarships	3,618,300	1,000,000	9,500	83,800
TOTAL USES OF FUNDS	19,635,400	3,210,700	1,565,600	83,800

Fiscal Year 2004 Revenues

	MILLIONS
State Appropriations	$308.5
Tuition and Fees	157.3
Hospital	319.0
Gifts, Grants and Contracts	107.4
Other	127.3
Restricted Funds	112.1
Affiliated Corporations	260.0
TOTAL	$1,391.6



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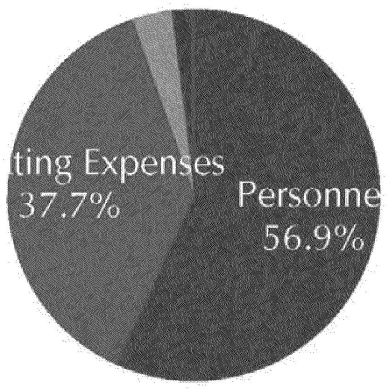
FY 2004 Expenses by Natural Category
Total Budget: $1.392 Billion

	MILLIONS
Personnel	$792.2
Operating Expenses	524.6
Capital Outlay	46.7
Mandatory Transfers Debt Services	28.1
TOTAL	$1,391.6



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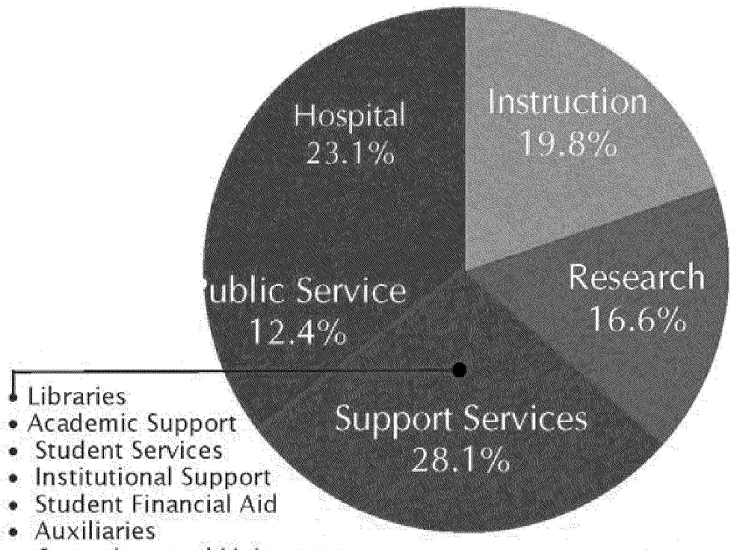
FY 2004 Expenses by Function

Total Budget: $1.392 Billion



- Libraries
- Academic Support
- Student Services
- Institutional Support
- Student Financial Aid
- Auxiliaries
- Operations and Maintenance
- Mandatory Transfers–Debt Service

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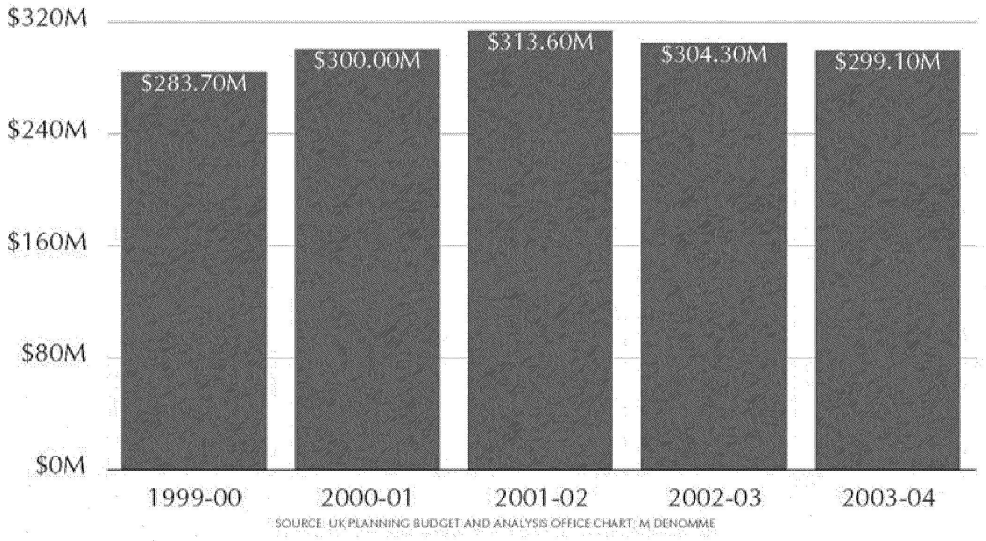
State Appropriations: University

IN MILLIONS



SOURCE: UK PLANNING BUDGET AND ANALYSIS OFFICE CHART: M DENOMME

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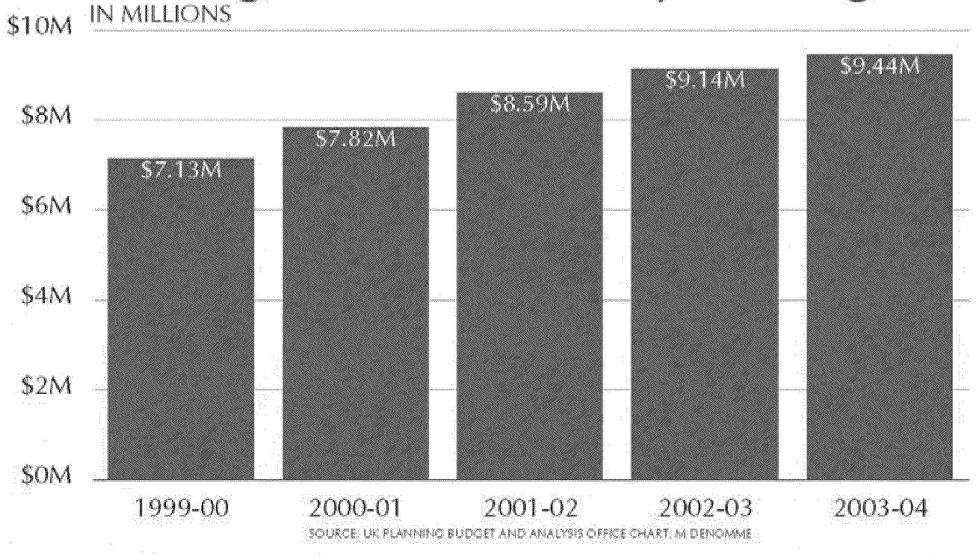
Total State Appropriation Cuts

FY:	2001-02	2002-03	2003-04	Total
	($6.0)	($6.0)	($6.0)	
		(8.6)	(8.6)	
			3.7	
Total	($6.0)	($14.6)	($10.9)	($31.5)

in millions

State Appropriations: Lexington Community College



We have identified $24 million to fund our budget decision package.

This was done through aggressive internal reallocation and increased tuition revenues.



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Budget Highlights

- Salary enhancements: $9.8 million
- Cost increase of the Employee Health Insurance Program: $2.6 million
- Scholarship increases: $4.7 million
- $1 million for renovating and equipping classrooms
- $499,300 to enhance information technology services
- $390,000 to improve the lighting at Guignol Theatre
- $390,000 to improve the HVAC in the Reynolds Building No. 1
- $476,300 for maintenance and operation of new facilities coming online, including the Gill Building and the Center for Rural Health
- $2.2 million towards the replacement of the administrative computing systems: finance, human resources, and student information systems

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Provost Budget Highlights

Over $5.5 million of recurring and nonrecurring funds are budgeted for the strategic investment in high-priority academic and research programs

- Over $550,000 will be invested in initiatives that support:
  - School of Music;
  - plant bioengineering in the College of Agriculture;
  - risk-related behavior in the colleges of Medicine, Arts and Sciences, and Communications and Information Studies;
  - race, ethnicity, and civic identities in the College of Arts and Sciences;
  - organic chemistry; genomics (Biology); and
  - foreign language education for the College of Arts and Sciences and the College of Education.
- $500,000 to support a call for proposals for the Medical Center colleges to advance the Futures priority areas.
- Over $1 million to the Lexington Community College to support increased enrollments including part-time instructors and faculty and staff positions.

continued:

Provost Budget Highlights

Over $5.5 million of recurring and nonrecurring funds are budgeted for the strategic investment in high-priority academic and research programs

- $100,000 Quality Enhancement Program to invest in program improvements identified through periodic reviews
- $300,000 to offset inflationary materials costs in University Libraries
- $1.3 million of tuition revenue generated from rate increases will be invested in professional programs.
- $670,000 for graduate student support
- $460,000 for academic leadership and a student retention and extramural funding incentive program
- $700,000 for strategic investments in the colleges of Law, Dentistry, Design, and Pharmacy, and the School of Public Health

The Dream and the Challenge

The FY 2003-04 Budget recommended for approval has been a challenging task. This budget reflects our Strategic Plan—we have focused on faculty, staff, students and high-priority academic programs.

Given the state budget picture, we will not make the progress we had hoped for, but neither will we stand still.

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In conclusion, President Todd said that the University will not make the progress that they would like to make, but it will not stand still at the same time. It is the administration's purpose to continue to move the University forward. He said that he appreciated the Board's support of the budget.

Dr. Stumbo, acting as Chair of the Finance Committee in Mr. Chellgren's absence, moved approval of FCR 1. Mr. Wilcoxson seconded the motion, and it carried without dissent. (See FCR 1 at the end of the Minutes.)

X. Approval of Leases (FCR 2)

Dr. Stumbo said that FCR 2 is approval of two leases. These are renewals and standard releases. The leases were discussed in detail in the Finance Committee meeting. He moved the approval of FCR 2. Ms. Smith Edge seconded the motion, and it carried without dissent. (See FCR 2 at the end of the Minutes.)

Y. Report of Leases (FCR 3)

Dr. Stumbo said that FCR 3 is the Report of Leases. It does not require any Board action. It is a report of renewal of three leases with the University of Kentucky. Two of the leases are with the Agronomy Department and one with Rural Health with the Allen County Board of Education. These leases are standard, and FCR 3 is to report on them. (See FCR 3 at the end of the meeting.)

Z. Acquisition of Property (FCR 4)

Dr. Stumbo said that FCR 4 is Acquisition of Property. This involves some property in Henderson, Kentucky. The Kentucky Geological Survey is a part of the University. It has been in the building for about thirty years. They are proposing to move to a new building. This new building had two different appraisals valued at $262,500.00. The University has been able to buy this property for $245,000.00. The staff has recommended, and the Finance Committee recommends the purchase of this property for the Geological Survey. Ms. Wickliffe seconded the motion, and it carried without dissent. (See FCR 4 at the end of the Minutes.)

AA. Disposal of Personal Property (FCR 5)

Dr. Stumbo said that each year the Finance Committee has to deal with the disposal of personal property. The University from time to time has animals, tractors, vehicles, books, and other things that they dispose of. The Board adopted policies and regulations on August 16, 1994 that outline the process by which the University can do this. This complies with the Kentucky Revised Statutes as well as the regulations. The Finance Committee recommends approval of FCR 5. Ms. Wilson seconded the motion, and it carried without dissent. (See FCR 5 at the end of the Minutes.)

BB. William T. Young Pledge (FCR 6)

Dr. Stumbo said that FCR 6 is a pledge by Mr. Young who is well known to everyone at the University. He is a long-time supporter of the University. FCR 6 is a pledge of $500,000 from Mr. Young to go towards the restoration of the Administrative Building. Once the restoration is complete, it will be known as the Main Building. This was discussed in the Finance Committee, and the Committee voted to accept this pledge. Ms. Tobin seconded the motion, and it carried without dissent. (See FCR 6 at the end of the Minutes.)

CC. Warren W. Rosenthal Pledge (FCR 7)

Dr. Stumbo said that FCR 7 is a pledge from Warren Rosenthal, another long-time supporter of the University. Mr. Rosenthal pledged $300,000 towards the restoration of the Administration Building. The comments are similar to the William T. Young pledge. The Finance Committee recommends that the Board accept FCR 7. Ms. Smith Edge seconded the motion, and it carried without dissent. (See FCR 7 at the end of the Minutes.)

DD. James F. Hardymon Pledge (FCR 8)

Dr. Stumbo said that FCR 8 is a pledge from James Hardymon, former Board member and soon-to-be a new Board member coming back on the Board. Mr. Hardymon pledged $280,000 towards the restoration of the Administration Building. The Finance Committee recommends the Board's approval of FCR 8. Professor Kennedy seconded the motion, and it carried without dissent. (See FCR 8 at the end of the Minutes.)

EE. A Resolution of the Board of Trustees of the University of Kentucky Authorizing the Issuance of Approximately $52,830,000 of University of Kentucky Housing and Dining System Revenue Bonds, Series S, to be Dated the first Day of the Month in which Sold; Authorizing Proper Proceedings Relative to the Public Sale of the Bonds and the Dispostion of the Proceeds Thereof; Authorizing Execution of an Eleventh Supplmental Trust Indenture Between the Board and Farmers Bank & Capital Trust Company, Frankfort, Kentucky, as Trustee, in Compliance with the Provisions of the Trust Indenture Dated June 1, 1965 (FCR 9)

Dr. Stumbo said that FCR 9 is a resolution to provide the financing for construction of the new housing. Four new dorms are being planned -- three on the south side of campus and one on the north side. He asked Mr. Owen to simplify FCR 9.

Mr. Owen said that FCR 9 is an authorizing resolution to issue Consolidated Housing and Dining System bonds that finance the housing project that was approved last month by the Board of Trustees. They expect to sell the bonds approximately mid-August and deliver in September. The funds will be in hand to proceed with construction of the housing.

Dr. Stumbo said that the Finance Committee recommends approval of FCR 9. Ms. Wilson seconded the motion, and it carried without dissent. (See FCR 9 at the end of the Minutes.)

FF. A Resolution of the Board of Trustees of the University of Kentucky Autahorizing the Issuance of approximately $29,655,000 of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series S, to be Dated the First Day of the Month in which the Bonds are Sold (FCR 10)

Dr. Stumbo said that FCR 10 is a similar proposal except it involves funding for the Biomedical Sciences Research Building (BBSRB). The total cost of this building is about $73.6 million. The State of Kentucky sold about $35 million worth of bonds and started the financing. This proposal is $29,655,000. The difference if you add the $35 million and $29 million does not add up to $73 million. There were some internal funds from the University that made the difference. He called upon Mr. Owen to make a few comments on this.

Mr. Owen said that the only comment is that this resolution is similar to the one just previously approved, and it gives the University authorization to sell bonds to complete the financing on the BBSRB Building that is currently under construction.

Dr. Stumbo said that the Finance Committee recommends approval by the full Board of FCR 10. Ms. Smith Edge seconded the motion, and it carried without dissent. (See FCR 10 at the end of the Minutes.)

GG. Approval of Lease (FCR 11)

Dr. Stumbo said that FCR 11 is a little different from the Report of Leases approved earlier. There has been some question as to whether the Board even needs to make a recommendation, but President Todd would feel more comfortable if the Board approved this.

FCR 11 is essentially a lease between the University of Kentucky and the Kentucky Technology Inc. group to get some space in the Kentucky Tobacco Research and Development Center. The proposal is for the University of Kentucky to lease it to Kentucky Technology, Inc. (KTI), and they would then try to find an entrepreneur or businesses to sublease. If this space is fully leased and utilized as expected, the University would stand to gain $23,984.40 in lease payments. This is a proposal. It was discussed in a little more detail at the Finance Committee. The Committee recommends authorizing the University to go ahead and do this. It will help business development, and it will be some income for the University of Kentucky. The space is there. He said that the Finance Committee recommends approval of FCR 11. Ms. Sims seconded the motion, and it carried without dissent. (See FCR 11 at the end of the Minutes.)

HH. Other Business

Mr. Wilcoxson said that he would like to go back to his presentation on page 18 of the May 6th Minutes. The second paragraph where it says, "The $43 million still places UK with the seventh largest budget in the SEC. Compared to Florida, it is something like $80 million. It was brought to the Board's attention that approximately 23.6% of the $43 million is athletic expenses to the University." Mr. Wilcoxson said that he would like the wording on the last sentence to read, "It was brought to the Board's attention that approximately 23.6% of the $43 million in Athletic expenses is spent internally at the University." This is what it should say. It was probably transcribed wrong. This makes it a lot plainer.

Mr. Reed said, "alright," and President Todd said, "Change it."

On motion made by Ms. May, seconded by Ms. Wilson and carried without dissent, a motion was passed to amend the Minutes.

Mr. Reed said that there were a few other items to go over. First and foremost, this is the end of the fiscal year, and that brings a time to have the evaluation of the President. The evaluation process is something of a relatively recent vintage and how the Board does it. The Board has changed the process to provide for more transparency as opposed in the past. The Governing Regulations provide for the Executive Committee to evaluate the President. Those regulations also direct that the Executive Committee seek input from the University's Senate, Staff Senate and Student Government Association. Mr. Reed said that he took the liberty to welcome input from the Trustees. He reported that he received input from Trustees, some of which has been recent, and some of which has been during the year. This has been a new process. And as such, it is not perfect, but at the same time, the Executive Committee received that feedback and input. He reported that the Executive Committee met earlier and went over that input. The Committee had an interesting and candid meeting. The members present were Ms. Marianne Smith Edge, Dr. Robert Meriwether, Mr. Williams as an ex officio member, and himself. He said that they discussed not only the evaluation of the President as called for in the Governing Regulations but also the President's receipt of his eligibility for the $100,000 bonus. As you may recall, his contract says the President is eligible to be considered for a $100,000 bonus annually. It does not say that he will get it. It does not say - have much more detail as to the circumstances surrounding that consideration. Again, to make that broader, he said that he had the Executive Committee examine that while looking at the President's evaluation. They went hand in glove. There was a lot of overlapping, but there were some differences. The Executive Committee did meet, and the Executive Committee recommended through a motion for the approval and granting of the President's bonus.

Mr. Reed said that he would summarize the meeting for those who were not there. Based on the feedback he received from the students, the staff, the faculty, Trustees and a few others, who by the way may have called him from time to time, the strengths of the President are as follows:

Unprecedented accessibility; extraordinary character; strong work ethic; a passion for students in the Commonwealth; innovative ideas; directed the Athletic Department to donate $1 million annually for scholarships; skillful handling of the budget crisis to ease the pain for all, including the faculty; shown great courage in his willingness to reorganize the Medical Center and make UK one University, including implementation of the Provost system; and he also has continued the reform of the Athletics Department pursuant to the Lawson Report.

Mr. Reed said that one thing that the Board does not talk about much is the continued success of the Capital Campaign. Any time that you have a Capital Campaign and you succeed, that is great. But during these economic times, it is extraordinary. It is also noted that the continuing ability of President Todd and the First Lady, too, to make themselves available to students is very impressive and noted. Mr. Reed said that he made it clear to the faculty, staff and students that he came to Lexington because this is the first time the Board has done this process, and there is not a guidebook that the Board necessarily adopted to be followed. The process was real broad in the sense that they gave him the President's strengths. He explained that they made it as democratic as possible. The chairs of the University and Staff Senates and the President of the Student Government Association met with as many of their constituents as possible and gave him the President's strengths and his weaknesses.

Mr. Reed said that they were candid, and they gave him some areas for improvement. The areas for improvement seem to be consistent, and that is responsiveness and follow-through. This was stated by the faculty, staff and students and noted by some Trustees. To put that in context, everyone also noted that the President hired a Chief of Staff that has aided his responsiveness. They noted that he is still rounding out his staff to make it complete. With the support he is putting into place, this area for improvement will improve.

Mr. Reed said that President Todd is extremely intense, and he has encouraged him to take some time and enjoy some vacations for his own mental and physical health. When you care for something as much as President Todd does and you are committed to it as much as he is, you want it done perfectly. You want to do it all yourself to make sure it is done perfectly. That is a strength of his, but at the same time, the Board has asked him to delegate a little more and take care of himself.

Mr. Reed returned to the Presidential bonus that is provided in the President's contract. It was the recommendation of the Executive Committee for the Board to grant President Todd his bonus of $100,000 for the fiscal year ending in less than a week. There was some confusion or discussion brought up in the Compensation Committee, which had met earlier in the day, about the issue of the bonus and whether there was an overlap. Mr. Reed called upon Mr. Shoop, Chairman of the Committee, and asked him to discuss his meeting and clarify any misunderstanding or confusion regarding the $100,000 bonus which is for the year ending.

Mr. Shoop said that he strongly supported what Mr. Reed had said. The Executive Committee did a great job in giving the President an outstanding performance rating.

Mr. Shoop reported that the Ad Hoc Committee on Compensation had met that morning. There were three Committee members present, but there was actually a quorum of the Board present. This shows the importance of what this subject means to the Board. The Committee was charged with the compensation for the President. The Committee is working on formulating a new compensation package for the President on a go forward agenda. In the next couple of months, the Committee will have this charge taken care of, and the bonus will be effective for 2003 ending this year June 30th. He said that they hope to make it retroactive, and starting July 1st it will be for next year. The bonus discussed at this meeting will be for this year ending June 30th, and it is in compliance with the contract that the Board signed with the President two years ago. He said that he strongly supported it as Chairman of the Compensation Committee. He took Mr. Reed's recommendation and put it into a motion that the Board grant the President the $100,000 bonus effective for the end of this year which is June 30th, 2003.

Mr. Reed said the the Executive Committee has already moved approval and Mr. Shoop has seconded the motion. He called for questions and then took a vote. The motion carried without dissent.

Mr. Reed recognized some Board members who have made extraordinary contributions to the State and to the University who will be finishing their terms June 30th: Joe Ruschell, Paul Chellgren, and Dr. Stumbo. He called upon the various Board members to make comments about each of them.

Professor Kennedy read the following resolution regarding Joe Ruschell.

Resolution

Whereas, Mr. Joe Ruschell has faithfully served as the student representative to the University of Kentucky Board of Trustees, and

Whereas, Mr. Ruschell assumed his position in the midst of a financial crisis, and

Whereas, Mr. Ruschell aggressively and effectively represented the interests of the student body in the Board's discussions of a significant increase in tuition, and

Whereas, Mr. Ruschell's influence impacted the amount of the fee increase, and

Whereas, Mr. Ruschell's all too brief term comes to an end on June 30, 2003.

Be it therefore resolved by the University of Kentucky Board of Trustees that we express our sincere appreciation to Mr. Ruschell for his exemplary service and wish him continued success in his splendid academic career and beyond.

Mr. Williams read the following resolution regarding Mr. Chellgren:

Resolution

Whereas, Mr. Paul W. Chellgren has been a strong supporter of the University of Kentucky as an alumnus, Trustee and friend and has been an ardent advocate for education in his many years of public service, and

Whereas, his experience and insight into financial and budgetary matters have served the University with excellence in his role as Chair of the Finance Committee, along with a myriad of other committees, and

Whereas, he has returned once again to his educational roots to gift us with his commendable wisdom and talents, and

Whereas, Mr. Chellgren's more than a decade of service to his alma mater as a Trustee has been exemplary.

Be it therefore resolved by the University of Kentucky Board of Trustees that we express our sincere appreciation to Mr. Chellgren for his many years of unselfish service.

Mr. Reed called upon Ms. May to read the resolution regarding Dr. Stumbo.

Ms. May said that she wanted to share what most of the Board around the table feel and that is: "It is a tremendous honor to be selected to be a Trustee of this incredible institution but with it comes a tremendous responsibility. And for those of us that are outside the academic environment, it's a responsibility that requires many, many hours of getting up to speed on issues, of attending meetings and just learning the whole framework. I don't think there's been any question that you have dedicated yourself, in spite of your career and the many commitments you have, to bring your best resources to this University. I appreciate, as a Board member, that I came on while you were here, the guidance that you provided to me and to others. I appreciate you being there asking the questions, pointing us in the right direction, you know, keeping us focused at times. And we all, I think, very much appreciate that above and beyond the Resolution because it means a lot to us that you've been here and you've brought a very important perspective to this Board and for that I personally want to thank you as well as I'm sure the other Trustees."

Ms. May read the following resolution regarding Dr. Stumbo:

Resolution

Whereas, Dr. Grady Stumbo has served as a Trustee of the University of Kentucky since 1997, and

Whereas, his support and encouragement of the development of the Center for Rural Health has assisted it in becoming a national model for the education of health care professionals and the delivery of health care to underserved citizens of Eastern Kentucky, and

Whereas, as a true son of the mountains, Dr. Stumbo's passion for the people of the Commonwealth brought a special and important perspective to the Board's deliberations, and

Whereas, Dr. Stumbo will leave a legacy of compassion and concern for the issues that continue to face the citizens of Kentucky.

Be it therefore resolved by the University of Kentucky Board of Trustees that we express our sincere appreciation to Dr. Stumbo for his years of exemplary service.

Dr. Stumbo said that he has enjoyed being at the University and meeting all of the Board members. This has been a wonderful experience in his life, but he can leave the Board because of a conflict of interest. The Board cannot imagine how proud as a father of that conflict to know that his daughter graduated with distinction from the University of Kentucky Medical School and has chosen to stay at UK and finish her residency. He said that he is leaving with a great big smile on his face. He said that it is a wonderful reason to have to leave the Board, and he thanks all of them.

Mr. Reed pointed out that congratulations are in order to Marianne Smith Edge who has been elected President of the American Dietetic Association.

Mr. Reed said that on behalf of the entire Board he wanted to offer words of support to Peggy Way who lost her father recently and is absent from the meeting. Everybody knows that Peggy Way is family. To not see her smiling face at the Board meeting and have her whispering in his ear things that he may have forgotten to say may make him appear a little nervous. He asked that the record show that his heart is with her, and he knows the heart of the entire Board is with her. He said that he wanted Peggy to know that the Board loves her very much, it supports her, and they certainly miss her. She is in their prayers.

One final note of a bit of humor is that the Board should know that Billy Joe Miles recently was getting tractor driving lessons, and he passed. He said he was very proud of Billy Joe.

Ms. Tobin said that several Board members feel that the Board would like to have a retreat or some meeting between now and September 1st and the Board needs to find a date within the next two months to maybe spend a day together just to brainstorm and to create an agenda that it feels would be helpful in going forward.

Mr. Reed asked Ms. Tobin to work with the secretary, Mr. Williams, in getting schedules and finding a date as well as making suggestions regarding the form, location, and whether an entire day or night before and then the next day would be best.

Ms. Tobin agreed to accept the assignment.

Professor Jones said that he would be remiss on his constituency if he did not reiterate the urgency of what both President Todd and Chairman Reed have pointed out on the salary situation of the faculty. Their status with the benchmarks is dead last. They are biting the bullet real hard, and they know they are biting the bullet real hard. By adopting the Strategic Plan, the Board has charged itself and the administration over the next year to try to ease some of that pain that they are doing in biting that bullet. He thanked President Todd and Chairman Reed.

Mr. Williams said that he would be remiss if he did not help everybody realize that one week from today will be President Todd's second year in office. He said that he knows that President and Mrs. Todd feel that there are days they have fifty hours in them and days when it speeds by. For him, it has been a very quick two years and a very wonderful two years. He congratulated the President and First Lady on their second anniversary.

President Todd expressed appreciation, and said they were glad to be at the University.

II. Meeting adjourned

With no further business to come before the Board, Mr. Reed adjourned the meeting at 4:00 p.m.

Respectfully submitted,

Russ Williams
Secretary, Board of Trustees

(PR 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, and 15; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, and 11 which follow are official parts of the Minutes of the meeting.)

PR 1
Office of the President
June 24, 2003

1. UK Surgery Department Joins Nation's Top 20 in NIH Funding

The Department of Surgery in the University of Kentucky College of Medicine ranks among the nation's best, according to the National Institutes of Health (NIH). In the recently released rankings for fiscal year 2002, the UK Surgery Department was listed as 16th among 74 public medical schools. The department was listed as 25th among the 125 public and private medical schools ranked by NIH.

2. College of Agriculture Opens New $21 Million Plant Sciences Building

Kentucky's governor and a federal agriculture official joined UK Board of Trustees members, UK President Lee T. Todd Jr., and UK College of Agriculture Dean M. Scott Smith for the mid-May grand opening of the college's new Plant Sciences Building. Joseph Jen, undersecretary for research, education and economics in the U.S. Department of Agriculture, and Governor Paul E. Patton toured the $21 million, four-story building that houses research labs devoted to plant genetics and molecular biology, crop physiology, and plant pathology. The UK Advanced Genetics Technology Center, undergraduate research, plant growth and containment facilities, and a collection of historic Kentucky weeds also occupy the building.

3. UK Earns `Green Lights' for Progress From State Higher Education Panel

In a report card issued last month reviewing all state universities, the Kentucky Council on Postsecondary Education said UK has made more progress toward higher-education reform goals than any other state university. UK received nine "green lights," compared to the six green lights earned by the University of Louisville. Among the areas cited were: UK's success in increasing enrollment of undergraduate, graduate and professional students; improving one-year retention rates of first-time freshmen and the number of college and technical college transfers; improving six-year graduation rates of bachelor's degree students and five-year graduation rates of transfer students; and increasing total spending on research and development and spending from endowments and gifts in knowledge-based economy areas.

4. Children's Miracle Network Telethon Benefits UK Children's Hospital

The annual Children's Miracle Network Celebration raised $1,231,635 to benefit the UK Children's Hospital. The three-day fund-raising event was broadcast live in early June from Fayette Mall in Lexington on WKYT-TV in Lexington and WYMT-TV in Hazard, celebrating a year of giving. All money raised in Kentucky during the broadcast stays in Kentucky to benefit the UK Children's Hospital. During the broadcast, the program featured and celebrated the triumphs of the UK Children's Hospital's young patients and the health issues they are facing. The annual program not only recognizes the children as champions, but also the many people who champion children, such as families, health professionals, individuals, volunteers and donors. To date, the total 16-year fund-raising effort for the UK Children's Hospital is more than $9.5 million.

5. UK Study Finds Benefits of Hormones, `Good Cholesterol'

A team of UK researchers reports that estrogen and estradiol, a form of estrogen used in hormone replacement therapy, may combine with high density lipoproteins (HDL), or "good cholesterol," to produce protection against heart disease. The protection may come through stimulation of the production of nitric oxide, which lowers blood pressure and acts as an anti-inflammatory agent. The study, led by Eric Smart, vice chair of research in the UK College of Medicine Department of Pediatrics, was published in this month's Journal of Clinical Investigation.

6. Engineering Students Design Flight Method That May Help Explore Mars

A team of 50 students in the UK College of Engineering has designed a six-foot-by-six-foot glider that may help NASA scientists explore the mysteries of the planet Mars. "BIG BLUE" -- the acronym for Baseline Inflatable Glider Balloon Launched Unmanned Experiment -- was tested in Windsor, Colo., in early May and performed nearly perfectly. It was the first time anyone had ever launched an aircraft to any altitude, then inflated and hardened a set of wings so it could fly. An onboard computer deployed the wings at 55,000 feet. The craft reached its highest altitude at 89,603 feet as it journeyed about 105 miles east. A parachute opened, easing the glider down to a safe landing near Sterling, Colo., completing a 2 1/2-hour flight. The engineering feat could help scientists gather information on Mars and transmit the information back to Earth. Overseeing the students were computer science Professor James Lumpp and mechanical engineering Professor Suzanne Smith.

7. UK Researchers Take Lion's Share of State Science Research Fund

UK researchers won the vast majority of the funding available from the Kentucky Science and Engineering Foundation in mid-May. The agency awarded a total of $1,315,962 to 22 UK researchers. In all, the foundation provided funding to 32 research projects statewide.

8. UK Art Museum Offers Art Appreciation `Camps' for Youngsters

Lexington-area children are receiving special opportunities to increase their understanding and appreciation of art through a series of "camps" partnering the UK Art Museum and other Lexington museums. The youngsters begin their days at the UK Art Museum by reviewing specific themes, then spend afternoons at a second location where they participate in art-related activities, including origami, calligraphy, and costume designing. Among the participating museums is the Lexington Children's Museum.

9. Two Medical Center Leaders Chosen for Robert Wood Johnson Fellowships

Vipul N. Mankad, chair of the Department of Pediatrics, UK College of Medicine, and Karen Stefaniak, associate hospital director and chief nursing officer at UK Hospital, have been selected for Robert Wood Johnson Foundation fellowships. Mankad will step down in September as chair of the Department of Pediatrics to participate in the prestigious Robert Wood Johnson Health Policy Fellowship Program in Washington, D.C. During the next year, he will assist federal government policy makers in developing legislative proposals, arranging hearings, briefing congressmen and senators for committee sessions and floor debates, and participating with staff in House and Senate conferences. In this role, Mankad has the opportunity to contribute to solutions for major health problems confronting the United States and the world. Stefaniak was chosen for the foundation's Executive Nurse Program for nurses in senior executive roles in health service. She will attend conferences across the country on the future of nurses in leadership positions and will work in Lexington on a hospital project that will study the viability of academic nursing contributions to the clinical enterprise.

10. UK General Clinical Research Center Wins Renewed NIH Funding

The UK General Clinical Research Center received renewed funding of $1,737,105 from the National Institutes of Health for its 19th year. The funding will be used for a unique combined medical and dental clinical research program designed to foster collaborative interest and expertise in clinical research in medical and dental faculty and students. Mentoring is a key aspect of this program, pairing students with senior medical and dental faculty members to guide them through research projects from development to implementation.

11. School of Journalism and Telecommunications Wins Reaccreditation

UK's School of Journalism and Telecommunications in the College of Communications and Information Studies has been reaccredited for another six years by the Accrediting Council on Education in Journalism and Mass Communications. The unanimous stamp of approval resulted from a year-long self-study that reviewed the school's compliance with standards on faculty, instruction, scholarship and public service. A visiting team of journalism experts said "a student-centered culture pervades the school."

12. ASTeCC Company Uses `Magnetic Levitation' to Blend Pharmaceuticals

A company launched in UK' s Advanced Science and Technology Commercialization Center has begun marketing its system for sterile-environment production of pharmaceutical and biotechnology products. LevTech Inc., founded by former UK physics Professor Alex Terentiev, uses "magnetic levitation" to spin an agitator that is not physically attached to the machinery that spins it during the mixing process. The system uses superconductors cooled to nearly minus 200 degrees Fahrenheit to establish a stable magnetic field, which then spins the agitating device inside a hermetically sealed polyethylene pouch containing the liquids to be mixed. The system offers a means of production that avoids the contamination that frequently fouls batches of pharmaceutical and biotechnology products.

13. UK Student Newspaper Wins Fifth Place in National Competition

The Kentucky Kernel tied for fifth place nationally in the William Randolph Hearst Journalism Awards Program, the "Pulitzer Prize" of collegiate writing competitions. The top five winning collegiate programs, selected from 105 colleges and universities with accredited journalism schools, were Northwestern University, Penn State University, the University of Nebraska, the University of North Carolina, and tied for fifth place, UK and the University of Iowa.

14. UK Graduate Students, UK Grad Receive Fulbright Grants for Study Abroad

UK graduate students Eric Silver and Theo Randall, and UK graduate James "Jay" Varellas III will study overseas during the 2003-04 academic year after receiving government-funded Fulbright Fellowships. Silver, seeking his master' s degree in anthropology, will do fieldwork in Kenya for his dissertation on understanding ethnic conflict and cooperation. Randall, also seeking his master's degree in anthropology, is performing a medical anthropology project in The Gambia. Varellas will seek a master' s degree in the United Kingdom at either the University of Cambridge Department of Social Anthropology or the University of Sussex Department of International Relations and Politics. The Fulbright Fellowship Program covers travel and living expenses for the academic year and tuition at overseas universities.

15. UK Study Shows New Picture of Brain Aging and Cognitive Impairment

Research matching the activity of 146 genes with brain aging and impaired learning and memory has produced a new picture of brain aging and cognitive impairment. The study by Philip W. Landfield, chair of the Department of Molecular and Biomedical Pharmacology, UK College of Medicine, uses gene microarray technology to compare gene activity with actual behavioral and cognitive performance over time. The study indicates that changes in gene activity appear to begin in mid-life and suggests that changes in gene activity in the early adult brain may set off cellular or biological changes that could affect how the brain works later in life. Landfield' s study appeared in the May 2003 issue of The Journal of Neuroscience.

16. College of Fine Arts Presents 11th Annual `Grand Night for Singing'

Music lovers received an impressive sampling of the singing talent of students in UK' s College of Fine Arts at the 11th edition of "It' s a Grand Night for Singing" in mid-June. Everett McCorvey, Grand Night artistic director, selected compositions from a wide array of Broadway shows including "The King and I," "Phantom of the Opera," "Man of La Mancha," "West Side Story," "Cabaret," "Chorus Line," "The Music Man," "My Fair Lady," and the current Broadway hits "Gypsy" and "Hairspray." The show was conducted by Roger Baldwin.

17. Architecture Students Look to the Past to Help Build UK's Future

Students in the UK School of Architecture in the College of Design are building on the past to help UK plan for the future. Using the campus as a classroom, the students of architecture Professor Gregory Luhan are integrating standards of the Library of Congress and the Historic American Buildings Survey to survey, document and photograph buildings and landscapes and create computer visualizations of their findings. The system could prove useful both as a student-recruitment tool – by offering online virtual tours of campus and classrooms and in helping various university departments in scheduling classrooms and planning building renovations.

18. UK Golfer Competes in 2003 U.S. Open Championship

UK sophomore John Holmes continued his outstanding play of late, qualifying for the 103rd U.S. Open Championship. The 2003 Open, one of professional golf's four major tournaments of the year, was played at the Olympia Fields Country Club near Chicago in mid-June.

19. UK Hospitals, UK Police Offer Havens for Abandoned Infants

The UK Hospital, UK Children's Hospital, and UK Police are three of the designated "safe places" in Lexington where parents who fear they cannot care for their infants may leave newborns anonymously and without fear of prosecution. The designations come under the state's Thomas J. Burch Safe Infants Act, passed by the state Legislature to protect infants born to desperate parents. Under the law, a parent will not be criminally prosecuted for abandoning a baby less than 72 hours old if the infant is taken to a safe place and has not been physically abused or neglected. Since the act's implementation, three babies have been abandoned at a "safe place" in Kentucky.

20. UK Staff Members Participate in Appreciation Day

Nearly 5,000 UK staff employees enjoyed free food, T-shirts and entertainment at the 11th annual UK Staff Appreciation Day festivities May 16 in Memorial Coliseum. More than 50 UK departments and vendors had booths with information and a variety of free gifts for employees as well. Staff Appreciation Day is financially supported by the university's administration through UK Women's Forum, organizer of the event. More than 70 volunteers were involved.

21. Employee Benefits Are Extended to Many .75 FTE Workers

Acting on a recommendation by the UK Work-Life Task Force, President Todd has approved granting full-time benefits to regular university employees, except for employees of UK Hospital, with a full-time equivalency (FTE) of .75 or greater. Benefits now available to eligible employees include participation in the university's 403(b) retirement plan, a health credit (university contribution to the health plan), basic life insurance coverage, optional supplemental life insurance coverage, optional accidental death and dismemberment (AD&D) coverage, employee education program eligibility, and long-term disability coverage.

22. Kentucky Geological Survey Publishes `Citizen's Guide'

The Kentucky Geological Survey has published "Geologic Maps and Geological Issues in Kentucky: A Citizen's Guide." The 27-page publication provides information on available maps and includes sections on earthquake faults, flooding, radon gas, sinkholes, coal deposits, and limestone. The booklet is available for $5 from the KGS Public Information Center.

23. UK Appalachian Center Celebrates 25th Year

The UK Appalachian Center hosted a screening of a new Appalshop film in late April as part of a program commemorating the center's 25th anniversary. The film was based on Wendell Berry's nationally published essay "Thoughts in the Presence of Fear," in which he challenges the national politics of globalization and highlights the economic uncertainty caused by globalization. The event also featured musical entertainment.

24. Nearly 1,500 Attend UK Foreign Language Conference

Some 1,500 people, including high school students and their parents, attended the 56th annual Foreign Language Conference at UK in late April. Participants participated in sessions where German, French, Spanish, Italian, Russian, Japanese and Luso Brazilian (Portuguese) were spoken. Sessions also were held on teaching languages and Judaic Studies.

25. Scholars Discuss Environmental Issues Affecting Japan, America

An international conference of Japanese and American scholars and environmental activists discussed grassroots environmental movements in Japan and the United States in late April. Topics included working to protect Kentucky's waters and forests, promoting sustainable economic development in central Appalachia, opposing disposal of chemical weapons at the Bluegrass Army Depot in Richmond, and participating in environmental protection in Hawaii. The

conference was sponsored by the UK College of Arts and Sciences; its Environmental Studies Program, Japan Studies Program, and Department of Geography; the UK Asia Center; and the Office of the Vice President for Research.

26. UK Buys Campus License for McAfee Anti-virus Software

Beginning July 1, UK administrators, faculty, staff and students will be able to use anti-virus software by McAfee Security on computers used for university business and related matters. UK Information Systems negotiated a campus license for the software, considered to rank among the leading programs in combating computer infections by viruses conveyed through the Internet.

27. Lexington Fayette Urban County Council Conducts Meeting on Campus

On May 17, the Lexington Fayette Urban County Council conducted a regular meeting in the UK Student Center Theatre. President Todd, who welcomed the council to campus, has made closer ties to and greater cooperation with the city one of the hallmarks of his administration.

28. Six New Members Are Elected to UK Women's Forum

The UK Women' s Forum Board has six new members following a campuswide election. The newly elected members for 2003 – 2004 are Mary C. Bolin-Reece, director of the UK Counseling and Testing Center; Tiua R. Chilton, sergeant with UK Police; Cecile McKinney, evening and weekend college coordinator with University Extension; Sean Scott, associate director of admissions at Lexington Community College; Vanessa P. Wickliffe, assistant professor, merchandising, apparel and textiles in the College of Human Environmental Sciences; and Nicole L. Worthy, training assistant with the Kentucky Transportation Center in the College of Engineering. A seventh new member, Jennifer Bowman, an administrative support associate with the Office of Sponsored Projects Administration, will serve out the term of a board member who left the university.

29. Keeneland Welcomes UK Employees During `UK Employee Day'

UK employees were able to enjoy a free day at the races at the first UK Employees Day at Keeneland on Sunday, April 13. Keeneland extended free admission to fans with UK employee IDs. During the day, a group of UK employees helped present the winner' s trophy to Beach Plum after the Eaglestone Purse.

30. UK Hospital Recognizes Nurses for Outstanding Service

UK Hospital officials presented the Magnet Recognition Award to nurses in the Cath Lab Holding/Recovery Unit. The award recognizes team members, as a unit, for nursing excellence in creating an environment for professional practice through role modeling, coaching, teaching and advocacy. Magnet award recipients are chosen by peer nomination and selection. Also recognized for individual accomplishments were Phyllis Horn, UK Hospital, who received the Diana Weaver Leadership/Management Award for exhibiting dynamic leadership skills, and Lori Vaughn, UK Hospital, receiving the M.J. Dickson Quality Nursing Care Award for her commitment to professional nursing over the previous year.

31. Student Awards and Achievements

Lyndsey Angus, Kinesiology, was named to the 2003 Verizon Academic All-America second team by the College Sports Information Directors of America. She is a student-athlete on UK' s softball team.

Tierra Freeman, Educational and Counseling Psychology doctoral student, and professors Lynley Anderman, Educational and Counseling Psychology, and Jane Jensen, Educational Policy, presented a paper titled "Professor Caring and Social Acceptance as Predictors of College Freshmen's Sense of University Belonging" at the 2003 annual conference of the American Educational Research Association. Freeman also received a student research award from the Special Interest Group in Motivation at the 2003 annual conference of the American Educational Research Association.

Davide Giradelli, Communication graduate student, had two papers, "The Image(s) of Americans Among Italian Adolescents: An Explorative Study With the Q Methodology" and "Everyone' s Italian," accepted at the 2003 International Communication Association Conference in San Diego.

Emily Hagedorn, Journalism and Telecommunications sophomore, placed second in features and eighth in in-depth reporting and 11th in spot news at the William Randolph Hearst Journalism Awards Program, one of the most prestigious nationwide contests in college journalism. She received a $1,500 cash prize and the School received a matching grant. She was a finalist in a national writing competition and traveled to San Francisco this month to compete in the William Randolph Hearst Foundation National Writing Championship.

Brandy Johnson, Integrated Strategic Communication, received the UK College of Communications and Information Studies' Maurice A. Clay Award, given annually to one outstanding senior in each year' s graduating class.

Pattie McGinn, Exercise Science doctoral student, made a poster presentation at the Gait and Clinical Movement Analysis Society Eighth Annual Meeting in Wilmington, Del., in May.

Tina Michel, Social Work, is the first recipient from the northern Kentucky Master of Social Work off-campus program to receive the Ohio Clinical Social Work Society's Judith R. Holmes Excellence in Training Award. This award recognizes excellence in direct social work field placements.

Andy Ottney, Emily Ho, Skip Burke, Kaci Prunty and Niki Parsons, Integrated Strategic Communications, won first place at the American Advertising Federation (AAF) Fifth District Student Advertising Competition in Cincinnati this spring. They attended the AAF National Student Advertising Competition at the Century Plaza Hotel in Los Angeles, Calif., in early June.

Mary Phillips, Accounting doctoral student, received a $5,000 fellowship from the Educational Foundation for Women in Accounting. She was one of three recipients nationally.

Michelle Ray, Integrated Strategic Communication, received the Lexington Rotary Club scholarship award for outstanding achievement in her university career. She received a check for $600 in recognition of her achievement.

Sandra Schomaker, Integrated Strategic Communication, has won a $2,500 American Advertising Federation Scholarship.

Erica Smith, Social Work, received the Student of the Year Award from the Ohio Chapter of the National Association of Social Workers, Region VI.

Laura Tzanetos, Center for Applied Energy Research, has received the $30,000 Bert Combs Scholarship to attend the UK College of Law.

Andrea Uhde, Journalism and Telecommunications, placed fourth in the personality profile category at the William Randolph Hearst Journalism Awards Program, one of the most prestigious nationwide contests in college journalism.

Sarah Witten, Education, earned 2003 All-America honors in women' s tennis singles last month.

32. Faculty and Staff Awards and Achievements

Lynley Anderman, Educational and Counseling Psychology, and Hannah Hughes, Educational and Counseling Psychology doctoral student, presented a paper, titled "The Role of School Belonging in Students' Adoption of Classroom Goal Orientations," at the 2003 annual conference of the American Educational Research Association.

Tom Barnes, Forestry, received the Poundstone Award for Volunteer of the Year from the Kentucky Chapter of the Nature Conservancy.

Luke Boatright, Animal Sciences, received the 2003 American Oil Chemists Society' s Archer Daniels Midland/Protein and Co-Products Division Award in chemistry/nutrition.

Darrh Bullock, Animal Sciences, received the 2003 Extension Award from the Southern Section of the American Society of Animal Science.

Frank Butler, Medical Center Operations, has been appointed Blue Grass regional trustee for 2003-04 by the Kentucky Hospital Association.

Jimmie Calvert, Agronomy, and Hugo Hempel and Chuck Combes, UK Chandler Medical Center Information Services, received 2003 Nestor Awards, presented to UK staff members who contribute cost-saving ideas.

Joseph Chappell, Agronomy, received a grant of $385,000 from Firmenich Co. to research metabolic engineering of isoprenoid metabolism in plants.

Ralph Crystal, Special Education and Rehabilitation Counseling, gave a seminar on "The Current Status of Rehabilitation in the United States" to the faculty of the Institute of Health Sciences at City University, London, in the United Kingdom, in May.

Alan DeYoung, Educational Policy Studies and Evaluation Department, has been awarded the 2003 John J. and Nancy Lee Roberts Fellowship of the International Research & Exchanges Board to fund his research project "Central Asian Schools in Transition: Case Studies in the Kyrgyz Republic."

Lynette Dirk, Horticulture, received a grant of $200,000 from the National Science Foundation for research on chloroplast-localized N-terminal protein processing by peptide deformytase.

Marianne Smith Edge, UK Board of Trustees, has been elected president of the American Dietetic Association.

Robin Ersing and Melanie Otis, Social Work, co-authored a book chapter, "An Asset-based Approach to Promoting Community Well-being in a Rural Kentucky County: Obstacles and Opportunities," in Rural Social Work: Building and Sustaining Community Assets.

Olga Fadeyeva, a visiting scholar from Siberia, Russia, took second place for an article she wrote for the American Councils for International Education. Fadeyeva spent a year as a scholar with the Educational Policy Studies and Evaluation Department. Her article, titled "My American Experience," was based on observations of our culture, contrasted with life in her home country.

Joseph L. Fink III, Corporate Relations and Economic Outreach, received the annual Alumni Award from the University of the Sciences in Philadelphia, Pa.

Ron Fleming, Agricultural Economics, hosted waste management and resource allocation meetings of the Southern Natural Resources Economic Committee on the UK campus in mid-May.

Robert Gillette, Economics, was named Outstanding Professor of the Year by the Interfraternity Council this spring.

Thomas Guskey, Educational Policy Studies and Evaluation, presented a paper, titled "An Analysis of Lists of the Characteristics of Effective Professional Development," at the 2003 annual meeting of the American Educational Research Association in Chicago. A summary of the paper was also reported in the May 9 edition of Times Education Supplement.

John Harris, Administration and Supervision, presented a report, titled "The Disproportionate Placement of African American Students in Special Education," to staff members of the U.S. Congress House of Representative and 200 public school superintendents in late April as part of the National Policy Institute in Washington, D.C.

Dewayne Ingram, Horticulture, received a $689,000 grant from the U.S. Department of Agriculture for Phase IV of the UK College of Agriculture' s New Crop Opportunities Initiative.

Linda Kiesel, Agricultural Communications, received the Lifetime Achievement in Publishing Award from the national Agricultural Communicators in Education.

Ronald Langley, Survey Research Center, served as chair of a joint meeting of the Academic Survey Research Organization directors and the National Network of State Polls at the annual conference of the American Association for Public Opinion Research. He also chaired a session titled "Surveying Diverse Populations" at the International Field Directors and Technologies Conference in Nashville.

Carl Leukefeld, Behavioral Science and Center for Drug and Alcohol Research, has been selected as the recipient of the Knee/Wittman Lifetime Achievement Award for 2003 from the National Association of Social Workers Foundation. The Knee/Wittman Awards recognize individuals who are models of excellence and have made significant contributions in the field of health and mental health. The Lifetime Achievement Award, in particular, is presented to a professional social worker who has made exemplary contributions in health and mental health practice.

Dave Maehr, Forestry, recently was appointed co-chair of the new Ocelot Recovery Team of the U.S. Fish and Wildlife Service. The ocelot is an endangered species.

Bobbie Ann Mason, writer-in-residence in English, received a Kentucky Literary Award for excellence for her recent book, Elvis Presley, published in 2002 by Viking Books. She also received a $1,000 cash prize from the Southern Kentucky Festival of Books for best nonfiction writing.

Leigh Maynard, Agricultural Economics, presented the paper "Policy Interactions and Conflicts With U.S. Milk Hedging Effectiveness" to the Southern Natural Resources Economic Committee at its March meeting in Alabama.

Craig S. Miller, Oral Medicine, Microbiology and Immunology and Molecular Genetics, has been elected president of the American Academy of Oral Medicine.

Donna Murphy, Lexington Community College, has received the 2003 Carolyn Beam Award, presented annually to the outstanding LCC faculty member.

Gretchen Norling, Communication, received a $145,000 grant from the National Cancer Institute for her project "Cancer Caregiving and the Stress Process."

Melanie Otis, Social Work, was co-author on the article, "The Effect of Childhood Maltreatment on Adult Criminality: A Tobit Regression Analysis," recently published in Child Maltreatment: Journal of the American Professional Society on the Abuse of Children.

Timothy Phillips, Agronomy, received a Medallion Award from the American Forage Grassland Council at its recent national meeting in Louisiana.

Christopher Schardl, Plant Pathology, received a grant of $627,000 from the Cooperative State Research Education and Extension Service to conduct research in advanced genetic technologies. He is also scheduled to be inducted as a Fellow of the American Phytopathological Society in August.

Jerry Skees, Agricultural Economics, was named Research Fellow to the newly-established Rural Development Research Consortium (RDRC) at the University of California – Berkeley. As a Fellow he is a priority candidate for collaboration on RDRC research programs.

Eric Smart, Pediatrics, has been awarded an Irvine H. Page Young Investigator Research Award from the American Heart Association.

Will Snell, Agricultural Economics, presented an update on the national tobacco quota buyout negotiations at the Tobacco Merchants Association May meeting in New Jersey.

Dan Stone, Accounting, had his article, "Researching the Revolution: Prospects and Possibilities for the Journal of Information Systems," published in the spring issue of Journal of Information Systems.

Marcella Szymanski, Forestry, and Jeff Stringer, Forestry, received an award for Excellence in Educational Video Programming from the Southern Region Extension Forest Resource Specialists Association for the educational program "What Is Forestry?"

Michael Tearney, Business and Economics, co-authored a paper, titled "Mandatory CEO Retirements, Discretionary Accurals, and Corporate Governance Mechanisms," with Austin Reitenga, Accounting, that appeared in the spring issue of The Journal of Accounting, Auditing, and Finance. Tearney also was a guest lecturer at five Indonesian universities this spring. He spoke on the topic of corporate governance.

John R. Thelin, Educational Policy Studies, is author of "Historical Overview of American Higher Education," published in Susan Kornives, Dudley Woodard and Associates' Student Services: A Handbook for the Profession. This book is the standard work for graduate programs in higher education and student affairs at universities across the nation.

Vernon Wiehe, Social Work, had an article, "Empathy and Narcissism in a Sample of Child Abuse Perpetrators and a Comparison Sample of Foster Parents," published this month in the Journal of Child Abuse & Neglect.

PR 2

Office of the President
June 24, 2003

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate Provost/Vice President through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

PRESIDENT AND
SENIOR VICE PRESIDENT FOR
ADMINISTRATION

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

B. ADMINISTRATIVE APOINTMENTS

Office of the Controller and Treasurer

Stevens, Kevin, Associate Purchasing Director, Purchasing Department, effective May 19, 2003.

Office of Development

Stroth, Jr., H. I., appointed Director of Medical Center Development effective May 1, 2003.

C. PROMOTIONS

Office of the Controller and Treasurer

Singleton, Mitzi L., Sponsored Projects Accounting, Accountant Pr. to Accounting Manager II, effective June 1, 2003.

Office of Employment Equity

Bender, Patricia A., Employment Equity, Asst. VP for Affirmative Action, effective June 12, 2003

D. RETIREMENTS

Vice President for Campus Services

Moore, Cleveland E., Custodial Worker, Supervisor I, Physical Plant Division, after 25 years and 6 months of total service, under AR II-1.6-1 Section III.A.2, effective 7/31/03.

Vice President for Fiscal Affairs and Information Technology

Centers, Betty Sue, Account Clerk VI, Employee Benefits, Human Resources, after 32 years and 11 months of total service, under AR II-1.6-1 Section III.B, effective 9/30/03.

Gaines, Jessie M., Custodial Worker IV, Employee Benefits, Human Resources, after 25 years and 5 months of total service, under AR II-1.6-1 Section III.B, effective 6/30/03.

Hicks, Rose B., Food Service Supervisor, Employee Benefits, Human Resources, after 37 years and 4 months of total service, under AR II-1.6-1 Section III.B, effective 5/31/03.

Hocker, Elizabeth A., Custodial Worker IV, Employee Benefits, Human Resources, after 19 years and 9 months of total service, under AR II-1.6-1 Section III.B, effective 8/31/03.

Murray, Agery Ann, Nursing Care Technician, Employee Benefits, Human Resources, after 15 years and 1 month of total service, under AR II-1.6-1 Section III.B, effective 6/30/03.

Powell, Thelma, Program Assistant, Employee Benefits, Human Resources, after 20 years and 4 months of total service, under AR II-1.6-1 Section III.B, effective 6/30/03.

White, Ora M., Program Assistant, Employee Benefits, Human Resources, after 16 years and 5 months of total service, under AR II-1.6-1 Section III.B, effective 9/30/03.

E. POST-RETIREMENT APPOINTMENTS

Blanton, Jack C., Special Assistant to the President, effective August 1, 2003, through July 31, 2004.

*Special Title Series

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Arnold, C. Benjamin Jr., College of Fine Arts, Professor (with tenure), Music, effective 8/1/03.

Carey, Karen, College of Education, Visiting Professor, Educational Policy Studies and Evaluation, 9/1/03 through 5/15/04.

Holloway, Lawrence, College of Engineering, Professor (with tenure), Electrical and Computer Engineering, appointed to the Kentucky Utilities Professorship in Electrical Engineering, 7/1/03 through 6/30/08.

Kim, Mi-Ok, College of Arts and Sciences, Assistant Professor, Statistics, 1/17/03 through 12/31/04.

Lewis, John, College of Arts and Sciences, Professor (with tenure), Mathematics, appointed to the Ralph E. and Norma L. Edwards Research Professorship in the Department of Mathematics, 7/1/03 through 6/30/06.

MacLeod, James N., College of Agriculture, Professor (with tenure), Veterinary Science, and appointed to the John S. and Elizabeth A. Knight Chair in Equine Musculoskeletal Sciences, effective 5/1/03.

Radun, Arthur, College of Engineering, Professor (with tenure), Electrical and Computer Engineering, appointed to the Tennessee Valley Authority Professorship in Electrical Engineering, 7/1/03 through 6/30/08.

Zhang, Yu-Ming, College of Engineering, Professor (with tenure), Electrical and Computer Engineering, appointed to the James R. Boyd Professorship in Electrical Engineering, 7/1/03 through 6/30/08.

B. ADMINISTRATIVE APPOINTMENTS

Arnold, C. Benjamin Jr., College of Fine Arts, Professor (with tenure), Music, appointed Director, Music, 8/1/03 through 6/30/07.

Carter, Bradley D., College of Engineering, New Economy Initiative-Special Assistant, Engineering Administration, effective 5/1/03.

Hall, John, College of Education, Associate Professor (with tenure), Kinesiology and Health Promotion, and Chair, Kinesiology and Health Promotion, reappointed Chair, Kinesiology and Health Promotion, 7/1/03 through 6/30/04.

Rinehart, James, College of Education, Associate Professor (with tenure), Administration and Supervision, and Chair, Administration and Supervision, reappointed Chair, Administration and Supervision, 7/1/03 through 6/30/07.

*Special Title Series

Singh, Vijay, College of Engineering, Professor (with tenure), Electrical and Computer Engineering, and Chair, Electrical and Computer Engineering, reappointed Chair, Electrical and Computer Engineering, 7/1/03 through 6/30/07.

Truszczynski, Mirek, College of Engineering, Professor (with tenure), Computer Science, and Chair, Computer Science, reappointed Chair, Computer Science, 7/1/03 through 6/30/07.

Tsang, Tate, College of Engineering, Professor (with tenure), Chemical and Materials Engineering, appointed Chair, Chemical and Materials Engineering, 7/1/03 through 6/30/07.

C. JOINT APPOINTMENTS

Bradshaw, David H., College of Arts and Sciences, Associate Professor (with tenure), Philosophy, joint appointment as Associate Professor (without tenure), Honors Program, Undergraduate Education, effective 7/1/03.

Crofton, John B., College of Engineering, Associate Professor (with tenure), Murray State University, joint appointment as Associate Professor (without tenure), Electrical and Computer Engineering, 7/1/03 through 6/30/04.

Fillmore, Mark, College of Arts and Sciences, Associate Professor (with tenure), Psychology, joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, effective 7/1/03.

Gao, Dayong, College of Engineering, Professor (with tenure), Mechanical Engineering, joint appointment as Professor (without tenure), Physiology, College of Medicine, effective 7/1/03.

Osborne, Amy B., Libraries, Librarian II (with tenure), Libraries, joint appointment as Librarian II (without tenure), College of Law, effective 7/1/03.

Palmer, Brent, College of Arts and Sciences, Associate Professor (with tenure), Biology, joint appointment as Associate Professor (without tenure), Toxicology, Graduate School, 8/1/03 through 7/31/08.

Segerstrom, Suzanne C., College of Arts and Sciences, Associate Professor (with tenure), Psychology, joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, effective 7/1/03.

Wildasin, David E., Graduate School, Professor (with tenure), Martin School of Public Policy and Administration, joint appointment as Professor (without tenure), Economics, College of Business and Economics, 8/16/03 through 6/30/06.

D. REAPPOINTMENTS

Cappiello, Paul E., College of Agriculture, Associate Adjunct Professor, Horticulture, 7/1/03 through 6/30/04.

*Special Title Series

Conners, Terrance E., College of Agriculture, Associate Extension Professor (without tenure), Forestry, 7/1/03 through 7/31/03.
Cothran, E. Gus, College of Agriculture, Research Professor, Veterinary Science, 7/1/03 through 6/30/04.
Dawson, Karl, College of Agriculture, Adjunct Professor, Animal Sciences, 7/1/03 through 6/30/04.
Huffman, Gerald P., College of Engineering, Research Professor, Chemical and Materials Engineering, 7/1/03 through 6/30/04.
Huggins, Frank E., College of Engineering, Research Professor, Chemical and Materials Engineering, 7/1/03 through 6/30/04.
Lowe, Kaye, College of Education, Visiting Professor, Curriculum and Instruction, 9/6/03 through 9/5/04.
Shah, Naresh, College of Engineering, Associate Research Professor, Chemical and Materials Engineering, 7/1/03 through 6/30/04.
Sikora, Frank J., College of Agriculture, Associate Adjunct Professor, Agronomy, 7/1/03 through 6/30/04.
Wheeler, Eileen F., College of Agriculture, Associate Adjunct Professor, Biosystems and Agricultural Engineering, 7/1/03 through 6/30/04.

E. PROMOTIONS

Anderman, Lynley, College of Education, from Assistant Professor, Educational and Counseling Psychology, to Associate Professor (with tenure), Educational and Counseling Psychology, effective 7/1/03.
Anderson, Leslie H., College of Agriculture, from Assistant Extension Professor, Animal Sciences, to Associate Extension Professor (with tenure), Animal Sciences, effective 7/1/03.
Boulden, George, College of Fine Arts, from Assistant Professor*, Music, to Associate Professor* (with tenure), Music, effective 7/1/03.
Bradshaw, David H., College of Arts and Sciences, from Assistant Professor Philosophy, to Associate Professor (with tenure), Philosophy, effective 7/1/03.
Burgett, James E., Libraries, from Librarian II (with tenure), Libraries, to Librarian I (with tenure), Libraries, effective 7/1/03.
Connelly, Allison, College of Law, from Assistant Clinical Professor, Law, to Associate Clinical Professor, Law, 7/1/03 through 6/30/08.
Draper, Terrence, College of Arts and Sciences, from Associate Professor (with tenure), Physics and Astronomy, to Professor (with tenure), Physics and Astronomy, effective 7/1/03.
Duffy, Michelle K., College of Business and Economics, from Assistant Professor, Management, to Associate Professor (with tenure), Management, effective 7/1/03.
Dunwell, Winston C., College of Agriculture, from Associate Extension Professor (with tenure), Horticulture, to Extension Professor (with tenure), Horticulture, effective 7/1/03.

*Special Title Series

Feist-Price, Sonja, College of Education, from Associate Professor (with tenure), Special Education and Rehabilitation Counseling, to Professor (with tenure), Special Education and Rehabilitation Counseling, effective 7/1/03.

Fillmore, Mark, College of Arts and Sciences, from Assistant Professor, Psychology, to Associate Professor (with tenure), Psychology, effective 7/1/03.

Frisbie, Elizabeth C., Lexington Community College, from Associate Professor (with tenure), Lexington Community College, to Professor (with tenure), Lexington Community College, effective 7/1/03.

Gao, Dayong, College of Engineering, from Associate Professor (with tenure), Mechanical Engineering, to Professor (with tenure), Mechanical Engineering, effective 7/1/03.

Giancola, Peter, College of Arts and Sciences, from Assistant Professor, Psychology, to Associate Professor (with tenure), Psychology, effective 7/1/03.

Graves, Jacqueline S., Lexington Community College, from Assistant Professor, Lexington Community College, to Associate Professor (with tenure), Lexington Community College, effective 7/1/03.

Harley, Debra, College of Education, from Associate Professor (with tenure), Special Education and Rehabilitation Counseling, to Professor (with tenure), Special Education and Rehabilitation Counseling, effective 7/1/03.

Harrison, Douglas A., College of Arts and Sciences, from Assistant Professor, Biology, to Associate Professor (with tenure), Biology, effective 7/1/03.

Holloway, John, College of Fine Arts, from Associate Professor* (with tenure), Theatre, to Professor* (with tenure), Theatre, effective 7/1/03.

Holloway, Lawrence E., College of Engineering, from Associate Professor (with tenure), Electrical and Computer Engineering, to Professor (with tenure), Electrical and Computer Engineering, effective 7/1/03.

Huang, George P., College of Engineering, from Associate Professor (with tenure), Mechanical Engineering, to Professor (with tenure), Mechanical Engineering, effective 7/1/03.

Hustedde, Ronald J., College of Agriculture, from Associate Extension Professor (with tenure), Community and Leadership Development, to Extension Professor (with tenure), Community and Leadership Development, effective 7/1/03.

Jackson, Jean, Lexington Community College, from Associate Professor (with tenure), Lexington Community College, to Professor (with tenure), Lexington Community College, effective 7/1/03.

James, Charles R., Lexington Community College, from Librarian II (with tenure), Lexington Community College, to Librarian I (with tenure), Lexington Community College, effective 7/1/03.

Johnson, Jefferson, College of Fine Arts, from Associate Professor* (with tenure), Music, to Professor* (with tenure), Music, effective 7/1/03.

*Special Title Series

Jones, Nancy C., College of Fine Arts, from Assistant Professor*, Theatre, to Associate Professor* (with tenure), Theatre, effective 7/1/03.

Keane, Mark A., College of Engineering, from Associate Professor (without tenure), Chemical and Materials Engineering, to Professor (with tenure), Chemical and Materials Engineering, effective 7/1/03.

Kelley, Scott W., College of Business and Economics, from Associate Professor (with tenure), Management, to Professor (with tenure), Management, effective 7/1/03.

Kellum, Rebecca, College of Arts and Sciences, from Assistant Professor, Biology, to Associate Professor (with tenure), Biology, effective 7/1/03.

Lane, Derek R., College of Communications and Information Studies, from Assistant Professor, Communication, to Associate Professor (with tenure), Communication, effective 7/1/03.

Linebaugh, Donald W., College of Arts and Sciences, from Assistant Professor*, Anthropology, to Associate Professor* (with tenure), Anthropology, effective 7/1/03.

Lu, Caicheng, College of Engineering, from Assistant Professor, Electrical and Computer Engineering, to Associate Professor (with tenure), Electrical and Computer Engineering, effective 7/1/03.

Marchant, Mary, College of Agriculture, from Associate Professor (with tenure), Agricultural Economics, to Professor (with tenure), Agricultural Economics, effective 7/1/03.

Martin, Diana, Lexington Community College, from Associate Professor (with tenure), Lexington Community College, to Professor (with tenure), Lexington Community College, effective 7/1/03.

Nagy, Peter, College of Agriculture, from Assistant Professor, Plant Pathology, to Associate Professor (with tenure), Plant Pathology, effective 7/1/03.

Osborne, Amy B., Libraries, from Librarian III, Libraries, to Librarian II (with tenure), Libraries, effective 7/1/03.

Prats, Armando J., College of Arts and Sciences, from Associate Professor (with tenure), English, to Professor (with tenure), English, effective 7/1/03.

Pucci, Suzanne, College of Arts and Sciences, from Associate Professor (with tenure), Modern and Classical Languages, to Professor (with tenure), Modern and Classical Languages, effective 7/1/03.

Readdy, Margaret A., College of Arts and Sciences, from Assistant Professor, Mathematics, to Associate Professor (with tenure), Mathematics, effective 7/1/03.

Segerstrom, Suzanne C., College of Arts and Sciences, from Assistant Professor, Psychology, to Associate Professor (with tenure), Psychology, effective 7/1/03.

Shen, Zhongwei, College of Arts and Sciences, from Associate Professor (with tenure), Mathematics, to Professor (with tenure), Mathematics, effective 7/1/03.

*Special Title Series

Silvia, William J., College of Agriculture, from Associate Professor (with tenure), Animal Sciences, to Professor (with tenure), Animal Sciences, effective 7/1/03.

Starr-LeBeau, Gretchen D., College of Arts and Sciences, from Assistant Professor, History, to Associate Professor (with tenure), History, effective 7/1/03.

Tietyen, Janet Lee, College of Agriculture, from Assistant Extension Professor, Family and Consumer Sciences, to Associate Extension Professor (with tenure), Family and Consumer Sciences, effective 7/1/03.

Vickner, Steven S., College of Agriculture, from Assistant Professor, Agricultural Economics, to Associate Professor (with tenure), Agricultural Economics, effective 7/1/03.

Wang, Changyou, College of Arts and Sciences, from Assistant Professor, Mathematics, to Associate Professor (with tenure), Mathematics, effective 7/1/03.

Williams, David W., College of Agriculture, from Assistant Professor, Agronomy, to Associate Professor (with tenure), Agronomy, effective 7/1/03.

Yang, Dong-Sheng, College of Arts and Sciences, from Assistant Professor, Chemistry, to Associate Professor (with tenure), Chemistry, effective 7/1/03.

Zimmerman, Julie, College of Agriculture, from Assistant Extension Professor, Community and Leadership Development, to Associate Extension Professor (with tenure), Community and Leadership Development, effective 7/1/03.

Zimmerman, Rick, College of Communications and Information Studies, from Associate Professor (with tenure), Communication, to Professor (with tenure), Communication, effective 7/1/03.

F. CHANGES

Jouridine, Linda A., College of Agriculture, from Associate Professor (temporary), Family and Consumer Sciences, to Associate Professor (with tenure), Family and Consumer Sciences, effective 7/1/03.

Wethington, Charles T. Jr., Lexington Community College, Associate Professor (with tenure), Lexington Community College, effective 7/1/03.

G. RETIREMENTS

Guthrie, Robert D., College of Arts and Sciences, Professor (with tenure), Chemistry, after 37 years and 10 months of consecutive service, under Section III.B of AR II-1.6-1, effective 6/30/03.

McCormick, Theodore, College of Business and Economics, Labor Education Director Associate, Center for Labor Education and Research, after 22

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years and 4 months of consecutive service, and 23 years of total service, under Section III.B of AR II-1.6-1, effective 7/31/03.

Scisco, Patricia G., College of Agriculture, Staff Support Associate II, Livestock Disease Diagnostic Center, after 27 years and 11 months of consecutive service, under Section III.B of AR II-1.6-1, effective 5/16/03.

Wiehe, Vernon R., College of Social Work, Professor* (with tenure), Social Work, after 28 years of consecutive service, under Section III.B of AR II-1.6-1, effective 6/30/03.

H. EARLY RETIREMENTS

Scheurich, Lawrence E., Office of the Provost, Administrative Staff Officer III, Provost Budget Office, after 29 years and 1 month of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 6/30/03.

Stone, Suedelle, College of Agriculture, Data Coordinator Senior, Regulatory Services, after 24 years and 7 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 5/5/03.

I. POST-RETIREMENT APPOINTMENTS

Brown, Benita, College of Agriculture, Secretary (part-time), Cooperative Extension Service, 7/1/03 through 6/30/04.

Dillon, Oscar W., College of Engineering, Professor (part-time), Mechanical Engineering, 7/1/03 through 6/30/04.

Donohew, R. Lewis, College of Communications and Information Studies, Professor (part-time), Communication, 7/1/03 through 6/30/04.

Funk, James E., College of Engineering, Professor (part-time), Mechanical Engineering, 7/1/03 through 6/30/04.

Heard, Rod, College of Engineering, Industry Extension Specialist (part-time), Center for Robotics and Manufacturing Systems, 7/1/03 through 6/30/04.

Lawson, James D., College of Agriculture, Assistant Director, Agricultural Experiment Station, 4/4/03 through 3/31/04.

Omvig, Clayton, College of Education, Professor (part-time), Administration and Supervision, 6/10/03 through 8/13/03.

Rice, Larry, College of Engineering, Research Facility Manager (part-time), Chemical and Materials Engineering, 7/1/03 through 6/30/04.

Stevens, Raymond R., College of Agriculture, Greenhouse Technician (part-time), Agronomy, 7/1/03 through 6/30/04.

Swift, Louis, Undergraduate Education, Professor (part-time), Honors Program, 7/1/03 through 12/31/03.

Wittwer, Alvin L., College of Engineering, Industry Extension Specialist (part-time), Center for Robotics and Manufacturing Systems, 7/1/03 through 6/30/04.

*Special Title Series

Wood, Don J., College of Engineering, Professor (part-time), Civil Engineering, 7/1/03 through 6/30/04.

J. PHASED RETIREMENT APPOINTMENTS

Beidleman, James C., College of Arts and Sciences, Professor (with tenure), Mathematics, to rescind phased retirement, effective 7/1/03.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Andrews, Rodney J., College of Engineering, Assistant Adjunct Professor, Mechanical Engineering, 7/1/03 through 6/30/05.

Crutcher, Michael, College of Arts and Sciences, Assistant Professor, Geography, 8/16/03 through 6/30/05.

McNall, Rebecca, College of Education, Assistant Professor, Curriculum and Instruction, 8/16/03 through 6/30/04.

Moran, Susan, College of Education, Assistant Professor*, Special Education and Rehabilitation Counseling, 8/16/03 through 6/30/04.

Randazzo, Kirk, College of Arts and Sciences, Assistant Professor, Political Science, 8/16/03 through 6/30/05.

Simon, Marion F., College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 2/1/03 through 6/30/04.

Umberger, Brian, College of Education, Assistant Professor, Kinesiology and Health Promotion, 8/16/03 through 6/30/04.

Walker, Lee, College of Arts and Sciences, Assistant Professor, Political Science, 8/16/03 through 6/30/05.

B. ADMINISTRATIVE APPOINTMENTS

Slaton, Deborah Bott, College of Education, Professor (with tenure), Special Education and Rehabilitation Counseling, appointed Acting Chair, Special Education and Rehabilitation Counseling, 7/1/03 through 6/30/04.

Stevens, Truman, College of Education, Associate Professor (with tenure), Curriculum and Instruction, appointed Acting Chair, Curriculum and Instruction, 7/1/03 through 6/30/04.

C. JOINT APPOINTMENTS

Elskus, Adria A., College of Arts and Sciences, Assistant Professor, Biology, joint appointment as Assistant Professor, Toxicology, Graduate School, 8/1/03 through 7/31/08.

*Special Title Series

Hinds, Bruce J., College of Engineering, Assistant Professor, Chemical and Materials Engineering, joint appointment as Assistant Professor, Chemistry, College of Arts and Sciences, 7/1/03 through 6/30/05.

Kemp, Michael C., College of Engineering, Assistant Professor, Murray State University, joint appointment as Assistant Professor, Chemical and Materials Engineering, 7/1/03 through 6/30/04.

D. REAPPOINTMENTS

Adams, Robert J., College of Engineering, Assistant Professor, Electrical and Computer Engineering, 7/1/03 through 6/30/05.

Adams, Ruth, College of Fine Arts, Assistant Professor, Art, 7/1/03 through 6/30/04.

Alderton, Amy L., College of Agriculture, Assistant Professor, Animal Sciences, 7/1/03 through 6/30/04.

Andrews, James, College of Communications and Information Studies, Assistant Professor, Library and Information Science, 7/1/03 through 6/30/04.

Antonious, George F., College of Agriculture, Assistant Adjunct Professor, Horticulture, 7/1/03 through 6/30/04.

Bailey, Hargis Ray, Lexington Community College, Librarian IV, Lexington Community College, 7/1/03 through 6/30/04.

Bailey, William A., College of Agriculture, Assistant Extension Professor, Agronomy, 7/1/03 through 6/30/04.

Barney, Robert J., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/03 through 6/30/04.

Batson, William Jr., Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Bicudo, Jose' R., College of Agriculture, Assistant Extension Professor, Biosystems and Agricultural Engineering, 7/1/03 through 6/30/04.

Bishop, Malachy, College of Education, Assistant Professor, Special Education and Rehabilitation Counseling, 7/1/03 through 6/30/04.

Black, James E., College of Engineering, Assistant Adjunct Professor, Civil Engineering, 7/1/03 through 6/30/04.

Boes, Donald V., Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Boyle, Karen, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/03 through 12/31/03.

Bradley, Kelly, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/03 through 6/30/04.

Brown, Elinor, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/03 through 6/30/04.

Brown, Tamara, College of Arts and Sciences, Assistant Professor, Psychology, 7/1/03 through 6/30/05.

Browne-Ferrigno, Tricia, College of Education, Assistant Professor, Administration and Supervision, 7/1/03 through 6/30/04.

Buckner, Terry D., Lexington Community College, Librarian IV, Lexington Community College, 7/1/03 through 6/30/04.

Byers, Matthew E., College of Agriculture, Assistant Adjunct Professor, Biosystems and Agricultural Engineering, 7/1/03 through 6/30/04.

Cappe, Yvonne, College of Communications and Information Studies, Assistant Professor*, Journalism and Telecommunications, 7/1/03 through 6/30/04.

Carey, Daniel I., College of Agriculture, Assistant Adjunct Professor, Biosystems and Agricultural Engineering, 7/1/03 through 6/30/04.

Carter, Kathleen B., College of Human Environmental Sciences, Instructor (part-time), Merchandising, Apparel and Textiles, 8/16/03 though 12/31/03.

Cheeks, Richard, College of Engineering, Assistant Adjunct Professor, Civil Engineering, 7/1/03 through 6/30/04.

Chen, Zhi, College of Engineering, Assistant Professor, Electrical and Computer Engineering, 7/1/03 through 6/30/05.

Chirwa, Robert M.N., Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Clark, John F., College of Communications and Information Studies, Assistant Professor*, Journalism and Telecommunications, 7/1/03 through 6/30/04.

Clark, Stephen, College of Arts and Sciences, Lecturer, Statistics, 7/1/03 through 6/30/04.

Cline, Gary R., College of Agriculture, Assistant Adjunct Professor, Horticulture, 7/1/03 through 6/30/04.

Coleman, Robert J., College of Agriculture, Assistant Extension Professor, Animal Sciences, 7/1/03 through 6/30/04.

Cooper, Michael A., Lexington Community College, Instructor, Lexington Community College, 7/1/03 through 6/30/04.

Crofcheck, Czarena, College of Agriculture, Assistant Professor, Biosystems and Agricultural Engineering, 7/1/03 through 6/30/04.

D'Angelo, Elisa M., College of Agriculture, Assistant Professor, Agronomy, 7/1/03 through 6/30/04.

Dasgupta, Siddhartha, College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/03 through 6/30/04.

Dobson, Stephen L., College of Agriculture, Assistant Professor, Entomology, 7/1/03 through 6/30/04.

Downey, Eileen K., Lexington Community College, Instructor, Lexington Community College, 7/1/03 through 6/30/04.

Downie, Allan Bruce, College of Agriculture, Assistant Professor, Horticulture, 7/1/03 through 6/30/04.

Durham, Richard E., College of Agriculture, Assistant Extension Professor, Horticulture, 7/1/03 through 6/30/04.

Elzey, Barbara, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

*Special Title Series

Feeney, Gregory, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.
Fields, Nelson, College of Fine Arts, Assistant Professor, Theatre, 7/1/03 through 6/30/04.
Franklin, Sharon Turner, College of Agriculture, Assistant Professor, Animal Sciences, 7/1/03 through 6/30/04.
Freeman, Heather, College of Fine Arts, Assistant Professor, Art, 7/1/03 through 6/30/04.
French, Christiana, Lexington Community College, Librarian IV, Lexington Community College, 7/1/03 through 6/30/04.
Gassett, Jonathan W., College of Agriculture, Assistant Adjunct Professor, Forestry, 7/1/03 through 6/30/04.
Gilman, Richard, College of Education, Assistant Professor, Educational and Counseling Psychology, 7/1/03 through 6/30/04.
Glasscock, Rebecca, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.
Goble, Christine A., College of Engineering, Assistant Professor (part-time), Mechanical Engineering, 7/1/03 through 6/30/04.
Goodin, Michael, College of Agriculture, Assistant Professor, Plant Pathology, 7/1/03 through 6/30/04.
Goodrum, Paul M., College of Engineering, Assistant Professor, Civil Engineering, 7/1/03 through 6/30/05.
Gorton, William T. III, College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/03 through 6/30/04.
Graves, Kathryn, College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/03 through 6/30/04.
Guiseppi, Lori, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.
Hall, Arlie, College of Engineering, Assistant Professor (voluntary), Mechanical Engineering, 7/1/03 through 6/30/04.
Handke, Michael, College of Arts and Sciences, Lecturer, Geological Sciences, 7/1/03 through 6/30/04.
Hardin, Albert Anthony, College of Fine Arts, Assistant Professor, Theatre, 7/1/03 through 6/30/04.
Harrod, Steven, College of Arts and Sciences, Assistant Professor (temporary), Psychology, 7/1/03 through 6/30/04.
Haven, Robert W., College of Fine Arts, Assistant Professor, Theatre, 7/1/03 through 6/30/04.
Hayes, Robert F., Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.
Healander, Beth, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.
Hinds, Bruce J., College of Engineering, Assistant Professor, Chemical and Materials Engineering, 7/1/03 through 6/30/05.
Hopper, Kevin, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

*Special Title Series

Houghton-Estes, Lori L., Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.
Human, Renee, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.
Hunt, Andrew, Lexington Community College, Instructor, Lexington Community College, 7/1/03 through 6/30/04.
Hunt, Randy E., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/03 through 6/30/04.
Ibendahl, Gregory A., College of Agriculture, Assistant Extension Professor, Agricultural Economics, 7/1/03 through 6/30/04.
Ireland, Sandra, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/03 through 5/31/04.
Jabbour, Michel E., College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/03 through 6/30/05.
Jensen, Jane, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/03 through 12/31/03.
Jones, Oscar L. Jr., Lexington Community College, Instructor, Lexington Community College, 7/1/03 through 6/30/04.
Jung, Lee Ann, College of Education, Assistant Professor, Special Education and Rehabilitation Counseling, 7/1/03 through 6/30/04.
Kachroo, Pradeep, College of Agriculture, Assistant Professor, Plant Pathology, 7/1/03 through 6/30/04.
Kalala, Nkongolo, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.
Keen, Debby L., College of Engineering, Lecturer, Computer Science, 7/1/03 through 6/30/04.
Kew, Barry W., College of Agriculture, Assistant Professor, Landscape Architecture, 7/1/03 through 6/30/04.
Khraisheh, Marwan, College of Engineering, Assistant Professor, Mechanical Engineering, 7/1/03 through 6/30/05.
Krampe, Kristina, College of Education, Assistant Research Professor, Special Education and Rehabilitation Counseling, 7/1/03 through 6/30/04.
Kumudini, Saratha, College of Agriculture, Assistant Professor, Agronomy, 7/1/03 through 6/30/04.
Lane, Leon, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.
Lanier, Rebecca, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.
Lau, Daniel L., College of Engineering, Assistant Professor, Electrical and Computer Engineering, 7/1/03 through 6/30/05.
Lee, Chad David, College of Agriculture, Assistant Extension Professor, Agronomy, 7/1/03 through 6/30/04.
Leifer, Jack, College of Engineering, Assistant Professor*, Mechanical Engineering, 7/1/03 through 6/30/05.

*Special Title Series

Lillard, Linda, College of Communications and Information Studies, Assistant Professor, Library and Information Science, 7/1/03 through 6/30/04.
Linton, Daniel, College of Arts and Sciences, Lecturer, Psychology, 7/1/03 through 6/30/04.
Luyster, William, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.
Maloney, Doreen, College of Fine Arts, Assistant Professor, Art, 7/1/03 through 6/30/04.
Margot, Francois Lucien, College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/03 through 6/30/05.
Martin, Andrew D., College of Arts and Sciences, Lecturer, Mathematics, 7/1/03 through 6/30/04.
Masabni, Joseph G., College of Agriculture, Assistant Extension Professor, Horticulture, 7/1/03 through 6/30/04.
Massey, Patricia A., Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.
Matocha, Christopher J., College of Agriculture, Assistant Professor, Agronomy, 7/1/03 through 6/30/04.
Matthews, James C., College of Agriculture, Assistant Professor, Animal Sciences, 7/1/03 through 6/30/04.
Maynard, Leigh J., College of Agriculture, Assistant Professor, Agricultural Economics, 7/1/03 through 6/30/04.
McLeod, Kyle R., College of Agriculture, Assistant Professor, Animal Sciences, 7/1/03 through 6/30/04.
McNeill, Samuel G., College of Agriculture, Assistant Extension Professor, Biosystems and Agricultural Engineering, 7/1/03 through 6/30/04.
Miller, Kim, College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/03 through 6/30/04.
Miriti, Landrea, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.
Montross, Michael D., College of Agriculture, Assistant Professor, Biosystems and Agricultural Engineering, 7/1/03 through 6/30/04.
Moore, Russell E., Lexington Community College, Instructor, Lexington Community College, 7/1/03 through 6/30/04.
Motamedi, Hossein, Lexington Community College, Instructor, Lexington Community College, 7/1/03 through 6/30/04.
Mueller, Chad, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.
Mueller, Thomas G., College of Agriculture, Assistant Professor, Agronomy, 7/1/03 through 6/30/04.
Newman, Melissa, College of Agriculture, Assistant Professor, Animal Sciences, 7/1/03 through 6/30/04.
Otieno, Iddah, Lexington Community College, Instructor, Lexington Community College, 7/1/03 through 6/30/04.
Oyuela-Caycedo, Augusto, College of Arts and Sciences, Assistant Professor (temporary), Anthropology, 7/1/03 through 6/30/04.

*Special Title Series

Palli, Subba Reddy, College of Agriculture, Assistant Professor, Entomology, 7/1/03 through 6/30/04.

Peiter, Robin L., College of Agriculture, Assistant Professor, Community and Leadership Development, 7/1/03 through 6/30/04.

Perry, Sharyn E., College of Agriculture, Assistant Professor, Agronomy, 7/1/03 through 6/30/04.

Phillips, Taunya, College of Engineering, Lecturer, Mechanical Engineering, 7/1/03 through 6/30/04.

Piwowarski, Paul, College of Engineering, Lecturer, Computer Science, 7/1/03 through 6/30/04.

Pomper, Kirk W., College of Agriculture, Assistant Adjunct Professor, Horticulture, 7/1/03 through 6/30/04.

Rader, Hannelore, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/03 through 12/31/03.

Real, Kevin, College of Communications and Information Studies, Assistant Professor, Communication, 7/1/03 through 6/30/04.

Roberto, Anthony J., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/03 through 6/30/04.

Roemmele, Lise I., Lexington Community College, Instructor, Lexington Community College, 7/1/03 through 6/30/04.

Rohlf, Richard A., College of Agriculture, Assistant Adjunct Professor, Biosystems and Agricultural Engineering, 7/1/03 through 6/30/04.

Rose, Anthony, Lexington Community College, Instructor, Lexington Community College, 7/1/03 through 6/30/04.

Ross-Brown, Kimberly, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Rostosky, Sharon, College of Education, Assistant Professor, Educational and Counseling Psychology, 7/1/03 through 6/30/04.

Sadat, Anas Anwar, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Saghaian, Sayed H., College of Agriculture, Assistant Research Professor, Agricultural Economics, 7/1/03 through 8/31/03.

Santana, Gary L., Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Sarrantonio, Linda, Lexington Community College, Instructor, Lexington Community College, 7/1/03 through 6/30/04.

Saucier, Donald, College of Arts and Sciences, Lecturer, Psychology, 7/1/03 through 6/30/04.

Schwab, Gregory J., College of Agriculture, Assistant Extension Professor, Agronomy, 7/1/03 through 6/30/04.

Scorsone, Eric A., College of Agriculture, Assistant Extension Professor, Agricultural Economics, 7/1/03 through 6/30/04.

Sedlacek, John D., College of Agriculture, Assistant Adjunct Professor, Entomology, 7/1/03 through 6/30/04.

*Special Title Series

Silverstein, David L., College of Engineering, Assistant Professor, Chemical and Materials Engineering, 7/1/03 through 6/30/05.

Siminszky, Balazs, College of Agriculture, Assistant Professor, Agronomy, 7/1/03 through 6/30/04.

Simms, Ruth, Lexington Community College, Instructor, Lexington Community College, 7/1/03 through 6/30/04.

Smith, Stacy, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Stephens, Rebecca, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/03 through 5/31/04.

Stombaugh, Timothy S., College of Agriculture, Assistant Extension Professor, Biosystems and Agricultural Engineering, 7/1/03 through 6/30/04.

Tanaka, Keiko, College of Agriculture, Assistant Professor, Community and Leadership Development, 7/1/03 through 6/30/04.

Tidwell, James H., College of Agriculture, Assistant Adjunct Professor, Animal Sciences, 7/1/03 through 6/30/04.

Tolzmann, Don, College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/1/03 through 12/31/03.

Vanzant, Eric S., College of Agriculture, Assistant Professor, Animal Sciences, 7/1/03 through 6/30/04.

Walker, Debra J., Lexington Community College, Instructor, Lexington Community College, 7/1/03 through 6/30/04.

Walmsley, Lee Anne, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Wang, Changzheng, College of Agriculture, Assistant Adjunct Professor, Animal Sciences, 7/1/03 through 6/30/04.

Webster, Carl D., College of Agriculture, Assistant Adjunct Professor, Animal Sciences, 7/1/03 through 6/30/04.

Webster-Little, Stacy, Lexington Community College, Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

White, Jackie, College of Communications and Information Studies, Assistant Professor*, Library and Information Science, 7/1/03 through 6/30/04.

Williams, Mark A., College of Agriculture, Assistant Professor, Horticulture, 7/1/03 through 6/30/04.

Yang, Fuqian, College of Engineering, Assistant Professor, Chemical and Materials Engineering, 7/1/03 through 6/30/05.

Zhai, Tongguang, College of Engineering, Assistant Professor, Chemical and Materials Engineering, 7/1/03 through 6/30/05.

Zirkle, Ross E., College of Fine Arts, Assistant Professor, Art, 7/1/03 through 6/30/04.

*Special Title Series

E. PROMOTIONS WITHOUT TENURE

Blount, Steven C., Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Boggs, Rita K., Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Buck, Daniel K., Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Davis, Robin A., Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Halcomb, Don A., Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Kelly, Ryan S., Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Knowles, Tracy L., Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Miller, Kausha C., Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Mosby, Tricia, Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Piercy, Emily C., Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Rushin, Palisa W., Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Schuman, Daniel B., Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Taghizadeh, Rasoul, Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

Williams, Laura A., Lexington Community College, from Instructor, Lexington Community College, to Assistant Professor, Lexington Community College, 7/1/03 through 6/30/04.

*Special Title Series

F. TERMINAL REAPPOINTMENTS

Fleming, Ronald A., College of Agriculture, Assistant Professor, Agricultural Economics, 7/1/03 through 5/31/04.

Trawick, Paul B., College of Arts and Sciences, Assistant Professor, Anthropology, 7/1/03 through 6/30/04.

G. LEAVES OF ABSENCE

Akins, Chana, College of Arts and Sciences, Associate Professor (with tenure), Psychology, Sabbatical leave with half salary, 7/1/03 through 6/30/04.

Allison, Jonathan, College of Arts and Sciences, Associate Professor (with tenure), English, Sabbatical leave with half salary, 7/1/03 through 6/30/04.

Biglieri, Anibal, College of Arts and Sciences, Associate Professor (with tenure), Hispanic Studies, Sabbatical leave with half salary, 7/1/03 through 6/30/04.

Bosch, Anna, College of Arts and Sciences, Associate Professor (with tenure), English, Sabbatical leave with half salary, 7/1/03 through 6/30/04.

Boulden, George, College of Fine Arts, Associate Professor* (with tenure), Music, Sabbatical leave with half salary, 7/1/03 through 6/30/04.

Carlson, Charles, College of Arts and Sciences, Professor (with tenure), Psychology, Sabbatical leave with full salary, 1/1/04 through 6/30/04.

Clayton, Thomas, College of Arts and Sciences, Associate Professor (with tenure), English, Sabbatical leave with full salary, 1/1/04 through 6/30/04.

Crutcher, Michael, College of Arts and Sciences, Assistant Professor, Geography, Leave without pay, 7/1/03 through 12/31/03.

Dale, Daniel, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, Sabbatical leave with half salary, 7/1/03 through 6/30/04.

DeSantis, Alan, College of Communications and Information Studies, Associate Professor* (with tenure), Communication, Sabbatical leave with full salary, 1/1/04 through 6/30/04.

Eberhart, Carl, College of Arts and Sciences, Professor (with tenure), Mathematics, Sabbatical leave with full salary, 7/1/03 through 12/31/03.

Finney, Nikky, College of Arts and Sciences, Associate Professor (with tenure), English, Leave without pay, 7/1/03 through 12/31/03.

Freehling, William, College of Arts and Sciences, Professor (with tenure), History, Scholarly fellowship leave, 7/1/03 through 6/30/04.

Gabel, Matthew, College of Arts and Sciences, Associate Professor (with tenure), Political Science, Sabbatical leave with full salary, 7/1/03 through 12/31/03.

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Hickner, Paula, Libraries, Librarian I (with tenure), Libraries, Sabbatical leave with full salary, 8/1/03 through 1/31/04.

Holler, F. James, College of Arts and Sciences, Professor (with tenure), Chemistry, Sabbatical leave with full salary, 7/1/03 through 12/31/03.

Huston, Roger L., Office of the Provost, Planning/Budget Director, Provost Budget Office, Leave with partial salary, 7/1/03 through 6/30/04.

Janoski, Thomas, College of Arts and Sciences, Associate Professor (with tenure), Sociology, Sabbatical leave with full salary, 7/1/03 through 12/31/03.

Linebaugh, Donald, College of Arts and Sciences, Associate Professor* (with tenure), Anthropology, Sabbatical leave with full salary, 1/1/04 through 6/30/04.

Lorch, Elizabeth, College of Arts and Sciences, Professor (with tenure), Psychology, Sabbatical leave with half salary, 7/1/03 through 6/30/04.

MacDonald, Joyce, College of Arts and Sciences, Associate Professor (with tenure), English, Sabbatical leave with full salary, 7/1/03 through 12/31/03.

Margot, Francis, College of Arts and Sciences, Associate Professor (with tenure), Mathematics, Leave without pay, 7/1/03 through 6/30/04.

Martin, Hubert, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Sabbatical leave with half salary, 7/1/03 through 6/30/04.

Miller, Wallis, College of Design, Associate Professor (with tenure), Architecture, Scholarly fellowship leave, 7/1/03 through 6/30/04.

Palmgreen, Philip, College of Communications and Information Studies, Professor (with tenure), Communication, Sabbatical leave with full salary, 1/1/04 through 6/30/04.

Perreiah, Alan, College of Arts and Sciences, Professor (with tenure), Philosophy, Sabbatical leave with half salary, 7/1/03 through 6/30/04.

Pertusa-Seva, Inma, College of Arts and Sciences, Associate Professor* (with tenure), Hispanic Studies, Sabbatical leave with full salary, 1/1/04 through 6/30/04.

Poonacha, K.B., College of Agriculture, Professor* (with tenure), Veterinary Science, Leave without pay, 5/19/03 through 5/30/03.

Riggle, Ellen, College of Arts and Sciences, Associate Professor (with tenure), Political Science, Sabbatical leave with full salary, 1/1/04 through 6/30/04.

Rimmer, Susan, College of Arts and Sciences, Associate Professor (with tenure), Geological Sciences, Sabbatical leave with full salary, 1/1/04 through 6/30/04.

Rowland, Daniel, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave with full salary, 7/1/03 through 12/31/03.

Schardl, Christopher, College of Agriculture, Professor (with tenure), Plant Pathology, to change from Sabbatical leave with full salary, 4/1/03

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through 9/17/03, to Sabbatical leave with full salary, 4/1/03 through 9/30/03.

Schatzki, Theodore, College of Arts and Sciences, Professor (with tenure), Philosophy, Sabbatical leave with half salary, 1/1/04 through 6/30/04.

Segerstrom, Suzanne, College of Arts and Sciences, Associate Professor (with tenure), Psychology, Sabbatical leave with half salary, 1/1/04 through 6/30/04.

Slater, Barbara, Lexington Community College, Associate Professor (with tenure), Lexington Community College, Leave without pay, 7/1/03 through 6/30/04.

Smyth-Pinney, Julia, College of Design, Associate Professor (with tenure), Architecture, Leave without pay, 7/1/03 through 6/30/04.

Starr-LeBeau, Gretchen, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave with half salary, 7/1/03 through 6/30/04.

Tian, Kelly, College of Business and Economics, Associate Professor (with tenure), Management, Leave without pay, 7/1/03 through 6/30/04.

Trutt, Fred C., College of Engineering, Professor (with tenure), Electrical and Computer Engineering, Scholarly fellowship leave, 7/1/03 through 6/30/04.

Vance, Jane, College of Arts and Sciences, Associate Professor (with tenure), English, Sabbatical leave with full salary, 7/1/03 through 12/31/03.

Webb, Bruce A., College of Agriculture, Professor (with tenure), Entomology, Sabbatical leave with full salary, 1/15/04 through 8/14/04.

Zhou, Mai, College of Arts and Sciences, Associate Professor (with tenure), Statistics, Sabbatical leave with half salary, 7/1/03 through 6/30/04.

Zoubir-Shaw, Sadia, College of Arts and Sciences, Associate Professor* (with tenure), Modern and Classical Languages, Sabbatical leave with full salary, 1/1/04 through 6/30/04.

*Special Title Series

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Ain, Kenneth B., College of Medicine, Professor (with tenure), Internal Medicine, named to the Edith D. Gardner Professorship in Cancer Research, 7/1/03 through 6/30/04.

Baron, Andre T., College of Medicine, Assistant Professor, Internal Medicine, joint appointment as Assistant Professor, Kentucky School of Public Health, 8/1/03 through 6/30/04.

Chi, Young-In, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 9/1/03 through 6/30/04.

Craven, Rolf J., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/03 through 6/30/04.

Daugherty, Alan, College of Medicine, Professor (with tenure), Internal Medicine, named to the Gill Foundation Chair in Preventive Cardiology, 7/1/03 through 6/30/08.

deBeer, Frederick C., College of Medicine, Professor (with tenure), Internal Medicine, named to the Jack M. Gill Chair in Internal Medicine, 7/1/03 through 6/30/08.

DiSessa, Thomas G., College of Medicine, Professor* (without tenure), Pediatrics, 5/7/03 through 5/6/04.

D'Souza, Nympha B., College of Medicine, Assistant Professor, Internal Medicine, 7/1/03 through 6/30/04.

Eichhorn, John H., College of Medicine, Clinical Professor, Anesthesiology, 5/21/03 through 6/30/03.

Gold, Brian T., College of Medicine, Assistant Professor, Anatomy and Neurobiology, 8/1/03 through 6/30/04.

Gupta, Arti, College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 8/1/03 through 6/30/04.

Jennings-Conklin, Karen S., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/15/03 through 6/30/04.

Kahwash, Rami, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/03 through 6/30/04.

Kraman, Steve S., College of Medicine, Professor (with tenure), Internal Medicine, named to the Margaret Logan Colvin Chair in Lung Disease Research, 7/1/03 through 6/30/08.

Lutz, Charles T., College of Medicine, Professor (with tenure), Pathology and Laboratory Medicine, joint appointment as Professor (without tenure), Microbiology, Immunology and Molecular Genetics, effective 7/1/03.

Matheny, Samuel C., College of Medicine, Professor* (with tenure), Family Practice and Community Medicine, named to the Nicholas J. Pisacano, M.D. Chair in Family Medicine, 7/1/03 through 6/30/08.

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McClintock, Timothy S., College of Medicine, Associate Professor (with tenure), Physiology, named to the Dr. Louis L. Boyarsky Professorship, 7/1/03 through 6/30/08.
Necula, Virgiliu, College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 8/1/03 through 6/30/04.
Omar, Sadia, College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/03 through 6/30/04.
Panchal, Mahendra J., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/03 through 6/30/04.
Pinkstaff, Thomas H., College of Medicine, Professor (part-time), Pediatrics, 7/1/03 through 6/30/04.
Plattner, Rina, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/03 through 6/30/04.
Reid, Michael B., College of Medicine, Professor (with tenure), Physiology, effective 8/1/03.
Satterfield, William H., College of Medicine, Clinical Instructor, Surgery, 8/1/03 through 6/30/04.
Schell, Randall M., College of Medicine, Clinical Professor, Anesthesiology, 5/7/03 through 6/30/03.
Schulman, Elizabeth D., College of Health Sciences, Associate Professor* (with tenure), Clinical Sciences, effective 7/1/02.
Stein, Lauren P., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/03 through 6/30/04.
Stein, Pam S., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/03 through 6/30/04.
Thornton, Alice C., College of Medicine, Assistant Professor* (without tenure), Internal Medicine, named to the Infectious Diseases Research Professorship in Internal Medicine, 7/1/03 through 6/30/04.
White, Carol R., College of Medicine, Assistant Research Professor, Internal Medicine, 7/30/03 through 6/30/04.
Winter, Trevor A., College of Medicine, Associate Professor* (without tenure), Internal Medicine, 5/1/03 through 6/30/03.
Wong, Isaac, College of Medicine, Associate Professor (without tenure), Molecular and Cellular Biochemistry, 5/1/03 through 6/30/03.

B. ADMINISTRATIVE APPOINTMENTS

Lester, Harold D., Dentist, College of Dentistry, Oral Health Science/Public Service, effective 7/1/03.
McLaughlin, Paul, Physician, University Health Service, effective 6/1/03.
Reid, Michael B., College of Medicine, Professor (with tenure), Physiology, named Chair, Department of Physiology, 8/1/03 through 7/31/09.
Saha, Sibu, Physician, College of Medicine, Clinical Program Unit/Cardiothoracic Surgery, effective 5/1/03.

*Special Title Series

C. JOINT APPOINTMENTS

Dwoskin, Linda P., College of Pharmacy, Professor (with tenure), joint appointment as Professor (without tenure), Graduate School, Graduate Center for Toxicology, new joint appointment as Professor (without tenure), College of Medicine, Department of Behavioral Science, effective 2/1/03.

Sarge, Kevin D., College of Medicine, Associate Professor (with tenure), Molecular and Cellular Biochemistry, joint appointment as Associate Professor (without tenure), Graduate School, Graduate Center for Toxicology, 8/1/03 through 7/31/08.

D. ADMINISTRATIVE REAPPOINTMENTS

Bachner, Paul, College of Medicine, Professor* (with tenure), Pathology and Laboratory Medicine, reappointed Chair, Department of Pathology and Laboratory Medicine, 12/1/03 through 11/30/09.

Gash, Don M., College of Medicine, Professor (with tenure), Anatomy and Neurobiology, reappointed Director, Magnetic Resonance Imaging and Spectroscopy Center, 1/1/04 through 12/31/08.

E. REAPPOINTMENTS

Anderson, Debra G., College of Nursing, Associate Professor (without tenure), 7/1/03 through 6/30/04.

Bard, James W., College of Medicine, Professor (part-time), Internal Medicine, 7/1/03 through 6/30/04.

Cottrill, Carol M., College of Medicine, Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.

Coupal, John J., College of Medicine, Associate Professor (voluntary), Diagnostic Radiology, joint appointment as Associate Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.

Donaldson, Elvis S., College of Medicine, Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

Eichhorn, John H., College of Medicine, Clinical Professor, Anesthesiology, 7/1/03 through 6/30/04.

French, Daniel L., College of Medicine, Associate Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Goodwin, Russel L., College of Medicine, Associate Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.

Guiler, David S., College of Medicine, Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

Harper, Mary L., College of Pharmacy, Associate Adjunct Professor, 7/1/03 through 6/30/04.

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Hirschowitz, Edward A., College of Medicine, Assistant Professor (without tenure), reappointed to the Merck & Co., Inc. Professorship in Pulmonary Medicine, 7/1/03 through 6/30/04.

Hyatt, Mark C., College of Medicine, Associate Professor (voluntary), Psychiatry, 7/1/03 through 6/30/06.

Jenkins, Van R., College of Medicine, Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

Johnson, Pamela G., College of Medicine, Associate Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.

Jones, Erwin A., College of Medicine, Associate Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.

Kwolek, Deborah G., College of Medicine, Associate Professor (part-time), Internal Medicine, 7/1/03 through 6/30/04.

Leach, Rice C., College of Medicine, Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/03 through 6/30/06.

Leavell, Ullin W., College of Medicine, Professor (part-time), Internal Medicine, 7/1/03 through 6/30/04.

Leigh, Terrence, College of Medicine, Associate Professor (voluntary), Behavioral Science, 7/1/03 through 6/30/06.

McDaniel, William E., College of Medicine, Professor (part-time), Internal Medicine, 7/1/03 through 6/30/04.

Meek, Robin R., College of Medicine, Associate Professor (part-time), Internal Medicine, 7/1/03 through 6/30/04.

Moss, Kelly G., College of Medicine, Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Raitiere, Colin, College of Medicine, Associate Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Rayens, Mary K., College of Nursing, Associate Research Professor, joint appointments as Associate Research Professor, College of Medicine, Kentucky School of Public Health and Pediatrics, 7/1/03 through 6/30/04.

Rice, Carol H., College of Medicine, Professor (part-time), Kentucky School of Public Health, 7/1/03 through 6/30/04.

Ruth, Douglas D., College of Medicine, Associate Professor (voluntary), Psychiatry, 7/1/03 through 6/30/06.

Schell, Randall M., College of Medicine, Clinical Professor, Anesthesiology, 7/1/03 through 6/30/04.

Scott, Edward J., College of Medicine, Associate Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.

Smith, Thomas J., College of Medicine, Professor (voluntary), Ophthalmology, 7/1/03 through 6/30/06.

Stumbo, W. G., College of Medicine, Associate Professor (voluntary), Family Practice and Community Medicine, joint appointment as Associate Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/03 through 6/30/06.

*Special Title Series

Winter, Trevor A., College of Medicine, Associate Professor* (without tenure), Internal Medicine, 7/1/03 through 6/30/04.

Wong, Isaac, College of Medicine, Associate Professor (without tenure), Molecular and Cellular Biochemistry, 7/1/03 through 6/30/04.

F. PROMOTIONS

Armsey, Thomas D., College of Medicine, Assistant Professor*, Family Practice and Community Medicine, to Associate Professor* (with tenure), Family Practice and Community Medicine, joint appointment as Associate Professor* (without tenure), Surgery, effective 7/1/03.

Asmis, Reto H., College of Medicine, Assistant Professor, Internal Medicine, joint appointment as Assistant Professor, Physiology, to Associate Professor (with tenure), Internal Medicine, joint appointment as Associate Professor (without tenure), Physiology, effective 7/1/03.

Chen, Kuey C., College of Medicine, Assistant Research Professor, Molecular and Biomedical Pharmacology, to Associate Research Professor, Molecular and Biomedical Pharmacology, 7/1/03 through 6/30/04.

Elam, Carol L., College of Medicine, Associate Professor* (with tenure), Behavioral Science, to Professor* (with tenure), Behavioral Science, effective 7/1/03.

Kaetzel, David M., College of Medicine, Associate Professor (with tenure), Molecular and Biomedical Pharmacology, to Professor (with tenure), Molecular and Biomedical Pharmacology, effective 7/1/03.

Kesavalu, Lakshmyya, College of Dentistry, Assistant Research Professor, Oral Health Science, to Associate Research Professor, Oral Health Science, 7/1/03 through 6/30/04.

Lindroth, John E., College of Dentistry, Assistant Professor*, Oral Health Practice, to Associate Professor* (with tenure), Oral Health Practice, effective 7/1/03.

Mattacola, Carl G., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, to Associate Professor (with tenure), Rehabilitation Sciences, effective 7/1/03.

McKeown, John W., College of Medicine, Clinical Associate Professor, Internal Medicine, to Clinical Professor, Internal Medicine, 7/1/03 through 6/30/04.

Moreno, Pedro R., College of Medicine, Assistant Professor*, Internal Medicine, to Associate Professor* (with tenure), Internal Medicine, effective 7/1/03.

Park, Adrian, College of Medicine, Associate Professor* (with tenure), Surgery, to Professor* (with tenure), Surgery, effective 7/1/03.

Patchell, Roy A., College of Medicine, Associate Professor (with tenure), Surgery, joint appointment as Associate Professor (without tenure), Neurology, to Professor (with tenure), Surgery, joint appointment as Professor (without tenure), Neurology, effective 7/1/03.

Rush, Craig R., College of Medicine, Associate Professor (with tenure), Behavioral Science, joint appointments as Associate Professor (without tenure), Psychiatry and College of Arts and Sciences, Department of Psychology, to Professor (with tenure), Behavioral Science, joint appointments as Professor (without tenure), Psychiatry and College of Arts and Sciences, Department of Psychology, effective 7/1/03.

Skelton, Judith, College of Dentistry, Assistant Professor*, Oral Health Science, to Associate Professor* (with tenure), Oral Health Science, effective 7/1/03.

Wong, Peter, College of Medicine, Associate Professor* (with tenure), Pediatrics, to Professor* (with tenure), Pediatrics, effective 7/1/03.

G. CHANGES

Assael, Leon A., College of Dentistry, from Professor (with tenure), Oral Health Science, joint appointment as Professor (without tenure), College of Medicine, Department of Surgery, to Professor (part-time), Oral Health Science, 7/1/03 through 6/30/04.

Colclough, George W., College of Medicine, from Associate Professor* (with tenure), Anesthesiology, to Clinical Associate Professor, Anesthesiology, 7/1/03 through 6/30/04.

Manella, Frank, College of Pharmacy, from CPST Operations Manager, to CPST Managing Director, effective 7/1/03.

Pedigo, Norman W., College of Medicine, from Associate Professor (with tenure), Molecular and Biomedical Pharmacology, joint appointment as Associate Professor (without tenure), Anesthesiology, to Associate Professor* (with tenure), Molecular and Biomedical Pharmacology, joint appointment as Associate Professor* (without tenure), Anesthesiology, effective 7/1/03.

Stroth, Hugh I., from College of Medicine, Associate Director of Medical Center Development, Office of the Dean, to Medical Center, Development Director, Office of Development, effective 5/1/03.

H. RETIREMENTS

Alcorn, Beverly, Surgery, Nurse Staff I, Urology, after 22 years, 9 months of consecutive service, and a total of 23 years, 6 months, under AR II-1.6-1, Section III.B, effective 5/16/03.

Graves, Mary B., College of Nursing, Staff Support Associate I, after 15 years of consecutive service, under AR II-1.6-1, Section III.B, effective 7/21/03.

Lightfoot, Robert W., College of Medicine, Professor (with tenure), Internal Medicine, after 15 years, 7 months of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/03.

*Special Title Series

I. EARLY RETIREMENTS

Lillich, Thomas T., College of Dentistry, Professor (with tenure), Oral Health Science. after 31 years, 1 month of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/30/03

Masterson Anne G., College of Dentistry, Dental Technician, Lab Services, after 25 years, 3 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 8/15/03.

Moore, Karen K., University Hospital, Care Coordinator, Patient and Family Services, after 26 years of consecutive service, and a total of 27 years, under AR II-1.6-1, Section III.A.2, effective 6/30/03.

Pelfrey, Carol A., College of Medicine, HCP Associate Administrator, UK Health Care Plans, after 25 years, 11 months of consecutive service, and a total of 26 years, 5 months, under AR II-1.6-1, Section III.A.2, effective 6/30/03.

Walters, Mary Sue, College of Medicine, Patient Relations Coordinator, Kentucky Clinic Administration, after 15 years, 5 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/31/03.

Williams, Mary A., University Hospital, Patient Relations Assistant I, Kentucky Clinic North, after 34 years, 5 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/27/03.

J. POST-RETIREMENT APPOINTMENTS

Austin, Elizabeth, University Hospital, Master Tech (part-time), Core Lab, 5/19/03 through 6/30/03 and reappointed 7/1/03 through 6/30/04.

Christman, Norma J., College of Nursing, Associate Professor (part-time), 7/1/03 through 6/30/04.

Gaither, Elizabeth T., College of Medicine, Staff Support Associate (part-time), Family Practice, 7/1/03 through 6/30/04.

Robertson, Vickie, University Hospital, Master Tech (part-time), Blood Bank, 6/1/03 through 6/30/03 and reappointed 7/1/03 through 8/1/03.

Stevens, David B., College of Medicine, Professor (part-time), Surgery, 4/1/03 through 6/30/03 and reappointed 7/1/03 through 6/30/04.

Stiene-Martin, Elizabeth A., College of Health Sciences, Professor (part-time), Clinical Sciences, 7/1/03 through 6/30/04.

Traurig, Harold H., College of Medicine, Professor (part-time), Anatomy and Neurobiology, 7/1/03 through 6/30/04.

K. PHASED RETIREMENTS

Mullins, M. Raynor, College of Dentistry, Associate Professor (with tenure), Oral Health Science, 7/1/03 through 6/30/08.

Smith, Timothy A., College of Medicine, Professor (with tenure), Behavioral Science, joint appointments as Professor (without tenure), College of

Dentistry, Department of Oral Health Science and College of Education, Department of Psychology and Counseling, 7/1/03 through 6/30/08.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Adams, Kevin J., College of Pharmacy, Instructor (voluntary), 7/1/03 through 6/30/06.

Baker, Chris J., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.

Ballou, Sheldon A., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.

Boster, Joseph M., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.

Brislin, John, College of Pharmacy, Instructor (voluntary), 7/1/03 through 6/30/06.

Campbell, Sharon, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.

Cole, Ronald W., College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 7/1/03 through 6/30/06.

Connelley, Richard L., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.

Coomer, Jason R., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.

Creech, John D., College of Pharmacy, Instructor (voluntary), 4/28/03 through 6/30/03.

Cunnagin, Trisha, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.

Dietrich, Charles S., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/03 through 6/30/04.

Gray, Anita A., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.

Gray, Delmar W., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.

Grow, Joseph B., College of Medicine, Assistant Professor (voluntary), Anesthesiology, 7/1/03 through 6/30/06.

Growse, Michael J., College of Medicine, Instructor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Hayden, Richard J., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Hewlett, Mark E., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.

Hinton, Gary D., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.
Hinton, Terry D., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.
Hoetker, Kirby C., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.
Hyden, Jerry T., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Lancaster, Laura A., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/03 through 6/30/04.
Long, Timothy R., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Lynch, Joseph S., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.
Mitchell, Stephen B., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 8/1/03 through 6/30/06.
Muha, Brett M., College of Medicine, Assistant Professor (part-time), Family Practice and Community Medicine, 7/1/03 through 6/30/04.
Powers, David E., College of Pharmacy, Assistant Professor (voluntary), 4/28/03 through 6/30/03.
Race, David F., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Ross, John, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Rowe, Billy W., College of Pharmacy, Assistant Professor (voluntary), 4/28/03 through 6/30/03.
Sabharwal, Surinder S., College of Pharmacy, Assistant Professor (voluntary), 4/28/03 through 6/30/03.
Schumann, Andrea C., College of Pharmacy, Instructor (voluntary), 7/1/03 through 6/30/06.
Shepherd, Cynthia, College of Health Sciences, Instructor (voluntary), Rehabilitation Sciences, 7/1/03 through 6/30/06.
Slone, Zena M., College of Pharmacy, Assistant Professor (voluntary), 4/28/03 through 6/30/03.
Smith, David E., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/6/03 through 6/30/03.
Smith, Randall B., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.
Smith, Sara, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Takacs, Patricia E., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.
Turner, R. Todd, College of Pharmacy, Assistant Professor (voluntary), 4/28/03 through 6/30/03.
Wei, Xin, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/03 through 6/30/04.

*Special Title Series

Woodland, Byron B., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/16/03 through 6/30/03.

B. ADMINISTRATIVE APPOINTMENTS

Kovarik, Robert E., College of Dentistry, Associate Professor (with tenure), Oral Health Practice, named Acting Dean, College of Dentistry, 7/1/03 through 12/31/03.

Mujumdar, Amol, University Hospital, Clinical Staff Pharmacist, Pharmacy Services, effective 4/21/03.

Okeson, Jeffrey, College of Dentistry, Professor* (with tenure), Oral Health Science, joint appointment as Professor* (without tenure), College of Medicine, Department of Neurology, named Acting Chair, Department of Oral Health Science, 7/1/03 through 6/30/04.

Robinson, Fonda G., College of Dentistry, Associate Professor* (with tenure), Oral Health Practice, named Acting Chair, Department of Oral Health Practice, 7/1/03 through 12/31/03.

C. JOINT APPOINTMENTS

Ascani, Enrico, College of Medicine, Assistant Professor (part-time), Family Practice and Community Medicine, joint appointment as Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/03 through 6/30/04.

Hosey, Robert G., College of Medicine, Assistant Professor*, Family Practice and Community Medicine, joint appointment as Assistant Professor*, Surgery, 7/1/03 through 6/30/04.

D. REAPPOINTMENTS

Adams, Thomas M., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Adkins, J. T., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

Ahnquist, Gary R., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

Alexander, James G., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

Alvarado, Ralph A., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.

Andreas, David B., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/03 through 6/30/06.

Ashby, Margo N., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Atcher, Dwight D., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/03 through 6/30/06.

Baehl, Amy, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Bailey, Keith, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Baker, Chris J., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
Ballou, Sheldon A., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
Beckmann, Charles, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Beebe, Leslie M., College of Nursing, Instructor (part-time), 7/1/03 through 6/30/04.
Beebe, Morris W., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.
Belendez, Zaida R., College of Medicine, Assistant Professor*, Kentucky School of Public Health, 7/1/03 through 6/30/04.
Berger, Steven, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Bigham, Alacia L., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/03 through 6/30/04.
Bolano, Luis E., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/03 through 6/30/06.
Boster, Joseph M., College of Dentistry, Instructor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
Bouvier, Jeanne R., College of Nursing, Adjunct Instructor, 7/1/03 through 6/30/04.
Bowens, Barbara L., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Bowling, Joseph P., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Bradley, Victoria M., College of Health Sciences, Instructor (voluntary), Health Services, 7/1/03 through 6/30/06.
Broderson, Joe T., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/03 through 6/30/06.
Brokaw, Barry A., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.
Browning, Steven R., College of Nursing, Assistant Professor, joint appointment as Assistant Professor, College of Medicine, Department of Preventive Medicine and Environmental Health, 7/1/03 through 6/30/04.
Burke, John D., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Burress, Susan M., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Bushey, Harold L., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.

*Special Title Series

Caldwell, Frank, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Caldwell, Glyn, College of Medicine, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/03 through 6/30/06.

Campbell, Sharon, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.

Campoy, Marietta, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Cardona, Daniel J., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/03 through 6/30/06.

Castellanos, Adalberto, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Chaney, Sally H., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

Cheek, Rebecca, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Cheves, Elizabeth P., College of Nursing, Clinical Instructor, 7/1/03 through 6/30/04.

Chlebowy, Diane O., College of Nursing, Lecturer, 7/1/03 through 6/30/04.

Chou, Carolyn W., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Clark, Donald D., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Claypool, Tina, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Cole, Lee Ann, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Coleman, Susan B., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

Collins, Ricky E., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Combs, Jessica J., College of Medicine, Clinical Assistant Professor, Internal Medicine, joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/03 through 6/30/04.

Connelley, Richard L., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.

Cook, Charles E., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

Cooley, Andrew T., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/03 through 6/30/06.

Coomer, Jason R., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.

Cowley, Jennifer B., College of Nursing, Instructor (part-time), 7/1/03 through 6/30/04.

Coyle, Elizabeth A., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Creech, John D., College of Pharmacy, Instructor (voluntary), 7/1/03 through 6/30/06.

Croley, Kimberly S., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Cross, Tammy N., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/03 through 6/30/04.

Crouch, Larry, College of Health Sciences, Assistant Adjunct Professor, Health Services, 7/1/03 through 6/30/04.

Cunnagin, Trisha, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.

Curd, Philip R., College of Medicine, Assistant Professor (part-time), Preventive Medicine and Environmental Health, 7/1/03 through 6/30/04.

Daniel, William T., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Darbee, Joan C., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/03 through 6/30/04.

Das, Pranab, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.

Douglas, David W., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Duncan, Thomas J., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

Eastham, Mary L., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

Ermitano, Maria Luisa P., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.

Estep, Donna, College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/03 through 6/30/06.

Evans, Johannes C., College of Medicine, Instructor (voluntary), Ophthalmology, 7/1/03 through 6/30/06.

Fegenbush, Warren, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Garman, Ray F., College of Medicine, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/03 through 6/30/06.

Garrison, Janet B., College of Nursing, Instructor (part-time), 7/1/03 through 6/30/04.

George, James D. B., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Glaser, Josephine Lee A., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Gonzalez, Alberto, College of Medicine, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/03 through 6/30/06.
Goodlett, Lisa A., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Gray, Anita A., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
Gray, Delmar W., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
Griffin, Larry P., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Grise, Bill, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Gross, Janet C., College of Nursing, Assistant Professor (part-time), 7/1/03 through 6/30/04.
Guthrie, Walter B., College of Medicine, Instructor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Hagan, Bruce S., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Hall, Hugh, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Hall, Richard A., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.
Hall, Stephen K., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Haltom, Joan B., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Hamid, Saeed, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Hampton, Debra C., College of Nursing, Instructor (part-time), 7/1/03 through 6/30/04.
Haney, Mary D., College of Pharmacy, Instructor (voluntary), 7/1/03 through 6/30/06.
Hanks, Bettye, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Hanna, Salem, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Haq, Abdul, College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.
Harley, John P., College of Nursing, Assistant Professor (part-time), 7/1/03 through 6/30/04.
Harris, Debbie, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Harrison, Alvin, College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Hassan, Humaira, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/03 through 6/30/04.

Hawthorne, Colette W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/03 through 6/30/04.
Hays, David J., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Heiser, Regina M., College of Nursing, Adjunct Instructor, 7/1/03 through 6/30/04.
Henderson, Robin L., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Hewlett, Mark E., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
Hieneman, Linette W., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Hieronymus, C. S., College of Dentistry, Instructor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
Hill, John S., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.
Hines, H. C., College of Medicine, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/03 through 6/30/06.
Hinton, Gary D., College of Dentistry, Instructor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
Hinton, Terry D., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
Hoetker, Kirby C., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
Homm, Robert J., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Hopenhayn, Claudia, College of Medicine, Assistant Professor, Kentucky School of Public Health, 7/1/03 through 6/30/04.
Horn, Everett J., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Hoskins, A. B., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.
Howell-Smith, Donna, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Huffman, James G., College of Medicine, Assistant Professor (voluntary), Ophthalmology, 7/1/03 through 6/30/06.
Hutchins, Frieda, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Irwin, Craig W., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.
Isele, Peter R., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.
Jackson, James E., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.
Jaenicke, Kurt F., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

Jervis, Larry M., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Jewell, Ann, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Johnson, Phillip A., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Jones, Clayton D., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Jones, Phillip, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Jones, Randall S., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Kennedy, Greg L., College of Health Sciences, Assistant Professor (voluntary), Health Services, 7/1/03 through 6/30/06.
Kennedy-Norris, Sharon A., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Key, Brian, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Khan, Taqi F., College of Medicine, Clinical Instructor, Surgery, 7/1/03 through 6/30/04.
Krishna, Sangeeta, College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.
Kuduk, Michael K., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.
Lamb, Stephen, College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/03 through 6/30/06.
Littrell, Margaret, College of Nursing, Instructor (part-time), 7/1/03 through 6/30/04.
Looff, John D., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Lynch, Joseph S., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
MacSwords, James R., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/03 through 6/30/06.
Mauk, Sunni L., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
McArthur, Lynn, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
McClure, Sandra S., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
McQuaide, Benjamin H., College of Medicine, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/03 through 6/30/06.
Medley, Glenn N., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Mehari, Enawgaw, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

*Special Title Series

Melton, Gary J., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Miller, Matthew S., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Miller, Michael J., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Miller, Susan R., College of Health Sciences, Assistant Professor (voluntary), Health Services, 7/1/03 through 6/30/06.
Montgomery, Richard C., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/03 through 6/30/06.
Moore, Andrew M., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/03 through 6/30/06.
Moore, Carolyn, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Moore, Glenn I., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, joint appointment as Instructor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Moore, J. M., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/03 through 6/30/06.
Mueller, Steven J., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Muhammad, Asif A., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.
Murphy, Debra A., College of Pharmacy, Assistant Adjunct Professor, 7/1/03 through 6/30/04.
Murray, Scott, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Myers, Robert K., College of Medicine, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/03 through 6/30/06.
Naidu, Lakshmi, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Neagle, Troy L., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Neal, Jeffrey, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Neff, Mark J., College of Health Sciences, Instructor (voluntary), Health Services, 7/1/03 through 6/30/06.
Newby, Willie F., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Niebrugge, Kay A., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Noble, James B., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
O'Connell, Keri, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

*Special Title Series

O'Neill, James E., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Obenchain, Lee, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Oliver, Jennifer S., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.
Ossege, Julianne, College of Nursing, Assistant Professor (part-time), 7/1/03 through 6/30/04.
Owen, Randal W., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Owens, Dennis L., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Pascuzzi, Mark J., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Patel, Ashok, College of Medicine, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/03 through 6/30/06.
Patel, Ila R., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/03 through 6/30/06.
Ponder, Robert D., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Powers, David E., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Preston, Kris B., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Priddle, Brian K., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Prout, John S., College of Health Sciences, Instructor (voluntary), Health Services, 7/1/03 through 6/30/06.
Pursifull, Brent B., College of Dentistry, Instructor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
Queen, Susan A., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/03 through 6/30/04.
Rankin, Michael, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Ratliff, Byram N., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Reedy, Harold, College of Medicine, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/03 through 6/30/06.
Rettie, Robert M., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.
Reynolds, Glynn E., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Rice, Wendy, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Ricker, Jonathan E., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.

*Special Title Series

Riley, Christine N., College of Medicine, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/03 through 6/30/06.

Rippetoe Freeman, Patricia E., College of Nursing, Assistant Professor (part-time), joint appointment as Assistant Professor (part-time), College of Pharmacy, 7/1/03 through 6/30/04.

Rivard, Cheryl R., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/03 through 6/30/06.

Rogers, Thea Y., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Rosenblum, William, College of Medicine, Assistant Professor*, Surgery, 7/1/03 through 6/30/04.

Ross, Barbara M., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Ross, Scott, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Rowe, Billy W., College of Pharmacy, Instructor (voluntary), 7/1/03 through 6/30/06.

Royse, Stephen P., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Rutledge, Dale E., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Ruwe, Don, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Sabharwal, Surinder S., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Sahai, Madhu, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.

Schloss, Edward, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.

Schooler, Julia C., College of Dentistry, Instructor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.

Schooler, Mary, College of Nursing, Instructor (part-time), 7/1/03 through 6/30/04.

Schureman, Gloria J., College of Nursing, Instructor (part-time), 7/1/03 through 6/30/04.

Scorsone, Ernesto, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Scott, Larry, College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.

Scott, Leslie K., College of Nursing, Clinical Instructor, 7/1/03 through 6/30/04.

Shankar, T.R.Uday, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Shelton, Charles, College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/03 through 6/30/06.

*Special Title Series

Shely, William W., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Shepherd, Ruth A., College of Medicine, Instructor (voluntary), Pediatrics, 7/1/03 through 6/30/06.
Sims, Christopher D., College of Medicine, Instructor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Slone, Zena M., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Smalley, Christopher M., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Smith, Carl E., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.
Smith, David M., College of Medicine, Assistant Professor (voluntary), Anesthesiology, 7/1/03 through 6/30/06.
Smith, David E., College of Dentistry, Instructor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
Smith, Randall B., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
Snider, Gregory T., College of Medicine, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/03 through 6/30/06.
Spencer, Donnie R., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Spicer, Kevin, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Spirek, Anita J., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Spurlin, Geraldine W., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.
Sreekumar, B. N., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/03 through 6/30/06.
Stargel, Charles V., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Stefaniak, Karen A., College of Nursing, Adjunct Instructor, 7/1/03 through 6/30/04.
Stiles, Matthew A., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Swinney, Victor R., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.
Sy, Maria E., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.
Takacs, Patricia E., College of Dentistry, Instructor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.
Tapp, John C., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

*Special Title Series

Teaster, Pamela B., College of Medicine, Assistant Professor, Kentucky School of Public Health, 7/1/03 through 6/30/04.

Thompson, Charla J., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Thompson, Rebecca R., College of Nursing, Instructor (part-time), 7/1/03 through 6/30/04.

Thompson, Robert B., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

Thorner, Alan J., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, joint appointment as Assistant Professor (voluntary), College of Medicine, Department of Emergency Medicine, 7/1/03 through 6/30/06.

Traum, Carolyn H., College of Health Sciences, Assistant Professor (voluntary), Health Services, 7/1/03 through 6/30/06.

Trent, Robert C., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

Troutman, Kenneth, College of Medicine, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/03 through 6/30/06.

Truitt, Robert, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/03 through 6/30/04.

Turner, R. T., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Tutt, Henry P., College of Medicine, Assistant Professor (voluntary), Surgery, 7/1/03 through 6/30/06.

Vansant, James A., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.

Vidwan, Kamaljeet, College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.

Voils, Stacy, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Vories, Michael, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Warsy, Adil K., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.

Weaver, Kenneth R., College of Medicine, Assistant Professor (voluntary), Ophthalmology, joint appointment as Assistant Professor (voluntary), Emergency Medicine, 7/1/03 through 6/30/06.

Werkmeister, James R., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/03 through 6/30/06.

Wheatley, Kim, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Wheeler, William B., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

White, J. G., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.

*Special Title Series

Whiting, Faye, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Whitt, Kelli G., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.

Wientjes, Gary, College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Williams, Karen, College of Medicine, Assistant Professor (voluntary), Diagnostic Radiology, 7/1/03 through 6/30/06.

Wilson, Janet L., College of Pharmacy, Assistant Professor (voluntary), 7/1/03 through 6/30/06.

Wong, Chris, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Wood, Earl C., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Woodland, Byron B., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/03 through 6/30/06.

Wright, Mark, College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/03 through 6/30/06.

Yalamanchi, Vidya B., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 7/1/03 through 6/30/06.

Yarbro, Marina T., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.

Yeiser, Michael F., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.

Yurchisin, Mark J., College of Medicine, Instructor (voluntary), Obstetrics and Gynecology, 7/1/03 through 6/30/06.

E. PROMOTIONS WITHOUT TENURE

Bates, Paige L., College of Medicine, Instructor (part-time), Internal Medicine, to Clinical Assistant Professor, Internal Medicine, 7/1/03 through 6/30/04.

Killip, Shersten, College of Medicine, Clinical Instructor, Family Practice and Community Medicine, to Assistant Professor*, Family Practice and Community Medicine, 7/1/03 through 6/30/04.

Wilson, Timothy C., College of Medicine, Clinical Instructor, Surgery, to Assistant Professor*, Surgery, 8/1/03 through 6/30/04.

F. CHANGES

Ahene, Charles A., College of Medicine, Clinical Assistant Professor, Anesthesiology, from 5/7/03 through 6/30/03, to 8/18/03 through 6/30/04.

Cox, Judd G., College of Medicine, from Assistant Professor (part-time), Pediatrics, to Assistant Professor (voluntary), Pediatrics, 7/1/03 through 6/30/06.

*Special Title Series

Feddock, Christopher A., College of Medicine, from Instructor (part-time), Internal Medicine, joint appointment as Instructor (part-time), Pediatrics, to Clinical Instructor, Internal Medicine, joint appointment as Clinical Instructor, Pediatrics, 7/1/03 through 6/30/04.

Gore, David R., College of Dentistry, from Assistant Professor (part-time), Oral Health Practice, to Assistant Professor (temporary), Oral Health Practice, 8/1/03 through 6/30/04.

Hopenhayn, Claudia, College of Medicine, from Associate Research Professor, Preventive Medicine and Environmental Health, to Assistant Professor, Kentucky School of Public Health, 9/1/02 through 6/30/03.

Lach, Edythe T., College of Medicine, from Assistant Dean, Office of the Dean, to Assistant Director, Kentucky Community Cancer/Kentucky Cancer Control Program, effective 5/1/03.

Lester, Harold D., College of Dentistry, from Assistant Professor*, Oral Health Science, to Assistant Adjunct Professor, Oral Health Science, 7/1/03 through 6/30/04.

Matthews, Gail M., College of Medicine, Assistant Professor*, Obstetrics and Gynecology, from 4/2/03 through 6/30/03, to 5/1/03 through 6/30/03.

Murphy, Paul J., College of Medicine, from Assistant Professor (part-time), Pathology and Laboratory Medicine, joint appointment as Assistant Professor (part-time), College of Pharmacy, to Assistant Professor*, Pathology and Laboratory Medicine, joint appointment as Assistant Professor*, College of Pharmacy, 7/1/03 through 6/30/04.

Thomas, Janice M., College of Medicine, from Assistant Professor (part-time), Psychiatry, to Assistant Professor (voluntary), Psychiatry, 7/1/03 through 6/30/06.

G. TERMINAL REAPPOINTMENTS

Mason, Karen J., College of Medicine, Assistant Professor*, Pediatrics, 7/1/03 through 6/30/04.

H. LEAVES OF ABSENCE

Springer, Joe E., College of Medicine, Professor (with tenure), Anatomy and Neurobiology, joint appointment as Professor (without tenure), Physiology, sabbatical leave at full salary, 5/1/03 through 10/31/03.

*Special Title Series

VICE PRESIDENT FOR RESEARCH

I. BOARD ACTION

A. EARLY RETIREMENT

Powell, Beverly, University Veterinarian, Administrative Support Associate I, after 20 years and 4 months of consecutive service, and 23 years and 5 months of total service, under AR II-1.6-1, Section III.A.2, effective 6/30/03.

*Special Title Series

PR 3

Office of the President
June 24, 2003

Members, Board of Trustees:

APPOINTMENT OF EXECUTIVE VICE PRESIDENT FOR FINANCE AND ADMINISTRATON

Recommendation: that the Board of Trustees approve the appointment of Richard P. Siemer as Executive Vice President for Finance and Administration, effective August 1, 2003.

Background: Richard P. Siemer will replace Jack Blanton, who holds the distinction of serving as the University's first executive vice president for finance and administration. An advisory committee was appointed to identify and recommend qualified persons for the position of Executive Vice President for Finance and Administration. The advisory committee reviewed more than seventy qualified candidates and four finalists were interviewed on campus. Mr. Siemer received excellent endorsement across the University and from the advisory committee. .

Dick Siemer has 18 years of experience at the senior management level of several institutions of higher education, including the University of Maryland, Duke University, and Ohio University, where he currently serves as vice president for finance and treasurer.

A Vietnam veteran, Dick holds a Bachelor of Science degree in chemistry from the University of Cincinnati (1968) and a master's degree in business administration from Troy State University (1976); he is a Certified Public Accountant (CPA). He taught classes in political science, finance and budgeting, finance and management accounting, and a variety of other courses at the universities where he worked.

Mr. Siemer has the experience and qualifications necessary to be an excellent Executive Vice President for Finance and Administration. The University is fortunate to have attracted such a qualified individual to this position.

Action Taken: ☑ Approved ❑ Disapproved ❑ Other _____

PR 4

Office of the President
June 24, 2003

Members, Board of Trustees:

APPOINTMENT OF ASSOCIATE PROVOST FOR ACADEMIC AFFAIRS

Recommendation: that Dr. David Watt be appointed as Associate Provost for Academic Affairs, effective May 15, 2003.

Background: The report of the Implementation Committee for Transition to the Provost Model recommended the appointment of a "senior-level associate provost for academic affairs" and other advisory groups supported the recommendation as well. The Associate Provost for Academic Affairs position was established and the position was announced to all faculty on March 28. An advisory committee reviewed the credentials of 11 applicants and enthusiastically and strongly recommended Dr. David Watt as an ideal individual to serve in this role.

Dr. Watt is currently Executive Dean of the College of Medicine and Professor of Biochemistry at the University of Kentucky. He received a Ph.D. from Harvard University in 1972 and a bachelor's degree from Dartmouth College (1967) and master's degree from Harvard University (1969). Since coming to the University of Kentucky in 1985 he has served in a number of administrative positions, including Chair of the Department of Chemistry, Vice Chancellor for Research, Vice Chancellor for Academic Affairs and Research and Director of ASTeCC.

Dr. Watt is a member of the American Chemical Society and the American Society for Biochemistry and Molecular Biology. He has authored or co-authored dozens of papers published in scholarly journals, presented at academic conferences, developed intellectual property into a thriving private enterprise, and has been a primary investigator in several research projects that have received federal or corporate funding.

Dr. Watt has the experience and qualifications to be an excellent Associate Provost for Academic Affairs. The University is fortunate to attract such a highly qualified person to this position.

Action Taken: ☑ Approved ❑ Disapproved ❑ Other _____

PR 5

Office of the President
June 24, 2003

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE GRADUATE SCHOOL

Recommendation: that Dr. Jeannine Blackwell be appointed as Dean of the Graduate School, effective July 1, 2003.

Background: In July 2001, Dr. Douglass Kalika, was appointed Acting Dean of the Graduate School. After Dr. Kalika announced his intention to return to the faculty in the Department of Chemical and Materials Engineering, a search committee was appointed to identify and recommend qualified persons for the position of Dean of the Graduate School. The search committee considered approximately 40 candidates and three finalists were interviewed on campus. Dr. Blackwell received broad support across the University.

Dr. Blackwell is currently Senior Associate Dean for Academic Administration in the Graduate School and Professor of German at the University of Kentucky. She received a Ph.D. from Indiana University in 1982 and a bachelor's degree (1971) and master's degree (1975) from Duke University. Since coming to the University of Kentucky in 1985 she has served in a variety of administrative capacities, including Associate Dean of the College of Arts and Sciences, Acting Director of Women's Studies, Chair of the Department of Germanic Languages and Literatures, and Executive Director of the Kentucky Foreign Language Conference.

Dr. Blackwell's research centers on German and central European women's writings from the Reformation to the present. She has published two co-edited translated anthologies; one co-edited essay collection on Early Modern Germany; 21 articles, chapters, and review essays; and many other encyclopedia entries, forewords, book reviews, and other translations. She has received year-long fellowships from Fulbright and the National Endowment for the Humanities and shorter term grants from ACLS, NEH, Deutsche Akademische Austauschdienst, and the American Association of Teachers of German. She chairs the prestigious Publications Committee of the Modern Language Association. She is the first elected President of the organization Women in German, and has served on the executive committee of the German Studies Association.

Dr. Blackwell has the experience and qualifications to be an excellent Dean of the Graduate School. The University is fortunate to attract such a highly qualified person to this position.

Action taken: ☑ Approved ☐ Disapproved ☐ Other _____

PR 6

Office of the President
June 24, 2003

Members, Board of Trustees:

APPOINTMENT OF ACTING EXECUTIVE VICE PRESIDENT
FOR HEALTH AFFAIRS

Recommendation: that Dr. Alfred Cohen be appointed as Acting Executive Vice President for Health Affairs, effective June 1, 2003.

Background: In December 2002, the Task Force on Medical Center Organization and the Provost Model recommended the establishment of an Executive Vice President for Health Affairs with authority and responsibility for the clinical activities of the Colleges of Medicine, Dentistry, Health Sciences, Nursing, and Pharmacy, the hospital and other aspects of the university's clinical enterprise "UK Healthcare". The Executive Vice President for Health Affairs position was established and an advisory committee named to identify candidates for the position. While a number of candidates are already in the interview process, it may be a few months before the selection is complete and several additional months before the person chosen can actually assume the responsibilities of the office.

Dr. Jim Holsinger, Senior Vice President and Chancellor of the Medical Center, who will be stepping down from that position on June 30, 2003, has diligently worked to ensure a smooth transition of the health profession colleges to the Provost and the UK hospitals and clinics to the leadership of the new EVPHA. To make certain that process continues in the clinical services, an interim appointment is being made in order to affect a seamless move to the new organization.

Dr. Cohen is currently Director of the UK Markey Cancer Center and Professor of Surgery at the University of Kentucky. He will continue these roles while serving as the Acting Executive Vice President for Health Affairs. Dr. Cohen attended the Johns Hopkins School of Medicine and completed six years of surgical training at the Massachusetts General Hospital and over two years at the National Cancer Institute. He then joined the Harvard/Mass General faculty for nine years as Co-Director of Surgical Oncology. During the subsequent fourteen years, he served as Chief of the Colorectal Service, Department of Surgery, Director of GI Cancer Management Team at Memorial Sloan-Kettering Cancer Center and Professor of Surgery at Cornell University College of Medicine (now Weill). He moved to his current position at the Markey Cancer Center in September 2000. Dr. Cohen has been Principal Investigator or Co-PI on 25 clinical trials, and has authored or co-authored more than 250 publications.

Dr. Cohen has the experience and qualifications to be an excellent Acting Executive Vice President for Health Affairs. The University is fortunate to have such a highly qualified person to serve in this position.

Action taken: ☑ Approved ☐ Disapproved ☐ Other _____

PR 7

Office of the President
June 24, 2003

Members, Board of Trustees

CHANCELLOR EMERITUS BE CONFERRED UPON
JAMES W. HOLSINGER, JR., M.D., PH.D.

Recommendation: that James W. Holsinger, Jr., M.D., Ph.D. be named Chancellor Emeritus in recognition of his long service and dedication to the University of Kentucky.

Background: In 1994, Dr. Holsinger was appointed Chancellor for the Medical Center. Prior to his appointment at the University of Kentucky, he served for more than 26 years in the US Department of Veterans Affairs culminating his federal career with his appointment by President George H. W. Bush as Undersecretary for Health and Chief Medical Director of the Veterans Health Administration in 1990. At the time of his appointment as Chancellor, Dr. Holsinger was serving as the Director of the Lexington VA Medical Center. Dr. Holsinger also served for nearly 32 years in the US Army Reserve, retiring with the rank of Major General at the end of his last assignment as Assistant to the Director for Logistics, The Joint Staff, During the Persian Gulf War of 1991. As Chancellor, Dr. Holsinger worked to expand and extend the three core missions of an academic health center. Academically, the colleges and degree-granting centers of the Chandler Medical Center developed a variety of new graduate and professional degree programs. The research mission of the Medical Center expanded from $35million to more than $100 million. The clinical programs continued to develop with the creation of the Gill Heart Institute and the Center for Minimally Invasive Surgery. Dr. Holsinger continued to teach in the College of Medicine's Patient, Physician, and Society course for first and second year medical students. In the community, he has served on the boards of the YMCA, United Fund, Good Samaritan Foundation, and Asbury Theological Seminary. The Governor of the Commonwealth appointed him as a member of the Telehealth Board of the Commonwealth of Kentucky.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

PR 8

Office of the President
June 24, 2003

Members, Board of Trustees:

APPOINTMENT TO BOARD OF DIRECTORS OF THE FUND FOR ADVANCEMENT OF EDUCATION AND RESEARCH IN THE UNIVERSITY OF KENTUCKY A. B. CHANDLER MEDICAL CENTER

Recommendation: that approval be given to the appointment of the following as a member to the Board of Directors of The FUND for Advancement of Education and Research in the University of Kentucky A. B. Chandler Medical Center for a two-year term beginning July 1, 2003 and extending through June 30, 2005.

Appointment

Carol Farmer

Background: The Articles of Incorporation of the FUND for Advancement of Education and Research in the University of Kentucky A. B. Chandler Medical Center require two-year term Board of Director appointments by the Board of Trustees of the University of Kentucky.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

PR 9

Office of the President
June 24, 2003

Members, Board of Trustees:

APPOINTMENT/REAPPOINTMENT OF BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that the approval be given to the appointment of Kenneth Roberts (faculty member) for a one-year term ending June 30, 2004 to fill unexpired term of Robert Blouin, the reappointment of Anne-Frances Miller (faculty member) for a three-year term ending June 30, 2006 and the reappointment of F. Richard Kurzynske (public member) for a three-year term ending June 30, 2006 to the Board of Directors of the University of Kentucky Research Foundation (UKRF).

Background: In accordance with the Bylaws, members of the Board of Directors of the University of Kentucky Research Foundation are appointed by the Board of Trustees to serve three-year terms. The Nominating Committee of the UKRF Board has recommended and the UKRF Board of Directors has endorsed these appointments.

Action Taken: ☑ Approved ❑ Disapproved ❑ Other _____

PR 10

Office of the President
June 24, 2003

Members, Board of Trustees:

2003-06 STRATEGIC PLAN - THE DREAM AND THE CHALLENGE

Recommendation: that the Board of Trustees adopt the 2003-06 Strategic Plan – *The Dream and the Challenge*. This strategic plan will guide the actions of the University and the Lexington Community College for the next three years, July 1, 2003, through June 30, 2006.

Background: The University's current strategic plan, adopted by the Board of Trustees in May 1998, is a five-year plan that will expire in June 2003. The development of the 2003-06 Strategic Plan, The Dream and the Challenge, was initiated this past fall. An Executive Steering Committee and three subcommittees, representing the academic, business and clinical enterprise communities that comprise the University, developed this plan. The characteristics recommended by the Top 20 Task Force provided the framework for the new plan. Significant input was also obtained from the University's constituents, including the Futures Committee, the President's Commission on Diversity, the President's Commission on Women, and other committees that have recommended University enhancements. This strategic plan and its goals, objectives, and key indicators provide a blueprint for our faculty, staff and administrators to follow as they build one of the nation's 20 best public research universities and a nationally recognized community college.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

The Dream and the Challenge

Introduction

In 1997 the Kentucky General Assembly passed the landmark Postsecondary Education Improvement Act, commonly referred to as "House Bill 1," which established goals that postsecondary education should achieve by the year 2020. Achieving these goals will enable Kentucky to develop a standard of living and quality of life that meets or exceeds the national average. House Bill 1 specifically calls for the University of Kentucky to become one of the nation's top 20 public research universities by the year 2020. The University welcomes that expectation and is committed to fulfilling it. Nevertheless, national recognition is not an end in itself; rather, it is a product of excellence in fulfilling our mission and serving the Commonwealth.

In response to House Bill 1, President Lee T. Todd, Jr. appointed a task force to recommend criteria and measures by which the University will regularly assess progress toward becoming a nationally preeminent public research university. The President's Top 20 Task Force issued its report in April 2002. The President also created a task force in July 2001 to recommend areas of research and creative endeavor in which investments of new or reallocated resources should be made. The Task Force on the University of Kentucky Futures: Faculty for the 21st Century issued its report in March 2002. In October 2002 President Todd initiated development of the University's next strategic plan -- *The Dream and the Challenge*. This plan will guide the actions of the University of Kentucky, including Lexington Community College, for the next three years, July 1, 2003, through June 30, 2006. The recommendations of the Top 20 Task Force provide the framework for the strategic plan, informed by input from other task forces and commissions. A three-year period was selected so that the University could be flexible and respond aggressively to the demands of a rapidly changing environment and a more diverse and interdependent global society.

An Executive Steering Committee and three subcommittees representing the academic, business and clinical enterprise communities of the University developed this plan, *The Dream and the Challenge*. Significant input was also obtained from many University constituents, including the Futures Committee, the President's Commission on Diversity, the President's Commission on Women, and other committees that have recommended University enhancements. As part of the planning process, the University's mission, vision and value statements were reviewed and revised. The Board of Trustees adopted the revised statements on April 1, 2003.

The following goals, objectives and key indicators provide a blueprint for faculty, staff and administrators to follow as they build one of the nation's 20 best public research universities and a nationally recognized community college. Each goal emphasizes a single aspect of the University. Yet, as with a blueprint for a complex building with multiple subsystems, the goals and objectives must be integrated to form a complete picture of the University's plan for the future.

GOAL I: Reach for National Prominence

One of the great strengths of the University of Kentucky is the richness of a campus that brings together undergraduate, graduate and professional students in an unusually comprehensive array of programs. This variety encourages shared ideas across disciplines, promotes multidisciplinary endeavors, and enriches the educational and social experiences of a highly diverse and talented student body. While the richness and variety of campus life are among UK's greatest strengths, the University's progress depends upon a well-conceived concentration of its resources. The full implementation of the Provost model will facilitate the University's ability to capitalize on its intellectual diversity through the integration of all its resources.

As we strive to become one of America's 20 best public research universities, some programs will build upon or attain national recognition and will serve a global constituency. Other programs will advance the land-grant mission by creating the professional, educated citizenry and scholarship needed to serve Kentucky. Although particular areas will be emphasized, comprehensive excellence is essential to the mission of serving as the premier teaching, research and land-grant institution for the Commonwealth. This level of excellence also will be required in other endeavors for which we can serve as a national model, including the creation of a prosperous and mutually beneficial "college town" environment. Over the next three years, we will support our faculty and staff in delivering a comprehensive array of academic programs and other activities and services as we *reach for national prominence* in priority areas.

Priority Areas for Future Development

- Medical areas
  - Cardiovascular sciences
  - Cancer
  - Infectious disease
  - Neurosciences
  - Pharmaceutical sciences and toxicology
- Cultural studies of the Americas
- Digital and nanotechnologies
- Environmental and energy studies
- International studies
- Plant bioengineering
- Public policy
- Risk-related behavioral sciences
- Teacher preparation
- Vocal music and performance

Objectives:

1. *The University will increase the prominence of faculty scholarship. Our faculty defines the academic enterprise. The quality and achievements of the faculty determine the prominence of the University and the degree to which our missions are accomplished. We must adequately support our faculty while strategically allocating resources to priority areas for future development.*

2. *The University will enhance the excellence and sustainability of the clinical enterprise. The successful fulfillment of the health affairs (clinical) mission is vital to the realization of our dreams. We must identify clinical priorities and re-focus resources on those areas with the best opportunities for national recognition and preeminence in clinical service and research. The core medical areas must include those where the University can bring excellent programs to the fore, including cardiovascular, cancer, infectious disease, neurosciences, and similar disciplines revolving around high-technology interventional and diagnostic work.*

3. *The University will increase its resources in order to offer high-quality instructional, research and service programs. The University's progress in reaching its aspirations requires significant new investments in areas of*

strength and promise. Our primary sources of support are: state funds, grants and contracts, tuition and fees, clinical income, gifts, and endowment income. We must increase the generation of resources and the contributions of our numerous partners and supporters.

4. *The University will strengthen the link between funding decisions, plans and results. Our mission necessitates wise stewardship of existing financial support, including the reallocation of resources to support priority programs. We must align planning and budgeting processes more closely to provide the resource and infrastructure needs identified in the Top 20 Task Force Report. We must evaluate our progress regularly and redirect our efforts to ensure success and continuous quality enhancement.*

5. *The University will streamline its business and information technology services. Advancement of the academic and clinical enterprises depends on the effectiveness and efficiency of administrative, business and information technology support. We must reduce bureaucracy and other barriers to our success, while maintaining accountability for institutional assets and resources. We must provide integrated information technology solutions to enhance the University's overall competitiveness and to ensure high levels of faculty, student, staff and customer satisfaction.*

Resources and Infrastructure Needed to Reach Top 20

- Enrollment growth
- More faculty
- Research space and facilities
- Educational facilities – classrooms and residence halls
- Support staff for additional faculty and students
- Library support
- Communications technology
- Academic and administrative computing
- Financial support for students
- Research and teaching equipment
- Compensation

Key Indicators:

By 2006, we will:

A. Increase from 10 to 15 the number of top ranked academic programs, according to the National Institutes of Health (NIH), the National Research Council (NRC), or *U.S.News & World Report.*
B. Increase health affairs clinical income by 10 percent.
C. Identify four clinical target programs and implement at least two new related business plans.
D. Increase the market value of the University's endowments to $500 million through fund raising focused on the priority research areas identified in the Commonwealth's Strategic Plan for the New Economy.
E. Redesign business and clinical processes as part of the replacement of the financial, human resource, student, and health enterprise information systems.

GOAL II: Attract and Graduate Outstanding Students

Educating students is a core mission of the University. In order to attain excellence and achieve national recognition, we must recruit, retain and graduate a diverse group of outstanding undergraduate, graduate and professional students, representing Kentucky, the nation and the world. We expect our graduates to become

productive citizens who make significant contributions to their professions and communities. To achieve this valued outcome, we will expand initiatives to *attract and graduate outstanding students*.

Objectives:

1. *The University will admit and enroll an increasingly higher caliber of student. To attract and enroll such students, we must recruit the best students in Kentucky and beyond; expand scholarship, fellowship, and other forms of financial support; and offer comprehensive, modern and challenging curricula. The University's excellence will be reflected through the state, regional and national recognition of our students.*

2. *The University will collaborate with Kentucky's other postsecondary education institutions to facilitate success for transfer students. A successful statewide transfer program is critical to Kentucky's success in raising its overall level of educational attainment. We must further the academic success of transfer students through program articulation and transfer agreements, support for the Course Applicability System (CAS), and other collaborative activities.*

3. *The University will engage students in rigorous educational programs and provide an environment conducive to success. Students succeed when they form meaningful connections with the University community, integrating their academic and social lives. We must offer an enriching first-year experience to all new freshmen, continuously assess and improve the quality of teaching and student learning, and involve our students in the intellectual life of the University. By implementing new living-learning communities, we create opportunities for students to interact with the University community and its neighbors. We must provide the finest teaching and academic support possible; assist with personal, social and career development; and develop additional student life programs and facilities. We must facilitate the success of all our students, including student-athletes and minority and special populations.*

National Survey of Student Engagement Indicators

- Academic challenge
- Active and collaborative learning
- Student interaction with faculty members
- Supportive campus environment
- Enriching educational experience

Key Indicators

By 2006, we will:

A. Increase the middle 50 percent range of ACT scores for incoming freshmen to 23-28, as reported to *U.S.News & World Report*.
B. Achieve enrollment and community-college transfer goals established in collaboration with the Council on Postsecondary Education.
C. Open a new undergraduate residence hall, designed as a 21st century living/learning community.
D. Increase the first-to-second year retention rate of first-time, full-time, degree-seeking students to 83 percent.
E. Exceed the predicted levels of attainment on indicators of quality undergraduate education, as reported by seniors on the National Survey of Student Engagement (NSSE).
F. Increase the six-year graduation rate to 60 percent.

GOAL III: Attract, Develop and Retain a Distinguished Faculty

A distinguished faculty is the cornerstone of a successful research university. An excellent and diverse faculty, characterized by exceptional scholarship, superb teaching, and dedicated service, is essential if the University is to gain greater national prominence. The faculty members need the broad-based support of the University community – partnerships with superior staff, excellent facilities, and a commitment to quality – if they are to realize their full promise. A primary focus for the University will be to *attract, develop and retain a distinguished faculty.*

Objectives:

1. *The University will offer competitive salaries and benefits to attract and retain distinguished faculty. Compensation is the most important resource for attracting and retaining distinguished faculty. We must make competitive faculty salaries our first priority.*

2. *The University will offer competitive salaries and benefits to attract and retain superior staff. Compensation and career development opportunities are essential resources for developing and retaining excellent staff. Staff salaries, as well as health care and other benefits critical to the current and future welfare of all employees, are included among our highest priorities.*

3. *The University will institute policies to attract and retain a distinguished faculty. The University's policies must facilitate the recruitment and appointment of highly qualified faculty. We must identify and implement best practices in minority faculty recruitment, develop initiatives designed to find employment for partners of new hires, and ease the transition to the University of Kentucky for new faculty.*

4. *The University will strengthen support to faculty and staff. Providing exemplary support to facilitate effective faculty-staff partnerships is essential to our success. We must improve the quality of the support infrastructure – business and administrative services, facilities and equipment, libraries, development opportunities, and academic and technical services – to enable a talented faculty to achieve national prominence.*

5. *The University will increase the recruitment and support of high-profile faculty capable of conducting influential scholarship and leading major scholarly initiatives. Through the Commonwealth's Endowment Match Program, the University established significant new endowments to stimulate research capacity and productivity. The University must accelerate efforts to develop and fill endowed chairs and professorships with high-impact scholars, including existing faculty members who are positioned well for attaining national prominence.*

Key Indicators:

By 2006, we will:

A. Increase the average faculty salary to at least 90 percent of the benchmark median.
B. Develop institutional policies and procedures that promote recruitment and retention of faculty and staff.
C. Increase by three the number of active or emeritus faculty with membership in the National Academy of Engineering, National Academy of Sciences, or the Institute of Medicine.
D. Increase from 12 to 15 the number of nationally recognized awards and honors earned by faculty.

GOAL IV: Discover, Share and Apply New Knowledge

As a doctoral, research-extensive institution, the University of Kentucky must demonstrate excellence in discovery and innovation, characterized by preeminent scholarship – encompassing research, creative activities, teaching and learning, and extension, as well as professional practice. Faculty, staff and students participate in the process of research and discovery throughout their careers at the University. To meet our research challenge and maximize its potential for the advancement of Kentucky's economy and way of life, we will identify and pursue innovative ways to *discover, share and apply new knowledge.*

Objectives:

1. *The University will aggressively pursue targeted strategies to increase extramural research funding. A preeminent research university continually develops its capacity to create and share new knowledge. We must assist more faculty in launching productive research careers; link basic, clinical, extension, and other applied efforts to focus resources on critical areas of opportunity; and form multi-investigator, interdisciplinary research teams that will target specific extramural funding opportunities.*

2. *The University will provide the facilities and equipment necessary to enhance research capacity. Meeting the challenge to become a top research university carries with it the responsibility to ensure adequate laboratory space, research equipment, information access, and staff support. We must continually assess research productivity levels and the need for new space and equipment, develop the means to meet and support identified needs, and ensure wise use and maintenance of facilities and equipment.*

3. *The University will cultivate the success of diverse efforts in scholarly achievement and research. Excellence and recognition of faculty from many disciplines, including those for which extramural resources are scarce, are essential to fulfilling our mission. We must foster leading scholarship throughout the University; commemorate the accomplishments of faculty, staff and students; and communicate their successes to the Commonwealth and beyond.*

4. *The University will attract and enroll highly qualified doctoral students and postdoctoral scholars. A reputation for nationally acclaimed programs enhances the ability of top research universities to recruit the finest students and postdoctoral scholars. We must enroll greater numbers of such students, integrate these students into research programs, and facilitate their roles as new investigators. To sustain a cycle of*

increasing quality, productivity and recognition, we must assist new investigators to become excellent research faculty in institutions throughout the nation.

Key Indicators:

By 2006, we will:

A. Increase federal research expenditures, as reported in the *National Science Foundation Survey of Research and Development Scientific and Engineering Expenditures,* to at least $140 million.

B. Secure authorization for an additional state-of-the-art research facility in support of the growth plan for research facilities as defined in the University's Physical Development Campus Plan.

C. Increase doctoral degree enrollment from 2,154 to 2,350; and increase doctoral degree production from 216 to 250.

D. Increase postdoctoral scholars from 232 to 275.

GOAL V: Nurture Diversity of Thought, Culture, Gender and Ethnicity

The University of Kentucky is committed to creating a diverse, multicultural community of scholars and learners. To advance this commitment we must move forward with specific actions that demonstrate our belief in the value and richness of human differences. We must provide a model for the Commonwealth of a truly diverse society that celebrates human differences, promotes fairness and equity in policies and practices, and upholds basic principles of social justice. To become one of the nation's best research universities, we must foster a creative, supportive environment that will *nurture diversity of thought, culture, gender and ethnicity*.

Objectives:

1. *The University will improve the climate for diversity. Programs designed to create a diverse community in which all individuals and groups can thrive, both personally and professionally, are necessary to build upon recruitment and hiring successes. We must create an inclusive living and learning environment for faculty, staff and students through leadership training, professional development, and mentoring programs that promote sensitivity and respect for the full range of human diversity.*

2. *The University will create a diverse workplace and learning community. A nationally prominent research university demonstrates a commitment to diversity and equity by following practices that protect and advance the interests of all its members. We must increase awareness of our diversity and equity aspirations, address equitable compensation issues, establish unit goals in support of university-wide goals, and evaluate progress at all levels. We must expect our leadership to demonstrate improvements, and we must reward those who do.*

Key Indicator:

By 2006, we will:

Meet the enrollment and persistence goals of the Kentucky Plan for Equal Opportunities in Higher Education and the employment goals of the University Affirmative Action Plan.

GOAL VI: Elevate the Quality of Life for Kentuckians

Since its founding in 1865 as a land-grant college, the University of Kentucky has applied knowledge and resources to address the Commonwealth's economic, social and cultural needs. We must improve the lives of Kentuckians in the areas of education; health and economic well-being; arts and culture; community vitality and civic engagement; and the environment. We must identify new resources and find innovative ways to use existing means to *elevate the quality of life for Kentuckians* through partnerships with communities and by expanding and amplifying the original land-grant vision to all spheres of life.

Objectives:

1. *The University will engage its people and resources in a renewed commitment to outreach. University outreach and service bring together faculty, staff and students with practitioners, communities, government agencies, schools, businesses, and others to address critical quality of life problems. We must provide leadership to education, business, technology, medicine, and other areas. We must also recognize outreach as a means of education that transcends traditional classroom experiences. Outreach involves the application of knowledge and discovery to societal problems, and we must recognize and reward faculty and students for their outreach and service learning activities, including community involvement.*

2. *The University will lead in the delivery of specialty care in select clinical areas. The University's clinical enterprise is an essential component in improving the lives of Kentuckians. To be successful, we must advance our position in local, state and national health care markets. We must realign current faculty, staff and facility capacities; consider new, alternative locations for hospital and faculty practice to attract a balanced mix of patients; and ensure access to priority programs for Kentuckians.*

3. *The University will accelerate industry-funded research and partnerships, technology transfer, and business development to advance Kentucky's economy. A premier land-grant university conducts research and supports the extension of research and other activities in ways that enhance the state economic base. As such, we have a dream that Kentuckians "can compete just like everyone else." To turn our dreams into reality, we must seize opportunities to develop further our intellectual property, corporate relationships, and business ventures, and we must enhance our efforts to fulfill the vision and promise of the Coldstream Research Campus.*

4. *The University will expand utilization of its cooperative extension network to improve the quality of life for all Kentuckians. The Cooperative Extension Service has a long and successful history of serving as a link between the counties of the Commonwealth and the University of Kentucky to help people improve their lives. We must build upon the recent expansion of this network as a vehicle for the effective delivery of critical educational*

programs. We must promote public health education, small business and entrepreneurial initiatives, and arts and cultural development, while identifying additional areas of need.

Key Indicators:

By 2006, we will:

A. Develop and implement a plan for University engagement that integrates service into the curriculum and recognizes faculty engagement.
B. Secure authorization and design a strategic clinical facility.
C. Increase industry-funded research expenditures to $25 million.
D. Increase the number of patent applications by 10 percent.
E. Increase the number of start-up companies to two per year.
F. Increase public service expenditures, particularly extramural grant-supported expenditures, in areas critical to improving the lives of Kentuckians.

PR 11

Office of the President
June 24, 2003

Members, Board of Trustees:

AUTHORIZATION AND DIRECTION FOR INTERPRETATION OF THE GOVERNING REGULATIONS AND ADMINISTRATIVE REGULATIONS TO IMPLEMENT THE PROVOST MODEL AT THE UNIVERSITY OF KENTUCKY

Recommendation: that the Board of Trustees authorize and direct the President of the University, the Provost, the Executive Vice President for Finance and Administration, the Executive Vice President for Health Affairs, and other University officials, as appropriate in their respective areas, to interpret and implement the Governing Regulations, the Administrative Regulations and the Human Resources Policy and Procedure Administrative Regulations consistent with the Board of Trustees' prior approval of the "Provost Model" at the University of Kentucky. This includes, but is not limited to, empowering the Provost and other appropriate officials under the Provost Model to make all personnel recommendations and decisions in the Governing and Administrative Regulations previously delegated to the Chancellors, the Senior Vice President for the Medical Center, and others. Under this authorization, the President shall be the final authority on questions of interpretation and implementation.

Background: The Governing Regulations, the Administrative Regulations, and the Human Resources Policy and Procedure Administrative Regulations are undergoing extensive revision to reflect the implementation of the Provost Model. Until such time as these changes are effected by action of the Board of Trustees or the President, this action of the Board of Trustees authorizes University officials to proceed to implement the Provost Model.

Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

PR 12

Office of the President
June 24, 2003

Members, Board of Trustees:

AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY
AND KENTUCKY MEDICAL SERVICES FOUNDATION

Recommendation: that the Board of Trustees approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978 and later amended; and that the Board of Trustees authorize the President to negotiate and execute renewals of this agreement in future years unless the terms of the agreement are significantly modified in such a manner that the President or KMSF determine that Board of Trustee approval is necessary.

Background: The Board action on June 20, 1978 provided that:

> The President is authorized to negotiate and execute a contract subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

Kentucky Medical Services Foundation, Inc. meets the "criteria" specified under Section 3A for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20, 1978 Board resolution and specifically satisfies the "conditions" set forth in Section 3B.

The attached agreement contains only minor modifications to the 2002-2003 agreement. The modifications include updating the dates to reflect "2003-2004," revision of Section 6B to establish the reimbursement rate for Diagnostic Radiology services under this agreement, and substituting "Executive Vice President for Health Affairs" for "Senior Vice President and Chancellor for the Medical Center.".

Action taken: ☑ Approved ☐ Disapproved ☐ Other_____

THIS AGREEMENT made and entered into this 24th day of June 2003 by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq. of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as Foundation).

WITNESSETH:

Section 1. RECITALS

A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

B. University faculty in the clinical departments or units of the College of Medicine have heretofore executed agreements with Foundation and University, known as "Practice Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.

C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members

who produce income are permitted, through the medium of Foundation, to exercise a larger voice in the expenditure of the funds so produced.

E. The University has heretofore established, a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995; said action is hereby incorporated by reference as if set out in full herein.

F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

Section 2. DEFINITIONS

A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University dated June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995.

B. "Clinical Income" means the following income attributable to members of the Plan: (1) all compensation, income and payments (direct or in kind and whether characterized as fees, retainers, or otherwise) for professional services rendered or to be rendered, including, but not limited to those relating to the diagnosis, treatment or evaluation of patients or others and consultation; (2) all payments whether direct or in kind (excluding actual travel costs) for providing advice, consultation, serving on boards or committees, overseeing, supervising or other participation with any person or entity involved with (a) health or medical care, (b) evaluation or care of patients, (c) drugs, (d) therapy of any kind, (e) accident or disease

prevention, (f) health or medical care facilities, (g) programs involving health or medical care or (h) health or medical care of any other type; (3) witness fees and payments relating to depositions, testimony or other evaluations in the capacity of a witness; and (4) all other income which relates to or would not exist but for the professional education, experience, or training of members of the Plan. The Dean has the authority to approve exceptions to clinical income and is required to document the nature of the exception and the reason. Provided, however, that nothing contained herein shall be deemed to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice Agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University, and Foundation.

D. "University" as used herein, whenever approvals or consents are necessary, shall mean the approval or consent of the President, unless the President determines that approval by the Board of Trustees is necessary.

Section 3. NATURE OF THE AGREEMENT

Pursuant to Paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under University's "geographic full-time medical services plan".

Section 4. BILLING AND COLLECTION

Foundation agrees that it will accept, pursuant to practice agreements, the assignment of all clinical income by participants in the plan and, in accordance with such assignment, agrees to

bill for professional services to parties determined to be responsible for payment for such services .

Section 5. PAYMENTS TO UNIVERSITY

A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.

B. Foundation agrees to pay to University, as reimbursement for the expenses attendant to the production of clinical income, University's actual annual costs, as determined by clinical department or unit expenditures as reimbursement of direct clinic personnel expenses and other current operating expenses, in direct support of the operation of the Kentucky Clinics.

Payments hereunder shall be in the following manner:

The University will submit statements of actual expenditures for each month by the 15th day of the succeeding month. Foundation will reimburse the University of Kentucky by the 24th day of that month.

C. In addition to the above, Foundation agrees that it will make an unrestricted grant to the University of an amount which is not less than the plan component of income for each member as described in paragraph II, C., 2 of the plan. Said grant will be paid in monthly installments.

D. Foundation agrees that it will make an unrestricted grant to the University for non clinic expenses as reasonably submitted by the clinical departments such as other department personnel and current department operating expenses as indicated on such submissions.

Payments hereunder shall be made in the following manner:

University will submit statements of actual expenditures for each month by the 15th day of the succeeding month. Foundation will make a grant to the University of Kentucky by the 24th day of that month.

Section 6. REIMBURSEMENT OF FOUNDATION

A. The parties recognize that University receives certain services from Foundation, for which it is due reimbursement as specified herein below.

B. University agrees to pay to Foundation on behalf of members of the Department of Diagnostic Radiology for the provision of x-ray interpretation services to the University Health Service during the period July 1, 2003 - June 30, 2004 a sum not to exceed Twenty One Thousand Seven Hundred Ninety Five ($21,795.00) Dollars, for a maximum of 2,250 examinations. For any interpretations beyond this amount, a fee of $10 per examination will be paid to Diagnostic Radiology. This reconciliation of "excess" examinations, if any, will occur within 30 days after the end of the fiscal year.

C. University agrees to pay to Foundation on behalf of the applicable department of the College of Medicine, for provision of specified physical examinations to certain University employees, a fee for each examination.

D. University agrees to pay Foundation on behalf of clinical faculty members of the Department of Pathology and Laboratory Medicine, for provision of pathology services to the University Health Service, a fee of $6.00 per patient for pap smears, whether normal or abnormal, for the professional component. All other pathology professional services are to be reimbursed at 50% of the usual and customary charge for each service rendered.

E. University will make payment to Foundation quarterly, on the last calendar day of each quarter beginning September 30, 2003, for services as described in paragraph B

hereinabove. University will make payment to Foundation monthly, on the last calendar day of each month beginning July 31, 2003, for services as described in paragraphs C and D.

F. University agrees to share in the costs of the salary and benefits and other related expenses for a Compliance Analyst III to be an employee of Foundation, such sharing to be established by agreement between the UK Compliance Officer and the KMSF Compliance Officer.

Section 7. COST SETTLEMENTS

A. The parties recognize that the provision of medical services in the current competitive environment may at times require a joint effort on the part of the University and University Hospital and Foundation in the formation of new delivery systems. These efforts may at times require the sharing of cost between the parties. Formulas for the sharing will be developed and mutually agreed to by the parties, which may result in payment by the University to the Foundation, or by the Foundation to the University.

Examples of such joint efforts include but are not limited to:

1. The operation of the UK Health Care Plans, including the UK-HMO.
2. The operation of certain marketing efforts.
3. Payment to the Fund for Advancement of Education and Research for the Medical Center for services rendered to clinical departments or units of the College of Medicine by members of practice plans of the Colleges of Health Sciences, Dentistry, Nursing, or Pharmacy.

B. The parties also agree that data exchanges between the computer systems, regardless of mode, of the University and Foundation will be payable at cost by the receiving party, or offset by an exchange of data deemed by both parties to be payment-in-kind.

C. Foundation may acquire by purchase, lease, or other means real and/or personal property to be utilized in the production of clinical income resulting from teaching, research and service functions performed by the members of the clinical departments. Acquisition of said real and/or personal property must be authorized by the Board of Directors of Foundation.

Section 8. ACADEMIC ENRICHMENT FUND

A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, Foundation agrees that it will pay to The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (hereinafter Fund), an amount equal to one and one-half (1.5%) percent of the actual clinical income collected by said Foundation. The Fund will deposit said payments in an account maintained for the purpose of providing an academic enrichment fund to be used by the Dean of the College of Medicine in support of the programs of said College and for related purposes. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to the Fund within twenty-five (25) days after the close of each monthly accounting period.

B. Foundation further agrees that it will deposit, in an account maintained by Foundation, on the same basis as provided in subsection A. herein above, an additional amount equal to eight and one half (8.5%) percent of the actual clinical income collected by said Foundation, said funds to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes at his sole discretion.

C. Foundation further agrees that it will deposit in an account maintained by Foundation any payments obtained by intergovernmental transfers related to supplemental payments to the College of Medicine under State Medicaid Programs. Upon receipt of such payments, any funds previously expended by the Dean to qualify for supplemental payments

under this paragraph (as documented by the Dean to the Foundation by memorandum in advance of the funds transfer) will be transferred to the account described in Subsection B. The remainder of the funds will be designated to be used by the Dean of the College of Medicine for the further development of clinical programs of the College and for related purposes. The Dean is responsible for developing a process by which these funds may be expended in accordance with applicable state and federal laws and regulations. These funds will not be subject to the charges identified in Section 17A. Foundation agrees that funds deposited in this account shall be invested consistent with the University policy for investment of short-term funds and any investment income derived from balances attributed to this fund shall be credited to said account.

Section 9. PARTICULAR COVENANTS OF THE FOUNDATION

A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on June 19, 1978, as amended have been inspected by the University as a condition precedent to University's entry into this agreement. Foundation covenants that it will not, absent the written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.

B. Foundation covenants it will not engage in the practice of medicine, nor will it without the written consent of the University solicit, administer, receive, perform, or accept any gift, grant, devise or bequest with or from any governmental unit, entity, or subdivision or any person, corporation, partnership, association or other entity whatsoever except as specifically permitted herein or as necessary for normal business operations. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.

C. Foundation covenants that it will not without the written consent of the University merge with any other corporation or convey all or substantially all of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as follows: (a) all liabilities and obligations of the corporation shall be paid, satisfied and discharged, or adequate provisions shall be made therefore; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned, transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky, or its successor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality of the State of Kentucky or as an exempt organization under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, or scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended.

Section 10. MEMBERSHIP AND BENEFITS

A. University agrees that it will assist the Foundation to enforce the terms of the Practice Agreements entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.

B. University agrees that it will require, as a condition of employment as a member of University's faculty in a clinical department or unit that members of its faculty execute

Practice Agreements and Assignments in the form of same which may be modified by mutual agreement of the parties hereto from time to time.

C. Foundation agrees that it will not, absent the written consent of University, bill for, collect or administer any item of income for any person or entity not holding membership in the plan, nor will it permit persons not members of the plan to serve as voting members on its Board of Directors, nor will it provide any benefit or other thing of value to any person or entity not a member of the plan except (1) termination benefits to former members as provided by action of Foundation's Board of Directors; (2) incidental benefits indirectly accruing to employees of the Medical Center by virtue of Foundation's activities; (3) students and House Staff of University; (4) payment to nurses incident to their recruitment to the University Medical Center; (5) education and technical training costs of persons involved in patient care activities; (6) education and technical training costs of persons indirectly involved in patient care activities if approved on a case by case basis by the Dean of the College of Medicine or the Executive Vice President for Health Affairs; (7) to persons who engage the services of the Foundation pursuant to the provisions of Section 22 - Additional Activities; (8) to persons whose employment is authorized by Section 11.B.; (9) payments in the ordinary course of its business or (10) benefits or other things of value approved or ratified by the Foundation's Board of Directors but which do not violate any express prohibition in any other section of this Agreement.

D. In certain contractual relationships for the provisions of patient care services it may be necessary or advantageous to present a unified billing, incorporating charges from a University patient care organizational entity(ies) and faculty physicians. In such cases, the Foundation is authorized to bill and collect on behalf of the University patient care organizational

entity(ies) provided a proposed unified billing arrangement has been developed and agreed to by the parties and has been reviewed by University legal counsel.

Examples of such unified billing include but are not limited to:

1. Charges for hospital and physician services under the terms of agreements with insurance companies or managed care plans for transplantation services, or other services reimbursed on a negotiated global rate basis.

2. University of Kentucky Health Care Plans.

E. Foundation shall assume responsibility for the costs of drugs and supplies to be used in the patient care programs in the clinics and is hereby authorized to bill, collect, and deposit in Foundation accounts revenue from these non ancillary items.

F. Foundation agrees that it will strictly enforce the terms of its Practice Agreements and Assignments, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.

Section 11. PERSONNEL

The parties recognize that the Foundation must employ various individuals to carry out its functions hereunder; however, the parties also recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary or appropriate to permit said Foundation to bill and collect professional fee income, to administer its funds in accord with the terms of the geographic full-time plan, and to fulfill any other authorized activities of Foundation.

The Foundation is authorized to house computer equipment as may be required to communicate with its central computer and house sufficient employees in the Kentucky Clinic Buildings and other facilities used by University physicians for the purpose of providing billing and financial information to patients of University physicians who are members of the plan and other activities as mutually agreed. In addition, Foundation is also authorized to utilize designated storage space in the basement of the Kentucky Clinic Building for information necessary to the billing function.

B. Other personnel

During the term of this contract Foundation agrees that, except as otherwise approved by the Executive Vice President for Health Affairs and the Dean of the College of Medicine, it will not have any employees other than the employees authorized in Section 11. A. to perform services in University's patient care or college programs. Provided, however, that this provision shall not be construed to prohibit specific agreements between the parties whereby Foundation will employ personnel for service duties which generate income, provided, however, that such professionals may be employed only upon the recommendation and approval of the Dean of the College of Medicine and approval of same by the Executive Vice President for Health Affairs,

with payment of salary and related costs to be from income produced by such professional activity.

C. Equal opportunity-affirmative action

The Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age, handicap or disability. The Foundation agrees that it will take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age, handicap, or disability. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, age, handicap, or disability. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University, including the individual members thereof, officers, agents and employees of the University, by reason of any action by law or equity or by reason of any administrative proceeding initiated by any person arising out of any such employment action or practice of the Foundation, including the payment of attorney fees and other costs of such action or proceeding.

Section 12. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, require that institutional control be maintained with respect to direction and supervision of institutional activities and programs,

assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.

Accordingly, Foundation and University agree that University will provide space within the University Hospital, Kentucky Clinic and other space officially designated for the conduct of the patient care operations.

With respect to any other facilities that University may from time to time provide to Foundation (other than Leader Avenue or Gazette Avenue property), Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same. All rights of Foundation with respect to 134, 136, 138, 144, 148, and 150 Leader Avenue and any other property it may now or hereafter lease or use on Leader Avenue or Gazette Avenue shall be governed, to the extent applicable, by the separate agreement dated April 20, 2001 and any other agreement(s) executed in the future by the parties hereto. In the event of any conflict between such separate agreement(s) and this agreement such separate agreement(s) shall govern and control whether relating to Leader Avenue property or Gazette Avenue property, the existing Foundation office building, parking lots or any other matter.

Section 13. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of University programs, are and shall remain the property of University and that Foundation has no right or property interest therein, provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty, staff, and students pursuant to existing University procedures. Further, the University agrees to provide or make available to Foundation such information and data from patient records and program data maintained by University as may be necessary for Foundation to perform its billing and

collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payers as the basis for payment for services.

Section 14. INSPECTION AND AUDIT

A. Foundation agrees that, in accord with paragraph II, B. 1, of the action of University's Board of Trustees dated June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, its books and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

B. The parties agree that the University's Internal Auditors may conduct an audit of Foundation's operations and accounts for the period ending June 30, 2003 and such other audits, including audits to determine compliance with this agreement, the terms of the action of University's Board of Trustees on June 20, 1978, as amended on March 3, 1980, September 15, 1981, June 19, 1984 and June 13, 1995, the terms of the departmental agreements referred to in Section 20 hereof, as may be deemed necessary or advisable by the University of Kentucky Controller and Treasurer, and that Foundation's agents and employees will cooperate to the fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants, mutually agreeable to the parties, will be employed by the Foundation to perform an independent audit of the Foundation's books, records and accounts for the year ended June 30, 2003.

C. The Parties agree that Foundation will be furnished information by University sufficient for Foundation to verify the nature and detail of expenditure of funds paid to University under the provisions of Section 5 B. hereinabove.

Foundation agrees that it will furnish to University the following reports.

Annually

Audited Financial Statements to include:

Opinion of Independent Certified Public Accountants
Balance Sheet

Statement of Changes in Fund Balances
Statement of Current Revenues and Expenditures
Supplementary Schedules

Quarterly

Financial Statements to include:
Balance Sheet
Statement of Operations
Gross Billings
Collections
Financial Allowances
Bad Debts
Aging Trial Balance of Accounts Receivable upon request of University Treasurer, by:
Patient liability
Third party payer
Reports of Delinquent Account Activity upon written request of University Treasurer to include:
Referrals
Collections

Section 15. DEFAULT

A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against Foundation in any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such

additional time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.

B. University may at its election terminate this agreement with respect to any department without termination of the entire agreement for the same reasons and in the same manner as provided hereinabove.

Section 16. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student body is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program. University agrees that, when so acting, said faculty, house staff and students shall be considered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941 or other University funds.

Section 17. MANAGEMENT AND INVESTMENT POLICIES

A. The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of clinical income and the provision of a sum equal to ten (10%) percent (which sum is the ten (10%) percent described in Sections 8A. and B.) of Foundation's gross clinical income received for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred for costs of administration and in billing and collecting said income and providing for the central administration of Foundation. The fourth charge being other expenses which are incurred by the Foundation.

B. Foundation agrees that funds deposited in a separate account pursuant to Section 8B., Academic Enrichment Fund shall be invested consistent with the University Policy for investment of short term funds.

Foundation shall be entitled to the investment income derived from said account, provided however investment income derived from balances attributed to the academic enrichment fund identified in subparagraph A. and Section 8B. hereinabove shall be credited to said account.

C. Foundation agrees that it shall maintain a separate account pursuant to its contributing for self-insurance identified in Section 18B. to be invested consistent with the University's policy for investment of endowed funds with all income to be accumulated in said separate account. The investment plan of Foundation for said separate account is subject to the approval of the University's Treasurer.

D. Notwithstanding anything contained herein to the contrary, the Dean of the College of Medicine may waive or reduce the charges referred to in Section 8 hereinabove (and elsewhere herein by reference to Section 8) relative to such clinical income as he may from time to time determine.

Section 18. PROVISION OF PROFESSIONAL LIABILITY INSURANCE

A. Under the professional liability insurance program, Foundation agrees that it will pay the University, as reimbursement, for the physicians' pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee, of the costs of the following: (i) payments required pursuant to KRS 164.941 in one (1) lump sum payment within five (5) working days of receipt of amount due; (ii) costs of claims administration in twelve (12) equal installments, the first said installment being due and payable on July 31, 2003and monthly

thereafter until June 30, 2004; and (iii) the physicians' pro rata share of the costs of excess commercial insurance within five (5) working days of receipt of amount due.

B. In addition, Foundation has agreed that between July 1, 2003 and June 30, 2004, if required by the Medical Malpractice Claims Committee, it will cause to be deposited in a separate account within the Foundation the physicians' pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee of the additional contributions for self insurance, said account together with interest accruing thereto to be held solely for the purpose of payment of legal fees, settlements, judgments arising for liabilities defined in KRS 164.941(3) and for lump sum payments referenced in previous paragraph 18A. No monies in said account shall be expended for any other purpose than as provided in this Section without the written agreement of University, nor shall such monies be encumbered, pledged or otherwise hypothecated. The monies in said account shall be invested only as provided in Section 17 hereof. Payment to the separate account may be in equal installments, provided that the full pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee shall be deposited by June 30, 2004.

C. In sum, Foundation agrees to pay in both assessments and contributions of the pro rata share of the malpractice contributions as determined by the Medical Malpractice Claims Committee of the total program costs of 2003-2004 as determined by KRS 164.941 and the Medical Malpractice Claims Committee.

Section 19. ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation, after making provision for (1) the costs of its administration and costs relating to billing and collection; (2) reimbursement of University expenses pursuant to Section 5 hereof; (3) the College of Medicine Academic Enrichment Fund pursuant to Section 8 hereof; (4) provision of professional liability insurance pursuant to Section 18 hereof; (5) provision of

payment of actual expenses incurred by it which are attendant to the production of clinical income; (6) such reserves as are reasonably determined by the Foundation's Board of Directors; and (7) other obligations which are agreed to by the Foundation and are made pursuant to any agreement with, at the written request of, approved, or ratified in writing by the University of Kentucky, agrees that it will maintain its accounts in such a manner that income attributable to the professional clinical activities of each of the following departments or divisions shall be shown separately on books maintained by the Foundation from any other fund or funds held by said Foundation:

Anesthesiology
Clinical Program Units
Diagnostic Radiology
Emergency Medicine
Family Practice and Community Medicine
Kentucky Clinic Hazard
Kentucky Clinic South
Internal Medicine
Neurology
Obstetrics and Gynecology
Ophthalmology
Pathology and Laboratory Medicine
Pediatrics
Preventive Medicine and Environmental Health
Psychiatry
Radiation Medicine
Physical Medicine and Rehabilitation
Surgery

B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned accounts only in accord with the departmental or divisional agreement relating to each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to time,

as provided in said agreements, with the approval of the Dean of the College of Medicine and/or the Executive Vice President for Health Affairs. The Dean and the Executive Vice President for Health Affairs have the authority to approve or disapprove all departmental or divisional agreements as deemed necessary.

Section 20. NOTICES

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

Dean of the College of Medicine
MN-150
University Medical Center
800 Rose Street
Lexington, Kentucky 40536-0084

In the case of Foundation to:

Kentucky Medical Services Foundation, Inc.
138 Leader Avenue
Lexington, Kentucky 40508

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed served on the date it is deposited in the United States mails properly addressed and with postage fully prepaid.

Section 21. RECORDS ACCESS

Pursuant to Public Law 96-499, Section 952, the Foundation agrees to make available upon written request from the Secretary of Health and Human Resources, the Comptroller General, or the Department of Human Services of the State of Kentucky or any of their duly authorized representatives, access to this contract and all other service agreements written or oral entered into or renewed since December 5, 1980, and access to books, documents, and records

necessary to verify costs of services provided by such arrangements exceeding $10,000 in a 12-month period. Access will be permitted until four years have expired after completion of services furnished, and in accordance with the applicable federal regulations.

If the Foundation carries out any of the duties under this contract through a sub-contract with a related organization, with a value or cost of $10,000 or more over a 12-month period, such sub-contract shall contain a clause to the effect that until the expiration of four years after the furnishing of such services pursuant to the subcontract, the related organization shall make available, upon written request from the Secretary or Comptroller General, or any of their duly authorized representatives, the sub-contract, books, documents and records of such organization that are necessary to verify the nature and extent of such costs.

Section 22. ADDITIONAL ACTIVITIES

The University recognizes that in the changing health care delivery environment, the future condition of the University of Kentucky Medical Center and the University of Kentucky College of Medicine will depend on adjusting to those changes. The Foundation recognizes that it can assist the University to make these adjustments and that it should do so when such assistance can be provided without having a material negative impact on the Foundation's primary duty to bill and collect on behalf of faculty members who have executed practice agreements and assignments. Thus, subject only to the limitation that the Foundation not engage in the practice of medicine or duplicate other activities of the University of Kentucky Medical Center, the Foundation with the prior written approval of the University shall be entitled and is authorized to engage in and pay for the expenses of business activities of any and/or all types, (directly or indirectly, as an owner, investor or through a wholly or partly owned subsidiary, pursuant to a contract and/or joint venture and/or in any other manner) including but not limited to the provision of administrative services, a Management Services Organization (MSO), and

other services to individuals and entities within and/or outside the Plan. It is also authorized with prior written approval of the University to incur obligations in connection therewith, as contemplated by or authorized pursuant to this Agreement. The University shall determine whether duplication of activities exists and a means for resolution of same.

Section 23. CORPORATE COMPLIANCE

Foundation affirms that is it is not excluded from participation, and is not otherwise ineligible to participate in a "Federal health care program" as defined in 42 U.S.C. section 1320a-7b(f) or in any other state or federal government payment program. In the event that Foundation is excluded from participation, or becomes otherwise ineligible to participate in any such program, during the term of this agreement, Foundation will notify the University of Kentucky Chandler Medical Center, hereinafter "UKCMC", Office of Compliance, A301 KY Clinic, Lexington, Kentucky 40536 in writing, by certified mail within 48 hours after said event, and upon the occurrence of any such event, whether or not appropriate notice is given, the University of Kentucky, shall have the right to terminate this Agreement upon written notice if such matter is not cured within a reasonable timeframe as determined at the sole discretion of the University.

Additionally, Foundation affirms that it is aware that UKCMC operates in accordance with a corporate compliance program, employs a Corporate Compliance Officer and operates a 24 hour, seven day a week compliance Comply-line. Foundation has been informed that a copy of the UKCMC compliance plan is on file in the purchasing office and that it is encouraged to review the plan during normal business hours. It is understood that should Foundation be found to have violated the UKCMC compliance plan, UKCMC can, at its sole discretion, terminate this Agreement upon written notice if such matter is not cured within a reasonable timeframe as determined at the sole discretion of the University. Foundation recognizes that it is under an

affirmative obligation to immediately report to UKCMC's Corporate Compliance Officer through the comply-line 1-877-898-6072, in writing, or directly (859) 323-6044 any actions by an agent or employee of UKCMC which Foundation believes, in good faith, violates an ethical, professional or legal standard.

Nothing in this Agreement contemplates or requires that any party act in violation of federal or state law. Nonetheless, should any term or condition set forth in this Agreement later be creditably alleged, suspected or determined to be illegal, the parties agree to immediately cease the questioned activity and negotiate modification to the effected portion of the Agreement for a thirty (30) day period. If at the end of this period, no compromise can be reached, the Agreement will terminate.

Section 24. NO THIRD-PARTY BENEFICIARIES

This Agreement shall not confer any right, remedy or benefit upon any employee of the University or the Foundation or any other person or entity other than the University and the Foundation.

Section 25. BUSINESS ASSOCIATE AGREEMENT

In the event Foundation or University in the opinion of either party's counsel are determined to be business associates under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA") or any of its regulations, the parties agree to timely enter into the appropriate business associate agreements.

Section 26. TERM

The term of this agreement shall begin on July 1, 2003 and end on June 30, 2004.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES OF
THE UNIVERSITY OF KENTUCKY

BY: _____
Lee T. Todd, Jr.
President
University of Kentucky

ATTEST: _____

KENTUCKY MEDICAL SERVICES
FOUNDATION, INC.

BY: _____
Robert M. Mentzer, Jr., M.D.
President

ATTEST: _____

RECOMMENDED BY:

_____
James W. Holsinger, Jr., M.D., Ph.D.
Senior Vice President and Chancellor for the Medical Center

_____
Emery A. Wilson, M.D.
Dean of the College of Medicine and
Associate Vice President for Clinical Services

PR 13

Office of the President
June 24, 2003

Members, Board of Trustees:

WAIVER OF PART X OF THE GOVERNING REGULATIONS PERTAINING TO THE EMPLOYMENT OF RELATIVES IN THE CASE OF DR. SANDRA D'ANGELO

Recommendation: that the Board of Trustees authorize the employment of Dr. Sandra D'Angelo, wife of Provost Michael Nietzel, for two years pursuant to the provision contained in Governing Regulation X authorizing a waiver of the rules prohibiting employment of a relative of the Provost, Vice President, or Associate Vice President that officer's administrative area.

Background: Dr. Michael Nietzel was appointed as a faculty member at the University of Kentucky in the Department of Psychology in 1973. Sandra D'Angelo was appointed to the faculty of the University of Kentucky in 1990 in the College of Medicine, Department of Pediatrics, and she currently holds appointment in that Department's Clinical Title Series. Dr. Nietzel and Dr. D'Angelo were married on August 14, 1993. In November of 2003, Dr. Nietzel was appointed Provost of the University. On July 1, 2003, the conversion of the University to the Provost model will become operational.

The Governing Regulations state that "no relative of the Provost, or any Vice President, or any Associate Provost or Associate Vice President shall be employed in a position in that officer's administrative area." Waiver of this regulation is permitted for up to two years by the Board. The Regulations further state that the relative is not eligible for reappointment under the terms of any exception unless approval is given by the Board of Trustees. Finally, the Regulations prohibit an individual holding an appointment in a position over which a relative exercises supervisory or line authority.

With the adoption of the Provost model, Dr. D'Angelo will be employed in a position that technically will fall in the Provost's administrative area. Obviously, this situation was not present when she was appointed to the faculty more than ten years ago, prior to their marriage. Nor was the situation present when the Provost's administrative area did not include the Medical Center, which was the case when Dr. Nietzel was appointed last November.

In light of the fact that Dr. Nietzel and Dr. D'Angelo were not married when she was appointed to the faculty and that she was not in his administrative area when Dr. Nietzel was appointed Provost, the President recommends that the Board grant this waiver so that she may continue her

clinical faculty member in the Department of Pediatrics. Dr. Nietzel will not be involved in any personnel action concerning Dr. D'Angelo to include her merit reviews, compensation, consideration for promotion, reappointment, academic assignment or any other matter involving her employment or evaluation at the University. Either the President or the Executive Vice President for Health Affairs will assume all such supervisory responsibilities.

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Action taken: ☑ Approved ❑ Disapproved ❑ Other_____

PR 14

Office of the President
June 24, 2003

Members, Board of Trustees:

APPOINTMENT OF ASSOCIATE VICE PRESIDENT FOR FACILITIES

Recommendation: that the Board of Trustee approve the appointment Bob Wiseman as Associate Vice President for Facilities effective May 15, 2003.

Background: The Implementation Committee for Transition to the Provost Model recommended that the four units on the campus responsible for facilties (Campus Physical Plant Division; Medical Center Physical Plant Division; Medical Center Office of Facilities Planning and Resource Management; and Capital Projects Management Division) be combined into one unit. In order to accomplish this, it was determined that a new leadership position would be appropriate. After a search, Mr. Bob Wiseman, was determined to be the candidate with the best qualifications for integrating these units.

Mr. Wiseman received his undergraduate degree and graduate degree in Public Administration from University of Kentucky. He served as Lexington Commissioner of Public Works overseeing five operating divisions and numerous construction projects involving buildings, parking garages, roads, utilities and historic structure renovations. In addition, Wiseman served two Lexington mayors in the capacity as Executive Assistant overseeing major capital programs and serving as liaison to UK and the General Assembly. He has been involved with the University of Kentucky over a long time period, having served as a member of the Campus Master Plan Committee, College Town Steering Committee, Martin School Board of Visitors and in the initial creation of Coldstream Research Campus. In recent years Wiseman has taught graduate-level courses in Public Administration at UK.

Action Taken: ☑ Approved ❑ Disapproved ❑ Other _____

PR 15

Office of the President
June 24, 2003

Members, Board of Trustees:

CREATION OF EXCECUTIVE VICE PRESIDENT FOR RESEARCH AND APPOINMENT OF DR. WENDY BALDWIN

Recommendation: that the Board of Trustee approve the creation of the position, executive vice president for research and appoint Dr. Wendy Baldwin as Executive Vice President for Research effective June 24, 2003.

Background: The research mission of the University of Kentucky is vitally important in the achievement of national prominence. As federal funds shrink, it is essential that we develop innovative strategies for securing alternative revenues to fund research. Additionally, research at the University of Kentucky is centrally connected to the economic growth of the Commonwealth of Kentucky. It is vital that we have a strong voice to articulate and advance the University's research mission.

From the beginning of her appointment, Dr. Baldwin has been part of my senior leadership team. In recognition of the importance of the research mission and of Dr. Baldwin's outstanding leadership, it is appropriate that the title vice president for research be elevated to executive vice president for research.

Action Taken: ☑ Approved ❑ Disapproved ❑ Other _____

AACR 1

Office of the President
June 24, 2003

Members, Board of Trustees:

CANDIDATES FOR DEGREES -- COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed.

Background: At the November 12, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and the Chancellor.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

KCTCS/UK Candidates Applying for Credentials May 2003

Ashland Community College

Associate in Applied Science — KCTCS/UK

Gollihue, Steven R	Allied Health	Nursing

Elizabethtown Community College

Associate in Arts — KCTCS/UK

Binda, Christopher O'Neil	Humanities	Associate in Arts	Meade, Anna Danielle	Humanities	Associate in Arts
Holley, Anthony C	Humanities	Associate in Arts	Thompson, Eric Wayne	Humanities	Associate in Arts

Associate in Science — KCTCS/UK

Willis, Joby Ray	Life & Natural Sciences	Associate in Science

Associate in Applied Science — KCTCS/UK

Begley, Penny A	Business	Bus Tech:Mgmt Opt	Reimer, Charles A	Business	Computer Info Sys
Fields, LeeAnn	Allied Health	Nursing	Richardson, DeCora E	Business	Bus Tech:Banking Opt
Jackson, Michelle D	Business	Bus Tech:Mgmt Opt	Ross, Leslie Samantha	Allied Health	Nursing
Kennedy, Valerie N	Allied Health	Nursing	Singleton, Sheila Renee	Allied Health	Nursing
Lawrence, Kristina L	Allied Health	Nursing	Snellen, Kimberly Nicole	Allied Health	Nursing
Lee, Danielle R	Allied Health	Nursing	Taitano, Annmarie	Allied Health	Nursing
Miller, Joann C	Business	Bus Tech:Mgmt Opt	Wagner, Anna Marie	Business	Computer Info Sys

Hazard Community College

Associate in Arts — KCTCS/UK

McPherson, Sandra C	Humanities	Associate in Arts

Associate in Science — KCTCS/UK

Clair, Jeffrey Russell	Life & Natural Sciences	Associate in Science	Vanover, Kevin	Life & Natural Sciences	Associate in Science
McPherson, Sandra C	Life & Natural Sciences	Associate in Science			

Henderson Community College

Associate in Arts — KCTCS/UK

Borum, Jenny L	Humanities	Associate in Arts	Schablik, George Tyson	Humanities	Associate in Arts
Brantley, Kris Ellen	Humanities	Associate in Arts	Sneed, Mary Ann	Humanities	Associate in Arts
Brewer, Kristi	Humanities	Associate in Arts	Spainhoward, Pamela A	Humanities	Associate in Arts
Duncan, Susan E	Humanities	Associate in Arts	Stone, Lisa Winters	Humanities	Associate in Arts
Fickling, Jo Anne	Humanities	Associate in Arts	Townsend, Beth Ann	Humanities	Associate in Arts
Kinnin, Jennifer Rae	Humanities	Associate in Arts	Utley, Jill Renee	Humanities	Associate in Arts
Polley, Debra K	Humanities	Associate in Arts			

Associate in Science — KCTCS/UK

Shelton, Carla J	Life & Natural Sciences	Associate in Science	Smithhart, Robin D	Life & Natural Sciences	Associate in Science

Associate in Applied Science — KCTCS/UK

Brann, Mendy Lane	Business	Bus Tech:Mgmt Opt	Morris, Shawnda K	Allied Health	Nursing
Brothers, Faron G	Allied Health	Nursing	Owens, Faith E	Allied Health	Nursing
Denton, Laurie L	Allied Health	Dental Hygiene	Sinnett, Lynda M	Business	Bus Tech:Ofc Sys Opt
Dill, Laurie A	Allied Health	Nursing	Snyder, Kara Lynn	Allied Health	Nursing
Donner, Monica Elizabeth	Allied Health	Nursing	Stevens, Hallie A	Allied Health	Dental Hygiene
Evans, Karen Renee	Allied Health	Nursing	Tharp, Mary A	Allied Health	Dental Hygiene
Feldhaus, Jane Ann	Allied Health	Nursing	Toy, Nellie Jean	Allied Health	Nursing
Felty, Connie M	Allied Health	Nursing	Tutt, Michelle R	Allied Health	Nursing
Hardison, Amy Jo	Allied Health	Dental Hygiene	Vargason, Alison Kaye	Soc & Beh Sci	Human Services
Higdon, Amanda L	Allied Health	Dental Hygiene	Wallace, Elizabeth Lynn	Allied Health	Nursing
Jenkins, Joey Patrick	Business	Bus Tech:Mgmt Opt	Walter, Shanna Shannel	Allied Health	Dental Hygiene
Jones, Sarah Jane	Business	Bus Tech:Mgt Info Sys	Watkins, Steven Clay	Business	Bus Tech:Mgmt Opt
Kowalczik, Jennifer Lynn	Allied Health	Dental Hygiene	Wedding, Kimberly A	Allied Health	Nursing
Mayberry, Heather Lynn	Allied Health	Clin Lab Tech (Int)	Whistle, Tracy Jean	Allied Health	Nursing
Miller, Hayley Rae	Allied Health	Dental Hygiene			

Hopkinsville Community College

Associate in Arts — KCTCS/UK

Allen, Scotti Kay	Humanities	Associate in Arts	Poteet, Jessica Abagail	Humanities	Associate in Arts
McKenna, John J	Humanities	Associate in Arts	Rogers, Laurie Wynette	Humanities	Associate in Arts

Associate in Science — KCTCS/UK

Broadbent, Amanda Renee	Life & Natural Sciences	Associate in Science	Hageman, Pamela Diann	Life & Natural Sciences	Associate in Science
Cook, Amanda Catherin	Life & Natural Sciences	Associate in Science	Mashburn, Charles Lloyd	Life & Natural Sciences	Associate in Science
Grace, Ann Teakey	Life & Natural Sciences	Associate in Science	Montellano, Hugo	Life & Natural Sciences	Associate in Science
Gregory, Virginia D	Life & Natural Sciences	Associate in Science			

Associate in Applied Science — KCTCS/UK

Brake, Lia Danielle	Allied Health	Nursing	Jackson, Lisa R	Soc & Beh Sci	Interdisc Early
Broadbent, Amanda Renee	Allied Health	Nursing	Pereira, Dora C	Soc & Beh Sci	Human Services
Clouser, Christopher Norman	Allied Health	Nursing	Rippey, Sandra L	Allied Health	Nursing
Cook, Amanda Catherin	Allied Health	Nursing	Rushing, Leslie Kay	Allied Health	Nursing
Downey, Mabel J	Engineering Tech	IET Electrical Opt	Spindler, Michael Dean	Soc & Beh Sci	Criminal Justice
Fuller, Cynthia Renee	Business	Ofc Sys Tech: Admin			

Jefferson Community College

Associate in Arts — KCTCS/UK

Lewis, Edward W	Humanities	Associate in Arts	Schlesinger, Rebecca T	Humanities	Associate in Arts

Associate in Science — KCTCS/UK

Castle, Christine R	Life & Natural Sciences	Associate in Science	Logan Jr, Jerry D	Life & Natural Sciences	Associate in Science

Associate in Applied Science — KCTCS/UK

Alsup, Christy J	Allied Health	Nursing	Le, Cindy P	Soc & Beh Sci	Human Services
Blissett, Sonja C	Business	Bus Tech:Mgmt Opt	Litvin, Andrey I	Business	Comp Info Sys Prog
Culler, Christopher A	Humanities	Commer	McIntyre, April D	Business	Accounting Tech
Curry, Anne H	Allied Health	Nursing	Perkins, Shannon P	Humanities	Commer Art:Photo Opt
Eichert, Bryan P	Business	Computer Info Sys	Roberts, James T	Business	Bus Tech:Mgmt Opt
Huff, Brian T	Humanities	Commer	Valaquez, Rafael	Business	Comp Info Sys Prog

Madisonville Community College

Associate in Applied Science — KCTCS/UK

Taylor, Kelly J	Soc & Beh Sci	Gen Occ Tech Stu

Maysville Community College

Associate in Arts — KCTCS/UK

Combs, Michelle D	Humanities	Associate in Arts	Sales, Brenda S	Humanities	Associate in Arts
Coy, Mary Charles	Humanities	Associate in Arts	Slade, Roger	Humanities	Associate in Arts
Doyle, Debra A	Humanities	Associate in Arts	Walker, Lisa A	Humanities	Associate in Arts
Gilvin, Jimmy W	Humanities	Associate in Arts	Williams, Patricia G	Humanities	Associate in Arts
Jones, Tina Marie	Humanities	Associate in Arts			

Associate in Science — KCTCS/UK

Barton, Teresa L	Life & Natural Sciences	Associate in Science	Harrington, Gregory K	Life & Natural Sciences	Associate in Science
Faulkner, Kristie L	Life & Natural Sciences	Associate in Science	Snodgrass, Amanda G	Life & Natural Sciences	Associate in Science

Associate in Applied Science — KCTCS/UK

Carrier, Judith Jill	Allied Health	Nursing	Hutchinson, Kasey L	Business	Bus Tech:Acctg Opt
Duncan, Daniel L	Engineering Tech	IET Electrical Opt	Kimbler, Amanda J	Business	Bus Tech:Acctg Opt
Evans, Linda J	Business	Bus Tech:Ofc Sys Opt	Lucas, Ashley A	Business	Bus Tech:Acctg Opt
Fryman, Stephanie H	Allied Health	Nursing	McDowell, Cynthia Y	Allied Health	Nursing
Fugate, Abby	Allied Health	Nursing	McGee, Alissa N	Business	Bus Tech:Mgmt Opt
Fulton, Denese M	Allied Health	Nursing	Moran, Ashley J	Allied Health	Nursing
Gibbs, Kandi G	Business	Bus Tech:Ofc Sys Opt	Noble, Jeremy L	Business	Bus Tech:Mgmt Opt
Gifford, Kari B	Allied Health	Nursing	Perkins, Timothy W	Engineering Tech	IET Electrical Opt
Gifford, Marti A	Business	Bus Tech:Ofc Sys Opt	Robinson, Donna J	Business	Bus Tech:Acctg Opt
Gilbert, Shalimar A	Allied Health	Nursing	Smiley, Jennifer	Business	Bus Tech:Mgmt Opt
Hall, Juanita M	Business	Bus Tech:Mgmt Opt	Thomas, Mary	Business	Bus Tech:Mgmt Opt
Henderson, Christal L	Business	Bus Tech:Mgmt Opt	Williams, Charity I	Business	Bus Tech:Ofc Sys Opt
Hernandez, Misti S	Allied Health	Nursing	Wright, Mary J	Allied Health	Nursing
Highland, Chastity J	Allied Health	Nursing			
Hunt, Karen M	Allied Health	Nursing			

Owensboro Community College

Associate in Arts — KCTCS/UK

Arnold, Matthew Neil	Humanities	Associate in Arts	Maggard, Ashley Nicole	Humanites	Associate in Arts
Bailey, Julia C	Humanities	Associate in Arts	Nation, Brooke Ashley	Humanities	Associate in Arts
Black, Rebecca S	Humanities	Associate in Arts	Strong, Tiffany Anne	Humanities	Associate in Arts
Blandford, Keith Hayden	Humanites	Associate in Arts	Tipton, Rhonda	Humanities	Associate in Arts
Deweese, Tiffany Lyn	Humanites	Associate in Arts	Varble, Kenneth Franklin	Humanities	Associate in Arts
Drury, Angela Eileen	Humanities	Associate in Arts			

Associate in Science — KCTCS/UK

Payne, Susan Ashley	Life & Natural Sciences	Associate in Science

Associate in Applied Science — KCTCS/UK

Acton, Angela	Soc & Beh Sci	Human Services	Kurz, Alicia K	Allied Health	Nursing
Baldwin, Stacey I	Allied Health	Radiography	Landa, Karen Lynn	Allied Health	Radiography
Banks, Kristi Lynn	Allied Health	Nursing	Lane, Shelly Renae	Soc & Beh Sci	Human Services
Bishop, Troy Lee	Allied Health	Radiography	Maggard, Kathy Ann	Business	Bus Tech:Mgmt Opt
Boling, Charlene T	Business	Ofc Sys	Page, Michael W	Soc & Beh Sci	Law Enfrcmnt Tech
Bowser, Elaine Faith	Allied Health	Nursing	Payne, Karie Krystal	Soc & Beh Sci	Human Services
Crump, Wendy Aldridge	Allied Health	Nursing	Renfrow, Deborah L	Business	Ofc Sys
Ebelhar, Lisa Barr	Business	Bus Tech:Mgt Info Sys	Rone, Heather Anne	Business	Comp Info Sys Prog
Foster, Rosemary	Business	Bus Tech:Acctg Opt	Sims, Angelia M	Business	Bus Tech:Mgmt Opt
Hamilton, Rachel D	Soc & Beh Sci	Law Enfrcmnt Tech	Westmoreland, Ursula Marie	Soc & Beh Sci	Human Services
Hinson, Emely Rebekah	Allied Health	Radiography	White, Kari Shawnte	Business	Ofc Sys
Houghland, Kasey Jo	Allied Health	Nursing	Whobrey, Patricia Louise	Business	Comp Info Sys Prog
House, Ashley Breann	Allied Health	Nursing	Wilgus, Sharon Evans	Business	Bus Tech:Mgmt Opt
Hundley, Kristina Faye	Allied Health	Nursing			

Paducah Community College

Associate in Arts — KCTCS/UK

Blalock, Patricia Colleen	Humanities	Associate in Arts	Ford, Susan Lynn	Humanities	Associate in Arts
Boaz, Leah Diane	Humanites	Associate in Arts	Goff, Violet Marie	Humanities	Associate in Arts
Bone, Laurie A	Humanites	Associate in Arts	Griggs, Shannan D	Humanities	Associate in Arts
Brown, Angela Jane	Humanites	Associate in Arts	Jones, Sam Bernard	Humanites	Associate in Arts
Calender, Miranda Gayle	Humanites	Associate in Arts	Lambert, Tamala	Humanites	Associate in Arts
Carlin, Charles P	Humanities	Associate in Arts	Lee, Angela T	Humanities	Associate in Arts
Chappell, Stephanie Renee	Humanites	Associate in Arts	Leone, Constance Rose	Humanities	Associate in Arts
Cloar, Brian K	Humanities	Associate in Arts	Miller, Debra Kay	Humanities	Associate in Arts
Collins, Vicky Renee	Humanities	Associate in Arts	Pirtle, Lea Ann	Humanities	Associate in Arts
Courtney, Alanna F	Humanites	Associate in Arts	Poat, Charles Patrick	Humanites	Associate in Arts
Dale, Yolanda Lynette	Humanites	Associate in Arts	Powers, Elizabeth Grace	Humanities	Associate in Arts
Darnell, Tina R	Humanities	Associate in Arts	Ramage, Ashley Brooke	Humanities	Associate in Arts
Durbin, M Rudina	Humanites	Associate in Arts	Rogers, James Thomas	Humanites	Associate in Arts
Evitts, Jenifer	Humanities	Associate in Arts	Wilson, Terri A	Humanities	Associate in Arts

Associate in Science — KCTCS/UK

Byrd, Donald	Life & Natural Sciences	Associate in Science	Price, Stephanie M	Life & Natural Sciences	Associate in Science
Dodson, Joe Christopher	Life & Natural Sciences	Associate in Science	Roberts, Angel E	Life & Natural Sciences	Associate in Science
Jones, Ellis R	Life & Natural Sciences	Associate in Science	Thompson, Christopher Charles	Life & Natural Sciences	Associate in Science
Kimbro, Jeanette Michelle	Life & Natural Sciences	Associate in Science	Trimble, Steven Edward	Life & Natural Sciences	Associate in Science
Patton, Bobby Harold	Life & Natural Sciences	Associate in Science	Van Cura, Anthony Keith	Life & Natural Sciences	Associate in Science
Patton, Stefanie Dawn	Life & Natural Sciences	Associate in Science	Watson, Samuel P	Life & Natural Sciences	Associate in Science

Associate in Applied Science — KCTCS/UK

Boyd, Lisa Owens	Business	Bus Tech:Mgmt Opt	Martin, Vicki LaJean	Business	Ofc Sys
Chapman, Kelly D	Allied Health	Nursing	McGregor, Katina Y	Allied Health	Nursing
Clark, Jayne Celeste	Allied Health	Nursing	Miller, Jalonda F	Business	Bus Tech:Acctg Opt
Cole, Tina Renee	Allied Health	Nursing	Montgomery, Mandy Nicole	Allied Health	Nursing
Conner, Sara Rebekah	Allied Health	Nursing	Moore, Jennifer Marie	Business	Ofc Sys
Floyd, April Dawn	Business	Bus Tech:Acctg Opt	Pendergrast, Tammy D	Business	Bus Tech:Acctg Opt
Hayden, Craig A	Allied Health	Nursing	Phillips, Melissa D	Allied Health	Nursing
Howe, Kimberly S	Allied Health	Nursing	Rudd, Stephannie Lorene	Allied Health	Nursing
Jacobs, Carla L	Allied Health	Nursing	Sasseen, Daniel R	Allied Health	Nursing
Jones, Wilma	Business	Ofc Sys	Sharp, Constance Grace	Business	Ofc Sys
Kelley, Barbara Dawn	Allied Health	Nursing	Smock, John Albert	Allied Health	Nursing
Kemper, Nancy J	Allied Health	Nursing	Sullivan, Autumn M	Allied Health	Nursing
Lambert, Melissa D	Allied Health	Nursing	Todd, Kimberly Jo	Allied Health	Nursing
Lyons, Vanessa E	Allied Health	Nursing	Williams, Shana Rhea	Allied Health	Nursing
Mabry, Laura L	Business	Ofc Sys	Wood, Terry Cole	Allied Health	Nursing

Prestonsburg Community College

Associate in Arts — KCTCS/UK

Frye, Aaron Tyler	Humanities	Associate in Arts

Somerset Community College

Associate in Arts — KCTCS/UK

Fisher, David R	Humanites	Associate in Arts	McCune, Carla D	Humanites	Associate in Arts
Hughes, Heather Lynn	Humanities	Associate in Arts			

Associate in Science — KCTCS/UK

Latham, Kenneth C	Life & Natural Sciences	Associate in Science	Shepard, Rebecca Gail	Life & Natural Sciences	Associate in Science

Associate in Applied Science — KCTCS/UK

Galimore, Clara E	Business	Bus Tech:Mgmt Opt

Southeast Community College

Associate in Arts — KCTCS/UK

Bertram, Michelle Lynn	Humanities	Associate in Arts	Hutton, Enequita Q	Humanities	Associate in Arts
Birman, Shirley	Humanities	Associate in Arts	Lloyd, Donna H	Humanities	Associate in Arts
Dempsey, April M	Humanities	Associate in Arts	Lyons, Jessica Leah	Humanities	Associate in Arts
Fields, Katina D	Humanities	Associate in Arts	McCarthy, Regina Georgette	Humanities	Associate in Arts
Hall, Jennifer R	Humanities	Associate in Arts	Stewart, Angela M	Humanities	Associate in Arts
Hensley, Julie A	Humanities	Associate in Arts	Sumpter, Lorie A	Humanities	Associate in Arts
Huff, Larry	Humanities	Associate in Arts	Witt, Sharon D	Humanities	Associate in Arts

Associate in Science — KCTCS/UK

Davis, Leighanne S	Life & Natural Sciences	Associate in Science	Jenkins, Robert T	Life & Natural Sciences	Associate in Science
Hawkins, Rebecca R	Life & Natural Sciences	Associate in Science	Stringer, Sherri M	Life & Natural Sciences	Associate in Science
Ison, Amanda A	Life & Natural Sciences	Associate in Science	Welch, Chuck Anthony	Life & Natural Sciences	Associate in Science

Associate in Applied Science — KCTCS/UK

Bentley, Joshua Isaac	Allied Health	Nursing	Hutton, Zohira L	Business	Bus Tech:Mgt Info Sys
Boggs, David B	Allied Health	Radiography	Jones, Joyce	Business	Bus Tech:Mgmt Opt
Caudill, Jennifer F	Allied Health	Nursing	Lee, Mickaela D	Allied Health	Nursing
Coldiron, Patricia	Allied Health	Nursing	Phelps, Tina Michelle	Business	Bus Tech:Mgmt Opt
Gales, Frank Marshall	Business	Bus Tech:Mgmt Opt	Saylor, Jacqueline E	Allied Health	Nursing
Hammock, Patricia	Allied Health	Radiography	Shepherd, Carolyn S	Allied Health	Nursing
Huff, Larry	Allied Health	Nursing	Stringer, Sherri M	Allied Health	Nursing

AACR 2

Office of the President
June 24, 2003

Members, Board of Trustees:

CREATION OF A SCHOOL OF HUMAN ENVIRONMENTAL SCIENCES IN THE COLLEGE OF AGRICULTURE; ELIMINATION OF COLLEGE OF HUMAN ENVIRONMENTAL SCIENCES

Recommendation: that the Board of Trustees approve the creation of the School of Human Environmental Sciences in the College of Agriculture. The School would include three existing units and all of their associated academic programs: Department of Family Studies, Department of Nutrition and Food Sciences, Department of Merchandising, Apparel and Textiles. With the transfer of these three units, the College of Human Environmental Sciences would be dissolved, effective July 1, 2003.

The Committee recommends that an immediate study begin, to determine the optimal placement of the Early Childhood Program within the University structure. The Committee further recommends that quarterly reports, on the findings of this study, be reported to the Academic Affairs Committee.

Background:

In March 2002, the Task Force on University of Kentucky Futures: Faculty for the 21st Century proposed several specific options for academic restructuring and reorganization that would promote interdisciplinary innovations, strengthen research and degree program's achievements and increase administrative efficiency. Among these suggestions, the Task Force recommended the elimination of the College of Human Environmental Sciences (HES) and a resulting reorganization of its academic units and programs.

The Board of Trustees approved the creation of a College of Design in October 2002 consisting of the School of Interior Design (which had existed previously as a department in the College of Human Environmental Sciences), School of Architecture, and Department of Historical Preservation. The creation of the College of Design consolidated three professional design disciplines into one academic unit and implemented one of the recommendations of the Futures Task Force pertaining to the College of Human Environmental Sciences.

Throughout the past academic year, Dr. Scott Smith, Dean of Agriculture, has served as the Acting Dean of HES. The faculty, staff, and many other constituents of the three units remaining in HES have developed and debated a number of proposals for the future academic organization of these units. Their efforts were devoted to developing a plan that would advance family and consumer sciences in Kentucky. Two proposals were formally considered by the faculty and staff in HES, but no clear consensus about these two alternatives emerged at the College level. Both proposals were submitted to the Provost on March 10, 2003 for final consideration. Proposal 1 would have the Department of Family Studies join the College of Education, and the Departments of Nutrition and Food Sciences and

Merchandising, Apparel, and Textile join the College of Agriculture as a School of Human Environmental Sciences. Proposal 2 would have all remaining HES units join the College of Agriculture as a School of Human Environmental Sciences. The Provost met with the faculty and staff to discuss his reaction to the proposals. At that time he indicated a preference for Proposal 2, which would merge all three HES units into the College of Agriculture.

On April 29, the Provost forwarded the proposals to the University Senate for review and advice concerning the reorganization. The Senate Council reviewed the proposals on behalf of the University Senate with advice from the Senate Committee on Academic Organization and Structure and forwarded its recommendation to the Provost on May 21, 2003. The Senate Council found both proposals to be acceptable and acknowledged that both proposals evolved from careful deliberation with full input from all affected parties. The Council recommended the proposal that would transfer the Department of Family Studies to the College of Education, and the Departments of Nutrition and Food Sciences and Merchandising, Apparel, and Textile to the College of Agriculture. In addition, the Senate Council recommended that whichever proposal was implemented, a review of the reorganized units take place in two years in order to assess the impact of the reorganization and make changes that are warranted based on that review. A copy of the May 21 letter from Senate Council to President Todd is attached to this action.

After careful consideration of the process, deliberations, and recommendations that have occurred over more than one year, the President and the Provost recommend the creation of the School of Human Environmental Sciences in the College of Agriculture that would include the Department of Family Studies, Department of Nutrition and Food Sciences, and Department of Merchandising, Apparel, and Textiles. This recommendation is made on the basis of very strong support from alumni, supporters, and other external constituents of HES; the ability of the University to better address within one college the staffing needs of the academic programs and units affected; the opportunity to integrate educational and research programs into an academic environment that has an excellent tradition of education, research, and service, and the superior prospects of maintaining the various curricula offered individually and collaboratively by these three units. The President and the Provost will commence an immediate review of the Early Childhood Education component of the Department of Family Studies in order to determine its optimal position in the University structure to fulfill its mission. In addition, the Provost commits, in response to the recommendation from the Senate Council, to conduct a thorough review of the School of Human Environmental Sciences within two years in order to assess the impact of this entire reorganization and make necessary changes in response to that review.

The Board is deeply appreciative of the efforts of the faculty to chart their future, and the administration will work to ensure their continued success.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

FCR 1

Office of the President
June 24, 2003

Members, Board of Trustees:

OPERATING BUDGET FOR FISCAL 2003-04

Recommendation: that the Operating Budget for Fiscal 2003-04 as submitted, including the authorization set forth in the letter of transmittal, be approved and ordered recorded as an integral part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures and accepted as a basis of maximum expenditures for fiscal 2003-04.

Background: The 2003-04 Operating Budget of the University of Kentucky is presented for approval by the Board of Trustees. Copies of the budget document have been made available to the members of the Board.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

June 24, 2003

Members of the Board of Trustees:

I am pleased to submit for your consideration and approval the 2003-04 University of Kentucky Operating Budget. The University is in a time of change – decreasing state support, increasing enrollments and higher expectations. The recommended operating budget for FY 2003-04 totals $1.392 billion, an increase of $19.9 million or 1.5 percent over FY 2002-03. The development of the proposed budget was a challenging task. In addition to managing a recurring state budget reduction while enrolling more students, we continue to strive to become one of America's top 20 public research universities and a catalyst for intellectual, social, cultural and economic development for the Commonwealth. This budget reflects the goals and objectives set forth in our new Strategic Plan which is also being presented to you for approval. Given our limited resources, our budget objectives for FY 2003-04 include:

- Fund a modest salary increase for faculty and staff
- Avoid passing on any health insurance cost increases to our employees
- Provide more scholarships to students on both a need- and merit-basis, and
- Fund program improvements that invest in our future.

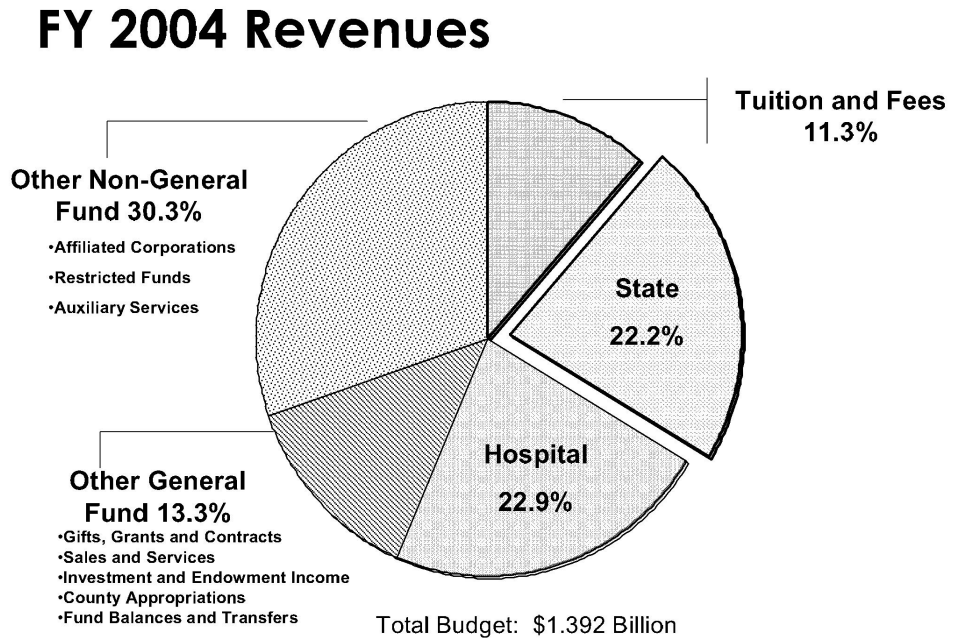
"One University"

The recommended FY 2003-04 budget reflects my continued commitment to implement an organizational structure and the operational processes that support the "One University" concept. This budget reflects significant organizational changes as we transition to the Provost model. The full implementation of the Provost model will facilitate the University's ability to capitalize on its intellectual diversity through the integration of all its resources. Many support functions and services performed by the UK Chandler Medical Center were integrated with similar units in the rest of the campus with the goals of maintaining high quality support services and achieving significant cost savings totaling $2 million.

Revenues and Other Fund Sources

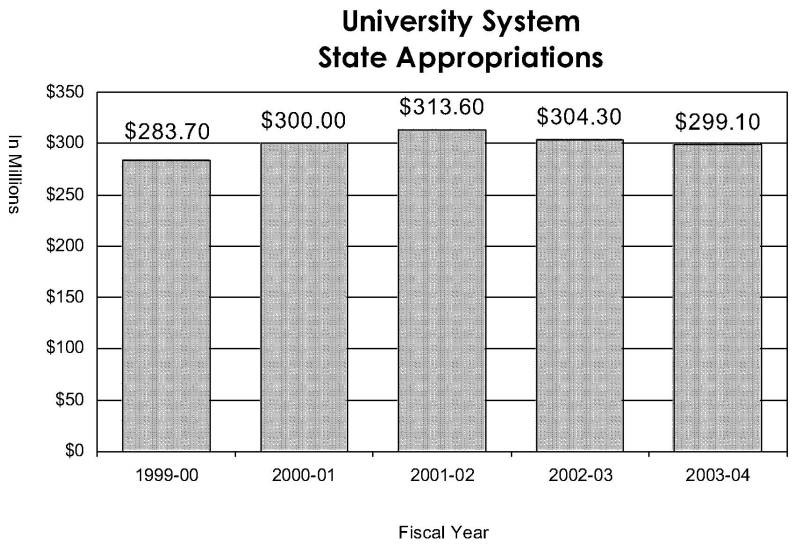
State Appropriations.

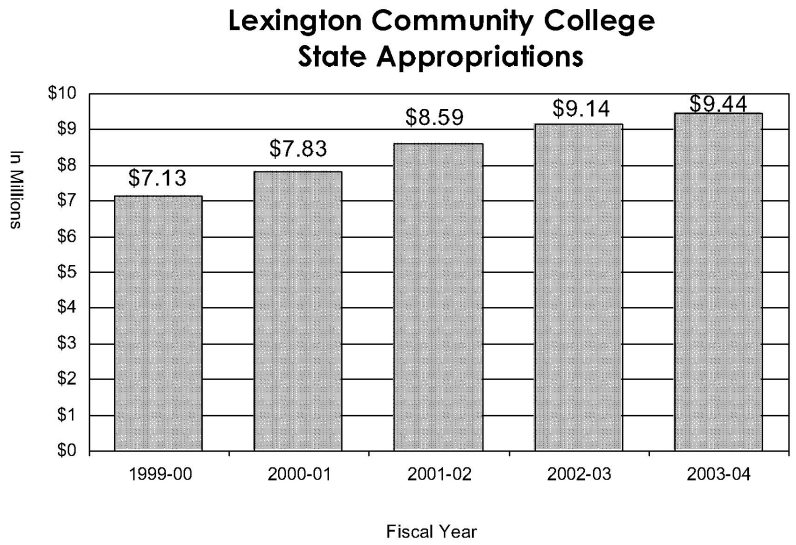
As shown below, state appropriations will account for approximately 22.2 percent of the institution's operating budget in FY 2003-04.



The Commonwealth of Kentucky's 2002-04 biennial budget was recently enacted by the 2003 General Assembly and signed by the Governor. For the third consecutive year, the University will experience significant decreases (both recurring and nonrecurring) in state appropriations. The University System's FY 2003-04 state appropriations will total $299.1 million, $14.5 million less than the original FY 2001-02 appropriated amounts. The Lexington Community College's FY 2003-04 state appropriations total $9.4 million, 9.9 percent greater than its original FY 2001-02 appropriated amounts.

The University was able to absorb the FY 2001-02 $6 million recurring cut in state appropriations without impacting the institution's academic programs. As the reductions have become more severe, all primary areas have been affected. In order to protect the academic programs as much as possible, the FY 2003-04 budget reflects an average 1.5 percent budget reduction for the Colleges and other academic units while the support units were subject to an average 2.23 percent reduction in General Fund supported expenses. The following graphs reflect the University System's and the Lexington Community College's original budgeted state appropriation amounts for the past five years.

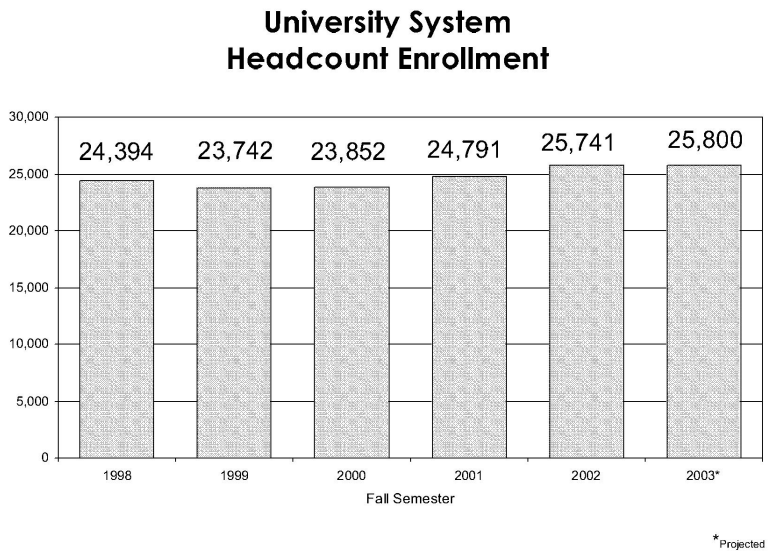




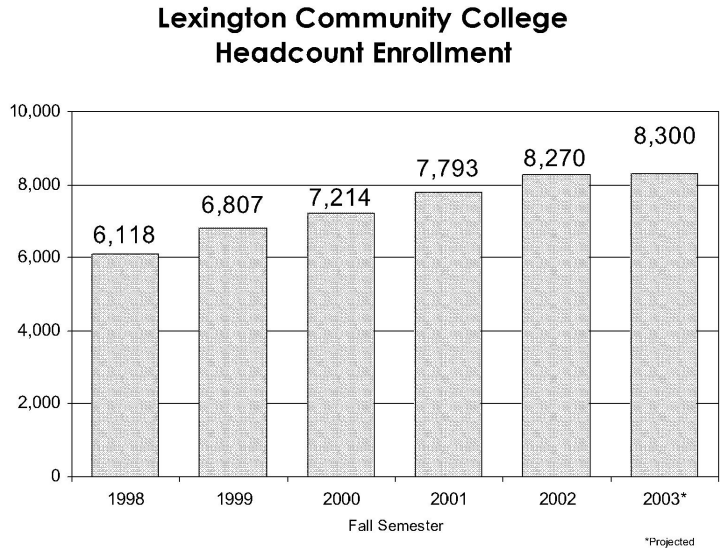
The recently enacted state budget includes debt service in FY 2003-04 to issue $120 million in taxable bonds for the Endowment Match Program. The University of Kentucky will be eligible to match $67 million with private gifts to create and enhance endowed chairs, professorships, and mission-related activities. We estimate the state bond proceeds to be available this fall. The state budget also includes a $155 million agency bond pool authorization for the public, postsecondary education institutions. The University has been allotted $61.9 million of the agency bond authority to construct student housing and a parking structure. The debt service on these bond issuances will be funded with Housing and Dining revenues and parking revenues, respectively. Finally, the state budget includes a recurring $275,500 appropriation to the University for the Center for Research on Violence Against Women.

Tuition and Fees.

The University continues to enroll more students. As shown in the following charts, the University System's headcount enrollment has grown by 1,889 to 25,741 students from fall 2000 to fall 2002. The enrollment growth is primarily attributed to a 22.4 percent increase in the first-time freshmen class from fall 2001 to fall 2002 and a 30 percent increase in enrolled doctoral students over the past five years.



The Lexington Community College enrollment growth is also dramatic, increasing by 35.2 percent, or 2,152 students, since fall 1998.



In April 2003, the Board of Trustees approved a 15 percent increase in undergraduate, resident tuition rates for the University System. The Board of Trustees approved an 8 percent increase in resident tuition rates for the Lexington Community College in September 2001. Based on the approved tuition rates and projected enrollments of 25,800 and 8,300 at the University System and LCC, respectively, the recommended budget includes $157.3 million of tuition and fee revenue (an increase of $17.4 million, or 12.4 percent, over FY 2002-03).

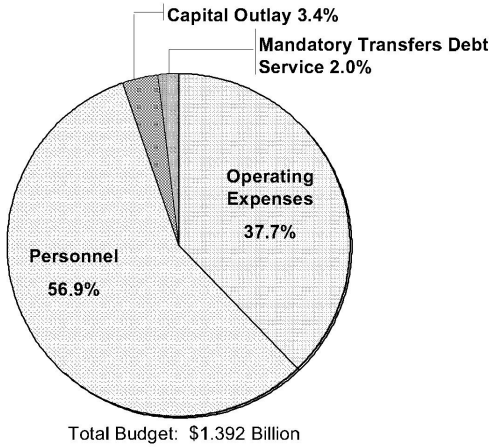
Internal Reallocations.

In order to cover the recurring state budget reduction and achieve our budget objectives, we had to reallocate a significant amount of funds internally and continue to use nonrecurring funds, including year-end fund balances. The proposed FY 2003-04 budget reflects a total internal reallocation of approximately $9 million dollars, including $2 million from the transition to the Provost model.

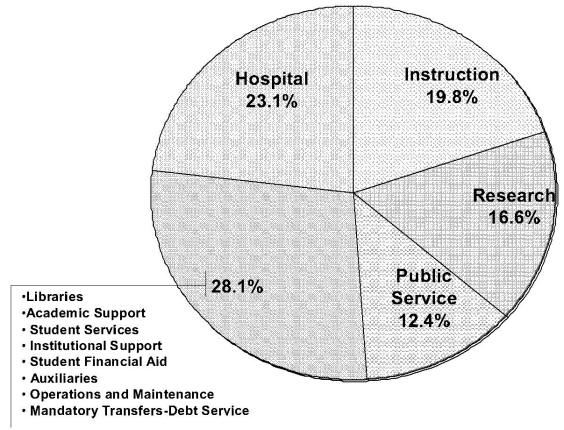
Allocation of Resources

As shown below, personnel expenses will account for 56.9 percent of the institution's total operating budget in FY 2003-04. In addition, instruction will account for 19.8 percent of the institution's expenses by function.

FY 2004 Expenses by Natural Category



FY 2004 Expenses by Function



Total Budget: $1.392 Billion

Budget Highlights.

The recommended FY 2003-04 operating budget reflects our budget objectives for the year. Some highlights from the recommended budget follow. The amounts listed below represent the total cost to those units supported with General Funds (i.e., state appropriations, tuition, investment income, etc.):

- As reflected in the Strategic Plan, competitive salaries for faculty and staff are imperative for the University to become one of the 20 best public research institutions in the nation. Given the limited resources available, the FY 2003-04 budget includes an average salary increase of 3 percent for faculty and staff, to be distributed based upon merit. The budget also includes funds for faculty promotions, a 2 percent increase in salaries for teaching assistants and a 2 percent minimum salary grade scale adjustment. Total cost for salary enhancements: $9.8 million.

- The University has assumed the total increase in health insurance premium costs of approximately 9.6 percent for its employees. With the exception of pharmacy costs, employees will see no change in their health insurance costs. Total cost increase of the Employee Health Insurance Program: $2.6 million.

- The institution's scholarship budget has been increased to cover the tuition rate increase, a new need-based scholarship program, funding for the second year of the Legacy Tuition Scholarship Program, and continued support for the Governor Scholars and Governor School for the Arts Scholarships Program. The budget includes $1 million from the University of Kentucky Athletics Association, Inc. in support of the increased scholarships. Total scholarship increases: $4.6 million.

- Over $4 million of recurring and nonrecurring funds are budgeted for the strategic investment in high-priority academic and research programs, recruitment and appointment of college and program leadership, and support for enrollment growth and improved retention as listed below.

    - Subsequent to the Report of the Futures Task Force, a call for proposals was issued to advance the Futures priority areas. Based on the proposals received, over $550,000 will be invested in seven initiatives. These initiatives support the School of Music; plant bioengineering in the College of Agriculture; risk-related behavior in the colleges of Medicine, Arts and Sciences, and Communications and Information Studies; race, ethnicity, and civic identities in the College of Arts and Sciences; organic chemistry; genomics (Biology); and foreign language education for the College of Arts and Sciences and the College of Education.

    - $500,000 to support a call for proposals for the Medical Center colleges to advance the Futures priority areas.

    - The Board of Trustees recently approved a 25 percent increase in the professional tuition rates for Law, Business and Economics, Dentistry, Medicine and Pharmacy effective fall 2003. Approximately, $1.3 million of the tuition revenue generated from these rate increases will be invested directly in the respective professional programs.

    - $700,000 for the strategic investment in high-priority programs including: the enhancement of the College of Law in response to the American Bar Association accreditation issues; the further development of the Center for Oral Health Research in the College of Dentistry; support for the School of Architecture in the College of Design;

enrollment growth in the pharmacy doctorate program; and enrollment growth in the School of Public Health masters and doctoral programs.

- $670,000 for graduate student support to address the increased cost of tuition waivers and health insurance provided to teaching assistants, research assistants, and fellowship recipients.
- $460,000 for academic administration and leadership initiatives including supplemental funds for the recruitment and appointment of new deans and directors and an operating expense incentive program to increase first to second year student retention and extramural funding.

- Over $1 million to the Lexington Community College for program improvements to support increased enrollments including part-time instructors, faculty and staff positions, instructional equipment, supplies and operating expenses.
- $100,000 for a Quality Enhancement Program to invest in program improvements identified through periodic reviews.
- $300,000 to offset inflationary costs for monographs, serials and journals in the University Libraries.
- $476,300 for maintenance and operation of new facilities coming online, including the Gill Building and the Center for Rural Health.
- $1 million for renovating and equipping classrooms.
- $2.2 million towards the ERP, the replacement of the finance, human resources, and student information systems.
- $499,300 for the enhancement of the institutional data warehouse, to support the interface with Immigration and Naturalization Service's database in compliance with federal immigration laws, and a virus protection software site license.
- $54,000 for a programming position in Research Information Services to support the Graduate School.

- $390,000 to improve the lighting at the Guignol Theatre.
- $390,000 to improve the HVAC in the Reynolds Building No. 1.

Conclusion

I hereby request that the Board approve this operating budget and the appropriation and allocation of all fund balances for future operations, to include amounts necessary to cover accounts receivable and inventories that will represent assets on the audited balance sheet of the University of Kentucky for FY 2002-03. These balances are in addition to those incorporated in the proposed budget. Since the books of accounts of the University of Kentucky are maintained on a full accrual basis, this action will provide the funds necessary to maintain a sound financial position during the 2003-04 fiscal year.

The FY 2003-04 budget recommended for approval has been a challenging task. This budget reflects our Strategic Plan – we have focused on faculty and high-priority academic programs. Given the state budget picture, we will not make the progress we had hoped for, but neither will we stand still. We will make notable gains in 2003-04. For this I thank my budget staff, administrators, and the faculty and staff who will make progress a reality in the new fiscal year. Finally, I thank the Board of Trustees for their continued encouragement and support and I look forward to working with you in the year ahead to achieve even greater good for our University and the people of the Commonwealth.

Lee T. Todd, Jr.
President

FCR 2

Office of the President
June 24, 2003

Members, Board of Trustees:

APPROVAL OF LEASES

Recommendation: that the Senior Vice President for Administration be authorized to negotiate and execute the following:

A renewal lease between the University of Kentucky (Kentucky Injury Prevention/College of Dentistry) and Henry E. Cravens and Rudy G. Bicknell, c/oCravens Properties, 651 Perimeter Drive, Lexington, Kentucky, for space located at 333 Waller Avenue, Lexington, Kentucky, effective July 1, 2003 through June 30, 2006, for the use of 10,281 square feet of office space, at an annual rate of $131,080.00.

A renewal lease between the University of Kentucky (Department of Psychiatry) and Blazer Parkway Venture, LLC, 3470 Blazer Parkway, Lexington, Kentucky, for space located at 3470 Blazer Parkway, Lexington, Kentucky, effective July 1, 2003 through June 30, 2004, for the use of 21,836 square feet of office/clinic space, at an annual rate of $348,284.00.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding $30,000 in value and required that all leases in excess of $30,000 be approved by the Board of Trustees.

Action taken: ☑ Approved ☐ Disapproved ☐ Other _____

FCR 3

Office of the President
June 24, 2003

Members, Board of Trustees:

REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

A renewal lease between the University of Kentucky (Agronomy Department) and Wilbert Perkins, 256 Perkins Lane, Frankfort, Kentucky, for land located on Route One, Frankfort, Kentucky, effective May 1, 2003 through September 30, 2003, for the use of 4.3 acres of land for crop experimentation, at an annual rate of $4,500.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Agronomy Department) and Carl Waits, 126 Carolyn Lane, Nicholasville, Kentucky, for land located at 5613 Tates Creek Road, Nicholasville, Kentucky, effective May 1, 2003 through September 30, 2003, for the use of one acre of land for crop research, at an annual rate of $1,000.00, be accepted and made a matter of record.

A renewal lease between the University of Kentucky (Rural Health) and Allen County Board of Education, 238 Bowling Green Road, Scottsville, Kentucky, for space located at 311 North Third Street, Scottsville, Kentucky, effective July 1, 2003 through June 30, 2004, for the use of 368 square feet of office space, at an annual rate of $3,600.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding $30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action Taken: ☑ Approved ❑ Disapproved ❑ Other _____

FCR 4

Office of the President
June 24, 2003

Members, Board of Trustees:

ACQUISITION OF PROPERTY

Recommendation: that the Administration be authorized to execute appropriate legal instruments to acquire real property located at 1401 Corporate Court, Henderson, Kentucky, owned by P.S.B.D.G., LLC, 739-B South Main Street, Henderson, Kentucky.

Background: The real property to be acquired consists of 4,800 square feet of office/warehouse space with an appraised value of $262,500.00. The price being paid by the University is $245,000.00. The property is located outside the University acquisition area and is to be utilized by the University of Kentucky, Kentucky Geological Survey.

This building will replace the leased space currently used by the Survey in Henderson.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

FCR 5

Office of the President
June 24, 2003

Members, Board of Trustees:

DISPOSAL OF PERSONAL PROPERTY

Recommendation: that the Board of Trustees approve a plan for fiscal year 2003-2004 authorizing routine disposition of personal property having a value of less than $10,000 per item, to include equipment and machinery, vehicles, library books and materials, livestock and recyclable materials as listed on Attachment I.

Background: Kentucky Revised Statute 164A.575 requires that the Board of Trustees approve any disposition of University Property. Administrative Regulation II-1.3-3, approved by the Board of Trustees on August 16, 1994 establishes the policies and procedures for the disposition of personal property, including required approvals. This plan provides an efficient and timely process for the disposition of property having a fair value of less than $10,000.

The Controller and Treasurer is delegated responsibility and authority for the disposal of property under this plan, consistent with provisions of Administrative Regulation II-1.3-3. Dispositions of personal property having a value greater than $10,000 will be approved by the Board of Trustees.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

ATTACHMENT I

ANTICIPATED DISPOSAL OF PROPERTY 2003-2004

A. Equipment and Machinery

1. Capital Equipment and Machinery
   Method of Disposal
   Public Auction
   Sealed Bid
   Fixed Price
   Transfers to Other State Agencies and Educational Institutions
   Reason for Disposal
   Equipment and machinery surplus to the needs of the University due to depreciation, obsolescence, program changes or termination.

2. Non-capital Equipment, Machinery and Supplies
   Method of Disposal
   Public Auction
   Sealed Bid
   Fixed Price
   Transfers to Other State Agencies and Educational Institutions
   Reason for Disposal
   Equipment and machinery surplus to the needs of the University due to depreciation, obsolescence, program changes or termination.

B. Vehicles

   Method of Disposal
   Public Auction
   Reason for Disposal
   Vehicles of excessive age and/or mileage or in need of major repairs.

C. Library Books and Materials

   Method of Disposal
   Public Auction
   Sealed Bid
   Fixed Price
   Reason for Disposal
   Library books and materials that are obsolete or outdated.

D. Livestock

Method of Disposal
Public Auction
Sealed Bid
Fixed Price
Reason for Disposal
Livestock surplus to the needs of the College of Agriculture or otherwise unsuitable for use (completion of research projects, carcass data requirements, normal culling of herds).

E. Recyclable Materials

Method of Disposal
Price Contracts
Fixed Price
Reason for Disposal
To comply with regulations for proper and timely disposition of waste products and recyclable materials (as directed by the Office of Environmental Health and Safety).

FCR 6

Office of the President
June 24, 2003

Members, Board of Trustees:

WILLIAM T. YOUNG PLEDGE

Recommendation: that the Board of Trustees accept a pledge of $500,000 from William T. Young of Lexington, Kentucky, for the restoration of the Administration Building.

Background: Mr. Young, a 1939 graduate of the UK College of Engineering, has many business interests including Overbrook Farm, a thoroughbred stallion operation. A generous UK benefactor, Mr. Young is primarily responsible for the UK library, which bears his name, and which now has the second largest endowment among the nation's university libraries. Mr. Young has requested that the presidential suite in the renovated building be named in memory of his wife, Lucy M. Young. His pledge will be paid over four years.

The Administration Building was nearly destroyed by fire in May, 2001. It is undergoing a $16 million restoration that will be completed in April, 2004. Also being restored is the structure's original name. It will be known as the Main Building, which it was called upon its completion in 1882.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 7

Office of the President
June 24, 2003

Members, Board of Trustees:

WARREN W. ROSENTHAL PLEDGE

Recommendation: that the Board of Trustees accept a pledge of $300,000 from Warren W. Rosenthal of Lexington, Kentucky, for the restoration of the Administration Building.

Background: Mr. Rosenthal, a 1947 alumnus of the Gatton College of Business and Economics, is the retired chairman of Jerrico, Inc. and owns Patchen Wilkes Farm. A member and former chairman of the UK Development Council, Mr. Rosenthal has been a generous donor to the University. His pledge will be completed in 2007.

The Administration Building was nearly destroyed by fire in May, 2001. It is undergoing a $16 million restoration that will be completed in April, 2004. Also being restored is the structure's original name. It will be known as the Main Building, which it was called when completed in 1882.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 8

Office of the President
June 24, 2003

Members, Board of Trustees:

JAMES F. HARDYMON PLEDGE

Recommendation: that the Board of Trustees accept a pledge of $280,000 from James F. Hardymon of Lexington, Kentucky, for the restoration of the Administration Building.

Background: Mr. Hardymon, retired chairman of Textron Corporation of Providence, Rhode Island, is a former member of the UK Board of Trustees and was recently reappointed to the board. He holds a bachelor's ('56) and a master's ('58) degree from the UK College of Engineering and has been a generous donor to the college and to other UK programs. His pledge will be paid over a four-year period.

The Administration Building was nearly destroyed by fire in May, 2001. It is undergoing a $16 million restoration that will be completed in April, 2004. Also being restored is the structure's original name. It will be known as the Main Building, which it was called upon its completion in 1882.

Action taken: ☑ Approved q Disapproved q Other_____

FCR 9

Office of the President
June 24, 2003

Members, Board of Trustees:

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY AUTHORIZING THE ISSUANCE OF APPROXIMATELY $52,830,000 OF UNIVERSITY OF KENTUCKY HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES S, TO BE DATED THE FIRST DAY OF THE MONTH IN WHICH SOLD; AUTHORIZING PROPER PROCEEDINGS RELATIVE TO THE PUBLIC SALE OF THE BONDS AND THE DISPOSITION OF THE PROCEEDS THEREOF; AUTHORIZING EXECUTION OF AN ELEVENTH SUPPLEMENTAL TRUST INDENTURE BETWEEN THE BOARD AND FARMERS BANK & CAPITAL TRUST COMPANY, FRANKFORT, KENTUCKY, AS TRUSTEE, IN COMPLIANCE WITH THE PROVISIONS OF THE TRUST INDENTURE DATED JUNE 1, 1965

Recommendation: that the Board of Trustees approve a Resolution authorizing the issuance of approximately $52,830,000 of University of Kentucky Housing and Dining System Revenue Bonds, Series S, to be dated the first day of the month in which the Series S Bonds are sold.

The Resolution authorizes the issuance of approximately $52,830,000 of Series S Bonds for the purpose of financing a "Student Housing Facility," more particularly described as new dormitories with approximately 500 to 700 new beds, to be located at sites as identified in the Phase 1 Housing - 2010 Campus Plan, which is a part of the UK Physical Development Campus Plan, December 2002 (collectively, the "Project"), for housing and dining purposes, all of which are a part of the University's Housing and Dining System.

The Resolution also approves the offering for sale of the Series S Bonds upon the advice of the Financial Advisor, First Kentucky Securities Corporation on a date to be determined by the Treasurer of the University, upon the advice of said Financial Advisor (expected to be later this year). The Resolution further authorizes the acceptance of the bid for the sale of the Series S Bonds by the Treasurer. The exact principal amount of Series S Bonds to be sold will be determined on the date of sale as the amount required to (i) pay the budgeted costs of the Project, (ii) make the required deposit in the debt service reserve fund, and (iii) pay the costs of issuing the Series S Bonds.

Background: The University presently has outstanding Housing and Dining System Revenue Bonds, Series I, K, M, N (Second Series), O, P, Q and R (the "Outstanding Bonds"), all secured by a pledge of the Revenues derived from the operation of the University's Housing and Dining System (the "System") and by a statutory mortgage lien against all of the buildings of the System. The Resolution authorizes the execution of an Eleventh Supplemental Trust Indenture with Farmers Bank & Capital Trust Company, Frankfort, Kentucky, as Trustee, Bond Registrar, Transfer Agent and Payee Bank, which provides for the execution of an appropriate parity certification establishing that the "coverage" of projected income of the System over debt service will meet the 1.30 test necessary to establish that the Series S Bonds will rank on a parity with the Outstanding Bonds, and the execution of the Certificate of the Director of Physical Plant.

Action taken: ☑ Approved ☐ Disapproved ☐ Other _____

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY AUTHORIZING THE ISSUANCE OF APPROXIMATELY $52,830,000 OF UNIVERSITY OF KENTUCKY HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES S, TO BE DATED THE FIRST DAY OF THE MONTH IN WHICH SOLD; AUTHORIZING PROPER PROCEEDINGS RELATIVE TO THE PUBLIC SALE OF THE BONDS AND THE DISPOSITION OF THE PROCEEDS THEREOF; AUTHORIZING EXECUTION OF AN ELEVENTH SUPPLEMENTAL TRUST INDENTURE BETWEEN THE BOARD AND FARMERS BANK & CAPITAL TRUST COMPANY, FRANKFORT, KENTUCKY, AS TRUSTEE, IN COMPLIANCE WITH THE PROVISIONS OF THE TRUST INDENTURE DATED JUNE 1, 1965.

WHEREAS, the Board has determined that a "Student Housing Facility," more particularly described as new dormitories with approximately 500 to 700 new beds, to be located at sites as identified in the Phase 1 Housing - 2010 Campus Plan, which is a part of the UK Physical Development Campus Plan, December 2002 (collectively, the "Project"), for housing and dining purposes are necessary, all of which are a part of the University's Housing and Dining System established in the Trust Indenture between the Board with the Farmers Bank & Capital Trust Company, dated June 1, 1965 (the "Indenture"); and

WHEREAS, it is appropriate that the Board the Project through the issuance of University of Kentucky Housing and Dining System Revenue Bonds, Series S, to be dated the first day of the month in which the Series S Bonds are sold, in accordance with the right reserved in the Indenture; and

WHEREAS, it is necessary for the Board to enter into an Eleventh Supplemental Trust Indenture in the usual and customary form in order to proceed with the issuance of the Series S Bonds.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY, AS FOLLOWS:

Section 1. This Board hereby authorizes the issuance of its "University of Kentucky Housing and Dining System Revenue Bonds, Series S (the "Series S Bonds"), in the approximate principal amount of $52,830,000, according to statutory authority as set forth in KRS 162.340 to 162.380, inclusive, and in accordance with the terms, provisions, conditions and restrictions set forth in the Indenture, wherein the present Housing and Dining System of the University was created and established, and a certain Eleventh Supplemental Trust Indenture to be dated as of the date of the Series S Bonds (the "Eleventh Supplemental Trust Indenture"); the Series S Bonds to rank on a basis of parity and equality as to security and source of payment with the Board's previously issued and outstanding "Housing and Dining System Revenue Bonds," Series I, K, M, N (Second Series), O, P, Q and R (the "Outstanding Bonds").

Section 2. In order to assure the purchasers of the Series S Bonds that interest thereon will be excludable from gross income for federal income tax purposes and exempt from Kentucky income taxation, the Board agrees that (1) the Board will take all actions necessary to comply with the provisions of the Internal Revenue Code of 1986 (the "Code"), (2) the Board will take no actions which will violate any of the provisions of the Code, or that would cause the

Series S Bonds to become "private activity bonds" within the meaning of the code, (3) none of the proceeds of the Series S Bonds will be used for any purpose which would cause the interest on the Series S Bonds to become subject to federal income taxation, and that the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series S Bonds.

The Board has been advised by Bond Counsel, Peck. Shaffer & Williams LLP, Covington, Kentucky, and therefore believes, that the Series S Bonds are not "private activity bonds" within the meaning of the Code, and that interest on the Series S Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals.

Prior to or at the time of delivery of the Series S Bonds, the Chairman of the Board and/or the Treasurer of the University shall execute the appropriate certifications with reference to the matters referred to above, setting out all known and contemplated facts concerning such anticipated expenditures and investments, including the execution of necessary and/or desirable certifications of the type contemplated by applicable Treasury Regulations in order to assure that interest on the Series S Bonds will be exempt from all federal income taxes and that the Series S Bonds will not be treated as arbitrage bonds.

Section 3. Compliance With SEC Rule 15c2-12. The Board of Trustees hereby agrees, to comply with the provisions of Rule 15c2-12, as amended and interpreted from time to time (the "Rule"), promulgated by the Securities and Exchange Commission (the "Commission") pursuant to the Securities Exchange Act of 1934. In order to comply with the Rule, a Continuing Disclosure Agreement, to be dated the first day of the month in which the Series S Bonds are sold between the Board and the Trustee is hereby authorized and approved, substantially in the form presented or described to the Board, with such modifications and additions as may be approved by the officer of the University executing the same. The Chairman and the Secretary of the Board and the Treasurer and the Controller of the University are each separately authorized to execute and deliver the Continuing Disclosure Agreement.

Section 4. In order to implement the issuance of the Series S Bonds, and to make provision for all details relating to the Series S Bonds, including the date thereof, the disposition thereof, respective conditions and limitations applicable to the public offering thereof, maturities, redemption provisions and security and source of payment thereof, and in order to comply with the requirements of the Indenture, in connection therewith, this Board shall execute the Eleventh Supplemental Trust Indenture with Farmers Bank & Capital Trust Company, Frankfort, Kentucky, as Trustee. A proposed form of Eleventh Supplemental Trust Indenture has been prepared in advance by Bond Counsel, Peck, Shaffer & Williams LLP, Covington, Kentucky, with the approval of the Treasurer of the University, and the Financial Advisor, First Kentucky Securities Corporation, Frankfort, Kentucky, in the usual and customary form prescribed in the Indenture, with permissible modifications and corrections which, in the opinion of Bond Counsel and the Treasurer of the University, do not change the import thereof in any material respect. The form of the Eleventh Supplemental Trust Indenture is hereby approved and shall be executed by the Chairman and attested by the Secretary of the Board.

Section 5. Provisions as to Sale of Series S Bonds. The Series S Bonds shall be offered publicly for sale upon the basis of sealed, competitive bids at such time as the Treasurer, upon advice of the Financial Advisor, shall designate.

The Treasurer of the Board is hereby authorized and directed to cause an appropriate form or forms of a Notice of Sale of Bonds to be published in *The Lexington Herald Leader*, a legal newspaper published in the City of Lexington, Kentucky, which will afford local notice of the sale, *The Courier Journal*, a legal newspaper published in the City of Louisville, Kentucky, which will afford statewide notice of the sale, and, to the extent required by law, in *The Bond Buyer*, a financial journal published in the City of New York, New York, which is a publication having general circulation among bond buyers; and said newspapers and financial journal are hereby declared to be qualified to publish such notice for the Board within the meaning and provisions of KRS Chapter 424. Such notice shall be published in said newspapers and financial journal at least once not less than seven nor more than twenty-one days prior to the scheduled date of sale of the Series S Bonds.

The forms of Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds, Bid Form and Official Statement, shall be in such form as approved by Bond Counsel, by the Financial Advisors, by the General Counsel of the University and by the Treasurer of the University.

Bidders shall be advised that First Kentucky Securities Corporation, Frankfort, Kentucky, has been employed as Financial Advisor in connection with the issuance of these Series S Bonds, that their fee for services rendered with respect to the sale of the Series S Bonds is contingent upon the issuance and delivery of the Series S Bonds, and that they may submit a bid for the purchase of the Series S Bonds at the time of the advertised public sale of the Series S Bonds, either individually or as the member of a syndicate organized to submit a bid for the purchase of the Series S Bonds.

Upon the date and at the respective hour set forth for the opening and consideration of purchase bids, as provided in the instruments hereinabove approved, the sealed bids theretofore received by the Treasurer and shall be publicly opened and publicly read by the Treasurer. If there shall be one or more bids which conform in all respects to the prescribed terms and conditions, such bids shall be compared, and the Treasurer, upon the advice of the Financial Advisor, is authorized to accept the best of such bids, as measured in terms of the lowest interest cost to the Board, as calculated in the manner prescribed in the Official Terms and Conditions of Sale of Bonds. Calculations shall be performed as are necessary to determine the exact amount of Series S Bonds that are required to be issued in order to (i) pay the budgeted costs of the Project, (ii) make the required deposit in the System Debt Service Reserve, as defined in the Indenture, and (iii) pay the costs of issuing the Series S Bonds, and the final principal amount and maturities of the Series S Bonds shall thereupon be established, as prescribed in the Official Terms and Conditions of Sale of Bonds.

Section 6. Certificate of Director of Physical Plant. The Board hereby acknowledges and approves the Senior Vice President Administration, Lexington Campus in the form attached hereto.

Dated: June 24, 2003

_____
Chairman

(SEAL)

Attest:

_____
Secretary, Board of Trustees

Re: University of Kentucky Housing and Dining System Revenue Bonds, Series S

CERTIFICATE WITH REFERENCE TO THE ISSUANCE OF HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES S, CONFORMING TO THE REQUIREMENTS OF SECTION 1101(C) OF ARTICLE XI OF THE TRUST INDENTURE DATED JUNE 1, 1965, BETWEEN THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY AND FARMERS BANK & CAPITAL TRUST COMPANY, FRANKFORT, KENTUCKY, AS TRUSTEE, SHOWING COMPLIANCE WITH CONDITIONS FOR ISSUANCE OF PARITY BONDS

The undersigned Senior Vice President Administration, Lexington Campus, of the University of Kentucky, hereby makes the following certification for the purpose of showing compliance by said University with the requirements of Section 1101(c) of ARTICLE XI of the Trust Indenture dated June 1, 1965, made by the Board of Trustees of University of Kentucky with Farmers Bank & Capital Trust Company, Frankfort, Kentucky, as Trustee (the "Trust Indenture"), with reference to the issuance of approximately $52,830,000 of said Board's "Housing and Dining System Revenue Bonds, Series S" in order to qualify the Series S Bonds to rank on a basis of parity and equality as to security and source of payment with the previously issued and outstanding Housing and Dining System Revenue Bonds, Series I, K, M, N (Second Series), O, P, Q and R, inclusive:

In my capacity as the employee of the Board and the University at this time principally charged with responsibility for the maintenance and repair of the housing and dining buildings and appurtenant facilities comprising the presently existing housing and dining facilities of the System, I certify that a physical inspection of said housing and dining buildings and appurtenant facilities of the System has been made, and that the same are in a good and current state of tenantability and repair there being no exceptions or reservations in this connection.

IN TESTIMONY WHEREOF, witness my signature as Senior Vice President Administration, Lexington Campus, this _____ day of _____, 2003.

APPROVED:

_____
Senior Vice President Administration,
Lexington Campus

_____
President, University of Kentucky

Certified to have been submitted to and approved by the Board of Trustees at a meeting held on June 24, 2003.

_____
Chairman, Board of Trustees

CERTIFICATION

I, the undersigned, do hereby certify that I am duly qualified and acting Secretary of the Board of Trustees of the University of Kentucky, and as such officer I further certify that the foregoing is a true, correct and complete copy of a Resolution duly adopted by the members of said Board at a meeting properly held on June 24, 2003, has been duly executed and is now in full force and effect, all as appears in the official records of said Board in my possession and under my control.

I further certify that said meeting held on June 24, 2003, was duly held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.820 and 61.825, that a quorum was present at said meeting, that said Resolution has not been modified, amended, revoked or I repealed, and that same is now in full force and effect.

WITNESS my hand this __ day of _____, 2003.

_____
Secretary of the Board of Trustees

25069\3

FCR 10

Office of the President
June 24, 2003

Members, Board of Trustees:

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY AUTHORIZING THE ISSUANCE OF APPROXIMATELY $29,655,000 OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES S, TO BE DATED THE FIRST DAY OF THE MONTH IN WHICH THE BONDS ARE SOLD

Recommendation: that the Board of Trustees approve a Resolution authorizing the issuance of approximately $29,655,000 of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series S, to be dated the first day of the month in which the Series S Bonds are sold.

The Resolution authorizes the issuance of approximately $29,655,000 of Series S Bonds for the purpose of financing the Biomedical Sciences Research Building, constituting the Series S Project upon the campus of the University in Fayette County in the Commonwealth of Kentucky, which project will, when completed, become part of the University of Kentucky Consolidated Educational Buildings Project of the University (the "Series S Project").

The Resolution also approves the offering for sale of the Series S Bonds upon the advice of the Financial Advisor, First Kentucky Securities Corporation on a date to be determined by the Treasurer of the University, upon the advice of said Financial Advisor (expected to be July 22, 2003). The Resolution further authorizes the acceptance of the bid for the sale of the Series S Bonds by the Treasurer. The exact principal amount of Series S Bonds to be sold will be determined on the date of sale as the amount required to (i) pay the budgeted costs of the Series S Project, (ii) make the required deposit in the debt service reserve fund, and (iii) pay the costs of issuing the Series S Bonds.

Background: The University presently has outstanding ten series of University of Kentucky Consolidated Educational Buildings Project Revenue Bonds, Series E, J, L (Second Series); G, H, I (Third Series); K (Third Series); M; M (Second Series); N (Second Series); O; P; Q and R (the "Outstanding Bonds") all secured by a pledge of the Revenues (registration fees) and by a statutory mortgage lien against all of the buildings of the Project. The Bond Resolution approves the appointment of the Bank One Trust Company, NA, Lexington, Kentucky, as Trustee, Bond Registrar, Transfer Agent, Payee Bank and Depository Bank, with respect to these Bonds, provides for the execution of the appropriate parity certification establishing that the "coverage" of projected income of the Project over debt service requirements will meet the 1.25 test necessary to establish that the Series S Bonds will rank on a parity with the Outstanding Bonds.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____

FCR 11

Office of the President
June 24, 2003

Members, Board of Trustees:

APPROVAL OF LEASE

Recommendation: that the President be authorized to negotiate and execute the following:

A lease between the University of Kentucky and Kentucky Technology, Inc. ("KTI") for space in the Kentucky Tobacco Research and Development Center ("KTRDC") facility for 998 square feet of laboratory space and 819 square feet of office space. If fully utilized, the annual rental to the University of Kentucky would be $23,984.40.

Background: This is a lease of University space in the KTRDC to KTI for the express purpose of subleasing to start-up companies. Pursuant to KRS 248.520, use of the KTRDC facility by operations having related or complimentary interests is subject to the approval of the Kentucky Tobacco Research Board ("KTRB"), which has already approved this use. To ensure that the use of the facility by subtenants (generally start-up companies) is consistent with KRS 248.520, KTI will utilize an advisory committee who will review subtenants' proposed activities for consistency with the statutory goals and compatibility with KTRDC's other operations.

KTI currently leases property in the ASTeCC facility for sublease to start-up companies, and is qualified to manage these premises.

Action taken: ☑ Approved ❑ Disapproved ❑ Other _____