

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, May 4, 1993.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, May 4, 1993 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Mr. Henry L. Jackson.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Governor Edward T. Breathitt (Chairperson), Mrs. Kay Shropshire Bell, Mr. Paul W. Chellgren, Mr. Robert N. Clay, Mr. James F. Hardymon, Mr. Henry L. Jackson, Dr. Robert P. Meriwether, Mr. Pete November, Dr. Elissa Plattner, Mr. James L. Rose, Mr. C. Frank Shoop, Mrs. Marian Moore Sims, Professor John Sistarenik, Jr., Mr. William B. Sturgill, Mr. Daniel C. Ulmer, Jr., Mrs. Lois C. Weinberg, Mr. Billy B. Wilcoxson, and Judge Henry R. Wilhoit, Jr. Absent from the meeting were Professor Carolyn S. Bratt, and Dr. Deborah Powell. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors Peter P. Bosomworth, Ben W. Carr, Jr., and Robert E. Hemenway; Vice Presidents Joseph T. Burch, Edward A. Carter, Donald B. Clapp, Linda J. Magid, and Eugene R. Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs; and Mr. John C. Darsie, Legal Counsel.

Members of the general public and the news media were also in attendance. A quorum being present, Governor Breathitt declared the meeting officially open for the conduct of business at 1:04 p.m.

C. Meeting Dates Established

President Wethington called attention to the schedule of meeting dates for the Board of Trustees as established for fiscal year 1993-94 (see copy at the end of the Minutes). He asked the members of the Board to mark the meeting dates on their calendars, calling particular attention to the statutory meetings.

D. Approval of Minutes

On motion made by Mrs. Sims, seconded by Mr. Wilcoxson and passed, the Minutes of the April 6, 1993 meeting of the Board of Trustees were approved as written.

E. President's Report to the Trustees (PR 1)

In reviewing his report to the Trustees, President Wethington commented briefly on the following items:

1. The University's 126th Commencement Ceremony, set for 11 a.m. on Saturday, May 8, in Memorial Coliseum, will honor 5,768 graduating students.

2. Awards to the Community College System for sponsored grants and contracts totaled \$9,271,163 during fiscal year 1991-92. This represents a 51.3 percent increase over the amount received during fiscal year 1990-91.
3. Central and Eastern Kentucky's first heart/lung transplant was performed April 10 at the University of Kentucky Hospital by Dr. Michael Sekela, UK heart and lung transplant surgeon.
4. Jenny Hansen, a freshman from Somerset, Wisconsin, won UK's first national title in women's gymnastics at the NCAA championship meet in Corvallis, Oregon. She achieved a perfect score of 10.
5. Maysville Community College, which is celebrating its 25th anniversary, will dedicate a new Student Center in ceremonies May 7.

President Wethington asked the Board to peruse the other items in his report at their leisure.

He reminded the members of the Board that the College of Pharmacy had been ranked as third best in the country. To better acquaint the Board with the College and its leadership, he asked Dean Jordan Cohen to make a presentation about the College.

Dean Cohen said that it is really an honor to give an overview on the College of Pharmacy to the Board. The College does a lot of different things with a lot of different entities. In his opinion, the key to the College's success is the faculty and their ability to be appropriately entrepreneurial in the 90's.

He provided historical background about the College, noting that it started as a private College of Pharmacy at Louisville and is one of the oldest academic units. He briefly reviewed the various programs offered in the College and pointed out that the University of Kentucky has the only College of Pharmacy in the State of Kentucky.

Dean Cohen said that there is a major transition occurring nationally in Pharmacy. He indicated that the major employer of the students today is the chain pharmacy, and the chain pharmacy will move more towards the automated mail order. He said that their objective in Pharmacy is to train students who can function on a health care team in a primary health care setting and manage drug therapy.

He reviewed other important elements for the College such as the budget, statewide activities, teaching health care reform, pharmaceutical industry, industrial research funding and economic development and elaborated on each of them.

In closing, he commented on the kind of planning that needs to take place in academic centers to maintain the quality that has been achieved. He said that the infrastructure has to be supported through means

other than state funding, and this is the college's biggest concern. He said that the College has to identify its strengths, continue to identify the opportunities, identify appropriate partners, plan well and make a good case for investing in the programs.

President Wethington expressed appreciation to Dean Cohen for his presentation and congratulated him on the good work in the College of Pharmacy.

F. Personnel Actions (PR 2)

With President Wethington so recommending, Mr. Chellgren made a motion to approve the routine personnel actions in PR 2. The motion, seconded by Mr. Rose, carried. (See PR 2 at the end of the Minutes.)

President Wethington called attention to the retirement of Mr. Shirley Lamb, Parking Control Officer. He noted that Mr. Lamb has worked for the University for 31 consecutive years and will be retiring on May 28, 1993. He said that Mr. Lamb had been an "institution" at the University and has carried out his responsibilities exceedingly well. He said that Mr. Lamb will be an extremely difficult person to replace in the staffing of the University. He asked Mr. Lamb to stand and be recognized, following which he received a round of applause.

President Wethington noted that PR 2 also contained the appointment of a new Director of the Patterson School of Diplomacy and International Commerce. He reported that Mr. Vince Davis, who has headed the Patterson School, asked to return to a faculty role beginning July 1, 1993, and Professor John Stempel has been chosen to be the new Director. He said that Professor Stempel will carry on the very fine work that is occurring in the Patterson School and asked Professor Stempel to stand and be recognized. Professor Stempel was given a round of applause.

Governor Breathitt remarked that the Patterson School has a tremendous program and encouraged the members of the Board to attend one of their spring or fall seminars. He reported that he had recently attended the spring seminar, and there were outstanding people of international acclaim in attendance. He said that he was delighted that Professor Stempel would be continuing the good work of the Patterson School.

President Wethington then asked Mr. Gene Williams to comment on the appointment of Mr. George J. DeBin, Special Assistant to the Vice President for Information Systems. He noted that this position will be assisting the Executive Branch of the Finance and Administration Cabinet on educational technology.

Mr. Williams reminded the Board of House Bill 1 that was enacted at the special session of the Kentucky General Assembly in February, 1993. He reported that the legislation effectively moved the responsibility for the implementation of the technology piece of the Kentucky Education Reform Act (KERA) out of the Kentucky Department of Education and into the Finance and Administration Cabinet. Due to the size of the task, conversation ensued between the Governor's Office, the Secretary of Finance and Administration, and President Wethington to seek project management

assistance. Mr. Williams said that he started in that role on April 19, 1993 and that he has hired a full-time project director who will be based in the Capitol Annex at Frankfort. He said that he and his staff, particularly the Director of Communications, will be committing a significant amount of time to the project. He mentioned that this is another way the University can contribute to the success of KERA in a very significant way. He informed the Board that the General Assembly had declared House Bill 1 an emergency act and that it is their intent to show some progress in the actual implementation of technology within the school districts before the next session gets underway.

President Wethington thanked Mr. Williams for his report. He reiterated that this was a request made of the University to provide some expertise and to assist in a major statewide initiative called for in KERA. He said that he felt it was in the best interest of the University and the Commonwealth for the University to assist in this effort. He noted that the University would be reimbursed for Mr. DeBin's services.

G. Candidates for Degrees - Community College System (PR 4A)

President Wethington recommended that the degrees specified in PR 4A be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed. Professor Sistarenik moved approval. His motion, seconded by Mr. Rose, carried. (See PR 4A at the end of the Minutes.)

H. Naming of University Building (PR 4B)

President Wethington said that PR 4B recommends that the Board approve the name The Jean W. Calvert Student Center for the new student center building at Maysville Community College. He asked Chancellor Carr for comments.

Chancellor Carr expressed pleasure in recommending approval of PR 4B. He informed the Board that Mrs. Calvert has been Chairperson of the Maysville Community College Advisory Board since its inception in 1966. She has provided unequalled leadership and dedication to the Advisory Board. She has also provided stability during the change of presidents, deans and faculty throughout the years and has seen the college grow and develop to its current state. He briefly reviewed some of her accomplishments and leadership positions in various organizations and said that she is a nationally known resource for genealogical information. He reported that the Maysville Community College faculty, staff, students and Advisory Board are unanimous in their support of this request and that he concurred with President Wethington in recommending that the new student center building be named The Jean W. Calvert Student Center at the official opening on Friday, May 7, 1993.

President Wethington said that it gives him a great deal of pleasure to make the recommendation to the Board and to second the comments made about Mrs. Calvert by Chancellor Carr. He said that there is not anyone more deserving of the honor for the naming of a facility in the Community College System and recommended approval.

Mr. Hardymon commented on the invaluable assistance that Mrs. Calvert gave him while a member of the Maysville Community College Advisory Board. He said that she is a great woman and is great for the community. He expressed pleasure in making a motion that PR 4B be approved. His motion was seconded by Dr. Meriwether and passed unanimously. (See PR 4B at the end of the Minutes.)

I. Candidates for Degrees - University System (PR 5A)

President Wethington stated that PR 5A is a companion recommendation for degrees from the University System. It recommends that the President be authorized to confer upon each individual whose name appears on the attached lists the degree to which he or she is entitled upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the University Senate. He recommended that PR 5A be approved. On motion made by Mr. Shoop and seconded by Mr. Rose, it was approved. (See PR 5A at the end of the Minutes.)

President Wethington noted that Mr. Pete November, Student Trustee, will be a member of the graduating class on Saturday, subject to satisfactorily completing the requirements, and expressed congratulations to him.

J. Revision of the Bylaws, University of Kentucky Equine Research Foundation, Inc. (PR 5B)

President Wethington recommended that the revision of the Bylaws of the University of Kentucky Equine Research Foundation, Inc. be approved. He explained that the change in the Bylaws will permit the Chair of the Board of Directors to cancel with 15 days written notice any of the quarterly meetings with the exception of the annual meeting. He noted that the change was approved by the Foundation's Board of Directors at its February 2, 1990 meeting; however, it had not been submitted to the Board of Trustees for final approval. He said that this action is to correct the oversight. Mr. Clay moved approval. His motion, seconded by Mr. Chellgren, carried. (See PR 5B at the end of the Minutes.)

K. Changes in the University of Kentucky Business Partnership Foundation Articles and Bylaws (PR 5C)

President Wethington recommended that approval be given to amendments to the Articles of Incorporation and the Bylaws of the University of Kentucky Business Partnership Foundation. He informed the Board that the Foundation generates private support for the College of Business and Economics. He pointed out that the amendments will increase the size of the Board and change the ex-officio membership to reflect the current administrative organization of the University. Mr. Jackson moved approval. Mr. Rose seconded the motion, and it carried. (See PR 5C at the end of the Minutes.)

L. Appointments/Reappointments to University of Kentucky Business Partnership Foundation (PR 5D)

President Wethington recommended that approval be given to the appointments and reappointments to the Board of Directors of the University of Kentucky Business Partnership Foundation. The appointments recommended are Robert N. Clay, W. Bruce Lunsford, and Joseph M. Tumbler. The reappointments recommended are Morton Boyd, S. O. Ogden and Johann Th. Pavenstedt. On motion made by Mrs. Sims, seconded by Mr. Ulmer and carried, PR 5D was approved. (See PR 5D at the end of the Minutes.)

President Wethington reported that the Articles and Bylaws of the Foundation require that two members of the Board of Trustees serve on the Foundation's Board. He noted that Mr. Clay joins Mr. Jackson who is already serving on the Board, and this action fulfills the requirement.

M. Appointment of Dean of the College of Law (PR 5E)

President Wethington said that PR 5E is a recommendation that David E. Shipley be named Dean of the College of Law, effective July 1, 1993. He asked Chancellor Hemenway to comment on the appointment.

Chancellor Hemenway expressed pleasure in recommending the appointment of Mr. David Shipley as Dean of the College of Law. He briefly reviewed Mr. Shipley credentials, noting that he is currently serving as Dean of the College of Law at the University of Mississippi. He explained that this appointment is necessary because the current Dean, Biff Campbell, has stepped down after providing five years of excellent leadership for the College. While welcoming Mr. Shipley to the College of Law, he also expressed thanks to Dean Campbell.

On motion made by Mr. Sturgill, seconded by Mr. Hardymon and carried, PR 5E was approved. (See PR 5E at the end of the Minutes.)

Governor Breathitt asked Dean Campbell to stand and be recognized. He praised him for his outstanding leadership. He said that through Dean Campbell's efforts the College of Law has the Bert T. Combs Scholars as well as other professorships and scholarships. He said that he was delighted and grateful that Dean Campbell would continue as a great teacher for the University's fine, young law students. Dean Campbell received a round of applause.

President Wethington said that Governor Breathitt's tribute to Dean Campbell was richly deserved. He reiterated that Dean Campbell has provided strong leadership and expressed appreciation for all that he has done and will continue to do for the College.

N. Acceptance of Interim Financial Report for the University of Kentucky for the Nine Months Ended March 31, 1993 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Committee met at 11:00 a.m. and reviewed sixteen items. He reported that FCR 1 is the interim financial report for the nine months ending March 31, 1993. He said that the University is operating well within its budget, and the Committee recommends approval. He made a motion for approval of FCR 1. His motion was seconded by Mr. Rose and passed. (See FCR 1 at the end of the Minutes.)

O. Disposal of Personal Property (FCR 2)

Mr. Hardymon stated that FCR 2 recommends approval of the disposition of surplus equipment. He reviewed the University's process for disposal of an asset, explained the circumstances regarding the equipment in Exhibit 1, and made a motion for approval. Mr. Sturgill seconded the motion and it carried. (See FCR 2 at the end of the Minutes.)

P. Designation of Depository Banks (FCR 3)

Mr. Hardymon stated that FCR 3 is a designation of depository banks. He said that the Board must designate banks serving the University and its affiliated corporations to be in compliance with the various K.R.S. requirements. He reported that competitive bids were solicited, noting 63 banks were contacted with 39 responses received. He commented on the banks involved and said that the bids were discussed in detail at the Finance Committee meeting. He made a motion that the banks listed on attachment A be approved. Mr. Chellgren seconded the motion and it passed. Mr. Rose abstained from voting. (See FCR 3 at the end of the Minutes.)

Q. Approval of Lease (FCR 4)

Mr. Hardymon stated that FCR 4 is to authorize the Vice President for Administration to negotiate and execute a lease between the University of Kentucky Medical Center-Kentucky Clinic and Kentucky Medical Services Foundation. He reported that an advertisement was placed in the Lexington Herald-Leader and The Courier-Journal, and five responses were received. He said that FCR 4 represents the low bid, and the annual rental rate will be \$50,000. He moved that FCR 4 be approved. The motion, seconded by Mr. Rose, carried. (See FCR 4 at the end of the Minutes.)

R. Capital Construction Report (FCR 5)

Mr. Hardymon reviewed the Capital Construction Report for the three months ending March 31, 1993 and moved that it be accepted. His motion was seconded by Dr. Meriwether and passed. (See FCR 5 at the end of the Minutes.)

S. 1992-93 Budget Revision (Reduction in State Appropriation) (FCR 6)

Mr. Hardymon stated that the budget for 1992-93 had to be revised because of a reduction in state appropriations. He reported that the income estimates will be reduced by \$5.6 million; \$4.4 million in the University System and \$1.2 million in the Community College System. The Committee discussed the various measures currently underway in the administration of the budget that would need to be increased because of the reduction. He reviewed the plan for staying within the appropriations and made a motion for approval. His motion was seconded by Mr. Wilcoxson and passed. (See FCR 6 at the end of the Minutes.)

T. 1992-93 Budget Revisions (FCR 7)

With the concurrence of the Finance Committee, Mr. Hardymon moved that the 1992-93 budget revisions be approved. He mentioned that these budget revisions will be reported to the Board on a quarterly basis in the future. The motion, which was seconded by Mr. Shoop, carried. (See FCR 7 at the end of the Minutes.)

U. Room and Board Rates 1993-94 School Year (FCR 8)

Mr. Hardymon reported that the Finance Committee had a presentation on the room and board rates for 1993-94. He said that the Committee reviewed the items in the budget, and cost increases are mainly

fixed costs. He said that the University's history of increases has been better than the CPI index and moved that the room and board rates be adjusted as recommended in FCR 8. Mr. Hardymon's motion was seconded by Mr. Chellgren and carried. (See FCR 8 at the end of the Minutes.)

V. Adoption of 1993-94 Mandatory Registration Fee Schedule (FCR 9)

Mr. Hardymon reported that there were no changes in the fee schedule; however, it is required that the Board adopt the 1993-94 mandatory registration fee schedule. He then moved that the fee schedule be approved. His motion, seconded by Mr. Sturgill, passed. (See FCR 9 at the end of the Minutes.)

President Wethington pointed out that FCR 9 changes the requirement that the Board adopt the mandatory registration fee schedule every year. He explained that the fee schedule will not be brought back to the Board in the future unless there is a change in the rates.

W. Revision of the Policy Statement on Tuition and Fee Refunds to Students upon Withdrawal from the University (FCR 10)

Mr. Hardymon reported that FCR 10 is a revision of the policy statement for tuition and fee refunds. He reviewed the two proposed changes and moved that FCR 10 be approved. His motion was seconded by Mr. Jackson and carried. (See FCR 10 at the end of the Minutes.)

X. Receipt of \$100,000.00 from Helen Hertz Hexter Trust (FCR 11)

Mr. Hardymon said that FCR 11 is a recommendation that the Vice President for Administration be authorized to accept, on behalf of the University, a gift of \$100,000 from the Helen Hertz Hexter Trust and to execute a receipt and release for same. He explained that the gift is to be used and applied for investigation and research in the field of equine diseases. He made a motion for approval. The motion, seconded by Mr. Shoop, passed. (See FCR 11 at the end of the Minutes.)

Y. Acceptance of Gift from Galen Health Care, Inc. (FCR 12)

Mr. Hardymon reported that FCR 12 recommends that the Board accept the gift of \$200,000 as the first payment of a \$1 million commitment from the Galen Health Care, Inc. to the Commonwealth Library Campaign. He mentioned that Humana is now two firms and Galen Health Care, Inc. is one of the firms. He made a motion to approve FCR 12. His motion was seconded by Mr. Rose and carried. (See FCR 12 at the end of the Minutes.)

Z. Acceptance of Gift from Appalachian Regional Healthcare (FCR 13)

Mr. Hardymon recommended and moved that the Board accept a gift of \$170,000 from Appalachian Regional Healthcare to support the expansion of the nursing program at Hazard Community College for the 1993-94 academic year. He said that this gift has made quite an impact on the nursing program, taking the enrollment from 48 students to 96 students. He noted that the initial gift in 1992 was also for \$170,000. Mrs. Sims seconded his motion, and it passed unanimously. (See FCR 13 at the end of the Minutes.)

AA. Resolution of the Board of Trustees of the University of Kentucky Accepting the Successful Bid for the Purchase of University of Kentucky Community Colleges Educational Buildings Refunding Revenue Bonds, Series J (FCR 14)

Mr. Hardymon reminded the Board that the Committee had been reviewing the University's loans and bonds with outside professional help because of the current interest rates. He said that FCR 14 involves Community Colleges Educational Buildings Refunding Revenue Bonds. He reported that seven bids had been received at 9:30 a.m. and the low bid was 5.2399% from Chemical Securities, Inc. He commented on the savings and noted that the refunding program continues to be a success for the University. He made a motion to approve the bid of Chemical Securities, Inc. as contained in FCR 14. Mr. Chellgren seconded the motion and it carried. (See FCR 14 at the end of the Minutes.)

BB. A Resolution of the Board of Trustees of University of Kentucky, Authorizing the Issuance of \$7,585,000 (Plus or Minus up to \$755,000) Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series) (FCR 15)

Mr. Hardymon stated that FCR 15 is asking the Board's approval for another refunding project. He informed the Board that it involves Educational Buildings Refunding Revenue Bonds for the Lexington Campus and the Finance Committee would like to request bids in time for consideration at the June meeting, providing the Committee feels the bids are justified. He indicated that, in his opinion, the interest rates would not be changing in the next month and moved that FCR 15 be approved. His motion was seconded by Dr. Meriwether and passed. (See FCR 15 at the end of the Minutes.)

CC. Coldstream Research Campus Ground Lease (FCR 16)

Mr. Hardymon reported that FCR 16 involves a Coldstream Research Campus ground lease. The Committee recommends that the President be authorized to execute a ground lease between the University of Kentucky and Kentucky Technology, Inc. for lot 17 for the purpose of developing the Kentucky Technology Center, a multi-building, multi-tenant complex. After providing some background information, he reviewed the terms of the lease and moved approval of FCR 16. The motion, which was seconded by Mr. Wilcoxson, carried. (See FCR 16 at the end of the Minutes.)

DD. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 2:18 p.m.

Respectfully submitted,

Lois C. Weinberg
Secretary
Board of Trustees

(PR's 2, 4A, 4B, 5A, 5B, 5C, 5D, and 5E; FCR's 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, and 16 which follow are official parts of the Minutes of the meeting.)

SCHEDULE FOR MEETINGS OF BOARD OF TRUSTEES
1993-94

The following dates have been established for meetings of the Board of Trustees for the 1993-94 fiscal year:

August 17, 1993

*September 21, 1993

October 26, 1993

*December 14, 1993

January 25, 1994

March 1, 1994

*April 5, 1994

*May 3, 1994

June 14, 1994

*Statutory Meeting

Office of the President
May 4, 1993

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

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CENTRAL ADMINISTRATION

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

The Patterson School of Diplomacy and International Commerce

Stempel, John D., Professor, The Patterson School of Diplomacy and International Commerce, appointed Director, The Patterson School of Diplomacy and International Commerce, 7/1/93 through 6/30/97.

Vice President for Information Systems

DeBin, George J., appointed Special Assistant to the Vice President for Information Systems, effective 4/19/93.

B. RETIREMENTS

Academic Affairs

Haddix, Frances M., Administrative Assistant II, Academic Affairs, after 15 consecutive years of service under AR II-1.6-1 Section III.B, effective 7/16/93.

Employee Benefits

Washington, Ambrose, Disabled Staff, Employee Benefits, after 16.75 consecutive years of service under AR II-1.6-1 Section III.B, effective 7/31/93.

Williams, William D., Disabled Staff, Employee Benefits, after 18.5 consecutive years of service under AR II-1.6-1 Section III.B, effective 6/30/93.

Facilities Planning and Management

Carter, David I., Associate Vice President, Facilities Planning and Management, after 10.75 consecutive years of service under AR II-1.6-1 Section III.B, effective 5/7/93.

Kentucky Geological Survey

Noger, Martin C., Geologist VII, Kentucky Geological Survey, after 28.0 consecutive years of service, under AR II-1.6-1 Section III.B, effective 5/28/93.

C. EARLY RETIREMENTS

Libraries

Duggins, Sue A., Staff Assistant VII, Library, A-V Services, after 30 consecutive years of service under AR II-1.6-1 Section III.A.1, effective 4/16/93.

Parking and Transportation Services

Lamb, Shirley R., Parking Control Officer, Parking and Transportation Services, after 31 consecutive years of service under AR II-1.6-1 Section III.A.2, effective 5/28/93.

Photographic Services

Justus, William E., Principal Darkroom Technician, Photographic Services, after 20.5 consecutive years of service under AR II-1.6-1 Section III.A.2, effective 5/14/93.

II. ADMINISTRATIVE ACTION

A. REAPPOINTMENTS

Libraries

Bowling, Lewis P., Librarian III (without tenure), Music Library, 7/1/93 through 6/30/94.

Brown, Judith M., Librarian III (without tenure), Circulation Department, 7/1/93 through 6/30/94.

Buckland, Gillian M., Librarian IV (without tenure), Reference Department, 7/1/93 through 6/30/94.

Burch, Susan G., Librarian III (without tenure), Law Library, 7/1/93 through 6/30/94.

Cantagallo, Carla A., Librarian III (without tenure), Business & Economics Information Center, 7/1/93 through 6/30/94.

Hecker, Thomas E., Librarian III (without tenure), Reference Department, 7/1/93 through 6/30/94.

Hickner, Paula L., Librarian III (without tenure), Cataloging Department, 7/1/93 through 6/30/94.

Hogg, Gordon E., Librarian III (without tenure), Cataloging Department, 7/1/93 through 6/30/94.

House, Thomas M., Librarian IV (without tenure), Special Collections Department, 7/1/93 through 6/30/94.

Johnson, Margaret D., Librarian III (without tenure), Chemistry/Physics Library, 7/1/93 through 6/30/94.

Jones, Reinette F., Librarian III (without tenure),
Communications Reading Room, 7/1/93 through 6/30/94.

Miller, Joseph B., Librarian IV (without tenure),
Library Science, 7/1/93 through 6/30/94.

Molinaro, Mary H., Librarian III (without tenure),
Computing Labs, 7/1/93 through 6/30/94.

Rogers, Kandace S., Librarian IV (without tenure),
Government Publications Dept., 7/1/93 through
6/30/94.

Ryder, Rebecca J., Librarian IV (without tenure),
Preservation Department., 7/1/93 through 6/30/94.

Savage, Stephen M., Librarian III (without tenure),
Periodicals/Newspapers/Microtext Dept., 7/1/93
through 6/30/94.

Vaughn, Sarah C., Librarian III (without tenure),
Extension, 7/1/93 through 6/30/94.

Whayne, Laura L., Librarian III (without tenure),
Kentucky Transportation Center Library, 7/1/93
through 6/30/94.

Wilson, Patricia S., Librarian III (without tenure),
Agriculture Library, 7/1/93 through 6/30/94.

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Southeast Community College

Buell, Elijah, Associate Professor in the Community College System (with tenure), appointed Chair, Division of Social Sciences and Related Technologies, 7/1/93 through 6/30/96.

Scopa, Patricia, Professor in the Community College System (with tenure), appointed Chair, Division of Physical Sciences and Related Technologies, 7/1/93 through 6/30/96.

B. PROMOTIONS

Jefferson Community College

Abrams, Mary P., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/93.

C. RETIREMENTS

Jefferson Community College

Rietze, Elaine S., Associate Professor in the Community College System (with tenure), (under AR II-1.6-1 Section III.B), following 15 consecutive years of service, effective 6/30/93.

D. EARLY RETIREMENTS

Jefferson Community College

Moore, Francis D., Associate Professor in the Community College System (with tenure), (under AR II-1.6-1 Section III.A.2), following 23.75 consecutive years of service, effective 6/30/93.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Hopkinsville Community College

Webb, Julia O, Instructor in the Community College System, 3/15/93 through 6/30/93.

Jefferson Community College

Arsenault, Sharon, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Balderson, Jane, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Ball, Colleen, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Brooks, Tammy, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Dillon, Michelle, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Dillon, Patrick, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Doak, Janet, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Drake, Karen, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Faust, Debora, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Ford, Vicki, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Gallinaro, Nancy, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Gant, Gwynn, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Hastings, Mary, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Johnson, Cyndi, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Miller, Tonya, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Oberst, Della, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Powell, Steve, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Rinaldo, Jacquelyn, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Robinson, Roger, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Schelberg-Murphy, Laura, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Springston, Rhonda, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Stopher, Lisa, Instructor in the Community College System (voluntary), 3/1/93 through 12/31/95.
Williams, Wynobie Z., Instructor in the Community College System 3/22/93 through 6/30/93.

Madisonville Community College

Cyrus, Christopher P., Instructor in the Community College System, 4/5/93 through 6/30/93.

Paducah Community College

Dietz, Slade, Instructor in the Community College System
(voluntary), 4/1/93 through 3/31/96.
Durbin, Susan, Instructor in the Community College System
(voluntary), 4/1/93 through 3/31/96.
Erickson, Sarah, Instructor in the Community College System
(voluntary), 2/15/93 through 2/15/96.
Heltsley, Tressa, Instructor in the Community College System
(voluntary), 4/1/93 through 3/31/96.
Hoard, Jerome, Instructor in the Community College System
(voluntary), 4/1/93 through 3/31/96.
Hutto, Tara, Instructor in the Community College System
(voluntary), 4/5/93 through 4/5/96.
Johnson, Carmen, Instructor in the Community College System
(voluntary), 4/1/93 through 3/31/96.
McConnell, Patti, Instructor in the Community College System
(voluntary), 4/1/93 through 3/31/96.
Nethery, Linda Ann, Instructor in the Community College
System (voluntary), 4/1/93 through 3/31/96.
Nickell, Stacey, Librarian IV in the Community College
System, 3/15/93 through 6/30/93.
Passmore, Steve, Instructor in the Community College System
(voluntary), 4/1/93 through 3/31/96.
Ralph, Sharon S., Instructor in the Community College System
(voluntary), 4/1/93 through 3/31/96.
Shales, Rhonda, Instructor in the Community College System
(voluntary), 4/1/93 through 3/31/96.
Stringer, Michelle, Instructor in the Community College
System (voluntary), 4/1/93 through 3/31/96.
Veazey, Amy L., Instructor in the Community College System
(voluntary), 4/1/93 through 3/31/96.
Walker, Gregg, Instructor in the Community College System
(voluntary), 4/1/93 through 3/31/96.

B. REAPPOINTMENTS

Jefferson Community College

Howard, Edward, Instructor in the Community College System
(voluntary), 3/1/93 through 12/31/95.

Paducah Community College

Cockrel, Penelope D., Instructor in the Community College
System (voluntary), 4/1/93 through 3/31/96.

C. LEAVES OF ABSENCE

Ashland Community College

Kitchen, Janie, Professor in the Community College System
(with tenure), sabbatical leave at full salary for the
1993 fall semester.

Somerset Community College

Fleenor, Dana P., Associate Professor in the Community
College System (with tenure), sabbatical leave at one-
half salary for the 1993-94 academic year.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Arts and Sciences

Everett, Percival, Visiting Professor, English, and
Visiting Professor, Honors, Undergraduate Studies,
8/16/93 through 12/31/93.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Phillips, Jane E., Professor (with tenure), Classics,
and Chair, Classics, reappointed Chair, Classics,
7/1/93 through 6/30/97.
Subbaswamy, Kumble, Professor (with tenure), Physics and
Astronomy, appointed Chair, Physics and Astronomy,
7/1/93 through 6/30/97.

College of Business and Economics

Tearney, Michael G., Professor (with tenure),
Accountancy, and Director, Accountancy, appointed
Associate Dean for Administration and Academic
Affairs, effective 7/1/93.

College of Law

Bakert, Drusilla Vansant, Associate Dean, reappointed
Associate Dean, 7/1/93 through 6/30/95.
Everle, Todd B., Associate Dean and Director,
Continuing Legal Education, reappointed Associate
Dean and Director, Continuing Legal Education,
7/1/93 through 6/30/95.

C. RETIREMENTS

College of Arts and Sciences

Charron, Jean D., Professor (with tenure), French, after
29 consecutive years of service, under Section
III.C.3 of AR II-1.6-1, effective 6/30/93.

College of Engineering

Huang, Yang H., Professor (with tenure), Civil Engineering, after 26 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/93.

College of Fine Arts

Jenness, Phyllis, Professor (with tenure), Music, after 38.75 consecutive years of service, under Section III.C.3 of AR II-1.6-1, effective 6/30/93.

College of Library and Information Science

Allen, Lawrence A., Professor (with tenure), after 26.75 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/93.

D. EARLY RETIREMENTS

College of Agriculture

Freytag, Paul N., Professor (with tenure), Entomology, after 26 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/93.

College of Education

Denton, David E., Professor (with tenure), Educational Policy Studies and Evaluation, after 26.75 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/93.

College of Engineering

Southgate, Herbert F., Transportation Research Engineer V, Kentucky Transportation Center, after 29.5 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 4/30/93.

E. POST-RETIREMENTS

College of Agriculture

Travis, LeRoy, Farm Management Area Specialist, Agricultural Economics, 4/1/93 through 9/30/93.
Yoder, Elmon, Research Specialist, Agricultural Engineering, 8/16/93 through 12/31/93.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Arts and Sciences

Goldsmith, Judy, Assistant Professor, Computer Science,
8/16/93 through 6/30/95.

Klapper, Andrew M., Assistant Professor, Computer
Science, 8/16/93 through 6/30/95.

College of Education

Perkins, Franchester D., Assistant Professor, Curriculum
and Instruction, 8/16/93 through 5/15/96.

Strutchens, Marilyn, Assistant Professor, Curriculum and
Instruction, 8/16/93 through 5/15/96.

Taylor, Lucian B., Assistant Professor*, Health,
Physical Education and Recreation, 8/16/93 through
5/15/96.

Thomas, Debbie G., Assistant Professor, Curriculum and
Instruction, 8/16/93 through 5/15/96.

College of Fine Arts

Bart, Radu D., Instructor (part-time), Art, 1/1/93
through 5/15/93.

Bell, Ronald J., Instructor (part-time), Art, 1/1/93
through 5/15/93.

Oberlink, Scott B., Instructor (part-time), Art, 1/1/93
through 5/15/93.

Underwood, Jeffrey G., Instructor (part-time), Art,
1/1/93 through 5/15/93.

B. ADMINISTRATIVE APPOINTMENTS

College of Business and Economics

Holsapple, Clyde W., Professor (with tenure), Decision
Science and Information Systems, appointed Acting
Chair, Decision Science and Information Systems,
1/1/94 through 6/30/94.

Provan, Keith G., Professor (with tenure), Management,
appointed Acting Chair, Management, 1/1/94 through
6/30/94.

C. REAPPOINTMENTS

College of Education

Jones, Deneese Lakay R., Assistant Professor,
Curriculum and Instruction, 8/16/93 through 5/15/95.

Winborne, Duvon G., Assistant Professor, Educational and
Counseling Psychology, 8/16/93 through 5/15/94.

* Special Title Series

College of Fine Arts

Blackburn, Heidi, Instructor (part-time), Art, 1/1/93 through 5/15/93.
Bloomfield, Phillip, Instructor (part-time), Art, 1/1/93 through 5/15/93.
Clark, Cynthia D., Instructor (part-time), Art, 1/1/93 through 5/15/93.
Goodlett, Virginia C., Instructor (part-time), Art, 1/1/93 through 5/15/93.
Knight, Stephen, Instructor (part-time), Art, 1/1/93 through 5/15/93.
Lowry, Aril, Instructor (part-time), Art, 1/1/93 through 5/15/93.
Peterson, Carrie, Instructor (part-time), Art, 1/1/93 through 5/15/93.
Pickett, Irwin, Instructor (part-time), Art, 1/1/93 through 5/15/93.
Slade, Susan, Instructor (part-time), Art, 1/1/93 through 5/15/93.
Wilson, Molly, Instructor (part-time), Art, 1/1/93 through 5/15/93.

College of Social Work

Clark, James J., Assistant Professor, 8/16/93 through 5/15/94.
Ford, Janet P., Assistant Professor, 8/16/93 through 5/15/94.
Paquin, Gary W., Assistant Professor, 8/16/93 through 5/15/94.

D. LEAVES OF ABSENCE

College of Arts and Sciences

Albisetti, James C., Professor (with tenure), History, Sabbatical leave with full salary, Fall Semester 1993.
Billings, Dwight B., Professor (with tenure), Sociology, Sabbatical leave with full salary, Fall Semester 1993.
Bonner, Philip, Associate Professor (with tenure), Biological Sciences, Sabbatical leave with full salary, Fall Semester 1993.
Govindarajulu, Zakkula, Professor (with tenure), Statistics, Sabbatical leave with full salary, Fall Semester 1993.
Leinbach, Thomas R., Professor (with tenure), Geography, Sabbatical leave with full salary, Spring Semester 1994.
Lewis, John L., Professor (with tenure), Mathematics, Sabbatical leave with full salary, Fall Semester 1993.

Olson, Robert W., Professor (with tenure), History,
Sabbatical leave with full salary, Spring Semester
1994.

Perry, Peter A., Associate Professor (with tenure),
Mathematics, Sabbatical leave with full salary,
Fall Semester 1993.

Shannon, Gary W., Professor (with tenure), Geography,
Sabbatical leave with full salary, Spring Semester
1994.

Srinivasan, Cidambi, Associate Professor (with tenure),
Statistics, Leave without pay, Fall Semester 1993
and Spring Semester 1994.

Vance, Jane Gentry, Associate Professor (with tenure),
English, Sabbatical leave with full salary, Fall
Semester 1993.

Wells, James H., Professor (with tenure), Mathematics,
Sabbatical leave with full salary, Fall Semester
1993.

Widiger, Thomas A., Professor (with tenure), Psychology,
Sabbatical leave with full salary, Fall Semester
1993.

College of Business and Economics

Gibson, James L., Professor (with tenure), Management,
and Chair, Management, Sabbatical leave with full
salary, Spring Semester 1994.

Harvey, Curtis E., Professor (with tenure), Economics,
Leave without pay, Fall Semester 1993 and Spring
Semester 1994.

Marsden, James R., Professor (with tenure), Decision
Science and Information Systems, and Chair,
Decision Science and Information Systems,
Sabbatical leave with full salary, Spring Semester
1994.

College of Human Environmental Sciences

Brock, Gregory, Associate Professor (with tenure),
Family Studies, Sabbatical leave with half salary,
Fall Semester 1993 and Spring Semester 1994.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Noonan, Daniel J., Associate Professor (with tenure),
Biochemistry, effective 1/4/93.

B. REAPPOINTMENTS

College of Medicine

Ashford, J. Wesson, Associate Professor (without
tenure), Psychiatry, joint appointment as Associate
Professor, Neurology, 7/1/93 through 6/30/94.
Brockopp, Gene W., Clinical Associate Professor
(without tenure), Anesthesiology, joint appointments
as Clinical Associate Professor, Psychiatry and
Behavioral Science, 7/1/93 through 6/30/94.
Bruce, Margaret C., Associate Research Professor
(without tenure), Pediatrics, 7/1/93 through
6/30/94.
Curry, Thomas, Jr., Associate Research Professor
(without tenure), Obstetrics and Gynecology, joint
appointment as Associate Research Professor,
Anatomy and Neurobiology, 7/1/93 through 6/30/94.
Donnelly, Michael B., Associate Research Professor
(without tenure), Surgery, 7/1/93 through 6/30/94.
Foulks, Edward, Professor (without tenure) (part-time),
Psychiatry, 7/1/93 through 6/30/94.
Garden, John W., Professor (without tenure)
(part-time), Ophthalmology, 7/1/93 through 6/30/94.
Gerson, Kenneth L., Associate Professor (without
tenure) (part-time), Pediatrics, 7/1/93 through
6/30/94.
Glenn, James F., Professor (without tenure)
(part-time), Surgery 7/1/93 through 6/30/94.
Hager, W. David, Professor (without tenure)
(part-time), Obstetrics and Gynecology, 7/1/93
through 6/30/94.
Halmi, Katherine A., Professor (without tenure)
(part-time), Psychiatry, 7/1/93 through 6/30/94.
Hammons, Stanley, Professor (without tenure)
(part-time), Psychiatry, 7/1/93 through 6/30/94.

Kelly, Thomas H., Associate Professor (without tenure), Behavioral Science, 7/1/93 through 6/30/94.
Kielar, Richard A., Professor (without tenure) (part-time), Ophthalmology, 7/1/93 through 6/30/94.
Littleton, John M., Visiting Professor (without tenure), Pharmacology, 7/1/93 through 6/30/94.
Macfarlane, Noble T., Professor (without tenure) (part-time), Pediatrics, joint appointment as Professor (part-time), Rehabilitation Medicine, 7/1/93 through 6/30/94.
Mankad, Aparna V., Associate Professor (without tenure) (part-time), Anesthesiology, 7/1/93 through 6/30/94.
Martin, William R., Professor (without tenure) (part-time), Anesthesiology, 7/1/93 through 6/30/94.
Mayo, Porter, Professor (without tenure) (part-time), Surgery 7/1/93 through 6/30/94.
Overman, Timothy, Associate Adjunct Professor (without tenure), Pathology, joint appointment as Associate Adjunct Professor, College of Allied Health Professions, Department of Clinical Sciences, 7/1/93 through 6/30/94.
Pavlik, Edward J., Associate Research Professor (without tenure), Obstetrics and Gynecology, 7/1/93 through 6/30/94.
Rie, Michael A., Associate Professor (without tenure), Anesthesiology, joint appointment as Associate Professor, Surgery, 7/1/93 through 6/30/94.
Roeckel, Irene, Professor (without tenure) (part-time), Pathology, 7/1/93 through 6/30/94.
Schmeisser, Elmar, Associate Research Professor (without tenure), Ophthalmology, 7/1/93 through 6/30/94.
Sisken, Betty, Associate Adjunct Professor (without tenure), Anatomy and Neurobiology, 7/1/93 through 6/30/94.
Steger, Herbert, Clinical Associate Professor (without tenure), Anesthesiology, 7/1/93 through 6/30/94.
Turner, H. Spencer, Clinical Professor (without tenure), Preventive Medicine and Environmental Health, 7/1/93 through 6/30/94.
Vanden Brink, Keith D., Clinical Associate Professor (without tenure), Surgery, 7/1/93 through 6/30/94.
Wolf, Betty I., Clinical Associate Professor (without tenure), Pediatrics, 7/1/93 through 6/30/94.

C. ADMINISTRATIVE REAPPOINTMENTS

College of Nursing

Robinson, Mary K., Associate Professor* (with tenure),
named Assistant Dean for Undergraduate Studies,
7/1/93 through 6/30/96.

D. PROMOTIONS

College of Dentistry

Dembo, Jeffrey B., Assistant Professor*, Oral Health
Practice, joint appointments as Assistant
Professor*, College of Medicine, Departments of
Anesthesiology and Surgery, to Associate Professor*
(with tenure), Oral Health Practice, joint
appointment as Associate Professor* (without
tenure), College of Medicine, Department of
Surgery, also joint appointment as Assistant
Professor* (without tenure), College of Medicine,
Department of Anesthesiology, effective 7/1/93.

College of Medicine

Vasconez, Henry C., Assistant Professor, Surgery, to
Associate Professor (with tenure), Surgery,
effective 7/1/93.

College of Pharmacy

Gairola, C. Gary, Associate Research Professor (without
tenure), to Research Professor (without tenure),
7/1/93 through 6/30/94.

Yokel, Robert A., Associate Professor (with tenure), to
Professor (with tenure), effective 7/1/93.

E. CHANGES

College of Medicine

Matheny, Samuel C., from Professor* (with tenure), Family Practice, effective 4/15/93 and named Chair, Family Practice, effective 4/15/93 through 4/14/99, to Matheny, Samuel C., Professor* (with tenure), Family Practice effective 7/1/93 and named Chair, Family Practice, effective 7/1/93 through 6/30/99.

F. LEAVES OF ABSENCE

College of Allied Health Professions

Gonzalez, Lori, Assistant Professor, Clinical Sciences, special leave with pay, 6/15/93 through 8/1/93.

G. RETIREMENTS

College of Medicine

Hu, Alfred S. L., Professor (with tenure), Biochemistry, after 31.75 consecutive years of service, under AR II-1.6-1 Section III.B, effective 6/30/93.

H. EARLY RETIREMENTS

Student Health Service

Smith, Sylvia S., Administrative Staff Officer I, after 27 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 5/28/93.

I. POST-RETIREMENT APPOINTMENTS

College of Medicine

Brower, Thomas D., Professor (without tenure) (part-time), Surgery, 7/1/93 through 6/30/94.

College of Pharmacy

Swintosky, Joseph V., Professor (without tenure) (part-time), 7/1/93 through 6/30/94.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Kettenbach, Virginia, Instructor (voluntary), Clinical Sciences, 5/7/93 through 6/30/94.
Kincaid, Valarie, Instructor (voluntary), Clinical Sciences, 5/7/93 through 6/30/94.
Norfleet, Monica, Instructor (voluntary), Clinical Sciences, 5/7/93 through 6/30/94.
Thompson, Christina, Assistant Professor (voluntary), Clinical Sciences, 7/1/93 through 6/30/94.

College of Dentistry

Boster, Joseph M., Instructor (voluntary), Oral Health Science, 6/1/93 through 6/30/94.
Bradshaw, Charles P., Instructor (voluntary), Oral Health Science, 6/1/93 through 6/30/94.
Creedon, Robert L., Instructor (voluntary), Oral Health Science, 6/1/93 through 6/30/94.
Elliott, Olin A., Instructor (voluntary), Oral Health Science, 6/1/93 through 6/30/94.
Lee, Stephen J., Instructor (voluntary), Oral Health Science, 6/1/93 through 6/30/94.
McDowell, John G., Instructor (voluntary), Oral Health Science, 6/1/93 through 6/30/94.
Murphy, James C., Instructor (voluntary), Oral Health Science, 6/1/93 through 6/30/94.
Page, William M., Jr., Instructor (voluntary), Oral Health Science, 6/1/93 through 6/30/94.
Parks, Clay C., Instructor (voluntary), Oral Health Science, 6/1/93 through 6/30/94.
Smith, David E., Instructor (voluntary), Oral Health Science, 6/1/93 through 6/30/94.
Smith, Gary W., Instructor (voluntary), Oral Health Science, 6/1/93 through 6/30/94.
Sutterlin, John D., Instructor (voluntary), Oral Health Science, 6/1/93 through 6/30/94.

College of Medicine

Botto, Juan-Manuel E., Assistant Professor (voluntary), Family Practice, 5/4/93 through 6/30/94.
Chen, Kuey-Chu, Assistant Research Professor, Pharmacology, 5/4/93 through 6/30/94.
Garrett, David T., Assistant Professor (voluntary), Ophthalmology, 5/4/93 through 6/30/94.

Hall, Ronald D., Assistant Professor (voluntary),
Medicine, 5/4/93 through 6/30/94.
Kepferle, Loyd T., Assistant Research Professor,
Preventive Medicine and Environmental Health,
6/1/93 through 6/30/94.
Kriss, Vesna M., Assistant Professor, Diagnostic
Radiology, joint appointment as Assistant
Professor, Pediatrics, 7/1/93 through 6/30/94.
Masi, Juan M., Assistant Professor (voluntary), Family
Practice, 5/4/93 through 6/30/94.
Pennebaker, Richard S., Assistant Professor
(temporary), Pediatrics, 6/1/93 through 6/30/93.
Sarge, Kevin D., Assistant Professor, Biochemistry,
6/1/93 through 6/30/94.
Tate, Kelly S., Assistant Professor (voluntary), Family
Practice, 5/4/93 through 6/30/94.
White, David, Assistant Professor (voluntary),
Diagnostic Radiology, 5/4/93 through 6/30/94.

College of Nursing

White, Kim, Instructor (voluntary), 7/1/93 through
6/30/94.

B. JOINT APPOINTMENTS

College of Medicine

Gilliam, Frank G., Assistant Professor, Neurology,
joint appointment as Assistant Professor, Surgery,
5/4/93 through 6/30/93.

C. ADMINISTRATIVE REAPPOINTMENTS

College of Medicine

Hagen, Michael D., Associate Professor* (with tenure),
named Acting Chair, Family Practice, 4/16/93
through 6/30/93.

D. REAPPOINTMENTS

College of Dentistry

Templeton, Angela L., Clinical Assistant Professor,
Oral Health Practice, 7/1/93 through 6/30/94.

Medical Center Library

Bryant, Jane B., Librarian IV, 7/1/93 through 6/30/94.

College of Medicine

Albers, Kathryn, Assistant Professor, Pathology, joint appointment as Assistant Professor, Anatomy and Neurobiology, 7/1/93 through 6/30/94.

Archer, Sanford M., Assistant Professor, Surgery, 7/1/93 through 6/30/94.

Ashton, Paul, Assistant Research Professor, Ophthalmology, joint appointment as Assistant Research Professor, College of Pharmacy, 7/1/93 through 6/30/94.

Bacdayan, Carolyn, Assistant Adjunct Professor, Behavioral Science, 7/1/93 through 6/30/94.

Baker, Karen S., Assistant Professor, Diagnostic Radiology, 7/1/93 through 6/30/94.

Banks, Evelyn, Assistant Professor, Pathology, 7/1/93 through 6/30/94.

Beihn, Robert S., Instructor (part-time), Diagnostic Radiology, 7/1/93 through 6/30/94.

Bhattacharyya, Anjan K., Assistant Research Professor, Obstetrics and Gynecology, 7/1/93 through 6/30/94.

Bierly, John R., Assistant Professor, Ophthalmology, 7/1/93 through 6/30/94.

Bingcang, Cornelia, Clinical Assistant Professor, Psychiatry, 7/1/93 through 6/30/94.

Bishop, Nancy A., Assistant Professor, Pediatrics, 7/1/93 through 6/30/94.

Blonder, Lee X., Assistant Professor, Behavioral Science, joint appointment as Assistant Professor, College of Arts and Sciences, Department of Anthropology, 7/1/93 through 6/30/94.

Boghaert, Erwin R., Assistant Research Professor, Surgery, 7/1/93 through 6/30/94.

Bolano, Luis E., Assistant Professor, Surgery, 7/1/93 through 6/30/94.

Booze, Rosemarie M., Assistant Professor, Pharmacology, 7/1/93 through 6/30/94.

Bright, Katherine L., Assistant Professor (part-time), Pediatrics, joint appointment as Assistant Professor (part-time), Family Practice, 7/1/93 through 6/30/94.

Caborn, David N. M., Assistant Professor, Surgery, 7/1/93 through 6/30/94.

Cadle, Ronald G., Adjunct Instructor, Pediatrics, 7/1/93 through 6/30/94.

Campbell, Berry A., Assistant Professor, Obstetrics and Gynecology, 7/1/93 through 6/30/94.

Campbell, E. Shawn, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/93 through 6/30/94.

Campos-Gonzales, Roberto, Assistant Research Professor, Surgery, 7/1/93 through 6/30/94.
Cardenas, Ignacio, Clinical Instructor, Anesthesiology, 7/1/93 through 6/30/94.
Carlson, Sonia L., Assistant Professor, Anatomy and Neurobiology, 7/1/93 through 6/30/94.
Carney, Brian T., Clinical Assistant Professor, Surgery, 7/1/93 through 6/30/94.
Chowdhury, Khalid, Assistant Professor, Surgery, 7/1/93 through 6/30/94.
Clayman, Marty S., Assistant Professor, Anesthesiology, 7/1/93 through 6/30/94.
Clerici, William J., Assistant Professor, Surgery, 7/1/93 through 6/30/94.
Cole, Cynthia M., Assistant Professor*, Behavioral Science, 7/1/93 through 6/30/94.
Collins, Rebecca L., Clinical Assistant Professor, Pediatrics, 7/1/93 through 6/30/94.
Conklin, John D., Assistant Professor, Ophthalmology, 7/1/93 through 6/30/94.
Coyne, Carolyn, Assistant Professor*, Pathology, 7/1/93 through 6/30/94.
D'Angelo, Sandra L., Assistant Research Professor, Pediatrics, joint appointment as Assistant Research Professor, Behavioral Science, 7/1/93 through 6/30/94.
Davey, Diane, Assistant Professor, Pathology, 7/1/93 through 6/30/94.
Davis, Brian M., Assistant Professor, Anatomy and Neurobiology, 7/1/93 through 6/30/94.
Davis, Daron, Assistant Professor, Pathology, joint appointment as Assistant Professor, Surgery, 7/1/93 through 6/30/94.
Dennison, R. Russell, Jr., Assistant Professor (part-time), Emergency Medicine, 7/1/93 through 6/30/94.
DePriest, Paul D., Assistant Professor, Obstetrics and Gynecology, 7/1/93 through 6/30/94.
Diana, A. Louise, Assistant Research Professor, Surgery, 7/1/93 through 6/30/94.
Drake, David B., Assistant Professor, Surgery, 7/1/93 through 6/30/94.
Duncan, Marilyn J., Assistant Professor, Anatomy and Neurobiology, 7/1/93 through 6/30/94.
Eckerline, Charles A., Jr., Assistant Professor*, Emergency Medicine, 7/1/93 through 6/30/94.
Elam, Carol L., Assistant Adjunct Professor, Behavioral Science, 7/1/93 through 6/30/94.

Epstein, Avrom D., Assistant Professor, Ophthalmology,
joint appointments as Assistant Professor,
Neurology and Surgery, 7/1/93 through 6/30/94.
Etscheidt, Mark A., Clinical Assistant Professor,
Anesthesiology, 7/1/93 through 6/30/94.
Falace, Peggy B., Assistant Professor (part-time),
Pediatrics, joint appointment as Assistant
Professor (part-time), Pathology, 7/1/93 through
6/30/94.
Fowler, Carol L., Assistant Professor, Surgery, 7/1/93
through 6/30/94.
Gardner, Alan M., Assistant Professor, Anesthesiology,
7/1/93 through 6/30/94.
Geddes, James W., Assistant Professor, Anatomy and
Neurobiology, 7/1/93 through 6/30/94.
Geissler, Richard, Clinical Instructor, Pathology,
7/1/93 through 6/30/94.
Gevedon, Teresa G., Clinical Assistant Professor,
Psychiatry, 7/1/93 through 6/30/94.
Gilliam, Frank G., Assistant Professor, Neurology,
joint appointment as Assistant Professor, Surgery,
7/1/93 through 6/30/94.
Griffith, Elizabeth A., Instructor (part-time),
Pediatrics, 7/1/93 through 6/30/94.
Grigsby, Donna G., Clinical Assistant Professor,
Pediatrics, 7/1/93 through 6/30/94.
Guy, Janet R., Assistant Professor (part-time),
Emergency Medicine, 7/1/93 through 6/30/94.
Haney, William H., Assistant Professor*, Emergency
Medicine, joint appointments as Instructor*,
Medicine and Pediatrics, 7/1/93 through 6/30/94.
Harris, Karen, Clinical Instructor, Obstetrics and
Gynecology, 7/1/93 through 6/30/94.
Haydon, Richard C., Assistant Professor, Surgery,
7/1/93 through 6/30/94.
Herman, James P., Assistant Professor, Anatomy and
Neurobiology, 7/1/93 through 6/30/94.
Hill, Kelly K., Assistant Professor, Psychiatry, joint
appointment as Assistant Professor, Pediatrics,
7/1/93 through 6/30/94.
Hodes, Jonathan E., Assistant Professor, Surgery,
7/1/93 through 6/30/94.
Hooper, Randol W., Clinical Assistant Professor,
Ophthalmology, 7/1/93 through 6/30/94.
Hyde, James F., Assistant Professor, Anatomy and
Neurobiology, 7/1/93 through 6/30/94.
Iaquinto, John M., Assistant Professor, Surgery, 7/1/93
through 6/30/94.

Ireland, Mary M., Assistant Professor (part-time),
Surgery, joint appointment as Assistant Professor
(part-time), Family Practice, 7/1/93 through
6/30/94.

Irwin, Leland J., Assistant Professor (part-time),
Emergency Medicine, 7/1/93 through 6/30/94.

Johnson, Mitzi, Assistant Professor, Behavioral
Science, joint appointment as Assistant Professor,
College of Arts and Sciences, Department of
Psychology, 7/1/93 through 6/30/94.

Johnson, Steven B., Assistant Professor, Surgery,
7/1/93 through 6/30/94.

Johnstone, Bryan M., Assistant Professor, Behavioral
Science, joint appointment as Assistant Professor,
College of Arts and Sciences, Department of
Anthropology, 7/1/93 through 6/30/94.

Kacki, Marta H., Clinical Assistant Professor,
Diagnostic Radiology, 7/1/93 through 6/30/94.

Kadzielawa, Krzysztof, Clinical Assistant Professor,
Neurology, 7/1/93 through 6/30/94.

Kearney, Paul A., Assistant Professor, Surgery, 7/1/93
through 6/30/94.

Kenney, Charles M., Clinical Assistant Professor,
Diagnostic Radiology, 7/1/93 through 6/30/94.

King, Barbara J., Clinical Instructor, Ophthalmology,
7/1/93 through 6/30/94.

King, Joseph G., Assistant Professor*, Diagnostic
Radiology, 7/1/93 through 6/30/94.

Kirsch, John E., Assistant Professor, Diagnostic
Radiology, 7/1/93 through 6/30/94.

Kregor, Janice M., Assistant Professor (part-time),
Pediatrics, 7/1/93 through 6/30/94.

Lanska, Mary Jo., Assistant Professor (part-time),
Neurology, joint appointment as Assistant Professor
(part-time), Pediatrics, 7/1/93 through 6/30/94.

Larson, Thomas O., Assistant Adjunct Professor,
Diagnostic Radiology, 7/1/93 through 6/30/94.

Lawson, Erma J., Assistant Research Professor,
Behavioral Science, 7/1/93 through 6/30/94.

Lee, Eun, Assistant Professor, Pathology, 7/1/93
through 6/30/94.

Lewis, Barnett W., Assistant Professor (part-time),
Pediatrics, 7/1/93 through 6/30/94.

Lock, Richard, Clinical Assistant Professor,
Anesthesiology, 7/1/93 through 6/30/94.

Madhat, Maher, Assistant Research Professor,
Ophthalmology, 7/1/93 through 6/30/94.

Maguire, Grace F., Assistant Professor (part-time),
Pediatrics, 7/1/93 through 6/30/94.

Martin, Walter L., Assistant Professor (part-time),
Psychiatry, 7/1/93 through 6/30/94.

McDonnell, Francis J., Assistant Professor*,
Anesthesiology, 7/1/93 through 6/30/94.

McGauley, Brian T., Clinical Assistant Professor,
Emergency Medicine, 7/1/93 through 6/30/94.

McKnight, Robert, Assistant Professor, Preventive
Medicine and Environmental Health, 7/1/93 through
6/30/94.

Mellon, Isabel, Assistant Professor, Pathology, joint
appointment as Assistant Professor, Biochemistry,
7/1/93 through 6/30/94.

Montgomery, Christopher L., Assistant Professor,
Anesthesiology, 7/1/93 through 6/30/94.

Moody, Edward B., Assistant Professor, Diagnostic
Radiology, 7/1/93 through 6/30/94.

Moore, Robert W., Assistant Adjunct Professor,
Behavioral Science, 7/1/93 through 6/30/94.

Morrow, Jennifer, Clinical Assistant Professor,
Pathology, 7/1/93 through 6/30/94.

Munch, Larry C., Assistant Professor, Surgery, 7/1/93
through 6/30/94.

Myers, JoAnn B., Assistant Professor (part-time),
Psychiatry, 7/1/93 through 6/30/94.

Nunley, David R., Assistant Professor*, Pediatrics,
joint appointment as Assistant Professor*,
Medicine, 7/1/93 through 6/30/94.

Owen, James C., Assistant Professor (part-time),
Emergency Medicine, 7/1/93 through 6/30/94.

Pan, Bin-Tao, Assistant Professor, Surgery, joint
appointment as Assistant Professor, Microbiology
and Immunology, 7/1/93 through 6/30/94.

Pauly, Daryl L., Assistant Professor (part-time),
Emergency Medicine, 7/1/93 through 6/30/94.

Pedigo, Nancy G., Assistant Research Professor,
Obstetrics and Gynecology, 7/1/93 through 6/30/94.

Peterson, Martha, Assistant Professor, Pathology, joint
appointment as Assistant Professor, Microbiology
and Immunology, 7/1/93 through 6/30/94.

Pettigrew, Anjana, Assistant Professor, Pathology,
joint appointments as Assistant Professor,
Pediatrics and Obstetrics and Gynecology, 7/1/93
through 6/30/94.

Pickett, Carol L., Assistant Professor, Microbiology
and Immunology, 7/1/93 through 10/31/93.

Porter, Nada M., Assistant Research Professor,
Pharmacology, 7/1/93 through 6/30/94.

Prasad, M. Renuka, Assistant Research Professor,
Surgery, 7/1/93 through 6/30/94.

Pulmano, Calixto, Assistant Adjunct Professor,
Diagnostic Radiology, joint appointment as
Assistant Adjunct Professor, Neurology, 7/1/93
through 6/30/94.

Purcell, Marguerite, Assistant Adjunct Professor,
Diagnostic Radiology, 7/1/93 through 6/30/94.

Rangnekar, Vivek M., Assistant Professor, Surgery,
joint appointment as Assistant Professor,
Microbiology and Immunology, 7/1/93 through 6/30/94.

Riley, Christine, Assistant Adjunct Professor,
Diagnostic Radiology, 7/1/93 through 6/30/94.

Robinson, M. Clive, Assistant Professor, Surgery,
7/1/93 through 6/30/94.

Rodgers, Janet M., Assistant Research Professor,
Surgery, 7/1/93 through 6/30/94.

Rogers, Anita G., Assistant Professor*, Emergency
Medicine, 7/1/93 through 6/30/94.

Rose, Gregory L., Assistant Professor, Anesthesiology,
7/1/93 through 6/30/94.

Roussis, Periclis, Assistant Professor, Obstetrics and
Gynecology, 7/1/93 through 6/30/94.

Rubeck, Robert, Assistant Adjunct Professor, Preventive
Medicine and Environmental Health, 7/1/93 through
6/30/94.

Scheib, Christopher M., Assistant Professor,
Anesthesiology, 7/1/93 through 6/30/94.

Sekela, Michael E., Assistant Professor, Surgery,
7/1/93 through 6/30/94.

Seroogy, Kim B., Assistant Professor, Anatomy and
Neurobiology, 7/1/93 through 6/30/94.

Shook, Lori A., Assistant Professor, Pediatrics, 7/1/93
through 6/30/94.

Simmons, Truman D., Clinical Assistant Professor,
Diagnostic Radiology, 7/1/93 through 6/30/94.

Sloan, David A., Assistant Professor, Surgery, 7/1/93
through 6/30/94.

Sloan, Jewell W., Assistant Research Professor,
Anesthesiology, 7/1/93 through 6/30/94.

Sloss, Robert, Clinical Assistant Professor, Pathology,
7/1/93 through 6/30/94.

Smith, Charles D., Assistant Professor, Neurology,
7/1/93 through 6/30/94.

Sparks, David, Assistant Research Professor, Pathology,
joint appointments as Assistant Research Professor,
Neurology and College of Pharmacy, 7/1/93 through
6/30/94.

Stevens, Julia C., Assistant Professor, Ophthalmology,
7/1/93 through 6/30/94.

Straub, James G., Assistant Professor (part-time),
Pediatrics, 7/1/93 through 6/30/94.

Suruda, Anthony J., Assistant Professor (part-time),
Emergency Medicine, 7/1/93 through 6/30/94.

Torloni, Antonio, Assistant Professor, Pathology,
7/1/93 through 6/30/94.

Troyer, Henry, Assistant Professor (part-time),
Preventive Medicine and Environmental Health, joint
appointment as Assistant Professor (part-time),
Anatomy and Neurobiology, 7/1/93 through 6/30/94.

Valentine, John, Assistant Professor (part-time),
Preventive Medicine and Environmental Health,
7/1/93 through 6/30/94.

Vardi, Gil M., Assistant Professor (part-time),
Emergency Medicine, 7/1/93 through 6/30/94.

Wala, Elzbieta P., Assistant Research Professor,
Anesthesiology, 7/1/93 through 6/30/94.

Walker, Janet L., Assistant Professor, Surgery, 7/1/93
through 6/30/94.

Warf, Benjamin C., Assistant Professor, Surgery, 7/1/93
through 6/30/94.

Watson, Robert E., Instructor (part-time), Anatomy and
Neurobiology, 7/1/93 through 6/30/94.

Weeks, Julia, Clinical Assistant Professor, Pathology,
7/1/93 through 6/30/94.

Wells, Mark D., Assistant Professor, Surgery, 7/1/93
through 6/30/94.

Wells, Sharon E., Clinical Assistant Professor,
Emergency Medicine, 7/1/93 through 6/30/94.

Wheeler, Elyse, Clinical Assistant Professor,
Pathology, joint appointment as Clinical Assistant
Professor, College of Allied Health Professions,
Department of Clinical Sciences, 7/1/93 through
6/30/94.

Whitworth, Michael L., Assistant Professor,
Anesthesiology, 7/1/93 through 6/30/94.

Willette, Caroline S., Instructor (part-time),
Pediatrics, 7/1/93 through 6/30/94.

Wilson, Diane, Clinical Assistant Professor, Pathology,
joint appointment as Clinical Assistant Professor,
Surgery, 7/1/93 through 6/30/94.

Wood, David C., Assistant Professor, Surgery, 7/1/93
through 6/30/94.

Woods, Sheila H., Clinical Assistant Professor,
Pediatrics, 7/1/93 through 6/30/94.

Yen, Catherine E., Assistant Professor*, Neurology,
7/1/93 through 6/30/94.

Yurek, David M., Assistant Professor, Surgery, joint
appointment as Assistant Professor, Anatomy and
Neurobiology, 7/1/93 through 6/30/94.

Zweng, Thomas N., Assistant Professor, Surgery, 7/1/93
through 6/30/94.

College of Pharmacy

Dickens, George R., Instructor* (temporary), 7/1/93 through 6/30/94.

E. CHANGES

College of Medicine

Kroot, Louis J., from Assistant Professor (part-time), Emergency Medicine, to Assistant Professor*, Emergency Medicine, 6/10/93 through 6/30/94.

College of Nursing

Bailey, Ellen L., from Assistant Professor*, to Clinical Assistant Professor, 7/1/93 through 6/30/94.

F. TERMINAL REAPPOINTMENTS

College of Medicine

Garg, Achal, Assistant Research Professor, Preventive Medicine and Environmental Health, 7/1/93 through 6/30/94.

Hayes, Steven R., Assistant Professor, Anesthesiology, 7/1/93 through 6/30/94.

Pickett, Carol L., Assistant Professor, Microbiology and Immunology, 11/1/93 through 10/31/94.

G. LEAVES OF ABSENCE

College of Allied Health Professions

Nitz, Arthur J., Associate Professor (with tenure), Clinical Sciences, sabbatical leave at full salary, 7/1/93 through 12/31/93.

College of Medicine

Foster, Karen P., Clinical Assistant Professor, Medicine, leave without pay, 3/18/94 through 11/14/94.

Porter, William H., Professor* (with tenure), Pathology, sabbatical leave at full salary, 8/1/93 through 12/17/93.

Office of the President
May 4, 1993

PR 4 A

Members, Board of Trustees:

CANDIDATES FOR DEGREES--COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed.

Background: At the November 12, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and the Chancellor.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

ASHLAND COMMUNITY COLLEGE

Associate in Arts

ADAMS BRENDA L
ADAMS MELISSA DAWN
BENTLEY ELIZABETH SUE
COFFEE RANDA LYNN
COOK JESSIE LEE
EVERMAN SHANNA L
FIELDS SHELLEY RENAE
GARVIN WILLIAM TODD
GRIFFITH LEE DAVID
HAY PEGGY SUE
JONES JAMES DONALD
KRATZ DONNA S
MCKEE DAVE B
MCKNIGHT BILLIE
PEMBERTON BARBARA A
PYLE DELORES D
SCOTT GERRI LIN
SENTERS REBECCA HOPE
TACKETT TERI L
TRIPLETT AMY R
TUCKER MATTHEW R
WARREN LOUISE

Associate in Science

BLATT GARY RICHARD
DAVIS BETHANY D
HARMON TIMOTHY E
HART DONALD D
HOWERTON RICHARD LAYNE
MCGINNIS HENDERSON DWAYNE
POWELL ANGELA
PYLE DELORES DAY
ROOF JODI RANAE
STEPHENS KRISTIE L

Associate in Applied Science

ABRAM JOHN WILLIAM
ADAMS LISA MICHELLE
ADKINS KIMBERLY DAWN
BAILEY CAROLYN FAYE
BAILEY GLENNA MAE
BAKER GILBERT
BLACK KENYA MAE
BURNS CATHY GAIL
BURTON ROBERT SCOTT
BYRD CATHERINE
CALHOUN CHRISTINA LYNN
CARPENTER ROBIN
CARROLL GINA MARIE
CARROLL RITA LYNN
CHADWICK STEPHANIE
CHANDLER TAMMY LEE
CHERRY CHRISTY DIONNE
CLERE KIMBERLY LEIGH
CLICK LINDA GAIL
COLLIER TUNECIA K
COPLEY MELISSA M
CRISP TAMMY L
CROOKSHANKS DEBORAH
CRUM STERLING
CURTISS TERESA LYNN
DANIELS MICHELE R
DANIELS TONYA LEIGH
DAVIS BRIAN EUGENE
DAVIS JULIA
DAVIS WESLEY MARION
DELONG SHIRLEY ANN
DICKENS ANNA SUE
DONTA SHERRY ANN
DONTA VICKI W
ELAM REGINA M
EVERMAN PEGGY ANN
FAULKNER CARLA RAE

FIELDS SALLIE ELLEN
FLETCHER BELINDA Y
FRITZ ANGELA KAY
GALLION CAROLYN ANN
GIBSON STACY LYNNETTE
GREER ANGELA SUE
GRIFFITH DARRELL KEITH
GRIFFITH MICHELLE WANDA
HALE MATTHEW LEE
HALTERMAN JOYCE ANN
HIGNITE SUZANNE JULIETTE
HOLBROOK MIRUKA KIMBERLY
HOLLEY JOY ALMA
HUNT BOBBI ANN
HURST ELIZABETH A
ISON SUSAN YVETTE
JACOBS NANCY ANN
JOHNSON DEBORAH K
JOHNSON JENNY E
JOHNSON JIMMY ARTHUR
JORDAN LEIGH
KAZEE CYNTHIA K
KING NETTIE JO
KITCHEN GARNET ELIZABETH
KNIGHT PENNY I
KNIPP TINA ANN
LEEDY MELISSA ANN
LEIST CAMILLE G
LEMON DIANA MARIE
LEWIS LOVAL
LUCAS RONDA K
LYNCH KATHY L
MARCUM JUDY LYNN
MARSHALL CRISTY LYNNE
MASSIE CHRISTINA RENEE
MCCLELLAND MATTHEW JASON
MCCORMICK ROSE MARIE
MCDOWELL PAMELA
MCGUIRE TONI RENE

MCKNIGHT DIANE
 MEADOWS YVONNA S
 MEES DONNA
 MEES REBEKAH SUE
 MESSER WILLIAM RAYMOND DOUGLAS
 MILES LINDA
 MILLER CRISTAL R
 MILLS KIMBERLY SHAWN
 MONROE MARY E
 MORRISON DIANNE LOUISE
 MULKEY CONI LYNN
 MULLINS LORI SUE
 PARKER DARLENE A
 PENNINGTON JETTA NICOLE
 PERDUE SANDRA E
 PRINCE LOUISE ANNETTE
 PROCTOR ROBERT PAUL
 QUEEN PATRICIA LYNN
 QUEEN PAULA S
 QUILLEN REGINA LOU
 RELIFORD HELEN JEAN
 RICE SHIRLEY
 RIGSBY WRETHA
 SHAW SANDRA
 SIMMS PHYLLIS G
 SMITH MISTY DAWNE
 SMITH VICKY
 SONGER JANE CAROL
 SPARKS VIRGINIA DARLENE
 STIDHAM SHERRY LYNN
 STRAIT DAWN M
 TACKETT KIMBERLY SUE
 THACKER IRWINIA FAY
 THOMAS FRANCINE S
 THOMAS GINA KAYE
 THOMPSON MICHELLE LEIGH
 TRAMMELL LAYNETTA ANN
 TRAYLOR CAMRA LYNN
 VALLANCE ELIZABETH B
 VANNATTER MONA R

WALKER JAMIE GAYE
 WHITAKER DEANNA SUE
 WILBURN LINDA LOU
 WILLOUGHBY KATHY LYNN
 WILLS CLAYTON EUGENE
 WILSON KAREN L
 WILSON REBECCA ANN
 WOODS GINA LOUISE
 WOODS MARG A
 WRIGHT LESLIE RENEE
 YANCEY MARK E

ELIZABETHOWN COMMUNITY COLLEGE

Associate in Arts

BARNES DENNIS P
 BARNES DEREK S
 BORDEN TERRICITA
 BRAVO JUDY L
 BUSH DIANA L
 CANADA CRYSTAL L
 CARDIN NEAL J
 CHANEY BRENDA G
 CHESSEY ROSE R
 CLEVINGER DORIS T
 COCKRIEL MICHELLE N
 CONNELL ELIZABETH A
 CONNER LORA M
 CORBIN CYNTHIA P
 CREIGHTON NATALIE P
 CROWDER HERMAN E
 DANSBY MARY N
 DEFEVERS EVELYN R
 DELGADO DIANA D
 DIXON MONEQUE B
 DOWNS DOROTHY Y
 DYE PAUL G
 EASTMAN LADONNA S

EMBRY PEGGY D
 GARCIA DARLENE L
 GASSER MICHAEL J
 GUFFEY TONI M
 HADDLE LELIA S
 HAMPTON BRENDA K
 HATCHER WILLIAM R
 HAZLETT DENISE J
 HIBBS JOHN M
 HILL KIMBERLY A
 HUTCHINS CATHERINE L
 HUTTON DONALD J
 JACKSON LAURA L
 JOHNSON MISTY M
 JOHNSON VERA A
 JOHNSON WANDA G
 KING VERONICA G
 LANDRY DAVID S
 LEONARD SALLY J
 LEONARD SHANNON J
 LOGSDON DAVID L
 LOUDERMILK CHRISTINA L
 MAJOR PATRICIA F
 MCCUBBIN PATRICIA W
 MCKAIN ANJA
 MCKISSIC KELLI M
 MCMASTERS HUBERT E
 MCQUEARY WILLIAM R
 MEEHAN MICHAEL P
 MITCHAM LINDA H
 MIZELL JAMES R
 MOORE STEVEN L
 MOSE EDWARD F
 MUDD JODIE L
 MULLINS LEONARD R
 NORRIS TOBY A
 OBERLITNER LAWRENCE E
 OVESEN TAMMY L
 PAWLEY EDWARD L
 PERGUSON LISA M

PERKINS ANGELIKA S
 PINE TARA L
 PORTER ADRINA M
 RAWLS JAMES T
 READ LESA M
 ROJAS JOSEPH W
 ROUTT MARY A
 SHELMAN DANA L
 STANCHFIELD KATHERINE M
 TAYLOR ANDREA E
 TAYLOR RHONDA L
 TEDDER MELISSA F
 THOMPSON FREDERICK
 THOMPSON REGINA G
 WASHBURN MARY ALICE R
 WATERS MARGARET L
 WHITAKER FELICIA H
 WILCOXEN TIMOTHY W
 WILLIS CHARLES L SR
 WOODS NANCY M
 ZULEVICH LOUIS S

Associate in Science

BUSH JULIA M
 COX SHAWN T
 FLOWERS MARY A
 GREENWELL DIANE C
 HART LISA M
 HAWKINS BILLIE J
 HICKS MYRA D
 JACOBS DALE F
 JORDAN JIM E
 MCCOY SARA K
 MILLER MICHAEL S
 MOORE MARIANNE C
 PAWLEY RAYMOND E
 PHELPS NANCY S
 PRICKETT ROBERTA L
 RAPAICH DENISE J

RIVAS FELIPE C
 SHIRES ROGER S
 SKEETERS MENDI L
 STARLING NANCY L
 TODD ALAN D
 TOTH MICHAELA E
 TRAVIS MARY S
 VOGEL BIRGIT U
 WARE KAREN L

Associate in Applied Science

BALLARD TERESA A
 BALLARD THOMAS A
 BARNES CATHY T
 BAUGUS RENEE U
 BENNETT MARY T
 BENNINGFIELD RUTH M
 BORDERS MARY J
 BRADLEY JUDY A
 BROOKS KATRINA E
 BROWN SHELIA Y
 BRUTON ANGELA E
 CARLTON CHRISTINE M
 CHANCELLOR JANEISE B
 CLARKE CAROLINE M
 CLEAVER BRIAN A
 COLLINS TRACY L
 COPECK CHARLES
 CORLEY SUE D
 COTTRILL BARBARA H
 COY BONNIE S
 CREEKMORE KYLEEN D
 CULVER JOSEPH B
 CUMMINGS PEGGY J
 CURRY KIMBERLY A
 CUTCHENS PATRICIA D
 DAVIS TONYA L
 DEARING KIMBERLY N
 DELANEY THERESA

DICKERSON PAMELA C
 DONES SUSAN M
 FAIR SONJA
 FOSTER KATIE A
 GLICKMAN CHRISTINA E
 GLOVER PAMELA A
 GRAY TONYA L
 GREENWELL NORMA J
 HARRIS SARA A
 HARVARD ALANA Z
 HELMS LAUREN K
 HENDERSON THERESIA W
 HEREFORD LINDA
 HOBBS PATRICK E
 HORN SHEILA A
 HUMMEL LISA A
 JAGGERS JACQUELINE F
 JOHNSON BRIAN P
 JOHNSON DANNA L
 KERR KIM Y
 LANHAM DENISE R
 LARGE JUDITH A
 LEDFORD MICHELE L
 LEE CATHERINE G
 LEE SAUNDRA T
 LONG HELEN M
 MARSHALL DEBRA L
 MARTONE JOSEPH P
 MCCLURG DOLORES H
 MCCOY JEFFREY B
 MEADOR TAMMY L
 MILBY MELISSA
 MILES HOPE A
 MILLER ALICE R
 MOORE CAROL V
 MORRIS BEVERLY C
 MORRIS DELANA J
 NALLY PAMELA A
 OBERMEIER CHERYL A
 PARNELL JILL R

PASCO PAMELA R
 PIKE FANNIE C
 RALSTON NOLA M
 REDNOUR RICKY A
 REED GRACE K
 RESHKE THOMAS A II
 ROBERTSON GREGORY L
 ROBINS BONNIE G
 SERNA TANYA M
 SHEPHERD DARLENE G
 SMITH KELLY D
 SMITH TARA I
 STASEL LACONDA R
 STRINGER HENRY E
 TATE DANA L
 THOMA MELANIE S
 TOLLEFSON TRACY A
 VANCISE RICHARD J
 VANMETER KATHLEEN
 VAUGHAN SUSANNE F
 VOLMAR EDGARDO
 VOWELS CHERYL L
 WEBB REBECCA V
 WHITLOCK GARY T
 WILLIAMS JOYCE F
 WILLIAMS MICHELLE O
 WILLOUGHBY NANCY S
 WILSON JUDY F
 WILSON MARY H
 WILSON RITA G
 WILSON ROBIN M
 YODER LISA J

HAZARD COMMUNITY COLLEGE

Associate in Arts

ADAMS ANGELA D
 BOWLING MELINDA D

CHANEY KIMBERLY L
 CORNETT LESLIE K
 COUCH VICKIE L
 CRAFT KATRINA
 FIELDS SHARON L
 FLETCHER BERNICE
 FRALEY CRYSTAL
 FRASURE DOREENA B
 GIBSON KIMBERLY R
 GRIMES BRENDA K
 GRIMES WANDA F
 GROSS EDNA J
 HALL FRANK JR
 HERALD RUBY R
 HOSKINS MARSHA J
 HOWARD FRED A J
 JONES BELLA R
 KEEN LISA M
 KILBURN MICHELE L
 LAYTART MARY E
 LIVELY WILLIAM L
 MAGGARD DONNA K
 MARCUM MARQUITA L
 MISNER JANICE
 MUNCY CHRISTY
 NOBLE MALISSA A
 NOBLE MARK A
 PATTON CONNIE H
 RITCHIE AMY
 ROBERTS BEATRICE H
 SLONE SHERRY
 TOLER PAMMA S
 WHITE LOUANNA
 WICKER KATHERINE
 WILDER EDDY D

Associate in Science

CONLEY CATHY
 DIXON JENNIFER

FOUTS DEBORAH A
 GAYHEART KATRINA W
 HALL BUFFORD JR
 HONEYCUT-RITCHIE SHERRY
 HOSKINS NENA J
 JONES DAVID
 MOSLEY SANDRA
 RIDDLE HOPE E
 SPARKS GRETTA D
 STIDHAM MARCUS R
 WOOTON PEARL

Associate in Applied Science

ADAMS LOLA E
 AMBURGEY REGINA D
 BACK LINDA S
 BACK WANDA C
 BAKER MICHELLE L
 BAKER SHERRY L
 BEGLEY MICHELLE K
 BEGLEY-KISER DELANA K
 BOWLING HALLIE J
 BOYD ANITA H
 BRASHEAR PEGGY A
 BREEDING TERESA A
 BROCK MARCELLA A
 BROCK SUSANNA L
 BROWN ALPHA F
 BROWN TAMATHA
 BRYANT MELISSA H
 CALHOUN MARGARET
 CAMPBELL LINDA S
 CAUDILL ROBIN R
 CLEMONS TAMMY C
 COLLINS REBECCA A
 COMBS DIANE L
 COMBS NICKI
 COMBS PAMELA
 COMBS PAMELA A

CONLEY CHERYL K
 COOPER JUANITA
 CORNETT ANGELA S
 COUCH NANCY L
 DAVIS DEBRA J
 DEATON SUZANNE W
 DEZARN CAROLYN
 DOBSON KAREN R
 EUROPA HOLLIE
 EVERSOLE ROGER D
 GIBSON JOANNE
 GOODRICH VIRGENA H
 GREGORY LISA
 HALL KATHY H
 HALL TAMMY
 HAYNES JO ANN
 HOLBROOK ANITA W
 HOLLIFIELD ABIGAIL
 HOLLON KATHRYN M
 HOWARD PRISCILLA G
 HURLEY LINDA P
 HURT ANGELA S
 HURT CLEVELAND
 JENT REBECCA
 JOHNSON MARK T
 JOHNSON SHELIA C
 JOHNSON TRUDY L
 JOHNSON VIVIAN D
 JONES BRENDA L
 KENNEDY JUANITA
 LEWIS STEPHANIE L
 LONGWORTH JANIE D
 LUCAS KIMBERLY M
 MAGGARD WILMA S
 MCCOOL RAYMOND L
 MCPHERSON KIMBERLY M
 MIRACLE ANNA L
 MOORE TERESA
 MORGAN BERT DOUGLAS
 MULLINS ED

OWEN SAYOKO H
 OWENS KAREN H
 RITCHIE KAREN S
 SARGENT ROBIN B
 SESTER SHERRY
 SIMPSON MARLYN B
 SIZEMORE BETTY C
 SIZEMORE CONNIE S
 SIZEMORE KAREN
 SLONE GENEVIA
 SLONE REDA
 SMITH DKATHERINE C
 SMITH MARCELLA
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 COLEMAN DENNIS EDWIN
 DANIELS GARNETTA G
 DANIELS JANNETTA J
 DAVIS CHERYL D
 DURHAM JERRY L

ELKINS LISA D
 FITCHPATRICK SHERRY L
 GREENE STEPHANIE L
 HAMILTON KITTY MOORE
 HORNE STACY R
 LANDERS LOIS A
 LITTLE REBECCA J
 MATNEY VADA C
 MULLINS CINDY I
 MURRY WALTER K
 ORMEROD DELLA B
 PENNINGTON JACQUELINE
 RACKEY ANITA C
 REED CHANTEL M
 SALYER AIMEE M
 SHANK MONNA S
 SILER ANTHONY M
 SMITH LORETTA
 STEWART SARAH F
 STURGILL HEATHER D
 TUSSEY KATRINA L
 VARNEY CAROL J
 VICKERS HELEN A
 WHITTEN TERESA J
 WITTEN JAIME L

SOMERSET COMMUNITY COLLEGE

Associate in Arts

BALL EDDIE L
 BORDERS GAYLE P
 BRAUN PHYLLIS A
 BRUCE KAREN K
 BRUNER LINDA L
 CARLTON ROBYN G
 CARY BROOKE
 CAUDILL PATRICIA A

COWAN LORI L
DALTON STEPHEN J
FLYNN PATRICIA J
GERDSEN SAMANTHA K
GOODIN VICKIE L
HARTMAN JEANIE
HELM STEPHEN R
HICKS AMIE D
HOOD TONYA L
HUBBLE CYNTHIA B
IRVIN TINA M
JEFFERS J MICHAEL
JOHNSON KATHERN L
MASSEY KONNIE F
MAYFIELD STEPHANIE R
PALUZI JOSEPH A
PHELPS TINA
PRITCHARD MELISSA R
ROBERTS TOM A
ROBERTS WILMA S
ROSSI MELISSA B
ROWE SHARON K
SEARS DEIRDRE L
SIMS LEE C JR
STACY ELIZABETH C
STEPHENS MORRIS A
STEPHENS TERESA F
STONECIPHER ELIZABETH L
THOMPSON JIMMY W
WALTERS HERMAN C JR
WARNER ANGELA M
WHITAKER AMY
WOOD CATHERINE K

Associate in Science

AARON MICAH S
ALEXANDER GENE A
BENNETT CHAD S
BROWN RONNIE A

BURDINE CHARLES II
COFFEY ANITA L
GUNTER MARK
JOHNSON DENVER S
JONES DWANA P
LEONARD ROGER L
MCLEAN MARY A
MILLER WALLACE B
MOFIELD SHARON R
NAPIER MICHAEL K
NEAL LETITIA S
SHEARER DEBORAH R
SMITH JUSTIN M
THORNBURY JAMES R
TUCKER LAURA D
VANOVER TERESA A
WHITIS KATHRYN R
WRIGHT BYRON J

Associate in Applied Science

ADKINS SHARON K
ALLEY ANGELA S
ANTLE DONNA K
BAIRD JEFFREY A
BAKER BRENDA K
BALL NORA S
BARNETT GINA
BARNETT LESLIE K
BARNETT PAUL H
BATES TIMOTHY J
BAUGH DEBORAH R
BEASLEY ALMETA JOAN
BELL LISA S
BELL PAMELA
BLAKE DORIS E
BROCKMAN COLLEEN E
BRUMMETT DEBRA R
BRYANT LINDA S
CATRON MARTHA S

COFFEY CHARLOTTE E
CONGLETON TAMMY
COOK CYNTHIA F
CORDER JAMES C
CRAVENS BRIAN L
CURRY BEVERLY A
DAVIS MARY
DILLMAN LINDA F
DUNN MARCELLA A
DURHAM MARLYN J
EHRINGER MAUREEN L
ELLIS MELISSA W
ENGLE KATHRYN A
FLYNN DIANA L
HACKNEY KEVIN D
HARRIS DANA R
HEATH MARTHA J
HICKS MILLARD JR
HOPKINS ANGEL D
JONES KAREN L
JONES KIMBERLY J
JONES SUSAN A
KEITH JENNIFER A
KING IRENE W
LAY BETTY J
LEDFORD PAULA J
LITTRELL LINDA P
LORASH JODYNE P
MARPLES RITA W
MASON RAMONA J
MCCLURE ANITA F
MCNEIL DARLENE
MILLER BARBARA W
MILLER JERRY W
NEAL DOUGLAS A III
NEW STEPHANIE J
NICELEY JASON D
ONEY JERRY
PATRICK CHERYL A
PENCE BRYAN E

PETREY VICKIE C
 PHELPS LISA D
 POPPLEWELL KIMBERLY A
 REEVES ANDY
 REXROAT JO D
 RIMAS NANCY E
 ROBINSON MARQUITA R
 ROSS JANICE F
 SEEFELDT TARALYNNE A
 SHELTON BILLIE J
 SLUSHER BRENDA M
 SMITH ELIZABETH A
 SNOW LEANNE T
 STEPHENS VINCENT J
 TERRY THARA A
 TROXTLE MARY R
 TUCKER DOSHA L
 VANOVER DOVEY L
 WATSON PATRICIA L
 WEDDLE SPENCER D
 WESLEY PATTY S
 WEST ANGELA
 WHEAT RANDALL N
 WOOD JETONDIA M
 WOODARD CANDY S
 YORK KATHERN P

SOUTHEAST COMMUNITY COLLEGE

Associate in Arts

ABNER KYLE L
 BAILEY MITCHELL R
 BAKER DEANNA K
 BAKER LANNA K
 BAKER VERIA J
 BLACK SHERYL E
 BOGGS KANDY E
 BREWER WYNEMA L

BROCK TRESA K
 BRYANT DEBRA L
 BURCHFIELD DORIS J
 CAMPBELL SHANNON M
 CAMPBELL SHERRY L
 CANTRELL LISA
 CARMICAL SHEILIA B
 CARMICAL TONI E
 CARUSO MATTHEW H
 CHASTINE WILMA M
 CLONCE DONNA K
 CLOUD LISA G
 COOK BALISA D
 CORNETT DEBRA S
 CREECH JOY R
 DOEPEL PAULA R
 DOOLEY JOHN M
 DOTSON KIMBERLY K
 ENGLE CONNIE F
 FIELDS TAMMY A
 FORRESTER JAMES E
 GLUCK LOREYN G
 GOINS LOIS A
 GOLDEN HEATHER M
 GRAY CHRISTINA L
 GULLEY PEARL L
 GUNTER SARAH A
 HARRISON GLENDA A
 HAWKINS DONALD R
 HOWARD BRANDON C
 HUFF BRIAN K
 HUFF PAMELA C
 JONES SARAH J
 JORDAN MARY A
 KEITH TAMMY C
 LAVIERS SHEILIA F
 LEE WILLETTA K
 LEWIS JENNIFER R
 LEWIS MELINDA E
 MARKS ALISON N

MAXWELL LUCY L
 MCFALLS MARY A
 MCINTOSH VALERIE
 MIDDLETON SITNEY M
 MINIARD DONALD J
 MONEY-LAWSON STARR
 MULLINS JENNIFER D
 NAPIER ELIZABETH A
 NOLAN TAMMY
 NORTH JODY K
 OWENS ELIZABETH P
 PARTIN CAROLYN J
 SCHEEL RENEE D
 SEARS KIMBERLI N
 SHACKLEFORD MELVIN R
 SLUSHER STACIE A
 SUPPLEE CATHERINE W
 THOMPSON SAMANTHA P
 TURNER BILLY D
 WILDER JENNIFER L
 WOLFE ARCH G
 WOMACK VICKIE A
 WRIGHT BILLIE M
 YEARY WINSTON H

Associate in Science

ARNETT KATHY L
 ASHER REBECCA
 BAILEY CAROLYN M
 BELCHER BRISTOL P
 BRANSON JOY M
 BURNETT PHILLIP JR
 COX TONJA K
 DAVIS HAROLD C JR
 DUNN CHRISTOPHER L
 HENSLEY ANGELA D
 HOBBS JAMES E
 HORTON WILLIAM R
 HUBBARD MATTHEW K

Office of the President
May 4, 1993

PR 4 B

Members, Board of Trustees:

NAMING OF UNIVERSITY BUILDING

Recommendation: that the Board of Trustees approve the name **The Jean W. Calvert Student Center** for the new student center building at Maysville Community College.

Background: Mrs. Jean Calvert has been Chair of the Maysville Community College Advisory Board for over 25 years. In this role, Mrs. Calvert has provided unequalled leadership and dedication which has helped guide the growth and development of Maysville Community College. Mrs. Calvert loves Maysville Community College and has always considered it as a top priority among the many other community activities in which she is involved. Mrs. Calvert has been diligent in her every effort to improve the College.

The Committee on Naming University Buildings has made its recommendation to the Chancellor of the Community College System who recommends to the President and Board of Trustees that the new student center at Maysville Community College be named **The Jean W. Calvert Student Center**.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

Office of the President
May 4, 1993

PR 5A

Members, Board of Trustees:

CANDIDATES FOR DEGREES
UNIVERSITY SYSTEM

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached lists the degree to which he or she is entitled upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the University Senate.

Background: Since the Commencement exercises are held immediately following completion of examinations, it is not possible for the University Registrar to certify satisfactory completion of requirements prior to Commencement exercises for those candidates who completed their requirements in May. The candidates who completed their requirements in August 1992 and December 1992 were approved by the Board of Trustees at earlier meetings.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

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OFFICE OF THE UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES
April 16, 1993

GRADUATE DEGREES

Ph.D	163
D.M.A.	3
Ed.D.	6
Ed.S.	11
M.A.	123
M.A. EDU.	16
M.A.I.D.	4
M.A.T.C.	1
M.B.A.	57
M.F.A.	2
M.H.A.	7
M.M.	8
M.P.A.	12
M.R.C.	4
M.S.	106
M.S.ACC	6
M.S. AGR.	1
M.S. AGR. E.	4
M.S. BIO. E.	3
M.S. CHE. E.	3
M.S. CIV. E.	12
M.S. C.NUT	3
M.S. DEN.	3
M.S. EDU.	48
M.S. E.E.	24
M.S. FAM. S.	9
M.S. FOR.	2
M.S. L.S.	35
M.S. MAEN	9
M.S. MAT	2
M.S. ME	12
M.S. MIN.	4
M.S. NUR.	17
M.S.O.R.	6
M.S.P.T.	2
M.S.P.H.	10
M.S.R.M.P.	3
M.S.W.	53
TOTAL	794

PROFESSIONAL DEGREES

D.M.D	42
D.Pharm.	29
J.D.	151
M.D.	86
TOTAL	308

UNDERGRADUATES

Agriculture	
B.S. AGR.	74
B.S. FOR.	7
B.S. L.A.	15
TOTAL	96
Allied Health	
B.H.S.	79
TOTAL	79
Architecture	
B. ARCH.	45
TOTAL	45

Arts and Sciences

B.A.	388
B.G.S.	4
B.S.	121
TOTAL	513

Business and Economics

B.B.A.	217
B.S.A.	121
B.S. B.E.	6
TOTAL	344

Communications

B.A.	129
B.S.	20
TOTAL	149

Education

B.A. EDU.	127
B.S. EDU.	3
TOTAL	130

Engineering

B.S. AGR.E.	1
B.S. CHE. E.	19
B.S. CIV. E.	31
B.S. E.E.	39
B.S. MAEN.	6
B.S. M.E.	25
B.S. MIN. E	5
TOTAL	126

Fine Arts

B.A.	38
B.F.A.	10
B.M.	8
B.M. EDU.	5
TOTAL	61

Human Environmental Sciences

B.A. HID.	24
B.A. DIE.	17
B.A. FAM.	37
B.S. H.E.	9
B.S. MAT.	23
TOTAL	110

Nursing

B.S.N.	103
TOTAL	103

Pharmacy

B.S. PHARM.	66
TOTAL	66

Social Work

B.A. S.W.	46
TOTAL	46

GRADUATE DEGREES	794
PROFESSIONAL DEGREES	308
UNDERGRADUATE DEGREES	1,868
TOTAL	2,970

Graduate School
Dean: Daniel R. Reedy

Doctor of Philosophy

Affleck, Karen Jill
 Akel, Karen Elizabeth
 Alikhani, Masoud
 Allen, Andrea Marie
 Anderson, Charles Edward
 Arden, Warwick Andrew
 Ardeshir, Abdollah
 Barlow-Elliott, Lisa
 Barnett, Barry Joe
 Barrett-Graves, Debbie L.
 Baum, Edward Mark
 Bayan, M. R.
 Blackley, Brian Mark
 Blair, Timothy Louis
 Bruner, David Allen
 Bukovinsky, David Martin
 Burdon, Ronald Lee
 Carrigan, Sarah D.
 Carter, David Edward
 Cattarello, Anne Marie
 Cervantes, Ramirez Miguel
 Chamberlain, Mary Ann Sorrell
 Chase, Donald V.
 Chen, Qun
 Chung, Moonkyo
 Cole, Gregory Keith
 Cornett, Judy Gail
 Dalisay, Rodelio Carmelo Feliciano
 Danner, Deborah Duncan
 Deng, Wenlian
 Dever, Garland Ray, Jr.
 Dong, Jinsheng
 Dsilva, Margaret Usha
 Earle, Patricia Ann
 Egan, Thomas Patrick
 Einerson, Martha J.
 Everett, Maureen Wyllie
 Falconer, Karen Frances
 Gabhart, Mitchell
 Ganguly, Bhaswati
 Gates, Carol Allen
 Gebb, Sarah Allen
 Ghosal, Sugata
 Gold, Paul Milton
 Gooding, Patrick James
 Granger, Maury Daryl
 Graves, Glenna Horne
 Greenlee, Janet Stelle Johnpoll
 Gudmondson, Donald Eugene
 Guthrie, Patricia Marie
 Hack, Amy Lee
 Han, Myeong-Jin
 Harbol, Margaret Rose

Harison, Jack A.
 Hausberger, Angela Carol Gatlin
 Haywood, Joel Dinsmore
 Headley, Anthony J.
 Hernaiz, Maria-Isabel
 Hinson, Steven Yates
 Horn, Terry Shannon
 Hufft, Edward Manuel, Jr.
 Hurley, Janet L.
 Indriantoro, Nur
 Inglis, John Albert
 Irvin, Judith Huffman
 Je, Yon-Tae
 Johnson, Ellis Lee
 Joseph, Martha Marie Rosentrater
 Kailing, Joel Benjamin
 Kasraian, Kasra
 Kessler, Theresa Ann
 Kidanemariam, Andemariam
 Kidwell, David Thomas
 Kim, Kwang Kee
 King, Rebecca Ann
 Kirk, Kathleen Louise
 Kopitzke, Elizabeth Jean
 Kottayil, Santosh George
 Kratzke, Peter James
 Kuder, Linda C.
 Lange, Robert John Gustav
 Langub, Moises Chris, Jr.
 Lawrence, Sally Jane
 Lee, Seoksoo
 Leland, John Ernest
 Little, Thomas VanDeusen
 Liu, Jih-Shiou
 Liu, Kuang-Jen
 Liu, Wennuan
 Lockhart, Shawn Robert
 Longley, Rodney Kyle
 Luntz, Benjamin Franklin
 Mahmood, Niaz
 Manickavasagam, Sivakumar
 McBurnie, Jeffrey C.
 McNair, Christopher Louis
 Miller, Marilyn Sue
 Minns, Shelley Ann
 Mohammadi, Fatemeh
 Mohanty, Amiya R.
 Montgomery, Sara Jane
 Newcomb, John Ward
 No, Ann Young
 Norris, Michael Allen
 Okorley, Emmanuel Tetteh
 Owens, Mitchell Douglas
 Palmer, John C.
 Parrish, Anne Marie
 Philip, Vinod Antony
 Pong, Wang Chia-Chi
 Ratliff, Judy Lynn
 Rayens, Mary Katherine O'Neill

Rezaie, Jaleh
 Richins, Richard Dean
 Rood, Deborah Lynne
 Routt, Mary Lou Veal
 Russell, Todd B.
 Salvacruz, Joseph C.
 Scott, Jon Eric
 Searles, Paul David
 Sethuraman, Vijayalakshmi Anatha
 Shan, Minghua
 Shear, Elizabeth Beard
 Shergill, Birinder Singh
 Sloneker, Steven Dean
 Smith, Scott Allan
 Soldevila, Ana Isabel
 Stansbury, Kevin H.
 Stokes, James Martin
 Sulfredge, Charles David
 Sutanto, Agus
 Tandon, Lav
 Tang, Wenliang
 Tang, Yalan
 Thomas, Gerald Arthur
 Tiaif, Syafrin
 Tice, Karen Whitney
 Toler, Steven Mark
 Trabelsi, Brahim B.
 Trawatha, Susan Elaine
 Trice, James Hampton
 Vara-Rust, M. Soledad
 Walmisley-Santiago, Olga Veronica
 Wang, Ying
 Way, Susan Lynn
 Webb, Shelly Eldridge
 Webster, Roberta Haven
 Westerfeld, John Gerard
 Whitaker, Beverly Ratliff
 Wiglesworth, Martin Dale
 Williams, Michael E.
 Woods, Edward Hamilton
 Wu, Sijia
 Xu, Zhigang
 Yan, Riqiang
 Yang, Jidong
 Yang, Zhijia
 Yeary, Paul Edward
 Yingling, Jeffrey David
 Yoblinski, Robert Jay
 Zhai, Zhenming
 Zhan, Shiming
 Zou, Yi

Doctor of Education

Bohn, Cynthia Faye
 Milburn, Steven Dale
 Miller, Jean Alderson
 Peterson, Paul Michael
 Sanders, Jacqueline Barbara
 Vitali, Gary John

Doctor of Musical Arts

Beavers, Clyde Edwin
Sanderbeck, Rande Paul
Smith, Perry D.

Educational Specialist

Akers, Penelope Lynn
Cyrus, Kasundra D.
Henson, Jennifer Sutherland
Kalias, Kathy
Kohler, Mindy Sloan
Reber, David Ray
Sams, Sheila Marie
Sellers, Jill B.
Stump, Donald Charles
Suddath, Carol R. (Gordon)
Thomas, Melissa Faye

Master of Arts

Armendarez, Jennifer Lynn
Atherton, Eric Neil
Beatty, Robert James
Berman, Lee Lucas
Blackburn, Heidi Elizabeth
Boguslaw, Mary J.
Bowden, David R.
Brumagen, Elizabeth Regan
Cagle, Brent Edwin
Cain, James Curtis
Calabrese, John Anthony
Carrico, Charles McChord III
Chesser, Roger Moreton
Chung, Wai-Keung
Combs, Christopher Swigert
Corbett, Joseph Alan
Crandall, David Dennon
Dawson, Sara Kathryn
Dendle, Peter J.
Desai, Tejas Arvind
Dimon, Linda Joan
Dowd, Lois Ruth
Duncan, Mary Susan
Duncliffe, Gail Snyder
Dunn, Caroline Louise
Durall, Sharon Elaine
Estes, Yolanda Denise
Farr, Arnold Lorenzo
Ferguson-Smith, Kathy Berniece
Fightmaster, Jeffery W.
Fitch, John Stuart
Foege, Susan Lynn
Foos, Roxanne Richardson
Foran, Patrick Timothy
Fowler, Harry Eugene
Fream, Anne M.
Gao, Jingwei
Gay, William Richard
Gee, Tracy Anne

Gervase, Ronald Michael
Goff-Yates, Amy Lee
Goodwin, Heidi J.
Graham-Greene, Sherrie Lynn
Griffin, Michael David
Grimes, John Raymond
Gullerud, Nina Charlott
Hale, Brian P.
Hale, Shelda Ann
Hale, Vicki Lynn
Harkins, Mark Alan
Hesseldenz, Peter
Heydir, Laurel
Hoffman, Karen Lorraine
Hong, Jae Hyung
Howard, William Gregory
Humphress, Glenn Owen
Huntsman, Mary Taylor
Ilanovici, Gerald Elan
Isaac, Rebecca Ann
Johnson, Jerry Sanders
Jones, John Travis
Jones, Katherine T.
Keenist, Patrick James
Kim, Song M.
Kraeling, Gunther
Lane, Leon, Jr.
Lewton, Zachary Richard
Lindsay, Kathleen Ann Holtrop
Lorson, Laura Ann
Lovan, Gary Wayne
Lovejoy, Barbara Marie
Martin, Angela Kaye
Matheny, Sarah Margaret
McAllister, Kelli Anne
McDonald, Juliana
Mehanna, Monica Pugh
Muenks, Martha Jean
Occhino, Janet Lynn
Paden, Lawrence Edward, Jr.
Perry, Gregory Allen
Picherit, Gaëlle Marie-Francoise
Popke, E. Jeffrey
Prather, John Randall
Pyle, Christian Lee
Raney, Michael Wayne
Rasnake, Louise Marie
Reeves, Cynthia A.
Rhorer, Marc Alan
Rivera, Ralph W.
Rogers, Jinci Thornton
Sackett, William Graves
Sanurwin
Saufer, Cheryl A. Boice
Schanding, Jeffrey Beckett
Schneider, Paul Gerard
Schueller, Albert William III
Schueller, Laura Mann
Shanks, Chantal

Sherer, Scott Alan
Showalter, Dean Marc
Smith, Janice A.
Sommer, Michael Aaron
Sosby, Brian Anthony
Storck, Jon David
Takahashi, Maki
Taylor, Leo Glenn
Thomas, Catherine Smiley
Thomas, Sharon A.
Tori, Scott Leander
Truitt, Catherine Nova
Unsel, Julianne
Urch, Kathleen Ruth
Vandenhouten, Ronald F.
Vest, Mark Hamilton
von Harten, Laura Lee
Ward, Patrick Christopher
Webb, Lynn Scott
Weikel, Tonya Wagner
Wesley, Gina Collins
Wilson, Molly Costich
Wolfberg, Margaret L.
Zelaya-Azurdia, Jorge Hugo
Zimmerman, Christopher Smith

Master of Arts in Education

Birch, Angela Lynn Carey
Brenzel, Mary O'Meara
Estes, Dixie Davis
Franko, Kelvin Michael
Hisle, Marsha Peel
King, Lou Ray
Long, Wynn B.
McDonald, Joyce Lynn
Powers, Deborah Lynn
Ray, William Christopher
Skaggs, Andra Lynn
Smith, Barbara A.
Stagnolia, Kathi Blanton
Stiff, Jane Dreidame
Ward, Lisa Renee
Windle, Joyce Brown

Master of Arts in Interior Design

Cline, Holly L.
Mora, Arturo R.
Reeves, Hazel O'Rear
Teter, Lura Kathryn

Master of Arts in Textiles & Clothing

Pack, Jamie Hawks

Master of Business Administration

Banks, Andrew Klemens
Begley, Nina L.

Bonfield, William Thomas
 Brian, David Kendrick
 Chadha, Gurmeet S.
 Corle, Gary Douglas
 Cullum, John Philip
 Curry, Gary Wayne
 Darpel, Paul Joseph
 Dawson, Gregory J.
 Donahue, Michael Paul
 Dyk, Warren Stuart
 Erskine, John F.
 Farmer, Blake Hazelwood
 Foster, Kimberly Sue
 Gu, Huaming
 Hair, Victor David
 Heil, Robert Joseph
 Herren, Robert Hamilton
 Hofer, Kari Kathleen Sandgren
 Husin, Lilis
 Inman, Matthew Scott
 Itoh, Noriaki
 Jacovitch, Daniel Nicolas
 Keeling, William David
 Kim, Jai Ok
 Kratzer, Jennifer Lynn
 Kuster, Rebecca Kenney
 Kuzelka, Ales
 Lagana, Gregory E.
 Markesbery, Catherine Kendall
 Marshall, Jennifer Gregory
 McCarty, James C.
 Mehanna, Charles Youssef
 Milward, Elizabeth Shelby
 Motheral, Brenda Renee
 Mulberry, Wayne Dean
 Nunnelle, Ryan Scott
 Olshewsky, Steven J.
 Oney, Anthony Ray II
 Owuor, Jacqueline Awuor
 Read, Mark Thomas
 Roach, David Andrew
 Rowland, Janie Lynette
 Sirkle, Tiffany Jean
 Slade, Susan Rowland
 Smith, Carol Lynn
 Stephenson, Sherry Gail
 Thomas, Steven Douglas
 Thurman, Jeanne Bennett
 Tinnell, Julia Kay
 Tyler, Carol Rene
 Walker, Andrew Ausborn
 Walker, Kenna Alan
 Wallat, Christine Estelle
 Weekley, Kelly Noreen
 Wills, Bradford Dean

Master of Fine Arts

Shannon, Susan G.
 Tomasek, Aimee Beth

Master of Health Administration

Bahr, Debra Elaine
 Kemp, Stephanie Smith
 Kottak, Susan Lynne
 Mitchell, Nancy Lange
 Pugh, Janelle Likins
 Sparkman, Dena Curry
 Warnick, Todd Alan

Master of Music

Balasa, Priscilla Kay
 Cole, John LaMar
 Corcoran, James Ross
 Herrmann, Frederick Charles
 Jones, William Adrian
 McSwain, Nan Gabbert
 Smith, Stuart Randolph

Master of Public Administration

Diah, Marwah Mohammad
 Kanis, Susan Matlack
 Lowry, Kristen M.
 Marcum, Jeffrey Lee
 Mays, Linda Sue
 Miller, Corey Glendon
 Poindexter, Robert Harold III
 Ranz, Thomas Tebbe
 Siegel, Erik Charles
 Spoelker, Jeffrey Alan
 Sutton, Douglas A.
 Sutton, Steven Glen

Master of Rehabilitation Counseling

Davis, Carolyn Carte
 Lawrence, Karen Johnston
 Maguire, Elizabeth Miller
 Stansberry, John J. III

Master of Science

Agrawal, Sanjeev
 Atwood, Leslie Carol
 Bandy, Robert William
 Bartley, Kimberly Ann
 Bell, Michelle Rae
 Besong, Samuel Ayuk
 Bitters, Daniel Clarence
 Bonita, John Anthony
 Brewer, David Randolph
 Chandrasekaran, Shyamala Chan-
 drasekaran
 Chang, Hsiu-Feng
 Clair, Jennifer L.
 Clark, Michael Wayne
 Clark, Timothy Wayne
 Claus-Walker, Debra Barbel
 Cooper, Scott Andrew
 Curran-Hansen, Shelly Lynn

DiPrete, Cecilia Clarke
 Dose, John Matthew
 Dravillas, Mark Constantine
 Dutta, Mrunal Kanti
 Edelmann, Stephanie Else
 Fang, Hui
 Ferguson, Elizabeth Ann
 Gibson, Onecia Moore
 Gilbert, Thomas Dermott, Jr.
 Gill, Scot Aaron
 Gremos, Diane Krista
 Griffin, Peter William
 Guan, Feifei
 Gumpfer, Michael Richard
 Haley, Michael John
 Hampson, Steven Kammerer
 Harper, Deborah Renee
 Hawkins, Dollena S.
 Henkemeyer, Lori Dawn
 Hennessey, Martha A.
 Higgins, Brian Abram
 Hinkle, John Eugene
 Hisel, Gregory Scott
 Holden, James Matthew
 Hourigan, James David
 Hu, Ting
 Johnson, Christine Ann
 Jones, Melanie Suzanne
 Kemlage, Donald Joseph
 Khan, Tarig Masood
 Kingsley, Sundarayya Paulraj
 Lai, Paul Chun Ko
 Lambert, Thomas E.
 Lauer, Daniel Alan
 Leelanivas, Manoj B.
 Li, Weihua
 Liles, Tammy Jo
 Lin, Bor-Chyi
 Lin, Wensheng
 Lockridge, Diana Sisken
 Luo, Feng
 Lyamani, Ahmed
 McClurg, Carol Ann
 McCoy, Joe Edward
 McDuffie, Kathleen Kennedy
 McGovern, Valerie Mary
 Mills, Timothy Paul
 Montgomery, James Maxwell, Jr.
 Moondhra, Rajeev
 Moore, Robert Dunham
 Moss, David John
 Mudd, Phillip Greg
 Nair, Prakash Narayan
 Neville, Franklin R.
 Newcomb, Samuel Thomas
 Newsom, Michael Alan
 Paxton, Mark Steven
 Pirc, Karen S.
 Potts, John Mark

Rajagopal, Jyothi
 Ramasamy, Kolandavel
 Ransky, Katherine Ruth
 Sadr, Amir
 Satyanarayan, Mallur Narayan
 Savage, Jo Michelle
 Smith, Belinda Maness
 Smith, Stephen Paul Leroy
 Snell, Jeffrey Donald
 Snyder, Michael Scott
 Sommer, David A.
 Stevens, Ishmael Worth, Jr.
 Strock, Michael D.
 Sudan, Madhu
 Taylor, Page Boisseau
 Thurston, Barbara Mae
 Tutt, Dwayne Lavone
 Vajrapong, Atcharavan
 Vogler, Patrick D.
 Wang, Pinger
 Wang, Zhenming
 West, Kristopher Yerian
 White, Jonathan D.
 Whitis, Lisa Ann
 Whittler, John Dallas
 Woolery, Edward W.
 Yates, Carmella Pasqualini
 Zhang, Bing
 Zhao, Xueling

Master of Science in Accounting

Bootze, Gwendolyn Gayl
 Charnas, Marc Brian
 Hays-Haun, Robin Susette
 Holt, Ronda Sue
 Rexroat, Mark Lee
 Vertrees, Robert Dyche

Master of Science in Agricultural Engineering

Chi, Hsien-Chung
 Inamdar, Shreeram Prabhakar
 Xu, Mengying
 Zhang, Xiao-Xing

Master of Science in Agriculture

Khan, Ali Ayaz

Master of Science in Biomedical Engineering

Hilaire, Luna
 Tajaddini, Azita
 Wang, Ping Ming

Master of Science in Chemical Engineering

Krishnaswamy, Ranjendra Kumar
 Schieche, David Ralph
 Strange, Sue Henson

Master of Science in Civil Engineering

Anis
 Blankenship, Phillip Benjamin
 Gupta, Pratyosh
 Hogan, Keith Joseph
 Megibben, John Edward
 Philbin, Jason Vincent
 Qu, Mingbo
 Smith, Larry G.
 Spradlin, Steven Dale
 Terrell, Laura B.
 Yankey, Gregory Alan
 Yu, Di

Master of Science in Clinical Nutrition

Moles, Elizabeth Kay Holt
 Quarrick, Patricia Jean
 White, Peggy Marie

Master of Science in Dentistry

Sims, Robert Edwin
 Sutor, Mark Allen
 Wahle, John Philip

Master of Science in Education

Ballard, Sherri Patrice
 Bird, David Andrew
 Brown, Jana L.
 Brown, Lisa A.
 Brumett, Gail Hoover
 Campbell, Susan
 Champe, Teresa Martin
 Clevenger, Joy Renee Tabor
 Collins, Robert Vincent
 Delaney, Lynn A.(Becker)
 Dolsen, Dennis L.
 Douglas, Karen J.
 Feringa, Elizabeth Anne
 Gilliam, Walter Scott
 Goggin, Susan Rosson
 Hamilton, Gary Duncan
 Harris, Carol Crider
 Haydon, Mark Rice
 Hobbs, Sharon D.
 Honchell, Robyn Cherae
 Jackson, Kevin Francis
 Jackson, Lisa E.
 Johansson, Helen Kari
 Koontz, Terri Lynn
 Kryza, Susan
 Land, Lou-Ann Elizabeth
 Lo, Tak Yun (Chester)
 Long, Donald James
 Maciag, Karen Sue Gibson
 MacKethan, Jennifer Lynne Burgoyne

MacKinnon, Laura Corinne
 Marshall, Elizabeth Lindsay
 Miracle, Jennifer Ann
 Mitchell, Marianna Tye
 Moore, Kevin Christopher
 Munakata, Kyoko
 Myers, Patricia Lynn
 Myneer, Daniel D.
 Peach, Harold G., Jr.
 Rous, Beth Shanks
 Sanders, Barbara Gambill
 Schrodt, Mary Rita Curran
 Stone, Katherine Lee
 Strawn, Charles Coleman
 Tolliver, Lisa Anne
 Wertz, Janice Jean
 Whitis, Gregory Eldon
 Williams-McCormack, Deborah J.

Master of Science in Electrical Engineering

Ahmad, Mohammad Afzaal
 Blanton, Dewey III
 Brandt, Jeffrey Martin
 Embry, Jonathan Ashley
 Fan, Guoliang
 Fessler, John Thomas
 Irons, Bryan Todd
 Khan, Muhammad Jafer
 Manjeshwar, Vidya G.
 Marra, Michael Anthony
 Moore, Wilda Emily
 Nagarkoti, Anil Kumar
 Nicholson, Marvin III
 Quach, Hoa
 Ransdell, Peter Andrew
 Sahoo, Surjit
 Shahab, Tauha
 Sivanesa, Balasubramanian
 Vittitoe, Neal Fredrick
 Wang, Yan-Zhao
 Westerfield, Eric Wayne
 Wheeler, James Allen
 Zhang, Feng
 Zhao, Wei Ning

Master of Science in Family Studies

Boggs, Julia Long
 Brown, Gayle Brooke
 Combs, Sheree Stewart
 Cramer, Carol A.
 Harig, Tonya Rene
 Lee, Martha Wright
 Masterson, Amy Rebecca
 Robinson, Linda King
 Sturgill, Leslie Fendley

Master of Science in Forestry

Hines, Martina
Mauro, Richard Joseph

Master of Science in Library Science

Allen, Lisa Margaret (Volk)
Banoun, Susan Merrill
Barkley, Donna Joy
Barnett, Tanya Rose
Barnett, Wendell Clayton
Bien, Denise Lynn/Zentmeyer
Daole, Susan Ross
Donovan, Deborah Jane
Doyle, Laura Marie
Fernandes, Mary Anne Rose
Halcomb, Whitney Diann
Havlick, Wendy Cheryl
Hicks, Emily Anne
Hockensmith, Ronald Douglas, Jr.
Hudson, Mary Carol
Johnson, Adeline Eva
Kirsch, Hannah Katherine
Kreider-Greifenkamp, Katherine
Marksberry, Alice Lynne
McKee, John Haney
Moore, Susan Marie
Murrish, Carol Elizabeth
Orr, Linda Pratt
Rambo, Elinor Emery
Roy, Mary Esther Round
Sardone, Andrea P.
Sexton, Amanda Leigh
Slye, Virginia E.
Smith, Lisa Jane
Staples, Joan Elizabeth Velten
Swanson, Linda M.
Tate, Debbra F.
Vandegriff, Karen Elaine
Vinales-Simpkins, Laura Elena
Wood, Olga Deanna

Master of Science in Materials Science and Engineering

Allen, John Jeffrey
Hatfield, Steven Lee
Jordan, Ronald Glen
Kelly, Brenda Marie
Lewis, John Carl
Mayes, Jessica Laurel
Moore, Richard Michael
Mukherjee-Roy, Moitreyee
Rock, Christopher Dewayne

Master of Science in Merchandising, Apparel & Textiles

Conner, Beth Campbell
Glass-Lamb, Tracey Robin Wilson

Master of Science in Mechanical Engineering

Baker, John Reece
Cartwright, Jerry Lee
Huang, Kuo-Lin
Lee, Seog Shin
Liu, Xiaocheng
Martin, Bryan T.
McIntyre, Lloyd James
Miller, Brian David
Um, Jae-Yong
Wang, Liyan
White, David Howard
Zhong, Xiaochun

Master of Science in Mining Engineering

Hogue, J. Scott
Paradkar, Atul Chintaman
Potter, Terry Caudill
Wang, Yen Xie

Master of Science in Nursing

Arnold, Joan Carman
Bastin, Judith Phelps
Bentley, Hazel
Brooks, Audricia Iral
Collins, Lisa Meshell Carlile
Elam, Judith Murray
Green, Nancy Lawson
Land, Anna Marie
Pearson, Jennifer Mary
Pilet, Maura Kay Marler
Powers, Patricia Harrison
Renfroe, Rebecca Salisbury
Rickerson, Pamela Jordan Freeman
Schadler, Laure Ann
Schneider, Judith Ann Fisk
Thacker, Teresa Anne
Young, Sarah Elizabeth

Master of Science in Operations Research

Balasubramanian, Ramprasad
Eisnaugle, Lori Annette
Hemming, Christopher Charles
Hess, Julie Ann Buchanan
Kashefbazzazi, Kazem
Wang, Yashan

Master of Science in Public Health

Early, Karen Lee Ryan
Kazmers, Andris
Levine, Eric Jay
Mi, Xiafang
Ombres, Deena Gabrielle
Prince, Timothy Scott
Reese, Clyde Albert
Saluja, Gurpreet Kaur
Smith, LaMont DuPrey
Struttmann, Timothy William

Master of Science in Physical Therapy

Schwartz, Paul Douglas
Tomsich, David A.

Master of Science in Radiological Medical Physics

Costanzo, Debra Ann
Gregory, Robert Charles
Lu, Cen

Master of Social Work

Averett, Mark G.
Barsch, Lisa Johnson
Burton, Kathleen Marie
Conboy, John T., Jr.
Cox, Anne J.
Culp, Phyllis Elaine Payne
Davis, Wanda Jean
Dawson, Sherri Valynn
Downing-Pass, Mary Ann
Due, James Michael
Dumas, Dortha K. Hoehn
Eason, Margaret Anne Wier
Edwards, Michelle Marie
Fister, Rebecca Anne
Gimble, Barbara Jo
Greer, Julie Ann
Groeber, Christopher Todd
Groeber, Jeannette Jackson
Hanson, Tiffany Anne
Hedges, Kelly E.
Helm, Marlo
Ingram, D. Carol
Johnson, Hiram Keith
Kinney, Laura Marie
Lacinak, Michael J.
Lazzari, Lori Weiting
McHugh, James Allen
Ott, Gail McCollum
Pattengale, Cathrine Lillian
Phipps, Jan Bishop
Pierce, Michael DeJuan
Price, Roy Stephen

Rapier, Jennifer Ann
 Reynolds, Theresa Sue
 Rice, Joanne M.
 Ringenberg, Matthew Carey
 Ruggles, Rita Karen
 Sibley, Angela R.
 Silman, Miriam Sandra
 Simpson, Marie Jo
 Smith, David Lee
 Smith, Ramon Edward
 Steddom, Joy Ellen
 Sutter, Angela Dee
 Taylor, Margaret Lischy
 Thomas, Derrick L.
 Thompson, Michele Lynn
 Uber, Gary Paul
 Valentine, Cheryl Jean
 Wilkerson, Ken M.
 Wilkinson, Carolyn C.
 Wingate, Sue Downing
 Wingfield, Kathy House

College of Law
Dean: Rutheford B
Campbell, Jr.

Juris Doctor

Allen, Sharon Kay
 Armstrong, Steven Ray
 Backer, Lucy Kendrick
 Baechtold, James Walter
 Banks, Darrin Winn
 Barfield, Mary Gite
 Bartrum, Thomas Earl
 Bender, Sarah Llewellyn
 Bengé, Douglas Glenn
 Bifone, Christopher Paul
 Bostrom, Andrea Nicole
 Bottoms, Sheila Dawn
 Bowser, Valeria V.
 Brannon, Marie Kirk
 Breetz, Matthew Warren
 Brock, Martha Morgan
 Bromagen, Donald Allen
 Broudy, Ron M.
 Byers, Keith Alan
 Carey, Janean Elizabeth
 Carr, Kevin Scott
 Caudill, William Kelly
 Cauthen, Douglas Edwin
 Chatfield, Lloyd Charles II
 Chatfield, Shelley Darrell
 Childers, Todd Kevin
 Ciccarelli, Michelle Marie
 Clark, Clifton Burchell
 Clark, Kimberly J.
 Clemons, Earl Steven

Cohron, Peter Pruitt
 Cosby, Sylvia Louise
 Costich, Julia Field
 Coy, Russell Roberts
 Crosby, Jerry David II
 Damron, Scott Michael
 Darpel, Paul Joseph
 Dathorne, Alexander Franz Keith
 DeFlorio, Catherine Ann
 DeMaria, Christine
 Desmond, James Douglas
 Doggett, Pamela Kay
 Dolan, John A.
 Donnell, William Thomas
 Duncan, Pamela McGill
 Edwards, Chad Evans
 Ehrman, Christopher C.
 Elmore, Andrew W.
 Evans, Mary Margaret
 Faughn, David Thomas
 Fields, James Follace II
 Fleming, Brian R.
 Foree, Molly Chilton
 Fraley, Connie Gail
 Franck, Douglas Charles
 Gault, Patrick William
 Gibb, Matthew Alan
 Gröss, Barbara Leigh
 Guenther, Scott Michael
 Hagan, Laura Marie
 Hampton, Jane Osten
 Harrell, Christopher Malin
 Hatfield, Dionna Lynn
 Helmers, John Harold, Jr.
 Henderson, Douglas Alton
 Herb, Lisa Michelle
 Heuke, Robert Carl, Jr.
 Hiestand, Sheila P.
 Hoehler, Jeffrey Carl
 Holbrook, Paula Jane
 Horn, Michael Keith
 House, Laura Leigh Witt
 Howard, Leland R. II
 Hughes, Stefan Richard
 Hutchins, Theodore Sherman
 Hyland, Molly Patricia
 Imboden, Karen Woodall
 Irving, Karen Leigh Whitmer
 Johnson, William E., Jr.
 Jones, David Lewis
 Jones, Jennifer Ann
 Jordan, Stephanie Anne Watson
 Keenan, Dennis James III
 Kemper, William Douglas
 Kunkel, Kenneth Russell
 Kincheloe, Laura Anne
 Koebel, Ladonna Lynn
 Kuster, Rebecca Kenney

Lang, Elizabeth Alley
 Lewis, Kristy Marie
 Lindenfeld, Mark Edward
 Lowe, Cynthia Kay
 Mangeot, Kathy Robinson
 Martin, Jeffrey Michael
 Marwitz, Jennifer Gauvin
 McCall, Courtney Lynn
 McClure, Daniel Ross
 McGee, William Francis, Jr.
 Miller, Carolyn Maureen
 Miller, Phillip Eugene
 Niemeyer, Jonathan David
 Oliver, Brandy Young
 Olshewsky, Steven J.
 Orme, Shaun Thomas
 Pack, Brian Keith
 Paul, Christopher James
 Peace, Rosanna Lee
 Pickard, Ralph Carman, Jr.
 Polly, Mary Page
 Prewitt, Henry Caywood, Jr.
 Pyle, Gail Luhn
 Ragland, Shannon P.
 Ragone, Brennen Cavanaugh
 Raymond, Michael Perry
 Reisman, David Allen
 Rhoads, Bradley Page
 Robertson, William Craig III
 Roland, Marcus Anthony
 Ross, Roger Frank II
 Rosso, Shawn Marie
 Salyer, Jane Ann
 Samuel, Deborah Paula
 Schisler, Scott Allen
 Scott, Valerie Kay Gilliam
 Scott, Virginia Elaine
 Sears, Susan C.
 Seppanen, Christopher Robert
 Shearer, David Alfred, Jr.
 Simms, Charles C. III
 Spears, Jeffrey Lennon
 Sprague, Shelly Ann
 Stambaugh, William Carl
 Steinberg, Andrew Hale
 Stinetorf, James Roscoe
 Stonecipher, Hugh Brettelle
 Stout, Thomas Scott
 Tolbert, Tobey Arvel
 Turner, Joseph Peter
 Turner, Sandra Leigh
 Vanhooose, Lori Susan
 Vasseur, Richard Brent
 Vincent, Gregory Royce
 Walker, Kenna Alan
 Whalen, Daniel James
 Whittington, Joseph Paul
 Williams, Gregory Lee

Willis, Clint Grainger
Woosley, Kirk Douglas
Wright, Roger Goodin
Yates, Donald Ray II
Yonts, Mark Edward

College of Medicine

Dean: Emery A. Wilson, M.D.

Doctor of Medicine

Adams, Tony Clay
Baker, Carl Wakefield
Bealle, David Paul
Beaty, Mark M.
Becherer, Thomas A.
Bishara, Sherine Mahfouz
Bosomworth, David P.
Bosomworth, Rebecca Gentry
Bradley, Ann Linnette
Browne, Louis Patrick
Bryan, Bradley Thomas
Bush, George Wayne
Bush, Moria Nichols
Bushelman, Susan
Carter, Richard Alan
Catron, Charles P.
Clare, Lee Stanton, Jr.
Cowherd, Rhonda Gail
Crowe, William Ray, Jr.
Davidson, Randall Potter
Dome, David Christopher
Durham, William R.
Dye, Tammie Jo
Findley, Mary Denise McCarley
Fitz, Robert Mark
Gallagher, Thomas J.
Gallenstein, George LeRoy III
Gleick, Jeffrey Blake
Griffen, Margaret Mary
Gross, Mark Lee
Hall, Debra Karen
Hamill, John William
Hammond, Rebecca Dawn
Hathaway, Mark Joseph
Hay, Susan Ann
Henson, Derek Kyle
Hoffman, Brian Dennis
Hoffman, Roger Scott
Honaker, Bryan Michael
Huang, Michael Hsu-wei
Hunley, Stephen O.
Jacobs, Paul Allen
Kalfas, Mina C.
King, Kyle Joseph
Land, Gina Long
Lovins, Carol Maria
Lynd, Howard Wesley
Marshall, John Willis

McCoy, Lisa Waggoner
McFarland, Walter Gregory
McGary, William Brett
McGinnis, Kevin Thomas
McKinley, Yvonne Hurt
McKinney, Michael Lynn
Miller, Robert Clell
Montazemi, Robert K.
Morgan, Lisa Brooks
Morris, Shanda Lee Jones
Mullins, John Marshall
Mullins, Traci Joele
O'Hara, Peggy Elizabeth
Osborne, Carmela Gayle
Pittman, George Michael
Preston, Helen Music
Price, Richard Daniel
Reedy, Harold William, Jr.
Richerson, Julia Ellen
Riney, Jeffrey Lynn
Ringley, Kimberly Renee
Roth, Thomas Steven
Sanford, David G.
Schroeder, Sharon Lynn
Schumann, Edward Troy
Sherratt, Amanda Jane
Smith, Salli Elaine
Soo, Cheng-Lun
Stathas, Teresa Marie
Stormzand-Murphy, Susan Renee
Stracener, Windel A.
Strother, John Edward
Taylor, Harry Hayes III
Taylor, Maria Toon
Tussey, Grover Mark
Wester, Daniel Cooper
Wilson, Emily Ellen
Winters, Jeffrey Lawrence
Wright, Peter Dedrick

College of Dentistry

Dean: David A. Nash

Doctor of Dental Medicine

Arnold, Paul Evan, Jr.
Babaimoradi, Loretta Noble
Baldwin, Rhonda Michelle
Blankenship, Susan Dawn
Brown, George Thomas, Jr.
Campbell, Roger Darrell
Catron, Tammra Sue
Clark-Howard, Sandra René
Cotton, Katherine Tudor
Courtney, Ricky Blair
Cox, C. Todd
Damron, Stafford Russell
DeVries, John Proctor
Disponett, John Patrick

Dixon, Eric Darren
Doss, Brian Edward
Doyle, Sean Kendall
Durham, Sharon Lynn
Flaughner, Kimberly Ann
Griffith, Bryan Alan
Hayden, James Patrick, Jr.
Johnson, David Thomas
Johnson, Lucien Samuel III
Larkins, Teresa Kay
Lee, Elizabeth W.
Lenox, Rebecca Ann
Mirkhan, Roya Nazila
Mobley, Eric Dunigan
Napier, Mary Samantha Shepherd
Palmer, Nina Hughleen Henson
Passidomo, Daniel John
Pope-Burns, Sally Ann
Ranjbar, Shahla K.
Royse, Deborah Denise
Schneider, Joseph Price
Shepherd, Ronald Douglas II
Snyder, Bill D.
Stephens, Kevin Clay
Stewart, Mark Richard
Tackett, Rebecca Lynn
Vanstone, Troy Allen
Wiggins, Cassady Barr

College of Pharmacy

Dean: Jordan C. Cohen

Doctor of Pharmacy

Akers, Jeffrey L.
Anderson, Eric Gregory
Boggs, Charles Bruce II
Botts, Sheila Renee
Brandon, Annisa Gail
Calhoun, Gina Yvette
Carrico, Melinda Ann
Caspar, Brenda Marie
Day, Henri Clare Raven
Flores, Linda Ann
Ford, Kimbal DeVaughn
Gore, Charles Lenwood II
Grunewald, John Joseph
Hawse, Jill Robertson
Hughes, Melody Anne
Johnson, Jeffrey Gene
Kemp, Barbara Abbott
King, Andrea Lea
Lane, Matthew Thomas
Magerkurth, Ann
Ramser, Emmett Charles, Jr.
Rockich, Kevin Thomas
Skane, Gayle Rosanne
Varble, Robin Scott

Whitaker, Kimberly A.
Wilkerson, Lewis D.
Willenbrink, Stacy Lynn
Woodward, Michael Alan
Yahya, Ayman Fathi

Bachelor of Science in Pharmacy

Adams, Tod Allen
Applegate, Laura Anne
Babb, Lisa King
Bailey, George Harold
Beeler, Joseph Waitmon
Bentley, Karen S.
Berry, Christy L. Puckett
Burnett, James David
Cain, Robert E.
Church, Jennifer Lynn Osborne
Daniels, Paul Arthur
Davis, Shelley LeeAnn
Dittert, Steven Daniel
Dunaway, Everett L.
Dunaway, Portia Hall
Edelen, James Christopher IV
Ellis, Darla Denise
Ewing, Jill Michelle
Floyd, Virginia Sue Newman
Gostomski, Amy Ruth Anne
Graham, H. Scott
Graham, Larry Wayne
Graham, Lisa Faye Head
Haley, Tracy Michael
Hardison, Mary Ann
Hartsfield, Cynthia L.
Henderson, Teresa J.
Keaton, Lesa Lynn
Kennedy, Lisa Jenine
Kennedy, Shannon Marie
Kinnarney, Karen Theresa
Lawson, Donia Ann
Lockhart, Anthony Albert
Loudermelt, Melody Ann
Mann, Tamatha Ann
Mayes-Farhat, Lisa Lynn
McKenzie, Cassie Leigh
McKinney, Laura Leanne
Mullins, Scott Nevale
Music, Elesia Simpson
Perry, Kathy Lynn
Porter, Robert Duane
Reiss, Deborah Cecelia Gahlinger
Rice, Herbert Wayne
Roark, Kristie Michelle
Rogers, Angela Sue
Rose, Barry Livingston
Saluja, Raminder Kaur
Samosky, Sara Mary
Sizemore, Michael Scott

Smith, Sophia June
Stemm, Margaret Kelli
Sturgill, Christina Lowe
Swift, Teresa Darlene
Taylor, Cindy Michele
Taylor, Rebecca Lynn
Thacker, Mary Linda Lee
Thompson, Elizabeth
Tucker, Jill Crouch
Watkins, Shawna Lea
Wells, Elizabeth Oakley
Wheatley, Kimberly Ann Devers
Wheeler, Claire A.
Whitt, Melissa Boggs
Williams, Cynthia Roann Begley
Woodby, Sarah Jones

College of Agriculture Dean: C. Oran Little

Bachelor of Science in Agriculture

Abernathy, Greg M.
Archdeacon, James G.
Arnold, Andrew Perry
Ash, Todd Patrick
Atkerson, Crissy Ann
Auslander, Gillian Paige
Baker, Lee Ann, Bargersville
Ballard, Patrick Jerome Jr.
Berrisford, Robert Sheldon, Jr.
Bowen, Walter Scott
Brown, Elizabeth Ann
Bunzendahl, Elizabeth Jane
Butler, Marshall Lee, Sr.
Cannon, Dennis Lowell
Carlin, Shannon Kay
Carlton, Anthony Wayne
Coulter, Kimberly Armstrong
Croshaw, David E.
Deibel, Robert H. III
Doss, Steven Campbell
Dunn, Perry Lee
Ewing, William T.
Ferris, Corinne L.
Gillilan, Tracy D.
Graves, Lori Ann
Greenwell, Joseph Michael
Griffin, Tod Allan
Hafer, Amy Lynette
Hanif Khan, Shahabuddin
Hart, Jerry Paul
Hartley, Kelly Lynn
Hayes, Richard A.
Hearne, Michael C.
Heil, Carolyn Barbara
Henderson, Lisa Nichole

Herring, Mark Alan
Hix, Barry Gene
Jacobs, Robert Alan
Jolly, Gary Ray
Kim, Chu Y.
Kreuger, Betty
Lyon, Gregory Shane
McCord, Andrew Cassell
McCuiston, Patrick Wayne
Murphy, Kimberly Dawn
Oldfield, Thomas A III
Pace, David Wilton
Porter Wurth, Valerie April
Powers, Brian Keith
Prewitt, Mary Elizabeth
Reboul, Robert M.
Robertson, Tracy Ray
Royalty, John Thomas
Sanders, Michael Thomas
Schalk, Christopher Anthony
Schmitt, Robert Joseph
Scowby, Denise Anne
Sims, Vernon Anthony
Smith, Keaton Bradford
Smith, Traci Leigh
Stephens, Darrell Dwayne
Stewart, Ashley Marie
Strother, Morris Linney
Thompson, Ed Lewis, Jr.
Thurman, Phoebe Lea
Ward, Samuel Brent
Weaver, Mark William
Welty, Brent Douglas
White, Darren
Whitt, Stephanie Renee
Williams, Angela R.
Williams, Brenton Burks
Williams, Kimberly Ann
York, Stephen Ray

Bachelor of Science in Forestry

French, Ricky A.
Lane, James St., Jr.
Leroy, Cynthia Louise
Morris, Darren Lynn
Pope, John Scott
Southworth, Timothy Vaughn
Vernon, Christopher Jay

Bachelor of Science in Landscape Architecture

Corum, Donavon Craig
Gootee, James Kent
Graf, Jason Nathaniel
Kahly, Rory Alan
McGalliard, Scott O'Neil
Meloncon, James Todd
Motheral, Robert Chadwick

Palmeter, Todd E.
 Pesto, Robert Donald, Jr.
 Price, Ronald Thomas
 Southall, Brian Scott
 Stoddart, Dean Francis
 Walker, Donna Lynne
 Wooley-Moreland, Jane Murray
 Zinner, Daniel Joseph, Jr.

College of Allied Health

**Dean: Thomas C.
 Robinson**

Bachelor of Health Sciences

Adams, Bill
 Adams, Charles Wilson, Jr.
 Adkins, Troy Morris, II
 Arnett, Stephanie Ann
 Bailey, Kathy Elizabeth
 Beam, Lisa Lemley
 Belcher, Mary Suzanne
 Bouvier, Elizabeth L.
 Branson, Daneque La'Beau
 Brassfield, Margaret Rae
 Bruner, Kristin D'Laun
 Carter, Nancy Dianne Johnson
 Collins, Kathleen Ann
 Conley, Kathryn Jane
 Couch, Joel E.
 Craig, Angela Kay
 Craycroft, Kimberly Ann
 Croley, Elizabeth Marie
 Dawson, Leah Jean
 Deatherage, Patricia Marie
 Dolsen, Dennis
 Dryden, Nan Norris
 Durham, Lara Lee
 Epperson, Susan Laryssa
 Fleck, Pamela Lynn
 Foreman, Melissa Ann
 Fortner, Katherine Faith
 Gaskin, Warren Craig
 Gibson, Angela Marie
 Hagley, Cnynthia Diane
 Harvey, Kendra
 Hidalgo, Giovanna Elena
 Hockoden, Ami Sue
 Holt, Isa Ryan
 Howell, Anna Marie
 Huffman, Cheryl E.
 Jenkins, Sara V.
 Karam, Carolyn Sue
 Kempf, Traci Lynn
 Kennel, Jill Marie
 Mathews, Robert Eugene, III
 McIntire, Elizabeth Ellen
 McKinney, Kimberly Ann
 Monroe, Melissa Renee

Morgan, Jennifer Faith
 Muller, Gretchen Elizabeth
 Nash, Rique
 Oberlick, Jennifer Lynn
 Pennington, Alisha Marie
 Perkins, Katherine Ophelia
 Perkins, Renee Marie
 Rainey, Alicia Nicholle
 Ramey, Robert L.
 Redmon, Melissa Dannheiser
 Redmon, Tina Lee
 Rice, Sara Margaret
 Riggs, Kristin Loree
 Roach, Jennifer Michelle
 Roark, Leigh Plummer
 Roby, Tina Louise
 Rose, Patrick John
 Rosenbaum, Ann Auburn
 Ruyl, Elizabeth Lynne
 Sanborn, Cathy Lynn
 Settle, Traci Ann
 Sexton, Mary Angela Lawson
 Simpson, Tonya Lee
 Sottarelli, Marla Ann
 Storts, Lesley
 Thomas, Ann Holland
 Tippet, Denise Leigh
 Vinson, Rebecca Elizabeth
 Walters, Stacie Anne
 Waters, Christa Suzanne LaFrana
 Wicks, Shilo Rashell
 Widner-Newton, Karen Gale
 Williams, Barry Moran
 Winkler, Jeffry Charles
 Woodrum, Timothy Brad

College of Architecture

**Acting Dean: Clyde
 Reynolds Carpenter**

Bachelor of Architecture

Adams, Donald Leroy
 Arthur, Tina Louise
 Ausbrook, Kevin
 Banta, Paul Joseph
 Barkley, Andrew Holton
 Becherer, Michael Edward
 Bennion, Stephen Christopher
 Dale, Christina Ann
 Dierig, Christopher David
 Donneily, James Kenneth
 Downie, Christopher Mitchell
 Dye, Joseph Forrest Earl, Jr.
 Fain, Charles Brian
 Giles, Thomas Mason
 Ginter, Monica Gayle
 Hargett, Teresa Gail
 Harper, William David

Hempel, Stewart Winn
 Hickey, Thomas Joseph, Jr.
 Hill, Daniel Benjamin
 Hunter, Jon Mitchell
 Johnson, Cynthia Enid
 Jones, Catherine Elizabeth
 Lay, Larry Travis
 Lei, Chin-Yuan
 Leocata, Michael Stephen
 Lester, Elizabeth Seldon
 Mathews, Sean Austin
 Mohiuddin, Aasiya
 Morris, Malcolm Graeme
 Murray, Heather Patrice
 Musgrave, Ashley Gaye
 Nash, William Hancock
 Nguyen, Anne
 Owens, Jeffrey Lewis
 Peterson, Richard Thomas
 Rider, John Carlos
 Robertson, William Gary
 Russell, H. Michael
 Sparkman, Michael Dwayne
 Ware, Jonathan Allen
 Walters, Scot Anthony
 Weaver, F. Christine
 Weckwert, Edward Ernest
 Zaehring, Theodore Albert, Jr.

College of Arts & Sciences

Dean: Richard Edwards

Bachelor of Arts

Abner, David Bryan
 Adams, Marianne Michelle
 Adkins, Leslie Kaye
 Ahmad-Basri, Rozzana
 Allen, Christopher Morgan
 Allen, Matthew Hilt
 Amburgey, Judith Ann
 Amshoff, Donna Lynn
 Andrews, Rebecca Lockett
 Arnett, Mary Elizabeth
 Arulogun, Quapheelat Olushola
 Campbell
 Ashcraft, Gregory Daniel
 Aubrey, Donald Joseph
 Azinger, John Patrick
 Baker, James Marshall
 Bandy, Harold Anthony
 Bannister, Michael S.
 Barnett, Cynthia LeAnn
 Barnett, Patrick Andrew
 Barrios, Carmen Carvajal
 Barton, James B.
 Baseheart, Bryan Joseph
 Bates, Timothy Cobert
 Bayes, Brian Keith

Bayless, Cynthia Ann
 Beam, Mark Edward
 Beavers, Jami Lynne
 Bekish, John Paul
 Bingham, Gregory Donald
 Bishop, Paul Brian
 Blackmon, James Bernard
 Blackson, Maurice R., Jr.
 Blair, Christopher Russell
 Blankenship, William Brian
 Blanton, Jimmy Herbert
 Bottorff, Christine Marie
 Boucher, Amy Elizabeth
 Bourne, Ginger Ellen
 Bowers, Kellye Jo
 Bowling, Ramona Lynn
 Boyles, Jeffery Edward
 Brackney, Katrina Gunvor
 Brasfield, Heather Lyn
 Brock, Anthony Ray
 Brophy, Donald Thomas
 Brosick, Terri Suzanne
 Brown, Christopher Michael
 Brown, Holly Ann
 Brown, Jeffrey Lynn
 Bryan, Kathlyn Allen
 Buck, Matthew Alan
 Burlew, Daniel Marshall, III
 Caldwell, Elisa Joan
 Callaway, Laura Markham
 Calvert, Tiffany Sue
 Carnahan, Kelly Jo
 Carroll, Jeffrey Shannon
 Carter, Nancy Elizabeth
 Cecil, Darin R.
 Chandler, Paul Christopher
 Chowning, Jared Wayne
 Clark, Marianne Hall
 Clark, Michelle Lynn
 Clay, Christopher Crayton
 Cobble, Julie Anne
 Cole, Krista Lee
 Collinsworth, Mark
 Compton, Joe Wayne, II
 Cooper, Andrew W.
 Cooper, Jeffrey Michael
 Corey, Ronya Ann
 Cornett, Jackie Yvette
 Cornett, Norman Alan
 Cornett, Tamara Lynn
 Cox, Graham Jochen
 Crabtree, Lisa Anne
 Croley, Paul Kenneth, II
 Croley, Timothy R.
 Crosbie, Scott Alan
 Crutcher, Michael Eugene, Jr.
 Cudzilo, Christopher Leonard
 Cummane, Nora Eileen
 Cunnagin, Michael Christopher

Curry, Glenda Marie Katherine
 Dalton, David Louis
 Daniels, Stephen Preston
 Daugherty, John Russell
 Daugherty, Wayne C., Jr.
 Davis, Hayley Luisa
 Dean, Theodore Swenson
 DeHaan, Gary Norman
 Dell, Harry Walton
 Denomme, Crystal Anne
 Doepker, Christie Marie
 Dowling, Marci Lane
 Downing, David H.
 Dcyle, Wendy Michelle
 Dozier, Sara Neil
 Drake, Karen Lynn
 Duncan, Jody Lee
 Duncan, Kenneth R.
 Eads, David Stipp
 Earley, Robert Burke
 Elliott, Michael Troy
 Ellis, Sean Manning
 Emily, Erica Elaine
 Engle, Brian Wayne
 Ennu, Sunitha
 Enochs, Laura Susan
 Epperson, Tracy Leigh
 Esham, Lori Beth
 Espy, Sean Patrick
 Essid, Michael Christopher
 Everman, Deborah Kash
 Fain, Julie Ann
 Fannin, Jennifer Ann
 Farmer, Stephanie Marie
 Farris, Ann Elizabeth Mills
 Fauber, Keli Elizabeth
 Faul, Leigh Anne
 Fenwick, Paul E., Jr.
 Forish, David Stowe
 Foster, Gary Neil
 Fox, Steven Bradley
 Frank, Sandra Kinney
 Frye, William B.
 Fuqua, Jason Franklin
 Gabbard, Michael Wayne
 Garland, Julie Lynn
 Gibson, Emily G.
 Gibson, Stacy Eugenia
 Gibson, Tonya Renee
 Gilkerson, Grant Kristian
 Gillum, Melinda Elizabeth
 Gilmore, Rebecca Marie
 Gilpin, Helen Fay South
 Goheen, Brad
 Gordon, Jennifer Goff
 Gough, Charles D.
 Gover, Carla Paulette
 Graves, Timothy William
 Green, Christopher Molloy

Green, Mitchell Dru
 Griffith, Cameron Brooks
 Grizzell, Melissa Edna
 Grugin, Jerry Lynn, Jr.
 Guo, Tinglei
 Hackworth, Paul Kevin
 Haden, Dawn Marie
 Hagewood, Brent M.
 Hall, Daniel Nelson
 Hall, Lisa Susan
 Halsey, Jeffrey Fields
 Hanks, Julia Heather
 Harmon, Matthew Scott
 Harms, Melissa Lynn
 Harrod, Steven Brown
 Harshman, Kim Renee
 Hartman, Hope Leigh
 Herald, Timothy D.
 Hibbard, Timothy Henry Tollie
 Hicks, Katherine Anne
 Higgins, James Joseph, Jr.
 Higgs, Lora Ellen
 Hill, John Thomas, II
 Hinkley, Richard Jeffrey
 Hodges, Raymond Albert, Jr.
 Hoffman, Todd Patrick
 Hold, Stephanie Lynn
 Holland, Darin Len
 Hollis, Christopher Wayne
 Hollowell, Chris B.
 Holt, Alan Brewer
 Honaker, Neil Travis
 Hopkins, Hillary Lloyd
 Hopkins, Steven Franklin
 Hubbuch, Tara Michelle
 Humes, David Edward
 Ingle, Jay Edward
 Ingold, Tammy Charlene
 Isaacs, James Michael
 Jameson, RuthAnna Reid
 Johnson, Kimberly Dawn
 Johnstone, Julia M.
 Jones, Tracy Roseann Lee
 Keeley, Roderic Hess
 Keil, LaShon Kimberly
 Keller, Jonathan Patrick
 Kelly, Ryan Scott
 Kempf, Joyce Anne
 Kennedy, Christopher Michael
 Kephart, Kristin Joyce
 Kiefer, Katherine M.
 Kilgore, Jason Monroe
 Kim, Ellen Monica
 King, Charles B.
 King, David Van
 King, Randall D.
 Kinney, Ella Ruth
 Knowlton, James Philip
 Koon, Mark Thomas

Krauth, Richard Michael
 Kunau, Katherine Anne
 Lackey, Jennifer Steckler
 Lane, Candy
 Lang, Alison
 Lawless, Paul Thomas
 Leinenbach, Kurt M.
 Lewis, Leigh Santen
 Lorms, Andrew Fontana
 Lykins, Ann-Michelle
 Lynn, Melissa Gaye
 Lyons, Jeffrey Todd
 Mangum, John Bradley
 Marrale, Maria Christine
 Martin, Heather L.
 Martinez, Richard Anthony
 Mason, Aaron Bradford
 Masri, Mazen Zahi
 Massey, Kelli Ryan
 Mathews, Thomas Keith
 Mattmiller, Thaddeus Brandt
 Maybrier, Julie Kristin
 Mayo, Kimberly Michelle
 McAdam, Kelley Anne
 McAnelly, Kimra
 McCall, Brian Lee
 McCarthy, Daniel Robert
 McClellan, Rheba C.
 McCord, Joe Brown, III
 McDaniel, Lindsay Tamara
 McElhone, Patrick Shaheen
 McGuinness, Vincent James, Jr.
 McHale, Meghan Corrigan
 McIntyre, Elisabeth Ann
 McKinney, Chris Alan
 McKnight, Jonathan Coffman
 McNeas, Barry Paul
 McQueary, Jerri Lynn
 McWain, Bennie Ray, Jr.
 Merris, Jennifer Elizabeth
 Mers, John William
 Meyer, Dawn Michelle
 Miller, Anne Elizabeth
 Miller, Danielle Ruth
 Miller, Lea Ann
 Miller, Melissa Clay
 Mims, Stephen Douglas
 Mims, Teddy Onis
 Miner, Christina Adair
 Minner, Matthew Clinton
 Mitchell, Elizabeth Jane
 Morgan, Helen Marie Hornsby
 Morgan, Justin Ross
 Moylan, Donna Lynn
 Mullins, Paul Jacin
 Murphy, Daniel Patrick
 Murray, Blake Edward
 Murrell, Garner Alan
 Muse, Sharon Renee

Netherton, Kevin Russell
 Neu, Todd William
 Nichols, Matthew Alan
 Nunez, Christopher M.
 O'Flannigan, Michael Thomas
 Osborne, Meridith Anne
 Osborne, Paul Brent
 Osborne, Tracy Michelle
 Osbourn, Charles Richard
 Ousley, Gregory Alen
 Owens, Debra Ann Scott
 Packard, Shana Fanny
 Park, Kevin Wilson
 Parker, Melba Kaye
 Parker, Stephanie Lynn
 Pehlke, Trent Allen
 Penny, Charles William
 Peppiatt, Virginia Cannon
 Perkins, Alana Marie
 Perkins, Emily Ann
 Phelps, Richard Damon
 Piercy, Susanne Palmer
 Pike, Jerry Daniel
 Pitts, John Alvin
 Polson, John Settle
 Potter, Andrew Terence
 Pramuk, Jacqueline Marie
 Presler, Paul Erik
 Preston, Matthew David
 Pride, Douglas Jason
 Purcell, Alan Senet
 Rademaker, Benjamin A.
 Ragland, Lorenda Allison
 Ramadan, Feda W.
 Reid, Larry Dale, Jr.
 Reid, Trent Steven
 Rice, Angela Vivian
 Rich, Bryce Edward
 Rickert, Ann Connor
 Roberts, Shannon Adele
 Rodes, Joanna
 Ross, David Thomas
 Ross, Michelle Annette
 Routon, Stacey McLaine
 Ruble, Beverly Marie
 Saggi, Alka Renee
 Salam, Tamara Nazih
 Samuel, Jessica Breana
 Sauer, Laura Whitney
 Schaefer, Jane Miller
 Schellenberger, Melissa Olana
 Schwab, Anthony Paul
 Scobie, Jill Anne
 Segeleon, Jason Richard
 Sensing, William Todd
 Shaeffer, Lisa Kaye
 Shelton, Tina Renee
 Shoemaker, Robert S.
 Siegel, Mark A.

Sikorski, Erik Anton
 Simmons, Tiffany Ann
 Sims, Karen Renee
 Sinsel, Christina Ann
 Skeans, Floyd A.
 Slone, Demian Mesa
 Smiley, Shannon Marie
 Smith, Daniel Gene
 Smith, Elizabeth Ann Roberts
 Smith, Terri Ann
 Smotherman, Robert Ray
 Snellen, Elizabeth Anne
 Southwood, Amy Kathleen
 Southworth, Heather Shawn
 Stacy, Beth Ann
 Stair, Susan Duvall
 Starns, Carl Peter
 Stathis, Olga Elaine
 Stein, Samantha Lee
 Stephens, Stephanie Lynn
 Stevens, Angel Kaye
 Stevens, Sharon M.
 Stoss, Katherine P.
 Straight, Jean Marie
 Stricker, Gregory Thomas
 Stump, Mindy Sue
 Sullivan, Kelly Anne
 Takeuchi, Emi
 Tamas, Rebecca L.
 Tan, Kumiko
 Taormina, James Steven
 Tatum, Spencer Craig
 Tauchert, Macy G.
 Thacker, Erika Kristin
 Thomas, Anthony John, III
 Tincher, Kevin Lee
 Tucker, Vanessa Ciaran
 Vahikamp, Charles Gustav, Jr.
 Van Hook, Marilyn Grace
 Vance, Elizabeth Cameron
 Vaughn, Matthew V.
 Vick, John Alan
 Vincent, Melissa Anne
 Vowels, Stephanie Kaye
 Waits, Cara Beth
 Walter, Lethe Ashley
 Ware, William Evans
 Watkins, David Julian
 Watson, Billy Jerrod
 Webster, James Robert
 Weisberg, Jan Michael
 Wells, Robert David
 West, Nicole
 Wetzel, Tamara Len
 Wexler, Michelle
 Whittlatch, Evy Ann
 Whitney, Lissa Gayle
 Wigglesworth, James Monette
 Williams, Pamela Denise

Wills, Kimberly Ann
 Wilson, Susan E.
 Winebrenner, Derek Lee
 Woolley, Melba Mechelle White
 Workman, Michael Arron
 Wright, Jennifer Adams
 Yant, Kathleen Anne
 Young, Stephen Allen
 Zielke, Robert D.

Bachelor of General Studies

Firestone, Myrna
 Rood, Jeanette Ann Anderson
 Stone, Adam J.
 Ward, June D.

Bachelor of Science

Adair, James Ransom
 Adams, David Henry
 Adams, Kevin John
 Aleem, Bahar Ilgaz
 Anderson, Shannon Lea
 Armstrong, Barbara Teresa
 Arnett, Stacy Michelle
 Asbury, George Reid
 Bailey, Thomas Mauray
 Bakar, Abdul Rahim
 Barnes, Julie Ann
 Beh, Wah Yee
 Bradshaw, Daniel Christopher
 Brinkman, Tab Anthony
 Brooks, William Ellis
 Buckley, Barbara Jeanne
 Bush, Robert Downing
 Cable, Katrina Babalmoradi
 Campbell, George Ebert
 Carter, Michael S.
 Castillo San Martin, Enrique
 Cecil, Jude Anthony
 Chen, Zhiqun
 Combs, Jessica Jeneen
 Cox, Elizabeth Gay
 Craddock, Durrett Carter
 Craddock, John Pierce
 Crowdus, Brian Austin
 Crytzer, Jessica Sue
 Cusick, Gregory James
 Davenport, Lea Ann
 Deaton, Eric Doyle
 Del Bosco, Timothy Graham
 Desai, Namrata Suresh
 Donovan, Anne Terese
 Dougherty, Amie Elizabeth
 Eakle, James Mitchell
 Ekers, Deena René
 Elbert, Bruce Boone
 Ellison, Ernie A.
 Elmore, Gregory Lee
 Fleming, Kevin Dale

Frye, John Davis
 Gabriel, John Brooks, Jr.
 Gaddie, Ian Benjamin
 Gambill, Thomas B.
 Gasca, Luis J.
 Geyer, Scott Leland
 Golden, Jeffery Wayne
 Greenwell, Joseph Michael
 Hamm, Elizabeth Carol
 Harmon, Stacie Marie
 Herrick, Susan Lee
 Hines, Daryl Beecher
 Hopper, Kevin R.
 Horstman, Freya Sophia
 Hubbard, Lauri Jean
 Huff, John Franklin
 Jeffery, Jeannie Eppie Marie Boyd
 Joseph, Joseph Simon
 Joseph, June Girdlen
 Karelis, Thomas E., Jr.
 Krieg, Rebecca Jane
 Kwak, James Lawrence
 Lawrence, Heather Lei
 Lickfold, Frederick R.
 Long, Shannon Rae
 Mantle, Julie Anne
 Marshall, Kristen Robin
 Massey, Brook Thomas
 Massie, Marc Curtis
 Maxwell, Roger Griffing, Jr.
 McBride, Sean Arthur
 McRoberts, Tracy Diane Mason
 Meor Harun, Meor Yusri
 Muncy, Mark Vincent
 Neale, Valerie Ann
 Newsome, Damon, Jr.
 Nicholas, Eric Kent
 O'Bryan, Derek Patrick
 Perry, Todd Joseph
 Peters, Renee Daulton
 Ratliff, Cynthia Jo
 Reitz, Thomas Max
 Richardson, Rebecca L.
 Roy, Tracie Denise
 Russeli, Brent Joseph
 Russell, Lance E.
 Sadler, Jeffrey Franklin
 Shalash, Samira Mustafa
 Shelley, Christopher Aaron
 Simms, Darryl Austin
 Skinner, Joseph Christopher
 Slone, Gold Keith
 Smart, Ronda S.
 Smith, Christine Marie
 Sowder, Alison Trytha
 Stagaman, Martha Mary
 Steffen, Beth Ann
 Stevens, Mark Edward
 Strevels, Mark Steven

Sutor, Cammual Dylan
 Tonkel, Bruce Lawrence
 Tran, Ann Thu-Ha
 Trowbridge, Jeffrey Lewis
 Tung, Hun Hooi
 Vance, Bruce K.
 Vanderleest, Jennifer Gwynne
 Vinson, Charles Edward
 Weaver, Christopher Scott
 Vormbock, Kevin Dennis
 Wells, Clark D.
 Westmoreland, Allison Leigh
 Wheatley, Jeffrey Kennedy
 Wilberger, Jeremy Dung
 Wright, R. Brent
 Wright, Shay Elizabeth
 Wurth, Ronald Joseph
 Wyatt, Richard James
 Yuan, Cheng Jiun

College of Business & Economics

Dean: Richard W. Furst

Bachelor of Business Administration

Adams, Charles Moore
 Aizpurua, Asier
 Allen, Jamie J.
 Andersen, Morten
 Anderson, Kathryn Sullivan
 Argue, Anthony George
 Bayes, Steven Dwayne
 Billingsley, Angie L. Sparks
 Bivins, Kimberly Jon
 Blankenship, David Paul
 Boliver, Margaret Frances
 Bowling, Michael Joseph
 Brackett, James A.
 Branum, Matthew Scott
 Braun, Laura L.
 Brooks, Scott A.
 Brown, William Nichols
 Brubaker, Leigh Kristina
 Bueso, Anna Alexandra
 Burch, Tonya Sue
 Burton, Thomas Christopher
 Buttermann, Garry George, IV
 Calhoun, John Paul, Jr.
 Calloway, Joseph Talbert
 Carson, Robert Joseph
 Cashen, Timothy Joseph
 Chism, Mary Hardesty
 Clark, Donald Gilbert
 Clements, Ross Harrison
 Cobb, Kenneth Bernard, II
 Coleman, Matthew Court

Combs, John Allan
 Conley, Garrett David
 Coogle, William Anthony
 Cooper, Samantha E.
 Copeland, Angela Denise
 Dabanli, Engin
 Davis, Leonard Robert
 Deaton, John Reno, II
 Deaton, Lisa Gay
 Deaton, Stacey Lynn
 Derifield, Phillip Jeffrey
 Dwyer, Kathleen Duffy
 Edwards, Charles David
 Edwards, Karen Haney
 Engle, Warren Lee, II
 Etter, Scott Edward
 Farah, Mary Aicha
 Farrell, Gretchen Blythe Whitebread
 Feagan, Deanna Michelle
 Fowler, Nancy Leigh
 Fugazzi, Cherie Ann
 Fugleberg, Debby J. Barber
 Fulcher, Nicole Lisa
 Gibbons, Amanda Judith
 Graham, Kristie Yvette
 Gravenkemper, Kimberly Ann
 Hail, Lucas Parker
 Hamilton, Carrie Elizabeth
 Handley, Bradford Turner
 Hargrove, Amy Elizabeth
 Harkins, Jane B.
 Harris, Jill E.
 Hawkins, Shannon P. Miller
 Hayes, Stacy Lynn
 Haywood, Shannon Marie
 Heinlen, Heather LyRae
 Henderson, Dan Tompkins, Jr.
 Henderson, Kimberly Janelle
 Henry, Tricia Gail
 Henry, William Douglas
 Higgins, Christia Dolynn
 Hill, Cynthia Lynn
 Hilpp, Robert Kenneth
 Hopper, Daniel Wayne
 Hopper, Shawn Eric
 Hourigan, Jon Stuart
 Hubbard, John Douglas, II
 Hudson, John William, III
 Hughes, James L., Jr.
 Ingwerson, Heidi Ann
 Iruretagoyena, Inigo
 Isaacs, Jeffrey Scott
 Isbell, Dale Austin
 Jackson, Todd Anthony
 Jessee, John Edward
 Jones, Lawrence Russell
 Kanarek, David Clark
 Kang, Jason C.
 Kaskocsak, Scott Joseph

Keaton, Ricki Elizabeth
 Kelder, Mark T.
 Kersey, Amy Katherine
 Kessinger, Kristin M.
 Kinder, Michael Lansing
 Klein, Sean Allen
 Kluesner, Loretta Agnes
 Knight, Kendall Allen
 Knight, Michael Thomas
 Lage, Susan Patricia
 Lanter, Helen Ann Shriver
 Lau, Jenni
 Lawrence, Douglas Gilbert
 Ledridge, Roger DeVore, II
 Lee, Lay Chan
 Lee, Michele Christine
 Leech, Jack Reynolds, III
 Lewis, Robert Kay, III
 Liebermann, Amy Claire
 Limke, Nicole Teresa
 Lingamallu, Mahesh
 Little, Paul Bryan
 Loong, Siew Foong
 Lotze, Samuel Patrick
 MacKenzie, Kelly Ann
 Martin, Shannon Renée
 Martinson, Jennifer Amber
 Massman, Daniel William
 McClure, John Edwin Donaldson
 McCowan, Christopher Phee
 McDaniel, Karen Lynn
 McHale, Jennifer Lynn
 McLellan, David Drew
 McMahan, Andrea Leigh
 McReynolds, William Jackson
 Meade, Scott Allen
 Meerdink, Todd Russell
 Melton, Linda Lea
 Methner, Barry Lee
 Mita, Motoko
 Mitchell, David Thomas
 Monroe, Pamela Sue
 Moore, Cora Viviane
 Moore, Michael Todd
 Nakajima, Kazuaki
 Ng, Shiow Shyan
 Noe, Paula Renée
 Ousley, Ashley Michelle
 Papst, Jennifer Susan
 Payne, Mickey
 Pearson, Jeffrey A.
 Pedersen, David L.
 Pettry, Beverly Kay
 Phillips, Steven Russell
 Poneleit, Mark Adam
 Pontrich, Stephanie Lynn
 Pooler, Stephanie Ann
 Powers, James McDonald
 Proctor, Catherine Ellen

Pugh, Kelly Elizabeth
 Pyle, Pamela Joy
 Redden, Karen Leigh
 Richard, Paul Scott
 Richardson, Jo Lyn
 Ringlein, David Patrick
 Rishi, Jeffrey L.
 Roark, Cheryl René
 Roberts, Jason David
 Rockwell, Kimberly Ann
 Rockwell, Thomas Markham Hughes
 Rogers, Ray Alan, Jr.
 Rouse, Kiffany Ann
 Rudland, Regan Leigh
 Runyon, Derek Shane
 Rushing, Christopher Brent
 Russell, Jennifer Lynn
 Ryan, Clinton Scott
 Sason, Joyce Monica
 Schmidt, Malinda Gayle
 Schmitt, David G.
 Schott, Micheline Evon
 Segneri, Christina Sue
 Shaw, Jennifer Ione
 Shearer, Christopher Michael
 Shipe, Gregory C.
 Simpson, Rodney Lee
 Smith, Brian Lynn
 Smith, Jennifer Gail
 Smith, Kevin Raymond Merrill
 Smith, Roger Vane
 Snyder, Karngar Anthony
 Stanley, Elisa Dawn
 Stebleton, Stuart
 Steinhauer, Erin Aline
 Steitz, David Sheridan
 Stephens, Brian Matthew
 Stevens, Karen Sue McNece
 Stewart, Slayton Schofield
 Stivers, David Russell
 Straus, Lori Anne
 Stuckert, Scott James
 Summers, Martin McAndrew
 Swanson, Piper Lynn
 Sykes, Sharon Anita
 Tan, Kian Guan
 Teel, Amber Michelle
 Thomas, Ronald W.
 Thompson, Paul Martin
 Thomson, Andrew Devaughn
 Turner, Donna Marie
 Turner, Eric Knight
 Twyman, Todd William
 Underwood, C. Scott
 Vanderplaats, Todd R.
 Wagner, Richard Shane
 Walker, Jennifer Marie
 Ward, Michael A., Jr.
 Wathen, Steven Clough

Watkins, Boyce Dewwhite
 Webster, Jeffrey Robert
 Wedding, R. Kent, Jr.
 Wiese, James Bruce
 Willis, Aaron Joseph
 Wood, John Lee
 Wright, Chad Charles
 Yochum, Brian Lee
 Young, Christopher Michael

Bachelor of Science in Accounting

Art, Brian Preston
 Asher, Otha James
 Beam, Glenna Guffey
 Bloom, Thomas Allen
 Borst, Janet Lynne
 Brown, Leslie Renee
 Browning, Kelly Suzanne
 Bush, David Glenn
 Cahill, Amy Maureen
 Carter, Annetta Davis Haywood
 Casey, Scott Joseph
 Charnas, Marc Brian
 Chiles, Carie L.
 Chiles, Tiffany Renee
 Ching, Sau Lam
 Clements, Leslie Carole
 Cole, Michael Shay
 Colvin, Mary Frankel
 Cooper, Samantha E.
 Courtney, E. Carlton II
 Coyle, Sarah Jo
 Cummins, Marc Jason
 Demps, Brian Kelly
 DiBonaventura-Smith, Lina M.
 Duguma, Eyerusalem
 Easley, David Scott
 Engle, John Stephen
 Eubank, Kristen Lynn
 Evans, Diane Hawk
 Evans, Martin Brett
 Evans, Sara Janie
 Flairty, Tracey Lynn
 Gabehart, Tiffany Dawn
 Gearon, Veronica Scisco
 Graff, Scott Michael
 Griffin, Anthony Paul
 Griffin, John Argue
 Haaf, Matthew Michael
 Hagan, Leah Taparra Herrera
 Harding, Michael James
 Harralson, Benjamin Simpson
 Harris, Christian Russell
 Harward, William Leon III
 Hays-Haun, Robin Susette
 Hendrix, Kelly William
 Hickey, Kristin Lenore
 Hiestand, Vicki S.

Hill, Cynthia Lynn
 Hillenmeyer, Patricia Ann
 Hisle, Rachel Rebecca
 Holbrook, Georgia Ann
 Hollearn, Angela Lynn
 Hoole, Matthew Vincent
 Horn, Richard Michael
 Johnson, Teresa Diana
 Keelen, Jeffrey Alan
 Kelly, Timothy Louis
 Kidd, Julie Dawn
 King, Kimberly Ann
 Kinsey, Brian Scott
 Ledbetter, Melissa Ann
 Lepping, Lawrence Dean
 Lingamallu, Mahesh
 Lucas, Susan Martin
 Martin, Kristi J.
 Martin, Stacy R.
 May, David Bryan
 McCowan, Patrick Ryan
 McGinnis, Melissa Ann
 McKenzie, Thomas Herbert
 Meadows, Mark Alan
 Meihaus, James Patrick
 Miles, Kimberly D.
 Miller, Jennifer Lynn
 Mohamad, Isham
 Molden, Debra Joanne
 Montgomery, Beth Ann
 Moore, Dana Leigh
 Murty, Syam Boddapati
 Ng, Man Tjun
 November, Pete Charles
 O'Connor, Laurie Ann
 Otsuka, Yasuko
 Pederson, Lori Ann
 Perkins, Ada Kathleen/Slone
 Perry, Robert Michael
 Persinger, Franklin David
 Porada, John Thaddeus
 Potter, Michael K.
 Preece, Jennifer Lisa
 Ratliff, Debra Crissy
 Rieman, Mark Allen
 Robbins, John Matthew
 Rock, Donna J.
 Roney, Jason B.
 Royse, James Allen
 Russell, Stacey Ann
 Shell, Charles Kevin
 Simpson, Melinda Peden
 Slone, Gregory Chris
 Smith, Cynthia Daphne
 Smyth, Mitchell Wayne
 Sparks, Lori Lynch
 Spragens, George Benjamin
 Stalker, Bryan Steven
 Tate, David Eric

Taylor, Sherry Ann
 Thompson, Julie Ann
 Thompson, Pamela Sue
 Thompson, Tracy Michelle
 Thompson, Warren Shannon
 Tones, Steven W.
 Tyler, April Denine
 Volz, Paula Lynn
 Walker, Amy Steinmetz
 Weisert, Daniel G.
 Wheelock, John Casner
 Williamson, Michele Anne
 Wilson, James Fulton
 Wolz, Theresa Agnes
 Ziegler, Tamara R.

Bachelor of Science in Business & Economics

Harris, Charles Bradford
 Liu, Mingdi
 McClure, John Edwin Donaldson
 Nickell, Patrick Matthew
 Prunty, Michael N., Jr.
 Watkins, Boyce Dewwhite

College of Communications

Dean: Douglas A. Boyd

Bachelor of Arts

Alexander, Laura Ann
 Ardisson, Marc Edward
 Armstead, Bradley Allen
 Armstrong, Shannon Burress
 Baker, Penny Denise
 Barbour, Becky Jellene
 Baum, Karen Lee
 Baunach, Sharon Lynn
 Beckham, Beau Stuart
 Billings, Gabrielle Elizabeth
 Bischoff, Sunny Kent
 Bowles, Rhona Gayle
 Brand, Amy Elizabeth
 Brannon, Marian E.
 Bruce, Christopher Scott
 Bryant, John Paul
 Buckner, Nicole C.
 Bunton, Kimberly Lynn
 Burkhead, Deborah Renee
 Burlew, Jeffrey Hamilton
 Capps, Julie Leann
 Carroll, Kevin Ross
 Carter, Brian Michael
 Cecil, Raphael Lewis
 Chastain, Kathryn A.
 Coleman, Lori
 Colvin, Carla Renee

Comer, Nicholas Paul
 Crandall, Matthew King
 Crawford, Alison Elizabeth
 Crowley, Kelly Andrew
 Cullen, Kimberly Susan
 Cunningham, Pamela Wade
 Daley, Marcus Paul
 DeLong, Kathy Lynn
 Drummond, Jeff Dwight
 Dunnigan, Elizabeth Ashley
 Fogle, Elizabeth Gayle
 Forker, William Lynn
 Foster, Jennifer Kyle
 Fox, Angela Vann
 Freibert, Paul Allen
 Fugeman, Heidi Mary
 Gannon, Nicole Marie
 Geiger, Elizabeth Vaughn
 Giglia, Heather Joy
 Gill, Kathleen Ann
 Greene, Richard Allen
 Greenwell, Cindy Renee
 Greer, Jevonda Eleen
 Gregory, Eric Wayne
 Gurrie, Jennifer Marie
 Hall, Ann Elizabeth
 Hankins, Rebecca Jo
 Harris, Timothy G.
 Haspel, Kara Lucille
 Hawkins, Lori Anne
 Henderson, Steven Craig
 Herr, Greta Katherine
 Holmes, John W.
 Horton, Aaron Thomas
 Howard, Michelle Theresa
 Howie, Laura Christina
 Johnson, Devin Scott
 Jones, Aimee Sue
 Karraker, Jason James
 Kornet, Tracy Wing
 Leichardt, Brett Alan
 Lewis, Jill Marie
 Logan, Cristie Anne
 Long, Yun Siang
 Lykins, Deana LeAnn
 Marino, Lucretia Anne
 Marshall, Crystal Lynn
 Maslin, Ruth Ann
 McCarl, Erin Aine
 McCarty, Megan Anne
 Middleton, Alyssa Monique
 Miringoff, Michael David
 Mitchell, Pamela Marie
 Nava, Delores Maria
 O'Dell, Jennifer Mary
 Parker, Stewart Ellis
 Parrent, Lisa Jo
 Paul, Dwight Allen III

Peck, Jared J.
 Pfeiffer, Brett Alan
 Phelps, Christine Carol
 Picherit, Gaelle Marie-Francoise
 Player, Amy Elizabeth
 Poole, Jason Matthew
 Porter, Stephanie Linn
 Powell, Doug C.
 Reed, William Douglas
 Reynolds, Louis Scott
 Reynolds, Robert Dellas
 Rink, Karen Frances
 Royalty, Jacqueline Lynn
 Rubeck, Tarra Ann
 Schwartz, Gwynne Marie
 Scott, Jennifer Borie
 Shogren, Julie Lynn
 Sieb, Timothy Eugene
 Simmons, Mary Lynn
 Simoncelli, Lisa Ann
 Slone, Heather Ann/Kirkpartick
 Smith, Jovanna Ruby
 Soderlind, Camile Beth
 Steiden, Elizabeth Lynn
 Stein, Jennifer Lynn
 Sympson, Sean McPadden
 Teachey, Peggy Carpenter
 Tompkins, Michael G.
 Tripure, John Robert
 Turpen, Britney Allyson
 Vestal, Donna Brown
 Vieth, Jeanne Marie
 Weber, Gary
 Weil, Gretchen Marie
 Willison, William Wright, Jr.
 Wilson, Jason Lee
 Wischer, Carrie Anne
 Woolum, Debrah Lynn
 Wright, Cynthia Renee
 Wright, Sharon Marie
 Wynn, William James
 Yost, Rebecca Dawn
 Young, Linda Fraider

Bachelor of Science

Barnett, Heather Ann
 Beard, Arthur Swain
 Braddy, Nehemiah, Jr.
 Carter, Sherry Ann
 Cordell, Stephanie Lynn
 Feldman, Anne Marie
 French, Anthony Christopher
 Good, Eugene Reed
 Hamill, Todd Michael
 Hatfield, Cathy Dawn
 Kell, Jason Jeffrey
 Marsee, Kerry Bruce
 Pare, Michael David

Pike, David Glen
 Rafeld, Jason Matthew
 Smith, Tywana Maria
 Sonka, Mark Charles
 Taylor, Aaron Gawain
 Terry, Kevin Patrick

College of Education

Dean: J. John Harris III

Bachelor of Arts in Education

Basore, Sherry Lynn
 Beaton, Lisa Smith
 Bickers, Wendi Michelle
 Blackburn, Carroll David, II
 Blair, Melissa Dawn
 Bonzo, Laura Leigh
 Boyd, Junico Renee
 Boyd, Sabrina K. Burke
 Boyd, Thomas
 Brashear, Brenda Diane
 Bretz, Margaret Michelle Hambrick
 Brooks, Heather A.
 Bryant, Hunter Howell
 Bullock, Heather Ann
 Burress, Mary Margaret
 Caldwell, Angela Renee Brooks
 Combs, Jennifer Lee
 Coombs, Krista Marie
 Cunningham, Melony Joy
 Cushenberry, Kristi Lynn
 Davis, Anessa Brooke
 DePaola, Kathleen Ellen
 Derenge, Rebecca L. Rice
 Dickerson, Ann Camille
 Duncan, Jonathan David
 Durham, Louise Cunningham
 Dynis, Richard David
 Elam, Timothy K.
 Elliott, Kathleen Elizabeth
 Elmore, William Thad
 Fishback, Shelley Louise
 Fowler, Steven Roy
 Fredricks, John David
 Friedman, Tina Marie
 Gellhaus, Joyce Tobe
 Genton, Julia Sue
 Getz, Julie Walker
 Gibson, Rebecca Leigh
 Goetzinger, Becky Lynn
 Grayson, Katherine Gaffin
 Hagan, Susan Juanita
 Ham, Timothy Ray
 Hardy, Amy Robin
 Harrison, Carol Lee
 Hawthorne, Patricia J.
 Helton, Mark Edward
 Hickey, Kevin Lee

Higgs, Shawn René
 Hill, Michelle Lee
 Hill, Sharon Linville
 Howard, James T.
 Hudson, Jonathan Dee
 Huff, Jennifer Ann
 Huggins, James Farrell, II
 Isaacs, Michelle Dawn
 Jenkins, Shelly Denise
 Jones, Julie Harris
 Jones, Tonya Ranae
 Lane, Laura Beth Willard
 Lewis, Ellen Marie
 Luebbering, Sally Ann
 Lykins, Dayna Kayleen
 Lyons, John Allen
 Malin, Cheryl Denise
 Mattingly, Melinda Kay
 McDowell, John Markel
 McGaughey, Valerie R.
 McGuinness, Victoria Paige
 Miller, Linda Maxine
 Miner, Hannah Dixon
 Mitchell, Amy Reeves
 Moorehead, Yvette Charlene
 Morrow, Jeffrey Owen
 Murphy, Emily Beth
 Myers, Mark Joseph
 Nelson, Melissa Ann
 Noe, Jennifer Kaye
 Norris, Melissa Faye
 Ogle, Kristi Atkins
 Olds, Wesley Howard
 Papania, Judy Chappell
 Passafiume, Jill Lyn
 Patrick, Kathryn Brooks Isaacs
 Phillips, Sara Ann
 Pinson, Kelly Marie
 Polson, John Settle
 Potter, Tammy Renea Wright
 Prather, Susan Fava
 Pruitt, Jennifer Jane
 Renner, Melissa Wise
 Reynolds, Tracey Lynne
 Richards, Kathy Renee
 Rives, Melissa Gail
 Roberts, Lyvonda Michelle
 Roberts, Tonia Lynn Townsend
 Rodante, Michelle Marie
 Saylor, Sara Gresham
 Sexton, Sandra G.
 Shehan, Robert Sloan Aaron
 Shelton, Scott Alan
 Simon, Jennifer Ann
 Sleet, Michele Ilene
 Smallwood, Carla J.
 Sorensen, Kelli J.

Spears, Todd Anthony
 Spurrier, Elizabeth Hunter
 Stephanski, John
 Strimple, Janis Marie Bittner
 Thurston, Tracie Lynn
 Todd, Tamara Lynn
 Tomlinson, Jamie Lynn
 Towler, Lori Dee
 Van Aken, Cynthia Marie
 Vaughn, Jason Christopher
 Veirs, Cynthia Leigh
 Wainwright, Donnie R., II
 Walch, Shannon Renee
 Walchli, Jennifer Lee
 Walker, Marnice A.
 Webster, Robyn M.
 Williams, Melissa Anne
 Woods, Kathy Sue
 Workman, Tammie Anne
 Wright, Sally Claire
 Young, Charles Edward

Bachelor of Science in Education

Darrington, Charlie
 Pribble, Ellie Jean Marshall
 Stevens, Roger Lewis

College of Engineering Dean: Thomas W. Lester

Bachelor of Science in Agricultural Engineering

Clark, James Brian

Bachelor of Science in Chemical Engineering

Barton, Benjamin Fredrick
 Chandler, Karen
 Chumbler, Shayna Lynae
 Coe, David Ray
 Collier, John Robert
 Cooper, Amy Elspeth
 Corcoran, Mary Katherine
 Cordle, Cynthia J.
 Cress, Jeffery
 Gee, Mei Loon
 Goodwin, Wende Erin
 Haggard, Lana Jo
 Lockwood, Christopher Edward
 Mann, Michelle Renee
 Martin, Teri Lyn
 Miller, Edward Tabor
 Sigda, David John
 Underwood, Jennifer Jo
 Wright, Jamie L.

Bachelor of Science in Civil Engineering

Abdulkarim, Mohammad Sanusi
 Allgeier, David P.
 Caudill, Stephen Harold
 Darnell, Allen Patrick
 Durbin, Dean Clark
 Ford, Elliot Cammie
 Frazier, Alan Bradley
 Griffin, James Jeffrey
 Griffith, Ryan Carl
 Hamm, Michael Paul
 Heisler, Lee Jeffrey
 Hightchew, Michael Anthony
 Houston, Kevin Wayne
 King, Terry Glenn
 Lewis, Lill Cornett
 Moore, Marty Alan
 Mosolgo, Eric Scott
 Parker, Michael Joseph
 Poulter, Philip Malcolm, Jr.
 Pribble, William Jeffery
 Ramsey, William Miller
 Ray, Steven Thomas
 Roller, Kenneth Ray
 Saylor, Charles Michael III
 Schrader, David Franklin
 Smith, Donald Louis
 Smith, Reginald Durrell
 Sprague, Robin Laverne
 Tufail, Mohammad
 Wells, Gary Lee
 Wolfe, R. Jeffrey

Bachelor of Science in Electrical Engineering

Akley, Tonya Lynn
 Alfoura, Khalifa Hassan
 Baird, Jeffery Douglas
 Bhatt, Arvind Keshav
 Blair, Christopher Lorne
 Brewer, Clarence Carson
 Brooks, Stephen Ray
 Chaplin, Daniel Robert
 Childers, Eric Brent
 Cusick, Gregory James
 Divine, James Kevin
 Frazier, Joseph Christopher
 Fredwest, Dianne Loggins
 Hale, Gregory Alan
 Haney, Christopher Ron
 Hill, Stephen Thompson
 Horn, John Stacey
 Jiang, An H.
 Johanneman, Eileen Elizabeth
 Kathuria, Mohit Singh
 Loyall, Michael D.
 Marks, Tracy Shane

Maser, Steven Anthony
 Moser, Brendan Patrick
 Nail, Daniel Owen
 Newton, John Kevin
 Perry, Christopher Scott
 Pinnegar, Charles Todd
 Reed, Michelle Renee
 Reed, Timothy A.
 Reynolds, Thomas Kenneth, Jr.
 Scott, David Alan
 Smith, Dawn Michelle
 Thacker, Gerald Keith
 Thompson, Brian Scott
 Tungate, Stanley Coy, Jr.
 Vogel, Paul William, Jr.
 Wells, Joseph A.
 Whitaker, Jeffrey Lee

Bachelor of Science in Materials Engineering

Conder, Theresa Ann/Bowles
 Hepler, Melissa Marie
 Snyder, Stasia Marie
 Spainhower, Debra Lynn
 Xu, Hongqing
 Young, Melissa Jo

Bachelor of Science in Mechanical Engineering

Alessandro, Steven Richard
 Anderkin, Arthur Wayne
 Beach, Bradley L.
 Bintz, Thomas Joseph, Jr.
 Black, Paul David
 Buxton, Patrick Andrew
 Cobb, Brian Lee
 Collins, Cary Jack
 Couch, E. Keith
 Cseledy, David Michael
 Cutts, David Ross
 Eby, David Christian
 Gant, Charles Lee III
 Ginn, Monica Harp
 Glassburn, Robin Steven
 Hammers, Thomas Michael
 Hicks, John Carlos
 Hogan, Andrew Charles
 Jones, Kent Richard
 Kelley, Jimmie Darrell
 McGuire, Jonathan Glenn
 Murphy, Calvin Dale
 Pankratz, Rodney Wade
 Strehl, Barry Allen
 Wade, Daniel Scott

Bachelor of Science in Mining Engineering

Adkins, John Christopher
 Ray, Robert Oren

Shumate, Johnny Dewayne
 Smith, Richard Dirk
 Stoltz, Jason Robert

College of Fine Arts **Dean: Rhoda-Gale Pollack**

Bachelor of Arts

Adams, Susan Ann
 Anderson, Ann Marie
 Barry, Mary Patricia
 Bonifer, Dennis Albert
 Burkel, Denise H.
 Buxton, Christina Michele
 Calmes, Laura Susan
 Campbell, Christy Jo
 Collier, Gregory Robert
 Connelly, Donna Gardner
 Coomes, William Jason
 Deskins, Kristina Carolyn
 DuVall, Jennifer Lynn
 Feazell, Ann Lindsay
 Fisher, Beth Ann
 Hale, Sandra Dianne
 Kelly, Margaret Elizabeth
 Kinnison, Jeffrey Russell
 Linn, Krista A.
 Love, Martha Ann
 Meriwether, Jacqueline Darcia
 Miller, Carroll Ellis
 Morehead, Stephen Neil
 Myers, Julie Ann
 Raybourn, Robert Thomas
 Reichart, Robin Renee
 Robinson, Mary A.
 Robinson, Michael David
 Rodgers, Jennifer Lynne
 Rowe, Melissa A.
 Saxe, Natalie Rose
 Scott, Shelly Renee
 Taylor, Kimberly Michelle
 Thomas, Claudia Ann King
 Tighe, Alicia Jeanne
 Waldron, Stephanie Ruth
 Wallace, James M.
 Wheat, Julia Ellis
 Wise, Kathryn H.

Bachelor of Fine Arts

Anderson, Karl Elliot
 Banks, Laura Lucille
 Brown, John R.
 Davis, Laura A.
 Gritton, Victoria Lee
 Raiston, Joseph D.
 Shambhu, James S.
 Steene-Connolly, Helen Margareta
 Stofer, Richard Thompson IV
 Thomas, Megann C.

Bachelor of Music

Brooks, Brandon Heath
 Herder, Lisa Ann
 Keidann, Stella Irene
 Kelley, Breathitt
 Robertson, Paul Stanley
 Rodriguez, Sergio Raul
 Sunardi, Lindawaty
 Worley, Maria Davies

Bachelor of Music in Music Education

Biggs, Dana Maurice
 Casto, Mark William
 Jackson, James Edward III
 Vessels, Lori Anne
 Whitfield, Deborah Woolfolk

College of Human Environmental Sciences **Dean: Peggy S. Meszaros**

Bachelor of Arts in Interior Design

Black, Laura Jane Kielar
 Blaney, Ashlie Catherine
 Burk, Jill Leigh
 Coffey, Diana Lin
 Courtney, Judy Hamilton
 Elliott, Tina Marie
 Fulkerson, Martie Lynn
 Gibson-Brausch, Dana Marie
 Givens, Julie Ann
 Golden, Shannon Denise
 Goodman, Kimberly Jo
 Heitz, Patricia Ann
 Hippe, Jill Young
 Hodge, Pamela Ann
 Jones, Bradley Scott
 Kells, Kimberly Jill
 Nolte, Dorothea Rae
 Patrick, Dawn Shannon
 Reese, Stephanie Noel
 Santiago, Jo Anne Madridejos
 Sibiga, Julie Ann
 Steele, Barton Lee
 Tantry, Angela
 Wientjes, Anna Margaret
 Winskye, Kimberly G.

Bachelor of Science in Dietetics

Bennett, Marjorie Elizabeth
 Calhoun, Sandra Lynn
 Chapman, Shellie Noelle
 Couchot, Ann Margaret
 Goh, Natalie Mei-Yan
 Hayden, Jennifer Ann

Hicks, Kathryn Ann
 Jeffiers, Larissa Lynn
 Jones, Lori Anne
 Lewis, Marne Marie
 Navani, Vinita
 Rains, Kendra Shea
 Schuerman, Elizabeth Louise
 Shugars, Margaret Sue
 Skanes, Sonya Leanne
 Smaha, Amy Elizabeth
 Thompson, Heather Lynn

Bachelor of Science in Family Studies

Abrams, Karen Michelle
 Adams, Charles Douglas
 Behr, Lori Michelle
 Bouvin, Susan Kay
 Button, Virginia Louise
 Caldwell, Susie Lynn
 Cox, Amy Elizabeth
 Denham, Jane Sledd
 Dupree, Susan Kim
 Eaton, Laura Jane
 Fickel, Kathleen Morrison
 Haney, Lisa Diane
 Helton, Melissa Choi
 Hockaden, Keli Lynn
 Holt, Kimberly Reshell
 Huzl, Lisa Marie
 Mattingly, Stacy Lee
 McGurk, Elizabeth Board
 Means, Susan Lynn
 Moore, Catherine Carey
 Morgan, Diana-Jeanne
 Mudd, Amelia J.
 Mueller, Lee Anthony
 Nikolich, Tammela Strevels
 Oppelt, Douglas James
 Perkins, A. Victoria
 Prather, Angela Michele
 Ryan, Nicole Reynolds
 Shepard, Lisa Ann
 Simmons, Sharon Lynn
 Skelton, Katherine Gwyn
 Summers, Melissa Beth
 Vogelpohl, Kimberly Faye
 Walters, Mary Sue
 Ward, Trisha Lynn
 Watts, Linda McKown
 Wiley, Erika Lynn

Bachelor of Science in Home Economics

Allred, Lee
 Bolden, Kendall LeeAnn
 Brooks, Allison Dawn
 Cecil, James Henry, Jr.
 Diehl, James Francis

Gognat, Pamela Anne Helton
 Gott, Angela Gayle
 Hayes, Donita K.
 Phelps, Timmy Eugene
 Wedel, Dana Rae

Bachelor of Science in Merchandising, Apparel, and Textiles

Alcott, Suzanne Cherie
 Bohn, Rebecca R.
 Bolte, Dawn Marie
 Briel, Shannon Leigh
 Calvert, Stephanie Lynn
 Cummings, Kimberly
 Engle, Sarah Elizabeth
 Gatlin, Stephanie Lyn
 Greene, Juli Gay
 Halter, Jaeann Marie
 Hendon, Elizabeth Merrick
 Jackson, Kimberly Faith
 Jones, Monica Ann
 Jude, Brenda Elaine
 Klier, Rebecca Lee
 Mann, Angela Marie
 Neal, Robert G., II
 Newcomer, Bethany Kay
 Procasky, Angela Ann
 Rogers, Meredith Lillian
 Thompson, Lisa Michelle
 Williams, Judy Lynn

College of Nursing Dean: Carolyn A. Williams

Bachelor of Science in Nursing

Adelsperger, Ginger Lee
 Bailey, Catherine Elizabeth
 Ball, Nicole Joanmarie
 Barnhill, Melissa Ann
 Bartlett, Cheryl Ann
 Beatty, Ann Lanham
 Beauchamp, Dena Lyn
 Beech, Rebecca Lee Adams
 Beshears, Sharon K.
 Bevins, Audra Jeannine
 Bradshaw, Jane K.
 Brown, Shirley Lee
 Bruce, Kimberly Ann Tomlinson
 Burchett, Jennifer Bussey
 Bustle, Melissa Jo
 Christopher, Jill Suzanne
 Claar, Beth Christine
 Clark, Jennifer Cary
 Coleman, Jeffrey Alan
 Collins, Laura Lea
 Davis, Alicia Ann
 Dentinger, Rebecca Jane

Deselms, Renee Dawn
 Doecker, Carrie Marie
 Drawbridge, Lorie Shay
 Edwards, Martha Louise
 Feltman, Jennifer F.
 Fernandez, Elisa Carola
 Fister, Jennifer Michelle
 Flora, Robin Osborne
 Frisbie, Julie Ann Schell
 Gant, Jennifer Keneally
 Goodwin, Deborah Lynn
 Grall, Freddie Hager
 Greenwell, Penelope Davis
 Griffith, Marsha May
 Gritton, Heather Lee
 Hagan, Dolores
 Hardcorn, Barbara Ann
 Harris, Susan Lynn
 Hatten, Deanna Barker
 Hedges, Bonnie Cardwell
 Helsing, Coleen Renee
 Hensley, Neva Dawn Manning
 Hoskins, Merrille Allison
 Howard, Sharon
 Iglehard, Gina Jo
 Jones, Tammy Renee
 Kime, Janice Elaine
 Knoblock, Laura Ann
 Latham, Barbara Jean
 Lynch, Christie Michelle
 Malone, Laura Beth Mahurin
 Masterson, Holly Jean
 McClintock, Martie Jae
 McKelvey, Karen Starr
 McMillin, Sherri Kaye
 Mendzel, Scott D.
 Mills, Gretchen Marie
 Mills, Stacy Lynn McGee
 Monroe, Grace Ann
 Morgan, Amy Lea
 Morrison, Heather Regina
 Morton, Robert Lynn
 Munchmeyer, Lori Marie
 Omran, Ameal Abdel
 Pape, Janet Denker
 Pauley, Tara Lynn
 Pennington, Ruth Ola
 Phillips, Brenda Joyce
 Proffit, Vickie Delena
 Ray, Marica Lea Abell
 Ray, Tammy Lynne Tipton
 Ricketts, Lisa Margaret
 Rubado, Laura Nicole
 Schuller, Juawanna Lynn
 Setters, Melissa Suzann Madden
 Siler, Carla J.
 Smith, Janice Wagner
 Smith, Virginia K.
 Spalding, Daphne Jo

Stephens, Tracy L.
 Stidham, Tammy Kay
 Stratton, Angelia Kaye
 Sumida, Maureen Christina
 Talbott, Sandra Marie-Robin
 Turner, Carey Ann
 Villafior, Sharon Brock
 Vogel, Carl Henry
 Wagner, Staci Ann
 Waldon, Cinderella Young
 Walton, Leigh Kennoy
 Ward, Sinnamon Lynn
 Wesley, Debbie Lynn
 Will, Angela Marie
 Williams, Tina
 Wise, Alice Renee Colvin
 Wu, Li-min Lin
 Wyse, Tammy McIntyre
 Young, LeRoy
 Young, Sherry Sue
 Zimmerman, Jessica Rae

College of Social Work **Dean: S. Zafar Hasan**

Bachelor of Arts in Social Work

Akins, Clyde B., Sr.
 Arnold, Susan Alexandra
 Ballou, Monica Lee
 Bertrand, Georgiann Gaunt
 Boyce, Valerie Louise
 Brackett, Laura Ann Maxon
 Bradley, Charles Warren, II
 Cade, Scarlet L.
 Cox, Tamra Susan
 Crittendon, Holli Dai
 Curtis, Lorann Kay
 Darragh, Doris Kelly
 DeMoss, Dolores May
 DeWolfe, Amy Elizabeth
 Eades, Laura Marie Rock
 Ennu, Sunitha
 Fraley, Tamatha Lynn
 Gronefeld, Tricia Marie
 Hawkins, Shannon P.
 Heilman, Myra Shannon
 Higgins, Christy Jennifer

Hogan, Leigh Ann
 Jette, Allison Ann
 Kirklin, Dena Rae
 Mason, Elaina Marie
 Moss, Joann
 Murphy, Kelley Lee
 Pierce, Wendi Renee
 Rapier, Elizabeth Starr
 Robinson, Deirdra Lynne
 Rupnow, Dana Len
 Sensabaugh, La'Yvonne Carol
 Shropshire, Charles Larry
 Shropshire, Elizabeth Burklow
 Sloan, Chorya Denise
 Smith, Rhonda Lynette
 Sorg, Melissa Lynn
 Stanley, Leigh Nunnery
 Tooley, Robert Terry, Jr.
 Turley, David T.
 Van Vlack, Debra Lynn
 Ward, Sterling Ray
 Weill, Jennifer Nicole
 Westrom, Susan
 Whittle, Linda Vesely
 Wilham, Pamela Rena
 Wilson, Melissa Ann

Office of the President
May 4, 1993

PR 5B

Members, Board of Trustees:

REVISION OF THE BYLAWS, UNIVERSITY OF KENTUCKY EQUINE
RESEARCH FOUNDATION, INC.

Recommendation: that the attached revision of the Bylaws of the University of Kentucky Equine Research Foundation, Inc., be approved.

Background: The revised Bylaws, University of Kentucky Research Foundation were approved by the Board of Directors on February 2, 1990, but the revisions have not previously been presented to the Board of Trustees for approval. This revision permits the Chair of the Board of Directors to cancel with 15 days written notice any one of the quarterly meetings with the exception of the annual meeting.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

8325

AMENDMENT TO
BY-LAWS
OF
UNIVERSITY OF KENTUCKY
EQUINE RESEARCH FOUNDATION, INC.

At a meeting of the Board of Directors of the University of Kentucky Equine Research Foundation, Inc. held at the Gluck Equine Research Center on February 2, 1990, at the hour of 10:00 a.m., it was resolved that Article IV, Section 1 of the By-Laws of the University of Kentucky Equine Research Foundation, Inc., be amended by changing Article IV to read as follows:

ARTICLE IV

MEETINGS

Section 1 - Regular Meetings: Regular meetings (including the Annual meeting) shall be held at least quarterly. Chairman of the Board of Directors of the UK Equine Research Foundation has the authority to cancel with fifteen (15) days written notice any one of the quarterly meetings with the exception of the Annual meeting. Notice of the date, time and place of such meetings shall be given by the Secretary no less than thirty (30) days in advance of the meeting.

In all other aspects, said By-Laws shall remain the same.

DATED at Lexington, Kentucky, to be effective as of

the 2nd day of February, 1990.

Chairman
Board of Directors

ATTEST:

Secretary

RESOLUTION

UNIVERSITY OF KENTUCKY
EQUINE RESEARCH FOUNDATION, INC.
BY-LAWS

"BE IT RESOLVED that Article IV, Section 1 - Regular Meetings, of the By-Laws of the University of Kentucky Equine Research Foundation, Inc., be amended so as to read as follows:

ARTICLE IV

MEETINGS

Section 1 - Regular Meetings: Regular meetings (including the Annual meeting) shall be held at least quarterly. Chairman of the board of directors of the UK Equine Research Foundation has the authority to cancel with (15) days written notice any one of the quarterly meetings with the exception of the Annual meeting. Notice of the date, time and place of such meetings shall be given by the Secretary no less than thirty (30) days in advance of the meeting.

In all other aspects, said By-Laws shall remain the same."

DATED at Lexington, Kentucky, on this the ____ day of _____, 1990.

SECRETARY

DWT/tm

Office of the President
May 4, 1993

PR 5C

Members, Board of Trustees:

CHANGES IN THE UNIVERSITY OF KENTUCKY
BUSINESS PARTNERSHIP FOUNDATION ARTICLES AND BYLAWS

Recommendation: that approval be given to the attached amendments to the Articles of Incorporation and the Bylaws of the University of Kentucky Business Partnership Foundation.

Background: The attached amendments to Article VIII of the Articles of Incorporation have been recommended by the Board of Directors of the Business Partnership Foundation for approval by the Board of Trustees. The maximum number of Board members is increased from 26 to 34. Ex-officio membership of the Board has been changed to reflect the current administrative organization of the University of Kentucky. The amendments to Article II of the Bylaws are necessary to confirm the Bylaws to the above-listed change in the Articles.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

University of Kentucky/Business Partnership Foundation
April 14, 1993

ACTION ITEM

Members, Board of Directors:

RESOLUTION

UNIVERSITY OF KENTUCKY
BUSINESS PARTNERSHIP FOUNDATION, INC.

BE IT RESOLVED that Article II of the By-Laws of University of Kentucky Business Partnership Foundation, Inc. be amended so as to read as follows:

ARTICLE II

Section I - Membership: The affairs of the corporation shall be regulated, managed, controlled and conducted by a Board of Directors to be appointed by the President of the University of Kentucky, upon recommendation of the Dean of the College of Business and Economics and with the approval of the Board of Trustees of the University of Kentucky. The Board shall consist of up to thirty-four (34) members appointed from the following categories:

- (1) No more than thirty (30) shall be businessmen or businesswomen from the public-at-large. At least two (2) of the thirty (30) shall be members of the Board of Trustees of the University of Kentucky.
- (2) Four (4) shall be ex-officio members from the University of Kentucky, to-wit, the President, the Chancellor for the Lexington Campus, Vice-President of University Relations, and the Dean of the College of Business and Economics.

Section 2. Term of Office: Each member of the Board shall be appointed for an initial term of four years. Members may be reappointed for a second four-year term, but may not serve more than two (2) consecutive four-year terms.

Section 3. Compensation: Members of the Board shall receive no compensation for their services, but they may be reimbursed for reasonable expenses incurred on behalf of the foundation.

Section 4. Vacancies: In the event of a vacancy on the Board, a successor shall be appointed to fill the unexpired term in the same manner in which a new director is appointed.

Action taken: Approved / Disapproved Other Date: April 14 , 1993

University of Kentucky/Business Partnership Foundation
April 14, 1993

ACTION ITEM

Members, Board of Directors:

RESOLUTION

UNIVERSITY OF KENTUCKY
BUSINESS PARTNERSHIP FOUNDATION, INC.

BE IT RESOLVED that Article VIII of the Articles of Incorporation of University of Kentucky Business Partnership Foundation, Inc. be amended so as to read as follows:

ARTICLE VIII

The affairs of the corporation shall be regulated, managed, controlled and conducted by a Board of Directors to be appointed by the President of the University of Kentucky, upon recommendation of the Dean of the College of Business and Economics and with the approval of the Board of Trustees of the University of Kentucky. The Board shall consist of up to thirty-four (34) members appointed from the following categories:

- (1) No more than thirty (30) shall be businessmen or businesswomen from the public-at-large. At least two (2) of the thirty (30) shall be members of the Board of Trustees of the University of Kentucky.
- (2) Four (4) shall be ex-officio members from the University of Kentucky, to-wit, the President, the Chancellor for the Lexington Campus, the Vice-President of University Relations, and the Dean of the College of Business and Economics.

Each member of the Board shall be appointed for an initial term of four years. Members may be reappointed for a second four-year term, but may not serve more than two (2) consecutive four-year terms.

Action taken: Approved ☒ Disapproved ☐ Other ☐ Date: April 14, 1993

Office of the President
May 4, 1993

PR 5 D

Members, Board of Trustees:

APPOINTMENTS/REAPPOINTMENTS TO UNIVERSITY OF KENTUCKY
BUSINESS PARTNERSHIP FOUNDATION

Recommendation: that approval be given to the appointment/
reappointment of the following as members to the Board of Directors of
the University of Kentucky Business Partnership Foundation for
four-year terms beginning immediately and extending through the Fall
1997 Board meeting.

Appointments

Robert N. Clay
W. Bruce Lunsford
Joseph M. Tumbler

Reappointments

Morton Boyd
S.O. Ogden
Johann Th. Pavenstedt

Background: These appointees/reappointees were unanimously elected to
the Board of Directors of the University of Kentucky Business
Partnership Foundation at their April 14, 1993 meeting. These
appointments/reappointments require approval by the Board of Trustees
in accordance with the Articles of Incorporation for the University of
Kentucky Business Partnership Foundation approved by the Board of
Trustees at its meeting on August 25, 1981.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

Office of the President
May 4, 1993

PR 5 E

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE COLLEGE OF LAW

Recommendation: that David E. Shipley be named Dean of the College of Law, effective July 1, 1993.

Background: Rutheford Campbell indicated his desire in September 1992 to resign as Dean effective June 30, 1993 to return to the faculty. A national search was begun in the Fall of 1992 and more than one hundred and seventy applications and nominations were received. Four finalists visited campus during the Spring of 1993 and were interviewed extensively by faculty, staff, students, administrators, and alumni.

David Shipley was the top choice of those who met with the finalists. He is currently Dean of the University of Mississippi School of Law. He graduated in 1972 from Oberlin College with highest honors, and received his JD from the University of Chicago Law School in 1975. He served as executive editor of the University of Chicago Law Review in 1974-75. Mr. Shipley began his professional career as an associate with the firm of Tillinghast, Collins and Graham in Providence, Rhode Island. He served as a visiting assistant professor at the University of South Carolina School of Law in 1977-78 and became an assistant professor the following year. He was promoted to associate professor in 1981 and was awarded full professor rank in 1985. He also served in visiting posts at the College of William and Mary and The Ohio State University schools of law. He became Dean at the University of Mississippi in 1990.

Mr. Shipley is a specialist in intellectual property and copyright law, has written a book and a number of articles on the subject, and has presented many seminars. In 1989-90, he was named Teacher of the Year and received the Faculty Scholarship Prize at the University of South Carolina.

The University is pleased to recommend the appointment of such an outstanding candidate to become Dean of the College of Law.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

Office of the President
May 4, 1993

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE NINE MONTHS ENDED
MARCH 31, 1993

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the nine months ended March 31, 1993.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of March 31, 1993, the University had realized income of \$681,604,000 representing 79% of the 1992-93 estimate of \$858,596,000. Expenditures and commitments total \$623,173,000 or 73% of the approved budget of \$858,596,000.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
MARCH 31, 1993

with comparative totals for March 31, 1992
(in thousands)

	1992-93					1991-92	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 62,390	\$ 35,610	\$ 1,591	\$ 512	\$ 148,192	\$ 248,295	\$ 224,577
Notes, loans, and A/R (less bad debt allowances of \$8,336)	64,333	13,294	18,309		1,603	97,539	94,038
Investments	6,519	46,406		94,233	119,874	267,032	232,032
Property, plant, and equipment					1,020,139	1,020,139	943,011
Inventories and other	13,886	90				13,976	13,561
Total Assets	\$ 147,128	\$ 95,400	\$ 19,900	\$ 94,745	\$ 1,289,808	\$ 1,646,981	\$ 1,507,219
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 18,064	\$ 993	\$ 4		\$ 607	\$ 19,668	\$ 13,846
Employee withholdings and deposits		8,832				8,832	8,236
Other liabilities	4,615	2,102			5,840	12,557	14,116
Liability for self insurance	21,358					21,358	17,991
Deferred income	4,315	10,512				14,827	12,153
Bonds payable					381,709	381,709	340,945
Capitalized lease obligation					39,159	39,159	44,879
Net deferred revenues and appropriated fund balances	64,007	70				64,077	64,733
Total Liabilities	112,359	22,509	4		427,315	562,187	516,899
Interfund Balances	(41,642)	46,067			(4,425)		
Fund Balances							
Current unrestricted							
Working capital	67,414					67,414	59,628
Future operating purposes	6,563					6,563	6,898
Other	2,434					2,434	797
Current restricted		26,824				26,824	27,547
Loan			19,896			19,896	19,375
True endowments				\$ 59,355		59,355	51,821
Term endowments				3,706		3,706	3,379
Quasi endowments				31,684		31,684	30,567
Plant							
Retirement of indebtedness					37,256	37,256	34,814
Renewal and replacement					45,534	45,534	33,904
Allocated for designated projects					24,283	24,283	21,255
Net investment in plant					759,845	759,845	700,335
Total Fund Balances	76,411	26,824	19,896	94,745	866,918	1,084,794	990,320
Total Liabilities and Fund Balances	\$ 147,128	\$ 95,400	\$ 19,900	\$ 94,745	\$ 1,289,808	\$ 1,646,981	\$ 1,507,219

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 1993
with comparative totals for March 31, 1992
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1992-93				1991-92	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
(1) State appropriations	\$ 309,863	\$ 309,863	\$ 229,594	74	\$ 237,541	76
(2) Student fees	92,700	95,225	92,601	97	84,305	97
(3) Federal appropriations	15,001	15,036	10,678	71	11,072	76
(4) County appropriations	5,148	5,347	3,498	65	3,248	63
(5) Endowment income	801	801	570	71	437	57
(6) Investment income	8,266	8,266	6,533	79	8,198	90
Gifts and grants						
(7) Affiliated corporations	6,997	8,388	6,047	72	5,029	73
(8) Other	3,731	4,292	2,619	61	2,623	41
(9) Sales and services	22,007	24,001	17,316	72	14,414	79
(10) Fund balance	<u>7,220</u>	<u>7,220</u>	<u>7,220</u>	100	<u>9,687</u>	100
(11) Total General Fund	471,734	478,439	376,676	79	376,554	80
(12) Auxiliary Enterprises	36,081	36,243	28,636	79	28,422	77
(13) Restricted Funds	61,638	62,697	47,465	76	45,090	79
(14) Affiliated Corporations	86,988	89,008	71,464	80	63,801	73
Hospital						
(15) Revenues	182,321	189,357	154,511	82	153,195	96
(16) Fund balance	<u></u>	<u>2,852</u>	<u>2,852</u>	100	<u>3,261</u>	100
Total Revenues and						
(17) Appropriated Fund Balances	<u>\$ 838,762</u>	<u>\$ 858,596</u>	<u>\$ 681,604</u>	79	<u>\$ 670,323</u>	82

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 1993
with comparative totals for March 31, 1992
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1992-93				1991-92	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 212,185	\$ 212,417	\$ 149,736	70	\$ 148,788	71
(2) Research	88,385	90,916	71,219	78	66,303	73
(3) Public service	77,749	77,784	51,947	67	50,072	67
(4) Academic support	50,869	54,689	36,965	68	39,430	71
(5) Student services	19,752	20,582	15,185	74	15,808	76
(6) Institutional support	35,005	36,974	25,427	69	27,050	70
(7) Student financial aid	45,669	46,402	44,327	96	42,042	109
(8) Operation and maintenance	39,018	38,251	25,901	68	26,266	67
(9) Mandatory transfers (debt service)	35,984	36,397	36,113	99	30,911	95
(10) Hospital	180,826	190,717	124,281	65	122,960	75
(11) Auxiliary enterprises	53,320	53,467	42,072	79	41,077	77
(12) Total Expenditure by Program	<u>\$ 838,762</u>	<u>\$ 858,596</u>	<u>\$ 623,173</u>	73	<u>\$ 610,707</u>	75

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 1993
with comparative totals for March 31, 1992
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1992-93				1991-92	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 483,117	\$ 483,729	\$ 359,153	74	\$ 351,481	75
(14) Operating expenses	285,618	300,619	209,262	70	208,376	77
(15) Mandatory transfers (debt service)	40,959	41,372	36,380	88	31,227	83
(16) Capital outlay	29,068	32,876	18,378	56	19,623	49
(17) Total Expenditure by Category	<u>\$ 838,762</u>	<u>\$ 858,596</u>	<u>\$ 623,173</u>	73	<u>\$ 610,707</u>	75

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 1993
with comparative totals for March 31, 1992
(in thousands)

	(A)	(B)	(C)
	<u>1992-93</u>		<u>1991-92</u>
(1) Realized Revenues	\$ 671,532		\$ 657,375
Appropriated Fund Balances			
(2) Current unrestricted fund	\$ 7,220		9,687
(3) University Hospital	<u>2,852</u>		<u>3,261</u>
(4) Total Appropriated Fund Balances	<u>10,072</u>		<u>12,948</u>
(5) Total Revenues and Appropriated Fund Balances	681,604		670,323
(6) Expenditures/Encumbrances	623,173		610,707
(7) Less: Reserve for Encumbrances	<u>(5,646)</u>		<u>(5,117)</u>
(8) Total Expenditures	<u>617,527</u>		<u>605,590</u>
(9) Net Deferred Revenues and Appropriated Fund Balances	<u>\$ 64,077</u>		<u>\$ 64,733</u>

Office of the President
May 4, 1993

FCR 2

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of surplus equipment as described in Exhibit I.

Background: KRS 164A.575 requires that the governing Board sell or otherwise dispose of all personal property which is not needed by the institution. The Board will be provided with a description of the property, the reason why the disposal should be effected, and the method of disposition.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: May 4, 1993

UNIVERSITY OF KENTUCKY

TRANSFER OF RESEARCH EQUIPMENT

Recipient: High Voltage Transient Research Laboratory, Inc. (HVTRL), a non-profit scientific research corporation of Kentucky.

Type of Disposal: Transfer at no cost.

Reason: This equipment was transferred to the University of Kentucky, at no cost, from Penn State University in July 1990 to support the research programs of Dr. Ron Standler a new faculty member at that time in the College of Engineering. Dr. Standler has since terminated his employment with the University and wishes to continue the research supported by this equipment, which has been determined to be surplus to the needs of the University of Kentucky.

Items: High voltage electronic test equipment

Office of the President
May 4, 1993

FCR 3

Members, Board of Trustees:

DESIGNATION OF DEPOSITORY BANKS

Recommendation: that the Board of Trustees designate the banks listed on attachment A to serve as depository banks for the University of Kentucky and/or its affiliated corporations.

Background: To comply with K.R.S. 41.070 and K.R.S. 164A.560 the Board of Trustees must designate depository banks serving the University of Kentucky and its affiliated corporations. Competitive bids for required services were solicited from banks serving the locations of the community colleges and other operations conducted outside Fayette County. Banks were selected on the basis of lowest cost to the University. The banks listed on attachment A have not previously been approved as depositories by the Board of Trustees.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

Attachment A

Feltner 4H Camp
London Bank and Trust Company
London, Kentucky

Hazard Community College
Hazel Green Center
Farmers and Traders Bank
Campton, Kentucky

Paducah Community College
Citizens Bank and Trust Company
Paducah, Kentucky

Prestonsburg Community College
Pikeville Center
Citizens Bank of Pikeville
Pikeville, Kentucky

Office of the President
May 4, 1993

FCR 4

Members, Board of Trustees:

APPROVAL OF LEASE

Recommendation: that the Vice President for Administration be authorized to negotiate and execute a lease between the University of Kentucky Medical Center-Kentucky Clinic and Kentucky Medical Services Foundation, 729 South Limestone, Lexington, Kentucky, for property located at 2400 Greatstone Point, Lexington, Kentucky, effective August 1, 1993, through June 30, 1994, to be used as clinic space at an annual rental rate of \$50,000.00.

Background: An advertisement was placed in the Lexington Herald-Leader and the Louisville Courier-Journal on February 1 and 2, 1993. Five responses were received. The proposal from the Kentucky Medical Services Foundation was the only one that met the requirements for space and parking, and it was also the lowest cost of the proposals received. The Kentucky Medical Services Foundation is the entity which, in 1978, was selected by the University of Kentucky and approved by the Board of Trustees to carry out certain billing, collection and administrative functions under the College of Medicine Practice Plan. That relationship is set forth in annual contracts between the University of Kentucky and the Kentucky Medical Services Foundation, and the current annual contract was approved by the Board of Trustees on June 16, 1992. The Kentucky Medical Services Foundation is a non-stock, non-profit corporation which will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

Office of the President
May 4, 1993

FCR 5

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending March 31, 1993, be accepted.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the three months ending March 31, 1993, the University has:

1. Awarded fourteen (14) architect and engineer contracts.
2. Made eight (8) amendments to architect and engineer contracts.
3. Made seven (7) final payments for architect and engineer contracts.
4. Awarded seven (7) construction contracts.
5. Made one hundred-twenty one (121) change orders to construction contracts.
6. Made twenty-one (21) final payments for construction contracts.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
CHRISMAN-MILLER-WOODFORD LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	N/A	\$ 50,000	07/31/92
FULLER, MOSSBARGER SCOTT & MAY LEXINGTON	PER DIEM CONSULTANT GEOTECHNICAL SERVICES	N/A	\$ 75,000	07/31/92
WHITE-WALKER & McREYNOLDS LEXINGTON	PER DIEM CONSULTANT STRUCTURAL ENGINEERING SERVICES	N/A	\$ 50,000	07/31/92
McCOY & McCOY, INC. LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL SERVICES	N/A	\$ 175,000	07/21/92
FULLER, MOSSBARGER SCOTT & MAY LEXINGTON	PER DIEM CONSULTANT GEOTECHNICAL EXPLORATION	N/A	\$ 36,426	10/14/92
CONSULTANT'S FINAL PAYMENT			\$36,426	02/04/93
PARROTT, ELY & HURT LEXINGTON	PER DIEM CONSULTANT SPECIAL CONSULTING SERVICES COLDSTREAM FARM DEVELOPMENT	N/A	\$ 127,856	10/14/92
PARROTT, ELY & HURT LEXINGTON	PER DIEM CONSULTANT PROJECTS REPORT COLDSTREAM FARM DEVELOPMENT	N/A	\$ 32,347	10/14/92
SHERMAN, CARTER, BARNHART LEXINGTON	FIELD HOUSE Project No. 147.0	\$ 8,500,000	\$ 349,090	07/31/90
Previous Amendments #1-5			+ 79,898	
Amendment #6	Additional Services		+ 6,000	01/20/93
Reason for Amendment: To include additional construction administration services for gymnastics fit-up portion of project.				
Amendment #7	Additional Services		+ 10,000	02/16/93
Reason for Amendment: To provide storm water detention analysis.				
Consultant's Fee To Date:			\$ 444,988	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
POTTS + POTTS ARCHITECTS LEXINGTON	GAINES CENTER FOR THE HUMANITIES Project No. 218.2	\$ 593,000	\$ 37,000	06/29/87
	Previous Amendments #1-3		+ 62,320	
	CONSULTANT'S FINAL PAYMENT		\$ 99,320	02/04/93
STAGGS & FISHER ENGINEERS LEXINGTON	HOSPITAL-WASTE INCINERATOR REPLACEMENT Project No. 337.0	\$ 1,453,600	\$ 64,650	08/31/89
	Previous Amendments #1-2		+ 82,990	
	Consultant's Fee To Date:		\$ 147,539	
ELLERBE BECKET, INC. MINNEAPOLIS, MN	SANDERS BROWN CENTER ON AGING PLANNING Project No. 405.0	\$ 600,000	\$ 542,490	07/31/92
SHERMAN, CARTER, BARNHART LEXINGTON	ROBOTICS FACILITY Project No. 431.0	\$ 10,065,000	\$ 360,000	04/30/87
	Previous Amendments #1-5		+ 87,673	
	Consultant's Fee To Date:		\$ 447,673	
BIAGI, CHANCE, CUMMINS LONDON, TITZER INC. SHELBYVILLE	HOSPITAL/MEDICAL CENTER FIRE SUPPRESSION Project No. 542.0	\$ 1,500,000	\$ 52,919	07/29/88
	Previous Amendments #1-3		+ 95,385	
	Consultant's Fee To Date:		\$ 148,304	
CENTRAL ASSOC. ENGINEERS LEXINGTON	ELECTRIC SUBSTATION #2 EXPANSION Project No. 615.0	\$ 250,000	\$ 12,860	05/30/89
	CONSULTANT'S FINAL PAYMENT		\$ 12,860	02/10/93

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
ROSS-FELDMAN ARCHITECTURE LEXINGTON	SOMERSET C.C. ECONOMIC DEVELOPMENT/ CULTURAL CENTER Project No. 631.0	\$ 11,730,000	\$ 476,862	02/28/90
	Previous Amendments #1-2		+ 125,688	
	Amendment #3 Additional Services		+ 79,198	02/16/93
	Reason for Amendment: Redesign building floor plan and building exterior.			
	Consultant's Fee To Date:		\$ 681,748	
FULLER, MOSSBARGER SCOTT & MAY LEXINGTON	SOMERSET C.C. ECONOMIC DEVELOPMENT/ CULTURAL CENTER Project No. 631.0	\$ 11,730,000	\$ 35,490	11/30/92
	CONSULTANT'S FINAL PAYMENT		\$ 35,490	02/12/93
FULLER, MOSSBARGER SCOTT & MAY LEXINGTON	ASTECC FACILITY & CIVIL ENGINEERING BLDG. Project Nos. 640.1/640.2/746.0	\$ 20,410,000	\$ 19,928	04/30/92
	Previous Amendment #1		+ 25,980	
	Consultant's Fee To Date:		\$ 45,908	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTECC PHASE I Project No. 640.1	\$ 4,438,000	\$ 240,000	12/21/90
	Previous Amendment #1		+ 4,500	
	Consultant's Fee To Date:		\$ 244,500	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTECC PHASE II Project No. 640.2	\$ 4,438,000	\$ 243,872	06/28/91
	Previous Amendments #1-2		+ 10,530	
	Consultant's Fee To Date:		\$ 254,402	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTECC PHASE III Project No. 640.3	\$ 4,499,000	\$ 183,673	06/29/92

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE IV Project No. 640.4	\$ 4,185,000	\$ 229,847	01/27/93
STAGGS & FISHER ENGINEERS LEXINGTON	MEDICAL CENTER UPGRADE FUME HOODS Project No. 644.0	\$ 1,200,000*	\$ 49,000	06/21/89
Previous Amendment #1			+ 490,345*	
Consultant's Fee To Date:			\$ 539,345	
*Amendment #1	Includes consulting fees for \$6 million Project 745.8 for total scope for design purposes of \$7.2 million.			
JERRY A. TAYLOR & ASSOC. LEXINGTON	AGRICULTURAL NORTH & SOUTH FUME HOODS Project No. 644.2/745.2/745.3	\$ 4,283,510	\$ 55,210	09/29/89
Previous Amendments #1-2			+ 264,795	
Consultant's Fee To Date:			\$ 320,005	
PEARSON + BENDER + JOLLY LEXINGTON	ERIKSON HALL PROGRAMMING Project No. 664.0	\$ 20,000	\$ 17,500	10/31/90
MASON & HANGER LEXINGTON	COOLING PLANT #2 EXPANSION Project No. 666.0	\$ 13,750,000	\$ 428,446	12/22/89
Previous Amendments #1-5			+ 410,981	
Consultant's Fee To Date:			\$ 839,427	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	SPINDLETOP FACILITY UPGRADE - PHASE I Project No. 671.1	\$ 805,000	\$ 23,950	10/31/89
Previous Amendment #1			+ 78,100	
Consultant's Fee To Date:			\$ 102,050	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
POTTS + POTTS ARCHITECTS LEXINGTON	PATTERSON OFFICE TOWER ELEVATOR RENOVATION Project No. 683.0	\$ 311,000	\$ 26,615	08/27/90
	Previous Amendment #1		+ 10,780	
	Amendment #2	Project is cancelled due to lack of funding.	- 14,225	01/27/93
	Reason for Amendment:	Project was underfunded.		
	CONSULTANT'S FINAL PAYMENT:		\$ 23,170	02/09/93
COLLIGNON & NUNLEY OWENSBORO	HENDERSON C.C. ACADEMIC/TECHNICAL BUILDING Project No. 722.0	\$ 4,000,000	\$ 178,000	12/21/90
	Previous Amendments #1-2		+ 32,000	
	Consultant's Fee To Date:		\$ 210,000	
COLLIGNON & NUNLEY OWENSBORO	HENDERSON C.C. AUDITORIUM/FINE ARTS BUILDING Project No. 723.0	\$ 6,260,000	\$ 307,000	12/21/90
	Previous Amendments #1-2		+ 36,000	
	Consultant's Fee To Date:		\$ 343,000	
COLLIGNON & NUNLEY OWENSBORO	MADISONVILLE C.C. ADMINISTRATION/ACADEMIC BUILDING REPAIRS Project No. 724.2	\$ 532,464	\$ 37,500	05/29/92
OMNI ARCHITECTS LEXINGTON	OWENSBORO C.C. CAMPUS COMPLETION Project No. 725.0	\$ 4,500,000	\$ 197,344	09/28/90
	Previous Amendments #1-2		+ 42,594	
	Consultant's Fee To Date:		\$ 239,938	
JOHNSON, DEPP & QUISENBERRY OWENSBORO	OWENSBORO C.C. ROADWAY COMPLETION Project No. 725.2	\$ 99,640	\$ 8,400	11/30/92

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
CLOTFELTER & ASSOCIATES LEXINGTON	MAYSVILLE COMM. COLLEGE STUDENT CENTER Project No. 727.0	\$ 4,000,000	\$ 185,500	01/31/91
	Previous Amendment #1		+ 35,065	
	Consultant's Fee To Date:		\$ 220,565	
RICHARDSON ASSOCIATES WHITESBURG	SOUTHEAST C.C. APPALACHIAN CENTER/ FINE ARTS BUILDING Project No. 728.0	\$ 5,000,000	\$ 269,400	11/30/90
	Previous Amendments #1-2		+ 52,300	
	Consultant's Fee To Date:		\$ 321,700	
ROSS-FELDMAN ARCHITECTURE LEXINGTON	LEXINGTON COMM COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 729.0	\$ 4,800,000	\$ 200,000	12/21/90
	Previous Amendments #1-2		+ 27,008	
	Amendment #3	Change wording in "resident inspector"	- 0 -	12/15/92
	Reason for Amendment:	To allow for intended resident inspector services to be paid as a reimbursable service not to be encumbered under the contract.		
	Consultant's Fee To Date:		\$ 227,008	
JOHNSON/ROMANOWITZ LEXINGTON	BELL COUNTY CAMPUS SOUTHEAST C.C./KENTUCKY TECH Project No. 730.0	\$ 9,891,400	\$ 448,503	03/31/92
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	JEFFERSON C.C., SW ACADEMIC BUILDING Project No. 731.0	\$ 3,000,000	\$ 133,906	11/30/90
	Previous Amendments #1-2		+ 32,780	
	Consultant's Fee To Date:		\$ 166,686	
PRESNELL ASSOCIATES, INC. LOUISVILLE	JEFFERSON C.C. PLAZA AND PARKING LOT Project No. 731.1	\$ 263,255	\$ 21,500	08/06/92

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
JOHNSON/ROMANOWITZ LEXINGTON	CHEMISTRY/PHYSICS BUILDING RENOVATION Project No. 732.0	\$ 2,000,000	\$ 93,500	10/31/90
	Previous Amendments #1-2		+ 159,611	
	Consultant's Fee To Date:		\$ 253,111	
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	MEDICAL CENTER FIRE SPRINKLERS Project No. 741.0	\$ 1,000,000	\$ 67,980	09/28/90
POTTS + POTTS ARCHITECTS LEXINGTON	FUNK-HOUSER FIRE SPRINKLERS Project No. 743.0	\$ 322,000	\$ 4,970	10/31/90
	Previous Amendments #1-2		+ 43,225	
	Consultant's Fee To Date:		\$ 48,195	
POTTS + POTTS ARCHITECTS LEXINGTON	AGRICULTURAL SCIENCES #2 FIRE SPRINKLERS Project No. 744.0	\$ 470,000	\$ 6,650	10/31/90
	Previous Amendments #1-2		+ 53,230	
	CONSULTANT'S FINAL PAYMENT		\$ 59,880	12/17/92
JERRY A. TAYLOR & ASSOC. LEXINGTON	CHEMISTRY/PHYSICS FUME HOOD MODERNIZATION Project No. 745.1	\$ 3,180,150	\$ 39,000	04/30/91
	Previous Amendments #1-2		+ 197,100	
	Consultant's Fee To Date:		\$ 236,100	
JERRY A. TAYLOR & ASSOC. LEXINGTON	RESEARCH #1 FUME HOOD MODIFICATION Project No. 745.4	\$ 375,340	\$ 11,945	09/29/89
	Previous Amendment #1		+ 100,900	
	Consultant's Fee To Date:		\$ 112,845	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
HOWARD K. BELL CONSULTING ENGINEERS, INC. LEXINGTON	MEDICAL SCIENCE/DENTAL WINGS ROOF ASBESTOS ABATEMENT Project No. 745.81	\$ 106,826	\$ 9,657	09/30/92
BICKEL-GIBSON ARCHITECTS LEXINGTON	CIVIL ENGINEERING BLDG. Project No. 746.0	\$ 11,650,000	\$ 20,000	11/30/90
	Previous Amendments #1-2		+ 612,937	
	Amendment #3 Additional Services		+ 52,492	12/15/92
	Reason for Amendment: To cover additional services of estimating; phase B design submittals; multiple bid reviews; excavation package and site utility drawings.			
	Consultant's Fee To Date:		\$ 685,429	
CHRISMAN-MILLER-WOODFORD LEXINGTON	MEDICAL RESEARCH EXPANSION SPACE Project No. 747.0	\$ 19,500,000	\$ 1,163,862	01/31/91
	Previous Amendment #1		+ 107,634	
	Consultant's Fee To Date:		\$ 1,271,496	
E O ASSOCIATES ARCHITECTS LEXINGTON	ANIMAL DIAGNOSTIC FACILITY Project No. 748.0	\$ 3,815,000	\$ 198,000	12/21/90
	Previous Amendments #1-2		+ 74,882	
	Consultant's Fee To Date:		\$ 272,882	
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	MEDICAL SCIENCE FREIGHT ELEVATOR Project No. 758.0	\$ 110,000	\$ 10,860	04/30/91
OMNI ARCHITECTS LEXINGTON	HOSPITAL-SHELLED SPACE FIT-UP Project No. 762.0	\$ 5,400,000	\$ 352,497	02/28/91
	Previous Amendment #1		+ 13,818	
	Consultant's Fee To Date:		\$ 366,315	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
WATKINS & ASSOCIATES LEXINGTON	RESIDENCE HALLS RENOVATION Project No. 773.0	\$ 2,866,000	\$ 14,736	02/28/91
	Previous Amendment #1		+ 193,264	
	Consultant's Fee To Date:		\$ 208,000	
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	HOSPITAL NURSING UNIT MODIFICATION Project No. 777.0	\$ 500,000	\$ 34,762	07/31/91
WILBUR SMITH ASSOCIATES LEXINGTON	ELIZABETHTOWN C.C. ACCESS DRIVE/HANDICAP PARKING Project No. 779.0	\$ 99,000	\$ 9,500	06/29/92
McCULLOCH SMITH ARCHITECTS LOUISVILLE	PADUCAH C.C. WALLER HALL RENOVATION Project No. 785.0	\$ 250,000	\$ 10,455	01/06/92
	Previous Amendment #1		+ 13,230	
	Consultant's Fee To Date:		\$ 23,685	
DCT DESIGN GROUP LTD. LEXINGTON	AMBULATORY SURGERY UPGRADE Project No. 798.0	\$ 1,300,000	\$ 97,000	04/30/92
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEWELL HALL FIRE ALARM Project No. 888.0	\$ 95,000	\$ 7,347	04/30/92
	CONSULTANT'S FINAL PAYMENT		\$ 7,347	01/29/93
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	HOLMES HALL FIRE ALARM Project No. 889.0	\$ 180,000	\$ 13,613	04/30/92
POTTS + POTTS ARCHITECTS LEXINGTON	ASHLAND C.C. CLASSROOM RENOVATION Project No. 891.0	\$ 250,000	\$ 18,700	05/29/92

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	PRESTONSBURG C.C. JOHNSON BLDG. FIRE SPRINKLER Project No. 892.0	\$ 95,000	\$ 8,472	12/15/92
PARROTT, ELY & HURT LEXINGTON	COLDSTREAM FARM CIVIL ENGINEERING PHASE I Project No. 894.0	\$ 1,225,300	\$ 235,764	11/18/92
PARROTT, ELY & HURT LEXINGTON	COLDSTREAM RESEARCH CAMPUS SITE DEVELOPMENT UNIT TWO Project No. 894.0	\$ 1,225,300	\$ 309,200	12/18/92
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	HOSPITAL NURSING MODIFICATION III Project No. 896.0	\$ 341,000	\$ 15,000	06/29/92
WHITE, WALKER, McREYNOLDS LEXINGTON	PARKING STRUCTURE #2 STRUCTURAL INVESTIGATION Project No. 898.0	\$ 195,000	\$ 4,943	06/29/92
Previous Amendment #1			+ 8,110	
Consultant's Fee To Date			\$ 13,053	
PRESTON ASSOCIATES LOUISVILLE	HOSPITAL DIAGNOSTIC SERVICES II Project No. 899.0	\$ 120,000	\$ 11,000	06/29/92
PEARSON + BENDER + JOLLY LEXINGTON	MARKEY CANCER CENTER ROACH PATIENT CARE FACILITY Project No. 1410.0	\$ 500,000	\$ 38,113	05/29/92
QUEST ENGINEERS, INC. LEXINGTON	HOSPITAL ELECTRICAL SYSTEM FEASIBILITY STUDY Project No. 1413.0	\$ 20,000	\$ 16,090	08/31/92

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
QUEST ENGINEERS, INC. LEXINGTON	COMMONWEALTH STADIUM BLUE LOT Project No. 1414.0	\$ 438,000	\$ 28,350	07/21/92
Amendment #1	Additional Services		+ \$8,768	03/17/93
Reason for Amendment:	To complete design, construction documents and construction observation for connector road at and paving of Blue Lot.			
Consultant's Fee To Date:			\$ 37,118	
MORGAN C. McILWAIN, ASLA LEXINGTON	PADUCAH C.C. PARKING LOT Project No. 1415.0	\$ 165,000	\$ 15,613	07/14/92
QUEST ENGINEERS, INC. LEXINGTON	MADISONVILLE C.C. AUDITORIUM PARKING LOT Project No. 1419.0	\$ 520,000	\$ 40,766	10/14/92
K. NORMAN BERRY ASSOC. LOUISVILLE	JEFFERSON C.C. FINE ARTS CENTER RENOVATION PHASE I Project No. 1420.0	\$ 129,500	\$ 14,800	11/11/92
Amendment #1	Reduction of Services		- \$2,380	03/17/93
Reason for Amendment:	Services being reduced to renovate one (1) room only due to lack of sufficient funds to complete the other areas.			
Consultant's Fee To Date:			\$ 12,420	
ASSOCIATED DESIGNERS LEXINGTON	ALUMNI GYM FLOOR RENOVATION Project No. 1426.0	\$ 182,000	\$ 14,425	10/14/92
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON C.C. (DOWNTOWN) BOILER REPLACEMENT - BROADWAY BLDG. Project No. 1429.0	\$ 50,000	\$ 4,500	01/20/93
PEARSON + BENDER + JOLLY LEXINGTON	HENDERSON C.C. LRC STAIRWELL RENOVATION Project No. 1430.0	\$ 100,000	\$ 7,750	01/22/93

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
KALLMANN MCKINNELL & WOOD BOSTON, MA	CENTRAL AND LIFE SCIENCES LIBRARY Project No. 1432.0	\$ 58,000,000	\$ 4,365,027	08/21/92
PRESNELL ASSOCIATES, INC. LOUISVILLE	JEFFERSON C.C. LRC ROOF REPLACEMENT Project No. 1434.0	\$ 150,000	\$ 11,135	03/17/93
PRESNELL ASSOCIATES, INC. LOUISVILLE	JEFFERSON C.C. HARTFORD TOWER ROOF REPLACEMENT Project No. 1435.0	\$ 75,000	\$ 6,645	03/17/93
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON C.C. (DOWNTOWN) 1ST FLOOR RENOV. - BROADWAY BLDG. Project No. 1436.0	\$ 260,000	\$ 17,500	01/20/93
QUEST ENGINEERS, INC. LEXINGTON	LEXINGTON C.C. SANITARY SEWER AND STORM DRAINAGE STUDY Project No. 1437.0	\$ 20,000	\$ 14,400	12/15/92
GODSEY ASBESTOS CONSULTANTS LOUISVILLE	MEDICAL CENTER - RM. H27 ASBESTOS REMOVAL Project No. 1439.0	\$ 221,000	\$ 14,125	01/20/93
GODSEY ASBESTOS CONSULTANTS LOUISVILLE	MEDICAL CENTER - RM. H14 ASBESTOS REMOVAL Project No. 1440.0	\$ 69,000	\$ 7,063	01/20/93
HARDY HOLZMAN PFEIFFER ASSOCIATES NEW YORK, NY	CLIFTON CIRCLE PLANNING STUDY Project No. 1445.0	\$ 150,000	\$ 150,000	09/15/92
BUELL-FRYER ASSOCIATES, INC. LEXINGTON	PADUCAH COMM. COLLEGE STUDENT CTR. & LRC STRUCTURAL REPAIRS Project No. 1447.0	\$ 73,000	\$ 7,000	03/02/93
PEARSON + BENDER + JOLLY LEXINGTON	DONOVAN HALL RESTROOMS RENOVATION Project No. 1448.0	\$ 90,000	\$ 7,685	01/22/93

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
MASON & HANGER ENGINEERS LEXINGTON	HAZARDOUS WASTE STORAGE FACILITY Project No. 1456.0	\$ 1,600,000	\$ 78,000	01/22/93
HMC CONSULTANTS, INC. LEXINGTON	MILLER HALL ASBESTOS ABATEMENT Project No. 1465.1	\$ 180,000	\$ 15,100	03/31/93

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
C.E. PENNINGTON CO. LEXINGTON	FIELD HOUSE Project No. 147.0	\$ 6,772,000- 7,240,000	10/29/91	4	\$ 6,772,000.00
Previous Change Orders #1-10					+ 69,193.19
CHANGE ORDER #11	Change size of long jump pit and cover in practice field area from 9' x 32' to revised size 10' x 30'.		01/05/93		+ 537.00
Reason for Change:	Required to conform with NCAA Standards.				
CHANGE ORDER #12	Change floor covering in Rooms 103, 105 and 106 to carpet in lieu of VCT.		02/02/93		+ 125.00
Reason for Change:	Change is requested to better control sound in these areas.				
CHANGE ORDER #13	1. Provide labor and materials to paint inside face of exposed concrete piers in practice field area only. 2. To resolve amount due Contractor concerning brick allowance.		03/08/93		+ 6,065.94
Reason for Change:	1. To improve aesthetic appearance of concrete piers. 2. Increase required to adjust between the allowance and the actual cost of brick.				
CHANGE ORDER #14	Provide labor and materials to install drywall on existing metal studs on exterior walls only at Stairs 113M, 113J and 113F.		03/08/93		+ 931.00
Reason for Change:	Change is required to cover exposed insulation in emergency exit stairways, thus avoiding future damage to insulation.				
CHANGE ORDER #15	C.E. Pennington is to provide a special five (5) year warranty, including labor and materials, for all indirect light fixtures installed in the main practice field.		03/29/93		- 0 -
Reason for Change:	This warranty is to cover all costs associated with any failures of the indirect light fixtures including ballast's, etc.				
CHANGE ORDER #16	Extend Substantial Completion Date by twenty-one (21) days.		03/29/93		- 0 -
Reason for Change:	Time extension due to weather delays July, 1992 and due to coordination of installation of "astro-turf" by separate contract for the University.				
Contractor's Revised Contract Amount To Date:					\$ 6,848,852.13

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
SPECTRUM CONTRACTING SERVICES, INC. LEXINGTON	FIELD HOUSE GYMNASTICS FIT-UP Project #147.3	\$ 184,613- 219,565	02/03/93	9	\$ 184,613.00
ALLIED BUILDERS, INC. INDEPENDENCE	GAINES CTR FOR HUMANITIES Project No. 218.2	\$ 438,000- 533,769	10/29/91	8	\$ 438,000.00
Previous Change Orders #1-9					+ 3,705.39
Contractor's Revised Contract Amount To Date:					\$ 441,705.39
MATTHEWS/BUCKNER LEXINGTON	HOSPITAL EXPANSION PH. II MECHANICAL WORK-TC #9 Project No. 304.1	\$ 5,248,000- 5,482,000	06/28/88	2	\$ 5,248,000.00
Previous Change Orders #1-17					+ 471,238.88
Contractor's Revised Contract Amount To Date:					\$ 5,719,238.88
SPARKS-TERRELL LUMBER CO. LEXINGTON	HOSPITAL - WASTE INCINERATOR REPL. Project No. 337.0	\$ 1,151,515- 1,410,000	05/07/92	4	\$ 1,151,515.00
CHANGE ORDER #1	Modifications to trash chute.		01/25/93		+ 3,898.50
Reason for Change:	Existing compactor could not be used due to its condition. Modification required to allow new compactor to be installed.				
CHANGE ORDER #2	Provide concrete landing and apron adjacent to incinerator room to accommodate ash hopper removal and storage space. Landing to be constructed for use by trash haul trucks.		03/24/93		+ 4,973.25
Reason for Change:	Weight of filled ash hoppers required smooth transition to storage area. Apron has to also accommodate weight of trash haul trucks.				
Contractor's Revised Contract Amount To Date:					\$ 1,160,386.75
R & W CONSTRUCTION CO. LOUISVILLE	ROBOTICS FACILITY Project No. 431.0	\$6,243,000- 6,800,000	11/25/87	6	\$6,243,000.00
Previous Change Orders #1-20					+ 240,239.75
CHANGE ORDER #21	Reduce liquidated damages; excess clean-up; punch list items not completed.		02/24/93		+ 42,827.73

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
R & W CONSTRUCTION CO. LOUISVILLE	ROBOTICS FACILITY Project No. 431.0				CONTINUED
Reason for Change:		Settlement between R & W Const. and the University.			
Contractor's Revised Contract Amount To Date:					\$ 6,526,067.48
BILL'S ELECTRIC CO. LEXINGTON	AGRICULTURE ENGINEERING PARKING LOT LIGHTING Project No. 475.4	\$ 7,964- 11,500	10/26/92	5	\$ 7,964.62
CHANGE ORDER #1		Extend contract completion date by thirty (30) days.	01/05/93		- 0 -
Reason for Change:		Delayed shipping date of parking lot lighting fixture.			
CHANGE ORDER #2		Installation of 1" conduit from existing exterior lighting panelboard to outside of building. Add sawcutting of existing road, installation of 1" conduit under road, replace road surface.	01/05/93		+ 2,743.99
Reason for Change:		Existing 1" conduit as shown on as-builts could not be found by contractor. Contractor could not push conduit under existing road as specified in contract documents.			
Contractor's Revised Contract Amount To Date:					\$ 10,708.61
DALMATIAN FIRE, INC. MASON, OH	HOSPITAL FIRE SUPPRESSION FLOORS 5 & 6 Project No. 542.4	\$ 213,950- 269,458	06/05/91	6	\$ 213,950.00
Previous Change Order #1					+ 3,429.00
Contractor's Revised Contract Amount To Date:					\$ 217,379.00
ECKERT FIRE PROTECTION CINCINNATI, OH	HOSPITAL/MEDICAL CENTER SPRINKLERS - FLOORS 7&8 Project No. 542.5	\$ 234,500- 276,600	08/29/91	6	\$ 234,500.00
CONTRACTOR'S FINAL PAYMENT			03/24/93		\$234,500.00
KORFHAGE LANDSCAPE & DESIGN LOUISVILLE	BUSINESS & ECONOMICS BLDG. LANDSCAPING Project No. 587.3	\$ 53,220- 89,780	09/18/91	7	\$ 53,220.00
Previous Change Order #1					+ 9,758.00
CONTRACTOR'S FINAL PAYMENT			03/29/93		\$ 62,978.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
ARROW ELECTRIC CO., INC. LOUISVILLE	SUBSTATION NO. 2 EXPANSION Project No. 615.0	\$ 170,000- 181,787	03/27/90	7	\$ 170,000.00
Previous Change Order #1					- 0 -
CHANGE ORDER #2	Supply three 1-1/2" - 1-1/4" copper bus reducers to the University		01/27/93		+ 600.00
Reason for Change:	Contractor submitted shop drawings on 1-1/4" - 1-1/4" bus bar connectors which were approved. Upon trying to make connections, discovered existing bus bars were 1-1/2".				
CONTRACTOR'S FINAL PAYMENT			02/16/93		\$ 170,600.00
THE R.H. JENKINS CO. ERLANGER	MEDICAL CENTER HEATING PLANT- BOILER CONTROLS Project No. 616.0	\$ 324,900- 628,450	10/25/90	2	\$ 324,900.00
Previous Change Order #1					+ 3,785.72
Contractor's Revised Contract Amount To Date:					\$ 328,685.72
VOCON, INC. HUNTINGTON, WV	ASHLAND COMM. COLLEGE ACADEMIC/LRC Project No. 630.0	\$ 3,396,319- 3,529,000	04/17/90	3	\$ 3,396,319.00
Previous Change Orders #1-8					+ 60,061.83
Contractor's Revised Contract Amount To Date:					\$ 3,456,380.83
RAM ENGINEERING & CONST.* LOUISVILLE	CIVIL ENGINEERING BUILDING/ ASTeCC PHASES I & II WORK CATEGORY #1 GENERAL EXCAVATION WORK Project Nos. 640.1/640.2/746.2	\$ 847,425- 944,500	10/29/91	3	\$ 847,425.00
Previous Change Orders #1-13					+ 131,748.00
Contractor's Revised Contract Amount To Date:					\$ 979,173.00

* This contract is for three (3) interrelated and concurrent projects and represents the low bid.

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
FINNEY COMPANY, INC.* LEXINGTON	CIVIL ENGINEERING BUILDING/ ASTeCC PHASES I & II WORK CATEGORY #2 MECHANICAL WORK Project No. 640.1/640.2/746.2	\$ 377,000- 454,649	10/29/91	3	\$ 377,000.00
Previous Change Orders #1-8					+ 17,508.00
Contractor's Revised Contract Amount To Date:					\$ 394,508.00
AMTECK OF KENTUCKY* LEXINGTON	CIVIL ENGINEERING BUILDING/ ASTeCC PHASES I & II WORK CATEGORY #3 ELECTRICAL WORK Project No. 640.1/640.2/746.2	\$ 137,800- 179,000	10/29/91	4	\$ 137,800.00
Previous Change Orders #1-5					+ 12,929.00
CHANGE ORDER #6	Labor to disconnect Liebert Power Conditioner to Panel AA in new vault at McVey.		01/07/93		+ 795.00
Reason for Change:	Liebert unit overheated and shutdown on "over temperature" alarm. Contractor took unit out of service to provide power to panels in computer room of McVey.				
CHANGE ORDER #7	Labor and material to refeed sub-panel off panel B in utility closet of computer room in McVey.		01/07/93		+ 232.00
Reason for Change:	Sub-panel was not energized due to faulty switch in existing panel B.				
CHANGE ORDER #8	Labor and material to provide permanent emergency power to Communication equipment in telephone room of McVey.		01/07/93		+ 112.00
Reason for Change:	This equipment required to be kept in operation during outages in McVey.				
CHANGE ORDER #9	Labor and material to refeed power to steam pit from new vault in lieu of from McVey.		01/07/93		+ 1,222.00
Reason for Change:	Feed had to be underground to new vault directly from old steam pit.				

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II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
AMTECK OF KENTUCKY* LEXINGTON	CIVIL ENGINEERING BUILDING/ASTeCC PHASES I & II WORK CATEGORY #3 ELECTRICAL WORK Project No. 640.1/640.2/746.2				CONTINUED
CHANGE ORDER #10	Labor and material to route feed for AC #4 to proper section of new switch gear from existing cable tray in UPS room in sub-basement.		01/07/93		+ 317.00
Reason for Change:	Contractor had to route through two different wireways, add conduit connection and provide temporary connection due to inability to permanently connect as shown on documents.				
CHANGE ORDER #11	Labor and material to provide correct routing to existing panel E in McVey from panel AA in new electrical vault.		01/07/93		+ 922.00
Reason for Change:	Conductors had to be routed through wireway #3 then on to new switch gear.				
CHANGE ORDER #12	Supply labor and equipment necessary to provide temporary power to Faculty Club during 8/30 outage. Rent 100amp generator to supply power required and connect/disconnect generator as required.		03/11/93		+ 970.00
Reason for Change:	To provide temporary power to the Faculty Club during outage to insure no loss of product in refrigerator/freezer.				
Contractor's Revised Contract Amount To Date:					\$ 155,299.00
CONGLETON & HACKER CO. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #1 GENERAL WORK Project No. 640.13/640.23	\$ 1,556,970- 2,600,000	03/23/92	4	\$ 1,556,970.00
Previous Change Orders #1-7					- 60,302.00
Contractor's Revised Contract Amount To Date:					\$ 1,496,668.00
D.W. WILBURN, INC. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #2 MASONRY WORK Project No. 640.13/640.23	\$ 634,500- 932,499	03/24/92	7	\$ 634,500.00

* This contract is for three (3) interrelated and concurrent projects and represents the low bid.

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
GRAYHAWK CORPORATION LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #3A WATERPROOFING Project No. 640.13/640.23	\$ 15,016- 32,000	07/20/92	3	\$ 15,016.00
P & R ROOFING AND SHEET METAL, INC. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #3B ROOFING AND SHEET METAL Project No. 640.13/640.23	\$ 54,535- 77,480	07/20/92	2	\$ 54,535.00
W.R. COLE ASSOCIATES, INC. LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #4A WINDOWS Project No. 640.13/640.23	\$ 109,638- 129,700	09/30/92	3	\$ 109,638.00
W.R. COLE ASSOCIATES, INC. LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #4B SKYLIGHT & CURTAIN WALLS Project No. 640.13/640.23	\$ 73,433- 89,300	09/30/92	2	\$ 73,433.00
ABELL ELEVATOR LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #5 CONVEYING SYSTEMS Project No. 640.13/640.23	\$ 108,932- 155,500	03/25/92	4	\$ 108,932.00
AMTECK OF KENTUCKY LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #7 ELECTRICAL WORK Project No. 640.1e/640.2e	\$ 48,583- 71,640	03/24/92	3	\$ 48,583.00
CHANGE ORDER #1	Remove oil switches in existing electrical to be demolished in excavation of building footprint.		03/15/93		+ 146.00
Reason for Change:	Manhole was shown to be abandoned and not in use.				
CHANGE ORDER #2	Revise circuits in Wesco gear switchgear.		03/19/93		+ 10,041.00
Reason for Change:	To correct backfeed problem.				
Contractor's Revised Contract Amount To Date:					\$ 58,770.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
M'NUTT CONSTRUCTION CO. ELIZABETHTOWN	ELIZABETHTOWN C.C. BOOKSTORE RELOCATION Project No. 656.0	\$ 20,792- 28,520	05/13/92	2	\$ 20,792.00
	Previous Change Orders #1-3		08/14/92		+ 1,708.50
	CONTRACTOR'S FINAL PAYMENT		03/10/93		\$ 22,500.50
CARRIER CORPORATION SYRACUSE, NY	COOLING PLANT REPAIR/ COOLING PLANT #3 EXPANSION Project No. 666.0/666.1	\$ 482,681	09/06/91	1	\$ 482,681.00
	CONTRACTOR'S FINAL PAYMENT		01/15/93		\$ 482,681.00
FINNEY COMPANY, INC. LEXINGTON	COOLING PLANTS #1 & 3 EXPANSION Project No. 666.1	\$ 369,000- 411,910	11/07/91	6	\$ 369,000.00
	Previous Change Orders #1-6				+ 20,947.78
	CHANGE ORDER #7	Relocate condenser water ultrasonic flow meter from pump suction piping to pump discharge piping. Field determine optimum location for sensors.	02/09/93		+ 1,485.00
	Reason for Change:	Relocation required to achieve accurate flow readings.			
	CONTRACTOR'S FINAL PAYMENT		03/29/93		\$ 391,432.78
RELIABLE MECHANICAL, INC. LOUISVILLE	EXPANDED CHILLED WATER PLANT #2 Project No. 666.2	\$ 7,675,000- 8,548,000	12/23/92	7	\$ 7,675,000.00
	CHANGE ORDER #1	Reroute duct bank. Route overhead temporary utilities. Delete three poles	02/01/93		- 17,000.00
	Reason for Change:	Additional site investigation allows for rerouting of duct bank, therefore greatly increasing reliability of Medical Center back-up circuits.			
	CHANGE ORDER #2	1. Reroute circuits from existing HV lineup to existing cooling plant through MH-101A. 2. Reroute circuits purple, orange, yellow, green and red from existing HV lineup to new HV lineup. 3. Plug abandoned 24" storm piping in manhole. Uncover abandoned steam piping to allow abatement of asbestos insulation. Remove tree at northeast corner of Taylor Ed. building.	03/19/93		+ 18,535.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
RELIABLE MECHANICAL, INC. LOUISVILLE	EXPANDED CHILLED WATER PLANT #2 Project No. 666.2				CONTINUED
Reason for Change:	1. Rerouting circuits will minimize down time of existing plant during installation of Cooling Tower. 2. Rerouting circuits from existing HV lineup to new HV lineup will prevent interruption of Medical Center backup circuits during installation of Cooling Tower. 3. Existing uncharted steam condensate piping was uncovered during excavation for new underground electric service.				
CHANGE ORDER #3	Disconnect storm piping at foundation wall of Taylor Ed. Building. Plug abandoned piping. Connect to existing storm leaders in crawl space of Taylor Ed. Route new piping through east foundation wall and terminate in storm manhole adjacent to building.		03/19/93		+ 7,791.00
Reason for Change:	Two (2) existing storm drain lines, not shown on site record drawings, were encountered when excavating for new electrical duct bank. These uncharted lines are active and must be relocated before construction can proceed.				
CHANGE ORDER #4	Provide temporary 15KV cabling and accessories to connect new KU transformer to secondary substructure.		03/19/93		+ 4,775.00
Reason for Change:	Lead time for delivery of new transformer circuit breaker is beyond scheduled installation time.				
Contractor's Revised Contract Amount To Date:					\$ 7,689,101.00
WOODFORD BUILDERS, INC. LEXINGTON	SPINDLETOP FACILITY RENOVATION Project No. 671.1	\$ 642,400- 728,800	11/09/92	11	\$ 642,400.00
CHANGE ORDER #1	New water heater shall be installed on existing pad and plumbed with new relief valve.		02/09/93		+ 9,206.00
Reason for Change:	Existing water heater badly rusted around existing pipe fittings.				
Contractor's Revised Contract Amount To Date:					\$ 651,606.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
TRIPLE D COMMUNICATIONS NICHOLASVILLE	LANDSCAPING AT SPORTS TICKET OFFICE Project No. 702.1	\$ 23,220- 33,474	10/21/92	3	\$ 23,220.00
Previous Change Order #1					+ 1,712.00
Contractor's Revised Contract Amount To Date:					\$ 24,932.00
PEYRONNIN CONSTRUCTION CO. EVANSVILLE, IN	HENDERSON COMM. COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 722.0	\$ 3,266,000- 3,542,000	12/04/91	6	\$ 3,266,000.00
Previous Change Orders #1-10					+ 31,267.00
CHANGE ORDER #11	Credit due for change in location of installation of communication manhole.		01/05/93		- 2,386.04
Reason for Change:	Relocation of communication manhole resulted in shorter distance between manhole and Academic/Technical Building.				
Contractor's Revised Contract Amount To Date:					\$ 3,294,880.96
J.L. POOLE CONSTRUCTION, INC. HENDERSON	HENDERSON COMM. COLLEGE AUDITORIUM/FINE ARTS BLDG. Project No. 723.0	\$ 4,965,000- 5,460,340	12/17/91	4	\$ 4,965,000.00
Previous Change Orders #1-6					+ 7,061.55
CHANGE ORDER #7	1. Change carpet in Rooms 203 thru 209. 2. Change Ragland full range brick "Rag-TeX" to Pine Hall Old Colony full range brick. 3. Additional time requested for equipment use and man-hours to test soil at borrow pit, transport soil from borrow pit to building pad, lake and dam. Time provided for completion of contract is increased by one hundred eight (108) calendar days.		02/25/93		+ 66,597.75
Reason for Change:	1. Colors available for carpet choice number 1 were not compatible with color scheme in remainder of building. 2. Pine Hall "Old Colony" was most consistent with brick on existing buildings on campus. 3. Upon commencement of site work, existing building site was very wet and subject to "pumping". Condition did not dry out in Spring as hoped.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
J.L. POOLE CONSTRUCTION, INC. HENDERSON	HENDERSON COMM. COLLEGE AUDITORIUM/FINE ARTS BLDG. Project No. 723.0				CONTINUED
CHANGE ORDER #8	1. Change location of locks on all floating glass doors from adjacent to door pull to bottom of rail. 2. Change finish of metal on all glass entrances to polished brass from anodized aluminum.		03/12/93		+ 3,878.45
Reason for Change:	1. This alternative was selected as a way to minimize costs associated with changing metal finish of doors. 2. This was an oversight during review and should have been brass to have been consistent.				
Contractor's Revised Contract Amount To Date:					\$ 5,042,537.75
ALADDIN ELECTRIC, INC. MADISONVILLE	HENDERSON COMM. COLLEGE AUDITORIUM/FINE ARTS BUILDING SITE UTILITIES Project No. 723.1	\$ 42,288- 88,988	07/21/92	5	\$ 42,288.00
BUFCO CORPORATION/DBA BUFCO ELECTRIC EVANSVILLE, IN	HENDERSON COMM. COLLEGE COMMUNICATION DUCTBANK AND OUTSIDE PLANT TELEPHONE CABLE INSTALLATION Project No. 723.3	\$ 92,586- 139,860	08/25/92	6	\$ 92,586.00
HUBER, HUNT & NICHOLS INDIANAPOLIS, IN	MADISONVILLE C.C. ACADEMIC BLDG. STRUCTURAL REPAIRS Project No. 724.0	\$ 75,000	02/19/91	1	\$ 75,000.00
Previous Change Orders #1-3					+ 46,758.56
CONTRACTORS FINAL PAYMENT			01/11/93		\$ 121,758.56
PRINCETON LUMBER CO., INC. PRINCETON	MADISONVILLE COMM. COLLEGE ADMINISTRATION BUILDING COMPLETION Project No. 724.2	\$ 371,400- 424,250	08/25/92	8	\$ 371,400.00
Previous Change Orders #1-4					+ 20,580.00
CHANGE ORDER #5	Add one (1) 3'-0" x 7'-0" door with 1/2 glass, one (1) closer and hinges for opening #E30 - Corridor 130.		01/05/93		+ 579.00
Reason for Change:	Additional door needed to secure word processing area.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD NO. OF DATE BIDDERS	CONTRACT PRICE
PRINCETON LUMBER CO., INC. PRINCETON	MADISONVILLE COMM. COLLEGE ADMINISTRATION BUILDING COMPLETION Project No. 724.2			CONTINUED
CHANGE ORDER #6	Additional drywall work required for following rooms: a. Extend walls to deck in Storage Room 128 b. Replace damaged drywall of Game Room 124 c. Extend walls to deck - Chair Storage Room 125 d. Extend walls to deck - Bookstore Storage Room 138		01/05/93	+ 2,596.00
Reason for Change:	Item b - Drywall damaged due to removal of existing decorative masonite panels. Items a, c & d - Required by Department of Housing, Building and Construction.			
CHANGE ORDER #7	Investigate and repair damaged roof drain piping on south end of crawl space area.		01/19/93	+ 924.00
Reason for Change:	Work required to repair damaged roof drainage pipe. Water from drainage pipe was entering new construction in area of Bookstore			
CHANGE ORDER #8	Install access doors in ceilings of Student Government 122 and Vestibule 126.		01/26/93	+ 346.00
Reason for Change:	Required to provide access to new electrical circuits for NEC requirements.			
CHANGE ORDER #9	Install 2-hr. rated wall on north side of Darkroom 134, adjacent to existing HVAC shaft.		02/01/93	+ 1,207.00
Reason for Change:	Requirement of the Department of Kentucky Housing, Buildings and Construction.			
CHANGE ORDER #10	Furnish and install 9" R-30 insulation in ceiling space along outside wall in Offices 110, 115, and Word Processing 110.		02/02/93	+ 673.00
Reason for Change:	Discovered at time of exterior re-bricking that there was no exterior insulation in new wall and roof system.			
CHANGE ORDER #11	1. Furnish additional data outlets in Rm. 126 2. Add two additional exit lights - to be installed over existing opening E-12.		02/02/93	+ 1,041.00
Reason for Change:	1. There would not have been sufficient outlets provided in original contract to hookup all computers used during registration. 2. Existing signs are not consistent with new signs installed in remainder of corridor.			

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
PRINCETON LUMBER CO., INC. PRINCETON	MADISONVILLE COMM. COLLEGE ADMINISTRATION BUILDING COMPLETION Project No. 724.2				CONTINUED
CHANGE ORDER #12	Floor repair for excessive number of "pits" in floor. Area to be repaired are floors in Room 126 and south end of Corridor 130.		02/10/93		+ 437.00
Reason for Change:	Original floor tile removed as part of asbestos abatement project, leaving "pits" in floor surface.				
CHANGE ORDER #13	Add solid core wood door with continuous hinge in opening #15. Add hollow metal door, frame and hinges in opening between bookstore and corridor. Add two (2) each aluminum angles to walls between Office 111 & 112 and between office 113 & 114.		02/25/93		+ 930.00
Reason for Change:	Existing frame would not allow revolving swing of existing door. Door was omitted from door schedule. Minor change in detail between existing windows and new walls to allow for existing blinds to remain.				
Contractor's Revised Contract Amount To Date:					\$ 400,713.00
HARTZ CONSTRUCTION CO. OWENSBORO	OWENSBORO COMM. COLLEGE CAMPUS COMPLETION Project No. 725.0	\$ 3,218,000- 3,479,749	12/05/91	5	\$ 3,218,000.00
Previous Change Orders #1-10					+ 32,553.50
CHANGE ORDER #11	To furnish and install carpet squares in lieu of vinyl composition tile on access floors of Rms. S106 and S108 of Classroom Building.		12/7/92		+ 1,307.00
Reason for Change:	Contract documents call for VCT which is inappropriate finish for use in "Distance Learning Classroom" where noise must be kept to a minimum.				
CHANGE ORDER #12	Remove and abandon existing storm drainage pipe on North and South drive at Student Center.		12/7/92		+ 600.00
Reason for Change:	Pipes were interfering with new construction.				
CHANGE ORDER #13	Additional lighting to display cases in Corridor III and Room W102 in Classroom Bldg.		12/8/92		+ 526.04
Reason for Change:	No lighting had been provided in contract documents.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD NO. OF DATE BIDDERS	CONTRACT PRICE
HARTZ CONSTRUCTION CO. OWENSBORO	OWENSBORO COMM. COLLEGE CAMPUS COMPLETION Project No. 725.0			CONTINUED
CHANGE ORDER #14	Eliminate two (2) manholes and install two (2) cleanouts at connection to main sewer for Student Center.		01/06/93	- 1,554.00
Reason for Change:	Below grade sanitary sewer was rerouted which resulted in deletion of two (2) manholes and addition of two (2) cleanouts.			
CHANGE ORDER #15	Time provided for completion of contract is increased by fourteen (14) calendar days.		01/19/93	- 0 -
Reason for Change:	Time delays due to rain.			
CHANGE ORDER #16	1. Change vinyl composition tile to carpet in Student Center; Rooms 200, 200A, 206 & 213. 2. Change carpet from Lees Prospectus to Innovative Flooring System's Cobblestone II.		01/26/93	+ 3,054.15
Reason for Change:	1. & 2. Provide formal and quieter environment in Dining Rooms and Lounges .			
CHANGE ORDER #17	1. Extend contract completion date by one hundred-twelve (112) calendar days for Student Center. 2. Electrical work to Bookstore counter.		02/01/93	+ 395.69
Reason for Change:	1. Time delays due to re-routing utilities. 2. Electrical work requested based on location of Bookstore counter.			
CHANGE ORDER #18	Additional power and data outlets for Student Center		02/09/93	+ 500.00
Reason for Change:	To provide hook-ups for video monitor in Student Center. Video monitor will be used to notify students and faculty of upcoming events and dates.			
CHANGE ORDER #19	Build a Kiosk Monitor between Janitor 203 and Corridor 216 in Student Center.		02/09/93	+ 395.00
Reason for Change:	To provide visual and audible student information.			
Contractor's Revised Contract Amount To Date:				\$ 3,255,777.38

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
HUBER, HUNT & NICHOLS INDIANAPOLIS, IN	MADISONVILLE C.C. ACADEMIC LEARNING RESOURCE CENTER Project No. 726.0	\$ 50,000	02/19/91	1	\$ 50,000.00
	Previous Change Orders #1-2				+ 145,373.98
CONTRACTORS FINAL PAYMENT			01/11/93		\$ 195,373.98
K & K NURSERY & FARMS WHITE PLAINS	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. WORK CATEGORY #2 LANDSCAPING Project No. 726.0	\$ 20,119- 38,958	08/20/91	2	\$ 20,119.69
CONTRACTORS FINAL PAYMENT			03/04/93		\$ 20,119.69
RIVER CITY DEVELOPMENT LOUISVILLE	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. WORK CATEGORY #3 MASONRY WORK Project No. 726.0	\$ 292,800- 311,184	08/08/91	2	\$ 292,800.00
	Previous Change Orders #1-2				- 7,536.00
CONTRACTOR'S FINAL PAYMENT			12/22/92		\$ 285,264.00
PROGRESSIVE CONSTRUCTORS PRINCETON	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. WORK CATEGORY #4 METAL WORK Project No. 726.0	\$ 235,000- 249,813	08/08/91	1	\$ 235,000.00
	WORK CATEGORY #8 TILE & TERRAZZO Project No. 726.0	\$ 46,000	08/08/91	1	\$ 46,000.00
	WORK CATEGORY #11 ARCHITECTURAL WOODWORK Project No. 726.0	\$ 76,000- 77,699	08/08/91	2	\$ 76,000.00
TOTAL CONTRACT AMOUNT:					\$ 357,000.00
	Previous Change Order #1				+ 800.00
CONTRACTOR'S FINAL PAYMENT			12/22/92		\$ 357,800.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
DOVER ELEVATOR CO. EVANSVILLE, IN	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. WORK CATEGORY #12 ELEVATOR WORK Project No. 726.0	\$ 26,900	08/13/91	1	\$ 26,900.00
CONTRACTOR'S FINAL PAYMENT			03/04/93		\$ 26,900.00
DENHAM-BLYTHE CO., INC. LEXINGTON	MAYSVILLE COMM. COLLEGE STUDENT CENTER Project No. 727.0	\$ 3,371,900- 4,093,300	01/08/92	4	\$ 3,371,900.00
Previous Change Order #1					- 2,000.00
CHANGE ORDER #2	Add additional 85' of sanitary sewer piping required by relocation of Manhole #A4.		12/14/92		+ 2,137.00
Reason for Change:	Manhole #A4 relocated because depth of existing sanitary sewer did not match survey information.				
CHANGE ORDER #3	Change plumbing piping below slab from cast iron to PVC.		12/21/92		- 12,230.00
Reason for Change:	Contractor's recommendation based on valued engineering. PVC will perform equal to or better than cast iron.				
CHANGE ORDER #4	Lowered footing along "S" line approximately 2' below elevations. Foundation wall redesigned and strengthened by addition of counterforts to concrete wall.		01/06/93		+ 22,859.00
Reason for Change:	Footing lowered to reach suitable bearing conditions. Counterforts added due to combination of column point loads.				
CHANGE ORDER #5	Miscellaneous steel items added/revised at various locations.		01/26/93		+ 15,076.00
Reason for Change:	Various steel items added and/or revised during shop drawing review process at direction of structural engineer to ensure integrity of building structure.				
CHANGE ORDER #6	Various revisions made to cast-in-place concrete footings. Time provided for completion of contract is increased by fifty-nine (59) calendar days.		02/01/93		+ 46,430.00
Reason for Change:	Changes required due to presence of undetected mud seams and layers of rock which proved to be unsuitable for bearing.				

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
DENHAM-BLYTHE CO., INC. LEXINGTON	MAYSVILLE COMM. COLLEGE STUDENT CENTER Project No. 727.0				CONTINUED
CHANGE ORDER #7	Upper level foundations changed from spread footings and formed piers to drilled caissons.		02/09/93		+ 5,685.00
Reason for Change:	Due to unsuitable bearing conditions at plan depth of footings, many of the caissons had to be extended.				
Contractor's Revised Contract Amount To Date:					\$ 3,449,857.00
GREEN CONSTRUCTION CO., INC. MIDDLESBORO	SOUTHEAST COMM. COLLEGE APPALACHIAN CENTER/ FINE ARTS BUILDING Project No. 728.0	\$ 3,927,900- 4,226,449	12/18/91	7	\$ 3,927,900.00
Previous Change Orders #1-13					+ 74,339.62
CHANGE ORDER #14	Extend existing 18" reinforced concrete storm drain.		12/18/92		+ 954.50
Reason for Change:	Contract documents indicated that new 18" storm sewer would tie into existing storm sewer line.				
CHANGE ORDER #15	Provide labor and materials to modify Control Room 231A to be equipped identical to TV/Sound Control Room 212A.		01/06/93		+ 5,447.48
Reason for Change:	Will allow desired utilization of this space, as per original program requirements.				
CHANGE ORDER #16	Install 8" bullnosed block around protruding beams in Stairwell C at main and upper levels.		01/20/93		+ 736.64
Reason for Change:	Beam in Stairwell C at main and upper levels protrudes from block by 1".				
CHANGE ORDER #17	Add one (1) roof drain in new boiler room and connect one (1) roof drain in existing boiler room.		02/09/93		+ 4,318.02
Reason for Change:	Roof drain piping for new boiler room addition (adjacent to new building) was not show on Mechanical drawings.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
GREEN CONSTRUCTION CO., INC. MIDDLESBORO	SOUTHEAST COMM. COLLEGE APPALACHIAN CENTER/FINE ARTS BUILDING Project No. 728.0				CONTINUED
CHANGE ORDER #18	Add additional drywall soffits in 120A and 212 covering steel beams. Also add drywall extension of block wall in 104D.		03/16/93		+ 1,192.09
Reason for Change:	Provide drywall soffit to cover exposed structural beams in public areas. Continuous wall between storage and office area will help stop sound transmission and access between office areas and storage area.				
Contractor's Revised Contract Amount To Date:					\$ 4,014,888.35
CONGLETON HACKER CO. LEXINGTON	LEXINGTON COMM. COLLEGE ACADEMIC TECHNICAL BLDG. Project No. 729.0	\$ 3,357,869- 3,770,000	12/09/91	7	\$ 3,357,869.00
Previous Change Orders #1-16					+ 78,740.30
CHANGE ORDER #17	Revise two drinking fountains.		12/8/92		+ 460.00
Reason for Change:	To comply with Americans with Disabilities Act.				
CHANGE ORDER #18	Revise North-South walkway. Revise walk to South building entrance and relocation of pole lights. Delete walk East toward Commonwealth Drive. Add walk across island in parking lot. Delete concrete paving to provide planters. Excavate minimum of 12" soil from beneath all walks North of East/West Promenade walk. Revise curb ramps.		12/8/92		- 3,611.00
Reason for Change:	To comply with Americans with Disabilities Act.				
CHANGE ORDER #19	1. Existing manhole cover to remain. New manhole cover specified for enlarging present opening should be cut into opposite end of vault. 2. Provide cable supports in manhole to prevent cables from laying in bottom of existing manhole. 3. Provide a 2'-0" tail stand for new switch constructed of aluminum or stainless steel.		12/14/92		+ 6,267.50
Reason for Change:	1. Work at manhole cover required to have adequate access to operate switch. 2. Cable supports and stand desired to keep cables and switch out of potential water in manhole. 3. To keep cable switch from contact with ground and water.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CONGLETON HACKER CO. LEXINGTON	LEXINGTON COMM. COLLEGE ACADEMIC TECHNICAL BLDG. Project No. 729.0				CONTINUED
CHANGE ORDER #20	Revise Contract according to approved unit prices for actual quantities of caissons installed.		01/06/93		+ 4,215.24
Reason for Change:	Adjust Contract according to actual quantities encountered during installation of caissons.				
CHANGE ORDER #21	Extend contract completion date by eleven (11) calendar days.		01/06/93		- 0 -
Reason for Change:	Unusual inclement weather and abnormal precipitation hampered construction progress.				
CHANGE ORDER #22	Clip angle braces are required at interior non-loading CMU walls on second floor which are greater than 15'-0" in length.		01/19/93		+ 2,862.00
Reason for Change:	Clip angles are required for lateral bracing of second floor CMU walls.				
CHANGE ORDER #23	- Specifications called for wood species for all exposed surface shall be red oak, rift sawn. Additional cost for rift sawn will be shared between Contractor and Owner. - Extend communication ductbank from Fieldhouse to construction fence. Time for completion of contract is increased by three (3) calendar days.		01/27/93		+ 2,743.00
Reason for Change:	- Cost was split due to conflict of wording in specifications. - Ductbank relocated due to conflicts with other existing underground utilities.				
CHANGE ORDER #24	- Install 4" perforated PVC pipe from excavated pit adjacent to walkway canopy. Install outlet pipe of 4" non-perforated PVC pipe to drain to existing Drainage Inlet #7. - Delete Allowance No. 2 regarding purchase and planting of trees and shrubs. - Provide a black lexan divider at eight locations in office areas where a CMU partition is centered on a window mullion. Increase contract completion date by six (6) calendar days.		02/09/93		- 44,370.00
Reason for Change:	- Required to control subsurface ground water in order to minimize settlement of walkways in area of walkway canopy. - Landscape work is to be bid as a separate project. - To close space between window mullion and wall.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CONGLETON HACKER CO. LEXINGTON	LEXINGTON COMM. COLLEGE ACADEMIC TECHNICAL BLDG. Project No. 729.0				CONTINUED
CHANGE ORDER #25	Revise railings for Stair A and Corridor 200.		02/09/93		+ 15,051.00
Reason for Change:	To adjust contract allowance to actual cost to the railings.				
CHANGE ORDER #26	1. Delete 30A/3P, 208V/3 phase weatherproof disconnect at compactor. Provide 30A/3P, 480V/3 phase weather proof disconnect at compactor. Extend conduit and conductors on to 480 volt panelboard to Oswald Building. Time provided for completion of contract is increased by one (1) calendar day. 2. Provide pressure sensitive vinyl lettering at three (3) building entrance locations. This lettering shall be provided in lieu of dimensional letters included in contract as Allowance No. 1		02/19/93		- 1,397.00
Reason for Change:	1. Change is required to provide 480V power supply to trash compactor as required for equipment. 2. Provide signage which will be similar to existing campus buildings.				
CHANGE ORDER #27	1. Add exit lights and emergency lights to Room 110. Time provided for completion of contract is increased by three (3) calendar days. 2. Supply suspended drywall ceiling and gypsum board at Elevator Equipment Room 103.		02/19/93		+ 2,358.00
Reason for Change:	1. Change is required to comply with requirements of Kentucky Building Code. 2. Change is required to provide a one hour rated ceiling as required by Building Code.				
CHANGE ORDER #28	Revise floor tile pattern in corridors.		02/19/93		+ 863.00
Reason for Change:	Change is required to provide additional material required to revise floor tile pattern.				
CHANGE ORDER #29	1. Provide and install rubber tire flooring at first floor of Stair A and Stair B. 2. Add one (1) fire alarm break glass station in first floor entrance vestibule. Time provided for completion of contract is increase by one (1) calendar day.		02/25/93		+ 1,076.00
Reason for Change:	1. Change is required because due to unclear note on finish schedule, Contractor included in bid rubber tile flooring to match landings rather than that which the University had wanted. Contractor will pay half the cost. 2. Change is required to comply with provisions of the Kentucky Building Code.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CONGLETON HACKER CO. LEXINGTON	LEXINGTON COMM. COLLEGE ACADEMIC TECHNICAL BLDG. Project No. 729.0				CONTINUED
CHANGE ORDER #30	1. Roll and till pad area to dry wet fill material. 2. Use of additional equipment to dry and compact wet fill material. 3. Additional excavation of unsuitable soil required for construction of canopy column footings. Time provided for completion of the contract is increased by eighty-two (82) calendar days.		03/09/93		+ 40,114.00
Reason for Change:	1 & 2 Additional work required to continue progress on project in spite of fill material provided by the University that was too wet to be compacted, and to remove unexpected unsuitable soil material encountered during excavation. 3. Additional work required due to soil materials encountered which were unsuitable for bearing of canopy columns.				
Contractor's Revised Contract Amount To Date:					\$ 3,463,241.04
F.W. OWENS CO. LOUISVILLE	JEFFERSON C.C. COLLEGE SW ACADEMIC BUILDING Project No. 731.0	\$ 2,054,400- 2,214,874	07/25/91	8	\$ 2,054,400.00
Previous Change Orders #1-14					+ 31,156.00
Contractor's Revised Contract Amount To Date:					\$ 2,085,556.00
RIVERSIDE PAVING & CONTRACTING, INC. LOUISVILLE	JEFFERSON COMM COLLEGE SW PLAZA AND PARKING LOT Project No. 731.1	\$ 138,990- 190,581	11/09/92	5	\$ 138,990.00
CHANGE ORDER #1	1. Add catch basin and pipe at outfall; modifications to headwall structure. 2. Undercut unsuitable subgrade and replace with #3 stone backfill. Time provided for completion of contract is increased by ten (10) calendar days.		01/20/93		+ 6,995.25
Reason for Change:	1. Conflict with existing waterline forced lowering of outfall. 2. Unsuitable (soft) subgrade encountered during proof rolling, stabilization was required.				
Contractor's Revised Contract Amount To Date:					\$ 145,985.25

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
WOODFORD BUILDERS, INC. LEXINGTON	CHEMISTRY/PHYSICS BUILDING RENOVATION Project No. 732.0	\$ 1,128,400- 1,299,000	12/04/91	8	\$ 1,128,400.00
Previous Change Orders #1-28					+ 37,242.61
CHANGE ORDER #29	Provide conduit and wiring as required to connect tamper switch associated with glycol loop sprinkler system at cooling tower.		01/26/93		+ 598.00
Reason for Change:	Connection is required for proper operation of fire alarm system.				
CHANGE ORDER #30	Provide one (1) display case 4' high by 12' long by 2' deep.		02/02/93		+ 1,175.00
Reason for Change:	Existing display case could not be removed and reinstalled without damaging case beyond repair.				
Contractor's Revised Contract Amount To Date:					\$ 1,167,415.61
ADVANCED FIRE PROTECTION WILDER	FUNKHOUSER FIRE SPRINKLERS Project No. 743.0	\$ 183,216- 243,760	04/14/92	7	\$ 183,216.00
Previous Change Orders #1-6					+ 8,778.80
CHANGE ORDER #7	Install one sidewall sprinkler head in top of stairs between third and fourth floors.		02/26/93		+ 100.00
Reason for Change:	Required by Division of Building Codes Enforcement.				
Contractor's Revised Contract Amount To Date:					\$ 192,094.80
ECKERT FIRE PROTECTION CINCINNATI, OH	AGRICULTURAL SCIENCE #2 FIRE SPRINKLERS Project No. 744.0	\$ 245,000- 286,000	12/09/91	4	\$ 245,000.00
Previous Change Orders #1-5					+ 5,090.00
CONTRACTOR'S FINAL PAYMENT			12/23/92		\$ 250,090.00
MILNER ELECTRIC LEXINGTON	AGRICULTURE SCIENCE NO.2 EMERGENCY GENERATOR Project No. 744.1	\$ 51,346- 77,982	12/09/92	5	\$ 51,346.00
RELIABLE MECHANICAL, INC. LOUISVILLE	CHEMISTRY/PHYSICS BLDG. FUME HOOD UPGRADE Project No. 745.1	\$ 2,806,789- 4,019,000	10/10/92	2	\$ 2,806,789.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
A & A MECHANICAL, INC. LOUISVILLE	FUME HOOD SYSTEM UPGRADE AG. SCIENCE NORTH BLDG. #1 Project No. 745.2	\$ 2,642,276- 3,218,850	02/23/93	6	\$ 2,806,789.00
FRED CLEMENTS HEATING & AIR LEXINGTON	FUME HOOD SYSTEM UPGRADE AGRICULTURAL SCIENCE #2 Project No. 745.3	\$ 574,308- 599,680	04/14/92	2	\$ 574,308.00
Previous Change Orders #1-14					+ 23,229.16
CHANGE ORDER #15	Install ladder to access existing fans. Install access doors in intake and discharge ductwork of exhaust fan.		12/21/92		+ 795.00
Reason for Change:	New ductwork installed in roof well prevents access from mechanical room. Intake and discharge ductwork access doors requested by University to allow Physical Plant to inspect existing fan.				
CHANGE ORDER #16	Provide labor for temporary removal of explosion relief vent. Reinstall vent following completion of new duct installation. Time provided for completion of Contract is increased by three (3) calendar days.		01/06/93		+ 2,062.00
Reason for Change:	Location of existing explosion relief vent is such that it interferes with installation of new exhaust ductwork.				
CHANGE ORDER #17	Dismantle existing inlet vane dampers on AS-102-S. Remove rust and debris from damper bearings, lubricate and reinstall. Time provided for completion of Contract is increased by three (3) calendar days.		01/06/93		+ 947.00
Reason for Change:	Dampers were frozen in place due to corrosion and lack of lubrication. Contractor's labor restored dampers to working condition.				
Contractor's Revised Contract Amount To Date:					\$ 601,341.16
E.C. MATTHEWS CO., INC. LEXINGTON	FUME HOOD SYSTEM UPGRADE II AGRICULTURE SCIENCE NO.2 Project No. 745.33	\$104,500- 148,775	08/03/92	4	\$ 104,500.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
THE MATTINGLY COMPANY LEXINGTON	CIVIL ENGINEERING DEMO. & MODIFICATION WORK CATEGORY #1 GENERAL DEMOLITION WORK Project No. 746.1	\$ 197,000- 322,000	07/29/91	5	\$ 197,000.00
	Previous Change Orders #1-2				+ 1,763.00
CONTRACTOR'S FINAL PAYMENT			01/15/93		\$ 198,763.00
LAGCO, INC. LEXINGTON	CIVIL ENGINEERING DEMO & MODIFICATION WORK CATEGORY #2 MECHANICAL WORK Project No. 746.1	\$ 70,331- 89,342	07/29/91	2	\$ 70,331.00
	Previous Change Orders #1-3				+ 15,665.00
Contractor's Revised Contract Amount To Date:					\$ 85,996.00
WOODFORD BUILDERS LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #1 GENERAL WORK Project No. 746.3	\$ 1,764,000- 1,910,000	02/25/92	5	\$ 1,764,000.00
	Previous Change Orders #1-5				- 12,795.00
CHANGE ORDER #6	Repair the site fence blown down by 50+ mph winds.		03/15/93		+ 259.00
Reason for Change:	High winds caused this damage which would be covered under builders risk.				
CHANGE ORDER #7	Revise louver support steel at head of louver.		03/19/93		+ 192.00
Reason for Change:	To allow proper alignment of louver head with adjacent windows.				
CHANGE ORDER #8	1. Addition of galvanized brick lintel at brick fascia above east entrance to Civil Engineering Bldg. from the plaza. 2. Revision of cantilever beams at elevator shaft on third level.		03/24/93		+ 726.00
Reason for Change:	1. Brick lintel could not be built as shown on drawings. 2. Beam was too short for block wall to be properly installed.				
Contractor's Revised Contract Amount To Date:					\$ 1,752,382.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
BOYLE MASONRY, INC. STANFORD	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #2 MASONRY WORK Project No. 746.3	\$ 635,400- 1,098,000	02/28/92	6	\$ 635,400.00
Previous Change Orders #1-2					+ 1,348.00
Contractor's Revised Contract Amount To Date:					\$ 636,748.00
EAGLE ROOFING & SHEET METAL LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #3 ROOFING & SHEET METAL Project No. 746.3	\$ 159,700- 271,109	02/28/92	5	\$ 159,700.00
W.R. COLE AND ASSOCIATES LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #4 SKYLIGHTS & WINDOWS Project No. 746.3	\$ 253,255- 284,500	02/26/92	3	\$ 253,255.00
D & C ELEVATOR LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #5 CONVEYING SYSTEMS Project No. 746.3	\$ 182,294- 249,000	02/28/92	3	\$ 182,294.00
Previous Change Order #1					+ 435.00
Contractor's Revised Contract Amount To Date:					\$ 182,729.00
LAGCO, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #6 MECHANICAL WORK Project No. 746.3	\$ 108,607- 125,430	02/26/92	3	\$ 108,607.00
Previous Change Orders #1-6					- 2,634.00
Contractor's Revised Contract Amount To Date:					\$ 105,973.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
AMTECK OF KENTUCKY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #7 ELECTRICAL WORK Project No. 746.3	\$ 76,779- 157,400	02/28/92	5	\$ 76,779.00
	Previous Change Order #1				+ 482.00
CHANGE ORDER #2	1. Trouble shoot and repair wall pak lights at walkway on north side of Anderson Hall. 2. Relocate light pole removed from inside construction west of south lab to NE corner of B & E Building. 3. Add additional access to existing electric manhole E-108-1.		03/19/93		+ 3,260.00
Reason for Change:	1. Maintenance of light was not included in scope of work. 2. Area lacked sufficient light since completion of B & E project. 3. Manhole was too small to allow proper operation of switch from existing manhole cover.				
Contractor's Revised Contract Amount To Date:					\$ 80,521.00
MARKSBURY-CORNETT ENG. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #1 GENERAL WORK Project No. 746.4	\$ 480,000- 578,890	06/19/92	3	\$ 480,000.00
BOYLE MASONRY, INC. STANFORD	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #2 MASONRY WORK Project No. 746.4	\$ 294,425	06/18/92	1	\$ 294,425.00
CHANGE ORDER #1	Provide detailed breakdown to add drilling and installation of necessary block anchors at interior columns for support.		02/19/93		+ 2,100.00
Reason for Change:	Location of interior walls was unknown at time of bid. Therefore, dovetail anchors and slots were not specified nor installed in columns.				
Contractor's Revised Contract Amount To Date:					\$ 296,525.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
W. R. COLE & ASSOC. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #3 ELECTRICAL WORK Project No. 746.4	\$ 63,717	06/23/92	1	\$ 63,717.00
L. STANDAFER CO. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #4 LATH, PLASTER AND DRYWALL Project No. 746.4	\$ 86,100- 134,718	06/23/92	5	\$ 86,100.00
MARTINA BROTHERS LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #5 CERAMIC TILE Project No. 746.4	\$ 11,970	06/19/92	1	\$ 11,970.00
COMMERCIAL DRYWALL NICHOLASVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #6 ACOUSTICAL CEILINGS Project No. 746.4	\$ 45,258- 58,500	06/23/92	6	\$ 45,258.00
L. STANDAFER CO.* LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #7 RESILIENT FLOORING AND CARPETING Project No. 746.4	\$ 33,300- 57,400	06/23/92	4	\$ 50,995.00
THOMPSON PAINTING & DECORATING, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #8 PAINTING AND SPECIAL COATINGS Project No. 746.4	\$ 99,000- 156,213	06/24/92	4	\$ 99,000.00
ECKERT FIRE PROTECTION CINCINNATI, OH	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #9 FIRE PROTECTION WORK Project No. 746.4	\$ 89,339- 146,600	06/23/92	5	\$ 89,339.00

* The contract was awarded to the second low bidder. The low bidder presented convincing evidence that a mistake was made on the bid submitted. This action was taken under provisions of KRS 45A.080 and Administrative Regulations 200 KAR 5:306.

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
AMTECK OF KENTUCKY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #12 ELECTRICAL WORK Project No. 746.4	\$ 594,250- 663,000	06/23/92	5	\$ 594,250.00
LEXINGTON BUILDING SUPPLY LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #13 HOLLOW METAL WORK Project No. 746.4	\$ 23,206- 26,700	06/19/92	3	\$ 23,206.00
LEXINGTON BUILDING SUPPLY LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #14 FINISH HARDWARE Project No. 746.4	\$ 42,221- 53,200	06/19/92	3	\$ 42,221.00
ENGLISH PAVING CO., INC. VERSAILLES	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #1 SITE UTILITIES Project No. 746.5	\$ 92,300- 169,400	09/30/92	3	\$ 92,300.00
WOODFORD BUILDERS, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #2 SITE IMPROVEMENTS Project No. 746.5	\$ 214,700- 314,100	09/30/92	3	\$ 214,700.00
DALTON MAINTENANCE, INC. MEDWAY, OH	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #3 MOISTURE PROTECTION Project No. 746.5	\$ 77,107- 97,590	10/13/92	2	\$ 77,107.00
SMITH & SCHAEFER, INC. CINCINNATI, OH	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #4 CASEWORK/FURNISHINGS/BLINDS Project No. 746.5	\$ 644,040- 778,700	11/05/92	2	\$ 644,040.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
ENERGY CONSERVATION TECHNIQUES, INC. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #5 FUMEHOODS/FUMEHOOD CONTROLS/BUILDING CONTROLS Project No. 746.5	\$ 342,000- 438,910	11/11/92	4	\$ 342,000.00
LANDMARK SPRINKLER, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #6 FIRE PROTECTION Project No. 746.5	\$ 49,980- 64,281	09/30/92	3	\$ 49,980.00
CHARLES E. JARRELL CONTRACTING CO., INC. EARTH CITY, MO	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #7 MECHANICAL/PLUMBING Project No. 746.5	\$ 1,507,000- 1,888,000	10/13/92	6	\$ 1,507,000.00
AMTECK OF KY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #8 ELECTRICAL Project No. 746.5	\$ 115,000- 252,656	09/30/92	5	\$ 115,000.00
FRANK MESSER & SONS CINCINNATI, OH	MEDICAL RESEARCH EXPANSION SPACE PROJECT NO. 747.0	\$ 13,932,000- 15,170,000	02/10/93	7	\$ 13,932,000.00
FRANK MESSER & SONS CINCINNATI, OH	ANIMAL DIAGNOSTIC FACILITY Project No. 748.0	\$2,921,200- 2,948,200	10/19/92	4	\$ 2,921,200.00
CHANGE ORDER #1	1. Change main gas regulator location. 2. Install new gas regulator to serve existing incinerator and heating plant. 3. Re-route existing underground electric power supply to existing chiller.		01/28/93		+ 2,971.00
Reason for Change:	1. New location of main gas regulator will not interfere with existing dock and will be less visible. 2. Existing regulator turned out to be gas metering station which is not capable of pressure control. 3. During excavation for lab addition electrical conduit was discovered. It was not shown on site survey.				
Contractor's Revised Contract Amount To Date:					\$ 2,924,171.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
Z & J CONSTRUCTION, INC. LEXINGTON	MEMORIAL COL. EXPANSION Project No. 752.0	\$ 159,465- 188,000	04/19/91	12	\$ 159,465.00
	Previous Change Orders #1-2				+ 8,752.15
	Contractor's Revised Contract Amount To Date:				\$ 168,217.15
D-C ELEVATOR CO. LEXINGTON	MEDICAL SCIENCE FREIGHT ELEVATOR Project No. 758.0	\$ 63,868- 68,565	07/07/92	3	\$ 63,868.00
MARKSBURY-CORNETT LOUISVILLE	HOSPITAL SHELLLED SPACE FIT-UP OBSTETRICS SERVICES Project No. 762.0	\$ 3,312,000- 3,469,000	05/08/92	4	\$ 3,312,000.00
	Previous Change Orders #1-10				+ 18,686.00
CHANGE ORDER #11	Take down C.M.U. wall to height low enough to allow return air duct to pass. Build two hour rated wall with access panel, fire caulk at C.M.U. Relocate fire damper in new wall.		01/07/93		+ 1,104.00
Reason for Change:	Not enough space for duct to pass between column R-19 and C.M.U. fire wall and miss existing valves.				
CHANGE ORDER #12	Add chipping and leveling floor in south wing.		01/07/93		+ 5,724.00
Reason for Change:	Existing condition of floor not in as-built drawings.				
CHANGE ORDER #13	Relocate electric raceway above south wing corridor.		01/07/93		+ 1,543.00
Reason for Change:	Relocation of raceway will allow construction of new HVAC duct without interrupting service to patient care. Raceway will be left intact for future communications work and will be upgraded to meet code.				
CHANGE ORDER #14	Add gypsum board and metal stud enclosures around soiled waste pipe exposed at equipment room. Paint wall to match at equipment room. Match wall covering at lounge. Provide vinyl base at floor.		01/07/93		+ 575.00
Reason for Change:	Pipes being installed would not fit into existing walls. Pipes could not be left exposed. More economical and faster to build chase for pipe.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD NO. OF DATE BIDDERS	CONTRACT PRICE
MARKSBURY-CORNETT LOUISVILLE	HOSPITAL SHELLLED SPACE FIT-UP OBSTETRICS SERVICES Project No. 762.0			CONTINUED
CHANGE ORDER #15	Revise glass type at each of 21 new spandrel units and 3 vision units from CB-20 Bronze to CB-20 Clear.		01/07/93	+ 5,489.00
Reason for Change:	Original glass was changed on shop drawings for unknown reason which was used to write this project's specifications.			
CHANGE ORDER #16	Remove approximately 44 s.f. of ceramic wainscot at Room H354 south wing and finish plaster to match existing.		03/26/93	+ 676.00
Reason for Change:	Existing condition of wall was not in as-built drawings.			
CHANGE ORDER #17	Add for changes made in original design to provide for handicapped staff toilet.		03/26/93	+ 2,705.00
Reason for Change:	To accommodate ADA requirements.			
CHANGE ORDER #18	Add a 4° x 7° hollow metal door with delay action closer to Room HA303A.		03/26/93	+ 1,893.00
Reason for Change:	Hospital requested direct route from HA303A to LD delivery rooms.			
CHANGE ORDER #19	Remove approximately 80' of fire sprinkler line at corridor H3005 at South area of third floor.		03/26/93	+ 518.00
Reason for Change:	Sprinkler line was installed under a previous contract, and not indicated on the as-built drawings. It is also undersized to feed that area of building and is in path of new mechanical work.			
CHANGE ORDER #20	Install Corian corner guards at alcove of Door #3153.		03/26/93	+ 539.00
Reason for Change:	Corner guards are need to make clean transition.			
CHANGE ORDER #21	1. Rotate Door #3007 at Exam Room HA303B. 2. Provide corian plate at elevator button. Install light switch in Room HA354. Provide power connection for stereos.		03/26/93	+ 1,350.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
MARKSBURY-CORNETT LOUISVILLE	HOSPITAL SHELLLED SPACE FIT-UP OBSTETRICS SERVICES Project No. 762.0				CONTINUED
Reason for Change:	1. Door at present opens into room, into a wall hung lavatory. Rotating door to make it open out will alleviate this situation. 2. Clean up detail at corian wall rail butting. Light switch and power connection not shown on electrical drawings.				
CHANGE ORDER #22	1. Install sink foot controls for sink in HA375G. 2. Relocate nurse call buttons in 12 LDR rooms. Install light switch in Room HA354. Provide power connection for stereos.		03/26/93		+ 2,185.00
Reason for Change:	1. Omitted from mechanical shop drawings and spec. 2. Present rough-in location of nurse call button falls in a joint in casework at head of the bed.				
CHANGE ORDER #23	Re-route feed to electric panel 3 EPA. HA303B.		03/26/93		+ 10,153.00
Reason for Change:	To avoid electric shut down to a major portion of hospital. A new route was proposed allowing connection without shutting down power.				
Contractor's Revised Contract Amount To Date:					\$ 3,365,140.00
EUBANK & STEELE CONST. CO. LEXINGTON	DENTAL CLINIC RENOVATION Project No. 766.0	\$ 238,715- 262,804	03/31/92	9	\$ 238,715.00
Previous Change Orders #1-8					+ 13,817.08
Contractor's Revised Contract Amount To Date:					\$ 252,532.08
D-C ELEVATOR CO., INC. LEXINGTON	COMMERCE BLDG. RENOVATION Project No. 775.0	\$ 7,600- 12,144	04/14/92	4	\$ 7,600.00
Previous Change Order #1					+ 644.00
CONTRACTOR'S FINAL PAYMENT			03/29/93		\$ 8,244.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
E.C. MATTHEWS CO., INC. LEXINGTON	BUSINESS & ECONOMICS BLDG. TRAINING ROOM 252 Project No. 775.3	\$ 13,997- 18,500	08/27/92	3	\$ 13,997.00
CHANGE ORDER #1	Extend completion date of contract by sixty-one (61) calendar days.		01/20/93		- 0 -
Reason for Change:	To avoid conflict with building activities, contractor was requested to delay installation until late December.				
CHANGE ORDER #2	Paint front wall corner to corner, touch up paint on side walls where Communications outlets were removed. Add cover for outlet concealed by marker board.		02/09/93		+ 300.00
Reason for Change:	Repainting of wall required because of relocation of marker board and communication outlets.				
CONTRACTOR'S FINAL PAYMENT			03/17/93		\$ 14,297.00
LAGCO, INC. LEXINGTON	HOSPITAL NURSING UNIT HVAC MODIFICATION I Project No. 777.0	\$ 450,196- 618,700	03/16/92	5	\$ 450,196.00
Previous Change Orders #1-2					- 1,077.35
CHANGE ORDER #3	Furnish and install one (1) 600amp Motor Control Center Section complete with concrete pad and all hardware and fittings to connect to existing Motor Control Center Section.		03/16/93		+ 2,788.75
Reason for Change:	G.E. Engineers confirmed that new breakers cannot be used in existing G.E. 7032 Line, 1960's Vintage, MCC. Incompatibility was not identified until new breakers were on site and actual installation attempted.				
Contractor's Revised Contract Amount To Date:					\$ 451,907.40
SCOTTY'S CONTRACTING & STONE, INC. ELIZABETHTOWN	ELIZABETHTOWN COMM COLLEGE ACCESS DRIVE/HANDICAP Project No. 779.0	\$ 106,008- 117,797	11/04/92	2	\$ 106,008.00
CLEAVER CONST. CO., INC. MURRAY	PADUCAH COMM. COLLEGE WALLER HALL RENOVATION Project No. 785.0	\$ 177,835- 187,307	07/01/92	3	\$ 177,835.00
Previous Change Order #1					+ 3,485.00
Contractor's Revised Contract Amount To Date:					\$ 181,320.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
A-B ROOFING CO., INC. LEXINGTON	E.S. GOODE BARN ROOF REPLACEMENT Project No. 791.0	\$ 124,924- 191,680	09/03/91	6	\$ 124,924.00
Previous Change Order #1					- 313.08
Contractor's Revised Contract Amount To Date:					\$ 124,610.92
MARKSBURY CORNETT ENG. LOUISVILLE	AMBULATORY SURGERY UPGRADE Project No. 798.0	\$ 884,100- 1,030,052	11/02/92	5	\$ 884,100.00
CHANGE ORDER #1	Add steel studs and gypsum board on south wall of HS-236. Add steel studs and gypsum board furring on north and south walls of HS-236.		12/15/92		+ 1,058.00
Reason for Change:	Existing wall in HS-236 discovered to be single width wall backing to Central Sterile with no room for electrical rough-ins without damaging wall in area.				
CHANGE ORDER #2	Raise existing hot water piping in Post-Op HS-224 from west wall to east wall connection. Cut and remove existing concrete floor where glazed tile cove base to be installed. Remove existing cable tray from corridor H200 S1 to corridor H200 S.		01/06/93		+ 5,740.00
Reason for Change:	New construction requires 9' ceiling. Pipe to be raised above 10' AFF. Existing glazed tile has lip at bottom of cove that requires trench recess to level with finish flooring material. Tray blocked installation of new mechanical work.				
CHANGE ORDER #3	1. Remove existing pneumatic tube piping connected to existing station. 2. Raise existing 4" to 6" fire protection line to maintain 10' ceiling height and avoid conflict with new duct. 3. Revise exterior walk of mechanical room to add three (3) additional feet to width and add new door and equipment access panels.		01/28/93		+ 17,721.98
Reason for Change:	1. Piping no longer required for system and prevents installation of new mechanical work. 2. Unable to see line prior to removing ceiling and existing duct removed. 3. After existing suspended plaster ceiling was removed, discovered there was only 9'-7" of clearance between top of slab and bottom of steel beams.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
MARKSBURY CORNETT ENG. LOUISVILLE	AMBULATORY SURGERY UPGRADE Project No. 798.0				CONTINUED
CHANGE ORDER #4	1. New suspended plaster or gypsum board ceilings 5/8" thick shall be installed in specified OR Storage, Sub Sterile and Scrub areas. 2. Relocate three (3) existing power columns. 3. Provide upgrade to existing electrical work.		02/01/93		+ 7,637.00
Reason for Change:	1. Existing ceilings in these areas were plaster and had to be removed to provide space for installation of large mechanical ductwork. 2. Requested by Hospital to accommodate the operations and move from entrance into room. 3. To bring existing electrical installation in compliance with current code requirements.				
CHANGE ORDER #5	1. Provide concrete and floor patch material, level existing concrete floor where walls have been removed. 2. Lower existing 1-1/2" and 2" vent lines located above ceilings in HS-231 and HS-229 to avoid conflict with new ductwork. 3. Provide fire damper on 10" x 34" exhaust duct at third floor penetration. Provide a 28" x 8" smoke damper at column line K at Door #30.		02/01/93		+ 11,952.00
Reason for Change:	1. Floors need to be level before receiving new vinyl tile surface. 2. Change request required due to ceiling being non-accessible and not able to verify existing elevation during field investigation. 3. Change request is required by code.				
CHANGE ORDER #6	1. Provide 35 additional type "A" fixtures in phase 2 corridor. 2. Provide new "Quick Connect" gas outlets in medical gas columns. 3. Provide one (1) new duct smoke detector in Control Room.		03/26/93		+ 11,099.00
Reason for Change:	1. Existing 1 x 4 light fixtures that were scheduled to be reused would not fit into new 2 x 4 ceiling grid. 2. Quick disconnect outlets are required to accommodate existing hospital equipment. 3. Inadvertently left off of contract documents.				
Contractor's Revised Contract Amount To Date:					\$ 939,307.98

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
GENERAL FIREMATIC SPRINKLER CINCINNATI, OH	E.S. GOODE BARN SPRINKLERS Project No. 799.0	\$ 42,799- 48,884	11/20/91	6	\$ 42,799.00
Previous Change Order #1					+ 4,733.71
CONTRACTOR'S FINAL PAYMENT			03/02/93		\$ 47,532.71
H & N CONSTRUCTORS, INC. LOUISVILLE	SHAWNEETOWN KITCHEN RENOVATION-BLDGS A B & C Project No. 885.0	\$ 125,870- 174,681	06/15/92	7	\$ 125,870.00
CONTRACTOR'S FINAL PAYMENT			02/04/93		\$ 125,870.00
S.C. NEAL ELECTRICAL CONTR. GEORGETOWN	JEWELL HALL FIRE ALARM Project No. 888.0	\$ 49,000- 74,980	06/10/92	3	\$ 49,000.00
Previous Change Orders #1-2					+ 1,079.00
CONTRACTOR'S FINAL PAYMENT			01/20/93		\$ 50,079.00
JO-DEL, INC. HUNTINGTON, WV	ASHLAND COMM. COLLEGE CLASSROOM RENOVATION Project No. 891.0	\$ 135,492- 169,800	09/10/92	8	\$ 135,492.00
Previous Change Order #1					+ 780.00
CHANGE ORDER #2	1. Adjust and level seven (7) existing light fixtures to new ceiling grid. 2. Install wire mold and telephone cable to Rooms 401, 402 and 403. Connect to fourth floor telephone terminal board.		02/01/93		+ 692.00
Reason for Change:	1. Existing lights were anchored to steel angles in uneven appearance. Upon installation of new ceiling this created unsatisfactory appearance for main corridor. 2. Existing telephone outlets were wired to third floor terminal board creating dissimilar numbering for dispatching within the new spaces.				
CHANGE ORDER #3	Install relay in existing light fixture utilized for emergency lighting so it can be switched off when viewing movie screen in Room 420A.		02/19/93		+ 167.33
Reason for Change:	Light fixtures utilized for emergency lighting are "on" all the time, thus creating a glare over movie screen when all other lights are turned off.				
Contractor's Revised Contract Amount To Date:					\$ 137,131.33

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
EUBANK & STEELE CONST. CO. LEXINGTON	PARKING STRUCTURE #2 STRUCTURAL REPAIRS Project No. 898.0	\$ 74,495- 129,986	01/13/93	10	\$ 74,495.00
THE WAGNER COMPANY LEXINGTON	HOSPITAL DIAGNOSTIC SERVICES II Project No. 899.0	\$ 94,281- 114,900	10/14/92	5	\$ 94,281.00
Previous Change Order #1					+ 1,257.00
CHANGE ORDER #2	Extend contract completion date by seven (7) calendar days.		01/4/93		- 0 -
Reason for Change:	Contractor's work delayed while awaiting approval of design drawings from Division of Plumbing, Kentucky Department of Housing, Buildings and Construction.				
CHANGE ORDER #3	Furnish and install custom made plastic laminate toilet partitions, floor mounted. Extend contract completion date by seven (7) calendar days.		01/14/93		+ 400.00
Reason for Change:	Delayed delivery date from original manufacturer unacceptable to UK schedule for use of facility.				
CHANGE ORDER #4	Extend contract completion date by ten (10) calendar days.		01/28/93		- 0 -
Reason for Change:	University Hospital Planning has directed Contractor to delay demolition in existing Reception Area until University Physical Plant Department relocates existing reception desk.				
CHANGE ORDER #5	1. Remove section of existing 18" x 14" duct and transition duct in Reception/Waiting 104. Install new section of 18" x 12" duct and relocate existing transition duct. 2. Lower existing fire alarm annunciator 2" in Reception/Waiting 104. Make electrical revisions to existing electrical work above ceilings in Men 101, Women 102 and Janitor 103.		03/08/93		+ 410.00
Reason for Change:	1. Existing duct blocks installation of new 4" cast iron vent from new restrooms. 2" change in depth of duct will allow vent pipe to pass under duct. 2. Ceiling in area of existing fire alarm annunciator is to be lowered to 7'-6".				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
THE WAGNER COMPANY LEXINGTON	HOSPITAL DIAGNOSTIC SERVICES II Project No. 899.0				CONTINUED
CHANGE ORDER #6	1. Add one (1) additional sprinkler head in Storage Closet. 2. Install firestop caulking around existing plumbing penetrations through 2nd floor concrete slab, above Reception/Waiting 104. 3. Substitute two (2) Lightolier 1105T/1102T1 light fixtures for three (3) Lithonia recessed compact fluorescent fixtures specified. Time provided for completion of contract is increased by two (2) calendar days.		03/08/93		+ 526.20
Reason for Change:	1. Additional sprinkler required by State Building Inspector. 2. Removal of existing ceiling revealed plumbing penetrations that had not been fire stopped. 3. Light fixtures specified are located under a plumbing line at South end of Reception/Waiting. There is not adequate height above ceiling to install fixtures.				
Contractor's Revised Contract Amount To Date:					\$ 96,874.20
ELLIOTT GLASS, INC. PIKEVILLE	PRESTONSBURG COMM. COLLEGE HANDICAPPED DOOR OPERATORS Project No. 1409.0	\$ 22,900- 23,400	10/05/92	2	\$ 22,900.00
CONTRACTOR'S FINAL PAYMENT			03/17/93		\$ 22,900.00
SPARKS TERRELL LUMBER CO. LEXINGTON	HOSPITAL-MARKEY CANCER CENTER ROACH PATIENT CARE FACILITY Project No. 1410.0	\$ 325,515- 394,800	12/09/92	10	\$ 325,515.00
CHANGE ORDER #1	Change date of completion from 4/27/93 on Work Order to 5/19/93.		02/09/93		- 0 -
Reason for Change:	To correct calculation of days as listed in letter dated 1/22/93 from Architect's office.				
CHANGE ORDER #2	Changes in Room 176: Move projection screen, add 4-plex outlet.		02/25/93		+ 304.75
Reason for Change:	To improve use of conference room for multi-media conferences/presentations.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
SPARKS TERRELL LUMBER CO. LEXINGTON	HOSPITAL-MARKEY CANCER CENTER ROACH PATIENT CARE FACILITY Project No. 1410.0				CONTINUED
CHANGE ORDER #3	1. Change date of completion from May 19, 1993 to May 29, 1993. 2. Change in Room 195, "Soiled Utility" to provide one hour rated ceiling.		03/16/93		+ \$485.30
Reason for Change:	1. Full week required to clean new space and move existing furnishings and equipment from existing Clinic to new space. 2. Existing wireway in ceiling cavity compromises rating of walls. This change will provide a one hour rated ceiling in lieu of rated walls.				
Contractor's Revised Contract Amount To Date:					\$ 326,305.05
THE ALLEN CO. WINCHESTER	COMMONWEALTH STADIUM BLUE LOT Project No. 1414.0	\$ 200,396- 234,622	06/15/92	3	\$ 200,396.00
JIM SMITH CONTRACTING CO. GRAND RIVERS	PADUCAH COMM. COLLEGE PARKING LOT Project No. 1415.0	\$ 133,034- 160,348	10/20/92	4	\$ 133,034.88
Previous Change Order #1					+ 5,956.00
Contractor's Revised Contract Amount To Date:					\$ 138,990.88
GILPIN MASONRY LEXINGTON	PRESTONSBURG C.C. SCIENCE BUILDING DONOR PLAQUE Project No. 1423.0	\$ 7,750- 24,500	07/24/92	2	\$ 7,750.00
Previous Change Order #1					+ 332.50
CONTRACTOR'S FINAL PAYMENT			12/16/92		\$ 8,082.50
NORWOOD CONST. CO., INC. LEXINGTON	MEDICAL CENTER CLINICAL PARKING LOT/FORESTRY BUILDING DEMOLITION Project No. 1425.0	\$ 139,994- 197,470	07/20/92	2	\$ 139,994.00
CHANGE ORDER #1	1. Add required insulation 3" steam line. 2. Delete condensate pump flash tank, pipe hangers and insulation. 3. Revise concrete manhole.		02/26/93		- 9,277.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
NORWOOD CONST. CO., INC. LEXINGTON	MEDICAL CENTER CLINICAL PARKING LOT/FORESTRY BUILDING DEMOLITION Project No. 1425.0				CONTINUED
Reason for Change:	1. Asbestos was discovered during building demo. Lines had to be reinsulated. 2. Space constraints require relocation to Kelly Bldg. Performed by MCPPD in conjunction with work being done by PPD within Kelly Building. 3. Manhole size can be reduced due to deletion of equipment by Item #2 of this change order.				
Contractor's Revised Contract Amount To Date:					\$ 130,717.00
ISAAC TATUM CONSTRUCTION LEBANON	ALUMNI GYM FLOOR REPLACEMENT Project No. 1426.0	\$ 129,670- 191,266	02/26/93	7	\$ 129,670.00
CRI, INC. LOUISVILLE	PRESTONSBURG COMM COLLEGE JOHNSON BUILDING CHILLER REPLACEMENT Project No. 1428.0	\$ 63,950- 90,629	11/16/92	6	\$ 63,950.00
CHANGE ORDER #1	Provide labor and materials for soil stabilization and additional slab design.		01/27/93		+ 1,400.00
Reason for Change:	Found conditions of subsurface soils at designated location, required additional slab fill material and concrete design to insure soil stabilization.				
CHANGE ORDER #2	Contractor is to provide necessary labor and materials to install approximately 100 l.f. of concrete curb.		03/29/93		+ 2,750.00
Reason for Change:	No perimeter curbing or barrier was specified around mulched bed. Due to potential for vehicular traffic to run aground in area, a visible concrete barrier/curb is required.				
Contractor's Revised Contract Amount To Date:					\$ 68,100.00
T & C CONSTRUCTION CO. PIKEVILLE	MAYSVILLE COMM. COLLEGE PHASE II BRICK REPLACEMENT Project No. 1431.0	\$ 28,827- 38,550	10/20/92	4	\$ 28,827.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
THE ALLEN CO. WINCHESTER	TENNIS CENTER PARKING LOT Project No. 1442.0	\$ 47,229- 61,641	10/23/92	3	\$ 47,229.00
	Previous Change Order #1				+ 1,250.00
Contractor's Revised Contract Amount To Date:					\$ 48,479.00
NEW VISIONS CONST. CO., INC. LEXINGTON	GREG PAGE RETENTION POND Project No. 1457.0	\$ 74,056- 139,800	03/08/93	9	\$ 74,056.00

Office of the President
May 4, 1993

FCR 6

Members, Board of Trustees:

1992-93 BUDGET REVISION (REDUCTION IN STATE APPROPRIATION)
CENTRAL ADMINISTRATION

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
1. Income Estimates			
State Appropriation			
University System	\$238,961,800	\$234,550,000	\$(4,411,800)
Community College System	70,900,800	69,709,200	(1,191,600)

2. Comments:

In October 1992, Governor Jones directed that a plan be developed which identified and deferred the commitment and expenditure of two percent of the total fiscal year 1993 general fund appropriation, net of debt service. To deal with that deferral, the University put in place the following measures:

- The existing hiring freeze for general fund non-faculty positions was continued with an exception for only the most critical positions. (Since November of 1991, the University has eliminated approximately 575 positions.)
- Discretionary expenditures for program expansions, equipment and vehicle purchases, property acquisitions or lease modifications, and out-of-state travel from general fund sources were delayed, with exceptions made only where necessary to accomplish essential program objectives.
- Within the Community College System, planned expenditures for instructional equipment and vehicles, current expenses needed to support the 1991-92 and 1992-93 enrollment growth, and the expenditure of funds to employ twenty faculty positions critically needed to accommodate previous and current year enrollment growth were all postponed as part of the contingency plan.

In March 1993, we were notified that this portion of the state appropriation, first set aside as a contingency reserve and then held as an allotment deferral, was now to be taken as a formal non-recurring budget reduction. This budget revision is to put that reduction in place based on the deferral plan established by the University in October. Specifically, the chancellor/vice presidential area expenditure reductions to effect this non-recurring budget reduction are as follows:

Lexington Campus	\$(2,360,300)
Medical Center	(1,279,400)
Research and Graduate Studies	(233,800)
Information Systems	(322,100)
Central Administration	(216,200)
	<u>\$(4,411,800)</u>
Community College System	\$(1,191,600)

Of those amounts, \$2.4 million is supported by additional fund balances which would have funded non-recurring needs, \$.8 million is supported by overrealized tuition income in the University System, \$.5 million is from funds which had been set aside for instructional equipment, and the remaining \$1.9 million is supported by not filling positions and by utilizing funds set aside for non-recurring expenditures.

Further, agencies and universities are directed to plan for an additional two percent allotment deferral in 1993-94 to be effective on July 1, 1993 (\$4,568,000 for the University System and \$1,236,200 for the Community College System). This two percent will be deducted from the fiscal year 1994 enacted appropriations and reserved.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

FCR 7

Members, Board of Trustees:

1992-93 Budget Revisions
Central Administration

Recommendation: that the following revisions in the 1992-93 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u>			
1. Income Estimates			
Student Fees			
Summer Session -			
Community College System	\$ 1,889,600	\$ 2,006,100	\$ 116,500
University Extension -			
University System	2,073,900	2,171,900	98,000
Off-Campus and Weekend			
Instruction -			
Community College System	4,628,300	5,227,900	599,600
Noncredit Fees	8,555,700	9,215,400	659,700
Other Fees	1,221,200	1,226,000	4,800
Federal Appropriations			
Agricultural Experiment Station	4,983,900	4,952,900	(31,000)
Gifts and Grants			
Other Gifts and Grants	2,732,400	2,747,400	15,000
Sales and Services			
Agricultural Public and			
Regulatory Services	2,716,600	2,916,600	200,000
Departmental Sales and Services	15,840,600	16,342,500	501,900
			<u>\$ 2,164,500</u>
2. Expenditures			
Central Administration			
Information Systems			
Vice President for			
Information Systems	\$ 302,200	\$ 317,600	\$ 15,400
Communications and			
Network Systems	3,496,200	3,843,700	347,500
Computing Services	5,978,600	6,005,200	26,600
Libraries	10,138,400	10,146,200	7,800
Research and Graduate Studies			
Academic Affairs			
Center for Applied			
Energy Research	3,323,700	3,319,000	(4,700)
Center for Computational			
Sciences	553,600	568,600	15,000
Institute for Mining and			
Minerals Research	355,800	370,800	15,000

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u> (continued)			
2. Expenditures (continued)			
Community College System			
Ashland	\$ 5,781,000	\$ 5,854,200	\$ 73,200
Elizabethtown	6,183,400	6,301,800	118,400
Hazard	4,321,100	4,492,100	171,000
Hopkinsville	4,750,400	4,769,000	18,600
Jefferson	16,912,100	17,073,900	161,800
Lexington	9,407,200	10,002,000	594,800
Maysville	3,214,000	3,263,600	49,600
Owensboro	4,719,700	4,834,700	115,000
Paducah	5,520,800	5,582,800	62,000
Prestonsburg	5,377,100	5,400,700	23,600
Somerset	5,339,700	5,385,800	46,100
Lexington Campus			
Agricultural Experiment Station			
Director	941,100	910,000	(31,100)
Agricultural Public Service			
Regulatory Services	2,292,600	2,492,600	200,000
Academic Support			
University Extension			
Off-Campus Program	1,025,200	1,123,200	98,000
Vice Chancellor Administration/			
Business Affairs			
Physical Plant	18,627,300	18,663,200	35,900
Student Billing Services	505,500	501,500	(4,000)
Medical Center			
College of Medicine			
Medicine	2,267,200	2,276,200	9,000
			\$ 2,164,500

3. Comments - The increase in summer session fees for the Community College System supports those programs at Hazard, Lexington, Paducah, and Somerset community colleges. The increase in university extension fees for the University System supports the university extension program. The increase in off-campus and weekend fees supports the Ft. Knox off-campus program at Elizabethtown, and off-campus programs at Hopkinsville, Jefferson, Lexington, Maysville, Paducah, Prestonsburg, and Somerset community colleges. The increase in noncredit fees supports community service and continuing education programs at Ashland, Hazard, Lexington, and Owensboro community colleges. The increase in other fees supports programs at Ashland and Prestonsburg community colleges, and the dissertation service in the library within Information Systems.

The decrease in Federal Appropriations will reduce program support of programs within the Agricultural Experiment Station. The increase in the Other Gifts and Grants will support a workshop in the Center for Computational Sciences within Research and Graduate Studies.

A. GENERAL FUND (continued)

3. Comments (continued)

The increase in sales and services in the Agricultural Public and Regulatory Services supports feed and fertilizer inspection activities. Programs supported by other increased departmental sales and services include the special assistant to the Vice President for Information Systems, computer sales, student long distance, library copiers, computing services, and library activities within Information Systems; coal analysis in the Institute for Mining and Minerals within Research and Graduate Studies; student testing, parking, Business and Industry Training, and library programs at Ashland Community College; the parking program at Elizabethtown Community College; student testing, vending scholarships, and academic counseling at Hazard Community College; vending scholarships, parking, and library programs at Jefferson Community College; library programs at Lexington Community College; dental hygiene services at Maysville Community College; parking and library programs at Paducah Community College; the scholarship program supported by vending commissions at Somerset Community College; the Lexington Campus Physical Plant Department; and a contract with the Christian Health Center through the College of Medicine. Reduced income from outside sources in the Center for Applied Energy Research and in the Lexington Campus Student Billing Services will decrease those programs accordingly.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>RESTRICTED FUNDS</u>			
1. Income Estimates	\$ 62,686,500	\$ 66,367,100	\$ 3,680,600
2. Expenditures			
Central Administration			
Office of the President			
Intercollegiate Athletics -			
SEC Internships	\$ - 0 -	\$ 2,000	\$ 2,000
University Relations			
Sturgill Award	2,500	3,600	1,100
Student Development Council	4,300	7,100	2,800
Information Systems			
Libraries	642,900	648,900	6,000
Community College System			
Ashland	371,700	372,700	1,000
Elizabethtown	89,000	113,400	24,400
Hopkinsville	314,100	315,400	1,300
Lexington	52,400	58,300	5,900
Madisonville	533,400	753,400	220,000
Maysville	107,000	159,100	52,100
Paducah	293,200	295,300	2,100
Somerset	159,500	315,100	155,600
Lexington Campus			
Agricultural Experiment Station			
Veterinary Science	1,162,700	1,197,700	35,000
College of Arts and Sciences			
Geology	45,500	53,000	7,500
College of Engineering			
Transportation Center	255,000	355,000	100,000
Academic Support			
Art Museum	86,500	87,500	1,000
Student Affairs			
Student Aid			
Pell Grants	25,000,000	28,000,000	3,000,000

	Approved <u>Budget</u>	Revised <u>Budget</u>	<u>Change</u>
B. <u>RESTRICTED FUNDS</u> (continued)			
2. Expenditures (continued)			
Medical Center			
College of Medicine			
Neurology	91,900	123,000	31,100
Pediatrics	170,000	190,000	20,000
Administration and Support			
Appalachian Practitioners/ Academic Medical Center	3,200	14,900	<u>11,700</u>
			\$ 3,680,600

3. Comments - Income restricted for the purposes indicated above will fund the proposed additional program activities.

	Approved <u>Budget</u>	Revised <u>Budget</u>	<u>Change</u>
C. <u>AUXILIARY SERVICES</u>			
1. Income Estimates	\$ 36,243,400	\$ 36,373,300	\$ 129,900
2. Expenditures			
Community College System			
Hazard	\$ 445,000	\$ 469,500	\$ 24,500
Henderson	359,200	379,200	20,000
Paducah	584,500	624,500	40,000
Medical Center			
College of Dentistry			
Dental Clinics	256,000	301,400	<u>45,400</u>
			\$ 129,900

3. Comments - The proposed expenditure increases will be supported by additional income generated by the respective auxiliary operations.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

FCR 8

Members, Board of Trustees:

ROOM AND BOARD RATES 1993-94 SCHOOL YEAR

Recommendation: That the room and board rates for University Housing be adjusted as follows to become effective the fall semester 1993.

I. UNDERGRADUATE HOUSING

A. RESIDENCE HALLS

TYPE PLAN	PRESENT RATE 1992-93	PROPOSED RATE 1993-94	DOLLAR INCREASE
Housing*+ DinerCard \$1150 Min.	\$2,752	\$2,874	\$ 122
Housing*+ 2 meal/5 day plan	\$2,952	\$3,074	\$ 122
Special Interest Housing Fee** Jewell Hall and Kirwan II	\$ 104	\$ 104	\$ 0
Per-Diem Housing Rate***	\$ 7.33	\$ 7.73	\$.40

*Housing costs represent \$1,652 of 1992-93 and \$1,724 of 1993-94 Total Cost Figures.

**Jewell Hall will remain open during all stated academic recesses of the University between August 20, 1993 and May 7, 1994, to accommodate students participating in the International Program. Kirwan II will operate as the "Wellness Hall" with special equipment, programming, and instruction.

***The Housing rate is for the academic year. This per-diem rate is established for occupancy during stated academic recesses (Thanksgiving, Christmas, Spring Break, etc.) of the University. Students must secure special permission to remain in housing during these periods.

B. GREG PAGE UNDERGRADUATE APARTMENTS

TYPE PLAN	PRESENT RATE 1992-93	PROPOSED RATE 1993-94	DOLLAR INCREASE
Housing Only	\$1,714	\$1,788	\$ 74

II. APARTMENTS
(Rates to be effective August 1, 1993)

A. GREG PAGE STADIUM VIEW FAMILY APARTMENTS (MONTHLY RATES)

<u>TYPE</u> <u>APARTMENT</u>	<u>PRESENT RATE</u> <u>1992-93</u>	<u>PROPOSED RATE</u> <u>1993-94</u>	<u>DOLLAR</u> <u>INCREASE</u>
Two Bedrooms	\$ 456	\$ 470	\$ 14

B. FAMILY APARTMENTS - COOPERSTOWN & SHAWNEETOWN (MONTHLY RATES)

<u>TYPE</u> <u>APARTMENT</u>	<u>PRESENT RATE</u> <u>1992-93</u>	<u>PROPOSED RATE</u> <u>1993-94</u>	<u>DOLLAR</u> <u>INCREASE</u>
Efficiency	\$ 268	\$ 276	\$ 8
One Bedroom	341	351	10
Two Bedroom	368	379	11

C. SINGLE GRADUATE APARTMENTS - COOPERSTOWN (MONTHLY RATES)

<u>TYPE</u> <u>APARTMENT</u>	<u>PRESENT RATE</u> <u>1992-93</u>	<u>PROPOSED RATE</u> <u>1993-94</u>	<u>DOLLAR</u> <u>INCREASE</u>
Efficiency	\$ 268	\$ 276	\$ 8
One Bedroom	341	351	10

D. SINGLE GRADUATE APARTMENTS (MONTHLY RATES)
COMMONWEALTH VILLAGE & LINDEN WALK-ROSE LANE

<u>TYPE</u> <u>APARTMENT</u>	<u>PRESENT RATE</u> <u>1992-93</u>	<u>PROPOSED RATE</u> <u>1993-94</u>	<u>DOLLAR</u> <u>INCREASE</u>
Efficiency	\$ 283	\$ 291	\$ 8
One Bedroom	356	366	10

III. SUMMER SCHOOL
(Effective Summer 1994)

	<u>PRESENT RATE</u> <u>1992-93</u>	<u>PROPOSED RATE</u> <u>1993-94</u>	<u>DOLLAR</u> <u>INCREASE</u>
<u>HOUSING ONLY:</u>			
<u>8-Week Session:</u>			
Double Occupancy	\$ 414	\$ 432	\$ 18
Single Occupancy	516	540	24
<u>4-Week Session:</u>			
Double Occupancy	\$ 207	\$ 216	\$ 9
Single Occupancy	258	270	\$ 12

IV. PROPOSED DAILY CONFERENCE AND GUEST RATES
(Effective Summer 1994)

DAILY RATE:	PRESENT RATE 1992-93	PROPOSED RATE 1993-94	DOLLAR INCREASE
Double Room	\$15.00	\$16.00	\$ 1
Single Room	28.00	30.00	2
Pre-College Age with Linen	\$10.00	\$11.00	\$ 1
Pre-College Age without Linen	8.00	8.00	0

Background: The \$122 per year increase in Undergraduate Residence Halls is composed of a \$72 increase in housing (to cover increased personnel costs, increased utilities, a \$2 increase for Residence Hall Government fees, and increased debt service); and an increase of \$50 per year in the minimum DinerCard charge. The increase in Apartment Rental Rates is the amount necessary to cover the increased utilities, personnel costs and operating expenses for the apartments. These rates cover debt service, all operating costs and funds for replacement of furniture and equipment.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

Office of the President
May 4, 1993

FCR 9

Members, Board of Trustees:

ADOPTION OF 1993-94 MANDATORY REGISTRATION
FEE SCHEDULE

Recommendation: that the Board of Trustees approve the following Mandatory Registration Fee Schedule for 1993-94 which is to remain in effect until changes are approved by the Board.

	Semester Full-Time Fee ¹	Eight-Week Summer Session Full-Time Fee ²	Part-Time and Four-Week Interession Fee Per Credit Hour
Mandatory Registration Fee	\$159	\$36.00	\$6.00

- 1 For fee purposes, twelve credit hours or its equivalent constitutes a full load for undergraduate students, nine hours for graduate students, and ten hours for law students.
- 2 For fee purposes, six credit hours or its equivalent constitutes a full load for undergraduate students and five hours for graduate and law students.

Background: The recommended schedule represents no increase over the 1992-93 mandatory fee schedule. The recommended fee is consistent with the Board-approved mandatory registration fee policy and remains considerably lower than the upper limit established in that policy.

These fees will be charged to all students enrolled on the Lexington Campus, in the Medical Center and at Lexington Community College in accordance with their status as full-time or part-time students. Students taking all of their courses in off-campus locations outside of Fayette and its contiguous counties may have these fees waived. However, if a student eligible for this waiver wants to participate in activities supported by these fees, the student must pay the total mandatory fee.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

FCR 10

Members, Board of Trustees:

REVISION OF THE POLICY STATEMENT ON TUITION AND FEE REFUNDS
TO STUDENTS UPON WITHDRAWAL FROM THE UNIVERSITY

Recommendation: that the following revised tuition and fee refund statement be approved, effective fall semester 1993.

(Note: Proposed addition is underlined;
proposed deletion is bracketed.)

- (1) A student who officially withdraws from the University through the Registrar's Office on the Lexington Campus or at one of the Community Colleges or from a course through the appropriate College prior to the beginning of classes as designated in the official University or Community College System calendar shall be entitled to a full refund of registration fees paid or cancellation of amount owed for the term. The President may approve for specific programs with enrollment limitations non-refundable tuition deposits.
- (2) A student who officially withdraws from the University through the Registrar's Office on the Lexington Campus or at one of the Community Colleges or from a course through the appropriate College prior to the end of the first week of classes as designated in the official University or Community College System calendar shall be entitled to a refund of 80 percent of the registration fees paid or cancellation of 80 percent of the amount owed for the term.
- (3) A student who officially withdraws from the University through the Registrar's Office on the Lexington Campus or at one of the Community Colleges or from a course through the appropriate College after the first week of classes, but prior to the mid-term date as designated in the official University or Community College System calendar, shall be entitled to a refund of one-half the registration fees paid or cancellation of one-half the amount owed for the term.
- (4) A student who officially withdraws from the University through the Registrar's Office on the Lexington Campus or at one of the Community Colleges or from a course through the appropriate College after the official mid-term date shall be entitled to no refund.

- (5) A student enrolled through the University Extension Division who officially withdraws from an off-campus or evening school class(es) shall receive a full refund of all fees paid provided the withdrawal occurs prior to the end of the second week of the class. A student who officially withdraws after the second week of the class, but prior to the official mid-term date, shall be entitled to a refund of one-half the fees paid. If the withdrawal occurs after the official mid-term date, no refund will be authorized.
- (6) The President is authorized to approve and implement limited exceptions to this refund policy necessary to assure University compliance with relevant regulations promulgated by authorized agencies of the state and federal government, but such exceptions shall not relieve the student of the responsibility to provide official notification of withdrawal through the Registrar's Office on the Lexington Campus or at the affected Community College to be eligible to receive any refund of tuition and fees.
- (7) [6] In all types of withdrawal, except for students enrolled through the University Extension Division, the student must request officially through the Registrar's Office on the Lexington Campus or at one of the Community Colleges a refund of fees paid, otherwise no refund will be authorized.

Background: These are proposed revisions to the refund policy adopted by the Board of Trustees on January 30, 1979.

Approval of the recommended addition of a new sentence to paragraph 1 will assist the University in managing the enrollment in certain select programs with enrollment limitations and high application rates. This will require students upon acceptance to such programs to make a financial commitment to hold the position offered to them.

Approval of the recommended addition of new paragraph 6 will allow the University to accommodate the special refund requirement of federal financial aid legislation within the framework of the existing refund policy for all students. The present refund policy does not permit the University to provide special pro-rata refunds to qualifying students receiving federal financial aid as required under a new requirement approved in the 1992 reauthorization of the Higher Education Act. To assure recovery of federal financial aid funds, Section 484B of the Higher Education Act requires institutions receiving federal financial assistance to adhere to a special pro-rata refund schedule for those students receiving Title IV financial assistance who officially withdraw during their first term of enrollment. This special refund policy affects fewer than 30 students per semester or approximately 75 students annually on the Lexington Campus and approximately 75 students per semester or 150 students annually in the Community College System, but nonetheless is a requirement pursuant to the institution's receipt of federal financial aid funds.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

Office of the President
May 4, 1993

FCR 11

Members, Board of Trustees:

RECEIPT OF \$100,000.00
FROM HELEN HERTZ HEXTER TRUST

Recommendation: that the Vice President for Administration be authorized to accept, on behalf of the University, a gift of \$100,000.00 from the Helen Hertz Hexter Trust; and further, to execute a Receipt and Release for same and to affix the seal of the University thereto.

Background: Under the terms of the Helen Hertz Hexter Trust, upon the death of her husband, Paul L. Hexter, who died on November 11, 1992, the University of Kentucky became entitled to receive the sum of \$100,000.00 "to be used and applied for investigation and research in the field of equine diseases."

The Attorney for the trust has advised the University that the Trustees are prepared to make distribution to the University and require for probate purposes that the University execute a Receipt and Release, acknowledging receipt of the \$100,000.00 and releasing the Trustees from any further liability to the University.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

Office of the President
May 4, 1993

FCR 12

Members, Board of Trustees:

ACCEPTANCE OF GIFT FROM GALEN HEALTH CARE, INC.

Recommendation: that the Board of Trustees accept the gift of \$200,000, the first payment of a \$1 million commitment from the Galen Health Care, Inc. to the Commonwealth Library Campaign.

Background: The University of Kentucky received a commitment of \$1 million to the Commonwealth Library Campaign to be paid over a five year period by Galen Health Care, Inc., formerly Humana, Inc. The gift will be applied to the Commonwealth Library building fund.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993

Office of the President
May 4, 1993

FCR 13

Members, Board of Trustees:

Acceptance of Gift From
Appalachian Regional Healthcare

Recommendation: that the Board of Trustees accept a gift of \$170,000 from Appalachian Regional Healthcare to support the expansion of the nursing program at Hazard Community College for the 1993-94 academic year.

Background: In 1992, Appalachian Regional Healthcare pledged an initial gift of \$170,000 to the University of Kentucky Rural Health Center, based in Hazard, Kentucky. This gift was used to expand the nursing program at Hazard Community College for the 1992-93 academic year. This recent pledge of an additional \$170,000 is a continuation of ARH's commitment to the Hazard Community College Nursing Program for the 1993-94 academic year.

Action taken: Approved ✓ Disapproved Other

Date: May 4, 1993.

Office of the President
May 4, 1993

FCR 14

Members, Board of Trustees:

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF
KENTUCKY ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE
OF UNIVERSITY OF KENTUCKY COMMUNITY COLLEGES EDUCATIONAL
BUILDINGS REFUNDING REVENUE BONDS, SERIES J

Recommendation: (i) that the Board of Trustees approve a Resolution accepting the successful bid of Chemical Securities, Inc. with reference to the sale of the \$29,600,000 of University of Kentucky Community Colleges Educational Buildings Refunding Revenue Bonds, Series J, dated May 1, 1993, at a net interest cost of 5.2399%; (ii) that the Board of Trustees approve the form of Official Statement as prepared by J.J.B. Hilliard, W.L. Lyons, Inc., the Financial Advisors to the Board of Trustees.

Background: On April 6, 1993, the Board of Trustees approved a Resolution authorizing the issuance of \$29,670,000 (plus or minus up to \$2,965,000) of University of Kentucky Community Colleges Educational Buildings Refunding Revenue Bonds, Series J, dated May 1, 1993. Said Resolution also authorized the public advertising for sealed, competitive bids to be received on May 4, 1993. Bids were received by the Controller & Treasurer, tabulated by J.J.B. Hilliard, W.L. Lyons, Inc., the Financial Advisors for the bonds, and reported to the Finance Committee. The recommended bid is the lowest net interest cost to the University.

Seven bids were received and tabulated as follows:

Chemical Securities, Inc.	5.2399%
Goldman Sachs & Co.	5.2773%
Clayton Brown & Associates, Inc.	5.3268%
Prudential Securities, Inc.	5.3318%
Morgan Stanley & Co., Inc.	5.3587%
Merrill Lynch & Co.	5.3892%
Bear, Stearns & Co. Inc.	5.4050%

Action: Approved ☒ Disapproved ☐ Other ☐

Dated: May 4, 1993

RESOLUTION

RESOLUTION OF THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE OF UNIVERSITY OF KENTUCKY COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REFUNDING REVENUE BONDS, SERIES J.

WHEREAS, pursuant to a resolution of the Board of Trustees (the "Board") adopted on April 6, 1993, the Board authorized the advertisement for bids for the sale of the \$29,670,000 (plus or minus up to \$2,965,000) of University of Kentucky Community Colleges Educational Buildings Refunding Revenue Bonds, Series J, dated May 1, 1993 (the "Series J Bonds"); and

WHEREAS, the sale of the Series J Bonds has been advertised in accordance with law for the receipt of bids for the Series J Bonds at 9:30 A.M., E.D.T., on May 4, 1993, and the Board will accept the lowest and best bid received upon the advice of J.J.B. Hilliard, W.L. Lyons, Inc., the Financial Advisor to the Board; and

WHEREAS, all bids received for the purchase of said Series J Bonds from the Board of Trustees have been duly considered and are as follows:

<u>BIDDERS</u>	<u>INTEREST RATES</u>	<u>AMOUNTS BID FOR SAID \$29,670,000 PRINCIPAL AMOUNT OF BONDS, PLUS ACCRUED INTEREST FROM MAY 1, 1993</u>	<u>INTEREST COST</u>
1. Chemical Securities	5.00% - 5.10%	\$29,087,432.45	5.2399%
2. Goldman Sachs	5.00% - 5.15%	\$29,078,899.20	5.2773%
3. Clayton Brown & Assoc.	4.70% - 5.20%	\$29,076,647.35	5.3268%
4. Prudential Securities	5.00% - 5.20%	\$29,076,600.00	5.3318%
5. Morgan Stanley	5.00% - 5.25%	\$29,076,837.50	5.3587%
6. Merrill Lynch	2.80% - 5.50%	\$29,076,704.30	5.3892%
7. Bear Stearns	5.00% - 5.30%	\$29,076,600.00	5.4050%

WHEREAS, the matter of which bid is the most advantageous and with the most favorable net interest cost to the Board has been sufficiently considered.

NOW, THEREFORE, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY DOES HEREBY RESOLVE AS FOLLOWS:

Section 1. That the bid of Chemical Securities, Inc. for the purchase of said \$29,670,000 principal amount of University of Kentucky Community Colleges Educational Buildings Refunding Revenue Bonds, Series J, dated May 1, 1993, at a price of \$29,087,432.45 said bid having been adjusted to \$29,600,000 of Bonds at an adjusted sale price of \$29,018,806.89, plus accrued interest from May 1, 1993, to the date of delivery of the Bonds, be accepted and confirmed, such principal and interest to be payable semiannually as follows:

<u>Maturity</u> <u>May 1,</u>	<u>Principal</u> <u>Amount</u>	<u>Rate</u>	<u>Maturity</u> <u>May 1,</u>	<u>Principal</u> <u>Amount</u>	<u>Rate</u>
1994	\$ 350,000	5.00 %	2002	\$ 2,185,000	5.00 %
1995	265,000	5.00 %	2003	2,295,000	5.00 %
1996	275,000	5.00 %	2004	2,425,000	5.00 %
1997	740,000	5.00 %	2005	2,720,000	5.10 %
1998	780,000	5.00 %	2006	2,870,000	5.10 %
1999	825,000	5.00 %	2007	2,745,000	5.10 %
2000	1,965,000	5.00 %	2008	2,895,000	5.10 %
2001	2,070,000	5.00 %	2009	2,045,000	5.10 %
			2010	2,150,000	5.10 %

and being a bid at a net interest cost to the Board of 5.2399 % per annum; and said bid is hereby determined to be the best bid with the most favorable net interest cost to the Board for said Bonds; and the interest rates on said Bonds are hereby fixed at the rates set out in said successful bid.

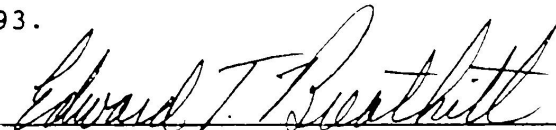
Section 2. That all other bids are hereby rejected, and the successful purchaser will deposit the good faith deposit in immediately available funds in National City Bank, Lexington, prior to the close of business on May 5, 1993, in accordance with the published Notice of Bond Sale and the Official Terms and Conditions of Sale of Bonds.

Section 3. That said Bonds shall be delivered by the Chairman of the Board of Trustees and/or other proper officers of the Board to said purchaser as soon as the Series J Bonds are printed and ready for delivery in accordance with the Resolution authorizing said Series J Bonds, and all of the proceeds of the Series J Bonds shall be used only as provided in said Resolution.

Section 4. That said bid is accepted subject to the condition that such acceptance subjects the Board to no obligation to deliver the Bonds if for any reason the Board is unable to

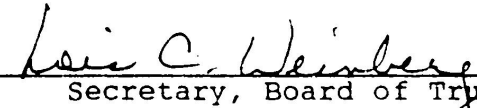
obtain the final approving legal opinion of the firm of Rubin Hays & Foley, Municipal Bond Attorneys, Louisville, Kentucky, as to said Series J Bonds, or if said Series J Bonds shall be subject to ad valorem taxation by the Commonwealth of Kentucky, or if the receipt of interest on said Series J Bonds shall be subject to income taxation by the federal government or by the Commonwealth of Kentucky, prior to or on the delivery date of said Series J Bonds, and the purchaser shall not be required to take delivery of said Series J Bonds without the final approving opinion of said attorneys accompanying said Bonds, or if said Bonds or the interest thereon shall become subject to such ad valorem or income taxation prior to such delivery date.

Adopted on May 4, 1993.


Chairman

CERTIFICATE

I, Lois C. Weinberg, hereby certify that I am the duly qualified and acting Secretary of the Board of Trustees of University of Kentucky, Lexington, Kentucky, that the foregoing is a full, true and correct copy of a Resolution adopted by the Board of Trustees of said University of Kentucky at a meeting duly held on May 4, 1993, and that said Resolution has been duly recorded in the Minute Book of said Board.


Secretary, Board of Trustees

Office of the President
May 4, 1993

FCR 15

Members, Board of Trustees:

A RESOLUTION OF THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY, AUTHORIZING THE ISSUANCE OF \$7,585,000 (PLUS OR MINUS UP TO \$755,000) CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BONDS, SERIES K (SECOND SERIES)

Recommendation: that the Board of Trustees approve a Resolution authorizing the issuance of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series), to be dated June 1, 1993, or such later date as may be approved by the President and/or Board of Trustees upon the advice of the Financial Advisor.

The Series K (Second Series) Resolution authorizes the issuance of the Series K (Second Series) Bonds for the purpose of financing the refunding of the University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series K, dated September 1, 1989, through the deposit in escrow of funds sufficient to call for redemption on May 1, 1999, the outstanding Series K Bonds scheduled to mature on May 1, 2000 through 2010, at a redemption price of 102% of the principal amount redeemed, and to provide for the payment of interest on said Series K Bonds from November 1, 1993 to and including May 1, 1999.

The Resolution also approves a Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds, Bid Form and form of Escrow Agreement, all contemplating that the Bonds to be sold on the advice of the Financial Advisor, J.J.B. Hilliard, W.L. Lyons, Inc., on June 22, 1993, or on such later date as may be approved by the President and/or Board of Trustees.

Background: The University presently has outstanding sixteen series of Consolidated Educational Buildings Project Revenue Bonds, Series C through N (as more fully described in the Series K (Second Series) Resolution, all secured by a pledge of the Revenues (registration fees) and by a statutory mortgage lien against all of the buildings of the Consolidated Educational Building Project. These Bonds are issued for the purposes of (a) financing the refunding and redemption identified above, (b) the funding of the Required Debt Service Reserve, if necessary, and (c) the cost of issuance of the refunding bonds. The Bond Resolution approves the appointment of Bank One Lexington, NA (formerly, First Security National Bank & Trust Company), Lexington, Kentucky, as Trustee, Bond Registrar, Transfer Agent, Payee Bank, Depository Bank, and Escrow Bank with respect to these Bonds, provide for the execution of the appropriate parity certifications establishing that the "coverage" of projected income of the Project over debt service requirements will meet the 1.25 test necessary to establish that the refunding bonds will rank on a parity with the outstanding Series C through N Bonds.

Action: Approved ✓ Disapproved _____ Other _____

Dated: May 4, 1993

SERIES K (SECOND SERIES) RESOLUTION

OF

THE BOARD OF TRUSTEES

OF

UNIVERSITY OF KENTUCKY
Lexington, Kentucky

AUTHORIZING

UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING
REVENUE BONDS, SERIES K (SECOND SERIES)

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UNIVERSITY OF KENTUCKY
SERIES K (SECOND SERIES) BOND RESOLUTION

A RESOLUTION OF THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY, AUTHORIZING THE ISSUANCE OF \$7,585,000 (PLUS OR MINUS UP TO \$755,000) CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BONDS, SERIES K (SECOND SERIES).

WHEREAS, the Board of Trustees of the University of Kentucky, by Resolution entitled:

"A RESOLUTION OF THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY, A STATE SUPPORTED INSTITUTION OF HIGHER LEARNING OF THE COMMONWEALTH OF KENTUCKY, CREATING AND ESTABLISHING A CONSOLIDATED EDUCATIONAL BUILDINGS PROJECT OF SAID UNIVERSITY OF KENTUCKY; CREATING AND ESTABLISHING AN ISSUE OF CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS OF THE BOARD OF TRUSTEES OF SAID UNIVERSITY OF KENTUCKY; PROVIDING FOR THE ISSUANCE OF SUCH BONDS FROM TIME TO TIME; AND PROVIDING FOR THE PAYMENT OF THE PRINCIPAL OF AND INTEREST ON SAID BONDS FROM REVENUES IN SUCH MANNER AS NOT TO CONSTITUTE INDEBTEDNESS OF THE BOARD, THE UNIVERSITY, OR THE COMMONWEALTH,"

heretofore adopted on September 20, 1960 (the "Basic Resolution" or "Resolution"), has created and established an issue of University of Kentucky Consolidated Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky (the "Bonds"); and

WHEREAS, the Basic Resolution authorizes the issuance by said Board of said Bonds in one or more Series pursuant to a series resolution authorizing each such Series; and

WHEREAS, the Board has previously authorized, sold, issued and has outstanding sixteen prior issues of Bonds designated "University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series C, Series D (Refunding), Series E, Series I, Series J, Series K, Series L, Series M and Series N," and "University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series F (Second Series), Series G (Second Series), Series H (Second Series) and Series I (Second Series), the Series I (Second Series) Bonds having been issued to defease on May 1, 1996, the Series I Bonds scheduled to mature on May 1, 1997 through 2006; and has determined that the Board is in compliance with the conditions set forth in Section 7.10 of the Basic Resolution relative to the issuance of the Series K (Second Series) Bonds (authorized herein) on a basis of parity and equality as to security and source of payment with such outstanding Bonds of Series C, D (Refunding), E, F, G, H, I, J, K, L, M, N, and F (Second Series), G (Second

Series), H (Second Series) and I (Second Series) (hereinafter the "Series C through N Bonds"); and

WHEREAS, there are presently outstanding \$8,735,000 of the Series K Bonds, dated September 1, 1989, bearing interest at rates ranging from 6.20% per annum to 7.25% per annum, scheduled to mature on May 1 in each of the respective years 1993 through 2010; and

WHEREAS, because of favorable interest rates currently available in the marketplace, the Board has determined that the Series K Bonds in the principal amount of \$6,535,000, maturing on and after May 1, 2000, can be refunded and called for redemption on May 1, 1999 (the first call date), at a redemption price of 102% of the principal amount to be redeemed, as provided in this Series K (Second Series) Resolution, at a substantial savings in debt service to the University; and

WHEREAS, it has been ascertained that the average of the annual Revenues (a defined term) from the Consolidated Educational Buildings Project (the "Project"), for the latest "two Fiscal Years" immediately preceding the proposed issuance of the Series K (Second Series) Bonds, from the sources established in the Resolution, as adjusted in the authorized manner, was equal to not less than 1.25 times the maximum Aggregate Principal, Interest and Bond Fund Charges (a defined term), in any succeeding twelve month period ending May 1, on the Series C through N Bonds then outstanding and the Series K (Second Series) Bonds proposed to be issued, and that the issuance of the Series K (Second Series) Bonds hereinafter authorized to refund the Series K Bonds will not result in an increase in the interest rate nor in an acceleration in maturity of the Series K Bonds that would reduce the above-prescribed "coverage" to less than 1.25 times the maximum Principal, Interest and Bond Fund Charges, evidenced by a statement to that effect which will be filed with the Trustee by the Treasurer of the Board prior to the time of issuance of the Series K (Second Series) Bonds, thereby complying with the conditions and restrictions set forth in Section 7.10 of the Resolution permitting the issuance of refunding bonds ranking on a basis of parity and equality with the Series C through N Bonds as to security and source of payment, and in all other respects; and

WHEREAS, the Board has obtained an opinion of nationally recognized Bond Counsel that the proposed refunding of the Series K Bonds will not result in the Bonds becoming "arbitrage bonds" as that term may be construed and applied according to the provisions of the Internal Revenue Code of the United States and Regulations promulgated thereunder.

NOW, THEREFORE, THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY HEREBY RESOLVES AS FOLLOWS:

ARTICLE I. AUTHORITY AND DEFINITIONS

Section 1.01 Authority of Original Resolution. This Resolution (hereinafter referred to as the "Series K [Second Series] Resolution") is adopted in accordance with Article II, Section 2.03 of the Basic Resolution.

Section 1.02. Definitions of Resolution Reaffirmed.

(a) All terms which are defined in Article I of the Basic Resolution shall have the same meanings, respectively, in this Series K (Second Series) Resolution as such terms are given in said Article of the Basic Resolution.

(b) In this Series K (Second Series) Resolution, Series K (Second Series) Bonds shall mean the Bonds authorized by Article II of this Series K (Second Series) Resolution.

Section 1.03. Statutory Authority. This Series K (Second Series) Resolution is adopted pursuant to the provisions of Section 162.340 through 162.380 and 58.010 through 58.140 of the Kentucky Revised Statutes and the Resolution.

ARTICLE II. AUTHORIZATION, PURPOSE AND TERMS
OF SERIES K (SECOND SERIES) BONDS

Section 2.01. Authorization of Series K (Second Series) Bonds on a Parity with Series C through N Bonds. Pursuant to the provisions of the Resolution there is hereby authorized to be issued by the Board of Trustees of University of Kentucky, in its corporate capacity, a series of bonds in the aggregate principal amount of SEVEN MILLION FIVE HUNDRED EIGHTY-FIVE THOUSAND DOLLARS (\$7,585,000) (plus or minus up to \$755,000). Such Bonds shall be designated as "University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series)." Said Series K (Second Series) Bonds are hereby declared to have been authorized under the Resolution and in conformity with the provisions of Section 7.10 of the Resolution. The Board hereby represents that the conditions prescribed in Section 7.10 of the Resolution will have been complied with before the time of issuance of the Series K (Second Series) Bonds, and that the Series K (Second Series) Bonds are issued on a basis of parity with the Series C through N Bonds.

Section 2.02. Purpose of Series K (Second Series) Bonds. Said Series K (Second Series) Bonds are being issued for the purpose of (i) redeeming on May 1, 1999, \$6,535,000 principal amount of Series K Bonds maturing on and after May 1, 2000, at a redemption price of 102% of the principal amount of Series K Bonds being redeemed, plus accrued interest to date of redemption, and to pay the interest accruing thereon from and including November 1, 1993, to and including May 1, 1999, through the deposit in escrow with the Trustee of that portion of the reserve held in the Bond Fund in an amount not to exceed the lesser of ten percent (10%) of the principal amount of the Series K (Second Series) Bonds and the maximum Aggregate Principal, Interest and Bond Fund Charges on the

Series K Bonds, and the net proceeds of the Series K (Second Series) Bonds herein authorized for investment in direct obligations of the United States of America maturing or subject to redemption on such dates and in such amounts, such that the principal and investment income from which will be sufficient to pay the accruing interest on the Series K Bonds maturing on and after May 1, 1999 on May 1 and November 1, commencing November 1, 1993, and in each of the years 1994 through 1999, and the redemption on May 1, 1999, of the \$6,535,000 of Series K Bonds maturing on and after May 1, 2000, at a redemption price of 102% of the principal amount being redeemed, plus accrued interest to the date of redemption, and (ii) paying the costs of issuance of the Series K (Second Series) Bonds.

Section 2.03. Date of Bonds; Maturities; and Interest Rate. The Series K (Second Series) Bonds shall be issued as fully registered bonds as to both principal and interest in denominations of \$5,000 or any multiple thereof within a single maturity, shall be dated June 1, 1993, and shall be numbered consecutively from R-1 upward. The Series K (Second Series) Bonds shall mature serially on May 1 in each of the years 1994 through 2010, in such principal amounts, and shall bear interest payable semiannually on May 1 and November 1, commencing November 1, 1993, at such interest rate or rates fixed as a result of the advertised sale of the Bonds.

Each Series K (Second Series) Bond shall bear interest from the interest payment date to which interest has been paid next preceding the date on which such Series K (Second Series) Bond is authenticated by the Trustee, unless such Series K (Second Series) Bond is authenticated on an interest payment date to which interest has been paid, in which event it shall bear interest from such date, or if such Series K (Second Series) Bond is authenticated prior to the first interest payment date, such Series K (Second Series) Bond shall bear interest from June 1, 1993.

Section 2.04. Registered Owners; Payment of Principal and Interest; Authentication of Series K (Second Series) Bonds; Mutilated, Lost, Stolen or Destroyed Bonds. So long as any Series K (Second Series) Bonds remain outstanding, the Trustee shall keep at its principal office a Bond Register showing and recording a register of the owners of the Series K (Second Series) Bonds and shall provide for the registration and transfer of Series K (Second Series) Bonds in accordance with the terms of the Series K (Second Series) Resolution, subject to such reasonable regulations as the Trustee may prescribe.

The person in whose name any Series K (Second Series) Bond is registered on the Bond Register maintained by the Trustee, on the 15th day of the calendar month next preceding an interest payment date (the "Record Date") shall be entitled to receive the interest payable on such interest payment date (unless such Series K [Second Series] Bond shall have been called for redemption on a redemption date which is prior to such interest payment date) notwithstanding the cancellation of such Series K (Second Series)

Bond upon any registration of transfer or exchange thereof subsequent to such Record Date and prior to such interest payment date.

The principal of (redemption price, if redeemed prior to maturity) and interest on the Series K (Second Series) Bonds shall be payable in lawful money of the United States of America as same respectively become due. The principal of each Series K (Second Series) Bond is payable upon surrender of same at the principal office of the Trustee. Interest on the Series K (Second Series) Bonds shall be paid by check mailed by the Trustee on or before each interest payment date to the owners of the Series K (Second Series) Bonds shown on the Bond Register as of the end of business on the Record Date, at the respective addresses appearing on the Bond Register.

The Series K (Second Series) Bonds shall be executed on behalf of the Board, as permitted by Section 61.390 of the Kentucky Revised Statutes, with the duly authorized reproduced facsimile signature of the Chairman, and the reproduced facsimile of its corporate seal shall be imprinted thereon and attested by the reproduced facsimile signature of the Secretary; and said officials, by the execution of appropriate certifications, shall adopt as and for their own proper signatures, their respective facsimile signatures on said Series K (Second Series) Bonds; provided the Authentication Certificate of Trustee must be executed by the manual signature of the Trustee on each Series K (Second Series) Bond before such Series K (Second Series) Bond shall be valid.

The Trustee shall have the right to order the preparation of whatever number of Series K (Second Series) Bond certificates as, in the sole discretion of the Trustee, shall be deemed necessary in order to enable the Trustee to maintain an adequate reserve supply of such Series K (Second Series) Bond certificates to effect properly the continuing transfers and exchanges of ownership of Series K (Second Series) Bond certificates as same are sold, exchanged and/or otherwise surrendered in the future. No further action regarding the authorization or execution of additional Series K (Second Series) Bond certificates shall be required by the Board, and all expenses incident thereto shall be borne by the Board.

All Series K (Second Series) Bonds shall be exchangeable and transferable upon presentation and surrender thereof at the office of the Trustee, duly endorsed for transfer or accompanied by an assignment duly executed by the owner or his authorized representative, for a Series K (Second Series) Bond or Series K (Second Series) Bonds of the same maturity and interest rate and in any authorized denomination of \$5,000 and/or a multiple thereof within a single maturity, in an aggregate principal amount or amounts equal to the unpaid principal amount of the Series K (Second Series) Bond or Series K (Second Series) Bonds presented for exchange and transfer. The Trustee shall be and is hereby authorized to authenticate and deliver exchange Series K (Second Series)

Bonds in accordance with the provisions of this Section. Each exchange Series K (Second Series) Bond delivered in accordance with this Section shall constitute an original contractual obligation of the Board and shall be entitled to the benefits and security of the Resolution and this Series K (Second Series) Resolution to the same extent as the Series K (Second Series) Bond or Series K (Second Series) Bonds in lieu of which such exchange Series K (Second Series) Bond is delivered.

No Series K (Second Series) Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit of the Resolution or this Series K (Second Series) Resolution unless and until such Series K (Second Series) Bond has been duly authenticated by the Trustee by the execution of the Authentication Certificate of Trustee appearing on such Series K (Second Series) Bond. Such Certificate appearing on any Series K (Second Series) Bond shall be deemed to have been duly executed by the Trustee if manually signed by an authorized officer of the Trustee. It shall not be required that the same officer of the Trustee sign such Certificate on all of the Series K (Second Series) Bonds.

If any Series K (Second Series) Bond shall be mutilated, lost, stolen or destroyed, the Board may execute, authenticate and deliver a new Series K (Second Series) Bond of like maturity and tenor in lieu of and in substitution for the Series K (Second Series) Bond mutilated, lost, stolen or destroyed; provided that, in the case of any mutilated Series K (Second Series) Bond, such mutilated Series K (Second Series) Bond shall first be surrendered to the Board, and in the case of any lost, stolen or destroyed Series K (Second Series) Bond, there shall be first furnished to the Board satisfactory evidence of the ownership of such Series K (Second Series) Bond and of such loss, theft or destruction, together with indemnity satisfactory to the Board. If any such Series K (Second Series) Bond shall have matured, the Board may pay the same instead of issuing a new Series K (Second Series) Bond. The Board may charge the owner of such Series K (Second Series) Bond its reasonable fees and expenses in this connection.

Section 2.05. Redemption Provisions. The Series K (Second Series) Bonds maturing on and after May 1, 2004, shall be subject to redemption by the Board prior to maturity, in whole or in part, in the inverse order of their maturities (less than all of a single maturity to be selected by lot), on any Interest Payment Date falling on or after May 1, 2003, at a redemption price, expressed as a percentage of the principal amount of the Bonds called for redemption, plus unpaid interest accrued to the date of redemption, as follows:

<u>Redemption Dates (inclusive)</u>	<u>Redemption Prices</u>
May 1, 2003 through November 1, 2003	102%
May 1, 2004 through November 1, 2004	101%
May 1, 2005, and thereafter	100%

In the event that a Series K (Second Series) Bond subject to redemption is in a denomination larger than \$5,000, a portion of such Series K (Second Series) Bond may be redeemed, but only in a principal amount equal to \$5,000 or an integral multiple thereof. Upon surrender of any Series K (Second Series) Bond for redemption in part, the Trustee, in accordance with this Series K (Second Series) Resolution, shall authenticate and deliver an exchange Series K (Second Series) Bond or Series K (Second Series) Bonds in an aggregate principal amount equal to the unredeemed portion of the Series K (Second Series) Bond so surrendered.

The Trustee shall give notice of any redemption by sending such notice by United States mail, first class, postage prepaid, not less than thirty (30) and not more than sixty (60) days prior to the date fixed for redemption, to the registered Owner of each Series K (Second Series) Bond to be redeemed in whole or in part at the address shown on the Bond Register as of the date of mailing of such notice. Such notice shall state the redemption date, the place at which the Bonds are to be surrendered for payment, and, if less than all of the Series K (Second Series) Bonds outstanding are to be redeemed, an identification of the Series K (Second Series) Bonds or portions thereof to be redeemed. Any notice mailed as provided in this Section shall be conclusively presumed to have been duly given, whether or not the Bondowner receives such notice.

Prior to each redemption date, the Trustee shall make provision, to the extent funds are then available therefor, for the payment of the redemption price of the Series K (Second Series) Bonds to be redeemed on such date by setting aside and holding in trust an amount sufficient to pay such redemption price. Upon presentation and surrender of any such Series K (Second Series) Bond at the main corporate trust office of the Trustee on or after the date fixed for redemption, the Trustee shall pay the Redemption Price of such Bond (plus accrued interest to the date of redemption) from the funds set aside for such purpose.

All of said Bonds as to which the Board reserves and exercises the right of redemption and as to which notice as aforesaid shall have been given, and for the retirement of which, upon the terms aforesaid, funds are duly provided, will cease to bear interest on the redemption date.

The Trustee shall not be required to transfer or exchange any Series K (Second Series) Bonds (i) during the period between the Record Date and the next Interest Payment Date of such Series K (Second Series) Bond; (ii) after notice calling such Series K (Second Series) Bond for redemption has been mailed; or (iii) during a period of fifteen (15) days next preceding the mailing of a notice of redemption of any Series K (Second Series) Bond.

No service charge shall be made for any transfer or exchange of Series K (Second Series) Bonds, but the Board and the Trustee may require payment of a sum sufficient to cover any tax or

any other governmental charge that may be imposed in connection with any transfer or exchange of Series K (Second Series) Bonds, except in the case of the issuance of a Bond or Bonds for the unredeemed portion of a Series K (Second Series) Bond surrendered for redemption.

Section 2.06. Form of Bond. The Series K (Second Series) Bonds and the certificate of the Trustee to be endorsed on said Series K (Second Series) Bonds shall be in substantially the following form, to-wit:

UNITED STATES OF AMERICA
COMMONWEALTH OF KENTUCKY
UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BOND
SERIES K (SECOND SERIES)

NO. R- _____

DOLLARS

DATE OF ORIGINAL ISSUE: June 1, 1993

INTEREST RATE:

MATURITY DATE:

CUSIP:

REGISTERED OWNER:

PRINCIPAL AMOUNT:

KNOW ALL MEN BY THESE PRESENTS: That the Board of Trustees of University of Kentucky, at Lexington, Kentucky, a public body corporate and educational institution and agency of the Commonwealth of Kentucky (hereinafter called the "Board"), for value received, hereby promises to pay to the Registered Owner named above, or registered assigns or legal representatives, as herein provided, solely from the special fund hereinafter identified, upon presentation and surrender of this Series K (Second Series) Bond, the Principal Amount specified above, on the Maturity Date specified above, and to pay interest on said sum at the per annum Interest Rate specified above, from the interest payment date to which interest has been paid next preceding the date on which this Series K (Second Series) Bond is authenticated, unless this Series K (Second Series) Bond is authenticated on an interest payment date to which interest has been paid, in which event this Series K (Second Series) Bond shall bear interest from such date, or if this Series K (Second Series) Bond is authenticated prior to the first interest payment date, this Series K (Second Series) Bond shall bear interest from the Date of Original Issue set out above, semiannually on May 1 and November 1 of each year, commencing November 1, 1993, until payment of the Principal Amount, except as the provisions hereinafter set forth with regard to redemption prior to maturity may be and become applicable hereto. The principal amount of this Series K (Second Series) Bond (or redemption price, if redeemed prior to maturity) is payable upon surrender of this Series K (Second Series) Bond, at maturity or at earlier redemption prior to maturity, in lawful money of the United States

of America at the principal office of Bank One, Lexington, NA, Lexington, Kentucky (the "Trustee"). Interest due on this Series K (Second Series) Bond shall be paid by check or draft mailed by regular United States mail, postmarked no later than the due date thereof, by the Trustee to the Registered Owner and at the address shown as of the 15th day of the month preceding such interest payment date on the Bond Register kept by the Trustee, which is also the Bond Registrar.

This Series K (Second Series) Bond and the issue of which it is a part is issued under and pursuant to a Resolution adopted on September 20, 1960 (the "Resolution"), and a Series K (Second Series) Resolution adopted on April 6, 1993 (the "Series K [Second Series] Resolution"), by the Executive Committee of the Board, and in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including among others, Sections 162.340 to 162.380, inclusive of the Kentucky Revised Statutes now in full force and effect.

As provided in the Resolution, bonds may be issued from time to time pursuant to separate resolutions in one or more series, in various principal amounts, may bear interest at different rates and may otherwise vary as in the Resolution provided. The aggregate principal amount of bonds which may be issued under the Resolution is not limited except as provided in the Resolution, and all bonds issued and to be issued under the Resolution are and will be equally secured by the pledges and covenants made therein, except as otherwise expressly provided or permitted in the Resolution. As of the date hereof, there are outstanding Series C, Series D (Refunding), Series E, Series F (Second Series), Series G (Second Series), Series H (Second Series), Series I, Series J, Series K, Series L, Series M and Series N and Series I (Second Series) Bonds which have been issued to defease on May 1, 1996, the Series I Bonds scheduled to mature on May 1, 1997 through 2006. All series of Bonds heretofore and hereafter issued and outstanding, including the Series K (Second Series) Bonds, under and pursuant to the Resolution are hereinafter referred to as the "Bonds."

This Bond is one of a series of Bonds in the aggregate principal amount of SEVEN MILLION FIVE HUNDRED EIGHTY-FIVE THOUSAND DOLLARS (\$7,585,000) principal amount of bonds (hereinafter collectively referred to as the "Series K [Second Series] Bonds") authorized to be issued by the Board for the purpose of financing (i) the refunding and redemption on May 1, 1999, of said Series K Bonds maturing on and after May 1, 2000, (ii) the funding of the Bond Fund Reserve (referred to in the Resolution) to bring the amount held therein equal to the maximum Aggregate Principal, Interest and Bond Fund Charges (defined in the Resolution) on the outstanding Bonds, and (iii) the cost of issuance of the Series K (Second Series) Bonds.

Copies of the Resolution and the Series Resolutions authorizing each Series of the Bonds are on file at the office of

the Trustee. Reference is hereby made to the Resolution pursuant to which the Trustee has been designated for the owners of the issue of Bonds of which this Series K (Second Series) Bond is one and for the provisions, among others, with respect to the custody and application of the proceeds of the Bonds; the rights, duties and obligations of said Board of Trustees and of the Trustee, and the rights of the holders and owners of the Bonds; and by the acceptance of this Series K (Second Series) Bond, the owner hereof assents to all of the provisions of the Resolution. Under authority of the statutes pursuant to which this Series K (Second Series) Bond is issued, this Series K (Second Series) Bond shall have all the qualities and incidents of a negotiable instrument, and subject to the provisions for registration contained in the Series K (Second Series) Resolution, nothing contained in this Series K (Second Series) Bond or in the Resolution or in the Series K (Second Series) Resolution shall affect or impair the negotiability of this Series K (Second Series) Bond.

The owner of this Series K (Second Series) Bond shall have no right to enforce the provisions of the Resolution or in the Series K (Second Series) Resolution, or to institute action to enforce the covenants therein, to take any action with respect to any default under the Resolution, or in the Series K (Second Series) Resolution, or to institute, appear in or defend any suit or other proceedings with respect thereto, except as provided in the Resolution.

The Resolution provides for fixing, charging and collecting fees for the services of the Consolidated Educational Buildings Project (the "Project") consisting of all educational buildings heretofore and hereafter erected on the property of the University as more particularly defined in the Resolution, which fees will be sufficient to pay the principal of and interest on the Bonds as the same become due and to provide reserves for such purposes and also to pay the costs of operation and maintenance of the Project to the extent the same are not otherwise provided. The Resolution provides for the creation of a special account designated "Consolidated Educational Buildings Project Bond and Interest Sinking Fund" (the "Bond Fund"), and for the deposit to the credit of said Bond Fund of a fixed amount of the gross Revenues of said Project to pay interest on the Bonds as the same becomes due and to pay or retire the principal of the Bonds at or prior to the maturity thereof, and to provide a reserve for such purpose, which Bond Fund is pledged to and charged with the payment of said principal and interest.

The issue of Series K (Second Series) Bonds of which this Series K (Second Series) Bond is one, and all other Bonds heretofore issued and as may hereafter be issued and outstanding from time to time under the Resolution, are payable only from a fixed amount of the gross Revenues derived from the operation of the Project which will be set aside in said Bond Fund and other Revenues required to be paid into said Bond Fund, and this Series K (Second Series) Bond does not constitute an indebtedness of University of Kentucky or of its Board of Trustees or of the

Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

The Series K (Second Series) Bonds maturing on and after May 1, 2004, shall be subject to redemption by the Board prior to maturity, in whole or in part, in the inverse order of their maturities (less than all of a single maturity to be selected by lot), on any Interest Payment Date falling on or after May 1, 2003, at a redemption price, expressed as a percentage of the principal amount of the Bonds called for redemption, plus unpaid interest accrued to the date of redemption, as follows:

<u>Redemption Dates (inclusive)</u>	<u>Redemption Prices</u>
May 1, 2003 through November 1, 2003	102%
May 1, 2004 through November 1, 2004	101%
May 1, 2005, and thereafter	100%

In the event that a Series K (Second Series) Bond subject to redemption is in a denomination larger than \$5,000, a portion of such Series K (Second Series) Bond may be redeemed, but only in a principal amount equal to \$5,000 or an integral multiple thereof. Upon surrender of any Series K (Second Series) Bond for redemption in part, the Trustee, in accordance with the Series K (Second Series) Resolution, shall authenticate and deliver in exchange Series K (Second Series) Bonds in an aggregate principal amount equal to the unredeemed portion of the Series K (Second Series) Bond so surrendered.

The Trustee shall give notice of any redemption by sending such notice by United States mail, first class, postage prepaid, not less than thirty (30) and not more than sixty (60) days prior to the date fixed for redemption, to the registered Owner of each Series K (Second Series) Bond to be redeemed in whole or in part at the address shown on the Bond Register as of the date of mailing of such notice.

A statutory mortgage lien, which is hereby recognized as valid and binding on said Project, is created and granted to and in favor of the owners of the Series K (Second Series) Bonds on a parity with all outstanding Bonds, and the Project shall remain subject to such statutory mortgage lien until the payment in full of the principal of and interest on the Series K (Second Series) Bonds.

This Series K (Second Series) Bond shall not be valid or become obligatory for any purpose, or be entitled to any security or benefit under the Resolution until it shall have been authenticated by the execution by the Trustee of a certificate hereon endorsed.

It is hereby certified, recited and declared that all acts, conditions and things required to exist, to happen and to be performed precedent to and in the issuance of this Series K (Second

Series) Bond, do exist, have happened and have been performed in due time, form and manner as required by law, and that the amount of this Series K (Second Series) Bond, together with all other obligations of the Board of Trustees of said University of Kentucky, does not violate any provision or exceed any limit prescribed by the Constitution or Statutes of the Commonwealth of Kentucky; that said Project will be continuously operated, and that a sufficient portion of the gross Revenues therefrom has been pledged to and will be set aside into said Bond Fund for the payment of the principal of and interest on the Series K (Second Series) Bonds, and all other Bonds, which by their terms and the terms of the Resolution are payable from said Bond Fund, as and when the same will respectively become due.

IN TESTIMONY WHEREOF, the Board of Trustees of University of Kentucky has caused this Series K (Second Series) Bond to be executed on its behalf by the reproduced facsimile signature of its Chairman and the facsimile of its corporate seal to be imprinted hereon, attested by the reproduced facsimile signature of its Secretary, all being done as of June 1, 1993.

BOARD OF TRUSTEES OF
UNIVERSITY OF KENTUCKY

Attest: By _____ (Facsimile Signature) _____
Chairman

(Facsimile Signature)
Secretary

(Facsimile Seal)

THE AUTHENTICATION DATE OF THIS SERIES K (SECOND SERIES)
BOND IS: _____.

AUTHENTICATION CERTIFICATE OF TRUSTEE

This is to certify that this Series K (Second Series) Bond is one of the Series K (Second Series) Bonds referred to in the within Series K (Second Series) Bond and in the within-mentioned Resolution. Printed on the reverse hereof is the complete text of the opinion of Bond Counsel, Rubin Hays & Foley, 200 South Fifth Street, Louisville, Kentucky, a signed original of which is on file with the undersigned, delivered and dated on the date of initial delivery of and payment for the Series K (Second Series) Bonds.

BANK ONE, LEXINGTON, NA,
Lexington, Kentucky, Trustee

By _____
Authorized Officer

The following abbreviations, when used in the inscription on the face of the within Bond, shall be construed as though they were written out in full according to applicable laws or regulations:

TEN COM	-- as tenants in common	UNIF TRANS MIN ACT -	_____	Custodian	_____
TEN ENT	-- as tenants by the entireties		(Cust)		(Minor)
JT TEN	-- as joint tenants with right of survivorship and not as tenants in common			under Uniform Transfers to Minors Act	
				(State)	

Additional abbreviations may also be used though not in list above.

ASSIGNMENT

For value received, the undersigned hereby sells, assigns and transfers unto _____ the within Series K (Second Series) Bond and hereby irrevocably constitutes and appoints _____ attorney to transfer the within Series K (Second Series) Bond on the books kept for registration and transfer of said Series K (Second Series) Bond, with full power of substitution in the premises.

Dated: _____

(Signature must correspond with name of Registered Owner as it appears on the front of this Bond in every particular, without alteration, enlargement or any change whatsoever.)

Social Security Number or other
taxpayer identification number: _____

Signature Guaranteed By: _____

Notice: Signatures must be guaranteed by a member firm of the New York Stock Exchange or a commercial bank or trust company.

ARTICLE III. MISCELLANEOUS PROVISIONS

Section 3.01. The Trustee. For the purpose of securing the payment of both the principal of and interest on all of the Series C through N Bonds, the Series K (Second Series) Bonds authorized herein, and any additional parity bonds which may hereafter be issued and outstanding pursuant to the conditions and restrictions set forth in Section 7.10 of the Resolution, and to secure for the benefit of all the holders and owners of said Bonds the faithful performance of the covenants and provisions contained in the Resolution in the manner and to the extent as permitted and provided in the Resolution and in Sections 162.340 to 162.380 of the Kentucky Revised Statutes, First Security National Bank & Trust Company, now Bank One, Lexington, NA, in the City of Lexington,

Kentucky, was designated in the Series A Resolution as Trustee under the Resolution for the holders of all of said Bonds, and such designation is now reaffirmed, with the powers and duties set forth in the Resolution and with no liability in connection with any action or omission to act under the Resolution except for its own negligence or willful breach of trust. Execution of the authentication certificate of the Trustee on each of the respective Series K (Second Series) Bonds shall conclusively establish the acceptance as to such Series K (Second Series) Bonds by the Trustee of the trusts and provisions with respect thereto as set forth in the Resolution and in this Series Resolution.

Section 3.02. Sale of Series K (Second Series) Bonds; Determination of Interest Rates and Maturities. The Series K (Second Series) Bonds shall be sold at public sale after public advertising as required by KRS Chapter 424, and the Financial Advisors are authorized to disseminate copies of the preliminary Official Statement and final Official Statement as approved by the Controller-Treasurer. The forms of Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds and Bid Form attached hereto are approved by the Board and copies of each shall be placed by the Secretary in the official record of the Board. Bids shall be received in the office of the Controller-Treasurer of the University until a day and hour designated by the Controller-Treasurer, but not less than seven (7), nor more than twenty-one (21) days prior to the designated sale date. The Board, or the Executive Committee of the Board, or some other special committee of the Board thereunto duly authorized shall, by Resolution, accept the lowest and best bid for the Series K (Second Series) Bonds, and the principal maturities and interest rates of said Series K (Second Series) Bonds shall be automatically fixed at the principal maturities and interest rates set out in said successful bid accepted in said Resolution, without the necessity of any further action by the Board. The proceeds of the sale of said Series K (Second Series) Bonds shall be used only for the purposes herein described.

Section 3.03. Delivery of Series K (Second Series) Bonds; Application of Proceeds. Immediately upon the issuance, sale and delivery of the Series K (Second Series) Bonds, the proceeds thereof shall be applied as follows:

- (a) To the Bond Fund, accrued interest on the Series K (Second Series) Bonds from the date thereof to the date of delivery to be applied toward payment of the Series K (Second Series) Bonds on the first interest payment date;
- (b) To the Bond Fund Reserve, an amount, if any, required to make the amount held therein equal to the maximum Aggregate Principal, Interest and Bond Fund Charges on the outstanding Bonds;

- (c) To the Escrow Account established pursuant to Section 3.05 hereof, the amount necessary to effect the refunding and redemption of the Series K Bonds pursuant to an Escrow Agreement with the Trustee;
- (d) To a temporary account entitled, the "costs of issuance account" to be held by the Trustee, the amount necessary to pay the costs of issuance of the Series K (Second Series) Bonds; and
- (e) To the Bond Fund, the remaining balance, if any.

Section 3.04. Transfer of Bond Fund Reserve. The Trustee is authorized and directed to liquidate the securities held in the Bond Fund as a debt service reserve to provide an amount not to exceed the lesser of the maximum Aggregate Principal, Interest and Bond Fund Charges on the Series K Bonds, and ten percent (10%) of the initial principal amount of the Series K (Second Series) Bonds, for transfer to the Escrow Account on the date of delivery of the Series K (Second Series) Bonds.

Section 3.05. Escrow Agreement. The Board shall enter into an Escrow Agreement with the Trustee, in substantially the form attached hereto as Exhibit A, which shall provide for the establishment of an Escrow Account with the Trustee and the investment of the funds held therein to effect the refunding and redemption of the Series K Bonds.

Section 3.06. Subscription to SLUGs. Authority is given to Wm. Carl Fust, Esq. and Charles S. Musson, Esq., of Rubin Hays & Foley, First Trust Centre, 200 South Fifth Street, Louisville, Kentucky 40202, and Sam Conner and Chris Bowling, of J.J.B. Hilliard, W.L. Lyons, Inc., 501 South Fourth Avenue, Louisville, Kentucky 40202, and to any one of them, to act on behalf of the Board in signing on behalf of the Board subscriptions for the purchase and issue of United States Treasury Certificates, Notes or Bonds - State and Local Government Series, to be issued to the Trustee as Escrow Agent in connection with the investment of the proceeds of the Series K (Second Series) Bonds.

Section 3.07. Compliance with Provisions of Resolution as to Parity Coverage. It is hereby certified that prior to the issuance of the Series K (Second Series) Bonds, a Statement will be filed by the Treasurer of the Board with the Trustee evidencing compliance with the conditions and restrictions set forth in Section 7.10 of the Resolution permitting the issuance of refunding bonds ranking on a basis of parity and equality with the Series C through N Bonds as to security and source of payment, and in all other respects, as set out in the preamble hereto.

Section 3.08. All Provisions of the Resolution as to Revenues and Bond Fund Reaffirmed. All of the provisions of the Resolution as to the Revenues of the Consolidated Buildings Project, the application of same, the creation of various funds and

the maintenance of the Reserve Fund, are hereby readopted, ratified and confirmed.

Section 3.09. Compliance with Federal Arbitrage Regulations. Prior to or at the time of delivery of the Series K (Second Series) Bonds, the Chairman of the Board and/or the chief financial officer of the University shall execute the appropriate certifications with reference to the matters referred to herein, setting out all known and contemplated facts concerning Series K (Second Series) Bond proceeds and investments, including the execution of necessary and/or desirable certifications of the type contemplated by applicable Treasury Regulations in order to assure that interest on the Bonds will be exempt from all federal income taxes and that the Series K (Second Series) Bonds will not be treated as arbitrage bonds.

Section 3.10. Compliance With All Provisions of Law. Prior to the issuance of the Series K (Second Series) Bonds, all provisions of law shall be complied with, which must be complied with prior to or at that time.

Section 3.11. Covenant of Board To Take All Action Necessary to Assure Compliance With the Internal Revenue Code of 1986. In order to assure purchasers of the Series K (Second Series) Bonds that interest thereon will continue to be exempt from all federal and Kentucky income taxation (subject to certain exceptions set out below), the Board covenants to and with the owners of the Series K (Second Series) Bonds that (1) the Board will take all actions necessary to comply with the provisions of the Internal Revenue Code of 1986, as applicable (the "Code"), (2) the Board will take no actions which will violate any of the provisions of the Code, or would cause the Series K (Second Series) Bonds to become "private activity bonds" or "arbitrage bonds" within the meaning of the Code, (3) none of the proceeds of the Series K (Second Series) Bonds will be used for any purpose which would cause the interest on the Series K (Second Series) Bonds to become subject to federal income taxation, and the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series K (Second Series) Bonds.

The Board certifies that these Series K (Second Series) Bonds are not "private activity bonds" within the meaning of the Code, and the Board has been advised by Bond Counsel, and therefore believes, that interest on the Series K (Second Series) Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals.

The exemption from income taxation by the United States of America of interest on the Series K (Second Series) Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), interest on the Series K (Second Series) Bonds is taken into account in determining adjusted current earnings for taxable years beginning after December 31, 1989.

2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series K (Second Series) Bonds, or, in the case of a financial institution, that portion of a holder's interest expense allocated to interest on the Series K (Second Series) Bonds.

3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series K (Second Series) Bonds.

4. For taxable years beginning before January 1, 1996, interest on the Series K (Second Series) Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.

5. Interest on the Series K (Second Series) Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.

6. Passive investment income, including interest on the Series K (Second Series) Bonds, may be subject to federal income taxation under Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.

7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series K (Second Series) Bonds.

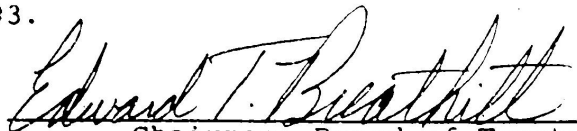
The Board reserves the right to amend the Series K (Second Series) Resolution authorizing the Series K (Second Series) Bonds without obtaining the consent of the owners of the Series K (Second Series) Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series K (Second Series) Bonds shall be exempt from federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the said owners) to eliminate or reduce any restrictions concerning the investment of the proceeds of these Series K (Second Series) Bonds, or the application of such proceeds. The purchasers of these Series K (Second Series) Bonds are deemed to have relied fully upon these covenants and undertakings on the part of the Board as part of the

consideration for the purchase of the Series K (Second Series) Bonds. To the extent that the Board obtains an opinion of nationally recognized bond counsel to the effect that non-compliance with any of the covenants contained in this Series K (Second Series) Resolution or referred to in this Series K (Second Series) Resolution would not subject interest on the Series K (Second Series) Bonds to federal income taxes or Kentucky income taxes, the Board shall not be required to comply with such covenants or requirements.

This Series K (Second Series) Resolution is adopted in contemplation that Bond Counsel will render an opinion as to exemption of principal of the Series K (Second Series) Bonds from Kentucky ad valorem taxation and as to exemption of interest on the Series K (Second Series) Bonds from federal and Kentucky income taxation, based on the assumption by Bond Counsel that the Board complies with covenants made by the Board with respect to compliance with the provisions of the Code, and based on the assumption of compliance by the Board with requirements as to any required rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series K (Second Series) Bonds. The Board has been advised that based on the foregoing assumptions of compliance, Bond Counsel is of the opinion that the Series K (Second Series) Bonds are not "arbitrage bonds" within the meaning of Section 148 of the 1986 Code.

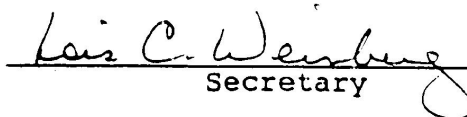
Section 3.12. Copy of Resolution To Be Filed With Trustee. A certified copy of this Series K (Second Series) Resolution shall be filed with the Trustee, and this Series K (Second Series) Resolution shall take effect immediately upon its adoption and the filing of a certified copy hereof with the Trustee.

Adopted: May 4, 1993.


Chairman, Board of Trustees

(SEAL)

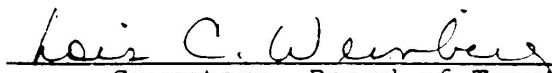
Attest:


Secretary

CERTIFICATE OF SECRETARY

I, Lois C. Weinberg, Secretary of the Board of Trustees of University of Kentucky, Lexington, Kentucky, hereby certify that the foregoing is a true copy of a Series K (Second Series) Resolution adopted by said Board at a meeting held on May 6, 1993, which Series K (Second Series) Resolution pertains to the issuance of \$7,585,000 (plus or minus up to \$755,000) of Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series), dated June 1, 1993, all as shown by the official records in my custody and under my control.

WITNESS my signature and the seal of the University this May 4, 1993.


Secretary, Board of Trustees

(SEAL)

NOTICE OF BOND SALE

The Board of Trustees of University of Kentucky, Lexington, Kentucky, will until 10:00 A.M., E.D.T., on June 22, 1993, at the office of the Controller and Treasurer of the University in Lexington, Kentucky, receive bids on \$7,585,000 (plus or minus up to \$755,000) of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series), dated June 1, 1993, maturing on May 1, 1994 through 2010. Minimum bid is \$7,433,300 (98%). Legal Opinion by Rubin Hays & Foley, Louisville, Kentucky. Good faith check is \$151,700. The Bonds will be issued on a tax-exempt basis subject to certain qualifications set out in detail in the Official Terms and Conditions and in the Preliminary Official Statement. Bid Forms, Official Terms and Conditions and Preliminary Official Statements in a form deemed to be "near final" by the Board may be obtained from the Financial Advisor, J.J.B. Hilliard, W.L. Lyons, Inc., P.O. Box 32760, 501 South Fourth Avenue, Louisville, Kentucky 40232-2760, (502) 588-8400. Right to reject bids and to waive defects or informalities is expressly reserved. (Signed) Lois C. Weinberg, Secretary.

OFFICIAL TERMS AND CONDITIONS
OF SALE OF BONDS

1. DATE AND HOUR OF SALE

The University of Kentucky, Lexington, Kentucky, will, until 10:00 A.M., E.D.T., June 22, 1993, in the office of the Controller and Treasurer, 369 Peterson Service Building, Lexington, Kentucky 40506-0005, receive competitive, sealed bids for the purchase of \$7,585,000 (plus or minus up to \$755,000) of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series), dated June 1, 1993. The Board of Trustees will meet at 1:00 P.M. on the same date to act upon the bids received.

2. DESCRIPTION AND MATURITIES OF BONDS

Said Series K (Second Series) Bonds bear interest from June 1, 1993, payable semiannually, on May 1 and November 1, commencing November 1, 1993, will be in the denomination of \$5,000 or any multiple thereof within the same maturity, are numbered R-1 and upward, and will mature or be subject to mandatory redemption on May 1 in each of the respective years, as follows:

<u>Maturity</u> <u>May 1,</u>	<u>Principal*</u> <u>Amount</u>	<u>Maturity</u> <u>May 1,</u>	<u>Principal*</u> <u>Amount</u>
1994	\$ 50,000	2003	\$580,000
1995	80,000	2004	605,000
1996	85,000	2005	640,000
1997	85,000	2006	665,000
1998	90,000	2007	700,000
1999	95,000	2008	735,000
2000	510,000	2009	770,000
2001	530,000	2010	815,000
2002	550,000		

*The principal maturities are subject to change as provided in the Series K (Second Series) Resolution pursuant to which the Series K (Second Series) Bonds will be issued.

Said Series K (Second Series) Bonds are payable as to principal at Bank One, Lexington, NA, Lexington, Kentucky, the Trustee.

The Series K (Second Series) Bonds maturing on and after May 1, 2004, shall be subject to redemption by the Board prior to maturity, in whole or in part, in the inverse order of their maturities (less than all of a single maturity to be selected by lot), on any Interest Payment Date falling on or after May 1, 2003, at a redemption price, expressed as a percentage of the principal amount of the Bonds called for redemption, plus unpaid interest accrued to the date of redemption, as follows:

<u>Redemption Dates (inclusive)</u>	<u>Redemption Prices</u>
May 1, 2003 through November 1, 2003	102%
May 1, 2004 through November 1, 2004	101%
May 1, 2005, and thereafter	100%

The Series K (Second Series) Bonds are to be issued in fully registered form (both principal and interest). The Trustee will mail a check representing interest payments semiannually to each Bondowner of record as of the 15th day of the month preceding each interest due date by regular United States mail postmarked not later than the due date. Principal will be paid upon submission of matured Series K (Second Series) Bonds to the Trustee. Upon the submission of a proper executed assignment, the Trustee will transfer ownership of a Series K (Second Series) Bond within three (3) business days of receipt without expense to the Bondowner.

3. AUTHORITY AND PURPOSE

These Series K (Second Series) Bonds are being issued pursuant to the authority of Sections 162.340 through 162.380, inclusive, of the Kentucky Revised Statutes are being issued on a parity as to security and source of payment with the outstanding Bonds of Series C, D (Refunding), E, I, J, K, L, M, N, and F (Second Series), G (Second Series), H (Second Series) and I (Second Series) (hereinafter the "Series C through N Bonds"), heretofore issued pursuant to a Resolution of the Board of Trustees of the University of Kentucky adopted on September 20, 1960, establishing the Consolidated Educational Buildings Project for the University (the "Resolution"), and a Series K (Second Series) Resolution. The proceeds of the Series K (Second Series) Bonds will be used to provide funds for the purpose of refunding and redeeming on May 1, 1999, \$6,535,000 principal amount of Series K Bonds maturing on and after May 1, 2000, through the deposit in escrow with the Trustee under the Resolution of that portion of a debt service reserve held by the Trustee in an amount equal to the lesser of the maximum Aggregate Principal, Interest and Bond Fund Charges on the Series K Bonds or ten percent (10%) of the initial principal amount of the Series K (Second Series) Bonds, and the net proceeds of the Series K (Second Series) Bonds for investment in direct obligations of the United States of America maturing or subject to redemption on such dates and in such amounts, such that the principal and investment income from such investments will be sufficient to pay the accruing interest on the Series K Bonds maturing on and after May 1, 2000 on May 1 and November 1 in each of the years 1993 through 1998, commencing November 1, 1993, and the redemption on May 1, 1999, of the \$6,535,000 of Series K Bonds maturing on and after May 1, 2000, at the redemption price of 102% of the principal amount being redeemed, plus accrued interest to date of redemption.

4. SECURITY

Said Series A through H Bonds, and any additional parity bonds hereafter issued and outstanding under the terms of the

Resolution, are and will be payable from and constitute a paramount charge upon the defined Revenues (student registration fees) derived by the University from the operation of its Consolidated Educational Buildings Project. The Consolidated Educational Buildings Project may be described generally as all of the educational buildings and appurtenant facilities of the University.

As further security for the Bonds there is created and granted by Sections 162.350 and 162.200 of the Kentucky Revised Statutes a statutory mortgage lien upon the Consolidated Educational Buildings Project of the University.

5. LEGAL OPINION

In the opinion of Rubin Hays & Foley, Municipal Bond Counsel, Louisville, Kentucky, the principal of the Series K (Second Series) Bonds is not subject to Kentucky ad valorem taxation and the interest on the Series K (Second Series) Bonds is excludable from gross income for federal income tax purposes, is not an item of tax preference for purposes of the federal alternative minimum tax on individuals and corporations, and is not subject to Kentucky income taxation, subject to certain exceptions set out below. The legal opinion of Rubin Hays & Foley is subject to the condition that the Board comply with all requirements of the Internal Revenue Code of 1986, as applicable (the "Code") that must be satisfied subsequent to issuance of the Series K (Second Series) Bonds in order that interest thereon be, or continue to be, excludable from gross income for federal income tax purposes, including the requirement as to any required rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series K (Second Series) Bonds. The purchaser will be furnished said opinion, printed bond forms, and the usual closing documents, which will include a certificate that there is no litigation pending or threatened at the time of delivery of the issue affecting the validity of the Series K (Second Series) Bonds.

In order to assure the purchasers of the Series K (Second Series) Bonds that interest thereon will continue to be excludable from gross income for federal income tax purposes and exempt from Kentucky income taxation (subject to certain exceptions set out below), the Board has covenanted in the Series K (Second Series) Resolution that (1) the Board will take all actions necessary to comply with the provisions of the Code, (2) the Board will take no actions which will violate any of the provisions of the Code, or that would cause the Series K (Second Series) Bonds to become "private activity bonds" or "arbitrage bonds" within the meaning of the Code, (3) none of the proceeds of the Series K (Second Series) Bonds will be used for any purpose which would cause the interest on the Series K (Second Series) Bonds to become subject to federal income taxation, and that the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series K (Second Series) Bonds.

The Series K (Second Series) Bonds are not "private activity Bonds" within the meaning of the Code, and the Board of Trustees has been advised by Bond Counsel, and therefore believes, that interest on the Series K (Second Series) Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals.

The tax-exempt status of the Series K (Second Series) Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), interest on the Series K (Second Series) Bonds is taken into account in determining adjusted current earnings for taxable years beginning after December 31, 1989.

2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series K (Second Series) Bonds, or, in the case of a financial institution, that portion of a holder's interest expense allocated to interest on the Series K (Second Series) Bonds.

3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series K (Second Series) Bonds.

4. For taxable years beginning before January 1, 1996, interest on the Series K (Second Series) Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.

5. Interest on the Series K (Second Series) Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.

6. Passive investment income, including interest on the Series K (Second Series) Bonds, may be subject to federal income taxation under Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.

7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series K (Second Series) Bonds.

The Board of Trustees has reserved the right to amend the Series K (Second Series) Resolution pursuant to which the Series K (Second Series) Bonds are issued without obtaining the consent of

the owners of the Series K (Second Series) Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series K (Second Series) Bonds shall be exempt from federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of the Series K [Second Series] Bonds) to eliminate or reduce any restrictions concerning the investment of the proceeds of the Series K (Second Series) Bonds, or the application of such proceeds of the Series K (Second Series) Bonds. The purchasers of the Series K (Second Series) Bonds will be deemed to have relied fully upon these covenants and undertakings on the part of the Board of Trustees as part of the consideration for the purchase of the Series K (Second Series) Bonds. To the extent that the Board of Trustees obtains an opinion of nationally recognized bond counsel to the effect that non-compliance with any of the covenants contained in the Series K (Second Series) Resolution would not subject interest on the Series K (Second Series) Bonds to federal income taxation or Kentucky income taxation, the Board of Trustees is not required to comply with such covenants and requirements.

If, prior to the delivery of the Series K (Second Series) Bonds, any event shall occur which alters the tax-exempt status of the Series K (Second Series) Bonds, the purchaser shall have the privilege of voiding the purchase contract by giving immediate written notice to the Board of Trustees, whereupon the amount of the good faith deposit of the purchaser will be returned to the purchaser, and all respective obligations of the parties will be terminated.

Bond Counsel has reviewed the Official Statement with regard to all matters pertaining to the legality and tax exemption of the Series K (Second Series) Bonds, including statements concerning the authority, purpose and security of the Series K (Second Series) Bonds; but Bond Counsel has not reviewed any of the financial statements or calculations, such as debt service requirements, budget estimates, enrollment, capital outlay, estimated revenues, expenditures or other financial information in the Official Statement, and expresses no opinion thereon and assumes no responsibility in connection therewith.

6. TERMS OF SALE

The Series K (Second Series) Bonds are offered for sale upon the following terms and conditions:

- A. A minimum price is required for the entire issue of not less than \$7,433,300 (98% of par), plus accrued interest from the date of the Series K (Second Series) Bonds (June 1, 1993) to the date of delivery.
- B. The successful bidder will be required to deposit with Bank One, Lexington, NA, Lexington, Kentucky, for the account of the University of Kentucky

immediately available funds in the amount of \$151,700, representing the good faith deposit, by the close of business on June 23, 1993. The amount of the good faith deposit, without interest, will be deducted from the purchase price at the time of delivery of the Series K (Second Series) Bonds.

- C. The determination of the best bid will be made on the basis of all bids submitted for exactly \$7,585,000 of Series K (Second Series) Bonds as offered for sale under the terms and conditions herein specified. Upon determination of the lowest net interest cost according to the schedule of principal amounts listed in the Official Bid Form, the Board shall immediately proceed to adjust such principal amounts of the Series K (Second Series) Bonds to determine the maturities of its final bond issue. The successful bidder will be required to accept the final bond issue as so computed, whether the principal amount has been increased or decreased by up to \$755,000, and to pay the percentage purchase price based upon the aggregate amount of the final bond issue.
- D. Bidders must state an interest rate or rates in a multiple of 1/8 or 1/20 of 1%, or both.
- E. There is no limit on the number of different rates which may be specified by any bidder.
- F. Interest rates must be on an ascending scale, in that the interest rate for Series K (Second Series) Bonds of any maturity may not be less than the interest rate stipulated for any preceding maturity.
- G. All Series K (Second Series) Bonds of the same maturity shall bear the same and a single interest rate from the date thereof to maturity.
- H. The right to reject bids for any reason deemed advisable by the Board of Trustees, and the right to waive any possible informalities, irregularities or defect in any bid which, in the judgment of the Board of Trustees, shall be minor or immaterial, is expressly reserved.
- I. Bids must be made on forms which, together with an Official Statement, may be obtained at the office of the Financial Advisor, J.J.B. Hilliard, W.L. Lyons, Inc., P.O. Box 32760, Louisville, Kentucky 40232-2760. Bids must be enclosed in sealed envelopes marked "Bid for University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series)" and bids must be

received by the President of University of Kentucky prior to the date and hour stated above.

- J. It shall be the responsibility of the purchasers of the Series K (Second Series) Bonds to furnish or cause to be furnished to the Trustee at least five (5) days prior to the date of delivery of the Series K (Second Series) Bonds, a list of the names, addresses and social security numbers or employer identification numbers of each of the parties to whom the Series K (Second Series) Bonds are to be registered, and the principal amounts and maturities thereof. In the event of the failure to so deliver such list, the Series K (Second Series) Bonds delivered to the purchasers shall be registered in the name or names of such purchasers or their designated representatives appearing as the first name on the successful bid form, or otherwise appropriately designated, and shall be issued in denominations corresponding to the principal amount of each respective maturity, or in the denomination of \$5,000, as shall be determined by the Trustee.
- K. Delivery will be made in Louisville, Kentucky. The purchasers may elect to require delivery through a depository trust corporation, provided the purchasers agree to pay any additional expense in connection therewith, such expense to include shipping expense, insurance in transit and the fee of the depository trust corporation. In connection with the issuance of the Series K (Second Series) Bonds, the Board of Trustees will pay for the printing of the Series K (Second Series) Bonds, which will contain the opinion of Bond Counsel.
- L. Upon wrongful refusal of the successful bidder to take delivery of and pay for the Series K (Second Series) Bonds when tendered for delivery, the amount of the good faith check shall be forfeited by such bidder, and such amount shall be deemed liquidated damages for such default; provided, however, if said Series K (Second Series) Bonds are not ready for delivery and payment within forty-five (45) days from the date of sale, said bidder shall be relieved of any liability to accept the Series K (Second Series) Bonds hereunder.
- M. The purchasers of the Series K (Second Series) Bonds will pay the CUSIP Service Bureau charge for the assignment of CUSIP numbers, which numbers will be printed on the Series K (Second Series) Bonds at no expense or cost to the purchasers. Neither the failure to print a CUSIP number on any Series K (Second Series) Bond, nor any error with respect

thereto, shall constitute cause for a failure or refusal by the purchasers thereof to accept delivery of and payment for the Series K (Second Series) Bonds in accordance with the terms of the purchase agreement.

- N. The successful bidder shall promptly advise the Financial Advisor to the Board of Trustees of (i) the reoffering price for each maturity of the Series K (Second Series) Bonds, and (ii) the principal amount sold to the public of each principal maturity of the Series K (Second Series) Bonds on the reoffering date.
- O. If the successful bidder desires to obtain insurance guaranteeing the payment of the principal and/or interest on the Series K (Second Series) Bonds, the University agrees that it will cooperate with such successful bidder in obtaining such insurance, but all of the expenses and charges in connection therewith shall be borne by such bidder (with the exception of the costs of ratings by Standard & Poor's Corporation and Moody's Investors Service), and the University shall not be liable to any extent therefor.

The Board of Trustees shall provide to the successful purchaser a final Official Statement in accordance with SEC Rule 15c2-12. Arrangements have been made with the printer of the preliminary official statement, upon submission of completion text, to print a reasonable quantity of final Official Statements in sufficient time to meet the delivery requirements of the successful bidder under SEC or Municipal Securities Rulemaking Board Delivery Requirements, which Official Statements will be provided at the expense of the Board.

J.J.B. Hilliard, W.L. Lyons, Inc., Louisville, Kentucky, has been employed as Financial Advisor to the Board of Trustees and the University in connection with the issuance of the Series K (Second Series) Bonds. The Financial Advisor's fee for services rendered with respect to the sale of the Series K (Second Series) Bonds is contingent upon the issuance and delivery of the Series K (Second Series) Bonds. The Financial Advisor may submit bids for the purchase of the Series K (Second Series) Bonds at the time of the advertised public sale of the Series K (Second Series) Bonds, either independently or as a member of a syndicate organized to submit a bid for the purchase of the Series K (Second Series) Bonds.

(Signed) Board of Trustees of University of Kentucky by
Lois C. Weinberg, Secretary.

The foregoing was approved by the Board of Trustees of University of Kentucky, Lexington, Kentucky, at a meeting held on May 4, 1993.


Secretary

(Seal)

BID FORM

Subject to the terms and conditions set forth in the Official Terms and Conditions of Sale of Bonds, providing for the sale of \$7,585,000 (plus or minus up to \$755,000) of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series), dated June 1, 1993, and in accordance with the notice of sale of the Bonds as advertised, to all of which the undersigned agrees, the undersigned hereby submits the following offer to purchase said Bonds.

We hereby bid for said \$7,585,000 (plus or minus up to \$755,000) principal amount of Bonds, the sum of \$_____ (not less than \$7,433,300), plus accrued interest from June 1, 1993, to the date of delivery of the Bonds, such Bonds to bear interest payable semiannually on May 1 and November 1, commencing November 1, 1993, at the following annual rate(s):

<u>Maturity</u> <u>May 1,</u>	<u>Principal</u> <u>Amount</u>	<u>Rate</u>	<u>Maturity</u> <u>May 1,</u>	<u>Principal</u> <u>Amount</u>	<u>Rate</u>
1994	\$ 50,000	_____ %	2003	\$580,000	_____ %
1995	80,000	_____ %	2004	605,000	_____ %
1996	85,000	_____ %	2005	640,000	_____ %
1997	85,000	_____ %	2006	665,000	_____ %
1998	90,000	_____ %	2007	700,000	_____ %
1999	95,000	_____ %	2008	735,000	_____ %
2000	510,000	_____ %	2009	770,000	_____ %
2001	530,000	_____ %	2010	815,000	_____ %
2002	550,000	_____ %			

We understand that this bid may be accepted for as much as \$8,340,000 of Bonds and for as little as \$6,830,000 of Bonds, at the same price per \$1,000 of Bonds as the price bid per \$1,000 of Bonds by the undersigned with the variation in such amount being adjusted as determined by the Board of Trustees over the term of the Series K (Second Series) Bonds, rounded out to \$5,000.

We understand that the Board of Trustees will furnish the final, approving legal opinion of Rubin Hays & Foley, Municipal Bond Attorneys, Louisville, Kentucky. If we are the successful bidder, we will cause to be deposited in Bank One, Lexington, NA, Lexington, Kentucky, for the account of University of Kentucky, immediately available funds in the amount of \$151,700 representing the good faith deposit on the Bonds, prior to the close of business on June 23, 1993, which amount, without interest, will be deducted from the purchase price of the Bonds when tendered to us for delivery. If we are the successful bidder, we agree to accept and make payment for the Bonds in accordance with the terms of sale.

Respectfully submitted,

Total interest cost from June 1, 1993,
to final maturity

\$ _____

Less premium bid or plus discount, if any

\$ _____

Net interest cost

_____ %

True Interest Cost

_____ %

The above computations of net interest cost and of true interest cost are submitted for information only and are not part of this Bid.

ACCEPTANCE OF BID WITH
ADJUSTMENT OF AMOUNT AND TOTAL BID PRICE

Accepted by the Board of Trustees of the University of Kentucky on this June 22, 1993, as to \$ _____ of Bonds at an adjusted price of \$ _____, plus accrued interest, with the change in the amount of Bonds being reflected by the following changes in the maturities.

<u>Maturity</u> <u>May 1,</u>	<u>Principal</u> <u>Amount</u>	<u>Rate</u>	<u>Maturity</u> <u>May 1,</u>	<u>Principal</u> <u>Amount</u>	<u>Rate</u>
1994	\$ _____	_____ %	2003	\$ _____	_____ %
1995	_____	_____ %	2004	_____	_____ %
1996	_____	_____ %	2005	_____	_____ %
1997	_____	_____ %	2006	_____	_____ %
1998	_____	_____ %	2007	_____	_____ %
1999	_____	_____ %	2008	_____	_____ %
2000	_____	_____ %	2009	_____	_____ %
2001	_____	_____ %	2010	_____	_____ %
2002	_____	_____ %			

UNIVERSITY OF KENTUCKY

By _____
Chairman

ESCROW AGREEMENT

THIS ESCROW AGREEMENT, dated as of June 1, 1993, by and between the BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY, a body corporate and public educational institution of higher learning of the Commonwealth of Kentucky (the "Board"), and BANK ONE, LEXINGTON, NA, Lexington, Kentucky, a national banking association, organized and existing under and by virtue of the laws of the United States of America, with its principal trust office located at Bank One Plaza, Lexington, Kentucky 40586 (the "Trustee Escrow Agent"),

W I T N E S S E T H :

WHEREAS, the Board, by Resolution adopted September 20, 1960 (the "Resolution"), has created and established an issue of Consolidated Educational Buildings Revenue Bonds (the "Bonds"), and authorized the issuance by the Board of said Bonds in one or more series pursuant to a resolution authorizing such series on a parity as to security and source of payment with all other series of Bonds; and

WHEREAS, the Board has previously authorized, sold, issued and has outstanding sixteen prior issues of Bonds designated "University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series C, Series D (Refunding), Series E, Series I, Series J, Series K, Series L, Series M and Series N," and "University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series F (Second Series), Series G (Second Series), Series H (Second Series) and Series I (Second Series), the Series I (Second Series) Bonds having been issued to defease on May 1, 1996, the Series I Bonds scheduled to mature on May 1, 1997 through 2006; and has determined that the Board is in compliance with the conditions set forth in Section 7.10 of the Basic Resolution relative to the issuance of the Series K (Second Series) Bonds on a basis of parity and equality as to security and source of payment with such outstanding Bonds of Series C, D (Refunding), E, I, J, K, L, M, N, and F (Second Series), G (Second Series), H (Second Series) and I (Second Series) (hereinafter the "Series C through N Bonds"); and

WHEREAS, there are presently outstanding \$8,735,000 of the Series K Bonds, dated September 1, 1989, bearing interest at rates ranging from 6.20% per annum to 7.25% per annum, scheduled to mature on May 1 in each of the respective years 1993 through 2010; and

WHEREAS, because of favorable interest rates currently available in the marketplace, the Board has determined that the Series K Bonds in the principal amount of \$6,535,000, maturing on and after May 1, 2000, can be refunded and called for redemption on May 1, 1999 (the first call date), at a redemption price of 102% of the principal amount to be redeemed, as provided in this Series K (Second Series) Resolution, at a substantial savings in debt service to the University; and

WHEREAS, by resolution adopted on April __, 1993 (the "Series K [Second Series] Resolution"), the Board authorized the issuance of \$_____ of "Consolidated Educational Buildings Refunding Revenue Bonds, Series K (Second Series)," dated June 1, 1993 (the "Series K [Second Series] Bonds"); and

WHEREAS, under the Resolution and the Series Resolutions authorizing the Series C through N Bonds, and the Series K (Second Series) Resolution, Bank One, Lexington, NA (formerly, First Security National Bank & Trust Company), Lexington, Kentucky, has been appointed as Trustee (the "Trustee") for the owners of each of those Series of Bonds.

NOW, THEREFORE, in consideration of the mutual covenants of the parties hereto, it is agreed as follows:

1. The Trustee Escrow Agent will hold a special account, designated as the "University of Kentucky Consolidated Educational Buildings Series K Escrow Account (the "Escrow Account"), created in Section 3.05 of the Series K (Second Series) Resolution. All funds in the Escrow Account shall be held in trust separate from all other funds of the Trustee Escrow Agent.

2. For the purpose of refunding and redeeming prior to maturity the Series K Bonds maturing on and after May 1, 2000, the Trustee Escrow Agent will accept investments for deposit in the Escrow Account (all investments in the Escrow Account being herein-after sometimes referred to as the "Investments") in (i) cash, and (ii) direct non-callable obligations of the United States of America, including United States Treasury Certificates, Notes or Bonds - State and Local Governments Series (investments in mutual funds or unit investment trusts are prohibited), all of which Investments, together with any uninvested cash, shall be scheduled to mature and be available at such times and in such amounts which will be adequate and necessary, as set out in Exhibit A attached hereto, to provide sufficient funds in ample time to meet the following requirements:

- (a) to pay all interest accruing on the \$6,535,000 of Series K Bonds, maturing on and after May 1, 2000, payable commencing November 1, 1993 and on November 1 and May 1 of each year thereafter until and including May 1, 1999; and
- (b) to redeem on May 1, 1999, the Series K Bonds scheduled to mature on and after May 1, 2000, at a redemption price of 102% of the principal amount to be redeemed, plus accrued interest to date of redemption.

3. The Board has agreed, and the Trustee Escrow Agent has been informed and acquiesces, that the net proceeds (\$_____) received from the purchaser of the Series K (Second Series) Bonds, shall be held in cash, in part, and, in part,

applied to the purchase of the Investments for deposit in said Escrow Account, as set out in Exhibit A attached hereto.

4. The Board, in the Series K (Second Series) Resolution, has directed the Trustee to liquidate a sufficient amount of securities held in the Bond Fund created by the Resolution as a debt service reserve to provide an amount equal to not less than ten percent (10%) of the initial principal amount of the Series K (Second Series) Bonds for transfer to the Escrow Account.

5. Amounts on deposit in the Escrow Account shall be transferred in such amounts and at such times to the Trustee for the purpose of providing for payment of the Series K Bonds in the manner set forth in Paragraph 2 hereof. The amount so deposited in the Escrow Account and all such Investments therein shall be held in the Escrow Account, subject to the following terms and conditions:

- (a) the amount to be provided by both the principal and investment earnings from such Investments plus any uninvested cash shall be sufficient, and shall be made available to the Trustee in ample time, to meet the requirements specified in Paragraph 2(a) and (b) hereof; and
- (b) on or immediately prior to each of the semiannual interest payment dates on the Series K Bonds maturing on and after May 1, 2000 (May 1 and November 1), commencing November 1, 1993, the necessary amounts of investments in the Escrow Account shall be converted to cash by the Trustee Escrow Agent for the benefit of the owners of the Series K Bonds and transferred to the Trustee for payment of the interest due on the \$6,535,000 of Series K Bonds maturing on and after May 1, 2000; and
- (c) on or immediately prior to May 1, 1999, a sufficient amount of investments in the Escrow Account shall be converted to cash by the Trustee Escrow Agent for the benefit of the owners of the Series K Bonds scheduled to mature on and after May 1, 2000, and transferred to the Trustee and applied to redeem on May 1, 1999, the \$6,535,000 of the Series K Bonds scheduled to mature on and after May 1, 2000, at a redemption price of \$6,665,700 (the redemption price being 102% of the principal amount of the Series K Bonds to be redeemed), plus accrued interest to date of redemption, which Series K Bonds will have been called for redemption on such date.

6. The Escrow Account is irrevocable and the owners of the Series K Bonds scheduled to mature on and after May 1, 2000, shall have an express lien on all monies and investments in the

Escrow Account until used and applied in accordance with Paragraph 2 hereof.

7. The Escrow Agent will give written Notice of Redemption of the Series K Bonds maturing on and after May 1, 2000, by sending a Notice of Redemption substantially in the form attached hereto as Exhibit B "Second Notice" to each registered bondowner via first class mail not less than thirty (30) nor more than sixty (60) days prior to the redemption date. The Escrow Agent shall also send a copy of the Notice of Redemption substantially in the form attached hereto as Exhibit B "First Notice" to each registered bondowner as soon as practicable after the deposit into the Escrow Account of amounts sufficient to defease the Series K Bonds to be called for redemption on May 1, 1999.

8. After the application by the Trustee Escrow Agent of sufficient funds from the Escrow Account to meet all of the requirements of Paragraphs 2(a) and (b) hereof, including the requirements of any of the Series K Bonds called for redemption (and/or checks for payment of interest on the Series K Bonds and/or for payment of the redemption price of the Series K Bonds, which have not yet been cashed), any surplus remaining after May 1, 1999, shall be deposited into the Bond Fund created in Section 4.05 of the Resolution.

9. The parties hereto agree that all of the foregoing provisions are binding on each of the parties until and including May 1, 1999, the date on which all of the funds held in the Escrow Account have been transferred to the Trustee as hereinabove provided.

10. In the event of a change in Trustee pursuant to the provisions of the Resolution, the successor Trustee shall become the successor Trustee Escrow Agent, in which event the Trustee Escrow Agent shall, upon receipt of written notice of the aforementioned Successor Trustee Escrow Agent, transfer all investments and funds in the Escrow Account to the Successor Trustee Escrow Agent and thereupon the Trustee Escrow Agent shall be relieved from further liability of the administration of the Escrow Account.

11. The Trustee Escrow Agent may rely, and shall be protected in acting or refraining from acting, upon any instrument furnished to it hereunder and believed by it to be genuine and believed by it to have been signed or presented by the appropriate party or parties (including without limitation, with respect to any corporation, any instrument purporting to have been signed on its behalf by its president, any of its vice-presidents, its treasurer or its secretary). The Trustee Escrow Agent shall not be liable for any action (or any refraining from action) taken by it in good faith and believed by it to be authorized or within the rights or powers conferred upon it in this Agreement. The Trustee Escrow Agent may consult with counsel of its choice and shall be fully protected in acting or refraining to act in good faith and in accordance with the opinion of such counsel.

12. The Trustee Escrow Agent shall not be liable for any act done or step taken or omitted by it, or for any mistake of fact or law, or for anything which it may do or refrain from doing, except for its negligence or its default in the performance of any obligation imposed upon it hereunder. The Trustee Escrow Agent shall be responsible only for amounts or investments actually received by the Trustee Escrow Agent and shall not be responsible in any manner whatsoever for the statements contained herein, or in the Series K Bonds, or in the Series K (Second Series) Bonds, or in any proceedings taken in connection therewith, but the same are made solely by the Board. The Trustee Escrow Agent shall not be liable or responsible for any loss resulting from any investment made pursuant to this Agreement and in full compliance with the provisions hereof. None of the provisions contained in this Agreement shall require the Trustee Escrow Agent to use or advance its own funds or otherwise to incur personal financial liability in the performance of any of its duties or in the exercise of any of its rights or powers hereunder. The Trustee Escrow Agent shall be under no liability for interest on any funds or other property received by it hereunder, except as herein expressly provided.

13. This Agreement is made for the benefit of the Board and the holders and owners from time to time of the Series K Bonds and it shall not be repealed, revoked, altered, or amended, regardless of whether the consent of the parties hereto shall be given, other than for the purpose of subjecting to this Agreement additional funds, securities, or properties. The Trustee Escrow Agent shall be entitled to rely exclusively on an unqualified opinion of nationally recognized attorneys on the subject of municipal bonds with respect to compliance with this Agreement, including the extent, if any, to which any change affects the rights of the owners of the Series K Bonds and/or is in compliance with the conditions and provisions of this Agreement. Moody's Investors Service shall be provided with draft copies of any draft amendments prior to their execution at Moody's Investors Service, 99 Church Street, New York, New York 10007, Attention: Public Finance Rating Desk Refunded Bonds.

14. If at any time there shall be insufficient funds on hand in the Escrow Account for the payment of the interest on, or the redemption price of, the Series K Bonds being called for redemption, the Trustee Escrow Agent shall promptly notify the Board of such deficiency, and the Board shall immediately supply such deficiency from funds of the Board to the extent of funds available therefor from the Revenues (as defined in the Resolution).

15. The Trustee Escrow Agent shall, on or before the 1st day of April and October of each year, deliver to the Board an investment statement with respect to the Escrow Account and shall, on or before August 1 of each year, deliver to the Board a transaction statement for the Escrow Account for the fiscal year ending the preceding July 1.

16. The Trustee Escrow Agent shall be entitled to payment and/or reimbursement for reasonable fees for its services rendered hereunder and all advances, counsel fees and other expenses reasonably and necessarily made or incurred by the Trustee Escrow Agent in connection with such services. Trustee Escrow Agent shall under no circumstances assert a lien against any funds in the Escrow Fund for any of its fees or expenses.

IN TESTIMONY WHEREOF, witness the signatures of the parties hereto as of the date first above written, the parties executing same each certifying that he had the authority to execute same on behalf of the party for whom he executes this Agreement. This Agreement may be simultaneously executed in several counterparts, all of which constitute one and the same instrument and each of which shall be, and shall be deemed to be, an original.

BOARD OF TRUSTEES OF
UNIVERSITY OF KENTUCKY

By Henry C. Owen Treasurer
Signature and Title

BANK ONE, LEXINGTON, NA,
Lexington, Kentucky,
Trustee Escrow Agent

By _____

Title _____

EXHIBIT B
Notice of Redemption

"First Notice"

NOTICE OF REDEMPTION

RE: UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL
BUILDINGS REVENUE BONDS, SERIES K, DATED SEPTEMBER 1,
1989

Notice is hereby given that the Board of Trustees of University of Kentucky has provided for the refunding of the Series K Bonds maturing on and after May 1, 2000, bearing CUSIP numbers 914386-___; 914386-___; 914386-___; 914386-___; 914386-___; 914386-___; 914386-___; 914386-___; 914386-___; and 914386-___; through the deposit in escrow with Bank One, Lexington, NA, Bank One Plaza, Lexington, Kentucky 40586, as Trustee Escrow Agent, of direct obligations of the United States of America maturing in such amounts and on such dates as will be sufficient to pay the interest on said Bonds as same becomes due, and, will be sufficient to redeem said Series K Bonds scheduled to mature on and after May 1, 2000, on May 1, 1999. The Series K Bonds called for redemption shall be payable solely from the investments held in escrow, and said Series K Bonds shall no longer be secured by the pledge of the Revenues of the Consolidated Educational Buildings Project created by the Resolution of the Board of Trustees of University of Kentucky pursuant to which the Series K Bonds have been issued.

The remaining Series K Bonds scheduled to mature on May 1, 1994 through May 1, 1999 will be paid as and when they become due. Attention is called to the fact that no action is required by the owners of such called Bonds until May 1, 1999. Funds sufficient for the payment of the interest on the Bonds through May 1, 1999, and the redemption price of the Bonds to be redeemed on May 1, 1999, have been placed on deposit and invested in United States Government obligations. (Signed) Bank One, Lexington, NA, Escrow Agent.

"Second Notice"

NOTICE OF REDEMPTION

RE: UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL
BUILDINGS REVENUE BONDS, SERIES K, DATED SEPTEMBER 1,
1989

Owners of the above-identified Bonds scheduled to mature on May 1, 2000 through 2010, bearing CUSIP numbers 914386-___; 914386-___; 914386-___; 914386-___; 914386-___; 914386-___; 914386-___; 914386-___; 914386-___; and 914386-___; are advised that such Bonds are called for redemption on May 1, 1999, at the principal corporate trust office of Bank One, Lexington, NA, Bank One Plaza, Lexington, Kentucky 40586. Owners of said called Bonds are notified to present them as set out above and upon surrender thereof, said Bonds will be redeemed at a price of \$5,100 per \$5,000 Bond (102%) plus accrued interest to May 1, 1999. Interest on said called Bonds will no longer accrue after May 1, 1999. (Signed) Bank One, Lexington, NA, Escrow Agent.

EXHIBIT A
Investments

Office of the President
May 4, 1993

FCR 16

Members, Board of Trustees:

COLDSTREAM RESEARCH CAMPUS GROUND LEASE

Recommendation: that the President be authorized to execute a Ground Lease between the University of Kentucky and Kentucky Technology, Inc. for lot 17 of the Coldstream Research Campus for the purpose of developing the Kentucky Technology Center, a multi-building, multi-tenant complex.

Background: The Board of Trustees authorized the University of Kentucky Research Foundation in June of 1988 to establish Kentucky Technology, Inc. (KTI) as a for-profit corporation. KTI is a wholly owned subsidiary of the University of Kentucky Research Foundation organized to transact any lawful business for which corporations may be incorporated to include, but not limited to, investing in and operating business and real estate, developing and marketing research discoveries, and pursuing other economic development initiatives based on university developed technology.

KTI will sub-lease to developers who will construct and operate buildings which will be available for lease to acceptable Coldstream tenants who do not have need of a single five acre, or larger, lot and are not interested in owning a building at the current stage of their business development. KTI may joint venture the building construction and operation with capable developers. The first building in the Kentucky Technology Center will be a joint venture between KTI and a developer and will be occupied by Process Technology, Inc. (PTI). PTI is a coal related research and development corporation currently located in Calumet, MI. PTI has an exclusive worldwide license for the KEN-FLOTE column flotation technology developed at the UK Center for Applied Energy Research. PTI intends to move to Coldstream and lease a building of approximately 10,000 square feet in the first quarter of 1994.

The terms of the proposed ground lease to Kentucky Technology, Inc. will generally be the same as those currently in place for the Hughes Display Products building. These are summarized as follows:

KEY TERMS OF GROUND LEASE

PARTIES

Lessor - University of Kentucky

Lessee - Kentucky Technology, Inc.

TERM OF GROUND LEASE

75 years

SIZE OF PARCEL

Lot 17, 7.74 acres; shown on the Coldstream Research Campus Development Plan.

BUILDING SIZE

Multiple buildings with 10,000 footprint; total square feet of 40-100,000

GROUND RENT

Minimum \$6,750 per acre gross as developed per year for years 1-5.

Rent increases by 25% of the Consumer Price Index every five years.

Rent adjusted every 30 years to reflect the market value of the land.

Payments made to the University for the use and benefit of the University.

**ARCHITECTURAL AND
SITE DESIGN
GUIDELINES AND
RESTRICTIONS ON USE**

To ensure a quality project and compatibility with the University's long-term development concepts for the Coldstream Research Campus, the lease requires Lessee to comply with:

Office, Industry and Research Park (P-2) Zone

Architectural and Site Design Guidelines for the Coldstream Research Campus

UNSUBORDINATED LEASE

The University's interest in the property will not be subordinated to any mortgage or lien placed on the property.

INFRASTRUCTURE

The University of Kentucky will provide infrastructure to the site.

Action taken: Approved ☒ Disapproved ☐ Other ☐

Date: May 4, 1993