

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, June 21, 1983.

The Board of Trustees of the University of Kentucky met at 2 p.m. (Eastern Daylight Time) on Tuesday, June 21, 1983 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington Campus.

A. Meeting Opened and Roll Called

Mr. William B. Sturgill, Chairman, called the meeting to order at 2:22 p.m. and the invocation was pronounced by Mr. W. Terry McBrayer.

The following members of the Board of Trustees answered the call of the roll: Mr. William B. Sturgill (Chairman), Mr. Thomas P. Bell, Mr. William R. Black, Governor Edward T. Breathitt, Governor Albert Benjamin Chandler (Honorary Trustee), Mr. Albert G. Clay, Professor Opal Skaggs Conley, Mr. James W. Dinkle, Mr. Tracy Farmer, Mr. Ronald G. Geary, Mrs. Edythe Jones Hayes, Mr. Brereton C. Jones, Mr. R. Larry Jones, Mr. T. A. Lassetter, Mr. W. Terry McBrayer, Mr. Robert T. McCowan, Mr. A. Stevens Miles, and Mr. Frank Ramsey. Absent from the meeting were Mr. George W. Griffin, Professor William F. Wagner, and Professor Constance P. Wilson. The University administration was represented by President Otis A. Singletary; Dr. Donald B. Clapp, Vice President for Administration; Chancellors Peter P. Bosomworth, Art Gallaher, and Charles T. Wethington; Dr. Raymond R. Hornback, Vice President for University Relations; Mr. David I. Carter, Special Assistant for Business and Financial Affairs; Mr. John C. Darsie, General Counsel; Mr. Henry Clay Owen, Controller and Treasurer; Dr. Paul G. Sears, Special Assistant for Academic Affairs; Dr. Wimberly C. Royster, Vice Chancellor for Research and Dean of the Graduate School; and Dr. Jack C. Blanton, Vice Chancellor for Administration, Lexington Campus.

Members of the various news media were also in attendance. A quorum being present, the Chairman declared the meeting officially open for the conduct of business at 2:25 p.m.

B. Minutes Approved

The minutes of the May 3, 1983 meeting of the Board of Trustees were approved as written.



C. President's Report to the Trustees

President Singletary reviewed briefly some of the items in his monthly report to the Trustees. He indicated his pleasure in announcing the appointment of Dr. Charles W. Ellinger, Professor of Prosthodontics, College of Dentistry, as the Academic Ombudsman for 1983-84. Dr. Ellinger, who was present, was asked to stand and be recognized. President Singletary also noted that Guy Davenport, Department of English, has been named an Alumni Professor. He added that the University of Kentucky is fortunate to have Professor Davenport as a member of its faculty. President Singletary pointed out that Susan M. Belmore, Professor in the Department of Psychology, has been named an American Council on Education Fellow in Academic Administration for the 1983-84 academic year and that Thomas R. Ford, Department of Sociology and Behavioral Science, was the recipient of the 1983 Sturgill Award which was presented at the Commencement Exercises on May 8 for outstanding contributions to graduate education.

D. Visitors Recognized

President Singletary welcomed David Bradford, newly elected President of Student Government Association, and student members of the Summer Advising Conference. He commented that a good job is done each summer by the student workers in the Conference.

E. Recommendations of the President (PR 2)

Although most of the items in PR 2 were routine in nature, President Singletary did call attention to the appointment of Leonard E. Heller, as Vice Chancellor for Academic Affairs at the Medical Center, effective July 1, 1983. Chancellor Bosomworth stated that Dr. Heller, who has served in various faculty and administrative positions in three medical centers, is currently on leave from the University. He is a Robert Wood Johnson Health Policy Fellow, one of a group of six, working on health issues with the U.S. Congress and the executive branch of the federal government. Dr. Bosomworth added that because of his variety of professional experiences, the appointment of Dr. Heller as Vice Chancellor for Academic Affairs will provide important support to the academic program of the Medical Center.

With President Singletary so recommending, on motion made by Mr. Black, seconded by Mr. Ramsey and passed, PR 2 was approved. (See PR 2 at the end of the Minutes.)

F. 1982-83 Budget Revisions (PR 3B)

Mr. Clay moved that the 1982-83 budget revisions recommended in PR 3B be authorized and approved. His motion was seconded by Mr. Larry Jones and passed without dissent. (See PR 3B at the end of the Minutes.)

G. Appointment to the Board of Directors of the University of Kentucky Research Foundation (PR 3C)

President Singletary said he was pleased to recommend the appointment of Mr. Thomas P. Bell as a Trustee member of the Board of Directors of the University of Kentucky Research Foundation for a two-year term ending in 1985. On motion duly made, seconded, and carried, it was so ordered. (See PR 3C at the end of the Minutes.)

H. Approval of Agreement Between University of Kentucky and University of Kentucky Student Agencies, Inc. (PR 3D)

On motion made by Mr. Dinkle, seconded by Mrs. Hayes, and carried, the Board approved the agreement between the Board of Trustees of the University of Kentucky and University of Kentucky Student Agencies, Inc. as recommended in PR 3D. (See PR 3D at the end of the Minutes.)

I. Change in Division Structure (PR 4A)

Chancellor Wethington recommended the reorganization of the Jefferson Community College, Southwest Campus, into two academic divisions, (1) Humanities and Natural Sciences and (2) Business and Social/Behavioral Sciences. Mr. Farmer so moved. His motion was seconded by Mr. Miles and passed without dissent. (See PR 4A at the end of the Minutes.)

J. Appointment of Dean of College of Engineering (PR 5A)

President Singletary said he was pleased to recommend that Dr. Ray M. Bowen be appointed Professor (with tenure), Department of Engineering Mechanics, and Professor (without tenure), Department of Mechanical Engineering, and named Dean of the College of Engineering, effective September 1, 1983. He then called on Chancellor Gallaher who reviewed the extensive search for a Dean and told the Trustees that Dr. Bowen was the choice of the Search Committee and that he has extremely strong support from the College of Engineering. In reviewing Dr. Bowen's experience and credentials, Chancellor Gallaher observed that he is an outstanding engineer with a distinguished list of publications and honors, a superb research record in engineering mechanics, and a proven track record of working with industry. His last academic appointment was with Rice University, and for the past year he has been Director of the Division of Mechanical Engineering and Applied Mechanics for the National Science Foundation. Dr. Bowen's credentials, plus his excellent administrative experience, will enable him to provide strong leadership to a college which is of high priority to the Lexington Campus.

On motion by Mr. Bell and second by Mr. Larry Jones, the appointment of Dr. Ray M. Bowen as Dean of the College of Engineering, effective September 1, 1983, was approved unanimously. (See PR 5A at the end of the Minutes.)

K. Patent Assignments (PR 5B)

Without discussion, on motion made by Mr. Black, seconded by Mr. Lassetter and passed, the two patent assignments as recommended in PR 5B were approved. (See PR 5B at the end of the Minutes.)

L. FUND Board of Directors Appointment (PR 6A)

With President Singletary so recommending, Mr. McCowan moved that approval be given to the reappointment of Dr. Irvin E. Lunger as a member of the Board of Directors of THE FUND for Advancement of Education and Research for a two-year term ending June 30, 1985. His motion was seconded by Mr. Dinkle and passed unanimously. (See PR 6A at the end of the Minutes.)

M. Revision of the Bylaws of the Medical Staff, University Hospital (PR 6B)

President Singletary recommended that the revised bylaws of the Medical Staff of University Hospital be approved. He explained that the revision (1) reflects the organizational and title changes which have occurred since the Bylaws were last amended, and (2) incorporates the functional and procedural changes necessary to conform with the requirements of the accrediting and licensing agencies. Mr. Farmer so moved. His motion was seconded by Mr. McBrayer and passed without dissent. (See PR 6B at the end of the Minutes.)

N. Approval of Agreement Between University of Kentucky and Kentucky Medical Services Foundation (PR 6C)

President Singletary recommended approval of the agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Incorporated as presented in PR 6C.

Chancellor Bosomworth explained that the terms of the document in PR 6C are essentially the same as those in the agreement approved by the Board on June 22, 1982, and he pointed out that the dollar amounts have been revised to reflect the increased costs for 1983-84. Mr. Larry Jones moved approval. His motion was seconded by Mr. Geary and passed without dissent. (See PR 6C at the end of the Minutes.)

O. Operating Budget for 1983-84 (PR 3E)

In opening his budget presentation, President Singletary observed that the new budget reflects the continuation of past efforts to (a) improve and protect employee salaries, and (b) fully implement the cuts in state appropriations that were experienced in the 1980-81 and 1981-82 fiscal years. He pointed out that the budget includes a cost-of-living/merit pool providing average salary increases of 6 percent for faculty and staff members. President Singletary informed the Trustees that if further state reductions do not occur, the University will be able to begin to recover from the \$23.2 million in budget losses. However, he indicated that the State's revenues for 1983-84 are still uncertain and that further budget cuts are a possibility. If further cuts are necessary, they will be covered with funds budgeted to address maintenance and equipment needs, deferred as a result of previous cuts.

Using a series of charts, President Singletary reviewed the 1980-82 State Appropriation to the University. He pointed out that salaries for 1982-83 were about \$467 lower than the median of UK's benchmark institutions, a considerable improvement over similar comparisons for 1979-80, when main campus salaries fell \$1,635 short of those at neighboring state-supported institutions. In a similar comparison, 1982-83 salaries at the 13 community colleges were about \$242 higher than the median of their benchmark institutions.

State appropriations amount to less than one-half of the proposed budget. The remainder of UK's funding comes from tuition and fees, federal and county appropriations, gifts and grants, and income from sales and services, endowments, investments, auxiliary services, the University Hospital, and restricted funds.

In his concluding remarks, President Singletary thanked three members of his staff, Vice President Clapp, Mr. David Carter, and Ms. Karen Combs, for "yeoman's service" in the preparation of the Operating Budget.

He then recommended that the Board adopt the Operating Budget for 1983-84. Mr. Clay so moved. His motion was seconded by Mr. Bell and passed with all present voting "aye." (See PR 3E at the end of the Minutes.)

P. Interim Financial Report (FCR 1)

Mr. Clay, Chairman of the Finance Committee, reported that the committee had met on the morning of June 21, 1983 and considered the six agenda items to be presented for action, the first of which was the Interim Financial Report for the period ended April 30, 1983. The committee found the University to be "on target," and Mr. Clay moved that the report be accepted. His motion, seconded by Mr. Black, passed and the report was accepted. (See FCR 1 at the end of the Minutes.)

Q. Disposal of Personal Property (FCR 2)

With the concurrence of the Finance Committee, Mr. Clay moved that the Board approve the disposal of personal property as recommended in FCR 2. Mr. Clay's motion was seconded by Mr. McBrayer and passed unanimously. (See FCR 2 at the end of the Minutes.)

R. Use of Fraternity Reserve (FCR 3)

Acting on the recommendation of the Finance Committee, Mr. Clay moved that the Vice Chancellor for Administration be authorized to request funds from the "Maintenance Reserve Account" as recommended in FCR 3 for the purpose of paying the cost of complete renovation of two bathrooms and paneling corridors of the Phi Kappa Tau Fraternity House and the replacing of roof and bedroom doors of the Sigma Chi Fraternity House. Mr. Clay's motion was seconded by Mr. Miles and passed without dissent. (See FCR 3 at the end of the Minutes.)

S. Authorization to Convey Lake Cumberland Property (FCR 4)

Mr. Clay moved that the President be authorized to convey to Friends of Kentucky 4-H, Incorporated the Lake Cumberland property as described in FCR 4. His motion was seconded by Mr. Farmer and passed without dissent. (See FCR 4 at the end of the Minutes.)

T. Replacement and Repair Projects (FCR 5)

On motion by Mr. Clay and second by Mr. Brereton Jones, the Board approved renovation projects for roof replacements at Blanding II, Blanding III, and the hospital building, a project for repair of HVAC systems at Madisonville Community College, and a renovation project to be submitted to the Council on Higher Education for repair of the masonry facade of the Euclid Avenue side of Memorial Coliseum. (See FCR 5 at the end of the Minutes.)

U. Lease of Space, Jefferson Community College (FCR 6)

The Finance Committee so recommending, Mr. Clay moved approval of the lease of space at Jefferson Community College as presented in FCR 6. His motion was seconded by Mr. Miles and passed without dissent. (See FCR 6 at the end of the Minutes.)

V. Contracts with Administrative Officers

In conclusion, Mr. Clay said that the Finance Committee wished to add a recommendation not included on the agenda. He then moved that the Chairman of the Board be authorized to execute contracts with the administrative officers providing for the terms and conditions of their employment for 1983-84. His motion was seconded by Mr. Black and passed without dissent.

W. Amendment of Code of Student Conduct (SCCR 1)

Mr. Ramsey, Chairman of the Board's Student Code Committee, moved that the proposed revisions to the Code of Student Conduct be approved as recommended in SCCR 1. His motion was seconded by Mr. Dinkle and passed unanimously. (See SCCR 1 at the end of the Minutes.)

X. Mr. James W. Dinkle Recognized

Mr. Sturgill recognized Mr. Dinkle, who was attending his last meeting as a member of the Board, and thanked him for his valuable service to the University.

Mr. Dinkle introduced the following officers of UK Student Agencies: Mr. Leonard Stoltz, President; Mr. Brent Austin, Vice President; and Mr. Rayvon Reynolds, outgoing President. Mr. Dinkle added that they have performed a very valuable service for University students and the community. He then introduced the newly elected President of Student Government Association, Mr. David Bradford, and observed that he will be an exemplary ambassador for the student body.

Mr. Dinkle expressed his appreciation for the opportunity to have served on the Board of Trustees and indicated that he had found it a progressive and exciting year involving many important issues.

In closing, Mr. Dinkle said his year on the Board of Trustees has been a very valuable and worthwhile experience, and he expressed his thanks and appreciation for the help given him by the Trustees and the University administration.

Mr. Sturgill again thanked Mr. Dinkle for his service and extended best wishes to him on behalf of the Board.

Y. Mr. W. Terry McBrayer Recognized

Mr. McBrayer indicated that he too was attending his last meeting as a member of the Board of Trustees. In reflecting on his tenure, Mr. McBrayer said he has seen a dramatic change in the role of a Trustee, not only at this University but at universities throughout the state. The quality of education at the University of Kentucky is under attack and the academic worth of a diploma from UK may soon begin to shrink unless the course is altered. He added, however, that had it not been for President Singletary, the problems would be more severe than they presently are. While expressing his deep admiration for President Singletary, he said he does not feel that President Singletary can fight the battle alone.

He pointed out some of the important decisions which will be faced in the next decade, and said he feels that the statutory responsibility which each Board member has to the citizens of the Commonwealth of Kentucky is awesome. He encouraged the Trustees not to abdicate that responsibility but rather to become activists and to speak out about the problems facing Kentucky's flagship university so that all Kentuckians may comprehend and appreciate the problems facing higher education.

In closing, Mr. McBrayer said he cherishes each and every moment he has spent on the Board and the friendships he has made or cultivated during his tenure. He said he stands willing, ready, and able to assist in any way he can to make the University better tomorrow than it is today. Mr. McBrayer was given a round of applause.

Mr. Sturgill, on behalf of the Board, thanked Mr. McBrayer for his observations as well as his valuable contributions to the University. Mr. Sturgill agreed that the Board members do have a responsibility to see that the University continues the progress it has made in the last several years.

President Singletary expressed thanks to Mr. McBrayer for his service on the Board and said he was particularly appreciative of what he said about the role of a Trustee. He also said he was counting on Mr. McBrayer's continuing to be a good friend of the University and to him personally.

Z. Announcement of Vice President Donald B. Clapp's Resignation

President Singletary said that he was accepting, with a deep sense of loss, the resignation of Vice President Donald B. Clapp from the University of Kentucky's administrative staff. He will terminate his full-time association on August 31, 1983. President Singletary said that "Dr. Clapp's unusual and demonstrated competence, his knowledge of and affection for this institution, and his unwavering loyalty over the years are a combination of characteristics that will be difficult, if not impossible, to replace." He stressed the University's great loss and pointed out that Vice President Clapp possesses a combination of detailed knowledge blended with an overview of the University and its proper mission and direction. He added that Vice President Clapp had been not only a close personal friend but also an intensely loyal associate. In closing he asked the Board to join him in thanking Vice President Clapp. His comments were followed by a round of applause.

Mr. Sturgill, on behalf of the Board, said he could only echo what President Singletary had said, and he thanked Vice President Clapp for being a servant of the University. He expressed appreciation for his tour of duty and his many contributions to the University and extended best wishes for the future.

AA. Meeting Adjourned

There being no further business, the meeting was adjourned at 3:15 p.m.

Respectfully submitted,

John C. Darsie  
Assistant Secretary  
Board of Trustees

(PR's 2, 3B, 3C, 3D, 3E, 4A, 5A, 5B, 6A, 6B, and 6C; FCR's 1, 2, 3, 4, 5, and 6; and SCCR 1 which follow are official parts of the Minutes of the meeting.)



PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: (1) that approval be given to the attached appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

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Action taken: Approved   x   Disapproved            Other           

Date:   June 21  , 1983

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Elizabethtown Community College

Brandenburg, Phyllis, Professor in the Community College System (with tenure), appointed Chairman of Division of Social and Behavioral Sciences and Related Technologies, 7/1/83 through 6/30/86.

Livesay, Powell, Professor in the Community College System (with tenure), appointed Chairman of Division of Natural Sciences and Related Technologies, 7/1/83 through 6/30/86.

Hazard Community College

Taylor, Sandra, Associate Professor in the Community College System (with tenure), appointed Chairman of Division of Sciences and Related Technologies, 7/1/83 through 6/30/86.

Henderson Community College

Cousert, David, Associate Professor in the Community College System (with tenure), appointed Chairman of Division of Behavioral/ Social Sciences and Related Technologies, 7/1/83 through 6/30/86.

Duffy, Julian, Professor in the Community College System (with tenure), appointed Chairman of Division of Natural Sciences and Related Technologies, 7/1/83 through 6/30/86.

Jefferson Community College

Davis, Helen, Associate Professor in the Community College System (with tenure), appointed Chairman of Division of Business and Related Technologies, 7/1/83 through 6/30/86.

Edwards, Carolyn R., Associate Professor in the Community College System (with tenure), appointed Chairman of Division of Business and Social/Behavioral Sciences, Southwest Campus, 7/1/83 through 6/30/86.

O'Daniel, Carolyn, Associate Professor in the Community College System (with tenure), appointed Chairman of Division of Allied Health, 7/1/83 through 6/30/86.

Smither, Betty, Associate Professor in the Community College System (with tenure), appointed Chairman of Division of Humanities and Natural Sciences, Southwest Campus, 7/1/83 through 6/30/86.

Lexington Technical Institute

Pagan, Bonnie, Professor in the Community College System (with tenure), appointed Chairman of Division of Humanities and Related Technologies, 7/1/83 through 6/30/86.

Prestonsburg Community College

Garrett, Clara, N., Associate Professor in the Community College System (with tenure), appointed Chairman of Division of Biological Sciences and Related Technologies, 7/1/83 through 6/30/86.

Honshell, Phyllis B., Professor in the Community College System (with tenure), appointed Chairman of Division of Humanities and Related Technologies, 7/1/83 through 6/30/86.

Southeast Community College

Pack, Carol, Associate Professor in the Community College System (with tenure), appointed Chairman of Division of Biological Sciences and Related Technologies, 7/1/83 through 6/30/86.

B. JOINT APPOINTMENTS

Hazard Community College

Lynch, Joseph R., Associate Professor in the Community College System (with tenure), joint appointment as Associate Professor in the College of Dentistry, effective 7/1/83.

C. PROMOTIONS

Ashland Community College

Graham, Carolyn, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Salisbury, Ada Lee, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/83.

Elizabethtown Community College

Judd, Mildred, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.  
Livesay, Powell M., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/83.

Hazard Community College

Lynch, Joseph R., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Henderson Community College

Cousert, David C., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.  
Hughes, Kathy L., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.  
Thomas, Stephen R., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Hopkinsville Community College

Carter, David E., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.  
Felton, David E., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.  
Gabbard, JoAnne N., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/83.  
Liles, Betty, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.  
Shehan, Thomas G., Jr., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Walston, Larry, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Jefferson Community College

Bloyd, Deborah L., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Cleveland, Alice A., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Doran, David S., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure) effective 7/1/83.

Ferguson, Jeanne H., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/83.

Gaskey, Norma, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/83.

Hemdahl-Owen, Ann V., from Assistant Professor in the Community College System (without tenure) to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Layne, Carol S., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Lewis, Carol M., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Metten, Patricia Jo, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Newberry, Anthony L., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Nguyen, Hai V., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Pecchioni, Frank T., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Lexington Technical Institute

Birchfield, Martha J., from Librarian III in the Community College System (without tenure), to Librarian II in the Community College System (with tenure), effective 7/1/83.

Boyatt, Iva Dean, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College (with tenure), effective 7/1/83.

Carpenter, Gail V., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Perry, Kenneth L., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Sanders, Jacqueline B., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Taylor, Carol A., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Turner, Vivian L., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Walker, Robert A., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Madisonville Community College

Davenport, Michael A., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Koon, Danny Lee, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Moore, Judith, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.  
Warren, Roger Dale, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Paducah Community College

Austin, Juanita, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.  
Hennessy, James V., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Prestonsburg Community College

Bottoms, Jenny M., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.  
Garrett, Clara N., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.  
Nutter, Susan S., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

Somerset Community College

Blankenship, Margaret W., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.  
Carpenter, Richard G., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.  
McCann, Goldie G., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/83.  
Story, Joanne S., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/83.

Southeast Community College

Simpson, Lillian Faye, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/83.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Jefferson Community College

Breeding, David H., Instructor in the Community College System, 7/1/83 through 6/30/84.

Somerset Community College

Wolfe, James D., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

B. JOINT APPOINTMENTS

Hazard Community College

Kilburn, Freda, Instructor in the Community College System, joint appointment as Instructor in the Southeast Community College, 7/1/83 through 6/30/84.

Southeast Community College

Borntrager, Milton, Assistant Professor in the Community College System, joint appointment as Assistant Professor in the Hazard Community College, 7/1/83 through 6/30/84.

Saylor, Ellen W., Instructor in the Community College System, joint appointment as Instructor in the Hazard Community College, 7/1/83 through 6/30/84.

C. REAPPOINTMENTS

Ashland Community College

Barnett, Lysbeth, Instructor in the Community College System, 7/1/83 through 6/30/84.

Bryson, Albert Manuel, Librarian III in the Community College System, 7/1/83 through 6/30/84.

Cyrus, Jack, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Howes, Charles D., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.



Kellerman, Lydia Suzanne, Librarian IV in the Community College System, 7/1/83 through 6/30/84.  
Odong-Wodolam, Vincent H. P., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Reed, Sharon Lee, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Shoemaker, Jon P., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Subelsky, Jean Alice, Instructor in the Community College System, 7/1/83 through 6/30/84.  
Tetting, Helen Kaylene, Instructor in the Community College System, 7/1/83 through 6/30/84.  
Wolfe, Mary Kathleen, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Elizabethtown Community College

Ballard, Sue Kelley, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Buckles, Diane B., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Cahaney, Elizabeth H., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Cantrell, Charles C., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Harper, Pamela, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Hart, Edward D., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Redel, Ruth E., Assistant Professsor in the Community College System, 7/1/83 through 6/30/84.  
Simpson, Martha J., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Sisk, Delmar Wayne, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Sisler, Peter H., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Stellwagen, John R., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Stewart, Theresia B., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Tychonievich, Robert J., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Williams, J. David, Instructor in the Community College System, 7/1/83 through 6/30/84.  
Winslow, Celia, Instructor in the Community College System, 7/1/83 through 6/30/84.

Hazard Community College

Anthony, Joseph G., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Combs, Charlene T., Instructor in the Community College System, 7/1/83 through 9/30/83.  
Darling, Kenneth, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Ducharme, Betty J., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Eason, Alyce, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Garrison, Martha T., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Lohrasbi, Jahan, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Lynes, Billie J., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Nagda, Durga S., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Stern, Robert J., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Henderson Community College

Bowman, Arlene M., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Garrett, Phyllis, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Heath, Debra P., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Hunt, Cathy D., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Hunt, Janice L., Instructor in the Community College System, 7/1/83 through 6/30/84.  
McDannel, James H., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Mihankhah, Kianpour, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Riley, W. Allan, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Strawn, Anthony A., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Tammes, B. Beth, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Hopkinsville Community College

Adams, Mary Helen, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Clifton, David L., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Curci, Katherine M., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Duncan, Terry D., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Lewis, Robert H., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Morris, Edward J., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Peden, Ann, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Strader, Cynthia O., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Swain, Marilynn B., Instructor in the Community College System, 7/1/83 through 6/30/84.

Jefferson Community College

Benz, Linda, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Block, Jeanne, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Bryant, Nowell, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Bush, Cynthia, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Bush, Sherman, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Calhoun-French, Diane M., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Cassaro, Kay, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
DeStephanis, Augustus, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Fenimore, Patricia, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Fields, Ricky D., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Gray, Denise A., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Harkins, Linda D., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Head, Juanita F., Instructor in the Community College System, 7/1/83 through 6/30/84.

Heard, Viola K., Instructor in the Community College System, 7/1/83 through 6/30/84.

Hillenbrand, Catherine, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Hoffman, Anne S., Instructor in the Community College System, 7/1/83 through 6/30/84.

Hoover, Nancy, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

James, Alice, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Kelly, Alan L., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Lake, Sara B., Instructor in the Community College System, 7/1/83 through 6/30/84.

Mason, Barbara, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Matthias, Jeffrey C., Librarian III in the Community College System, 7/1/83 through 6/30/84.

Maxwell, Orvell A., Instructor in the Community College System, 7/1/83 through 6/30/84.

McCombs, Sara M., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Misbach, Michael, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Mordzak, Lucjan, Instructor in the Community College System, 7/1/83 through 6/30/84.

Parker, Carol C., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Qualls, Barbara, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Reed, Carl W., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Schiff, Elaine, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Schneider, Lisa, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Sherrard, James A., Instructor in the Community College System, 7/1/83 through 6/30/84.

Simmons, Sandra, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Stewart, Richard A., Instructor in the Community College System, 7/1/83 through 6/30/84.

Theobald, Susan, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Vuturo, Margo C., Instructor in the Community College System, 7/1/83 through 6/30/84.

Wilkey, Billy G., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Witherspoon, David L., Instructor in the Community  
College System, 7/1/83 through 6/30/84.  
Wright, Margie, Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.

Lexington Technical Institute

Alexander, Otmer, Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.  
Beam, Carolyn, Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.  
Beatty, Eunice A., Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.  
Bellack, Daniel R., Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.  
Chappell, Hazel, Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.  
Dameron, Arthur A., Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.  
Edgington, Cindy R., Librarian IV in the Community  
College System, 7/1/83 through 6/30/84.  
Foster, Chester, Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.  
Hisel, Gary F., Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.  
Hobbs, Rebecca T., Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.  
Jackson, Jacquelyn L., Instructor in the Community  
College System, 7/1/83 through 6/30/84.  
Kaiser, David, Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.  
Kavanaugh, Susan C., Instructor in the Community  
College System, 7/1/83 through 6/30/84.  
Kelly, Debra H., Instructor in the Community College  
System, 7/1/83 through 6/30/84.  
Kightlinger, Janet M., Assistant Professor in the  
Community College System, 7/1/83 through 6/30/84.  
Maggard, Robert, Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.  
Maggard, Sarah H., Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.  
McGee, Linda, Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.  
McLaughlin, Mary J., Assistant Professor in the  
Community College System, 7/1/83 through  
6/30/84.  
Phillips, James W., Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.  
Reese, Terry A., Instructor in the Community College  
System, 7/1/83 through 6/30/84.

Reynolds, Rebekah Sue, Instructor in the Community College System, 7/1/83 through 6/30/84.  
Robertson, Marshall, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Saunier, Margaret E., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Scorsone, Analý, Instructor in the Community College System, 7/1/83 through 6/30/84.  
Sechrist, Scott R., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Slone, L. LaVerne Roth, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Smith, Gladys F., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Thurn, Steven F., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Turner, Beryl E., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Wallace, Judy, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Weeks, Betty, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
White, Barbara M., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Wilson, Davis, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Madisonville Community College

Burkhart, Charles H., Librarian IV in the Community College System, 7/1/83 through 6/30/84.  
Cox, Deborah M., Instructor in the Community College System, 7/1/83 through 8/31/83.  
Finkbiner, Charles H., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Johnson, Margaret A., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Lee, Charlotte J., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Lewis, Stanley E., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Neal, Judith A., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Oglesby, Sarah A., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Shubiak, Bonnie J., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Smith, Lorretta B., Librarian III in the Community College System, 7/1/83 through 6/30/84.

Tabor, Rebecca G., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Vail, James A., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Walker, Donald C., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Wortham, Toni C., Instructor in the Community College System, 7/1/83 through 6/30/84.

Maysville Community College

Baum, Christina, Librarian III in the Community College System, 7/1/83 through 6/30/84.  
Duffy, Michael R., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Easton, Kathy J., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Kilgore, Tara C., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Koehler, Michael W., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Ludwick-Huffaker, Kathryn, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Sharp, Mary Jane, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Story, Lloyd E., Jr., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Webb, Patricia J., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Paducah Community College

Anderson, Jerry, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Anderson, Sherry, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Bradshaw, Kenneth, Librarian IV in the Community College System, 7/1/83 through 6/30/84.  
Crisp, Jayne C., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Irby, Betsy C., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Jones, Florence, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Kepner, Mary K., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Parker, Charles, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Shy, Robert C., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Thompson, Ann, Instructor in the Community College System, 7/1/83 through 6/30/84.  
Treece, Janet L., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Webb, Jill, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Prestonsburg Community College

Archer, Vicky C., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Cantrell, Etta L., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Fish, Celia, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Gray, Mary E., Instructor in the Community College System, 7/1/83 through 6/30/84.  
Little, Glennis S., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Matijasic, Thomas D., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Somerset Community College

Boggs, Bob R., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Brumback, Michael, Instructor in the Community College System (voluntary), 6/1/83 through 5/31/84.  
Dalton, Vickie, Instructor in the Community College System (voluntary), 6/1/83 through 5/31/84.  
Eaton, Kenny, Instructor in the Community College System (voluntary), 6/1/83 through 5/31/84.  
Farmer, Melissa, Instructor in the Community College System (voluntary), 6/1/83 through 5/31/84.  
Froedge, Mark, Instructor in the Community College System (voluntary), 6/1/83 through 5/31/84.  
Galloway, Debbie, Instructor in the Community College System (voluntary), 6/1/83 through 5/31/84.  
Leis, Charlotte, Instructor in the Community College System (voluntary), 6/1/83 through 5/31/84.  
Powell, Nancy, Instructor in the Community College System (voluntary), 6/1/83 through 5/31/84.  
Rogers, Deborah L., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Rogers, Sharon K., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Singleton, Debbie, Instructor in the Community College System (voluntary), 6/1/83 through 5/31/84.



Weaver, Bobby, Instructor in the Community College System (voluntary), 6/1/83 through 5/31/84.  
Whitehead, Sharon F., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Wilson, Paul, Instructor in the Community College System (voluntary), 6/1/83 through 5/31/84.  
Winchester, Lula, Instructor in the Community College System (voluntary), 6/1/83 through 5/31/84.  
Wright, Bob E., Jr., Instructor in the Community College System (voluntary), 6/1/83 through 5/31/84.

Southeast Community College

Belcher, Delzinna, Instructor in the Community College System, 7/1/83 through 9/30/83.  
Blair, James P., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Boggs, Steven Parker, Librarian III in the Community College System, 7/1/83 through 6/30/84.  
Bowman, Alexa, Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Gilliam, Terry, Instructor in the Community College System, 7/1/83 through 6/30/84.  
Hogue, Scott, Instructor in the Community College System, 7/1/83 through 6/30/84.  
Jestes, Marilyn G., Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Lewis, Tessella, Instructor in the Community College System, 7/1/83 through 6/30/84.

D. PROMOTIONS WITHOUT TENURE

Elizabethtown Community College

Earles, Sandra Marie, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Howard, Linda G., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Hazard Community College

Curran, Alice E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Henderson Community College

Barber, Sandra P., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Douglas, Jeffrey A., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/83 through 6/30/84.

Payne, Elizabeth T., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Perlow, Carol F., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Tweddell, Debra C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Jefferson Community College

Borie, Tammy G., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
France, Annalisa L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Himmelheber, Byron R., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Hotchkiss, Doreen, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Malone, Mary E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Noe, Phyllis L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Rosebud, Mary Catherine, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Sivert, Beverly B., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
West, James E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Lexington Technical Institute

Oliver, Randy L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Madisonville Community College

Durocher-Jones, Jane E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Hansrote, Joan E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Oliver, Linda K., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 9/30/83.  
Schuermer, David A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Maysville Community College

Plank, Debra W., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Paducah Community College

DiSilvestro, Richard, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Downs, Donna, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.  
Foust, Janice, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 9/30/83.  
Knoth, Marilyn, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Somerset Community College

Blevins, Jo, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

Southeast Community College

Buell, Elijah, Jr., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/83 through 6/30/84.

E. TERMINAL REAPPOINTMENTS

Hopkinsville Community College

Vick, David O., Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.

Lexington Technical Institute

Smith, Michael L., Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.

Paducah Community College

Krupanski, John, Assistant Professor in the Community  
College System, 7/1/83 through 6/30/84.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Timoney, Peter J., Associate Professor (with tenure), Veterinary Science, effective 6/1/83.

Wagner, George J., Associate Professor (without tenure), Agronomy, 3/1/83 through 6/30/84.

College of Arts and Sciences

Allen, John J., Professor (with tenure), Spanish and Italian, effective 7/1/83.

Ogawa, Ai, Visiting Professor, English, 1/1/84 through 5/31/84.

Wright, Jay, Visiting Professor, English, 8/16/83 through 12/31/83.

College of Business and Economics

Tearney, Michael G., Professor (with tenure), Accounting, effective 7/1/83.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Fiedler, Theodore, Associate Professor (with tenure), German, appointed Chairman, German, 7/1/83 through 6/30/87.

College of Business and Economics

Tearney, Michael G., Professor (with tenure), Accounting, appointed Chairman, Accounting, effective 7/1/83.

College of Education

Barnard, Harry V., Professor (with tenure), Curriculum and Instruction, and Associate Dean for Teacher Education and Certification, reappointed Associate Dean for Teacher Education and Certification, effective 7/1/83.

Faber, Charles, Professor (with tenure), Administration and Supervision, and Chairman, Administration and Supervision, reappointed Chairman, Administration and Supervision, 8/1/83 through 7/31/87.

LaBrecque, Richard T., Associate Professor (with tenure), Social and Philosophical Studies, and Acting Chairman, Social and Philosophical Studies, appointed Chairman, Social and Philosophical Studies, 7/1/83 through 6/30/87.

College of Engineering

Cremers, Clifford, Professor (with tenure), Mechanical Engineering, and Chairman, Mechanical Engineering, reappointed Chairman, Mechanical Engineering, 7/1/83 through 6/30/84.

College of Home Economics

Chen, Linda L., Professor (with tenure), Nutrition and Food Science, appointed Chairman, Nutrition and Food Science, 7/1/83 through 6/30/87.

C. JOINT APPOINTMENTS

College of Arts and Sciences

Smith, Stanford L., Associate Professor (with tenure), Chemistry, College of Arts and Sciences, joint appointment as Associate Professor (without tenure), Diagnostic Radiology, College of Medicine, 4/1/83 through 6/30/84.

Graduate School

Berger, Philip K., Associate Professor (with tenure), James W. Martin Graduate Center for Public Administration, Graduate School, joint appointment as Associate Professor (without tenure), Management, College of Business and Economics, 7/1/83 through 6/30/84.

D. REAPPOINTMENTS

College of Architecture

Coleman, Caruthers A., Professor (part-time), 7/1/83 through 6/30/84.

College of Communications

Schulte, Theodore, E., Associate Professor\* (without tenure), Journalism, 7/1/83 through 6/30/84.

College of Engineering

Carter, Joseph C., Visiting Associate Professor (part-time), Mechanical Engineering, 7/1/83 through 6/30/84.

E. TENURE

College of Agriculture

Burris, Walter Roy, from Associate Extension Professor\* (without tenure), Animal Sciences, to Associate Extension Professor\* (with tenure), Animal Sciences, effective 7/1/83.

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\*Special Title Series

F. PROMOTIONS

College of Agriculture

- Allen, George P., from Assistant Professor (without tenure), Veterinary Science, to Associate Professor (with tenure), Veterinary Science, effective 7/1/83.
- Beck, Robert Lee, from Associate Professor (with tenure), Agricultural Economics, to Professor (with tenure), Agricultural Economics, effective 7/1/83.
- Brown, Grayson C., from Assistant Professor (without tenure), Entomology, to Associate Professor (with tenure), Entomology, effective 7/1/83.
- Hilliker, Jo Ann, from Assistant Extension Professor\* (without tenure), Home Economics Extension, to Associate Extension Professor\* (with tenure), Home Economics Extension, effective 7/1/83.
- Maurer, Richard C., from Assistant Extension Professor\* (without tenure), Sociology, to Associate Extension Professor\* (with tenure), Sociology, effective 7/1/83.
- Meyer, A. Lee, from Assistant Extension Professor\* (without tenure), Agricultural Economics, to Associate Extension Professor\* (with tenure), Agricultural Economics, effective 7/1/83.
- Muller, Robert N., from Assistant Professor (without tenure), Forestry, to Associate Professor (with tenure), Forestry, effective 7/1/83.
- Nordin, Gerald L., from Associate Professor (with tenure), Entomology, to Professor (with tenure), Entomology, effective 7/1/83.
- Perry, Charles S., from Assistant Professor (without tenure), Sociology, to Associate Professor (with tenure), Sociology, effective 7/1/83.
- Poneleit, Charles G., from Associate Professor (with tenure), Agronomy, to Professor (with tenure), Agronomy, effective 7/1/83.
- Rasnake, Monroe, from Assistant Extension Professor\* (without tenure), Agronomy, to Associate Extension Professor\* (with tenure), Agronomy, effective 7/1/83.
- Reed, Michael R., from Assistant Professor (without tenure), Agricultural Economics, to Associate Professor (with tenure), Agricultural Economics, effective 7/1/83.
- Schach, Horst, from Associate Professor (with tenure), Horticulture and Landscape Architecture, to Professor (with tenure), Horticulture and Landscape Architecture, effective 7/1/83.
- Smith, M. Scott, from Assistant Professor (without tenure), Agronomy, to Associate Professor (with tenure), Agronomy, effective 7/1/83.

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\*Special Title Series

Tekrony, Dennis, from Associate Professor (with tenure), Agronomy, to Professor (with tenure), Agronomy, effective 7/1/83.  
Townsend, Lee H., from Assistant Extension Professor\* (without tenure), Entomology, to Associate Extension Professor\*, (with tenure), Entomology, effective 7/1/83.

#### College of Arts and Sciences

Brennan, James E., from Associate Professor (with tenure), Mathematics, to Professor (with tenure), Mathematics, effective 7/1/83.  
Bruzina, Ronald, from Associate Professor (with tenure), Philosophy, to Professor (with tenure), Philosophy, effective 7/1/83.  
Holler, Floyd James, from Assistant Professor (without tenure), Chemistry, to Associate Professor (with tenure), Chemistry, effective 7/1/83.  
Lewis, Forbes D., from Associate Professor (with tenure), Computer Science, to Professor (with tenure), Computer Science, effective 7/1/83.  
Tickamyer, Ann R., from Assistant Professor (without tenure), Sociology, to Associate Professor (with tenure), Sociology, effective 7/1/83.

#### College of Communications

Applegate, James, from Assistant Professor (without tenure), Communication, to Associate Professor (with tenure), Communication, effective 7/1/83.

#### College of Education

Kearney, Jay T., from Associate Professor (with tenure), Health, Physical Education and Recreation, to Professor (with tenure), Health, Physical Education and Recreation, effective 7/1/83.

#### College of Engineering

Jones, Stanley, from Associate Professor (with tenure), Engineering Mechanics, to Professor (with tenure), Engineering Mechanics, effective 7/1/83.  
Wang, Shien T., from Associate Professor (with tenure), Civil Engineering, to Professor (with tenure), Civil Engineering, effective 7/1/83.

#### College of Home Economics

Weeks, M. O'Neal, from Associate Professor (with tenure), Family Studies, to Professor (with tenure), Family Studies, effective 7/1/83.

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\*Special Title Series



G. CHANGES

College of Arts and Sciences

Madden, Edward H., from Visiting Instructor (part-time), Philosophy, to Adjunct Professor, Philosophy, 7/1/83 through 6/30/85.

H. CORRECTIONS IN MINUTES

College of Arts and Sciences

Hubbard, Michael, Assistant Professor, Psychology, 7/1/83 through 6/30/84. Was incorrectly listed under Reappointments in May 3, 1983 Minutes. Should have been listed under Terminal Reappointments.

Libraries

Gaither, Lina B., Library Technician IX, Biological Sciences. Retirement date was incorrectly reported in April 5, 1983 Minutes as 6/30/83. Should have been 2/8/83.

I. RETIREMENTS

Admissions and Registrar

Phillips, Marjorie E., Staff Assistant VI, after 19 consecutive years of service, effective 8/31/83.

College of Agriculture

Jeter, Sue M., Account Clerk IV, Business Affairs, after 20.5 consecutive years of service, effective 9/1/83.

College of Arts and Sciences

Roberts, Thomas G., Associate Professor (with tenure), Geology, after 27.5 consecutive years of service, effective 8/31/83.

College of Fine Arts

Mitchell, Josephine W., Administrative Assistant II, Dean's Office, after 44 consecutive years of service, effective 6/30/83.

Libraries

Harleston, Rebekah M., Librarian II (with tenure), Government Publications, after 25 consecutive years of service, effective 6/30/83.

Vice Chancellor for Administration

Cobb, Vernon A., Plant Operator, Heating and Cooling, after 16.5 consecutive years of service, effective 9/1/83.  
Garr, Clarence, Custodial Worker IV, Services, after 16.5 consecutive years of service, effective 9/1/83.  
Lewis, Albert, Food Services Worker I, Auxiliary Services, after 15 consecutive years of service, effective 8/31/83.  
Overstreet, Norman E., Disabled, Business Services, after 19 consecutive years of service, effective 4/30/83.  
Shelby, Henry A., Cement Mason First Class, Carpentry, after 36.5 consecutive years of service, effective 1/1/83.

Vice Chancellor for Student Affairs

Benton, Moulton, Custodial Worker, Student Center, after 15 consecutive years of service, effective 7/31/83.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Barrett, Michael, Assistant Professor, Agronomy, 5/1/83 through 6/30/84.

College of Arts and Sciences

Ammar, Gregory S., Assistant Professor, Mathematics, 8/16/83 through 5/31/84.  
Goshtasby, Ardeshir, Assistant Professor, Computer Science, 8/16/83 through 6/30/85.  
Hurt, Edly C., Instructor, Computer Science, 8/16/83 through 6/30/84.  
Kovash, Michael A., Assistant Professor, Physics and Astronomy, 8/16/83 through 6/30/85.  
Pyle, Lizbeth Ann, Assistant Professor, Geography, 8/16/83 through 6/30/85.  
Roney, James N., Assistant Professor, Slavic and Oriental Languages, 8/16/83 through 5/31/84.  
Russell, Robert L., Assistant Professor, Psychology, 8/16/83 through 6/30/84.

College of Business and Economics

Baye, Michael R., Instructor, Economics, 8/16/83 through 6/30/84.  
Broin, Martin W., Instructor, Management, 8/16/83 through 6/30/84.

Flinn, Ronald E., Instructor, Accounting, 8/16/83 through 6/30/84.  
Maurer, Steven D., Instructor, Management, 8/16/83 through 6/30/84.  
McGlone, Teresa Ann, Instructor, Marketing, 1/1/84 through 6/30/84.  
McGlone, Vernon, Instructor, Finance, 8/16/83 through 6/30/84.  
Mohseni, Navid, Instructor (part-time), Economics, 8/16/83 through 5/15/84.  
Saidibaghgandomi, Gholamreza, Instructor (part-time), Economics, 8/16/83 through 5/15/84.

College of Engineering

Andersen, Anders H., Assistant Professor, Electrical Engineering, 8/15/83 through 5/15/84.  
Dickinson, Barlett G., Visiting Instructor (part-time), Engineering Mechanics, 6/9/83 through 8/8/83.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Kratz, Bernd, Professor (with tenure), German, appointed Acting Chairman, German, 7/1/83 through 6/30/84.  
McEllistrem, Marcus, Professor (with tenure), Physics and Astronomy, appointed Acting Chairman, Physics and Astronomy, 7/1/83 through 12/31/83.

College of Education

Lacefield, Warren, Assistant Professor, Higher Education, and Director, Office of Educational Research and Development, reappointed Director, Office of Educational Research and Development.  
Stilwell, William, Professor (with tenure), Educational and Counseling Psychology, and Acting Associate Dean for Graduate Studies, reappointed Acting Associate Dean for Graduate Studies, effective 7/1/83.

C. REAPPOINTMENTS

College of Agriculture

Archbold, Couglas, Assistant Professor, Horticulture and Landscape Architecture, 7/1/83 through 6/30/84.  
Cervelli, Janice, Assistant Professor, Horticulture and Landscape Architecture, 7/1/83 through 6/30/84.  
Dunwell, Winston C., Assistant Extension Professor\*, Horticulture and Landscape Architecture, 7/1/83 through 6/30/84.

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\*Special Title Series

English, Jean, Assistant Extension Professor\*, Horticulture and Landscape Architecture, 7/1/83 through 6/30/84.  
Fetsch, Robert, Assistant Extension Professor\*, Home Economics Extension, 7/1/83 through 6/30/84.  
Flashman, Robert H., Assistant Extension Professor\*, Home Economics Extension, 7/1/83 through 6/30/84.  
Forester, Janice D., Assistant Extension Professor\*, Home Economics Extension, 7/1/83 through 6/30/84.  
Fountain, William M., Assistant Extension Professor\*, Horticulture and Landscape Architecture, 7/1/83 through 6/30/84.  
Medlen, Linda S., Assistant Extension Professor\*, Home Economics Extension, 7/1/83 through 6/30/84.  
Nall, Martha A., Assistant Extension Professor\*, Home Economics Extension, 7/1/83 through 6/30/84.  
Smith, Patty R., Assistant Extension Professor\*, Home Economics Extension, 7/1/83 through 6/30/84.  
Snyder, John C., Assistant Professor, Horticulture and Landscape Architecture, 7/1/83 through 6/30/84.  
Southerland, Robert, Assistant Professor, Horticulture and Landscape Architecture, 7/1/83 through 6/30/84.

College of Architecture

Chadwick, John C., Assistant Professor, 7/1/83 through 6/30/85.  
Smyth, Julia M., Assistant Professor, 7/1/83 through 6/30/85.  
West, Mark W., Assistant Professor, 7/1/83 through 6/30/85.

College of Arts and Sciences

Blee, Kathleen M., Assistant Professor, Sociology, 7/1/83 through 6/30/85.  
Blustain, Harvey S., Assistant Professor, Anthropology, 7/1/83 through 6/30/84.  
Burkhardt, Robert J., Assistant Professor, Philosophy, 7/1/83 through 12/31/84.  
Hanson, Barbara, Assistant Professor, Biological Sciences, 7/1/83 through 6/30/84.  
Iwaya, Makoto, Assistant Professor, Biological Sciences, 7/1/83 through 6/30/84.  
Knight, Kathleen, Assistant Professor, Political Science, 7/1/83 through 6/30/85.  
Leary, James P., Assistant Professor, English, 7/1/83 through 6/30/84.  
Levine, Judith, Instructor (part-time), English, 8/16/83 through 5/31/84.  
McKnight, Phillip S., Assistant Professor, German, 7/1/83 through 6/30/84.  
Morgan, Janet L., Assistant Professor, Biological Sciences, 7/1/83 through 6/30/84.

Osgood, Christopher, Assistant Professor, Biological Sciences,  
7/1/83 through 6/30/84.  
Sathaye, Shashi, Instructor, Computer Science, 7/1/83 through  
6/30/84.  
Thomas, Harvey F., Assistant Professor, Biological Sciences,  
7/1/83 through 6/30/84.  
Weisenburger, Steven C., Assistant Professor, English, 7/1/83  
through 6/30/84.  
Williams, Arthur L., Assistant Professor, Biological Sciences,  
7/1/83 through 6/30/84.

College of Business and Economics

Chen, Ching-Wen, Assistant Professor, Accounting, 7/1/83 through  
6/30/84.  
Fulks, Daniel L., Assistant Professor, Accounting, 7/1/83  
through 6/30/84.  
Gershenfeld, Amy Z., Assistant Professor, Management, 7/1/83  
through 6/30/84.  
Gray, Yvonne L., Instructor (part-time), Accounting, 8/16/83  
through 5/15/84.  
Henke, Lucy L., Assistant Professor, Marketing, 7/1/83 through  
6/30/84.  
Marino, Kenneth E., Assistant Professor, Management, 7/1/83  
through 6/30/84.  
Nunley, Terry J., Assistant Professor, Accounting, 7/1/83  
through 6/30/84.  
Shaw, Karyll, Instructor, Management, 7/1/83 through 6/30/84.  
Stambaugh, Clyde T., Assistant Professor, Accounting, 7/1/83-  
6/30/84.  
Webb, Michael A., Assistant Professor, Economics, 7/1/83 through  
6/30/84.

College of Communications

Bryant, Mark T., Assistant Professor, Telecommunications, 7/1/83  
through 6/30/84.  
Clark, Maria B., Assistant Professor\*, Journalism, 7/1/83 through  
6/30/84.  
Malott, Glen A., Assistant Professor\*, Journalism, 7/1/83 through  
6/30/84.  
Ray, Eileen B., Assistant Professor, Communication, 7/1/83 through  
6/30/84.  
Sypher, Beverly D., Assistant Professor, Communication, 7/1/83  
through 6/30/84.  
Sypher, Howard E., Assistant Professor, Communication, 7/1/83  
through 6/30/84.

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\*Special Title Series

College of Education

Dye, Griffith, Assistant Adjunct Professor, Educational and Counseling Psychology, 7/1/83 through 6/30/85.  
Fields, Teesue, Assistant Adjunct Professor, Educational and Counseling Psychology, 7/1/83 through 6/30/85.  
Hesseldenz, Jon, Assistant Adjunct Professor, Higher Education, 7/1/83 through 6/30/85.  
Lipton, Leonard, Assistant Adjunct Professor, Educational and Counseling Psychology, 7/1/83 through 6/30/85.  
Lunney, Gerald, Assistant Adjunct Professor, Higher Education, 7/1/83 through 6/30/85.  
Nichols, Michael, Assistant Adjunct Professor, Educational and Counseling Psychology, 7/1/83 through 6/30/85.  
Riggs, Richard, Assistant Professor, Health, Physical Education and Recreation, 7/1/83 through 6/30/84.  
Stockham, David, Assistant Adjunct Professor, Higher Education, 7/1/83 through 6/30/85.  
Wispe, Claudette, Assistant Professor\*, Health, Physical Education and Recreation, 7/1/83 through 6/30/84.

College of Engineering

Tao, Deh C., Lecturer (part-time), Mechanical Engineering, 8/16/83 through 5/15/84.

College of Fine Arts

Blair, Rhonda, Assistant Professor, Theatre, 7/1/83 through 6/30/84.  
Covington, Kate, Assistant Professor, Music, 7/1/83 through 6/30/84.  
Gray, Harold R., Jr., Assistant Professor\*, Music, 7/1/83 through 6/30/84.  
Kennedy, Margaret, Assistant Professor\*, Music, 7/1/83 through 6/30/84.  
McIntosh, Suzanne, Assistant Professor\*, Music, 7/1/83 through 6/30/84.  
Miller, Mina F., Assistant Professor, Music, 7/1/83 through 6/30/84.  
Ney, Richard L., Assistant Professor\*, Theatre, 7/1/83 through 6/30/84.  
Robinson, Schuyler, Assistant Professor\*, Music, 7/1/83 through 6/30/84.  
Schraeder, Thomas H., Assistant Professor\*, Theatre, 7/1/83 through 6/30/84.  
Simpson, Peter C., Assistant Professor\*, Music, 7/1/83 through 6/30/84.  
Young, Bernard, Assistant Professor, Art and Assistant Professor, Curriculum and Instruction, College of Education, 7/1/83 through 6/30/84

College of Home Economics

Edmondson, Mary Ellen, Assistant Professor, Family Studies,  
7/1/83 through 6/30/84.  
Ketch, Karen, Assistant Professor\*, Human Environment: Design  
and Textiles, 7/1/83 through 6/30/84.  
Noble, Janet, Assistant Professor, Nutrition and Food Science,  
7/1/83 through 6/30/84.  
Pasley, Beatrice Kay, Assistant Professor, Family Studies,  
7/1/83 through 6/30/84.  
Perch, Karen L., Assistant Professor, Family Studies, 7/1/83  
through 6/30/84.  
Snadon, Patrick, Assistant Professor, Human Environment: Design,  
7/1/83 through 6/30/84.  
Whiteside, Ann, Assistant Professor, Human Environment: Design,  
7/1/83 through 6/30/84.

Libraries

Levstik, Frank R., Librarian IV, Director's Office, 7/1/83  
through 12/31/83.

Undergraduate Studies

Force, James E., Assistant Professor, Honors, 7/1/83 through  
6/30/84.

D. PROMOTIONS WITHOUT TENURE

College of Business and Economics

Black, Dan A., from Instructor, Economics, to Assistant Professor,  
Economics, 8/16/83 through 6/30/84.  
Dollinger, Marc J., from Instructor, Management, to Assistant  
Professor, Management, 7/1/83 through 6/30/84.

College of Fine Arts

Burson, Linda, from Instructor, Theatre, to Assistant Professor,  
Theatre, 3/18/83 through 6/30/83.

College of Home Economics

Sayers, Sandra, from Instructor\*, Nutrition and Food Science, to  
Assistant Professor\*, Nutrition and Food Science, 7/1/83  
through 6/30/84.  
Staley, Jimmie R., from Instructor, Family Studies, to Assistant  
Professor, Family Studies, 7/1/83 through 6/30/84.

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\*Special Title Series

E. CHANGES

College of Architecture

Sanders, Joel A., from Visiting Associate Professor (part-time), to Visiting Associate Professor (full-time), 6/1/83 through 7/31/83.

College of Education

Lacefield, Warren, from Assistant Professor, Educational and Counseling Psychology, to Assistant Professor, Higher Education, 7/1/83 through 6/30/85.

F. TERMINAL REAPPOINTMENTS

College of Arts and Sciences

Cohen, Marcus S., Assistant Professor, Mathematics, 7/1/83 through 6/30/84.

Murphy, Patricia E., Instructor, Computer Science, 7/1/83 through 12/31/83.

Tausend, Sandra, Assistant Professor, Sociology, and Assistant Professor, Behavioral Science, College of Medicine, 7/1/83 through 6/30/84.

College of Business and Economics

Hotelling, Harold, Assistant Professor, Management, 7/1/83 through 6/30/84.

Undergraduate Studies

Sallee, Jonel C., Assistant Professor, Honors, 7/1/83 through 6/30/84.

G. LEAVES OF ABSENCE

College of Agriculture

Wells, Larry G., Associate Professor (with tenure), Agricultural Engineering, Sabbatical leave with full salary, to change dates from 6/1/83 through 11/1/83 to 7/1/83 through 11/1/83.

College of Architecture

Kennedy, Michael D., Associate Professor (with tenure), Leave without pay, 7/1/83 through 12/31/83.



College of Arts and Sciences

Bonner, Philip H., Associate Professor (with tenure), Biological Sciences, Sabbatical leave with full salary, 7/1/83 through 12/31/83.  
Govindarajulu, Z., Professor (with tenure), Statistics, Sabbatical leave with half salary, 7/1/83 through 6/30/84.  
High, Dallas M., Professor (with tenure), Philosophy, Sabbatical leave with full salary, 1/1/84 through 6/30/84.  
Molzon, Robert E., Associate Professor (with tenure), Mathematics, Leave without pay, 7/1/83 through 6/30/84.  
Wets, Roger, Professor (with tenure), Mathematics, Leave without pay, 1/1/84 through 6/30/84.

College of Business and Economics

Lang, James R., Associate Professor (with tenure), Management, Sabbatical leave with full salary, 7/1/83 through 12/31/83.  
McMillin, William D., Assistant Professor (without tenure), Economics, Leave without pay, 7/1/83 through 6/30/84.  
Shibata, Hirofumi, Professor (with tenure), Economics, Leave without pay, 7/1/83 through 12/31/83.

College of Education

Denemark, George, Professor (with tenure), Curriculum and Instruction, Leave without pay, 7/1/83 through 6/30/84.  
Kearney, Jay T., Associate Professor (with tenure), Health, Physical Education and Recreation, Sabbatical leave with full salary, 1/1/84 through 6/30/84.  
Ogletree, James R., Professor (with tenure), Administration and Supervision, Sabbatical leave with full salary, 1/1/84 through 6/30/84.

College of Engineering

Birkebak, Richard C., Professor (with tenure), Mechanical Engineering, Leave without pay, 7/1/83 through 6/30/84.  
Bradley, Eugene B., Professor (with tenure), Electrical Engineering, Sabbatical leave with full salary, 7/1/83 through 12/31/83.

Graduate School

Berger, Philip K., Associate Professor (with tenure), James W. Martin Graduate Center for Public Administration, and Associate Professor (without tenure), Management, College of Business and Economics, Sabbatical leave with full salary, 1/1/84 through 6/30/84.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Yedidia, Michael J., Assistant Professor\*, Community Health, 8/1/83 through 6/30/84.

College of Medicine

Antonow, David R., Instructor, Medicine, 7/1/83 through 6/30/84.

Bard, James W., Associate Clinical Professor (voluntary), Medicine, 6/1/83 through 6/30/85.

Carr, Wesley Allen, Instructor, Neurology, 7/1/83 through 6/30/84.

Dickson, Larry G., Professor\* (with tenure), effective 7/1/83.

Dillard, Robert P., Associate Professor\*, Pediatrics 7/1/83 through 6/30/84.

Dillon, Kathryn P., Assistant Professor, Obstetrics & Gynecology, 7/1/83 through 6/30/84.

Marshall, Manly Ernest, Instructor, Medicine, 7/1/83 through 6/30/84.

Miller, Thomas W., Associate Professor, Psychiatry, 4/1/83 through 6/30/84.

Wiley, Ronald L., Visiting Professor, Physiology & Biophysics, 6/1/83 through 8/15/83.

Woodward, Jerold G., Assistant Professor, Medical Microbiology & Immunology, 6/1/83 through 6/30/84.

B. ADMINISTRATIVE APPOINTMENTS

Office of the Chancellor

Heller, Leonard E., Associate Professor\* (with tenure), Behavioral Science, and Assistant Dean for Educational Development, College of Medicine, named Vice Chancellor for Academic Affairs, effective 7/1/83.

C. REAPPOINTMENTS

College of Dentistry

Daugherty, Joe W., Associate Professor (part-time), Oral & Maxillofacial Surgery, 7/1/83 through 6/30/84.

Knight, Judson M., Associate Professor (part-time), Orthodontics, 7/1/83 through 6/30/84.

Trinler, Daniel R., Associate Professor\* (part-time), Periodontics, 7/1/83 through 6/30/84.

College of Medicine

Brooks, William H., Associate Clinical Professor (part-time),  
Surgery, 7/1/83 through 6/30/84.  
Garden, John W., Associate Clinical Professor (part-time),  
Ophthalmology, 7/1/83 through 6/30/84.  
Holland, Phillip, Clinical Professor (part-time),  
Pediatrics, 7/1/83 through 6/30/84.  
Kielar, Richard M., Associate Clinical Professor (part-  
time), Ophthalmology, 7/1/83 through 6/30/84.  
Leichter, Steven B., Associate Clinical Professor (part-  
time), Medicine, 7/1/83 through 6/30/84.  
Macfarlane, Noble T., Associate Clinical Professor (part-  
time), Pediatrics, joint as Associate Clinical  
Professor (part-time), Rehabilitation Medicine,  
7/1/83 through 6/30/84.  
Mendiondo, Oscar A., Associate Clinical Professor (part-  
time), Radiation Medicine, 7/1/83 through 6/30/84.  
Mersack, Ira P., Associate Clinical Professor (part-  
time), Medicine, 7/1/83 through 6/30/84.  
Roach, Benjamin F., Clinical Professor (part-time),  
Family Practice, 7/1/83 through 6/30/84.  
Roeckel, Irene J., Clinical Professor (part-time),  
Pathology, 7/1/83 through 6/30/84.

College of Pharmacy

Bardell, Eunice B., Adjunct Professor (voluntary),  
7/1/83 through 6/30/84.  
Hannan, Mohammed A., Associate Adjunct Professor (voluntary),  
7/1/83 through 6/30/84.  
Levine, Seymour D., Adjunct Professor (voluntary), 7/1/83  
through 6/30/84.  
Marcus, Arnold D., Adjunct Professor (voluntary), 7/1/83  
through 6/30/84.  
Schneller, George H., Adjunct Professor (voluntary), 7/1/83  
through 6/30/84.  
Shirkey, Harry C., Adjunct Professor (voluntary), 7/1/83  
through 6/30/84.  
Tilbury, Roy S., Adjunct Professor (voluntary), 7/1/83  
through 6/30/84.  
Tingstad, James E., Adjunct Professor (voluntary), 7/1/83  
through 6/30/84.

D. TENURE

College of Allied Health Professions

Pisaneschi, Janet I., Associate Professor\* (with tenure),  
Allied Health Education & Research, effective 7/1/83.

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\*Special Title Series

E. PROMOTIONS

College of Allied Health Professions

Combs, Glen E., from Assistant Professor\* (without tenure), Allied Health Education & Research, to Associate Professor\* (with tenure), Allied Health Education & Research, effective 7/1/83.

College of Dentistry

Lutz, Bernard L., from Assistant Professor (part-time), Restorative Dentistry, joint as Assistant Professor (part-time), Endodontics, to Associate Professor (part-time), Restorative Dentistry, joint as Associate Professor (part-time), Endodontics, 7/1/83 through 6/30/84.

College of Medicine

Randall, Jo Anne M., from Assistant Clinical Professor (part-time), Psychiatry, to Associate Clinical Professor (part-time), Psychiatry, 7/1/83 through 6/30/84.

College of Pharmacy

Cone, Edward J., from Assistant Adjunct Professor (voluntary), to Associate Adjunct Professor (voluntary), 7/1/83 through 6/30/86.

Lubawy, William C., from Associate Professor (with tenure), to Professor, remains Associate Professor, Graduate Center for Toxicology, effective 7/1/83.

Piecoro, John J. Jr., from Associate Professor\* (with tenure), joint as Assistant Professor\*, Pediatrics, College of Medicine, to Professor\* (with tenure), joint as Assistant Professor\*, Pediatrics, College of Medicine, effective 7/1/83.

F. CORRECTION IN MINUTES

College of Medicine

Chin, Hong W., from Assistant Professor\*, Radiation Medicine, to Assistant Professor, Radiation Medicine, 4/1/83 through 6/30/84. Note: To remove asterisk (which denotes STS) after "to Assistant Professor" as reported in the May 3, 1983 Minutes.

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\*Special Title Series

G. RETIREMENTS

Office of the Chancellor

Bost, Howard L., Special Assistant to the Chancellor and Professor, Preventive Medicine & Environmental Health, College of Medicine, after 27 consecutive years of service, effective June 30, 1983.

University Hospital

Westfall, Virginia M., Medical Records Clerk VI, Medical Records, after 16 consecutive years of service, effective 8/1/83.

H. EARLY RETIREMENTS

Support Units

Baker, Floyd R., Electrician, Physical Plant Division, after 17.5 consecutive years of service, effective 8/1/83.

Manning, Lyle B., Electrician, Physical Plant Division, after 22.5 consecutive years of service, effective 7/1/83.

University Hospital

Watson, Alberta, Staff Technologist II, Pathology (Central Clinical Laboratory), after 19 consecutive years of service, effective 7/15/83.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Bigger, Robert E., Clinical Instructor (voluntary), Allied Health Education & Research, 5/9/83 through 6/30/86.

Brown, Clara W.(Jill), Clinical Instructor (voluntary), Allied Health Education & Research, 5/9/83 through 6/30/86.

Brown, Sister Miriam, Clinical Instructor (voluntary), Allied Health Education & Research, 5/9/83 through 6/30/85.

Campbell, Linda S., Clinical Instructor (voluntary), Allied Health Education & Research, 5/9/83 through 6/30/86.

Eaton, Bill Jack, Clinical Instructor (voluntary), Allied Health Education & Research, 5/9/83 through 6/30/86.

Galbreath, Melodye L., Clinical Instructor (voluntary),  
Allied Health Education & Research, 5/9/83 through  
6/30/86.

Griffin, Sister Sara, Clinical Instructor (voluntary),  
Allied Health Education & Research, 5/9/83 through  
6/30/86.

Hackett, James K., Assistant Professor (Adjunct), Community  
Health, 4/1/83 through 6/30/84.

Hill, Karen B., Clinical Instructor (voluntary), Clinical  
Nutrition, 4/1/83 through 6/30/85.

Johnson, Judy, Clinical Instructor (voluntary), Allied  
Health Education & Research, 5/9/83 through 6/30/86.

Johnston, Selena, Clinical Instructor (voluntary), Allied  
Health Education & Research, 5/9/83 through 6/30/86.

Laffoon, Diane, Clinical Instructor (voluntary), Allied  
Health Education & Research, 5/9/83 through 6/30/86.

Miles, Paul V., Clinical Instructor (voluntary), Allied  
Health Education & Research, 5/9/83 through 6/30/86.

Murray, Margaret M., Clinical Instructor (voluntary),  
Allied Health Education & Research, 5/9/83 through  
6/30/86.

Peduzzi, Joanne E., Clinical Instructor (voluntary),  
Medical Technology, 7/1/83 through 6/30/85.

Raby, Janice E., Clinical Instructor (voluntary), Allied  
Health Education & Research, 5/9/83 through 6/30/86.

Rankin, Mark, Clinical Instructor (voluntary), Allied  
Health Education & Research, 5/9/83 through 6/30/86.

Sears, Grace H., Clinical Instructor (voluntary), Clinical  
Nutrition, 4/1/83 through 6/30/85.

Shope, Ralph D., Clinical Instructor (voluntary), Allied  
Health Education & Research, 5/9/83 through 6/30/85.

Skowronski, Sister Patricia E., Clinical Instructor (voluntary),  
Allied Health Education & Research, 5/9/83 through 6/30/86.

Wilson, Diane, Clinical Instructor (voluntary), Allied  
Health Education & Research, 5/9/83 through 6/30/86.

College of Dentistry

Bartley, Christy A., Clinical Instructor (voluntary),  
Community Dentistry, 5/16/83 through 6/30/84.

Carpenter, William J., Clinical Instructor (voluntary),  
Community Dentistry, 5/16/83 through 6/30/84.

Cormney, Ben G., Clinical Instructor (voluntary), Community  
Dentistry, 5/16/83 through 6/30/84.

Emrich, Charles I., Clinical Instructor (voluntary),  
Community Dentistry, 5/16/83 through 6/30/84.

Rising, James C., Clinical Instructor (voluntary), Community  
Dentistry, 5/16/83 through 6/30/84.

Schroeder, Frederick A., Clinical Instructor (voluntary),  
Community Dentistry, 5/16/83 through 6/30/84.

Steward, Christopher D., Clinical Instructor (voluntary),  
Community Dentistry, 5/16/83 through 6/30/84.

Thompson, Bobby P., Clinical Instructor (voluntary),  
Community Dentistry, 5/16/83 through 6/30/84.  
Thompson, Gary, Clinical Instructor (voluntary), Community  
Dentistry, 5/16/83 through 6/30/84.

College of Medicine

Ammerman, David W., Clinical Instructor (voluntary), Family  
Practice, 7/1/83 through 6/30/85.  
Dietrich, Shelle G., Assistant Clinical Professor (voluntary),  
Psychiatry, 6/1/83 through 6/30/85.  
Elion, Jonathan Lee, Assistant Professor, Medicine,  
7/1/83 through 6/30/84.  
Gallion, Holly H., Assistant Professor, Obstetrics & Gynecology,  
7/1/83 through 6/30/84.  
Hegab, El-Sayed H. H., Assistant Professor, Anesthesiology,  
6/1/83 through 6/30/84.  
Kanga, Jamshed F., Assistant Professor, Pediatrics,  
7/1/83 through 6/30/84.  
Martin, Stuart Taylor, Assistant Professor, Anesthesiology,  
7/1/83 through 6/30/84.  
Riley, Michael I., Assistant Research Professor, Biochemistry,  
5/1/83 through 6/30/84.  
Weeks, Julia A., Instructor, Pathology, 7/1/83 through  
6/30/84.  
Wisenbaugh, Thomas W., Assistant Professor, Medicine,  
7/1/83 through 6/30/84.

College of Nursing

Beeman, Ruth C., Assistant Clinical Professor (voluntary),  
5/1/83 through 6/30/84.

College of Pharmacy

Newby, Willie F., Clinical Instructor (voluntary), 5/1/83  
through 6/30/84.

B. ADMINISTRATIVE APPOINTMENTS

College of Allied Health Professions

Clark, Sherry, Associate Professor\* (with tenure), Physical  
Therapy, extension of appointment as Acting Chairperson,  
7/1/83 through 9/30/83.

C. JOINT APPOINTMENTS

College of Dentistry

DeMaria, David, Assistant Professor (part-time), Periodontics,  
joint appointment as Assistant Professor (part-time),  
Community Dentistry, 5/16/83 through 6/30/84.

D. REAPPOINTMENTS

College of Allied Health Professions

Burton, David, Clinical Instructor (voluntary), Physical Therapy, 7/1/83 through 6/30/85.  
Gairola, Gerry, Assistant Professor, Allied Health Education & Research, 7/1/83 through 6/30/84.  
Jobe, Mildred, Instructor (part-time), Medical Technology, 7/1/83 through 6/30/84.  
Sims, Priscilla, Instructor (part-time), Medical Technology, 7/1/83 through 6/30/84.

College of Dentistry

Greer, James R., Assistant Professor (part-time), Orthodontics, 7/1/83 through 6/30/84.  
Mee, Thomas R., Assistant Professor, Restorative Dentistry, 7/1/83 through 6/30/84.

College of Medicine

Barron, Michael P., Clinical Instructor (part-time), Medicine, 7/1/83 through 6/30/84.  
Beihn, Robert, Clinical Instructor (part-time), Diagnostic Radiology, joint as Clinical Instructor (part-time), Radiation Medicine, 7/1/83 through 6/30/84.  
Birge, Barbara A., Clinical Instructor (part-time), Physiology & Biophysics, 7/1/83 through 6/30/84.  
Feola, Jose M., Assistant Clinical Professor (part-time), Radiation Medicine, 7/1/83 through 6/30/84.  
Gutgsell, Terence L., Assistant Clinical Professor (part-time), Rehabilitation Medicine, 7/1/83 through 6/30/84.  
Karim, Farhad, Clinical Instructor (part-time), Medicine, 7/1/83 through 6/30/84.  
Moore, Robert W., Assistant Clinical Professor (voluntary), Behavioral Science, 7/1/83 through 6/30/85.  
Pappas, John E., Assistant Clinical Professor (part-time), Rehabilitation Medicine, 7/1/83 through 6/30/84.  
Pulito, Andrew R., Assistant Clinical Professor (part-time), Surgery, 7/1/83 through 6/30/84.  
Saunders, John H., Clinical Instructor (part-time), Ophthalmology, 7/1/83 through 6/30/84.  
Scherl, Eileen R., Assistant Clinical Professor\* (part-time), Medicine, 7/1/83 through 6/30/84.  
Schumer, Barry, Assistant Clinical Professor (part-time), Rehabilitation Medicine, 7/1/83 through 6/30/84.  
Sparrow, John C., Assistant Clinical Professor (part-time), Ophthalmology, 7/1/83 through 6/30/84.  
Spires, Stephen M., Assistant Clinical Professor (part-time), Surgery, 7/1/83 through 6/30/84.  
Suruda, Anthony J., Assistant Clinical Professor (part-time), Emergency Medicine, 7/1/83 through 6/30/84.

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\*Special Title Series



College of Pharmacy

Abell, Howard L., Clinical Instructor (voluntary), 7/1/83 through 6/30/85.  
Byrd, William C., Assistant Professor (Adjunct Series), 7/1/83 through 6/30/84.  
Creech, John D. Jr., Clinical Instructor (voluntary), 7/1/83 through 6/30/85.  
Deitemeyer, Ralph G., Assistant Clinical Professor (voluntary), 7/1/83 through 6/30/85.  
Easley, Albert G., Clinical Instructor (voluntary), 7/1/83 through 6/30/85.  
Epperson, Eddie, Clinical Instructor (voluntary), 7/1/83 through 6/30/85.  
Fetters, Brenda, Clinical Instructor (voluntary), 7/1/83 through 6/30/85.  
Fields, James E., Clinical Instructor (voluntary), 7/1/83 through 6/30/85.  
Finn, Larry, Clinical Instructor (voluntary), 7/1/83 through 6/30/85.  
Horton, Fred, Clinical Instructor (voluntary), 7/1/83 through 6/30/85.  
Hunter, Becky Sue, Clinical Instructor (voluntary), 7/1/83 through 6/30/85.  
Knifley, Jerry W., Clinical Instructor (voluntary), 7/1/83 through 6/30/85.  
Lloyd, Morris D., Clinical Instructor (voluntary), 7/1/83 through 6/30/85.  
Patterson, K. Ann, Assistant Professor (Adjunct Series), 7/1/83 through 6/30/84.  
Yokel, Robert A., Assistant Professor, 7/1/83 through 2/28/84.

E. CHANGES

College of Medicine

Jivrajka, Vinod, from Instructor (part-time), Medicine, to Instructor (full-time), Medicine, 7/1/83 through 6/30/84.

F. SPECIAL ASSIGNMENTS

College of Medicine

Scheff, Stephen W., Assistant Professor, Anatomy, 6/15/83 through 8/15/83. (Note: To carry out advanced research at the University of California, Irvine.)

G. LEAVES OF ABSENCE

College of Allied Health Professions

Gardner, Davis L., Associate Professor\* (with tenure), Allied Health Education & Research, sabbatical leave with full salary, 11/1/83 through 4/30/84.

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\*Special Title Series

College of Dentistry

Bader, James D., Associate Professor (with tenure),  
Community Dentistry, sabbatical leave with full  
salary, 9/1/83 through 2/28/84.  
Cooper, Thomas M., Professor (with tenure), Restorative  
Dentistry, sabbatical leave with full salary,  
1/1/84 through 6/30/84.

College of Medicine

Neill, John R., Associate Professor (with tenure),  
Psychiatry, joint as Assistant Professor, Allied  
Health Education & Research, College of Allied  
Health Professions, sabbatical leave with full  
salary, 1/1/84 through 6/30/84.

Office of the President  
June 21, 1983

PR 3B

Members, Board of Trustees:

1982-83 BUDGET REVISIONS  
CENTRAL ADMINISTRATION

Recommendation: that the following revisions in the 1982-83 budget be authorized and approved.

|                            | <u>Approved<br/>Budget</u> | <u>Revised<br/>Budget</u> | <u>Change</u>  |
|----------------------------|----------------------------|---------------------------|----------------|
| A. <u>General Fund</u>     |                            |                           |                |
| 1. <u>Income Estimates</u> |                            |                           |                |
| <u>Student Fees</u>        |                            |                           |                |
| <u>Registration Fees</u>   |                            |                           |                |
| Regular                    | \$ 17,921,000              | \$ 18,081,400             | \$ 160,400     |
| Community College          |                            |                           |                |
| System                     | 6,350,600                  | 6,361,800                 | 11,200         |
| Summer Session             |                            |                           |                |
| Community College          |                            |                           |                |
| System                     | 554,300                    | 556,300                   | 2,000          |
| University Extension       | 1,608,200                  | 1,722,900                 | 114,700        |
| Continuing Education       |                            |                           |                |
| Fees                       | 2,913,800                  | 2,900,800                 | (13,000)       |
| Sales and Services         |                            |                           |                |
| Departmental Sales and     |                            |                           |                |
| Services                   | 5,274,100                  | 5,327,500                 | <u>53,400</u>  |
|                            |                            |                           | 328,700        |
| 2. <u>Expenditures</u>     |                            |                           |                |
| <u>Lexington Campus</u>    |                            |                           |                |
| College of Engineering     |                            |                           |                |
| Transportation Research    | 50,000                     | 100,500                   | 50,500         |
| College of Fine Arts       |                            |                           |                |
| Art Museum                 | 172,500                    | 172,900                   | 400            |
| Fine Arts Extension        | 21,100                     | 21,900                    | 800            |
| College of Social Work     | 832,500                    | 811,800                   | (20,700)       |
| Administration and Support |                            |                           |                |
| Instructional Resources    | 615,400                    | 617,900                   | 2,500          |
| University Extension       |                            |                           |                |
| Community Education        | 195,200                    | 216,300                   | 21,100         |
| Evening Class Program      | 785,900                    | 879,500                   | 93,600         |
| Community College System   |                            |                           |                |
| Hopkinsville               | 1,215,000                  | 1,221,900                 | 6,900          |
| Student Aid                |                            |                           |                |
| Student Fee Scholarships   | 1,524,600                  | 1,698,200                 | <u>173,600</u> |
|                            |                            |                           | 328,700        |

3. Comments - Increases in Regular, Community College and Community College Summer registration fees will provide increased expenditure authority for Student Fee Scholarships. The increase in University Extension income will provide increased expenditure authority for the Evening Class and Community Education Programs. The change in fees from Continuing Education is due to a reduction of programs in Social Work as well as increases in program activity for Hopkinsville Community College and Fine Arts Extension. Increased in Departmental Sales and Services will provide expenditure authority for the Kentucky Transportation Research Program, Art Museum and Office of Instructional Resources.

|                                   | <u>Approved<br/>Budget</u> | <u>Revised<br/>Budget</u> | <u>Change</u> |
|-----------------------------------|----------------------------|---------------------------|---------------|
| <b>B. <u>Restricted Funds</u></b> |                            |                           |               |
| 1. Income Estimates               | \$ 20,730,300              | \$ 20,759,000             | \$ 28,700     |
| 2. Expenditures                   |                            |                           |               |
| Lexington Campus                  |                            |                           |               |
| Academic Affairs                  |                            |                           |               |
| College of Fine Arts              |                            |                           |               |
| Art Museum                        | 152,000                    | 152,500                   | 500           |
| Community College System          |                            |                           |               |
| Hazard                            | 52,100                     | 52,600                    | 500           |
| Henderson                         | 33,900                     | 34,600                    | 700           |
| Madisonville                      | 31,000                     | 41,000                    | 10,000        |
| Maysville                         | 17,000                     | 18,600                    | 1,600         |
| University-Wide                   |                            |                           |               |
| Graduate School                   | 224,400                    | 226,400                   | 2,000         |
| Student Aid                       |                            |                           |               |
| Gifts and Grants for              |                            |                           |               |
| Scholarships                      |                            |                           |               |
| Community College                 |                            |                           |               |
| Scholarships                      | 197,800                    | 198,000                   | 200           |
| General Scholarships              | 865,400                    | 878,600                   | 13,200        |
|                                   |                            |                           | <u>28,700</u> |

3. Comments - Income restricted for the purposes indicated above will fund the proposed additional expenditures.

**C. Auxiliary Services**

|                          |            |            |               |
|--------------------------|------------|------------|---------------|
| 1. Income Estimates      | 26,827,400 | 26,846,400 | 19,000        |
| 2. Expenditures          |            |            |               |
| Community College System |            |            |               |
| Maysville                | 73,800     | 85,800     | 12,000        |
| Paducah                  | 200,000    | 207,000    | 7,000         |
|                          |            |            | <u>19,000</u> |

3. Comments - The proposed expenditure increase will be supported by additional income generated by the respective auxiliary operations.

Page Three

D. Contracts and Grants Received

|                                                                                                   | <u>Amount</u> |
|---------------------------------------------------------------------------------------------------|---------------|
| Lexington Campus                                                                                  |               |
| Academic Affairs                                                                                  |               |
| Agricultural Experiment Station                                                                   |               |
| Agronomy                                                                                          |               |
| Control of Surface Soil Acidification in<br>No-tillage Corn Production with Fluid<br>Lime (T0341) | \$ 8,500      |
| Evaluation of Soybean Oil as an Additive<br>and Carrier for Herbicide Applications (T0346)        | 15,200        |
| Medical Center                                                                                    |               |
| College of Pharmacy                                                                               |               |
| Administration                                                                                    |               |
| Innovative Career Counseling for Pharmacy<br>Students (T0343)                                     | 29,100        |
| Atherosclerosis Induced Changes in Coronary<br>Vasoreactivity (T0348)                             | <u>14,100</u> |
|                                                                                                   | 66,900        |

Action taken: Approved       X       Disapproved                      Other                     

Date:       June 21      , 1983

Office of the President  
June 21, 1983

PR 3C

Members, Board of Trustees:

Appointment to the Board of Directors  
of the  
University of Kentucky Research Foundation

Recommendation: that approval be given to the appointment of Mr. Thomas P. Bell as a Trustee member of the Board of Directors of the University of Kentucky Research Foundation for a two-year term ending in 1985.

Background: It is the recommendation of the Nominating Committee of the University of Kentucky Research Foundation that Mr. Thomas P. Bell be appointed as a Trustee member to complete the unexpired term of Mr. Thomas B. Kessinger.

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Action: Approved   X   Disapproved        Other       

Date:   June 21  , 1983.

Office of the President  
June 21, 1983

PR 3D

Members, Board of Trustees:

APPROVAL OF AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY  
AND UNIVERSITY OF KENTUCKY STUDENT AGENCIES, INC.

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and University of Kentucky Student Agencies, Inc. for the period July 1, 1983 through June 30, 1984. This contract was negotiated pursuant to the Board of Trustees action of May 4, 1982 establishing a "Student Services Program."

Background: The Board action on May 4, 1982 provided that:

"In furtherance of the purposes stated above, the President is authorized to negotiate and execute a contract, subject to approval of the Board of Trustees with an organizational entity qualified to carry out a program consonant with the Board's objectives."

The Board of Trustees previously approved a contract for the period July 1, 1982 through June 30, 1983. The attached agreement conforms to the provisions of the May 4, 1982 Board policy and is in substance the same contract as that approved for the 1982-83 year.

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Action taken: Approved   X   Disapproved            Other           

Date:   June 21  , 1983

STUDENT SERVICES AGREEMENT

between

UNIVERSITY OF KENTUCKY BOARD OF TRUSTEES

and

UNIVERSITY OF KENTUCKY STUDENT AGENCIES, INC.

June \_\_\_\_, 1983



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## STUDENT SERVICES AGREEMENT

This is a Student Services Agreement (the "Agreement") between the University of Kentucky Board of Trustees (the "University") and University of Kentucky Student Agencies, Inc. ("UKSA") dated as of June \_\_\_\_, 1983.

### Recitals

A. The University has observed the recent reduction in funds available from governmental sources for financial aid to students. Due to the need for alternative sources of financial support to enable students to finance their education, the University has adopted a policy statement that establishes a student services program which will provide both practical job experience and extra income for students at the University.

B. UKSA, a non-profit corporation which is seeking tax-exempt status as an educational organization under §501 (c)(3) of the Internal Revenue Code of 1954, as amended, desires to operate a student services program that will fulfill its educational objectives.

C. The University recognizes UKSA as a "qualifying entity" as described in the policy statement adopted by the University establishing the student employment program.

D. The University and UKSA desire to enter into this Agreement, whereby UKSA will operate a student services program, in order to advance the goals set forth above.

## SECTION 1

### Definitions

As used in this Agreement, the following terms shall have the following meanings:

- (a) "Campus" shall mean the University campus in Lexington,

Kentucky including any dormitories, classrooms or other instructional facilities, student center, offices and any other areas over which the University has authority.

(b) "Coordinator" shall mean the full time staff member to be hired by UKSA who will act as the chief operating officer of UKSA.

(c) "Program" shall mean the student services program that was established by the University pursuant to a policy statement dated May 4, 1982.

(d) "University Contracts" shall mean any contracts for goods or services between the University and any other entity other than UKSA.

## SECTION 2

### Nature of Agreement

The University hereby gives UKSA full authority and the exclusive right to operate the Program and UKSA agrees to operate the Program subject to the terms and conditions set forth in this Agreement.

## SECTION 3

### The Program

3.1 Scope. UKSA shall develop student employment opportunities for the Program that will aid students in financing their education. These jobs shall be designed to provide goods and services to the University community and to provide education related work experience for students.

3.2 Administration. UKSA shall assume all responsibility for the Program including the payment of wages to students and the payment of all expenses incurred in the operation of the Program. UKSA shall keep all books, records, and other documents which are necessary for the proper administration of the Program, and shall make necessary filings with federal,

state, and local agencies.

3.3 Revenue. Any revenue from the operation of the Program which is in excess of wages and other operating expenses shall be used to increase the rate of wages paid to students and to explore and develop other employment opportunities. UKSA may invest available funds in short term securities from time to time at its discretion.

#### SECTION 4

##### Covenants of UKSA

4.1 Non-Profit Status. UKSA shall be organized and operated as a non-profit corporation pursuant to Chapter 273 of the Kentucky Revised Statutes at all times during the term of this Agreement.

4.2 Personnel. All officers, members, and employees of UKSA shall be University students except for the Coordinator who may or may not be a University student.

4.3 Wages. Wages paid by UKSA to its employees will be equal to or greater than Kentucky's minimum wage as set by Chapter 337 of the Kentucky Revised Statutes.

4.4 Prior Approval of Campus Services and Solicitation. UKSA shall secure prior written approval by the University Dean of Students for all services to be provided on Campus pursuant to the Program and for any solicitation on Campus.

4.5 Equal Opportunity. UKSA shall not discriminate against any student, or anyone with whom it does business, on the basis of race, color, religion, sex, national origin, age, or handicap.

4.6 Availability of Records. UKSA shall make available all of its books, records, and accounts for inspection and audit by representatives of

the University within forty-eight hours of receiving written notice by the University.

4.7 Annual Audit. UKSA shall have an annual audit to be conducted by a firm of Certified Public Accountants approved in writing by the University. An audit report and any compliance letters shall be submitted to the University.

4.8 Fund Raising Efforts. UKSA shall coordinate all of its fund raising efforts through the Vice President for University Relations.

4.9 Organizational Documents. UKSA shall not amend or otherwise alter its Articles of Incorporation or adopt any bylaw or other operating practice that would alter the character of UKSA without the prior written consent of the University.

4.10 Merger. UKSA shall not merge with any other corporation or convey any substantial portion of its assets to any other person, corporation, partnership, or other entity.

4.11 University Contracts. UKSA shall not provide any goods or services or take any action that would conflict or interfere with any University Contracts.

## SECTION 5

### Right to Bid on University Contracts

The University shall allow UKSA to submit bids on contracts for the furnishing of goods and services to the University.

## SECTION 6

### Indemnification

UKSA shall indemnify and hold the University harmless for the full amount of any loss, claim, damage, liability, or expense resulting to the

University either directly or indirectly from (a) any breach of the covenants by UKSA set forth in this Agreement, and (b) any injury to any employee during the course of his or her employment with UKSA.

## SECTION 7

### Dissolution of UKSA

In the event of the dissolution of UKSA pursuant to Chapter 273 of the Kentucky Revised Statutes, the assets of UKSA shall be applied and distributed as follows:

(a) First, liabilities and obligations of UKSA shall be paid and discharged, or adequate provisions shall be made therefor,

(b) Second, assets held by UKSA upon a condition which occurs by reason of the dissolution, shall be returned, transferred, or conveyed in accordance with such condition,

(c) Third, the remaining assets of UKSA shall be transferred or conveyed to the University, or its successors, to be used for the financial aid of its students if the University, or its successor, qualifies as an instrumentality of the Commonwealth of Kentucky and an organization described in Section 170(c) of the Internal Revenue Code of 1954, as amended. If the University, or its successor, does not so qualify, the remaining assets shall be distributed to some other organization or organizations that are organized exclusively for educational purposes under Section 501(c)(3) of the Internal Revenue Code of 1954, as amended.

## SECTION 8

### Miscellaneous

8.1 Entire Agreement. This Agreement incorporates the policy statement by the University of May 4, 1982 that established a student services

program. The Agreement constitutes the entire agreement of the parties with respect to the subject matter hereof, and supersedes all prior understandings with respect to the subject matter hereof. No change, modification, addition or termination of this Agreement shall be enforceable unless in writing and signed by the party against whom enforcement is sought.

8.2 Notices. All notices and other communications required by Section 8.1, shall be in writing and shall be deemed to have been duly given if mailed by registered mail, return receipt requested, postage pre-paid, to the addresses set forth below or to such other address as to which a party has given the other parties written notice:

(a) If to the University:

Dean of Students  
513 Patterson Office Tower  
Lexington, Kentucky 40506

(b) If to UKSA  
Leonard Paul Stoltz, II  
Student Center Building, Room 107  
Limestone Street  
Lexington, Kentucky 40506

with copy to:

Mr. C. Edward Glasscock  
Brown, Todd & Heyburn  
1600 Citizens Plaza  
Louisville, Kentucky 40202

8.3 Headings. The headings used in this Agreement have been included solely for ease of reference and shall not be considered in the interpretation or construction of this Agreement.

8.4 Waiver. No waiver of any of the provisions hereof shall be effective unless in writing and signed by the party to be charged with such waiver. No waiver shall be deemed a continuing waiver in respect of any breach or default, whether of a similar or different nature, unless expressly stated in writing.

8.5 Severability. If any provision of this Agreement or its application shall be invalid, illegal or unenforceable in any respect, the validity, legality and enforceability of all other applications of that provision and of all other provisions and applications thereof shall not in any way be effected or impaired.

8.6 Governing Law. The validity, construction and interpretation of this Agreement shall be governed in accordance with the law of the Commonwealth of Kentucky.

8.7 Term. The term of this Agreement shall be from July 1, 1983 to June 30, 1984. This Agreement may be renewed on an annual basis upon the mutual agreement in writing of UKSA and the University.

IN WITNESS WHEREOF, the parties have executed four originals of this Agreement as of the date first set forth above, but actually on the dates set forth below.

UNIVERSITY OF KENTUCKY BOARD OF  
TRUSTEES

By \_\_\_\_\_  
President, University of  
Kentucky

Date: \_\_\_\_\_

UNIVERSITY OF KENTUCKY STUDENT  
AGENCIES, INC.

By \_\_\_\_\_  
Leonard P. Stoltz, II, President

Date: \_\_\_\_\_



PR 3E

Members, Board of Trustees:

OPERATING BUDGET FOR 1983-84

Recommendation: that the 1983-84 Operating Budget as submitted, including the authorization set forth in the letter of transmittal, be approved and ordered recorded as an integral part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures and accepted as a basis of maximum expenditures for the year 1983-84. The Board of Trustees or the Executive Committee is empowered to make such changes in the budget as may from time to time be deemed necessary upon recommendation of the President.

Background: The 1983-84 Operating Budget of the University of Kentucky is presented for approval by the Board of Trustees. Copies of the budget document have been made available to the members of the Board.

NOTE: The Operating Budget for 1983-84 is not included in the Minutes of the meeting but is included in the official files.

---

Action taken: Approved   X   Disapproved            Other           

Date:   June 21  , 1983

Office of the President  
June 21, 1983

PR 4A

Members, Board of Trustees:

CHANGE IN DIVISION STRUCTURE

Recommendation: that Jefferson Community College, Southwest Campus, be changed to reflect the creation of two academic divisions: Humanities and Natural Sciences, and Business and Social/Behavioral Sciences.

Background: The Southwest Campus at Jefferson Community College is currently organized and operates as one division. The size of the faculty and expansion of the programs on the Southwest Campus justify the creation of two academic divisions. This proposed change in division structure, recommended by the Director of Jefferson Community College and the Chancellor for the Community College System, has been reviewed and recommended for approval by the Southwest Campus faculty.

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Action: Approved X Disapproved      Other                     

Date: June 21, 1983.

Office of the President  
June 21, 1983

PR 5A

Members, Board of Trustees:

APPOINTMENT OF DEAN OF COLLEGE OF ENGINEERING  
LEXINGTON CAMPUS

Recommendation: that Dr. Ray M. Bowen be appointed as Professor with tenure, Department of Engineering Mechanics and Professor without tenure, Department of Mechanical Engineering, and named Dean of the College of Engineering, effective September 1, 1983.

Background: Following the resignation of Dr. Roger Eichhorn, a search committee was appointed in accordance with the Governing and Administrative Regulations to identify and recommend qualified persons for the position of Dean of the College of Engineering. Approximately 77 nominees and applicants were considered by the search committee and eight candidates were interviewed by the committee. The results of this careful search and evaluation led to a recommendation from the search committee that Professor Bowen be appointed Dean of the College of Engineering.

Dr. Bowen did his undergraduate work at Texas A&M University. He holds the MS degree from the California Institute of Technology and the PhD degree from Texas A&M University. Since 1973 he has distinguished himself as a scientist in Engineering Mechanics. During this time he has served on the faculty of Rice University in the Mechanical Engineering and Mathematical Sciences Departments. For the past year he has held an appointment with the National Science Foundation as the Director of the Division of Mechanical Engineering and Applied Mechanics.

The College of Engineering is an area where considerable growth and development are anticipated. The University is fortunate to attract a person so well qualified as Professor Bowen to provide leadership in this important area.

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Action taken: Approved:   x   Disapproved            Other           

Date:   June 21  , 1983.

Office of the President  
June 21, 1983

PR 5B

Members, Board of Trustees:

PATENT ASSIGNMENT  
LEXINGTON CAMPUS

- I. Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following application for Letters Patent of the United States and the invention represented thereby: Pankaja K. Kadaba, Serial No. (to be assigned), filed March 11, 1983.

Background: Pankaja K. Kadaba has invented certain improvements in TRIAZOLINE ANTICONVULSANT DRUGS. He has assigned his right to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

- II. Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following application for Letters Patent of the United States and the invention represented thereby: George A. Duncan, Serial No. 469,704, filed February 22, 1983.

Background: George A. Duncan has invented certain improvements in METHOD AND APPARATUS FOR HARVESTING TOBACCO. He has assigned his right to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action: Approved   x   Disapproved            Other           

Date:   June 21  , 1983.

Office of the President  
June 21, 1983

PR 6A

Members, Board of Trustees:

FUND Board of Directors Appointment

Recommendation: that approval be given to the reappointment of Dr. Irvin E. Lunger as a member of the Board of Directors of THE FUND for Advancement of Education and Research in the University of Kentucky Albert B. Chandler Medical Center for an additional two-year term, beginning July 1, 1983 and ending June 30, 1985.

Background: The Board of Trustees, in accordance with the Articles of Incorporation, must approve appointment of the public members of the Board of Directors of THE FUND. Dr. Lunger has been an effective and valuable member of this Board and, in order to continue to receive the benefit of his advice and counsel, his reappointment is recommended.

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Action taken:      Approved   X   Disapproved        Other       

Date:   June 21  , 1983

Office of the President  
June 21, 1983

PR 6B

Members, Board of Trustees

Revision of the Bylaws  
of the Medical Staff, University Hospital

Recommendation: that the attached revision of the Bylaws of the Medical Staff of University Hospital, Albert B. Chandler Medical Center, be approved.

Background: The revised Bylaws of the Medical Staff were approved by the Hospital Clinical Board on January 25, 1983 and by the Council of Supervisors, University Hospital, on February 15, 1983. This revision (1) reflects the organizational and title changes which have occurred within the University and the Medical Center since the Bylaws were last amended in 1980; and (2) incorporates the functional and procedural changes necessary to conform with the requirements of the accrediting and licensing agencies.

Note: The additions are underlined, and the deletions are lined through.

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Action taken:    Approved   x      Disapproved \_\_\_\_\_    Other \_\_\_\_\_

Date:   June 21  , 1983

BYLAWS OF THE MEDICAL STAFF  
UNIVERSITY HOSPITAL  
ALBERT B. CHANDLER MEDICAL CENTER  
UNIVERSITY OF KENTUCKY  
LEXINGTON, KENTUCKY

PREAMBLE

The University Hospital, Albert B. Chandler Medical Center is a part of the University of Kentucky, a publicly supported institution of higher learning established and maintained pursuant to Chapter 164 of the Kentucky Revised Statutes. A primary function of the Medical Staff of the University Hospital is the delivery of health care services to the patients in the University Hospital. In order to provide a framework within which the Medical Staff shall function, these Bylaws and Rules and Regulations shall govern those physicians, dentists, house staff, research and education staff and allied health professionals who practice or seek to practice at the University Hospital.

DEFINITIONS

1. Medical Staff - means all medical physicians, dentists, house staff, and research and education staff who are privileged to attend patients at the Hospital.
2. Hospital - means the University Hospital, Albert B. Chandler Medical Center, University of Kentucky.
3. Council of Supervisors - means that body appointed by the Board of Trustees consisting of thirteen members whose members participate in the governance of University Hospital and Ambulatory Care Program with powers as delegated by the Board of Trustees in the "Bylaws of the Council of Supervisors, University of Kentucky Hospital." The Council consists of twenty (20) members, four (4) three (3) of whom shall be members of the Board of Trustees of the University of Kentucky; two (2) of whom shall be members of the medical staff recommended by the Clinical Board; nine (9) four (4) of whom shall be public members recommended by the President, including one (1) student of the University of Kentucky, and five (5) members by virtue of their University appointment including the Chancellor Vice President for the Medical

Center, the Vice-President for Business Affairs, the Vice-President for Administration, the Dean of the College of Medicine and the Hospital Executive Director, who participate in the governance of the University of Kentucky Hospital with powers as delegated by the Board of Trustees in the "Bylaws of the Council of Supervisors, University of Kentucky Hospital."

4. Board of Trustees - means the Board of Trustees of the University of Kentucky.
5. Clinical Board - means that body composed of the following: Chiefs of Hospital Clinical Services as described in Article X, Section 2 herein, the Chairman of the Department of Preventive and Occupational Community Medicine, Deans of the College of Medicine, the Associate Dean for Clinical Affairs of the College of Medicine, and the Hospital Executive Director, and Ex-officio non-voting members shall consist of the Deans of the Colleges of Dentistry, Nursing, Pharmacy and Allied Health Professions, the Medical Director of the Ambulatory Care Program, the Director of the University Student Health Service, the Associate Dean for Veterans Affairs, the Director of Nursing of University Hospital, and the Chancellor Vice President for the Medical Center or their respective designates.
6. Hospital Executive Director - means the individual who oversees the overall management of the Hospital.
7. Associate Dean for Clinical Affairs - means the individual bearing that title within the College of Medicine who oversees the quality of patient care, the proper functioning of the Medical Staff, compliance with these Bylaws, and Rules and Regulations by the Staff, and coordination of the work of the clinical departments.
8. Chancellor Vice President - means the Chancellor Vice President for the Medical Center, University of Kentucky.
9. President - means the President of the University of Kentucky.



10. Medical Staff year - means that period of time commencing on the first day of July of any calendar year and ending on the thirtieth day of June of the following calendar year.
11. Health Related Professions Staff - means all health related professionals who are privileged to attend patients at the Hospital.

#### ARTICLE I

These Bylaws and Rules and Regulations shall operate to:

1. establish a framework within which the fundamental objective of the Hospital to provide comprehensive medical services, including diagnostic and curative medical care, preventive medical care, care and rehabilitation of the chronically ill and disabled, dental care, ambulatory services and facilities for education and research for those engaged in activities related to comprehensive medical services may be effectuated;
2. facilitate effective cooperation with other affiliated and nonaffiliated hospitals and other community health agencies serving the Central and Eastern Kentucky area;
3. facilitate effective affiliation with other hospitals and educational institutions to assist in the education of physicians, dentists, nurses, pharmacists, and allied health professionals;
4. insure that all patients served receive a high standard of personalized, continuous quality care;
5. facilitate the formulation of long-range and short-range health planning goals;
6. provide a method of continuous self-evaluation of the medical services through the delineation of staff privileges and review of clinical activities;
7. insure the development and maintenance of high standards in medical education programs;
8. provide a means whereby issues concerning the Medical Staff and the Hospital may be discussed and resolved.

## ARTICLE II

### CATEGORIES OF THE MEDICAL STAFF

#### SECTION 1. Medical Staff Categories

The Medical Staff shall be divided into categories denominated: honorary, active, associate, consulting, research and education and house staff.

#### SECTION 2. Honorary Category

The Honorary Category shall be comprised of physicians and dentists previously members of the active staff who are no longer active in the Hospital. These may be physicians and dentists who have retired from active hospital practice or who are of outstanding reputation, not necessarily residing in the community. Honorary Staff members shall not be eligible to admit patients, vote, hold office or serve on standing Medical Staff committees.

#### SECTION 3. Active Category

The Active Category shall be comprised of physicians and dentists who are full-time faculty members of the University of Kentucky College of Medicine or Dentistry who hold unlimited Kentucky licenses to practice their profession in the Commonwealth of Kentucky who have been endorsed by their respective departmentals, divisional and sectional chairmen as being active in the teaching program of the University. Only members of the Active Staff shall be eligible to vote at any meetings of the Medical Staff. Privileges shall be delineated upon appointment and with each reappointment. Members of the active staff shall be required to attend meetings of the Medical Staff and to serve on standing Medical Staff committees.

#### SECTION 4. Associate Category

The Associate Category shall be comprised of physicians and dentists who are voluntary and part-time faculty of the University of Kentucky College of Medicine or Dentistry who hold unlimited Kentucky licenses to practice their profession in the Commonwealth of Kentucky and who have been endorsed by their respective departmental, divisional and sectional chairmen as contributing to the programs of the University. Privileges shall be delineated upon appointment and with each reappointment. In general,

admission privileges shall be limited to periods of active involvement in teaching. Members of the Associate Staff do not have voting privileges at meetings of the Medical Staff, but they may attend Medical Staff meetings and serve on standing Medical Staff committees.

#### SECTION 5. Research and Education Category

The Research and Education Category shall be comprised of members of the faculty of the University of Kentucky College of Medicine or Dentistry who have been endorsed by their respective departmental, divisional and sectional chairmen as participating actively in the research and teaching programs of the Hospital and University, but do not possess licensure to practice medicine or dentistry in the Commonwealth of Kentucky. Members of the research and education staff may be identified as research and education staff of a particular department. Members of the research and education staff shall not be eligible to admit patients, vote at any Medical Staff meetings, hold office or serve on standing Medical Staff committees.

#### SECTION 6. Consulting Category

The Consulting Category shall be comprised of specialists who are Board qualified, and/or recognized as having special competence in their field, and are endorsed by the relevant departmental, divisional and sectional chairmen as contributing to the programs of the University. Privileges shall be delineated upon each appointment and re-appointment. Members of the consulting staff shall not be entitled to vote at any Medical Staff meetings, hold office or serve on standing Medical Staff committees, nor shall such consulting staff members be required to attend Medical Staff meetings.

#### SECTION 7. House Staff Category

The House Staff Category shall be comprised of medical and dental residents, fellows and trainees who are employed by the University of Kentucky upon the recommendation of the relevant department chairmen. Members of the House Staff shall not be eligible to admit patients, vote at any Medical Staff meetings or hold office. Members of the House Staff may serve on standing Medical Staff committees and attend Medical Staff meetings.

## ARTICLE III

### HEALTH RELATED PROFESSIONS STAFF

#### SECTION 1. Membership and Identification

The Health Related Professions Staff shall be comprised of faculty members of the University of Kentucky and/or employees of the University of Kentucky and selected volunteers who are appointed by the Council of Supervisors. Members of the Health Related Professions Staff shall be identified as Health Related Professions Staff of a particular clinical service.

#### SECTION 2. Privileges

Members of the Health Related Professions Staff shall not be members of the Medical Staff but shall have privileges delineated upon appointment. Privileges for individuals involved with the management of patients will be under the supervision of an active Medical Staff member and will be strictly limited to the performance of specialized services within the field of the member's competence. The privileges will be specifically designated by individual by the Council of Supervisors and will be noted in the individual's credentials file.

#### SECTION 3. Application Process

All applications for appointment to the Health Related Professions Staff shall be submitted on a form prepared by the Associate Dean for Clinical Affairs. Such forms are to be completed and signed by all applicants. The procedures, inquiries and commitments set forth in these Bylaws for membership on the Medical Staff shall likewise apply to membership on the Health Related Professions Staff, and submission of a completed application for membership on the Health Related Professions Staff shall constitute acknowledgment by the applicant that the Medical Staff procedures, inquiries and commitments are applicable.

#### SECTION 4. Condition and Periods of Appointment

- a. Acceptance of membership on the Health Related Professions Staff shall constitute the staff member's agreement to abide by these Bylaws and Rules and Regulations. In addition, acceptance of membership on the Health Related Professions Staff constitutes the staff member's agreement to abide

by the code of ethics which has been adopted by his/her profession, as if the same were appended to and made a part of these Bylaws, and to maintain and uphold the Behavioral Standards in Patient Care adopted for the University Hospital and the Ambulatory Care Program.

- b. Upon the recommendation of the Clinical Board and after approval of the Council of Supervisors an applicant shall be given a provisional appointment for a period of six months. Provisional staff shall have only those privileges specifically delineated. At the end of the provisional period and on recommendation of the applicant's Chief of Service, full status as a member of the Health Related Professions Staff with specifically delineated privileges will be given for a period not to exceed the end of the then current Medical Staff year. Reappointments shall be for periods of not more than one Medical Staff year.
- c. Appointment to the Health Related Professions Staff shall confer on the appointee only such clinical privileges as have been set forth in the appointment.

#### ARTICLE IV

##### MEDICAL STAFF MEMBERSHIP

###### SECTION 1. Medical Staff Membership

Membership on the Medical Staff of the Hospital is a privilege extended only to those health professionals who continuously meet the qualifications, standards and requirements set forth herein.

###### SECTION 2. Eligibility for Membership

The following shall be eligible to apply for membership on the Medical Staff:

- a. physicians and dentists licensed to practice in Kentucky and who are or were members of the faculty of the University of Kentucky College of Medicine or Dentistry;
- b. physicians and dentists not licensed to practice in the Commonwealth of Kentucky, but who are members of the faculty or visiting consultants of the University of Kentucky College of Medicine or Dentistry engaged in teaching and research;

- c. medical and dental residents, fellows and trainees licensed to practice in Kentucky employed by the University of Kentucky.

### SECTION 3. Conditions and Periods of Appointment

- a. Acceptance of membership on the Medical Staff shall constitute the staff member's agreement to abide by these Bylaws and Rules and Regulations. In addition, acceptance of membership on the Medical Staff constitutes the staff member's agreement to abide by the Principles of Medical Ethics of the American Medical Association, or the Code of Ethics of the American Dental Association, as applicable, and to maintain and uphold the Behavioral Standards in Patient Care adopted for University Hospital and the Ambulatory Care Program.
- b. Upon the recommendation of the Clinical Board and after approval of the Council of Supervisors an applicant shall be given a provisional appointment for a period of six months. Provisional staff shall have all the privileges and duties of full staff members in their category. At the end of the provisional period and on recommendation of the applicant's Chief of Service, full staff status will be given for a period not to exceed the end of the then current Medical Staff year. Reappointments shall be for periods of not more than one Medical Staff year.
- c. Appointment to the Medical Staff shall confer on the appointee only such clinical privileges as have been set forth in the appointment.

## ARTICLE V

### PROCEDURE FOR APPOINTMENT AND REAPPOINTMENT

#### SECTION 1. Application Process

- a. All applications for appointment to the Medical Staff shall be submitted on a form prepared by the Associate Dean for Clinical Affairs. Such forms are to be completed by all applicants, signed by the applicant, and shall contain the applicant's specific acknowledgment of his/her obligation to provide continuous care and supervision of his/her patients, to accept, attend and complete required committee assignments, and to accept consultation assignments. In addition, the applicant shall specifically acknowledge that any misrepresentation or failure to fully disclose requested information shall be sufficient to result in the immediate revocation of his/her appointment or denial of his/her application for appointment.

By applying for appointment to the Medical Staff, each applicant authorizes consultation by his/her department chairman (Chief of Clinical Service), the Dean of the College of Medicine, the Associate Dean for Clinical Affairs, the Clinical Board, the Vice President Chancellor for the Medical Center, the President, members of the Council of Supervisors and members of the Board of Trustees, with members of medical staffs of other hospitals and ambulatory care programs with which the applicant has been associated, and with others who may have information bearing on the applicant's competence, character and ethical qualifications. The applicant consents to the inspection, by any of the persons holding the above described offices, of all records and documents bearing upon his/her qualifications for clinical privileges and staff membership. The applicant releases from any liability all representatives of the University of Kentucky and its Medical Staff for their acts performed without actual malice in connection with evaluating the applicant and his/her credentials, and releases from any liability all individuals and organizations who provide information to the University of Kentucky or its Medical Staff without actual malice concerning the applicant's competence, ethics, character and other qualifications for staff appointment and clinical privileges, including otherwise privileged or

confidential information. As a minimum, the applicant shall supply detailed information as to the following:

- i. the applicant's professional training and qualifications;
  - ii. whether the applicant's membership status and/or clinical privileges have ever been revoked, suspended, reduced or not renewed at any other hospital or institution;
  - iii. whether the applicant's license to practice any profession in any jurisdiction has ever been suspended or terminated;
  - iv. whether the applicant's membership in local, state or national medical or dental or other societies has ever been revoked, suspended or not renewed;
  - v. all hospitals, clinics or medical centers where the applicant has held or currently holds privileges.
- b. Upon completion of the application form, the applicant shall submit the signed application to his/her department chairman, or in the case of health related professionals to the appropriate Chief of Clinical Services.
- c. The department chairman (Chief of Clinical Service) shall, with respect to each application form, verify in writing on the form the accuracy of the information supplied by the applicant. In addition, the department chairman (Chief of Clinical Service) shall prepare a written recommendation concerning the applicant which shall include an evaluation of the applicant's abilities to carry out staff responsibilities and a specific delineation of proposed privileges. The department chairman's (Chief's of Clinical Service) recommendation shall become a part of the application.
- d. The department chairman (Chief of Clinical Service) shall forward the application to the Associate Dean for Clinical Affairs who shall obtain or inspect any and all records, letters of recommendation or other materials deemed



pertinent. The Associate Dean for Clinical Affairs shall summarize the findings in the form of a recommendation including a delineation of clinical privileges which shall become a part of the application.

## SECTION 2. Appointment Process

- a. The Associate Dean for Clinical Affairs shall forward the completed application to the Clinical Board.
- b. The Clinical Board shall review the completed application and prepare its written recommendation to become a part of the application. All recommendations to appoint must also specifically recommend the clinical privileges to be granted, which may be qualified by probationary conditions relating to such clinical privileges.
- c. The Clinical Board shall forward its recommendation through the Hospital Executive Director to the Council of Supervisors.
- d. At its next regular meeting, following receipt of the application from the Hospital Executive Director, the Council of Supervisors shall act upon the application.
  - i. If the Council of Supervisors approves the application as submitted by the Hospital Executive Director, including the recommended delineation of clinical privileges, it shall return the application to the Associate Dean for Clinical Affairs who shall notify the applicant in writing.
  - ii. If the Council of Supervisors approves the application as submitted by the Hospital Executive Director, but grants clinical privileges differing from those recommended, it shall return the application to the Associate Dean for Clinical Affairs who shall notify the applicant in writing.
  - iii. If the Council of Supervisors disapproves the application as submitted by the Hospital Executive Director, it shall return the application to the

Associate Dean for Clinical Affairs who shall notify the applicant in writing.

- e. Upon receipt of the action of the Council of Supervisors on any application, the Associate Dean for Clinical Affairs shall send written notice to the Hospital Executive Director, the Clinical Board and the chairman of the department concerned (Chief of Clinical Service).
- f. All actions of the Council of Supervisors shall be effective when taken, including the delineation of clinical privileges.

### SECTION 3. Reappointment Process

- a. All applications for reappointment shall be submitted by the applicant by ~~January 1~~ March 31 of each year in which the reappointment is sought on a form prepared by the Associate Dean for Clinical Affairs. The reappointment form shall be supplemental to the original application for appointment or the most recent application for reappointment, and the applicant shall supply any information about himself/herself which is additional to that furnished on the original application form or that furnished on his/her most recent reappointment application. All application for reappointment forms shall contain the acknowledgments, authorizations and releases set forth in Article V, Section 1.a. herein. Any request for a change in clinical privileges shall be included by the applicant on the reappointment form. In addition, information regarding continuing education activities in which the applicant has participated since the last application shall accompany the reappointment application.
- b. Upon completion of the reappointment application, the applicant shall submit it to his/her department chairman, or in the case of health related professionals, the appropriate Chief of Clinical Service. The department chairman (Chief of Clinical Service) shall, with respect to each application form, verify in writing on the form the accuracy of the information supplied by the applicant. In addition, the department chairman (Chief of Clinical Service) shall prepare a written recommendation concerning the applicant which shall include an evaluation of the applicant's abilities to carry out staff

responsibilities and a specific delineation of proposed privileges. The department chairman's (Chief's of Clinical Service) recommendation shall become a part of the application.

- c. The department chairman (Chief of Clinical Service) shall forward the application to the Associate Dean for Clinical Affairs who shall obtain or inspect any and all records, letters of recommendation or other materials deemed pertinent. The Associate Dean for Clinical Affairs shall summarize the findings in the form of a recommendation including a delineation of clinical privileges which shall become a part of the application.
- d. The Associate Dean for Clinical Affairs shall forward the completed application to the Clinical Board.
- e. The Clinical Board shall review the completed application and prepare its written recommendation to become a part of the application. All recommendations to appoint must also specifically recommend the clinical privileges to be granted, which may be qualified by probationary conditions relating to such clinical privileges.
- f. The Clinical Board shall forward its recommendation through the Hospital Executive Director to the Council of Supervisors.
- g. At its next regular meeting, following receipt of the application from the Hospital Executive Director, the Council of Supervisors shall act upon the application.
  - i. If the Council of Supervisors approves the application as submitted by the Hospital Executive Director, including the recommended delineation of clinical privileges, it shall return the application to the Associate Dean for Clinical Affairs who shall notify the applicant in writing.
  - ii. If the Council of Supervisors approves the application as submitted by the Hospital Executive Director, but grants clinical privileges different from those recommended, it shall return the application to the Associate Dean for

Clinical Affairs who shall notify the applicant in writing.

- iii. If the Council of Supervisors disapproves the application as submitted by the Hospital Executive Director, it shall return the application to the Associate Dean for Clinical Affairs who shall notify the applicant in writing.
- h. Upon receipt of the action of the Council of Supervisors on any application, the Associate Dean for Clinical Affairs shall send written notice to the Hospital Executive Director, the Clinical Board and the chairman of the department concerned (Chief of Clinical Service).
- i. All actions of the Council of Supervisors shall be effective when taken, including the delineation of clinical privileges.

## ARTICLE VI

### CLINICAL PRIVILEGES

#### SECTION 1. Delineation of Privileges in General

- a. Each applicant for appointment or reappointment to the Medical Staff must request the specific clinical privileges desired by said applicant. All applications for clinical privileges, including modifications to existing privileges, must be supported by documentation of training and/or experience.
- b. Each member of the Medical Staff shall exercise only those clinical privileges specifically granted to him/her by the Council of Supervisors.
- c. Surgical procedures performed by dentists shall be under the overall supervision of the chief of surgery. A physician member of the Medical Staff shall be responsible for the treatment of any medical problem that may be present in a patient at the time of admission to the hospital or that may arise during the hospitalization of the patient.
- d. A member of a health related profession may exercise only those clinical privileges specifically granted by the Council of Supervisors and may, subject to any licensure requirements or

other legal limitations, exercise independent judgment within the areas of his/her professional competence. A member of a health related profession shall be directly responsible to the relevant Chief of Clinical Service and must be supervised during patient treatment by the physician or dentist attending the patient.

- e. Medical Staff members desiring joint appointments shall have their requests to perform specific patient services evaluated by the department(s) and specialty division(s) within which the services of the Medical Staff member will be provided.

## SECTION 2. Temporary Privileges

- a. Upon receipt of an application for appointment to the Medical Staff from a physician, dentist or a member of a related health profession licensed to practice in the Commonwealth of Kentucky, the Associate Dean for Clinical Affairs may, upon the basis of:

- i. the information he/she gathers or reviews;
- ii. information provided by the applicant and his/her department chairman (Chief of Clinical Service);
- iii. recommendation of the applicant's department chairman (Chief of Clinical Service) approving the application,

grant temporary privileges to the applicant; but in exercising such temporary privileges, the applicant shall act under the general supervision of the chairman (Chief of Clinical Service) of the department to which he/she is assigned. The Associate Dean for Clinical Affairs shall forward the application in accordance with Article V, Section ~~1~~ 2 and shall notify, in writing, the Hospital Executive Director and the applicant of the specific temporary privileges granted and their duration.

- b.. Temporary privileges for the care of a specific patient may be granted to a physician or dentist licensed to practice in the Commonwealth of Kentucky who is not an applicant for membership to the Medical Staff, by the Associate Dean for

Clinical Affairs. Such temporary privileges may be granted only after the Associate Dean has received from the physician or dentist his/her signed acknowledgment that he/she received and read copies of the Medical Staff's bylaws, rules and regulations and that he/she agrees to be bound by the terms thereof in all matters relating to his/her temporary privileges. Such temporary privileges shall be restricted to the treatment of not more than ten (10) patients in any one year by any physician or dentist, after which such physician or dentist shall be required to apply for membership on the Medical Staff before being allowed to attend additional patients.

- c. Special requirements of supervision and reporting may be imposed by the department chairman (Chief of Clinical Service) on any physician or dentist granted temporary privileges.

### SECTION 3. Emergency Privileges

In the case of an emergency (i.e., a condition in which serious permanent harm would result to a patient or in which the life of a patient is in immediate danger and any delay in administering treatment would add to that danger), any physician or dentist to the degree permitted by his/her license and regardless of department or staff status or lack of it, shall be permitted and assisted to do everything possible to prevent serious permanent harm or to save the life of the patient, using every facility of the Hospital necessary, including calling for any consultation necessary or desirable. When an emergency situation no longer exists, such physician or dentist must request the privileges necessary to continue to treat the patient. In the event such privileges are denied or the physician or dentist does not desire to request privileges, the patient shall be assigned to an appropriate member of the Medical Staff.

## ARTICLE VII

### CORRECTIVE ACTION

#### SECTION 1. Types of Corrective Action

- a. The following forms of corrective action are available to be taken against any member of the Medical Staff:
  - 1. oral warning to Medical Staff member;

2. informal written reprimand to Medical Staff member;
3. formal written reprimand to Medical Staff member with notice to his/her immediate superior;
4. summary suspension of privileges;
5. automatic suspension of privileges;
6. reduction of privileges;
7. revocation of privileges.

## SECTION 2. Procedures for Corrective Action

### a. Grounds for Corrective Action

Whenever any member of the Medical Staff conducts himself/herself or his/her activities in a manner which is either at variance with these Bylaws and Rules and Regulations or considered lower than the standard or aims of the Medical Staff or disruptive of the operations of the Hospital, or which is unprofessional or unethical, corrective action may be requested by any active staff member, the chairman of any clinical department, the Chief of any Clinical Service, the chairman of any standing committee of the Medical Staff, the Dean of the College of Medicine, the Hospital Executive Director, the Vice President Chancellor for the Medical Center, the Chairman of the Council of Supervisors, the President or the Board of Trustees. No corrective action except summary or automatic suspension may be taken until a request for corrective action has been made and acted upon. All requests for corrective action shall be in writing, shall be supported by reference to the specific activities or conduct which constitute the grounds for the request, and shall be made to the Associate Dean for Clinical Affairs.

### b. Medical Staff Hearing Privileges

The Medical Staff member on being informed of a proposed corrective action shall be entitled to a hearing by the Clinical Board as defined in Article VII, Section 2.f. Failure of the practitioner to request a hearing within seven calendar days of notification of proposed corrective action shall be deemed waiver of his/her right to such hearing on the matter. Any request for a hearing before the Clinical Board shall be made to the Associate Dean for Clinical Affairs in writing.

c. Summary Suspension

Whenever action must be taken immediately in the interests of patient care in the hospital, the Associate Dean for Clinical Affairs, the chairman (Chief) of the clinical department (Service), or the Hospital Executive Director shall have the authority to suspend all or any portion of the privileges of a Medical Staff member. Such summary suspension shall be effective immediately upon imposition. Notification may first be made orally to the Medical Staff member, but must be made in writing to the Medical Staff member, the relevant department chairman (Clinical Chief), the Associate Dean for Clinical Affairs and the Hospital Executive Director as soon as practicable after the imposition of the summary suspension. The party who has imposed it shall prepare a written request for corrective action in the manner set forth in Article VII, Section 2.a. A summary suspension, due to the emergency nature of same shall not be abated during the pendency of action upon the practitioner's request for a hearing unless the Associate Dean for Clinical Affairs terminates or modifies the suspension pursuant to Article VII, Section 2.e.

d. Automatic Suspension

Automatic suspension may be imposed upon a Medical Staff member by the Associate Dean for Clinical Affairs whenever:

1. The Medical Staff member, after receipt of a warning of delinquency for failure to complete medical records within 30 15 days of patient's discharge (See Part 2, Rules and Regulations, Medical Records) fails to complete the records. Such automatic suspension shall be temporary and effective until the records are complete and need not be followed by a written request for corrective action. Notification of the automatic suspension must be made in writing to the Medical Staff member, the relevant department chairman (Chief of Clinical Service) and the Hospital Executive Director
2. The State Board of Medical Examiners, the State Board of Dentistry or other state licensing agency revokes or suspends a Medical Staff member's license to practice or



places such Medical Staff member on probation. Such automatic suspension shall be effective at least until the Medical Staff member regains a full and unrestricted license to practice his/her profession. Notification of the automatic suspension must be made in writing to the Medical Staff member, the relevant department chairman (Chief of Clinical Service) and the Hospital Executive Director. In addition, the Associate Dean for Clinical Affairs shall, as soon as practicable after imposing the automatic suspension, prepare a written request in the manner set forth in Article VII, Section 2.a., and forward it to the Clinical Board.

3. The Medical Staff member's faculty or employment status at the University is terminated. The Medical Staff member shall notify the Associate Dean for Clinical Affairs whenever said Medical Staff member's faculty or employment status is terminated. In the case of a full-time faculty member changing to a voluntary or part-time faculty member, his/her Medical Staff membership shall automatically change from active to associate, and the Associate Dean for Clinical Affairs shall notify the relevant department chairman (Chief of Clinical Service), the relevant Dean, the Hospital Executive Director and the Vice President Chancellor.

e. Other Corrective Actions by Associate Dean for Clinical Affairs

Upon receipt of a request for corrective action, under circumstances not requiring summary or automatic suspension, the Associate Dean for Clinical Affairs may meet together with the party requesting the corrective action and the Medical Staff member against whom action is requested to attempt to mediate the request. The Associate Dean for Clinical Affairs may waive this discussion and immediately forward the request to the Clinical Board. Following the mediation discussion, the Associate Dean for Clinical Affairs may:

1. deliver an oral warning to the Medical Staff member;
2. deliver an informal written reprimand to the Medical Staff member;

3. deliver a formal written reprimand to the Medical Staff member with notice to the member's immediate superior;
4. terminate or modify a summary or automatic suspension.

f. Hearing Procedures

The Chairman of Clinical Board shall within fifteen (15) days of receipt of a request for corrective action from or through the Associate Dean for Clinical Affairs:

1. require of the Medical Staff member his/her written response to the request for corrective action. Failure to provide such response within seven days shall be deemed a waiver of his/her rights of participation in the hearing.
2. appoint three members of the Board to investigate the activities and conduct of the staff member. This committee shall prepare and forward to the full Board a written report of their findings within fifteen (15) days of their appointment.

If the staff member has not requested a hearing, the full Clinical Board shall review the findings of the Committee and shall determine whether to act upon the request for corrective action, the response, and the findings, or to hold a hearing. If the staff member has requested a hearing such shall be called within thirty (30) days of the receipt of the original request. The following procedures shall be observed in any hearing held, either requested or Board called:

1. the hearing shall be closed,
2. the Medical Staff member against whom corrective action has been requested may be present and may be represented by counsel,
3. the party requesting corrective action may be represented by counsel,
4. the Clinical Board may have an attorney sit with the Board as a hearing officer to make all decisions on evidence and procedures, but not to vote on the substantive merits of the request,
5. the Medical Staff member, the party requesting corrective action, and the Board's investigating committee may call witnesses and introduce evidence,

6. witnesses shall be separated and allowed into the hearing only when called to testify, after testimony they shall leave the hearing,
7. a stenographic transcript of the hearing shall be made at the expense of the Clinical Board.

Following the hearing, if one is held, the Clinical Board shall confer together in private with the hearing officer to determine what action shall be taken. The Clinical Board may impose any of the corrective actions set forth in Article VII, Section 1.a. and shall notify within seven days of the completion of the hearing the Medical Staff member in writing by certified mail, return receipt requested, with copies of such notification being sent to the relevant department chairman (Chief of Clinical Service), the Dean of the College of Medicine, the Associate Dean for Clinical Affairs, the Hospital Executive Director and the Vice President Chancellor.

#### ARTICLE VIII

##### CRITERIA FOR RECOMMENDATIONS FOR APPOINTMENT AND REAPPOINTMENT TO MEDICAL STAFF AND RECONSIDERATION

##### SECTION 1. Criteria for Appointment and Reappointment to Medical Staff

- a. Whenever a department chairman (Chief of Clinical Service), Associate Dean for Clinical Affairs, the Clinical Board, or the Council of Supervisors is or are called upon to review an application for appointment or reappointment to the Medical Staff and to make a recommendation or to take action concerning the application, the applicant shall be evaluated on the basis of the applicant's education, training, experience, demonstrated ability, current observed teaching ability, clinical performance, documented results of patient care audit, competence and clinical judgement in the treatment of patients, ethics, conduct, attendance at Medical Staff meetings, participation in staff affairs, compliance with Hospital Bylaws, compliance with Medical Staff Bylaws and Rules and Regulations, cooperation with Hospital personnel, use of Hospital facilities for his/her patients, faithfulness in assigned department duties, relations with other practitioners, general attitude toward patients, mental and physical abilities to carry out staff

responsibilities and any other areas of concern relevant to the practice of his/her profession.

## SECTION 2. Reconsideration

- a. Whenever an applicant for appointment or reappointment receives notice that his/her application for staff membership has been rejected or deferred at any level or that the clinical privileges delineated by the Council of Supervisors are at variance with those requested by the applicant, the applicant may request reconsideration of his/her application by the Joint Conference Committee an ad hoc committee consisting of these members each from the Clinical Board, the Council of Supervisors and the active medical staff with members appointed by the respective Chairman of the Council of Supervisors and the Dean of the College of Medicine, requesting to be heard in his/her own behalf, but without being represented by counsel.
- b. The Joint Conference Committee ad hoc committee shall reconsider the application and shall notify in writing the applicant and the decision making person or body of its recommended action which may be one of the following:
  1. approval of the application for staff membership,
  2. rejection of the application for staff membership,
  3. further processing of a deferred application for staff membership,
  4. continued deferral of an application for staff membership,
  5. granting of all or part of the privileges requested by the applicant, or
  6. denial of all or part of the privileges requested by the applicant.
- c. Upon receipt of the reconsidered recommendation of the Joint Conference Committee ad hoc committee, the decision making body or person shall act upon the application and recommendation and such action shall be final.

## ARTICLE IX: ASSOCIATE DEAN FOR CLINICAL AFFAIRS

### SECTION 1. Qualifications and Selection

The Associate Dean for Clinical Affairs shall be a member of the active Medical Staff who shall be nominated by the Dean of the College of Medicine of the University of Kentucky, approved by the Clinical Board, and appointed by the Board of Trustees according to University Governing Regulations.

### SECTION 2. Term of Office

The Dean and the Clinical Board shall periodically review the duties, activities, accomplishments and appointment of the Associate Dean for Clinical Affairs. Such review shall be completed at least every four years. Reappointment, if deemed appropriate, shall be carried out as defined in Section 1 of this Article. There shall be no limit to the number of terms an individual may serve. Changes in duties shall be carried out as defined in Article XVI.

### SECTION 3. Vacancies in Office

- a. In the event the Associate Dean should be temporarily unable to fulfill the duties of the office, the duties shall be assumed by the Dean or an appointee.
- b. In the event the position becomes permanently vacant, an Acting Associate Dean shall be nominated by the Dean of the College of Medicine of the University of Kentucky recommended by the President and appointed by the Board of Trustees. Within one year from the time the post becomes vacant, a permanent appointment shall be made or a written review of the reason why an acting appointment must be continued for an additional period shall be made to the Clinical Board and the Board of Trustees.

### SECTION 4. Duties of the Associate Dean for Clinical Affairs

The Associate Dean for Clinical Affairs shall serve as the Chief Administrative Officer of the Medical Staff, and assume appropriate delegated authority from the Hospital Executive Director to: The Associate Dean for Clinical Affairs will:

- a. act in coordination and cooperation with the Hospital Executive Director in all matters of mutual concern within the Hospital;
- b. serve in the absence of the Dean as Chairman of the Clinical Board;
- c. call, be responsible for the agenda for all regular and special meetings of the Medical Staff and the Clinical Board;
- d. serve on the Joint Conference Committee;
- e-d. serve as ex-officio member of all other Medical Staff committees without vote;
- f-e. be responsible for the enforcement of Medical Staff Bylaws, and Rules and Regulations, for implementation of corrective action where these are indicated, and for the Medical Staff's compliance with procedural safeguards in all instances where corrective action has been requested against a practitioner;
- g-f. annually recommend to the Dean for appointment, committee chairmen and committee members to all standing, special and multi-disciplinary Medical Staff committees except the Clinical Board;
- h-g. represent the views, policies, needs and grievances of the Medical Staff to the Hospital Executive Director;
- i-h. be responsible for the continuing educational activities of the Medical Staff through the department chairmen;
- j-i. represent the views, policies, and needs of the Medical Staff to the Administration of the University of Kentucky Medical Center; and be the spokesman for the Medical Staff in its external professional and public relations;
- k-j. In cooperation with the office of the Hospital Director, maintain accurate up-to-date files on all staff members, which shall include all application and reapplication forms, recommendations, evaluations and any other information pertinent to the medical staff members functions and membership status.

## ARTICLE X: ORGANIZATIONAL STRUCTURE

### SECTION 1. Departmental Organization

The direct patient care segment of the University Hospital administrative organization shall be structured parallel to the major clinical activities of the Albert B. Chandler Medical Center.

The Clinical Departments shall be as follows:

|                           |                                |
|---------------------------|--------------------------------|
| Anesthesiology            | Pathology                      |
| Dentistry                 | Pediatrics                     |
| <u>Emergency Medicine</u> | <u>Physical Medicine &amp;</u> |
| Family Practice           | <u>Rehabilitation</u>          |
| Medicine                  | Psychiatry                     |
| Neurology                 | Diagnostic Radiology           |
| Obstetrics - Gynecology   | Radiation Medicine             |
| Ophthalmology             | Surgery                        |

### SECTION 2. Chiefs of Services

Chiefs of the Clinical Services within the University Hospital shall usually be those appointed as Chairmen of the respective departments, and/or divisions, in the Colleges of Medicine and Dentistry with concurrence of the Clinical Board, upon recommendation of the President and upon concurrence of the Board of Trustees. In unusual circumstances, an individual may be made chief of a clinical service who is not a department or division chairman. Such appointments shall be made by the deans of the specific colleges, subject to approval by the Hospital Clinical Board, the Council of Supervisors and the Board of Trustees of the University of Kentucky in accordance with the Governing Regulations. The chiefs of the various services shall have corporate responsibility for the care and treatment of the patients in their respective departments and heads of divisions shall be responsible to the chiefs of services for the care and treatment of patients in the divisions.

### SECTION 3. Hospital Clinical Board

There shall be established a Hospital Clinical Board for the purpose of correlating the activities of the Medical Staff and its committees which, in turn, are charged with the supervision and maintenance of excellent patient care as well as with other factors specifically designated. The function of the Hospital Clinical Board shall be to:

- a. make recommendations, through regular administrative channels, to the Council of Supervisors regarding modification in medical policy and programs;
- b. provide general guidance and assistance to the Medical Staff;
- c. review and evaluate the quality of patient care;
- d. review and approve applications and recommend to the governing body for staff membership, to include definition of privileges and reappointment to the Medical Staff; and to the related health profession staff;
- e. assist with the creation and maintenance of good Medical Staff organization and management;
- f. transact the majority of the business of the Medical Staff so that general Medical Staff meetings can remain professionally oriented;
- g. act as an Executive Committee of the Medical Staff;
- h. ensure that the Medical Staff is kept abreast of the accreditation program and is informed of the accreditation status of the Hospital.

The Hospital Clinical Board shall consist of the Chiefs of the Clinical Services as described in Definition 4 5, the Chairman of the Department of Community Preventive and Occupational Medicine, the Dean and Associate Dean for Clinical Affairs of the College of Medicine, and the Hospital Executive Director. The Deans of all the Colleges of the Medical Center, the Medical Director of the Ambulatory Care Program, the Director of the University Student Health Service, the Associate Dean for Veterans Affairs, the Director of Nursing of University Hospital and the Vice President Chancellor for the Medical Center (or their respective designates) shall be ex-officio without vote.

The Dean of the College of Medicine shall serve as Chairman of the Hospital Clinical Board; the Hospital Executive Director as Secretary.

The Hospital Clinical Board shall meet on a monthly basis, at least ten times per year. Minutes of the Clinical Board shall be circulated after the review and approval to the active Medical Staff.



SECTION 4. Duties of the Chief of Service  
(Department Chairman)

Each Chief of Service shall:

- a. be accountable for all professional and administrative activities within his/her department;
- b. be a member of the Clinical Board, giving guidance on the overall medical policies of the Hospital and making specific recommendations and suggestions regarding his/her own department in order to assure quality patient care;
- c. maintain continuing peer review of the professional performance of all practitioners with clinical privileges in his/her department and report regularly thereon to the Clinical Board;
- d. appoint departmental committees to conduct the initial phase of patient care review required by these Bylaws;
- e. be responsible for enforcement of the Hospital Bylaws and the Medical Staff Bylaws and Rules and Regulations within his/her department;
- f. be responsible for implementation within his/her department of actions taken by the Clinical Board of the Medical Staff;
- g. transmit to the Associate Dean for Clinical Affairs his/her department's recommendations concerning the staff classification, the reappointment, and the delineation of clinical privileges for all practitioners in his/her department;
- h. be responsible for education and research programs in his/her department;
- i. participate in every phase of administration of his/her department through cooperation with the Nursing Service and the Hospital Administration in matters affecting patient care, including personnel, supplies, special regulations, standing orders and techniques;

- j. assist in the preparation of such annual reports, including budgetary planning, pertaining to his/her department as may be required by the Clinical Board, Associate Dean for Clinical Affairs, Hospital Executive Director, the Council of Supervisors or the Board of Trustees;
- k. may appoint an Associate Chief of Service who shall, in case of the Chief's of Service incapacity or absence from the community, assume the duties of Chief of Service. This Associate shall be identified to the Dean, the Associate Dean for Clinical Affairs and the Hospital Executive Director. The Associate Chief shall be the only acceptable alternate to attend Clinical Board meetings.

#### SECTION 5. Functions of Departments

- a. Each department shall establish its own rules and regulations regarding departmental function and patient care consistent with the overall policies of the Medical Staff.
- b. Each clinical department shall establish its own criteria, consistent with the policies of the Medical Staff, the Board of Trustees and the Council of Supervisors for the granting of clinical privileges and for the holding of office in the department.
- c. Each department shall establish a Medical Care Evaluation Committee responsible for conducting a primary retrospective review of completed records of discharged patients and other pertinent departmental sources of medical information relating to patient care for the purposes of selecting cases for presentation at the monthly departmental meetings that will contribute to the continuing education of every practitioner and to the process of developing criteria to assure optimal patient care. Such reviews shall be conducted monthly and should include a consideration of selected deaths, unimproved patients, patients with infections, complications, errors in diagnosis and treatment and such other instances as are believed to be important, such as patients currently in the Hospital with unsolved clinical problems.

- d. Each department or division shall meet separately, at least monthly, to review and analyze on a peer-group basis the clinical work of the department or division. Each surgical division of the Medical Staff shall also conduct a comprehensive tissue review for justification of all surgery performed whether tissue was removed or not and for the acceptability of the procedure chosen. Specific consideration shall be given to the agreement or disagreement of the preoperative and pathological diagnoses.
- e. A report shall be submitted within fifteen days of the monthly meeting to the Associate Dean for Clinical Affairs, detailing such departmental analyses of patient care.

#### ARTICLE XI: COMMITTEES

##### SECTION 1. Clinical Board

The Clinical Board shall be a standing committee. It shall be organized and carry the responsibilities as outlined in Article X: Section 3.

##### Section 2: Joint Conference Committee

###### a: Membership:

The Joint Conference Committee shall be a standing committee consisting of the Vice Chairman of the Council of Supervisors; the Hospital Executive Director; the Dean of the College of Medicine; one other Supervisor; the Associate Dean for Clinical Affairs; two members of the Hospital Clinical Board selected by the Clinical Board; two members of the Medical Staff elected by the Medical Staff and the Vice President for the Medical Center who shall serve as Chairman of the Committee.

The elected representatives of the Medical Staff and of the Clinical Board shall be elected for two year terms. One member shall be elected each year at the annual meeting of the Medical Staff and the June meeting of the Clinical Board for the Medical Staff and Clinical Board, respectively. A representative may succeed himself-herself.

b. Duties:

The Joint Conference Committee shall conduct itself as a forum for the discussion of matters of Hospital medical policy and practice, especially those pertaining to efficient and effective patient care, and shall provide medico-administrative liaison with the Council of Supervisors and the Hospital Executive Director. It shall also have the following specific duties:

- (1) Accreditation: The Committee shall be responsible for acquisition and maintenance of JCAH accreditation for which purpose it shall form a subcommittee that includes key Hospital personnel who are important in implementing the accreditation program. From time to time, it shall require that the Joint Commission's survey forms be used as a review method to estimate the accreditation status of the Hospital, and it should supervise a trial survey during the interim year between regular JCAH surveys for purposes of constructive self-criticism. It shall identify areas of suspected non-compliance with JCAH Standards and shall make recommendations to the Clinical Board and the Council of Supervisors for appropriate action and through regular administrative channels to the Board of Trustees.
- (2) Disaster Planning: The Committee shall be responsible for the development and maintenance of methods for the protection and care of hospital patients and others at the time of internal and external disasters. Specifically, it shall form subcommittees to:

adopt and periodically review a written plan to safeguard patients at the time of an internal disaster, particularly fire and shall assure that all key personnel rehearse fire drills at least two times a year, and

adopt and periodically review a written plan for the care, reception and evacuation of mass casualties and shall assure that such plan is coordinated with the inpatient and outpatient services of the Hospital, that it adequately reflects developments in the

Hospital community and the anticipated role of the Hospital in the event of disasters in nearby communities and that the plan is rehearsed by key personnel at least twice yearly.

- (3) Long-term Planning: The Committee shall be responsible in conjunction with members of the Medical Staff, the College of Medicine, and Hospital Administration for the annual development and updating of one, two, and five year plans for the University Hospital. They will communicate these plans to the Clinical Board and the Council of Supervisors and work with the two bodies to assure their acquisition and implementation.

SECTION 23. Medical Record Utilization Review Committee

a. Composition:

The Utilization Medical Record Review Committee shall consist of a Chairman and one representative from each of the departments: clinical services with admission privileges. Ex-officio members of the Committee shall include the Hospital Executive Director or his/her representative, the Chairman of the Medical Audit Committee, the Health Care Coordinator for PSRO Utilization Review, Director of the Emergency Room, a representative of nursing service, the Director of Medical Records, the Chief Resident of Medicine, the Chief Resident of Surgery and a Medical Record Administrator who shall serve as Secretary for the Committee. The Utilization Review Committee may utilize consultants from the department or from the Hospital as needed.

b. Duties:

- (1) Utilization Review Studies. The Utilization Review Committee shall conduct utilization review studies designed to evaluate the appropriateness of admissions to the Hospital, lengths of stay, discharge practices, use of medical and hospital resources and all related factors which may contribute to the effective utilization of Hospital and physician or dentist services. Specifically, it shall analyze how under-utilization and over-utilization of

each of the Hospital's services affects the quality of patient care provided in the Hospital, shall study patterns of care and obtain criteria relating to average or normal (usual) lengths of stay by specific disease categories and shall evaluate systems of utilization review employing such criteria. It shall also be interested in and work toward proper continuity of care upon discharge. The Committee shall communicate the results of its studies and other pertinent data to the Clinical Board through the Associate Dean for Clinical Affairs and shall make recommendations for the optimum utilization of Hospital resources and facilities commensurate with quality of patient care and safety.

- (2) Written Utilization Review Plan. It shall also formulate a written utilization review plan for the Hospital. Such plan, as approved by the Clinical Board with concurrence of the Council of Supervisors, must be in effect at all times and must include all of the following elements:
  - (a) the organization and composition of committee(s) which will be responsible for the utilization review function;
  - (b) frequency of meetings;
  - (c) the types of records to be kept;
  - (d) the method to be used in selecting cases on a sample or other basis;
  - (e) the definition of what constitutes the period of extended duration;
  - (f) the relationship of the utilization review plan to claims administered by a third party;
  - (g) arrangements for committee reports and their dissemination;
  - (h) responsibilities of the Hospital's Administrative Staff in support of utilization review.
- (3) Professional Services Review Plan. It shall be formulated in accordance with Federal and Kentucky Peer Review Organization Guidelines for Delegated Hospitals. Admission, concurrent and quality care reviews shall be carried on under these Guidelines.

- (4) Extended Duration Evaluation. At regular intervals the Committee shall evaluate the medical necessity of continued Hospital services for particular patients not involved in the ongoing federally mandated review. This review shall be carried out in a manner entirely similar to that dictated by the Professional Service Review Plan. Periodic retrospective review of particular extended stay patients will also be carried out.
- (5) Hospital Bed Utilization: On a yearly basis, the Committee shall evaluate the utilization of beds in relation to patient need, service bed assignment and the teaching requirement of the Medical Center. The Committee shall make periodic recommendations of assignment and utilization of beds to the Associate Dean for Clinical Affairs and the Clinical Board.

#### SECTION 4- Medical Records and Audit Committee

##### a- Responsibility:

The Audit Committee shall be responsible to the Clinical Board and Associate Dean for Clinical Affairs and shall see that there is an effectively functioning system for auditing the quality of care given in the University Hospital.

##### b- Duties:

- (5) Medical Record Review. The Committee shall be responsible for assuring that all medical records meet the highest standards of patient care usefulness and of historical validity. The Medical Staff representatives shall be specifically responsible for assuring that the medical records reflect realistic documentation of medical events. The Committee shall conduct monthly periodic review of currently maintained medical records to assure that they properly describe the condition and progress of the patient, the therapy provided, the results thereof, and the identification of responsibility for all actions taken, and that they are sufficiently complete at all times so as to meet the criterion of medical comprehension of the case in the event of transfer of physician or dentist responsibility for patient care. It shall also conduct a review of records of discharged patients to determine the promptness, pertinence, adequacy and completeness thereof.

c- Memberships

The Committee on Medical Record and Audit shall consist of a Chairman and one member from each clinical service with admitting privileges. The Hospital Executive Director or his/her representative and the Director of the Medical Record Department, a representative of Nursing Service shall serve ex-officio. The Medical Record Administrator shall serve as Secretary for the Committee. Other members may be invited to participate as the committee desires.

d-c. Function:

- (1) Meetings: The Committee shall meet at least ten times a year. Minutes of the meetings shall be transmitted to the Clinical Board, the Associate Dean for Clinical Affairs, and the Hospital Executive Director, and also those persons responsible for taking corrective action recommended by the Committee. When appropriate, copies may be sent to the Nursing Service, Laboratory, and other bodies.
- (2) The Committee shall send appropriate correspondence to those persons responsible



for corrective action(s) recommended by the Committee as a result of the medical record reviews.

- (2) Auditing quality of care in the Hospital is too large a task for this single committee. Therefore, each department shall be responsible for conducting its own audit. It is the responsibility of the committee member representing each department to see that regular and appropriate audits are conducted in his/her department. These peer review audits shall each contain the following elements:
- (a) criteria development
  - (b) description of the actual practice level
  - (c) evaluation of the comparison of a & b
  - (d) planned corrective action
  - (e) reassessment after a suitable period.
- (3) The Audit Committee shall receive all audit reports from each of the departments and shall analyze these reports. After completion of such review, the Committee shall report its judgement to the Clinical Board in cases where departmental audits are not considered adequate for review and information, reports on Hospital Quality Assurance topics as referred by the Hospital Quality Assurance Committee.
- (4) The Audit Committee itself shall be responsible for conducting audits (according to the same five-step process) for those aspects of care which cut across departmental lines.
- (5) Once a year the Audit Committee shall prepare a formal report showing the criteria for care developed by all departments and committees, the reports of all audits performed during that time period, its own analysis of the level of care given in the institution during the previous twelve months and any suggestions it has for improvement.

e. Review:

- (1) This Committee will serve as a review board on decisions made by the various departmental audit committees.

- (2) In turn, appeals from decisions of the Audit Committee shall be brought before the Clinical Board.

### SECTION 53. Pharmacy and Therapeutics Committee

#### a. Composition:

Membership shall consist of a chairman and at least four representatives of the Medical Staff. Ex-officio members shall include representatives of the College of Pharmacy, a Hospital pharmacist, a member of the house staff, and a representative from Hospital Administration and from Nursing Service. The Hospital pharmacist shall act as Secretary for the Committee.

#### b. Duties:

This Committee shall be responsible for the development and surveillance of all drug utilization policies and practices within the Hospital in order to assure optimum clinical results and minimum potential for hazard. The Committee shall assist in the formulation of broad professional policies regarding the evaluation, appraisal, selection, procurement, storage, distribution, use, safety procedures and all other matters relating to drugs in the Hospital. It shall also perform In the course of performing the following specific functions, the Committee shall include an investigation and analysis of the costs of drugs being considered and shall make its recommendations considering the cost effectiveness of given drugs with the goal of providing effective therapy while controlling costs:

- serve as an advisory to the Hospital Medical Staff and the pharmacists on matters pertaining to the choice of available drugs;
- make recommendations concerning drugs to be stocked on the nursing floors and by other services;
- develop and review periodically a formulary or drug list for use in the Hospital;
- prevent unnecessary duplication in stocking drugs and drugs in combination having identical amounts of the same therapeutic ingredients;
- evaluate clinical data concerning new drugs or preparations requested for use in the Hospital;

- to act on recommendations from the department chairmen as to the addition or deletion of drugs from the formulary; any recommendation from a member of the staff concerning new drug additions or deletions must be forwarded through his/her department chairman;
- establish standards concerning the use and control of investigational drugs and of research in the use of recognized drugs;
- maintain liaison with the Medical Center Human Investigation Subcommittee.

c. Meetings:

This Committee should meet at least quarterly and send quarterly reports to the Associate Dean and Clinical Board regarding its activities.

SECTION 64. Infection Control Committee

a. Composition:

This Committee shall consist of a chairman, the Hospital epidemiologist and at least three representatives from the clinical departments including Pathology. At least one representative from Nursing Service and from Hospital Administration shall serve as ex-officio members.

b. Duties:

The Infection Control Committee shall be responsible for the surveillance of Hospital infection potentials, the review and analysis of actual infections, the retrospective and the concurrent review of the use of antibiotics and their effectiveness, the promotion of a preventative and corrective program designed to minimize infection hazards and the supervision of infection control in all phases of the Hospital's activities including:

- operating rooms, delivery rooms, recovery rooms, special care units;
- sterilization procedures by heat; chemicals or otherwise;
- isolation procedures;
- prevention of cross-infection by anesthesia apparatus or inhalation therapy equipment;
- testing of hospital personnel for carrier status;
- disposal of infectious material;

- other situations as requested by the Clinical Board.

c. Meetings:

This Committee shall meet at least ten times a year, shall maintain a record of its proceedings and activities and shall report quarterly to the Associate Dean for Clinical Affairs and Clinical Board.

SECTION 75. House Staff Committee

a. Composition:

This Committee shall consist of at least five members of the Medical Staff who supervise resident training programs.

b. Duties:

The Committee shall act as an advisory committee to the Associate Dean for Clinical Affairs and Clinical Board in the selection of house staff, to assume responsibility for the organization, supervision and evaluation of the plan of instruction of house staff and to assist the Associate Dean and Hospital Administration in matters of house staff government and discipline.

c. Meetings:

The Committee shall meet at least quarterly, shall be chaired by the Associate Dean and shall report to the Clinical Board.

SECTION 86. Laboratory Advisory and Transfusion Committee

a. Composition:

This Committee shall consist of the Director of the Clinical Laboratories, who shall act as Chairman, and representatives of the Departments of Anesthesiology, OB/GYN, Medicine, Pediatrics, Surgery and other departments that are major users of the Blood Bank and Laboratory. The representative of Hospital Administration in charge of the Clinical Laboratories shall serve ex-officio on the Committee.

b. Duties:

This Committee shall concern itself with the adequacy, quality and safety of the supply of blood and blood components used in the Hospital. It shall maintain continuing review of the records of transfusions and make recommendations to the Clinical Board concerning policy in such practices. The Committee shall require the preparation of records of blood disease and general use of blood and blood products. Review and advise on all problems of relationship between Central Clinical Laboratories and Clinical Services. It shall advise on the scope of services to be provided, especially new procedures, which should be incorporated into the laboratory services and those which should be discontinued.

c. Meetings:

The Committee shall meet at least quarterly.

SECTION 97. Standardization and Equipment Committee

a. Composition:

This Committee shall consist of a chairman and ~~five~~ three members of the active Medical Staff. Representatives of the Director of Pharmacy Central Supply, the Director of a representative of the Nursing Services, and the Hospital Director, and the Director of the Equipment Services and two representative of the housestaff shall be ex-officio members of this Committee.

b. Duties:

This Committee shall:

- (1) to make recommendations regarding the purchase of medical equipment and supplies that best serve the needs of patients and Medical Staff within reasonable fiscal limits;
- (2) to make recommendations concerning the standardization of equipment and supplies to best serve the needs of the Medical Staff where standardization is feasible and financially sound; and

- (3) appoint subcommittees as necessary to effectively perform these duties.

This Committee shall report its recommendations to the Associate Dean for Clinical Affairs, the Clinical Board and the Hospital Executive Director.

c. Meetings:

This Committee shall meet at least quarterly or more often as needed.

SECTION 108. Ambulant Services Committee

a. Composition:

In addition to physician or dentist membership from each of the services running operating any outpatient programs, the Medical Director of the Emergency Room, the Hospital Executive Director or his-her designee and Nursing Services a surgeon representing Neurosurgery, Orthopedics and Urology, and the Director of Professional Services shall be represented serve as voting members. The Director and Associate Director of Ambulatory Care shall be ex-officio members and the Director of Ambulatory Care shall serve as Secretary to the Committee. This Committee shall be chaired by the Associate Dean for Clinical Affairs or a member of the University medical faculty designated by him. appointed by the Associate Dean for Clinical Affairs.

b. Function:

The Ambulant Services Committee shall:

- coordinate the activities of the Ambulatory Care Departments; outpatient clinics and the emergency room;
- assure the availability of ancillary Medical Center services to ambulant patients as indicated;
- maintain close contact with the coordinate Medical Record Department concerning ambulant services activities and functions for the Ambulatory Care Program;
- assist the clinical and ancillary services in the development of new or expanded ambulatory ambulant care;

- study problems which arise in ambulant Ambulatory Care and make appropriate recommendations;
- coordinate and monitor the patient care quality assurance activities of the Ambulatory Care Program.

c. Meetings:

The Committee shall meet at least ten times a year and report to the Associate Dean for Clinical Affairs and the Clinical Board.

SECTION ~~11~~9. Sterilization and Therapeutic Abortions Committee

a. Composition:

The Committee shall consist of the Chairman of the Department of Obstetrics and Gynecology who shall chair the Committee and the Chairman of the Department of Urology plus one active staff obstetrician-gynecologist and one active staff urologist.

b. Duties:

It shall be the duty of this Committee to recommend appropriate rules and regulations concerning sterilization and abortion to the Associate Dean for Clinical Affairs and the Clinical Board.

SECTION ~~12~~10. Tissue Committee

a. Composition:

In addition to other physicians or dentists on the Committee, at least one member shall be appointed from the Department of Pathology. The Hospital Executive Director or his designee shall be represented.

b. Duties and Responsibilities:

- (1) assure that all tissue removed at a surgical procedure is sent to the Pathology Laboratory and that a report is submitted;
- (2) study periodically agreement or disagreement among preoperative, postoperative and pathologic diagnosis for the purposes of determining the justification for surgical

procedures undertaken in University Hospital, including those procedures where no tissue was removed.

c. Meetings:

The Committee shall meet at least monthly and shall report to the Associate Dean for Clinical Affairs and the Clinical Board.

SECTION ~~13~~11. Operating Room Committee

a. Composition:

The Operating Room Committee shall consist of a Chairman and one representative from General Surgery, Anesthesiology, Obstetrics/Gynecology, the surgical sub-specialties, Ophthalmology and Dentistry. The Nursing supervisor of the Operating Room and the representative of Hospital Administration in charge of the OR are ex-officio members.

b. Duties:

This Committee shall make recommendations concerning proper utilization of the operating rooms and recommend rules and regulations for the operating room areas to the Associate Dean for Clinical Affairs and the Clinical Board. The Committee shall supervise the proper utilization of the operating room and enforce adopted rules and regulations.

c. Meetings:

The Committee shall meet at least quarterly.

SECTION 12. Cancer Services Committee

a. Composition:

The Cancer Services Committee shall consist of the Director of the McDowell Cancer Center, who shall act as Chairman, and representatives from the clinical services rendering oncology care, and the Pathology Department, Nursing, Social Services, Medical Records, and Pharmacy.



b. Duties:

The Committee shall assure high quality care: institutional and professional communication and be responsible for the coordination of programs and activities in the Hospital, Cancer Center and Ambulatory Care. The Committee shall review and study programs, problems, facilities and staff and make reports and recommendations to the Clinical Board and Hospital Administration.

c. Meetings:

The Committee shall meet at least quarterly or more often as needed.

SECTION 1413. Committees for Special Services and/or Functions

As Hospital interests and services expand, the Medical Staff shall develop appropriate committees to direct or monitor and review and analyze these services on a regular basis. These may include, but are not limited to, committees for the following:

a. Patient Care Procedures:

Patient special or intensive care procedures committee(s) shall be responsible for the formulation, evaluation and improvement of all Hospital patient special or intensive care procedures.

b. Medical Center Affairs:

This Committee would address the cooperation and collaborative teaching research and care programs that are present and may be developed between the various colleges of the Medical Center.

c. Medical Staff Bylaws:

The Bylaws Committee shall be responsible for making recommendations relating to revisions to and updating of the Bylaws and Rules and Regulations of the Medical Staff.

ARTICLE XII  
MEDICAL STAFF MEETINGS

SECTION 1. Regular Meetings

- a. An annual staff meeting shall be held the third Wednesday for other suitable date in June or another suitable date. The agenda of such meeting shall include review of activity and accomplishments of the past year and plans for the coming year by the Associate Dean for Clinical Affairs, the Hospital Director, Director of Ambulatory Care, and the Dean of the College of Medicine. reports of review and evaluation of the work done in the clinical departments and the performance of the required Medical Staff functions by the Associate Dean for Clinical Affairs. The Dean of the College of Medicine shall provide a review of the Medical Center and College of Medicine plans and activities. The Hospital Executive Director shall review the Hospital fiscal and program performance for the year and plans and program for the coming year. Complex reviews and reports shall be provided in writing, prior to the meeting and only briefly reviewed at the meetings. Appropriate new and old business shall be transacted.
- b. At least one three other regular meetings shall be held during each fiscal year. on an approximate quarterly basis. These This meeting shall consist of brief reports by the Dean, the Hospital Executive Director, the Director of Ambulatory Care and the Associate Dean for Clinical Affairs. Any necessary business of the medical staff may be conducted. and a scientific presentation of general interest to the staff by one of the clinical departments.
- c. The agenda of either of the required meetings may be adjusted in order to provide opportunity for the staff to receive a scientific presentation or another pertinent presentation of general interest. In this event, reports which would have otherwise been made, shall be made available to staff by other means.

SECTION 2. Special Meetings

- a. The Dean or Associate Dean for Clinical Affairs may call a special meeting of the Medical Staff at any time. The Dean or Associate Dean for Clinical

Affairs shall call a special meeting within thirty days after receipt by him/her of a written request for same, signed by not less than one-fourth of the active staff and stating the purpose of such meeting. The Associate Dean for Clinical Affairs shall designate the time and place of any special meeting.

- b. Written or printed notice stating the purpose, the place, day and hour of any special meeting of the active Medical Staff shall be delivered, either personally or by mail, to each member of the active staff not less than seven nor more than thirty days before the date of such meeting, by or at the direction of the Associate Dean for Clinical Affairs (or other persons authorized to call the meeting).

### SECTION 3. Quorum

The presence of fifty percent of the total membership of the active Medical Staff at any regular or special meeting shall constitute a quorum for purposes of amendment of these Bylaws and Rules and Regulations and the presence of twenty percent of such membership, a quorum, for all other actions. If an appropriate quorum is not present, the Clinical Board shall, at its next meeting, act for the staff on all issues requiring vote.

### SECTION 4. Attendance Requirements

Each member of the active and associate Medical Staffs shall be required to attend no less than 50% of the regular meetings of the Medical Staff. A member who is compelled to be absent from any regular staff meeting shall promptly submit to the Associate Dean for Clinical Affairs in writing, his/her reason for such absence. Unless excused for cause by the Associate Dean, the failure to meet the foregoing annual attendance requirements shall be grounds for corrective action possibly leading to revocation of Medical Staff membership. Reinstatement of staff members whose membership has been revoked because of absence from staff meetings shall be made only upon application and all such applications shall be processed in the same manner as applications for original appointment.

## SECTION 5. Parliamentary Authority

All meetings of the Medical Staff shall be conducted according to Robert's Rules of Order, Revised, except in cases where such rules are inconsistent with these Bylaws.

## ARTICLE XIII COMMITTEE AND DEPARTMENT MEETINGS

### SECTION 1. Regular Meetings

Committees may, by resolution, provide the time for holding regular meetings without notice other than such resolution. Departments shall hold regular meetings at least ten times a year to review and evaluate the clinical work of practitioners with privileges in the department. Reports of all committee and departmental meetings shall be forwarded to the Associate Dean for Clinical Affairs within fifteen days of the meeting.

### SECTION 2. Special Meetings

A special meeting of any committee or department may be called by or at the request of the Chairman; by the Associate Dean for Clinical Affairs; or by one-third of the group's then members, but not fewer than two members.

### SECTION 3. Notice of Meetings

Written or oral notice stating the place, day and hour of any special meeting or of any regular meeting not held pursuant to resolution shall be given to each member of the committee or department not less than five days before the time of such meeting, by the person(s) calling the meeting.

### SECTION 4. Quorum

Thirty percent of the active Medical Staff members of a committee or department, but not fewer than two members, shall constitute a quorum at any meeting.

#### SECTION 5. Manner of Action

The action of a majority of the members present at a meeting at which a quorum is present shall be the action of a committee or department. Action may be taken without a meeting by unanimous consent in writing (setting forth the action so taken) signed by each member entitled to vote thereat.

#### SECTION 6. Rights of Ex-Officio Members

Persons serving under these Bylaws as ex-officio members of a committee shall have all rights and privileges of regular members except they shall not be counted in determining the existence of a quorum and they shall not be entitled to a vote.

#### SECTION 7. Minutes

Minutes of each regular and special meeting of a committee or department shall be prepared and shall include a record of the attendance of members and the vote taken on each matter. The minutes shall be signed by the presiding officer and copies thereof shall be promptly submitted to the attendees for approval and after such approval is obtained, forwarded to the Associate Dean for Clinical Affairs. Medical Staff Committee Minutes shall also be forwarded to the Clinical Board and Hospital Executive Director for appropriate review and action. Each committee and department shall maintain a permanent file of the minutes of each meeting.

#### SECTION 8. Clinico-Pathological Conferences

At least six clinico-pathological conferences with the cooperation of the Hospital pathologists must be held each year by each appropriate department and may be a part of the regular departmental meeting.

#### SECTION 9. Attendance Requirements

- a. Each member of the active or associate Medical Staff who is a full-time or part-time University of Kentucky faculty member shall be required to attend not less than fifty percent of all meetings of each department and committee of which he/she may be a member in each year. Any member of the active or associate Medical Staff who is compelled to be absent from a meeting of a department or committee of which he/she is a member shall submit

to the regular chairman thereof, in writing, the reason for such absence. The failure to meet the foregoing annual attendance requirements, unless excused by such chairman for good cause shown, shall be grounds for corrective action leading to removal from such department or committee and to revocation of Medical Staff membership in the same manner and to the same effect as provided in Article VII, Section 2 of these Bylaws. Committee and department chairmen shall report all such failures to attend to the Associate Dean for Clinical Affairs.

- b. The Honorary Medical Staff, the Research and Education Staff and Consulting Staff shall not be required to attend meetings. The Dental Staff shall be required to attend meetings. The Dental Staff shall be required to fulfill the attendance obligation of whatever staff category to which they are assigned. The house staff shall not be required to attend meetings except as specified for specific committees and specific house staff members, in these Bylaws.

#### ARTICLE XIV: IMMUNITY FROM LIABILITY

The following shall express conditions to any practitioner's application for, or exercise of, clinical privileges at this Hospital:

First, that any act, communication, report, recommendation, or disclosure, with respect to any such practitioner, performed or made in good faith and without malice and at the request of any authorized representative of this or any other health care facility, for the purpose of achieving and maintaining quality patient care in this or any other health care facility, shall be privileged to the fullest extent permitted by law.

Second, that such privilege shall extend to members of the Hospital's Medical Staff and of its governing body, its other practitioners, its executive officers and representatives and to third parties, who supply information to any of the foregoing authorized to receive, release, or act upon the same. For the purpose of this Article XIV, the term "Third Parties" means both individuals and organizations from whom information has been requested by an authorized representative of the governing body or of the Medical Staff.

Third, that there shall, to the fullest extent permitted by law, be absolute immunity from civil liability arising from any such act, communication, report, recommendation, or disclosure, even where the information involved would otherwise be deemed privileged.

Fourth, that such immunity shall apply to all acts, communications, reports, recommendations, or disclosures performed or made in connection with this or any other health care institution's activities related, but not limited to:

- applications for appointment or clinical privileges,
- periodic reappraisals for reappointment or clinical privileges,
- corrective action, including summary suspension,
- hearing and appellate reviews,
- medical care evaluations,
- utilization and PSRO reviews,
- other Hospital, departmental, service or committee activities related to quality patient care and inter-professional conduct.

Fifth, that the acts, communications, reports, recommendations and disclosures referred to in this Article XIV may relate to a practitioner's professional qualifications, clinical competency, character, mental or emotional stability, physical condition, ethics, or any other matter that might directly or indirectly have an effect on patient care.

Sixth, that in furtherance of the foregoing, each practitioner shall upon request of the Hospital execute releases in accordance with the tenor and import of this Article XIV in favor of the individuals and organizations specified in paragraph "second", subject to the requirements, of good faith and absence of malice.

Seventh, that the consents, authorizations, releases, rights, privileges and immunities provided by Article V, Section 1.a. of these Bylaws for the protection of this Hospital's practitioners, other appropriate Hospital officials and personnel and third parties, in connection with applications for initial appointment, shall also be fully applicable to the activities and procedures covered by this Article XIV.

#### ARTICLE XV: RULES AND REGULATIONS

The Medical Staff shall adopt such rules and regulations as may be necessary to implement more specifically the general principles found within these Bylaws, subject to the approval of the Council of Supervisors. These shall relate to the proper conduct of Medical Staff organizational activities as well as embody the level of practice that is to be required of each practitioner in the Hospital. Such rules and regulations shall be a part of these Bylaws, except that they may be amended or repealed at any regular meeting of the Clinical Board at which a quorum is present and without previous notice or at any special meeting on notice by a two-thirds vote of those present of the active Medical Staff. Such changes shall become effective when approved by the Council of Supervisors.

#### ARTICLE XVI: AMENDMENTS

These Bylaws may be amended after submission of the proposed amendment at any regular or special meeting of the Clinical Board. A proposed amendment shall be referred to a special committee which shall report on it at the next regular meeting of the Clinical Board or at a special meeting called for such purpose. To be adopted, an amendment shall require a two-thirds vote of total Clinical Board members. Amendments so made shall be effective when approved by the Council of Supervisors and the Board of Trustees.

#### ARTICLE XVII: ADOPTION

These Bylaws together with the appended Rules and Regulations, shall be adopted at any regular or special meeting of the Clinical Board, shall replace any previous Bylaws and Rules and Regulations and shall become effective when approved by the Council of Supervisors of the Hospital and the Board of Trustees.

These Medical Staff Bylaws, Rules and Regulations were approved by the University of Kentucky Board of Trustees on April 4, 1978.

Amendments approved by the Hospital Clinical Board on July 22, 1980, by the Council of Supervisors on August 19, 1980, and by the Board of Trustees on October 14, 1980.



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Office of the President  
June 21, 1983

PR 6C

Members, Board of Trustees:

Approval of Agreement Between University of Kentucky and  
Kentucky Medical Services Foundation

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978.

Background: The Board action on June 20, 1978 provided that:

"The President is authorized to negotiate and execute a contract subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan."

Kentucky Medical Services Foundation, Inc. meets the "criteria" specified under Section III A for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20 Board resolution and specifically satisfied the "conditions" set forth in Section III B.

The terms of the attached document are essentially the same as those in the agreement approved by the Board on June 22, 1982. The document has been updated to reflect dates in the new contract period, revise the dollar amounts due the University under Section 5, C and Section 18A, provide some further evaluation of actual annual costs in Section 5D, and clarification of the intent of Section 9C. The dollar amounts have been revised to reflect the increased costs for 1983-84. The amount for professional liability insurance has been increased from \$142,200 to \$271,000, of which \$137,000 will be retained in a separate account in KMSF with the balance of \$134,000 paid to the University, and the amount for expenses attendant to the production of clinical income has been increased from \$1,812,000 to \$1,931,251.

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Action taken: Approved   x   Disapproved            Other           

Date:   June 21  , 1983

THIS AGREEMENT made and entered into this \_\_\_\_ day of \_\_\_\_\_, 1983 by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as the Foundation).

WITNESSETH:

Section 1. RECITALS

A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

B. A substantial number of University's faculty in its College of Medicine have heretofore executed agreements with Foundation, known as "Practice Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.

C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members who produce income are permitted, through the medium of the Foundation, to exercise a larger voice in the expenditure of the funds so produced.

E. The University has heretofore established, in addition to its previously adopted Physicians Service Plan, a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978; said action is hereby incorporated by reference as is set out in full herein.

F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

## Section 2. DEFINITIONS

A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University dated June 20, 1978.

B. "Clinical Income" means (1) all payments for professional services rendered to or with respect to patients whether denominated as diagnosis, treatment, evaluation, or consultation and whether said payments be characterized as fees, retainers, or otherwise, and (2) all payments whether direct or in kind, (excluding actual travel costs) for oversight or administration of, or consultation with, facilities, programs, or other operations providing care, services or evaluation relating to patients, and (3) witness fees or other payments relating to depositions or other evaluations in the capacity of an expert witness. Provided, however, that nothing contained herein shall be deemed to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice Agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center and the Foundation.

### Section 3. NATURE OF THE AGREEMENT

Pursuant to Paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under University's "geographic full-time medical services plan".

#### Section 4. BILLING AND COLLECTION

Foundation agrees that it will accept, pursuant to practice agreements, the assignment of all clinical income by participants in the plan and, in accordance with such assignment, agrees to bill the amount of duly established charges for professional services to parties determined to be responsible for payment of such charges, with the exception of the billing and collection of the professional component of combined charges for institutional (hospital and clinical) and professional services. University hereby agrees to bill and collect said professional component of combined charges. The determination of the amount collected which is attributable to professional components of such charges will be in accordance with the existing basis and method utilized by University for such determination.

#### Section 5. REIMBURSEMENT OF UNIVERSITY

A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.

B. To provide for said reimbursement, Foundation agrees that it will, on or before the first working day of each month in which reimbursable expenses are due as provided hereinafter, deposit the funds necessary to make such payments in a separate account maintained by it and that funds held in the said account will be invested only in accord with the provisions of Section 17 hereof.

C. Foundation agrees to pay to University, from said account, as reimbursement for the remainder of the expenses attendant to the production of clinical income, University's actual annual costs, as determined by joint evaluation of Foundation and University, the sum of One Million Nine Hundred Thirty One Thousand Two Hundred Fifty One (\$1,931,251.00) Dollars. This portion of the agreement will be revised and adjusted at such time as the new University Medical Plaza is occupied. Payments hereunder shall be in the following manner:

1. Twelve (12) monthly installments of One Hundred Sixty Thousand Nine Hundred Thirty-seven Dollars and 58 Cents (\$160,937.58) each on the last calendar day of each month beginning July 31, 1983. This portion of the agreement will be adjusted at the time of completion and mutual agreement of the joint evaluation specified in D. hereinbelow.

2. Upon determination of annual cost as specified in D. hereinbelow, direct cost savings in personnel and current expenses experienced through mutual management efforts of University and Foundation, will be deducted from the \$1,931,251.00 yearly reimbursement as adjusted and the monthly payments to University will be adjusted accordingly. Within 30 days of the end of each quarter, University Hospital and Foundation officials will reconcile the direct cost expenditures for clinic operations to determine if direct cost savings have been experienced. If it is determined that direct cost

savings will be experienced during the last quarter of the 1983-84 fiscal year, Foundation will hold an estimated amount in escrow from the last monthly (June 1984) payment until the final quarter reconciliation is made.

D. University and Foundation will jointly initiate an exhaustive and intensive effort to determine the actual cost to University attendant to the production of clinical income. It is expected this study will be completed by February 1, 1984.

E. In addition to the above, Foundation agrees that it will reimburse University for all "plan income", as that term is defined in paragraph II, C., 2 of the plan, paid to faculty by University.

#### Section 6. REIMBURSEMENT OF FOUNDATION

A. The parties recognize that University receives certain services from Foundation, for which it is due reimbursement as specified hereinbelow.

B. University agrees to pay to Foundation on behalf of members of the Department of Diagnostic Radiology for the provision of x-ray interpretation services to the University Student Health Service during the period July 1, 1983 - June 30, 1984 a sum not to exceed Thirteen Thousand (\$13,000.00) Dollars, provided however such sum will be renegotiated at such time as the x-ray unit of the University Medical Plaza commences operation.



C. University agrees to pay to Foundation on behalf of members of the Department of Surgery, for the harvesting of transplantable kidneys from appropriate cadavers, for University Hospital, the sum of One Thousand (\$1,000.00) dollars for each kidney harvested. In addition, University shall pay to faculty, of the Department of Anesthesiology, variable fees on account of services rendered in connection with said harvests. Provided, however, that the fee established for the said surgical procedure shall be reduced, and an equitable refund of past fees shall be made in the event Medicare establishes lower limits for such professional services.

D. In consideration of the furnishing of a computer prepared listing of Outpatient Diagnosis by Foundation to the Department of Patient Accounts of University Hospital on a monthly basis, University agrees to pay Foundation a fee of \$50.00 per listing, plus \$ .03 per transaction.

E. University agrees to pay to Foundation on behalf of members of the Department of Neurology, for provision of EEG interpretation services to the University Student Health Service, a fee of Forty Five (\$45.00) dollars for each such service rendered.

F. University will make payment to Foundation monthly, on the last calendar day of each month beginning July 31, 1983, for services as described in paragraphs B, C and D hereinabove.

Section 7. ACADEMIC ENRICHMENT FUND

A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, Foundation agrees that it will pay to The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (hereinafter Fund), an amount equal to three (3%) percent of the actual clinical income collected by said Foundation. The Fund will deposit said payments in an account maintained for the purpose of providing an academic enrichment fund to be used by the Dean of the College of Medicine in support of the programs of said College and for related purposes. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to the Fund within twenty-five (25) days after the close of each monthly accounting period.

B. Foundation further agrees that it will deposit, in an account maintained by Foundation, on the same basis as provided in subsection A. hereinabove, an additional amount equal to seven (7%) percent of the actual clinical income collected by said Foundation, said funds to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes in his sole discretion.

Section 8. PARTICULAR CONVENANTS OF THE FOUNDATION

A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on June 19, 1978, have been inspected by the University as a condition precedent to University's entry into this agreement. Foundation covenants that it will not, absent the written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.

B. Foundation covenants that it will not engage in the practice of medicine, nor will it solicit, administer, receive, perform or accept any gift, grant, devise, bequest, contract or other arrangement with or from any governmental unit, entity, or subdivision or any person, corporation, partnership, association or other entity whatsoever except as specifically permitted herein. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.

C. Foundation covenants that it will not merge with any other corporation or convey any substantial portion of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as

follows: (a) all liabilities and obligations of the corporation shall be paid, satisfied and discharged, or adequate provisions shall be made therefore; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned, transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky, or its successor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality of the State of Kentucky or as an exempt organization under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, or scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended.

#### Section 9. MEMBERSHIP AND BENEFITS

A. University agrees that it will assist the Foundation to enforce the terms of the practice agreements entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.

B. University agrees that it will require, as a condition of employment as a member of University's faculty in a clinical department in which a majority of the faculty members have executed practice agreements, that new members of its faculty execute said practice agreement in form identical to said agreements heretofore executed or as the form of same may be modified by mutual agreement of the parties hereto from time to time.

C. Foundation agrees that it will not, absent the written consent of University, bill for, collect or administer any item of income for any person or entity not holding membership in the plan, nor will it permit persons not members to serve on its Board of Directors, nor will it provide any benefit or other thing of value to any person or entity not a member of the plan except for (1) termination benefits to former members as provided by action of Foundation's Board of Directors, (2) incidental benefits indirectly accruing to employees of the Medical Center by virtue of Foundation's activities, (3) students and House Staff of University, (4) payment to nurses incident to their recruitment to the University Medical Center or (5) education and technical training costs of persons involved in patient care activities.

D. Foundation agrees that it will strictly enforce the terms of its practice agreements, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.

Section 10. PERSONNEL

The parties recognize the Foundation must employ various individuals to carry out its functions hereunder; however, the parties also recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's Board of Trustees on June 20, 1978, particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary to permit said Foundation to bill and collect professional fee income and to administer its funds in accord with the terms of the geographic full-time plan. Such personnel will not be provided office space at the University Medical Center.

B. Other personnel

During the term of this contract Foundation agrees that it will not employ persons to perform services in University's patient care or college programs. Provided, however, that this provision shall not be construed to prohibit specific agreements between the parties whereby University will employ personnel for such service and/or research duties with reimbursement of salary, fringe benefits and other direct costs by Foundation. Where such personnel are employed for service in a specific department or unit, employment will not occur unless (1) the department or unit where service is to be performed has consented in writing to said appointment, (2) the Dean of the College of Medicine has approved the employment and the job description related thereto and (3) funds for the salary, fringe benefits, and other costs related to said employee are either on hand or reasonably anticipated in the departmental or unit budget.

C. Equal opportunity-affirmative action

Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age or handicap. Such action shall include, but not be limited to the following: employment,

upgrading demotion or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University including the individual members thereof, officers, agents and employees of the University by reason of any action at law or equity or by reason of any administrative proceeding initiated by any person arising out of any such employment action or practice of Foundation, including the payment of attorney fees and other costs of such action or proceeding.

#### Section 11. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978 require that institutional control be maintained with respect to direction and supervision of institutional activities and programs, assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.



Accordingly, Foundation and University agree that University will provide Ambulatory floors 2, 2N, 3, 4 and 5 of University Hospital, together with the Ophthalmology Clinic, the Family Practice Clinic and the Emergency Room (exclusive of faculty offices and classroom space contained therein) and other space officially designated for the conduct of the patient care operation.

With respect to any other facilities which University may from time to time provide to Foundation, Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same.

#### Section 12. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of University programs, are and shall remain the property of University and that Foundation has no right or property interest therein. Provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty, staff, and students pursuant to existing University procedures. Provided, further, that University agrees to provide or make available to Foundation such information and data from patient records and program data maintained by University as may be necessary for Foundation to perform its billing and collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payors as the basis for payment for services.

Section 13. INSPECTION AND AUDIT

Foundation agrees that, in accord with paragraph II, B. 1, of the action of University's Board of Trustees dated June 20, 1978, its books, records and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

The parties agree that the University's Internal Auditors will conduct an audit of Foundation's operations and accounts for the period ending June 30, 1983 and such other audits, including audits to determine compliance with this agreement, the terms of the action of University's Board of Trustees on June 20, 1978, and the terms of the departmental agreements referred to in Section 19 hereof, as may be specified by the University of Kentucky Controller and Treasurer, and that Foundation's agents and employees will cooperate to the fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants, mutually agreeable to the parties, will be employed by the Foundation to perform an independent audit of the Foundation's books, records and accounts for the year ended June 30, 1984.

Foundation agrees that it will furnish to University the following reports.

Annually

Audited Financial Statements to include:

Opinion of Independent Certified Public Accountants  
Balance Sheet  
Statement of Changes in Fund Balances  
Statement of Current Revenues and Expenditures  
Supplementary Schedules

Quarterly

Financial Statements to include:

Balance Sheet  
Statement of Operations  
Gross Billings  
Collections  
Financial Allowances  
Bad Debts

Aging Trial Balance of Accounts Receivable by:

Patient liability  
Third party payor

Reports of Delinquent Account Activity to include:

Referrals  
Collections  
Close and returns

Section 14. DEFAULT

A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against Foundation in any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such additional time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.

B. University may at its election terminate this agreement with respect to any department without termination of the entire agreement for the same reasons and in the same manner as provided hereinabove.

Section 15. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program. University agrees that, when so acting, said faculty, house staff and students shall be considered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941 or other University funds or funds provided through any policy or policies of insurance maintained for said purpose.

Section 16. APPLICATION OF INCOME

University agrees that it will not, during the operation of this contract, cause charges for services rendered after July 1, 1978 by members of the faculty who have executed Practice Agreements and Assignments to be deposited in the accounts of the Fund for Advancement of Education and Research in the University of Kentucky pursuant to the provisions of University of Kentucky Administrative Regulation AR II-4.0-1; deposits of such charges attributable to faculty who have not executed said Practice Agreements and Assignments shall continue pursuant to said regulation.

Section 17. MANAGEMENT AND INVESTMENT POLICIES

A. The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of professional income and the provision of a sum equal to ten (10%) percent of Foundation's gross income for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred in billing and collecting said income and providing for the central administration of Foundation.

B. Accordingly, Foundation agrees that it will deposit the funds necessary to meet its obligations for reimbursement of the University and the provision of the aforementioned academic enrichment fund in a separate account as received and that the funds in said account shall be invested only in the following: (1) direct obligations of the United States Treasury; (2) other appropriate securities issued by federal agencies (including the Federal National Mortgage Association, the Export Import Bank, Federal Intermediate Credit Bank, Federal Home Loan Banks, Federal Land Banks); and (3) certificates of deposit collateralized by direct obligations of the federal government, federal agency obligations which qualify for direct investment under this recommendation, general obligations of the Commonwealth of Kentucky or obligations of the University of Kentucky.

Foundation shall be entitled to the investment income derived from said account, provided however investment income derived from balances attributed to the academic enrichment fund identified in subparagraph A. and Section 7, paragraph B. hereinabove shall be credited to said account.

Section 18. PROVISION OF PROFESSIONAL LIABILITY INSURANCE

A. Foundation agrees that it will pay to University, as reimbursement to University for its pro-rata portion of costs attendant to (i) payments required pursuant to KRS 164.941, (ii) premiums to be paid for excess aggregate professional liability insurance and (iii) costs of claims administration, the sum of One Hundred Thirty-Four Thousand (\$134,000) Dollars in twelve (12) equal installments, the first said installment being due and payable on July 31, 1983 and monthly thereafter until June 30, 1984.

B. In addition, Foundation agrees that it will cause to be deposited in a separate account the sum of One Hundred Thirty-Seven Thousand (\$137,000) Dollars, said account together with interest accruing thereto to be held solely for the purpose of payment of claims or judgments arising for liabilities defined in KRS 164.941 (3). No monies in said account shall be expended for any other purpose than as provided in this Section without the written agreement of University, nor shall such monies be encumbered, pledged or otherwise hypothecated. The monies in said account shall be invested only as provided in Section 17 hereof. Payment to the separate account may be in twelve (12) equal installments, the first said installment being due and payable on July 31, 1983 and monthly thereafter until June 30, 1984.

Section 19. ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation agrees that it will, after making provision for: (1) the costs of its administration and costs relating to billing and collection, (2) reimbursement of University expenses pursuant to Section 5 hereof and (3) the College of Medicine Academic Enrichment Fund pursuant to Section 7 hereof, maintain its accounts in such a manner that income attributable to the professional clinical activities of each of the following departments or divisions shall be shown separately on books maintained by the Foundation from any other fund or funds held by said Foundation:

Anesthesiology

Diagnostic Radiology

Emergency Medicine

Family Practice

Medicine

Neurology

Ob/Gyn

Ophthalmology

Pathology

Pediatrics

Preventive Medicine & Environmental Health

Psychiatry

Radiation Medicine

Rehabilitation Medicine

Surgery



B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned accounts only in accord with the departmental or divisional agreement relating to each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to time, as provided in said agreements, with the approval of the Dean of the College of Medicine.

Section 20. NOTICES

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

Dean, College of Medicine  
MN-150  
University Medical Center  
800 Rose Street  
Lexington, Kentucky 40536

In the case of Foundation to:

Kentucky Medical Services Foundation, Inc.  
729 S. Limestone  
Lexington, Kentucky 40508

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed served on the date it is deposited in the United States mails properly addressed and with postage fully prepaid.

Section 21. TERM

The term of this agreement shall begin on July 1, 1983 and end on June 30, 1984.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES

THE UNIVERSITY OF KENTUCKY

BY: \_\_\_\_\_

President

University of Kentucky

ATTEST: \_\_\_\_\_

KENTUCKY MEDICAL SERVICES

FOUNDATION, INC.

BY: \_\_\_\_\_

ATTEST: \_\_\_\_\_

Office of the President  
June 21, 1983

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the Board of Trustees accept the University of Kentucky financial report for the ten months ended April 30, 1983.

Background: As of April 30, 1983, the University of Kentucky had realized income of \$298,796,612, representing 88% of the 1982-83 estimate of \$340,480,366. Expenditures and commitments total \$253,961,917 or 75% of the approved budget of \$340,480,366.

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Action taken: Approved   x   Disapproved            Other           

Date:   June 21  , 1983

## Statement 1

University of Kentucky  
Balance Sheet  
April 30, 1983  
With Comparative Figures for 1981-82

ASSETS

|                                                  | <u>1982-1983</u>  | <u>1981-1982</u>  |
|--------------------------------------------------|-------------------|-------------------|
| <b>Current Funds:</b>                            |                   |                   |
| <b>Unrestricted:</b>                             |                   |                   |
| Cash in banks and cash equivalents:              |                   |                   |
| Trust and agency                                 | \$ 6,429,430      | \$ 6,152,348      |
| Federal Funds                                    | 206,096           | 930,139           |
| Cash on deposit with State Treasurer:            |                   |                   |
| State appropriations                             | 2,855,865         | 258,333           |
| Trust and agency                                 | <u>22,455,901</u> | <u>11,055,786</u> |
| Total cash                                       | 31,947,292        | 18,396,606        |
| Due from other funds and affiliated corporations | 8,822,929         | 8,123,227         |
| Investments                                      | 645,303           |                   |
| Accounts receivable                              | 1,694,846         | 733,143           |
| Inventories                                      | 5,988,434         | 4,479,965         |
| Unrealized income (Statement 3)                  | <u>23,070,281</u> | <u>28,220,398</u> |
| Total unrestricted                               | <u>72,169,085</u> | <u>59,953,339</u> |
| <b>Restricted:</b>                               |                   |                   |
| Cash in banks and cash equivalents               | 10,998,085        | 8,802,394         |
| Cash on deposit with State Treasurer             | <u>3,135,432</u>  | <u>3,646,367</u>  |
| Total cash                                       | <u>14,133,517</u> | <u>12,448,761</u> |
| Petty cash advances                              | 368,663           | 427,305           |
| Travel advances                                  | 260,985           | 229,690           |
| Accrued interest receivable                      | 1,583,765         | 1,235,862         |
| Investments:                                     |                   |                   |
| Short-term (Schedule 1)                          | 28,378,026        | 18,875,415        |
| Other                                            | 37,984            | 37,967            |
| Unrealized income:                               |                   |                   |
| Restricted (Statement 3)                         | 7,819,257         | 4,555,121         |
| Tobacco Research Trust Fund (Statement 3)        | <u>1,030,707</u>  | <u>685,670</u>    |
| Total restricted                                 | <u>53,612,904</u> | <u>38,495,791</u> |

University of Kentucky  
Balance Sheet  
April 30, 1983  
With Comparative Figures for 1981-82

LIABILITIES AND FUND BALANCES

|                                                                 | <u>1982-1983</u>  | <u>1981-1982</u>  |
|-----------------------------------------------------------------|-------------------|-------------------|
| Current Funds:                                                  |                   |                   |
| Unrestricted:                                                   |                   |                   |
| Outstanding encumbrances                                        | \$ 4,547,531      | \$ 4,494,808      |
| Accounts payable-county funds                                   | 125,820           | 82,390            |
| Accrued expenses                                                | 1,640,251         | 1,093,900         |
| Advance from state for imprest cash fund                        |                   | 1,300,000         |
| Deferred income                                                 | 77,196            | 117,196           |
| Accrued vacation                                                | 3,493,000         | 3,138,000         |
| Unencumbered balance, departmental appropriations (Statement 4) | 52,215,307        | 41,196,253        |
| Fund balances:                                                  |                   |                   |
| Allocated for working capital (Statement 2)                     | <u>10,069,980</u> | <u>8,530,792</u>  |
| Total unrestricted                                              | <u>72,169,085</u> | <u>59,953,339</u> |
| Restricted:                                                     |                   |                   |
| General Fund collections                                        | 6,517,340         | 3,749,663         |
| Payroll deposits and withholdings                               | 6,707,238         | 2,009,786         |
| Outstanding encumbrances                                        | 847,433           | 766,872           |
| Due to other funds and affiliated corporations                  | 15,944,573        | 12,174,046        |
| Advance from state for imprest cash fund                        |                   | 50,000            |
| Deferred income                                                 |                   | 1,885             |
| Refundable deposits                                             | 77,593            | 72,623            |
| Outstanding liabilities                                         | 87,782            | 79,900            |
| Unencumbered balance, departmental appropriations (Statement 4) | 7,090,639         | 5,663,650         |
| Unencumbered balance, Tobacco Research Trust Fund               |                   |                   |
| Appropriation (Statement 4)                                     | 3,732,253         | 3,831,362         |
| Fund balances:                                                  |                   |                   |
| Restricted fund (Statement 2)                                   | <u>12,608,053</u> | <u>10,096,004</u> |
| Total restricted                                                | <u>53,612,904</u> | <u>38,495,791</u> |

## Statement 1

University of Kentucky  
Balance Sheet  
April 30, 1983  
With Comparative Figures for 1981-1982

ASSETS

|                                                                                                              | <u>1982-83</u>       | <u>1981-82</u>       |
|--------------------------------------------------------------------------------------------------------------|----------------------|----------------------|
| Hospitals and clinics:                                                                                       |                      |                      |
| Due from other funds and affiliated corporations                                                             | \$ 13,185,506        | \$ 8,914,019         |
| Accounts receivable, net of allowance for doubtful account<br>of \$8,534,133 in 1983 and \$7,150,727 in 1982 | 15,749,289           | 12,595,579           |
| Inventories                                                                                                  | 715,499              | 728,656              |
| Unrealized income (Statement 3)                                                                              | <u>5,382,308</u>     | <u>8,170,486</u>     |
| Total hospital and clinics                                                                                   | <u>35,032,602</u>    | <u>30,408,740</u>    |
| Housing and dining system:                                                                                   |                      |                      |
| Cash in bank                                                                                                 | 294,545              | 355,599              |
| Cash on deposit with State Treasurer                                                                         | 3,037,752            | 3,358,103            |
| Accounts receivable                                                                                          | 283,201              | 161,220              |
| Inventories                                                                                                  | 1,724,604            | 1,181,867            |
| Prepaid expenses                                                                                             | 61,538               | 202,207              |
| Unrealized income (Statement 3)                                                                              | <u>2,922,115</u>     | <u>1,641,417</u>     |
| Total housing and dining system                                                                              | <u>8,323,755</u>     | <u>6,900,413</u>     |
| Auxiliary enterprises:                                                                                       |                      |                      |
| Cash in banks                                                                                                | 1,094,687            | 421,242              |
| Accounts receivable                                                                                          | 74,305               | 112,721              |
| Inventories                                                                                                  | 601,303              | 670,664              |
| Unrealized income (Statement 3)                                                                              | <u>1,459,086</u>     | <u>1,485,547</u>     |
| Total auxiliary enterprises                                                                                  | <u>3,229,381</u>     | <u>2,690,174</u>     |
| TOTAL CURRENT FUNDS                                                                                          | <u>\$172,367,727</u> | <u>\$138,448,457</u> |

University of Kentucky  
Balance Sheet  
April 30, 1983  
With Comparative Figures for 1981-82

LIABILITIES AND FUND BALANCES

|                                                                | <u>1982-83</u>       | <u>1981-82</u>       |
|----------------------------------------------------------------|----------------------|----------------------|
| <b>Hospitals and clinics:</b>                                  |                      |                      |
| Outstanding encumbrances                                       | \$ 341,929           | \$ 472,894           |
| Accounts payable                                               | 4,364,886            | 4,359,259            |
| Accrued vacation                                               | 901,000              | 787,000              |
| Unexpended balance, departmental appropriation (Statement 4)   | 18,342,450           | 14,287,768           |
| Fund balances-allocated for working capital (Statement 2)      | <u>11,082,337</u>    | <u>10,501,819</u>    |
| <b>Total hospital and clinics</b>                              | <u>35,032,602</u>    | <u>30,408,740</u>    |
| <b>Housing and dining system:</b>                              |                      |                      |
| Accrued expenses                                               | 182,244              | 5,878                |
| Accrued wages                                                  | 1,600                | 168,143              |
| Accrued vacation                                               | 199,000              | 125,000              |
| Accounts payable                                               | 212,468              | 183,155              |
| Due to other funds and affiliated corporations                 | 2,043,568            | 1,660,887            |
| Refundable deposits                                            | 110,518              | 109,818              |
| Deferred income                                                | 959,098              | 1,236,848            |
| Unexpended balance, departmental appropriation (Statement 4)   | 3,832,319            | 2,955,492            |
| Fund balances:                                                 |                      |                      |
| Allocated for future operating purposes (Statement 2)          | <u>782,940</u>       | <u>455,192</u>       |
| <b>Total housing and dining system</b>                         | <u>8,323,755</u>     | <u>6,900,413</u>     |
| <b>Auxiliary enterprises:</b>                                  |                      |                      |
| Outstanding encumbrances                                       | 186,172              | 120,576              |
| Due to other funds and affiliated corporations                 | 389,232              | 143,545              |
| Unencumbered balance, departmental appropriation (Statement 4) | 1,305,481            | 1,328,000            |
| Fund balances-allocated:                                       |                      |                      |
| Working capital                                                | 904,965              | 840,130              |
| Future operating purposes                                      | <u>443,531</u>       | <u>257,923</u>       |
| <b>Total fund balance (Statement 2)</b>                        | <u>1,348,496</u>     | <u>1,098,053</u>     |
| <b>Total auxiliary enterprises</b>                             | <u>3,229,381</u>     | <u>2,690,174</u>     |
| <b>TOTAL CURRENT FUNDS</b>                                     | <u>\$172,367,727</u> | <u>\$138,448,457</u> |

# Statement 1

## University of Kentucky Balance Sheet April 30, 1983 With Comparative Figures for 1981-82

### ASSETS

|                                                                                                      | <u>1982-83</u>              | <u>1981-82</u>             |
|------------------------------------------------------------------------------------------------------|-----------------------------|----------------------------|
| <b>Loan Funds:</b>                                                                                   |                             |                            |
| Cash in bank                                                                                         | \$ 1,279,625                | \$ 1,355,848               |
| Notes receivable, net allowance for doubtful accounts of \$2,360,588 in 1983 and \$2,242,677 in 1982 | 11,804,283                  | 11,199,677                 |
| Due from other funds and affiliated corporations                                                     | 2,925                       |                            |
| Due from United Student Aid loan fund                                                                | <u>2,000</u>                | <u>2,000</u>               |
| <b>TOTAL LOAN FUNDS</b>                                                                              | <u><u>\$ 13,088,833</u></u> | <u><u>\$12,557,525</u></u> |
| <b>Endowment Funds:</b>                                                                              |                             |                            |
| Investments                                                                                          | \$ 8,678,890                | \$ 7,248,560               |
| <b>TOTAL ENDOWMENT FUNDS</b>                                                                         | <u><u>\$ 8,678,890</u></u>  | <u><u>\$ 7,248,560</u></u> |
| <b>Plant Funds:</b>                                                                                  |                             |                            |
| Unexpended:                                                                                          |                             |                            |
| Cash on deposit with State Treasurer:                                                                |                             |                            |
| Trust and agency                                                                                     | \$ 5,717,055                | \$ 2,708,863               |
| Capital construction funds                                                                           | <u>25,935,763</u>           | <u>16,164,790</u>          |
| Total cash on deposit with State Treasurer                                                           | <u>31,652,818</u>           | <u>18,873,653</u>          |
| Construction in progress                                                                             | 20,879,939                  | 8,552,286                  |
| Due from other funds and affiliated corporations                                                     | <u>5,831,739</u>            | <u>4,700,599</u>           |
| Total unexpended plant funds                                                                         | <u>58,364,496</u>           | <u>32,126,538</u>          |



University of Kentucky  
Balance Sheet  
April 30, 1983  
With Comparative Figures for 1981-82

LIABILITIES AND FUND BALANCES

|                                                     | <u>1982-1983</u>    | <u>1981-1982</u>    |
|-----------------------------------------------------|---------------------|---------------------|
| <b>Loan Funds:</b>                                  |                     |                     |
| Federal sponsored loan funds:                       |                     |                     |
| Federal Government                                  | \$ 9,066,018        | \$ 8,907,934        |
| University matching funds                           | 1,098,112           | 1,035,832           |
| Accumulated interest income                         | <u>1,616,396</u>    | <u>1,429,903</u>    |
| Total Federal sponsored loan funds                  | <u>11,780,526</u>   | <u>11,373,669</u>   |
| <b>University loan funds:</b>                       |                     |                     |
| University student aid funds                        | 83,750              | 86,032              |
| University special student loan funds               | 1,222,557           | 1,095,824           |
| United Student Aid loan funds                       | <u>2,000</u>        | <u>2,000</u>        |
| Total University loan funds                         | <u>1,308,307</u>    | <u>1,183,856</u>    |
| <b>TOTAL LOAN FUNDS</b>                             | <u>\$13,088,833</u> | <u>\$12,557,525</u> |
| <b>Endowment Funds:</b>                             |                     |                     |
| Fund balances                                       | <u>\$ 8,678,890</u> | <u>\$ 7,248,560</u> |
| <b>TOTAL ENDOWMENT FUNDS</b>                        | <u>\$ 8,678,890</u> | <u>\$ 7,248,560</u> |
| <b>Plant Funds:</b>                                 |                     |                     |
| Unexpended:                                         |                     |                     |
| Outstanding encumbrances                            | \$ 5,888,930        | \$ 7,630,047        |
| Bond anticipation notes payable                     | 4,200,000           | 21,300,000          |
| Bonds payable                                       | 33,400,000          |                     |
| Fund balances allocated for construction-renovation | <u>14,875,566</u>   | <u>3,196,491</u>    |
| Total unexpended plant funds                        | <u>58,364,496</u>   | <u>32,126,538</u>   |

## Statement 1

University of Kentucky  
Balance Sheet  
April 30, 1983  
With Comparative Figures for 1981-1982

|                                      | <u>1982-1983</u>     | <u>1981-1982</u>      |
|--------------------------------------|----------------------|-----------------------|
| <u>ASSETS</u>                        |                      |                       |
| Renewal and replacements:            |                      |                       |
| Cash on deposit with Trustees        | \$ 52,164            | \$ 53,574             |
| Cash on deposit with State Treasurer | 1,926,529            | 1,636,331             |
| Accrued interest receivable          | 33,322               | 17,210                |
| Investments held by Trustees         | <u>1,377,352</u>     | <u>1,201,157</u>      |
| Total renewal and replacements       | <u>3,389,367</u>     | <u>2,908,272</u>      |
| Retirement of indebtedness:          |                      |                       |
| Cash on deposit with State Treasurer | 50,000               | 50,000                |
| Cash on deposit with Trustees        | 226,741              | 11,492                |
| Accrued interest receivable          | 187,877              | 150,620               |
| Investments held by Trustees         | <u>11,339,480</u>    | <u>11,506,715</u>     |
| Total retirement of indebtedness     | <u>11,804,098</u>    | <u>11,718,827</u>     |
| Investment in plant:                 |                      |                       |
| Land                                 | 23,224,357           | 21,680,390            |
| Buildings                            | 280,833,292          | 277,199,725           |
| Equipment                            | <u>105,607,422</u>   | <u>105,478,128</u>    |
| Total investment in plant            | <u>409,665,071</u>   | <u>404,358,243</u>    |
| TOTAL PLANT FUNDS                    | <u>\$483,223,032</u> | <u>\$ 451,111,880</u> |
| Agency Funds:                        |                      |                       |
| Cash in bank                         | \$ 163,431           | \$ 152,387            |
| Accounts receivable                  | 3,215                |                       |
| Investments short-term (Schedule 1)  | <u>15,000</u>        | <u>15,000</u>         |
| TOTAL AGENCY FUNDS                   | <u>\$ 181,646</u>    | <u>\$ 167,387</u>     |

University of Kentucky  
Balance Sheet  
April 30, 1983  
With Comparative Figures for 1981-1982

LIABILITIES AND FUND BALANCES

|                                                | <u>1982-1983</u>     | <u>1981-1982</u>     |
|------------------------------------------------|----------------------|----------------------|
| <b>Renewal and replacements:</b>               |                      |                      |
| Due to other funds and affiliated corporations | \$ 59,946            | \$ 71,643            |
| Outstanding encumbrances                       | 16,200               | 35,515               |
| Fund balances:                                 |                      |                      |
| Restricted (Schedule 4)                        | 1,154,000            | 1,154,000            |
| Unrestricted (Schedule 4)                      | <u>2,159,221</u>     | <u>1,647,114</u>     |
| Total fund balances                            | <u>3,313,221</u>     | <u>2,801,114</u>     |
| Total renewal and replacements                 | <u>3,389,367</u>     | <u>2,908,272</u>     |
| <b>Retirement of indebtedness:</b>             |                      |                      |
| Bonds payable (Schedule 3)                     | 1,385,000            | 1,536,000            |
| Fund balances (Schedule 3)                     | <u>10,419,098</u>    | <u>10,182,827</u>    |
| Total retirement of indebtedness               | <u>11,804,098</u>    | <u>11,718,827</u>    |
| <b>Investment in plant:</b>                    |                      |                      |
| Bonds payable                                  | 104,290,000          | 102,755,000          |
| Notes payable                                  | 742,497              | 928,121              |
| Lease purchase obligation                      | 4,470,000            | 4,660,000            |
| Net investment in plant                        | <u>300,162,574</u>   | <u>296,015,122</u>   |
| Total investment in plant                      | <u>409,665,071</u>   | <u>404,358,243</u>   |
| <b>TOTAL PLANT FUNDS</b>                       | <u>\$483,223,032</u> | <u>\$451,111,880</u> |
| <b>Agency Funds:</b>                           |                      |                      |
| Outstanding encumbrances                       | \$ 1,091             | \$ 3,898             |
| Due to other funds and affiliated corporations | 12,172               | 9,304                |
| Funds held in custody for others               | <u>168,383</u>       | <u>154,185</u>       |
| TOTAL AGENCY FUNDS                             | <u>\$ 181,646</u>    | <u>\$ 167,387</u>    |

Statement 2

University of Kentucky  
Statement of Changes in Fund Balances-Current Funds  
Ten Months Ended April 30, 1983

|                                                               | Unrestricted<br>Allocated | Restricted   | Tobacco<br>Research<br>Trust<br>Fund | Hospitals<br>and<br>Clinics | Housing<br>Dining System<br>Funds for<br>Future<br>Operating<br>Purposes | Auxiliary<br>Enterprises |
|---------------------------------------------------------------|---------------------------|--------------|--------------------------------------|-----------------------------|--------------------------------------------------------------------------|--------------------------|
| Fund Balances July 1, 1982                                    | \$ 20,973,407             | \$12,608,053 | \$ 3,207,228                         | \$11,082,337                | \$ 782,940                                                               | \$ 1,348,496             |
| Realized income                                               | 203,893,586               | 6,347,914    | 5,866,521                            | 60,265,567                  | 18,027,029                                                               | 4,395,995                |
| Unrealized income                                             | 23,070,281                | 7,819,257    | 1,030,707                            | 5,382,308                   | 2,922,115                                                                | 1,459,086                |
| Total estimated income                                        | 226,963,867               | 14,167,171   | 6,897,228                            | 65,647,875                  | 20,949,144                                                               | 5,855,081                |
| Less fund balance appropriated                                | 10,903,427                |              | 3,207,228                            |                             |                                                                          |                          |
| Net current estimated income                                  | 216,060,440               | 14,167,171   | 3,690,000                            | 65,647,875                  | 20,949,144                                                               | 5,855,081                |
| Total available<br>Current year expenditures/<br>encumbrances | 237,033,847               | 26,775,224   | 6,897,228                            | 76,730,212                  | 21,732,084                                                               | 7,203,577                |
| Unencumbered balances,<br>appropriations                      | 174,748,560               | 7,076,532    | 3,164,975                            | 47,305,425                  | 17,116,825                                                               | 4,549,609                |
|                                                               | 52,215,307                | 7,090,639    | 3,732,253                            | 18,342,450                  | 3,832,319                                                                | 1,305,481                |
| Total authorized appropriation                                | 226,963,867               | 14,167,171   | 6,897,228                            | 65,647,875                  | 20,949,144                                                               | 5,855,081                |
| Fund Balances April 30, 1983                                  | \$ 10,069,980             | \$12,608,053 | \$ -0-                               | \$11,082,337                | \$ 782,940                                                               | \$ 1,348,496             |



University of Kentucky  
Summary of Current Funds Expenditures  
Ten Months Ended April 30, 1983  
With Comparative Figures for 1981-82

| Fund or Function<br>Unrestricted:  | 1982-1983                        |                                   |                                     | 1981-1982                        |                                   |                                     |
|------------------------------------|----------------------------------|-----------------------------------|-------------------------------------|----------------------------------|-----------------------------------|-------------------------------------|
|                                    | Appropriation<br>for the<br>Year | Expended<br>Encumbered<br>to Date | % Expended<br>Encumbered<br>to Date | Appropriation<br>for the<br>Year | Expended<br>Encumbered<br>to Date | % Expended<br>Encumbered<br>to Date |
| Department of Instruction          |                                  |                                   |                                     |                                  |                                   |                                     |
| and research                       |                                  | \$ 74,988,698                     | 82                                  | \$ 83,631,642                    | \$ 69,241,159                     | 83                                  |
| Summer session-1982                | \$ 90,592,664                    | 2,059,729                         | 79                                  | 1,745,626                        | 1,446,776                         | 83                                  |
| Organized activities               | 2,085,442                        | 1,758,498                         | 84                                  | 2,288,141                        | 1,854,202                         | 81                                  |
| Organized research                 | 19,511,214                       | 15,904,669                        | 82                                  | 19,289,727                       | 16,263,806                        | 84                                  |
| Libraries                          | 7,407,375                        | 6,253,614                         | 84                                  | 6,834,474                        | 5,641,623                         | 83                                  |
| Extension and public service       | 27,935,684                       | 21,459,831                        | 77                                  | 25,782,470                       | 20,949,562                        | 81                                  |
| Maintenance and operation of plant | 19,439,326                       | 14,863,169                        | 76                                  | 17,822,634                       | 14,599,858                        | 82                                  |
| Renovation, office furniture, etc. | 1,808,221                        | 1,729,698                         | 96                                  | 849,975                          | 689,927                           | 81                                  |
| General administration             | 11,499,793                       | 8,137,203                         | 71                                  | 9,624,810                        | 7,428,585                         | 77                                  |
| Student services                   | 6,274,706                        | 5,050,182                         | 80                                  | 5,645,975                        | 4,702,382                         | 83                                  |
| Staff benefits                     | 11,222,545                       | 5,367,814                         | 48                                  | 10,290,509                       | 5,210,183                         | 51                                  |
| General institutional services     | 9,818,581                        | 8,034,867                         | 82                                  | 10,311,281                       | 8,121,833                         | 79                                  |
| Service enterprises                | 304,405                          | 289,765                           | 95                                  | 314,938                          | 339,851                           | 108                                 |
| Debt service cost                  | 8,641,561                        | 6,718,924                         | 78                                  | 5,925,100                        | 4,981,909                         | 84                                  |
| Student aid                        | 2,457,880                        | 2,560,720                         | 104                                 | 2,213,856                        | 2,265,354                         | 102                                 |
| Reserves                           | 5,904,741                        |                                   |                                     | 2,362,105                        |                                   |                                     |
| Total unrestricted                 | 226,963,867                      | 174,748,560                       | 77                                  | 204,933,263                      | 163,737,010                       | 80                                  |

University of Kentucky  
Summary of Current Funds Expenditures  
Ten Months Ended April 30, 1983  
With Comparative Figures for 1981-1982

| Fund or Function:                           | 1982-1983                        |                                   |                                     | 1981-1982                        |                                   |                                     |
|---------------------------------------------|----------------------------------|-----------------------------------|-------------------------------------|----------------------------------|-----------------------------------|-------------------------------------|
|                                             | Appropriation<br>for the<br>Year | Expended<br>Encumbered<br>to Date | % Expended<br>Encumbered<br>to Date | Appropriation<br>for the<br>Year | Expended<br>Encumbered<br>to Date | % Expended<br>Encumbered<br>to Date |
| <b>Restricted:</b>                          |                                  |                                   |                                     |                                  |                                   |                                     |
| Departments of Instruction<br>and research  | \$ 3,021,915                     | \$1,277,646                       | 42                                  | \$ 2,722,087                     | \$ 1,262,424                      | 46                                  |
| Organized activities                        | 239,332                          | 191,161                           | 80                                  | 81,344                           | 49,705                            | 61                                  |
| Organized research                          | 6,563,573                        | 2,826,766                         | 43                                  | 5,261,174                        | 2,363,634                         | 45                                  |
| Libraries                                   | 65,233                           | 28,527                            | 44                                  | 45,473                           | 14,716                            | 32                                  |
| Extension and public service                | 611,690                          | 256,606                           | 42                                  | 446,510                          | 181,312                           | 41                                  |
| General institutional service               | 684,581                          | 443,052                           | 65                                  | 901,068                          | 673,009                           | 75                                  |
| Student aid                                 | 2,980,847                        | 2,052,774                         | 69                                  | 2,750,840                        | 2,000,046                         | 73                                  |
| Total restricted                            | 14,167,171                       | 7,076,532                         | 50                                  | 12,208,496                       | 6,544,846                         | 54                                  |
| Tobacco Research Trust Fund                 | 6,897,228                        | 3,164,975                         | 46                                  | 5,989,155                        | 2,157,793                         | 36                                  |
| Hospitals and clinics                       | 65,647,875                       | 47,305,425                        | 72                                  | 56,613,107                       | 42,325,339                        | 75                                  |
| Housing and Dining System                   | 20,949,144                       | 17,116,825                        | 82                                  | 18,258,451                       | 15,302,959                        | 83                                  |
| Auxiliary Enterprises                       | 5,855,081                        | 4,549,600                         | 78                                  | 5,433,868                        | 4,105,868                         | 76                                  |
| <b>TOTAL CURRENT FUNDS<br/>EXPENDITURES</b> | <b>\$340,480,366</b>             | <b>\$253,961,917</b>              | <b>75</b>                           | <b>\$ 303,436,340</b>            | <b>\$234,173,815</b>              | <b>77</b>                           |

## Schedule 1

University of Kentucky  
Schedule of Short Term Investments  
April 30, 1983

|                                               | <u>Maturity<br/>Value</u> | <u>Cost</u>         |
|-----------------------------------------------|---------------------------|---------------------|
| Restricted Current Fund:                      |                           |                     |
| Government securities:                        |                           |                     |
| U.S. Treasury Bills, 13.98%, 5/19/83          | \$ 6,790,000              | \$ 5,999,086        |
| U.S. Treasury Bills, 15.10%, 6/16/83          | 3,435,000                 | 2,997,470           |
| U.S. Treasury Bills, 11.17%, 9/8/83           | 3,880,000                 | 3,496,470           |
| FHLB, 7.375%, 11/26/84                        | 200,000                   | 200,000             |
| U.S. Treasury Notes, 7.875%, 5/15/86          | 685,000                   | 685,000             |
| Total government securities                   | <u>14,990,000</u>         | <u>13,378,026</u>   |
| Certificates of deposit:                      |                           |                     |
| Bank of Commerce & Trust Co., 9.00%, 12/31/83 | 500,000                   | 500,000             |
| Bank of Commerce & Trust Co., 8.76%, 2/24/84  | 4,500,000                 | 4,500,000           |
| Bank of Commerce & Trust Co., 13.25%, 7/19/83 | 4,000,000                 | 4,000,000           |
| Bank of Commerce & Trust Co., 9.125%, 11/4/83 | 3,000,000                 | 3,000,000           |
| Bank of Commerce & Trust Co., 9.00%, 12/3/83  | 3,000,000                 | 3,000,000           |
| Total certificates of deposit                 | <u>15,000,000</u>         | <u>15,000,000</u>   |
| TOTAL RESTRICTED FUNDS                        | <u>\$ 29,990,000</u>      | <u>\$28,378,026</u> |
| Agency Funds:                                 |                           |                     |
| Government securities:                        |                           |                     |
| U.S. Treasury Notes, 7.875%, 5/15/86          | \$ 15,000                 | \$ 15,000           |
| TOTAL AGENCY FUNDS                            | <u>\$ 15,000</u>          | <u>\$ 15,000</u>    |



University of Kentucky  
Schedule of Retirement of Indebtedness Funds  
For the Ten Months Ended April 30, 1983

| Source of Funds:                                          | Estimated           | Realized           | % Realized |
|-----------------------------------------------------------|---------------------|--------------------|------------|
| Unrestricted current funds                                | \$ 8,162,800        | \$6,718,924        | 82         |
| Housing and dining system                                 | 2,501,976           | 2,261,833          | 90         |
| Auxiliary enterprises current funds                       | 161,292             | 109,589            | 68         |
| <b>TOTAL RETIREMENT OF INDEBTEDNESS FUNDS</b>             | <b>\$10,826,068</b> | <b>\$9,090,346</b> | <b>84</b>  |
|                                                           | Appropriated        | Expended           | % Expended |
| <b>Debt Service:</b>                                      |                     |                    |            |
| Unrestricted funds debt:                                  | \$                  | \$                 |            |
| Consolidated Educational Buildings-Series A               | 508,088             | 508,273            | 100        |
| Consolidated Educational Buildings-Series B               | 482,700             | 482,958            | 100        |
| Consolidated Educational Buildings-Series C               | 234,200             | 234,226            | 100        |
| Consolidated Educational Buildings-Series D               | 2,414,484           | 2,414,485          | 100        |
| Consolidated Educational Buildings-Series E               | 291,128             | 291,267            | 100        |
| Consolidated Educational Buildings-Series F               | 527,220             | 527,436            | 100        |
| Consolidated Educational Buildings-Series G               | 2,283,590           | 1,954,413          | 86         |
| Consolidated Educational Buildings-Series H               |                     | 113,882            |            |
| Community Colleges Educational Buildings-Series A         | 836,720             | 841,404            | 100        |
| Community Colleges Educational Buildings-Series B         | 198,000             | 198,200            | 100        |
| Community Colleges Educational Buildings-Series C         | 386,670             | 389,246            | 101        |
| Community Colleges Educational Buildings-Series D         |                     | 317,090            |            |
| <b>Subtotal</b>                                           | <b>8,162,800</b>    | <b>8,272,880</b>   | <b>101</b> |
| <b>Housing and Dining System Revenue Bonds-Series A-L</b> | <b>2,501,976</b>    | <b>761,359</b>     | <b>30</b>  |
| <b>Auxiliary Enterprises:</b>                             |                     |                    |            |
| Alpha Delta Pi                                            | 8,316               | 6,724              | 81         |
| Dorms A-F                                                 | 39,330              | 39,330             | 100        |
| Dorms G-L                                                 | 49,943              | 11,471             | 23         |
| Phi Delta Theta                                           | 7,540               | 1,770              | 23         |
| Delta Delta Delta                                         | 4,090               | 4,090              | 100        |
| Alpha Tau Omega                                           | 7,738               | 1,869              | 24         |
| Housing Bonds of 1960                                     | 13,844              | 13,844             | 21         |
| Student Housing Bonds of 1964                             | 30,491              | 30,491             | 100        |
| <b>Subtotal</b>                                           | <b>161,292</b>      | <b>109,589</b>     | <b>68</b>  |
| <b>TOTAL RETIREMENT OF INDEBTEDNESS FUNDS</b>             | <b>\$10,826,068</b> | <b>\$9,143,828</b> | <b>84</b>  |

## Schedule 3

University of Kentucky  
Schedule of Retirement of Indebtedness Sinking Funds  
April 30, 1983

|                                                                                 | Total<br>Sinking Funds | Bonds<br>Payable    | Fund Balances       |
|---------------------------------------------------------------------------------|------------------------|---------------------|---------------------|
| <u>Bond Issue with Reserves Fully Funded:</u>                                   |                        |                     |                     |
| Housing and Dining System Revenue Bond and Interest Sinking Fund                | \$ 3,686,750           |                     | \$ 3,686,750        |
| Consolidated Educational Buildings Project and Interest Sinking Fund:           |                        |                     |                     |
| Series A                                                                        | 592,594                |                     | 592,594             |
| Series B                                                                        | 593,481                |                     | 593,481             |
| Series C                                                                        | 212,033                |                     | 212,033             |
| Series D                                                                        | 2,278,825              |                     | 2,278,825           |
| Series E                                                                        | 253,276                |                     | 253,276             |
| Series F                                                                        | 806,186                |                     | 806,186             |
| Series G                                                                        | (31,903)               |                     | (31,903)            |
| Series H                                                                        | (56,941)               |                     | (56,941)            |
| Student Housing Bond and Interest Sinking Fund of 1964                          | 156,086                |                     | 156,086             |
| Community College Educational Buildings Project Bond and Interest Sinking Fund: |                        |                     |                     |
| Series A                                                                        | 1,008,619              |                     | 1,008,619           |
| Series B                                                                        | 219,424                |                     | 219,424             |
| Series C                                                                        | 432,291                |                     | 432,291             |
| Series D                                                                        | (179,889)              |                     | (179,889)           |
| Student Housing Bond and Interest Sinking Fund of 1960                          | 111,815                |                     | 111,815             |
| Dormitory Revenue Bonds of 1956                                                 | 50,000                 |                     | 50,000              |
| Total Bond Issues with Reserves Fully Funded                                    | 10,132,647             |                     | 10,132,647          |
| <u>Bonds Fully Funded by Escrow Accounts:</u>                                   |                        |                     |                     |
| Keeneland, Cooperstown and Student Union Future Bond and Interest Fund          | 1,671,451              | \$ 1,385,000        | 286,451             |
| TOTAL SINKING FUNDS                                                             | <u>\$11,804,098</u>    | <u>\$ 1,385,000</u> | <u>\$10,419,098</u> |

## Schedule 4

University of Kentucky  
Schedule of Renewal and Replacement  
For the Ten Months Ended April 30, 1983

|                                       | <u>Restricted</u>   | <u>Unrestricted</u> | <u>Total<br/>Fund Balances</u> |
|---------------------------------------|---------------------|---------------------|--------------------------------|
| Repair and Maintenance Reserves:      |                     |                     |                                |
| Housing and Dining System             | \$ 1,074,000        | \$ 251,618          | \$ 1,325,618                   |
| Student Housing Buildings of 1964     | 50,000              | 6,486               | 56,486                         |
| Student Housing Buildings of 1960     | 30,000              | 7,339               | 37,339                         |
| Total repair and maintenance reserves | <u>1,154,000</u>    | <u>265,443</u>      | <u>1,419,443</u>               |
| Renewal and Replacement Funds:        |                     |                     |                                |
| Housing and Dining Funds              |                     | 1,656,691           | 1,656,691                      |
| Other Auxiliary Enterprises           |                     | <u>237,087</u>      | <u>237,087</u>                 |
| Total renewal and replacement funds   |                     | <u>1,893,778</u>    | <u>1,893,778</u>               |
| GRAND TOTAL                           | <u>\$ 1,154,000</u> | <u>\$2,159,221</u>  | <u>\$3,313,221</u>             |

Office of the President  
June 21, 1983

FCR 2

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of livestock from the College of Agriculture for the fiscal year 1983-84 based on an advance plan which identifies type of livestock, estimated numbers to be sold, and the reason for the disposition.

That the Board of Trustees also approve the report of the disposition of livestock and surplus equipment for the fiscal year 1982-83.

Background: A new section of KRS Chapter 16A, enacted under House Bill 622, requires that the governing board sell or otherwise dispose of all personal property which is not needed by the institution. The board will be provided with a description of the property, the reasons why the disposal should be effected, and the method of disposition (auction, sealed bid, etc.).

Each sale or disposition of personal property will not be effected until the approval of the Board of Trustees is obtained, except for the sale of livestock. Due to price fluctuations and problems of anticipating exact dates livestock will be sold, an advance annual plan for livestock sales is submitted for Board approval. This plan anticipates the numbers of livestock to be sold.

Exhibit I is the detailed plan for anticipated livestock sales for 1983-84.

Exhibit II is the listing of the personal property disposed of during the fiscal year 1982-83.

Action taken:    Approved   X      Disapproved           Other       

Date:   June 21  , 1983

UNIVERSITY OF KENTUCKY  
Anticipated Livestock Disposal Request  
1983-84

Estimated quantity to be sold

- A. Hogs 950 head
- B. Cattle 800 head
- C. Sheep 450 head
- D. Horses 10 head

Sale methods

- A. Auction
- B. Sealed Bid
- C. Packing Company
- D. Private Treaty

Reasons for selling

- A. Research program completed
- B. Low milk production
- C. Illness
- D. Breeding problems
- E. To obtain carcass data and analytical samples
- F. Age

UNIVERSITY OF KENTUCKY  
Livestock Disposals  
1982-83

EXHIBIT II

| <u>ITEMS</u>              | <u>TYPE DISPOSAL</u> | <u>REASON</u>                                                                  |
|---------------------------|----------------------|--------------------------------------------------------------------------------|
| 1 Quarter Horse Mare      | Private Treaty       | Unable to produce offspring                                                    |
| 4 Thoroughbred Yearlings  | Auction              | Research program completed                                                     |
| 48 Beef Animals           | Auction              | Research program completed                                                     |
| 15 Heifers, 16 Steers     | Packing Company      | Carcass data & analytical samples needed                                       |
| 3 Beef animals            | Auction              | Research project completed                                                     |
| 15 Steers, 16 Cull Cows   | Auction              | Research project completed                                                     |
| 19 Head of Beef           | Auction              | Research project completed                                                     |
| 10 Newborn Bull Calves    | Auction              | Not needed for dairy herd                                                      |
| 7 Hampshire Rams & Lambs  | Private Treaty       | Research completed. Ability to observe future results without additional cost. |
| 6 Head of Beef Animals    | Auction              | Research program completed                                                     |
| 95 Slaughter Steers       | Auction+Packing Co.  | Research program completed                                                     |
| 36 Feeder Steers          | Auction              | Research program completed                                                     |
| 2 Ram Lambs               | Private Treaty       | Research completed. Ability to observe future results without additional cost. |
| 34 Steers                 | Auction              | Research program completed                                                     |
| 10 Cull Cows              | Auction              | Low milk production, breeding problems                                         |
| 20 Female Cattle          | Auction              | Poor performance and breeding problems                                         |
| 41 Lambs                  | Auction              | Research program completed                                                     |
| 65 Market Hogs            | Auction+Packing Co.  | Research completed, carcass data & analytical samples needed                   |
| 31 Feeder Pigs            | Seal Bid             | Research program completed                                                     |
| 250 Hogs                  | Auction+Packing Co.  | Research completed, carcass data & analytical samples needed                   |
| 11 Beef cows              | Auction              | Breeding problems                                                              |
| 36 Yearling Feeder Steers | Auction              | Research program completed                                                     |
| 1 Holstein Heifer         | Auction              | Research program completed                                                     |
| 1 Holstein Heifer         | Auction              | Research program completed                                                     |
| 275 Market Lambs          | Auction              | Research program completed                                                     |
| 5 Hampshire Ram Lambs     | Auction              | Research program completed                                                     |
| 50 Cull Ewes              | Auction              | Research program completed                                                     |
| 20 Superior Sheep         | Auction              | Research program completed                                                     |
| 113 Steers & Heifers      | Auction              | Research program completed                                                     |
| 30 Heifers, Bulls, Cows   | Auction              | Research program completed                                                     |
| 19 Cows & Feeder Steers   | Auction              | Research program completed                                                     |
| 32 Heifers, Steers        | Packing Co.          | Carcass data & analytical samples needed                                       |
| 5 Cows                    | Auction              | Breeding problems                                                              |
| 4 Hampshire Yearling Rams | Auction              | Research program completed                                                     |
| 6 Cows                    | Auction              | Research program completed                                                     |
| 1 Filly, 1 Colt           | Auction              | Research program completed                                                     |
| 14 Steers, Bulls, Heifers | Auction              | Research program completed                                                     |
| 4 Calves & Cows           | Auction              | Research program completed                                                     |
| 8 Slaughter Heifers       | Packing Co.          | Carcass data & analytical samples needed                                       |
| 600 Pigs, Hogs, Gilts     | Auction+Packing Co.  | Research completed, carcass data & analytical samples needed                   |
| 5 Hampshire Lambs & Rams  | Auction              | Research program completed                                                     |

UNIVERSITY OF KENTUCKY  
Equipment Disposals  
1982-83

TYPE OF DISPOSAL: Auction

DATE OF AUCTION: November 19, 1982

REASON: Damaged, obsolete and excessive repair cost

| <u>ITEMS</u>                 | <u>ITEMS</u>             | <u>ITEMS</u>                  |
|------------------------------|--------------------------|-------------------------------|
| 29 Calculators               | 187 Miscellaneous Chairs | 5 Desks                       |
| 15 Buffers                   | 7 Projectors             | 2 Readers                     |
| 7 Ovens                      | 10 Miscellaneous Copiers | 3 Study Carrols               |
| 4 Miscellaneous Carts        | 8 Hospital Beds          | 1 Autoclave                   |
| 8 Cabinets, wood & metal     | 13 Files                 | 13 Miscellaneous Racks        |
| 24 Tables                    | 5 Exam Tables            | 1 Printer                     |
| 2 Elevator Liners            | 2 Room Dividers          | 1 Card file                   |
| 1 X-Ray Viewer               | 150 Medicine Cabinets    | 4 Bed Springs                 |
| 1 Chromatograph              | 1 Bookcase               | 1 Clothes Dryer               |
| 2 Air Conditioners           | 1 Univac Machine         | 1 Microtherm                  |
| 1 Sandborn Unit 350          | 1 Coil                   | 1 Do-All-Grinding Machine     |
| 1 Scientific Machine         | 1 Respirometer           | 1 Manometric Apparatus        |
| 1 Electrical Parts           | 1 Electronic Equipment   | 9 pH Meters                   |
| 5 Volume Meters              | 17 Electrocardiocorders  | 69 Lamps                      |
| 1 Card Reader                | 9 Bed Frames             | 1 Metal Shelf                 |
| 3 Bulletin Boards            | 1 Sewing Machine         | 4 Metal Caging Units          |
| 1 Film loop                  | 1 Photo volt             | 3 Sterilizers                 |
| 4 Dental Cabinets            | 6 AB Dick Folders        | 4 Osometer Recorders          |
| 1 Addressograph Machine      | 15 Boxes of holders      | 1 Sta-Kold Refrigeration Unit |
| 1 Stove                      | 3 Bathroom Fixtures      | 1 Old Scale                   |
| 1 Conduit                    | 1 Electric Range         | 1 Coffee Urn                  |
| 1 Locker Unit                | 28 Lamp shades           | 1 Tissue Bath                 |
| 1 Grill                      | 2 Exercise machines      | 2 Centrifuges                 |
| 1 Autopsy Table              | 1 Water Still            | 1 Video Cartridge Player      |
| 6 Counter Timers             | 6 Expander Ranges        | 2 Motors                      |
| 1 Pill Packer                | 1 Wood divider           | 1 Coat Rack                   |
| 1 Cooling unit               | 1 Milk Dispenser         | 1 Serva Slice machine         |
| 1 Collator                   | 1 Wood planter           | 1 Phonograph                  |
| 2 Stencil Tacks              | 1 Spectrophotometer      | 12 T.V.'s                     |
| 41 Dictating Units           | Table parts              | 23 Chart Recorders            |
| 10 Typewriters               | 4 Cash Registers         | 3 Surgical lights             |
| 30 Flourescent lights        | 1 Microtome              | 59 Card Punch Machines        |
| 2 Ice Machines               | 1 Metal Box              | 1 Speaker                     |
| 2 Infra Red Meters           | 1 Beverage Machine       | 2 Print Dryers                |
| 1 High speed testing machine | 3 Lab Stools             | 1 Speech Audio Movers         |
| 16 Miscellaneous Tops        | 1 Collator               | 1 Pump                        |
| 7 Glass Doors                | 8 Metal Stands           | 1 Pie Warmer                  |
| 1 Oscilloscope               |                          |                               |

UNIVERSITY OF KENTUCKY  
Equipment Disposals  
1982-83

Page 2 of 4

TYPE OF DISPOSAL: Auction

DATE OF AUCTION: April 22, 1983

REASON: Damaged, obsolete and excessive repair cost

| <u>ITEMS</u>                 | <u>ITEMS</u>                 | <u>ITEMS</u>                |
|------------------------------|------------------------------|-----------------------------|
| 1 White room divider         | 1 Sewing Machine, Singer     | 41 Metal & Wood Cabinets    |
| Metal Cabinet parts          | 4 Counter tops               | 89 Chairs                   |
| 7 Cash registers             | 1 Ductility Tester           | 17 Table parts              |
| 1 Hair Dryer                 | 5 Stainless Steel Racks      | 1 Box of spikes             |
| 5 Lab ovens                  | 2 Spirit Duplicators         | 11 Metal & Wood Desks       |
| 1 Gasometer                  | 1 Microdensitometer          | 6 Stainless Steel Sink tops |
| 39 Typewriters               | 26 Calculators               | 48 Dictating Units          |
| 7 Copiers                    | 7 Bookcases                  | 2 Projector Screens         |
| 1 Fume Hood                  | 1 Bed                        | 1 Computator Reader         |
| 2 Kitchen Units              | 7 Refrigeration Units        | Aluminum Baskets            |
| 4 Tires                      | 3 Learning Centers           | 15 Metal lights             |
| Vents                        | 3 Ice Chest                  | 14 Tables                   |
| 2 Chest of Drawers           | 3 Freezers                   | 4 Amplifiers                |
| 2 York Machines              | 1 Transformer                | 1 Oscillogram Processor     |
| 1 Digital Translocator       | 1 Control panel              | 3 Lab washers               |
| 9 Metal Tanks                | 1 Foosball Table             | 9 Buffer machines           |
| 4 Sofas                      | 1 Ice Cream Machine          | 2 Collators                 |
| 2 Autoclaves                 | 3 Metal & Wood Stands        | 1 Cornex Mixer              |
| 1 Video Ampex Recorder       | 6 Hospital Carts             | 1 Microwave                 |
| 2 3M Readers                 | 1 Pump                       | 1 Pan on Wheels             |
| 1 Grill                      | 1 Print Dryer                | 1 Chart Recorder            |
| 2 Incubator                  | 8 Metal shelves              | 1 Fermentor                 |
| 1 Seating Units              | 1 Old Engine                 | 3 Old Machines              |
| 1 Wood Pigeon Hole Unit      | 3 Stool                      | 1 Fire Alarm                |
| 2 Key Punch Machines         | 1 Optical Rotary Dispenser   | 1 Scales                    |
| 4 Microfiche Readers         | 1 Folder                     | 2 Water Baths               |
| 2 T.V's                      | 1 Box of Electrical Supplies | 1 Food Steamer              |
| 2 Good Light Stands          | 6 Locks                      | 7 Doors                     |
| 15 Window Screens            | 6 Lateral Files              | 1 Hand Rail                 |
| 2 Wood file catalogs         | 4 Wall ashtrays              | 1 pH Meter                  |
| 1 Memograph Machine          | 1 Autotemtrol                | 1 Electric Kiln             |
| 6 IV Stands                  | 1 Centrifuge                 | 1 Diesel Engine, Cummins    |
| 1 Shaker                     | 1 Display Case               | 1 Grinding Machine          |
| 8 Boxes of Plumbing supplies | 1 Bathroom divider           | 1 Trash Can                 |
| 1 Typing Stand               | 1 Anesthesia Cart            | 1 Oscilloscope              |
| 1 Stress Tester, Avionics    | 1 Step Ladder                | 2 Respirators               |
| 1 Hot pack oven              | 1 Coffee Pot                 | 1 Safe                      |
| 19 Addressographs            | 4 Graphotypes                | 3 High Speed Evaporators    |



UNIVERSITY OF KENTUCKY  
Equipment Disposals  
1982-83

TYPE OF DISPOSAL: Transferred to University of Houston

DATE OF TRANSFER: August 24, 1982

REASON: Research project transferred, items built into system

| <u>ITEMS</u> | <u>ITEMS</u>   | <u>ITEMS</u>    |
|--------------|----------------|-----------------|
| 3 Pumps      | 2 Transformers | 1 DC Motor 5 HP |
| 3 Heaters    | 1 Variac       |                 |

TYPE OF DISPOSAL: Transferred to Department of Education

DATE OF TRANSFER: September 14, 1982

REASON: Damaged, obsolete and excessive repair cost

| <u>ITEMS</u>    | <u>ITEMS</u> | <u>ITEMS</u>     |
|-----------------|--------------|------------------|
| 1 Stool         | 8 Tables     | 10 Desks         |
| 1 Speaker Stand | 2 Doors      | 2 Serving Stands |

TYPE OF DISPOSAL: Transferred to Department of Education

DATE OF TRANSFER: November 9, 1982

REASON: Damaged, obsolete and excessive repair cost

| <u>ITEMS</u>              | <u>ITEMS</u>     | <u>ITEMS</u>           |
|---------------------------|------------------|------------------------|
| 1 Lot of Metal partitions | 1 Costumer       | 1 Stainless Steel Rack |
| 114 Chairs                | 1 Table Top      | 17 Metal Cabinets      |
| 10 Metal Files            | 2 Tables         | 12 Card Files          |
| 1 Box of Jell-O Molds     | 3 Study Carrols  | 10 Desk Units          |
| 2 Magazine Racks          | 6 Desk Tops      | 8 Desks                |
| 31 Pans                   | 1 Bulletin Board | 1 Grill Cleaner        |
| 12 Tables                 | 2 Stools         | 1 Traction Appartus    |
| 2 Fans                    | 1 Bed Rail       | 1 Dental Cabinet       |
| 1 Metal Window            | 1 Hospital Bed   | 59 Arm Chairs          |
| 1 Ice Machine             | 1 Dental unit    | 1 Animal Cage          |
| 1 Metal Cart              | 1 Lecturn        | 1 Wood Door            |
| 1 Bookcase                | 1 Scales         |                        |

UNIVERSITY OF KENTUCKY  
Equipment Disposals  
1982-83

Page 4 of 4

TYPE OF DISPOSAL: Transferred to Department of Education

DATE OF TRANSFER: March 14, 1983

REASON: Damaged, obsolete and excessive repair cost

| <u>ITEMS</u>               | <u>ITEMS</u>                 | <u>ITEMS</u>   |
|----------------------------|------------------------------|----------------|
| 3 Cabinets                 | 1 Card file                  | 1 Costumer     |
| 6 Shelf Units              | 28 Chairs                    | 1 Refrigerator |
| 1 Burner                   | 2 Ball throwing Machines     | 18 Stands      |
| 4 Racks                    | 16 Clay Pipes                | 1 Freezer      |
| 2 Tanks                    | 1 Cash Register              | 1 Speaker      |
| 1 Typewriter               | 1 Calculator                 | 1 Run-Off      |
| 9 Respirators              | 1 Easel                      | 2 Tile Cutters |
| 3 Water Coolers            | 1 Lamp                       | 1 Rotor Rutter |
| 1 Generator                | 1 Lot of Upholstery Material | 1 Pump         |
| 1 Coat Tree                | 2 L Tops                     | 1 Side Panel   |
| 1 Lot of Plumbing Supplies | 1 Tray                       | 1 Box of Paper |

TYPE OF DISPOSAL: Transferred to Department of Education

DATE OF TRANSFER: May 18, 1983

REASON: Damaged, obsolete and excessive repair cost

ITEMS

79 Chairs

TYPE OF DISPOSAL: Transferred to Department of Education

DATE OF TRANSFER: May 23, 1983

REASON: Damaged, obsolete and excessive repair cost

ITEMS

153 Theater Chairs

UNIVERSITY OF KENTUCKY  
Miscellaneous Disposals  
1982-83

| <u>ITEMS</u>                          | <u>TYPE DISPOSAL</u> | <u>REASON</u>                 |
|---------------------------------------|----------------------|-------------------------------|
| 395.6 Bushels of Wheat                | Local Granary        | Research Project Completed    |
| 1,200 Library Books                   | Public Sale          | Outdated and Damaged          |
| 3,000 Bushels of Corn                 | Sealed Bid           | Heat damaged, weevil infested |
| *138,481 lbs. Scrap Paper             | Sealed Bid           | Recyclable Scrap              |
| *600 lbs. Scrap Silver & Film         | Sealed Bid           | Recyclable Scrap              |
| *350,519 lbs. Scrap Metal & Batteries | Sealed Bid           | Recyclable Scrap              |

\*Includes estimated amount for month of June 1983.

UNIVERSITY OF KENTUCKY

VEHICLE DISPOSAL

1982-83

TYPE OF DISPOSAL: Auction

DATE OF AUCTION: September 22, 1982

REASON: Age, Damaged, excessive repair cost

| <u>YEAR</u> | <u>MAKE</u> | <u>SERIAL NUMBER</u> | <u>ODOMETER<br/>MILEAGE</u> |
|-------------|-------------|----------------------|-----------------------------|
| 1972        | Ambassador  | A2A855N239458        | 63,029                      |
| 1972        | Ambassador  | A2A855N239423        | 67,236                      |
| 1973        | Ambassador  | A3A857N315608        | 52,607                      |
| 1973        | Ambassador  | A3A857N315591        | 61,036                      |
| 1974        | Plymouth    | PM45M4D187958        | 102,526                     |
| 1968        | Chrysler    | CE41G8C234394        | 42,449                      |
| 1975        | Plymouth    | PM41K5D182273        | 74,900                      |
| 1976        | Plymouth    | PM41K6D176365        | 84,167                      |
| 1976        | Plymouth    | PM41K6D176355        | 94,169                      |
| 1976        | Plymouth    | PM41K6D176352        | 93,766                      |
| 1976        | Plymouth    | PM41K6D176359        | 95,053                      |
| 1976        | Plymouth    | PM41K6D176358        | 61,757                      |
| 1976        | Plymouth    | PM41K6D176362        | 92,000                      |
| 1976        | Ford        | 6U72S159053          | 112,890                     |
| 1978        | Ford LTDII  | 8A27F274964          | 85,441                      |
| 1978        | Ford LTDII  | 8A27F274965          | 79,967                      |
| 1978        | Ford LTDII  | 8A27F274966          | 94,324                      |
| 1978        | Ford LTDII  | 8A27F274967          | 70,364                      |
| 1978        | Ford LTDII  | 8A27F274968          | 80,941                      |
| 1978        | Ford LTDII  | 8A27F274969          | 85,000                      |
| 1978        | Ford LTDII  | 8A27F274970          | 92,679                      |
| 1978        | Ford LTDII  | 8A27F274972          | 76,840                      |
| 1978        | Ford LTDII  | 8A27F274973          | 89,316                      |
| 1978        | Ford LTDII  | 8A27F266928          | 98,179                      |
| 1978        | Ford LTDII  | 8A27F266929          | 79,652                      |
| 1978        | Ford LTDII  | 8A27F266930          | 76,228                      |
| 1978        | Ford LTDII  | 8A27F266931          | 78,000                      |
| 1978        | Ford LTDII  | 8A27F266932          | 93,809                      |
| 1978        | Ford LTDII  | 8A27F266934          | 84,395                      |
| 1978        | Ford LTDII  | 8A27F266935          | 85,864                      |
| 1978        | Ford LTDII  | 8A27F266936          | 85,837                      |
| 1978        | Ford LTDII  | 8A27F266937          | 84,214                      |
| 1978        | Ford LTDII  | 8A27F266918          | 96,000                      |

| <u>YEAR</u> | <u>MAKE</u>         | <u>SERIAL NUMBER</u> | <u>ODOMETER<br/>MILEAGE</u> |
|-------------|---------------------|----------------------|-----------------------------|
| 1978        | Ford LTDII          | 8A27F266919          | 82,581                      |
| 1978        | Ford LTDII          | 8A27F266920          | 82,635                      |
| 1978        | Ford LTDII          | 8A27F266921          | 88,807                      |
| 1978        | Ford LTDII          | 8A27F266923          | 82,358                      |
| 1978        | Ford LTDII          | 8A27F266924          | 65,272                      |
| 1978        | Ford LTDII          | 8A27F266925          | 83,071                      |
| 1978        | Ford LTDII          | 8A27F266926          | 80,269                      |
| 1978        | Ford LTDII          | 8A27F277927          | 88,225                      |
| 1978        | Ford LTDII          | 8A27F266908          | 78,504                      |
| 1978        | Ford LTDII          | 8A27F266909          | 78,928                      |
| 1978        | Ford LTDII          | 8A27F266910          | 80,532                      |
| 1978        | Ford LTDII          | 8A27F266911          | 58,516                      |
| 1978        | Ford LTDII          | 8A27F266916          | 61,345                      |
| 1976        | Ford                | 6H27H137341          | 75,000                      |
| 1976        | Ford                | 6H27H140123          | 38,532                      |
| 1965        | Checker             | 41701537             | 34,129                      |
| 1961        | West Coaster        | 26477                | 7,420                       |
| 1955        | Willy               | 85357                | 3,712                       |
| 1954        | Willy               | 73908                | 31,514                      |
| 1955        | Willy               | 83150                | 25,622                      |
| 1972        | Dodge D200          | D24BB2U576269        | 23,130                      |
| 1955        | Willy               | 83774                | 29,459                      |
| 1968        | Chevrolet C10       | CE148F145958         | 107,612                     |
| 1966        | Ford F100           | F10AC892074          | 90,800                      |
| 1957        | International       | SA37708              | 109,038                     |
| 1964        | Ford F100           | F10JL557009          |                             |
| 1954        | GMC                 | 4521                 | 28,972                      |
| 1953        | Reo                 | 128965               | 18,760                      |
| 1970        | Chevrolet C10       | CE140B133549         | 109,179                     |
| 1970        | Ford 950            | A95JU837829          | 126,975                     |
| 1964        | Ford F100           | F11CL564590          | 97,517                      |
| 1968        | Chevrolet C10       | CS148A167299         | 64,283                      |
| 1972        | International       | B131059H266099       | 78,839                      |
| 1954        | Willy               | 73055                | 30,733                      |
| 1954        | Jeep                | 39784                |                             |
| 1952        | International L2005 | L201193A2314         | 126,506                     |
| 1955        | Willy               | 67471                | 12,933                      |
| 1954        | Willy               | 73232                | 32,502                      |
| 1955        | Willy               | 80631                | 44,600                      |
| 1953        | Willy               | 61532                | 23,787                      |
| 1955        | Willy               | 93200                | 10,415                      |
|             | Hough               | G1146                |                             |
|             | Massey Ferguson     | 4768                 |                             |
|             | Truck 4x4           | 274A2                |                             |
|             | 16' Truck           |                      |                             |
|             | 14' Truck           |                      |                             |
|             | Pickup Truck        |                      |                             |
|             | Dolly Trailer Conv. | 79                   |                             |

| <u>YEAR</u> | <u>MAKE</u>         | <u>SERIAL NUMBER</u> | <u>ODOMETER<br/>MILEAGE</u> |
|-------------|---------------------|----------------------|-----------------------------|
|             | Dolly Trailer Conv. | 192                  |                             |
|             | 2500 Gal. SEMI      | 181149               |                             |
|             | Trailer press       | 1022                 |                             |
|             | Dolly Trailer (4W)  |                      |                             |
|             | Dolly Trailer (4W)  |                      |                             |

Office of the President  
June 21, 1983

FCR 3

Members, Board of Trustees:

USE OF FRATERNITY RESERVE

I. Phi Kappa Tau Fraternity House

Recommendation: that the Vice Chancellor for Administration be authorized and directed by the Board of Trustees to request the Trustee of University of Kentucky Student Housing Bonds of 1964 to make available the sum of \$10,000.00 for the purpose of paying the cost of complete renovation of two bathrooms and paneling corridors of the Phi Kappa Tau Fraternity House at 687 Woodland Avenue.

Background: This Bond issue provides for the establishment of a "Maintenance Reserve Account" which may be drawn and used by the Board for the purpose of repairs. As of March 31, 1983 this account had a balance of \$52,867.79.

II. Sigma Chi Fraternity House

Recommendation: that the Vice Chancellor for Administration be authorized and directed by the Board of Trustees to request the Trustee of University of Kentucky Student Housing Bonds of 1960 to make available the sum of \$5,000.00 from the "Maintenance Reserve Account" of said Bonds for the purpose of paying the cost of replacing the roof and bedroom doors of the Sigma Chi Fraternity House located at 704 Woodland Avenue.

Background: This Bond issue provides for the establishment of a "Maintenance Reserve Account" which may be drawn and used by the Board for the purpose of repairs. As of March 31, 1983 this account had a balance of \$36,952.20.

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Action taken: Approved   X   Disapproved            Other           

Date:   June 21  , 1983

Office of the President  
June 21, 1983

FCR 4

Members, Board of Trustees:

AUTHORIZATION TO CONVEY LAKE CUMBERLAND PROPERTY

Recommendation:

That the President be authorized to convey to Friends of Kentucky 4-H, Inc., a non-profit corporation, so much of the following described real property together with appropriate access thereto, located in Wayne County, Kentucky, as may appear necessary or desirable to permit construction and operation of a 4-H Leadership Training Center upon said property:

Beginning at an iron pin at the corner of the tract owned by the University of Kentucky and deeded to said owner by Herman Schoolcraft, Trustees for Lake Cumberland 4-H Leadership Development Center and the Lake Cumberland 4-H Center, Incorporated, which is defined by the boundary line S 38° 45' W 420.75 feet, and the boundary line S 82° 30' E 651.75 feet, thence 82° 30' E 64.00 feet, thence S 4° 30' W 20 feet to a second iron pin, thence S 4° 30' W 190.00 feet, thence N 85° 30' W 216.00 feet, thence S 22° 15' W 181.00 feet, thence S 66° 30' W 237 feet, thence N 28° 45' W 108.00 feet, thence N 66° 30' E 200 feet, thence 22° 15' E 105.00 feet, thence 4° 30' E 190.00 feet, thence S 85° 30' E 330.00 feet to the beginning at the second pin and containing 2.34 acres more or less.

Background:

The property in question is a small portion of a 320 acre tract located in Wayne County, Kentucky, conveyed to the Commonwealth of Kentucky for the use and benefit of the University of Kentucky College of Agriculture by Herman Schoolcraft, Trustee for Lake Cumberland 4-H Leadership Development Center and The Lake Cumberland 4-H Center, Incorporated, by deed dated August 4, 1972 and recorded in Deed Book 139, page 439 in the Office of the County Clerk of Wayne County, Kentucky. This conveyance was the culmination of a 20 county fund raising effort in the 1960's.

Friends of Kentucky 4-H, Inc., the current non-profit financial support group for the Kentucky 4-H program, is applying for an EDA grant which would, when added to gifts already received, provide for the construction of a 4-H Leadership Training Center.

Action taken: Approved   X   Disapproved            Other       

Date:   June 21  , 1983



In order to be eligible to receive the EDA grant the applicant (Friends of Kentucky 4-H, Inc.) must hold legal title to the property upon which it is proposed to construct the facility.

FCR 5

Members, Board of Trustees:

REPLACEMENT AND REPAIR PROJECTS

I. Roof Replacement - Blanding II Dormitory

Recommendation: that the Board approve a renovation project proposal for roof replacement at Blanding II, a three story dormitory and intersection canopy on Complex Drive on the Lexington Campus.

Background: the Kirwan/Blanding Undergraduate Housing Complex was completed in 1966. The proposed renovation involves replacement of the roofing material and insulation and related repair. Cost of the project is estimated at \$172,000; funds will be provided from Housing and Dining Services Renewal and Replacement Fund.

II. Roof Replacement - Blanding III Dormitory

Recommendation: that the Board approve a renovation project proposed for roof replacement at Blanding III, a three story dormitory on Complex Drive on the Lexington Campus.

Background: the Kirwan/Blanding Undergraduate Housing Complex was completed in 1966. The proposed renovation involves replacement of the roofing material and insulation and related repair. Cost of the project is estimated at \$147,000; funds will be provided from Housing and Dining Services Renewal and Replacement Fund.

III. Roof Replacement, Hospital Facility - Albert B. Chandler Medical Center

Recommendation: that the Board approve a renovation project proposed for roof replacement at the hospital building in the Albert B. Chandler Medical Center.

Background: the original hospital building was finished in 1959. The proposed renovation involves replacement of the roofing material and insulation and related repair.

It is prudent to complete this project before the eighth floor interior decor renovation project is completed. In order to expedite this project the Division of Design and Construction will prepare the drawings and specifications necessary for the construction contract documents.

Cost of the project is estimated at \$130,000; funds will be provided from Hospital Fund Balance.

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Action Taken: Approved   X   Disapproved            Other           

Date:   June 21  , 1983

IV. Repair HVAC System - Madisonville Community College

Recommendation: that the Board approve a renovation project proposed for repair of the two heating, ventilation and air conditioning systems at Madisonville Community College.

Background: the Madisonville Academic Facilities Building was completed in 1972. The HVAC systems are not being operated as designed for either the original building or the new addition. Parts of the systems are not functioning properly. Some equipment is defective; some is in poor condition and in need of repair. There are many occupant complaints. Phase I of this project will be a thorough check on all aspects of the systems, adjustment and repair of controls, equipment, and balance the systems. Following Phase I an assessment will be made of the adequacy of the two systems. Phase II will include redesign and reconstruction of systems as required. Cost of the project is estimated at \$158,000; funds will be provided from the Chancellor's Program Reserve Fund.

V. Masonry Repairs to Facade - Memorial Coliseum

Recommendation: that the Board approve for submission to the Council on Higher Education a renovation project proposed for repair of the masonry facade of the Euclid Avenue side of Memorial Coliseum.

Background: Memorial Coliseum was completed in 1950. The renovation involves an upper 30 or 40 feet of facade on each side (east and west) of the Euclid Avenue entry doors that has moved outward from original position due to lack of ties between facade and structure. This movement has so weakened the facade that its replacement or a repair solution is necessary as soon as possible. Cost of the project is estimated at \$245,000; funds will be provided by the Athletics Association.

Office of the President  
June 21, 1983

FCR 6

Members, Board of Trustees:

Lease of Space  
Jefferson Community College

Recommendation: That the Vice Chancellor for Administration, Lexington Campus, be authorized to enter into a lease agreement with the Baldwin-United Mortgage Company to lease approximately 24,000 square feet for a period of one year for a basic annual rental of \$134,650 (with an option to renew for two additional terms of one year each and an option to purchase the building located at 101 West Broadway, Louisville, Kentucky, at a purchase price of \$950,000, providing there is an availability of funds and all necessary approvals are met); and further, that the Vice Chancellor be authorized and directed to negotiate the lease upon such terms and conditions as to him appear to be in the best interests of the University and Jefferson Community College.

Background: The leasing and the option of purchasing this property will provide more appropriate space needed to centralize and expand institutional and student support programs of the Jefferson Community College Downtown Campus. These programs are currently scattered throughout the campus in office space not designed to house such programs. This property will also provide an additional 30 parking spaces. This property is within the boundary of the land-use plan and has been marked for "future acquisition" for over ten years. If the University eventually acquires this property, the land acquisition plan will be complete for the downtown campus.

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Action taken: Approved   x   Disapproved            Other           

Date:   June 21  , 1983

Office of the President  
June 21, 1983

# SCCR 1

Members, Board of Trustees:

AMENDMENT OF CODE OF STUDENT CONDUCT

Recommendation: that the Board of Trustees approve the following changes in the Code of Student Conduct, effective August 16, 1983: the suggested revision pertaining to sexual harassment in Article II - University Rights of Students, and the revisions throughout the document formulated to reflect the administrative reorganization, to remove references to gender, and to make editorial changes in the interest of clarity and readability.

Background: Under Article VII - Amendment, only the Board of Trustees may amend the Code of Student Conduct. Responsibility for proposing revisions to the Code of Student Conduct is delegated to a committee consisting of students, faculty, and administrators. The committee accepts and reviews recommendations from students, faculty, and administrators regarding revisions and forwards them to the President for presentation to the Board of Trustees for its consideration.

As in past years, the committee solicited proposals for Code revision from members of the University community via The Kernel. Proposals were initiated both outside and within the committee and those being seriously considered by the committee were published in The Kernel, with an invitation to comment on the proposals. The committee then endorsed and submitted the proposals to the President for his consideration and forwarding to the Board of Trustees.

The proposed revisions were approved by the President, and they were forwarded to the Board of Trustees Student Code Committee, which concurs and recommends approval by the Board.

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Action: Approved   x   Disapproved        Other                     

Date:   June 21  , 1983.

PROPOSAL #1  
from  
STUDENT CODE REVISION COMMITTEE  
Pertaining to Sexual Harassment

Material to be added is underlined.

Article II - University Rights of Students

2.29

A student shall be free of sexual harassment by University faculty, staff, supervisors, and employees. Sexual harassment -- a form of sex discrimination -- includes unwelcome sexual advances, requests for sexual favors, or other verbal or physical actions of a sexual nature when submission to such conduct is made explicitly or implicitly a term or condition of the student's status in a course, program, or activity; or is used as a basis for academic or other decisions affecting such student; or when such conduct has the purpose or effect of substantially interfering with the student's academic performance, or creates an intimidating, hostile, or offensive academic environment.

1.64 Jurisdiction Over Cases Involving Student Rights Specified in  
Article II

c. The Appeals Board may then, by majority vote, agree to hear the student's case, decline to hear a case if it finds that it is being processed through other appropriate University channels, or allow the Dean's and/or the Academic Ombudsman's decision to be final.

1.65 Disposition of Cases of Student Rights

Reletter subsection f to g; insert new subsection f

f. The Board may request the appropriate University official to direct compliance with Section 2.29.

PROPOSAL #2  
from  
STUDENT CODE REVISION COMMITTEE

Material to be added is underlined. Material to be deleted is in brackets

ARTICLE I -- THE UNIVERSITY JUDICIAL SYSTEMS

Page 2

- 1.21 i. The threat or commission of physical violence against any University employee for the purpose of influencing [his/her] the employee's official actions;

Page 3

- p. Giving false testimony or other evidence at any hearing of the University Judicial Board or the University Appeals Board;
- 1.33 The University cannot accept remand of students charged or convicted of violations of federal, state or local law for the purpose of imposing disciplinary sanction. However, a University representative may accept court appointment of probation of a student to [his/her] the representative's care and may impose [such] appropriate requirements. [as he/she sees fit.] If such student violates these requirements, the student must be returned to court for such further proceedings as the court shall determine, but not for University disciplinary sanction.

Page 4

- 1.41 When the Dean, after investigation into an alleged violation of the disciplinary rules, believes a student has committed a disciplinary offense defined in Section 1.2 or 6.3, the Dean shall notify and charge the student [that he/she is charged] with said offense. Thereafter the Dean shall first notify the student of [his/her rights,] "The Rights of the Accused" (see Sec. 2.3), after which the Dean may solicit a plea of guilty or not guilty, which shall be in writing and notarized.

Page 5

- 1.44 The accused shall enjoy the right to [have the assistance of an advisor of his/her choice] choose an advisor to assist during all preliminary conferences and judicial hearings and shall be informed of this right. However, [he/she] the student is not entitled to an advisor during any counseling session except at the request of the Dean.

- 1.47 The Dean of Students shall have broad investigatory powers in the nonacademic cases and the Dean shall receive prompt and full cooperation from students, student organizations, faculty and administrators. The Dean may recommend policies or practices that should be terminated, modified, or initiated to the Student Government Association, the Senate Council, deans, department heads, or other appropriate persons.

Page 6

- 1.52 b. The U. J-Board shall have the sole authority to determine the issue of guilt in those cases referred to it pursuant to Section 1.43. It shall have the sole authority to impose sanction(s) short of actual suspension or dismissal upon any student found guilty of a violation of University disciplinary rules defined in Section 1.2. If the U. J-Board believes that actual suspension or dismissal is the appropriate remedy, it shall recommend such action to the [Vice President for Student Affairs] Vice Chancellor for Student Affairs, Lexington Campus.
- 1.53 a. The Hearing Officer shall be the Chairman of the Board and [he/she] shall convene meetings of the Board at such times and places as necessary to carry out its duties. The Hearing Officer shall be a non-voting member of the Board as to the issue [or] of guilt or innocence and as to the sanction, but shall decide and rule upon all questions of law, whether [they be] substantive or procedural. The Hearing Officer shall neither sit in on nor take part in the Board's deliberation of the issue of innocence or guilt or of sanction. [He/she] The Hearing Officer shall be available to advise upon procedural questions arising under this Code.

Page 7

- 1.54 a. All members of the U. J-Board, except the Hearing Officer, must be students enrolled at the University of Kentucky on the Lexington campus, in the Medical Center, or in the Lexington Technical Institute [of the Community College System]. They must be in good disciplinary standing with the University.
- 1.55 a. The selection process for members of the U. J-Board, with the exception of the Hearing Officer, will be conducted jointly by the Dean of Students and the President of the Student Government Association or their designated representatives. For the purpose of arriving at the composition of the U. J-Board, a pool of names will be selected by accepted computerized random sampling methods from data representing all students enrolled for the current semester in the University of Kentucky [System] on the Lexington campus, in the Medical Center, [and] or in the Lexington Technical Institute [of the Community College System]. Every reasonable means will be employed to contact the eligible students at their last known address as to their willingness to serve. A U. J-Board will be constituted of the first twenty-eight students affirmatively responding in the order of original selection.



- 1.55 b. The Hearing Officer shall be appointed by the President of the University. The President or, in the President's absence, the Vice President for Administration may appoint a substitute for the Hearing Officer at any time that officer is unavailable to serve when [his/her services are] needed.
- c. Any member of the U. J-Board is obligated to withdraw from participation in a hearing when the member [doubts his/her ability] feels unable to hear the case fairly and objectively and to decide its outcome impartially on the basis of evidence adduced.

Page 9

- 1.63 b. If the student requests a review of the sanction, the Appeals Board shall recommend what it believes to be the appropriate sanction to the [President] Chancellor of the academic sector in which the student is enrolled, who shall set the sanction.
- 1.64 a. The Appeals Board shall hear any case referred to it by the Dean of Students and/or by the Academic Ombudsman and may grant the written appeal of any student to hear a case not referred to it by the Dean of Students and/or the Academic Ombudsman. This written appeal must be submitted within 30 days after the Dean and/or the Academic Ombudsman [communicates both] has communicated to the Appeals Board and to the student that [either he/she considers the case without merit or is unable to terminate satisfactorily the grievance] the case is without merit or the grievance cannot be satisfactorily terminated.
- 1.65 b. The Board may request the [Vice President for Student Affairs] Vice Chancellor for Student Affairs, Lexington Campus to give an applicant a scholarship, or financial aid, when such have been denied or withdrawn in violation of Section 2.12.
- c. The Board may request the [Vice President for Student Affairs] Vice Chancellor for Student Affairs, Lexington Campus to make available University facilities and services on a fair and equitable basis when a violation of Section 2.13 has been proved.
- d. The Board may request the [Vice President for Student Affairs] Vice Chancellor for Student Affairs, Lexington Campus to have any papers, property or personal effects, taken from a student's person or premises in violation of Section 2.21, returned to the student.

Page 10

- 1.66 a. The Hearing Officer
- The Hearing Officer shall be the Chairman of the Board[.] and [He/she] shall be a person with training in the law appointed by the President of the University for a one-year term, beginning on September 1, and ending on August 31. [He/she] The Hearing Officer shall convene and preside at all meetings of the Board, with the exception of the Board's deliberations on questions

concerning innocence or guilt or sanction. When the Board is exercising original jurisdiction, all questions of law, either substantive or procedural, and all procedural questions shall be addressed to and ruled upon by the Hearing Officer. If the Hearing Officer [is not present for any case] cannot serve when needed, the President or, in the President's absence, the Vice President for Administration shall appoint a temporary substitute.

b. The Student Members

The student membership shall consist of a graduate or professional student and two undergraduate students. The undergraduates must be either juniors or seniors in good academic standing and the graduate or professional student must have been in residence at least one year and be in good academic standing. [in his or her school or college.] They shall be appointed to one year terms, subject to reappointment. Their terms shall begin September 1 and end August 31. Members shall be [chosen] appointed by the President of the University from the recommendations of the legislative branch of the Student Government[.] Association.

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1.67 Temporary Appointments

If a sufficient number of the members of the U. J-Board are not present at any time when that Board has duties to perform, the President of the Student Government[,] Association, with the advice and consent of the [Vice President for Student Affairs] Vice Chancellor for Student Affairs, Lexington Campus, shall make such temporary appointments as are necessary to insure that the required number of members are present. Such temporary appointments need not be preceded by the recommendations otherwise provided herein. If a sufficient number of the members of the Appeals Board are not present at any time when that Board has duties to perform, the President of the University or, in the President's absence, the Vice President for Administration, shall make such temporary appointments as are necessary to insure that the required number of members are present. Such temporary appointments need not be preceded by the recommendations otherwise provided herein. However, in no case shall a faculty member replace a student member or a student member replace a faculty member.

If at any time, in the judgment of the Hearing Officer of the affected board, there are sufficient cases pending before either the U. J-Board or the Appeals Board that it is unlikely that the pending cases can be processed within sixty days, or within the time prescribed otherwise in the Code, whichever is the shorter period, [he/she] the Hearing Officer shall notify the President of that fact. The President may then take one or all of the following steps: (a) activation of additional boards from those persons already appointed (to the 28 member panel in the case of the U. J-Board or to alternate status in the case of the Appeals Board)

and appointment of a Hearing Officer for each such additional board in conformity with sections 1.53 a., 1.54 c., 1.55d., and 1.66 a; (b) appointment of additional boards, for designated cases and time periods, of the size and general composition as provided in sections 1.53, 1.54, 1.55 and 1.66 and appointment of a Hearing Officer for each such additional board in conformity with sections 1.53 a., 1.54 c., 1.55 d., and 1.66 a.; (c) appointment of three-person hearing panels for designated cases and time periods. In making the appointments to such three-person hearing panels the President of the University should, if reasonably possible, consult with the President of the Student Government Association. The authority, jurisdiction, and range of possible actions of, and the guaranteed rights of an accused person before, any special board or panel appointed or activated under the terms of (a), (b), or (c) above shall be the same as those applicable to the parent board supplemented by such appointment or activation.

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- 1.71 In the event that the [Vice President for Student Affairs] Vice Chancellor for Student Affairs, Lexington Campus has reasonable cause to believe that a student's presence may result in injury to others or University property, or in the event that the student has been charged with a crime so serious as to threaten the welfare of the University community, the [Vice President] Vice Chancellor may impose such temporary sanctions as the [Vice President] Vice Chancellor consider[s]ed necessary to protect members of the University community or its property, including exclusion from University property. Upon taking such action, the [Vice President] Vice Chancellor shall notify the University Appeals Board. The student may appeal the [Vice President] Vice Chancellor's decision to the University Appeals Board in writing within 30 days. If requested in the written appeal, the Chairman will call a meeting of the Board to hear the case within 72 hours. The Board shall consider the student's academic needs to attend class, to use the library, and to fulfill [his/her] any other academic responsibilities in making its recommendation. This Board may recommend to the [President of the University] Chancellor of the academic sector in which the student is enrolled changes or extensions of the [Vice President's] Vice Chancellor's action. The [President] Chancellor then shall determine the sanctions to be imposed. Such temporary sanctions shall be enforced only for such time as the conditions requiring them exist. Accordingly, the University official who made the final determination of the sanction to be imposed shall have jurisdiction for the purpose of reconsidering this sanction in the light of new circumstances.

Alternatively, the circumstances shall be reviewed by the Board whenever there are indications that they have been changed and upon an appeal in writing from the student involved.

- 1.84 Exclusion from participation in any and all privileges or extracurricular University activities except for attendance in classes in which officially enrolled, with the exception of defined privileges which are necessary and required, for a specified period of time. If a student, while on undated suspension, violates any of the terms set forth in the notice of undated suspension, or violates the Code of Student Conduct, as determined after the opportunity for a hearing, the student shall be subject to further discipline in the form of suspension[,] or dismissal.
- 1.86 Exclusion from the campus and termination of student status for an indefinite period. The conditions of reconsideration for readmission will be specified at the time of dismissal. The student may be readmitted to the University only with the specified approval of the [President of the University] Chancellor of the academic sector in which the student is enrolled upon recommendations of the Appeals Board.

## ARTICLE II - UNIVERSITY RIGHTS OF STUDENTS

- 2.11 An applicant for admission to the University shall not be discriminated against because of race, color, religion, sex, marital status, national origin, age or beliefs. Moreover, no otherwise qualified handicapped person will be denied admission solely because of [his/her] the person's handicap.
- 2.12 An applicant for, or a recipient of, University financial aid, a University grant-in-aid, or a University scholarship, [utilizing public funds] shall not be discriminated against because of race, color, religion, sex, marital status, national origin, age, or beliefs. Moreover, no otherwise qualified handicapped person will be denied financial aid solely because of [his/her] the person's handicap.
- 2.21 A student shall be free from searches and seizures of [his/her] person and possessions while on University property unless said search and seizure is conducted in accordance with state and federal laws. In cases of imminent danger or when there are reasonable grounds upon which to believe it is necessary to conduct a search immediately in order to protect life or property, searches may be conducted in the presence of the Dean of Students or another member of the Dean's staff acting as the Dean's authorized representative.

- 2.22 A student's disciplinary record shall be kept separate and confidential unless [he/she] the student consents in writing to have it revealed. However, the Dean of Students may disclose the student's disciplinary record without the student's consent if legal compulsion or the safety of people or property is

involved, or if the information is required by authorized University personnel for official use at the University of Kentucky. In these circumstances, only the information pertinent to the inquiry may be revealed. The Dean may also act without the student's consent to have a statement of suspension or dismissal entered on the student's academic record for the time that this disciplinary sanction would prohibit the student from registering. Written notice of this statement shall be sent to the student.

- 2.24 Student Mental Health records are maintained separately in a confidential file. If it becomes apparent in the course of treatment that the student is likely to cause injury to [him] self or others, pertinent information to this extent may be revealed for protection of the student or others.
- 2.25 Official records and information maintained by the Dean of Students Office are treated in a confidential manner. A student has the right to view [the] disciplinary records maintained on [him or her.] the student. [Discipline] Disciplinary and judicial records and the information contained therein will not be released except with the written authorization of the student.

General information about a student will not be released [when a] if the student has filed with the Registrar of the University, in writing, a request stating the information to be withheld.

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- 2.312 No student shall be compelled to give testimony which might tend to be self- [incriminate him/her,] incriminating and [his/her] refusal to do so shall not be considered evidence of [his/her] guilt.
- 2.313 The accused student shall be informed in writing of the reasons for [his/her] appearance before any judicial agency with sufficient particularity and in sufficient time to insure an opportunity to prepare for the hearing.
- 2.314 The accused shall be entitled to receive upon request a copy of all rules and procedures governing the judicial agency at least 72 hours prior to [his/her] appearance before the agency.
- 2.315 The accused student shall enjoy the right to hear and question [the] all witnesses [against him/her] and to present witnesses [in his/her own favor] of the student's choice.
- 2.316 The accused [shall enjoy the right to have the assistance of an advisor of his/her choice] may choose an advisor to assist in all processes of the University Judicial System.

- 2.319 The accused student shall have the right to either an open or closed hearing. All hearings before any judicial agency shall be closed unless the accused student requests that said hearing be open. If a student desires an open hearing, [he/she] the student must file a written request with the Hearing Officer at least 24 hours prior to the time set for the hearing. The Hearing Officer will then admit to the hearing, in addition to those admitted to closed hearings[,]; one properly identified member of the working press from the student newspaper[,] and from each established newspaper, magazine, television station or radio station requesting admissions; four persons invited by the accused student; and four persons invited by the University Counsel. The Hearing Officer may order the removal of any disruptive person from the hearing.
- 2.321 Failure to adhere to the procedures contained in this Code or a violation of the rights of students contained in this Code shall be sufficient basis for reconsideration of the case by the appropriate judicial body.

Page 19, Section

- 3.231 Any student organization which wishes to use the University of Kentucky's name, [University] facilities, or property, or to solicit thereon, must complete the registration form available in the Office of the Dean of Students.
- 3.232 [Its] The registration of any student organization is at the discretion of the Dean of Students and is dependent upon the completion of the required application form and compliance with the rules and additional criteria the Dean may set forth. Such criteria shall be established and published by the Dean and made uniform for all similar types of organizations. The Dean may limit [its] an organization's registration, with its concomitant permission, to a fixed period of time, the length to be determined at the Dean's discretion.
- 3.233 Student organizations must be registered before they may use University facilities or property. The Dean of Students, with the approval of the President, shall promulgate regulations governing the use of University facilities by registered student organizations[.] (See A.R. II - 7.0-2). These regulations shall specify the times when facilities and premises are available for use, the manner in which they may be used, and how they may be reserved.
- 3.241 d. Every political organization shall have a University advisor elected by the membership[, ] or, failing that, appointed by the Dean of Students as well as a faculty advisor elected by the membership.

Page 20, Section

- 3.242 b. Faculty Advisor: a member of the faculty of the University connected with or interested in the organization, who shall offer support and advice to the officers in carrying out the purposes of the organization, and shall [make himself/herself] be available to meet with members of the organization at their regular meetings or at a special meeting called for that purpose.
- A non-tenured faculty member shall not advise more than one registered student organization; a tenured faculty member[, however, shall not have any specific restrictions on the number of registered student organizations which he/she may advise at any one time.] may advise any number of registered student organizations at any one time.
- c. Sponsor: a responsible adult interested in the purposes of the organization who shall give guidance and counsel to the officers in carrying out the purposes of the organization and shall [make himself/herself] be available to meet with the members of the organization at their regular meetings.

Page 21, Section

- 3.35      Knowingly electing, appointing, or retaining as an elected or appointed officer or committee chairman any student on academic probation, financially delinquent to the University (in accordance with Article V), or under any disciplinary sanction[s] which prohibits [him/her] the student from holding such office.
- 3.51      Within 30 days of receipt of notice that the Dean of Students has refused or cancelled the registration of an organization, the officers or proponents of such organization shall be given the right to appeal in writing to the [Vice President for Student Affairs.] Vice Chancellor for Student Affairs, Lexington Campus.
- 3.52      The [Vice President] Vice Chancellor may either grant or reinstate the registration in question or refer the matter to the University Appeals Board. The Appeals Board shall forward its recommendation to the [President] appropriate Chancellor, whose decision shall be final.

Page 22, Section

- 5.11      The University expects the student to be financially responsible and not be delinquent in [his/her] financial obligations to the University or to any department or division thereof, including room and board payments to sanctioned fraternal student organizations. Such obligations shall not include fines and penalties assessed against the student by other than University officers.

Page 23, Section

- 5.21      An [Offices] office or a department[s] of the University, with previous approval of the [Vice President for Business Affairs] Vice Chancellor for Administration, Lexington Campus, will notify a student twice of [an] any unmet financial obligation owed to [them] it exceeding \$20.00.
- If not paid within 60 days, from date due, the office or department will notify the Registrar's Office that the student is delinquent.
- 5.31      If there is a dispute as to whether or not a student is legally liable for a financial obligation asserted by the University, and the student challenges such obligation through either timely administrative [(Vice President for Business Affairs)] (Vice Chancellor for Administration, Lexington Campus) or legal means, then the sanctions of Article V with respect to registration shall not apply until final resolution of the dispute.
- 6.11      The University of Kentucky has long honored the right of free discussion and expression, peaceful picketing and demonstrations, the right to petition and [peaceably to assemble] peaceful assembly. It is equally clear, however, that in a community of learning, interference, coercion or disruption cannot be tolerated.



Page 24, Section

- 6.41 When it appears that there is a violation of Section 6.3, the [Administration of the] University administration is authorized and directed to take one or more of the following actions:
- a. Prefer charges under the Code of Student Conduct.
  - b. Impose interim suspension.
  - c. Declare a state of emergency.
  - d. Make application to the courts for injunctive relief.
  - e. Request the assistance of outside law enforcement agencies available under the laws of the Commonwealth of Kentucky.
  - f. Take such other actions deemed necessary by the President of the University to protect lives and property and provide for the orderly operation of the institution.

Page 25, Section

- 6.62 Interim suspension may be imposed only by the President of the University, the Vice President for Administration, the Chancellors, the Vice [President] Chancellor for Student Affairs, Lexington Campus, or the Dean of Students when any one of these officials has reasonable cause to believe that a student has committed an offense defined in Section 6.3.

Page 26, Section

- 6.72 b. The President, the Vice President for Administration, the Chancellors, the Vice [President] Chancellor for Student Affairs, Lexington Campus, the Dean of Students or their authorized representatives may impose upon any person such temporary sanctions as are considered necessary to protect members of the University community or its property, or to prevent disruption of the University.