

Minutes of the Meeting of the Board of Trustees of the University
of Kentucky, Tuesday, May 4, 1976.

The Board of Trustees of the University of Kentucky met in regular statutory session at 2:00 p.m., Eastern Daylight Time, on Tuesday, May 4, 1976, in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington campus of the University, with the following members present: Professor Michael Adelstein, Mr. William R. Black, Mr. Albert G. Clay, Mr. Richard E. Cooper, Mr. John R. Crockett, Mr. John R. Gaines, Mr. George W. Griffin, Mr. James Harralson, Mrs. David Hermansdorfer, Mr. Thomas B. Kessinger, Mr. Frank Ramsey, Jr., Mr. Homer W. Ramsey, Professor Paul G. Sears, Mr. William B. Sturgill, and Dr. John R. Woodyard. Absent from the meeting were: Mr. Stanley Burlew, Mrs. Robert O. Clark, Mr. Zirl A. Palmer, and Mr. James A. Sutherland. Members of the administration present were: President Otis A. Singletary, Vice Presidents Lewis W. Cochran, Robert Zumwinkle, Stanley Wall, Peter P. Bosomworth, John T. Smith, Raymond R. Hornback, Jack C. Blanton, and Donald B. Clapp; Dr. Robert M. Drake, Special Assistant to the President, and Mr. John Darsie, Legal Counsel. Representatives of the various news media were also in attendance.

A. Meeting Opened

Mr. William B. Sturgill, Chairman, called the meeting to order at 2:00 p.m. The invocation was pronounced by Mr. Black. The Secretary, Professor Paul G. Sears, reported a quorum present and the meeting was declared officially open for the conduct of business at 2:03 p.m.

B. A Resolution Accepting the Successful Bid on \$7,500,000 of
the University of Kentucky Consolidated Educational Buildings
Revenue Bonds, Series F

The Chairman then stated that it was in order to receive bids for the new issue of buildings revenue bonds. Thereupon, the bids which had been received for the \$7,500,000 of the University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series F, dated May 1, 1976, were opened, fully considered and the best bid determined. Bids were submitted by:

Blyth Eastman Dillon & Company, Incorporated & Associates
The First Boston Corporation

Citibank, N. A.	)	Joint
Lehman Brothers, Incorporated	)	
Reynolds Securities, Incorporated	)	Managers
Bache Halsey Stuart Incorporated		
John Nuveen & Company, Incorporated	)	Joint
White, Weld & Company, Incorporated	)	
Johnston, Brown, Burnett & Knight Incorporated	)	Managers
Salomon Brothers & Associates		
Smith Barney Harris Upham & Company		

Copies of the said bids are attached to the Minutes.

Thereupon, Mr. Clay introduced, caused to be read and moved for the immediate adoption of a resolution entitled:

A RESOLUTION ACCEPTING THE SUCCESSFUL BID ON
\$7,500,000 OF UNIVERSITY OF KENTUCKY CONSOLIDATED
EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES F

a true copy of which was presented to the meeting at which said resolution was proposed and a true copy of which is attached to these Minutes. The motion on the adoption of said resolution was seconded by Mr. Homer Ramsey. After full discussion, the Chairman put the question, and upon call of the roll, the vote was recorded as follows: Voting aye: Professor Michael Adelstein, Mr. William R. Black, Mr. Albert G. Clay, Mr. Richard E. Cooper, Mr. John R. Crockett, Mr. John R. Gaines, Mr. George W. Griffin, Mr. James Harralson, Mrs. David Hermansdorfer, Mr. Thomas B. Kessinger, Mr. Frank Ramsey, Jr., Mr. Homer W. Ramsey, Professor Paul G. Sears, Mr. William B. Sturgill, and Dr. John R. Woodyard. Voting nay: None.

The Chairman then announced that the resolution had been duly adopted and was in full force and effect.

C. Minutes Approved

On motion duly made, seconded and carried, the reading of the Minutes of the April 6, 1976 meeting of the Board of Trustees was dispensed with and the Minutes were approved as published.

D. 1976-77 Meeting Dates

On motion duly made, seconded and carried, the dates for meetings during the 1976-77 fiscal year were established. The schedule of the meetings is included at the end of the Minutes.

Mr. Sturgill announced that the June 15, 1976 meeting of the Executive Committee was cancelled and that there would be a full Board meeting on Tuesday, June 22, 1976.

E. Introduction of Guests

President Singletary introduced Dr. Thomas Lewis the new Dean of the College of Law, and Mr. Michael McLaughlin, the new President of Student Government who will be sworn in as a member of the Board of Trustees at the June 22 meeting. He also announced that Dr. Frank Buck had agreed to serve as Academic Ombudsman for 1976-77.

F. President's Report to the Trustees (PR 1)

In the interest of saving time, President Singletary did not review his monthly report, but suggested that the members read it at their leisure.

G. Recommendations of the President (PR 2)

President Singletary recommended that PR 2, Recommendations of the President, be approved as a whole. Without discussion, on motion by Mr. Clay, seconded by Mr. Frank Ramsey. PR 2, Recommendations of the President, was approved and ordered made an official part of the Minutes of the meeting. (See PR 2 at the end of the Minutes.)

H. Supplemental Recommendations of the President (PR 3)

President Singletary recommended that the College of Architecture Library be named for Hunter M. Adams, noting that the recommendation came from the College of Architecture and the University Libraries with the concurrence of the Committee on Naming University Buildings.

On motion by Mr. Cooper, seconded by Mr. Homer Ramsey, and passed the College of Architecture Library was named the Hunter M. Adams Library of Architecture. (See PR 3 at the end of the Minutes.)

I. Candidates for Degrees-- University System (PR 4)

Noting that the May meeting of the Board of Trustees was held for the primary purpose of approving candidates for degrees to be conferred at the May commencement exercises, President Singletary recommended that PR 4 be approved.

On motion by Mr. Kessinger, seconded by Mr. Cooper, and passed the President was authorized to confer upon each individual whose name appears on the list provided, the degree to which he is entitled upon certification by the Dean of Admissions and Registrar that the individual had satisfactorily completed all requirements for the degree for which he had made application and as approved by the University Senate. (See PR 4 at the end of the Minutes.)

J. Candidates for Degrees-- Community College System (PR 5)

President Singletary recommended that the degrees and certificates specified on the list provided be awarded to the persons named, upon certification that the requirements for the degrees or certificates have been satisfactorily completed. It was so moved by Mr. Harralson. His motion was seconded by Mr. Crockett and passed without dissent. (See PR 5 at the end of the Minutes.)

K. Budget Revisions for 1975-76 (PR 6)

Upon the recommendation of President Singletary, Mr. Clay moved that the revisions in the 1975-76 Budget as proposed in PR 6 be authorized and approved. His motion was seconded by Mr. Griffin and carried. (See PR 6 at the end of the Minutes.)

L. Change of Departmental Titles (PR 7a and 7b)

Suggesting that PR 7a and 7b be acted on together, President Singletary recommended approval of change in titles for two departments in the College of Dentistry and the College of Medicine.

Mr. Homer Ramsey moved that the title of the Department of Pedodontics in the College of Dentistry be changed to the Department of Pediatric Dentistry and the title of the Department of Cell Biology in the College of Medicine be changed to the Department of Medical Microbiology and Immunology. His motion was seconded by Professor Sears and carried. (See PR 7a and 7b at the end of the Minutes.)

M. Amendments to the Governing Regulations (PR 8a and 8b)

Since the proposed amendments to the Governing Regulations had been on the table since the April 1976 meeting of the Board, there was no discussion, and on motion by Mr. Frank Ramsey, seconded by Mr. James Harralson, PR 8a and 8b were approved as recommended. (See PR 8a and 8b at the end of the Minutes.)

N. Operating Budget for 1976-77 (PR 9)

President Singletary opened his budget presentation by noting that the funds in the Executive Budget adopted by the Legislature provided for approximately 50% of the new state funds requested. The University's operating budget for 1976-77 totaled \$186,809,300 of which \$88,081,500 comes from state appropriations. Other sources of support include student fees, federal appropriations, county appropriations, endowment income, investment income, gifts and grants, institutional sales and services, income derived from the operation of the University Hospital, fund balances, auxiliary services income, and restricted funds.

Increases in budget allocations for 1976-77 were given as follows:

Fixed costs	
(Maintenance and Operation and Staff Benefits)	\$ 782,100
Program expansion and enrichment	2,735,000
New programs	261,500
Salary increases and related staff benefits	4,484,300
Total	<u>\$8,262,900</u>

The President noted that the single largest increase in the budget is the salary increases for the employees of the University of Kentucky. The biennial budget request included a request for funds to provide an average salary increase of 10%, plus funds to adjust the salary scales of certain categories of classified employees to make them more competitive. Funds were provided in the Governor's Executive Budget to provide a maximum increase of 5% plus salary catch up for the Community College faculty. Funds in the University's budget will provide an increase averaging 5% plus additional adjustments in some classified scales. Funds were not provided for salary catch up for faculty on the Lexington campus or certain categories of classified employees. Salaries for the faculty have fallen about \$1,300 below the median salary in like institutions in surrounding states and classified salaries have fallen behind salary scales for comparable positions at the local and state levels. The University cannot permit the continued erosion of its competitive salary situation. Therefore, salaries will be the top priority after fixed costs in the next biennial budget request.

At the conclusion of Dr. Singletary's presentation Professor Adelstein distributed copies of a paper showing the results of a study he had made on faculty salaries, which reinforced the President's concern that salary erosion could not be allowed to continue. A copy of the study is appended at the end of the Minutes.

Mr. Sturgill thanked President Singletary for his presentation and asked if there were any questions. There being none, Mr. Frank Ramsey

moved that the 1976-77 operating budget as submitted be approved in accordance with the recommendation in PR 9. His motion was seconded by Mr. Kessinger and passed. (See PR 9 at the end of the Minutes.)

O. Approval of Capital Construction Projects (PR 10)

President Singletary recommended that the Board approve four capital construction projects as listed in PR 10 for submission to the Council on Public Higher Education. Mr. Black so moved. His motion was seconded by Professor Sears and carried. (See PR 10 at the end of the Minutes.)

P. Reorganization of the College of Arts and Sciences (PR 11)

President Singletary explained that the recommendation to establish two new colleges was the result of a study begun in 1973 by the administration of the College of Arts and Sciences in an effort to reduce the size and complexity of the College through the separation of appropriate units. The study was completed and referred to the University Senate. During 1974-75 the Senate recommended an internal academic reorganization in the College which was implemented in the 1975-76 academic year. During 1975-76 the Senate recommended the further academic reorganization proposed in the recommendation made in PR 11.

Professor Adelstein moved that the present School of Music, Department of Art and Department of Theater Arts be separated from the College of Arts and Sciences to form a new College of Fine Arts composed of these units and that the present School of Journalism and Department of Human Communication be separated from the College of Arts and Sciences to form a new College of Communications composed of these units. All existing courses, programs and degrees offered by these units will be transferred from the College of Arts and Sciences and continued by the units. This academic reorganization will be effective with the opening of the 1976-77 fall semester. His motion was seconded by Professor Sears and passed. (See PR 11 at the end of the Minutes.)

Q. Interim Financial Report (FCR 1)

Mr. Clay, Chairman of the Finance Committee, noted that the Interim Financial Report for the period ending March 31, 1976, indicated the University was "on target" and moved that the report be accepted. His motion was seconded by Dr. Woodyard and passed. (See FCR 1 at the end of the Minutes.)

R. Agreement with Spindletop Hall, Inc. (FCR 2)

Mr. Clay said that the Finance Committee had examined the agreement with Spindletop Hall, Inc. and recommended approval. Mr. Clay so moved. His motion was seconded by Mr. Cooper and passed. (See FCR 2 at the end of the Minutes.)

S. Implementation of Malpractice Legislation (FCR 3)

Mr. Clay moved that the recommendation in FCR 3 be adopted. His motion was seconded by Mr. Crockett and passed. (See FCR 3 at the end of the Minutes.)

T. Report of Investments (ICR 1)

Mr. Griffin, Chairman of the Investment Committee, moved that the report of investments for the period ending March 31, 1976 be accepted. His motion was seconded by Mr. Black and passed. (See ICR 1 at the end of the Minutes.)

U. Medical Staff Bylaws, Rules and Regulations (MCR 1)

Dr. Bosomworth explained that the Medical staff of the University Hospital is required by the Joint Commission on Accreditation of Hospitals to have bylaws and rules and regulations. He said that the proposed revisions in the bylaws and the newly established rules and regulations had been considered by the Medical Center Committee and been approved by that group.

Dr. Woodyard moved approval of the revisions in the medical staff bylaws and the newly established rules and regulations as set forth in MCR 1. His motion was seconded by Mr. Black and passed. (See MCR 1 at the end of the Minutes.)

V. Meeting Adjourned

There being no further business to come before the meeting, on motion duly made, seconded and carried, the meeting adjourned at 3:20 p.m.

Respectfully submitted,

Paul G. Sears, Secretary, Board of
Trustees, University of Kentucky

(Copies of Bids, Resolution Accepting Bids, Notice of Sale of Bonds, and Official Statement for the \$7,500,000 University of Kentucky Consolidated Educational Buildings Revenue Bonds; Schedule of Meeting Dates; PR's 2, 3, 4, 5, 6, 7a, 7b, 8a, 8b, 9, Adelstein Study of Faculty Salaries, PR's 10 and 11; FCR's 1, 2, and 3; ICR 1; and MCR 1 which follow are official parts of the Minutes.)

OFFICIAL BID FORM

\$7,500,000
BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES F
DATED MAY 1, 1976

TO THE HONORABLE CHAIRMAN AND
MEMBERS OF THE BOARD OF TRUSTEES
OF UNIVERSITY OF KENTUCKY
Lexington, Kentucky 40506

May 4, 1976

Gentlemen:

We have examined your "Notice of Sale of Bonds" and "Official Statement" as to the sale of the above bonds. By our submission of this bid, we evidence our agreement to the terms and conditions of your sale offering as represented therein.

We hereby offer to purchase the entire \$7,500,000 of said identified bonds, and will pay you for said Bonds an aggregate sum of \$7,387,732.00 plus accrued interest from May 1, 1976 to date of delivery and payment, upon condition that the Bonds are caused to mature as to principal on May 1 in the respective years, and interest coupon rates are established and made applicable to said Bonds, as shown in the following schedule:

Year	Amount	Coupon Rate	Year	Amount	Coupon Rate
1977	\$ 50,000	<u>5.80</u> %	1990	\$ 110,000	<u>5.80</u> %
1978	45,000	<u>5.80</u> %	1991	120,000	<u>5.90</u> %
1979	50,000	<u>5.80</u> %	1992	125,000	<u>6.00</u> %
1980	55,000	<u>5.80</u> %	1993	140,000	<u>6.10</u> %
1981	55,000	<u>5.80</u> %	1994	145,000	<u>6.20</u> %
1982	60,000	<u>5.80</u> %	1995	150,000	<u>6.30</u> %
1983	65,000	<u>5.80</u> %	1996	170,000	<u>6.40</u> %
1984	70,000	<u>5.80</u> %	1997	175,000	<u>6.40</u> %
1985	70,000	<u>5.80</u> %	1998	190,000	<u>6.50</u> %
1986	75,000	<u>5.80</u> %	1999	1,100,000	<u>6.50</u> %
1987	90,000	<u>5.80</u> %	2000	1,250,000	<u>6.50</u> %
1988	95,000	<u>5.80</u> %	2001	1,400,000	<u>6.60</u> %
1989	105,000	<u>5.80</u> %	2002	1,540,000	<u>6.60</u> %

This bid is made with the understanding that the University will furnish, without cost to the successful bidder, the unqualified approving legal opinion of Chapman and Cutler, Chicago, Illinois, and Stoll, Keenon & Park, Lexington, Kentucky, the executed Bonds and the customary closing certificates, including the usual certificate that at that time no litigation affecting the legality of the Bonds is pending or known to the signers of such certificate to be threatened.

We enclose as evidence of our good faith a certified or bank check in the amount of \$75,000.00, payable to the Treasurer of the Commonwealth of Kentucky. In the event this bid is accepted and should we fail to comply with the terms of this bid, said check is to be forfeited by us as agreed liquidated damages; otherwise said check is to be promptly returned to us.

Respectfully submitted,

Blyth Eastman Dillon & Co., Inc. & Associates
BIDDER

BY: [Signature]
Dupree & Company, Inc.
167 West Main Street, Lexington, KY 40507
ADDRESS

The foregoing is our purchase bid. We submit our own computation thereof only for your information and convenience:

(a) Total interest cost at coupon rates from May 1, 1976 to final maturity	\$ <u>10,481,740.00</u>
(b) Plus discount or less premium	\$ <u>112,268.00</u>
(c) Net Interest Cost	\$ <u>10,594,008.00</u>
(d) Equivalent to	<u>6.54719 %</u>

Accepted by the Board of Trustees and receipt of bid deposit acknowledged this May 4, 1976.

BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY
Lexington, Kentucky

BY John B. Tingen
Chairman

Attest: Paul H. Sears
Secretary (Seal)

Return of bid deposit hereby acknowledged:

Authorized Representative

OFFICIAL BID FORM

**\$7,500,000
BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES F
DATED MAY 1, 1976**

TO THE HONORABLE CHAIRMAN AND
MEMBERS OF THE BOARD OF TRUSTEES
OF UNIVERSITY OF KENTUCKY
Lexington, Kentucky 40506

May 4, 1976

Gentlemen:

We have examined your "Notice of Sale of Bonds" and "Official Statement" as to the sale of the above bonds. By our submission of this bid, we evidence our agreement to the terms and conditions of your sale offering as represented therein.

We hereby offer to purchase the entire \$7,500,000 of said identified bonds, and will pay you for said Bonds an aggregate sum of \$ 7,387,865.15 plus accrued interest from May 1, 1976 to date of delivery and payment, upon condition that the Bonds are caused to mature as to principal on May 1 in the respective years, and interest coupon rates are established and made applicable to said Bonds, as shown in the following schedule:

<u>Year</u>	<u>Amount</u>	<u>Coupon Rate</u>	<u>Year</u>	<u>Amount</u>	<u>Coupon Rate</u>
1977	\$ 50,000	<u>6.00</u> %	1990	\$ 110,000	<u>6.50</u> %
1978	45,000	<u>6.00</u> %	1991	120,000	<u>6.50</u> %
1979	50,000	<u>6.00</u> %	1992	125,000	<u>6.50</u> %
1980	55,000	<u>6.00</u> %	1993	140,000	<u>6.50</u> %
1981	55,000	<u>6.00</u> %	1994	145,000	<u>6.50</u> %
1982	60,000	<u>6.00</u> %	1995	150,000	<u>6.50</u> %
1983	65,000	<u>6.00</u> %	1996	170,000	<u>6.50</u> %
1984	70,000	<u>6.00</u> %	1997	175,000	<u>6.50</u> %
1985	70,000	<u>6.00</u> %	1998	190,000	<u>6.50</u> %
1986	75,000	<u>6.00</u> %	1999	1,100,000	<u>6.50</u> %
1987	90,000	<u>6.25</u> %	2000	1,250,000	<u>6.50</u> %
1988	95,000	<u>6.50</u> %	2001	1,400,000	<u>6.60</u> %
1989	105,000	<u>6.50</u> %	2002	1,540,000	<u>6.60</u> %

This bid is made with the understanding that the University will furnish, without cost to the successful bidder, the unqualified approving legal opinion of Chapman and Cutler, Chicago, Illinois, and Stoll, Keenon & Park, Lexington, Kentucky, the executed Bonds and the customary closing certificates, including the usual certificate that at that time no litigation affecting the legality of the Bonds is pending or known to the signers of such certificate to be threatened.

We enclose as evidence of our good faith a certified or bank check in the amount of \$75,000.00, payable to the Treasurer of the Commonwealth of Kentucky. In the event this bid is accepted and should we fail to comply with the terms of this bid, said check is to be forfeited by us as agreed liquidated damages; otherwise said check is to be promptly returned to us.

Respectfully submitted,

The First Boston Corporation
BIDDER

BY:

Michael Cusella

20 Exchange Place, New York, N.Y. 10005
ADDRESS

The foregoing is our purchase bid. We submit our own computation thereof only for your information and convenience:

(a) Total interest cost at coupon rates from May 1, 1976 to final maturity	\$	<u>10,572,515.00</u>
(b) Plus discount or less premium	\$	<u>112,134.85</u>
(c) Net Interest Cost	\$	<u>10,684,649.85</u>
(d) Equivalent to		<u>6.6032 %</u>

Accepted by the Board of Trustees and receipt of bid deposit acknowledged this May 4, 1976.

BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY
Lexington, Kentucky

BY _____
Chairman

Attest: _____
Secretary (Seal)

Return of bid deposit hereby acknowledged:

Authorized Representative

OFFICIAL BID FORM

**\$7,500,000
BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES F
DATED MAY 1, 1976**

TO THE HONORABLE CHAIRMAN AND
MEMBERS OF THE BOARD OF TRUSTEES
OF UNIVERSITY OF KENTUCKY
Lexington, Kentucky 40506

May 4, 1976

Gentlemen:

We have examined your "Notice of Sale of Bonds" and "Official Statement" as to the sale of the above bonds. By our submission of this bid, we evidence our agreement to the terms and conditions of your sale offering as represented therein.

We hereby offer to purchase the entire \$7,500,000 of said identified bonds, and will pay you for said Bonds an aggregate sum of \$ 7,392,260.00 plus accrued interest from May 1, 1976 to date of delivery and payment, upon condition that the Bonds are caused to mature as to principal on May 1 in the respective years, and interest coupon rates are established and made applicable to said Bonds, as shown in the following schedule:

<u>Year</u>	<u>Amount</u>	<u>Coupon Rate</u>	<u>Year</u>	<u>Amount</u>	<u>Coupon Rate</u>
1977	\$ 50,000	<u>6 1/2</u> %	1990	\$ 110,000	<u>6 1/2</u> %
1978	45,000	<u>6 1/2</u> %	1991	120,000	<u>6 1/2</u> %
1979	50,000	<u>6 1/2</u> %	1992	125,000	<u>6 1/2</u> %
1980	55,000	<u>6 1/2</u> %	1993	140,000	<u>6 1/2</u> %
1981	55,000	<u>6 1/2</u> %	1994	145,000	<u>6 1/2</u> %
1982	60,000	<u>6 1/2</u> %	1995	150,000	<u>6 1/2</u> %
1983	65,000	<u>6 1/2</u> %	1996	170,000	<u>6 1/2</u> %
1984	70,000	<u>6 1/2</u> %	1997	175,000	<u>6.60</u> %
1985	70,000	<u>6 1/2</u> %	1998	190,000	<u>6.60</u> %
1986	75,000	<u>6 1/2</u> %	1999	1,100,000	<u>6.60</u> %
1987	90,000	<u>6 1/2</u> %	2000	1,250,000	<u>6.60</u> %
1988	95,000	<u>6 1/2</u> %	2001	1,400,000	<u>6.60</u> %
1989	105,000	<u>6 1/2</u> %	2002	1,540,000	<u>6.60</u> %

This bid is made with the understanding that the University will furnish, without cost to the successful bidder, the unqualified approving legal opinion of Chapman and Cutler, Chicago, Illinois, and Stoll, Keenon & Park, Lexington, Kentucky, the executed Bonds and the customary closing certificates, including the usual certificate that at that time no litigation affecting the legality of the Bonds is pending or known to the signers of such certificate to be threatened.

We enclose as evidence of our good faith a certified or bank check in the amount of \$75,000.00, payable to the Treasurer of the Commonwealth of Kentucky. In the event this bid is accepted and should we fail to comply with the terms of this bid, said check is to be forfeited by us as agreed liquidated damages; otherwise said check is to be promptly returned to us.

Respectfully submitted, CITIBANK, N.A.) JNT.
LEHMAN BROTHERS, INC.)
REYNOLDS SECURITIES, INC.) MGRS.
BIDDER

BY: First Security National Bank & Trust Co.

Lexington, Kentucky AGENT
ADDRESS
BY: W. T. Mitchell, Jr.
Vice President and Cashier

The foregoing is our purchase bid. We submit our own computation thereof only for your information and convenience:

(a) Total interest cost at coupon rates from May 1, 1976 to final maturity	\$ <u>10,655,845.00</u>
(b) Plus discount or premium	\$ <u>107,740.00</u>
(c) Net Interest Cost	\$ <u>10,763,585.00</u>
(d) Equivalent to	<u>6.6519</u> %

Accepted by the Board of Trustees and receipt of bid deposit acknowledged this May 4, 1976.

BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY
Lexington, Kentucky

BY _____
Chairman

Attest: _____ (Seal)
Secretary

Return of bid deposit hereby acknowledged:

13 / T. H. - H. H. H.
Authorized Representative

OFFICIAL BID FORM

\$7,500,000
BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES F
DATED MAY 1, 1976

TO THE HONORABLE CHAIRMAN AND
MEMBERS OF THE BOARD OF TRUSTEES
OF UNIVERSITY OF KENTUCKY
Lexington, Kentucky 40506

May 3, 1976

Gentlemen:

We have examined your "Notice of Sale of Bonds" and "Official Statement" as to the sale of the above bonds. By our submission of this bid, we evidence our agreement to the terms and conditions of your sale offering as represented therein.

We hereby offer to purchase the entire \$7,500,000 of said identified bonds, and will pay you for said Bonds an aggregate sum of \$ 7,311,250.00 plus accrued interest from May 1, 1976 to date of delivery and payment, upon condition that the Bonds are caused to mature as to principal on May 1 in the respective years, and interest coupon rates are established and made applicable to said Bonds, as shown in the following schedule:

Year	Amount	Coupon Rate	Year	Amount	Coupon Rate
1977	\$ 50,000	<u>6.25</u> %	1990	\$ 110,000	<u>6.4</u> %
1978	45,000	<u>6.25</u> %	1991	120,000	<u>6.4</u> %
1979	50,000	<u>6.25</u> %	1992	125,000	<u>6.4</u> %
1980	55,000	<u>6.25</u> %	1993	140,000	<u>6.4</u> %
1981	55,000	<u>6.25</u> %	1994	145,000	<u>6.4</u> %
1982	60,000	<u>6.25</u> %	1995	150,000	<u>6.4</u> %
1983	65,000	<u>6.25</u> %	1996	170,000	<u>6.4</u> %
1984	70,000	<u>6.4</u> %	1997	175,000	<u>6.5</u> %
1985	70,000	<u>6.4</u> %	1998	190,000	<u>6.6</u> %
1986	75,000	<u>6.4</u> %	1999	1,100,000	<u>6.625</u> %
1987	90,000	<u>6.4</u> %	2000	1,250,000	<u>6.625</u> %
1988	95,000	<u>6.4</u> %	2001	1,400,000	<u>6.625</u> %
1989	105,000	<u>6.4</u> %	2002	1,540,000	<u>6.625</u> %

This bid is made with the understanding that the University will furnish, without cost to the successful bidder, the unqualified approving legal opinion of Chapman and Cutler, Chicago, Illinois, and Stoll, Keenon & Park, Lexington, Kentucky, the executed Bonds and the customary closing certificates, including the usual certificate that at that time no litigation affecting the legality of the Bonds is pending or known to the signers of such certificate to be threatened.

We enclose as evidence of our good faith a certified or bank check in the amount of \$75,000.00, payable to the Treasurer of the Commonwealth of Kentucky. In the event this bid is accepted and should we fail to comply with the terms of this bid, said check is to be forfeited by us as agreed liquidated damages; otherwise said check is to be promptly returned to us.

Respectfully submitted,

BACHE HALSEY STUART INC.

BIDDER

BY: Bache Halsey Stuart Inc.

100 GOLD STREET NEW YORK, N. Y. 10038
ADDRESS

The foregoing is our purchase bid. We submit our own computation thereof only for your information and convenience:

(a) Total interest cost at coupon rates from May 1, 1976 to final maturity	\$ <u>10,658,740.00</u>
(b) Plus discount or less premium	\$ <u>111,750.00</u>
(c) Net Interest Cost	\$ <u>10,770,490.00</u>
(d) Equivalent to	<u>6.6562 %</u>

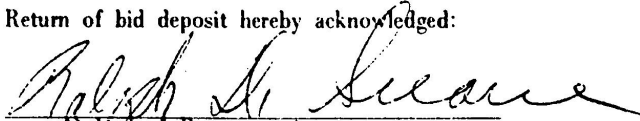
Accepted by the Board of Trustees and receipt of bid deposit acknowledged this May 4, 1976.

BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY
Lexington, Kentucky

BY _____
Chairman

Attest: _____ (Seal)
Secretary

Return of bid deposit hereby acknowledged:


Authorized Representative

OFFICIAL BID FORM

\$7,500,000

BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS

SERIES F

DATED MAY 1, 1976

TO THE HONORABLE CHAIRMAN AND
MEMBERS OF THE BOARD OF TRUSTEES
OF UNIVERSITY OF KENTUCKY
Lexington, Kentucky 40506

May 4, 1976

Gentlemen:

We have examined your "Notice of Sale of Bonds" and "Official Statement" as to the sale of the above bonds. By our submission of this bid, we evidence our agreement to the terms and conditions of your sale offering as represented therein.

We hereby offer to purchase the entire \$7,500,000 of said identified bonds, and will pay you for said Bonds an aggregate sum of \$ 7,387,500 plus accrued interest from May 1, 1976 to date of delivery and payment, upon condition that the Bonds are caused to mature as to principal on May 1 in the respective years, and interest coupon rates are established and made applicable to said Bonds, as shown in the following schedule:

Year	Amount	Coupon Rate	Year	Amount	Coupon Rate
1977	\$ 50,000	5.50 %	1990	\$ 110,000	<u>5.45</u> %
1978	45,000	<u>5.50</u> %	1991	120,000	<u>5.60</u> %
1979	50,000	<u>5.50</u> %	1992	125,000	<u>6.10</u> %
1980	55,000	<u>5.50</u> %	1993	140,000	<u>6.20</u> %
1981	55,000	<u>5.50</u> %	1994	145,000	<u>6.30</u> %
1982	60,000	<u>5.50</u> %	1995	150,000	<u>6.40</u> %
1983	65,000	<u>5.50</u> %	1996	170,000	<u>6.50</u> %
1984	70,000	<u>5.50</u> %	1997	175,000	<u>6.60</u> %
1985	70,000	<u>5.50</u> %	1998	190,000	<u>6.70</u> %
1986	75,000	<u>5.60</u> %	1999	1,100,000	<u>6.70</u> %
1987	90,000	<u>5.60</u> %	2000	1,250,000	<u>6.70</u> %
1988	95,000	<u>5.60</u> %	2001	1,400,000	<u>6.70</u> %
1989	105,000	<u>5.80</u> %	2002	1,540,000	<u>6.70</u> %

This bid is made with the understanding that the University will furnish, without cost to the successful bidder, the unqualified approving legal opinion of Chapman and Cutler, Chicago, Illinois, and Stoll, Keenon & Park, Lexington, Kentucky, the executed Bonds and the customary closing certificates, including the usual certificate that at that time no litigation affecting the legality of the Bonds is pending or known to the signers of such certificate to be threatened.

We enclose as evidence of our good faith a certified or bank check in the amount of \$75,000.00, payable to the Treasurer of the Commonwealth of Kentucky. In the event this bid is accepted and should we fail to comply with the terms of this bid, said check is to be forfeited by us as agreed liquidated damages; otherwise said check is to be promptly returned to us.

Respectfully submitted,
JOHN NUVEEN & CO., INC. (JOINT MANAGERS)
WHITE, WELD & CO., INC.
JOHNSTON, BROWN, BURNETT & KNIGHT INC.
BIDDER AND ASSOCIATES

BY: THOMSON & MCKINNON, AUCHINCLOSS KOHLMAYER INC.

By John F. Farley
ADDRESS _____

The foregoing is our purchase bid. We submit our own computation thereof only for your information and convenience:

(a) Total interest cost at coupon rates from May 1, 1976 to final maturity	\$ <u>10,685,540.00</u>
(b) Plus discount or less premium	\$ <u>112,500.00</u>
(c) Net Interest Cost	\$ <u>10,798,040.00</u>
(d) Equivalent to	<u>6.1733 %</u>

Accepted by the Board of Trustees and receipt of bid deposit acknowledged this May 4, 1976.

BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY
Lexington, Kentucky

BY _____
Chairman

Attest: _____ (Seal)
Secretary

Return of bid deposit hereby acknowledged:

William J. Berry
Authorized Representative

OFFICIAL BID FORM

\$7,500,000
BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES F
DATED MAY 1, 1976

TO THE HONORABLE CHAIRMAN AND
MEMBERS OF THE BOARD OF TRUSTEES
OF UNIVERSITY OF KENTUCKY
Lexington, Kentucky 40506

May 4, 1976

Gentlemen:

We have examined your "Notice of Sale of Bonds" and "Official Statement" as to the sale of the above bonds. By our submission of this bid, we evidence our agreement to the terms and conditions of your sale offering as represented therein.

We hereby offer to purchase the entire \$7,500,000 of said identified bonds, and will pay you for said Bonds an aggregate sum of \$ 7,387,540.33 plus accrued interest from May 1, 1976 to date of delivery and payment, upon condition that the Bonds are caused to mature as to principal on May 1 in the respective years, and interest coupon rates are established and made applicable to said Bonds, as shown in the following schedule:

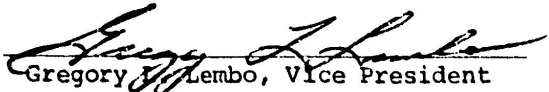
<u>Year</u>	<u>Amount</u>	<u>Coupon Rate</u>	<u>Year</u>	<u>Amount</u>	<u>Coupon Rate</u>
1977	\$ 50,000	<u>6.10</u> %	1990	\$ 110,000	<u>6.10</u> %
1978	45,000	<u>6.10</u> %	1991	120,000	<u>6.10</u> %
1979	50,000	<u>6.10</u> %	1992	125,000	<u>6.25</u> %
1980	55,000	<u>6.10</u> %	1993	140,000	<u>6.40</u> %
1981	55,000	<u>6.10</u> %	1994	145,000	<u>6.50</u> %
1982	60,000	<u>6.10</u> %	1995	150,000	<u>6.60</u> %
1983	65,000	<u>6.10</u> %	1996	170,000	<u>6.70</u> %
1984	70,000	<u>6.10</u> %	1997	175,000	<u>6.70</u> %
1985	70,000	<u>6.10</u> %	1998	190,000	<u>6.70</u> %
1986	75,000	<u>6.10</u> %	1999	1,100,000	<u>6.70</u> %
1987	90,000	<u>6.10</u> %	2000	1,250,000	<u>6.70</u> %
1988	95,000	<u>6.10</u> %	2001	1,400,000	<u>6.70</u> %
1989	105,000	<u>6.10</u> %	2002	1,540,000	<u>6.70</u> %

This bid is made with the understanding that the University will furnish, without cost to the successful bidder, the unqualified approving legal opinion of Chapman and Cutler, Chicago, Illinois, and Stoll, Keenon & Park, Lexington, Kentucky, the executed Bonds and the customary closing certificates, including the usual certificate that at that time no litigation affecting the legality of the Bonds is pending or known to the signers of such certificate to be threatened.

We enclose as evidence of our good faith a certified or bank check in the amount of \$75,000.00, payable to the Treasurer of the Commonwealth of Kentucky. In the event this bid is accepted and should we fail to comply with the terms of this bid, said check is to be forfeited by us as agreed liquidated damages; otherwise said check is to be promptly returned to us.

Respectfully submitted,
Salomon Brothers & Associates
By: Salomon Brothers

BIDDER

BY: 
Gregory J. Lembo, Vice President
One New York Plaza, New York, New York 10004
ADDRESS

The foregoing is our purchase bid. We submit our own computation thereof only for your information and convenience:

(a) Total interest cost at coupon rates from May 1, 1976 to final maturity	\$ <u>10,754,810.00</u>
(b) Plus discount or less premium	\$ <u>112,457.65</u>
(c) Net Interest Cost	\$ <u>10,867,267.65</u>
(d) Equivalent to	<u>6.71606%</u>

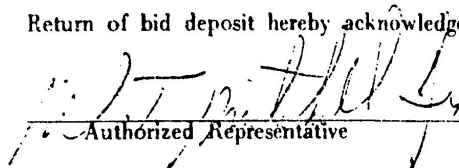
Accepted by the Board of Trustees and receipt of bid deposit acknowledged this May 4, 1976.

BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY
Lexington, Kentucky

BY _____
Chairman

Attest: _____
Secretary (Seal)

Return of bid deposit hereby acknowledged:


Authorized Representative

OFFICIAL BID FORM

\$7,500,000
BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES F
DATED MAY 1, 1976

TO THE HONORABLE CHAIRMAN AND
MEMBERS OF THE BOARD OF TRUSTEES
OF UNIVERSITY OF KENTUCKY
Lexington, Kentucky 40506

May 4, 1976

Gentlemen:

We have examined your "Notice of Sale of Bonds" and "Official Statement" as to the sale of the above bonds. By our submission of this bid, we evidence our agreement to the terms and conditions of your sale offering as represented therein.

We hereby offer to purchase the entire \$7,500,000 of said identified bonds, and will pay you for said Bonds an aggregate sum of \$ 7,388,351.00 plus accrued interest from May 1, 1976 to date of delivery and payment, upon condition that the Bonds are caused to mature as to principal on May 1 in the respective years, and interest coupon rates are established and made applicable to said Bonds, as shown in the following schedule:

Year	Amount	Coupon Rate	Year	Amount	Coupon Rate
1977	\$ 50,000	<u>6.10</u> %	1990	\$ 110,000	<u>6.10</u> %
1978	45,000	<u> </u> %	1991	120,000	<u>6.10</u> %
1979	50,000	<u> </u> %	1992	125,000	<u>6.20</u> %
1980	55,000	<u> </u> %	1993	140,000	<u>6.20</u> %
1981	55,000	<u> </u> %	1994	145,000	<u>6.40</u> %
1982	60,000	<u> </u> %	1995	150,000	<u>6.40</u> %
1983	65,000	<u> </u> %	1996	170,000	<u>6.60</u> %
1984	70,000	<u> </u> %	1997	175,000	<u>6.70</u> %
1985	70,000	<u> </u> %	1998	190,000	<u>6.75</u> %
1986	75,000	<u> </u> %	1999	1,100,000	<u> </u> %
1987	90,000	<u> </u> %	2000	1,250,000	<u> </u> %
1988	95,000	<u> </u> %	2001	1,400,000	<u> </u> %
1989	105,000	<u> </u> %	2002	1,540,000	<u> </u> %

This bid is made with the understanding that the University will furnish, without cost to the successful bidder, the unqualified approving legal opinion of Chapman and Cutler, Chicago, Illinois, and Stoll, Keenon & Park, Lexington, Kentucky, the executed Bonds and the customary closing certificates, including the usual certificate that at that time no litigation affecting the legality of the Bonds is pending or known to the signers of such certificate to be threatened.

We enclose as evidence of our good faith a certified or bank check in the amount of \$75,000.00, payable to the Treasurer of the Commonwealth of Kentucky. In the event this bid is accepted and should we fail to comply with the terms of this bid, said check is to be forfeited by us as agreed liquidated damages; otherwise said check is to be promptly returned to us.

Respectfully submitted,

Smith Barney Harris Nephew & Co.
BIDDER

BY: R. G. Hall, Agent
New York, N.Y.
ADDRESS

(a) Total interest cost at coupon rates from May 1, 1976 to final maturity	\$	<u>10,867,450.00</u>
(b) Plus discount or less premium	\$	<u>111,642.20</u>
(c) Net Interest Cost	\$	<u>10,919,092.20</u>
(d) Equivalent to		<u>6.7480</u> %

**BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY
Lexington, Kentucky**

Attest: _____
Secretary (Seal)

Authorized Representative

A RESOLUTION ACCEPTING THE SUCCESSFUL BID ON
\$7,500,000.00 OF UNIVERSITY OF KENTUCKY CON-
SOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS,
SERIES F.

WHEREAS, previously \$7,500,000 of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series F, dated May 1, 1976, have been authorized, and

WHEREAS, a Notice of Sale of Bonds with reference to such bonds has been duly published in the Daily Bond Buyer, New York, New York, and in The Courier-Journal, Louisville, Kentucky, which Notice of Sale of Bonds as published, a copy of which is attached to this resolution and incorporated herein by reference, and which publications are hereby authorized, ratified, approved and confirmed, and

WHEREAS, there have been distributed to prospective bidders for such bonds an Official Statement of the Board of Trustees relating to such bonds and an Official Bid Form as to same, all of which is hereby authorized, ratified, approved and confirmed, and

WHEREAS, all bids received for such Series F Bonds have been opened, duly considered and are as follows:

<u>Bidder</u>	<u>Interest Rate(s)</u>	<u>Amount of Bid for \$7,500,000 of Bonds Plus Accrued Inter- est from May 1, 1976</u>
Blyth Eastman Dillon & Company, Inc. & Associates	1977-1990 5.80% 1991 5.90% 1992 6.00% 1993 6.10% 1994 6.20% 1995 6.30% 1996-1997 6.40% 1998-2000 6.50% 2001-2002 6.60%	\$7,387,732.00
NIC	6.54719	

<u>BIDDER</u>	<u>INTEREST RATE(S)</u>	<u>AMOUNT OF BID FOR \$7,500,000 OF BONDS PLUS ACCRUED INTER- EST FROM MAY 1, 1976</u>
The First Boston Corporation	1977-1986 6.00% 1987 6.25% 1988-2000 6.50% 2001-2002 6.60% NIC 6.6032	\$7,387,865.15
Citibank, N.A. Lehman Brothers, Inc. Reynolds Securities	1977-1996 6.50% 1997-2002 6.60% NIC 6.6519	\$7,392,260.00
Bache Halsey Stuart, Inc.	1977-1983 6.25% 1984-1996 6.40% 1997 6.50% 1998 6.60% 1999-2002 6.625% NIC 6.6562	\$7,388,250.00
John Nuveen & Co., Inc. White, Weld & Co., Inc. Johnston Brown, Burnett & Knight, Inc. & Associates	1977-1985 5.50% 1986-1988 5.60% 1989 5.80% 1990 5.90% 1991 6.00% 1992 6.10% 1993 6.20% 1994 6.30% 1995 6.40% 1996 6.50% 1997 6.60% 1998-2002 6.70% NIC 6.6733	\$7,387,500.00
Salomon Brothers & Associates	1977-1991 6.10% 1992 6.25% 1993 6.40% 1994 6.50% 1995 6.60% 1996-2002 6.70% NIC 6.71606	\$7,387,540.35
Smith Barney Harris Upham & Company	1977-1991 6.10% 1992-1993 6.20% 1994 6.40% 1995 6.50% 1996 6.60% 1997 6.70% 1998-2002 6.75% NIC 6.7480	\$7,388,357.80

WHEREAS, the matter of which bid is most advantageous to the Board of Trustees has been sufficiently considered,

NOW, THEREFORE, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY HEREBY RESOLVES AS FOLLOWS:

Section 1. That the Chairman and/or Vice Chairman and/or the Secretary of the Board of Trustees are hereby authorized to indicate approval upon behalf of such Board as may be appropriate with reference to such Notice of Sale of Bonds, such Official Statement and such Official Bid Form.

Section 2. That the bid of Blyth Eastman Dillon & Company, Inc.
and Associates

for the sale of said \$7,500,000 of Series F Bonds, dated May 1, 1976, is hereby determined to be the highest and best bid for such bonds, with the lowest average net interest cost, and such bid is hereby accepted, the said bid being at a price of \$ 7,387,732.00 for all of said bonds, plus accrued interest from May 1, 1976, with interest rates as follows: Bonds maturing in 1977 to 1990, inclusive - 5.80%; in 1991 - 5.90%; in 1992 - 6.00%; in 1993 - 6.10%; in 1994 - 6.20%; in 1995 - 6.30% in 1996 & 1997 - 6.40%; in 1998 to 2000, inclusive - 6.50%; in 2001 & 2002 - 6.60%.

That said Series F Bonds shall bear interest rate(s) as hereinbefore set forth and as stipulated in such successful bid, such interest to accrue from May 1, 1976.

That the Chairman and/or Vice Chairman and Secretary of the Board are hereby authorized to sign the endorsement of acceptance as the

end of the Official Bid Form upon which such bid is submitted, and the Secretary is authorized to deliver an endorsed copy of the bid to the said purchasers of the bonds.

Section 3. That said Series F Bonds shall be delivered to such purchasers by the appropriate officials of such Board and/or the Commonwealth of Kentucky, as may be appropriate and in accordance with the resolution authorized such bonds upon payment of the purchase price for same.

Section 4. That the certified, bank cashier's or bank treasurer's check in the sum of \$75,000 submitted with the bid of the above-identified purchaser, payable to the order of the Treasurer of Commonwealth of Kentucky, shall be delivered into the custody of such Treasurer, and the same shall be held uncashed until the bonds have been received from the printer, have been executed and are tendered for delivery to the purchaser. When the purchaser accepts the said bonds and makes payment therefor, together with the accrued interest, in conformity with the accepted bid, such Treasurer is authorized and directed to deposit such check and credit same upon the accepted bid, unless at the election of the purchaser such check is returned by such Treasurer to such purchaser, upon payment in full of the purchase price, with accrued interest to the date of delivery.

Section 5. The proceeds of the sale of the Series F Bonds shall be devoted to and used with due diligence for the completion of the educational building with necessary appurtenances and campus utility projects (the 'project') for which said bonds are to be issued. The

Board of Trustees represents and certifies that:

(1) the Board of Trustees has incurred and expects, within six (6) months after delivery of the bonds, to incur a substantial binding obligation with respect to said project; said binding obligation comprising engineering fees and construction contracts in the amount of not less than \$100,000;

(2) the Board of Trustees expects that over 85% of the spendable proceeds of said bonds (including investment proceeds) will be expended on or before September 30, 1978 for the purpose of paying the cost of said project, said date being within three (3) years following the date of issue of said bonds;

(3) work on said project is expected to proceed with due diligence to completion;

(4) said project has not been and is not expected to be sold or otherwise disposed of in whole or in part prior to the last maturity of said bonds;

(5) all of the principal proceeds of said bonds are needed for such project, including expenses incidental thereto and to the issuance of the bonds;

(6) accrued interest received upon the sale of said bonds is applied to the first interest due thereon; and

(7) to the best of the knowledge and belief of the Board of Trustees, there are no facts, estimates or

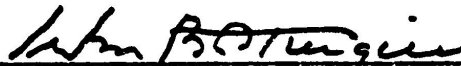
circumstances that would materially change the conclusions and representations set out in this section.

The Board of Trustees further certifies that it has not been notified of any listing or proposed listing of the Board of Trustees by the Internal Revenue Service as a bond issuer whose arbitrage certifications may not be relied upon.

Section 6. That the good-faith check or checks submitted with unsuccessful bids shall immediately be returned to the respective bidders.

Section 7. That this resolution shall take effect immediately upon its adoption.

ADOPTED May 4, 1976.



CHAIRMAN or VICE CHAIRMAN, BOARD OF
TRUSTEES, UNIVERSITY OF KENTUCKY

(SEAL)

ATTEST:



SECRETARY

CERTIFICATION

I, Paul G. Sears, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certify that the foregoing is a true copy of a Resolution duly adopted by said Board of Trustees at a properly convened meeting of said Board of Trustees held in Lexington, Kentucky on May 4, 1976, as the same appears in the official records of said Board of Trustees, in my custody and under my control.

WITNESS my hand and the official seal of the University of Kentucky, this 11TH day of May, 1976.

Paul G. Sears

Secretary
Board of Trustees
University of Kentucky

[SEAL]

NOTICE OF SALE OF BONDS

\$7,500,000

**THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES F**

The Board of Trustees of University of Kentucky, in its corporate capacity and as an educational agency and instrumentality of the Commonwealth of Kentucky, hereby gives notice that on May 4, 1976, at the hour of 2:00 P.M. Eastern Daylight Time, it will convene in the Board Room, in the Office Tower on the campus of the University in Lexington, Kentucky; and that upon said occasion it will open and consider sealed competitive bids for the purchase of its \$7,500,000 "Consolidated Educational Buildings Revenue Bonds, Series F" dated May 1, 1976. Bids submitted prior to the day and hour hereinabove set forth may be sent to Jack C. Blanton, Treasurer of the University, at his office in the Administration Building on the campus of said University of Kentucky, Lexington, Kentucky 40506, and if any such bid is received in the Treasurer's office prior to the day and hour above set forth, properly marked so as to identify the same, it will be communicated to and considered by the Board at the meeting above referred to.

These are negotiable coupon Bonds in the denomination of \$5,000 each, registrable as to principal only, and maturing as to principal, serially, in various amounts on May 1 in each of the years 1977 to 2002, inclusive; provided, however, that the Bonds maturing May 1, 1987 and thereafter are subject to prior redemption at the option of the Board of Trustees on any interest payment date (May 1, and November 1) on or after May 1, 1986. The maturity schedule and terms of redemption are set forth in the "Official Statement," to which reference is hereinafter made. Principal and interest will be payable at the principal office of the Trustee, First Security National Bank and Trust Company of Lexington, Kentucky, or, at the option of the holders, at the principal office of Chemical Bank, New York, New York.

The Board of Trustees has, by Resolution, created its "Consolidated Educational Buildings Project," comprising all educational buildings now existing and hereafter erected, and appurtenances thereto, located on the property of the University in Fayette County, Kentucky, with the exception of housing buildings and facilities and all buildings and facilities exclusively for athletics, as distinguished from those which are, or may be, wholly or principally, for physical education, as is more specifically provided in such Resolution, and has pledged the Revenues (a defined term) consisting of student registration fees imposed upon and collected from all students attending the University at Lexington, Kentucky, for services furnished by such Project. The Bonds will constitute special obligations of the Board and have been authorized and will be issued pursuant to authority of Sections 162.340 et seq. of the Kentucky Revised Statutes and the Series F Resolution, duly adopted by the Board of Trustees, and will be additionally secured by a statutory mortgage lien upon all buildings and appurtenances thereto which are parts of the Project.

Use of the "Official Bid Form" is required. Bidders shall offer to purchase the entire \$7,500,000 of Series F bonds at a price of not less than \$7,387,500 (98 1/2% of face amount), and may stipulate one or more interest coupon rates of their own choosing, subject to the following conditions: (1) each coupon rate shall be a multiple of 1/8 or 1/10 of 1%; (2) only one coupon rate shall be stipulated for Bonds maturing on a single maturity date; (3) no bid shall propose more than one coupon rate for interest due on any Bond at any interest payment date; (4) no bid shall propose that any Bond bear interest otherwise than at a single rate from date to maturity, nor shall supplementary coupons be permitted; (5) no bid shall propose that any interest coupon be cancelled; and (6) the coupon rate for each maturity must equal or exceed the rate for the immediately preceding maturity.

CUSIP identification numbers will be printed on such bonds, if requested by the purchaser, at the expense of the purchaser. The purchaser of such bonds will in any event pay the CUSIP Service Bureau charge for the assignment of CUSIP numbers. Neither the failure to print a CUSIP number on any Bonds nor any error with respect thereto shall constitute cause for a failure or refusal by the purchaser thereof to accept delivery of and pay for the Bonds in accordance with the terms of the purchase agreement.

The Board of the University will furnish the executed Bonds, no-litigation certificate and the unqualified approving legal opinion of Chapman and Cutler, Chicago, Illinois, and Stoll, Keenon & Park, Lexington, Kentucky, Bond Counsel. A good-faith deposit of \$75,000 (certified or bank cashier's or bank treasurer's check, payable to the Treasurer of the Commonwealth of Kentucky) shall accompany each bid. Usual tax-exempt basis. Delivery will be made at the expense of the University at any bank or trust company in Continental United States, exclusive of Alaska, within 45 days after the date of sale.

The closing papers will include a certificate confirming to the successful bidder that, to the best of the knowledge and belief of the signers thereof, the descriptions and statements contained in the Official Statement with respect to the Bonds were at the time of the acceptance of the bid and, at the time of the closing, are true and correct in all material respects and did not at the time of the acceptance of the bid and, at the time of the closing, do not contain an untrue statement of a material fact or omit to state a material fact required to be stated where necessary to make the statements, in light of the circumstances under which they were made, not misleading; provided, however, should the Official Statement be supplemented or amended, the foregoing confirmation to be made at the time of the closing shall be with respect to the Official Statement and all supplements and amendments thereto.

Chapman and Cutler, Chicago, Illinois, and Stoll, Keenon & Park, Lexington, Kentucky will act as Bond Counsel for the purpose of rendering opinions as to the validity of the authorization and issuance of the Bonds, but have not been retained to participate in the preparation or review of the Official Statement to be used in connection with the sale of the Bonds, and such firms have not assumed any responsibility with respect thereto or undertaken independently to verify any information contained therein, except that such firms have reviewed the information describing the Series F Bonds and also the information under the headings "Authority", "Security", and "The Basic Resolution" in the Official Statement to determine that such information conforms to the provisions of the Resolution and the Series F Resolution.

"Official Bid Form" and "Official Statement" are obtainable from such Treasurer or the Fiscal Agents, Dupree & Company, Inc., Box 1149, Lexington, Kentucky 40501. The right to reject bids and to waive informalities or irregularities deemed by the Board to be immaterial is expressly reserved.

BY ORDER OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY:

/s/ Albert G. Clay

ALBERT G. CLAY, VICE-CHAIRMAN

/s/ Paul G. Sears

PAUL G. SEARS, SECRETARY
BOARD OF TRUSTEES,
UNIVERSITY OF KENTUCKY.

OFFICIAL BID FORM

\$7,500,000
BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES F
DATED MAY 1, 1976

TO THE HONORABLE CHAIRMAN AND
MEMBERS OF THE BOARD OF TRUSTEES
OF UNIVERSITY OF KENTUCKY
Lexington, Kentucky 40506

May ____, 1976

Gentlemen:

We have examined your "Notice of Sale of Bonds" and "Official Statement" as to the sale of the above bonds. By our submission of this bid, we evidence our agreement to the terms and conditions of your sale offering as represented therein.

We hereby offer to purchase the entire \$7,500,000 of said identified bonds, and will pay you for said Bonds an aggregate sum of \$ _____ plus accrued interest from May 1, 1976 to date of delivery and payment, upon condition that the Bonds are caused to mature as to principal on May 1 in the respective years, and interest coupon rates are established and made applicable to said Bonds, as shown in the following schedule:

<u>Year</u>	<u>Amount</u>	<u>Coupon Rate</u>	<u>Year</u>	<u>Amount</u>	<u>Coupon Rate</u>
1977	\$ 50,000	_____ %	1990	\$ 110,000	_____ %
1978	45,000	_____ %	1991	120,000	_____ %
1979	50,000	_____ %	1992	125,000	_____ %
1980	55,000	_____ %	1993	140,000	_____ %
1981	55,000	_____ %	1994	145,000	_____ %
1982	60,000	_____ %	1995	150,000	_____ %
1983	65,000	_____ %	1996	170,000	_____ %
1984	70,000	_____ %	1997	175,000	_____ %
1985	70,000	_____ %	1998	190,000	_____ %
1986	75,000	_____ %	1999	1,100,000	_____ %
1987	90,000	_____ %	2000	1,250,000	_____ %
1988	95,000	_____ %	2001	1,400,000	_____ %
1989	105,000	_____ %	2002	1,540,000	_____ %

This bid is made with the understanding that the University will furnish, without cost to the successful bidder, the unqualified approving legal opinion of Chapman and Cutler, Chicago, Illinois, and Stoll, Keenon & Park, Lexington, Kentucky, the executed Bonds and the customary closing certificates, including the usual certificate that at that time no litigation affecting the legality of the Bonds is pending or known to the signers of such certificate to be threatened.

We enclose as evidence of our good faith a certified or bank check in the amount of \$75,000.00, payable to the Treasurer of the Commonwealth of Kentucky. In the event this bid is accepted and should we fail to comply with the terms of this bid, said check is to be forfeited by us as agreed liquidated damages; otherwise said check is to be promptly returned to us.

Respectfully submitted,

BIDDER

BY: _____

ADDRESS

The foregoing is our purchase bid. We submit our own computation thereof only for your information and convenience:

(a) Total interest cost at coupon rates from May 1, 1976 to final maturity	\$	_____
(b) Plus discount or less premium	\$	_____
(c) Net Interest Cost	\$	_____
(d) Equivalent to		_____ %

Accepted by the Board of Trustees and receipt of bid deposit acknowledged this May 4, 1976.

BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY
Lexington, Kentucky

BY _____
Chairman

Attest: _____
Secretary (Seal)

Return of bid deposit hereby acknowledged:

Authorized Representative

NEW ISSUE

PRELIMINARY CIRCULAR

SUBJECT TO CHANGE

\$7,500,000
UNIVERSITY OF KENTUCKY
Consolidated Educational Buildings Revenue Bonds, Series F

DATED: May 1, 1976

DUE: May 1, As Shown Below

Bonds maturing May 1, 1987, and thereafter are subject to redemption prior to maturity in inverse order of their maturities (less than all of a single maturity to be selected by lot) on May 1, 1986, or on any interest payment date thereafter, at the prices set forth in the following schedule together with accrued interest to the date of redemption.

<u>If Redeemed</u>	<u>Redemption Price</u> <u>(% of Principal Amount)</u>
May 1, 1986 and prior to May 1, 1991	103%
May 1, 1991 and prior to May 1, 1996	102%
May 1, 1996 and prior to final maturity	101%

Principal and interest (May 1 and November 1, First Coupon due November 1, 1976) payable at First Security National Bank and Trust Company of Lexington, Kentucky, as Trustee or at the principal office of the Chemical Bank, New York, New York. Coupon bonds in the denomination of \$5,000, registrable as to principal only.

*INTEREST EXEMPT, IN THE OPINION OF BOND COUNSEL FROM
PRESENT FEDERAL INCOME TAXES, KENTUCKY INCOME TAXES, AND
KENTUCKY AD VALOREM TAXES, UNDER EXISTING STATUTES
REGULATIONS AND COURT DECISIONS*

These \$7,500,000 Consolidated Educational Buildings Revenue Bonds, Series F, are being issued for the purpose of paying a portion of the costs of construction of a new building and appurtenances on the campus of the University at Lexington, Kentucky, including a Fine Arts Building and various utilities extensions and additions. This Building and appurtenances will constitute a part of the University's Consolidated Educational Buildings Project.

The Bonds are being issued pursuant to the provisions of Section 162.340, et seq., of the Kentucky Revised Statutes, the terms of a Basic Resolution adopted by the Board of Trustees on September 20, 1960, and the Series F Resolution adopted by the Board on April 6, 1976.

These Bonds, together with Series A, Series B, Series C, Series D, and Series E Bonds (already issued) and additional parity bonds that may hereafter be issued under the terms of the Basic Resolution, are secured by and payable from the Revenues of the Consolidated Educational Buildings Project. Such Revenues are comprised of the Student Registration Fee imposed and collected from all students attending the University. The proceeds of an issue of Series D Refunding Bonds have been deposited in escrow with the Trustee and have been invested in Eligible Obligations (as defined in the Series D Refunding Resolution) which will provide sufficient funds to redeem on May 1, 1981, all of the then outstanding Series D Bonds. After May 1, 1981, the Series D Refunding Bonds will be substituted for the Series D Bonds and will be secured on parity with all other outstanding Consolidated Educational Buildings Revenue Bonds.

As further security for the Bonds and coupons appertaining thereto, a statutory mortgage lien upon the Project is created and granted by Sections 162.350 and 162.200 of the Kentucky Revised Statutes.

AMOUNTS AND MATURITIES

<u>Due May 1</u>	<u>Amount</u>	<u>Due May 1</u>	<u>Amount</u>	<u>Due May 1</u>	<u>Amount</u>
1977	\$50,000	1986	\$ 75,000	1995	\$ 150,000
1978	45,000	1987	90,000	1996	170,000
1979	50,000	1988	95,000	1997	175,000
1980	55,000	1989	105,000	1998	190,000
1981	55,000	1990	110,000	1999	1,100,000
1982	60,000	1991	120,000	2000	1,250,000
1983	65,000	1992	125,000	2001	1,400,000
1984	70,000	1993	140,000	2002	1,540,000
1985	70,000	1994	145,000		

(Accrued Interest To Be Added)

The issuance of these bonds and all legal matters incident thereto are subject to approval of Chapman and Cutler, Chicago, Illinois, and Stoll, Keenon & Park, Lexington, Kentucky, Bond Counsel.

BOND YEARS
\$7,500,000
UNIVERSITY OF KENTUCKY
Consolidated Educational Buildings Revenue Bonds
Series F
Dated May 1, 1976

<u>Maturing May 1</u>	<u>Principal Amount</u>	<u>Bond Years</u>	<u>Cumulative Bond Years</u>	<u>Rate</u>	<u>Basis</u>	<u>Price</u>
1977	\$ 50,000	50	50	_____	_____	_____
1978	45,000	90	140	_____	_____	_____
1979	50,000	150	290	_____	_____	_____
1980	55,000	220	510	_____	_____	_____
1981	55,000	275	785	_____	_____	_____
1982	60,000	360	1145	_____	_____	_____
1983	65,000	455	1600	_____	_____	_____
1984	70,000	560	2160	_____	_____	_____
1985	70,000	630	2790	_____	_____	_____
1986	75,000	750	3540	_____	_____	_____
1987	90,000	990	4530	_____	_____	_____
1988	95,000	1140	5670	_____	_____	_____
1989	105,000	1365	7035	_____	_____	_____
1990	110,000	1540	8575	_____	_____	_____
1991	120,000	1800	10375	_____	_____	_____
1992	125,000	2000	12375	_____	_____	_____
1993	140,000	2380	14755	_____	_____	_____
1994	145,000	2610	17365	_____	_____	_____
1995	150,000	2850	20215	_____	_____	_____
1996	170,000	3400	23615	_____	_____	_____
1997	175,000	3675	27290	_____	_____	_____
1998	190,000	4180	31470	_____	_____	_____
1999	1,100,000	25300	56770	_____	_____	_____
2000	1,250,000	30000	86770	_____	_____	_____
2001	1,400,000	35000	121770	_____	_____	_____
2002	1,540,000	40040	161810	_____	_____	_____

Average Maturity - 21.574

OFFICIAL STATEMENT
of
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY
Relating to its
UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES F

With reference to \$7,500,000 University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series F, as described on the preceding page which is considered a part hereof, this Official Statement is being distributed by the Board of Trustees of the University of Kentucky to furnish pertinent information to all who may become purchasers of such bonds.

THE UNIVERSITY OF KENTUCKY

The University of Kentucky, located in Lexington, Fayette County, Kentucky, began, on February 22, 1865, as part of Kentucky University, a land grant college under the "Morrill Act of 1862". In 1878 the College of Agriculture and Mechanical Arts was separated from Kentucky University (now Transylvania College) and re-established on land donated by the City of Lexington. In 1908 the name was changed to the State University of Kentucky and in 1916 the institution was given its present designation of University of Kentucky. The University receives federal assistance as a land-grant college as well as state appropriations.

The governing body of the University is the Board of Trustees consisting of sixteen members appointed by the Governor (three of whom are alumni of the University), the President of the Student Body, and two non-voting members of the teaching faculty. The Board has general supervision of the University's property and operations.

For the performance of its function of instruction, the University is organized into fifteen colleges and four schools, including the Graduate School, and Extended Programs. The academic year of the University is divided into two semesters of approximately eighteen weeks each and a summer school session of eight weeks. The operating budget for the 1975-76 fiscal year is \$170,366,157 and is estimated at \$182,000,000 for the 1976-77 fiscal year.

AUTHORITY

These Consolidated Educational Buildings Revenue Bonds, Series F (the "Series F Bonds") are issued pursuant to authority contained in Section 162.340, et seq., of the Kentucky Revised Statutes, and in accordance with the terms of the Basic Resolution (the "Resolution") adopted by the University's Board of Trustees on September 20, 1960, and the Series F Resolution adopted by the Board on April 6, 1976 in the form annexed to this Official Statement as an Appendix.

PURPOSE

The proceeds of the Series F Bonds will be used to pay a portion of the costs of construction and related costs of the following building and utility extensions and additions:

FINE ARTS BUILDING will include an Art Museum, a 1500 seat Concert Hall, a 400 seat Recital Hall, a large Orchestral Rehearsal Hall and all necessary support facilities. Also included are faculty offices for the Department of Music which form a bridge over Patterson Drive connecting the new building with the second floor of the existing Fine Arts Building. Heating and cooling will be provided by the University's Campus system. Gross Area will be approximately 83,600 square feet.

UTILITIES TO TOBACCO AND HEALTH RESEARCH INSTITUTE involves the extension of supply and return lines for chilled water and steam, sanitary and storm sewers, city water lines and telephone conduit under Cooper Drive in order to serve the campus area south of this street.

COOLING PLANTS 1 and 2 will add 5,000 square feet of building to Cooling Plant Number 1 with 2 - 1,000 ton chillers to be installed in the new structure. Also, 2 - 1,000 ton chillers will be added in existing space at Cooling Plant Number 2.

UTILITIES IN THE TRIANGLE AREA will extend supply and return lines for steam from the Medical Center Heating Plant to the area bounded by Rose Street - Limestone Street and Avalon Park. Also included is the extension of chilled water supply and return lines and of the University electrical system into this area and the connection of existing building to these utilities.

This building and appurtenances will become a part of the University's Consolidated Educational Buildings Project. The costs of construction and other project costs, including adequate design, equipment, site preparation and contingency allowances are estimated as follows:

PROJECT COSTS:

Construction:	
Fine Arts Building	\$5,283,360
Utilities:	
Tobacco & Health Research Institute Building	572,169
Cooling Plants I and II	2,242,182
Triangle Area	1,133,700
Total Construction Costs	<u>\$9,231,411</u>
Other Project Costs:	
Bond Discount (1 1/2% Maximum)	112,500
Cost of Issuance of Bonds	31,250
Total Project Costs	<u>\$9,375,161</u>

SOURCES OF FUNDS:

Series F Bond Proceeds	\$7,500,000
University Funds	1,875,161
Total Funds Available	<u>\$9,375,161</u>

SECURITY

These Series F Bonds, together with the Series A, Series B, Series C, Series D Bonds (or Series D Refunding Bonds as described below), and Series E Bonds and additional parity bonds, which may hereafter be issued and outstanding under the terms of the Resolution and subsequent Series Resolutions, will be payable from and will constitute a paramount charge upon the Revenues to be derived by the University of Kentucky, at Lexington, Kentucky, from the operation of its Consolidated Educational Buildings Project, as defined in the Basic Resolution. The fee imposed upon and collected from all students of the University at Lexington, Kentucky, as an incident to registration at the beginning of each semester of the regular academic year and each summer session, including fees imposed for part time students, night school and extension courses, is designated as the source of Revenues of the Consolidated Educational Buildings Project. Such fees are known as the Student Registration Fees (the Registration Fee) and the Board of Trustees covenants that the same will be fixed (and if necessary revised and increased from time to time) at such rates as may be required to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves therefor and to pay the operating costs of the Project to the extent they are not otherwise provided. All collections of the Student Registration Fee are to be set aside, as received, into the Consolidated Educational Buildings Project Revenue Fund and are made subject to a first lien and paramount charge for the security and source of payment of all outstanding Consolidated Educational Buildings Revenue Bonds.

The proceeds of the Consolidated Educational Buildings Refunding Revenue Bonds, Series D, issued in the amount of \$31,000,000, have been deposited in escrow with the Trustee and, in accordance with the Series D Refunding

Resolution adopted by the Board of Trustee's Executive Committee on March 6, 1973, have been invested in such Eligible Obligations (as defined in said Resolution) as will provide sufficient funds to redeem on May 1, 1981, all of the Series D Bonds remaining outstanding on that date in the amount of \$32,145,000 plus a required redemption premium of \$964,350. Prior to May 1, 1981, the Series D Refunding Bonds are secured solely by a pledge of and lien upon the principal of, and yield to be derived from, the Eligible Obligations. After the redemption of the Series D Bonds on May 1, 1981, the Series D Refunding Bonds will be equally secured and rank on a parity with all other series of Consolidated Educational Buildings Revenue Bonds of the University, whether theretofore or thereafter issued.

As further security for the Bonds and the coupons appertaining thereto, there is created and granted by Sections 162.350 and 162.200 of the Kentucky Revised Statutes a statutory mortgage lien upon the Consolidated Educational Buildings Project.

THE BASIC RESOLUTION

On September 20, 1960, the Board adopted the Basic Resolution which created the Consolidated Educational Buildings Project and established its Consolidated Educational Buildings Revenue Bond issue. The Project consists of all existing educational buildings and necessary appurtenances and all such buildings and appurtenances hereafter erected on the property of the University in Fayette County, Kentucky, excluding housing facilities and facilities used exclusively for athletics.

The following statements are intended to provide only brief summaries of selected pertinent provisions of the Basic Resolution. For detailed and complete information, reference is hereby made to the Basic Resolution, copies of which are on file with the University and with the Trustee. For purposes of this description, the Basic Resolution and the Series A, B, C, D, Series D Refunding, Series E and Series F Resolutions, collectively, and the Revenue Bonds issued thereunder, collectively, shall be referred to as the "Resolutions", and the "Bonds", respectively.

Application of Revenues

There is created and established a special fund known as the Consolidated Educational Buildings Project Revenue Fund (the "Revenue Fund") in the custody of the Treasurer of the Board of Trustees, separate and apart from other funds of the University. Such Revenue Fund shall be maintained so long as any of the Bonds are outstanding and unpaid as a trust fund in one or more banks which shall be members of the Federal Deposit Insurance Corporation and shall be expended and used by the Treasurer only in the manner and order specified in these Resolutions. Upon the issuance and delivery of any Bonds pursuant to the Resolutions, all Revenues derived from the Consolidated Educational Buildings Project shall be deposited as collected in the Revenue Fund to be held and administered by the Treasurer of the Board and shall be paid out and applied for the uses and purposes set forth below.

1. **Bond Interest and Sinking Fund:** There is created and established a special account known as the Consolidated Educational Buildings Project Bond and Interest Sinking Fund (the Bond Fund) which is maintained by and in the custody of the Trustee so long as any Bonds are outstanding and unpaid. The Bond Fund shall be used by the Trustee to pay interest on the Bonds as the same becomes due from time to time to pay and retire the Bonds as they become due, or as otherwise provided in the Resolutions. From and after the issuance of any Bonds and thereafter commencing on May 1 of each year, the Treasurer shall transfer from the Revenue Fund, and deposit to the credit of the Bond Fund all the Revenues as and when the same are received and deposited into the Revenue Fund, until there has been so deposited and paid into the Bond Fund an amount equal to the total interest and principal becoming due on or prior to the succeeding May 1, on all Bonds then outstanding plus such amount as may be provided in a pertinent Series Resolution to be deposited in the Bond Fund during such year to provide for the retirement of all outstanding Bonds scheduled to mature on the next ensuing May 1, plus such amount as may be provided in any pertinent Series Resolution to be deposited in the Bond Fund during such year to provide for the retirement of Bonds scheduled to mature on May 1 of any subsequent year.

2. **Reserve Fund:** For the purpose of creating and maintaining a reserve in the Bond Fund equal to the maximum Aggregate Principal, Interest and Bond Fund Charges, as such term is defined below, the amounts to be set aside into the Bond Fund shall be 125% of the amounts set forth above until such reserve is so accumulated and thereafter the same shall be resumed and continued whenever and so long as required to restore and maintain such reserve. Aggregate Principal, Interest and Bond Fund Charges is defined "as of any particular date of computation and with respect to

a particular twelve month period, an amount of money equal to the aggregate of the amounts required by the provisions of the Resolution and all Series Resolutions, to be paid into the Bond Fund in such twelve month period for account of the interest on all outstanding Bonds becoming due during such twelve month period, and to accomplish the retirement of the principal of all outstanding Bonds at or prior to the maturity thereof."

The amounts by which the above payments exceed the Aggregate Principal, Interest and Bond Fund Charges in any particular twelve month period shall be held in the Bond Fund as a reserve. Moneys in the Bond Fund in excess of the Aggregate Principal, Interest and Bond Fund Charges and the prescribed reserve may be used to purchase or redeem Bonds in advance of maturity.

When all required payments into the Bond Fund have been made in any particular twelve month period ending May 1, any moneys remaining in the Revenue Fund may be used by the Board in its discretion to pay the operating costs of the Project to the extent that such costs are not otherwise provided, or may be used for the purchase or retirement of Bonds in advance of maturity, or for any other lawful purpose.

Application of Bond Proceeds

Any amounts received upon delivery of a Series of Bonds in payment of accrued interest thereon shall be deposited by the Treasurer into the Bond Fund to be applied to the payment of interest coupons next becoming due on said Series of Bonds.

There shall be established in the State Treasury of the Commonwealth an account designated the University of Kentucky Consolidated Educational Buildings Project - Bond Proceeds Series-Construction Account (the "Construction Account"). The proceeds of a Series of bonds shall be deposited in said Construction Account and disbursements shall be made solely for defraying the costs of erecting and completing buildings and appurtenances which will become parts of the Consolidated Educational Buildings Project and in accordance with inspection, audit and disbursement procedures provided by law. The aforesaid costs may include engineering, accounting, legal and fiscal expenses incurred in connection with the issuance of the Bonds.

Any balance remaining in the Construction Account after erection and completion of the authorized educational buildings and appurtenances and the payment of all costs in connection therewith shall be deposited in the Bond Fund; provided, however, that if proceedings are then pending or imminently contemplated for the construction or completion of one or more additional educational buildings which are or will be financed by the issuance of bonds under the Resolutions, the balances may be taken into account in determining the amount of bonds to be authorized therefor, or may otherwise be applied to the proper costs thereof.

Additional Bonds

The Board covenants and agrees that it will not hereafter create or permit the creation of or issue any bonds which will have a priority over the charge on the Revenues or the payments to be made into the Bond Fund.

Additional bonds ranking on a parity with Bonds outstanding may be issued only for the purpose of erecting and completing educational building or buildings and necessary appurtenances, provided:

1. that at the time of the issuance of the additional bonds there is no deficiency in the amounts required to be paid into the Bond Fund, and

2. that the average of the annual Revenues from the Project for the two fiscal years immediately preceding the issuance of the additional bonds, as indicated in a statement by the Treasurer of the Board to be filed with the Trustee, was equal to not less than 1.25 times the maximum Aggregate Principal, Interest and Bond Fund Charges in any succeeding twelve month period ending May 1 on the Bonds then outstanding and the additional bonds proposed to be issued. For the purpose of computing average annual Revenues, the Treasurer shall make an adjustment in the amount of annual Revenues to reflect any increase or decrease in the Student Registration Fee being imposed at the time for the services of the Project.

The issuance of such additional bonds shall be pursuant to the terms of an appropriate Series Resolution adopted by the Board in accordance with the provisions of the Resolution.

Default and Remedies

The Resolution defines events of default, which include failure to pay principal or any installment of interest when same become due; the Board's being rendered incapable of fulfilling its obligations under the Resolution; any buildings representing a part of the Project being destroyed or damaged and not being repaired or replaced and insurance proceeds not being deposited in the Bond Fund; an order or decree being entered appointing a Receiver of all or part of the Project or Revenues therefrom; and failure after written notice by the Trustee (who is required to give such notice at the written request of the holders of 15% in aggregate amount of the outstanding Bonds) to perform any covenant in the Resolution or any Series Resolution, in each case within or for the specified period of grace, if any.

Upon the happening and continuance of any event of default, then and in every such case the Trustee may, and upon the written request of the holders of not less than twenty-five percent (25%) in principal amount of the Bonds then outstanding shall by a notice in writing to the Board, declare the principal of all the Bonds then outstanding to be due and payable immediately, and upon such declaration the same shall become and be immediately due and payable, anything contained in the Bonds or in the Resolution or any Series Resolution to the contrary notwithstanding; provided, however, that if at any time after the principal of the Bonds shall have been so declared to be due and payable, and before the entry of final judgment or decree in any suit, action or proceeding instituted on account of such default, or before the completion of the enforcement of any other remedy under the Resolution, moneys shall have accumulated in the Bond Fund sufficient to pay all arrears of interest, if any, upon all the Bonds then outstanding (except the interest accrued on such Bonds since the last interest payment date), and the charges, compensation, expenses, disbursements, advances and liabilities of the Trustee and all other amounts then payable by the Board under the Resolution shall have been paid or a sum sufficient to pay the same shall have been deposited with the Trustee, and every other default known to the Trustee in the observance or performance of any covenant, condition or agreement contained in the Bonds or in the Resolution or any Series Resolution (other than a default in the payment of the principal of such Bonds then due only because of a declaration under this Section) shall have been remedied to the satisfaction of the Trustee, then and in every such case the Trustee may, and upon the written request of the holders of not less than twenty-five percent (25%) in principal amount of the Bonds then outstanding shall, by written notice to the Board, rescind and annul such declaration and its consequences, but no such rescission or annulment shall extend to or affect any subsequent default or impair any right consequent thereon.

Upon the happening and continuance of any event of default, then and in every such case the Trustee may proceed, and upon the written request of the holders of not less than fifteen percent (15%) in principal amount of the Bonds then outstanding under the Resolution shall proceed to protect and enforce its rights and the rights of the Bondholders under the laws of the Commonwealth of Kentucky or under the Resolution or any Series Resolution by such suits, actions or special proceedings in equity or at law, either for the specific performance of any covenant or agreement contained in the Resolution or in aid or execution of any power herein granted or for the enforcement of any proper legal or equitable remedy, as the Trustee, being advised by counsel, shall deem most effectual to protect and enforce such rights.

In the enforcement of any remedy under the Resolution the Trustee shall be entitled to sue for, enforce payment of and receive any and all amounts then or during any default becoming, and at any time remaining, due from the Board for principal, interest or otherwise under any of the provisions of the Resolution or any Series Resolution or of the Bonds and unpaid together with any and all costs and expenses of collection and of all proceedings under the Resolution and under such Bonds, without prejudice to any other right or remedy of the Trustee or of the Bondholders, and to recover and enforce judgment or decree against the Board, but solely as provided in the Resolution and in such Bonds, for any portion of such amounts remaining unpaid, with interest, costs and expenses, and to collect (solely from moneys in the Bond Fund and the Revenues of the Project pledged to the payment of the Bonds by the Resolution) in any manner provided by law, the moneys adjudged or decreed to be payable.

Other Covenants of the Board of Trustees

The Board of Trustees covenants with the holders of the Bonds, among other things, the following:

1. that it will establish, maintain and collect, so long as any Bonds are outstanding, such Student Registration Fees for the services of the Project as may be necessary (a) to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves therefor and (b) to pay the operating costs of the Project to the extent they

are not otherwise provided. In order to assure full and continuous performance of this covenant with a margin for contingencies and temporary unanticipated reduction in Revenues the Board further covenants and agrees to establish, maintain and collect such Student Registration Fees as will produce Revenues in each twelve month period ending May 1, equal to at least 110% of the current Aggregate Principal, Interest and Bond Fund Charges;

2. that while any of the Bonds are outstanding, it will, except as hereinbefore set forth under "Application of Revenues," pay the current operating costs of the Project from available funds other than the Revenues derived from the Project;

3. that it will procure and maintain to the extent available, fire and extended coverage insurance on the Project in amounts sufficient to provide for not less than full recovery whenever the loss from causes covered by such insurance does not exceed 80% of the full insurable value of the Project;

4. that unless provision is otherwise made by law for disposition by the Commonwealth of Kentucky of claims made against the University for bodily injury and/or death which may arise from operations of the Board, it will, if such insurance is not already in force, procure and maintain public liability insurance with limits of not less than \$50,000/\$100,000 to protect the Board from claims for bodily injury and/or death which may arise from the operation of the Board, including any use or occupancy of its grounds, structures, and vehicles;

5. that it will keep accurate financial records and proper books relating to the Project; that such records and books shall be open to inspection by the bondholders and their agents and representatives; and that not later than 90 days after the close of each fiscal year it will furnish to the Trustee, and to any bondholder who shall request the same in writing, copies of audit reports prepared by an independent certified public accountant or a firm of such accountants, who shall be satisfactory to the Trustee, or by an appropriate State auditing official, reflecting in reasonable detail the financial condition and record of operation of the University, the Project, and the pledged Revenues during the preceding fiscal year;

6. that it will at all times maintain, preserve and keep the Project and every part thereof in good condition, repair and working order; and will from time to time make all needful and proper repairs, replacements, additions, betterments and improvements so that the operations and business of the Project shall at all times be conducted efficiently, properly and advantageously;

7. that whenever any portion of the Project shall have been worn out or destroyed or shall have become obsolete, inefficient or otherwise unfit for use, the Board will procure and install substitutes of at least equal utility and efficiency, so that the value and efficiency of the Project will at all times be fully maintained;

8. that it will faithfully observe, do and perform all of its agreements and obligations provided for by the Bonds and the Resolutions.

CONSOLIDATED EDUCATIONAL BUILDINGS PROJECT

The University plant, valued at more than \$218,000,000 includes some 105 major buildings on the 342 acre main campus in Lexington, nine farms having a total of 19,030 acres and twelve community colleges outside Fayette County, each offering two years of college work. The University General Development Plan proposes expansion of the main campus to about 640 acres in coming years.

Pursuant to the Resolution and subsequent supplemental Series Resolutions, the Board has issued its Consolidated Educational Buildings Revenue Bonds to provide funds for construction of facilities as follows:

Series A Bonds issued in 1960 in the amount of \$8,000,000 for construction of a Chemistry-Physics Building and Library.

Series B Bonds issued in 1963 in the amount of \$7,500,000 for construction of an Education Building, a Nuclear Accelerator, a Law Building, Engineering Building, and a Commerce Building.

Series C Bonds issued in 1970 in the amount of \$4,290,000 and sold to the U.S. Department of Health, Education, and Welfare to provide funds, in part, for the construction of a Classroom-Office Building.

Series D Bonds dated May 1, 1971, and issued in the amount of \$34,700,000 for the construction of various buildings and appurtenant facilities: Classroom-Office Building for the College of Arts and Sciences; Laboratory-Office Building for the Agriculture Science Program; Appurtenant Facilities construction including two parking structures, surface parking, utilities expansion, physical education facilities, internal roadways, and pedestrian malls and lighting; Laboratory, Office and Studio Facilities for various Colleges; Memorial Hall Reconstruction; Library Service Building Reconstruction; Health, Physical Education and Recreation Building; Laboratory-Office Facilities for Medical and Biological Sciences programs; Patient Care Facility addition to the University Medical Center; and Addition to the University Library.

Series E Bonds dated May 1, 1973, were issued in the amount of \$4,750,000 to pay the costs, in part, of constructing a Biological Sciences Building, a Family Practice Facilities Building, and a Patient Care Facility addition to the University Medical Center. (Federal funds anticipated at the time of issuance of the Series D Bonds were not received; therefore, funds for completion of the Patient Care Facility were included in the Series E Bond issue).

REVENUES AND OPERATIONS OF THE UNIVERSITY

Total Income of the University, including Community Colleges, for the fiscal year ended June 30, 1975, amounted to \$151,306,417:

State and County Appropriations	\$77,357,258
Federal Appropriations	8,754,372
Student Fees	16,381,194
Private Gifts and Grants	1,603,796
Hospitals and Clinics	18,137,025
Housing, Dining and Other Auxiliary Enterprises	11,870,367
Tobacco Research Trust Fund	2,818,207
Grants from Affiliated Corporations	5,404,489
Other Sources	8,979,709

Enrollment

The following schedule indicates the Fall Semester full-time equivalent enrollment at the campus in Lexington, for each of the academic years 1970-71 through 1975-76. The full-time equivalent enrollment calculation is made in accordance with the method used by the United States Office of Education.

Academic Year	Fall Semester Full Time Equivalent Enrollment
1970-71	16,520
1971-72	17,711
1972-73	18,283
1973-74	18,311
1974-75	18,309
1975-76	19,499

As indicated above, the University has experienced an extended period of enrollment growth. Using regional and national surveys of future college age population and historic enrollment data, and taking into consideration policies of the Kentucky Council on Public Higher Education relating to enrollment requirements, the University has projected estimated Fall Semester full-time equivalent enrollment at its Lexington campus for the academic years 1976-77 through 1980-81.

Academic Year	Estimated Full - Time Equivalent Enrollment at Lexington Campus
1976-77	20,439
1977-78	21,123
1978-79	21,917
1979-80	22,187
1980-81	22,327

Student Registration Fee

The Board of Trustees with approval of the Kentucky Council on Public Higher Education has established a schedule of Student Registration Fees (which include Extended Program Fees) to be imposed, charged and collected for the services of the Project from all students attending the University. The present schedule of fees, became effective September 1, 1973, and not including Student Center and Activity Fees, are as follow:

REGISTRATION FEE FULL - TIME STUDENTS 1975		
	Resident	Non-Resident
All Colleges of the University Except Dentistry and Medicine		
Semester Registration Fee	\$221.75	\$586.75
Summer School Registration Fee (Per Credit Hour)	20.00	51.00
Graduate School		
Semester Registration Fee	\$246.75	\$611.75
Summer School Registration Fee (Per Credit Hour)	30.00	70.00
College of Dentistry and College of Medicine		
Annual Registration Fee for Regular Academic Year of Two Semesters	\$873.50	\$1,768.50
SEMESTER CREDIT HOUR FEE 1975		
	Resident	Non-Resident
Extended Program Fee		
Part-time Students, Evening and Extension Courses - All Colleges except Law and Graduate School		
Regular Semester Credit Hour Fee	\$ 20.00	\$ 51.00
Summer School Credit Hour Fee	20.00	51.00
College of Law		
Regular Semester Credit Hour Fee	\$ 24.00	\$ 61.00
Summer School Credit Hour Fee	27.00	64.00
Graduate School		
Regular Semester Credit Hour Fee	\$ 30.00	\$ 70.00
Summer School Credit Hour Fee	30.00	70.00

Summary of Income from Student Registration Fees

The proceeds received by the University from imposition and collection of the Student Registration Fee constitute the Revenues of the Project. The above fees do not include a variety of incidental and miscellaneous fees charged and collected from students, no part of which are pledged as Revenues of the Project or to the security of the Bonds.

Academic Year	Total Fees Collected
1969-70	\$ 6,872,496
1970-71	8,036,913
1971-72	8,605,384
1972-73	10,133,914
1973-74	12,099,491
1974-75	12,546,008

Estimated Income From Student Registration Fees

On the Basis of the Student Registration Fees which became effective September 1, 1973, it is estimated that the proceeds to be derived from these fees (which will be the Revenues of the Project) for each of the academic years 1975-76 through 1980-81 will be as set forth in the following tabulation:

Academic Year	Estimated Total Fees Collected
1975-76	\$13,361,000
1976-77	14,005,000
1977-78	14,474,000
1978-79	15,018,000
1979-80	15,203,000
1980-81	15,299,000

COVERAGE OF DEBT SERVICE REQUIREMENTS

This tabulation of coverage of debt service requirements as set forth below is based on the schedule of annual debt service for the Consolidated Educational Buildings Project Revenue Bonds as shown herein.

Estimated Maximum Annual Debt Service Requirements (1996)	\$ 4,507,900
1975-76 Estimated Project Revenues	13,361,000
Times Maximum Debt Service Covered	2.96x
1976-77 Estimated Project Revenues	14,005,000
Times Maximum Debt Service Covered	3.11x
Calculation for Parity Bonds*	
(Minimum Allowable Coverage 1.25x)	
Average of Revenues 1973-74/1974-75	\$12,322,749.50
Estimated Maximum Annual Debt Service Requirement	4,507,900.00
Times Maximum Debt Service Covered	2.73x

*Certified by Treasurer of the University.

BOND FUND RESERVE

The Resolution requires the creation (within four years following the issuance of a Series of Bonds) and maintenance of a reserve in the Bond Fund in an amount equal to the maximum aggregate principal, interest and bond fund charges in any future twelve-month period. The Trustee reports that there is presently on deposit in the Bond Fund Reserve a total of \$ 5,214,973.00, which amount exceeds the requirements of the Resolution.

**COMBINED ANNUAL DEBT SERVICE REQUIREMENTS OF
THE CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS**

Fiscal Year Ending June 30	Debt Service Requirements Series	Series F		Total Estimated Debt Service All Series
	A,B,C,D,E, (a)	Principal May 1	Estimated Interest	
1977	\$3,923,298.75	\$ 50,000	\$514,342.50	\$4,487,641.25
1978	3,931,667.50	45,000	512,467.50	4,489,135.00
1979	3,927,467.50	50,000	510,667.50	4,488,135.00
1980	3,930,286.25	55,000	508,542.50	4,493,828.75
1981	3,930,123.75	55,000	506,040.00	4,491,163.75
1982	3,930,515.00	60,000	503,372.50	4,493,887.50
1983	3,930,600.00	65,000	500,342.50	4,495,942.50
1984	3,931,973.75	70,000	496,962.50	4,498,936.25
1985	3,934,817.50	70,000	493,217.50	4,498,035.00
1986	3,933,242.50	75,000	489,367.50	4,497,610.00
1987	3,926,717.50	90,000	485,130.00	4,501,847.50
1988	3,924,207.50	95,000	479,910.00	4,499,117.50
1989	3,920,742.50	105,000	474,257.50	4,500,000.00
1990	3,925,742.50	110,000	467,905.00	4,503,647.50
1991	3,923,332.50	120,000	461,030.00	4,504,362.50
1992	3,923,772.50	125,000	453,350.00	4,502,122.50
1993	3,921,195.00	140,000	445,162.50	4,506,357.50
1994	3,925,575.00	145,000	435,852.50	4,506,427.50
1995	3,931,122.50	150,000	426,065.00	4,507,187.50
1996	3,922,110.00	170,000	415,790.00	4,507,900.00
1997	3,928,675.00	175,000	403,975.00	4,507,650.00
1998	3,922,820.00	190,000	391,725.00	4,504,545.00
1999	2,500,775.00	1,100,000	378,235.00	3,979,010.00
2000	445,450.00	1,250,000	299,585.00	1,995,035.00
2001	438,410.00	1,400,000	210,210.00	2,048,620.00
2002	430,500.00	1,540,000	110,110.00	2,080,610.00

(a) Principal and interest payments on the Series D Bonds will be made to and including May 1, 1981, from the Revenues of the Project on which date all of the then outstanding Series D Bonds will be redeemed from the escrowed proceeds of the Series D Refunding Bonds. After May 1, 1981, principal and interest payments on the Series D Refunding Bonds will be made from the Revenues of the Project.

OUTSTANDING BONDS OF THE UNIVERSITY

In addition to the following, the University has outstanding certain old issues of Dormitory and Dining Bonds and of Community Colleges Bonds now advance refunded with full principal and interest on deposit and escrowed.

EDUCATIONAL BUILDINGS

Central Campus	Year Of Issue	Amount of Issue	Amount To Be Outstanding 7-1-76	Year Of Final Maturity
Consolidated Educational Buildings Revenue Bonds - Series A	1960	\$ 8,000,000	\$ 4,150,000	1986
Consolidated Educational Buildings Revenue Bonds - Series B	1963	7,500,000	4,610,000	1988
Consolidated Educational Buildings Revenue Bonds - Series C	1970	4,290,000	3,480,000	1996
Consolidated Educational Buildings Revenue Bonds - Series D (See Note)	1971	4,700,000	33,560,000	2001

<u>Central Campus</u>	<u>Year</u>		<u>Amount To Be</u>	
	<u>Of Issue</u>	<u>Amount of Issue</u>	<u>Outstanding</u>	<u>Year Of</u>
			<u>7-1-76</u>	<u>Final Maturity</u>
Consolidated Educational Buildings Refunding Revenue Bonds, Series D	1973	\$31,000,000	\$31,000,000	1999
Consolidated Educational Buildings Revenue Bonds - Series E	1973	4,750,000	4,750,000	2002
TOTAL			<u>\$81,570,000</u>	

NOTE: On May 1, 1981, all the then outstanding Series D Bonds will be redeemed from the escrowed proceeds of the Series D Refunding Bonds. For further details see the "Security" section elsewhere in this Official Statement.

<u>Community Colleges</u>					
Community Colleges Educational Buildings Revenue Bonds - Series A	1971	\$12,125,000	\$11,675,000	2009	
Community Colleges Educational Buildings Revenue Bonds - Series B	1971	4,489,000	4,075,000	2009	
Community Colleges Educational Buildings Revenue Bonds - Series C	1974	5,500,000	5,355,000	2004	
TOTAL			<u>\$21,105,000</u>		

HOUSING AND DINING

Upon registration for the Fall Semester of 1975-76, the University filled all dormitory rooms and other housing available on campus. In addition, approximately 1,200 students who applied for on-campus housing could not be accommodated. More than 400 applications for on-campus housing have been placed on a waiting list for the Fall Semester of 1976-77.

<u>Housing & Dining System Revenue Bonds</u>	<u>Year Of Issue</u>	<u>Amount of Issue</u>	<u>Amount To Be Outstanding 7-1-76</u>	<u>Year Of Final Maturity</u>
Housing & Dining Bonds - Series A	1965	\$ 1,000,000	\$ 635,000	1990
Housing & Dining Bonds - Series B	1965	275,000	275,000	1994
Housing & Dining Bonds - Series C	1965	955,000	695,000	1995
Housing & Dining Bonds - Series D	1965	1,835,000	1,335,000	1996
Housing & Dining Bonds - Series E	1965	1,580,000	1,185,000	1997
Housing & Dining Bonds - Series F	1965	1,155,000	1,030,000	2001
Housing & Dining Bonds - Series G	1965	1,375,000	1,375,000	2002
Housing & Dining Bonds - Series H	1965	6,900,000	3,830,000	1990
Housing & Dining Bonds - Series I	1965	20,000,000	19,370,000	2005
TOTAL			<u>\$29,730,000</u>	
<u>Fraternity & Sorority Dormitory Bonds</u>				
Dormitory Revenue Bonds	1950	74,500	12,500	1980
Dormitory Revenue Bonds	1950	76,000	15,000	1980
Dormitory Revenue Bonds of 1953	1953	710,000	240,000	1983
Dormitory Revenue Bonds	1956	900,000	624,000	1996
Dormitory Revenue Bonds	1956	150,000	104,000	1996
Dormitory Revenue Bonds	1957	100,000	28,000	1982
Dormitory Revenue Bonds	1958	150,000	106,000	1998
Housing Bonds of 1960	1960	300,000	230,000	2000
Student Housing Bonds of 1964	1964	640,000	538,000	2004
Dormitory Revenue Bonds (Rose Street)	1969	130,000	95,000	1994
TOTAL			<u>\$ 1,992,500</u>	

CONTINGENT OBLIGATIONS

The University has other contingent liabilities as follow:

Lease Purchase Obligation Payable: The University has the primary lease obligation to the State Property and Buildings Commission for the Commonwealth Stadium. This lease has been sub-leased to the Athletic Association. The Commission holds title to the facility until the revenue bonds issued by the Commission have been fully retired; thereafter, the title passes to the University. Under the terms of the agreements, the annual rentals equal the debt service of the revenue bonds, which range in amount from \$590,000 to \$630,000. These annual payments will be reduced by investment income from funds placed in escrow with the bond trustees by the Commonwealth of Kentucky. Each lease term is for two years with exclusive option to the University for renewals until year 2002. The average effective interest rate is 5.5%. Payments of the lease obligations are made by the Athletic Association from game revenues. Payments were \$437,255 and \$236,756 for 1975 and 1974, respectively. Principal reductions will begin in fiscal year 1976.

Pension Plan: Faculty and staff members of the University are participants in the Retirement Annuity Plan sponsored by the Teachers Insurance and Annuity Association (TIAA). Under this plan, the University and plan participants make annual contributions to TIAA to purchase individual annuities equivalent to retirement benefits earned. The University's share of the costs of these benefits was \$3,446,286 and \$3,025,294 for 1975 and 1974, respectively.

In addition, the University provides supplemental retirement benefits for those employees eligible under an unfunded pension plan in effect prior to 1965. Payments to retirees under this unfunded plan were \$1,610,365 and \$1,245,370 for 1975 and 1974, respectively. A projected estimate of annual payments to retirees under this unfunded plan, prepared by TIAA in 1974, estimated the maximum annual obligation to be approximately \$3,450,000 in the fiscal year 1993. These unfunded supplemental benefits do not vest to the participants until their retirement. The University does not provide for the costs of these supplemental retirement benefits other than those expenditures required by current retirees. State appropriations fund these expenditures.

TAX EXEMPTION

In the opinion of Bond Counsel, interest on the Bonds is exempt from present Federal and Kentucky income taxes and principal is exempt from Kentucky ad valorem taxes under existing statutes, regulations and court decisions.

APPROVAL OF LEGAL PROCEEDINGS

Chapman and Cutler, Chicago, Illinois, and Stoll, Keenon & Park, Lexington, Kentucky will act as Bond Counsel for the purpose of rendering opinions as to the validity of the authorization and issuance of the Bonds, but have not been retained to participate in the preparation or review of this Official Statement and such firms have not assumed any responsibility with respect thereto or undertaken independently to verify any information contained herein, except that such firms have reviewed the information describing the Series F Bonds and also the information under the headings "Authority", "Security", and "The Basic Resolution" to determine that such information conforms to the provisions of the Resolution and the Series F Resolution.

All of foregoing descriptions or statements of provisions of the Resolutions, the Kentucky Revised Statutes, the Bonds, and other documents of the University are made subject, respectively, to all provisions thereof, to which reference is hereby made for further information, and do not purport to be complete statements of such provisions. To the best of the knowledge and belief of the signers hereof, the descriptions and statements contained in this Official Statement are, at the time of its distribution, true and correct in all material respects and do not contain an untrue statement of a material fact or omit to state a material fact required to be stated where necessary to make the statements, in light of the circumstances under which they were made, not misleading.

UNIVERSITY OF KENTUCKY

ATTEST:

Chairman or Vice Chairman
Board of Trustees

Secretary of the Board of Trustees

**A RESOLUTION AUTHORIZING THE ISSUANCE OF
\$7,500,000 CONSOLIDATED EDUCATIONAL BUILDINGS
REVENUE BONDS, SERIES F, OF THE BOARD OF
TRUSTEES OF THE UNIVERSITY OF KENTUCKY.**

WHEREAS, the Board of Trustees of the University of Kentucky, by Resolution entitled:

"A RESOLUTION creating and establishing a Consolidated Educational Buildings Project of the University of Kentucky; creating and establishing an issue of Consolidated Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky; providing for the issuance from time to time of said bonds; providing for the payment of the principal of and interest on said bonds and repealing all resolutions or parts of resolutions in conflict with this resolution,"

adopted September 20, 1960 (hereinafter referred to as the "Resolution"), has created and established an issue of Consolidated Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky; and

WHEREAS, the Resolution authorizes the issuance by said Board of said Bonds in one or more series pursuant to a resolution authorizing such series; and

WHEREAS, there have heretofore been issued pursuant to such Resolution Series A Bonds in the original face amount of \$8,000,000 in 1960, of which \$4,480,000 face amount are still outstanding; Series B Bonds in the original face amount of \$7,500,000 in 1963, of which \$4,905,000 face amount are still outstanding; Series C Bonds in the original face amount of \$4,290,000 in 1970, of which \$3,605,000 face amount still remain outstanding; Series D Bonds in the original face amount of \$34,700,000, of which \$1,685,000 remain outstanding, being the bonds maturing to and including May 1, 1981, provisions having been made to call on May 1, 1981, Series D Bonds maturing on May 1, 1982, and thereafter; Series D Refunding Bonds in the original face amount of \$31,000,000, of which all remain outstanding; and Series E Bonds in the original face amount of \$4,750,000, of which all remain outstanding; and

WHEREAS, the Board has determined that it is in the best interest of the Board to issue at this time a series of Bonds to be designated "Consolidated Educational Buildings Revenue Bonds, Series F";

NOW, THEREFORE, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY HEREBY RESOLVES AS FOLLOWS:

ARTICLE I

Definitions and Authority

Section 1.01. This resolution (hereinafter referred to as the "Series F Resolution") is adopted in accordance with Article II, Section 2.03, of the Resolution.

Section 1.02 (A) All terms which are defined in Article I of the Resolution shall have the same meanings, respectively, in this Series F Resolution as such terms are given in said Article of the Resolution.

(B) In this Series F Resolution, Series F Bonds shall mean the Bonds authorized by Article II of this Series F Resolution.

Section 1.03. This Series F Resolution is adopted pursuant to the provisions of Section 162.340 et seq. of the Kentucky Revised Statutes and the Resolution.

ARTICLE II

Authorization of Series F Bonds

Section 2.01. Pursuant to the provisions of the Resolution there is hereby authorized to be issued by the Board of Trustees of the University of Kentucky, in its corporate capacity, a series of Bonds in the aggregate principal amount of Seven Million, Five Hundred Thousand Dollars (\$7,500,000). Such Bonds shall be designated as "University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series F." Said Series F Bonds are hereby declared to have been authorized under the Resolution and in conformity with the provisions of Section 7.10 of the Resolution.

Section 2.02. Said Series F Bonds are being issued for the purpose of paying the costs (to the extent not otherwise provided) of erecting an educational building with necessary appurtenances and campus utility projects upon the property of the University in Fayette County, Kentucky. The projects consist of a Fine Arts Complex and various utility projects, which, when erected and completed, will become and constitute parts of the Consolidated Educational Buildings Project of said University.

Section 2.03. The Series F Bonds shall be dated May 1, 1976, and bear interest payable semiannually on November 1 and May 1 of each year at a coupon rate or coupon rates, the exact rate or rates of interest for said Series F Bonds to be fixed as a result of advertised sale and competitive bidding for said Series F Bonds, as hereinafter provided. Said Series F Bonds shall be numbered and scheduled to become due and payable in numerical order on May 1 of the respective years as follows:

BONDS NUMBERED <u>(Inclusive)</u>	<u>PRINCIPAL AMOUNT</u>	<u>DATE OF MATURITY</u>
1-10	\$ 50,000	1977
11-19	45,000	1978
20-29	50,000	1979
30-40	55,000	1980
41-51	55,000	1981
52-63	60,000	1982
64-76	65,000	1983
77-90	70,000	1984
91-104	70,000	1985
105-119	75,000	1986
120-137	90,000	1987
138-156	95,000	1988
157-177	105,000	1989
178-199	110,000	1990
200-223	120,000	1991
224-248	125,000	1992

BONDS NUMBERED

<u>(Inclusive)</u>	<u>PRINCIPAL AMOUNT</u>	<u>DATE OF MATURITY</u>
249-276	140,000	1993
277-305	145,000	1994
306-335	150,000	1995
336-369	170,000	1996
370-404	175,000	1997
405-442	190,000	1998
443-662	1,100,000	1999
663-912	1,250,000	2000
913-1192	1,400,000	2001
1193-1500	1,540,000	2002

Section 2.04. Said Series F Bonds shall be issued in coupon form, in the denomination of \$5,000 and shall be registrable as to principal only.

Section 2.05. The principal of and interest on said Bonds shall be payable in any coin or currency of the United States of America, which at the time of Series F payment therefor is legal tender for the payment of public and private debts, at the principal office of First Security National Bank & Trust Company of Lexington, in the City of Lexington, Kentucky, Trustee (or successor Trustee appointed pursuant to the provisions of the Resolution), or at the option of the holders of the respective Series F Bonds and coupons at the principal office of Chemical Bank, in the City of New York, New York, which are hereby appointed Paying Agents for the Series F Bonds.

Section 2.06. The Series F Bonds maturing May 1, 1987, and thereafter (being the Bonds numbered 120 and upwards) shall be subject to redemption by the Board in whole or from time to time in part in the inverse order of their maturities (less than all of a single maturity to be selected by lot) on any interest payment date on or after May 1, 1986, at the redemption prices, expressed in percentages of principal amount, with respect to each Bond as set forth below, plus in each case accrued interest to the date of redemption.

If Redeemed	Redemption Price
On and after May 1, 1986, and prior to May 1, 1991	103%
On and after May 1, 1991, and prior to May 1, 1996	102%
On and after May 1, 1996, and prior to final maturity	101%

Section 2.07. Said Series F Bonds shall be executed on behalf of said Board with the reproduced facsimile signature of the Chairman or Vice-Chairman of the Board and attested by the manual signature of the Secretary of the Board, and the facsimile of the corporate seal of said Board shall be imprinted thereon. Interest on said Series F Bonds falling due on and prior to maturity shall be represented by appropriate interest coupons to be attached to each of said Series F Bonds, which coupons shall be executed with the facsimile of the official signatures of said Chairman or Vice-Chairman and said Secretary.

Section 2.08. For the purpose of securing the payment of both the principal of and interest on all the Series F Bonds and to secure for the benefit of all the holders of said Series F Bonds the faithful performance of the covenants and provisions contained in the Resolution in the manner and to the extent permitted and provided in the Resolution and in Sections 162.340 et seq. of the Kentucky Revised Statutes, First Security National Bank and Trust Company

of Lexington, in the City of Lexington, Kentucky, is hereby designated as Trustee under the Resolution for the holders of all of said Series F Bonds, with the powers and duties set forth in the Resolution and with no liability in connection with any action or omission to act under the Resolution except for its own negligence or willful breach of trust. Execution of the authentication certificate of the Trustee on the back of the respective Series F Bonds shall conclusively establish the acceptance as to such Series F Bonds by the Trustee of the trusts and provisions with respect thereto as set forth in the Resolution.

Section 2.09. The Series F Bonds and coupons and provisions for registration appertaining thereto and the certificate of the Trustee to be endorsed on said Series F Bonds shall be in substantially the following respective forms, to-wit:

(Form of Bond)

UNITED STATES OF AMERICA
COMMONWEALTH OF KENTUCKY
UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BOND
SERIES F

No. _____

\$5,000.00

The Board of Trustees of the University of Kentucky, a body corporate, as an educational institution and agency of the Commonwealth of Kentucky, for value received, hereby promises to pay, solely from the special fund provided therefor, as hereinafter set forth, to the bearer or, if this Bond be registered, to the registered owner hereof, as hereinafter provided, the sum of Five Thousand Dollars (\$5,000.00) on the first day of May, 19__, and to pay, solely from said special fund, interest thereon from the date hereof until payment of principal at the rate of _____ per cent (_____%) per annum, such interest being payable semiannually on the first days of November and May in each year, except as the provisions hereinafter set forth with respect to prior redemption may be and become applicable hereto, such interest as may accrue on and prior to the maturity date of this Bond to be paid only upon presentation and surrender of the annexed coupons as they severally mature, both principal and interest being payable in any coin or currency of the United States of America which at the time of payment thereof is legal tender for the payment of public and private debts, at the principal office of the Trustee, First Security National Bank and Trust Company of Lexington, in the City of Lexington, Kentucky, or, at the option of the holder hereof or of the interest coupons hereunto appertaining, at the principal office of Chemical Bank, in the City of New York, New York.

This Bond is one of a duly authorized issue of Bonds designated as "Consolidated Educational Buildings Revenue Bonds (hereinafter referred to as the "Bonds") issued and to be issued under and in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including, among others, Sections 162.350 to 162.380, inclusive, of the Kentucky Revised Statutes now in full force and effect, and under and pursuant to the Resolution adopted by the Board of Trustees on September 20, 1960 (hereinafter referred to as the "Resolution") and the series F Resolution adopted by the Board of Trustees on April 6, 1976.

As provided in the Resolution, the Bonds may be issued from time to time pursuant to separate resolutions in one or more series, in various principal amounts, may bear interest at different rates and may otherwise vary as in the Resolution provided. The aggregate principal amount of Bonds which may be issued under the Resolution is not limited except as provided in the Resolution, and all Bonds issued and to be issued under the Resolution are and will be equally secured by the pledges and covenants made therein, except as otherwise expressly provided or permitted in the Resolution.

This Bond is one of a series of Bonds of various maturities designated as "Consolidated Educational Buildings Revenue Bonds, Series F" (herein called the "Series F Bonds"), issued in the aggregate amount of \$7,500,000 under the Resolution for the purpose of financing the costs to the extent not otherwise provided) of erecting educational buildings and necessary appurtenances as parts of the Consolidated Educational Buildings Project of said University (hereinafter referred to as the "Project"). Copies of the Resolution and the Series F Resolution are on file at the office of the Trustee. Reference is hereby made to the Resolution pursuant to which First Security National Bank and Trust Company

of Lexington, in the City of Lexington, Kentucky, has been designated as Trustee for the holders of the issue of Bonds of which this Bond is one and for the provisions, among others, with respect to the custody and application of the proceeds of the Bonds; the rights, duties and obligations of said Board of Trustees, and of the Trustee, and the rights of the holders of the Bonds; and by the acceptance of this Bond the holder hereof assents to all of the provisions of the Resolutions. Under authority of the statutes pursuant to which this Bond is issued, this Bond shall have all the qualities and incidents of a negotiable instrument, and subject to the provisions for registration endorsed hereon and contained in the Resolution, nothing contained in this Bond or in the Resolution shall affect or impair the negotiability of this Bond.

The holder of this Bond shall have no right to enforce the provisions of the Resolution or to institute action to enforce the covenants therein, or to take any action with respect to any default under the Resolution, or to institute, appear in or defend any suit or other proceedings with respect thereto, except as provided in the Resolution. The Resolution provides for fixing, charging and collecting fees for the services of said Project, which fees will be sufficient to pay the principal of and the interest on said Bonds as the same become due and to provide reserves for such purposes and also to pay the costs of operation and maintenance of the Project to the extent the same are not otherwise provided. The Resolution provides for the creation of a special account designated "Consolidated Educational Buildings Project Bond and Interest Sinking Fund" (herein called the "Bond Fund") and for the deposit to the credit of said Bond Fund of a fixed amount of the gross Revenues of said Project to pay interest on the Bonds as the same become due and to pay or retire the principal of the Bonds at or prior to the maturity thereof, and to provide a reserve for such purpose, which Bond Fund is pledged to and charged with the payment of said principal and interest.

The issue of Series F Bonds of which this Bond is one and all other Bonds as may be outstanding from time to time under the Resolution, are payable only from a fixed amount of the gross Revenues derived from the operation of said Project which will be set aside in said Bond Fund, and this Bond does not constitute any indebtedness of the University of Kentucky or of its Board of Trustees or of the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

The Series F Bonds maturing May 1, 1987, and thereafter (being the Bonds numbered 120 and upwards) shall be subject to redemption at the option of the Board of Trustees in whole or from time to time in part in the inverse order of their maturities (less than all of a single maturity to be selected by lot) on any interest payment date on or after May 1, 1986, at the redemption prices, expressed in percentage of principal amount, with respect to each Bond as set forth below, plus in each case accrued interest to the date of redemption:

If Redeemed	Redemption Price
On and after May 1, 1986, and prior to May 1, 1991	103%
On and after May 1, 1991, and prior to May 1, 1996	102%
On and after May 1, 1996, and prior to final maturity	101%

In the event the Board of Trustees shall exercise its option to redeem any of the Series F Bonds of which this Bond is a part, notice of such redemption identifying the Bonds to be redeemed will be given to the Trustee at least forty-five (45) days prior to the specified redemption date, and such notice shall be published at least once not less than thirty (30) days prior to the specified redemption date, in a newspaper or financial journal of general circulation published in each of the Cities of Louisville, Kentucky, and New York, New York. Any Bonds called for redemption and for the payment of which funds are deposited with said Trustee on the specified redemption date shall cease to bear interest on said redemption date.

This Bond shall pass by delivery unless registered as to principal on the books of said Board of Trustees at the office of the Trustee under the Resolution, and such registration noted hereon, after which no valid transfer hereof shall be effective unless made on said books and similarly endorsed hereon at the written request of the registered holder of his duly authorized representative, but this Bond may be discharged from registration by being in like manner registered to bearer, whereupon full negotiability and transferability by delivery shall be restored but may again from time to time be registered as aforesaid. Such registration, however, shall not affect the negotiability of the interest coupons which shall always remain payable to bearer and transferable by delivery merely. The Board of Trustees, the Trustee and the Paying Agents may treat the bearer of this Bond, if not registered as to principal, and the bearer of any coupon hereto appertaining, whether or not this Bond be so registered, or if this Bond be registered as herein authorized, the person

in whose name the same is registered, as the absolute owner for the purpose of making payment and for all other purposes.

A statutory mortgage lien, which is hereby recognized as valid and binding on said Project, is created and granted to and in favor of the holder or holders of this Bond and the issue of Bonds of which it is one, and in favor of the holder or holders of the coupons attached hereto, and said Project shall remain subject to such statutory mortgage lien until the payment in full of the principal of and interest on this Bond and the issue of Bonds of which it is one.

This Bond shall not be valid or become obligatory for any purpose, or be entitled to any security of benefit, under the Resolution, until it shall have been authenticated by the execution by the Trustee of the certificate hereon endorsed.

It is hereby certified, recited and declared that all acts, conditions and things required to exist, to happen and to be performed precedent to and in the issuance of this Bond do exist, have happened and have been performed in due time, form and manner as required by law, and the amount of this Bond, together with all other obligations of said Board of Trustees and of said University of Kentucky, does not violate any provision or exceed any limit prescribed by the Constitution or Statutes of Kentucky; that said Project will be continuously operated, and that a sufficient portion of the gross Revenues therefrom has been pledged to and will be set aside into said Bond Fund for the payment of the principal of and interest on this Bond and the issue of which it is one, and all other bonds, which by their terms and the terms of the Resolution are payable from said Bond Fund, as and when the same will respectively become due.

IN TESTIMONY WHEREOF, the Board of Trustees of the University of Kentucky has caused this Bond to be executed on its behalf by the reproduced facsimile signature of its Chairman or Vice-Chairman and the facsimile of its corporate seal to be imprinted hereon, attested by the manual signature of its Secretary, and the coupons hereto attached to be executed with the facsimile signatures of said Chairman or Vice-Chairman and Secretary, all being done as of the first day of May, 1976.

Chairman, Board of Trustees
University of Kentucky

(SEAL)

ATTEST:

Secretary, Board of Trustees

(Form of Coupon)

No. _____

\$ _____

On the first day of _____, 19____, *(unless the Bond to which this coupon is attached shall have been duly called for prior redemption and payment of the redemption price duly made or provided for), the Board of Trustees of the University of Kentucky, at Lexington, Kentucky will pay to bearer _____ Dollars (\$ _____) in any coin or currency which at the time of payment thereof is legal tender for the payment of public and private debts out of its "Consolidated Educational Buildings Project Bond and Interest Sinking Fund" at the principal office of First Security National Bank and Trust Company of Lexington, in the City of Lexington, Kentucky, or, at the option of the holder hereof, at the principal office of Chemical Bank, in the City of New York, New York, as provided in and for interest

then due on its Consolidated Educational Buildings Revenue Bond, Series F, dated May 1, 1976. Number _____

Chairman, Board of Trustees
University of Kentucky

Secretary, Board of Trustees

(*The phrase in parentheses should appear only in
coupons maturing on and after May 1, 1987, attached
to the Bonds numbered 120 to 1500.)

(Form of Authentication Certificate)

This Bond is one of the Bonds described or provided for in the within-mentioned Resolution.

FIRST SECURITY NATIONAL BANK AND
TRUST COMPANY OF LEXINGTON,
Lexington, Kentucky, Trustee,

By _____
(Authorized Officer)

(Form of Registration to be Printed on the
Back of each Series F Bond)

Date of Registration	Name of Registered Holder	Signature of Authorized Officer or Trustee

Section 2.10. The Chairman and/or Vice Chairman and Secretary of the Board are hereby authorized and directed to cause to be published a Notice of Sale of Bonds with reference to the Bonds herein authorized, substantially in the form attached hereto, in the Daily Bond Buyer, New York, New York, which is a publication having general circulation among bondbuyers, and in The Courier-Journal, a legal newspaper, published in Louisville, Kentucky, which affords state-wide notice. Such papers are hereby determined and directed to be required to publish such Notice within the meaning and provisions of Chapter 424 of the Kentucky Revised Statutes.

The Secretary and the Fiscal Agent, Dupree & Company, Inc., Lexington, Kentucky, are hereby authorized to prepare and disseminate to prospective bidders an "official bid form" in substantially the form attached hereto.

Section 2.11. There is hereby established in the State Treasury of the Commonwealth a Construction Account to be designated "University of Kentucky Consolidated Educational Buildings Project-Bond Proceeds Series F Construction Account." Immediately upon the issuance, sale and delivery of the Series F Bonds, all sums received as accrued interest thereon shall be deposited by the Treasurer of the Commonwealth with the Trustee, in the Bond Fund. The balance of the Bond proceeds shall be deposited by the Treasurer of the Commonwealth in said Construction Account and shall be disbursed by such Treasurer according to such inspection, audit and disbursement procedures as may from time to time be provided by law for the purpose of paying the costs (to the extent not otherwise provided) of erecting the educational buildings and necessary appurtenances as identified in Section 2.02 of this Series F Resolution in accordance with the approved plans and specifications therefor, and only upon certification of the Architect or Engineer having supervision of construction, as to each disbursement, that the amount thereof has been duly earned by and is payable to the designated party or parties for materials, services and/or labor furnished pursuant to proper contract duly awarded therefor. Any balance remaining in said Construction Account after the erection of said educational buildings and the payment of all costs in connection therewith shall be deposited in the Bond Fund; provided, however, that if proceedings are then pending or imminently contemplated for the construction or completion of one or more additional educational buildings, which are or will be financed by issuance of additional Series Bonds pursuant to the Resolution, and which building or buildings constitute or will constitute a part of the Consolidated Educational Buildings Project, any such unexpended balance may be taken into account in determining the amount of Bonds to be authorized therefor, or may otherwise be applied to the proper costs thereof, in which event such unexpended balance may, upon authority of the Board be transferred to the Series Construction Account thereof.

Section 2.12. The Board covenants that it will make or permit no use of, and hereby directs the Trustee to make no use of, any of the proceeds of the Bonds herein authorized which, if such use had been reasonably expected on the date of issuance of said Bonds, would have caused said Bonds to be "arbitrage bonds" within the meaning of Section 102(d) of the Internal Revenue Code of 1954, as amended, and such regulations adopted from time to time under said Section 103(d) as may be applicable to said Bonds, and further covenants that it will comply throughout the term of the issue with the requirements of said Section 103(d) and any such applicable regulations, to whatever extent is necessary to assure that the Bonds herein authorized shall not be treated as taxable arbitrage bonds. On the basis of the facts and circumstances in existence on the date of the adoption of this Resolution the Board certifies that it is not expected that the proceeds of the Bonds herein authorized will be used in a manner which would cause such Bonds to be arbitrage bonds.

Section 2.13. A certified copy of this Series F Resolution shall be filed with the Trustee, and this Series F Resolution shall take effect immediately upon its adoption and the filing of the certified copy thereof with the Trustee.

ADOPTED April 6, 1976.

Chairman or Vice-Chairman, Board of
Trustees, University of Kentucky

(SEAL)

ATTEST:

Secretary

SCHEDULE OF MEETINGS OF BOARD OF TRUSTEES
1976-77

The following dates have been established for meetings of the Board of Trustees during the 1976-77 fiscal year:

August 10, 1976	Executive Committee
September 21, 1976	Board of Trustees (Statutory)
October 19, 1976	Executive Committee
November 16, 1976	Executive Committee
December 14, 1976	Board of Trustees (Statutory)
January 25, 1977	Executive Committee
March 8, 1977	Executive Committee
April 5, 1977	Board of Trustees (Statutory)
May 3, 1977	Board of Trustees (Statutory)
June 14, 1977	Executive Committee

You will note that no meeting has been scheduled for July 1976. In addition, no meeting has been scheduled for February 1977. The January meeting has been scheduled late in the month and the March meeting early in the month.

Any Executive Committee meeting may be rescheduled as a full Board meeting should the nature or quantity of work make this necessary.

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Appointments to the Board of Directors of the University of Kentucky Research Foundation

Recommendation: that Mr. H. S. Beattie, Mr. Richard E. Cooper and Dr. Fred W. Zechman be reappointed to the Board of Directors of the University of Kentucky Research Foundation for three-year terms ending in 1979.

Background: The terms of Mr. Beattie, Mr. Cooper, and Dr. Zechman expire this year. All three men have been valuable members of the Board of Directors of UKRF and their reappointment is recommended.

II. Appointments and/or Other Staff Changes

Recommendation: (1) that approval be given to the appointments and/or other changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

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BOARD ACTION

New Appointments

Balachandran, K. R., Visiting Associate Professor, Department of Business Administration, College of Business and Economics, 8/1/76 through 5/31/77.

Christenson, James A., Associate Professor, Department of Sociology, College of Agriculture, 7/1/76 through 6/30/78.

Deen, Robert C., Adjunct Associate Professor (part-time), Department of Civil Engineering, College of Engineering, 7/1/76 through 6/30/77.

DuMont, Rosemary, Assistant Professor, College of Library Science, 8/1/76 through 5/31/77.

Hunter, Floyd, Visiting Professor (temporary), Department of Sociology, College of Arts and Sciences, 8/1/76 through 12/31/76.

Norman, Gurney, Visiting Professor, Department of English, College of Arts and Sciences, 1/1/77 through 5/31/77.

Parker, Walter Ronald, Assistant Extension Professor*, Department of Animal Sciences, College of Agriculture, 3/15/76 through 6/30/77.

Warren, James Earl, Assistant Professor, Department of Anesthesiology, College of Medicine, 7/1/76 through 6/30/77.

Wiegand, Wayne A., Assistant Professor, College of Library Science, 8/1/76 through 5/31/77.

Zabinsky, Harvey, Instructor, Department of Economics, College of Business and Economics, 8/1/76 through 5/31/77.

Non-Academic Appointments

Kelley, George I., County Extension Agent for Agriculture, Area Extension Programs, McLean County, College of Agriculture, effective 4/9/76.

Lucas, Anna B., Extension Program Specialist, Extension Programs 4-H, College of Agriculture, retroactive 3/22/76.

McMillen, Judy, Biostatistician, Department of Pathology, College of Medicine, retroactive 3/1/76.

Smith, Sandra S., County Extension Agent for Home Economics, Area Extension Programs, College of Agriculture, retroactive 3/15/76.

Joint Appointments

Warren, Richard L., Professor (with tenure), Department of Social and Philosophical Studies, College of Education, also named Professor (with tenure), Department of Anthropology, College of Arts and Sciences, retroactive 1/1/76.

*Special Title Series

Promotions

College of Agriculture

- Bitzer, Morris J., from Assistant Extension Professor* (without tenure), to Associate Extension Professor* (with tenure), Department of Agronomy, effective 7/1/76.
- Boling, James A., from Associate Professor (with tenure), to Professor (with tenure), Department of Animal Sciences, effective 7/1/76.
- Carpenter, Stanley B., from Assistant Professor (without tenure), to Associate Professor (with tenure), Department of Forestry, effective 7/1/76.
- Cornelius, Paul L., from Assistant Professor (without tenure), to Associate Professor (with tenure), Department of Agronomy College of Agriculture and Department of Statistics, College of Arts and Sciences, effective 7/1/76.
- Cromwell, Gary L., from Associate Professor (with tenure), to Professor (with tenure), Department of Animal Sciences, effective 7/1/76.
- Debertin, David Lee, from Assistant Professor (without tenure), to Associate Professor (with tenure), Department of Agricultural Economics effective 7/1/76.
- Ely, Donald G., from Associate Professor (with tenure), to Professor (with tenure), Department of Animal Sciences, effective 7/1/76.
- Hartman, John R., from Assistant Extension Professor*, (without tenure), to Associate Extension Professor* (with tenure), Department of Plant Pathology, effective 7/1/76.
- Legg, Paul D., from Associate Adjunct Professor (without tenure), to Adjunct Professor (without tenure), Department of Agronomy, effective 7/1/76.

College of Architecture

- Amatuzzo, Paul J., from Assistant Professor (without tenure), to Associate Professor (with tenure), effective 7/1/76.

College of Arts and Sciences

- Durant, David S., from Assistant Professor (without tenure), to Associate Professor (with tenure), Department of English, effective 7/1/76.
- Purdue, Peter, from Assistant Professor (without tenure), to Associate Professor (with tenure), Department of Statistics, effective 7/1/76.
- Shepard, Jon M., from Associate Professor (with tenure), to Professor (with tenure), Department of Sociology, effective 7/1/76.
- Tharsing, Robert, from Assistant Professor (without tenure), to Associate Professor (with tenure), Department of Art, effective 7/1/76.

College of Business and Economics

- Schnee, Edward, from Assistant Professor (without tenure), to Associate Professor (with tenure), Department of Accounting, effective 7/1/76.

*Special Title Series

College of Education

Call, Barbara, from Assistant Professor-Applied Physical Education* (without tenure), to Associate Professor-Applied Physical Education* (with tenure), Department of Health, Physical Education, and Recreation, effective 7/1/76.

Fagan, Bernard, from Associate Professor-Student Teaching* (with tenure), to Professor-Student Teaching* (with tenure), Department of Vocational Education, effective 7/1/76.

Teraslinna, Pentti, from Associate Professor (with tenure), to Professor (with tenure) Department of Health, Physical Education and Recreation, effective 7/1/76.

Worell, Judith, from Associate Professor (with tenure), to Professor (with tenure), Department of Psychology and Counseling, effective 7/1/76.

College of Dentistry

Giansanti, Joseph, from Associate Professor (with tenure), to Professor (with tenure), Department of Oral Pathology, effective 7/1/76.

Mullins, M. Raynor, from Assistant Professor (without tenure), to Associate Professor (with tenure), Department of Community Dentistry, effective 7/1/76.

Romano, Michael T., from Associate Professor (with tenure), Department of Allied Health Education and Resources, College of Allied Health Professions to Professor (with tenure), Department of Restorative Dentistry, effective 7/1/76.

Sinclair, Hobson, from Assistant Professor (without tenure), to Associate Professor* (with tenure), Department of Restorative Dentistry, effective 7/1/76.

College of Engineering

Beatty, Millard F., from Associate Professor (with tenure), to Professor (with tenure), Department of Engineering Mechanics, effective 7/1/76.

Brock, L. M., from Assistant Professor (without tenure), to Associate Professor (with tenure), Department of Engineering Mechanics, effective 7/1/76.

Reucroft, Phillip J., from Associate Professor (with tenure), to Professor (with tenure), Department of Metallurgical Engineering and Material Science, effective 7/1/76.

Tauchert, Theodore R., from Associate Professor (with tenure), to Professor (with tenure), Department of Engineering Mechanics, effective 7/1/76.

College of Home Economics

Weeks, M. O'Neal, from Assistant Professor (without tenure), to Associate Professor (with tenure), Department of Human Development and Family Relations, effective 7/1/76.

Library

Baxter, Ellen, from Librarian II (with tenure), to Librarian I (with tenure), Chemistry-Physics Library, effective 7/1/76.

Bryant, John M., from Librarian III (with tenure), to Librarian II (with tenure), Director's Office, effective 7/1/76.

Clotfelter, Elizabeth, from Librarian II (with tenure), to Librarian I (with tenure), Cataloging, M. I. King, effective 7/1/76.

Csaky, Susan D., from Librarian II (with tenure), to Librarian I (with tenure), Government Publications, M. I. King, effective 7/1/76.

Dailey, Adelle, from Librarian III (with tenure), to Librarian II (with tenure), Music Library, effective 7/1/76.

Gilchrist, Alexander, from Librarian III (with tenure), to Librarian II (with tenure), Reference, M. I. King, effective 7/1/76.

Powell, Russell, from Librarian III (with tenure), to Librarian II (with tenure), Engineering Library, effective 7/1/76.

College of Medicine

Darnell, Matthew C., from Associate Clinical Professor (voluntary), to Clinical Professor (voluntary), Department of Medicine, 7/1/76 through 6/30/79.

Fosson, Abe R., from Assistant Professor (without tenure), to Associate Professor* (with tenure), Department of Pediatrics, effective 7/1/76.

Gorodetzky, Charles W., from Assistant Professor (adjunct series) (without tenure), to Associate Professor (adjunct series) (without tenure), Department of Pharmacology, 7/1/76 through 6/30/77.

Jasinski, Donald R., from Assistant Professor (adjunct series) (without tenure), to Associate Professor (adjunct series) (without tenure), Department of Pharmacology, 7/1/76 through 6/30/77.

Van Nagell, John R., from Associate Professor (with tenure), to Professor (with tenure), Department of Obstetrics and Gynecology, effective 7/1/76.

Welsh, Richard J., from Assistant Professor* (without tenure), to Associate Professor* (with tenure), Department of Psychiatry, effective 7/1/76.

Community College System

Ashland Community College

Cook, Klara Rosa, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 8/16/76.

Gillum, David E., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.

Goodpaster, Robert L., from Associate Professor in the Community College System (with tenure), and Director, to Professor in the Community College System (with tenure), and Director, effective 7/1/76.

Salisbury, Ada Lee, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), and Coordinator, Cooperative Education Program, effective 7/1/76.

Elizabethtown Community College

- Holbert, Linda Nell Alvey, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 8/16/76.
- Todd, Nellie Friend, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.

Hazard Community College

- Biandau, James Richard, from Assistant Professor in the Community College System (without tenure) and Assistant to the Director to Associate Professor in the Community College System (with tenure) and Assistant to the Director, effective 7/1/76.
- Blandau, Marcia Walker, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/76.
- Brown, John Knox, from Associate Professor in the Community College System (with tenure), and Division Chairman, to Professor in the Community College System (with tenure), and Division Chairman, effective 8/16/76.

Henderson Community College

- Caudill, Sharon K. , from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.
- Thompson, Michael D. , from Assistant Professor in the Community College System (without tenure), and Division Chairman, to Associate Professor in the Community College System (with tenure), and Division Chairman, effective 8/16/76.
- Lacefield, Arch S. , from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 8/16/76.

Hopkinsville Community College

- Gabbard, Jo Anne, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.
- Irwin, Charles R. , from Counselor/Assistant Professor in the Community College System (without tenure), to Counselor/Associate Professor in the Community College System (with tenure), effective 7/1/76.
- Major, J. Brooks, from Associate Professor in the Community College System (with tenure) and Coordinator of Academic Affairs, to Professor in the Community College System (with tenure), and Coordinator of Academic Affairs, effective 7/1/76.

- McCutcheon, Judy F., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), also named Division Chairman of Social Sciences and Related Technologies, effective 8/16/76, the appointment as Division Chairman ends 5/15/79.
- McReynolds, Mary Lou, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.
- Riley, Thomas L., from Associate Professor in the Community College System (with tenure) and Director, to Professor in the Community College System (with tenure) and Director, effective 7/1/76.
- Thomas, Frances G., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 8/16/76.

Jefferson Community College

- Ainsworth, Charles P., from Assistant Professor in the Community College System (without tenure), and Assistant Director of Student Services, to Associate Professor in the Community College System (with tenure), and Assistant Director of Student Services, effective 7/1/76.
- Bright, Inez B., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure) effective 8/16/76.
- Brown, Martin J., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 8/16/76.
- Ferguson, Jeanne H., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure) effective 8/16/76.
- Jonason, Kim, from Assistant Professor in the Community College System (without tenure) to Associate Professor in the Community College (with tenure), effective 8/16/76.
- Miller, Maria, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.
- Reyes, Tita-Generosa, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.
- Searcy, Betty J., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure) effective 8/16/76.
- Seibert, M. Angelice, from Associate Professor in the Community College System (with tenure), and Division Chairman, to Professor in the Community College System (with tenure), and Division Chairman, effective 8/16/76.
- Tiller, Sharon, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.

Madisonville Community College

- Buzzard, Ruth A., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.
- Cantrell, Timothy A., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure) effective 8/16/76.
- Sharp, Mary Lou, from Assistant Professor in the Community College System (without tenure), and Coordinator, Disadvantaged Program, to Associate Professor in the Community College System (with tenure) and Coordinator, Disadvantaged Program, effective 7/1/76.

Maysville Community College

- Benson, Harry K., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.
- Curtis, Edward L., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.
- Thatcher, Connie Sue, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.

Paducah Community College

- Fuller, Sara, from Librarian III in the Community College System (without tenure), to Librarian II in the Community College System (with tenure), effective 8/16/76.
- Harper, Reva, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.
- Smithmier, Lawrence, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.
- Vick, Otus K., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.
- Wade, William, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure) effective 8/16/76.
- Wood, Kathy Hoover, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.

Wood, Paul, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.

Prestonsburg Community College

Carter, Ronald L., from Assistant Professor in the Community College System (without tenure), and Division Chairman, to Associate Professor in the Community College System (with tenure) and Division Chairman, effective 8/16/76.

McAninch, Robert D., Jr., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.

Somerset Community College

Anderson, James H., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.

McCann, Goldie G., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 8/16/76.

Story, Joanne, from Counselor/Assistant Professor in the Community College System (without tenure), to Counselor/Associate Professor in the Community College System (with tenure), effective 7/1/76.

Southeast Community College

Murphy, Honor, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/76.

Tenure Granted

Woodham, Derrick J., Associate Professor, Department of Art, College of Arts and Sciences, effective 7/1/76.

Welch, Mary H., Librarian III, Periodicals, M. I. King Library, effective 7/1/76.

Reappointments

College of Architecture

De La Fuente, Guillermo Jullian, Visiting Professor, 1/1/76 through 6/30/76.

College of Home Economics

Farag, Aziz B., Associate Professor, Department of Housing and Interior Design, 8/1/76 through 5/31/77.

College of Social Professions

Gentry, Martha E., Associate Professor*, Department of Social Work, 8/1/76 through 5/31/77.

Kirk, Stuart Allen, Associate Professor, Department of Social Work, 8/1/76 through 5/31/77.

Landon, John William, Associate Professor*, Department of Social Work, 8/1/76 through 5/31/77.

College of Dentistry

Beckner, Archie, Associate Professor (part-time), Department of Periodontics, 7/1/76 through 6/30/77.

Blair, Herman A., Associate Professor* (part-time), Department of Endodontics, joint appointment in Community Dentistry, 7/1/76 through 6/30/77.

Collier, Wayne, Associate Professor (part-time), Department of Pedodontics, 7/1/76 through 6/30/77.

Daugherty, Joe W., Associate Professor (part-time), Department of Oral Surgery, 7/1/76 through 6/30/77.

Fischer, Warren E., Associate Professor* (part-time), Department of Restorative Dentistry, 7/1/76 through 6/30/77.

Frazier, Quentin Z., Associate Clinical Professor (voluntary), Department of Community Dentistry, 7/1/76 through 6/30/77.

Green, W. Spalding, Associate Professor (part-time), Department of Oral Diagnosis and Oral Medicine, joint appointment in Community Dentistry, 7/1/76 through 6/30/77.

McClure, Laban T., Associate Professor (part-time), Department of Restorative Dentistry, 7/1/76 through 6/30/77.

Marciani, Robert D., Associate Professor (part-time), Department of Oral Surgery, 7/1/76 through 6/30/77.

Newsome, Oakie, Associate Professor (part-time), Department of Periodontics, 7/1/76 through 6/30/77.

Reel, Donald, Associate Clinical Professor (voluntary), Department of Periodontics, 7/1/76 through 6/30/77.

Trinler, Dan R., Jr., Associate Professor* (part-time), Department of Periodontics, 7/1/76 through 6/30/77.

*Special Title Series

Changes

- Lange, Karl, from Associate Professor* (part-time), Department of Prosthodontics, College of Dentistry and Department of Community Medicine, College of Medicine, to Associate Clinical Professor (voluntary), Department of Prosthodontics, College of Dentistry and Department of Community Dentistry, College of Dentistry, 7/1/76 through 6/30/77.
- Lutes, Marvin, from Professor (part-time) to Clinical Professor (voluntary), Department of Endodontics, College of Dentistry, 7/1/76 through 6/30/77.

Retirements

- Carr, James L, Custodial Worker, Physical Plant Division, after 17.5 consecutive years of service, effective 4/1/76.
- Douds, Mary R., Administrative Secretary, Department of Animal Science, College of Agriculture, after 15 consecutive years of service, effective 5/23/76.
- Marshall, Ruth, Head Resident, Student Affairs, after 15 consecutive years of service, effective 5/31/76.
- Smith, Morgan B., Senior Groundsman, Physical Plant Division, after 22 consecutive years of service, effective 5/1/76.
- Sprague, John Stabler, Physician, University Health Service, after 27 consecutive years of service, effective 7/1/76.

ADMINISTRATIVE ACTION

New Appointments

- Cole, Gordon B., Assistant Professor-Applied Music*, School of Music, College of Arts and Sciences, 8/1/76 through 5/31/77.
- Fletcher, Paula D., Clinical Instructor (voluntary), Department of Medicine, College of Medicine, 7/1/76 through 6/30/77.
- Hanssen, Martha, Clinical Instructor (voluntary), Department of Physical Therapy, College of Allied Health Professions, 4/1/76 through 6/30/77.
- Hartman, Sue, Clinical Instructor (voluntary), Department of Physical Therapy, College of Allied Health Professions, 3/1/76 through 6/30/77.
- Julien, Joyce, Clinical Instructor (voluntary), Department of Physical Therapy, College of Allied Health Professions, 4/1/76 through 6/30/77.
- Latta, Robert B., Assistant Professor, Department of Statistics, College of Arts and Sciences, 8/1/76 through 5/31/78.
- Lyons, Lynne H., Instructor*, Department of Medical Technology, College of Allied Health Professions, 7/1/76 through 6/30/77.

*Special Title Series

Miller, Gail L., Instructor, Department of Business Administration, College of Business and Economics, 8/1/76 through 5/31/77.

Mingst, Karen, Assistant Professor, Department of Political Science, College of Arts and Sciences, 8/1/76 through 5/31/78.

Newell, William, Clinical Instructor (voluntary), Department of Physical Therapy, College of Allied Health Professions, 4/1/76 through 6/30/77.

Renfro, Patricia E., Librarian III (part-time), Reference, M. I. King, 7/1/76 through 6/30/77.

Thompson, Ann B., Librarian IV in the Community College System, Elizabethtown Community College, 4/1/76 through 6/30/76.

Tolbert, Laren M., Assistant Professor, Department of Chemistry, College of Arts and Sciences, 8/1/76 through 5/31/78.

Varela, Luis Quiros, Visiting Lecturer (temporary), Department of Political Science, College of Arts and Sciences, 8/1/76 through 12/31/76.

Welborn, Steven M., Clinical Instructor (voluntary), Department of Community Dentistry, College of Dentistry, 6/1/76 through 6/30/77.

Whiteside, Philip, Clinical Instructor (voluntary), Department of Community Dentistry, College of Dentistry, 4/1/76 through 6/30/77.

Joint Appointments

Steckler, Munroe, Clinical Instructor (voluntary), Department of Community Dentistry, College of Dentistry, and Department of Dental Hygiene, College of Allied Health Professions, named Clinical Instructor (voluntary), Departments of Community Dentistry and Pedodontics, College of Dentistry, and Department of Dental Hygiene, College of Allied Health Professions, 3/1/76 through 6/30/77.

Promotions (without tenure)

College of Architecture

Carpenter, Dennis W., from Instructor to Assistant Professor, 8/1/76 through 5/31/77.

College of Arts and Sciences

Christianson, Eric H., from Instructor to Assistant Professor, Department of History, 8/1/76 through 5/31/77.

Metrick, Lee B., from Instructor to Assistant Professor, Department of Computer Science, 5/1/76 through 5/31/77.

Peters, Jane S., from Instructor to Assistant Professor, Department of Art 8/1/76 through 5/31/77.

Rowland, Daniel B., from Instructor to Assistant Professor, Department of History, 8/1/76 through 5/31/77.

Billings, Dwight B., from Instructor to Assistant Professor, Department of Sociology, 8/1/76 through 5/31/77.

College of Dentistry

Obray, Bruce, from Instructor to Assistant Professor, Department of Restorative Dentistry, 7/1/76 through 6/30/77.

College of Home Economics

McClaskey, Bettie W., from Instructor to Assistant Professor, Department of Textiles, Clothing and Merchandising, 8/1/76 through 5/31/77.

Rothgeb, Terry, from Instructor to Assistant Professor, Department of Housing and Interior Design, 8/1/76 through 5/31/77.

Smathers, Diana, from Instructor to Assistant Professor, Department of Textiles, Clothing and Merchandising, 8/1/76 through 5/31/77.

Wise, George, from Instructor to Assistant Professor, Department of Human Development and Family Relations, 8/1/76 through 5/31/77.

Library

Graef, Jean L., from Librarian IV to Librarian III, Director's Office and Systems Coordinator, 7/1/76 through 6/30/77.

James, William, from Librarian IV and Instructor to Librarian III and Assistant Professor, Law Library and Law College, 7/1/76 through 6/30/77.

Marshall, William J., Jr., from Librarian IV to Librarian III, Special Collections, M. I. King, 7/1/76 through 6/30/77.

Owings, Mary A., from Librarian IV to Librarian III, Cataloging, M. I. King, 7/1/76 through 6/30/77.

Powell, Antoinette P., from Librarian IV to Librarian III, Agriculture Library 7/1/76 through 6/30/77.

College of Medicine

Coffey, Charles II, from Instructor (adjunct series) to Assistant Professor (adjunct series), Department of Radiation Medicine, 7/1/76 through 6/30/77.

Gay, James G., from Clinical Instructor (voluntary) to Assistant Clinical Professor (voluntary), Department of Medicine, 7/1/76 through 6/30/79.

Kelly, Dennis B., from Clinical Instructor (voluntary) to Assistant Clinical Professor (voluntary), Department of Medicine, 7/1/76 through 6/30/79.

Maxson, William T. II, Clinical Instructor (voluntary) to Assistant Clinical Professor (voluntary), Department of Medicine, 7/1/76 through 6/30/79.

Purdom, Barry N., from Clinical Instructor (voluntary) to Assistant Clinical Professor (voluntary), Department of Medicine, 7/1/76 through 6/30/77.
Smith, Clifton W., from Clinical Instructor (voluntary) to Assistant Clinical Professor (voluntary), Department of Medicine, 7/1/76 through 6/30/79.

Community College System

Ashland Community College

Brewer, Janetta Faye, from Instructor in the Community College System and Coordinator, Human Services Program, to Assistant Professor in the Community College System and Coordinator, Human Services Program, 8/16/76 through 5/15/77.
Frailie, Donald L., from Instructor in the Community College System to Assistant Professor in the Community College System, 8/16/76 through 5/15/77.
Radjunas, Stanley Edward, from Instructor in the Community College System, and Coordinator Cooperative Education, Title IV-D, to Assistant Professor in the Community College System and Coordinator Cooperative Education, Title IV-D, 7/1/76 through 6/30/77.

Elizabethtown Community College

Hill, Ernest L., from Instructor in the Community College System to Assistant Professor in the Community College System, 8/16/76 through 5/15/77.
Mobley, Ralph M., from Instructor in the Community College System to Assistant Professor in the Community College System, 8/16/76 through 5/15/77.
Lucas, Patricia E., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/76 through 6/30/77.
Rarick, Marilyn B., from Instructor in the Community College System to Assistant Professor in the Community College System, 7/1/76 through 6/30/77.

Hazard Community College

Cornett, Mildred Eileen, from Librarian IV in the Community College System to Librarian III in the Community College System, 7/1/76 through 6/30/77.
Pinkerton, Thomas C., from Instructor in the Community College System to Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Hopkinsville Community College

Love, Cameron G., from Instructor in the Community College System to Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Jefferson Community College

Edwards, Ernest, from Instructor in the Community College System to
Assistant Professor in the Community College System, 8/16/76 through
5/15/77.

Lexington Technical Institute

Stephan, Joseph M., from Instructor in the Community College System to
Assistant Professor in the Community College System, 8/16/76
through 5/15/77.

Paducah Community College

Johnson, Betty Cook, from Instructor in the Community College System to
Assistant Professor in the Community College System, 8/16/76 through
5/15/77.

Veazey, Barbara, from Instructor in the Community College System to
Assistant Professor in the Community College System, 8/16/76 through
5/15/77.

Williams, Catherine, from Instructor in the Community College System
to Assistant Professor in the Community College System, 8/16/76 through
5/15/77.

Prestonsburg Community College

Castle, Brenda S., from Instructor in the Community College System to
Assistant Professor in the Community College System, 8/16/76 through
5/15/77.

Jent, Joyce E., from Instructor in the Community College System to
Assistant Professor in the Community College System, 8/16/76 through
5/15/77.

Klinnert, Sister Joanne, from Instructor in the Community College System to
Assistant Professor in the Community College System, 8/16/76 through
5/15/77.

Whitaker, Thomas J., from Instructor in the Community College System to
Assistant Professor in the Community College System, 8/16/76 through
5/15/77.

Somerset Community College

Edwards, Grace K., from Instructor in the Community College System to
Assistant Professor in the Community College System, 8/16/76 through
5/15/77.

Reappointments

College of Allied Health Professions, effective 7/1/76 through 6/30/77

Serkland, John D., Assistant Professor*, Department of Clinical Pastoral Counseling.

College of Architecture, effective 8/1/76 through 5/31/78

Etlin, Richard A., Assistant Professor
Friedman, Jonathan, Assistant Professor
Rocanova, Anthony, Assistant Professor

College of Agriculture, effective 7/1/76 through 6/30/77

Blake, Jerry W., Assistant Professor, Department of Veterinary Science
Busch, Lawrence M., Assistant Professor, Department of Sociology, joint appointment with the Department of Sociology, College of Arts and Sciences.
Buxton, Jack W., Assistant Professor, Department of Horticulture.
Christensen, Christian M., Assistant Extension Professor*, Department of Entomology.
Davis, Joe Thomas, Assistant Professor, Department of Agricultural Economics.
Edgerton, Lee Arnold, Assistant Professor, Department of Animal Sciences.
Ellis, John H., Assistant Professor, Department of Agronomy.
Frye, Wilbur W., Assistant Professor, Department of Agronomy.
Graves, Donald H., Assistant Professor, Department of Forestry.
Hayes, Robert M., Assistant Professor, Department of Agronomy.
Hess, Gordon Stanley, Assistant Extension Professor*, Department of Animal Sciences.
Hicks, Clair Lloyd, Assistant Professor, Department of Animal Sciences.
Infanger, Craig Lynn, Assistant Extension Professor*, Department of Agricultural Economics.
Johns, John Thomas, Assistant Extension Professor*, Department of Animal Sciences.
Lacefield, Garry Dale, Assistant Extension Professor*, Department of Agronomy.
Loewer, Otto J., Jr., Assistant Extension Professor* Department of Agricultural Engineering.
Maronek, Dale M., Assistant Professor, Department of Horticulture.
Miksch, Duane, Assistant Extension Professor* Department of Veterinary Science.
Moore, Charles Lee, Sr., Assistant Extension Professor*, Department of Agriculture Economics.
Nordin, Gerald L., Assistant Professor, Department of Entomology.
Nordquist, Niles Timothy, Instructor (of Landscape Architecture), Department of Horticulture.
O'Leary, Joseph, Assistant Professor, Department of Animal Sciences.
Pachciarz, Judith Ann, Assistant Professor, Department of Veterinary Science.
Pagoulatos, Angelos, Assistant Professor, Department of Agricultural Economics.
Parker, Gary R., Assistant Extension Professor*, Department of Animal Sciences.

Prewitt, Larry R., Assistant Extension Professor*, Department of Animal Sciences.
Reicosky, David A., Assistant Professor, Department of Agronomy.
Robbins, Lynn Wayne, Assistant Professor, Department of Agricultural Economics.
Rumsey, Robert L., Assistant Professor, Department of Forestry.
Shilling, Charles L., Assistant Professor, Department of Forestry.
Stahly, Tim S., Assistant Professor, Department of Animal Sciences.
Vian, Wayne Edwin, Assistant Professor, Department of Agronomy.
Warner, Paul D., Assistant Extension Professor*, Department of Sociology.
Wells, Larry G., Assistant Professor, Department of Agricultural Economics.
Witt, William, Assistant Extension Professor*, Department of Agronomy.
Wittwer, Robert F., Assistant Professor, Department of Forestry.
Wright, Evans Edward, Assistant Extension Professor*, Department of Animal Sciences.
Worms, Allan J., Assistant Extension Professor*, Department of Forestry.
Yeargan, Kenneth Vernon, Assistant Professor, Department of Entomology.

College of Arts and Sciences

Collins, Georgia C., Visiting Instructor, Department of Art, joint appointment with Department of Curriculum and Instruction, College of Education, 8/1/76 through 5/31/77.
Hamann, Marilyn D., Assistant Professor, Department of Art, 8/1/76 through 5/31/77.
Hunter, Leonard L., III, Assistant Professor, Department of Art, 8/1/76 through 5/31/77.
Jones, Arthur F., Assistant Professor, Department of Art, 8/1/76 through 5/31/77.
Mengel, Lucia Miree, Visiting Instructor, Department of Human Communication, School of Journalism, 8/1/76 through 5/31/77.
O'Connor, Justine J., Visiting Instructor, Department of Art, 8/1/76 through 12/31/76.
Olson, Robert W., Assistant Professor, Department of History, 8/1/76 through 5/31/78.
Phillips, Jane Ellan, Assistant Professor, Department of Classical Languages and Literatures, 8/1/76 through 5/31/78.
Sandoval, Arturo A., Assistant Professor, Department of Art, 8/1/76 through 5/31/77.

College of Business and Economics, effective 8/1/76 through 5/31/77

Burkett, Homer H., Assistant Professor, Department of Accounting.
Ferri, Michael G., Assistant Professor, Department of Business Administration.
Paul, Ronda S., Assistant Professor, Department of Business Administration.
Richardson, Gregory, Assistant Professor, Department of Economics.
Steuer, Ralph E., Assistant Professor, Department of Business Administration.
Tower, Carl B., Assistant Professor, Department of Business Administration.

*Special Title Series

College of Education

McLoughlin, James, Assistant Professor, Department of Special Education,
8/1/76 through 5/31/78.

Rodgers, Samuel, Assistant Professor (part-time), Department of Higher
Education, 8/1/76 through 5/31/77.

College of Home Economics, effective 8/1/76 through 5/31/77.

Kuhn, Joseph, Jr., Instructor, Department of Housing and Interior Design.

McKinney, Carolyn, Assistant Professor, Department of Management and
Family Economics.

College of Law, effective 8/1/76 through 5/31/78.

Ashdown, Gerald G., Assistant Professor.

Bratt, Carolyn S., Assistant Professor.

Brandon, Barbara H., Assistant Professor.

College of Library Science

Cveljo, Katherine, Assistant Professor, 8/1/76 through 5/31/77.

McConnell, Anne, Assistant Professor-School Librarianship*, 8/1/76 through
5/31/77.

Rogers, JoAnn, Assistant Professor, 8/1/76 through 5/31/77.

Sodt, James D., Assistant Professor and Assistant Dean, 7/1/76 through
6/30/77.

Library, effective 7/1/76 through 6/30/77.

Birdwhistell, Terry L., Librarian IV (temporary), Special Collections
(Oral History Project).

Channing, Rhoda, Librarian III, Business Library.

Cooper, William, Librarian IV, Special Collections.

Farrell, David T., Librarian IV, Academic Information and Developmental
Skills.

Harders, Faith, Librarian IV, Director's Office.

Heath, Mary Rebekah, Librarian III, Agriculture Library.

Jones, Cheryl, Librarian III, Law Library.

Kennedy, Barbara Gail, Librarian IV, SOLINET.

Mawhinney, Ellen E., Librarian IV, Law Library.

Morgan, Alice T., Librarian IV, Reference.

O'Hara, Edward, Librarian III, Collection Development.

Sandvik, Karin F., Librarian III, Art

Trivette, Marvin Dean, Librarian III, Government Publications.

Waller, Susan, Librarian IV, Law Library.

College of Social Professions

Kim, Paul K. H., Assistant Professor*, Department of Social Work, 8/1/76 through 5/31/77.
Kirlin, Elizabeth Anne, Assistant Professor*, Department of Social Work, 8/1/76 through 5/31/77.
Mack, Edgar Leroy, Assistant Professor*, Department of Social Work, 8/1/76 through 5/31/77.
Walls, David S., Assistant Professor*, Department of Social Work, 8/1/76 through 5/31/77.
Wiehe, Vernon Robert, Assistant Professor*, and Associate Dean, Department of Social Work, 7/1/76 through 6/30/77.

College of Pharmacy

Dakkuri, Adnan, Visiting Assistant Professor, 7/1/76 through 6/30/77.

College of Medicine, effective 7/1/76 through 6/30/77.

Hughes, Shirley L., Assistant Professor*, Department of Medicine.
Lipsey, John C., Assistant Professor, Department of Anesthesiology ending 9/5/76.
Maffeo, Patricia, Instructor*, Department of Psychiatry.
Rasmussen, Gorman, Assistant Professor*, Department of Medicine.

College of Dentistry, effective 7/1/76 through 6/30/77.

Department of Community Dentistry

Bader, James D., Assistant Professor.
Bowman, Larry, Clinical Instructor (voluntary).
Carroll, Christopher, Clinical Instructor (voluntary).
Clements, John, Clinical Instructor (voluntary).
Cundiff, Millard, Clinical Instructor (voluntary).
Curry, Barry D., Clinical Instructor (voluntary), joint appointment with Dental Hygiene, College of Allied Health Professions.
Elkins, Johnny, Clinical Instructor (voluntary), joint appointment with Dental Hygiene, College of Allied Health Professions.
Embry, C. P., Clinical Instructor (voluntary).
Fisch, A. L., Assistant Professor*, joint appointment with Community Health, College of Allied Health Professions.
Garey, Robert, Clinical Instructor (voluntary), joint appointment with Dental Hygiene, College of Allied Health Professions.
Gingles, George, Clinical Instructor (voluntary).
Hinkebein, Robert, Sr., Clinical Instructor (voluntary).
Hodge, Joseph, Clinical Instructor (voluntary), joint appointment with Dental Hygiene, College of Allied Health Professions.
Holmes, James, Clinical Instructor (voluntary).

*Special Title Series

Isaacs, John T., Clinical Instructor (voluntary).
Jackson, R. M., Clinical Instructor (voluntary).
Littleton, Willis, Clinical Instructor (voluntary).
Lowe, John, Clinical Instructor (voluntary).
Meece, Fred, Clinical Instructor (voluntary).
Moore, John A., Clinical Instructor (voluntary).
Moore, Tandy, Clinical Instructor (voluntary).
O'Hearn, Thomas J., Clinical Instructor (voluntary).
Polk, John C., Clinical Instructor (voluntary).
Reynolds, M. R., Clinical Instructor (voluntary).
Skinner, Rankin, Clinical Instructor (voluntary).
Valkavich, Col. Eugene, Clinical Instructor (voluntary).
Webster, David B., Assistant Professor.
Wicks, Donald, Clinical Instructor (voluntary).

Department of Endodontics

Carnes, Bobby G., Assistant Clinical Professor (voluntary).

Department of Oral Biology

Bridges, Raymond B., Assistant Professor, joint appointment in Cell Biology,
College of Medicine.

Calmes, Robert B., Assistant Professor.

Department of Oral Diagnosis and Oral Medicine

Elliott, Larry M., Clinical Instructor (voluntary), joint appointment in
Community Dentistry.

Falace, Donald A., Assistant Professor.

Ferguson, Terry, Assistant Professor.

Wright, Charles L., Instructor (part-time), joint appointment in Community
Dentistry.

Department of Oral Surgery

Crider, Bob M., Clinical Instructor (voluntary).

Daniel, Harold T., Clinical Instructor (voluntary).

Gonty, Arthur A., Assistant Professor.

Morris, Edward Lee, Instructor (part-time).

Morrow, Len W., Clinical Instructor (voluntary).

Department of Orthodontics

Berkowitz, Jackie, Assistant Professor.

Coltharp, E. Keith, Assistant Professor (part-time).

Cutcliffe, James III, Assistant Professor.

Kenney, Charles W., Assistant Professor (part-time).

Knight, Judson M., Assistant Professor (part-time).

*Special Title Series

Pritchett, Charles E., Assistant Professor (part-time).
Tuncay, Orhan, Assistant Professor

Department of Pedodontics

Morgan, William C., Assistant Professor.

Department of Periodontics

Armstrong, Paul J., Jr., Assistant Professor (part-time).
Page, Lawrence R., Assistant Professor*.
Stovall, Jerry L., Assistant Professor (part-time).

Department of Prosthodontics

Lippincott, Samuel, Assistant Professor
Plummer, Harry M., Assistant Professor (part-time).
Unger, John W., Assistant Professor.

Department of Restorative Dentistry

Cash, David M., Instructor (part-time) joint appointment with Community
Dentistry.
Dalmasso, Matteo, Assistant Clinical Professor (voluntary).
Goodman, Robert I., Instructor (part-time).
Louchard, William E., Assistant Professor
Okeson, Jeffrey, Assistant Professor
Re, Gerald J., Assistant Professor (part-time).
Robinson, Ronald, Assistant Professor

Community College System

Ashland Community College

Adams, Marcella Jo, Instructor in the Community College System, 8/16/76
through 5/15/77.
Durden, Lee Roy, Jr., Assistant Professor in the Community College System,
and Coordinator Civil Engineering Technology, 8/16/76 through
5/15/77.
Frankeberger, Gail M., Instructor in the Community College System, 8/16/76
through 5/15/77.
Georgakis, Thomas C., Assistant Professor in the Community College System,
8/16/76 through 5/15/77.
Goodwin, Nancy P., Instructor in the Community College System, 8/16/76
through 5/15/77.
Kitchen, Janie Ross, Instructor in the Community College System, 8/16/76
through 5/15/77.
Judd, Louise, R.D.H., Assistant Professor in the Community College System,
7/1/76 through 6/30/77.

*Special Title Series

Minton, Patricia, R.D.H., Assistant Professor in the Community College System, 7/1/76 through 6/30/77.
Sadler, William H., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.
Scott, Robert D., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.
Smith, Wilbur G., Librarian III in the Community College System, 7/1/76 through 6/30/77.
Thomas, Bonnie Jean, Assistant Professor in the Community College System, and Coordinator, Nursing Program, 7/1/76 through 6/30/77.

Elizabethtown Community College

Allard, John Paul, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.
Cowan, Ann Miller, Assistant Professor/Counselor in the Community College System, 7/1/76 through 6/30/77.
Herman, Jo Anne, Instructor in the Community College System, 8/16/76 through 5/15/77.
Hill, Donna Lee, Instructor in the Community College System, 8/16/76 through 5/15/77.
Lanz, James R., Instructor in the Community College System, 8/16/76 through 5/15/77.
Lucas, Mary D., Assistant Professor in the Community College System, 7/1/76 through 6/30/77.
Maples, James A., Instructor in the Community College System, 8/16/76 through 5/15/77.
Morrow, Denis Paul, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.
Thomas, Ronald Bayne, Counselor/Assistant Professor in the Community College System, 7/1/76 through 6/30/77.
Thompson, Ann B., Librarian IV in the Community College System, 7/1/76 through 6/30/77.
Cahaney, Elizabeth H., Instructor in the Community College System, 7/1/76 through 6/30/77.
Fugate, Denver, Assistant Professor in the Community College System, 7/1/76 through 6/30/77.
Streible, Ronnie David, Assistant Professor in the Community College System, 7/1/76 through 6/30/77.

Hazard Community College

Drake, John C., Assistant Professor in the Community College System, and Division Chairman, 8/16/76 through 5/15/77.
Hays, William S., Instructor in the Community College System, and ALCOR Campus Director, 7/1/76 through 6/30/77.
Hellard, Shannon Lee, Instructor in the Community College System, 8/16/76 through 5/15/77.

Henderson Community College

Edwards, Jane, Instructor in the Community College System, 8/16/76 through 5/15/77.

Grow, Laurie A., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Kowalski, Casimir J., Assistant Professor in the Community College, and Assistant Director, 7/1/76 through 6/30/77.

Magnuson, Ellie, Instructor in the Community College System, 8/16/76 through 5/15/77.

Marino, John A., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Newman, Susan M., Instructor in the Community College System, 8/16/76 through 5/15/77.

Smith, Catherine M., Instructor in the Community College System, 8/16/76 through 5/15/77.

Siewert, Dianne K., Instructor in the Community College System, 8/16/76 through 5/15/77.

Turner, Patricia E., Instructor in the Community College System, 8/16/76 through 5/15/77.

Young, Darla J., Instructor in the Community College System, 8/16/76 through 5/15/77.

Hopkinsville Community College

Atkinson, Ruth Lyn, Instructor in the Community College System, 8/16/76 through 5/15/77.

Cost. Nancy King, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Ellett, Cora, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Frising, Marjanna J., Librarian III in the Community College System, 7/1/76 through 6/30/77.

Higgins, Lexis F., Instructor in the Community College System, 8/16/76 through 5/15/77.

Hoover, Donald Lee, Instructor in the Community College System, 8/16/76 through 5/15/77.

Lassiter, Thomas W., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Lewis, Ruth Elaine, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Marshall, Fred Edward III, Instructor in the Community College System, 8/16/76 through 5/15/77.

Radom, Jay L., Instructor in the Community College System, 8/16/76 through 5/15/77.

Suddeath, Carl W., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Turner, William T., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Wilson, Nancy Carol, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Jefferson Community College

Baird, Richard, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Baker, Crump, Instructor in the Community College System, 8/16/76 through 5/15/77.

Baker, William A., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Barthle, Damian, Instructor in the Community College System, 8/16/76 through 5/15/77.

Beckman, Eugene, Instructor in the Community College System, 8/16/76 through 5/15/77.

Belcher, Karen, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Bonney, Stephen, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Burnett, Alta M., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Cleveland, Virginia B., Instructor in the Community College System, 8/16/76 through 5/15/77.

Crask, Rose Marie, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Davis-Patterson, Jackie, Counselor/Instructor in the Community College System, 7/1/76 through 6/30/77.

DeJean, Sally, Instructor in the Community College System, 8/16/76 through 5/15/77.

Duncan, John, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Franck, Ilona, Librarian III in the Community College System, 7/1/76 through 6/30/77.

Fussenegger, Jane, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Gaskey, Norma, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Gohmann, Edmund J., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Guagliardo, Dennis, Assistant Professor in the Community College System, 7/1/76 through 6/30/77.

Hawkins, James A., Assistant Professor in the Community College System, and Associate Director, 7/1/76 through 6/30/77.

Hill, Mary J., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Hollinden, Richard, Instructor in the Community College System, 8/16/76 through 5/15/77.

Hottel, Mark, Instructor in the Community College System, 8/16/76 through 5/15/77.

Jones, Charlene, Instructor in the Community College System, 8/16/76 through 5/15/77.

Kesterson, Thomas Dan, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Lake, Patrick, Counselor/Assistant Professor in the Community College System, 7/1/76 through 6/30/77.

Leonard, James, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Luckett, Albert, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

McCombs, Charles, Instructor in the Community College System, 8/16/76 through 5/15/77.

Maresz, Emil, Assistant Professor in the Community College System, and Financial Aid Officer, 7/1/76 through 6/30/77.

Martin, Henry, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Messmer, Charles K., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Miller, Margaret, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Miller, Robert, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Mitchiner, Clarice, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Oliver, Martha J., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Reams, Beth, Instructor in the Community College System, 8/16/76 through 5/15/77.

Ronniger, Phillip, Counselor/Assistant Professor in the Community College System, 7/1/76 through 6/30/77.

Salansky, Timothy, Instructor in the Community College System, 8/16/76 through 5/15/77.

Smither, Betty, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Speiser, Bernice, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Terhune, Jerry, Instructor in the Community College System, 8/16/76 through 5/15/77.

Tribble, Joan F., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Walford, Ronald M., Assistant Professor in the Community College System, and Admissions Officer, 7/1/76 through 6/30/77.

Wendling, Marvin, Instructor in the Community College System, 8/16/76 through 5/15/77.

Lexington Technical Institute

Blake, Robert J., Jr., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Bradley, Robert L., Counselor/Instructor in the Community College System, 7/1/76 through 6/30/77.

Carr, Ben W., Jr., Assistant Professor in the Community College System,
8/16/76 through 5/15/77.
Cooper, Michael A., Instructor in the Community College System, 8/16/76
through 5/15/77.
Dansby, Wade F., Jr., Assistant Professor in the Community College System,
8/16/76 through 5/15/77.
Fluker, Otis, Counselor/Assistant Professor in the Community College System,
7/1/76 through 6/30/77.
Gooch, Peyton L., Assistant Professor in the Community College System,
8/16/76 through 5/15/77.
Hellebush, Elizabeth S., Assistant Professor in the Community College System,
8/16/76 through 5/15/77.
Hisle, Wendell Leon, Jr., Librarian III in the Community College System,
7/1/76 through 6/30/77.
Rey-Barreau, Joseph A., Instructor in the Community College System, 8/16/76
through 5/15/77.
Stice, Patsy D., Assistant Professor in the Community College System, 8/16/76
through 5/15/77.

Madisonville Community College

Angel, Patrick Nicholas, Assistant Professor in the Community College System,
and Coordinator Reclamation/Mining Program, 7/1/76 through 6/30/77.
Binaei, Sirouss, Instructor in the Community College System, 8/16/76 through
5/15/77.
Boyd, Thomas F., Assistant Professor in the Community College System,
and Assistant Director, 7/1/76 through 6/30/77.
Kopacz, Walter M., Assistant Professor in the Community College System, 8/16/76
through 5/15/77.
Kovatchitch, Marian Lee, Instructor in the Community College System, 8/16/76
through 5/15/77.
Mulhall, Margaret M., Instructor in the Community College System, 8/16/76
through 5/15/77.
Rogido, Robert Joseph, Assistant Professor in the Community College System,
8/16/76 through 5/15/77.
Smith, Steven Baxter, Instructor in the Community College System, 8/16/76
through 5/15/77.

Maysville Community College

Blakefield, Jeanette C., Assistant Professor in the Community College System,
8/16/76 through 5/15/77.
Clayton, David N., Instructor in the Community College System, 8/16/76
through 5/15/77.
Harkins, Dolores J., Assistant Professor in the Community College System, 8/16/76
through 5/15/77.
Hinton, Wally, Assistant Professor in the Community College System, 8/16/76
through 5/15/77.

Huffaker, Kathryn L., Instructor in the Community College System, 7/1/76 through 6/30/77.
Kraemer, David L., Assistant Professor in the Community College System, 7/1/76 through 6/30/77.
Malone, Catherine W., Assistant Professor in the Community College System, and Chairman, Associate Degree Nursing Program, 7/1/76 through 6/30/77.
Sammons, Carole, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.
Thornton, James S., Instructor in the Community College System, 8/16/76 through 5/15/77.

Paducah Community College

Banks, Sally Ann, Counselor/Instructor in the Community College System, 7/1/76 through 6/30/77.
Barnhill, Sherry, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.
Chapman, Jane S., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.
Doom, Donnie, Instructor in the Community College System, 8/16/76 through 5/15/77.
Jennings, James, Instructor in the Community College System, 8/16/76 through 5/15/77.
Maley, Donald, Instructor in the Community College System, 8/16/76 through 5/15/77.
McClure, Donald A., Instructor in the Community College System, 8/16/76 through 5/15/77.
Miller, Evelyn E., Instructor in the Community College System, 7/1/76 through 6/30/77.
Saul, Renee, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.
Stewart, John, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.
Tucker, Pearl, Instructor in the Community College System, 7/1/76 through 6/30/77.
Wallace, Patricia, Instructor in the Community College System, 8/16/76 through 5/15/77.
Wilson, Malcolm T., Counselor/Assistant Professor in the Community College System, 7/1/76 through 6/30/77.

Prestonsburg Community College

Butts, Michele T., Instructor in the Community College System, 8/16/76 through 5/15/77.
Chaffins, Ronnie, Instructor in the Community College System, 8/16/76 through 5/15/77.
Combs, Madonna C., Assistant Professor in the Community College System, 7/1/76 through 6/30/77.
Herald, John A., Assistant Professor/Counselor in the Community College System, 7/1/76 through 6/30/77.
Kinder, Brenda J., Instructor in the Community College System, 8/16/76 through 5/15/77.

Rankin, Diane M., Instructor in the Community College System, 8/16/76 through 5/15/77.

Robertson, Charles S., Jr., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Somerset Community College

Baldwin, Sue H., Assistant Professor in the Community College System, and Coordinator, Associate Degree Nursing, 7/1/76 through 6/30/77.

Clark, Robert L., Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Molnar, Craig L., Instructor in the Community College System, 8/16/76 through 5/15/77.

Rush, Pamela K., Librarian III in the Community College System, 8/16/76 through 5/15/77.

Templin, Robert G., Assistant Professor in the Community College System and Associate Director, 7/1/76 through 6/30/77.

Wilson, Howard Brent, Instructor in the Community College System, 8/16/76 through 5/15/77.

Southeast Community College

Montjoy, Elaine Long, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Morris, Patricia Ann, Instructor in the Community College System, 8/16/76 through 5/15/77.

Wilson, Daniel, Assistant Professor in the Community College System, 8/16/76 through 5/15/77.

Changes

Armstrong, Smith, from Assistant Professor (part-time), Departments of Restorative Dentistry and Community Dentistry, College of Dentistry, to Assistant Clinical Professor (voluntary), Department of Community Dentistry, College of Dentistry, 7/1/76 through 6/30/77.

Baldwin, Stanley, from Instructor (part-time), to Clinical Instructor (voluntary), Department of Community Dentistry, College of Dentistry, 7/1/76 through 6/30/77.

Crockett, John H., Jr., from Associate Professor in the Community College System and Assistant Director, Academic Affairs, to Associate Professor in the Community College System (with tenure), Maysville Community College, effective 8/16/76.

Kinderknecht, Keith, from Instructor (part-time), Department of Allied Health Education and Research, College of Allied Health Professions, to Clinical Instructor (voluntary), Department of Community Dentistry, College of Dentistry, 4/1/76 through 6/30/77.

- Neuman, Douglas M., from Assistant Professor (part-time), to Assistant Clinical Professor (voluntary), Departments of Periodontics and Community Dentistry, College of Dentistry, 7/1/76 through 6/30/77.
- Penick, Elizabeth C., from Research Associate, to Assistant Clinical Professor (part-time) and Research Associate (part-time), Department of Psychiatry, College of Medicine, 7/1/76 through 6/30/77.
- Reid, Stephen L., from Assistant Professor (part-time), to Assistant Clinical Professor (voluntary), Department of Oral Diagnosis and Oral Medicine, College of Dentistry, 7/1/76 through 6/30/77.
- Sellars, Charles, from Assistant Professor in the Community College System, and Coordinator of Mining Engineering, to Counselor/Assistant Professor in the Community College System, Southeast Community College, 7/1/76 through 6/30/77.
- Sergent, Robert S., from Instructor (part-time), to Clinical Instructor (voluntary), Department of Endodontics, College of Dentistry, 7/1/76 through 6/30/77.
- Thompson, John A., from Assistant Professor (part-time), to Assistant Clinical Professor (voluntary), Department of Prosthodontics, College of Dentistry, 7/1/76 through 6/30/77.
- Whelan, M. Shannon, from Counselor/Instructor in the Community College System, to Instructor in the Community College System, Elizabethtown Community College, 8/16/76 through 5/15/77.

Leaves of Absence

- Bartlett, Richard, Professor, Department of Oral Diagnosis and Oral Medicine, College of Dentistry, Sabbatical leave with half-salary, 7/1/76 through 6/30/77.
- Benson, Abram S., Professor and Chairman, Department of Community Medicine, College of Medicine, Sabbatical leave with half-salary, 7/1/76 through 6/30/77.
- Channing, Steven, Associate Professor, Department of History, College of Arts and Sciences, to change previously approved Sabbatical leave with half salary from academic year to calendar year, 1977.
- Ellis, Guy T., Assistant Professor, Department of Diagnostic Radiology, College of Medicine, Leave without pay, 4/19/76 through 4/18/77.
- French, Oliver, Assistant Clinical Professor (part-time), College of Medicine, Leave without pay, 9/14/76 through 8/13/77.
- Howard, Elizabeth B., Librarian III, Biological Sciences Library, University Libraries, Sabbatical leave with full-salary, 7/1/76 through 12/31/76.
- Howard, Robert L., Superintendent, Forestry and Wood Technician School, Department of Forestry, College of Agriculture, Sabbatical leave with half-salary, 10/1/76 through 8/1/77.
- Jansen, William H., Professor, Department of English, College of Arts and Sciences, Sabbatical leave with full-salary 1/1/77 through 6/30/77.

- Jordan, William S., Jr., Professor, Department of Medicine, and Department of Community Medicine, College of Medicine, Special Assignment with pay, 9/1/76 through 8/31/77.
- Meeker, William R., Associate Professor, Department of Surgery, College of Medicine, Sabbatical leave with full-salary, 1/1/77 through 6/30/77.
- Mueller, Theodore, Professor, Department of French Language and Literature, College of Arts and Sciences, Sabbatical leave with full salary, 1/1/77 through 6/30/77.
- Prizer, William, Assistant Professor, School of Music, College of Arts and Sciences, Leave without pay, 7/1/76 through 6/30/77.
- Sathaye, Avinash, Assistant Professor, Department of Mathematics, College of Arts and Sciences, Leave without pay, 7/1/76 through 6/30/77.
- Serkland, John D., Assistant Professor*, Department of Clinical Pastoral Counseling, College of Allied Health Professions, Leave without pay, 5/1/76 through 4/30/77.
- Spruill, M. L., Associate Professor, Department of Business Administration, College of Business and Economics, Leave without pay, academic year 1976-77.
- Vance, Jane Gentry, Assistant Professor, Honors Program, Undergraduate Studies, Leave without pay, academic year 1976-77.
- Winter, Clara L., Assistant Clinical Professor (part-time), Department of Anesthesiology, College of Medicine, Leave without pay, 4/1/76 through 4/30/76.
- Wood, D. J., Professor and Associate Dean for Graduate Programs, Department of Civil Engineering, College of Engineering, Sabbatical leave with full salary, fall semester 1976.

Terminal Reappointments

- Barone, Angelo, Assistant Professor in the Community College System, Jefferson Community College, 8/16/76 through 5/15/77.
- Cassell, Michael, Instructor, Department of Accounting, College of Business and Economics, 8/1/76 through 5/31/77.
- Cracium, Lawrence O., Assistant Professor in the Community College System, Lexington Technical Institute, 7/1/76 through 6/30/77.
- Linda, Gerald, Instructor, Department of Business Administration, College of Business and Economics, 8/1/76 through 5/31/77.
- Hartley, James C., Assistant Extension Professor*, Department of Animal Sciences, College of Agriculture, 7/1/76 through 6/30/77.
- Havice, Christine, Instructor, Department of Art, College of Arts and Sciences, 8/1/76 through 5/31/77.
- Holmes, James R., Instructor, Department of Accounting, College of Business and Economics, 8/1/76 through 5/31/77.
- Holmquist, Chester A., Instructor, Department of Social Work, College of Social Professions, 8/1/76 through 5/31/77.

*Special Title Series

Kuhler, Ronald J., Assistant Professor, Department of Electrical Engineering
College of Engineering, 8/1/76 through 6/30/77.
Lutz, Bernard L., Assistant Professor, Department of Restorative Dentistry,
College of Dentistry, 7/1/76 through 6/30/77.
Mast, Terrill A., Assistant Professor, Department of Restorative Dentistry,
College of Dentistry, and Department of Educational Psychology and
Counseling, College of Education, 7/1/76 through 6/30/77.
Melton, John R., Assistant Professor of Applied Music*, Department of
Music, College of Arts and Sciences, 8/1/76 through 5/31/77.
Roedersheimer, Harry J., Instructor in the Community College System,
Maysville Community College, 8/16/76 through 5/15/77.
Sharma, Om. P., Assistant Professor, Department of Veterinary Science,
College of Agriculture, 7/1/76 through 6/30/77.
Upton, David, Instructor, Department of Business Administration, College
of Business and Economics, 8/1/76 through 5/31/77.
Urban, Thomas S., Assistant Professor, Department of Sociology, College
of Arts and Sciences, 8/1/76 through 6/30/77.
Willard, Norman, Jr., Associate Professor, Department of Business Administration,
College of Business and Economics, 8/1/76 through 12/31/76.
Willis, Dorothy Ray, Librarian III in the Community College System, Southeast
Community College, 7/1/76 through 6/30/77.

III. Report on Gifts and/or Grants (See Attachment)

Recommendation: that the report on gifts and/or grants covering
the period from January 1, 1976 to March 31, 1976, be accepted and
made a matter of record.

Background: The attached report represents the gifts and/or grants
accepted by the President on behalf of the Board of Trustees for the
three months- period ending March 31, 1976, in accordance with
the policy adopted by the Board of Trustees on November 19, 1965.
Acknowledgement of gifts and/or grants has been made to all donors
by the President on behalf of the Board.

Action: Approved X Disapproved _____ Other _____

Date: MAY 4, 1976.

GIFT REPORT
OFFICE OF DEVELOPMENT
JANUARY 1, 1976 TO MARCH 31, 1976

SUMMARY

COLLEGES & DEPARTMENTS

Accounting	\$ 1,575.00	
Agriculture	995.00	
Allied Health	10.00	
Architecture	100.00	
Arts & Sciences	9,529.43	
Joe Creason Lecture Series	5.00	
Dentistry	2,610.00	
Education	25.00	
Engineering	13,841.00	
Home Economics	327.00	
Law	1,616.56	
Library	4,239.50	
Medicine	134,180.05	
Nursing	30.00	
Pharmacy	105.00	
University Hospital	1,222.00	
UK Blue White Fund	<u>149,710.50</u>	
Sub Total		\$320,121.04

COMMUNITY COLLEGES

Ashland	\$ 1,795.00	
Elizabethtown	5,080.00	
Henderson	4,407.00	
Prestonsburg	1,190.00	
Somerset	6,045.00	
Southeast	<u>1,455.00</u>	
Sub Total		\$ 19,972.00

UNIVERSITY

Unrestricted	\$ 5,827.00	
Scholarships	<u>65,640.75</u>	
Sub Total		\$ 71,467.75

UK ANNUAL-GIVING FUND

Undesignated	\$ 12,908.00	
Designated	<u>138,557.36</u>	
Sub Total		<u>\$151,465.36</u>
GRAND TOTAL		<u>\$563,026.15</u>

ADA's Ladies' Auxiliary	125.00	Elizabethtown Community College Scholarship Fund
	125.00	Somerset Community College Scholarship Fund
Dr. & Mrs. Jesse E. Adams	25.00	College of Education Fund
Mr. Paul Adelsperger	25.00	Perinatal-Neonatal Research in memory of Jennifer Grayson
Mr. Jack Adkins	500.00	UK Blue and White Fund
Dr. Gregory J. Albright	200.00	" " " " "
Mr. Charles F. Allen	125.00	" " " " "
Mr. Caryl Alloway	50.00	" " " " "
Dr. Harry E. Altman	250.00	" " " " "
American Cancer Society, Inc.	1,250.00	Institutional Cancer Research Grant
American Dental Manufacturing Company	50.00	College of Dentistry Fund
American Fund for Dental Health	1,000.00	Alvin Morris Dental Symposium
American Heart Association	3,877.50	Thombosis, Heparin & Plasma Components
American Hospital Supply Corporation	1,000.00	American Hospital Supply Corporation Scholarship Fund
The American Jersey Cattle Club	500.00	Jack C. Misbel Memorial Scholar- ship for Rodger Milburn
Mr. C. M. Anderson	100.00	UK Blue and White Fund
The Anderson News	500.00	" " " " "
ANONYMOUS	50.00	Somerset Community College Scholarship Fund
	195.00	Elizabethtown Community College Scholarship Fund
	224.00	UK Blue and White Fund
Mr. John R. Anson	100.00	" " " " "
Armour and Company	50.00	Kentucky Dairy Educational Scholarship
Mr. & Mrs. Carl Armstrong	250.00	UK Blue and White Fund
Mrs. John V. Arrendale, Jr.	100.00	John A. Moser Scholarship Fund

Mr. T. J. Asher	150.00	UK Blue and White Fund
Fred Bach Racing Stable	100.00	" " " " "
Mr. William D. Bach	125.00	" " " " "
Mr. Randy Bacon	100.00	" " " " "
Mr. Brown Badgett	500.00	" " " " "
Bailey Mining Company	500.00	" " " " "
Dr. Robert S. Bain	1,000.00	Diagnostic Radiology
Baird and Baird	500.00	UK Blue and White Fund
Mr. Harold Baker	500.00	" " " " "
John B. Baldwin, M.D.	550.00	" " " " "
Bank of Danville	300.00	" " " " "
Bank Management	2,575.00	" " " " "
Bank of Richmond	200.00	" " " " "
Bank of Williamsburg	150.00	" " " " "
The Bar Review Institute of UK, S.B.A.	46.56	College of Law-Restricted
Mr. Arlie Barnes	55.00	UK Blue and White Fund
Dr. Donald C. Barton	250.00	UK Blue and White Fund
Mr. Ernie Bates	100.00	" " " " "
Mr. Jim Bean	100.00	Agricultural Student Council
Bean Publishing Company, Inc.	50.00	UK Blue and White Fund
Dr. L. F. Beasley	50.00	" " " " "
Mr. Theron L. Beckham, Jr.	50.00	" " " " "
Beckman Instruments, Inc.	10,000.00	Pathology Research Fund
Beef 'N' Boards of America Inc.	875.00	UK Blue and White Fund
Mr. and Mrs. John A. Bell	125.00	" " " " "
Mr. Robert S. Berryman	125.00	" " " " "
Mr. Phil Allan Bertram	62.50	" " " " "
Bethlehem Steel Corporation	2,500.00	Undesignated Fund

Bettis Oil Company of Kentucky, Incorporated	550.00	UK Blue and White Fund
Mr. South C. Bevins	125.00	" " " " "
Big Sandy Insurance Agency, Incorporated	500.00	" " " " "
Mr. J. Ed Bilby	50.00	" " " " "
Mr. Norman H. Binger	25.00	Robert M. Boyer Fund
Mr. Fred Birchfield	200.00	UK Blue and White Fund
Mr. and Mrs. Robert K. Bird	125.00	" " " " "
Mr. H. E. Bishop	100.00	" " " " "
Bizzack Brothers Construction Corporation	1,500.00	" " " " "
Mr. and Mrs. Johnny Bizzack	100.00	Mary J. Passenger Memorial Fund
Ms. Marjorie Blanton	50.00	UK Blue and White Fund
Mr. and Mrs. Burdett Blevins	125.00	" " " " "
Blue Grass Artificial Limb Company, Incorporated	500.00	" " " " "
Bluegrass Chapter Cystic Fibrosis Foundation	1,000.00	Cystic Fibrosis Care and Teaching Center
Bluegrass Chapter March of Dimes	9,000.00	Fetal Monitoring System
Bluegrass Jr. Woman's Club	250.00	Bluegrass Jr. Woman's Club Scholarship for Linda Baughman
Blum-Kovler Foundation	125.00	Elizabethtown Community College Scholarship Fund
	150.00	Jim Beam Memorial Scholarship for David Barnes
	125.00	Jim Beam Memorial Scholarship for Mary Ann Rogers
	100.00	Jim Beam Memorial Scholarship for Gary Dawson
Dr. Martin C. Blum	10.00	College of Dentistry Fund
Mr. William Bonfield	125.00	UK Blue and White Fund

Mr. W. A. Boone	150.00	UK Blue and White Fund
Boston Elementary School PTA	250.00	Elizabethtown Community College Scholarship Fund
Mr. John H. Bowen	50.00	UK Blue and White Fund
Mr. Kenes Bowling	125.00	" " " " "
Bowling Proprietors' Association of America, Incorporated	500.00	Ashland Community College Scholarship Fund
Boys Club of Lexington, Inc.	250.00	Boys Club of Lexington Scholar- ship for Michael L. Wilson
	250.00	Boys Club of Lexington Scholar- ship for Joan Alan Lucas
Mr. Don Bradshaw	50.00	UK Blue and White Fund
Mr. David L. Brain	125.00	" " " " "
	2,000.00	" " " " "
Mrs. Leo E. Brauer	5.00	Cancer Research Fund in memory of John Lilly
Mr. Robert L. Breckheimer	125.00	UK Blue and White Fund
Brock-McVey	500.00	" " " " "
Ms. Antionette Brown	50.00	" " " " "
Mr. George G. Brown	1,250.00	" " " " "
Mr. James O. Brown	100.00	" " " " "
Mr. J. H. Brown	100.00	" " " " "
Mr. Samuel M. Brown	50.00	" " " " "
Mr. William L. Brown	56.05	C. E. Hopgood, Jr. Memorial Loan Fund
Mr. Louis N. Browning	1,275.00	L. L. Browning Memorial Scholar- ship for Donnie A. Brothers, Jacqueline Ellis & David Kreidler
L. L. Browning Memorial Scholarship Trust Fund	425.00	L. L. Browning Memorial Scholar- ship for Joan Pipal

L. L. Browning Memorial Scholarship	425.00	L. L. Browning Memorial Fund Scholarship for Michael D. Ravencraft
Mr. Harry Brundage	100.00	UK Blue and White Fund
Mr. David R. Buchanan	50.00	" " " " "
Mr. Roger B. Burch	2.50	College of Arts and Sciences Department of Theatre Arts
Mr. Johnnie Burkholder	500.00	UK Blue and White Fund
Mr. Samuel J. Burrow III	200.00	College of Dentistry Fund
Mrs. Edra M. Burton	5.00	College of Medicine Fund
Mr. Richard Bush, Jr.	125.00	UK Blue and White Fund
Calvary Baptist Church	127.00	College of Home Economics Development Fund
Mr. John W. Cambron, Jr.	250.00	UK Blue and White Fund
Mr. Ronald R. Campbell	10.00	C. E. Hopgood, Jr. Memorial Loan Fund
Mr. Bob R. Cathers	100.00	UK Blue and White Fund
Dr. Frank H. Catron	750.00	" " " " "
Charles D. Cawood, M.D.	50.00	" " " " "
Mr. Pope Cawood	50.00	" " " " "
Central Bank & Trust Company	2,125.00	" " " " "
	3,125.00	" " " " "
Central Contracting, Inc.	50.00	" " " " "
Central Kentucky News Distributing Company	500.00	" " " " "
Mr. Tandy P. Chenault	5.00	Joe Creason Lecture Series
Mr. Edwin Ches	375.00	UK Blue and White Fund
Chevron Oil Company	500.00	Chevron Oil Company Scholarship in Geology
	500.00	Chevron Oil Company Grant to Geology

Chrisman Insurance Agency, Inc.	350.00	UK Blue and White Fund
Churchill Downs, Inc.	250.00	" " " " "
Mr. Leo G. Ciacio	25.00	Division of General Medicine Research and Education Fund
Circle O. Farm	50.00	UK Blue and White Fund
Citizens Bank of Elizabethtown	195.00	Elizabethtown Community College Scholarship Fund
The Citizens Bank of Pikeville	500.00	UK Blue and White Fund
Citizens National Bank	250.00	" " " " "
Citizens National Bank	390.00	Somerset Community College Scholarship Fund
The Citizens National Bank of Somerset	150.00	UK Blue and White Fund
Citizens Union National Bank and Trust Company	1,000.00	" " " " "
Mr. Danny M. Clark	500.00	" " " " "
Mr. Volney Clark	25.00	College of Pharmacy Fund
Mr. Mac Clifton	50.00	UK Blue and White Fund
Mr. James E. Clouse	125.00	" " " " "
Coca Cola Bottling Company	250.00	Elizabethtown Community College Scholarship Fund
Mrs. Bettie B. Cochran	125.00	UK Blue and White Fund
Mr. and Mrs. Frank S. Cogswell	50.00	" " " " "
The Collins Company, Inc.	250.00	" " " " "
Mr. Christopher Combs	50.00	" " " " "
Mr. Earl Combs	100.00	" " " " "
Consolidation Coal Company	750.00	Mining Engineering Fund
	2,000.00	Consolidated Coal Company Scholarship Fund
Consulate General of Nigeria	45.00	Rivers State Government Scholar- ship for S. A. Azaga

Thomas M. Cooper, D.D.S.	500.00	College of Dentistry Fund
Mr. R. H. Cooper, III	125.00	UK Blue and White Fund
Coopers and Lybrand	1,000.00	Accounting Research and Development Fund
Mr. John B. Corbett	100.00	UK Blue and White Fund
Corbin Deposit Bank and Trust Company	150.00	" " " " "
Mrs. Sam Corea	50.00	" " " " "
Corydon Lions Club	195.00	Henderson Community College Scholarship Fund
Courier-Journal Lithographing	250.00	UK Blue and White Fund
Mr. W. B. Cowden	250.00	" " " " "
Mr. W. Lyter Cowden	125.00	" " " " "
Mr. Webster L. Cowden, Jr.	125.00	" " " " "
Mr. Craig Cox	62.50	" " " " "
Mr. E. Thomas Cox	500.00	" " " " "
Dr. William H. Cox	125.00	" " " " "
Dr. Jack K. Cox, II	10.00	College of Pharmacy Fund
R. L. Craig Company, Inc.	125.00	UK Blue and White Fund
Dr. Ben Wade Crawford	250.00	" " " " "
Mr. C. T. Creech	250.00	" " " " "
Mr. Dale Creech	100.00	" " " " "
Mr. J. B. Croley	500.00	" " " " "
Mr. Charles E. Crouse	50.00	" " " " "
Mr. Wayne F. Crowe	50.00	" " " " "
Mr. W. J. Crowe	100.00	" " " " "
Ms. Carolyn Cruse	50.00	" " " " "
Crystal Coal Company	500.00	" " " " "
Cumberland Dairies	50.00	Kentucky Dairy Educational Scholarship

Cumberland Rotary Club	500.00	Southeast Community College Scholarship Fund
Cumberland Woman's Club	195.00	Southeast Community College Scholarship Fund
Curlee Clothing Company	500.00	UK Blue and White Fund
Custodian, G. C. Burkhead Scholarship Fund	100.00	Elizabethtown Community College Scholarship Fund
Bohdan V. Cymbald, M.D.	400.00	Henderson Community College Scholarship Fund
D and D Enterprise	390.00	Gene Dunnington Scholarship for Somerset Community College Scholarship Fund
Dairy Products Association	427.86	Dwight Moody Seath Scholarship Fund
Dairy Science Meeting Fund	373.14	Dwight Moody Seath Scholarship Fund
Dr. Harold T. Daniel	250.00	UK Blue and White Fund
K. B. Daniel, Jr., M.D.	50.00	" " " " "
Mr. William E. Darragh	500.00	" " " " "
Daughters of Cincinnati	550.00	Elizabethtown Community College Scholarship Fund
Davidson's of Pikeville, Inc.	50.00	Kentucky Dairy Educational Scholarship
Mr. M. J. DeBoor	125.00	UK Blue and White Fund
Delaware Powder Company, Inc.	750.00	" " " " "
Mr. Stanley Demos	500.00	" " " " "
Dr. George W. Denemark	15.00	Cancer Research Fund in memory of John Lilly
Ms. Elvina Stoll DeRoode	500.00	UK Blue and White Fund
Mr. William Dexter	250.00	" " " " "
Dr. George A. Digenis	10.00	College of Pharmacy Fund
District Number Nine Kentucky State Association of Registered Nurses	195.00	Somerset Community College Scholarship Fund

Dixon Bank	125.00	Henderson Community College Scholarship Fund
The Dominion Company, Inc.	250.00	UK Blue and White Fund
Dr. John L. Duhring	100.00	" " " " "
Dr. Dale E. Dunkelberger	100.00	" " " " "
Dupree and Company, Inc.	500.00	" " " " "
Dura Corporation	300.00	" " " " "
Mr. Hunter Durham, Attorney	250.00	" " " " "
Dr. George F. Dwyer	100.00	" " " " "
Mr. Everett W. Dyche	15.00	College of Pharmacy Fund
Educational Development Projects Implementing Task Force	635.00	Educational Development Fellow- ship for Crisogono Caintic
Educational Testing Service	300.00	Educational Testing Service Scholarship for Valerie A. Yankauskas
Educational Testing Service	500.00	Educational Testing Service Scholarship for Fred Keinitz
E. I. Du Pont De Nemours and Company, Inc.	3,000.00	Mechanical Engineering
	3,000.00	Chemical Engineering
Elizabethtown Lion's Club	195.00	Elizabethtown Community College Scholarship Fund
E. Ellershaw Trust	1,118.63	Ellershaw Prizes
Mr. Fred Wilbert Elliott	50.00	UK Blue and White Fund
Mr. Paul Joel Embry	100.00	" " " " "
Dr. Joseph Engelberg	15.00	College of Medicine Fund
Mrs. Lavenia O. English	195.00	Henderson Community College Scholarship Fund
Estes and Ellison	100.00	UK Blue and White Fund
E'Town Paving Company, Inc.	250.00	" " " " "
Mr. M. F. Eubank	250.00	" " " " "

Dr. Dale P. Evans	250.00	UK Blue and White Fund
Mr. George E. Evans, Jr.	1,000.00	" " " " "
Facility Services and Management Branch Employees	20.00	UK Children's Cancer Research in memory of Indira Lele
Mr. Raymond B. Farley	50.00	UK Blue and White Fund
Mr. F. P. Farmer	125.00	" " " " "
Farmer Lincoln-Mercury, Inc.	250.00	" " " " "
Farmers Bank and Trust	250.00	" " " " "
Farmers Tobacco Warehousing Corporation	195.00	Somerset Community College Scholarship Fund
Fayette County Council PTA	300.00	Fayette County Council PTA Scholarship for Kimberly J. Pennington
Fayette County Medical Society	100.00	John S. Chambers Scholarship Fund
Fayette County Police Officers Association, Inc.	500.00	Fetal Monitor Equipment Fund
Mr. Jerry R. Felker	10.00	UK Blue and White Fund
	5.00	" " " " "
Mr. M. J. Ferguson	1,000.00	Vascular Surgery Research Fund
Ms. Margaret Ann Fernandez	5.00	Cancer Research Fund in memory of John Lilly
Dr. James L. Ferrell	250.00	UK Blue and White Fund
Fight for Sight, Incorporated	900.00	Fight for Sight Departmental Award
	2,900.00	Axoplasmic Flow and the Pathogenesis of Papilledema
First and Farmers National Bank	390.00	Somerset Community College Scholarship Fund
First Farmers Bank and Trust Company	150.00	UK Blue and White Fund
First Federal Savings and Loan Association	250.00	" " " " "

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First Hardin National Bank	195.00	Elizabethtown Community College Scholarship Fund
	195.00	" " "
First National Bank	1,000.00	UK Blue and White Fund
The First National Bank	150.00	" " " " "
First National Bank of Louisville	200.00	" " " " "
	250.00	" " " " "
	600.00	" " " " "
First National Bank and Trust Company	150.00	" " " " "
First National Bank and Trust Company	150.00	" " " " "
First Presbyterian Church	390.00	Somerset Community College Scholarship Fund
First Security National Bank and Trust Company	200.00	Robert Henry Hughes Scholarship for Bonnie Bailey and Darrell Fisher
First State Bank	250.00	UK Blue and White Fund
Mr. Kenneth Flairity	5.00	Hospital Gifts and Donations in memory of Celestine J. Spenlaw
Max C. Fleischmann Foundation	38,000.00	G.D.M.S. Computer System
Mr. Carl R. Flock	50.00	UK Blue and White Fund
Mr. Joe Foran	50.00	Accounting Research Fund
Mr. Harry M. Ford	1.00	College of Engineering
Mr. William N. Foree	125.00	UK Blue and White Fund
Dr. and Mrs. Stuart Forth	200.00	Kirwan Award in History
Mr. F. Boyd Fowler	500.00	University Progress Fund
	500.00	UK Blue and White Fund
Mr. W. Craig Franks	125.00	" " " " "
Frantz, Incorporated	1,000.00	" " " " "

Mr. Charles P. Frasher	5.00	College of Pharmacy Fund
Miss Mae Frazier	10.00	Mary Sanders Memorial Fund
Mr. Ray L. Frazier	25.00	College of Pharmacy Fund
Mr. E. E. Freeman	500.00	UK Blue and White Fund
Mr. Glenn Freeman	250.00	" " " " "
Mr. John C. Freeman	10.00	Gastroenterology Division- Hospital Gifts and Donations
Dr. Theodore R. Freeman	25.00	Kentucky Dairy Educational Scholarship
James R. Freedman, M.D.	250.00	UK Blue and White Fund
Mr. Andrew Frost	100.00	" " " " "
Mr. J. G. Gafford	100.00	" " " " "
Mr. Earl D. Gaines	250.00	" " " " "
Mr. Joe Pat Gaines	50.00	" " " " "
Mr. R. L. Garner	100.00	" " " " "
Gay Note Sales, Inc.	250.00	" " " " "
Dr. Samuel W. Gehring	125.00	" " " " "
General Lighting Products, Incorporated	200.00	" " " " "
General Telephone Company of Kentucky	1,000.00	" " " " "
Dr. Michael Gentry	50.00	" " " " "
Mr. and Mrs. Tony Gerbus	50.00	" " " " "
Mr. Hugh M. Gibbs, Jr.	250.00	" " " " "
Mr. & Mrs. Gene Gilbert	50.00	" " " " "
Dr. James W. Gladden	75.00	Gladden Memorial Fund
Ms. Rose Goldberg	5.00	Hospital Gifts and Donations in memory of Irvin Alexander Colton
Mr. J. H. Golden	2.00	University Progress Fund
Mr. Charles W. Goodnight	150.00	UK Blue and White Fund

Mr. L. D. Gorman	2,000.00	UK Blue and White Fund
Mr. Cleo Graber	125.00	" " " " "
Mr. C. A. Graham	250.00	" " " " "
Grand Chapter of Kentucky Order of Eastern Star	200.00	Eastern Star Training Award for David J. Burke
Dr. William J. Graul	250.00	UK Blue and White Fund
Great Southern Electronic Product, Incorporated	250.00	" " " " "
Green River Valley Convention	80.00	Green River Valley Scholarship for Daryl Baughman and Anthony Young
Green River Valley S.S. & B.T.U.	90.00	Henderson Community College Scholarship Fund
Mr. Jack Greene	100.00	UK Blue and White Fund
Ms. Katherine L. Greene	8.00	Cancer Research Fund in memory of John Lilly
Mr. Warren Greer	600.00	UK Blue and White Fund
Mr. Hugh B. Gregory	1,500.00	" " " " "
Mr. R. K. Griffey	125.00	" " " " "
Dr. Allen E. Grimes	100.00	" " " " "
Mr. Lynn E. Grogan	250.00	" " " " "
Gro-Green Chemical Company, Incorporated	50.00	" " " " "
Mr. Al Gustafson, CPCU	250.00	" " " " "
Mr. H. Hart Hagan	500.00	" " " " "
Halcomb and Oliver Clinic	250.00	" " " " "
Mr. John R. Hall	100.00	" " " " "
Mr. Kenneth E. Hall	250.00	" " " " "
Dr. Mary A. Hall	125.00	" " " " "
Hall, Perry and Pitman Properties, Incorporated	100.00	" " " " "

Mr. Richard G. Hall	125.00	UK Blue and White Fund
Mr. Bruce Hammel	250.00	" " " " "
Hardin County Farm Bureau	390.00	Elizabethtown Community College Scholarship Fund
Hardin Memorial Hospital Auxiliary	560.00	" " "
Mr. Larry Hargett	1,350.00	UK Blue and White Fund
Harlan County Jaycees	195.00	Southeast Community College Scholarship Fund
Harlan County Soil and Water Conservation District	100.00	" " "
Mr. Frank Harris	125.00	UK Blue and White Fund
Mr. William F. Harrison, Jr.	50.00	" " " " "
Mr. William H. Harrison, Jr.	250.00	" " " " "
Harrod-Early Incorporated	250.00	" " " " "
Mr. Jerry Harville	50.00	" " " " "
Haskins and Sells, CPAs	500.00	Accounting Research & Development
Ronald L. Hatcher, M.D.	100.00	UK Blue and White Fund
Joe M. Hawkins and Company, Incorporated	50.00	" " " " "
Mr. & Mrs. William C. Hayden, Jr.	50.00	" " " " "
Mr. Edward R. Hays	250.00	" " " " "
Hazard Express	100.00	" " " " "
Mr. Charles R. Hazle	250.00	" " " " "
William R. Hearst Foundation	500.00	Hearst Foundation Scholarship for James H. Newberry, Jr.
Heck's Incorporated	375.00	Heck's Incorporated Scholarship for Joseph H. Maynard
Mr. T. J. Heekin	250.00	UK Blue and White Fund
Mr. Frank M. Heinze	125.00	" " " " "
Dr. Richard Hench	100.00	" " " " "

Henderson City Lions Club	390.00	Henderson Community College Scholarship Fund
Hnederson Rotary Club	650.00	Henderson Community College Scholarship Fund
Ms. Nancy E. Hendrickson	10.00	College of Nursing Fund
Mr. Randall V. Henry	50.00	UK Blue and White Fund
Mr. Lawrence W. Hensinger	250.00	" " " " "
Heritage Woman's Club	195.00	Ashland Community College Scholarship for Rebecca Bolner
Dr. Lewis Hicks	100.00	UK Blue and White Fund
Dr. E. C. Hightower, Jr.	50.00	" " " " "
Mr. Elwood Hill	125.00	" " " " "
Hodge & Hodge	250.00	" " " " "
Hodges and Goodman PSC	500.00	" " " " "
Hofstra University	250.00	Faculty Children's Scholarship for Lucy Jo Sheperd
Holloway and Son Construction Company	250.00	UK Blue and White Fund
Holloway-Playforth-Archer- Nighbert	1,500.00	" " " " "
Home Lumber Company, Inc.	375.00	" " " " "
Hope Memorial Fund	200.00	Ashland Community College Scholarship for Lydia Rose
Mrs. C. E. Hopgood, Jr.	1,000.00	C. E. Hopgood, Jr. Memorial Loan Fund
Mr. Oscar Hornsby	500.00	UK Blue and White Fund
Mrs. Don Horton	375.00	" " " " "
Hospital Auxiliary	543.00	Henderson Community College Scholarship Fund
Hotwork, Incorporated	500.00	UK Blue and White Fund
Mr. and Mrs. Gregory L. Howard	100.00	" " " " "
Robert Henry Hughes Scholarship	100.00	Robert Henry Hughes Scholarship for Michael L. Wilson

David A. Hull, M.D.	250.00	UK Blue and White Fund
Mr. Myron B. Hurst	100.00	" " " " "
Ideal Pure Milk Company, Inc.	50.00	Kentucky Dairy Educational Scholarship
Industrial Maintenance Service	50.00	UK Blue and White Fund
Industrial Materials, Inc.	100.00	" " " " "
International Spike, Inc.	500.00	" " " " "
Irvin Industries, Incorporated	250.00	" " " " "
Island Creek Coal Company	600.00	" " " " "
Mr. Earl L. Ison	50.00	" " " " "
Jackson Chair Company, Inc.	375.00	" " " " "
Mr. R. H. Jackson	100.00	" " " " "
Mr. Robert Jackson	50.00	" " " " "
Jacobs and Kelly	150.00	" " " " "
Mr. Henry T. Jagggers	1,090.00	Daniel V. Terrell Honor Loan Fund
Dr. & Mrs. Oliver C. James	250.00	UK Blue and White Fund
Jelenko and Company	25.00	College of Dentistry Fund
Jenkins-Essex Company	250.00	Elizabethtown Community College Scholarship Fund
Mr. Jay R. Jenkins	50.00	UK Blue and White Fund
Jerusalem Lodge Number Nine F. & A.M.	150.00	Henderson Community College Scholarship Fund
Mr. Bob Johnson	250.00	UK Blue and White Fund
Johnson, Brown, Burnett and Knight, Incorporated	500.00	" " " " "
Johnson, Depp and Quisenberry	150.00	" " " " "
Jim Johnson	150.00	" " " " "
Mr. Roy Johnson	125.00	" " " " "
Mr. L. Eugene Johnson, Jr.	50.00	" " " " "

Johnson's Dairy, Incorporated	50.00	Kentucky Dairy Educational Scholarship
Mr. Horace A. Johnston	125.00	UK Blue and White Fund
Mr. Gatton Jones	250.00	" " " " "
Mr. William D. Jones	100.00	" " " " "
Max P. Jones, M.D.	100.00	College of Medicine Fund
Mr. William L. Jones	50.00	UK Blue and White Fund
Dr. J. B. Jones, Jr.	125.00	" " " " "
Mr. Arnold M. Judd	50.00	" " " " "
Mr. Douglas Mack Justice	250.00	" " " " "
Mr. Edward L. Kaiser, Jr.	100.00	" " " " "
Mr. J. Douglas Kannapell	50.00	" " " " "
Mr. and Mrs. John Karem	50.00	" " " " "
Walter C. Kaufman, III DVM	250.00	" " " " "
Mr. Charles W. Kelley	50.00	" " " " "
Kelly, Galloway and Goolsby Certified Public Accountants	500.00	" " " " "
Mr. Lawrence N. Kendrick	312.50	" " " " "
Mr. Robert Kennedy	250.00	" " " " "
Mr. Robert Kennoy	100.00	" " " " "
Kentucky American Water Co.	1,000.00	" " " " "
Kentucky Artificial Breeding Association	150.00	Dairy Educational Scholarship
Kentucky Birmingham Bolt Company, Inc.	250.00	UK Blue and White Fund
Kentucky Central Insurance Company	150.00	" " " " "
	1,500.00	" " " " "
The Kentucky Chapter of the Arthritis Foundation	1,000.00	Arthritis Research Fund

Kentucky Christian Missionary Convention	100.00	Kentucky Christian Missionary Convention Scholarship for Juanita Green
Kentucky Coal Association, Inc.	375.00	UK Blue and White Fund
Kentucky Council of Cooperatives	100.00	Kentucky Council of Cooperative Scholarship for John Beasley
Kentucky Dental Supply Co.	100.00	College of Dentistry Fund
Kentucky Family Security Insurance Company	200.00	UK Blue and White Fund
Kentucky Farm Bureau	375.00	Kentucky Farm Bureau Scholarships for Franklin E. Farley and Denise C. Frey
Kentucky Farm Bureau Federation	100.00	UK Blue and White Fund
Kentucky Farm Bureau Scholarship	125.00	Kentucky Farm Bureau Scholarship for William P. Owen
Kentucky Farm Bureau Scholarship Foundation, Inc.	875.00	Kentucky Farm Bureau Scholarships for Ava J. Agnew, Garlin P. Connor, Donald D. Hicks, James H. Newberry, Jr., John T. Spencer
Kentucky Finance Company, Inc.	375.00	UK Blue and White Fund
Kentucky-Indiana Lumber Company, Incorporated	750.00	" " " " "
Kentucky Jersey Cattle Club	200.00	Dwight Moody Seath Scholarship Fund
Kentucky Machinery, Inc.	500.00	UK Blue and White Fund
The Kentucky New Era, Inc.	140.00	" " " " "
Kentucky River Coal Corporation	500.00	Scholarship for Danny Maggard
Kentucky Solite	150.00	UK Blue and White Fund
Kentucky Utilities	1,000.00	" " " " "
Kentucky Water Service	780.00	Kentucky Water Service Scholarship, Somerset Community College Fund
Kentucky Welding Company	100.00	UK Blue and White Fund

Kermit Lumber and Pressure Treating Plant	2,200.00	Kermit Lumber Scholarship for Mary Bruce and Robin Blackburn
Mr. O'Neil Kidd	50.00	UK Blue and White Fund
Kidney Foundation of Central Kentucky	3,000.00	Pediatric Kidney Fellowship Fund
Mr. Sam C. Kincaid	50.00	UK Blue and White Fund
Kincaid, Wilson, Schaeffer, Trimble and Hembree	2,000.00	" " " " "
Mr. Warren King	500.00	" " " " "
W. E. Kingsley and Company	375.00	" " " " "
Mr. B. G. Knifley	125.00	" " " " "
Mr. Franklin Knuckles	250.00	" " " " "
	750.00	" " " " "
The Kroc Foundation	2,943.00	Neural Regulation of the Insulin Secretion Rate
Paul G. Kyker, Jr., M.D.	100.00	UK Blue and White Fund
Lackey-Garrett-Wayland Woman's Club	100.00	Prestonsburg Community College Scholarship Fund
Ladies Lincoln League	195.00	Elizabethtown Community College Scholarship Fund
Ladish Company	250.00	UK Blue and White Fund
Mr. Edwin Lamb	250.00	" " " " "
Mr. Eric Lamb	100.00	" " " " "
Mr. Michael D. Lambert	50.00	" " " " "
The N. C. R. Foundation Matching Mr. Michael D. Lambert	50.00	" " " " "
Lane Hargett Construction	100.00	" " " " "
Mr. William R. Lane, Jr.	50.00	" " " " "
Mr. Jack Langley	250.00	" " " " "
Langley and Morgan Corporation	350.00	" " " " "

LaRue County Geriatric Center	390.00	Elizabethtown Community College Scholarship Fund
Law Alumni Association	1,500.00	College of Law-Restricted
Mr. A. P. Lawing, Jr.	50.00	UK Blue and White Fund
Mr. Leonard L. Layne	1,500.00	Ophthalmic Pathology Laboratory
Mr. Jeffery D. LeClaire	50.00	UK Blue and White Fund
Mr. John P. Leindecker	500.00	" " " " "
Mr. John W. LeMaster	50.00	" " " " "
Mr. Walter LeRoy	50.00	" " " " "
Lexington Dodge, Inc.	500.00	" " " " "
Lexington Herald-Leader Co.	1,000.00	" " " " "
Lexington Lions Club	4,000.00	Ophthalmology Development Fund
Lexington 76 Auto/Truck Stop	500.00	UK Blue and White Fund
Liberty National Bank	500.00	" " " " "
Liberty National Bank and Trust Company of Louisville	250.00	" " " " "
	100.00	" " " " "
Don F. Likens, DMD	100.00	College of Dentistry - Department of Peridontics
Mr. Hubert E. Lindley	50.00	UK Blue and White Fund
Bill List and Associates	100.00	" " " " "
Lithocraft, Incorporated	100.00	" " " " "
The 1907 Foundation, Inc. Matching John R. Lockhart	1,000.00	Cancer Research Fund
Mr. Dick Looney	100.00	UK Blue and White Fund
Dr. Margaret P. Lose	10.00	Mary J. Passenger Memorial Fund
Louis and Henry, P.S.C.	100.00	College of Architecture Scholarship Fund
Louisville Downs, Inc.	776.00	UK Blue and White Fund
Ms. Lisa Lovely	10.00	Cancer Research Fund in memory of John Lilly
Mr. Harry King Lowman	500.00	UK Blue and White Fund
Mr. Everett Lusk	50.00	" " " " "

Dr. Wendell V. Lyon	100.00	UK Blue and White Fund
Mr. David F. McAnelly	250.00	" " " " "
Mr. Paul D. McCandless	250.00	" " " " "
Mr. David W. McCauley	250.00	" " " " "
Mrs. Dorothy McClure	100.00	" " " " "
Mr. Charles R. McCracken	25.00	" " " " "
J. Ray McDermott and Company, Incorporated	1,570.50	McDermott Scholarship for Johnson O. Toritsemotse
Mr. Winifred N. McDermott	25.00	C. E. Hopgood, Jr. Memorial Loan Fund
Mr. Clarence A. McDonald	125.00	UK Blue and White Fund
Mr. John E. McEnroe	25.00	Accounting Research Fund
Dr. W. P. McKee	500.00	UK Blue and White Fund
Mr. Charles McKenna	50.00	" " " " "
Mr. T. R. McMahan	250.00	" " " " "
McMahan Powder Company	350.00	" " " " "
Dr. James C. McManis	125.00	" " " " "
Mr. Charles R. McNamee	5,000.00	President's Discretionary Fund
Mr. Michael C. McNerney	50.00	UK Blue and White Fund
Fred Macklin	125.00	" " " " "
Mr. Eddie Maggard	125.00	" " " " "
Maggard and Joseph	125.00	" " " " "
Malkin Instrument Company, Instrument	1,500.00	Cardio-Thoracic Surgery Fund
Marine Midland Bank	175.00	Dr. Adelaide Ellsworth Weston Fund Scholarship for Lucinda M. Lamb
Dr. Abby Lindsey Marlatt	200.00	College of Home Economics Fund
Mr. and Mrs. Harry M. Marshall	50.00	UK Blue and White Fund
Mr. Charles B. Marshall, Jr.	125.00	" " " " "

Martin Marietta Corporation	750.00	Scholarship for Daniel L. Wadell
Mr. L. Edward Martin, Jr.	100.00	UK Blue and White Fund
Marty Corporation	1,000.00	" " " " "
Mary Lynn's	195.00	Henderson Community College Scholarship Fund
Mr. Thomas Charles Mason	10.00	College of Pharmacy Fund
Massachusetts Board of Higher Education	300.00	Massachusetts State Grant for Robert J. Crovo
Mr. Thomas H. Massengill	50.00	UK Blue and White Fund
Mr. George Matherly	125.00	" " " " "
Mr. Terry Maurer	50.00	" " " " "
Mr. Marrs Allen May	125.00	" " " " "
R. V. May Company	150.00	" " " " "
Mr. Lloyd Mayes, Jr.	100.00	" " " " "
Dr. Porter Mayo	250.00	" " " " "
Mayo State Vocational School	250.00	Prestonsburg Community College Scholarship Fund
Mead-Johnson	100.00	Perinatal-Neonatal Research Fund
Mr. C. Joseph Mellott	75.00	UK Blue and White Fund
SM/Sgt. Billy P. Melton	10.00	C. E. Hopgood, Jr. Memorial Loan Fund
Merry Wives of Greenbriar Club	25.00	Social Services and Human Needs Fund
Mr. & Mrs. Robert A. Mickler	250.00	UK Blue and White Fund
Middle States Building Materials Company	1,000.00	" " " " "
Dr. Donald B. Mills	100.00	" " " " "
Mr. John B. Milward	50.00	" " " " "
Mr. Charles D. Mitchell, Sr.	125.00	" " " " "
Dr. Harold S. Moberly	250.00	" " " " "
Modern Sales and Service, Inc.	250.00	" " " " "

Modern Sales and Service, Inc.	250.00	UK Blue and White Fund
Mr. F. M. Moffitt	250.00	" " " " "
Mr. James H. Molloy	150.00	" " " " "
Mr. Eugene Montfort	50.00	" " " " "
Mr. B. J. Moore	250.00	" " " " "
Mrs. Elizabeth Moore	10.00	College of Nursing Fund
Mr. Jim D. Moore	50.00	UK Blue and White Fund
Mr. Escum L. Moore, Jr.	125.00	" " " " "
Mr. Drexel S. Morgan	50.00	" " " " "
Mr. Michael B. Mountjoy	50.00	" " " " "
C. F. Mueller Company	1,068.00	C. F. Mueller Company Scholarship for Charles D. Horsley
Mullins and Mullins Coal Company , Incorporated	250.00	UK Blue and White Fund
The Kiplinger Foundation, Inc. Matching Norman B. Mumaw	70.00	College of Law Fund
Mr. Daniel C. Murphy	100.00	UK Blue and White Fund
Murray Company, Incorporated	750.00	" " " " "
Mutual Federal Savings and Loan Association	375.00	" " " " "
Mutual Federal Savings and Loan Association	390.00	Mutual Federal Scholarship - Somerset Community College Scholarship Fund
Nally and Haydon, Inc.	200.00	UK Blue and White Fund
Mr. Richard Nash, Sr.	100.00	" " " " "
The National Bank of Cynthiana	1,250.00	" " " " "
The National Bank and Trust Company of Paris	150.00	" " " " "
National Farmers Organization	200.00	Kentucky Dairy Educational Scholarship
The National Foundation/ March of Dimes	19,980.00	Birth Defects Special Treatment Center

National Mines Corporation	500.00	UK Blue and White Fund
	750.00	" " " " "
Mr. Wayne Nave	50.00	" " " " "
Mrs. Hazel Neal	500.00	" " " " "
Nehi-RC Bottling Company	390.00	Henderson Community College Scholarship Fund
Mr. Ralph H. Neilsen	50.00	UK Blue and White Fund
Newcomers Club of Lexington	1,050.00	Hospital Gifts and Donations
Newcomers Club of Nicholasville	150.00	" " " "
Mr. Harry J. Newman	200.00	Ashland Community College Scholarship Fund
Ashland Oil Foundation, Inc. Matching Harry J. Newman	200.00	" " "
Ms. Jane Allen Newman	125.00	UK Blue and White Fund
Newsome's Auto Parts	125.00	" " " " "
Dr. George G. E. Nicholson	100.00	" " " " "
Mr. Louis Nickolin	15.00	Cancer Research Fund in memory of Richard Binkley
Mr. James Noe, Jr.	125.00	UK Blue and White Fund
Nolin Rural Electric Cooperative Corporation	200.00	Elizabethtown Community College Scholarship Fund
Mr. Alexander G. O'Brien	125.00	UK Blue and White Fund
Mrs. S. L. O'Dell	10.00	Cancer Research Fund in memory of John Lilly
Osborne Brothers Quarry Co.	100.00	UK Blue and White Fund
Ms. Monnie Gay Owens	250.00	" " " " "
Mr. P. H. Owens	100.00	" " " " "
Parker Seal Company	1,000.00	" " " " "
Mr. William L. Parman	100.00	" " " " "
Maj. Peggy J. Parnell	5.00	C. E. Hopgood, Jr. Memorial Loan Fund
Dr. & Mrs. Richard E. Passenger	25.00	Mary J. Passenger Memorial Fund in memory of Dillard Marr

Pate and Richardson	150.00	UK Blue and White Fund
Mr. James A. Patterson	50.00	School of Music Opera Workshop
Mr. John L. Payne	100.00	UK Blue and White Fund
Mr. James L. Peel	50.00	" " " " "
Mr. Harrison D. Peet	50.00	" " " " "
Mr. Roy E. Pelfrey	500.00	" " " " "
Mr. John Scott Penn	100.00	" " " " "
Mr. C. E. Pennington	1,000.00	" " " " "
Peoples Bank and Trust Co.	150.00	" " " " "
Peoples Commercial Bank	150.00	" " " " "
Peoples Deposit Bank and Trust Company	1,000.00	" " " " "
Mr. James R. Perry	750.00	" " " " "
Perry Oil Company, Inc.	250.00	" " " " "
Mr. Richard L. Perry	125.00	" " " " "
Mr. Tom Perry	125.00	" " " " "
Joe T. Pettey, M.D.	390.00	Joe T. Pettey, M.D. Scholarship Fund for Somerset Community College
Mr. Curtis R. Phillips	100.00	UK Blue and White Fund
Mr. John Rash Phillips	270.00	Henderson Community College Scholarship Fund
Mr. Jack Pike	500.00	UK Blue and White Fund
Pikeville Jr. Woman's Club	450.00	Prestonsburg Community College Scholarship Fund
Pikeville Oil and Tire Company, Incorporated	1,000.00	UK Blue and White Fund
Mr. Hunter A. Pipes, Jr.	125.00	" " " " "
Poco Sabo Plantation	605.00	Poco Sabo Plantation Scholarship for Charles A. McLemore
Poindexter & Poindexter, P.S.C.	500.00	UK Blue and White Fund

Rev. L. Reed Polk, Jr.	75.00	UK Blue and White Fund
Mr. James T. Porter	100.00	" " " " "
Potter Coal Company	500.00	" " " " "
Mr. Rex B. Potter	125.00	" " " " "
Mr. Robert Powe, Jr.	100.00	" " " " "
Powell County Bank	150.00	" " " " "
Precision Tool, Die, and Machine Company, Inc.	200.00	" " " " "
Prestonsburg Woman's Club	195.00	Prestonsburg Community College Scholarship Fund
	195.00	" " "
Dr. Elmer G. Prewitt	250.00	UK Blue and White Fund
Mr. C. D. Prichard	100.00	" " " " "
Princeton Hosiery Mills, Inc.	100.00	" " " " "
Progressive Insurance Agency, Incorporated	250.00	" " " " "
Pulaski County Educational Association	390.00	Somerset Community College Scholarship Fund
Pulaski County Medical Auxiliary	390.00	" " "
Pulaski County Republican Women's Club	195.00	" " "
Purdy and Cooke Agency, Inc.	100.00	UK Blue and White Fund
PVF Suppliers, Incorporated	500.00	" " " " "
Quality Manufacturing, Inc.	500.00	" " " " "
Dr. George R. Queen	250.00	" " " " "
Radcliff Business & Professional Women's Club	175.00	Elizabethtown Community College Scholarship Fund
Radcliff Concrete Incorporated	195.00	" " "
Radnor Pony Club	10.00	Mary J. Passenger Memorial Fund
Rainbo Baking Company	500.00	UK Blue and White Fund
Mr. Bill N. Ramsey	250.00	" " " " "

Mr. T. H. Rayborn	50.00	UK Blue and White Fund
Realty Foundation of New York	300.00	Realty Foundation of New York Scholarship for Clifford D. Levin
Dr. Heimo W. Reckmann	1,000.00	Endowed University Professor of Diagnostic Radiology
Mr. Kent W. Reed	250.00	UK Blue and White Fund
Mr. Mark K. Reed, Sr.	2,500.00	Cancer Research Fund
Mr. Felix Reeves, Jr.	50.00	UK Blue and White Fund
Reliance Universal, Inc.	100.00	" " " " "
Dr. Otis M. Richardson	100.00	" " " " "
Mr. William D. Richardson	250.00	" " " " "
Mr. F. W. Rickard	450.00	" " " " "
Ridgefield High School	550.00	Bill Coffey Scholarship to Somerset Community College
Mr. Bob Riley	100.00	UK Blue and White Fund
	100.00	" " " " "
Rio Grand Fence Company, Incorporated	500.00	" " " " "
Mr. Thomas Ripy	125.00	" " " " "
River City Chapter	150.00	Scholarship for Marybeth Haire
Mr. William J. Roach	500.00	UK Blue and White Fund
Mr. Jack Roberts	250.00	" " " " "
Mr. Thomas L. Roberts, Jr.	50.00	" " " " "
Mr. George G. Robertson	125.00	" " " " "
Mr. Bill Robey	20.00	College of Medicine Fund
Dr. Ralph R. Robinson	25.00	College of Medicine Fund
Robinson, Rectenwald, Tackett & DeMoss	100.00	Uk Blue and White Fund
Mr. Robert A. Roos	50.00	" " " " "
Mr. Gary Russell	125.00	" " " " "
Mr. Robert E. Ruth	250.00	" " " " "

Mr. Tommie Ruth	500.00	UK Blue and White Fund
Mr. Edgar L. Sagan	5.00	Cancer Research Fund in memory of John Lilly
Sandoz, Incorporated	75.00	Division of General Medicine Research and Education Fund
Mr. Dave Sawyer	50.00	UK Blue and White Fund
Mr. Robert F. Sawyer	50.00	" " " " "
Mr. William P. Schmitz	500.00	" " " " "
Schneider and Knight	100.00	" " " " "
Dr. Terry Schneider	250.00	" " " " "
Dr. E. I. Scrivner, Jr.	500.00	" " " " "
Mr. Frank Searcy	25.00	" " " " "
Mr. Harold Searcy	50.00	" " " " "
Second National Bank and Trust Company	500.00	" " " " "
Servomation Corporation	312.50	" " " " "
Servomation Corporation	250.00	" " " " "
Shanklin and Brady	375.00	" " " " "
Mr. Robert Shea	50.00	" " " " "
Mr. Dave Shropshire	125.00	" " " " "
Mr. Wayne Shumate	2,000.00	" " " " "
Mr. Austin Simmons	125.00	" " " " "
Dr. Robert P. Simmons	50.00	College of Medicine Fund
Mr. William K. Simmons	50.00	UK Blue and White Fund
Mr. Reginald A. Simons	250.00	" " " " "
Mr. Carl H. Simpson	250.00	" " " " "
Dr. Hobson L. Sinclair	500.00	College of Dentistry Fund
The Singer Company	315.00	Henderson Community College Scholarship Fund
	340.00	" " "

The Singer Company	159.00	Henderson Community College Scholarship Fund
Mr. Billy M. Smith	375.00	UK Blue and White Fund
Mr. Cawood Smith	500.00	" " " " "
Dr. Charles M. Smith	250.00	" " " " "
Mr. David A. Smith	50.00	" " " " "
Dr. & Mrs. Keith P. Smith	500.00	" " " " "
Smith Kline Instruments, Inc.	3,000.00	Smith Kline Research Fund
Mr. Ralph E. Smith	50.00	UK Blue and White Fund
Dr. Robert C. Smith	25.00	College of Dentistry Fund
Mr. Steve Smith	50.00	UK Blue and White Fund
Mr. Willard N. Smith	500.00	" " " " "
Snyder-Gorham Optical Co.	450.00	" " " " "
Somerset Junior Women's Club	390.00	Somerset Community College Scholarship Fund
Somerset Women's Club	195.00	" " "
Southeastern Graded Milk Producers Association	25.00	Dairy Educational Scholarship Fund
Southern Hills Methodist Church	600.00	Scholarships for George Charles, Regina Schmelz & Michael L. Wilson
Southern Medical Association	500.00	College of Medicine Scholarship Fund
Southern Strip Steel, Inc.	1,000.00	UK Blue and White Fund
Mr. & Mrs. Leland R. Sparks	1,000.00	UK Children's Cancer Research
Spring Grove Dairy, Inc.	50.00	Kentucky Dairy Educational Scholarship
The W. R. Stamler Corporation	250.00	UK Blue and White Fund
Standard Products Company	600.00	Standard Products Company Scholarship for Jacqueline Gibson and James M. Maynard
	60.00	Scholarship for Debora Jean Wilcox
Stanford Wood Products Company, Inc.	50.00	UK Blue and White Fund

Mr. Clyde Stanley	250.00	UK Blue and White Fund
State Automotive Warehouse Company, Incorporated	125.00	" " " " "
The State Journal	250.00	" " " " "
Statler Foundation	200.00	" " " " "
Mr. George P. Stavros	100.00	" " " " "
Mr. D. W. Stephens, Sr.	125.00	" " " " "
Stevens Contractors, Inc.	375.00	" " " " "
Stewart, Redmon, Givens	500.00	" " " " "
Mr. J. Clifton Stilz	50.00	" " " " "
Mr. Don Stoess	50.00	" " " " "
Mr. Jim Stokley	250.00	" " " " "
Ms. Nancy Strobe	2.00	Cancer Research Fund in memory of John Lilly
Mr. Tom Sturgill	125.00	UK Blue and White Fund
Mr. Earl Summers	250.00	Somerset Community College Scholarship Fund
Mr. Charlie Sutton	250.00	UK Blue and White Fund
Taylor County Bank	250.00	" " " " "
Mr. Ralph N. Taylor	100.00	" " " " "
Mr. Robert W. Taylor	100.00	" " " " "
Tennessee Eastman Company	4,000.00	Chemical Engineering Fund
The Theano Foundation Trust	500.00	Ashland Community College Scholarship for Bruce Ackerman
Department of Theatre Arts Concessions	158.80	College of Arts and Sciences Department of Theatre Arts
Mr. Carlon J. Thomas	75.00	Southeast Community College Scholarship Fund
Mr. & Mrs. James K. Thomas	250.00	UK Blue and White Fund
Mr. Philip E. Thomas	1,000.00	" " " " "

Ms. Betty S. Thornbury	125.00	UK Blue and White Fund
Three Y Company	50.00	" " " " "
Mr. C. Matthew S. Tierney	250.00	" " " " "
Tinder-Krauss-Tinder	500.00	" " " " "
Mr. Neville G. Todd	5.00	College of Pharmacy Fund
The Traders National Bank	150.00	UK Blue and White Fund
Dr. Russell L. Travis	500.00	" " " " "
U. C. Milk Company, Inc.	50.00	Kentucky Dairy Educational Scholarship
United Utility Supply	2,500.00	UK Blue and White Fund
United Way of the Bluegrass	2,500.00	Social Services and Human Needs Fund
University of Kentucky Alumni Club of Washington, D. C.	10.00	Vice President's Office General Fund in memory of Mrs. Earl Clements
University of Kentucky Woman's Club	750.00	UK Woman's Club Scholarship
Vacaville Unified School District Staff Members	47.50	C. E. Hopgood, Jr. Memorial Loan Fund
Mr. Vernon R. Vance	50.00	UK Blue and White Fund
Dr. John R. VanNagell, Jr.	125.00	" " " " "
Mr. David L. VanZant	50.00	" " " " "
Dr. L. D. Vaughan	25.00	" " " " "
Veterans of Foreign Wars	390.00	Southeast Community College Scholarship Fund
Mr. R. E. Viall	500.00	UK Blue and White Fund
Vulcan Materials Company	250.00	" " " " "
Mr. Ralph Waddle	50.00	" " " " "
Waggener High School	300.00	Scholarship for Paula Mae Richwolsky
Mr. W. S. Wainscott	75.00	UK Blue and White Fund
Ms. Cecilia Wakin	50.00	" " " " "

Mr. & Mrs. A. M. Walker	125.00	UK Blue and White Fund
Wallace's College Book Company	250.00	" " " " "
Mr. E. Bruce Walters	250.00	" " " " "
Mr. Charles Ward	50.00	" " " " "
Mr. Frank C. Ware	250.00	" " " " "
Mr. C. V. Warner	125.00	" " " " "
	250.00	" " " " "
Warner-Lambert Company	1,429.00	Pathology Research Fund
Waters Quarry, Inc.	350.00	Elizabethtown Community College Scholarship Fund
G. Reynolds Watkins, Consulting Engineers, Inc.	500.00	UK Blue and White Fund
Mr. Ronald E. Watson	100.00	" " " " "
Mr. Earl W. Weaver	125.00	" " " " "
Ashland Oil Foundation, Inc. Matching Earl W. Weaver	125.00	" " " " "
Mr. Dudley Webb	100.00	" " " " "
Mr. Jim M. Welch	250.00	" " " " "
Wells Funeral Home	75.00	" " " " "
Mr. R. L. Welter	50.00	" " " " "
Ashland Oil Foundation, Inc. Matching Robert L. Welter	50.00	" " " " "
Wenneker's Management Corp.	250.00	" " " " "
Western Golf Association	720.00	Evans Scholars Foundation Scholarship for Robert A. Greiner, James S. Knadler and Gregg V. Motter
Whalen Erecting Company of Kentucky, Inc.	1,500.00	UK Blue and White Fund
Mr. Leroy Whitaker	50.00	" " " " "
Mrs. Mary W. White	15.00	Undesignated Fund
Mr. Sanford White	50.00	UK Blue and White Fund

Wides Material Company	250.00	UK Blue and White Fund
Mr. Joseph G. Wiedmar	50.00	" " " " "
Mr. Ed M. Williams	1,500.00	" " " " "
Mr. Tom Williams	125.00	" " " " "
Mr. Kenneth Williams, Jr.	25.00	University Progress Fund
Mr. M. K. Willis	250.00	UK Blue and White Fund
Mr. J. Earl Wilson	200.00	" " " " "
Mr. Tom Wilson	50.00	" " " " "
Mr. William J. Wilson, C.P.A.	125.00	" " " " "
The Winchester Bank	100.00	" " " " "
Winn-Dixie Stores, Inc.	250.00	Winn-Dixie Scholarship for Gloria Kay Starns
Winston Ford Company, Inc.	500.00	UK Blue and White Fund
Mr. Will Ed Wise	50.00	" " " " "
Mr. Donald Wolf	125.00	" " " " "
Mr. Don Wood	250.00	" " " " "
Dr. William J. Wood	125.00	" " " " "
Mr. T. Jefferson Wright	375.00	" " " " "
Mr. Donald J. Wroble	250.00	" " " " "
Mr. Webb Young	50.00	" " " " "
Dr. Walter Zent	125.00	" " " " "

NON-MONETARY GIFTS

Ms. Priscilla Colt	Lithograph by Lester Johnson <u>Broadway Street Scene</u> , 1963 Art Museum
Ms. Sara Holroyd	Book: <u>Experience in Music</u> to UK Library
Hospital Auxiliary	Hospital Equipment
Dr. Margaret Lantis	Miscellaneous Eskimo Items for The Museum of Anthropology
Dr. Rey Longyear	30 issues of the <u>Percussionist</u> UK Library
Mr. W. McCullough	2,000 volumes to UK Library
Mr. James J. Meeker	Miscellaneous paintings, drawings and lithographs to UK Art Museum
Mr. Oswald D. Reich	35 etchings by Jacques Reich Art Museum
Dr. Isaac Rushman	Journals to the UK Library

Office of the President
May 4, 1976

PR3

Members, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Naming Architecture Library

Recommendation: that the Board of Trustees approve the naming of the College of Architecture Library, the Hunter M. Adams Library of Architecture.

Background: The above recommendations comes from the faculty of the College of Architecture with the approval of the Director of Libraries and the Committee on Naming University Buildings.

Mrs. Adams as librarian for the College of Architecture dedicated herself to the improvement of the collection and the library facilities to the end that these benefits will help future users of the library and its collection. Mrs. Adams is taking a disability retirement this year and it is entirely appropriate that this recognition be given to her for her selfless dedication.

Action: Approved X Disapproved _____ Other _____

Date: MAY 4, 1976.

Office of the President
May 4, 1976

PR 4

Members, Board of Trustees:

CANDIDATES FOR DEGREES - UNIVERSITY SYSTEM

Recommendation: that the President be authorized to confer upon each individual whose name appears on the list the degree to which he is entitled upon certification by the Dean of Admissions and Registrar that the individual has satisfactorily completed all requirements for the degree for which he has made application and as approved by the University Senate.

Background: Since the Commencement Exercises are held immediately following completion of examinations, it is not possible for the Office of the Dean of Admissions and Registrar to certify satisfactory completion of requirements prior to Commencement Exercises, for those candidates who completed their requirements in May. The candidates who completed their requirements in August 1975 and December 1975 were approved by the Board of Trustees at earlier meetings.

Action taken: Approved X Disapproved Other

Date: MAY 4 , 1976

OFFICE OF ADMISSIONS AND REGISTRAR

CANDIDATES FOR DEGREE

MAY 8, 1976

GRADUATE DEGREES

PH.D.	96
ED.D.	20
D.B.A.	13
ED.S.	6
M.A.	104
M.S.	87
M.S.AGR.	9
M.S.AGR.ENG.	2
M.S.CH.ENG.	6
M.S.C.E.	22
M.S.E.E.	9
M.S.E.M.	2
M.S.M.E.	7
M.S.MET.ENG.	6
M.A.EDU.	85
M.S.EDU.	24
M.S.ACC.	4
M.B.A.	16
M.S.L.S.	26
M.M.	4
M.S.CL.N.	8
M.S.H.E.	20
M.S.RAD.H.	1
M.S.N.	9
M.F.A.	5
M.S.W.	32
M.S.N.ENG.	3
M.S.DENT.	4
M.S.MED.RAD.	2
TOTAL	632

PROFESSIONAL DEGREES

M.D.	98
D.D.M.	56
JURIS DOCTOR	118
TOTAL	272

UNDERGRADUATE DEGREES

ARTS AND SCIENCES

B.A.	362
B.S.	138
B.G.S.	134
B.M.MUS.EDU.	17
B.M.	8
TOTAL	659

AGRICULTURE

B.S.AGR.	117
B.S.FOR.	20
B.S.L.A.	12
TOTAL	149

ENGINEERING

B.S.AGR.ENG.	6
B.S.CH.ENG.	15
B.S.C.E.	40
B.S.E.E.	25
B.S.M.E.	26
B.S.MET.ENG.	3
TOTAL	115

EDUCATION

A.B.EDU.	288
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BUSINESS AND ECONOMICS

B.B.A.	178
B.S.ACC.	158
B.S.B.E.	18
TOTAL	354

PHARMACY

DOCTOR PHAR.	7
B.S.PHAR.	50
TOTAL	57

ARCHITECTURE

B.ARCH.	43
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NURSING

B.S.N.	112
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ALLIED HEALTH

B.H.S.	52
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HOME ECONOMICS

B.S.H.E.	100
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SOCIAL PROFESSIONS

B.A.S.W.	72
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SUMMARY

GRADUATE DEGREES	632
PROFESS. DEGREES	272
UNDERGRAD. DEGREES	2001
TOTAL	2905

Graduate School

Dean: Winifred Calvia Royster

Doctor of Philosophy

ALEXANDER ALBINA SUE
ALEXANDER RONALD RAY
BAKER JOHN PATRICIA JR
BARGER BILLY JOE
CARPENT BARBARA CORLISS
CELL SAMUEL VIRGIL JR
ELAZ MICHAEL
GUTH FICHARD MELVIN
GUEVEDAN GRACIELA ELENA
GROVELAN ROBERTO ERIC
HARPER DONALD MARVIN
HICKHEAD JOHN DWIGHT
HUBBIS ANTHONY ELOON
CARSON CAROL FRANCES
CHEN TIN
CHURCH MICHAEL TORRENCE
COLLINS MARIA CASTELLANOS
CORNELIUS FRED ROUGH
DANSEY JOHN WALTER
DELOS VIRGIL ARCHIE
DENVER HAROLD YEHIA
DEMAON HARUN BIN
DUMAPATRA PIVA
ELUSSEY P DOUGLAS
EVENSOLE PAUL
FRANKLIN RICHARD K
GERLACH WALTER P
GILBERT PAUL ELLIOT
HARGOCK JOYCE ANN
HARRIS JAK WOLF
HARRIS SARA ELIZABETH
HOLT CHARLES E JR
HOPKINS SIDNEY FRANKLIN
HORN ELIZABETH REHM
HUANG YUNG FU
HUANG LUIS YEN
JOHNSON JON LEE
KANTARATANAKUL SOMSRI
KIM DONG IL
KIRBY CAROL BINGHAM
KIRBY STEVEN DARRELL
KITCH FOR NICHOLAS
KOSCHING PETER FRITZ
KASABESINGHU PRATEEP
KROU JAMES L

KULA THEODORE JOHN
LEUPOLD ROBERT JOHN
LIBBEY JAMES KEITH
LINDLE SAMUEL GREENE

MARION RODGER D
MARTIN GARY EDWIN
MASON MARGARET LUY
MCCONNELL ROBERT LEWIS
MILES JOHN PEFSTON III
MILLER DIANNE ELIZABETH
OZIL ERALP
PARSON STEPHEN DENNIS
PARSON HELEN EDNA
PATTERSON KENNETH WILLIAM
POST CARL JOSEPH
REED THOMAS EDWARD
REEVES JOHN MOORE
RODICK BENJAMIN EDWIN JR
ROOPCHAND BRIJMOHAN JAISINGH
ROSE BRUCE J
SALLEE JONEL CUPTIS
SARGER ROBERT AUGUST
SCHROEDER HANS GUENTER
SEELING RONALD LEONARD
SEKHARAN KARUTHETHIL KRISHNAN
SINBACK CHRISTOPHER NELSON
SMITH LAWRENCE JR
SMITH SARA FRANCES
SOOKHAKICH SERI
STERLING HARPY JOSEPH JR
STICKLAND THEODORE
STUART ROBERT LOUIS
TAKAHASHI KENJI
TAYLOR MARCIA LYNN WHICKER
THALHEIMER RICHARD
TIEMAN CHERYL RAE
TUCKER ANNE NICHOLS
WALKER WILMA JUNE
WALLS DAVID STUART
WATSON ANNETTA PAULE
WATTS JAMES MICHAEL
WEBB CONNIE CHRISTINE
WEBB THOMAS LEE
WHEELER MACEL MARTEVA
WHITEHEAD DAVID DUDLEY III
WOLFE EDWARD RAY
WOLFE RICHARD NEFF
WU SHEN JYH
YIN CHIH AN
YUHAN MARY PAUL
YUNG BETTY LOU

Doctor of Education

PAGE 1

ALEXANDER JOHN HUNT
ANG LOPEYA C
CLEMENT ROBERT JOHN
COHEN SHELLEY RUDD
FAROG STEPHEN WAYNE
FRYMAN JENNIFER CAYLORD
GASPER SARAH GILBERT
GRAHAM THOMAS AARON
GUTHRIE CHARLES SNOW
HESSELDENZ JON STUART
MCALIFFE CHARLEEN MARGARET
NEEDEN MARTHA ROSS
SCOTT LOWELL KERDRICK
SEAL KENNA RUSSELL
SIMPSON KAWANNA JO
SMOPE JOHN ALLAN
VERBLE MARGARET HADEN
WILKERSON FLOYD T
WITT PATRICIA ANN
WOLFF JOHN CAMPBELL JR

Doctor of Business Administration

AHERN JOHN THOMAS JR
BURNETT JOHN JAMES
COLE ROBERT TEMPLE COLE
DENNIS HERBERT LAWRENCE
ECKRICH DONALD WALTER
ELBERT ROBERT F
JORDAN JACKIE BILL
KEMDE WILLIAM JOSEPH
KNAPP GARY BURTON
MARTIN DALE FICHARD
ONKVISIT SAKCHAI
TOMCZYK STEPHEN HENRY
WINGLER TONY ROGER

Specialist in Education

ALBRECHT PETER JAMES
COMBETT FRANK LEE
GONZALEZ JOSE L
LEE YOUNG AI
VAIQUEZ ANIBAL
WHITE SHIRLEY MAYE

Master of Arts

ADAMS DOROTHY H
 ALLAWAY ELIZABETH BALLARD
 ALBRIGHT BRUCE GALLOWAY III
 APNETT VANCE EUGENE
 BARR DANNY RAY
 BARR KATHLEEN
 BENSON BARBARA ANN
 BERRIS PETER NEWARD
 BETTIZ DAVID JAMES
 BISHOP CYNTHIA GRAHAM
 BLACKWOOD DENNIS MICHAEL
 BLYTHE MARSHA ANN
 BOWLING NANCY JO
 BOYSEN CYNTHIA MARIE
 BRAMMER JACKIE DALE
 BRINES STEVEN FLETCHER
 BYASSEE WILLIAM SAM
 CAMERON SISTER JEAN
 CAMPBELL STANLEY RICHARD
 CATHER CONSTANCE CORIS
 COBB WILLIAM JEFFY
 COCANAUGH ELIZABETH LYNN
 COMBS CHRISTOPHER SWIGERT
 COTHRMAN STEVEN R
 CRABTREE GLORIA LYNN
 CRAWFS CATHERINE YANCEY
 CUADRA MIGUEL A
 CUMMINGS NORMAN A
 CURRY BRYAN TIMOTHY
 CURRY KAREN LEIGH
 DAUGHERTY DEBORAH A
 DEMOFAN ELIZABETH NELL
 DEWEAVER JOHN H
 DEXTER RICHARD WALTER
 DIGERIS HELEN A
 DODD JULIE EM
 CRISKELL ROYCE NORMAN
 EASTON KATHY JEAN
 FRAAS ELIZABETH MICHELE
 GASH DENNIS NEIL
 GIACCHITO CARMELO
 GRAY DENNIS CHARLES ANTHONY
 GREER NANCY CLAIRE
 GRIFFIS MARY ALICE
 GRIFFITH CHRISTINE ELIZABETH
 GRIFFITH HARVIN HARDY
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 CASPER BARBARA DARLENE
 CASTELLI STEPHANIE ANNA MARIA
 CHAMBLISS WILLIAM HOLT
 CHANDLER PAMELA KAY
 CHAPMAN MICHAEL LEE
 CLARK CYNTHIA DIANE
 CLARK MICHAEL AUGUST
 CLAY MARY VIRGINIA
 COLE EVELYN CAROL
 COLEMAN JERRY ECKINLEY
 COLLIER WAYNE FREDERICK
 COLLINS WILLIAM HOWARD
 COMBEST GAIL JEANETTE
 CONLEE MALCOLM MILLER
 CONLEY PATRICK CARTER
 CONNELLY MARY MELINDA
 COOK JOHN CHRISTOPHER

COOPER MARIAN RUTH
 CORNN ELIZABETH ANN
 CONDOREY ANN JANICE
 COYTE MYRTHA KAY
 CROPPER CAROLYN MARIE
 CRUTCHER DANIEL LOUIS
 CUMPER DEBRA ANN
 DANIEL JAMIE JO
 DAVEGA GENEVA POOL
 DAVIS JEFFREY KEPHART
 DEAN JAMES FRANKLIN
 DEATON DALE WILSON
 DEATON RANDY THOMAS
 DOKKIS WILLIAM EAKES
 DOSS DEBRA ANN
 DRUMM YVONNE LACHOIX
 DUFFY MARY BIAGI
 DUKE DONALD HOUSTON
 DURCAN CHARLES BRUCE
 DUNN LORETTA LYNN
 DUPONT SONIA ROBERTA
 DUVAL ROSEMARY
 DWYER SHARON GAY
 EGGER DOUGLAS A JR
 ERREI BARRY LEE
 ELLIOTT DEBORAH VANCE
 ELLICIT RUBIN SUE
 ELLIS NORMANDI
 ELAS ELENA GAIL
 EMERY DENNIS EUGENE
 ENGLE M SUSAN
 ERNEST ROBERT WAYNE
 ESTABROOK SUSAN GAIL
 EVANS DONALD LEE
 FAHRINGER ROBERT RUSSELL JR
 FATHBANKS MARGARET MARY
 FINDLEY GAIL DELLA
 FLANARY WALKER GRAHAM
 FOLEY MONTY NELSON
 FORD DORNA KAY
 FOSTER JAN LAURINE
 FOSTER WENDY ALLEN
 FRANKIE ELIZABETH ELLEN
 FREDERICK PATRICIA ANN
 GABBARD TERRY LEE
 GALL MARK ALLEN
 GALLOWAY LYNN ANN
 GARDES ELIZABETH ANN
 GARLING NORMAN TERRY
 GARRISON ROYALD EDWARD
 GIBSON MARY ROBERTA

GIBSON RALPH DOUGLAS
GIFFEN DOUGLAS PARTIN
GILLMAN PHILLIP MICHAEL
GOLDMAN CARYN AMY
GOODEN JUNA SUS
GOWER BARBARA CAROL
GRAFF RICHARD EARL
GRAHAM ELIZABETH ANN
GRAHAM JEAN ANNE
GRAHAM WILLIAM DAVID
GRANER MICHAEL DOUGLAS
GRAVES JOHN MCALISTER
GRAY EMILY STONE
GREEN MICHAEL KAVANAUGH
GREENWELL KAREN JO
GREENWELL NORBERT JOSEPH JR
GRIFF KAREN HOLMES
GRODIN DEBRA S
GUAGLIARDO NICHELE ANNE
HAGMOCK STEPHANIE ANNE
HARDIN JOHN OLIVER
HARPER RUTH MCKEE
HARTIS JANE STRATTON
HARRIS TERRY RAY

HATLEY DONNA LEE
HAYKINS KENDRA KAY
HAYDEN PAMELA KAE
HAYES STEPHEN LLOYD
HEAVIN DENIS PAUL
HEIKINGER TERRY MARIE
HEMER DONALD MICHAEL
HERDERSON RUSSELL ALBERT
HENRICKS JOHN MADE
HENKEL CHRISTIAN JOHN
HENRY BRUCE HILL
HENRY GEORGE EDWARD II
HENRY JANET LINDA
HENSON PATRICIA YVONNE
HERBERT WINDY ANNE
HESTER ROBERT WOODS
HISLE KAREN JO
HODGE REBECCA SUZANNE
HOLLINGSWORTH CLAUDIA JO
HUNTER PATRICIA ANNE
INGRAM PATRICIA ANN
JACKSON SUSAN M
JEFF ELIAS L
JONES ROBERT JOSEPH
JONES MELINDA ANN
JORDAN SUSAN CATHERINE
KASTLAYGE KENNETH STEPHEN

KEAM PETER THOMPSON
KEELEY CLAY MCCALL
KEIPER KEITH RICK
KELLERMAN ROBERT WILLIAM
KELLEY RUSSELL ALAN
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KENNALLY KATHLEEN ANN
KENNEDY MARTHA K
KIDWELL JUDITH ANN
KINNEY SARORA LOUISE
KNECHT PHILIP WYLIE
KREWSON PAMELA JOAN
KRUEP CATHERINE ANN
KUCUKCETIN ASUMAN
KONZE JANEY LEE
KYSER JOHN G
LACY BRADLEY DAVID
LAFLECHE CATHERINE M
LANGHEIM SHEILA JOYCE ANN
LASLEY JACQUELINE KAY
LAWRENCE GEORGE MICHAEL
LEE CAROLYN ALICE

LEE JAMES MICHAEL
LEWIS BETH ANN
LITTLEJOHN JAMES ROBERT
LIVINGSTON GARY WAYMOND
LOFTON ROGER WAYNE
LOUNTZ PATRICIA JEAN
LOWE BRENDA GAIL
LUCKE JAMIE DAY
LUCKER WILLIAM KENT
MACKAY PAMELA ELLEN
MAGGARD RICHARD DEAN
MARTIN DOUGLAS STEPHEN
MALLERCE STUART WAYNE
MARIN CAROL FINN
MARISER REBECCA LYNN
MARPLE KAREN LYNN
MARSHALL JOYCE J
MARTIN JACK MEREDITH
MARTIN NANCY LOU
MARTIN RICHARD ALAN
MARTIN RICHARD CALVIN
MATECHET CAPEN LANE
MCCARTY GREGORY DENNIS
MCCOOL J CHRISTOPHER
MCCORMICK GEORGE EDWARD JR
MCCULLLEY SANDRA LEE
MCFARLANE JAMES BURTON
MCKEE KATHLEEN ANN
MCKREE PATRICIA ALLISON

MCKAY JULIE KAREN
MENE TONI MARIE
METRY JAMES ALBERT
MILLER ANDREA OTT
MILLER JAMES THOMPSON
MILLER JOHN HENRY
MILLER MARY ELIZABETH
MILLER TERESA LEE
MILLER THOMAS EVAN
MINK SARAH JANE
MITCHELL SUSAN GAY
MOORE MARY CATHERINE
MOORE FOGER ELWOOD
MOORE SARA SCOTT
MOORE TOMMY DAVID
MORRIS CATHERINE ELIZABETH
MORRIS VICKY LYNN
MOUNTS JOHNNY HARVE
MUDD DANA MARIE
MUECH BARBARA ANN
MURPHY DIANNA D
MUTCHLER PATRICK DOUGLAS
MYERS URSELA H
NEEDLEMAN PERRY LEWIS
NELSON KAREN JEAN
NICKELL GARY JOE
NICKELSON MARY RUSSELL
NIEMEYER LAWRENCE WILLIAM
ONEILL EILEEN ALICE
ONEILL PATRICIA LYNN
OSBORNE MARSHALL DOUGLAS
OWEN LARRY JAMES
OWEN MARTHA JEAN
PARKER R THAD
PATCH ANNE KENDRICK
PAYNE SANDRA HOPE
PELLE RUSSELL S II
PENINGER GEORGE A
PEYTON MARCUS LYNN
PHILLIPS CHARLES DILL JR
POSANTE V LYNN
PRESTON SUSAN CAROL
PRITCHETT MARK STOLL
RAISLE PHILIP L JR
RAY JENNINGS MUGH
REWMINE ANGELA DENISE
REES JAMES GRENMAN
REYNOLDS JOYCE LYNN
RHODES JOHN DAVIDSON
RIGGARD JILL RILEY
RIDDLE MARGARET LANE

PIONER ROBERT GARY
 RIGGS NICHOLAS WILLIAM
 RILEY PEGGY CORNETTE
 RINGS DONALD A JR
 RIPLEY JAMICE MARION
 RISNER RENE EVERSON
 RIX JONATHAN ALAN
 ROBERTS EDWARD PORTER JR
 ROBERTS PAULINE EDITH
 ROGERS JACKIE GAYLE
 ROGERS MARY KAYE
 ROGERS WILLIAM ROBERT
 ROSS PAMELA GAWN
 ROSENBERG GEORGEARN VICTORIA
 ROSS JEANNE CATHERINE
 ROUNTENBERG SAM S
 ROWELL ROBERT ANTHONY
 RUEHLING KATHRYN LOUISE
 SCHROEDER LINDA MARIAN
 SCHUELER ROBERT LEE
 SEARS ROBERT LEE
 SEBASTIAN RUTH ANN
 SESSA GALE FRANCESCA
 SHANNON WILLIAM PATRICK
 SHAWLEE CAY FRANCES
 SHELTON MEGAN ANN
 SHRADER KENNETH RAY JR
 SIMMS RICHARD DERLIN
 SINE DALE CHRISTY
 SINGLETON LEYA SUE
 SLUSHER BRUCE WAYNE
 SMITH CATHY ANN
 SMITH JUDITH ANN
 SMITH MARKALAN THOMAS
 SMITH RANDALL NEAL
 SMUCKER RAYMOND ELLI
 STALEY JERRY WAYNE
 STAPLETON CHARLES ROBERT
 STEVENS RAYMOND MARTIN
 STIVERS SUSAN MAE
 STRONG WILLIAM RICHARD JR
 TANNER MARY L
 TAYLOR RICHARD WITHERS
 TAYLOR STEPHAN LANCE
 TAYLOR WARREN ANTHONY
 THOMPSON NEIL CARL
 TOMKINS MARK STEVEN
 TRAMMELL CUNIE ROSE
 TRISLER FRANK STEWART
 TULLY LIBBETH ANN
 TURNER JEFFREY STEPHEN

VANMETER BARBARA JEAN
 VAUGHAN WILLIAM GUNNING
 VOGEL JOHN ROBERT
 WALLINGFORD DANA VINCENT
 WALLINGFORD JOSEPH WHITNEY
 WARD DAVID HEATH
 WARFORD REGINALD GARFIELD
 WARNER JULIENNA ANN
 WARREN CLEMA MAUREEN
 WATKINS MYRIA
 WATSON BRENDA JOYCE
 WATSON MARK TEMPLE
 WATZ JOHN P
 WEBB DAVID RANDALL
 WEDDLE THOMAS MARTON JR
 WEINFURTH KENNETH C
 WELLMAN DEAN THOMAS
 WELLS MICHAEL EARL
 WEST WILLIAM BYRON
 WHEELER THOMAS A
 WHITAKER GENEVA BRADLEY
 WHITE JORIN
 WHITE LILLIAN JOAN
 WHITE LINDA CAROL
 WHITEHEAD DANA PATRICE
 WILKIN CARDACE ROSE
 WILLIAMS LESLIE JOSEPH
 WILLIAMS MELINDA MAE
 WILLINGER DIANE MARIE
 WILSON JEFFREY ALAN
 WILSON MICHAEL LEE
 WOLFE KATHRYN ELAINE
 YOUNG REBECCA
 YOUNG RUTH DALE
 ZEHNDER PHYLLIS LEE

ALGOOD CHESTER BENNETT
 AUVIL SANDRA JEAN
 BABCOCK WENDY MARIE
 BACK WAYNE DOUGLAS
 BARBARA THOMAS MICHAEL
 BAKER WILLIAM FRANK JR
 BELL JOHN C
 BENNETT DAREYL ALAN
 BERTRAM ROBERT ALVIN
 BLAIR EARL DAVID
 BORDERS JANET LYNN
 BRADLEY REGINA ANN
 BRASHGAR ROBERT BYRON
 BREEDING LYLE GARY
 BRICKEY DAVID ROBERT
 BRYAN MARK EDWIN
 BURCHETT SAMMIE PRESTON
 BYRNE STEVE E
 CATRON CHARLES WAYNE
 CAUDILL CHARLES ABEL
 CAUDILL JOHN LEE
 CHORNING MARGARET ANN
 CHRISTIAN MICHAEL ALLEN
 COCKE MICHAEL RICHARD
 CONLAN JAMES KENNETH JR
 COOK DONALD JAMES
 COOPER WALLIFF W III
 COTTON DOUGLAS VAN
 CRECELIOUS JEFFREY L
 DAFFRON JOANITA SUSAN
 DALTON STEVEN ALLEN
 DEMAPIA DAVID PAUL
 DRAKE ROBERT GRAHAM JR
 DUFF KEVA CAROL
 DUPONT MARY CATHERINE
 DUVAL MICHAEL LONARD
 ELI RANDALL CODY JR
 ELLIOTT STEVEN JAMES
 FITZHUGH DAVID LEE
 FORD GERALD THOMAS
 FORKSTER REBECCA L
 GAY TAMARA LOU
 GLOCKNER JAMES MARTIN
 GLOBERG HEROLD EDWARD
 GORMAN WILLIAM LOUIS
 GREENLEE THOMAS HOWARD
 GRINDLES CLIFTON BYRON LONG
 GUSLER CHARLES GORDON
 HALLADAY JAMES ROBERT

HAMEL SHERRY SUE	ROBERTS MARTHA
HAMMONS JOYCE MAXIE	ROBERTS SANDRA LUCILLE
HARTS JERRI CHRISTIAN	ROLEDER DAVID FRANCIS
HAST WILLIAM FREDERICK	ROSS BEN GATEWOOD
HILB JOHN WYATT	POWE LARRY ALBERT
HOLMES VALERIE FRANCES	RUH ROBERT JR
PORTELL JAMES BLAITH	SARKHIL ESMAIL
HURT ANDREW DAVID	SCHMIDT JAY JOHN
JACKSON JOHN EDWARD	SCHULTE WILLIAM JOSEPH
JACKSON JOHN RICHARD	SCHWAN KRISTINE MARTHA
JACKSON LOIS JANE	SIZEMORE FRANK RAYMOND
JONES DIANA LYNN	SLUCHEX CATHERINE LUCIE
JOSEPH DIANA ANNE	SOAFD JERRY NEIL
KARPER MARK JOSEPH	SOULEAU TRASTMOND DANIEL
KEISKER KRISTIE LYNN	STEINER SUE ELLEN
KEVIN KEVIN JOSEPH	STEINLAGE JEANNINE LOUISE
KEY ALEXANDER FRANKLIN JR	STILES BARBARA LYNN
KIRKPATRICK DELZES KAY	STORGILL DUELL LEE
KNIGHT STEPHEN LEE	SUNNER DEBRA LYNN
KUENH RICHARD GALE	SWAN JAMES STEELE
LAHLER GERALD STUART	TELLER MADELYN BETH
LEVINSON LINDA CARON	THOMAS CECILIA LYNN
LEWIS PHYLLIS ANN	THORN JANICE ELLEN
LYTZINGER GARY ALAN	TODD THOMAS ALLAN
LOVEJOY STEVEN ARNETT	TUNSTALL STEPHEN L
LORAY THOMAS ANDREW	TUNSTALL VICKI LEE
LUCKETT WILLIAM LAWRENCE	UHLENGROCK ROBERT JOHN
MARTIN WILLIAM REDMOND	VANIT JEFFREY LYNN
MCCOLLUM JEFFERY LYNN	VARI RICHARD CHARLES
MCDERMOLD TERRY ANTHONY	VALK KURT ROBERT
MCCORMIE BILLIE SUE	WATERHOUS DAVID VINCENT
MIDKIFF DAVID VALVA	WAUGH RUSSELL W III
MILPURN CAROL ANN	WEISER HARRY CARL
NEEL JANET ANN	WHEELER CHARLES PHILLIP
NELSON DEBORAH SUSAN	WILSON JAMES RUSSELL
NEWBOME MARY HELEN	WILSON RONALD RHEA
NOSS GLENN RICHARD	WILSON STEVEN KURTIS
OLIVER JAMES RUSSELL	WYSEMAN EVE JESSICA
OWENS MARK LANE	WOLCOTT JAMES DARWIN
PARKER PAMELA ELAINE	WOLLEY DAVID KAEHLSON
PARCOTT MARK LEE	
PORTER LAWRENCE ALTON	
PRATER HERBERT DOUGLAS	
PRIDLE BRIAN KEITH	
RAUCLIFFE BRIAN JOHN	
RATLIFF PEGGY LEE	
RHODES DAVID KENNETH	
RISTRA JUDY CASANOVA	
RIVALS FRANK KARL	

ANDREW BARBARA ANN	
AROLD GEORGE MARTIN	
ARVIN AUGUST VIRGIL	
ASHLEY SARAH CAROLYN	
AUSTIN C BRADFORD	
BATE GEORGE ANTHONY	
BARNETT RON LEE	
BATCHEL JOHN ALBERT	
BAYGHMAN LINDA YOUTSEY	
BEAN ROBERT JOSEPH	
BENNETT JARVIS LLOYD	
BLEDDY BRADFORD CHESTER	
BOGESS KAPEN ALTA	
BORMAN KENNETH LEE	
BRADLEY GORMAN JUNIOR	
BRIGHT LLOYD DOUGLAS	
BRUANE JAMES MORRIS	
BUCHANAN JOSEPH CLIFFORD JR	
BULEW WARREN BRADLEY	
BYERS MARY KAY	
CARTWRIGHT LARRY STEVEN	
CHEWNING SAMUEL JACKSON JR	
CLEVIDENCE KENNETH F	
COBB WILLIAM PHELPS	
COLLINS BYRON LEE	
COMAS JAMES ARNOLD	
COMTI JUDITH	
COTTERILL CHARLES T	
COUNTS WILLIAM F	
COWLEY JOHN PATRICK	
CRACE MICHAEL L	
CUNNINGHAM TIMOTHY JAMES	
DAUGHERTY JAMES MARTIN	
DENPSEY MARK EDWARD	
DIKON RICHARD DARNYL	
DRUTZ MARK WILLIAM	
DUE THOMAS MARK	
ECKSTEIN MICHAEL LEHMAN	
EMMONS STEPHEN MONROE	
ENGLERT DEBORAH MARIE	
FEATHERS TERRY WAYNE	
FLOWERS ROBERT LYNN	
FRANKLIN JAMES FREDRICK JR	
FRIEDMAN JANE	
FRITSCH LYNN HELEN	
GILBERT ELIZABETH MCNEE	
GIUSIFFI GERARD JEROME	
GUEDES KAREN ELAINE	
HADLEY BART MAXIE	

Bachelor of Music in Music Education

ANGEL LORRAIN WILSON
BAKER BOBBIE SUZANNE
BOYD WILLIAM STEWART
EAKIN WESLEY FAY
EARY CLAIRE MARIE
GARDNER JOHN ROBERT
GARRISON JOAN GAIL
GRAHAM LUANN ELIZABETH
HOGUE ANN STUART
KELLY SUSIE JANE
LOPER WILLIAM ERNEST
MCDONALD KAREN LEIGH
MEADOWS KENNETH ALFRED JR
MODERLY PAULA RUTH
PEAVLER VICKI LYNN
SHOCKEY BRUCE EDWARD
WALTHER CAROL RAE

College of Agriculture

Dani: Charles Elmer Bandhart

Bachelor of Science in Agriculture

ADAMSON ALBERT MELTON
AKRIDGE DAROLD JAY
ALEXANDER CHARLES GERARD
APPLEMAN BARRY L
ASHBY JOHN TIMOTHY
BAKER RANDACE KAY
BALLES JOHN AUGUST
BARNARD RANDIE GLENN
BLACK ROBERT FRANKLIN
BLACKBURN DENNIS LEE
BOWLING ROBERT DAVID
BREEZE DAVID LEROY
BROWN ANN ASHBY
BROWN DAVID PRYCE
BROWN GERALD DOUGLAS
BUPDETTE WINSTON RUSS
BUSH JOHN DARNELL
BUXICH SUSAN LYNN
CAUDILL TOM EDGAR
CHAMBLER GARY WAYNE
CHARTERS THOMAS A

RIDGE JOHN STEVEN
ROBERTO MARK SALVATORE
RUCH JAMES FRANCIS
SAYLOR PAULA LYNN
SCHWARTZ JEFFREY MARSHAL
SHARPS MARGARET RUTH
SHAW KATHLEEN MARY
SHAW WILLIAM JUDSON
SHERBOURNE VALERIE RUTH
SIEGEL JULES MURRAY
SIMPSON JAMES SMITH
SMITH CHARLES LOUIS
SMITH HOWARD KRAMER
SMITH LINDA MARCHAND
SOLOMON FRANCIS S
SOUCEY COLIN KEITH
STEFANOVICH STEFAN DALE
STEINER LAUREL ANNE
STEPHENS MARY ALMA
TATTERSON JOHN WESLEY
THIEL THOMAS ALBERT
THORP FRED LILLICK
TRUE VICTORIA BEAUCHAMP
TUMBIRK JO ELLEN
VERMILLION KENNETH JOSEPH
VERSAR MARGARET JANICE
WACHS WILLIAM WARREN
WALKER VICTORIA
WALTON JOHN MAXEY II
WANDER GREGORY PRICE
WEEKS SANDRA LOUISE
WELLS GEORGE KEITH
WHALEN CHARLES ELDRIDGE
WILLIAMS DAVID MICHAEL
WOOD STOCKTON DOWER
WRIGHT KIM WESLEY

Bachelor of Music

BOGGS STEVEN PARKER
COLBERT JOSEPH ROBIN
KOSSODO CHRISTINE MARIA
MARTIN REBECCA DENA
MURPHY GORDON CHITTENDEN
OTIS MOLLIE ANN
SIVIS LARRY BRUCE
THOMPSON GRENGLYN RAE

HAMILTON BARBARA ANN
HAMILTON JAMES BYRON
HAMMONDS JERLA ANN
HAYS PEGGY J
HEIN JEANNE RUTH
HILL LARRY DEAN
HOWARD MARY PATRICE
HUDSON BARBARA ANN
HULBERT ANNE ELIZABETH
HURST CHARLES JUDE
HURT STEPHEN DOUGLAS
JACKSON ALAN WENDELL
JACKSON MICHAEL GRAYSON
JONES PETER W
JONES SUSAN MARGARET
KIELKOPF LARRY ALLEN
KIRKOR KENNETH C
KIMMEL MICHAEL JOSEPH
LACKEY MARCUS HUGHES JR
LAWSON PHILLIP RICKY
LEATHERS PATSY KAY
LEONARD GARY EDWARD
LEWIS JOHN THOMAS
LUGER CAROL ANN
MAIER ANN T
MALONE MICHAEL DAY
MARCO GREGORY LEN
MARTIN GEORGE WESLEY III
MC DANIEL JAMES ALLEN
MCDONALD KENNETH GERALD
MCGINNIS JAMES MICHAEL
MCKIERNAN PEGGY ANN
MCNEELY JAMES OLDHAM
MCNUPPY WILLIAM FLETCHER
MEAGHER ARTHUR MICHAEL
MEINZE MARK ALAN
MILLEN PHILIP GALT
MOFFETT GLENN DAVIS
MOORE JEFFREY LOWE
MURPHY DENNIS KAVIN
NASH CONNIE LYNN
NELMS MICHAEL WAYNE
NICKELL THOMAS REESE III
ORAY JIMMY WAYNE
PARBISH GABRIEL MICHAEL
PARSONS MURIN LYNN
PARDON CARL THOMAS JR
PEASER MARY COLLEEN

Bachelor of Science in Forestry

CHESTER KATHLEEN VAUGHAN	MCARDIEL DANNY NEAL
CLEMENTS PATRICK JOSEPH	MCDONELL DONALD L JR
CLEVELAND DAVID ROGER	MCGLONE JAMES O
COLLINS KATHLYN L	MCKELVEY JERRY JOHN
COOPER JAMES D	MILES JOHN WILSON
CRITZER MARGARET ELIZABETH	MORRICH BEVERLY ANN
DANHAUER STEPHEN C	MONTGOMERY DARYL EDWIN
DARNELL STEVEN WAYNE	MORAN JOHN MICHAEL
DAVIS GARY EUGENE	MORGAN DONALD LEE
DAVIS TOMMY JOE	NEVILLS ANN TYLER
DELUCH DANNY RAY	PARBETT THOMAS ROBERT JR
DELLERMEYER DEBORAH LYNN	PATTERSON KARLA SUE
DRISCOLL KATHLEEN MARY	PEASLEY ELDA LAVERNNE
DYKES MICHAEL DEE	PEEL DAVID MICHAEL
FAUCOURT WILLIAM J	PFOFF DEBRA FAITH
FISCHER WILLIAM JOSEPH	RADEFORD ROGER WINDELL
FORSYTHE LISA GAIL	RAHSEY MARY LYNN
FUCHS VALERIE ANN	RICE DONALD RAY II
GARTHEE WAYNE VINSON	RICHARDSON GERALD ALAN
GAZUM KATHLYN ANNE	ROCK JIMMY DALE
GERBOS TERRY CLEL	SHEAPER GORDON RAY
GOITEIN DEBRA ANN	SHOFFETT ROLLIN MICHAEL
GRAY EVELYN F	SHYJKA JENNY LYNN
GREATHOUSE THOMAS BUCKLEY	SMITH DENISE ANNE
GAUGES BILLY SMILEY	SMITH GEORGE CLARK
GUSAS JAMES MICHAEL	SNYDER MARTHA JANE
HARRINGTON RICHARD GERALD	STENBERG LARRY DAVID
HARRPENG KEVIN A	STEPHENSON DAVID LEE JR
HARR KENNETH CARL	STRAIGHT MARY ANN
HILL HORACE EDGAR	STRINGER KENNETH LEE
HOLDS DAVID CHARLES	SUCHY MICHAEL EDWARD
HOWARD JULIAN JOSEPH III	TAYLOR ROGER GARRETT
HUFFMAN ROBERT MARION JR	THOMAS JAMES RICHARD
ISRAEL VALERIE TAYLOR	THOMPSON WILLIAM RUSSELL JR
JACKSON JAMES J	THOMPSON MICHAEL JOSEPH
JOHNSON CHARLES S	UNDERWOOD WILLIAM VANARSDALL
JOHNSON EDWARD PARVIN	VANSLYKE LAURA JOY
JOHNSON ROBERT LOUIS	WASHER DENNIS RAY
JOHNSON WILLIAM WICKLIFFE	WATSON CHARLES L JR
JOHNSTON SANDRA K	WESLEY JAMES THOMAS
JONES COLETTA JUNE	WILLIAMS GLENN MAPVIN
JONES MARGARET ANNE	WILLIAMS JORGA HABEL
JUREK FREDERICK THOMAS	WILSON MAX KENNETH
KAPP FREDERICK JOSEF	WILSON TONI ALICE
KESLEY MICHAEL JOSEF	WOLFORD RICHARD THORP
KIPP JAMES WALKER	
KING DEBORAH CARY	
KING MICHAEL ALCYSIUS	
KOENEMUEFFER ELIZABETH ANN	
LAVIN DEBORAH ANNE	
LYNN RICHARD KEITH	
MCOSLEY RICHARD	

Bachelor of Science in Landscape Architecture

DYER PATRICK ALAN
FAULKNER RALPH FREDERICK
FUGATE MICHAEL LEE
GARDNER WILLIAM CUMMINGS JR
GRAY JERROLD FRANKLIN
HAM WINTIE JAY
HENKEL WILLIAM LESLIE
HUGHMAN MARK ERIC
HORNER JACK LEO JR
MALLORY CHARLES JOSEPH
MILLER LEO ANTHONY III
SUPER RICHARD PATRICK

College of Engineering

Acting Dean: Roger Eichhorn

Bachelor of Science in Agricultural Engineering

CARPENTER RODNEY WILLIS
GOGGIN WALTER LEE
HAZLE TERRY WAYNE
JUEIT JOHN MARK
KEY STEVEN CRAIG
SIZEMORE STANLEY WADE

Bachelor of Science in Chemical Engineering

AGUIRRE AUGUSTO FRANCISCO
BERNUNG DANIEL LEE
PLEVENS KIMBERLY THOMAS
CARTELL JAMES E
EVANS JOEL FRANKLIN
FLANNERY DAVID ALLEN
GOLDENRAY PHILIP GENE
HILLS JERRY LEE
JUSTICE WILLIAM HENRY
MORRISON LOREN ROBERT JR
PATTIN LEE REYNOLDS
PATTIN BRADLEY DAVIDSON
SIMPSON WILLIAM THOMAS
SPARKS DENNIS EUGENE
THOMAS RONALD LEE

Bachelor of Science in Civil Engineering

BAILEY PAUL DAVID
BARNELL WILLIAM LEE
BRANSON TERRY KENNETH
BURNS RAY EDWIN
BURTON JAMES LILBURN
CAMPBELL JOHN MARK
CAMPBELL ROBERT HILL JR
CARTER COLEMAN DAVID JR
COLEMAN RUTH ANITA
CRAN WILLIAM PEITH
DAVIS KENNETH WAYNE

DELAPE RICHARD CHARLES
ESTES DAVID EDWARD
GRAY IRA McDONALD
HARACA MATHEW ARTHUR
KARRISON ROBERT MICHAEL
HAYES EDWARD VERNON
HEPMAN DAVID COLBERT
KING LARRY JAY
LEWIS ROBERT CLAY
LUCAS PHILLIP
MAGGARD DANNY STEPHEN
MORRIS WILLIAM LEE
OWENS BILLY DUANE
PHIPPS WILLIAM
RAYMER GARY W
RENAUD HAROLD RUSSELL
FOWLAND JOE H JR
SHAHRVINI BAHRAM
SILER WILLIAM KENNETH
SKRYPEK ROBERT A
SOWARDS GARY GORDON
TACKETT RANDY L
TAYLOR KENNETH DALE
UGUIOLA JAVIER ANTONIO
VIRGIN MARVIN LYNN
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KRISMER ROBERTA LOUISE

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SIMS SHERIDAN LEE
SMITH ALEXANDER MACDOWELL III
SULLIVAN ALAN BRUCE
THOMPSON CHARLES TIMOTHY
TUCKER ALFRED DEAN
VANBUCCART DONALD LEE
VANMETER LUCIE TALBERT
VOELKER STANLEY C
WELLS JOHN RUDOLF III
WILLIAMS ERIC CURTIS
WILSON BRUCE H
WOLCZYK FRANCIS JOSEPH
WOODARD KEITH OTTO

College of Nursing

Dean: Marilyn E. McKenna

Bachelor of Science in Nursing

AUSTIN JOAN BARR
BARKEMPER GAIL ANN
BARTON BARBARA LYNN
BARTON MELANIE ANNE
BASANTA CAPLA MARIE
BECKER FANNY PAULA
BLACK PAMELA JEAN
BOKER GLORIA GENE
BORMAN KATHLEEN SHERMAN
BROWN SYDNE MICHELLE
BUCKMASTER JEAN MARIE
BURNS JONISE FRANCES
CALDWELL GRACE ELIZABETH
CAMBKON PAMELA JEAN
CHAMBERS CARIN COLETTE
CHROMIK KATHLEEN ANN
CLEMONS TERESA MARIE
CORUM REBECCA RUTH
COTTON MARTHA ANN
CURRAN BETSY ANN
DAVIDSON DEBORAH ANN
DAVIN MARYBETH KENNAPER
DEFILIPPO JANICE S
DUFSEY MARIAN ELAINE
DUNCAN SUSAN LYNN
DURHAM ANN HADLAND
EMRICK JACQUELYN LEE
FENWICK JEANNE FRANCES
GAUBUIS DONNA JUNE
GAUQUE KATHLEEN ANN
GIBBS ALICE ELIZABETH
GLASSCOCK SHERYL LYNN
GODDIN ANN LOUISE
GORDON ROSEMARY
GRAVES GLENKA LAURA
GREEN MARY LOUISE
GREENE ANNE PIKE
GREENE JAN ALLISON
GRUBBS VICKI LYNN
HATFIELD PAE CAROL
HELMER PATTI ANNE
HODGES SUSAN MARIE
HOPKINS MARIANNE
HUGHES ANN MIDEELTON
JACKSON JANILE STURGEON

STEFANSKI KAREN ANN
STEPHEN CAROL LYNN

STOLTZ SALLIE JANE
STREET LEE ANN
SUTHERLAND RUTH ANNE
TAYLOR ELIZABETH ANN
TOLIN CATHY SUE
TUPPING JILL ALICE
TUCKER HELEN REGINA
TUPMAN LYNN JEANETTE
VANDER SUSAN ROSEMARY
WALKER SHARON MARIE
WERNER LUIS FAYE
WHITE LINDA GAIL
WILLIAMS PAM ELIZABETH
ZUCAL CYNTHIA ELLEN

College of Allied Health Professions

Dean: Joseph Hamburg

Bachelor of Health Sciences

ANDERSON CYNTHIA CAROL
BASTIN DEBORAH JO
BLOOMMAN MARTHANNE
BUCKE MARIAM CAROL
BRESLER ISABELLE WILSON
BROWN TERRY DALE
CAMPBELL DENNA SUE
CHERNUSKY WINDY ELAINE
CLARK MARY LAUSING
CLARKE ANDREW GUILD
COMPTON JOYCE CRUMBACKER
COSTICH SUSAN MARIE
DECKER BONNIE LYNN
DEJA CLAUDIA MARY
DENNY DEBRA LEE
FOX REBECCA WALLACE
FRAY DENISE GAYLE
GAITSKILL SPRANNE
GRAS CATHY ANN
GRAYSON JARA PHILLIPS
HAGAN FOR B
HALBERT SHIRLEY ANN
HARBOR MARY FRANCES
HARTY DEBORAH ELLEN
HOLBROOK MARY TERESA
HUFFAKER MICHAEL JOHN
KIRCAID JO ELLEN

KOLPAK ANNE MARIE
LAUSTEN NANCY BETH
LINDER JUDY EVA
LYNCH LINDA MCUMFIELD
LYONS MARY ALICE
MCGLINNEY PEGGY LEE
MCLEUGHLIN MARY COLLEEN
MILLER PARDI LYNN
MILLICAN SUE LYNN
MOORE DEBORAH LYNN
MOORE ROBERT LITTLE
MORROW MARGARET LESLIE
OBERMARK SUSAN LYNN
PHILLIPS REBECCA MERLE
PUCKETT LUCILLA GAIL
ROBERTS TERRY WAYNE
SCHMITT KAREN MARIE
SMITH ANNE KICK
STEIN HERMA JAYNE
SWART JANET STOEES
THARP CATHERINE LANCASTER
TOLLE SUSAN LYNN

WADE GREGORY DOYLE
WEINER GAIL ANN
WILKINS TERESA LYNN

College of Home Economics

Dean: Marjorie Stewart

Bachelor of Science in Home Economics

ABBOTT BARBARA CAROL
BAILEY DIANA LEE
BARKER DEBRA LYNN
BEARD LILLIAN ANN
BENNETT SUSAN LANG
BURLAND LAVIN
BROOKS MARY LYNN
BRYAN LINDA JEAN
BUCKNER VICKIE CLARA
CECIL TERESA A
CLIFFORD DEBORAH LORRAINE
COLEMAN LESLIE REGINA
COOPER ELLEN THOMAS
COUGHLIN KATHRYN ANN
CLX ANN ELIZABETH
CRAFT JENNIFER
CRAFTON PAULA MARITA

CRORUS RHONDA JEANNE PAGE 21
DAVENPORT LINDA FORD
DESIMONE LUCRETIA ANN
DICKINSON ANNE ELIZABETH
BUCKETT SUSAN NICHOLAS
DUNCAN DEBRA MONTGOMERY
EVANS HOLLY PENE
FEATHERSTON BRENDA JEAN
FOUST MARJIE LYNN
FRANCIS MICHELLE ANN
FREEMAN ANGELA ELISE
FREEMAN CATHERINE MARIE
GARDING LAURA BRIDGON
GAUSEPOHL ERIC VAUGHN
GIBSON MARY ELIZABETH
GOODIN MARGARET ELINOR
GORE PATRICIA ANN
HAILE CYNTHIA ELLEN
HALL PHYLIS JEAN
HAMILTON GENEVIEVE
HEISER JOANN
HELFBERGER GAYLYN
HELLMANN CHERYL ANN
HILL DEBORAH CONNY
HILL JANET SUE
HOLMES CYNTHIA LEE
HOMBERGER PAULA D
HOPKINS NANCY SUE
HOWARD SANDRA MICHELLE
HUDSON GRETTA LUKRAINE
HUNTSMAN MARTHA
IPITZ THEODORE INTZ
JACKSON PAULA GAIL
JENKINS JOAN MARIE
JONES CYNTHIA ANNE
JONES MARCIA LYNN
KNIGHT CASSANDRA ELLEN
KIRKER NANCY COZETTE
KING CAROL JEAN
KING PAMELA ANN
KNIGHT BETTY J
LACKEY REBECCA ANN
LAMOND LAURA D
LEGER NANCY ROSE
LIVELY PATRICIA GAIL
MARTIN YVONNE ANN
MAXWELL NANCY CAROL
MCKENZIE PAMELA SHERPY
MERCEP LYNNIE PATRICIA
MILLER EMILY ALLISON
POLINA FENE J
MARGIE WANDA JEAN
MORTON KATHRYN EVELYN

MUELLER SUSAN LYNNE
 NEWCOM ANGELA
 OKEEFE CAROL ANN
 PERAY JANICE SUSAN
 PLUNKETT PENNY SUE
 POLICK MARY HELEN
 RADDEN CAROLYN ANN
 RESCH SANDRA CHRISTINE
 REYNOLDS RHONDA
 RINEY DONNA JEAN
 RINEY MARTHA JEAN
 SHANKS SUSAN JANE
 SMITH JACQUELINE WINBURN
 SMITH PRISCILLA LYNN
 STROM DONNA JANE
 THOMPSON MELCOY LEE
 THOMPSON VIRGINIA LOU
 THORNTON BRUCE EDWIN
 TOFLINE PAMELA RUTH
 TUCKER KITTY LYNN
 VAUGHAN REBECCA
 VINCENT MARY SUSAN
 WASHINGTON ERNEST ROY
 WATTS CHERIE LYNN
 WELCH CYNTHIA LOUISE
 WILLIAMS SANDRA STEWART
 WILSON BETTY SUSAN
 WRIGHT SANDRA JO
 YAHNE DEBORAH JO
 ZACKO COLLEEN ANN

College of Social Professions

Dean: Ronda S. Connaway

Bachelor of Arts in Social Work

BALLARD IRENE
 BARAGER MARCIA VANDYKE
 BARRETT MARIANNE
 BREWSTER CORINNE ANN
 BROUMAS LISA DIANE
 BROWN CHRISTINA LOUISE
 BURGER MARTHA CATHERINE
 CAMPBELL DOROTHY ANN
 CAMPBELL MARY LOUISE
 CARTER FRANCIE WADE
 COY LARRY DEAN

COY PAMELA JOHNSON
 CRON MICHAEL ALLEN
 DAVISON ROBERT PAUL
 DEMARCO CLAUDIA ANN
 DUNBAR DEBORAH LYNN
 ELLIS WILLIAM GARNER
 ESSIG DEBORAH GAY
 EVELAND DIANE ELIZABETH
 EVERHARD LINDA SUE
 EVERSOLE LAURA LEE
 FLEWELLING RICHARD CORLISS
 FLOORE DOUGLAS EDISON
 GABEL ANDREA SUSAN
 GAFLING SHERYL KAY
 GAY MARYLYN BRADDOUS
 GERSTLE MARY LOUISE
 GHOLSON BRENDA RUTH
 GRAY DEBORAH JEAN
 HARRINGTON NADA ANN
 HEIDENREICH JOSEPH A
 HERMAN LINDA LIGHT
 HILL OMAH KAYE
 HORNE KEVIN MICHAEL
 JOHNSON SHELBY EDITH
 JOY JULIE ANN
 KEY GARY B
 LINVILLE MARY PAT
 LOGAN MARY KATHLEEN
 LONGACRE JODY LYNN
 MABLEY FRANCES LISA
 MCCRYSTAL DONNA DENISE
 MCVEY ROSEMARY
 MEEHAN PAT J
 MINDRUP ANITA MARIE
 MITCHELL KATHARINE ANN
 NEWCOMB DEBORAH JOY
 PANTHER JANE ELLEN
 PATTERSON KATHLEEN DUNN

PATTIE MARGARET
 PORTER JOHN MICHAEL
 PRATT NANCY DIANE
 RABUN SALLY ADAMS
 RADCLIFFE DEBRA JAYNE
 RICE LOUIS GEORGE
 ROGERS FOITH ANN
 SCHMELZ ROSE MARY
 SMITH JAMES WILLIAM JR
 SODER ROBERT FOLEY
 STEWART CAROL NAGEL
 STIGALL KIMBERLY ANNE
 STOKES CECELIA ANN
 STRICKLAND CHARLES MICHAEL
 TAYLOR WANDA FAYE
 THOMAS BENJAMIN EDWARD
 TIERNEY KATHERINE SCOTT
 HEAVER JANICE LYNN
 WHITE JOHN REGINALD
 WILKSON THOMAS GLENNON
 WILSON BARBARA NELL
 WOLDER MELCODY NANN
 WYCKOFF DEBRA

Office of the President
May 4, 1976

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Members, Board of Trustees

CANDIDATES FOR DEGREES - COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees and certificates specified in the attached list be awarded to the persons named, upon certification that the requirements for those degrees or certificates have been satisfactorily completed.

Background: At the November 19, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Sciences, and of certificates for those completing prescribed programs of two years or less of college work. The candidates listed are recommended to the President and the Board by the Community College Faculty and Vice President for the Community College System.

Action taken: Approved X Disapproved _____ Other _____

Date: MAY 4, 1976

UNIVERSITY OF KENTUCKY
COMMUNITY COLLEGE SYSTEM

CANDIDATES FOR DEGREES
MAY 1976

ASHLAND COMMUNITY COLLEGE

Associate in Arts:

1. George Patrick Akers
2. Cathy Diana Arrowood
3. Gary Lionel Arthur
4. Janet Lynn Arthur
5. Nancy Ann Bartlett
6. Mark Anthony Brislin
7. Linda Kay Bryan
8. Lou Ann Burgess
9. Shirley Christine Caudill
10. Jerry Clark
11. Paul E. Click
12. David Marion Cox
13. Larry Edward Cremeans
14. Ida Holts Cutwright
15. Pamela Susan Elswick
16. Glenna Kay Fankell
17. William Thomas Farrow
18. Melinda Susan Galliher
19. Mark Allan Gibbons
20. Howard Ronald Gillum
21. James E. Gillum
22. Donna Conley Hall
23. Brian Keith Harness
24. John Highley
25. Blake Anthony Holbrook
26. Anita Gail Howard
27. Brian Scott Humphrey
28. Ephriam Frank Hyden
29. Janet Elaine Johnson
30. Nancy Karen Justice
31. Susan Justice
32. Donald Joe Kirk
33. Gregory Thomas Lynch
34. Alex Gene Maggard
35. Linda Manning
36. David Mansfield
37. Charles Pierre Martin
38. Greg Phillip McCann
39. Thomas Aaron Meek
40. Alberta Porter Miller
41. Shirley Porter Miller
42. Carol Ann Napier
43. Ruth Napier
44. Wilson Dale Parker

Associate in Applied Science:

1. Carolyn Sue Adkins
2. Kenneth Eugene Baldock
3. Erma Conley Bauer
4. Daniel Gayle Buckles
5. Donald Milton Clark
6. Billie Lou Cogan
7. Julie Combs
8. Sharon Kay Conley
9. Jo Counts
10. Nancy Crissman
11. Larry Clayton Crum
12. Cheri Minnehan Delaney
13. William Harold Edwards
14. Karen Sue Estes
15. Thomas C. Ewing
16. Estella Ferrell
17. Lottie Jane Fosson
18. Asa Preston Greear
19. Jane Ann Holtzapfel
20. James Willard Horne
21. Gary David Ingram
22. Dianna Louise Johnson
23. Marilyn Jo Johnson
24. Barbara Shears King
25. Nancy Lester
26. Jane Ellen Meade
27. Elizabeth Jo Molsberger
28. Kimberly Ann Mourer
29. Terry William Murphy
30. Lota Catherine Nickell
31. Kim Desiree O'Neal
32. William Luther Proffitt
33. Scott Revely
34. Roy Dale Reynolds
35. James Becraft Robinson
36. James Frederick Sammons
37. Peter Shepard
38. Doralene Skaggs
39. Sunny Gail Sparks
40. Robert Lewis Stephenson
41. Nancy Jean Thompson
42. Louis Vonderheide
43. Penny Thompson Wolfe

ELIZABETHTOWN COMMUNITY COLLEGE

Associate in Arts:

1. Willie G. Adams
2. Jo A. Bagley
3. Sheila M. Barr
4. Joseph A. Braden
5. Yana S. Burgess

45. Debra Sue Pennington
46. Geoffrey Landon Riffe
47. Stanley Howard Riggs
48. Curtis Selby
49. James Carl Sell
50. Robert Jeffrey Serena
51. Mabel Martin Shepard
52. Robert Astor Shortridge
53. Becky Jayne Smith
54. Lee Ann Southers
55. Joyce Lee Walker
56. David Lee Ward
57. Ronald Whitlock
58. Jeffrey Lynn Wilcox
59. Joel Lee Williams
60. Patricia Susan Wills
61. Sheila Lynn Young

Associate in Science:

1. Christopher Edward Abshire
2. Darrell Dawson Adkins
3. Brian Leslie Braun
4. Robert Ford Brooks
5. Ronnie Eugene Conley
6. Cindy Gayle Duley
7. William Allen Gosselin
8. Laura Ann Hanningan
9. Harold P. Hayes
10. Earle Thorton Heffner
11. Walter Wendell Johnson
12. Mitchell Irvin Marshall
13. James Roger Mayo
14. Randall Keaton McGlone
15. Phillip Gordon McIntosh
16. Michael Gene Meade
17. Terry Randall Mullins
18. Gay Nelle Pennington
19. David Kevin Pitchford
20. Jeffe Mark Porter
21. Timothy Wyatt Quillen
22. Susan Jane Raftery
23. Leesa Ann Rice
24. Nancy Jean Slone
25. Robert A. Smith
26. Susan Margaret Spain
27. Timothy Michael Strait
28. William Jeffrey Turman
29. Frederick William Ulshaffer
30. Kitty Lee Watson

6. Paul I. Barnicott
7. Dudley B. Berthold
8. Patrick M. Bland
9. John H. Carlberg
10. Regina K. Conder
11. Mark A. Cooke
12. Frank L. Creviston
13. James A. Croxton
14. Ronald W. Curtsinger
15. Ronny G. Daniel
16. Melba D. Daugherty
17. Teresa N. Davis
18. Lonna A. Flanders
19. Annerae M. Galvin
20. Deborah L. Gardner
21. Bruce R. Graham
22. Lewis H. Graham
23. Steven L. Grubbs
24. Audie R. Hardin
25. William R. Hargan
26. Jerry D. Harned
27. Ronald L. Hash
28. James J. Hendricks
29. Ronald L. Jeffers
30. William R. Kephart
31. Loren A. Kerr
32. Kenneth E. Krebs
33. David R. Lambert
34. Ray A. Mackey
35. Joseph R. McCamish
36. Richard R. McDowell
37. Donna G. Napier
38. Vicki D. Napier
39. David A. Nolan
40. Richard J. Oglesby
41. James W. Parr
42. Paul L. Patterson
43. Gary A. Payton
44. James L. Prentice
45. Thomas A. Puckett
46. Timothy L. Puckett
47. Debra J. Ray
48. Charles B. Rizer
49. Michael D. Robey
50. Carlie D. Rogers
51. Joe H. Rogers
52. Gary L. Spalding
53. George A. Stiles
54. James W. Street
55. David M. Thomas
56. Miguel A. Tripputi
57. Richard C. Walker
58. Anita C. Wheeler
59. Howard K. Wright
60. David L. Yates
61. Joseph L. Zapp

6. Michael D. Burris
7. Gary L. Decker
8. Leslie M. Dickens
9. Lonnie O. Dishman
10. Geraldine Dixon
11. Michael D. Edwards
12. Elizabeth Escobedo
13. Deborah L. Gardner
14. Frances A. Harrod
15. Lilia N. Hawkins
16. Walter H. Heighter
17. Leesa R. Hilton
18. Joni G. House
19. Linda A. Johnson
20. Thomas Johnson
21. Carol A. Kiel
22. Michael A. Kieta
23. Debra L. Lawless
24. Stephen R. Lee
25. Joseph E. Macewicz
26. Donna L. Mason
27. Mozell McCollough
28. Thelma L. McMurtry
29. James L. Miles
30. Brenda A. Morrison
31. Marty K. Moutardier
32. Charles D. Newton
33. Louise E. Owen
34. Rebecca G. Parish
35. Daniel H. Pile
36. Jan D. Raine
37. Tony Rheaume
38. Wayne M. Skipworth
39. Suzanne N. Speicher
40. Susie T. Spencer
41. Richard D. Stewart
42. Deborah G. Stone
43. David F. Turner
44. John A. Wallace
45. Halley F. Westerly
46. James P. Willett
47. Marshall J. Williams
48. Robert L. Williams
49. Richard A. Woodlee
50. James H. Wright

Associate in Science:

1. Deborah A. Anderson
2. James S. Anderson
3. Lonnie B. Aubrey
4. Michael J. Ballard
5. Vincent K. Barnes

51. James A. Jones
52. Jack O. Kelley
53. Randy A. Kemper
54. Douglas L. Kessinger
55. William B. King
56. Channie W. Kotzebue
57. Betty J. Lacefield
58. Kimberly A. Linkous
59. Jean A. Lovins
60. Edgar E. Lovitt
61. Deborah J. Mahoney
62. Michelle S. Malone
63. Mary C. Melton
64. Bonita H. Miller
65. Frances H. Moore
66. Susan G. Mudd
67. Thomas W. Myers
68. Joseph R. Newton
69. Martha M. Owens
70. Brenda G. Parrott
71. Alice B. Pelkey
72. Roy W. Perry
73. Cheryl L. Phillips
74. Shirley K. Pile
75. Jack H. Powell
76. Kathy L. Powell
77. Thomas W. Pratt
78. Kenneth D. Puckett
79. Carla L. Pursley
80. Aaron W. Rattliff
81. Nancy R. Roby
82. Diana C. Routt
83. Susan J. Saltsman
84. Cindy K. Scheible
85. Roberta S. Schroeder
86. Donald R. Seymour
87. George M. Skrupskis
88. Linda C. Sparks
89. Carol S. Spear
90. Barbara C. Stark
91. Naomi O. Stinnett
92. Mary L. Tapp
93. Sue A. Tharp
94. Jeff T. Thomas
95. Linda F. Wallace
96. Carol A. Walling
97. Ida M. Watts
98. Sandra M. Yerian
99. Rita K. Zapp

HAZARD COMMUNITY COLLEGE

Associate in Arts:

1. Barbara A. Baker
2. Lucille C. Coots
3. Carl Gay
4. Debra L. Hensley
5. William R. Hiatt
6. Samuel Hill
7. Donald W. Quillen
8. Vicki L. Ritchie
9. Garry Smallwood
10. James J. Terry
11. Marvis C. Terry
12. Linette H. Trent

Associate in Science:

1. Catherine L. Brown
2. Ricky C. Caudill
3. Gwendolyn Collins
4. Allen Combs
5. Donna R. Combs
6. Thomas J. Engle
7. Denise A. Landry
8. Karen O'Roark
9. Patrica Riley
10. Rick L. Thomas

Associate in Applied Science:

1. Leonard G. Brummett
2. Louise Campbell
3. Frederic L. Cook
4. Kenneth E. Corder
5. Marlene Cornett
6. Katherine Dupree
7. James W. Edney
8. Rick L. Exler
9. Joe Bill Kennedy
10. Nathan Mattingly
11. Ted W. Meriwether
12. Regina R. Nidiffer
13. Debra A. Patton
14. Susan Pendleton
15. Ralph G. Reiss
16. William B. Smith
17. Daniel Sisk
18. Cynthia J. Vitatoe
19. Joe J. Wallace
20. Katie F. Young

HENDERSON COMMUNITY COLLEGE

Associate in Arts:

1. Martha A. Bullock
2. Kim M. Chamberlain
3. Roger D. Clark
4. Gary L. Cole
5. Thomas E. Curry
6. Marion E. Edwards
7. Debora J. Fambrough
8. Stephen E. Gaines
9. Raymond J. Gastenveld
10. Stan M. Gorecki
11. Cynthia D. Griffin
12. Betty S. Heath
13. Cynthia A. Hollowell
14. Donna B. LeGate
15. James D. Likens
16. Gary D. Lindenberg
17. Bryan W. Magnus
18. Michael L. Mattingly
19. Mary F. O'Daniel
20. Steven B. Rice
21. Robert A. Slaughter
22. Ricky G. Stinson
23. Patricia A. Tigue
24. Phyllis M. Todd
25. Barbara L. Watson
26. Tina J. Willett
27. Dwight E. Williams
28. Jerry W. Williams

Associate in Science:

1. Michael L. Braden
2. David K. Brown
3. Samuel K. Burton
4. Connie S. Carmon
5. Carl R. Carrier
6. Walter A. Collins
7. Ronald R. Duckworth
8. Andrew M. Eckman
9. Ronnie D. Eldridge
10. Elizabeth S. Grace
11. Gary L. Green
12. Tony T. Hall
13. Keith P. Isaac
14. Kenneth M. Jackson
15. Beverly B. Jenkins
16. Nancy F. Kellen
17. Charles R. Leigh
18. Kevin F. McArdle
19. Steven D. McCarty
20. Mike J. McGruder

21. Richard A. Nally
22. David B. Park
23. Robert T. Pritchett
24. Donald L. Richardson
25. Linda K. Smith
26. Mary A. Thomas
27. John M. Walker
28. Randal E. Walker
29. Bruce R. Watkins

Associate in Applied Science:

1. Ruth S. Baker
2. Paula B. Bellew
3. Cathy A. Bowling
4. Deborah R. Brown
5. Linda L. Card
6. Darrel E. Chaney
7. Donna M. Cheatham
8. Sharon M. Clements
9. Jody C. Collins
10. Teresa J. Croft
11. Patricia C. Darling
12. Alison A. DeVries
13. Bridget E. Fortune
14. Darrell R. French
15. Rebecca J. Galloway
16. Bonita S. Gentry
17. Reba J. Goldsmith
18. Diane L. Green
19. Barbara J. Hannah
20. Paulette F. Hayden
21. Catherine J. Kellen
22. Debra L. Hearring
23. Sandra S. Heppler
24. Barry A. Hill
25. Sherri L. Horton
26. John H. Hume
27. Wilma J. Julius
28. Brenda F. Keach
29. Cynthia J. King
30. Michael R. Kretman
31. Susan M. Lindsey
32. Vera P. Mattingly
33. Vera H. Newman
34. Rebecca L. Osborne
35. Laura L. Paker
36. Carolyn R. Perry
37. Lisa P. Powell
38. Karen L. Pruitt
39. Mary M. Purdy
40. Mary C. Raley

41. Jane C. Rich
42. Elizabeth R. Risinger
43. George E. Shelton
44. Stephanie M. Steele
45. Joan L. Stewart
46. David M. Strawn
47. Linda J. Trumbo
48. Therese M. Vaal
49. Karen L. Vanover
50. Sandra K. Watson
51. Marie B. White
52. Rita E. Williams
53. Rita M. Wooldridge
54. L. Charlene Young

HOPKINSVILLE COMMUNITY COLLEGE

Associate in Arts:

1. Diane L. Addison
2. Thomas L. Albritton
3. Amy Alexander
4. Glendon E. Alexander
5. Dan L. Allen
6. Garnett Arnold
7. Michael Porter Atkins
8. Cynthia Lou Auble
9. Marsha Barnes
10. Delmar G. Bernard
11. Vicki Blane
12. Deborah J. Bush
13. James G. Campbell
14. Douglas L. Chambliss
15. Constance Ann Chandler
16. Mary Phyllis Coker
17. Cheryl Colburn
18. Sherry Cole
19. Marisa Dabney
20. Linda K. Davenport
21. John Mark DeAngelis
22. Dean J. Esch
23. Garland J. Faust
24. Janey Frances
25. Wanda Garrett
26. Victor W. Gundersen, Jr.
27. Barbara Jo Hamby
28. Donna Rhea Hargrove
29. Dennis Clarke Haughian
30. Leanne R. Heggen
31. Ollie A. Henderson, Sr.
32. Linda Fitzgerald Holt
33. Barbara Sue Humphries
34. Thelma Joiner
35. Elaine Jordan

36. Robert C. Kelly
37. Ted William Kelly
38. Reita King
39. Patricia L. Knight
40. Robert A. Lester
41. Lee A. Maiser
42. Tony Martin
43. Terry Mashburn
44. Betty Louise McGowan
45. Harold Norman Meyer
46. Charlotte Mitchell
47. Joseph E. Moreno
48. Larry D. Nixon
49. Ellen L. Nord
50. Donna W. Payne
51. Rick Pollock
52. Malcolm M. Ray
53. Barbara Redd
54. Thomas W. Schmittou
55. Linda Lee Sedberry
56. Tim Sensing
57. Teresa A. Simpson
58. Mary Ann Skalany
59. Gladys M. Spane
60. Harold R. Spencer
61. Roland Roy Stills
62. Raymond G. Wagman
63. David Walker
64. William G. Wallace
65. William F. Whitsell
66. Terry L. Williams
67. Mary Catherine Word

Associate in Science:

1. Naomi Borders
2. Marc Calciano
3. Gary D. Calhoun
4. T. Don Comer
5. Jonathan Davis
6. John B. Downs, Jr.
7. Betty G. Hall
8. Sharon Marie Lendzion Lancaster
9. Dennis Manning
10. Robert W. VanBrunt

Associate in Applied Science:

1. Eugenia Dunning Agosto
2. Bertram Amlung
3. Sara Nell Arvin
4. Richard R. Billings
5. James A. Burks, Jr.
6. Karen Cash
7. Dianne Hunter Cato
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9. Yvette Smith Chiles
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5. Kathy Delores Ballou
6. Roger Franklin Barnes
7. Iva Jean Barnett
8. Minnie Pauline Beasley
9. Barbara Jo Bertram
10. William David Bingham
11. Shirley Daniels Bocclair
12. Paula Lee Bohrer
13. Wanda Kay Brooks
14. Deborah Kaye Bryant
15. Peggy Lois Burchett
16. Milinda Earlane Burns
17. Geraldine Claunch
18. Donna Sue Coffey
19. Frances Ann Combs
20. Steven Dale Conover
21. Richard H. Correll
22. William Jackson Curnutt
23. Rebecca Lynn Dalton
24. Carol Latham Dancy
25. Thelma Gwen Day
26. Paula Kaye Denham
27. Karen Lynn Denney
28. Linda Edna Denney
29. Mitzi Ann Denney
30. Patsy Ellen DeRossett
31. Donald Ellis Dunmyer
32. Rebecca Lee Edwards
33. Sandra Frances Evans
34. Pamela Jo Feese
35. Irene Fredericks
36. Judy Anita Gay
37. Rhonda Gail Goff
38. George Reddish Hall, III
39. Gina Michelle Hamilton
40. Sandra Sue Harris
41. Debra Lynn Hatfield
42. Connie Dale Hill
43. Elizabeth Ellen Hudson
44. Linda Rae Hutton
45. Spencer Reed Irwin
46. Debra Kay Jackson

47. Estel Eugene Jones
48. Gerald Wayne Koontz
49. Pamela Dean Lamar
50. Gloria Diane Lincks
51. Dennis L. Longsworth
52. Marty George Luther
53. Brenda Joyce Luttrell
54. John Nelson Maggard
55. Harold Ray Martin
56. Danny Wayne Meece
57. Leslie Diane Meece
58. Joyce Marie Miller
59. Deborah Ann Minor
60. Johnny Rick Minton
61. Sheree Lynette Mize
62. Debra Lynn Morrow
63. Faron Dale Mounce
64. James Robert Mounce
65. Alma Karen Norfleet
66. Vicki Lynn Padgett
67. Patricia Ann Paluzi
68. Jerry Lynn Partin
69. Bradley Leman Price
70. Randall Wayne Redmon
71. Sara Jean Ritter
72. Kathie Jane Roy
73. Paula Suzette Roy
74. William Howard Scott
75. Janet Sue Scrimager
76. Marsha Lynn Shoemaker
77. Wanda Lee Slagle
78. Jimmie Arnold Smith
79. Patricia Sue Stonecypher
80. Mary Jean Surber
81. Charles Richard Taylor
82. Samuel Thomas
83. Linda Carol Tucker
84. Walter Wesley Waddle
85. Deborah Lynn Wade
86. Charles Franklin Walker
87. Cheryl Lynn Walters
88. Mary Anne Watts
89. David Lawrence White
90. Flonnie Lee Woodrum
91. William Joseph Wright
92. James Alfred Zwahlen

SOUTHEAST COMMUNITY COLLEGE

Associate in Arts:

1. Deborah A. Bethume
2. Jerry B. Brooks
3. Donna Cockrel
4. Donald W. Elliott
5. Viola Hendrickson

6. Lynn E. Jones
7. Regina E. Lewis
8. Dorothy E. Mackey
9. Michael C. McDonald
10. Bryan E. Morgan
11. Jeanette Osborne
12. Debra L. Russell
13. Guy Sargent
14. Joseph C. Vicini
15. Dawne M. Walzer

Associate in Science:

1. Berta A. Bledsoe
2. Marvin W. Cornett
3. James E. Harris
4. Carlos F. Shepherd

Associate in Applied Science:

1. Susan N. Angel
2. Randy K. Blevins
3. Scotty J. Campbell
4. Anita M. Chapman
5. William E. Chapman
6. Bob E. Cornett
7. Richard V. Creech
8. Malcolm M. Dickson
9. Marsha R. Epperson
10. Virginia L. Farrow
11. Larry W. Gilliam
12. Glenn L. Greene
13. Jo Ellen Hale
14. George D. Hall
15. Wade C. Hall
16. Gary W. Harris
17. Donnie D. Head
18. Mari S. Helton
19. Dennis J. Hensley
20. Denny W. Hensley
21. Helen M. Huff
22. Wallace A. Johnson
23. Vicky L. Lee
24. Stephen R. Miracle
25. Carl G. Petrey
26. Howard E. Powell
27. Benjamin F. Stanley
28. Carolyn Y. Sundy
29. Bruce G. Walzer
30. Dianne W. Warf
31. Andrea M. Washington
32. Brenda S. Webb
33. Phillip M. Wilder
34. Roger C. Williams
35. Leonard C. Woodby

PR 6

Members, Board of Trustees:

1975-76 BUDGET REVISIONS

Recommendation: that the following revisions in the 1975-76 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Funds</u>			
1. Income Estimates			
State Appropriation	\$81,409,000	\$81,791,000	\$ 382,000
Student Fees			
Registration Fees			
University Extension	368,500	398,800	30,300
Miscellaneous Fees	936,700	977,800	41,100
Federal Appropriations			
Agricultural Experiment Station	7,421,600	7,437,300	15,700
Sales and Services			
Departmental Sales and Services	2,360,400	2,363,600	3,200
Fund Balances	6,368,800	7,418,800	<u>1,050,000</u>
			1,522,300
2. Expenditures			
Academic Affairs			
Agricultural Experiment Station	7,436,100	7,451,800	15,700
University Extension			
Continuing Education	6,500	13,800	7,300
Evening Class Program	104,100	112,100	8,000
Extension Class Program	90,000	100,000	10,000
Independent Study Program	169,900	177,900	8,000
Community College System			
Maysville - Vending Commission Scholarship	800	1,000	200
Off-campus Instruction	15,000	35,000	20,000
Medical Center			
Medicine - Continuing Education	175,000	196,100	21,100
University Wide			
Reserve - Maintenance and Operations	423,000	805,000	382,000
Renovation and Office Furniture	2,927,600	3,977,600	<u>1,050,000</u>
			1,522,300

3. Comments - The proposed expenditure increase for the Agricultural Experiment Station will be supported by an increase in the federal appropriation. The proposed increases for the University Extension programs will be funded from increased student fee income and an increase in sales and services in Conferences and Institutes. The increase for Maysville Community College will be funded by increased vending income. The proposed increases for off-campus instruction in the Community College System and continuing education in the College of Medicine will be supported by increased student fee income. The expenditure increase for the Maintenance and Operations Reserve will be supported by an increase in the state appropriation. The proposed increase for Renovation and Office Furniture will be supported by an increase in fund balances.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>Restricted Funds</u>			
1. Income Estimates	\$17,786,900	\$17,797,000	\$10,100
2. Expenditures			
Academic Affairs			
College of Engineering			
D. V. Terrell/R.C.			
McDowell Loan Fund	2,000	7,000	5,000
James P. Capp Memorial			
Fund Scholarship	-0-	800	800
College of Home Economics			
Development Fund	1,500	2,200	700
College of Social Professions			
Mental Health Training			
Project - Buffalo Trace/ Gateway	16,900	20,000	3,100
Community College System			
Madisonville - Real Estate Program	1,500	2,000	500
			<u>10,100</u>

3. Comments - The proposed additional expenditures will be funded from income restricted for the purposes indicated above.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
C. <u>Auxiliary Services</u>			
1. Income Estimates	\$13,206,000	\$13,236,900	\$30,900
2. Expenditures			
Academic Affairs			
Lake Cumberland			
4-H Camp	65,000	81,000	16,000
Community College System			
Jefferson Bookstore	435,100	438,700	3,600
Paducah Bookstore	100,500	102,800	2,300
Henderson Bookstore	45,000	50,000	5,000
Medical Center			
Dental Clinics - Instrument			
Resale	194,400	198,400	4,000
			<u>30,900</u>
3. <u>Comments</u> - The proposed expenditure increases will be supported by additional income generated by the respective auxiliary services operations.			

Action taken: Approved X Disapproved _____ Other _____

Date: MAY 4, 1976

Office of the President
May 4, 1976

PR 7A

Members, Board of Trustees:

CHANGE OF TITLE, DEPARTMENT OF PEDODONTICS
COLLEGE OF DENTISTRY

Recommendation: that approval be given to change the title of the Department of Pedodontics, College of Dentistry, to the Department of Pediatric Dentistry.

Background: The Department of Pedodontics is closely associated with the Department of Pediatrics in the College of Medicine. The hospital dental clinic for children, located in the pediatric outpatient clinic, is also an integral part of pediatric health services and has been designated as the "pediatric" dental clinic by faculty and house staff of the Department of Pediatrics. Since the term "pediatrics" is a well-accepted term, pediatric dentistry will be more clearly understood by patients and accepted by the medical profession. In addition, this change of title will be more in keeping with today's trend in dental schools to identify departments of children's dentistry as pediatric dentistry.

This change in title has been approved by the Vice President for the Medical Center and the Senate Council.

Action taken: Approved X Disapproved _____ Other _____

Date: MAY 4, 1976

Office of the President
May 4, 1976

PR 7B

Members, Board of Trustees:

CHANGE OF TITLE, DEPARTMENT OF CELL BIOLOGY
COLLEGE OF MEDICINE

Recommendation: that approval be given to change the name of the Department of Cell Biology, College of Medicine, to the Department of Medical Microbiology and Immunology.

Background: Some years ago, the title for the Department of Cell Biology was chosen to avoid duplication of effort with the then existing Department of Microbiology, which was concerned primarily with undergraduate teaching. More recently, the Department of Microbiology became a part of the School of Biological Sciences; it no longer exists as a departmental entity and all courses presented by the School of Biological Sciences are designated as biology courses. For this reason, it is a propitious time to change the name of the Department of Cell Biology to one more in line with its mission. The new title also reflects more accurately the purpose of the Department.

The goals and objectives for the Department will continue to be those of teaching clinical microbiology and immunology, cooperating with the graduate programs in the School of Biological Sciences, and continuing to work towards integration of those aspects of biology essential to the basic sciences of medicine.

This change in title carries the approval of the Vice President for the Medical Center and the Senate Council.

Action taken: Approved X Disapproved Other

Date: May 4 , 1976

Office of the President
May 4, 1976

PR 8A

Members, Board of Trustees:

AMENDMENT TO GOVERNING REGULATIONS

Recommendation: that the proposed amendment to the first paragraph of Part XI-B (page 43) of the Governing Regulations received at the April 6, 1976 meeting of the Board of Trustees for consideration and action at its next meeting, be removed from the table and approved.

Background: The proposed amendment would add the words "on the Lexington campus" to the first paragraph of Part XI-B (page 43) so that the paragraph would read:

The non-academic relationships between University System students on the Lexington campus and Lexington Technical Institute students and the University are covered in the document entitled, "Student Rights and Responsibilities. Part I. Code of Student Conduct: Rules, Procedures, Rights and Responsibilities Governing Non-Academic Relationships", which has been adopted by the Board of Trustees and may be amended only by that body.

Approval of the amendment will necessitate making appropriate related editorial changes in the Foreword of the document entitled Student Rights and Responsibilities. It will also make clear that students at the University Center at Fort Knox and also off-campus extension students will no longer be covered by the Code and that it may be desirable to study the need for one or more special documents to cover such students.

Action taken: Approved X Disapproved _____ Other _____

Date: MAY 4, 1976

Office of the President
May 4, 1976

PR 8B

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendments to the Governing Regulations of the University of Kentucky be adopted in place of those received for consideration at the April 6, 1976 meeting of the Board of Trustees.

(deletions are bracketed; additions are underlined)

(a) Amend PART X-C (page 36) to read:

C. Conditions of Employment

1. Terms of [Appointment] Assignment

Academic [appointments] assignments are made on academic year, ten month, and twelve month[s] bases.

2. Vacation Leave

All full-time [academic] appointees [, whether] on a ten month[s] or a twelve month[s] academic assignment basis[,] shall be entitled to a one-month vacation leave with pay. Vacation leave cannot be accumulated. All members of the teaching faculty shall be in actual attendance at least until after commencement and until all reports have been made, and at least three days prior to the first day of registration for the fall semester unless for special reasons special leave is approved.

Full-time faculty members employed on an academic year basis shall be on assignment from August 16 through May 15 and are expected to be normally available for participation in appropriate academic activities during this period. To insure opportunities for vacations, faculty members employed on this basis shall be limited to two months of employment in activities funded by the University or its affiliated corporations during the summer period (May 16 through August 15).

3. Professional Practices

During their annual [employment] assignment period ([either] whether academic year, ten months or twelve months), faculty and research staff members are expected to serve the University. It is assumed that all persons on the academic staff will be interested primarily in the work of their respective educational units, giving their chief efforts to the promotion of that work.

(No further changes in this section.)

- (b) Amend the third and fourth paragraphs of PART X-C-5-a (pages 38 and 39) to read:

After six years of continuous eligible service, an individual may apply for one year's leave (academic year for [10 months'] appointees on academic year or ten month assignments) at one-half salary or six months' leave (academic semester for [10 months'] appointees on academic year or ten month assignments) at full salary. After three years of continuous eligible service, an appointee may apply for six months' leave (academic semester for [10 months'] appointees on academic year or ten month assignments) at one-half salary. "Continuous service" is interrupted by a sabbatical leave, i.e., no service prior to a sabbatical leave may be credited toward eligibility for future sabbatical leave. Leaves of absence without pay are not normally credited toward eligibility for sabbatical leave. However, exception may be made when the leave enhances the value of the individual to the University, e.g., a leave to accept a fellowship or a grant, service for professional organizations, etc. In no case shall the leave of absence without pay be considered as an interruption of continuous service.

Sabbatical leaves shall not be used as a means of augmenting personal income. A recipient may not accept gainful employment during the sabbatical leave (for an individual on an academic year assignment basis this does not include the period of May 16 through August 15; for [10 months'] appointees an individual on a ten month assignment basis this does not include the period of vacation and the two months [during the summer] outside the assignment period each fiscal year; for [12 months'] appointees an individual on a twelve month assignment basis this does not include the one-month vacation period) except as follows:

(No further changes in this section.)

Background: The original amendments received for consideration at the April 6 meeting of the Board of Trustees provide for a nine month academic year instead of the current ten month academic year. These amendments retain the option of a ten month academic assignment basis (which includes one month of vacation) while adding the option of a nine month academic assignment basis (without vacation). The Community College System faculty has expressed an interest in remaining on the ten month academic assignment basis.

Action taken: Approved x Disapproved Other

Date: MAY 4 , 1976.

Office of the President
May 4, 1976

PR 9

Members, Board of Trustees:

OPERATING BUDGET FOR 1976-77

Recommendation: that the 1976-77 Operating Budget as submitted, including the authorization set forth in the letter of transmittal be approved and ordered recorded as an integral part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures and accepted as a basis of maximum expenditures for the year 1976-77. The Board of Trustees or the Executive Committee is empowered to make such changes in the budget as may from time to time be deemed necessary upon recommendation of the President.

Background: the 1976-77 Operating Budget of the University of Kentucky is presented for approval by the Board of Trustees. Copies of the budget document have been made available to members of the Board.

Note: The Operating Budget is not reproduced as a part of the Minutes but is included in the official files of the meeting.

Action: Approved x Disapproved _____ Other _____

Date: MAY 4, 1976

May 4, 1976
Board of Trustees Meeting

Michael E. Adelstein
University of Kentucky

Faculty Salary Facts

I. Median Salaries: UK, UL, and Benchmark Institutions

<u>1970-71</u>		<u>1974-75</u>	
North Carolina-CH	\$14.9	Virginia	\$18.5
Purdue	14.3	North Carolina-CH	18.1
Virginia	14.3	Ohio State	17.3
Illinois	14.2	Purdue	16.9
Indiana	14.1	Missouri	16.7
KENTUCKY	14.0	Indiana	16.6
VPI	13.6	VPI	16.4
North Carolina State	13.5	Illinois	16.3
Tennessee	13.3	Tennessee	16.0
Ohio State	13.1	Louisville	16.0
Missouri	13.0	KENTUCKY	15.8
Louisville	12.7	North Carolina State	15.7
West Virginia	12.7	West Virginia	14.8

Source: AAUP Bulletin, Summer

II. Real Income Loss in Average UK Salaries, 1970-76

Rank	<u>1970-71</u>	<u>1975-76 (Deflated)</u>	<u>(Loss %)</u>
Professor	\$18,428	\$23,347 (\$16,844)	(8%)
Associate Professor	14,137	17,465 (12,601)	(10.9%)
Assistant Professor	11,548	14,035 (10,126)	(12.3%)

Source: UK AAUP 1975-76 Report

III. Faculty Standard of Living

\$15,500 = Income needed by a typical urban family of four to maintain a moderate standard of living in 1975. (Source: Labor Dept.)

\$15,500 = Income not earned in salary by approximately 43% (425 of 977 faculty members in 1975.

Office of the President
May 4, 1976

PR 10

Members, Board of Trustees:

APPROVAL OF CAPITAL CONSTRUCTION PROJECTS

Recommendation: that the Board approve the following construction projects for submission to the Council on Public Higher Education:

- (1) Library/Learning Resource Center for Jefferson Community College
- (2) Technical/Academic Building for Madisonville Community College
- (3) Student Center for Paducah Community College
- (4) Research/Educational Center for West Kentucky Substation

Background: (1) The Jefferson Community College Library/Learning Resource Center was planned in 1972-73 to serve the downtown campus of Jefferson Community College. Funds were available at that time to construct Phase I of this facility which was designed to be expanded when resources became available to complete the project. Phase II will include a learning laboratory, instructional materials center, and space to expand the library collection from 40,000 to 80,000 volumes. Estimated cost of this project is one million dollars. This project will be funded from a capital construction allocation for the Community College System included in the Governor's Executive Budget for 1976-78.

(2) Madisonville Community College is faced with space problems as a result of continuing enrollment increases. The enrollment for which the present facility was planned was surpassed in the fall semester 1975. Much of this enrollment increase has been due to expanding technical programs, particularly in mining and reclamation. Construction of a new facility will provide space for these programs and for classrooms, faculty offices, and other functions which support the technical and total instructional programs of the College. Estimated cost of this project is one million dollars. This project will be funded from a capital construction allocation for the Community College System included in the Governor's Executive Budget for 1976-78.

(3) Paducah Community College, with an enrollment of 1,320 students in 1975-76, does not have permanent student center space to serve its students. A new student center building has been Paducah Community College's top priority request for new facilities since 1971 when the first five-year plan was developed. The construction of this student center will allow the College to accommodate in one permanent location student activities presently housed in temporary buildings and spaces. Estimated cost of this project is one million dollars. This project will be funded from a capital construction allocation for the Community College System included in the Governor's Executive Budget for 1976-78.

(4) The proposed new Research and Educational Center building at the West Kentucky Substation will be in addition to the present 40-year old headquarter building which was designed to provide facilities for a staff of from six to eight individuals. The proposed building will provide offices for 24 State Extension Specialists and researchers. It will serve as a public area conference center and include identification and analytical laboratories. From 50 to 60 field studies are conducted at the West Kentucky Substation annually, all of which are a part of the College of Agriculture resident program. The laboratories will be used to support current and future research projects designed to meet the needs of West Kentucky grain, soybean, livestock, fruit and vegetable producers. The proposed building will also include a library and storage facilities for crop samples, pesticides, and fruits and vegetables. Estimated cost of this project is two million dollars. This project will be funded from a capital construction allocation included in the Governor's Executive Budget for 1976-78.

Action Taken: Approved X Disapproved _____ Other _____

Date: MAY 4, 1976

Office of the President
May 4, 1976

PR 11

Members, Board of Trustees:

REORGANIZATION OF THE COLLEGE OF ARTS AND SCIENCES

Recommendation: that the present School of Music, Department of Art, and Department of Theatre Arts be separated from the College of Arts and Sciences to form a new College of Fine Arts composed of these units and that the present School of Journalism and Department of Human Communication be separated from the College of Arts and Sciences to form a new College of Communications composed of these units. All existing courses, programs, and degrees offered by these units will be transferred from the College of Arts and Sciences and continued by the units. This academic reorganization will be effective with the opening of the 1976 Fall semester.

Background: Because of concern for the administrative difficulties resulting from the increased size and complexity of the College of Arts and Sciences, the administration of that College was directed in 1973 to analyze its operation and suggest alternatives for the internal reorganization of the College and a reduction in size through the separation of appropriate units. This study was completed and referred to the University Senate for advice in accordance with the Governing Regulations. The Senate's Committee on Academic Organization and Structure held extensive hearings on these proposals, and during 1974-75 recommended the internal reorganization in the College which was implemented with the beginning of the 1975-76 academic year. During 1975-76, the Senate recommended the administrative organizations proposed above, and this action will conclude the reorganization of the College of Arts and Sciences for the present.

It is believed that this series of actions should result in more effective program development and service to students in the College of Arts and Sciences, will appreciably reduce the size of the College, and will group together in the new College compatible units. This should permit additional opportunity for program development in these areas and better service to students registering in these new Colleges.

Action: Approved x Disapproved Other

Date: May 4 , 1976

Office of the President
May 4, 1976

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the nine months ending March 31, 1976, be accepted.

Background: The March, 1976, report reflects income of \$136,059,953 for the period of the report; the income figure is 76.5% of the annual income estimate of \$177,793,763.

The expenditures and commitments represent a total of \$125,714,633 for this period of nine months, or 70.7% of the expenditure authority of \$177,793,763 approved by the Board.

Action taken: Approved X Disapproved _____ Other _____

Date MAY 4, 1976

Schedule 1

University of Kentucky
Balance Sheet
March 31, 1976

With Comparative Figures for 1974-75

		<u>ASSETS</u>	
		<u>1975-76</u>	<u>1974-75</u>
Current Funds:			
Unrestricted:			
Cash in banks:			
Depository funds	\$ 244,588	\$ 190,078	
Federal funds	128,962		
Imprest funds	28,887	617,043	
Cash on deposit with State Treasurer:			
Trust and agency funds	5,161,271	1,390,712	
General fund appropriation	48,636		
Total cash	<u>5,612,344</u>	<u>2,197,833</u>	
Due from other funds and affiliated corporations	1,028,553		
Accounts receivable	465,241	532,732	
Notes receivable	72,094	72,094	
Investments - short term	11,595,770	14,510,866	
Inventories	3,374,415	2,931,686	
Unrealized income	<u>27,600,409</u>	<u>26,170,369</u>	
Total unrestricted	<u>49,748,826</u>	<u>46,415,580</u>	
Restricted:			
Cash in banks	2,727,038	1,763,460	
Tobacco Research Trust Fund:			
Imprest funds	50,000	62,271	
Cash on deposit with State Treasurer	<u>1,477,346</u>	<u>1,664,842</u>	
Total cash	<u>4,254,384</u>	<u>3,490,573</u>	
Petty cash advances	199,143	189,986	
Travel advances	168,556	180,490	
Accounts receivable	43,268	316,827	
Investments:			
Short-term	5,500,000	4,200,000	
Other	886,882	89,131	
Unrealized income:			
Restricted	3,553,948	3,006,634	
Tobacco Research Trust Fund	<u>1,280,649</u>	<u>983,627</u>	
Total restricted	<u>15,886,830</u>	<u>12,457,268</u>	

University of Kentucky
Balance Sheet
March 31, 1976

Schedule 1

With Comparative Figures for 1974-75

LIABILITIES AND FUND BALANCES

	<u>1975-76</u>	<u>1974-75</u>
Current Funds:		
Unrestricted:		
Outstanding encumbrances		\$ 2,916,515
Due to other funds and affiliated corporations		4,489,055
Accounts payable - county funds		
Accrued expenses	1,432	453,000
Advance from state for imprest cash fund	574,000	1,300,000
Deferred Income	1,300,000	3,510
Unencumbered balance, departmental appropriations	35,920,122	31,703,773
Fund balances:		
Allocated for working capital	8,974,072	5,547,436
Unallocated	<u>22,537</u>	<u>2,291</u>
Total unrestricted	<u>49,748,826</u>	<u>46,415,580</u>
Restricted:		
General fund collections - student fees	70,631	99,164
Payroll withholdings	1,239,779	1,043,572
Other collections and clearing	372,231	412,374
Outstanding encumbrances	606,490	511,453
Due to other funds and affiliated corporations	4,165,795	2,308,400
Advance from state for imprest cash fund	50,000	50,000
Outstanding check liability	40,076	20,379
Unencumbered balance, departmental appropriations	3,209,746	2,943,322
Unencumbered balance, Tobacco Research Trust Fund appropriations	2,108,492	1,721,747
Fund balances:		
Restricted fund	4,023,590	2,969,842
Tobacco Research Trust Fund - unallocated funds	<u> </u>	<u>377,015</u>
Total restricted	<u>15,886,830</u>	<u>12,457,268</u>

Schedule 1

University of Kentucky
Balance Sheet
March 31, 1976

With Comparative Figures for 1974-75

ASSETS

	<u>1975-76</u>	<u>1974-75</u>
Hospital and clinics:		
Due from other funds and affiliated corporations	\$ 2,107,666	\$ 2,738,603
Accounts receivable, net of allowance for doubtful accounts of \$ 4,052,160 in 1976 and \$ 4,224,394 in 1975		
Inventories	3,582,094	2,242,574
Unrealized income	<u>831,190</u>	<u>472,776</u>
	6,782,734	6,420,028
Total hospital and clinics	<u>13,303,684</u>	<u>11,873,981</u>
Housing and dining system:		
Cash in bank	128,850	84,540
Accounts receivable	142,920	172,320
Investments - short term	2,996,938	3,239,132
Inventories	984,126	879,530
Prepaid expenses	<u>13,319</u>	<u>98,374</u>
Total housing and dining system	<u>4,266,153</u>	<u>4,473,896</u>
Auxiliary enterprises:		
Cash in banks	25,706	9,870
Cash on deposit with State Treasurer	548,203	182,228
Total cash	<u>573,909</u>	<u>192,098</u>
Due from other funds and affiliated corporations		
Accounts receivable	31,341	411,141
Inventories	421,792	640,436
Unrealized income	<u>829,131</u>	<u>640,436</u>
Total auxiliary enterprises	<u>1,856,173</u>	<u>1,607,429</u>
TOTAL CURRENT FUNDS	<u>\$ 85,061,666</u>	<u>\$ 76,828,154</u>

University of Kentucky
Balance Sheet
March 31, 1976

Schedule 1

With Comparative Figures for 1974-75

LIABILITIES AND FUND BALANCES

	<u>1975-76</u>	<u>1974-75</u>
Hospital and clinics:		
Outstanding encumbrances	\$ 613,153	\$ 591,436
Unexpended balance, departmental appropriations	7,936,164	6,726,314
Fund balances - allocated for working capital	<u>4,754,367</u>	<u>4,556,231</u>
Total hospital and clinics	<u>13,303,684</u>	<u>11,873,981</u>
Housing and dining system:		
Accrued expenses	462,970	668,225
Accrued wages	122,655	93,987
Account payable	142,605	93,002
Due to other funds and affiliated corporations	841,531	1,557,912
Refundable deposits	23,625	
Deferred income	1,356,988	1,131,935
Fund balances:		
Allocated for future operating purposes	<u>1,315,779</u>	<u>928,835</u>
Total housing and dining system	<u>4,266,153</u>	<u>4,473,896</u>
Auxiliary enterprises:		
Outstanding encumbrances	84,627	116,872
Due to other funds and affiliated corporations	44,447	
Unencumbered balance, departmental appropriations	819,127	778,168
Fund balances - allocated:		
Working capital	453,133	420,970
Future operating purposes	<u>454,839</u>	<u>291,419</u>
Total auxiliary enterprises	<u>1,856,173</u>	<u>1,607,429</u>
TOTAL CURRENT FUNDS	<u>\$ 85,061,666</u>	<u>\$ 76,828,154</u>

Schedule 1

University of Kentucky
Balance Sheet
March 31, 1976

With Comparative Figures for 1974-75

	<u>ASSETS</u>	
	<u>1975-76</u>	<u>1974-75</u>
Loan Funds:		
Cash in bank	\$ 466,051	\$ 298,105
Notes receivable, net of allowance for doubtful accounts of \$438,996 in 1976	8,800,391	8,558,441
Due from other funds and affiliated corporations	60,619	30,694
Due from Federal Government	16,250	17,032
Due from United Student Aid loan fund	<u>2,000</u>	<u>2,000</u>
	<u>\$ 9,345,311</u>	<u>\$ 8,906,272</u>
Endowment Funds:		
Due from other funds and affiliated corporations	\$ 214,452	\$ 1,821
Investments	<u>1,552,861</u>	<u>1,480,524</u>
	<u>\$ 1,767,313</u>	<u>\$ 1,482,345</u>
Plant Funds:		
Unexpended:		
Cash on deposit with State Treasurer:		
Trust and agency	\$ 2,354,863	\$ 1,250,799
Capital construction funds	<u>17,557,173</u>	<u>21,408,863</u>
Total cash on deposit with State Treasurer	<u>19,912,036</u>	<u>22,659,662</u>
Due from other funds and affiliated corporations	327,971	2,941,270
Due from Federal Government	685,738	1,206,351
Construction in progress	24,289,619	22,216,463
Funded future construction	<u>19,916,007</u>	<u>25,576,060</u>
Total unexpended plant funds	<u>65,131,371</u>	<u>74,599,806</u>

University of Kentucky
Balance Sheet
March 31, 1976

Schedule 1

With Comparative Figures for 1974-75

LIABILITIES AND FUND BALANCES

	<u>1975-76</u>	<u>1974-75</u>
Loan Funds:		
Federal sponsored loan funds:		
Federal government	\$ 7,588,524	\$ 7,408,145
University matching funds	785,499	751,527
Accumulated interest income	600,983	503,948
Total sponsored loan funds	<u>8,975,006</u>	<u>8,663,620</u>
University loan funds:		
University student loan funds	83,455	82,506
University special student loan funds	284,850	158,146
United Student Aid loan funds	2,000	2,000
Total University loan funds	<u>370,305</u>	<u>242,652</u>
TOTAL LOAN FUNDS	<u>\$ 9,345,311</u>	<u>\$ 8,906,272</u>
Endowment Funds:		
Fund balances	<u>\$ 1,767,313</u>	<u>\$ 1,482,345</u>
TOTAL ENDOWMENT FUNDS	<u>\$ 1,767,313</u>	<u>\$ 1,482,345</u>
Plant Funds:		
Unexpended:		
Outstanding encumbrances	\$ 12,719,875	\$ 9,798,602
Bonds payable	7,040,825	14,604,855
Appropriations - unencumbered balances	7,196,132	15,792,183
Fund balances allocated for construction/renovation	<u>38,174,539</u>	<u>34,404,166</u>
Total unexpended plant funds	<u>65,131,371</u>	<u>74,599,806</u>

Schedule 1

University of Kentucky
Balance Sheet
March 31, 1976
With Comparative Figures for 1974-75

	<u>ASSETS</u>	
	<u>1975-76</u>	<u>1974-75</u>
Renewal and replacements:		
Cash on deposit with Trustees	\$ 7,455	\$ 65,598
Cash on deposit with State Treasurer	655,802	97,706
Investments held by Trustees	855,500	877,184
Due from other funds and affiliated corporations	<u>509,244</u>	<u>1,138,408</u>
Total renewal and replacements	<u>2,028,001</u>	<u>2,178,896</u>
Retirement of indebtedness:		
Cash on deposit with State Treasurer	50,000	50,000
Cash on deposit with Trustees	77,423	107,488
Investments held by Trustees	<u>47,381,419</u>	<u>46,827,729</u>
Total retirement of indebtedness	<u>47,508,842</u>	<u>46,985,217</u>
Investment in plant:		
Land	14,841,557	13,620,718
Buildings	203,818,550	194,440,289
Equipment	<u>55,512,935</u>	<u>53,042,514</u>
Total investment in plant	<u>274,173,042</u>	<u>261,103,521</u>
TOTAL PLANT FUNDS	<u>\$ 388,841,256</u>	<u>\$ 384,867,440</u>
Agency Funds:		
Due from other funds and affiliated corporations	\$ 206,801	\$ 157,955
Investments short-term	<u>3,000</u>	<u>3,000</u>
TOTAL AGENCY FUNDS	<u>\$ 209,801</u>	<u>\$ 160,955</u>

University of Kentucky
Balance Sheet
March 31, 1976
With Comparative Figures for 1974-75

Schedule 1

LIABILITIES AND FUND BALANCES

	<u>1975-76</u>	<u>1974-75</u>
Renewal and replacements:		
Outstanding encumbrances		
Fund balances:		
Restricted	\$ 86,411	\$ 830,000
Unrestricted	830,000	1,348,896
Total fund balances	<u>1,111,590</u>	<u>2,178,896</u>
Total renewal and replacements	<u>1,941,590</u>	<u>2,178,896</u>
Total retirement of indebtedness:		
Bonds payable	2,028,001	33,509,000
Fund balances	<u>33,368,000</u>	<u>13,476,217</u>
Total retirement of indebtedness	<u>47,508,842</u>	<u>46,985,217</u>
Investment in plant:		
Bonds payable	98,242,675	98,271,645
Lease purchase obligation	5,700,000	5,700,000
Net investment in plant	<u>170,230,367</u>	<u>157,131,876</u>
Total investment in plant	<u>274,173,042</u>	<u>261,103,521</u>
TOTAL PLANT FUNDS	<u>\$ 388,841,256</u>	<u>\$ 384,867,440</u>
Agency Funds:		
Agency fund balances	\$ 209,801	\$ 160,955
TOTAL AGENCY FUNDS	<u>\$ 209,801</u>	<u>\$ 160,955</u>

Schedule 2

University of Kentucky
 Schedule of Changes in Fund Balances - Current Funds
 Nine Months Ended March 31, 1976

	<u>Unrestricted</u>		<u>Restricted</u>	<u>Tobacco Research Trust Fund</u>	<u>Hospital and Clinics</u>	<u>Housing and Dining System Funds for</u>		
	<u>Unallocated</u>	<u>Allocated</u>				<u>Future Operating Purposes</u>	<u>Auxiliary Enterprise</u>	
Balances, July 1, 1975	\$ 15,170,372	\$ 4,023,590	\$ 1,692,818	\$ 4,754,168	\$ 916,238	\$ 907,972		
Allocations:								
Current operations:								
Original		(6,196,300)	(1,692,818)					
Current year operation								399,541
Adjustments of prior year expenditures	\$ 22,537				199			
BALANCES March 31, 1976	\$ 22,537	\$ 8,974,072	\$ 4,023,590	\$ -0-	\$ 4,754,367	\$ 1,315,779	\$ 907,972	

Schedule of Changes in Unrestricted Fund and
Hospital Estimated Income
For Nine Months Ended March 31, 1976

Schedule 3

	Original Budget	Revisions	Adjusted Budget
Unrestricted Funds:			
Student fees	\$ 15,808,000	\$ 1,539,835	\$ 17,347,835
State appropriations	76,219,100	338,754	76,557,854
Federal appropriations	8,713,600	1,200,897	9,914,497
County appropriations	1,350,200	130,542	1,480,742
Endowment and trust fund income	158,200		158,200
Investment income	857,900		857,900
Gifts and grants - affiliated corporations	4,288,700	2,318,797	6,607,497
Gifts and grants - other	836,400	195,990	1,032,390
Sales, services and other income	3,652,100	150,470	3,802,570
Fund balances allocated	<u>6,196,300</u>	<u>(307,000)</u>	<u>5,889,300</u>
Total unrestricted	<u>\$ 118,080,500</u>	<u>\$ 5,568,285</u>	<u>\$ 123,648,785</u>
Hospital and clinics:			
State appropriations	\$ 4,684,200	\$ 167,166	\$ 4,851,366
Hospital income	20,010,900	(220)	20,010,680
Fund balances allocated		<u>307,000</u>	<u>307,000</u>
Total hospital and clinics	<u>\$ 24,695,100</u>	<u>\$ 473,946</u>	<u>\$ 25,169,046</u>

Schedule 4

**Schedule of Changes in Unrestricted Funds
and Hospital Expenditure Appropriations
For the Nine Months Ended March 31, 1976**

	<u>Unrestricted</u>	<u>Hospital and Clinics</u>	<u>Total</u>
Original budget	\$ 118,080,500	\$ 24,695,100	\$ 142,775,600
Increases (decreases) as approved by the Board of Trustees:			
July and August, 1975	(294,166)	307,000	12,834
September, 1975	635,799		635,799
October, 1975	623,969	166,946	790,915
December, 1975	1,710,508		1,710,508
January, 1976	966,944		966,944
February, 1976	85,391		85,391
March, 1976	<u>1,839,840</u>		<u>1,839,840</u>
Total per Report	<u>\$ 123,648,785</u>	<u>\$ 25,169,046</u>	<u>\$ 148,817,831</u>

Summary of Current Revenues and Appropriated Balances
Nine Months Ended March 31, 1976
With Comparative Figures for 1974-75

	1975-76			1974-75		
	Estimated for the Year	Realized to Date	% Realized to Date	Estimated for the Year	Realized to Date	% Realized to Date
and and Source:						
Unrestricted:						
Student fees	\$ 17,347,835	\$ 17,430,586	100.5	\$ 15,865,352	\$ 15,692,458	98.9
Federal appropriations	9,914,497	6,721,001	67.8	9,148,863	4,928,004	53.9
County appropriations	1,480,742	869,152	58.7	1,350,280	784,716	58.1
Endowment and trust fund income	158,200	123,553	78.1	158,200	95,769	60.5
Investment income	857,900	332,181	38.7	538,600	484,096	89.9
Gifts and grants:						
Affiliated corporations	6,607,497	740,983	11.2	4,470,619	341,339	7.6
Other	1,032,390	1,018,637	98.7	614,012	305,998	49.8
Sales, services and other income	3,802,570	2,347,134	61.7	3,564,471	3,075,642	86.3
Subtotal	41,201,631	29,583,227	71.8	35,710,397	25,708,022	72.0
State appropriations	76,557,854	60,575,849	79.1	71,035,299	54,867,305	77.2
Fund balances appropriated	5,889,300	5,889,300	100.0	4,672,319	4,672,319	100.0
Total unrestricted	123,648,785	96,048,376	77.7	111,418,015	85,247,646	76.5
Restricted						
Tobacco Research Trust Fund:						
Receipts	10,126,790	6,572,842	64.9	6,960,392	3,953,758	56.8
Fund balances appropriated	4,007,182	2,726,533	68.0	3,600,000	2,616,373	72.7
Total Tobacco Research Trust Fund	1,692,818	1,692,818	100.0	600,000	600,000	100.0
	5,700,000	4,419,351	77.5	4,200,000	3,216,373	76.6
Hospital and Clinics:						
State appropriations	4,851,366	3,724,408	76.8	4,669,701	3,649,395	78.2
Hospital earned income - net	20,010,680	14,354,904	71.7	16,871,412	11,471,690	68.0
Fund balances appropriated	307,000	307,000	100.0	676,300	676,300	100.0
Total Hospital and Clinics	25,169,046	18,386,312	73.1	22,217,413	15,797,385	71.1
Housing and Dining System	10,079,667	8,392,728	83.3	9,101,800	7,588,299	83.4
Auxiliary Enterprises	3,069,475	2,240,344	73.0	2,579,697	1,939,261	75.2
TOTAL CURRENT FUNDS AND APPROPRIATED BALANCES	\$ 177,793,763	\$ 136,059,953	76.5	\$ 156,477,317	\$ 117,742,722	75.2

Schedule 6

University of Kentucky
Summary of Current Funds Expenditures
Nine Months Ended March 31, 1976
With Comparative Figures for 1974-75

Fund or Function: unrestricted:	1975-76			1974-75		
	Appropriation for the Year	Expended Encumbered to Date	% Expended or Encumbered to Date	Appropriation for the Year	Expended or Encumbered to Date	% Expended or Encumbered to Date
Departments of Instruction and research						
Summer session - 1975	\$ 47,371,065	\$ 33,405,547	70.5	\$ 42,772,172	\$ 30,215,764	70.6
Organized activities	1,029,920	887,109	86.1	827,501	770,117	93.1
Organized research	1,415,495	854,688	60.4	1,208,192	781,246	64.7
Libraries	9,971,110	7,165,071	71.9	9,099,773	6,344,430	69.7
Extension and public service	3,755,455	2,843,647	75.7	3,194,825	2,534,835	79.3
Maintenance and operation of plant	13,472,845	9,392,801	69.7	12,676,828	8,795,464	69.4
Renovation, office furniture, etc.	9,653,715	6,535,855	67.7	8,991,006	5,944,754	66.1
General administration	2,958,896	1,184,800	40.0	2,309,825	2,309,825	100.0
Student services	5,005,881	3,498,192	69.9	3,787,453	2,713,960	71.7
Staff benefits	3,573,279	2,551,070	71.4	3,150,166	2,199,385	69.8
General institutional services	11,554,653	8,068,622	69.8	10,428,845	6,914,052	66.3
Service enterprises	6,146,567	4,406,089	71.7	5,047,858	3,494,266	69.2
Debt service cost	239,165	283,124	118.4	198,791	191,471	96.3
Student aid	5,925,500	5,359,789	90.5	5,946,400	5,252,770	88.3
Reserves	1,566,244	1,292,259	82.5	1,633,618	1,251,903	76.6
	8,995			144,762		
Total unrestricted	123,648,785	87,728,663	70.9	111,418,015	79,714,242	71.5

University of Kentucky
Summary of Current Funds Expenditures
Nine Months Ended March 31, 1976
With Comparative Figures for 1974-75

id or Function:	1975-76			1974-75		
	Appropriation for the Year	Expended or Encumbered to Date	% Expended or Encumbered to Date	Appropriation for the Year	Expended or Encumbered to Date	% Expended or Encumbered to Date
Departments of instruction and research	\$ 3,144,655	\$ 1,534,396	48.8	\$ 1,987,973	\$ 842,320	42.4
Organized activities	29,700	28,704	99.0	300	300	100.0
Organized research	416,663	185,351	44.5	330,094	144,104	43.7
Libraries	25,195	8,194	32.5	34,636	17,759	51.3
Extension and public services	338,516	307,242	90.8	468,819	284,923	60.8
General institutional services	463,176	308,730	66.7	417,344	200,531	48.0
Student aid	5,218,080	4,544,427	87.1	3,356,283	2,527,133	75.3
Reserves	490,805			364,943		
Total restricted	10,126,790	6,917,044	68.3	6,960,392	4,017,070	57.7
Research Trust Fund	5,700,000	3,591,508	63.0	4,200,000	2,478,253	59.0
Hospital and Clinics	25,169,046	17,232,882	68.5	22,217,413	15,491,099	69.7
Dining and Dining System	10,079,667	7,994,188	79.3	9,101,800	7,281,672	80.0
Auxiliary Enterprises	3,069,475	2,250,348	73.3	2,579,697	1,801,529	69.8
TOTAL CURRENT FUND EXPENDITURES	\$ 177,793,763	\$ 125,714,633	70.7	\$ 156,477,317	\$ 110,783,865	70.8

Schedule 7

University of Kentucky
Schedule of Short Term Investments
For the Nine Months Ended March 31, 1976

	<u>Maturity Value</u>	<u>Cost</u>
Restricted Current Fund:		
Government securities:		
Federal National Mortgage Association Notes, 10%, 6-10-76	\$ 400,000.00	\$ 400,000.00
Federal Home Loan Banks Bonds, 7.20%, 2-25-77	100,000.00	100,000.00
Total government securities		<u>500,000.00</u>
Certificates of deposit:		
Bank of Commerce & Trust Company, 7.25%, 4-13-76	500,000.00	500,000.00
Central Bank & Trust Company, 7.30%, 4-26-76	200,000.00	200,000.00
Central Bank & Trust Company, 7.40%, 5-11-76	500,000.00	500,000.00
Central Bank & Trust Company, 7.35%, 6-28-76	400,000.00	400,000.00
Citizens Fidelity Bank & Trust Company, 6.95%, 6-28-76	400,000.00	400,000.00
First Security National Bank & Trust Company, 7.30%, 6-28-76	200,000.00	200,000.00
Central Bank & Trust Company, 7.30%, 7-9-76	400,000.00	400,000.00
First Security National Bank & Trust Company, 7.30%, 7-9-76	400,000.00	400,000.00
First Security National Bank & Trust Company, 7.51%, 7-18-76	500,000.00	500,000.00
Bank of Lexington, 7.50%, 1-16-77	500,000.00	500,000.00
Bank of Lexington, 6.50%, 2-12-77	500,000.00	500,000.00
Bank of Lexington, 6.85%, 3-25-77	500,000.00	500,000.00
Total certificates of deposit		<u>5,000,000.00</u>
TOTAL RESTRICTED CURRENT FUNDS		<u>\$ 5,500,000.00</u>
Agency Funds -certificates of deposit:		
First Security National Bank & Trust Company, 5.5%, 6-2-76	\$ 3,000.00	\$ 3,000.00

University of Kentucky
Schedule of Retirement of Indebtedness Funds
For the Nine Months Ended March 31, 1976

Schedule 8

Source of Funds:	Estimated	Realized	% Realized
Unrestricted current funds	\$ 5,925,500	\$ 5,359,789	90.5
Housing and dining system funds	1,636,401	758,533	46.4
Auxiliary enterprises current funds	172,722	<u>87,270</u>	<u>50.5</u>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	\$ 7,734,623	\$ 6,205,592	80.2
	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Debt Service:			
Unrestricted funds debt:			
Consolidated Educational Buildings-Series A	\$ 504,176	\$ 503,960	99.9
Consolidated Educational Buildings-Series B	468,419	468,222	99.9
Consolidated Educational Buildings-Series C	233,247	233,186	99.9
Consolidated Educational Buildings-Series D	2,453,916	2,452,986	99.9
Consolidated Educational Buildings-Series E	271,513	271,370	99.9
Consolidated Educational Buildings-Series F	560,869		
Community Colleges Educational Buildings-Series A	844,045	841,970	99.8
Community Colleges Educational Buildings-Series B	199,600	199,500	99.9
Community Colleges Educational Buildings-Series C	389,715	<u>388,595</u>	<u>99.8</u>
Subtotal	<u>5,925,500</u>	<u>5,359,789</u>	<u>90.5</u>
Housing and Dining System Revenue Bonds-Series A-I	<u>1,636,401</u>	<u>758,533</u>	<u>46.4</u>

Schedule 8

University of Kentucky
Schedule of Retirement of Indebtedness Funds
For the Nine Months Ended March 31, 1976

Auxiliary Enterprises:

Chi Omega	\$ 2,916	\$ 2,913	99.9
Alpha Delta Pi	13,704	10,920	79.7
Dorms A-F	40,680	4,767	11.7
Dorms G-L	50,584	15,244	30.1
Phi Delta Theta	7,702	2,344	30.4
Delta Delta Delta	5,358	4,720	88.1
Alpha Tau Omega	7,815	2,400	30.7
Housing Bonds of 1960	13,400	13,400	100.0
Student Housing Bonds of 1964	30,563	30,562	100.0
Subtotal	<u>172,722</u>	<u>87,270</u>	<u>50.5</u>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,734,623</u>	<u>\$ 6,205,592</u>	<u>80.2</u>

University of Kentucky
Schedule of Sinking Funds
March 31, 1976

Schedule 9

	Total Sinking Fund	Bond Payable	Fund Balances
Bond Issues with Reserves Fully Funded:			
Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 2,015,840		\$ 2,015,840
Consolidated Educational Buildings Project and Interest Sinking Fund:			
Series A	1,130,765		1,130,765
Series B	1,101,516		1,101,516
Series C	393,620		393,620
Series D	4,157,239		4,157,239
Series E	746,082		746,082
Student Housing Bond and Interest Sinking Fund of 1964	85,308		85,308
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund:			
Series A	1,398,109		1,398,109
Series B	349,625		349,625
Series C	642,924		642,924
Student Housing Bond and Interest Sinking Fund of 1960	59,349		59,349
Dormitory Revenue Bonds of 1956	50,000		50,000
Total Bond Issues with Reserves Fully Funded	<u>12,130,377</u>		<u>12,130,377</u>
Bonds Fully Funded by Escrow Accounts:			
Bowman, Keeneland, Cooperstown and Student Union Future Bond and Interest Fund	3,070,950	\$ 2,368,000	702,950
Consolidated Educational Series D Refunding Issue:			
Bonds Payable	31,000,000	31,000,000	839,150
Premium on Sale of Bonds	839,150		1,034,375
Amortization of Discount on Investment	1,034,375		4,311,344
Investment Income	4,311,344		(4,877,354)
Interest Paid	(4,877,354)		31,000,000
Total Series D Refunding Issue	<u>32,307,515</u>		<u>1,307,515</u>
TOTAL SINKING FUNDS	<u>\$ 47,508,842</u>	<u>\$ 33,368,000</u>	<u>\$ 14,140,842</u>

Schedule 10

University of Kentucky
Schedule of Renewal and Replacement
For the Nine Months Ended March 31, 1976

	<u>Restricted</u>	<u>Unrestricted</u>	<u>Total</u> <u>Fund Balances</u>
Repair and Maintenance Reserves:			
Housing and Dining System	\$ 750,000	\$ 16,497	\$ 766,497
Student Housing Buildings of 1964	50,000	10,431	60,431
Student Housing Buildings of 1960	<u>30,000</u>	<u>6,027</u>	<u>36,027</u>
Total repair and maintenance reserves	<u>830,000</u>	<u>32,955</u>	<u>862,955</u>
Renewal and Replacement Funds:			
Housing and Dining Funds		989,051	989,051
Other Auxiliary Enterprises		<u>89,584</u>	<u>89,584</u>
Total renewal and replacement funds		<u>1,078,635</u>	<u>1,078,635</u>
GRAND TOTAL	<u>\$ 830,000</u>	<u>\$ 1,111,590</u>	<u>\$ 1,941,590</u>

University of Kentucky
Summary of Other Fund Transactions
For the Nine Months Ended March 31, 1976

Schedule 11

	Balances <u>July 1, 1975</u>	<u>Receipts</u>	<u>Deductions</u>	Balances <u>March 31, 1976</u>
Loan Funds:				
Federal sponsored loan funds:				
Federal government	\$ 6,987,035	\$ 646,335		\$ 7,588,524
University of Kentucky	713,684	71,815	\$ 44,846	785,499
Accumulated interest income	535,914	65,069		600,983
University loan funds:				
University student loan funds	78,170	5,285		83,455
University special student loan funds	177,547	107,303		284,850
United Student Aid loan funds	<u>2,000</u>	<u> </u>	<u> </u>	<u>2,000</u>
TOTAL LOAN FUNDS	<u>\$ 8,494,350</u>	<u>\$ 895,807</u>	<u>\$ 44,846</u>	<u>\$ 9,345,311</u>
Endowment Funds	<u>\$ 1,573,323</u>	<u>\$ 193,990</u>		<u>\$ 1,767,313</u>
Agency Funds	<u>\$ 144,404</u>	<u>\$ 963,519</u>	<u>\$ 898,122</u>	<u>\$ 209,801</u>

Office of the President
May 4, 1976

FCR 2

Members, Board of Trustees:

AGREEMENT WITH SPINDLETOP HALL, INC.

Recommendation: (1) that the Board of Trustees again reserve and dedicate the area of Spindletop Farm indicated on the attached plat for the use of Spindletop Hall for an additional period of ten (10) years from and after July 15, 1976; and (2) that the Chairman of the Board of Trustees be authorized and directed to execute the attached agreement between the University of Kentucky, the University of Kentucky Research Foundation and Spindletop Hall, Incorporated.

Background: The present dedication and agreement regarding the use of the buildings and grounds expires July 15, 1976. The attached proposed agreement contains the specific obligations of the University, UKRF and Spindletop Hall with respect to the use of the buildings and grounds during the additional ten (10) year period.

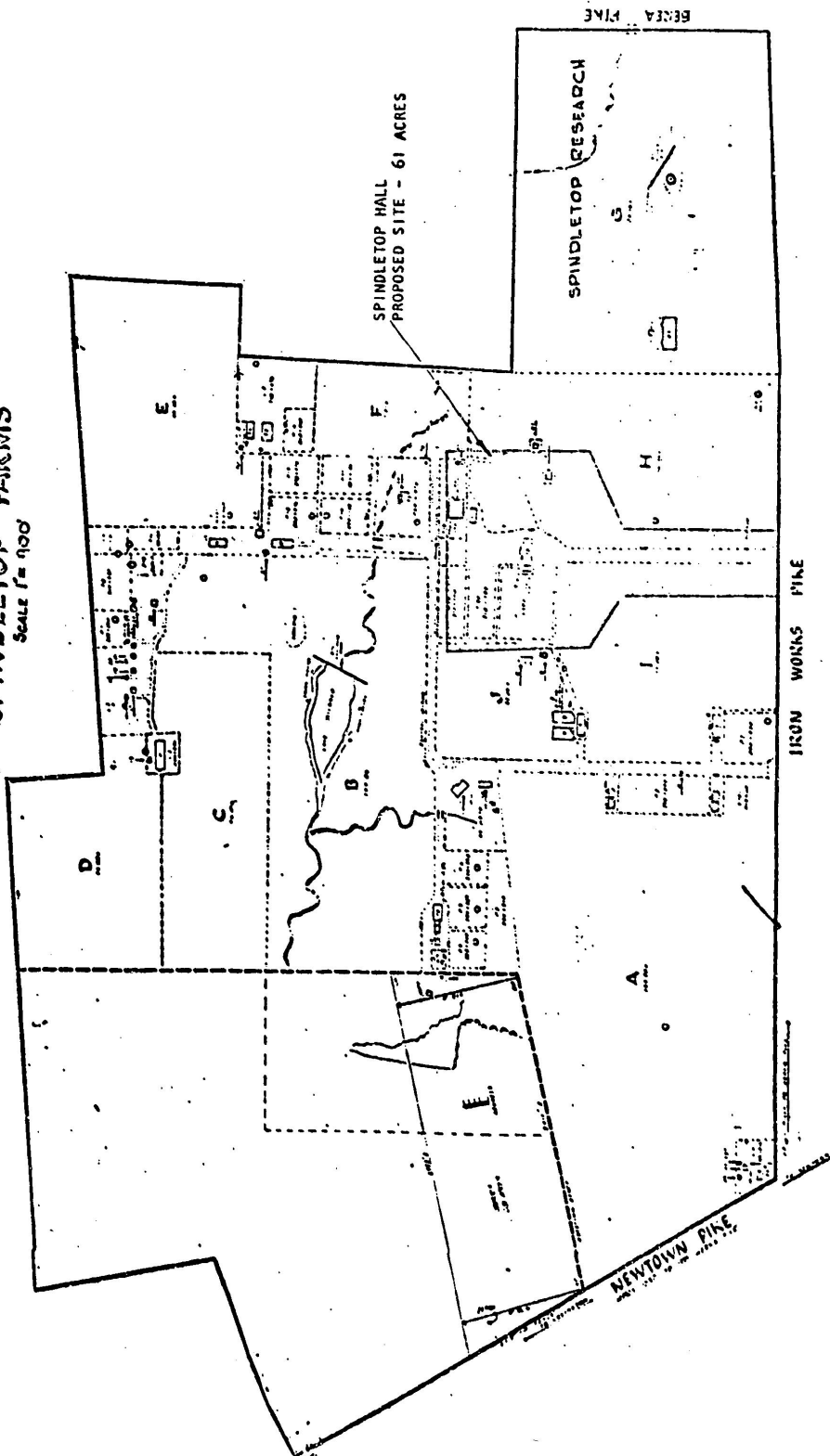
Action taken: Approved X Disapproved _____ Other _____

Date: May 4, 1976

COLDESTREAM FARM

SPINDLETOP FARMS

Scale 1" = 100'



AGREEMENT

**(SPINDLETOP HALL RESERVATION OF LAND)
and buildings thereon**

This Agreement, made and entered into this ____ day of _____, 197____, by and between the UNIVERSITY OF KENTUCKY, hereinafter referred to as the University, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, hereinafter referred to as the Foundation, and SPINDLETOP HALL, INCORPORATED, hereinafter referred to as Spindletop:

WITNESSETH:

WHEREAS, Spindletop is engaged in an organized program of educational, social, and recreational activity, and,

WHEREAS, Spindletop provides the aforementioned benefits to the faculty, staff and alumni of the University, and,

WHEREAS, the University benefits from the fact that its faculty and staff are thus enabled to participate in the said organized social, educational, and recreational program, and,

WHEREAS, the University also benefits from the fact that the activities of the club foster a closer relationship between the faculty and staff of the University and the alumni thereof, and,

WHEREAS, the existence of Spindletop aids the University in the recruitment and retention of faculty members, and,

WHEREAS, Spindletop is operated and maintained upon a self-supporting basis, and,

WHEREAS, the Board of Trustees of the University on July 15, 1966, reserved and dedicated an area of Spindletop Farm for the use of Spindletop for a period of 10 years from and after the date of the said resolution, and,

WHEREAS, the said resolution of the Board of Trustees of the University authorized the Vice President-Business Affairs and Treasurer to negotiate an agreement containing the specific obligations of the University, the Foundation and Spindletop regarding the use of the buildings and grounds so dedicated and,

WHEREAS, the University, the Foundation and Spindletop, did, on January 23, 1968, enter into such an agreement, and

WHEREAS, the Board of Trustees of the University did, on the _____ day of _____, 1976, again reserve and dedicate the same area of Spindletop Farm (see plat attached) for the use of Spindletop for an additional period of ten (10) years, and

WHEREAS, the University, the Foundation and Spindletop again desire to enter into an agreement detailing the specific obligations of the University, the Foundation and Spindletop regarding the use of the buildings, grounds and furnishings during such additional period;

NOW, THEREFORE, the University, the Foundation and Spindletop agree as follows:

(1) Spindletop will continue to plan and develop the property reserved and dedicated for its use with the approval of the University.

(2) Beginning September 1, 1976, the University will provide, and be responsible for, on a 24 hour basis, the routine maintenance of the buildings and grounds including, but not necessarily limited to, the following:

- a - weed and insect control
- b - replacement or addition of plantings
- c - mowing of grass
- d - fertilizing
- e - spraying
- f - tree trimming
- g - maintenance of swimming pools

h - maintenance of all air conditioning and heating equipment

i - maintenance of electrical circuits

j - maintenance of all carpentry items, including hardware, locks, picnic tables, doors, etc.

k - repair of venetian blinds, drapes, carpets, and other furnishings

l - cleaning and maintenance of gutters and downspouts

m - maintenance and repair of existing plumbing equipment

n - replacement of light bulbs

o - lubricating of all equipment

p - furnishing and installation of filters

q - check, clean and make necessary repairs to boilers (including refractory)

r - painting of all buildings, both inside and out; on a scheduled basis, including yearly painting of swimming pools

s - repair of kitchen appliances (such as dishwasher, refrigerators, stoves, etc.)

t - maintenance and repair of elevator in Spindletop mansion

u - insect and rodent control

v - garbage and trash removal

w - maintenance and repair of lawn equipment

(3) Spindletop will provide, be responsible for, and bear the

cost of the following:

a - replacement of kitchen appliances (such as dishwasher, refrigerators, stoves, etc.)

b - day-to-day cleaning of Spindletop Hall and the garage apartments

c - replacement of venetian blinds, drapes, carpets, and other furnishings

d - payment of all utility bills

e - all programs and activities which are an integral part of its operations

(4) Spindletop will bear the cost of all items to be provided by the University pursuant to paragraph (2) above. Payment shall be made by Spindletop upon receipt of billing from the University based on actual costs. An estimate of the costs for such items shall be made available by the University to Spindletop on or before September 1 of each calendar year.

(5) The University will also provide, be responsible for, and bear the cost of the replacement of all major equipment items, including boilers, water softeners, hot water heaters, air conditioners, and also repair and replacement of the roofs, sidewalks, fences, parking lots and swimming pool filtering equipment.

(6) Spindletop will also provide, be responsible for, and bear the cost of security of the buildings and grounds, with security measures to be jointly determined by the University and Spindletop.

(7) UKRF will insure the said buildings and furnishings against fire, wind and other such hazards as are normally insured against by that type of insurance, generally known as fire and extended coverage, and that it will file, in the office of the Executive Director of the Foundation, evidence of its maintenance of the said insurance. The amount of coverage provided in any such policy of insurance shall be a sum approved by the Executive Director of the Foundation and the Vice President-Business Affairs for the University.

(8) Spindletop will procure and maintain public liability insurance in connection with its use and maintenance of the grounds and buildings, and will provide that the said insurance shall insure Spindletop, the Foundation and the University as their respective interests may appear. In connection herewith, Spindletop will file evidence of the maintenance of said insurance with the Foundation.

(9) Prior to embarking upon the construction of any improvements or structure upon the premises, Spindletop will submit to the University complete plans and specifications for each project. Commencement or continuation of the said construction shall be contingent upon the approval of the University.

(10) Prior to entering into any contractual arrangement for any construction and as a condition precedent thereto, Spindletop will develop a method of financing the said construction and will submit the same to the University for its review and approval.

(11) Spindletop agrees that it will, during the term of this agreement and any extension thereof, furnish to the University on March 15 of each year, a certified copy of its financial report for the preceding fiscal period.

(12) Spindletop agrees that it shall, during the term of this agreement, assume the entire responsibility for the operations conducted in or about any of the buildings or upon the grounds.

(13) The Foundation agrees that it will not convey or encumber the area of Spindletop Farm which is the subject of this agreement prior to the expiration of the rededication contained in the resolution of the Board of Trustees of the University, referred to hereinabove, except upon the specific request and approval of the University.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the day and year first above written.

SPINDLETOP HALL, INC.

Attest:

By _____

UNIVERSITY OF KENTUCKY

Attest:

By _____
Chairman - Board of Trustees

UNIVERSITY OF KENTUCKY
RESEARCH FOUNDATION

Attest:

By _____

FCR 3

Members, Board of Trustees:

IMPLEMENTATION OF MALPRACTICE LEGISLATION

Recommendation:

1. That the Board elect, pursuant to subsection (1) of Section 3 of House Bill 712 adopted by the 1976 General Assembly (hereinafter called the Act), to establish a basic coverage compensation fund for the purpose of providing for the payment of claims or judgments for personal injury or death to patients resulting from any tort or breach of duty based on health care services rendered or which should have been rendered by the University or its agents.

2. That the Board authorize the payment of the sum of \$350,000.00 to the state treasurer together with such additional sums as may be required by the Act, for the establishment and maintenance of the "basic coverage compensation fund, University of Kentucky".

3. That the Board designate a claims committee consisting of the University's Legal Counsel as Chairman, the Vice President for Administration, the Vice President for the Medical Center, the University Hospital Administrator and the dean of the college in the Medical Center in which the claim under consideration has arisen, as its duly delegated agent to approve settlements pursuant to Section 3(4) of the Act.

4. That the Board designate the Vice President for Business Affairs and Treasurer as its duly designated agent, for the purpose of certifying to the Commissioner of the Executive Department for Finance and Administration the amounts to be disbursed from the compensation fund pursuant to Section 3(5) of the Act.

Background:

The 1976 General Assembly enacted several items of legislation relating to claims for alleged medical malpractice. This legislation provided, among other things, for the establishment of a "Kentucky patients' compensation fund" from which malpractice claims exceeding \$100,000 (or

aggregate claims in excess of \$300,000 in any one year against a defendant) may be paid. However, each health care provider must, under the legislation, procure insurance to cover the underlying \$100,000/\$300,000 liability exposure or qualify as a self-insurer of the underlying exposure.

The General Assembly, at the same time, enacted H.B. 712 which enables the University of Kentucky to elect to qualify as a self-insurer. Upon making the election the University must pay to the State Treasurer the sum of \$350,000.00 to be held in a separate account for the payment of future claims. Annual payments are also required in an amount equal to 150% of the average amounts actually paid in each year on medical malpractice claims for the preceding five year period. Based upon current rates, commercial insurance would cost considerably more than the above sums.

The current recommendations would, if adopted, permit the University to avail itself of the benefits of H.B. 712, authorize the necessary payments to the State Treasurer, and set up a mechanism for the evaluation of claims made against the University's self-insurance fund.

Action taken: Approved X Disapproved Other

Date MAY 4 , 1976

Office of the President
May 4, 1976

ICR 1

Members, Board of Trustees:

REPORT OF INVESTMENTS

Recommendation: that the report of changes made in investments for the University of Kentucky and its Affiliated Corporations for the quarter ended March 31, 1976 be accepted.

Background: A part of the Board policy regarding investments is a provision that quarterly reports be submitted by the Investment Committee of the Board. The attached reports reflect the purchases and sales made during the First Quarter of 1976 for the University of Kentucky Athletic Association, the Fund for Advancement of Education and Research in the University of Kentucky Medical Center, the University of Kentucky and University of Kentucky Research Foundation.

Action taken: Approved X Disapproved _____ Other _____

Date: May 4, 1976

UNIVERSITY OF KENTUCKY ATHLETIC ASSOCIATION
Statement of Portfolio Changes
For the First Quarter, 1976

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Net Amount of Purchase</u>
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N O N E

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Net Proceeds from Sale</u>
Banks for Cooperatives	2/ 2/76	6.80%	\$ 200,000
Federal Home Loan Banks	2/25/76	8.75%	100,000
Federal Home Loan Bank Bonds	2/25/76	9.20%	100,000
Certificate of Deposit #3397	3/ 4/76	6.40%	<u>100,000</u>
Total - Sales			<u>\$500,000</u>

**THE FUND FOR ADVANCEMENT OF EDUCATION AND RESEARCH
IN THE UNIVERSITY OF KENTUCKY MEDICAL CENTER
Statement of Portfolio Changes
For the First Quarter, 1976**

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Net Amount of Purchase</u>
Certificate of Deposit #623	1/16/76	7.00%	\$ 600,000
Certificate of Deposit #50-0466	2/12/76	6.00%	500,000
Certificate of Deposit #50-0492	3/25/76	5.50%	200,000
Certificate of Deposit #641	3/25/76	6.85%	<u>300,000</u>
Total - Purchases			<u><u>\$1,600,000</u></u>

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Net Proceeds from Sale</u>
Banks for Cooperatives	2/ 2/76	6.80%	\$ 200,000
Federal Home Loan Banks	2/25/76	8.75%	100,000
Federal Home Loan Bank Bonds	2/25/76	9.20%	200,000
Federal Home Loan Bank Bonds	2/25/76	9.20%	<u>200,000</u>
Total - Sales			<u><u>\$ 700,000</u></u>

UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION
Statement of Portfolio Changes
For the First Quarter, 1976

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Net Amount of Purchase</u>
Certificate of Deposit #630	2/12/76	6.50%	\$ 500,000
Certificate of Deposit #50-0491	3/25/76	5.50%	300,000
Certificate of Deposit #639	3/25/76	6.85%	<u>200,000</u>
Total - Purchases			<u>\$1,000,000</u>

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Net Proceeds from Sale</u>
Banks for Cooperatives	2/ 2/76	6.80%	\$ 100,000
Certificate of Deposit #50-0242	2/12/76	7.25%	200,000
Federal Home Loan Bank Bonds	2/25/76	9.20%	100,000
Federal Home Loan Banks	2/25/76	8.75%	<u>200,000</u>
Total - Sales			<u>\$ 600,000</u>

UNIVERSITY OF KENTUCKY
Statement of Portfolio Changes
For the First Quarter, 1976

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Net Amount of Purchase</u>
Certificate of Deposit #622	1/16/76	7.50%	\$ 500,000
Certificate of Deposit #629	2/12/76	6.50%	500,000
Certificate of Deposit #640	3/25/76	6.85%	<u>500,000</u>
Total - Purchases			<u>\$1,500,000</u>

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Net Proceeds from Sale</u>
Certificate of Deposit #3837	1/14/76	7.15%	\$ 500,000
Banks for Cooperatives	2/ 2/76	6.80%	500,000
Certificate of Deposit #50-0241	2/12/76	7.25%	200,000
Federal Home Loan Banks	2/25/76	8.75%	100,000
Federal Home Loan Bank Bonds	2/25/76	9.20%	<u>100,000</u>
Total - Sales			<u>\$1,400,000</u>

Office of the President
May 4, 1976

MCR 1

Members, Board of Trustees:

MEDICAL STAFF BY-LAWS, RULES
AND REGULATIONS

Recommendation: that the Board of Trustees approve revisions in the Medical Staff By-Laws, which govern the organization of the medical staff of University Hospital, and newly established rules and regulations, which govern various aspects of medical practice in the Hospital.

Background: The medical staff of University Hospital is required by the Joint Commission on Accreditation of Hospitals to have both by-laws and rules and regulations to govern the organization of the medical staff and various aspects of medical practice in University Hospital. Each member of the medical staff must agree at the time of appointment to the medical staff to abide by the by-laws and rules and regulations. The original by-laws were approved by the Board of Trustees in September 1971.

Action taken: Approved X Disapproved _____ Other _____
Date: MAY 4, 1976

BYLAWS OF MEDICAL STAFF
UNIVERSITY HOSPITAL
ALBERT B. CHANDLER MEDICAL CENTER
UNIVERSITY OF KENTUCKY
LEXINGTON, KENTUCKY

PREAMBLE

While the medical care provided to the patients in the University Hospital, Albert B. Chandler Medical Center, University of Kentucky, is the ultimate responsibility of the University Board of Trustees, it is the policy of the Board of Trustees to delegate this function, insofar as legally permissible, to the Medical Staff. Thus, the Medical Staff is responsible for the delivery of health services, for keeping pace with advances in medical science, for evolving new concepts of improved hospital organization, and for promoting better health care, education and research. The physicians and dentists practicing in the University Hospital, University of Kentucky, hereby organize themselves to provide a framework for self-government in conformity with the bylaws, rules, and regulations hereinstated, subject to the ultimate authority of the Board of Trustees of the University of Kentucky. These bylaws are those under which the medical staff shall operate and regulate itself.

For the purpose of these bylaws, the term Medical Staff shall be interpreted to include all staff physicians, dentists and graduate medical scientists who are privileged to attend patients in the University Hospital, Albert B. Chandler Medical Center, University of Kentucky, Lexington, Kentucky, and any other medical care activity administered by the University Hospital.

I. Purpose

The purpose of this organization shall be:

1. To establish the professional organization for implementing the fundamental objective of the University Hospital, which on a nondiscriminatory basis provides for comprehensive medical services, including not only diagnostic and curative medical care, but also preventive medical activities, care and rehabilitation of the chronically ill and disabled, dental care, ambulatory services and adequate facilities for education and research for those engaged in activities related to comprehensive medical services.
2. To facilitate effective cooperation with other hospitals and other community health agencies serving the same area in keeping with the above.
3. To facilitate effective affiliation with institutions to assist in the education of physicians, dentists, nurses, pharmacists, and all health professionals.
4. To insure that all patients served receive a high standard of personalized, continuous quality care produced and delivered with appropriate attention to economy and efficiency.
5. To formulate long-range and short-range health planning goals in keeping with the above.
6. To provide a method of continuous self-evaluation of the medical services through the delineation of staff privileges and review of clinical activities.
7. To insure the development and maintenance of high standards in medical education programs.
8. To initiate and maintain rules and regulations for self-government of the Medical Staff.

II. Organizational Structure

- A. The Direct Patient Care segment of the University Hospital administrative organization shall be structured parallel to the major clinical activities of the Albert B. Chandler Medical Center.**
- B. Chiefs of the Clinical Services within the University Hospital shall be those appointed as chairmen of the respective departments, and/or divisions, in the Colleges of Medicine and Dentistry. Such appointments shall be made by the deans of the specific colleges subject to approval by the Hospital Clinical Board and the Board of Trustees of the University of Kentucky. The chiefs of the various services shall have complete responsibility for the care and treatment of the patients in their respective departments, and heads of divisions shall be responsible to the chiefs of services for the care and treatment of patients in the divisions.**
- C. There shall be established a Hospital Clinical Board for the purpose of correlating the activities of the various patient care task forces and hospital committees which, in turn, are charged with the supervision and maintenance of excellent patient care as well as with other factors in hospital management. The function of the Hospital Clinical Board shall be to:**
 - 1. Make recommendations, through regular administrative channels, to the Board of Trustees regarding modification in medical policy and programs.**
 - 2. Provide general guidance and assistance to the medical staff.**
 - 3. Review and evaluate the quality of patient care.**
 - 4. Review and approve applications for staff membership, to include definition of privileges and reappointment to the medical staff.**
 - 5. Assist with the creation and maintenance of good medical staff organization and management.**
 - 6. Transact the majority of the business of the medical staff so that general medical staff meetings can remain professionally oriented.**
 - 7. Act as an executive committee of the Medical Staff.**
 - 8. Ensure that the medical staff is kept abreast of the accreditation program and informed of the accreditation status of the hospital.**

The Hospital Clinical Board shall consist of the Deans of all the Colleges of the Medical Center, the Associate Dean for Clinical Affairs in the College of Medicine, the Chiefs of the Hospital Clinical Services as described in Paragraph B of Section II, the Chairman of the Department of Community Medicine, the Director of the University Student Health Service, the Director of the University Hospital, the Associate Dean for Veterans Affairs, the Director of Nursing of University Hospital, and the Vice President for the Medical Center (or their respective designates).

The Dean of the College of Medicine or, in his absence, the Associate Dean for Clinical Affairs, shall serve as Chairman of the Hospital Clinical Board; the Hospital Director as Secretary.

The Hospital Clinical Board shall meet on a monthly basis.

III. Qualifications of Medical Staff

Appointments of fully licensed physicians (M.D. or D.O.) and licensed dentists shall be approved by the University Board of Trustees. The appointed physicians and dentists are individually responsible for the professional care rendered to the patients in the hospital.

The professional conduct of the members of the Medical Staff shall comply with generally accepted principles of medical ethics and moral standards of the American medical and dental professions.

A. Procedure for Appointment

The responsibility for determining the training and experience of members of the Medical Staff rests with the chairman of the department involved. Because of the wide nature of duties and activities, such training and experience will necessarily vary from individual to individual and from department to department. It shall be incumbent upon each chief of a clinical service to assure himself and the governing body of the institution that individuals recommended for staff appointment possess satisfactory training and experience in areas they are to serve. The chairman shall also require the individual to read and abide by the Medical Staff Bylaws, and Rules and Regulations. The chairman of each service shall make appropriate recommendations, including delineation of privileges, to the Hospital Clinical Board which shall approve all Medical Staff appointments. Appointments to the University Hospital Medical Staff are further enhanced with the physician first being appointed to the Faculty of the College of Medicine or College of Dentistry. All candidates for University Hospital staff appointments must be submitted to the Hospital Clinical Board at least one month prior to the actual effective date of the appointment. In instances where a new faculty member arrives at a time when the Hospital Clinical Board cannot approve his appointment on a timely basis, temporary privileges may be granted by the chairman of the Hospital Clinical Board.

B. Privileges

Individual medical staff privileges of the department members will depend upon their training and experience. For the most part, certification by the appropriate specialty board will qualify an individual for full privileges of his specialty within a given department. In instances where full privileges are not to be granted, specific notation of those privileges not granted shall be made in writing to the individual and to the Hospital Director at the time of appointment. A copy of this notification shall be kept on file by the Hospital Director. In no instance shall privileges in a clinical service other than the one for which the appointment has been made be granted an individual without written notification by the various chiefs of the clinical services involved as to specific privileges granted. All privileges must be reviewed annually by the Department Chairman and Associate Dean for Clinical Affairs.

Privileges granted to dentists shall be based on their training, experience, and demonstrated competence and judgment. The scope and extent of surgical procedures that each dentist may perform shall be specifically delineated and granted in the same manner as all other surgical privileges. Surgical procedures performed by dentists shall be under the overall supervision of the chief of surgery. All dental patients shall receive the same basic medical appraisal as patients admitted to other surgical services. A physician member of the medical staff shall be responsible for the care of any medical problem that may be present at the time of admission or that may arise during hospitalization.

C. Emergency Privileges

In the event of an emergency situation requiring the presence of a physician or dentist not on the active or associate staff of University Hospital, emergency privileges may be granted by the Chairman of the Hospital Clinical Board or, in his absence, the Associate Dean for Clinical Affairs, at the request of the Chief of the Clinical Service involved.

D. Termination of Privileges

Staff membership at University Hospital is dependent upon faculty status in the Colleges of Medicine and Dentistry and termination of such faculty status shall automatically result in termination of staff membership. In the case of full-time faculty changing to a voluntary or part-time faculty status, hospital staff membership must change from active to associate.

E. Medical Staff privileges may be suspended upon recommendation of the Dean and Vice President and shall remain so suspended until a satisfactory inquiry may be conducted by the Board of Trustees.

F. Appeals of faculty suspension and termination and, therefore, medical staff appointments will be handled through academic channels according to established University policies.

G. Types of Appointment

1. Active - All full-time clinical faculty members of the Medical Center, including V.A. clinicians with faculty appointments at the University of Kentucky, may be appointed to the active staff at University Hospital.
2. Associate - Part-time and voluntary faculty members shall have associate staff appointments at University Hospital.

IV. Allied Health Professions

Direct provision of patient care by members of various allied health professions makes it imperative that these individuals be granted privileges at University Hospital. Since allied health personnel are professionals and are specialized in specific areas, their appointments and privileges must undergo a review by the Chief of the Clinical Service involved and, upon his recommendation, must be approved by the Hospital Clinical Board. The privileges of allied health personnel will depend upon their training and experience and will be set forth in writing by the Chief of the Clinical Service to which they are appointed. The activity of such staff members is the complete responsibility of the Chief of the Clinical Service. A copy of the privileges of each allied health professional must be sent to the administrator within one month of his appointment.

V. Committees of the Hospital Clinical Board

To continually monitor the administrative and patient care activities within University Hospital, the following committees shall be appointed by the Chairman of the Hospital Clinical Board; they, in turn, shall study the areas of their responsibility and report directly to the Hospital Clinical Board. All committees shall meet monthly except where designated otherwise. All committees shall submit monthly written reports to the Hospital Clinical Board except where designated otherwise.

A. Utilization Review Committee

1. Membership

The Utilization Review Committee shall be composed of one or more members of each clinical service with admitting privileges, if the size of the service permits, and the Hospital Director or his representative, the Director of the Medical Record Department, and representatives of Nursing Service, and the Social Services Department. Members shall be appointed for a two-year term. One member shall be designated as the chairman. One-half of the committee members will be replaced each year. The Medical Record Administrator is designated to

serve as secretary and is responsible for all records of the committee. Other members of the medical staff and hospital staff may be invited to participate, if the committee so desires. The Chairman of the Hospital Clinical Board shall appoint members to fill vacancies occurring during the year.

2. Function and Responsibilities

The organization, function and responsibilities of the Utilization Review Committee shall be in accordance with Hospital Policies and Procedures as set out in the Utilization Review Plan approved by the Hospital Clinical Board.

B. Medical Record and Auditing Committee

1. Membership

This committee on Medical Record and Auditing shall consist of one member from each clinical service with admitting privileges, if the size of the service permits, Hospital Director or his representative, the director of the Medical Record Department, and a representative of Nursing Service. The Medical Record Administrator is designated to serve as secretary for the committee. Other members may be invited to participate as the committee desires.

2. Function and Responsibilities

The Medical Record and Auditing Committee shall:

- a. Supervise the maintenance of medical records at the required standard of completeness, advise and recommend policies for medical record maintenance, and supervise medical record organization and content to insure that details are recorded in the proper manner and that sufficient data are present to evaluate the care of the patient.
- b. Review the quality of medical care given the patient by supervising and reviewing the monthly medical audit program conducted by each clinical service, assist the services in the use of patient profile data and other available reports as part of their medical care audit, establish a program for the reporting of minutes of clinical service meetings and conferences in connection with medical audits, and, periodically obtain minutes for review by this committee and hospital accrediting agencies.
- c. Review and evaluate reporting of patient care to referring physicians, dentists, and agencies and recommend corrective action as indicated.
- d. Review and evaluate the various programs of the Medical Record Department and make recommendations as may be indicated.
- e. Review and approve any changes in forms or format of the medical record.

C. Hospital Infection Committee

1. Membership

The membership of this committee shall include those persons primarily involved in the study of infection as well as other members of the medical and hospital staff whose duties and responsibilities lie within appropriate areas. A nurse epidemiologist and/or a staff member from Microbiology should be members of the committee.

2. Function and Responsibilities

The Hospital Infection Committee shall:

- a. Recommend policy and procedures for the control of infections in University Hospital.
- b. Study outbreaks of infection and determine, if possible, their causative factors and how subsequent outbreaks may be prevented.

D. Hospital Safety Committee

1. Membership

- a. In addition to the physician membership, this committee shall include administrative and staff personnel in its membership to provide expertise needed in specific areas.
- b. Within the committee, the following positions of specific responsibility shall be created and shall report to the committee:
 - (1) Safety Officer
 - (2) Disaster Officer
 - (3) Fire Marshall
 - (4) Radiation Safety Officer

2. Function and Responsibilities

The Hospital Safety Committee shall:

- a. Survey safety hazards and recommend safety policies and practices to be followed in University Hospital.
- b. Conduct periodic inspections of University Hospital; report to the offenders and appropriate administrative officials such violations as may be found; make appropriate recommendations for correction.
- c. Review monthly all accident reports, including study of the circumstances attendant upon accidents under review and of the resulting injuries; recommend action or policies, as appropriate, for the prevention of similar accidents and injuries.
- d. Develop an appropriate system for classifying accident reports in order that accident trends can be analyzed for guidance in developing an accident prevention program.
- e. Provide mechanisms to monitor radiation safety and patient medication safety.
- f. Assure compliance of University Hospital to Standards of Hospital Safety of the Joint Commission's "Standards for Accreditation of Hospitals" except those relating to control of infections.

E. Pharmacy and Therapeutic Committee

1. Membership

In addition to the physician membership, this committee shall include appropriate representation from Pharmacy and Materials Management.

2. Function and Responsibilities

The Pharmacy and Therapeutic Committee shall:

- a. Serve in an advisory capacity to the medical staff and administrative officials in all matters pertaining to the use of drugs.
- b. Serve in an advisory capacity to the medical staff and pharmacists in the evaluation and selection of drugs which meet the most effective therapeutic quality standards; and make a basic list of such drugs, avoiding unnecessary duplication of drugs or their combinations.
- c. Make recommendations concerning drugs to be stocked in patient units or services, basing judgment on costs as well as therapeutic value.
- d. Establish or plan suitable educational programs for the hospital staff and medical staff on matters pertinent to drugs and their use.
- e. Recommend policies for the safe use of drugs; maintain liaison with the Medical Center Committee on Human Investigation and Studies regarding policies related to investigational drugs.
- f. Review reported adverse reactions to drugs administered.
- g. Plan and develop an appropriate poison control program and serve as an advisory group to the Hospital Clinical Board and the Hospital Director on problems of poisoning hazards and their control.
- h. Establish a periodic reporting program which would identify drug use patterns that create economic problems for the total patient care system. Advise the Hospital Clinical Board on appropriate countermeasures which do not compromise principles of rational therapeutic practices.

F. Laboratory Advisory and Transfusion Review Committee

1. Membership

In addition to the physician membership, this committee shall include appropriate representatives from Administration and other Supporting Services.

2. Function and Responsibilities

The Laboratory Advisory and Transfusion Review Committee shall:

- a. Study the role and functions of the Clinical Laboratory of the Medical Center; evaluate the effectiveness of the laboratory in meeting the needs of those responsible for the clinical care of patients; recommend changes in policy, procedures, or administrative practice when indicated.
- b. Keep under review and make recommendations as to the responsibility of the clinical services for clinical laboratory service in the Medical Center.
- c. Recommend the organization and staffing of clinical laboratories to provide laboratory service of high quality.
- d. Review and advise on all problems of relationship between central clinical laboratories and clinical services.

- e. Advise on the scope of services to be provided, especially new procedures, which should be incorporated into the laboratory services and those which should be discontinued.
- f. Work in close cooperation with the director of the hospital laboratories.
- g. Promote vigilance in the use of blood and blood derivatives in University Hospital for the protection of all concerned.
- h. Review the transfusions of all blood and blood products and all transfusion reactions.
- i. Advise on programs for provision of safe transfusion practices and recommend improvements.
- j. Periodically, review the safeguard used in identification and compatibility testing of blood in the hospital as well as safeguards used in identifying crossmatched blood immediately prior to transfusion.

(Deleted -- Therapeutic Abortion Committee)

G. Tissue Committee

1. Membership

In addition to other physicians on the committee, at least one member shall be appointed from the Department of Pathology. The Hospital Director or his designee shall be represented.

2. Function and Responsibilities

The Tissue Committee shall:

- a. Be assured that all tissue removed at a surgical procedure is sent to the Pathology laboratory and that a report is submitted.
- b. Study periodically agreement or disagreement among preoperative, postoperative, and pathologic diagnosis for the purpose of determining the justification for surgical procedures undertaken in University Hospital including those procedures where no tissue was removed.

H. Ambulant Services Committee

1. Membership

In addition to the physician membership, the Hospital Director or his designee and Nursing Services shall be represented. This committee shall be chaired by a member of the university medical faculty appointed by the Dean of the College of Medicine.

2. Function and Responsibilities

The Ambulant Services Committee shall:

- a. Coordinate the activities of the outpatient clinics and the emergency room.
- b. Assure the availability of ancillary Medical Center services to ambulant patients as indicated.

- c. Maintain close contact with the Medical Record Department concerning ambulant services.
- d. Assist the clinical services in the development of new or expanded ambulant services.
- e. Study problems which arise in ambulant care and make appropriate recommendations.

J. House Staff Committee

1. Membership

All clinical services which maintain a training program or are utilized in other training programs shall be represented when the size of the department allows.

2. Function and Responsibilities

The House Staff Committee shall:

- a. Administer all house staff functions and activities under the direction of the Associate Dean for Clinical Affairs. (Maintain and supervise an office for House Staff Affairs.)
- b. Aid in the development of the House Staff budget.
- c. Aid in the recruitment of House Staff by all clinical departments.
- d. Review current and proposed house staff programs and make recommendations regarding program content. (Make final rankings in the case of rotating interns.)
- e. Study problems arising in House Staff affairs and make appropriate recommendations.

K. Joint Conference Committee

1. Membership

This committee shall be composed of the members of the Medical Center Committee of the Board of Trustees and at least two members of the medical staff elected by the Hospital Clinical Board. The chairman of the Hospital Clinical Board or his representative and the Hospital Director or his representative shall be ex-officio members.

2. Function and Responsibilities

The committee shall consider any matters regarding University Hospital Administration, patient care and medical staff relations that shall be brought before it and shall review all medical audit studies for appropriateness.

3. Meetings

This committee shall meet at least quarterly and more frequently when necessary, upon call by the chairman. Any member of the Board of Trustees or of the medical staff may request a meeting to consider a problem of immediate concern.

L. Ad Hoc Committee

From time to time, ad hoc committees may be designated by the Chairman of the Hospital Clinical Board for the purpose of studying specific areas of function within University Hospital. Such committees shall remain in effect for the duration of the problem they are appointed to study.

VI. Patient Care Task Forces

Patient Care Task Forces shall be established for surgical, medical, obstetric, pediatric, psychiatric, and neurological services. These task forces shall assume the individual responsibility of assuring excellence in patient care. In this manner, the direction and maintenance of patient care will be carried out on the individual unit.

A. Membership - Active members of each Task Force are appointed by the Dean of the College of Medicine and shall include:

1. Chief of Clinical Service (medical management)
(The Chief of the Hospital Dental Service shall be a member of the appropriate Task Force.)
2. Chief Resident (medical management)
3. Assistant Hospital Director (patient unit management)
4. Assistant Director of Nursing (nursing management)
5. Clinical Pharmacist (materials management)

The Chiefs of Clinical Services shall serve as chairmen of the Task Forces. An Assistant Hospital Director shall serve as vice-chairman.

B. Meetings

Each task force shall conduct at least one monthly meeting and more often on request of the chairman. Any member of the task force may petition to the chairman for a meeting regarding any given task force. The chiefs of the anesthesiology, pathology, radiation therapy, etc., services may also petition the chairman of any task force for a meeting regarding specific problems. Meetings may take place in the absence of the chairman in the interests of regular communication and timely problem resolution.

C. Function and Responsibilities

The Patient Care Task Force in pursuit of its responsibility in providing leadership in the direction and maintenance of Patient Unit programs shall function, in general, by:

1. Stimulating and facilitating cooperation, coordination and communication between professional staff, supporting services, and administration in every aspect of patient care.
2. Considering and correcting breaches in established patterns and procedures on the patient units where necessary, and to establish new patterns and procedures within the units as needed to maintain high standards of patient care.
3. Reviewing the utilization and availability of personnel, beds and materials and to make adjustments in the interests of patient care, staff performance and morale.

4. Setting priorities in all requests for patient unit renovations, for space, and for equipment.
5. Ensuring a safe physical environment on the patient unit.
6. Recommending cooperative and coordinated action within the patient care unit to maintain expenditures within resources.
7. Participating in budget development of services on the patient unit through setting of priorities of need in the areas of personnel and materials within the unit.
8. Evaluating the cost effectiveness of various aspects of the patient unit through specific and periodic review of expenditures for personnel, materials, equipment, procedures or for individual patients and exercising sufficient controls to prevent unnecessary expenditures.

The Patient Care Task Forces associated with each Clinical Service have the authority to review any matters related to the operation of the Patient Care Unit. Each task force has the authority to implement its recommendations through the individual responsible for overall management of the unit when such recommendations are not in conflict with institutional policy. In cases of potential or actual conflict with institutional policy, the Task Force has the responsibility to make recommendations to the Hospital Clinical Board through the appropriate committee.

The Patient Care Task Forces are expected to provide leadership in the direction and maintenance of patient care and assume responsibility for assuring excellence in patient care.

(Replaces

C. Function and Responsibilities

The Patient Care Task Force in pursuit of its responsibility in providing leadership in the direction and maintenance of Patient Unit programs shall function, in general, by:

1. Establishing patterns and procedures for all aspects of patient care on the patient unit.
2. Establishing appropriate standards and measuring the effectiveness of the patient unit against these standards.
3. Reviewing the utilization of beds, personnel and materials and making adjustments to correct inappropriate utilization.
4. Monitoring patient satisfaction.
5. Maintaining an acceptable physical environment.
6. Monitoring the use of space to ensure maximum utilization of space allocated in support of patient care.
7. Developing guidelines for the performance of personnel providing indirect patient care.

8. Evaluating the cost effectiveness of the unit through review of expenditures for personnel and materials and exercising sufficient controls to prevent unnecessary expenditures.
9. Considering and recommending action to maintain expenditures within resources including the reduction of budgeted expenses when limitation of resources so dictates.
10. Participating in the development of budgets for the patient unit.
11. Coordinating teaching programs in relation to the quality of patient care.
12. Arbitrating inter-disciplinary issues.
13. Assisting the Hospital Equipment Coordinator in the development of a sound program for maintenance and replacement of patient unit equipment.

The Patient Care Task Force has the responsibility and authority to review any and all matters related to the operation of the patient care unit. It may pursue implementation of its recommendations through the individual responsible for overall management of the unit when such recommendations are not in conflict with institutional policy. In cases where there is questionable or actual conflict with institutional policy, clearance must be obtained from the Hospital Clinical Board.)

D. Reports

The findings and recommendations of the Patient Care Task Force shall be reported to the Hospital Clinical Board monthly. In addition, results of the implementation of such recommendations shall be reported. In the event that recommendations conflict with institutional policy, clearance must be obtained from the Hospital Clinical Board.

VII. Meetings

A. General Medical Staff

The entire medical staff of University Hospital shall meet at least two times per year. At these meetings, reports shall be made concerning the activities of the various committees of the Hospital Clinical Board, the Patient Care Task Forces, and pertinent administrative data as indicated. A record of staff physician attendance will be maintained. Each member of the active medical staff must attend at least one-half of the regularly scheduled and specially called meetings. The time and place of the meeting shall be announced by the Chairman of the Hospital Clinical Board, who also may schedule additional meetings at any time.

B. Clinical Service Meetings

Each clinical service shall meet at least monthly to discuss the quality of patient care and monitor its activities. Minutes of monthly meetings must be submitted to the Hospital Clinical Board and must include a list of those present and a documentation of subjects discussed. In the event that individual patients are discussed in the clinical service meeting, the patient shall be identified by hospital number. It would be expected that most clinical services would hold meetings more often than one per month. It is at each individual meeting that monitoring of patient care, medical records, etc., can best be performed.

NOTE: For medical-legal implications, the "minutes" of such meetings are critical for purposes of audit.

C. Special Meetings

From time to time special meetings will be called for such functions as clinical pathologic conferences, special lectures, etc. When appropriate, records of these meetings should be published.

VIII. Rules and Regulations

The medical staff shall adopt such Rules and Regulations as may be necessary to implement more specifically the general principles found within these bylaws. These shall relate to the proper conduct of the medical staff organizational activities as well as embody the level of practice that is to be required of each member of the medical staff. Such Rules and Regulations shall be a part of these bylaws, except that with the approval of the Hospital Clinical Board, they may be amended by a majority vote of the active medical staff. Such amendments shall become effective when approved by the Board of Trustees.

IX. Amendments

These bylaws may be amended after approval of the Hospital Clinical Board by a majority vote of the active medical staff. Amendments so made shall be effective when approved by the Board of Trustees.

X. Adoption

These bylaws, together with the Rules and Regulations, shall be adopted after approval of the Hospital Clinical Board by majority vote of the active medical staff and shall become effective upon approval by the Board of Trustees.

MEDICAL STAFF RULES AND REGULATIONS

A. Admission, Transfer, and Discharge of Patients

1. **Admission for Inpatient Care:** It will be the general rule that patients are admitted to University Hospital upon referral by a licensed physician or dentist. Voluntary or public agencies may refer patients not having a personal physician through physicians or dentists who are on the staff of the agency or through physicians or dentists who either advise or serve as consultants to the agency. Patients will be returned to the referring doctors for continuing care. To assure availability of beds, it will be necessary for referring doctors to make advance arrangements for hospitalization.
 - a. **Relation with Admitting Department:** Each clinical service will appoint an **Admitting Resident** to screen referrals, directly or indirectly, for appropriateness. When patients are accepted for admission, it is the responsibility of the admitting resident to notify the Admitting Department of the patient's date and time of arrival. Patient referrals will be evaluated on the basis of the need of the patient for the special abilities of the faculty or resources of the Medical Center and their fulfillment of the teaching and research responsibilities of the Medical Center.
 - b. **Obstetrical Admissions:** Obstetrical patients are eligible to be admitted to University Hospital for delivery if they have been previously enrolled in the outpatient prenatal program or if they are to be attended by members of the voluntary faculty. Complicated deliveries may be referred in accordance with regular procedures.

Outpatients requiring admission for inpatient care will be scheduled in the same manner as patients referred for inpatient care or admitted directly to the inpatient floor following notification of the Admitting Office.

2. Admission for Outpatient Care

- a. **With Appointment:** Patients will be seen in the outpatient department by appointment upon the referral by a licensed physician or dentist or by request of the patient. Voluntary or public agencies may refer patients without physician's involvement or under the same conditions applicable to the referral of patients for inpatient care. Advance arrangements are desirable to assure availability of appointments.
- b. **Without Appointment:** Patients appearing for outpatient care without an appointment will be managed as follows:
 - (1) Patients who are currently registered for outpatient care or who have previously registered for outpatient care will be seen as "walk-in" patients insofar as schedules permit.
 - (2) **Unscheduled patients with acute problems** should be scheduled either on the day the patient presents himself/herself or as soon as possible. If a patient's condition is acute and arrangements cannot be made to see the patient in the clinic, the patient may be referred to the emergency room for care. However, once the clinic agrees to see the patient, he/she should be followed through in the clinic, and not referred to the emergency room during the course of the visit.
 - (3) If clinic schedules cannot accommodate patients with non-acute problems on a "walk-in" basis, unscheduled patients will be given an appointment for care on a subsequent date or be advised to seek care elsewhere.

3. Admission for Emergency Care

Any patient presenting himself to University Hospital for emergency care will be eligible to receive such care with the following regulations applicable to those requiring admission for inpatient care.

- a. **Referred Emergency Patients:** Emergency patients referred by a physician or dentist, with prior consultation and approval of the appropriate service, will be admitted for inpatient care by that service.
- b. **Non-Referred Emergency Patients:** Emergency patients who are not referred under the conditions stated above will be admitted for inpatient care subject to the availability of a bed on the particular service. If the service involved is operating at a maximum capacity, a full-time faculty member or resident designated by the service will assure appropriate disposition of the patient by borrowing a bed from another service, returning the patient to his referring physician or dentist and medical facility of origin, or arranging for the transfer of the patient to another Lexington hospital after an attending physician or dentist is identified.
- c. **Emergency Admission Justification:** Physicians or dentists admitting a patient on an emergency shall be prepared to justify to the Utilization Review Committee that the admission was a bona fide emergency. The history and physical examination must clearly justify the patient's admission on an emergency basis, and findings must be recorded on the patient's medical record as soon as possible after admission.
- d. A patient from out of state is eligible for admission to University Hospital by referral of his physician or dentist or, in case of emergency, through the emergency room. Such patients, however, are not eligible for allowance customarily provided to certain Kentucky residents.

4. Attending Physician – Attending Dentist: Each patient admitted to University Hospital for inpatient services must be attended by a physician or dentist who is a member of the full-time, part-time, or voluntary medical staff and who assumes responsibility for the patient's overall medical care.

The attending physician or dentist shall ensure the prompt completeness and accuracy of the patient's medical record. It is the responsibility of each attending physician or dentist to make entries in the patient's medical record sufficient to indicate his approval of the treatment to be rendered. This policy assumes that fellows, chief residents, and other members of the house staff, therefore, cannot be designated as attending physicians or dentists.

5. Admission Diagnosis: Except in an emergency, no patient shall be admitted to the hospital until a provisional diagnosis or valid reason for admission has been stated. In the case of an emergency, the provisional diagnosis shall be stated as soon as possible but within 24 hours after admission.

6. Admission Classification: The seriousness of a patient's condition will determine whether he is admitted to a bed in the appropriate clinical service. The date and time of admission for any patient will be coordinated through consultation with the Admitting Department. The following order of admission priority has been developed:

- a. **Emergency** – Patient will be admitted immediately subject to availability of a bed on the particular service or in the hospital.
- b. **Urgent or Arrangements Made for Transfer from Another Physician or Dentist** – Patient must be admitted as soon as possible.

c. Elective - Patient admitted when advance arrangements and bed availability permit.

7. **Admissions to or Discharge from Intensive and Cardiac Care Units:** If any question as to the validity of admission to or discharge from an intensive care or cardiac care unit should arise, that decision is to be made through consultation with the Medical Director or the respective Special Care Unit designated representative.
8. **Responsibility of Admitting Attending Physician or Dentist:** The admitting attending physician or dentist shall be held responsible for giving such information as may be necessary to assure the protection of the patient from self harm and to assure the protection of others whenever his patients might be a source of danger from any cause whatever.
9. **Admission of Suicidal Patients:** For the protection of patients, the medical staff, and employees of the hospital, certain principles are to be met in the care of the potentially suicidal patient:
 - a. Any patient known or suspected to be suicidal in intent shall be admitted to the psychiatric unit of the hospital. If there are no accommodations available in this unit, the patient shall be referred when possible to another institution where suitable facilities are available. When transfer is not possible, the patient may be admitted to a general area of the hospital where special precautionary measures will be taken.
 - b. Any patient known or suspected to be suicidal must have consultation by a member of the psychiatry medical staff.

10. **Patient Transfers**

- a. **Between Services:** When a patient is to be transferred between major clinical services (e.g., Medicine, Surgery, etc.) or between major divisions of a service (e.g., Orthopedics, Urology), the patient's attending physician or dentist (or his house staff designee) and the appropriate accepting attending physician or dentist of the service to which the patient is being transferred must mutually agree upon the transfer and the date of the transfer. Such a transfer can be accomplished only upon the written order of the physician or dentist requesting the transfer. Notification of such transfer will be made to the Admitting Office.
- b. **Between Physicians or Dentists:** When two attending physicians or dentists within a service agree to transfer a patient from one to the other, the physician or dentist from whom the patient is being transferred is responsible for writing a transfer order. In the case of a transfer between two attending physicians or dentists within a service, the absence of a written order will result in the original physician or dentist remaining the responsible physician or dentist of record for legal purposes, for purposes of completing the record, for purposes of setting professional fees, etc. Notification of such transfer will be made to the Admitting Office.

11. **Patient Discharge**

- a. When the attending physician or dentist (or physician or dentist acting on his behalf) determines an inpatient no longer needs hospital lodging and care on a continuous daily basis, a written discharge order with appropriate instructions will be entered in the patient's medical record. When any of the following conditions exist, the attending physician or dentist (or physician or dentist acting on his behalf) will also issue the patient discharge order with appropriate instructions:
 - (1) The patient did not return to the hospital from an authorized leave of absence at the time specified. Unless specific information is available with respect to the patient's delay in returning, a discharge order should be entered into the

patient's medical chart within five hours, and in no event later than the date the patient was due to return.

- (2) The patient left the hospital without a physician's or dentist's authorization and failed to return. Discharge order should be written within two hours except where circumstances indicate that the patient had left the hospital unauthorized and, therefore, is being discharged "Against Medical Advice."
 - (3) Patient leaves the hospital against medical advice. Discharge order should be written immediately, regardless of whether or not the patient or his responsible agent signed the release form. Writing the discharge order does not in any way relieve the physician or dentist of his responsibility to take all reasonable steps to dissuade the patient from leaving against medical advice or to take any subsequent reasonable steps which he believes to be in the patient's best interests.
- b. To the extent possible, the attending physician or dentist should schedule patients for discharge the day prior to the dismissal date so relatives or friends can be notified and transportation arranged. Discharge orders need to be recorded in the patient's medical record prior to 8:30 p.m. the night before discharge. Discharges normally will be processed between the hours of 9:00 a.m. and 11:00 a.m., but patients shall be allowed until 12:00 noon to check out. Patients awaiting special diagnostic reports on work done the preceding day shall be discharged by 2:00 p.m.

B. Deaths

1. Guidelines for Determining the Diagnosis of Death - UKMC

a. In Ordinary Circumstances (Organ Transplantation not Contemplated)

The traditional signs of death are unresponsiveness, absence of pulse and heart beat, absence of spontaneous respiratory movement, and all other movement, absence of all reflexes including fixed, dilated pupils.

b. In Potential Organ Donors

- (1) The determination of death in organ donors should be made by no less than two physicians who are not involved in determining the suitability of the donor and who are not members of the surgical team performing the transplant.
- (2) The time of death shall be determined by the physician(s) who attend(s) the donor at death and, if none, the physician who certifies the death.
- (3) The presumptive cause of death should be determined and if the decision involves irreversible coma or cerebral death, the fact of death should be ascertained by scientific evidence which in the opinion of the physicians making the determination is current, acceptable and adequate.
- (4) Acceptable scientific evidence forming the criteria for determination of cerebral death in the presence of heart beat and relatively normal blood pressure, whether or not artificial means are used to maintain the circulation of oxygenated blood, shall include:
 - (a) Unconsciousness and total unresponsivity to stimuli which are normally intensely painful.
 - (b) Absence of spontaneous movements for an observation period of at least one hour.
 - (c) Absence of reflexes which involve cranial nerves. The pupils must have dilated and be nonreactive.

- (d) A completely flat (iso-electric) electroencephalogram done under the supervision of a recognized electroencephalographer, provided that severe hypothermia is not present. The iso-electric quality should be determined by the absence of activity of greater than two or three microvolts in all leads with maximum gains.
- (e) Absence of spontaneous breathing. If artificially ventilated, absence of respiratory effort when the artificial ventilation is discontinued for a trial period of three minutes. (Due care should be taken to insure that the patient, if on a mechanical respirator has not been over-oxygenated or unduly hyperventilated so as to reduce the pCO₂ below normal during the 10 minutes preceding the trial.)
- (f) All of the five conditions described earlier in this guideline must persist unchanged for at least 24 hours. In cases of brain injury where there is such gross anatomical damage visible on physical examination or craniotomy as to indicate that the brain is irreparably damaged, extruded, divided or destroyed, the period of observation for the persistence of the conditions described in (a), (b), (c), (d), and (e) may be reduced to one hour.
- (g) There should be a demonstration of absence of barbiturates, sedative drugs, and central nervous system depressants of clinically significant levels in the patient's serum.

(5) In all cases in which artificial ventilation is employed, the pronouncement of death, based on the foregoing criteria, shall be made before artificial ventilation is permanently discontinued.

2. Pronouncing a Patient's Death

Upon suspicion of death, the observing nurse will ask the physician closest at hand to see the patient. If death is confirmed and the physician is from another service, the nurse will immediately notify the service physician involved, giving the name of the physician who confirmed the death. The physician pronouncing the patient dead should indicate such pronouncement and the time of death in the patient's medical record. The formal death note is to be written and signed by the physician pronouncing or confirming death from the service involved before the body will be released from the hospital.

- 3. Notification of Next-of-Kin: Responsibility for providing immediate notices of death to the immediate next-of-kin rests with the attending physician or dentist or his physician designee.
- 4. Request for Autopsy: It shall be the duty of all staff members or his physician designee to secure an autopsy whenever possible. An autopsy may be performed only with a written consent, signed in accordance with state law. All autopsies shall be performed by the Department of Pathology. Provisional anatomic diagnoses shall be recorded on the medical record within 48 hours and the complete protocol should be made a part of the record within three months of the autopsy.
- 5. Donation of Organs: Donation of organs may be accomplished only with a written consent signed and in accordance with state law. This written consent may be in the same manner as an autopsy consent or by the deceased having previously completed an organ donation form or the consent on the Kentucky driver's license. The appropriate service must be notified by the staff member obtaining the autopsy consent when the donation of an organ is involved.
- 6. Notification of Funeral Home: The Admitting Office is responsible for coordinating with the funeral director relative to the time of body release.

C. Medical Records

- 1. General Guidelines: The attending physician or dentist shall be responsible for the preparation of a complete and legible medical record for each patient. Its contents shall

be pertinent and current. This record shall include identification data; complaint; personal history; family history; history of present illness; physical examination; special reports such as consultations, clinical laboratory and radiology services and others; provisional diagnosis; medical or surgical treatment; operative report; pathological findings; progress notes; final diagnosis; condition on discharge; discharge summary; and autopsy report when performed.

- a. **History and Physical:** A complete admission history and physical examination shall be recorded within 24 hours after the admission of the patient. This report should include all pertinent findings resulting from an assessment of all systems of the body. When the history and physical examination are not recorded before an operation or any potentially hazardous diagnostic procedure, the procedure shall be cancelled, unless the attending practitioner states in writing that such delay would be detrimental to the patient. The attending physician or dentist shall countersign the history and physical examination when they have been recorded by a member of the house staff. This may be performed in an attending note within the first 48 hours after admission.
- b. **Progress Notes:** Pertinent progress notes shall be recorded at the time of observation, sufficient to permit continuity of care and transferability. Wherever possible, each of the patient's clinical problems should be clearly identified in the progress notes and correlated with specific orders as well as results of tests and treatment. Progress notes shall be written at least daily on critically ill patients, and those where there is difficulty in diagnosis or management of the clinical problem. Progress notes on inpatients must be written at least three times a week to show significant changing conditions of the patient.
- c. **Operative Reports:** Operative reports shall include a detailed account of the findings at surgery as well as the details of the surgical technique. Operative reports shall be dictated within 24 hours following surgery for outpatients as well as inpatients and the report promptly signed by the surgeon and made a part of the patient's medical record.
- d. **Clinical Entries:** All clinical entries in the patient's medical record shall be accurately dated and signed by the responsible physician or dentist. All entries must be written in blue, blue-black or black ink or ball-point pen.
- e. **Corrections in Clinical Entries:** Corrections in clinical entries may be made only by crossing through as neatly as possible without obliteration of the error. Corrections must be labeled as such and signed by the responsible physician or dentist.
- f. **Abbreviations:** Abbreviations are not to be used in recording final diagnoses or operations. Abbreviations and symbols should be limited to those listed in the approved list of UKMC located on each inpatient floor and in the Medical Record Department.
- g. **Final Diagnoses:** Final diagnoses and operative procedures must conform to preferred terminology listed in the Standard Nomenclature of Diseases and Operations. The disease of first importance (primary diagnosis) should be named first. The final diagnosis shall be recorded, signed and dated by the responsible physician or dentist at the time of discharge of each patient.
- h. **Discharge Summary:** A discharge summary shall be dictated on all patients hospitalized over 48 hours except for normal obstetrical deliveries; normal newborn infants; and certain selected patients with problems of a minor nature. These minor cases shall be identified by the Medical Record and Audit Committee and approved by the Hospital Clinical Board. On these minor cases, a final summation-typed progress note shall be sufficient. In all cases, the content of the medical record shall be sufficient to justify the diagnosis and warrant the treatment and end result.

- i. **Release of Medical Information:** Written consent of the patient is required for release of medical information to persons not otherwise authorized to receive this information. Any information released from the medical record should be handled through the Medical Record Department.
 - j. **Removal of Medical Records:** Under no circumstances are physicians or dentists permitted to remove medical records from the Medical Center complex except upon receipt of a subpoena duces tecum. All records are the property of the hospital and shall not otherwise be taken away without permission of the Hospital Director.
 - k. **Access to Medical Records:** Free access to all medical records of all patients shall be afforded to members of the medical staff for bona fide study and research consistent with preserving the confidentiality of personal information concerning the individual patient.
2. **Medical Record Deficiencies:** A list of record deficiencies will be sent to each Department Chairman and to the Associate Dean for Clinical Affairs the second Wednesday of each month. A warning will be issued by the Associate Dean for Clinical Affairs through the Department Chairman when such incomplete record deficiencies are reported, be they five operative reports, ten attending notes, five signatures on required certifications, or five records older than 30 days or one record older than 60 days following patient discharge. Any of these cases will constitute grounds for suspension of privileges. For those deficient records which have not been eliminated ten days following the warning, a further deficiency report will be submitted to the Associate Dean for Clinical Affairs who may refer the matter to the Hospital Clinical Board. Suspension from attending privileges, or suspension of staff appointment will be considered by the Hospital Clinical Board for the delinquent faculty member.
3. **Consultation:** An adequate consultation shall consist of an examination of the patient and his record and a written or typed report entered in the patient's medical record. Consultation may be performed by residents, fellows, or attending physicians and dentists. When an intern or medical student is involved in the consultation, the consulting attending will be indicated on the report.
- a. **Requested:** When consultation from another physician or dentist is desired, a request form should be fully completed with the necessary information and should make clear the questions being asked of the consultant. It should be initiated as early as possible in the course of the patient's hospitalization so that any pertinent findings in the consultation may be incorporated in the evaluation of the patient and so that action or any recommendation may be initiated prior to discharge. If a consultation is needed urgently, e.g., within 24 hours, the consultation request form should be supplemented by telephone or personal contact with the resident or staff physician or dentist on call.
 - b. **Required:** Regardless of the qualifications of the responsible physician, consultation shall be mandatory in the following cases:
 - (1) Therapeutic Abortions
 - (2) Sterilizations

Consultations shall be required in all major medical or surgical cases in which the patient, in the judgment of the responsible physician, is not a good risk, or where the diagnosis is obscure, or where there is doubt as to the best therapeutic measures to be utilized.
 - c. **Emergency:** The responsible physician shall proceed without consultation in emergencies when it is his opinion that to delay surgery or treatment in order to obtain consultation would endanger the life of the patient. In these situations, the

responsible physician shall make a note in writing in the patient's medical record of both the indications for the operation and the nature of the emergency. In those emergency situations in which the surgery or treatment does involve sterilization or the termination of a known or suspected pregnancy, the attending physician will be responsible to inform another attending physician of the situation and the treatment or surgery contemplated, prior to carrying out the surgery or treatment. The physician so informed will be responsible to confirm such notification at the earliest opportunity by means of a note in writing in the patient's medical record.

D. General Conduct of Care

1. Consent for Care:

a. **General Consent:** General consent is obtained by the Admitting Department at the time of a patient's admission, and is designed to cover all procedures in the hospital which are not of a nature to require a special consent. If it is not possible to obtain the general consent at the time of admission or registration, the responsibility for securing the general consent will rest with the nurse in charge of the division accepting the patient. This includes, but is not limited to, routine hospital care, laboratory procedures, intravenous feedings, diagnostic x-ray procedures and most outpatient treatments. It provides protection for procedures done by hospital personnel, the attending physician or dentist, his assistants, or any other physician or dentist called into the case by the attending physician or dentist. It has the merit of providing personal coverage for all persons who have a legitimate reason for touching or ministering to the patient, and protects both the hospital and physician.

b. **Special Consent:** A special consent form must be prepared before any of the following procedures are carried out:

- (1) All major and minor surgery which involves an entry into the body, either through an incision or through one of the natural body openings.
- (2) Any procedure in which anesthesia is used, whether or not an entry into the body is involved.
- (3) All non-operative procedures which involve more than a slight risk of harm to the patient, or which involve the risk of a change in the patient's body structure.
- (4) All procedures where radium or x-ray is used in treatment of the patient.
- (5) All procedures which involve electroshock therapy.
- (6) All uses of investigational drugs on patients.
- (7) All other procedures which in the physician's or dentist's judgment require a special consent. Any question as to the necessity of obtaining a special consent from a patient should be resolved in favor of procuring the consent.

Responsibility for securing a special consent will rest with the physician or dentist or person performing the procedure. In all cases the physician or dentist or person performing the procedure will be responsible to confirm that a special consent has been signed before the procedure is performed.

c. **Special Consent Concerning Minors:** KRS 214.185 as amended, provides for treatment of minors under the following:

- (1) The physician to examine and prescribe treatment for a minor with the minor's consent only in cases of venereal disease, pregnancy, alcohol and other drug abuse or addiction.

- (2) Any emancipated minor or any minor who has contracted a lawful marriage or borne a child may give consent to the furnishing of hospital, medical, dental or surgical care to his or her child, or himself or herself and such consent shall not be subject to disaffirmance because of majority.
 - (3) Health services may be rendered to minors without consent of a parent or legal guardian in an emergency situation.
 - (4) The consent of a minor who represents that he may give consent for the purpose of receiving health care services but who may not, in fact, do so should be deemed effective without the consent of the minor's parent or legal guardian, if the professional rendering care relied in good faith upon the word of the minor.
 - (5) The professional may inform the parent of the minor patient of any treatment given or needed where informing the parent or guardian would benefit the health of the minor.
- d. **Emergency Consent:** Where an emergency exists which requires immediate action of the hospital or physician or dentist to preserve the patient's life, or prevent a possible permanent impairment of the patient's health, and it is impossible to obtain the consent of the patient or someone legally authorized to consent for him, the required procedure should be undertaken only under the following two conditions:
- (1) It can be shown that the emergency is of sufficient magnitude to justify action without consent, and
 - (2) It can be shown that under the circumstances there is not sufficient time to obtain consent.

In order to constitute an emergency, the threat of life or health must be immediate. If delay in performance of the procedure would not increase the hazard, then a bona fide emergency does not exist. The hospital and physician or dentist must make every effort to document the pathological necessity of proceeding with treatment without consent. Consultations should be undertaken, and the findings recorded in the patient's medical chart. Notations should clearly indicate the conditions stated above.

2. Physicians' Orders

- a. **Verbal Orders:** Verbal orders may be initiated only when the life of a patient would be jeopardized by failure to take immediate action, and are applicable only to orders given by the physician or dentist in the presence of a professional nurse. Such verbal order(s) shall be recorded on the physician order sheet by the physician or dentist not later than 24 hours following the order.
- b. **Written Orders:** All orders, except in the emergency situation described above must be signed by a physician or dentist before they are executed. Senior students may write orders when they are appointed as acting interns by the department chairman. Hospital Administration will be notified in writing prior to student assuming these responsibilities by the department chairman of such appointment. The Dean will present the appointment for confirmation at the next meeting of the Hospital Board. Nursing Services will recognize the orders of acting interns only if the notification of Hospital Administration has been received and these orders are countersigned by a physician or dentist.
- c. **Cancellation of Orders:** Cancellation of all existing orders for a patient is effected on change in service or when the patient is sent to the operating room or delivery

room. An exception may be made if the physician or dentist so indicates in the preoperative orders.

3. **Nursing Involvement in Care of Patients:** If a nurse has any reason to doubt or question the order written on or the care provided to any patient or believes that appropriate consultation is needed and has not been obtained, the nurse shall call this to the attention of the Assistant Director, Nursing, or the patient's attending physician. If warranted, the Assistant Director of Nursing may bring the matter to the attention of the Director of Service wherein the physician or dentist treating the patient in question has clinical privileges. Where circumstances are such as to justify such action, the Director of Service shall personally request a consultation.

4. **Drugs, Medications and Radioactive Materials**

- a. **General Guidelines**

- (1) **Generic Name:** Physicians and dentists must use generic names as listed in the hospital formulary. Trade names will be accepted on physicians' or dentists' orders if available in Pharmacy. If not available, the physician or dentist will be contacted by PCS to rewrite his orders.
 - (2) **Orders in Metric System:** Drugs will be ordered in the metric system. If the physician or dentist orders a drug in the apothecary system, and the nurse recognizes the error before the physician or dentist leaves the unit, the nurse will ask that he rewrite the order in the metric system. If the order reaches the PCS in the apothecary system, a pharmacist will then contact the physician or dentist and ask that the order be rewritten in the metric system.
 - (3) **Take Home Drugs:** All prescriptions for take home drugs must be signed by a licensed physician or dentist.

- b. **Ordering Drugs**

- (1) **Formulary Drugs:** Members of the house staff are authorized to use only those drugs listed in the Formulary.
 - (2) **Non-Formulary Drugs:** Attending physicians and dentists may order non-Formulary drugs for particular patients whenever justified. Since non-Formulary drugs are not routinely stocked by Pharmacy Central Supply, a quantity of the drug must be ordered specially, and the patient must be billed for the entire supply. Frequently this results in a time lag before the drug can be obtained and therapy begun. In order to use a non-Formulary drug repeatedly, the attending staff member is expected to request approval of the drug by the Pharmacy and Therapeutics Committee.

If a member of the attending staff requests a member of the house staff to use a non-Formulary drug, the house staff physician or dentist may obtain the drug by calling the pharmacist, giving him the name of the attending physician or dentist who requested the drug, name of the patient, justification for the drug, etc. Each non-Formulary drug request is followed up by the staff of the Drug Information Center and is reviewed by the Pharmacy and Therapeutics Committee.

- c. **Automatic Stop Orders:** At the time of this printing, drug orders are discontinued automatically according to the following timetable:

Orders for narcotic drugs (Schedules II and III) will be discontinued automatically after five days when no stop date is indicated by the

physician in his order. Orders for antibiotic drugs will be discontinued automatically after five days when no stop date is indicated by the physician in his order. All other drugs will not have Automatic Stop Order Limits.

Physicians are encouraged to write for a specific length of therapy on appropriate drugs and to limit their orders for drugs which require frequent monitoring of response such as anticoagulants and cancer chemotherapeutic agents. Daily, the floor pharmacists are provided with a list of all drug orders which are stopping due either to the stated Automatic Stop Policy or to the end of therapy as specified by the physician.

- d. **Controlled Substances:** Each member of the House Staff should be registered by the Drug Enforcement Administration (formerly BNDD) in order to prescribe controlled substances. This registration is required in addition to the Kentucky license to practice. All orders for Schedule II Controlled Substances must be written in ink or indelible pencils; outpatient prescriptions for Schedule II substances must be written on special Schedule II prescription blanks supplied by the Outpatient Pharmacy.
 - e. **Investigational Drugs:** Investigational drugs shall be used only under the direct supervision of the principal investigator who shall be a member of the medical or dental staff, and who shall obtain the informed consent of the patient prior to the administration of the drug. To initiate use of an investigational drug, the principal investigator shall submit a written protocol to the Human Investigations Committee for review. The protocol should also be reviewed by the Pharmacy and Therapeutics Committee.
 - f. **Radioactive Materials:** Radioactive materials shall be used only under the supervision of a qualified Radiation Medicine physician and personnel. All radioactive materials for human use shall be administered only by individuals approved by the Chairman of the Department of Radiation Medicine, with advice of the Radiation Safety Office and the Medical Center Radiation Safety Committee. They will follow regulations set forth in Hospital Policy 1-05-30.
- E. **General Rules Regarding Surgical Care:** Each member of the department has agreed to practice within the guidelines of the department. The department's rules and regulations are as follows:
1. **General Considerations:** All surgery performed will be under the control of the Chairman, Department of Surgery.
 2. **Surgery Scheduling:** Operating Room time for all elective cases of each surgical specialty will be determined and assigned by the Chairman, Department of Surgery, or his designee. In cooperation with the Chairman, Department of Anesthesiology, the Chairman, Department of Surgery, will develop definitions for both emergency and urgent cases and these definitions will be used in assigning priority of unscheduled, non-elective cases.
 3. **Change in Schedules:** Any addition or deletion to the Operating Room schedule 48 hours prior to the date of surgery can be made by calling the Operating Room scheduling nurse. However, changes occurring within the 48 hour time period preceding surgery will require calls to both the Operating Room nurse in charge of scheduling, plus the Anesthesiologist responsible for the surgery date's activities.
 4. **Emergency Operations:** An emergency operation must meet one of the following criteria:
 - a. The patient's life is in immediate danger if the operation is not performed.

b. The patient's limb is in immediate danger of loss if the operation is not performed.

The Chairman, Department of Surgery, or the Surgical Attending on call will make the determination on emergency cases and assigning priority.

5. **Identification of Patient:** Neither preparation of the patient for surgery nor surgery will be initiated until positive identification of the patient is made by checking the armband of the patient against the operating room schedule. A record shall always be made of this positive identification in the patient's medical record.
6. **Preoperative Evaluation and Documentation:** Prior to preparing the patient for surgery, the medical record will always be examined to see that a consent for the particular surgical procedure to be performed exists. Surgery will not take place without consent except in cases of immediate life threatening emergency. The preoperative diagnosis and current required laboratory work must be recorded on the patient's record, except in cases of an immediate life threatening emergency. If not recorded, the operation will be cancelled. In any emergency, the surgeon shall make at least a comprehensive note regarding the patient's condition prior to initiation of anesthesia and start of surgery.
7. **Time of Admission:** All patients scheduled for surgery must be in the hospital by 3:00 p.m. the day prior to surgery. The Operating Room Nurse Supervisor will be responsible for checking with the Admitting Office at 3:00 p.m. each day, and those patients scheduled for surgery the next day but who have not reported at that time will be removed from the Operating Room schedule and rescheduled.
8. **Starting Time of Operations:** Elective surgical cases will be cancelled and rescheduled if the attending surgeon is more than 15 minutes late for the case.
9. **Outpatient Operations Requiring General Anesthesia:** Any outpatient procedures done in the Outpatient Clinic with general anesthesia will require a minimal three hour recovery time period, and a note must be placed in the patient's chart by the anesthesiologist at the time of discharge. Also, the patient must always be accompanied by another individual who will transport the patient safely from the hospital.
10. **Care in Transport of Patients:** All Operating Room transport personnel will receive training in first aid techniques including the ability to recognize immediately symptoms of cardiac or respiratory arrest.
11. **Efficient Utilization of Operating Room:** The Chairman, Department of Surgery coordinating with the Chairman of the O.R. Committee will be responsible for the efficient utilization of Operating Room resources.
12. **Contaminated Cases:** All Operating Rooms in which surgery on a contaminated patient is performed will be thoroughly scrubbed on completion of surgery. A contaminated patient will be housed in an isolated area of the recovery room. Procedures throughout his stay in the Operating Room and recovery room will be in accordance with the Communicable Disease Center's handbook on infection control and isolation techniques pending available facilities.
13. **Conductivity Environmental Control:** Quarterly tests will be made on the conductive properties of all appropriate instrumentation and equipment within the Operating Room. Environmental control will include periodic monitoring of the humidistats in all of the Operating Rooms to insure that they are registering accurately and not in need of calibration.
14. **Radiation Safety:** Safety of radiation sources will be the responsibility of the Radiation Safety Officer of the Medical Center and the Chairman of the Radiation Medicine Department. Specific safety and control procedures are outlined in Hospital Policy 1-05-30.

15. **Tissue Removed:** All tissue removed during an operation shall be sent (specific handling in laboratory manual) to the anatomical laboratories so that a pathologist can make such examination as he may consider necessary to arrive at a diagnosis. His authenticated report shall be made a part of the patient's medical record.
16. **Oral Surgery:** The Oral Surgery Service, a section of the Hospital Dental Service, will be responsible for all patients referred directly to it, and for unreferred patients admitted to its service. All dental patients must receive the same basic medical appraisal as patients admitted to other clinical services. A history and physical will be completed by a surgical house staff member. A physician member of the medical staff will be responsible for the care of any medical problem that may be present or that may arise during the hospitalization of dental patients.

Requests for consultation will be made to the appropriate medical or surgical services. An attending dentist will be named for each dental patient. All surgical procedures performed by oral surgeons will be in keeping with the guidelines for accreditation of oral surgery postgraduate programs as prescribed by the American Board of Oral Surgery, Council on Dental Education of the American Dental Association, and the Kentucky Board of Dentistry.
17. **Surgical Consent:** Written, signed, informed, surgical consent shall be obtained prior to the operative procedure except in those situations wherein the patient's life is in jeopardy and suitable signatures cannot be obtained due to the condition of the patient. In emergencies involving a minor or unconscious patient in which consent for surgery cannot be immediately obtained from parents, guardian, or next of kin, these circumstances should be fully explained on the patient's medical record. A consultation in such instances may be desirable before the emergency operative procedure is undertaken if time permits.
18. **Anesthesia Record:** The anesthetist shall maintain a complete anesthesia record to include evidence of pre-anesthetic evaluation and post-anesthetic follow-up of the patient's condition.

F. Specific Rules Regarding:

1. Abortions and Sterilizations

- a. **Elective Abortions:** May be performed by a member of the Obstetrics and Gynecology Department at the request of the female without consent of spouse or sexual partner if during the first trimester of her pregnancy.
- b. **Therapeutic Abortions:** Therapeutic abortions may be performed only by a member of the Obstetrics and Gynecology Service.
- c. **Abortions Incident to Therapy:** In the case of surgical procedures which result in abortions incident to therapy, the physician conducting the operation will indicate in the patient's medical record that the procedure will result in the termination of pregnancy.
- d. **Sterilizations:** This operation is performed for the sole purpose of sterilization of the patient, whether male or female. It is the general rule that sterilization may be performed under one or more of the following circumstances:
 - (1) When medical disease complicates pregnancy or may be injurious to the health of the mother or the baby.
 - (2) Many times, socio-economic factors may be used to support the sterilization decision.

- (3) At the request of the patient when informed consent is evident.

The written request for sterilization and the report of the consultant will be filed in the patient's medical record.

- e. Sterilization Incident to Therapy: A sterilization incident to therapy performed primarily for some other medically valid purpose and must be documented in the patient's medical record.
2. Admission of Non-Obstetrical Patients to Obstetrics Division: "Clean" surgical patients with a negative chest x-ray within the last 48 hours may be admitted to the Obstetrical Division only on the order of the Director of Obstetrics or his designee who will review the situation daily with the senior Nursing Service staff member on duty on the Division.

G. Emergency Services

1. Emergency Room Medical Coverage and Responsibilities

Medical care in the Emergency Room will be under the guidance of the Medical Director, Emergency Services. The physician assigned to the Emergency Room shall have primary responsibility for the care of all patients in the Emergency Room until such time as the patient is admitted or formally transferred to the care of another physician, dentist, service, or clinic. Attending physicians, and dentists, and a staff of house officers, who are assigned to the Emergency Room, will personally see each patient and be responsible for:

- a. Initiating early and appropriate consultation with the proper service ascertaining that the patient has been:
- (1) treated and released with the indicated instructions for further follow-up by a private physician or dentist or an appointment made for an OPD visit;
 - (2) accepted by a written note in the chart for care by another service, either on an outpatient basis, or for hospital admission; or
 - (3) sent to another hospital or another physician or dentist for care after that hospital, physician, or dentist has agreed to assume such care.
- b. Appropriate documentation of care and treatment rendered will be made by the treating physician or dentist and reviewed by an attending physician or dentist.
- c. Limiting treatment of patients who will be referred to other services to emergency measures until the consultant arrives and is delegated responsibility for the patient's care.

2. Emergency Room Consultation Coverage

All clinical departments will furnish the Medical Director, Emergency Services, with a list of house officers on Emergency Room call who can be notified by the assigned Emergency Room physicians as soon as it is determined that service should be called for consultation, or when the case might be of teaching interest to them.

3. Admission of Patients from the Emergency Room

- a. Admission with Prior Consultation: An Emergency Room physician will ordinarily obtain the consultation and approval of the appropriate service prior to admitting the patient.

- b. Direct Admission without Prior Consultation: The Medical Director, Emergency Services, or Emergency Room attending physician may admit any patient at any time if he believes this is the correct disposition of the patient and that failure to admit the patient will result in death or serious complication.

4. Referral to Another Hospital

In the rare instance where a patient requiring hospital admission must be sent to another hospital, such transfer will only be carried out with the prior approval of the patient's attending physician.

5. Use of Observation Room

The expected period of observation to facilitate diagnosis is four (4) hours with a maximum of twelve (12) hours. At this time, the patient is to be either admitted or discharged. (This may be waived in individual cases, such as when the twelve (12) hour limit terminates at an early a.m. hour.) Progress notations are to be entered onto the chart every four hours by the physician or dentist caring for the patient.

H. Clinical Staff Disaster Assignments

All medical staff members shall be assigned to locations in the hospital and it is their responsibility to report to their assigned stations upon notification of a disaster. The medical director (Associate Dean for Clinical Affairs, or his designee) will coordinate and work with all assignments and specific procedures for a disaster as set forth in the University of Kentucky Medical Center Disaster Plan.

The chiefs of clinical services and the chief executive officer of the hospital will work as a team to coordinate activities and directions. In cases of evacuation of patients from one section of the hospital to another or evacuation from hospital premises, the chiefs of clinical services during the disaster will authorize the movement of patients. All policies concerning direct patient care will be joint responsibility of the department chairmen and the chief executive officer of the hospital. In their absence, the deputy chairmen and alternative in administration are next in line of authority respectively. The disaster plan should be rehearsed at least twice a year, preferably as part of a coordinated drill in which other community emergencies and agencies participate. There should be a written evaluation of all drills which must involve the medical staff, house staff, and hospital employees.

I. Special Care Units

Special Rules and Regulations are available for each special care unit in the hospital to include:

- Surgical intensive care
- Medical intensive care
- Coronary care
- Pediatric intensive care
- Premature and High Risk Nursery
- Care-by-Parent
- Burn Unit

Please refer to these specific rules and regulations located in each of the above units.

K. Clinical Departments

Rules and Regulations of Clinical Departments will be added to these Rules and Regulations as they are completed.

L. Medical Staff Meetings

The annual meeting of the Medical Staff shall take place on the fourth Tuesday of the month of March. Notice regarding time and place shall be mailed to each member of the staff at least two weeks in advance.

The Associate Dean for Clinical Affairs shall call regular business meetings of the staff when they are necessary. Notice regarding time and place shall be mailed to each member of the staff at least three weeks in advance.

Approved by the Board of Trustees