

AGENDA

Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
December 12, 2006
18th Floor Patterson Office Tower

Roll Call

Approval of Minutes - (Consent)

Minutes – October 10, 2006

President's Report and Action Items

- PR 1 President's Report to the Trustees
College of Engineering Report – Dean Tom Lester
- PR 2 Personnel Actions (Consent)
- PR 3 Proposed Revision of University of Kentucky Governing Regulation
- PR 4 Appointment of Executive Director of the University of Kentucky Research Foundation
- PR 5 Appointment of Vice President for Commercialization and Economic Development

Academic Affairs Committee Report

- AACR 1 Candidates for Degrees
- AACR 2 Candidates for Degrees – Bluegrass Community and Technical College
- AACR 3 Academic Degree Recommendation

Finance Committee Report

- FCR 1 Bill and Susan Casner Gift (Consent)
- FCR 2 Gifts and Pledges to the Hardin- Drnevich -Huang Professorship (Consent)
- FCR 3 Michael D. Rankin Pledge (Consent)
- FCR 4 Gifts and Pledges to the Women's Studies Graduate Research Endowment
(Consent)
- FCR 5 Estate of Corilla E. English Gift (Consent)
- FCR 6 Renaming of Children's Miracle Network Chair No. 1
- FCR 7 Acceptance of Audit Report and the Report on Internal Control for the University of Kentucky for 2005-06
- FCR 8 Acceptance of Interim Financial Report for the University of Kentucky for the Three Months Ended September 30, 2006

- FCR 9 Construct Biological/Pharmaceutical Complex – Update and Authorization Consolidation
- FCR 10 Capital Projects
- FCR 11 Authorization to Sell Approximately 16.8 Acres More or Less of Maine Chance Farm for Fee Simple Right-of-Way Purposes along Newtown Pike in Addition to Temporary Construction/Utility Easements of an Additional 10.6 Acres More or Less to Allow for a Kentucky Transportation Cabinet Newtown Pike Reconstruction Project from I-75 North to Iron Works Pike
- FCR 12 Authorization to Convey 14.89 Acres to the Center for Rural Development, Inc.
- FCR 13 2006-07 Budget Revisions
- FCR 14 Approval of the 2005-06 Endowment Match Program Annual Report
- FCR 15 Patent Assignment Report
- FCR 16 Capital Construction Report

Investment Committee Report

Nominating Committee Report

- NCR 1 Appointment to University of Kentucky Business Partnership Foundation, Inc.
- NCR 2 Appointment to University of Kentucky Mining Engineering Foundation, Inc.

Student Affairs Committee Report

University Hospital Committee Report

Other Business

Adjourn

Office of the President
December 12, 2006

1. Ground Broken for New Student Health Building Near Kentucky Clinic

University of Kentucky President Lee T. Todd, Jr. and other officials broke ground November 15 for the new University Health Service (UHS) building, the preventive and primary health care facility for students. With more than 72,000 square feet, the new building will have more than five times the amount of space available in the current UHS location and will allow 120,000 patient visits annually. The facility is expected to open in March 2008. The projected \$24 million facility will be located adjacent to Kentucky Clinic and the Charles T. Wethington Jr. Building. The 2005 budget passed by the Kentucky General Assembly authorized the university to sell bonds to pay for the facility. Those bonds are backed by student fees. The new facility will feature primary care, gynecology, mental health, and prevention clinics and other services. Currently, UHS is located in a 13,000 square foot space in Kentucky Clinic and conducts about 72,000 patient visits per year.

2. President Bush's Cancer Panel Gathers Tobacco-control Information in Lexington

The President's Cancer Panel, including six-time Tour de France champion Lance Armstrong, visited Lexington in late October to gather information on tobacco control from invited experts and public participants. Among the presenters were Kiyoun Lee, assistant professor of environmental health, UK College of Public Health, and Richard Clayton, professor and associate dean for research, UK College of Public Health. The invited experts agreed that tobacco use is the leading cause of preventable death in the nation and that something must be done to solve the nation's problem with tobacco use. Speakers called for more money for tobacco prevention and control programs, as well as more comprehensive smoking bans across the country. The meeting was one of four across the nation that focused on healthy lifestyles that can reduce cancer risk. The Lexington meeting specifically focused on how policies about tobacco and indoor smoking can positively affect cancer rates.

3. UK Faculty, Start-up Company Display Advances at IdeaFestival in Louisville

UK continued its leadership as a presenting sponsor of the international IdeaFestival (IF) held October 11-14, in Louisville. UK continued its partnership with the event through the leadership of David Mohney, 2006 IdeaFestival speaker and planning committee member and dean of UK's College of Design, a lead sponsor of IF. UK was also home to two other presenters, Buck Ryan and John Stempel, leaders in the fields of journalism and international diplomacy from the School of Journalism and Telecommunications and the Patterson School, respectively.

4. UK Research Now Featured on the ResearchChannel

UK research is now reaching more than 22 million U.S. households on the ResearchChannel, a nonprofit media and technology organization that connects UK investigators with a global audience through cable TV and satellite distribution, and live Web streaming at www.researchchannel.org. Several scientists in UK's Center for Visualization and Virtual Environments have been featured recently, including Ruigang Yang, Computer Science, and Samson Cheung, Electrical and Computer Engineering. The College of Medicine Dean's Distinguished Lecture Series, sponsored by UK's new Center for Clinical and Translational Science, will be featured in coming months.

5. Kentucky Kernel Wins College Journalism's 'Pulitzer Prize'

The Kentucky Kernel, UK's independent daily student newspaper, won the Pacemaker, often called the Pulitzer Prize of college journalism. The Kernel was the only college newspaper in Kentucky to win the prize. The Kernel's winning issues included stories about a UK medical student traveling to Africa to fight the spread of AIDS, a former UK professor suffering from Pick's disease, a student group that traveled to the Gulf Coast to help Hurricane Katrina victims repair their homes, and a special section commemorating the four seniors on the men's basketball team. In addition, the UK yearbook was a finalist for the Pacemaker for the first time.

6. NIH Designates UK Hospital as Hub for Neurological Emergencies Treatment

UK has been selected by the National Institutes of Health as one of 11 academic medical centers designated as Neurological Emergencies Treatment Trials' Network Clinical Hub Sites, thanks to the efforts of the departments of Emergency Medicine, Neurology, and Neurosurgery. The program sets up academic medical centers as "hubs" with affiliated community hospitals as "spokes" that conduct trials focused on improving treatment for neurologic emergencies. UK's spokes include St. Claire Medical Center, Highlands Regional Medical Center, Ephram McDowell Medical Center, Rockcastle Hospital, Kentucky River Medical Center, and Hazard Appalachian Regional Hospital. UK was selected from 30 applications to be awarded funding for this five-year program.

7. College of Nursing Expands Enrollment to Address Coming Nurse Shortage

To help alleviate an increasingly growing nursing shortage in Kentucky and across the nation, the UK College of Nursing will double admissions into its traditional four-year program beginning in fall 2007. The college currently admits 80 Bachelor of Science in Nursing students per academic year – 40 for the fall semester and 40 for the spring. Next year, 160 students will enter the program. UK officials say the caliber of the nursing students will remain high. For each of the past three admission cycles at least 120 qualified applicants were turned away. The college will add eight full-time faculty over the next three years and a number of part-time clinical instructors in order to retain quality instruction for its undergraduates. The supply of nurses in the state is expected to fall short of demand beginning in 2012, and by 2020 Kentucky alone will need an

additional 6,800 nurses. There will be a national shortage of more than 1 million nurses by the year 2020, the National Center for Health Workforce Analysis reports.

8. Astronaut Crippen Presents UK Student with Scholarship During Campus Visit

Astronaut Bob Crippen visited campus in mid-October to present a free public lecture and present a scholarship in an event coordinated by the UK Chellgren Center for Undergraduate Excellence and the UK Office of External Scholarships. Crippen was the pilot of the Space Shuttle Columbia's maiden voyage in 1981 and commanded three other shuttle missions over the next three and a half years. In the early 1990s, he served as director of NASA's Kennedy Space Center. Crippen currently serves as vice chairman of the Astronaut Scholarship Foundation (ASF). Each year, the ASF presents 18 scholarships to students nationwide who exhibit motivation, imagination, and exceptional performance in the science or engineering field of their major. Crippen presented UK Astronaut Scholar Ryan Fischer, a native of Hebron, Kentucky, with one of the 18 scholarship checks. Fischer is a UK senior majoring in biology and agricultural biotechnology and is one of only nine students have received a scholarship from an astronaut visiting his or her home campus.

9. National EPSCoR Conference Discusses Latest Science and Technology Research

Kentucky hosted the 19th annual National Science Foundation Experimental Program to Stimulate Competitive Research (EPSCoR) National Conference, which addressed recent science and technology research and explored ideas to bring new competitive innovation to EPSCoR jurisdictions. The 250 national and international participants included faculty, researchers, legislators, students, and economic development officers from all 27 EPSCoR-eligible U.S. states and territories. John Connolly, UK's director of the Center for Computational Sciences, is the NSF EPSCoR director for Kentucky.

10. Patterson School Fall Conference Brings Key Policymakers to Lexington

Former U.S. Special Envoy Robert Gallucci (now dean of the School of Foreign Service at Georgetown University) and diplomat Brady Kiesling were among more than a dozen key policymakers and academics who visited Lexington in October as part of a Patterson School of Diplomacy conference on "9/11 – Five Years Later: Changing Realities." Kiesling, who gained notoriety as the first federal official to resign over the Iraq war, made a public presentation on America's current threat environment and discussed ethics, responsibility, and integrity with Patterson School students. Gallucci provided a sobering account of the nuclear proliferation threats now being posed by North Korea and Iran. Other participants included top officials from the National Intelligence Office, experts from Canada and the Central African Republic, and former Iran hostage U.S. Charge Bruce Laingen. The fall conference is one of the Patterson School's signature events.

11. Two UK Performers Advance in Metropolitan Opera's District Auditions

The Metropolitan Opera National Council Auditions district auditions were presented by the Lexington Opera Society (LOS) in cooperation with UK Opera Theatre. Three UK students were among the four award winners recognized at this year's district auditions. UK Opera Theatre students Christopher Conley and Brandy Lynn Hawkins took two of the three winning positions. Conley and Hawkins each received an \$800 cash award and will advance to the regional round of Met Auditions scheduled for January 20 in Indianapolis, Indiana. UK Opera Theatre student Amanda Balltrip also received the Encouragement Award at this year's competition. Balltrip received a \$200 cash award.

12. West Virginia's Marshall University Joins UK's Math, Science Education Program

UK's Appalachian Math and Science Partnership gained a new partner in mid-November when Marshall University joined the program. Wimberly Royster, the father of the program that won a \$22 million National Science Foundation grant in 2002, spoke at the event, along with Marshall President Steven Kopp. Marshall's program, which will offer enhanced training to K-12 teachers in five West Virginia counties, expanded the UK federal grant to \$24.5 million. Also attending the news conference was John Yopp, UK's associate provost for educational partnerships.

13. College of Medicine Notes 55 Percent Increase in Number of Applicants

The number of applicants seeking admission to the UK College of Medicine during the 2006-07 cycle increased by 55 percent over the previous year. When the final submission deadline passed November 1, the college had received 2,129 applications for the 2007 entering class, more than double the 1,002 applications received two years ago.

14. First Amendment Center Renamed for Scripps Howard Foundation

The UK School of Journalism and Telecommunications has renamed its First Amendment Center in recognition of the support it receives from the Scripps Howard Foundation. Since the center was founded in 1987, the foundation has contributed nearly \$250,000 to First Amendment-related programming at the school, and recently pledged another \$250,000 over the next five years to support the center. A portion of the new gift designates Mike Farrell, director of the newly christened Scripps Howard First Amendment Center, as a Scripps Howard Fellow. Farrell is a former managing editor of *The Kentucky Post*, a Scripps Howard newspaper. At the event, Farrell presented the first James Madison Awards to recognize Kentuckians for Service to the First Amendment. Awards went to UK graduate Judy Clabes ('67), CEO of the Scripps Howard Foundation, and to Louisville media law attorney Jon Fleischaker.

15. UK Art Department Holds Its 14th Annual Iron Pour Event

UK Department of Art hosted its 14th annual Iron Pour on November 4. The iron pour was one event during a week that celebrated the metal arts program at the university and introduced the art form to the community. UK highlighted its metal arts program with workshops, exhibits, the iron pour, and events with visiting artist and alumnus Charles E. Hook.

16. College of Social Work to Develop University-community Partnership on Aging

The UK College of Social work is developing a university-community partnership designed to provide master of social work students (MSW) with rich field experiences in the area of aging. These experiences will cross a range of care methods. The partnership is funded through a three-year \$75,000 grant from the Social Work Leadership Institute at the New York Academy of Medicine and a \$75,000 match from the university. The program will be directed by Janet Ford, director of graduate studies and principal investigator for Project AGE, and Beth Mills, director of field education and project coordinator for the grant. Robynn Pease, adjunct faculty member and coordinator of Aging Services for the Lexington-Fayette County Urban Government, will assist Ford and Mills with curriculum development and teach a special field seminar for Project AGE students.

17. Student Awards and Achievements

Jonica Burke, Martin School of Public Administration and Public Policy doctoral candidate, received second place from the Association for Budgeting and Financial Management for the Curro Student Paper Award.

Mario Garcia and Mark Huseeth, Music doctoral students, have been selected as members of this year's prestigious 29-member Soldiers' Chorus of the U.S. Army Field Band. Also selected was Music alumna Staff Sergeant Charis Strange.

Sandi Winter, Martin School of Public Administration and Public Policy doctoral candidate, won first place in the graduate category for her poster "Improving the Quality of Health Care Delivery in a Corrections Setting" at the American Correctional Association 136th Congress of Correction in Charlotte, North Carolina.

18. Faculty and Staff Awards and Achievements

Carmen Agouridis and Richard Warner, Biosystems and Agricultural Engineering, and Christopher Barton, Forestry, received \$1,070,000 from the Kentucky Department of Fish and Wildlife Resources for stream restoration of Guy Cove as part of the overall reforestation efforts being conducted by UK in Robinson Forest. The work conducted through this competitive award is an example of UK protecting and restoring the environment as part of its Commonwealth Collaboratives research initiative.

Glen Aiken, USDA-ARS Forage Animal Production Research Unit, was named a fellow of the American Society of Agronomy. His recognition was for outstanding service to the society, including his research that focuses on the plant-animal interface.

Mary C. Bolin-Reece, UK Counseling and Testing Center, was promoted to senior site visitor by the International Association of Counseling Services, for which she also serves as an elected member of the Board of Accreditation. This fall, she also was named a master trainer for the Question-Persuade-Refer Institute, which provides gatekeeper instructor certifications nationally for suicide prevention on college campuses and in the community.

Yvonne Cappe, Journalism and Telecommunications, attended the Scripps Howard Academic Leadership Academy in June in Baton Rouge, Louisiana. Her book "Broadcast Basics" was published by Marion Street Press in September.

Donald Case, Library and Information Science, was named in an article in the July 2006 ASIST as one of the top 25 LIS faculty members by number of citations.

Carey Cavanaugh, Patterson School of Diplomacy and International Commerce, was invited by the Armenian Foreign Ministry to detail U.S. interests in the Caucasus at a November Wilton Park Conference in Yerevan, Armenia. In October, Cavanaugh participated in a Ditchley Park Foundation Conference on the South Caucasus in Oxford, England. In September, Cavanaugh presented a paper on developing a global multilateral naval strategy at the International Institute for Strategic Studies' Global Security Review in Geneva, Switzerland.

Julie Cerel, Social Work, will serve as a grant evaluation director for the state's three-year \$400,000 Substance Abuse and Mental Health Services Administration grant for suicide prevention.

Al Cross, Journalism and Telecommunications, has been chosen by the Kentucky Council of Teachers of English/Language Arts as the 2006 National Council of Teachers of English/Support for the Learning and Teaching of English (NCTE/SLATE) Affiliate Intellectual Freedom Award winner. The NCTE/SLATE Intellectual Freedom Awards recognize individuals, groups, or institutions that have advanced the cause of intellectual freedom.

Lance DeLong, Physics and Astronomy, has been elected to Fellowship in the American Physical Society for his contributions to the understanding of magnetic properties and interactions in superconducting and strongly correlated metallic crystals and films. Election to Fellowship in the APS is limited to no more than one-half of one percent of the membership.

Dennis Egli, Plant and Soil Sciences, earned the Crop Science Research Award from the Crop Science Society of America. Criteria used to determine recipients of the award include originality of basic and applied research, creative reasoning, and impact of contributions.

Robert Farley, Patterson School of Diplomacy and International Commerce, participated in a major war game simulation at the U.S. Navy War College in Newport, Rhode Island.

Robert Fehr, Biosystems and Agricultural Engineering, received \$207,389 from the Kentucky Office of Energy Policy for deployment of enhanced energy efficiency technologies for buildings. This is the first phase of a total grant worth \$485,731. As part of this effort, UK plans to work with the Kentucky Community and Technical College System to provide information and training to Kentuckians that emphasizes the importance of making homes and businesses more energy efficient.

Rich Fording, Political Science and Martin School of Public Administration and Public Policy, received the Policy Studies Best Paper Award at the American Political Science Association's annual meeting in Philadelphia for his paper, "The Color of Devolution: The Politics of Local Punishment in the New World of Welfare."

Jack Groppo and Tom Robl, Center for Applied Energy Research, each received a Special Recognition Award in Innovation from the Environmental Protection Agency for investigations of advanced coal by-product processing at the Ghent, Kentucky, power plant. Their work was supported by a grant from the U.S. Department of Energy.

Don Gross, Political Science, was interviewed by news sources including Fox News cable channel, *The New York Times*, the *Lexington Herald-Leader*, and others during the 2006 election cycle.

Lenn Harrison, Livestock Disease Diagnostic Center, received \$478,000 from the Kentucky Department of Agriculture for diagnostic laboratory services for farmers and agribusinesses.

John Hartman, Plant Pathology, was elected a fellow of the American Phytopathological Society. This prestigious honor recognizes distinguished contributions to plant pathology and the society.

Don Hershman, Plant Pathology, was among a national team of scientists recently honored by the U.S. Secretary of Agriculture for work on Asian soybean rust. The multi-agency team was recognized with a prestigious Secretary's Honor Award for planning, rapid response, and recovery of a potential disaster that was averted by using science-based strategies for mitigating risk associated with the disease.

Evan Hillebrand, Patterson School of Diplomacy and International Commerce, was selected to be part of the National Intelligence Council's Global Expertise Reserve Program. In September, Hillebrand spoke at a conference in Washington, D.C., on the geopolitics of oil, along with participants from the World Economic Forum, Chatham House, and the Rand Corporation.

Kay Hoffman, dean of the College of Social Work, attended the 33rd World Congress of the International Association of Schools of Social Work (IASSW), held this fall in Santiago, Chile. Hoffman is a member of the governing board of IASSW and serves as the U.S. representative on the governing board as president of the Council on Social Work Education. At the event, she moderated a panel in Spanish on graduate education in Latin America.

Jim Hower, Center for Applied Energy Research, received the 2006 Gilbert H. Cady Award, presented by the Coal Geology Division of the Geological Society of America. This is the top award in the field of coal geology.

Everett McCorvey, UK Opera Theatre, recently was elected to serve on the board of directors for the National Assembly of State Arts Agencies by its membership. He will serve a three-year term on the board.

Robert Miller, Plant and Soil Sciences, received \$2 million from Philip Morris Inc. for support of the burley tobacco breeding and genetics research program to develop disease-resistant varieties. This is a continuation of grant funding that began in 2002. Total funding to date exceeds \$4 million. Miller directs the joint Kentucky-Tennessee Tobacco Improvement Initiative and has a joint appointment with UK and the University of Tennessee.

Karen Mingst, Patterson School of Diplomacy and International Commerce, received the Best Book Written on International Organizations Award from the Academic Council on the United Nations System for her book *International Organizations: the Politics and Processes of Global Governance*. Mingst also participated in the 12th Annual Conference of the International Association of Peacekeeping Training Centres in Santiago, Chile.

Krish Muralidhar, Management, recently received a 2006 Distinguished Doctoral Alumni Award from Texas A&M University's Mays Business School.

Daniel Potter, Entomology, earned the Distinguished Achievement in Horticultural Entomology award from the Entomological Society of America.

Daniel Richardson, Physiology professor emeritus, has been named the 2006 Arthur C. Guyton Teacher of the Year by the American Physiological Society.

Jeff Rogers, German, received a grant from the Improving Educator Quality Program funded by the No Child Left Behind Act of 2001 and administered by the Kentucky Council on Postsecondary Education. Jeff's proposed project, Developing and Assessing World Language Competence in Kentucky Schools, has been funded at \$115,000. The project will send up to 25 French, German, and Spanish teachers abroad to improve language skills and develop culturally authentic units of study.

Buck Ryan, Journalism and Telecommunications, was notified by Blackwell Publishing that his book, *The Editor's Toolbox: A Reference Guide for Beginners and Professionals*, with co-author Mike O'Donnell, has been translated for distribution in India.

Bill Schweri, Federal Relations, received the 2006 Society of Research Administrators (SRA) Excellence Award for his various presentations, his publication record, leadership, and years of training and mentoring activities in the SRA, an international organization with 4,000 members. Schweri has been in research administration at UK for 28 years.

William Turner, vice president for University Engagement and associate provost for Multicultural Affairs, has been appointed to serve on the Kentucky African-American Heritage Commission. His membership term continues through February 2010. In addition, Turner addressed the annual convention of the Statewide Chapters of the (Kentucky) NAACP in September at Fort Knox.

Chan Yun Yoo, Journalism and Telecommunications, presented a research paper, titled "Incidental Exposure to Web Advertising" in the 2006 American Academy of Advertising Conference, and presented two other research papers in the International Communication Association Conference. He received a Summer Faculty Research Award. He is currently working on a project investigating the effects of FTC advertising regulation on market value of firms.

PR 2

Office of the President
December 12, 2006

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Executive Vice President for Finance and Administration

Baker, Barbara, Radiology Tech Senior, Employee Benefits, after 14 years, 4 months of consecutive service, under AR II-1.6-1 Section III.B, effective 11/30/06.

Reinfelder, Carolyn R., Extension County Clerk, Employee Benefits, after 38 years, 11 months of consecutive service, under AR II-1.6-1, Section III.B, effective 10/31/06.

B. EARLY RETIREMENTS

Vice President for Facilities Management

Abney, William R., Grounds Worker II, Physical Plant Division, after 16 years, 5 months of consecutive service and 17 years of total years of service, under AR II-1.6-1, Section III.A.2, effective 9/13/06.

Graves, Ann E., Administrative Support Associate I, Auxiliary Services, after 22 years, 7 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/8/07.

Lowery, Pamela, Administrative Staff Officer III, Capital Project Management, after 27 years, 2 months of consecutive service and 31 years, 2 months of total years of service, under AR II-1.6-1, Section III.A.2, effective 1/5/07.

Rash, Robert, Housing Director Assistant, Conference Housing, after 36 years, 7 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 10/2/06.

Office of the Treasurer

Greiner, Brenda J., Administrative Staff Officer II, Office of the Treasurer, after 43 years, 6 months consecutive service, under AR II-1.6-1, Section III.A.2, effective 12/31/06.

Associate Vice President Human Resources

Kremer, Theresa, Wellness Specialist Senior., Health and Wellness, after 20 years, 11 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/2/07.

II. ADMINISTRATIVE ACTIONS

A. ADMINISTRATIVE APPOINTMENTS

Associate Vice President for Campus Services

Schlenker, Gerald L., Radiation Safety Director, Radiation Safety, effective 11/1/06.

B. CHANGES

Vice President for Information Technology

Desai, Hima, from Network Specialist II, Information Technology/CNS, to Information Technology Manager III, Information Technology/CNS, effective 11/13/06.

Brent B. Salisbury, from Senior Systems Programmer, Information Technology/CNS, to Lead Systems Programmer, Information Technology/CNS, effective 10/1/06.

Donna J. Schultz, from Infrastructure Engineer Project Manager Senior, Information Technology/CNS, to Engineer Senior, Information Technology/CNS, effective 10/1/06.

Robert F. Tudor, from Lead Systems Programmer, Information Technology/CNS, to Information Technology Manager III, Information Technology/CNS, effective 10/1/06.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Bueler, Hansruedi R., College of Medicine, Associate Professor (without tenure), Anatomy and Neurobiology, 8/24/06 through 6/30/07.

Buss, Janice E., College of Pharmacy, Professor (with tenure), effective 9/1/06.

Chan, Jane W., College of Medicine, Clinical Associate Professor, Neurology, and joint appointment as Clinical Associate Professor, Ophthalmology and Visual Sciences, 9/15/06 through 6/30/07.

Chau, Destiny F., College of Medicine, Clinical Instructor, Anesthesiology, 12/2/06 through 6/30/07.

Eyderman, Vladimir Y., College of Arts and Sciences, Professor (visiting), Mathematics, 8/16/06 through 5/15/07.

Gal, Thomas J., College of Medicine, Associate Professor* (without tenure), Surgery, 8/7/06 through 6/30/07.

Gibson, James, College of Design, Instructor (temporary), Architecture, appointed to the AIA Kentucky Endowed Professorship, 8/16/06 through 6/30/07.

McAllister, C. K., College of Medicine, Clinical Professor, Internal Medicine, 8/1/06 through 6/30/07.

Nau, David P., College of Pharmacy, Associate Professor (with tenure), effective 8/1/06.

Popkin, Jeremy, College of Arts and Sciences, Professor (with tenure), History, appointed to the T. Marshall Hahn, Jr. Professorship Fund in the College of Arts and Sciences, 7/1/06 through 6/30/11.

Randall, Marcus E., College of Medicine, Professor (with tenure), Radiation Medicine, appointed to the Markey Cancer Foundation Chair in Radiation Medicine, 10/1/06 through 6/20/11.

Randall, Marcus E., College of Medicine, Professor (with tenure), Radiation Medicine, and joint appointment as Professor (without tenure), Clinical Sciences, College of Health Sciences, effective 10/1/06.

Schnoedt, Heinrich, College of Design, Lecturer, Architecture, appointed to the John Russell Groves-Kentucky Housing Corporation Research Fund in Affordable Housing Design, 8/16/06 through 6/30/07.

Shy, Stephen C., College of Medicine, Associate Professor (voluntary), Emergency Medicine, 6/1/06 through 6/30/11.

Smith, Bret N., College of Medicine, Associate Professor (with tenure), Physiology, effective 7/1/06.

Smyth, Susan S., College of Medicine, Associate Professor (with tenure), Internal Medicine, and joint appointment as Associate Professor (without tenure), Physiology, effective 5/1/06.

Stoyioglou, Athanasios, College of Medicine, Clinical Assistant Professor, Internal Medicine, 10/1/06 through 6/30/07.

Wei, Fu-Chan, College of Medicine, Professor (voluntary), Surgery, 9/1/06 through 6/30/11.

*Special Title series

B. ADMINISTRATIVE APPOINTMENTS

- Balke, C. William, College of Medicine, Professor (with tenure), Internal Medicine, joint appointment as Professor (without tenure), Physiology, appointed Associate Provost for Clinical Translational Science, effective 11/1/06.
- Fiedler, Theodore, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, appointed Chair, Modern and Classical Languages, Literatures and Cultures, 7/1/06 through 6/30/07.
- Gross, Donald, College of Arts and Sciences, Professor (with tenure), Political Science, appointed Chair, Political Science, 7/1/07 through 6/30/11.
- Ingram, Dewayne L., College of Agriculture, Extension Professor (with tenure), Horticulture, reappointed Chair, Department of Horticulture, 1/1/06 through 12/31/08.
- Sineath, Timothy W., College of Communications and Information Studies, Professor (with tenure), Library and Information Science, reappointed Director, School of Library and Information Science, 7/1/07 through 6/30/08.
- Stromberg, Arnold, College of Arts and Sciences, Professor (with tenure), Statistics, appointed Chair, Statistics, 1/1/07 through 6/30/07.

C. JOINT APPOINTMENTS

- Das, Subodh K., College of Engineering, Adjunct Professor, Mechanical Engineering, joint appointment as Adjunct Professor, Chemical and Materials Engineering, 1/1/07 through 6/30/08.
- Moore, Gregory R., College of Medicine, Associate Adjunct Professor, Obstetrics and Gynecology, and joint appointment as Associate Adjunct Professor, Preventive Medicine and Environmental Health, College of Public Health, new joint appointment as Associate Adjunct Professor, Health Behavior, College of Public Health, 11/1/06 through 6/30/07.
- Wyatt, Stephen W., College of Public Health, Professor* (with tenure), Preventive Medicine and Environmental Health, and joint appointment as Associate Professor* (without tenure), Family and Community Medicine, College of Medicine, new joint appointment as Professor* (without tenure), Oral Health Science, College of Dentistry, effective 11/1/06.

D. REAPPOINTMENTS

- Bagby, Ihsan, College of Arts and Sciences, Associate Professor (without tenure), Modern and Classical Languages, Literatures and Cultures, 7/1/06 through 6/30/08.
- Toborek, Michal, College of Medicine, Professor (with tenure), Surgery, appointed to the Professorship in Neurosurgery, 10/1/06 through 6/30/11.

*Special Title series

E. PROMOTIONS

- Clark, John F., College of Communications and Information Studies, from Assistant Professor*, Journalism and Telecommunications, to Associate Professor* (with tenure), Journalism and Telecommunications, effective 7/1/06.
- Divine, Holly S., College of Pharmacy, from Clinical Assistant Professor, to Clinical Associate Professor, 10/1/06 through 6/30/07.
- Kilgore, Michael W., College of Medicine, from Assistant Professor, Molecular and Biomedical Pharmacology, to Associate Professor (with tenure), Molecular and Biomedical Pharmacology, effective 7/1/06.
- Lane, Matthew T., College of Pharmacy, from Clinical Assistant Professor, to Clinical Associate Professor, 10/1/06 through 6/30/07.
- Nicholas, Amy, College of Pharmacy, from Clinical Assistant Professor, to Clinical Associate Professor, 10/1/06 through 6/30/07.

F. CHANGES

- Giordani, Mauro, College of Medicine, from Clinical Instructor, Orthopaedic Surgery, to Clinical Assistant Professor, Orthopaedic Surgery, 7/24/06 through 6/30/07.
- Herberth, Zsolt, College of Medicine, Clinical Assistant Professor, Internal Medicine, from 10/1/06 through 6/30/07, to 11/1/06 through 6/30/07.

G. TERMINAL REAPPOINTMENTS

- Williams, John P., College of Medicine, Associate Professor, Internal Medicine, joint appointment as Associate Professor, Physiology, 11/1/06 through 10/31/07.

H. RETIREMENTS

- Bowling, Lewis P., Libraries, Librarian II (with tenure), after 18 years and 4 months of consecutive service, under AR II-1.6-1, Section III.B, effective 12/29/06.
- Brooks, Mattie, College of Health Sciences, Staff Support Associate II, Student Affairs, after 35 years and 4 months of consecutive service, under AR II-1.6-1, Section III.B, effective 7/28/06.
- Collins, Delwood C., College of Medicine, Professor (with tenure), Obstetrics and Gynecology, and joint appointment as Professor (without tenure), Physiology, after 15 years and 5 months of consecutive service, under AR II-1.6-1, Section III.B, effective 9/29/06.
- Kielar, Richard A., College of Medicine, Professor (part-time), Ophthalmology and Visual Sciences, and joint appointment as Professor (part-time), Pathology and Laboratory Medicine, after 15 years of consecutive service, under AR II-1.6-1, Section III.B, effective 7/31/06.
- Preston, Jane, College of Medicine, Staff Support Associate II, Physiology, after 15 years of consecutive service, under AR II-1.6-1, Section III.B, effective 11/10/06.

*Special Title series

White, Ruth, College of Engineering, Administrative Support Associate I, Civil Engineering, after 21 years and 3 months of consecutive service, under AR II-1.6-1, Section III.B, effective 1/26/07.

I. EARLY RETIREMENTS

Blake, Priscilla, College of Medicine, Mammography Technician Senior, Diagnostic Radiology, after 26 years and 4 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 11/3/06.

Childs, Sharon A., Undergraduate Education, Student Affairs Officer II, Experiential Education, after 34 years and 4 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 12/29/06.

Eury, Aleene, College of Agriculture, Extension Assistant, Fields Programs, after 15 years and 11 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/7/06.

Felts, Janet, College of Health Sciences, Staff Support Associate II, Dean's Office, after 29 years and 6 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 9/29/06.

Getchell, Marilyn L., College of Medicine, Research Professor, Anatomy and Neurobiology, after 17 years and 2 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 9/1/06.

Hupp, Sandra J., College of Business and Economics, Student Affairs Officer II, Undergraduate Advising, after 15 years and 10 months of consecutive service, and a total of 16 years and 2 months of service, under AR II-1.6-1, Section III.A.2, effective 10/31/06.

Sargent, Joe, College of Agriculture, Operations Supervisor, Livestock Disease Diagnostic Center, after 15 years of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/2/07.

Vanlandingham, Oney, Research, Maintenance Supervisor I, Center for Applied Energy Research, after 29 years and 8 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 12/31/06.

J. POST-RETIREMENT APPOINTMENTS

Collins, Delwood C., College of Medicine, Professor (part-time), Obstetrics and Gynecology, 9/30/06 through 6/30/07.

Dickson, Larry G., College of Medicine, from Professor (part-time), Pathology and Laboratory Medicine, to Professor (full-time), Pathology and Laboratory Medicine, 7/1/06 through 6/30/07.

Getchell, Marilyn L., College of Medicine, Professor (part-time), Anatomy and Neurobiology, 9/1/06 through 6/30/07.

Kielar, Richard A., College of Medicine, Professor (part-time), Ophthalmology and Visual Sciences, 9/2/06 through 6/30/07.

Wood, Don J., College of Engineering, Professor (part-time), Civil Engineering, 7/1/06 through 6/30/07.

K. PHASED RETIREMENT APPOINTMENTS

Rapp, Robert P., College of Pharmacy, Professor* (with tenure), and joint appointment as Professor* (without tenure), Surgery, College of Medicine, 7/1/06 through 6/30/09.

Sineath, Timothy W., College of Communications and Information Studies, Professor (with tenure), Library and Information Science, 7/1/08 through 6/30/13.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Abraham, Glenn J., College of Social Work, Instructor (part-time), 8/1/06 through 6/30/07.

Adkins, Brian W., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 11/1/06 through 6/30/07.

Albrecht, Ann, College of Pharmacy, Assistant Professor (voluntary), 8/24/06 through 6/30/11.

Bajwa, Muhammad R., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 9/1/06 through 6/30/11.

Benard, Paul E., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 10/1/06 through 6/30/07.

Botta, Eswara, College of Medicine, Assistant Professor (voluntary), Anesthesiology, 11/1/06 through 6/30/11.

Brady, Kimberly G., College of Nursing, Instructor (part-time), 9/3/06 through 12/31/06.

Brenner, Emily, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 8/16/06 through 12/31/06.

Bryon, Hilary, College of Design, Lecturer, Architecture, 8/16/06 through 6/30/07.

Chinn, Stacey, College of Fine Arts, Instructor (part-time), Art, 8/1/06 through 6/30/07.

Crabtree, Beth H., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/06 through 12/31/06.

Daugherty, Danielle, College of Pharmacy, Assistant Professor (voluntary), 9/25/06 through 6/30/11.

Deaton, Corky, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 9/15/06 through 6/30/07.

Dyer, Marc D., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 8/9/06 through 6/30/07.

Engle, Allison L., College of Social Work, Instructor (part-time), 8/1/07 through 6/30/08.

Ettensohn, Beth M., College of Fine Arts, Instructor (part-time), Art, 8/1/06 through 6/30/07.

Ewen, Julianne Z., College of Nursing, Instructor (part-time), 8/15/06 through 6/30/07.

Feinberg, Kelly J., College of Arts and Sciences, Instructor (temporary), English, 8/16/06 through 12/31/06.

Gao, Ning, College of Medicine, Assistant Research Professor, Graduate Center for Toxicology, 1/1/07 through 6/30/07.

Goldberg, Sarah T., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 7/1/06 through 6/30/07.

*Special Title series

Gray, Natalie C., College of Nursing, Instructor (voluntary), 10/15/06 through 6/30/11.
Grzywacz, Chris, College of Social Work, Adjunct Instructor, 7/1/06 through 6/30/07.
Hall, Arlie, College of Engineering, Lecturer, UK Center for Manufacturing, 8/1/06 through 6/30/07.
Harden, David P., College of Arts and Sciences, Instructor (part-time), English, 8/16/06 through 12/31/06.
Harney, Matt, College of Pharmacy, Assistant Professor (voluntary), 8/24/06 through 6/30/11.
Hasni, Syed K., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 6/1/06 through 6/30/11.
Heaton, Karen L., College of Nursing, Instructor (part-time), 9/1/06 through 6/30/07.
Heller, Leonard, Graduate School, Instructor (part-time), Martin School of Public Policy and Administration, 7/1/06 through 6/30/07.
Helm, Marlene, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/06 through 12/31/06.
Hughes, Michael T., College of Design, Instructor (part-time), Architecture, 8/16/06 through 6/30/07.
Lambert, Melissa L., College of Medicine, Assistant Professor (voluntary), Pediatrics, 10/1/06 through 6/30/11.
Laosuthi, Thanarak, College of Business and Economics, Instructor (part-time), Economics, 8/16/06 through 6/30/07.
Lee, Hui C., College of Fine Arts, Lecturer, Art, 8/1/06 through 6/30/07.
Liebman, Dan, College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 8/16/06 through 6/30/07.
Lifshitz, Jonathan, College of Medicine, Assistant Professor, Anatomy and Neurobiology, 1/1/07 through 6/30/07.
Lyons, James J., College of Arts and Sciences, Instructor (part-time), Mathematics, 10/1/06 through 12/31/06.
Maginnis, M. Abbot, College of Engineering, Lecturer, UK Center for Manufacturing, 9/18/06 through 6/30/07.
Marksberry, Phillip W., College of Engineering, Lecturer, UK Center for Manufacturing, 9/11/06 through 6/30/07.
Martin, Lisa J., College of Nursing, Instructor (voluntary), 10/1/06 through 6/30/11.
Matthews, Joanne M., College of Nursing, Instructor (voluntary), 11/1/06 through 6/30/11.
McNees, Karen, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 8/16/06 through 12/31/06.
Meade, Cynthia J., College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/06 through 12/31/06.
Miremami, Ali, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/06 through 6/30/11.
Morrell, Liria G., College of Pharmacy, Instructor (part-time), 8/1/06 through 6/30/07.
Nimmo, P. Annette, College of Engineering, Instructor (part-time), Mechanical Engineering, 9/20/06 through 6/30/07.
Nixon, Neal D., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 7/1/06 through 6/30/07.
Opoku-Owusu, Bekoe, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 9/1/06 through 6/30/11.

Orthober, Raymond J., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 11/1/06 through 6/30/07.

Oser, Carrie B., College of Arts and Sciences, Assistant Professor, Sociology, 8/16/06 through 6/30/08.

Parrish, Thomas D., College of Design, Instructor (part-time), Architecture, 7/1/06 through 6/30/07.

Phillips, Hayden R., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 9/18/06 through 6/30/07.

Porter, Ryan L., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 8/16/06 through 12/31/06.

Rudd, Jesse, College of Pharmacy, Assistant Professor (voluntary), 9/6/06 through 6/30/11.

Schnoedt, Heinrich, College of Design, Lecturer, Architecture, 8/16/06 through 6/30/07.

Shaw, Megan L., College of Design, Instructor (part-time), Interior Design, 8/16/06 through 6/30/07.

Shutts, Kristy K., College of Medicine, Assistant Professor (voluntary), Pediatrics, 11/1/06 through 6/30/11.

Snider, Susan M., College of Nursing, Instructor (voluntary), 10/1/06 through 6/30/11.

Spencer, Denise W., College of Medicine, Instructor (voluntary), Internal Medicine, 11/1/06 through 6/30/11.

Spradling, Jeff A., College of Arts and Sciences, Instructor (part-time), English, 8/16/06 through 12/31/06.

Stoughton, Lindsey M., College of Design, Instructor (part-time), Architecture, 8/16/06 through 6/30/07.

Strakovsky, Dmitry, College of Fine Arts, Assistant Professor, Art, 8/1/06 through 6/30/07.

Thompson, Angelia F., College of Medicine, Assistant Professor (voluntary), Ophthalmology and Visual Sciences, 12/1/06 through 6/30/11.

Thoune, Darci L., College of Arts and Sciences, Instructor (part-time), English, 8/1/06 through 6/30/08.

Threlkeld, William F., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 9/1/06 through 6/30/11.

Trammell, Edward A., College of Design, Instructor (part-time), Architecture, 8/16/06 through 5/15/07.

Vanderpool, Robin C., College of Public Health, Assistant Research Professor, Health Behavior, 9/1/06 through 6/30/07.

Villagran, Salvador, College of Fine Arts, Instructor (temporary), Art, 8/1/06 through 6/30/07.

Waikel, Rebekah, College of Medicine, Assistant Research Professor, Internal Medicine, 9/18/06 through 6/30/07.

Waldridge, Ron, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 11/1/06 through 6/30/11.

Wallace, Patricia, College of Education, Instructor (part-time), Educational and Counseling Psychology, 8/16/06 through 12/31/06.

Whisman, Salli E., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 9/1/06 through 6/30/11.

Yuen, Cornelia O., College of Arts and Sciences, Instructor (part-time), Mathematics, 7/1/06 through 6/30/07.

B. ADMINISTRATIVE APPOINTMENTS

Brown, Joanne, Student Affairs, Nurse Practitioner, University Health Services, effective 10/1/06.

May, Charles E., College of Engineering, Center for Nanoscale Science and Engineering Operations Director, Electrical and Computer Engineering, effective 10/26/06.

C. REAPPOINTMENTS

Baesler, Mary H., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/06 through 6/30/11.

Barry, Michael P., College of Design, Instructor (part-time), Architecture, 7/1/06 through 6/30/07.

Bebout, William B., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/06 through 6/30/11.

Bohannon, Brent M., College of Design, Instructor (part-time), Architecture, 8/16/06 through 6/30/07.

Chamberlin, Barbara K., College of Social Work, Instructor (part-time), 8/1/06 through 6/30/07.

Clancy, Karen F., College of Public Health, Adjunct Instructor, Health Services Management, 7/1/06 through 6/30/07.

Connell, Robert B., College of Fine Arts, Instructor (part-time), Art, 8/1/06 through 6/30/07.

Cooley, Edward L., College of Business and Economics, Instructor (part-time), Management, 8/16/06 through 6/30/07.

Cornett, Laura S., College of Pharmacy, Assistant Professor (voluntary), 7/1/06 through 6/30/11.

Crone, Adam E., College of Pharmacy, Assistant Professor (voluntary), 7/1/06 through 6/30/11.

Davis, Rebecca J., College of Business and Economics, Instructor (part-time), Management, 8/16/06 through 6/30/07.

DeCroo, Jacqueline C., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures and Cultures, 8/16/06 through 12/31/06.

Devine, Carol L., College of Agriculture, Instructor (part-time), Family Studies, 8/16/06 through 5/15/07.

Durbin, Eileen D., College of Agriculture, Instructor (part-time), Family Studies, 8/16/06 through 5/15/07.

Geissler, Janet, College of Fine Arts, Instructor (part-time), Art, 8/1/06 through 6/30/07.

Gibson, James, College of Design, Instructor (temporary), Architecture, 7/1/06 through 6/30/07.

Glaser, Josephine Lee A., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/06 through 6/30/11.

Gunther, Denotra S., College of Business and Economics, Instructor (part-time), Management, 8/16/06 through 6/30/07.

Harden, Karon K., College of Arts and Sciences, Lecturer, English, 7/1/06 through 6/30/07.

Haskell, Brent, College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/06 through 6/30/11.

Hawkins, Susan C., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/06 through 6/30/11.

Hays, Allison B., College of Fine Arts, Instructor (part-time), Art, 8/1/06 through 6/30/07.

Herzog, Hillary, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures and Cultures, 7/1/06 through 6/30/08.

Holdren, Michael R., College of Fine Arts, Instructor (part-time), Art, 8/1/06 through 6/30/07.

Jackson, Gregory S., College of Design, Instructor (part-time), Architecture, 7/1/06 through 6/30/07.

Jacobs, Michael W., College of Design, Instructor (part-time), Architecture, 8/16/06 through 6/30/07.

Jahed, Peyman, College of Design, Instructor (part-time), Architecture, 7/1/06 through 6/30/07.

Karem, Wayne, College of Engineering, Instructor (part-time), Civil Engineering, 7/1/06 through 6/30/07.

Kelly, Robert, College of Design, Instructor (part-time), Architecture, 8/16/06 through 6/30/07.

Kincer, Darrell L., College of Fine Arts, Instructor (part-time), Art, 8/1/06 through 6/30/07.

Page, Matthew, College of Fine Arts, Instructor (part-time), Art, Kitchen, Barbara R., College of Nursing, Instructor (voluntary), 7/1/06 through 6/30/11.

Koech, Alice, College of Agriculture, Instructor (part-time), Family Studies, 8/16/06 through 5/15/07.

Kwon, Seok-Woo, Graduate School, Assistant Professor, Martin School of Public Policy and Administration, and joint appointment as Assistant Professor, College of Pharmacy, 7/1/06 through 6/30/07.

Lin, Elizabeth G., College of Pharmacy, Lecturer, 7/1/06 through 6/30/07.

List, Louis W., College of Fine Arts, Instructor (part-time), Theatre, 8/1/06 through 6/30/07.

Lund, James, College of Arts and Sciences, Assistant Professor, Biology, 7/1/06 through 6/30/08.

Lynch, John, College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 8/16/06 through 6/30/07.

Manno, Charlotte, College of Agriculture, Assistant Professor (temporary), Family Studies, 8/16/06 through 5/15/07.

Marcum, Bobby, College of Nursing, Instructor (voluntary), 7/1/06 through 6/30/11.

Martinez, Kathryn B., College of Fine Arts, Instructor (part-time), Art, 8/1/06 through 6/30/07.

McKay, Michael B., College of Design, Lecturer, Architecture, 7/1/06 through 6/30/07.

McNabb, Sarah, College of Design, Lecturer, Interior Design, 7/1/06 through 6/30/07.

Molenaar, Lori S., College of Nursing, Instructor (voluntary), 7/1/06 through 6/30/11.

Myers, Larry, College of Business and Economics, Instructor (part-time), Management, 8/16/06 through 6/30/07.

Myers, Lindsay L., College of Arts and Sciences, Instructor (temporary), Modern and Classical Languages, Literatures and Cultures, 8/16/06 through 12/31/06.

Nalbach, Lena, College of Design, Lecturer, Interior Design, 7/1/06 through 6/30/07.
Ortwein, Mary C., College of Agriculture, Instructor (part-time), Family Studies, 8/16/06 through 5/15/07.
Parrish, Evelyn M., College of Nursing, Instructor (voluntary), 7/1/06 through 6/30/11.
Payne, Joey, College of Agriculture, Adjunct Instructor, Family Studies, 8/16/06 through 5/15/07.
Poundstone, John W., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/06 through 6/30/11.
Prewitt, Sallie, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 8/16/06 through 12/31/06.
Riesenweber, Julie, College of Design, Instructor (part-time), Historic Preservation, 7/1/06 through 6/30/07.
Roberts, Marvin D., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/06 through 6/30/11.
Santin, Rene L., College of Medicine, Assistant Professor (voluntary), Pediatrics, 7/1/06 through 6/30/11.
Short, Rachel L., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/06 through 6/30/11.
Stevens, Randall S., College of Design, Instructor (part-time), Architecture, 7/1/06 through 6/30/07.
Subramanian, Kumar, College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/06 through 6/30/07.
Swanson, Elizabeth A., College of Design, Assistant Professor, Architecture, 7/1/06 through 6/30/07.
Trollinger, Linda J., College of Agriculture, Instructor (part-time), Family Studies, 8/16/06 through 5/15/07.
Vaske, Raymond J., College of Design, Instructor (part-time), Architecture, 8/16/06 through 6/30/07.
Wathen, Laura L., College of Agriculture, Adjunct Instructor, Family Studies, 8/16/06 through 5/15/07.
Wellman, Stephen M., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/06 through 12/31/06.
Yao, Emery, College of Business and Economics, Assistant Professor, Management, 7/1/06 through 6/30/07.
Zerga, Joseph L., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/06 through 6/30/11.

D. PROMOTIONS WITHOUT TENURE

Carter, Michael, College of Arts and Sciences, from Lecturer, English, to Senior Lecturer, English, 7/1/06 through 6/30/07.

E. CHANGES

Ahmed, Adel M., College of Arts and Sciences, from Assistant Professor (visiting), Physics and Astronomy, to Instructor (part-time), Physics and Astronomy, 8/16/06 through 12/31/06.

*Special Title series

- Hankins, Kristine W., College of Business and Economics, from Instructor (temporary), Management, to Assistant Professor, Management, 8/16/06 through 6/30/07.
- Jones, Jeffery A., College of Public Health, from Assistant Research Professor, Health Behavior, to Assistant Professor, Health Behavior, and joint appointment as Assistant Professor, Geography, College of Arts and Sciences, 7/1/06 through 6/30/07.
- Lake-Bullock, Helene, Research, from Professional Associate IV, Research Integrity, to Director Associate and Research Compliance Officer, Research Integrity, effective 11/01/06.
- Powell, David B., College of Medicine, from Assistant Professor (part-time), Anesthesiology, to Clinical Assistant Professor, Anesthesiology, 12/1/06 through 6/30/07.
- Rippetoe Freeman, Patricia E., College of Pharmacy, from Lecturer, to Lecturer, 8/1/06 through 6/30/07.
- Smith, Michael D., College of Health Sciences, from Assistant Adjunct Professor, Clinical Sciences, to Assistant Adjunct Professor, Health Services Management, College of Public Health, and joint appointment as Assistant Adjunct Professor, Clinical Sciences, College of Health Sciences, 8/1/06 through 6/30/07.
- Spencer, Michael G., College of Design, from Adjunct Instructor, Historic Preservation, to Assistant Professor (temporary), Historic Preservation, 8/16/06 through 6/30/07.

F. TERMINAL APPOINTMENTS

- Al-Ghananeem, Abeer, College of Pharmacy, Assistant Research Professor, 7/1/06 through 6/30/07.
- Zhu, Jun, College of Pharmacy, Assistant Research Professor, 7/1/06 through 6/30/07.

G. LEAVES OF ABSENCE

- Albisetti, James, College of Arts and Sciences, Professor (with tenure), History, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
- Altman, Dennis M., College of Communications and Information Studies, Associate Professor* (with tenure), Journalism and Telecommunications, Leave without pay, 1/1/07 through 6/30/07, 1/1/08 through 6/30/08, 1/1/09 through 6/30/09, and 1/1/10 through 6/30/10.
- Anglin, Mary, College of Arts and Sciences, Associate Professor (with tenure), Anthropology, and joint appointments as Associate Professor (without tenure), Kentucky School of Public Health, College of Medicine and Associate Professor (without tenure), Health Behavior, College of Public Health, Sabbatical leave at full salary, 1/1/08 through 6/30/08.
- Billings, Dwight, College of Arts and Sciences, Professor (with tenure), Sociology, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
- Bjork, Lars, College of Education, Associate Professor (with tenure), Educational Leadership Studies, Sabbatical leave at full salary, 8/16/07 through 12/31/07.
- Bordo, Susan, College of Arts and Sciences, Professor (with tenure), English, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.

*Special Title series

- Brown, Tamara, College of Arts and Sciences, Associate Professor (with tenure), Psychology, and joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, Sabbatical leave at three-quarters salary, 7/1/07 through 6/30/08.
- Casada, Jeffrey, College of Agriculture, County Extension Agent, Cooperative Extension Service, Educational leave at full salary, 1/2/07 through 4/30/07.
- Cooper, Patricia, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave at full salary, 1/1/08 through 6/30/08.
- Debski, Elizabeth, College of Arts and Sciences, Associate Professor (with tenure), Biology, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
- Desormeaux, Daniel, College of Arts and Sciences, Associate Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, Sabbatical leave at full salary, 1/1/08 through 6/30/08.
- Ferland, Gary, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
- Formisano, Ronald, College of Arts and Sciences, Professor (with tenure), History, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
- Hislop, Peter, College of Arts and Sciences, Professor (with tenure), Mathematics, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
- Holcomb, Harmon, College of Arts and Sciences, Associate Professor (with tenure), Philosophy, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
- Ji, Tae, College of Arts and Sciences, Professor (with tenure), Chemistry, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
- Kellum, Rebecca, College of Arts and Sciences, Associate Professor (with tenure), Biology, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
- Leep, David, College of Arts and Sciences, Professor (with tenure), Mathematics, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
- Leinbach, Thomas, College of Arts and Sciences, Professor (with tenure), Geography, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
- Levstik, Linda, College of Education, Professor (with tenure), Curriculum and Instruction, Sabbatical leave at full salary, 1/1/07 through 6/30/07.
- Little, Peter, College of Arts and Sciences, Professor (with tenure), Anthropology, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
- Miller, Anne-Francis, College of Arts and Sciences, Associate Professor (with tenure), Chemistry, and joint appointment as Associate Professor (without tenure), Molecular and Cellular Biochemistry, College of Medicine, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
- Minkova, Milena, College of Arts and Sciences, Associate Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, Sabbatical leave at three-quarters salary, 7/1/07 through 6/30/08.
- Mirabito, Peter, College of Arts and Sciences, Associate Professor (with tenure), Biology, Sabbatical leave at full salary, 1/1/08 through 6/30/08.
- Mooney, Patrick, College of Arts and Sciences, Professor (with tenure), Sociology, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
- Murthy, Ganpathy, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, Sabbatical leave at full salary, 7/1/07 through 12/31/07.

- Olson, Robert, College of Arts and Sciences, Professor (with tenure), History, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
- Olster, David, College of Arts and Sciences, Professor (with tenure), History, Sabbatical leave at three-quarters salary, 7/1/07 through 6/30/08.
- Petrone, Karen, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
- Prats, Armando, College of Arts and Sciences, Professor (with tenure), English, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
- Rawls, John, College of Arts and Sciences, Professor (with tenure), Biology, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
- Rosenman, Ellen, College of Arts and Sciences, Professor (with tenure), English, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
- Rouhier-Willoughby, Jeanmarie, College of Arts and Sciences, Associate Professor* (with tenure), Modern and Classical Languages, Literatures and Cultures, Sabbatical leave at two-thirds salary, 1/1/08 through 12/31/08.
- Segerstrom, Suzanne, College of Arts and Sciences, Associate Professor (with tenure), Psychology, and joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, Sabbatical leave at half salary, 7/1/07 through 12/31/07.
- Shapere, Alfred, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
- Smith, Daniel, College of Arts and Sciences, Professor (with tenure), History, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
- Smith, Gregory, College of Arts and Sciences, Professor (with tenure), Psychology, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
- Smith, Richard, College of Arts and Sciences, Associate Professor (with tenure), Psychology, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
- Superson, Anita, College of Arts and Sciences, Associate Professor (with tenure), Philosophy, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
- Tice, Karen, College of Education, Associate Professor (with tenure), Educational Policy Studies and Evaluation, Sabbatical leave at half salary, 8/16/07 through 6/30/08.
- Tunberg, Terence, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
- Voss, D. Stephen, College of Arts and Sciences, Associate Professor (with tenure), Political Science, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
- Waterman, Richard, College of Arts and Sciences, Professor (with tenure), Political Science, Sabbatical leave at full salary, 7/1/07 through 12/31/07.
- Wood, Constance, College of Arts and Sciences, Associate Professor (with tenure), Statistics, Sabbatical leave at full salary, 1/1/08 through 6/30/08.
- Zoubir-Shaw, Sadia, College of Arts and Sciences, Associate Professor* (with tenure), Modern and Classical Languages, Literatures and Cultures, Sabbatical leave at two-thirds salary, 1/1/08 through 12/31/08.
- Zunshine, Lisa, College of Arts and Sciences, Associate Professor (with tenure), English, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
- Zurn, Christopher, College of Arts and Sciences, Associate Professor (with tenure), Philosophy, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.

*Special Title series

H. RESIGNATIONS

Boggs, L. R., College of Medicine, Associate Clinical Professor, Emergency Medicine, effective 10/31/06.

Cohen, Alfred M., College of Medicine, College of Medicine, Professor (with tenure), Surgery, effective 1/2/07.

Domer, Dennis, College of Design, Professor (with tenure), Historic Preservation, effective 1/2/07.

Rao, Rakesh N., College of Medicine, Clinical Assistant Professor, Pediatrics, effective 10/18/06.

Rosenblum, William J., College of Medicine, Assistant Professor*, Orthopaedic Surgery, effective 8/4/06.

Secret, Mary C., College of Social Work, Associate Professor (with tenure), effective 7/31/06.

Thompson, Angelia F., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, effective 10/18/06.

*Special Title series

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Anderson, Melissa, University Hospital, Clinical Staff Pharmacist, Pharmacy Services, effective 9/26/06.
Bergant, Julia, University Hospital, Clinical Staff Pharmacist, Pharmacy Services, effective, 9/26/06.
Brooks, Allison, University Hospital, Clinical Staff Pharmacist, Pharmacy Services, effective 10/16/06.
Page, Cecilia A., University Hospital, Computing Services Director, Medical Center Information Services, effective 9/17/06.
Santrock, David, University Hospital, Clinical Staff Pharmacist, Pharmacy Services, effective 10/9/06.
Stephens, Esen, University Hospital, Clinical Staff Pharmacist, Pharmacy Services, effective 9/26/06.
Sterling, Michael, University Hospital, Customer Service Director, Marketing, effective 10/2/06.

B. RETIREMENTS

Atkerson, Marilyn, Operating Room Assistant, Perioperative Services, after 18 years and 11 months of consecutive service, under AR II-1.6-1, Section III.B, effective 12/15/06.

C. EARLY RETIREMENTS

Harney, Mary, Clinical Nurse, Acute Pain, after 16 years and 11 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 11/10/06.
Skeen, Mary, Director, Materials Management, after 32 years and 6 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/2/07.
Van Pelt, Peggy, Clinical Nurse, Acute Care and Dialysis, after 18 years and 11 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/15/06.
Zalaznik, Franketta, Clinical Nurse, Cardiac Catheterization Laboratory, after 26 years and 10 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 10/9/06.

II. ADMINISTRATIVE ACTIONS

A. ADMINISTRATIVE APPOINTMENTS

Allison, Steven J., University Hospital, Project Management Officer, Revenue Management Department, effective 10/16/06.

*Special Title series

PR 3

Office of the President
December 12, 2006

Members, Board of Trustees:

PROPOSED REVISION OF UNIVERSITY OF KENTUCKY
GOVERNING REGULATION

Recommendation: that the Board of Trustees accept as a first reading the newly amended University Vision, Mission, and Values Statement as a revision to Governing Regulation I.

Background: At the October 10, 2006 meeting, the Board of Trustees adopted the 2006-2009 Strategic Plan. A subsection of the Strategic Plan is the document entitled, "Vision, Mission, and Values." The document had slight revisions from the previously existing document. The "Vision, Mission, and Values" statement is also contained in Governing Regulation I; therefore, the Governing Regulation should be amended to reflect the new language adopted by the Board at its October meeting.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

University of Kentucky Statement of Vision, Mission and Values

VISION

The University of Kentucky will be one of the nation's 20 best public research universities, an institution recognized world-wide for excellence in teaching, research, and service and a catalyst for intellectual, social, cultural, and economic development.

MISSION

The University of Kentucky is a public, research-extensive, land grant university dedicated to improving people's lives through excellence in teaching, research, health care, cultural enrichment, and economic development.

The University of Kentucky:

- Facilitates learning, informed by scholarship and research.
- Expands knowledge through research, scholarship and creative activity.
- Serves a global community by disseminating, sharing and applying knowledge.

The University, as the flagship institution, plays a critical leadership role for the Commonwealth by contributing to the economic development and quality of life within Kentucky's borders and beyond. The University nurtures a diverse community characterized by fairness and equal opportunity.

VALUES

The values of the University guide its decisions and the behavior of its community. Its core values are:

- Integrity
- Academic excellence and academic freedom
- Mutual respect and human dignity
- Embracing diversity
- Personal and institutional responsibility and accountability
- Shared governance
- A sense of community
- Sensitivity to work-life concerns
- Civic responsibility
- Service to society

PR 4

Office of the President
December 12, 2006

Members, Board of Trustees:

APPOINTMENT OF EXECUTIVE DIRECTOR OF THE
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that the Board of Trustees approve the appointment of Dr. Kumble Subbaswamy as Executive Director of the University of Kentucky Research Foundation (UKRF) retroactive to October 1, 2006.

Background: In accordance with the bylaws, the Executive Director of the University of Kentucky Research Foundation is appointed by the Board of Trustees upon the recommendation of the president of the university.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 5

Office of the President
December 12, 2006

Members, Board of Trustees:

APPOINTMENT OF VICE PRESIDENT FOR COMMERCIALIZATION AND ECONOMIC DEVELOPMENT

Recommendation: that the Board of Trustees approve the creation of the Vice President for Commercialization and Economic Development position and that the Board approve the appointment of Dr. Leonard E. Heller to this position, which will report directly to the President. The effective date of the appointment will be retroactive to December 1, 2006, with the Board's approval.

Background: The Board of Trustees approved the establishment of the Office of Economic Development at its October 10, 2006 meeting. Following the Board's action, President Todd announced that Dr. Heller would serve as acting vice president for the office and would also be a candidate for the permanent position. The Office of Human Resources advertised the position Vice President for Commercialization and Economic Development and received applications for review. A thorough review of the applications revealed that Dr. Heller is the most qualified applicant for the position.

Dr. Heller is a former UK faculty member and has been vice chancellor for academic affairs at the university, a cabinet secretary in state government, and a private business entrepreneur. He has the broad-based background to make the linkages and plot the strategies the university needs to be successful in this most important endeavor.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 1

Office of the President
December 12, 2006

Members, Board of Trustees:

CANDIDATES FOR DEGREES

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled, upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: In order that the degrees may be conferred upon the individuals completing requirements in December as soon after the close of the semester as possible, it is recommended that the Board of Trustees give its approval contingent upon certification by the University Registrar that all requirements have been satisfactory completed.

For details on degree candidate listings,
please contact

Jacquie Hager
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - December 2006

Graduate Degrees:		Undergraduate Degrees:			
Ph.D.	231				
D.M.A.	5	Agriculture		Engineering	
D.N.P.	3	BSAB	7	BSBAE	9
Ed.D.	16	BSAEC	32	BSCHE	3
Ed.S.	27	BSAECL	19	BSCE	22
M.A.	125	BSAG	2	BSCS	24
M.A.EDU.	15	BSAS	7	BSEE	27
M.A.IDM.	1	BSDIE	8	BSMEE	16
M.ARCH.	1	BSFAM	2	BSMIE	3
M.B.A.	10	BSFCS	26		
M.E.	2	BSFOR	1	TOTAL	104
M.F.A.	1	BSFS	3		
M.H.A.	6	BSHE	1	Fine Arts	
M.H.P.	13	BSHM	35	BA	29
M.M.	7	BSHN	4	BFA	13
M.P.A.	16	BSLA	5	BM	1
M.P.H.	35	BSMAT	36	BMME	6
M.R.C.	27	BSNRC	11		
M.S.	151	BSPSS	10	TOTAL	49
M.S.ACC	2				
M.S.BE	8	TOTAL	209	Health Sciences	
M.S.BAE.	9			BHS	3
M.S.C.E	21	Arts and Sciences			
M.S.CHE.	1	BA	245	TOTAL	3
M.S.CTL	10	BS	74		
M.S.EDU.	40			Nursing	
M.S.E.E.	20	TOTAL	319	BSN	39
M.S.FAM	10				
M.S.FOR.	8	Business and Economics		TOTAL	39
M.S.IDM.	4	BBA	140		
M.S.L.S.	43	BSA	45	Social Work	
M.S.MAE	3	BSBE	7	BASW	31
M.S.MSE.	21				
M.S.ME	25	TOTAL	192	TOTAL	31
M.S.MIN.	6				
M.S.N.	7	Comm. & Information Studies			
M.S.N.S.	4	BA	64		
M.S.PAS	33	BS	46	Graduate Degrees	997
M.S.PT	2			Professional Degrees	2
M.S.RMP	3	TOTAL	110	Undergraduate Degrees	1193
M.S.W.	25				
		Design		TOTAL	2198
TOTAL	997	BARCH	11		
		BAID	1		
Professional Degrees:		TOTAL	12		
M.D.	1	Education			
D.P.H.	1	BAEDU.	122		
		BSEDU	4		
TOTAL	2				
		TOTAL	126		

AACR 2

Office of the President
December 12, 2006

Members, Board of Trustees:

CANDIDATES FOR DEGREES
BLUEGRASS COMMUNITY AND TECHNICAL COLLEGE

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled upon certification by the Kentucky Community and Technical College System (KCTCS) Board and the University of Kentucky Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: On July 1, 2004, a Memorandum of Agreement among the University of Kentucky, the Kentucky Community and Technical College System, and Lexington Community College (LCC) pursuant to House Joint Resolution 214 was approved. The agreement states that LCC students who were or are officially enrolled on or before September, 2004, in associate degree programs approved by the UK Board of Trustees and who complete the associate degree program on or before August 31, 2010, shall have their degrees conferred by the UK Board of Trustees.

Following the passage of that agreement, the Lexington Community College name has been changed to the Bluegrass Community and Technical College.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

KCTCS/UK Candidates Applying for Credentials May 5, 2006 through July 28, 2006

Bluegrass Community and Technical College (formerly Lexington Community College)

Associate in Arts – KCTCS/UK

Adkins, Jeremy A	Humanities	Associate in Arts	Associate in Arts	Associate in Arts
Clark, Kathryn Sara	Humanities	Associate in Arts	Southard, Johanna L	Humanities
Combs, Christy Michelle	Humanities	Associate in Arts	Stone, James Matthew	Humanities
Crowders, William Preston	Humanities	Associate in Arts	Terpenning, Danielle Kaye	Humanities
Garr, Rachel R	Social & Behavioral Science	Associate in Arts	Thornburg, Kelly Elizabeth	Humanities
Petzold, Victoria K	Humanities	Associate in Arts		

Associate in Science – KCTCS/UK

Alford, Kari M	Life & Natural Sciences	Associate in Science	Hockensmith, Heather D	Life & Natural Sciences
Ansari, Mahdiah	Life & Natural Sciences	Associate in Science	Ibranke, Temitope	Life & Natural Sciences
Beckett, Tabitha A	Life & Natural Sciences	Associate in Science	Joshi, Gaanesh Avinash	Life & Natural Sciences
Clements, Kimberly Sue	Life & Natural Sciences	Associate in Science	King, Jennifer Lynn	Life & Natural Sciences
Cook, Krystle K	Life & Natural Sciences	Associate in Science	Miracle, Brian Seth	Life & Natural Sciences
Crowders, William Preston	Life & Natural Sciences	Associate in Science	Richter, Melanie	Life & Natural Sciences
Foster, Michael Scott	Life & Natural Sciences	Associate in Science	Smith, Anthony Lee	Life & Natural Sciences
Hawkins, Kristie Lynn	Life & Natural Sciences	Associate in Science	Smith, Cassandra Dawn	Life & Natural Sciences

Associate in Applied Science – KCTCS/UK

Abel, Melissa K	Allied Health	Nursing	Lawson, Jimi E	Allied Health
Allen, Wesley Ryan	Allied Health	Nuclear Medicine	Lewis, Ricky W	Engineerg Technology
Anderson, Marty Michelle	Allied Health	Nuclear Medicine	Milam, Christopher Michael	Engineerg Technology
Baxter, Mary E	Allied Health	Nursing	Pedigo, Cheryl S	Allied Health
Blair, Belinda Sue	Allied Health	Nursing	Piston, Corday Lee Melinda	Allied Health
Bowman, Amanda Lee	Social & Behavioral Science	Early Childhood	Switzer, Susan K	Business
Caldwell, Kimberly S	Allied Health	Nursing	Turner, Forrest E	Business
Clark, Ryan P	Engineerg Technology	Civil Engineering	Ware, LaRae J	Allied Health
Clinkenbeard, Adam C	Engineerg Technology	Civil Engineering	Zauneyer, Megan J	Social & Behavioral Science
Cornett, Marissa R	Allied Health	Nursing		
Edwards, Janet G	Business	Business Technology		
Franklin-Scroggins, Janet R	Allied Health	Nuclear Medicine		
Hamm, David Wayne	Business	Computer Information		
Hendricks, Laura E	Allied Health	Nursing		
Hendron, Tracy A	Business	Business Technology		
Jamison, Rebecca Katharine	Business	Business Technology		
Janisch, Daryl Edward	Engineerg Technology	Engineerg Technology		

Respiratory Care	
Environmental Science	
Engineerg Technology	
Nursing	
Nursing	
Computer Information	
Business Technology	
Nursing	
Early Childhood	

AACR 3

Office of the President
December 12, 2006

Members, Board of Trustees:

ACADEMIC DEGREE RECOMMENDATION

Recommendation: that the Board of Trustees approve the establishment of a Master of Science in Athletic Training degree (CIP Code 51.0913, ATTR = (At)hletic (Tr)aining) in the Department of Rehabilitation Sciences, College of Health Sciences, effective in the spring 2007 semester.

Background: The proposed new degree is a post-baccalaureate program designed to accommodate both National Athletic Trainers Association (NATA) certified athletic trainers and NATA “certification eligible” athletic trainers. Coursework and clinical experiences are designed to develop skills necessary to conduct research and increase proficiency in injury prevention, treatment, and rehabilitation. The goal of the program is to develop critical consumers of research and accepted clinical practices, advanced health care providers, and leaders in the clinical, education, and research endeavors of the profession.

This program has the approval of the appropriate Councils and University Senate and has completed the forty-five day review by the Council on Postsecondary Education. The Provost of the university supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 1

Office of the President
December 12, 2006

Members, Board of Trustees:

BILL AND SUSAN CASNER GIFT

Recommendation: that the Board of Trustees accept a gift of \$50,000 from Bill and Susan Casner of Versailles, Kentucky to add to the existing Karri Casner Environmental Sciences Fellowship in the College of Agriculture's Tracy Farmer Center for the Environment and submit this gift of \$50,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The Karri Casner Environmental Science Fellowship was created in memory of Bill and Susan Casner's daughter who died tragically in the terrorist bombing in Bali in 2002. This gift will provide funding for an additional fellowship.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 2

Office of the President
December 12, 2006

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE HARDIN-DRNEVICH-HUANG PROFESSORSHIP

Recommendation: that the Board of Trustees accept gifts of \$37,631 and pledges of \$118,574 from various donors to create and endow the Hardin-Drnevich-Huang Professorship in Geotechnical Engineering in the Department of Civil Engineering in the College of Engineering and submit these gifts and pledges of \$156,205 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The Professorship was created to honor engineers Bobby O. Hardin, Ph.D., P.E., BS '56, MS '58; Vincent P. Drnevich, Ph.D., P.E.; and Yang H. (Pete) Huang, D.Sc., P.E. former and retired faculty in the Department of Civil Engineering, who together created a nationally recognized program in Geotechnical Engineering in the College of Engineering's Department of Civil Engineering. All three have been active in numerous professional associations and have received various research honors and awards including being recognized as Fellows by the American Society of Civil Engineers. The donors are the honorees as well as their friends, families, colleagues, and former students.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 3

Office of the President
December 12, 2006

Members, Board of Trustees:

MICHAEL D. RANKIN PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from Michael D. Rankin, M.D. of Harrodsburg, Kentucky to add to the existing Michael D. Rankin, M.D. Community Scholarship Endowment in the College of Medicine, and submit this pledge of \$100,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Rankin, a 1980 graduate of the College of Medicine, is making the pledge to provide scholarships for medical students from Boyle, Casey, Garrard, Lincoln, and Mercer Counties.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 4

Office of the President
December 12, 2006

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE WOMEN'S STUDIES GRADUATE RESEARCH ENDOWMENT

Recommendation: that the Board of Trustees accept gifts of \$29,650 and pledges of \$120,350 from multiple donors to add to the existing Women's Studies Graduate Research Endowment in the College of Arts and Sciences and submit these gifts and pledges of \$150,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The largest donor, who has given \$10,000 and has a pledge of \$115,000, prefers to remain anonymous. The primary purpose of the endowment is to support the research of Women's Studies graduate students. Since these students tend to work beyond the confines of traditional disciplines, it can be difficult for them to generate financial support for their research.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 5

Office of the President
December 12, 2006

Members, Board of Trustees:

ESTATE OF CORRILLA E. ENGLISH GIFT

Recommendation: that the Board of Trustees accept a gift of \$182,712.65 from the Estate of Corrilla E. English to create the Logan E. English Quasi-Endowment in the Libraries.

Background: Mrs. Corrilla English directed in her Last Will and Testament that a portion of her estate be given to the Libraries for the purpose of housing, promoting, exhibiting, developing, maintaining, and servicing the Logan E. English Collection. Mrs. English had previously gifted papers of her son Logan E. English (1928-1983), a noted folk singer, composer, actor, playwright, and poet, and other English family papers to the UK Libraries. The Dean of Libraries has reserved \$10,000 from the total gift of \$192,712.65 to begin the libraries' work on the collection in 2007 with the remaining \$182,712.65 directed to this quasi-endowment to support the collection in perpetuity.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 6

Office of the President
December 12, 2006

Members, Board of Trustees:

RENAMING OF CHILDREN'S MIRACLE NETWORK CHAIR NO. 1

Recommendation: that the Board of Trustees approve renaming the Children's Miracle Network Chair No. 1 in Pediatrics to the Jacqueline A. Noonan-CMN Research Chair in Pediatrics.

Background: The Children's Miracle Network (CMN) Council made a pledge that was accepted by the Board of Trustees in December 1998 to create two Children's Miracle Network Research Chairs in Pediatrics. The Council desires to change the name of the Children's Miracle Network Research Chair No. 1 in Pediatrics to honor the distinguished career of Dr. Jacqueline A. Noonan, former Chair of the department and member of the Pediatrics faculty. Dr. Noonan is an internationally known pediatric cardiologist. She retired from the University in 1998 and has held a post retirement appointment since 1999. Noonan's Syndrome, a congenital heart disease, is named in Dr. Noonan's honor for her discovery of the disease in 1963.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 7

Office of the President
December 12, 2006

Members, Board of Trustees:

ACCEPTANCE OF AUDIT REPORT
AND THE REPORT ON INTERNAL CONTROL
FOR THE UNIVERSITY OF KENTUCKY FOR 2005-06

Recommendation: that the Board of Trustees accept (1) the University of Kentucky Consolidated Financial Statements for the year ended June 30, 2006, consisting of the Consolidated Statements of Net Assets, Consolidated Statements of Revenues, Expenses and Changes in Net Assets, Consolidated Statements of Cash Flows, Notes to Consolidated Financial Statements and Management's Discussion and Analysis, and (2) the Independent Auditor's Report on the Internal Control Structure Based on the Audit of Financial Statements.

Background: The Audit Subcommittee of the Finance Committee of the University of Kentucky has reviewed the Consolidated Financial Statements for the year ended June 30, 2006, that have been audited by Deloitte & Touche LLP, Certified Public Accountants. The Consolidated Financial Statements are prepared in accordance with Governmental Accounting Standards Board (GASB) Statement No. 35, *Basic Financial Statements – and Management's Discussion and Analysis – for Public Colleges and Universities*, which focuses on the financial condition, results of operations, and cash flows of the university as a whole, with resources classified for accounting and reporting purposes into four net asset categories: invested in capital assets (net of related debt), restricted-nonexpendable, restricted-expendable, and unrestricted.

The Consolidated Financial Statements of the university include the operations of the university, its for-profit subsidiary (Kentucky Healthcare Enterprise, Inc.), and its affiliated non-profit corporations (entities for which the university is financially accountable as defined by Statement No. 14 and amended by Statement No. 39 of the Governmental Accounting Standards Board) as follows: The University of Kentucky Research Foundation and its for-profit subsidiary (Kentucky Technology, Inc.); The Fund for Advancement of Education and Research in the University of Kentucky Medical Center; University of Kentucky Athletic Association; Central Kentucky Management Services, Inc., University of Kentucky Mining Engineering Foundation, Inc.; University of Kentucky Business Partnership Foundation, Inc.; University of Kentucky Gluck Equine Research Foundation, Inc.; University of Kentucky Humanities Foundation, Inc.; University of Kentucky Center on Aging Foundation, Inc.; and Kentucky Medical Services Foundation, Inc.

NOTE: The reports were mailed to the Board of Trustees previously. Additional copies will be available at the meeting.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 8

Office of the President
December 12, 2006

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE THREE MONTHS ENDED
SEPTEMBER 30, 2006

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the three months ended September 30, 2006.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Central Kentucky Management Services.

As of September 30, 2006, the university had realized income of \$546,071,000 representing 30 percent of the 2006-07 estimate of \$1,839,286,000. Expenditures total \$437,648,000 or 24 percent of the approved budget of \$1,839,286,000.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____



*Consolidated Financial
Statements*

For the three months ended September 30, 2006

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
SEPTEMBER 30, 2006

with comparative totals for September 30, 2005
(in thousands)

	2006-07					2005-06	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 264,892	\$ 12,039	\$ 7,308	\$ 1,580	\$ 285,334	\$ 571,153	\$ 452,582
Notes, loans, and A/R (less bad debt allowances of \$24,618)	116,987	72,542	23,965	2,000	1,074	216,568	166,280
Investments	24,607	103,309		792,209	53,682	973,807	796,866
Property, plant, and equipment, net of depreciation					1,083,266	1,083,266	1,035,425
Inventories and other	28,832	56			1,414	30,302	23,525
Total Assets	\$ 435,318	\$ 187,946	\$ 31,273	\$ 795,789	\$ 1,424,770	\$ 2,875,096	\$ 2,474,678
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 45,278	\$ 5,665	\$ 647		1,030	\$ 52,620	\$ 40,333
Employee withholdings and deposits		25,227				25,227	26,005
Other liabilities	11,779	625	21,889	\$ 5,981	\$ 6,725	46,999	38,123
Liability for self insurance	63,014					63,014	63,031
Deferred income	26,881	30,944				57,825	47,157
Bonds payable					392,445	392,445	271,530
Capitalized lease obligation					65,195	65,195	66,077
Total Liabilities	146,952	62,461	22,536	5,981	465,395	703,325	552,256
Interfund Balances	(59,336)	45,867		15,665	(2,196)		
Net deferred revenues and appropriated fund balances	111,566	(3,143)				108,423	77,005
Fund Balances							
Current unrestricted							
Working capital	188,507					188,507	163,699
Future operating purposes	2,532					2,532	4,499
Other	45,097					45,097	7,973
Current restricted		82,761				82,761	69,959
Loan			8,737			8,737	8,650
True endowments				548,689		548,689	488,327
Term endowments				3,762		3,762	3,152
Quasi endowments				218,176		218,176	66,237
Charitable trusts				2,747		2,747	2,292
Gift annuities				769		769	574
Plant							
Retirement of indebtedness					20,478	20,478	22,729
Renewal and replacement					70,751	70,751	187,742
Allocated for designated projects					121,792	121,792	80,295
Net investment in plant					748,550	748,550	739,289
Total Fund Balances	236,136	82,761	8,737	774,143	961,571	2,063,348	1,845,417
Total Liabilities and Fund Balances	\$ 435,318	\$ 187,946	\$ 31,273	\$ 795,789	\$ 1,424,770	\$ 2,875,096	\$ 2,474,678

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2006
with comparative totals for September 30, 2005
(in thousands)

	2005-06				2004-05	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
(1) State appropriations	\$ 318,568	\$ 318,568	\$ 95,570	30	\$ 94,290	30
(2) Student fees	210,659	210,769	110,767	53	97,799	51
(3) County appropriations	12,734	12,734	3,048	24	2,765	23
(4) Endowment and investment income	12,681	12,681	3,476	27	2,050	27
(5) Non governmental grants and contracts	93,572	93,572	24,094	26	22,232	25
(6) Grants, donations, pledges						
Affiliated corporations	27,289	27,289	6,349	23	5,827	23
Other	3,065	4,265	86	2	226	14
(7) Sales and services	23,203	23,203	6,022	26	7,406	35
(8) Transfers	16,206	16,286	1,940	12	1,445	8
(9) Fund balance	32,462	32,462	32,462	100	27,057	100
(10) Total General Fund	750,439	751,829	283,815	38	261,097	37
(11) Auxiliary Enterprises	62,742	62,742	17,020	27	16,972	26
Restricted Funds						
(12) Federal appropriations	15,298	15,298	5,355	35	4,598	30
(13) Other	94,858	94,858	23,558	25	22,782	24
(14) Affiliated Corporations	326,959	326,959	78,277	24	78,592	25
(15) Hospital						
Revenues	587,600	587,600	138,047	23	125,213	27
(16) Fund balance						
Total Revenues and						
(17) Appropriated Fund Balances	<u>\$ 1,837,896</u>	<u>\$ 1,839,286</u>	<u>\$ 546,071</u>	30	<u>\$ 509,254</u>	31

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2006**

with comparative totals for September 30, 2005
(in thousands)

	2005-06				2004-05	
	Original Budget	Revised Budget	Expended To Date	%	Expended To Date	%
(1) Instruction	\$ 252,133	\$ 253,577	\$ 54,941	22	\$ 58,270	22
(2) Research	271,974	272,329	58,936	22	56,845	21
(3) Public service	217,008	216,724	55,896	26	48,869	25
(4) Academic support	90,663	90,652	21,264	23	21,786	24
(5) Student services	22,301	22,549	6,305	28	5,324	25
(6) Institutional support	117,750	116,965	21,562	18	18,351	23
(7) Student financial aid	79,978	80,274	39,483	49	37,887	49
(8) Operation and maintenance	56,436	56,563	15,271	27	8,646	17
(9) Mandatory transfers (debt service)	17,048	17,048	15,581	91	17,751	89
(10) Hospital	588,653	588,653	117,772	20	128,509	27
(11) Auxiliary enterprises	123,952	123,952	30,636	25	30,011	25
(12) Total Expenditure by Program	<u>\$ 1,837,896</u>	<u>\$ 1,839,286</u>	<u>\$ 437,648</u>	24	<u>\$ 432,249</u>	26

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2006**

with comparative totals for September 30, 2005
(in thousands)

	2005-06				2004-05	
	Original Budget	Revised Budget	Expended To Date	%	Expended To Date	%
(13) Personnel costs	\$ 941,707	\$ 940,940	\$ 246,442	26	\$ 228,939	25
(14) Operating expenses	820,461	819,794	160,288	20	175,437	26
(15) Mandatory transfers (debt service)	28,829	28,829	17,121	59	19,518	59
(16) Capital outlay	46,899	49,723	13,797	28	8,355	15
(17) Total Expenditure by Category	<u>\$ 1,837,896</u>	<u>\$ 1,839,286</u>	<u>\$ 437,648</u>	24	<u>\$ 432,249</u>	26

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2006

with comparative totals for September 30, 2005

(in thousands)

	2006-07	2005-06
(1) Realized Revenues	\$ 513,609	\$ 482,197
Appropriated Fund Balances		
(2) Current Unrestricted Fund	\$ 32,462	27,057
(3) University Hospital		
(4) Total Appropriated Fund Balances	32,462	27,057
(5) Total Revenues and Appropriated Fund Balances	546,071	509,254
(6) Expenditures	437,648	432,249
(7) Net Deferred Revenues and Appropriated Fund Balances	108,423	77,005

FCR 9

Office of the President
December 12, 2006

Members, Board of Trustees:

CONSTRUCT BIOLOGICAL/PHARMACEUTICAL COMPLEX – UPDATE AND AUTHORIZATION CONSOLIDATION

Recommendation: that the Board of Trustees approve consolidation of several authorized construction, infrastructure, and design projects for the Biological/Pharmaceutical Complex which will bring the aggregate authorized project scope to \$135,292,000.

Background: At its September 20, 2005 meeting, the Board approved Phase I of the Biological/Pharmaceutical Complex Project using \$40,000,000 of state bonds authorized by the 2005 Session of the Kentucky General Assembly. At its June 13, 2006 meeting, the Board approved Phase II of the project using \$79,892,000 of state bonds authorized by the 2006 Session of the Kentucky General Assembly. The completed facility will provide a state-of-the-art teaching and research facility.

The College of Pharmacy will relocate to this new facility and vacate their existing space. The vacated space will be reassigned to provide needed expansion space for classrooms, research, and faculty offices to meet the needs of the growing student enrollment, including that of Pre-Pharmacy.

The university is well along on programming and preliminary design of the project. Programming decisions and cost estimating and budgeting have become clearer as the project has progressed. In order to maximize space and cost efficiency, the recommended facility is a 279,000 square foot five-story building which includes 1 ½ floors of shelled space. In addition to five floors, the building will also have an occupied basement and penthouse. As a result of increasing the square footage of the facility, the project will carry a full scope, which includes planning; programming; design, construction, and fit-up; and major infrastructure needs. The 1 ½ floors of shell space will provide significant and needed space to accommodate growth for expanding university research. The future cost, along with the source of funds, for fit-up of this space will be the subject of future board review and approval. The project will be funded with \$119,892,000 of state bonds, for which the Commonwealth of Kentucky will be responsible for the annual debt service, and \$15,400,000 of university funds.

Contingent upon approval by the Board of Trustees, the university will request the state Capital Projects and Bond Oversight Committee to consolidate project authorizations to meet this budget.

The project authorizations proposed to be utilized include:

<u>Biennium Authorized</u>	<u>Project</u>	<u>Scope</u>
2004-06	*Construct Biological/Pharmaceutical Complex – Phase I	\$40,000,000
2006-08	*Construct Biological/Pharmaceutical Complex – Phase II	79,892,000
2006-08	*Replace Cooling Plant Chillers	6,000,000
2006-08	*Improve Central Heating Plant	4,860,000
2006-08	Acquire Land (utilize portion of pool)	2,500,000
2006-08	*Expand Plant Capacity Infrastructure – Design	<u>2,040,000</u>
	Total	\$135,292,000

Note: Shown above with a “*” are state budget authorizations proposed to be consolidated for this project. Land acquisition expenses of \$2,500,000 will come from the budgeted pool authorization.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

REVISED

FCR 7

Office of the President
December 12, 2006

Members, Board of Trustees:

CAPITAL PROJECTS

Recommendation: that the Board of Trustees approve initiation of the following capital projects:

<u>Project Title</u>	<u>Fund Source</u>	<u>Scope</u>
1. Renovate Blazer Hall Cafeteria	Agency Funds	\$1,400,000
2. Upgrade Clinical Services	Agency Funds	2,000,000

Background: These projects were authorized by the 2006 General Assembly as part of House Bills 380 and 557. The Upgrade Clinical Services project was approved by the University Hospital Committee on October 9, 2006. A brief description of each project is attached.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 11

Office of the President
December 12, 2006

Members, Board of Trustees:

AUTHORIZATION TO SELL APPROXIMATELY 16.8 ACRES MORE OR LESS OF
MAINE CHANCE FARM FOR FEE SIMPLE RIGHT-OF-WAY PURPOSES ALONG
NEWTOWN PIKE IN ADDITION TO TEMPORARY CONSTRUCTION/UTILITY
EASEMENTS OF AN ADDITIONAL 10.6 ACRES MORE OR LESS TO ALLOW
FOR A KENTUCKY TRANSPORTATION CABINET NEWTOWN PIKE
RECONSTRUCTION PROJECT FROM I-75 NORTH TO IRON WORKS PIKE

Recommendation: that the Board of Trustees authorize the Executive Vice President for Finance and Administration to negotiate and execute the sale for road right-of-way (R-O-W) purposes 16.8 acres more or less (average width of 66 feet) and temporary construction and utility easements of 10.6 acres more or less (average width of additional 41 feet) on Maine Chance Farm for a Kentucky Transportation Cabinet Newtown Pike Corridor road improvement project. The acreage will be sold following formal appraisals of value and in accordance with normal R-O-W acquisition procedures of the Kentucky Department of Transportation. Appraisals are in the process of being completed. The Commonwealth is proceeding with the road project under a fast-track approach.

Background: The Kentucky Transportation Cabinet awarded a design-build contract to ATS Construction to improve Newtown Pike. The project is considered a safety improvement rather than a traffic capacity expansion project. The majority of the requested right-of-way is on the western side of Newtown Pike on University of Kentucky property. The project is being fast tracked by the Transportation Cabinet in order to complete the work prior to the World Equestrian Games in 2010.

Representatives from the College of Agriculture and UK Facilities Management met with the Cabinet over the past several months on design details and other issues.

The right-of-way, along with the temporary construction/utility easements, is generally detailed but remains open to adjustments and refinements as the design proceeds.

In general terms, the university has informed Cabinet officials that the university sale of land is conditioned upon: the right-of-way being purchased at appraised value; a cemetery in the area not being disturbed; stone walls being completely rebuilt in a like fashion; farm research projects not being disturbed; farm entrances being rebuilt in an improved manner; and the university having further input on final design issues of landscaping and being able to review any possible changes in flood plain elevations.

Board of Trustees approval will allow the Executive Vice President for Finance and Administration to proceed with appraisals, continue to work on design issues, and sign the necessary deeds and other documents with state and local utilities in order to stay on the schedule prepared by the Transportation Cabinet. The schedule calls for the start of relocation of rock walls (under a temporary access easement) as early as December 15, 2006. Construction of bridge replacements are scheduled for the winter of 2007. The entire road project is expected to be under construction by April 1, 2007 with project completion by November 2007 (subject to weather or other unforeseen events).

A similar authorization by the UK Research Foundation Inc. will also be required.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 12

Office of the President
December 12, 2006

Members, Board of Trustees:

AUTHORIZATION TO CONVEY 14.89 ACRES
TO THE CENTER FOR RURAL DEVELOPMENT, INC.

Recommendation: that the Board of Trustees authorize the Executive Vice President for Finance and Administration to convey, at no cost, 14.89 acres of University of Kentucky property to the Center for Rural Development, Inc. in Somerset, Kentucky.

Background: On January 12, 1994, the city of Somerset, Kentucky conveyed, at no cost, a total of 16.59 acres to the university to be used as the site for the construction of the Center for Rural Development. The city of Somerset and the university agreed to cooperate in the construction of the center on this site for the use and benefit of the university, the city of Somerset, Pulaski County, Somerset Community College, and the Commonwealth's Fifth Congressional District. This property was condemned and paid for by the city of Somerset for this purpose. The university constructed the center on the site with funds provided by the Commonwealth, federal, and local governments.

On February 20, 1996, the university leased the facility to the center for \$1.00 per year in accordance with its stated objectives. On January 15, 2003, the university and the center amended the lease to detail their respective obligations and responsibilities for maintenance and operations of the facility.

The center recently distributed a Request for Proposals to build an upscale hotel adjacent to the center on 1.7 acres of the original 16.59 acres (and currently used, in part, for parking). The hotel is intended to attract meetings and events that will compliment and enhance the non-profit mission of the center: to increase economic opportunity, improve infrastructure, elevate the quality of life, and attract tourism to the area.

On October 10, 2006, the Board honored a request of the center for title to the 1.7 acres to enable the center to proceed with its plans for the hotel. After further consultation with the center, the university now proposes to transfer the balance of the 16.59 acres to the center to facilitate the furtherance of its missions.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 13

Office of the President
December 12, 2006

Members, Board of Trustees:

2006-07 Budget Revisions

Recommendation: that the Board of Trustees authorize and approve the following revisions to the 2006-07 budget. The budget revisions outlined below will increase the University of Kentucky's total budget by \$55,186,600 – from \$1,839,286,600 to \$1,894,473,200.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u>			
1. Income Estimates			
Tuition and Fees			
Other Fees	\$ 6,891,600	\$ 7,019,000	\$ 127,400
County Appropriations	12,734,400	13,597,400	863,000
Sales and Services of Educational Activities			
Departmental Sales and Services	18,844,800	18,940,300	95,500
Transfers			
The Medical Center Fund for			
Advancement of Education and			
Research	8,315,000	8,387,400	72,400
Fund Balances	32,462,300	85,355,600	<u>52,893,300</u>
			\$54,051,600
2. Expenditures			
Finance and Administration			
Human Resource Services	\$ 7,703,300	\$ 7,753,300	\$ 50,000
Provost			
College of Agriculture			
Agricultural Cooperative			
Extension Service			
Field Programs	32,697,700	33,560,700	863,000
College of Dentistry			
Department of Oral Health Practice	4,274,000	4,284,100	10,100
Department of Oral Health Science	4,377,200	4,382,300	5,100
Graduate and Residency Programs	3,082,100	3,134,300	52,200
Patient Care	3,237,300	3,239,800	2,500
Public and Professional Services	707,100	709,600	2,500

	Approved <u>Budget</u>	Revised <u>Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u> (continued)			
2. Expenditures (continued)			
Office of International Affairs			
International Study Abroad and Exchange Programs	172,800	300,200	127,400
Partnership Institute for Mathematics and Science Reform	951,000	996,000	45,000
Research			
Kentucky Geological Survey	4,000,600	4,001,100	500
University Wide			
Nonrecurring Funds			
General Operating Programs	27,510,100	80,403,400	<u>52,893,300</u>
			\$54,051,600

Comments – The centralized Education Abroad program created a pilot program in 2006-07 which is expected to generate \$127,400 annually in registration and course fees in order to support program expenses.

County appropriations will increase \$863,000 to support field programs in the Agricultural Cooperative Extension Service.

Departmental Sales and Services will increase \$95,500 primarily from \$50,000 in administrative fees related to the health benefits program. These funds will support a position working with the Retiree Health Task Force. In addition, the Partnership Institute for Mathematics and Science Reform will collect \$45,000 from Kentucky school districts for a new service to assist in the preparation of faculty and students with advanced math skills.

The Medical Center Fund will transfer \$72,400 to the College of Dentistry to provide funding for the 1.5% mid-year faculty salary increase and a dental assistant position.

The 2006-07 operating budget approved by the Board of Trustees included a projected fund balance of \$32,462,300. The actual fund balances were significantly higher as a result of unspent funds in various reserve accounts, actual tuition revenue in excess of budget and over realized revenues in many areas of the university. Additional expenditure authority of \$52,893,300 is requested to fully recognize the funds available.

B. AFFILIATED CORPORATIONS – RESTRICTED FUNDS

The Medical Center Fund for Advancement of Education and Research

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
1. Income Estimates	\$8,988,000	\$ 10,123,000	\$1,135,000
2. Expenditures	\$ 8,988,000	\$ 10,123,000	\$1,135,000
3. <u>Comments</u> – The Medical Center Fund will increase by \$1,135,000 due to expected revenue of \$950,000 from various organizations and individual ticket sales to the university Hospital's 50 th anniversary gala. In addition, the UK HealthCare golf fund raising event is expected to generate \$185,000 to support the Gill Heart Institute.			

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 14

Office of the President
December 12, 2006

Members, Board of Trustees:

APPROVAL OF THE 2005-06 ENDOWMENT MATCH PROGRAM ANNUAL REPORT

Recommendation: that the Board of Trustees accept the 2005-06 Endowment Match Program Annual Report.

Background: The Research Challenge Trust Fund (RCTF) was established in 1997 with the passage of House Bill 1, the *Kentucky Postsecondary Education Improvement Act*. The RCTF is designed to encourage research activities at the University of Kentucky and the University of Louisville. Pursuant to the Council on Postsecondary Education's Endowment Match Program Request and Reporting Procedures, the Board of Trustees must review and approve the annual report on UK's participation in RCTF. Additional information about the program is available online at:

<http://www.research.uky.edu/ca/rctf/index.html>

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

University of Kentucky
Endowment Match Program
2005-06 Annual Report



“Kentucky needs its flagship university to be home to a world-class collection of faculty that is talented enough, focused enough, and large enough to take on the problems that plague too many Kentuckians for too much of their lives. . . That is what the Top 20 mandate is all about – creating a synergy of talent, creativity, and innovation. We see the need for more faculty in the hard work it will take to solve Kentucky's problems. Every additional faculty member we hire is another member of a collection of talented people dedicated to fighting disease, improving products and services, and creating businesses and jobs. Every additional dollar in external funding our faculty earns is another dollar invested in research and service and another dollar infused into our economy. Every additional action our faculty take to assist businesses, provide health care, support elementary and secondary education, enlighten our society, enrich our culture, and reach out to our communities makes a difference across Kentucky.”

Lee T. Todd, Jr., President
University of Kentucky

Beginning in 1998, the Kentucky General Assembly has made a substantial contribution to the University of Kentucky's effort to build a Top-20-caliber faculty and create the intellectual synergy necessary to making a difference in lives all across Kentucky.

The Research Challenge Trust Fund has enabled UK to increase significantly the number of endowed chairs and professorships on its campus. Those positions have strengthened programs across the University, especially in areas like science, technology, engineering, and math that are related to the knowledge economy. Faculty in those positions are earning tens of millions of dollars in external research support, aimed at discovery and practical application. UK undergraduate and graduate students are benefiting every day from the opportunity to work side-by-side with Bucks for Brains faculty in cutting edge research. Kentuckians are benefiting every day and will benefit for years to come from this research that is worthy of an engaged, flagship university dedicated to improving the Commonwealth it serves.

The program also has been essential to UK's nearly completed \$1 billion Capital Campaign. There is no question that the prospect of a dollar-for-dollar match from the Commonwealth has encouraged even greater support from the University's alumni and friends.

The following pages describe the University of Kentucky's enormous success in raising money and putting it to work for the people of Kentucky. The true measure of the success of Bucks for Brains is the difference those dollars make.

FOCUS ON FACULTY

Kentucky's innovative investment in the research faculty at the University of Kentucky is paying significant dividends. Described below are just a few of the faculty members taking direct aim at solving the problems that Kentucky's communities, businesses and citizens face.

Helping Students with Reading and Math

Thousands of children in school districts across the state who are struggling with reading and math have benefited from research conducted by Dr. Ted Hasselbring, Chair in Special Education. Over the past five years, Hasselbring's work has had an impact on a half million students across the country who have used the Scholastic's Read 180 software program based on his research. With a \$2.8 million grant from the U.S. Department of Education, Hasselbring began the nation's first Assistive Technology (AT) Institute at UK. Today, the National Assistive Technology Research Institute conducts AT research to enhance learning for students with mild disabilities and those at-risk of school failure, translates theory and research into AT practice, and provides resources for improving the delivery of AT services.



Finding Treatments for Nicotine and Methamphetamine Abuse

Dr. Linda Dwoskin, a professor of Pharmaceutical Sciences and U.S. Surgical-Pfizer Endowed Professor at UK, is currently involved in two related projects—one focused on nicotine and the other on



methamphetamine. Dwoskin and Pharmacy colleague Dr. Peter Crooks, the George A. Digenis Professor/Chair in Drug Design and Discovery, are trying to find small molecules that block receptors and transporter proteins responsible for the “reward” associated with nicotine and methamphetamine use. These molecules might serve as novel therapeutic agents to help people overcome their addiction. In the methamphetamine project, the researchers are working with a

compound called lobeline, which, when given to rats, stops their craving for the drug. Dwoskin and Crooks have patented lobeline as a methamphetamine-blocking agent and have started a company, Yaupon Therapeutics Inc., to market it.

Implementing New Teaching Strategies to Prepare Tomorrow's Pharmacists

UK's College of Pharmacy is known for its innovation and excellence as the eighth-ranked program in America. Dr. Heidi Anderson, who holds the U.S. Surgical Endowed Professorship in Pharmacy Administration, is one of the college's catalysts of innovation. In her role as professor and Assistant Dean for Education Innovation, Anderson helps faculty refine and utilize active and innovative teaching strategies, including distance learning. Educating future pharmacists at UK has taken on added level of importance recently. "For a number of reasons, including the increased pharmaceutical needs of aging baby boomers, there is a shortage of pharmacists in Kentucky," says Kenneth Roberts, Dean of the College of Pharmacy. "Dynamic and innovative administrators like Heidi Anderson can keep pharmacy students interested and on track for their degrees."



FOCUS ON STUDENTS

Top research universities that recruit world-class researchers attract the best students. UK is using the Endowment Match Program to invest in students. In Fiscal Year 2006, the Endowment Match Program provided graduate fellowships and scholarships to 263 recipients.

FOCUS ON KENTUCKY

In Fiscal Year 2006, external research grants and contracts at the University totaled \$290 million. This is up from \$122 million in 1997 (a 138 percent increase). A significant portion of that total is a direct result of Bucks for Brains.

During Fiscal Year 2006, these external research grants and contracts resulted in a \$410.6 million contribution to the Kentucky economy, including \$200.1 million in personal income. Externally supported research accounted for 10,427 jobs at UK and throughout Kentucky. Each dollar of out-of-state external funding for research generates approximately \$1.90 of total related expenditures.

ENDOWMENT ACTIVITY

Private donations and state matching funds supported the addition of two endowed chairs, eight endowed professorships, 13 fellowships, and nine mission support projects in Fiscal Year 2006 (Table 1).

**Table 1: Endowment Match Program Positions, Programs, and Projects
Established During Fiscal Year 2006 and Since Program Inception**

Activity	<u>2005-2006</u>		<u>1998-2006</u>	
	Number Of Positions Or Projects	Occupied or Projects with Expenditures	Number Of Positions or Projects	Occupied or Projects with Expenditures
Chairs	2	0	74	60
Professorships	8	0	169	119
Fellowships	13	0	106	61
Mission Support	9	1	94	55
Totals	<u>32</u>	<u>1</u>	<u>443</u>	<u>295</u>

ENDOWMENT MATCH PROGRAM AT A GLANCE

The University of Kentucky has used Bucks for Brains to:

- create 74 endowed chairs (awarded 60) and 169 endowed professorships (awarded 119);
- create 200 fellowships, scholarships, and endowments for research support and the William T. Young Library.

There are 281 active patents on campus, primarily in pharmaceuticals, plant biotechnology, and biomedical engineering. UK collected \$905,895 in royalties from intellectual property in Fiscal Year 2006. The University ranks 10th in the nation among all universities in the number of start-up companies formed per \$10 million in research spending.

UK recently received national recognition from the Milken Institute, which ranked the success of universities in the process of economic development and commercialization. UK ranks 23rd among public universities in the U.S. – and 35th overall – in technology transfer and commercialization efforts. The University also ranked highly in biotechnology publications and patents.

Demographic Information

As of June 30, 2006, the University of Kentucky has appointed a total of 154 faculty to endowed chairs and professorships under the auspices of the Endowment Match Program. Of those appointments, 123 (80 percent) are male, and 31 (20 percent) are female. Of the 467 professional staff associated with the RCTF programs, 226 (48 percent) are male, and 241 (52 percent) are female. (Table 2)

**Table 2: Gender of Endowment Match Program Faculty and Staff
as of June 30, 2006**

	<u>Male</u>	<u>Female</u>	<u>Total</u>
Chairs	39	8	47
Professorships	84	23	107
Staff	226	241	467
Total	<u>349</u>	<u>272</u>	<u>621</u>

**Table 3: Race of Endowment Match Program
Faculty and Staff as of June 30, 2006**

	<u>White</u>	<u>Black</u>	<u>American Indian</u>	<u>Asian</u>	<u>Hispanic</u>	<u>Total</u>
Chairs	41	0	0	5	1	47
Professorships	95	1	0	10	1	107
Staff	301	12	0	133	21	467
Total	<u>437</u>	<u>13</u>	<u>0</u>	<u>148</u>	<u>23</u>	<u>621</u>

During Fiscal Year 2006, there were 106 endowments supporting graduate fellowships. Since a single endowment may support multiple student awards, 263 graduate students received support funded from endowment proceeds (Table 4). Sixty-five (25 percent) of the fellowship recipients were minority students.

**Table 4: Gender and Race of Endowment Match Program Financial
Aid Recipients for Awards Made During Fiscal Year 2006**

	<u>White</u>	<u>Black</u>	<u>American Indian</u>	<u>Asian</u>	<u>Hispanic</u>	<u>Total</u>
Fellowship Recipients						
Male	88	7	5	24	3	122
Female	<u>110</u>	<u>12</u>	<u>4</u>	<u>10</u>	<u>0</u>	<u>141</u>
Total	198	19	9	34	3	263

Areas of Concentration

The Council on Postsecondary Education's Endowment Match Program Guidelines stipulate that at least 70 percent of program funds at the research institutions must be used to support Research Challenge programs or academic disciplines contained within Kentucky's five new economy clusters: (1) human health and development; (2) biosciences; (3) materials science and advanced manufacturing; (4) information technologies and communications; and (5) environmental and energy technologies. The University of Kentucky has directed 78.2 percent of the 2002-04 funds received through June 30, 2006 to support positions, programs, or projects within the new economy clusters (Table 5).

**Table 5: 2002-04 Match Program Funds Dedicated to Council Priority Areas
As of June 30, 2006**

	State Funds	Private Funds	Total	Percent of Total
New Economy Clusters				
Human Health & Development	\$ 13,039,597	\$ 13,039,597	\$26,079,194	51.3%
Biosciences	5,979,774	5,979,774	11,959,548	23.5%
Materials Science & Advanced Manufacturing	631,904	631,904	1,263,808	2.5%
Information Technologies & Communications	175,000	175,000	350,000	0.7%
Environmental & Energy Technologies	<u>50,000</u>	<u>50,000</u>	<u>100,000</u>	<u>0.2%</u>
Subtotal	19,876,275	19,876,275	39,752,550	78.2%
Other	<u>5,533,090</u>	<u>5,533,090</u>	<u>11,066,180</u>	<u>21.8%</u>
Total	<u><u>\$ 25,409,365</u></u>	<u><u>\$ 25,409,365</u></u>	<u><u>\$ 50,818,730</u></u>	<u><u>100.0%</u></u>

FINANCIAL SUMMARY

The University received \$9,076,476 of state matching funds in Fiscal Year 2006 (Table 6). With regard to the gifts and pledges received, about 73 percent (\$6,654,842) was in cash and 27 percent in pledges. The market value, as of June 30, 2006, of all endowments created since inception of the Research Challenge Trust Fund Endowment Match Program is \$351,040,231 (Table 7).

University of Kentucky
Endowment Match Program
Table 6: Endowments Established or Expanded with State Funds
During Fiscal Year 2005 - 2006

Endowment Name:	Date Established	State Funds	Private Funds			Grand Total	
			Gifts	Pledges	Total		
Endowments Established:							
M	KPMG Peat Marwick Professorship Endowment	05/10/05	\$50,000	\$7,500	\$42,500	\$50,000	
	Kaye Matthews Stone Memorial Fund for Pancreatic Cancer Research	02/08/02	50,000	26,610	23,390	\$50,000	
	Paul C. Van Booven Fellowship Endowment Fund	06/30/04	341,686	184,047	157,639	\$341,686	
	William Baxter Jennings Fellowship Endowment Fund	06/01/04	64,225	59,850	4,375	\$64,225	
	University of Kentucky Pediatric Exercise Physiology Laboratory Research Fund	05/13/05	150,000	150,000	0	\$150,000	
	Karri Casner Environmental Sciences Fellowship	01/17/05	50,000	50,000	0	\$50,000	
	Jacqueline K. Resinger Graduate Fellowship in Clinical Laboratory Sciences	02/27/04	50,000	20,000	30,000	\$50,000	
	James L. and Suzanne H. Elliott Professorship in Pediatrics	12/09/04	50,000	20,590	29,410	\$50,000	
	Paul E. Miller & Judith Sebastian Miller Fellowship Endowment Fund	04/29/05	114,837	114,837	0	\$114,837	
	Liz Claiborne Foundation Endowment Fund	12/31/04	125,000	75,000	50,000	\$250,000	
	Bill and Marie Cull Fellowship Endowment Fund	03/01/05	100,000	40,367	59,633	\$100,000	
	Patient Quality, Safety and Rights Research Endowment	04/29/05	50,040	27,022	23,018	\$50,040	
	Anthony V. Carozza Fellowship	12/09/04	50,000	20,000	30,000	\$50,000	
M	University of Kentucky Pediatric Exercise Physiology Laboratory Research Fund	05/13/05	75,000	75,000	0	\$75,000	
	James E. and Christine D. Luckett Endowment Fund	08/23/05	100,000	100,000	0	\$100,000	
	Ashwini Anand, M.D. Professorship in Cardiology	03/30/05	50,000	10,000	40,000	\$50,000	
	Appalachian Fellowship Endowment Fund	05/27/05	105,000	105,000	0	\$105,000	
	College of Nursing Millennium Fund	12/05/00	95,968	85,905	10,063	\$95,968	
	Kentucky Pharmacists Association Professor of Leadership Endowed Fund	04/04/05	50,000	10,000	40,000	\$50,000	
	Patient Quality, Safety and Rights Research Endowment	04/29/05	130,000	18,876	111,124	\$130,000	
	Cardiovascular Imaging Professorship	04/06/05	100,000	100,000	0	\$100,000	
	Kentucky Hospital Association Professorship and Research Fund	05/09/05	500,000	100,000	400,000	\$500,000	
	John L. and Ruth J. Southam Animal Biopathology Research Endowment	06/31/05	119,267	119,267	0	\$119,267	
	Janet H. Koller Equine Bioinformatics Research Endowment	08/31/05	1,510,612	1,510,612	0	\$1,510,612	
	John R. Gaines Endowed Chair in the Humanities	08/31/05	1,510,612	1,510,612	0	\$1,510,612	
	M	John R. Gaines Endowed Chair in the Humanities	07/27/05	230,000	81,402	148,598	\$230,000
Leslie L. Martin Endowed Research Fellowship		08/10/05	50,000	50,000	0	\$50,000	
Cottrill-Rolfes Chair in Catholic Studies		11/17/05	500,000	100,000	400,000	\$500,000	
Kiyong Lee Graduate Fellowship		08/23/05	60,000	0	60,000	\$60,000	
Robert E. Harding, Jr. Professorship Fund		04/26/05	50,000	20,000	30,000	\$50,000	
Patient Quality, Safety and Rights Research Endowment		04/29/05	300,000	100,000	200,000	\$300,000	
Elise White Boyd Graduate Fellowship		11/08/05	125,000	84,844	40,156	\$125,000	
Leo P. Branstetter Alzheimer's Disease Research Fund		09/30/05	68,751	68,751	0	\$68,751	
Beaven-Edelick Doctoral Research Fellowship		10/28/05	50,000	6,000	44,000	\$50,000	
James W. and Diane V. Stuckert Professorship in Service Learning		11/16/05	250,000	250,000	0	\$250,000	
Sub-Total			\$7,275,997	\$5,302,090	\$1,973,907	\$7,275,997	
						\$14,551,994	

University of Kentucky
Endowment Match Program
Table 6: Endowments Established or Expanded with State Funds
During Fiscal Year 2005 - 2006

Endowment Name:	Date Established	State Funds	Private Funds			Grand Total
			Gifts	Pledges	Total	
Endowments Expanded:						
M	09/30/04	204,168	85,458	118,710	\$204,168	\$408,336
M	02/13/00	\$100,000	\$100,000	\$0	\$100,000	\$200,000
M	10/18/00	70,000	70,000	0	\$70,000	\$140,000
M	03/29/04	72,793	72,793	0	\$72,793	\$145,586
M	03/29/04	500,000	500,000	0	\$500,000	\$1,000,000
M	10/03/03	51,585	51,585	0	\$51,585	\$103,170
M	02/29/04	51,215	36,216	15,000	\$51,215	\$102,430
M	11/25/98	217,031	159,513	57,518	\$217,031	\$434,062
M	11/28/00	69,400	62,900	6,500	\$69,400	\$138,800
M	04/06/99	250,000	0	250,000	\$250,000	\$500,000
M	03/31/03	164,287	164,287	0	\$164,287	\$328,574
M	02/29/04	50,000	50,000	0	\$50,000	\$100,000
Sub-Total			\$1,352,752	\$447,727	\$1,800,479	\$3,600,958
Grand Total			\$9,076,476	\$2,421,634	\$9,076,476	\$18,152,952

M Indicator for multiple requests.

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

Endowment Name:	Date Est.	State Funds Received	Prior to 7/1/2005		Market Value 6/30/2005	Fiscal Year 2005-06				Outstanding Pledges 6/30/2006	
			Gifts Received	Market Value 6/30/2005		State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006		Market Value 6/30/2006
New Endowments:											
KPMG Peat Marwick Professorship Endowment	05/10/05	-	-	188,303	50,000	7,500	21,126	-	258,076	42,500	
College of Nursing Millennium Fund	12/05/00	-	82,507	82,194	95,968	3,398	10,877	-	189,064	10,063	
Kaye Matthews Stone Memorial Fund for Pancreatic Cancer Research	02/08/02	-	16,810	34,923	50,000	9,800	6,608	-	107,134	23,390	
Paul C. Van Booven Fellowship Endowment Fund	06/30/04	-	136,671	145,402	341,686	47,376	29,402	-	545,339	157,639	
William Baxter Jennings Fellowship Endowment Fund	06/01/04	-	58,650	62,969	64,225	1,200	6,962	-	131,790	4,375	
University of Kentucky Pediatric Exercise											
M Physiology Laboratory Research Fund	05/13/05	-	150,000	250,668	150,000	-	28,882	288	487,222	-	
University of Kentucky Pediatric Exercise											
M Physiology Laboratory Research Fund		-	75,000	-	75,000	-	-	-	-	-	
Karri Casner Environmental Sciences Fellowship	01/17/05	-	50,000	51,161	50,000	-	7,817	-	105,947	-	
Jacqueline K. Resinger Graduate Fellowship in Clinical Laboratory Sciences	02/27/04	-	10,000	9,996	50,000	10,000	4,091	-	73,709	30,000	
James L. and Suzanne H. Elliott Professorship in Pediatrics	12/09/04	-	9,809	9,805	50,000	10,781	3,926	-	74,140	29,410	
Paul E. Miller & Judith Sebastian Miller Fellowship Endowment Fund	04/29/05	-	114,837	116,803	114,837	-	17,849	-	247,961	-	
Liz Claiborne Foundation Endowment Fund	12/31/04	-	50,000	50,514	125,000	25,000	12,747	-	206,323	50,000	
Kentucky Pharmacists Association Professor of Leadership Endowed Fund	04/04/05	-	10,000	10,171	50,000	-	2,265	-	62,193	40,000	
James E. & Christine D. Luckett Endowment Fund											
M Fund	08/23/05	-	100,000	407,516	100,000	-	56,466	-	838,774	-	
Bill and Marie Cull Fellowship Endowment Fund	03/01/05	-	20,100	20,366	100,000	20,267	7,014	-	143,383	59,633	
Robert E. Harding, Jr. Professorship Fund	04/26/05	-	10,000	10,361	50,000	10,000	738	-	72,494	30,000	
Patient Quality, Safety and Rights Research											
M Endowment	04/29/05	-	14,178	14,659	50,040	12,844	12,970	-	637,360	23,018	
Patient Quality, Safety and Rights Research											
M Endowment	04/29/05	-	-	-	130,000	18,876		-		111,124	
Patient Quality, Safety and Rights Research											
M Endowment	04/29/05	-	-	-	300,000	100,000		-	-	200,000	
John R. Gaines Endowed Chair in the Humanities	07/27/05	-	8,113	8,334	230,000	73,289	4,977	-	438,481	148,598	
Ashwini Anand, M.D. Professorship in Cardiology	03/30/05	-	10,000	12,550	50,000	-	3,327	-	65,581	40,000	
Cardiovascular Imaging Professorship	04/06/05	-	100,000	101,324	100,000	-	12,666	-	213,248	-	
Kentucky Hospital Association Professorship and Research Fund	05/09/05	-	-	-	500,000	100,000	22,445	-	616,183	400,000	
Leslie L. Martin Endowed Research Fellowship											
John L. and Ruth J. Southam Animal Biopathology Research Endowment	08/10/05	-	-	-	50,000	50,000	2,545	-	102,545	-	
M Appalachian Fellowship Endowment Fund	08/31/05	-	-	-	119,267	119,267	22,133	-	523,495	-	
M Elise White Boyd Graduate Fellowship	05/27/05	-	-	-	105,000	105,000	109,477	-	2,099,090	-	
Anthony V. Carozza Fellowship	11/08/05	-	-	-	125,000	84,844	4,834	-	220,138	40,156	
Janet H. Koller Equine Bioinformatics Research Endowment	12/09/04	-	-	-	50,000	20,000	3,221	-	72,893	30,000	
Janet H. Koller Equine Infectious Diseases Research Endowment	08/31/05	-	-	-	1,510,612	1,510,612	100,815	-	3,057,652	-	
Leo P. Branstetter Alzheimer's Disease Research Fund	08/31/05	-	-	-	1,510,612	1,510,612	100,877	-	3,057,529	-	
M Research Fund	09/30/05	-	-	-	68,751	68,751	5,203	-	501,431	-	
Cottrill-Rofes Chair in Catholic Studies	11/17/05	-	-	-	500,000	100,000	(2,471)	-	588,905	400,000	

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

Endowment Name:	Date Est.	Prior to 7/1/2005		Fiscal Year 2005-06				Outstanding Pledges 6/30/2006
		State Funds Received	Market Value 6/30/2005	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006	Market Value 6/30/2006
Beaven-Eidetik Doctoral Research Fellowship	10/28/05	-	-	50,000	6,000	(277)	-	55,469
James W. and Diane V. Stuckert Professorship in Service Learning	11/16/05	-	-	250,000	250,000	(3,002)	-	494,175
Kiyong Lee Graduate Fellowship	08/23/05	\$0	-	60,000	-	(232)	-	59,091
Grand Total for New Endowments		\$0	1,588,020	7,275,997	4,275,415	616,277	288	16,346,815
								1,973,907

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

Endowment Name:	Date Est.	Prior to 7/1/2005			Fiscal Year 2005-06				Outstanding Pledges 6/30/2006
		State Funds Received	Market Value 6/30/2005	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006	Market Value 6/30/2006	
Existing Endowments:									
Robert L. Bergen Professorship in Ophthalmology	10/23/84	\$ 200,000	\$ 429,159	\$ -	\$ -	\$ 42,627	\$ 13,279	\$ 451,039	\$ -
W. Hugh Peel Collection	08/14/86	200,000	505,407	-	-	50,201	24,898	531,174	-
Sally and David B. Stevens, M.D. Scholarship	06/22/04	50,000	104,730	-	-	10,403	4,000	110,069	-
Constance Wilson Endowed Professorship in Mental Health	06/24/99	50,000	132,558	-	-	13,167	-	139,316	-
H. Bruce Price Professorship in Agricultural Economics	05/19/94	-	87,885	-	-	8,813	-	96,146	(50,000)
John R. Van Nagel, M.D. Professorship/Chair in Gynecologic Oncology	12/12/00	275,000	970,842	-	-	96,432	43,090	1,020,338	-
Gertrude F. Ribble Academic Fund	04/29/98	1,000,000	2,447,262	-	-	245,229	75,936	2,672,980	-
Sites & Harbison Professorship of Law	06/23/97	72,500	194,016	-	-	19,271	7,435	203,907	-
R. C. Durr Civil Engineering Fellowship Fund	11/21/97	300,000	610,390	-	-	60,629	20,697	641,510	-
James R. Boyd Professorship in Electrical Engineering	01/28/98	100,000	226,403	-	-	22,488	7,482	237,946	-
Jennifer Gill Roberts Professorship in Pediatric M Cardiology	12/19/97	-	216,985	-	-	21,552	-	228,047	(200,000)
Gartner Group Chair in Network Engineering	09/15/98	900,000	1,857,875	-	-	184,539	165,686	1,952,595	-
Martin-Thacker Scholarships	07/31/98	100,397	210,792	-	-	19,947	2,315	229,059	-
John Edwin Partington and Gwendolyn Gray Partington Scholarship Fund	08/31/98	268,000	522,663	-	-	51,915	22,838	549,310	-
Spinal Cord & Brain Injury Chair No. 2	04/09/00	500,000	1,079,770	-	-	107,946	88,670	1,175,448	-
Lewis Cockran Graduate Fellowship Fund	08/28/98	52,000	131,508	-	-	13,062	10,952	138,213	-
Raymond Civil Engineering Endowment Fund	09/08/98	2,000,000	4,116,538	-	-	408,869	53,529	4,326,389	-
George E. Mitchell, Jr. Graduate Fellowship Fund for Animal Sciences	02/17/99	120,000	252,440	-	-	25,076	4,768	265,563	-
M Professorship in HIV Clinical Research	08/11/98	51,960	328,720	-	-	32,651	13,785	345,479	-
M Professorship in HIV Clinical Research	08/11/98	50,000	-	-	-	-	-	-	-
M Professorship in HIV Clinical Research	08/11/98	50,000	-	-	-	-	-	-	-
Paul G. Blazer, Jr. Professorship in Stroke Research	08/24/98	250,000	627,213	-	-	62,865	-	685,277	-
Dorothy Leathers Graduate Fellowship Fund	02/23/99	25,000	60,738	-	-	6,039	1,000	63,987	-
McDowell Cancer Foundation Chair in the Lucille P. Markey Cancer Center	07/25/00	1,000,000	2,090,175	-	-	207,613	53,650	2,196,738	-
Markey Cancer Center Chair in Clinical Research	07/25/00	1,000,000	2,127,933	-	-	211,364	89,432	2,236,421	-
John Mink Professorship in Pediatric Dentistry M & Health Services Research Gift Agreement	11/25/98	55,000	631,595	-	-	66,278	-	961,545	-
John Mink Professorship in Pediatric Dentistry M & Health Services Research Gift Agreement	11/25/98	150,560	-	-	(7,425)	-	-	-	20,347
John Mink Professorship in Pediatric Dentistry M & Health Services Research Gift Agreement	11/25/98	-	-	217,033	159,515	-	-	-	57,518
Drs. Barbara and James Holsinger M Professorship in Anatomy	03/15/01	50,000	217,144	-	-	21,637	11,612	252,049	-
Drs. Barbara and James Holsinger M Professorship in Anatomy	03/15/01	65,000	-	-	15,000	-	-	-	-
M Chellgren Endowed Chair in Corporate Strategy	06/02/00	250,000	996,793	-	-	99,009	54,881	1,047,612	-
M Chellgren Endowed Chair in Corporate Strategy State Research Challenge Trust Endowment Program	06/02/00	250,000	-	-	-	-	-	-	-
	12/17/98	250,000	190,508	(250,000)	-	59,492	-	-	-

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

Endowment Name:	Date Est.	Prior to 7/1/2005			Fiscal Year 2005-06					Outstanding Pledges 6/30/2006
		State Funds Received	Market Value 6/30/2005		State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006	Market Value 6/30/2006	
			Received	Gifts Received						
RCTF Office of Development Holding Clay Lancaster Endowed Professorship in Historic Preservation	12/17/98	-	250,000	250,000	-	(250,000)	-	-	-	-
Barnstable-Brown Chair in Pediatrics Diabetes M Research	03/07/00	250,500	519,833	250,500	-	-	51,718	19,177	550,441	-
Barnstable-Brown Chair in Pediatrics Diabetes M Research	02/13/00	500,000	1,308,830	500,000	-	-	137,867	35,048	1,578,369	-
Barnstable-Brown Chair in Pediatrics Diabetes Replacement M Research	02/13/00	52,500	-	52,500	-	-	-	-	-	-
Barnstable-Brown Chair in Pediatrics Diabetes M Research	02/13/00	47,500	-	47,500	-	-	-	-	-	-
Barnstable-Brown Chair in Pediatrics Diabetes M Research	02/13/00	-	-	-	100,000	100,000	-	-	-	-
M W.T. Young Library Endowment Fund	03/07/00	5,000,000	11,514,586	5,000,000	-	9,250	1,141,589	291,167	13,165,897	-
M Sanders-Brown Center on Aging Library	12/12/00	(1,000,000)	(1,000,000)	(1,000,000)	-	1,000,000	-	-	-	-
M Markey Cancer Center Library Fund	12/12/00	(1,500,000)	(1,500,000)	(1,500,000)	-	1,500,000	-	-	-	-
M WT Young STM Library Account	12/12/00	(2,500,000)	(2,500,000)	(2,500,000)	-	240,000	-	-	-	-
M W.T. Young Library Endowment Fund	05/04/99	1,000,000	1,000,000	1,000,000	-	-	-	-	-	-
M W.T. Young Library Endowment Fund	05/04/99	(1,000,000)	(1,000,000)	(1,000,000)	-	-	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	50,000	-	50,000	-	-	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	(50,000)	-	(50,000)	-	-	-	-	-	-
M W.T. Young Library Endowment Fund	06/08/99	50,000	-	50,000	-	-	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	5,000,000	5,000,000	5,000,000	-	-	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	(4,425,000)	(4,500,000)	(4,500,000)	-	(500,000)	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	(500,000)	(500,000)	(500,000)	-	-	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	(25,000)	(25,000)	(25,000)	-	25,000	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	(50,000)	(50,000)	(50,000)	-	50,000	-	-	-	-
M W.T. Young Library Endowment Fund	12/19/00	5,025,000	3,761,320	3,761,320	-	1,238,680	-	-	-	-
M W.T. Young Library Endowment Fund	12/19/00	(25,000)	(25,000)	(25,000)	-	(500,000)	-	-	-	-
M W.T. Young Library Endowment Fund	12/19/00	(5,000,000)	(1,719,739)	(1,719,739)	-	1,506,857	(1,866,463)	-	-	-
M W.T. Young Library Endowment Fund	05/04/99	500,000	500,000	500,000	-	-	-	-	-	-
M W.T. Young Library Endowment Fund	05/04/99	(500,000)	(500,000)	(500,000)	-	-	-	-	-	-
M W.T. Young Library Endowment Fund	05/04/99	2,000,000	1,002,880	1,002,880	-	997,120	-	-	-	-
M W.T. Young Library Endowment Fund	05/04/99	(793,333)	-	-	793,333	(500,000)	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	1,000,000	800,000	800,000	-	230,620	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	1,000,000	800,000	800,000	-	200,000	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	50,000	20,000	20,000	-	-	-	-	-	30,000
M W.T. Young Library Endowment Fund	12/12/00	-	-	-	(30,000)	-	-	-	-	(30,000)
M W.T. Young Library Endowment Fund	05/24/01	150,000	150,000	150,000	-	-	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	250,000	250,000	250,000	-	-	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	100,000	100,000	100,000	-	-	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	250,000	250,000	250,000	-	-	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	25,000	19,584	19,584	-	5,416	-	-	-	-
M W.T. Young Library Endowment Fund	12/12/00	(25,000)	(71,091)	(71,091)	-	986	-	-	-	-
M W.T. Young Library Endowment Fund	05/01/01	200,000	175,000	175,000	-	(25,000)	-	-	-	-
M W.T. Young Library Endowment Fund	06/19/01	500,000	400,000	400,000	-	(500,000)	-	-	-	-
M W.T. Young Library Endowment Fund	06/19/01	135,000	135,000	135,000	-	-	-	-	-	-
M W.T. Young Library Endowment Fund	09/15/98	50,000	30,000	30,000	-	10,000	-	-	-	10,000
M W.T. Young Library Endowment Fund	09/15/98	-	-	-	(30,000)	(24,000)	-	-	-	-
Gerald L. Nichols Fellowship Fund	12/22/98		247,108	125,000	-	-	24,545	14,504	259,706	-
Sanders-Brown Center on Aging Foundation	08/30/00	1,000,000	2,209,323	1,000,000	-	-	222,225	56,011	2,450,740	-
Research Development Fund										
Michael D. Rankin, M.D. Professorship in Family Practice	03/02/99	50,000	104,294	50,000	-	-	10,451	-	113,913	-
Ashland Inc. Chair in Chemical Engineering	01/20/99	1,000,000	2,050,929	1,000,000	-	-	203,715	20,034	2,155,491	-
Major Endowed Research Fund in Veterinary M Science	12/09/98	1,551,100	1,801,714	-	-	-	178,961	36,490	1,893,571	-
Major Endowed Research Fund in Veterinary M Science	12/09/98	274,780	-	-	-	-	-	-	-	-
Peter Pearlman Fellowship	11/10/00	50,000	109,304	50,000	-	-	11,259	5,000	125,057	-

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

		Prior to 7/1/2005			Fiscal Year 2005-06					
		State Funds Received	Gifts Received	Market Value 6/30/2005	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006	Market Value 6/30/2006	Outstanding Pledges 6/30/2006
Endowment Name:	Date Est.									
Verizon South, Inc. Chair in Network Engineering	12/15/99	900,000	900,000	1,794,454	-	-	178,240	123,880	1,885,941	-
Center on Membrane Sciences Graduate Student Mentoring Endowment	05/25/99	25,000	25,000	53,173	-	-	5,282	-	55,884	-
James and Cora Sanders Nursery/Landscape Research Endowment	04/28/99	26,195	26,195	55,715	-	-	5,534	6,609	58,555	-
Thomas C. & Evalyn W. Finnie BS/MBA M Graduate Fellowship Fund	04/27/99	60,000	60,000	1,150,633	-	-	114,387	-	1,231,570	-
Thomas C. & Evalyn W. Finnie BS/MBA M Graduate Fellowship Fund	04/27/99	98,475	98,475	-	-	-	-	-	-	-
Thomas C. & Evalyn W. Finnie BS/MBA M Graduate Fellowship Fund	04/27/99	51,657	51,657	-	-	-	-	-	-	-
Thomas C. & Evalyn W. Finnie BS/MBA M Graduate Fellowship Fund	04/27/99	310,184	310,184	-	-	-	-	-	-	-
Margaret Logan Colvin Chair in Lung Disease Research	05/02/98	476,800	476,800	1,370,460	-	-	136,125	61,859	1,440,330	-
Margaret Logan Colvin Chair in Lung Disease Research	05/02/98	187,100	187,100	-	-	-	-	-	-	-
Robert G. Luke, M.D. Research Chair of Nephrology	04/26/99	500,000	500,000	1,008,135	-	-	96,737	45,747	1,056,134	-
Geraldine L. Cosby Scholarship	12/09/98	45,000	45,000	89,185	-	-	8,859	3,500	93,732	-
R.C. Durr Chair in Alzheimer's Disease Research	07/10/03	500,000	500,000	1,153,219	-	-	115,559	14,972	1,259,584	-
Children's Miracle Network Research Professorship/Chair in Pediatrics, Number 1	12/08/08	500,000	500,000	1,044,073	-	-	103,706	43,249	1,097,303	-
Children's Miracle Network Research Chair in Pediatrics, No.2	12/08/98	500,000	500,000	1,131,103	-	-	112,350	49,750	1,188,769	-
Commonwealth Professorship of Minimally Invasive Surgery	09/25/98	100,000	100,000	200,761	-	-	19,941	30,372	210,997	-
U.S. Surgical-Pfizer Endowed Professorship	09/25/98	150,000	-	140,266	-	-	14,825	6,123	-	150,000
U.S. Surgical-Pfizer Endowed Professorship	09/25/98	-	-	-	(150,000)	-	-	-	-	(150,000)
M Floyd H. Wright Research Endowment	12/08/98	500,000	500,000	2,155,455	-	-	214,097	128,665	2,265,347	-
M Floyd H. Wright Research Endowment	12/08/98	500,000	500,000	-	-	-	-	-	-	-
Merck Co., Inc. Pulmonary Medicine Professorship	02/08/99	50,000	50,000	202,072	-	-	20,071	7,761	212,375	-
Merck Co., Inc. Pulmonary Medicine Professorship	02/08/99	50,000	50,000	-	-	-	-	-	-	-
John S. & Elizabeth A. Knight Chair Endowment Supplement	12/09/98	1,250,000	-	2,153,522	-	-	215,795	90,716	2,352,148	-
John S. & Elizabeth A. Knight Chair Endowment Supplement	12/09/98	466,000	-	-	-	-	-	-	-	-
Albert G. Clay Endowed Chair in Equine Reproduction	12/09/98	3,250,000	-	3,207,832	-	-	318,628	-	3,371,377	-
Albert and Lorraine Clay Visiting Scholars Endowment	04/06/99	250,000	250,000	944,940	-	-	101,395	8,518	1,294,881	-
Albert and Lorraine Clay Visiting Scholars Endowment	04/06/99	250,000	200,000	-	-	50,000	-	-	-	-
Albert and Lorraine Clay Visiting Scholars Endowment	04/06/99	-	-	-	250,000	-	-	-	-	250,000
Jes E. and Clementine Mills Schlaikjer Chair in Equine Infectious Diseases	12/09/98	-	3,467,208	4,041,787	-	-	401,463	-	4,247,849	-
Pin Oak Stud Endowed Research Fund	04/06/99	500,000	500,000	1,005,841	-	-	415,221	260,523	4,525,884	-
John A. Morris Library Endowed Research and Mission Support Fund	12/09/98	1,000,000	-	978,295	-	-	97,172	42,094	1,028,171	-
Don Corum and National Nursery Products/Landscape Research Endowment	05/04/99	25,000	25,000	96,982	-	-	9,633	5,568	101,927	-
Don Corum and National Nursery Products/Landscape Research Endowment	05/04/99	25,000	25,000	-	-	-	-	-	-	-

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

Endowment Name:	Date Est.	Prior to 7/1/2005		Fiscal Year 2005-06				Outstanding Pledges 6/30/2006
		State Funds Received	Market Value 6/30/2005	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006	
Alan F. Bloomfield Professorship in Business	02/10/97	150,000	303,805	-	-	30,176	13,096	319,294
C. M. Gatton Endowment Fund - Professorship	05/04/95	2,000,000	4,104,241	-	-	407,666	117,877	4,313,487
C. M. Gatton Endowment Fund - Chairs	05/04/95	6,000,000	12,507,211	-	-	1,242,318	627,767	13,144,866
C. M. Gatton Endowment Fund - Faculty Research & Development	05/04/95	2,000,000	4,249,840	-	-	422,129	151,964	4,466,509
C. M. Gatton Endowment Fund - Scholarships	05/04/99	1,024,500	2,102,478	-	-	208,857	111,587	2,210,048
UK Nursery/Landscape Research Endowment	10/12/99	34,200	69,669	-	-	6,920	5,466	73,246
James F. Hardymon Chair in Manufacturing Systems	02/19/99	500,000	984,817	-	-	97,820	39,587	1,035,026
James F. Hardymon Chairs in Networking	02/19/99	500,000	990,349	-	-	98,370	55,481	1,040,840
James F. Hardymon Graduate Fellowship Program	02/19/99	500,000	984,817	-	-	97,820	19,312	1,035,026
Patterson School of Diplomacy Fund for Excellence Graduate Intern Research Fellowship	01/26/99	74,300	203,308	-	-	20,403	-	219,993
Carol Lee Robertson Endowed Professorship in Literacy	02/04/99	160,000	326,876	-	-	32,884	50,785	353,723
Library & Information Science Endowed Graduate Fellowship Fund	02/25/99	30,724	61,209	-	-	6,088	-	64,508
Real Estate Professorship Endowment	01/20/98	166,300	426,238	-	-	42,343	21,186	448,072
Center for Aluminum Technology Endowment	06/29/99	250,000	3,730,252	-	-	411,325	106,816	3,988,974
Center for Aluminum Technology Endowment	06/29/99	1,450,000	-	-	-	-	-	-
Center for Aluminum Technology Endowment	06/29/99	75,000	-	-	-	-	-	-
Lexington Herald-Leader Fellowship Endowment	02/17/00	100,000	204,288	-	-	20,291	12,000	214,703
Anne Scott Maher Mason Vocal Music Scholarship Fund	12/04/95	50,000	103,969	-	-	10,327	3,524	109,270
M Sue Fan Gooding Fund	10/18/96	85,000	159,132	-	-	15,806	-	167,245
M Sue Fan Gooding Fund - Default	10/18/96	(4,885)	-	-	-	-	-	(4,885)
William T. Bryan Endowed Chair in Public Finance in the Martin School	12/08/98	500,000	1,029,908	-	-	102,299	40,270	1,082,416
William T. Bryan Endowed Chair in Special Education	12/08/98	500,000	1,029,908	-	-	102,299	31,393	1,082,415
William T. Bryan Endowed Chair in Vocal Music	12/08/98	500,000	1,029,908	-	-	102,299	38,588	1,082,415
Laramie L. Leatherman Professorship of Law II	04/01/99	100,000	193,038	-	-	19,174	3,677	202,880
John I. and Patricia J. Buster Endowment Fund in the College of Human Environmental Sciences	04/07/99	402,400	974,522	-	-	96,461	7,000	1,079,309
Graduate Studies and Research Endowed Fund	09/10/02	130,000	259,951	-	-	25,820	11,490	273,204
T. Marshall Hahn, Jr. Graduate Fellowship Fund	04/29/99	50,000	95,538	-	-	9,490	5,000	100,409
T. Marshall Hahn, Jr. Professorship Fund in the College of Arts & Sciences	04/29/99	200,000	385,780	-	-	47,547	-	414,761
William T. Bryan University Chair	12/08/98	500,000	1,095,460	-	-	185,402	730	1,228,609
Mining Engineering Foundation Graduate Fellowship Fund	05/26/99	1,000,000	2,008,883	-	-	199,960	73,499	2,121,637
William T. Bryan Endowed Chair in Spanish	12/08/98	500,000	1,029,908	-	-	102,299	41,279	1,082,415
William T. Bryan Endowed Chair in History	12/08/98	500,000	1,029,908	-	-	102,299	64,561	1,082,415

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

Endowment Name:	Date Est.	Prior to 7/1/2005			Fiscal Year 2005-06			Outstanding Pledges 6/30/2006		
		State Funds Received	Gifts Received	Market Value 6/30/2005	State Funds Received	Gifts Received	Investment Earnings (Loss)		Earnings Expended 2005 - 2006	Market Value 6/30/2006
Zantker Charitable Foundation Professorship in M Judaic Studies	11/08/00	250,000	250,000	931,032	-	-	94,392	29,292	1,053,161	-
Zantker Charitable Foundation Professorship in M Judaic Studies	11/08/00	150,000	100,000	-	-	50,000	-	-	-	-
Zantker Charitable Foundation Professorship in M Judaic Studies	11/08/00	73,350	73,350	-	-	-	-	-	-	-
Wyatt, Tarrant & Combs Professorship of Law II	04/01/99	60,000	60,000	115,430	-	-	11,465	1,498	121,315	-
Robert G. Lawson Professorship	12/29/98	57,000	57,000	162,317	-	-	17,304	4,088	171,751	-
Gary B. Knapp Endowment in Support of Business Education and the Arts	06/24/99	1,000,000	1,000,000	2,011,683	-	-	199,817	71,864	2,114,244	-
Gary B. Knapp Endowed Chair in Arts Administration	06/24/99	500,000	500,000	1,005,841	-	-	99,908	51,390	1,057,121	-
Amnon Nursery/Landscape Research Endowment	09/28/99	25,000	25,000	50,357	-	-	5,002	138	52,924	-
TVA Power Engineering Endowment Fund	04/16/99	1,000,000	1,000,000	1,995,441	-	-	198,203	76,893	2,097,174	-
Non-Tenured Faculty Research Enrichment Fund	01/28/99	150,000	150,000	292,393	-	-	29,043	48	307,300	-
Kentuckiana Masonry Institute Endowed Professorship	04/06/99	100,000	100,000	200,946	-	-	19,960	8,059	211,190	-
Charles T. Wethington, Jr. Graduate and Professional Fellowship	12/09/98	500,000	500,000	995,202	-	-	98,851	-	1,045,940	-
Ronald L. Akers Professorship in Criminology & Deviance Fund	06/07/00	100,000	100,000	203,703	-	-	43,304	-	237,372	-
Spinal Cord & Head Injury Chair No. 1	04/09/00	500,000	500,000	951,186	-	-	94,479	24,192	999,680	-
Spinal Cord Brain Injury Chair No. 4- Clinical Director	04/09/00	500,000	500,000	969,189	-	-	96,268	13,648	1,018,601	-
John W. Greene Jr., MD Professorship or Chair in Obstetrics and Gynecology	05/18/01	250,000	250,000	529,689	-	-	53,078	-	578,544	-
Spinal Cord and Brain Injury Chair No. 3	04/09/00	500,000	500,000	1,006,554	-	-	74,053	56,086	1,058,928	-
Dr. Claire Louise Caudill Professorship in Family Medicine	09/24/96	75,000	75,000	203,994	-	-	21,236	9,927	232,987	-
Endowed Professorship in Cardiology	04/19/00	50,000	50,000	199,698	-	-	19,836	6,949	209,879	-
M Jack M. Gill Chair in Internal Medicine	01/20/98	1,000,000	-	997,651	-	-	99,095	37,015	1,048,514	1,000,000
M Gill Foundation Chair in Preventive Cardiology	01/20/98	-	1,000,000	921,021	-	-	91,483	35,112	967,977	(1,000,000)
Dr. Thomas L. Skinner Professorship in Physiology	06/21/00	50,000	50,000	106,006	-	-	10,647	3,578	116,805	-
Bayer Professorship in Pulmonary Medicine	03/02/99	52,500	52,500	112,404	-	-	18,874	5,111	241,591	-
Boehringer Ingelheim Pulmonary Endowed Research Professorship	07/26/02	50,000	50,000	409,313	-	-	40,656	17,669	430,181	-
Boehringer Ingelheim Pulmonary Endowed Research Professorship	Replacement	60,000	60,000	-	-	-	-	-	-	-
Boehringer Ingelheim Pulmonary Endowed Research Professorship	07/26/02	75,000	75,000	-	-	-	-	-	-	-
Mary Florence Jones Professorship in Neonatology	01/01/00	100,000	100,000	195,869	-	-	19,455	8,531	205,855	-
Robert Straus Professorship in Behavioral Science	04/19/00	50,000	50,000	113,029	-	-	11,231	8,279	119,140	-
Robert L. Lester Professorship in Biochemistry	10/18/00	52,000	52,000	121,997	-	-	12,137	3,764	129,180	-
University Professorship in Dentistry	12/06/00	50,000	50,000	105,753	-	-	10,504	2,941	111,144	-
University Professorship in Nursing	12/06/00	50,000	50,000	118,147	-	-	13,269	-	130,375	-
University Professorship in Medicine	12/09/00	50,000	50,000	105,753	-	-	10,188	4,712	110,828	-
University Professorship in Pharmacy	04/11/01	50,000	50,000	105,753	-	-	10,504	-	111,144	-
University Professorship in Allied Health Education	01/09/01	50,000	50,000	105,753	-	-	10,504	1,258	111,144	-
University Professorship in Graduate Medical Education	12/06/00	50,000	50,000	105,753	-	-	10,504	5,060	111,144	-

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

Endowment Name:	Date Est.	Prior to 7/1/2005		Fiscal Year 2005-06				Outstanding Pledges 6/30/2006
		State Funds Received	Market Value 6/30/2005	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006	
M Cardinal Hill Rehabilitation Hospital Chair in Neurorehabilitation	06/06/99	500,000	1,982,189	-	-	149,195	80,079	2,115,581
M Cardinal Hill Rehabilitation Hospital Chair in Neurorehabilitation	06/06/99	500,000	-	-	-	-	-	-
M Cardinal Hill Rehabilitation Hospital Professorship in Traumatic Brain Injury	06/06/99	250,000	1,023,254	-	-	102,536	-	1,117,632
M Cardinal Hill Rehabilitation Hospital Professorship in Traumatic Brain Injury	06/06/99	250,000	-	-	-	-	-	-
M Cardinal Hill Rehabilitation Hospital Chair in Spinal Cord Injury Rehabilitation	06/06/99	250,000	1,023,254	-	-	102,536	-	1,117,632
M Cardinal Hill Rehabilitation Hospital Chair in Spinal Cord Injury Rehabilitation	06/06/99	250,000	-	-	-	-	-	-
Janet Galloway Carter Endowed Professorship in Physical Medicine and Rehabilitation	05/10/00	150,000	302,860	-	-	30,082	14,218	318,300
Good Samaritan Chair in Health Education, Research, Health Promotion and Preventive Services	03/02/00	500,000	1,005,794	-	-	100,066	17,665	1,065,017
M Gordon L. Hyde, M.D. Chair in Vascular Surgery	12/20/96	51,000	973,341	-	-	96,806	91,954	1,027,990
M Gordon L. Hyde, M.D. Chair in Vascular Surgery	12/20/96	55,000	-	-	-	-	-	-
Endowed Chair in Neurosurgery	05/19/99	500,000	1,042,482	-	-	103,548	25,319	1,095,631
Jaqueline A. Noonan Professorship in Pediatrics	01/20/98	200,000	200,447	-	-	19,910	-	210,667
M Arthur Lieber, M.D. Professorship in Diagnostic Radiology	10/10/03	158,100	699,877	-	-	70,545	-	774,803
M Arthur Lieber, M.D. Professorship in Diagnostic Radiology	10/10/03	118,900	-	-	-	-	-	-
M Arthur Lieber, M.D. Professorship in Diagnostic Radiology	10/10/03	33,000	-	-	10,000	-	-	-
Markley Cancer Foundation Endowed Chair in Radiation Medicine	03/01/04	1,000,000	2,046,082	-	-	203,233	2,628	2,150,397
M Alvin L. Morris Professorship in Oral Health Research	01/20/98	200,000	192,374	-	-	19,108	-	202,182
Dr. Shih-Chun Wang Professorship in Physiology	10/27/00	50,000	100,106	-	-	10,031	-	109,339
M Linda C. Gill Chair in Nursing	01/20/98	1,000,000	974,187	-	-	96,764	42,285	1,023,854
M Marcia A. Dake Professorship in Nursing	01/20/98	200,000	192,175	-	-	19,088	9,770	201,972
M George Schwert Professorship in Biochemistry	10/18/00	165,000	414,325	-	-	45,330	-	529,655
M George Schwert Professorship in Biochemistry	10/18/00	-	-	70,000	70,000	-	-	-
M Harry B. Kostenbauder Professorship in Pharmacy	04/24/02	200,000	200,665	-	-	20,043	7,950	212,464
Antonio S. Turco Professorship in Biochemistry	01/18/00	218,000	437,965	-	-	43,575	16,067	463,774
Brown and Williamson Research Fund	04/06/99	250,000	512,854	-	-	50,941	7,400	539,001
H. Bruce Price Professorship in Agricultural Economics	05/19/94	50,000	49,881	-	-	4,955	1,760	52,424
Frank J. Derbyshire Research Professorship Endowment	12/06/99	100,000	259,307	-	-	25,850	3,510	272,652
Chellgren Lecturer Series	05/05/99	100,000	194,609	-	-	19,330	-	204,530
J. J. B. Hilliard, W.L. Lyons, Inc. Chair for Innovation Management	06/22/99	500,000	1,000,566	-	-	99,384	50,470	1,051,578
Wallace Charles Hill Professorship in Rehabilitation Counseling	05/18/99	50,000	104,329	-	-	6,903	4,492	106,990
Wallace Charles Hill Graduate Fellowship in Administration and Supervision	05/18/99	50,000	96,244	-	-	9,560	5,184	101,151

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

Endowment Name:	Date Est.	Prior to 7/1/2005		Fiscal Year 2005-06				Outstanding Pledges 6/30/2006
		State Funds Received	Market Value 6/30/2005	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006	Market Value 6/30/2006
M Wendell H. Ford Endowed Professorship	03/07/00	300,000	1,386,347	-	-	137,715	50,139	1,457,199
M Wendell H. Ford Endowed Professorship	03/07/00	290,410	-	-	-	-	-	-
Tyler Aaron Gill Professorship in Vascular Surgery	12/19/97	-	187,495	-	-	18,623	7,870	197,054
Jason Alexander Gill Professorship in Cardiothoracic Surgery	12/19/97	-	212,784	-	-	21,135	9,638	223,633
Jefferson Morris Gill, Ph.D. Professorship in Cardiology	12/19/97	-	246,382	-	-	12,292	15,079	252,634
Gill Foundation Professorship in Interventional Cardiology	12/19/97	-	187,716	-	-	18,645	9,356	197,286
Nadine James Alzheimer's Disease Research Fund	05/04/99	150,000	383,198	-	-	38,398	-	418,542
John B. and Jane B. Stephenson Endowed Graduate Fellowship	05/14/99	25,000	62,302	-	-	6,243	-	68,048
Spinal Cord and Brain Injury Chair No. 5	06/17/03	500,000	1,119,282	-	-	111,176	113,935	1,176,346
Center on Aging Foundation Fellowship in Gerontology	02/01/99	75,000	151,213	-	-	15,020	6,941	158,922
Price WaterhouseCoopers LLP Professorship in Accounting	06/29/00	150,000	638,535	-	-	63,572	-	675,900
Joseph Hamburg Professorship in Rehabilitation Sciences	01/20/98	200,000	199,817	-	-	19,847	-	210,005
200,000								200,000
Amor & Letitia Taylor Fund for Library Research Equipment	08/23/99	218,800	411,485	-	-	40,872	-	432,464
University of Kentucky Margaret Ingels Society of Women Engineers Graduate Fellowship								-
Thomas P. Lewis Professorship	09/01/99	25,000	50,326	-	-	4,999	2,500	52,891
Harper Industries, Inc. Graduate Fellowship	04/23/99	100,000	196,233	-	-	19,491	-	206,238
Endowment Fund	10/14/99	200,000	415,573	-	-	41,278	-	436,760
George and Betty Blanda Professorship in Education	01/25/00	100,000	186,715	-	-	18,546	5,580	196,234
Good Samaritan Professorship or Chair in Community Health Nursing	09/19/02	500,000	963,782	-	-	95,730	39,992	1,012,918
U.S. Surgical Endowed Professorship in Pharmacy Administration	04/06/99	100,000	190,048	-	-	18,877	10,893	199,738
Buckhorn Professor of Social Work	06/01/99	150,000	301,204	-	-	29,918	10,891	316,560
Dorothy A. Miller Research Professorship in Social Work Education	01/27/00	100,000	190,358	-	-	18,908	20,539	200,063
James Stephen Brown Graduate Appalachian Studies Fund	02/20/99	50,330	100,288	-	-	9,961	4,650	105,401
Dr. William Jack Carey Professorship in Emergency Medicine	01/09/01	50,000	112,901	-	-	11,313	-	123,314
Cynthia Shaw Crispin Lectureship	11/15/99	500,000	922,403	-	-	91,630	31,159	969,535
William J. Tuggle & Nina B. Tuggle Professorship in English	03/06/97	300,000	585,109	-	-	116,113	15,628	654,455
Center for Financial Services Research Endowment	08/28/00	50,000	100,201	-	-	9,953	-	105,309
Douglas J. Von Allmen School of Accountancy Endowed Chair	09/19/00	2,000,000	3,789,861	-	-	376,440	92,133	3,983,079
Douglas J. Von Allmen Center for Entrepreneurship & E-Commerce	09/19/00	2,000,000	3,783,773	-	-	375,835	32,192	3,976,681
Vernon R. Wiehe Research Fellowship in Family Violence	05/16/00	50,000	82,575	-	-	8,202	-	86,785
MCI Worldcom Graduate Fellowship	06/21/00	100,000	182,940	-	-	18,171	-	192,267
J. C. Fern Graduate Fellowship Fund	11/13/00	50,000	122,723	-	-	12,229	3,419	130,492
Harry E. Wheeler Chair in Plant Mycology	09/28/00	500,000	923,497	-	-	91,729	57,540	970,580
M Endowment for Support of Urologic Research	03/23/00	150,000	376,072	-	-	35,274	15,325	344,334
M Endowment for Support of Urologic Research	03/23/00	-	-	(50,000)	-	-	-	50,000
M Endowment for Support of Urologic Research	03/23/00	-	-	-	-	-	-	(50,000)

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

Endowment Name:	Date Est.	Prior to 7/1/2005			Fiscal Year 2005-06			Outstanding Pledges 6/30/2006	
		State Funds Received	Market Value 6/30/2005	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006	Market Value 6/30/2006		
Professorship or Chair of Urologic Surgical Research	04/17/00	1,000,000	1,844,688	1,000,000	-	-	183,229	1,938,735	-
M Endowment for Support of Urologic Research	03/23/00	69,790	-	69,790	-	-	-	-	-
M Robert L. Johnson Scholarship Fund	05/19/00	200,000	525,166	200,000	-	-	30,000	553,705	-
M Robert L. Johnson Scholarship Fund	05/19/00	50,000	-	50,000	-	-	52,219	-	-
Dr. and Mrs. C. Milton Coughenour Sociology Professorship in Agriculture and Natural Resources	05/17/00	100,000	194,176	100,000	-	-	13,044	204,076	-
M Helen Edwards Abell Chair in Historic Preservation	03/15/00	375,000	1,009,345	375,000	-	-	40,895	1,067,957	-
M Helen Edwards Abell Chair in Historic Preservation	03/15/00	50,100	-	49,550	-	550	100,549	-	-
M Helen Edwards Abell Chair in Historic Preservation	03/15/00	75,837	-	70,837	-	5,000	-	-	-
Helen Thacker Graduate Fellowship in Educational and Counseling Psychology	06/14/00	100,000	188,951	100,000	-	-	18,799	200,077	-
Center for Real Estate Studies Endowment	08/28/00	50,000	89,312	50,000	-	-	8,871	93,866	-
Commonwealth Professorship in Physician Leadership	01/19/01	250,000	472,045	250,000	-	-	46,887	496,111	-
Wilbur E. Chelgren Professorship in Accounting	06/02/00	125,000	235,602	125,000	-	-	23,402	247,614	-
James F. Hardymon Chair in Urologic Research		1,000,000	1,877,090	1,000,000	-	-	186,448	1,972,790	-
Robert E. McNeil Endowed Research Fund in the Department of Horticulture	06/20/00	50,000	102,046	35,474	-	4,470	10,547	116,343	10,055
M Center for Sports Marketing Endowment Fund	06/15/00	375,000	407,398	75,000	-	-	27,981	122,698	300,000
M Center for Sports Marketing Endowment Fund	06/15/00	-	-	-	(300,000)	-	-	-	(300,000)
David H. and Barbara Schlund Kaufman Professorship	06/05/00	50,000	105,252	50,000	-	-	10,472	111,450	-
Endowed Enrichment Fund for Research in Vocal Studies	08/28/00	500,000	975,126	472,752	-	17,000	96,878	1,041,589	10,248
Earl F. & Jean M. Lockwood Professorship of International Commerce and Entrepreneurship	09/28/00	200,000	306,275	120,000	-	-	29,102	320,902	80,000
Earl F. & Jean M. Lockwood Professorship of International Commerce and Entrepreneurship	09/28/00	-	-	-	(80,000)	-	-	-	(80,000)
Gallion & Baker Professorship	08/16/00	100,000	186,473	100,000	-	-	18,522	195,980	-
William T. Bryan Library Endowment Fund	08/24/00	750,000	1,557,344	750,000	-	-	155,296	1,665,658	-
Reese S. Terry Professorship in Electrical Engineering	08/25/00	100,000	186,009	86,748	-	13,252	19,384	209,176	-
Whalen Graduate Fellowship Fund	08/15/00	500,000	790,853	352,500	-	147,500	88,645	983,260	-
Dr. Judith Ann Powell Pachtman Professorship or Chair in Obstetrics & Gynecology	01/19/01	50,000	117,014	50,000	-	-	11,799	128,876	-
Benjamin C. Warf, M.D., Lectureship/Fellowship in Pediatric Neurosurgery	01/09/01	100,000	103,134	12,821	-	87,179	9,880	193,900	-
John W. Greene, Jr., M.D. Professorship in Obstetrics & Gynecology	05/01/01	425,000	1,016,783	425,000	-	-	100,983	1,069,483	-
Tracy Farmer Center for the Environment Endowment Fund	08/15/00	2,000,000	2,968,513	2,000,000	-	-	294,857	3,119,856	-
Joe A. & Cecil Dulin Wallace Fund	09/07/00	170,000	271,990	90,000	-	80,000	30,686	370,137	-
Abercrombie Foundation Research Fund - Music	01/17/01	400,000	782,598	400,000	-	-	77,734	822,497	-

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

Endowment Name:	Date Est.	State Funds Received	Prior to 7/1/2005			Fiscal Year 2005-06				Outstanding Pledges 6/30/2006
			Gifts Received	Market Value 6/30/2005	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006	Market Value 6/30/2006	
Lucille Caudill Little Research Endowment for Theatre	10/06/00	500,000	500,000	1,001,753	-	-	99,502	61,973	1,052,825	-
Paul A. Thornton Graduate Fellowship in Nutritional Sciences	08/28/00	100,000	100,000	208,234	-	-	20,685	7,440	218,882	-
UK College of Human Environmental Sciences Alumni Association Endowed Professorship	11/30/00	50,000	50,000	120,121	-	-	12,084	-	132,645	-
Ronald Allen Forester & Nancy Smoot Forester Endowed Professorship Fund	12/05/00	50,000	50,000	93,495	-	-	13,839	6,729	102,729	-
James F. Glenn, M.D. Endowed Chair in M Urology	10/25/00	100,000	100,000	1,230,979	-	-	123,252	49,607	1,312,473	-
James F. Glenn, M.D. Endowed Chair in M Urology	10/25/00	500,000	481,672	-	-	18,328	-	-	-	-
C. Michael Garver Endowment Fund - Fellowship Fund	09/11/00	750,000	750,000	1,387,375	-	-	137,805	55,710	1,458,107	-
Dr. William A. & Ann T. Withington Graduate Student Support Fund	10/30/00	68,510	66,200	155,158	-	1,600	15,643	-	171,155	710
Lion Apparel, Inc. Fellowship Endowment	09/26/00	50,000	50,000	100,084	-	-	9,941	-	105,186	-
Medical Alumni Association Scholarship	06/11/02	51,712	51,712	727,095	-	-	75,239	20,000	865,738	-
Medical Alumni Association Scholarship	06/11/02	250,000	233,385	-	-	6,343	-	-	-	10,272
M Endowment Fund	11/13/00	50,000	50,000	136,634	-	-	13,572	5,500	143,600	-
Class of 1949 Fellowship Endowment Fund	04/29/00	1,000,000	1,000,000	1,914,867	-	-	190,200	65,299	2,012,492	-
Von Allmen School of Accountancy Fellowship	04/29/00	2,000,000	2,000,000	3,799,052	-	-	377,353	141,443	3,992,739	-
Von Allmen School of Accountancy Research Support	11/28/00	100,000	89,235	187,995	-	6,450	18,989	6,878	204,169	4,315
AIA Kentucky Endowed Professorship	12/06/00	50,000	50,000	155,817	-	-	15,521	270	165,827	-
M American Music Library Endowed Fund	12/06/00	25,000	25,000	-	-	-	-	-	-	-
M American Music Library Endowed Fund	12/06/00	25,000	25,000	-	-	-	-	-	-	-
Thomas D. Clark Professorship Fund	01/05/01	119,013	118,020	268,958	-	200	37,424	6,386	293,971	793
Charles S. Cassis Professorship of Law	07/19/00	102,984	102,984	192,536	-	-	19,124	7,797	202,353	-
PJC Board of Trustees Engineering Professorship	10/23/00	50,000	50,000	94,321	-	-	9,369	5,251	99,130	-
Dr. Donald T. Frazier Professorship	01/17/03	50,000	167	59,165	-	49,833	9,142	-	117,376	-
Dr. Fred W. Zechman Professorship	01/17/03	50,000	44,167	91,125	-	5,833	9,507	2,642	105,705	-
Dr. Louis L. Boyarsky Professorship	01/17/03	50,000	47,167	103,660	-	2,833	10,570	499	116,220	-
DePuy Neuro Fellowship	06/19/00	500,000	100,000	546,658	-	400,000	75,705	10,044	983,419	-
M DePuy Orthopedic Fellowship	06/19/00	500,000	235,000	683,459	-	-	56,858	65,222	448,472	265,000
M DePuy Orthopedic Fellowship	06/19/00	-	-	-	(265,000)	-	-	-	-	(265,000)
M DePuy Orthopedic-Trauma Fellowship	06/19/00	500,000	-	455,475	(500,000)	-	44,525	-	-	(500,000)
M DePuy Orthopedic-Trauma Fellowship	06/19/00	-	-	-	-	-	-	-	-	-
DePuy Neuro/Spine Surgery Fund	06/19/00	500,000	300,000	749,254	-	200,000	85,125	47,218	991,899	-
James & Mary Lassiter Endowed Chair	12/22/00	500,000	500,000	948,811	-	-	94,244	-	997,184	-
Robert P. & Mildred A. Moores Alzheimer's Disease Research	01/23/01	350,000	350,000	710,188	-	-	71,171	2,700	756,391	-
Arthur Andersen Endowed Professorship	11/20/00	100,000	90,991	415,073	-	9,009	41,743	12,577	447,838	-
Harold R. Burton Endowed Professorship in M Plant Biochemistry	05/01/00	396,875	396,875	1,451,642	-	-	(69,905)	40,555	1,331,262	-
Harold R. Burton Endowed Professorship in M Plant Biochemistry	05/01/00	221,875	221,875	-	-	-	-	-	-	-
Dr. Richard R. & Dorothy Hillenmeyer Crutcher Scholarship Fund	02/01/01	200,000	191,285	414,805	-	8,715	41,723	11,000	444,896	-
Dermontti F. & Regina M. Dawson Graduate Fellowship in Education	02/20/01	50,000	50,000	92,528	-	-	9,191	4,000	97,245	-
Development Dimensions International Professorship in Behavioral Health	03/17/00	100,000	100,000	194,006	-	-	19,270	7,697	203,897	-
Jack & Linda Gill Engineering Research Excellence Fund	06/18/01	500,000	104,570	483,204	-	395,430	44,084	21,297	899,424	-

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

Endowment Name:	Date Est.	Prior to 7/1/2005			Fiscal Year 2005-06				Outstanding Pledges 6/30/2006	
		State Funds Received	Gifts Received	Market Value 6/30/2005	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006		Market Value 6/30/2006
Jack & Linda Gill College of Arts & Sciences Research Excellence Fund	06/18/01	500,000	104,570	504,725	-	395,430	46,230	14,276	922,052	-
Charlotte R. Schmidlapp Fellowship in Gerontology	01/05/01	150,000	150,000	288,683	-	-	28,674	15,248	303,401	-
Caroline Frances Ransdell Research Professorship	03/06/01	51,179	51,179	95,411	-	-	9,477	-	100,275	-
M Paul Mellon Postdoctoral Fellowship	03/27/01	2,034,000	-	2,512,300	-	-	249,542	46,325	2,640,384	-
M Paul Mellon Postdoctoral Fellowship	03/27/01	358,537	-	-	-	-	-	-	-	-
Abercrombie Foundation Research - Art	01/17/01	400,000	400,000	777,429	-	-	77,221	21,249	817,064	-
Robert M. Odear, '28, '30, Faculty Research Fund in Latin America	03/09/01	50,000	15,650	75,464	-	-	7,603	-	83,462	34,350
CSX Transportation Graduate Fellowship Fund	02/23/01	50,000	50,000	101,166	-	-	10,065	-	107,122	-
Bruce A. Lucas, M.D. Endowment for Urologic Research	03/07/01	70,000	37,000	110,936	-	33,000	3,840	12,105	144,972	-
Research Fund for the Prevention of Alcohol and Drug Abuse	04/02/01	50,000	40,000	89,820	-	10,000	9,338	-	104,582	-
Jana Oliver Memorial Fellowship Fund	12/28/00	100,000	95,963	192,883	-	-	19,159	11,000	202,716	4,038
Kathryn Louise Chelgren Endowed Professorship for Research in Family Studies	02/05/01	60,000	60,000	139,678	-	-	13,996	-	152,561	-
M Professorship	11/28/00	60,000	59,000	217,217	-	-	32,657	-	331,006	1,000
M Professorship	11/28/00	-	-	-	69,400	62,900	-	-	-	6,500
James & Mary Lassiter Endowed Fellowship	05/17/01	200,000	200,000	389,522	-	-	38,690	12,000	409,381	-
James & Mary Lassiter Endowed Professorship	05/17/01	100,000	100,000	196,697	-	-	19,537	836	206,725	-
Charles Parker Graves Endowed Professorship Fund	05/15/01	57,335	30,730	87,882	-	26,605	9,002	4,110	125,179	-
Vaughn & Melton Graduate Fellowship in Civil Engineering	04/26/01	100,000	96,971	195,891	-	3,029	19,857	-	211,740	-
John H. Heck Professorship in Soil Science	01/22/01	100,000	100,000	192,563	-	-	19,127	8,254	202,381	-
M RPW Law Library Endowed Account	05/24/01	25,000	25,000	101,666	-	-	10,138	3,016	108,737	-
M RPW Law Library Endowed Account	05/24/01	25,000	25,000	-	-	-	-	-	-	-
M Sanders-Brown Center on Aging Library Fund	05/24/01	1,000,000	1,000,000	1,988,331	(1,000,000)	(1,000,000)	193,081	54,597	2,126,620	-
M Markey Cancer Center Library Fund	05/24/01	1,500,000	1,500,000	3,093,892	(1,500,000)	(1,500,000)	308,517	80,554	3,309,073	-
M Toyota Library Endowment	05/24/01	1,000,000	1,000,000	2,095,402	-	-	208,950	58,144	2,241,138	-
Rosenthal Business & Economics Library Endowment	05/24/01	1,000,000	1,000,000	3,172,140	-	-	316,320	81,151	3,392,763	-
Rosenthal Business & Economics Library	05/24/01	500,000	500,000	-	-	-	-	-	-	-
M Endowment	05/24/01	100,000	100,000	312,426	-	-	31,155	8,838	334,156	-
M Holbrook Law Library Endowment	05/24/01	50,000	50,000	-	-	-	-	-	-	-
M Holbrook Law Library Endowment	05/24/01	1,000,000	1,000,000	2,047,884	-	-	201,284	9,935	2,174,016	-
M Ashland, Inc. Library Endowment	09/26/00	50,000	50,000	98,060	-	-	10,420	2,400	103,558	-
Leslie L. Martin Endowed Fellowship Fund	05/23/01	500,000	500,000	1,022,320	-	-	101,545	9,629	1,074,441	-
Sylvia Mansbach Endowed Professorship & Chair in Alzheimer's Disease Research	04/02/01	500,000	500,000	817,166	-	-	81,486	6,000	874,000	-
Kentucky Economic Development Endowment	05/01/01	375,000	375,000	-	-	-	-	-	-	-
M for Science & Technology	05/01/01	19,643	19,643	-	-	-	-	-	-	-
Kentucky Economic Development Endowment	05/01/01	56,000	54,574	130,024	-	1,426	13,153	-	145,129	-
M for Science and Technology	11/08/01	171,336	171,336	1,068,378	-	-	106,120	30,079	1,122,847	-
Violet Irene Eads Research Fund for Eye Tissue in the Lions Eye Bank of Lexington	10/10/02	200,000	200,000	-	-	-	-	-	-	-
Madeline F. James and Edith D. Gardner Chair	10/10/02	171,336	171,336	1,068,378	-	-	106,120	30,079	1,122,847	-
M in Cancer Research	10/10/02	200,000	200,000	-	-	-	-	-	-	-

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

Endowment Name:	Date Est.	Prior to 7/1/2005		Fiscal Year 2005-06				Outstanding Pledges 6/30/2006
		State Funds Received	Market Value 6/30/2005	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006	Market Value 6/30/2006
		Received	Received	Received	Received	(Loss)	2005 - 2006	6/30/2006
Madeline F. James and Edith D. Gardner Chair M in Cancer Research	10/10/02	120,750	-	-	-	-	-	-
Dr. Jamie Jacobs Medical Student Scholarship	06/12/02	50,000	72,369	-	10,000	7,273	2,500	86,048
Zimmer Endowment for Orthopedic Research	03/21/01	300,000	696,120	-	-	69,755	-	760,326
Log-A-Load for Kids of Kentucky Fund	06/28/01	125,000	262,303	-	25,000	27,319	-	312,433
Lucille Caudill Little Fine Arts Library & M Learning Center Endowment Fund	05/01/01	1,000,000	3,098,642	-	500,000	308,657	85,623	3,297,697
Lucille Caudill Little Fine Arts Library & M Learning Center Endowment Fund	05/01/01	500,000	500,000	-	(500,000)	-	-	500,000
Dr. Robert D. Hayes Endowed Graduate Fellowship Fund	06/01/01	50,000	105,018	-	-	10,448	-	111,201
UKCUP Endowment for Urologic Research	03/21/02	90,000	200,074	-	8,094	20,533	-	224,222
Everett H. Metcalf, Jr. Professorship of Law	06/19/01	200,000	410,650	-	-	40,789	5,800	431,586
Lexmark Graduate Fellowship Endowment Beaven-Ecletik Research Fellowship in Developmental Disabilities and Mental Retardation	07/09/02	100,000	212,849	-	-	21,142	7,500	223,700
Scott V. Breckenridge Professorship in Intelligence Education	08/10/01	50,000	106,020	-	10,000	10,339	1,959	125,012
Marion E. McKenna Professorship in Nursing Leadership	05/10/04	52,415	123,306	-	-	12,410	-	133,393
Endowed Chair/Distinguished Scholar in Rural Health Policy	02/10/05	55,163	117,298	-	2,919	11,778	-	126,973
Dr. Charles R. Combs Scholarship Fund	09/03/01	1,000,000	2,212,259	-	-	220,098	17,606	2,342,519
Joanne I. Bell Endowment in Critical Thinking and Social Policy Analysis	07/10/01	80,000	151,896	-	-	15,088	8,000	159,640
M Edith D. Gardner Chair in Cancer Research	02/07/03	50,000	107,561	-	1,500	10,700	-	115,883
M Edith D. Gardner Chair in Cancer Research	11/27/89	100,000	1,657,314	-	-	164,618	-	1,741,809
M Edith D. Gardner Chair in Cancer Research	10/10/02	50,000	50,000	-	-	-	-	-
M Edith D. Gardner Chair in Cancer Research	10/10/02	635,449	4,251	-	-	-	-	-
Cardiology Associates of Kentucky Fellowship M Fund	10/10/02	4,251	-	-	-	-	-	-
Cardiology Associates of Kentucky Fellowship M Fund	09/13/01	250,000	283,659	-	-	28,175	13,767	298,121
M W.T. Young STM Library Endowment Fund	Default	(112,500)	-	-	-	-	-	(112,500)
M WT Young STM Library Account	03/08/01	2,500,000	25,927,064	860,000	(240,000)	2,694,284	705,449	29,254,009
M WT Young STM Library Account	12/12/00	1,000,000	-	(1,000,000)	-	-	-	-
M WT Young STM Library Account	12/12/00	50,000	-	(50,000)	-	-	-	-
M WT Young STM Library Account	12/12/00	50,000	-	(50,000)	-	-	-	-
M WT Young STM Library Account	12/12/00	4,425,000	-	(4,425,000)	-	-	-	-
M WT Young STM Library Account	12/12/00	5,793,333	1,715,826	(750,190)	3,350,611	-	-	-
Richard K. Brautigam Professorship in Juvenile, Criminal and Social Justice	12/12/00	-	-	30,000	24,000	-	-	-
Gifford Blyton Professorship in Oral Communication and Forensics	10/19/01	50,000	109,847	-	6,500	11,385	-	127,732
Northern Kentucky UK Alumni Club Fellowship Fund	06/04/02	197,926	385,796	-	14,800	39,279	11,023	420,778
Brown-Forman Visiting Chair in Urban Design Research Fund in Opera Studies	11/15/01	50,000	106,185	-	10,000	11,082	5,000	121,821
Databeam Professorship in Electrical & Computer Engineering	12/19/01	500,000	1,037,774	-	-	103,080	27,480	1,090,683
Royster Research Professorship	11/10/01	100,000	217,909	-	-	21,680	7,388	230,739
Paul Mellon Equine Research Endowment	11/05/01	80,000	148,892	-	20,000	15,457	12,982	176,724
Paul Mellon Endowed Graduate Student Support	06/03/01	50,000	102,668	-	-	14,812	11,190	112,559
	04/04/00	-	1,010,495	-	-	100,371	17,216	1,062,013
	04/04/00	-	1,850,918	-	-	183,848	79,822	1,945,283

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

Endowment Name:	Date Est.	Prior to 7/1/2005			Fiscal Year 2005-06				Outstanding Pledges 6/30/2006	
		State Funds Received	Gifts Received	Market Value 6/30/2005	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006		Market Value 6/30/2006
Kenneth H. and Susan W. Beard Graduate Fellowship Fund	10/01/01	250,000	200,310	466,380	-	49,690	48,406	-	541,069	-
Rebecca Cunningham Van Meter Endowment Fund	12/07/01	50,000	40,000	92,474	-	10,000	9,436	-	107,247	-
Cralle Foundation/Joan Cralle Day Endowed Fellowship Fund	12/11/01	300,000	240,936	556,231	-	59,064	57,707	15,000	644,731	-
Susan Abbott-Jamieson Dissertation Research Fund in Anthropology	09/03/01	50,000	50,000	98,988	-	-	9,811	4,950	108,939	-
Michael D. Rankin, M.D. Community Scholarship Endowment	11/07/02	200,000	100,000	305,269	-	100,000	34,528	-	425,449	-
Ralph E. & Norma L. Edwards Research Professorship	01/14/02	100,493	100,493	203,776	-	-	33,160	6,284	227,204	-
Arthur E. Walker, Jr. Graduate Fellowship in Civil Engineering	12/31/01	300,000	240,000	573,611	-	60,000	58,483	17,534	663,208	-
Endowed Chair in Neuroscience	12/31/01	500,066	500,066	1,002,678	-	-	99,594	57,772	1,053,797	-
Endowed Professorship in Forestry and Environmental Sciences	12/31/01	500,065	500,066	1,029,098	-	(1)	102,218	37,618	1,081,565	-
Rast-Holbrook Research and Seminar Fund	12/26/01	55,455	54,342	119,898	-	1,113	12,184	5,166	137,989	-
University of Kentucky Association of Emeriti Faculty Endowed Fellowship Fund	04/17/02	50,000	50,000	104,610	-	-	10,765	6,000	119,108	-
Creed Fulton Smith Endowed Fellowship Fund	01/31/02	50,000	50,000	109,275	-	-	10,854	-	114,846	-
Lucille Caudill Little Endowed Research Fund in M Opera Studies	11/28/01	200,000	-	1,276,300	-	-	126,835	38,056	1,342,620	200,000
Lucille Caudill Little Endowed Research Fund in M Opera Studies	11/28/01	500,000	500,000	-	-	-	-	-	-	-
David B. Stevens, M.D. Professorship or Chair in Orthopedic Surgery	04/12/02	50,000	30,000	94,112	-	20,000	10,720	-	123,965	-
Charlotte R. Schmidlapp Fellowship in Gynecologic Oncology	09/10/02	100,000	100,000	218,573	-	-	21,710	17,517	229,716	-
Mark and Ruth Luckens Graduate Fellowships in Toxicology	04/01/02	50,000	50,000	101,234	-	-	10,055	-	106,395	-
Helimann Family Fund in the Division of Infectious Diseases	02/07/02	50,000	40,000	106,141	-	10,000	10,596	-	125,872	-
George A. Digenis Professorship/Chair in Drug Design and Discovery in Pharmaceutical Sciences	03/12/02	68,650	38,650	130,173	-	-	3,386	8,878	133,798	30,000
Donald L. and Gertrude L. Lester Professorship in Mechanical Engineering	03/18/02	50,000	40,000	178,308	-	10,000	18,241	3,998	208,988	-
Donald L. and Gertrude L. Lester Professorship in Mechanical Engineering	03/18/02	50,000	20,000	-	-	10,000	-	-	-	20,000
Seacat-J.G. Morris Aluminum Professorship in Infectious Diseases Research	04/11/02	50,000	30,000	92,132	-	-	9,166	1,423	97,556	20,000
Internal Medicine	05/10/02	50,000	50,000	122,134	-	-	12,245	2,176	132,564	-
Gloria W. Singletary Professorship/Chair in Women's Health	05/29/02	345,650	250,484	967,860	-	7,000	96,473	60,480	1,027,301	88,166
Gloria W. Singletary Professorship/Chair in Women's Health	05/29/02	154,500	97,000	-	-	3,000	-	-	-	54,500
William T. Young Endowed Chair	04/12/02	500,000	500,000	1,153,986	-	-	114,623	32,087	1,212,819	-
Lauranne Laise Howell Graduate Fellowship in Economic Education	05/17/02	125,000	125,000	283,844	-	-	28,194	13,438	298,315	-
M Gill Heart Institute Endowment	12/26/02	-	1,000,000	1,219,569	-	-	121,137	3,299	1,281,746	(1,000,000)
CompEd, Inc. Fellowship Fund	06/06/02	50,000	40,000	99,907	-	10,000	3,766	5,200	109,089	-
John Russell Groves-Kentucky Housing Corporation Research Fund in Affordable Housing Design	06/11/02	300,000	300,000	635,303	-	-	63,103	33,798	667,692	-
Blazie Family Professorship in Electrical and Computer Engineering	03/25/02	50,000	40,000	103,731	-	10,000	10,719	6,279	119,201	-

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

		Date Est.	State Funds Received	Prior to 7/1/2005			Fiscal Year 2005-06				Outstanding Pledges 6/30/2006
				Gifts Received	Market Value 6/30/2005	Investment Earnings (Loss)	State Funds Received	Gifts Received	Earnings Expended 2005 - 2006	Market Value 6/30/2006	
Endowment Name:											
George A. Young Endowment for Stroke Research		08/02/02	1,479,600	1,479,600	4,195,739	-	-	-	-	4,583,842	-
M Jes & Clementine Schlaikjer Professorship - I		06/11/02	-	-	297,906	-	-	-	-	328,003	-
M Jes & Clementine Schlaikjer Professorship - I		06/11/02	-	250,000	-	-	-	-	-	-	-
M Jes & Clementine Schlaikjer Professorship - II		06/11/02	-	116,683	297,906	-	-	-	-	328,003	-
M Jes & Clementine Schlaikjer Professorship - II		06/11/02	-	24,781	-	-	-	-	-	-	-
M Jes & Clementine Schlaikjer Professorship - II		06/11/02	-	108,536	-	-	-	-	-	-	-
Kentucky Utilities Professorship in Electrical & Computer Engineering		06/11/02	50,000	50,000	121,000	-	-	-	6,092	127,169	-
Charles H. Nicholson, M.D. Residency Fellowship in General Surgery		12/26/02	62,100	62,100	148,843	-	-	-	15,007	162,077	-
University of Kentucky Oral History Endowment Fund		03/29/04	106,947	104,147	804,623	-	-	2,800	9,572	1,445,967	-
University of Kentucky Oral History Endowment Fund		03/29/04	-	-	-	-	72,791	72,791	-	-	-
University of Kentucky Oral History Endowment Fund		03/29/04	-	-	-	-	500,000	500,000	-	-	-
Mary Rebecca Johnson Whidby Endowed Professorship Fund		05/15/02	51,000	51,000	148,836	-	-	-	12,617	157,600	-
Carmen L. Buck Professorship/Chair in Oncology Research Endowment Fund		10/10/02	4,885	4,885	-	-	-	-	-	-	-
Carmen L. Buck Professorship/Chair in Oncology Research Endowment Fund		10/10/02	300,000	300,000	996,190	-	-	-	38,586	1,046,979	-
Carmen L. Buck Professorship/Chair in Oncology Research Endowment Fund		10/10/02	74,568	74,568	-	-	-	-	-	-	-
Carmen L. Buck Professorship/Chair in Oncology Research Endowment Fund		10/10/02	50,000	50,000	-	-	-	-	-	-	-
H. Lester Reynolds Professorship in Engineering		11/13/02	100,000	100,000	234,818	-	-	-	11,578	246,790	-
Delta Dental Plan of Kentucky Clinical Research Center Endowment		08/21/02	750,000	750,000	1,779,765	-	-	-	22,872	1,870,502	-
Arville & Ellen Turner Thacker Endowment Fund		09/02/02	50,000	50,000	116,850	-	-	-	1,573	122,807	-
Paul Parker Endowment Fund for Residency Training and Research		12/27/01	54,820	31,310	140,466	-	-	20,840	-	151,660	2,670
University of Kentucky Chandler Medical Center Chair		07/23/02	500,000	500,000	1,222,633	-	-	-	-	1,335,401	-
College of Pharmacy Parent & Alumni Scholarship/Fellowship Endowment Fund		06/22/02	51,454	50,114	109,275	-	-	1,215	-	123,182	125
Earl Platt Slone Endowed Professorship in Pharmacy Practice and Science		09/12/02	62,232	49,207	232,911	-	-	5,025	-	267,759	8,000
Earl Platt Slone Endowed Professorship in Pharmacy Practice and Science		09/12/02	79,782	24,282	-	-	-	14,232	-	-	41,268
Subtotal			142,014	73,489	232,911	-	-	19,257	-	267,759	49,268
Robert H. & Anna B. Culton Professorship		12/18/02	50,000	30,400	90,144	-	-	10,000	-	104,962	9,600
USEC Inc. Graduate Fellowship		09/27/02	50,000	20,000	82,352	-	-	-	-	86,551	30,000
Trane Company Graduate Fellowship		10/21/02	75,000	45,000	136,787	-	-	15,000	-	158,849	15,000
Kenneth N. and Carol L. Robertson Endowment Fund		11/01/04	100,000	20,123	127,965	-	-	30,000	-	164,058	49,877
Lawson Endowment Fund for Asphalt Research		11/04/02	318,097	318,097	1,427,991	-	-	-	9,364	1,500,794	-
Lawson Endowment Fund for Asphalt Research		11/04/02	331,904	331,904	-	-	-	-	-	-	-
Lawson Endowment Fund - Professorship in Civil Engineering		11/04/02	250,000	250,000	584,686	-	-	-	24,417	614,495	-

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

		Prior to 7/1/2005			Fiscal Year 2005-06					
		State Funds Received	Gifts Received	Market Value 6/30/2005	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006	Market Value 6/30/2006	Outstanding Pledges 6/30/2006
Endowment Name:	Date Est.									
Palmer Engineering Civil Engineering Laboratory Endowment	11/04/02	50,000	30,000	91,294	-	10,000	9,484	-	106,131	10,000
Dorothy Luther Nursing Fellowship	06/25/03	50,759	50,759	112,046	-	-	11,129	-	117,758	-
UK Center for Research on Violence Against Women Endowment Fund	10/03/03	381,293	381,293	902,244	-	-	92,379	-	1,000,975	-
UK Center for Research on Violence Against Women Endowment Fund	10/03/03	-	-	-	51,585	51,585	-	-	-	-
Lawson Endowment Fund - UK Center for Research on Violence Against Women Endowment Fund	11/04/02	100,000	100,000	206,321	-	-	20,493	-	216,840	-
Gregory M. Jasko Cardiovascular Research Endowment in the Division of Cardiology	07/01/03	90,000	-	119,745	-	50,794	12,513	15,708	182,052	39,206
Pharmacy Practice and Science Endowed Fund for Excellence	01/23/03	131,400	129,200	285,656	-	1,100	28,651	-	315,407	1,100
Alfred M. Cohen M.D. Professorship/Chair in Oncology Research	03/21/03	500,000	300,000	927,888	-	200,000	85,153	27,221	1,200,287	-
Mark Lee Gross M.D. Memorial Residency Fellowship in Ophthalmology	04/19/04	53,165	15,360	88,223	-	18,093	9,019	-	101,592	19,712
Kevin Heidrich/Team 7 Endowment for ALS Patient Services Research	03/31/03	50,000	50,000	497,193	-	-	57,485	-	790,267	-
Kevin Heidrich/Team 7 Endowment for ALS Patient Services Research	03/31/03	100,005	100,005	-	-	-	-	-	-	-
Kevin Heidrich/Team 7 Endowment for ALS Patient Services Research	03/31/03	-	-	-	164,287	164,287	-	-	-	-
University of Kentucky Woman's Club Fund	10/07/03	100,000	100,000	233,592	-	-	23,420	-	253,541	-
Buck-Kentucky Lung Cancer Research Chair Endowment Fund	10/22/02	300,000	300,000	1,248,396	-	-	124,001	34,368	1,312,042	-
Psychiatry Endowed Professorship for the Addictions Fund	03/25/03	100,000	44,100	153,806	-	11,100	15,858	-	179,495	44,800
Albrett Dissertation Research Fellowship	06/24/03	50,000	30,000	87,183	-	10,000	8,593	-	102,098	10,000
Robert G. Lawson & William H. Fortune Professorships Endowment	12/31/02	85,680	64,880	179,045	-	10,100	9,023	-	189,853	10,700
Burt L. Sims Graduate Fellowship	10/30/03	50,000	20,000	74,104	-	10,000	7,612	-	87,941	20,000
H. E. Katterjohn Professorship in Engineering	12/01/03	250,000	250,000	539,210	-	-	53,559	29,934	566,700	-
James W. Holsinger, Jr. M.D. Professorship in Neurosciences	11/26/03	50,000	20,000	75,791	-	10,000	8,130	-	93,265	20,000
Amerman Family Equine Research Endowment Fund	12/31/03	500,000	200,000	737,958	-	100,000	77,577	21,298	883,616	200,000
College of Law 2002 Memorials Fellowship Endowment Fund	12/31/03	50,922	43,965	99,172	-	-	6,316	2,000	104,366	6,957
Robert T. & Nyle Y. McCowan Chair in Alzheimer's Research	01/16/04	848,849	848,849	1,805,284	-	-	179,315	71,436	1,897,322	-
Child Psychiatry Professorship	03/25/04	250,000	100,000	377,068	-	50,000	37,585	-	461,552	100,000
Charles D. Lucas, Jr. Endowment for Parkinson's Disease Research	02/12/04	50,000	31,159	83,131	-	18,841	8,803	-	110,210	-
Symptom Management & Palliative Care Symptom Management/Chair	04/14/04	50,000	50,000	203,729	-	-	20,337	-	235,900	-
Symptom Management & Palliative Care Professorship/Chair	04/14/04	70,876	25,595	-	-	13,483	-	-	-	31,798
E.A. Ford, III Endowment for ALS Research	03/26/04	50,000	34,271	86,524	-	3,146	8,886	-	97,846	12,583
M Endowment for Support of Urologic Research	02/29/04	125,000	30,000	189,952	-	25,000	22,542	7,419	381,135	70,000
M Endowment for Support of Urologic Research	02/29/04	-	-	-	51,215	36,215	-	-	-	15,000
M Endowment for Support of Urologic Research	02/29/04	-	-	-	50,000	50,000	-	-	-	-
Charles W. Hammond Professorship in Chemistry	05/12/04	340,000	340,000	724,505	-	-	72,642	-	793,130	-

Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2005-2006

		Prior to 7/1/2005			Fiscal Year 2005-06						
		State Funds Received	Gifts Received	Market Value 6/30/2005	State Funds Received	Gifts Received	Investment Earnings (Loss)	Earnings Expended 2005 - 2006	Market Value 6/30/2006	Outstanding Pledges 6/30/2006	
Endowment Name:	Date Est.										
Professorship in Pediatric Otolaryngology Surgery	06/28/04	100,000	100,000	211,510	-	-	21,662	-	241,438	-	
Endowed Chair in Orthopedic Surgery Research	06/23/04	500,000	150,000	673,772	-	150,000	71,989	(0)	889,960	200,000	
Max Steckler Fellowship Fund	11/19/04	1,749,284	1,749,284	3,611,524	-	-	358,729	6,000	3,795,758	-	
William Stamps Farish Fund Chair in Cancer Research	09/01/04	1,000,000	500,000	1,568,965	-	250,000	150,428	-	1,956,752	250,000	
James Arlie Thacker Fund	06/30/04	50,000	50,000	107,160	-	-	10,759	500	117,420	-	
Sutherland Professorship of Landscape Design	03/08/04	250,000	55,500	302,962	-	94,500	32,459	-	416,326	100,000	
William A. Marquard Chair in Cancer Research	11/29/04	500,000	500,000	1,059,565	-	-	106,174	-	1,157,292	-	
Ann Scott Maher Mason Endowed Research Fund in Choral Studies	10/29/04	100,000	100,000	207,514	-	-	20,645	9,280	219,732	-	
Lexington Opera Society Chair in Opera Studies	12/15/04	750,000	100,000	866,482	-	37,000	88,336	-	979,762	613,000	
Regina Drury/Children's Miracle Network Chair in Pediatric Research	10/06/04	600,000	-	720,930	-	100,000	72,241	-	787,424	500,000	
Children's Miracle Network Research Chair #3	10/06/04	1,000,000	350,000	1,395,349	-	50,000	141,891	-	1,575,920	600,000	
Lexington Opera Society Community Endowment for Vocal Studies	05/11/04	50,000	36,655	89,208	-	13,345	10,119	3,469	112,620	-	
E. Preston Hicks Professorship for M Orthodontics & Oral Health Research	09/30/04	100,000	15,000	168,925	-	17,500	31,049	1,643	513,487	67,500	
E. Preston Hicks Professorship for M Orthodontics & Oral Health Research	09/30/04	-	-	-	204,168	85,458	-	-	-	118,710	
Myrle E. and Verle D. Nietzel Visiting Distinguished Faculty Endowment	11/22/04	50,000	15,128	67,294	-	5,000	6,923	-	76,289	29,872	
Mary Byron Scholars Program	12/31/04	50,000	10,000	60,566	-	-	6,016	-	63,654	40,000	
Verizon Wireless Professorship on Studies on Violence Against Women	12/31/04	250,000	125,000	376,353	-	125,000	42,585	-	522,820	-	
Evalyn Anderson Edwards Professorship	10/29/04	250,000	100,000	348,937	-	-	34,965	54,973	381,121	150,000	
Susan Ballard Lester Endowment	09/23/04	50,000	-	50,662	-	-	5,077	2,662	55,335	50,000	
Chellgren Fund for Excellence	01/05/05	1,500,000	-	1,547,322	-	750,000	204,891	-	2,397,860	750,000	
James E. & Christine D. Luckett Endowment M Fund	08/23/05	-	-	-	300,000	300,000	-	-	-	-	
M Appalachian Fellowship Endowment Fund	05/27/05	-	-	-	50,000	50,000	-	-	-	-	
M Appalachian Fellowship Endowment Fund	05/27/05	-	-	-	300,000	300,000	-	-	-	-	
M Appalachian Fellowship Endowment Fund	05/27/05	-	-	-	265,000	265,000	-	-	-	-	
M Appalachian Fellowship Endowment Fund	05/27/05	-	-	-	200,000	200,000	-	-	-	-	
M Appalachian Fellowship Endowment Fund	05/27/05	-	-	-	80,000	80,000	-	-	-	-	
William T. Bryan Endowed Professorship in Materials Engineering	12/08/98	-	-	-	125,000	125,000	17,206	-	259,780	-	
William T. Bryan Endowed Chair in Public Finance in the Martin School	12/08/98	-	-	-	125,000	125,000	17,206	-	259,780	-	
Leo P. Branstetter Alzheimer's Disease M Research Fund	09/30/05	-	-	-	150,000	150,000	-	-	-	-	
Leo P. Branstetter Alzheimer's Disease M Research Fund	09/30/05	-	-	-	30,000	30,000	-	-	-	-	
Grand Total for Existing Endowments		151,856,818	138,593,439	307,626,870	1,800,479	9,827,352	30,829,669	8,926,689	334,693,416	5,236,505	
Grand Total for New Endowments		0	1,026,675	1,588,020	7,275,997	4,275,415	616,277	288	16,346,815	1,973,907	
Totals have been rounded to the nearest dollar		151,856,818	139,620,114	309,214,890	9,076,476	14,102,768	31,445,947	8,926,977	351,040,231	7,210,411	
M Indicator for multiple requests.											

FCR 15

Office of the President
December 12, 2006

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period ending October 31, 2006.

Background: The March 4, 1997 meeting of the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD AUGUST 1, 2006 THROUGH OCTOBER 31, 2006

Patents

The following assignments on behalf of the Board of Trustees of the University of Kentucky Research Foundation have been executed:

- 1. U.S. Patent Application Serial Number: (to be assigned)**
Filed: June 20, 2006
Title: “Nanoscale Solar Cell with Vertical and Lateral Junctions”
Inventors: Drs. Vijay Singh and Suresh Rajaputra (Electrical and Computer Engineering)
Technical Description: Generally, the invention relates to solar cells and methods used to produce them. Particularly, it relates to nanoscale solar cells and production. In one aspect, nanoscale solar cells include both vertical lateral junctions operable for creating electron-hole mobility upon photon impact. In another, band gap energy tailoring is accomplished for various materials. Still other aspects relate to particular organic or inorganic materials.
Summary: The scientists have invented an improved solar cell that is more efficient and easier to make than conventional solar cells.
- 2. U.S. Patent Application Serial Number: (to be assigned)**
Filed: July 18, 2006
Title: “Plants Having an Enhanced Resistance to Necrotrophic Pathogens”
Inventors: Drs. Pradeep Kachroo and Aarda Kachroo (Plant Pathology)
Technical Description: The invention relates to a method of conferring to plants an enhanced resistance to pathogens.
Summary: The natural plant enzyme glycerol-3-phosphate dehydrogenase plays an important role in a plant’s defense system. The inventors have discovered that they can increase a plant’s resistance to disease by causing the plant to produce supra-natural levels of glycerol-3-phosphate dehydrogenase.
- 3. U.S. Patent Application Serial Number: (to be assigned)**
Filed: July 21, 2006
Title: “Antibodies and Unnatural Substrates of Prenylation Enzymes for Use in Detecting and Isolating prenylated Proteins”
Inventors: Drs. Hans Peter Spielmann and Douglas Andres (Biochemistry)
Technical Description: The invention relates to detecting and isolating prenylated proteins, and screening for inhibitors of enzymes facilitating protein prenylation. More specifically, the invention relates to newly identified antibodies to detect prenylated proteins and unnatural substrates of enzymes that catalyze protein prenylation reactions.
Summary: The chemical modification of proteins known as prenylation is required for some proteins to exhibit normal biological activity, but how prenylation activates a protein is little understood. The inventors have identified

a means of identifying and locating prenylated proteins, greatly facilitating the study of prenylation.

4. U.S. Patent Application Serial Number: (to be assigned)

Filed: July 24, 2006

Title: "Ecdysone receptor-based gene expression modulation system for use in plants and method for modulating gene expression in plants"

Inventors: Drs. Venkatta Tavva, Randy Dinkins, and Glenn Collins (Plant and Soil Sciences) and Subba Palli (Entomology)

Technical Description: The present invention relates to the field of gene expression, and, more particularly, to chemically-inducible systems that activate or inactivate gene expression in host plant cells.

Summary: The function of many plant genes remains unknown. One method for studying the function of plant genes is to develop an artificial mechanism for turning the expression of the genes on or off, and observing the resulting change in plant physiology. The inventors have developed an efficient artificial method of turning plant genes on or off.

5. U.S. Patent Application Serial Number: (to be assigned)

Filed: August 9, 2006

Title: "Assay Method for Diagnosing and Treating Alzheimer's Disease"

Inventors: Drs. Philip Landfield, Nada Porter, Kuey-Chu Chen, Eric Blaylock (Pharmacology) and James Geddes (SCoBIRC)

Technical Description: The invention relates to assays and methods for diagnosing and treating Alzheimer's disease (AD). More particularly, this invention relates to methods for detecting changes in the pattern of gene expression that correlated with AD, and, in particular, with incipient AD, and using these changes to either diagnose AD in a patient or screen compounds for treating AD.

Summary: Early symptoms of AD resemble the early symptoms of many other diseases. The development of new treatments for AD would be greatly facilitated by distinguishing early AD from these other diseases. The inventors have developed a means of distinguishing AD from other diseases by inspecting the pattern of gene expression in patients.

6. U.S. Patent Application Serial Number: (to be assigned)

Filed: August 18, 2006

Title: "Transition Metal-Free Coupling of Highly Fluorinated and Non-Fluorinated Pi-Electron Systems"

Inventor: Dr. Mark Watson (Chemistry)

Technical Description: This invention relates generally to organic conjugated materials and, more particularly, to novel compounds, methods for their production and electronic devices made with these compounds.

Summary: It is anticipated that organic polymers will be used to make the next generation of electronic components. One technical hurdle in using these polymers in electronics is the ability to produce sufficiently pure polymers. The

inventor has provided a means of producing pure organic polymers for future use in electronics.

7. U.S. Patent Application Serial Number: (to be assigned)

Filed: August 25, 2006

Title: “Compositions and Methods for Oral Cancer Chemoprevention Using Berry Preparations and Extracts”

Inventor: Dr. Russell Mumper (Pharmaceutical Sciences)

Technical Description: This invention relates to compositions and methods for chemoprevention of oral cancer and precancerous lesions, and for methods for preparing the compositions. Specifically, the invention relates to bioadhesive gels containing an isolated berry extract, formulated for local delivery for the chemoprevention of oral cancer and precancerous lesions. The invention relates also to methods for stabilizing and enhancing the efficacy of chemopreventive components of the compositions.

Summary: Oral cancer affects approximately 29,000 people in the United States annually. The inventor has discovered that berries have cancer preventing properties, and has developed formulations for delivering the cancer preventing properties of berries orally in the form of a gel derived from berries.

8. U.S. Patent Application Serial Number: (to be assigned)

Filed: September 12, 2006

Title: “Epoxyketone-Based Immunoproteasome Inhibitors”

Inventors: Drs. Kyung Bo Kim and Yik Khuan Ho (Pharmaceutical Sciences)

Technical Description: This method relates to epoxyketone-based immunoproteasome inhibitors and, in particular, to the synthesis of the inhibitors, their intermediaries, and treatment of disease using the inhibitors.

Summary: The activity of immunoproteasomes has been implicated in a wide variety of diseases, including hematological cancers, autoimmune disorders, and neurodegenerative diseases. Inhibiting immunoproteasome activity may provide a treatment for these diseases. The inventors have developed a means of synthesizing inhibitors that may have therapeutic value.

9. U.S. Patent Application Serial Number: (to be assigned)

Filed: September 12, 2006

Title: “Method and System for In-Situ Spectroscopic Evaluation of an Object”

Inventors: Dr. Robert Lodder (Electrical and Computer Engineering)

Technical Description: The invention relates to the spectroscopic evaluation of analytes and objects, and, more specifically, to a spectroscopic method and system for quickly determining the presence or absence of an analyte of interest or the presence or absence of desired characteristics of an object.

Summary: Pharmaceuticals must be evaluated for the absence of impurities before they can be sold. The inventor has created a method for the detection of impurities by reflecting light off the compound of interest, and determining the purity of the compound from information contained in the light reflected back from the compound.

- 10. U.S. Patent Application Serial Number: (to be assigned)**
Filed: September 15, 2006
Title: “An Organic Cation Transporter Preferentially Expressed in Hematopoietic Cells and Leukemias and Uses Thereof”
Inventor: Dr. Jeffrey Moscow (Pediatrics Hematology)
Technical Description: The invention relates to a gene encoding an organic cation transporter, OCT6, and its use as a target for the treatment of hematological malignancies and, in particular, leukemia. The invention further relates to screening methods for identifying agonists and antagonists binding partners of OCT6 transport activity.
Summary: The inventor has identified a gene important for the development of drug resistance in leukemia cells. The gene can be targeted to improve the treatment outcome of leukemia.
- 11. U.S. Patent Application Serial Number: (to be assigned)**
Filed: September 26, 2006
Title: “Berry Preparations and Extracts”
Inventor: Dr. Russell Mumper (Pharmaceutical Sciences)
Technical Description: The invention relates to compositions derived from a berry that have antioxidant and anti-inflammatory activity. In particular, the invention relates to a method for deriving an extract having antioxidant and anti-inflammatory activity from a berry, and to formulated compositions derived by the method for oral and topical administration. Still further, the invention provides methods and compositions for treatment of inflammation, oxidative stress, or cancer by administering an effective amount of the composition.
Summary: The inventor has discovered that berries have cancer preventing properties and has developed methods for extracting the cancer preventing compounds from berries in a stable form.
- 12. U.S. Patent Application Serial Number: (to be assigned)**
Filed: October 2, 2006
Title: “Watermelon Hydroperoxide Lyase and Uses Thereof”
Inventors: Drs. David Hildebrand and Hirotada Fukushige (Plant and Soil Sciences)
Technical Description: This invention relates to the production of a hydroperoxide lyase (HL) protein in host cells via recombinant expression of the protein, Recombinant HL protein, DNA sequences encoding the protein, vectors containing these DNA sequences, and hosts containing the vectors are provided, together with methods for recombinantly producing such protein, DNA sequences, vectors, and hosts.
Summary: So-called “green note” compounds are laboriously isolated from plant materials for their use as odorants and flavorants. The inventors have produced an artificial, high-yield method of synthesizing the compounds without plants by causing host cells to express the hydroperoxide lyase (HL) protein.

- 13. U.S. Patent Application Serial Number: (to be assigned)**
Filed: October 3, 2006
Title: “Crystallization and Structure of a Plant Peptide Deformylase”
Inventors: Drs. Robert Houtz, and Lynette Dirk (Horticulture) and David Rodgers (Biochemistry)
Technical Description: This invention relates to the crystallization and structure of plant peptide deformylase and methods of using the structure.
Summary: To develop a new herbicide one must identify a chemical that interrupts the function of a protein that is critical to plant life. Plant peptide deformylase has already been identified as such a protein. It is likely that new herbicides can be developed by identifying chemicals that interrupt the function of plant peptide deformylase. Because randomly trying chemicals is impractical, the inventors have discovered information that narrows the number of candidate chemicals that may interfere with the function of plant peptide deformylase. Specifically, the inventors have described the structure of the plant peptide deformylase protein, which provides useful clues about the structure of chemicals that interfere with the proteins function.
- 14. U.S. Patent Application Serial Number: (to be assigned)**
Filed: October 13, 2006
Title: “Alteration of Tobacco Alkyloloid Content Through Modification of Specific Cytochrome P450 Genes”
Inventors: Drs. Balasz Siminszky and Lily Gavilano (Plant and Soil Sciences)
Technical Description: This invention relates compositions and methods for reducing the level of nornicotine and its metabolite, N'-nitrosornicotine, in a plant that is a member of the genus Nicotiana, particularly compositions and methods for inhibiting expression of a cytochrome P450 polypeptide involved in the metabolic conversion of nicotine to nornicotine.
Summary: Nornicotine is a precursor of nitrosamines, carcinogenic chemicals found in tobacco. Nornicotine is formed from nicotine during the curing of tobacco. The inventors have discovered how to inhibit the formation of nornicotine from nicotine, reducing the amount of carcinogens in tobacco.
- 15. U.S. Patent Application Serial Number: (to be assigned)**
Filed: October 17, 2006
Title: “Plasma Synthetic Jet Actuator”
Inventor: Dr. Jamey Jacob (Mechanical Engineering)
Technical Description: This invention relates to a fluid actuator and, more particularly, to a plasma actuator for manipulating, controlling and/or modifying a fluid flow.
Summary: Fluid actuators are useful for controlling the flow of fluids. Current fluid actuators typically utilize moving parts, making them prone to wear. The inventor has developed a novel fluid actuator with no moving parts.

Patent Activities
Fiscal year to date as of October 31, 2006

Number of Patent Applications	16
Number of Patents Issued	8
Patent Income	\$297,539

FCR 16

Office of the President
December 12, 2006

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending September 30, 2006 be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the university is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period July 1, 2006 thru September 30, 2006:

There were three new contracts this quarter:

Project 2231.0	Upgrade Surgical Services Woodford Builders, \$3,328,150
Project 2235.0	Construct Biological Pharmaceutical Complex Building EOP Architects, \$10,225,020
Project 2251.0	Renovate – Expand Boone Faculty Center EOP Architects, \$275,000

One contract was completed:

Project 2237.0	Commonwealth Stadium Waterproofing/Concrete Sealing RAM Building Restoration and Waterproofing, \$1,403,530
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Two amendments as follows:

Project 2236.0	Expand Emergency Services - Hospital - Design Greeter/Security desk, revised electrical drawings for Additional Patient monitoring equipment, commissioning of New Air Handling Units (+) \$17,952
Project 2239.0	Construct Patient Care Facility - Addition of two floors to the Parking Garage; additional reviews on impact of wind and storm water (+) \$299,044

Three change orders greater than \$25,000 as follows:

- Project 2237.0 Commonwealth Stadium Waterproofing/Concrete Sealing
- Addition of epoxy leveling fill (+) \$46,055
- Project 2196.0 Memorial Coliseum Expansion
- Addition of light fixtures (+) \$26,774
 - Provision for engraved brick pavers (+) \$26,385

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT

This report contains capital projects that have a dollar scope value of \$600,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

Consultant: This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

Contractor: This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

Glossary

Amendment: refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

Change Order: refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

Consultant: the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

Contract administration: refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

General Contractor: the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

Feasibility Study: refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probably cost, preliminary program issues, and so forth.

Gross square feet: the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

H.B.C.: refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

Penthouse: refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

Percent complete: as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

Net assignable square feet: the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

Phase: The various stages of design and construction of a capital project, including:

- **Programming phase:** refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- **Schematic design (S/D or Phase 1):** refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- **Design development (D/D or Phase 2):** refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- **Construction document phase (C/D or Phase 3):** refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- **Bidding phase:** refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- **Construction phase (or Phase 5):** refers to the actual construction of the project by contractors.

Scope: The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

Substantial Completion: refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

Trade Contractor: Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

Major Projects
Capital Project Management Division
October 31, 2006

Construct Biological Pharmaceutical Complex Building

Project Description:

Phase I of the Biological Sciences/Pharmaceutical Complex, authorized by the 2005 General Assembly, will construct a shelled building at UK's Research Complex. Phase II will complete the facility. This new building will provide the college with a state-of-the-art facility that will also allow the college to expand enrollment to address the shortage of pharmacists in Kentucky. The College of Pharmacy will relocate to this new facility and vacate their existing space. In addition to the current Pharmacy Building, college faculty members are housed in 10 buildings on and off campus. Consolidation of the research and teaching expertise of the eighth ranked College of Pharmacy in the U.S. will further strengthen the instruction of students and research collaboration among faculty. The vacated Pharmacy Building will be reassigned to the Department of Biology to provide needed expansion space for classrooms, research, and faculty to meet the needs of the growing student enrollment at UK. The University is currently reviewing the potential to shell additional space in the building to allow for the growing research needs. The project is expected to break ground in April 2007 and be complete by October 2009.

Project Status:

Schematic Phase continues, and a Schematic Phase review meeting scheduled for mid-December. Provost meeting scheduled to present exterior elevations and discuss the proposed re-use of the existing College of Pharmacy for Biology Studies.

Construct Student Health Facility

Project Description:

University Health Services will be an outpatient clinic to house University Health Services for students with support spaces and some administrative offices. This project will create additional space by constructing a new building of 72,714 gross square feet. The site will be in the courtyard framed by the Wethington Building, Warren Wright Medical Plaza and the Sanders Brown Center on Aging.

Project Status:

The Design Phases have been completed and the early bid packages are out for bids. Facility Dynamics Engineering will provide Commissioning Services for the project. Turner Construction Company is providing Construction Management Services. Turner is preparing the Bid Packages and the construction schedule. Turner's contract was amended to add Estimating Services. Value engineering is currently underway. An early bid package has been bid to re-route and close Rose Street with favorable bids received. Some schedule milestones have been pushed back approximately one month to resolve potential budget concerns; however, the closing of Rose Street, release of the initial bid packages, and final completion of the project have not been delayed.

Construct Patient Care Facility

Project Description:

The Hospital's present patient bed tower is approximately 40 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate present anticipated technology. This project will provide the site location evaluation, building programming, planning, and design services for a new bed tower. The project design team is lead by GBBN with major sub consultants including AM Kinney, Ellerbe-Becket, Staggs and Fisher,

Affiliated Engineers, THP Limited as well as a number of specialty consultants. This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. this project also includes the replacement of the hospital parking garage and a connecting bridge to clear the site for the new hospital addition as well as land acquisition and utility relocation costs.

PCF - Huguelet Drive Extension (GMP #1):

Project Description:

This package will link Huguelet Drive to Virginia Avenue at the current Clinic Drive location. The new road will provide an east-west link from University Drive to the west side of campus at Virginia Avenue. New signalization and bike paths are included to provide additional safety along the route. New entries to existing parking lots will be constructed and perpendicular parking will be removed between University Drive and Rose Street. The entire route will receive new landscaping and way-finding signage that will tie to both the Medical Center and Main Campus standards.

Project Status:

Huguelet Drive from Rose Street to University Drive is open to vehicular and pedestrian traffic. Retaining walls and curbs are being poured on the portion of the new road west of Rose Street to Limestone. Traffic signal structures have been installed at the new Rose Street intersection. Pavement "wedging" is being done in preparation of asphalt milling. The road will be opened for traffic the first week of November while stone veneer of the retaining walls, removal of the connector to Washington Avenue, and landscaping continue until December.

PCF - Parking Garage (GMP #2):

Project Description:

This package will construct a seven level parking structure that will have an approximate 1,600 car capacity. The new garage will be located on the west side of Limestone Street, between Conn Terrace and Transcript Avenue. This structure will replace the existing Medical Center parking garage that must be demolished in order to tie the new Patient Care Facility to the existing Medical Center. A future pedestrian bridge will connect the new structure to the Patient Care Facility and the design has allowed for the future addition of approximately 15,000 square feet of retail space fronting Limestone. The garage will open to temporary occupancy in October 2007 with full occupancy by February 2008.

Project Status:

The first two elevated slab pours have been made on the parking structure and all major foundation and below grade concrete has been placed. Forming and pouring of remaining slabs on grade and elevated slabs will progress as curing times allow the relocation of forms and mechanical rough-in. During the curing times the next level columns will be formed and poured in anticipation of deck forming. This process will repeat for several months until exterior masonry begins in the spring.

PCF - Cooling Plant No.1 (BP #3.1):

Project Description:

This package will provide the University the ability to meet the utility requirements of the new Patient Care Facility. The Central Utility Plant on Press Avenue will be expanded with a new boiler; Chiller Plant No. 1, on University Drive will be renovated with new chillers; new emergency generators will be added to Chiller Plant No. 3 to serve the Patient Care Facility; critical steam and chilled water lines will be replaced and upsized in order to provide the capacity that will be required to service Phase 1A of the Patient Bed Tower.

Project Status:

The Cooling Plant #1 Modification project bid on October 10, 2006. Messer Construction was the successful bidder. Construction began the week of October 25, 2006. During the first month, demolition will begin on the north side of the cooling plant to remove the existing 1500 ton chillers to make way for the new 2250 ton chillers.

PCF - CUP Expansion (BP #3.2):

Project Description:

The project includes an 18,000 square foot addition to the existing CUP on Press Avenue. The expansion will include provisions for two new 125,000 lb/hr boilers and additional chillers. The structure will include an enlarged cold well and basement in the cooling section. The boilers and supporting equipment will be installed under this project.

Project Status:

The final review of the Construction Documents with UK personnel took place during October. The purchase order for the engineering for the two boilers to be installed in the new plant was issued October 25th. English Tube and Boiler was selected as the supplier of the two units. The plans are now ready for final production. During the month of November the designers will incorporate UK comments and revise the plans to accommodate the selected boilers. The schedule calls for having the final Construction Documents available for advertisement in late November. The work is to be bid as a lump sum contract. The documents are scheduled to be "on the street" during late November and December, and the project should bid in late December. The construction phase is contingent on approval of a Title V Air Quality permit which is currently in process.

PCF - Building (BP #'s 4, 5 & 6):

Project Description:

This project includes:

- (BP #4) The demolition of the existing Parking Structure #4. The work will involve the start of all deep foundations up to the grade of the excavation.
- (BP #5) The construction of the new 1,022,000 gross square feet building's structural frame, fireproofing, exterior wall enclosure for all new building spaces, windows, exterior doors and roofs. Also included will be all exterior site improvements, civil design for roadways, drainage systems, landscaping, hardscape surfaces and walks.
- (BP #6) The interior fit-out partitions, interior finishes, doors and frames, ceilings, mechanical systems, electrical systems, technology systems, medical equipment and fire protection for approximately 490,000 occupiable square feet; and 532,000 square feet of shell space. The work also will include drawings and specifications for signage, interior furnishings and lighting.

Project Status:

- Mass Excavation and Foundations (BP #4):

Design Development of the foundation package is proceeding. Elements of the infrastructure which were moved from BP #3 are being incorporated in the foundations package. The revised documents will be reviewed the second week of November. The final Design Development documents will be produced in January of 2007. The current schedule calls for a Design Development estimate for BP #4 in February 2007 with a 50% Construction Document estimate in April of 2007. An early bid package including utility work and storm drainage work for the site has been suggested by Turner, the Construction Manager of the project. The publication of Construction Documents for this work has been placed on fast track. Construction Documents for this work will be produced in February of 2007, and work will begin in March 2007.

- Core and Shell (BP #5):

Design Development for the Core and Shell proceeded during October. The question of utilizing pre-cast concrete panels for the exterior skin of the building was given serious consideration. Both the designers and construction manager, Turner, have recommended this approach. A meeting will be held the second week of November to review the 95% Design Development documents, and the final Design Development documents will be delivered in mid-December. The current schedule calls for a Design Development estimate for BP #5 in early 2007, a 50% Construction Document estimate in August of 2007, bidding of the work in May of 2008 and bid and award for the work to occur of 2008 thru 1010. The work remains on schedule.

- Fit-Up (BP #6):

The documents are now at the 55% level of Design Development. The development of the Design Development documents continued during October. A four day series of meetings were held October 9th thru 13th to review the progress with the user groups. Representatives of user groups including pharmacy, surgery, security, pathology, maintenance, nursing, imaging, public spaces, etc. met with the designers to provide input and evaluation on the design to date. The representatives of the hospital provided significant input for the design process. The Fit-Up documents will continue Design Development during the month of November. During this time the designers will further develop the final room layout to include room elevations, equipment and furniture schemes, and utility requirements. The 95% Design Development meetings for BP #6 are scheduled for the second week of December, 2007. The goal will be to produce 100% Design Development documents for BP #6 by March 2007.

Expand Cancer Infusion Suites - Hospital

Project Description:

This project is for the expansion of the chemotherapy infusion program into the 2nd floor of the Davis Millis portion of the Whitney Hendrickson Building. The existing infusion operation is located in the first floor of the same facility directly below the proposed expansion. The demand for chemotherapy infusion services has exceeded the available clinic space capacity causing patients to use inpatient facilities for outpatient services or to wait for needed treatments. The renovation will also provide urgent treatment cancer services that are not currently provided by the cancer center. The space is connected to the Hospital's central chilled water and steam systems.

Project Status:

This project achieved substantial completion on October 4, 2006.

Expand Emergency Services - Hospital

Project Description:

This renovation project consists of approximately 18,400 sq. ft. of ground floor space in the Critical Care Center. Materials Management and Purchasing offices will be relocated to expand patient care services in the Emergency Department. The renovation will provide a new and separate Pediatrics Emergency area to include an exterior entrance, waiting room triage and support spaces; additional Emergency Department acute care bays, nursing space and support space; and a new digital radiology room for exclusive use by the Emergency Department.

Project Status:

Framing is 95% complete, and 90% of the door frames are set in Phase 1. All plumbing lines are in, ductwork has been installed, all conduits for electric are in place and most of the wiring has been pulled. Installation of drywall has begun. The contractor has installed the medical gas lines above the ceiling, and had the lines inspected and approved. The new domestic water lines are connected. The temporary air handling unit is set in place and should be connected and in service by October 27, 2006. In the next 30 days the contractors will continue dry walling the new corridor and exam rooms, install the ductwork for the new permanent air handling unit, and obtain plumbing and electrical inspections.

Expand & Upgrade Livestock Disease Diagnostic Lab

Project Description:

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The LDDC is an

office and laboratory building with net assignable space of 3,860 sq. ft. for offices and 28,100 sq. ft. of laboratories and support space. It is proposed to add 6,300 and 1,200 sq. ft. (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 sq. ft. net). An aging incinerator is the present means of carcass disposal. An alkaline hydrolysis digester will be installed for carcass disposal after renovating 2,000 sq. ft. (net) of space to contain the digester.

Project Status:

A meeting was held this month to discuss the feasibility of discharging digester waste into the Town Branch Waste Water Treatment Plant. Continue to await Legislative action regarding the additional funding that was the subject of a veto in the last session.

4th Floor Fit-up BBSRB

Project Description:

This project will fit-up approximately 32,750 square feet in the currently "shelled space" on the fourth floor of the BBSRB Building. The fit-up will include wet bench laboratory space, support space, and dry computational labs. The University has received a federal grant award of \$3,685,000 from the National Center for Research Resources Extramural Research Facilities Improvement Program. The space will primarily be utilized by Pharmacology.

Project Status:

Walls and soffits are completing, along with HVAC, plumbing, sprinkler and electrical work. Painting has begun in areas as they become available. Casework is also being installed. There have been condensation problems in the building, possibly resulting from non-conditioned air entering the building (particularly on hot humid days) as a result of the construction project (a large opening had been cut into the building for construction purposes). This is expected to resolve itself when the 4th floor is sealed up and the new air handling unit is started up. In addition to damaged ceiling tiles, mold has been found inside a mechanical chase on the south end of the building. The moisture appears to have come from a leak in a steam line in the chase. Air inside the chase is exhausted to the outside of the building. Remedial work is being corrected by Gilbane Construction. In addition, the project has been delayed as a result of a proposal to add an Organic Synthesis Lab in the SW corner of the building. The contractor's proposal for this work is being reviewed and negotiated.

KY Swine Development & Training Center Feed Mill (Woodford County)

Project Description:

This project will construct a feed mill on the Woodford County Animal Research Center within either the sheep or swine unit. AG Engineering will design the mill utilizing new components and some equipment from the Cold Stream Farm feed mill when it is demolished.

Project Status:

Building construction continues this period. Projected Substantial Completion for Building delayed due to heavy rains damaging interior materials. Feedmill equipment was delivered to the site. Assembly forecasted from November 2006 thru January 2007. No delay to schedule or additional costs.

Memorial Coliseum Expansion

Project Description:

The basketball practice facility consists of the addition of men's and women's basketball offices, practice facility, weight training, medical support, locker/shower, facilities ticket office, administrative offices, and minor renovation of existing locker rooms. The estimated total cost of the facility is \$30 million, consisting of the \$2.5 million for design plus \$27.5 million for construction. This project involves the design of a 92,300 square foot Basketball Practice Facility to enhance the University's ability to attract top student athletes. The new facility will alleviate

scheduling problems at Memorial Coliseum and will provide amenities in line with other NCAA basketball facilities in the country. The practice facility will be connected to Memorial Coliseum, which is located near the intersection of Lexington Avenue and the Avenue of Champions, and will renovate 7,700 square feet to support volleyball, gymnastics, offices and ticketing. Sherman-Carter-Barnhart, Inc. is the prime architect for the project. They are working in conjunction with HNTB as the design architect, Staggs and Fisher as the M/E/P engineers, and the Structural Design Group as the structural engineer. Fuller, Mossbarger, Scott and May perform geotechnical and survey work for the project.

Project Status:

Site utility work has been completed in the Martin Luther King Parking Lot, with final site improvements scheduled to be completed by the beginning of November. Utility work along Martin Luther King Drive has been completed and most of the sidewalk has been poured and opened for pedestrian use. Final site restoration has been delayed in this area due to grade changes required to work around the new steam vault. Lexington Avenue has been closed for installation of steam and chilled water lines and for site improvements. These utility lines have been completed, and final site improvements are scheduled to be completed by the beginning of November. Lexington Avenue will remain closed to vehicular traffic north of the access into the Martin Luther King Parking Lot until this work is finished. The sidewalk on the west side of Lexington Avenue has been completed and opened. Brick and CMU masonry work is nearing completion. Exterior metal panel work is progressing on the west elevation. Drywall and soffits are progressing and painting has begun at all levels. Initial installation of the Hydrotherapy pools has been completed and piping is in progress. Above ceiling inspections have begun on all levels, and initial punch inspections have begun on the Court and First Floor Levels. The interior graphics package was awarded to ZE Design. Messer indicates the project remains behind schedule due to unsuitable foundation conditions that were encountered. However, beneficial use of the two basketball training floors is anticipated by the end of December 2006.

Renovate - Expand Boone Faculty Center

Project Description:

The Boone Faculty Center was built in 1986 and has only received minor maintenance repairs in the interim. This project will renovate the original 19,561 square feet of the Boone Faculty Center on the Lexington Campus and construct an addition of approximately 3,300 square feet. The center as constructed is able to host small to medium size meetings, functions, luncheons and dinners, but due to space constraints it is unable to accommodate the numerous larger scale conferences and symposia that university departments frequently host. These departments then contract with local hotels and the convention center. Since the center is to operate and be maintained on a self supporting basis the inability to reasonably host the 300 to 400 attendee events causes a loss of potential campus dollars to off campus sites, that if able to be captured could help defray the annual operating expenses of the center. The project is expected to be underway by February 2007 and completed by December 2007.

Project Status:

Design documents were approved on 10/17/06. The current schedule for receipt of construction documents and bidding is being maintained. A site visit with the kitchen consultant was held on 10/16/06. Revisions to the kitchen equipment and layout are being made as a result of the visit.

Renovate Vivarium in Central DLAR Facility

Project Description:

This project will renovate the cage washing area, install a robotic cage washing system, and upgrade spaces to animal procedure rooms in the vivarium in the Combs Building. The Combs Cancer Research building is approximately 75,800 gross square feet. The building was

constructed in 1987 and is in good condition. The facility consists of research labs, offices, lab support areas, animal care areas, and animal care support space.

Project Status:

We are awaiting issuance of a certificate of occupancy for this project. The issue that caused delay in occupancy has been resolved. Punchlist items should be complete by the beginning of November. Some change order items remain to be completed. The conveying system purchased by UK for the project has not yet been accepted due to some incomplete and improperly functioning components. CPMD is working with the vendor to resolve these issues.

Student Housing - Supporting Utility Plant Upgrades (Boiler/Chilled Water)

Project Description:

This project includes expanding the Virginia Avenue CUP to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Project Status:

The revised University Title V Permit has been submitted. Final approval of the permit is expected soon. This permit will allow establishment of campus infrastructure priorities.

Upgrade Surgical Services

Project Description:

This project will renovate existing space to develop a Same Day Surgery Intake Unit; will relocate surgical offices adjacent to the operating rooms in the Critical Care Center to expand the Post Anesthesia Care Unit; will develop a Pre-op, PACU, and waiting space in the main hospital building dedicated to pediatric patients; and will renovate the 1st floor surgical waiting area. The current layout and size of unit causes numerous inefficiencies in the department. The amount of PACU beds is too few based on industry standards. This renovation will allow for improved operational efficiencies of the operating rooms and will provide for an improved patient experience with the development of the same day surgery intake unit. This space is connected to the Hospital's central chilled water and steam systems. Completion is scheduled for September 2007.

Project Status:

All ceiling demolition is complete and approximately 75% of the lighting, plumbing and walls have been removed. Temporary lighting has been installed. During the next 30 days the contractor will continue to demo the walls, electrical lines, ductwork and water lines in the space and will begin removing the existing medical gas lines. They will also begin to install new conduit and medical gas lines.

American Cancer Society - Hope Lodge & Office Building

Project Description:

The project entails construction of two buildings for the American Cancer Society. The Hope Lodge, a three story, 34 unit, 25,377 gross square foot residential care facility will provide rooms for cancer treatment patients and their families while they are receiving treatments in Lexington hospitals. The Office Building, a one story, 5,052 gross square foot building will house the local offices of the American Cancer Society. The building complex will be located on Sports Center Drive, also including parking areas for both facilities, a shared terrace between the buildings, landscaping, site lighting and a small parking lot for the existing "K" Association House next door. Completion is scheduled for January 2007.

Project Status:

Both buildings have been enclosed and interior finishes are continuing.

ARS - Agriculture Project

Project Description:

The Forage Animal Production Research Laboratory will serve the Forage Animal Production Research Unit for the USDA - ARS on the campus of the University of Kentucky. The mission of the FAPRU is to improve productivity, profitability, competitiveness and environmental sustainability of forage based enterprises within the transition zone of the Eastern and Midwestern United States. Multidisciplinary teams to work to identify, evaluate, and manipulate genetic and physiological factors, using for the enhancement of food, animal and equine health and performance in forage based enterprises as well as to improve forage plant persistence, quality, and production. Three to four story building with approximately 65,000 gross square feet for research labs, equipment and support rooms, offices and conferences rooms. The program also includes approximately 12,000 gross square feet for greenhouses and head house. The project does not have a firm timeline at this time as construction funding has not yet been earmarked in the federal budget.

Project Status:

ARS consultants have begun Schematic Design. Schematic Design will include utilizing the University's Central Plants for steam and chilled water. ARS will pay for all required utility expenses. No infrastructure for distribution for either of these services was required.

Fit-Up Gill Building Ground Floor - Hospital

Project Description:

This Project encompasses renovations to 5,000 square feet of existing Ground Floor Shell Space within the Gill Heart Institute to accommodate the creation of a new Cardiology Center, including Cardiology Diagnostics and Clinical Research Programs. The scope of work shall include, but not be limited to the following: Cardiology Diagnostic Suite that shall consist of: Waiting room, Interview, Reception, Nurse Station, Prep/Recovery, Patient Toilet/Dress, Soiled Workroom, MRI/CT Control, CT Exam, MRI Exam (1.5T), MRI Equipment (1.5T), Storage, and Cardiology Reading. The Clinical Research Suite shall consist of: Clinical Research Offices, MRI Control, MRI Exam (3.0T), MRI Equipment (3.0T), Clinical Research Workroom, and Staff Toilet. A review of the project by the Director of Hospital Planning and the Capital Project Management Division showed that required imaging equipment and some required electrical/mechanical support services had not been included within the scope of the project. A revised SYP corrects the project budget of the original requested authorization amount.

Project Status:

The project's selection committee interviewed the following design teams on September 27, 2006: Stengel-Hill/CMTA, Kersey & Kersey/BCCLT and Champlin-Haupt/Kohrs Lonnemann Heil. The team selected Champlin-Haupt/ KLH to design this project. A contract has been transmitted for approval. The Hospital is finalizing the selection for the MRI and CT equipment. Design meetings are being scheduled.

Renovate 3rd Floor Lucille Little Library

Project Description:

Upon completion of all phases, this project addresses life safety and ADA concerns in the Little Library in addition to providing permanent instructional and research space for Kentucky's only accredited Library and Information Science program which places graduates in industry, research, non-profit, and government organizations. This project includes final renovations to provide new classrooms, student facilities, faculty offices, and a student technology center for the School of Library and Information Sciences. Approximately 20,380 gross square feet is being renovated in all phases. Construction is expected to take place between April and October 2007.

Project Status:

The request for project design consultants was posted on October 11th, and submittals were due on October 25th. The selection committee met on October 26th to review the submittals and to select the firms to be interviewed. The consultant fees will be negotiated in early November so that the consultant contract can be be routed for approval immediately thereafter.

Replace Steam and Condensate Pipe

Project Description:

This project will replace central steam and condensate piping systems. The Central Utilities Systems of the University of Kentucky have proven to be very economical to maintain and operate. It reduces the construction cost of new building by eliminating redundancy in buildings and reduces the operations cost of new and existing buildings by providing reliable and low cost energy alternatives at central locations. The Central Steam and Condensate system on the University of Kentucky Campus is an extensive layout of underground piping, tunnels, and steam pits. A large portion of this system has deteriorated through corrosion, and much of it is beyond design life expectancy. Condensate returns and steam lines need to be improved. Most of the direct bury steam lines consist of Class A conduit systems, which have deteriorated and corroded to the point that increased loads on the central heating system have been experienced. Repairing these steam and condensate lines will improve the ability of the campus heating system to deliver steam to all campus buildings.

Project Status:

This consultant contract was routed to the LRC for review and approval during their November meeting. A design kick-off meeting was held, and a follow-up meeting was held to determine the extent of piping required to be removed as an abatement due to the asbestos contained in the insulation in the piping. The combined Phase 1 & Phase 2 Review meeting was held the last week of October. The Phase 3 portion of the project will continue through design during November and December.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Commonwealth Stadium Waterproofing/Concrete Sealing

Project Number: 2237.00

Project Description:

Scope: \$1,500,000

This project will reseal the concrete stands of the original stadium, last completed in 1986.

Consultant: Buell, Fryer, McReynolds Engineers

Contract # A061030G

Original Contract Amount: \$27,800

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$27,800

No amendments this quarter.

Contractor: RAM Building Restoration and Waterproofing

*RAM's contract was awarded by RFP process.

Contract # 4500002850

Original Contract Amount: \$1,357,475

Total Change Orders to Date: 1

Cumulative Change Order Amount: \$46,055

Low Bid:

Revised Contract Amount: \$1,403,530

High Bid:

Contract Percent Complete: 100%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order #1, 08/29/2006, was approved for \$46,055.00. Review of ramps and walkways after rainfall indicated the need of additional epoxy leveling fill in the walkways of the stadium.

Construct Biological Pharmaceutical Complex Building

Project Number: 2235.00

Project Description:

Scope: \$119,892,000

Phase I of the Biological Sciences/Pharmaceutical Complex, authorized by the 2005 General Assembly, will construct a shelled building at UK's Research Complex. Phase II will complete the 242,000 gross square foot facility. This new building will provide the college with a state-of-the-art facility that will also allow the college to expand enrollment to address the shortage of pharmacists in Kentucky. The College of Pharmacy will relocate to this new facility and vacate their existing space. In addition to the current Pharmacy Building, college faculty members are housed in 10 buildings on and off campus. Consolidation of the research and teaching expertise of the eighth ranked College of Pharmacy in the U.S. will further strengthen the instruction of students and research collaboration among faculty. The vacated Pharmacy Building will be reassigned to provide needed expansion space for classrooms, research, and faculty to meet the needs of the growing student enrollment at UK.

Consultant: EOP Architects

Contract # A061150/A071060

Original Contract Amount: \$10,585,020

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$10,585,020

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Horticultural Research and Education Facility

Project Number: 2238.10

Scope: \$860,000

Project Description:

Expanded plant science technology will be a major contribution to economic expansion in the Commonwealth. The Horticultural Research and Education Center at South Farm is the key component in the Statewide plan to develop research/extension infrastructure in support of new and existing horticultural industries. The programs through this facility will explore, develop, evaluate, demonstrate, and communicate horticultural crop opportunities through innovative research and educational programs that enhance diversification, profitability, and sustainability of Kentucky farms and landscapes. It will become UK's most visible commitment to enhancing the viability of non-traditional enterprises and family farms. The scope of this project is not yet clearly defined. It will follow and supplement the intent of project #2154.0 - South Farm Improvements. These projects will serve to develop a horticulture research facility on the South Farm. Included in the scope are greenhouse and headhouse facilities, research laboratories, storage building for equipment and pesticides, environmental rooms, etc.

Consultant: UK College of Ag In-House Design

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Construct Student Health Facility

Project Number: 2228.00

Scope: \$24,000,000

Project Description:

University Health Services will be an outpatient clinic with support spaces and some administrative offices. This project will create additional space by constructing a new building of 72,714 gross square feet. The site will be in the courtyard framed by the Wethington Building, Warren Wright Medical Plaza and the Sanders Brown Center on Aging.

Consultant: Omni Architects

Contract # A061070

Original Contract Amount:

\$1,332,600

Total Amendments to Date: 1

Cumulative Amendment Amount:

\$77,203

Describe all amendments :

Revised Contract Amount:

\$1,409,803

Amendment #1, 05/11/2006, an increase of \$77,203.00, was approved for additional programming and design services required for revisions to the BSA study, for adding a high pressure steam line through the Student Health Facility connecting inside the existing AAH Tunnel and to provide more thorough survey and geotechnical reports than originally anticipated. **No amendments this quarter.**

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Design Patient Bed Tower - Hospital [Clinical Enterprise Facilities Master Plan]

Project Number: 2099.00

Project Description:

Scope: \$10,000,000

The Hospital's present patient tower is approximately 40 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate present anticipated technology. This project will provide the site location evaluation, building programming, planning, and design services for a new bed tower. The project design team is lead by GBBN with major sub consultants including AM Kinney, Ellerby-Becket, Staggs & Fisher, Affiliated Engineers, THP Limited as well as a number of specialty consultants. This project provides much of the authority for the design of the Construct Patient Care Facility, Project 2239.0.

Consultant: KSA and GBBN, Inc.

*Multiple consultants have been hired.

The \$ amounts represent the sum of all contracts. See below for breakout.

Contract # K04-137/A051130	Original Contract Amount:	\$978,160
Total Amendments to Date: 4	Cumulative Amendment Amount:	\$8,422,354
Describe all amendments :	Revised Contract Amount:	\$9,400,514
KSA, K04-137	Original Contract Amount::	\$478,160
Total Amendments to Date: 2	Cumulative Amendment Amount:	\$258,500
	Revised Contract Amount:	\$736,660

Amendment #1, 01/11/05, an increase of \$121,000.00 was approved for additional work for a Traffic Study as well as a Parking Study. **Amendment #2**, 07/12/05, an increase of \$137,500.00 was approved for additional consulting services for the School of Medicine and the Ambulatory Care area. **No amendments this quarter.**

GBBN, Inc., A051130	Original Contract Amount:	\$500,000
Total Amendments to Date: 2	Cumulative Amendment Amount:	\$8,163,854
	Revised Contract Amount:	\$8,663,854

A051130, Amendment #1, was approved on 05/10/05. This amendment for \$1,572,700.00 incorporates the agreements reached on the scope of services necessary to develop the Master Planning and Gross Programming through the year 2020 and the Detailed Programming for the first phase 1A of the project. Amendment #2, was approved on 09/13/05. This amendment for \$6,591,154 .00 includes the schematic design services of all elements of the project to include the Hospital and Concourse, the Garage and the Infrastructure as well as full design of the Garage and Infrastructure. **No amendments this quarter.**

Contractor: Design Authority Only

Contract #	Original Contract Amount:
Total Change Orders to Date:	Cumulative Change Order Amount:
Low Bid:	Revised Contract Amount:
High Bid:	Contract Percent Complete:
Number of Bids:	
Describe all change orders greater than \$25,000.00:	

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility

Project Number: 2239.00

Project Description:

Scope: \$450,000,000

This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge as well as land acquisition and utility costs.

Consultant: GBBN, Inc.

Contract # A061080

Original Contract Amount: \$30,400,226

Total Amendments to Date: 1

Cumulative Amendment Amount: \$299,044

Describe all amendments :

Revised Contract Amount: \$30,699,270

Amendment #1, 9/12/06, was approved for \$299,044.00 for additional design services required to design two additional floors for the parking garage. This amendment also provides for additional survey services associated with the rerouting of the ductbank; the addition of 12 receptor sources to be studied as part of the existing wind study; and the need for additional storm water modeling to review the impact on the new Patient Care Facility during heavy rain storm events.

Contractor: Gilbane (Construction Manager At-Risk)

Note: This is a 'CM-At-Risk' contract for Design Phase services only. The contract increased when GMP#1 & GMP#2 were approved. We are reporting on GMP#1 & GMP#2 separately below.

Contract # 4500001350

Original Contract Amount: \$1,000,000

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount: \$1,000,000

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF -Huguelet Drive Extension (GMP #1)

Project Number: 2239.10

Scope: \$4,725,000

Project Description:

GMP#1. This is a portion of the larger Patient Care Facility Project (2239.0). This package will link Huguelet Drive to Virginia Avenue at the current Clinic Drive location. The new road will provide an east-west link from University Drive to the west side of campus at Virginia Avenue. New signalization and bike paths are included to provide additional safety along the route. New entries to existing parking lots will be constructed and perpendicular parking will be removed between University Drive and Rose Street. The entire route will receive new landscaping and way-finding signage that will tie to both the Medical Center and Main Campus standards.

Consultant: Wilbur Smith Associates

Contract # A061050

Total Amendments to Date: 2

Original Contract Amount: \$400,000

Cumulative Amendment Amount: \$62,000

Describe all amendments :

Revised Contract Amount: \$462,000

Amendment #1, 04/11/2006, an increase of \$30,000.00, was approved for additional design services as required for a flashing beacon at the clinic garage entrance and Huguelet Drive as well as street lighting and landscaping for the entire length of Huguelet Drive between Rose Street and University Drive. **Amendment #2**, 06/13/2006, an increase of \$32,000.00, was approved for redesign of utilities in order to leave existing steam lines in place as a cost saving measure. This amendment also provides for the development of a staging plan for the closure of Rose Street thru the final opening of the new hospital to maintain access to the existing entrance to the hospital and the emergency room.

No amendments this quarter.

Contractor: Gilbane Construction Company (GMP #1)

Contract # 4500001350

Total Change Orders to Date: 17

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$4,442,101

Cumulative Change Order Amount: \$104,342

Revised Contract Amount: \$4,546,443

Contract Percent Complete: 60%

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Parking Garage (GMP #2)

Project Number: 2239.20

Scope: \$32,766,968

Project Description:

GMP#2. This is a portion of the larger Patient Care Facility Project (2239.0). This package will construct a seven level parking structure that will have an approximate 1,600 car capacity. The new garage will be located on the west side of Limestone Street, between Conn Terrace and Transcript Avenue. This structure will replace the existing Med Center parking garage that must be demolished in order to tie the new Patient Care Facility to the existing Medical Center. A future pedestrian bridge will connect the new structure to the Patient Care Facility and the design has allowed for the future addition of approximately 15,000 square feet of retail space fronting Limestone.

Consultant: GBBN, Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Gilbane Building Company (GMP #2)

Contract # 4500001350

Original Contract Amount:

\$32,766,968

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

\$32,766,968

High Bid:

Contract Percent Complete:

20%

Number of Bids:

Describe all change orders greater than \$25,000.00:

PCF - Hospital - Cooling Plant #1

Project Number: 2239.31

Scope: \$12,850,000

Project Description:

This is a portion of the larger Patient Care Facility Project (2239.0). This package will provide the University the ability to meet the utility requirements of the new Patient Care Facility. The Central Utility Plant on Press Avenue will be expanded with a new boiler; Chiller Plant No. 1, on University Drive will be renovated with new chillers; new emergency generators will be added to Chiller Plant No. 3 to serve the Patient Care Facility; critical steam and chilled water lines will be replaced and upsized in order to provide the capacity that will be required to service Phase 1A of the Patient Bed Tower.

Consultant: GBBN, Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Hospital - CUP Expansion

Project Number: 2239.32

Scope: \$13,150,000

Project Description:

This is a portion of the larger Patient Care Facility Project (2239.0). The project includes an 18,000 square foot addition to the existing CUP on Press Avenue. The expansion will include provisions for two new 125,000 lb/hr boilers and additional chillers. The structure will include an enlarged cold well and basement in the cooling section. The boilers and supporting equipment will be installed under this project. Chiller purchases are not contemplated at this time.

Consultant: GBBN Inc

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

PCF - Building

Project Number: 2239.40

Scope: \$275,841,945

Project Description:

This is a portion of the larger Patient Care Facility Project (2239.0). The package will contain:

- The demolition of the existing Parking Structure #4. The work will involve the start of all deep foundations up to the grade of the excavation.
- The construction of the new 1,022,000 gross square feet building's structural frame, fireproofing, exterior wall enclosure for all new building spaces, windows, exterior doors and roofs. Also included will be all exterior site improvements, civil design for roadways, drainage systems, landscaping, hardscape surfaces and walks.
- The interior fit-out partitions, interior finishes, doors and frames, ceilings, mechanical systems, electrical systems, technology systems, medical equipment and fire protection for approximately 490,000 occupiable square feet; and 532,000 square feet of shell space. The work also will include drawings and specifications for signage, interior furnishings and lighting.

Consultant: GBBN, Inc

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Expand Cancer Infusion Suites - Hospital

Project Number: 2229.00

Scope: \$1,600,000

Project Description:

This project is for the expansion of the chemotherapy infusion program into the 2nd floor of the Davis Millis portion of the Whitney Hendrickson Building. The existing infusion operation is located in the first floor of the same facility directly below the proposed expansion. The demand for chemotherapy infusion services has exceeded the available clinic space capacity causing patients to use inpatient facilities for outpatient services or to wait for needed treatments. The renovation will also provide urgent treatment cancer services that are not currently provided by the cancer center.

Consultant: Stengel-Hill Architects

Contract # A061060

Original Contract Amount:

\$84,500

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$84,500

No amendments this quarter.**Contractor:** The Wagner Company

Contract # 4500001850

Original Contract Amount:

\$958,484

Total Change Orders to Date: 16

Cumulative Change Order Amount:

\$36,799

Low Bid: \$958,484

Revised Contract Amount:

\$995,283

High Bid: \$1,242,000

Contract Percent Complete:

95%

Number of Bids: 6

Describe all change orders greater than \$25,000.00:**No change orders greater than \$25,000 this quarter.**

Expand Emergency Services - Hospital

Project Number: 2236.00

Scope: \$4,750,000

Project Description:

This renovation project consists of renovating approximately 18,400 sq. ft. of ground floor space in the Critical Care Center. Materials Management and Purchasing Offices will be relocated to expand patient care services in the Emergency Department. The renovation will provide a new and separate Pediatrics Emergency area to include an exterior entrance, waiting room triage and support spaces; additional Emergency Department acute care bays, nursing space and support space; provide a new digital radiology room for exclusive use by the Emergency Department.

Consultant: GBBN, Inc.

Contract # A061090

Original Contract Amount:

\$265,000

Total Amendments to Date: 2

Cumulative Amendment Amount:

\$105,452

Describe all amendments :

Revised Contract Amount:

\$370,452

Amendment #1, 11/08/05, was approved for \$87,500.00 for additional design services to design replacement air handlers, exhaust fans and return fans on the ground floor. It has been determined that the condition and capacity of the existing fans are inadequate to serve the renovated space. Amendment #2, 7/13/06, was approved for \$17,952.00 for additional design services for redesigning the Greeter/Security desk; revised electrical drawings due to additional patient monitoring equipment; revised finishes schedule due to requested changes by the Pediatric Department and commissioning of new Air Handling Units.

Contractor: Eubank & Steele Construction

Contract #

Original Contract Amount:

\$3,594,750

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$3,594,750

Revised Contract Amount:

\$3,594,750

High Bid: \$3,821,000

Contract Percent Complete:

26%

Number of Bids: 3

Describe all change orders greater than \$25,000.00:**No change orders greater than \$25,000.00 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Expand & Upgrade Livestock Disease Diagnostic Lab

Project Number: 2234.00

Project Description:

Scope: \$8,500,000

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The LDDC is an office and laboratory building with net assignable space of 3,860 sq. ft. for offices and 28,100 sq. ft. of laboratories and support space. It is proposed to add 6,300 and 1,200 sq. ft. (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 sq. ft. net).

Consultant: CMW, Inc.

Contract # A061100

Original Contract Amount:

\$648,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$648,000

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Fit Up 4th Floor in BBSRB

Project Number: 2227.00

Project Description:

Scope: \$9,663,925

This project will fit-up approximately 32,750 square feet in the currently "shelled space" on the fourth floor of the BBSRB Building. The fit-up will include wet bench laboratory space, support space, and dry computational labs. The University has received a federal grant award of \$3,685,000 from the National Center for Research Resources Extramural Research Facilities Improvement Program. The space will primarily be utilized by Pharmacology.

Consultant: A.M. Kinney

Contract # A051120

Original Contract Amount:

\$415,000

Total Amendments to Date: 1

Cumulative Amendment Amount:

\$24,000

Describe all amendments :

Revised Contract Amount:

\$439,000

Amendment #1, 04/11/2006, an increase of \$24,000.00, was approved for additional design services required for development of the Organic Synthesis Laboratory space in the southwest corner of the Fourth Floor Wet Lab area of the BBSRB. **No amendments this quarter.**

Contractor: WS Construction

Contract # 4500001420

Original Contract Amount:

\$5,342,000

Total Change Orders to Date: 10

Cumulative Change Order Amount:

\$38,330

Low Bid: \$5,342,000

Revised Contract Amount:

\$5,380,330

High Bid: \$5,657,000

Contract Percent Complete:

66%

Number of Bids: 4

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

KY Swine Development & Training Ctr. Feed Mill (Woodford County)

Project Number: 1698.10

Project Description:

Scope: \$870,000

Revised project will construct a feed mill on the Woodford County Animal Research Center within either the sheep or swine unit. AG Engineering will design the mill utilizing new components and some equipment from the Cold Stream Farm feed mill when it is demolished.

Consultant: UK College of Agriculture in-house design.

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Denham Blythe/Feed & Grain Systems

Contract # X4500002266

Original Contract Amount:

\$917,348

Total Change Orders to Date: 1

Cumulative Change Order Amount:

\$1,725

Low Bid: \$267,348

Revised Contract Amount:

\$919,073

High Bid: \$361,659

Contract Percent Complete:

37%

Number of Bids: 4

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Memorial Coliseum Expansion

Project Number: 2196.00

Scope: \$30,000,000

Project Description:

This project consists of the addition of men's and women's basketball offices, practice facility, weight training, medical support, locker/shower, facilities ticket office, administrative offices, and minor renovation of existing locker rooms. The estimated total cost of the facility is \$30 million, consisting of the \$2.5 million for design plus \$27.5 million for construction. This project involves the design of a 92,300 square foot Basketball Practice Facility to enhance the University's ability to attract top student athletes. The new facility will alleviate scheduling problems at Memorial Coliseum and will provide amenities in line with other NCAA basketball facilities in the country. The practice facility will be connected to Memorial Coliseum, which is located near the intersection of Lexington Avenue and the Avenue of Champions, and will renovate 7,700 square feet to support volleyball, gymnastics, offices and ticketing.

Consultant: Sherman-Carter-Barnhart/HNTB

Contract # A041080

Total Amendments to Date: 0

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$2,000,000

Cumulative Amendment Amount:

Revised Contract Amount: \$2,000,000

Contractor: Messer Construction (Construction Manager-At-Risk)

Note: This is a 'CM-At-Risk' contract. The amount will be increased as sub-contracts are bid and added.

*Messer's contract was awarded by RFP process.

Contract # 4500000169

Total Change Orders to Date: 83

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$25,221,877

Cumulative Change Order Amount: \$531,758

Revised Contract Amount: \$25,753,635

Contract Percent Complete: 81%

Describe all change orders greater than \$25,000.00:

Change Order #27, 03/16/06, was approved for \$65,940.07, to adjust contract amount for actual length of drilled piers over the base length included in the base bid. **Change Order #33**, 03/31/06, was approved for -\$25,240.75 to adjust contract amount after receiving donation from Harrod Concrete & Stone. **Change Order #31**, 04/04/2006, was approved for -\$52,052.66, to delete the cost of finish hardware for Aluminum Doors from the Doors, Frames and Hardware bid as it was already included in another trade contractor's scope of work. **Change Order #32**, 04/04/2006, was approved for \$293,576.97, to provide a flexible security control system to facilitate frequent changes due to turnover of student athletes. Revision primarily consists of replacement of conventional mechanical hardware with stand alone electronic access control hardware. **Change order #75**, 08/23/2006, was approved for \$26,773.54 to install additional light fixtures in the men and womens basketball locker rooms to provide even illumination in these locations. **Change order #88**, 09/22/2006, was approved for \$26,384.92, to provide engraved pavers at front entrance. Donor brick pavers will acknowledge donations to the UK MCE Building Fund.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate - Expand Boone Faculty Center

Project Number: 2251.00

Scope: \$6,000,000

Project Description:

The Boone Faculty Center was built new in 1986 and has had only minor maintenance repairs in the interim. This project will renovate the original 19,561 square feet of the Boone Faculty Center on the Lexington Campus and construct an addition of approximately 3,300 square feet. The center as constructed is able to host small to medium size meetings, functions, luncheons and dinners, but due to space constraints it is unable to accommodate the numerous larger scale conferences and symposia that university departments frequently host. These departments then contract with local hotels and the convention center. Since the center is to operate and be maintained on a self supporting basis the inability to reasonably host the 300 to 400 attendee events causes a loss of potential campus dollars to off campus sites, that if able to be captured could help defray the annual operating expenses of the center.

Consultant: EOP Architects

Contract # A071070

Total Amendments to Date:

Describe all amendments :**No amendments this quarter.**

Original Contract Amount:

\$275,000

Cumulative Amendment Amount:

Revised Contract Amount:

\$275,000

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Renovate Vivarium in Central DLAR Facility

Project Number: 2233.00

Scope: \$2,250,000

Project Description:

This project will renovate the cage washing area, install a robotic cage washing system, and upgrade spaces to animal procedure rooms in the vivarium in the Combs Building. The Combs Cancer Research building is approximately 75,800 gross square feet. The building was constructed in 1987 and is in good condition. The facility consists of research labs, offices, lab support areas, animal care areas, and animal care support space. The building is heated with steam from the coal fueled central plants and the cooling is supplied from the central, electric generated, chilled water plants.

Consultant: BHDP Architecture, Inc.

Contract # A061110

Total Amendments to Date:

Describe all amendments :**No amendments this quarter.**

Original Contract Amount:

\$117,458

Cumulative Amendment Amount:

Revised Contract Amount:

\$117,458

Contractor: Woodford Builders

Contract # 4500002795

Total Change Orders to Date: 17

Low Bid: \$774,000

High Bid: \$832,350

Number of Bids: 2

Describe all change orders greater than \$25,000.00:**No change orders greater than \$25,000.00 this quarter.**

Original Contract Amount:

\$774,000

Cumulative Change Order Amount:

\$41,531

Revised Contract Amount:

\$815,531

Contract Percent Complete:

85%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Student Housing - Supporting Utility Plant Upgrades

Project Number: 2153.30

Project Description:

Scope: \$1,275,000

This project includes expanding the Virginia Ave. CUP to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Consultant: AM Kinney

Contract # A041000GG

Original Contract Amount: \$26,315

Total Amendments to Date: 0

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$26,315

No amendments this quarter.

Contractor: English Boiler & Tube Inc.

*English Boiler's contract was awarded by RFP process.

Contract # X500192

Original Contract Amount: \$245,155

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount: \$245,155

High Bid:

Contract Percent Complete: 98%

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

Upgrade Surgical Services

Project Number: 2231.00

Project Description:

Scope: \$4,500,000

This project will renovate existing space to develop a Same Day Surgery Intake Unit; will relocate surgical offices adjacent to the operating rooms in the Critical Care Center to expand the Post Anesthesia Care Unit; will develop a Pre-op, PACU, and waiting space in the main hospital building dedicated to pediatric patients; and will renovate the 1st floor surgical waiting area. The current layout and size of unit causes numerous inefficiencies in the department. The amount of PACU beds is too few based on industry standards. This renovation will allow for improved operational efficiencies of the operating rooms and will provide for an improved patient experience with the development of the same day surgery intake unit. This space is connected to the Hospital's central chilled water and steam systems (coal or gas fired boilers/chillers).

Consultant: Stengel Hill Architects

Contract # A061140

Original Contract Amount: \$324,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$324,000

No amendments this quarter.

Contractor: Woodford Builders

Contract # 450000004839

Original Contract Amount: \$3,328,150

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$3,280,000

Revised Contract Amount: \$3,328,150

High Bid: \$4,199,700

Contract Percent Complete: 4%

Number of Bids: 5

Describe all change orders greater than \$25,000.00:

No changes orders greater than \$25,000.00 this quarter.

NCR 1

Office of the President
December 12, 2006

Members, Board of Trustees:

APPOINTMENT TO UNIVERSITY OF KENTUCKY
BUSINESS PARTNERSHIP FOUNDATION, INC.

Recommendation: that the Board of Trustees approve the appointment of Sandy Patterson as a Trustee member to the University of Kentucky Business Partnership Foundation, Inc. Board of Directors for a four-year term ending December 31, 2010.

Background: The Bylaws of the University of Kentucky Business Partnership Foundation, Inc., as approved at its meeting on August 25, 1981, require that at least two members shall be from the Board of Trustees and that appointments shall be approved by the Board of Trustees.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

NCR 2

Office of the President
December 12, 2006

Members, Board of Trustees:

APPOINTMENT TO UNIVERSITY OF KENTUCKY
MINING ENGINEERING FOUNDATION, INC.

Recommendation: that the Board of Trustees approve the appointment of Erwin Roberts as a Trustee member to the Board of Directors of the University of Kentucky Mining Engineering Foundation, Inc. for a two-year term ending December 31, 2008.

Background: The Bylaws of the University of Kentucky Mining Engineering Foundation, Inc., as approved by the Board of Trustees at its meeting on December 14, 1982, require that at least two members shall be from the Board of Trustees and that appointments shall be approved by the Board of Trustees.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____