

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, September 16, 1969

Under KRS 164.170 the Board of Trustees of the University of Kentucky met in regular statutory session at 2:00 p. m. , Eastern Daylight Time, on Tuesday, September 16, 1969, in the Board Room of the Administration Building on the campus of the University of Kentucky with the following members present: Mr. Albert G. Clay, Vice Chairman, Mr. William R. Black, Mrs. Rexford S. Blazer, former Governor A. B. Chandler, Mr. Richard E. Cooper, Dr. Harry Denham, Mr. George W. Griffin, Mr. Robert H. Hillenmeyer, Mr. J. Robert Miller, Mr. B. Hudson Milner, Dr. N. N. Nicholas, Mr. James H. Pence, Mr. Floyd H. Wright, non-voting faculty member Professor Paul Sears and non-voting student member, Mr. Tim Futrell. Absent were Governor Louie B. Nunn, Mr. Wendell P. Butler, and non-voting faculty member Professor Robert W. Rudd. President Otis A. Singletary was present as were the following representatives of the administration of the University: A. D. Albright, Glenwood L. Creech, Lewis W. Cochran, George J. Ruschell, Dr. Alvin L. Morris, and Stuart Forth. Members of the news media also attended.

A. Meeting Opened

Mr. Clay, Vice Chairman, presided in the absence of Governor Louie B. Nunn, who was out of the state. The meeting was called to order at 1:55 p. m. and the invocation was pronounced by Mr. William R. Black. Mr. Clay welcomed Dr. Otis A. Singletary, eighth president of the University, who was attending his first meeting with the Board of Trustees since assuming the presidency of the University in August, 1969. Dr. Singletary thanked Mr. Clay and the Board members for the opportunity given him to serve as president of the institution and pledged his best efforts to provide the kind of leadership which the Board desired. Since only two voting members of the Board were absent, a quorum was declared present, and Mr. Clay announced that the meeting was officially open for the conduct of business at 2:00 p. m.

B. Resolution on Dr. Kirwan Presented by Governor Chandler

At the request of the Chairman, former Governor A. B. Chandler read a resolution prepared by a committee composed of Governor Chandler, Chairman, Mr. Robert Hillenmeyer and Dr. Paul Sears commending Dr. Kirwan for his leadership as seventh President of the University of Kentucky. A copy of the resolution appears at the end of the Minutes.

Following the reading of the resolution, Governor Chandler moved that it be accepted by the Board, spread upon the Minutes of the meeting, and copies sent to President Kirwan. The motion was seconded by Dr. Denham and passed unanimously. (See resolution at the end of the Minutes.)

C. Secretary of the Board Elected

Mr. Clay explained that it had been necessary to elect a Secretary to replace Mrs. Blazer for a brief period during the summer months (see action taken by Executive Committee of the Board of Trustees on June 16, 1969). Mrs. Blazer was now able to serve again and, on motion by Dr. Denham, seconded by Governor Chandler, and passed without dissent, Mrs. Blazer was elected Secretary of the Board of Trustees to replace Mr. Bruce Lankford who had served on a temporary basis during June and July.

D. President's Report to the Trustees

President Singletary called attention to PR 1, a copy of which was in each member's folder, and recommended that the Board members read this report of activities of University personnel since the last meeting of the Board on July 28, 1969. Because of a full agenda, he explained that he would not discuss the report with the members unless they desired him to do so.

Mr. Clay accepted the report on behalf of the Board and ordered it filed.

E. Recommendations of the President (PR 2)

Since PR 2 was mailed to members of the Board in advance of the meeting, President Singletary indicated he would be glad to answer any questions but first wished to call attention to two recommendations: (1) the appointment of two departmental chairmen, Professor A. J. Hiatt as Chairman of the Department of Agronomy in the College of Agriculture, and Professor Charles W. Hultman as Chairman of the Department of Economics in the College of Business and Economics; and (2) the retirement of three long-time employees of the University, Miss Martha V. Shipman, Miss Marietta G. Meeks, and Miss Jane Earle Middleton, whose total service to the University came to over 126 years.

There being no questions, on motion by Governor Chandler, seconded by Mr. Black, and passed without dissent, PR 2, Recommendations of the President, were approved as a whole and ordered made an official part of the Minutes of the September 16 meeting of the Board of Trustees. (See PR 2 at the end of the Minutes.)

F. Supplemental Recommendations of the President (PR 3)

PR 3, Supplemental Recommendations of the President, as its name indicates, contains items which require Board approval but which are received too late for inclusion in PR 2. Dr. Singletary indicated that Items I and III in the

report were routine matters but did call attention to Item II which recommended that Mr. A. B. Hancock be appointed as a member of the University of Kentucky Development Council. In addition, he explained that Item IV, approval of an agreement between the University of Kentucky and the University of Kentucky Research Foundation, would result in clarification of the relationship between the two corporations in regard to monies received by the Foundation as reimbursement for indirect expenses incurred in carrying out contract agreements with sponsors of research projects. This agreement, in conjunction with an agreement between the Board of the University of Kentucky Research Foundation, First Security National Bank and Trust Company, and Commonwealth Life Insurance Company, would satisfy the major points raised relative to the legality of the purchase of Maine Chance Farm by the University of Kentucky Research Foundation in a suit filed by former Attorney General Robert Matthews on October 18, 1967.

On motion by Mr. Hillenmeyer, seconded by Mr. Cooper, and passed unanimously, PR 3 was approved as a whole and ordered made an official part of the Minutes of the September 16 meeting of the Board of Trustees. (See PR 3 at the end of the Minutes.)

G. 1969-70 Budget Revisions Authorized (PR 4)

Dr. Singletary explained that the budget revisions recommended in PR 4 resulted from increased income over anticipated income when the 1969-70 internal budget was approved and recommended approval of the proposed revisions.

On motion duly made, seconded, and carried, the revisions in the 1969-70 internal budget, as set forth in PR 4, were authorized and approved. (See PR 4 at the end of the Minutes.)

H. Future Board Meeting Dates Approved (PR5)

It being the desire of the Board of Trustees to meet monthly rather than quarterly as specified by Statute, Mr. Clay asked the members to establish the dates given in PR 5 as official dates for meetings of the Board of Trustees for the remainder of the fiscal year. Such action would eliminate the necessity of the Governor issuing special calls for meetings other than statutory meetings. On motion by Dr. Denham, seconded by Mr. Wright, and passed, the dates as set forth in PR 5 were established as official meeting dates for the 1969-70 academic year. (See PR 5 at the end of the Minutes.)

I. Approval of Degree Candidates (PR 6)

The next item on the agenda was approval of the individuals who completed work for degrees in August 1969. Dr. Singletary pointed out that the list included

631 persons, of whom 321 would receive graduate degrees, 6 professional degrees, and 304 undergraduate degrees.

On motion by Mr. Milner, duly seconded, and passed, the President was authorized to confer upon each individual whose name appeared on the list presented as a part of PR 6 the degree to which he is entitled at the commencement exercise on May 11, 1970. (See PR 6 at the end of the Minutes.)

J. AAUP Letter Transmitted to Board of Trustees

President Singletary said that at the request of the President of the American Association on University Professors, he was transmitting a letter from the AAUP addressed to members of the Board of Trustees relative to the changes made in the Student Code by the Board of Trustees at its meeting on July 28, 1969. A copy of the letter follows:

AMERICAN ASSOCIATION OF UNIVERSITY PROFESSORS

UNIVERSITY OF KENTUCKY CHAPTER

LEXINGTON, KENTUCKY

September 4, 1969

To The Board of Trustees
University of Kentucky

The U of K Chapter of AAUP, after careful examination of the changes made in the Student Code by the recent action of the Board, wishes to express its profound concern and disappointment over both the content of these changes and the procedures involved in effecting them. The adoption of the original Code was the culmination of almost one full year of deliberation by all segments of the University—the faculty, the students, the administration and the Board. It resulted then in as near a consensus as is possible. Although the action of the Board in reviewing the Code was a consequence of the request of the Faculty Senate for certain changes, most of the substantive changes embodied in the new Code were neither envisioned nor even contemplated by the Faculty Senate and were therefore made without such consultation or consensus. We recognize that the Board and its Committee felt the pressure of time to meet the new school year. A period has now arrived when reflection and consultation are possible. Accordingly, we urge that the Board request the President, the Faculty Senate,

and the Student Government, as well as the Office of Student Affairs, to submit their comments and recommendations on the changes. From such full consideration by all segments of the University, we can hope again to achieve the consensus accorded the original Code.

On behalf of the Board of Trustees, Mr. Clay accepted the letter from President Singletary and recommended that it be referred to the Executive Committee of the Board for review and recommendation. Governor Chandler so moved. His motion was seconded by Mr. Wright and passed unanimously.

K. Summary Audit Report for the University of Kentucky for 1968-69
Accepted (FCR 1)

Dr. Denham, Chairman of the Finance Committee, indicated that the summary audit report of the financial records of the University of Kentucky for 1968-69 as submitted by the firm of Peat, Marwick, Mitchell and Company indicated nothing out of the ordinary and recommended that it be accepted. Dr. Denham added that a management letter would be forthcoming at a later date. Inasmuch as the report had been in the hands of the members of the Board several days prior to the meeting, and there being no questions, on motion by Dr. Nicholas, seconded by Mrs. Blazer, and passed, the summary audit report was accepted without dissent. (See FCR 1 at the end of the Minutes.)

L. Resolution Relative to Sale of Consolidated Educational Buildings
Revenue Bonds, Series C, Adopted (FCR 2)

Dr. Denham reported that the Finance Committee had considered the Resolution relative to the sale of Consolidated Educational Buildings Revenue Bonds, Series C, and recommended its adoption. He asked Mr. Ruschell to brief the Board on the need for the recommended action.

Mr. Ruschell said that the Resolution recites the history of the proceedings relating to the Series C Bonds, acknowledging that the \$103,000 of Series C Bonds which became due last May 1 cannot be sold, and provides for a public sale of the remaining \$4,290,000.

The University has previously committed that it would sell the entire \$33,643,000 of the Series C and Series D Bonds, in order to give assurance to Note purchasers that there would be a source of payment of the Notes. Inasmuch as the University cannot sell the \$103,000 of past due Bonds, the Resolution provides that the covenant be made good by the appropriation of \$103,000 in cash from monies in the "Revenue Fund" to the extent of any surplus in that fund after meeting the Bond requirements of the Series A and Series B Bonds.

The Resolution further provides for the establishment of a special fund in the custody of Chemical Bank, New York, New York, into which there is to be deposited for payment of the Notes which will become due in December, 1969, (a) \$4,290,000 from the proceeds of the Series C Bonds, (b) the \$103,000 cash appropriation, and (c) enough from the proceeds of the Second Renewal Notes (or from other sources) as will be sufficient to pay off the Notes which will become due in December, 1969.

In conclusion Mr. Ruschell made the following recommendation:

That the Board of Trustees, in order to (a) make payment on certain Renewal Notes which will mature on December 12, 1969, in accordance with the Series C and Series D Resolution which was adopted on November 27, 1967, and (b) renew the balance of said Renewal Notes by authorizing and offering for sale the Board's "Revenue Bond Anticipation (Second Renewal) Notes" in such principal amount as will be sufficient (supplemented by funds from other sources or not, as the Board may hereafter determine), to (1) provide for payment in full of the Renewal Notes, either at maturity on December 12, 1969, or upon call for redemption on December 11, 1969, and (2) provide for the deposit with the Paying Agent which may be designated for said Second Renewal Notes, in escrow, of the entire amount of interest which will accrue on such Second Renewal Notes from the date thereof to the date of maturity thereof, authorize the sale of Consolidated Educational Buildings Revenue Bonds, Series C, in the amount of \$4,290,000 by the following action:

1. Adopt the Resolution (a) providing for the offering at public sale of the Bonds heretofore authorized, Consolidated Educational Buildings Revenue Bonds, Series C, in the amount of \$4,290,000 and for disposition of proceeds thereof, and (b) providing and making available for payment of certain Renewal Notes which matured on May 1, 1969, and are now past due, the sum of \$103,000 in cash, to supplement the proceeds of the remaining \$4,290,000 of the Series C Bonds. (Copy attached at the end of the Minutes.)
2. Approve substantially the form of Notice of Sale of Bonds concerning the submission of sealed competitive bids for the purchase of the Series C Bonds. (Copy attached at the end of the Minutes.)
3. Approve substantially the Official Bid Form to be used. (Copy attached at the end of the Minutes.)
4. Approve substantially the Official Statement relating to the Series C Bonds. (Copy attached at the end of the Minutes.)

The motion to approve Mr. Ruschell's recommendation was made by Dr. Denham and seconded by Mr. Hillenmeyer. The following members voted aye: Mr. Clay, Mr. Black, Mrs. Blazer, Governor Chandler, Mr. Cooper, Dr. Denham, Mr. Griffin, Mr. Hillenmeyer, Mr. Miller, Mr. Milner, Dr. Nicholas, Mr. Pence and Mr. Wright. Voting no: None. (See FCR 2 at the end of the Minutes.)

M. Dr. Albright Recognized

Mr. Clay recognized Mr. Griffin who said that since this was the last meeting of the Board of Trustees Dr. Albright would attend before his departure for a year's leave of absence in Belgium, he wished to thank him on behalf of the Board of Trustees for the contributions he has made in his role as Executive Vice President, to wish him well during the coming year, and to say that he would be missed. Mr. Clay endorsed Mr. Griffin's statement and added his personal thanks and appreciation to those of Mr. Griffin.

Dr. Singletary said that Dr. Albright had been most helpful and co-operative since his arrival and he hated to see him go. He added that a vice presidency would be awaiting him upon his return in the summer of 1970.

N. Statement by Mr. Clay

For the benefit of the students and others who were at the meeting, Mr. Clay said that he would like to assure them that the Board of Trustees are not unmindful of their responsibilities to the students, the members of the faculty, the legislature, and the taxpayers of the Commonwealth. The Board is open at all times to receive any valid recommendation from any recognized body or students on campus through the Vice President of Student Affairs or the President. This Board acts at all times in the best interests of the University.

O. Meeting Adjourned

There being no further business to come before the meeting, Mr. Clay called for a motion for adjournment. The motion being duly made, seconded, and carried, the meeting adjourned at 2:17 p. m.

Respectfully submitted,

Lucile T. Blazer
Secretary, Board of Trustees

(Kirwan Resolution, PR 2, PR 3, PR 4, PR 5, PR 6, FCR 1 and FCR 2 which follow are official parts of the Minutes of the September 16, 1969 meeting of the Board of Trustees.)

The Board of Trustees of the University of Kentucky today pays tribute to:

ALBERT DENNIS KIRWAN

Seventh President of the University. Serving as president from August 10, 1968 until August 18, 1969, Dr. Kirwan in one short year earned for himself the admiration, love and respect of students, faculty, and friends alike, and his nationwide reputation as a scholar and an administrator gained added prestige.

A man of integrity and high ideals, calm and judicious, wise and understanding, gentle yet firm, modest and unassuming, kind and thoughtful, a true friend and loyal public servant, Albert Kirwan possesses in full measure all those attributes which make for greatness. The tower, so appropriately named for him, stands no taller than does the man.

He assumed the presidency at a time when the University was suffering from growing pains and feelings of uncertainty over the future leadership of the institution. With the help of his lovely and charming wife, Betty, Dr. Kirwan brought to the campus a feeling of serenity and peace, renewed confidence and strengthened morale. They extended the hospitality of Maxwell Place to students, faculty and friends of the University who gathered there to enjoy the warmth and graciousness of their home. A couple who share the same interests and the same love of people, Betty and Albert Kirwan make an unbeatable combination.

President Kirwan, the Board of Trustees recognizes what you have meant to the University of Kentucky throughout the more than thirty years that you have been a part of it. As a student, Head Football Coach, professor and researcher, Dean of Students, Dean of the Graduate School, and President, you have given of your best always and you have played a major role in the growth of this institution from a regional university to a university of national repute. Your love for the University has been evident throughout the years and your willingness this past year to sacrifice your personal wishes for the good of the institution is deeply and genuinely appreciated. The wisdom of the Board's appointment of you to head the institution during the interim period between presidents has been amply borne out by the magnificent job which you have done.

Although we are saddened that our paths must now diverge, we are grateful that we have had the opportunity to work with a man of your caliber, truly a gentleman and a scholar. As you relinquish the presidency of the University to take up other duties and responsibilities, we say auf Wiedersehen but not good-bye for you will always be a part of this Board and of the University of Kentucky.

PR1

PRESIDENT'S REPORT TO THE TRUSTEES

September 16, 1969

1. RECORD ENROLLMENT IS AT 27,920

The University enrolled 27,920 students for the fall semester. A record enrollment, it includes 16,175 students on the Lexington campus (including the Lexington Technical Institute), 10,129 in the 14 community colleges, 816 in the evening class program and 800 in the extension class program. A breakdown by classification of students on the Lexington campus shows 3,636 freshmen, 2,987 sophomores, 3,344 juniors, 3,472 seniors, 2,171 graduate students, and 46 auditors.

Individual colleges and schools list the following: Agriculture, 476; Arts and Sciences, 6,629; Business and Economics, 1,268; Education, 2,240; Engineering, 1,050; Graduate School, 2,171; Law, 421; Pharmacy, 190; Architecture, 281; Allied Health, 216; Home Economics, 287; Nursing, 253; Medicine and Dentistry, 512, and the Lexington Technical Institute, 175. Enrollment in the community colleges: Ashland, 1,001; Elizabethtown, 627; Ft. Knox, 445; Hazard, 244; Henderson, 610; Hopkinsville, 472; Jefferson at Louisville, 2,148; Madisonville, 239; Maysville, 326; Northern at Covington, 1,499; Paducah, 1,060; Prestonsburg, 429; Somerset, 575; Southeast at Cumberland, 454. Dean of Admissions Elbert W. Ockerman pointed out that the figures represent a 5.2 per cent increase in the Lexington enrollment over last year's figure of 15,445. Freshman enrollment is up 12.1 per cent, graduate student enrollment is up 3.5 per cent and community college transfer students up 29 per cent. Males outnumber females on the Lexington campus--9,277 to 6,379--excluding 519 students the computer failed to categorize. The School of Home Economics also noted a record enrollment of three males registered in its program.

2. ASHLAND OIL UNDERWRITES PROFESSORSHIPS, GRADS

The College of Engineering has received from the Ashland Oil Foundation a commitment to support partially and on a five year plan, two professorships in the amount of \$5,000 each per year plus two graduate fellowships, each in the amount of \$3,000 a year. The recipients of the graduate fellowships will be known as Ashland Oil Fellows, according to Dean Robert Drake. In response to the commitment, the University addressed a letter to Paul G. Blazer Jr., of the Ashland Oil Foundation, Inc., in which the action was termed "a magnificent gesture of leadership and good corporate citizenship that will greatly assist this institution in its move toward a new era of greatness."

3. GREEKS INVOLVED IN MANY COMMUNITY ACTIVITIES

Fraternities and sororities, although a minority--about 2,500 in a student body of over 16,000--are painting a picture of community service these days. The help fraternities and sororities offer the community consists primarily of public-service work. All participate in the United Heart Fund Drive. Each group receives a certain number of houses to solicit on Heart Sunday, and individual members go door-to-door. This concentrated effort by the Greeks requires only time and leg-work, but due to the help of the Greeks, the Heart Fund drive is one of the most successful in the Lexington-Fayette County area. A majority of the fraternities and sororities have their own public service programs. One institution occupying the time of the Greeks is the Cardinal Hill Crippled Children's Hospital. Kappa Delta sorority has established a Girl Scout troop there and Alpha Gamma Delta sorority and Delta Tau Delta fraternity are frequent visitors, giving parties for the children whenever possible.

Another project, by Alpha Chi Omega sorority and Alpha Gamma Rho fraternity, is Blue Grass Boy's Ranch. The two groups, noticing the large number of dogs at the ranch, built a dog run and a dog house. Working together, they dug a foundation for a new barn. Kappa Sigma fraternity also has aided children from Boy's Ranch and the Lexington Orphans Home. They invited 15 from Boy's Ranch to their house for a Christmas party, and during Easter conducted an egg hunt for children from the orphanage. Sigma Chi fraternity collaborated with Delta Delta Delta sorority and gave a party for pupils at the Booker T. Washington School. Other fraternities and sororities give parties for school children on various occasions. Chi Omega sorority worked with Delta Tau Delta fraternity to refurbish the playroom at Christ Center in Lexington. They also gave a party, with Phi Gamma Delta fraternity, at Shriner's Hospital. Kappa Alpha Theta sorority held classes in arts and crafts three times a week last semester at the Lexington Salvation Army Home. They also worked at the Manchester Home for Girls. Lambda Chi Alpha fraternity serves the community in different ways. They donate the proceeds from their pushcart derby to charity. Last semester they kidnapped a number of sorority house-mothers and demanded food for their ransom. With the ransom food they were able to feed many needy families. One of the most widely-recognized public service programs is the community relations program developed by Sigma Alpha Epsilon fraternity. Their round-table type discussions, featuring noted speakers, has helped bring to the attention of the campus and community many problem areas.

4. ELECTRONIC HOMING DEVICE DEVELOPED BY ENGINEERS

A crime detection device, designed by a professor of electrical engineering and developed by one of his students, has been demonstrated by the College of Engineering. It is a tiny transmitter that produces "silent" signals and recently was exhibited for Lexington police during a display of crime detection devices at Stoll Field. A receiving set picks up "beeps" from the transmitter, thereby indicating the direction and location of the transmitter. It can be used in numerous ways as a countermeasure to crime, according to Prof. John Jackson, who designed it. The device was developed by Gary Hawley, a senior from Central City, who is majoring in electrical engineering. "A magnetic switch keys the transmitter on automatically. It can be used as a silent burglar alarm or as an effective deterrent to shoplifting," Prof. Jackson said.

5. PHYSICAL PLANT DIVISION HONORS 272 EMPLOYEES

A total of 272 persons employed for five or more years by the Physical Plant Division received pins in the first recognition ceremony held by the division in 11 years, on August 1. President and Mrs. A. D. Kirwan presided at the ceremony in the newly-refurbished Memorial Hall, and Mrs. Kirwan presented the pins. The employee with the longest service--43 years--in the division is R. C. Stephenson, superintendent of plumbing.

Besides Stephenson, pins for 25 years or more service were presented to R. A. Berryman, A. Givens, O. L. Kidwell Jr., J. W. Kirkland, F. D. Maupin, W. Maxberry Sr., T. C. Oakes, L. Poole, R. R. Reed, R. Reynolds, E. Sanders, P. Sanders, J. W. Shackelford, W. Smith, A. C. Suter, H. Van Outer and J. E. Wood. Twenty year pins were given to: E. L. Able, E. K. Bolton, M. A. Bradshaw, R. B. Briscoe, J. A. Brumfield, R. Brumfield, J. W. Calhoun, C. Conn, C. M. Dunn, G. C. Erhart, W. R. Fain, R. M. Figgs, W. N. Fulton, H. T. Gabby, J. T. Gess, C. W. Grace, C. R. Greathouse, A. Hall, J. H. Hill Jr., L. E. Hill, A. M. Kegley, H. Kidwell, O. W. Kirland, O. L. Kirtley, T. R. Looney, J. H. Long, J. K. Marks, H. E. McClure, J. Q. Miller, H. B. Overstreet, C. G. Patterson, H. A. Shelby, S. B. Sparks, E. Thompson, J. F. Walker, O. Walling, G. P. White, J. P. White and L. G. Younger. James E. Wessels is director of the division.

6. TRAINING FOUNDATION PLANS FOR ADULT POST-SCHOOL DEVELOPMENT

Board member Mrs. Rexford Blazer is a member of the board of directors of an organization that hopes to upgrade the caliber of the worker in Kentucky. Incorporated earlier this year, the Kentucky Training and Development Foundation has taken the responsibility to plan and implement the teaching of certain skills via television, film or slide presentation. Established pursuant to the development of Kentucky Educational Television, KTDF's purpose is directly related to the latter. It was "formed to marshal the state's resources--public and private--to help meet the occupational training and development needs of the people." A statement of purpose published by KTDF says it currently is working in the area of post-school training and development. Programs now envisioned as needed include preparation for high school equivalency tests, skills training, and training for improved proficiency in management, the sciences and professions. "KTDF is a non-profit corporation whose purpose is to develop and maintain a total statewide program," says Barney Stanley, assistant to Kentucky Commissioner of Commerce Paul Grubbs.

7. ECONOMIC IMPACT OF HAZARD COLLEGE IS NOTED

A recent study notes the economic impact Hazard Community College is having on the Hazard area. Its full economic impact has not yet been realized, says the researcher, William R. Miller, Resource Development Specialist in Community Services at Quicksand. Miller's study of college and student expenditures points out the value of Hazard Community College to the community, not only as a cultural asset, but "as a great economic asset as well." Miller compiled the facts with the cooperation of the faculty, staff, and a sample of 21 students who recorded their purchases over a 7-day period last April. As a result of the presence of the college, he said, an additional \$17,500 is placed in circulation in Hazard each month. Students spend \$3,916.64 a month for such items as meals, clothing, medical care, recreation, educational supplies, transportation, postage, telephone, and personal items. In addition, 32 students receive \$2,486.00 each month by participating in the work-study program. This does not include fees paid by the students to cover tuition.

To this sum is added the faculty and staff salaries of \$13,100, and maintenance and miscellaneous operations (\$471), bringing the total to approximately \$17,500 being funneled into the local economy each month. This is approximately \$200,000 annually which does not take into consideration \$40,000 received in tuition and fees from the students. Miller pointed out that Hazard has a new "smokeless industry-money multiplying itself many times as it flows through the normal channels of business."

8. UNIVERSITY IS TURNING OUT MORE TEACHERS

Kentucky this year produced a record number of 5,845 new teachers, 972 more than last year. A spokesman for the State Department of Education at Frankfort noted, however, that "we still have shortages in some areas and an oversupply in others." The Department's report showed the University of Kentucky graduated 960 teaching candidates, followed by Morehead State University, 790; Murray, 781; Western Kentucky, 771, and Eastern Kentucky, 701.

9. ANTHROPOLOGISTS CONTINUE SALVAGE WORK AT DAM SITES

A salvage program in the Licking River area directed by Dr. Philip Drucker, professor of anthropology, to have been completed early this month, is an example of the type salvage work carried on for several years by the U.S. Army Corps of Engineers at proposed reservoir sites throughout the country, designed to rescue archeology materials before the reservoirs are filled. Licking River diggings, contracted by the U.S. Parks Service, is in the area soon to be covered by the Cave Run Reservoir, in parts of Bath, Rowan, Menifee and Morgan counties. The salvage "party" of five students and their supervisor, William H. Marquardt, Tampa, Fla., graduate student in anthropology, has been looking for items which provide information about the pre-historic occupation of that area by Indians, Dr. Drucker said. The students worked from their camping site in Bath County.

10. BIOMEDICAL ENGINEERING COOPERATION IN THEMIS PROJECT

A study of "Physiological Responses to Environmental Stress", has begun here in a program sponsored by Project Themis of the Department of Defense. Themis is a special DOD plan for creating centers of research excellence which bring together a group of scientists to attack several facets of a major scientific or engineering problem. Past collaborative research between members of the Colleges of Medicine and Engineering created the interdisciplinary ties leading to formation of the group which obtained the contract.

The project is directed by Dr. Ernest McCutcheon, program manager, and assistant professor in the Department of Physiology and Biophysics. There are 16 faculty members in eight departments taking part in the study: Drs. Lange, Lafferty, Funk, and Knapp of Mechanical Engineering and the Wenner-Gren Laboratory; Drs. Cosgriff and Collins of Electrical Engineering; Dr. Hamrin of Chemical Engineering; Dr. Shabetai of Medicine; Drs. Griffen and Belin of Surgery; Dr. Haley of Behavioral Science; Dr. Morrow of Anesthesiology, and Drs. Zechman, Boyarsky, Fraser, and McCutcheon of Physiology and Biophysics.

The principles of biology, medicine, and engineering are focused on analysis of the effects of a number of stresses on body function. Particular stresses to be studied in this project are heat, vibration, acceleration, and low blood volume, including shock. These are some of the factors affecting the bulldozer operator working in the July heat of Lexington, as well as an astronaut. The project also is designed to aid in the preparation of more persons who have understanding and competence which bridge both engineering and the medical sciences, and who can communicate effectively with those working in both fields. Therefore support for graduate students is an integral part of the program and when fully funded the project will support up to 12 graduate students. The funds are administered through the Air Force Office of Scientific Research, Washington, D. C.

11. SCHOLARSHIPS HONOR DR. KIRWAN, MISS KING

One of two scholarship funds established at the University honors Dr. A. D. Kirwan and Miss Helen G. King. The other is in memory of a graduate of the Class of 1901. J. Anthony Dishman, board chairman of a Louisville automobile agency, provided an endowment honoring Dr. Kirwan and Miss King, director of the Alumni Association, 1943-1969, for their service to the University. Dishman stipulated that the benefits of the endowment be made available on a basis of priority to a student from Bellewood, the Presbyterian Home for Children at Anchorage. Active in the K-Men's Association, the donor was captain of both the football and basketball teams in 1918-19. Henry T. Bewlay, who earned a degree in mechanical engineering in 1901, is remembered in a scholarship fund established by his widow, Mrs. Victoria H. Bewlay, Akron, Ohio. At the time of his retirement, Bewlay was vice president and chief engineer of Imperial Electric Co., Akron. He died in January, 1969. The endowment will provide an annual income for the Henry T. Bewlay Scholarship, to be made available to a deserving student majoring in electrical engineering. Both scholarship funds become available in the fall of 1970.

12. ODS PROVIDES NEW SERVICE TO CITIES

Rising expectations by Kentucky citizens have created demands for better water supply and sanitation facilities, police and fire protection, as well as other services, says Dr. Harold K. Charlesworth, associate dean for extension, and director of the Office of Development Services and Business Research at the University of Kentucky, which has established the program of technical assistance to municipalities. The service is financed by state and federal funds and operates in cooperation with the Kentucky Municipal League and local finance office of the Kentucky Department of Finance. Dr. Charlesworth listed the services as advice and training to local officials and employees. It offers, without charge, a general governmental consulting service, formal training in public fiscal management and accounting, and a budgetary accounting service. "We anticipate that cities of the third, fourth and fifth class will be the principal beneficiaries," said Dr. Charlesworth, "although assistance is available to any Kentucky municipality."

13. AGRONOMY DAY ATTRACTS 1000 PERSONS

Over 1,000 persons from every part of the Commonwealth and seven neighboring states attended the College of Agriculture's agronomy field day August 3 on Maine Chance and Spindletop Research Farms. The visitors were taken on wagon tours and shown the latest techniques and/or research on tobacco, corn, forage production and an exhibit of tobacco machinery. A corps garden was among the stops, featuring plants not native to Kentucky--sugar beets, sugar cane, rice, kenaf, crambe and many other oil and fiber crops. These plants could, agronomists feel, have economic significance to Kentucky agriculture. The demonstration's purpose was to show them growing in this region and acquaint Kentuckians with these crops. One of the highlights was an aerial seeding demonstration.

Demonstrations on how corn hybrids are developed, nitrogen and zinc fertilization and weed control were also featured in the corn plots. On the tobacco tour, visitors saw a plot of tobacco planted directly into killed bluegrass sod, wild tobacco species, new tobacco varieties, weed and insect control measures, black root rot studies and early blooming of tobacco and its prevention. The Agricultural Engineering department displayed its experimental tobacco harvester, curing pallets, rack wagons and plant setter.

14. PADUCAH AREA UNION MEMBERS BACK TO SCHOOL

An eight-week course in "Effective Communications" began yesterday at Paducah Community College for union members in the Paducah area. Classes will be for two hours each night, one night a week. The course was set up by Prof. William A. Tolman, director, Development Services, Labor Education Center, through the cooperation of Henry C. Lake of the Kentucky State AFL-CIO. The course will be the first of a series of labor education programs in the area.

15. DR. TISDALL REPORTS ON VISIT TO CEYLON FOR CDC

Dr. William Tisdall, chairman, Department of Special Education, has returned from a visit to Ceylon for the Center for Developmental Change and the College of Education. The purpose of his trip was to visit institutions for physically handicapped, deaf, and mentally retarded children in that country where Peace Corps volunteers will be placed for a two-year period beginning next January. The Center for Developmental Change will train volunteers for the Peace Corps, on the Lexington campus, during the present semester.

Dr. Tisdall reports that the government of Ceylon--a politically neutral parliamentary government--feels "very positively about the assignment of American Peace Corps volunteers to these institutional facilities." Meeting with both Mr. Fonseka, permanent secretary of the Ministry of Social Services of the Government of Ceylon, and U.S. Ambassador Andrew Corry, Dr. Tisdall learned that Peace Corps volunteers can play a significant and effective role in the establishment of new services for such programs in developing countries. Ceylon, an island country off the southeast coast of India, has a population of 12 million in a geographic space approximately the size of West Virginia. Handicapped children generally are well cared for in the over 300 institutions in the country, he reports, but training programs in most instances are either non-existent or just in their beginning stages. The mission of the Peace Corps volunteers will be to assist in making permanent improvements in the long range programs of the institutions, he adds.

16. TV TEACHING DRAWING CAMPUSES TOGETHER

Television is tightening the bonds of the University and the community colleges. Videotaped courses--some previously unavailable at the two-year schools--are being prepared at the new TV center on the Lexington campus. One course in demand at several community colleges is Ag 106, a basic agriculture course, being videotaped by Dr. C. Frank Buck and Dr. Arthur Rudnick Jr., both in the Department of Animal Sciences. Forty-eight 30-minute programs will be available this fall--and eventually to all 14 community colleges--to be taught under the direction of a moderator at each local college and on the central campus. The two instructors, who have team-taught Ag 106 for eight semesters, are optimistic about television's role as a teaching tool. Dr. Rudnick feels the course will fulfill a demand in the community colleges and "in an economic way." Last fall, he divided his time between the Lexington campus and Somerset Community College, where he taught Ag 106 to 29 students. "Driving there twice a week," he explains, "I logged over 5,000 miles in four months." This fall he will spend less money for gasoline and more time in Lexington, where he and Dr. Buck will teach the introductory course to about 175 students. Dr. Buck believes the videotape method can be more personal than a live classroom delivery. "Teaching is at its best on tape," he says, "because the instructor--by making eye contact with the camera lens--can work on a one-to-one relationship with each individual student."

17. SUMMER PROGRAM AT MED CENTER FINDS HEALTH CAREERS RECRUITS

Practically all the 23 high school and college students who this summer participated in an eight-week medical sciences program at the Albert B. Chandler Medical Center registered positive reactions. After their working experiences in the labs and clinics, many now definitely plan to enter health careers. Others, since learning about the variety of jobs available, are giving serious consideration to medical work. For the sponsors of the program, the Student American Medical Association (SAMA) chapter, these reactions spell the first stages of success for their endeavor. According to a survey on racial minority groups in medicine by Dr. Roy K. Jarecky, associate dean for admissions and student personnel, the country's 101 medical schools face a problem of "achieving real contact with racial minority group students," a step which must precede "a recruiting effort of any consequence." Under the leadership of two second-year medical students, recruitment efforts for the program were made through the Lexington CALF office and the Council of Neighborhood Organizations. In addition, college-level participants were sought through Kentucky State College in Frankfort and other state institutions of higher learning. The participants varied in background, age, interests, and educational level. They included six college students, from Kentucky State, UK, Morehead State University and Murray State University; seven local June high school graduates, and 10 Lexington high school students. Ages ranged from 16 to 20. The main criteria by which students were chosen were, first, that they have an interest in medicine, and secondly, that they have a desire for higher education. The students selected individual jobs from a list of available positions. Approximately half were in research labs in the Colleges of Medicine and Dentistry and the School of Allied Health Professions, and about half were in various departments of the hospital. While the students found their jobs to be learning experiences, they also made the summer an earning experience. High school students received \$430 for the entire two-month program, June high school graduates were paid \$530 for their work, and the college students received \$640 for the summer. Members of the SAMA and students in nursing and physical therapy acted as advisors throughout the program. After each day's work the participants met, sometimes with their advisors and sometimes with faculty members, for group discussions. The students hashed over job experiences, shared ideas and heard special programs on health topics.

18. AG ECONOMISTS HERE FOR ANNUAL MEETING IN AUGUST

More than 3,000 persons attended the annual meeting of the American Agricultural Economics Association at the University, August 17-20. The Department of Agricultural Economics was host for the convention. Through speeches and panel discussions, the economists reported on many research projects and made projections of significant agricultural advances for the future. A special tour for the wives and children of the visitors took them to horse farms, historic Frankfort, Shakertown, Fort Harrod, Churchill Weavers, and the Bybee pottery. President Otis A. Singletary welcomed the visitors at the Monday a.m. opening session in Memorial Coliseum.

19. DR. SURVANT REPORTS ON FORESTRY PROGRAM

Implementation of the first phase of the program for the new School of Natural Resources began this term with approval of the curriculum leading to the bachelor of science degree in forestry. Degree approval for the newest major in the most recently established school of the College of Agriculture will, for the first time, allow students to receive all training and education necessary to pursue careers in the areas of forest land and associated resources. According to Dr. William G. Survant, acting chairman since May of the Department of Forestry, 18 new students declared forestry as their major field of study and about 30 juniors and seniors have enrolled in its new upper division classes.

Two approaches to forestry will be offered through the new curriculum. Students may follow either the technology or the science program. Those completing the technology course will be practitioners "in areas involving application of forest science to management of forest lands," Dr. Survant said. The science program will prepare "specialists for research and teaching positions in some particular phase of forest science," he continued. Dr. Survant views the new program as a "coordination and extension" of existing programs within the College of Agriculture. "The college," he said, "has dealt with land and land resources for more than 100 years. We have people to instruct in most of these areas, and it is now a matter of coordinating what is offered and getting specialists." He observed that many other states have "part of what we have and if Kentucky doesn't move to organize these subjects other states will take over the job."

Dr. Survant credits the increasing population with making clear the need for forestry programs. "Rising population," he said, "calls for multiple uses of land and wood lands are no exception. Besides timber production, man needs an outdoor environment for his recreation and leisure time. The new forestry curriculum fills the need for preparing people to work in these areas." While some subjects have their counterparts in already established courses, forest soils, for example, many new classes have been approved for instruction beginning this term. Among them are classes in harvesting and logging timber crops; the forest environment; forest management, protection, utilization, and products; and forest topography and photography. Dr. Survant predicts that "when it is fully staffed, after a chairman is found, the department will be comparable to many others in the University." He added that graduate programs in the area of forestry have been visualized in the initial organization pamphlet of the School of Natural Resources prepared prior to the school's establishment in late 1968.

20. PRINCETON SUBSTATION CALLED LINK WITH FARMERS

The superintendent of the agricultural substation at Princeton likes to think of the 1,100-acre farm as the link between University research scientists and the farmer. "We try to apply research findings here as the farmers apply them on their own farms," Paul P. Appel told a reporter last week. Besides the 15 full-time farm workers, plus about the same number of students during the summer months, the farm also is the base for five researchers and seven extension service specialists. Plans are to expand the extension service staff so farmers in the area will be able to get advice on various problems there.

21. UKRF REPORTS \$5½ MILLION IN CONTRACTS SINCE JULY 1

During the period July 1 through August 31, a total of 140 agreement awards were received by the University of Kentucky Research Foundation, amounting to \$5,440,762.91. One other award was received in the amount of \$40.00.

COLLEGE OF AGRICULTURE

Office of the Associate Dean--G. W. Stokes, REPUBLIC OF THE PHILIPPINES, Republic of the Philippines, \$500.00

Department of Agricultural Engineering--C. T. Haan, PLANT SOIL MOISTURE ENVIRONMENT AND ITS EFFECT ON WATER MOVEMENT INTO PLANT ROOTS, Office of Water Resources Research, \$5,630.

Department of Agronomy--G. Collins/P. D. Legg, STUDIES ON THE INHERITANCE OF ALKALOIDS IN NICOTIANA TOBACUM, R. J. Reynolds Tobacco Co., \$4,000. G. Collins, BLACK SHANK DISEASE PLOTS, R. J. Reynolds Tobacco Co., \$1,000. C. Grunwald, TOBACCO AND HEALTH - RELATED RESEARCH ON STUDYING THE STEROL BALANCE OF TOBACCO, U. S. Department of Agriculture - Agricultural Research Service, \$42,463.* J. L. Sims, STUDIES ON THE EFFECT OF NITROGEN NUTRITION AND TOBACCO TYPE ON LEVELS OF TOTAL PARTICULATE MATTER AND BENZO-(a)-PYRENE IN CIGARETTE SMOKE, U. S. Department of Agriculture - Agricultural Research Service, \$43,406.* S. J. Sheen, GENE-ENZYME SYSTEMS INVOLVED IN METABOLISM OF POLYPHENOLS IN TOBACCO, U. S. Department of Agriculture - Agricultural Research Service, \$41,596.* J. F. Benner, INVESTIGATIONS ON THE EFFECT OF CHEMICAL MODIFIERS ON TOBACCO AND TOBACCO SMOKE, U.S. Department of Agriculture - Agricultural Research Service, \$97,211.* J. G. Green, INVESTIGATIONS ON BIOLOGICAL ASSAY OF THE CARCINOGENIC AND COCARCINOGENIC ACTIVITIES OF VARIOUS TOBACCOS, SMOKE CONDENSATES, AND RELATED SUBSTANCES, U. S. Department of Agriculture - Agricultural Research Service, \$117,467.* R. E. Phillips/ R. I. Barnhisel, CAPILLARY-DIFFUSION AND SELF-DIFFUSION OF LIQUID WATER IN UNSATURATED SOIL, U. S. Department of the Interior, Office of Water Resources Research, \$3,940. C. E. Bortner, USDA COOPERATIVE AGREEMENT TOBACCO RESEARCH, U. S. Department of Agriculture, \$16,200.

Department of Animal Sciences--V. W. Hays, EFFECTS OF HIGH LEVELS OF COPPER SULFATE AND COPPER MOLYBDENUM INTERRELATIONSHIP ON GROWING PIGS, Phelps Dodge Refining Company, \$3,500.

Department of Entomology--R. Thurston/G. Jones, EFFECT OF INSECTICIDE APPLICATIONS ON RESIDUES AND SMOKE FLAVOR, R. J. Reynolds Tobacco Co., \$4,000.

*Tobacco and Health Research Institute

Department of Plant Pathology--M. R. Siegel, MECHANISM OF ACTION AND METABOLIC FATE OF FUNGICIDES, National Institutes of Health, \$20,450. C. Y. Yang, INVESTIGATIONS ON THE METABOLIC RATE OF AFLATOXIN AND THE POSSIBLE BIOLOGICAL SIGNIFICANCE OF THEIR DECOMPOSITION PRODUCTS AS RELATED TO TOBACCO AND HEALTH PROBLEMS, U. S. Department of Agriculture - Agricultural Research Service, \$85,084.*

SCHOOL OF ARCHITECTURE

R. S. Levine, DEVELOPMENT OF AN INTEGRATED BUILDING SYSTEM FOR HOSPITALS, Public Health Service, \$37,240 (additional funds).

COLLEGE OF ARTS AND SCIENCES

Department of Botany--P. S. Sabharwal, INVESTIGATIONS ON THE EFFECT OF TOBACCO SMOKE COMPONENTS ON PLANT CELLS IN TISSUE CULTURE, U. S. Department of Agriculture - Agricultural Research Service, \$10,330.* D. O. Harris, A STUDY OF WATER-SOLUBLE INHIBITORY COMPOUNDS PRODUCED BY FRESH WATER ALGAE, Office of Water Resources Research, \$10,600.

School of Mathematics--T. Hayden/S. Kublank, NATIONAL SCIENCE FOUNDATION SCIENCE FACULTY FELLOWSHIP - STEPHEN KUBLANK, National Science Foundation, \$2,500. J. E. Simpson/J. F. Clark, SCIENCE FACULTY FELLOWSHIP, National Science Foundation, \$2,500. J. Selden, LOCALLY COMPACT SEMIGROUPS, National Science Foundation, \$10,000.

Department of Microbiology--M.I.H. Aleem/D. Sewell, PREDOCTORAL FELLOWSHIP - DAVID L. SEWELL, National Institutes of Health, \$5,100. M.I.H. Aleem, INVESTIGATIONS ON THE EFFECT OF TOBACCO SMOKE CONSTITUENTS ON PLANT RESPIRATORY ENZYMES, U. S. Department of Agriculture - Agricultural Research Service, \$19,911.* M. I. H. Aleem, NUTABOLIC ROLE OF SULFATES AND SULFIDES PRODUCING BACTERIA IN THE POLLUTION OF WATER, Office of Water Resources Research, \$12,870. S. F. Conti/W. R. Frieben, PREDOCTORAL RESEARCH FELLOWSHIP-WILLIAM R. FRIEBEN, National Institutes of Health, \$6,100.

Department of Chemistry--H. H. Bauer, ANALYSIS OF SOLUTIONS FOR SURFACE-ACTIVE CONSTITUENTS, Office of Water Resources Research, \$8,795. G. Christian, POSTDOCTORAL FELLOWSHIP - DR. SUDARSHAN LAL, The Ames Company, \$250 (additional funds). E. V. Brown, INVESTIGATIONS ON THE IDENTIFICATION AND QUANTITATIVE ESTIMATION OF N-HETEROCYCLIC HYDROCARBONS IN TOBACCO SMOKE, U. S. Department of Agriculture - Agricultural Research Service, \$53,010.* G. D. Christian, PROCEDURES FOR TRACE ANALYSIS OF DISSOLVED INORGANIC AND ORGANIC CONSTITUENTS IN WATER, U. S. Department of the Interior, Office of Water Resources Research, \$8,075. W. D. Ehmann, INVESTIGATIONS ON THE DETERMINATION OF TRACE ELEMENTS IN TOBACCO, TOBACCO SMOKE, AND BIOLOGICAL TISSUE TREATED WITH TOBACCO SMOKE CONDENSATE, U. S. Department of Agriculture-Agricultural Research Service, \$20,116.* R. Kiser, PURCHASE OF A DIGITAL DATA ACQUISITION SYSTEM, National Science Foundation. \$28,300.

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R. Kiser, PROJECT CATALYST, American Chemical Society, \$500. J. M. Patterson, INVESTIGATIONS ON THE EFFECT OF STRUCTURAL VARIATION ON THE COMPOSITION OF THE PYROLYZATES OF SULFUR CONTAINING AMINO ACIDS, U. S. Department of Agriculture - Agricultural Research Service, \$97,758.* W. T. Smith, INVESTIGATIONS ON THE COMPOSITION OF THE PYROLYZATES OF MALEIC HYDRAZIDE AND N,N-DIMETHYLDODECYLAMINE, U. S. Department of Agriculture - Agricultural Research Service, \$39,749.*

Department of Anthropology--P. Drucker, ARCHAEOLOGICAL EXCAVATIONS IN CAVE RUN RESERVOIR, KENTUCKY, National Park Service, \$15,555 (additional allotment). H. F. Dobyns, ANTHROPOLOGICAL ANALYSIS OF SOCIAL AND CULTURAL BENEFITS AND COST FROM STREAM-CONTROL MEASURES - PHASE 2, U. S. Department of the Interior, Office of Water Resources Research, \$14,600. M. Pearsall, SOCIETY FOR APPLIED ANTHROPOLOGY, Society for Applied Anthropology, \$39,445.

Department of Physics--B. D. Kern/M. T. McEllistrem, NUCLEAR STRUCTURE AND NUCLEAR REACTION MECHANISMS, National Science Foundation, \$50,000. C. VanWinter, SCATTERING AND THE N-BODY PROBLEM IN QUANTUM MECHANICS, National Science Foundation, \$26,000.

Department of Political Science--S. Ulmer, COURT BEHAVIOR PATTERNS, National Science Foundation, \$41,700.

Department of Psychology--J. Harris, DOCTORAL PROGRAM IN CLINICAL PSYCHOLOGY, National Institute of Mental Health, \$41,700.

Department of Social Work--C. Wilson, ENRICHMENT OF UNDER-GRADUATE SOCIAL WELFARE PROGRAM: FOCUS ON STUDENTS WITH ATYPICAL BACKGROUND, Social and Rehabilitation Service, \$25,945.

Department of Sociology--A. L. Coleman, RESEARCH TRAINING - SOCIAL SCIENCES, National Institute of Mental Health, \$39,984.

CENTER FOR DEVELOPMENTAL CHANGE

W. Leach, PEACE CORPS TRAINING - CORE STAFF, Peace Corps, \$14,631. W. Leach, PEACE CORPS CEYLON TRAINING PROGRAM, Peace Corps, \$44,973.

COMMUNITY COLLEGE SYSTEM

Henderson Community College--M. Arnold, CONSORTIUM OF JUNIOR COLLEGES, Lees Junior College, \$75,782.

COMPUTING CENTER--M. Solomon, QUESTION, EFFECTS, DIFFERENCE STUDY, National Institute of Mental Health, Addiction Research Center, \$452.89 (additional funds).

INSTITUTE FOR ENVIROMENTAL STUDIES

J. A. Prestridge, HISTORICAL AREAS PLAN FOR FAYETTE COUNTY, KENTUCKY, City-County Planning Commission, \$9,057.92.

SCHOOL OF LIBRARY SCIENCE

L. Allen, TRAINING IN LIBRARIANSHIP, U. S. Office of Education, \$56,160.

UNIVERSITY EXTENSION

C. R. Hager, WASTE TREATMENT PLANT OPERATOR TRAINING PROGRAM, Federal Water Pollution Control Administration, \$33,548.

COLLEGE OF EDUCATION

Department of Curriculum and Instruction--S. Lail, PROSPECTIVE TEACHER FELLOWSHIP PROGRAM, U. S. Office of Education, \$32,200. S. Lail, TEACHER CORPS IN-SERVICE FOURTH CYCLE, U. S. Office of Education, \$39,375.

Department of Educational Psychology and Counseling--J. C. Finney, COMPUTER SERVICES, Finney Institute, (To Be Billed).

Department of Special Education--A. Levy, SUMMER SESSION FEDERAL TRAINEESHIPS, Department of Education, Commonwealth of Kentucky, \$22,200.

The Lincoln School--M. Bowman/M. Gold, LINCOLN SCHOOL, State of Kentucky, \$760,100.

COLLEGE OF ENGINEERING

Department of Chemical Engineering--R. B. Grieves, A GRADUATE TRAINING PROGRAM IN AIR POLLUTION, National Air Pollution Control Administration, \$13,949.

Department of Civil Engineering--L. D. James/D.M. Soule, THE ECONOMIC IMPACT OF FLOOD CONTROL RESERVOIRS, U. S. Department of the Interior, Office of Water Resources Research, \$12,710. G. Land, WATER RESOURCES INSTITUTE TRAINING PROGRAM, Department of Natural Resources - Commonwealth of Kentucky, \$19,775. J. A. Dearing, MEASURING THE INTANGIBLE VALUES OF NATURALISTIC STREAMS, Office of Water Resources Research, U. S. Department of the Interior, \$16,812.

Department of Engineering Mechanics--O. W. Dillon/Dr. P. Perzyna, SENIOR FOREIGN SCIENTIST FELLOWSHIP, National Science Foundation, \$13,925.

Department of Electrical Engineering--E. B. Bradley, DETECTION AND IDENTIFICATION OF MOLECULAR WATER POLLUTANTS BY LASER RAMAN SPECTROSCOPY, Office of Water Resources Research, \$7,920.

Department of Metallurgical Engineering--R. DeAngelis, THE STRENGTHENING OF COPPER BY THE USE OF HEXAGONAL SOLUTE ADDITIONS AND THERMO-MECHANICAL TREATMENTS, International Copper Reserve Association, \$12,000.

KENTUCKY GEOLOGICAL SURVEY

W. W. Hagan, AREAL GEOLOGICAL MAPPING, Kentucky Department of Commerce, Commonwealth of Kentucky, \$510,000. W. W. Hagan, TOPOGRAPHY MAPPING PROGRAM, Kentucky Department of Commerce, Commonwealth of Kentucky, \$88,000. W. W. Hagan, WATER RESOURCES INVESTIGATION, Kentucky Department of Commerce, Commonwealth of Kentucky, \$202,700. W. W. Hagan, MINERAL INVESTIGATION PROGRAM, Kentucky Department of Commerce, Commonwealth of Kentucky, \$100,125.

UNIVERSITY EXTENSION

Department of Correspondence-- D. Sloan, CORRESPONDENCE COURSES - UNITED STATES ARMED FORCES INSTITUTE, Department of Defense, To Be Billed.

Office of Civil Defense--C. H. Reedy, CIVIL DEFENSE PROGRAM, Department of the Army, \$54,379.

WATER RESOURCES INSTITUTE

R. A. Lauderdale, INSTITUTE DIRECTOR'S OFFICE, Office of Water Resources Research, \$29,460. R. A. Lauderdale/L. A. Krumholz, A PRELIMINARY ECOLOGICAL STUDY OF AREAS TO BE IMPOUNDED IN THE SALT RIVER BASIN OF KENTUCKY, PHASE 11, Office of Water Resources Research, U. S. Department of the Interior, \$29,500.

MEDICAL CENTER

Office of the Vice President--W. Willard, Edwin Munich Memorial Fund, I. M. Rubin, \$5.00.

COLLEGE OF DENTISTRY

Office of Administration--T. Cooper, Dental Auxiliary Utilization Training, Bureau of Health Manpower, \$47,570. T. Cooper, Student Summer Fellowship, Dr. R. P. Greer, \$300.

Office of the Dean--H. M. Bohannon, Health Professions Educational Improvement Program - Basic Improvement Grant, Bureau of Health Manpower, \$125,500.

Department of Community Dentistry--W. O. Young, Strengthening the Coordinated Teaching of Preventive Dentistry, Public Health Service, \$86,306. W. O. Young, Cariostatic Effect of Community Fluoride Mouthwashes, National Institutes of Health, \$46,636.

Department of Oral Biology--M. R. Schwelsthal, Tissue Culture Studies and the Pancreatic Islet Cell, National Institutes of Health, \$14,432.

Department of Oral Pathology--S. Rovin, Clinical Cancer Training, National Institutes of Health, \$44,549.

Department of Prosthodontics--D. Henderson, Patient Response to Variations in Denture Technique, Bureau of Health Manpower, \$60,724.

COLLEGE OF MEDICINE

Department of Anatomy--M. N. Winer, Hypothalamic Influence of Gonadotrophin Release, National Institutes of Health, \$22,200.

Department of Anesthesiology--P. P. Bosomworth, Clinical Anesthesiology, National Institutes of Health, \$13,706. P. P. Bosomworth, Anesthesiology-Miscellaneous, Abbott Laboratories, \$90.00. R. W. Dunbar, Respiratory Therapy - A Career Opportunity, Mead Johnson Laboratories, \$2,000. D. H. Morrow, Anesthesia and Digitalis Tolerance - Career Development Award, National Institutes of Health, \$30,105.

Department of Behavioral Science--R. Straus, Undergraduate Human Behavior, National Institutes of Health, \$52,579.

Department of Biochemistry--F. Bollum, Biochemistry Training Grant, National Institutes of Health, \$28,498. G. Schwert, Characterization and Specificity of Enzyme Systems, National Institutes of Health, \$39,744. A. D. Winer, Mechanism of Action of Enzyme Coenzyme Complexes (Career Development Award), National Institutes of Health, \$22,857.

Department of Behavioral Science--R. Straus, Research Training - Social Sciences, National Institutes of Health, \$48,186.

Department of Community Medicine--A. S. Benenson, Pre and Post Doctoral Training Program, Lincoln Laboratories, \$10,000. M. J. McNamara, Residency Training in General Preventive Medicine, Public Health Service, \$21,144. J. W. Tapp, Field Professorship in Community Medicine, Health, Education and Welfare, \$34,605. K. A. Watson, An Expanded Program for Teaching Epidemiologic Methods and Related Biostatistical Principles, Public Health Service, \$29,700. M. L. Furcolow, Candida Study, E. R. Squibb and Sons, Inc., \$6,500. J. W. Tapp, Community Medicine Field Professorships, Public Health Service, \$97,137. H. M. Vandiviere, Medical Consultant to Kentucky Tuberculosis Hospital, Commonwealth of Kentucky, \$6,000. H. M. Vandiviere, The Specificity of Tuberculin Fractions For the Detection of Homologous and Heterologous Mycobacterial Infection, Commonwealth of Kentucky, \$9,226.

Department of Medicine--L. S. Gettes, Analysis and Correlation of the Changes in Action Potentials and the Electrogram of the Perfused Moderator Band of the Pig and Sheep, Kentucky Heart Association, \$5,000. J. W. Hollingsworth, Leukocyte Function in Arthritic Joints, National Institutes of Health, \$29,092. H. Isbell, Burroughs Wellcome Fund, Burroughs Wellcome Fund, \$30,643. R. W. Penman, Chronic Lung Disease: Structure-Function Analysis, National Institutes of Health, \$231,180. R. W. Penman, Cardiopulmonary Physiology, National Institutes of Health, \$19,344. R. W. Penman, Cardiopulmonary Physiology, National Institutes of Health, \$29,898.

Department of Medicine - continued

R. W. Penman, Investigations on the Effect of Tobacco Smoke and its Constituents Upon the Growth, Differentiation, and Response of Tracheal Tissue, U. S. Department of Agriculture - Agricultural Research Service, \$100,253.* J. W. Schaefer, Gastrointestinal Training Program, National Institutes of Health, \$32,087. R. Shabetai, Pericardium in Health and Disease - 2 Day Symposium, Kentucky Heart Association, \$2,000. B. Surawicz, Graduate Medical Cardiology Training, National Institutes of Health, \$37,481. B. Surawicz, Cardiology Graduate Clinical Training, National Institutes of Health, \$37,389. B. Surawicz, A Study of the Antiarrhythmic Properties of Bretylium Tosylate (Dr. V. Aravindakshan), Kentucky Heart Association, \$5,000. R. V. McDougall, Traineeships in Physical Therapy, Rehabilitation Services Administration, \$12,048. R. Shabetai, Pericardium in Health and Disease - 2 Day Symposium, Kentucky Heart Association, \$500 (additional funds). R. Shabetai, Facility for Animal Fluoroscopy, Kentucky Heart Association, \$2,400. J. Warren, Teaching and Traineeships in Rehabilitation Medicine, Vocational Rehabilitation Administration, \$18,000. W. Waugh, The Chair of Heart Research, Kentucky Heart Association, \$20,500. W. W. Winternitz, Training Grant in Endocrinology and Metabolism, National Institutes of Health, \$17,557. J. W. Yarbrow, Coagulation Abnormalities in Cancer, American Cancer Society, Kentucky Division, \$13,180.

Department of Neurology--D. B. Clark, Adult and Pediatric Neurology, National Institutes of Health, \$29,796. H. D. Jameson, Electroencephalography Technician Training Program, Public Health Service, \$12,452. M. McQuillen, Physiological Studies of the Neuromyopathies Associated with Carcinoma, American Cancer Society, Kentucky Division, \$21,589.60.

Department of Pathology--D. L. Weiss, Investigations on the Development of Structural Changes in Mouse Skin Tumors Caused by Tobacco Smoke Condensate, U. S. Department of Agriculture - Agricultural Research Service, \$33,356.*

Department of Pediatrics--J. A. Noonan, Cardiovascular Clinics in Eastern Kentucky, Commonwealth of Kentucky, \$20,412.

Department of Pharmacology--H. A. Gordon, Investigations on the Effects of the Microbial Flora and of Tobacco Smoke on the Respiratory Organs and Gas Transport in Rodents, U. S. Department of Agriculture - Agricultural Research Service, \$58,404.*

Department of Physiology--E. P. McCutcheon, Doppler Blood Flow and Ventricular Performance, Kentucky Heart Association and the Heart Association of Louisville and Jefferson County, Inc., \$5,000. D. Wekstein and J. F. Zolman, Ontogeny of Thermoregulation, National Science Foundation, \$45,000.

Department of Psychiatry--J. B. Parker Jr., Psychiatry-Basic Residency, National Institutes of Health, \$36,826. J. B. Parker Jr., G. P. Residency Training - Psychiatry, National Institutes of Health, \$14,850. C. B. Wilbur, Undergraduate Psychiatry, National Institutes of Health, \$31,602.

*Tobacco and Health Research Institute

Department of Radiology--J. Howieson, Neuroradiology Training, National Institutes of Health, \$20,061.

Department of Surgery--W. R. Jewell, Investigations on the Cellular Mechanisms of Tobacco Smoke Carcinogenesis, U. S. Department of Agriculture - Agricultural Research Service, \$56,284.* H. Norrell, Neurological Surgery, National Institutes of Health, \$7,086. B. Rush, Clinical Cancer Training Medical, National Institutes of Health, \$33,857. B. F. Rush, Clinical Drug Evaluation Program, State of Wisconsin, \$1,743.50. J. D. Wirtschafter, Ophthalmology Development Program, National Institutes of Health, \$41,186.

COLLEGE OF NURSING

M. Dake, Professional Nurse Traineeship Program - Long-Term Academic, National Institutes of Health, \$27,000. M. Dake, Management for Nursing Care - Short-Term, National Institutes of Health, \$19,880.

COLLEGE OF PHARMACY

Department of Clinical Pharmacy--C. A. Walton, Adverse Drug Reaction Reporting Program, Public Health Service, To Be Billed. C. A. Walton, A. H. Robins Company, Inc. - Series of Clinical Drug Studies, A. H. Robins Company, Inc., \$15,000.

Department of Materia Medica--G. A. Digenis, Chemistry and Biochemistry of Gramine and Hordenine, National Institutes of Health, \$10,459.

SCHOOL OF ALLIED HEALTH PROFESSIONS

Department of Clinical Nutrition--J. Hamburg, Allied Health Professions Advanced Traineeship Grant, Public Health Service, \$253.

Department of Pastoral Counseling--R. S. Carpenter, UKRF Clinical Pastoral Education Account, Miscellaneous Personal Donations, (No Donations to Date).

OTHER

UK Library Associates	\$ 40.00
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*Tobacco and Health Research Institute

22. SIGNIFICANT ACTIVITIES OF FACULTY AND STAFF

The second edition of a book co-authored by Dr. Joseph L. Massie, associate dean for graduate studies and research in the University of Kentucky College of Business and Economics, has been published by Prentice-Hall, Inc. The publication, entitled "Management: Analysis, Concepts and Cases," was written by Dr. Massie and Dr. W. Warren Haynes, Graduate School of Business Administration, Harvard University.

Dr. Mischa H. Fayer, chairman of the Department of Slavic and Oriental Languages, was granted an honorary Doctor of Letters degree by Middlebury College, Middlebury, Vt., this summer.

Charles G. Williamson Jr., assistant professor of law, has been named an interim Fayette Circuit Court judge by Gov. Nunn, to serve until the November election in the seat left vacant by the death of Judge Nolan Carter.

Dr. Martin B. Solomon Jr., director of the Computing Center, has been elected to the executive board of SHARE, the oldest and largest group of computer users in the world.

Dr. Joseph Finney, associate professor in the College of Education, has compiled into a book 13 essays and four related discussion chapters, derived from a 1965 symposium at the University: "Culture Change, Mental Health, and Poverty."

Dr. Lyman Ginger, associate dean of the College of Education, will head the education and non-profit division of the United Community Fund drive in Fayette County.

Coach Adolph Rupp and Board Vice-Chairman Albert Clay were among those honored at the Southeastern Kentucky Homecoming at Booneville on August 31. Five other prominent Kentuckians also were feted at the annual event.

Ted Haley, associate professor of civil engineering, received one of the four awards given by the Kentucky Society of Professional Engineers at its annual meeting in Owensboro. Prof. Haley received the education award. Other categories were industry, government and private practice.

Dr. S. Sidney Ulmer, professor of political science, has received a \$41,700 grant from the National Science Foundation to conduct research during the 1969-70 academic year on Supreme Court decisions. Dr. Ulmer is making a year-by-year study of the decision-making processes in the Court, concentrating on the 1937-1967 period. The study is a continuation of work initially supported by the Social Science Research Council.

Dr. Roy K. Jarecky, associate dean for admissions and student personnel in the Medical Center, is serving on the advisory board of a national program which seeks to increase the number of minority group members in the health professions. He is chairman of the Committee on Medical Education of Minority Group Students and in this capacity also will serve on the steering committee for the medical school aspects of the grant.

Dr. Leonard P. Tipton, assistant professor of journalism, presented a study, "Change in Adolescent Political Behavior During an Election Campaign," during the convention of the Association for Education in Journalism, August 24-27 at the University of California, Berkeley. Prof. Bruce Westley, chairman of the Department of Journalism, chaired a session at the AEJ meeting on Theory and Methodology, and also served on a publications panel. Dr. Lewis Donohew, associate professor of journalism, was a discussant during a session of the International Communications Division. Dr. Robert D. Murphy, director of the School of Communications, also attended the convention.

Dr. Hans Conrad, chairman of the Department of Metallurgy and Materials Science, has returned from West Berlin where he lectured under the sponsorship of the German Federal Bureau of Standards. He lectured also at the University of Karlsruhe, West Germany, where he spoke on "Cohesion of Metals in Ultra-high Vacuum."

Dr. L. Clark Keating, professor of French, will be a visiting professor in the Department of Romance Studies at the University of Calgary, Alberta, Canada, during the 1969-70 academic year.

Dr. George H. Gadbois, assistant professor of political science, has been awarded a faculty research fellowship in India during the 1969-70 academic year by the American Institute of Indian Studies.

Dr. Lawrence S. Thompson, professor of classics, is the author of two selections which appear in Volume 2 of the newly published "Encyclopedia of Library and Information Science."

Dr. Don R. Jacobson, professor of animal science, has been awarded an educational exchange grant by the U.S. Department of State to do research in New Zealand. His study program will be at the plant chemistry division, Department of Scientific & Industrial Research, Palmerston North. He plans to arrive there in January for nine months' work.

Dr. Daniel R. Reedy and Dr. Gerardo Saenz, associate professors of Spanish, have returned from Toronto, Canada, where they participated in the 14th Congress of the International Institute of Professors of Iberoamerican Literature.

David K. Blythe, professor of civil engineering, recently returned from a five-week visit to Ecuador. He spent the first week with Ecuadoran officials in the Partners of the Alliance in Quito. During the next four weeks he lectured on highway engineering at the University of Guayaquil, in the same city.

Office of the President
September 16, 1969

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Graduate Faculty Appointments

Recommendation: that approval be given to the following persons for membership in the Graduate Faculty.

Background: The persons whose names appear below have been approved by the Board of Trustees and are now eligible for membership in the Graduate Faculty.

Kurt R. Anschel - Associate Professor, Department of Agricultural
Economics

A. Edward Blackhurst - Associate Professor, Department of Special
Education

Russell H. Brannon - Associate Professor, Department of Agricultural
Economics

Raymond H. Cox - Associate Professor, Department of Mathematics

Paul G. Forand - Associate Professor, Department of Slavic and
Oriental Languages

Dean E. Knavel - Associate Professor, Department of Horticulture

Paul D. Legg - Associate Professor (Adjunct), Department of Agronomy

Robert G. Loy - Associate Professor, Department of Veterinary
Science

William John Stober II - Associate Professor, Department of Economics,
College of Business and Economics

Leonard P. Stoltz - Associate Professor, Department of Horticulture

John Guy Stovall - Associate Professor, Department of Agricultural
Economics

Harold Herbert VanHorn, Jr. - Associate Professor, Department of
Animal Sciences

II. Patent Assignment to The University of Kentucky Research Foundation

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to The University of Kentucky Research Foundation of the following application for Letters Patent of the United States and the inventions represented thereby: John W. Hutchinson, Teh-Yu Kao and Laurence C. Pendley, Serial #804,609, filed March 5, 1969.

Background: John W. Hutchinson, Teh-Yu Kao and Laurence C. Pendley have invented certain improvements in Method of and Apparatus for Testing Dynamic Permeability and Hydrodynamic Surface Drainage of Materials. They have assigned their rights to the invention to the Board of Trustees. The Patent Committee of the University of Kentucky has reviewed the assignment and agreement made between the University and the Patentees and recommends that such assignment be transferred to The University of Kentucky Research Foundation for administration.

III. Appointments and/or Other Staff Changes

Recommendation: that approval be given to the appointments and/or other changes which require Board action and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: Approval of the Board of Trustees is required for all appointments to the positions with the rank of Associate Professor and above, for promotions, for the granting of tenure, and for salary changes above \$12,000 for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

BOARD ACTION

New Appointments

- Ernest W. Chick, Professor (with tenure), Department of Community Medicine* and Department of Medicine, College of Medicine, retroactive to August 1, 1969
- Adamantios Pepelasis, Professor, Department of Economics, College of Business and Economics, retroactive to August 15, 1969
- Robert Lee Beck, Associate Professor (with tenure), Department of Agricultural Economics, retroactive to August 16, 1969
- Curtis E. Harvey, Associate Professor (with tenure), Department of Economics, College of Business and Economics, retroactive to July 1, 1969
- Paul Van Lith, Associate Professor, Department of Medicine, College of Medicine, retroactive to August 1, 1969
- Joe Fred Sills, Associate Professor (with tenure), Department of Community Health, School of Allied Health Professions and Community College System, retroactive to August 1, 1969
- Robert H. Biggerstaff, Assistant Professor, Department of Orthodontics, College of Dentistry, and Department of Anthropology, College of Arts and Sciences, retroactive to July 1, 1969 (salary over \$15,000)
- Charles E. Eastin, Assistant Professor, Department of Surgery (Special Title Series), College of Medicine, and Director of Animal Care Service, retroactive to July 1, 1969 (salary over \$15,000)

*Primary Appointment

Donald O. Morgan, Assistant Professor, Department of Veterinary Science,
College of Agriculture, retroactive to August 15, 1969 (salary over \$15,000)
Don E. Wrede, Assistant Professor, Department of Therapeutic Radiology*,
College of Medicine, and Department of Radiation Medicine, School of Allied
Health Professions, retroactive to June 15, 1969 (salary over \$15,000)
James Hampton Barnett, Visiting Professor, Department of Electrical
Engineering, College of Engineering, retroactive to September 1, 1969
Graeme P. Berlyn, Visiting Professor, Department of Forestry, College of
Agriculture, retroactive to July 1, 1969
Pierre Francois Boughon, Visiting Professor, Department of Mathematics,
College of Arts and Sciences, retroactive to August 15, 1969
Thaddeus B. Curtz, Visiting Professor, Department of Computer Science,
College of Arts and Sciences, retroactive to August 15, 1969
S. A. Majid, Visiting Associate Professor, Department of Geography, College
of Arts and Sciences, retroactive to August 15, 1969
Piotr Perzyna, Visiting Professor, Department of Engineering Mechanics,
College of Engineering, retroactive to September 1, 1969
Roy Nathan Walters, Visiting Professor, College of Education, retroactive to
September 1, 1969

Appointments with Waiver of Nepotism

Frida Surawicz, Assistant Professor, Department of Psychiatry, College of
Medicine, and Psychiatrist, University Health Service, retroactive to
August 1, 1969, wife of Borys Surawicz, Professor, Department of
Medicine, College of Medicine
Susan D. Csaky, Librarian II, Law Library, retroactive to July 1, 1969,
wife of Tihamer Z. Csaky, Professor, Department of Pharmacology,
College of Medicine
Patricia F. McNair, Instructor, Henderson Community College, retroactive
to August 15, 1969, wife of R. Lee McNair, Instructor, Henderson
Community College
Jane S. Jensen, Lecturer, Department of Political Science, for the Fall
Semester, 1969, wife of Lloyd Jensen, Associate Professor, Department
of Political Science
Janet V. Schweisthal, Instructor (part-time), Department of Dental Hygiene,
School of Allied Health Professions, wife of M. R. Schweisthal, Associate
Professor, Department of Oral Biology, College of Dentistry, and
Department of Anatomy, College of Medicine

Promotions - (effective July 1, 1969)

Robert E. Cazden, School of Library Science, from Assistant Professor to
Associate Professor (with tenure)

* Primary Appointment

Judith Pratt, Department of Physiology and Biophysics, College of Medicine, from Assistant Professor to Associate Professor, Special Title Series (with tenure)
Clayton C. Reeve, Department of English, College of Arts and Sciences, from Instructor to Assistant Professor
Benjamin M. Rigor, Department of Anesthesiology, College of Medicine, from Instructor to Assistant Professor
Martin L. Gebrow, Department of Psychiatry, College of Medicine, from Instructor (part-time) to Assistant Professor (part-time)

Department Chairmen Named

A. J. Hiatt, Professor, Department of Agronomy, College of Agriculture, named Chairman of the Department of Agronomy, retroactive to August 15, 1969
Charles W. Hultman, Professor, Department of Economics, College of Business and Economics, named Chairman of the Department of Economics, retroactive to July 1, 1969

Changes in Status

Mike Duff, Chairman and Associate Extension Professor of Development Programs, College of Agriculture, named Assistant Director of Extension for Development, retroactive to September 1, 1969
H. H. Van Horn, Associate Professor, Department of Animal Sciences, College of Agriculture, named Assistant Director of Extension for 4-H, retroactive to September 1, 1969

Retirements

Martha V. Shipman, Assistant Professor, College of Education, after 39 years of service, retroactive to September 1, 1969
Marietta G. Meeks, Administrative Secretary, College of Agriculture, after over 45 years of service, retroactive to September 1, 1969
Jane Earle Middleton, Administrative Assistant, College of Business and Economics, after over 42 years of service, effective October 1, 1969

Correction in June 17, 1969 Minutes

Appointment for Ronald Clifford Dillehay should have read Joint Appointment as Associate Professor (with tenure), Department of Psychology, College of Arts and Sciences, and Department of Behavioral Science, College of Medicine

Leaves of Absence

Milton Boyce, Area Extension Agent, College of Agriculture, leave without pay extended for the period September 1, 1969 through December 31, 1969

James G. Burch, Assistant Professor, Department of Periodontics, College of Dentistry, leave without pay for the period October 1, 1969 through March 31, 1970

Verne Finkner, Professor, Department of Agronomy, College of Agriculture, sabbatical leave for the period October 15, 1969 through April 15, 1970

P. P. Gillis, Associate Professor, Department of Materials Science, College of Engineering, sabbatical leave for the academic year 1970-71, at half pay

Harvey E. Hamilton, Extension Specialist, Department of Agricultural Engineering, leave without pay for the period July 30, 1969 to May 8, 1970

Claude L. Hixson, Area Extension Agent, College of Agriculture, sabbatical leave for the period September 1, 1969 through February 28, 1970, and leave without pay for the period March 1, 1970 through August 31, 1970

Herman R. Huempfer, Assistant Professor, Department of Community Medicine, College of Medicine, leave without pay extended for the period July 1, 1969 through May 31, 1970

Jerome J. Klement, Area Extension Youth Specialist, College of Agriculture, leave without pay for the period September 1, 1969 through August 31, 1970

Harvey Luce, Area Extension Agent, College of Agriculture, leave without pay for the period July 1, 1969 through June 30, 1970

Margaret Ryan, Instructor, Elizabethtown Community College, leave without pay for the academic year 1969-70

A. G. Sims, Area Extension Youth Agent, College of Agriculture, sabbatical leave for the period October 1, 1969 through November 30, 1969 without pay, and December 1, 1969 through January 31, 1970 at full pay

Coy D. Smith, Instructor, Department of Community Medicine, College of Medicine, leave without pay for the period September 15, 1969 through September 15, 1970

Daniel L. Weiss, Professor, Department of Pathology, College of Medicine, leave changed from September 15, 1969 through March 15, 1970 to the period October 1, 1969 through January 31, 1970 at full pay and February 1, 1970 through May 31, 1970 at half pay

David R. Wekstein, Associate Professor, Department of Physiology and Biophysics, sabbatical leave for the period July 1, 1970 through June 30, 1971 at half pay

Charles G. Williamson, Assistant Professor, College of Law, leave without pay for the period September 5, 1969 through December 31, 1969

ADMINISTRATIVE ACTION

New Appointments

Lathel F. Duffield, Assistant Professor, Department of Anthropology, College of Arts and Sciences

Karen Sue Johnson, Instructor, Department of Sociology, College of Arts and Sciences
Ronald Alter, Assistant Professor, Department of Mathematics, and
Department of Computer Science, College of Arts and Sciences
Walter Blanton, Assistant Professor, Department of Music, College of Arts and Sciences
Pete Marshall Bullard, Jr., Instructor, Department of Political Science, College of Arts and Sciences
John W. Coe, Assistant Professor, Department of Music, College of Arts and Sciences
David A. Coomber, Instructor, Department of Philosophy, College of Arts and Sciences
William Thomas McReynolds, Assistant Professor, Department of Psychology, College of Arts and Sciences
Bruce R. Beattie, Assistant Professor, Department of Agricultural Economics, College of Agriculture
Wesley W. Gregory, Jr., Assistant Extension Professor (Special Title Series), Department of Entomology, College of Agriculture
Mahlon C. Hammetter, Instructor, Department of Forestry, College of Agriculture
Ray Edwin Tucker, Assistant Professor, Department of Animal Sciences, College of Agriculture
Ralph Douglas Wood, Assistant Extension Professor (Special Title Series), Department of Animal Sciences, College of Agriculture
Michael Cunningham, Assistant Professor, School of Architecture
Francis J. Scott, Instructor, School of Architecture
David Spaeth, Assistant Professor, School of Architecture
Glenn Collins, Instructor, Department of Vocational Education, College of Education
Margorie Stewart, Assistant Professor, Department of Vocational Education, College of Education
Robert Valyo, Assistant Professor, Department of Special Education, College of Education
Maya Swarup Mathur, Instructor, Department of Electrical Engineering, College of Engineering
Shien Tsun Wang, Assistant Professor, Department of Civil Engineering, College of Engineering
Donna K. Emerson, Instructor, Section of Textiles and Design, School of Home Economics
Mary Maumee Runnels, Assistant Professor, Section of Textiles and Design, School of Home Economics
Mary Jo Vincent, Librarian IV
David S. Bauman, Assistant Professor, Department of Community Medicine, College of Medicine
Thomas L. Roszman, Assistant Professor, Department of Microbiology, College of Medicine

Joseph N. Duguay, Instructor, Department of Instruction, College of Nursing
Ellen Mitchell, Instructor, Department of Instruction, College of Nursing
Cynthia Monroe, Teaching Associate, Department of Instruction, College of Nursing
Evelyn Black, Instructor, Department of Social Work, School of Social Professions
Dorothy Arthur Miller, Assistant Professor, Department of Social Work, School of Social Professions
Henry M. Darley, Lecturer, Department of Physics and Astronomy, College of Arts and Sciences
Victor Dechtiarenko, Lecturer, Department of Slavic and Oriental Languages, College of Arts and Sciences
Joyce M. Evans, Lecturer, Department of Physics and Astronomy, College of Arts and Sciences
Glenn P. Glasgow, Lecturer, Department of Physics and Astronomy, College of Arts and Sciences
George William Noe, Lecturer, Department of Physics and Astronomy, College of Arts and Sciences
C. David Schweickart, Visiting Assistant Professor, Department of Mathematics, College of Arts and Sciences
Jesse E. Sherwood, Lecturer, Department of Physics and Astronomy, College of Arts and Sciences
Byron Temple, Visiting Instructor, Department of Art, College of Arts and Sciences
Leonard P. Tipton, Visiting Assistant Professor, School of Communications, College of Arts and Sciences
Dean William Turner, Visiting Instructor, Department of Music, College of Arts and Sciences
James Michael Watts, Lecturer, Department of Computer Science, College of Arts and Sciences
Emmett Williams, Visiting Artist, Department of Art, School of Fine Arts
William D. Bingham, Visiting Lecturer, School of Architecture
Lawrence K. Lynch, Adjunct Assistant Professor, Department of Economics, College of Business and Economics
Beulah Jane Hill, Visiting Instructor, Department of Special Education, College of Education *she had been killed in auto wreck in July!* (?) but
Elmer H. Wagner, Visiting Lecturer, Department of Curriculum and Instruction, College of Education
Joe Christian Savage, Adjunct Instructor, College of Law
Samuel T. Christian, Assistant Clinical Professor, Department of Community Medicine, College of Medicine (voluntary faculty)
Abe Fosson, Assistant Clinical Professor, Department of Pediatrics, College of Medicine (voluntary faculty)
Allen E. Grimes, Jr., Clinical Instructor, Department of Surgery, College of Medicine (voluntary faculty)
John C. LaPiana, Assistant Adjunct Professor, College of Pharmacy (voluntary faculty)

Frank Douglas Scutchfield, Assistant Clinical Professor, Department of
Community Medicine, College of Medicine (voluntary faculty)
Robert W. Stigers, Clinical Instructor, Department of Restorative
Dentistry, College of Dentistry

Joint Appointments

Harry Hale Hall, Assistant Professor, Department of Agricultural
Economics, College of Agriculture, and Department of Statistics,
College of Arts and Sciences
Nancy J. Patton, Assistant Professor, Department of Physical Therapy,
School of Allied Health Professions, and Department of Anatomy,
College of Medicine

New Appointments - Community College System

Ashland Community College

Jimmy Jack Miller, Instructor

Hazard Community College

Bob Chiang, Senior Instructor
Berry L. Litsey, Jr., Instructor
Konstantin Prokos, Instructor
Carol Sue Robinson, Instructor

Henderson Community College

Timothy A. Cantrell, Instructor
Joseph Celania, Instructor
Donald A. Chapman, Instructor, Director of Student Activities and Counselor
Julian Duffy, Instructor
Sara N. Francis, Instructor
Kenneth Raymond Gibson, Instructor
Alan Coleman Hedgespeth, Instructor
R. Lee McNair, Instructor
David J. Messer, Instructor, Director of Learning Resources Project
David L. Perry, Instructor
James Rambow, Librarian IV
Janice F. Sprague, Instructor
Stephen E. Szabo, Instructor

Jefferson Community College

Marie Francis Kenoyer, Instructor

Francis D. Moore, Instructor
Robert Leo Schoenhoff, Instructor
Gail C. Watson Whittington, Instructor

Lexington Technical Institute

Helen D. Hanson, Instructor
Janet McQuary Lattin, Instructor

Madisonville Community College

Soporo Faye Gray, Instructor
Michael G. Schene, Instructor

Northern Community College

Doris Brown, Instructor
Enid C. Henry, Instructor
Bobby Earl Holloway, Librarian IV
Mary Carol Moses, Instructor
J. Merle Nickell, Instructor
Earl L. Pfeifer, Instructor
Daniel B. Purcell, Instructor
Cynthia C. Rankin, Instructor

Paducah Community College

Jerry Hinton, Instructor and Student Personnel Officer
Joyce Porter Worten, Instructor

Prestonsburg Community College

Thomas E. King, Admissions Officer
Anna Magura, Instructor
Louise Rarick, Instructor
James W. Ratcliff, Instructor
Howard Storck, Instructor

Somerset Community College

Rose Anne Bullock, Instructor
James R. Douglas, Instructor
Charlene S. Phelps, Instructor
Yvonne B. Vernon, Instructor

Southeast Community College

Jack Andrew McCord, Instructor
Danny Roy Robinette, Instructor

Reappointments

Richard A. Borden, Instructor, Department of Physical Therapy, School of Allied Health Professions
Donald K. Carman, Assistant Professor, Department of Periodontics, College of Dentistry
Jack Hopper, Adjunct Assistant Professor, Department of Economics, College of Business and Economics
William Floyd Hull, Lecturer, School of Fine Arts
Tatsuo Yoneyama, Assistant Professor, Department of Pathology, College of Medicine and College of Dentistry
Louise S. Davidson, Librarian, Ashland Community College
Carl W. Lively, Instructor, Ashland Community College
E. Joy Pennington, Instructor, Jefferson Community College
Sandra Heister, Instructor, Northern Community College
Marigrace Cottrell, Instructor, Prestonsburg Community College

Acting Chairmanship

James T. Doluisio, Professor, Department of Pharmacy, named Acting Chairman, Department of Materia Medica, College of Pharmacy, retroactive to August 15, 1969

Resignations

Maralea Arnett, Librarian, Henderson Community College, retroactive to August 8, 1969
John W. Donahoe, Associate Professor, Department of Psychology, College of Arts and Sciences, retroactive to June 30, 1969
John A. Dooling, Assistant Professor (Adjunct), Department of Surgery, College of Medicine, retroactive to August 31, 1969
Richard L. Downey, Instructor, Somerset Community College, retroactive to June 30, 1969
Car M. Foster, Assistant Professor, Department of Educational Psychology and Counseling, College of Education, retroactive to June 30, 1969
Robert L. Milliken, Associate Professor, Department of Educational Psychology and Counseling, College of Education, retroactive to June 30, 1969

Benjamin F. Rush, Professor, Department of Surgery, College of
Medicine, retroactive to August 31, 1969

Howard Roy Storck, Instructor, Prestonsburg Community College,
retroactive to August 15, 1969

Josiane Sullivan, Lecturer, Department of French Language and
Literature, College of Arts and Sciences, retroactive to June 30, 1969

Jonathan Weisbuch, Physician, University Health Service, retroactive to
July 31, 1969

Action: Approved X Disapproved Other

Date: September 16, 1969

PR 3

Members, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Patent Assignment to The University of Kentucky Research Foundation

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to The University of Kentucky Research Foundation of the following application for Letters Patent of the United States and the invention represented thereby: Kenneth P. Lee, Serial No. 843704, filed July 22, 1969.

Background: Kenneth P. Lee has invented certain improvements concerning the High Vacuum Seal. He has assigned his rights to the invention to the Board of Trustees. The Patent Committee of the University of Kentucky has reviewed the assignment and agreement made between the University and the Patentee and recommends that such assignment be transferred to The University of Kentucky Research Foundation for administration.

II. Development Council Appointment

Recommendation: that approval be given to the appointment of Mr. A. B. Hancock of Paris, Kentucky, as a member of the University of Kentucky Development Council to fill an existing vacancy.

Background: At the present time three vacancies exist on the Development Council and the recommendation is made that Mr. Hancock be appointed to fill one of these vacancies. He has indicated an interest in the University of Kentucky and would be a valuable member of the Council. Recommendation will be brought to the Board at a later date for persons to fill the other two vacancies.

III. Graduate Faculty Appointment

Recommendation: that approval be given to the following persons for membership in the Graduate Faculty.

Background: The persons whose names appear below have been approved by the Board of Trustees and are now eligible for membership in the Graduate Faculty.

Carl Cabe - Professor, Department of Economics, College of Business and
Economics

Harold Earle Swim - Professor, Department of Cell Biology, College of
Medicine

IV. Agreement with The University of Kentucky Research Foundation

Recommendation: (1) that the Board of Trustees authorize its vice chairman to execute the agreement between The University of Kentucky Research Foundation and the University of Kentucky; and that the Board express its approval of the attached agreement executed this morning between the Foundation, the First Security National Bank and Trust Company of Lexington, and Commonwealth Life Insurance Company.

(2) that this Board approve and ratify the action of its chairman in previously signing the contract between the Foundation and the University.

Background: The agreement between the University and the Research Foundation clarifies the relationship between the two corporations with regard to monies received by the Foundation as reimbursement for indirect expenses incurred in carrying out contract agreements with sponsors of research projects.

The second agreement is presented to this Board for information purposes only. It has the effect of removing certain restrictions in the documents relating to the Foundation's purchase of Maine Chance Farm.

Action: Approved X Disapproved Other

Date: September 16 , 1969

THIS AGREEMENT, made and entered into this _____ day of _____, 1969, by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, hereinafter referred to as the University, and the UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, hereinafter referred to as the Foundation.

WITNESSETH:

WHEREAS, the Foundation is a non-profit corporation formed pursuant to the provisions of Kentucky Revised Statutes 273.160 through 273.290, and,

WHEREAS, the Foundation exists and has existed for the purpose of promoting educational purposes by initiating, encouraging, and aiding scientific investigations, research and humanitarian studies in connection with the University in all its branches and departments by the furnishing of means, methods, and agencies by which such investigations, research, and study may be conducted, and,

WHEREAS, the Foundation, acting at the request of the University, enters into various contractual arrangements with entities external to the University for the conduct of research and other activities which are performed through the use of facilities and personnel of the University, and,

WHEREAS, the said contractual arrangements, in most instances, contain provisions for the payment of monies for indirect expenses which are, to some extent, expenses of the University, and,

WHEREAS, it has been demonstrated that the Foundation has accounted to the University for all funds received by the Foundation on account of indirect expenses incurred by the University, (See Exhibit A attached hereto.), and,

WHEREAS, the Foundation is the owner of various properties which are currently in use by the University for research and other purposes, and,

WHEREAS, the University and the Foundation desire to formalize their relationship with regard to the use of, and accountability for, the monies received by the Foundation as reimbursement for indirect expenses incurred in carrying out the said contractual arrangements, and insure that the University continues to be fully paid for services and facilities provided by it in connection with the performance of the said contracts;

NOW, THEREFORE, the parties hereto agree as follows:

1. The University agrees that the Foundation shall act for the University in contracting with entities external to the University for the conduct of research and other projects to be carried out in whole or in part through the use of the University's facilities and personnel.

2. The University further agrees that the Foundation shall act as the agent of the University for the collection of all monies due and payable by such external entities on account of the said contractual arrangements.

3. The Foundation agrees to act for the University in matters relating to the administration of the said contractual arrangements including the collection of monies and other items of value due on account thereof.

4. The Foundation agrees to provide to the University an accounting of all income and expenses of the Foundation in connection with the aforementioned contractual arrangements. The said accounting will be rendered to the University at regular monthly intervals.

5. The Foundation further agrees to pay to the University any and all funds received by it from any person, corporation, association, governmental agency, or other entity other than the University as reimbursement for indirect expenses incurred by the University in carrying out research or furnishing other goods or services, except:

- A. Operating expenses of the Foundation attributable to the performance or procurement of the said contracts.
- B. Such sums as may be necessary to meet contractual obligations of the Foundation which have heretofore been incurred at the request of the University.

6. The Foundation further agrees that it will continue to provide to the University, at no cost to the University, the use of such lands and other properties of the Foundation as have been acquired through the use of funds received as reimbursement for indirect expenses incurred by the University. In addition, the Foundation agrees that it will not sell or encumber any such properties without the consent of the University. In consenting to any such sale or encumbrance, the University may attach conditions to its consent which shall be observed by the Foundation.

7. The parties hereto recognize that this agreement, insofar as it relates to the property purchased by the Foundation from Edward M. Maher by deed dated October 11, 1967, and recorded in Deed Book 913, Page 31, in the Office of the County Court Clerk of Fayette County, Kentucky, must be approved by the Judge of the Fayette Circuit Court in Civil Action No. 21504. The parties therefore agree that this agreement shall become effective and binding upon the parties upon its approval by the Court as aforesaid.

8. The parties hereto agree that this contract shall be put to record in the Office of the County Court Clerk in any county, wherein lands held by the

Foundation, subject to its terms, are located and that it shall be so indexed therein as to provide record notice of its provisions to persons inquiring into the title to any such lands.

IN WITNESS WHEREOF, the parties hereto have set their hands and seals the day and year first above written.

BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY

By: _____

ATTEST: _____

THE UNIVERSITY OF KENTUCKY
RESEARCH FOUNDATION

By: _____

ATTEST: _____

STATE OF KENTUCKY

COUNTY OF FAYETTE

I, _____, a Notary Public in and for the State and County aforesaid, do hereby certify that the foregoing instrument was this day produced before me in my office, in said County and State, and was thereupon acknowledged by Albert G. Clay, Vice Chairman of the Board of Trustees of the University of Kentucky, to be his free act and deed and the act and deed of the Board of Trustees of the University of Kentucky, and the same, together with this my certificate is hereby certified to the proper office for record.

WITNESS my hand and notarial seal this _____ day of _____, 1969.

Notary Public

My Commission expires _____.

STATE OF KENTUCKY

COUNTY OF FAYETTE

I, _____, a Notary Public in and for the State and County aforesaid, do hereby certify that the foregoing instrument was this day produced before me in my office, in said County and State, and was thereupon acknowledged by _____, President of the University of Kentucky Research Foundation, to be his free act and deed and the act and deed of the said University of Kentucky Research Foundation, and the same together with this my certificate, is hereby certified to the proper office for record.

WITNESS my hand and notarial seal this _____ day of _____, 1969.

Notary Public

My Commission expires _____.

THIS INSTRUMENT PREPARED BY:

John Breckinridge, Attorney General
Commonwealth of Kentucky

Henri Mangeot, Deputy Attorney
General, Commonwealth of Kentucky

Rufus Lisle, Attorney at Law
Lexington, Kentucky

John C. Darsie, Jr., Attorney at Law
Lexington, Kentucky

EXHIBIT A

PEAT, MARWICK, MITCHELL & CO.

CERTIFIED PUBLIC ACCOUNTANTS

200 WEST BROADWAY

LOUISVILLE, KENTUCKY 40202

The Board of Directors
The University of Kentucky Research Foundation
and
The Board of Trustees
University of Kentucky
and
Mr. Albert Christen, Commissioner of Finance
Commonwealth of Kentucky:

As requested, we have reviewed the statement of current general funds provided and applied of The University of Kentucky Research Foundation for the period May 18, 1945 through June 30, 1967. Such statement was compiled from annual financial statements examined and reported on by independent public accountants, of which only the year ended June 30, 1963 was examined by Peat, Marwick, Mitchell & Co. Our review was limited to reading the reports of other independent public accountants and verifying the accuracy of the compilation.

In our opinion, based on our review as described in the preceding paragraph, the accompanying statement of current general funds provided and applied of The University of Kentucky Research Foundation for the period May 18, 1945 through June 30, 1967, presents fairly the information shown therein.

Peat, Marwick, Mitchell & Co.

July 25, 1969

THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION
 Current General Funds Provided and Applied
 For the period May 18, 1945 through June 30, 1967

Funds provided from operations:

Income:

Net income from contracts and grants		\$ 2,682,851
Income from investments		206,940
Unrestricted gifts		33,851
Gains on sales of investments, net		103,297
Other, exclusive of rentals credited to investment in Spindletop Farm		<u>68,260</u>
		3,095,199

Expenditures, exclusive of interest added
to investment in Spindletop Farm:

Administration and general expenses	\$ 531,352	
Scholarship awards	153,301	
Instruction and departmental research	813,759	
Grants to University of Kentucky	<u>727,468</u>	<u>2,225,880</u>

Funds provided from operations 869,319

Funds applied to investment in Spindletop Farm:

Purchase price	850,000
Less balance due on note payable at June 30, 1967	<u>100,000</u>
	750,000

Investment deductions (additions):

Sales of acreage	\$ 541,039	
Rentals	66,970	
Interest on note payable	<u>(106,567)</u>	<u>501,442</u>
		<u>248,558</u>

Funds provided from operations
less funds applied to invest-
ment in Spindletop Farm

620,761

Funds provided from liabilities and deferred
income on contracts and grants less reimbursable
costs on contracts and grants and miscellaneous
assets

546,217
1,166,978

Funds applied to purchase of government securities
and other investments

710,485

Excess of funds provided over
funds applied

\$ 456,493

THIS AGREEMENT, made and entered into this the 21st day of August, 1969, by and between THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a Kentucky corporation (hereinafter called MORTGAGOR) and FIRST SECURITY NATIONAL BANK AND TRUST COMPANY OF LEXINGTON, Lexington, Kentucky, a National Bank, having its principal office in Lexington, Fayette County, Kentucky, and COMMONWEALTH LIFE INSURANCE COMPANY, Louisville, Kentucky (hereinafter collectively called MORTGAGEES);

WITNESSETH:

THAT, WHEREAS, on October 11, 1967, EDWARD M. MAHER executed and delivered to FIRST SECURITY NATIONAL BANK AND TRUST COMPANY OF LEXINGTON a mortgage upon certain property located in Fayette County, Kentucky, and known as Maine Chance Farm, the said mortgage having been recorded in Mortgage Book 806, at Page 466, in the Fayette County Court Clerk's Office, and,

WHEREAS, the said mortgage was given to secure the payment of a note in the principal amount of Five Hundred Thousand Dollars (\$500, 000. 00) and a note in the principal amount of One Million Dollars (\$1, 000, 000. 00), which said note in the principal amount of One Million Dollars (\$1, 000, 000. 00) was by FIRST SECURITY NATIONAL BANK AND TRUST COMPANY OF LEXINGTON assigned to COMMONWEALTH LIFE INSURANCE COMPANY, and,

WHEREAS, by deed dated the 11th day of October, 1967, and recorded in Deed Book 913, at Page 31, in the aforesaid Clerk's Office, the said EDWARD M. MAHER conveyed the said property to MORTGAGOR and MORTGAGOR assumed and agreed to pay the aforementioned notes according to their terms and to do and perform all of the covenants and agreements contained in the aforementioned mortgage, and,

WHEREAS, FIRST SECURITY NATIONAL BANK AND TRUST COMPANY OF LEXINGTON, EDWARD M. MAHER, and MORTGAGOR entered into an Assumption and Release Agreement, dated the 11th day of October, 1967, and recorded in Miscellaneous Book 69, at Page 47, in the aforesaid Clerk's Office, whereby the said MAHER was released from all obligations under the aforementioned notes and mortgage and MORTGAGOR agreed to pay the notes and perform the covenants of the mortgage, and,

WHEREAS, the said mortgage contained, among other provisions, the following covenants:

"9. Mortgagor will not, without the prior written consent of Mortgagee, construct new, or substantially alter existing improvements on the Mortgaged Premises or remove from the Mortgaged Premises any mortgaged property.

10. Mortgagor covenants that he will not hereafter lease or enter into any agreement to lease any portion of the premises

mortgaged hereby without first submitting such lease or agreement to lease to the Mortgagee and obtaining its written consent thereto. "

and,

WHEREAS, the aforementioned Assumption and Release Agreement contained, among other provisions, the following covenant:

"The Purchaser further covenants not to incur any long-term debt without the prior written consent of the Mortgagee. "

and,

WHEREAS, on October 18, 1967, Robert Matthews, the then Attorney General of the Commonwealth of Kentucky, filed Civil Action No. 21504 in the Fayette Circuit Court, naming as defendants, MORTGAGOR, MORTGAGEES, and others, and alleging, in part, that the aforementioned mortgage, "contained unlawful and improper conditions on the use of said property", and that "The use of the Maine Chance Farm by the Foundation and the operation of the fiscal affairs of the Foundation are made subject, wholly or in part, to the consent and approval of First Security..."

NOW, THEREFORE, in consideration of the premises and of the desire of the parties hereto to effect the dismissal and settlement of the said Civil Action No. 21504, MORTGAGOR and MORTGAGEES hereby agree as follows:

1. The execution of this agreement will not impair the lien of the Mortgage or affect the validity of the Assumption and Release Agreement heretofore referred to herein, nor shall it be construed as a subordination of the Mortgage or Assumption and Release Agreement to any lease, conveyance, or lien as to the property described therein.

2. The provisions of numbered paragraphs 9 and 10 of the Mortgage executed by EDWARD M. MAHER, dated the 11th day of October, 1967, and recorded in Mortgage Book 806, at Page 466, in the Office of the County Court Clerk of Fayette County, Kentucky, are null, void, and of no further force and effect, and are no longer binding upon MORTGAGOR, or its successors and assigns.

3. Numbered paragraph 2 of the Assumption and Release Agreement executed by FIRST SECURITY NATIONAL BANK AND TRUST COMPANY OF LEXINGTON, EDWARD M. MAHER, and MORTGAGOR, dated the 11th day of October, 1967, and recorded in Miscellaneous Book 69, at Page 47, in the Office of the County Court Clerk of Fayette County, Kentucky, is hereby amended to delete the second sentence thereof, and the covenant contained in said sentence is null, void, and of no further force and effect, and is no longer binding upon MORTGAGOR, or its successors or assigns. As amended said paragraph 2 shall read as follows:

"2. That Purchaser represents and warrants that it has not incurred any other long-term debt other than as shown in its financial statements dated June 30, 1967. As used herein, "long-term debt" shall include all indebtedness executed, incurred, assumed, or guaranteed by the Purchaser, or for the payment of which the Purchaser is otherwise primarily or secondarily liable, including any amounts maturing by their terms more than one (1) year from the date of the creation thereof, or renewable or refundable at the Purchaser's option to a date more than one (1) year from the date of the creation thereof, or renewable or refundable at the Purchaser's option to a date more than one (1) year from the date of the original creation thereof. Purchaser will, within one hundred twenty (120) days following the end of each fiscal year of Purchaser, furnish to Mortgagee complete financial statements reflecting all details of its operation and debt, including profit and loss statement, balance sheet and reconciliation of surplus."

IN WITNESS WHEREOF, this instrument has been executed by the parties hereto in manner and form sufficient to bind them as of the day and year first above written.

ATTEST:

James H. Robinson, Jr.
V.P. & Cashier

FIRST SECURITY NATIONAL BANK AND
TRUST COMPANY OF LEXINGTON

BY: LeRoy Miles
President

ATTEST:

Robert L. Cooper
Asst. Secretary

COMMONWEALTH LIFE INSURANCE
COMPANY

BY: John S. Beese
Exec. V.P.

ATTEST:

THE UNIVERSITY OF KENTUCKY
RESEARCH FOUNDATION

BY: _____

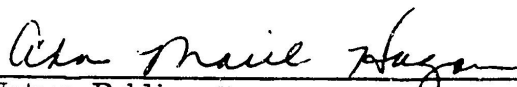
STATE OF KENTUCKY

COUNTY OF FAYETTE

I, Ada Marie Hagan, a Notary Public in and for the State
and County aforesaid, do hereby certify that on this day the foregoing Agreement
was produced to me in said State and County by LeRoy Miles
and James H. Robinson, Jr., personally known by me to be _____
President and Vice President & Cashier,
respectively, of First Security National Bank and Trust Company of Lexington, a
party hereto, and was acknowledged and delivered by them to be their free act
and deed as such officers and the free act and deed of First Security National

Bank and Trust Company of Lexington.

WITNESS my hand and notarial seal this 21st day of August,
1969.


Notary Public Fayette County Kentucky

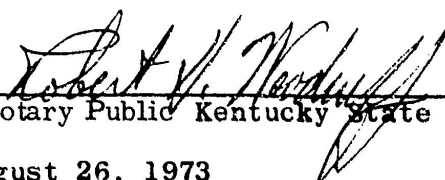
My Commission expires October 19, 1972.

STATE OF KENTUCKY

COUNTY OF FAYETTE

I, Robert H. Woodruff, a Notary Public in and for the State
and County aforesaid, do hereby certify that on this day the foregoing Agreement
was produced to me in said State and County by John S. Speed
and Bert L. Draper, personally known by me to be
Executive Vice President and Assistant Secretary,
respectively, of Commonwealth Life Insurance Company, a party hereto, and was
acknowledged and delivered by them to be their free act and deed as such officers
and the free act and deed of said Commonwealth Life Insurance Company.

WITNESS my hand and notarial seal this 2nd day of September,
1969.


Notary Public Kentucky State at large

My Commission expires August 26, 1973.

Office of the President
September 16, 1969

PR 4

Members, Board of Trustees:

1969-70 Budget Revisions

Recommendation: that the following revisions in the 1969-70 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>Restricted Funds</u>			
1. Income estimate	\$ 3,299,977	\$ 3,312,749	\$ 12,772
2. Expenditures			
Student Aid-Scholarships			
Gifts and Grants for Scholarships	154,000	161,200	7,200
Community College Scholarships	94,400	94,900	500
Ashland Community College String Music	-0-	1,435	1,435
Library Fund	-0-	237	237
General Expense	36,000	39,400	<u>3,400</u>
			\$ 12,772
3. <u>Comments</u> - The increase in General Expense is to come from the Haggin Fund. Additional income has been received to support the other proposed expenditures.			

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>General Funds</u>			
1. Income estimate			
Community College System			
Summer Session Fees	\$ 135,000	\$ 195,500	\$ 60,500
2. Expenditures			
Community College System			
Summer Session	135,000	195,500	60,500
3. <u>Comments</u> - Enrollment in excess of previous estimates has resulted in increased income and the need for additional expenditures to meet the additional student needs.			

Action: Approved X Disapproved Other

Date: September 16, 1969

Office of the President
September 16, 1969

PR 5

Members, Board of Trustees:

MEETING DATES ESTABLISHED FOR 1969-70

Recommendation: that the following dates be established for meetings
of the Board of Trustees:

October 21, 1969

November 18, 1969

December 9, 1969 (Statutory)

January 20, 1970

February 17, 1970

March 17, 1970

April 7, 1970 (Statutory)

May 5, 1970 (Statutory)

June 9, 1970

Action: Approved X Disapproved Other

Date: September 16 , 1969

Office of the President
September 16, 1969

PR 6

Members, Board of Trustees:

APPROVAL OF DEGREE CANDIDATES

Recommendation: that the President be authorized to confer upon each individual whose name appears on the list presented herewith the degree to which he is entitled at the commencement exercises on May 11, 1970. These candidates completed the requirements for the degree in August 1969.

Background: Certification has been made by the Dean of Admissions and Registrar that each individual whose name appears on the attached list has satisfactorily completed the requirements for the degree for which he has applied in August 1969. The University Senate at its meeting on September 8, 1969 gave approval to the list.

Action: Approved X Disapproved Other

Date: September 16 , 1969

August 6, 1969

OFFICE OF ADMISSIONS AND REGISTRAR

CANDIDATES FOR DEGREES

August 6, 1969

GRADUATE DEGREES

Ph.D.	35
Ed.D.	3
Ed.S.	3
M.A.	54
M.S.	15
M.S. in Agr.	10
M.S. in H.Ec.	2
M.S.A.E.	1
M.S.C.E.	3
M.S. Eng.M.	1
M.S.M.E.	3
M.S. Nuc.E.	1
M.A. in Educ.	114
M.S. in Educ.	16
M.B.A.	12
M.S. in Acct.	1
M.S.L.S.	43
M.S. Pub. Hlth.	1
M.S. Mus.	3
TOTAL	321

Education	
A.B. Education	110
Commerce	
B.S. Commerce	19
B.S. Accounting	10
B. of Bus. Admin.	14
B.S. Bus. & Econ.	3
TOTAL	46
Architecture	
Bachelor of Arch.	3

SUMMARY

Graduate Degrees	321
Professional Degrees	6
Undergraduate Degrees	304
TOTAL	631

PROFESSIONAL DEGREES

Juris Doctor	4
B.S. Pharmacy	2

UNDERGRADUATE DEGREES

Arts and Sciences	
B.A.	84
B.S.	21
A.B.J.	3
B.M.	3
TOTAL	111

Agriculture

B.S. in Agriculture	11
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Home Economics

B.S. in Home Economics	9
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Engineering

B.S. Chem. Engr..	1
B.S. Civil Engr.	2
B.S. Elec. Engr.	8
B.S. Mech. Engr.	3
B.S. Met. Engr.	2
TOTAL	16

GRADUATE SCHOOL

Lewis Wellington Cochran
Dean and Vice President for Research

CANDIDATES FOR THE DEGREE OF DOCTOR OF PHILOSOPHY

NAME	MAJOR SUBJECT	ADDRESS
Robert Eloi Chanteloup	Sociology	Lexington
Pauline Rose Clance	Psychology	North Olmsted, O.
Frederic Mull Crawford, Jr.	History	Murfreesboro, Tenn
William Richard Davis, Jr.	Spanish	Macon, Ga.
John Edgar Diller	Diplomacy and International Commerce	Meursault, France
James Edwin Dublin	Psychology	Lexington
Paul Jon Eenigenburg	Mathematics	Lexington
John Thomas Fangman	Chemistry	Ft. Mitchell
Randel Allen Flowers	Plant Pathology	Lexington
William Galati	Physics	Seabrook, Md.
Chitta R. Ghosh	Microbiology	Lexington
Judson Clark Gray	Sociology	Louisville
Henry Gaston Griggs	Biological Sciences	Lexington
Steven Earl Hannum	Chemistry	Phoenix, Ariz.
Sarah L. Haycraft	Psychology	Owensboro
Enrique Hoyos	Spanish	Bogota, Columbia, S.A.
Stephen Edward Lile	Economics	Hopkinsville
Richard G. Marcis	Economics	Bowling Green, O.
Terrence George Marsh	Biological Sciences	Lexington
Gail Marie Matthews	Psychology	Lexington
Alan Stewart McCall	Economics	Cleveland, O.
Ralph L. Place	Physics	Muncie, Ind.
Henry B. Potoczny	Mathematics	Philadelphia, Pa.
Teofila V. Rebagay	Chemistry	Mindanao, Philippines
Gloria J. Roddey	English	Louisville
Brian Scott Rungeling	Economics	Brooksville, Fla.
John H. Scalf, Jr.	Sociology	DeLand, Fla.
Dennis Harold Schnack	Mathematics	Lexington
Peter Joseph Sehlinger, Jr.	History	Louisville
James B. Shrewsbury	Education	Princeton
A. Edward Spitz	Economics	Terre Haute, Ind.
David Griffin Spruill	Animal Sciences	Raleigh, N. C.
David John Trojan	Sociology	Eau Claire, Wisc.
Kenneth E. Webb, Jr.	Animal Sciences	Fairfield, O.
Nancy Andrews Winkelman	Mathematics	Lexington

CANDIDATES FOR THE DEGREE OF DOCTOR OF EDUCATION

NAME	MAJOR SUBJECT	ADDRESS
Russell Bowen, Jr.	Educational Administration	Stanton
Joseph Donlan Gormley	Educational Administration	Versailles
William Edward Steiden	Curriculum and Instruction	Lexington

CANDIDATES FOR THE DEGREE OF SPECIALIST IN EDUCATION

NAME	ADDRESS
Jack Elmo Creech	Richmond
Joanne Carr DeWitt	Owenton
James Curtis Wilds	Versailles

CANDIDATES FOR THE DEGREE OF MASTER OF ARTS

NAME	MAJOR SUBJECT	ADDRESS
William T. Baldwin	Economics	Paris
Mary Anne Bright	Psychology	Georgetown
Harvey H. Cohen	Communications	Lexington
Michael D. Curley	Economics	Binghamton, N.Y.
Shirley Kennedy Cutrer	English	Hammond, La.
Janis Marie Dieruf	French	Louisville
Charlotte Balsiger Doctor	English	Lexington
Albert Francis Eldridge	Political Science	Lexington
Harriett Maricle Fewel	French	Lexington
Mary Ann Fitts	English	Owensboro
Susanne Phelps Gilliam	English	Lexington
Elizabeth Mabel Glock	Philosophy	Middletown
Ruel Lars Godbey	Economics	Georgetown
Harry Mason Griggs	Economics	Lexington
Robert Horace Grizzard	Psychology	McLean, Va.
B. Donald Grose	English	Lawrenceburg
Jock Douglas Gum	Geography	Lexington
Virginia Floy Haughton	History	Tulsa, Okla.
Allen Kenneth Hess	Psychology	Bronx, N.Y.
Robert Vernon Hoskins III	English	Lexington
William R. Klem	Economics	St. Anthony, Ind.
Robert Arthur Ladner, Jr.	Sociology	Lexington
Gene Frederick Larman	History	Marlow Heights, Md.
Barbara P. Laviers	Communications	Irvine
*Laura B. Luszczyńska	French	Lexington
*Carolyn Sue Martin	French	Roanoke, Va.
Virginia Anne McDermott	Communications	Amherst, Wisc.
Barbara P. McNew	History	Hopkinsville
William Howard McNew	History	Ashland
Suzanne Parker Miller	Psychology	Lexington
Manindra Kumar Mohapatra	Political Science	Lexington
Marie Ann Morgan	French	Bossier City, La.
Rachel Matheis Nett	English	Louisville
Eldon Joe Nosari	Economics	Lexington
Janet Dee Ockerman	Sociology	Danville
L. MacKenzie Osborne	English	Carrboro, N.C.
Philip Charles Palmgreen	Communications	Lexington
*Daniel D. Malone	History	Lexington

(Candidates for the Degree of Master of Arts cont'd.)

NAME	MAJOR SUBJECT	ADDRESS
Joanne Marie Parker	Communications	Lexington
Susan Spurr Reid	Political Science	Louisville
Kitty Delle Robbins	French	Annandale, Va.
John Damien Rotter	Classical Languages	Clifton, N. J.
Marion Leona Same	English	Lexington
William Allen Shelton	History	Ft. Worth, Tex.
Frank Bateman Stanger, Jr.	History	Wilmore
Richard Stoffle	Anthropology	Lexington
William Henry Swatos, Jr.	Sociology	Lawrenceburg
Charles Wellington Thomas II	Sociology	Prince George, Va.
William John Walker	Physical Education	Niagara Falls, N.Y.
George Kenneth Wolfskill	English	Deerfield Beach, Fla.
James Clarence Wright	French	Independence, Va.
Jack Andrew Yeager	French	Colorado Springs, Col.
Ronald Kenneth Young	Political Science	Searcy, Ark.
Robert Nicholas Yurchuck	History	Somerville, N.J.
Michael Turner Zwicker	Political Science	Lexington

CANDIDATES FOR THE DEGREE OF MASTER OF SCIENCE

Michael Arnz Brockman	Mathematics	Ft. Madison, Ia.
Kathryn Ann Daniels	Psychology	Lexington
Alver J. Dunbar	Physics	Texarkana, Tex.
Donald Lee Garren	Mathematics	Brevard, N. C.
Carter G. Hackney	Zoology	Bowling Green
George Thomas Hine	Geology	Lexington
William F. Leach	Physical Education	Chicago, Ill.
Jens Richard Lindemann	Economics	Chicago, Ill.
Bedford Rader McClintic	Physical Education	Williamsburg, W. Va.
Foree Dennis Milner	Economics	Glenview
Charles Edward Pemberton, Jr.	Physical Education	Lexington
Peggy Jane Pruitt	Physical Education	Louisville
James Hubert Ray	Zoology	Melber
James G. Stathis	Zoology	Lexington
Graham Karl Watkins	Zoology	Lexington

CANDIDATES FOR THE DEGREE OF MASTER OF SCIENCE IN AGRICULTURE

George Edd Ballard	Agronomy	Berea
Cluster R. Belcher	Agronomy	Lexington
Carl Bernard Fliermans	Agronomy	Lexington
Roslea Johnston	Sociology	Radford, Va.
Prateep Krasaesindhu	Agronomy	Bangwah, Thailand
Yan-Wan Liu	Horticulture	Taipei, Taiwan, China
James Spalding Mudd	Animal Sciences	Springfield
Carroll Lee Van Meter	Entomology	Lexington
Harold Cash Vaught	Agronomy	Columbia
Suda Yimprasert	Agronomy	Thailand
Edward Odartei Quao	Agronomy	Kumasi, Ghana

CANDIDATE FOR THE DEGREE OF MASTER OF SCIENCE IN AGRICULTURAL ENGINEERING

NAME	ADDRESS
C. Howard Read	Lexington

CANDIDATES FOR THE DEGREE OF MASTER OF SCIENCE IN CIVIL ENGINEERING

Wallace Hampton	Manchester
Tommy Carson Hopkins	Tremont
Jiin-Long Huang	Taiwan, China

CANDIDATE FOR THE DEGREE OF MASTER OF SCIENCE IN ENGINEERING MECHANICS

James Eli Williams	Lexington
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CANDIDATES FOR THE DEGREE OF MASTER OF SCIENCE IN MECHANICAL ENGINEERING

James Butler Day	Lexington
Clifford David Goss	Lexington
Kauo-hwa Sun	Lexington

CANDIDATE FOR THE DEGREE OF MASTER OF SCIENCE IN NUCLEAR ENGINEERING

William S. Karsner	Lexington
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CANDIDATES FOR THE DEGREE OF MASTER OF ARTS IN EDUCATION

Amy Lorton Abercrombie	Philadelphia, Pa.
Sarah J. Adams	Lexington
Charlotte M. Alderson	Lexington
Anne Howard Baird	Ocala, Fla.
Frank Lewis Bartlett	Harlan
Lucille N. Bates	Frankfort
Lynn Mallard Beck	Wilmore
Patricia Jean Bissmeyer	Lexington
Eugene Francis Blaydes	Lancaster
Susan Gilliland Boner	Nashville
Charles Richard Bowling	Lexington
James Hollie Brewer	Williamstown
Glenn Leonard Brody	Bronx, N.Y.
Carolyn Siler Browning	Louisville
William Coleman Burke	Harrodsburg
John Edward Burns	Ludlow
John Vincent Callaghan	Suffern, N. Y.
Margorie R. Callender	Louisville
Theresa Marie Cash	Fancy Farm
Sandra Osburn Cherry	Valley Station
Michael John Chesebro	Wayland, Mich.

(Candidates for the Degree of Master of Arts in Education cont'd.)

NAME	ADDRESS
Mary Klatte Chittum	Danville
Nancy Franklin Church	Lexington
Ann D. Clark	Frankfort
Nancy Jane Coates	Indianapolis, Ind.
Edna Earl Cobb	Lexington
David Lee Cole	Lexington
Betty D. Collins	Mt. Sterling
Billie Mackey Corbin	Louisville
Marilyn Myers Couch	Lexington
Eddie Hulett Cox	Nicholasville
Robert Lee Crawford	Lexington
Constance Sletten Cundiff	Somerset
Iris Lynn Dann	Lexington
Carol Jo Dayton	Wilmore
Geneva Brumagen Dennis	Cynthiana
John Courtland Dickinson	W. Cape May, N.J.
Dale Duncan	Lexington
Susan Foster	Louisville
Victor Pryor Gaines	Lexington
Laura Jean Garnett	Hopkinsville
Peggy Craig Gay	Versailles
Nina Hudson Goodman	Lexington
Jon K. Groteluschen	Lexington
Paul McAlister Grumbine	New Orleans, La.
James Franklin Hacker	Carrollton
Katherine Grace Hall	Salem, Ore.
Linda Lee Hamilton	Louisville
Judson Spencer Harmon	Whitley City
Joan Karen Harris	Huntsville, Ala.
Lenora Harville	Lexington
Theo S. Hatch	Lexington
Ann Gail Helman	Louisville
Mildred Sears Holwerk	Lexington
Ruth Ann Hopkins	Russell
Johanna Camenisch Hounscheil	Midway
Arthur L. Isgur	Reseda, Calif.
Joanne Ames Jeffrey	Fairfield, Conn.
Barbara Jean Johnson	Huntsville, Ala.
Kennard David Jones	Lexington
Gerald Wesley Kays	Lexington
Elizabeth Newell Keyes	Lexington
Richard J. Kryshak	E. Northport, N. Y.
Roy Lee Lauter	Wilmore
Harry Grimes Lee	Elizabethtown
Alice Sharon Lewis	Fern Creek
Sharon Prater Littrell	Lexington
Gary F. Mayrwieser	Lexington
Martha May McCarthy	Louisville
Madeleine McCollum	Hopkinsville
Sally A. McGovern	Swansea, Mass.
Dorothy Adams McInturff	Lexington

(Candidates for the Degree of Master of Arts in Education cont'd.)

NAME	ADDRESS
Jeanne Montgomery	Lexington
Geraldine Morley	Pikeville
Yvonne C. Moulton	Wilmore
Sister Mary Pacella Mueller	Covington
Pamala Ann Murphy	Owensboro
Sharon Lee Murray	Lexington
Robert Nolan Myers	Lexington
Larry Douglas Neuspickle	Covington
Jane Nall Northcutt	Lexington
Stephen L. Parker	Highland, Calif.
Donald Lacy Parks	Olympia
Charlotte Hibberd Patrick	Valley Station
Wilma R. Payne	Lexington
John Anthony Peake	Elizabethtown
Charlotte Hunt Phelps	Lexington
Patricia Anne Pope	Lexington
Von Frederick Powell	Louisville
Diana Jean Prichard	Beallsville, O.
Sister Mary Evelyn Reinke	Covington
Betty Jo Render	Owensboro
Ethel Linda Rideout	Lawrenceburg
Herbert Hiter Royse, Jr.	Nicholasville
Doris Jacqueline Safriet	Lexington
Judy Monarch Sauer	Owensboro
Thomas Allen Sawyer	Wichita, Kan.
Mary Patricia Sharp	Sharpsburg
Dorothy J. S. Smith	Lexington
Warren Widau Sproul	Warsaw
Reecie Stagnolia, Jr.	Lynch
Anita Teays Stebbins	Norwalk, Calif.
Gary J. Stebbins	Blandford, Mass.
Diane Barnes Strait	Lexington
Randall Roy Swann	Central City
Carol Rose Thacker	Pikeville
Tommye Saunders Thompson	Danville
Joan Elizabeth Thomson	Greene, N. Y.
James Brodene Thorstenberg	Wichita, Kan.
Martha Ingalls Traynor	Lexington
Emily Whitlock Ware	Lexington
Kathy Bales Webb	Louisville
Anne Genevieve Whitt	Lexington
Ruth Ann Woodrum	Middletown

CANDIDATES FOR THE DEGREE OF MASTER OF SCIENCE IN EDUCATION

Irvin Harrison Ashlock	Cecilia
Hartzel Lee Black	Vienna, Ill.
Dorris Wayne Bruce	Lawrenceburg
William Franklin Cartee	Greenup
Joe Kaines Chumbler	Kevil

(Candidates for the Degree of Master of Science in Education cont'd.)

NAME	ADDRESS
Robert Woodson Cox	Paris
Sandra Lambert Elrod	Falmouth
Brenda White Gilmour	Wilmington, Del.
Anne Cole Hayes	Lexington
Ralph C. Jones	Upton
Cecil Ray Roundtree	London
Phillip L. Rudolph	Princeton
Preston Wood Sparks	Albany
Harry Hayes Taylor	Lexington
William Howard Teague	Asheville, N. C.
Ronald Duane Williams	Springfield, O

CANDIDATE FOR THE DEGREE OF MASTER OF SCIENCE IN ACCOUNTING

David L. Brooks	Nashville, Tenn.
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CANDIDATES FOR THE DEGREE OF MASTER OF BUSINESS ADMINISTRATION

Richard Chaffee Berry	Louisville
John L. Butler II	Independence
Kip Philip Farrington	Crete, Ill.
Robert Bernard Hensley II	Louisville
John Monroe McCann	Frankfort
Sandra Lynn Miller	Whitesburg
Walter R. Peak	Lexington
Harry Brown Roush	Frankfort
Robert John Schroder	Ft. Mitchell
William Leslie Shanklin	Louisville
James Woodard Tipton	Hickman
Milton L. Tulkoff	Ashland

CANDIDATES FOR THE DEGREE OF MASTER OF SCIENCE IN LIBRARY SCIENCE

Anne C. Alcorn	Lexington
Ailan Walker Anderson	Lexington
Mary Jane Anderson	Lexington
Ruby W. Bolton	Somerset
Patricia Mae Burgos	Akron, O.
Barbara Dean Burns	Henderson
Michele Calhoun	Ft. Wayne, Ind.
Patrice Callaghan	Atlanta, Ga.
Kay Francis Cordoves	Lexington
Penelope Glenn Dukes	Lexington
Elizabeth Marshall Durbin	Covington
Miriam N. Engel	Lexington
Lan-Seng Fang	Watertown, Mass.
Janice Skinner Farley	Woodbine
Joyce Harris Gaines	Lexington
Alexander MacGregor Gilchrist	Lexington

(Candidates for the Degree of Master of Science in Library Science cont'd.)

NAME	ADDRESS
Rose Marie Ham	Ashland
Sylvia L. Hoffman	Alden, Ia.
Leonard A. Hoge	Earlham, Ia.
Sara Lloyd Holden	Hopewell, Va.
Carolyn Jean Konnert	Richmond, Ind.
Elizabeth Evans Kugel	Mitchell, S. D.
Patricia Odell Larsen	Berea
Lawrence Patrick Lynch	Lexington
Alice Wilson Manchikes	Lexington
Juanita Berneice Martin	Yale, Ill.
Sharon Massengill	Bristol, Tenn.
Sheila Anne Maybanks	Cedar Rapids, I.
Norma Jean Miller	Louisville
Martha Lowrey Morgan	Wilmore
James A. Nelson	Louisville
Beverly Nickell Perkinson	Lexington
Charlotte Gail Picha	Independence, O.
Erma Ruth Poarch	Emporia, Va.
Genevieve J. Pope	Richmond
Teresa Gayle Poston	Hemingway, S. C.
Edna Roberts Pray	Lexington
Donald F. Reichert	Appleton, Wis.
Robert Glen Roberts	Columbus, O.
Wayne Huevon Standifer	Cumberland
Paul Anthony Taccarino	Lexington
Jon Alan Whitfield	Brandenburg
Roberta Breene Williams	Oil City, Pa.
Mintron Suzanne Wise	Saluda, S. C.

CANDIDATES FOR THE DEGREE OF MASTER OF MUSIC

Lois Jean Evans	Louisville
Grace Hoffman Howell	Knoxville, Tenn.
Anita Rayburn Morrison	Malden, Mass.

CANDIDATE FOR THE DEGREE OF MASTER OF SCIENCE IN PUBLIC HEALTH

John Ahearn	Union, N. J.
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CANDIDATES FOR THE DEGREE OF MASTER OF SCIENCE IN HOME ECONOMICS

Margie Ellen H. Wilson	Carlisle
Insun H. Yun	Lexington

COLLEGE OF LAW

William L. Matthews, Jr., Dean

CANDIDATES FOR THE DEGREE OF JURIS DOCTOR

NAME	ADDRESS
Phillip Ray Grogan	Lexington
William Thomas Ingerton	Midway
Robert S. Kinton	Lebanon, N. J.
David Gallimore Powell	Irvine

COLLEGE OF ARTS AND SCIENCES

Wimberly C. Royster, Dean

CANDIDATES FOR THE DEGREE OF BACHELOR OF ARTS

NAME	MAJOR SUBJECT	ADDRESS
Richard Gerald Alvey	English	Lexington
Mary Jo Archdeacon	History	Houston, Texas
Patricia Anne Atkinson	Theatre Arts	Somerset
John Everett Barrett	Sociology	Lexington
Lee Bernard Becker	Journalism	Cold Spring
Dorothy Elizabeth Berger	Art	Winchester, Ma.
Norma Elaine Berry	Sociology	Stephensburg
John Calvin Blake III	History	Cincinnati, O.
Susan Sweet Blakney	Art	Camillus, N. Y.
Hubert Lee Burton	Art	Nicholasville
Elizabeth Politano Butler	English	Lexington
Wendell Bruce Carver	History	Hopkinsville
Gene A Clabes	Journalism	Henderson
Sharon Hope Combs	History and Political	Hazard
Deverly Gail Corbin	French	Lexington
Linda Beavers Couch	Mathematics	San Antonio, Tex
Stanley L. Craig	Speech	Louisville
John Claud Crouch	Chemistry	Glasgow
Phillip Dean Damron	Arts-Law	Weeksburg
Paula Keam DeFeo	Sociology	Newark, N. J.
Ralph Wayne Derickson	Journalism	Lexington
Judith Ann Dorton	Germanic and Classical Languages and Literatures	Louisville
Linda Hartson Dublin	Topical	Lexington
Benjamin Mitchell Edwards	Chemistry	St. Albans, W. Va
Elizabeth Ellen Elam	English	Lexington
Martha Ann Elam	Psychology	Lexington
Howard C. Enoch	Theatre Arts	Hopkinsville
Richard Lee Fain	Political Science	Lexington

(Candidates for Bachelor of Arts cont'd.)

NAME	MAJOR SUBJECT	ADDRESS
Daniel Brian Fox	Psychology	Ft. Thomas
Jerry Allen Gaines	Political Science	Paducah
Pamela Kay Gaitskill	History	Lexington
Julia Allison Gilmore	Political Science	Owensboro
Donald William Girone	Music	Lexington
Robert Silas Goodin	History	Elizabethtown
Ruth Mathews Gullion	French	Danville
Nancy Lynn Gum	Sociology	Frankfort
David L. Hawkins	Psychology	Owenton
Robert Jay Horner	Psychology	Littlestown, Pa.
Connie Sue Huff	Chemistry	Somerset
Howard Kenneth Humphress	History	Mt. Sterling
Candace Lane Isaacs	Psychology	Louisville
Freeman Lee Johnson	English	Dalton, Ga.
Robert Bryant Kenney, Jr.	History	Lexington
John Alexander Komisar	Art	Woodland Hills, Calif.
Patricia Ann Krieger	English	Louisville
Karen Sue Lachat	Psychology	Dayton, O.
James Joseph Lambert	Political Science	Lexington
Helen Rebecca Long	Sociology	Waverly
Charlotte Taylor McCreary	Sociology	Tompkinsville
Martha Burton May	Sociology	Danville
Paul Douglas Mays	English	Henderson
Linda Jean Miller	Political Science	Macon, Ga.
Stephen Dale Milner	Political Science and History	Louisville
Sondra Marie Moore	Psychology	Frankfort
Francis Edward Nelson	Chemistry	Lynn, Mass.
Mary Anderson Ockerman	Social Work	Lexington
Merrily Ann Orsini	Political Science	Louisville
Thomas Reid Padgett	Sociology	Lexington
Eugenia Cowan Pierson	Chemistry	Lexington
Mott V. Plummer	Political Science	Vanceburg
David Rankin Porter	Sociology	Lexington
Jan Lee Prickett	History	Erlanger
Craig Robert Reynolds	History	Westport, Conn.
Mary Bowman Ringo	Psychology	Lexington
Howard Kay Rosenberg	Social Work	Louisville
Rosalind Moore Rosenstiel	English	Lexington
Julie Ann Runyan	English	Frankfort
Sara Judith Smiley	History and English	Prestonsburg
Gene Vance Smith	Sociology	Winchester
Louise Lowry Smith	Topical	Paris
John B. Southard, Jr.	Chemistry	Anchorage
Philip Andrew Stalnaker	Psychology	Pikeville
Raymond Darrell Stamper	Biology	Columbus, O.
Pamela Powell Stoltz	Psychology	Madisonville
Janet Reitmeier Taylor	Telecommunications	Lexington
Michael V. Thompson	History	Lebanon
Christine Yvonne Thornton	English	Gahanna, O.

(Candidates for the Degree of Bachelor of Arts cont'd.)

NAME	MAJOR SUBJECT	ADDRESS
David Thomas True	Psychology	Frankfort
Gary Lee Turner	Political Science	Frankfort
Walter William Turner	Political Science	Lexington
Ann Eleanor Wallace	Topical	Saddle River, N. J.
Glenna Wayne Wash	English	Lexington
Henry Watson III	Political Science	Mt. Sterling
Edwin Dean Willhite	Music	Eminence
Robert F. Wilson	Chemistry	Vanceburg
Lynn David Wiseman	English	Lexington
Thomas Stephen Witt	Economics	Louisville
Michele Lovett Wright	Music	Lexington

CANDIDATES FOR THE DEGREE OF BACHELOR OF SCIENCE

Larry Morgan Ayres	Microbiology	Glencoe
Dale Thomas Blankenship	Chemistry	Covington
Brenda Lee Bond	Botany	Benham
Mario Adalid del Cid	Zoology	Lexington
Jeanne Claire Duncan	Microbiology	Farmingdale, N. J.
Jeffery Kent Evans	Botany	Cumberland
Gary Lee Foster	Mathematics	Lexington
Donald Joseph Hillenmeyer, Jr.	Botany	Lexington
Frederick Joseph Meister	Zoology	Greenville
Frederick William Meyer, Jr.	Zoology	Beckley, W. Va.
William Edward Moss	Arts-Medicine	Williamsburg
Barry Ray Ogilby	Geology	Dixon
Margaret Marker Pendley	Microbiology	Lexington
Ralph Dewey Petrey	Arts-Dentistry	Lexington
Marvin Philpot	Mathematics	Manchester
Larry Robert Shapero	Arts-Dentistry	Lexington
Pamela Ann Sheffer	Zoology	Millport, Ala.
Richard James Taylor	Arts-Medicine	Lexington
Donald Richard Walters	Zoology	Catlettsburg
John J. Whitt	Zoology	Paintsville
Marc Kenneth Zweig	Arts-Dentistry	Edison, N. J.

CANDIDATES FOR THE DEGREE OF BACHELOR OF MUSIC

Diane Carrick	Georgetown
Rex Albert Conner	Lexington
Marilyn Joyce Schraeder	Loyal

COLLEGE OF AGRICULTURE

Charles Elmer Barnhart, Dean

CANDIDATES FOR THE DEGREE OF BACHELOR OF SCIENCE IN AGRICULTURE

NAME	ADDRESS
Kenneth Woodrow Haines, Jr.	Union Springs
Dennis David Lair	Stanford
Douglas Warren Lambert	Lexington
Merritt William Marrs	Lexington
James Rollins May	Pembroke
Mary L. McIlvain	Moorestown, N
Harry A. Mills	Inez
Darryl Nelson Nunnelley	Florence
Betty Gean Schaber	Louisville
Lana Sue Weckbach	Covington
David Leon Wood	Auburn, Ala

COLLEGE OF ENGINEERING

Robert Mortimer Drake, Jr., Dean

CANDIDATE FOR THE DEGREE OF BACHELOR OF SCIENCE IN CHEMICAL ENGINEERING

Gerald Henry Slucher	Lexington
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CANDIDATES FOR THE DEGREE OF BACHELOR OF SCIENCE IN CIVIL ENGINEERING

Ralph K. Anderson, Jr.	Burkesville
Joe Porter McLean	Russellville

CANDIDATES FOR THE DEGREE OF BACHELOR OF SCIENCE IN ELECTRICAL ENGINEERING

Carl Collings Beeler	Bardstown
Heston Joseph Driskell	Henderson
Larry Steven Gulley	Middlesboro
Larry Don McGregor	Benton
Robert Dudley Nevels	Hopkinsville
James J. Palmer	Lexington
Terry Dean Pelfrey	Winchester
Caleb B. West	Florence

CANDIDATES FOR THE DEGREE OF BACHELOR OF SCIENCE IN MECHANICAL ENGINEERING

Robert Bernard Bullock	Hodgenville
John Michael Cohen	Henderson
Danny Lee Sams	Lexington

CANDIDATES FOR THE DEGREE OF BACHELOR OF SCIENCE IN METALLURGICAL ENGINEERING

NAME	ADDRESS
Peter Patrick Kelly	Southampton
James Terry Tidwell	Florence

COLLEGE OF EDUCATION

George W. Denmark, Dean

CANDIDATES FOR THE DEGREE OF BACHELOR OF ARTS IN EDUCATION

NAME	MAJOR SUBJECT	ADDRESS
Thad Samuels Abell II	English	Sylvania, O.
Beverly Newton Adkins	Elementary Education	Harrodsburg
John Hunt Alexander	History and Political Science	Lexington
Joann M. Allen	Elementary Education	Jackson
Margaret Spry Allen	Elementary Education	Evanston, Ill
Mary Irene Allshouse	Business Education (General Business)	Lexington
Janet Sue Anderson	Elementary Education	Lexington
Walter Stanley Asbridge	History	Lexington
Donna Faulconer Barr	Elementary Education	Lexington
Rebecca Ann Begin	Mathematics	Lexington
James Wayne Bennett	Biological Sciences	London
Mary Luzilla Bitting	Elementary Education	Louisville
David Charles Bodkin	Biological Sciences	Ludlow
Lois Jean Braswell	Elementary Education	Covington
Mary Cleveland Buckman	Elementary and Special Education: Educable Mentally Retarded	Lexington
Edward Theodore Buerkle	History	Louisville
Julia Gwen Carnes	Elementary Education	Lexington
Patricia Lee Carpenter	Spanish	Louisville
Patricia Ann Castle	Elementary Education	Prestonsburg
Roger Darrell Caudill	History	Lexington
Mildred Carole (Hardin) Cheatham	Biological Sciences	Lexington
Lora Jane Combs	Elementary and Special Education: Trainable Mentally Retarded	Hazard
Josephine Mary Connell	Elementary Education	Shelbyville
Carol Sue Cundiff	Business Education (Secretarial)	Somerset
Kerry Van Curling	History and Special Education: Educable Mentally Retarded	Princeton
Sue Paulette Davis	Elementary Education	Lexington
Anna L. Deaton	Business Education	Florence
John Wallace Downs	English and History	Louisville
Ruth Mason Duncan	Elementary Education	Bagdad
Kelley Kirby Dunn	Elementary Education	Lexington
Linda Mae Fawbush	Elementary Education	Benham

(Candidates for the Degree of Bachelor of Arts in Education cont'd)

NAME	MAJOR SUBJECT	ADDRESS
James Paul Fields	English	Lexington
James Keith Fisher	Social Studies	Lexington
Karen Gail Flood	English and Sociology	Campbell
Peter Thompson Frailie	Health, Physical Education and Special Education: Orthopedically Handicapped	Ashland
Gloria Baker Gadd	Elementary Education	Lexington
Jane Ellen Gard	Elementary Education	Lexington
Karen Jane Gray	English and Sociology	Ashland
Noel Worrell Haeberle	Elementary Education	Lexington
Bennie Hall	Mathematics and Chemistry	Garner
Barbara Merle Hardesty	Elementary Education	Lexington
Peggy Lee Hatfield	Elementary Education	Ashland
Janet Lee Hatter	History	Waynesburg
Reginald Jakey Haymond	History (Junior High)	Lexington
Mary Jo Helm	Elementary Education	Danville
Virginia Blackburn Hendon	History (Junior High)	Georgetown
Carol Ann Holub	English (Junior High)	Covington
David Ross Hourigan	History and Political Science (Junior High)	Gravel Switch
Marty Stevens Hoverman	Elementary Education	Lexington
Donna Louise Howell	Elementary Education	Louisville
Lynne Nancy Huebner	Elementary Education	Lexington
Jo-Ellyn Rae Idzikowski	Business Education	Timonium, Md
Betty Barnes Jaeger	Elementary Education	Ft. Mitchell
Martha Mielke Jarvis	English	Lexington
Carol Day Keeton	Elementary Education	Ashland
Denver Louis Kennett	History and Physical Education	Lawrenceburg
Lorraine Ann Kroeger	Business Education (General Business)	Ludlow
Nancy Thomasson Laib	Elementary Education	Lexington
Patrick Roy Lake	Biological Sciences	Ashland
Theodore Andrew Lecrenski, Jr.	History and Business Education (General Business)	Westfield, Mass
Marilyn Frances Lemaster	Health, Physical Education and Recreation	Hampton, Va.
Susan Kay McCracken	Elementary and Special Education: Educable Mentally Retarded	Davenport, Iowa
Mary Susan McKnight	Special Education: Speech and Hearing	Florence, Ala
Patricia Ann Magee	Elementary and Special Education: Orthopedically Handicapped	Lexington
Joseph Alphonsus Medley	Health and Physical Education	Bardstown
Lavada Messer	Elementary Education	Hazard
Frances Miles	Elementary Education	Nicholasville
Jean Allison Miller	Elementary Education	Florence
Marvin Cary Moore	Mathematics	Garrett
Michael Ralph Moreland	History	Covington
Martha Williams Newton	Elementary Education	Ashland City, Tenn
Robert Darrell Nolen	History	Ashland

(Candidates for the Degree of Bachelor of Arts in Education contd'.)

NAME	MAJOR SUBJECT	ADDRESS
Philip Collings Norton	History	Winchester
Dorothy Kelly Osborne	Elementary Education	Lexington
James Brent Paldin	Health and Physical Education	Mamaroneck, N. Y.
Mary Margaret Parrott	Speech and Hearing Therapy	Lebanon
Jean B. Petillo	Elementary Education	Deal, N. J.
Ronald Leroy Peyton	Business Education (General Business)	Lexington
Susy Merrick Philhours	Elementary Education	Lexington
Marjorie Doyle Price	Elementary Education	Shelbyville
Sandra Kay Rainey	Elementary Education	Lexington
June Elizabeth Randolph	Elementary Education	Lexington
Rosemary Turner Rice	Business Education	McDowell
Rebecca Jones Riley	English	Ft. Mitchell
Rita H. Rolph	Elementary Education	Elizabethtown
Richard Russell	Mathematics	Hazard
Barbara Ann Sams	History	Ashland
Peggy Jo Satterly	Business Education (Secretarial)	Lawrenceburg
Frazier T. Sayre	History and Political Science	Lexington
Barbara Lou Sharpe	Business Education	Indianapolis, Ind.
Brenda Sue Sheeley	English	Louisville
Josephine Langdon Shoop	Elementary Education	Richmond
Terry Lee Showers	History and Political Science	Big Clifty
Arthur Emerson Simpson II	Business Education (General Business)	Lexington
Philip A. Skapura	Geography (Junior High)	New Knoxville, O.
Julia Rodney Sloan	Business Education (Secretarial)	Lexington
Mary F. McCall Slone	English	Winchester
Donna Jean Strickler	Mathematics	Paducah
Martha G. Sullivan	Health, Physical Education and Recreation	Lexington
Catherine Fruth Taylor	English	Lexington
Nicholas Lawrence Temple	History	Louisville
Patricia Gray Tucker	Elementary Education	Dayton, O.
Janet F. Van tatenhove	Elementary Education	Wilmore
Gloria Jean Welch	Health, Physical Education, and Sociology	Covington
Michael Edwin Wells	English	Lexington
Rose Hager Wells	English	Lexington
Rose A. Simons Williams	Elementary Education	Paint Lick
Jo Ann Wilson	Elementary Education	Frankfort
Claudia Ann Wilt	Biological Sciences	Maysville
Patricia Ann Wohrley	Elementary Education	Hebron

COLLEGE OF BUSINESS AND ECONOMICS

Charles Foster Haywood, Dean

CANDIDATES FOR THE DEGREE OF BACHELOR OF SCIENCE IN COMMERCE

NAME	ADDRESS
James Richard Acomb	Mansfield, O.
Richard Annetts Bean	Lexington
William Arthur Cheek II	Louisa
David Morris Clark	Lexington
Frank Yankey Cloyd	Richmond
Robert Todd Cunningham	Louisville
Christopher Dobbyn	Laurel, Md.
Carl Stephen Globash	Lexington
Jimmy Martin Hammond	Lexington
Thomas Ferrell Henry	Lexington
Caroline Newell Jones	Owensboro
Kurt Albert Keller	Bronxville, N.Y.
Thomas Dudley Lloyd	Ft. Thomas
Austin Lynn McGlothlin	Loyall
Stephan Ray Miller	Louisville
Kenneth Roy Noble	Ft. Lauderdale, Fla.
Alan Charles Theobald	Louisville
James Carl Tunstill	Lexington
Jerry Wayne Wilson	Princeton

CANDIDATES FOR THE DEGREE OF BACHELOR OF SCIENCE IN ACCOUNTING

David Gene Caines	Ashland
LeRoy Fowler	Lexington
Thomas Rankin Herndon, Jr.	Lexington
Jere Russell McIntyre	Flemingsburg
Thomas Joseph Mathews	New Lenox, Ill.
Eva Jo Nevius	West Liberty
Robert Breckenridge Overstreet	Perryville
Charles Joseph Preston	Monticello
Darrell Ray Ratliff	Ezel
Walter Lenzy Royce	Midway

CANDIDATES FOR THE DEGREE OF BACHELOR OF BUSINESS ADMINISTRATION

Thomas Wayne Baker	Lexington
James Wendell Ball	Williamsburg
David Hill Banks	Fairfield, Conn.
Darrell Wayne Blevins	Lexington
Timothy Paul Brian	Louisville
Robert Allan Cerwin	Cicero, Ill.
Frank Edward Coggins	Louisville
James Stuart Dunkin	Paducah

(Candidates for the Degree of Bachelor of Business Administration cont'd.)

NAME	ADDRESS
Thomas Nelson Gauspohl	Bellevue
Stewart Edwin Mooney	Slomp
R. Fielding Neel, Jr.	Finchville
James Malcolm Newman	Henderson
Samuel Edwin Rupured	Lexington
Harry Lee Smith	Lexington.

CANDIDATES FOR THE DEGREE OF BACHELOR OF SCIENCE IN BUSINESS AND ECONOMICS

Robert Andrew Batts	Lexington
Michael Lee Harrison	Bluefield, Va.
Douglas Rochester Losey	Eubank

SCHOOL OF ARCHITECTURE

Charles Parker Graves, Dean

CANDIDATES FOR THE DEGREE OF BACHELOR OF ARCHITECTURE

Day Surles Johnston	Louisville
Kenneth Lee Lewis	Louisville
Kenneth Ray Smith	Louisville

SCHOOL OF HOME ECONOMICS

Leonard V. Packett, Acting Dean

CANDIDATES FOR THE DEGREE OF BACHELOR OF SCIENCE IN HOME ECONOMICS

Lura Ann Baker	Grayson
Nancy Jane Bement	Howells, N.Y.
Linda Clary Bivens	Lexington
Joanna Dusserde Barenne Blundell	Rockville, Md.
Lynne Thompson Bowling	Loretto
Linda Faye Carroll Kincaid	Paintsville
Mary Margaretha Korfhage	Fern Creek
Mary Ann Noe	Paint Lick
Dinah Lynch Taylor	Barbourville

COLLEGE OF PHARMACY

Joseph V. Swintosky, Dean

CANDIDATES FOR THE DEGREE OF BACHELOR OF SCIENCE IN PHARMACY

NAME	ADDRESS
Richard Lee Ravencraft	Ashland
James Elvin Watts	Harrodsburg

Finance Committee Report
September 16, 1969

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF SUMMARY AUDIT REPORT
FOR THE UNIVERSITY OF KENTUCKY FOR 1968-69

Recommendation: that the Board of Trustees accept the summary audit report of the financial records of the University of Kentucky for the fiscal year 1968-69, consisting of the Accountant's Reports from Peat, Marwick, Mitchell and Co., a Balance Sheet, Statement of Current Funds Revenues, Expenditures and Transfers, and a Statement of Changes in Fund Balances.

Background: The Finance Committee of the University of Kentucky has reviewed the audit report submitted by the firm of Peat, Marwick, Mitchell and Co. in conjunction with the administration of the University of Kentucky. A comprehensive annual financial report, together with the management letter will be submitted at a later date.

(A copy of the audit report is in the files of the meeting but is not included as a part of the Minutes.)

Action: Approved X Disapproved Other

Dated: September 16 , 1969

Office of the President
September 16, 1969

FCR 2

Members, Board of Trustees:

Information Relative to Resolution for Sale of Bonds

By a resolution which was adopted on September 20, 1960, the Board of Trustees established its "Consolidated Educational Buildings Project" for the University, consisting, in substance, of all of the academic and administrative buildings on the main campus in Lexington, and by the same resolution, established an issue of the Board's "Consolidated Educational Buildings Revenue Bonds", payable from a pledge of, and lien upon, all of the student registration fees charged and collected at the University's main campus.

The "Consolidated Educational Buildings Revenue Bonds" may be issued from time to time in various Series, but always in compliance with the basic terms and provisions which were prescribed in the original resolution of September 20, 1960.

The Board has heretofore authorized and issued its "Series A" bonds in the amount of \$8,000,000.00, dated November 1, 1960, and its "Series B" bonds in the amount of \$7,500,000.00, dated May 1, 1963, and as those bonds have matured from time to time, they have been paid, with interest, from the pledged student registration fees.

Now, for a number of years, the University, with the approval and supervision of the Board of Trustees, and with the continuing approval of the State Property and Buildings Commission, has been planning and laying the groundwork for a very substantial program of academic building improvements. This program, generally speaking, has consisted of (a) a proposed "Series C" Bond issue in the amount of \$4,393,000.00 pursuant to a loan agreement with the United States Department of Health, Education and Welfare (HEW), principally for the large new Classroom, Faculty and Administrative Office Building for the College of Arts and Sciences which is now nearing completion adjacent to the Administration Building, and (b) "Series D" Bonds in the amount of \$29,250,000.00 for various other academic buildings and appurtenant facilities which are set forth in certain

resolutions which have been adopted by the Board, culminating in a resolution adopted by the Executive Committee on November 27, 1967, when the Executive Committee (according to authority specifically delegated to it by the Board) authorized both the Series C and the Series D Bonds.

It was impractical and impossible to offer the Series C and Series D Bonds for sale at that time. In the case of the Series C Bonds, HEW will not submit a purchase bid until the building or buildings involved reach 80% or more of completion; and in the case of the projects to be financed through issuance of the Series D Bonds, many were not beyond the preliminary planning stages and it was not possible to submit them for construction contracts at that time.

In 1966, anticipating just this sort of timing problem in the issuance of bonds, where many projects might be involved, the General Assembly of Kentucky adopted an act which now appears as KRS 56.513, providing that if bonds of this sort are authorized, then in anticipation of the future sale thereof at a proper time, the Board of Trustees, with the approval and concurrence of the State Property and Buildings Commission, which was obtained, may authorize and offer for sale "Revenue Bond Anticipation Notes" on a short-term basis, and to be payable out of the proceeds of the bonds, when the same are later issued. This program was authorized and followed by the Executive Committee of the Board in November and December of 1967, by the issuance of \$31,400,000.00 "Revenue Bond Anticipation Notes" which were obligations of both the Board of Trustees and the State Property and Buildings Commission. These notes matured in December, 1968, and it was the determination of the Board and the State Property and Buildings Commission that it would be provident to renew the same for another year (as authorized by law), including capitalization of another year's interest, so that the renewal notes issued in December, 1968, were in the amount of \$33,200,000.00 and will mature in December of 1969.

The Classroom, Faculty and Administrative Office Building, which is now the subject of the HEW loan agreement, has now reached 80% of completion, and it is in order to provide for the sale of the above described Series C Bonds, which the Government has guaranteed to bid for at par and at an interest rate of 3% per annum - which is so far below the current market rate that it would be improvident to withhold the sale of the bonds any longer. Meanwhile, however, \$103,000.00 of the Series C Bonds were caused to mature (in the resolution of November 27, 1967) on May 1, 1969, and since that date has been overtaken, this \$103,000.00 of bonds is now past due and cannot be sold. It is proposed that the Board make available \$103,000.00 from surplus revenues in the "Revenue Fund" of the "Consolidated Educational Buildings Project", in order to provide money which is the equivalent

of the sale of these first maturing bonds. Otherwise, the Series C Bonds in the amount of \$4,290,000.00 are available for offering at public sale, and it is the proposal of the administration, following through the plan which has been so far . carried out as above stated, to submit to the Board of Trustees at the forthcoming meeting of September 16, 1969, proper proceeding for offering those bonds for public sale, after due advertisement, upon a date and at an hour which we will ask the Board to determine.

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, PROVIDING FOR THE OFFERING AT PUBLIC SALE OF THE BOARD'S HERETOFORE AUTHORIZED "CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES C" IN THE AMOUNT OF \$4,290,000 (OMITTING \$103,000 THEREOF WHICH ARE NOW PAST DUE), AND PROVIDING FOR DISPOSITION OF THE PROCEEDS THEREOF.

WHEREAS, at a meeting held on November 27, 1967, the Statutory Executive Committee (the "Committee") of the Board of Trustees of the University of Kentucky (the "Board"), acting pursuant to authority theretofore vested in the Committee by the Board, adopted a certain resolution (the "Series C and Series D Resolution") authorizing the issuance of the Board's \$4,393,000 "Consolidated Educational Buildings Revenue Bonds, Series C" (the "Series C Bonds"), dated November 1, 1967, and maturing serially in various amounts on May 1 in each of the years 1969 to 1996, inclusive, and the Board's \$29,250,000 "Consolidated Educational Buildings Revenue Bonds, Series D" (the "Series D Bonds"), dated November 1, 1967, maturing serially in various amounts on May 1, in each of the years 1970 to 2007, inclusive; and in order to provide interim construction funds for the various buildings and appurtenant facilities identified in and intended to be financed, in part, through application of the proceeds thereof, authorized, sold and issued, jointly with the State Property and Buildings Commission of Kentucky (the "Commission"), the Board's \$31,400,000 "Revenue Bond Anticipation Notes" (the "Notes"), pursuant to authority of KRS 56.513, maturing December 13, 1968; and the Notes were renewed at maturity (with provision for deposit

of interest in advance) by the sale and issuance of the Board's \$33,200,000 "Revenue Bond Anticipation (Renewal) Notes" (the "Renewal Notes"), which will mature on December 12, 1969; and the entire amount of interest to maturity of the Renewal Notes has been deposited with the Paying Agent thereof, and invested and reinvested; and

WHEREAS, (i) the Series C Bonds were authorized according to the provisions of a certain Loan Agreement made by the Board with the United States Department of Health, Education and Welfare ("HEW"), in order to provide the costs (to the extent not supplied from other sources) of erecting and completing a new educational building with necessary appurtenant facilities upon the property of the University in Fayette County, Kentucky, consisting of a new classroom, faculty and administrative office building for the College of Arts and Sciences, necessary equipment, utilities and site improvements, which, when erected and completed will become and constitute a part of the Board's Consolidated Educational Buildings Project, as established and created in and by a certain resolution which was adopted by the Board on September 20, 1960 (the "Resolution"); (ii) said building project is now more than 80% complete, so that the Series C Bonds may now be offered and sold according to the HEW Loan Agreement; (iii) it has heretofore been established, and it is still true, that the provisions and requirements set forth in Section 7.10 of the Resolution have been and will be complied with, in

that the average of the annual Revenues (a defined term) of the Consolidated Educational Buildings Project for the two Fiscal Years last preceding the adoption of this resolution (with permissible adjustments to reflect increased student registration fees), was equal to not less than 1.25 times the maximum Aggregate Principal, Interest and Bond Fund Charges (a defined term) in any succeeding period ending May 1 on the outstanding Series A Bonds, previously issued under date of November 1, 1960, the outstanding Series B Bonds, previously issued under date of May 1, 1963, and the Series C and Series D Bonds, so that the Series C Bonds may now be sold and delivered ranking on a basis of parity with the outstanding Series A Bonds and the Series B Bonds, and current proof to that effect will be duly made and filed prior to the delivery of the Series C Bonds, as required by the Resolution; and

WHEREAS, it is provided in the text of the Renewal Notes, as approved by the Commission and executed on behalf of the Commission, that the Board is authorized to sell the Series C Bonds and/or the Series D Bonds at a proper time or times without further approval or authorization by the Commission,

NOW, THEREFORE, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY HEREBY RESOLVES, AS FOLLOWS:

Section 1. Inasmuch as \$103,000 of the Series C Bonds (represented by Series C Bonds Nos. 1, 2 and 3, each in the denomination of

\$1,000, and Series C Bonds Nos. 4 to 23, inclusive, each in the denomination of \$5,000) were provided to mature on May 1, 1969, and are now past due -- said Bonds shall not now or hereafter be sold or issued, and authority to issue said Series C Bonds Nos. 1 to 23, inclusive, as set forth in the Series C and Series D Resolution, is hereby permanently and irrevocably rescinded, and said identified Series C Bonds Nos. 1 to 23, inclusive, shall never be issued.

Notwithstanding the foregoing, the Board is reminded that in the issuance of the Notes and the Renewal Notes, the Board made a covenant and agreement with the purchasers thereof that in due course of time the Board would actually sell and deliver the authorized Series C Bonds and Series D Bonds in the total principal amount authorized (being \$33,643,000), in order to give assurance that there would be a source of payment of the Notes, or renewals thereof. In order to make good this covenant, it is apparent that the Board should provide and make available for payment of the Renewal Notes the sum of \$103,000 in cash, to supplement the proceeds of the remaining \$4,290,000 of the Series C Bonds. Such appropriation in the sum of One Hundred Three Thousand Dollars (\$103,000) is hereby made from moneys in the "Consolidated Educational Buildings Project Revenue Fund" which was established and has been maintained according to Section 4.04 of the Resolution of September 20, 1960; said sum of \$103,000 being appropriated, however, only from the excess or surplus remaining in said Revenue Fund after first meeting all contractual

requirements with reference to the outstanding and unpaid Series A Bonds and Series B Bonds hereinabove referred to.

Section 2. The Series C Bonds numbered 24 to 881, inclusive, each in the denomination of \$5,000, and aggregating in principal amount the sum of \$4,290,000, shall be offered at public sale upon sealed competitive bids, and after due advertisement as required by KRS Chapter 424 and in accordance with the provisions of the HEW Loan Agreement. The Board hereby designates the ____ day of _____, 1969, at the hour of _____.M., EST, as the occasion upon which the Board (or its Executive Committee, which is hereby authorized to act in the sale of said Series C Bonds), then scheduled to be in session, will publicly open, consider and take action upon such purchase bids as may then be received.

The Secretary of the Board is hereby authorized to sign and cause to be published an appropriate "Notice of Sale of Bonds," in customary form and complying with the requirements of HEW; and the same shall be published one time not less than seven days nor more than twenty-one days prior to the date of sale herein set forth, in each of (a) The Courier-Journal, a newspaper published in Louisville, Kentucky, and affording statewide coverage, and (b) The Daily Bond Buyer, a financial newspaper or journal published in New York, New York, and having nationwide circulation among bond buyers. If required by the policies and practices of HEW, the publication of such Notice in The Daily Bond Buyer shall be made fifteen days or more (but not less than twenty-one days) prior to the sale date.

There having been submitted to the Board a proposed form of such "Notice of Sale of Bonds" prepared by Bond Counsel and approved by HEW, the Business Staff of the University and the Fiscal Agent; and such form having been examined by the Board and found to be acceptable; such form is hereby approved and may be signed and caused to be published by the Secretary of the Board.

The Fiscal Agent, acting in coordination with and subject to the approval of the Business Staff of the University, shall additionally prepare and cause to be disseminated and made available to interested bidders an "Official Statement," in customary form, adequate to provide to parties interested in submitting bids for the purchase of the aforesaid Series C Bonds the usual historical and financial information necessary to a proper evaluation thereof.

Section 3. The Board hereby determines and declares that it is its purpose (a) to apply the proceeds of the aforesaid \$4,290,000 of Series C Bonds to the payment of a like amount of the Renewal Notes which will mature on December 12, 1969, in the manner intended and provided for in Section 2.16 of the Series C and Series D Resolution which was adopted on November 27, 1967, and (b) to renew the balance of the said Renewal Notes by authorizing and offering for sale the Board's "Revenue Bond Anticipation (Second Renewal) Notes" in such principal amount as will be sufficient (supplemented by funds from other sources or not, as the Board may hereafter determine), to (i) provide for payment

in full of the Renewal Notes, either at maturity on December 12, 1969, or upon call for redemption on December 11, 1969, and (ii) provide for the deposit with the Paying Agent which may be designated for said Second Renewal Notes, in escrow, of the entire amount of interest which will accrue on such Second Renewal Notes from the date thereof to the date of maturity thereof, computed at whatever respective rates may be established and made applicable to said Second Renewal Notes upon the occasion of the sale thereof.

Accordingly, there is hereby established in the custody of Chemical Bank, New York, New York, as the Paying Agent for the Renewal Notes, a special fund or account which shall be designated the "Renewal Notes Payment Account"; and when the above identified \$4,290,000 principal amount of the Series C Bonds are sold and delivered, and the proceeds thereof are received, there shall be deposited into such "Renewal Notes Payment Account" (i) the sum of \$4,290,000 from the proceeds of such Series C Bonds and (ii) from surplus moneys in the "Consolidated Educational Buildings Project Revenue Fund" the sum of \$103,000 as appropriated for that purpose in Section 1 hereof. Inasmuch as provision has already been made for payment of all interest which will become due upon the Renewal Notes, it is ordered that all moneys received from the purchaser or purchasers of the aforesaid Series C Bonds as representing accrued interest to the date of delivery shall be transmitted to First Security National Bank and Trust Company of Lexington, in Lexington, Kentucky, as the Trustee for the holders of all of the "Consolidated Educational

Buildings Revenue Bonds," for deposit in the "Consolidated Educational Buildings Project Bond and Interest Sinking Fund," established in Section 4.05 of the Resolution adopted on September 20, 1960, in order that the same may be available for application to the payment of interest coupons next thereafter becoming due on such Series C Bonds.

When, at a later date, the Board shall authorize, sell and issue its aforesaid Second Renewal Notes, there shall be deposited from the proceeds thereof (or from other sources if then so determined by the Board) a sum sufficient (together with the sum of \$4,393,000 hereinabove provided to be deposited therein) to provide for payment in full of the Renewal Notes at maturity on December 12, 1969 (or upon prior redemption on December 11, 1969, as the case may be); and all such sums so deposited in the Renewal Notes Payment Account shall be held in escrow by Chemical Bank, New York, New York, and applied at the proper time to the payment of the Renewal Notes. At the time of the issuance and delivery of the Renewal Notes, there was deposited with Chemical Bank, New York, New York, in a special fund which was designated the "Revenue Bond Anticipation (Renewal) Notes - Interest Account" a sum equal to all interest on the Renewal Notes from date to maturity, and it was provided that the same was to be invested and reinvested. Chemical Bank is hereby directed to pay the interest on the Renewal Notes from said special "Revenue Bond Anticipation (Renewal) Notes - Interest Account" and thereafter to transfer any earnings derived from investments therein, in such manner

as the Board may hereafter direct in the authorization and issuance of the Second Renewal Notes.

When the Board sells and issues its Second Renewal Notes, provision shall be made for deposit from the proceeds thereof into the Renewal Notes Payment Account in the custody of Chemical Bank, New York, New York, of an amount sufficient (together with the sum of \$4,393,000 hereinabove provided to be deposited therein, and such other sum, if any, as the Board may elect to cause to be deposited therein from other sources) to assure payment of the principal amount of all of the Renewal Notes at maturity on December 12, 1969, or upon call for redemption on December 11, 1969, as the case may be.

The moneys deposited in the aforesaid Renewal Notes Payment Account shall, to the extent feasible, be invested in short term obligations of the United States Government maturing not later than December 11, 1969, in order that the proceeds of such investments may be available on that date for application to the payment of the outstanding Renewal Notes. If the time elapsing after the date of any deposit in the Renewal Notes Payment Account shall be such that it is not feasible to invest the same prior to December 11, 1969, then such moneys shall be held by Chemical Bank in cash and so applied at the proper time.

Section 4. This Resolution shall be in full force and effect from and after its adoption.

ADOPTED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY
at its Statutory Meeting on September 16, 1969 (the third Tuesday in
September, as provided by KRS 164.170), and declared by the Presiding
Officer to be in full force and effect.

(SEAL)

ATTEST:

Presiding Officer

Secretary

CERTIFICATION

The undersigned, Secretary of the Board of Trustees of the
University of Kentucky, Lexington, Kentucky, hereby certifies that the
foregoing is a true copy of a Resolution duly adopted by the said Board
of Trustees at its Statutory Meeting held on September 16, 1969 (the third
Tuesday in September, as provided in KRS 164.170), a quorum being present
for the transaction of business; and that said Resolution is now in full
force and effect.

WITNESS my hand and the seal of said Board, this ____ day of
September, 1969.

(SEAL)

Secretary
Board of Trustees
University of Kentucky

NOTICE OF SALE OF BONDS

\$4,290,000

UNIVERSITY OF KENTUCKY
Lexington, Kentucky

CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS - SERIES C

The Board of Trustees of University of Kentucky (the "Board"), in its corporate capacity, and as an educational agency and instrumentality of the Commonwealth of Kentucky, hereby gives public notice that until the ____ day of _____, 1969, at the hour of _____ .M., Eastern Standard Time, it will receive in the office of the Board, in the Administration Building, University of Kentucky, Lexington, Kentucky, sealed competitive bids for the purchase at not less than par of any or all of the hereinafter designated blocks of its \$4,290,000 "Consolidated Educational Buildings Revenue Bonds - Series C," dated November 1, 1967 (the "Series C Bonds"), being negotiable, coupon revenue bonds in the denomination of \$5,000 each, registrable as to principal only, and maturing as to principal serially in various amounts on May 1 in each of the years 1970 to 1996, inclusive; provided, however, that the Series C Bonds maturing May 1, 1981 and thereafter will be subject to prior redemption at the option of the Board on any interest payment date (May 1 and November 1) on and after May 1, 1980. The maturity schedule and terms of redemption are set forth in the "Official Statement," to which reference is hereinafter made. Principal and interest will be payable at the office of the Trustee, First Security

National Bank and Trust Company of Lexington, Kentucky, or, at the option of the holders, at the principal office of Chemical Bank, New York, N. Y.

The Bonds are special obligations of the Board, authorized and to be issued pursuant to authority of Sections 162.340, et seq., of the Kentucky Revised Statutes and a Series Resolution duly adopted by the Board of Trustees; and are payable from and secured by a pledge of and lien upon the revenues of the Board's Consolidated Educational Buildings Project, equally and ratably with bonds heretofore issued, and which may hereafter be issued pursuant to prescribed conditions and restrictions, and additionally secured by a statutory mortgage lien upon all buildings comprising the said Project.

Bids for one or more blocks of the Bonds will be received and considered subject to the following terms and conditions: (i) bids will be considered only for consecutive full annual maturities of said Bonds, beginning with the first maturity thereof; (ii) bidders may stipulate one or more interest coupon rates of their own choosing in multiples of one-eighth ($1/8$) or one-tenth ($1/10$) of one per cent (1%), unlimited in number, but none to exceed 3%; (iii) all Bonds maturing on the same date shall bear interest at the same rate; (iv) no Bonds shall bear interest at more than one rate from date to maturity; (v) interest maturing on any Bond on any interest payment date shall not be represented by more than one coupon; (vi) the difference between the lowest and highest interest rates specified in any bid shall not exceed one (1) percentage point; and (vii) each bid (except a bid submitted by the Government) shall be accompanied by a certified or bank cashier's check payable

to the order of University of Kentucky in a sum equal to at least two per cent (2%) of the principal amount of the Bonds therein offered to be purchased, the same to be held uncashed until delivery and payment, and at that time deposited by the University and credited upon the accepted bid; or, at the election of the purchaser, returned by the University upon payment of the full purchase price with accrued interest to delivery.

In determining the lowest net average interest cost, the bid of the Government will not be taken into consideration. Otherwise, a single award of Bonds will be made to the bidder offering to purchase the largest number of consecutive maturities of the Bonds considering each maturity date as a block. If two or more purchase bids are for the same amount of the Bonds, preference in award will be given to the bid resulting in the lowest average net interest cost; the same to be determined by ascertaining the total amount of interest required by the bid from November 1, 1969, to respective maturities, and deducting therefrom the total amount of any premium offered (if any) over and above the principal amount of the Bonds offered to be purchased. Bonds not awarded pursuant to these provisions will be awarded to the Government in accordance with its Loan Agreement, wherein it has guaranteed to submit a purchase bid for all of the Bonds at principal amount and accrued interest, and at a single uniform interest rate of 3% per annum, subject to acceptance by the University of bids upon equal terms, according to the above terms and conditions.

Each purchase bid (except in the case of a bid submitted on behalf of the Government) must be upon the prescribed "Official Bid Form." Each successful bidder will be furnished by the Board, without cost, the prepared and executed Bonds; the unqualified approving legal opinion of Grafton, Ferguson, Fleischer & Harper, Bond Counsel, of Louisville, Kentucky, the same to accompany the Bonds when tendered for delivery; and the customary No-Litigation Certificate. Usual tax-exempt basis. Delivery guaranteed not later than 45 days after the date of sale.

The Board reserves the right to reject any and all bids, and to waive any irregularity or informality deemed by the Board to be immaterial, and the decision of the Board in this respect shall be final and binding upon all parties concerned. No unaccepted bid will be binding upon the bidder or bidders after midnight on the date stated at the beginning of this instrument except with the express consent of such bidder or bidders.

Copies of the "Official Statement" and the "Official Bid Form" may be obtained from the University's President or Business Manager at the address given above.

Delivery of the Bonds will be tendered at the expense of the Board at a bank or trust company in Louisville, Kentucky, to be selected by the Board after reasonable consultation with the purchaser or purchasers.

BY ORDER OF SAID BOARD OF TRUSTEES:

s/ Lucile T. Blazer

Secretary

Board of Trustees
University of Kentucky

OFFICIAL BID FORM

\$4,290,000

UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS
REVENUE BONDS, SERIES C

_____, 1969

To the Honorable Chairman and
Members of the Board of Trustees of
University of Kentucky
Lexington, Kentucky

Gentlemen:

We have observed your published "Notice of Sale of Bonds" concerning the submission of sealed, competitive bids for the purchase of all or permissible consecutive maturities of your \$4,290,000 "Consolidated Educational Buildings Revenue Bonds, Series C," dated November 1, 1967, and have obtained, examined and are familiar with further details and conditions relating to your public offering of said Bonds in your "Official Statement," in connection therewith. By our submission of this bid we agree to all of the terms and conditions set forth in said instruments.

We hereby offer to purchase from you all of said Bonds maturing May 1, 1970, and on each consecutive May 1 thereafter to and including May 1, 19__, being Bonds aggregating \$_____ in principal amount; and to pay you therefor the principal amount thereof, with interest from November 1, 1969, to delivery, and a premium in the sum of \$_____, subject to your establishment of the respective interest coupon rates set forth below. [Line out or cross out maturities NOT offered to be purchased.]

<u>YEAR</u>	<u>AMOUNT</u>	<u>COUPON RATE</u>	<u>YEAR</u>	<u>AMOUNT</u>	<u>COUPON RATE</u>
1970	\$105,000	_____%	1983	\$155,000	_____%
1971	110,000	_____%	1984	160,000	_____%
1972	110,000	_____%	1985	165,000	_____%
1973	115,000	_____%	1986	170,000	_____%
1974	120,000	_____%	1987	175,000	_____%
1975	125,000	_____%	1988	180,000	_____%
1976	125,000	_____%	1989	185,000	_____%
1977	130,000	_____%	1990	190,000	_____%
1978	135,000	_____%	1991	195,000	_____%
1979	140,000	_____%	1992	200,000	_____%
1980	140,000	_____%	1993	205,000	_____%
1981	145,000	_____%	1994	215,000	_____%
1982	150,000	_____%	1995	220,000	_____%
			1996	225,000	_____%

[Reminder: Multiples of 1/8 or 1/10 of 1%; average net interest cost to the Board may not exceed 3%; and spread between high and low coupon rates stipulated in any bid may not exceed 1%.]

This bid is made with the understanding that the Board of Trustees will furnish without cost to the successful bidder the unqualified approving legal opinion of Grafton, Ferguson, Fleischer & Harper, Lawyers, of Louisville, Kentucky; the executed Bonds; and the customary closing certificates including the usual certificate that at that time no litigation affecting the legality of the Bonds is pending, or known to the signers of such certificate to be threatened.

We enclose as evidence of our good faith a certified or bank cashier's or treasurer's check in the amount of \$_____ [at least two per cent (2%) of the principal amount of Bonds offered to be purchased] payable to the order of the University of Kentucky. In the event this bid is accepted and should, for any reason, we fail to comply with the terms of this bid, said check is to be forfeited by us, as agreed liquidated damages; otherwise said check is to be promptly returned to us.

Respectfully submitted,

The foregoing is our purchase bid. We submit our own computations thereof only for your information and convenience:

- (a) Total interest cost at coupon rates from November 1, 1969, to final maturity \$ _____
- (b) Less premium (if any) \$ _____
- (c) Average interest cost \$ _____ %

Accepted this _____ day of _____, 1969.

BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY

By _____
Chairman

(SEAL)

ATTEST:

Secretary

The undersigned, an unsuccessful bidder, hereby acknowledges return of its good faith check tendered with this bid.

Form approved for use,
September 16, 1969.

Secretary, Board of Trustees

By _____
(Authorized Representative)

OFFICIAL STATEMENT

Relating to the Issuance and Sale of

\$4,290,000

UNIVERSITY OF KENTUCKY

CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS

SERIES C

Dated November 1, 1967

Due May 1, 1970-1996, Inclusive

A purchase bid for all the bonds at principal amount and accrued interest at a single uniform interest rate of 3% is guaranteed by the United States Department of Health, Education and Welfare

\$4,290,000

UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES C

Dated November 1, 1967

Due May 1, 1970-1996, Inclusive

Bonds maturing in the years 1970-1980, inclusive, are not subject to redemption prior to maturity. Bonds maturing May 1, 1981, and thereafter, are subject to redemption prior to maturity in inverse order of their maturities (less than all of a single maturity to be selected by lot) on May 1, 1980, on any interest payment date thereafter, at the principal amount thereof and accrued interest to the date of such redemption, plus a premium per \$1,000 principal amount of bonds so called for redemption as follows:

If Redeemed:	
On and after May 1, 1980, and prior to May 1, 1985	\$30.00
On and after May 1, 1985, and prior to May 1, 1990	20.00
On and after May 1, 1990, and prior to May 1, 1995	10.00
On and after May 1, 1995, and prior to final maturity	par

Principal and Interest (May 1 and November 1, First Coupon May 1, 1970) payable at First Security National Bank and Trust Company of Lexington, Kentucky, as Trustee, or, at the option of the holder, at the principal office of the Chemical Bank New York Trust Company, New York, New York. Coupon bonds in the denomination of \$5,000, all bonds registrable as to principal only.

Interest exempt, in the opinion of Bond Counsel, from present Federal Income Taxes under existing Statutes, Regulations and Court Decisions.

In the opinion of Bond Counsel, Interest exempt from present Kentucky Income Taxes and Principal exempt from Kentucky ad valorem Taxes.

These \$4,290,000 Consolidated Educational Buildings Revenue Bonds were authorized by a Resolution of the Board of Trustees of the University of Kentucky on November 10, 1967. The aforesaid Resolution authorized Series C Bonds in the amount of \$4,393,000 and Series D Bonds in the amount of \$29,250,000. In order to provide interim construction funds the Resolution authorized the sale of Revenue Bond Anticipation Notes in the amount of \$31,400,000. The proceeds of the sale of Series C and D Bonds (and notes issued in anticipation thereof, including renewals) are to be used to provide funds (to the extent not otherwise provided) for the construction of new buildings and appurtenant facilities, as more fully described in this Official Statement, on the University campus in Lexington, Kentucky, all such facilities to constitute parts of the Consolidated Educational Buildings Project. In accordance with the provisions of the November 10, 1967 Resolution of the Board of Trustees, Revenue Bond Anticipation Notes were issued on December 5, 1967 in the amount of \$31,400,000 and subsequently have been renewed and are

now outstanding in the amount of \$33,200,000. The Resolution requires that in the event notes are issued, bond proceeds must be deposited with the note paying agent for retirement of the notes at maturity. The proceeds of this \$4,290,000 Series C issue will be so deposited together with an additional sum of \$103,000, appropriated from University funds, representing the first maturity of the authorized bonds now past due, making a total available for note retirement of \$4,393,000.

The Series C Bonds were authorized in accordance with the provisions of a Loan Agreement made between the Board and the United States Department of Health, Education and Welfare (H.E.W.) to provide financing for a portion of the costs of erecting and completing a new educational building consisting of a new classroom, faculty and administrative office building for the College of Arts and Sciences, together with necessary equipment and appurtenant facilities. The new classroom and office building is more than 80% complete and the Series C Bonds may now be offered and sold. The Loan Agreement provides that H.E.W. will guarantee to submit a purchase bid for all of the bonds at principal amount and accrued interest, at a single uniform interest rate of 3% per annum, subject to acceptance by the University of bids upon equal terms.

These Series C Bonds are being issued pursuant to the provisions of Section 162.340 et seq. of the Kentucky Revised Statutes and in accordance with the provisions of the Series C and D Resolution adopted by the Board of Trustees of the University of Kentucky on November 10, 1967 pursuant to the terms of a Basic Resolution adopted by said Board on September 20, 1960, which created the "Consolidated Educational Buildings Project". The Series C Bonds together with the authorized but unissued Series D Bonds and the now outstanding Series A & B Bonds and additional parity bonds that may hereafter be issued under the terms of the Basic Resolution, are secured by the terms of the Basic Resolution and the Series Resolutions supplemental thereto, and are payable from and constitute a paramount charge on the Revenues of the Consolidated Educational Buildings Project of the University of Kentucky, created and established under the Basic Resolution. The Revenues of the Project are derived through the imposition and collection of a Student Registration Fee from all students attending the University, as herein set forth. As further security for the Bonds and the coupons appertaining thereto, a statutory mortgage lien upon the Project is created and granted by Sections 162.350 and 162.200 of the Kentucky Revised Statutes.

AMOUNTS AND MATURITIES

Series C

<u>Amount</u>	<u>Maturity May 1</u>	<u>Amount</u>	<u>Maturity May 1</u>
\$105,000	1970	\$155,000	1983
110,000	1971	160,000	1984
110,000	1972	165,000	1985
115,000	1973	170,000	1986
120,000	1974	175,000	1987
125,000	1975	180,000	1988
125,000	1976	185,000	1989
130,000	1977	190,000	1990
135,000	1978	195,000	1991
140,000	1979	200,000	1992
140,000	1980	205,000	1993
145,000	1981	215,000	1994
150,000	1982	220,000	1995
		225,000	1996

The issuance of these bonds and all legal matters incident thereto are subject to approval by Grafton, Ferguson, Fleischer & Harper, Attorneys, Louisville, Kentucky.

OFFICIAL STATEMENT
of
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY
relating to its
UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES C

Lexington, Kentucky
September , 1969

With reference to \$4,290,000 University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series C, (the Bonds), as described on the cover page, which is considered a part hereof, this Official Statement is being distributed by the Board of Trustees of the University of Kentucky (the Board of Trustees or the Board) to furnish pertinent information to all who may become purchasers of such Bonds.

THE UNIVERSITY OF KENTUCKY

The University of Kentucky (the University) located at Lexington, Fayette County, Kentucky, began as a part of Kentucky University under a cooperative plan authorized by the legislature in 1865. The purpose of this plan was to unite sectarian and public education under one organization and this experiment was tried for a number of years. In the meantime, federal funds authorized under the Morrill Act were used to develop agriculture and mechanic arts in Kentucky University. In 1878, when the people of Kentucky decided to establish a state institution of higher learning, the College of Agriculture and Mechanic Arts was separated from Kentucky University and reestablished on land given by the City of Lexington and the County of Fayette. In 1908 the legislature changed the name of the institution to the State University of Kentucky and gave it additional financial support. In 1916 the name was changed to the University of Kentucky, and additional maintenance was arranged by legislative act. The University is a land-grant college, established by the Morrill Act of 1862, and receives federal assistance under provisions of this and subsequent laws relating to the teaching of agriculture and the mechanic arts and the provision of agricultural experiment stations and extension services in agriculture and home economics.

The governing body of the University is the Board of Trustees of the University of Kentucky, consisting of the Governor of the Commonwealth, the State Superintendent of Public Instruction, and the State Commissioner of Agriculture, ex officio, and twelve members appointed by the Governor, three of whom are alumni of the University. The government of the University is vested in the Board, and the Board has general supervision of lands, buildings, and other properties of the University and, subject to the statutes of the Commonwealth of Kentucky, control of all expenses therefor.

The major function of the University is that of instruction. For the performance of this function it is organized into the College of Arts and Sciences, the College of Agriculture and Home Economics, the College of Engineering, the College of Law, the College of Education, the College of Business and Economics, the College of Pharmacy, the College of Medicine, the College of Nursing, the College of Dentistry, the Graduate School, and Extended Programs. The academic year of the University is divided into two semesters of approximately eighteen weeks each and a summer session of eight weeks.

The University plant, valued at more than \$155,000,000, includes some 82 major buildings on the 315 acre campus at Lexington, eight farms having a total of 17,575 acres, and seven outstate Community Colleges each offering two years of college work. A recently completed General Development Plan made by and for the University indicates a proposed expansion of the main campus to about 641 acres in coming years. Total income of the University for the fiscal year ended June 30, 1968, amounted to \$83,411,606 and was realized from the following sources:

State Appropriations.....	\$47,855,061
Federal Appropriations.....	5,441,009
Student Fees.....	8,518,837
Gifts, Grants, and Investment Income	3,333,229
Hospital and Clinics.....	5,216,290
Student Aid.....	974,390
Sales and Services.....	3,177,646
Housing, Dining and Other Auxiliary Operations.....	8,895,144

ENROLLMENT

The following schedule indicates the Fall Semester total student enrollment at the campus in Lexington for each of the academic years 1959-60 through 1969-70:

<u>Academic Year</u>	<u>Fall Semester Full Time Equivalent Enrollment</u>
1959-60	7,021
1960-61	6,791
1961-62	7,568
1962-63	8,525
1963-64	8,928
1964-65	9,855
1965-66	11,095
1966-67	11,835
1967-68	13,364
1968-69	13,834
1969-70	14,721

As indicated in the schedule, the University has experienced an extended period of enrollment growth. An increase in the birth rate during and after World War II, together with emphasis upon higher education as a part of national policy, indicates that the trend of enrollments at the University will continue upward during the next decade and a half. Using regional and national surveys of future college age population and historic enrollment data as bases, the University has projected estimated Fall semester full-time equivalent enrollment at its Lexington campus for the academic years 1970-71 through 1975-76, as shown in the tabulation below. In estimating future enrollments at the University, consideration has been given to the need for additional classroom, dormitory and dining facilities necessary to meet such enrollment increases. The physical plant of the University is being rapidly expanded, and it is planned that such expansion will continue during the next several years. The six-year enrollment projection for the University is set forth in the following tabulation:

<u>Academic Year</u>	<u>Estimated Full-Time Equivalent Enrollment at Lexington Campus</u>
1970-71	15,530
1971-72	16,384
1972-73	17,285
1973-74	18,235
1974-75	19,237
1975-76	20,295

(Figures Projected @ 5.5%)

Approximately 23.1% of the students enrolled in the University are non-residents of Kentucky, and it is anticipated that the percentage of non-resident enrollments will remain at this level in future years.

CONSOLIDATED EDUCATIONAL BUILDINGS PROJECT

There are located on the campus of the University some 82 buildings which house the activities of the University. These buildings include educational and administrative facilities, athletic facilities, dormitories, and student center and recreation facilities. In 1960 the Board of Trustees determined that the anticipated increased enrollment in the University would require the continued expansion of the physical plant of the University over the course of the next several years, particularly the expansion of facilities for educational and administrative purposes. The Board further determined that the erection of such facilities for educational and administrative purposes would require the borrowing of money by the issuance of bonds payable solely from the revenues of buildings thereafter erected and the educational buildings then located on the Lexington campus of the University. For the purpose of securing bonds issued to finance the erection from time to time of needed educational buildings, the Board by Resolution

adopted on September 20, 1960, established and created a Consolidated Educational Buildings Project (the Project) consisting of all educational buildings and necessary appurtenances theretofore erected and located on the property of the University in Fayette County, Kentucky, and all educational buildings and necessary appurtenances thereafter erected upon such property from available funds of the Board or from the sale of Bonds, excluding all housing buildings and facilities of the University, and all buildings and facilities exclusively for athletics, as distinguished from those which are, or may be, wholly or principally for physical education.

Pursuant to the provisions of said Resolution and the Series A Resolution, also adopted on September 20, 1960, the Board has heretofore issued \$8,000,000 of its Consolidated Educational Buildings Revenue Bonds, Series A, to provide funds for the erection of the Chemistry-Physics Building and for the erection of a new Library Building. Erection of the Chemistry-Physics Building and the Library Building have been completed and such buildings are now a part of the Consolidated Educational Buildings Project. \$7,500,000 Series B Bonds, pursuant to the September 20, 1960 Resolution and the Series B Resolution adopted on April 26, 1963, were issued to provide funds for the erection of a new College of Education Building, a nuclear accelerator as an appurtenance to the existing Chemistry-Physics Building, a Law Building, English Building, and Business and Economics Building, and such buildings are also now a part of the Consolidated Educational Buildings Project.

Proposed Facilities: The University proposes to expand its Consolidated Educational Buildings Project by the erection of the facilities listed, together with associated project costs, as follows:

Classroom Office Building-College of Arts and Sciences	\$12,700,000
Laboratory Office Building-Agricultural Science	4,000,000
Parking Structures	4,200,000
Cooling Plant & Chill Water Distribution System	1,500,000
Electrical Sub-station & Distribution System	300,000
Physical Education Facilities	275,000
Access Roads	221,000
Expansion of Existing Cooling Plant	1,500,000
Surface Parking	185,000
Pedestrian Access & Lighting	275,000
Access Roads-Medical & Agricultural Centers	130,000
Laboratory Office and Studio Facilities	2,500,000
Reconstruction of Memorial Hall	400,000
Reconstruction - Library Service Building	300,000
Addition & Reconstruction-Heating Plants & Steam Distribution	750,000
Contingencies	2,500,000
Total Project Costs.....	\$31,736,000

Federal Grants	\$1,984,914	
University Funds	<u>103,000</u>	<u>2,087,914</u>
		29,648,086
Estimated Legal, Financial and Administrative, Capitalized		
Interest and Bond Discount		<u>3,891,914</u>
		\$33,540,000

AUTHORITY FOR ISSUE AND SECURITY

These Bonds are being issued pursuant to the provisions of Sections 162.340 et seq., of the Kentucky Revised Statutes, and a Series C & D Resolution adopted by the Board of Trustees on November 10, 1967, pursuant to the provisions of a Resolution adopted by the Board on September 20, 1960. A Resolution providing for the offering at public sale of the Board's heretofore authorized Consolidated Educational Buildings Revenue Bonds, Series C, is attached as Exhibit A. The Bonds will be secured pursuant to the terms of the Resolutions whereunder the First Security National Bank & Trust Company of Lexington, Lexington, Kentucky, is named Trustee for the holders of all of the Series C and Series D Bonds for the purpose of securing the payment of both the principal of and interest on the Bonds and to secure the faithful performance of the covenants and provisions contained in the Resolutions.

Said Bonds, together with the Series A & B Bonds and additional parity bonds hereafter issued and outstanding under the terms of the Resolution and subsequent Series Resolutions, are payable from and constitute a paramount charge upon the Revenues to be derived by the University from the operation of its Consolidated Educational Buildings Project. The fee imposed upon and collected from all students of the University at Lexington, Kentucky, as an incident to registration at the beginning of each semester of the regular academic year and each summer session is designated as the source of revenues of the Consolidated Educational Buildings Project. Such fee is known as the Student Registration Fee (the Registration Fee) and the Board of Trustees covenants that the same will be fixed (and if necessary revised and increased from time to time) at such rates as may be required to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves therefor and to pay the operating costs of the Project to the extent they are not otherwise provided. All collections of the Student Registration Fee are to be set aside, as received, into the Consolidated Educational Buildings Project Revenue Funds and are made subject to a first lien and paramount charge for the security and source of payment of the Consolidated Educational Buildings Revenue Bonds.

As further security for the Bonds and the coupons appertaining thereto, there is created and granted by Sections 162.350 and 162.200 of the Kentucky Revised Statutes a statutory mortgage lien upon the Consolidated Educational Buildings Project.

Certain pertinent provisions of the Resolutions are summarized in subsequent sections of this Official Statement.

Application of Revenues: There is created and established a special fund to be known as the Consolidated Educational Buildings Project Revenue Fund (the Revenue Fund) in the custody of the Treasurer of the Board of Trustees, separate and apart from other funds of the

University. Such Revenue Fund shall be maintained so long as any of the Bonds are outstanding and unpaid as a trust fund in one or more banks which shall be members of the Federal Deposit Insurance Corporation and shall be expended and used by the Treasurer only in the manner and order specified in the Resolutions. Upon the issuance and delivery of any Bonds pursuant to the Resolutions, all Revenues derived from the Consolidated Educational Buildings Project shall be deposited as collected in the Revenue Fund to be held and administered by the Treasurer of the Board and shall be paid out and applied for the uses and purposes set forth below.

There is created and established a special account to be known as the Consolidated Educational Buildings Project Bond and Interest Sinking Fund (the Bond Fund) to be maintained by and in the custody of the Trustee so long as any Bonds are outstanding and unpaid. The Bond Fund shall be used by the Trustee to pay interest on the Bonds as the same becomes due from time to time and to pay and retire the Bonds as they become due, or as otherwise provided in the Resolutions. From and after the issuance of any Bonds and thereafter commencing on May 1 of each year the Treasurer shall transfer from the Revenue Fund and deposit to the credit of the Bond Fund all the Revenues as and when the same are received and deposited into the Revenue Fund until there has been so deposited and paid into the Bond Fund an amount equal to the total interest and principal becoming due on or prior to the succeeding May 1 on all Bonds then outstanding plus such amount as may be provided in a pertinent Series Resolution to be deposited in the Bond Fund during such year to provide for the retirement of Bonds scheduled to mature on May 1 of any subsequent year.

For the purpose of creating and maintaining a reserve in the Bond Fund equal to the maximum Aggregate Principal, Interest and Bond Fund Charges, as such term is defined below, the amounts to be set aside into the Bond Fund shall be 125% of the amounts set forth above until such reserve is so accumulated and thereafter the same shall be resumed and continued whenever and so long as required to restore and maintain such reserve. Aggregate Principal, Interest and Bond Fund Charges is defined "as of any particular date of computation and with respect to a particular twelve month period, an amount of money equal to the aggregate of the amounts required by the provisions of the Resolution and all Series Resolutions to be paid into the Bond Fund in such twelve month period for account of the interest on all outstanding Bonds becoming due during such twelve month period and to accomplish the retirement of the principal of all outstanding Bonds at or prior to the maturity thereof."

The amounts by which the above payments exceed the Aggregate Principal, Interest and Bond Fund Charges in any particular twelve month period shall be held in the Bond Fund as a reserve. Moneys in the Bond Fund in excess of the Aggregate Principal, Interest and Bond Fund Charges and the prescribed reserve may be used to purchase or redeem Bonds in advance of maturity.

Whenever all required payments into the Bond Fund have been made in any particular twelve month period ending May 1, any moneys remaining in the Revenue Fund may be used by the Board to pay the operating costs of the Project to the extent that such costs are not otherwise provided or may be used for any other lawful purpose including the purchase or retirement of Bonds in advance of maturity.

Application of Bond Proceeds: Any amounts received upon delivery of the Bonds in payment of accrued interest thereon plus a portion of the bond proceeds sufficient in the aggregate to pay all interest to accrue on the Bonds to and including May 1, 1970, shall be deposited by the Treasurer into the Bond Fund to pay interest on the Bonds during the construction of the aforementioned educational buildings and appurtenances.

There is established in the State Treasury of the Commonwealth a construction account to be designated University of Kentucky Consolidated Educational Buildings Project-Bond Proceeds Series C & D Construction Account (Construction Account). The balance of the bond proceeds after making the aforesaid deposits into the Bond Fund shall be transmitted by the Treasurer of the Board to the Treasurer of the Commonwealth for deposit in the Construction Account. Moneys in the Construction Account shall be disbursed by the Treasurer of the Commonwealth according to such inspection, audit and disbursement procedures as may from time to time be provided by law for the purpose of paying the costs (to the extent not otherwise provided) of erecting and completing the educational buildings and necessary appurtenances as described herein, in accordance with approved plans and specifications therefor, and only upon certification of the architect or engineer having supervision of construction, as to each disbursement, that the amount thereof has been duly earned by and is payable to the designated party or parties for materials, services and/or labor furnished pursuant to contracts duly awarded for the erection of the buildings.

Any balance remaining in the Construction Account after erection and completion of the educational buildings and appurtenances herein described and the payment of all costs in connection therewith shall be deposited in the Bond Fund; provided, however, that if proceedings are then pending or imminently contemplated for the construction or completion of one or more additional educational buildings which are or will be financed by the issuance of bonds under the Resolutions, the balances may be taken into account in determining the amount of bonds to be authorized therefor, or may otherwise be applied to the proper costs thereof.

ADDITIONAL BONDS

The Board covenants and agrees that it will not hereafter create or permit the creation of or issue any bonds which will have a priority over the charge on the Revenues or the payments to be made into the Bond Fund.

Additional bonds ranking on a parity with these Bonds may be issued only for the purpose of erecting and completing educational building or buildings and necessary appurtenances, provided:

1. that at the time of the issuance of the additional bonds there is no deficiency in the amounts required to be paid into the Bond Fund.
2. that the average annual Revenues from the Project for the two fiscal years immediately preceding the issuance of the additional bonds, as indicated in a statement by the Treasurer of the Board to be filed with the Trustee, was equal to not less than 1.25 times the maximum Aggregate Principal and Interest and Bond Fund Charges in any succeeding twelve month period ending May 1 on the bonds then outstanding and the additional bonds proposed to be issued. For the purpose of computing average annual Revenues, the Treasurer shall make an adjustment in the amount of annual Revenues to reflect any increase or decrease in the Student Registration Fee being imposed at the time for the services of the Project.

The issuance of such additional bonds shall be pursuant to the terms of an appropriate Series Resolution adopted by the Board in accordance with the provisions of the Resolution.

COVENANTS OF THE BOARD OF TRUSTEES

The Board of Trustees covenants with the holders of the Bonds, among other things, the following:

1. that it will establish, maintain and collect, so long as any Bonds are outstanding, such Student Registration Fees for the services of the Project as may be necessary (1) to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves therefor and (2) to pay the operating costs of the Project to the extent they are not otherwise provided. In order to assure full and continuous performance of this covenant with a margin for contingencies and temporary unanticipated reduction in Revenues the Board further covenants and agrees to establish, maintain and collect such Student Registration Fees as well as produce Revenues in each twelve month period ending May 1 equal to at least 110% of the current Aggregate Principal, Interest and Bond Fund Charges.
2. that while any of the Bonds are outstanding it will, except as hereinafter set forth under "Application of Revenues," pay the current operating costs of the Project from available funds other than the Revenues derived from the Project.
3. that it will procure and maintain to the extent available, fire and extended coverage insurance on the Project in

amounts sufficient to provide for not less than full recovery whenever the loss from causes covered by such insurance does not exceed 80% of the full insurable value of the Project.

4. that unless provision is otherwise made by law for disposition by the Commonwealth of Kentucky of claims made against the University for bodily injury and/or death which may arise from operations of the Board, it will, if such insurance is not already in force, procure and maintain public liability insurance with limits of not less than \$500,000/\$100,000 to protect the Board from claims for bodily injury and/or death which may arise from the operations of the Board, including any use or occupancy of its grounds, structures and vehicles.
5. that it will keep accurate financial records and proper books relating to the Project; that such records and books shall be open to inspection by the bondholders and their agents and representatives; and, that not later than 90 days after the close of each fiscal year it will furnish to the Trustee, and to any bondholder who shall request the same in writing, copies of audit reports prepared by an independent certified public accountant or a firm of such accountants, who shall be satisfactory to the Trustee, or by an appropriate State auditing official, reflecting in reasonable detail the financial condition and record of operation of the University, the Project, and the pledged Revenues during the preceding fiscal year.
6. that it will at all times maintain, preserve and keep the Project and every part thereof in good condition, repair and working order, and will from time to time make all needful and proper repairs, replacements, additions, betterments and improvements so that the operations and business of the Project shall at all times be conducted efficiently, properly and advantageously.
7. that whenever any portion of the Project shall have been worn out or destroyed or shall have become obsolete, inefficient or otherwise unfit for use, the Board will procure and install substitutes of at least equal utility and efficiency, so that the value and efficiency of the Project will at all times be fully maintained.
8. that it will faithfully observe, do and perform all of its agreements and obligations provided for by the Bonds and the Resolutions.

ESTIMATED INCOME

Student Registration Fee: The Board of Trustees with the approval of the Kentucky Council on Public Higher Education has established a schedule of Student Registration Fees to be imposed, charged and collected for the services of the Project from all students attending

the University. The present schedule of fees which became effective September 1, 1968, are as follows, after deducting non-pledged Student Center and Activity Fee.

Registration Fee
Full-Time Students

All Colleges of the University except the College of Dentistry and the College of Medicine

Semester Registration Fee for Resident Students	\$ 121.75
Semester Registration Fee for Non-Resident Students	471.75
Summer School Registration Fee for Resident Students	74.00
Summer School Registration Fee for Non-Resident Students	254.00

College of Dentistry and College of Medicine

Annual Registration Fee for Regular Academic Year of 2 Semesters - Resident Students	563.50
Annual Registration Fee for Regular Academic Year of 2 Semesters - Non-Resident Students	1,463.50

Revenues of Project: The proceeds received by the University from the imposition and collection of the Student Registration Fee for each of the years 1964-65 through 1968-69 are set forth in the tabulation below. The tabulation does not include the proceeds derived from a variety of "incidental and miscellaneous fees" charged and collected from students attending the University. No part of the proceeds of any "incidental and miscellaneous fees" are pledged as Revenues of the Project or to the security of the Bonds.

SUMMARY OF INCOME FROM STUDENT REGISTRATION FEE

<u>Academic Year</u>	<u>Total of Registration Fees Collected</u>
1964-65	\$2,396,342
1965-66	3,491,375
1966-67	4,595,683
1967-68	4,981,410
1968-69	5,764,109

On the basis of the Student Registration Fee which became effective September 1, 1968, it is estimated that the proceeds to be derived from the Registration Fee (which will be the Revenues of the Project) for each of the academic years 1969-70 through 1974-75 will be as set forth in the following tabulation:

ESTIMATED COLLECTIONS OF STUDENT REGISTRATION FEE
(Revenues of the Project)

<u>Academic Year</u>	<u>Estimated Total of Collections of Registration Fee</u>
1969-70	\$6,052,314
1970-71	6,354,929
1971-72	6,672,675
1972-73	7,006,308
1973-74	7,356,623
1974-75	7,724,454

COVERAGE OF DEBT SERVICE REQUIREMENTS

This tabulation of coverage of debt service requirements as set forth below is based on the schedule of annual debt service for the Consolidated Educational Buildings Project Bonds as shown on Page

Estimated Maximum Annual Debt Service Requirement (1972)	\$3,350,906.25
1968-69 Project Revenues.....	5,764,109.00
Times Maximum Debt Service Covered.....	1.72x
Estimated Project Revenues.....	6,052,314.00
Times Maximum Debt Service Covered.....	1.80x

SCHEDULE OF ANNUAL AGGREGATE PRINCIPAL,
INTEREST AND BOND FUND CHARGES

The following schedule sets forth the estimated requirements for principal of and interest on \$4,290,000 Consolidated Educational Buildings Revenue Bonds, Series C, and the authorized \$29,250,000 Series D Bonds, which are to be issued at a later date, together with annual principal and interest requirements on the outstanding Consolidated Educational Buildings Revenue Bonds, Series A & B:

Yr. Ending May 1	Total Series A&B	Series C (Int. Est. @3%)	Series D (Int. Est. @6 1/2%)	Total Series A,B,C,D
1970	\$965,318.75	\$ 169,350	\$1,205,625	\$2,340,293.75
1971	966,673.75	235,550	2,154,675	3,347,898.75
1972	966,531.25	232,250	2,152,125	3,350,906.25
1973	967,556.25	233,950	2,143,600	3,345,106.25
1974	967,881.25	235,500	2,139,425	3,342,806.25
1975	972,506.25	236,900	2,134,275	3,343,681.25
1976	971,243.75	233,150	2,128,150	3,332,543.75
1977	974,281.25	234,400	2,121,050	3,329,731.25
1978	981,050.00	235,500	2,112,975	3,329,525.00
1979	981,750.00	236,450	2,108,925	3,327,125.00
1980	985,668.75	232,250	2,103,575	3,321,493.75
1981	988,481.25	233,050	2,091,925	3,313,456.25
1982	990,187.50	233,700	2,084,300	3,308,187.50
1983	990,787.50	234,200	2,080,375	3,305,362.50
1984	994,891.25	234,550	2,069,825	3,299,266.25
1985	997,680.00	234,750	2,062,975	3,295,405.00
1986	993,560.00	234,800	2,054,500	3,282,860.00
1987	488,300.00	234,700	2,044,400	2,767,400.00
1988	486,920.00	234,450	2,032,675	2,754,045.00
1989		234,050	2,019,325	2,253,375.00
1990		233,500	2,009,350	2,242,850.00
1991		232,800	1,997,425	2,230,225.00
1992		231,950	1,988,550	2,220,500.00
1993		230,950	1,977,400	2,208,350.00
1994		234,800	1,958,975	2,193,775.00
1995		233,350	1,948,600	2,181,950.00
1996		231,750	1,930,625	2,162,375.00
1997			2,150,375	2,150,375.00
1998			2,132,250	2,132,250.00
1999			2,110,550	2,110,550.00
2000			2,090,225	2,090,225.00
2001			2,066,100	2,066,100.00
2002			2,043,025	2,043,025.00
2003			2,015,725	2,015,725.00
2004			1,989,200	1,989,200.00
2005			1,958,125	1,958,125.00
2006			1,932,500	1,932,500.00
2007			1,911,675	1,911,675.00

Note: Total interest and principal requirements including the authorized Series D Issue are shown for purposes of coverage calculations on outstanding and authorized issues.

OUTSTANDING BONDS AND BOND ANTICIPATION NOTES
OF THE UNIVERSITY

Name of Issue	Year of issue	Amount of issue	Amount Outstanding 6-30-69	Year of Final Maturity
<u>Fraternity and Sorority Dormitory Bonds</u>				
Dormitory Revenue Bonds	1950	\$ 74,500	\$ 30,000	1980
Dormitory Revenue Bonds	1950	76,000	36,000	1980
Dormitory Revenue Bonds of 1953	1953	710,000	429,000	1983
Dormitory Revenue Bonds	1956	900,000	750,000	1996
Dormitory Revenue Bonds	1956	150,000	125,000	1996
Dormitory Revenue Bonds	1957	100,000	56,000	1982
Dormitory Revenue Bonds	1958	150,000	127,000	1998
Housing Bonds of 1960	1960	300,000	268,000	2000
Student Housing Bonds of 1964	1964	640,000	613,000	2004
Total.....			\$ 2,434,000	
<u>Educational Buildings</u>				
Journalism Building Revenue Bonds	1950	\$ 225,000	\$ 12,000	1970
Consolidated Educational Buildings Revenue Bonds - Series A	1960	8,000,000	6,225,000	1986
Consolidated Educational Buildings Revenue Bonds - Series B	1963	7,500,000	6,465,000	1988
Revenue Bond Anticipation Notes (Series C & D)	1968	33,200,000	33,200,000	1969
Total.....			\$45,892,000	
<u>Community Colleges</u>				
Revenue Bond Anticipation Notes	1969	16,300,000	\$16,300,000	1970
Total.....			\$16,300,000	
<u>Housing and Dining System Revenue Bonds</u>				
Housing and Dining Bonds - Series A	1965	\$ 1,000,000	880,000	1990
Housing and Dining Bonds - Series B	1965	275,000	275,000	1994
Housing and Dining Bonds - Series C	1965	955,000	870,000	1995
Housing and Dining Bonds - Series D	1965	1,835,000	1,670,000	1996
Housing and Dining Bonds - Series E	1965	1,580,000	1,450,000	1997
Housing and Dining Bonds - Series F	1965	1,155,000	1,155,000	2001
Housing and Dining Bonds - Series G	1965	1,375,000	1,375,000	2002
Housing and Dining Bonds - Series H	1965	6,900,000	5,850,000	2005
Housing and Dining Bonds - Series I	1965	20,000,000	19,950,000	2005
Total.....			\$33,475,000	

In addition to the above, the University has outstanding certain old issues of Dormitory or Dining Bonds and of Community College Bonds now advance refunded with full principal and interest on deposit and escrowed.

All of the foregoing descriptions or statements of provisions of the Resolutions, the Kentucky Revised Statutes, the Bonds, and other documents of the University are made subject, respectively, to all provisions thereof, to which reference is hereby made for further information, and do not purport to be complete statements of such provisions.

UNIVERSITY OF KENTUCKY

Chairman or Vice Chairman
Board of Trustees

ATTEST:

Lucile T. Blazer
Secretary of the Board of Trustees