

AGENDA

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
April 24, 2007
18 th Floor Patterson Office Tower**

Roll Call

Approval of Minutes - (Consent)

Minutes – March 6, 2007

Minutes – Special Called Meeting March 23, 2007

President's Report and Action Items

- PR 1 President's Report to the Trustees
 Sustainability Report – Bob Wiseman
PR 2 Personnel Actions (Consent)

Academic Affairs Committee Report

- AACR 1 Candidates for Degrees – University System
AACR 2 Candidates for Degrees – Bluegrass Community and Technical College
AACR 3 Conditions of Merit for Honorary Degrees

Audit Subcommittee Report

Finance Committee Report

- FCR 1 Elizabeth Clements Abell Pledge (Consent)
FCR 2 Gifts and Pledges to the Bell Alcohol and Addictions Chair (Consent)
FCR 3 Gifts and Pledges to the Scott D. Breckinridge Endowed Professorship in Intelligence (Consent)
FCR 4 The Rich Brooks Foundation, Inc. and Karen Brooks Gifts and Pledge (Consent)
FCR 5 George A. Bush, Jr. Gift (Consent)
FCR 6 Gifts and Pledges to the Ernst and Young Professorship (Consent)
FCR 7 Gifts to the Gordon L. Hyde, M.D. Chair in Vascular Surgery (Consent)
FCR 8 Kentucky Medical Services Foundation Pledge (Consent)
FCR 9 Kentucky Medical Services Foundation Pledge to the Patient Quality, Safety, and Rights Research Endowment (Consent)
FCR 10 Kentucky Medical Services Foundation Gift to the Professorship in Otolaryngology and Replacement of Unfulfilled Pledge to the Dr. Charles R. Combs Scholarship Fund (Consent)

- FCR 11 John R. Leininger and Florence A. Leininger Pledge (Consent)
FCR 12 Thomas B. Logan, M.D. Pledge (Consent)
FCR 13 Gifts and Pledges to the William H. Otis and Marquita Sivilis Otis
Professorship in Gerontology and Intergenerational Social Work (Consent)
FCR 14 Jeffry N. and Jennifer S. Quinn Pledge to the Jeffry N. and Jennifer S. Quinn
Graduate Fellowship in the College of Engineering (Consent)
FCR 15 Jennifer S. Quinn, M.D. and Jeffry N. Quinn Pledge to the Jennifer S. Quinn,
M.D. and Jeffry N. Quinn Professorship in Pediatric Oncology (Consent)
FCR 16 Gifts and Pledges to the Sanders-Brown Center on Aging Foundation
Endowed Research Fund (Consent)
FCR 17 Howard A. Settle Gift and Pledge (Consent)
FCR 18 Pledges to the William H. Ray Professorship (Consent)
FCR 19 Telford Foundation Gifts and Pledge (Consent)
FCR 20 Gifts and Pledges to the Antonides -Williams Lectureship and Approval of
Name Change to the Antonides -Williams Visiting Professorship
FCR 21 Gifts and Pledges to the Professorship in General Surgery and Approval of
Name Change to the Patrick F. Hagihara , M.D. Professorship/Chair in
General Surgery
FCR 22 Request to Rename the Endowment for Support of Urologic Research to the
Randall G. Rowland, M.D. Endowment for Urologic Research
FCR 23 Request to Combine the UKCUP Endowment for Urological Research with
the Endowed Professorship for Neuro -Urologic Research
FCR 24 Establishment of Quasi-endowment for the Carlisle Moore Academic
Excellence Scholarship Fund
FCR 25 Transfer of Additional Funds to the Chandler Medical Center University
Hospital Quasi-endowment Fund
FCR 26 Acceptance of Interim Financial Report for the University of Kentucky for the
Nine Months Ended March 31, 2007
FCR 27 Construct Carol Martin Gatton Building Complex – Design Phase
FCR 28 Authorization to Sell L. R. Cooke Property, Lexington , Kentucky
FCR 29 Approval of Leases
FCR 30 Patent Assignment Report

Human Resources Committee Report

- HRCR 1 Work Life Strategy: Proposed Changes to University of Kentucky
Employee Benefits

Student Affairs Committee Report

University Hospital Committee Report

Other Business

Athletic Association Board of Directors Meeting Report – Frank Shoop
Closed Session -- To discuss proposed or pending litigation against or on behalf of the
public agency

Adjourn

Office of the President
April 24, 2007

1. Legislature Approves Samaritan Project, Stalls Livestock Diagnostic Center

The highlight of the 30-day regular session of the 2007 Kentucky General Assembly was the approval for the university to use its own resources to purchase and renovate Samaritan Hospital. The legislature approved the critical Samaritan project for UK HealthCare on the last day of the session; very few other appropriations measures passed this session. The capital projects vetoed at the end of the 2006 session were not restored despite much discussion before the session by both parties in both houses that they would be. For UK, that means that it did not receive \$13.5 million in state bonds to complete the new Livestock Disease Diagnostic Center or agency bonding authority to renovate the Blazer Hall cafeteria and renovate several dormitories. Senate Bills 1 and 2 and House Bill 5 failed to pass. The statewide STEM task force chaired by President Todd worked diligently with leaders of both chambers on these initiatives to attract more teachers and students to math and science education and to promote an energy industry that would help provide jobs for those with increased skills in those areas. Finally, two bills initiated by UK passed. The first is Senate Bill 130, which allows each postsecondary institution to establish procedures when addressing a potential contract between an employee-owned business and the university. This will help prevent faculty members who start companies from intellectual property developed on-campus from having to leave their university's employ to sell the university those services when appropriate. The second is House Bill 296, which exempts certain public entities that are self-insured from purchasing surety bonds for workers' compensation claims. If this bill had not passed UK could have faced extra costs of approximately \$250,000 annually.

2. New Supercomputer Thrusts UK into Top Ranks

The University of Kentucky has acquired a new IBM supercomputer that places the university's research capability among those of the nation's leaders for public and private university research computing. The state-of-the-art IBM System Cluster 1350 offers a theoretical peak performance of 16 teraflops of calculation capacity, offering both greater speed and broader access for scientific research in a wide range of academic disciplines. This means the new machine can handle up to 16.3 trillion calculations per second. John Connolly, director of the UK Center for Computational Science, said that based on rankings released in November 2006, the new supercomputer would place UK among the top echelon of American universities with research supercomputers. The UK supercomputer also would rank high among the world's military, governmental, industrial, and academic supercomputers, based on the semi-annual listing prepared by the University of Tennessee and Mannheim University, Germany. The ranking will be

updated in June 2007, and many of the agencies it includes may have upgraded their supercomputing capabilities by the time that listing is issued.

3. Colleges of Law, Nursing Advance in *U.S. News* Graduate School Rankings

The UK College of Nursing climbed three places to 26th place in the latest round of graduate school rankings by *U.S. News & World Report* magazine, while the College of Law jumped five positions to 60th. Meanwhile, the College of Public Health entered the rankings for the first time since its creation in 2004, posting a listing in 31st place.

4. UK Is Chosen to Participate in Wabash Study of Liberal Arts Education

The University of Kentucky is involved in one of the most comprehensive national studies ever conducted focusing on the effects of American higher education on student learning and development. UK is one of 19 colleges and universities around the nation chosen to participate in the Wabash National Study of Liberal Arts Education, sponsored and led by the Center of Inquiry in the Liberal Arts at Wabash College in Indiana. The institutions represent liberal arts colleges, regional universities, research universities, and community colleges. UK joins the universities of Michigan and Notre Dame in the sample of research universities. The study explores not only whether and how much students develop because of their collegiate experiences, but also why and how this development happens. The four-year longitudinal study focuses on the following outcomes: effective reasoning and problem solving; inclination to inquire and lifelong learning; integration of learning; intercultural effectiveness; leadership; moral reasoning and character; and well-being. More than 400 UK freshmen were recruited to participate. They completed one assessment during the fall semester and one in March. A final assessment will be taken in the spring semester of their senior year.

5. UK Chandler Hospital Ranks among Nation's Top 100

UK HealthCare's Chandler Hospital is among the nation's top 100 hospitals and one of the top 15 major academic medical centers in a national ranking. Chandler Hospital was the only Lexington hospital and the only major academic medical center in Kentucky to be ranked in the top 100 by Solucient, an organization that has been identifying America's top performing hospitals since 1993 and that is well-known in the health care industry. The study compared patient safety, financial performance, efficiency, growth in patient volume, and clinical outcomes--how well patients fared. The top hospitals have higher survival rates, keep more patients complication-free, and attract more patients, while maintaining efficiency. Solucient ranked UK Chandler Hospital among the top 15 academic medical centers in performance improvement in 2005. The 2006 Solucient 100 Top Hospitals: National Benchmarks for Success study appears in the March 12 edition of *Modern Healthcare* magazine.

6. Two College of Arts and Sciences Professors Win Guggenheim Fellowships

Peter Little, Anthropology, and Lisa Zunshine, English, have both been named as recipients of 2007 John Simon Guggenheim Fellowships. The prestigious awards are given to fewer than 200 researchers throughout North America each year. They supply writers, scientists, authors, and artists with funding to pursue their studies with no restrictions imposed by the Guggenheim Foundation. The Guggenheim Foundation has made awards since 1925. Both of UK's 2007 Guggenheim fellows are based in the College of Arts and Sciences.

7. Gaines Center for Humanities Chooses 12 New Scholars

The UK Gaines Center for the Humanities has selected 12 undergraduates as new scholars for the university's Gaines Fellowship Program for the 2007-08 and 2008-09 academic years. Gaines Fellowships are given in recognition of students' outstanding academic performance, demonstrated ability to conduct independent research, interest in public issues, and desire to enhance understanding of the human condition through the humanities. Fellowships are awarded for tenure in the junior and senior years, or for the last two years of a five-year program; students in all disciplines and with any intended profession are given equal consideration. The new Gaines Scholars are Catherine Bozio, a major in biology/pre-med; Bri Buchanan, a major in psychology; Clint Davis, a major in piano performance; Stacey Doran, a major in biology and chemistry; Wesley Holbrook, a major in history; Tanesha House, a major in psychology and sociology; Corinne Keel, a major in political science; Linsen Li, a major in history and journalism; Caroline Quinio, a major in architecture; William Santen, a major in fine arts and art studio; Travis Watters, a major in civil engineering; and Sarah Willenbrink, a major in Russian studies and German. Gaines Fellowships carry a stipend of \$2,000 in the junior year and \$3,000 in the senior year. All Gaines fellows take a specially designed, four-credit-hour per semester seminar in the humanities both semesters of their junior year. Each of these students will complete a major independent study project in the senior year, earning them between 6 and 15 credit hours.

8. College of Pharmacy Breaks Ground for State's Largest Academic Building

UK officials broke ground in mid-April for a new, 280,000-square-foot building that will house classrooms, laboratories, offices and other facilities for the nationally ranked College of Pharmacy. The building, which will permit the college to double the number of students in its programs, will be finished in time for the start of classes in the fall of 2010. It will be built adjacent to the Biological and Basic Sciences Research Building.

9. KGS Core Library Instrumental in New \$15 Million "Heavy Oil" Project

Examination of samples stored at the Kentucky Geological Survey Well Sample and Core Library helped the MegaWest Energy Company decide to acquire rights to develop "heavy oil" (also known as tar sands) reserves in western Kentucky. An

estimated 3.4 billion barrels of oil exist in several sandstone formations in the area of the acquisition. MegaWest Energy plans to fund a work program over the next 30 months covering the first \$15,000,000 of exploration, testing, and development expenditures on the Kentucky Reserves Project.

10. Barnstable Brown Pediatric Diabetes Laboratories Dedicated at UK

The Barnstable Brown Pediatric Diabetes Laboratories, made possible by a \$500,000 gift from the Barnstable Brown family, were recently dedicated during a ceremony at the University of Kentucky. The Commonwealth of Kentucky Research Challenge Trust Fund is matching the gift, boosting the family's investment to a total of \$1 million. The funds were raised from the 2006 Derby Eve Barnstable Brown Gala in Louisville. The Barnstable Brown family shares an extraordinary devotion to curing diabetes. In addition to funding the UK Barnstable Brown Pediatric Diabetes Laboratories, the gala has enabled the family to give more than \$2.8 million to diabetes research at UK, including matched state funds. The laboratories are part of the newly created University of Kentucky College of Medicine Kentucky Pediatric Research Institute, which targets the eradication of childhood diseases by leveraging the strengths of the university and its medical specialists.

11. David Kryscio Wins NSF Graduate Research Fellowship

David Kryscio, who earned an undergraduate degree in chemical engineering at UK in May 2006 and is now pursuing a Master of Business Administration degree in the Gatton College of Business and Economics, has been awarded a National Science Foundation Graduate Research Fellowship. The Graduate Research Fellowship provides three years of support for graduate study leading to research-based master's or doctoral degrees and is intended for students who are at the early stages of their graduate study.

12. Violence Research Center Launches Second Women's Campus Safety Study

In March, the Center for Research on Violence Against Women began a second UK Women's Safety Study, an anonymous telephone survey that was first conducted in 2004. As a result of the original study, President Todd allocated over \$1.25 million to campus safety efforts. The purpose of this survey is to assess the perceptions of female students regarding violence against women and to measure the prevalence of victimization among the UK female student body. This year's study will also explore differences in perceptions resulting from campus safety efforts that have taken place in the past three years.

13. UK, Kentucky State to Benefit from Multicultural Violence Study

UK is establishing an Endowment on the Multicultural Study of Violence Against Women in its Center for Research on Violence Against Women. The endowment, and the endowed chair and graduate fellowships it will support, will be created to benefit both UK and Kentucky State University. Once established, the first project to be undertaken

within the endowment is creation of an Endowed Chair on the Multicultural Study of Violence Against Women to be occupied by a top scholar from the UK community. This will actively engage in research and teaching; serve as a national resource for other researchers across disciplines on how to include race, ethnicity and social issues in their empirical work; work with other universities across the nation to advance the body of knowledge on violence against women and its cultural contexts; and will be an active participant in the center's work to translate research to the practice field where advocates and other professionals intervene daily to save the lives of women and children.

14. Anthem Accepts Two UK HealthCare Programs in Transplant Network

Two programs at UK HealthCare recently met criteria to participate in the Anthem Center of Medical Excellence Transplant Network, making quality transplant care available for one fixed price. The Lung Transplantation and adult allogeneic Blood and Marrow Transplantation programs both were awarded network participation for achieving strict criteria such as volumes and outcomes. In addition, the adult autologous Blood and Marrow Transplantation program was awarded participation in the Blue Cross and Blue Shield Association's Blue Distinction Center for Transplant Network. For this region, Anthem Blue Cross and Blue Shield is the only insurance company in Indiana, Kentucky and Ohio that has access to the Blue Distinction Centers for TransplantsSM, a network of transplant centers that offer comprehensive transplant services through a coordinated, streamlined program of transplant management. With more than 50 hospitals, it is one of the largest transplant networks in the country.

15. UK Researchers Find Possible Cause of Out-of-body Experiences

Kevin Nelson and a UK research team have studied the link between out-of-body experiences, the sleep-wake transition and near death experiences and published their findings in the March 6 issue of the journal *Neurology* in their case report, "Out-of-body Experience and Arousal." For their study, the team conducted structured interviews with 55 people who have had a near death experience. They found those who had an out-of-body experience along with near death were more likely to also have had some sort of REM intrusion in their lifetime. In such an REM intrusion, instead of passing directly between the REM sleep state and wakefulness, the brain switch blends these states into one another. Because the arousal system controls or influences sleep-wake states, alertness, and attention, Nelson and the research team questioned whether people with near-death experiences have an arousal system predisposed to allowing intrusion of REM sleep elements during the transition between sleep and wakefulness. Nelson is a professor of neurology at the UK College of Medicine and a UK HealthCare physician at the Kentucky Neuroscience Institute. Other team members are Michelle Mattingly, assistant professor of neurology, and Frederick A. Schmitt, professor of neurology, both at the UK College of Medicine.

16. Kentucky Geological Survey Wraps First Regional Groundwater-Quality Study

For the first time, a study of the quality of groundwater in a large region of Kentucky has been completed and made freely available to the public. Staff in the Kentucky Geological Survey Water Resources Section published “Regional Groundwater Quality in Watersheds of the Upper Cumberland, Lower Cumberland, and Lower Tennessee Rivers, and the Jackson Purchase Region” on the survey’s Web site for viewing and downloading. The study focused on non-point sources, which involve potential contaminants from land-use practices, rather than point sources of contaminants such as pipes or underground tanks.

17. ‘The Unforgettables’ Team Up Again to Fight Cancer

The legendary 1991-92 Wildcat basketball team is joining forces with UK HealthCare’s Markey Cancer Center, the Markey Cancer Foundation, Maker’s Mark and Keeneland to raise \$3 million for cancer research during a three-year campaign with a “Most Beloved” series of limited edition commemorative Maker’s Mark bottles. The foundation will benefit from the proceeds, estimated to be about \$200,000, from the sale of 18,000 bottles. The foundation will then more than match the proceeds with private fund-raising efforts and with state cigarette excise tax revenue designated to support cancer research. The result will be \$1 million annually for the next three years to benefit cancer research at Markey. “The Unforgettables” are featured on this year’s edition of the commemorative bottle, which went on sale statewide during Keeneland’s opening day, April 6. A bottle signing took place a week later on April 13 to commemorate the 11th running of the Maker’s Mark Mile and included Unforgettables team members Richie Farmer, Deron Feldhaus, John Pelphrey, and Sean Woods, along with Maker’s Mark President Bill Samuels and Keeneland President Nick Nicholson. Through money raised from last year’s commemorative bottle sales, the Markey Cancer Center already has begun implementing many cancer research program elements with significant progress. By the end of last year, more than 120 clinical studies became active, and 290 new patients were placed in them. In addition, pre-clinical testing of new drug therapies and molecular targeting strategies expanded in scope and feasibility.

18. Accounting Program Moves Up in National Test Score Rankings

The Gatton College of Business and Economics tied for 22nd place among public universities in a survey published recently by the National Association of State Boards of Accountancy. Bachelor’s degree students in Gatton College’s Von Allmen School of Accountancy tied with students at the University of Virginia for performance among first-time candidates who took the Uniform Certified Public Accountant (CPA) Exam in 2005.

19. Education Students Host Walk Against Child Abuse

Students from the University of Kentucky College of Education hosted the 10th annual Walk Against Child Abuse on Sunday, April 22, at Johnson Recreation Center on

the UK campus. Proceeds from the walk support the Center for Women, Children and Families, a non-profit social service organization whose mission is to provide a safe, healing environment for children while offering educational and advocacy services for women and families in need. Located in Lexington, the center serves Fayette, Scott, Woodford, Bourbon, Jessamine, and Madison counties. Last year the walk raised more than \$4,300.

20. New Version of UK Student ID Will Take Effect in August

The WildCard has evolved into its second generation. After August 24, the WildCard v2.0 will be the only valid student ID. UK students registering for fall 2007 who bring their current ID will be issued a new WildCard student ID at no charge if the change is made by August 24. The new WildCard is an upgrade designed to enhance card security. With the go-live of SAP's Campus Management, the WildCard is able to use the student identification number and eliminate records containing the student's social security number. Students are encouraged to come during the spring semester to make the required change and avoid the anticipated last-minute rush in August. The WildCard v1.0 will be accepted for the summer 2007 sessions. Full-time faculty and staff who are students cannot have new WildCard ID made until July 1. The deadline of August 24 still applies to avoid a \$20 replacement fee.

21. UK Researchers Are Part of Nationwide Parkinson's Disease Trial

UK is participating in one of the largest studies ever of patients with Parkinson's disease. Locally led by UK neurologist Dr. Franca Cambi, the UK portion of the study will enroll patients from the UK HealthCare regional service area. Karl Kiebertz, director of the Clinical Trials Coordination Center at the University of Rochester, and Barbara Tilley, director of the Statistical Center at the Medical University of South Carolina, are leading the study of 1,720 people at 52 sites in the United States and Canada. The study will test the ability of the investigational drug, creatine, to slow the clinical decline caused by Parkinson's disease. The National Institutes of Health is sponsoring the study.

22. Tracy Farmer Center Hosts Sustainable Planning Symposium

What will Fayette County and Central Kentucky look like 20 years from now? 50 years from now? 100 years from now? While no one really knows, certainly there is a great amount of thoughtful discussion and sometimes heated debate on this topic occurring throughout the Bluegrass. With that in mind, the UK Tracy Farmer Center for the Environment hosted a symposium in late March at the Kentucky Horse Park, "Lexington, the Bluegrass, and the Future of Planning: Beyond Adversarialism, toward a New Paradigm." Speakers included Kris Kimel, CEO of the Kentucky Science and Technology Corporation, and Ian Smith, project manager for Vancouver, British Columbia's Southeast False Creek and Olympic Village. The Canadian city is preparing to host the 2010 Winter Olympics, while Lexington is preparing to host the FEI World Equestrian Games later that same year.

23. Gatton College Hosts Conference on Intra-organizational Networks

The Gatton College of Business and Economics' LINKS: The International Center for the Study of Social Networks in Business research center sponsored the Intra-Organizational Networks conference. The conference allowed UK faculty and doctoral students to meet and interact with the top networks researchers from around the world. In addition to research presentations, the conference featured interactive workshops, panel discussions, and roundtables with presenters from such notable universities as Harvard, Carnegie Mellon, and The University of Chicago.

24. Business Development Center Wins National Accreditation

The Kentucky Small Business Development Center (KSBDC), headquartered at the UK Gatton College of Business and Economics, joins an elite group of SBDCs after receiving full accreditation from the Association of Small Business Development Centers, the national accrediting body for Small Business Development Centers. Only 20 percent of SBDC programs nationwide receive full accreditation without conditions. The accreditation review process, mandated by Congress, is based on standards influenced by Malcolm Baldrige to ensure that SBDC programs are operating efficiently and effectively. Baldrige was U.S. secretary of commerce from 1981 until his death in a rodeo accident in July 1987. He was a proponent of quality management as a key to this country's prosperity and long-term strength.

25. UK Libraries Opens The Hub to Help Students Build Academic Skills

UK Libraries opened the new Information Commons, known as "The Hub," March 27 in the basement of William T. Young Library. The facility provides students a space where they can develop and focus their academic skills with the aid of the latest technology in a collaborative research environment. The facility is home to the latest computer technology, and presentation and video editing facilities.

26. UK Grad, CEO of Scientific Atlanta, Presents Chellgren Lecture

A UK graduate who is the chief executive officer of Scientific Atlanta, a leading technology company in video, data, and voice services, was the 2007 Chellgren Lecturer at Gatton College. James F. McDonald, who holds both a bachelor's degree and a master's degree in electrical engineering from UK, was the featured speaker for the event. The lecture was made possible through an endowment established by UK Gatton College of Business and Economics Alumni Hall of Fame member Paul W. Chellgren, retired chairman and CEO of Ashland, Inc. Past Chellgren Lecturers include Gatton College alumni James E. Rogers, Jr., president and CEO of Duke Energy; Chris Sullivan, chairman of Outback Steakhouse; and Chellgren himself.

27. Diagnostic Radiology Featured on Cover of *RT Image Magazine*

The UK HealthCare Department of Diagnostic Radiology was featured on the cover of the February 26 issue of *RT Image Magazine*. The Department of Diagnostic Radiology provides state-of-the-art diagnostic imaging services for patients using conventional radiography, computerized tomography (CT), ultrasound and radionuclide imaging. *RT Image Magazine* is the nation's only weekly newsmagazine for radiologists, administrators, educators, and radiologic science professionals.

28. UK Leads Month of Activities to Celebrate Earthdays in Bluegrass

For the third consecutive year, UK is hosting Earthdays in the Bluegrass, a month-long promotion of responsible global citizenship and celebration of the power of local action. Earthdays in the Bluegrass features films, workshops, presentations, and community service throughout April. The calendar includes public art projects, urban gardening workshops, renewable fuels demonstrations, and a discussion about moral eating. Residence Life Recycling is coordinating the event with the UK student organization Greenthumb and the UK Environmental Studies Program as co-sponsors. The calendar is available at www.uky.edu/StudentAffairs/Recycling/earthdays.html.

29. *Geotimes* Features KGS Trip to China and Tibet

The February issue of *Geotimes*, published by the American Geological Institute, features a cover story co-written by Kentucky Geological Survey Director Jim Cobb about the Qinghai-Tibet Railway. Titled "Rails across the Roof of the World," the article describes the topography and climate of the areas traversed by the 1,142-kilometer railway, most of which is above 4,000 meters in elevation. Cobb and others involved in the research exchange with China's Lanzhou Institute of Seismology were among the first foreigners to take the rail trip during their last trip to China in the summer of 2006.

30. New Campus Management Software Enhances Services

UK and SAP Public Services, a subsidiary of SAP America Inc., announced the successful implementation of a new software solution designed to enhance services to students, faculty, staff, and alumni. SAP Campus Management replaces UK's legacy software systems and represents the fourth major SAP module implementation in UK's Integrated Resource Information Systems (IRIS) Project, an ambitious effort to improve business and service processes. Building on the successful implementations of SAP solutions for financials and procurement (October 2005), hospital inventory (January 2006), and human resources/payroll (April 2006), SAP Campus Management supports a range of functions from recruitment and admission to schedule building, registration, grading, and student accounting. SAP Campus Management integrates with the other IRIS modules, supporting UK's vision of integrated information systems. Using SAP's Enterprise Portal functionality, the myUK Web site now offers the UK community a range of electronic features designed to replace paper-based processes. Students can access an array of self-service features on the myUK portal to help them manage

processes such as registration, bill payment, and financial aid. UK faculty and staff are now able to view dynamic class rolls, submit grades, and access information for advising.

31. UK Libraries Hosts Counterparts from Japan's Kyoto University

UK Libraries welcomed a delegation from Kyoto University to campus March 19 to March 24. Staff from Kyoto, one of Japan's largest universities, and UK librarians took part in a week of training and information exchange on the Lexington campus. During the international exchange, library staff from the two universities discussed conservation treatments for library collections; preservation management, including disaster planning and recovery; collection condition surveys; microfilming; and digitization.

32. UK Cheerleaders Featured on WE tv's 'Cheerleader U'

"Cheerleader U," a six-episode television series on WE tv, featured the national champion UK cheerleading squad. The series went behind the scenes as Coach Jomo Thompson and adviser T. Lynn Williamson selected this year's squad and began the quest for the next national championship. The show followed the squad from the grueling week of tryouts, where even returning members have to try out, through camp, classes, practices, and games, all in preparation for Universal Cheerleading Association nationals in January.

33. Student Awards and Achievements

Brandi Berryman, Architecture, had her award-winning door design selected as part of the exterior for the 2007 Home and Garden Television (HGTV) Dream Home, an annual sweepstakes presented by the cable channel that presents home and garden programming. The doors were originally designed for and won the first JELD-WEN Student Door Design Contest in 2006.

Chris DeLotell, Journalism and Telecommunications, placed seventh in the Personality/Profile Writing competition of the 2006-07 William Randolph Hearst Foundation's Journalism Awards Program.

Three UK chemical engineering students, two from the Lexington campus and one from the Paducah campus, won awards for their oral research paper presentations at the American Institute of Chemical Engineers (AIChE) Southern Regional Conference March 30-April 1 in Columbia, South Carolina. Second place awards of \$400 each went to Peter Frailie for "Polymer-Silica Mixed Matrix Membranes for Solute Separations from Water;" and Matthew Borns for "Reverse-Selective Membrane Networks for the Purification of CO₂ Gas Mixtures." James Tidwell, a student in the UK chemical engineering program in Paducah, won a third place award of \$200 for his presentation, "The Synthesis of Uranium Doped Lithiated Nickel Oxide." There were a total of 26 presentations from various universities.

34. Faculty and Staff Awards and Achievements

Les Anderson, Animal and Food Sciences, earned the 2007 Young Animal Scientist Award for Education from the Southern Section of the American Society of Animal Scientists.

Joanna Badagliacco, Gender and Women's Studies, and Penny Cox, Information Technology, were named co-recipients of UK's 2007 Sarah Bennett Holmes Award, given in recognition of outstanding women on the UK campus.

John Balk, Chemical and Materials Engineering, has been selected to receive the 2007 ASM Bradley Stoughton Award for Young Teachers. The award is given for dedication, service, and mentoring of Materials Science and Engineering students by ASM International, the society for materials engineers and scientists.

Allan Butterfield, Center of Membrane Sciences and Chemistry, was notified recently that one of his papers, "Redox Proteomics Analysis of Oxidatively Modified Proteins in G93A-SOD1 Transgenic Mice—A Model of Familial Amyotrophic Lateral Sclerosis," was among the top-20 most downloaded for the period 2005-06 from the journal *Free Radical Biology & Medicine*.

Lisa Carter, UK Libraries, has been selected by the Association of Research Libraries as a 2007-2008 participant in the Research Library Leadership Fellows Program.

Burt Davis, Center for Applied Energy Research, is the co-editor of a new book titled *Fischer-Tropsch Synthesis, Catalysts and Catalysis*, which includes three chapters written by Davis. This book is part of a series called Studies in Surface Sciences and Catalysis.

Rich Gates, Biosystems and Agricultural Engineering, received \$487,000 from the U.S. Department of Agriculture Cooperative State Research, Education, and Extension Service to study methods to reduce emissions and odor levels in manure storage structures.

Philip A. Greasley, University Engagement, received the Continuing Education Leadership Award from Region 7 (southeast US) of the Association for Continuing Higher Education. The award was presented during the association's annual conference in Athens, Georgia.

Jimmy Henning, Cooperative Extension Agriculture and Natural Resources, received a \$90,000 grant from the U.S. Department of Agriculture Sustainable Agriculture Research and Education professional development program for the Kentucky Sheep and Goat Herder Curriculum Phase I in collaboration with Kentucky State University.

Robert Jensen, Art, published an essay titled "Vollard and Cézanne: An Anatomy of a Relationship" as part of the exhibition catalogue for the art show "Cézanne to Picasso: Ambroise Vollard, Patron of the Avant-Garde." The catalogue accompanies the first comprehensive exhibition devoted to Ambroise Vollard's extraordinary success as a promoter of modern art. The exhibit, which opened first at the Metropolitan Museum of Art, most recently opened at the Art Institute of Chicago, where it will run through May 12 before traveling to the Musée d'Orsay in Paris this June.

James Martin, Plant and Soil Sciences, received the Fellow Award for significant contributions to the field from the North Central Weed Science Society. Martin's work focuses on reducing weed problems in no-till crop systems.

Jamie Matthews, Animal and Food Sciences, earned the 2007 Outstanding Young Researcher award from the Midwest American Society of Animal Science/American Dairy Science Association.

David J. Moliterno, Cardiovascular Medicine, was inaugurated as the American College of Cardiology Governor of the Kentucky chapter for the years 2007-10. The inauguration took place at the ACC annual scientific session in New Orleans. Members of the ACC Board of Governors serve a three-year term as liaisons between the local chapters and the national ACC organization, the foremost professional society for heart specialists throughout the world. Members include cardiologists, cardiovascular surgeons, researchers, and specialists in a cardiovascular field, and are elected to fellowship based on outstanding credentials, achievements, and community contribution to cardiovascular medicine.

B.K. Parekh, Center for Applied Energy Research, is the new editor in chief for *Coal Preparation Journal*. This quarterly publication focuses on articles related to basic science and industrial applications in the coal preparation area.

Brian Raisler, Ophthalmology and Visual Sciences, has been awarded a four-year, \$200,000 Career Development Award from Research to Prevent Blindness.

Alison Reum, Agricultural Economics, received a \$600,000, three-year grant from the U.S. Department of Agriculture's Rural Housing Service as part of the rural community development initiative. The funds will provide technical assistance for economic development in seven Kentucky counties.

Horst Schach, Landscape Architecture, received the 2007 Distinguished Alumnus Award for Professional Achievement from the Texas Tech College of Agricultural Sciences and Natural Resources. Schach was one of only six alumni in the nation to receive the honor.

David Silverstein, Extended Campus Programs, Chemical and Materials Engineering, has been selected to receive the 2007 Ray W. Fahien Award from the Chemical Engineering Division of the American Society for Engineering Education. The award is given annually to an educator who has shown evidence of vision and contribution to chemical engineering education. The award consists of a \$1,500 stipend and a plaque to be presented at the Chemical Engineering Division Banquet of the ASEE Annual Conference in Hawaii.

Jennifer Swanberg, Institute for Workplace Innovation, was selected as one of the first five recipients of a Work-Life Rising Star, a national honor presented by the Alliance for Work-Life Progress.

George Szekely, Art, received the Marion Quin Dix Leadership Award from the National Art Education Association (NAEA). The award was presented during the NAEA National Convention in mid-March in New York. The Marion Quin Dix award recognizes outstanding contributions and service through performance and/or development of specific programs, goals, or activities of an NAEA state or province association officer.

Christopher Walling, Markey Cancer Center, has been nominated to participate in the Man and Woman of the Year Campaign, a fundraising and awareness campaign based

on philanthropic success in generating funds for the Leukemia and Lymphoma Society. Candidates raise money as ways to honor children who survived leukemia. Every dollar raised during the nine-week campaign counts as one vote; the male and female candidates with the highest amount of money raised are crowned 2007 Man and Woman of the Year. Walling hopes to raise \$20,000 by May 9.

Jeff Worley, Research Communications/*Odyssey*, has published his sixth collection of poetry, *Happy Hour at the Two Keys Tavern*, which has been nominated for a 2007 Pulitzer Prize.

Ernest Yanarella, Political Science, and Carol Hanley, Tracy Farmer Center for the Environment, have received a \$111,000 grant from the Kentucky Education Cabinet to support environmental and sustainability education in the undergraduate curriculum at UK.

PR 2

Office of the President
April 24, 2007

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Executive Vice President for Finance and Administration

Alexander, Andrew, Program Systems Analyst, Sr., Employee Benefits, after 37 years, 11 months of consecutive service, under AR II-1.6-1, Section III.B, effective 3/31/07.

Vice President for Information Technology

Ellis, Mary A., Data Coordinator, Sr., Information Technology, after 34 years, 2 months of consecutive service, under AR II-1.6-1, Section III.B, effective 3/30/07.

B. EARLY RETIREMENTS

Executive Vice President for Finance and Administration

Short, Marsha K., Staff Support Associate I, Employee Benefits, after 17 years, 11 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 4/30/07.

Vice President for Facilities Management

Donahoe, Patrick, Architect, Medical Center Physical Plant Division, after 25 years and 10 months of consecutive service, under AR II-1-6-1, Section III.A.2, effective 5/31/07.

Associate Vice President for Auxiliary and Campus Services

Allen, Benjamin, Painter II, Auxiliary Services, after 14 years, 8 months of consecutive service, and a total of 15 years of service, under AR II-1.6-1, Section III.A.2, effective 4/27/07.

Bandy, Michael, Police Officer, Police Department, after 32 years of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/2/07.

Vice President for Institutional Advancement

Collins, Dora, Administrative Support Associate I, Institutional Advancement, after 35 years, 2 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 6/8/07.

Stroth, Hugh I., Development Director, Office of Development, after 16 years, 7 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 3/30/07.

Vice President for Planning, Budget, and Policy

Rice, Brenda M., Administrative Support Associate I, Office of Planning, Budget and Policy Analysis, after 31 years, 9 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 4/9/07.

C. POST-RETIREMENT APPOINTMENT

Executive Vice President for Finance and Administration

Williams, Eugene R., Vice President for Information Technology, 7/1/07 through 6/30/08.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Butterfield, D. Allan, College of Arts and Sciences, Professor (with tenure), Chemistry, reappointed as Alumni Professor, 7/1/07 through 6/30/12.

Casarella, William J., College of Medicine, Professor (part-time), Diagnostic Radiology, 2/27/07 through 6/30/07.

Hahn, Ellen, College of Nursing, Professor (with tenure), appointed as Alumni Professor, 7/1/07 through 6/30/12.

Hernesniemi, Juba Antero, College of Medicine, Professor (voluntary), Surgery, 3/1/07 through 6/30/11.

Jana, Suman, College of Medicine, Clinical Associate Professor, Internal Medicine, 2/19/07 through 6/30/07.

Lane, Derek R., College of Communications and Information Studies, Associate Professor (with tenure), Communication, reappointed to the H. Lester Reynolds Professorship in Engineering, 1/1/07 through 12/31/09.

Motzer, Earl J., Graduate School, Associate Adjunct Professor, Martin School of Public Policy and Administration, 5/15/06 through 6/30/06.

Nagel, Uwe, College of Arts and Sciences, Associate Professor (with tenure), Mathematics, appointed to the Royster Research Professorship, 1/1/08 through 12/31/10.

Sandoval, Arturo Alonzo, College of Fine Arts, Professor (with tenure), Art, appointed as Alumni Professor, 7/1/07 through 6/30/12.

Shen, Zhongwei, College of Arts and Sciences, Professor (with tenure), Mathematics, appointed to the Ralph E. and Norma L. Edwards Research Professorship, 7/1/07 through 6/30/10.

Stamm, Stefan, College of Medicine, Associate Professor (part-time), Molecular and Cellular Biochemistry, 2/1/07 through 6/30/07.

Syed, Mushabbar A., College of Medicine, Clinical Associate Professor, Internal Medicine, 8/15/06 through 6/30/07.

Walters, Chadwick A., College of Medicine, Clinical Assistant Professor, Physical Medicine and Rehabilitation, 7/1/07 through 6/30/08.

B. ADMINISTRATIVE APPOINTMENTS

Kelley, Scott, College of Business and Economics, Professor (with tenure), Management, appointed Director, Management, 7/1/07 through 6/30/11.

Roland, Mark K., College of Arts and Sciences, Professor, Aerospace Studies, reappointed Chair, Aerospace Studies, 7/1/06 through 6/30/07.

Schach, Horst, Agriculture, Professor (with tenure), Landscape Architecture, reappointed Chair, Landscape Architecture, 7/1/05 through 6/30/10.

Sineath, Timothy W., College of Communications and Information Studies, Professor (with tenure), Library and Information Science, reappointed Director, Library and Information Science, 7/1/07 through 6/30/08.

C. JOINT APPOINTMENTS

Gerhardt, Greg A., College of Medicine, Professor (with tenure), Anatomy and Neurobiology, and joint appointments as Professor (without tenure), Psychiatry and Professor (without tenure), Neurology, new joint appointment as Professor (without tenure), Electrical and Computer Engineering, College of Engineering, effective 6/1/07.

D. REAPPOINTMENTS

Armitstead, John A., College of Pharmacy, Clinical Associate Professor, 7/1/07 through 6/30/08.

Bay, Carolyn A., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/07 through 6/30/08.

Bezold, Louis I., College of Medicine, Associate Professor* (without tenure), Pediatrics, 7/1/07 through 6/30/08.

Branch, Leslie B., College of Medicine, Professor (part-time), Internal Medicine, 7/1/07 through 6/30/08.

Bright, Katherine L., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.

Brill, Yolanda M., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.

Bruce, Margaret C., College of Medicine, Associate Professor (part-time), Pediatrics, and joint appointment as Associate Professor (part-time), Physiology, 7/1/07 through 6/30/08.

Callahan, Leigh Ann, College of Medicine, Associate Professor (without tenure), Internal Medicine, 7/1/07 through 6/30/08.

Chasen, Craig A., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/07 through 6/30/08.

Chen, Kuey C., College of Medicine, Associate Research Professor, Molecular and Biomedical Pharmacology, 7/1/07 through 6/30/08.

Colclough, George W., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/07 through 6/30/08.

Collins, Robert W., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/07 through 6/30/08.

Conigliaro, Rosemarie L., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/07 through 6/30/08.

Conklin, John D., College of Medicine, Clinical Associate Professor, Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.

Conner, William T., College of Medicine, Clinical Professor, Surgery, 7/1/07 through 6/30/08.

*Special Title series

Davis, Robert T., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/07 through 6/30/08.

Divine, Holly S., College of Pharmacy, Clinical Associate Professor, 7/1/07 through 6/30/08.

Eichhorn, John H., College of Medicine, Clinical Professor, Anesthesiology, 7/1/07 through 6/30/08.

Ellis, Jeffrey L., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.

Etscheidt, Mark A., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/07 through 6/30/08.

Fahy, Brenda G., College of Medicine, Clinical Professor, Anesthesiology, 7/1/07 through 6/30/08.

Fetherston, Jacqueline D., College of Medicine, Associate Research Professor, Microbiology, Immunology and Molecular Genetics, 7/1/07 through 6/30/08.

Flowers, Nancy C., College of Medicine, Professor (part-time), Internal Medicine, 7/1/07 through 6/30/08.

Fried, Andrew M., College of Medicine, Professor (part-time), Diagnostic Radiology, 7/1/07 through 6/30/08.

Gal, Thomas J., College of Medicine, Associate Professor* (without tenure), Surgery, 7/1/07 through 6/30/08.

Garden, John W., College of Medicine, Professor (part-time), Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.

Gerson, Kenneth L., College of Medicine, Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.

Gevedon, Teresa G., College of Medicine, Clinical Associate Professor, Psychiatry, 7/1/07 through 6/30/08.

Gibson, Jacqueline S., College of Medicine, Clinical Professor, Internal Medicine, 7/1/07 through 6/30/08.

Goldstein, Steven J., College of Medicine, Clinical Associate Professor, Diagnostic Radiology, 7/1/07 through 6/30/08.

Goulson, Daniel T., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/07 through 6/30/08.

Granger, Don T., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/07 through 6/30/08.

Grigsby, Donna G., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.

Hamann, Scott R., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/07 through 6/30/08.

High, Walter M., College of Medicine, Associate Professor (without tenure), Physical Medicine and Rehabilitation, and joint appointment as Associate Professor (without tenure), Surgery, 7/1/07 through 6/30/08.

Horan, Leo G., College of Medicine, Professor (part-time), Internal Medicine, 7/1/07 through 6/30/08.

Hoven, Ardis D., College of Medicine, Clinical Professor, Internal Medicine, 7/1/07 through 6/30/08.

*Special Title series

- Huang, Michael H., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Huff, Marlene B., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/07 through 6/30/08.
- Humphries, Roger L., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/07 through 6/30/08.
- Iwinski, Henry J., College of Medicine, Clinical Associate Professor, Orthopaedic Surgery, and joint appointment as Clinical Associate Professor, Pediatrics, 7/1/07 through 6/30/08.
- Jackson, Elizabeth C., College of Medicine, Associate Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.
- Jana, Suman, College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Karpf, Michael, College of Medicine, Clinical Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Kasdan, Morton L., College of Public Health, Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/06 through 6/30/11.
- Katz, Douglas G., College of Medicine, Clinical Associate Professor, Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.
- King, Joseph G., College of Medicine, Clinical Associate Professor, Diagnostic Radiology, 7/1/07 through 6/30/08.
- Landers, Cheri D., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/07 through 6/30/08.
- Lane, Matthew T., College of Pharmacy, Clinical Associate Professor, 7/1/07 through 6/30/08.
- Leach, Rice C., College of Public Health, Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/06 through 6/30/11.
- LeVine, Harry, College of Medicine, Associate Professor (without tenure), Molecular and Cellular Biochemistry, 7/1/07 through 6/30/08.
- Lock, Richard, College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/07 through 6/30/08.
- Magnuson, Barbara L., College of Pharmacy, Associate Adjunct Professor, 7/1/07 through 6/30/08.
- Mannino, David M., College of Public Health, Associate Professor (without tenure), Preventive Medicine and Environmental Health, and joint appointments as Associate Professor (without tenure), Internal Medicine, College of Medicine and Associate Professor (without tenure), Epidemiology, 7/1/07 through 6/30/08.
- Martin, Julia E., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/07 through 6/30/08.
- Mawad, Hanna W., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Mazzoleni, Alberto, College of Medicine, Professor (part-time), Internal Medicine, 7/1/07 through 6/30/08.
- McAllister, C. K., College of Medicine, Clinical Professor, Internal Medicine, 7/1/07 through 6/30/08.

- McClure, Rick R., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/07 through 6/30/08.
- McCormick, Malkanthie I., College of Medicine, Clinical Professor, Internal Medicine, 7/1/07 through 6/30/08.
- McDaniel, William E., College of Medicine, Professor (part-time), Internal Medicine, 7/1/07 through 6/30/08.
- Meek, Robin R., College of Medicine, Associate Professor (part-time), Internal Medicine, 7/1/07 through 6/30/08.
- Meigooni, Ali S., College of Medicine, Clinical Professor, Radiation Medicine, and joint appointment as Clinical Professor, Clinical Sciences, College of Health Sciences, 7/1/07 through 6/30/08.
- Miller, Beth A., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Miller, Ralph E., College of Medicine, Clinical Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Mullett, Timothy W., College of Medicine, Clinical Associate Professor, Surgery, 7/1/07 through 6/30/08.
- Murphy, John T., College of Medicine, Clinical Associate Professor, Anesthesiology, 7/1/07 through 6/30/08.
- Nicholas, Amy, College of Pharmacy, Clinical Associate Professor, 7/1/07 through 6/30/08.
- Nowak-Rapp, Margaret, College of Pharmacy, Clinical Associate Professor, 7/1/07 through 6/30/08.
- Pettigrew, Anjana L., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, and joint appointments as Clinical Associate Professor, Obstetrics and Gynecology and Clinical Associate Professor, Pediatrics and Clinical Associate Professor, Neurology, 7/1/07 through 6/30/08.
- Pinkstaff, Thomas H., College of Medicine, Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.
- Pittman, Anne M., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Popham, Julia A., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Ralph, Donald E., College of Medicine, Clinical Professor, Psychiatry, and joint appointment as Clinical Professor, Psychology, College of Arts and Sciences, 7/1/07 through 6/30/08.
- Saha, Sibu P., College of Medicine, Clinical Professor, Surgery, 7/1/07 through 6/30/08.
- Sanders, Sheila P., College of Medicine, Clinical Associate Professor, Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.
- Scutchfield, Scott B., College of Medicine, Associate Professor (part-time), Orthopaedic Surgery, 7/1/07 through 6/30/08.
- Shah, Vinod, College of Pharmacy, Adjunct Professor, 7/1/07 through 6/30/08.
- Shashidhar, Harohalli R., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/07 through 6/30/08.
- Sloss, Robert R., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.

Smith, Albert J., College of Medicine, Associate Professor (voluntary), Internal Medicine, 7/1/06 through 6/30/11.

Steltenkamp, Carol L., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/07 through 6/30/08.

Stewart, Daniel H., College of Medicine, Clinical Associate Professor, Surgery, 7/1/07 through 6/30/08.

Stouch, Terry, College of Pharmacy, Associate Adjunct Professor, 7/1/07 through 6/30/08.

Supinski, Gerald, College of Medicine, Professor (without tenure), Internal Medicine, 7/1/07 through 1/14/08.

Syed, Mushabbar A., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/07 through 6/30/08.

Toney, Dale E., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/07 through 6/30/08.

Tsai, Chung-Jyi, College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/07 through 6/30/08.

Tylkowski, Chester M., College of Medicine, Clinical Professor, Orthopaedic Surgery, 7/1/07 through 6/30/08.

Vascello, Luis A., College of Medicine, Associate Professor (part-time), Anesthesiology, 7/1/07 through 6/30/08.

Waid, Thomas H., College of Medicine, Clinical Professor, Internal Medicine, 7/1/07 through 6/30/08.

Whayne, Thomas F., College of Medicine, Clinical Professor, Internal Medicine, 7/1/07 through 6/30/08.

Wilson, Dianne, College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, and joint appointment as Clinical Assistant Professor, Surgery, 7/1/07 through 6/30/08.

Xie, Gong Y., College of Medicine, Clinical Professor, Internal Medicine, 7/1/07 through 6/30/08.

Yoder, Mervin C., College of Medicine, Adjunct Professor, Pediatrics, 7/1/07 through 6/30/08.

Yoneda, Justine M., College of Medicine, Associate Professor (part-time), Radiation Medicine, 7/1/07 through 6/30/08.

Young, Thomas L., College of Medicine, Clinical Professor, Pediatrics, 7/1/07 through 6/30/08.

Zaglul, Horacio F., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/07 through 6/30/08.

Zhan, Chang-Guo, College of Pharmacy, Associate Professor (without tenure), 7/1/07 through 6/30/08.

E. TENURE

Haydon, Richard C., College of Medicine, from Associate Professor* (without tenure), Surgery, to Associate Professor* (with tenure), Surgery, effective 7/1/07.

Jackson, Carney B., Agriculture, from Associate Professor* (without tenure), Veterinary Science, to Associate Professor* (with tenure), Veterinary Science, effective 7/1/07.

*Special Title series

Shi, Xianglin, College of Medicine, from Professor (without tenure), Graduate Center for Toxicology, to Professor (with tenure), Graduate Center for Toxicology, effective 6/1/07.

Smith, Kelly M., College of Pharmacy, from Associate Professor* (without tenure), to Associate Professor* (with tenure), effective 7/1/07.

Zhan, Chang-Guo, College of Pharmacy, from Associate Professor (without tenure), to Associate Professor (with tenure), effective 1/1/07.

F. PROMOTIONS

Bathke, Arne, College of Arts and Sciences, from Assistant Professor, Statistics, to Associate Professor (with tenure), Statistics, effective 7/1/07.

Bhatt, Ramesh, College of Arts and Sciences, from Associate Professor (with tenure), Psychology, to Professor (with tenure), Psychology, effective 7/1/07.

Bollinger, Christopher R., College of Business and Economics, from Associate Professor (with tenure), Economics, to Professor (with tenure), Economics, effective 7/1/07.

Browne-Ferrigno, Tricia, College of Education, from Assistant Professor, Educational Leadership Studies, to Associate Professor (with tenure), Educational Leadership Studies, effective 7/1/07.

Clayton, Thomas, College of Arts and Sciences, from Associate Professor (with tenure), English, to Professor (with tenure), English, effective 7/1/07.

Fillmore, Mark, College of Arts and Sciences, from Associate Professor (with tenure), Psychology, and joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, to Professor (with tenure), Psychology, and joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, effective 7/1/07.

Giancola, Peter, College of Arts and Sciences, from Associate Professor (with tenure), Psychology, to Professor (with tenure), Psychology, effective 7/1/07.

Given, Curtis A., College of Medicine, from Assistant Professor*, Diagnostic Radiology, and joint appointment as Assistant Professor*, Surgery, to Associate Professor* (with tenure), Diagnostic Radiology, and joint appointment as Assistant Professor*, Surgery, effective 7/1/07.

Gottlob, Lawrence, College of Arts and Sciences, from Assistant Professor, Psychology, to Associate Professor (with tenure), Psychology, effective 7/1/07.

Hardesty, David, College of Business and Economics, from Assistant Professor, Management, to Associate Professor (with tenure), Management, effective 7/1/07.

Inoue, Masamichi, College of Arts and Sciences, from Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, to Associate Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, effective 7/1/07.

Labunski, Richard E., College of Communications and Information Studies, from Associate Professor (with tenure), Journalism and Telecommunications, to Professor (with tenure), Journalism and Telecommunications, effective 7/1/07.

*Special Title series

- Maguire, Grace F., College of Medicine, from Associate Professor (part-time), Pediatrics, to Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.
- Minion, David J., College of Medicine, from Clinical Assistant Professor, Surgery, to Clinical Associate Professor, Surgery, 7/1/07 through 6/30/08.
- Paz, Yanira, College of Arts and Sciences, from Assistant Professor, Hispanic Studies, to Associate Professor (with tenure), Hispanic Studies, effective 7/1/07.
- Segerstrom, Suzanne, College of Arts and Sciences, from Associate Professor (with tenure), Psychology, and joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, to Professor (with tenure), Psychology, and joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, effective 7/1/07.
- Telling, Glenn C., College of Medicine, from Associate Professor (with tenure), Microbiology, Immunology, and Molecular Genetics, and joint appointment as Associate Professor (without tenure), Neurology, to Professor (with tenure), Microbiology, Immunology, and Molecular Genetics, and joint appointment as Professor (without tenure), Neurology, effective 7/1/07.
- Turkington, Alice, College of Arts and Sciences, from Assistant Professor, Geography, to Associate Professor (with tenure), Geography, effective 7/1/07.
- Underwood, William L., College of Medicine, from Associate Professor (part-time), Pediatrics, and joint appointment as Assistant Professor (part-time), Family and Community Medicine, to Professor (part-time), Pediatrics, and joint appointment as Assistant Professor (part-time), Family and Community Medicine, 7/1/07 through 6/30/08.
- Valentino, Joseph, College of Medicine, from Associate Professor (with tenure), Surgery, to Professor* (with tenure), Surgery, effective 7/1/07.
- Woods, Sheila H., College of Medicine, from Assistant Professor (part-time), Pediatrics, to Associate Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.
- Zook, Matthew, College of Arts and Sciences, from Assistant Professor, Geography, to Associate Professor (with tenure), Geography, effective 7/1/07.

G. CHANGES

- O'Connor, William N., College of Medicine, from Professor (part-time), Pathology and Laboratory Medicine, to Professor (part-time), Pathology and Laboratory Medicine, 4/1/07 through 6/30/07.
- Pan, Bin-Tao, College of Medicine, from Associate Professor (with tenure), Surgery, and joint appointment as Associate Professor (without tenure), Microbiology, Immunology, and Molecular Genetics, to Associate Professor (with tenure), Neurosurgery, and joint appointment as Associate Professor (without tenure), Microbiology, Immunology, and Molecular Genetics, effective 7/1/07.
- Patchell, Roy A., College of Medicine, from Professor (with tenure), Surgery, and joint appointment as Professor (without tenure), Neurology, to Professor (with tenure), Neurosurgery, and joint appointment as Professor (without tenure), Neurology, effective 7/1/07.
- Pittman, Thomas A., College of Medicine, from Professor* (with tenure), Surgery, to Professor* (with tenure), Neurosurgery, effective 7/1/07.

*Special Title series

- Tibbs, Phillip A., College of Medicine, from Professor (with tenure), Surgery, and joint appointment as Professor (without tenure), Physical Medicine and Rehabilitation, to Professor (with tenure), Neurosurgery, and joint appointment as Professor (without tenure), Physical Medicine and Rehabilitation, effective 7/1/07.
- Toborek, Michal, College of Medicine, from Professor (with tenure), Surgery, to Professor (with tenure), Neurosurgery, effective 7/1/07.
- Young, A. B., College of Medicine, from Professor (with tenure), Surgery, to Professor (with tenure), Neurosurgery, effective 7/1/07.
- Yurek, David M., College of Medicine, from Research Professor, Surgery, and joint appointment as Associate Research Professor, Anatomy and Neurobiology, to Research Professor, Neurosurgery, and joint appointment as Associate Research Professor, Anatomy and Neurobiology, 7/1/07 through 6/30/08.

H. TERMINAL REAPPOINTMENTS

- Witzke, Donald B., College of Medicine, Associate Research Professor, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.

I. RETIREMENTS

- Bigler, Annette, College of Arts and Sciences, Staff Support Associate I, Aerospace Studies, after 9 years and 3 months of consecutive service, under AR II-1.6-1, Section III.B, effective 2/5/07.
- DeSimone, Philip A., College of Medicine, Professor (with tenure), Internal Medicine, after 32 years and 11 months of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/07.
- Donnelly, Michael, College of Medicine, Research Professor, Surgery, after 16 years and 8 months of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/07.
- Hoskins, Mary Sue, Undergraduate Education, Dean Assistant Senior, Central Advising, after 21 years and 8 months of consecutive service, under AR II-1.6-1, Section III.B, effective 5/31/07.
- Kennedy, M. Jean, College of Medicine, Department Administrator III, Family Practice, after 20 years and 8 months of consecutive service, and a total of 29 years of service, under AR II-1.6-1, Section III.B, effective 3/14/07.
- Pursifull, Thelma, College of Agriculture, County Extension Agent, Cooperative Extension Service, after 10 years and 6 months of consecutive service, and a total of 14 years and 11 months of service, under AR II-1.6-1, Section III.B, effective 1/3/07.
- Van Dyke, Eileen, College of Health Sciences, Associate Professor* (with tenure), Clinical Sciences, after 7 years and 2 months of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/07.
- Vanaman, Thomas C., College of Medicine, Professor (with tenure), Molecular and Cellular Biochemistry, after 23 years and 9 months of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/07.

*Special Title series

Waldhart, Enid S., College of Communications and Information Studies, Associate Professor* (with tenure), Communication, after 31 years and 11 months of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/07.

J. EARLY RETIREMENTS

Allen, David, College of Engineering, Engineer Associate Program Manager II, Kentucky Transportation Center, after 39 years and 9 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 3/31/07.

Cadle, Ronald G., College of Medicine, Genetic Counselor Senior, Pediatrics, after 24 years of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/2/07.

Crow, Betty, Student Affairs, Staff Support Associate I, Counseling and Testing Center, after 43 years and 8 months of consecutive service, and a total of 44 years and 9 months of service, under AR II-1.6-1, Section III.A.2, effective 6/1/07.

Cunningham, Linda, Graduate School, Staff Support Associate I, Center for Biomedical Engineering, after 40 years and 8 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 3/30/07.

Giles, Ralph C., Agriculture, Professor* (with tenure), Veterinary Science, after 28 years and 5 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 6/30/07.

Green, Robert E., College of Agriculture, Auto Mechanic III, Management Operations, after 34 years and 3 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 3/2/07.

Grusy, Ronald, College of Agriculture, County Extension Agent, Cooperative Extension Service, after 15 years and 9 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 5/1/07.

Henselman, Judith, College of Public Health, Administrative Support Associate I, Council on Aging, after 23 years and 10 months of consecutive service, and a total of 25 years and 7 months of service, under AR II-1.6-1, Section III.A.2, effective 4/23/07.

Huber, Christine, College of Medicine, Nurse Staff II, Internal Medicine, after 26 years and 5 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 3/31/07.

Klesk, Greer, College of Medicine, Clinical Clerical Supervisor, Gynecologic Oncology, after 18 years of consecutive service, under AR II-1.6-1, Section III.A.2, effective 5/18/07.

Little, Glennis, College of Medicine, Nurse Practitioner, Family Practice, after 27 years and 7 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 3/16/07.

Sullivan, Irma, College of Agriculture, County Extension Clerk, Cooperative Extension Service, after 7 years and 8 months of consecutive service, and a total of 19 years and 10 months of service, under AR II-1.6-1, Section III.A.2, effective 1/2/07.

Troop, Deborah, College of Agriculture, Program Assistant, Family and Consumer Science, after 19 years and 6 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 3/3/07.

*Special Title series

Vass, Mary M., Libraries, Librarian I (with tenure), after 22 years and 8 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 5/25/07.

K. POST-RETIREMENT APPOINTMENTS

Amerson, Ann B., College of Pharmacy, Professor (part-time), 7/1/07 through 6/30/08.

Aug, Robert G., College of Medicine, Professor (part-time), Psychiatry, 7/1/07 through 6/30/08.

Bladen, Wilford A., College of Arts and Sciences, Associate Professor (part-time), Geography, 7/1/06 through 6/30/07.

Clawson, D. K., College of Medicine, Professor (part-time), Orthopaedic Surgery, 7/1/07 through 6/30/08.

DeSimone, Philip A., College of Medicine, Professor (part-time), Internal Medicine, 7/1/07 through 6/30/08.

Eclov, Mark, College of Agriculture, Agricultural Extension Specialist (part-time), Agricultural Communications Services, 7/1/07 through 6/30/08.

Fosson, Abe R., College of Medicine, Professor (part-time), Pediatrics, and joint appointment as Professor (part-time), Psychiatry, 7/1/07 through 6/30/08.

Goodman, Norman L., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.

Johnson, Gregory L., College of Medicine, Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.

Kielar, Richard A., College of Medicine, Professor (part-time), Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.

Kiesel, Linda, College of Agriculture, Agricultural Extension Specialist (part-time), Agricultural Communications Services, 7/1/06 through 6/30/08.

Kraus, Robert F., College of Medicine, Professor (part-time), Psychiatry, 7/1/07 through 6/30/08.

Lawson, James D., College of Agriculture, Assistant Director (part-time), Agricultural Experiment Station for Legal Affairs, 4/1/07 through 3/31/08.

Lee, Charles, College of Medicine, Associate Professor (part-time), Diagnostic Radiology, 7/1/07 through 6/30/08.

Lieber, Arthur, College of Medicine, Professor (part-time), Diagnostic Radiology, 7/1/07 through 6/30/08.

Lightfoot, Robert W., College of Medicine, Professor (part-time), Internal Medicine, 7/1/07 through 6/30/08.

Marciniak, Ewa J., College of Medicine, Professor (part-time), Internal Medicine, 7/1/07 through 6/30/08.

Neill, John R., College of Medicine, Associate Professor (part-time), Psychiatry, 7/1/07 through 6/30/08.

Noonan, Jacqueline A., College of Medicine, Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.

O'Connor, William N., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.

Reucroft, Philip J., College of Engineering, Professor (part-time), Chemical and Materials Engineering, 1/1/07 through 6/30/07.

Sloan, Jewell W., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/07 through 6/30/08.
Stevens, David B., College of Medicine, Professor (part-time), Orthopaedic Surgery, 7/1/07 through 6/30/08.
Thompson, John S., College of Medicine, Professor (part-time), Internal Medicine, 7/1/07 through 6/30/08.
Tietz, Norbert W., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.
Vanaman, Thomas C., College of Medicine, Professor (part-time), Molecular and Cellular Biochemistry, 7/1/07 through 6/30/08.
Weeks, M. O'Neal, Agriculture, Professor (part-time), Family Studies, 7/1/06 through 6/30/07.

L. PHASED RETIREMENT APPOINTMENTS

Welsh, Richard J., College of Medicine, Professor* (with tenure), Psychiatry, and joint appointment as Professor* (without tenure), College of Social Work, 7/1/07 through 6/30/12.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Adams, Lesley B., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/07 through 6/30/11.
Adkins, Don, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.
Barton, Bernadette C., College of Arts and Sciences, Instructor (part-time), Sociology, 7/1/06 through 6/30/07.
Bentley, Teresa J., College of Medicine, Instructor (voluntary), Internal Medicine, 3/1/07 through 6/30/11.
Bond, John A., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 11/1/06 through 6/30/11.
Campbell, Mary Ella, College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/07 through 6/30/11.
Chipman, Janet R., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 1/23/07 through 6/30/11.
Circosta, Jo Ann, College of Arts and Sciences, Instructor (part-time), English, 7/1/06 through 6/30/07.
Clark, Hollis J., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 2/1/07 through 6/30/11.
Clore, Danielle T., Agriculture, Adjunct Instructor, Community and Leadership Development, 8/23/06 through 12/22/06.
Craig, Marcis A., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 2/2/07 through 6/30/11.

*Special Title series

Dempsey-Hall, Linda L., College of Nursing, Instructor (part-time), 1/10/07 through 6/30/07.

Douglas, Matthew K., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 1/1/07 through 5/15/07.

Dunn, Steven P., College of Pharmacy, Assistant Adjunct Professor, 1/1/07 through 6/30/07.

Falluji, Nezar M., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 2/1/07 through 6/30/11.

Ferguson, Scott, College of Pharmacy, Assistant Professor (voluntary), 1/19/07 through 6/30/11.

Gentry, Theodore L., College of Fine Arts, Instructor (part-time), Music, 7/1/06 through 6/30/07.

Ghosh, Subir K., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 12/1/06 through 6/30/11.

Hamilton, Cynthia P., College of Arts and Sciences, Instructor (part-time), History, 7/1/06 through 6/30/07.

Hance, Cathy, College of Pharmacy, Assistant Professor (voluntary), 1/24/07 through 6/30/11.

Hay, Jane, College of Arts and Sciences, Instructor (part-time), Biology, 7/1/06 through 6/30/07.

Herald, Tiffany, College of Pharmacy, Assistant Professor (voluntary), 12/5/06 through 6/30/11.

Howard, Patricia K., College of Nursing, Assistant Adjunct Professor, 5/1/07 through 6/30/07.

Howard, Vylinda, College of Pharmacy, Assistant Professor (voluntary), 12/5/06 through 6/30/11.

Hu, Wuyang, Agriculture, Assistant Professor, Agricultural Economics, 2/1/07 through 6/30/07.

Hubbs, Karen, College of Pharmacy, Assistant Professor (voluntary), 1/17/07 through 6/30/11.

Jacobs, Cale A., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 4/24/07 through 6/30/07.

Jacobson, Mark S., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/07 through 6/30/11.

Johnson, Stan M., College of Medicine, Assistant Professor (voluntary), Pediatrics, 2/1/07 through 6/30/11.

Kamishetti, Mallikarjun, College of Medicine, Instructor (voluntary), Internal Medicine, 12/1/06 through 6/30/11.

Kelty, Stephen J., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 1/1/07 through 6/30/11.

Lopez, Josefina C., College of Arts and Sciences, Adjunct Instructor, Hispanic Studies, 1/1/07 through 5/15/07.

Lowe, Linette R., College of Arts and Sciences, Instructor (part-time), Philosophy, 7/1/06 through 6/30/07.

McNear, David H., Agriculture, Assistant Professor, Plant and Soil Sciences, 1/1/07 through 6/30/07.

Miesle, Mary A., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 1/1/07 through 6/30/11.

Miller, J. Scott, College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 7/1/06 through 6/30/07.

Mullineaux, David, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.

Myers, Karla, College of Pharmacy, Assistant Professor (voluntary), 1/19/07 through 6/30/11.

Pierce, James L., Agriculture, Assistant Adjunct Professor, Animal and Food Sciences, 3/9/07 through 6/30/07.

Poore, Marian L., College of Arts and Sciences, Instructor (part-time), Chemistry, 1/1/07 through 5/15/07.

Quertermous, Sarah E., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/07 through 5/15/07.

Ratliff, Todd A., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/07 through 6/30/11.

Rickard, Jerry, College of Pharmacy, Assistant Professor (voluntary), 1/19/07 through 6/30/11.

Rose, Donna M., College of Nursing, Instructor (part-time), 1/16/07 through 6/30/07.

Schmidt, Bradley, College of Pharmacy, Assistant Professor (voluntary), 1/9/07 through 6/30/11.

Shaw, Kin W., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/07 through 6/30/11.

Stakelin, Kristen R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 3/6/07 through 6/30/07.

Sutphin, Stephanie, College of Pharmacy, Assistant Adjunct Professor, 1/1/07 through 6/30/07.

Tichenor, Amy, College of Pharmacy, Assistant Professor (voluntary), 1/11/07 through 6/30/11.

Viswanathan, Chandramouli, College of Engineering, Adjunct Instructor, Civil Engineering, 1/1/07 through 6/30/07.

Weber, Deborah, College of Arts and Sciences, Instructor (part-time), Mathematics, 7/1/06 through 6/30/07.

Wilham, David H., College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/16/07 through 6/30/07.

Wilson, James D., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 12/1/06 through 6/30/11.

Wilson, Virginia C., Graduate School, Assistant Adjunct Professor, Martin School of Public Policy and Administration, 8/15/06 through 6/30/07.

B. ADMINISTRATIVE APPOINTMENTS

Crooks, Vivienne, College of Dentistry, Dean Associate, Dental Administrative Affairs, effective 4/23/07.

Lawson, Matthew, College of Medicine, Physician Assistant Surgical, Surgery, effective 3/1/07.

C. JOINT APPOINTMENTS

- Bolt, William D., College of Health Sciences, Assistant Professor (voluntary), Health Services, and joint appointment as Assistant Professor (voluntary), Family and Community Medicine, College of Medicine, new joint appointment as Assistant Professor (voluntary), Health Services Management, College of Public Health, 12/1/06 through 6/30/11.
- Jadoon, Asad K., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, joint appointment as Assistant Professor (voluntary), Internal Medicine, College of Medicine, 3/7/07 through 6/30/11.

D. REAPPOINTMENTS

- Absher, Kimberly J., College of Medicine, Assistant Professor (part-time), Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.
- Adams, Aimee G., College of Pharmacy, Assistant Adjunct Professor, and joint appointment as Assistant Adjunct Professor, Internal Medicine, College of Medicine, 7/1/07 through 6/30/08.
- Aikat, Shamik, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Allen, Timothy S., College of Medicine, Assistant Professor*, Psychiatry, 7/1/07 through 6/30/08.
- Anaya, Paul, College of Medicine, Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Arrington, Michael I., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/07 through 6/30/08.
- Arsenescu, Razvan I., College of Medicine, Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Atallah, Joseph N., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/07 through 6/30/08.
- Bailey, Paula, College of Medicine, Assistant Professor*, Internal Medicine, and joint appointment as Instructor*, Pediatrics, 7/1/07 through 6/30/08.
- Balachandra, Shivaiah, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.
- Ballard, Hubert O., College of Medicine, Assistant Professor*, Pediatrics, 7/1/07 through 6/30/08.
- Baron, Andre T., College of Medicine, Assistant Professor, Internal Medicine, and joint appointment as Assistant Professor, Epidemiology, College of Public Health, 7/1/07 through 6/30/08.
- Bashinsky, Alice L., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.
- Bayliff, Sherry L., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.
- Bennett, Jeffrey S., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.

*Special Title series

Bernard, Philip A., College of Medicine, Assistant Professor*, Pediatrics, 7/1/07 through 6/30/08.

Beshear, Deidra D., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Bigham, Alacia L., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Bischoff, Elisha A., College of Pharmacy, Assistant Professor (voluntary), 7/1/06 through 6/30/11.

Black, Esther P., College of Pharmacy, Assistant Professor, 7/1/07 through 6/30/08.

Blackburn, Peter J., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.

Blalock, Eric M., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/07 through 6/30/08.

Blechner, Michael D., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.

Boarman, Christopher A., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.

Boland, Michael, College of Medicine, Assistant Professor*, Orthopaedic Surgery, 7/1/07 through 6/30/08.

Bosch, Antonio, College of Medicine, Assistant Professor*, Internal Medicine, 7/1/07 through 6/30/08.

Bowers, Rebecca C., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/07 through 6/30/08.

Brandewie, Deborah J., College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/07 through 6/30/08.

Branscum, Adam J., College of Public Health, Assistant Professor, Biostatistics, and joint appointments as Assistant Professor, Epidemiology and Assistant Professor, Statistics, College of Arts and Sciences, 7/1/07 through 6/30/08.

Brantley-Hite, Kimberley L., College of Pharmacy, Assistant Adjunct Professor, 7/1/07 through 6/30/08.

Brennan, Kimberly C., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/07 through 6/30/08.

Brewer, Thomas F., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/06 through 6/30/11.

Brooks, Michael A., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/07 through 6/30/08.

Brown, Paul, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 1/1/07 through 5/15/07.

Bruemmer, Dennis C., College of Medicine, Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Bruno, Maria E., College of Medicine, Assistant Research Professor, Microbiology, Immunology and Molecular Genetics, 7/1/07 through 6/30/08.

Buch, Ketan P., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Buckley, Sean, College of Medicine, Assistant Adjunct Professor, Psychiatry, 7/1/07 through 6/30/08.

- Burk, Charles, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 8/16/06 through 12/31/06.
- Butler, Carina L., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/07 through 6/30/08.
- Butler, Craig D., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/07 through 6/30/08.
- Caldwell, Glyn G., College of Public Health, Assistant Professor (part-time), Epidemiology, 7/1/07 through 6/30/08.
- Campbell, Charles L., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Capoor, Seema, College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.
- Carey, Jacqueline E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Carlyon, Jason A., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/07 through 6/30/08.
- Carter, Craig T., College of Medicine, Clinical Assistant Professor, Emergency Medicine, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.
- Carvalho, Cletus S., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/07 through 6/30/08.
- Cassidy, Ryan C., College of Medicine, Assistant Professor*, Orthopaedic Surgery, 7/1/07 through 6/30/08.
- Castellanos, Ana L., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Caudill, Cliff M., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.
- Chau, Destiny F., College of Medicine, Clinical Instructor, Anesthesiology, 7/1/07 through 6/30/08.
- Chen-Izu, Ye, College of Medicine, Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Chi, Young-In, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/07 through 6/30/08.
- Cleaver, Becke, College of Education, Instructor (part-time), Educational Leadership Studies, 1/1/07 through 6/30/07.
- Corales, Enedino R., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/07 through 6/30/08.
- Crandall, James D., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.
- Craven, Rolf J., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/07 through 6/30/08.
- Cross, Tammy N., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Crutchfield, Barbara K., College of Medicine, Assistant Professor (part-time), Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.

*Special Title series

- D'Orazio, John A., College of Medicine, Assistant Professor, Pediatrics, and joint appointments as Assistant Professor, Graduate Center for Toxicology and Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/07 through 6/30/08.
- D'Orazio, Sarah E., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/07 through 6/30/08.
- D'Souza, Nympha B., College of Medicine, Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Darbee, Joan C., College of Health Sciences, Lecturer, Rehabilitation Sciences, 7/1/07 through 6/30/08.
- Dennis, Mareen C., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/07 through 6/30/08.
- Dennis, Marian D., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/07 through 6/30/08.
- Doherty, Fiona M., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/07 through 6/30/08.
- Dorfling, Johannes, College of Medicine, Clinical Instructor, Anesthesiology, 7/1/07 through 6/30/08.
- Dunavant, Scott L., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/07 through 6/30/08.
- Eckhardt, Erik, College of Medicine, Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Eugenio, Eugene Jonathan C., College of Medicine, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/07 through 6/30/08.
- Fannon, Michael W., College of Medicine, Assistant Professor, Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.
- Feddock, Christopher A., College of Medicine, Assistant Professor*, Internal Medicine, and joint appointment as Instructor*, Pediatrics, 7/1/07 through 6/30/08.
- Feola, David J., College of Pharmacy, Assistant Professor*, and joint appointment as Assistant Professor*, Internal Medicine, College of Medicine, 7/1/07 through 6/30/08.
- Fernander, Anita F., College of Medicine, Assistant Professor, Behavioral Science, 7/1/07 through 6/30/08.
- Finlin, Brian S., College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 7/1/07 through 6/30/08.
- Fisk-Owais, Carol E., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/07 through 6/30/08.
- Fleming-Phillips, Barbara, College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/07 through 6/30/08.
- Flomenhoft, Deborah R., College of Medicine, Assistant Professor*, Internal Medicine, and joint appointment as Assistant Professor*, Pediatrics, 7/1/07 through 6/30/08.
- Fowler, Joseph F., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/06 through 6/30/11.
- Gao, Ning, College of Medicine, Assistant Research Professor, Graduate Center for Toxicology, 7/1/07 through 6/30/08.

Gedaly, Roberto, College of Medicine, Clinical Assistant Professor, Surgery, 7/1/07 through 6/30/08.

Ghansah, Joseph N., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/07 through 6/30/08.

Giordani, Mauro, College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/07 through 6/30/08.

Graf, Gregory A., College of Pharmacy, Assistant Professor, 7/1/07 through 6/30/08.

Green, Dwaine K., College of Pharmacy, Assistant Adjunct Professor, 7/1/07 through 6/30/08.

Grider, Jay S., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/07 through 6/30/08.

Gupta, Nitin, College of Medicine, Instructor (part-time), Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.

Hamdalla, Hussam N., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Hamid, Saeed, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, and joint appointment as Assistant Professor (voluntary), Psychiatry, 7/1/06 through 6/30/11.

Hamm, Virginia M., College of Medicine, Assistant Adjunct Professor, Behavioral Science, 7/1/07 through 6/30/08.

Hassan, Zaki U., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/07 through 6/30/08.

Hawthorne, Colette W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Hayes, Don, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.

Hazle, Charles, College of Health Sciences, Assistant Professor*, Rehabilitation Sciences, 7/1/07 through 6/30/08.

Herberth, Johann, College of Medicine, Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Herberth, Zsolt, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Hester, Molly Y., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/07 through 6/30/08.

Hickman, Robbin, College of Health Sciences, Assistant Professor*, Rehabilitation Sciences, 7/1/07 through 6/30/08.

Hiestand, David M., College of Medicine, Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Hightower, Edward, College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/07 through 6/30/08.

Hoellein, Andrew R., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/07 through 6/30/08.

Holzhauser, Markus K., College of Medicine, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/07 through 6/30/08.

Hong-McAtee, Irene, College of Medicine, Assistant Professor*, Pediatrics, 7/1/07 through 6/30/08.

*Special Title series

Howard, Patricia K., College of Nursing, Assistant Adjunct Professor, 7/1/07 through 6/30/08.

Huhn, Jeniffer L., College of Medicine, Assistant Professor*, Radiation Medicine, 7/1/07 through 6/30/08.

Huhn, Thomas M., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/07 through 6/30/08.

Irwin, Leland J., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/07 through 6/30/08.

Izu, Leighton T., College of Medicine, Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Jahania, Mohammed S., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/07 through 6/30/08.

Jennings, Connie W., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Jeon, Hoonbae, College of Medicine, Assistant Professor*, Surgery, 7/1/07 through 6/30/08.

Jiang, Yang, College of Medicine, Assistant Professor, Behavioral Science, 7/1/07 through 6/30/08.

Johnson, Carrie, College of Pharmacy, Clinical Assistant Professor, 7/1/07 through 6/30/08.

Johnson, Ellis L., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/07 through 6/30/08.

Johnson, Jill R., College of Pharmacy, Clinical Assistant Professor, 7/1/07 through 6/30/08.

Johnson, Steven D., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/07 through 6/30/08.

Johnson-Deitemeyer, Wendy, College of Pharmacy, Clinical Assistant Professor, 7/1/07 through 6/30/08.

Jones, Larry D., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/07 through 6/30/08.

Jones, Mikael, College of Pharmacy, Clinical Assistant Professor, and joint appointment as Clinical Assistant Professor, College of Nursing, 7/1/07 through 6/30/08.

Jones, Shelley M., College of Pharmacy, Clinical Assistant Professor, 7/1/07 through 6/30/08.

Kakavand, Bahram, College of Medicine, Assistant Professor*, Pediatrics, 7/1/07 through 6/30/08.

Karabakhtsian, Rouzan G., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.

Kesler, Melissa V., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.

Kiessling, Stefan G., College of Medicine, Assistant Professor*, Pediatrics, 7/1/07 through 6/30/08.

Kim, Kyung Bo, College of Pharmacy, Assistant Professor, 7/1/07 through 2/28/08.

Kirsh, Kenneth L., College of Pharmacy, Assistant Professor*, 7/1/07 through 6/30/08.

Kleinert, Jane O., College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/07 through 6/30/08.

- Klimkina, Oksana, College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/07 through 6/30/08.
- Koch, Alvaro G., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/07 through 6/30/08.
- Kregor, Janice M., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.
- Latham, Philip B., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.
- Lattermann, Christian, College of Medicine, Assistant Professor*, Orthopaedic Surgery, 7/1/07 through 6/30/08.
- Layton, Rebecca L., College of Medicine, Assistant Professor (part-time), Anesthesiology, 7/1/07 through 6/30/08.
- Lee, Kiyoungh, College of Public Health, Assistant Professor, Preventive Medicine and Environmental Health, 7/1/07 through 6/30/08.
- Lee, Teck-Ghee, College of Arts and Sciences, Assistant Research Professor, Physics and Astronomy, 2/1/07 through 1/31/08.
- Leggas, Markos, College of Pharmacy, Assistant Professor, 7/1/07 through 6/30/08.
- Lekakis, Lazaros J., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Li, Tonglei, College of Pharmacy, Assistant Professor, 7/1/07 through 6/30/08.
- Li, Xiang-an, College of Medicine, Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.
- Lile, Joshua A., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/07 through 6/30/08.
- Lim, James S., College of Medicine, Instructor (part-time), Pediatrics, 7/1/07 through 6/30/08.
- Lima, Geraldo C., College of Education, Instructor (part-time), Educational and Counseling Psychology, 8/16/06 through 12/31/06.
- Linville, Linda H., College of Medicine, Assistant Adjunct Professor, Behavioral Science, 7/1/07 through 6/30/08.
- Liu, Jun, College of Medicine, Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.
- Liu, Youcheng, College of Public Health, Assistant Professor, Preventive Medicine and Environmental Health, 7/1/07 through 6/30/08.
- Loftin, Charles D., College of Pharmacy, Assistant Professor, 7/1/07 through 6/30/08.
- Lofwall, Michelle R., College of Medicine, Assistant Professor, Psychiatry, and joint appointment as Assistant Professor, Behavioral Science, 7/1/07 through 6/30/08.
- Lomenick, Jefferson P., College of Medicine, Assistant Professor*, Pediatrics, 7/1/07 through 6/30/08.
- Lommel, Karen, College of Medicine, Assistant Professor*, Psychiatry, and joint appointment as Assistant Professor*, Pediatrics, 7/1/07 through 6/30/08.
- Lowe, Donald I., College of Communications and Information Studies, Lecturer, Communication, 7/1/07 through 6/30/08.
- Mabins, Melanie, College of Pharmacy, Lecturer, 7/1/07 through 6/30/08.
- Madaleno, James A., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/07 through 6/30/08.
- Manley, Peggy, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/06 through 12/31/06.

*Special Title series

- Mardini, Samuel H., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/07 through 6/30/08.
- Massarweh, Suleiman A., College of Medicine, Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Maul, Erich C., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.
- McCoun, Kenneth T., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/07 through 6/30/08.
- McCoy, Michael Q., College of Medicine, Instructor (part-time), Pediatrics, and joint appointment as Instructor (part-time), Internal Medicine, 7/1/07 through 6/30/08.
- McEwen, Melanie L., College of Medicine, Assistant Research Professor, Physical Medicine and Rehabilitation, and joint appointment as Assistant Research Professor, Anatomy and Neurobiology, 7/1/07 through 6/30/08.
- McIntosh, Tera, College of Pharmacy, Lecturer, 7/1/07 through 6/30/08.
- McLarney, John T., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/07 through 6/30/08.
- Meek, Mary F., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 7/1/07 through 6/30/08.
- Milan, Primo, College of Medicine, Assistant Adjunct Professor, Diagnostic Radiology, 7/1/07 through 6/30/08.
- Milbrandt, Todd A., College of Medicine, Assistant Professor*, Orthopaedic Surgery, and joint appointment as Assistant Professor*, Pediatrics, 7/1/07 through 6/30/08.
- Miller, Cynthia H., College of Communications and Information Studies, Lecturer, Communication, 7/1/07 through 6/30/08.
- Mimms, William R., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/07 through 6/30/08.
- Mitchell, Trenika R., College of Pharmacy, Lecturer, 7/1/07 through 6/30/08.
- Mohan, Royce, College of Medicine, Assistant Professor, Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.
- Monohan, Gregory P., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/07 through 6/30/08.
- Moore, Angela R., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/07 through 6/30/08.
- Mullen, Nancy K., College of Medicine, Assistant Professor (part-time), Internal Medicine, 7/1/07 through 6/30/08.
- Murphy, Brian S., College of Medicine, Assistant Professor, Internal Medicine, and joint appointment as Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.
- Murphy, Paul J., College of Medicine, Lecturer, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.
- Napier, Sharon M., College of Medicine, Assistant Professor (part-time), Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.
- Nazario, Ruben, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.
- Nelson, Peter T., College of Medicine, Assistant Professor, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.

- Newell, Christopher S., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Newman, Ronald L., College of Medicine, Clinical Assistant Professor, Anesthesiology, and joint appointments as Clinical Assistant Professor, Physical Medicine and Rehabilitation and Clinical Assistant Professor, Clinical Sciences, College of Health Sciences, 7/1/07 through 6/30/08.
- Nixon, Kimberly, College of Pharmacy, Assistant Professor, 7/1/07 through 6/30/08.
- Noar, Seth M., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/07 through 6/30/08.
- Norris, Christopher M., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/07 through 6/30/08.
- O'Donnell, Helen M., College of Medicine, Assistant Professor (part-time), Physical Medicine and Rehabilitation, 7/1/07 through 6/30/08.
- O'Neill, Amy M., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/07 through 6/30/08.
- Oakley, Robert S., College of Pharmacy, Assistant Professor (part-time), 7/1/07 through 6/30/08.
- Olson, Anne D., College of Health Sciences, Assistant Professor*, Rehabilitation Sciences, 7/1/07 through 6/30/08.
- Orthober, Raymond J., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/07 through 6/30/08.
- Osborne, Jan C., College of Medicine, Assistant Adjunct Professor, Psychiatry, 7/1/07 through 6/30/08.
- Pais, Vernon M., College of Medicine, Assistant Professor*, Surgery, 7/1/07 through 6/30/08.
- Pastrano, Joe A., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, and joint appointment as Clinical Assistant Professor, Orthopaedic Surgery, 7/1/07 through 6/30/08.
- Pellegrini, Richard A., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/07 through 6/30/08.
- Pierce, Andrew J., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, and joint appointment as Assistant Professor, Graduate Center for Toxicology, 7/1/07 through 6/30/08.
- Pierce, Heather H., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Plattner, Rina, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/07 through 6/30/08.
- Polisetty, Rayudu B., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/07 through 6/30/08.
- Pollack, Susan H., College of Medicine, Assistant Research Professor, Pediatrics, and joint appointment as Assistant Research Professor, Preventive Medicine and Environmental Health, College of Public Health, 7/1/07 through 6/30/08.
- Pollock, Richard A., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/07 through 6/30/08.
- Powell, David B., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/07 through 6/30/08.

*Special Title series

- Prewitt, Sallie, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.
- Primm, Daniel D., College of Medicine, Assistant Professor (part-time), Orthopaedic Surgery, 7/1/07 through 6/30/08.
- Pulliam, Joseph F., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.
- Pulmano, Calixto M., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, and joint appointment as Assistant Professor (part-time), Neurology, 7/1/07 through 6/30/08.
- Quandt, M. Denise, College of Medicine, Clinical Instructor, Behavioral Science, 7/1/07 through 6/30/08.
- Radulescu, Aurelia, College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.
- Radulescu, Vlad C., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.
- Raisler, Brian J., College of Medicine, Assistant Professor, Ophthalmology and Visual Sciences, 7/1/07 through 6/30/08.
- Ramaiah, Dinesh, College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/07 through 6/30/08.
- Rankin, Caroline T., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/07 through 6/30/08.
- Real, Kevin J., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/07 through 6/30/08.
- Rebel, Annette, College of Medicine, Instructor (part-time), Anesthesiology, 7/1/07 through 6/30/08.
- Reedy-Strother, Tammy L., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/07 through 5/15/07.
- Reese, Daniel P., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/07 through 6/30/08.
- Reynolds, Eric W., College of Medicine, Assistant Professor*, Pediatrics, 7/1/07 through 6/30/08.
- Ringley, Kimberly R., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.
- Rinker, Brian D., College of Medicine, Assistant Professor*, Surgery, 7/1/07 through 6/30/08.
- Rippetoe Freeman, Patricia E., College of Pharmacy, Lecturer, 7/1/07 through 6/30/08.
- Robbins, Susan N., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.
- Ross, Jenna L., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.
- Rowe, Melinda G., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/06 through 6/30/11.
- Ryou, Chongsuk, College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 7/1/07 through 6/30/08.
- Salles, Sara S., College of Medicine, Assistant Professor*, Physical Medicine and Rehabilitation, 7/1/07 through 6/30/08.

*Special Title series

Salyers, Laura A., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/06 through 6/30/11.

Samayoa, Luis M., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.

Sargent, Charles G., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Scherbenske, James, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Schott, Jennifer C., College of Medicine, Assistant Adjunct Professor, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.

Schroeder, Valerie A., College of Medicine, Assistant Professor*, Pediatrics, 7/1/07 through 4/30/08.

Schwarze, Steven R., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, and joint appointment as Assistant Professor, Surgery, 7/1/07 through 6/30/08.

Selby, Jeffrey B., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/07 through 6/30/08.

Selby, Lisbeth A., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/07 through 6/30/08.

Shatford, Russell A., College of Medicine, Assistant Professor*, Orthopaedic Surgery, 7/1/07 through 6/30/08.

Shearer, Mary E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Shi, Geng-Xian, College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 7/1/07 through 6/30/08.

Shinn, Jennifer B., College of Medicine, Assistant Professor*, Surgery, 7/1/07 through 6/30/08.

Shulman, Robert S., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/07 through 6/30/08.

Simon, Robert E., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/07 through 6/30/08.

Smith, Lynn, College of Education, Instructor (part-time), Educational and Counseling Psychology, 8/16/06 through 12/31/06.

Snider, Gregory T., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/06 through 6/30/11.

Soares, Neelkamal S., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.

Sprague, Dennis, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/07 through 6/30/07.

Stakelin, Kristen R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Steinke, Douglas, College of Pharmacy, Assistant Professor, 7/1/07 through 6/30/08.

Stevens, Scott D., College of Medicine, Clinical Assistant Professor, Diagnostic Radiology, 7/1/07 through 6/30/08.

Steyn, Pieter G., College of Medicine, Clinical Assistant Professor, Anesthesiology, 7/1/07 through 6/30/08.

*Special Title series

- Stines, Elsie M., College of Medicine, Clinical Instructor, Pediatrics, and joint appointment as Clinical Instructor, College of Nursing, 7/1/07 through 6/30/08.
- Stoops, William W., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/07 through 6/30/08.
- Stoyioglou, Athanasios, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Stratton, Terry D., College of Medicine, Assistant Professor*, Behavioral Science, 7/1/07 through 3/5/08.
- Sugarman, Jacqueline M., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.
- Sumandea, Marius P., College of Medicine, Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Susilastuti, Dewi H., College of Arts and Sciences, Assistant Professor (visiting), Sociology, 8/16/07 through 6/30/08.
- Swinney, Victor R., College of Pharmacy, Assistant Professor (voluntary), 7/1/06 through 6/30/11.
- Swope, Marian, College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/07 through 6/30/08.
- Talwalkar, Vishwas R., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.
- Tannock, Lisa R., College of Medicine, Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Taylor, Shawn M., College of Medicine, Assistant Professor (part-time), Pediatrics, 7/1/07 through 6/30/08.
- Temprano, James, College of Medicine, Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Temprano, Katherine K., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Thibault, Olivier H., College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/07 through 6/30/08.
- Thompson, Jan M., College of Medicine, Instructor (part-time), Microbiology, Immunology and Molecular Genetics, 7/1/07 through 6/30/08.
- Tiggelaar, John M., College of Pharmacy, Assistant Professor (part-time), 7/1/07 through 6/30/08.
- Tisdall, Ouida F., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/07 through 6/30/08.
- Tolbert, Thomas R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.
- Tucker, Hugh M., College of Medicine, Assistant Research Professor, Pediatrics, 7/1/07 through 6/30/08.
- Turner, M. Dawn, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.
- van Wyk, Charl S., College of Medicine, Assistant Professor (part-time), Diagnostic Radiology, 7/1/07 through 6/30/08.

*Special Title series

Vranicar, Mark G., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.

Wainscott, Barry L., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/06 through 6/30/11.

Walker, James R., College of Medicine, Assistant Research Professor, Psychiatry, and joint appointments as Assistant Research Professor, Behavioral Science and Assistant Research Professor, College of Social Work, 7/1/07 through 6/30/08.

Weber, Donna R., College of Medicine, Assistant Professor*, Molecular and Biomedical Pharmacology, 7/1/07 through 6/30/08.

Webster, John M., College of Medicine, Assistant Professor, Behavioral Science, 7/1/07 through 6/30/08.

Webster, Keith J., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/07 through 6/30/08.

Winstead, P. S., College of Pharmacy, Assistant Adjunct Professor, 7/1/07 through 6/30/08.

Wolens, Daniel, College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/06 through 6/30/11.

Woodrum, Kim, College of Arts and Sciences, Lecturer, Chemistry, 7/1/07 through 6/30/08.

Woodward, Thomas A., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/07 through 6/30/08.

Yang, Hsin-Sheng, College of Medicine, Assistant Professor, Graduate Center for Toxicology, 7/1/07 through 6/30/08.

Young, Michael H., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/07 through 6/30/08.

Youse, Kathleen, College of Health Sciences, Assistant Professor, Rehabilitation Sciences, 7/1/07 through 6/30/08.

Zhang, Megan Q., College of Medicine, Assistant Professor*, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.

Zhu, Haining, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, and joint appointment as Assistant Professor, Graduate Center for Toxicology, 7/1/07 through 6/30/08.

Ziada, Khaled M., College of Medicine, Assistant Professor*, Internal Medicine, 7/1/07 through 6/30/08.

E. PROMOTIONS WITHOUT TENURE

McCoun, Kenneth T., College of Medicine, from Clinical Instructor, Anesthesiology, to Clinical Assistant Professor, Anesthesiology, 11/1/06 through 6/30/07.

McGavran, Mary Beth D., College of Arts and Sciences, from Lecturer, Psychology, to Senior Lecturer, Psychology, 7/1/07 through 6/30/08.

*Special Title series

F. CHANGES

- Carter, Janis, College of Education, from Assistant Professor (visiting), Curriculum and Instruction, to Assistant Research Professor, Curriculum and Instruction, 11/1/06 through 6/30/07.
- Covert, Michael D., College of Education, from Instructor (part-time), Educational Policy Studies and Evaluation, to Adjunct Instructor, Educational Policy Studies and Evaluation, 1/1/07 through 6/30/07.
- Crabtree, Beth H., College of Arts and Sciences, from Instructor (part-time), Hispanic Studies, to Adjunct Instructor, Hispanic Studies, 1/1/07 through 5/15/07.
- Haney, Charlotte, College of Dentistry, from Assistant Professor (part-time), Oral Health Science, to Clinical Assistant Professor, Oral Health Science, 3/1/07 through 6/30/07.
- Lifshitz, Jonathan, College of Medicine, Assistant Professor, Anatomy and Neurobiology, from 1/1/07 through 6/30/07, to 1/8/07 through 6/30/07.
- Owen, Robert D., College of Medicine, from Assistant Professor*, Surgery, to Assistant Professor*, Neurosurgery, 7/1/07 through 6/30/08.
- Ravvin, Leon J., College of Medicine, from Assistant Professor (voluntary), Surgery, to Assistant Professor (voluntary), Neurosurgery, 7/1/07 through 6/30/11.
- Sampson, Shannon O., College of Education, from Instructor (part-time), Educational Policy Studies and Evaluation, to Instructor (visiting), Educational Policy Studies and Evaluation, 8/16/06 through 6/30/07.
- Swartz, Karin R., College of Medicine, from Assistant Professor*, Surgery, to Assistant Professor*, Neurosurgery, 7/1/07 through 6/30/08.

G. TERMINAL APPOINTMENTS

- Al-Ghananeem, Abeer, College of Pharmacy, Assistant Research Professor, 7/1/07 through 6/30/08.
- Ballard-Croft, Cherry, College of Medicine, Assistant Research Professor, Surgery, 7/1/07 through 6/30/08.
- Bunn, Terry L., College of Public Health, Assistant Research Professor, Preventive Medicine and Environmental Health, 7/1/07 through 6/30/08.
- Gu, Liya, College of Medicine, Assistant Professor, Graduate Center for Toxicology, and joint appointment as Assistant Professor, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.
- Hagerman, Ellen C., College of Health Sciences, Clinical Assistant Professor, Rehabilitation Sciences, 7/1/07 through 6/30/08.
- Havens, Jennifer R., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/07 through 6/30/08.
- Holmes, Stephen, College of Arts and Sciences, Assistant Professor, Chemistry, 7/1/07 through 6/30/08.
- King, Victoria L., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/07 through 6/30/08.

*Special Title series

Oz, Helieh S., College of Medicine, Assistant Research Professor, Internal Medicine, 3/1/07 through 6/30/07.
Paudel, Kalpana S., College of Pharmacy, Assistant Research Professor, 7/1/07 through 6/30/08.
Rolf, Cristin M., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/07 through 6/30/08.
Rosenthal, M. Sara, College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/07 through 6/30/08.
White, Carol R., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/07 through 6/30/08.
Williams, Bridget E., College of Health Sciences, Clinical Assistant Professor, Rehabilitation Sciences, 7/1/07 through 6/30/08.
Zheng, Guangrong, College of Pharmacy, Assistant Research Professor, 7/1/07 through 6/30/08.

H. LEAVES OF ABSENCE

Brown, Elinor, College of Education, Associate Professor (with tenure), Curriculum and Instruction, Sabbatical leave at full salary, 8/16/07 through 12/31/07.
Byrnes, Kathy Roesle, College of Agriculture, County Extension Agent, Cooperative Extension Service, Educational leave at full salary, 7/1/07 through 12/31/07.
Cappe, Yvonne, College of Communications and Information Studies, Associate Professor*, Journalism and Telecommunications, (with tenure) from Leave without pay, 1/1/06 through 6/30/06, to Leave without pay, 1/1/07 through 6/30/07.
Corso, Alberto, College of Arts and Sciences, Associate Professor (with tenure), Mathematics, Sabbatical leave at two-thirds salary, 7/1/07 through 6/30/08.
Mingst, Karen A., Graduate School, Professor (with tenure), Patterson School of Diplomacy and International Commerce, and joint appointment as Professor (without tenure), Political Science, College of Arts and Sciences, Sabbatical leave at full salary, 1/1/07 through 6/30/07.
Mooney, Patrick, College of Arts and Sciences, Professor (with tenure), Sociology, from Sabbatical leave at full salary, 7/1/07 through 12/31/07, to Sabbatical leave at full salary, 1/1/08 through 6/30/08.
O'Bryan, Judi Cissell, College of Agriculture, County Extension Agent, Educational leave at full salary, 7/1/07 through 12/31/07.

I. RESIGNATIONS

Brown, Randy A., College of Medicine, Associate Professor* (with tenure), Internal Medicine, effective 4/15/07.
Burch, Sharlee M., College of Dentistry, Assistant Research Professor, Oral Health Science, effective 6/30/07.
Carter, Todd, College of Pharmacy, Assistant Clinical Professor, Pharmacy Practice and Science, effective 3/15/07.
Cole, John S., College of Medicine, Clinical Instructor, Surgery, effective 6/30/07.

*Special Title series

Gascon, Diana Zenaida J., College of Medicine, Clinical Instructor, Family and Community Medicine, effective 6/30/07.

Hauser, Kurt F., College of Medicine, Professor (with tenure), Anatomy and Neurobiology, effective 6/30/07.

Ho, Dien, College of Arts and Sciences, Assistant Professor, Philosophy, effective 6/30/07.

Howell, Paul, College of Arts and Sciences, Associate Professor* (with tenure), Earth and Environmental Sciences, effective 6/30/07.

Jaynes, Christopher, College of Engineering, Associate Professor (with tenure), Computer Science, effective 12/31/06.

Knapp, Pamela E., College of Medicine, Professor (with tenure), Anatomy and Neurobiology, effective 7/10/07.

Nister, David, College of Engineering, Assistant Professor, Computer Science, effective 2/18/07.

Staton Tindall, Michele, College of Medicine, Assistant Professor, Behavioral Science, effective 4/9/07.

Stewenius, Henrik, College of Engineering, Assistant Research Professor, Computer Science, effective 4/11/07.

Troffkin, Neil A., College of Medicine, Assistant Professor*, Surgery, effective 5/18/07.

*Special Title series

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Sargent, Jean, University Hospital, Director of Materials Management, effective 2/5/07.

B. RETIREMENTS

Bell, Sarah Beth, Administrative Service Assistant, Emergency and Trauma Services, after 15 years of consecutive service, under AR II-1.6-1, Section III.B, effective 4/2/07.

Trent, Learlie, Custodial Worker I, Support Services – Contract, after 12 years and 11 months of consecutive service, and a total of 20 years and 1 month of service, under AR II-1.6-1, Section III.B, effective 1/31/07.

C. EARLY RETIREMENTS

Byrd, Quintina, Pharmacy Technician, Pharmacy, after 30 years and 5 months of consecutive service, under AR II-1-6-1, Section III.A.2, effective 2/14/07.

Campbell, Martha, Patient Services Coordinator, Command Center, after 20 years of consecutive service, under AR II-1-6-1, Section III.A.2, effective 2/17/07.

Conn, Elizabeth, Nurse Clinical, Acute Care and Dialysis, after 15 years and 8 months of consecutive service, under AR II-1-6-1, Section III.A.2, effective 2/24/07.

Manning, Donna, Nurse Clinical, Perioperative Services, after 15 years and 11 months of consecutive service, under AR II-1-6-1, Section III.A.2, effective 3/1/07.

D. POST-RETIREMENT APPOINTMENTS

Campbell, Martha, University Hospital, Central Nurse Staffing, Patient Services coordinator (on-call), 2/18/07 through 6/30/07.

Elmore, Elizabeth, University Hospital, Fiscal Planning and Analysis, Administrative Support Associate (on-call), May through July, 2007.

II. ADMINISTRATIVE ACTIONS

A. ADMINISTRATIVE APPOINTMENTS

Hjorth, Todd, University Hospital, Manager of Decision Support, Fiscal Planning and Analysis, effective 3/5/07.

AACR 1

Office of the President
June 12, 2007

Members, Board of Trustees:

CANDIDATES FOR DEGREES – UNIVERSITY SYSTEM

Recommendation: that the president be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled, upon certification by the university registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: The Kentucky Department of Education requires that the degree be posted to the transcript of education students in August in order for the students to be certified and eligible for jobs or promotions prior to the beginning of the school year. Each individual whose name appears on the attached list is scheduled to complete the work leading toward the degree for which application is being made at the close of the 2007 Summer Session.

For details on degree candidate listings, please contact

Jacquie Hager
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - May 2007

Graduate Degrees:

Ph.D.	210
D.M.A.	4
D.N.P.	5
Ed.D.	12
Ed.S.	10
M.A.	101
M.A.EDU.	54
M.ARCH.	9
M.B.A.	96
M.E.	10
M.F.A.	1
M.H.A.	21
M.H.P.	19
M.M.	12
M.P.A.	13
M.P.H.	10
M.P.P.	6
M.R.C.	38
M.S.	155
M.S.ACC	5
M.S.BE.	8
M.S.BAE.	7
M.S.C.D.	22
M.S.CE.	17
M.S.CHE.	2
M.S.CTL.	3
M.S.EDU.	28
M.S.E.E.	18
M.S.FAM	15
M.S.FOR.	9
M.S.IDM.	3
M.S.L.S.	51
M.S.MAE	7
M.S.MSE	1
M.S.ME	13
M.S.MIN.	3
M.S.N.	37
M.S.N.S.	4
M.S.PAS	1
M.S.W.	77
TOTAL	1,117

Undergraduate Degrees:

Agriculture	
BSAB	27
BSAEC	31
BSAECL	17
BSAG	1
BSAS	38
BSCCL	16
BSDIE	13
BSFAM	2
BSFCS	30
BSFOR	11
BSFS	3
BSHE	1
BSHM	26
BSHN	14
BSLA	13
BSMAT	22
BSNRC	8
BSPSS	15
TOTAL	288
Arts and Sciences	
BA	581
BGS	2
BS	189
TOTAL	772
Business and Economics	
BBA	332
BSA	85
BSBE	18
TOTAL	435
Comm. & Information Studies	
BA	169
BS	74
TOTAL	242

Education

BAEDU.	184
BSEDU	19
TOTAL	203
Engineering	
BSBAE	8
BSCHE	21
BSCE	48
BSCS	36
BSEE	37
BSMAE	10
BSMEE	81
BSMIE	6
TOTAL	247
Fine Arts	
BA	57
BFA	16
BM	15
BMME	16
TOTAL	103

Health Sciences

BHS	81
TOTAL	81

Nursing

BSN	41
TOTAL	41

Social Work

BASW	56
TOTAL	56

Graduate Degrees	1,117
Professional Degrees	365
Undergraduate Degrees	2,537

TOTAL 4,019

Professional Degrees:

Design	
BAARC	3
BARCH	40
BAID	24
TOTAL	67
D.M.D.	52
D.P.H.	1
J.D.	125
M.D.	93
PharmD.	94
TOTAL	365

AACR 2

Office of the President
June 12, 2007

Members, Board of Trustees:

CHANGE OF ORGANIZATIONAL STRUCTURE OF THE GRADUATE CENTER FOR NUTRITIONAL SCIENCES

Recommendation: that the Board of Trustees approve the transfer of administrative oversight for the Graduate Center for Nutritional Sciences from the Graduate School to the College of Medicine, effective July 1, 2007.

Background: The Graduate Center for Nutritional Sciences was officially established by the Board of Trustees in April 2000 as a multidisciplinary, degree-granting graduate center to augment the University of Kentucky's research and graduate education missions in the area of nutrition. While continuing to foster research and graduate training in all aspects of nutritional sciences, research within the center combats nutritionally relevant problems facing the constituents of the Commonwealth in the areas of obesity and the related diseases of diabetes and cardiovascular disease.

The Graduate Center for Nutritional Sciences seeks to preserve and expand its collaboration with units in the College of Medicine, including the Clinical Enterprise. The close alignment of research interests between core faculty in the Graduate Center for Nutritional Sciences and basic and clinical researchers in the College of Medicine is a primary rationale for locating the Graduate Center for Nutritional Sciences in the College of Medicine.

Part of the charge to the Futures Task Force was to make recommendations concerning the administrative reporting relationships for the graduate program centers reporting to the Dean of the Graduate School. In 2002 the task force responded to this charge and recommended assignment of the administrative responsibility of the Graduate Center for Nutritional Sciences to the College of Medicine.

The proposal has been reviewed and recommended for approval by the Senate Committee on Academic Organization and Structure, the Senate Council, and the University Senate. The provost of the university supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

KCTCS/UK Candidates Applying for Credentials October 20, 2006, through January 31, 2007
Bluegrass Community and Technical College (formerly Lexington Community College)

Associate in Arts – KCTCS/UK

Combs, Lisa C.	Humanities	Associate in Arts
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Associate in Applied Science – KCTCS/UK

Naji, Jamila Abdallah	Social and Behavioral Science	Early Childhood Education
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Grand Total
KCTCS/UK Candidates 2

AACR 3

Office of the President
June 12, 2007

Members, Board of Trustees:

ESTABLISHMENT OF THE
INSTITUTE OF RESEARCH FOR TECHNOLOGY DEVELOPMENT

Recommendation: that the Board of Trustees approve the establishment of the Institute of Research for Technology Development in the College of Engineering.

Background: The Institute of Research for Technology Development will address industry problems by conducting multidisciplinary research, drawing on a wide array of technical and scientific fields. The institute will directly and effectively respond to ever-increasing requests from industry for immediate and long-term solutions to process problems and challenges. It is an outgrowth of more than a decade of industrial support for Dr. Kozo Saito and his research team in the area of coatings technology through the Painting Technology Consortium. The new institute will facilitate expanded opportunities for faculty, research and technical support staff, and students beyond the fields of vehicle and coating equipment manufactures, which have historically provided the bulk of the support.

The proposal has been reviewed and recommended for approval by the Senate Committee on Academic Organization and Structure, the Senate Council, and the University Senate. The provost of the university supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

UNIVERSITY SENATE RULES

5.4.2.4 Conditions of Merit for Honorary Degrees

A. Principles

In awarding Honorary Degrees, the University accomplishes several purposes: It pays tribute to those whose life and work exemplify professional, intellectual, or artistic achievement. It recognizes and appreciates those who have made significant contributions to society, the state, and the University. It highlights the diverse ways in which such contributions can be made. And it sends a message that principles, values, and contributions are important. Well-chosen honorees affirm and dignify the University's own achievements and priorities.

Honorary degrees may be conferred upon those who have achieved distinction through outstanding intellectual or creative achievements, or through outstanding leadership in education, business, public service or other appropriate sectors of society.

B. Conditions

1. The honorary degree shall be awarded to recognize only exceptional accomplishments as outlined in the above principles. The nominee's special achievement or contribution to society shall be the fundamental consideration and shall be evaluated without regard to the nominee's attainment of influential position or financial status.
2. The nominee shall have gained distinction worthy of recognition extending well beyond his/her own field of endeavor and geographical area of activity.
3. Although it is recognized that it is desirable for the nominee to have a tie to the University of Kentucky or the Commonwealth of Kentucky, such a connection shall not be a requirement.
4. As long as the nominee clearly meets the criteria, his/her selection shall not be affected by the number of previous similar honors received.
5. Former faculty and staff of the University of Kentucky shall meet the same criteria as other nominees; current faculty and staff are not eligible.
6. Elected or appointed officials of the Commonwealth of Kentucky shall not be eligible as honorary degree candidates during their terms of office.

AACR 4

Office of the President
June 12, 2007

Members, Board of Trustees:

ACADEMIC DEGREE RECOMMENDATION

Recommendation: that the Board of Trustees approve the establishment of a Bachelor of Arts degree with a major in International Studies (CIP Code 30.2001, ATTR = (IN)ternational (ST)udies (INST) in the College of Arts and Sciences, effective in the fall 2007 semester.

Background: The proposed new degree is designed to imbue students with the knowledge and the ability to interact in a variety of international economic, political, and social settings so that they are prepared for careers in globally oriented institutions (whether in business, government, international development agencies, non-governmental organizations, philanthropic agencies, or the arts). Majors also will be prepared academically to continue their education in graduate or professional programs oriented toward international topics (including programs in the humanities, social sciences, and arts as well as law, business, and communications). In short, International Studies majors will learn to think critically and act appropriately in diverse environments.

Preparing a generation of Kentucky citizens and workers for the new global environment is particularly important to the Commonwealth and the nation. The proposed new program will allow the University of Kentucky to bring together its international curricular strengths into a coherent and well-developed program of study.

This program has the approval of the appropriate Councils and University Senate and has completed the 45-day review by the Council on Postsecondary Education. The provost of the university supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 5

Office of the President
June 12, 2007

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATION: AUTOMATIC EXTENSION OF PROBATIONARY PERIODS

Recommendation: that the Board of Trustees receive the attached revision of Governing Regulation X (GR X) relating to employment for the first reading. The revision implements an automatic one-year extension of the probationary period for regular, special, extension, and librarian title series faculty who become the parent of a child or children by birth, adoption, or guardianship, or who assume significant responsibilities for the care of a relative or domestic partner.

Background: In fall 2005 the Sloan Foundation published a report on family-friendly policies in higher education. The report found that 86 percent of Research I institutions offer a formal policy extending the probationary period upon the birth or adoption of a child. During summer 2005 Princeton University announced that it was implementing a new policy which would automatically extend the probationary period for faculty upon the birth or adoption of a child. Princeton's prior policy had functioned as most policies do: on a request-only basis. An institutional study revealed that most faculty members do not take advantage of the policy, fearing that the extension of time will be held against them during their tenure and promotion review. Other internal studies performed by Research I institutions (University of California System, University of Michigan) revealed similar findings. Thus, Princeton concluded that to make the policy as effective and universal as possible it must be granted automatically. Furthermore, the American Association of University Professors (AAUP) recommends that the extension policy should be in the form of a clear entitlement under institutional policies, rather than in the form of an individually negotiated agreement or informal practice.

Presently, the University of Kentucky does not offer a policy to automatically extend the probationary period upon the birth or adoption of a child, yet both the university's Top 20 Business Plan and the Strategic Plan state that the university must recruit and retain the most talented faculty. Providing competitive benefits to faculty is a key to accomplishing the university's goals. Implementing a policy to automatically extend the probationary period, for not only the birth or adoption of a child, but also for assuming significant care responsibilities for a relative or domestic partner, will provide the university with a competitive advantage among Research I institutions. The implementation costs are relatively low, and the potential benefits are significant: more competitive recruiting, higher faculty morale, and stronger tenure/promotion dossiers.

The attached revision adds a new subsection, GR X.B.1(c), entitled “Automatic Extension of Probationary Periods,” and revises subsection GR X.B.1(b) to include an automatic extension of the probationary period as one of the factors that permit a faculty member's probationary period to exceed seven (7) years.

The addition of an automatic extension of the probationary period has been endorsed by the University Senate, faculty, and the deans of the colleges. The revision is intended to become effective for the academic year 2007-2008.

A revised copy of GR X is attached. Proposed additions are underlined; proposed deletions are lined through.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PART X

REGULATIONS AFFECTING EMPLOYMENT

A. General

1. Merit as the Basis for Appointment

All appointments shall be made strictly on the basis of merit. An applicant for a position shall not be discriminated against because of sex, race, ethnic origin, national origin, sexual orientation, color, creed, religion, age, or political belief. Additionally, the University shall not discriminate against any applicant for employment because of Vietnam-era veteran status, disabled veteran status, or physical or mental disability in regard to any position for which the applicant for employment is qualified.

Members of the Board of Trustees, except those elected to the Board of Trustees as faculty, staff, or student representatives, and relatives of any member of the Board of Trustees are ineligible for employment at the University. "Relatives", as used above, include parents and children, husbands and wives, brothers and sisters, brothers- and sisters-in-law, mothers- and fathers-in-law, uncles, aunts, nieces and nephews, sons- and daughters-in-law, and step-relatives in the same relationships. Employment includes regular and temporary full- and part-time employment, including student work-study and graduate assistant programs.

No relative of the President shall be employed in a position at the University. Similarly, no relative of the Provost, or any associate provost, executive vice president, vice president, or associate vice president shall be employed in a position in that officer's administrative area. Waiver of the above regulation may be permitted by the Board of Trustees. All changes in position and/or rank related to this waiver shall be approved by the Board of Trustees. No relative of any administrative officer of the University, or of any member of the University Faculty, may be appointed to any position in the University over which the related officer or staff employee exercises supervisory or line authority. Employment of relatives within the same department or division shall be approved specifically by the Provost or appropriate executive vice president or vice president. "Relatives," as used above, include parents and children, husbands and wives, brothers and sisters, brothers- and sisters-in-law, mothers- and fathers-in-law, uncles, aunts, nieces and nephews, sons- and daughters-in-law, and step-relatives in the same relationships. The employment of relatives of University employees, as described in this paragraph, whose relationship was not a violation of this section of these *Governing Regulations* prior to its amendment on April 10, 1984, does not constitute a violation of the amended policy provided the relatives are regular employees of the University and remain in the University employment positions which they held on April 10, 1984. However, the transfer of any such employees described in this paragraph (whose employment relationship was not a violation of

policy prior to its amendment on April 10, 1984) to any other regular University position shall require approval of the President.

2. Intellectual Property

As a general policy, all intellectual property conceived, first reduced to practice, written, or otherwise produced by faculty members, staff employees, or students of the University using University funds, facilities, or other resources shall be owned and controlled by the University. Any faculty member, staff employee, or student who produces such intellectual property using University funds, facilities, or other resources shall assign personal rights to the property to the University, or its designate, but shall have an interest in and a right to share in any financial returns from the commercialization of the property. Notwithstanding, it is a specific policy of the University that the traditional products of scholarly activity, such as journal articles, textbooks, reviews, monographs, works of art, sculpture, music, and course materials, shall be the unrestricted property of the author. The University shall exert no ownership claim of such works. A Standing Committee on Intellectual Property appointed by the President shall administer the policy and oversee implementation of the procedures, both as detailed in the *Administrative Regulation* on intellectual property (AR II-1.1-3).

3. Representation and Attendance at Meetings

In order that the University may be properly represented at the various gatherings or functions to which it is invited from time to time to participate, the President selects faculty members or staff employees to represent the institution.

In addition, employees are urged to become members and to participate in meetings of international, national, regional, and state and local organizations and associations related to their University employment.

Funds may be available to defray the expenses in whole or in part of those who are authorized to attend meetings by the President or other appropriate administrative officers.

4. Retirement

The University of Kentucky Retirement Plan represents a consolidation of the original Retirement Resolution (April 7, 1964) and its numerous subsequent amendments into a unified statement of University policy and guidelines on retirement of employees in Groups I, II, III, IV, and V. The University of Kentucky Retirement Plan, which has been adopted by the Board of Trustees and may be amended only by that body, is presented in its entirety in the *Administrative Regulations* (AR II-1.6-1).

5. Social Security

All employees except those in Civil Service are covered by the Old-Age and Survivors Insurance of the National Social Security Program and are thus eligible for benefits in accordance with the

governing legislation. Each eligible employee of the University must, if the employee has not previously done so, secure a Social Security card and supply to the Office of the Treasurer a completed Treasury Form W-4 with the employee's social security number and other information necessary for withholding appropriate contributions.

6. Group Insurance

Regular full-time faculty members and staff employees who are employed by the University are insured under the Basic Life Insurance Program. This insurance may be increased optionally to a total of one (1), two (2), or three (3) times the employee's basic annual salary, up to the maximum amount contained in the University's contract with the insurer. The premium for the basic insurance is paid by the University, whereas that for the optional increase in insurance is paid by the employee.

In addition, all regular full-time faculty members and staff employees are encouraged to participate in the University's basic health and other insurance programs that also may include coverage for dependents.

7. Workers' Compensation

A University employee sustaining an on-the-job injury to developing a job-related illness is covered by provisions of the Kentucky Workers' Compensation Act.

8. Employee Disability Program

Regular full-time faculty members and staff employees, other than those covered by Civil Service or the Federal Employee Retirement System, are covered by the Long Term Disability Program. Coverage commences after the completion of one (1) year of employment unless the eligible employee, at the time of hire, satisfies the exception condition as outlined in the plan document in which case there is immediate coverage. This program provides income protection through a Long Term Disability Plan. Supplemental Long Term Disability coverage may be offered at the employee's expense.

B. Employment of Faculty

1. Appointment of Faculty

(a) Types of Faculty Appointments

Faculty appointments are with educational units and shall be of three basic types: (1) non-tenured appointments; (2) tenured appointments; and (3) post-retirement appointments.

(i) There are two types of non-tenured appointments.

a. One type of non-tenured appointment is that in which a faculty member is

ineligible for tenure as a consequence of having been appointed (1) in the lecturer, research, clinical, adjunct, visiting, or voluntary title series or (2) on a part-time or temporary basis in a title series. An appointment on a temporary basis involves an individual who has assigned duties and responsibilities that shall be completed within one (1) year or less.

b. A second type of non-tenured appointment is that in which a faculty member is appointed on a full-time, year-to-year basis in the regular, special, extension, or librarian title series. In such an appointment, the faculty member does not have tenure but may be considered for tenure if recommended for such by the appropriate University administrators.

(ii) A tenured appointment is one in which a faculty member is on full-time continuous appointment.

The term "joint appointment" shall apply in any case in which an individual holds two (2) or more intra-University faculty appointments. In such an instance, one of the appointments shall be designated as the primary appointment by the dean of the college if the individual's appointments are associated with educational units in the same college or by the Provost if the appointments are with educational units in different colleges. The constituent appointments relating to a joint appointment may be processed simultaneously or at different times; however, each appointment, reappointment or promotion, shall be processed independently and considered on its own merits. An individual's academic rank, though usually the same, may differ in the constituent appointments. The possibility of tenure applies only to the primary appointment. In case of termination of the non-primary appointment(s) leaving only the primary appointment for a full-time faculty member, the individual's assignment associated with the primary appointment shall become full-time. When an individual under consideration for appointment to an administrative position is also to be considered for a faculty appointment, recommendations of committees on the two respective appoints shall be developed independently on their respective merits, in accordance with the respective established appointment procedures.

(iii) Post-retirement appointments are of two (2) types.

a. One type is that in which retired faculty members may be appointed to perform duties for which fee schedules have been established and approved by the Provost.

b. A second type of post-retirement appointment may be used in a very limited number of cases, when it is essential to a University program or office or when it is otherwise impossible practicably to fill a position with a fully qualified person. Such a part-time or full-time appointment is restricted to former employees who have elected to retire, requires specific prior approval by the Board of Trustees, and is made for a period not to exceed one (1) year.

More detailed information on the various types of appointments is provided in the *Administrative Regulations* (AR II-1.0-1, AR II-5.0-2, and AR II-1.6-1).

(b) Probationary Periods

Probationary periods are applicable to non-tenured appointments of faculty members on a full-time year-to-year basis in the regular, special title, extension, or librarian title series. Such non-tenured appointments may be for one (1) year or for other stated periods, subject to renewal. The total non-tenure period, however, shall not exceed seven (7) years unless one of the following provisions applies: AR II-1.1-12 (Family Medical Leave); ~~GR X.C.7.~~ GR X.B.3(b) (Leave for Duration of an Election or Term of Office); ~~GR X.C.5.d.~~ GR X.B.2(d)(v) (Educational Leave); GR X.B.1(c) (Automatic Delay of Probationary Periods); or for other leaves of absence where the University, in granting the leave, and the individual, in accepting it, agree that time spent on the leave of absence shall not count as probationary period service. ~~Except as provided in Part X.C.5.d. and X.C.7., time spent on leave of absence shall count as probationary period service unless the University in granting the leave and the individual in accepting it agree to the contrary.~~ An individual initially appointed to the rank of full professor may be given non-tenure status for a period not to exceed one (1) year. An individual shall not remain at the rank of instructor in the University for more than three (3) years. If after that period, promotion to a higher rank cannot be justified, the individual's appointment with the University shall not be renewed.

Following appropriate probationary periods not exceeding in duration those described above, all persons of associate professor (or librarian II) or higher rank shall be given tenure or their appointments shall not be renewed; all persons of assistant professor rank (or librarian III) shall be promoted to associate professor (or librarian II) with tenure or their appointments shall not be renewed. Established appointment, promotion, and review procedures shall be followed in making these decisions.

Probationary periods (or maximum non-tenure periods) are not applicable in cases where faculty members are appointed (1) in the lecturer, research, clinical, adjunct, visiting, or voluntary series of academic ranks and titles, or (2) on a part-time or temporary basis. In all such appointments, faculty members are ineligible for tenure.

(c) Automatic Delay of Probationary Periods

A probationary regular, special, extension, or librarian title series faculty member who becomes the parent of a child or children by birth, adoption, or guardianship, or who assumes significant responsibilities for the care of a relative (see GR X.A.1) or domestic partner shall automatically be granted a one-year delay of the probationary period by the dean of the respective college. The event or circumstances providing the basis for a delay related to significant care responsibilities must be clearly beyond those experienced by most probationary faculty. This provision is available to all probationary non-tenured faculty upon their official start date and up until the anniversary of their official start date in the year in which their tenure review is scheduled to occur.

A faculty member who qualifies for an automatic delay of the probationary period, shall submit to his or her department chair a signed and completed Faculty Tenure Delay Form (TDF) and supporting documentation, if required. A faculty member may waive the automatic delay only by completing the waiver section of the TDF. Upon receipt of the TDF, the chair shall prepare and submit to the dean and the Provost a revised Notice of Academic Appointment reflecting the change

in probationary dates, along with the completed TDF and supporting documentation. Notifications of all delays shall be made by the faculty member to his or her department chair as soon as possible after the qualifying event or circumstances (i.e., birth, adoption, guardianship, or assumption of significant care responsibilities), but in no case later than six (6) months from the time of the qualifying event or circumstances or no later than the last day of the fifth year of the probationary period; whichever comes first. The chair, dean, or Provost may require the faculty member to provide documentation supporting the qualifying event or circumstances.

The automatic delay shall not be granted more than two (2) times within the probationary period of a faculty member. The faculty member shall be reviewed for tenure under the same academic standards as a candidate who has not extended the probationary period and shall not be penalized or adversely affected by the delay of the probationary period. A delay of the probationary period in no way limits the right of the University to terminate a probationary appointment prior to the time of the mandatory review for probation and tenure, should circumstances warrant such action.

(de) Appointment Record

The precise terms and conditions, excepting salary, covering each appointment shall be stated in writing on an official appointment record. Notice of reappointment shall be in the possession of the appropriate administrative officers and the appointee at least three (3) months before the renewed appointment begins, when possible, or at the earliest date feasible in view of budget considerations. It shall be the responsibility of the Provost to ensure compliance with this regulation.

(ed) Notification of Non-Renewal of Appointment: Non-Tenured Appointments

Part-time, visiting, or temporary faculty appointments have explicit one (1) year or less terminal dates that terminate at the expiration of the term without notice.

For those employed year-to-year on a fiscal or academic year basis, notification of non-renewal of appointment at the end of the first year of service shall be given not later than March 1 if the appointment expires at the end of that year or three (3) months in advance if the one-year appointment terminates during the academic year. Notification of non-renewal of appointment at the end of the second year of service shall be given no later than December 15 if the appointment expires at the end of the year or six (6) months in advance if the appointment expires during the year. Notification of non-renewal of appointment after more than two (2) years of service shall be given at least twelve (12) months before expiration of appointment. Non-renewal decisions concerning regular, full-time faculty members shall be reported to the Board of Trustees.

Any related appeal(s) to the Provost concerning procedural matters or privilege and/or to the University Senate Advisory Committee on Privilege and Tenure concerning procedural matters, privilege, or allegations of violation of academic freedom must be initiated in writing by the concerned faculty member within sixty (60) days after being notified in writing by the dean about non-renewal of appointment.

(fe) Termination of Appointment

(i) Reasons for Termination

Except in cases of financial emergency, the termination of a tenured appointment or the dismissal of a person prior to the expiration of a non-tenured appointment shall be, in accordance with KRS 164.230, only for reasons of incompetence, neglect of or refusal to perform duties, or for immoral conduct.

In a case of termination because of a financial emergency, the faculty member may have the issues reviewed by the University Senate Advisory Committee on Privilege and Tenure with the right of appeal to the President and the Board of Trustees for the University faculty member. The faculty member shall be given notice as soon as possible and never less than twelve (12) months notice. The released faculty member's position shall not be filled by a replacement within a period of two (2) years unless the released faculty member has been offered reappointment and given a reasonable time within which to accept or decline it.

(ii) Procedure

Dismissal of a faculty member with tenure or of a non-tenured faculty member before the end of a specified term of appointment shall be preceded by discussions between the faculty member and the appropriate administrative officer or officers looking toward a mutual settlement. In the event of failure to agree upon settlement, the Provost shall be responsible for the preparation of a reasonably detailed statement of charges which shall be furnished to the faculty member and the University Senate Advisory Committee on Privilege and Tenure. The committee shall make an informal investigation for the purpose of attempting to affect a resolution and, in the case of failure, shall recommend to the President whether, in its opinion, dismissal proceedings should be undertaken. Its opinion shall not be binding upon the President.

If the President initiates dismissal proceedings, the concerned individual shall have the right to be heard initially by the University Senate Hearing Panel (Privilege and Tenure).

The faculty member shall be informed in writing by the President regarding specific charges at least twenty (20) days prior to the hearing. At least seven (7) days prior to the hearing, the faculty member shall answer the charges in writing. The faculty member may waive the hearing. If the faculty member waives the hearing but denies the charges or asserts that the charges do not support a finding of adequate cause, the Hearing Panel shall evaluate all available evidence and base its recommendation upon the evidence in the record.

The Hearing Panel, in consultation with the President and the faculty member, shall exercise its judgment as to whether the hearing shall be public or private. During the proceedings the faculty member shall be permitted to have an academic advisor and a counsel of personal choice. At the request of either party or the Hearing Panel, a representative of a responsible educational association shall be permitted to attend the proceedings as an observer. A full stenographic record of the hearing or hearings shall be taken and made available to the parties concerned. The

burden of proof that adequate cause for dismissal shall rest with the institution and shall be satisfied only by clear and convincing evidence in the record considered as a whole. If the faculty member's competence is in question, the testimony should include that of qualified faculty members from this and/or other institutions of higher education.

Upon the conclusion of the hearing, the Hearing Panel shall report to the President that adequate cause for dismissal has or has not been established by the evidence in the record. It may, in addition, recommend that, although adequate cause for dismissal has been established, an academic penalty less than dismissal would be more appropriate, giving supporting reasons for the recommendation. If the President accepts a report with a decision adverse to the faculty member, the President shall provide an opportunity for response by the faculty member before transmitting the case to the Board of Trustees and provide reasons in writing to the Hearing Panel and to the faculty member.

A decision adverse to the faculty member may be made only after an opportunity has been given for an additional hearing before the Board of Trustees as required by KRS 164.230. The Board of Trustees shall either sustain the recommendations of the Hearing Panel and the President or return the proceedings to the President and the committee with specific objections. The Hearing Panel then shall reconsider, taking into account the stated objections and receiving new evidence if necessary. The Board of Trustees shall make the final decision after a study of the Hearing Panel's reconsideration.

(iii) Suspension

Until the final decision on termination of an appointment has been reached, the faculty member shall be suspended, or assigned to other duties in lieu of suspension, only if immediate harm to the faculty member or others is threatened by the faculty member's continuance. Before suspending a faculty member, pending an ultimate determination of the individual's status through the hearing procedure, the President shall consult with the University Senate Advisory Committee on Privilege and Tenure. Salary shall be continued during the period of suspension.

(gf) Academic Freedom of Non-Tenured Faculty

If faculty members on non-tenured appointments or faculty members on post-retirement appointments allege that decisions not to reappoint them were caused by considerations violating academic freedom, or that they were given less advance notice than required in these *Governing Regulations*, they must present their allegations in writing to the University Senate Advisory Committee on Privilege and Tenure within sixty (60) days after being notified in writing by their respective deans of the decisions not to reappoint. Allegations so presented shall be given preliminary consideration by the University Senate Advisory Committee on Privilege and Tenure, which shall attempt to settle the matter by informal methods. The allegations shall be accompanied by statements that aggrieved faculty members agree to the presentation, for the consideration of the committee, of such reasons and evidence as the University may allege in support of its decisions. If the difficulty is unresolved at this stage and if the committee so

recommends, the procedures set forth in *GR X.B.e.ii* shall be applied, except that the faculty members making the complaints are responsible for stating the grounds upon which they base their allegations and the burden of proof shall rest upon them. If *prima facie* cases are established via these procedures in favor of the faculty members, it becomes incumbent upon the persons who made the decisions not to reappoint, or who provided insufficient notice, to present evidence in support of those decisions.

(hg) Resignation

Notice of resignation should be given early enough to reduce serious inconvenience to the University. If faculty members desire to terminate existing appointments or to decline renewals in the absence of notices of non-renewal, they shall give written notice of no less than three (3) months if their rank is instructor or assistant professor, and no less than four (4) months if their rank is higher, before the end of their duties during the academic year (exclusive of a summer session) or thirty (30) days after receiving notification of the terms of their appointments for the coming year, whichever date occurs later. However, they may request in writing a waiver of this requirement in cases of hardship or in situations where they would otherwise be denied substantial professional advancement. Resignations of regular, full-time faculty members shall be reported to the Board of Trustees.

(ih) Administrative Personnel

Administrative personnel who hold academic rank are subject to the foregoing regulations in their capacity as faculty members. Those administrative personnel (holding academic rank) who allege that considerations violative of academic freedom significantly contributed to decisions to terminate their appointments to administrative posts or not to reappoint them shall be entitled to the same procedures as those for non-tenured faculty who have alleged violation of academic freedom.

(ji) Change of Assignment

When it is in the best interests of the institution, and if the professional status of a faculty member is not seriously jeopardized thereby, a change in the duties assigned to an individual may be made without such a change of assignment being regarded as a violation of the individual's tenure rights.

2. Conditions of Employment

(a) Terms of Assignment

Academic assignments are made on academic year (nine-month), ten-month, eleven-month, and twelve-month bases.

(b) Vacation Leave

All full-time faculty members on a ten-month, eleven-month, or twelve-month assignment basis shall be entitled to twenty-two (22) working days of vacation leave with pay per assignment period. With prior administrative approval, faculty members may take vacation leave at appropriate times during the period in which they are eligible to take such leave; however, each faculty member shall be in actual attendance at least until after Commencement and until all reports have been made, and at least three (3) days prior to the first day of registration for the fall semester, unless for special reason leave is approved. Vacation leave normally cannot be accumulated from one assignment period to another, except that a faculty member on a twelve-month assignment basis may take vacation leave either during the assignment period in which the vacation leave is earned or during the subsequent assignment period. Under unusual circumstances, provided it is in the best interest of the University and has advance approval by the Provost, a faculty member on a ten-month or an eleven-month assignment basis also may take unused vacation leave during the assignment period following that in which the vacation leave was earned. Pay for unused vacation leave shall be limited to a maximum of twenty-two (22) days at the end of employment.

(c) Professional Practices

(i) General Policies

During their annual assignment period (whether academic year, ten months, eleven months, or twelve months) faculty members are expected to serve the University full-time. It is expected that they will be interested primarily in the work of their particular educational unit, giving their chief effort to the promotion of its needs.

A faculty member shall, under certain conditions, be permitted to engage, as an expert, in professional employment (consulting or professional practice) outside the University for pay. In general, any outside employment demanding more than purely spare-time effort must be substantially justified in terms of the contribution its performance can bring to the faculty member's pursuit of instruction, research, and service. Conditions under which such employment may be undertaken are as follows:

a. Such employment shall not interfere with the fundamental responsibility of the faculty member to meet regularly assigned duties and inherent obligations to instruction, research, and service, including being regularly available to students and colleagues, which are normally expected of a full-time faculty member and for which the faculty member receives compensation from the University.

b. Such employment requires prior approval in writing by the appropriate University official.

c. All proposals to undertake outside employment shall be made in writing to the chairs whose recommendations shall be forwarded to the respective deans and thence, unless specified otherwise in the *Administrative Regulations* (AR II-1.1-1), to the Provost for final

action. Such proposals shall indicate the nature of the work to be performed, the estimated amount of time involved, the duration of the employment, and the scale of compensation. These proposals shall become a matter of record by the Provost who shall transmit quarterly to the President full information about those that have received approval and those that have been denied. The President, in turn, shall report periodically to the Board of Trustees.

Whenever a proposal by a faculty member is disapproved by an official of the University, it is the obligation of that official to provide the faculty member, upon request, with an oral statement of the reasons for the decision.

Requests shall be specific. Approvals shall not be granted for blanket authorizations to consult or to engage in professional practice.

d. Such employment shall be governed by time limitations stated in the *Administrative Regulations* (AR II-1.1-1) and the provisions of Ethical Principles and Code of Conduct (GR I-D).

e. Such employment must avoid creating a conflict of interest. Each faculty member shall be expected to exercise sound judgment in requesting approval of outside employment, keeping in mind with utmost care the matter of conflict of interest.

While it is not possible to anticipate every factual situation which might give rise to a conflict of interest, such a conflict of interest does arise within the meaning of this regulation when a faculty member represents the legal interests of another party against the University or when a faculty member engages in litigation on behalf of another party against the University. Interpretations as to conflicts of interest in particular factual situations are to be made by the President with the proviso that an adverse decision can be appealed to the Executive Committee of the Board of Trustees.

(ii) Service Plans (AR II-7)

Documents detailing the administration Services Plans approved by the Board of Trustees appear as a part of the *Administrative Regulations* II-7.0.

(d) Leaves of Absence

(i) Sabbatical Leave

The purpose of sabbatical leave is to provide opportunities for study, research, creative effort, improvement of instructional or public service capabilities and methods, and related travel in order that the quality of each recipient's service to the University may be enhanced.

Faculty members who have full-time non-tenured or tenured appointments in the regular, special title, extension, or librarian series with the rank of assistant professor (or librarian III) or higher, are eligible for sabbatical leaves after six (6) years of continuous service in the rank of instructor

(or librarian III) or higher at the University, or for sabbatical leaves under a different option after three (3) years of continuous service. All such sabbatical leaves shall be approved by the President or the President's designee.

After six (6) years of continuous eligible service, a faculty member may apply for one (1) year's sabbatical leave (academic year for appointees on academic year, ten-month, or eleven-month assignments) at one-half salary or six (6) months' sabbatical leave (academic semester for appointees on academic year, ten-month, or eleven-month assignments) at full salary. After three (3) years of continuous eligible service, an appointee may apply for six (6) months' sabbatical leave (academic semester for appointees on academic year, ten-month, or eleven-month assignments) at one-half salary. Normally "continuous service" is interrupted by a sabbatical leave; that is, no service prior to a sabbatical leave may be credited toward eligibility for future sabbatical leave. However, in the event that it becomes necessary for an individual to postpone a sabbatical leave at the request of and/or for the benefit of the University or one of its educational units, the period of postponement shall be counted as part of the six (6) years of service necessary for the individual to again become eligible for sabbatical leave. The request for and/or agreement that the sabbatical leave be postponed shall be made in writing by the dean, and be approved by the Provost. The request or agreement shall specify the period of postponement and the reason for it. In no case shall cumulative sabbatical leave be granted for a single period longer than one (1) full year at full salary.

Sabbatical leave shall not be used as a means of augmenting personal income. A recipient may not accept gainful employment during a sabbatical leave (for an individual on an academic year assignment basis, this does not include the period of May 16 through August 15; for an individual on a ten-month or eleven-month assignment basis, this does not include the period of vacation and the one (1) or two (2) months outside the assignment period each fiscal year; for an individual on a twelve-month assignment basis, this does not include the one-month vacation period) except as follows:

A fellowship, grant-in-aid, or government-sponsored exchange lectureship may be accepted for the period of the leave if acceptance shall promote the purpose of the leave and is approved by the President or the President's designee.

For those on one-year sabbatical leave, additional salary not to exceed one-half of the regular academic salary may be accepted for (1) work performed during the sabbatical leave on research projects administered by the University with funds from government or private grants or contracts when the terms of the grant or contract authorize the usage of such funds, and when the work to be performed is significantly related to the studies planned for the leave; (2) work on a research grant at another university; (3) research work in a government laboratory; or (4) work as a research professor or associate in another university. Any such arrangements pursuant to this paragraph must be approved by the President or the President's designee.

In neither of the above instances shall a recipient's total income attributable to a sabbatical leave project exceed the individual's regular academic salary. Sabbatical leave shall be granted with

the understanding that the recipient, following the sabbatical leave, will continue service at the University for at least one (1) academic year.

(ii) Temporary Disability Leave

Temporary Disability Leave (TDL) may be granted to faculty members by the Provost. Eligible faculty members who are totally disabled are entitled to six (6) months' TDL with pay. TDL with pay for more than six (6) months requires approval by the Board of Trustees.

(iii) Leaves With Pay

Upon the recommendation of the appropriate administrative officers and approval of the Provost, a faculty member may be granted leave of absence with pay for a period not to exceed thirty (30) days for the purpose of permitting an appointee to attend a professional meeting, serve temporarily with an outside agency, serve in the military forces of the United States, or for other good cause. Such leaves of absence with pay for more than thirty (30) days require approval of the Board of Trustees.

(iv) Leaves Without Pay

Upon the recommendation of the appropriate administrative officers and approval of the Provost, a member of the faculty may be given a leave of absence without pay. Ordinarily such leave shall not be granted for a period in excess of one year (12 months). However, such leave may be extended on application and approval by the appropriate administrative officers. The best interests of the University shall be a major consideration in granting an extension of any such leave. Leaves of absence without pay are not normally credited toward eligibility for sabbatical leave. However, exception may be made when the leave enhances the value of the individual to the University, e.g., a leave to accept a fellowship or a grant, service for professional organizations, etc. In no case shall the leave of absence without pay be considered as an interruption of continuous service.

(v) Educational Leave

To pursue an advanced degree at the University of Kentucky in accordance with these *Governing Regulations*, Part X.C.6, faculty members may request half-time or more leave, with proportional reduction in salary. If such educational leave for faculty development is recommended by the dean and approved by the Provost, the faculty members shall be entitled to normal faculty benefits and privileges during the period of temporary change in status. Although a period of educational leave shall not be credited toward eligibility for sabbatical leave, it shall not be considered as an interruption of continuous service. Also, for non-tenured faculty members, such a period of educational leave shall not be counted as probationary period service.

(vi) Scholarly Fellowship Leave

To accept a scholarly fellowship which allows a faculty member to pursue a program of

research, and which the funding agency will not process through the University, faculty members may request leave with partial pay. If such leave is recommended by the dean and approved by the Provost, the faculty member shall be entitled to normal faculty benefits and privileges during the period of temporary change in status. Time spent on scholarly fellowship leave shall apply toward eligibility for sabbatical leave and count as probationary period service unless the University in granting the leave and the individual accepting it agree to the contrary.

3. Miscellaneous

(a) Faculty Members as Candidates for Degrees

Faculty members having a rank higher than that of instructor may not be considered as candidates for degrees in the discipline in which they are employed and hold academic rank. Faculty members pursuing degrees above the master's degree at the University of Kentucky may not hold more than a half-time work assignment either during the two (2) full-time, consecutive resident semesters preceding the qualifying examination or during the two semesters of full-time dissertation study immediately following the qualifying examination.

(b) Faculty Members as Scholars and Citizens

It is the policy of the University to maintain and encourage, within the law, full freedom of inquiry, discourse, instruction, research, service, and publication and to protect members of the faculty against influences, from inside or outside the University, which would restrict them in the exercise of these freedoms in their areas of scholarly interest. Faculty members shall be permitted and encouraged to investigate any theory, challenge any premise, engage in political and social debate, and to express their dissent, without jeopardy to their academic careers, provided their behavior is not in violation of the law and does not interfere with the normal operation of the educational programs of the University.

Like other citizens, faculty members are free to engage in political activities so far as they are able to do so within the law consistent with their obligations as teachers and scholars. When necessary, leaves of absence without pay may be given for the duration of an election campaign or a term of office, provided that there has been timely application for leave and that the requested leave is limited to a reasonable period of time. The terms of a leave of absence for this purpose shall be set forth in writing. The leave shall not affect unfavorably the tenure status of a faculty member, except that the time spent on such leave from academic duties shall not count as probationary service unless agreed to otherwise.

Elective and appointive part-time services on boards and commissions to which faculty members are prepared to provide exceptional amounts of time shall be governed by policies on professional practice (GR X.C.3).

C. Employment of Staff Employees

1. The *Human Resources Policy and Procedures Administrative Regulations* are

applicable to staff employees. The *Human Resources Policy and Procedures Administrative Regulations* are promulgated by the President pursuant to delegation of the Board of Trustees.

2. Post-Retirement Employment

(a) A post-retirement appointment may be used in a very limited number of cases, when it is essential to a University program or office or when it is otherwise impossible practicably to fill a position with a fully qualified person. Such a part-time or full-time appointment is restricted to former staff employees who have elected to retire, requires specific prior approval by the Board of Trustees, and is made for a period not to exceed one (1) year.

(b) A retiree may be employed as a temporary employee to perform duties for which fee schedules have been established and approved by the Provost or the Executive Vice President for Finance and Administration.

3. Emeritus ranks for retired staff employees and the rights of holders of emeritus titles are established by the President after consultation with the Staff Senate Executive Committee.

D. Opportunity for Hearing

In no case shall an appointment of a postdoctoral scholar, postdoctoral fellow, resident, clinical fellow, teaching assistant, or research assistant be terminated before the end of the period of appointment without the individual being provided an opportunity to be heard before the University Senate Advisory Committee on Privilege and Tenure. Such employees who have established a *prima facie* case to the satisfaction of the committee that a consideration violating academic freedom significantly contributed to the individual's termination, shall be given a written statement of reasons by those responsible for the termination and an opportunity to be heard by the University Senate Hearing Panel of the Privilege and Tenure Committee.

E. Matters Affecting Faculty and Staff

The *Human Resources Policy and Procedures Administrative Regulations* on Benefits are applicable to faculty members and staff employees. All other *Human Resources Policy and Procedures Administrative Regulations* are applicable to faculty members to the extent that there are no other applicable policies for faculty members on the subject matter.

AACR 6

Office of the President
June 12, 2007

Members, Board of Trustees:

PROPOSED REVISION TO ADMINISTRATIVE REGULATION: CLINICAL TITLE SERIES

Recommendation: that the Board of Trustees approve the attached revision of Administrative Regulation (AR) II-1.0-1, Page IX, Appointment and Promotion in the Clinical Title Series. The proposed revision consists of clarifying the procedure, criteria, and conditions for the Clinical Title Series and a general reformatting of the regulation.

Background: The university's mission involves three primary functions: instruction, research, and service. In some areas, the educational experience is enhanced by having faculty members who are qualified to provide care or counseling to clients and training to students in clinical and experiential settings. To fulfill this need a Clinical Title Series for appointments, reappointments, and promotions without tenure was established by the Board of Trustees in 1986 for the health care colleges within the medical center and was extended in 1995 to other colleges with clinical and experiential training. The revision is intended to:

- Clarify that no college shall have more than 25 percent of its faculty in the Clinical Title Series, except upon approval of the provost;
- Allow funding for Clinical Title Series faculty who are not engaged in standard fee-based clinics (e.g., social work, law);
- Require faculty in the Clinical Title Series to hold a terminal degree or other professional certification relevant to the professional area;
- Update the regulation to align with professional accrediting bodies that require a more substantive portion of experiential training within various professions;
- Update the language to conform to the current university administrative organization (i.e., provost model); and
- Update the general formatting to make the regulation consistent with other university regulations.

A revised copy of AR II-1.0-1, Page IX, is attached. Proposed additions are underlined; proposed deletions are lined through.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

UNIVERSITY OF KENTUCKY ADMINISTRATIVE REGULATIONS	IDENTIFICATION AR II 1.0-1		PAGE IX
	DATE EFFECTIVE mo/dd/07	SUPERSEDES REGULATION DATED AR number 4/8/02	

APPOINTMENT, REAPPOINTMENT, AND PROMOTION IN
THE CLINICAL TITLE SERIES

I. Introduction

The University's ~~has a role and mission which involves three primary functions: instruction education, research and service, and research.~~ In some areas, these three functions are interrelated to a great extent. ~~The educational experience program is enhanced by having clinically competent faculty employees who are qualified to personally provide care and/or counseling to clients and training to students in clinical settings in locations where students have clinical experiences. Further, these areas have a continuing need to expand clinical offerings to meet the educational requirements necessary to prepare students in various health professions so that they can meet the needs of citizens in the Commonwealth of Kentucky. Clinically competent professionals who are highly qualified to meet responsibilities in providing care and/or counseling to clients and training to students in clinical settings are essential if the education and research programs of these areas are to remain adequate and competitive. To fulfill this e need indicated above more effectively and to attract and retain faculty professional personnel, need a C~~linical ~~T~~itle ~~S~~eries for appointments, reappointments, and promotions without tenure was established by the Board of Trustees in 1986 for is established for the ~~health care colleges~~Colleges of Medicine, Dentistry, Pharmacy, Allied Health Professions, Nursing, and was extended in 1995 to other colleges that have clinically relevant disciplines. The ratio of the number of faculty appointments in the Clinical Title Series to the total number in the tenure-track title series (i.e., Regular, Special, Extension, Librarian) in a college shall not exceed 25 percent unless a specific higher ratio is approved by the Provost and the dean after a consultative vote is taken of the faculty council in the college.

~~Some clinical title series faculty appointed in the College of Medicine may be appointed to a department, and some may not, on the recommendation of the Dean and with the approval of the Senior Vice President and Chancellor of the Medical Center. The Associate Dean for Clinical Affairs will assume responsibility for the faculty not appointed to a department and will function in a manner equivalent to a department chair for this group of faculty. To carry out the functions of establishing a position, appointing and/or promoting these faculty the Dean would consult with a Clinical Program Review Committee made up of at least three clinically oriented faculty members.~~

II. Definition

The Clinical Title Series is a series of tenure-ineligible academic ranks and titles for appointment and promotion of appropriately-qualified individuals, who participate in the University's academic programs albeit with and whose ~~but who have~~ duties and responsibilities which that are essentially related to clinical practice, and service to clients or /patients, and experiential training of students of the profession. ~~They are individuals who have elected to practice within a setting that specifically contributes to the academic program of the University, particularly in the clinical area. For those faculty employees appointed to Clinical Title Series positions in health care programs. The the primary responsibilities of the non-tenured faculty member appointed in this series in the Medical Center are to provide patient care services, to expose students to their professional expertise, and to direct students' educational experience in the clinical settings where the faculty member-employee practices.~~

III. Establishment of a Position

To establish a position in the Clinical Title Series, the chairperson of the initiating educational unit shall: ~~(1) after consultation with the faculty, prepare, in consultation with the unit faculty membersemployees, a requeststatement demonstrating the need for such a position and indicateing the source, amount, and term of funding for the position, and (then 2) obtain approvals of the requeststatement fromby the dean of the college and the Provost or Senior Vice President and Chancellor of the Medical Center.~~

IV. Areas of Activity

A. Four areas of activity are important in the evaluation of individuals for appointment, reappointment, performance review, and promotion in the Clinical Title Series; for the Medical Center:

~~(1.)~~ practice or practice-relevant activities;

~~(2.)~~ effective clinical ~~instruction~~teaching;

~~(3.)~~ professional status and activity; and

~~(4.)~~ ability to generate practice funds, if applicable for the position and as established in the position request.

B. No University general funds shall be used to support fully or partially the workload of a faculty employee in a Clinical Title Series position, except that the Provost may approve an exception on a position-by-position basis in cases where:

1. the job assignment is inappropriate for the Regular Title Series; and

2. fees or practice funds are not collected in relation to the job activities.

~~Evidences~~Guidelines for professional status and activity are described under the ~~R~~regular ~~T~~title ~~S~~series (AR II-1.0-1.V.A.3Section V.A.3 of this Administrative Regulation). ~~In the generation of practice funds,Guidelines are well-established in that the source of funds generated should cover salaries and costs of benefits for the faculty members who are appointed in this role.~~

V. Academic Ranks, Titles, and Criteria

A. The academic ranks and related titles in the Clinical Title Series shall be:

(1.) Clinical Instructor;

(2.) Assistant Clinical Professor;

(3.) Associate Clinical Professor; and

(4.) Clinical Professor. This series is established for the purpose of being able to recruit and retain fully-affiliated and full-salaried faculty in the clinical disciplines. It is intended to accommodate those faculty who wish to practice in an academic setting. Appointees in the Clinical Title Series shall be subject to annual performance review, as is customary for other faculty.

All appointments will recognize the individual's potential to attain or generate practice funds, or who will be associated with a unit able to provide funds for practice.

B. Appointees in the Clinical Title Series shall be subject to annual performance review. All appointments, reappointments, and promotions shall be decided on the basis of merit and as -and academic service o the Universityevidenced in the above areas in which activity shall be regularly assigned. Evidence of Pprofessional qualifications consists of educational attainment and professional accomplishment. clinical recognition. Evidence in the second area of activity -Academic service-consists primarily of effective clinical instructionteaching. Evidence of professional status and activity emphasizes clinical recognition. Evidences for professional status and activity are described under the Regular Title Series (AR II-1.0-1.V.A.3). Although it is not feasible to specify exact criteria for evaluating the credentials of an individual for appointment, reappointment, or promotion to any one particular rank in the Cclinical Ttitle Sseries, the following criteria provide guidance for evaluators:-elines for appointment and promotion:

1. Clinical Instructor

In order to qualify for appointment or reappointment to Clinical Instructor, a candidate shall:

(a) hold the terminal final-earned-degree or other professional certification relevant to the clinical area (the latter shall be expressly identified in the -position description request);-

(b) ~~who has demonstrated clinical competence; and~~

(c) ~~hold~~as a license to practice in the discipline; and

(de) ~~who has~~ the potential for significant professional growth in the clinical area, and contribution in the areas of clinical and experiential practice and instruction in an experiential setting~~academic service~~.

2. Clinical Assistant Professor

In order to qualify for appointment, reappointment, or promotion to Clinical Assistant Professor, a candidate shall ~~Appointment or promotion to the rank of clinical assistant professor is extended to an individual who meets the qualifications of Clinical Instructor, and in addition must both have a terminal degree (as determined by the profession) and who has provided evidence appropriate to the~~ of professional of recognition at least at the local level. The requirement for a terminal degree at the rank of Clinical Assistant Professor, for exceptional professionals with national reputations as being among the best in their fields, may be waived upon:

(a) the positive majority vote of the tenured and tenure-eligible faculty in the educational unit who are at or above the rank of Assistant Professor;

(b) the positive recommendations of the educational administrator and the dean; and,

(c) the positive recommendation of the appropriate Academic Area Advisory Committee and the approval of the Provost.

3. Clinical Associate Professor

In order to qualify for appointment, reappointment, or promotion to Clinical Associate Professor, a candidate shall ~~Appointment or promotion to the rank of clinical associate professor is extended to an individual who has meet the qualifications of Clinical Assistant Professor and have exercised substantial clinical and academic service commitments in practice and clinical instruction.~~ The individual appointed or promoted to this rank shall have demonstrated creative contributions to the instructional ~~academic~~ and clinical service programs of the University or comparable institution, documented with evidences appropriate to the field of assignment. The individual also shall have earned regional recognition as a clinician.

4. Clinical Professor

In order to qualify for appointment, reappointment, or promotion to Clinical Professor, a candidate shall meet the qualifications of Clinical Associate Professor and Appointment or promotion to the rank of clinical professor is an indication that, provide evidence to demonstrate that in the opinion of colleagues and administrators the individual is an outstanding practitioner and that regional, and perhaps national, recognition has been accorded the individual and beyond that, excellence in areas of emphasis has been achieved and appropriately documented with evidences appropriate to these areas.

VI. Procedures for Appointment, Reappointment, and Promotion

A. Procedures for appointment, reappointment, and promotion to academic ranks related to approved positions in the Celinical Ttitle Sseries are the same as those for the tenure-eligible title series (AR II-1.0-1), regular and special title series (refer to Sections II, III, IV, XIII, and XIV, except that faculty employeesmembers of the Celinical Ttitle Sseries shall be consulted on a departmental or divisional basis as appropriate about appointment, reappointment, and promotion to academic ranks equal to or below their own.

B. The procedure by which an appointee in the Celinical Ttitle Sseries may be proposed, recommended, and approved for associate membership in the Graduate Faculty is the same as that for an appointee of the tenure-eligible regular title series [GRVII.A.3(a)]. Celinical Ttitle Sseries members shall not direct graduate theses and dissertations, but they may serve on thesis and dissertation committees and such other committees as appointed by the Dean of the Graduate School.

C. If the appointment of a faculty employeemember is changed from the Celinical Ttitle Sseries to one of the tenure-eligible title series the Rregular or Sspecial Ttitle Sseries through proper administrative channels and after following the established procedures for the tenure-eligible title series, years of service while on appointment in the Celinical Ttitle Sseries shall not be eliminated from consideration considered in the determination of the probationary period related to the appointment of the faculty member-employee in the tenure-eligible title series Rregular or Sspecial Ttitle Sseries.

VII. Terms of Appointment

A Celinical Iinstructor shall be appointed for a specific term, not to exceed three years or, if for a lesser period of time, the period of funding from clinical practice, contracts, grants, or other sources as approved above (section IV). A Celinical Aassistant Pprofessor, a Celinical Aassociate Pprofessor, or a Celinical Pprofessor shall be appointed for a term not to exceed five years or, if for a lesser period of time, the period of funding from clinical practice, contracts, grants, or other approved sources. A faculty employeemember may be reappointed in the

Clinical Title Series for one or more additional terms, contingent upon continuity of approved funding and the individual's performance and accomplishments.

VIII. Conditions of Employment

A. A faculty ~~employee~~~~member~~ on appointment in the Clinical Title Series is eligible for all benefits of the Regular Title Series, except tenure and sabbatical leave. University contributions toward retirement, social security, and insurance benefits for the faculty ~~employee~~~~member~~ shall be funded through clinical practice, contracts, grants, or other approved sources which provide support for the individual's position.

B. Faculty membership, with or without voting privileges, may be extended to an appointee in this series by the faculty member~~employees of the educational unit to which the individual is assigned (GRVII.A.6)~~. However, a faculty ~~employee~~~~member~~ on appointment in the Clinical Title Series shall not be consulted about nor be eligible to vote on matters relating to ~~regular or special title series~~ faculty appointment, retention, and promotion, ~~or tenure~~, in the tenure-eligible title series.

C. Faculty employees appointed in the Clinical Title Series shall not have regularly assigned duties in University service (see "Areas of Activity" above). However, faculty employees appointed in this title series who have been extended the privilege of membership in the college faculty body (GR VII.A.4) may be elected to serve in the University Senate. Individuals appointed in the Clinical Title Series shall not occupy more than 25 percent of the University Senate seats allocated to a college, nor be calculated as more than 25 percent of the faculty employees of a college in the apportionment of University Senate seats among the colleges.

IX. Reporting

The Office of the Provost shall maintain a record of the number of faculty employees on appointment in the Clinical Title Series for each college, including any exemptions granted pursuant to this Administrative Regulation. The Provost shall provide to the University Senate Council an annual report regarding the status (i.e., total number and exemptions per college) of faculty employees appointed in the Clinical Title Series.

FCR 1

Office of the President
June 12, 2007

Members, Board of Trustees:

ASHLAND INC. DIRECTOR'S CHARITABLE AWARD PROGRAM GIFT IN MEMORY
OF WILLIAM L. ROUSE, JR.

Recommendation: that the Board of Trustees accept a gift of \$500,000 from the Ashland Inc. Director's Charitable Award Program of Covington, Kentucky to create the William Rouse Scholarship Fund in the Athletics Association.

Background: The Ashland Inc. Director's Charitable Award Program has given this gift in memory of William L. Rouse, Jr., former member of the Ashland Inc. Board of Directors. The program allows each Ashland Inc. director to direct an Ashland donation to his selected charitable organization or educational institution. Mr. Rouse retired as Chairman of the Board and Chief Executive Officer of First Security National Bank and Trust Company in May, 1992. He received his degree in business administration from UK in 1954 and was a member of the UK Fellows Society. Mr. Rouse passed away on March 22, 2007.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 2

Office of the President
June 12, 2007

Members, Board of Trustees:

C. MICHAEL GARVER GIFT

Recommendation: that the Board of Trustees accept a gift of \$809,050 from C. Michael Garver of Houston, Texas for the Donn E. Hancher - C. Michael Garver Scholarship in the College of Engineering.

Background: Mr. Garver, a 1960 mechanical engineering graduate, is internationally known for the development of micro-tunneling and trenchless technology. He is a member of the College of Engineering Hall of Distinction and has been a generous donor to graduate fellowships and undergraduate scholarships in the College of Engineering. Mr. Garver wishes to honor the work of Dr. Donn Hancher, Terrell McDowell Chair in Construction Management, who is the associate dean for administration and academic affairs in the College of Engineering and former chair of the Department of Civil Engineering.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 3

Office of the President
June 12, 2007

Members, Board of Trustees:

JAMES F. HARDYMON PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$2 million from James F. Hardymon of Lexington, Kentucky to support the construction of a Computer Engineering building within the Digital Village of the College of Engineering.

Background: James F. Hardymon, retired chairman and chief executive officer of Textron Corporation, is the chairman of the UK Board of Trustees. Mr. Hardymon, a member of the College of Engineering Hall of Distinction, received a B.S. in civil engineering in 1956 and a master of civil engineering in 1958. He also is a member of the “Dream, Challenge, Succeed” Capital Campaign Steering Committee, a member of the Development Council, and a Presidential Fellow. The James F. Hardymon Building, which houses networking and information technology offices and laboratories, bears his name in recognition of his generous support. The Digital Village will be a new building or buildings that would house the Department of Electrical and Computer Engineering, the Department of Computer Science, the Center for Visualization and Virtual Environments, the UK Center for Computational Sciences, and possibly other technology-related projects and programs.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 4

Office of the President
June 12, 2007

Members, Board of Trustees:

OWENSBORO MEDICAL HEALTH SYSTEM PLEDGE AND GIFTS IN KIND

Recommendation: that the Board of Trustees accept a pledge of \$1,092,500 and gifts in kind of \$236,500 from the Owensboro Medical Health System of Owensboro, Kentucky to create and endow the UK College of Pharmacy Clinical Education Center in Owensboro, Kentucky.

Background: The College of Pharmacy has expanded its education program to Louisville and will expand into western Kentucky in 2008 with the Owensboro Medical Health System as its educational partner. The Owensboro Medical Health System is a regional center of excellence and is interested in leadership in healthcare education for western Kentucky. This pledge and gifts in kind will support the initial development and implementation of the College of Pharmacy's Clinical Education program in western Kentucky.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 5

Office of the President
June 12, 2007

Members, Board of Trustees:

ESTATE OF JAMES I. STEPHENS GIFT AND ESTABLISHMENT OF THE JAMES I.
STEPHENS MEMORIAL SCHOLASHIP QUASI-ENDOWMENT FUND

Recommendation: that the Board of Trustees accept a gift of \$525,720 from the Estate of James I. Stephens and approve the establishment of the James I. Stephens Memorial Scholarship Quasi-Endowment in the College of Agriculture.

Background: James I. Stephens, a 1937 graduate of the College of Agriculture, named the University of Kentucky as the remainder beneficiary of a trust that was established at his death. According to correspondence dated May 29, 1983, Mr. Stephens directed through his last will and testament that upon the death of two named life-time beneficiaries the university would receive the remainder of the estate. Stephens directed that the undistributed income be distributed to the University of Kentucky and “at the discretion of the Board of Trustees may be merged and commingled with and become a part of the General Endowment Funds of the University.” Additionally, Stephens' will stated that “this gift shall be known as the James I. Stephens Memorial fund and shall be used to establish scholarships for deserving students engaged in the study of ornamental horticulture in the Department of Horticulture.”

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 6

Office of the President
June 12, 2007

Members, Board of Trustees:

REQUEST TO MERGE THE LUCILLE CAUDILL LITTLE ENDOWED RESEARCH
FUND IN OPERA STUDIES AND THE ENDOWED ENRICHMENT FUND FOR
RESEARCH IN VOCAL STUDIES

Recommendation: that the Board of Trustees approve a request from Dean Robert Shay of the College of Fine Arts to merge the Lucille Caudill Little Endowed Research Fund in Opera Studies and the Endowed Enrichment Fund for Research in Vocal Studies.

Background: Lucille Caudill Little and the Lucille Caudill Little Trust were the main donors to both of the endowment funds. A trust officer for the Lucille Caudill Little Trust has approved the merger of the two endowments with the combined endowment titled the Lucille Caudill Little Endowed Research Fund in Opera Studies. The Board of Trustees accepted a pledge of \$500,000 from Lucille C. Little on January 22, 2002 with \$300,000 pledged to the Endowed Enrichment Fund for Research in Vocal Studies and \$200,000 to the Lucille Caudill Little Research Fund in Opera Studies. The Board of Trustees accepted a gift of \$500,000 from the Lucille Caudill Little Trust on December 14, 2004 for the Lucille Caudill Little Research Fund in Opera Studies. All of the funds were matched by the state's Endowment Match Program.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 7

Office of the President
June 12, 2007

Members, Board of Trustees:

2007-2008 OPERATING AND CAPITAL BUDGET

Recommendation: that the Board of Trustees

- approve the 2007-2008 Operating and Capital Budget including the authorization set forth in the letter of transmittal;
- order that the operating budget be recorded as an integral part of the university's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures; and
- accept the operating budget as the basis of maximum expenditures for Fiscal Year 2007-08, subject to Board approved revisions.

Background: The 2007-2008 Operating and Capital Budget of the University of Kentucky, including its affiliated corporations, is presented in detail as a separately bound document.

NOTE: Copy available at www.uky.edu/opbpa after June 12, 2007.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 8

Office of the President
June 12, 2007

Members, Board of Trustees:

CAPITAL PROJECTS

Recommendation: that the Board of Trustees approve initiation of the following capital projects:

<u>Project Title</u>	<u>Fund Source</u>	<u>Authorized Scope</u>
1. Fit-up Education Space in Health Sciences Building	Agency Funds	\$1,000,000
2. Renovate M. I. King Library	Agency Funds	\$2,830,000
3. Renovate Chemistry-Physics Building	Agency Funds	\$5,000,000

Background:

The university continues to improve operational efficiencies and enhance the quality of instructional space by renovating and assigning space more effectively. The anticipated cost of the following three projects is \$5,750,000, which is within the scope authorized by the Kentucky General Assembly. These projects will be paid for with available fund balances.

Fit-up Education Space in Health Sciences Building: Authorized by the 2006 General Assembly, this \$1,000,000 project will fit-up approximately 4,000 square feet of shelled space to create an additional lecture hall that will accommodate approximately 165 students.

Renovate M. I. King Library: The project includes renovating space to accommodate the relocation of the Chemistry and Physics libraries and a student computer lab from the Chemistry-Physics Building. The vacated space in the Chemistry-Physics Building will provide much-needed faculty offices and research labs as well as an additional teaching lab (see below). The second floor of the library will be renovated to provide improved customer service near the entrance, expand student computer areas, and provide additional seating, reading, and group study areas. The renovations also will include modernizing elevators, improving lighting, and upgrading ceiling and floor coverings.

This renovation project is estimated to cost approximately \$2.25 million. The 2006 General Assembly authorized two separate projects at M. I. King Library with a combined scope of \$2.83 million: \$1.7 million to renovate M. I. King Library South - 1962 Section and \$1.13 million to replace elevators. Contingent upon approval by the Board of Trustees, the university will request the Council on Postsecondary Education and the Capital Projects and Bond Oversight Committee at their July meetings to allow the University to consolidate the two projects bringing the aggregate authorized scope to \$2.83 million.

Renovate Chemistry-Physics Building: The 2006 Kentucky General Assembly authorized the university to expend up to \$5 million to initiate the design phase of an expansion of the Chemistry-Physics Building. A recently completed utilization study of the building recommends immediate renovations to provide additional research and teaching labs. Contingent upon approval by the Board of Trustees, the university will request that the Council on Postsecondary Education and the Capital Projects and Bond Oversight Committee allow the university to use existing design authority for renovation.

The project will include renovating 2,300 square feet on the third floor into a teaching lab with 20 student work areas (each area can accommodate two students). The project also includes necessary infrastructure such as hot and cold water faucets at each station, fume hoods, plumbing, electrical, heating, ventilation and air cooling, and improved ingress and egress from the rooms. Two safety stations with drench shower and eye wash will be installed for student safety. This project is currently estimated to cost approximately \$2.5 million, which is within the authorized design scope of \$5 million.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 9

Office of the President
June 12, 2007

Members, Board of Trustees:

RENOVATE HOSPITAL NURSING UNIT

Recommendation: that the Board of Trustees approve initiation of the renovation of the hospital's nursing unit in the Nursery and Special Care Neonatal Nursery.

Background: This project, authorized by the 2006 General Assembly, will renovate the hospital's nursing unit in the Nursery and Special Care Neonatal Nursery in anticipation of providing expanded patient services. Approximately 1,310 square feet in the surgery suite adjacent to the existing nursery (located on the third floor of the Critical Care Center) will be renovated to create a larger, unified space for relocation of the newborn nursery. The renovation also will include construction of a hallway to provide improved secured access points to the nursery suite.

An additional 2,500 square feet of the existing nursery will be renovated to create separate Level II and Level III special care neonatal nurseries, each with eight beds. The new nursery suite also will contain work areas to facilitate patient care and improve monitoring and response time.

The renovation is expected to cost approximately \$1.4 million, within the \$2 million scope authorized by the General Assembly. The project will be paid for by the University Hospital.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 10

Office of the President
June 12, 2007

Members, Board of Trustees:

APPROVAL OF LEASE

Recommendation: that the Board of Trustees authorize the executive vice president for finance and administration to negotiate and execute:

A new operating lease between the University of Kentucky (Information Technology and UK HealthCare) and Lexington Holdings, LLC, 1500 Bull Lea Road, Suite 110, Lexington, Kentucky for space located within the Coldstream Research Campus, in the Lexhold International Center for Technological Innovation, Building B for the use of approximately 70,500 square feet of data center space, at an annual rate not to exceed \$2,000,000.

Background: At its June 14, 2005 meeting the Board of Trustees amended the Governing Regulations (SCGR 1) to require that all leases in excess of \$100,000 be approved by the Board of Trustees. The president or his designated representative is authorized to enter into leases and easements not exceeding \$100,000 in value.

The new data center located off-campus at the Coldstream Research Park would house the campus data center located in McVey Hall and the data center at the Chandler Medical Center. Relocating the campus data center will allow the university to reassign approximately 33,000 square feet of space in the core of campus for classrooms and faculty offices.

A comprehensive data center - - supporting research, administrative, and health care functions - - would be capable of offering substantial technological services. The computational research facilities also could support infrastructure needs for new economy businesses locating at Coldstream.

The lease would be funded by the university and the University Hospital.

Action taken: ☐ Approved ☐ Disapproved ☒ Other Withdrawn

FCR 11

Office of the President
June 12, 2007

Members, Board of Trustees:

REPORT ON THE 2008-2014 CAPITAL PLAN AND 2008-2010 CAPITAL REQUEST

Recommendation: that the Board of Trustees accept the attached Report on the 2008-2014 Capital Plan, approve the 2008-2010 Capital Request, and authorize the president to adjust the capital request, as needed, in response to the Council on Postsecondary Education's capital recommendation to the 2008 General Assembly.

Background: The University of Kentucky 2008-2014 Capital Plan (attached) is the result of a comprehensive capital planning process guided by the university's Top 20 Business Plan. The Capital Plan has been prepared pursuant to KRS Chapter 7A, which requires each state agency and public postsecondary institution to prepare and submit a plan to the Capital Planning Advisory Board (CPAB) outlining its capital needs on or before April 15 of each odd-numbered year. The CPAB is a state board comprised of representatives of all three branches of Kentucky state government. Individual capital plans are used by the CPAB to develop a comprehensive statewide capital improvements plan, encompassing all state agencies and postsecondary education institutions.

By statute, the Capital Plan includes capital construction, renovation, and information technology projects estimated to cost \$600,000 or more; equipment estimated to cost \$200,000 or more; and annual leases valued at \$200,000 or more. The Plan lists 269 capital projects and equipment at an estimated cost of over \$4 billion. Refinements to the Capital Plan can be made over the next several months.

The 2008-2010 Capital Request (incorporated in the attachment) is based on the first biennium of the Capital Plan and will be submitted to the Council on Postsecondary Education. The Council will establish the postsecondary education systemwide capital request using the VFA Statewide Facilities Study and the Council's prioritization model.

The Council will submit postsecondary education's capital request to the Governor in November as part of the process of developing the Governor's budget recommendation for the 2008 legislative session.

Pursuant to AR II-1.4-1, the Board of Trustees must approve the initiation of capital projects in a separate action item.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

**University of Kentucky
2008-2014 Capital Plan**

		Proposed Fund Source					Other Funds
Priority	Title	Scope	State Bonds	Agency Funds	Agency Bonds	Federal Funds	(Private)
2008-2010							
1	Construct Science Research Building 2	\$130,000,000	\$130,000,000	-	-	-	-
2	Construct Gatton Building Complex	100,000,000	75,000,000	-	-	-	\$25,000,000
3	Research Equipment Replacement Program	30,000,000	30,000,000	-	-	-	-
4	Improve Life Safety Project Pool	12,760,000	12,760,000	-	-	-	-
5	Expand Patient Care Facility-Hospital Phase 3	250,000,000	-	\$250,000,000	-	-	-
6	Lease Purchase Data Center	40,000,000	-	40,000,000	-	-	-
7	Repair, Upgrade, Improve Electrical Infrastructure	25,000,000	25,000,000	-	-	-	-
8	Repair, Upgrade, Improve Mechanical Infrastructure	22,800,000	22,800,000	-	-	-	-
9	Expand and Upgrade LDDC, Phase 2	16,500,000	16,500,000	-	-	-	-
10	Expand/Renovate Ambulatory Care Facilities - Hospital	20,000,000	-	20,000,000	-	-	-
11	Fit-Up Education Space in Health Sciences Bldg	1,000,000	-	1,000,000	-	-	-
12	Renovate Old Pharmacy Bldg for Biology, Design	3,500,000	-	3,500,000	-	-	-
13	Renovate Teaching Space in the Chem/Physics Bldg.	2,500,000	-	2,500,000	-	-	-
14	Lease Purchase Digital Village Building 2	20,000,000	-	20,000,000	-	-	-
15	Construct New Housing	28,000,000	-	-	\$28,000,000	-	-
16	Renovate Blazer Hall Cafeteria	2,800,000	-	-	2,800,000	-	-
17	Renovate Student Center Food Court	2,675,000	-	-	2,675,000	-	-
18	Construct Baseball Stadium & Clubhouse	31,900,000	-	-	-	-	31,900,000
19	Construct Track and Field Facility	17,666,000	-	-	-	-	17,666,000
20	Repair, Upgrade & Expand Central Plants	55,000,000	-	55,000,000	-	-	-
21	Construct Parking Structure #9	34,310,000	-	34,310,000	-	-	-
22	Sanitary Sewer Expansion	10,000,000	-	-	10,000,000	-	-
23	Upgrade Existing Dorms for Housing	7,000,000	-	-	7,000,000	-	-
24	Repair, Upgrade, Improve Mechanical Systems	20,000,000	20,000,000	-	-	-	-
25	Repair, Upgrade, Improve Bldg Shell Systems	5,000,000	5,000,000	-	-	-	-
26	Repair, Upgrade, Improve Bldg Electrical Systems	3,745,000	3,745,000	-	-	-	-
27	Repair, Upgrade, Improve Bldg Elevator Systems	2,540,000	2,540,000	-	-	-	-
28	Repair, Upgrade, Improve Bldg Civil/Site Infrastructure	2,200,000	2,200,000	-	-	-	-
29	Lease Purchase Pollution Controls	10,000,000	10,000,000	-	-	-	-
30	Capital Renewal Maintenance Pool	30,000,000	30,000,000	-	-	-	-
31	Renovate/Expand Research Labs Pool	33,500,000	-	33,500,000	-	-	-
32	Renovate King Library South-1962 Section	8,000,000	-	8,000,000	-	-	-
33	Convert Hunt Morgan Space to Class Lab	1,000,000	-	1,000,000	-	-	-
34	Renovate Mineral Industries Building	4,450,000	-	4,450,000	-	-	-
35	Construct Equine Campus	10,000,000	-	-	-	-	10,000,000

**University of Kentucky
2008-2014 Capital Plan**

		Proposed Fund Source					Other Funds
Priority	Title	Scope	State Bonds	Agency Funds	Agency Bonds	Federal Funds	(Private)
2008-2010							
36	Renovate the Koinonia House	2,371,000	-	2,371,000	-	-	-
37	Construct Library Depository Facility	7,000,000	-	7,000,000	-	-	-
38	Renovate Dentistry Class Lab	3,000,000	-	3,000,000	-	-	-
39	Renovate Funkhouser Tower	3,900,000	-	3,900,000	-	-	-
40	Renovate Vivarium in Central DLAR Facility	2,176,000	-	2,176,000	-	-	-
41	Renovate Reynolds Building, Phase I	3,000,000	-	3,000,000	-	-	-
42	Expand Pence Hall	16,000,000	-	16,000,000	-	-	-
43	Renovate Nursing Building	3,088,000	-	1,988,000	-	\$1,100,000	-
44	Expand & Renovate W. KY & Robinson Station	6,000,000	-	6,000,000	-	-	-
45	Expand Coldstream Research Campus, I	10,000,000	-	10,000,000	-	-	-
46	Renovate Slone Building, Phase I	5,000,000	-	5,000,000	-	-	-
47	Relocate & Expand Dentistry Faculty Practice	3,100,000	-	3,100,000	-	-	-
48	Renovate/Expand DLAR Quarantine Facility Spindletop	3,288,000	-	3,288,000	-	-	-
49	Expand KGS Well Sample and Core Repository	4,741,000	-	4,741,000	-	-	-
50	Expand CAER Laboratories	5,000,000	-	5,000,000	-	-	-
51	Convert Taylor Ed. Space to Offices & Classroom	4,500,000	-	4,500,000	-	-	-
52	Renovate 3rd Floor Little Library	1,000,000	-	1,000,000	-	-	-
53	Renovate Dentistry Clinic in Ky Clinic	3,320,000	-	3,320,000	-	-	-
54	Renovate Teaching Space in the Funkhouser Building	1,000,000	-	1,000,000	-	-	-
55	Renovate Office Space in Funkhouser	1,000,000	-	1,000,000	-	-	-
56	Relocate Greenhouses	7,506,000	-	7,506,000	-	-	-
57	Design Student Center Expansion/Renovation	6,000,000	-	6,000,000	-	-	-
58	Renovate Space in McVey Hall	2,150,000	-	2,150,000	-	-	-
59	Expand CRMS and Raymond Civil Eng. Bldg	18,550,000	-	18,550,000	-	-	-
60	Purchase Upgraded Communications Infrastructure	1,014,000	-	1,014,000	-	-	-
61	Purchase Upgraded Integrated Library System	1,000,000	-	1,000,000	-	-	-
62	Purchase Compact Shelving-Fine Arts Library	500,000	-	500,000	-	-	-
63	Purchase Shelving for Storage Facility	525,000	-	525,000	-	-	-
64	Purchase Metabolic Instructional Systems	205,000	-	205,000	-	-	-
65	Purchase 400 MHz NMR Spectrometer	275,000	-	275,000	-	-	-
66	Purchase Electrospray LC Tandem Mass Spectrometer	290,000	-	290,000	-	-	-
67	Purchase Physical Chemistry Teaching Lab Equipment	240,000	-	240,000	-	-	-
68	Purchase Circular Dichroism Spectrometer	210,000	-	210,000	-	-	-
69	Purchase Precision Machining System	250,000	-	250,000	-	-	-
70	Purchase Dentistry Billing System, Phase 3	2,000,000	-	2,000,000	-	-	-

**University of Kentucky
2008-2014 Capital Plan**

		Proposed Fund Source					Other Funds
Priority	Title	Scope	State Bonds	Agency Funds	Agency Bonds	Federal Funds	(Private)
2008-2010							
71	Upgrade Audio/Visual Equipment Guignol Theater	210,000	-	210,000	-	-	-
72	Purchase Digital Imaging Equipment	311,000	-	311,000	-	-	-
73	Lease Purchase ERP Phase III	10,000,000	-	10,000,000	-	-	-
74	Lease Purchase High Performance Research Comp	6,500,000	-	6,500,000	-	-	-
75	Lease Purchase Large Scale Computing	3,500,000	-	3,500,000	-	-	-
76	Lease Purchase Enterprise Storage System	2,200,000	-	2,200,000	-	-	-
77	Lease Purchase UPS System	941,000	-	941,000	-	-	-
78	Lease Purchase Campus Infrastructure Upgrade	3,500,000	-	3,500,000	-	-	-
79	Install Emergency Generator - Computing Facility	500,000	-	500,000	-	-	-
80	Acquire Land	35,000,000	-	35,000,000	-	-	-
81	Lease Purchase New Housing	75,000,000	-	75,000,000	-	-	-
82	Lease Purchase Data Warehouse/Infrastructure	1,800,000	-	1,800,000	-	-	-
83	Renovate Central Computing Facility	2,813,000	-	2,813,000	-	-	-
84	Purchase Police Communications Equipment	600,000	-	600,000	-	-	-
85	Lease Purchase Network Security Hardware	1,500,000	-	1,500,000	-	-	-
86	Lease Purchase UK/UofL/Frankfort Research Network	6,000,000	-	6,000,000	-	-	-
87	Handicapped Access Pool	800,000	-	800,000	-	-	-
88	Construct Medical Center Physical Plant Building	12,793,000	-	12,793,000	-	-	-
89	Construct Facilities Storage Building	4,806,000	-	4,806,000	-	-	-
90	Contract Energy Performance General Campus	1,000	-	1,000	-	-	-
91	Lease Purchase Remote Site Fiber	2,000,000	-	2,000,000	-	-	-
92	Lease Purchase Wireless/Cellular Infrastructure	7,000,000	-	7,000,000	-	-	-
93	Lease Purchase Data Center Infract	2,500,000	-	2,500,000	-	-	-
94	Lease Purchase Campus Call Center System	1,500,000	-	1,500,000	-	-	-
95	Lease Redevelopment of Reynolds Buildings' (aka Conference Center	35,000,000	-	35,000,000	-	-	-
96	Multi-Purpose Room/Stadium Kitchen Facility	8,000,000	-	-	-	-	8,000,000
97	Renovate Memorial Coliseum Seating Area	4,000,000	-	-	-	-	4,000,000
98	Renovate Soccer Press Box/Seating Area	2,000,000	-	-	-	-	2,000,000
99	Construct Stadium Suite Addition	2,750,000	-	-	-	-	2,750,000
100	Construct Golf Practice Area	3,000,000	-	-	-	-	3,000,000
101	Athletics Hall of Fame Plaza	2,100,000	-	-	-	-	2,100,000
102	Stadium Structural Repairs	2,500,000	-	-	-	-	2,500,000
103	Construct/Renovate Imaging Services - Ky Clinic	15,000,000	-	15,000,000	-	-	-
104	Expand/Renovate Kentucky Clinic- Hospital	20,000,000	-	20,000,000	-	-	-
105	Renovate Kitchen - Hospital	2,000,000	-	2,000,000	-	-	-

**University of Kentucky
2008-2014 Capital Plan**

		Proposed Fund Source					
Priority	Title	Scope	State Bonds	Agency Funds	Agency Bonds	Federal Funds	Other Funds (Private)
2008-2010							
106	Repair, Upgrade, Improve Bldg. Systems - Hospital	20,000,000	-	20,000,000	-	-	-
107	Expand/Construct Parking Structure	20,149,000	-	20,149,000	-	-	-
108	Upgrade Clinical Services - Hospital	15,000,000	-	15,000,000	-	-	-
109	Implement Land Use Plan - Hospital	10,000,000	-	10,000,000	-	-	-
110	Renovate Parking Structure #3 - Hospital	2,485,000	-	2,485,000	-	-	-
111	Upgrade Surgical Services - Hospital	2,000,000	-	2,000,000	-	-	-
112	Upgrade Critical Care Facility - Hospital	2,200,000	-	2,200,000	-	-	-
113	Purchase Radiofrequency Ident. System	1,500,000	-	1,500,000	-	-	-
114	Renovate/Upgrade Hospital Facilities	10,000,000	-	10,000,000	-	-	-
115	Lease Purchase/Upgrade Hospital IT Systems	10,000,000	-	10,000,000	-	-	-
116	Purchase Clinical Enterprise Data Ctr Hardware	5,000,000	-	5,000,000	-	-	-
117	Purchase Telemedicine/Virtual ICU	5,000,000	-	5,000,000	-	-	-
118	Purchase PACS System	10,585,000	-	10,585,000	-	-	-
119	Purchase Dig. Medical Record Expansion	4,640,000	-	4,640,000	-	-	-
120	Purchase Patient System Enterprise	4,640,000	-	4,640,000	-	-	-
121	Upgrade Clinical Enterprise Network - Hosp	4,250,000	-	4,250,000	-	-	-
122	Expand Ophthalmology Clinic - Hospital	4,185,000	-	4,185,000	-	-	-
123	Upgrade Support Services - Hospital	3,500,000	-	3,500,000	-	-	-
124	Purchase Registration & Scheduling System	3,000,000	-	3,000,000	-	-	-
125	Purchase Upgrade - HIS Computing Facility	2,900,000	-	2,900,000	-	-	-
126	Purchase Telephone System Replacement	2,700,000	-	2,700,000	-	-	-
127	Purchase/Lease Data Center Hardware	3,350,000	-	3,350,000	-	-	-
128	Renovate Diag. Treatment Services - Hospital	2,500,000	-	2,500,000	-	-	-
129	Implement Medication Bar Coding System	2,500,000	-	2,500,000	-	-	-
130	Purchase/Lease Data Repository System	2,500,000	-	2,500,000	-	-	-
131	Replace Radiology Information System	2,000,000	-	2,000,000	-	-	-
132	Purchase/Lease Data Storage Equip & Software	1,950,000	-	1,950,000	-	-	-
133	Purchase Identity Management System	1,750,000	-	1,750,000	-	-	-
134	Purchase Managed Care Enterprise	1,160,000	-	1,160,000	-	-	-
135	Expand Clinical Enterprise Data Ctr Network - Hospital	1,000,000	-	1,000,000	-	-	-
136	Purchase/Lease Mainframe Computer	800,000	-	800,000	-	-	-
137	Purchase Upgrade for Servers	800,000	-	800,000	-	-	-
138	Purchase Staff Scheduling System - Hosp	750,000	-	750,000	-	-	-
139	Purchase Document Scanning System	700,000	-	700,000	-	-	-
140	Construct Col. of Medicine-Hospital Offices	66,341,000	-	66,341,000	-	-	-

**University of Kentucky
2008-2014 Capital Plan**

		Proposed Fund Source					
Priority	Title	Scope	State Bonds	Agency Funds	Agency Bonds	Federal Funds	Other Funds (Private)
2008-2010							
141	Construct Cancer Treatment Facility - Hospital	27,338,000	-	27,338,000	-	-	-
142	Construct Remote Cancer Clinic - Hospital	12,880,000	-	12,880,000	-	-	-
143	Purchase Paging Software	700,000	-	700,000	-	-	-
144	Construct Cancer Education Facility - Hospital	3,000,000	-	3,000,000	-	-	-
145	Construct Physicians Svcs Facilities - Hospital	2,000,000	-	2,000,000	-	-	-
146	Construct Facilities Support Bldg - Hospital	4,000,000	-	4,000,000	-	-	-
147	Implement Energy Performance Contracting	1,000	-	1,000	-	-	-
148	Lease Space Med Center Off Campus Facility	450,000	-	450,000	-	-	-
149	Lease Space Grant Projects	360,000	-	360,000	-	-	-
150	Lease Space Rural Health Expansion-Hazard	320,000	-	320,000	-	-	-
151	Lease Space Off Campus, 1	1,440,000	-	1,440,000	-	-	-
152	Lease Space Off Campus, 2	320,000	-	320,000	-	-	-
Total 2008-2010		\$1,685,814,000	\$385,545,000	\$1,139,778,000	\$50,475,000	\$1,100,000	\$108,916,000
2010-2012							
1	Construct Law School Building	\$83,300,000	\$68,300,000	-	-	-	\$15,000,000
2	Construct Science Research Building 3	207,000,000	207,000,000	-	-	-	-
3	Construct Academic Science Building	110,300,000	110,300,000	-	-	-	-
4	Construct Office/Lecture Building	25,000,000	25,000,000	-	-	-	-
5	Renovate Old Pharmacy Building for Biology	35,289,000	35,289,000	-	-	-	-
6	Improve Life Safety Project Pool	1,402,000	1,402,000	-	-	-	-
7	Repair, Upgrade, Improve Electrical Infrastructure	25,000,000	25,000,000	-	-	-	-
8	Repair, Upgrade, Improve Mechanical Infrastructure	22,800,000	22,800,000	-	-	-	-
9	Upgrade Clinical Services - Hospital	20,000,000	-	\$20,000,000	-	-	-
10	Expand/Renovate Kentucky Clinic - Hospital	15,000,000	-	15,000,000	-	-	-
11	Construct New Housing	32,151,000	-	-	\$32,151,000	-	-
12	Construct Classroom & Class Lab Building	27,500,000	27,500,000	-	-	-	-
13	Renovate/Expand University Student Ctr Phase 1	50,000,000	-	-	50,000,000	-	-
14	Renovate Alumni Gym	10,000,000	-	-	10,000,000	-	-
15	Lease Purchase Digital Village Building 3	22,200,000	-	22,200,000	-	-	-

**University of Kentucky
2008-2014 Capital Plan**

		Proposed Fund Source					Other Funds
Priority	Title	Scope	State Bonds	Agency Funds	Agency Bonds	Federal Funds	(Private)
2010-2012							
16	Repair, Upgrade, Improve Bldg Mechanical Systems	20,000,000	20,000,000	-	-	-	-
17	Repair, Upgrade, Improve Bldg Shell Systems	5,000,000	5,000,000	-	-	-	-
18	Repair, Upgrade, Improve Bldg Electrical Systems	4,000,000	4,000,000	-	-	-	-
19	Repair, Upgrade, Improve Bldg Elevator Systems	2,500,000	2,500,000	-	-	-	-
20	Repair, Upgrade, Improve Bldg Civil/Site Infrastructure	2,200,000	2,200,000	-	-	-	-
21	Capital Renewal Maintenance Pool	30,000,000	30,000,000	-	-	-	-
22	Renovate/Expand Research Labs Pool	33,500,000	-	33,500,000	-	-	-
23	Renovate K-Lair Building	4,687,000	-	-	4,687,000	-	-
24	Renovate College of Public Health Building	5,300,000	-	5,300,000	-	-	-
25	Construct Psychology Building	36,725,000	36,725,000	-	-	-	-
26	Construct Environmental & Natural Science Facility	58,841,000	58,841,000	-	-	-	-
27	Renovate Sections of Funkhouser, Phase I	10,000,000	10,000,000	-	-	-	-
28	Design Library/Knowledge Center	20,400,000	20,400,000	-	-	-	-
29	Construct Ctr for Disability Research and Training	19,080,000	19,080,000	-	-	-	-
30	Renovate Dental Lobby and Patient Registration	770,000	-	770,000	-	-	-
31	Renovate Paul Anderson Tower	19,360,000	-	19,360,000	-	-	-
32	Renovate Complex Commons Dining Area	11,400,000	-	-	11,400,000	-	-
33	Renovate Teaching Space in Kastle Hall	1,000,000	-	1,000,000	-	-	-
34	Renovate Education Space in Seaton Center	3,600,000	-	3,600,000	-	-	-
35	Renovate Cooper House	1,550,000	-	1,550,000	-	-	-
36	Construct Human Sciences Building	52,570,000	52,570,000	-	-	-	-
37	Renovate Slone Building, Phase 2	5,000,000	-	5,000,000	-	-	-
38	Convert Miller Hall Space to Offices	2,140,000	-	2,140,000	-	-	-
39	Construct College of Medicine Academic Building	97,970,000	97,970,000	-	-	-	-
40	Upgrade Sound System for the Singletary Center	1,000,000	-	1,000,000	-	-	-
41	Construct Multi-Care Clinic Building	29,650,000	-	29,650,000	-	-	-
42	Expand Lafferty Hall	8,250,000	-	8,250,000	-	-	-
43	Expand Coldstream Research Campus, 2	10,000,000	-	10,000,000	-	-	-
44	Renovate Bradley Hall	8,720,000	8,720,000	-	-	-	-
45	Construct Clinical Neurodegenerative Center	12,485,000	-	12,485,000	-	-	-
46	Expand/Renovate Art Museum in Singletary Center	27,765,000	27,765,000	-	-	-	-
47	Expand Kastle Hall Vivarium	5,898,000	-	5,898,000	-	-	-
48	Renovate Reynolds Building, Phase 2	5,000,000	-	5,000,000	-	-	-
49	Renovate Law Building	7,120,000	-	7,120,000	-	-	-
50	Renovate B & E Building	9,614,000	-	9,614,000	-	-	-

**University of Kentucky
2008-2014 Capital Plan**

		Proposed Fund Source					
Priority	Title	Scope	State Bonds	Agency Funds	Agency Bonds	Federal Funds	Other Funds (Private)
2010-2012							
51	Lease Purchase New Housing	42,865,000	-	42,865,000	-	-	-
52	Acquire Land	35,000,000	-	35,000,000	-	-	-
53	Upgrade Fume Hoods - Life Safety	9,430,000	9,430,000	-	-	-	-
54	Sprinkle Scovell Hall - Life Safety	705,000	-	705,000	-	-	-
55	Repair, Upgrade & Expand Central Plants	55,000,000	-	55,000,000	-	-	-
56	Upgrade Fume Hood in Combs Building-Life Safety	3,000,000	3,000,000	-	-	-	-
57	Construct Parking Structure #10	26,463,000	-	26,463,000	-	-	-
58	Upgrade Pharmacy Fume Hoods I - Life Safety	5,040,000	5,040,000	-	-	-	-
59	Construct New Alumni Center	12,510,000	-	12,510,000	-	-	-
60	Construct Police Headquarters Bldg	7,420,000	-	7,420,000	-	-	-
61	Lease Purchase High Performance Research Computing	6,500,000	-	6,500,000	-	-	-
62	Lease Purchase Large Scale Computing	3,500,000	-	3,500,000	-	-	-
63	Lease Purchase Network Security Hardware	1,500,000	-	1,500,000	-	-	-
64	Lease Purchase Data Warehouse/Infrastructure	1,800,000	-	1,800,000	-	-	-
65	Lease Purchase Campus Infrastructure Upgrade	3,500,000	-	3,500,000	-	-	-
66	Construct Stadium Press Box/Seating Area	32,724,000	-	-	-	-	32,724,000
67	Expand Boone Tennis Center	3,450,000	-	-	-	-	3,450,000
68	Consolidate Imaging Services - Hospital Phase 1B	8,000,000	-	8,000,000	-	-	-
69	Renovate Hospital Nursing Units	6,000,000	-	6,000,000	-	-	-
70	Construct Cancer Hospice Facility - Hospital	4,000,000	-	4,000,000	-	-	-
71	Implement Land Use Plan - Hospital	3,000,000	-	3,000,000	-	-	-
72	Upgrade Surgical Services - Hospital	2,000,000	-	2,000,000	-	-	-
73	Repair, Upgrade, Improve Bldg. Syst. - Hospital	20,000,000	-	20,000,000	-	-	-
74	Upgrade Support Services - Hospital	1,000,000	-	1,000,000	-	-	-
Total 2010-2012		\$1,554,444,000	\$935,832,000	\$459,200,000	\$108,238,000	\$0	\$51,174,000
2012-2014							
1	Construct Science Research Building 4	\$160,000,000	\$160,000,000	-	-	-	-
2	Construct Library/Knowledge Center	184,077,000	184,077,000	-	-	-	-
3	Repair, Upgrade, Improve Electrical Infrastructure	25,000,000	25,000,000	-	-	-	-
4	Repair, Upgrade, Improve Mechanical Infrastructure	22,800,000	22,800,000	-	-	-	-
5	Construct New Housing	35,220,000	-	-	\$35,220,000	-	-

**University of Kentucky
2008-2014 Capital Plan**

		Proposed Fund Source					Other Funds (Private)	
Priority	Title	Scope	State Bonds	Agency Funds	Agency Bonds	Federal Funds		
2012-2014								
6	Upgrade Clinical Services - Hospital	20,000,000	-	\$20,000,000	-	-	-	
7	Repair, Upgrade, Improve Bldg Mechanical Systems	20,000,000	20,000,000	-	-	-	-	
8	Repair, Upgrade, Improve Bldg Shell Systems	5,000,000	5,000,000	-	-	-	-	
9	Repair, Upgrade, Improve Bldg Electrical Systems	4,000,000	4,000,000	-	-	-	-	
10	Repair, Upgrade, Improve Bldg Elevator Systems	2,500,000	2,500,000	-	-	-	-	
11	Repair, Upgrade, Improve Civil/Site Infrastructure	2,200,000	2,200,000	-	-	-	-	
12	Capital Renewal Maintenance Pool	30,000,000	30,000,000	-	-	-	-	
13	Renovate/Expand Research Labs Pool	33,500,000	-	33,500,000	-	-	-	
14	Renovate Taylor Education Building	63,725,000	63,725,000	-	-	-	-	
15	Expand College of Comm & Information Studies	23,444,000	23,444,000	-	-	-	-	
16	Renovate King Library South-1930 Section	21,888,000	21,888,000	-	-	-	-	
17	Expand Coldstream Research Campus, 3	10,000,000	-	10,000,000	-	-	-	
18	Renovate Camahan House	5,430,000	-	5,430,000	-	-	-	
19	Upgrade Vivarium in Sanders Brown Building	9,125,000	-	9,125,000	-	-	-	
20	Construct Bio-Behavioral Health Building	28,655,000	28,655,000	-	-	-	-	
21	Construct KY Transportation Center Building	29,480,000	29,480,000	-	-	-	-	
22	Construct School of Music Building	90,330,000	90,330,000	-	-	-	-	
23	Renovate Reynolds Building, Phase 3	3,000,000	-	3,000,000	-	-	-	
24	Construct University Conference Center	26,253,000	-	26,253,000	-	-	-	
25	Lease Purchase Digital Village Building 4	24,230,000	-	24,230,000	-	-	-	
26	Lease Purchase New Housing	46,960,000	-	46,960,000	-	-	-	
27	Improve Life Safety Project Pool	2,451,000	2,451,000	-	-	-	-	
28	Sprinkle Buildings - Life Safety	9,560,000	9,560,000	-	-	-	-	
29	Repair, Upgrade & Expand Central Plants	55,000,000	-	55,000,000	-	-	-	
30	Acquire Land	35,000,000	-	35,000,000	-	-	-	
31	Construct Parking Structure #11	36,600,000	-	36,600,000	-	-	-	
32	Lease Purchase High Performance Research Computing	6,500,000	-	6,500,000	-	-	-	
33	Lease Purchase Large Scale Computing	3,500,000	-	3,500,000	-	-	-	
34	Lease Purchase Network Security Hardware	1,500,000	-	1,500,000	-	-	-	
35	Lease Purchase Data Warehouse/Infrastructure	1,800,000	-	1,800,000	-	-	-	
36	Lease Purchase Campus Infrastructure Upgrade	3,500,000	-	3,500,000	-	-	-	
37	Expand/Renovate Kentucky Clinic - Hospital	15,000,000	-	15,000,000	-	-	-	
38	Consolidate Imaging Services - Hospital Phase 1B	8,000,000	-	8,000,000	-	-	-	
39	Renovate Hospital Nursing Units	6,000,000	-	6,000,000	-	-	-	
40	Implement Land Use Plan - Hospital	3,000,000	-	3,000,000	-	-	-	

**University of Kentucky
2008-2014 Capital Plan**

		Proposed Fund Source					
Priority	Title	Scope	State Bonds	Agency Funds	Agency Bonds	Federal Funds	Other Funds (Private)
2012-2014							
41	Upgrade Surgical Services - Hospital	2,000,000	-	2,000,000	-	-	-
42	Repair, Upgrade, Improve Bldg. Syst. - Hospital	20,000,000	-	20,000,000	-	-	-
43	Upgrade Support Services - Hospital	1,000,000	-	1,000,000	-	-	-
Total 2012-2014		\$1,137,228,000	\$725,110,000	\$376,898,000	\$35,220,000	\$0	\$0
Grand Total 2008-2014		\$4,377,486,000	\$2,046,487,000	\$1,975,876,000	\$193,933,000	\$1,100,000	\$160,090,000

FCR 12

Office of the President
June 12, 2007

Members, Board of Trustees:

AUTHORIZATION FOR DISPOSAL OF SURPLUS PERSONAL PROPERTY FISCAL YEAR 2007-08

Recommendation: that the Board of Trustees authorize for fiscal year 2007-08 the routine disposal of surplus personal property having values of less than \$10,000 per item. These items include equipment, machinery, vehicles, library books and materials, livestock, and recyclable materials. Disposal of surplus personal property is subject to the provisions of Administrative Regulation II-1.3-3. The method of sale will generally be public auction, sealed bid, or fixed price.

Background: Kentucky Revised Statute 164A.575 requires that the Board of Trustees approve the disposal of any surplus property owned by the University of Kentucky. At its August 16, 1994 meeting, the Board of Trustees adopted Administrative Regulation II-1.3-3 which establishes policies and procedures for the disposal of personal property. General authorization from the Board for the disposal of property with a value of less than \$10,000 will allow the university to make disposal decisions in an efficient and timely manner. Disposals of surplus personal property having a value greater than \$10,000 per item are approved individually by the Board of Trustees.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 13

Office of the President
June 12, 2007

Members, Board of Trustees:

2006-07 BUDGET REVISIONS

Recommendation: that the Board of Trustees authorize and approve the following revisions to the 2006-07 budget. The budget revisions outlined below will increase the University of Kentucky's total budget by \$12,868,700 – from \$1,894,473,200 to \$1,907,341,900.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u>			
1. Income Estimates			
State Appropriations			
Operating	\$311,945,300	\$312,045,300	\$ 100,000
Gifts, Grants, and Contracts	4,595,200	4,845,200	250,000
Sales and Services of Educational Activities			
Departmental Sales and Services	18,940,300	19,395,800	455,500
Transfers	6,190,800	6,715,800	525,000
Fund Balances	85,355,600	85,905,600	550,000
Health Affairs, including University Hospital	586,561,800	596,561,800	<u>10,000,000</u> \$11,880,500
2. Expenditures			
Health Affairs			
Health Affairs and University Hospital			
General Administrative and Support Services	\$243,379,400	\$253,379,400	\$10,000,000
Provost			
College of Agriculture			
Agricultural Experiment Station			
Director	868,400	1,693,400	825,000
College of Engineering			
Administration	1,627,000	1,877,000	250,000
College of Law	6,103,700	6,259,200	155,500

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u> (continued)			
2. Expenditures (continued)			
Research			
Coldstream Research Campus	\$ 520,000	\$ 1,070,000	\$ 550,000
University Wide			
Nonrecurring Funds			
General Operating Programs	80,403,400	80,503,400	<u>100,000</u>
			\$11,880,500

Comments – Per Executive Order 2006-1016, the Arboretum and the Pilot Project Music/Arts Extension Agent Employment each receive \$50,000 in state appropriated funds in FY 2007 and FY 2008 to support program expenses.

Income from Gifts, Grants, and Contracts increased \$250,000 for the Council on Postsecondary Education's "Strategy for Statewide Engineering Education in Kentucky." The purpose of this program - - involving the University of Kentucky, the University of Louisville, Western Kentucky University, and Murray State University – is to deliver joint baccalaureate degree programs in civil, mechanical, and electrical engineering across the state in order to increase the number of engineers in Kentucky.

Departmental Sales and Services income increased \$155,500 due to reimbursement from Vanderbilt Law School and John Marshall Law School for salary expenses associated with temporary assignments.

Due to ownership rights, the proceeds from the sale of the "right of way" on Newtown Pike were recorded in Departmental Sales and Services (\$300,000) and Transfers (\$525,000). These funds will be used by the College of Agriculture to support special projects associated with the equine initiative.

Prior-year fund balances of \$550,000 will be budgeted for infrastructure improvements at the Coldstream Research Campus.

The University Hospital anticipates earning an additional \$10 million as a result of increased patient activity and volume. This income will be used for increased personnel costs and to support two additional nursing locations. In addition, the increased activity has led to additional supply costs.

	Approved <u>Budget</u>	Revised <u>Budget</u>	<u>Change</u>
B. <u>RESTRICTED FUNDS</u>			
1. Income Estimates			
Endowment and Investment	\$ 18,146,100	\$ 18,149,300	\$ 3,200
Gifts, Grants and Contracts	74,323,100	75,308,100	<u>985,000</u>
			\$ 988,200

2. Expenditures			
Provost			
Libraries	\$ 2,889,800	\$ 2,903,000	\$ 13,200
Student Aid			
Academic Competitiveness			
Grant	0	550,000	550,000
SMART Grant	0	425,000	<u>425,000</u>
			\$ 988,200

3. Comments – UK Libraries received \$13,200 in gifts and endowment income to support student workers and purchase materials for processing the Mr. Logan P. English collection located in the Libraries' Special Collections and Digital Programs.

Student Aid increased \$975,000 from participation in two new federally funded programs for Pell-eligible undergraduate students pursuant to the Higher Education Reconciliation Act of 2005. This increase funded: (1) Academic Competitiveness Grants (ACG) of \$550,000 to eligible freshmen and sophomores whose high school work, ACT/SAT, and GPA met prescribed standards, and (2) National Science and Mathematics Access to Retain Talent (SMART) Grants of \$425,000 to eligible junior and seniors whose GPA and major field of study met prescribed criteria.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 14

Office of the President
June 12, 2007

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the Board of Trustees accept the capital construction report for the three months ending March 31, 2007. This report refers only to projects that had activity within this quarter.

Background: House Bill 622, enacted in the 1982 session of the Kentucky General Assembly, authorizes the university to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period January 1, 2007 thru March 31, 2007:

There were three new contracts this quarter:

Project 2238.1	Construct Horticultural Research and Education Facility Nexus Corporation, \$294,318
Project 2239.0	Construct Patient Care Facility Turner Construction Co., \$ 27,366,352
Project 2251.0	Renovate – Expand Boone Faculty Center Jarboe Construction, \$4,515,000

One contract was completed:

Project 2239.1	PCF – Hospital GMP # 1 – Huguelet Avenue Extension Gilbane Construction Co., \$4,721,420
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Two amendments were as follows:

Project 2227.0	Fit-Up 4 th Floor in BBSRB - Design services for addition of organic synthesis laboratory and provision for vibration testing services. (+) \$ 68,000.
Project 2239.0	Construct Patient Care Facility - Addition of design services (+) \$ 4,849,887

Two change orders greater than \$25,000 were as follows:

- | | |
|-----------------|--|
| Project 2239.1 | PCF – Hospital GMP # 1 – Huguelet Avenue Extension |
| | - Intercept and re-route duct bank (+) \$65,296 |
| Project 2239.31 | PCF – Hospital – Cooling Plant # 1 Modifications |
| | - Provide for delivery of four chillers from storage to the jobsite (+) \$25,295 |

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT

This report contains capital projects that have a dollar scope value of \$600,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

Consultant: This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

Contractor: This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

Glossary

Amendment: refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

Change Order: refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

Consultant: the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

Contract administration: refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

General Contractor: the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

Feasibility Study: refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probable cost, preliminary program issues, and so forth.

Gross square feet: the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

H.B.C.: refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

Penthouse: refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

Percent complete: as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

Net assignable square feet: the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

Phase: The various stages of design and construction of a capital project, including:

- **Programming phase:** refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- **Schematic design (S/D or Phase 1):** refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- **Design development (D/D or Phase 2):** refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- **Construction document phase (C/D or Phase 3):** refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- **Bidding phase:** refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- **Construction phase (or Phase 5):** refers to the actual construction of the project by contractors.

Scope: The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

Substantial Completion: refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

Trade Contractor: Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

Major Projects
Capital Project Management Division
April 30, 2007

Construct Biological Pharmaceutical Complex Building

Project Description:

Phase I of the Biological Sciences/Pharmaceutical Complex, authorized by the 2005 General Assembly, will construct a shelled building at UK's Research Complex. Phase II will complete the 279,000 gross square foot facility that includes "shell space" to allow for the growing research needs. This "shell space" will be fitted out for research laboratories at a later date as university funds and/or grant dollars become available. This new building will provide the college with a state-of-the-art facility that will also allow the college to expand enrollment to address the shortage of pharmacists in Kentucky. The College of Pharmacy will relocate to this new facility and vacate their existing space. In addition to the current Pharmacy Building, college faculty members are housed in 10 buildings on and off campus. Consolidation of the research and teaching expertise of the eighth ranked College of Pharmacy in the U.S. will further strengthen the instruction of students and research collaboration among faculty. The vacated Pharmacy Building will be reassigned to the Department of Biology to provide needed expansion space for classrooms, research, and faculty to meet the needs of the growing student enrollment in UK's largest department. The project is expected to be complete by October 2009.

Project Status:

Design Development continued this month. A Commissioning RFP was advertised in April and a firm will be selected this month. The Construction Manager, Messer Construction, continues with construction scheduling and coordination responsibilities. The first construction bid package was advertised in Mid-April 2007. An advertisement was placed in ENR magazine to solicit bidders for proposed Bid Packages, and the Construction Manager is soliciting bidders through direct mailings. UK Procurement will begin forwarding project descriptions of proposed Bid Packages to Plan Rooms.

Construct Patient Care Facility

Project Description:

The Hospital's present patient bed tower is approximately 40 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate present anticipated technology. This project will provide the site location evaluation, building programming, planning, and design services for a new bed tower. The project design team is lead by GBBN with major sub consultants including AM Kinney, Ellerbe-Becket, Staggs and Fisher, Affiliated Engineers, THP Limited as well as a number of specialty consultants. This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. This project also includes the replacement of the hospital parking garage and a connecting bridge to clear the site for the new hospital addition as well as land acquisition and utility relocation costs.

PCF – Parking Garage (GMP # 2):

Project Description:

This package will construct a seven level parking structure that will have an approximate 1,600 car capacity. The new garage will be located on the west side of Limestone Street, between Conn Terrace and Transcript Avenue. This structure will replace the existing Medical Center parking garage that must be demolished in order to tie the new Patient Care Facility to the existing Medical Center. A future pedestrian bridge will connect the new structure to the Patient Care Facility and the design has allowed for the future addition of approximately 15,000 square feet of retail space fronting Limestone. The garage is currently scheduled to open to temporary occupancy in late Fall with full occupancy by March 2008.

Project Status:

The first twenty elevated slab pours have been made and all major foundation and below grade concrete has been placed. Forming and pouring of remaining elevated slabs will progress as curing times allow the relocation of forms and mechanical rough-in. During the curing times the next level columns will be formed and poured in anticipation of deck forming. Masonry partition wall construction is complete on the lower and ground levels and will continue to follow two floors below concrete placement. This process will repeat for several months with an anticipated one pour per week. Exterior masonry began in April,

with site work and site concrete beginning in May. The first 3 levels will be occupied in November 2007 while the remainder of the structure is completed.

PCF – Cooling Plant No. 1 (BP #3.1):

Project Description:

This package will provide the University the ability to meet the utility requirements of the new Patient Care Facility. The Central Utility Plant on Press Avenue will be expanded with a new boiler; Chiller Plant No. 1 on University Drive will be renovated with new chillers; new emergency generators will be added to Chiller Plant No. 3 to serve the Patient Care Facility; critical steam and chilled water lines will be replaced and upsized in order to provide the capacity that will be required to service Phase 1A of the Patient Bed

Project Status:

The York 2250 ton chillers have been moved into place on the north side of the building. The chilled water pumps and sump tanks for the south side of the building have been installed and the south side chillers were put into service March 22nd. Exterior electrical duct banks have been installed to accommodate the location of a new electrical switch gear. Permanent building power will be in place in early May 2007 and the entire plant will be put into operation June 1, 2007.

PCF – CUP Expansion (BP#3.2):

Project Description:

The project includes an 18,000 square foot addition to the existing CUP on Press Avenue. The expansion will include provisions for two new 125,000 lb/hr boilers and additional chillers. The structure will include an enlarged cold well and basement in the cooling section. The boilers and supporting equipment will be installed under this project.

Project Status:

The pre bid meeting for the project was held April 3rd and bids were opened April 24th. The bids were in budget and the contract process is underway. Work will begin during May and is expected to take eighteen months.

PCF – Infrastructure (BP #3B):

Project Description:

This project is the utility enabling work for the Patient Care Facility. This package includes sanitary sewer, electrical duct banks, storm culverts and tunnels outside the footprint of the new structure.

Project Status:

The trade contracts have been negotiated and work has begun to install temporary pedestrian and traffic controls. Existing utilities have been located and marked. Excavation and utility relocation will begin in May.

PCF – Building (BP #'s 4, 5 & 6):

Project Description:

This project includes:

- (BP #4) The demolition of the existing Parking Structure #4. The work will involve the start of all deep foundations up to the grade of the excavation.
- (BP #5) The construction of the new 1,022,000 gross square feet building's structural frame, fireproofing, exterior wall enclosure for all new building spaces, windows, exterior doors and roofs. Also included will be all exterior site improvements, civil design for roadways, drainage systems, landscaping, hardscape surfaces and walks.
- (BP #6) The interior fit-out partitions, interior finishes, doors and frames, ceilings, mechanical systems, electrical systems, technology systems, medical equipment and fire protection for approximately 490,000 occupiable square feet; and 532,000 square feet of shell space. The work also will include drawings and specifications for signage, interior furnishings and lighting.

Project Status:

- Mass Excavation and Foundations (BP # 4A & 4):

The 50% reconciled CD estimate for BP 4, was delivered April 9th. The reconciled estimate for the package was \$13,208,000. This portion of the project was found to be in budget and will move into Construction Documents during the month of May. The final CD documents are due for review during May.

- Core and Shell (BP # 5):

The Construction Manager, Turner Construction, and Boyken International presented estimates based on the final DD documents for BP's 4 & 5. The Core and Shell element of the project was found to be over budget. Value engineering of these elements is underway. Fifty percent construction documents are due to

be released in July 2007, and the 50% CD estimate is scheduled August 2007. The bidding of the work is currently scheduled for May 2008, with award of the various packages to occur during May and June of 2008. The work remains on schedule.

- Fit Up (BP # 6):

The documents for the Fit-Up portion (BP 6) of the PCF are now moving into the CD phase. During April the project team reviewed in detail the information provided by Turner Construction and Boyken International who have provided independent, detailed estimates. Final decisions as to what elements will be bid as part of BP 6 in 1A remains under consideration by the project team. The documents for the Fit-Up portion (BP 6) of the PCF are now moving into the CD phase.

Construct Student Health Facility

Project Description:

University Health Services will be an outpatient clinic to house University Health Services for students with support spaces and some administrative offices. This project will create additional space by constructing a new building of 72,714 gross square feet. The site will be in the courtyard framed by the Wethington Building, Warren Wright Medical Plaza and the Sanders Brown Center on Aging.

Project Status:

Construction work continues to make steady progress. Drilled piers have been completed. Basement and tunnel walls have been poured. Foundations and spread footings are completing, as well as backfilling of basement and tunnel walls. Under slab plumbing and electrical lines are being roughed in. The contractor has begun setting structural steel. The overhead power lines feeding a street light were removed giving clear access for the crane to set structural steel.

Expand Emergency Services - Hospital

Project Description:

This renovation project consists of approximately 18,400 sq. ft. of ground floor space in the Critical Care Center. Materials Management and Purchasing offices will be relocated to expand patient care services in the Emergency Department. The renovation will provide a new and separate Pediatrics Emergency area to include an exterior entrance, waiting room triage and support spaces; additional Emergency Department acute care bays, nursing space and support space; and a new digital radiology room for exclusive use by the Emergency Department.

Project Status:

Phase II demolition began on Wednesday, April 4. Phase 2A demo is 100% complete, and Phase 2B is 70% complete. Substantial completion is scheduled for June 13, 2007.

Expand & Upgrade Livestock Disease Diagnostic Lab

Project Description:

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The LDDC is an office and laboratory building with net assignable space of 3,860 square feet for offices and 28,100 square feet of laboratories and support space. It is proposed to add 6,300 and 1,200 square feet (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 square feet net). An aging incinerator is the present means of carcass disposal. An alkaline hydrolysis digester will be installed for carcass disposal after renovating 2,000 square feet (net) of space to contain the digester.

Project Status:

No change in status from previous month.

4th Floor Fit-up BBSRB

Project Description:

This project will fit-up approximately 32,750 square feet in the currently "shelled space" on the fourth floor of the BBSRB Building. The fit-up will include wet bench laboratory space, support space, and dry computational labs. The University has received a federal grant award of \$3,685,000 from the National Center for Research Resources Extramural Research Facilities Improvement Program. The space will

primarily be utilized by Pharmacology. The final construction cost estimate is \$7,618,000, and the project scope has been revised to \$9,663,925.

Project Status:

The contractor is completing interior finishes, mechanical, electrical and casework items in the wet labs. Architectural punch inspections are being conducted as spaces are completed. Work continues to progress on the Organic Synthesis Lab. The SCoBIRC Labs are scheduled to move from the 3rd floor to the 4th floor. Changes necessary to accommodate the SCoBIRC Labs have been sent to the contractor for pricing. The project schedule has been significantly impacted as a result of the mold remediation work and the changes for the SCoBIRC Labs. Substantial completion is not expected until mid-August 2007.

Fit-Up Gill Building Ground Floor - Hospital

Project Description:

Champlin-Haupt/ Kohrs Lonnemann Heil are the consultant's designing to a \$1,550,000 construction budget. This Project encompasses renovations to 5,000 square feet of existing Ground Floor Shell Space within the Gill Heart Institute to accommodate the creation of a new Cardiology Center, including Cardiology Diagnostics and Clinical Research Programs. The scope of work shall include, but not be limited to the following: Cardiology Diagnostic Suite that shall consist of: Waiting room, Interview, Reception, Nurse Station, Prep/Recovery, Patient Toilet/Dress, Soiled Workroom, MRI/CT Control, CT Exam, MRI Exam (1.5T), MRI Equipment (1.5T), Storage, and Cardiology Reading. The Clinical Research Suite shall consist of: Clinical Research Offices, MRI Control, MRI Exam (3.0T), MRI Equipment (3.0T), Clinical Research Workroom, and Staff Toilet.

Project Status:

The University is obtaining services of a shielding designer to work with UK and Champlin Haupt to complete the design of the two MRI rooms. UK Radiation Safety is designing the shielding for the CT room. The hospital has issued a Purchase Order for the two new MRI units and the CT scanner. There was an eight day design delay for the chiller piping due to changes in the manufacturer, as requested by the University. Phase III Construction Documents were delivered on April 17, 2007, and a review meeting was held on April 30, 2007.

Lease Purchase Hospital Dining Facilities and Equipment (Cafeteria Renovation):

Project Description:

This project consists of renovations to existing University Hospital dining facilities (cafeteria and cafe). The project is located on the first floor of the hospital and will meet the requirements of the private vendor who will manage the dining facilities. Facility is in need of updating for aesthetics, patron flow, utility upgrade and new equipment. The project will include new finishes and serving equipment

Project Status:

During the month of April two bid packages went out to bid. The construction package will bid May 4, 2007, and the equipment package will bid May 15th. Construction is scheduled to begin on May 14th and will complete in late summer.

Memorial Coliseum Expansion

Project Description:

The basketball practice facility consists of the addition of men's and women's basketball offices, practice facility, weight training, medical support, locker/shower, facilities ticket office, administrative offices, and minor renovation of existing locker rooms. The estimated total cost of the facility is \$30 million, consisting of the \$2.5 million for design plus \$27.5 million for construction. This project involves the design of a 92,300 square foot Basketball Practice Facility to enhance the University's ability to attract top student athletes. The new facility will alleviate scheduling problems at Memorial Coliseum and will provide amenities in line with other NCAA basketball facilities in the country. The practice facility will be connected to Memorial Coliseum, which is located near the intersection of Lexington Avenue and the Avenue of Champions, and will renovate 7,700 square feet to support volleyball, gymnastics, offices and ticketing. Sherman-Carter-Barnhart, Inc. is the prime architect for the project. They are working in conjunction with HNTB as the design architect, Staggs and Fisher as the M/E/P engineers, and the Structural Design Group as the structural engineer. Fuller, Mossbarger, Scott and May perform geotechnical and survey work for the project.

Project Status:

The contractor continues to work on noted punchlist items. In addition, exterior sitework still has to be completed with favorable weather conditions. Renovation work has begun at the Court Level and First Level of the existing Coliseum, with demolition of existing walls, plumbing rough-in, and layout of new walls. The new ramp is in place leading to the existing Coliseum gym floor.

Renovate 3rd Floor Lucille Little Library

Project Description:

This project addresses life safety and ADA concerns in the Little Library in addition to providing permanent instructional and research space for Kentucky's only accredited Library and Information Science program which places graduates in industry, research, non-profit, and government organization. This project includes final renovations to provide new classrooms, student facilities, faculty offices, and a student technology center for the School of Library and Information Sciences. Approximately, 20,380 gross square feet is being renovated in all phases.

Project Status:

The project went out to bid, and a Pre-Bid Meeting was held on April 24th. Bids are to be received in early May, and a Pre-Construction Meeting will be held shortly thereafter.

Renovate Central Vivarium

Project Description:

The project will involve the purchase of a new Primate Cage Wash unit to be installed in a renovated cage wash area within the existing DLAR facility.

Project Status:

A cost proposal for the architectural modifications was submitted to Denham-Blythe Company and is being reviewed. Bid proposals for a new cage washer and autoclave are to be received in early May.

Renovate - Expand Boone Faculty Center

Project Description:

The Boone Faculty Center was built in 1986 and has only received minor maintenance repairs in the interim. This project will renovate the original 19,561 square feet of the Boone Faculty Center on the Lexington Campus and construct an addition of approximately 3,300 square feet. The center as constructed is able to host small to medium size meetings, functions, luncheons and dinners, but due to space constraints it is unable to accommodate the numerous larger scale conferences and symposia that university departments frequently host. These departments then contract with local hotels and the convention center. Since the center is to operate and be maintained on a self supporting basis the inability to reasonably host the 300 to 400 attendee events causes a loss of potential campus dollars to off campus sites, that if able to be captured could help defray the annual operating expenses of the center. The project is expected to be completed by December 2007.

Project Status:

The project is on schedule with the bulk of the demolition work complete. Framing of metal stud partitions and soffits is in progress, and excavation for subsurface plumbing and electrical lines is 75% complete. Rough-in of electrical conduit and sanitary drain piping is in progress, and installation of new ductwork has begun.

Replace Steam and Condensate Pipe

Project Description:

This project will replace central steam and condensate piping systems. The Central Utilities Systems of the University of Kentucky have proven to be very economical to maintain and operate. It reduces the construction cost of new building by eliminating redundancy in buildings and reduces the operations cost of new and existing buildings by providing reliable and low cost energy alternatives at central locations. The Central Steam and Condensate system on the University of Kentucky Campus is an extensive layout of underground piping, tunnels, and steam pits. A large portion of this system has deteriorated through corrosion, and much of it is beyond design life expectancy. Condensate returns and steam lines need to be improved. Most of the direct bury steam lines consist of Class A conduit systems, which have deteriorated and corroded to the point that increased loads on the central heating system have been experienced.

Repairing these steam and condensate lines will improve the ability of the campus heating system to deliver steam to all campus buildings.

Project Status:

Bids were received at the end of April and were within budget. A Pre-construction meeting will be scheduled during mid-May.

Student Housing - Supporting Utility Plant Upgrades (Boiler/Chilled Water)

Project Description:

This project includes expanding the Virginia Ave. Central Utilities Plant to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Project Status:

The funds from this project are scheduled to be expended for the purchase the 125,000 lb/hr boiler with emissions controls for installation in the expanded CUP. The purchase order for the boiler has been issued and the production of the boiler is underway. Delivery of the unit is scheduled for mid-November 2007.

Renovate Cancer Center Radiologic Facility

Project Description:

The University of Kentucky Hospital needs to significantly upgrade and improve the current clinical space in the Lower Level of University Hospital. This will include therapy rooms, clinic exam areas, infusion space, office, education, and support space for the clinical practice. Given that the clinic will need to continue operations during the project, it is anticipated that areas will be taken down, or phased, during the construction. Surge space to allow the phasing has been identified. The addition will be located along the west side of the Markey Cancer Center/Ben F. Roach Cancer Care Facility, while the renovation will occur in existing research space on the on the Lower Level of the same building. Development of Radiation Medicine clinical areas is essential to growth of cancer programs supportive of the strategic plans for UK HealthCare. Current facilities are split between the Lower Level of the hospital and the Roach Building. Several initial facility studies have been completed that identified expansion and renovation of the areas of the Lower Level of the Roach Building to respond to immediate needs and is consistent with the Ambulatory Master Plan

Project Status:

Consultant interviews were held, and BSA Life Structures was selected as the Prime Architectural Consultant. A contract was executed, and a kick-off meeting was held to implement the project.

Upgrade Surgical Services

Project Description:

Stengel-Hill/CMTA are the consultant's designing to a \$3,369,450 construction budget. This project will renovate existing space to develop a Same Day Surgery Intake Unit; will relocate surgical offices adjacent to the operating rooms in the Critical Care Center to expand the Post Anesthesia Care Unit; will develop a Pre-op, PACU, and waiting space in the main hospital building dedicated to pediatric patients; and will renovate the 1st floor surgical waiting area. The current layout and size of unit causes numerous inefficiencies in the department. The amount of PACU beds is too few based on industry standards. This renovation will allow for improved operational efficiencies of the operating rooms and will provide for an improved patient experience with the development of the same day surgery intake unit. This space is connected to the Hospital's central chilled water and steam systems.

Project Status:

Air Handling Unit #7 was replaced the weekend of 3/23/07 - 3/25/07. Work on Phase II in PACU in the Critical Care Center is underway. The demo is 95% complete; studs are 100% complete; electrical back boxes are installed; conduit is 20% complete; duct work is 40% complete; the mechanical contractor is currently installing piping below in the space in the Trauma ICU area. Substantial completion is scheduled for May 2007.

ARS - Agriculture Project

Project Description:

The Forage Animal Production Research Laboratory will serve the Forage Animal Production Research Unit for the USDA - ARS on the campus of the University of Kentucky. The mission of the FAPRU is to improve productivity, profitability, competitiveness and environmental sustainability of forage based

enterprises within the transition zone of the Eastern and Midwestern United States. Multidisciplinary teams to work to identify, evaluate, and manipulate genetic and physiological factors, using for the enhancement of food animal and equine health and performance in forage based enterprises as well as to improve forage plant persistence, quality, and production. Three to four story building with approximately 65,000 gross square feet for research labs, equipment and support rooms, offices and conference rooms. The program also includes approximately 12,000 gross square feet for greenhouses and head house.

Project Status:

No change this past month.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Biological Pharmaceutical Complex Building

Project Number: 2235.00

Project Description:

Scope: \$132,792,000

Phase I of the Biological Sciences/Pharmaceutical Complex, authorized by the 2005 General Assembly, will construct a shelled building at UK's Research Complex. Phase II will complete the 279,000 gross square foot facility that includes "shell space" to allow for the growing research needs. This "shell space" will be fitted out for research laboratories at a later date as university funds and/or grant dollars become available. This new building will provide the college with a state-of-the-art facility that will also allow the college to expand enrollment to address the shortage of pharmacists in Kentucky. The College of Pharmacy will relocate to this new facility and vacate their existing space. In addition to the current Pharmacy Building, college faculty members are housed in 10 buildings on and off campus. Consolidation of the research and teaching expertise of the eighth ranked College of Pharmacy in the U.S. will further strengthen the instruction of students and research collaboration among faculty. The vacated Pharmacy Building will be reassigned to the Department of Biology to provide needed expansion space for classrooms, research, and faculty to meet the needs of the growing student enrollment in UK's largest department. The project is expected to break ground in April 2007 and be complete by October 2009.

Consultant: EOP Architects

Contract # A061150/A071060

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$10,585,020
Cumulative Amendment Amount:	
Revised Contract Amount:	\$10,585,020

Contractor: Messer Construction Co. (Construction Manager At-Risk)

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

*Messer's contract was awarded by RFP process.

Contract # 4500007696

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:	\$7,681,036
Cumulative Change Order Amount:	
Revised Contract Amount:	\$7,681,036
Contract Percent Complete:	

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Horticultural Research and Education Facility

Project Number: 2238.10

Project Description:

Scope: \$860,000

Expanded plant science technology will be a major contribution to economic expansion in the Commonwealth. The Horticultural Research and Education Center at South Farm is the key component in the Statewide plan to develop research/extension infrastructure in support of new and existing horticultural industries. The programs through this facility will explore, develop, evaluate, demonstrate, and communicate horticultural crop opportunities through innovative research and educational programs that enhance diversification, profitability, and sustainability of Kentucky farms and landscapes. It will become UK's most visible commitment to enhancing the viability of nontraditional enterprises and family farms. The scope of this project is not yet clearly defined. It will follow and supplement the intent of Project #2154.0 - South Farm Improvements. These projects will serve to develop a horticulture research facility on the South Farm. Included in the scope are greenhouse and headhouse facilities, research laboratories, storage building for equipment and pesticides, environmental rooms, etc.

Consultant: UK College of Ag In-House Design

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Nexus Corporation

Contract # 4500007159

Original Contract Amount:

\$294,318

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$294,318

Revised Contract Amount:

\$294,318

High Bid: \$389,889

Contract Percent Complete:

10%

Number of Bids: 3

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility

Project Number: 2239.0

Scope: \$450,000,000

Project Description:

This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge as well as land acquisition and utility costs.

Consultant: GBBN, Inc.

Contract #	A061080	Original Contract Amount:	\$30,400,226
Total Amendments to Date:		Cumulative Amendment Amount:	\$5,148,931
Describe all Amendments:		Revised Contract Amount:	\$35,549,157

Amendment # 1, 9/12/06, was approved for \$299,044 for additional design services required to design two additional floors for the parking garage. This amendment also provides for additional survey services associated with the rerouting of the ductbank; the addition of 12 receptor sources to be studied as part of the existing wind study; and the need for additional storm water modeling to review the impact on the new Patient Care Facility during heavy rain storm events. **Amendment # 2, 2/13/07, was approved for additional design services associated with increased scope including but not limited to increased renovation of areas in the existing hospital (all phases); development of early bid packages for infrastructure and site utility packages and temporary emergency department access; development of on additional bed wing (all phases); development of partial cafeteria (all phases); design of fitup for two additional floors (shell only - all phases); design of a redundant communications feed (all phases); additional design services associated with security systems; development of design of Phase 1B air handlers (thru DD's) and additional design of costs associated with relocation and installation of above ground and underground fuel tanks (all phases). Also included is additional reimbursable services for added geotechnical rock investigation; additional site survey and added construction testing.**

Contractor I: Gilbane (Construction Manager At-Risk)

Note: This is a 'CM-At-Risk' contract for Design Phase services only.

Contract #	4500001350	Original Contract Amount:	\$507,245
Total Change Orders to Date:		Cumulative Change Order Amount:	
Low Bid:		Revised Contract Amount:	\$507,245
High Bid:		Contract % complete:	100%
Number of Bids:			

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Contractor II: Turner Construction Co.

Note: This is a 'CM-At-Risk' contract. The amount will be increased as sub-contracts are bid and added. *Turner's contract was awarded by RFP process.

Contract #	4500007616	Original Contract Amount:	\$27,366,352
Total Change Orders to Date:		Cumulative Change Order Amount:	
Low Bid:		Revised Contract Amount:	\$27,366,352
High Bid:		Contract % complete:	0%
Number of Bids:			

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Hospital GMP # 1 - Huguelet Avenue Extension

Project Number: 2239.10

Project Description:

Scope: \$4,725,000

GMP#1. This is a portion of the larger Patient Care Facility Project (2239.0). This package will link Huguelet Drive to Virginia Avenue at the current Clinic Drive location. The new road will provide an east-west link from University Drive to the west side of campus at Virginia Avenue. New signalization and bike paths are included to provide additional safety along the route. New entries to existing parking lots will be constructed and perpendicular parking will be removed between University Drive and Rose Street. The entire route will receive new landscaping and way-finding signage that will tie to both the Medical Center and Main Campus standards.

Consultant: Wilbur Smith Associates

Contract # A061050

Original Contract Amount: \$400,000

Total Amendments to Date: 3

Cumulative Amendment Amount: \$66,500

Describe all amendments :

Revised Contract Amount: \$466,500

Amendment #1, 04/11/2006, an increase of \$30,000.00, was approved for additional design services as required for a flashing beacon at the clinic garage entrance and Huguelet Drive as well as street lighting and landscaping for the entire length of Huguelet Drive between Rose Street and University Drive. **Amendment #2**, 06/13/2006, an increase of \$32,000.00, was approved for redesign of utilities in order to leave existing steam lines in place as a cost saving measure. This amendment also provides for the development of a staging plan for the closure of Rose Street thru the final opening of the new hospital to maintain access to the existing entrance to the hospital and the emergency room.

Amendment #3, 11/16/06, an increase of \$4,500 was approved to provide a traffic signal warrant analysis for submission to KYTC with the permit application as required. **No amendments this quarter.**

Contractor: Gilbane Construction Company (GMP #1)

Contract # 4500001350

Original Contract Amount: \$4,442,101

Total Change Orders to Date: 46

Cumulative Change Order Amount: \$279,319

Low Bid:

Revised Contract Amount: \$4,721,420

High Bid:

Contract Percent Complete: 100%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 34, 1/19/07, was approved for an increase of \$65,296 to provide for the removal and replacement of wiring and to install temporary service in order to intercept and reroute a duct bank that was not accounted for in design.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Hospital GMP # 2 - Parking Garage

Project Number: 2239.20

Project Description:

Scope: \$32,766,968

GMP#2. This is a portion of the larger Patient Care Facility Project (2239.0). This package will construct a seven level parking structure that will have an approximate 1,600 car capacity. The new garage will be located on the west side of Limestone Street, between Conn Terrace and Transcript Avenue. This structure will replace the existing Med Center parking garage that must be demolished in order to tie the new Patient Care Facility to the existing Medical Center. A future pedestrian bridge will connect the new structure to the Patient Care Facility and the design has allowed for the future addition of approximately 15,000 square feet of retail space fronting Limestone.

Consultant: GBBN, Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Gilbane Building Company (GMP #2)

Contract # 4500001350

Original Contract Amount:

\$32,766,968

Total Change Orders to Date: 2 (time ext. only)

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

\$32,766,968

High Bid:

Contract Percent Complete:

35%

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

PCF - Hospital - Cooling Plant # 1 Modifications

Project Number: 2239.31

Project Description:

Scope: \$12,850,000

This is a portion of the larger Patient Care Facility Project (2239.0). This package will provide the University the ability to meet the utility requirements of the new Patient Care Facility. The Central Utility Plant on Press Avenue will be expanded with a new boiler; Chillier Plant No. 1 on University Drive will be renovated with new chillers; new emergency generators will be added to Chillier Plant No. 3 to serve the Patient Care Facility; critical steam and chilled water lines will be replaced and upsized in order to provide the capacity that will be required to service Phase 1A of the Patient Bed Tower.

Consultant: GBBN, Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Messer Construction Co.

Contract # 4500005952

Original Contract Amount:

\$4,252,000

Total Change Orders to Date: 4

Cumulative Change Order Amount:

\$58,438

Low Bid: \$4,252,000

Revised Contract Amount:

\$4,310,438

High Bid: \$4,464,000

Contract Percent Complete:

75%

Number of Bids: 3

Describe all change orders greater than \$25,000.00:

Change Order # 1, 1/8/07, was approved for an increase of \$25,295 to provide for the delivery of 4 chillers from storage to the jobsite.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Hospital - CUP Expansion

Project Number: 2239.32

Scope: \$13,150,000

Project Description:

This is a portion of the larger Patient Care Facility Project (2239.0). The project includes an 18,000 square foot addition to the existing Central Utilities Plant on Press Avenue. The expansion will include provisions for two new 125,000 lb/hr boilers and additional chillers. The structure will include an enlarged cold well and basement in the cooling section. The boilers and supporting equipment will be installed under this project. Chiller purchases are not contemplated at this time.

Consultant: GBBN Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Construct Student Health Facility

Project Number: 2228.00

Scope: \$24,000,000

Project Description:

University Health Services will be an outpatient clinic with support spaces and some administrative offices. This project will create additional space by constructing a new building of 72,714 gross square feet. The site will be in the courtyard framed by the Wethington Building, Warren Wright Medical Plaza and the Sanders Brown Center on Aging.

Consultant: Omni Architects

Contract # A061070

Original Contract Amount:

\$1,332,600

Total Amendments to Date: 1

Cumulative Amendment Amount:

\$77,203

Describe all amendments :

Revised Contract Amount:

\$1,409,803

Amendment #1, 05/11/2006, an increase of \$77,203.00, was approved for additional programming and design services required for revisions to the BSA study, for adding a high pressure steam line through the Student Health Facility connecting inside the existing AAH Tunnel and to provide more thorough survey and geotechnical reports than originally anticipated. **No amendments this quarter.**

Contractor: Turner Construction Company (Construction Manager-At-Risk)

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

*Turner's contract was awarded by RFP process.

Contract # 4500004856

Original Contract Amount:

\$18,726,851

Total Change Orders to Date: 2

Cumulative Change Order Amount:

\$30,816

Low Bid:

Revised Contract Amount:

\$18,757,667

High Bid:

Contract Percent Complete:

20%

Number of Bids:

Describe all change orders greater than \$25,000.00:

* No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Expand Emergency Services - Hospital

Project Number: 2236.00

Project Description:

Scope: \$4,750,000

This renovation project consists of renovating approximately 18,400 square feet of ground floor space in the Critical Care Center. Materials Management and Purchasing Offices will be relocated to expand patient care services in the Emergency Department. The renovation will provide a new and separate Pediatrics Emergency area to include an exterior entrance, waiting room triage and support spaces; additional Emergency Department acute care bays, nursing space and support space; provide a new digital radiology room for exclusive use by the Emergency Department.

Consultant: GBBN, Inc.

Contract # A061090

Original Contract Amount: \$265,000

Total Amendments to Date: 2

Cumulative Amendment Amount: \$105,452

Describe all amendments :

Revised Contract Amount: \$370,452

Amendment #1, 11/08/05, was approved for \$87,500.00 for additional design services to design replacement air handlers, exhaust fans and return fans on the ground floor. It has been determined that the condition and capacity of the existing fans are inadequate to serve the renovated space. **Amendment #2**, 7/13/06, was approved for \$17,952.00 for additional design services for redesigning the Greeter/Security desk; revised electrical drawings due to additional patient monitoring equipment; revised finishes schedule due to requested changes by the Pediatric Department and commissioning of new Air Handling Units. **No amendments this quarter.**

Contractor: Eubank & Steele Construction

Contract # 4500003852

Original Contract Amount: \$3,594,750

Total Change Orders to Date: 23

Cumulative Change Order Amount: \$59,313

Low Bid: \$3,594,750

Revised Contract Amount: \$3,654,063

High Bid: \$3,821,000

Contract Percent Complete: 61%

Number of Bids: 3

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Expand & Upgrade Livestock Disease Diagnostic Lab

Project Number: 2234.00

Project Description:

Scope: \$8,500,000

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The Livestock Disease Diagnostic Lab is an office and laboratory building with net assignable space of 3,860 square feet for offices and 28,100 square feet of laboratories and support space. It is proposed to add 6,300 and 1,200 square feet (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 square feet net).

Consultant: CMW, Inc.

Contract # A061100

Original Contract Amount: \$648,000

Total Amendments to Date: 1

Cumulative Amendment Amount: \$90,000

Describe all amendments :

Revised Contract Amount: \$738,000

Amendment # 1, 12/12/06, was approved for \$90,000 to provide additional design services to accommodate a phased implementation consistent with the legislative budget action. Includes the additional design to study the new tissue digester effluent impact of the LFUCG Town Branch Wastewater Treatment Plant. **No amendments this quarter.**

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Fit-Up 4th Floor in BBSRB

Project Number: 2227.00

Project Description:

Scope: \$9,663,925

This project will fit-up approximately 32,750 square feet in the currently "shelled space" on the fourth floor of the BBSRB Building. The fit-up will include wet bench laboratory space, support space, and dry computational labs. The University has received a federal grant award of \$3,685,000 from the National Center for Research Resources Extramural Research Facilities Improvement Program. The space will primarily be utilized by Pharmacology.

Consultant: A.M. Kinney

Contract # A051120

Original Contract Amount: \$415,000

Total Amendments to Date: 2

Cumulative Amendment Amount: \$92,000

Describe all amendments :

Revised Contract Amount: \$507,000

Amendment #1, 04/11/2006, an increase of \$24,000, was approved for additional design services required for development of the Organic Synthesis Laboratory space in the southwest corner of the Fourth Floor Wet Lab area of the BBSRB. **Amendment # 2**, 2/13/07, an increase of \$68,000 was approved to extend construction phase services as well as provide vibration testing services and design services to renovate the 4th floor to accommodate SCoBIRC labs.

Contractor: WS Construction

Contract # 4500001420

Original Contract Amount: \$5,342,000

Total Change Orders to Date: 18

Cumulative Change Order Amount: \$291,632

Low Bid: \$5,342,000

Revised Contract Amount: \$5,633,632

High Bid: \$5,657,000

Contract Percent Complete: 95%

Number of Bids: 4

Describe all change orders greater than \$25,000.00:

Change Order # 11, 10/16/06, was approved for \$27,365.10 for the provision of power for lights and receptacles in the penthouse. The power provisions were not defined in the panel schedules, but should be installed as part of the project scope. **Change Order # 12**, 11/29/06, was approved for \$206,837.85 for an addition of an organic synthesis laboratory to provide an isolated lab space and control chemical fumes. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Fit-Up Gill Building Ground Floor - Hospital

Project Number: 2253.00

Project Description:

Scope: \$6,500,000

Champlin-Haupt/ Kohrs Lonnemann Heil are the consultant's designing to a \$1,550,000 construction budget. This Project encompasses renovations to 5,000 square feet of existing Ground Floor Shell Space within the Gill Heart Institute to accommodate the creation of a new Cardiology Center, including Cardiology Diagnostics and Clinical Research Programs. The scope of work shall include, but not be limited to the following: Cardiology Diagnostic Suite that shall consist of: Waiting room, Interview, Reception, Nurse Station, Prep/Recovery, Patient Toilet/Dress, Soiled Workroom, MRI/CT Control, CT Exam, MRI Exam (1.5T), MRI Equipment (1.5T), Storage, and Cardiology Reading. The Clinical Research Suite shall consist of: Clinical Research Offices, MRI Control, MRI Exam (3.0T), MRI Equipment (3.0T), Clinical Research Workroom, and Staff Toilet.

Consultant: Champlin/Haupt

Contract # A071100

Original Contract Amount:

\$155,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$155,000

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Lease Purchase Hospital Dining Facilities and Equipment (Cafeteria Renovation)

Project Number: 2262.00

Project Description:

Scope: \$1,100,000

This project consists of renovations to existing University Hospital dining facilities (cafeteria and cafe). The project is located on the first floor of the hospital and will meet the requirements of the private vendor who will manage the dining facilities. Facility is in need of updating for aesthetics, patron flow, utility upgrade and new equipment. The project will include new finishes and serving equipment.

Consultant: L2M

Contract # A071000FF

Original Contract Amount:

\$47,500

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$47,500

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Memorial Coliseum Expansion

Project Number: 2196.00

Scope: \$30,000,000

Project Description:

This project consists of the addition of men's and women's basketball offices, practice facility, weight training, medical support, locker/shower, facilities ticket office, administrative offices, and minor renovation of existing locker rooms. The estimated total cost of the facility is \$30 million, consisting of the \$2.5 million for design plus \$27.5 million for construction. This project involves the design of a 92,300 square foot Basketball Practice Facility to enhance the University's ability to attract top student athletes. The new facility will alleviate scheduling problems at Memorial Coliseum and will provide amenities in line with other NCAA basketball facilities in the country. The practice facility will be connected to Memorial Coliseum, which is located near the intersection of Lexington Avenue and the Avenue of Champions, and will renovate 7,700 square feet to support volleyball, gymnastics, offices and ticketing.

Consultant: Sherman-Carter-Barnhart/HNTB

Contract # A041080

Original Contract Amount: \$2,000,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$2,000,000

No amendments this quarter.

Contractor: Messer Construction (Construction Manager-At-Risk)

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

*Messer's contract was awarded by RFP process.

Contract # 4500000169

Original Contract Amount: \$25,250,566

Total Change Orders to Date: 169

Cumulative Change Order Amount: \$649,089

Low Bid:

Revised Contract Amount: \$25,899,655

High Bid:

Contract Percent Complete: 95%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order #27, 03/16/06, was approved for \$65,940.07, to adjust contract amount for actual length of drilled piers over the base length included in the base bid. **Change Order #33**, 03/31/06, was approved for -\$25,240.75 to adjust contract amount after receiving donation from Harrod Concrete & Stone. **Change Order #31**, 04/04/2006, was approved for -\$52,052.66, to delete the cost of finish hardware for Aluminum Doors from the Doors, Frames and Hardware bid as it was already included in another trade contractor's scope of work. **Change Order #32**, 04/04/2006, was approved for \$293,576.97, to provide a flexible security control system to facilitate frequent changes due to turnover of student athletes. Revision primarily consists of replacement of conventional mechanical hardware with stand alone electronic access control hardware. **Change order #75**, 08/23/2006, was approved for \$26,773.54 to install additional light fixtures in the men and women's basketball locker rooms to provide even illumination in these locations. **Change order #88**, 09/22/2006, was approved for \$26,384.92, to provide engraved pavers at front entrance. Donor brick pavers will acknowledge donations to the UK MCE Building Fund. **Change Order # 101**, 11/16/06, was approved for a decrease of \$39,115.30 to provide for the deletion of ductwork cleaning. The project work site was kept in such a condition that duct cleaning is unnecessary. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate 3rd Floor Little Library

Project Number: 2255.00

Project Description:

Scope: \$1,900,000

This project addresses life safety and ADA concerns in the Little Library in addition to providing permanent instructional and research space for Kentucky's only accredited Library and Information Science program which places graduates in industry, research, nonprofit, and government organizations. This project includes final renovations to provide new classrooms, student facilities, faculty offices, and a student technology center for the School of Library and Information Sciences. Approximately, 20,380 gross square feet is being renovated in all phases.

Consultant: Pearson & Peters Architects PLC

Contract # A071120

Original Contract Amount: \$112,875

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$112,875

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Renovate - Expand Boone Faculty Center

Project Number: 2251.00

Project Description:

Scope: \$6,000,000

The Boone Faculty Center was built new in 1986 and has had only minor maintenance repairs in the interim. This project will renovate the original 19,561 square feet of the Boone Faculty Center on the Lexington Campus and construct an addition of approximately 3,300 square feet. The center as constructed is able to host small to medium size meetings, functions, luncheons and dinners, but due to space constraints it is unable to accommodate the numerous larger scale conferences and symposia that university departments frequently host. These departments then contract with local hotels and the convention center. Since the center is to operate and be maintained on a self supporting basis the inability to reasonably host the 300 to 400 attendee events causes a loss of potential campus dollars to off campus sites, that if able to be captured could help defray the annual operating expenses of the center.

Consultant: EOP Architects

Contract # A071070

Original Contract Amount: \$275,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$275,000

No amendments this quarter.

Contractor: Jarboe Construction

Contract # 4500007653

Original Contract Amount: \$4,515,000

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$4,515,000

Revised Contract Amount: \$4,515,000

High Bid: \$4,724,000

Contract Percent Complete: 3%

Number of Bids: 2

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Replace Steam and Condensate Piping - Multiple Projects**(04-2006)**

Project Number: 2248.00

Scope: \$3,223,900

Project Description:

This project will replace central steam and condensate piping systems. The Central Utilities Systems of the University of Kentucky have proven to be very economical to maintain and operate. It reduces the construction cost of new building by eliminating redundancy in buildings and reduces the operations cost of new and existing buildings by providing reliable and low cost energy alternatives at central locations. The Central Steam and Condensate system on the University of Kentucky Campus is an extensive layout of underground piping, tunnels, and steam pits. A large portion of this system has deteriorated through corrosion, and much of it is beyond design life expectancy. Condensate returns and steam lines need to be improved. Most of the direct bury steam lines consist of Class A conduit systems, which have deteriorated and corroded to the point that increased loads on the central heating system have been experienced. Repairing these steam and condensate lines will improve the ability of the campus heating system to deliver steam to all campus buildings.

Consultant: Staggs & Fisher

Contract # A071080

Total Amendments to Date:

Describe all amendments :**No amendments this quarter.**

Original Contract Amount:

\$276,000

Cumulative Amendment Amount:

Revised Contract Amount:

\$276,000

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Student Housing - Supporting Utility Plant Upgrades

Project Number: 2153.30

Scope: \$1,275,000

Project Description:

This project includes expanding the Virginia Avenue Central Utility Plant to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Consultant: AM Kinney

Contract # A041000GG

Total Amendments to Date:

Describe all amendments :**No amendments this quarter.**

Original Contract Amount:

\$26,315

Cumulative Amendment Amount:

Revised Contract Amount:

\$26,315

Contractor: English Boiler & Tube Inc.

*English Boiler's contract was awarded by RFP process.

Contract # X500192

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:**No change orders greater than \$25,000.00 this quarter.**

Original Contract Amount:

\$245,155

Cumulative Change Order Amount:

Revised Contract Amount:

\$245,155

Contract Percent Complete:

98%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Upgrade Surgical Services

Project Number: 2231.00

Scope: \$4,500,000

Project Description:

This project will renovate existing space to develop a Same Day Surgery Intake Unit; will relocate surgical offices adjacent to the operating rooms in the Critical Care Center to expand the Post Anesthesia Care Unit; will develop a Pre-op, PACU, and waiting space in the main hospital building dedicated to pediatric patients; and will renovate the 1st floor surgical waiting area. The current layout and size of unit causes numerous inefficiencies in the department. The amount of PACU beds is too few based on industry standards. This renovation will allow for improved operational efficiencies of the operating rooms and will provide for an improved patient experience with the development of the same day surgery intake unit. This space is connected to the Hospital's central chilled water and steam systems (coal or gas fired boilers/chillers).

Consultant: Stengel Hill Architects

Contract # A061140

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$324,000

Cumulative Amendment Amount:

Revised Contract Amount: \$324,000

Contractor: Woodford Builders

Contract # 450000004839

Total Change Orders to Date: 9

Low Bid: \$3,280,000

High Bid: \$4,199,700

Number of Bids: 5

Describe all change orders greater than \$25,000.00:

No changes orders greater than \$25,000.00 this quarter.

Original Contract Amount: \$3,328,150

Cumulative Change Order Amount: \$23,744

Revised Contract Amount: \$3,351,894

Contract Percent Complete: 65%

FCR 15

Office of the President
April 24, 2007

Members, Board of Trustees:

JENNIFER S. QUINN, M.D. AND JEFFRY N. QUINN PLEDGE TO THE JENNIFER
S. QUINN, M.D. AND JEFFRY N. QUINN PROFESSORSHIP
IN PEDIATRIC ONCOLOGY

Recommendation: that the Board of Trustees accept a pledge of \$50,000 from Jennifer S. Quinn, M.D. and Jeffry N. Quinn of St. Louis, Missouri to create and endow the Jennifer S. Quinn, M.D. and Jeffry N. Quinn Professorship in Pediatric Oncology in the College of Medicine and submit this pledge of \$50,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Jennifer Quinn is a 1982 alumnae of the College of Arts and Sciences, and a 1986 graduate of the College of Medicine. Mr. Quinn received a bachelor of science degree and a degree of juris doctor from the university. The endowment will be awarded to a faculty member in the College of Medicine, who is linked to the research of the Children's Miracle Network Research Chair in Pediatrics, No. 2.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 16

Office of the President
April 24, 2007

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE SANDERS-BROWN CENTER ON AGING
FOUNDATION ENDOWED RESEARCH FUND

Recommendation: that the Board of Trustees accept gifts of \$12,500 and pledges of \$40,000 from members of the Center on Aging Foundation Board of Directors of Lexington, Kentucky to add to the existing Sanders-Brown Center on Aging Foundation Endowed Research Fund in the Sanders-Brown Center on Aging in the College of Medicine and submit these gifts and pledges of \$52,500 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Members of the Sanders-Brown Center on Aging Foundation Board of Directors want to show their commitment to the research conducted at the center. This research fund will support up to three research grants each year that will have the most impact on treating and curing age-related diseases.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 17

Office of the President
April 24, 2007

Members, Board of Trustees:

HOWARD A. SETTLE GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$10,000 and a pledge of \$40,000 from Howard A. Settle of Lexington, Kentucky to create and endow the Professorship for the Study of Complementary Healthcare in the College of Nursing and submit this gift and pledge of \$50,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Mr. Howard A. Settle, a 1970 graduate of the College of Business and Economics, is president and CEO of RAAM Global Energy Company and its subsidiaries. One of his main interests is complementary healthcare, and he wants to provide opportunities for further research in this area.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 18

Office of the President
April 24, 2007

Members, Board of Trustees:

PLEDGES TO THE WILLIAM H. RAY PROFESSORSHIP

Recommendation: that the Board of Trustees accept gifts of \$16,105 and pledges of \$49,000 from multiple donors to create and endow the William H. Ray Professorship in Landscape Architecture in the College of Agriculture and submit these pledges of \$65,105 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: William H. Ray bequeathed \$34,000 for this professorship. He taught the professional practice course in the Department of Landscape Architecture for many years. He and current department chair, Horst Schach, were leaders in initiating a continuing education requirement for the licensure of landscape architects in Kentucky. Many of his friends and colleagues wish to honor his memory with gifts to this professorship.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 19

Office of the President
April 24, 2007

Members, Board of Trustees:

TELFORD FOUNDATION GIFTS AND PLEDGE

Recommendation: that the Board of Trustees accept gifts of \$55,000 and a pledge of \$62,000 from the Telford Foundation of Lexington, Kentucky to add to the existing John R. van Nagell, M.D. Professorship/Chair in Gynecologic Oncology and submit these gifts and this pledge of \$117,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: In June 1994, the Board of Trustees accepted a gift from the Kentucky Medical Services Foundation to endow a professorship in Obstetrics and Gynecology. In December 2000, the Board approved a request to rename the professorship the John R. van Nagell, M.D. Professorship/Chair in Gynecologic Oncology to recognize Dr. van Nagell's research in ovarian cancer. The Telford Foundation was established by the late Robert K. Telford, who was president and chairman of Mason & Hanger-Silas Mason Co., Inc. an international firm that had its headquarters in Lexington, Kentucky.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 20

Office of the President
April 24, 2007

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE ANTONIDES-WILLIAMS LECTURESHIP AND
APPROVAL OF NAME CHANGE TO THE ANTONIDES-WILLIAMS VISITING
PROFESSORSHIP

Recommendation: that the Board of Trustees accept gifts of \$30,167 and pledges of \$28,645 from multiple donors to add to the existing Antonides-Williams Lectureship in the College of Nursing, approve a requested amendment and renaming of the fund to the Antonides-Williams Visiting Professorship, and submit these gifts and pledges in the amount of \$58,812 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Carolyn A. Williams, who was dean of the College of Nursing for 22 years, is the principal donor to this fund. She established the lectureship in honor of her parents with a commitment of \$29,400. Friends and colleagues have contributed to the fund in her honor, and the decision was made to change the fund to a visiting professorship.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 21

Office of the President
April 24, 2007

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE PROFESSORSHIP IN GENERAL SURGERY AND
APPROVAL OF NAME CHANGE TO THE PATRICK F. HAGIHARA, M.D.
PROFESSORSHIP/CHAIR IN GENERAL SURGERY

Recommendation: that the Board of Trustees accept gifts of \$1,200 and pledges of \$251,300 from multiple donors to add to the existing Professorship in General Surgery, rename it the Patrick F. Hagihara, M.D. Professorship/Chair in General Surgery in the College of Medicine's Department of Surgery, and submit these gifts and pledges of \$252,500 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Patrick F. Hagihara, M.D. has been a physician, teacher, mentor, colleague, and friend to many individuals for more than 35 years. They are honoring him with these gifts and a named endowment to acknowledge his retirement this year. In 1971, Dr. Hagihara was appointed assistant professor of surgery with a joint appointment at the Veterans Administration Medical Center.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 22

Office of the President
April 24, 2007

Members, Board of Trustees:

REQUEST TO RENAME THE ENDOWMENT FOR SUPPORT OF UROLOGIC
RESEARCH TO THE RANDALL G. ROWLAND, M.D. ENDOWMENT FOR
UROLOGIC RESEARCH

Recommendation: that the Board of Trustees approve a request from William Stamps Farish of Versailles, Kentucky to rename the Endowment for Support of Urologic Research to the Randall G. Rowland, M.D. Endowment for Urologic Research in the College of Medicine's Department of Surgery.

Background: The Board of Trustees accepted a gift \$50,000 from Jack Graham, now deceased, May 5, 2000 to create and fund the Endowment for Support of Urologic Research. The gift was matched by the state's Endowment Match Program. The Board of Trustees accepted a \$1,000,000 pledge from the William Stamps Farish Fund on January 23, 2007 to add to the existing endowment. Mr. Farish wishes to honor Dr. Rowland, chief of the Division of Urology, by naming this endowment for him.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 23

Office of the President
April 24, 2007

Members, Board of Trustees:

REQUEST TO COMBINE THE UKCUP ENDOWMENT FOR UROLOGICAL
RESEARCH WITH THE
ENDOWED PROFESSORSHIP FOR NEURO-UROLOGIC RESEARCH

Recommendation: that the Board of Trustees approve a request from James Hardyman of Lexington, Kentucky to combine the UKCUP Endowment for Urological Research with the Endowed Professorship for Neuro-Urologic Research in the College of Medicine's Division of Urology. The combined endowments will retain the name of Endowed Professorship for Neuro-Urologic Research.

Background: Dr. Randall Rowland, chief of the Division of Urology, and Mr. James Hardyman, chair of the Board of Trustees, believe that this combination would create a larger endowment, providing greater resources for research in the area of neuro-urology.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 24

Office of the President
April 24, 2007

Members, Board of Trustees:

ESTABLISHMENT OF QUASI-ENDOWMENT FOR THE CARLISLE MOORE
ACADEMIC EXCELLENCE SCHOLARSHIP FUND

Recommendation: that the Board of Trustees approve the establishment of a quasi-endowment for the Carlisle Moore Academic Excellence Scholarship Fund.

Background: The university received a bequest of \$200,000 from the estate of Carlisle Moore for the purpose of awarding academic excellence scholarships. Mr. Moore, who passed away in August 2006, earned his bachelor's degree in agriculture in 1951. The creation of a quasi-endowment will allow the academic scholarship office to maximize the scholarship awards from Mr. Moore's generous gift.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 25

Office of the President
April 24, 2007

Members, Board of Trustees:

TRANSFER OF ADDITIONAL FUNDS TO THE CHANDLER MEDICAL CENTER
UNIVERSITY HOSPITAL QUASI-ENDOWMENT FUND

Recommendation: that the Board of Trustees approve an additional transfer of up to \$100,000,000 from University Hospital reserve funds currently on deposit with the Kentucky State Treasury to the University Hospital Quasi-Endowment Fund for participation in the endowment investment pool.

Background: At its March 7, 2006 meeting the Board of Trustees established the University Hospital Quasi-Endowment Fund and approved the transfer of up to \$150,000,000 from University Hospital reserve funds for participation in the endowment investment pool. The maximum \$150 million was transferred prior to the close of fiscal 2006-07.

Transfer of hospital reserve funds to a quasi-endowment account permits a longer-term investment objective and greater diversification of investments. This is expected to result in higher returns than shorter-term fixed-income investments, thereby providing additional resources to support the capital and operational needs of the University Hospital.

Strong financial operations of the University Hospital and the sale of CHA have generated additional reserves that can be transferred to the quasi-endowment. Following Board approval, it is anticipated that \$50,000,000 would be moved immediately.

The secretary of the Finance and Administration Cabinet approved the prior transfer from the Kentucky State Treasury to the endowment and referenced KRS 164A.560 (2) as authority for the University of Kentucky Board of Trustees to invest funds in its endowment instead of with the state treasury. Notification of this transfer will be provided to the Office of Financial Management.

Additions to and/or withdrawals from the University Hospital Quasi-Endowment Fund require the approval of the Board of Trustees.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 26

Office of the President
April 24, 2007

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE NINE MONTHS ENDED
MARCH 31, 2007

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the nine months ended March 31, 2007.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Central Kentucky Management Services.

As of March 31, 2007, the University had realized income of \$1,527,368,000 representing 81 percent of the 2006-07 estimate of \$1,894,473,000. Expenditures total \$1,326,947,000 or 70 percent of the approved budget of \$1,894,473,000.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____



*Consolidated Financial
Statements*

For the nine months ended March 31, 2007

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
MARCH 31, 2007
with comparative totals for March 31, 2006
(in thousands)

	2006-07					2005-06	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 305,149	\$ 24,738	\$ 7,759		\$ 248,379	\$ 586,025	\$ 530,185
Notes, loans, and A/R (less bad debt allowances of \$27,120)	116,798	81,677	23,497	\$ 2,000	1,147	225,119	172,586
Investments	24,124	81,284		853,585	41,010	1,000,003	950,009
Property, plant, and equipment, net of depreciation					1,116,882	1,116,882	1,065,171
Inventories and other	26,945	56			1,986	28,987	23,172
Total Assets	\$ 473,016	\$ 187,755	\$ 31,256	\$ 855,585	\$ 1,409,404	\$ 2,957,016	\$ 2,741,123
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 59,323	\$ 9,784	\$ 324		\$ 1,518	\$ 70,949	\$ 66,326
Employee withholdings and deposits		21,237				21,237	17,603
Other liabilities	10,732	603	21,889	\$ 5,772	8,107	47,103	52,525
Liability for self insurance	61,058					61,058	65,007
Deferred income	24,420	23,814				48,234	43,136
Bonds payable					385,510	385,510	385,065
Capitalized lease obligation					58,775	58,775	66,077
Total Liabilities	155,533	55,438	22,213	5,772	453,910	692,866	695,739
Interfund Balances	(66,088)	49,462		17,376	(750)		
Net deferred revenues and appropriated fund balances	200,328	94				200,422	184,346
Fund Balances							
Current unrestricted							
Working capital	180,711					180,711	146,607
Future operating purposes	2,532					2,532	4,499
Current restricted		82,761				82,761	69,959
Loan			9,043			9,043	8,593
True endowments				598,639		598,639	531,649
Term endowments				3,612		3,612	3,425
Quasi endowments				225,820		225,820	171,476
Charitable trusts				3,490		3,490	2,919
Gift annuities				876		876	697
Plant							
Retirement of indebtedness					19,728	19,728	20,816
Renewal and replacement					83,122	83,122	70,097
Allocated for designated projects					118,935	118,935	99,312
Net investment in plant					734,459	734,459	730,989
Total Fund Balances	183,243	82,761	9,043	832,437	956,244	2,063,728	1,861,038
Total Liabilities and Fund Balances	\$ 473,016	\$ 187,755	\$ 31,256	\$ 855,585	\$ 1,409,404	\$ 2,957,016	\$ 2,741,123

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2007
with comparative totals for March 31, 2006
(in thousands)

	2006-07				2005-06	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
(1) State appropriations	\$ 318,568	\$ 318,568	\$ 256,154	80	\$ 251,441	80
(2) Student fees	210,659	210,897	215,608	102	189,726	98
(3) County appropriations	12,734	13,597	9,607	71	9,002	71
(4) Endowment and investment income	12,681	12,681	11,811	93	8,674	115
(5) Non governmental grants and contracts	93,572	93,572	76,214	81	71,796	80
(6) Grants, donations, pledges						
Affiliated corporations	27,289	27,361	18,995	69	18,306	73
Other	3,065	4,265	1,700	40	9,491	591
(7) Sales and services	23,203	23,298	18,796	81	30,451	146
(8) Transfers	16,206	16,286	14,755	91	13,685	76
(9) Fund balance	32,462	85,356	85,356	100	52,122	100
(10) Total General Fund	750,439	805,881	708,996	88	654,694	89
(11) Auxiliary Enterprises	62,742	62,742	54,486	87	53,160	81
Restricted Funds						
(12) Federal appropriations	15,298	15,298	12,413	81	11,786	77
(13) Other	94,858	94,858	67,850	72	61,021	65
(14) Affiliated Corporations	326,959	328,094	258,150	79	230,599	73
(15) Hospital						
Revenues	587,600	587,600	425,473	72	372,527	80
(16) Fund balance						
Total Revenues and						
(17) Appropriated Fund Balances	<u>\$ 1,837,896</u>	<u>\$ 1,894,473</u>	<u>\$ 1,527,368</u>	81	<u>\$ 1,383,787</u>	82

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2007

with comparative totals for March 31, 2006

(in thousands)

	2006-07				2005-06	
	Original Budget	Revised Budget	Expended To Date	%	Expended To Date	%
(1) Instruction	\$ 252,133	\$ 265,091	\$ 190,861	72	\$ 175,022	67
(2) Research	271,974	277,939	181,976	65	173,327	62
(3) Public service	217,008	232,691	185,252	80	155,597	78
(4) Academic support	90,663	94,631	67,661	71	61,026	67
(5) Student services	22,301	23,336	18,808	81	16,775	75
(6) Institutional support	117,750	133,174	55,036	41	39,075	40
(7) Student financial aid	79,978	80,726	82,285	102	78,026	99
(8) Operation and maintenance	52,586	53,382	40,007	75	37,904	74
(9) Mandatory transfers (debt service)	17,048	17,048	16,031	94	18,084	91
(10) Hospital	588,653	588,653	375,976	64	350,191	75
(11) Auxiliary enterprises	127,802	127,802	113,052	88	94,414	77
(12) Total Expenditure by Program	<u>\$ 1,837,896</u>	<u>\$ 1,894,473</u>	<u>\$ 1,326,947</u>	70	<u>\$ 1,199,441</u>	71

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2007

with comparative totals for March 31, 2006

(in thousands)

	2006-07				2005-06	
	Original Budget	Revised Budget	Expended To Date	%	Expended To Date	%
(13) Personnel costs	\$ 941,707	\$ 964,736	\$ 766,609	79	\$ 698,857	76
(14) Operating expenses	820,461	851,192	498,034	59	453,933	66
(15) Mandatory transfers (debt service)	28,829	28,829	19,134	66	22,530	68
(16) Capital outlay	46,899	49,716	43,170	87	24,121	43
(17) Total Expenditure by Category	<u>\$ 1,837,896</u>	<u>\$ 1,894,473</u>	<u>\$ 1,326,947</u>	70	<u>\$ 1,199,441</u>	71

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE NINE MONTHS ENDED MARCH 31, 2007

with comparative totals for March 31, 2006

(in thousands)

	<u>2006-07</u>	<u>2005-06</u>
(1) Realized Revenues	\$ 1,442,013	\$ 1,331,665
Appropriated Fund Balances		
(2) Current Unrestricted Fund	\$ 85,356	52,122
(3) University Hospital		
(4) Total Appropriated Fund Balances	<u>85,356</u>	<u>52,122</u>
(5) Total Revenues and Appropriated Fund Balances	1,527,368	1,383,787
(6) Expenditures	<u>1,326,947</u>	<u>1,199,441</u>
(7) Net Deferred Revenues and Appropriated Fund Balances	<u><u>\$ 200,422</u></u>	<u><u>\$ 184,346</u></u>

FCR 27

Office of the President
April 24, 2007

Members, Board of Trustees:

CONSTRUCT CAROL MARTIN GATTON BUILDING COMPLEX – DESIGN PHASE

Recommendation: that the Board of Trustees approve initiation of design services of up to \$5,156,000 for the Carol Martin Gatton Building Complex.

Background: The university's 2008-14 Capital Plan (a state planning requirement) will be submitted to the Board for review and approval at the June 12, 2007 meeting. This project is recommended so that initial design and preliminary study phases will be substantially completed by mid-fall 2007. This design phase will be funded with private gifts. The proposed Capital Plan includes a request to the 2008 Kentucky General Assembly for state bonds for construction of the Carol Martin Gatton Building Complex.

The design work will proceed in limited phases as the request for funding progresses. The initial work will be limited to preliminary programming, program-to-budget reconciliation, and site and design concepts. Only about 10 percent of the overall design services will be given a "notice to proceed" prior to full project funding approval by the General Assembly. The proposed site of the facility will be the surface parking lot at the northeast corner of the Avenue of Champions and Martin Luther King Boulevard.

The Top 20 Business Plan calls for substantial enrollment increases and for the university to increase substantially its outreach activities. By 2020, UK will increase its undergraduate enrollment by 5,394, its graduate enrollment by 912, and its faculty by 517. Additional classroom space is needed to accommodate enrollment growth across the university and especially within the Carol Martin Gatton College of Business and Economics. Over the past several years, increased enrollments and space constraints have forced the college to make selective admissions decisions that have limited substantially the number of academically qualified students who can major in business. UK's projected enrollment growth in the future will be further limited unless more space is constructed for use of the college.

The construction of a new facility for the college also frees up 120,000 square feet of space in the central core of campus. Many colleges, departments, and research programs need expanded space to meet the needs of their own rapidly growing enrollment. The

vacated building will be used for classrooms, faculty offices, administrative offices, and support space.

Additional space also will facilitate expansion of the college's outreach activities. The college has established a strong record of engagement across Kentucky and has built an aggressive agenda for more outreach in the years to come. Economic success in the knowledge economy will be affected by: (1) the degree to which universities translate their research agendas and expertise into solving the problems of existing enterprises; (2) the ability of researchers and teachers to turn ideas into new products; and (3) the capacity of universities for helping aspiring entrepreneurs to discover and deliver new products and services to market. These efforts create businesses and jobs. The college's history of accomplishment demonstrates that it has been an important catalyst for economic development in the Commonwealth. The college is a demonstrated source of innovation and thought leadership and provides much of the intellectual capital on which Kentucky's economy is based. Modernized and expanded space will enhance these efforts.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 28

Office of the President
April 24, 2007

Members, Board of Trustees:

AUTHORIZATION TO SELL L. R. COOKE PROPERTY,
LEXINGTON, KENTUCKY

Recommendation: that the Board of Trustees authorize the executive vice president for finance and administration to sell the L. R. Cooke property (the "Property") situated at 162-176 East High Street, 180 East High Street, and 182 East High Street, Lexington, Kentucky for a sale price not less than the appraised fair market value; further, that the executive vice president for finance and administration be authorized to execute any and all documents required for such sale.

Background: The L. R. Cooke property was a gift to the university in July 2002 by C. M. and Leigh Gatton and was accepted by the Board of Trustees at its September 17, 2002 meeting. The property consists of three tracts and contains approximately 1.56 acres. The university will obtain two appraisals to determine fair market value.

The property is now surplus to the needs of the university, and the university proposes to sell by sealed bid or public auction, in accordance with the laws on disposition of real property.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 29

Office of the President
April 24, 2007

Members, Board of Trustees:

APPROVAL OF LEASES

Recommendation: that the Board of Trustees authorize the executive vice president for finance and administration to negotiate and execute the following:

A lease between the University of Kentucky (College of Pharmacy) and University of Kentucky Federal Credit Union, 2557 Sir Barton Way, for space located at 1080 Export Street, Lexington, Kentucky, effective approximately May 1, 2007 through June 30, 2012, for the use of 10,000 square feet of office space, at an annual rate of \$137,106.00.

A renewal lease between the University of Kentucky (Department of Injury Prevention) and B&C Investments, c/o Cravens Properties, 651 Perimeter Drive, Lexington, Kentucky, for space located at 333 Waller Avenue, Lexington, Kentucky, effective July 1, 2007 through June 30, 2008, for the use of 9,176 square feet of office, at an annual rate of \$116,994.00.

Background: At its June 14, 2005 meeting the Board of Trustees amended the Governing Regulations (SCGR 1, GR II) requiring all leases in excess of \$100,000 be approved by the Board of Trustees. The president or his designated representative is authorized to enter into leases and easements not exceeding \$100,000 in value.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 30

Office of the President
April 24, 2007

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period ending March 19, 2007.

Background: At its March 4, 1997 meeting, the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD FEBRUARY 1, 2007 THROUGH MARCH 19, 2007

Patents

The following assignments on behalf of the Board of Trustees of the University of Kentucky Research Foundation have been executed:

- 1. U.S. Patent Application Serial Number: (to be assigned)**
Filed: February 28, 2007
Title: “Novel Delivery System for Providing Protein and Protein Products to Plant Surfaces”
Inventors: Drs. George Wagner and Ryan Shepard (Plant and Soil Sciences)
Technical Description: The present invention relates to a system for the secretion of proteins and protein byproducts to a plant’s aerial surfaces. For example, this system can be used to secrete proteins, such as an antifungal protein, phylloplanin, to a plant’s aerial surfaces. Further, using the system, one can create transgenic plants that secrete desired proteins which can be collected from the plant’s aerial surface.
Summary: The inventors previously discovered that plants produce an antifungal protein, phylloplanin, on their leafy surfaces. In the present invention, the inventors reveal the genetic mechanism that causes phylloplanins to be deposited on leafy surfaces. The genetic mechanism can be used to confer fungal resistance to plants not naturally having phylloplanins. In an alternative use, the genetic mechanism can be used to cause plants to deposit other useful proteins on plant surfaces.
- 2. U.S. Patent Application Serial Number: (to be assigned)**
Filed: February 23, 2007
Title: “Precatalysts Useful in Polyolefin Polymerization Reactions”
Inventors: Drs. Folami Ladipo, Richard Eaves, Alexey Zazybin, and Sean Parkin (Chemistry)
Technical Description: The present invention relates to the polymerization catalyst field and, more particularly, to precatalysts useful in polyolefin polymerization reactions and ligand intermediates useful in the production of these catalysts.
Summary: Many heavily used materials, such as ethylene and propylene, are polymers of polyolefins. Because of their industrial importance, ways of lowering the cost of production are sought. The inventors have developed catalysts that reduce the cost of producing these important polymers.
- 3. U.S. Patent Application Serial Number: (to be assigned)**
Filed: January 11, 2007
Title: “Composition and Method for Identifying Inducers and Repressors of the hNIS Promoter”

Inventors: Drs. Kenneth Ain and Wei Lei (Internal Medicine)

Technical Description: The present invention relates to the fields of oncology and drug discovery. Specifically, this invention relates to methods of treating cancer, methods of screening compounds for anti-cancer activity, and methods of identifying anti-cancer target proteins.

Summary: Thyroid cancers are treated with radioiodide. Unfortunately, some thyroid cancers do not concentrate radioiodide, making them resistant to treatment. The inventors have discovered nucleic acid sequences of the protein responsible for concentrating radioiodide. This sequence can be used to design methods of identifying compounds that induce radioiodide concentration in treatment-resistant thyroid cancers.

4. U.S. Patent Application Serial Number: (to be assigned)

Filed: February 14, 2007

Title: “Withanolides, Probes and Binding Targets and Methods of Use Thereof”

Inventors: Drs. Royce Mohan, Paola Bargagna Mohan, and Kyung Bo Kim (Ophthalmology)

Technical Description: The present invention relates to 1) compounds for targeting human or animal disease states characterized by aberrant expression of the intermediate filament type III proteins, such as vimentin, 2) methods for detecting such intermediate filament type III proteins and 3) compounds for screening small molecules that target intermediate filament proteins.

Summary: Withaferin A is a natural compound that has antitumor activity. Withaferin acts by binding to intermediate filament type III proteins. The inventors have developed molecular probes by binding reporter molecules to Withaferin A. These probes can be used to identify other antitumor molecules by screening for molecules that exclude Withaferin A binding to intermediate filament type III proteins in competition assays.

5. U.S. Patent Application Serial Number: (to be assigned)

Filed: January 24, 2007

Title: “Modeling of mPGES-1 Three-Dimensional Structures: Applications in Drug Discovery”

Inventors: Dr. Chang-Guo Zhan (Pharmaceutical Sciences)

Technical Description: Computational methods for determining the three-dimensional structure of one or more polypeptides are provided. Also provided are three-dimensional models of a microsomal prostaglandin synthase molecule and computer-implemented methods for identifying compounds that interact with the molecule.

Summary: The enzyme mPGES-1 is involved in a number of disease states, including arthritis, pain, atherosclerosis, cancer, and Alzheimer’s disease. The inventors have developed a computer model of the structure of mPGES-1. The computer model is useful in identifying compounds that bind mPGES-1. These compounds therefore are candidates for drug treatment of the aforementioned disease states.

6. **U.S. Patent Application Serial Number: (to be assigned)**
Filed: January 25, 2007
Title: “Methods and Compositions for Treating Neurological Disorders”
Inventors: Drs. Tae Ji and Inhae Ji (Chemistry)
Technical Description: This invention relates to methods and compositions useful for the treatment of dementia. More particularly, the invention relates to methods and compositions for the treatment of neurodegenerative diseases, including senile dementia and Alzheimer’s disease.
Summary: The inventors have discovered that the onset of dementia correlates with the levels of the polypeptide kalirin in the bodily fluids of patients. The inventors propose using the discovery to predict the onset of dementia, and to reverse or slow dementia by stimulating levels of kalirin.

7. **U.S. Patent Application Serial Number: (to be assigned)**
Filed: February 9, 2007
Title: “Method for Assessing Biological Test Specimens”
Inventors: Drs. John Stencil (Chemical and Materials Engineering), Haiping Song, Clair Hicks, and Fred Payne (Animal Sciences)
Technical Description: This invention relates to the dynamic assessment of cells and their interactions with viruses.
Summary: Detecting bacteria in samples is important in many situations. The inventors have discovered that bacteria in samples can be detected by identifying acoustic signals emitted by the bacteria. The inventors disclose that by using an acoustic fingerprint, both the presence and identity of bacteria can be determined.

Patent Activities
Fiscal year to date as of

Number of Patent Applications	25
Number of Patents Issued	16
Patent Income	\$791,086.

HRCR 1

Office of the President
April 24, 2007

Members, Board of Trustees:

WORK-LIFE STRATEGY: PROPOSED CHANGES TO UNIVERSITY OF KENTUCKY EMPLOYEE BENEFITS

Recommendation: that the Board of Trustees approve a wide-ranging work-life strategy, which includes changes to employee benefits. Components of the strategy include: designating two UK real properties to be used for the construction of childcare centers; enhancing the Employee Assistance Program; extending benefits, including the Employee Education Program, to spouses, partners, and children; offering a new initiative to address career advancement and professional development for staff employees; developing a new supervisory training program; providing phased retirement for staff employees; and establishing a Shared Leave Pool for staff.

Background: President Lee Todd introduced a work-life initiative in 2002 which ultimately led to the establishment of a work-life office and hiring of a director. In October 2005 and February 2006, comprehensive work-life culture surveys were conducted with staff and faculty, respectively. A presentation of the survey results was given to the Human Resources Committee of the Board of Trustees in September 2006. Upon analysis of the survey results, several action items were immediately implemented, including the establishment of several work-life committees. To develop an inclusive strategy, these committees, along with other university groups (such as the Employee Benefits Committee and the UK Work-Life Advisory Council), were asked to review the results and develop recommendations for enhancing the work-life culture at the university. Additionally, Human Resources was asked to provide recommendations on other benefits. Below are the components of the work-life strategy:

Designate two UK real properties (one North Campus and one South Campus) for the construction of two childcare centers.

Enhance the Employee Assistance Program by adding one position and additional funding to the Office of Work-Life.

Extend benefits, including the Employee Education Program, to spouses, partners, and children.

Establish a staff Career and Advance Development Program, which includes hiring a full-time career counselor and offering financial incentives for attainment of a General Educational Development (GED) certificate, and a computer loan program.

Develop and deliver supervisory training.

Provide a Phased Retirement Program to staff employees.

Establish a Shared Leave Pool for staff.

Committee progress reports: www.uku.edu/HR/WorkLife/CommitteeUpdate2.html.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____