Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, June 20, 1989.

The Board of Trustees of the University of Kentucky met at 1 p.m. Lexington time on Tuesday, June 20, 1989 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington Campus.

A. Meeting Opened

Mr. Foster Ockerman, Sr., Chairman, called the meeting to order at 1:03 p.m., and the invocation was pronounced by Mr. James A. Rose.

B. Professor David R. Driscoll, Jr. and Mr. James A. Rose Recognized

Mr. Ockerman noted that the terms of two Board members will expire June 30, 1989: Professor David R. Driscoll, Jr. and Mr. James A. Rose. He asked them to stand and be recognized, following which they were given a round of applause. He expressed appreciation to them for their contributions to the Board and stated that the Board would be pleased to receive any suggestions from them in the future.

Mr. Ockerman then introduced their successors: Professor Judy Rhoads, Madisonville Community College, and Mr. Sean Lohman, President-elect of the Student Government Association. He noted that Professor Rhoads and Mr. Lohman will take the oath of office at the next meeting. After standing and being recognized, they were given a round of applause.

C. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Foster Ockerman, Sr. (Chairman), Mr. Ted B. Bates, Professor Raymond F. Betts, Governor Albert B. Chandler, Professor Mary Sue Coleman, Professor David R. Driscoll, Jr., Mr. Tracy Farmer, Mr. Lawrence E. Forgy, Jr., Mrs. Edythe Jones Hayes, Dr. Nicholas J. Pisacano, Mr. James A. Rose (James), Mr. James L. Rose (Jim), Chief Justice Robert F. Stephens, Mr. Jerome A. Stricker, Mr. William B. Sturgill, Judge Julia K. Tackett, Mr. Billy B. Wilcoxson, and Judge Henry R. Wilhoit, Jr. Absent from the meeting were Mr. William E. Burnett, Jr. and Senator Walter D. Huddleston. The University administration was represented by President David P. Roselle; Chancellors Peter P. Bosomworth, Art Gallaher and Charles T. Wethington; Vice Presidents Edward A. Carter, Wimberly Royster and Eugene R. Williams; and Mr. John C. Darsie, General Counsel.

Members of the news media were also in attendance. Mrs. Hayes reported a quorum present, and Mr. Ockerman declared the meeting officially open for the conduct of business at 1:08 p.m.

D. Minutes Approved

Mr. Ockerman stated that the Minutes of the May 2, 1989 Board of Trustees meeting had been distributed and asked for any corrections or additions. The Minutes were approved as written.

E. President's Report to the Trustees (PR 1)

President Roselle noted that this would be the last Board meeting for Dr. Art Gallaher as Chancellor of the Lexington Campus. He stated that Dr. Gallaher has done an outstanding job as an administrator at the University of Kentucky and expressed appreciation to him for a job well done.

President Roselle welcomed Dr. Robert Hemenway as the Chancellor for the Lexington Campus, effective July 1, and stated that he looked forward to working with him.

President Roselle reviewed his report to the Trustees beginning with the following significant gifts and grants:

- $3 million grant from the National Institute on Aging for Alzheimer's research. Dr. William Markesbery will head the team of researchers as principal investigator of the study. President Roselle expressed appreciation to the Herald Leader for the fine story written about the Center on Aging at the time the grant was announced.

- $280,500 from R. J. Reynolds Tobacco Company for tobacco research.

- $150,000 challenge gift from Ashland Oil, Inc. to the College of Law to endow three professorships.

- $250,000 in pledges from Philip Morris USA, NS Group Inc. of Newport, Kentucky and First Security National Bank and Trust Company of Lexington in support of the "Program for Excellence" in the College of Business and Economics.

- $30,000 from Lloyd's of London to the Maxwell Gluck Equine Research Center to create a new fellowship in equine pathology.

President Roselle continued his report calling particular attention to the following:

- The Appalachian Regional Commission will move its library and archives to the University of Kentucky Library. The collection, now housed at the ARC offices in Washington, D.C., is expected to be moved later this year to the Library's Division of Special Collections and Archives.

- The Children's Miracle Network Telethon raised more than $213,000 for the University Hospital's pediatric program. This is a substantial increase in the funding provided by the public in support of the programs in pediatrics.

- A 1987 national conference co-sponsored by the University of Kentucky, which developed a model for recruiting and retaining minority students, has been called "influential" in developing federal legislation to accomplish the same goal.

- The Management Center has received a "GE Suppliers Award" from General Electric, Louisville, citing the superior quality of on-site training programs presented by the Center. The Center is the first supplier of educational and training services to receive the GE Suppliers Award. The award has previously been reserved for suppliers of materials for General Electric appliances. This award indicates the ability and interest of the College of Business and Economics in reaching out to constituencies across the state.

- Editors of 65 daily and weekly newspapers in Kentucky, representing a circulation of more than half a million households, have agreed to publish a monthly column, "UK Science and You." This column is an attempt to influence education in the Commonwealth and will feature University research.

President Roselle completed his report by calling attention to the significant activities of faculty and staff. He also noted some recent activities in the the community colleges and the Medical Center.

F. Personnel Actions (PR 2)

With President Roselle so recommending, on motion made by Mr. Stricker, seconded by Mr. Jim Rose and passed, the routine personnel actions in PR 2 were approved. (See PR 2 at the end of the Minutes.)

G. Proposed Amendments to the Governing Regulations (PR 3A)

President Roselle recommended that the proposed amendments in PR 3A be received for preliminary consideration. He stated that in accordance with the University's process the amendments will be on the agenda for action at the next Board meeting. He called on Dr. Paul Sears to provide an explanation of the proposed amendments to the trustees.

Dr. Sears explained that there is a sizable number of emeriti faculty at the University who have a significant role in the activities of the University. These emeriti faculty have formed the University of Kentucky Association of Emeriti Faculty. The proposed amendments would permit the emeriti faculty association to elect a member for the University Senate and also an alternate member to participate in University Senate activities both through voice and vote. These proposed amendments would formalize the involvement of emeriti faculty in University academic activities.

Professor Betts moved, and Mrs. Hayes seconded, that the proposed amendments be received for preliminary consideration. The motion was voted on and carried. (See PR 3A at the end of the Minutes.)

H. Appointments to Advisory Board - Lexington Community College (PR 4A)

President Roselle recommended that approval be given to the reappointment of Richard Blanchard and Larry Martini to the Advisory Board of Lexington Community College for three-year terms beginning July 1, 1989 and ending June 30, 1992. Judge Stephens so moved. The motion was seconded by Mr. Sturgill and unanimously carried. (See PR 4A at the end of the Minutes.)

I. Appointment of President, Hopkinsville Community College (PR 4B)

President Roselle stated that PR 4B recommends that Dr. A. James Kerley be named President of Hopkinsville Community College, effective July 1, 1989. He called on Chancellor Wethington to provide information about Dr. Kerley.

Dr. Wethington reported that Dr. Kerley is currently Dean of Academic Affairs at Madisonville Community College. He reviewed briefly the experience of Dr. Kerley and stated that he has served exceedingly well in his administrative roles. He expressed pleasure in recommending that Dr. Kerley be named President of Hopkinsville Community College.

The motion, which was made by Mr. Bates and seconded by Mr. Farmer, was voted on and carried. (See PR 4B at the end of the Minutes.)

J. Appointments to University of Kentucky/Business Partnership Foundation (PR 5A)

President Roselle recommended that approval be given to the appointments of Morton Boyd, Daniel Lynch and S. O. Ogden to membership on the Board of Directors of the University of Kentucky/Business Partnership Foundation for a term beginning with the fall 1989 meeting and ending with the spring 1993 meeting. He reviewed the credentials of Mr. Boyd, Mr. Lynch and Mr. Ogden, and Mr. Stricker moved that PR 5A be approved. The motion was seconded by Professor Coleman and carried without dissent. (See PR 5A at the end of the Minutes.)

K. Fund Board of Directors Appointments (PR 6A)

With President Roselle so recommending, Judge Stephens moved that approval be given to the reappointment of Arch Mainous, Jr., as a member of the Board of THE FUND for Advancement of Education and Research in the University of Kentucky Medical Center, for an additional two-year term, beginning July 1, 1989 and ending June 30, 1991. The motion was seconded by Mr. Wilcoxson and carried. (See PR 6A at the end of the Minutes.)

L. Agreement Between University of Kentucky and Kentucky Medical Services Foundation (PR 6B)

President Roselle recommended that the Board approve the agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978. He asked Chancellor Bosomworth to comment on the agreement.

Chancellor Bosomworth stated that the agreement was essentially unchanged in its text. The principal change is a $1.2 million increment in funds due from Kentucky Medical Services Foundation to the University for the purpose of financing the conduct of business in the provision of ambulatory care rising from approximately $3 billion to $4.2 billion which would be reimbursed to the University of Kentucky.

On motion made by Mr. Jim Rose, seconded by Dr. Pisacano and carried, the agreement was approved. (See PR 6B at the end of the Minutes.)

M. Acceptance of Interim Financial Report for the University of Kentucky for the Ten Months Ended April 30, 1989 (FCR 1)

Mr. Forgy stated that FCR 1 is a routine, but highly significant, acceptance of the interim financial report. He reported that the University is on target with this year's budget and moved that FCR 1 be adopted. The motion was seconded by Dr. Pisacano and passed. (See FCR 1 at the end of the Minutes.)

N. Disposal of Personal Property (FCR 2)

Mr. Forgy stated that FCR 2 is the disposal of surplus and obsolete personal property and moved that it be adopted. The motion was seconded by Judge Tackett and carried. (See FCR 2 at the end of the Minutes.)

O. Capital Construction Report (FCR 3)

On motion made by Mr. Forgy, seconded by Mr. Sturgill and passed, the Capital Construction Report for the nine months ending March 31, 1989 was approved. (See FCR 3 at the end of the Minutes.)

P. Report of Leases (FCR 4)

Mr. Forgy stated that the leases contained in FCR 4 do not exceed $30,000 and are, therefore, submitted to the Board in the form of a report. He moved that FCR 4 be adopted. Mr. Forgy's motion was seconded by Mr. Stricker and carried. (See FCR 4 at the end of the Minutes.)

Q. Approval of Lease (FCR 5)

Mr. Forgy stated that FCR 5 is renewal of a lease that relates to the Medical Center Finance Division. The lease is in excess of $30,000 and requires explicit approval. He moved that FCR 5 be adopted. The motion was seconded by Mr. Stricker and passed. (See FCR 5 at the end of the Minutes.)

R. Approval of Lease (FCR 6)

Mr. Forgy explained that there is an existing lease for adjoining space with the Webb Companies. The new lease for this additional space will be $51,680.50, the same rental rate as the existing lease. He moved that FCR 6 be adopted. The motion was seconded by Mr. Jim Rose and carried. (See FCR 6 at the end of the Minutes.)

S. Establishment of Quasi-Endowment for the Ruth K. and Albert A. Persch Trust for the Herman L. Donovan Fellowship Program (FCR 7)

Mr. Forgy moved that the Board authorize the creation of a quasi-endowment fund to be entitled the "Ruth K. and Albert A. Persch Trust." Mr. Forgy noted that Mrs. Ruth Persch was a member of the Donovan Scholars program at the University, and the interest earned on the endowment will serve as an enrichment fund to support the Donovan Scholars program. The motion, seconded by Mr. Stricker, passed. (See FCR 7 at the end of the Minutes.)

T. Agreement with Kentucky Technology, Inc. (FCR 8)

Mr. Forgy reported that FCR 8 relates to Leukotriene B4 and Platelet Activating Factor Antiplasma. FCR 8 allows Kentucky Technology, Inc. to become involved in marketing this particular set of concepts and patents. He moved the adoption of FCR 8. The motion was seconded by Judge Stephens and passed. (See FCR 8 at the end of the Minutes.)

U. A Resolution Authorizing the Issuance of $9,200,000 of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series K, Dated September 1, 1989, of the Board of Trustees of the University of Kentucky (FCR 9)

Mr. Forgy reported that FCR 9 is a Resolution that authorizes the issuance of $9,200,000 of University of Kentucky Consolidated Educational Building Revenue Bonds for the addition to the Business and Economics building on the campus. Bids will be received in September and the Board will be asked to approve the actual Bonds Sale at the September Board meeting. With Mr. Forgy so recommending, the motion, seconded by Mr. Sturgill, passed. (See FCR 9 at the end of the Minutes.)

V. A Resolution Authorizing the Issuance of $24,530,000 of University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series G, Dated September 1, 1989, of the Board of Trustees of the University of Kentucky (FCR 10)

Mr. Forgy stated that FCR 10 is a Resolution authorizing the issuance of $24,530,000 of University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series G. He reviewed the series of projects at the various community colleges and noted that the Bonds will be sold at the August Board meeting. He moved the adoption of FCR 10. The motion was seconded by Mr. Bates and approved. (See FCR 10 at the end of the Minutes.)

W. Operating Budget for 1989-90 (FCR 11)

Mr. Forgy stated that FCR 11 is the Operating Budget for the 1989-90 fiscal year and indicated that there had been a number of discussions about the budget. He asked Mr. Carter to make a presentation to the Board.

Using a series of charts, Mr. Carter provided a brief overview of the 1989-90 budget. He reported that it is a $671,000,000 budget with an increase of $51,000,000 over last year. He pointed out that there are no significant changes and reviewed the major sources of income and expenditures by categories. After reviewing the problems and priorities, he indicated that at the September meeting the Board would see many of the problems reappear as the biennial budget request and two-year plan are brought back for action.

Mr. Forgy stated that the budget was discussed at length in the Finance Committee. He explained that the institution has always carried its fund balances forward from year-to-year and has used the fund balances in the form of a vacancy credit. The vacancy credit situation was about $12,000,000 last year, and it has been as high as $20,000,000 at the institution. The University is running a $671,000,000 enterprise next year and essentially has a $1.7 million budgeted fund balance, a very low reserve. He pointed out that it is approximately two-tenths of one percent, and the Board needs to understand that the institution, the president, and

the administration will not have the flexibility to absorb any major kinds of occurrences such as any cut in state appropriation as has been experienced in the past or any kind of major adverse reaction. He emphasized that the Board needs to understand that it is a very tight budget.

Mr. Forgy then moved that the budget as recommended be adopted and that the Chairman of the Board be authorized to negotiate and execute contracts with the administrative officers providing for the terms and conditions of their employment and benefits and make them available to any Board member on request. The motion was seconded by Mr. Bates.

Mr. Ockerman added that the budget document itself was distributed to all members of the Board for their review approximately one month ago. Detailed information has been made available to the members of the Board and quite a bit of time has been spent on the budget. He expressed appreciation to the members of the Board for their interest in the Operating Budget. There being no further discussion or questions, FCR 11 was voted on and carried. (See FCR 11 at the end of the Minutes.)

X. Approval of a Lease for a Child Care Facility (FCR 12)

Dr. Jack Blanton reported that the child care facility is an initiative that President Roselle brought when he came to the University, and it is a unique idea in terms of colleges and universities. He reviewed the arrangement in FCR 12 and explained the advantages to the University. A survey of faculty, staff and students has been completed, and there are approximately 5,000 employees in the pool that are in need of such a facility. He stated that the Request for Proposal has been sent out, and noted that Mr. Ockerman has been very helpful in laying the ground work with the planning and zoning process. He expressed pleasure in bringing FCR 12 to the Board for action.

Mr. Forgy moved its adoption and Mr. Wilcoxson seconded the motion. The motion carried. (See FCR 12 at the end of the Minutes.)

Y. Comments from President

President Roselle commented about Channel 18 assisting the University in its efforts for the Pediatrics Department. He reported that Channel 18 dedicated a full day of television time and expressed appreciation to the employees of Channel 18 for their kindness.

Z. Meeting Adjourned

There being no further business to come before the Board, the Chairman adjourned the meeting at 1:53 p.m.

Respectfully submitted,

Edythe Jones Hayes
Secretary
Board of Trustees

(PR's 2, 3A, 4A, 4B, 5A, 6A, 6B, and FCR's 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, and 12 which follow are official parts of the Minutes of the meeting.)

5416D

Office of the President
June 20, 1989

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: (1) that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

0197

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Ashland Community College

Livingston, George D., Professor in the Community College System (with tenure), Chairman, Division of Physical Sciences and Related Technologies, 7/1/89 through 6/30/91.

Elizabethtown Community College

Keith, Angela, Associate Professor in the Community College System (with tenure), Chairman, Division of Physical Sciences and Related Technologies, 7/1/89 through 6/30/92.

Owsley, Diane, Professor in the Community College System (with tenure), Chairman, Division of Social and Behavioral Sciences and Related Technologies, 7/1/89 through 6/30/92.

Hazard Community College

Hurt, Valina K., Associate Professor in the Community College System (with tenure), Chairman, Division of Sciences and Related Technologies, 7/1/89 through 6/30/92.

Landrum, Donald F., Dean of Business Affairs, effective 5/11/89.

Petrey-Blandau, Sandra, Assistant Professor in the Community College System, Chairman, Division of Humanities, Social Sciences, and Related Technologies, 7/1/89 through 6/30/92.

Henderson Community College

Garrett, Phyllis R., Associate Professor in the Community College System (with tenure), Chairman, Division of Natural Sciences and Related Technologies, 7/1/89 through 6/30/92.

Jefferson Community College

Long, Quinn D., Instructor in the Community College System, Chairman, Division of Business and Related Technologies, Southwest Campus, 7/1/89 through 6/30/92.

Lexington Community College

Beatty, Eunice A., Associate Professor in the Community College System (with tenure), Chairman, Division of Biological Sciences and Health Technologies, 7/1/89 through 6/30/92.

Perry, Kenneth, Associate Professor in the Community College System (with tenure), Chairman, Division of Physical Sciences and Engineering Technologies, 7/1/89 through 6/30/92.

Weill, Lawrence V., Associate Professor in the Community College System (with tenure), Chairman Division of Humanities and Related Technologies, 7/1/89 through 6/30/92.

Madisonville Community College

Vail, James A., Associate Professor in the Community College System (with tenure), Chairman, Division of Physical Sciences and Related Technologies, 7/1/89 through 6/30/92.

West, Marlena K., Associate Professor in the Community College System (with tenure), Chairman, Division of Biological Sciences and Related Technologies, 7/1/89 through 6/30/92.

Owensboro Community College

Thomas, James G., Associate Professor in the Community College System (with tenure), Chairman, Division of Natural Sciences, Mathematics and Related Technologies, 7/1/89 through 6/30/92.

Prestonsburg Community College

Slone, Kenneth R., Assistant Professor in the Community College System, Chairman, Division of Humanities and Related Technologies, 7/1/89 through 6/30/92.

Somerset Community College

Garrett, Glyncon E., Professor in the Community College System (with tenure), Chairman, Division of Social Sciences, Business and Related Technologies, 7/1/89 through 6/30/92.

Southeast Community College

Carr, Myrtle Anne, Professor in the Community College System (with tenure), Chairman, Division of Communications, Humanities and Related Technologies, 7/1/89 through 6/30/92.

Guyn, Kathy H., Associate Professor in the Community College System (with tenure), Chairman, Division of Biological Sciences and Related Technologies, 7/1/89 through 6/30/92.

B. JOINT APPOINTMENTS

Lexington Community College

Miller, Sharon, Librarian III in the Community College System, joint with M.I. King Library, 7/1/89 through 6/30/90.

Maysville Community College

Lynch, Joseph R., Associate Professor in the Community College System (with tenure), joint with College of Dentistry, 7/1/89 through 6/30/90.

C. PROMOTIONS

Ashland Community College

Conley, Richard, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/89.

McCullough, Willie, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Rafiee, Farnoosh, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Shields, Theodore, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Walters, Barbara, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Elizabethtown Community College

Harris, Robert L., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/89.

Owsley, Wanda D., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/89.

Hazard Community College

Collins, Gwen, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Combs, Donna, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Sauer, Robert L., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Henderson Community College

Pagan, Freida, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Hopkinsville Community College

Atkins, Cynthia F., from Librarian II in the Community College System System (with tenure), to Librarian I in the Community College System (with tenure) 7/1/89.

McClure, Mike W., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Jefferson Community College

Alderdice, Phyllis, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Ashley, Barbara R., from Assistant Professor in the Community College System, to Associate Professor in the Community College Sustem (with tenure), 7/1/89.

Barthle, Damian A., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/89.

Baughman, James G., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/89.

Bennett, Sharon K., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Chapman, Robert E., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Cooper, David J., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Early, Glen A., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Ecker, David P., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Edwards, Carolyn R., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/89.

Hanel, John F., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/89.

Hazelrigg, William R., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

King, Sandra L., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Olsen, Bobby Gene, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Robison, Margaret P., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Scrogham, Bonnie J., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Taylor, Barbara J., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Varner, Katy L., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Lexington Community College

Gornto, Robin E., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Pagan, Bonnie, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/89.

Subramanian, Seetha, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Thomas, Stephen, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Weill, Lawrence V., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Madisonville Community College

Cundiff, Brenda M., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Trover, Michael L., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Warren, Roger D., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/89.

West, Marlena K., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Maysville Community College

Appelman, Mollie S., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Curtis, Sylvia B., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Houser, Jean P., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Klee, John R., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/89.

Owensboro Community College

Lake, Sara B., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Paducah Community College

Harris, Peggy, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Heflin, Connie, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Prestonsburg Community College

Hernandez, Teresa, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Saffari, Hassan, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/89.

Southeast Community College

Scopa, Patricia M., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/89.

D. TERMINAL REAPPOINTMENTS

Jefferson Community College

Horwitz, James, Instructor in the Community College System, 7/1/89 through 6/30/90.

Lexington Community College

Bahreini, Mohammad, Assistant Professor in the Community College System, 7/1/89 through 1/25/90.

Madisonville Community College

Alward, Emily J., Librarian III in the Community College System, 7/1/89 through 6/30/90.
Simms, Helen L., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

E. RETIREMENTS

Office of the Chancellor

Hauselman, Albert J., Program Planning and Development Coordinator, following 25 consecutive years of service, effective 6/30/89.

Jefferson Community College

Offutt, Elsie B., Office Assistant I in the Community College System, following 17 consecutive years of service, effective 6/2/89.

Somerset Community College

Norfleet, Eleanor, Associate Professor in the Community College System (with tenure), following 24 consecutive years of service, effective 5/31/89.

F. EARLY RETIREMENTS

Hazard Community College

Jolly, Marvin J., Associate Professor in the Community College System (with tenure), following 21 consecutive years of service (under AR II-1.6-1 Section III.A.1[a]), effective 6/30/89.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Elizabethtown Community College

Blaiklock, Karen, Instructor in the Community College System, 3/24/89 through 6/30/89.

Jefferson Community College

Ball, Colleen, Instructor in the Community College System (voluntary), 3/1/89 through 6/30/92.
Fisher, Kerk, Instructor in the Community College System, 8/1/89 through 6/30/90.
Fox, Kim, Instructor in the Community College System (voluntary), 3/1/89 through 6/30/92.
Gamble, David E., Instructor in the Community College System, 8/1/89 through 6/30/90.
Greenberg, Ruth B., Instructor in the Community College System, 8/1/89 through 6/30/90.
Maynard, Carla, Instructor in the Community College System (voluntary), 3/1/89 through 6/30/92.
Ratliff, Linda, Instructor in the Community College System (voluntary), 3/1/89 through 6/30/92.
Slone, Kathy, Instructor in the Community College System (voluntary), 3/1/89 through 6/30/92.

Lexington Community College

Simmons, J. Bryan, Instructor in the Community College System, 6/19/89 through 6/30/89.
Walker, Frederick L., Instructor in the Community College System, 5/1/89 through 6/30/89.

Prestonsburg Community College

Akhlaghi, M. Reza, Assistant Professor in the Community College System, 8/1/89 through 6/30/90.

Somerset Community College

Aaron, Marshall, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Peterson, Betty, Instructor in the Community College System, 8/1/89 through 6/30/90.

Transfer from Paducah Community College to Jefferson Community College

Mehdi, Tony, Assistant Professor in the Community College System, 8/1/89 through 6/30/90.

B. REAPPOINTMENTS

Ashland Community College

Brake, Marie, Instructor in the Community College System, 7/1/89 through 6/30/90.
Carroll, Jennifer, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Coots, Phillip K., Instructor in the Community College System, 7/1/89 through 6/30/90.
Davis, Virgil, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Gross, Emma Lou, Instructor in the Community College System, 7/1/89 through 6/30/90.
Gussler, Sandra K., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Kumar, Ramamurthy C., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Mann, James, Instructor in the Community College System, 7/1/89 through 6/30/90.
May, Rebekah, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Napier, Michelle H., Instructor in the Community College System, 8/1/89 through 5/31/90.
Owen, Brenda, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Shytle, Louise, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Silver, Judith B., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Thompson, Rebecca R., Instructor in the Community College System, 7/1/89 through 6/30/90.

Elizabethtown Community College

Anderson, Becky, Instructor in the Community College System, 7/1/89 through 6/30/90.
Finney, Gail, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Glutting, Martha, Instructor in the Community College System, 7/1/89 through 6/30/90.
Holman, Stephen, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Kakolesha, Nyanguila, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
King, Jo Ann, Instructor in the Community College System, 7/1/89 through 6/30/90.
Routt, Randell, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Spataro, Charles, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Wang, Daniel T., Instructor in the Community College System, 7/1/89 through 6/30/90.

Hazard Community College

Blake, Barbara A., Instructor in the Community College System, 7/1/89 through 6/30/90.
Cook, Alicia Gail, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Dixon, Steven, Librarian IV in the Community College System, 7/1/89 through 6/30/90.
Elahjji, Salhin Bashir, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Ewen, Rosemary, Instructor in the Community College System, 7/1/89 through 6/30/90.
Good, Jan, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Hayden, Joseph L., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Hodges, M. Lee, Assistant Professor in the Community College System, 7/1/89 through 8/31/89.
Huber, Thomas J., Instructor in the Community College System, 7/1/89 through 8/31/89.
Lykins, Virgil, Instructor in the Community College System, 7/1/89 through 6/30/90.
Marrow, Alvin J., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Monson, Arvid M., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Osborne, Anne M., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Petrey-Blandau, Sandra, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Prosperino, Paul A., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Smith, Tammy, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Wilder, Harriett, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Henderson Community College

Allison, Mary E., Instructor in the Community College System, 7/1/89 through 6/30/90.
Bishop, Fern G., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Black, William V., Instructor in the Community College System, 7/1/89 through 6/30/90.
Bogart, Leslie A., Instructor in the Community College System, 7/1/89 through 6/30/90.
Cherry, Doris I., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Donahue, Victoria P., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Duffy, Sharon K., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Evans, Marsha G., Instructor in the Community College System, 7/1/89 through 6/30/90.
Thurman, Michael E., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Tutt, Larry A., Instructor in the Community College System, 7/1/89 through 6/30/90.
Walters, Michael E., Librarian III in the Community College System, 7/1/89 through 6/30/90.
Wilder, Mary Gail, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Hopkinsville Community College

Askew, Mary Lynn, Instructor in the Community College System, 7/1/89 through 6/30/90.
Bridges, Gillis, Instructor in the Community College System, 7/1/89 through 6/30/90.
Bush, Patricia, Instructor in the Community College System, 7/1/89 through 6/30/90.
Cavanah, Sandra W., Instructor in the Community College System, 7/1/89 through 6/30/90.
Duncan, Jackie, Instructor in the Community College System, 7/1/89 through 6/30/90.
Edney, James W., Librarian IV in the Community College System, 7/1/89 through 6/30/90.
Larkin-Bussell, Vernell, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Snyder, Rebecca T., Instructor in the Community College System, 7/1/89 through 6/30/90.
Stahl, Anne, Instructor in the Community College System, 7/1/89 through 6/30/90.
VonLanken, George D., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Jefferson Community College

Artmayer, Jacqueline H., Instructor in the Community College System, 7/1/89 through 6/30/90.
Ash, Daniel W., Instructor in the Community College System, 7/1/89 through 6/30/90.
Besser, Mary P., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Bingham, Marti P., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Bonnell, Loretta G., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Booth, Beverly M., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Briley, Rebecca, Instructor in the Community College System, 7/1/89 through 6/30/90.
Brown, Robert P., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Brown, Sarah E., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Casper, Martin A., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Colston, Bruce, Instructor in the Community College System, 7/1/89 through 6/30/90.
Costello, Phyllis, Instructor in the Community College System, 7/1/89 through 6/30/90.
Davis, Frank A., Instructor in the Community College System, 7/1/89 through 6/30/90.
Deines, Judith, Instructor in the Community College System, 7/1/89 through 6/30/90.
Finney, Anne M., Instructor in the Community College System, 7/1/89 through 6/30/90.
Franz, Shirley J., Instructor in the Community College System, 7/1/89 through 6/30/90.
Gehrke-Griswold, Rebecca A., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Haas, Ralph C., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Hager, Kathy K., Instructor in the Community College System, 7/1/89 through 6/30/90.
Hamilton, William H., Instructor in the Community College System, 7/1/89 through 6/30/90.
Hancock, Joyce, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Holman, Gaye D., Instructor in the Community College System, 7/1/89 through 6/30/90.
Johnson, Mark D., Instructor in the Community College System, 7/1/89 through 6/30/90.
Lambert, Thomas E., Instructor in the Community College System, 7/1/89 through 6/30/90.
Land, Carol G., Instructor in the Community College System, 7/1/89 through 6/30/90.
LeMaster, Vicki, Instructor in the Community College System, 7/1/89 through 6/30/90.
Lewis, Kathy, Instructor in the Community College System (voluntary), 3/1/89 through 6/30/92.
Matthews, Jack W., Instructor in the Community College System, 7/1/89 through 6/30/90.
Morrison, Linda J., Instructor in the Community College System, 7/1/89 through 6/30/90.
Osborne, Sharon, Instructor in the Community College System, 7/1/89 through 6/30/90.
Prather, Mark C., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Recktenwald, Rita G., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Rowland, Ann L., Instructor in the Community College System, 7/1/89 through 6/30/90.
Schuhmann, JoAnn, Instructor in the Community College System, 7/1/89 through 6/30/90.
Sprinkle, Amy, Instructor in the Community College System, 7/1/89 through 6/30/90.

Steedly, Judith, Instructor in the Community College System, 7/1/89 through 6/30/90.
Steiden, William A., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Stout, Sally L., Instructor in the Community College System, 7/1/89 through 6/30/90.
Straub, Joan V., Instructor in the Community College System, 7/1/89 through 6/30/90.
Strickland, Warner, Instructor in the Community College System, 7/1/89 through 6/30/90.
Turner, Tena, Instructor in the Community College System, 7/1/89 through 6/30/90.
Warren, Frances O., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Wilburn, Mark S., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Lexington Community College

Barber, Cynthia E., Instructor in the Community College System, 7/1/89 through 6/30/90.
Combs, Charlene F., Instructor in the Community College System, 7/1/89 through 6/30/90.
Coulston, Charles, Instructor in the Community College System, 7/1/89 through 6/30/90.
Crowley, Lillie F., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Delfino, John C., Instructor in the Community College System, 7/1/89 through 6/30/90.
DeMers, Celia, Instructor in the Community College System, 7/1/89 through 12/31/89.
DeMers, Freda S., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Embry, Nolen U., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Hicks, Janet, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Hollingsworth, Randolph, Instructor in the Community College System, 7/1/89 through 6/30/90.
Holt, Daniel L., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Humble, Jeanne, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Hunt, Carol A., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
LaFontaine, Lucy, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Lenning, Kristan, Instructor in the Community College System, 7/1/89 through 6/30/90.
Marhsall, Dennis, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Matchuny, James K., Instructor in the Community College System, 7/1/89 through 6/30/90.
Miller, Sharon, Librarian III in the Community College System, 7/1/89 through 6/30/90.
Mowbray, Erla J., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Murphy, Donna L., Instructor in the Community College System, 7/1/89 through 6/30/90.
Olson-Biglieri, Joanne, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Ott, John Stephen, Instructor in the Community College System, 7/1/89 through 6/30/90.
Perkins, Barbara A., Instructor in the Community College System, 7/1/89 through 6/30/90.
Robison, Janet R., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Simmons, J. Bryan, Instructor in the Community College System, 7/1/89 through 6/30/90.
Singleton, Debbie, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Swetnam, Bruce A., Instructor in the Community College System, 7/1/89 through 6/30/90.
Walker, Frederick L., Instructor in the Community College System, 7/1/89 through 6/30/90.
Walters, James T., Instructor in the Community College System, 7/1/89 through 6/30/90.

Madisonville Community College

Anderson, James D., Instructor in the Community College System, 7/1/89 through 6/30/90.
Bellarmine, Thomas G., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Bolin, James D., Instructor in the Community College System, 7/1/89 through 6/30/90.
Calvert, Jacquelyn B., Librarian IV in the Community College System, 7/1/89 through 6/30/90.
Clayton, Donald O., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Dean, J. Michial, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Hawkins, Judith G., Instructor in the Community College System, 7/1/89 through 6/30/90.
Jewell, Gregory W., Assistant Professor in the Community College System, 7/1/89 through 6/30/90
Johnson, Felecia K., Instructor in the Community College System, 7/1/89 through 6/30/90.
Rogers, Eric O., Instructor in the Community College System, 7/1/89 through 6/30/90.
Sellers, S. Liale, Instructor in the Community College System, 7/1/89 through 6/30/90.
Simmons, Patricia L., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Stocker, Michael G., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Stone, Forest E., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Vander Ploeg, Scott D., Instructor in the Community College System, 7/1/89 through 6/30/90.

Maysville Community College

Eads, Sonja R., Librarian III in the Community College System, 7/1/89 through 6/30/90.
Hunter, Nancy D., Instructor in the Community College System, 7/1/89 through 6/30/90.
Sturdivant, Tyrone, Instructor in the Community College System, 7/1/89 through 6/30/90.
Thomas, Stephen R., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Wilson, Sharon G., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Wylie, Jeff B., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Owensboro Community College

Barrett, Lana S., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Beck, Bruce L., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Benningfield, Dotty, Instructor in the Community College System, 7/1/89 through 6/30/90.
Ford, Constance, Instructor in the Community College System, 7/1/89 through 6/30/90.
Glenn, Cornelia J., Instructor in the Community College System, 7/1/89 through 6/30/90.
Glenn, James H., Instructor in the Community College System, 7/1/89 through 6/30/90.
Keeton, Nancy A., Instructor in the Community College System, 7/1/89 through 6/30/90.
Johnson, James L., Instructor in the Community College System, 7/1/89 through 6/30/90.
Ledford, Julia C., Instructor in the Community College System, 7/1/89 through 6/30/90.
Maltby, Marc S., Instructor in the Community College System, 7/1/89 through 6/30/90.
Mowers, Kathleen A., Instructor in the Community College System, 7/1/89 through 6/30/90.
Mundell, Donald W., Instructor in the Community College System, 7/1/89 through 6/30/90.
Quisenberry, Larry T., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Smith, Max, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Stamper, Linda S., Instructor in the Community College System, 7/1/89 through 6/30/90.
St.John, Barbara C., Instructor in the Community College System, 7/1/89 through 6/30/90.
Walton, Stephen, Instructor in the Community College System, 7/1/89 through 6/30/90.
Wipf, Arlan H., Librarian IV in the Community College System, 7/1/89 through 6/30/90.

Paducah Community College

Bigham, Larry D., Instructor in the Community College System, 7/1/89 through 6/30/90.
Blaine, Patricia A., Instructor in the Community College System, 7/1/89 through 6/30/90.
Boswell, Renee, Instructor in the Community College System, 7/1/89 through 6/30/90.
Downs, Lisa, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Hendley, Helen R., Instructor in the Community College System, 7/1/89 through 6/30/90.
Jenkins, Robin D.,Instructor in the Community College System, 7/1/89 through 6/30/90.
Lambert, Leslie P., Instructor in the Community College System, 7/1/89 through 6/30/90.
Lengsfield, Diane M., Instructor in the Community College System, 7/1/89 through 6/30/90.
Payne, Tena B., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Reynolds, Delilah, Instructor in the Community College System, 7/1/89 through 6/30/90.
Taylor, Susan D., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Walker, Karen, Instructor in the Community College System, 7/1/89 through 6/30/90.

Prestonsburg Community College

Azeem, Arif, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Bennin, Hope E., Instructor in the Community College System, 7/1/89 through 6/30/90.
Bevens, Walter B., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Carlson, Dorothy E., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Formsma, Gordon G., Instructor in the Community College System, 7/1/89 through 6/30/90.
Gies, Thomas M., Instructor in the Community College System, 7/1/89 through 6/30/90.
Goodwill, Roger H., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Herman, Douglas E., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Herrin, V. Gay, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Izadi, Dariush, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Jurich, Sheryl B., Instructor in the Community College System, 7/1/89 through 6/30/90.
Leon, Ana E., Instructor in the Community College System, 7/1/89 through 6/30/90.
Logsdon, Kathy A., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Looney, Robert E., Instructor in the Community College System, 7/1/89 through 6/30/90.
Mahmoodi, Mahnaz, Instructor in the Community College System, 7/1/89 through 6/30/90.
Miller, T. Mark, Instructor in the Community College System, 7/1/89 through 6/30/90.
Milon, Theresa A., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Saad, Toufic A., Instructor in the Community College System, 6/1/89 through 6/30/89.
Saad, Toufic A., Instructor in the Community College System, 7/1/89 through 6/30/90.
Salajegheh, Mohammad, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Slone, Kenneth R., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Stewart, Kathy C., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Sutton, Joe D., Instructor in the Community College System, 7/1/89 through 6/30/90.
Trimble, D. Melody, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Somerset Community College

Armstrong, Anthony, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Berry, John H., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Cleberg, Steve, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Crabtree, Gloria L., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Fries, Wanda, Instructor in the Community College System, 7/1/89 through 6/30/90.
Khondker, Karim, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
King, Kenneth, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Mihankhah, Mihanpoor, Instructor in the Community College System, 7/1/89 through 6/30/90.

Nazhat, Saadi, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Patel, Amrit, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Powell, Nancy, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Railey, George, Instructor in the Community College System, 7/1/89 through 6/30/90.
Saeed, Najam, Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Southeast Community College

Cox, Robert S., Instructor in the Community College System, 7/1/89 through 6/30/90.
Dreyer, Jennifer D., Instructor in the Community College System, 7/1/89 through 6/30/90.
Forbes, Zelma, Instructor in the Community College System, 7/1/89 through 6/30/90.
Heaberlin, John C.,Librarian IV in the Community College System, 7/1/89 through 6/30/90.
Howard, Margaret P., Instructor in the Community College System, 7/1/89 through 6/30/90.
Lee, Dwight G., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Martin, Joann B., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Meade, Eugene, Instructor in the Community College System, 7/1/89 through 6/30/90.
Pickens, Harryette C., Instructor in the Community College System, 7/1/89 through 6/30/90.
Pratt, Roberta W., Instructor in the Community College System, 7/1/89 through 6/30/90.
Robinson, Carl C., Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Rogers, Vivian E., Instructor in the Community College System, 7/1/89 through 6/30/90.
Schertz, Ann E., Instructor in the Community College System, 7/1/89 through 6/30/90.
Thompson, Carlie, Instructor in the Community College System, 7/1/89 through 6/30/90.
Vermillion, Jerry, Instructor in the Community College System, 7/1/89 through 6/30/90.
Wilder, Karen, Instructor in the Community College System, 7/1/89 through 6/30/90.
Zhang, Sha Li, Librarian IV in the Community College System, 7/1/89 through 6/30/90.

C. PROMOTIONS WITHOUT TENURE

Ashland Community College

Goodale, Jane M., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Hosto, Timothy J., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Jones, Kevin, from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/89 through 6/30/90.
Niestroy, Sue A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Elizabethtown Community College

Childress, Jane, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Foster, Loretta, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Schork, James E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Hazard Community College

Adams, Mary Dianne, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Delpont, Donna L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Fulton, Lou Vera, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Galmish, Henry P., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Mobelini, Deronda C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Henderson Community College

Kline, Sally H., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Maltby, Lorie A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Nicholson, Mary E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Wells, Rebecca J., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Wickersham, Helen C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Zausch, Jo F., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Hopkinsville Community College

Bingham, Wanda, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Hunter, James T., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Smith, Sharon P., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Jefferson Community College

Anderson, Rosemarie G., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Arterburn, Kay P., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Beckham, Judith, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Beery, Michael E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Butsch, Pamela M., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Butsch, Richard A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Clinkenbeard, Alan, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Cummings, Deloris Jean, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Eddleman, Caroline C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Freeman, Patricia S., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Le, Nghia T., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Long, Quinn D., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Mollaghasemi, Hossein, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Ransom, Debra K., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Rasras, Awad R., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Roy, Donald H., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Spurling, Katherine, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Lexington Community College

Adkins, Michael A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Famularo, Joy G., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Murphy, William K., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Tolliver, Delmar S., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Madisonville Community College

Anderson, Cynthia H., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Gallagher, Jo Emma, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Maysville Community College

Dunaway, Linda K., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Garrison, Janet L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Owensboro Community College

Carden, Stephen D., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Davis, Frances A., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/89 through 6/30/90.
Dick, Timothy T., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Flynn, Jody L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Flynn, Philip K., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Hiten, Mary Jean, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Marroquin, Fernando, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Mayes, Joan D., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
McDonough, Greta J., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Runyon, Carl R., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
West, William R., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Yazvac, Joseph, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Paducah Community College

Ridgeway, Gail C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Prestonsburg Community College

Holler, Nancy L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Morris, Trisha A., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/89 through 6/30/90.
Music, Brenda C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Rozovich, Jennifer J., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Sturgill, Monnette N., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Somerset Community College

Crabtree, Ralph M., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.
Fleenor, Dana, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

Southeast Community College

Reid, Robert E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/89 through 6/30/90.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Ready, Richard C., Assistant Professor, Agricultural Economics, 4/24/89 through 6/30/90.
Snell, William M., Assistant Extension Professor, Agricultural Economics, 5/15/89 through 6/30/90.

College of Architecture

O'Bryan, Mark J., Assistant Professor, 8/16/89 through 6/30/91.

College of Arts and Sciences

Alexander, William P., Assistant Professor, Statistics, 8/16/89 through 6/30/91.
Brannon, Robert L., Assistant Professor, Sociology, 8/16/89 through 6/30/91.
Hemenway, Robert E., Professor (with tenure), English, effective 7/1/89.
Hislop, Peter D., Assistant Professor, Mathematics, 8/16/89 through 6/30/91.
Hunter, Thomas John, Assistant Professor, Mathematics, 8/16/89 through 6/30/90.
James, Matthew R., Assistant Professor, Mathematics, 8/16/89 through 6/30/91.
Pinney, Karen R., Assistant Professor, Mathematics, 8/16/89 through 6/30/91.

College of Business and Economics

Bushong, Joe Gregory, Assistant Professor, Accountancy, 8/16/89 through 6/30/90.

College of Communications

Carrier, Norman L., Assistant Professor*, Journalism, 8/16/89 through 6/30/90.
Kalbfleisch, Pamela J., Assistant Professor, Communication, 8/16/89 through 6/30/90.
McLaughlin, Lisa M., Assistant Professor*, Journalism, 8/16/89 through 6/30/90.

B. ADMINISTRATIVE APPOINTMENTS

College of Agriculture

Smith, M. Scott, Professor (with tenure), Agronomy, appointed Chairman, Agronomy, 6/1/89 through 5/31/95.

*Special Title Series

College of Arts and Sciences

MacKellar, Alan D., Professor (with tenure), and Chairman, Physics and Astronomy, reappointed Chairman, Physics and Astronomy, 7/1/89 through 6/30/93.

Rawls, John M., Associate Professor (with tenure) and Acting Director, Biological Sciences, appointed Director, Biological Sciences, 7/1/89 through 6/30/93.

College of Communications

Lindlof, Thomas R., Associate Professor (with tenure), Telecommunications, appointed Chairman, Telecommunications, 7/1/89 through 6/30/93.

College of Engineering

Hamrin, Charles E., Jr., Professor (with tenure), Chemical Engineering, appointed Chairman, Chemical Engineering, 7/1/89 through 6/30/93.

Nasar, Syed A., Professor (with tenure), Electrical Engineering, appointed Chairman, Electrical Engineering, 7/1/89 through 6/30/93.

College of Fine Arts

Clarke, W. Harry, Associate Professor (with tenure)*, Music, appointed Director, Music, 7/1/89 through 6/30/93.

C. TENURE

College of Education

Bulbulian, Ronald, from Associate Professor (without tenure), Health, Physical Education and Recreation, to Associate Professor, (with tenure), Health, Physical Education, and Recreation, effective 7/1/89.

D. PROMOTIONS

College of Agriculture

Barrett, Michael, from Assistant Professor (without tenure), Agronomy, to Associate Professor (with tenure), Agronomy, effective 7/1/89.

Dougherty, Charles T., from Associate Professor (with tenure), Agronomy, to Professor (with tenure), Agronomy, effective 7/1/89.

Hansen, Gary L., from Assistant Extension Professor (without tenure), Sociology, to Associate Extension Professor (with tenure), Sociology, effective 7/1/89.

Hershman, Donald E., from Assistant Extension Professor (without tenure), Plant Pathology, to Associate Extension Professor (with tenure), Plant Pathology, effective 7/1/89.

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*Special Title Series

Ilvento, Thomas W., from Assistant Extension Professor (without tenure), Sociology, to Associate Extension Professor (with tenure), Sociology, effective 7/1/89.

Quick, J. Samuel, III, from Associate Extension Professor (with tenure), Home Economics Extension, to Extension Professor (with tenure), Home Economics Extension, effective 7/1/89.

Strang, John G., from Associate Extension Professor (with tenure), Horticulture and Landscape Architecture, to Extension Professor (with tenure), Horticulture and Landscape Architecture, effective 7/1/89.

Williamson, Lionel, from Assistant Extension Professor (without tenure), Agricultural Economics, to Associate Extension Professor (with tenure), Agricultural Economics, effective 7/1/89.

College of Arts and Sciences

Albisetti, James C., from Associate Professor (with tenure), History, and Associate Professor (without tenure), Honors, Academic Affairs, to Professor (with tenure), History, and Professor (without tenure), Honors, Academic Affairs, effective 7/1/89.

Cogswell, Thomas E., from Assistant Professor (without tenure), History, to Associate Professor (with tenure), History, effective 7/1/89.

Jones, John Paul, III, from Assistant Professor (without tenure), Geography, to Associate Professor (with tenure), Geography, effective 7/1/89.

Rimmer, Susan M., from Assistant Professor (without tenure), Geological Sciences, to Associate Professor (with tenure), Geological Sciences, effective 7/1/89.

Stanton, Edward F., from Associate Professor (with tenure), Spanish and Italian, to Professor (with tenure), Spanish and Italian, effective 7/1/89.

College of Education

Beal, Rayma K., from Assistant Professor (without tenure)*, Health, Physical Education, and Recreation, to Associate Professor (with tenure)*, Health, Physical Education, and Recreation, effective 7/1/89.

Newell, George E., from Assistant Professor (without tenure), Curriculum and Instruction, to Associate Professor (with tenure), Curriculum and Instruction, effective 7/1/89.

E. REAPPOINTMENT IN JOINT APPOINTMENT WITH PROMOTION

College of Arts and Sciences

van Willigen, John, from Professor (with tenure), Anthropology, and Associate Professor (without tenure), Behavioral Science, College of Medicine, to Professor (with tenure), Anthropology, and Professor (without tenure), Behavioral Science, College of Medicine, 7/1/89 through 6/30/90.

*Special Title Series

F. RETIREMENTS

College of Agriculture

Conley, Imogene, County Extension Secretary, Cooperative Extension Service, after 47.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/89.
Rodriguez, Juan G., Professor (with tenure), Entomology, after 40 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/89.
Rodriguez, Lorraine D., Research Specialist, Entomology, after 9.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/89.
Wilson, James C., Research Specialist, Veterinary Science, after 30 consecutive years of service, under Section III.B of AR II-1.6-1, effective 7/31/89.

College of Fine Arts

Henderson, Hubert P., Professor (with tenure)*, Music, after 24 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/89.

Vice Chancellor for Administration

Cummings, Joseph, Jr., Supervisor, Sheet Metal Shop, Physical Plant Division, after 29 consecutive years of service, under Section III.B of AR II-1.6-1, effective 7/31/89.
Simmons, Wanda L., Disabled Staff, Employee Benefits and Risk Management, after 18 consecutive years of service, under Section III.B of AR II-1.6-1, effective 7/31/89.

G. EARLY RETIREMENTS

College of Agriculture

Martin, Jewell M., EFNEP Assistant, Cooperative Extension Service, after 15.5 consecutive years of service, under Section III.A.1(a) of AR II-1.6-1, effective 6/1/89.
Whitehead, Samuel D., Inspector, Regulatory Services, after 34 consecutive years of service, under Section III.A.2(a) of AR II-1.6-1, effective 7/7/89.

College of Arts and Sciences

Bacdayan, Albert, Associate Professor (with tenure), Anthropology, after 22 consecutive years of service, under Section III.A.1(a) of AR II-1.6-1, effective 6/30/89.

*Special Title Series

College of Business and Economics

Owen, James G., Director, Center for Business Development, after 15.5 consecutive years of service, under Section III.A.1(a) of AR II-1.6-1, to change ending date from 5/31/89 to 6/30/89, as reported in May 2, 1989 Minutes of the Board of Trustees.

College of Education

Gruber, Joseph J., Professor (with tenure), Health, Physical Education, and Recreation, after 22 consecutive years of service, under Section III.A.1(a) of AR II-1.6-1, effective 6/30/89.

College of Fine Arts

Pierce, James S., Professor (with tenure), Art, after 20 consecutive years of service, under Section III.A.1(a) of AR II-1.6-1, effective 6/30/89.

Vice Chancellor for Academic Affairs

Elliott, Kenneth W., Associate Professor (with tenure), and Administrative Staff Officer III, University Press, after 39 consecutive years of service, under Section III.A.2(a) of AR II-1.6-1, effective 7/3/89.

Vice Chancellor for Administration

Powell, Opal, M., Food Service Worker I, Auxiliary Services, Blazer Dining Service, after 26 consecutive years of service, under Section III.A.2(b) of AR II-1.6-1, effective 7/3/89.

H. POST-RETIREMENT APPOINTMENT

College of Education

Gruber, Joseph J., Professor Emeritus (part-time), Health, Physical Education and Recreation, 7/1/89 through 6/30/90.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Architecture

Hennessey, Leslie G., Assistant Professor, 8/16/89 through 6/30/91.
Jahed, Peyman, Instructor (part-time), 8/16/89 through 5/15/90.
Porter, Roy M., Assistant Professor, 8/16/89 through 6/30/91.

*Special Title Series

College of Arts and Sciences

Gargola, Daniel J., Assistant Professor, History, 8/16/89 through 6/30/90.
Gordon, Fon Louise, Assistant Professor, History, 7/1/89 through 6/30/90.
Kennedy, Barbara Lee, Assistant Professor, English, 8/16/89 through 6/30/91.
Kern, Kathi L., Assistant Professor, History, 8/16/89 through 6/30/90.
MacDonald, Joyce G., Assistant Professor, English, 8/16/89 through 6/30/90.
Mortensen, Peter L., Assistant Professor, English, 8/16/89 through 6/30/90.
Riggle, Ellen D., Assistant Professor, Political Science, 8/16/89 through 6/30/91.
Wasserstrom, Jeffrey N., Assistant Professor, History, 8/16/89 through 6/30/90.

College of Communications

Waldron, Vincent R., Assistant Professor, Communication, 8/16/89 through 6/30/90.

College of Engineering

Hancock, Tony A., Adjunct Assistant Professor, Chemical Engineering, 7/1/89 through 6/30/90.

College of Home Economics

Tew, Shannon, Assistant Professor, Human Environment: Design, 8/16/89 through 6/30/90.

College of Social Work

Clendenin, Marie T., Assistant Professor (part-time)*, 8/16/89 through 5/15/90.
Latimer, Jonathan T., Assistant Professor (part-time)*, 8/16/89 through 5/15/90.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Dendle, Brian, Professor (with tenure), Spanish and Italian, appointed Acting Chairman, Spanish and Italian, 8/16/89 through 5/15/90.

*Special Title Series

College of Business and Economics

Marsden, James R., Professor (with tenure), and Acting Chairman Decision Science and Information Systems, reappointed Acting Chairman, Decision Science and Information Systems, 8/16/89 through 5/15/90.
Mullineaux, Donald J., Professor (with tenure), and Acting Chairman, Finance, reappointed Acting Chairman, Finance, 8/16/89 through 5/15/90.
Skinner, Steven J., Professor (with tenure), Marketing, appointed Acting Chairman, Marketing, 8/16/89 through 5/15/90.

College of Engineering

Drnevich, Vincent P., Professor (with tenure), Civil Engineering, appointed Acting Dean, 7/1/89 through 6/30/90.

Vice Chancellor for Academic Affairs

Chesser, Roger, Acting General Manager, WBKY, appointed General Manager, WBKY, effective 5/1/89.

Vice Chancellor for Administration

Skeen, Mary R., appointed Hospital Purchasing Manager, Purchasing, effective 5/1/89.

C. REAPPOINTMENTS

College of Agriculture

Aaron, Debra K., Assistant Professor, Animal Sciences, and, joint appointment as Assistant Professor, Statistics, College of Arts and Sciences, 7/1/89 through 6/30/90.
Amaral, Donna M., Assistant Extension Professor, Animal Sciences, 7/1/89 through 6/30/90.
Burmeister, Larry L., Assistant Professor, Sociology, 7/1/89 through 6/30/90.
Decker, Eric A., Assistant Professor, Animal Sciences, 7/1/89 through 6/30/90.
Dwyer, Roberta M., Instructor, Veterinary Science, 7/1/89 through 6/30/90.
Fitzgerald, Barry P., Assistant Professor, Veterinary Science, 7/1/89 through 6/30/90.
Geneve, Robert L., Assistant Professor, Horticulture and Landscape Architecture, 7/1/89 through 6/30/90.
Granstrom, David E., Assistant Professor, Veterinary Science, 7/1/89 through 6/30/90.
Houtz, Robert L., Assistant Professor, Horticulture and Landscape Architecture, 7/1/89 through 6/30/90.
McDowell, Karen, Assistant Professor, Veterinary Science, 7/1/89 through 6/30/90.

*Special Title Series

Means, Warrie J., Assistant Extension Professor, Animal Sciences, 7/1/89 through 6/30/90.
Patterson, David J., Assistant Extension Professor, Animal Sciences, 7/1/89 through 6/30/90.
Pescatore, Anthony J., Assistant Extension Professor, Animal Sciences, 7/1/89 through 6/30/90.
Petrites-Murphy, Marie, Assistant Professor, Veterinary Science, 7/1/89 through 6/30/90.
Powell, David G., Assistant Extension Professor, Veterinary Science, 7/1/89 through 6/30/90.
Ringe, James M., Assistant Professor, Forestry, 7/1/89 through 6/30/90.
Schardl, Christopher L., Assistant Professor, Plant Pathology, 7/1/89 through 6/30/90.
Silvia, William J., Assistant Professor, Animal Sciences, 7/1/89 through 6/30/90.
Thompson, Kent N., Assistant Professor, Veterinary Science, 7/1/89 through 6/30/90.
Wagner, David B., Assistant Professor, Forestry, 7/1/89 through 6/30/90.
Weston, Leslie A., Assistant Professor, Horticulture and Landscape Architecture, 7/1/89 through 6/30/90.
Wood, Craig H., Assistant Extension Professor, Animal Sciences, 7/1/89 through 6/30/90.

College of Arts and Sciences

Bialecki, Bernard, Assistant Professor, Mathematics, 7/1/89 through 6/30/91.
Biglieri, Anibal A., Assistant Professor, Spanish and Italian, 7/1/89 through 6/30/91.
Blum, Virginia, Assistant Professor, English, 7/1/89 through 6/30/91.
Brezovec, Carl, Assistant Professor, Mathematics, 7/1/89 through 6/30/91.
Chassen-Lopez, Francie, Assistant Professor, History, 7/1/89 through 6/30/91.
Eldred, Janet M., Assistant Professor, English, 7/1/89 through 6/30/91.
Flynn, Robert E., Instructor (temporary), Russian and Eastern Studies, 8/16/89 through 5/15/90.
Han, Yijie, Assistant Professor, Computer Science, 7/1/89 through 6/30/91.
Harmin, David A., Assistant Professor, Physics and Astronomy, 7/1/89 through 6/30/91.
Hobson, Elizabeth, Instructor (part-time), English, 1/1/89 through 5/15/89.
Hofling, Charles A., Assistant Professor, Anthropology, 7/1/89 through 6/30/90.
Malice, Marie-Pierre, Assistant Professor, Statistics, 7/1/89 through 6/30/91.
Martin, Nicholas L. S., Assistant Professor, Physics and Astronomy, 7/1/89 through 6/30/91.

*Special Title Series

O'Hara, Kieran, Assistant Professor, Geological Sciences, 7/1/89 through 6/30/90.
Pickar, Mark A., Assistant Professor, Physics and Astronomy, 7/1/89 through 6/30/91.
Rayens, William S., Assistant Professor, Statistics, 7/1/89 through 6/30/91.
Utikal, Klaus J., Assistant Professor, Statistics, 7/1/89 through 6/30/90.

College of Communications

Hertog, James, Instructor, Journalism, 7/1/89 through 6/30/90.
Levitt, Steven R., Assistant Professor, Telecommunications, 7/1/89 through 6/30/90.
Scheiner, Edward C., Assistant Professor, Journalism, 7/1/89 through 6/30/90.

College of Engineering

Huffsey, Ralph R., Adjunct Assistant Professor, Civil Engineering, 8/16/89 through 12/31/89.

College of Social Work

Acra, Paul Douglas, Assistant Professor (part-time)*, 8/16/89 through 5/15/90.
Barone, Anthony J., Assistant Professor (part-time)*, 8/16/89 through 5/15/90.
Clark, Gail F., Instructor (part-time)*, 8/16/89 through 5/15/90.
Collins, Lucky Jones, Assistant Professor (part-time)*, 8/16/89 through 5/15/90.
Day, Anna Grace, Associate Professor (part-time)*, 8/16/89 through 5/15/90.
Erwin, Anne B., Assistant Professor (part-time)*, 8/16/89 through 5/15/90.
Isaacs, Paul F., Assistant Professor (part-time)*, 8/16/89 through 5/15/90.
Isenhour, Lenora H., Assistant Professor (part-time)*, 8/16/89 through 5/15/90.
Kimel, Kris W., Assistant Professor (part-time)*, 8/16/89 through 5/15/90.
Krause, Robert L., Adjunct Assistant Professor, 8/16/89 through 5/15/92.
Lampton, T. Patrick, Assistant Professor (part-time)*, 8/16/89 5/15/90.
Marshall, Ted A., Associate Professor (part-time)*, 8/16/89 through 5/15/90.
Mazzaro, Tony, Associate Professor (part-time)*, 8/16/89 through 5/15/90.
Rudy, David R., Professor (part-time)*, 8/16/89 through 5/15/90.
Seelig, John Michael, Associate Professor (part-time)*, 8/16/89 through 5/15/90.

*Special Title Series

Stivers, Teresa W., Assistant Professor (part-time)*, 8/16/89 through 5/15/90.
Talsky, Gerald J., Assistant Professor (part-time)*, 8/16/89 through 5/15/90.
Weir, Ivan Ray, Assistant Professor (part-time)*, 8/16/89 through 5/15/90.
Weir, Myra, Assistant Professor (part-time)*, 8/16/89 through 5/15/90.
Worful, Barbara Lee, Adjunct Assistant Professor, 8/16/89 through 5/15/92.

D. REAPPOINTMENT IN JOINT APPOINTMENT

College of Arts and Sciences

Dekin, Michael S., Assistant Professor, Biological Sciences, joint reappointment as Assistant Professor, Physiology and Biophysics, College of Medicine, 7/1/89 through 6/30/90.

E. CHANGES

College of Architecture

Plymale, Keith D., from Assistant Professor (temporary), to Assistant Professor (regular title series), 7/1/89 through 6/30/91.

College of Business and Economics

Kane, Michael J., from Assistant Professor (regular title series), Management, to Assistant Research Professor, College of Business and Economics, 7/1/89 through 6/30/90.
Wells, Jane B., from Instructor (part-time), Accountancy, to Assistant Professor*, Accountancy, 8/16/89 through 6/30/90.

F. TERMINAL REAPPOINTMENTS

College of Arts and Sciences

Natter, Wolfgang, Instructor, German, 7/1/89 through 6/30/90.

College of Business and Economics

Bokemeier, L. Charles, Assistant Professor, Accountancy, 7/1/89 through 6/30/90.

G. LEAVES OF ABSENCE

College of Agriculture

Osborne, Lawrence J., County Extension Agent, Cooperative Extension Service, Study leave at half salary, 7/25/89 through 7/24/90.

*Special Title Series

College of Arts and Sciences

Allen, John J., Professor (with tenure), Spanish and Italian, To change from Sabbatical leave with full salary for Fall Semester 1989 to Sabbatical leave with half salary for Fall Semester 1989 and Spring Semester 1990.
Berry, Wendell E., Professor (with tenure), English, Leave without pay, Spring Semester 1990.
Blues, Thomas O., Associate Professor (with tenure), English, Sabbatical leave with full salary, Spring Semester 1990.
Cawelti, John G., Professor (with tenure), English, Leave with partial pay, Fall Semester 1989, and Sabbatical leave with half salary, Spring Semester 1990.
Everett, Percival L., Associate Professor (with tenure), English, Leave without pay, Fall Semester 1989 and Spring Semester 1990.
Gallaher, Art, Professor (with tenure), Anthropology, Sabbatical leave with full salary, Spring Semester 1990.
Gardner, Joseph H., Associate Professor (with tenure), English, Sabbatical leave with full salary, Spring Semester 1990.
Hirth, Kenneth G., Associate Professor (with tenure), Anthropology, Sabbatical leave with half salary, Fall Semester 1989 and leave with partial pay, Spring Semester 1990.
Malice, Marie-Pierre, Assistant Professor, Statistics, Leave without pay, Fall Semester 1989 and Spring Semester 1990.
Prescott, Laurence E., Associate Professor (with tenure), Spanish and Italian, Leave without pay, Fall Semester 1989 and Spring Semester 1990.
Weil, Jesse L., Professor (with tenure), Physics and Astronomy, Sabbatical leave with half salary, Fall Semester 1989 and Spring Semester 1990.
Widiger, Thomas A., Associate Professor (with tenure), Psychology, Leave without pay, Fall Semester 1989 and Spring Semester 1990.
Williams, Bruce A., Associate Professor (with tenure), Political Science, Leave without pay, Spring Semester 1990.

College of Business and Economics

Wallace, Marc D., Professor (with tenure), Management, Leave without pay, Fall Semester 1989 and Spring Semester 1990.

College of Home Economics

Barclay, Lisa K., Professor (with tenure), Family Studies, Sabbatical leave with full salary, Spring Semester 1990.

College of Law

Fortune, William H., Professor (with tenure), To cancel leave without pay for Fall Semester 1989 and Spring Semester 1990.

College of Social Work

Brautigam, Richard K., Associate Professor (with tenure)*, Sabbatical leave with half salary, Fall Semester 1989 and Spring Semester 1990.

*Special Title Series

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Collins, Terence R., Professor (without tenure), Preventive Medicine and Environmental Health, 5/1/89 through 4/30/90.

deBeer, Frederick C., Professor (without tenure), Medicine, 3/15/89 through 3/14/90.

Dowell, Russell T., Research Professor (without tenure), Physiology and Biophysics, 4/1/89 through 6/30/89.

Getchell, Thomas V., Professor (without tenure), Physiology and Biophysics, also Associate Dean for Research and Basic Sciences, 7/1/89 through 6/30/90.

Gupta, Ramesh C., Professor (without tenure), Preventive Medicine and Environmental Health, 7/12/89 through 7/11/90.

Hannan, Mohammed A., Clinical Associate Professor (voluntary), Radiation Medicine, 1/1/89 through 6/30/91.

Mattson, Mark P., Assistant Professor, Anatomy and Neurobiology, 4/1/89 through 6/30/89.

McGillis, Joseph P., Assistant Professor, Microbiology and Immunology, 5/1/89 through 6/30/90.

Osetinsky, Gregory V., Assistant Professor, Surgery, 7/1/89 through 6/30/90.

Shraberg, David, Clinical Associate Professor (voluntary), Psychiatry, 4/1/89 through 6/30/91.

Smith, Albert J., Clinical Associate Professor (voluntary), Medicine, 6/1/89 through 6/30/91.

Speach, Albert, Assistant Professor, Surgery, 7/1/89 through 6/30/90.

College of Pharmacy

Byrd, William Garlen, Associate Professor (voluntary), 5/1/89 through 6/30/91.

B. JOINT APPOINTMENTS

College of Dentistry

Ownby, Harold, Clinical Associate Professor (voluntary), Oral Health Practice, joint appointment as Clinical Associate Professor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.

*Special Title Series

C. REAPPOINTMENTS

College of Allied Health Professions

Gallicchio, Vincent, Associate Professor (without tenure), Clinical Sciences, joint appointment as Associate Professor (without tenure), College of Medicine, Department of Radiation Medicine, and joint appointment as Associate Professor (without tenure), College of Medicine, Department of Microbiology and Immunology, effective 7/1/89 through 6/30/90.

College of Medicine

Dowell, Russell T., Research Professor (without tenure), Physiology and Biophysics, 7/1/89 through 6/30/90.

College of Pharmacy

Lai, Yih-Loong, Associate Research Professor (without tenure), 7/1/89 through 6/30/90.

D. PROMOTIONS

College of Medicine

Blake, Robert M., Clinical Assistant Professor (voluntary), Family Practice, to Clinical Associate Professor (voluntary), Family Practice, effective 7/1/88 through 6/30/91.

David, Alan K., Associate Professor* (with tenure), Family Practice, to Professor* (with tenure), Family Practice, effective 7/1/89.

DeSimone, Philip A., Associate Professor (with tenure), Medicine, to Professor (with tenure), Medicine, effective 7/1/89.

Romond, Edward H., Assistant Professor*, Medicine, to Associate Professor* (with tenure), Medicine, effective 11/1/89.

Medical Center Library

Allen, Stephanie N., Librarian II (with tenure), to Librarian I (with tenure), effective 7/1/89.

*Special Title Series

E. PROMOTION WITH JOINT APPOINTMENT

College of Medicine

Hurlocker, James K., Clinical Assistant Professor (voluntary), Obstetrics and Gynecology, to Clinical Associate Professor (voluntary), Obstetrics and Gynecology, and joint appointment as Clinical Associate Professor (voluntary), Family Practice, effective 7/1/89 through 6/30/91.

F. CHANGES

College of Medicine

Donaldson, Elvis S., from Professor (with tenure), Obstetrics and Gynecology, to Professor* (with tenure), Obstetrics and Gynecology, effective 1/1/89.

G. CORRECTION IN MINUTES

College of Nursing

Robinson, Mary Kathryn, reported in April 4, 1989 Minutes as Associate Professor* (with tenure), sabbatical leave at full salary, 8/1/89 through 12/31/89. Change to: Robinson, Mary Kathryn, Associate Professor* (with tenure), sabbatical leave at full salary, 7/1/89 through 12/31/89.

Stefaniak, Karen, reported in May 2, 1989 Minutes as Instructor (voluntary), 3/20/89 through 6/30/91. Change to: Stefaniak, Karen, Instructor (Adjunct Title Series), 3/20/89 through 6/30/89.

H. RETIREMENTS

College of Medicine

Tannock, Luise M., Staff Assistant 9, Medicine, after 9.5 consecutive years of service, under AR II-1.6-1 Section III.B, effective 6/30/89.

University Hospital

Anderson, Elizabeth M., Food Service Worker I, Dietetics and Nutrition, after 26 consecutive years of service, under AR II-1.6-1 Section III.A.2(b), effective 7/3/89.

Bransom, Martha L., Patient Registration Counselor II, Admissions, after 28 consecutive years of service, under AR II-1.6-1 Section III.A.2(b), effective 8/2/89.

Connors, Margaret M., Licensed Practical Nurse II, Nursing, after 23.5 consecutive years of service, under AR II-1.6-1 Section III.A.1(b), effective 7/28/89.

*Special Title Series

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Barbato, Ronald J., Clinical Instructor (voluntary), Clinical Sciences, 1/1/89 through 6/30/91.
Biltz, Mary Kathryn, Clinical Instructor (voluntary), Clinical Sciences, 1/1/89 through 6/30/91.
Creatore, Patricia J., Clinical Instructor (voluntary), Clinical Sciences, 2/22/89 through 6/30/91.
Dearen, Cindy, Clinical Instructor (voluntary), Clinical Sciences, 3/22/89 through 6/30/91.
Gentry, Nancy, Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.
Guteiaris, Rosendo, Clinical Instructor (voluntary), Clinical Sciences, 4/17/89 through 6/30/91.
Heeter, James P. Clinical Instructor (voluntary), Clinical Sciences, 4/10/89 through 6/30/91.
Hemmerich, Cathy, Clinical Instructor (voluntary), Clinical Sciences, 4/12/89 through 6/30/91.
Justin, Linda S., Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.
McMillan, Jacqueline, Clinical Instructor (voluntary), Clinical Sciences, 1/1/89 through 6/30/91.
Newport, Jamie M., Clinical Instructor (voluntary), Clinical Sciences, 4/14/89 through 6/30/91.
Overholt, Stephanie, Clinical Instructor (voluntary), Clinical Sciences, 3/15/89 through 6/30/91.
Pritchett, Sandy, Clinical Instructor (voluntary), Clinical Sciences, 7/1/88 through 6/30/91.
Ritch, Beverly H., Clinical Instructor (voluntary), Clinical Sciences, 4/25/89 through 6/30/91.
Robinson, Judith E., Clinical Instructor (voluntary), Clinical Sciences, 4/17/89 through 6/30/91.
Roper, Nancy, Clinical Instructor (voluntary), Clinical Sciences, 5/11/89 through 6/30/91.
Smith, Jacquelyn, Clinical Instructor (voluntary), Clinical Sciences, 5/19/89 through 6/30/91.
Smith, LuAnn Florreich, Clinical Instructor (voluntary), Clinical Sciences, 4/28/89 through 6/30/91.
Staup, Sylvia, Clinical Instructor (voluntary), Clinical Sciences, 4/19/89 through 6/30/91.
Taylor, Joni, Clinical Instructor (voluntary), Clinical Sciences, 10/1/88 through 6/30/91.
Weaver, Laurie, Clinical Instructor (voluntary), Clinical Sciences, 3/15/89 through 6/30/91.
Welsheimer, John J., Clinical Instructor (voluntary), Clinical Sciences, 5/1/89 through 6/30/91.
Wright, Susan, Clinical Instructor (voluntary), Clinical Sciences, 3/20/89 through 6/30/91.

*Special Title Series

College of Dentistry

Adams, Townsel L., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Chambers, Earl L., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Coleman, Gary, Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Collins, Andreae, Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Crowe, L. Robey, Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Doyle, Walter A., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Goes, Robert L., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Graham, David Lewis, Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Henry, Charles V., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Mayer, Lee S., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Morgan, Ballard F., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Mulholland, Richard D., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Nichols, Jerry L., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Roy, E. Kendall, Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Ruskin, James D., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Schneider, Terry R., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Smith, Benjamin R., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Snowden, William E., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Spradlin, Glenn D., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Tatterson, Deanna B., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Watts, Frank S., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.
Williamson, Russell T., Clinical Instructor (voluntary), Oral Health Science, 5/1/89 through 6/30/91.

College of Medicine

Bacon, William G., Clinical Assistant Professor (voluntary), Family Practice, 3/1/89 through 6/30/91.
Block, Stan L., Clinical Instructor (voluntary), Pediatrics, 7/1/89 through 6/30/91.

*Special Title Series

Burchett, Blake R., Clinical Assistant Professor (voluntary), Family Practice, 3/1/89 through 6/30/91.
Gaines, Scott E., Clinical Assistant Professor (voluntary), Family Practice, 3/1/89 through 6/30/91.
Hill, John S., Assistant Professor (voluntary), Medicine, 4/1/89 through 6/30/91.
Humkey, Mary J., Clinical Assistant Professor (voluntary), Family Practice, 3/1/89 through 6/30/91.
Jones, Erwin A., Jr., Clinical Assistant Professor (voluntary), Family Practice, 3/1/89 through 6/30/91.
Nazari, Jose, Assistant Professor (voluntary), Medicine, 4/1/89 through 6/30/91.
Pan, Cheng T., Clinical Assistant Professor (voluntary), Psychiatry, 3/15/89 through 6/30/91.
Patel, Ashok, Clinical Assistant Professor (voluntary), Diagnostic Radiology, 7/1/89 through 6/30/91.
Rodgers, Janet B., Assistant Research Professor, Surgery, 4/1/89 through 6/30/89.
Ross, Robert F., Clinical Assistant Professor (voluntary), Family Practice, 3/1/89 through 6/30/91.
Sartori, Steven J., Clinical Assistant Professor (voluntary), Family Practice, 3/1/89 through 6/30/91.
Thomas, James R., Clinical Assistant Professor (voluntary), 3/1/89 through 6/30/91.
Wright, A. C., Clinical Assistant Professor (voluntary), Family Practice, 3/1/89 through 6/30/91.
Zoschnick, Lauren B., Clinical Instructor (voluntary), Obstetrics and Gynecology, 5/1/89 through 6/30/91.

College of Nursing

Burnette, Mary Elizabeth, Instructor (voluntary), 5/8/89 through 6/30/91.
Caldwell, Hedwine F., Instructor (voluntary), 3/15/89 through 6/30/91.
Combs, Ruth Anne, Instructor (voluntary), 5/8/89 through 6/30/91.
Davis, Lois Ann, Instructor (voluntary), 5/8/89 through 6/30/91.
James, Mary Hall, Instructor (voluntary), 5/8/89 through 6/30/91.
Kersting, Carol M., Instructor (voluntary), 5/8/89 through 6/30/91.
Linkous, Josey S., Instructor (voluntary), 5/8/89 through 6/30/91.
Litton, Donna C., Instructor (voluntary), 5/8/89 through 6/30/91.
Martin, Janile C., Instructor (voluntary), 5/8/89 through 6/30/91.
Siegrist, Beverly E., Instructor (voluntary), 3/15/89 through 6/30/91.
Staples, Deanna Joy H., Instructor (voluntary), 5/8/89 through 6/30/91.

*Special Title Series

Tremaine, Kathryn A., Instructor (voluntary), 5/8/89 through 6/30/91.
West, M. Jill, Instructor (voluntary), 5/8/89 through 6/30/91.

College of Pharmacy

Hobbs, Stuart, Instructor (voluntary), 7/1/89 through 6/30/91.
Hussain, Munir, Assistant Professor (voluntary), 7/1/89 through 6/30/91.
Littrell, Robert A., Assistant Professor (Adjunct Title Series), 7/1/89 through 6/30/90.

B. JOINT APPOINTMENTS

College of Medicine

Back, W. Douglas, Clinical Assistant Professor (voluntary), Ophthalmology, joint appointment as Clinical Assistant Professor (voluntary), Family Practice, effective 3/1/89 through 6/30/91.
Knoop, J. Douglas, Clinical Assistant Professor (voluntary), Surgery, joint appointment as Clinical Assistant Professor (voluntary), Family Practice, 3/1/89 through 6/30/91.
Lowenthal, Stuart, Clinical Instructor (voluntary), Family Practice, joint appointment as Clinical Instructor (voluntary), College of Allied Health Professions, Department of Clinical Sciences, effective 1/29/89 through 6/30/91.

C. REAPPOINTMENTS

College of Allied Health Professions

Annis, Kim, Assistant Professor (Adjunct Title Series), Clinical Sciences, 7/1/89 through 6/30/90.
Bursch, Susan Gail, Clinical Instructor (voluntary), Clinical Sciences, 7/1/89 through 6/30/91.
Conley, Cheryl L., Assistant Professor, Clinical Sciences, also joint appointment as Assistant Professor, College of Medicine, Department of Medicine, 7/1/89 through 6/30/90.
Craft, Susan, Clinical Instructor (voluntary), Clinical Sciences, 4/10/89 through 6/30/91.
Hoyermann, Carol Ann, Clinical Instructor (voluntary), Clinical Sciences, 4/17/89 through 6/30/91.
Jones, Cynthia C., Clinical Instructor (voluntary), Clinical Sciences, 4/10/89 through 6/30/91.
Lott, Libby K., Clinical Instructor (voluntary), Clinical Sciences, 3/22/89 through 6/30/91.

*Special Title Series

Sims, Priscilla, Instructor (Adjunct Title Series), Clinical Sciences, 7/1/89 through 6/30/90.
Tucker, Thomas C., Assistant Professor, Health Services, and joint appointment as Instructor, College of Medicine, Department of Preventive Medicine and Environmental Health, 7/1/89 through 6/30/90.

College of Dentistry

Arvin, Howard, Assistant Professor (part-time), Oral Health Practice, also joint appointment as Assistant Professor (part-time), Oral Health Science, 7/1/89 through 6/30/90.
Arvin, Rachael, Assistant Professor (part-time), Oral Health Science, 7/1/89 through 6/30/90.
Baluyut, Arelen R., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Chandler, James A., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Collier, Wayne W., Professor (without tenure) (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Daugherty, Joe W., Professor (without tenure) (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Elvidge, Kevin L., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Erena, Greg, Assistant Professor (part-time), Oral Health Science, 7/1/89 through 6/30/90.
Feck, Anthony S., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Forbess, Jackie B., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Freano, Marion Doyle, Assistant Professor (part-time), Oral Health Science, 7/1/89 through 6/30/90.
Gray, John T., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Greer, James R., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Harrison, Ershal, Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Head, Mary Lou S., Associate Professor (without tenure) (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Henny, Paul A., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Henry, Robert C., Assistant Professor (part-time), Oral Health Science, 7/1/89 through 6/30/90.
Higgins, Howard L., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Huffman, Eric F., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Jones, Stephen B., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.
Jordan, Bruce S., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

*Special Title Series

Kluemper, M. Joan, Associate Professor (without tenure) (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Knight, Judson, Associate Professor (without tenure) (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Kroeger, Robert, Lecturer (part-time), Oral Health Science, 7/1/89 through 6/30/90.

Lange, Carl W., Professor (without tenure) (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Lasheen, Joe, Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Lee, William E., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Lemmerman, Keith J., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Lutz, Bernard, Associate Professor (without tenure) (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Massie, Michelle A., Clinical Instructor (part-time), Oral Health Practice, 8/1/89 through 5/30/90.

McCord, Gary L., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Minton, Charlene, Assistant Professor, Community Colleges, joint appointment as Assistant Professor, Oral Health Practice, 7/1/89 through 6/30/90.

Moody, Carolyn, Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Morgan, William J., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Parmley, Lloyd E., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Rider, Steven J., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Rodriguez, Carla M., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Rosenthal, Ira M., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Schell, Susan C., Instructor (part-time), Oral Health Science, 7/1/89 through 6/30/90.

Stansbury, Michael, Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Thomas, Mark V., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Turner, Erwin, Professor (without tenure) (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Vose, Charles, Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Wehrman, Paul T., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

Williams, Loren N., Assistant Professor (part-time), Oral Health Practice, 7/1/89 through 6/30/90.

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*Special Title Series

College of Medicine

Barron, Michael P., Instructor (part-time), Medicine, 7/1/89 through 6/30/90.
Cowherd, Harry J., Clinical Assistant Professor (voluntary), Family Practice, 3/1/89 through 6/30/91.
Harris, Thorold D., Assistant Professor, Anesthesiology, 7/1/89 through 10/31/89.
Leavell, Ullin W., Professor (without tenure) (part-time), Medicine, 7/1/89 through 6/30/90.
Life, Darrell D., Clinical Assistant Professor (voluntary), Family Practice, 3/1/89 through 6/30/91.
Mahony, Cheryl, Assistant Professor (part-time), Medicine, 7/1/89 through 6/30/90.
Mattson, Mark P., Assistant Professor, Anatomy and Neurobiology, 7/1/89 through 6/30/90.
Mayer, Lloyd D., Professor (without tenure) (part-time), Medicine, 7/1/89 through 6/30/90.
Meek, Robin R., Assistant Professor (part-time), Medicine, 7/1/89 through 6/30/90.
Mersack, Ira P., Associate Professor (without tenure) (part-time), Medicine, 7/1/89 through 6/30/90.
Miller, Ralph E., Instructor (part-time), Medicine, joint appointment as Instructor (part-time), College of Allied Health Professions, Clinical Sciences, 7/1/89 through 6/30/90.
Rodgers, Janet B., Assistant Research Professor, Surgery, 7/1/89 through 6/30/90.
Rutterer, Daniel E., Clinical Assistant Professor (voluntary), Family Practice, 3/1/89 through 6/30/91.
Saykaly, Ron J., Jr., Associate Professor (without tenure) (part-time), Medicine, 7/1/88 through 6/30/89.
Scherl, Eileen, Associate Professor* (without tenure) (part-time), Medicine, 7/1/89 through 6/30/90.
Schmidt, John J., Assistant Research Professor, Medicine, 7/1/89 through 6/30/90.
Tully, Christine L., Clinical Assistant Professor, Medicine, 7/1/89 through 6/30/90.
Waid, Thomas H., Clinical Assistant Professor, Medicine, 7/1/89 through 6/30/90.
Waldman, Randolph, Clinical Assistant Professor (voluntary), Family Practice, 3/1/89 through 6/30/91.
Wolf, Karen M., Assistant Professor, Medicine, 7/1/89 through 6/30/90.

College of Nursing

Antenucci, Margaret B., Instructor* (part-time), 7/1/89 through 6/30/90.
Blomquist, Kathleen, Assistant Professor, 7/1/89 through 6/30/90.
Buckles, Diane, Instructor* (part-time), 1/1/89 through 6/30/89.

*Special Title Series

Cowley, Jennifer, Instructor* (part-time), 7/1/89 through 6/30/90.
Kidd, Pamela S., Assistant Professor, 7/1/89 through 6/30/90.
Littrell, Margaret J., Instructor* (part-time), 7/1/89 through 6/30/90.
Presler, Elizabeth, Assistant Professor* (part-time), 7/1/89 through 6/30/90.
Stefaniak, Karen, Instructor (Adjunct Title Series), 7/1/89 through 6/30/90.
Stone, Rebecca R., Instructor* (part-time), 7/1/89 through 6/30/90.
Storat, Betty, Assistant Professor* (part-time), 7/1/89 through 6/30/90.
Wilson, Esther Ann, Instructor* (part-time), 7/1/89 through 6/30/90.

College of Pharmacy

Abell, Robert J., Assistant Professor (part-time), 7/1/89 through 6/30/89.
Cassis, Lisa A., Assistant Professor, 7/1/89 through 6/30/90.
Chandler, Mary H. H., Assistant Professor*, 7/1/89 through 6/30/90.
Clarkson, Thomas R., Instructor (part-time), 7/1/89 through 6/30/90.
Clifton, G. Dennis, Assistant Professor*, 7/1/89 through 6/30/90.
Coons, Stephen J., Assistant Professor, also joint appointment as Assistant Professor, College of Medicine, Department of Behavioral Science, 7/1/88 through 6/30/89.
Cummings, Ted A., Instructor (part-time), 7/1/89 through 6/30/90.
Dwoskin, Linda P., Assistant Professor, 7/1/89 through 6/30/90.
Goldsmith, Tracey L., Assistant Professor*, 7/1/89 through 6/30/90.
Green, Dwaine K., Assistant Professor (Adjunct Title Series) (part-time), 7/1/89 through 6/30/90.
Hawi, Amale A., Assistant Research Professor, 7/1/89 through 6/30/90.
Jay, Susan M., Instructor (Adjunct Title Series) (part-time), 7/1/89 through 6/30/90.
Kadaba, Pankaja K., Associate Research Professor (part-time), 7/1/89 through 6/30/90.
Kuhn, Robert J., Assistant Professor*, also joint appointment as Assistant Professor*, College of Medicine, Department of Pediatrics, 7/1/89 through 6/30/90.

*Special Title Series

Lodder, Robert A., Assistant Professor, also joint appointment as Assistant Professor, College of Arts and Sciences, Department of Chemistry, 7/1/89 through 6/30/90.

Patterson, K. Ann, Assistant Professor (Adjunct Title Series) (part-time), 7/1/89 through 6/30/90.

Soltis, Edward E., Assistant Professor, 7/1/89 through 6/30/90.

Terry, Herbert Nelson, Assistant Professor (part-time), 7/1/89 through 6/30/90.

Tiggelaar, John M., Assistant Professor (part-time), 7/1/89 through 6/30/90.

D. PROMOTIONS WITHOUT TENURE

College of Nursing

Jacoby, Delwin B., from Instructor*, to Assistant Professor*, 7/1/89 through 6/30/90.

E. CHANGES

College of Medicine

Burke, Mary M., from Assistant Professor* (temporary), Pediatrics, joint appointment as Assistant Professor*, Medicine, to Assistant Professor* Pediatrics, joint appointment as Assistant Professor*, Medicine, 7/1/89 through 6/30/90.

College of Nursing

Peden, Ann R., from Instructor* (temporary) (part-time), to Instructor*, 7/1/89 through 6/30/90.

F. LEAVES OF ABSENCE

College of Medicine

Cottrill, Carol M., Professor (with tenure), Pediatrics, sabbatical leave at half-salary, effective 9/1/89 through 8/31/90.

Dillard, Robert P., Associate Professor* (with tenure), Pediatrics, sabbatical leave at half-salary, effective 9/1/89 through 8/31/90.

Friedell, Gilbert H., Professor (with tenure), Pathology, sabbatical leave at full salary, effective 7/15/89 through 1/14/90.

Miller, Thomas W., Professor (with tenure), Psychiatry, sabbatical leave at full salary, effective 7/1/89 through 12/31/89.

*Special Title Series

College of Nursing

Geller, Evelyn D., Associate Professor* (with tenure), sabbatical leave at full salary, effective 8/1/89 through 12/31/89.

*Special Title Series

INFORMATION SYSTEMS

I. BOARD ACTION

A. RETIREMENTS

Libraries

Adams, Jessie S., Library Technician VII, Bindery Unit, after 22.5 total years of service, under AR II-1.6-1 Section III.A.2(b), effective 8/4/89.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Libraries

Senn, Catherine E., Librarian IV, Library-Mathematical Sciences Library, effective 5/19/89 through 6/30/90.

Hatfield, Deborah S., Librarian IV, Library-IBM Technical Information Center, effective 6/1/89 through 6/30/90.

B. ADMINISTRATIVE APPOINTMENTS

Computing Center

Allen, Robert B., Computing Systems Manager, Information Systems, Math Science Lab, effective 5/1/89.

McQuilken, Margaret M., Coordinator of Management Analysis, Information Systems, HRS Project, effective 5/1/89.

C. LEAVES OF ABSENCE

Libraries

Marshall, William J., Librarian I, Library-SC, Sabbatical Leave with full salary, effective 7/1/89 through 12/31/89.

D. REAPPOINTMENTS

Libraries

Byars, Susan A., Librarian IV, Library-Agriculture, Reappointment, effective 7/1/89 through 6/30/90.

Jenkins, Vincel K., Librarian IV, Library-CAT, Reappointment, effective 7/1/89 through 6/30/90.

RESEARCH AND GRADUATE STUDIES

I. BOARD ACTION

A. ACADEMIC APPOINTMENT

Graduate School

Sisken, Betty F., Associate Research Professor, Graduate Center for Biomedical Engineering, 7/1/89 through 6/30/90.

B. ADMINISTRATIVE APPOINTMENT

Scollay, Susan J., Assistant Vice President for Research and Graduate Studies, effective July 1, 1989.

C. JOINT APPOINTMENTS

Graduate Center for Biomedical Engineering

Threlkeld, A. Joseph, Assistant Professor (without tenure), Physical Therapy, joint appointment as Assistant Professor (without tenure), Graduate Center for Biomedical Engineering, effective 7/1/89 through 6/30/91.

Graduate Center for Toxicology

Aleem, M.I.H., Professor (with tenure), Biological Sciences, joint appointment as Professor (without tenure), Graduate Center for Toxicology, effective 1/1/89 through 6/30/91.

Malluche, Hartmut Horst, Professor (with tenure), Medicine, joint appointment as Professor (without tenure), Graduate Center for Toxicology, effective 1/1/89 through 6/30/91.

Watt, David S., Professor (with tenure), Chemistry, joint appointment as Professor (without tenure), Graduate Center for Toxicology, effective 1/1/89 through 6/30/91.

D. TENURE

Graduate School

Robertson, Larry W., from Associate Professor (without tenure), Graduate Center for Toxicology, to Associate Professor (with tenure), Graduate Center for Toxicology, effective 7/1/89.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENT

Graduate School

Brown, David R., Assistant Research Professor, Graduate Center for Biomedical Engineering, 7/1/89 through 6/30/90.

B. ADMINISTRATIVE APPOINTMENT

Interdisciplinary Human Development Institute

Kleinert, Harold L., Disability Program Administrator I, effective April 3, 1989.

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*Special Title Series

Office of the President
June 20, 1989

PR3A

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendments to the Governing Regulations of the University of Kentucky be received at this time for preliminary consideration and, at the next meeting of the Board of Trustees, be included on the agenda for action.

(Note: Proposed additions are underlined; proposed deletions are bracketed.)

Amendment #1 - Change Part IV, second paragraph, to read:

The elected membership shall number [103] 104, of which 85 members shall represent the active faculty, one member shall represent the emeriti faculty, and 18 members shall represent the student body.

Background: With the organization of the University of Kentucky Association of Emeriti Faculty and the significant role of emeriti faculty in the activities of the University, it is appropriate that they be accorded representation in the University Senate through voice and vote. This amendment has been recommended by the Senate Rules Committee, the Senate Council, and the University Senate. If approved, this change will be implemented during the 1989-90 academic year.

Amendment #2 - Insert in Part IV a new fourth paragraph to read:

The one elected emeritus faculty member shall represent the University of Kentucky Association of Emeriti Faculty. The Association shall elect one senator for a term of three years and an alternate from its membership through an election process approved by the Senate Council. If the elected emeritus faculty member of the Senate at any time during a term becomes ineligible or unable to serve, the elected alternate shall serve the remainder of the term.

Background: Same as that for Amendment #1.

2489A

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

Office of the President
June 20, 1989

PR 4A

Members, Board of Trustees:

APPOINTMENTS TO ADVISORY BOARD
LEXINGTON COMMUNITY COLLEGE

Recommendation: that approval be given to the reappointment of the following two (2) members to the Advisory Board of Lexington Community College:

Three-Year Terms
July 1, 1989 - June 30, 1992

Reappointment

Richard Blanchard
Larry Martini

Background: The establishment of an Advisory Board for Lexington Community College was approved by the University of Kentucky Board of Trustees at the December 13, 1983 meeting. The initial membership of the Advisory Board was approved by the University of Kentucky Board at the April 2, 1985 meeting. Richard Blanchard and Larry Martini are being recommended for reappointment for three-year terms.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

Office of the President
June 20, 1989

PR 4 B

Members, Board of Trustees:

APPOINTMENT OF PRESIDENT, HOPKINSVILLE COMMUNITY COLLEGE

Recommendation: that Dr. A. James Kerley be named President of Hopkinsville Community College, effective July 1, 1989.

Background: A Search Committee was appointed by the Chancellor of the Community College System in January 1989 to seek qualified candidates for the position of President, Hopkinsville Community College. The Committee, composed of faculty representatives, a student representative and an Advisory Board representative screened applications and made its recommendation to the Chancellor. The candidates were then interviewed by the Chancellor and Dr. Kerley was chosen to be recommended to the President and Board of Trustees.

Dr. Kerley has a bachelor's degree from Tennessee Technological University, a master's degree from The Citadel, and a doctorate from The Florida State University. He has been employed at Madisonville Community College since 1986 where he has served well as Dean of Academic Affairs. He has had successful administrative and teacning experience in higher education at Union College in Kentucky, Valdosta College in Georgia, and at The Florida State University.

Dr. Kerley is an experienced community college administrator. He has demonstrated his leadership ability in the University of Kentucky Community College System by excellent performance in the position of Dean of Academic Affairs at Madisonville Community College.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

Office of the President
June 20, 1989

PR5A

Members, Board of Trustees:

APPOINTMENTS TO UNIVERSITY OF KENTUCKY/BUSINESS PARTNERSHIP FOUNDATION

Recommendation: that approval be given to the appointments of Morton Boyd, Daniel Lynch, and S. O. Ogden to membership on the Board of Directors of the University of Kentucky/Business Partnership Foundation for a term beginning with the fall 1989 meeting and ending with the spring 1993 meeting.

Background: Mr. Boyd, Mr. Lynch, and Mr. Ogden were elected to the Board of Directors of the University of Kentucky/Business Partnership Foundation at their April 14, 1989 meeting. These appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky/Business Partnership Foundation approved by the Board of Trustees at its meeting on August 25, 1981.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

Office of the President
June 20, 1989

PR 6 A

Members, Board of Trustees:

FUND BOARD OF DIRECTORS APPOINTMENTS

Recommendation: that approval be given to the reappointment of Mr. Arch Mainous, Jr., as a member of the Board of THE FUND for Advancement of Education and Research in the University of Kentucky Medical Center, for an additional two-year term, beginning July 1, 1989 and ending June 30, 1991.

Background: The Board of Trustees, in accordance with the Articles of Incorporation, appoints the public members of the Board of Directors of THE FUND.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

Office of the President
June 20, 1989

PR 6B

Members, Board of Trustees:

AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY AND
KENTUCKY MEDICAL SERVICES FOUNDATION

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978.

Background: The Board action on June 20, 1978 provided that:

> "The President is authorized to negotiate and execute a contract subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan."

Kentucky Medical Services Foundation, Inc. meets the "criteria" specified under Section III, A for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20 Board resolution and specifically satisfies the "conditions" set forth in Section III, B.

The terms of the attached document are essentially the same as those in the agreement approved by the Board on June 21, 1988. The document has been updated to reflect dates in the new contract period, revise the dollar amounts due the University under Section 5, C and Section 18, A, and the amounts due the Foundation in Section 6, B. The dollar amounts have been revised to reflect the increased costs for 1988-89. Section 19 on Professional Liability Insurance continues to reflect the fact that the University of Kentucky Medical Center has a self-insurance program in place and no longer carries excess umbrella insurance and that the Kentucky Medical Services Foundation will bear 48.17% of the total cost. The amount for expenses attendant to the production of clinical income has been increased from $3,018,445 to $4,220,989. This increase reflects continued growth in the provision of health care in the clinics.

Action taken: Approved ✓ Disapproved_____ Other_____

Date: June 20, 1989

THIS AGREEMENT made and entered into this 20th day of June, 1989 by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as the Foundation).

WITNESSETH:

Section 1. RECITALS

A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

B. A substantial number of University's faculty in its College of Medicine have heretofore executed agreements with Foundation, known as "Practice Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.

C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members who produce income are permitted, through the medium of the Foundation, to exercise a larger voice in the expenditure of the funds so produced.

E. The University has heretofore established, in addition to its previously adopted Physicians Service Plan, a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978, as amended on June 19, 1984; said action is hereby incorporated by reference as is set out in full herein.

F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

Section 2. DEFINITIONS

A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University dated June 20, 1978, as amended on June 19, 1984.

B. "Clinical Income" means (1) all compensation, income and payments (direct or in kind and whether characterized as fees, retainers, or otherwise) for professional services rendered or to be rendered, including, but not limited to those relating to the diagnosis, treatment or evaluation of

patients or others and consultation, and (2) all payments whether direct or in kind (excluding actual travel costs) for providing advice, consultation, serving on boards or committees, overseeing, supervising or other participation with any person or entity involved with (a) health or medical care, (b) evaluation or care of patients, (c) drugs, (d) therapy of any kind, (e) accident or disease prevention, (f) health or medical care facilities, (g) programs involving health or medical care or (h) health or medical care of any other type, and (3) witness fees and payments relating to depositions, testimony or other evaluations in the capacity of a witness, and (4) all other income which relates to or would not exist but for the professional education, experience, or training. The Dean has the authority to approve exceptions to clinical income and is required to document the nature of the exception and the reason. Provided, however, that nothing contained herein shall be deemed to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice Agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center and the Foundation.

Section 3. NATURE OF THE AGREEMENT

Pursuant to Paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, as amended on June 19, 1984, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under University's "geographic full-time medical services plan".

Section 4. BILLING AND COLLECTION

Foundation agrees that it will accept, pursuant to practice agreements, the assignment of all clinical income by participants in the plan and, in accordance with such assignment, agrees to bill the amount of duly established charges for professional services to parties determined to be responsible for payment of such charges.

Section 5. REIMBURSEMENT OF UNIVERSITY

A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.

B. To provide for said reimbursement, Foundation agrees that it will, on or before the first working day of each month in which reimbursable expenses are due as provided hereinafter, deposit the funds necessary to make such payments in a separate account maintained by it and that funds held in the said account will be invested only in accord with the provisions of Section 18 hereof.

C. Foundation agrees to pay to University, from said account, as reimbursement for the remainder of the expenses attendant to the production of clinical income, University's actual annual costs, as determined by joint evaluation of Foundation and University, a sum estimated at Four Million Two Hundred Twenty Thousand Nine Hundred Eighty-Nine ($4,220,989) Dollars, composed of as reimbursement of personnel expenses and other current expenses, in direct support of the operation of the AMS Clinic.

Payments hereunder shall be in the following manner:

1. The University will submit statements of actual expenditures for each month by the 15th day of the succeeding month. KMSF will reimburse the University of Kentucky by the 22nd day of that month. KMSF will be required to pay any costs over the $4,220,989 and likewise accrue any savings that may occur.

D. In addition to the above, Foundation agrees that it will reimburse University for all "plan income", as that term is defined in paragraph II, C., 2 of the plan, paid to faculty by University.

Section 6. REIMBURSEMENT OF FOUNDATION

A. The parties recognized that University receives certain services from Foundation, for which it is due reimbursement as specified hereinbelow.

B. University agrees to pay to Foundation on behalf of members of the Department of Diagnostic Radiology for the provision of x-ray interpretation services to the University Student Health Service during the period July 1, 1989 - June 30, 1990 a sum not to exceed Sixteen Thousand Five Hundred ($16,500.00) Dollars.

C. University agrees to pay to Foundation on behalf of members of the Department of Neurology, for provision of EEG interpretation services to the University Student Health Service, the usual and customary fee for each such service rendered.

D. University agrees to pay to Foundation on behalf of the clinical faculty members of the College of Medicine, for provision of specified physical examinations to certain University employees, a fee for each examination.

E. University will make payment to Foundation monthly, on the last calendar day of each month beginning July 31, 1989, for services as described in paragraphs B, C, and D hereinabove.

Section 7. COST SETTLEMENTS

A. The parties recognize that the provision of medical services in the current competitive environment may at times require a joint effort on the part of the University and University Hospital and the Foundation in the formation of new delivery systems. These efforts may at times require the sharing of cost between the parties. Formulas for the sharing will be developed and mutually agreed to by the parties, which may result in payment by the University to the Foundation, or by the Foundation to the University. Examples of such joint efforts include but are not limited to:

1.Discounts to University of Kentucky employees and their dependents as part of the University of Kentucky Health Plan.

2.The operation of the UK-HMO.

B. The parties also agree that data exchanges between the computer systems, regardless of mode, of the University and the Foundation will be payable at cost by the receiving party, or offset by an exchange of data deemed by both parties to be payment-in-kind.

Section 8. ACADEMIC ENRICHMENT FUND

A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, Foundation agrees that it will pay to The Fund for Advancement of Education and Research

in the University of Kentucky Medical Center (hereinafter Fund), an amount equal to three (3%) percent of the actual clinical income collected by said Foundation. The Fund will deposit said payments in an account maintained for the purpose of providing an academic enrichment fund to be used by the Dean of the College of Medicine in support of the programs of said College and for related purposes. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to the Fund within twenty-five (25) days after the close of each monthly accounting period.

B. Foundation further agrees that it will deposit, in an account maintained by Foundation, on the same basis as provided in subsection A. hereinabove, an additional amount equal to six (6%) percent of the actual clinical income collected by said Foundation, said funds to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes in his sole discretion.

Section 9. PARTICULAR COVENANTS OF THE FOUNDATION

A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on June 19, 1978, have been inspected by the University as a condition precedent to University's entry into this agreement. Foundation covenants that it will not, absent the written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.

B. Foundation covenants that it will not engage in the practice of medicine, nor will it solicit, administer, receive, perform or accept any gift,

grant, devise, bequest, contract or other arrangement with or from any governmental unit, entity, or subdivision or any person, corporation, partnership, association or other entity whatsoever except as specifically permitted herein. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.

C. Foundation covenants that it will not merge with any other corporation or convey any substantial portion of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as follows: (a) all liabilities and obligations of the corporation shall be paid, satisfied and discharged, or adequate provisions shall be made therefore; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned, transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky, or its successor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality of the State of Kentucky or as an exempt organization under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, or scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended.

Section 10. MEMBERSHIP AND BENEFITS

A. University agrees that it will assist the Foundation to enforce the terms of the practice agreements entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.

B. University agrees that it will require, as a condition of employment as a member of University's faculty in a clinical department in which a majority of the faculty members have executed practice agreements, that new members of its faculty execute said practice agreement in form identical to said agreements heretofore executed or as the form of same may be modified by mutual agreement of the parties hereto from time to time.

C. Foundation agrees that it will not, absent the written consent of University, bill for, collect or administer any item of income for any person or entity not holding membership in the plan, nor will it permit persons not members to serve on its Board of Directors, nor will it provide any benefit or other thing of value to any person or entity not a member of the plan except for (1) termination benefits to former members as provided by action of Foundation's Board of Directors, (2) incidental benefits indirectly accruing to employees of the Medical Center by virtue of Foundation's activities, (3) students and House Staff of University, (4) payment to nurses incident to their recruitment to the University Medical Center, (5) education and technical training costs of persons involved in patient care activities or (6) education and technical training costs of persons indirectly involved in patient care activities if approved on a case by case basis by the Dean of the College of Medicine or the Chancellor for the Medical Center.

D. In order to provide University of Kentucky Health Plan patients with a unified bill, Foundation is authorized to bill and collect the following items: clinic Pharmacy charges, patient deductible and non-covered items, nutrition/dietetic fees and Oral Surgery fees. The collection and billing shall be in accord with that certain contract entered into between the University and the Foundation.

E. Foundation shall assume responsibility for the costs of drugs and supplies to be used in the patient care programs in the clinics and is hereby authorized to bill, collect, and deposit in foundation accounts revenue from these non ancillary items.

F. Foundation agrees that it will strictly enforce the terms of its practice agreements, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.

Section 11. PERSONNEL

The parties recognize that the Foundation must employ various individuals to carry out its functions hereunder; however, the parties also recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary to permit said Foundation to bill and collect professional fee income and to administer its funds in accord with the terms of the geographic full-time plan.

The Foundation is authorized to house computer equipment as may be required to communicate with its central computer and house sufficient employees in the University Medical Plaza building for the purpose of providing billing and financial information to patients of University physicians who are members of KMSF.

B. Other personnel

During the term of this contract Foundation agrees that it will not employ persons other than the employees authorized in Section 11. A. to perform services in University's patient care or college programs. Provided, however, that this provision shall not be construed to prohibit specific agreements between the parties whereby University will employ personnel for such service and/or research duties with reimbursement of salary, fringe benefits and other direct costs by Foundation. Where such personnel are employed for service in a specific department or unit, employment will not occur unless (1) the department or unit where service is to be performed has consented in writing to said appointment, (2) the Dean of the College of Medicine has approved the employment and the job description related thereto and (3) funds for the salary, fringe benefits, and other costs related to said employee are either on hand or reasonably anticipated in the departmental or unit budget.

C. Equal opportunity-affirmative action

Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age or handicap. Such action shall include, but not be limited to the following: employment, upgrading demotion or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University including the individual members thereof, officers, agents and employees of the University by reason of any action at law or equity or by reason of any administrative proceeding initiated by any person arising out of any such employment action or practice of Foundation, including the payment of attorney fees and other costs of such action or proceeding.

Section 12. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, require that institutional control be maintained with respect to direction and supervision of institutional activities and programs, assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.

Accordingly, Foundation and University agree that University will provide space for the Ophthalmology Clinic, the Chambers Building, appropriate assigned space in the University Medical Plaza and the Emergency Room (exclusive of faculty offices and classroom space contained therein) and other space officially designated for the conduct of the patient care operation.

With respect to any other facilities which University may from time to time provide to Foundation, Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same.

Section 13. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of University programs, are and shall remain the property of University and that Foundation has no right or property interest therein. Provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty, staff, and students pursuant to existing University procedures. Provided, further, that University agrees to provide or make available to Foundation such information and data from patient records and program data maintained by University as may be necessary for Foundation to perform its billing and collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payors as the basis for payment for services.

Section 14. INSPECTION AND AUDIT

A. Foundation agrees that, in accord with paragraph II, B. 1, of the action of University's Board of Trustees dated June 20, 1978, as amended on June 19, 1984, its books, records and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

B. The parties agree that the University's Internal Auditors may conduct an audit of Foundation's operations and accounts for the period ending June 30, 1988 and such other audits, including audits to determine compliance with this agreement, the terms of the action of University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, and the terms of the departmental agreements referred to in Section 20 hereof, as may be deemed necessary or advisable by the University of Kentucky Controller and Treasurer, and that Foundation's agents and employees will cooperate to the fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants, mutually agreeable to the parties, will be employed by the Foundation to perform an independent audit of the Foundation's books, records and accounts for the year ended June 30, 1988.

C. The Parties agree that Foundation will be furnished information by University sufficient for Foundation to verify the nature and detail of expenditure of funds paid to University under the provisions of Section 5.c.1. hereinabove.

Foundation agrees that it will furnish to University the following reports.

Annually

Audited Financial Statements to include:

- Opinion of Independent Certified Public Accountants
- Balance Sheet
- Statement of Changes in Fund Balances
- Statement of Current Revenues and Expenditures
- Supplementary Schedules

Quarterly

Financial Statements to include:

- Balance Sheet
- Statement of Operations
- Gross Billings
- Collections
- Financial Allowances
- Bad Debts

Aging Trial Balance of Accounts Receivable by:

- Patient liability
- Third party payor

Reports of Delinquent Account Activity to include:

- Referrals
- Collections

Section 15. DEFAULT

A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against Foundation in any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for

reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such additional time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.

B. University may at its election terminate this agreement with respect to any department without termination of the entire agreement for the same reasons and in the same manner as provided hereinabove.

Section 16. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program. University agrees that, when so acting, said faculty, house staff and students shall beconsidered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941 or other University funds.

Section 17. APPLICATION OF INCOME

University agrees that it will not, during the operation of this contract, cause charges for services rendered after July 1, 1978 by members of

the faculty who have executed Practice Agreements and Assignments to be deposited in the accounts of the Fund for Advancement of Education and Research in the University of Kentucky pursuant to the provisions of University of Kentucky Administrative Regulation AR II-7.0; deposits of such charges attributable to faculty who have not executed said Practice Agreements and Assignments shall continue pursuant to said regulation.

Section 18. MANAGEMENT AND INVESTMENT POLICIES

A. The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of professional income and the provision of a sum equal to nine (9%) percent of Foundation's gross income for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred in billing and collecting said income and providing for the central administration of Foundation.

B. Accordingly, Foundation agrees that it will deposit the funds necessary to meet its obligations for reimbursement of the University and the provision of the aforementioned academic enrichment fund in a separate account as received and that the funds in said account shall be invested only in the following: (1) direct obligations of the United States Treasury; (2) other appropriate securities issued by federal agencies (including the Federal National Mortgage Association, the Export Import Bank, Federal Intermediate Credit Bank, Federal Home Loan Banks, Federal Land Banks); and (3) certificates of deposit collateralized by direct obligations of the federal government, federal agency obligations which qualify for direct investment

under this recommendation, general obligations of the Commonwealth of Kentucky or obligations of the University of Kentucky.

Foundation shall be entitled to the investment income derived from said account, provided however investment income derived from balances attributed to the academic enrichment fund identified in subparagraph A. and Section 8, paragraph B. hereinabove shall be credited to said account.

Section 19. PROVISION OF PROFESSIONAL LIABILITY INSURANCE

A. Under the restructured professional liability insurance program of total self insurance, Foundation agrees that it will pay the University, as reimbursement, for its portion (48.17%) of the costs of the following: (i) payments required pursuant to KRS 164.941 in one (1) lump sum payment by July 15, 1989, and (ii) costs of claims administration in twelve (12) equal installments the first said installment being due and payable on July 31, 1989 and monthly thereafter until June 30, 1990.

B. In addition, Foundation has agreed that between July 1, 1989 and June 30, 1990, it will cause to be deposited in a separate account within the Foundation the sum of 48.17% of the additional contributions for self insurance, said account together with interest accruing thereto to be held solely for the purpose of payment of legal fees, settlements, and judgments arising for liabilities defined in KRS 164.941 (3). No monies in said account shall be expended for any other purpose than as provided in this Section without the written agreement of University, nor shall such monies be encumbered, pledged or otherwise hypothecated. The monies in said account shall be invested only as provided in Section 18 hereof. Payment to the separate account may be in equal installments, provided that the full 48.17% shall be deposited by June 30, 1990.

C. In sum, Foundation agrees to pay in both assessments and contributions 48.17% of the total program costs of 1989-90 as determined by KRS 164.941 and the Malpractice Risk Management Committee.

Section 20. ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation agrees that it will, after making provision for: (1) the costs of its administration and costs relating to billing and collection, (2) reimbursement of University expenses pursuant to Section 5 hereof, (3) the College of Medicine Academic Enrichment Fund pursuant to Section 8 hereof and (4) provision of professional liability insurance pursuant to Section 19 hereof, maintain its accounts in such a manner that income attributable to the professional clinical activities of each of the following departments or divisions shall be shown separately on books maintained by the Foundation from any other fund or funds held by said Foundation:

Anesthesiology
Diagnostic Radiology
Emergency Medicine
Family Practice
Medicine
Neurology
Ob/Gyn
Ophthalmology
Pathology
Pediatrics
Preventive Medicine & Environmental Health
Psychiatry
Radiation Medicine
Rehabilitation Medicine
Surgery

B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned accounts only in accord with the departmental or divisional agreement relating to each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to time, as provided in said agreements, with the approval of the Dean of the College of Medicine.

Section 21. NOTICES

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

Dean, College of Medicine
MN-150
University Medical Center
800 Rose Street
Lexington, Kentucky 40536-0084

In the case of Foundation to:

Kentucky Medical Services Foundation, Inc.
729 S. Limestone
Lexington, Kentucky 40508

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed served on the date it is deposited in the United States mails properly addressed and with postage fully prepaid.

Section 22. RECORDS ACCESS

Pursuant to Public Law 96-499, Section 952, the Foundation agrees to make available upon written request from the Secretary of Health and Human Resources, the Comptroller General, or the Department of Human Services of the State of Kentucky or any of their duly authorized representatives, access to this contract and all other service agreements written or oral entered into or renewed since December 5, 1980, and access to books, documents, and records necessary to verify costs of services provided by such arrangements exceeding $10,000 in a 12-month period. Access will be permitted until four years have expired after completion of services furnished, and in accordance with the applicable federal regulations.

If the Foundation carries out any of the duties under this contract through a sub-contract with a related organization, with a value or cost of $10,000 or more over a 12-month period, such sub-contract shall contain a clause to the effect that until the expiration of four years after the furnishing of such services pursuant to the sub-contract, the related organization shall make available, upon written request from the Secretary or Comptroller General, or any of their duly authorized representatives, the sub-contract, books, documents and records of such organization that are necessary to verify the nature and extent of such costs.

Section 23. TERM

The term of this agreement shall begin on July 1, 1989 and end on June 30, 1990.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES OF
THE UNIVERSITY OF KENTUCKY

BY: _____
President
University of Kentucky

ATTEST: _____

KENTUCKY MEDICAL SERVICES
FOUNDATION, INC.

BY: _____

ATTEST: _____

Examined for Form and Legality
Office of Legal Counsel
University of Kentucky

By: _____
Attorney at Law

Office of the President
June 20, 1989

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE UNIVERSITY OF KENTUCKY FOR THE TEN MONTHS ENDED APRIL 30, 1989

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the ten months ended April 30, 1989.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of April 30, 1989, the University had realized income of $596,197,000 representing 94% of the 1988-89 estimate of $631,723,000. Expenditures and commitments total $489,790,000 or 78% of the approved budget of $631,723,000.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
APRIL 30, 1989
(in thousands)

Statement 1

		(A) Current Unrestricted Funds	(B) Current Restricted Funds	(C) Loan Funds	(D) Endowment Funds	(E) Plant Funds	(F) Totals April 1989
	ASSETS						
(1)	Cash and cash equivalents	$108,593	$ 27,843	$ 2,341	$ 49	$ 62,730	$ 201,556
(2)	Notes, loans, and A/R (less bad debt allowances of $5,393)	38,764	6,922	14,802		893	61,381
(3)	Investments	9,340	37,922		66,088	76,010	189,360
(4)	Property, plant, and equipment					706,564	706,564
(5)	Inventories and other	11,707	100				11,807
(6)	Total Assets	$158,404	$ 72,787	$17,143	$66,137	$846,197	$1,170,668
	LIABILITIES AND FUND BALANCES						
	Liabilities						
(7)	Accounts payable	$ 9,955	$ 405				$ 10,360
(8)	Employee withholdings and deposits		13,163				13,163
(9)	Other liabilities	2,145				$ 3,679	5,824
(10)	Liability for self insurance	11,879					11,879
(11)	Deferred income	6,073	5,322				11,395
(12)	Bonds payable					253,154	253,154
(13)	Capitalized lease obligation					26,824	26,824
(14)	Net deferred revenues and appropriated fund balances	115,316	(1,027)				114,289
(15)	Total Liabilities	145,368	17,863	0	0	283,657	446,888
(16)	Due to(from) interfund	(24,927)	29,128			(4,201)	0
	Fund Balances						
	Current Unrestricted						
(17)	Working capital	26,340					26,340
(18)	Future operating purposes	2,231					2,231
(19)	Funded Hospital depreciation	13,000					13,000
(20)	Other	6,392					6,392
(21)	Current Restricted		25,796				25,796
(22)	Loan			$17,143			17,143
(23)	True Endowments				$36,710		36,710
(24)	Term Endowments				876		876
(25)	Quasi Endowments				28,551		28,551
	Plant						
(26)	Retirement of Indebtedness					31,125	31,125
(27)	Renewal and Replacement					2,544	2,544
(28)	Allocated for designated projects					29,292	29,292
(29)	Net investment in plant					503,780	503,780
(30)	Total Fund Balances	47,963	25,796	17,143	66,137	566,741	723,780
(31)	Total Liabilities and Fund Balances	$166,404	$ 72,787	$17,143	$66,137	$846,197	$1,170,668

CONSOLIDATED STATEMENT OF CURRENT FUNDS
REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE TEN MONTHS ENDED APRIL 30, 1989
with comparative totals for April 30, 1988
(in thousands)

Schedule 1

		(A)	(B)	(C)	(D)	(E)	(F)
		1988-1989				1987-1988	
		ORIGINAL BUDGET	REVISED BUDGET	REALIZED TO DATE	PERCENT REALIZED	REALIZED TO DATE	PERCENT REALIZED
	GENERAL FUND AND HOSPITAL						
(1)	State Appropriation	$ 237,740	$ 238,240	$ 238,103	100	$ 224,018	100
(2)	Student Fees	58,140	61,011	60,747	100	54,022	101
(3)	Federal Appropriations	13,926	13,926	10,514	75	11,571	85
(4)	County Appropriations	3,643	3,828	2,857	75	2,730	75
(5)	Endowment Income	721	721	487	68	513	74
(6)	Investment Income	8,517	8,517	8,459	99	5,582	76
	Gifts and Grants						
(7)	Affiliated corporations	6,134	6,200	3,472	56	658	8
(8)	Other	3,005	4,111	1,071	26	844	23
(9)	Sales and Services	13,517	13,330	11,207	84	11,079	90
	Hospital						
(10)	Revenues	100,591	100,591	99,180	99	83,075	100
(11)	Fund Balances	35,300	34,093	34,093	100	30,457	100
(12)	Fund Balances	10,914	12,487	12,487	100	11,935	100
(13)	TOTAL GENERAL FUND AND HOSPITAL	492,148	497,055	482,677	97	436,484	96
(14)	AUXILIARY SERVICES	27,684	28,978	24,936	86	23,297	87
(15)	RESTRICTED FUNDS	39,823	34,974	27,423	78	24,828	76
(16)	AFFILIATED CORPORATIONS	54,664	70,716	61,161	86	52,925	110
(17)	TOTAL REVENUES AND APPROPRIATED FUND BALANCES	$ 614,319	$ 631,723	$ 596,197	94	$ 537,534	96

CONSOLIDATED STATEMENT OF CURRENT FUND
EXPENDITURES AND TRANSFERS SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE TEN MONTHS ENDED APRIL 30, 1989
(in thousands)

Schedule 2

		(A)	(B)	(C)	(D)
		1988-1989			
		ORIGINAL BUDGET	REVISED BUDGET	EXPENDITURES/ ENCUMBRANCES TO DATE	PERCENT EXPENDED/ ENCUMBERED
(1)	INSTRUCTION	$ 156,548	$ 154,133	$ 113,480	74
(2)	RESEARCH	80,241	84,537	75,573	89
(3)	PUBLIC SERVICE	51,838	49,954	34,166	68
(4)	ACADEMIC SUPPORT	38,065	42,501	31,069	73
(5)	STUDENT SERVICES	14,344	16,157	11,152	69
(6)	INSTITUTIONAL SUPPORT	24,492	27,479	20,970	76
(7)	STUDENT FINANCIAL AID	21,077	21,982	24,687	112
(8)	OPERATION AND MAINTENANCE	32,262	35,666	27,884	78
(9)	MANDATORY TRANSFERS (DEBT SERVICE)	20,721	20,721	19,618	95
(10)	HOSPITAL	137,154	135,918	96,214	71
(11)	AUXILIARY ENTERPRISES	37,577	42,675	34,977	82
(12)	TOTAL EXPENDITURES AND TRANSFERS BY PROGRAM	$ 614,319	$ 631,723	$ 489,790	78

EXPENDITURES AND TRANSFERS SUMMARY
BY CATEGORY OF EXPENDITURES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE TEN MONTHS ENDED APRIL 30, 1989
(in thousands)

Schedule 3

		(A) ORIGINAL BUDGET	(B) REVISED BUDGET	(C) EXPENDED/ ENCUMBERED	(D) % EXPENDED ENCUMBERED
(1)	PERSONNEL COSTS	$ 340,833	$ 346,126	$ 269,001	78
(2)	OPERATING EXPENSES	203,292	202,240	170,533	84
(3)	MANDATORY TRANSFERS (DEBT SERVICE)	20,721	20,721	19,618	95
(4)	CAPITAL OUTLAY	49,473	62,636	30,638	49
(5)	TOTAL EXPENDITURES AND TRANSFERS BY CATEGORY	$ 614,319	$ 631,723	$ 489,790	78

CONSOLIDATED STATEMENT OF CURRENT FUNDS
EXPENDITURES AND TRANSFERS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE TEN MONTHS ENDED APRIL 30, 1989
with comparative totals for April 30, 1988
(in thousands)

Schedule 4

		(A)	(B)	(C)	(D)	(E)	(F)
		1988-1989				1987-1988	
		ORIGINAL BUDGET	REVISED BUDGET	EXPENDED ENCUMBERED TO DATE	PERCENT EXPENDED/ ENCUMBERED	EXPENDED ENCUMBERED TO DATE	PERCENT EXPENDED/ ENCUMBERED
	CURRENT UNRESTRICTED FUNDS						
(1)	Instruction	$ 146,969	$ 143,075	$ 111,797	78	$ 107,818	79
(2)	Research	35,260	36,825	25,420	69	23,837	71
(3)	Public Service	43,449	41,399	33,601	81	32,294	80
(4)	Academic Support	35,414	38,094	29,532	78	23,623	77
(5)	Student Services	14,120	15,795	11,004	70	11,300	77
(6)	Institutional Support	21,481	24,194	18,443	76	20,676	78
(7)	Student Financial Aid	5,547	5,880	6,054	103	6,359	113
(8)	Operation and Maintenance	32,243	35,335	27,785	79	24,702	81
(9)	Mandatory Transfers (Debt Service)	20,721	20,721	19,618	95	19,061	96
(10)	Hospital	136,944	135,737	96,170	71	69,527	60
(11)	TOTAL CURRENT UNRESTRICTED FUNDS	492,148	497,055	379,424	76	339,197	75
	CURRENT RESTRICTED FUNDS						
(12)	Instruction	3,530	2,505	1,101	44	865	41
(13)	Research	16,671	12,186	5,091	42	4,897	41
(14)	Public Service	1,215	986	548	56	424	50
(15)	Academic Support	1,373	1,340	546	41	475	47
(16)	Student Services	207	201	76	38	62	39
(17)	Institutional Support	1,208	1,628	1,509	93	937	73
(18)	Student Financial Aid	15,391	15,919	18,506	116	14,112	95
(19)	Operations and Maintenance	19	29	3	10	69	55
(20)	Hospital	209	181	43	24	10	18
(21)	TOTAL CURRENT RESTRICTED FUNDS	39,823	34,974	27,423	78	21,851	67
(22)	AUXILIARY ENTERPRISES	27,684	28,978	23,625	82	21,874	82
(23)	AFFILIATED CORPORATIONS	54,664	70,716	59,318	84	46,535	97
(24)	TOTAL EXPENDITURES AND TRANSFERS	$ 614,319	$ 631,723	$ 489,790	78	$ 429,457	77

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES
AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE TEN MONTHS ENDED APRIL 30, 1989
(in thousands)

Schedule 5

		(A)	(B)
(1)	REVENUES, REALIZED		$ 549,617
	APPROPRIATED FUND BALANCES		
(2)	Current unrestricted fund	$ 12,487	
(3)	University Hospital	34,093	
(4)	TOTAL APPROPRIATED FUND BALANCES		46,580
(5)	TOTAL REVENUES AND APPROPRIATED FUND BALANCES (SCHEDULE 1)		596,197
(6)	EXPENDITURES/ENCUMBRANCES (SCHEDULE 2)	489,790	
(7)	LESS: RESERVE FOR ENCUMBRANCES	(7,882)	
(8)	TOTAL EXPENDITURES		481,908
(9)	NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES (STATEMENT 1)		$ 114,289

Office of the President
June 20, 1989

FCR 2

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of surplus and obsolete equipment as described in Exhibit I.

Background: KRS 164A.575 requires that the governing board sell or otherwise dispose of all personal property which is not needed by the institution. The Board will be provided with a description of the property, the reason why the disposal should be effected, and the method of disposition.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

Exhibit I

UNIVERSITY OF KENTUCKY

Surplus Property Disposal Request

Anticipated Livestock Disposal Request
1989-90

Estimated Quantities to be Sold

Swine	2500
Cattle	1000
Sheep	500
Horses	50

Sale Methods

Auction
Sealed Bid
Packing Company
Private Treaty

Reasons for Selling

Research Program Completed
Low Milk Production
Breeding Problems
To Obtain Carcass Data and Analytical Samples
Age

Anticipated Recyclable Materials Disposal Request
1989-90

Sale Method

Sealed Bid

Estimated Quantities to be Sold

Scrap Paper	200,000 lbs.
Scrap Metal	220,000 lbs.
Scrap Film	12,000 lbs.
Scrap Silver	120 lbs.

Anticipated Public Sale of Surplus,
Damaged and Obsolete Equipment Request
1989-90

Estimated Dates of Sales and Proceeds

DATE		PROCEEDS
August, 1989	$	3,000.00
October, 1989		3,000.00
January, 1990		3,000.00
March, 1990		3,000.00
April, 1990		1,500.00
June, 1990		3,000.00

Sale Methods

Public Auction or Sealed Bid

Reason for Disposition

Equipment in a deteriorated condition (junk), damaged or obsolete, that is determined to be surplus to the needs of the University. All such equipment has only a small amount of residual value and will be disposed of in a competitive sales method (i.e., public auction or sealed bid).

SALE OF ATHLETIC EQUIPMENT

TYPE OF DISPOSAL: Sealed Bid

REASON: This equipment is obsolete and surplus to the needs of the University.

ITEMS:
1 - Gymnastics Foam Back Spring Floor 40 X 40
1 Lot - Football Shoulder Pads
1 Lot - Football Helmets

SALE OF COMPUTER EQUIPMENT

INSTITUTION: Office of the Attorney General
Commonwealth of Kentucky

TYPE OF DISPOSAL: Sale at a Fixed Price of $6,500.00

REASON: This equipment is obsolete and surplus to the needs of the University. Equipment is highly specialized and would bring very little at surplus auction.

ITEMS: Wang OIS-140 Word Processing System

MODEL NUMBER	DESCRIPTION	QUANTITY
6540-3	OIS-140 MASTER	1
6540-45	OIS-140 MASTER	1
6540-3	DISK, 88.4MB	1
EM-OIS	EXTENDED MEMORY FOR OIS-140	1
4230-0A	64K WORD PROCESSING WORK STATION	7
4230-TC	64K TC/WORD PROCESSING WORK STATION	3
PC002	PC W/SINGLE DISKETTE	1
PC-PM041-0A	PC LOCAL WORK STATION ATTACH - OIS	1
5577	40/192 CPS HIGH DENSITY MATRIX PRINTER	2
TSF-61	TWIN SHEET FEEDER FOR 5577	2
DW/0S-55	55 CPS DAISY WHEEL PRINTER	2
DSF-55	DUAL SHEET FEEDER FOR DW/0S-55	2
OIS-TC	COMMUNICATIONS CONTROLLER	2
WA3451	300/1200 BPS MODEM	2
	ACOUSTICAL PRINTER CABINET	

SALE OF JAPANESE STAMP COLLECTION

TYPE OF DISPOSAL: Sealed Bid

REASON: Stamp collection is not needed by the University of Kentucky. Donor requested proceeds from the sale of the stamps be deposited for use in the Class of '33 scholarship fund.

ITEM: Japanese Stamp Collection

Office of the President
June 20, 1989

FCR 3

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

RECOMMENDATION: that the capital construction report for the nine months ending March 31, 1989, be accepted.

BACKGROUND: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the nine months ending March 31, 1989, the University has:

1. Awarded fifteen (15) architect and engineer contracts.
2. Made seventeen (17) amendments to architect and engineer contracts.
3. Made fourteen (14) final payments for fourteen (14) architect and engineer contracts.
4. Awarded or requisitioned for the award of sixty-three (63) construction contracts.
5. Made one hundred thirty-six (136) change orders to construction contracts.
6. Made fifty (50) final payments for fifty (50) construction contracts.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

DIVISION OF DESIGN AND CONSTRUCTION
REPORT TO FINANCE COMMITTEE OF BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY
FOR THE PERIOD JULY 1, 1988 - MARCH 31, 1989

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
WIREMAN & WIREMAN JACKSON	QUICKSAND BOUNDARY SURVEY Project No. 591.0	$ 9,080	$ 8,580	7/29/88
OMNI ARCHITECTS LEXINGTON	HOSPITAL THIRD FLOOR RENOVATION & EXPANSION Project No. 580.0	1,100,000	135,780	8/31/88
MASON & HANGER ENGINEERS LEXINGTON	MCVEY HALL COMMUNICATIONS CONDUIT AND ELECTRICAL Project No. 597.0	100,000	18,732	9/30/88
LOUIS & HENRY ARCHITECTS LOUISVILLE	PADUCAH COMMUNITY COLLEGE ALLIED HEALTH BUILDING Project No. 620.0	5,350,500	239,500	11/10/88
LAW ENGINEERING LEXINGTON	PER DIEM CONSULTANT GEOTECHNICAL SERVICES	N/A	N/A	11/30/88
KAISER-TAULBEE LEXINGTON	KENTUCKY CENTER FOR ENERGY RESEARCH Project No. 612.0	400,000	9,650	12/22/88
MCCLOSKEY & ASSOCIATES LEXINGTON	AMERICAN BUILDING GEOLOGICAL SURVEY RENOV. Project No. 613.0	199,000	15,500	1/31/89
SHERMAN, CARTER, BARNHART LEXINGTON	BUSINESS AND ECONOMICS BUILDING Project No. 587.0	8,075,000	425,000	1/31/89
BELCAN ENGINEERING SERVICES CINCINNATI, OH	BUSINESS & ECONOMICS BUILDING CHILLED WATER EXPANSION Project No. 587.1	875,000	40,440	1/31/89
ARRASMITH, JUDD, RAPP, INC. LOUISVILLE	PRESTONSBURG COMMUNITY COLLEGE SCIENCE BUILDING Project No. 624.0	3,100,000	150,000	1/31/89
ARRASMITH, JUDD, RAPP, INC. LOUISVILLE	MARKEY CANCER CENTER THIRD FLOOR COMPLETION Project No. 579.0	3,500,000	267,175	1/31/89
COLLIGNON & NUNLEY ARCHITECTS OWENSBORO	HOPKINSVILLE COMMUNITY COLLEGE AUDITORIUM Project No. 596.0	3,400,000	154,450	2/28/89
STAGGS AND FISHER ENGINEERS LEXINGTON	HOSPITAL COOLING TOWER REPAIR Project No. 655.0	949,700	17,800	2/28/89
OMNI ARCHITECTS LEXINGTON	HOSPITAL UPGRADE DIAGNOSTIC SERVICES Project No. 645.0	400,000	30,225	2/28/89
BOOKER ASSOCIATES LEXINGTON	ADMINISTRATION DRIVE REALIGNMENT Project No. 635.0	35,000 *	22,500	2/28/89

* The scope of this project represents an estimate for a study.

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	CHANGE NUMBER	DATE TO LRC	AMOUNT
PROCTOR DAVIS RAY LEXINGTON	FUNKHOUSER PHASE III AIR CONDITIONING Project No. 104.0	4	10/31/88	+ $ 8,500

Nature of Change: Additional consultant services.

Reason for Change: During construction it was necessary to make numerous changes for adjustments to the project because of items left out of the project. In addition, because of problems with the contractor, it was necessary to involve the consultant long after the project should have been concluded.

JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	MINING & MINERALS RESOURCE BLDG. Project No. 115.6	11	3/31/89	+ 5,000

Nature of Change: Provide design services necessary to produce a concept for placement of sculpture in the atrium of the Mining & Minerals Building.

Reason for Change: There is a strong need to express, through artistic works, the nature of the research that takes place in this facility. The design concept will be used to facilitate fund raising for the creation and installation of the sculpture.

OMNI/CMW ARCHITECTS LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.0	8	09/31/88	+ 302,211

Nature of Change: To increase Phases "A", "B", and "C" of the contract.

Reason for Change: The schematic design of the Hospital Expansion allowed for the future addition of two floors. The U.K. Hospital, in consultation with the Construction Manager and the Design Consultant, has determined that it would be cost effective and less disruptive to shell in those two floors immediately. This increase in fee is to cover the design fees necessary to prepare change orders and bid documents for the two additional floors.

OMNI/CMW ARCHITECTS LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.0	9	10/31/88	+ 140,000

Nature of Change: Increase the scope of services to include mechanical and electrical design necessary to plan for the expansion of the chilled water plant that services the Medical Center.

Reason for Change: It is necessary to increase the capacity of this facility in order to serve the new buildings currently under construction.

OMNI/CMW ARCHITECTS LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.0	10	1/31/89	+ 40,000

Nature of Change: Increase services in design phase.

Reason for Change: This amendment increases the fee for mechanical, electrical and plumbing design in order to expand the mechanical, electrical and plumbing systems of the new facility to better meet user program needs and adjust to recent changes in technology. These adjustments will provide the required support system for many items of state of the art equipment necessary to keep the University Hospital competitive with other facilities of this type.

TATE, FITZSIMONS LEXINGTON	HOSPITAL CAFETERIA RENOVATION Project No. 304.7	1	1/31/89	+ 5,100.00

Nature of Change: Increase scope of services.

Reason for Change: When this project was initiated it was estimated that there would be limited resources available to apply to the renovation of the cafeteria. Now that other components of the overall project have been bid and overall, the total Hospital relocation project is below the initially budgeted amount, reallocation of resources to this project is possible.

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS (CONTINUED)

ARCHITECT & ENGINEER	PROJECT	CHANGE NUMBER	DATE TO LRC	AMOUNT
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	AGRICULTURE REGULATORY SERVICES Project No. 395.0	4	3/31/89	+ $ 2,415
Nature of Change:	Extend Resident Inspector services.			
Reason for Change:	Continue the services of the Resident Inspector on a half time basis, to coincide with the project completion (January 1, thru February 28, 1989.)			
OMNI ARCHITECTS LEXINGTON	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	4	1/31/89	+ 17,000.00
Nature of Change:	Design changes required by state review agencies and users.			
Reason for Change:	This project was bid in phases making it difficult for the review agencies to understand the overall project resulting in many items being reviewed in the field. There are also items that were requested by the using agency for similar reasons to those stated above. Adjustments were required to better meet program needs.			
BOOKER ASSOCIATES LEXINGTON	HAGGIN HALL SHOWER REPAIR III Project No. 441.0	2	2/28/89	17,390
Nature of Change:	Additional service required.			
Reason for Change:	Preparation of bid packages for the final two construction phases of the Haggin Hall Shower Repair III. (Consultant designed Phases I & II.)			
SHERMAN/CARTER/BARNHART LEXINGTON	HAZARD COMMUNITY COLLEGE TECHNICAL/L.R.C. BUILDING Project No. 469.0	3	11/30/88	+ 4,873
Nature of Change:	Extend the service of the resident inspector.			
Reason for Change:	Construction not complete and requires resident inspector services from 10/17/88 to 11/30/88.			
ARRASMITH, JUDD, RAPP, INC. LOUISVILLE	PRESTONSBURG COMMUNITY COLLEGE TECHNICAL LEARNING RESOURCE BUILDING Project No. 471.0	3	09/30/88	+ 1,335
Nature of Change:	Increase Phase "C" of the contract.			
Reason for Change:	To provide for an extension of time for the Resident Inspector. The time period is to extend from August 1, 1988 to August 19, 1988.			
KNAPP, GIVENS, VEAZEY & SHOULDERS HENDERSON	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING Project No. 472.0	4	3/31/89	+ 9,472
Nature of Change:	Extend Resident Inspector Services			
Reason for Change:	Continue the services of the Resident Inspector through the fit-up phase of the project (April 13 through July 31, 1989).			
BICKEL-GIBSON ARCHITECTS LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.0	2	09/31/88	- 5,102
Nature of Change:	To decrease Phase "C" of the contract.			
Reason for Change:	Original Resident Inspector was dismissed after five and a half (5 1/2) months of service. Replacement Resident Inspector will provide services. Replacement Resident Inspector to receive $2,500, per month with reimbursement to the Architect at $2,625 per month. Savings results from Resident Inspector from another project being used until a replacement could be found.			

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS (CONTINUED)

ARCHITECT & ENGINEER	PROJECT	CHANGE NUMBER	DATE TO LRC	AMOUNT
TATE-FITZSIMONS ARCHITECTS LEXINGTON	HOSPITAL COFFEE SHOP RENOVATION Project No. 544.0	1	3/31/89	+ $ 900
Nature of Change:	Additional architectural services required.			
Reason for Change:	Include the design of replacement of galvanized overhead piping and installation of a sprinkler system. This service was not in the original scope of services.			
GRW ENGINEERS LEXINGTON	MEDICAL SCIENCE WING SMOKE DETECTION Project No. 853.0	2	09/31/88	+ 2,175
Nature of Change:	To increase Phase "C" of the contract.			
Reason for Change:	Due to the presence of asbestos, all construction work on this had to be temporarily halted while a separate contract was issued for asbestos abatement. The delay lasted for several months. This Amendment is to cover added costs for remobilization of the project following the abatement process.			
GRW ENGINEERS LEXINGTON	DENTAL SCIENCE WING SMOKE DETECTION Project No. 854.0	2	09/31/88	+ 725
Nature of Change:	To increase Phase "C" of the contract.			
Reason for Change:	Due to the presence of asbestos, all construction work on this had to be temporarily halted while a separate contract was issued for asbestos abatement. The delay lasted for several months. This Amendment is to cover added costs for remobilization of the project following the abatement process.			
LOSS CONTROL LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL SERVICES	1	12/22/88	-0-
Nature of Change:	Add Radon Testing to schedule of rates.			
Reason for Change:	Radon Testing has been required on several projects and the University does not have the ability to perform such testing.			

III. ARCHITECT & ENGINEER FINAL PAYMENTS

ARCHITECT & ENGINEER	PROJECT	FINAL CONTRACT AMOUNT	DATE
DCT DESIGN GROUP, LTD. LEXINGTON	HOSPITAL RENOVATION INTERIOR DECOR/3RD FLOOR OBSTETRICS Project No. 129.6	$ 40,534	8/10/88
BICKEL-GIBSON ARCHITECTS LOUISVILLE	MAXWELL H. GLUCK EQUINE CENTER Project No. 230.1	441,875	8/12/88
DRIES & ALEXANDER ARCHITECTS LEXINGTON	HOSPITAL RENOVATION PATIENT CARE/2ND FLOOR Project No. 349.0	18,700	8/25/88
LUCKETT & FARLEY ARCHITECTS LOUISVILLE	MEDICAL SCIENCES RESEARCH LABS Project No. 310.0	31,034	9/23/88
BOOKER & ASSOCIATES LEXINGTON	HAGGIN HALL SHOWER REPAIRS Project No. 441.0	52,328	10/11/88

III. ARCHITECT & ENGINEER FINAL PAYMENTS (CONTINUED)

ARCHITECT & ENGINEER	PROJECT	FINAL CONTRACT AMOUNT	DATE
BOOKER & ASSOCIATES LEXINGTON	SHAWNEETOWN ROOF/TYPE B Project No. 444.0	$ 16,831	11/01/88
ROSS-FELDMAN LEXINGTON	STUDENT CENTER ROOFS FEASIBILITY STUDY/BALLROOM Project No. 527.0	16,337	12/19/88
JOHNSON/ROMANOWITZ LEXINGTON	MINING & MINERALS RESOURCE BLDG. PHASE II SHELL Project No. 115.0	718,632	12/23/88
MCCLOSKEY & ASSOCIATES LEXINGTON	AMERICAN BUILDING LIFE SAFETY Project No. 376.0	5,800	1/18/89
CHRISMAN, MILLER, WOODFORD LEXINGTON	MCVEY HALL RENOVATION Project No. 105.1	214,264	2/23/89
PROCTOR, DAVIS, RAY LEXINGTON	FUNKHOUSER PHASE III AIR CONDITIONING Project No. 104.0	98,500	3/01/89
WIREMAN & WIREMAN JACKSON	QUICKSAND BOUNDARY SURVEY Project No. 591.0	8,580	3/15/89
TERRY B. SIMMONS LEXINGTON	AMERICAN BUILDING ANTHROPOLOGY RENOVATION Project No. 375.0	14,814	3/17/89
LOUIS & HENRY LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC TECHNICAL BUILDING Project No. 470.0	195,341	3/30/89

IV. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
ATLAS METAL PRODUCTS LOUISVILLE	HOSPITAL EXPANSION PHASE II WORK CATAGORY #15-FINISH HARDWARE Project No. 304.1	$ 130,680	7/1/88	4	$ 130,680 - 152,683
FIDELITY CONSTRUCTION ALVANTON	MADISONVILLE COMMUNITY COLLEGE RESEAL/STRIP EXTERIOR WINDOWS Project No. 367.0	13,114 *	7/14/88	4	8,976 - 59,307
* Second low bidder accepted. Low bidder did not meet qualifications.					
BROADVIEW ACOUSTICAL LEXINGTON	HOSPITAL EXPANSION PHASE II WORK CATAGORY #3-FIREPROOFING Project No. 304.1	698,000	7/22/88	3	698,000 - 780,000
EUBANK & STEEL LEXINGTON	MINES & MINERALS ELEVATED PLATFORM FOR FIXED SEATING Project No. 115.2	3,170	7/25/88	5	3,170 - 7,400

IV. CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
INTEGRATED TELECOM CORP. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE TELEPHONE SYSTEM Project No. 471.1	$ 22,051	7/26/88	1	$ 22,051
GTE SOUTH, INC. ASHLAND	HAZARD COMMUNITY COLLEGE TELEPHONE SYSTEM Project No. 469.2	19,565	8/10/88	1	19,565
WHEELER GENERAL CONTRACTORS LEXINGTON	SOMERSET COMMUNITY COLLEGE SIGNAGE Project No. 112.4	10,033	8/15/88	3	10,033 - 13,063
LITTLE FLOOR COMPANY SUGAR GROVE, OH	LANCASTER AQUATIC CENTER DECK FINISH PACKAGE Project No. 146.3	30,895	8/18/88	2	30,895 - 42,215
STEEL TRAILER CORPORATION CYNTHIANA	MEDICAL CENTER ANNEX #4 STAIRWAY REPAIRS Project No. 549.0	21,500	8/15/88	1	21,500
WEBER & WEBER GENERAL CONTR. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE COMMUNICATION DUCT BANKS	34,200	9/14/88	2	34,200 - 67,949
AKERS & AKERS, INC. DANA	PRESTONSBURG COMMUNITY COLLEGE PARKING LOT PAVING & LIGHTING Project No. 271.0	129,944	9/19/88	2	129,944 - 171,804
CHAMBERS COMMERCIAL COATINGS NICHOLASVILLE	LANCASTER AQUATIC CENTER POOL FINISH Project No. 146.2	26,128	9/19/88	1	26,128
FINNEY COMPANY LEXINGTON	PHARMACY EQUIPMENT INSTALLATION Project No. 555.0	215,947	9/28/88	1	215,947
MEADE CONSTRUCTION CO. CATLETTSBURG	ASHLAND COMMUNITY COLLEGE BOOKSTORE EXPANSION Project No. 498.0	17,733	10/6/88	5	17,733 - 28,407
GTE SOUTH ELIZABETHTOWN	ELIZABETHTOWN COMMUNITY COLLEGE TELEPHONE UPGRADE Project No. 470.1	72,299	10/6/88	5	72,299 - 97,256
MIDWEST COMMUNICATION CORP. LOUISVILLE	ROBOTICS & MCVEY HALL SATELLITE DISH & INSTALLATION Project No. 431.2 & 609.0	241,521	10/10/88	4	241,521 - 267,920
McNABB CONSTRUCTION CO., INC. MT. STERLING	DEMOLITION OF STRUCTURES CLIFTON, ROSE ST. & S. LIME Project No. 626.0	10,520	10/14/88	1	10,520
PEARCE PHELPS ROOFING, INC. LEXINGTON	SOMERSET COMMUNITY COLLEGE MEECE HALL ROOF REPLACEMENT Project No. 581.0	41,897	10/25/88	3	41,897 - 45,350
CENTRAL ROCK & MINERAL CO. LEXINGTON	AQUATIC CENTER PARKING LOT Project No. 598.0	51,600	10/25/88	7	51,600 - 66,703
THERMAL BALANCE INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK II, WORK CAT. #12 Project No. 304.1	47,600 *	10/31/88	2	42,805 - 47,600
* Second low bidder accepted. Low bidder did not meet qualifications.					
FRANK MESSER & SONS CONSTR. CO. CINCINNATI, OH	HOSPITAL EXPANSION PHASE II BID PACK III, WORK CAT. #1 Project No. 304.1	1,796,000	11/7/88	1	1,796,000
LITTLE FLOOR COMPANY SUGAR GROVE, OH	AQUATIC CENTER DECK FINISH Project No. 146.3	30,895	11/11/88	2	30,895 - 42,215

IV. CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
KOORSEN PROTECTION SERVICES LOUISVILLE	PATTERSON OFFICE TOWER HALON SUPPRESSION SYSTEM Project No. 852.2	$ 12,500	11/11/88	2	$ 12,500 - 22,150
BROADVIEW ACOUSTICAL CONTRACTORS LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK III, WORK CAT. #4 - DRYWALL Project No. 304.1	58,700	11/21/88	2	58,700 - 106,514
JOHNSON CONTROLS LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK II, WORK CAT. #11 Project No. 304.1	689,329	11/22/88	1	689,329
LEXINGTON FIRE PROTECTION CO. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK III, WORK CAT. #4 Project No. 304.1	11,886	11/23/88	4	11,886 - 34,500
WIDES MATERIAL CO. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK III, WORK CAT. 6 Project No. 304.1	10,636	11/23/88	3	10,636 - 11,755
ATLAS METAL PRODUCTS CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK III, WORK CAT. 7 Project No. 304.1	10,045	11/23/88	2	10,045 - 11,886
CENTRAL KENTUCKY GLASS CO. LEXINGTON	HOSPITAL EXPANSION PARKING STRUCTURE Project No. 304.3	15,793	11/23/88	1	15,793
ROY E. PELFREY ASSOCIATES LEXINGTON	CENTER FOR THE ARTS CURTAIN Project No. 494.0	19,600	11/23/88	2	19,600 - 22,137
LAGCO LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK III, WORK CAT. 5 Project No. 304.1	105,300	11/29/88	5	105,300 - 141,493
CENTRAL ROCK MINERAL CO. LEXINGTON	SEATON CENTER SITE DEVELOPMENT Project No. 588.0	69,503	12/01/88	5	69,503 - 90,000
GTE SOUTH ELIZABETHTOWN	OWENSBORO COMMUNITY COLLEGE TELEPHONE SYSTEM Project No. 425.1	120,000	12/05/88	5	120,000 - 147,694
E.C. MATTHEWS CO. LEXINGTON	MED PLAZA ROOFTOP ENCLOSURE WORK CAT. 1 Project No. 576.0	249,800	12/07/88	1	249,800
CENTRAL GREETING CO. LEXINGTON	MED PLAZA ROOFTOP ENCLOSURE WORK CAT. 2 Project No. 576.0	195,000	12/07/88	1	195,000
PEARCH PHELPS ROOFING INC. LEXINGTON	MED PLAZA ROOFTOP ENCLOSURE WORK CAT. 3 Project No. 576.0	127,763	12/08/88	6	127,763 - 176,520
PANEL TECH. INC. LEXINGTON	MED PLAZA ROOFTOP ENCLOSURE WORK CAT. 5 Project No. 576.0	36,890	12/07/88	2	36,890 - 61,400
BROWN SPRINKLER CORPORATION LEXINGTON	MED PLAZA ROOFTOP ENCLOSURE WORK CAT. 8 Project No. 576.0	28,900	12/07/88	4	28,900 - 36,500
PARSONS ELECTRIC LEXINGTON	MED PLAZA ROOFTOP ENCLOSURE WORK CAT. 9 Project No. 576.0	12,200	12/08/88	5	12,200 - 18,233
ECONOMY GLASS SERVICE LEXINGTON	MED PLAZA ROOFTOP ENCLOSURE WORK CAT. 6 Project No. 576.0	34,985	12/08/88	3	34,985 - 42,967

IV. CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
GREEN MECHANICAL CONSTRUCTION LEXINGTON	MED PLAZA ROOFTOP ENCLOSURE WORK CAT. 7 Project 576.0	$ 15,052	12/08/88	3	$ 15,052 - 31,400
BILL TUCKER CONSTRUCTION CO. LAGRANGE	JEFFERSON COMMUNITY COLLEGE HARTFORD BLDG. HARDWARE Project No. 454.0	15,410	12/09/88	4	15,410 - 19,300
D.W. WILBURN, INC. LEXINGTON	4-H LEADERSHIP TRAINING CENTER PHASE III Project No. 333.2	510,200	12/15/88	7	510,200 - 653,640
GENE RAY ELECTRIC CO. ELIZABETHTOWN	ELIZABETHTOWN COMMUNITY COLLEGE TELEPHONE UPGRADE Project No. 470.1	4,800	12/20/88	3	4,800 - 7,325
SIEMENS MEDICAL SYSTEMS ISELIN, NEW JERSEY	MRI BUILDING Project No. 457.0	676,772	12/21/88	2	676,772 - 993,600
ARC CONSTRUCTION CO. EVANSVILLE, INDIANA	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/TECHNICAL BLDG. PHASE II Project No. 472.1	969,960	12/23/88	2	969,960 - 1,046,600
BAC PRITCHARD BALTIMORE, MD	HOSPITAL COOLING TOWER REPAIR Project No. 655.0	515,224	1/13/89	1	515,224
LINKERS PROFESSIONAL INSULATOR LOUISVILLE	MEDICAL PLAZA ROOFTOP CONVERSION WORK CAT. #4 - FIREPROOFING Project No. 576.0	38,500	1/27/89	2	38,500 - 38,858
MMR/WALLACE CONSTRUCTORS LEXINGTON	HOSPITAL EXPANSION PHASE II COOLING PLANT IMPROVEMENTS Project No. 304.8	1,275,104	1/26/89	6	1,275,104 - 1,579,797
DOVER ELEVATOR LEXINGTON	MEDIAL SCIENCE REPLACE FOUR ELEVATORS Project No. 311.0	299,834 *	1/31/89	2	213,850 - 299,834
* Second low bidder accepted. Low bidder did not meet qualifications.					
MARCO CONSTRUCTION NICHOLASVILLE	HOSPITAL OUTPATIENT SURGERY RENOVATION Project No. 590.0	98,600	2/15/89	4	98,600 - 124,755
MARCO CONSTRUCTION NICHOLASVILLE	HOSPITAL RENOVATION ENDOSCOPY/DIALYSIS Project No. 589.0	111,600	2/22/89	5	111,600 - 124,095
ARC CONSTRUCTION EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE MECHANICAL ROOM STRUCTURAL INVEST. Project No. 594.0	6,160	2/23/89	1	6,160
WHEELER CONSTRUCTION LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACAD./TECH. BLDG. NOISE PROBLEM Project No. 468.1	5,040	3/9/89	1	5,040
WARREN BUILDERS MT. STERLING	ALLEN HOUSE ROOF REPLACEMENT Project No. 553.0	98,250	3/9/89	5	98,250 - 131,950
WARREN BUILDERS MT. STERLING	NOE HOUSE ROOF REPLACEMENT Project No. 553.0	96,233	3/9/89	5	96,233 - 131,131
GORDON CONSTRUCTION SHELBYVILLE	COMPLEX BASKETBALL COURTS RECREATIONAL BUILDING RENOVATION Project No. 391.1	9,700	3/22/89	9	9,700 - 19,329
STEVENS CONTRACTORS, INC. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BLDG. RENOV. PHASE II Project No. 478.1	240,300	3/23/89	11	240,300 - 279,600

IV. CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
ARROW ELECTRIC LEXINGTON	BLAZER HALL FIRE SAFETY Project No. 536.0	$ 126,874	3/23/89	4	$ 126,874 - 149,000
BROADVIEW BUILDINGS LEXINGTON	SHAWNEETOWN KITCHEN UNIT REPLACEMENT Project No. 567.0	56,888	3/27/89	6	56,888 - 81,300
BURDETTE ELECTRIC LEXINGTON	KEENELAND HALL FIRE SAFETY Project No. 561.0	125,665	3/27/89	8	125,665 - 193,784
BLUEGRASS LANDSCAPE DESIGN LEXINGTON	AQUATIC CENTER PARKING LOT LANDSCAPING Project No. 598.1	27,350	3/27/89	3	27,350 - 41,724
PARSONS ELECTRIC LEXINGTON	DONOVAN HALL FIRE SAFETY Project No. 560.0	171,037	3/28/89	4	171,037 - 202,774

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
THE WAGNER COMPANY LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	9	9/8/88	- $ 465.00

Nature of Change:
1. Delete sod work from contract.
2. Delete cost for demolition of wall partition.
3. Delete ceiling painting in the electrical equipment room.

Reason for Change:
1. University has decided to perform work.
2. Work was shown in Addendum; User decided not to remove wall.
3. Risk of damage to Liebert equipment due to over spray and/or shutdown.

WOODFORD BUILDERS, INC. LEXINGTON	HOSPITAL INTERIOR RENOVATION 4TH FLOOR PEDIATRICS Project No. 129.5	4	11/18/88	- 100.00

Nature of Change: Delete installation of Pharmacy intercom.

Reason for Change: Intercom specified is not compatible with existing system.

LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	34	8/11/88	+ 1,316.32

Nature of Change:
1. Provide "B" label construction floor hatch in Stair #2.
2. Change type "C" fixtures in Lobby from 2 florescents to 6 incandescent down lights.
3. Delete rubber base and concrete sealer on floors of rooms 109, 112, 123 and 132.

Reason for Change:
1. Change required to meet building code.
2. Change required to avoid conflict with items above ceiling.
3. Deck coating will be turned up at wall and will replace the vinyl base originally specified.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	35	10/11/88	+ $ 801.55

Nature of Change: Provide surge tank grating as requested.

Reason for Change: To prevent large objects from entering surge tank. Change required by Urban County Health Department.

CHAMBERS COMMERCIAL COATINGS NICHOLASVILLE	AQUATICS POOL FINISH LANCASTER AQUATIC CENTER Project No. 146.2	1	12/2/88	+ 2,500.00

Nature of Change: Repair all voids, pinholes, etc., on pool bottom, perform six step acid etching and neutralizing procedure for pool tank bottom.

Reason for Change: Contractor is removing paint, etc., from pool bottom by grinding that has left a surface unacceptable to apply pool finish.

R & W CONSTRUCTION CO. LOUISVILLE	MAXWELL H. GLUCK EQUINE RESEARCH CENTER Project No. 230.0	10	7/18/88	+ 8,934.30

Nature of Change:
1. Furnish & install booster pump in north penthouse to serve deionization equipment.
2. Make revisions to air handling unit and to certain exhaust fans.
3. Delete maintenance of landscape plantings.
4. Install drains below floor for sterilizers.
5. Modify controls of ATB and EB Boxes.

Reason for Change:
1. To assure uninterrupted service to deionization equipment.
2. To provide additional ventilation for Mechanical Room.
3. University landscape department prefers to do this work.
4. Equipment supplied was not in accordance with original information.
5. Incorrect and/or change of hood exhaust criteria.

STRUCK CONSTRUCTION CO., INC LOUISVILLE	MEDICAL CENTER ANIMAL CARE FACILITY Project No. 259.0	5	1/24/89	+ 12,715.96

Nature of Change: Add a new fire department connection to the east wing of the Hospital as directed by Engineers.

Reason for Change: Change required to satisfy the local Fire Department's concern about ready access to the existing Fire Department connections on the north wing due to new buildings and projects under construction.

AKERS & AKERS CONSTRUCTION DANA	PRESTONSBURG COMMUNITY COLLEGE PARKING LOT PAVING AND LIGHTING Project No. 271.0	1	12/22/88	+ 6,537.00

Nature of Change:
1. Extend 15" Reinforced Concrete Pipe 104 l.f. and add 1 catch basin.
2. Add one catch basin at end of parking lot.
3. Add 58 l.f. of 15" reinforced concrete pipe and one catch basin to catch water from existing gravel parking lot.
4. Add 64 l.f. of 12" reinforced concrete pipe and one catch basin at low spot near handicap ramp.
5. Delete six feet wide strip of sod.

Reason for Change:
1. A ditch from end of pipe to stream would contribute to erosion.
2. After extending the pipe it was necessary to add a basin for clean out.
3. Existing grades would not permit gravel lot to drain. Additional basin will drain hazardous waterhold.
4. Sidewalk elevations were unavailable when drawings were completed. Final elevations did not permit drainage. New catch basin will allieviate problem.
5. Due to dry summer, sod is not available at this time; contractor will hydro-seed the area.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
LICHTEFELD-MASSARO, INC. LOUISVILLE	HOSPITAL EXPANSION/PHASE II BID PACK #1 - GENERAL WORK Project No. 304.1	2	7/27/88	- $ 25,437.53

Nature of Change:
1. Credit, caisson rock.
2. Delete footing at northeast corner.
3. Reduce fill slope.

Reason for Change:
1. Unit quantity adjustment per Contract Documents.
2. Work has been bid under Bid Package #2 and will be done at a later date for better coordination of work.
3. After inspection by Consultant it was found that less area needed filled than that specified.

LICHTEFELD-MASSARO, INC. LOUISVILLE	HOSPITAL EXPANSION/PHASE II BID PACK #1 - GENERAL WORK Project No. 304.1	3	9/13/88	+ 7,757.32

Nature of Change:
1. Compensation due to gas line rupture. Extend completion date by 28 calendar days.
2. Relocate 92 l.f. of drain tile from south of the parking structure to the north side of the new tunnel.

Reason for Change:
1. Delay of completing road work south of the parking garage due to new gas line installation.
2. To provide ground water seepage protection.

LICHTEFELD-MASSARO, INC. LOUISVILLE	HOSPITAL EXPANSION/PHASE II BID PACKAGE #1 - GENERAL WORK Project No. 304.1	4	11/16/88	- 2,255.12

Nature of Change: Credit for sod.

Reason for Change: Work requested by contractor not required due to existing sod not being disturbed and was acceptable.

LICHTEFELD-MASSARO, INC. LOUISVILLE	HOSPITAL EXPANSION/PHASE II BID PACKAGE #1 - GENERAL WORK Project No. 304.1	5	12/22/88	+ 10, 169.98

Nature of Change: Replace insulation in steam tunnel; paint, pump out, and remove trash.

Reason for Change: Repairs and replacement of damaged insulation needed due to water damage.

HENDERSON ELECTRIC CO. LEXINGTON	HOSPITAL EXPANSION/PHASE II BID PACK I, ELECTRICAL WORK Project No. 304.1	2	9/7/88	- 4,160.00

Nature of Change: A credit of 26 cubic yards for rock removal.

Reason for Change: Rock removal quantity less than anticipated.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
FRANK MESSER & SONS CINCINNATI, OH	HOSPITAL EXPANSION/PHASE II BID PACK II, WORK CAT. 1, GENERAL Project No. 304.1	1	9/27/88	+ $ 35,692.00

Nature of Change:
1. Add reinforcing steel to concrete slab at mechanical room, first floor slab.
2. Install pavement trench drain across emergency room drive for collection of spills from leading of jet fuel into tanker, used for the helicopter.
3. Provide and install two stainless steel scrub sinks at tramma room.
4. Provide 72 task lights at laboratory wall shelving, heavy duty shelving, stillwater generator, fold-down grab bars at ICU patient rooms, ice matching, and increase height of laboratory controlled room for 8'0" finished ceiling height.

Reason for Change:
1. Design was not complete at time of bidding.
2. Required for safety in case of spillage of jet fuel during unloading operations.
   Required to prevent spillage in storm sewer system.
3. Items omitted from bid documents.
4. Post-bid review of contract indicated duplication and/or omissions of the above listed items.

FRANK MESSER & SONS CINCINNATI, OH	HOSPITAL EXPANSION/PHASE II BID PACK II, WORK CAT. 1 - GENERAL Project No. 304.1	2	10/5/88	+ 85,133.00

Nature of Change: Provide materials and installation of two additional floors to hospital project.

Reason for Change: Design team decided it would be more cost effective and less disruptive to shell the two additional floors immediately; rather than wait until present hospital project was completed.

FRANK MESSER & SONS CINCINNATI, OH	HOSPITAL EXPANSION/PHASE II BID PACK II, WORK CAT. #1, GENERAL Project No. 304.1	3	11/28/88	+ 10,178.00

Nature of Change:
1. Door and frame revision.
2. Provide quarry tile at emergency entrance.
3. Heliport drainage system revision.
4. Furnish Hill-Rom headwalls in lieu of Hospital systems.

Reason for Change:
1. Code requirement for electrical panel clearance.
2. Shown on drawings but not included in any of the bid category contracts.
3. Rerouting of roof drainage systems to reduce expensive containment piping for heliport.
4. The Hospital systems track was originally specified. During the post-bid review, it was found that their track assembly was incompatible with existing equipment to mount into the track and thus Hill-Rom is required.

FRANK MESSER & SONS CONST. CINCINNATI, OH	HOSPITAL EXPANSION PHASE II BID PACK II; WORK CAT. #1 - GENERAL WORK Project No. 304.1	4	2/16/89	+ 35,599.00

Nature of Change:
1. Beam changes for 4th to 6th floor.
2. Changes to embed plates on 4th to 6th floors.
3. Revisions to main entrance skylight.
4. Changes in signage.

Reason for Change:
1. Beam changes required in order to accommodate the associated revisions in the precast wall cladding.
2. Embeds for columns from 4th to 6th floor to maintain job progress and not stop project from pouring concrete.
3. Skylight manufacturer will not warrant original design as pitch is less than 15 degrees from horizontal. A new design was created to avoid the low sill problem and provide a warrantable higher pitch on the skylights. The new design adds more facets to skylights and more framing.
4. Changes required to clarify movement of traffic, not known until signs installed. Added sign at VA Medical Center to clarify different buildings.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
FRANK MESSER & SONS CONST. CINCINNATI, OH	HOSPITAL EXPANSION PHASE II BID PACK II; WORK CAT. #1 - GENERAL WORK Project No. 304.1	5	3/27/89	+ $ 26,458.00

Nature of Change:
1. Add steel at elevator shafts.
2. Add access door for Logo.
3. Rock elevation, actual verses design.
4. Add elevator sump pumps.
5. Add hangar access ladder.

Reason for Change:
1. Additional requirement of Dover Elevator to provide support for their vertical elevator rails.
2. Access required for large building mounted logo due to relocation of logo.
3. Actual rock elevations verses design rock elevations indicated on structural drawings.
4. No sump pumps were indicated on drawings prepared by H.O.K., but are required.
5. There was no access ladder indicated to the hanger roof which is 35' above adjoining roof. A thoroughly safe access ladder and hatch is required to the hanger roof to replace lamps at windsock and obstruction lights.

MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN	HOSPITAL EXPANSION/PHASE II BID PACK II, WORK CAT. 9, MECHANICAL Project No. 304.1	1	10/21/88	- 75,422.00

Nature of Change:
1. Provide drop inlet manholes at column lines 5-T and 19-W.
2. Delete twelve surgical scrub sinks and above ceiling thermometers.

Reason for Change:
1. Due to a conflict of the sewer line exiting the building, the elevation could not be adjusted to eliminate the drop manhole.
2. Post bid review of contract documents indicated duplication and/or omissions of the above items.

MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN	HOSPITAL EXPANSION/PHASE II BID PACK II; WORK CAT. #9 - MECHANICAL Project No. 304.1	2	1/24/89	+ 114,248.00

Nature of Change:
1. Delete prefabricated insulation jackets.
2. Revision to air handling unit motors.
3. Extra work required due to addition of two floors.
4. Revision to heliport drainage system.

Reason for Change:
1. After re-evaluation of system, the engineers determined that the jackets were not required and the University agrees.
2. Revisions required due to recalculation of static pressures.
3. Upon approval to add two additional floors to the structure under construction, this contractor was requested to make a proposal for additional work required in this work category.
4. Due to addition of two floors, rerouting of roof drainage systems was required in order to reduce expensive containment piping for heliport.

BROWN SPRINKLER CORPORATION LEXINGTON	HOSPITAL EXPANSION/PHASE II BID PACK II, WORK CAT. #10 - FIRE PROTECTION Project No. 304.1	1	11/18/88	+ 17,017.00

Nature of Change: Additional sprinklers required.

Reason for Change: Addition of two floors to hospital project required sprinklers.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
ARROW ELECTRIC CO., INC. LEXINGTON	HOSPITAL EXPANSION/PHASE II BID PACK II, WORK CAT. 13 - ELECTRICAL Project No. 304.1	1	9/30/88	- $ 2,199.00

Nature of Change:
1. Install vacuum switch, manhole #E-115 and extend wiring to this manhole.
2. Provide fire alarm speaker light in lieu of fire horn/light at each signal location.
3. Delete providing magnetic door closers and provide smoke detectors at each hold/open door location.

Reason for Change:
1. Electric manhole E-115 not suitable for new vacuum switch.
2. Fire alarm system revised to match existing Hospital system.
3. Changes are needed in order to meet electrical code requirements.

ARROW ELECTRIC CO., INC. LEXINGTON	HOSPITAL EXPANSION/PHASE II BID PACK II, WORK CAT. #13 - ELECTRICAL Project No. 304.1	2	12/08/88	+ 159,456.00

Nature of Change:
1. Additional electrical work required.
2. Revisions of air handling unit's motor.

Reason for Change:
1. Additional work required by the addition of two floors to this project.
2. Recalculation of static pressures.

ARROW ELECTRIC CO., INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK II; WORK CAT. #13 - ELECTRIC Project No. 304.1	3	2/16/89	- 3,096.00

Nature of Change:
1. Provide concrete encasement on two 5 inch conduits beyond the fence area to existing electrical manhole.
2. Furnish and install all power and control wiring for two dock levelers at door on rear loading dock.
3. Electrical revisions pertaining to light fixtures, intercoms and nurse call stations.

Reason for Change:
1. To safeguard the pipe and wiring against future excavation in area.
2. Power to dock levelers provided by work category No. 1, General Work, was not indicated on the electrical drawings.
3. Using agency requested fluorescent in lieu of incadescent light fixtures for maintenance & energy reasons. Deleted nurse presence stations as they were not required by Hospital.

TRI-STATE ROOFING & SHEET METAL LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK II; WORK CAT. #2 - SHEET METAL Project No. 304.1	1	2/16/89	- 27,278.00

Nature of Change:
1. Delete Carlisle membrane and Dow Insulation below helipad.

Reason for Change:
Owens Corning Derbigum membrane with 1/8" protection board and 20 year warranty would be more beneficial and less costly.

BROADVIEW ACOUSTICAL LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #3 - PLASTER, FIRE-PROOFING & DRYWALL Project No. 304.1	1	2/16/89	+ 35,078.00

Nature of Change:
1. Locate door to south east corner of room HAM011. Swing door from room HAM005B into HAM011, against south wall. Install 5/8" gyp. board on 7/8" furring in entire room of HAM011. Revise door frame depth of door.
2. Additional work required from this contractor.

Reason for Change:
1. Code requirement for electrical panel clearance.
2. Additional work required because of the addition of two floors.

HOOT CONSTRUCTION COMPANY PARIS	HOSPITAL EXPANSION/PHASE II PARKING STRUCTURE Project No. 304.3	1	1/24/89	+ 900.00

Nature of Change:
Additional striping to block off portion of garage so as to prevent parking and likewise widen traffic corridor.

Reason for Change:
Additional striping required to better facilitate safe traffic flow in and out of the garage.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
DOVER ELEVATOR COMPANY LEXINGTON	MEDICAL SCIENCE BLDG. REPLACE FOUR ELEVATORS Project No. 311.0	1	2/7/89	+ $ 4,392.00

Nature of Change:
1. Relocate the existing electrical distribution panel and add new heat detector.
2. Change single 3'-0" x 7'-0" door to double 2'-6" x 7'-0" doors.
3. Add 3/16 metal bent plate.

Reason for Change:
1. The elevator code requires that the electrical disconnect panel be located inside the machine room enclosure. In order to met this requirement, after the change in wall location, the panel must be relocated. The heat detector is being added to meet NFPA code requirements.
2. Change desired to provide easier removal of elevator equipment.
3. Metal plate is necessary for safety reasons. To fill 1'0" void between new wall and machine room platform.

HONEYWELL, INC. DETROIT, MI	ENERGY MANAGEMENT SYSTEM LEXINGTON CAMPUS Project No. 341.1	1	2/20/89	- 40,437.00

Nature of Change:
1. Delete Rosey Printers (4) and add Genicom Printers.
2. Delete MZ unit #3.
3. Liquidated damages.

Reason for Change:
1. Computer would not interface with Rosey Printers; replaced with Genicom Printers.
2. Position for MZ Unit does not exist. Records error.
3. Liquidated damages from scheduled completion time of June 10, 1986 to actual completion date of January 1, 1989 (936 calendar days @ $100/day).

WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	8	7/12/88	+ 17,025.47

Nature of Change:
1. Provide & install drain valves and pit cooling tower.
2. Enlarge existing electrical manhole.

Reason for Change:
1. To provide complete drainage of cooling tower to eliminate any potential freezing of cooling tower piping.
2. To provide additional working space around new vacuum switch (high voltage switch).

WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	9	7/12/88	+ 1,752.60

Nature of Change:
1. Provide and install 6" x 6" WS series interior signs with raised copy & vinyl insert.
2. Change two locksets.

Reason for Change:
1. Change required to provide signage with additional area for copy.
2. To provide additional security.

WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	10	7/15/88	+ -0-

Nature of Change: Tennesco Company will provide steel library shelving in lieu of the Andrew Wilson Company.

Reason for Change: The supplier of library shelving has received notice that the manufacturer he had listed in his bid to the General Contractor has entered Chapter 11 Bankruptcy and can no longer supply the materials. The supplier has therefore requested to substitute manufacturers.

WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	11	8/10/88	+ 11,792.02

Nature of Change: Provide and install cast bronze plaque.

Reason for Change: Plaque requested by using Department.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	12	9/6/88	+ $ 4,894.40

Nature of Change:
1. Provide and install 1" diameter conduit in lieu of 3/4" diameter conduit in location where two computer outlets are supplied by one common conduit.
2. Provide and install insulation in single wall high pressure ductwork.

Reason for Change:
1. In certain locations, the 3/4" conduit was not large enough to pull two computer cables; 1" conduit was substituted.
2. Insulation necessary to avoid possible condensation.

WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	13	9/8/88	+ 8,398.45

Nature of Change:
1. Install 20 ampere, three phase circuit from panel "C" to exhaust fan #7 in penthouse; delete magnetic starter.
2. Install 7" round duct from existing duct work to 7" round opening on fume hoods E23 & #24.
3. Install 60" long fume hood and 4-leg multi-flex table 33" deep as outlined for fume hoods 13 and 15.
4. Install 27" x 8" sheet metal chase for plumbing risers in Sample Prep Lab.

Reason for Change:
1. Electrical items were not included when fan was added in Addendum No. 1.
2. Added to conform to the actual units supplied. Catalog cuts used during design and shop drawings did not indicate two openings.
3. Adjustment to casework and fume hood revision was required to accommodate the gas griddles which are owner furnished/contractor installed.
4. The sheet metal chase was added to cover plumbing lines.

WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	14	11/11/88	+ 10,726.39

Nature of Change:
1. Provide additional plumbing services as indicated.
2. Revise sump pit in electrical manhole.

Reason for Change:
1. Inadvertently omitted from construction documents.
2. Detail provided to design team did not provide appropriate clearance for maintenance of high voltage equipment.

WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	15	11/11/88	- 12,000.00

Nature of Change: Credit to the Owner for installing black iron piping for the hydronic loop system in lieu of the copper piping specified.

Reason for Change: Black iron piping was installed by the contractor instead of copper piping that was specified. The contractor installed this pipe without the approval of the Consultant and/or University. Once piping was installed it was decided that the University would accept the black iron piping in lieu of the copper.

WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	16	12/23/88	+ 9,413.63

Nature of Change:
1. Provide and install two pole 90 amp at circuit breaker in panel K. Change wiring connect through existing 100 amp disconnect.
2. Install owner purchased water system.
3. Provide and install wall switches for fume hoods 8, 9, 17, 18 & 19.
4. Relocate humidifier and all piping, conduit/electrical circuits as required.
5. Provide and install concrete cap above fuel oil storage tank. Install perforated PVC pipe at each corner.
6. Provide and install ground wires in 3/4" conduit from Panel "Q" to new drying oven on west wall in room 146.

Reason for Change:
1. Needed to increase wiring size for installation of drying oven.
2. Owner requested Contractor install water system while working in area.
3. Wall switches were not included in casework bid and change was necessary in order to install switches.
4. Routine maintenance dictated relocation to below ceiling.
5. Required by State Fire Marshal.
6. Necessary to provide power supply to drying oven.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	17	12/23/88	+ $ 2,366.70

Nature of Change:
1. Install Hand-Off-Automatic switches on all magnetic starters which control the HVAC system and are operated by the EMS system. Provide all magnetic starters with an auxiliary set of contacts; the supply fan starter shall have two auxiliary sets of contacts.
2. Provide on additional zone to the existing fire alarm system and install new thermal detectors to provide proper coverage in accordance with all state and local fire codes.

Reason for Change:
1. Change allows manual operation of heating and air conditioning system should the energy management system fail.
2. Required by the State Fire Marshal.

WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	18	2/14/89	+ 1,107.45

Nature of Change:
1. Delete vinyl base in 15 rooms and turn material over to the University. Prepare floor and install VCT in Corridor E.
2. Remove existing lockset & add exit device, cylinder and rework door and frame for one door.
3. Replace existing lockset in two door and add outside lever.

Reason for Change:
1. Flooring to be installed be separate contract in these rooms and corridor was not included to receive VCT in original contract.
2.&3. The change of hardware in these three doors was required for quick exit from these rooms in case of fire.

WHITE & CONGLETON CO. LEXINGTON	MEDICAL SCIENCE ANIMAL CARE FACILITY Project No. 406.1	1	10/20/88	+ 3,838.00

Nature of Change:
1. Repair existing wood doors for new paint finish.
2. Make electrical corrections to existing conduit and raceways above ceilings.

Reason for Change:
1. Upon removal of armor plates, it was discovered doors had deterioriated.
2. Removal of existing ceilings revealed electrical work not in compliance with code.

WHITE & CONGLETON CO. LEXINGTON	MEDICAL SCIENCE ANIMAL CARE FACILITY Project No. 406.1	2	12/2/88	+ 1,895.00

Nature of Change: Perform preliminary air readings for Phases A & B.

Reason for Change: Due to excessive static pressure and erratic air volume readings for existing ductwork in Phase C, the University requested preliminary readings prior to new work in Phases A & B.

WHITE & CONGLETON CO. LEXINGTON	MEDICAL SCIENCE ANIMAL CARE FACILITY Project No. 406.1	3	2/1/89	+ 4,628.75

Nature of Change: Extra work required (cleaning old ducts, motor changes, and rebalancing) to HVAC system.

Reason for Change: University required contractor to research problems with the HVAC system.

HIBBITTS CONSTRUCTION LEXINGTON	ASBESTOS REMOVAL ANIMAL CARE FACILITY Project No. 406.2	1	12/2/88	+ 2,050.00

Nature of Change:
1. Additional mobilization for abatement work.
2. Asbestos removal from 50 additional fittings.

Reason for Change:
1. University requested a change in the abatement schedule.
2. Additional asbestos uncovered during abatement work.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
LICHTEFELD-MASSARO, INC. LOUISVILLE	BLANDING TOWER BRICK REPLACEMENT Project No. 423.1	1	7/11/88	+ $ 105,165.00

Nature of Change: Provide patching of existing concrete block work as required.

Reason for Change: Change necessary due to unforeseen site conditions.

LICHTEFELD-MASSARO, INC. LOUISVILLE	BLANDING TOWER BRICK REPLACEMENT Project No. 423.1	2	10/11/88	+ 7,482.92

Nature of Change:
1. Provide 923 l.f. new relief angles at unit price to replace damaged existing relief angles.
2. Provide 2,036 4" x 4" steel shims at unit price.
3. Provide 100 linear feet of 5" x 5" x 3/8" relief angles in lieu of size specified.
4. Saw cut irregular concrete slab at northeast corner on 19th floor.

Reason for Change:
1. New angles required due to poor condition of existing.
2. Shims required to compensate for inconsistency of floor slabs.
3. Angles required due to irregularities in the floor slab.
4. Saw cut necessary due to irregularities in the concrete floor slab.

LICHTEFELD-MASSARO, INC. LOUISVILLE	BLANDING TOWER BRICK REPLACEMENT Project No. 423.1	3	10/19/88	- 4,205.54

Nature of Change:
1. Credit to Owner for replacement of carpet and tile due to water damage and cleanup by custodial and maintenance support.
2. Credit to Owner for replacement of four 10' concrete light poles.
3. Remove and replace caulk at bottom of windows on East and West faces of building; install caulk around base of building.
4. Anchor existing conduits to full height of building.

Reason for Change:
1. Necessary due to water leakage into building.
2. Poles damaged during construction.
3. Change necessary due to unforeseen site conditions.
4. Change required to secure conduit.

LICHTEFELD-MASSARO, INC. LOUISVILLE	BLANDING TOWER BRICK REPLACEMENT Project No. 423.1	4	12/12/88	- 1,273.48

Nature of Change: Credit for 1,612 ledge brick at $.79 each which exceeded the allowance.

Reason for Change: Contractor used 1,612 ledge brick over and above the amount the University was to supply. This change order is to charge the contractor for the extra brick used.

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. 1 - GENERAL Project No. 425.0	4	9/6/88	+ $ 32,076.63

Nature of Change:
1. Add wire mesh and vapor barrier to all sidewalks. Move site drainage catch basin at LRC building to eliminate conflict between Bid Pack I & Bid Pack II site plans.
2. Provide 2 hour rating (fire protection) in elevator equipment rooms. Add closure, 2 layers of drywall on each side of studs, 1 fire damper added to 5" round duct, add VAV box 21 relocated outside of this room, add all required interlocks to the AHUs and monitoring equipment.
3. Provide hollow metal frames, glass, structural supports for grid system, eliminate wall and replace with moveable wall; plaster & drywall in the TV Production Department in the Humanities Building as required.
4. Delete clear sealer for all scored block. Paint all scored block with the colors indicated on the Room Finish Schedule.
5. Add pass thru window to Administration Room A-102. Move electrical rough-in for light switch and fire alarm to accommodate new window.

Reason for Change:
1. Change required to utilize vehicular maintenance traffic on all sidewalks.
2. The elevator equipment rooms are required to be constructed to a 2 hour fire rating by code.
3. As the Community College fully staff this department, the curriculum and facility requirements have been established and defined. This change will implement the TV Production area program definition for the Humanities studies. This is necessary as some of Bid Pack III work cannot be completed until certain restraining work operations of Bid Pack II are complete.
4. The clear sealer will show any and all imperfections in the concrete blocks and their installation. Painting will cover these imperfections and will allow all concrete block to have the same finish. This change will also require less maintenance.
5. The campus information office originally had no easy means to service the students/public. This pass-thru window will allow the students/public easy access to this office without requiring them to enter the office.

RUSHER CONSTRUCTION CO. OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. #1 - GENERAL Project No. 425.0	5	9/13/88	- 2,389.64

Nature of Change:
1. Delete the present bathroom finishes in the areas that will be replaced by ceramic tile.
2. Revise brick pavers and base at East end of Administration Building. Delete brick pavers, delete the base under eliminated brick pavers.
3. Revise door hardware. Revise wood door and hollow metal frame to accept Group 17. Wood doors to carry "B" label.

Reason for Change:
1. The utilizing entity requires this type of room finish to keep this facility consistent with other facilities within the UK system. This room finish will require less maintenance and provide a more sanitary environment and esthetic appearance. This work is required to extend electrical rough-in and light fixtures out from present wall to accommodate ceramic tile.
2. The brick paver area was revised in size to eliminate the conflict between Bid Pack I catch basins and Bid Pack II brick paver landscaping. This change will bring the two phases into agreement with the overall site plans as defined by the Architect.
3. Special utilization of these areas require certain type doors and door hardware to be installed in order to be in compliance with the applicable codes. These doors and hardware require upgrading to meet these current codes.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. #1 - GENERAL Project No. 425.0	5	1/10/89	- $ 59.23

Nature of Change:
1. Change vinyl tile to carpet. Prep the floor at the trench as required. Eliminate accent paint on south & north walls. Add accent paint to drinking fountain alcoves, telephone alcoves and toilet room alcove entrances.
2. Provide labor, material & equipment to revise all above sink mirrors to a 30" x 36" mirror.
3. Delete cleaning and polishing resilient flooring.
4. Sandblast the door glass in the Administration Building.
5. Replace the base bid trash receptacles with 6" deep receptacles.

Reason for Change:
1. The utilizing entity requires this room finish to allow the TEB to be used as a bookstore until the Student Center is constructed. The other painted areas require change so that the overall paint scheme will be consistant throughout the campus.
2. This change is being made to standardize the mirror size throughout the project and to reduce future stocking of excessive inventory.
3. The utilizing entity already has a cleaning contract with an outside vendor to perform this same work.
4. These areas require obscure glass for privacy and technical reasons. This process was the most cost effective. It is also consistant with the other obscure glass throughout the campus.
5. The original trash receptacles were too deep to allow easy handicap accessibility to all areas of the toilet rooms. This change eliminates these possible inconveniences and barriers.

RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK III, WORK CAT. 1 - LANDSCAPING Project No. 425.0	1	8/29/88	-0-

Nature of Change: Increase completion date by 54 calendar days.

Reason for Change: This increase is necessary as some of Bid Pack III work cannot be completed until certain restraining work operations of Bid Pack II are complete. This time extension will not adversely affect the completion date of the project.

RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK III, WORK CAT. 2 - GENERAL Project No. 425.0	1	8/29/88	+ 4,183.40

Nature of Change: Provide & install carpet and ceiling tile. Paint and perform finish work where required in the TV Production Department of the Humanities Bldg.

Reason for Change: As the Community College fully staff this department, the curriculum and facility requirements have been established and defined. This change will implement the TV Production area program definition for the Humanities studies. This is necessary as some of Bid Pack III work cannot be completed until certain restraining work operations of Bid Pack II are complete.

HARTZ CONSTRUCTION CORP. OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK I, WORK CAT. #1 - GENERAL Project No. 425.0	3	9/14/88	+ 116,150.00

Nature of Change: Add ceramic tile to the bathrooms. Delete the present bathroom finishes in these areas that will be replaced by ceramic tile.

Reason for Change: The utilizing entity requires this type of room finish to keep this facility consistent with other facilities within the UK system. This room finish will require less maintenance and provide a more sanitary environment and aesthetic appearance.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
LOYD EVANS MASONRY MURRAY	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. 2 - MASONRY Project No. 425.0	2	8/29/88	+ $ 825.00

Nature of Change:
1. Delete hollow metal frame between two openings. Leave square corners on masonry opening. Change out with another door and hardware.
2. Add pass thru window to Administration Room A-102. Move electrical rough-in for light switch and fire alarm to accommodate new window.

Reason for Change:
1. Since the Student Center was not constructed during this building phase, several modifications and adaptations to the other buildings had to be made to accommodate the Student Center facilities. This change will help facilitate these requirements.
2. The campus information office originally had no easy means to service the students/public.. This pass-thru window will allow the students/public easy access to this office without requiring them to enter the office.

LOYD EVANS MASONRY MURRAY	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. 2 - MASONRY Project No. 425.0	3	9/27/88	+ 2,599.00

Nature of Change:
1. Repair walls & replace concrete blocks due to setting of ceramic tile.
2. Add casework. Revise/relocate wall hydrant on LRC at column D2 to miss downspout.

Reason for Change:
1. The utilizing entity requires this type of room finish to keep this facility consistent with other facilities within the U.K. system. This room finish will require less maintenance and provide a more sanitary environment and esthetic appearance. This work repairs walls where plumbing rough-ins were extended for ceramic tile.
2. These pieces of casework were eliminated from Bid Pack II. The utilizing entity now requires these items to implement their academic mission in these buildings.

LOYD EVANS MASONRY MURRAY	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. #2 - MASONRY Project No. 425.0	4	3/8/89	- 8,553.00

Nature of Change: Credit for unused portion of Weather Protection.

Reason for Change: Credit allowance allowed per contract documents.

HAGERMAN PLUMBING/HEATING OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. #3 - MECHANICAL Project No. 425.0	3	8/29/88	+ 1,455.90

Nature of Change: Provide 2 hour rating (fire protection) in elevator equipment rooms. Add closure, 2 layers of drywall on each side of studs , 1 fire damper added to 5" round duct, add VAV box 21 relocated outside of this room, add all required interlocks to the AHUs and monitoring equipment.

Reason for Change: The elevator equipment rooms are required to be constructed to a 2 hour fire rating by code.

HAGERMAN PLUMBING & HEATING OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. #3 - MECHANICAL Project No. 425.0	4	2/28/89	- 24,362.57

Nature of Change:
1. Provide combustion air deflectors as required for freeze protection of water piping in mechanical rooms.
2. Credit the Heating Allowance line of contract.

Reason for Change:
1. Directional intake louvers were required to prevent sub-zero air from blowing across water mains. Additional precautions were deemed necessary to protect pipes from possibility of freezing.
2. Credit due Owner for unused portion of heating allowance.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
HAGERMAN PLUMBING/HEATING OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. #4 - PLUMBING Project No. 425.0	4	8/29/88	+ $ 379.36

Nature of Change:
1. Add steam valve & piping to instructor's table in Science S-205.
2. Change casework.
3. Modify twelve seats in Humanities Bldg. Room H-132 to be removable.

Reason for Change:
1. Steam is required at this station. It is already present at the students' stations.
2. Casework relocation to different positions within this room will now require certain pieces to have end panels installed. This relocation of casework will allow more efficient use of the room space.
3. This change will allow for removable seating in the lecture/classroom to accommodate handicap access/utilization.

HAGERMAN PLUMBING/HEATING OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. #4 - PLUMBING Project No. 425.0	5	9/14/88	+ 7,073.94

Nature of Change: Extend plumbing lines and fixtures due to ceramic tile addition.

Reason for Change: The utilizing entity requires this type of room finish to keep this facility consistent with other facilities within the UK system. This room finish will require less maintenance and provide a more sanitary environment and aesthetic appearance. This work is required to extend plumbing rough-in and plumbing fixtures out from present wall to accommodate ceramic tile.

LEONARD JOHNSON ELECTRIC GLASGOW	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. #6 - ELECTRICAL Project No. 425.0	3	8/29/88	+ 95,155.00

Nature of Change:
1. Delete hollow metal frame between two openings. Leave square corners on masonry opening. Change out with another door and hardware.
2. Supply dimmer equipment and all accessories for electrical system in the TV Production Department of the Humanities Building as required.

Reason for Change:
1. Since the Student Center was not constructed during this building phase, several modifications and adaptations to the other buildings had to be made to accommodate the Student Center facilities. This change will help facilitate these requirements.
2. As the Community College fully staff this department, the curriculum and facility requirements have been established and defined. This change will implement the TV Production area program definition for the Humanities studies.

LEONARD JOHNSON ELECTRIC GLASGOW	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. #6 - ELECTRICAL Project No. 425.0	4	9/13/88	+ 692.26

Nature of Change: Extend electrical rough-in and light fixtures and receptacles due to the addition of ceramic tile.

Reason for Change: The utilizing entity requires this type of room finish to keep this facility consistent with other facilities within the UK system. This room finish will require less maintenance and provide a more sanitary environment and aesthetic appearance. This work is required to extend electrical rough-in and light fixtures out from present wall to accommodate ceramic tile.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
LEONARD JOHNSON ELECTRIC GLASGOW	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. #6 - ELECTRIC Project No. 425.0	5	2/28/89	+ $ 12,374.44

Nature of Change:
1. Add computer outlets, telephone outlets, microphone outlets, casework outlets, and counter top.
2. Add type "D" light fixtures and type "H" light fixtures in the Humanities Building.
3. Change Maintenance Building emergency power transformer. Change fire alarm system dialer from a tape dialer to a digital dialer tied directly into the City Fire Department.

Reason for Change:
1. These changes were necessary so Owner could use these rooms as a Bookstore until the Student Center is built.
2. Light fixtures were added in classrooms where soffits were built because of structural steel conflicts with the grid ceiling. These resolved the conflict between the architectural and electrical plans and are required for proper lighting.
3. Maintenance Building requires a 15KVA transformer to power the equipment located in it. Current code requirements necessitated this upgrade in the fire alarm system.

KENTUCKY-INDIANA FIRE PROTECTIONS OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. #5 - FIRE PROTECTION Project No. 425.0	2	8/29/88	+ 428.00

Nature of Change: Provide additional sprinkler heads in the TV Production area of the Humanities Building as required.

Reason for Change: As the Community College fully staffs this department, the curriculum and facility requirements have been established and defined. This change will implement the TV Production area program definition for the Humanities studies.

KY-INDIANA FIRE PROTECTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. #5 - FIRE PROTECTION Project No. 425.0	3	3/8/89	+ 480.00

Nature of Change: Add three Class "C" fire extinguishers to elevator equipment rooms and three sump covers to elevator pits.

Reason for Change: Kentucky Building Inspector required these modifications before granting Permanent Occupancy Permit.

RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK III, WORK CAT. #2 - GENERAL Project No. 425.0	2	9/13/88	- 3,756.77

Nature of Change: Add casework in two rooms of LRC Building. Revise/relocate wall hydrant on LRC to miss downspout. All LRC casework to be keyed separately to new master group. Add casework in one room of Administration Building.

Reason for Change: These pieces of casework were eliminated from Bid Pact III. The utilizing entity now requires these items to implement their academic mission in these buildings.

RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK III, WORK CAT. #2 - GENERAL Project No. 425.0	3	1/10/89	+ 3,657.14

Nature of Change: Change vinyl tile to carpet. Prep the floor at the trench as required. Eliminate accent paint on south & north walls. Add accent paint to drinking fountain alcoves, telephone alcoves and toilet room alcove entrances.

Reason for Change: The utilizing entity requires this room finish to allow the TEB to be used as a bookstore until the Student Center is constructed. The other painted areas require change so that the overall paint scheme will be consistent throughout the campus.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
R & W CONSTRUCTION LOUISVILLE	ROBOTICS FACILITY Project No. 431.0	12	8/10/88	+ $ 20,867.29

Nature of Change:
1. Provide labor & materials to remove existing mud seam discovered along column line E and place additional concrete and reinforcing to prepare stable footing support for building foundation wall and footings.
2. Provide labor and materials to extend electrical service to dock leveler and control switch.
3. Provide two additional 3' wide personnel gates, in chain link construction fence.
4. Provide labor and materials to install four additional 3" rigid conduit from existing mechanical room; Anderson Hall to Communications Room B-11.

Reason for Change:
1. Mud seam not found during subsurface exploration.
2. Electrical service not shown on bid documents.
3. Required by Lexington Fire Department.
4. Item requested by Daryoush Marefat to provide a conduit connection between Robotics and Anderson Hall.

R & W CONSTRUCTION LEXINGTON	ROBOTICS FACILITY Project No. 431.0	13	11/11/88	+ 27,728.00

Nature of Change:
1. Provide three epoxy sinks with Hamilton hot/cold faucets & sink supports.
2. Provide additional materials to plumbing waste and vent piping.
3. Provide fuel oil storage tank.
4. Provide acoustical ceiling panels (Type A).

Reason for Change:
1. Sink requested by Owner and shown on drawings was not correctly specified in contract documents.
2. Additional piping required to accommodate changes noted on state plumbing approval set.
3. Fuel tank installation was revised to meet local codes.
4. Original specifications noted incorrect product listing for acoustical ceiling.

R & W CONSTRUCTION LEXINGTON	ROBOTICS FACILITY Project No. 431.0	14	2/2/89	+ 5,576.23

Nature of Change:
1. Extend & connect new steam and condensate lines 42' from point of original connection to existing lines into lower level existing quadrangle. Install lines 36" below subgrade of asphalt drive.
2. Revise concrete steps and handrails adjacent to existing storage building and column line 1.
3. Revise parapet copings at various locations and handrails at 3rd floor terrace.
4. Delete building plaque & labor to install.
5. Delete wall mounted "K" light fixtures and provide tube flourescent light fixtures in soffit above lavatory counters.
6. Credit to Owner for work not required with steam expansion loop and relocation of fire hydrant and domestic water line.

Reason for Change:
1. Existing steam line not compatible with new lines.
2. Original site survey had wrong elevations.
3. Copings revised to allow field painting custom color railing revised to allow for better coping installation.
4. Signage package was deleted at the request of the end user.
5. Incorrect light fixtures noted on electrical plans.
6. Installation of chilled water lines were revised such that existing hydrant and domestic water line did not have to be relocated.

C. C. LEE, INC. LEXINGTON	MEDICAL CENTER DIETARY CONVEYOR REPLACEMENT Project No. 433.0	2	7/12/88	-0-

Nature of Change: Adjust project completion for Phase III work from 91 calendar days to 113 calendar days.

Reason for Change: Contractor incurred 22 calendar days delay by other contractor's equipment not being delivered and could not work until equipment was received.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
EAGLE ROOFING INC. SOUTH POINT, OH	PATTERSON HALL ROOF & GUTTER REPLACEMENT Project No. 442.0	3	10/6/88	- $ 1,053.33

Nature of Change: Decrease contract amount for repair of damage to building.

Reason for Change: Building damaged by water from roof while being replaced.

MCNEESE CONSTRUCTION CO. LEXINGTON	HOLMES HALL SUNDECK REPAIR Project No. 446.0	1	10/12/88	+ 1,402.00

Nature of Change:
1. Delete buff color portland cement; replace with standard portland cement.
2. Additional demolition required to remove motor bed and concrete cant.

Reason for Change:
1. Product not available in this area.
2. Demolition required removal of more materials than indicated in contract documents.

BILL TUCKER CONSTRUCTION CO. LAGRANGE	JEFFERSON COMMUNITY COLLEGE HARTFORD TOWER HARDWARE Project No. 454.0	1	3/20/89	-0-

Nature of Change: Extend time of completion date 40 calendar days.

Reason for Change: Documented delays in delivery of exit devices. New completion date: March 18, 1989.

WHITE AND CONGLETON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	8	7/14/88	+ 1,597.00

Nature of Change:
1. Provide two door pulls.
2. Remove circuits as installed and re-circuit to Mechanical Room.

Reason for Change:
1. Door hardware schedule did not include exterior pulls.
2. Recircuiting was required to bring first floor corridor lighting to feed from same panel as second floor.

WHITE AND CONGLETON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	9	9/30/88	- 687.00

Nature of Change:
1. Revise frame for door 105.
2. Provide magnetic door holders for openings.
3. Provide aluminum coping with exposed cover plates in lieu of concealed plates as specified.

Reason for Change:
1. Door and frame schedule called for 7'-2" frame. Actual height of pre-cast wall was 8'-0".
2. Doors were roughed-in electrically for hold-open devices and Community College requested installation be completed to benefit handicapped students.
3. Manufacturer provided exposed plates in lieu of concealed plates. They have been installed and contractor has offered the credit to leave as is.

WHITE AND CONGLETON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	10	10/13/88	+ 764.00

Nature of Change: Provide additional rough-in for a bypass meter on 2" domestic service.

Reason for Change: Required for meter maintenance without building water outage.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
WHITE AND CONGLETON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	11	10/19/88	+ $ 10,840.00

Nature of Change: 1. Provide marker boards, shelf brackets and standards.
2. Provide two (2) automatic door openers.

Reason for Change: 1. LCC occupants required additional marker boards and shelving.
2. Provide easier access for handicapped students. Openers scheduled for future installation, now required to be installed under this contract.

WHITE & CONGLETON CO. LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	12	11/3/88	+ 330.00

Nature of Change: Install one audible fire alarm with light in Mechanical Room.

Reason for Change: Field inspector for Fire Marshal's Office required additional light.

WHITE & CONGLETON CO. LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 468.0	13	2/6/89	- 338.00

Nature of Change: Credit to delete fireman's service from elevator.

Reason for Change: Fireman's service was specified but not required per code.

AKERS & AKERS CONSTR. DANA, KY	HAZARD COMMUNITY COLLEGE TECHNICAL/L.R.C. BUILDING Project No. 469.0	2	8/4/88	+ 5,402.25

Nature of Change: 1. Provide 2" telephone conduit.
2. Relocate 6" x 6" wireway from vertical chase to location as required.
3. Stain & finish all wood doors.
4. Install one junction box with corner plate in concrete floor. Tie 2" conduits into wireway. Relocate 240V duplex from wall to location in floor.

Reason for Change: 1. To accommodate relocated (future) switchboard and revised location of library furniture.
2. Code violation.
3. To match furniture color selection.
4. Needed to accommodate school's new computer.

AKERS & AKERS CONSTRUCTION DANA	HAZARD COMMUNITY COLLEGE TECHNICAL/L.R.C. BUILDING Project No. 469.0	3	2/9/89	+ 9,786.16

Nature of Change: 1. Relocate site lighting and refeed pole.
2. Provide and install computer cable in 28 outlets.
3. Provide and install silent knight digital dialer.

Reason for Change: 1. To provide even light distribution at site and make use of existing pole and fixture.
2. Computer wiring was not included in contract documents. Community College requested wiring to be performed by electrical contractor already on project to expedite installation in lieu of bringing in another contractor.
3. Fire Marshal will no longer accept the tape dialer that was originally specified.

AKERS & AKERS CONSTRUCTION DANA	HAZARD COMMUNITY COLLEGE TECHNICAL/L.R.C. BUILDING Project No. 469.0	4	2/24/89	- 1,987.50

Nature of Change: Delete sod in certain areas and replace with seed.

Reason for Change: Desired sod was unavailable due to the drought experience this summer.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	9	7/14/88	+ $ 16,323.00

Nature of Change:
1. Furnish and install additional materials and related work for providing one Simplex annunciator panel, one telephone dialer and one printer.
2. Furnish and install additional materials and related work for providing six floor receptacles in Room 139. Work shall consist of saw cutting existing floor slab, installing new floor boxes at the new locations, filling in the new trench with concrete and capping six floor receptacles at east end of room.

Reason for Change:
1. Required to meet Kentucky Building Code Compliance.
2. Floor mounted electrical receptacles to work with intended layout of work stations in Room 139.

BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	10	8/8/88	+ 553.00

Nature of Change: Provide 170 lineal feet of additional wiring and wireway from Simplex Annunciator panel in the vestibule of the main entrance to the Simplex printer located in the Business Manager's office at the east side of the building.

Reason for Change: Simplex printer was relocated.

BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	11	9/14/88	+ 1,850.00

Nature of Change: Provide reinforced concrete supports for two condenser units. Supports shall be 8" wide concrete grade beams extending 2'-6" below grade and 6" above the gravel base.

Reason for Change: Above described supports required for condensing units. Supports omitted from specifications.

DENHAM-BLYTHE CO., INC. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE L.R.C./TECHNICAL BUILDING Project No. 471.0	8	7/11/88	+ 3,099.47

Nature of Change:
1. Furnish and install computer circuits on second floor.
2. Furnish and install additional wall phone outlets on the second floor.
3. Delete two light poles.

Reason for Change:
1. Community College is standardizing their computer rooms (including furnishings) and outlets had to be relocated to match revisions.
2. Additional outlets required due to revisions of furnishings and outlets missing on drawings.
3. Removal of existing parking lot light poles were in contract, but utility company will not permit private contractor to perform work on their distribution lines.

DENHAM-BLYTHE CO., INC. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE L.R.C./TECHNICAL BUILDING Project No. 471.0	9	8/16/88	+ 4,608.00

Nature of Change:
1. Pre-cast yard main, piping and associated grading.
2. Hook-up water line to steam generator.
3. Replace existing sidewalk and extend new sidewalk.

Reason or Change:
1. Alleviate potential high water into Martin Building.
2. Consultant omission.
3. Required for grade change to promote positive drainage.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
DENHAM-BLYTHE CO., INC. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE L.R.C./TECHNICAL BUILDING Project No. 471.0	10	8/16/88	+ $ 1,657.00

Nature of Change:
1. Delete tape dialer; add digital dialer.
2. Fire extinguisher added in elevator pump room.
3. Add drywall soffits to two windows.
4. Raise manhole rim elevations.
5. Wall modification in mechanical room.

Reason for Change:
1. Retroactive code requirement.
2. Code requirement
3. Not shown on drawings, but required to conceal unfinished structural framing of building.
4. Grading adjustments required to tie new walks to existing walks. Raising of manhole required to bring them to finish grade.
5. Damper had to be relocated from location shown on drawings due to equipment placement in the room.

DENHAM-BLYTHE CO., INC. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE TECHNICAL/LEARNING RESOURCE CTR. Project No. 471.0	11	11/18/88	+ 896.00

Nature of Change:
1. Add lock detector outlet.
2. Add fire alarm module.

Reason for Change:
1. Revised outlet configuration.
2. Required by the State Fire Marshal to receive Certificate of Occupancy.

ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. BID PACK #1 - BLDG. SHELL Project No. 472.0	1	1/10/89	- 610.00

Nature of Change: Credit from contractor for resale of abandoned chiller and adjusted for costs incurred by contractor to remove and load onto truck.

Reason for Change: Owner requested that contractor remove old chiller and transport for resale. Credit has been adjusted for costs to contractor for labor and equipment during the move.

ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. BID PACK #1 - BLDG. SHELL Project No. 472.0	2	1/10/89	+ 1,327.38

Nature of Change:
1. Relay and programming work required for operation and cooling tower fan.
2. Install HOA auto selector switches in the existing college and furnish spares.
3. Move existing power fused switches to allow room for new chiller switch and add made space in front cover.

Reason for Change: All changes listed above were necessary to physically install new system in existing conditions.

ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. BID PACK #1 - BLDG. SHELL Project No. 472.0	3	1/10/89	+ 2,924.90

Nature of Change:
1. Delete concrete inertia bases for pumps.
2. Delete type 2 and 3 isolators replace with type 6 isolators.
3. Add duct silencers.

Reason for Change: These changes were design improvements; recommended by acoustical consultant and architect.

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. BID PACK #1 - BLDG. SHELL Project No. 472.0	4	1/10/89	+ $ 3,265.00

Nature of Change: Additional labor and materials required to concrete tunnel and floor penetrations.

Reason for Change: Ductwork required additional tolerance for installation beyond tolerances given.

ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. BID PACK #1 - BLDG. SHELL Project No. 472.0	5	1/10/89	+ 25,357.50

Nature of Change: Add hot and cold chilled water piping through concrete tunnel and connect to piping in Academic Building.

Reason for Change: To complete the system's piping and hook-up between chiller and new Auditorium Building.

ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. BID PACK #1 - BLDG. SHELL Project No. 472.0	6	3/16/89	+ 946.00

Nature of Change: Additional length of pilings.

Reason for Change: An additional 43' length of pilings required beyond that shown on the drawings.

ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. BID PACK #1 - BLDG. SHELL Project No. 472.0	7	3/16/89	+ 12,931.20

Nature of Change: Additional rock removal.

Reason for Change: Additional rock removal required for installation of storm sewers and domestic water lines.

ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. BID PACK #1 - BLDG. SHELL Project No. 472.0	8	3/16/89	+ 956.18

Nature of Change: Extend 8" masonry block wall between rooms 120 and 121 to bottom of roof deck from elevation 10' above finished floor.

Reason for Change: Additional work required by this contractor in lieu of adding another subcontractor in Bid Pack #3.

ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. BID PACK #1 - BLDG. SHELL Project No. 472.0	9	3/16/89	+ 223.00

Nature of Change: Remove 8" masonry block and install hollow metal frame between two rooms.

Reason for Change: To allow access between work shop and prop room by double door.

ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. BID PACK #1 - BLDG. SHELL Project No. 472.0	10	3/16/89	+ 1,770.00

Nature of Change: Additional tapered insulation to meet level gravel stop at all roof edges where gravel stop/fascia members occur.

Reason for Change: Contractor and "Goodyear" representative recommended this solution, along with Architect. This additional insulation would be more effective in lieu of metal termination bar that would be prone to leakage.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
R & W CONSTRUCTION LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.0	3	9/27/88	+ $ 22,621.91

Nature of Change:
1. Add entrance chase with High Pressure End Main Drip for the steam line entrance in mechanical room.
2. Revise natural gas line.
3. Provide test wells.
4. Install outlet box, conduit, wire and connection to closest receptacle circuit and connect to a motorized projection screen in two rooms. Install circuit wire and switch.
5. Revise specifications to require Leslie Model GPD or equal control valve.
6. Revise roof drains and storm sewer connections as indicated on drawings.
7. Relocate floor drains in three rooms and add a floor drain in room 172. Install 4" gate valve in condensate return line in seedhouse mechanical room.

Reason for Change:
1. Required to permit draining of high pressure steam piping system at the building.
2. Required to comply with Columbia Gas requirements for ownership of gas line.
3. Agency regulations required additional tests and protection.
4. Use of motorized screen required electrical outlet box adjacent to screen controller. Installation of manual control switch and hard wiring to 110 volt power source. Electrical power service to those doors was not included in the original design.
5. Required to meet UK standards. Leslie Model GPD required for ease of maintenance and inventory of spare parts.
6. These items were not included in the original design.
7. Required to reflect location shown on latest issue of Drawings. Floor drain was omitted from drawings.

R & W CONSTRUCTION LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.0	4	10/11/88	+ 564,909.20

Nature of Change: Assignments of subcontractors for Bid Package #2 - Elevators, Bid Package #3 - Lab Equipment and Bid Package #4 - Controlled Temperature Cold Rooms.

Reason for Change: Subcontractors assigned to General Contractor per the terms and conditions of their contract.

R & W CONSTRUCTION COMPANY LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.0	5	3/9/89	+ 51,872.34

Nature of Change:
1. Provide additional structural steel.
2. Adjust depth of cassions to accommodate field conditions.
3. Provide an insulated low pressure return from South Equipment Room to North Equipment Room of Laboratory Building.
4. Relocate two 8" water lines that interfer with a Pedway footing.
5. Modify foundations of the Pedway; repair utilities damaged.

Reason for Change:
1. Change required to appropriately support concrete panels & arched skylite structure once detailed construction methods were indicated on shop drawings.
2. Conditions not known in advance since soil tests were not obtained in advance at each cassion. Cost to do so would have been excessive.
3. Line was not shown on the original drawings issued for construction but is required for services.
4. Required in order that construction of the Pedway over Cooper Drive can proceed. Water lines exact location was not known prior to excavation for foundations.
5. Exact location of underground utilities could not be determined prior to excavation for foundations. Modifications of structure is less costly and disruptive than relocating the utilities.

R & W CONSTRUCTION LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOVATION Project NO. 478.0	5	8/10/88	+ 5,622.35

Nature of Change:
1. Replace 6" diameter ceiling diffuser with 8" diffuser.
2. Close openings in third floor mechanical shaft.

Reason for Change:
1. Required to reduce noise level in office area.
2. Code requirement.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
R & W CONSTRUCTION LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOVATION Project NO. 478.0	6	9/27/88	+ $ 711.77

Nature of Change: 1. Remove wood 2 x 4's in top of elevator hoistway. Plug holes with non-shrink grout.
2. Install pressure taps at the two chilled water pumps.

Reason for Change: 1. Code states that no combustible material is allowed in hoistway. Holes must be sealed for isolation of shaft.
2. Required for evaluation of chilled water system.

MEADE CONSTRUCTION CO. CATLETTSBURG	ASHLAND COMMUNITY COLLEGE BOOKSTORE RENOVATION Project No. 498.0	1	12/22/88	+ 263.00

Nature of Change: Paint all exposed concrete at ceilings of bookstore.

Reason for Change: During design budgetary restraints dictated minimal finishes. The painting described above will present a new finish through the bookstore.

GRIGGS ENTERPRISES, INC. LEXINGTON	MCVEY HANDICAP ACCESS Project No. 502.0	3	7/19/88	+ 1,740.00

Nature of Change: Provide smoke detectors in each elevator lobby and in elevator equipment room.

Reason for Change: State Fire Marshal requirements.

GRIGGS ENTERPRISES, INC. LEXINGTON	MCVEY HALL HANDICAP ACCESS Project No. 502.0	4	1/24/89	+ 876.00

Nature of Change: Provide new smoke detectors in lieu of existing.

Reason for Change: Existing smoke detectors no longer meet code, due to recent revisions.

JOE M. HAWKINS & CO. LEXINGTON	TENNIS BUILDING INTERIOR RENOVATION Project No. 510.0	1	8/4/88	+ 245.00

Nature of Change: Add extra lighting materials.

Reason for Change: Some items were omitted from plans.

THE WAGNER COMPANY LEXINGTON	STUDENT CENTER INTERIOR RENOVATION Project No. 524.0	1	7/21/88	+ 1,962.15

Nature of Change: 1. Paint vestibule, including window units at entry.
2. Provide fascia boards where shade boxes were removed.

Reason for Change: 1. Schedule on plans did not include Vestibule as part of contract.
2. Plaster finish has been omitted behind shade boxes. Information was not shown on as-built drawings.

WEBER AND WEBER GENERAL CONTRACTOR LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BOOKSTORE EXPANSION Project No. 525.0	1	10/12/88	- 462.00

Nature of Change: Delete fabric banners from contract.

Reason for Change: The Community College requested this item be deleted from the contract.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
STEEL TRAILER CORP. CYNTHIANA	MEDICAL CENTER ANNEX NO.4 STAIRCASE ENCLOSURE Project No. 549.0	2	12/1/88	+ $ 297.50
Nature of Change:	Add braces to landings because of changed cantilever proportions to accommodate new treads and risers.			
Reason for Change:	New treads and riser dimensions required by building code.			
STEEL TRAILER CORP. CYNTHIANA	MEDICAL CENTER ANNEX NO. 4 STAIRCASE REPAIRS Project No. 549.0	3	2/16/89	+ 4,800.00
Nature of Change:	Apply three coats of paint to all exposed metal parts of steel stairs.			
Reason for Change:	Paint not specified in original contract documents, due to time constraints during programming and bidding process.			
FINNEY COMPANY, INC. LEXINGTON	PHARMACY EQUIPMENT INSTALLATION Project No. 555.0	1	1/13/89	-0-
Nature of Change:	Extend final completion date 60 days; from February 24 to April 24, 1989.			
Reason for Change:	The specialty pharmaceutical subcontractor withdrew over a month after contract award. This has resulted in both contractor and materials delivery delays unforseen and out of the control of Finney Company.			
LUTHER STONE CONSTRUCTION CO. HENDERSON	MADISONVILLE COMMUNITY COLLEGE MECH. & ELECT. CONNECTOR TUNNEL Project No. 558.0	1	12/2/88	+ 739.00
Nature of Change:	Re-connection of 4" cast iron storm water line excavated during tunnel floor excavation at existing stairwell.			
Reason for Change:	Unknown and unanticipated storm water line uncovered during excavation.			
LUTHER STONE CONSTRUCTION CO. HENDERSON	MADISONVILLE COMMUNITY COLLEGE MECH. & ELECT. CONNECTOR TUNNEL Project No. 558.0	2	1/10/89	+ -0-
Nature of Change:	Delete cable tray and #2-3C 15KV cable.			
Reason for Change:	Requested by owner.			
C. C. LEE LEXINGTON	HAGGIN HALL SHOWER REPAIRS Project No. 559.0	1	10/6/88	+ 2,109.00
Nature of Change:	Add two check valves in hot water recirculating lines. Replace four 1/2" gate valves in the utility tunnel, four 3/4" globe valves in the tunnel, and the hot and cold water supply valves in all shower chases.			
Reason for Change:	Check valves will prevent the possibility of untempered hot water from entering the shower valves. Existing gate and globe valves were in poor condition.			
C. C. LEE LEXINGTON	HAGGIN HALL SHOWER REPAIRS Project No. 559.0	2	10/6/88	+ 2,305.00
Nature of Change:	Replacement of hot water supply and drain lines to fine tube heaters.			
Reason for Change:	Lines were in poor condition due to external corrosion.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
C. C. LEE LEXINGTON	HAGGIN HALL SHOWER REPAIRS Project No. 559.0	3	10/6/88	- $ 52.18

Nature of Change: 1. Use 28 ga. metal decking in lieu of 26 ga.
2. Delete 25 concrete blocks.

Reason for Change: 1. 26 ga. metal deck was not available to meet project time schedule.
2. Wall where demolition was required was solid concrete, not block.

C. C. LEE LEXINGTON	HAGGIN HALL SHOWER REPAIRS Project No. 559.0	4	10/6/88	+ 900.00

Nature of Change: Install plates over cut outs for hardware and paint two coats. Prep door to install push/pull latch sets at 45" from floor.

Reason for Change: Housing, Building & Construction Division required the hardware lowered for more functional use.

C. C. LEE LEXINGTON	HAGGIN HALL SHOWER REPAIRS Project No. 559.0	5	12/1/88	- 686.00

Nature of Change: Delete installation of shower partitions.

Reason for Change: Shower partitions did not arrive while project was on going. Shower partitions will be installed by University at a later date.

HIBBITTS CONSTRUCTION LONDON	ASBESTOS ABATEMENT STUDENT CENTER BALLROOM ROOF Project No. 562.0	1	7/14/88	-0-

Nature of Change: 1. Change manufacturer of metal roof deck.
2. Extend time of completion.

Reason for Change: 1. Original manufacturer could not deliver items on time.
2. Work order dates were incorrect.

E. C. MATTHEWS COMPANY LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0	1	3/16/89	+ 1,141.18

Nature of Change: Level top profile of existing precast with cementitious grout.

Reason for Change: To provide level heights at new window sills.

CENTRAL KENTUCKY ERECTING LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0	1	3/16/89	+ 550.00

Nature of Change: Provide larger angles at column lines to align the new dryvit panels.

Reason for Change: Larger angle required to align new dryvit panels with existing precast panels. Field measurements misalignment of precast.

GREEN MECHANICAL CONSTRUCTION LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0	1	3/30/89	+ 361.00

Nature of Change: Furnish & install new pipe hangers to support existing plumbing & HVAC piping at the third floor roof corridor.

Reason for Change: Existing plumbing and HVAC piping is now supported by a steel beam which is to be removed.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
PEARCE-PHELPS ROOFING LEXINGTON	SOMERSET COMMUNITY COLLEGE MEECE HALL ROOF REPLACEMENT Project No. 581.0	1	12/22/88	+ $ 1,475.00

Nature of Change: Removal of concrete pad.

Reason for Change: The pad removal had been deleted in the addenda because the method of removal was vague. After surrounding roofing had been torn off it was possible to estimate the cost of removal. The removal will alleviate a potential leak point.

JERRY GROT ELECTRIC, INC. LEXINGTON	SOUTH CAMPUS FIRE ALARMS Project No. 842.0	1	2/16/89	+ 5,110.00

Nature of Change:
1. Install 50 door holders in Funkhouser Building.
2. Credit to contract for pull stations.

Reason for Change:
1. Door holders were required to meet code.
2. Pull stations did not meet U.K.'s standards.

WHITE CONSTRUCTION CO. LEXINGTON	PATTERSON OFFICE TOWER FIRE SAFETY IMPROVEMENTS Project No. 852.0	1	8/30/88	+ 12,350.04

Nature of Change:
1. Extend sprinkler risers to 19th floor & paint.
2. Remove existing concealed spline ceiling and grid on 19th floor stairs. Install new ceiling tile in same areas per Unit Cost.
3. Install additional fire stop material in existing holes and penetrations found in corridor walls, as directed in field by Resident Inspector.
4. Add piping valve on 19th floor, rewire speakers on 18th floor, and add 3-way switch in basement.

Reason for Change:
1. Sprinkler risers was a concealed field condition and could not be verified by Engineers until pipe chase was opened up during construction. Existing paint colors could not be matched, therefore, contractor requested to perform additional painting.
2. Architect did not realize ceiling would have to be partially removed to hang pipe supports to structure above. The sprinkler pipes are below ceiling height, but hung from above to structure. Concealed spline ceiling can not be patched.
3. This work was anticipated when designing the project, but unknown quantities until field verified.
4. Piping valve and rewiring speakers were not shown on plans; 3-way switch was relocated.

WHITE CONSTRUCTION CO. LEXINGTON	PATTERSON OFFICE TOWER FIRE SAFETY IMPROVEMENTS Project No. 852.0	2	10/12/88	+ 1,996.40

Nature of Change:
1. Install time delay relays on return air fan.
2. Remove existing panel serving radio transponders and re-feed from panel EC. Remove existing EPA, 2-200A disconnects, re-feed.

Reason for Change:
1. Necessary due to new motor controls. Not able to slant fans when spinning backwards.
2. Due to existing panel not being appropriately wired.

WHITE CONSTRUCTION CO. LEXINGTON	PATTERSON OFFICE TOWER FIRE SAFETY IMPROVEMENTS Project No. 852.0	3	1/24/89	+ 5,955.85

Nature of Change: Install new circuit to existing fire pump from new emergency generator.

Reason for Change:e Wiring required for this change was not indicated on the electrical drawings.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
THE WAGNER COMPANY LEXINGTON	MCVEY HALL FIRE SAFETY Project No. 867.0	6	10/20/88	+ $ 1,018.00

Nature of Change: Stain and seal wood doors in lieu of paint finished specified.

Reason for Change: Change initiated to match motiff of technical buildings surrounding McVey Hall for proposed skywalk entrances.

THE WAGNER COMPANY LEXINGTON	MCVEY HALL FIRE SAFETY Project No. 867.0	8	12/02/88	- 428.75

Nature of Change:
1. Delete installation and finish of doors, transom & hardware.
2. Delete installation of 15 door stops.
3. Delete ceiling paint in sub-basement.

Reason for Change:
1. Fire Marshal did not require entry area doors to be changed.
2. User has miscellaneous bookshelves/filing cabinets, etc. behind the doors, stops not required.
3. Due to paint spatter being engulfed by Liebert manufactured 400 HZ static conversion system.

MCNEESE CONSTRUCTION LEXINGTON	FARMHOUSE FRATERNITY FIRE SAFETY Project No. 870.0	1	9/22/88	+ 1,840.00

Nature of Change: Upgrade horn and strobe lights in fire alarm system in first floor & second floor corridors.

Reason for Change: Alarm horns originally installed did not create high enough db rating inside rooms for sleeping occupants to detect.

MCNEESE CONSTRUCTION LEXINGTON	KAPPA ALPHA FRATERNITY FIRE SAFETY Project No. 871.0	1	9/22/88	+ 1,955.00

Nature of Change: Upgrade horn and strobe lights in fire alarm system in first floor & second floor corridors.

Reason for Change: Alarm horns originally installed did not create high enough db rating inside rooms for sleeping occupants to detect.

FAYETTE ELECTRICAL SER. LEXINGTON	FIRE SAFETY - THREE BUILDINGS Project No. 881.0	1	10/12/88	+ 709.00

Nature of Change:
1. Change two existing horns to two new audio visual units and pull new wire through existing conduit.
2. Change one EM-2 to a recessed brick light.

Reason for Change:
1. Horns have to be 12 volts to be compatible.
2. Lights have to be changed to mount in brick/glass wall.

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS

CONTRACTOR	PROJECT	FINAL CONTRACT AMOUNT	DATE
CONTRAX, INC. LEXINGTON	TENNIS BUILDING EXTERIOR COMPLETION Project No. 402.0	$ 914,572	7/13/88
HAGERMAN PLUMBING & HEATING OWENSBORO	MADISONVILLE COMMUNITY COLLEGE COOLING TOWER Project No. 476.0	75,073	7/21/88
DEERINGER & SON, INC. LEXINGTON	CENTRAL FACILITY ROOF REPLACEMENT Project No. 505.0	111,145	7/28/88
DAVID ENGINEERING LOUISVILLE	FOOTBALL TRAINING FACILITY DOOR CHANGES/HOLLOW METAL Project No. 211.3	5,570	8/10/88
EPPERSON ELECTRIC SOMERSET	SOMERSET COMMUNITY COLLEGE PARKING LOT LIGHTING Project No. 466.1	16,400	8/19/88
EPPERSON ELECTRIC SOMERSET	SOMERSET COMMUNITY COLLEGE STONER HALL DARKROOM VENTILATION Project No. 546.0	3,236	8/26/88
KENTUCKY POWER COMPANY PIKEVILLE	PRESTONSBURG COMMUNITY COLLEGE A/T BUILDING Project No. 471.	7,696	8/29/88
NORWOOD CONSTRUCTION COMPANY LEXINGTON	DEMOLITION OF STRUCTURES 448 CLIFTON AVENUE Project No. 592.0	3,764	8/31/88
NORWOOD CONSTRUCTION COMPANY LEXINGTON	DEMOLITION OF STRUCTURES 417 LINDEN WALK Project No. 593.0	3,900	8/31/88
P & R ROOFING COMPANY LEXINGTON	KIRWAN III & IV ROOF REPLACEMENT Project No. 503.0	83,406	9/2/88
EUBANK & STEEL LEXINGTON	MINING & MINERALS RESOURCE BLDG. PHASE III - INTERIOR Project No. 115.2	3,170	9/6/88
FIDELITY CONSTRUCTION CO. ALVANTON	MADISONVILLE COMMUNITY COLLEGE RESEAL/STRIP EXTERIOR WINDOWS Project No. 367.0	13,114	9/14/88
MODERN WELDING CO., INC. OWENSBORO	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	599,284	10/4/88
BLUEGRASS PAVING CORP. LEXINGTON	COLLEGE VIEW PARKING LOT Project No. 512.0	105,140	10/4/88
THOMPSON ENGINEERING CO. LEXINGTON	FUNKHOUSER/PHASE II AIR CONDITIONING Project No. 104.0	598,610	10/7/88
WHEELER GENERAL CONTRACTORS LEXINGTON	OHARA-RICE HOUSE ROOF REPLACEMENT Project No. 550.0	124,500	10/4/88
JOE HAWKINGS COMPANY LEXINGTON	TENNIS BUILDING INTERIOR SPACE Project No. 510.0	20,695	10/13/88
JERRY GROT, INC. LEXINGTON	ANIMAL PATHOLOGY & COOPER DAIRY RENOVATION Project No. 194.0	490	10/20/88
INTEGRATED TELECOM SERVICES LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE TECHNICAL/LEARNING RESOURCE CTR. TELEPHONE Project No. 471.1	22,051	10/20/88

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS (CONTINUED)

CONTRACTOR	PROJECT	FINAL CONTRACT AMOUNT	DATE
C.C. LEE, INC. LEXINGTON	MEDICAL CENTER DIETARY CONVEYER REPLACEMENT Project No. 433.0	$ 327,125	10/26/88
ENGLE CONSTRUCTION CO. LEXINGTON	CAWEIN HOUSE ROOF REPLACEMENT Project No. 551.0	76,890	11/10/88
THE WAGNER COMPANY LEXINGTON	HOSPITAL EXPANSION, PHASE II TEMPORARY HELLIPORT Project No. 304.5	73,616	11/11/88
LOUISVILLE PAVING LOUISVILLE	COMPLEX TENNIS COURTS RESURFACE Project No. 530.0	76,302	11/18/88
HIBBITTS CONSTRUCTION CO. LONDON	STUDENT CENTER ROOF ASBESTOS ABATEMENT Project No. 562.0	124,900	11/18/88
C.C. LEE, INC. LEXINGTON	HAGGIN HALL SHOWERS PHASE II Project No. 559.0	162,277	11/21/88
ENGLE CONSTURCTION CO. LEXINGTON	SHAWNEETOWN ROOF/TYPE B Project No. 444.0	139,940	11/18/88
HENDERSON ELECTRIC INC. LEXINGTON	HOSPITAL EXPANSION, PHASE II Project No. 304.1	295,040	12/07/88
THE BRI-DEN COMPANY FLATWOODS	STUDENT CENTER ROOFS FEASIBILITY STUDY/BALLROOM Project No. 527.0	53,800	12/19/88
HINKLE CONTRACTING BURNSIDE	SOMERSET COMMUNITY COLLEGE PAVE & GRAVEL LOT Project No. 466.0	137,709	12/22/88
CHAMBERS COMMERCIAL COATINGS NICHOLASVILLE	AQUATIC CENTER POOL FINISH Project No. 146.2	28,609	12/22/88
CHAMBERS COMMERCIAL COATINGS NICHOLASVILLE	AQUATIC CENTER POOL WALL SURFACES Project No. 146.4	5,025	12/13/88
CHAMBERS COMMERCIAL COATINGS NICHOLASVILLE	POOL MODIFICATIONS Project No. 500.0	2,370	12/13/88
MCCARTHY BROTHERS COMPANY ST. LOUIS, MO	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	790,651	12/19/88
WHEELER GENERAL CONTRACTORS LEXINGTON	AMERICAN BUILDING LIFE SAFETY Project No. 376.0	145,389	1/19/89
WOODFORD BUILDERS LEXINGTON	HOSPITAL RENOV. - INTERIOR DECOR 3RD FLOOR OBSTETRICS Project No. 129.6	216,508	1/19/89
GIVENS CONSTRUCTION LEXINGTON	MINING & MINERALS SITE DEVELOPMENT Project No. 115.3	255,045	1/26/89
LICHTEFELD-MASSARO LOUISVILLE	KIRWAN TOWER BRICK REPLACEMENT Project No. 423.0	901,762	1/31/89
THE WAGNER COMPANY LEXINGTON	STUDENT CENTER INTERIOR RENOVATION Project No. 524.0	29,814	2/07/89
STRUCK CONSTRUCTION LOUISVILLE	JOURNALISM RENOVATION Project No. 105.0	1,369,779	2/21/89

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS
(CONTINUED)

CONTRACTOR	PROJECT	FINAL CONTRACT AMOUNT	DATE
DIVERSIFIED CONSTRUCTION LEXINGTON	ANIMAL PATHOLOGY & COOPER DAIRY RENOVATION Project No. 194.0	$ 155,791	2/24/89
MCNEESE CONSTRUCTION LEXINGTON	KAPPA ALPHA FIRE SAFETY Project No. 871.0	62,302	2/24/89
MCNEESE CONSTRUCTION LEXINGTON	FARMHOUSE FIRE SAFETY Project No. 870.0	55,657	3/01/89
BOXLEY CONSTRUCTION LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	2,861,495	3/08/89
STEEL TRAILER CORPORATION CYNTHIANA	MEDICAL CENTER ANNEX #4 STAIRCASE REPAIR Project No. 549.0	26,048	3/09/89
WHEELER GENERAL CONTRACTORS LEXINGTON	SOMERSET COMMUNITY COLLEGE SIGNAGE Project No. 112.4	10,033	3/15/89
JOE HAWKINS & CO. LEXINGTON	AMERICAN BUILDING ANTHROPOLOGY RENOVATION Project No. 375.0	107,803	3/17/89
RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY Project No. 425.0	398,597	3/23/89
BECK STUDIOS MILFORD, OHIO	ASBESTOS REMOVAL-PADUCAH COMMUNITY COLLEGE STAGE CURTAIN Project No. 583.0	11,864	3/23/89
R & W CONSTRUCTION LOUISVILLE	GLUCK EQUINE RESEARCH CENTER Project No. 230.1	6,913,830	3/30/89
THE WAGNER COMPANY LEXINGTON	MEDICAL SCIENCES RESEARCH LABS Project No. 310.0	280,812	3/30/89

Office of the President
June 20, 1989

FCR 4

Members, Board of Trustees:

Report of Leases

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board policy:

The renewal of a lease between Elizabethtown Community College and Nazareth Campus Service, P. O. Box 8, Nazareth, Kentucky, effective August 18, 1989 through June 30, 1990, for the use of classroom and parking spaces, rental rate of $220.00 per classroom per semester, be accepted and made a matter of record;

The renewal of a lease between Elizabethtown Community College and Grayson County Board of Education, Leitchfield, Kentucky, effective August 18, 1989 through June 30, 1990, for the use of classroom and parking spaces, rental rate of $144.00 per classroom per semester, be accepted and made a matter of record;

The renewal of a lease between Medical Center Research Fund and Robert K. Wood, 116 Gulfstream Road, Palm Beach, Florida, effective July 1, 1989 through June 30, 1990, for the use of office space and six parking spaces in Lexington, Kentucky, rental rate of $25,746.00, be accepted and made a matter of record;

The renewal of a lease between University of Kentucky Geological Survey and Mr. Donald Harrison, 221 Hancock Street, Henderson, Kentucky, effective July 1, 1989 through June 30, 1990, for the use of office space, rental rate of $5,100.00, be accepted and made a matter of record;

The renewal of a lease between Cooperative Extension Service and Roger LaPointe, 1903 Cedar Ridge, Bowling Green, Kentucky, effective July 1, 1989 through June 30, 1990, for the use of office space, rental rate of $6,600.00, be accepted and made a matter of record;

The renewal of a lease between University of Kentucky and Department of the Army, Corps of Engineers, Nashville District, effective January 1, 1990 through December 31, 2009, to use and occupy approximately 271.0 acres of land area under the primary jurisdiction of the Department of the Army for the use and benefit of the Jabez Lake Cumberland 4-H camp in Wayne County, Kentucky, no rental cost, be accepted and made a matter of record;

A new lease between University of Kentucky Medical Center Finance Division and Collins - Mayo Collieries Company, P. O. Box 408, Ashland, Kentucky, effective June 1, 1989 through May 31, 1990, for 1202 square feet of office space in Lexington, Kentucky, rental rate of $6,600.00, be accepted and made a matter of record.

Background: FCR 5, dated October 15, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding $30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

Office of the President
June 20, 1989

FCR 5

Members, Board of Trustees:

Approval of Lease

Recommendation: that the Vice Chancellor for Administration, Lexington Campus, be authorized to renew the lease between the University of Kentucky Medical Center Finance Division and the Webb Companies, Suite 3000, Lexington Financial Center, Lexington, Kentucky for 9,375 square feet of office space at 2200 Regency Road, Building #1, Lexington, Kentucky, effective July 1, 1989 through June 30, 1990, at a rental rate of $96,039.75.

Background: The renewal lease was entered into on July 1, 1988 and will continue through June 30, 1989. The Medical Center wishes to continue with the lease from July 1, 1989 through June 30, 1990 at the same rental rate.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

Office of the President
June 20, 1989

FCR 6

Members, Board of Trustees:

Approval of Lease

Recommendation: that the Vice Chancellor for Administration, Lexington Campus, be authorized to enter into a lease between the University of Kentucky Medical Center Finance Division and the Webb Companies, Suite 3000, Lexington Financial Center, Lexington, Kentucky for 5,042 square feet of office space at 2224 Regency Road, Suite B, Lexington, Kentucky, effective July 1, 1989 through June 30, 1990, at a rental rate of $51,680.50.

Background: There is an existing lease for adjoining space with the Webb Companies. This lease will be at the same rental rate as the existing lease.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

Office of the President
June 20, 1989

FCR 7

Members, Board of Trustees:

ESTABLISHMENT OF QUASI-ENDOWMENT FOR THE
RUTH K. AND ALBERT A. PERSCH TRUST FOR THE
HERMAN L. DONOVAN FELLOWSHIP PROGRAM

Recommendation: that the Board of Trustees authorize the creation of a quasi-endowment fund to be entitled the "Ruth K. and Albert A. Persch Trust".

Background: By this action the Board of Trustees will establish the fund and permit the allocation of funds necessary to implement this authorization. Funds for this endowment will come from a bequest from Ruth Kelly Persch, a resident of San Antonio, Texas, who attended a summer session at the University of Kentucky as a Donovan Scholar. The interest earned on the endowment will serve as an enrichment fund to support the Donovan Scholars program. The Persch bequest totals $24,800 as of June 1, 1989.

Action: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

Office of the President
June 20, 1989

FCR 8

Members, Board of Trustees:

AGREEMENT WITH KENTUCKY TECHNOLOGY, INC.
RESEARCH AND GRADUATE STUDIES

Recommendation: that the President be authorized to enter into two agreements with the Kentucky Technology, Inc. and Dr. Dan Tai under which quantities of LeuKotriene B4 and Platelet Activating Factor Antiplasma produced in the course of Dr. Tai's research in the College of Pharmacy is to be conveyed to Kentucky Technology, Inc. for resale to the pharmaceutical industry.

Background: At its June 1988 meeting the Board of Trustees authorized the University of Kentucky Research Foundation to establish a corporation through which the University and its various units and faculty may engage in new and innovative activities which would be difficult or awkward to undertake within normal University operations. UKRF has established Kentucky Technology, Inc. for this purpose. The recommended transaction is consistent with the operational plans previously approved.

Action: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

Office of the President
June 20, 1989

FCR 9

Members, Board of Trustees:

A RESOLUTION AUTHORIZING THE ISSUANCE OF $9,200,000 OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES K, DATED SEPTEMBER 1, 1989, OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY

Recommendation: that the Board of Trustees approve a Resolution authorizing the issuance of $9,200,000 of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series K, dated September 1, 1989.

The Resolution authorizes the issuance of $9,200,000 of Series K Bonds for the purpose of financing an addition to the Business and Economics educational building, constituting the Series K Project upon the main campus of the University in Fayette County in the Commonwealth of Kentucky, which addition will, when completed, become part of the University of Kentucky Consolidated Educational Buildings Project of the University (the "Project").

The Resolution also approves a Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds and Bid Form, all contemplating that the Bonds be sold on the advice of the Fiscal Agent, Seasongood & Mayer, in the month of September on a date to be selected.

Background: The University presently has outstanding eleven series of University of Kentucky Consolidated Educational Buildings Project Revenue Bonds, Series C, D, E, F, G, G (Second Series), H, H (Second Series), I, I (Second Series) and J, all secured by a pledge of the Revenues (registration fees) and by a statutory mortgage lien against all of the buildings of the Project. These Series K Bonds are issued for the purpose of financing (a) the Series K Project identified above, (b) the funding of the Required Debt Service Reserve, and (c) the cost of issuance of the Series K Bonds. The Bond Resolution approves the appointment of the First Security National Bank & Trust Company, Lexington, Kentucky, as Trustee, Bond Registrar, Transfer Agent, Payee Bank and Depository Bank, with respect to these Bonds, provides for the execution of the appropriate parity certification establishing that the "coverage" of projected income of the Project over debt service requirements will meet the 1.25 test necessary to establish that the Series K Bonds will rank on a parity with the outstanding Series C through J Bonds and the Series G (Second Series), Series H (Second Series) and Series I (Second Series) Bonds.

Action: Approved ✓ Disapproved _____ Other _____

Dated: June 20, 1989

June 20, 1989

SERIES K PROJECT

Business and Economics Buildings	$8,075,000
Bond Discount	184,000
Cost of Issuance	53,525
Debt Service Reserve	887,475
	$9,200,000

RESOLUTION AUTHORIZING THE ISSUANCE

OF

$9,200,000

OF

UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS,
SERIES K,
DATED SEPTEMBER 1, 1989,

OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY

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RESOLUTION

A RESOLUTION AUTHORIZING THE ISSUANCE OF $9,200,000 OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES K, DATED SEPTEMBER 1, 1989, OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY.

WHEREAS, the Board of Trustees of the University of Kentucky, by Resolution entitled:

"A RESOLUTION OF THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY, A STATE SUPPORTED INSTITUTION OF HIGHER LEARNING OF THE COMMONWEALTH OF KENTUCKY, CREATING AND ESTABLISHING A CONSOLIDATED EDUCATIONAL BUILDINGS PROJECT OF SAID UNIVERSITY OF KENTUCKY; CREATING AND ESTABLISHING AN ISSUE OF CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS OF THE BOARD OF TRUSTEES OF SAID UNIVERSITY OF KENTUCKY; PROVIDING FOR THE ISSUANCE OF SUCH BONDS FROM TIME TO TIME; AND PROVIDING FOR THE PAYMENT OF THE PRINCIPAL OF AND INTEREST ON SAID BONDS FROM REVENUES IN SUCH MANNER AS NOT TO CONSTITUTE INDEBTEDNESS OF THE BOARD, THE UNIVERSITY, OR THE COMMONWEALTH,"

heretofore adopted on September 20, 1960 (the "Basic Resolution" or "Resolution"), has created and established an issue of University of Kentucky Consolidated Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky (the "Bonds"); and

WHEREAS, the Basic Resolution authorizes the issuance by said Board of said Bonds in one or more Series pursuant to a new resolution authorizing each such Series; and

WHEREAS, the Board has previously authorized, sold, issued, and has outstanding eleven prior issues of Bonds designated "University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series C, Series D, Series E, Series F, Series G, Series H, Series I and Series J" (all of the Series A and Series B Bonds having been retired), and "University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series G (Second Series), Series H (Second Series) and Series I (Second Series), the Series G (Second Series) and Series H (Second Series) Bonds having been issued to defease on May 1, 1993, the Series G and Series H Bonds scheduled to mature on May 1, 1994 through 2003, and the Series I (Second Series) Bonds having been issued to defease on May 1, 1996, the Series I Bonds scheduled to mature on May 1, 1997 through 2006; and has determined that the Board is in compliance with the conditions set forth in Section 7.10 of the Basic Resolution relative to the issuance of the Series K Bonds (authorized herein) on a basis of parity and equality as to security and source of payment with such outstanding Bonds of Series C, D, E, F, G, H,

I, J, and G (Second Series), H (Second Series) and I (Second Series) (hereinafter the "Series C through J Bonds"); and

WHEREAS, the Board has determined that it is in the best interests of the Board to authorize at this time an additional series of Bonds to be designated "University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series K," ranking on a parity with said Series C through J Bonds,

NOW, THEREFORE, the Board of Trustees of the University of Kentucky hereby resolves as follows:

ARTICLE I
DEFINITIONS AND AUTHORITY

Section 1.1. Series K Resolution. This Resolution (the "Series K Resolution") is adopted in accordance with Article II, Section 2.03, of the Basic Resolution.

Section 1.2. Definitions.

A. All terms which are defined in Article I of the Basic Resolution shall have the same meanings, respectively, in this Series K Resolution as such terms are given in said Article of the Basic Resolution.

B. In this Series K Resolution, unless the context shall indicate otherwise, the following terms shall have the following respective meanings:

"ALL BONDS" or "OUTSTANDING BONDS"' refers to all bonds outstanding against and payable from the revenues of the Project, including (a) the Series C through J Bonds, plus (b) the Series K Bonds, and (c) any and all future Bonds ranking on a parity therewith.

"BASIC RESOLUTION" or "RESOLUTION" refers to the original Resolution adopted on September 20, 1960, creating and establishing the Consolidated Educational Buildings Project and creating, establishing, and authorizing the issuance of Consolidated Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky.

"BOARD" refers to the Board of Trustees of the University of Kentucky, and shall include the Executive Committee of the Board acting pursuant to authorization of and/or with ratification by said Board of Trustees.

"BOND REGISTER" means the books and records maintained by the Bond Registrar as to the registered ownership and transfers of ownership of the Series K Bonds, from time to time.

"BOND REGISTRAR," "REGISTRAR," "PAYEE BANK" or "TRANSFER AGENT" refers to the First Security National Bank & Trust Company,

Lexington, Kentucky 40507, which shall constitute the Bond Registrar, Transfer Agent and Payee Bank, with respect to the Series K Bonds authorized herein, which Bank shall have the duties and responsibilities of (a) issuing semiannual checks in payment of interest requirements as to the Series K Bonds, (b) payment of the principal as same matures upon surrender of the Series K Bonds, (c) authenticating, issuing, and delivering the Series K Bonds to the Original Purchasers of same in accordance with the sale of the Series K Bonds, at the direction of the Board, (d) maintaining the Bond Register, (e) handling exchanges, cancellations, reissuance, redemption, and all appurtenant duties of a Bond Registrar and Transfer Agent with respect to the Series K Bonds, as hereinafter set out, and (f) serving as depository of all funds in connection with the Series K Bonds and All Bonds, as defined herein.

"CODE" refers to the Internal Revenue Code of 1986, as amended.

"DEBT SERVICE RESERVE" refers to the portion of the Bond Fund in excess of the aggregate principal, interest, and Bond Fund Charges of All Bonds for The ensuing year, which excess portion shall constitute the "Debt Service Reserve" created and provided for in Section 405(3) of the Basic Resolution.

"FINANCE CABINET" refers to the Finance and Administration Cabinet of the Commonwealth of Kentucky or its successor by whatever name designated.

"FISCAL AGENT" refers to Seasongood & Mayer, 300 Mercantile Library Building, 414 Walnut Street, Cincinnati, Ohio 45202-3910.

"INTEREST PAYMENT DATE" refers to May 1 and November 1 of each year, commencing May 1, 1990.

"ORIGINAL PURCHASERS" or "PURCHASERS" refers to the original purchasers of the Series K Bonds at the public sale, including all members of the approved purchasing syndicate or group.

"PROJECT" refers to the University of Kentucky Consolidated Educational Buildings Project, consisting of all educational buildings and necessary appurtenances on the campus of the University of Kentucky in Lexington, Kentucky, and all such buildings hereafter erected on such campus and elsewhere in Fayette County, Kentucky.

"RECORD DATE" shall mean with respect to any interest payment date, the close of business on April 15 or October 15, as the case may be, next preceding such Interest Payment Date, whether or not such April 15 or October 15 is a business day.

"REQUIRED CURRENT DEBT SERVICE RESERVE ACCUMULATION" refers to the amount required at any given time to have been

accumulated toward the goal of the "Required Debt Service Reserve," based on All Bonds outstanding, including the additional amounts required to have been deposited in each year to date (and scheduled to continue for a period of four years) in such Reserve as a result of the issuance of one or more additional Series of Bonds, until the total Required Debt Service Reserve is accumulated.

"REQUIRED DEBT SERVICE RESERVE" refers to the amount required to be accumulated and maintained in the Debt Service Reserve portion of the Bond Fund, which required amount is an amount equal to 100% of maximum Aggregate Principal, Interest, and Bond Fund Charges falling due on All Bonds in any twelve month period.

"SECRETARY," "SECRETARY OF FINANCE CABINET," or "SECRETARY OF THE CABINET" refers to the Secretary of the Finance and Administration Cabinet of the Commonwealth of Kentucky, and each and every officer of the Commonwealth who may hereafter be authorized to exercise powers and authority similar to those vested by law in said Secretary; and each and every Deputy Secretary or other similar officer authorized by law to exercise the powers and authority presently vested by law in the Secretary.

"SERIES K BONDS" or "THESE BONDS" shall mean the Bonds authorized by Article II of this Series K Resolution.

"SERIES K PROJECT" refers to an addition to the Business & Economics educational building located in Fayette County, Kentucky, and which is not a part of the separate Community Colleges Educational Buildings Project of the University.

"SERIES K RESOLUTION" or "THIS RESOLUTION" refers to this Resolution authorizing the Series K Bonds.

"TRUSTEE" or "TRUSTEE-REGISTRAR" refers to the First Security National Bank & Trust Company, Lexington, Kentucky, as more fully defined under "BOND REGISTRAR."

Section 1.3. Statutory Authority. This Series K Resolution is adopted pursuant to the provisions of Section 162.340, et seq., and 58.010 through 58.140 of the Kentucky Revised Statutes (the "Enabling Acts") and the Basic Resolution.

ARTICLE II
AUTHORIZATION OF SERIES K BONDS

Section 2.1. Series K Bonds Authorized. Pursuant to the provisions of the Basic Resolution, there is hereby authorized to be issued by the Board of Trustees of the University of Kentucky, in its corporate capacity, a tenth Series of Bonds in the aggregate principal amount of NINE MILLION TWO HUNDRED THOUSAND DOLLARS ($9,200,000). Such Bonds shall be designated "University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series K." Said Series K Bonds are hereby declared to have been authorized under the Basic Resolution and in conformity with the provisions of Section 7.10 of the Basic Resolution.

Section 2.2. Purpose of Issue. Said Series K Bonds are being issued for the purpose of (a) paying the costs (to the extent not otherwise provided from other funds, including projected investment income from the investment of the net proceeds of the Series K Bonds until expended) of establishing and constructing the Series K Project, as defined herein, upon property of the University in Fayette County, Kentucky, which Series K Project will, when constructed and established, become and constitute part of the Consolidated Educational Buildings Project of said University, and (b) funding the Required Debt Service Reserve, and (c) paying the costs of issuance of the Series K Bonds.

Section 2.3. Date and Maturities of Bonds. Except as set out in Section 2.5 hereof, the Series K Bonds shall be dated September 1, 1989, and bear interest payable on each Interest Payment Date (May 1 and November 1 of each year), commencing May 1, 1990 (eight months). The Series K Bonds shall be numbered consecutively from R-1 upward, or in such other manner as shall be determined by the Trustee-Registrar, and shall be scheduled to become due and payable on May 1 of the respective years, as follows:

Maturity Date May 1,	Principal Maturity	Maturity Date May 1,	Principal Maturity
1991	$225,000	2001	$440,000
1992	240,000	2002	470,000
1993	255,000	2003	505,000
1994	275,000	2004	540,000
1995	290,000	2005	580,000
1996	310,000	2006	620,000
1997	335,000	2007	665,000
1998	355,000	2008	715,000
1999	380,000	2009	765,000
2000	410,000	2010	825,000

Section 2.4. Series K Bonds to be Issued as Fully Registered Bonds. The Series K Bonds shall be issued only in fully registered form, without coupons, in the denomination of $5,000 or any authorized multiple thereof within a single maturity.

Section 2.5. Interest Payment Dates. Each Series K Bond shall bear interest from the Interest Payment Date to which interest has been paid next preceding the date on which such Series K Bond is authenticated by the Registrar, unless such Series K Bond is authenticated on an Interest Payment Date to which interest has been paid, in which event it shall bear interest from such date, or if such Series K Bond is authenticated prior to the first Interest Payment Date, such Series K Bonds shall bear interest from September 1, 1989. Interest on the Series K Bonds shall be payable commencing May 1, 1990, and on each November 1 and May 1 thereafter to maturity or earlier redemption.

Section 2.6. Registered Owners. The person in whose name any Bond is registered on the Bond Register at the Record Date with respect to an Interest Payment Date shall be entitled to receive the interest payable on such Interest Payment Date (unless such Bond shall have been called for redemption on a redemption date which is prior to such Interest Payment Date) notwithstanding the cancellation of such Bond upon any registration of transfer or exchange thereof subsequent to such Regular Record Date and prior to such Interest Payment Date, except in the event of default.

Section 2.7. Payment of Interest and Principal of Bonds. The principal of (redemption price, if redeemed prior to maturity) and interest on the Series K Bonds shall be payable in lawful money of the United States of America as same respectively become due. The principal of each Series K Bond is payable upon surrender of same at the main office of the Payee Bank and Bond Registrar. Interest on the Series K Bonds shall be paid by check mailed by the Payee Bank on or before each Interest Payment Date to the owners of the Bonds shown on the Bond Register as of the end of business on the Record Date, at the respective addresses appearing on the Bond Register.

So long as any of the Series K Bonds remain outstanding, the Registrar shall keep at its principal office a Bond Register showing and recording a register of the owners of the Series K Bonds and shall provide for the registration and transfer of such Bonds in accordance with the terms of this Resolution, subject to such reasonable regulations as the Registrar may prescribe.

Section 2.8. Execution of Bonds; Authentication by Trustee-Registrar; Transferability; Temporary Bond. The Series K Bonds shall be executed on behalf of the Board with the reproduced facsimile signature of the Chairman of the Board, and the facsimile of the Corporate Seal of the Board shall be imprinted thereon and attested by the reproduced facsimile signature of the Secretary of the Board; and said officials, by the execution of appropriate certifications, shall adopt as and for their own proper signatures, their respective facsimile signatures on said Bonds; provided the Authentication Certificate of Trustee on each printed Bond must be executed by the manual signature of the Trustee (not necessarily the same authorized officer of the Trustee on each Bond) on each

Bond before such Bond shall be valid, as set out in Section 2.13 below.

Pending the preparation of definitive Bonds, the Series K Bonds may be delivered in the form of one or more temporary Bonds, which may be printed, lithographed, typewritten, mimeographed or otherwise reproduced, in any denomination, substantially of the tenor of the definitive Bonds in lieu of which they are delivered, in registered form, and with such appropriate insertions, omissions, substitutions and other appropriate and necessary variations as the Board may determine, as evidenced by the execution of such temporary Bonds.

Until exchanged for Series K Bonds in definitive form, such temporary Bonds shall be entitled to the benefit and security of this Resolution. The Board shall, without unreasonable delay, cause to have prepared, executed and delivered printed Bonds to the Original Purchasers and/or their designees, and thereupon, upon the presentation and surrender of the temporary Bonds, such printed Bonds shall be delivered to the Original Purchasers and/or their designees in exchange therefor. Such exchange shall be made without the making of any charge therefor to any owner of the Series K Bonds.

Arrangements shall be made for the printing of sufficient Bond Certificates to enable the Trustee-Registrar to maintain an adequate reserve supply of such Bond Certificates as same are sold, exchanged, and/or otherwise surrendered in the future. Notwithstanding anything contained herein, the Trustee-Registrar shall have the right to order the preparation or printing of additional Bond Certificates which, in the sole discretion of the Trustee-Registrar, shall be necessary to maintain adequate reserves of such Bond Certificates to properly effect the continuing transfers of ownership of Bond Certificates. No further action regarding the authorization of additional Bond Certificates shall be required by the Board, and the expenses incidental thereto shall be borne by the Board as additional annual requirements of the Series K Bonds, the same as interest thereon.

At least five business days prior to the payment of the purchase price for the Bonds (the "Closing Date") each of the Original Purchasers shall furnish to the Registrar the name, address, social security number or taxpayer identification number of each party to whom the Bonds shall have been resold and in whose name the respective Bonds are to be registered, and the principal amounts and maturities thereof. The Trustee-Registrar shall then issue and deliver to each respective Purchaser on the Closing Date fully registered printed Bond Certificates for each registered owner so designated, in substantially the same form as that set out in Section 2.14 hereof.

All of the Series K Bonds shall be exchangeable and transferable upon the presentation and surrender thereof at the office of the Trustee-Registrar, duly endorsed for transfer or

accompanied by an assignment duly executed by the registered owner and/or his authorized representative, for a Bond or Bonds of the same maturity and interest rate and in the denomination of $5,000 and/or a multiple thereof within a single maturity, in an aggregate principal amount or amounts equal to the unpaid principal amount of the Bond and/or Bonds presented for exchange. The Trustee-Registrar shall be and is hereby authorized to (authenticate and) deliver the exchange Bond in accordance with the provisions of this Section. Each exchange Bond delivered in accordance with this Section shall constitute an original contractual obligation of the Board and shall be entitled to the benefit and security of this Resolution to the same extent as the Bond or Bonds in lieu of which such exchange Bond is delivered.

In the event of non-payment of interest or of one or more principal maturities on a scheduled Interest Payment Date, and for 30 days thereafter, a new record date for such interest payment or for such maturity or maturities ("Special Record Date") will be established by the Trustee-Registrar if and when funds for the payment of such interest shall have been received from the Board. Notice of the Special Record Date and of the Special Payment Date of the Past Due Interest Date shall be sent at least five business days prior to the Special Record Date by United States mail, first class postage prepaid, to the address of each owner of the Bond, as shown on the Bond Register, of such maturity or maturities appearing on the books of the Trustee-Registrar at the close of business on the last business day next preceding the date of mailing of such notice.

Section 2.9. Provisions as to Prior Redemption.

(a) Redemption Prior to Maturity. The Series K Bonds maturing on and after May 1, 2000, shall be subject to redemption prior to maturity, at the option of the Board, on any Interest Payment Date on and after May 1, 1999, in inverse order of maturities and by lot within a single maturity, at the following redemption prices (expressed as a percentage of principal amount) plus accrued interest to the date of redemption, as follows:

Redemption Dates, Inclusive	Redemption Price
May 1, 1999 through November 1, 1999	102%
May 1, 2000 through November 1, 2000	101½%
May 1, 2001 through November 1, 2001	101%
May 1, 2002 through November 1, 2002	100½%
May 1, 2003 and thereafter to maturity	100%

(b) Redemption of Less Than All of a Single Bond. In the event that a Bond subject to redemption is in a denomination larger than $5,000, a portion of such Bond may be redeemed, but only in a principal amount equal to $5,000 or any integral multiple thereof, if the Bond is one of the maturities or amounts or part of the maturities or amounts called for redemption. Upon surrender of any Bond for redemption in part, the Trustee-Registrar shall

(authenticate and) deliver an exchange Bond or Bonds in an aggregate principal amount equal to the unredeemed portion of the Bond so surrendered.

(c) Notice of Redemption. The Trustee-Registrar shall give notice of any redemption by sending at least one such notice by United States mail, first class, postage prepaid, not less than 30 and not more than 60 days prior to the date fixed for redemption to the registered owner of each Series K Bond to be redeemed in whole or in part, at the address shown on the Bond Register as of the date of mailing of such notice. The Trustee-Registrar may furnish one other form of such notice more than 60 days prior to the date fixed for redemption, provided at least one such notice shall be sent not less than 30 nor more than 60 days prior to such date. Such notice shall state the redemption date, the Redemption Price, the accrued interest payable on the redemption date, the place at which the Bonds are to be surrendered for payment, and, if less than all of the Bonds outstanding are to be redeemed, an identification of the Bonds or portions thereof to be redeemed. Any notice mailed as provided in this Section shall be conclusively presumed to have been duly given, whether or not the Bondowner receives such notice.

Prior to each redemption date, the Trustee-Registrar shall make provision, to the extent funds are then available therefor, for the payment of the Redemption Price of the Bonds to be redeemed on such date by setting aside and holding in trust an amount sufficient to pay such Redemption Price. Upon presentation and surrender of any such Bond at the main corporate trust office of the Trustee-Registrar on or after the date fixed for redemption, the Trustee-Registrar shall pay the Redemption Price on such Bond (including accrued interest) from the funds set aside for such purpose.

All of said Bonds as to which the Board reserves and exercises the right of redemption and as to which notice as aforesaid shall have been given, and for the retirement of which, upon the terms aforesaid, funds are duly provided, will cease to bear interest on the redemption date.

The required notice shall be deemed to have been given upon the Board furnishing Notice of Redemption to the Trustee-Registrar and upon the Trustee-Registrar acknowledging that it has instructions to send such notice and that it will do so at the proper time, even if the time for furnishing such notice has not yet arrived.

Section 2.10. Transferability. Title to any Series K Bond shall be transferable only upon the, presentation and surrender of same at the main office of the Trustee-Registrar, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner or his authorized representative, such transfer to be made on such books and endorsed on the Bond by the Registrar.

Section 2.11. Designation of Trustee (Bond Registrar, Transfer Agent, and Payee Bank). For the purpose of securing the payment of both the principal of and interest on all of the Series K Bonds, and to secure for the benefit of all the owners thereof the faithful performance of the covenants and provisions contained in the Resolution in the manner and to the extent permitted and provided in this Series K Resolution and in Section 162.340, et seq., and 58.010 through 58.140 of the Kentucky Revised Statutes, the First Security National Bank & Trust Company, in the City of Lexington, Kentucky, is hereby designated as Trustee, Bond Registrar, Transfer Agent, and Payee Bank under the Basic Resolution and this Series K Resolution for the owners of all of said Series K Bonds, with the powers and duties set forth in the Basic Resolution and in this Series K Resolution and with no liability in connection with any action or omission to act under the Basic Resolution or under this Series K Resolution except for it own negligence or willful breach of trust. Execution of the Authentication Certificate of the Trustee-Registrar on the respective Series K Bonds, shall conclusively establish the acceptance by the Trustee-Registrar of the trusts and provisions with respect thereto as set forth in this Resolution. Acceptance of the duties of Trustee-Registrar shall also be evidenced by the execution of the appropriate form of Acceptance immediately following the end of this Series K Resolution.

Section 2.12. Security for Series K Bonds; Statutory Mortgage Lien; Revenue Pledge. For the protection of the holders and owners of the Bonds secured by the Basic Resolution and this Resolution, a statutory mortgage lien upon the Project and properties connected therewith and belonging thereto, on a parity with All Bonds, is granted and created by and pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, which said statutory mortgage lien is hereby recognized and declared to be valid and binding as provided by law, and shall take effect immediately upon the delivery of any and all of the Series K Bonds, ranking on a parity with the outstanding Series C through J Bonds.

All of the Series K Bonds are payable only from the Gross Revenues derived from the operation of the Project, ranking on a parity with the outstanding Series C through J Bonds, and the Series K Bonds shall not and do not constitute an indebtedness of the University of Kentucky or of its Board of Trustees or of the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

Section 2.13. Authentication of Bonds. No Series K Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit of this Resolution unless and until such Bond has been duly authenticated by the Trustee-Registrar by the execution of the Authentication Certificate of Trustee-Registrar appearing on such Bond. Such Certificate appearing on any Bond shall be deemed to have been duly executed by the Trustee-Registrar if manually signed by an authorized officer of the Trustee-Registrar.

It shall not be required that the same officer of the Trustee-Registrar sign such Certificate on all of the Series K Bonds.

Section 2.14. Form of Bonds. The aforesaid authorized issue of Series K Bonds shall be in substantially the following form:

UNITED STATES OF AMERICA
COMMONWEALTH OF KENTUCKY
UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BOND
SERIES K

NUMBER R-_____ PRINCIPAL AMOUNT:
(DOLLARS)

DATE OF ORIGINAL ISSUE: INTEREST RATE: CUSIP:

September 1, 1989

REGISTERED OWNER:

PRINCIPAL AMOUNT:

The Board of Trustees of the University of Kentucky (the "Board"), a body corporate, as an educational institution and agency and a political subdivision of the Commonwealth of Kentucky, for value received, hereby promises to pay to the Registered Owner, identified above, or his or its registered assigns, solely from the special fund hereinafter identified, upon presentation and surrender of this Bond, the Principal Amount set out above on the Maturity Date specified above and to pay interest on said sum at the per annum Interest Rate specified above, from the interest payment date to which interest has been paid next preceding the date on which this Bond is authenticated, unless this Bond is authenticated on an interest payment date to which interest has been paid, in which event this Bond shall bear interest from such date, or if this Bond is authenticated prior to the first interest payment date, this Bond shall bear interest from the date of original issue set out above, commencing May 1, 1990, and semi-annually thereafter on November 1 and May 1 of each year until payment of the Principal Amount, except as the provisions hereinafter set forth with regard to redemption prior to maturity may be and become applicable. The principal of and redemption price, if any, on this Bond are payable in lawful money of the United States of America at the main office of the First Security National Bank & Trust Company, Lexington, Kentucky (the "Trustee," "Bond Registrar," and "Payee Bank"). All interest on this Bond shall be payable by check or draft mailed by the Trustee-Registrar to the registered owner hereof at the address shown on the registration books kept by the Trustee.

This Bond is one of a duly authorized issue of $9,200,000 principal amount of Bonds (said Bonds being hereinafter sometimes collectively referred to as "the Bonds," "these Bonds" or "the Series K Bonds"), issued in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including Sections 162.340 to 162.380, and 58.010 through 58.140 of the Kentucky Revised Statutes, now in full force and effect, and under and pursuant to the Resolution adopted by the Board on September 20, 1960 (the "Basic Resolution"), and the Resolution adopted on June 20, 1989, authorizing the Series K Bonds (the "Series K Resolution"), issuable as fully registered Bonds in the denominations of $5,000 and any authorized multiple thereof within the same maturity, for the purpose of financing (a) the costs (to the extent not otherwise provided) of establishing and erecting certain educational buildings and necessary appurtenances (the "Series K Project"), upon the property of the University in Fayette County, Kentucky, which Series K Project buildings and appurtenant facilities will, when erected and acquired, become part of the Consolidated Educational Buildings Project of said University (the "Project"), (b) the amount necessary to fully fund the Required Debt Service Reserve, and (c) the costs of issuance of the Series K Bonds. Copies of the Basic Resolution and the Series K Resolution are on file at the office of the Trustee.

As provided in the Series K Resolution, these Series K Bonds, together with the outstanding Bonds of Series C through J and Series G (Second Series) issued to refund on May 1, 1993, the Series G Bonds maturing May 1, 1994-2003, Series H (Second Series) issued to refund on May 1, 1993, the Series H Bonds maturing May 1, 1994-2003, and Series I (Second Series) issued to refund on May 1, 1996, the Series I Bonds maturing May 1, 1997-2006 (all of the Series A and B Bonds having been retired), and any additional Parity Bonds which may hereafter be issued and outstanding under the terms of the Basic Resolution and subsequent Series Resolutions (hereinafter all references to said outstanding Bonds of Series C through K, and Series G [Second Series], Series H [Second Series] and Series I [Second Series], and any additional Parity Bonds shall be collectively referred to as "All Bonds"), are and will be payable from and constitute a first charge and lien upon the Revenues (as defined in the Basic Resolution) to be derived by the University from the operation of its Consolidated Educational Buildings Project. The fee imposed upon and collected from all students enrolled in the University at the Lexington Campus as an incident to registration at the beginning of each semester of the regular academic year and each summer session, including fees imposed for part-time students, night school and extension courses, is designated as the source of Revenues of the Project. Such fees are known as the Student Registration Fees, and the Board has covenanted on behalf of the University that such fees will be fixed (and, if necessary, revised and increased from time to time) at such rates as may be required to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves therefor, and to pay the operating costs of the Project to the extent that such costs are not otherwise provided. All collections

of the Student Registration Fees are to be set aside, as received, into the Consolidated Educational Buildings Project Revenue Fund (the "Revenue Fund") and are made subject to a first pledge, lien, and charge for the security and source of payment of All Bonds.

The Basic Resolution provides for the creation of a special account designated "Consolidated Educational Buildings Project Bond and Interest Sinking Fund" (the "Bond Fund") and for the deposit from the Revenue Fund to the credit of the Bond Fund of a fixed amount of the gross Revenues of the Project sufficient to pay interest on All Bonds as the same become due, to pay or retire the principal of All Bonds at or prior to the maturity thereof, and to provide a reserve for such purpose, which Bond Fund is pledged to and charged with the payment of said principal and interest.

The aggregate principal amount of Bonds which may be issued under the Basic Resolution is not limited except as provided in such Basic Resolution, and all Bonds issued and to be issued under the Basic Resolution are and will be equally secured by the pledges and covenants made therein, except as otherwise expressly provided or permitted in the Basic Resolution.

As further security for these Series K Bonds, a statutory mortgage lien, which is hereby recognized as valid and binding on the Project and properties connected therewith and belonging thereto, on a parity with All Bonds, is created and granted to and in favor of the owner(s) of these Series K Bonds, pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, which said statutory mortgage lien shall take effect immediately upon the delivery of any and all of the Series K Bonds, and the Project shall remain subject to such statutory mortgage lien until the payment in full of the principal of and interest on All Bonds, including the Series K Bonds.

All Bonds as may be outstanding from time to time are payable only from the gross Revenues derived from the operation of the Project, a sufficient amount of which revenues will be annually set aside into the Bond Fund, and this Bond does not constitute an indebtedness of the University of Kentucky, its Board, or the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

This Bond is transferable by the registered owner hereof in person or by his attorney duly authorized in writing at the main office of the Trustee-Registrar, but only in the manner, subject to the limitations provided in the Series K Resolution, and upon surrender and cancellation of this Bond, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner or his authorized representative. Upon such transfer being effected, a new fully registered Bond or Bonds of the same series and the same maturity of authorized denomination, for the same aggregate principal amount, and the same interest rate, will be issued to the transferee in exchange therefor.

The Board and the Trustee-Registrar may deem and treat the registered owner hereof as the absolute owner hereof for the purpose of receiving payment of or on account of principal hereof and redemption price, if any, hereon, and interest due hereon, and for all other purposes, and neither the Board nor the Trustee-Registrar shall be affected by any notice to the contrary.

The owner of this Bond shall have no right to enforce the provisions of the Basic Resolution or the Series K Resolution, to institute action to enforce the covenants therein, to take any action with respect to any default under such Resolutions, or to institute, appear in, or defend any suit or other proceedings with respect thereto, except as provided in such Resolutions. The Basic Resolution provides for fixing, charging, and collecting fees for the services of the Project, which fees will be sufficient to pay the principal of and interest on All Bonds as the same become due, to provide a reserve for such purpose, and also to pay the cost of operation and maintenance of the Project to the extent that same are not otherwise provided.

Reference is made to such Basic Resolution and Series K Resolution (a) pursuant to which the First Security National Bank & Trust Company, in the City of Lexington, Kentucky, has been designated as Trustee and Bond Registrar, Payee Bank, and Transfer Agent for the owners of the Series K Bonds, (b) for the provisions, among others, with respect to the custody and application of the proceeds of the Series K Bonds, (c) the rights, duties, and obligations of the Board and of the Trustee, and (d) the rights of the owners of these Series K Bonds; and by the acceptance of this Bond, the registered owner hereof assents to all of the provisions of said resolutions.

The Series K Bonds maturing on or after May 1, 2000, are redeemable at the option of the University on or after May 1, 1999, in whole or in part, and if in part, in inverse order of maturities (less than all of a single maturity to be selected in such manner as the Trustee may determine), at redemption prices expressed in percentages of the principal amount with respect to each Bond as set forth below, plus in each case accrued interest to the date of redemption:

Redemption Dates, Inclusive	Redemption Price
May 1, 1999 through November 1, 1999	102%
May 1, 2000 through November 1, 2000	101½%
May 1, 2001 through November 1, 2001	101%
May 1, 2002 through November 1, 2002	100½%
May 1, 2003 and thereafter to maturity	100%

In the event that a Bond subject to redemption is in a denomination larger than $5,000, a portion of such Bond may be redeemed, but only in a principal amount equal to $5,000 or an integral multiple thereof. Upon surrender of any Bond for redemption in part, the Trustee-Registrar, in accordance with the Series

K Resolution, shall authenticate and deliver an exchange Bond or Bonds in an aggregate principal amount equal to the unredeemed portion of the Bond so surrendered.

The Trustee-Registrar shall give notice of any redemption by sending such notice by United States mail, first class, postage prepaid, not less than 30 and not more than 60 days prior to the date fixed for redemption, to the registered owner of this Bond at the address shown on the Bond Register as of the date of mailing of such notice.

This Bond shall not be valid or become obligatory for any purpose, or be entitled to any security or benefit under the Series K Resolution, until it shall have been authenticated by the execution by the Trustee-Registrar of the Authentication Certificate of Trustee-Registrar hereon endorsed.

This Bond is exempt from taxation (except inheritance taxes) by the Commonwealth of Kentucky and all of its political subdivisions.

It is hereby certified, recited, and declared that all acts, conditions, and things required to exist, to happen, and to be performed precedent to and in the issuance of this Bond, do exist, have happened, and have been performed in due time, form, and manner as required by law, and that the amount of this Bond, together with all other obligations of the Board and of said University of Kentucky, does not violate any provision or exceed any limit prescribed by the Constitution or Statutes of Kentucky; that the Project will be continuously operated, and that a sufficient portion of the gross Revenues therefrom has been pledged to and will be set aside into the Bond Fund (created in the Basic Resolution) for the payment of the principal of and interest on this Bond and All Bonds which by their terms and the terms of the Basic Resolution, the Series K Resolution, and all previous Series Resolutions, are payable from the Bond Fund as and when the same will respectively become due.

IN TESTIMONY WHEREOF, the Board of Trustees of the University of Kentucky has caused this Bond to be executed on its behalf by the reproduced facsimile signature of its Chairman, and the facsimile of its corporate seal to be imprinted hereon, and attested by the reproduced facsimile signature of its Secretary, dated as of the first day of September, 1989; provided, however, that this Bond shall not be valid or obligatory for any purpose or be entitled to any security or benefit under the Series K Resolution pursuant to which it was authorized until the Authentication Certificate of Trustee-Registrar printed hereon shall have been executed by the manual signature of a duly authorized representative of the Trustee-Registrar.

UNIVERSITY OF KENTUCKY
Lexington, Kentucky

By (Facsimile Signature)
Chairman, Board of Trustees

Attest:

(Facsimile Signature)
Secretary, Board of Trustees

(Facsimile Seal of Board of Trustees)

THE AUTHENTICATION DATE OF THIS BOND IS: _____

(FORM OF AUTHENTICATION CERTIFICATE OF TRUSTEE-REGISTRAR)

AUTHENTICATION CERTIFICATE OF TRUSTEE-REGISTRAR

This is to certify that this Bond is one of the Bonds referred to in the within Bond and in the Series K Resolution authorizing same. Printed on the reverse hereof is the complete text of the opinion of Bond Counsel, Rubin & Hays, 200 South Fifth Street, Louisville, Kentucky, a signed original of which is on file with the undersigned, delivered and dated on the date of the original delivery and payment for the Bonds.

FIRST SECURITY NATIONAL BANK &
TRUST COMPANY,
Lexington, Kentucky
Trustee and Bond Registrar

By _____
Authorized Officer

(FORM OF ASSIGNMENT)

ASSIGNMENT

For value received, the undersigned hereby sells, assigns and transfers unto _____
the within Bond and hereby irrevocably constitutes and appoints _____
attorney to transfer said Bond on the books kept for registration and transfer of this Bond, with full power of substitution in the premises.

Dated: _____

_____
Registered Owner (Signature must correspond with name of Registered Owner as it appears on the front of this Bond in every particular, without alteration, enlargement, or any change whatsoever.)

Social Security or other identifying number of Assignee: _____

Signature Guaranteed:

_____
Notice: Signature(s) must be guaranteed by a member firm of the New York Stock Exchange, Inc., or a commercial bank or trust company

(Customary abbreviations as to form of Title)

ARTICLE III
PUBLIC SALE OF SERIES K BONDS; COMPLIANCE WITH PARITY REQUIREMENTS; THE SERIES K CONSTRUCTION ACCOUNT; DISPOSITION OF PROCEEDS OF SERIES K BONDS

Section 3.1. Advertisement of Series K Bonds for Sale. The Series K Bonds shall be offered publicly for sale upon the basis of sealed, competitive bids at such time as the Board (or the Executive Committee of the Board) shall designate by duly adopted Motion or Resolution.

The Treasurer of the Board is hereby authorized and directed to cause an appropriate form or forms of a Notice of Sale of Bonds to be published in THE COURIER-JOURNAL, a legal newspaper published in the City of Louisville, Kentucky, which will afford statewide notice of the sale, and in THE DAILY BOND BUYER, a financial journal published in the City of New York, New York, which is a publication having general circulation among bond buyers; and said newspaper and financial journal are hereby declared to be qualified to publish such notice for the Board within the meaning and provisions of KRS Chapter 424. Such notice shall be published in said newspaper and financial journal at least once not less than seven nor more than twenty-one days prior to the scheduled date of sale of the Series K Bonds.

A suggested form of Notice of Sale of Bonds, a suggested form of Official Terms and Conditions of Sale of Bonds, and a suggested form of Official Bid Form, having been prepared by Bond Counsel in cooperation with the Fiscal Agent, and same, together with a suggested form of Preliminary Official Statement having been examined by this Board, same are hereby approved for such purposes, and a copy of each is hereby ordered to be attached to this Resolution and to the minutes of the meeting at which this Resolution is adopted. The notice of Sale of Bonds shall be signed by the Treasurer of the University and may be used for the purpose of publishing notice of the sale of the Bonds. The Preliminary Official Statement having been prepared by the Board's Fiscal Agent on behalf of the Board in conjunction with the sale, containing all pertinent information in regard to the Series K Bonds, and in regard to the University, and which Preliminary Official Statement is to be supplied to prospective bidders for the purchase of the Series K Bonds, and said Preliminary Official Statement having been reviewed and considered by the Board, same is hereby authorized, ratified, and approved, and the Chairman and the Treasurer are hereby authorized to sign said Preliminary Official Statement on behalf of the Board to evidence such approval. Copies of said documents (Notice, Official Terms, Bid Form, and Preliminary Official Statement) shall be furnished to a list of known interested bidders and to any interested parties who may request same.

Bidders shall be advised that Seasongood & Mayer, Cincinnati, Ohio, has been employed as Fiscal Agent in connection with the issuance of these Series K Bonds, that their fee for

services rendered with respect to the sale of the Series K Bonds is contingent upon the issuance and delivery of the Series K Bonds, and that they may submit a bid for the purchase of the Series K Bonds at the time of the advertised public sale of the Series K Bonds, either individually or as the member of a syndicate organized to submit a bid for the purchase of the Series K Bonds.

Upon the date and at the respective hour set forth for the opening and consideration of purchase bids, as provided in the instruments hereinabove approved, the sealed bids theretofore received by the Treasurer shall be publicly opened and publicly read by the Treasurer and later delivered to the Board and again publicly read by the presiding officer of the Board. If there shall be one or more bids which conform in all respects to the prescribed terms and conditions, such bids shall be compared, and the Board agrees that if a bid is accepted, it will accept the best of such bids, as measured in terms of the lowest interest cost to the Board, as calculated in the manner prescribed in the Official Terms and Conditions of Sale of Bonds.

Bids will be received for the purchase of such Bonds at such date and hour as shall be fixed or otherwise determined by the Resolution of the Board (or of its Executive Committee).

It is hereby determined that the successful bid for the purchase of the Series K Bonds may be accepted by the Executive Committee of the Board of Trustees upon the advice of the Board's Fiscal Agent, Seasongood & Mayer, with the approval of the Treasurer of the University.

Section 3.2. Compliance with Parity Requirements of Basic Resolution. In accordance with the requirements of Section 7.10 of the Basic Resolution, it is hereby certified, covenanted, and declared:

(a) That at the present time, and at the time of issuance of the Series K Bonds, there is and will be no deficiency in amounts required by the Basic Resolution or any Series Resolution to be paid into the Bond Fund;

(b) That prior to the issuance of the Series K Bonds, there will have been procured and filed with the Trustee a statement by the Treasurer of the Board to the effect that the average of the annual revenues from the Project for the two fiscal years immediately preceding the issuance of the Series K Bonds, was equal to not less than 1.25 times the maximum Aggregate Principal, Interest and Bond Fund Charges in any succeeding twelve month period ending May 1 on the Outstanding Bonds and on the Series K Bonds proposed to be issued. For the purposes of such computation, such certification of the Treasurer shall make an adjustment in the amount of the annual Revenues to reflect any increases or decreases in the pledged Revenues (the student registration fees) which at that time shall have been determined by the Board and made effective for future services of the Project.

Accordingly, based on the foregoing compliance with the requirements of the Basic Resolution, it is hereby found and declared that the Series K Bonds shall rank and be payable on a parity with the outstanding Series C through J Bonds on a first lien basis from the gross revenues of the Project and further secured by a first statutory mortgage lien on the Project buildings.

Section 3.3. The Series K Construction Account; Disposition of Proceeds of Series K Bonds. There is hereby established in the Treasury of the Commonwealth a Construction Account to be designated "University of Kentucky Consolidated Educational Buildings Project Bond Proceeds Series K Construction Account" (the "Series K Construction Account").

Immediately upon the issuance, sale, and delivery of the Series K Bonds, the entire amount received from the Original Purchasers of the Series K Bonds shall be deposited in the Treasury of the Commonwealth for immediate credit and/or transfer as follows:

(a) To the Bond Fund, all amounts received as collected accrued interest for the period from September 1, 1989, the date of the Series K Bonds, to the date of delivery, for application to the payment of interest next becoming due on the Series K Bonds.

(b) To the Debt Service Reserve Fund, the amount necessary to fully fund the Required Debt Service Reserve.

(c) To the "University of Kentucky Consolidated Educational Buildings Series K Bonds Cost of Issuance Account," hereby created and to be maintained on deposit with the Trustee, such appropriate amount as shall be directed by the Treasurer of the Board, to be held and disbursed upon written authorization of the Board or its designee. The Trustee shall apply funds in such account for the purpose of meeting issuance expenses, including printing, fiscal agent fee, legal fees and expenses, rating agency fees, and other necessary costs (to the extent not payable by the Fiscal Agent) of preparing, issuing, advertising, and selling the Bonds. After payment in full of such issuance expenses, based upon the certification of the Board, through its Treasurer, all amounts remaining in such Account shall be transferred to and deposited in the Bond Fund and applied toward the payment of interest requirements falling due on the Bonds on May 1, 1990.

(d) The balance of the proceeds of the Series K Bonds shall be deposited in the Series K Construction Account.

Such balance in the Construction Account shall be disbursed from such Account by the Treasurer of the Commonwealth according to such inspection, audit, and disbursement procedures as may from time to time be provided by law, for the purpose of paying the costs (to the extent not otherwise provided) of establishing and constructing the Series K Project buildings and appurtenances as identified in Section 2.2 of this Series K Resolution in accordance with the approved plans and specifications therefor and to

reimburse the University or the Commonwealth for any payments which may have been made from other available resources in anticipation of the issuance of the Series K Bonds, and only upon certification of the Architects or Engineers having supervision of construction (or of the Secretary of the Finance Cabinet of the Commonwealth with respect to reimbursements sought by the Commonwealth), as to each disbursement for construction costs, that the amount thereof has been duly earned by and is payable to (and has not previously been paid to) the designated party or parties, for materials, services, and/or labor furnished pursuant to proper contract duly awarded therefor.

Amounts on deposit in the Construction Account shall be invested and reinvested from time to time by the State Treasurer upon direction to the State Treasurer by the Treasurer of the University, in direct obligations of the United States Government or of agencies of the United States Government, or obligations which are fully guaranteed by the United States Government, or in negotiable or non-negotiable Certificates of Deposit issued by any bank, trust company or national banking association (including the Trustee) which is a member of the Federal Reserve System or of the Federal Deposit Insurance Corporation, provided such Certificates of Deposit, to the extent that same shall exceed the amount insured by the Federal Deposit Insurance Corporation, must be continuously secured by a valid pledge of direct obligations of or obligations guaranteed by the United States Government having a market value (exclusive of accrued interest) at all times equal to at least 100% of the principal amount of said Certificates of Deposit, which Certificates shall be lodged with the State Treasurer as custodian, or in investments permitted by KRS 42.500(6). The Investments shall be made upon the determination of the University Treasurer, upon advice from said Architects or Engineers (or of said Secretary of the Finance Cabinet) that moneys on deposit in the Construction Account are not immediately required for construction purposes and any investments made from the Construction Account shall be selected in contemplation of the anticipated schedule of disbursements prepared by the University Treasurer. All such investments shall be reconverted into cash as and when cash is required to pay costs for which the Construction Account is established.

Any balance remaining in said Construction Account after the establishment and construction of said Series K Project buildings and appurtenances and the payment of all costs in connection therewith shall be deposited in the Bond Fund and (a) credited to the Debt Service Reserve established in and by Section 405(3) of the Basic Resolution if and to the extent that such Debt Service Reserve contains less than the amount of the Required Debt Service Reserve, and/or (b) either applied as a credit against the next deposit required to be made into the Bond Fund, or used to purchase Series K Bonds in the open market at not exceeding par plus accrued interest, as may be directed by the Board; provided, however, that if proceedings are then pending or imminently contemplated for the establishment and/or construction of one or more additional educational buildings, which are or will be financed by the issuance of

additional Series Bonds pursuant to the Basic Resolution, and which building or buildings constitute or will constitute a part of the Consolidated Educational Buildings Project, any such unexpended balance may be taken into account in determining the amount of Bonds to be authorized therefor, or may otherwise be applied to the proper costs thereof, in which event such unexpended balance may, upon authority of the Board, be transferred to the Series Construction Account thereof.

ARTICLE IV
COMPLIANCE WITH FEDERAL ARBITRAGE REQUIREMENTS;
THE REVENUE FUND; DEBT SERVICE RESERVE FUND

Section 4.1. Compliance with Federal Non-Arbitrage Requirements. Neither the University nor the Trustee shall take any action at any time which will cause the interest on the Bonds to become subject to Federal income taxation, and, without limiting the foregoing, it is covenanted for the benefit of the owners of such Bonds that no use of the proceeds of the Series K Bonds will be made at any time which, if such use had been reasonably expected on the date of issue of such Bonds, would have caused them to be "arbitrage bonds." This covenant shall impose an obligation to comply with the requirements of Section 148 of the United States Internal Revenue Code of 1986, as amended (the "Code"), and the applicable regulations thereunder. The word "proceeds" as used herein shall have the meaning which it has under such section of the Code and such regulations and shall include all moneys on deposit in all Funds provided for herein and all Funds established in connection with the Project and the Bonds. Without limiting the generality of the foregoing, in no event shall moneys be deposited in any accounts created hereunder or invested pursuant to the provisions hereof if such deposit or investment shall cause any Bond to be an "arbitrage bond" within the meaning of Section 148 of the Code.

The Board certifies that on the basis of the facts, expectations, and circumstances (including covenants of the Board) in existence on the date of adoption of this Series K Resolution it is not expected that the proceeds of the Series K Bonds will be used in a manner that will cause such obligations to be "arbitrage bonds." The Chairman and the Treasurer of the Board are hereby jointly and severally designated and charged by the Board and the University with the responsibility for issuing the Series K Bonds and are hereby designated as the officers of the Board and the University to execute (by either of them) the ("no arbitrage") certification required by Section 1.103-1(a)(2)(ii) and any other provisions of the Treasury Regulations, and such certification shall set forth such facts, expectations and circumstances, which may be in brief and summary terms, and shall state that to the best of his knowledge and belief there are no other facts, expectations, or circumstances that would materially change such expectation that the proceeds of the issue of Bonds herein authorized will not be used in a manner that would cause same to be "arbitrage bonds." If, under any valid provisions of law hereafter enacted, the interest paid by the Board on the Bonds should be excludable from the gross income of a recipient thereof for Federal income tax purposes without regard to compliance with the provisions of Section 148 of the Code, then the University shall not be required to comply with such provisions of the Code.

Section 4.2. The Revenue Fund; Transfers to the Bond Fund and the Debt Service Reserve Fund to Provide for the Additional Requirements of the Series K Bonds.

(1) From and after the issuance and delivery of the Series K Bonds, the Treasurer, conforming to the provisions of Section 4.05(2) of the Basic Resolution, shall transfer from the Revenue Fund and deposit to the credit of the Bond Fund from time to time such sums as are required to pay (a) interest falling due on each November 1 with respect to the Series K Bonds, and (b) interest and principal, if any, falling due on each May 1 with respect to the Series K Bonds; and such transfers and deposits into the Bond Fund shall be in addition to the transfers and deposits required to be made from time to time for payment of the interest on and principal of the Series C through J Bonds.

(2) Conforming to the requirements of Section 4.05(2) of the Basic Resolution, if, whenever, and so long as the amount on deposit in the Debt Service Reserve (as part of the Bond Fund) shall be less than the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve (as defined herein), with respect to All Bonds for any future year ending May 1, the Treasurer shall transfer from the first available funds in the Revenue Fund and deposit in the Bond Fund, whatever additional amounts over and above all deposits otherwise required to be made into the Bond Fund, as set out in the foregoing paragraph (1) of this Section, shall be required in order to restore and maintain the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve in the Debt Service Reserve portion of the Bond Fund.

(3) It is further determined by the Board that in calculating the amount of the required annual payments to be made by the Board into the Bond Fund to meet the principal and interest requirements of All (Outstanding) Bonds, the Board shall (whenever and so long as the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve has been accumulated and is being maintained), take credit for the investment income derived from the investment of funds in the Bond Fund, including funds in the Debt Service Reserve; provided, further, however, that the Board, in compliance with the arbitrage requirements of the Internal Revenue Code of 1986, as amended, represents that amounts on deposit in the Bond Fund will be used within thirteen (13) months from the date of deposit for the payment of principal of and interest on the Outstanding Bonds; and the Bond Fund will annually be depleted through such application, for current debt service requirements on the Outstanding Bonds, except for an amount equal to not more than the greater of (a) one-twelfth (1/12) of the debt service requirements of the Outstanding Bonds for the then ensuing year, or (b) one year's earnings on the Bond Fund.

Section 4.3. Adjustments in the Debt Service Reserve. It is hereby determined that it is necessary (a) in order to comply

with the parity requirements of the Basic Resolution, and (b) for the marketability of the Series K Bonds, that the Debt Service Reserve shall continue to be maintained and, when necessary, accumulated and/or restored, in an amount equal to the "maximum Aggregate Principal, Interest and Bond Fund Charges" (as defined in the Basic Resolution) with respect to all Outstanding Bonds for any future year ending May 1 ("Required Debt Service Reserve").

The Debt Service Reserve shall not (except where the amount on deposit therein shall exceed the Required Debt Service Reserve) be used for any purpose except to make the payments required to be made from the Bond Fund when there are insufficient funds available in the Bond Fund for such purposes.

ARTICLE V
MISCELLANEOUS CONCLUDING PROVISIONS

Section 5.1. All Provisions of Basic Resolution and Various Series Resolutions Are Hereby Readopted, Ratified and Confirmed. The Board hereby readopts, ratifies and confirms all previous Resolutions relating to the Project, including the Basic Resolution adopted on September 20, 1960, and each of the various Series Resolutions B through J.

Section 5.2. Ratification of Previous Appointment of Trustee to Serve as Registrar, Transfer Agent and Payee Bank. First Security National Bank & Trust Company, Lexington, Kentucky, the Trustee in all previous Series Resolutions, which was designated in Section 2.5 of the Series J Resolution to serve also as Bond Registrar, Transfer Agent and Payee Bank with respect to the Series J Bonds, is also designated to serve in all capacities referred to above with respect to the Series K Bonds.

Its duties as Registrar, Transfer Agent and Payee Bank with respect to the Series K Bonds shall be the same as its duties in such capacities with respect to the Series J Bonds.

The Trustee/Registrar/Payee Bank shall be entitled to the same rights, privileges, and immunities with respect to the Series K Bonds as are specified in the Series I and J Resolution with respect to the Series I and J Bonds.

The Trustee Bank shall indicate its acceptance of its respective duties and capacities by signing its Acceptance at the conclusion of this Resolution.

Section 5.3. Replacement by Board of Registrar, Transfer Agent, Payee Bank and Depository Bank. The Board shall have the right at any time to replace the Registrar, Transfer Agent, Payee Bank, and/or Depository Bank by observing the same procedure as that required by the Basic Resolution and/or the respective Series Resolutions for replacement of the Trustee.

Section 5.4. Covenant of Board to Take All Action Necessary to Assure Compliance with the Internal Revenue Code of 1986, as Amended. In order to assure purchasers of the Series K Bonds that interest thereon will continue to be exempt from all Federal and Kentucky income taxation (subject to certain exceptions set out below), the Board covenants to and with the owners of Series K Bonds that (1) the Board will take all actions necessary to comply with the provisions of the Internal Revenue Code of 1986, as amended, (2) the Board will take no actions which will violate any of the provisions of such Code, or would cause the Series K Bonds to become "private activity bonds" within the meaning of the Code, (3) none of the proceeds of the Series K Bonds will be used for any purpose which would cause the interest on the Series K Bonds to become subject to Federal income taxation, and the Board will comply with any and all requirements as to rebate (and reports

with reference thereto) to the United States of certain investment earnings on the proceeds of the Series K Bonds.

The Board certifies that the Series K Bonds are not "private activity bonds" within the meaning of the Code, and the Board has been advised by Bond Counsel and therefore believes that interest on the Series K Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals.

The Board is further advised that the exemption from income taxation by the United States of America of interest on the Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), interest on the Series K Bonds is taken into account in determining adjusted net book income (adjusted current earnings for taxable years beginning after December 31, 1989).

2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series K Bonds, or, in the case of a financial institution, that portion of a holder's interest expense allocated to interest on the Series K Bonds.

3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series K Bonds.

4. For taxable years beginning before January 1, 1992, interest on the Series K Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.

5. Interest on the Series K Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.

6. Passive investment income, including interest on the Series K Bonds, may be subject to federal income taxation under Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.

7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series K Bonds.

The Board reserves the right to amend the Resolution authorizing these Series K Bonds without obtaining the consent of the owners of the Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series K Bonds shall be exempt from Federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of the Series K Bonds) to eliminate or reduce any restrictions concerning the Project, the investment of the proceeds of the Series K Bonds, or the application of such proceeds or of the revenues of the Project. The purchasers of the Series K Bonds are deemed to have relied fully upon these covenants and undertakings on the part of the Board as part of the consideration for the purchase of the Series K Bonds. To the extent that the Board obtains an opinion of nationally recognized bond counsel to the effect that non-compliance with any of the covenants contained in this Resolution or referred to in this Resolution would not subject interest on the Series K Bonds to Federal income taxes or Kentucky income taxes, the Board shall not be required to comply with such covenants or requirements.

This Resolution is adopted in contemplation that Bond Counsel will render an opinion as to exemption of principal of the Bonds from Kentucky ad valorem taxation and as to exemption of interest on the Series K Bonds from Federal and Kentucky income taxation, based on the assumption by Bond Counsel that the Board complies with covenants made by the Board with respect to compliance with the provisions of the Code, subject to the exceptions set out above, and based on the assumption of compliance by the Board with requirements as to any required rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series K Bonds. The Board has been advised that, based on the foregoing assumption of compliance, Bond Counsel are of the opinion that the Series K Bonds are not "arbitrage bonds" within the meaning of Section 148 of the Code.

Section 5.5. Resolution Contractual With Bondowners. The Basic Resolution and this Series K Resolution shall, from and after the issuance and delivery of the Series K Bonds, constitute a contract between the Board of the University and the owners of the Series K Bonds as shall be outstanding hereunder.

Section 5.6. Provisions in Conflict are Repealed. All resolutions or parts thereof in conflict with the provisions of this Series K Resolution are hereby rescinded to the extent of such conflict.

Section 5.7. Copy to be Filed with Trustee. A certified copy of this Series K Resolution shall be filed with the Trustee, and this Series K Resolution shall take effect immediately upon its adoption and the filing of the certified copy thereof with the Trustee, as provided in the Basic Resolution.

Adopted June 20, 1989.

_____
Chairman, Board of Trustees
University of Kentucky

(SEAL)

Attest:

_____
Secretary, Board of Trustees

CERTIFICATION

I, _____, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certify that the foregoing is a true copy of a Resolution adopted by the Board of Trustees of said University at a meeting held on June 20, 1989, as recorded in the official Minute Book of said Board of Trustees, which is in my custody and under my control, that said meeting was held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820, and 61.825, that a quorum was present at said meeting, and that the aforesaid Resolution is of record in the office of the Board, has not been modified, amended, or rescinded, and is in full force and effect at this date.

WITNESS my signature and the Seal of said Board this June 20, 1989.

_____
Secretary, Board of Trustees
University of Kentucky

ACCEPTANCE BY FIRST SECURITY NATIONAL BANK & TRUST COMPANY,
LEXINGTON, KENTUCKY, AS
BOND REGISTRAR, TRANSFER AGENT, PAYEE BANK AND TRUSTEE

The undersigned, First Security National Bank & Trust Company, Lexington, Kentucky, having heretofore been appointed as and having served as Trustee, hereby agrees to the provisions of the foregoing Resolution to the extent that there are contained therein provisions as to the additional rights and duties of the undersigned as Bond Registrar, Transfer Agent, Payee Bank, and Trustee.

Dated this _____, 1989.

FIRST SECURITY NATIONAL BANK &
TRUST COMPANY,
Lexington, Kentucky

By_____

Title: _____

(Seal of Bank)

NOTICE OF SALE OF BONDS

$9,200,000
UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES K
DATED SEPTEMBER 1, 1989

The Treasurer of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby gives public notice that until September 19, 1989, at 10:30 A.M., E.D.T., he will receive in the Office of Controller and Treasurer, 369 Peterson Service Building, University of Kentucky Campus, Lexington, Kentucky 40506, sealed, competitive bids for the purchase of $9,200,000 of the above-identified issue of bonds, being fully registered bonds, in the denomination of $5,000 or any multiple of same within the same maturity, maturing on May 1, 1991 through 2010. Minimum bid is $9,016,000 (98% of par). Legal opinion by Rubin & Hays, Louisville, Kentucky. The Bonds will be issued on a tax-exempt basis, subject to certain qualifications set out in detail in the Official Terms and Conditions of Sale of Bonds and in the Preliminary Official Statement. Good faith check is $92,000. Bid Forms, Official Terms and Conditions, and Preliminary Official Statement may be obtained from the Fiscal Agent, Seasongood & Mayer, 300 Mercantile Library Building, 414 Walnut Street, Cincinnati, Ohio 45202-3910, phone (315) 621-2000.

Right to reject bids and to waive defects or informalities is expressly reserved. (Signed) Henry Clay Owen, Treasurer, Board of Trustees, University of Kentucky, Lexington, Kentucky 40506.

OFFICIAL TERMS AND CONDITIONS OF BOND SALE

$9,200,000
UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES K
DATED SEPTEMBER 1, 1989

SALE: September 19, 1989, 10:30 A.M., E.D.T.

As advertised in THE COURIER-JOURNAL, published in Louisville, Kentucky, and in THE DAILY BOND BUYER, published in New York, New York, the Treasurer and the Finance Committee of the Board of Trustees of the University of Kentucky, Lexington, Kentucky (the "Board") will until the date and hour referred to above, receive in the Office of Controller and Treasurer, 369 Peterson Service Building, University of Kentucky Campus, Lexington, Kentucky 40506, sealed, competitive bids for the purchase of the above-identified Bonds (the "Series K Bonds"). To be considered, a bid for the purchase of said Bonds must be submitted on an Official Bid Form and must be delivered to such office no later than the date and hour indicated. Proposals for the purchase of the Bonds will be considered by the Finance Committee of the Board at its meeting on that date and referred to the Executive Committee of the Board (or to the full Board) at a meeting to be held at 1:00 P.M., E.D.T., on that date.

Bids submitted prior to the date and hour hereinabove set forth may be sent to the Controller and Treasurer of the University at his office and if any such Bid is received in his office prior to the date and hour above set forth, properly marked so as to identify the same, it will be communicated to and considered by such official and will be acted upon by the Board or its Executive Committee at the meeting above referred to.

DESCRIPTION AND MATURITIES OF BONDS

The Series K Bonds will be issued only as fully registered bonds, without coupons, in the denomination of $5,000 principal amount or any multiple of $5,000 within a single maturity (as designated by the Purchasers), will bear interest payable semi-annually on May 1 and November 1 of each year to maturity (first interest payment date, May 1, 1990 - eight months), and will mature on May 1 of the respective years, as follows:

Maturity Date May 1,	Principal Maturity	Maturity Date May 1,	Principal Maturity
1991	$225,000	2001	$440,000
1992	240,000	2002	470,000
1993	255,000	2003	505,000
1994	275,000	2004	540,000
1995	290,000	2005	580,000
1996	310,000	2006	620,000
1997	335,000	2007	665,000
1998	355,000	2008	715,000
1999	380,000	2009	765,000
2000	410,000	2010	825,000

The principal of (and redemption price, if any) and interest on the Series K Bonds will be payable in lawful money of the United States of America at the main office of First Security National Bank & Trust Company, Lexington, Kentucky, the Trustee, Bond Registrar, Transfer Agent, Payee Bank and Depository Bank; provided, however, that interest on the Series K Bonds shall be paid when due by check mailed by the Trustee on or before each semiannual interest due date to the respective persons entitled thereto at the addresses of the respective Bondowners of record on the 15th day of the month preceding each interest due date by regular United States mail postmarked not later than the due date. Upon submission of a properly executed assignment of a Bond, such Bank will transfer ownership of such Bond within three (3) business days of receipt without expense to the Bondowner.

The Series K Bonds shall be lettered and numbered from R-1 upward, or such numbering shall be made in such other appropriate manner as may be determined by the Trustee.

With respect to Series K Bonds transferred or exchanged after the initial delivery of the Series K Bonds, same shall bear interest from the most recent interest payment date to which interest has been paid or duly provided for, as the case may be, unless no interest has been paid on a Series K Bond, in which case such Bond shall bear interest from September 1, 1989.

The person in whose name any Series K Bond is registered on the Record Date (April 15 or October 15) with respect to an interest payment date shall be entitled to receive the interest payable on such interest payment date (unless such Bond shall have been duly called for redemption on a redemption date which is prior to such interest payment date).

The Series K Bonds maturing on and after May 1, 2000, are subject to redemption prior to maturity, at the option of the Board, on any interest payment date on and after May 1, 1999, in inverse order of maturity and by lot within a single maturity, at the following redemption prices (expressed as a percentage of the principal amount redeemed), plus accrued interest to the date of redemption, as follows:

Redemption Dates, Inclusive	Redemption Price
May 1, 1999 through November 1, 1999	102%
May 1, 2000 through November 1, 2000	101½%
May 1, 2001 through November 1, 2001	101%
May 1, 2002 through November 1, 2002	100½%
May 1, 2003 and thereafter to maturity	100%

If a Bond subject to redemption is in a denomination larger than $5,000, a portion of such Bond may be redeemed, but only in a principal amount equal to $5,000 or any integral multiple thereof, if such Bond is one of the maturities or amounts or part of the maturities or amounts called for redemption. Upon surrender of any Bond for redemption in part, the Trustee shall (authenticate and) deliver an exchange Bond or Bonds in an aggregate principal amount equal to the unredeemed portion of the Bond so surrendered.

The Trustee shall give notice of any redemption by sending at least one such notice by first class mail not less than thirty (30) and not more than sixty (60) days prior to the date fixed for redemption to the registered owner of each Series K Bond to be redeemed in whole or in part at the address shown on the Bond Register as of the date of mailing of such notice; and interest on such Bonds or portions thereof shall cease to accrue after the redemption date.

STATUTORY AUTHORITY AND PURPOSE OF BONDS

These Bonds are issued in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including Sections 162.340-162.380, and 58.010-58.140 of the Kentucky Revised Statutes, and under and pursuant to the Resolution adopted by the Board on September 20, 1960 (the "Basic Resolution"), and to the Resolution adopted on June 20, 1989, authorizing these Bonds (the "Series K Resolution"), for the purpose of financing (a) the cost (to the extent not otherwise provided) of establishing and erecting certain educational buildings and necessary appurtenances on the campus of the University of Kentucky in Lexington, Kentucky, consisting of an addition to the Business & Economics educational building, (b) funding the Required Debt Service Reserve, and (c) paying the costs of issuance of the Series K Bonds.

SECURITY

These Series K Bonds, together with the Series C through J Bonds (all of the Series and A and B Bonds having been retired), and the Series G (Second Series), Series H (Second Series) and Series I (Second Series), all previously issued, and any additional parity bonds which may hereafter be issued and outstanding under the terms of the Basic Resolution and any subsequent Series Resolutions, are and will be payable from and will constitute a pledge, charge and lien upon the Revenues to be derived by the University from the operation of its Consolidated Educational Buildings Project, as defined in the Basic Resolution. The fee imposed upon

and collected from all students of the University at Lexington, Kentucky, as an incident to registration at the beginning of each semester of the regular academic year and each summer session, including fees imposed for part-time students, night school and extension courses, is designated as the source of Revenues of the Consolidated Educational Buildings Project. Such fees are known as the Student Registration Fees (the "Registration Fee") and the Board covenants that the same will be fixed and if necessary revised and increased from time to time at such rates as may be required to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves therefor, and to pay the operating costs of the Project to the extent that such costs are not otherwise provided. All collections of the Student Registration Fee are to be set aside as received into the Consolidated Educational Buildings Project Revenue Fund and are made subject to a first lien and paramount charge for the security and source of payment of all outstanding Consolidated Educational Buildings Revenue Bonds.

As further security for the Bonds, a statutory mortgage lien upon the Project is created and granted by the Series K Resolution pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, in favor of the Series K Bonds and all parity bonds, including those heretofore issued and those hereafter issued.

BIDDING CONDITIONS AND RESTRICTIONS

The public offering of the Series K Bonds is made upon the following specific conditions and provisions:

A. Bids shall be required to be submitted upon a standard "Official Bid Form" in order to provide for uniformity in submission of bids and ready determination of the best bid.

B. Bidders shall be required to bid for the entire issue a minimum price of not less that $9,016,000 (98% of par) for the $9,200,000 of Bonds, plus accrued interest from the date of the Bonds (September 1, 1989) to the date of delivery, payable in Federal Funds.

C. Each bid shall be accompanied by a certified or bank cashier's good faith check (which check need not be in Federal Funds) in the amount of $92,000 (1%), payable to the order of the Treasurer of the University of Kentucky. The check of the successful bidder will be immediately deposited by the University and credited (without interest) against the purchase price. The good faith checks of the unsuccessful bidders will be returned promptly.

D. Bidders must state an interest rate or rates in a multiple of 1/20 of 1%. There is no maximum interest rate applicable.

E. There is no limit on the number of different rates which may be specified in any bid and there is no maximum differential between the highest and lowest interest rates stipulated in any bid.

F. All Bonds of the same maturity shall bear the same and a single interest rate from the date thereof to maturity.

G. Interest rates must be on an ascending scale, in that the interest rate for Bonds of any maturity may not be less than the interest rate stipulated for any preceding maturity.

H. The right to reject bids for any reason deemed advisable by the Board and the right to waive any possible informalities, irregularities, or defects in any bid which, in the judgment of the Board, with the advice of the Fiscal Agent, shall be minor or immaterial, is expressly reserved.

I. Bids must be made on forms which, together with an Official Statement, may be obtained at the office of the Treasurer of the University or from the Fiscal Agent, Seasongood & Mayer, 300 Mercantile Library Building, 414 Walnut Street, Cincinnati, Ohio 45202-3910, phone (513) 621-2000. Bids must be enclosed in sealed envelopes marked "Proposal for University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series K, dated September 1, 1989," and bids must be received by the aforesaid Treasurer prior to the date and hour set for the sale.

J. The original purchasers (the "Original Purchasers" or the "Purchasers") of the Bonds will pay the CUSIP Service Bureau charge for the assignment of CUSIP numbers, which numbers will be printed on the Bonds at no expense or cost to the Purchasers. Neither the failure to print a CUSIP number on any Bond nor any error with respect thereto shall constitute cause for a failure or refusal by the Purchasers thereof to accept delivery of and pay for the Bonds in accordance with the terms of the purchase agreement.

K. Delivery will be made on or after September 28, 1989, at First Security National Bank & Trust Company, Lexington, Kentucky, at no additional cost or expense to the Purchasers. The purchasers may

elect to require delivery through a depository trust corporation, provided the Purchasers agree to pay any additional expense in connection therewith, such expense to include shipping expense, insurance in transit and the fee of the depository trust corporation. In connection with the issuance of the Bonds, the Board will pay for the printing of the Bonds, which will contain the opinion of Bond Counsel.

L. The University may tender the Bonds in the form of a single fully registered temporary Bond to the Original Purchasers, in which event, such Original Purchasers, upon tender thereof to the Transfer Agent, will be issued fully registered Bond Certificates (in the denomination of $5,000 or any multiple of same within the same maturity) in such names as shall have been properly designated to the Bond Registrar.

M. It shall be the responsibility of the Original Purchasers to furnish or cause to be furnished to the Trustee at least five (5) business days prior to the date of delivery of the Bonds, a list of the names, addresses, social security numbers or taxpayer identification numbers, of each of the parties to whom the Bonds are to be registered and the principal amounts and maturities thereof. In the event of the failure to so furnish such list, the Bonds delivered to the Original Purchasers shall be registered in the name or names of such Original Purchasers or their designated representatives appearing as the first name on the successful Bid Form, or otherwise appropriately designated, and shall be issued in denominations corresponding to the principal amount of each respective maturity, as shall be determined by the Trustee-Registrar.

N. Upon wrongful refusal of the Purchasers to take delivery of and pay for the Bonds in Federal Funds when tendered for delivery, the amount of the good faith check shall be forfeited by such Purchasers, and such amount shall be deemed liquidated damages for such default, provided, however, if such Bonds are not ready for delivery and payment within forty-five (45) days from the date of sale herein provided for, said Purchasers shall be relieved of any liability to accept the Bonds hereunder.

O. Bidders are advised that Seasongood & Mayer, Cincinnati, Ohio, has been employed as Fiscal Agent in connection with the issuance of these Series K Bonds. Their fee for services rendered with respect to the sale of the Series K Bonds is contingent upon the issuance and delivery of the Series K Bonds.

They may submit a bid for the purchase of the Series K Bonds at the time of the advertised public sale of the Series K Bonds, either individually or as members of a syndicate organized to submit a bid for the purchase of the Series K Bonds.

P. If the successful bidder desires to obtain insurance guaranteeing the payment of the principal and/or interest on such Bonds, the University agrees that it will cooperate with such successful bidder in obtaining such insurance, but all of the expenses and charges in connection therewith shall be borne by such bidder, and the University shall not be liable to any extent therefor.

ADDITIONAL SALE PROVISIONS

If upon the basis of the foregoing, the Board shall accept a purchase bid for these $9,200,000 of Series K Bonds, the Board shall adopt a Resolution to that effect, supply proper evidence of such acceptance to the bidder submitting the accepted purchase bid, and thereafter the appropriate documents evidencing the sale of the Bonds and necessary for the delivery thereof shall be executed.

The Board will furnish to the Purchasers the customary No Litigation Certificate and the final, approving Legal Opinion of Rubin & Hays, Municipal Bond Attorneys, Louisville, Kentucky, without expense to the Purchasers.

SPECIAL TAX EXEMPTION CONSIDERATIONS

In order to assure purchasers of the Series K Bonds that interest thereon will continue to be exempt from all Federal and Kentucky income taxation (subject to certain exceptions set out below), the Board covenants to and with the owners of such Bonds that (1) the Board will take all actions necessary to comply with the provisions of the Internal Revenue Code of 1986, as amended (the "Code"), (2) the Board will take no actions which will violate any of the provisions of such Code, or would cause the Bonds to become "private activity bonds" within the meaning of the Code, (3) none of the proceeds of the Bonds will be used for any purpose which would cause the interest on the Bonds to become subject to Federal income taxation, and the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of certain investment earnings on the proceeds of the Series K Bonds.

The Board certifies that these Bonds are not "private activity bonds" within the meaning of the Code, and the Board has been advised by Bond Counsel and therefore believes that interest on the Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals. However, the Board has been advised that these Bonds are not "qualified

tax-exempt obligations" for bank investments and that banks are not permitted to deduct the interest cost attributable to the purchase of these Bonds.

The exemption from income taxation by the United States of America of interest on the Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), interest on the Series K Bonds is taken into account in determining adjusted net book income (adjusted current earnings for taxable years beginning after December 31, 1989).

2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series K Bonds, or, in the case of a financial institution, that portion of a holder's interest expense allocated to interest on the Series K Bonds.

3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series K Bonds.

4. For taxable years beginning before January 1, 1992, interest on the Series K Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.

5. Interest on the Series K Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.

6. Passive investment income, including interest on the Series K Bonds, may be subject to federal income taxation under Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.

7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series K Bonds.

The Board reserves the right to amend the Resolution authorizing these Series K Bonds without obtaining the consent of the owners of the Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series K Bonds shall be exempt from Federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of

the Series K Bonds) to eliminate or reduce any restrictions concerning the Project, the investment of the proceeds of the Series K Bonds, or the application of such proceeds or of the revenues of the Project. The purchasers of the Series K Bonds are deemed to have relied fully upon these covenants and undertakings on the part of the Board as part of the consideration for the purchase of the Series K Bonds. To the extent that the Board obtains an opinion of nationally recognized bond counsel to the effect that non-compliance with any of the covenants contained in this Resolution or referred to in this Resolution would not subject interest on the Series K Bonds to Federal income taxes or Kentucky income taxes, the Board shall not be required to comply with such covenants or requirements.

Bond Counsel will render the customary opinion as to exemption of principal of the Bonds from Kentucky ad valorem taxation and as to exemption of interest on the Bonds from Federal and Kentucky income taxation, based on the assumption by Bond Counsel that the Board complies with covenants made by the Board with respect to compliance with the provisions of the Code, subject to the exceptions set out above, and based on the assumption of compliance by the Board with requirements as to any required rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series K Bonds. Bond Counsel are of the opinion that, based on the foregoing assumption of compliance, the Bonds are not "arbitrage bonds" within the meaning of Section 148 of the Code.

Bond Counsel has reviewed the Official Statement with regard to all matters pertaining to the legality and tax exemption of the Series K Bonds, including statements concerning the Board and the purpose and security of the Series K Bonds; but Bond Counsel has not reviewed the financial data concerning the Board and the University in the Official Statement, and expresses no opinion thereon and assumes no responsibility in connection therewith.

Subject to the matters set out above, the Series K Bonds are offered for sale on the basis of the principal of said Bonds not being subject to Kentucky ad valorem taxation and on the basis of the interest on said Bonds not being subject to Federal or Kentucky income taxation on the date of their delivery to the Purchasers.

If, prior to the delivery of the Series K Bonds, any event shall occur which alters such tax-exempt status, the Purchasers shall have the privilege of voiding the purchase contract by giving immediate written notice to the Board, whereupon the amount of the good faith deposit of the Purchasers will be returned to the Purchasers, and all respective obligations of the parties will be terminated. The Board will accept a bid or reject all bids on the date stated at the beginning of this instrument and will immediately return all good faith checks of the respective unsuccessful bidders.

The Purchasers will be required to take delivery of the Bonds within 45 days from the date of the sale. However, it is contemplated that the Bonds will be delivered on a date during such period as may be designated by representatives of the Board, and the Purchasers will be required to accept delivery of and pay for the Bonds on any designated date within such 45 day period upon notice being given at least five (5) business days prior to the designated delivery date. (Signed) Henry Clay Owen, Treasurer, Board of Trustees, University of Kentucky, Lexington, Kentucky 40506.

OFFICIAL BID FORM

$9,200,000
UNIVERSITY OF KENTUCKY
CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES K
DATED SEPTEMBER 1, 1989

To the Honorable Chairman and Members of
The Board of Trustees of the University of Kentucky
c/o Mr. Henry Clay Owen
University Controller and Treasurer
369 Peterson Service Building
Lexington, Kentucky 40506

Ladies and Gentlemen:

Pursuant to your "Notice of Sale of Bonds" as published in THE COURIER-JOURNAL of Louisville, Kentucky, and in THE BOND BUYER, New York, New York, and the "Official Terms and Conditions of Sale of Bonds," and the "Preliminary Official Statement" referred to therein, by our submission of this Bid, we evidence our agreement to the terms and conditions of your sale as represented therein.

We hereby bid for said $9,200,000 principal amount of Bonds the sum of $_____ (not less than $9,016,000), plus accrued interest from September 1, 1989, to the date of delivery, at the following annual interest rates:

SCHEDULE OF PRINCIPAL AMOUNTS AND INTEREST RATES

Maturing May 1	Maturity Amount	Rate	Maturing May 1	Maturity Amount	Rate
1991	$225,000	_____%	2001	$440,000	_____%
1992	240,000	_____%	2002	470,000	_____%
1993	255,000	_____%	2003	505,000	_____%
1994	275,000	_____%	2004	540,000	_____%
1995	290,000	_____%	2005	580,000	_____%
1996	310,000	_____%	2006	620,000	_____%
1997	335,000	_____%	2007	665,000	_____%
1998	355,000	_____%	2008	715,000	_____%
1999	380,000	_____%	2009	765,000	_____%
2000	410,000	_____%	2010	825,000	_____%

A certified or bank cashier's check in the amount of $92,000, payable to the Treasurer of the University of Kentucky, is enclosed in accordance with the Notice of Sale of Bonds and the Official Terms, with the understanding that if we are the successful bidder, said check is to be immediately deposited by the University and credited (without interest) against the purchase price at the time of delivery of the Bonds. If we are the

successful bidder, we agree to accept and make payment for the Bonds in accordance with the terms of the sale.

Respectfully submitted,

_____

(a)	Total interest cost from date of Bonds to final maturity	$_____
(b)	Plus discount (or less premium)	$_____
(c)	Net interest cost	$_____
(d)	Net interest rate	_____%
(e)	True interest cost	_____%

The above computations of net interest cost and true interest cost are submitted for information only and is not a part of this bid.

Accepted this 19th day of September, 1989.

BOARD OF TRUSTEES OF
UNIVERSITY OF KENTUCKY

Attest: By_____
Chairman

_____
Secretary

Office of the President
June 20, 1989

FCR 10

Members, Board of Trustees:

A RESOLUTION AUTHORIZING THE ISSUANCE OF $24,530,000 OF UNIVERSITY OF KENTUCKY COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES G, DATED SEPTEMBER 1, 1989, OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY

Recommendation: that the Board of Trustees approve a Resolution authorizing the issuance of $24,530,000 of University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series G, dated September 1, 1989.

The Resolution authorizes the issuance of $24,530,000 of Series G Bonds for the purpose of financing certain educational buildings constituting the Series G Project upon properties of the University in Floyd, Boyd, McCracken, Christian, Pulaski and Floyd Counties in the Commonwealth of Kentucky, which buildings will, when completed, become part of the Community Colleges Educational Buildings Project of the University (the "Project").

The Resolution also approves a Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds and Bid Form, all contemplating that the Bonds be sold on the advice of the Fiscal Agent, Seasongood & Mayer, in the month of August on a date to be selected.

Background: The University presently has outstanding six series of Community Colleges Educational Buildings Project Revenue Bonds, Series A through F (including the Series D Refunding Bonds sold in the fall of 1986, but excluding the original Series D Bonds which were defeased and advance refunded by such Refunding Bonds), all secured by a pledge of the Revenues (registration fees) and by a statutory mortgage lien against all of the buildings of the Project. These Series G Bonds are issued for the purpose of (a) financing the Series G Project identified above, (b) the funding of the Required Debt Service Reserve, and (b) the cost of issuance of the Series G Bonds. The Bond Resolution approves the appointment of the Commerce National Bank (formerly known as The Second National Bank), Lexington, Kentucky, as Trustee, Bond Registrar, Transfer Agent, Payee Bank and Depository Bank, with respect to these Bonds, provides for the execution of the appropriate parity certification establishing that the "coverage" of projected income of the Project over debt service requirements will meet the 1.25 test necessary to establish that the Series G Bonds will rank on a parity with the outstanding Series A through F Bonds.

Action: Approved ✓ Disapproved _____ Other _____

Dated: June 20, 1989

June 20, 1989

SERIES G PROJECT

Ashland: Academic/Learning Resource Center	$ 4,300,000
Paducah: Allied Health Building	5,350,500
Hopkinsville: Auditorium	3,400,000
Somerset: Fine Arts/Community Center	4,800,000
Prestonsburg: Science Building	3,100,000
Southeast: Falkenstein Renovation	275,000
Southeast: Newman Hall Renovation	350,000
	21,575,500
Bond Discount (@ 2%)	490,600
Issuance Costs	81,883
Debt Service Reserve	2,382,017
	$24,530,000

RESOLUTION AUTHORIZING THE ISSUANCE

OF

$24,530,000 OF

UNIVERSITY OF KENTUCKY COMMUNITY COLLEGES
EDUCATIONAL BUILDINGS REVENUE BONDS,
SERIES G,
DATED SEPTEMBER 1, 1989,

OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY

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RESOLUTION

A RESOLUTION AUTHORIZING THE ISSUANCE OF $24,530,000 OF UNIVERSITY OF KENTUCKY COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES G, DATED SEPTEMBER 1, 1989, OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY.

WHEREAS, the Board of Trustees of the University of Kentucky, by Resolution entitled:

> A RESOLUTION creating and establishing a Community Colleges Educational Buildings Project of the University of Kentucky; creating and establishing a new issue of Community Colleges Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky; providing for the issuance from time to time of said bonds; providing for the payment of the principal of and interest on said bonds and repealing all resolutions or parts of resolutions in conflict with this resolution,

adopted May 6, 1969, has created and established an issue of Community Colleges Educational Buildings Revenue Bonds (the "Bonds") of the Board of Trustees of the University of Kentucky; and

WHEREAS, the Board of Trustees adopted a supplemental clarifying Resolution on May 25, 1970 (said Resolutions adopted on May 6, 1969, and on May 25, 1970, being hereinafter jointly referred to as the "Basic Resolution"); and

WHEREAS, the Basic Resolution authorizes the issuance by said Board of said Bonds in one or more Series pursuant to a new resolution authorizing each such Series; and

WHEREAS, the Board has previously authorized, sold, issued and has outstanding six prior issues of Bonds designated "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series A, Series B, Series C, Series D (Second Series), Series E and Series F," the Series D Bonds (Second Series) having been issued for the purpose of advance refunding and defeasing the original Series D Bonds (hereafter the Series A, B, C, D [Second Series], E and F Bonds will be referred to as the Bonds of Series A through F, with the original Series D Bonds being treated as no longer outstanding); and has determined that the Board is in compliance with the conditions set forth in Section 7.10 of the Basic Resolution relative to the issuance of the Series G Bonds (authorized herein) on a basis of parity and equality as to security and source of payment with such outstanding Bonds of Series A through F, inclusive; and

WHEREAS, the Board has determined that it is in the best interests of the Board to authorize at this time an additional series of Bonds to be designated "University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series G," ranking on a parity with said Series A through F Bonds,

NOW, THEREFORE, the Board of Trustees of the University of Kentucky hereby resolves as follows:

ARTICLE I
DEFINITIONS AND AUTHORITY

Section 1.1. Series G Resolution. This Resolution (the "Series G Resolution") is adopted in accordance with Article II, Section 2.03, and ARTICLE VII, Section 7.10 of the Basic Resolution.

Section 1.2. Definitions.

A. All terms which are defined in Article I of the Basic Resolution shall have the same meanings, respectively, in this Series G Resolution as such terms are given in said Article of the Basic Resolution.

B. In this Series G Resolution, unless the context shall indicate otherwise, the following terms shall have the following respective meanings:

"ALL BONDS" refers to all bonds outstanding against and payable from the revenues of the Project, including (a) the Series A through F Bonds, including the Series D (Second Series) Bonds dated October 1, 1986, and excluding the Series D Bonds dated December 1, 1982, which have been fully defeased, (b) the Series G Bonds, and (c) any and all future bonds ranking on a parity therewith.

"BASIC RESOLUTION" or "RESOLUTION" refers to the original Resolution adopted on May 6, 1969, and the supplemental (clarifying) Resolution adopted on May 25, 1970, creating and establishing the Community Colleges Educational Buildings Project and creating, establishing, and authorizing the issuance of Community Colleges Educational Buildings Revenue Bonds of the Board of Trustees of the University of Kentucky.

"BOARD" refers to the Board of Trustees of the University of Kentucky, and shall include the Executive Committee of the Board acting pursuant to authorization of and/or with ratification by said Board of Trustees.

"BOND REGISTER" means the books and records maintained by the Bond Registrar as to the registered ownership and transfers of ownership of the Series G Bonds, from time to time.

"BOND REGISTRAR," "REGISTRAR," "PAYEE BANK" or "TRANSFER AGENT" refers to the Commerce National Bank (formerly known as the

Second National Bank), Lexington, Kentucky, which shall constitute the Bond Registrar, Transfer Agent and Payee Bank with respect to the Series G Bonds authorized herein, which Bank shall have the duties and responsibilities of (a) issuing semiannual checks in payment of interest requirements as to the Series G Bonds, (b) payment of the principal as same matures upon surrender of the Series G Bonds, (c) authenticating, issuing and delivering the Series G Bonds to the Original Purchasers of same in accordance with the sale of the Series G Bonds, at the direction of the Board, (d) maintaining the Bond Register, (e) handling exchanges, cancellations, reissuance, redemption, and all appurtenant duties of a Bond Registrar and Transfer Agent with respect to the Series G Bonds, as hereinafter set out, and (f) serving as depository of all funds in connection with the Series G Bonds and all outstanding Series A, B, C, D (Second Series), E and F Bonds.

"CODE" refers to the Internal Revenue Code of 1986, as amended.

"DEBT SERVICE RESERVE" refers to the portion of the Bond Fund in excess of the aggregate principal, interest, and Bond Fund Charges of All Bonds for the ensuing year, which excess portion shall constitute the "Debt Service Reserve" created and provided for in Section 405(3) of the Basic Resolution.

"FINANCE CABINET" refers to the Finance and Administration Cabinet of the Commonwealth of Kentucky or its successor by whatever name designated.

"FISCAL AGENT" refers to Seasongood & Mayer, 300 Mercantile Library Building, 414 Walnut Street, Cincinnati, Ohio 45202-3910.

"INTEREST PAYMENT DATE" refers to May 1 and November 1 of each year, commencing May 1, 1990.

"ORIGINAL PURCHASERS" or "PURCHASERS" refers to the original purchasers of the Series G Bonds at the public sale, including all members of the approved purchasing syndicate or group.

"PROJECT" refers to the University of Kentucky Community Colleges Educational Buildings Project, consisting of all existing educational buildings and necessary appurtenances and all such buildings hereafter erected on property owned by the University at a Community College in Kentucky Counties other than Fayette County, excluding housing facilities and facilities used exclusively for athletics. Such term includes all facilities financed in whole or in part by the previous Project Revenue Bonds, Series A through F.

"RECORD DATE" shall mean with respect to any Interest Payment Date, the close of business on April 15 or October 15, as the case may be, next preceding such Interest Payment Date, whether or not such April 15 or October 15 is a business day.

"REQUIRED CURRENT DEBT SERVICE RESERVE ACCUMULATION" refers to the amount required at any given time to have been accumulated toward the goal of the "Required Debt Service Reserve," based on All Bonds outstanding, including the additional amounts required to have been deposited in each year to date (and scheduled to continue for a period of four years) in such Reserve as a result of the issuance of one or more additional Series of Bonds, until the total Required Debt Service Reserve is accumulated.

"REQUIRED DEBT SERVICE RESERVE" refers to the amount required to be accumulated and maintained in the Debt Service Reserve portion of the Bond Fund, which required amount is an amount equal to 100% of maximum Aggregate Principal, Interest and Bond Fund Charges falling due on All Bonds in any twelve month period.

"SECRETARY", "SECRETARY OF FINANCE CABINET," or "SECRETARY OF THE CABINET" refers to the Secretary of the Finance and Administration Cabinet of the Commonwealth of Kentucky, and each and every officer of the Commonwealth who may hereafter be authorized to exercise powers and authority similar to those vested by law in said Secretary; and each and every Deputy Secretary or other similar officer authorized by law to exercise the powers and authority presently vested by law in the Secretary.

"SERIES G BONDS" or "THESE BONDS" shall mean the $24,530,000 principal amount of Series G Bonds authorized by Article II of this Series G Resolution.

"SERIES G PROJECT" refers to the Ashland Academic/Learning Resource Center, the Paducah Allied Health Building, the Hopkinsville Auditorium, the Somerset Fine Arts/Community Center, the Prestonsburg Science Building, the Southeast Falkenstein Hall Renovation, and the Southeast Newman Hall Renovation.

"SERIES G RESOLUTION" or "RESOLUTION" refers to this Resolution authorizing the Series G Bonds.

"TRUSTEE" or "TRUSTEE-REGISTRAR" refers to the Commerce National Bank, Lexington, Kentucky (formerly known as the Second National Bank, Lexington, Kentucky).

Section 1.3. Statutory Authority. This Series G Resolution is adopted pursuant to the provisions of Section 162.340, et seq., 164.595, and 58.010 through 58.140 of the Kentucky Revised Statutes (the "Enabling Acts") and Article II, Section 2.03 and Article VII, Section 7.10 of the Basic Resolution.

ARTICLE II
AUTHORIZATION OF SERIES G BONDS

Section 2.1. Series G Bonds Authorized. Pursuant to the provisions of the Basic Resolution, there is hereby authorized to be issued by the Board of Trustees of the University of Kentucky, in its corporate capacity, a seventh (excluding the original Series D Bonds which have been defeased) Series of Bonds in the aggregate principal amount of TWENTY-FOUR MILLION FIVE HUNDRED THIRTY THOUSAND DOLLARS ($24,530,000). Such Bonds shall be designated "University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series G." Said Series G Bonds are hereby declared to have been authorized under the Basic Resolution and in conformity with the provisions of Section 7.10 of the Basic Resolution.

Section 2.2. Purpose of Issue. Said Series G Bonds are being issued for the purpose of (a) paying the costs (to the extent not otherwise provided from other funds, including projected investment income from the investment of the net proceeds of the Series G Bonds until expended) of establishing and constructing the Series G Project, as defined herein, upon properties of the University in Boyd County, McCracken County, Christian County, Pulaski County, and Floyd County, in the Commonwealth of Kentucky, which Series G Project will, when constructed and established, become and constitute part of the Community Colleges Educational Buildings Project of said University, (b) funding the Required Debt Service Reserve, and (c) paying the cost of issuance of said Series G Bonds.

Section 2.3. Date and Maturities of Bonds. Except as set out in Section 2.5 hereof, the Series G Bonds shall be dated September 1, 1989, and bear interest payable on each Interest Payment Date (May 1 and November 1 of each year), commencing May 1, 1990. The Series G Bonds shall be numbered consecutively from R-1 upward, or in such other manner as shall be determined by the Trustee-Registrar, and shall be scheduled to become due and payable on May 1 of the respective years, as follows:

Maturity Date May 1,	Principal Maturity	Maturity Date May 1,	Principal Maturity
1991	$ 590,000	2001	$1,165,000
1992	635,000	2002	1,250,000
1993	675,000	2003	1,340,000
1994	725,000	2004	1,440,000
1995	775,000	2005	1,545,000
1996	825,000	2006	1,660,000
1997	885,000	2007	1,780,000
1998	950,000	2008	1,915,000
1999	1,015,000	2009	2,060,000
2000	1,090,000	2010	2,210,000

Section 2.4. Series G Bonds to be Issued as Fully Registered Bonds. The Series G Bonds shall be issued only in fully

registered form, without coupons, in the denomination of $5,000 or any authorized multiple thereof within a single maturity.

Section 2.5. Interest Payment Dates. Each Series G Bond shall bear interest from the Interest Payment Date to which interest has been paid next preceding the date on which such Series G Bond is authenticated by the Registrar, unless such Series G Bond is authenticated on an Interest Payment Date to which interest has been paid, in which event it shall bear interest from such date, or if such Series G Bond is authenticated prior to the first Interest Payment Date, such Series G Bonds shall bear interest from September 1, 1989. Interest on the Series G Bonds shall be payable commencing May 1, 1990, and on each November 1 and May 1 thereafter to maturity or earlier redemption.

Section 2.6. Registered Owners. The person in whose name any Bond is registered on the Bond Register at the Record Date with respect to an Interest Payment Date shall be entitled to receive the interest payable on such Interest Payment Date (unless such Bond shall have been called for redemption on a redemption date which is prior to such Interest Payment Date) notwithstanding the cancellation of such Bond upon any registration of transfer or exchange thereof subsequent to such Record Date and prior to such Interest Payment Date, except in the event of default.

Section 2.7. Payment of Interest and Principal of Bonds. The principal of (redemption price, if redeemed prior to maturity) and interest on the Series G Bonds shall be payable in lawful money of the United States of America as same respectively become due. The principal of each Series G Bond is payable upon surrender of same at the main office of the Payee Bank and Bond Registrar. Interest on the Series G Bonds shall be paid by check mailed by the Payee Bank on or before each Interest Payment Date to the owners of the Bonds shown on the Bond Register as of the end of business on the Record Date, at the respective addresses appearing on the Bond Register.

So long as any of the Series G Bonds remain outstanding, the Registrar shall keep at its principal office a Bond Register showing and recording a register of the owners of the Series G Bonds and shall provide for the registration and transfer of such Bonds in accordance with the terms of this Resolution, subject to such reasonable regulations as the Registrar may prescribe.

Section 2.8. Execution of Bonds; Authentication by Trustee-Registrar; Transferability; Temporary Bond. The Series G Bonds shall be executed on behalf of the Board with the reproduced facsimile signature of the Chairman of the Board, and the facsimile of the Corporate Seal of the Board shall be imprinted thereon and attested by the reproduced facsimile signature of the Secretary of the Board; and said officials, by the execution of appropriate certifications, shall adopt as and for their own proper signatures, their respective facsimile signatures on said Bonds; provided the Authentication Certificate of Trustee on each printed Bond must be

executed by the manual signature of the Trustee (not necessarily the same authorized officer of the Trustee on each Bond) on each Bond before such Bond shall be valid, as set out in Section 2.13 below.

Pending the preparation of definitive Bonds, the Series G Bonds may be delivered in the form of one or more temporary Bonds, which may be printed, lithographed, typewritten, mimeographed or otherwise reproduced, in any denomination, substantially of the tenor of the definitive Bonds in lieu of which they are delivered, in registered form, and with such appropriate insertions, omissions, substitutions and other appropriate and necessary variations as the Board may determine, as evidenced by the execution of such temporary Bonds.

Until exchanged for Series G Bonds in definitive form, such temporary Bonds shall be entitled to the benefit and security of this Resolution. The Board shall, without unreasonable delay, cause to have prepared, executed and delivered printed Bonds to the Original Purchasers and/or their designees, and thereupon, upon the presentation and surrender of the temporary Bonds, such printed Bonds shall be delivered to the Original Purchasers and/or their designees in exchange therefor. Such exchange shall be made without the making of any charge therefor to any owner of the Series G Bonds.

Arrangements shall be made for the printing of sufficient Bond Certificates to enable the Trustee-Registrar to maintain an adequate reserve supply of such Bond Certificates as same are sold, exchanged, and/or otherwise surrendered in the future. Notwithstanding anything contained herein, the Trustee-Registrar shall have the right to order the preparation or printing of additional Bond Certificates which, in the sole discretion of the Trustee-Registrar, shall be necessary to maintain adequate reserves of such Bond Certificates to properly effect the continuing transfers of ownership of Bond Certificates. No further action regarding the authorization of additional Bond Certificates shall be required by the Board, and the expenses incidental thereto shall be borne by the Board as additional annual requirements of the Series G Bonds, the same as interest thereon.

At least five business days prior to the payment of the purchase price for the Bonds (the "Closing Date"), each of the Original Purchasers shall furnish to the Registrar the name, address, social security number or taxpayer identification number of each party to whom the Bonds shall have been resold and in whose name the respective Bonds are to be registered, and the principal amounts and maturities thereof. The Trustee-Registrar shall then issue and deliver to each respective Purchaser on the Closing Date, fully registered printed Bond Certificates for each registered owner so designated, in substantially the same form as that set out in Section 2.14 hereof.

All of the Series G Bonds shall be exchangeable and transferable upon the presentation and surrender thereof at the office of the Trustee-Registrar, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner and/or his authorized representative, for a Bond or Bonds of the same maturity and interest rate and in the denomination of $5,000 and/or a multiple thereof within a single maturity, in an aggregate principal amount or amounts equal to the unpaid principal amount of the Bond and/or Bonds presented for exchange. The Trustee-Registrar shall be and is hereby authorized to (authenticate and) deliver the exchange Bond in accordance with the provisions of this Section. Each exchange Bond delivered in accordance with this Section shall constitute an original contractual obligation of the Board and shall be entitled to the benefit and security of this Resolution to the same extent as the Bond or Bonds in lieu of which such exchange Bond is delivered.

In the event of non-payment of interest or of one or more principal maturities on a scheduled Interest Payment Date, and for 30 days thereafter, a new record date for such interest payment or for such maturity or maturities ("Special Record Date") will be established by the Trustee-Registrar if and when funds for the payment of such interest shall have been received from the Board. Notice of the Special Record Date and of the Special Payment Date of the Past Due Interest Date shall be sent at least five business days prior to the Special Record Date by United States mail, first class postage prepaid, to the address of each owner of the Bond, as shown on the Bond Register, of such maturity or maturities appearing on the books of the Trustee-Registrar at the close of business on the last business day next preceding the date of mailing of such notice.

Section 2.9. Provisions as to Prior Redemption.

(a) Redemption Prior to Maturity. The Series G Bonds maturing on and after May 1, 2000, shall be subject to redemption prior to maturity, at the option of the Board, on any Interest Payment Date on and after May 1, 1999, in inverse order of maturities and by lot within a single maturity, at the following redemption prices (expressed as a percentage of principal amount) plus accrued interest to the date of redemption, as follows:

Redemption Dates, Inclusive	Redemption Price
May 1, 1999 through November 1, 1999	102%
May 1, 2000 through November 1, 2000	101½%
May 1, 2001 through November 1, 2001	101%
May 1, 2002 through November 1, 2002	100½%
May 1, 2003 and thereafter to maturity	100%

(b) Redemption of Less Than All of a Single Bond. In the event that a Bond subject to redemption is in a denomination larger than $5,000, a portion of such Bond may be redeemed, but only in a principal amount equal to $5,000 or any integral multiple

thereof, if the Bond is one of the maturities or amounts or part of the maturities or amounts called for redemption. Upon surrender of any Bond for redemption in part, the Trustee-Registrar shall (authenticate and) deliver an exchange Bond or Bonds in an aggregate principal amount equal to the unredeemed portion of the Bond so surrendered.

(c) Notice of Redemption. The Trustee-Registrar shall give notice of any redemption by sending at least one such notice by United States mail, first class, postage prepaid, not less than 30 and not more than 60 days prior to the date fixed for redemption to the registered owner of each Series G Bond to be redeemed in whole or in part, at the address shown on the Bond Register as of the date of mailing of such notice. The Trustee-Registrar may furnish one other form of such notice more than 60 days prior to the date fixed for redemption, provided at least one such notice shall be sent not less than 30 nor more than 60 days prior to such date. Such notice shall state the redemption date, the Redemption Price, the accrued interest payable on the redemption date, the place at which the Bonds are to be surrendered for payment, and, if less than all of the Bonds outstanding are to be redeemed, an identification of the Bonds or portions thereof to be redeemed. Any notice mailed as provided in this Section shall be conclusively presumed to have been duly given, whether or not the Bondowner receives such notice.

Prior to each redemption date, the Trustee-Registrar shall make provision, to the extent funds are then available therefor, for the payment of the redemption price of the Bonds to be redeemed on such date by setting aside and holding in trust an amount sufficient to pay such redemption price. Upon presentation and surrender of any such Bond at the main corporate trust office of the Trustee-Registrar on or after the date fixed for redemption, the Trustee-Registrar shall pay the redemption price on such Bond (including accrued interest) from the funds set aside for such purpose.

All of said Bonds as to which the Board reserves and exercises the right of redemption and as to which notice as aforesaid shall have been given, and for the retirement of which, upon the terms aforesaid, funds are duly provided, will cease to bear interest on the redemption date.

The required notice shall be deemed to have been given upon the Board furnishing notice of redemption to the Trustee-Registrar and upon the Trustee-Registrar acknowledging that it has instructions to send such notice and that it will do so at the proper time, even if the time for furnishing such notice has not yet arrived.

Section 2.10. Transferability. Title to any Series G Bond shall be transferable only upon the presentation and surrender of same at the main office of the Trustee-Registrar, duly endorsed for transfer or accompanied by an assignment duly executed by the

registered owner or his authorized representative, such transfer to be made on such books and endorsed on the Bond by the Trustee-Registrar.

Section 2.11. Designation of Trustee, Bond Registrar, Transfer Agent and Payee Bank. For the purpose of securing the payment of both the principal of and interest on all of the Series G Bonds, and to secure for the benefit of all the owners thereof the faithful performance of the covenants and provisions contained in the Resolution in the manner and to the extent permitted and provided in this Series G Resolution and in Section 162.340, et seq., 164.595, and 58.010 through 58.140 of the Kentucky Revised Statutes, the Commerce National Bank, in the City of Lexington, Kentucky, is hereby designated as Trustee, Bond Registrar, Transfer Agent, and Payee Bank under the Basic Resolution (except that Liberty National Bank and Trust Company of Louisville, Louisville, Kentucky, has heretofore been designated as Registrar, Payee Bank and Transfer Agent with respect to the Series E Bonds), and this Series G Resolution for the owners of all of said Series G Bonds, with the powers and duties set forth in the Basic Resolution and in this Series G Resolution and with no liability in connection with any action or omission to act under the Basic Resolution or under this Series G Resolution except for its own negligence or willful breach of trust. Execution of the Authentication Certificate of the Trustee-Registrar on the respective Series G Bonds, shall conclusively establish the acceptance by the Trustee-Registrar of the trusts and provisions with respect thereto as set forth in this Resolution. Acceptance of the duties of Trustee-Registrar shall also be evidenced by the execution of the appropriate form of Acceptance immediately following the end of this Series G Resolution.

Section 2.12. Security for Series G Bonds; Statutory Mortgage Lien; Revenue Pledge. For the protection of the holders and owners of the Bonds secured by the Basic Resolution and this Resolution, a statutory mortgage lien upon the Project and properties connected therewith and belonging thereto, on a parity with All Bonds, is granted and created by and pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, which said statutory mortgage lien is hereby recognized and declared to be valid and binding as provided by law, and shall take effect immediately upon the delivery of any and all of the Series G Bonds, ranking on a parity with the outstanding Series A, B, C, D (Second Series), E and F Bonds.

All of the Series G Bonds are payable only from the Gross Revenues derived from the operation of the Project, ranking on a parity with the outstanding Series A, B, C, D (Second Series), E and F Bonds, and the Series G Bonds shall not and do not constitute an indebtedness of the University of Kentucky or of its Board of Trustees or of the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

Section 2.13. Authentication of Bonds. No Series G Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit of this Resolution unless and until such Bond has been duly authenticated by the Trustee-Registrar by the execution of the Authentication Certificate of Trustee-Registrar appearing on such Bond. Such Certificate appearing on any Bond shall be deemed to have been duly executed by the Trustee-Registrar if manually signed by an authorized officer of the Trustee-Registrar. It shall not be required that the same officer of the Trustee-Registrar sign such Certificate on all of the Series G Bonds.

Section 2.14. Form of Bonds. The aforesaid authorized issue of Series G Bonds shall be in substantially the following form:

UNITED STATES OF AMERICA
COMMONWEALTH OF KENTUCKY
UNIVERSITY OF KENTUCKY
COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BOND
SERIES G

NUMBER R-_____ PRINCIPAL AMOUNT:
(DOLLARS)

DATE OF ORIGINAL ISSUE: INTEREST RATE: CUSIP:

September 1, 1989

REGISTERED OWNER:

PRINCIPAL AMOUNT:

The Board of Trustees of the University of Kentucky (the "Board"), a body corporate, as an educational institution and agency and a political subdivision of the Commonwealth of Kentucky, for value received, hereby promises to pay to the Registered Owner, identified above, or his or its registered assigns, solely from the special fund hereinafter identified, upon presentation and surrender of this Bond, the Principal Amount set out above on the Maturity Date specified above and to pay interest on said sum at the per annum Interest Rate specified above, from the interest payment date to which interest has been paid next preceding the date on which this Bond is authenticated, unless this Bond is authenticated on an interest payment date to which interest has been paid, in which event this Bond shall bear interest from such date, or if this Bond is authenticated prior to the first interest payment date, this Bond shall bear interest from the date of original issue set out above, commencing May 1, 1990, and semi-annually thereafter on November 1 and May 1 of each year until payment of the Principal Amount, except as the provisions hereinafter set forth with regard to redemption prior to maturity may be and become applicable. The principal of and redemption price, if

any, on this Bond are payable in lawful money of the United States of America at the main office of the Commerce National Bank, Lexington, Kentucky (the "Trustee," "Bond Registrar," and "Payee Bank"). All interest on this Bond shall be payable by check or draft mailed by the Trustee-Registrar to the registered owner hereof at the address shown on the registration books kept by the Trustee.

This Bond is one of a duly authorized issue of $24,530,000 principal amount of Bonds (said Bonds being hereinafter sometimes collectively referred to as "the Bonds," "these Bonds," or "the Series G Bonds"), issued in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including Sections 162.340 to 162.380, 164.595, and 58.010 through 58.140 of the Kentucky Revised Statutes, now in full force and effect, and under and pursuant to the Resolution adopted by the Board on May 6, 1969, as supplemented by a Resolution adopted on May 25, 1970 (hereinafter collectively referred to as the "Basic Resolution"), and the Resolution adopted on June 20, 1989, authorizing the Series G Bonds (the "Series G Resolution"), issuable as fully registered Bonds in the denominations of $5,000 and any authorized multiple thereof within the same maturity, for the purpose of financing (a) the costs (to the extent not otherwise provided) of establishing and erecting certain educational buildings and necessary appurtenances (the "Series G Project"), upon the property of the University in Boyd County, McCracken County, Christian County, Pulaski County, and Floyd County, in the Commonwealth of Kentucky, which Series G Project buildings and appurtenant facilities will, when erected and acquired, become part of the Community Colleges Educational Buildings Project of said University as defined in the Basic Resolution (the "Project"), (b) the amount necessary to fully fund the Required Debt Service Reserve, and (c) the cost of issuance of the Series G Bonds. Copies of the Basic Resolution and the Series G Resolution are on file at the office of the Trustee.

As provided in the Series G Resolution, these Series G Bonds, together with the outstanding Bonds of Series A, B, C, D (Second Series), E and F, and any additional parity bonds which may hereafter be issued and outstanding under the terms of the Basic Resolution and subsequent Series Resolutions (hereinafter all references to said outstanding Bonds of Series A through F and any additional parity bonds shall be collectively referred to as "All Bonds"), are and will be payable from and constitute a first charge and lien upon the Revenues (as defined in the Basic Resolution) to be derived by the University from the operation of its Community Colleges Educational Buildings Project. The fee imposed upon and collected from all students enrolled in the Community Colleges as an incident to registration at the beginning of each semester of the regular academic year and each summer session, including fees imposed for part-time students, night school and extension courses, is designated as the source of Revenues of the Project. Such fees are known as the Student Registration Fees, and the Board has covenanted on behalf of the University that such fees will be fixed (and, if necessary, revised and increased from time to time) at

such rates as may be required to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves therefor, and to pay the operating costs of the Project to the extent that such costs are not otherwise provided. All collections of the Student Registration Fees are to be set aside, as received, into the Community Colleges Educational Buildings Project Revenue Fund (the "Revenue Fund") and are made subject to a first pledge, lien, and charge for the security and source of payment of All Bonds. The Basic Resolution provides for the creation of a special account designated "Community Colleges Educational Buildings Project Bond and Interest Sinking Fund" (the "Bond Fund") and for the deposit from the Revenue Fund to the credit of the Bond Fund of a fixed amount of the gross Revenues of the Project sufficient to pay interest on All Bonds as the same become due, to pay or retire the principal of All Bonds at or prior to the maturity thereof, and to provide a reserve for such purpose, which Bond Fund is pledged to and charged with the payment of said principal and interest. The aggregate principal amount of Bonds which may be issued under the Basic Resolution is not limited except as provided in such Basic Resolution, and all Bonds issued and to be issued under the Basic Resolution are and will be equally secured by the pledges and covenants made therein, except as otherwise expressly provided or permitted in the Basic Resolution.

As further security for these Series G Bonds, a statutory mortgage lien, which is hereby recognized as valid and binding on the Project and properties connected therewith and belonging thereto, on a parity with All Bonds, is created and granted to and in favor of the owner(s) of these Series G Bonds, pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, which said statutory mortgage lien shall take effect immediately upon the delivery of any and all of the Series G Bonds, and the Project shall remain subject to such statutory mortgage lien until the payment in full of the principal of and interest on All Bonds, including the Series G Bonds.

All Bonds as may be outstanding from time to time are payable only from the gross Revenues derived from the operation of the Project, a sufficient amount of which revenues will be annually set aside into the Bond Fund, and this Bond does not constitute an indebtedness of the University of Kentucky, its Board, or the Commonwealth of Kentucky within the meaning of any provisions or limitations of the Constitution of the Commonwealth of Kentucky.

This Bond is transferable by the registered owner hereof in person or by his attorney duly authorized in writing at the main office of the Trustee-Registrar, but only in the manner, subject to the limitations provided in the Series G Resolution, and upon surrender and cancellation of this Bond, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner or his authorized representative. Upon such transfer being effected a new fully registered Bond or Bonds of the same series and the same maturity, of authorized denomination, for the same

aggregate principal amount, and the same interest rate, will be issued to the transferee in exchange therefor.

The Board and the Trustee-Registrar may deem and treat the registered owner hereof as the absolute owner hereof for the purpose of receiving payment of or on account of principal hereof and redemption price, if any, hereon, and interest due hereon, and for all other purposes, and neither the Board nor the Trustee-Registrar shall be affected by any notice to the contrary.

The owner of this Bond shall have no right to enforce the provisions of the Basic Resolution or the Series G Resolution, to institute action to enforce the covenants therein, to take any action with respect to any default under such Resolutions, or to institute, appear in, or defend any suit or other proceedings with respect thereto, except as provided in such Resolutions. The Basic Resolution provides for fixing, charging, and collecting fees for the services of the Project, which fees will be sufficient to pay the principal of and interest on All Bonds as the same become due, to provide a reserve for such purpose, and also to pay the cost of operation and maintenance of the Project to the extent that same are not otherwise provided.

Reference is made to such Basic Resolution and Series G Resolution (a) pursuant to which the Commerce National Bank, in the City of Lexington, Kentucky, has been designated as Trustee and Bond Registrar, Payee Bank, and Transfer Agent for the owners of the Series G Bonds, (b) for the provisions, among others, with respect to the custody and application of the proceeds of the Series G Bonds, (c) the rights, duties, and obligations of the Board and of the Trustee, and (d) the rights of the owners of these Series G Bonds; and by the acceptance of this Bond, the registered owner hereof assents to all of the provisions of said resolutions.

The Series G Bonds maturing on or after May 1, 2000, are redeemable at the option of the University on or after May 1, 1999, in whole or in part, and if in part, in inverse order of maturities (less than all of a single maturity to be selected in such manner as the Trustee may determine), at redemption prices expressed in percentages of the principal amount with respect to each Bond as set forth below, plus in each case accrued interest to the date of redemption:

Redemption Dates, Inclusive	Redemption Price
May 1, 1999 through November 1, 1999	102%
May 1, 2000 through November 1, 2000	101½%
May 1, 2001 through November 1, 2001	101%
May 1, 2002 through November 1, 2002	100½%
May 1, 2003 and thereafter to maturity	100%

In the event that a Bond subject to redemption is in a denomination larger than $5,000, a portion of such Bond may be redeemed, but only in a principal amount equal to $5,000 or an

integral multiple thereof. Upon surrender of any Bond for redemption in part, the Trustee-Registrar, in accordance with the Series G Resolution, shall authenticate and deliver an exchange Bond or Bonds in an aggregate principal amount equal to the unredeemed portion of the Bond so surrendered.

The Trustee-Registrar shall give notice of any redemption by sending such notice by United States mail, first class, postage prepaid, not less than 30 and not more than 60 days prior to the date fixed for redemption, to the registered owner of this Bond at the address shown on the Bond Register as of the date of mailing of such notice.

This Bond shall not be valid or become obligatory for any purpose, or be entitled to any security or benefit under the Series G Resolution, until it shall have been authenticated by the execution by the Trustee-Registrar of the Authentication Certificate of Trustee-Registrar hereon endorsed.

This Bond is exempt from taxation (except inheritance taxes) by the Commonwealth of Kentucky and all of its political subdivisions.

It is hereby certified, recited and declared that all acts, conditions, and things required to exist, to happen, and to be performed precedent to and in the issuance of this Bond, do exist, have happened, and have been performed in due time, form and manner as required by law, and that the amount of this Bond, together with all other obligations of the Board and of said University of Kentucky, does not violate any provision or exceed any limit prescribed by the Constitution or Statutes of Kentucky; that the Project will be continuously operated, and that a sufficient portion of the gross Revenues therefrom has been pledged to and will be set aside into the Bond Fund for the payment of the principal of and interest on this Bond, and All Bonds which by their terms and the terms of the Basic Resolution, the Series G Resolution, and all previous Series Resolutions, are payable from the Bond Fund as and when the same will respectively become due.

IN TESTIMONY WHEREOF, the Board of Trustees of the University of Kentucky has caused this Bond to be executed in its behalf by the reproduced facsimile signature of its Chairman, and the facsimile of its corporate seal to be imprinted hereon, and attested by the reproduced facsimile signature of its Secretary, dated as of the first day of September, 1989; provided, however, that this Bond shall not be valid or obligatory for any purpose or be entitled to any security or benefit under the Series G Resolution pursuant to which it was authorized until the Authentication Certificate of Trustee-Registrar printed hereon shall have been executed by the manual signature of a duly authorized representative of the Trustee-Registrar.

UNIVERSITY OF KENTUCKY,
Lexington, Kentucky

By (Facsimile Signature)
Chairman, Board of Trustees

Attest:

(Facsimile Signature)
Secretary, Board of Trustees

(Facsimile Seal of Board of Trustees)

THE AUTHENTICATION DATE OF THIS BOND IS: _____.

(FORM OF AUTHENTICATION CERTIFICATE OF TRUSTEE-REGISTRAR)

AUTHENTICATION CERTIFICATE OF TRUSTEE-REGISTRAR

This is to certify that this Bond is one of the Bonds referred to in the within Bond and in the Series G Resolution authorizing same. Printed on the reverse hereof is the complete text of the opinion of Bond Counsel, Rubin & Hays, 200 South Fifth Street, Louisville, Kentucky, a signed original of which is on file with the undersigned, delivered and dated on the date of the original delivery and payment for the Bonds.

COMMERCE NATIONAL BANK,
Lexington, Kentucky
Trustee and Bond Registrar

By _____
Authorized Officer

(FORM OF ASSIGNMENT)

ASSIGNMENT

For value received, the undersigned hereby sells, assigns and transfers unto _____ the within Bond and hereby irrevocably constitutes and appoints _____, attorney, to transfer said Bond on the books kept for registration and transfer of this Bond, with full power of substitution in the premises.

Dated: _____.

_____
Registered Owner

(Signature must correspond with name of Registered Owner as it appears on the front of this Bond in every particular, without alteration, enlargement or any change whatsoever.)

Social Security or other identifying number of Assignee: _____

(Customary abbreviations as to form of Title)

Signature Guaranteed:

_____

Notice: Signature(s) must be guaranteed by a member firm of the New York Stock Exchange, Inc., or a commercial bank or trust company

ARTICLE III
PUBLIC SALE OF SERIES G BONDS; COMPLIANCE WITH PARITY REQUIREMENTS; THE SERIES G CONSTRUCTION ACCOUNT; DISPOSITION OF PROCEEDS OF SERIES G BONDS

Section 3.1. Advertisement of Series G Bonds for Sale. The Series G Bonds shall be offered publicly for sale upon the basis of sealed, competitive bids at such time as the Board (or the Executive Committee of the Board) shall designate by duly adopted Motion or Resolution.

The Treasurer of the Board is hereby authorized and directed to cause an appropriate form or forms of a Notice of Sale of Bonds to be published in THE COURIER-JOURNAL, a legal newspaper published in the City of Louisville, Kentucky, which will afford statewide notice of the sale, and in THE DAILY BOND BUYER, a financial journal published in the City of New York, New York, which is a publication having general circulation among bond buyers; and said newspaper and financial journal are hereby declared to be qualified to publish such notice for the Board within the meaning and provisions of KRS Chapter 424. Such notice shall be published in said newspaper and financial journal at least once not less than seven days nor more than twenty-one days prior to the scheduled date of sale of the Series G Bonds.

A suggested form of Notice of Sale of Bonds, a suggested form of Official Terms and Conditions of Sale of Bonds, and a suggested form of Official Bid Form, having been prepared by Bond Counsel, in cooperation with the Fiscal Agent, and same, together with a suggested form of Preliminary Official Statement, having been examined by this Board, same are hereby approved for such purposes, and a copy of each is hereby ordered to be attached to this Resolution and to the minutes of the meeting at which this Resolution is adopted. The Notice of Sale of Bonds shall be signed by the Treasurer of the University and may be used for the purpose of publishing notice of the sale of the Bonds. The Preliminary Official Statement having been prepared by the Board's Fiscal Agent on behalf of the Board in conjunction with the sale, containing all pertinent information in regard to the Series G Bonds, and in regard to the University, and which Preliminary Official Statement is to be supplied to prospective bidders for the purchase of the Series G Bonds, and said Preliminary Official Statement having been reviewed and considered by the Board, same is hereby authorized, ratified, and approved, and the Chairman and the Treasurer are hereby authorized to sign said Preliminary Official Statement on behalf of the Board to evidence such approval. Copies of said documents (Notice, Official Terms, Bid Form and Preliminary Official Statement) shall be furnished to a list of known interested bidders and to any interested parties who may request same.

Bidders shall be advised that Seasongood & Mayer, Cincinnati, Ohio, has been employed as Fiscal Agent in connection with the issuance of these Series G Bonds, that their fee for services rendered with respect to the sale of the Series G Bonds is

contingent upon the issuance and delivery of the Series G Bonds, and that they may submit a bid for the purchase of the Series G Bonds at the time of the advertised public sale of the Series G Bonds, either individually or as the member of a syndicate organized to submit a bid for the purchase of the Series G Bonds.

Upon the date and at the respective hour set forth for the opening and consideration of purchase bids, as provided in the instruments hereinabove approved, the sealed bids theretofore received by the Treasurer shall be publicly opened and publicly read by the Treasurer and later delivered to the Board and again publicly read by the presiding officer of the Board. If there shall be one or more bids which conform in all respects to the prescribed terms and conditions, such bids shall be compared, and the Board agrees that if a bid is accepted, it will accept the best of such bids, as measured in terms of the lowest interest cost to the Board, as calculated in the manner prescribed in the Official Terms and Conditions of Sale of Bonds.

Bids will be received for the purchase of such Bonds at such date and hour as shall be fixed or otherwise determined by the Resolution of the Board (or of its Executive Committee).

It is hereby determined that the successful bid for the purchase of the Series G Bonds may be accepted by the Executive Committee of the Board of Trustees upon the advice of the Board's Fiscal Agent, Seasongood & Mayer, with the approval of the Treasurer of the University.

Section 3.2. Compliance with Parity Requirements of Basic Resolution. In accordance with the requirements of Section 7.10 of the Basic Resolution, it is hereby certified, covenanted and declared:

(a) That at the present time, and at the time of issuance of the Series G Bonds, there is and will be no deficiency in amounts required by the Basic Resolution or any Series Resolution to be paid into the Bond Fund;

(b) That prior to the issuance of the Series G Bonds, there will have been procured and filed with the Trustee a statement by the Treasurer of the Board to the effect that the average of the annual revenues from the Project for the two fiscal years immediately preceding the issuance of the Series G Bonds, was equal to not less than 1.25 times the maximum Aggregate Principal, Interest and Bond Fund Charges in any succeeding twelve month period ending May 1 on the Outstanding Bonds and on the Series G Bonds proposed to be issued. For the purposes of such computation, such certification of the Treasurer shall make an adjustment in the amount of the annual Revenues to reflect any increases or decreases in the pledged Revenues (the student registration fees) which at that time shall have been determined by the Board and made effective for future services of the Project.

Accordingly, based on the foregoing compliance with the requirements of the Basic Resolution, it is hereby found and declared that the Series G Bonds shall rank and be payable on a parity with the outstanding Series A through F Bonds on a first lien basis from the gross revenues of the Project and further secured by a first statutory mortgage lien on the Project buildings.

Section 3.3. The Series G Construction Account; Disposition of Proceeds of Series G Bonds. There is hereby established in the Treasury of the Commonwealth a Construction Account to be designated University of Kentucky Consolidated Educational Buildings Project - Bond Proceeds Series G Construction Account" (the "Series G Construction Account").

Immediately upon the issuance, sale and delivery of the Series G Bonds, the entire amount received from the Original Purchasers of the Series G Bonds shall be deposited in the Treasury of the Commonwealth for immediate credit and/or transfer as follows:

(a) To the Bond Fund, all amounts received as collected accrued interest for the period from September 1, 1989, the date of the Series G Bonds, to the date of delivery, for application to the payment of interest next becoming due on the Series G Bonds.

(b) To the Debt Service Reserve Fund, the amount necessary to fully fund the Required Debt Service Reserve.

(c) To the "University of Kentucky Community Colleges Educational Buildings Series G Bonds Cost of Issuance Account," hereby created and to be maintained on deposit with the Trustee, such appropriate amount as shall be directed by the Treasurer of the Board, to be held and disbursed upon written authorization of the Board or its designee. The Trustee shall apply funds in such account for the purpose of meeting issuance expenses, including printing, fiscal agent fee, legal fees and expenses, rating agency fees, and other necessary costs (to the extent not payable by the Fiscal Agent) of preparing, issuing, advertising and selling the Bonds. After payment in full of such issuance expenses, based upon the certification of the Board, through its Treasurer, all amounts remaining in such Account shall be transferred to and deposited in the Bond Fund and applied toward the payment of interest requirements falling due on the Bonds on May 1, 1990.

(d) The balance of the proceeds of the Series G Bonds shall be deposited in the Series G Construction Account.

Such balance in the Construction Account shall be disbursed from such Account by the Treasurer of the Commonwealth according to such inspection, audit, and disbursement procedures as may from time to time be provided by law, for the purpose of paying the costs (to the extent not otherwise provided) of establishing and constructing the Series G Project buildings and appurtenances as identified in Section 2.2 of this Series G Resolution in accordance with the approved plans and specifications therefor and to reimburse the University or the Commonwealth for any payments which may have been made from other available resources in anticipation of the issuance of the Series G Bonds, and only upon certification of the Architect or Engineer having supervision of construction (or of the Secretary of the Finance Cabinet of the Commonwealth with respect to reimbursements sought by the Commonwealth), as to each disbursement for construction costs, that the amount thereof has been duly earned by and is payable to (and has not previously been paid to) the designated party or parties, for materials, services, and/or labor furnished pursuant to proper contract duly awarded therefor.

Amounts on deposit in the Construction Account shall be invested and reinvested from time to time by the State Treasurer upon direction to the State Treasurer by the Treasurer of the University, in direct obligations of the United States Government or of agencies of the United States Government, or obligations which are fully guaranteed by the United States Government, or in negotiable or non-negotiable Certificates of Deposit issued by any bank, trust company or national banking association (including the Trustee) which is a member of the Federal Reserve System or of the Federal Deposit Insurance Corporation, provided such Certificates of Deposit, to the extent that same shall exceed the amount insured by the Federal Deposit Insurance Corporation, must be continuously secured by a valid pledge of direct obligations of or obligations guaranteed by the United States Government having a market value (exclusive of accrued interest) at all times equal to at least 100% of the principal amount of said Certificates of Deposit, which Certificates shall be lodged with the State Treasurer as custodian, or in investments permitted by KRS 42.500(6). The Investment shall be made upon the determination of the University Treasurer, upon advice from said Architects or Engineers (or of said Secretary of the Finance Cabinet), that moneys on deposit in the Construction Account are not immediately required for construction purposes and any investments made from the Construction Account shall be selected in contemplation of the anticipated schedule of disbursements prepared by the University Treasurer. All such investments shall be reconverted into cash as and when cash is required to pay costs for which the Construction Account is established.

Any balance remaining in said Construction Account after the establishment and construction of said Series G Project buildings and appurtenances and the payment of all costs in connection therewith shall be deposited in the Bond Fund and (a) credited to the Debt Service Reserve established in and by Section 405(3) of the Basic Resolution if and to the extent that such Debt Service

Reserve contains less than the amount of the Required Debt Service Reserve, and/or (b) either applied as a credit against the next deposit required to be made into the Bond Fund, or used to purchase Series G Bonds in the open market at not exceeding par plus accrued interest, as may be directed by the Board; provided, however, that if proceedings are then pending or imminently contemplated for the establishment and/or construction of one or more additional educational buildings, which are or will be financed by the issuance of additional Series Bonds pursuant to the Basic Resolution, and which building or buildings constitute or will constitute a part of the Community Colleges Educational Buildings Project, any such unexpended balance may be taken into account in determining the amount of Bonds to be authorized therefor, or may otherwise be applied to the proper costs thereof, in which event such unexpended balance may, upon authority of the Board, be transferred to the Series Construction Account thereof.

ARTICLE IV
COMPLIANCE WITH FEDERAL ARBITRAGE REQUIREMENTS;
THE REVENUE FUND; DEBT SERVICE RESERVE FUND

Section 4.1. Compliance with Federal Non-Arbitrage Requirements. Neither the University nor the Trustee shall take any action at any time which will cause the interest on the Bonds to become subject to Federal income taxation, and, without limiting the foregoing, it is covenanted for the benefit of the owners of such Bonds that no use of the proceeds of the Series G Bonds will be made at any time which, if such use had been reasonably expected on the date of issue of such Bonds, would have caused them to be "arbitrage bonds." This covenant shall impose an obligation to comply with the requirements of Section 148 of the United States Internal Revenue Code of 1986, as amended (the "Code"), and the applicable regulations thereunder. The word "proceeds" as used herein shall have the meaning which it has under such section of the Code and such regulations and shall include all moneys on deposit in all Funds provided for herein and all Funds established in connection with the Project and the Bonds. Without limiting the generality of the foregoing, in no event shall moneys be deposited in any accounts created hereunder or invested pursuant to the provisions hereof if such deposit or investment shall cause any Bond to be an "arbitrage bond" within the meaning of Section 148 of the Code.

The Board certifies that on the basis of the facts, expectations, and circumstances (including covenants of the Board) in existence on the date of adoption of this Series G Resolution it is not expected that the proceeds of the Series G Bonds will be used in a manner that will cause such obligations to be "arbitrage bonds." The Chairman and the Treasurer of the Board are hereby jointly and severally designated and charged by the Board and the University with the responsibility for issuing the Series G Bonds and are hereby designated as the officers of the Board and the University to execute (by either of them) the ("no arbitrage") certification required by Section 1.103-1(a)(2)(ii) and any other provisions of the Treasury Regulations, and such certification shall set forth such facts, expectations, and circumstances, which may be in brief and summary terms, and shall state that to the best of his knowledge and belief there are no other facts, expectations, or circumstances that would materially change such expectation that the proceeds of the issue of Series G Bonds herein authorized will not be used in a manner that would cause same to be "arbitrage bonds." If, under any valid provisions of law hereafter enacted, the interest paid by the Board on the Series G Bonds should be excludable from the gross income of a recipient thereof for Federal income tax purposes without regard to compliance with the provisions of Section 148 of the Code, then the University shall not be required to comply with such provisions of the Code.

Section 4.2. The Revenue Fund; Transfers to the Bond Fund and the Debt Service Reserve Fund to Provide for the Additional Requirements of the Series G Bonds.

(1) From and after the issuance and delivery of the Series G Bonds, the Treasurer, conforming to the provisions of Section 4.05(2) of the Basic Resolution, shall transfer from the Revenue Fund and deposit to the credit of the Bond Fund from time to time such sums as are required to pay (a) interest falling due on each November 1 with respect to the Series G Bonds, and (b) interest and principal, if any, falling due on each May 1 with respect to the Series G Bonds; and such transfers and deposits into the Bond Fund shall be in addition to the transfers and deposits required to be made from time to time for payment of the interest on and principal of the Series A through F Bonds.

(2) Conforming to the requirements of Section 4.05(2) of the Basic Resolution, if, whenever, and so long as the amount on deposit in the Debt Service Reserve (as part of the Bond Fund) shall be less than the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve (as defined herein), with respect to All Bonds for any future year ending May 1, the Treasurer shall transfer from the first available funds in the Revenue Fund and deposit in the Bond Fund, whatever additional amounts over and above all deposits otherwise required to be made into the Bond Fund, as set out in the foregoing paragraph (1) of this Section, shall be required in order to restore and maintain the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve in the Debt Service Reserve portion of the Bond Fund.

(3) It is further determined by the Board that in calculating the amount of the required annual payments to be made by the Board into the Bond Fund to meet the principal and interest requirements of All Bonds outstanding, the Board shall (whenever and so long as the Required Current Debt Service Reserve Accumulation or the Required Debt Service Reserve has been accumulated and is being maintained), take credit for the investment income derived from the investment of funds in the Bond Fund, including funds in the Debt Service Reserve; provided, further, however, that the Board, in compliance with the arbitrage requirements of the Internal Revenue Code of 1986, as amended, represents that amounts on deposit in the Bond Fund will be used within thirteen (13) months from the date of deposit for the payment of principal of and interest on the Outstanding Bonds; and the Bond Fund will annually be depleted through such application, for current debt service requirements on All Bonds outstanding, except for an amount equal to not more than the greater of (a) one-twelfth (1/12) of the debt service requirements of the Bonds outstanding for the then ensuing year, or (b) one year's earnings on the Bond Fund.

Section 4.3. Adjustments in the Debt Service Reserve. It is hereby determined that it is necessary (a) in order to comply with the parity requirements of the Basic Resolution, and (b) for

the marketability of the Series G Bonds, that the Debt Service Reserve shall continue to be maintained and, when necessary, accumulated and/or restored, in an amount equal to the "maximum Aggregate Principal, Interest and Bond Fund Charges (as defined in the Basic Resolution) with respect to All Bonds outstanding for any future year ending May 1 ("Required Debt Service Reserve").

The Debt Service Reserve shall not (except where the amount on deposit therein shall exceed the Required Debt Service Reserve) be used for any purpose except to make the payments required to be made from the Bond Fund when there are insufficient funds available in the Bond Fund for such purposes.

ARTICLE V
MISCELLANEOUS CONCLUDING PROVISIONS

Section 5.1. All Provisions of Basic Resolution, Supplemental Resolution and Various Series Resolutions are Hereby Readopted, Ratified and Confirmed. The Board hereby readopts, ratifies and confirms all previous Resolutions relating to the Project, including the Basic Resolution adopted on May 6, 1969, the Supplemental (clarifying) Resolution adopted on May 25, 1970, and each of the various Series Resolutions, A, B, C, D (Second Series), E and F.

Section 5.2. Appointment of Trustee to Serve as Registrar, Transfer Agent, and Payee Bank as to Series G Bonds. Commerce National Bank, Lexington, Kentucky, the Trustee in all previous Series Resolutions, is hereby designated to serve also as Bond Registrar, Transfer Agent and Payee Bank with respect to the Series G Bonds. The respective duties of such Bank in such capacities are as follows:

(a) Duties as Bond Registrar and Transfer Agent. The duties of the Bond Registrar (and Transfer Agent) shall be as follows:

(1) To authenticate the Series G Bonds as and when required;

(2) To register the Series G Bonds in the names of the respective owners thereof in accordance with Section 103(j) of the Internal Revenue Code;

(3) Upon being supplied with a properly authenticated assignment satisfactory to the Bond Registrar (in the sole discretion of such Bond Registrar), to transfer the ownership of Bonds from one registered Bondowner to another within three (3) business days of the receipt of such proper assignment by the Bond Registrar; and

(4) To cancel and destroy (or remit to the Board for destruction, if so required by the Board) all exchanged, matured, retired, and redeemed Bonds, and to maintain adequate records relevant thereto.

(b) Duties as Payee Bank. Its duties as Payee Bank shall be as follows:

(1) To maintain the Bond Fund and to invest the funds contained therein in accordance with the provisions of this Resolution;

(2) To remit, but only to the extent that all required funds are made available to the Payee Bank by the Board, semiannual interest payments directly to the registered owner of each Series G Bond by regular United

States mail. Said interest payments shall be deposited in the United States mail no later than each Interest Payment Date. Matured or redeemed Series G Bonds shall be payable upon presentation to the Payee Bank. For interest payment purposes, the Payee Bank shall be entitled to rely on its records as Bond Registrar as to the ownership of each Series G Bond as of the 15th day of the month preceding an Interest Payment Date, and the Payee Bank's check shall be drawn and mailed accordingly;

(3) To supply the Board with a written accounting evidencing the payment of interest on and principal of the Series G Bonds within 30 days following each respective due date; and

(4) To notify each registered owner of the Series G Bonds of any redemption proceedings taken under Section 4.08 and/or 6.04 of the Basic Resolution.

(c) General Provisions as to Registrar, Transfer Agent and Payee Bank. The Registrar, Transfer Agent and Payee Bank, (collectively the "Bank"), shall each be entitled to the advice of counsel and shall be protected for any acts taken by the Bank in good faith and believed by it to be within its discretion or the power conferred upon it by this Resolution or the responsibility for the consequences of an oversight or error in judgment.

The Bank may at any time resign from its duties set forth in this Resolution by filing its resignation with the Board, and notifying the original purchaser or purchasers of the Series G Bonds. Thereupon, the Board shall designate a successor Bank which shall be an incorporated bank or trust company authorized to transact business in the United States of America. Notwithstanding the foregoing, in the event of the resignation of the Bank, provision shall be made for the orderly transition of the books, records and accounts relating to the Series G Bonds to the successor Bank in order that there will be no delinquencies in the payment of interest or principal due on the Series G Bonds.

The Bank shall indicate its acceptance of its duties as Bank by signing the Acceptance Certificate at the conclusion of this Resolution.

Section 5.3. Replacement by Board of Registrar, Transfer Agent and Payee Bank. The Board shall have the right at any time to replace the Registrar, Transfer Agent and Payee Bank by observing the same procedure as that required by the Basic Resolution and/or the respective Series Resolutions for replacement of the Trustee.

Section 5.4. Covenant of Board to Take All Action Necessary to Assure Compliance with the Internal Revenue Code of 1986, as Amended. In order to assure purchasers of the Bonds that interest thereon will continue to be exempt from all Federal and

Kentucky income taxation (subject to certain exceptions set out below), the Board covenants to and with the owners of the Series G Bonds that (1) the Board will take all actions necessary to comply with the provisions of the Internal Revenue Code of 1986, as amended, (2) the Board will take no actions which will violate any of the provisions of such Code, or would cause the Series G Bonds to become "private activity bonds" within the meaning of the Code, (3) none of the proceeds of the Series G Bonds will be used for any purpose which would cause the interest on the Series G Bonds to become subject to Federal income taxation, and the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of certain investment earnings on the proceeds of the Series G Bonds.

The Board certifies that the Series G Bonds are not "private activity bonds" within the meaning of the Code, and the Board has been advised by Bond Counsel and therefore believes that interest on the Series G Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals.

The Board is further advised that the exemption from income taxation by the United States of America of interest on the Series G Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), interest on the Series G Bonds is taken into account in determining adjusted net book income (adjusted current earnings for taxable years beginning after December 31, 1989).

2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series G Bonds, or, in the case of a financial institution, that portion of a holder's interest expense allocated to interest on the Series G Bonds.

3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series G Bonds.

4. For taxable years beginning before January 1, 1992, interest on the Series G Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.

5. Interest on the Series G Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.

6. Passive investment income, including interest on the Series G Bonds, may be subject to federal income taxation under

Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.

7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series G Bonds.

The Board reserves the right to amend the Resolution authorizing these Series G Bonds without obtaining the consent of the owners of the Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series G Bonds shall be exempt from Federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of the Series G Bonds) to eliminate or reduce any restrictions concerning the Project, the investment of the proceeds of the Series G Bonds, or the application of such proceeds or of the revenues of the Project. The purchasers of the Series G Bonds are deemed to have relied fully upon these covenants and undertakings on the part of the Board as part of the consideration for the purchase of the Series G Bonds. To the extent that the Board obtains an opinion of nationally recognized bond counsel to the effect that noncompliance with any of the covenants contained in this Resolution or referred to in this Resolution would not subject interest on the Series G Bonds to Federal income taxes or Kentucky income taxes, the Board shall not be required to comply with such covenants or requirements.

This Resolution is adopted in contemplation that Bond Counsel will render an opinion as to exemption of principal of the Bonds from Kentucky ad valorem taxation and as to exemption of interest on the Series G Bonds from Federal and Kentucky income taxation, based on the assumption by Bond Counsel that the Board complies with covenants made by the Board with respect to compliance with the provisions of the Code, subject to the exceptions set out above, and based on the assumption of compliance by the Board with requirements as to any required rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series G Bonds. The Board has been advised that, based on the foregoing assumption of compliance, Bond Counsel are of the opinion that the Bonds are not "arbitrage bonds" within the meaning of Section 148 of the Code.

Section 5.5. Resolution Contractual with Bondowners. The Basic Resolution and this Series G Resolution shall, from and after the issuance and delivery of the Series G Bonds, constitute a contract between the Board of the University and the owners of the Series G Bonds as shall be outstanding hereunder.

Section 5.6. Provisions in Conflict are Repealed. All resolutions or parts thereof in conflict with the provisions of

this Series G Resolution are hereby rescinded to the extent of such conflict.

Section 5.7. Copy to be Filed with Trustee. A certified copy of this Series G Resolution shall be filed with the Trustee, and this Series G Resolution shall take effect immediately upon its adoption and the filing of the certified copy thereof with the Trustee, as provided in the Basic Resolution.

Adopted June 20, 1989.

(SEAL)

_____
Chairman, Board of Trustees
University of Kentucky

_____
Secretary, Board of Trustees

CERTIFICATION

I, _____, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certify that the foregoing is a true copy of a Resolution adopted by the Board of Trustees of said University at a meeting held on June 20, 1989, and recorded in the official Minute Book of said Board of Trustees, which is in my custody and under my control, that said meeting was held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820 and 61.825, that a quorum was present at said meeting, and that the aforesaid Resolution is of record in the office of the Board, has not been modified, amended or rescinded, and is in full force and effect at this date.

WITNESS my signature and the Seal of said Board this June 20, 1989.

_____
Board of Trustees
University of Kentucky

ACCEPTANCE BY COMMERCE NATIONAL BANK,
LEXINGTON, KENTUCKY, AS
BOND REGISTRAR, TRANSFER AGENT, PAYEE BANK AND TRUSTEE

The undersigned, Commerce National Bank, Lexington, Kentucky, having heretofore been appointed as and having served as Trustee, hereby agrees to the provisions of the foregoing Resolution to the extent that there are contained therein provisions as to the additional rights and duties of the undersigned as Bond Registrar, Transfer Agent, Payee Bank, and Trustee, and reaffirms its continuing acceptance of the duties of Trustee as to the Series G Bonds.

Dated this _____, 1989.

COMMERCE NATIONAL BANK,
Lexington, Kentucky

By_____
Title_____

(Seal of Bank)

NOTICE OF SALE OF BONDS

$24,530,000
UNIVERSITY OF KENTUCKY
COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES G
DATED SEPTEMBER 1, 1989

The Treasurer of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby gives notice that until August 22, 1989, at 10:30 A.M., E.D.T., it will receive in the office of the Controller and Treasurer, 369 Peterson Service Building, University of Kentucky Campus, Lexington, Kentucky 40506, sealed, competitive bids for the purchase of $24,530,000 of the above-identified issue of bonds, being fully registered bonds, in the denomination of $5,000 or any multiple of same within the same maturity, maturing on May 1, 1991 through 2010. Minimum bid is $24,039,400 (98% of par). Legal Opinion by Rubin & Hays, Louisville, Kentucky. The Bonds will be issued on a tax-exempt basis subject to certain qualifications set out in detail in the Official Terms and Conditions of Sale of Bonds and in the Preliminary Official Statement. Good faith check is $245,300. Bid Forms, Official Terms and Conditions, and Preliminary Official Statement may be obtained from the Fiscal Agent, Seasongood & Mayer, 300 Mercantile Library Building, 414 Walnut Street, Cincinnati, Ohio 45202-3910, phone (513) 621-2000.

Right to reject bids and to waive defects or informalities is expressly reserved. (Signed) Henry Clay Owen, Treasurer, Board of Trustees, University of Kentucky, Lexington, Kentucky 40506.

OFFICIAL TERMS AND CONDITIONS OF BOND SALE

$24,530,000
UNIVERSITY OF KENTUCKY
COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES G
DATED SEPTEMBER 1, 1989

SALE: August 22, 1989, 10:30 A.M., E.D.T.

As advertised in THE COURIER-JOURNAL, published in Louisville, Kentucky, and in THE DAILY BOND BUYER, published in New York, New York, the Treasurer and the Finance Committee of the Board of Trustees of the University of Kentucky, Lexington, Kentucky (the "Board") will until the date and hour referred to above, receive in the office of the Controller and Treasurer, 369 Peterson Service Building, University of Kentucky Campus, Lexington, Kentucky 40506, sealed, competitive bids for the purchase of the above-identified Bonds (the "Series G Bonds"). To be considered, a bid for the purchase of said Bonds must be submitted on an Official Bid Form and must be delivered to such office no later than the date and hour indicated. Proposals for the purchase of the Bonds will be considered by the Finance Committee of the Board at its meeting on that date and referred to the Executive Committee of the Board (or to the full Board) at a meeting to be held at 1:00 P.M., E.D.T., on that date.

Bids submitted prior to the date and hour hereinabove set forth may be sent to the Controller and Treasurer of the University at his office at 369 Peterson Service Building on the Campus of said University in Lexington, Kentucky 40506, and if any such Bid is received in said office prior to the date and hour above set forth, properly marked so as to identify the same, it will be communicated to and considered by such official and will be acted upon by the Board or its Executive Committee at the meeting above referred to.

DESCRIPTION AND MATURITIES OF BONDS

The Series G Bonds will be issued only as fully registered bonds, without coupons, in the denomination of $5,000 principal amount or any multiple of $5,000 within a single maturity (as designated by the Purchasers), will bear interest payable semi-annually on May 1 and November 1 of each year to maturity (first interest payment date, May 1, 1990 - eight months), and will mature on May 1 of the respective years, as follows:

Maturity Date May 1,	Principal Maturity	Maturity Date May 1,	Principal Maturity
1991	$ 590,000	2001	$1,165,000
1992	635,000	2002	1,250,000
1993	675,000	2003	1,340,000
1994	725,000	2004	1,440,000
1995	775,000	2005	1,545,000
1996	825,000	2006	1,660,000
1997	885,000	2007	1,780,000
1998	950,000	2008	1,915,000
1999	1,015,000	2009	2,060,000
2000	1,090,000	2010	2,210,000

The principal of (and redemption price, if any) and interest on the Series G Bonds will be payable in lawful money of the United States of America at the main office of Commerce National Bank, Lexington, Kentucky, the Trustee, Bond Registrar, Transfer Agent, Payee Bank and Depository Bank; provided, however, that interest on the Series G Bonds shall be paid when due by check mailed by the Trustee to the respective persons entitled thereto at the addresses of the respective Bondowners of record on the 15th day of the month preceding each interest due date by regular United States mail postmarked not later than the due date. Upon submission of a properly executed assignment of a Bond, such Bank will transfer ownership of such Bond within three (3) business days of receipt without expense to the Bondowner.

The Series G Bonds shall be lettered and numbered from R-1 upward, or such numbering shall be made in such other appropriate manner as may be determined by the Trustee.

With respect to Series G Bonds transferred or exchanged after the initial delivery of the Series G Bonds, same shall bear interest from the most recent interest payment date to which interest has been paid or duly provided for, as the case may be, unless no interest has been paid on a Series G Bond, in which case such Bond shall bear interest from September 1, 1989.

The person in whose name any Series G Bond is registered on the Record Date (April 15 or October 15) with respect to an interest payment date shall be entitled to receive the interest payable on such interest payment date (unless such Bond shall have been duly called for redemption on a redemption date which is prior to such interest payment date).

The Series G Bonds maturing on and after May 1, 2000, shall be subject to redemption prior to maturity, at the option of the Board, on any Interest Payment Date on and after May 1, 1999, in inverse order of maturities and by lot within a single maturity, at the following redemption prices (expressed as a percentage of principal amount) plus accrued interest to the date of redemption, as follows:

Redemption Dates, Inclusive	Redemption Price
May 1, 1999 through November 1, 1999	102%
May 1, 2000 through November 1, 2000	101½%
May 1, 2001 through November 1, 2001	101%
May 1, 2002 through November 1, 2002	100½%
May 1, 2003 and thereafter to maturity	100%

If a Bond subject to redemption is in a denomination larger than $5,000, a portion of such Bond may be redeemed, but only in a principal amount equal to $5,000 or any integral multiple thereof, if such Bond is one of the maturities or amounts or part of the maturities or amounts called for redemption. Upon surrender of any Bond for redemption in part, the Trustee shall (authenticate and) deliver an exchange Bond or Bonds in an aggregate principal amount equal to the unredeemed portion of the Bond so surrendered.

The Trustee shall give notice of any redemption by sending at least one such notice by first class mail not less than thirty and not more than sixty days prior to the date fixed for redemption to the registered owner of each Series G Bond to be redeemed in whole or in part at the address shown on the Bond Register as of the date of mailing of such notice; and interest on such Bonds or portions thereof shall cease to accrue after the redemption date.

STATUTORY AUTHORITY AND PURPOSE OF BONDS

These Bonds are issued in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including Sections 162.340 to 162.380, 164.595, and 58.010 through 58.140 of the Kentucky Revised Statutes, now in full force and effect, and under and pursuant to the Resolution adopted by the Board on May 6, 1969, as supplemented by a Resolution adopted on May 25, 1970 (hereinafter collectively referred to as the "Basic Resolution"), and the Resolution adopted on June 20, 1989, authorizing the Series G Bonds (the "Series G Resolution"), for the purpose of financing the costs (to the extent not otherwise provided) of (a) establishing and erecting certain educational buildings and necessary appurtenances (the "Series G Project"), upon the properties of the University in Boyd, McCracken, Christian, Pulaski and Floyd Counties in the Commonwealth of Kentucky, which Series G Project buildings and appurtenant facilities will, when erected and acquired, become part of the Community Colleges Educational Buildings Project of said University (the "Project"), (b) funding the Required Debt Service Reserve, and (c) paying the costs of issuance of the Series G Bonds. Copies of the Basic Resolution and the Series G Resolution are on file at the office of the Trustee.

SECURITY

These Series G Bonds, together with the outstanding Bonds of Series A, B, C, D (Second Series), E and F, and any additional Parity Bonds which may hereafter be issued and outstanding under

the terms of the Basic Resolution and subsequent Series Resolutions are and will be payable from and constitute a first charge and lien upon the Revenues (as defined in the Basic Resolution) to be derived by the University from the operation of its Community Colleges Educational Buildings Project. The fee imposed upon and collected from all students enrolled in the Community Colleges (except those Community Colleges located in Fayette County, Kentucky) as an incident to registration at the beginning of each semester of the regular academic year and each summer session, including fees imposed for part-time students, night school and extension courses, is designated as the source of Revenues of the Project. Such fees are known as the Student Registration Fees, and the Board has covenanted on behalf of the University that such fees will be fixed (and, if necessary, revised and increased from time to time) at such rates as may be required to pay the interest on and principal of the Bonds as they respectively mature, to provide reserves therefore, and to pay the operating costs of the Project to the extent that such costs are not otherwise provided. All collections of the Student Registration Fees are to be set aside, as received, into the Community Colleges Educational Buildings Project Revenue Fund (the "Revenue Fund") and are made subject to a first lien and paramount charge for the security and source of payment of all outstanding Community Colleges Educational Buildings Revenue Bonds.

It is noted that the fees imposed and collected and which are secured are for those attending Community Colleges of the University other than in Fayette County and also that all of the buildings and appurtenances which are part of the Project (excepting therefrom the buildings thereof which may be leased rather than owned by the University), are covered by the lien.

As further security for the Bonds, a statutory mortgage lien upon the Project is created and granted by the Series G Resolution pursuant to Sections 162.350 and 162.200 of the Kentucky Revised Statutes, in favor of the Series G Bonds and all parity bonds, including those heretofore issued and those thereafter issued.

BIDDING CONDITIONS AND RESTRICTIONS

The public offering of the Series G Bonds is made upon the following specific conditions and provisions:

A. Bids shall be required to be submitted upon a standard "Official Bid Form" in order to provide for uniformity in submission of bids and ready determination of the best bid.

B. Bidders shall be required to bid for the entire issue a minimum price of not less that $24,039,400 (98% of par) for the $24,530,000 of Bonds, plus accrued interest from the date of the Bonds

(September 1, 1989) to the date of delivery, payable in Federal Funds.

C. Each bid shall be accompanied by a certified or bank cashier's good faith check (which check need not be in Federal Funds) in the amount of $245,300 (1%), payable to the order of the Treasurer of the University of Kentucky. The check of the successful bidder will be immediately deposited by the University and credited (without interest) against the purchase price. The good faith checks of the unsuccessful bidders will be returned promptly.

D. Bidders must state an interest rate or rates in a multiple of 1/20 of 1%. There is no maximum interest rate applicable.

E. There is no limit on the number of different rates which may be specified in any bid and there is no maximum differential between the highest and lowest interest rates stipulated in any bid.

F. All Bonds of the same maturity shall bear the same and a single interest rate from the date thereof to maturity.

G. Interest rates must be on an ascending scale, in that the interest rate for Bonds of any maturity may not be less than the interest rate stipulated for any preceding maturity.

H. The right to reject bids for any reason deemed advisable by the Board and the right to waive any possible informalities, irregularities, or defects in any bid which, in the judgment of the Board, with the advice of the Fiscal Agent, shall be minor or immaterial, is expressly reserved.

I. Bids must be made on forms which, together with an Official Statement, may be obtained at the office of the Treasurer of the University or from the Fiscal Agent, Seasongood & Mayer, 300 Mercantile Library Building, 414 Walnut Street, Cincinnati, Ohio 45202-3910, phone (513) 621-2000. Bids must be enclosed in sealed envelopes marked "Proposal for University of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series G, dated September 1, 1989," and bids must be received by the aforesaid Treasurer prior to the date and hour set for the sale.

J. The original purchasers (the "Original Purchasers" or the "Purchasers") of the Bonds will pay the CUSIP Service Bureau charge for the assignment of CUSIP

numbers, which numbers will be printed on the Bonds at no expense or cost to the Purchasers. Neither the failure to print a CUSIP number on any Bond nor any error with respect thereto shall constitute cause for a failure or refusal by the Purchasers thereof to accept delivery of and pay for the Bonds in accordance with the terms of the purchase agreement.

K. Delivery will be made on or after September 1, 1989, at Commerce National Bank, Lexington, Kentucky, at no additional cost or expense to the Purchasers. The purchasers may elect to require delivery through a depository trust corporation, provided the Purchasers agree to pay any additional expense in connection therewith, such expense to include shipping expense, insurance in transit and the fee of the depository trust corporation. In connection with the issuance of the Bonds, the Board will pay for the printing of the Bonds, which will contain the opinion of Bond Counsel.

L. The University may tender the Bonds in the form of a single fully registered temporary Bond to the Original Purchasers, in which event, such Original Purchasers, upon tender thereof to the Transfer Agent, will be issued fully registered Bond Certificates (in the denomination of $5,000 or any multiple of same within the same maturity) in such names as shall have been properly designated to the Bond Registrar.

M. It shall be the responsibility of the Original Purchasers to furnish or cause to be furnished to the Trustee at least five business days prior to the date of delivery of the Bonds, a list of the names, addresses, social security numbers or taxpayer identification numbers, of each of the parties to whom the Bonds are to be registered and the principal amounts and maturities thereof. In the event of the failure to so furnish such list, the Bonds delivered to the Original Purchasers shall be registered in the name or names of such Original Purchasers or their designated representatives appearing as the first name on the successful Bid Form, or otherwise appropriately designated, and shall be issued in denominations corresponding to the principal amount of each respective maturity, as shall be determined by the Trustee-Registrar.

N. Upon wrongful refusal of the Purchasers to take delivery of and pay for the Bonds in Federal Funds when tendered for delivery, the amount of the good faith check shall be forfeited by such Purchasers,

and such amount shall be deemed liquidated damages for such default, provided, however, if such Bonds are not ready for delivery and payment within forty-five (45) days from the date of sale herein provided for, said Purchasers shall be relieved of any liability to accept the Bonds hereunder.

O. Bidders are advised that Seasongood & Mayer, Cincinnati, Ohio, has been employed as Fiscal Agent in connection with the issuance of these Series G Bonds. Their fee for services rendered with respect to the sale of the Series G Bonds is contingent upon the issuance and delivery of the Series G Bonds. They may submit a bid for the purchase of the Series G Bonds at the time of the advertised public sale of the Series G Bonds, either individually or as members of a syndicate organized to submit a bid for the purchase of the Series G Bonds.

P. If the successful bidder desires to obtain insurance guaranteeing the payment of the principal and/or interest on such Bonds, the University agrees that it will cooperate with such successful bidder in obtaining such insurance, but all of the expenses and charges in connection therewith shall be borne by such bidder, and the University shall not be liable to any extent therefor.

ADDITIONAL SALE PROVISIONS

If upon the basis of the foregoing, the Board shall accept a purchase bid for these $24,530,000 of Series G Bonds, the Board shall adopt a Resolution to that effect, supply proper evidence of such acceptance to the bidder submitting the accepted purchase bid, and thereafter the appropriate documents evidencing the sale of the Bonds and necessary for the delivery thereof shall be executed.

The Board will furnish to the Purchasers the customary No Litigation Certificate and the final, approving Legal Opinion of Rubin & Hays, Municipal Bond Attorneys, Louisville, Kentucky, without expense to the Purchasers.

SPECIAL TAX EXEMPTION CONSIDERATIONS

In order to assure purchasers of the Series G Bonds that interest thereon will continue to be exempt from all Federal and Kentucky income taxation (subject to certain exceptions set out below), the Board covenants to and with the owners of such Bonds that (1) the Board will take all actions necessary to comply with the provisions of the Internal Revenue Code of 1986, as amended (the "Code"), (2) the Board will take no actions which will violate any of the provisions of such Code, or would cause the Bonds to become "private activity bonds" within the meaning of the Code, (3)

none of the proceeds of the Bonds will be used for any purpose which would cause the interest on the Bonds to become subject to Federal income taxation, and the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of certain investment earnings on the proceeds of the Series G Bonds.

The Board certifies that these Bonds are not "private activity bonds" within the meaning of the Code, and the Board has been advised by Bond Counsel and therefore believes that interest on the Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals. However, the Board has been advised that these Bonds are not "qualified tax-exempt obligations" for bank investments and that banks are not permitted to deduct the interest cost attributable to the purchase of these Bonds.

The exemption from income taxation by the United States of America of interest on the Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), interest on the Series G Bonds is taken into account in determining adjusted net book income (adjusted current earnings for taxable years beginning after December 31, 1989).

2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series G Bonds, or, in the case of a financial institution, that portion of a holder's interest expense allocated to interest on the Series G Bonds.

3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series G Bonds.

4. For taxable years beginning before January 1, 1992, interest on the Series G Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.

5. Interest on the Series G Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.

6. Passive investment income, including interest on the Series G Bonds, may be subject to federal income taxation under Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.

7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series G Bonds.

The Board reserves the right to amend the Resolution authorizing these Series G Bonds without obtaining the consent of the owners of the Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series G Bonds shall be exempt from Federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of the Series G Bonds) to eliminate or reduce any restrictions concerning the Project, the investment of the proceeds of the Series G Bonds, or the application of such proceeds or of the revenues of the Project. The purchasers of the Series G Bonds are deemed to have relied fully upon these covenants and undertakings on the part of the Board as part of the consideration for the purchase of the Series G Bonds. To the extent that the Board obtains an opinion of nationally recognized bond counsel to the effect that noncompliance with any of the covenants contained in this Resolution or referred to in this Resolution would not subject interest on the Series G Bonds to Federal income taxes or Kentucky income taxes, the Board shall not be required to comply with such covenants or requirements.

Bond Counsel will render the customary opinion as to exemption of principal of the Bonds from Kentucky ad valorem taxation and as to exemption of interest on the Bonds from Federal and Kentucky income taxation, based on the assumption by Bond Counsel that the Board complies with covenants made by the Board with respect to compliance with the provisions of the Code, subject to the exceptions set out above, and based on the assumption of compliance by the Board with requirements as to any required rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series G Bonds. Bond Counsel are of the opinion that, based on the foregoing assumption of compliance, the Bonds are not "arbitrage bonds" within the meaning of Section 148 of the Code.

Bond Counsel has reviewed the Official Statement with regard to all matters pertaining to the legality and tax exemption of the Series G Bonds, including statements concerning the Board and the purpose and security of the Series G Bonds; but Bond Counsel has not reviewed the financial data concerning the Board and the University in the Official Statement, and expresses no opinion thereon and assumes no responsibility in connection therewith.

Subject to the matters set out above, the Series G Bonds are offered for sale on the basis of the principal of said Bonds not being subject to Kentucky ad valorem taxation and on the basis of the interest on said Bonds not being subject to Federal or

Kentucky income taxation on the date of their delivery to the Purchasers.

If, prior to the delivery of the Series G Bonds, any event shall occur which alters such tax-exempt status, the Purchasers shall have the privilege of voiding the purchase contract by giving immediate written notice to the Board, whereupon the amount of the good faith deposit of the Purchasers will be returned to the Purchasers, and all respective obligations of the parties will be terminated. The Board will accept a bid or reject all bids on the date stated at the beginning of this instrument and will immediately return all good faith checks of the respective unsuccessful bidders.

The Purchasers will be required to take delivery of the Bonds within 45 days from the date of the sale. However, it is contemplated that the Bonds will be delivered on a date during such period as may be designated by representatives of the Board, and the Purchasers will be required to accept delivery of and pay for the Bonds on any designated date within such 45 day period upon notice being given at least five business days prior to the designated delivery date. (Signed) Henry Clay Owen, Treasurer, Board of Trustees, University of Kentucky, Lexington, Kentucky 40506.

OFFICIAL BID FORM

$24,530,000
UNIVERSITY OF KENTUCKY
COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BONDS
SERIES G
DATED SEPTEMBER 1, 1989

To the Honorable Chairman and Members of
The Board of Trustees of the University of Kentucky
c/o Mr. Henry Clay Owen
University Controller and Treasurer
369 Peterson Service Building
Lexington, Kentucky 40506

Ladies and Gentlemen:

Pursuant to your "Notice of Sale of Bonds" as published in THE COURIER-JOURNAL of Louisville, Kentucky, and in THE BOND BUYER, New York, New York, and the "Official Terms and Conditions of Sale of Bonds," and the "Preliminary Official Statement" referred to therein, by our submission of this Bid, we evidence our agreement to the terms and conditions of your sale as represented therein.

We hereby bid for said $24,530,000 principal amount of Bonds the sum of $_____ (not less than $24,039,400), plus accrued interest from September 1, 1989, to the date of delivery, at the following annual interest rates:

SCHEDULE OF PRINCIPAL AMOUNTS AND INTEREST RATES

Maturing May 1	Maturity Amount	Rate	Maturing May 1	Maturity Amount	Rate
1991	$ 590,000	_____%	2001	$1,165,000	_____%
1992	635,000	_____%	2002	1,250,000	_____%
1993	675,000	_____%	2003	1,340,000	_____%
1994	725,000	_____%	2004	1,440,000	_____%
1995	775,000	_____%	2005	1,545,000	_____%
1996	825,000	_____%	2006	1,660,000	_____%
1997	885,000	_____%	2007	1,780,000	_____%
1998	950,000	_____%	2008	1,915,000	_____%
1999	1,015,000	_____%	2009	2,060,000	_____%
2000	1,090,000	_____%	2010	2,210,000	_____%

A certified or bank cashier's check in the amount of $245,300, payable to the Treasurer of the University of Kentucky, is enclosed in accordance with the Notice of Sale of Bonds and the Official Terms, with the understanding that if we are the successful bidder, said check is to be immediately deposited by the University and credited (without interest) against the purchase price at the time of delivery of the Bonds. If we are the

successful bidder, we agree to accept and make payment for the Bonds in accordance with the terms of the sale.

Respectfully submitted,

_____

(a)	Total interest cost from date of Bonds to final maturity	$_____
(b)	Plus discount (or less premium)	$_____
(c)	Net interest cost	$_____
(d)	Net interest rate	_____%
(e)	True interest cost	_____%

The above computations of net interest cost and true interest cost are submitted for information only and is not a part of this bid.

Accepted this 22nd day of August, 1989.

BOARD OF TRUSTEES OF
UNIVERSITY OF KENTUCKY

Attest: By_____
Chairman

_____
Secretary

Office of the President
June 20, 1989

FCR 11

Members, Board of Trustees:

OPERATING BUDGET FOR 1989-90

Recommendation: that the 1989-90 Operating Budget as submitted, including the authorization set forth in the letter of transmittal, be approved and ordered recorded as an integral part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures and accepted as a basis of maximum expenditures for the year 1989-90.

Background: The 1989-90 Operating Budget of the University of Kentucky is presented for approval by the Board of Trustees. Copies of the budget document have been made available to the members of the Board.

NOTE: The Operating Budget for 1989-90 is not included in the Minutes of the meeting but is included in the official files.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989

Office of the President
June 20, 1989

FCR 12

Members, Board of Trustees:

APPROVAL OF A LEASE FOR A CHILD CARE FACILITY

Recommendation: that the Board of Trustees authorize the Vice Chancellor for Administration for the Lexington Campus to enter into a long-term lease agreement with Kinder-Care Learning Centers, Inc. for the construction and operation of a child care center on approximately one acre of University of Kentucky property located at the corner of Columbia Avenue and Woodland Avenue.

Background: The University community has need for a center which will offer quality, affordable, and on-site child care for University faculty, staff and students. The University does not have adequate funds to construct and operate a child care center; therefore, the University sent out a "Request for Proposal" for the construction and operation of a child care facility on the University campus. Kinder-Care Learning Centers, Inc. based in Birmingham, Alabama, a national child care provider, was the only respondent to the request. The terms of the lease generally are: The University will lease to Kinder-Care Learning Centers, Inc. approximately one acre of land located at the corner of Columbia and Woodland Avenues for one dollar a year. Kinder-Care Learning Centers will construct and operate at its own cost a child care facility accommodating approximately 135 children. The University will guarantee Kinder-Care Learning Centers an enrollment of 75 children. Kinder-Care Learning Centers will offer priority to University of Kentucky faculty, staff, and students; Kinder-Care Learning Centers will charge University of Kentucky faculty, staff, and students a rate at a substantial discount of the average Kinder-Care Learning Center market rate in the Lexington district. Kinder-Care Learning Centers will provide to the University of Kentucky community a higher level of quality than Commonwealth of Kentucky minimum day care center requirements in the areas of staff to child ratios, staff educational requirements, and programming. The lease shall be in effect for fifteen years, with three successive five-year options to extend the lease upon agreement of both parties. At the end of the lease the improvements to the property revert to the University of Kentucky.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1989