

AGENDA

Meeting of the Board of Trustees University of Kentucky 1:00 P.M. March 2, 1999

Invocation

Roll Call

Approval of Minutes

President's Report and Action Items

- PR 1 President's Report to the Trustees
 - A. College of Social Work Report
- PR 2 Personnel Actions
- PR 3 Central Administration
 - A. Honorary Degree Recipients
 - B. Proposed Amendments to the Governing Regulations
- PR 4 Community College System (No items to report)
- PR 5 Lexington Campus
 - A. Reappointments/Appointments to University of Kentucky Equine Research Foundation
 - B. Appointments to Advisory Board – Lexington Community College
- PR 6 Medical Center (No items to report)

Finance Committee

- 1. Acceptance of Interim Financial Report for the University of Kentucky for the Seven Months Ended January 31, 1999
- 2. Report of Leases
- 3. Capital Construction Report
- 4. Patent Assignment Report
- 5. Kentucky Community and Technical College System Capital Projects
- 6. A Resolution Authorizing the University's Investment of Additional Funds in Kentucky Healthcare Enterprises, Inc.
- 7. Establishment of Six Endowed Chairs in the Arts, Humanities and Social Sciences
- 8. Anonymous Gift of \$1,000,000 for the William T. Young Library Endowment
- 9. Barnstable-Brown Gala Chair in Pediatric Diabetes Research

10. Bloomfield Endowed Professorship
11. Carol Lee Robertson Endowed Professorship in Literacy
12. Carol Martin Gatton Endowment
13. Constance Wilson Endowed Professorship in Mental Health
14. Endowed Chair in Neurosurgery
15. Endowed Chair in Radiation Medicine
16. Endowed Professorship in Biochemistry and Cardiology
17. Endowment for Nontenured Faculty Research
18. Establishment of Endowed Chairs in Veterinary Science
19. George E. Mitchell Graduate Fellowship
20. Gheens Foundation Gift
21. Graduate Intern Research Fellowship
22. Mr. and Mrs. H. Bruce Price, Jr. Professorship in Agricultural Economics
23. James C. Van Meter Graduate and Research Endowment in Mathematics
24. Lewis W. Cochran Endowed Fellowship in Physics and Astronomy
25. Lexington Herald-Leader Graduate Fellowship
26. Margaret Logan Colvin Chair in Lung Disease Research
27. Max Kade Foundation Gift and Pledge
28. Medical Center Professorships
29. Michael D. Rankin, M.D. Professorship in Family Practice
30. Mining Engineering Foundation, Inc. Gift and Pledge
31. Paul Chellgren Gift and Pledge
32. Paul Orbersen Gift and Pledge
33. Professorship in Obstetrics and Gynecology
34. Pulmonary Medicine Professorship Pledge
35. Spinal Cord and Head Injury Pledge
36. Stites & Harbison Professorship

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, March 2, 1999.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, March 2, 1999 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:05 p.m., and the invocation was pronounced by Professor Loys Mather.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Ted Bates, Governor Edward T. Breathitt (Chairperson), Mr. Nathan Brown, Mr. Paul W. Chellgren, Mr. Merwin Grayson, Mr. John "Jack" Guthrie, Mr. James F. Hardymon, Professor Loys L. Mather, Dr. Robert P. Meriwether, Mr. Billy Joe Miles, Mr. Steven S. Reed, Professor Daniel R. Reedy, Mr. C. Frank Shoop, Mrs. Marian Sims, Dr. W. Grady Stumbo, Ms. JoEtta Y. Wickliffe, Mr. Billy B. Wilcoxson, and Mr. Russell Williams. Absent from the meeting were Dr. Elissa Plattner and Mr. Martin Welenken. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors James W. Holsinger, and Elisabeth Zinser; Vice Presidents Fitzgerald Bramwell, Joseph T. Burch, Ben W. Carr, Edward A. Carter, George DeBin and Eugene Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs; and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:08 p.m.

C. Approval of Minutes

Governor Breathitt said that the Minutes of the Board meeting on December 8, 1998 had been distributed and asked for any additions or corrections. Mr. Shoop moved that the Minutes be approved as distributed. Mrs. Sims seconded the motion, and it carried.

D. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. UK is ranked 14th among land-grant institutions, 21st among public institutions and 34th overall in a survey of licensing income and patents among the nation's top research universities.
2. Elizabeth Dickey, Chemical and Materials Engineering, received the \$500,000 Presidential Early Career Award for Scientists and Engineers last month from the White House Office of Science and Technology Policy. The three-year award will be

used for her study of the bonding of various materials at the atomic level. She was one of only 60 researchers to receive the award.

3. A commemorative event will be staged in the courtroom of the College of Law at noon Wednesday, March 10th, kicking off the celebration of the 50th anniversary of African Americans on campus at UK. The celebration will extend through the fall 1999 semester.
4. UK is listed in the second annual edition of the *Student Guide to America's 100 Best College Buys*. UK's tuition rates are below the national average while its freshman grade-point average is above the national average.
5. University Extension, which provides educational programs and services throughout Kentucky, is celebrating its 80th birthday.
6. The Gatton College of Business and Economics inducted Judith Milburn Haywood, Charles Shearer, Gene Spragens, and Terry Woodward into its alumni Hall of Fame last month.
7. The Journalism and Telecommunications Alumni Association will induct the late I. Willis Cole, Earl Cox, Walt Dear, Joe Dorris, Richard "Dick" Wilson, and Sue Wylie into the Kentucky Journalism Hall of Fame on April 5th.
8. The University's 1998 Annual Report received a special merit award from the Council for Advancement and Support of Education. The annual report was chosen from among 23 entries and was displayed at the CASE District III Conference in Charlotte.
9. The UK cheerleading squad won its fifth consecutive national championship in January in Orlando, Florida. UK has won the championship nine of the last 10 years.
10. Professor Daniel Reedy, Spanish and Italian, received the Conference of Southern Graduate Schools Award for Outstanding Contributions to Graduate Education in the Southern Region.

President Wethington asked the members to read the other items in the report at their leisure.

E. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Mr. Miles moved approval. The motion, seconded by Mr. Williams, carried. (See PR 2 at the end of the Minutes.)

F. Honorary Degree Recipients (PR 3A)

President Wethington said PR 3A is asking that approval be granted to awarding the honorary degree of Doctor of Letters to Carol Martin Gatton, the Doctor of Science to Robert J. Shepherd, and the Doctor of Science to Louis W. Sullivan. The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that honorary degrees be awarded. These three bodies have expressed their approval of the recommendation, and it has been circulated to Board members for comment. President Wethington expressed pleasure in recommending approval of PR 3A. Mr. Wilcoxson moved approval. His motion, seconded by Mr. Reed, passed. (See PR 3A at the end of the Minutes.)

President Wethington noted that Louis W. Sullivan, Dean and President of the College of Medicine at Morehouse College, will be the Commencement speaker on May 8th.

G. Proposed Amendments to the Governing Regulations (PR 3B)

President Wethington said that the proposed amendments in PR 3B were submitted to the Board for consideration at the last meeting. They have been on the table for the required time period, and they have been circulated to the proper bodies on campus for input. They are being submitted now to ask the Board for final approval, and he recommended approval of PR 3B. Dr. Meriwether so moved. Mr. Miles seconded the motion, and it carried. (See PR 3B at the end of the Minutes.)

President Wethington noted that there were no items to report from the Community College System.

H. Reappointments/Appointments to University of Kentucky Equine Research Foundation (PR 5A)

President Wethington said PR 5A is a recommendation for reappointments/appointments to the University of Kentucky Equine Research Foundation. The recommendation is that approval be given to the following reappointments: Mrs. Alice H. Chandler, Mrs. Francois (Lucy) Boutin, and Mr. Nick Nicholson. He pointed out that Mrs. Chandler has recently been elected chair of the Equine Research Foundation. For new appointments, the recommendation is that Mr. Reiley McDonald, Mr. Don Robinson, and Ms. Ina Brown Bond be appointed to the Board. The Chancellor of the Lexington Campus and the Dean of the College of Agriculture recommends these appointments after having been approved by the Equine Research Foundation Board. President Wethington said he was pleased to recommend approval of PR 5A. Mr. Shoop moved approval. His motion, seconded by Mr. Bates, passed. (See PR 5A at the end of the Minutes.)

I. Appointments to the Advisory Board Lexington Community College (PR 5B)

President Wethington said PR 5B concerns appointments to the Advisory Board for Lexington Community College (LCC). He said he was pleased to recommend the appointment of Mr. William Sisson, who is an administrator at Central Baptist Hospital in Lexington, and Ms.

Paula Hanson, who is with Dean, Dorton and Ford PSC and is the head of the Chamber of Commerce in Lexington for this present year, to the LCC Advisory Board. He said he was pleased to recommend PR 5B for approval.

President Wethington noted there were no items from the Albert B. Chandler Medical Center other than those on the Finance Committee agenda.

J. College of Social Work Report

President Wethington said that he had asked Dean Kay Hoffman of the College of Social Work to make a report to the Board. He asked Chancellor Zinser to introduce Dean Hoffman.

Chancellor Zinser said it was her pleasure to introduce Dean Kay Hoffman who joined the College of Social Work on July 1, 1998. She briefly reviewed Dean Hoffman's credentials and said that she has made a big difference at the University of Kentucky in the short time she has been here.

Kay Hoffman, Dean of the College of Social Work, presented a power point description of the College of Social Work, including a discussion of the role of the profession of social work in society, a historical overview of the College, its current status and future directions.

Social Work is a profession that is hidden in society because its citizens only are in contact with social workers at difficult periods in their lives. However, social work services are offered almost everywhere including hospitals, places of employment, family and children's agencies, schools, hospices, prisons, juvenile detention centers, nursing homes and mental health facilities.

The College's history is rooted in its commitment to play an important role in the University and in society. All Research I Land Grant institutions have either a college or school of social work.

The College has three programs: undergraduate, graduate (MSW) and doctoral (Ph.D.) programs. Off campus programs at Northern Kentucky, Morehead and Prestonsburg where the MSW is offered complete the program. The Joint Ph.D. program with the University of Louisville is the only joint Ph.D. program in social work in the country. Over 600 students are enrolled in all three programs. Twenty-two regular faculty and three clinical faculty members, and thirty-nine part-time faculty teach, do research, and contribute to the community. In fact, through internships, the College contributes over \$400,000 in in-kind services to the community.

Curriculum revisions, restructuring the joint Ph.D. program, the development of faculty scholarship, and the enhancement of community-state-university partnerships are immediate areas of interest and work for the faculty. There are plans underway to offer a summer structured Ph.D. program. Faculty scholarship, already high (27th in the country in terms of productivity) has increased and over \$300,000 in state contracts with the Cabinet for Families and Children is an illustration of the College's thrust to be a vital force in the community and in the Commonwealth.

The College is hiring its first development officer and obtained its first endowed Professorship through the Research Challenge Trust Fund. Plans to pursue two endowed chairs, doctoral fellowships and lecture series monies are part of the ongoing and newly revitalized development emphasis. Reconnecting with the alumni is a strong focus for development. Dean Hoffman's presentation was capped off with a brief discussion of society's heroes and Social Work heroes, both "sung" and "unsung."

President Wethington thanked Dean Hoffman for her report and said that Dean Hoffman would be pleased to answer questions. Dean Hoffman entertained questions from members of the Board, following which she received a round of applause.

K. Acceptance of Interim Financial Report for the University of Kentucky for the Seven Months Ended January 31, 1999 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Finance Committee met and considered 36 items on the agenda. FCR 1 is a report on the university's interim financial condition. This report is for the seven months that ended January 31, 1999. He referred to the balance sheet and said that it continues to be strong. He called attention to the movement from \$127 million to \$199 million on endowments and noted that this figure included the \$42 million in matching funds coming from state government. He then referred to the revenues and stated that the revenues are on track. He said that 68% of the revenues were in through the first seven months. These are driven by hospital, student fees, and state appropriation. He noted the percentage of expenditures is slightly below last year's percentage, and this is due to timing. The University continues to have a strong balance sheet and good operating financials. Mr. Hardymon moved approval of FCR 1. Mr. Miles seconded the motion, and it passed. (See FCR 1 at the end of the Minutes.)

L. Report of Leases (FCR 2)

Mr. Hardymon said that FCR 2 is a report of leases that have an annual value of less than \$30,000 per year. The new leases are \$8 to \$13.81 per square foot, and there is one renewal of a lease at the same rate previously approved. He moved approval of FCR 2. His motion, seconded by Mr. Reed, carried. (See FCR 2 at the end of the Minutes.)

M. Capital Construction Report (FCR 3)

Mr. Hardymon said that FCR 3 is the quarterly Capital Construction Report. The report is very thorough, and the Finance Committee is finding the report to be easy to understand as it outlines the projects with activity during the quarter. The Finance Committee had a special oral report on the Commonwealth Stadium, the Career Planning and Placement Center, and the Mechanical Engineering building. All of these projects are on schedule and are within budget. He moved approval of FCR 3. Mr. Shoop seconded the motion, and it passed. (See FCR 3 at the end of the Minutes.)

N. Patent Assignment Report (FCR 4)

Mr. Hardymon said FCR 4 is the quarterly Patent Assignment Report. The first one involves a compound with high anti-tumor activity involving a way to distribute the compound to the tumor more effectively. The second one is a situation combining two drugs into a codrug composition and the ability to release the drugs or combination in a controlled manner. Both are very important patents, and the Finance Committee recommends approval. On motion made by Mr. Hardymon, seconded by Mr. Chellgren and passed, FCR 4 was approved. (See FCR 4 at the end of the Minutes.)

O. Kentucky Community and Technical College System Capital Projects (FCR 5)

Mr. Hardymon recommended that the Board approve the Kentucky Community and Technical College System (KCTCS) capital projects that were approved and funded by the 1998 General Assembly for the use of the University of Kentucky Community College System but were not included in the original KCTCS Capital Budget Request. He referred to the list of projects and explained that these projects were added after the University of Kentucky (UK) Board had already approved the capital projects. He explained that the projects were approved by the KCTCS Board in December 1998, and they must have approval by the UK Board. He said he was pleased to move approval of FCR 5. Ms. Wickliffe seconded the motion, and it passed. (See FCR 5 at the end of the Minutes.)

P. A Resolution Authorizing the University's Investment of Additional Funds in Kentucky Healthcare Enterprises, Inc. (FCR 6)

Mr. Hardymon said that FCR 6 recommends that the Board authorize the expenditure of funds from University Hospital earnings in the amount of \$5.2 million to provide additional capital for Kentucky Healthcare Enterprises, Inc. (KHE) to support and expand its operations. \$4.6 million is for operating funds through June 30, 1999 and \$600,000 for development of a not-for-profit partnership to provide home therapy and medical services. He said the Finance Committee had a fine report, and he moved approval of FCR 6. Dr. Meriwether seconded the motion, and it passed. (See FCR 6 at the end of the Minutes.)

Mr. Stumbo asked that the Committee or the President give the Board a little more information on the business plan for those substantial investments.

Chairman Breathitt called on Dr. Meriwether to address Dr. Stumbo's question.

Dr. Meriwether explained that the Hospital Board is regularly reviewing this operation of KHE, and he receives monthly reports from the administration of the hospital and the Chancellor. He stated that KHE is finding its way in the area of managed care. Initially, KHE purchased practices such as urgent treatment centers in Lexington and a pediatric practice in Corbin. However, KHE has now begun partnering with physicians and group practices across the state, and this requires operating capital and money. The University Hospital remains a viable enterprise and is more than funding itself. Unlike some academic medical centers that

have lost massive amounts of money, UK is certainly doing better and the Hospital Board is attempting to develop a good business plan for its operations.

President Wethington said that Dr. Meriwether had given an excellent report. He noted that Dr. Meriwether chairs the University Hospital Board which includes Steve Reed, Frank Shoop, Billy Wilcoxson, and Marian Sims who are members of the UK Board of Trustees. These members regularly oversee the hospital operation and advise the Medical Center. The requirement is that there be an extensive annual report of the activities of KHE to the UK Board. He said that more information about this item or any other item could be provided to Dr. Stumbo or any other member. He assured Dr. Stumbo and the Board that the UK Board members are actively involved in the oversight of University Hospital recommendations that are presented to the Board of Trustees.

Dr. Stumbo expressed concern that the University has now invested over \$20 million in KHE and Commonwealth Healthcare Alliance (CHA). Dr. Stumbo said he was just reminding the Board that he did not have enough information and feels that medicaid managed care is a highly technical area with potential for significant losses.

President Wethington responded that the Chandler Medical Center enterprises overall are not losing money and that the investments in KHE and CHA are designed to assist the University Hospital and Medical Center and their physicians in attracting patients and business to support its operations. He noted that FCR 6 comes with the review and recommendation of the Hospital Board.

Mr. Wilcoxson stated that he has tried to stay on top of the financial end of KHE and feels that the leadership of the University Hospital and Medical Center have a sound business plan, but like many start up businesses, they require early investment of capital.

Dr. Meriwether noted that today's healthcare marketplace requires investment to secure your share of the market by developing relationships with primary care physicians who are going to count on you for secondary and tertiary hospital and clinical care.

Governor Breathitt asked for any further questions or comments. He thanked Dr. Stumbo for raising the issue to help everyone better understand it.

Q. Establishment of Six Endowed Chairs in the Arts, Humanities and Social Sciences (FCR 7)

Mr. Hardymon said the next 30 items involve a variety of pledges and gifts. He encouraged any comments. These items are overpowering and rewarding. The biggest challenge is not to underrate any of the tremendous gifts.

Mr. Hardymon reminded the Board of its acceptance of \$3,000,000 from the William T. Bryan estate, which was undesignated by Mr. Bryan, at the December 1998 meeting. FCR 7 designates this money by recommending that the Board approve the establishment of six

endowed chairs in the arts, humanities and social sciences from \$3,000,000 of the bequest from the estate of William T. Bryan and the \$3,000,000 in matching funds received from the state's Research Challenge Trust Fund. He moved approval of FCR 7. His motion, seconded by Mr. Williams, carried. (See FCR 7 at the end of the Minutes.)

Professor Reedy commended President Wethington for using the Graduate Education and Research Task Force Report that was approved by the Board. President Wethington said he would use that report as the guide for moving forward in a number of different areas, and this is proof of that. He thanked President Wethington and said he believed that it is very important to show that the University has entered into a plan as it moves forward in achieving the Strategic Plan goals.

Governor Breathitt thanked Professor Reedy for his comments and said he would like to make a brief comment. He said he had watched with great interest the decisions of President Wethington, since he first became President of the University of Kentucky, concerning questions that affected the internal operation of the institution, the strength of the university's primary objectives, the strength of the faculty, the strength of the student body, and the strength of the facilities and the money to support them. He cited several situations in recent years to show the great strength of President Wethington. He said that President Wethington has always stood tall on issues that were crucial to the University. He thanked President Wethington for his strong leadership and said he knew the Board supports his feeling, following which President Wethington received a round of applause.

R. Anonymous Gift of \$1,000,000 for the William T. Young Library Endowment (FCR 8)

Mr. Hardymon said that FCR 8 recommends that the Board accept an anonymous gift of \$1,000,000 for the William T. Young Library Endowment. Mr. Young established the William T. Young Library Endowment and agreed to chair a campaign to raise \$5,000,000 for the endowment by December 31, 2002. This represents the initial gift to the endowment, matched by the state's Research Challenge Trust Fund, which will provide funds for the purchase of books and other library research materials in support of the University's goal of becoming a top 20 public research institution. On motion made by Mr. Hardymon, seconded by Mr. Shoop and carried, FCR 8 was approved. (See FCR 8 at the end of the Minutes.)

S. Barnstable-Brown Gala Chair in Diabetes Research (FCR 9)

Mr. Hardymon recommended that the Board accept a gift of \$100,000 from the American Diabetes Association of Alexandria, Virginia, and a pledge of \$400,000 from the Barnstable Brown Foundation of Louisville, Kentucky, to establish and endow a chair in pediatric diabetes research in the Chandler Medical Center's College of Medicine. He said that the Barnstable-Brown Foundation annually hosts a Kentucky Derby Gala in Louisville with proceeds supporting diabetes research. The \$400,000 pledge will be paid in annual installments of \$100,000 beginning in 1999. A condition of the gift and pledge is that the state's Research Challenge Trust Fund match the \$500,000 which would provide the \$1,000,000 required to establish a

chair. He moved approval of FCR 9. Mr. Reed seconded his motion, and it passed. (See FCR 9 at the end of the Minutes.)

T. Bloomfield Endowed Professorship (FCR 10)

Mr. Hardymon recommended that the Board establish and endow the Bloomfield Endowed Professorship in Business in the Carol Martin Gatton College of Business and Economics with \$150,000 in contributions and pledges made by Alan Bloomfield of Lexington, Kentucky, that are eligible to be matched by the state's Research Challenge Trust Fund. He said that Mr. Bloomfield is a Lexington businessman and a member of the Board of Directors of the UK Business Partnership Foundation, an advisory council in the Carol Martin Gatton College of Business and Economics. He moved approval of FCR 10. The motion was seconded by Mr. Bates and passed. (See FCR 10 at the end of the Minutes.)

U. Carol Lee Robertson Endowed Professorship in Literacy (FCR 11)

Mr. Hardymon said that FCR 11 recommends that the Board accept a gift of \$20,000 and a pledge of \$100,000 from Carol Lee Robertson of Houston, Texas, to create and endow the Carol Lee Robertson Endowed Professorship in Literacy in the College of Education.

Mrs. Robertson has been supportive of the College of Education for many years. Her mother completed her degree in education late in life and developed a strong interest in the teaching of reading. Through this professorship, Mrs. Robertson supports her mother's interest in the advanced education of teachers of reading and promotes research on literacy in American society.

Her pledge will be paid over a five-year period. In 2001 and 2002, the Exxon Corporation will match the first \$5,000 of Mrs. Robertson's gift on a 3-to-1 basis. This match will provide an additional \$30,000 for the endowment. With Mrs. Robertson's gift of \$20,000, her pledge of \$100,000, the Exxon Corporation match of \$30,000 and a \$10,000 gift for the fund from an anonymous source, the total given and pledged for the professorship over the next five years is \$160,000 to create a \$320,000 professorship.

On motion made by Mr. Hardymon, seconded by Ms. Wickliffe and carried, FCR 11 was approved. (See FCR 11 at the end of the Minutes.)

V. Carol Martin Gatton Endowment (FCR 12)

Mr. Hardymon recommended that the Board establish and endow four chairs, ten professorships, graduate scholarships and fellowships, and a faculty research and development fund with \$11,409,000 in contributions and pledges made by Carol Martin Gatton of Bristol, Tennessee, that have been matched by the state's Research Challenge Trust Fund. The chairs will be in finance, accountancy, micro-economics, and management/marketing. There will be five professorships in business, four professorships in economics and one in accountancy. The graduate fellowships and scholarships will attract and support outstanding MBA and doctoral

students. He moved approval of FCR 12. Mr. Reed seconded the motion, and it passed. (See FCR 12 at the end of the Minutes.)

W. Constance Wilson Endowed Professorship in Mental Health (FCR 13)

Mr. Hardymon said that FCR 13 recommends that the Board accept a gift of \$40,000 from colleagues, friends and relatives of Professor Constance Wilson and a pledge of \$10,000 from Joanne Bell of Lexington, Kentucky, to create the Constance Wilson Endowed Professorship in Mental Health in the College of Social Work. Professor Wilson retired from the University in 1997 after a distinguished career as a faculty member and Director of Graduate Studies in the College of Social Work. The gifts and pledges totaling \$50,000 will create a \$100,000 endowment to honor Professor Wilson when matched by the state's Research Challenge Trust Fund. The pledge by Ms. Bell, a College of Social Work graduate, will be fulfilled within five years. Mr. Hardymon moved approval of FCR 13. Dr. Meriwether seconded the motion, and it passed. (See FCR 13 at the end of the Minutes.)

X. Endowed Chair in Neurosurgery (FCR 14)

Mr. Hardymon recommended that the Board accept a pledge of \$500,000 from the Kentucky Medical Services Foundation of Lexington, Kentucky, to establish an endowed chair in Neurosurgery in the College of Medicine. He noted that the pledge is contingent on the University's receiving matching funds from the state's Research Challenge Trust Fund to create a \$1,000,000 chair to support neurosurgery research. The pledge will be paid in five annual installments of \$100,000 beginning in 1999. On motion made by Mr. Hardymon, seconded by Mr. Reed and passed, FCR 14 was approved. (See FCR 14 at the end of the Minutes.)

Y. Endowed Chair in Radiation Medicine (FCR 15)

Mr. Hardymon recommended that the Board accept a pledge of \$500,000 from the Kentucky Medical Services Foundation of Lexington, Kentucky, and a pledge of \$500,000 from the Ephraim McDowell Cancer Foundation of Lexington, Kentucky, to create an endowed chair in Radiation Medicine in the College of Medicine. He said that both donors' pledges are contingent on the University's receiving \$1,000,000 in matching funds from the state's Research Challenge Trust Fund to create a \$2,000,000 chair to support radiation medicine research. The Kentucky Medical Services Foundation pledge will be paid with five annual gifts beginning in 1999. The Ephraim McDowell Cancer Foundation will pay its pledge with annual payments of \$100,000 beginning in 1999. Mr. Hardymon moved approval of FCR 15. Mr. Chellgren seconded the motion, and it carried. (See FCR 15 at the end of the Minutes.)

Z. Endowed Professorships in Biochemistry and Cardiology (FCR 16)

Mr. Hardymon recommended that the Board accept a pledge of \$485,000 from the Kentucky Medical Services Foundation of Lexington, Kentucky, to establish three endowed professorships in the Department of Biochemistry and one in the Department of Cardiology in the College of Medicine. The pledge is contingent on the University's receiving matching funds from the state's Research Challenge Trust Fund. The pledge will be paid in annual installments

beginning in 1999. When matched by the Research Challenge Trust Fund, one professorship in biochemistry will be funded at \$104,000, one at \$330,000 and one at \$436,000. The professorship in cardiology will be funded, when matched, at \$100,000. On motion made by Mr. Hardymon, seconded by Mr. Shoop and passed, FCR 16 was approved. (See FCR 16 at the end of the Minutes.)

AA. Endowment for Nontenured Faculty Research (FCR 17)

Mr. Hardymon recommended that the Board accept a gift of \$34,000 and a pledge of \$116,000 from an anonymous donor to create an Endowment for Nontenured Faculty Research in the College of Arts and Sciences. A condition of the gift and pledge is that it be matched by the state's Research Challenge Trust Fund. The pledge will be paid in four annual installments of \$29,000 beginning in June, 1999. The donor seeks to help junior, nontenured faculty with their research in the early period of their academic careers, consistent with the University's quest to become a top 20 public research university. Mr. Hardymon moved approval of FCR 17. Mr. Reed seconded the motion, and it carried. (See FCR 17 at the end of the Minutes.)

BB. Establishment of Endowed Chairs in Veterinary Science (FCR 18)

Mr. Hardymon recommended that the Board approve the establishment of five endowed chairs (\$12,000,000), an Equine Research Program Endowment (\$2,000,000), and a John A. Morris Library Research and Graduate Mission Support Fund Endowment (\$500,000) in the College of Agriculture's Gluck Equine Research Center in the Department of Veterinary Science from funds received from the Schlaikjer estates and from matching funds received from the state's Research Challenge Trust Fund. It is anticipated that the gift amount from the estates will be approximately \$7,500,000. He moved approval of FCR 18. His motion, seconded by Mr. Bates, passed. (See FCR 18 at the end of the Minutes.)

CC. George E. Mitchell Graduate Fellowship (FCR 19)

Mr. Hardymon recommended that the Board accept a pledge of \$100,000 from George E. Mitchell of Lexington, Kentucky, and gifts totaling \$20,000 from friends of Dr. Mitchell to establish and endow the George E. Mitchell Graduate Fellowship Fund in the College of Agriculture. Dr. Mitchell is a retired faculty member of the College of Agriculture's Department of Animal Sciences. Friends and colleagues of Dr. Mitchell contributed \$20,000 for the graduate fellowship fund, and Dr. Mitchell has pledged \$20,000 a year for five years beginning in 1999. Dr. Mitchell and the other donors anticipate the gifts and pledge being matched by the state's Research Challenge Trust Fund, which would create a \$240,000 endowment to provide fellowships for graduate students in animal sciences. On motion made by Mr. Hardymon, seconded by Mr. Chellgren and carried, FCR 19 was approved. (See FCR 19 at the end of the Minutes.)

Dr. Reedy mentioned that George Mitchell was probably the longest running Director of Graduate Studies in any program in the University of Kentucky. He had close to 30 years of continuous service in that long and illustrious role.

DD. Gheens Foundation Gift (FCR 20)

Mr. Hardymon recommended that the Board accept a gift of \$100,000 from the Gheens Foundation, Inc. of Louisville, Kentucky, to establish and endow the Laramie L. Leatherman Distinguished Professorship in Tax Law in the College of Law. This \$100,000 gift by the Gheens Foundation, when matched by the state's Research Challenge Trust Fund, will create a \$200,000 endowment that will honor Mr. Leatherman in perpetuity and significantly enhance the research program of the College. He stated that this endowment will complement a previous gift from Mr. Leatherman and the Gheens Foundation. He moved approval of FCR 20. The motion, seconded by Ms. Wickliffe, passed. (See FCR 20 at the end of the Minutes.)

EE. Graduate Intern Research Fellowship (FCR 21)

Mr. Hardymon recommended that the Board accept a gift of \$74,300 from the Leadership Committee of the Patterson School of Diplomacy to establish and endow a Graduate Intern Research Fellowship in the Patterson School of Diplomacy. Members of the Leadership Committee, other alumni, faculty and friends of the school have raised \$74,300 in the past year to support a graduate fellowship to enhance the research-based educational experience of graduate interns. He noted that the Committee anticipates the gift will be matched by the state's Research Challenge Trust Fund. On motion made by Mr. Hardymon, seconded by Mr. Brown and carried, FCR 21 was approved. (See FCR 21 at the end of the Minutes.)

FF. Mr. and Mrs. H. Bruce Price, Jr. Professorship in Agricultural Economics (FCR 22)

Mr. Hardymon recommended that the Board accept a gift of \$7,250 and a pledge of \$42,750 from Mr. and Mrs. H. Bruce Price, Jr. of Maitland, Florida, to establish and endow the Mr. and Mrs. H. Bruce Price, Jr. Professorship in Agricultural Economics in the College of Agriculture's Department of Agricultural Economics. Mr. and Mrs. Price have supported several philanthropic projects in the College of Agriculture over the years. Mr. Price's father, the late H. Bruce Price, Sr., was a long-time member of the college faculty. This gift will advance the graduate programs and research in agricultural economics. A condition of the Price's commitment for the professorship is that their gift be matched by the state's Research Challenge Trust Fund. The pledge includes matching funds to be paid by Mr. Price's former employer, Philip Morris, Inc. Mr. Hardymon moved approval of FCR 22. Professor Mather seconded the motion, and it carried. (See FCR 22 at the end of the Minutes.)

GG. James C. Van Meter Graduate and Research Endowment in Mathematics (FCR 23)

Mr. Hardymon said that FCR 23 recommends that the Board accept a gift of \$50,000 and a pledge of \$80,000 from James C. Van Meter of Lexington, Kentucky, to create and endow the James C. Van Meter Graduate and Research Endowment Fund in Mathematics in the Department of Mathematics in the College of Arts and Sciences. Mr. Van Meter received a degree in mathematics from the University in 1963. He has held executive positions with several national firms and was executive vice president and chief financial officer of Georgia-Pacific Corporation

when he retired. The pledge will be paid in annual gifts of \$20,000 beginning in 1999 and ending in 2002. His gift is contingent on the University's receiving matching funds from the state's Research Challenge Trust Fund. Mr. Hardymon moved approval of FCR 23. His motion, seconded by Mr. Chellgren, passed. (See FCR 23 at the end of the Minutes.)

HH. Lewis W. Cochran Endowed Fellowship In Physics and Astronomy (FCR 24)

Mr. Hardymon said FCR 24 recommends that the Board accept a gift of \$52,000 from a donor who asked to remain anonymous to establish and endow the Lewis W. Cochran Endowed Fellowship in Physics and Astronomy in the College of Arts and Sciences. The donor is a former student of Dr. Cochran, who began a long career in teaching and administration at the University in 1957. Dr. Cochran received his master's degree and doctorate in physics from UK. His administrative positions included Dean of the Graduate School and Vice President of Academic Affairs. He died in 1996. The fellowship will support graduate students in the Department of Physics and Astronomy. The donor has requested that the University seek matching funds from the state's Research Challenge Trust Fund. Mr. Hardymon moved approval of FCR 24. Professor Mather seconded the motion, and it passed. (See FCR 24 at the end of the Minutes.)

II. Lexington Herald-Leader Graduate Fellowship (FCR 25)

Mr. Hardymon said that FCR 25 recommends that the Board accept a pledge of \$100,000 from the Lexington Herald-Leader of Lexington, Kentucky, to create and endow the Lexington Herald-Leader Graduate Fellowship in the Graduate School. This gift is contingent on the University's receiving matching funds from the state's Research Challenge Trust Fund. The intent of the endowment is to support fellowships for students who are pursuing graduate degrees in areas of interest to the Herald-Leader, including, but not limited to, business, economics, the humanities and the social sciences. The donor hopes the fellowship will help retain Kentucky's brightest students, particularly those from poverty and underrepresented backgrounds, in the professional work force in the Lexington community. The pledge will be paid in five annual installments of \$20,000 beginning in 1999. Mr. Hardymon moved approval of FCR 25. His motion, seconded by Mr. Bates, carried. (See FCR 25 at the end of the Minutes.)

JJ. Margaret Logan Colvin Chair in Lung Disease Research (FCR 26)

Mr. Hardymon said that FCR 26 recommends that the Board create and establish the Margaret Logan Colvin Endowed Chair in Lung Disease Research in the College of Medicine. Mrs. Colvin of Falmouth, Kentucky, died in March, 1997, and bequeathed her property in Pendleton County to the University. In June 1998, the Board authorized the establishment of an endowment to support lung disease research based on anticipated distributions from the estate of \$476,842 which has been matched by the Research Challenge Trust Fund (RCTF). The Colvin bequest is now expected to total \$663,950 which would provide another \$187,108 eligible for matching funds from the RCTF. Including the RCTF, the Colvin estate gift will exceed the \$1,000,000 required for the establishment of a permanently endowed chair to memorialize Mrs. Colvin in perpetuity for her generous support to the University. Mr. Hardymon moved approval

of FCR 26. Mr. Shoop seconded the motion, and it passed. (See FCR 26 at the end of the Minutes.)

KK. Max Kade Foundation Gift and Pledge (FCR 27)

Mr. Hardymon said that FCR 27 recommends that the Board accept a gift of \$200,000 and a pledge of \$200,000 from the Max Kade Foundation of New York, New York, to assist the College of Arts and Sciences Department of Germanic Languages and Literatures in establishing the Max Kade German House and Cultural Center at 212 East Maxwell Street. The Max Kade Foundation is a private foundation interested in projects with a German focus. The Foundation has designated this gift to assist in renovating and expanding the University-owned property at 212 East Maxwell Street into a multipurpose facility for use by the German Department. Upon completion, the University of Kentucky will hold the distinction of being one of only 25 universities throughout the U.S. and Europe that have received funding for a "Max Kade German House". Mr. Hardymon moved approval of FCR 27. The motion, seconded by Mr. Grayson, passed. (See FCR 27 at the end of the Minutes.)

LL. Medical Center Professorships (FCR 28)

Mr. Hardymon said that FCR 28 recommends that the Board accept a pledge of \$300,000 from Kentucky Medical Services Foundation of Lexington, Kentucky, to establish six professorships in the Albert B. Chandler Medical Center. One endowed professorship will be assigned to each of the five colleges in the Medical Center and the dean of each college will have the discretion to assign the professorship to support the chosen research area. A sixth professorship for a graduate program will be assigned by the Chancellor. The pledge is contingent on the University's receiving \$300,000 in matching funds from the state's Research Challenge Trust Fund. The pledge will be paid within five years. Mr. Hardymon moved approval of FCR 28. Mr. Guthrie seconded the motion, and it carried. (See FCR 28 at the end of the Minutes.)

MM. Michael D. Rankin, M.D. Professorship in Family Practice (FCR 29)

Mr. Hardymon said that FCR 29 recommends that the Board accept a gift of \$50,000 from Michael D. Rankin, M.D. of Harrodsburg, Kentucky, to create the Michael D. Rankin, M.D. Professorship in Family Practice in the College of Medicine. Dr. Rankin, a 1980 graduate of the College of Medicine, made the gift in December, 1998, to fund a professorship in the College of Medicine for research in family practice. A condition of the gift is that the University apply for \$50,000 in matching funds from the state's Research Challenge Trust Fund. Dr. Rankin recently retired from his practice in family medicine in Atlanta, Georgia, and is operating a family farm near Harrodsburg. Mr. Hardymon moved approval of FCR 29. The motion, seconded by Mr. Bates, passed. (See FCR 29 at the end of the Minutes.)

NN. Mining Engineering Foundation, Inc. Gift and Pledge (FCR 30)

Mr. Hardymon said that FCR 30 recommends that the Board accept a gift of \$500,000 and a pledge of \$500,000 from the Mining Engineering Foundation, Inc., Lexington, Kentucky to

establish and endow graduate fellowships in the College of Engineering's Department of Mining Engineering.

He reported that the University of Kentucky Engineering Foundation, Inc. was established in 1983 for the purpose of enhancement and improvement of the Department of Mining Engineering. It is comprised of 24 members representing the mining industry. He noted that the \$500,000 pledge will be paid over the next five years. This gift and pledge is contingent on receipt of matching funds from the state's Research Challenge Trust Fund. Mr. Hardymon moved approval of FCR 30. Mr. Wilcoxson seconded his motion, and it carried. (See FCR 30 at the end of the Minutes.)

OO. Paul Chellgren Gift and Pledge (FCR 31)

Mr. Hardymon said that FCR 31 recommends that the Board accept a gift of \$50,000 and a pledge of \$200,000 from Paul Chellgren to establish and endow the Chellgren Endowed Professorship in Corporate Strategy in the Carol Martin Gatton College of Business and Economics.

Mr. Hardymon stated that Mr. Chellgren, a 1964 graduate of the UK College of Business and Economics, is Chairman, President and CEO of Ashland, Inc. He was inducted into the College of Business and Economics' Hall of Fame in 1997. He has been a long-time and generous supporter of the University and is currently a member of the UK Board of Trustees. Mr. Chellgren's gift and pledge are contingent on the University's receiving matching funds from the state's Research Challenge Trust Fund. The pledge will be paid in four annual payments of \$50,000 beginning in 1999. On motion made by Mr. Hardymon, seconded by Mrs. Sims and passed, FCR 31 was approved. (See FCR 31 at the end of the Minutes.)

PP. Paul Orberson Gift and Pledge (FCR 32)

Mr. Hardymon said that FCR 32 recommends that the Board accept a gift of \$100,000 and a pledge of \$1,500,000 from Paul Orberson of Lexington, Kentucky, for the construction of a football office complex adjoining the E. J. Nutter Training Facility. Mr. Orberson, a native of Danville, Kentucky, is an avid fan of University of Kentucky athletics. A former athlete and coach, he is associated with Excel Communications. Mr. Hardymon moved approval of FCR 32. Dr. Meriwether seconded his motion, and it passed. (See FCR 32 at the end of the Minutes.)

QQ. Professorship in Obstetrics and Gynecology (FCR 33)

Mr. Hardymon said that FCR 33 recommends that the Board accept a pledge of \$250,000 from Kentucky Medical Services Foundation of Lexington, Kentucky, to establish and endow a Professorship in Obstetrics and Gynecology in the College of Medicine. The pledge is contingent on the University's receiving \$250,000 in matching funds from the state's Research Challenge Trust Fund to create a \$500,000 professorship. The pledge will be paid in 1999. He moved approval of FCR 33. His motion, seconded by Mr. Chellgren, carried (See FCR 33 at the end of the Minutes.)

RR. Pulmonary Medicine and Professorship Pledge (FCR 34)

Mr. Hardymon said that FCR 34 recommends that the Board accept a pledge of \$50,000 from two of Bayer Corporation's pharmaceutical divisions, one in West Haven, Connecticut, and one in Florence, Kentucky, and a \$2,500 pledge from Pfizer Pharmaceuticals of Schaumburg, Illinois, to create and endow a Professorship in Pulmonary Medicine in the College of Medicine. The Bayer Corporation and Pfizer Pharmaceuticals seek to enhance medical research in lung diseases. The pledge is contingent on the University's receiving matching funds from the state's Research Challenge Trust Fund. The pledges will be paid within five years. On motion made by Mr. Hardymon, seconded by Mr. Miles and carried, FCR 34 was approved. (See FCR 34 at the end of the Minutes.)

SS. Spinal Cord and Head Injury Pledge (FCR 35)

Mr. Hardymon said that FCR 35 recommends that the Board accept a pledge of \$2,500,000 from the Spinal Cord and Head Injury Trust to create three endowed chairs and a postdoctoral and graduate student fellowship endowment, and to fund start-up and renovation costs for the Spinal Cord and Brain Injury program in the College of Medicine. The Spinal Cord and Head Injury Trust provides funding to the College of Medicine for spinal cord and brain injury research. The Trust requests the University apply for \$2,000,000 in matching funds from the state's Research Challenge Trust Fund to match the endowed chair and postdoctoral student fellowship endowments. He reviewed the outline for the Trust's pledge to be paid and noted it will be paid annually through 2003. Mr. Hardymon moved approval of FCR 35. Dr. Meriwether seconded his motion, and it passed. (See FCR 35 at the end of the Minutes.)

TT. Stites & Harbison Professorship (FCR 36)

Mr. Hardymon said that FCR 36 recommends that the Board accept gifts totaling \$24,500 and a pledge of \$48,000 from Stites & Harbison of Lexington, Kentucky, to establish and endow the Stites & Harbison Professorship in the College of Law. The Stites & Harbison law firm has been a long-time supporter of the College of Law. The firm requests that the University submit the gift and pledge of \$72,500 for matching funds from the state's Research Challenge Trust Fund. The pledge will be paid in four annual payments of \$12,000 beginning in 1999. Mr. Hardymon moved approval of FCR 36. Mrs. Sims seconded the motion, and it passed. (See FCR 36 at the end of the Minutes.)

UU. Update on Research Challenge Trust Fund (RCTF)

President Wethington commented about the status of the Research Challenge Trust Fund requests following the Board's action of this meeting. The University has received more than \$42,000,000 already, and the Board's action at this meeting will take the University's total to more than \$50,000,000. The administration will proceed to request the match for those Board action items approved at this meeting.

In addition to the RCTF match, the Board has received or allocated some \$22,000,000 or more of contributions to the University of Kentucky from friends, supporters, and donors. He

reported that the total number of chairs established by Board action is 54 and the number of professorships is 98. The University has more than doubled the number of chairs in the last year. On July 1, 1998, the total number of chairs was 23 and the number of professorships was 50. He noted there were 3 months remaining before the fiscal year ends. He reminded the Board of his goal to try to complete the RCTF match during calendar year 1999 to ensure that the University is in the best possible position to go to the Governor and General Assembly in the year 2000. This success will inform them that the University has been successful in the matching of the RCTF and the University would very much like to see them authorize such an appropriation again. He said that Governor Patton is interested in and supportive of a second appropriation.

President Wethington thanked the Board members who have been helpful either by giving money or identifying supporters. He thanked the faculty, staff, and administrators who are actively involved and engaged in raising private money for the University to match the RCTF. The University is engaged in a major capital campaign over a five-year period. RCTF is just a part of this campaign, but it is really an important part. He commended the Governor and General Assembly for having appropriated this trust fund because it is giving the University a major boost. He thanked the Board for their forbearance in officially recognizing the many gifts and monies received at the meeting.

VV. Meeting Adjourned

Governor Breathitt thanked President Wethington for his comments. He said this has been a red letter day for gifts and matching funds.

There being no further business to come before the Board, the Chairperson adjourned the meeting at 3:45 p.m.

Respectfully submitted,

Merwin Grayson
Secretary
Board of Trustees

(PR 2, 3A, 3B, 5A, and 5B; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, and 36 which follow are official parts of the Minutes of the meeting.

Office of the President
March 2, 1999

1. UK Among Top Universities in Licensing Income and Patents

UK is ranked 14th among land-grant institutions, 21st among public institutions and 34th overall in a survey of licensing income and patents among the nation's top research universities. The annual survey by the Association of University Technology Managers included 132 private and public institutions. The University held 52 licenses in fiscal year 1996-1997, received \$2.57 million in royalties on licensed technology and applied for 30 patents and was issued 12. Top royalty-producing inventions included a nasal delivery method for pharmaceuticals, three vaccines for horses and an implant device for treating eye diseases.

2. Engineering Professor Wins Top White House Honor for Young Scientists

Elizabeth Dickey, chemical and materials engineering, received the \$500,000 Presidential Early Career Award for Scientists and Engineers last month from the White House Office of Science and Technology Policy. The award is the highest honor given to young scientists by the U.S. government. The three-year award will be used for her study of the bonding of various materials at the atomic level. Dickey is an alumna of the UK College of Engineering and was nominated for the award by the Department of Defense, which has been funding her research. She was one of only 60 researchers to receive the award.

3. Fiftieth Anniversary of African Americans at UK Celebrated

A commemorative event will be staged in the courtroom of the College of Law at noon Wednesday, March 10, kicking off the celebration of the 50th anniversary of African Americans on campus at UK -- a celebration that will extend through the fall 1999 semester. A number of College of Law alumni, including many African-American graduates, are expected for the celebration of the lawsuit filed against the Commonwealth of Kentucky by Lyman T. Johnson in 1949 to admit African Americans.

4. UK Launches National Marketing Initiative

The UK Marketing Advisory Council has been established to help launch a new integrated marketing initiative. Including senior administrative staff, faculty and student representatives, this council conducted the first comprehensive analysis of strengths and weakness of the University on February 12. Feedback from the group will be verified in UK's first national public opinion research effort designed this year to study the needs and perceptions of prospective students, parents, alumni, corporate leaders, opinion leaders and media in seven geographic areas. Results from those studies will drive the development of an integrated marketing plan scheduled for implementation in the fall.

5. University Listed Among Top 100 'Best Buys'

UK is listed in the second annual edition of the *Student Guide to America's 100 Best College Buys*. The listing was based on cost to students and grade-point average and entrance exam scores of the freshman class. UK's tuition rates are below the national average while its freshman grade-point average is above the national average. The University also was listed in last year's issue. The book's publisher, Institutional Research & Evaluation of Gainesville, Georgia, considered about 1,600 colleges and universities while selecting the top 100.

6. Psychology Program Accepted Into Elite Academy

The doctoral program in clinical psychology has been accepted as one of only 35 members of the Academy for Psychological Clinical Science. The academy was established five years ago to support academic programs that emphasize the scientific study of clinical psychology and the use of scientifically validated treatments. Membership offers the University recognition for its program's commitment to scientific study and access to the latest developments in the field at the academy's conferences.

7. LCC Receives Commonwealth Virtual University Grant

Martha Birchfield, librarian at Lexington Community College, is working with the Commonwealth Virtual University to develop Web-based undergraduate courses in library science for the state's public library employees. A \$15,000 grant from the Commonwealth Virtual University is funding the course development for this pilot project in distance education. The library science courses are designed to meet education certification requirements for public library staff. LCC is offering five courses this semester to 80 students statewide.

8. University Patents New Genetic Engineering Technology

Indu Maiti, Tobacco and Health Research Institute (THRI), and R.J. Shepherd, THRI and plant pathology, have received a patent for their “genetic promoter” that triggers the operation of genes introduced into the tobacco plant. The promoter can be used to enable the proper functioning of new genes that are introduced into crop plants by the techniques of genetic engineering. The research stems from THRI’s new emphasis on plant biotechnology. About two-thirds of the institute’s research now involves the use of genetic engineering to facilitate the development of new uses for tobacco.

9. University Extension Celebrates 80th Birthday

University Extension, which provides educational programs and services throughout Kentucky, is celebrating its 80th birthday. The UK Board of Trustees established the program on January 15, 1919. Today, its programs include Evening and Weekend College, Summer School, Independent Study Programs, Experiential Education, Carnahan Conference Center, Japanese Saturday School and Services for Adult, Continuing and Part-time Students.

10. Four Inducted Into Gatton College Alumni Hall of Fame

The Gatton College of Business and Economics inducted four people into its alumni hall of fame last month. They are: Judith Milburn Haywood, administrative staff officer of the Gatton College; Charles Shearer, president of Transylvania University; Gene Spragens, president of Farmers National Bank in Lebanon; and Terry Woodward, president and CEO of Wax Works-Video Works in Owensboro.

11. Six to be Inducted Into Kentucky Journalism Hall of Fame

The Journalism and Telecommunications Alumni Association will induct six people April 5 into the Kentucky Journalism Hall of Fame, housed at the UK School of Journalism and Telecommunications. They are: the late I. Willis Cole, former owner, editor and publisher of *The Louisville Leader*; Earl Cox, sports columnist for *The Voice-Tribune* in Louisville and former executive sports editor of *The Courier-Journal* and *Louisville Times*; Walt Dear, former publisher of *The Gleaner* in Henderson; Joe Dorris, former editor, columnist and publisher of the *Kentucky New Era* in Hopkinsville; Richard “Dick” Wilson, Lexington bureau chief and higher education writer for *The Courier-Journal*; and Sue Wylie, a Lexington broadcaster since the mid-1960s and current WVLK radio talk show host. Charles L. Overby, chairman and CEO of The Freedom Forum, will deliver this year’s Creason Lecture as part of the induction events.

12. Study Says Dental Fillings Not a Factor in Alzheimer's Disease

An eight-year UK study shows no significant association between mercury from silver dental fillings and Alzheimer's disease. The role that dental fillings plays in causing Alzheimer's disease has been debated in medical circles ever since autopsy studies in the early 1990s showed that people with a lot of silver fillings had high levels of mercury in their brains. The UK study compared mercury levels in autopsied brains, existing dental fillings and dental histories in both Alzheimer's subjects and control subjects. William Markesbery of the Sanders-Brown Center on Aging directed the study, which involved researchers from Sanders-Brown, dentistry, chemistry and statistics. A \$517,229 National Institutes of Health grant funded the study, which was published in last month's *Journal of the American Dental Association*.

13. Human Environmental Sciences Week to be Celebrated

NASA nutritionist and UK dietetics alumna Barbara Landrum Rice will be the distinguished lecturer at 4 p.m. Monday, March 8, to kick off Human Environmental Sciences (HES) Week. The Franklin native is one of five sisters to graduate from UK and has a client list that includes astronaut John Glenn and several Hollywood stars. This year's theme for HES Week is "HES -- Setting the Standards for Success." Other events will include an open house, career fair and other lectures.

14. Serpent and Rainbow Author to Deliver Blazer Lecture

Wade Davis, whose study of voodoo zombies in Haiti led to *The Serpent and the Rainbow*, a best-selling book and a full-length movie, presented this year's Blazer Lecture on February 25. Paul G. Blazer Jr., founder of Ashland Oil, and his wife, Georgia Blazer, the first woman on the UK Board of Trustees, endowed the Blazer Lecture Series in 1948. The College of Arts and Sciences has since hosted more than one hundred speakers as part of the series.

15. University's Annual Report Wins Award

The University's 1998 Annual Report received a special merit award from the Council for Advancement and Support of Education. The annual report was chosen from among 23 entries and was displayed at the CASE District III Conference in Charlotte, North Carolina. It now is included in the CASE III archives at Georgia State University.

16. Cheerleaders Win National Championship

The UK cheerleading squad won its fifth consecutive national championship in January in Orlando, Florida. The University has won the championship nine of the last 10 years. The Universal Cheerleaders Association sponsors the championship.

17. Student Awards and Honors

Two teams of engineering students will perform experiments this month in a weightless environment as part of a NASA-sponsored program. This year's "Weightless Wildcats" are Chris Barker, Courtney Byers, Andrew Clem, Ron Couch, Beth Craft, Kimberly Glenn, Eric Hawkes, Patrick Hobbs, Jim Jackson, Robert Jones, Justin Kearns and Susan Moore.

Elizabeth Lester, engineering, had a research paper, "Minimization of Global Climate Change Using Clean Coal Technology," published as a result of her public policy internship with the American Institute of Chemical Engineers.

Justin Vickers, music, won the Scholarship Division of the National Opera Association Competition in New York.

18. Significant Faculty and Staff Activities

Carol Baskin, biological sciences and agronomy, was named president of the Botanical Society of America.

Lewis Dittert and Anwar Hussain, pharmacy, developed a nasal spray delivery system for the prescription drug Viagra at their company in the Advanced Science and Technology Commercialization Center.

Cortland Eble, Kentucky Geological Survey, was named a Geological Society of America fellow.

Jeff Edgens, forestry, was named associate editor of *Water Resources IMPACT*, and he and Mathew Smidt, forestry, received a \$9,000 Kentucky Department of Forestry grant to develop an urban forestry Web site.

Vincent Gallicchio, allied health professions, received two patents, one for combining drugs with lithium salts to reduce side effects and enhance the immune system for AIDS patients, and the other for a new drug delivery system that could reduce side effects from chemotherapy.

Donald Gross and Penny Miller, political science, participated in the first national study on the use of "soft money" in congressional races by monitoring U.S. Senate and House races last fall in Kentucky. The results were announced last month in Washington, D.C.

Ellen Hahn, nursing, published a study in the *American Journal of Preventive Medicine* showing that Kentucky legislators support tobacco control policies.

Rosalind Harris, sociology, was named president of the Southern Rural Sociological Association.

Craig Infanger, agricultural economics, received the Farm Foundation and National Public Policy Education Committee award.

Stewart Kaufman, political science, received the \$45,000 International Affairs Fellowship from the Council on Foreign Relations, allowing him to spend 1999 as a member of the National Security Council staff.

Christopher Kwolek, surgery, was named an American College of Surgeons fellow.

Mohammed Mohiuddin, radiation medicine, was named one of 318 top cancer specialists for women in *Good Housekeeping*.

Mark Mattson, anatomy and neurobiology, led a study published in *Annals of Neurology* showing that eating less could help prevent age-related brain disorders such as Alzheimer's disease and Parkinson's disease.

Carl Nathe, public relations, Derrick Ramsey, community relations, and Tubby Smith, athletics, received Friend of the College awards from the College of Education for promotion of the "Teachers Who Make a Difference" campaign.

Dan Reedy, Spanish and Italian, received the Conference of Southern Graduate Schools Award for Outstanding Contributions to Graduate Education in the Southern Region.

Donald Thornton, parking and transportation, was chosen president of the Midwest Campus Parking Association.

Kim Townley, family studies, was appointed executive director of Early Childhood Development in the Kentucky governor's office.

Larry Turner, biosystems and agricultural engineering, received the Epsilon Sigma Phi project award.

Jean Watts, Lexington Community College, is coordinating a five-year project to monitor water quality at Cane Run for the Lexington-Fayette Urban County Government, using students from LCC's Environmental Science Technology program.

Stewart Whitman, cardiology, won the Young Investigator Award from the Linda and Jack Gill Heart Institute.

PR 2

Office of the President
March 2, 1999

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

CENTRAL ADMINISTRATION

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Vice President for Information Systems

Cox, Penny D., Planning and Budget Director, Vice President for Information Systems Office, effective 1/18/99.

Vice President for Research and Graduate Studies

Volk, Bob G., Professor (with tenure), Agronomy, appointed Director, Kentucky Water Resources Research Institute, effective 2/1/99.

B. RETIREMENTS

Athletics

Fligg, Jack, Assistant Athletics Director, after 8 years, 11 months total years of service, under AR II-1.6-1 Section III.B, effective 12/31/98.

Vice President for Fiscal Affairs

Fields, Tom, Director, Purchasing Division, after 38 years, 11 months of consecutive service, under AR II-1.6-1 Section III.B, effective 1/4/99.

C. EARLY RETIREMENTS

Vice President for Fiscal Affairs

Campbell, Lennora, Custodial Worker I, Physical Plant Division, after 32 years, 2 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 2/27/99.

Turpin, Larry, Utility Worker, Physical Plant Division, after 30 years, 1 month of consecutive service, under AR II-1.6-1 Section III.A.2, effective 12/4/98.

Vice President for Information Systems

Wright, Billy Joe, Printing Supervisor, Printing Services, after 33 years, 3 months total years of service, under AR II-1.6-1 Section III.A.2, effective 3/1/99.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Vice President for Information Systems

Soward, Jr., John P., Lead Systems Programmer, Computing Services,
effective 2/1/99.

Vice President for Research and Graduate Studies

Bond, Patricia, Assistant Dean, Graduate School, effective 2/1/99.

Collins, Lisa P., Assistant Dean, Graduate School, effective 11/1/98.

Warlick, Kenneth, Disability Program Administrator III,
Interdisciplinary Human Development Institute, effective 1/1/99.

Woolery, Edward W., Geologist IV, Kentucky Geological Survey,
effective 11/23/98.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Volk, Bob G., Professor (with tenure), Agronomy, effective 2/1/99.

College of Arts and Sciences

Albers, Dale, Visiting Professor, Sociology, 1/1/99 through 6/30/99.

Baskin, Carol, Professor (with tenure), Biological Sciences, effective 1/1/99.

College of Business and Economics

Neal, Terry L., Assistant Professor, Accountancy, 1/1/99 through 6/30/99.

Shaw, Jason D., Assistant Professor, Management, 8/1/99 through 6/30/00.

College of Engineering

Calvert, Kenneth L., Associate Professor (without tenure), Computer Science,
8/16/98 through 6/30/00.

Manivannan, D., Assistant Professor, Computer Science, 12/1/98 through 6/30/00.

College of Law

Shepherd, Dennis W., Professor (part-time), Law, 1/11/99 through 5/15/99.

B. ADMINISTRATIVE APPOINTMENTS

College of Agriculture

Smith, M. Scott, Professor (with tenure), Agronomy, and Chair, Agronomy,
appointed Associate Dean for Research and Associate Director of the
Kentucky Agricultural Experiment Station, effective 2/1/99.

Vice Chancellor for Academic Services

Durant, David S., Associate Professor (with tenure), English, and Chair, English,
appointed Director, Honors Program, 1/1/99 through 6/30/02.

C. JOINT APPOINTMENTS

College of Arts and Sciences

Baskin, Carol, Professor (with tenure), Biological Sciences, joint appointment as Professor (without tenure), Agronomy, College of Agriculture, effective 1/1/99.

Graduate School

Desch, Michael C., Associate Professor (without tenure), Patterson School of Diplomacy and International Commerce, joint appointment as Associate Professor (without tenure), Political Science, College of Arts and Sciences, 1/1/99 through 6/30/00.

D. REAPPOINTMENTS

College of Arts and Sciences

Drahovzal, James A., Associate Adjunct Professor, Geological Sciences, 1/1/99 through 6/30/99.

Haney, Donald C., Adjunct Professor, Geological Sciences, 1/1/99 through 6/30/99.

College of Law

Elliott, Robert L., Professor (part-time), Law, 1/11/99 through 5/15/99.

Garmer, William R., Professor (part-time), Law, 1/11/99 through 5/15/99.

Hamblin, Pierce W., Professor (part-time), Law, 1/11/99 through 5/15/99.

Savage, Joe C., Professor (part-time), Law, 1/11/99 through 5/15/99.

E. PROMOTION

College of Communications and Information Studies

Harrington, Nancy Grant, from Assistant Professor, Communication, to Associate Professor (with tenure), Communication, effective 1/1/99.

F. CHANGES

College of Arts and Sciences

Bordo, Susan, from Professor (with tenure), Philosophy, to Professor (with tenure), English, effective 1/1/99.

College of Engineering

Murphy, William E., from Extension Professor (with tenure), Agricultural Engineering, College of Agriculture, to Professor (with tenure), Mechanical Engineering, effective 1/1/99.

G. RETIREMENTS

College of Agriculture

Flynn, Bonnie B., Staff Support Associate I, Forestry, after 16 years and 6 months of consecutive service, under Section III.B of AR II-1.6-1, effective 1/3/99.

Jenkins, Buford, Carpenter I, Management Operations, after 15 years of consecutive service, under Section III.B of AR II-1.6-1, effective 1/23/99.

College of Arts and Sciences

Goodin, Annelle, Administrative Support Associate I, Student Services, after 47 years and 8 months of consecutive service, under Section III.B of AR II-1.6-1, effective 1/29/99.

H. EARLY RETIREMENTS

College of Agriculture

Lawrence, Linda, Secretary, Fayette County Extension, Cooperative Extension Service, after 20 years and 6 months of consecutive service and a total of 27 years and 7 months of service, under Section III.A.2 of AR II-1.6-1, effective 4/2/99.

Nay, Betty, Extension County Clerk, Field Programs, Cooperative Extension Service, after 22 years of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 1/4/99.

College of Arts and Sciences

Buckholtz, James D., Professor (with tenure), Mathematics, after 34 years and 4 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 1/1/99.

Rieman, Joyce, Administrative Support Associate I, Geography, after 14 years and 6 months of consecutive service and a total of 17 years and 6 months of service, under Section III.A.2 of AR II-1.6-1, effective 3/2/99.

College of Business and Economics

Chesnut, Jenny L., Staff Support Associate I, Dean's Office, after 16 years and 4 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 1/29/99.

Vice Chancellor for Administration

Rieman, Allen R., Director, Auxiliary Services, after 30 years and 3 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 3/1/99.

I. PHASED RETIREMENT

College of Engineering

Nasar, Syed, Professor (half-time), Electrical Engineering, 7/1/99 through 6/30/02.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Diamond, Beth, Assistant Professor, Horticulture and Landscape Architecture, 1/1/99 through 6/30/99.

Nagy, Peter D., Assistant Professor, Plant Pathology, 1/19/99 through 6/30/99.

Pomper, Kirk W., Assistant Adjunct Professor, Horticulture and Landscape Architecture, 12/1/98 through 6/30/99.

Rhoades, Charles C., Assistant Professor, Forestry, 12/16/98 through 6/30/99.

Shelby, Kent Sterling, Assistant Research Professor, Entomology, 12/15/98 through 6/30/99.

College of Architecture

Hutchinson, Martha, Instructor (part-time), Architecture, 1/1/99 through 5/31/99.

Porter, Larry J., Instructor (part-time), Architecture, 1/1/99 through 5/15/99.

College of Arts and Sciences

Ward, Ronald Cleve, Assistant Professor, Military Science, 3/1/99 through 12/31/01.

College of Business and Economics

Parker, Larry A., Instructor (part-time), Management, 1/1/99 through 5/15/99.

College of Education

Denny, Elizabeth B., Instructor (part-time), Curriculum and Instruction, 1/1/99 through 5/15/99.

College of Fine Arts

Corum, Jaime C., Instructor (part-time), Art, 1/1/99 through 5/31/99.

Sprengnether, Katherine C., Instructor (part-time), Art, 1/1/99 through 5/31/99.

College of Education

Olberding, Doug, Instructor (part-time), Kinesiology and Health Promotion, 1/1/99 through 5/15/99.

College of Human Environmental Sciences

Cheatham, Mary, Instructor (part-time), Nutrition and Food Science, 1/1/99 through 5/15/99.

Hisel, Gary F., Instructor (part-time), Interior Design, Merchandising and Textiles, 1/1/99 through 5/15/99.

Ludewig, Gabriele, Assistant Research Professor, Nutrition and Food Science, 1/1/99 through 6/30/99.

Powell, Beth A. Woodford, Instructor (part-time), Family Studies, 1/1/99 through 5/15/99.

College of Social Work

Birthisel, Debbie, Assistant Professor (part-time), Social Work, 1/1/99 through 5/15/99.

Bowling, Linda R., Assistant Professor (part-time), Social Work, 1/1/99 through 5/15/99.

Bramlage, Ronald, Assistant Professor (part-time), Social Work, 1/1/99 through 5/15/99.

Cassidy, Shelagh M., Assistant Professor (part-time), Social Work, 8/16/98 through 5/15/99.

Christiansen, David, Assistant Professor (part-time), Social Work, 1/1/99 through 5/15/99.

Dawson, Steve, Assistant Professor (part-time), Social Work, 1/1/99 through 5/15/99.

Jones, Blake, Assistant Professor (part-time), Social Work, 1/1/99 through 5/15/99.
Lightner, Gail, Assistant Professor (part-time), Social Work, 1/1/99 through 5/15/99.
Lyon, Jennifer, Assistant Professor (part-time), Social Work, 1/1/99 through 5/15/99.
Meier, Michael, Assistant Professor (part-time), Social Work, 1/1/99 through 5/15/99.
Powell, Terri J., Assistant Professor (part-time), Social Work, 1/1/99 through 5/15/99.
Robinson, Deirdra, Assistant Professor (part-time), Social Work, 1/1/99 through 5/15/99.
Weeks, Pamela L., Assistant Professor (part-time), Social Work, 1/1/99 through 5/15/99.

Lexington Community College

Feldman, Theresa D., Instructor, Biological Sciences and Nursing, 1/4/99 through 6/30/99.
Letcher, Traci S., Instructor (temporary), Social Sciences and Graphics Technologies, 1/13/99 through 5/31/99.
Meek, Jill D., Instructor (temporary), Natural Sciences and Health Technologies, 1/7/99 through 5/31/99.
Perry, Randall W., Instructor (voluntary), Natural Sciences and Health Technologies, 3/1/99 through 5/31/99.
Sallee, Nancy N., Instructor (temporary), Behavioral Sciences and Information Systems Technologies, 1/13/99 through 5/31/99.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Ruder, Cynthia, Associate Professor (with tenure), Russian and Eastern Studies, appointed Acting Chair, Russian and Eastern Studies, 1/1/99 through 6/30/99.

College of Communications and Information Studies

Harrington, Nancy Grant, Associate Professor (with tenure), Communication, appointed Acting Chair, Communication, 1/1/99 through 6/30/99.

College of Engineering

Donohue, Kevin, Associate Professor (with tenure), Electrical Engineering, and Acting Chair, Electrical Engineering, reappointed Acting Chair, Electrical Engineering, 1/1/99 through 12/31/99.

C. JOINT APPOINTMENT

College of Education

Clasey, Jody, Assistant Professor, Kinesiology and Health Promotion, joint appointment as Assistant Professor, Physical Medicine and Rehabilitation, College of Medicine, 4/7/99 through 6/30/99.

D. REAPPOINTMENTS

College of Agriculture

Aten, D. Lyle, Instructor (part-time), Horticulture and Landscape Architecture, 1/1/99 through 5/15/99.

Austin, Stephen D., Instructor (part-time), Horticulture and Landscape Architecture, 1/1/99 through 5/15/99.

Gorton, William T. III, Lecturer (part-time), Agricultural Economics, 1/13/99 through 5/7/99.

McCoy, J. Michael, Instructor (part-time), Horticulture and Landscape Architecture, 1/1/99 through 5/15/99.

Whittaker, David P., Instructor (part-time), Horticulture and Landscape Architecture, 1/1/99 through 5/15/99.

College of Architecture

Barry, Michael, Instructor (part-time), Architecture, 1/1/99 through 5/15/99.

Biagi, David, Instructor (part-time), Architecture, 1/1/99 through 5/15/99.

Hudson, Karen, Instructor (part-time), Architecture, 1/1/99 through 5/15/99.

Huestis, G. Chris, Instructor (part-time), Architecture, 1/1/99 through 5/15/99.

Jacobs, Michael, Instructor (part-time), Architecture, 1/1/99 through 5/15/99.

Jahed, Peyman, Assistant Professor (part-time), Architecture, 1/1/99 through 5/15/99.

Romanowitz, Byron F., Instructor (part-time), Architecture, 1/1/99 through 5/15/99.

Stevens, Randall, Instructor (part-time), Architecture, 1/1/99 through 5/15/99.

College of Arts and Sciences

Baltus-Sih, Marie-Sylvie, Lecturer, French, 1/1/99 through 6/30/99.

Cobb, James C., Assistant Adjunct Professor, Geological Sciences, 1/1/99 through 6/30/99.

DeWitt, Thomas, Assistant Research Professor, Biological Sciences, 1/1/99 through 6/30/99.

Dinger, James S., Assistant Adjunct Professor, Geological Sciences, 1/1/99 through 6/30/99.

College of Business and Economics

Thompson, Eric C., Assistant Research Professor, Economics, 7/1/99 through 6/30/00.

College of Communications and Information Studies

Bowdy, Matthew, Instructor (part-time), Communication, 1/1/99 through 5/15/99.

Bryant, Rosemary, Instructor (part-time), Communication, 1/1/99 through 5/15/99.

Chace, Laura, Instructor (part-time), Library and Information Science, 1/1/99 through 5/15/99.

Hornsby, Donna, Instructor (part-time), Library and Information Science, 1/1/99 through 5/15/99.

Miller, Robert O., Instructor (part-time), Communication, 1/1/99 through 5/15/99.

Roberts, Randall, Instructor (part-time), Library and Information Science, 1/1/99 through 5/15/99.

Schultz, Lois, Instructor (part-time), Library and Information Science, 1/1/99 through 5/15/99.

Shier, Elizabeth, Instructor (part-time), Library and Information Science, 1/1/99 through 5/15/99.

Stephens, Rebecca, Instructor (part-time), Library and Information Science, 1/1/99 through 5/15/99.

Van Willigen, Jacqueline E., Instructor (part-time), Library and Information Science, 1/1/99 through 5/15/99.

Young, Victoria, Instructor (part-time), Library and Information Science, 1/1/99 through 5/15/99.

College of Education

Bausch, Margaret, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/99 through 5/15/99.

Beach, David, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/99 through 5/15/99.

Bell, Jennifer, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/99 through 5/15/99.

Meyer, Stacie, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/99 through 5/15/99.

Muntz, Charlie, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/99 through 5/15/99.

Nuckols, Leslie, Instructor (part-time), Curriculum and Instruction, 1/1/99 through 5/15/99.

Rankin, Stephanie, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/99 through 5/15/99.

College of Engineering

Dickey, Elizabeth C., Assistant Professor, Chemical and Materials Engineering, 1/1/99 through 12/31/00.

Goble, Christine A., Assistant Professor (part-time), Civil Engineering, 1/1/99 through 12/31/99.

Rao, Prasada, Visiting Assistant Professor, Civil Engineering, 1/1/99 through 12/31/99.

College of Fine Arts

Adams, Patrick, Instructor (part-time), Art, 1/1/99 through 5/31/99.

Bart, Radu, Instructor (part-time), Art, 1/1/99 through 5/31/99.

Barton, Mary, Instructor (part-time), Arts Administration, 1/15/99 through 5/15/99.

Holdren, Michael, Instructor (part-time), Art, 1/1/99 through 5/31/99.

Johnson, Lucy, Instructor (part-time), Art, 1/1/99 through 5/31/99.

Laytart, Carla Beth, Instructor (part-time), Music, 1/1/99 through 5/15/99.

Nelson, Lawrence, Instructor (part-time), Music, 1/1/99 through 5/15/99.

Osland, Lisa, Instructor (part-time), Music, 1/1/99 through 5/15/99.

Owens, Susan, Instructor (part-time), Music, 1/1/99 through 5/15/99.

Paige, Roger, Instructor (part-time), Arts Administration, 1/15/99 through 5/15/99.

Pickett, Irwin, Instructor (part-time), Art, 1/1/99 through 5/31/99.

Slade, Susan, Instructor (part-time), Art, 1/1/99 through 5/31/99.

Stofer, Jill, Instructor (part-time), Art, 1/1/99 through 5/31/99.

Stucky, Rodney, Instructor (part-time), Music, 1/1/99 through 5/15/99.

Zirkle, Ross E., Instructor (part-time), Art, 1/1/99 through 5/31/99.

College of Human Environmental Sciences

Durbin, Eileen D., Instructor (part-time), Family Studies, 1/1/99 through 5/15/99.

Elling, Kay, Instructor (part-time), Family Studies, 1/1/99 through 5/15/99.

Sullivan, Cynthia, Instructor (part-time), Nutrition and Food Science, 1/1/99 through 5/15/99.

Tulloch, Charlotte, Instructor (part-time), Family Studies, 1/1/99 through 5/15/99.

Lexington Community College

McCane, Rebecca, Instructor (temporary), Biological Sciences and Nursing,
1/11/99 through 5/31/99.

Streable, Glenda L., Instructor (temporary), Natural Sciences and Health
Technologies, 1/6/99 through 5/31/99.

Walmsley, Lee Anne, Instructor (temporary), Biological Sciences and Nursing,
1/4/99 through 5/31/99.

E. TERMINAL REAPPOINTMENT

College of Arts and Sciences

Irvin, Cynthia L., Assistant Professor, Political Science, 1/1/99 through 12/31/99.

F. LEAVES OF ABSENCE

College of Agriculture

Adkins, Gwenda L., County Extension Agent, Cooperative Extension Service,
Educational leave with full salary, 7/1/99 through 12/24/99.

Chappell, G.L., Extension Professor (with tenure), Animal Sciences, Sabbatical
leave with full salary, 1/4/99 through 3/21/99.

College of Architecture

Groves, J. Russell, Associate Professor (with tenure), Architecture, Leave without
pay, 1/1/99 through 12/31/99.

College of Arts and Sciences

Smith, Gerald L., Associate Professor (with tenure), History, Sabbatical leave
with full salary, 7/1/99 through 12/31/99.

College of Business and Economics

Ali, Mukhtar M., Professor (with tenure), Economics, Sabbatical leave with full
salary, 7/1/99 through 12/31/99.

Harvey, Curtis, Professor (with tenure), Economics, Sabbatical leave with full
salary, 7/1/99 through 12/31/99.

Jensen, Richard A., Professor (with tenure), Economics, Sabbatical leave with full
salary, 1/1/00 through 6/30/00.

Keller, Stuart, Associate Professor (with tenure), Accountancy, Sabbatical leave with full salary, 7/1/99 through 12/31/99.

Kim, Yoonbai, Associate Professor (with tenure), Economics, Sabbatical leave with half salary, 7/1/99 through 6/30/00.

College of Education

Collins, Belva C., Associate Professor (with tenure), Special Education and Rehabilitation Counseling, Sabbatical leave with full salary, 1/1/00 through 6/30/00.

Feist-Price, Sonja, Associate Professor (with tenure), Educational and Counseling Psychology, Sabbatical leave with full salary, 7/1/99 through 12/31/99.

College of Engineering

Goldsmith, Judy, Associate Professor (with tenure), Computer Science, Sabbatical leave with half salary, 7/1/99 through 6/30/00.

Klapper, Andrew, Associate Professor (with tenure), Computer Science, Sabbatical leave with half salary, 7/1/99 through 6/30/00.

Marek, Victor, Professor (with tenure), Computer Science, Sabbatical leave with full salary, 7/1/99 through 12/31/99.

College of Human Environmental Sciences

Townley, Kim F., Associate Professor (with tenure), Family Studies, Leave without pay, 11/15/98 through 6/30/99.

Lexington Community College

Crowley, Lillie, Professor (with tenure), Physical Sciences and Engineering Technologies, Sabbatical leave with half salary, 7/1/99 through 6/30/00.

Galvin, Sarah, Assistant Professor, Humanities and Business Technologies Division, Leave without pay, 1/1/99 through 6/30/99.

Hunt, Carol, Associate Professor (with tenure), Humanities and Business Technologies, Sabbatical leave with full salary, 7/1/99 through 12/31/99.

Lefler-Teeple, Pat, Associate Professor (with tenure), Behavioral Sciences and Information Systems Technologies, Sabbatical leave with half salary, 7/1/99 through 6/30/00.

Linder, Jaqui, Associate Professor (with tenure), Humanities and Business Technologies, Sabbatical leave with half salary, 7/1/99 through 6/30/00.

Roberts, Francis III, Professor (with tenure), Natural Sciences and Health Technologies, Sabbatical leave with full salary, 7/1/99 through 12/31/99.

Subramanian, Seetha, Professor (with tenure), Physical Sciences and Engineering Technologies, Sabbatical leave with full salary, 7/1/99 through 12/31/99.

Wachtel, Dave, Associate Professor (with tenure), Social Sciences and Graphics Technologies, Sabbatical leave with full salary, 7/1/99 through 12/31/99.

G. LEAVES OF ABSENCE CHANGES

College of Arts and Sciences

Adams, David R., Professor (with tenure), Mathematics, to change from Scholarly fellowship leave, 1/1/99 through 6/30/99, to Scholarly fellowship leave, 1/1/00 through 6/30/00.

College of Engineering

Griffioen, James, Associate Professor (with tenure), Computer Science, to change from Sabbatical leave with half salary, 7/1/98 through 6/30/99, to Sabbatical leave with full salary, 1/1/99 through 6/30/99.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Dentistry

Di Fiore, Peter M., Associate Professor* (without tenure), Oral Health Practice, 1/1/99 through 6/30/99.

College of Medicine

Hursh, Diana M., Clinical Assistant Professor, Anesthesiology, 1/20/99 through 6/30/99.

Matheny, Samuel C., Professor* (with tenure), Family Practice, and Chair, Department of Family Practice, named to the Dr. Claire Louise Caudill Professorship in Family Medicine, effective 12/2/98.

Mason, Jay W., Professor (with tenure), Internal Medicine, effective 4/1/99.

Wyatt, Stephen W., Associate Adjunct Professor, Preventive Medicine and Environmental Health, 3/1/99 through 6/30/99.

B. ADMINISTRATIVE APPOINTMENTS

College of Medicine

Gash, Don M., Professor (with tenure), Anatomy and Neurobiology and Chair, Department of Anatomy and Neurobiology, named Director, Magnetic Resonance Imaging and Spectroscopy Center, 1/1/99 through 12/31/03.

Mason, Jay W., Professor (with tenure), Internal Medicine, named Chair, Department of Internal Medicine, 4/1/99 through 3/31/05.

C. JOINT APPOINTMENTS

College of Medicine

Pearce, Kevin A., Associate Professor* (without tenure), Family Practice, joint appointment as Associate Professor* (without tenure), Preventive Medicine and Environmental Health, 1/20/99 through 6/30/99.

*Special Title Series

D. PROMOTIONS

Chancellor's Office

Heister, Tagalie A., Librarian III, Medical Center Library, to Librarian II (with tenure), Medical Center Library, effective 5/1/99.

College of Medicine

Abu Kwaik, Yousef, Assistant Professor, Microbiology and Immunology, to Associate Professor (with tenure), Microbiology and Immunology, effective 7/1/99.

McClintock, Timothy S., Assistant Professor, Physiology, to Associate Professor (with tenure), Physiology, effective 7/1/99.

College of Pharmacy

Brandt, Barbara F., Assistant Professor*, to Associate Professor* (with tenure), effective 3/3/99.

Graduate School

St. Clair, Daret K., Associate Professor (with tenure), Graduate Center for Toxicology, to Professor (with tenure), Graduate Center for Toxicology, effective 7/1/99.

E. CHANGES

College of Medicine

Splawski, Judy B., from Clinical Associate Professor, Pediatrics, 1/1/99 through 6/30/99, to Clinical Associate Professor, Pediatrics, 1/3/99 through 6/30/99.

Vore, Mary, from Professor (with tenure), Pharmacology, to Professor (with tenure), Graduate Center for Toxicology, effective 3/15/99.

F. RETIREMENTS

Chancellor's Office

Lyons, Patsy, Information Technology Trainer, Medical Center Information Services, after 21 years, seven months of consecutive service, under AR II-1.6-1 Section III.B, effective 4/2/99.

*Special Title Series

University Hospital

Klein, Marilyn M., Senior Clinical Technician, Pathology/Clinical Laboratory, after 22 years of consecutive service, under AR II-1.6-1 Section III.B, effective 12/31/98.

G. EARLY RETIREMENTS

Chancellor's Office

Hurst, Cassandra, Library Technician, Medical Center Library, after 29 years of consecutive service and a total of 30 years, eight months of service, under AR II-1.6-1 Section III.A.2, effective 1/8/99.

College of Dentistry

Mullaney, Thomas P., Professor, Oral Health Practice, after 33 years, nine months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 3/31/99.

College of Medicine

Stillner, Verner, Professor*, Psychiatry, and Chair, Department of Psychiatry, after 17 years, three months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 4/30/99.

H. POST RETIREMENT APPOINTMENTS

University Hospital

Elder, Judy, RN (Staff Nurse), Department of Nursing, 3/3/99 through 6/30/99.

College of Dentistry

Mullaney, Thomas P., Professor (part-time), Oral Health Practice, 4/1/99 through 6/30/99.

*Special Title Series

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Bark, Joseph, Assistant Professor (voluntary), Health Services, 3/3/99 through 6/30/00.
Black, Michael G., Assistant Professor (voluntary), Health Services, 3/3/99 through 6/30/00.
Bowden, David, Assistant Professor (voluntary), Health Services, 3/3/99 through 6/30/00.
Butler, Danny N., Assistant Professor (voluntary), Health Services, 3/3/99 through 6/30/00.
Costich, Julia F., Assistant Research Professor, Health Services, 1/20/99 through 6/30/99.
Crouch, Larry, Assistant Adjunct Professor, Health Services, 1/20/99 through 6/30/99.
Goodwin, Michael J., Assistant Professor (voluntary), Health Services, 3/3/99 through 6/30/00.
Greaves, Ian, Assistant Professor (voluntary), Health Services, 1/20/99 through 6/30/00.
Greisman, Bernard, Assistant Professor (voluntary), Health Services, 3/3/99 through 6/30/00.
Groves, Robert, Assistant Professor (voluntary), Health Services, 3/3/99 through 6/30/00.
Littlejohn, Charles M., Assistant Professor (voluntary), Health Services, 3/3/99 through 6/30/00.
Martin, Charles, Assistant Professor (voluntary), Health Services, 3/3/99 through 6/30/00.
Nieder, Kathy, Assistant Professor (voluntary), Health Services, 3/3/99 through 6/30/00.
Sammon, Gerard, Instructor (voluntary), Health Services, 1/20/99 through 6/30/00.
Spoonamore, Barry, Assistant Professor (voluntary), Health Services, 3/3/99 through 6/30/00.
Wolford, John L., Assistant Professor (voluntary), Health Services, 3/3/99 through 6/30/00.

College of Dentistry

Dvorak, Jana J., Assistant Professor (part time), Oral Health Practice, 3/22/99 through 6/30/99.

College of Medicine

Alexander, Keith J., Assistant Professor (voluntary), Family Practice, 3/3/99 through 6/30/00.

Aljabi, Maria C., Assistant Professor (voluntary), Pediatrics, 1/20/99 through 6/30/00.

Bebout, William B., Assistant Professor (voluntary), Family Practice, 3/3/99 through 6/30/00.

Birch, Daniel W., Clinical Instructor, Surgery, 3/3/99 through 6/30/99.

Brinson, Elizabeth S., Assistant Professor (voluntary), Family Practice, 1/20/99 through 6/30/00.

Calhoun, Travis N., Assistant Professor (voluntary), Internal Medicine, 1/20/99 through 6/30/00.

Copeland, G. N., Instructor (voluntary), Obstetrics and Gynecology, 1/20/99 through 6/30/00.

Gardezi, Nasir H., Assistant Professor (voluntary), Internal Medicine, 1/20/99 through 6/30/00.

Hollingsworth, Paula, Assistant Professor (voluntary), Family Practice, 3/3/99 through 6/30/00.

Howard, Dianna S., Clinical Instructor, Internal Medicine, 3/3/99 through 6/30/99.

Layton, Rebecca L., Instructor, Anesthesiology, 3/3/99 through 6/30/99.

Melahn, William L., Assistant Professor (voluntary), Family Practice, 3/3/99 through 6/30/00.

Mendel, Sandra B., Assistant Professor (voluntary), Internal Medicine, 3/3/99 through 6/30/00.

Miller, James A., Assistant Professor (voluntary), Family Practice, 2/2/99 through 6/30/00.

Monaco, Raymond J., Assistant Professor (voluntary), Anesthesiology, 1/20/99 through 6/30/00.

Mullins, John M., Assistant Professor (voluntary), Emergency Medicine, 1/20/99 through 6/30/00.

Qin, Ning, Assistant Professor, Pharmacology, 2/1/99 through 6/30/99.

Raghuveera, Anavatti, Assistant Professor (voluntary), Family Practice, 1/20/99 through 6/30/00.

Reedy, Harold, Assistant Professor (voluntary), Diagnostic Radiology, 1/20/99 through 6/30/00.

Rodes, Vivian S., Instructor (voluntary), Family Practice, 1/20/99 through 6/30/00.

Rukavina, Michael, Assistant Professor (voluntary), Family Practice, 3/3/99 through 6/30/00.

Skrzypek, Elzbieta, Assistant Research Professor, Microbiology and Immunology, 2/1/99 through 6/30/99.

Zimmermann, Charles J., Instructor (voluntary), Family Practice, 1/20/99 through 6/30/00.

College of Nursing

Arnold, Joan, Instructor (voluntary), 1/20/99 through 6/30/00.
Bohen, Cynthia A., Instructor (voluntary), 3/3/99 through 6/30/00.
Froemke, Heidi, Instructor (voluntary), 3/3/99 through 6/30/00.
Hankla, Donna, Instructor (voluntary), 3/3/99 through 6/30/00.
McGlothlin-Boggs, Christina D., Instructor (voluntary), 1/20/99 through 6/30/00.
Simpson, Cinda J., Instructor (voluntary), 1/20/99 through 6/30/00.
Sparks, Denise H., Instructor (voluntary), 3/3/99 through 6/30/00.
Wiggens, Jo, Instructor (voluntary), 1/20/99 through 6/30/00.
Ziegler, Susan L., Instructor (voluntary), 1/20/99 through 6/30/00.

College of Pharmacy

Addington, B.J., Instructor (voluntary), 3/3/99 through 6/30/00.
Carrick, Alex, Instructor (voluntary), 3/3/99 through 6/30/00.
Cox, Hope, Instructor (voluntary), 3/3/99 through 6/30/00.
Dewitt, Betsy, Assistant Adjunct Professor, 3/3/99 through 6/30/99.
Divine, Holly S., Assistant Adjunct Professor, 3/3/99 through 6/30/99.
Holder, Michael K., Instructor (voluntary), 1/20/99 through 6/30/00.
Holder, Michelle, Instructor (voluntary), 1/20/99 through 6/30/00.
Knox, Wendy, Instructor (voluntary), 3/3/99 through 6/30/00.
Millheim, Eric, Assistant Adjunct Professor, 3/3/99 through 6/30/99.
Payne, Thomas L., Instructor (voluntary), 3/3/99 through 6/30/00.
Rice, Levi, Instructor (voluntary), 3/3/99 through 6/30/00.
Wells, Craig, Instructor (voluntary), 1/20/99 through 6/30/00.
West, Diane, Instructor (voluntary), 3/3/99 through 6/30/00.

B. ADMINISTRATIVE APPOINTMENTS

College of Medicine

De Beer, Frederick C., Professor (with tenure), Internal Medicine, named Acting Chair, Department of Internal Medicine, 1/25/99 through 3/31/99.
Hays, Lon R., Associate Professor* (with tenure), Psychiatry, joint appointment as Associate Professor* (without tenure), Internal Medicine, named Acting Chair, Department of Psychiatry, 5/1/99 through 4/30/00.

College of Pharmacy

Lubawy, William C., Professor (with tenure), named Acting Dean, College of Pharmacy, 3/1/99 through 2/29/00.

*Special Title Series

C. JOINT APPOINTMENTS

College of Allied Health Professions

James, Narendra N., Assistant Professor (voluntary), Health Services, joint appointment as Assistant Professor (voluntary), College of Medicine, Department of Family Practice, 2/2/99 through 6/30/00.

College of Medicine

Brooks, Paul V., Assistant Professor*, Physical Medicine and Rehabilitation, joint appointment as Assistant Professor*, Surgery, 3/3/99 through 6/30/99.

Chaney, James, Assistant Professor (voluntary), Family Practice, joint appointment as Assistant Professor (voluntary), Emergency Medicine, 3/3/99 through 6/30/00.

D. PROMOTIONS WITHOUT TENURE

College of Medicine

Arnold, Susanne M., Clinical Instructor, Internal Medicine, to Assistant Professor*, Internal Medicine, 3/3/99 through 6/30/99.

E. CHANGES

College of Dentistry

de Leeuw, Reny, from Assistant Professor (temporary), Oral Health Science, to Assistant Professor, Oral Health Science, 1/20/99 through 6/30/99.

College of Medicine

Strottmann, James M., from Assistant Professor, Diagnostic Radiology, to Assistant Professor*, Diagnostic Radiology, 1/20/99 through 6/30/99.

Walker, James R., from Assistant Professor (part time), Psychiatry, to Assistant Research Professor, Psychiatry, 2/1/99 through 6/30/99.

Xie, Gong Y., from Assistant Research Professor, Internal Medicine, to Clinical Assistant Professor, Internal Medicine, 1/20/99 through 6/30/99.

*Special Title Series

College of Nursing

Wagner, Kathleen D., from Assistant Professor (part time), to Lecturer (full-time), 2/1/99 through 6/30/99.

F. TERMINAL REAPPOINTMENTS

College of Allied Health Professions

Olesinski, Raymond L., Assistant Professor*, Clinical Sciences, 1/11/99 through 1/10/00.

College of Medicine

Hill, Kelly K., Assistant Professor, Psychiatry, joint appointment as Assistant Professor, Pediatrics, 1/1/99 through 12/31/99.

Morris, Peter E., Assistant Professor, Internal Medicine, 3/1/99 through 2/29/00.

O'Donnell, Helen M., Assistant Professor*, Physical Medicine and Rehabilitation, 11/9/98 through 11/8/99.

Stiles, Nancy J., Assistant Professor*, Internal Medicine, 2/1/99 through 1/31/00.

G. LEAVES OF ABSENCE

College of Medicine

Davis, Brian M., Associate Professor (with tenure), Anatomy and Neurobiology, sabbatical leave at full salary, 5/15/99 through 9/15/99.

*Special Title Series

PR 3A

Office of the President
March 2, 1999

Members, Board of Trustees:

HONORARY DEGREE RECIPIENTS

Recommendation: that approval be granted to awarding the honorary degree of Doctor of Letters to Carol Martin Gatton, the Doctor of Science to Robert J. Shepherd, and the Doctor of Science to Louis W. Sullivan.

Background: The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that honorary degrees be awarded to Carol Martin Gatton, Robert J. Shepherd, and Louis W. Sullivan. These three bodies have expressed their approval of the recommendation.

Biographical sketches of the three recipients are attached.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

CAROL MARTIN GATTON

Born and raised on a farm near Bremen, Kentucky, Carol Martin (Bill) Gatton began his career as an entrepreneur by raising a crop of watermelons on a single acre farm. From the age of nine, he worked on his father's farm after school and in the summers. As valedictorian of his class, he graduated from Sacramento High School before enrolling at the University of Kentucky where he majored in Business Administration. While in college, he worked part time selling new and used cars as a campus sales representative for a local Chevrolet dealer. He graduated from the College of Business and Economics in 1954 and later attended the Wharton School at the University of Pennsylvania where he received the MBA degree in 1958.

Mr. Gatton began his successful career in 1959 by buying an automobile dealership in Owensboro, Kentucky, the same community in which he started his career in banking. He now owns several automobile dealerships in Tennessee and Texas and is also the principal stockholder in numerous banks in Kentucky, in addition to being the largest individual shareholder in Security First Network Bank, the nation's first Internet bank.

Mr. Gatton continues to be a strong supporter of the College of Business and Economics by serving as an active member of the college's University of Kentucky Business Partnership Foundation. He currently is working with the Dean of the college to develop a new challenge gift program.

Mr. Gatton is one of the most successful businessmen in the U.S. and serves as an outstanding role model for students of all ages. Equally important, he strongly supports a number of educational institutions in Tennessee and Kentucky. He is an outstanding civic leader in Tennessee and Kentucky.

For his distinguished record of educational contributions and public service, Carol Martin (Bill) Gatton is recommended for the honorary Doctor of Letters degree.

ROBERT J. SHEPHERD

A native of Oklahoma, Robert J. Shepherd was Professor of Plant Pathology at the University of Kentucky from 1984 until his retirement in 1996. Prior to joining the faculty of the College of Agriculture, he taught at the University of California, Davis, for twenty-three years. Dr. Shepherd received his B.S. (1954) and M.S. (1955) from Oklahoma State University and his Ph.D. (1958) from the University of Wisconsin, Madison. In addition, he was a Fulbright Scholar during 1955-56; conducting his research in Cambridge, England.

Through his scientific research, Dr. Shepherd developed the caulimoviruses as a simple genetic system useful for studying gene expression, reverse transcription and pathogenesis in plants. These viruses became one of the first well-defined genetic systems of plant origin that could be manipulated by recombinant DNA methods. The significance of Shepherd's contributions are attested to by his election to the U.S. National Academy of Sciences (1988) and selection of one of his early papers for "Milestones in Biotechnology, Classic Papers on Genetic Engineering." Dr. Shepherd is recognized as one of the world's leading researchers on plant pathogenesis.

Dr. Shepherd has served as a member of academic and professional organizations and on editorial boards of several scientific journals, both nationally and internationally. He has authored and/or co-authored more than 100 publications in addition to serving as mentor to a large number of doctoral students during the course of his career. His students have gone on to distinguished scientific careers at a number of major research universities including Iowa State University, Cornell University, and the University of Florida, Arizona, Missouri, and Southern California.

In recognition of the significance of his scientific accomplishments and his international reputation in the field of plant virology, Dr. Robert J. Shepherd is recommended as the recipient of the honorary Doctor of Science degree.

LOUIS W. SULLIVAN

Considered one of the major figures in American healthcare, Dr. Louis W. Sullivan earned his undergraduate degree, Magna cum laude, from Morehouse College and completed his M.D. at Boston University School of Medicine, Cum laude in 1958. He trained at the New York Hospital-Cornell Medical Center in Internal Medicine and completed his training as a Hematology Fellow at the Harvard Medical Unit at Thorndike Memorial Laboratory, Boston City Hospital, and Harvard Medical School.

He has served in academic positions at Harvard Medical School, New Jersey College of Medicine, and Boston University School of Medicine, where he was promoted to the rank of Professor of Medicine and Physiology in 1973. In 1975, he was appointed Professor of Biology and Medicine at Morehouse College and Professor of Medicine at the Morehouse School of Medicine. He served as Dean and Director of the School of Medicine at Morehouse from 1975-1981 and in 1981 became President and Dean of the School of Medicine. He continued to serve as President of the Medical School until 1989 when he took a leave of absence to serve as Secretary of the U.S. Department of Health and Human Services for four years. Subsequently, he returned to the Presidency of Morehouse School of Medicine in 1993. Dr. Sullivan has been a member of the Institute of Medicine at the National Academy of Sciences since 1975.

Dr. Sullivan serves on a number of corporate boards including those of major manufacturing corporations such as General Motors and the pharmaceutical company, Bristol-Myers Squibb. In addition to numerous civic organizations, he has served on the National Executive Board of the Boy Scouts of America since 1986 and is a member of the Little League Foundation Board of Trustees since 1992. He recently completed a term as Chair of the Board of the Association of Academic Health Centers.

In recognition of his academic achievements, civic contributions, and his lengthy service to national and international healthcare, Dr. Louis W. Sullivan is recommended as the recipient of the honorary Doctor of Science degree.

PR 3B

Office of the President
March 2, 1999

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendments to the Governing Regulations of the University of Kentucky be approved.

(NOTE: Proposed additions are underlined; proposed deletions have a line through the text.)

Amendment – Change Part IV to read

The elected membership shall number ~~113~~114, of which 94 members shall represent the faculty, one member shall represent the emeriti faculty, and ~~18~~19 members shall represent the student body.

The ~~18~~19 elected student membership shall consist of the President of the Student Government Association and represent the members~~representatives~~ of the full-time student body in the various colleges and the Graduate School of the University System. The colleges and the Graduate School each shall have one student representative. Students with no declared major shall be represented through the College of Arts and Sciences. Each elected student member~~college representative~~ shall be a junior, senior, or graduate or professional student, or in the case of the Lexington Community College a sophomore student, shall not be on either academic or disciplinary probation, shall serve for a term of one year, and shall be eligible for re-election as often as the individual remains a full-time undergraduate, graduate, or professional student in the University System. If a student should at any time become ineligible to serve (e.g., by relinquishing status as a full-time student, being placed on academic probation, or violating the Senate attendance regulations), the administrative head of the group represented shall declare a vacancy and designate that member from the eligible student body who at the last election received the next highest vote to serve for the duration of the elected student member's ineligibility.

The ex officio voting members shall number ~~13~~12 or ~~14~~13. In academic years beginning with an even number (e.g., 1984-1985, 1986-1987), this group shall be composed of the following: Chancellor for the Medical Center, Vice President for Research and Graduate Studies, Director

of Libraries, Vice Chancellor for Academic Affairs for the Community College System, Director of Teaching Learning Center and Deans of the Colleges of Allied Health Professions, Architecture, Communications and Information Studies, Dentistry, Education, Engineering, Law, and Social Work. In academic years beginning with an odd number, the ex officio voting members shall be the following: Chancellor for the Lexington Campus, ~~Chancellor for the Community College System~~ President of the Lexington Community College, Vice Chancellor for Academic Affairs for the Medical Center, Dean of the Graduate School, Dean of Undergraduate Studies, ~~President of the Student Government Association~~, and Deans of the Colleges of Agriculture, Arts and Sciences, Business and Economics, Fine Arts, Human Environmental Sciences, Medicine, Nursing, and Pharmacy.

Background: Currently, the Student Government Association (SGA) President serves as an ex-officio member of both the Senate and the Senate Council. In the Senate, he/she is grouped with several administrators whose voting rights alternate each year, voting only in academic years which begin with an odd number. While this arrangement is appropriate in the case of administrators who typically serve in their respective capacities for a number of years, it is not appropriate in the case of the SGA President who is elected for a one-year term.

Voting privilege should be extended to the SGA President in the Senate and on the Senate Council. The SGA President is the only member in the Senate and the Senate Council that is elected by and represents all students. Unlike the other student representatives who have a responsibility to their particular colleges, the SGA President must speak on behalf of all 30,000 students. The responsibilities of the SGA President, the limited term of the office, and the value of student input into university decisions merits a change in policy.

The proposal to add the Director of the Teaching and Learning Center was suggested because this is an administrative position comparable to the Director of Libraries, who serves ex officio. The other changes in the ex officio membership are editorial.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

Office of the President
March 2, 1999

Members, Board of Trustees:

REAPPOINTMENTS/APPOINTMENTS TO UNIVERSITY OF KENTUCKY
EQUINE RESEARCH FOUNDATION

Recommendation: that approval be given to the reappointments/appointments of the following persons to the Board of Directors of the University of Kentucky Equine Research Foundation for four-year terms ending December 14, 2002.

Reappointments representing the Equine Industry

Mrs. Alice H. Chandler
Mrs. Francois (Lucy) Boutin
Mr. Nick Nicholson

Appointments representing the Equine Industry

Mr. Reiley McDonald
Mr. Don Robinson
Ms. Ina Brown Bond

Background: The Chancellor of the Lexington Campus, acting upon the advice of the nominating committee of the University of Kentucky Equine Research Foundation which includes the Dean of Agriculture, recommended these appointments/reappointments to the President. The President in turn recommends them to the Board of Trustees. These recommended appointments and reappointments require approval by the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky Equine Research Foundation approved by the Board of Trustees at its meeting on September 18, 1984.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

PR 5B

Office of the President
March 2, 1999

Members, Board of Trustees:

APPOINTMENTS TO ADVISORY BOARD LEXINGTON COMMUNITY COLLEGE

Recommendation: that approval be given to the appointments of the following persons to the Advisory Board of Lexington Community College effective immediately to fill two vacancies and to continue for three-year terms effective July 1, 1999 through June 30, 2002.

New Appointments

Mr. William Sisson
Ms. Paula Hanson

Background: The establishment of an Advisory Board for Lexington Community College was approved by the University of Kentucky Board of Trustees at the December 13, 1983 meeting. That action called for the President of the University to recommend to the Board of Trustees seven (7) lay members of the Board to serve in an advisory capacity to the President of the College and act as liaison between the community and the College to assist the College in meeting the needs of the area. One elected faculty representative and one elected student representative also serve on the board.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 1

Office of the President
March 2, 1999

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE SEVEN MONTHS ENDED
JANUARY 31, 1999

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the seven months ended January 31, 1999.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of January 31, 1999, the University had realized income of \$730,568,000 representing 68% of the 1998-99 estimate of \$1,082,246,000. Expenditures and commitments total \$617,293,000 or 57% of the approved budget of \$1,082,246,000.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
JANUARY 31, 1999

with comparative totals for January 31, 1998
(in thousands)

	1998-99					1997-98	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 142,883	\$ 69,015	\$ 2,475	\$ 78	\$ 114,605	\$ 329,056	\$ 316,103
Notes, loans, and A/R (less bad debt allowances of \$10,763)	128,500	17,342	22,492	840	12,602	181,776	156,861
Investments	48,775	73,188		286,676	46,648	455,287	366,717
Property, plant, and equipment					1,242,232	1,242,232	1,191,506
Inventories and other	13,891	60				13,951	16,481
Total Assets	\$ 334,049	\$ 159,605	\$ 24,967	\$ 287,594	\$ 1,416,087	\$ 2,222,302	\$ 2,047,668
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 27,478	\$ 3,516	\$ 152		\$ 276	\$ 31,422	\$ 28,527
Employee withholdings and deposits		29,168				29,168	32,511
Other liabilities	6,310	2,364		\$ 4,466	3,515	16,655	10,832
Liability for self insurance	51,904					51,904	48,173
Deferred income	9,534	12,420				21,954	21,324
Bonds payable					214,831	214,831	214,793
Capitalized lease obligation					28,797	28,797	35,046
Total Liabilities	95,226	47,468	152	4,466	247,419	394,731	391,206
Interfund Balances	(23,709)	66,265			(42,556)		
Net deferred revenues and appropriated fund balances	119,224	897				120,121	104,063
Fund Balances							
Current unrestricted							
Working capital	134,101					134,101	127,474
Future operating purposes	6,929					6,929	7,624
Other	2,278					2,278	2,268
Current restricted		44,975				44,975	46,314
Loan			24,815			24,815	24,690
True endowments				199,170		199,170	127,304
Term endowments				6,703		6,703	9,352
Quasi endowments				77,255		77,255	67,868
Plant							
Retirement of indebtedness					29,532	29,532	29,730
Renewal and replacement					14,956	14,956	31,371
Allocated for designated projects					190,115	190,115	166,945
Net investment in plant					976,621	976,621	911,459
Total Fund Balances	143,308	44,975	24,815	283,128	1,211,224	1,707,450	1,552,399
Total Liabilities and Fund Balances	\$ 334,049	\$ 159,605	\$ 24,967	\$ 287,594	\$ 1,416,087	\$ 2,222,302	\$ 2,047,668

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SEVEN MONTHS ENDED JANUARY 31, 1999
with comparative totals for January 31, 1998
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1998-99				1997-98	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
(1) State appropriations	\$ 286,707	\$ 286,707	\$ 214,738	75	\$ 209,260	75
(2) Student fees	109,429	110,010	104,175	95	96,124	95
(3) Federal appropriations	15,144	15,144	8,926	59	9,104	60
(4) County appropriations	6,735	7,151	3,211	45	3,250	48
(5) Endowment income	902	902	414	46	393	47
(6) Investment income	8,559	8,559	6,768	79	7,103	85
Gifts and grants						
(7) Affiliated corporations	13,746	13,956	6,888	49	5,890	47
(8) Other	59,949	63,718	33,557	53	25,448	45
(9) Sales and services	52,996	53,454	29,240	55	24,602	46
(10) Fund balance	14,985	19,428	19,428	100	17,527	100
(11) Total General Fund	569,152	579,029	427,345	74	398,701	72
(12) Auxiliary Enterprises	32,123	32,123	20,420	64	19,326	58
(13) Restricted Funds	56,917	57,247	30,926	54	28,552	58
(14) Affiliated Corporations	148,402	148,439	84,771	57	88,830	60
Hospital						
(15) Revenues	265,408	265,408	167,106	63	160,867	64
(16) Fund balance						
Total Revenues and						
(17) Appropriated Fund Balances	<u>\$ 1,072,002</u>	<u>\$ 1,082,246</u>	<u>\$ 730,568</u>	68	<u>\$ 696,276</u>	67

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SEVEN MONTHS ENDED JANUARY 31, 1999
with comparative totals for January 31, 1998
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1998-99				1997-98	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 235,175	\$ 231,113	\$ 124,788	54	\$ 119,484	53
(2) Research	147,641	147,831	72,036	49	70,545	54
(3) Public service	141,917	142,491	78,319	55	75,431	54
(4) Academic support	64,696	65,322	36,660	56	34,630	51
(5) Student services	18,993	21,656	12,837	59	11,799	58
(6) Institutional support	37,991	46,233	28,867	62	24,453	58
(7) Student financial aid	39,840	41,869	34,892	83	30,673	84
(8) Operation and maintenance	40,018	39,990	19,526	49	19,099	50
(9) Mandatory transfers (debt service)	18,486	18,486	18,486	100	21,575	100
(10) Hospital	265,232	265,232	151,322	57	151,506	60
(11) Auxilliary enterprises	62,013	62,023	39,560	64	42,197	70
(12) Total Expenditure by Program	<u>\$ 1,072,002</u>	<u>\$ 1,082,246</u>	<u>\$ 617,293</u>	<u>57</u>	<u>\$ 601,392</u>	<u>58</u>

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SEVEN MONTHS ENDED JANUARY 31, 1999
with comparative totals for January 31, 1998
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1998-99				1997-98	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 608,535	\$ 612,519	\$ 348,697	57	\$ 336,632	57
(14) Operating expenses	401,801	405,968	222,209	55	213,916	58
(15) Mandatory transfers (debt service)	27,516	27,516	23,984	87	27,266	90
(16) Capital outlay	34,150	36,243	22,403	62	23,578	48
(17) Total Expenditure by Category	<u>\$ 1,072,002</u>	<u>\$ 1,082,246</u>	<u>\$ 617,293</u>	<u>57</u>	<u>\$ 601,392</u>	<u>58</u>

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SEVEN MONTHS ENDED JANUARY 31, 1999
with comparative totals for January 31, 1998
(in thousands)

	(A)	(B)	(C)
	1998-99		1997-98
(1) Realized Revenues		\$ 711,140	\$ 678,749
Appropriated Fund Balances			
(2) Current Unrestricted Fund	\$ 19,428		17,527
(3) University Hospital			
(4) Total Appropriated Fund Balances		19,428	17,527
(5) Total Revenues and Appropriated Fund Balances		730,568	696,276
(6) Expenditures/Encumbrances	617,293		601,392
(7) Less: Reserve for Encumbrances	(5,768)		(5,995)
(8) Total Expenditures		611,525	595,397
(9) Excess/(Deficit) Restricted Receipts Over Transfers to Revenues		1,078	3,184
(10) Net Deferred Revenues and Appropriated Fund Balances		\$ 120,121	\$ 104,063

FCR 2

Office of the President
March 2, 1999

Members, Board of Trustees:

REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

A new lease between the University of Kentucky (Kentucky Home Place) and CDRV Realty, P. O. Box 2728, Pikeville, Kentucky, for space located at 320 South Mayo Trail, Pikeville, Kentucky, effective February 1, 1999 through June 30, 2000, for the use of 900 square feet of office space, at an annual rate of \$7,200.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Kentucky Transportation Center) and Imperial-Waller LLC, 343 Waller Avenue, Suite 100, Lexington, Kentucky, for space located at 343 Waller Avenue, Suite 300, Lexington, Kentucky, effective February 1, 1999 through June 30, 2000, for the use of 1,470 square feet of office space, at an annual rate of \$16,537.50, be accepted and made a matter of record.

A new lease between the University of Kentucky (Home Care) and Danny Ayres, 228 Lexington Street, Lancaster, Kentucky, for space located at 207 Stanford Street, Lancaster, Kentucky, effective January 1, 1999 through June 30, 2000, for the use of 700 square feet of office space, at an annual rate of \$6,000.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Agronomy Department) and Wilbert Perkins, Route 1, Frankfort, Kentucky, for land located on Route 1, Frankfort, Kentucky, effective May 1, 1999 through September 30, 1999, for the use of 2.3 acres of land for tobacco experimentation, at an annual rate of \$2,500.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Center on Drug & Alcohol Research) and One Alumni Partners, 2363 Chauvin Drive, Lexington, Kentucky, for space located at 2363 Chauvin Drive, Lexington, Kentucky, effective February 1, 1999 through June 30, 2000, for the use of 1,133 square feet of office space, at an annual rate of \$15,645.54, be accepted and made a matter of record.

A new lease between the University of Kentucky (Human Development Institute) and Douglas L. Maddux, P. O. Box 120368, Boston, Massachusetts, for space located at 517 Middle Street, Weymouth, Massachusetts, effective March 1, 1999 through June 30, 2000, for the use of 900 square feet of residential space, at an annual rate of \$7,200.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action taken:

☒ Approved

☐ Disapproved

☐ Other

FCR 3

Office of the President
March 2, 1998

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending December 31, 1998, be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Pearson + Bender + Jolly Lexington Contract# A961260	Aging/Allied Health Phase I Project Number: 405.1	\$9,640,000
	Consultant Contract Amount:	\$525,000
	Total Amendments: (4) Consultant Cumulative Amendment Amount:	\$42,120
	Revised Consultant Contract Amount:	\$567,120
Project Description: Adds laboratory space to the Sanders-Brown Center on Aging Building. The present Sanders-Brown Building houses research laboratories dedicated to aging research and also contains support spaces such as animal housing, equipment, and offices. This addition connects directly through the existing building's main corridor and lab module.		
Notes: Amendment #1, an increase of \$15,000, was approved to provide wind tunnel tests for fume hood exhaust generated by the proposed addition. The testing is to determine occupational and odor thresholds for the addition and surrounding buildings so that the lowest safe stacks can be constructed. Amendment #2, an increase of \$12,150, was approved to provide additional design and construction fees necessary to minimize conflicting underground utilities. Amendment #3, an increase of \$11,720, was approved to provide one additional month of construction administration services and for the design of two landscape retaining walls. Amendment #4, an increase of \$3,250, was approved to provide consultant services for additional emergency power outlets to the new labs.		
Pearson + Bender + Jolly Lexington Contract# A961470	Animal Research Center, Woodford County Farm Project Number: 1626.0	\$12,480,000
	Consultant Contract Amount:	\$630,000
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$10,820
	Revised Consultant Contract Amount:	\$640,820
Project Description: Implements a development plan for the Woodford County Farm. The plan includes seven research sub-centers for discovery and application research thrusts focusing on the major livestock enterprises of Kentucky. These include: infrastructure (fencing, roads, water, electricity, waste handling facilities), field research buildings, equipment, and other facilities for beef, swine, and sheep research.		
Notes: \$12,480,000 reflects the total project scope of Projects 1626.0 and 1626.1.		
James W. Potts Architects Lexington Contract# A991160	C.A.E.R. Roof Replacement Phase 2 Project Number: 1746.0	\$141,000
	Consultant Contract Amount:	\$9,000
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$9,000
Project Description: Replacement of 12,200 square feet of asphalt roof on the Center for Applied Energy Research (CAER) located on Spindletop Farm on Iron Works Pike in Lexington, Kentucky.		
Notes: No construction contract(s) awarded to date.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Pearson/Bender Associates Lexington Contract# A981260	Career Planning and Placement Center Project Number: 1715.0	\$2,605,000
	Consultant Contract Amount:	\$124,800
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$44,668
	Revised Consultant Contract Amount:	\$169,468
Project Description: Construct a 15,000 to 21,000 gross square foot building to provide students, alumni, faculty, staff, and recruiting organizations with adequate space to participate in the services and programs offered by the Career Center.		
Notes: Amendment #1 , an increase of \$44,668, was approved to provide consultant services for additional scope increase.		
James W. Potts Architects Lexington Contract# A991070	Clinical Teaching & Support Lab Renovation Project Number: 1744.0	\$1,030,000
	Consultant Contract Amount:	\$35,000
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$35,000
Project Description: Renovation of clinical teaching and support labs that support the teaching, research, and patient care programs of the College of Dentistry. The renovations involve improvement of the clinical and laboratory equipment to create a state-of-the-art teaching and research facility. All renovations will occur in the Dental Wing of the Chandler Medical Center.		
PEH, Inc. Lexington Contract# A93127	Coldstream Farm Development Project Number: 894.0	\$8,347,861
	Consultant Contract Amount:	\$309,200
	Total Amendments: (2) Consultant Cumulative Amendment Amount:	\$1,285,127
	Revised Consultant Contract Amount:	\$1,594,327
Project Description: Construct infrastructure, including streets and sewers.		
Notes: Project contains multiple contracts. \$8,347,861 reflects the total project scope of Projects 894.0, 894.1, 894.2, 894.3, 894.7, and 894.8. Amendment #1 , an increase of \$178,543.66, was approved to increase the consultant fee for construction services. The original contract amount was for design services only. Amendment #2 , an increase of \$1,106,583, was approved to increase both reimbursable services and Phase1 through Phase 4 consultant services in order to revise preliminary development plans, conduct special studies, and to provide other special consulting services. Services previously covered under a separate per diem with PEH Engineers are now included in this amendment.		
HNTB Corporation Kansas City, MO Contract# A981290	Commonwealth Stadium Expansion Project Number: 1674.2	\$26,939,788
	Consultant Contract Amount:	\$1,650,000
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$28,718
	Revised Consultant Contract Amount:	\$1,678,718
Project Description: Renovate and expand the existing University of Kentucky football stadium, including the end zone seating, stadium boxes and support space, new first aid areas, restrooms, concession facilities, and utility service.		
Notes: Amendment #1 , an increase of \$28,717.50, was approved to reimburse HNTB for topographical services.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Sherman-Carter-Barnhart Lexington Contract# A981080	Communications & Network Systems Project Number: 1696.0	\$4,800,000
	Consultant Contract Amount:	\$314,000
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$3,378
	Revised Consultant Contract Amount:	\$317,378

Project Description:

Construct a new facility of approximately 40,000 gross square feet, which will house Communications and Network Systems and the Information Systems Business Office (CNS).

Notes: No construction contract(s) awarded to date. **Amendment #1**, an increase of \$3,378, was approved to cover consultant fees due to the addition of parking spaces.

Mason & Hanger Engineering Lexington Contract# A971340	Dialysis Renovation and Expansion Project Number: 1669.0	\$399,999
	Consultant Contract Amount:	\$28,617
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$5,500
	Revised Consultant Contract Amount:	\$34,117

Project Description:

Renovate 2,500 gross square feet to create a new dialysis unit and minimal fifth floor nursing units. The space will contain five treatment bays, three protective isolation treatment bays, a nurses' station, a conference room, two restrooms, and various equipment and storage rooms.

CMW, Inc. Lexington Contract# A94107	Hazard Community College Student/Performing Arts Conference Center Project Number: 1460.0	\$10,561,393
	Consultant Contract Amount:	\$301,000
	Total Amendments: (6) Consultant Cumulative Amendment Amount:	\$975,428
	Revised Consultant Contract Amount:	\$1,276,428

Project Description:

Construct a new facility comprised of a Student Center, to include Counseling Center, Student Activities Center, Classroom/Office area, and Auxiliary Services areas; BITAC, the Business and Industry Technical Assistance Center/Continuing Education/Community Service Center which will include conference/exhibit halls with flexibility for use in regional and economic development programs, a video center and training rooms; and a Black Box Theater instructional area.

Notes: Due to full funding not being available, the project was redefined resulting in amendments to contract.

Amendment #1, an increase of \$60,400, was approved to provide consultant services for design development for the second phase of the Student, Performing Arts and Regional Conference Center, which contains the Business and Industry Technical Assistance Center (BITAC) and the Regional Conference Center. **Amendment #2**, an increase of \$820,650, was approved to provide consultant services for construction documents, bidding, and construction administration for the second phase (BITAC) for the Student, Performing Arts and Regional Conference Center project. **Amendment #3**, an increase of \$83,023.50, was approved to provide for revised Phase 2 consultant services based upon the revised scope of the BITAC and Student, Performing Arts and Regional Conference Center project. The revised scope required additional Phase 2 design services and a complete reworking of the Phase 3 documents. **Amendment #4**, an increase of \$11,914, was approved to provide for additional consultant services required to rebid the project. **Amendment #5**, an increase of \$14,890, was approved to provide for two additional months of construction administration services due to extending the construction time two months. **Amendment #6**, a decrease of \$15,450, was approved to decrease the contract as a result of reduced Resident Inspector services.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Collignon & Nunley Architects Owensboro Contract# A94116	Hopkinsville Community College Regional Technical Training Center Project Number: 1461.0	\$11,695,000
	Consultant Contract Amount:	\$465,000
	Total Amendments: (5) Consultant Cumulative Amendment Amount:	\$265,140
	Revised Consultant Contract Amount:	\$730,140

Project Description:

40,000+ square foot technical training facility to include: laboratories, conference rooms, classrooms, training and demonstration areas, and administrative office areas to serve the needs of students and prospective employees of businesses in the Hopkinsville area.

Notes: \$11,695,000 reflects the total project scope of Projects 1461.0 and 1461.1. **Amendment #1**, an increase of \$170,000, was approved to increase architectural/engineering professional services due to an increase in the total scope of the project. This fee amendment increase was determined by using the same percentages for the building design, furnishings, equipment design and specification, that had been determined in the original contract negotiation.

Amendment #2, an increase of \$54,600, was approved to provide for a Resident Inspector. **Amendment #3**, an increase of \$20,065, was approved to provide additional consultant services for Phase 5 (construction administration).

Amendment #4, an increase of \$13,650, was approved to provide reimbursable services for additional Resident Inspector services through August, 1998. **Amendment #5**, an increase of \$6,825, was approved to provide reimbursable services for additional Resident Inspector services through October, 1998.

Staggs & Fisher Engineers Lexington Contract# A971300	Indoor Air Quality-Dental Areas Project Number: 1671.0	\$250,000
	Consultant Contract Amount:	\$22,000
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$22,000

Project Description:

Design and install dust collection equipment and ventilation improvements in portions of the Dental Wing of the UK Chandler Medical Center.

Notes: \$250,000 reflects the total project scope of Projects 1671.0 and 1671.1.

James W. Potts Architect Lexington Contract# A961210	Jewell Hall Restroom Renovation Project Number: 1607.0	\$227,977
	Consultant Contract Amount:	\$18,000
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$4,126
	Revised Consultant Contract Amount:	\$22,126

Project Description:

Complete renovation upgrade of all restrooms in Jewell Hall to meet current ADA guidelines.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Voelker Winn Architects Louisville Contract# A94143	Kastle Hall Renovation Project Number: 1512.0 Total Amendments: (1)	\$1,000,583 Consultant Contract Amount: \$21,000 Consultant Cumulative Amendment Amount: \$34,000 Revised Consultant Contract Amount: \$55,000

Project Description:

The National Institute of Health grant program entitled "Developing and Improving Institutional Animal Resources" is aimed at upgrading animal care facilities in institutions that use laboratory animals in research projects supported by the Public Health Service. A major goal of this program is to help institutions improve their research infrastructure. The goals of Phase 1 & 2 are to prepare a grant application and, if funded, to prepare the Phase 3 construction documents.

Notes: The original contract provided for grant application information and preliminary design. The amendment expanded the scope of services to provide for construction documents and contract administration.

Amendment #1, an increase of \$34,000, was approved to provide for consultant services for Phase 3 (construction documents), Phase 4 (bidding), and Phase 5 (construction administration) for the second phase of the project.

Staggs & Fisher Engineers Lexington Contract# A981110	Lexington Campus Fume Hood Upgrade Project Number: 1653.2 Total Amendments: (0)	\$2,195,100 Consultant Contract Amount: \$126,000 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$126,000
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Project Description:

Upgrade the existing mechanical fume hood systems in the building.

Lyle Associates, Architects Lexington Contract# A991010A	Locker/Shower/Restroom Facility at the Soccer/Softball Complex Project Number: 1726.0 Total Amendments: (0)	\$940,000 Consultant Contract Amount: \$51,000 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$51,000
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Project Description:

Construct a locker room building within the soccer/softball complex.

Notes: This is a per diem contract.

Staggs & Fisher Engineers Lexington Contract# A961540	Medical Center PCB Transformer Replacement Project Number: 1622.0 Total Amendments: (1)	\$300,698 Consultant Contract Amount: \$26,400 Consultant Cumulative Amendment Amount: \$6,730 Revised Consultant Contract Amount: \$33,130
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Project Description:

Abate the PCB by removing the PCB transformers in the following order: 1) Med. Science penthouse electrical room, 2) Med. Science ground floor electrical room, 3) Dental Science ground floor electrical room, and 4) North Wing ground floor electrical room.

Notes: \$300,698 represents the total project scope of Projects 1622.0 and 1622.1.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Staggs & Fisher Engineers Lexington Contract# A961320	North Campus Chilled Water Main Project Number: 1592.0	\$1,240,000
	Consultant Contract Amount:	\$80,587
	Total Amendments: (3) Consultant Cumulative Amendment Amount:	\$99,523
	Revised Consultant Contract Amount:	\$180,110

Project Description:

Install chilled water piping and related pits, valves and utility tunnels from the chilled water mains on the east side of Limestone Street near the Peterson Service Building to the North Campus dormitory complex. Project will include branch installation for connection of North Campus dormitories including Holmes Hall, Patterson Hall, Boyd Hall, Blazer Hall, Jewell Hall, and Keeneland Hall.

Notes: \$1,240,000 reflects the total project scope of Projects 1592.0 and 1592.1. **Amendment #1**, an increase of \$63,290.75, was approved to provide design services for Phases 2-5 (the remainder of the project). Phase 1A was established to study the best routing of the chilled water main from the main pit near Gate One to the existing chilled water main line in the utility tunnel south of Flag Plaza. In addition, Phase 1A was to show how routing the main would eventually connect to all existing and future buildings north of the Avenue of Champions. The consultant's study evaluated possible sizes of the lines (18" versus 24"), possible routing through the north campus residence halls, cost estimates for the completion of the line, as well as estimated costs for connections into the residence halls. Routing of the piping as well as the extent of the design services could not be determined prior to Phase 1. **Amendment #2**, an increase of \$35,000, was approved to increase reimbursable services which allowed for subsurface investigation as set forth in Article 2.5.9.2. **Amendment #3**, an increase of \$1,232, was approved to provide additional consultant services for Phase 5 (construction administration) for the design of a structural support for the electrical service ductbank.

Fitzsimons Lexington Contract# A991000G	Nuclear Medicine Renovation Project Number: 1709.0	\$363,500
	Consultant Contract Amount:	\$20,550
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$20,550

Project Description:

Renovate space for increased efficiency of operation and expanded patient services.

Notes: This is a per diem contract.

Gresham and Associates Paducah Contract# A971100	Paducah Community College Waller Hall & Rosenthal Hall Elevators Project Number: 1601.0	\$244,000
	Consultant Contract Amount:	\$19,000
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$19,000

Project Description:

Install elevators in Waller and Rosenthal Halls at Paducah Community College to comply with the American Disabilities Act. Consultant to make recommendations on elevator locations.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Pearson/Bender Associates	Pence Hall Exit Stairway	\$210,000
Lexington	Project Number: 1700.0	
Contract# A981130	Consultant Contract Amount:	\$18,600
Total Amendments: (1)	Consultant Cumulative Amendment Amount:	\$3,448
	Revised Consultant Contract Amount:	\$22,048

Project Description:

Add an egress stairway in Pence Hall.

Notes: No construction contract(s) awarded to date. **Amendment #1**, an increase of \$3,448, was approved to provide additional Consultant services for Phase 2 (Design Development) for studying alternative locations of stairs within the interior of the building. These services were to access the impact to the current space utilization of the College of Architecture. The additional fee of \$550 is to provide a site survey as a reimbursable service.

James B. Evans & Associates	Press Avenue Parking Lot	\$399,000
Lexington	Project Number: 1733.0	
Contract# A991060	Consultant Contract Amount:	\$44,404
Total Amendments: (0)	Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$44,404

Project Description:

Construct a parking lot on Press Avenue.

Fitzsimons	Radiation Medicine Renovation Rooms C-33 & C-37	\$226,069
Lexington	Project Number: 1711.0	
Contract# A981220	Consultant Contract Amount:	\$17,738
Total Amendments: (1)	Consultant Cumulative Amendment Amount:	\$2,661
	Revised Consultant Contract Amount:	\$20,399

Project Description:

Renovate Rooms C-33 and C-37 for installation of new and relocated equipment.

Notes: *The full name of the firm is Fitzsimons Office of Architecture, Inc.

Staggs & Fisher Engineers	Rose-Lime Chilled Water	\$1,104,000
Lexington	Project Number: 1658.0	
Contract# A971170	Consultant Contract Amount:	\$107,000
Total Amendments: (0)	Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$107,000

Project Description:

Tap into the central campus chilled water network at a point near the southwest corner of Scovell Hall. These new 24" diameter lines will then cross Kentucky Clinic Drive and extend southward past Research Building #3. These lines will continue on southward approximately parallel to Limestone to a point very near the Sanders Brown Building. The Sanders Brown Addition project will then tap into these lines for service required for the building addition. The main 24" lines will be terminated for future extension by another project to serve the Rose-Lime triangle area. The lines will ultimately cross Rose Street to create the network loop.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Ross-Feldman Architects, Inc. Lexington Contract# A94145	Shawneetown/Cooperstown Renovation Project Number: 1509.0	\$4,976,600
	Consultant Contract Amount:	\$175,000
Total Amendments: (2)	Consultant Cumulative Amendment Amount:	\$109,542
	Revised Consultant Contract Amount:	\$284,542

Project Description:

Selective renovation to buildings A and B at Shawneetown and buildings A and B at the Cooperstown complexes. The work in this project will include upgrading the electrical systems to meet current codes, new air conditioning for the buildings, upgrading the existing bathrooms, including new fixtures as well as testing of supply and sanitary waste lines with correction of any found problems. The two Cooperstown buildings will also receive major renovations to the existing kitchens.

Notes: \$4,976,600 reflects the total project scope of Projects 1509.0 and 1667.0. **Amendment #1**, a decrease of \$40,000, was approved to delete the reimbursable services for a Resident Inspector. **Amendment #2**, an increase of \$149,542, was approved to increase the scope of work to include additional architectural and engineering services.

Dunbar & Associates Lexington Contract# A981340	Somerset Community College Stoner Hall Roof Replacement Project Number: 1754.0	\$218,948
	Consultant Contract Amount:	\$32,000
Total Amendments: (0)	Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$32,000

Project Description:

Removal and replacement of the existing built-up roofing system, the repair and/or replacement of the stone fascia/parapet panels, and the removal of the existing HVAC condensing units from the roof. The project also includes the removal of asbestos containing flashing.

Notes: Project No. 1724.0 (Somerset Community College Stoner Hall Chiller Replacement) and Project No. 1754.0 (Somerset Community College Stoner Hall Roof Replacement) use the same consultant contract. No construction contract(s) awarded to date.

Booker & Associates Lexington Contract# A981120	Southeast Community College Falkenstine Hall Renovation Phase 1 Project Number: 1665.0	\$600,000
	Consultant Contract Amount:	\$47,100
Total Amendments: (1)	Consultant Cumulative Amendment Amount:	\$12,370
	Revised Consultant Contract Amount:	\$59,470

Project Description:

Renovate the third floor of Falkenstine Hall for use as multiple interactive television classrooms and television studio.

James W. Potts Architect Lexington Contract# A981140	Special Procedures Lab Renovation Project Number: 1703.0	\$393,000
	Consultant Contract Amount:	\$2,000
Total Amendments: (1)	Consultant Cumulative Amendment Amount:	\$30,660
	Revised Consultant Contract Amount:	\$32,660

Project Description:

Renovate the procedure suite into research labs similar to those in the Health Science Research Building.

Notes: **Amendment #1**, an increase of \$30,660, was approved to provide Phase 2 through Phase 5 consultant services. The scope increase is due to insufficient funds to meet the program requirements.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Mcllwain	Virginia Avenue Landscaping, Street Trees	\$29,191
Lexington	Project Number: 1731.0	
Contract# A981010CC	Consultant Contract Amount:	\$2,850
Total Amendments: (0)	Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$2,850
Project Description:		
Install trees along Virginia Avenue, between Limestone Street and the railroad viaduct.		
Omni Architects	Women's Cancer Facility	\$8,000,000
Lexington	Project Number: 1748.0	
Contract# A991120	Consultant Contract Amount:	\$492,070
Total Amendments: (0)	Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$492,070
Project Description:		
Construct a new 3-story facility on an existing foundation which will house the Women's Cancer Center.		
Notes: No construction contract(s) awarded to date.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
GIE		Aging/Allied Health Phase I	
Lexington		Project Number: 405.1	
Contract# U002923-98		Contractor Award Amount:	\$40,731
Low Bid: \$40,731	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid:		Revised Contractor Contract Amount:	\$40,731
Number of Bids: 1		Contract Percent Complete:	0%
Wehr Constructors, Inc.		Aging/Allied Health Phase I	
Louisville		Project Number: 405.1	
Contract# U002900-96		Contractor Award Amount:	\$7,899,774
Low Bid: \$7,899,774	Total Change Orders: (41)	Contractor Cum. Change Order Amount:	\$427,640
High Bid: \$8,198,500		Revised Contractor Contract Amount:	\$8,327,414
Number of Bids: 6		Contract Percent Complete:	99%
Notes: Change Order #2, an increase of \$40,488, was approved to enlarge and extend the utility tunnel. Change Order #5, an increase of \$111,869, was approved to install a new 8' x 7'6" x 58' concrete tunnel for future 24" chilled water loop. Change Order #10, an increase of \$27,506.78, was approved to add a limestone veneer planter wall and associated landscaping and to fill the void under the Sanders-Brown Mechanical Room slab with gravel and concrete. Change Order #14, an increase of \$35,048.25, was approved to relocate the electrical transformer, demolish the existing transformer pad, and to extend Chase C to the roof for the existing emergency generator exhaust and mechanical relief valves. Change Order #27, an increase of \$40,080.29, was approved to furnish and install a 1000 Amp 3 Phase backfeed to the existing Sanders-Brown building, to furnish and install an additional end of main (EOM) drip and trap assembly in the tunnel area, and to remove and reinstall an existing second floor window frame. Change Order #29, an increase of \$38,513.67, was approved to furnish and install a new heater in the stairwell and to furnish and install a strobic booster fan for a generator exhaust on the Sanders-Brown Roof.			
D.W. Wilburn, Inc.		Animal Research Center, Woodford County Farm	
Lexington		Project Number: 1626.0	
Contract# U003971-97		Contractor Award Amount:	\$10,146,000
Low Bid: \$10,146,000	Total Change Orders: (19)	Contractor Cum. Change Order Amount:	\$188,750.
High Bid: \$11,746,000		Revised Contractor Contract Amount:	\$10,334,750
Number of Bids: 5		Contract Percent Complete:	53%
Notes: Change Order #17, an increase of \$59,102.26, was approved to install concrete footings for silos at the Beef Nutrition Center, the Sheep Nutrition Center, and the waste storage areas. Change Order #19, an increase of \$38,231.75, was approved to redesign the run-off and collection system at three paved beef lots.			
Eubank & Steele		Clinical Teaching & Support Lab Renovation	
Lexington		Project Number: 1744.0	
Contract# U002917-98		Contractor Award Amount:	\$498,250
Low Bid: \$498,250	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$573,367		Revised Contractor Contract Amount:	\$498,250
Number of Bids: 4		Contract Percent Complete:	24%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
The Allen Company, Inc. Winchester		Coldstream Research Campus Units Project Number: 894.3	
Contract# U002023-98		Contractor Award Amount: \$1,474,615	
Low Bid: \$1,474,615	Total Change Orders: (1)	Contractor Cum. Change Order Amount: \$9,300	
High Bid: \$2,214,589		Revised Contractor Contract Amount: \$1,483,915	
Number of Bids: 7		Contract Percent Complete: 42%	
Turner Construction** Cincinnati, OH		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U004388-97		Contractor Award Amount: \$1,067,312	
Low Bid:	Total Change Orders: (1)	Contractor Cum. Change Order Amount: \$97,139	
High Bid:		Revised Contractor Contract Amount: \$1,164,451	
Number of Bids:		Contract Percent Complete: 5%	
Notes: **Turner is the construction manager for this project. The following contracts pertaining to 1674.2 are managed by Turner Construction. Change Order #1 , an increase of \$97,139, was approved to add one and one-half months of construction services and expenses to the contract.			
Amteck of Kentucky Lexington		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002639-98		Contractor Award Amount: \$1,746,313	
Low Bid: \$1,746,313	Total Change Orders: (0)	Contractor Cum. Change Order Amount: \$0	
High Bid: \$1,979,650		Revised Contractor Contract Amount: \$1,746,313	
Number of Bids: 2		Contract Percent Complete: 0%	
Notes: Electrical Bid Package			
Amteck of Kentucky Lexington		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002640-98		Contractor Award Amount: \$141,155	
Low Bid: \$141,155	Total Change Orders: (0)	Contractor Cum. Change Order Amount: \$0	
High Bid: \$276,062		Revised Contractor Contract Amount: \$141,155	
Number of Bids: 3		Contract Percent Complete: 0%	
Notes: Suites Finishes Electrical Bid Package			
Branscum Construction Russell Springs		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002685-98		Contractor Award Amount: \$2,644,117	
Low Bid: \$2,644,117	Total Change Orders: (0)	Contractor Cum. Change Order Amount: \$0	
High Bid: \$2,698,000		Revised Contractor Contract Amount: \$2,644,117	
Number of Bids: 2		Contract Percent Complete: 0%	
Notes: General Trades Bid Package			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Eagle Roofing Richmond		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002970-98		Contractor Award Amount:	\$268,180
Low Bid: \$268,180	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$438,742		Revised Contractor Contract Amount:	\$268,180
Number of Bids: 3		Contract Percent Complete:	0%
Notes: Roofing and Sheet Metal Bid Package			
Interstate Welding Terre Haute, IN		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002683-98		Contractor Award Amount:	\$908,908
Low Bid: \$908,908	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$1,121,000		Revised Contractor Contract Amount:	\$908,908
Number of Bids: 2		Contract Percent Complete:	0%
Notes: Miscellaneous Iron, Stairs, and Handrails Bid Package			
Kidd Glass, Inc. Harrodsburg		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002752-98		Contractor Award Amount:	\$220,984
Low Bid: \$220,984	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$266,227		Revised Contractor Contract Amount:	\$220,984
Number of Bids: 3		Contract Percent Complete:	0%
Notes: Store Front and Windows Bid Package			
Marksbury Cornett Louisville		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002636-98		Contractor Award Amount:	\$866,000
Low Bid: \$866,000	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$106,090		Revised Contractor Contract Amount:	\$866,000
Number of Bids: 3		Contract Percent Complete:	0%
Notes: Masonry Bid Package			
Marksbury Cornett Louisville		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002635-98		Contractor Award Amount:	\$1,196,000
Low Bid: \$1,196,000	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$1,244,400		Revised Contractor Contract Amount:	\$1,196,000
Number of Bids: 3		Contract Percent Complete:	0%
Notes: General Trades Bid Package			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR

PROJECT INFORMATION

R & R Inc., of Louisville Louisville		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002629-98		Contractor Award Amount:	\$2,705,900
Low Bid: \$2,705,900	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$2,766,300		Revised Contractor Contract Amount:	\$2,705,900
Number of Bids: 4		Contract Percent Complete:	0%

Notes: Plumbing, Fire Protection, and HVAC Bid Package

Skilton Construction Co. Lexington		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002630-98		Contractor Award Amount:	\$825,000
Low Bid: \$825,000	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$876,911		Revised Contractor Contract Amount:	\$825,000
Number of Bids: 2		Contract Percent Complete:	0%

Notes: Finish Sitework Bid Package

Spectrum Contracting Nicholasville		Commonwealth Stadium Expansion Project Number: 1674.2	
Contract# U002614-98		Contractor Award Amount:	\$63,592
Low Bid: \$63,592	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$123,000		Revised Contractor Contract Amount:	\$63,592
Number of Bids: 3		Contract Percent Complete:	0%

Notes: New Bus Stop Bid Package

Ira Tufts Contracting Inc. Lexington		Dialysis Renovation and Expansion Project Number: 1669.0	
Contract# U004225-97		Contractor Award Amount:	\$304,781
Low Bid: \$318,920	Total Change Orders: (5)	Contractor Cum. Change Order Amount:	\$7,251
High Bid: \$366,914		Revised Contractor Contract Amount:	\$312,032
Number of Bids: 6		Contract Percent Complete:	99%

Notes: Original bids were over budget. Post-bid addenda issued to obtain revised amounts.

D.W. Wilburn, Inc. Lexington		Hazard Community College Student/Performing Arts Conference Center Project Number: 1460.0	
Contract# U008610-96		Contractor Award Amount:	\$8,290,000
Low Bid: \$8,290,000	Total Change Orders: (13)	Contractor Cum. Change Order Amount:	\$83,459
High Bid: \$9,291,482		Revised Contractor Contract Amount:	\$8,373,459
Number of Bids: 6		Contract Percent Complete:	99%

Notes: Change Order #4, an increase of \$72,596.66, was approved to revise the entrance drive and portions of the parking area to satisfy the Department of Transportation's requirements.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Lanham Brothers Owensboro		Hopkinsville Community College Regional Technical Training Center	
Contract# U004248-96		Project Number: 1461.0	
Low Bid: \$6,347,261		Contractor Award Amount:	\$6,347,261
High Bid: \$6,789,400		Contractor Cum. Change Order Amount:	\$387,244
Number of Bids: 7		Revised Contractor Contract Amount:	\$6,734,505
		Contract Percent Complete:	99%
Notes: Change Order #5, an increase of \$48,978.45, was approved to add 14 days to the contract, to remove and replace 2,351.8 cubic yards of dirt under the one-story portion of the building, to upgrade the electrical requirements in the mechanical and electrical labs to include 3-phase circuits, and to change detail 2/F-8 to comply with detail 1/A-60. Change Order #6, an increase of \$78,056.93, was approved to make electrical changes to meet the expanding needs for Site Communication's criteria and the University's minimum criteria and to make changes to the CAM Lab due to a curriculum evaluation by Kentucky Tech. Change Order #9, an increase of \$164,751.49, was approved to complete the Technology Package and to furnish and install wall louvers and a HVAC unit (Four Star Metal) to Cam Lab in order to improve the quality of each. Change Order #12, an increase of \$26,662.20, was approved to furnish and install sod in lieu of seeding, to relocate and add 11 fiber and coax cables in the first floor offices and to furnish and install 2 dual floor boxes and 12 data outlets. Change Order #13, an increase of \$37,063.31, was approved to add electrical rough-in to serve TV cameras, to replace power poles in Room 205 with floor boxes, and to add TV lighting to Rooms 202, 208, 210, and 214.			
Thompson Engineering Lexington		Indoor Air Quality-Dental Areas	
Contract# U003732-97		Project Number: 1671.0	
Low Bid: \$74,300		Contractor Award Amount:	\$74,300
High Bid: \$80,424		Contractor Cum. Change Order Amount:	\$7,002
Number of Bids: 2		Revised Contractor Contract Amount:	\$81,302
		Contract Percent Complete:	90%
Spectrum Contracting Lexington		Jewell Hall Restroom Renovation	
Contract# U005965-97		Project Number: 1607.0	
Low Bid: \$146,795		Contractor Award Amount:	\$146,795
High Bid: \$156,470		Contractor Cum. Change Order Amount:	\$8,699
Number of Bids: 6		Revised Contractor Contract Amount:	\$155,494
		Contract Percent Complete:	98%
The Wagner Company Lexington		Kastle Hall Renovation	
Contract# U002113-97		Project Number: 1512.0	
Low Bid: \$719,387		Contractor Award Amount:	\$719,387
High Bid: \$744,944		Contractor Cum. Change Order Amount:	\$96,084
Number of Bids: 2		Revised Contractor Contract Amount:	\$815,471
		Contract Percent Complete:	100%
Notes: Change Order #2, an increase of \$25,447.39, was approved to shore the wall and install a new structural beam at the existing wall between Test Room BO23 and Test Room BO24A, to run a relief valve for steam up to 7" above the building's roof, to remove relocated conduits, and to change the lock function on perimeter doors.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Finney Company, Inc. Lexington		Lexington Campus Fume Hood Upgrade Project Number: 1653.2	
Contract# U006439-97		Contractor Award Amount:	\$1,921,300
Low Bid: \$1,921,300	Total Change Orders: (3)	Contractor Cum. Change Order Amount:	\$13,555
High Bid:		Revised Contractor Contract Amount:	\$1,934,855
Number of Bids: 1		Contract Percent Complete:	84%
Marksbury Cornett Louisville		Locker/Shower/Restroom Facility at the Soccer/Softball Complex Project Number: 1726.0	
Contract# U007633-97		Contractor Award Amount:	\$790,000
Low Bid: \$790,000	Total Change Orders: (6)	Contractor Cum. Change Order Amount:	\$45,919
High Bid: \$901,000		Revised Contractor Contract Amount:	\$835,919
Number of Bids: 4		Contract Percent Complete:	99%
Notes: Change Order #1, an increase of \$35,259, was approved to remove unsuitable soil.			
B&B Electric Company Lexington		Medical Center PCB Transformer Replacement Project Number: 1622.0	
Contract# U005496-96		Contractor Award Amount:	\$163,709
Low Bid: \$163,709	Total Change Orders: (1)	Contractor Cum. Change Order Amount:	\$2,339
High Bid: \$290,172		Revised Contractor Contract Amount:	\$166,048
Number of Bids: 3		Contract Percent Complete:	97%
Eubank & Steele Lexington		Nuclear Medicine Renovation Project Number: 1709.0	
Contract# U002828-98		Contractor Award Amount:	\$232,750
Low Bid: \$232,750	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$236,890		Revised Contractor Contract Amount:	\$232,750
Number of Bids: 4		Contract Percent Complete:	0%
A & K Construction, Inc. Paducah		Paducah Community College Rosenthal Elevator Project Number: 1601.0	
Contract# U002798-97		Contractor Award Amount:	\$136,671
Low Bid: \$136,671	Total Change Orders: (2)	Contractor Cum. Change Order Amount:	\$1,979
High Bid: \$213,692		Revised Contractor Contract Amount:	\$138,650
Number of Bids: 5		Contract Percent Complete:	90%
English Paving Versailles		Press Avenue Parking Lot Renovation Project Number: 1733.0	
Contract# U003017-98		Contractor Award Amount:	\$294,000
Low Bid: \$294,000	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$375,056		Revised Contractor Contract Amount:	\$294,000
Number of Bids: 5		Contract Percent Complete:	1%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
M.B. McGregor Co. Lexington		Radiation Medicine Renovation Rooms C-33 & C-37 Project Number: 1711.0	
Contract# U079575-97			Contractor Award Amount: \$164,300
Low Bid: \$164,300	Total Change Orders: (4)	Contractor Cum. Change Order Amount:	\$11,082
High Bid: \$232,400		Revised Contractor Contract Amount:	\$175,382
Number of Bids: 5		Contract Percent Complete:	99%
Spectrum Contracting Lexington		Rental Property Renovations Project Number: 1666.0	
Contract# U001686-98			Contractor Award Amount: \$52,112
Low Bid: \$52,112	Total Change Orders: (1)	Contractor Cum. Change Order Amount:	\$6,392
High Bid:		Revised Contractor Contract Amount:	\$58,504
Number of Bids: 1		Contract Percent Complete:	97%
Lagco, Inc. Lexington		Rose/Lime Chilled Water Lines Project Number: 1658.0	
Contract# U008368-96			Contractor Award Amount: \$725,075
Low Bid: \$725,075	Total Change Orders: (7)	Contractor Cum. Change Order Amount:	\$52,751
High Bid: \$829,900		Revised Contractor Contract Amount:	\$777,826
Number of Bids: 3		Contract Percent Complete:	100%
Notes: Change Order #4 , an increase of \$29,301, was approved to slope new 24" chilled water lines down under existing conduit and to remove a portion of an abandoned 6" water main and cap to allow passage of the new water lines.			
Eubank & Steele Lexington		Shawneetown/Cooperstown Renovation Project Number: 1509.0	
Contract# U011531-94			Contractor Award Amount: \$1,838,250
Low Bid: \$1,838,250	Total Change Orders: (14)	Contractor Cum. Change Order Amount:	\$56,580
High Bid: \$2,160,000		Revised Contractor Contract Amount:	\$1,894,830
Number of Bids: 6		Contract Percent Complete:	100%
Notes: Change Order #3 , an increase of \$12,799.24, was approved to add surface mounted light fixtures in hallways of Shawneetown 1 and 2 bedroom units and to add a fan coil unit in Cooperstown Building A laundry room/meeting room. Change Order #6 , an increase of \$16,936.12, was approved to seal existing corridor wall penetrations with approved fire stopping materials in the ground floor and first floor corridors and stairs and stair lobbies and to reroute existing main chilled water lines at Cooperstown Building A, Mechanical Room.			
Eubank & Steele Lexington		Shawneetown/Cooperstown Renovation Phase II Project Number: 1667.0	
Contract# U003720-96			Contractor Award Amount: \$2,656,400
Low Bid: \$2,656,400	Total Change Orders: (2)	Contractor Cum. Change Order Amount:	\$576
High Bid: \$3,296,000		Revised Contractor Contract Amount:	\$2,656,976
Number of Bids: 6		Contract Percent Complete:	100%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Independent Theatrical Canandaigua, NY Contract# U006748-97		Singletary Center for The Arts Orchestra Pit Lift Project Number: 1707.0	
Low Bid:		Contractor Award Amount:	\$181,000
High Bid:		Contractor Cum. Change Order Amount:	\$2,000
Number of Bids:		Revised Contractor Contract Amount:	\$183,000
		Contract Percent Complete:	85%
Notes: Due to the complexity of the system, design-build was used. Therefore, the contractor was selected using Request for Proposal rather than low bids.			
McKnight & Associates London Contract# U002416-98		Southeast Community College Falkenstine Hall Renovation Phase 1 Project Number: 1665.0	
Low Bid: \$495,000		Contractor Award Amount:	\$495,000
High Bid: \$549,000		Contractor Cum. Change Order Amount:	\$0
Number of Bids: 2		Revised Contractor Contract Amount:	\$495,000
		Contract Percent Complete:	16%
Spectrum Contracting Lexington Contract# U002343-98		Special Procedures Lab Renovation Project Number: 1703.0	
Low Bid: \$237,857		Contractor Award Amount:	\$237,857
High Bid: \$271,079		Contractor Cum. Change Order Amount:	\$0
Number of Bids: 4		Revised Contractor Contract Amount:	\$237,857
		Contract Percent Complete:	0%
Eagle Roofing Richmond Contract# U002071-98		Stoner Hall Roof Replacement Project Number: 1754.0	
Low Bid: \$169,000		Contractor Award Amount:	\$169,000
High Bid: \$244,675		Contractor Cum. Change Order Amount:	\$1,674
Number of Bids: 7		Revised Contractor Contract Amount:	\$170,674 -
		Contract Percent Complete:	0%
Branscum Construction** Russell Springs Contract# U003074-96		University Student Housing Project Number: 1639.0	
Low Bid:		Contractor Award Amount:	\$157,500
High Bid:		Contractor Cum. Change Order Amount:	\$53,460
Number of Bids:		Revised Contractor Contract Amount:	\$210,960
		Contract Percent Complete:	93%
Notes: **Branscum is the construction manager for this project. The following construction contracts pertaining to project 1639.0 are managed by Branscum Construction. Change Order #1 , an increase of \$53,460.00, was approved to add five months of construction services and expenses to the contract due to additional work and revisions incorporated into the project.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR		PROJECT INFORMATION	
Davis H. Elliot Company Lexington		University Student Housing Project Number: 1639.0	
Contract# U008304-96		Contractor Award Amount:	\$539,600
Low Bid: \$539,600	Total Change Orders: (15)	Contractor Cum. Change Order Amount:	\$150,117
High Bid: \$699,590		Revised Contractor Contract Amount:	\$689,717
Number of Bids: 4		Contract Percent Complete:	93%
Notes: Bid Package-Electrical. Change Order #12 , an increase of \$52,557.97, was approved to provide underground telephone conduits along Rose Lane in front of the SAE and Sigma Nu sites and to provide underground electrical, cable TV, and telephone service to the Sigma Nu House adjoining the SAE project in order to eliminate all overhead utilities on the site. Change Order #14 , an increase of \$42,802.42, was approved to provide additional labor and materials to install an additional electrical panel to accommodate the added lighting and refrigerator circuits and for additional labor and material to install the revised outdoor building lighting.			
Finney Company, Inc. Lexington		University Student Housing Project Number: 1639.0	
Contract# U008302-96		Contractor Award Amount:	\$1,063,400
Low Bid: \$1,063,400	Total Change Orders: (8)	Contractor Cum. Change Order Amount:	\$35,994
High Bid: \$1,157,300		Revised Contractor Contract Amount:	\$1,099,394
Number of Bids: 3		Contract Percent Complete:	93%
Notes: Mechanical Bid Package			
Martin Flooring Company Louisville		University Student Housing Project Number: 1639.0	
Contract# U008409-96		Contractor Award Amount:	\$50,360
Low Bid: \$50,360	Total Change Orders: (5)	Contractor Cum. Change Order Amount:	-\$4,495
High Bid: \$91,720		Revised Contractor Contract Amount:	\$45,865
Number of Bids: 2		Contract Percent Complete:	0%
Notes: Flooring Bid Package			
R&R Drywall & Acoustics Lexington		University Student Housing Project Number: 1639.0	
Contract# U008290-96		Contractor Award Amount:	\$189,200
Low Bid: \$189,200	Total Change Orders: (11)	Contractor Cum. Change Order Amount:	\$29,020
High Bid: \$289,939		Revised Contractor Contract Amount:	\$218,220
Number of Bids: 2		Contract Percent Complete:	59%
Notes: Acoustical Ceiling, Resilient, Wall Base, and Gypsum Board Bid Package.			
John Cole Landscaping Lexington		Virginia Avenue Landscaping, Street Trees Project Number: 1731.0	
Contract# U002285-98		Contractor Award Amount:	\$18,750
Low Bid: \$18,750	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid:		Revised Contractor Contract Amount:	\$18,750
Number of Bids: 1		Contract Percent Complete:	0%

FCR 4

Office of the President
March 2, 1999

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the patent assignment report for the period October 1, 1998 through December 31, 1998 be accepted.

Background: FCR 5, dated March 4, 1997, authorized that all future copyright and patent filings and prosecutions be conducted by the University of Kentucky Research Foundation (UKRF), and that the Vice President for Research and Graduate Studies or his designee be authorized to execute any needed documents to obtain appropriate patent or copyright protection. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PATENT ASSIGNMENT
QUARTERLY REPORT FOR THE PERIOD OCTOBER 1, 1998 THROUGH
DECEMBER 31, 1998

The following assignments on behalf of the Board of Trustees to the University of Kentucky Research Foundation have been executed:

1. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "LIPOSOMAL AND MICELLULAR STABILIZATION OF OLIGONUCLEOTIDE AND CAMPTOTHECIN DRUG COMPLEX". Inventors: Thomas Burke, Danzhou Yang, Ashok Chavan and Ayhan Demir. This invention provides camptothecin drugs stabilized in their anti-tumor active lactone form by complexation with an oligonucleotide including DNA and RNA. The oligonucleotide-camptothecin drug complex may be incorporated within a macromolecular assembly including both viral and non-viral oligonucleotide vectors. This invention allows combination gene and camptothecin drug therapy.
2. U.S. Patent Serial Number: 08/791,071, filed January 29, 1997, titled "MEANS TO ACHIEVE SUSTAINED RELEASE OF SYNERGISTIC DRUGS BY CONJUGATION". Inventors: Paul Ashton, Peter A. Crooks, Tadeusz Cynkowski, Grazyna Cynkowska and Hong Guo. This invention provides a codrug combination of at least two drug compounds covalently linked to one another via a labile bond to form a single codrug composition, and methods of use of the codrug for the treatment of various medical conditions. The codrug may be administered by itself or in the form of a bioerodible or nonbioerodible substance.

FCR 5

Office of the President
March 2, 1999

Members, Board of Trustees:

KENTUCKY COMMUNITY AND TECHNICAL COLLEGE SYSTEM CAPITAL PROJECTS

Recommendation: that the Board of Trustees approve the Kentucky Community and Technical College System (KCTCS) capital projects that were approved and funded by the 1998 General Assembly for the use of the University of Kentucky Community College System (UKCCS), but were not included in the original KCTCS Capital Budget Request.

Background: Kentucky Revised Statutes Chapter 164 requires Board of Trustees approval of capital construction projects for the UKCCS. Projects submitted by KCTCS for the UKCCS in the Capital Construction Request for the 1998-2000 Biennium were approved by the Board of Trustees at the September 16, 1997 meeting. During the 1998 session of the General Assembly, several projects not included in the Capital Construction Request were approved. The following projects were approved by the KCTCS Board of Regents at its December 1998 meeting and were transmitted to the Board of Trustees for their approval.

Southeast Community College – Whitesburg Campus: Belinda Mason Technical Building

Approved Funding: \$5,000,000

Hazard Community College: Hindman Branch

Approved Funding: \$3,250,000

Somerset Community College: McCreary County Branch

Approved Funding: \$500,000

Maysville Community College: Extension Campus – Cynthiana

Approved Funding: \$2,500,000

Paducah Community College: Engineering Building Infrastructure Completion
Approved Funding: \$709,000

Paducah Community College: Engineering Building Instructional Labs
Approved Funding: \$734,000

Paducah Community College: Library Renovation
Approved Funding: \$1,150,000

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 6

Office of the President
March 2, 1999

Members, Board of Trustees:

A RESOLUTION AUTHORIZING THE UNIVERSITY'S INVESTMENT OF ADDITIONAL FUNDS IN KENTUCKY HEALTHCARE ENTERPRISES, INC.

Recommendation: that the Board authorize the expenditure of funds from University Hospital earnings in the amount of \$5.2 million to provide additional capital for Kentucky Healthcare Enterprises, Inc. (KHE) to support and expand its operations.

Background: At its meeting of December 12, 1995 the Board of Trustees authorized an initial investment of \$8 million to form a corporation that would have the power to acquire physician and other medical practice/provider sites, and to provide management services to medical practice/provider sites to broaden the Medical Center's network of physicians who will be available for teaching, research, and service. That corporation, known as Health Associates of Kentucky, Inc., (HAK) has engaged in acquisition, partnering and management of physician practices, including acquisition of Corbin Pediatrics, and Urgent Treatment Centers of Kentucky, Inc.; partnering with physicians in Lexington, Versailles, and Frankfort; and management of Kentucky Clinic Frankfort and Kentucky Clinic North.

In August of 1997 the Board authorized a reorganization plan to expand the ability of the corporation to enter into partnerships with not-for-profit entities, therefore, giving the company the ability to expand health care services both in the for-profit and not-for-profit sector.

The reorganization also provided certain tax benefits as well as providing for the greater protection of certain assets against liability. In August of 1998 the Board formally approved a contract with KHE which further defined the new organization. This current funding request will provide:

- \$4.6 million dollars for the ongoing operation of HAK, the primary care development arm of KHE.
- \$600,000 for the development of a not-for-profit partnership with Appalachian Regional Healthcare, Inc., (ARH) to provide home intravenous infusion therapy and home medical equipment services. This partnership will:
 - Expand the University of Kentucky Medical Center's (UKMC) continuum of care by providing the most appropriate level of quality care in an environment which is the most comfortable for the patient.
 - Provide an integrated approach to home care services.
 - Allow patients in need of various home care services to receive them from one provider.
 - Reduce inpatient care costs by providing timely care alternatives.
 - Provide new revenue streams.
 - Provide new opportunities to work with ARH.
 - Access ARH's experience and expertise in managing these components of home care services.
 - Efficiently enter the home medical equipment and home infusion therapy markets while minimizing start-up costs.
 - Lower operating costs by having centralized functions such as management, storage, purchasing, etc.
 - Strengthen the relationship between ARH and UKMC.

The investment in KHE continues to expand the opportunities for University physicians and ancillary support staff to provide care to the population of the Commonwealth where educational opportunities will be afforded. Both the provision of care to the population of the Commonwealth and the educational opportunities afforded will continue to fulfill the mission of the UKMC. In accordance with the December 12, 1995 Board of Trustees action, HAK activities are reported annually to the Board.

Action taken:



Approved



Disapproved



Other

FCR 7

Office of the President
March 2, 1999

Members, Board of Trustees:

ESTABLISHMENT OF SIX ENDOWED CHAIRS IN THE ARTS, HUMANITIES AND SOCIAL SCIENCES

Recommendation: that the Board of Trustees approve the establishment of six endowed chairs in the arts, humanities and social sciences from \$3,000,000 of the bequest from the estate of William T. Bryan and the \$3,000,000 in matching funds received from the state's Research Challenge Trust Fund. The chairs will be in the Departments of English, History, Spanish, Special Education, Vocal Music and the Martin School of Public Policy and Administration.

Background: The Board of Trustees accepted the Bryan bequest, which was undesignated by Mr. Bryan, at its December 1998 meeting. Mr. Bryan, a 1936 graduate of the University of Kentucky, died at his Florida home in 1997. Each Chair in the following areas is funded at \$1,000,000:

- Chair in Public Finance in the Martin School of Public Policy and Administration
- Chair in English in the College of Arts and Sciences
- Chair in History in the College of Arts and Sciences
- Chair in Spanish in the College of Arts and Sciences
- Chair in Special Education in the College of Education
- Chair in Vocal Music in the College of Fine Arts

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 8

Office of the President
March 2, 1999

Members, Board of Trustees:

ANONYMOUS GIFT OF \$1,000,000
FOR THE WILLIAM T. YOUNG LIBRARY ENDOWMENT

Recommendation: that the Board of Trustees accept an anonymous gift of \$1,000,000 for the William T. Young Library Endowment.

Background: William T. Young of Lexington, Kentucky, for whom the University's new library was named, established the William T. Young Library Endowment and agreed to chair a campaign to raise \$5,000,000 for the endowment by December 31, 2002. This represents the initial gift to the endowment, matched by the state's Research Challenge Trust Fund, which will provide funds for the purchase of books and other library research materials in support of the University's goal of becoming a top 20 public research institution.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 9

Office of the President
March 2, 1999

Members, Board of Trustees:

BARNSTABLE-BROWN GALA CHAIR IN DIABETES RESEARCH

Recommendation: that the Board of Trustees accept a gift of \$100,000 from the American Diabetes Association of Alexandria, Virginia, and a pledge of \$400,000 from the Barnstable Brown Foundation of Louisville, Kentucky, to establish and endow a chair in pediatric diabetes research in the Chandler Medical Center's College of Medicine.

Background: The Barnstable-Brown Foundation annually hosts a Kentucky Derby Gala in Louisville with the proceeds supporting diabetes research. The \$400,000 pledge will be paid in annual installments of \$100,000 beginning in 1999. A condition of the gift and pledge is that the state's Research Challenge Trust Fund match the \$500,000, which would provide the \$1,000,000 required to establish a chair.

The principals of the Barnstable-Brown Foundation are well known to the University. Foundation president Patricia Barnstable Brown and secretary Priscilla Lee Barnstable are UK graduates, as is their mother, Wilma Barnstable, who is the foundation's treasurer. Patricia's husband, Dr. David E. Brown, is vice president of the foundation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 10

Office of the President
March 2, 1999

Members, Board of Trustees:

BLOOMFIELD ENDOWED PROFESSORSHIP

Recommendation: that the Board of Trustees establish and endow the Bloomfield Endowed Professorship in Business in the Carol Martin Gatton College of Business and Economics with \$150,000 in contributions and pledges made by Alan Bloomfield of Lexington, Kentucky, that are eligible to be matched by the state's Research Challenge Trust Fund.

Background: Mr. Bloomfield is a Lexington businessman and a member of the board of directors of the UK Business Partnership Foundation, an advisory council in the Carol Martin Gatton College of Business and Economics. Previous gifts to the college by Mr. Bloomfield have provided scholarships and funds to recruit outstanding students.

Action taken:



Approved



Disapproved



Other

FCR 11

Office of the President
March 2, 1999

Members, Board of Trustees:

CAROL LEE ROBERTSON ENDOWED PROFESSORSHIP IN LITERACY

Recommendation: that the Board of Trustees accept a gift of \$20,000 and a pledge of \$100,000 from Carol Lee Robertson of Houston, Texas, to create and endow the Carol Lee Robertson Endowed Professorship in Literacy in the College of Education.

Background: Mrs. Robertson has been supportive of the College of Education for many years. Her mother completed her degree in education late in life and developed a strong interest in the teaching of reading. Through this professorship, Mrs. Robertson supports her mother's interest in the advanced education of teachers of reading and promotes research on literacy in American society.

Her pledge will be paid over a five-year period. In 2001 and 2002, the Exxon Corporation will match the first \$5,000 of Mrs. Robertson's gift on a 3-to-1 basis. This match will provide an additional \$30,000 for the endowment. With Mrs. Robertson's gift of \$20,000, her pledge of \$100,000, the Exxon Corporation match of \$30,000 and a \$10,000 gift for the fund from an anonymous source, the total given and pledged for the professorship over the next five years is \$160,000. Mrs. Robertson anticipates the state's Research Challenge Trust Fund matching the \$160,000 to create a \$320,000 professorship.

Action taken:



Approved



Disapproved

☐ Other

FCR 12

Office of the President
March 2, 1999

Members, Board of Trustees:

CAROL MARTIN GATTON ENDOWMENT

Recommendation: that the Board of Trustees establish and endow four chairs, ten professorships, graduate scholarships and fellowships, and a faculty research and development fund with \$11,409,000 in contributions and pledges made by Carol Martin Gatton of Bristol, Tennessee, that have been matched by the state's Research Challenge Trust Fund.

Background: All of the endowed funds will be named in Mr. Gatton's honor for his generosity to the College of Business and Economics, which also bears his name. The chairs will be in finance, accountancy, micro-economics and management/marketing. There will be five professorships in business, four professorships in economics and one in accountancy. The graduate fellowships and scholarships will attract and support outstanding MBA and doctoral students. The faculty research and development fund will provide for a variety of research projects including summer research grants.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 13

Office of the President
March 2, 1999

Members, Board of Trustees:

CONSTANCE WILSON ENDOWED PROFESSORSHIP IN MENTAL HEALTH

Recommendation: that the Board of Trustees accept a gift of \$40,000 from colleagues, friends and relatives of Professor Constance Wilson and a pledge of \$10,000 from Joanne Bell of Lexington, Kentucky, to create the Constance Wilson Endowed Professorship in Mental Health in the College of Social Work.

Background: Professor Wilson retired from the University in 1997 after a distinguished career as a faculty member and Director of Graduate Studies in the College of Social Work. She was the first woman to represent the faculty on the UK Board of Trustees when she was elected by her peers in 1978. The gifts and pledges totaling \$50,000 will create a \$100,000 endowment to honor Professor Wilson when matched by the state's Research Challenge Trust Fund. It will enable the school to attract an outstanding scholar-teacher-practitioner of social work to move forward the new doctoral program and its underlying research programs. The pledge by Ms. Bell, a College of Social Work graduate, will be fulfilled within five years.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 14

Office of the President
March 2, 1999

Members, Board of Trustees:

ENDOWED CHAIR IN NEUROSURGERY

Recommendation: that the Board of Trustees accept a pledge of \$500,000 from the Kentucky Medical Services Foundation of Lexington, Kentucky, to establish an endowed chair in Neurosurgery in the College of Medicine.

Background: The pledge is contingent on the University receiving matching funds from the state's Research Challenge Trust Fund to create a \$1,000,000 chair to support neurosurgery research. The pledge will be paid in five annual installments of \$100,000 beginning in 1999.

Action taken:



Approved



Disapproved



Other

FCR 15

Office of the President
March 2, 1999

Members, Board of Trustees:

ENDOWED CHAIR IN RADIATION MEDICINE

Recommendation: that the Board of Trustees accept a pledge of \$500,000 from the Kentucky Medical Services Foundation of Lexington, Kentucky, and a pledge of \$500,000 from the Ephraim McDowell Cancer Foundation of Lexington, Kentucky, to create an endowed chair in Radiation Medicine in the College of Medicine.

Background: Both donors' pledges are contingent on the University receiving \$1,000,000 in matching funds from the state's Research Challenge Trust Fund to create a \$2,000,000 chair to support radiation medicine research. The Kentucky Medical Services Foundation pledge will be paid with five annual gifts beginning in 1999. The Ephraim McDowell Cancer Foundation will pay its pledge with annual payments of \$100,000 beginning in 1999.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 16

Office of the President
March 2, 1999

Members, Board of Trustees:

ENDOWED PROFESSORSHIPS IN BIOCHEMISTRY AND CARDIOLOGY

Recommendation: that the Board of Trustees accept a pledge of \$485,000 from the Kentucky Medical Services Foundation of Lexington, Kentucky, to establish three endowed professorships in the Department of Biochemistry and one in the Department of Cardiology in the College of Medicine.

Background: The pledge is contingent on the University receiving matching funds from the state's Research Challenge Trust Fund. The pledge will be paid in annual installments beginning in 1999. When matched by the Research Challenge Trust Fund, one professorship in biochemistry will be funded at \$104,000, one at \$330,000 and one at \$436,000. The professorship in cardiology will be funded, when matched, at \$100,000.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 17

Office of the President
March 2, 1999

Members, Board of Trustees:

ENDOWMENT FOR NONTENURED FACULTY RESEARCH

Recommendation: that the Board of Trustees accept a gift of \$34,000 and a pledge of \$116,000 from an anonymous donor to create an Endowment for Nontenured Faculty Research in the College of Arts and Sciences.

Background: A condition of the gift and pledge is that it be matched by the state's Research Challenge Trust Fund. The pledge will be paid in four annual installments of \$29,000 beginning in June 1999. The donor seeks to help junior, nontenured faculty with their research in the early period of their academic careers, consistent with the university's quest to become a top 20 public research university.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 18

Office of the President
March 2, 1999

Members, Board of Trustees:

ESTABLISHMENT OF ENDOWED CHAIRS IN VETERINARY SCIENCE

Recommendation: that the Board of Trustees approve the establishment of (1) five endowed chairs (\$12,000,000); (2) an Equine Research Program Endowment (\$2,000,000); and (3) a John A. Morris Library Research and Graduate Mission Support Fund Endowment (\$500,000) in the College of Agriculture's Gluck Equine Research Center in the Department of Veterinary Science from funds received from the Schlaikjer estates and from matching funds received from the state's Research Challenge Trust Fund.

Background: Jes and Clementine Schlaikjer of Prescott, Arizona, bequeathed most of their estates to the University of Kentucky to support equine infectious disease research in the Gluck Equine Research Center. She died in 1995 and he died in 1996. In September 1998, the Board of Trustees accepted a distribution of \$7,001,966 from the estates and authorized acceptance of any additional distributions from the estates. It is anticipated that the gift amount from the estates will be approximately \$7,500,000.

Chairs to be established from the gift and matching funds are: the William Robert Mills Chair in Equine Infectious Diseases; the Clementine Mills Schlaikjer Chair in Equine Infectious Diseases; the Jes E. Schlaikjer Chair in Equine Infectious Diseases; the Albert G. Clay Chair in Reproductive Physiology; and the John S. & Elizabeth A. Knight Chair in Equine Veterinary Science.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 19

Office of the President
March 2, 1999

Members, Board of Trustees:

GEORGE E. MITCHELL GRADUATE FELLOWSHIP

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from George E. Mitchell of Lexington, Kentucky, and gifts totaling \$20,000 from friends of Dr. Mitchell to establish and endow the George E. Mitchell Graduate Fellowship Fund in the College of Agriculture.

Background: Dr. Mitchell is a retired faculty member of the College of Agriculture's Department of Animal Sciences. Friends and colleagues of Dr. Mitchell contributed \$20,000 for the graduate fellowship fund and Dr. Mitchell has pledged \$20,000 a year for five years beginning in 1999. Dr. Mitchell and the other donors anticipate the gifts and pledge being matched by the state's Research Challenge Trust Fund, which would create a \$240,000 endowment to provide fellowships for graduate students in animal sciences.

Action taken:



Approved



Disapproved



Other _____

FCR 20

Office of the President
March 2, 1999

Members, Board of Trustees:

GHEENS FOUNDATION GIFT

Recommendation: that the Board of Trustees accept a gift of \$100,000 from the Gheens Foundation, Inc. of Louisville, Kentucky, to establish and endow the Laramie L. Leatherman Distinguished Professorship in Tax Law in the College of Law.

Background: The late Laramie L. Leatherman, a 1953 College of Law graduate, was a prominent Louisville attorney and vice president of the Gheens Foundation at the time of his death in 1994. This \$100,000 gift by the Gheens Foundation, when matched by the state's Research Challenge Trust Fund, will create a \$200,000 endowment that will honor Mr. Leatherman in perpetuity and significantly enhance the research program of the college. This endowment will complement another professorship in tax law that was previously created by gifts from Mr. Leatherman and the Gheens Foundation.

Action taken:



Approved

☐ Disapproved

☐ Other

FCR 21

Office of the President
March 2, 1999

Members, Board of Trustees:

GRADUATE INTERN RESEARCH FELLOWSHIP

Recommendation: that the Board of Trustees accept a gift of \$74,300 from the Leadership Committee of the Patterson School of Diplomacy to establish and endow a Graduate Intern Research Fellowship in the Patterson School of Diplomacy.

Background: The Leadership Committee is a volunteer advisory council to the Patterson School, a multidisciplinary graduate program. Members of the committee, other alumni, faculty and friends of the school have raised \$74,300 in the past year to support a graduate fellowship to enhance the research-based educational experience of graduate interns. The Leadership Committee anticipates the gift will be matched by the state's Research Challenge Trust Fund.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 22

Office of the President
March 2, 1999

Members, Board of Trustees:

MR. AND MRS. H. BRUCE PRICE, JR. PROFESSORSHIP
IN AGRICULTURAL ECONOMICS

Recommendation: that the Board of Trustees accept a gift of \$7,250 and a pledge of \$42,750 from Mr. and Mrs. H. Bruce Price, Jr. of Maitland, Florida, to establish and endow the Mr. and Mrs. H. Bruce Price, Jr. Professorship in Agricultural Economics in the College of Agriculture's Department of Agricultural Economics.

Background: Mr. and Mrs. Price have supported several philanthropic projects in the College of Agriculture over the years. Mr. Price's father, the late H. Bruce Price, Sr., was a long-time member of the college faculty. This gift will advance the graduate programs and research in agricultural economics. A condition of the Price's commitment for the professorship is that their gift be matched by the state's Research Challenge Trust Fund, which would create a \$100,000 endowed professorship. The pledge will be paid over a five-year period. The pledge includes matching funds to be paid by Mr. Price's former employer, Philip Morris, Inc.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 23

Office of the President
March 2, 1999

Members, Board of Trustees:

JAMES C. VAN METER GRADUATE AND RESEARCH
ENDOWMENT IN MATHEMATICS

Recommendation: that the Board of Trustees accept a gift of \$50,000 and a pledge of \$80,000 from James C. Van Meter of Lexington, Kentucky, to create and endow the James C. Van Meter Graduate and Research Endowment Fund in Mathematics in the Department of Mathematics in the College of Arts and Sciences.

Background: Mr. Van Meter received a degree in mathematics from the University in 1963. He has held executive positions with several national firms and was executive vice president and chief financial officer of Georgia-Pacific Corporation when he retired. His gift is contingent on the University receiving matching funds from the state's Research Challenge Trust Fund. The endowment created by Mr. Van Meter's gift will support graduate fellowships and a research lecture series. The pledge will be paid in annual gifts of \$20,000 beginning in 1999 and ending in 2002.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 24

Office of the President
March 2, 1999

Members, Board of Trustees:

LEWIS W. COCHRAN ENDOWED FELLOWSHIP
IN PHYSICS AND ASTRONOMY

Recommendation: that the Board of Trustees accept a gift of \$52,000 from a donor who asked to remain anonymous to establish and endow the Lewis W. Cochran Endowed Fellowship in Physics and Astronomy in the College of Arts and Sciences.

Background: The donor is a former student of Dr. Cochran, who began a long career in teaching and administration at the University in 1957. Dr. Cochran received his master's degree and doctorate in physics from UK. His administrative positions included dean of the Graduate School and vice president of academic affairs. He died in 1996. The fellowship will support graduate students in the Department of Physics and Astronomy. The donor has requested that the University seek matching funds from the state's Research Challenge Trust Fund.

Action taken:



Approved



Disapproved



Other _____

FCR 25

Office of the President
March 2, 1999

Members, Board of Trustees:

LEXINGTON HERALD-LEADER GRADUATE FELLOWSHIP

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from the Lexington Herald-Leader of Lexington, Kentucky, to create and endow the Lexington Herald-Leader Graduate Fellowship in the Graduate School.

Background: This gift is contingent on the University receiving matching funds from the state's Research Challenge Trust Fund. The intent of the endowment is to support fellowships for students who are pursuing graduate degrees in areas of interest to the Herald-Leader, including, but not limited to, business, economics, the humanities and the social sciences. The donor hopes the fellowship will help retain Kentucky's brightest students, particularly those from poverty and underrepresented backgrounds, in the professional work force in the Lexington community. The pledge will be paid in five annual installments of \$20,000 beginning in 1999.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 26

Office of the President
March 2, 1999

Members, Board of Trustees:

MARGARET LOGAN COLVIN CHAIR IN LUNG DISEASE RESEARCH

Recommendation: that the Board of Trustees create and establish the Margaret Logan Colvin Endowed Chair in Lung Disease Research in the College of Medicine.

Background: Mrs. Colvin of Falmouth, Kentucky, died in March, 1997, and bequeathed her property in Pendleton County to the University. In June 1998, the Board of Trustees authorized the establishment of an endowment to support lung disease research based on anticipated distributions from the estate of \$476,842, which has been matched by the Research Challenge Trust Fund (RCTF). The Colvin bequest is now expected to total \$663,950, which would provide another \$187,108 eligible for matching funds from the RCTF. Including RCTF funds, the Colvin estate gift will exceed the \$1,000,000 required for the establishment of a permanently endowed chair to memorialize Mrs. Colvin in perpetuity for her generous support to the University.

Action taken:



Approved



Disapproved



Other _____

FCR 27

Office of the President
March 2, 1999

Members, Board of Trustees:

MAX KADE FOUNDATION GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$200,000 and a pledge of \$200,000 from the Max Kade Foundation of New York, New York, to assist the College of Arts and Sciences Department of Germanic Languages and Literatures in establishing the Max Kade German House and Cultural Center at 212 East Maxwell Street.

Background: The Max Kade Foundation is a private foundation interested in projects with a German focus. The founder, Max Kade, was a German-American businessman who was dedicated to fostering better understanding between the United States and Europe. The Foundation has designated this gift to assist in renovating and expanding the University-owned property at 212 East Maxwell into a multipurpose facility for use by the German Department. An addition to the house will include an apartment for a visiting scholar and living space for eight students including a graduate student who will serve as a resident advisor. The students will be a combination of exchange students from German-speaking countries and UK students who are majoring in German. In addition, the house will be renovated to provide space for a conference room, seminar room, media room and a library. Upon completion, the University of Kentucky will hold the distinction of being one of only 25 universities throughout the U.S. and Europe that have received funding for a "Max Kade German House".

The renovation and construction project is expected to begin this summer. The facility could be operational by the fall of 2000. The foundation's pledge will be paid before the project is completed.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 28

Office of the President
March 2, 1999

Members, Board of Trustees:

MEDICAL CENTER PROFESSORSHIPS

Recommendation: that the Board of Trustees accept a pledge of \$300,000 from Kentucky Medical Services Foundation of Lexington, Kentucky, to establish six professorships in the Albert B. Chandler Medical Center.

Background: One endowed professorship will be assigned to each of the five colleges in the Medical Center and the dean of each college will have the discretion to assign the professorship to support the chosen research area. A sixth professorship for a graduate program will be assigned by the Chancellor. The pledge is contingent on the University receiving \$300,000 in matching funds from the state's Research Challenge Trust Fund. The pledge will be paid within five years.

Action taken:



Approved



Disapproved



Other _____

FCR 29

Office of the President
March 2, 1999

Members, Board of Trustees:

MICHAEL D. RANKIN, M.D. PROFESSORSHIP IN FAMILY PRACTICE

Recommendation: that the Board of Trustees accept a gift of \$50,000 from Michael D. Rankin, M.D. of Harrodsburg, Kentucky, to create the Michael D. Rankin, M.D. Professorship in Family Practice in the College of Medicine.

Background: Dr. Rankin, a 1980 graduate of the College of Medicine, made the gift in December, 1998, to fund a professorship in the College of Medicine for research in family practice. A condition of the gift is that the University apply for \$50,000 in matching funds from the state's Research Challenge Trust Fund. Dr. Rankin recently retired from his practice in family medicine in Atlanta, Georgia, and is operating a family farm near Harrodsburg.

Action taken:



Approved

☐ Disapproved

☐ Other

FCR 30

Office of the President
March 2, 1999

Members, Board of Trustees:

MINING ENGINEERING FOUNDATION, INC. GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$500,000 and a pledge of \$500,000 from the Mining Engineering Foundation, Inc., Lexington, Kentucky, to establish and endow graduate fellowships in the College of Engineering's Department of Mining Engineering.

Background: The University of Kentucky Mining Engineering Foundation, Inc. was established in 1983 for the purpose of enhancement and improvement of the Department of Mining Engineering. It is comprised of 24 members representing the mining industry, six ex-officio members from the University of Kentucky administration, two members of the Board of Trustees and two members of the UK faculty. The \$500,000 pledge will be paid over the next five years. This gift and pledge is contingent on receipt of matching funds from the state's Research Challenge Trust Fund.

Action taken:



Approved

☐ Disapproved

☐ Other

FCR 31

Office of the President
March 2, 1999

Members, Board of Trustees:

PAUL CHELLGREN GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$50,000 and a pledge of \$200,000 from Paul Chellgren of Ashland, Kentucky, to establish and endow the Chellgren Endowed Professorship in Corporate Strategy in the Carol Martin Gatton College of Business and Economics.

Background: Mr. Chellgren, a 1964 graduate of the UK College of Business and Economics, is Chairman, President and CEO of Ashland Inc. He was inducted into the College of Business and Economics' Hall of Fame in 1997. Mr. Chellgren has been a long-time and generous supporter of the University and is currently a member of the UK Board of Trustees. Mr. Chellgren's gift and pledge are contingent on the University receiving matching funds from the state's Research Challenge Trust Fund. The pledge will be paid in four annual payments of \$50,000 beginning in 1999.

Action taken:



Approved

☐ Disapproved

☐ Other

FCR 32

Office of the President
March 2, 1999

Members, Board of Trustees:

PAUL ORBERSON GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$100,000 and a pledge of \$1,500,000 from Paul Orberson of Lexington, Kentucky, for the construction of a football office complex adjoining the E. J. Nutter Training Facility.

Background: Mr. Orberson, a native of Danville, Kentucky, is an avid fan of University of Kentucky athletics. A former athlete and coach, he is associated with Excel Communications.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 33

Office of the President
March 2, 1999

Members, Board of Trustees:

PROFESSORSHIP IN OBSTETRICS AND GYNECOLOGY

Recommendation: that the Board of Trustees accept a pledge of \$250,000 from Kentucky Medical Services Foundation of Lexington, Kentucky, to establish and endow a Professorship in Obstetrics and Gynecology in the College of Medicine.

Background: The pledge is contingent on the University receiving \$250,000 in matching funds from the state's Research Challenge Trust Fund to create a \$500,000 professorship. The pledge will be paid in 1999.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 34

Office of the President
March 2, 1999

Members, Board of Trustees:

PULMONARY MEDICINE PROFESSORSHIP PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$50,000 from two of Bayer Corporation's pharmaceutical divisions, one in West Haven, Connecticut, and one in Florence, Kentucky, and a \$2,500 pledge from Pfizer Pharmaceuticals of Schaumburg, Illinois, to create and endow a Professorship in Pulmonary Medicine in the College of Medicine.

Background: The Bayer Corporation and Pfizer Pharmaceuticals seek to enhance medical research in lung diseases. The pledge is contingent on the University receiving matching funds from the state's Research Challenge Trust Fund. The pledges will be paid within five years.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 35

Office of the President
March 2, 1999

Members, Board of Trustees:

SPINAL CORD AND HEAD INJURY PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$2,500,000 from the Spinal Cord and Head Injury Trust to create three endowed chairs, a postdoctoral and graduate student fellowship endowment, and for start-up and renovation costs for the Spinal Cord and Brain Injury program in the College of Medicine.

Background: The Spinal Cord and Head Injury Trust provides funding to the College of Medicine for spinal cord and brain injury research. The Trust requests the University apply for \$2,000,000 in matching funds from the state's Research Challenge Trust Fund to match the endowed chair and postdoctoral student fellowship endowments. The Trust's pledge will be paid as follows:

- 1998-1999 - \$500,000 for start-up and renovation costs,
- 1999-2000 - \$500,000 for an endowed chair for the clinical director of the Spinal Cord and Brain Injury program,
- 2000-2001 - \$500,000 for an endowed chair for a basic science or clinical department faculty member who is conducting spinal cord and brain injury research,
- 2001-2002 - \$500,000 for an endowed chair for a basic science or clinical department faculty member who is conducting spinal cord and brain injury research, and
- 2002-2003 - \$500,000 for an endowment for postdoctoral and graduate student fellowships in spinal cord and brain injury research.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____

FCR 36

Office of the President
March 2, 1999

Members, Board of Trustees:

STITES & HARBISON PROFESSORSHIP

Recommendation: that the Board of Trustees accept gifts totaling \$24,500 and a pledge of \$48,000 from Stites & Harbison of Lexington, Kentucky, to establish and endow the Stites & Harbison Professorship in the College of Law.

Background: The Stites & Harbison law firm has been a long-time supporter of the College of Law. The firm requests that the University submit the gift and pledge of \$72,500 for matching funds from the state's Research Challenge Trust Fund. The pledge will be paid in four annual payments of \$12,000 beginning in 1999.

Action taken:

☒ Approved

☐ Disapproved

☐ Other _____