

MINUTES

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
December 14, 2004
18 th Floor Patterson Office Tower**

Minutes

Accompanying materials:

President's Report and Action Items

- PR 1 President's Report to the Trustees
- PR 2 Personnel Actions
- PR 3 Appointment of Dean of the College of Health Sciences
- PR 4 Appointment of Dean of the College of Public Health
- PR 5 Appointment of Associate Provost for Educational Partnerships
- PR 6 Waiver of Administrative Regulation Pertaining to Retirement
- PR 7 Athletics Self-Study Report
- PR 8 Administrative Regulation: Capital Project Approval

Academic Affairs Committee Report

- AACR 1 Candidates for Degrees – University System
- AACR 2 Change in Name of an Interdisciplinary Program
- AACR 3 Change in Name of an Educational Unit
- AACR 4 Change in Name of an Educational Unit
- AACR 5 Change in Name of an Educational Unit
- AACR 6 Change in Name of a Degree
- AACR 7 Change in Name of an Educational Unit

Finance Committee Report

- FCR 1 Michael T. Nietzel Pledge
- FCR 2 Kentucky Medical Services Foundation, Inc. Gift
- FCR 3 Gifts and Pledges to the Symptom Management and Palliative
Care Professorship
- FCR 4 Lucille Caudill Little Trust Gift
- FCR 5 Markey Cancer Center Foundation Gift
- FCR 6 Report of Lease
- FCR 7 Approval of Sale and Purchase Agreement
- FCR 8 UK HealthCare Patient Care Facility and Other Project Approvals
Powerpoint presentation by Michael Karpf

- FCR 9 Project Approval for Federally Qualified Health Center in Hazard to
be known as North Fork Valley Community Health Center of the
University of Kentucky

- FCR 10 Pilot Plan for the Distribution and Use of Professional Income
under the University of Kentucky Center for Manufacturing, Lean
Program
- FCR 11 Approval of Lease
- FCR 12 2004-06 Capital Request Status Report
- FCR 13 2004-05 Budget Revisions
- FCR 14 Patent Assignment Report
- FCR 15 Capital Construction Report

Nominating Committee Report

- NCR 1 Appointments to University Hospital of the Albert B. Chandler
Medical Center, Inc. Board of Directors
- NCR 2 Reappointment to University of Kentucky Equine Research
Foundation
- NCR 3 Appointments to University of Kentucky Mining Engineering
Foundation

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, December 14, 2004.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, December 14, 2004, in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Mr. James Hardymon, Chair, called the meeting to order at 1:00 p.m. and asked Dr. Roy Moore to begin the meeting with a quote.

Dr. Moore read the following quote written by Joseph Epstein: “All men and women are born, live, suffer, and die; what distinguishes us from one another is our dreams, whether they be dreams about worldly or unworldly things, and what we do to make them come about. We do not choose to be born. We do not choose our parents. We do not choose our historical epoch, the country of our birth, or the immediate circumstances of our upbringing. We do not, most of us, choose to die; nor do we choose the time and conditions of our death. But within this realm of choicelessness, we do choose how we live.”

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mira S. Ball, Stephen P. Branscum, Marianne Smith Edge, Ann Brand Haney, James F. Hardymon (Chair), Michael Kennedy, Billy Joe Miles, Roy Moore, Phillip Patton, Steven S. Reed, Frank Shoop, Myra Leigh Tobin, Rachel Watts, JoEtta Y. Wickliffe, Russ Williams, Elaine A. Wilson, and Barbara S. Young. Absent from the meeting were Pamela May, Alice Sparks, and Billy B. Wilcoxson. The University administration was represented by President Lee T. Todd, Jr., Provost Michael Nietzel, Acting Executive Vice President for Finance and Administration Frank Butler, Executive Vice President for Health Affairs Michael Karpf, Executive Vice President for Research Wendy Baldwin, and General Counsel Barbara W. Jones.

Members of the various news media were also in attendance. A quorum being present, the Chair declared the meeting officially open for the conduct of business at 1:04 p.m.

Mr. Hardymon said the Board had a very important and busy day. He explained that the deans' college reports were not on the agenda because of the committee meeting following the Board meeting and the Senates' holiday reception. He said that the college reports will be continued until all of the deans have had an opportunity to make a presentation about their college.

Mr. Hardymon mentioned the following consent items on the agenda:

Minutes - October 26, 2004

PR 2 Personnel Actions

AACR 1 Candidates for Degrees – University System

- FCR 1 Michael T. Nietzel Pledge
- FCR 2 Kentucky Medical Services Foundation, Inc. Gift
- FCR 3 Gifts and Pledges to the Symptom Management and Palliative Care
Professorship (Consent)
- FCR 4 Lucille Caudill Little Trust Gift (Consent)
- FCR 5 Markey Cancer Center Foundation Gift (Consent)
- FCR 6 Report of Lease (Consent)

He asked if there were any comments and then called for a motion for approval of the consent items. On motion made by Ms. Smith Edge and seconded by Ms. Haney, the items on the consent agenda were approved without dissent.

C. President's Report

President Todd called attention to the following items in PR 1:

He reported that he had a nice correction to item 1 in his report. UK's strong congressional delegation was even more successful than the \$17 million shown in PR 1. UK now has a total of \$25 million earmarked for various programs. He noted that all of the deans, several officers of the university, and Patsy and he went to Washington, DC to attend a reception for the staffers who get the paperwork done and take it through the process. Bill Schweri, Jim Duff, and Dr. Baldwin do a great job working with the staff to make sure that UK follows the procedures. UK has gone from \$5 million in federal earmarks to \$25 million in federal earmarks over the past four years. He said that he feels very good about that.

Belcan Engineering Group has received a contract from Sikorsky Aircraft Corporation. They will be bringing 40 jobs initially and as many as 300 by the end of 2005 to Lexington. Their location has not been determined at this time. Professor Bruce Walcott has been a tremendous help with this and has worked closely with the Governor's Office. Mr. Ralph Anderson, owner of Belcan, took two days out of his Maui vacation to stop in Connecticut and present a concept on using engineering talent at UK to try to develop a competitive rate for allowing Belcan to compete with offshore prices. Gene Strong said that it was the biggest economic development contract in Lexington in the past 20 years.

UK has been announcing multi-million dollar grants. A team of UK's top research faculty was awarded a \$7.5 million grant to aid in the fight against Alzheimer's disease.

Congressman Harold Rogers announced \$4 million worth of funding for homeland security. There are 11 projects, and UK is involved in 8 of them. They will be shared with many of the universities throughout the state. This is just the beginning of what Congressman Rogers calls the virtual federal laboratory in Kentucky for homeland security.

UK honored 224 new Fellows at the annual Fellows Dinner. The last count toward the university's billion dollar capital campaign goal was \$709 million.

UK joined Stu Silberman, Fayette County Public Schools superintendent, in announcing a new partnership to raise student achievement. UK has adopted Booker T. Washington Elementary School and the Academy at Lexington with a goal of having them achieve the best test scores in the state of Kentucky. Mitch Barnhart held the first event for the students from those two schools at the Nutter Center. This was the first time that many of the students had been on campus. One of the principals put it best when she said that a lot of the students hear about UK, but now they can say they have been on campus. This is an effort to start breaking the intimidation layer for some of these young students. President Todd applauded Mr. Barnhart for having the event.

UK had its Second Kentucky Conference on Natural Products Innovation. This conference had twice the attendance of the conference last year.

UK had nine football players nominated for the Academic All-American Team.

Chairman Hardymon was named one of eight outstanding directors for 2004 by the Institute of Outstanding Directors. To be recognized among all the directors for publicly held companies in the United States is a great honor, and the university is very proud of the recognition that Mr. Hardymon has received. President Todd asked that this be reflected in the minutes.

President Todd called upon Provost Nietzel to address PR 3 through PR 6.

Provost Nietzel said that he appreciated the opportunity to introduce to the Board three academic leaders for their approval. He introduced the academic leaders individually and commented about each of them. He noted that the three individuals are native Kentuckians and said that it is a great day for the Commonwealth, the Board, and the university to welcome some people back home and also keep some at home.

D. Appointment of Dean of the College of Health Sciences (PR 3)

Provost Nietzel said that PR 3 is the Appointment of the Dean of the College of Health Sciences. This is an important appointment because there have only been three deans in the history of the college. The college was formally named the College of Allied Health. Joe Hamburg served as dean for about 18 years, and Tom Robinson served as dean for 20 years. Provost Nietzel said that he hoped Dr. Lori Gonzalez will complete a length of term that will be equal to the first two deans.

Dr. Gonzalez was born in Mt. Vernon, Kentucky. Her area of expertise is Communication Disorders. She was instrumental in the leadership of that program in the college, and she also served as associate dean for academic affairs in the college.

The college attracted a wonderful slate of candidates, which is a testimony to the prior work of Dean Robinson and also the faculty in the college. Dr. Gonzalez emerged from that competition as a very clear choice for the university. In 2003 Dr. Gonzalez received an

American Council on Education (ACE) Fellowship, and she served that year as a Fellow between Berea College and UK. Many people got to know her for the half year she spent in a variety of positions at the University of Kentucky.

Dr. Gonzalez has been chosen to co-chair the UK Fayette County Task Force on Closing the Gap that President Todd mentioned in his report with respect to Booker T. Washington Elementary School and the Academy at Lexington. Dr. Gonzalez co-chairs that committee with Arnold Gaither from Fayette County Public Schools and has gotten UK off to a terrific start.

Provost Nietzel asked Dr. Gonzalez to come forward and give a few remarks.

Dr. Gonzalez said that it is a very exiting day for her. She came on campus the very first time in 1972 to participate in a 4-H camp. She came back year after year for different camps. When she got ready to go to college, the University of Kentucky was the place she wanted to be. She graduated from the College of Education and then went on to other things. She left the state, and in 1991 her husband, Randy, encouraged her to apply for a faculty position at UK and come back home. It was the best professional decision that she has ever made. She recognized her husband in the audience.

She said that the College of Health Sciences is strong, and many may have received treatments from some of the first-line providers that the college has educated. She pledged that the college will continue to have first-line providers, and with the provost's help, she hoped the Board would be hearing about the college's excellent achievements in the research arena. She said that she pledged as a Kentuckian to do all she can to make UK proud. Dr. Gonzalez received a round of applause.

Mr. Hardymon said there was a motion by Mr. Williams and second by Mr. Shoop to approve PR 3. The motion carried without dissent, and PR 3 was approved. (See PR 3 at the end of the Minutes.)

E. Appointment of Dean of the College of Public Health (PR 4)

Provost Nietzel said PR 4 is the Appointment of the Dean of the College of Public Health. In this case, this is the inaugural dean for this college. He reminded the Board of their approval for the formation of the College of Public Health last year. Once again because of the good start this college had, the university was able to attract an excellent slate of candidates for the position. None better, however, than someone that was born in Kentucky, educated at the University, and a member of the staff. It is Dr. Stephen Wyatt.

Dr. Wyatt is a dentist by training. He got his degree at UK and also a master's in public health. He has been instrumental in cancer control and cancer prevention. He was the associate director for cancer control at the UK Markey Cancer Center, and he has held a number of national and regional positions in the area of cancer prevention and control. He served in the U.S. Public Health Service and also worked at the Centers for Disease Control and Prevention (CDC).

Provost Nietzel said that he had the good fortune of working with Dr. Wyatt in guiding the process towards the formation of the college and a recommendation for the establishment of the college. He remains convinced this was a very good thing for the university to have done, to bring about this unit which will help address one of the key areas that President Todd has identified as a “Kentucky ugly.” He asked Dr. Wyatt to come forward and give some comments.

Dr. Wyatt said that he and his wife are native Kentuckians. When he returned six years ago, it was to work in the Markey Cancer Center. It was a tough decision for him to leave his position working with Dr. Al Cohen and Dr. Jay Perman, but he feels this college is very important to both the university and the state of Kentucky. As a Kentuckian, he is very loyal to the state, and he cares deeply about the state. As a graduate of the university, he cares deeply about the university. This opportunity is just too good to pass up.

Dr. Wyatt said that he thinks the college can help on the research side. The college can make all the other colleges stronger and better, which is an important contribution the college can make. On the education side, 50 percent of the public health workforce nationally will be retiring in the next five years. So there is a huge void to fill both in Kentucky and nationally.

Dr. Wyatt said that he is excited and honored to have been selected for the position, and he appreciates the opportunity to serve the university as dean of the College of Public Health. Dr. Wyatt received a round of applause.

Mr. Hardymon said that PR 4 is a recommendation that the Board approve the appointment of Dr. Stephen W. Wyatt as dean of the College of Public Health. On motion by Dr. Moore and second by Mr. Branscum, PR 4 was approved without dissent. (See PR 4 at the end of the Minutes.)

F. Appointment of Associate Provost for Educational Partnerships (PR 5)

Provost Nietzel said that he was particularly happy to recommend PR 5 because he got to introduce a former graduate dean. It is always good for him to see graduate deans do well in life. In this case, it is Dr. John Yopp. Dr. Yopp was born in Paducah and has had a wonderful career in higher education.

Provost Nietzel said that this is a new position entitled Associate Provost for Educational Partnerships, and it involves the combination of two activities on campus. One is a replacement for Dr. Wimberly Royster, who has been the project director for the Appalachian Math Science Partnership (AMSP) grant. That is that \$22 million NSF grant that UK has for extending math and science education into Appalachia.

Dr. Yopp was on the advisory board for the AMSP project and is very familiar with it as well as similar math and science projects across the country. Dr. Yopp was the graduate dean at Southern Illinois University. He also has served as the senior scholar in residence at the Council of Graduate Schools in Washington. He served as the vice president for graduate and professional education at the Educational Testing Service in Princeton, New Jersey. He has had

a wonderful career in graduate education and international affairs, an area in which UK will benefit from his expertise as well.

Provost Nietzel said that it is particularly gratifying for him to be able to introduce Dr. Yopp. In addition to the AMSP project and the Close the Gap Partnership, he is looking to Dr. Yopp for additional leadership in developing educational partnerships between the University of Kentucky and primary and secondary education and responses to some of the needs across the state of Kentucky.

Dr. Yopp said that he wanted to express his deep gratitude to President Todd and Provost Nietzel for providing him this opportunity to come to the University of Kentucky and engage in these exciting projects and special initiatives. President Todd and Provost Nietzel's leadership is impressive, and their vision is even more impressive. They make great recruitment tools and are very convincing. It also makes the University of Kentucky a particularly desirable place for him to continue what is now becoming a very long career.

Dr. Yopp said that he first learned of the University of Kentucky from his home in Paducah where his family had an agriculture business. His first tutors were extension specialists from the University of Kentucky. He said he and his wife are native Kentuckians, and he expressed his special appreciation to the members of the Board for the approval of the position. Dr. Yopp received a round of applause.

Mr. Hardymon said that PR 5 is the Appointment of the Associate Provost for Educational Partnerships and called for a motion. Ms. Ball moved approval. Her motion, seconded by Ms. Tobin, carried without dissent. (See PR 5 at the end of the Minutes.)

President Todd welcomed the three deans. He said it was good to have these Kentuckians, particularly with the passion that is so important to try to build the university. He noted their great credentials and said he was glad to have them at the university.

G. Waiver of Administrative Regulation Pertaining to Retirement (PR 6)

Provost Nietzel said that PR 6 concerns his request that the Board grant a waiver of the Administrative Regulation pertaining to mandatory retirement for university executives. In this case, he is asking the Board to approve a waiver for up to two years for the extension of the appointment of Dean Carolyn Williams as dean of the College of Nursing. He said that he has shared the justification with the Academic Affairs Committee as well as has had discussions with the college and other leaders about the request. Primarily, a continuation of some stability of leadership in the academic programs in the Medical Center is particularly important. Carolyn Williams has been a wonderful dean for the College of Nursing. It is a very well-administered college, and it is for that reason that he is asking for the extension of her appointment as dean, to benefit from her leadership, wisdom, and experience and especially to assist Dr. Karpf with respect to facilities and programs in the clinical enterprise. He asked Ms. Young for any summary she would like to give of the discussion that took place at the Academic Affairs Committee meeting in support of the request.

Ms. Young said the committee's discussion revolved around the need for continuity and historical perspective that Carolyn Williams would bring to the table as dean of nursing. There was some concern because an exception is being made, but these seem to be extraordinary circumstances. Dean Williams is completely qualified, and the Academic Affairs Committee was completely comfortable with this extension.

Mr. Hardymon asked Ms. Young if she moved approval of PR 6, and she moved approval. Her motion, seconded by Ms. Ball, carried without dissent. (See PR 6 at the end of the Minutes.) Those attending from the College of Nursing gave Dean Williams a standing ovation even though she was unable to attend the meeting.

H. Athletics Self-Study Report (PR 7)

President Todd asked Dr. Connie Ray to give her report on the athletics self-study. He mentioned the volume of work required for the task and complimented the staff who put forth a tremendous effort to complete the report.

Dr. Ray acknowledged those in the audience who worked on the report and asked them to stand and be recognized. There were about 50 or more staff involved in this project, including students in general, student-athletes, faculty, and associate deans. She said that the group had been working for over a year, and they are glad to bring this report to the Board's attention for approval.

Dr. Ray explained that the report is submitted in the same format that is required for submission to the National Collegiate Athletic Association on the web-based online submission form. There are three plans in this report for improvement. The Board will be approving the three plans for improvement when they approve PR 7.

The group was required to have an improvement plan that addressed any concern about a given principle that they found. This report has a plan to improve the graduation rates of the university's student-athletes, especially those in the revenue-producing sports where they found a significant difference between the student-athletes and students in general. The report also has a gender equity plan that asks athletics to reach a couple of milestones in terms of beginning to plan for a new athletic opportunity for female student-athletes. There is also a minority plan in the report that is a continuation of the efforts that the Athletics Department has been pursuing over the past several years. Dr. Ray said that she would be happy to answer any questions that the Board might have.

Mr. Hardymon thanked Dr. Ray for getting the document out earlier than the normal Board packet so the Board would have an opportunity to review it. He also thanked Dr. Ray for her presentation at the Athletic Board meeting in November. He asked for a motion of approval of PR 7. Mr. Shoop moved approval. His motion, seconded by Mr. Reed, carried without dissent. (See PR 7 at the end of the Minutes.)

President Todd said that he also wanted to thank Mitch Barnhart, Rob Mullens, and many members of the staff that put a lot of time and effort into this great plan. Fortunately, the university will not have to do it again for another 10 years.

I. Administrative Regulation: Capital Project Approval (PR 8)

President Todd said that PR 8 is a change in the Administrative Regulations, and he asked Frank Butler to address the change.

Mr. Butler said that one of the first things that President Todd asked him to do when he accepted the additional responsibility of Acting Executive Vice President for Finance and Administration was to look at the process for capital projects. One of the things that became apparent very quickly is that there was an informal process but not a formal process in place. Periodically, some of the capital projects were getting to agencies outside the university for approval before going to the Board.

He said that this policy has been written to ensure that all capital projects go to the Board for review and approval before going to the Council on Postsecondary Education, the Capital Project Oversight Committee, or anyone else. This change will put some discipline in the university's capital project review process to make sure that the Board reviews and acts on the projects first.

Mr. Butler said that this regulation actually originated from the Finance Committee in discussions about how the university handles capital projects, and there were some legitimate questions about why the projects were not following a route through the Board of Trustees. PR 8 is in response to the committee's concern.

Mr. Hardymon thanked Mr. Butler for his help with the quick follow-up on the Finance Committee's request. He asked for a motion of approval of PR 8. Ms. Haney moved approval. Mr. Miles seconded her motion, and it carried without dissent. (See PR 8 at the end of the Minutes.)

J. Academic Affairs Committee Report

Ms. Young, standing in for Alice Sparks who chairs the Academic Affairs Committee, presented the Academic Affairs Committee report. She mentioned that AACR 1 is part of the consent agenda.

K. Change in Name of an Interdisciplinary Program (AACR 2)

Ms. Young said that AACRs 2 through 7 involve either name changes in an academic unit or a program merger. She suggested that because of time limitations she be allowed to review each of them, and then the Board vote on them as a unit at the end. Discussion on any individual item would take place at the time it is reviewed. Ms. Young noted that each of the proposals has been reviewed and recommended by the Senate Committee on Academic

Organization and Structure, the Senate Council on behalf of the University Senate, and the provost of the university.

Ms. Young reported that AACR 2 is a Change in Name of an Interdisciplinary Program. The recommendation is that the Board approve a change in the name of the College of Arts and Sciences' program in American Culture to American Studies, effective in the spring 2005 semester. Basically, this reflects the change in the nature of the program and refocuses scholarly attention on the multicultural character of the United States. The proposal has been approved by the program faculty and the Arts and Sciences College Council.

L. Change in Name of an Educational Unit (AACR 3)

Ms. Young said that AACR 3 is a Change in Name of an Educational Unit. The recommendation is that the Board of Trustees approve a change in name of the College of Education's Department of Administration and Supervision (EDA) to the Department of Educational Leadership Studies (EDL), effective in the spring 2005 semester.

Changing the name of the department signifies recognition of the changing nature of the discipline as well as the continuing contributions of the department to the field and to the mission of the University of Kentucky. The change in name also signals the research community and potential students that the University of Kentucky continues in its role as the leader of developing innovations for the improvement of educational systems in the Commonwealth as well as nationally and internationally. This proposal has been approved by the department faculty and the College of Education Courses and Curricula Committee.

M. Change in Name of an Education Unit (AACR 4)

Ms. Young said that AACR 4 is a recommendation that the Board of Trustees approve a change in the name of the College of Agriculture's Department of Agronomy to the Department of Plant and Soil Sciences, effective in the spring 2005 semester.

The name Plant and Soil Sciences better communicates this range to persons outside the department and is better understood than the name Agronomy. The new name reflects two of the degree programs associated with the department faculty, and this identity will aid in recruitment and decrease confusion among applicants. The new name will also help align the faculty with opportunities in plant bioengineering and environmental sciences. The proposal has been approved by the department faculty, the Agriculture Faculty Council, and the College Curriculum Committee.

N. Change in Name of an Educational Unit (AACR 5)

Ms. Young said that AACR 5 is a recommendation that the Board of Trustees approve a change in the name of the College of Agriculture's Department of Animal Sciences to the Department of Animal and Food Sciences, effective for the spring 2005 semester. She noted that the word *science* should be *sciences* and not *science*, as mistakenly written on the AACR submitted in the Board packet.

She said that there are numerous benefits for the name change. The new name will greatly enhance the visibility of the Food Sciences Section and help recruit undergraduate and graduate students, postdoctoral researchers, and young faculty, and facilitate the interaction with other food and animal science peers nationwide. The proposed name change to Department of Animal and Food Sciences will identify one of its main missions, namely to link animal production with food, considering animal-derived foods as a major component of our daily diet. The proposal has been approved by the department faculty and the Agriculture Faculty Council and the College Curriculum Committee.

Professor Kennedy reiterated that on line two of the recommendation the word *science* should be *sciences* in both instances. He asked that it be changed in both places.

O. Change in Name of a Degree (AACR 6)

Ms. Young said that AACR 6 is a recommendation that the Board of Trustees approve a change in the name of the Bachelor of Science in Plant and Soil Sciences to Bachelor of Science in Horticulture, Plant and Soil Sciences, effective in the spring 2005 semester.

The proposed name better reflects the contributions of the Department of Horticulture and the Department of Plant and Soil Sciences in the growing interdepartmental undergraduate program. It has the approval of the Undergraduate Council, Senate Council, and University Senate.

P. Change in Name of an Educational Unit (AACR 7)

Ms. Young said that AACR 7 is a recommendation that the Board of Trustees approve a change in the name of the Center for Micro-Magnetic and Electronic Devices (CMMED) to the Center for Nanoscale Science and Engineering (CeNSE), effective in the spring 2005 semester.

The Center for Micro-Magnetic and Electronic Devices is an interdisciplinary, user-cost facility that supports and promotes research in nanoscale science and engineering including bio-medical and opto-electronic devices at the University and across the state.

The proposed change to Center for Nanoscale Science and Engineering better reflects the ongoing activities of the center. It has been approved by the faculty affiliated with the center and the faculty of the College of Engineering.

Provost Nietzel reported that all of these recommendations have received a very thorough review and have support along the academic lines. There are not any controversies associated with them.

Mr. Hardyman said that the Board had heard the report on AACRs 2 through 7. He said that he feels it is appropriate to group them together and have one vote for them. The Board could obviously have individual comments and questions at any time.

On a motion made by Professor Kennedy and seconded by Dr. Moore, AACRs 2 through 7 were approved without dissent. (See AACRs 2, 3, 4, 5, 6, and 7 at the end of the Minutes.)

Ms. Young said that Provost Nietzel reported on undergraduate enrollment and how this has affected the undergraduate experience. She noted that many of the Board members have received questions from alumni and parents, and the committee had asked him to look into the matter. Dr. Connie Ray put together a very fine report which the committee has asked Provost Nietzel and Dr. Ray to present at the January Board meeting. The Board will get an extensive report at that time.

She said that the report looks at three areas involving growth in student numbers versus the overall educational experience, the students' satisfaction with their experience, and student outcomes. In each case, the university is doing a very good job.

One area that many Board members have been concerned about is the size of classes. The report shows that the majority of freshman students have three classes with less than 30 students in each class. The statistics show that the university is coping very well with the enrollment growth. GPA overall is up, and student satisfaction based on the evaluation of professors show that satisfaction is pretty level. It has been almost the same since 2001.

This report will show that the faculty has worked exceedingly hard to make this happen. The Board should be very appreciative of what the faculty has had to do to accommodate so many students in their classes.

The study also looked at the number of high-growth areas, the classes that really have exceeded other classes in their growth size. They looked specifically at these classes to see how that is working out. The provost has addressed this issue. Attaching a surcharge to upper-level classes and putting the monies that have been generated from this surcharge towards hiring more instructors has helped this situation. This has freed up faculty to teach some of the upper-level classes.

Basically, the university is doing a very good job. There are a lot of statistics in the report, and the Board will receive more information at the January meeting.

Q. Finance Committee Report - Gifts and Pledges (FCRs 1 through 5)

Ms. Wickliffe, chair of the Finance Committee, said that FCRs 1 through 5 were consent items. She did, however, want to call attention to a pledge from Provost Nietzel to honor his mother and father. It is a Visiting Distinguished Faculty Endowment in the Graduate School. She reported that gifts and pledges total \$1,170,875, and that amount will be matched by the state's Research Challenge Trust Fund (RCTF) grant.

R. Report of Lease (FCR 6)

Ms. Wickliffe said that FCR 6 is a lease of 600 square feet of office space in Glasgow, Kentucky. This is for a lay health worker program, and the annual cost of the lease is \$6,360.

On motion made by Ms. Wickliffe and seconded by Mr. Williams, FCR 6 carried without dissent. (See FCR 6 at the end of the Minutes.)

S. Approval of Sale and Purchase Agreement (FCR 7)

Ms. Wickliffe said that FCR 7 is the Approval of Sale and Purchase Agreement. This is the sale of the journal *Growth and Change: A Journal of Urban and Regional Policy*. It is published by the Center for Business and Economic Research. The Blackwell Publishing Company has submitted a bid for \$200,000. On behalf of the Finance Committee, she moved the adoption of FCR 7. Ms. Tobin seconded her motion, and it carried without dissent. (See FCR 7 at the end of the Minutes.)

T. UK HealthCare Patient Care Facility and Other Project Approvals (FCR 8)

Ms. Wickliffe said that FCR 8 is the UK HealthCare Patient Care Facility and Other Project Approvals, and the committee had a very lengthy discussion about this recommendation. Dr. Karpf gave a presentation, and Chairman Hardymon felt that the presentation should be given to the entire Board.

Mr. Hardymon said that almost 100 percent of the Board had spent individual time understanding this request, and most of the Board had heard the presentation. He said that he thought it was important to have the presentation again at the Board meeting. While this is a big item for the Board, the Board also has a link to these concerns. The Board is looking for a strategy, particularly for facilities but also for other parts of running the hospital, which can be used as the basis for operation of the patient care facility for years to come. He said that he liked it when there was a strategy. If a request was part of the strategy, it would be approved, and if not, there would need to be an update. He asked Dr. Karpf to make his presentation.

Dr. Karpf said that when he was recruited about 15 months ago he felt there were two immediate challenges that he needed to address. One was the challenge of House Bill 1 which encourages the university to strive to become a Top 20 public institution. The other was the challenge of what he hoped will be a transient decline in patients sent to the University Hospital. In order to face these two challenges, he thought it was very important that he involve the faculty in developing an overall comprehensive strategic plan with a vision for the future that allowed everyone to understand what needs to be done over the next several years and gave a framework to work through to 2020.

Dr. Karpf said that he and his staff undertook this challenge last year with financial planning, facilities planning, and strategic planning. After the recruitment of Dean Jay Perman, they actively engaged in aggressive academic planning. To insure the involvement of the faculty, they established several different committee structures, a very large health care advisory board that involves all the constituencies that he is responsible to, a smaller but still extensive executive committee that has met very frequently, and a number of subcommittees to help serve as the executive committee.

Dr. Karpf gave a PowerPoint presentation which can be reviewed at the end of the Minutes. He summarized his presentation by stating that the financial models demonstrate that through operating cash flows and UK debt of approximately \$250 million, the university will be able to fund Phase 1A and still sustain an appropriate financial position to continue with subsequent phases. He noted that no state funding is planned for any of this project. He mentioned that the hospital has had a number of early successes. The operating margins are up, the discharges are up, and practice faculty plan billings are up.

He said that there have been concerns about recruitment and retention at UK. Twelve outstanding leaders have been recruited from outside Kentucky. He was very fortunate to have recruited Dr. Jay Perman, an outstanding dean who has been integral to recruiting really wonderful people from around the country. He noted that leading medical faculty and administrators have also been promoted from within the university.

Dr. Karpf said a similar approach was planned for research. Research dollars have shown over 20 percent growth this quarter compared to last year's first quarter. This proposed program is good for the university in terms of movement towards Top 20 status. The capital improvement plan is important to the community because it provides better access to medical care in both the short and long term. The plan will have a tremendous economic impact on the community. At the peak of construction, 1,600 jobs will be generated. After construction, 1,300 permanent jobs will be generated, many of them being very high-paying jobs such as those of physicians, laboratory technicians, and radiology technicians. This is good for the economy of Lexington and the state of Kentucky. These are not jobs that are going to be outsourced out of the United States.

Dr. Karpf said that FCR 8 is asking the Board to support and approve the plans for the patient care facility, the ambulatory care facility, and a number of projects that are needed to continue to make progress. These plans and the people who support them will poise the University of Kentucky to achieve Top 20 status as an academic medical center. More important, these plans will allow UK HealthCare to serve the citizens and patients of Kentucky better. The proposed changes will be an important economic stimulus for the region and the state.

On behalf of the Finance Committee, Ms. Wickliffe moved approval of FCR 8. Mr. Hardymon enthusiastically suggested that the entire Board second the motion, which they did. FCR 8 was approved without dissent. (See FCR 8 at the end of the Minutes.)

U. Project Approval for Federally Qualified Health Center in Hazard To Be Known as North Fork Valley Community Health Center of the University of Kentucky (FCR 9)

Ms. Wickliffe said that FCR 9 recommends the creation of a collaborative health center in Hazard, Kentucky with the North Fork Valley Community Health Center to qualify for federal funds. She noted that the committee had a presentation on the recommendation. Ms. Ball moved approval. Her motion, seconded by Mr. Reed, carried without dissent. (See FCR 9 at the end of the Minutes.)

V. Pilot Plan for the Distribution and Use of Professional Income under the University of Kentucky Center for Manufacturing, Lean Program (FCR 10)

Ms. Wickliffe said that FCR 10 is the Pilot Plan for the Distribution and Use of Professional Income under the University of Kentucky Center for Manufacturing Lean Program. This is a pilot plan that is related to the Center for the Manufacturing Lean Program. It was initiated in 1993 with a partnership with Toyota. The goals of the pilot plan include helping to retain and recruit faculty by offering competitive salaries and to provide academic support to the institution by assisting manufacturing companies throughout the world to improve their processes. On behalf of the Finance Committee, she moved the adoption of FCR 10. Mr. Miles seconded the motion, and it carried without dissent. (See FCR 10 at the end of the Minutes.)

W. Approval of Lease (FCR 11)

Ms. Wickliffe said that FCR 11 recommends the approval to lease 83,380 square feet of floor space in the Coldstream Research Campus. This space is for Kentucky Technology, Inc. for the purpose of subleasing space to start-up companies, established businesses, and research centers. This lease represents about half of the space of the facility, and the remaining space is currently leased by the University to the Lexel Corporation. On behalf of the Finance Committee, she moved the adoption of FCR 11. Ms. Tobin seconded the motion, and it carried without dissent. (See FCR 11 at the end of the Minutes.)

X. 2004-06 Capital Request Status Report (FCR 12)

Ms. Wickliffe said that FCR 12 is the Capital Request Status Report. The committee had a lengthy discussion and received an update by Angie Martin. This action is pursuant to the Administrative Regulation the Board was asked to approve in PR 8. FCR 12 recommends that the Board approve the Capital Request Status Report. On behalf of the Finance Committee, she moved the adoption of FCR 12.

Mr. Hardymon said that FCR 12 was a little complicated because the charts look difficult. He reiterated that the Finance Committee spent a lot of time on FCR 12. He asked for a second to Ms. Wickliffe's motion. Mr. Shoop seconded the motion, and it carried without dissent. (See FCR 12 at the end of the Minutes.)

Y. 2004-05 Budget Revisions (FCR 13)

Ms. Wickliffe said that FCR 13 is the 2004-05 Budget Revisions, and the committee reviewed FCR 13 in detail. She noted that this will increase the budget by about 1 percent. She moved the adoption of FCR 13. Ms. Tobin seconded the motion, and it carried without dissent. (See FCR 13 at the end of the Minutes.)

Z. Patent Assignment Report (FCR 14)

Ms. Wickliffe said that FCR 14 is the Patent Assignment Report which has several patents. She noted that the report has been streamlined and commended Dr. Baldwin for the improvement. She said that there were seven patent applications, and two patents have been issued. The income to date is \$275,874. On behalf of the Finance Committee, she moved the adoption of FCR 14. Professor Kennedy seconded the motion, and it carried without dissent. (See FCR 14 at the end of the Minutes.)

AA. Capital Construction Report (FCR 15)

Ms. Wickliffe said that FCR 15 is the Capital Construction Report. Mr. Bob Wiseman brought the committee up to date on the report and discussed the changes of significance in the report. There were five new contracts. On behalf of the Finance Committee, she moved the adoption of FCR 15. Ms. Watts seconded the motion, and it carried without dissent. (See FCR 15 at the end of the Minutes.)

BB. Investment Committee Report

Mr. Hardymon said that Mr. Wilcoxson called to let him know that he is out of town on a business trip. The business trip had taken him even further out of town than he expected; therefore, he could not attend the Board meeting.

Mr. Hardymon reported that the committee is moving into some items that will require consideration by the Board. The committee has asked for proposals and more data. The Board will be receiving that report at the January meeting.

CC. Appointments to University Hospital of the Albert B. Chandler Medical Center, Inc. Board of Directors (NCR 1)

Mr. Shoop, Chair of the Nominating Committee, reported that the committee had met that morning to approve the NCRs. NCR 1 recommends the Appointments to the University Hospital of the Albert B. Chandler Medical Center, Inc. Board of Directors. He said that the committee recommends the appointment of Mira Ball and Marianne Smith Edge, and he moved approval of NCR 1. Ms. Haney seconded the motion, and it carried without dissent. (See NCR 1 at the end of the Minutes.)

DD. Reappointment to University of Kentucky Equine Research Foundation (NCR 2)

Mr. Shoop said that NCR 2 involves a Reappointment to the University of Kentucky Equine Research Foundation Board of Directors. The committee recommends the reappointment of Frank Shoop. He said that he would make the motion; however, he asked that the record show that he abstained from voting. Mr. Hardymon seconded the motion, and it carried without dissent. (See NCR 2 at the end of the Minutes.)

EE. Appointments to University of Kentucky Mining Engineering Foundation (NCR 3)

Mr. Shoop said that NCR 3 is the Appointments to University of Kentucky Mining Engineering Foundation Board of Directors. The committee recommends the appointments of Dr. Roy Moore and Mr. Russ Williams. He moved approval of NCR 3. Ms. Smith Edge seconded the motion, and it carried without dissent. (See NCR 3 at the end of the Minutes.)

FF. Other Business

President Todd asked Mr. Reed to step forward. He said that he had started a new tradition of offering some indication of gratitude for service to those who serve as Chairman of the Board of Trustees. He presented a plaque to Mr. Reed for his service as chairman from 2002 to 2004. Mr. Reed received a round of applause.

Mr. Harydmon expressed appreciation for the nice job that Mr. Reed did as Chairman of the Board.

Mr. Hardyman announced that the annual Board and Senates' Holiday Reception would be in the Main Building, Lexmark Public Room, at 3:00 p.m. and reminded the members of the Student Affairs Committee meeting at 2:30 p.m.

GG. Meeting adjourned

With no further business to come before the Board, Mr. Hardyman adjourned the meeting at 2:20 p.m.

Respectfully submitted,

Elaine Wilson
Secretary, Board of Trustees

(PRs 2, 3, 4, 5, 6, 7, and 8; AACRs 1, 2, 3, 4, 5, 6, and 7; FCRs 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, and 15; NCRs 1, 2, and 3; and Dr. Michael Karpf's PowerPoint presentation which follow are official parts of the Minutes of the meeting.)

PR 1

**Office of the President
December 14, 2004**

1. UK Receives More Than \$17 Million in Congressional Spending Plan

The University of Kentucky will receive more than \$17 million for research projects under a spending plan Congress sent to President Bush in late November. The funding includes \$16.7 million to study the nutritional value of new forages for livestock through the Forage-Livestock Systems Project; to supply equipment for the Neuroscience Research Institute; to provide dental care and facilities through the Consortium for Applied Oral Health Research and Treatment; to enable law students to work with Kentucky and U.S. attorneys through the Rural Drug Prosecution Assistance Project; to design an agricultural research service laboratory; and to fund other research and programs. Another \$1 million goes to UK and the University of Louisville's partnership called E-Cavern to pay for research into solutions to protect critical national financial data. The project will be housed in a three-million-square-foot limestone cavern under the city of Louisville.

2. Belcan Announces New Lexington Design Center, Creating Job Bonanza for Graduates

Belcan Engineering Group will open a new engineering design center in Lexington in early January for Sikorsky Aircraft Corporation with up to 40 jobs initially and as many as 300 by the end of 2005 that could benefit UK engineering graduates, Kentucky Governor Ernie Fletcher announced earlier this month. UK President Lee T. Todd, Jr. and engineering Associate Dean for Economic Development and Innovations Management Bruce Walcott were instrumental in the negotiations. Belcan will perform targeted engineering activities in support of projected growth in Sikorsky Aircraft's domestic and international development program requirements. In the Lexington center, Belcan plans to work closely with the UK College of Engineering to cultivate opportunities for graduates. Belcan already has provided the support for a Belcan laboratory suite in the Ralph G. Anderson Building, named for the founder of Belcan who also is a member of the college's Hall of Distinction. The building houses the college's mechanical engineering department. Belcan also participates in the college's cooperative education program that gives students on-the-job experience at company facilities for a semester or longer.

3. UK Alzheimer's Disease Researchers Win Grant, Unveil Study Results

A team of researchers led by Philip Landfield, professor and chair of Molecular and Biomedical Pharmacology in the UK College of Medicine, was awarded a \$7.5 million grant from the National Institutes of Health to aid in the fight against Alzheimer's Disease. The researchers have been working together for more than a decade to better understand and combat this debilitating neurodegenerative condition. D. Allan Butterfield, Chemistry, has uncovered new clues about how brain cells are damaged by Alzheimer's disease, evidence suggesting vitamin E may help prevent the debilitating illness. Butterfield's study, funded by the National Institutes of Health, focused on amyloid beta peptide, a compound known to contribute to the senile plaques seen in the brains of Alzheimer's patients. He found that both human and animal forms of the compound cause loss of connections between neurons and decreased cell viability as well as other damage associated with Alzheimer's.

4. UK Shares in Special Federal Homeland Security Funding for Research

UK and several other Kentucky universities and technical colleges will perform research into homeland security issues, supported by \$4 million in U.S. Department of Homeland Security grants. Kentucky Governor Ernie Fletcher and U.S. Representative Hal Rogers announced the grants in early November. The funded projects include \$894,923 to UK, Eastern Kentucky University, and Murray State University to develop a testing and tracking system to provide early warnings of disease in beef cattle; \$654,668 to UK, Western Kentucky University, and the University of Louisville to develop a high-tech surveillance and face-recognition system; \$835,556 to UK, Murray State, and Morehead State University to simulate catastrophic events related to dam breaches; \$318,048 to UK and WKU to develop portable command systems to use in emergency situations; \$250,000 to UK and ECU to develop a community risk and vulnerability assessment tool; \$285,000 to UK to demonstrate a three-dimensional simulation and training system for disaster scenarios; and \$95,751 to UK to determine the best way to manage and disseminate information in time-critical situations.

5. UK Honors 224 Fellows for Their Support of the University

During the annual Development Council and Fellows Society weekend, UK recognized 224 new Fellows, individuals who have given or pledged \$10,000 or more to the University. Since the program began in 1966, more than 5,900 Fellows have made or pledged gifts in excess of \$600 million. The program honors various levels of giving: University Fellows (\$10,000 or more, individuals only); Barker Fellows (\$50,000 or more); Bowman Fellows (\$250,000 or more);

Patterson Fellows (\$500,000 or more); and Presidential Fellows (\$1 million or more). The weekend also marked the official kickoff of The Campaign for the University of Kentucky, Phase II: Dream, Challenge, Succeed. The campaign's goal is to raise \$1 billion by the end of 2007. For a complete list of this year's Fellows, visit www.uky.edu/PR/News/041112_fellows.htm.

6. AHEC's Health Careers Opportunity Program Wins Federal Grant Exceeding \$1 Million

The UK Area Health Education Center (AHEC) recently received a three-year federal grant totaling more than \$1 million for its Health Careers Opportunity Program (HCOP) to increase the number of disadvantaged and underrepresented students pursuing education for careers in the health professions. Twelve rural and underserved counties in Eastern Kentucky and two urban counties with large Hispanic and African-American populations will receive the support. The funds will enable UK to expand existing programs of pre-professional preparation, broaden services for students with economic and academic disadvantages, and implement innovative programs to stimulate and encourage students to enter health professions. HCOP develops activities to build diversity in health professions, addresses identified risk factors for school failure, and explores ways to narrow achievement gaps and to assure students in the program remain in the educational pipeline. Students in the program may come from ethnically, geographically, and/or economically underserved backgrounds.

7. UK Teams With Fayette Schools to Offer Enhanced Learning Opportunities

Administrators from UK and the Fayette County Public Schools announced a new partnership to raise student achievement by offering enhanced learning opportunities for music, foreign language, and health care services. President Todd joined Stu Silberman, Fayette County Public Schools superintendent, and UK Provost Michael T. Nietzel in making the announcement in November. The Provost's Office will closely monitor student progress and make adjustments to the program as needed. The first phase of the program will focus on two schools, the Academy at Lexington and Booker T. Washington Elementary School. The long-range goal is to replicate the program in other Fayette County elementary schools.

8. UK Administrators Testify Before Commonwealth Energy Policy Task Force

Executive Vice President for Research Wendy Baldwin talked about energy research, environmental research related to energy, and UK's outreach and cooperative extension initiatives at the first meeting of the Governor's Commonwealth Energy Task Force on November 12. UK is involved in

approximately \$45 million in ongoing energy research, with \$28 million from federal sources. Baldwin talked about the need for state support to leverage these federal dollars. UK's Center for Applied Energy Research, College of Engineering, Kentucky Geological Survey, Center for Fossil Fuel Science, College of Agriculture, College of Arts and Sciences, Kentucky Water Resources Research Institute, and Tracy Farmer Center for the Environment are involved in energy research and energy-related environmental research. CAER director Ari Geertsema and Jim Cobb, state geologist and KGS director, also testified.

9. College of Dentistry Researcher Explores Botox® as Treatment of Jaw Disorders

Oral Health Science professor Jeff Okeson in the UK College of Dentistry is studying how Botox® can treat temporomandibular disorders that afflict the temporomandibular joints which perform such functions as opening and closing the mouth, chewing, speaking, and swallowing. The National Institute of Dental and Craniofacial Research of the National Institutes of Health indicate that at any given time 10.8 million people in the United States suffer from problems with how their jaws function. Okeson injects Botox into the appropriate muscle, which weakens the muscle and stops spasms.

10. Business Leaders, Scientists Discuss Opportunities for Innovative Natural Products

Business leaders, scientists and entrepreneurs gathered in Lexington in early November for the Second Kentucky Conference on Natural Products Innovation. The conference, sponsored by the UK College of Agriculture's Natural Products Alliance and Kentucky Tobacco Research and Development Center in collaboration with the Kentucky Life Sciences Organization, focused on identifying resources, building partnerships, and establishing successful enterprises in the life sciences industry.

11. UK Co-sponsors State Symposium on Innovative Treatments to Trauma

Health care professionals who care for emergency and critical care patients learned innovative approaches to trauma and emergency care at the Kentucky Statewide Trauma Symposium held in mid-November. Sponsored by UK HealthCare, Kosair Children's Hospital, and University of Louisville Health Care, the symposium covered clinical management of the trauma patient, including pre-hospital care, resuscitation, acute care, and rehabilitation. The forum featured national and local physicians, nurses, paramedics, and other experts. Attendees included physicians, physician assistants, nurses, nurse practitioners, EMT/EMT-Ps, respiratory therapists, and other allied health care professionals.

dealing with emergency and critical care patients. Continuing education credits were offered.

12. UK Offers Employees, Students Online Training to Prevent Sexual Harassment

A new online training program, titled "Preventing Sexual Harassment," is now available to all employees and students at UK. The program is offered by UK's Equal Opportunity Office and the President's Commission on Women in coordination with the UK Office of Human Resource Development. The interactive, online course defines sexual harassment in accordance with state and federal law and UK policy. It illustrates what constitutes inappropriate or impermissible conduct and offers procedures for seeking help. The browser-based course can be used 24 hours a day on any desktop or laptop computer and meets all federal and state accessibility standards. New faculty and staff will be required to take the training, and current UK employees will be encouraged or required to complete the training, depending on the decision of their college deans or unit directors. The program is also available at no cost to Kentucky employers who have no more than 50 employees. In addition, UK alumni employed in or owning businesses with up to 50 employees and those with significant ownership in private companies employing up to 200 may use the tool free of charge for employee training.

13. Lions Eye Bank Opens New Lab, Office Facilities in Lexington

The Lions Eye Bank of Lexington, an affiliate of the UK Department of Ophthalmology and Visual Sciences, dedicated a new facility in late October. Located at 3290 Blazer Parkway, Lexington, the 2,208-square-foot facility features a new laboratory and offices for technicians and staff. Staff of the Lions Eye Bank collect, screen, and oversee the distribution of tissue for vision-saving cornea transplants in Kentucky and beyond. The primary service area includes 51 counties in central and eastern Kentucky. However, when more corneas are available than are needed by Kentuckians, the tissue may be used for transplants in other states or even other countries. Annually, the Lions Eye Bank receives cornea tissue from over 200 donors and coordinates more than 400 transplants.

14. UK International Affairs Highlights Programs for International Education

The UK Office of International Affairs celebrated International Education Week in mid-November when high school students and teachers joined the university's faculty, administrators, and international and American students for a presentation on UK foreign language and area studies programs, study abroad, an Asian music session, cross-cultural exercises, and more. The OIA Bluegrass International Program (BIP) offered additional services to area teachers,

including e-mailed lessons for elementary, middle, and secondary school students; a speakers bureau comprised of UK international students, returned Peace Corps volunteers, and other university and community members; and delivery of curriculum units and culture kits to teachers in Fayette and contiguous counties. This is the fifth year of a joint U.S. Department of State and Department of Education initiative to join others around the world "to highlight the benefits of international education and exchange." Visit exchanges.state.gov/iew/statements/powell.htm for additional details.

15. College of Education Partners With Agencies to Collect Books for Children

The UK College of Education partnered for the fifth year with several community organizations to support the Books for Children holiday season drive, which ended last week. The program promotes literacy and a love for reading through the ownership of books. Several community agencies that serve children in Fayette and Jessamine counties distribute the books to children through a variety of literacy programs. Some organizations that have benefited are The Nest, Nathaniel Mission, Head Start, Step By Step, Hispanic Center, Grace Coleman Center, Early Learning Village, and Family Resource Centers affiliated with Fayette County Schools.

16. Gill Heart Institute Holds Seventh Cardiovascular Research Day

In support of regional research in cardiovascular disease and physiology, the UK Gill Heart Institute hosted its seventh annual Cardiovascular Research Day in October. The day-long event highlighted cardiovascular research and honored leading physicians and scientists for their contributions to cardiovascular care. The day featured presentations by Steven E. Nissen and Molly C. Carr, the respective recipients of the UK Gill Heart Institute Award for Outstanding Contributions and the Young Physician Scientist Award. Nissen, medical director of the Cleveland Clinic Cardiovascular Coordinating Center, and Carr, assistant professor at the University of Washington, each were honored for contributions in the field of cardiovascular imaging.

17. UK Launches Unique Nanoscale Engineering Certificate Program for Undergraduates

Eight students are taking advantage of a unique undergraduate certification program in nanotechnology at the UK College of Engineering. The Nanoscale Engineering Certificate Program, headed by mechanical engineering professor M. Pinar Mengüç, prepares students for emerging career opportunities in nanoengineering with courses in fundamentals and principles of nanomaterial engineering and fabrication. Twenty additional students are taking program courses. The program offers students the chance to work in laboratories with UK

researchers as they explore ways to manufacture and use nanomaterials. Mengüç and a colleague received a patent in December 2003 for a proposed method to prepare a tiny platform that would house nanomachinery. His lab currently is developing a practical means of using his patent that eventually could be licensed to industry.

18. Nursing Services Holds Event Noting the Impact of Nursing Services in Kentucky

UK Hospital Nursing Services held its 15th annual Nursing Research Day in mid-November highlighting the power of nursing research to affect the health of the Commonwealth. Topics presented included the influence of family and culture on infant feeding practices; the effect of positioning and bed rest on patients having cardiac catheterizations; implementation of a program to standardize care to patients on ventilators; the effect of having a dedicated discharge nurse; information technology and its usability by nurses; and changes in discharge teaching documentation after intervention. This year's keynote speaker was Kentucky's First Lady Glenna Fletcher, who discussed the contributions of nursing to improving the health of the Commonwealth.

19. WUKY News Department Wins Two International Audio Communicator Awards

The news department of WUKY (FM 91.3), a UK public radio station, recently won two Communicator Awards in an audio competition. The Communicator Awards is an international awards program founded by communications professionals to recognize excellence in the field. "Voices from Keeneland" garnered a Crystal Award of Excellence, and "All the Queen's Horses" won an Award of Distinction. "Horses," produced by Alan Lytle, WUKY news director, took listeners on a walking tour of the Kentucky Horse Park's 2003 "All the Queen's Horses" exhibit. "Voices," produced by writer/producer/reporter Bill Griffin, dealt with some of the lesser-known employees of Lexington's landmark race course and their contribution to fans' enjoyment of racing. Communicator Awards entries are judged by industry professionals who look for companies and individuals whose talent exceeds a high standard of excellence and whose work serves as a benchmark for the industry. There were 923 entries in The Communicator Awards 2004 audio competition. WUKY's awards scored in the top 13 percent of all entries submitted.

20. Kentucky Transportation Center to Work With Asphalt Institute on \$500,000 Project

The Kentucky Transportation Center at UK will collaborate with the Asphalt Institute on a \$500,000 joint research project funded through the 2005 federal Transportation Appropriations Bill that awaits President Bush's signature. The

project will study the relationship between good pavement construction practices and the long-term durability of asphalt pavements. The American Association of State Highway Transportation Officials supported the proposal. The study by KTC, housed in the UK College of Engineering, and the Lexington-based Asphalt Institute has benefits for local, state, and federal transportation agencies nationwide.

21. Tobacco Researcher Maelor Davies Is Featured in Chronicle of Higher Education

H. Maelor Davies, professor of agronomy in the UK College of Agriculture and director of the Kentucky Tobacco Research and Development Center, was featured in the "Money and Management" section of the November 16 edition of the Chronicle of Higher Education. In an article titled "In the Life Sciences, a Sprouting Competition," the center's research in "trying to breed a tobacco plant that can save lives" and other biotechnology activities were examined by writer Goldie Blumenstyk. KTRDC's research involves cultivating hybrid tobacco plants that it hopes will result in the development of disease-fighting drugs. The article discusses Davies' and other scientists' efforts to make northern Kentucky and nearby Cincinnati and southern Ohio into a nationally recognized center for biotechnology and life sciences.

22. Geological Survey Partners with Private Sector to Study Natural Gas Availability

The Kentucky Geological Survey, in partnership with Columbia Natural Resources, recently drilled a 1,000-foot continuous core well in Clark County to obtain samples of a rock type that is host to prolific deep natural gas reservoirs in the Appalachian Basin, in West Virginia, Pennsylvania, Ohio, and New York. Data collected from the core samples will help geologists predict where these porous "ordovician hydrothermal dolostones" occur in the eastern United States, thus improving exploration success. The research is being funded by the New York State Energy Research and Development Authority, Columbia Natural Resources, and the U.S. Department of Energy.

23. UK Event Encourages Awareness and Compassion as Part of World AIDS Day

UK marked World AIDS Day on December 1 with several events designed to raise awareness and compassion. The UK Bluegrass Care Clinic hosted a luncheon for leaders in Central Kentucky's African-American community that focused on the continuing and growing risks of HIV/AIDS. Other activities included free, confidential testing for HIV/AIDS.

24. Medical Students' Groups Hold Dialogue on Nuclear Disarmament at Max Kade House

The International Federation of Medical Student Associations at the UK College of Medicine presented a Nuclear Disarmament Dialogue on November 12 at the UK Max Kade German House. Presenters included Rune Dahl, medical student from Norway; Martina Grosch, medical student from Sweden; Jay Augsburg, medical student from University of Cincinnati; and Chris Brubaker, medical student from the University of Cincinnati and president of UC's student chapter of Physicians for Social Responsibility.

25. Nine Football Players Are Nominated for Academic All-America Team

The Kentucky football team has nine nominees for the Academic All-America team sponsored by the College Sports Information Directors of America – a school record. The nine nominees rank second nationally this year among Division I-A schools, following only Nebraska, which has 11. To earn a nomination for Academic All-America, a player must have a cumulative grade-point average of 3.2 or higher, be a sophomore or higher in academic standing and athletic eligibility, and be a starter or key reserve. The nine players who qualified for nomination are kicker Taylor Begley, running back Alexis Bwenge, tight end Jeremiah Drobney, linebacker Justin Haydock, offensive guard Matt Huff, cornerback Antoine Huffman, offensive tackle Hayden Lane, wide receiver Gerard Parker, and punter Anthony Thornton. The first round of Academic All-America voting is on the district level. The UK players will go on the CoSIDA District IV ballot, comprised of student-athletes from the states of Michigan, Ohio, Kentucky, Tennessee, and Alabama.

26. Student Organizes Program to Provide Blankets and Donations to Homeless

UK graduate student Dee Hill has organized campus support for the downtown Catholic Action Center, which is seeking donations of blankets and heavy winter clothes to help the homeless. Hill, a second-year graduate student in the Marriage and Family Therapy Program in the College of Agriculture, and several other UK students decided to conduct a benefit drive over the holidays called "Blankets or Bucks" to help the center meet the needs of the homeless. Hill is president of the UK chapter of the Kentucky Student Association of Family Relations. Donors may call Hill directly at (859) 536-4011 to arrange delivery of blankets or clothing. Donations also may be dropped off at the Women's Studies Office, 112 Breckinridge Hall, between 7:30 a.m. and 3 p.m. daily through Wednesday, December 15. Checks may be made payable to KSAFR and sent to Dee Hill, in care of KSAFR, 316 Funkhouser Building, University of Kentucky,

Lexington, Ky., 40506-0054. Hill said the student organization will combine all proceeds into a lump sum and present it to the Catholic Action Center.

27. College of Communications and Information Studies Gives Awards to Faculty, Alumni

The 2004 College of Communications and Information Studies Awards and Recognition Ceremony recognized the following faculty, staff, alumni, and friends of the college. The Outstanding Alumnus Award was presented to Judy Clabes ('67) and Gene Clabes ('69), Friend of the College Award to Gifford Blyton, Faculty Teaching Excellence Award to Yvonne Cappe, Faculty Excellence in Research Award to Kevin Real, and the Outstanding Staff Award to Cathy Hunt.

28. Geological Survey Instrument Detects Its First Earthquake

The Kentucky Geological Survey's "vertical strong motion array" near Sassafras Ridge, Ky., the deepest vertical array currently in operation in the central New Madrid seismic zone, recorded its first earthquake since being installed in late 2003. A small earthquake of 2.5 magnitude on October 21 triggered the instruments. The geological survey operates a strong-motion network in the central and northern New Madrid seismic zone, including four such vertical strong-motion arrays.

29. Student Awards and Achievements

- Kim Hanna and Erin Donohue, Integrated Strategic Communications students, received the James C. Bowling Scholarship. The scholarship is presented to a student who represents the many attributes of Jim Bowling: professionalism, loyalty, dedication, honesty and integrity.
- Everett J. Mitchell II, '85 Journalism alumnus, received the 2004 Lyman T. Johnson Alumni Torch of Excellence Award from UK. The award recognizes outstanding graduates from each college. He represented the College of Communications and Information Studies. Mitchell was appointed last week as editor of The Tennessean newspaper in Nashville.

30. Faculty and Staff Awards and Achievements

- Dennis Altman, Integrated Strategic Communications, issued the fifth annual revised edition of "Strategic Surprise," the resource text for his UK advertising creativity courses.
- Jayakrishna Ambati, Ophthalmology and Visual Sciences, has been named to the editorial board of Investigative Ophthalmology and Visual Science, considered the premier research journal in the field.

- Wendy Baldwin, Executive Vice President for Research, was one of three panelists providing a post-election analysis, including funding for stem-cell research and bioterrorism, at the annual National Association of State Universities and Land-Grant Colleges meeting. Baldwin also was a panelist on ethics and research at the 2004 Institutional Review Board Conference hosted by the Public Responsibility in Medicine and Research group.
- Allan Butterfield, Chemistry and Center on Membrane Sciences, was the plenary speaker on "Proteomics in Alzheimer's Disease" at the seventh International Conference on Biotechnology, held in Italy in September.
- Gang Cao, Physics and Astronomy, published the article "Orbitally-driven Behaviour: Mott Transitions, Quantum Oscillations and Colossal Magnetoresistance in Bilayered $\text{Ca}_3\text{Ru}_2\text{O}_7$ " in IoP Select, a special collection of journal articles chosen by the Institute of Physics for their novelty, significance, and potential impact. The Institute of Physics is the major international physics society.
- Deborah S. Chung, Journalism and Telecommunications, presented a paper titled "Interactivity of Online Protestant Communities" at the fourth annual International Conference on Media, Religion, and Culture in October. She is currently continuing her research on interactivity and news and is involved in a project that will survey online news audiences.
- Jim Drahovzal, Kentucky Geological Survey, is the co-recipient of the 2004 Eastern Section American Association of Petroleum Geologists Division of Geosciences Best Paper Award for his paper titled "Potential Reservoirs for Geologic Sequestration in the East Continent Rift Basin."
- Wilbur Frye, Agronomy (retired), former UK soil scientist, is the new executive director of the Kentucky Department of Agriculture's Office of Consumer and Environmental Protection.
- Charles H. Griffith, General Internal Medicine and Geriatrics, received the 2004 Alpha Omega Alpha medical honor society's Robert J. Glaser Distinguished Teacher Award, presented at the annual meeting of the Association of American Medical Colleges. The award is presented annually to two clinical and two basic science medical school faculty members in the nation.
- Donald C. Haney, retired director of the Kentucky Geological Survey, recently received the John T. Galey Memorial Award from the Eastern Section of the American Association of Petroleum Geologists. This is the highest award given by the AAPG Eastern Section and recognizes geologists whose accomplishments have been a great benefit to society.
- James F. Hardyman, chairman of the University of Kentucky Board of Trustees and former chairman and CEO of Textron Inc., has been named one of eight Outstanding Directors for 2004 by the Institute of Outstanding Directors.
- Bernhard Hennig, Animal Sciences, is part of a research group whose study of the interactive mechanisms of dietary fats and environmental

contaminants was featured recently in the prominent national magazine Science News. The study shows that certain kinds of dietary fats can magnify the toxic effects of polychlorinated biphenyls.

- George Herring, History, recently received a Rockefeller Foundation Fellowship to work on a new book at the Rockefeller Study Center in Bellagio, Italy, for a month.
- Gary Jacobs, Center for Applied Energy Research, won the best poster award in the professional category at the 2004 International Workshop on Nanomaterials.
- David M. Olster, History, received a Fulbright Fellowship to perform research on the use of religious rhetoric as a self-legitimizing tool at the University of Tuebingen in Tuebingen, Germany.
- Jeremy Popkin, History, published "The Historian-Autobiographers: Harvard in the Memoirs of its Own History Scholars," in the December 2004 edition of Harvard Magazine.
- Sally Shafer, Physics and Astronomy, won the Kentucky Science Teachers Association's Distinguished Service Award for 2004, in recognition of her efforts to improve science education in Kentucky through the UK Science Works Program.
- Ginny Sprang, Social Work, and doctoral student Stephanie Bass, Social Work, presented "Predicting Child Maltreatment Severity Using Multidimensional Assessments," at the 20th Annual International Society for Traumatic Stress Studies Conference.
- Ginny Sprang and Jim Clark, Social Work, and Otto Kaak and Alan Brenzel, Psychiatry, co-authored "Developing and Tailoring Mental Health Technologies for Child Welfare: The Comprehensive Assessment and Treatment Services (CATS) Project," recently published in the American Journal of Orthopsychiatry.
- Ginny Sprang, Social Work, authored "Construct Validity and Method Variance in the Measurement of Post Traumatic Stress Disorder: The Traumatic Experiences Inventory," recently published in the Journal of Loss and Trauma.
- Ginny Sprang, Social Work, and Otto Kaak and Alan Brenzel, Psychiatry, presented "Contemporary Traumas and Behavioral Health Providers," at the Mental Health Institute.
- Rob Sprang, Kentucky TeleCare, has been appointed to the board of directors of the Center for Telemedicine Law in Washington, D.C.

31. Faculty Research Activities

- Mansoor Ahmed, Radiation Medicine, \$124,922, Prostate Cancer Research Program: Radio-sensitizing Effects of Novel Histone De-acetylase Inhibitors in Prostate Cancer.

- Eric Anderman, Education Psychology and Counseling, \$26,000, Small Learning Community Implementation Evaluation.
- Rodney Andrews, Center for Applied Energy Research, \$211,561, High Strength Nanotube Composite Materials for Space Elevator Cabling.
- John Anthony, Chemistry, \$67,500, Photovoltaic Devices from Self-Assembled Organic Semiconductors.
- Debra Armstrong, Kentucky Community Cancer Program, \$28,144, Breast and Cervical Cancer Coalitions.
- Mary Arthur, AES/Forestry, \$341,773, Fire in the Southern Appalachians Fuel Stand Structure and Oaks.
- David Atwood, Chemistry, \$20,000, Magee-Womens Health Corporation
- David Atwood, Chemistry, \$40,000, Study of Activity and Catalytic Properties of Group 13 Cheate Compounds for Dealkylation or Organophosphates.
- David Atwood, Chemistry, \$12,000, FlyAshDirect Partnership Agreement.
- Joseph Berger, Neurology, \$30,700, HIV Neuroprotection Workshop.
- Joseph Berger, Neurology, Neurologic AIDS Research Consortium.
- Ethel Bright, Interdisciplinary Human Development Institute, Development/Enhancement of Virginia's Part C Outcome Indicator System.
- Steve Browning, Nursing Instruction, \$49,635, Patterns of Colorectal Cancer in Kentucky.
- Kenneth Calvert, RCTF-Computer Science, \$199,786, NeTS-NR: Generalizing the Network Layer.
- Thomas Chambers, AES/Veterinary Science, \$42,458, Testing of a Pfizer Equine Influenza Vaccine 2004.
- James Clark, Social Work, \$199,943, Comprehensive Assessment and Training Services Project: Adoption Support Services.
- Crystal Collins-Camargo, Social Work, \$625,000, Quality Improvement Centers on Child Protective Services.
- John Connolly, Computational Sciences, \$938,964, Collaborative Research: NMI Deployment \ (CHE): GridChem: Cyberinfrastructure for Computational Chemistry.
- Julia Costich, Kentucky Injury Prevention and Research Center, \$27,000, Kentucky Board of Emergency Medical Services - Trauma KIPRC 05.
- Leslie Crofford, Internal Medicine, \$73,650, Neuroendocrine Function in Fibromyalgia.
- Alan Daugherty, Internal Medicine, \$259,702, Center for the Biologic Basis of Oral/Systemic Diseases Scope Project 2.

- Sylvia Daunert, Chemistry, \$360,000, Whole Cell and Regulatory Protein-Based Sensors in Bioanalysis.
- Mark Dignan, Internal Medicine, \$31,402, American Indian/Alaska Native Initiative on Cancer.
- Charles Dougherty, AES/Agronomy, \$88,976, Scope C: Using Normalized Differential Vegetation Indices (NDVI) to Measure the Impact of Grazing livestock on the Condition of Grasslands.
- Jeffrey Ebersole, Dentistry Research and Graduate Studies, \$2,164,899, Center for the Biologic Basis of Oral/Systemic Diseases.
- Jeffrey Ebersole, Dentistry Research and Graduate Studies, \$219,500, Center for the Biologic Basis of Oral/Systemic Diseases Scope AEC.
- Jeffrey Ebersole, Dentistry Research and Graduate Studies, \$206,917, Center for the Biologic Basis of Oral/Systemic Diseases Scope Project 1.
- Toufic Fakhoury, Neurology, An Open Label-Extension Trial to Determine Safety and Efficacy of Long Term SPM 927 in Patients with Partial Seizures. SP 756.
- James Ferguson II, Obstetrics and Gynecology, \$49,645, Effects of Periodontal Therapy on Preterm Birth.
- Gary Ferland, Physics and Astronomy, \$18,000, KY Space Grant Consortium Fellowship/Able/ A New Approach in Determining Physical Conditions in Star Forming Regions.
- Joseph Fink III, Appalachian Center, \$215,523, Appalachian Rural System Initiative Phase II Year 5.
- Bernard Fleming, Interdisciplinary Human Development Institute, \$302,712, Statewide Consumer Satisfaction Survey.
- Bernard Fleming, Interdisciplinary Human Development Institute, \$62,400, UK Bioptic Driving.
- Bernard Fleming, Interdisciplinary Human Development Institute, \$98,340, KY Assistive Technology Loan Corporation Board.
- Joseph Gaugler, Behavioral Science, \$257,254, Comprehensive Support for Alzheimer's Disease Caregivers.
- Tom Godell, WUKY, \$137,571, Community Service Grant (CSG).
- Richard Greenberg, Internal Medicine, A Phase I/II Clinical Trial Evaluating the Safety and Immunogenicity of LC16m8, a Modified Vaccinia Vaccine, in Healthy Volunteers.
- John Groppo, Center for Applied Energy Research, \$98,583, Environmentally Safe, Large Volume Utilization Applications for Coal Gasification Byproducts.
- Lenn Harrison, Livestock Diagnostic Disease Control, \$124,061, Scope D: Epidemiologist.
- Ron Harrison, Interdisciplinary Human Development Institute, \$796,945, Ky. General Supervision Enhancement Grant.

- Ron Harrison, Interdisciplinary Human Development Institute, \$209,832, Ky. General Supervision Enhancement Grant: GSEG Early Childhood.
- Ron Harrison, Interdisciplinary Human Development Institute, \$461,346, Ky. General Supervision Enhancement Grant: GSEG Alternate Assessment.
- Laurence Hassebrook, Visualization Center, \$200,745, Surface Scanning for Assembly Line Interference Analysis.
- Laurence Hassebrook, Visualization Center, \$18,000, Axial 3-D Imaging of Ear Canal.
- Jennifer Havens, Behavioral Science, \$106,808, 9 County Adult Drug Court Evaluation - Year 2.
- Louis Hersh, Molecular and Cellular Biochemistry, \$147,300, Use of Bone Marrow Stem Cells to Treat Alzheimer's Disease.
- Louis Hersh, Molecular and Cellular Biochemistry, \$216,387, Training in Drug Abuse Related Research.
- David Horohov, AES/Veterinary Science, \$45,030, The Effect of Age on Immune responses to Equine Herpesvirus-1.
- James Hougland Jr., Sociology, \$20,000, Evaluation Subcontract for Collaborative Research: Kentucky Information Technology Center.
- Michael Jay, Pharmaceutical Technology, \$49,994, In-Vitro Methods to Assess Structural Similarity of Topical Products.
- Helen Johnson, Council on Aging, \$15,000, 2004 Skills Training for PASRR staff.
- Jeffrey Johnson, Oral Health Science, \$65,028, Public Health Education in Pediatric Dentistry.
- Daniel Kenady, Surgery, A Phase I Safety and Tolerability Study of Peri-Lymphatically Ultralow Dose IRX-2 in Patients with Squamous Cell Carcinoma of the Head and Neck Who Have Failed Surgery And/Or Radiation Therapy.
- Kathi Kern, History, \$80,000, Teaching American History Program - Harlan, Kentucky.
- Lakshmyva Kesavalu, Dentistry Research & Graduate Studies, \$239,035, Center for the Biologic Basis of Oral/Systemic Diseases Scope Project 3.
- Harold Kleinert, Interdisciplinary Human Development Institute, \$140,277, Brighter Tomorrows.
- Richard Kryscio, Statistics, \$234,200, Center for the Biologic Basis of Oral/Systemic Diseases Scope BBC.
- Mahesh Kudrimoti, Radiation Medicine, An Open-Label Pharmacokinetics Study of Benzydamine Hydrochloride 0.15 % Oral Rinse in Healthy Subjects and in Subjects with Oral Mucositis.
- Robert Lasley, Cardiothoracic Surgery, \$73,608, Oxidative Stress and Nitric Oxide in Aged Myocardium.

- Daniel Lau, Electrical and Computer Engineering, \$147,964, Anti-sniper Infra-red Targeting System.
- Lu Lee, Physiology, \$11,250, Central Mechanisms of Respiratory Arrest.
- Linda Levstik, Curriculum and Instruction, \$60,000, American Legacies II: Immersion Seminars.
- Carolyn Lewis, \$61,500, Public Health Nurse Leadership Scholar's Program: Post Assessment Evaluation.
- Tonglei Li, Pharmaceutical Technology, \$10,000, Deciphering Electronic Structures of the Crystal-Solvent Interface to Unveil the Formation Mechanism of Polymorphic Molecular Crystals.
- Mengtao Li, Dentistry Research and Graduate Studies, \$250,717, Center for the Biologic Basis of Oral/Systemic Diseases Scope Project 6.
- Peter Little, Anthropology, \$39,000, Improving Pastoral Risk Management in East African Rangelands.
- Bert Lynn, Chemistry, \$179,003, Mitochondrial Proteome Changes in Alzheimer's Disease.
- William Mikel, CES/Animal Sciences, \$185,000, Food Safety Consortium.
- Lorri Morford, Microbiology, Immunology & Molecular Genetics, \$101,437, Afr1: Gene Cloning and its Role in Liver Gene Regulation.
- Russell Mumper, RCTF-Clinical Pharmaceutical Science, \$3,388, Nanoengineered Infectious Hematopoietic Necrosis Virus Vaccine.
- Tad Mutersbaugh, Geography, \$32,469, Certifying Sustainable Agriculture: Certification Success, Social Impacts, and Rule-Making in Mexican Organic Coffee Producers Groups and E.U./U.S. Certified Markets.
- James Norton, Area Health Education Center, \$336,914, Health Career Opportunity Program.
- James Norton, Telemedicine Service, \$249,665, Improving Health Outcomes for Children in Rural Kentucky Schools Through Telehealth Networks.
- James Norton, Area Health Education Center, \$20,000, Model State Supported AHEC.
- James Norton, Area Health Education Center, \$232,224, Health Education and Training Centers.
- Karen Novak, Oral Health Practice, \$220,072, Center for the Biologic Basis of Oral/Systemic Diseases Scope Project 4.
- Michael Novak, Dentistry Research and Graduate Studies, \$347,446, Center for the Biologic Basis of Oral/Systemic Diseases Scope DDKCRC.
- Michael Novak, Dentistry Research and Graduate Studies, \$187,310, Center for the Biologic Basis of Oral/Systemic Diseases Scope Project 5.

- Melanie Otis, Social Work, \$11,000, 2004-2005 Status Offender Service Initiative Grant.
- Robert Perry, Microbiology, Immunology and Molecular Gen, \$18,701, Yersinia pestis Proteomics Collaboration.
- Carol Pickett, Microbiology, Immunology and Molecular Gen, \$330,863, C. Jejuni CDT Subunit Interactions.
- Chandrashekhar Ramaiah, Cardiothoracic Surgery, Nabi-1366, A Multicenter, Randomized, Placebo-Controlled, Double-Blinded Study to Evaluate Safety and Immunogenicity of StaphVAX, a Bivalent Staphylococcus Aureus Glycoconjugate Vaccine, in Adult Patients Undergoing Cardiovascular.
- Ted Raybould, Oral Health Science, \$268,368, Training in Primary Care Medicine and Dentistry.
- Janet Rodgers, Laboratory Animal Research, \$245,000, Upgrade and Renovation of the UK Animal Research Facility.
- Beth Rompf, Social Work, \$5,000, 2004-2005 GEC Supplemental Grant on Patient Safety in Nursing Homes and Bioterrorism Preparedness for the Elderly.
- Beth Rous, Interdisciplinary Human Development Institute, \$399,963, Professional Development Research Project.
- Beth Rous, Interdisciplinary Human Development Institute, \$75,000, KY Early Childhood Transition Project.
- Kozo Saito, Mechanical Engineering, \$93,006, Clean Room Airflow Simulation and Analysis by CFD.
- Kozo Saito, Mechanical Engineering, \$48,430, Root Cause Analysis of Steel Fuel Tank Anti-chip Coating Application.
- Michael Samuels, Rural Kentucky Healthcare, \$713,619, Kentucky State-Wide Planning.
- Gregory Schwab, CES/Agronomy, \$4,000, Improving Wheat Nitrogen Management on Wet Soils.
- Annette Sossou and Carl Craig, Social Work, \$15,000, Trauma Exposure Issues Among Bosnian Refugees in Kentucky.
- Mary Sprang, Social Work, Comprehensive Assessment and Training Services Project: Multidimensional Assessment.
- Kristin Stapleton, International Affairs, National Consortium for Teaching about Asia (NCTA) Workshop.
- Kristin Stapleton, International Affairs, University of Kentucky Asian Center.
- Michael Stottman, Kentucky Archaeological Survey, \$35,194, Riverside, the Farnsley-Moreman Landing, Building Blocks of History Education Program.
- Herbert Strobel, AES/Animal Sciences, \$23,219, Ethanol Production via Consolidated Bioprocessing.
- Norman Taylor, AES/Agronomy, \$46,000, Scope A: Phenolic Characteristics of Red Clover.

- Ramesh Teegavarapu, Kentucky Water Resources Institute, \$2,500, Exploratory Analysis of Applicability of Artificial Neural Networks for Prediction of Fecal-Indicator Bacteria Concentrations.
- Lee Townsend Jr., CES/Entomology, \$55,000, Pesticide Applicator Training -- Private Applicator Database.
- Eric Vanzant, AES/Animal Sciences, \$53,790, Scope B: Effects of Ruminant and Post-Ruminant Carbohydrate Supply on Whole Body Urea Kinetics.
- Bruce Walcott, Electrical and Computer Engineering, \$4,000, UK STLP Showcase.
- Kathleen Walsh-Piper, Art Museum, Barbizon to brittany: Landscapes of France in Bluegrass Collections.
- Sidney Whiteheart, Molecular and Cellular Biochemistry, \$296,441, Structure/Function of N-ethylmaleimide Sensitive Factor.
- Donald Witzke, Pathology and Laboratory Medicine, \$43,936, Minimally Invasive Surgery Training: An Electronic Book.
- Stephen Wyatt, Kentucky Community Cancer Program, \$50,000, Colorectal Cancer Screening Barriers in Appalachia.
- Stephen Wyatt, Kentucky Community Cancer Program, \$50,000, Descriptive Analysis of Colorectal Cancer in Appalachia.
- Stephen Wyatt, Kentucky Community Cancer Program, \$28,565, Improving Outcomes for Rural Survivors of Breast Cancer: Supplement for Pennsylvania.
- Stephen Wyatt, Kentucky Community Cancer Program, \$25,890, UT Breast Health Outreach Program Restricted Scope for Pilot.
- Stephen Wyatt, Kentucky Community Cancer Program, \$50,000, Semantic Barriers to Cancer Clinical Trials Accrual.
- Jun Zhang, Computer Science, \$29,294, KSEF: Building a Preliminary Prototype Terrorist Analysis System with Privacy Protection.
- James Ziliak, Poverty Center, \$27,290, Fellowship Scope: UK Center for Poverty Research.
- Youling Xiong, AES/Animal Sciences, \$53,086, Identification of Pawpaw Genotypes With High Fruit Antioxidant Activity.

PR 2

Office of the President
December 14, 2004

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate Provost/Executive Vice President through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

OFFICE OF THE PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Associate Vice President for External Affairs

Blanton, Jonathan D., Executive Director for Public Relations and Marketing, effective 11/8/04.

B. LEAVES OF ABSENCE

Executive Vice President for Finance and Administration

Goetz, Bernard Anthony, Government Relations Director, External Affairs, leave of absence without pay, effective 1/1/05 through 6/30/05.

C. EARLY RETIREMENTS

Athletics Director

Evans, Bettie Lou, Athletic Relations Coordinator I, Women's Golf, after 18 years and 3 months of consecutive service and 20 years and 7 months of total service, under AR II-1.6-1 Section III.A.2, effective 10/29/04.

McNew, Joyce, Account Clerk III, Athletics Training, after 20 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 9/24/04.

Vicini, Rena, Assistant Athletic Director, Athletics Media Relations, after 23 years and 10 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 9/30/04.

Executive Vice President for Finance and Administration

Wright, J. C., Custodial Worker II, Employee Benefits, after 25 years and 9 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 12/31/04.

Vice President for Information Technology

Planck, Phillip, Production Control Supervisor, IT Support Services, after 39 years and 7 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 12/31/04.

D. POST-RETIREMENT APPOINTMENT

Office of the President

Blanton, Jack C., Special Assistant to the President, effective 8/1/04 through 6/30/05.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Vice President for Information Technology

Moldrem, Kyle D., Information Technology Manager II, Information Technology/Enterprise Computing, effective 11/13/04.

B. CHANGES

Vice President for Information Technology

Abraham, Frank, from Administrative Staff Officer III, CNS, to Operations Director Associate, CNS, effective 11/1/04.

Schultz, Donna, from Infrastructure Engineer Project Manager, Communication Operations Director, to Infrastructure Engineer Project Manager Sr., Communication Operations Director, effective 11/1/04.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Black, Ester P., College of Pharmacy, Assistant Professor, 10/1/04 through 6/30/05.
- Buchino, John J., College of Medicine, Professor (voluntary), Pathology and Laboratory Medicine, 12/1/04 through 6/30/06.
- Crofford, Leslie J., College of Medicine, Professor (with tenure), Internal Medicine, effective 10/1/04, and appointed to the Gloria W. Singletary Professorship/Chair in Women's Health, 10/1/04 through 6/30/09.
- Ederington, Josh, College of Business and Economics, Associate Professor (with tenure), Economics, effective 8/16/04.
- Ehrenborg, Richard, College of Arts and Sciences, Associate Professor (with tenure), Mathematics, appointed to the Royster Research Professorship in Mathematics, 1/1/05 through 12/31/07.
- Erickson, Deborah R., College of Medicine, Professor* (with tenure), Surgery, effective 12/1/04.
- Massa, F. Christopher, College of Medicine, Clinical Assistant Professor, Anesthesiology, 12/1/04 through 6/30/05.
- McDonagh, Kevin T., College of Medicine, Associate Professor (with tenure), Internal Medicine, and joint appointment as Associate Professor (without tenure), Microbiology, Immunology and Molecular Genetics, effective 10/1/04, and appointed to the Markey Cancer Center Chair in Clinical Research, 10/1/04 through 6/30/09.
- Meek, Donna K., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 1/1/05 through 6/30/05.
- Minier, Jenny A., College of Business and Economics, Associate Professor (with tenure), Economics, effective 8/16/04.
- Moliterno, David J., College of Medicine, Professor (with tenure), Internal Medicine, from the Jeff Gill Professorship in Cardiology, to the Gill Foundation Professorship in Interventional Cardiology, 12/1/03 through 6/30/08.
- Oz, Helieh S., College of Medicine, Assistant Research Professor, Internal Medicine, 11/1/04 through 6/30/05.
- Ramaiah, Dinesh, College of Medicine, Clinical Instructor, Anesthesiology, 11/15/04 through 6/30/05.
- Saatman, Kathryn E., College of Medicine, Associate Professor (without tenure), Physiology, 1/24/05 through 6/30/05.
- Shao, Jianhua, Graduate School, Assistant Professor, Nutritional Sciences, 10/1/04 through 6/30/05.
- Smith, Samuel R., College of Agriculture, Associate Professor (temporary), Agronomy, 12/1/04 through 6/30/05.

*Special Title series

- Stump, Gregory, College of Arts and Sciences, Professor (with tenure), English, appointed to the William J. Tuggle and Nina B. Tuggle Professorship in English, 1/1/05 through 12/31/06.
- Withers, Benjamin C., College of Fine Arts, Associate Professor (with tenure), Art, effective 7/1/04.
- Ziada, Khaled M., College of Medicine, Assistant Professor*, Internal Medicine, 10/15/04 through 6/30/05.

B. ADMINISTRATIVE APPOINTMENTS

- Barrett, Michael, College of Agriculture, Professor (with tenure), Agronomy, and Chair, Agronomy, reappointed Chair, Agronomy, 1/1/05 through 6/30/05.
- Smith, David A., College of Agriculture, Professor (with tenure), Plant Pathology, and Chair, Plant Pathology, reappointed Chair, Plant Pathology, 10/1/04 through 6/30/10.
- Steiner, Sheldon, College of Arts and Sciences, Professor (with tenure), Biology, appointed Chair, Department of Biology, 1/1/05 through 6/30/08.
- Yates, Steven, College of Arts and Sciences, Professor (with tenure), Chemistry, and joint appointment as Professor (without tenure), Physics and Astronomy, appointed Chair, Department of Chemistry, 7/1/05 through 6/30/09.
- Yopp, John, Office of the Provost, Associate Provost for Educational Partnerships, effective 12/1/04.

C. JOINT APPOINTMENTS

- Cassis, Lisa A., Graduate School, Professor (with tenure), Nutritional Sciences, and joint appointments as Professor (without tenure), Internal Medicine, College of Medicine and Professor (without tenure), Toxicology, new joint appointment as Professor (without tenure), College of Pharmacy, effective 9/1/04.
- Li, Guo-Min, College of Medicine, Associate Professor (with tenure), Graduate Center for Toxicology, and joint appointment as Associate Professor (without tenure), Molecular and Cellular Biochemistry, new joint appointment as Associate Professor (without tenure), Pathology and Laboratory Medicine, effective 10/1/04.
- Mellon, Isabel, College of Medicine, Associate Professor (with tenure), Graduate Center for Toxicology, joint appointment as Associate Professor (without tenure), Pathology and Laboratory Medicine, effective 10/1/04.
- Pearce, Kevin A., College of Medicine, Associate Professor* (with tenure), Family Practice and Community Medicine, joint appointments as Associate Professor* (without tenure), Preventive Medicine and Environmental Health, College of Public Health and Associate Professor* (without tenure), Epidemiology, College of Public Health, effective 7/1/04.

*Special Title series

Peterson, Martha L., College of Medicine, Professor (with tenure), Microbiology, Immunology and Molecular Genetics, joint appointment as Professor (without tenure), Pathology and Laboratory Medicine, effective 7/1/04.

D. REAPPOINTMENTS

Fleming, Bernard P., Graduate School, Associate Adjunct Professor, Biomedical Engineering, 7/1/04 through 6/30/05.

Sekulic, Dusan P., College of Engineering, Adjunct Professor, Mechanical Engineering, 1/1/05 through 12/31/06.

Shah, Vinod, College of Pharmacy, Adjunct Professor, 7/1/04 through 6/30/05.

E. PROMOTIONS

Voss, S. R., College of Arts and Sciences, from Assistant Professor, Biology, to Associate Professor (with tenure), Biology, effective 1/1/05.

F. CHANGES

Clancy, Karen W., Student Affairs, from Department Administrator III, Markey Cancer Center, College of Medicine, to Associate Director, University Health Service, effective 7/1/04.

Littleton, John M., College of Medicine, from Professor (part-time), Molecular and Biomedical Pharmacology, to Professor (part-time), College of Pharmacy, and joint appointment as Professor (part-time), Molecular and Biomedical Pharmacology, College of Medicine, 9/1/04 through 6/30/05.

Tucker, Thomas C., College of Public Health, from Associate Professor* (with tenure), Health Services Management, and joint appointment as Associate Professor* (without tenure), Preventive Medicine and Environmental Health, to Associate Professor* (with tenure), Epidemiology, and joint appointments as Associate Professor* (without tenure), Preventive Medicine and Environmental Health and Associate Professor* (without tenure), Health Services Management, effective 7/1/04.

G. EARLY RETIREMENTS

Bowers, Nancy, College of Education, Administrative Support Associate I, Dean's Office, after 27 years and 5 months of consecutive service, and 31 years and 8 months of total service, under AR II-1.6-1, Section III.A.2, effective 12/31/04.

Eley, Stacey, College of Engineering, Administrative Services Assistant, Engineering Administration, after 10 years and 5 months of consecutive service, and 24 years and 7 months of total service, under AR II-1.6-1, Section III.A.2, effective 12/31/04.

*Special Title series

- Klapheke, Karen, College of Agriculture, County Extension Clerk, Cooperative Extension Service, after 15 years and 7 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 12/31/04.
- Morse, Lynda L., College of Medicine, Staff Support Associate II, Pediatrics, after 23 years and 1 month of consecutive service, under AR II-1.6-1, Section III.A.2, effective 12/31/04.
- Tuggle, Sara B., College of Agriculture, Staff Support Associate I, Agricultural Economics, after 24 years and 11 months of consecutive service, and 31 years and 2 months of total service, under AR II-1.6-1, Section III.A.2, effective 7/30/04.
- Walker, Jo Ann, College of Education, Staff Support Associate I, Special Education and Rehabilitation Counseling, after 18 years and 3 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 12/31/04.

H. POST-RETIREMENT APPOINTMENTS

- Anderson, Robert G., College of Agriculture, Extension Professor (part-time), Horticulture, 2/4/05 through 2/3/06.
- Caldwell, Michael, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 12/6/04 through 5/31/05.
- Dye, Naewanna, College of Agriculture, Lab Technician Senior (part-time), Agronomy, 1/3/05 through 12/31/05.
- Graves, Donald H., College of Agriculture, Extension Professor (part-time), Forestry, 1/10/05 through 1/9/06.
- Jackson, Charles M., College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 2/4/05 through 2/3/06.
- McNiel, Robert E., College of Agriculture, Extension Professor (part-time), Horticulture, 2/4/05 through 2/3/06.
- O'Connor, William N., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 11/1/04 through 6/30/05.
- Parsons, Carl, College of Agriculture, Heavy Equipment Operator (part-time), Management Operations, 11/8/04 through 11/7/05.
- Perry, Keith, College of Agriculture, Director (part-time), Kentucky Leadership Center, 2/4/05 through 2/3/06.
- Radford, Rebecca, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 3/1/05 through 2/28/06.
- Royster, Wimberly, College of Arts and Sciences, Professor (part-time), Mathematics, 10/1/04 through 6/30/05.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

- Alboszta, Maria H., College of Medicine, Instructor (voluntary), Family Practice and Community Medicine, 12/1/04 through 6/30/06.

*Special Title series

Andrus, Jane V., College of Fine Arts, Instructor (part-time), Art, 8/1/04 through 12/31/04.

Asriel, John M., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 12/1/04 through 6/30/06.

Butros, Rezkalla, College of Medicine, Instructor (voluntary), Internal Medicine, 10/1/04 through 6/30/06.

Cassity-Caywood, Whitney, College of Social Work, Instructor (part-time), 8/16/04 through 5/15/05.

Dinkins, Randy D., College of Agriculture, Assistant Adjunct Professor, Agronomy, 12/1/04 through 6/30/05.

Dressman, Delores, College of Fine Arts, Instructor (part-time), Art, 8/1/04 through 12/31/04.

Durell, Todd M., College of Medicine, Assistant Professor (voluntary), Psychiatry, 10/1/04 through 6/30/06.

Floyd, Sondra R., College of Social Work, Instructor (part-time), 8/16/04 through 5/15/05.

Flynn, David W., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 10/1/04 through 6/30/06.

Franklin, Melissa, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 12/1/04 through 6/30/06.

Gavalas, Vasileios G., College of Arts and Sciences, Assistant Research Professor, Chemistry, 7/1/04 through 6/30/05.

Halfhill, Harold L., College of Medicine, Assistant Professor (voluntary), Diagnostic Radiology, 10/1/04 through 6/30/06.

Hammond, Rebecca, College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 12/1/04 through 6/30/06.

Hatfield, Daniel R., College of Medicine, Assistant Professor (voluntary), Diagnostic Radiology, 10/1/04 through 6/30/06.

Hong, Stephanie, College of Social Work, Instructor (part-time), 8/16/04 through 5/15/05.

Horn, Ronald L., College of Arts and Sciences, Assistant Professor, Aerospace Studies, 7/1/05 through 6/30/08.

Hunn, Vanessa L., College of Social Work, Instructor (part-time), 8/16/04 through 5/15/05.

Khater, Fares J., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 10/1/04 through 6/30/06.

Kilgore-Bowling, Genesia, College of Social Work, Instructor (part-time), 8/16/04 through 12/31/04.

Kukulinsky, Nancy E., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 10/1/04 through 6/30/06.

Lockard, Anthony S., College of Social Work, Instructor (part-time), 8/16/04 through 5/15/05.

Martin, Deborah, College of Fine Arts, Instructor (part-time), Theatre, 8/1/04 through 12/31/04.

McFalls, Christina L., College of Social Work, Instructor (part-time), 8/16/04 through 5/15/05.

Mulligan-Messmer, Barbara B., College of Social Work, Instructor (part-time), 8/16/04 through 5/15/05.

Nelson, Troy M., College of Medicine, Instructor (voluntary), Internal Medicine, 11/1/04 through 6/30/06.

Ott, Stephen D., College of Arts and Sciences, Assistant Professor, Aerospace Studies, 7/1/05 through 6/30/08.

Paliwal, Vidhu S., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 12/1/04 through 6/30/06.

Perez, Oscar O., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 12/1/04 through 6/30/06.

Reinbolt, Richard M., College of Medicine, Assistant Professor (voluntary), Pediatrics, 10/1/04 through 6/30/06.

Schelenker, Sheri K., College of Social Work, Instructor (part-time), 8/16/04 through 5/15/05.

Shafinoori, Shideh, College of Medicine, Assistant Professor (voluntary), Pediatrics, 10/1/04 through 6/30/06.

Short, Rachel L., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 10/1/04 through 6/30/06.

Simmons, Sharon, College of Social Work, Instructor (part-time), 8/15/04 through 5/15/05.

St. Peter, Lara B., College of Fine Arts, Instructor (part-time), Theatre, 8/1/04 through 12/31/04.

Stratford, Linda H., College of Fine Arts, Instructor (part-time), Art, 8/1/04 through 12/31/04.

Valavalkar, Sushil S., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 10/1/04 through 6/30/06.

Williams, Jan S., College of Social Work, Instructor (part-time), 8/16/04 through 5/15/05.

Wiltcher, Christopher A., College of Medicine, Assistant Professor (voluntary), Family Practice and Community Medicine, 10/1/04 through 6/30/06.

B. ADMINISTRATIVE APPOINTMENTS

Bowling, Aretha, College of Medicine, Nurse Practitioner, Pediatrics, effective 12/1/04.

Boyd, Douglas A., College of Communications and Information Studies, Professor (with tenure), Communication, and Acting Chief of Staff, Office of the President, reappointed Acting Chief of Staff, Office of the President, 7/1/04 through 6/30/05.

Ma, Zhangliang, College of Medicine, Scientist III, Microbiology, Immunology and Molecular Genetics, effective 11/7/04.

Morford, Lorri A., College of Medicine, Scientist III, Microbiology, Immunology and Molecular Genetics, effective 11/1/04.

Parsons, Kelly M., College of Medicine, Nurse Practitioner, Internal Medicine, effective 11/1/04.

Stempel, John D., Graduate School, Professor (with tenure), Patterson School of Diplomacy and International Commerce, and joint appointment as Professor (without tenure), Political Science, College of Arts and Sciences, appointed Acting Director, Patterson School of Diplomacy and International Commerce, 7/1/04 through 12/31/04.

Turner, Kirsten, College of Arts and Sciences, Dean Assistant, Arts and Sciences Administration, effective 10/1/04.

C. JOINT APPOINTMENTS

Gu, Liya, College of Medicine, Assistant Professor, Graduate Center for Toxicology, joint appointment as Assistant Professor, Pathology and Laboratory Medicine, 10/1/04 through 6/30/05.

Iacono, Joseph A., College of Medicine, Assistant Professor*, Surgery, joint appointment as Assistant Professor*, Pediatrics, 11/1/04 through 6/30/05.

Ross, James K., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, joint appointment as Assistant Professor (voluntary), Emergency Medicine, College of Medicine, 1/1/05 through 6/30/05.

D. REAPPOINTMENTS

Anderson, Keith A., College of Social Work, Instructor (part-time), 1/15/05 through 6/30/05.

Bowman, Shearer, College of Arts and Sciences, Instructor (part-time), History, 8/16/04 through 12/31/04.

Brzyski, Anna W., College of Fine Arts, Assistant Professor, Art, 7/1/04 through 6/30/05.

Carr, Audrey E., College of Agriculture, Instructor (part-time), Family Studies, 8/16/04 through 12/31/04.

Cooley, Edward L., College of Business and Economics, Instructor (part-time), Management, 8/16/04 through 5/15/05.

El-Ghannam, Ahmed R., Graduate School, Assistant Professor, Biomedical Engineering, 7/1/04 through 6/30/05.

Elias, Joseph A., College of Engineering, Assistant Adjunct Professor, Electrical and Computer Engineering, 1/1/05 through 12/31/07.

Farrell, Herman D., College of Fine Arts, Instructor (part-time), Theatre, 8/1/04 through 12/31/04.

Fox, Stephen R., College of Social Work, Instructor (part-time), 8/16/04 through 5/15/05.

Franks, Mildred M., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 1/1/05 through 6/30/05.

*Special Title series

- Gardner, Melissa E., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 1/1/05 through 5/31/05.
- Geissler, Janet, College of Fine Arts, Instructor (part-time), Art, 8/1/04 through 12/31/04.
- Hayes, Jane E., College of Engineering, Assistant Professor, Computer Science, 1/1/05 through 12/31/06.
- Hays, Allison B., College of Fine Arts, Instructor (part-time), Art, 8/1/04 through 12/31/04.
- Heflin, Colleen, Graduate School, Assistant Professor, Martin School of Public Policy and Administration, 7/1/04 through 6/30/05.
- Kenner, Shelley, College of Arts and Sciences, Assistant Professor, Geology, 7/1/04 through 6/30/06.
- Kile, Kay B., College of Social Work, Instructor (part-time), 8/16/04 through 8/15/05.
- Kim, Mi-Ok, College of Public Health, Assistant Professor, Biostatistics, and joint appointment as Assistant Professor, Statistics, College of Arts and Sciences, 1/1/05 through 6/30/05.
- Lhamon, Michael E., College of Engineering, Assistant Adjunct Professor, Electrical and Computer Engineering, 1/1/05 through 12/31/07.
- Marton, James, Graduate School, Assistant Professor, Martin School of Public Policy and Administration, 7/1/04 through 6/30/05.
- Niroula, Nirmal, College of Arts and Sciences, Instructor (temporary), Sociology, 1/1/05 through 5/15/05.
- Powell, William, College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 8/16/04 through 6/30/05.
- Ross, Danny, College of Business and Economics, Instructor (part-time), Accountancy, 8/16/04 through 5/15/05.
- Spencer, Patricia J., College of Fine Arts, Instructor (part-time), Art, 8/1/04 through 12/31/04.

E. CHANGES

- Atallah, Joseph N., College of Medicine, Clinical Assistant Professor, Anesthesiology, change appointment date from 10/1/04 through 6/30/05, to 10/25/04 through 6/30/05.
- Bettez, David J., Office of International Affairs, from Acting Associate Provost for International Affairs, to Director, Office of International Affairs, effective 1/1/05.
- Buker, John L., College of Medicine, from Assistant Professor (voluntary), Surgery, to Assistant Professor (voluntary), Internal Medicine, and joint appointment as Assistant Professor (voluntary), Surgery, 11/1/04 through 6/30/06.

- Mahoney, Betsy, Student Affairs, from Administrative Staff Officer II, Food Services Administration, to Student Affairs Administrative Director, effective 11/1/04.
- Phillips, Taunya, College of Engineering, from Lecturer, Mechanical Engineering, to Science Technology Engineering Mathematics Programs Director Executive, Multicultural and Academic Affairs, effective 11/1/04.
- Rippetoe Freeman, Patricia E., College of Nursing, from Assistant Professor (part-time), and joint appointments as Assistant Professor (part-time), Molecular and Biomedical Pharmacology, College of Medicine and Assistant Professor (part-time), College of Pharmacy, to Lecturer, College of Pharmacy, 1/1/05 through 6/30/05.
- Smith, Jane A., College of Medicine, from Nurse Clinical/Hospital, Hospital Pediatrics, to Nurse Practitioner, Pediatrics, effective 11/1/04.
- Tyas, Suzanne L., College of Public Health, from Assistant Professor, Epidemiology, to Assistant Professor, Gerontology, and joint appointment as Assistant Professor, Epidemiology, 7/1/04 through 6/30/05.

F. TERMINAL REAPPOINTMENTS

- de Leeuw, Reny, College of Dentistry, Assistant Professor, Oral Health Science, 1/20/05 through 1/19/06.

G. LEAVES OF ABSENCE

- Badagliacco, Joanna, College of Arts and Sciences, Associate Professor* (with tenure), Sociology, Sabbatical leave at half salary, 7/1/05 through 6/30/06.
- Brill, Joseph, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, Sabbatical leave at full salary, 7/1/05 through 12/31/05.
- Brzyski, Anna W., College of Fine Arts, Assistant Professor, Art, Research Fellowship leave, 1/1/04 through 12/31/04.
- Campbell, Tracy, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave at full salary, 7/1/05 through 12/31/05.
- Christopher, John, College of Arts and Sciences, Associate Professor (with tenure), Physics and Astronomy, Sabbatical leave at full salary, 1/1/06 through 6/30/06.
- Crowley, Philip, College of Arts and Sciences, Professor (with tenure), Biology, Sabbatical leave at full salary, 7/1/05 through 12/31/05.
- Elitzur, Moshe, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, Sabbatical leave at half salary, 7/1/05 through 6/30/06.
- Eller, Ronald, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave at full salary, 7/1/05 through 12/31/05.

*Special Title series

- Erickson, John, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, Sabbatical leave at half salary, 1/1/06 through 6/30/06.
- Ettensohn, Frank, College of Arts and Sciences, Professor (with tenure), Geology, Sabbatical leave at full salary, 1/1/06 through 6/30/06.
- Fannin-Holliday, Sarah, College of Agriculture, County Extension Agent, Cooperative Extension Service, Educational leave with full salary, 1/3/05 through 6/30/05.
- Fiedler, Theodore, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, Sabbatical leave at half salary, 7/1/05 through 6/30/06.
- Force, James, College of Arts and Sciences, Professor (with tenure), Philosophy, Sabbatical leave at half salary, 7/1/05 through 6/30/06.
- Furlough, Ellen, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave at half salary, 7/1/05 through 6/30/06.
- Gavalas, Vasileios G., College of Arts and Sciences, Assistant Research Professor, Chemistry, Leave without pay, 8/10/04 through 3/31/05.
- Goldberg, Sanford, College of Arts and Sciences, Associate Professor (with tenure), Philosophy, Sabbatical leave at half salary, 7/1/05 through 6/30/06.
- Gorbounov, Vassili, College of Arts and Sciences, Associate Professor (with tenure), Mathematics, Leave without pay, 1/1/05 through 7/31/05.
- Harmin, David, College of Arts and Sciences, Associate Professor (with tenure), Physics and Astronomy, Leave without pay, 7/1/05 through 6/30/06.
- Harris, Lawrence, College of Arts and Sciences, Professor (with tenure), Mathematics, Sabbatical leave at full salary, 7/1/05 through 12/31/05.
- Houglund, James, College of Arts and Sciences, Professor (with tenure), Sociology, Sabbatical leave at full salary, 7/1/05 through 12/31/05.
- Janecek, Gerald, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, Sabbatical leave at half salary, 7/1/05 through 6/30/06.
- Leep, David, College of Arts and Sciences, Professor (with tenure), Mathematics, Sabbatical leave at full salary, 7/1/05 through 12/31/05.
- Meckier, Jerome, College of Arts and Sciences, Professor (with tenure), English, Sabbatical leave at full salary, 7/1/05 through 12/31/05.
- Melish, Joanne, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave at half salary, 7/1/05 through 6/30/06.
- Milich, Richard, College of Arts and Sciences, Professor (with tenure), Psychology, and joint appointment as Professor (without tenure), Behavioral Science, College of Medicine, Sabbatical leave at full salary, 7/1/05 through 12/31/05.
- Miller, Craig S., College of Dentistry, Professor (with tenure), Oral Health Practice, and joint appointment as Professor (without tenure), Microbiology, Immunology and Molecular Genetics, College of Medicine, Sabbatical leave at full salary, 1/3/05 through 3/31/05 and 1/3/06 through 3/31/06.

- Niebylski, Dianna, College of Arts and Sciences, Associate Professor (with tenure), Hispanic Studies, Sabbatical leave at full salary, 1/1/06 through 6/30/06.
- Nieman, Thomas J., College of Agriculture, Professor (with tenure), Landscape Architecture, Sabbatical leave at full salary, 1/1/05 through 6/30/05.
- Popkin, Jeremy, College of Arts and Sciences, Professor (with tenure), History, Sabbatical leave at half salary, 7/1/05 through 6/30/06.
- Pucci, Suzanne, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, Sabbatical leave at half salary, 7/1/05 through 12/31/05.
- Roberts, Susan, College of Arts and Sciences, Associate Professor (with tenure), Geography, Sabbatical leave at half salary, 7/1/05 through 6/30/06.
- Rostosky, Sharon, College of Education, Associate Professor (with tenure), Educational and Counseling Psychology, Sabbatical leave at full salary, 7/1/05 through 12/31/05.
- Ruder, Cynthia, College of Arts and Sciences, Associate Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, Sabbatical leave at full salary, 7/1/05 through 12/31/05.
- Scaife, A. R., College of Arts and Sciences, Associate Professor (with tenure), Modern and Classical Languages, Literatures and Cultures, Sabbatical leave at full salary, 7/1/05 through 12/31/05.
- Schein, Richard, College of Arts and Sciences, Associate Professor (with tenure), Geography, Sabbatical leave at half salary, 7/1/05 through 6/30/06.
- Schillo, Keith K., College of Agriculture, Associate Professor (with tenure), Animal Sciences, Sabbatical leave at full salary, 6/1/05 through 11/30/05.
- Selegue, John, College of Arts and Sciences, Professor (with tenure), Chemistry, Sabbatical leave at half salary, 7/1/05 through 6/30/06.
- Thompson, Deborah, College of Agriculture, County Extension Agent, Cooperative Extension Service, Educational leave with full salary, 1/10/05 through 5/13/05, and 8/22/05 through 10/14/05.
- Udvardy, Monica, College of Arts and Sciences, Associate Professor* (with tenure), Anthropology, Sabbatical leave at half salary, 7/1/05 through 6/30/06.
- Velasco, Sherry, College of Arts and Sciences, Associate Professor (with tenure), Hispanic Studies, Sabbatical leave at full salary, 1/1/06 through 6/30/06.
- Vincelli, Paul, College of Agriculture, Extension Professor (with tenure), Plant Pathology, Sabbatical leave at full salary, 5/10/05 through 8/20/05.
- Walker, Retia S., College of Agriculture, Professor* (with tenure), Family Studies, Sabbatical leave at full salary, 1/1/05 through 5/15/05.
- Wang, Changyou, College of Arts and Sciences, Associate Professor (with tenure), Mathematics, Sabbatical leave at full salary, 7/1/05 through 12/31/05.
- Zhang, Jun, College of Engineering, Associate Professor (with tenure), Computer Science, Sabbatical leave at full salary, 1/1/05 through 6/30/05.

*Special Title series

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTIONS

A. EARLY RETIREMENTS

Dyer, Mary Sue, Account Clerk Supervisor, UK Medical Center
Accounting and Control, after 25 years and 9 months of
consecutive service, effective 6/25/04.

Lewis, Lorenda R., Nursing Care Technician, Nursing – Markey Cancer
Center, after 15 years and 8 months of consecutive service,
effective 11/19/04.

B. POST-RETIREMENT APPOINTMENT

Holmes, Brenda, Registered Nurse (on-call), Acute Care Services,
2/6/05 through 2/28/06.

II. ADMINISTRATIVE ACTIONS

A. ADMINISTRATIVE APPOINTMENTS

Elkin, Mark F., Rehabilitation Services Supervisor/Hospital,
Rehabilitation Services (Sports) Department, effective 10/17/04.

Karathanasis, Melissa A., Transplant Program Director, Transplant,
effective 10/31/04.

EXECUTIVE VICE PRESIDENT FOR RESEARCH

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Staben, Charles A., College of Arts and Sciences, Associate Professor, Biology, appointed Associate Vice President for Research, 1/1/05 through 12/30/08.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Luo, Mingsheng, Center for Applied Energy Research, Engineering Associate III, effective 11/1/04.

B. CHANGES

Rous, Beth, from Disability Program Administrative Associate to Disability Program Administrator III, Interdisciplinary Human Development Institute, effective 11/1/04.

Cooledge, Jocelyn, J., from Disability Program Administrator II to Disability Program Administrative Associate, Interdisciplinary Human Development Institute, effective 12/1/04.

*Special Title series

PR 3

Office of the President
December 14, 2004

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE COLLEGE OF HEALTH SCIENCES

Recommendation: that the Board of Trustees approve the appointment of Dr. Lori S. Gonzalez as Dean of the College of Health Sciences, effective January 1, 2005.

Background: Dr. Tom Robinson resigned as dean of the College of Health Sciences after 20 years to return to the faculty. A search committee was appointed to identify and recommend qualified persons for the position of Dean of the College of Health Sciences. Approximately 20 candidates were considered by the search committee, and four finalists were interviewed on campus. Dr. Gonzalez received enthusiastic support across the university and from the search committee.

Dr. Gonzalez currently serves as associate dean for academic affairs and professor of the College of Health Sciences. She received a Ph.D. from the University of Florida in 1989 and a master's degree (1981) from Eastern Kentucky University and bachelor's degree (1979) from the University of Kentucky. She was selected as an American Council on Education Fellow in 2003.

Dr. Gonzalez's research centers on communication disorders. She has published numerous research articles dealing with low incidence disorders, service delivery in rural areas, and cultural and linguistic issues. Additionally, she publishes in the areas of allied health education and leadership.

Dr. Gonzalez has the experience and qualifications to be an excellent Dean of the College of Health Sciences. The university is fortunate to attract such a highly qualified person to this position.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 4

Office of the President
December 14, 2004

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE COLLEGE OF PUBLIC HEALTH

Recommendation: that the Board of Trustees approve the appointment of Dr. Stephen W. Wyatt as Dean of the College of Public Health, effective November 1, 2004.

Background: After the Board of Trustees approved the creation of the College of Public Health in May 2004, the Provost appointed an advisory committee to identify and recommend qualified persons for the position of Dean of the College of Public Health. The search committee considered approximately 15 candidates. Dr. Wyatt received broad support across the University and from the search committee.

Dr. Wyatt served as interim associate dean for research in the College of Public Health and as associate director for Cancer Control at the UK Markey Cancer Center. He received a Doctor of Dental Medicine (1980) from the University of Kentucky and a master's degree in public health from the University of Illinois at Chicago (1986). He attended the Public Health Leadership Institute at San Diego State University.

From 1980 to 2000, Dr. Wyatt served as an officer in the U.S. Public Health Service. From 1989 to 1998, he held several positions at the Centers for Disease Control and Prevention.

Dr. Wyatt serves as a member of numerous boards including the President's Cancer Panel, Cancer in Rural Populations; the Cancer Center Advisory Committee at West Virginia University, the Cancer Center Advisory Committee at the University of Alabama-Birmingham, the Mid-South Division Board of Directors of the American Cancer Society, and the Breast Health Advisory Board of the Susan G. Komen Breast Cancer Foundation.

Dr. Wyatt has the experience and qualifications to be an excellent Dean of the College of Public Health. The university is fortunate to attract such a highly qualified person to this position.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 5

Office of the President
December 14, 2004

Members, Board of Trustees:

APPOINTMENT OF ASSOCIATE PROVOST FOR EDUCATIONAL PARTNERSHIPS

Recommendation: that the Board of Trustees approve the appointment of Dr. John H. Yopp as Associate Provost for Educational Partnerships, effective December 1, 2004.

Background: The Associate Provost for Educational Partnerships position was established to serve as project director for the Appalachian Math and Science Partnership (AMSP), a \$22 million, multi-year UK grant sponsored by the National Science Foundation and as director of AMSP's Partnership Institute for Mathematics and Science Reform, as well as to administer a variety of special service and demonstration projects involving the university's consultation, research, and service contributions to K-12 education in the Commonwealth of Kentucky.

Dr. Yopp is currently senior scholar in residence at the Council of Graduate Schools in Washington, DC, and visiting senior professor, Simon Public Policy Institute at Southern Illinois University. He received a Ph.D. from the University of Louisville in 1969 and a bachelor's degree cum laude from Georgetown University in 1962. He also completed a National Research Council Postdoctoral Fellowship at Ames Research Center of the National Aeronautics and Space Administration in 1970.

From 1970 to 1997, Dr. Yopp held several academic and administrative positions at Southern Illinois University, including associate vice chancellor for academic affairs and research, and dean of the graduate school from 1986 to 1997. From 1997 to 2003, Dr. Yopp was vice president for graduate and professional education at the Educational Testing Service in Princeton, NJ.

Dr. Yopp has the experience and qualifications to be an excellent Associate Provost for Educational Partnerships. The university is fortunate to attract such a highly qualified person to this position.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 6

Office of the President
December 14, 2004

Members, Board of Trustees:

WAIVER OF ADMINISTRATIVE REGULATION PERTAINING TO RETIREMENT

Recommendation: that the Board of Trustees approve the extension of Dr. Carolyn Williams's appointment as Dean of the College of Nursing for a period ending no later than June 30, 2007, authorizing a waiver of Section III.C.2 in AR II-1.6-1, University of Kentucky Retirement Plan, requiring "The mandatory retirement date, as an executive, for all employees of the University of Kentucky employed in bona fide executive or high policy making positions . . . is hereby established as the end of the University's fiscal year in which the employee attains age 65."

Background: Dr. Carolyn Williams was appointed dean of nursing in 1984 and will turn 65 during the current academic year. The Provost met with the College of Nursing Faculty Council and communicated with all faculty and staff in the College of Nursing. He stated the following reasons for his preference to seek approval to extend Dean Williams' term as dean for up to two years and requested their feedback.

1. The Academic Health Center is going through intense strategic planning regarding facilities, programs, and finance. Dean Williams is the senior dean among her peers and brings a very well informed historical perspective to the discussions. She brings stability to the table, and she can serve as a mentor and consultant to the several new deans who have been appointed in the Academic Health Center.
2. The College of Nursing has been an extremely well-administered college under Dean Williams's leadership.
3. Dean Williams has indicated her willingness to continue for an additional period of time, and recommends that the extra time be used to conduct an aggressive national search for a successor. A search committee will be appointed in a timely manner that will allow the recruitment and appointment of the next dean to assume leadership at the time that Dean Williams resigns the deanship.

Michael Kennedy and Roy Moore also solicited feedback from the College of Nursing concerning the proposal. In total, written feedback was received from about 50 individuals in the college, including the majority of the faculty. The strong consensus of this feedback was that the Provost's plan was one that the faculty and staff supported.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 7

Office of the President
December 14, 2004

Members, Board of Trustees:

ATHLETICS SELF-STUDY REPORT

Recommendation: that the Board of Trustees approve for submission to the National Collegiate Athletic Association (NCAA) the attached 2003-2004 Athletics Self-Study for NCAA Division I Certification.

Background: In 1993, the NCAA voted to approve periodic evaluation of its Division I athletics programs to ensure that they meet minimum specified standards of operation (Operating Principles). By setting standards and opening the affairs of athletics to the university community and the public, athletics certification is meant to ensure the NCAA's fundamental commitment to integrity in intercollegiate athletics. Division I institutions complete initial evaluation of their athletics programs by conducting an institutional self-study. Following completion and submission of the self-study report, the institution is visited by a peer review team whose charge is to verify the report's findings, completeness, and the opportunity provided for broad-based input into the study. Institutions found to be in substantial compliance with the Operating Principles receive NCAA certification. The University of Kentucky completed first-cycle certification review during the 1995-96 academic year. Second-cycle review began for all Division I institutions during 1999. The NCAA has subsequently announced that certification review will take place every ten years. UK's second-cycle self-study review officially began in December 2003. Following data gathering, the final draft report was completed in November, 2004.

(Go to www.uky.edu/Home/NCAA to review the Athletics Self-Study Report)

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PR 8

Office of the President
December 14, 2004

Members, Board of Trustees:

ADMINISTRATIVE REGULATION: CAPITAL PROJECT APPROVAL

Recommendation: that the Board of Trustees approve Administrative Regulation II-1.4-1 related to the approval and authorization of capital projects.

Background: Sections 45 and 164 of the Kentucky Revised Statutes establish the processes by which postsecondary education capital projects are authorized by the executive and legislative branches of state government. Administrative Regulation II-1.4-1 sets forth the Board of Trustees' approval authority for capital projects prior to their submission for authorization to state government and prior to the initiation of a project.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AR II-1.4-1

Policies and Procedures for the Approval of Capital Projects

I. Introduction

The purpose of this Administrative Regulation is to establish a process for approval of capital projects by the University of Kentucky Board of Trustees and submission of capital projects to the Council on Postsecondary Education and the General Assembly for authorization.

II. Definition

For the purposes of this Administrative Regulation, “Capital Project” means:

- A. the construction, reconstruction, acquisition, and structural maintenance of buildings of greater than \$400,000; or
- B. the acquisition or improvement of a building or real property of greater than \$400,000;

III. Capital Project Approval Authority

- A. The Board of Trustees or its Executive Committee shall approve:
 - 1. all University of Kentucky capital projects, regardless of fund source and including but not limited to capital projects of the University Hospital and affiliated corporations, prior to initiation
 - 2. all bond issuances for University of Kentucky capital projects.
- B. The Board of Trustees shall approve the Operating and Capital budgets prior to the start of each fiscal year.
- C. Pursuant to KRS 45.760, the General Assembly’s Capital Projects and Bond Oversight Committee shall authorize changes in capital project scope or fund source.
Prior to legislative authorization:
 - 1. The Board of Trustees or its Executive Committee shall approve:
 - a. scope increases to capital projects that exceed fifteen percent (15%)
 - b. changes in fund source allocation that exceed fifteen percent (15%)
 - 2. The President shall approve:
 - a. scope increases to capital projects that do not exceed fifteen percent (15%)
 - b. changes in fund source allocation that do not exceed fifteen percent (15%).
- D. The President shall provide to the Board of Trustees a Quarterly Capital Construction Report describing the status of ongoing capital projects

AR II-1.4-1

IV. Capital Request Approval

- A. In April of each odd-numbered year, the University of Kentucky shall submit its Six Year Capital Plan to the Capital Planning Advisory Board. This plan shall be reported to the Board of Trustees at the next meeting. A revised Six-Year Capital Plan may be submitted to the Capital Planning Advisory Board by October 1 of each odd-numbered year.
- B. The University of Kentucky is required to submit an executive budget request to the Commonwealth of Kentucky every two years. In June of each odd-numbered year, the Board of Trustees shall approve the University's Capital Request for the next biennium. Following approval by the Board of Trustees, the Request shall be submitted to the Council on Postsecondary Education. The Capital Request generally represents the first two years of the six-year Capital Plan.
- C. In November of each odd-number year, the Council on Postsecondary Education submits a biennial operating and capital recommendation to the Governor, including a list of capital projects to be authorized for each public university.
- D. Prior to a legislative session in which approval of the Commonwealth's Biennial Budget shall be considered, the President shall provide the Board of Trustees a report on the Capital Request that reflects the Council on Postsecondary Education's recommendations and any known capital project changes or additions for which the University shall seek authorization. However, as needed, the President may submit additional Capital Request changes or additions to the General Assembly during the legislative session. The President shall report the results of the legislative session to the Board of Trustees, including a list of the authorized capital projects. Capital projects that have been authorized by the General Assembly shall be submitted to the Board of Trustees for approval prior to initiation.
- E. During legislative interims, the university may submit to the General Assembly new capital projects or changes to previously authorized capital projects in which at least fifty percent (50%) of the cost is paid for using private and/or federal funds. The Board of Trustees or its Executive Committee shall approve such projects prior to submission to the Council on Postsecondary Education and the General Assembly's Capital Projects and Bond Oversight Committee for interim authorization.

V. Procedure for Requesting Board of Trustees Approval to Initiate a Project

- A. Prior to requesting Board of Trustees approval to initiate a project, the department, college, or unit shall, in consultation with the Capital Projects

AR II-1.4-1

Management Division as necessary, develop a Capital Project Plan that includes:

1. need analysis,
2. project scope, and
3. financial plan.

- B. The Capital Project Plan shall be submitted to the Provost or appropriate executive vice president for review. The Provost or appropriate executive vice president shall, in consultation with the Executive Vice President for Finance and Administration (EVPFA), the Office of the Treasurer (OT), and the Office of Planning, Budget, and Policy Analysis (OPBPA), make a recommendation to the President.
- C. The President shall determine whether to recommend the Capital Project to the Board of Trustees.
- D. Project scope increases or decreases and revised financial plans shall be reviewed by the EVPFA, in consultation with the OT and the OPBPA, prior to implementation.

AACR 1

Office of the President
December 14, 2004

Members, Board of Trustees:

CANDIDATES FOR DEGREES

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: In order that the degrees may be conferred upon the individuals completing requirements in December as soon after the close of the semester as possible, it is recommended that the Board of Trustees give its approval contingent upon certification by the Registrar and the University Senate that all requirements have been satisfactorily completed.

For information regarding the AACR 1 Candidates for Degree list, please contact Cleo Price, Office of Undergraduate Admissions and University Registrar, 859-257-7169.
--

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES – DECEMBER 2004

<u>Graduate Degrees:</u>		<u>Undergraduates:</u>		<u>Undergraduates (cont'd)</u>	
		Agriculture			
Ph.D.	178	B.S.A.B.	9		
D.M.A.	22	B.S.A.E.	28	B.S.C.S.	26
Ed.D.	14	B.S.A.E.C.L.	9	B.S.E.E.	22
M.A.	102	B.S.AGR.	2	B.S.MECH.E.	30
M.A.EDU	7	B.S.A.S.	8		
M.A.MAT	1	B.S.DIE	6	TOTAL	112
M.ARCH	1	B.S.FAM	2		
M.B.A.	65	B.S.F.S	1		
M.E.	1	B.S.FOR.	1	Fine Arts	
M.H.A.	3	B.S.HN.	1	B.A.	28
M.H.P.	8	B.S.NRC.	4	B.F.A.	12
M.M.	10	B.S.P.S.S	12	B.M.	2
M.P.A.	12			B.M.E.D.U.	12
M.P.H.	21	TOTAL	83		
M.R.C.	9			TOTAL	54
M.S.	142	Arts and Sciences			
M.S.ACC.	11	B.A.	246	Health Sciences	
M.S.AG.	1	B.S.	56	B.H.S	1
M.S.BAE	8				
M.S.B.E	3	TOTAL	302	TOTAL	1
M.S.CIV.E.	21				
M.S.C.D.	1	Business and Economics		Human Environmental Sciences	
M.S.EDU.	32	B.B.A.	152	B.S.FCS.	22
M.S.E.E.	30	B.S.A.	49	B.S.HM.	13
M.S.FAM.S.	15	B.S.B.E.	9	B.S.MAT.	23
M.S.FOR	2				
M.S.IDM	2	TOTAL	210	TOTAL	58
M.S.L.S.	39				
M.S.MAEN	11	Comm. & Information Studies		Nursing	
M.S.MA.SE	4	B.A.	56	B.S.N.	33
M.S.ME.	44	B.S.	39		
M.S.MIN	3			TOTAL	33
M.S.N.	6	TOTAL	95		
M.S.NS	3			Social Work	
M.S.PAS	2	Design		B.A.S.W.	36
M.S.PT.	1	B.ARCH	9		
M.S.PH.	1	B.A.ID	1	TOTAL	36
M.S.R.M.P.	5				
M.S.W.	17	TOTAL	10		
M.S.VE	8				
		Education		Graduate Degrees	866
TOTAL	866	B.A.EDU.	122	Professional Degrees	12
		B.S.EDU.	1	Undergraduate Degrees	1117
<u>Professional Degrees:</u>		TOTAL	123		
DMD	1			TOTAL	1995
J.D.	3	Engineering			
Pharm. D.	8	B.S.BAE.	7		
		B.S.CIV.E.	27		
TOTAL	12				

AACR 2

Office of the President
December 14, 2004

Members, Board of Trustees:

CHANGE IN NAME OF AN INTERDISCIPLINARY PROGRAM

Recommendation: that the Board of Trustees approve a change in the name of the College of Arts and Sciences' Program in American Culture to American Studies, effective in the spring 2005 semester.

Background: This change reflects the desire to move the program at the University of Kentucky into the mainstream of the field of American Studies nationally and to reflect its current theoretical framework. In part, as a response to the pressures of cold war nationalism, the American Studies movement developed in the 1940s and early 1950s as an interdisciplinary approach—conceived then as a simple marriage of history and literature—to search for the myths and symbols that defined and unified a genuine American culture. But since the 1960s, a sequence of political developments in American life—the civil rights and black power movements, second-wave feminism, post-Vietnam resettlement, Latina/o immigration, movements for gay and lesbian rights, to name only a few—has refocused scholarly attention on the multicultural character of the United States.

The proposal has been approved by the program faculty and the Arts and Sciences College Council; reviewed and recommended by the Senate Committee on Academic Organization and Structure; and recommended by the Senate Council on behalf of the University Senate. The Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 3

Office of the President
December 14, 2004

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve a change in the name of the College of Education's Department of Administration and Supervision (EDA) to the Department of Educational Leadership Studies (EDL), effective for the spring 2005 semester.

Background: When the Department of Administration and Supervision was formed in 1968, it represented an important step in the development of advanced graduate preparation for practitioner-scholars in the field of education. EDA served the Commonwealth and the nation in preparing administrators and supervisors whose practice was soundly grounded in understanding research about practice.

Today, schools and other educational institutions require more than competent managers and supervisors. Contemporary education requires leaders who are effective practitioners and reflective scholars. These leaders, through their research, expand our knowledge and understanding of the complexities of education contexts, practices, innovations, and policies intended to promote student learning. Changing the name of the department signifies recognition of the changing nature of the discipline as well as the continuing contributions to the field and to the mission of the University of Kentucky. The change in name also signals the research community and potential students that the University of Kentucky continues in its role as the leader of developing innovations for the improvement of educational systems in the Commonwealth as well as nationally and internationally.

The proposal has been approved by the department faculty and the College of Education Courses and Curricula Committee; reviewed and recommended by the Senate Committee on Academic Organization and Structure; and recommended by the Senate Council on behalf of the University Senate. The Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 4

Office of the President
December 14, 2004

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve a change in the name of the College of Agriculture's Department of Agronomy to the Department of Plant and Soil Sciences, effective in the spring 2005 semester.

Background: The proposed change better reflects the range of activities that the faculty are engaged in. These include traditional agronomy, basic plant science, agronomic soils, and environmental soils research, teaching, and extension. The name Plant and Soil Sciences better communicates this range to persons outside the department and is better understood than the name Agronomy. The new name reflects two of the degree programs associated with departmental faculty, and this identity will aid in recruitment and decrease confusion among the applicants. The new name will also help align the faculty with opportunities in plant bioengineering and environmental sciences.

The proposal has been approved by the department faculty, and the Agriculture Faculty Council, and the College Curriculum Committee; reviewed and recommended by the Senate Committee on Academic Organization and Structure; and recommended by the Senate Council on behalf of the University Senate. The Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 5

Office of the President
December 14, 2004

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve a change in the name of the College of Agriculture's Department of Animal Sciences to the Department of Animal and Food Sciences, effective for the spring 2005 semester.

Background: Since its inception in 1966, the Food Science Section has evolved into a critical component of the Department of Animal Sciences, assuming an increased responsibility in carrying out the departmental mission. The existence of diverse food science expertise contributes to the department's strength, versatility, and development, and synergistically impacts on the teaching, research and public service mission of the department. The department is unique in the sense that it has not only faculty working on animal production, but also has an established food science faculty group with a critical mass not seen in other similar departments. The faculty composition has enabled the department to develop a strong, strategic farm-to-table niche program that could become extremely competitive nationally and internationally.

There are numerous benefits for such a name change. The new name will greatly enhance the visibility of the Food Science Section and help recruit undergraduate and graduate students, postdoctoral researchers, and young faculty, and facilitate the interaction with other food and animal science peers nationwide. The proposed name change to Department of Animal and Food Sciences will identify one of its main missions, namely to link animal production with food, considering animal-derived foods as a major component of our daily diet.

The proposal has been approved by the department faculty and the Agriculture Faculty Council and the College Curriculum Committee; reviewed and recommended by the Senate Committee on Academic Organization and Structure; and recommended by the Senate Council on behalf of the University Senate. The Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 6

Office of the President
December 14, 2004

Members, Board of Trustees:

CHANGE IN NAME OF A DEGREE

Recommendation: that the Board of Trustees approve a change in the name of the Bachelor of Science in Plant and Soil Science to Bachelor of Science in Horticulture, Plant and Soil Sciences, effective in the spring 2005 semester.

Background: The proposed name better reflects the contributions of the Department of Horticulture and the Department of Plant and Soil Sciences in the growing interdepartmental undergraduate program.

This proposal has the approval of the Undergraduate Council, Senate Council and University Senate. The Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 7

Office of the President
December 14, 2004

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve a change in the name of the Center for Micro-Magnetic and Electronic Devices (CMMED) to the Center for Nanoscale Science and Engineering (CeNSE), effective in the spring 2005 semester.

Background: The Center for Micro-Magnetic and Electronic Devices is an interdisciplinary, user-cost facility that supports and promotes research in nanoscale science and engineering including bio-medical and opto-electronic devices, at the University and across the state. It enables nano-technology-based research through open infrastructures and collaboration, provides important graduate and undergraduate educational opportunities, and supports the development of nano-technology-related industry in Kentucky.

The proposed change to Center for Nanoscale Science and Engineering better reflects the ongoing activities in the center.

The proposal has been approved by the faculty affiliated with the Center and the faculty of the College of Engineering; reviewed and recommended by the Senate Committee on Academic Organization and Structure; and recommended by the Senate Council on behalf of the University Senate. The Provost of the University supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 1

Office of the President
December 14, 2004

Members, Board of Trustees:

MICHAEL T. NIETZEL PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$50,000 from Provost Michael T. Nietzel, to create and endow the Myrle E. and Verle D. Nietzel Visiting Distinguished Faculty Endowment in the Graduate School.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: This endowment is being created to honor the memory of Provost Michael T. Nietzel's parents, Myrle E. and Verle D. Nietzel. The income from this endowment will be used to bring a nationally recognized academic leader to campus to serve as the Graduate School's Outside Examiner for student dissertations and to give a colloquium on his or her research. This Outside Examiner program was begun by Provost Nietzel when he was Dean of the Graduate School. The pledge is eligible for matching funds from the state's Research Challenge Trust Fund (RCTF).

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 2

Office of the President
December 14, 2004

Members, Board of Trustees:

KENTUCKY MEDICAL SERVICES FOUNDATION, INC. GIFT

Recommendation: that the Board of Trustees accept a gift of \$50,000 from Kentucky Medical Services Foundation, Inc. (KMSF), Lexington, Kentucky, for the Belinda Mason Carden and Paul Mason Professorship and Chair in HIV/AIDS Research in the College of Medicine.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: The gift is being made by KMSF to augment the principal of this endowed professorship that is named in honor of two prominent Kentuckians, Paul Mason and his daughter, Belinda Mason Carden. The Honorable Paul Mason was a State Representative for House District 91 for 12 years until his unexpected death in December 1998. Belinda Mason Carden died of AIDS in 1991 at the age of 33 after contracting HIV through a blood transfusion during the birth of her second child. Both of them became nationally recognized advocates for HIV/AIDS research. Friends and family members made initial gifts that were accepted by the Board of Trustees in September 2000 to establish the endowed professorship and chair in memory of Paul Mason and Belinda Mason Carden. This additional \$50,000 gift is eligible for matching funds from the state's Research Challenge Trust Fund, in addition to the original gifts matched by RCTF.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 3

Office of the President
December 14, 2004

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE SYMPTOM MANAGEMENT AND PALLIATIVE CARE PROFESSORSHIP

Recommendation: that the Board of Trustees accept gifts of \$12,625 and pledges of \$58,250 from the Hazard Clinic Cheer for Life Program, Mark and Susannah Denomme of Lexington, Kentucky, and the following Hazard Clinic employees from Hazard, Kentucky: Ron and Jan Collier, Mitchell Wicker, Jr., M.D., Beverly Paige Neace, Vera Hopper, and Marty Mullins, to support the existing Symptom Management and Palliative Care Professorship at the University of Kentucky's Markey Cancer Center.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: The Hazard Clinic Cheer for Life program is a community-based middle school cheerleading competition coordinated by Hazard Clinic employees and community volunteers. The proceeds from this event for the next five years will be given to the Symptom Management and Palliative Care Professorship at the Markey Cancer Center. The \$70,875 is eligible for matching funds from the state's Research Challenge Trust Fund (RCTF).

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 4

Office of the President
December 14, 2004

Members, Board of Trustees:

LUCILLE CAUDILL LITTLE TRUST GIFT

Recommendation: that the Board of Trustees accept a gift of \$500,000 from the Lucille Caudill Little Trust as an addition to the existing Lucille Caudill Little Research Fund in Opera Studies in the College of Fine Arts.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: Mrs. Little was a well-known philanthropist and long-time patron of the arts in Lexington and Central Kentucky. She was one of the University's most generous benefactors. This gift was listed in a trust agreement, which directed her trustees to distribute the sum of \$500,000 to UK to be used as additional funding for the Lucille Caudill Little Research Fund in Opera Studies contingent upon Dr. Everett McCorvey being employed at the University at the time of her death. The original gift of \$200,000 was accepted by the board on January 22, 2002. This gift is eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: ☒ Approved ☐ Disapproved ☐ Other_____

FCR 5

Office of the President
December 14, 2004

Members, Board of Trustees:

MARKEY CANCER CENTER FOUNDATION GIFT

Recommendation: that the Board of Trustees accept a gift of \$500,000 from the Markey Cancer Center Foundation in Lexington, Kentucky, to create and endow the William A. Marquard Chair in Cancer Research in the Markey Cancer Center.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: The Markey Cancer Center Foundation is making the gift and pledge from funds they have received for this purpose from William A. Marquard. The income from this endowment will support the William A. Marquard Chair in Cancer Research and will be filled by an eligible faculty member in the Markey Cancer Center. The gift is eligible for matching funds from the state's Research Challenge Trust Fund (RCTF).

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 6

Office of the President
December 14, 2004

Members, Board of Trustees:

REPORT OF LEASE

Recommendation: that the Board accept the President's Report of the following lease agreement in accordance with Board policy:

A new lease between the University of Kentucky (Kentucky Homeplace) and Lesssenberry Family Limited Partnership, 1010 West Main Street, Glasgow, Kentucky, for space located at 119 Park Avenue, Glasgow, Kentucky, effective November 15, 2004 through June 30, 2012, for the use of 600 square feet of office space, at an annual rate of \$6,360.00 (\$10.60 per sq. ft.), be accepted and made a matter of record. Two full-time employees will oversee a lay health worker program that provides home visits to uninsured clients of Barren County to access health care needs.

Background: FCR 5 dated October 5, 1985 authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action Taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 7

Office of the President
December 14, 2004

Members, Board of Trustees:

APPROVAL OF SALE AND PURCHASE AGREEMENT

Recommendation: that the Treasurer be authorized to negotiate and execute the following:

An agreement for the sale of the journal *Growth and Change: A Journal of Urban and Regional Policy* (Print ISSN: 0017-4815 and E-ISSN: 1468-2257) for the approximate price of \$200,000.00 to Blackwell Publishing Company as well as all documents needed to complete the sale and transfer of the journalistic assets.

Background: The Center for Business and Economic Research in the Gatton College of Business and Economics has published a journal called *Growth and Change: A Journal of Urban and Regional Policy* ("Journal") since 1970. As the Center's interests have changed, the Journal has become less relevant to its activities, and it has asked individuals outside the college to act as editor of the Journal. Based on the Journal's lack of direct relevance to its ongoing activities, the Director of the Center and the Dean of the College recommend sale of the Journal and agree that a purchase price of \$200,000.00 represents a fair price for the journalistic assets. A sale by sealed bids or public auction would not be viable for such a unique asset, and the best interests of the University are served by accepting this offer.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 8

Office of the President
December 14, 2004

Members, Board of Trustees:

UK HEALTHCARE PATIENT CARE FACILITY AND OTHER PROJECT APPROVALS

Recommendation: that the Board of Trustees support and approve the pursuit of legislative and bonding authority from the Commonwealth of Kentucky and implementation of early phases of the following capital project:

Construct Patient Care Facility	\$375,000,000	Agency Bonds/Agency Funds
---------------------------------	---------------	---------------------------

And, that the Board of Trustees approve the initiation of the following projects upon receipt of authority from the Kentucky General Assembly:

Expand Ambulatory Care Facilities	\$20,000,000	Agency Funds
Upgrade Surgical Services	\$4,500,000	Agency Funds
Upgrade Critical Care Center HVAC	\$7,649,000	Agency Funds
Expand Cancer Infusion Suites	\$1,964,200	Agency Funds
Expand Ophthalmology Clinic	\$3,100,000	Agency Funds
Install Perioperative Info. Mgmt. Sys.	\$1,200,000	Agency Funds
Upgrade Picture Archive Communication System	\$2,000,000	Agency Funds
Replace Radiology Info. Sys. (QuadRIS)	\$2,000,000	Agency Funds

Background: UK HealthCare has undertaken extensive planning processes involving strategy, facilities and finances. The plans are bold and aggressive but fiscally responsible and implementable. We are committed to reevaluating and recalibrating all of our plans on an ongoing basis to make sure that we achieve our goals and make any necessary adjustments expeditiously. These projects begin the implementation of Phase 1A of the UK HealthCare master plan and also include several critical investments in the operating room, cancer suites, the ophthalmology clinic and information technology systems, which will increase efficiencies and customer satisfaction, and enhance the services of UK HealthCare. A number of other renovations and enhancements of inpatient and outpatient facilities and investments in information technology systems are under consideration and it is anticipated that some of these may be brought before the Board of Trustees in the near-term for approval.

The leadership of UK HealthCare recognizes that it has responsibility for all clinical programs initiated and all clinical building projects commenced. Financial models demonstrate that UK HealthCare can financially undertake the first phase of rebuilding project. Approval to issue revenue bonds will be necessary in order to fulfill the patient care project. Given the magnitude of these projects, UK HealthCare recognizes its responsibility to keep all parties informed of progress and will seek final approval from the Board of Trustees at the time when it is ready to issue bonds as it relates to these projects.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 9

Office of the President
December 14, 2004

Members, Board of Trustees:

PROJECT APPROVAL FOR FEDERALLY QUALIFIED HEALTH CENTER IN
HAZARD TO BE KNOWN AS NORTH FORK VALLEY COMMUNITY HEALTH
CENTER OF THE UNIVERSITY OF KENTUCKY

Recommendation: that the Board of Trustees approve the project to establish the North Fork Valley Community Health Center of the University of Kentucky, as a community based Federally Qualified Health Center (FQHC), under a co-application made by North Fork Valley Community Health and the University of Kentucky, College of Medicine (Hazard Family Practice Center or Center) to the U.S. Department of Health and Human Services, Health Resources and Services Administration, Bureau of Primary Health, (BPHC), and further ratify actions already taken to accomplish said Project.

Background: The University of Kentucky, College of Medicine (UKCOM) operates a Family Practice Center in Hazard, Kentucky that includes residency and rural health outreach programs. North Fork Valley Community Health Board is a recently formed not-for-profit corporation (Corporation) established to be the governing body of a community based FQHC. UKCOM and the Corporation wish to operate the Hazard Family Practice Center as a FQHC, as co-applicants to the BPHC.

FQHC's must meet certain government requirements, including local control of management, so that the FQHC is community based. Specifically, the Corporation must have authority to appoint the Center Medical Director and Director, who are and will remain University employees. In addition, the University assets and personnel currently used to operate the Center will be utilized by the new Hazard FQHC.

FQHC's, such as the one envisioned by this project, receive numerous benefits. These include Federal grants, improved reimbursement for services, new reimbursement for services for which none currently exists, better pricing for pharmaceuticals and designation as a site at which physicians may satisfy public health service commitments. All of these factors will enhance the quality of healthcare and medical education delivered by the UKCOM at the Center. Furthermore, this project may serve as a model for future UKCOM Community Outreach Programs. For these reasons, Management of the University of Kentucky Clinical Enterprise has determined that the advantages and benefits of the Project far offset the technical loss of management control; and, therefore, strongly endorse approval of the same.

In order to take advantage of this year's funding cycle, a Grant application had to be submitted to BPHC by December 1, 2004. This Application contains a Co-applicant Agreement, the form of which is attached hereto as Exhibit A. If approved, the co-applicants can expect to receive an initial \$650,000 in Federal funding as well as the benefits described, above. The application may be withdrawn if the Board of Trustees does not approve the Project. However, it is submitted that the Project is of great benefit to UKCOM and the citizens of the Commonwealth. Accordingly, the Board of Trustees' approval is requested.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

CO-APPLICANT AGREEMENT
THE NORTH FORK VALLEY COMMUNITY HEALTH BOARD, INC
and
THE UNIVERSITY OF KENTUCKY COLLEGE OF MEDICINE

The North Fork Valley Community Health Board is a separate and distinct entity from the University of Kentucky College of Medicine Family Practice Center-Hazard. Together, they are co-applicants for the North Fork Valley Community Health Center of the University of Kentucky Program. This Agreement satisfies the designation of a public entity and a co-applicant entity which together meet the Section 330 requirements as laid out in PIN 99-09.

The North Fork Valley Community Health Board will be incorporated as a separate entity, with adopted bylaws that specify its authorities and methods of operation vis-à-vis the public entity. We believe this method will assure maximum accountability for the user majority board and will best achieve the purposes of the Section 330 programs. The governing board selection, composition and responsibility requirements apply to the North Fork Valley Community Health Board as it functions as the community-based board of the health center.

The North Fork Valley Community Health Board will retain full authority for the following functions:

1. Selection of the services to be provided by the health center.
2. Approval of the health center's annual budget, with the overall plan and budget prepared under its direction by a committee consisting of representatives of the health center board, administrative staff, and the medical staff of the health center.
3. Approval of the selection and dismissal of the Executive Director and Medical Director for the center;
4. Approval of the application for a second or subsequent grant of FQHC re-certification;
5. Adopt health care policies, including scope and availability of services, location, fees and hours of services and quality of care audit procedures;
6. Assure that the health center is operated in compliance with applicable Federal, State and local laws and regulations; and
7. Evaluate center activities including services utilization patterns, productivity of the center, patient satisfaction, achievement of project objectives, and development of a process for hearing and resolving patient grievances.

The University of Kentucky College of Medicine retains certain personnel and financial functions:

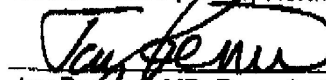
1. To establish personnel policies, and procedures, including selection and dismissal procedures, salary and benefit scales, employee grievance procedures, and equal employment opportunity practices.

2. To develop management and control systems that are in accordance with sound financial management procedures, including:
- the provision for an audit on an annual basis to determine, at a minimum, the fiscal integrity of financial transactions and reports and compliance with the terms and conditions of FQHC New Start designation;
 - approval of the annual center budget;
 - establishment of systems for eligibility determination, billing and collection, including partial payment schedules;
 - making other reasonable efforts to collect for costs in providing health services to persons eligible for Federal, State or local public assistance; and, long range financial planning;
 - writing grants and completing progress reports and submitting to the North Fork Valley Community Health Board for review and approval;
 - assisting the North Fork Valley Community Health Board by providing appropriate trainings;
 - providing translation services to ensure the full participation of non-English speaking members of the community served by the health center;
 - assisting with grant reporting requirements

There will be no other decision-making exercised by the University of Kentucky College of Medicine to the exclusion of the North Fork Valley Community Health Board.

Lines of authority: Executive Director (who is also Program Administrator at the UK Family Practice Clinic-Hazard), and Medical Director (who is also Residency Director of the University of Kentucky East Kentucky Family Practice Residency-Hazard) report directly to the North Fork Valley Community Health Board which has the power to hire and fire them in their roles as Executive Director and Medical Director for the North Fork Valley Community Health Board. The staff of the UK Family Practice Clinic report to the Executive Director of the North Fork Valley Community Health Board. Both parties agree that the North Fork Valley Community Health Board retains the final and ultimate decision-making authority.


Janice Combs, Chair, North Fork Valley Community Health Board
November 15, 2004
Date


Jay Perlman, MD, Dean & Vice-President of Academic Affairs
University of Kentucky College of Medicine
11/10/04
Date


Baretta R. Casey, MD, Medical Director, NFVCHB & Director, UK-EKFPR
11/15/04
Date

FCR 10

Office of the President
December 14, 2004

Members, Board of Trustees:

PILOT PLAN FOR THE DISTRIBUTION AND USE OF PROFESSIONAL INCOME UNDER THE
UNIVERSITY OF KENTUCKY CENTER FOR MANUFACTURING,
LEAN PROGRAM

Recommendation: that the Board of Trustees approve the “Pilot Plan for the Distribution and Use of Professional Income under the University of Kentucky Center for Manufacturing, Lean Program.” The pilot operation of the plan will be for two years, retroactive from July 1, 2004 and extending to June 30, 2006.

Background: The University of Kentucky Center for Manufacturing has built a program of courses and workshops to teach “Lean Manufacturing” to industry. “Lean Manufacturing” is a set of practices and philosophies to improve manufacturing operations. It is based on practices and philosophies initially pioneered by Toyota. This program has become very successful, and its clients include companies from around the world. Demand for expanded services makes it essential that the Center have the ability to attract new faculty instructors, as well as provide a competitive compensation package to all faculty instructors.

The attached “Practice Plan” provides a structure for the use of the income generated by the courses and workshops for industry. While this plan is based on the “practice plans” used for clinical income from faculty in UK medical colleges, it varies from existing practice plans. Under the terms of the plan, income is received and managed within the college in accordance with university regulations, as opposed to being managed by a separate corporation. Additionally, this plan is limited to a single center.

The plan serves multiple purposes, allowing current instructors in the program to share in revenue from expanded course offerings and allowing the hiring of new instructors with anticipated compensation that is competitive with the market.

At the end of the two-year pilot operation, the program will be evaluated by the Office of the Provost and the Office of the Treasurer, and a recommendation will be made at that time either to continue the program or terminate it.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

UK Center for Manufacturing

**Pilot Plan
for the Distribution and Use of Professional Income
under the University of Kentucky Center for
Manufacturing, Lean Program**

I. PURPOSE

The purpose of the Center for Manufacturing Lean Program Income Distribution Plan (The Plan) is to provide a pilot plan basis for determining the distribution and use of funds generated through the UK Center for Manufacturing Lean Program activities by a designated set of faculty. The Plan is developed and is to operate under policies established by the University of Kentucky.

II. APPLICABILITY

The Plan is applicable to designated members of the faculty who are responsible for direct teaching and curriculum development of courses to industry in the Lean Manufacturing and related Lean Enterprise areas. These faculty members will be referred to as “*principals*” below. Faculty who are principals are expected to contribute to the support and growth of the Lean programs, as well as support activities of the Center for Manufacturing, the Manufacturing Systems Engineering Program, the College of Engineering, and the University at large.

This pilot plan shall not be effective until approved by the University of Kentucky Board of Trustees. The term of the plan is until June 30, 2006, unless earlier terminated by the Board, and at the end of that period is subject to extension, modification, or termination, based upon the evaluation from the Office of the Provost and the Office of the Treasurer, and subject to the approval of the University of Kentucky Board of Trustees.

III. GOVERNANCE

The Board of Principals will include all principals of The Plan and the Director of the Center for Manufacturing. All principals will have equal voting rights on the Board. The Director of the Center for Manufacturing has voting rights in the case of ties. The Board of Principals will establish and recommend reward distribution policies (see item IV.3 below) and policies for membership of the principals. The recommendations are then forwarded to the *Oversight Committee* for implementation and oversight.

The Oversight Committee of The Plan will consist of the following:

1. The Director of the Center for Manufacturing
2. The Business Manager of the Lean Program
3. The Curriculum Manager of the Lean Program
4. Three members external to the College of Engineering, appointed by the Dean of Engineering
5. The College of Engineering Business Officer.

The Business Manager of the Lean Program and the Curriculum Manager of the Lean Program are appointed by the Director of the Center for Manufacturing from the principals in the plan. The College of Engineering Business Officer serves in a non-voting capacity, unless also appointed by the Dean as an external member.

The Oversight Committee will have the following responsibilities:

- review any recommendations of the Board of Principals on reward distribution policies and membership policies for compliance with University regulations and fairness to the participants;
- implementation of policies recommended by the Board of Principals;
- resolution of issues raised by the Board of Principals regarding distribution policies and membership policies;
- Periodic review of licensing and pricing policies.
- other important issues as they occur.

Decisions of the Oversight Committee regarding distribution policy and membership policy will be made by vote. A quorum for a meeting is five of the six voting members. In cases of disagreement on strategic issues or on major financial issues, then the Oversight Committee will seek council from the Dean of the College of Engineering, whose decision will be final.

The Oversight Committee will keep a written record of all its decisions. The record will be made available to principals, administrators, and others upon request.

IV. GENERAL OPERATIONS

1. **The Primary Account:** An account (The Primary Account) will be established to which income will be deposited, expenses will be paid, and remaining income distributed.
 - i. **Income from Principals:** All income from all professional activities of the principals shall be placed in the Primary Account. This includes income from non-credit courses, workshops, trainings, consultations, retainers, and honoraria, and all other professional income earned by the principals from University of Kentucky affiliated or non-affiliated activities. This excludes patent royalties. It also excludes publication royalties for books which were published prior to January 2004, and in general any books without UK affiliation, without UK branding, and without use in a UK program. Specific cases on exclusions of such publications will be decided by the Oversight Committee.
 - ii. **Base Salary of Principals:** Base salary for the principals will be paid from the Primary Account. This includes fringes on the base salary.
 - iii. **Direct Expenses:** All direct expenses of lean program activity will be paid from the Primary Account. This includes direct operating expenses of travel, marketing, printing/duplicating, communications, workshop venue expenses, program development expenses, conference coordination expenses, etc. It includes the 9-month base salaries of the principals (discussed above). It also includes staff and student time directly attributed to lean program activity.
 - iv. **Indirect Expenses:** Indirect expenses for lean program activity will be deducted from the Primary Account. Indirect expenses include building telephones, office copiers and supplies, accounting and business support, administrative and clerical support, computing support, Center-level marketing, etc. These are expenses that represent a resource or service in the Center that is shared among several Center programs and which in part supports the Lean Program activities. Expenses to be charged as indirects are to be determined by the Director of the Center for Manufacturing.
 - v. **Provost Tax:** Any “Provost Tax” associated with income or expenses of the Lean Program will be paid from the Primary Account. Currently, there is within the University a proposed 2.5% tax on all externally generated income. The calculation of the tax will be subject to whatever policy is in place within the University at the time of the activity.
 - vi. **Dean Tax:** A “Dean Tax” will be paid from the Primary Account. This tax will be calculated as 10% of the funds remaining in the account after direct and indirect expenses. (Note this excludes “reward pools” as defined below.)
 - vii. **Reserve Account Expenses:** The Reserve Account will be established as per section IV.5 below. If the reserve account is expected to fall below its required level, then the amount required to recover the account

to its required level will be considered an expense of the Primary Account.

2. **Overhead Rate:** The University, through the University of Kentucky Research Foundation (UKRF), has established “indirect” rates. These are rates that are negotiated with agencies of the Federal Government. The “Indirects” paid by the Lean Program income will not be less than the “Off-Campus Other Sponsored Activity” indirect rate established by UKRF. “Indirects” will include charges associated with the Provost Tax, the Dean Tax, and the Indirect Expenses as outlined above. In the event that over any fiscal year, the Provost Tax, the Dean Tax, and charged Indirect Expenses do not total the off-campus indirects rate established by UKRF, then the difference will be made available to the Center for general support operations.
3. **The Reward Pool:** Income in the Primary Account less all expenses above will be termed the “*Reward Pool*”.
 - i. **Reward Pool Divisions:** The Reward Pool will be divided among the following categories:
 - *Shared Reward Pool:* A share of the reward pool will be divided evenly among principles according to the percentage of their distribution of effort assigned to the program. No less than 20% of the reward pool will be assigned to the Shared Reward Pool.
 - *Individual Reward Pool:* A share of the reward pool will be divided among the principals according to a measure of their activity in the program. For example, this measure could be defined in terms of revenue producing activity days.
 - *Academic Enrichment Pool:* This pool could be used to pay for academic enrichment purposes according to policies as defined by the Oversight Committee and the Board of Principals, subject to regulations of the University, provided however that such purposes are within the Internal Revenue Service guidelines for ordinary and necessary business expenses. Such uses may include normal professional expenses of faculty, such as travel to professional meetings and subscriptions. Such expenditures may also include fellowships, graduate student stipends, books, research support including both equipment and salaries, faculty recruitment, visiting researcher expenses, and other legitimate academic enrichment for the program. Any expenditure of funds from the Academic Enrichment Pool shall require written authorization by the Director of the Center for Manufacturing. The unexpended balance, if any, in the Academic Enrichment Pool at the end of the fiscal year shall be carried forward to the following year. The Academic Enrichment Pool is to be established as an “unrestricted” account within the University, and all expenses must follow university policy on such accounts. No less than 10% of the Reward Pool will be assigned to the Academic Enrichment Pool.

Payments to principals from the Shared Reward Pool or the Individual Reward Pool will be considered as a “salary supplement” within the University policies.

- ii. **Fringe Benefits of Reward Pool:** Any distributions of the reward pool that are directly distributed to principals as a form of pay will first have all applicable fringes deducted. This includes any applicable employer taxes. Fringes that are paid on these distributions will follow the University policies on “salary supplements”. (For example, although the University withholds and pays FICA on salary supplements, salary supplements are not part of regular pay and thus not considered for calculation of other benefits or contributions such as insurance, retirement, etc.)
- iii. **The Reward Pool Distribution:** The distribution of the Reward Pool among the different sub-pools, and the distribution of the sub-pools among the principals, will be defined by written policy established by the Oversight Committee under guidelines established by the Board of Principals. These policies should recognize expectations of activities in support of the Center, the College of Engineering, and the University. These policies must be defined within the first 30 days of each fiscal year for the distribution within the fiscal year.
- iv. **Reward Pool Calculation:**
 1. **Annual Anticipated Remuneration:** Before the beginning of each fiscal year, the Oversight Committee will consult with each principal and establish a target of expected activity during the year. Based on the targeted activity of all principals and the estimates of all expenses, an estimate is made for the Reward Pool for the year. Based on those estimates, each principal will be told an “*Annual Anticipated Remuneration*” (AAR) consisting of that principal’s base salary and expected distributions from the reward pool. The AAR of each principal will be reevaluated regularly during the fiscal year, as per item 3 below.
 2. **Monthly Payments:** The salary payment received by a principal each month will be at least 1/12th of the principal’s 9 month base salary (as per section 1.ii above). If the AAR of the principal is greater than the base, then the payment will be calculated as follows: The AAR less all payments (salary and reward pool and fringes) dispersed to the principal so far in the fiscal year, divided by the remaining months of the fiscal year including the current month. However, any AAR payments above the base salary can only be based upon prior revenues and those revenues scheduled within 90 days.
 3. **Reevaluation:** Expense estimates and revenue estimates from the Lean Program activities (both expected and completed) and the AARs of principals will be evaluated at least once each quarter of the fiscal year using a reevaluation process approved

by the Oversight Committee and by the Director of the Center for Manufacturing.

4. At the end of the fiscal year, any positive difference (underpayment) between the AAR evaluated for the FY just completed and the amounts distributed to a principal can be paid (with deduction of fringes) to the principal. Any negative difference (overpayment), will be deducted from the principal's next year's AAR.

- v. **Reward Pool Examples:** (These are for illustration purposes only. Distribution plan details may be different).

Example 1: At the beginning of the fiscal year, it is estimated that there will be \$400,000 in the reward pool. Suppose for this example that 50% of this will be distributed in the Shared Pool, 40% in the Individual Pool, and 10% in the Academic Enrichment pool.

- There are 10 principals in the plan, and each is completely supported by the plan. Thus, each principal is expected to receive $(\$400,000 * 50\%) / 10 = \$20,000$ from the Shared Reward Pool, less applicable taxes and fringes.
- Suppose that the distribution policy for the individual reward pool were based on total share of "days taught", for some appropriate definition of "days taught". Of the 10 principals, six of them are expected to each teach 50 days each, and the remaining four are expected to teach 25 days each. Since there are expected to be a total of 400 days taught, the six principals who taught more days are expected to receive $(\$400,000 * 40\%) * (50/400) = \$20,000$ each from the Individual Reward Pool, and each of the remaining principals receive $(\$400,000 * 40\%) * (25/400) = \$10,000$ each (less applicable taxes and fringes).

Example 2: Principal X has a base salary of \$75,000 and is one of the principals who is expecting to teach 50 days. Under the expected distribution above, he has an Annual Anticipated Remuneration of $\$75,000 + \$20,000 + \$20,000 = \$115,000$, which is \$9583 per month in disbursements, including applicable fringes.

Example 3: In the fourth month of the fiscal year, the expenses of the program and the targets of the principals are reevaluated. There is no change, so the monthly payment is unchanged.

Example 4: In the fourth month of the fiscal year, the expenses of the program and targets of the principals are reevaluated. It is estimated that Principal X will teach 25 days above the original target of 50, boosting the expected total in the Reward Pool to \$450,000. Supposing the total days of the group is at 425, then the Shared Reward Pool annual expected distribution will now be \$22500, and the Individual Reward Pool annual expected distribution will now be \$31765, for a total new AAR of \$129265. The new monthly disbursement (including fringes) will be

calculated as $(\$129264 - (3 * \$9583)) / (9 \text{ months}) = \$11168/\text{month}$ for the remaining months of the fiscal year, at least until the next reevaluation.

Example 5: The same as in the preceding example, except that Principal X will teach 25 days less than the original target of 50, with the expected amount in the Reward Pool falling to \$350,000. If the total days of the group is now 375, then the new AAR is \$101833, for a new monthly disbursement (including fringes) of \$8120 per month for the remaining months of the fiscal year, at least until reevaluation.

Example 6: At the end of the fiscal year, Principal X had activity corresponding to an AAR of \$99,000, but actually received disbursements (including fringe) of \$101833. The deficit of \$2833 will be subtracted from the principal's next year's AAR.

4. Other Income:

- i. Income from faculty and staff of the University who are fully supported from the Lean Program will have revenue from their activities handled as described in section IV.1 above. This includes principals and non-principals fully supported under the Lean Program.
- ii. Income in the Lean Program from activities of:
 - A faculty member of UK who is not a principal, or
 - A UK staff member of UK who is not fully supported by the Lean Program,
 - Individuals or groups from outside the University, such as a partner organization, consultant, or temporary instructor.

In the case of income from such sources, the income will have applicable license fees, direct expenses, indirect expenses, and appropriate University and College taxes deducted from it, as well as salary reimbursement and associated fringe in the case of University (non-principal) employees.

Salary reimbursement for non-principals, when appropriate, can be redirected to other uses of the non-principal, including travel, student support, or summer salary. The income that is remaining will be split evenly between the Academic Enrichment Pool, the Share Pool, and the Center for Manufacturing. The license fee for externally licensed programs that have been developed by the principals shall return 40% of the license fee, after deducting for any direct expenses, to the creators for distribution to these principals based on their predetermined level of contribution.

- iii. It is expected that any University of Kentucky faculty or staff who is not a principal but with associated salary savings significantly above their annual 12 month salary will be allowed to become a principal, within the membership guidelines established in section V below.
- iv. In the case of a course or activity with primary development by a particular faculty or staff, that faculty or staff will have a right of first refusal, within reason, to present that course or activity. Upon refusal, other qualified faculty or staff can be given or assigned that presentation or activity.

5. **The Reserve Account:**

- i. **Purpose of the Reserve Account:** The reserve account is used to recognize that over short periods, the expenses and income of the Primary Account may not keep a positive balance. The Reserve Account is used to ensure that any reasonable deficit in the Primary Account during normal operation will be covered, for the benefit of the principals, the Center, and the University. In no event will the University or college make any reward payments from general funds, and if quarterly operations reveals a need for increased retention, the Reserve Account may be increased.
- ii. **Required Reserve Level:** At the beginning of each fiscal year, the Reserve Account *required reserve level* shall be defined at 2/12ths of the expected Annual Revenue of the Primary Account. If the Reserve Account does not already contain this required reserve level, then the difference will be established as an expense of the Primary Account, as discussed in section 1.vii above. If the Reserve Account contains more than the required amount, then upon approval of the Oversight Committee, the excess funds from the Reserve Account can be returned to the Primary Account.

V. Membership

All principals of the plan will have faculty status. They will report to the Director of the Center for Manufacturing. Their appointment will be subject to the policies of the University, the College of Engineering, and the Center regarding faculty appointments. Other policies for membership of the plan will be established by the Board of Principals. A principal can be any faculty level, including regular faculty and lecturers. The University of Kentucky is an equal opportunity employer, and membership as a principal requires objective criteria that does not discriminate with regard to sex, sexual orientation, race, ethnic origin, national origin, color, creed, religion, age, or political belief, Vietnam-era veteran status, disabled veteran status, or physical or mental disability, or on the basis of application for or service in the Uniformed Services.

All principals are employees of the Center for Manufacturing in the College of Engineering at the University of Kentucky. All members are expected to contribute to the goals and operation and growth of the Center for Manufacturing, the College of Engineering, and the University. All principals will be subject to regular performance review and contract renewals, in accordance with standard university procedure. All principals will be subject to the policies of the Center, the College, and the University.

Before a faculty member can become a principal of this plan and participate in the reward pool, he or she must sign an agreement indicating that he or she has received a copy of the governing document, will abide by the governing document, and has received notice of the University's position on ownership of material created by University faculty and staff for non-credit lean manufacturing activities or license. A copy of the principal agreement is given in Appendix A.

Appendix A

Agreement for Membership as a Principal for Income Distribution Plan of the Center for Manufacturing Lean Program.

Principal: _____

By signing below, I am indicating my agreement to participate as a principal in the Income Distribution Plan (The Plan) of the Center for Manufacturing Lean Program, as set forth in the attached Governing Document. This agreement includes the following:

1. As per the Governing Document, I agree that all income from all professional activities will be subject to The Plan, including income from non-credit courses, workshops, trainings, consultations, retainers, honoraria, and all other professional income earned through University of Kentucky affiliated or non-affiliated activities, but excluding some income as indicated in the Governing Document. Among those items with excluded income are books published prior to January 2004, which I specifically list below:

[Title], [Author], [Publisher], [Date]

2. I agree that as an employee of the University of Kentucky, I will constructively contribute to the goals and growth and operation of the UK Center for Manufacturing, the College of Engineering, and the University. Furthermore, I understand that I am subject to the policies and procedures of the UK Center for Manufacturing, the College of Engineering, and the University.

Signature: _____

Date: _____

Received:

- Governing Document dated Sept. 20, 2004
- March 25 2004 memorandum of legal counsel on UK intellectual property ownership position.

Appendix B

Example Reward Distribution Policy

This is an example reward distribution policy. The actual distribution policy is subject to change as per the procedures described in the Governing Document.

The Reward Pool will be divided as follows:

- 30% into Shared Reward Pool
- 60% into Individual Reward Pool
- 10% into Academic Enrichment Pool

The Shared Reward Pool will be divided evenly among all principals.

The Individual Reward Pool will be distributed according to “units” of activity. A unit of activity can be assigned to a principal according to the following:

- Each day of direct revenue activity is assigned one unit. This would include days for teaching a course, a workshop, or other activity. This does not include (under the current policy) activity for development or preparation.
- For credit courses taught for the Manufacturing Systems Engineering program, each credit is worth two units. Thus, for a three credit hour course with full responsibility by a principal, six units will be assigned.
- For Business Manager of the group, administrative responsibilities are recognized by 6 units.
- For supervising research grants and thesis students supported on research grants, each \$25K of direct spending will add one unit.
- For developing a new course, the effort will be recognized by a number of days commensurate with the effort and value of the new addition.

Appendix C

Example Membership Policy

This is an example membership policy. The actual membership policy is subject to change as per the procedures described in the Governing Document.

A faculty member can become a principal of the plan if they actively teach and contribute to the Lean Manufacturing Program of the Center for Manufacturing, and if the revenue that they generate is expected to exceed 130% of their base salary.

FCR 11

Office of the President
December 14, 2004

Members, Board of Trustees:

APPROVAL OF LEASE

Recommendation: that the President be authorized to negotiate and execute the following:

A lease between the University of Kentucky and Kentucky Technology, Inc. (KTI) for the Coldstream Center in the Coldstream Research Campus to include 83,380 square feet of floor space. UK will receive as rental income 99 percent of what KTI receives, with initial rental for sublet office space to be \$15.00 per square foot per year plus utilities, with periodic modifications to the sublease rates to be approved by the Executive Vice President for Finance and Administration based on factors including market adjustments and improvements to or level of facilities included.

Background: This is a lease of university space to KTI for the express purpose of subleasing to start-up companies, established businesses, and research centers. KTI will ensure that the use of the facility by subtenants is consistent with the university's goals for the Coldstream Research Park. KTI currently leases property in the ASTeCC facility for sublease to start-up companies, it leases property in the KTRDC facility for operations having related or complimentary interests, and it leases other property and facilities in the Coldstream Research Park and is qualified to manage these premises.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 12

Office of the President
December 14, 2004

Members, Board of Trustees:

2004-06 CAPITAL REQUEST STATUS REPORT

Recommendation: that the Board of Trustees accept the 2004-06 Capital Request Status Report.

Background: On September 16, 2003, the Board of Trustees approved the 2004-06 University Capital Request. The Request is the result of the comprehensive capital planning process guided by the 2003-06 strategic plan, *The Dream and the Challenge*. Pursuant to KRS 164.020, this request was submitted to the Council on Postsecondary Education as the Council developed its 2004-06 Executive Branch Operating and Capital Budget Request. Attachment I is the University's 2004-06 Capital Projects Request, as revised by the Council.

Because an Executive Operating and Capital Budget for the current biennium has not yet been enacted, the General Assembly will likely consider the 2004-06 biennium when it convenes in January 2005. Since the original Capital Request was submitted in September 2003, the University has identified several additional projects that need legislative authorization (see Attachment II). . The University administration will work the Council, the Governor's office, and the General Assembly to have these projects authorized through an enacted Executive Branch Budget. Pursuant to the University's Administrative Regulation II-1.4-1, all authorized projects will be approved by the Board of Trustees prior to initiation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

2004-06 Capital Request Status Report
Attachment I

	State General Fund/Bonds	Agency Bonds	Agency Funds	Fede Funi
Construct Biological/Pharmaceutical Complex	71,935,200	47,956,000	—27,492,000	
Construct Gattion Building Complex <i>Design only</i>	—38,837,000		4,500,000	38,837,000
Construct Law School Building <i>Design only</i>	—56,576,000		4,000,000	14,920,000
Purchase/Renovate Facility for College of Design	—16,528,000			16,528,000
Construct LCC Classroom/Class Lab Building. <i>Transferred to LCC</i>	—28,855,000			
Construct Digital Technologies Building <i>Design only</i>	—35,761,000		3,000,000	6,410,000
Expand Plant, Soil and Environmental Sciences Facilities <i>Design only</i>	—12,134,000	—8,089,000		1,500,000
Expand Chemistry Physics Building <i>Design only</i>	—31,873,000	—20,549,000		5,500,000
Construct Bio-Medical Research Building <i>Design only</i>	—48,000,000	—32,000,000		6,000,000
Construct Early Childhood Development and Family Center	—7,956,000		9,956,000	2,000,000
Expand KGS Well Sample and Core Repository	—3,759,000			3,759,000
Renovate Sections of Funkhouser	—2,461,000		4,923,000	2,462,000
Construct Student Health Facility	—19,801,000	—2,000,000		21,801,000
Improve Life Safety Project Pool	—5,290,000			5,290,000
Upgrade Fume Hoods TH Morgan - Life Safety	—2,738,000			2,738,000
Upgrade Fume Hoods Research #3 - Life Safety	—4,825,000			4,825,000
Upgrade HVAC - CAER Ph. III - Life Safety	—475,000			475,000
Upgrade Pharmacy Fume Hood I - Life Safety	—4,300,000			4,300,000
Improve Indoor Air Quality - Phase I - Life Safety	—500,000			500,000
Abate Asbestos - Lexington Campus II - Life Safety	—500,000			500,000
Improve Accessibility Project Pool	—437,000			437,000
Renovate Running Track				2,500,000
Construct Medical Center Education Building	—27,000,000			27,000,000
Construct Environmental Institute	—14,287,000			14,287,000
Expand/Renovate Art Museum in Singletary Center	—10,075,000			10,075,000
Upgrade Pilot-scale Mineral Processing Facility	—500,000			500,000
Renovate the COHR in the Dental Building	—1,875,000			1,875,000
Expand CAER Laboratories	—3,833,000			3,833,000
Upgrade Electric and Lighting in Guignol Theater	—890,000			890,000
Lab Security Systems Project Pool	—500,000			500,000
Renovate 3rd Floor Little Library	—2,200,000			2,200,000
Install Emergency Generator Central Computing Facility	—425,000			425,000
Renovate Medical Center Library	—5,500,000			5,500,000
Renovate M. I. King Library South - 1930 section	—17,901,000			17,901,000
Acquire Land				15,000,000
Renovate Practice Instruction Space in Pharmacy	—2,200,000		3,200,000	1,000,000
Lease/Purchase Apartment Complex				11,000,000
Renovate Outpatient Clinic in Kentucky Clinic		2,237,000		
Replace Air Handling Units Central Computing Facility	—510,000			510,000
Renovate Graduate Education and Research Space in Nursing	—1,600,000			1,600,000
Renovate Bowman Hall	—8,221,000			8,221,000
Construct New Housing		49,991,000		
Renovate Reynolds Building	—12,310,000			12,310,000
Renovate Taylor Education Building	—17,864,000			17,864,000
Construct Parking Structure - Central Campus		17,000,000		
Construct Parking Structure - North Campus		25,248,000		
Renovate Kastle Hall	—8,269,000			8,269,000
Expand Grehan Journalism Building	—12,740,000			12,740,000
Construct New Alumni Center		15,250,000		15,250,000
Expand Animal Science Research Center - Phase II	—15,078,000		23,184,000	8,106,000
Renovate Central Computing Facility	—2,360,000			2,360,000

2004-06 Capital Request Status Report
Attachment I

	State General		Agency Bonds	Agency Funds	Fede Fund
	Fund/Bonds				
Renovate Koinonia House	—1,950,000				1,950,000
Renovate Stone Building	—7,993,000				7,993,000
Fit-Up Education Space in Health Science Building	—1,000,000				1,000,000
Replace Steam and Condensate Pipe	—6,000,000				5,000,000
Replace Air Handling Units in Research #1	—950,000				950,000
Upgrade Elevator Controls in Nursing Building	—600,000				600,000
Renovate School of Public Health Building	—3,751,000		3,751,000		
Construct Multi-purpose Recruiting Room					4,000,000
Replace Nutter Football Field					2,000,000
Renovate Commonwealth Stadium Concrete					2,500,000
Replace Law Building Marbale Façade	—838,000				838,000
Construct Multi-care Clinic Building					20,500,000
Expand Patient Parking in Structure #3		7,000,000			
Replace Central Facilities Management System	—3,000,000				3,000,000
Renovate Photography Space in Nursing Bldg	—650,000				650,000
Fit-up 3rd Floor Lab Zone in BBSRB		—5,200,000			8,000,000
Replace Chemistry Physics Ductwork	—2,000,000				2,000,000
Fit-Up 4th Floor in BBSRB		—3,265,000			11,000,000
Replace Central Fire Alarm System	—2,500,000				2,500,000
Upgrade the Vivarium in Sanders Brown Building		—2,000,000		4,000,000	—2,000,000
Renovate Substation #2	—2,780,000				2,780,000
Renovate Labs in Pharmacy Building	—2,000,000				4,000,000
Improve Central Heating Plant	—4,000,000				4,000,000
Construct Regional BL3/BL4 Facility					50,000,000
Renovate Façade - Agriculture Building North	—4,000,000				4,000,000
Improve Storm Sewer Funkhouser	—1,103,000				1,103,000
Install Chilled Water Pipe-Cooling #2 to Service Building Pit	—1,500,000				1,500,000
Install Cooling Secondary Pumping	—2,500,000				2,500,000
Renovate Animal Facility in Tobacco and Health Building	—1,500,000				1,500,000
Replace Cooling Plant Chillers	—6,000,000				6,000,000
Renovate Imaging Center in Kentucky Clinic		2,000,000			
Replace Master Clock and Bell Systems	—1,500,000				1,500,000
Expand Ophthalmology Clinic in Medical Plaza					582,000
Repair Concrete Phase I General Campus	—750,000				750,000
Renovate Imaging Center, I					530,000
Repair Blacktop Phase I General Campus	—750,000				750,000
Renovate Research Labs in Medical Center, III	—1,000,000		1,000,000		
Upgrade Electrical Substation	—4,000,000		4,000,000		
Renovate Education Space in Medical Science Building	—2,300,000				2,300,000
Install Chilled Water Pipe To South Campus	—5,000,000				5,000,000
Renovate Research Labs in Medical Center, I	—750,000				750,000
Install Chilled Water Additions General Campus	—1,000,000				1,000,000
Renovate Imaging Center, II					530,000
Install Medical Center Chilled Water Loop	—700,000				700,000
Renovate Research Labs in Medical Center, IV	—1,250,000		1,250,000		
Replace High Voltage Wiring	—775,000				775,000
Renovate Research Labs in Medical Center, II	—900,000				900,000
Replace McVey Hall HVAC	—3,000,000				3,000,000
Renovate Research Space in Medical Center, I	—1,500,000		1,500,000		
Replace Mathews Building HVAC	—1,000,000				1,000,000
Replace HVAC Stone Building	—2,320,000				2,320,000

2004-06 Capital Request Status Report
Attachment I

State General Fund/Bonds	Agency Bonds	Agency Funds	Fede Fund
Replace HVAC Kastle Hall	— 3,000,000		3,000,000
Replace Fine Arts HVAC	— 3,000,000		3,000,000
Replace Three Elevators M. I. King Library South	— 975,000		975,000
Renovate Barker Hall	— 6,060,000		5,060,000
Add Centralized Emergency Generator	— 5,034,000		5,034,000
Upgrade Communication Infrastructure, II	— 450,000		450,000
Expand Plant Capacity/Infrastructure	— 23,000,000		23,000,000
Renovate Teaching Space in Medical Plaza	— 500,000		500,000
Install HVAC in Keeneland Hall		5,109,000	
Renovate Faculty Office Space in Medical Center	— 500,000		500,000
Replace Holmes Elevator		641,000	
Renovate Foundation Offices in MRISC Building			500,000
Install Commons Elevator		400,000	
Renovate Breast Clinic in MRISC Building			520,000
Renovate Vivarium in Central DLAR Facility		2,000,000	1,000,000 — 1,000
Renovate Vivarium in Combs Building		600,000	300,000 — 300
Renovate Safety and Security Building	— 1,645,000		1,645,000
Renovate DLAR General Offices in Medical Center	— 400,000		400,000
Renovate Erikson Hall	— 6,001,000		6,001,000
Expand West Kentucky Research and Education Center	— 4,000,000		4,000,000
Renovate Bradley Hall	— 5,216,000		5,216,000
Construct Gluck Equine Research Center - Phase II	— 28,704,000	29,835,000	1,131,000
Addition to Lafferty Hall	— 5,195,000		5,195,000
Upgrade Sound and Lighting for Singletary Center	— 680,000		680,000
Construct Horticulture Research and Education Facilities	— 1,600,000		1,600,000
Expand Erikson Hall	— 18,741,000		18,741,000
Construct UK Paducah Engineering Research Center	— 1,000,000		1,000,000
Expand and Upgrade Livestock Disease Diagnostic Center	— 8,500,000		8,500,000
Construct Kentucky Transportation Center Building	— 17,199,000	23,199,000	3,500,000 — 2,500
Construct University Conference Center			19,605,000
Replace Steam Line Medical Center Heating - Hospital Drive Pit #2	— 1,180,000		1,180,000
Replace Steam Line Lime Tunnel - Main Gate Pit	— 1,690,000		1,690,000
Replace Steam Line Lime Tunnel - Patterson Office Tower Tunnel	— 730,000		730,000
Install Steam Line BBSRB - Old Main Gate Pit	— 4,130,000		4,130,000
Replace Steam Line Main Gate Pit - Anderson Pit	— 1,530,000		1,530,000
Replace Steam Line Kastle - Chemistry/Physics Pit 28	— 740,000		740,000
Install Pollution Controls	— 1,740,000		1,740,000
Construct Basketball Practice Facility			25,500,000

**2004-06 Capital Request Status Report - Hospital
Attachment I**

	State General		Agency		Federal	
	Fund/Bonds	Bonds	Funds	Funds	Total	
Expand Surgical Services			\$	3,531,000		\$ 3,531,000
Create Universal Nursing Unit				964,000		964,000
Construct Patient Bed Tower	Construct Patient Care Facility	-216,774,000	216,774,000			216,774,000
Upgrade Outpatient Surgical Suite			2,500,000			2,500,000
Modify Nursing Unit XI			1,160,000			1,160,000
Modify Nursing Unit XII			3,936,000			3,936,000
Construct Imaging Facility			10,035,000			10,035,000
Upgrade Cancer Center Radiological Facility			6,000,000			6,000,000
Construct Cancer Urgent Treatment Facility			10,562,000			10,562,000
Upgrade Surgical Suite			2,600,000			2,600,000
Construct Radiation Medicine Facility			6,047,000			6,047,000
Upgrade Transport Systems V			800,000			800,000
Expand Operating Room Suites			3,547,000			3,547,000
Expand Parking Structure #4			3,620,000			3,620,000
Upgrade Building Site IV			800,000			800,000
Upgrade HVAC II			3,500,000			3,500,000
Construct Radiation Medicine Facility II			2,548,000			2,548,000
Upgrade Utility Systems VI			1,500,000			1,500,000
Upgrade Operating Room Suites II			12,162,000			12,162,000
Replace AHU I - Hospital			15,553,000			15,553,000
Replace AHU I - Roach Building			1,000,000			1,000,000
Replace AHU II - Roach Building			1,000,000			1,000,000
Construct Cancer Hospice Facility			4,000,000			4,000,000
Construct Cancer Education Facility			2,000,000			2,000,000
Construct Cancer Infusion Suites			5,590,000			5,590,000
Construct Remote Cancer Clinic			12,500,000			12,500,000
Construct Physicians Services Facilities			2,000,000			2,000,000
Upgrade Emergency Services II			12,000,000			12,000,000
Upgrade Information Systems Services			3,467,000			3,467,000
Upgrade Diagnostic Radiology			3,000,000			3,000,000
Renovate Dietetics			6,000,000			6,000,000
Upgrade Communications Services			1,000,000			1,000,000
Construct Business Facility II			10,840,000			10,840,000
Construct Outpatient Care Facility II			8,148,000			8,148,000
Construct Outpatient Diagnostic/Treatment Facility I			17,545,000			17,545,000
Construct Building Connectors III			3,047,000			3,047,000
Construct Primary Care Center II			13,017,000			13,017,000
Construct Patient Care Facility II			11,477,000			11,477,000
Upgrade Nutrition Services II			1,000,000			1,000,000
Upgrade Support Services II			1,000,000			1,000,000
Expand Data Systems III			700,000			700,000
Implement Land Use Plan IV			2,500,000			2,500,000
Upgrade Diagnostic Services XII			1,000,000			1,000,000
Construct Outpatient Services III			8,004,000			8,004,000
Upgrade Diagnostic Services XI			1,500,000			1,500,000
Renovate Medical Records Suite I			566,000			566,000

Attachment II: Changes to CPE Recommendations
2004-06 Capital Request Status Report

	State General Fund/Bonds	Agency Bonds	Agency Funds	Feder: Funds
Construct Biological/Pharmaceutical Complex	119,892,000	-47,956,000		
Construct Student Health Facility		24,000,000	-24,804,000	
Replace Air Handling Units in Research #1			1,600,000	-950,000
Renovate Vivarium in Central DLAR Facility			1,600,000	-2,000,000
Expand Memorial Coliseum			27,500,000	-25,500,000
Renovate Lab & Support Space in Med Science			9,500,000	
Renovate/Expand DLAR Quarantine Facility at Spindletop			2,720,000	
Upgrade/Modify Coldstream Research Campus Facilities			10,000,000	
Renovate SECAT Building at Coldstream Research Campus			2,000,000	
Expand Biosafety Lab [BSL-3] in Med Science			15,000,000	4,000,000
Finance/Renovate Coldstream Center Building		20,000,000		
Renovate Blazer Cafeteria		2,250,000		
Renovate Complex Commons Dining Area		2,100,000		
Renovate Student Center Food Court		1,500,000		
Renovate K-Lair Building		1,650,000		
Expand Pence Hall			6,300,000	
Renovate PSC Building			750,000	
Renovate COM Administrative Offices			1,200,000	
Construct University Student Center - Design		6,000,000		
Renovate Lab for Coatings & Surface Inspections			4,000,000	
Construct University Press Facility			2,950,000	
Expand Campus & Plant Infrastructure			23,000,000	
Renovate Parking Structure #3		2,500,000		
Lease/Purchase ERP System, Phase II			15,000,000	
Purchase/Install Score Boards - Memorial Coliseum and Hagan Stadium			1,500,000	
Commonwealth Stadium Waterproofing/Concrete Sealing			2,500,000	

Attachment II: Changes to CPE Recommendations - Hospital
2004-06 Capital Request Status Report

	State General	Agency	Agency	Federal	Total
	Fund/Bonds	Bonds	Funds	Funds	
Construct Patient-Bed Tower- Construct Patient Care Facility		250,000,000	125,000,000	216,774,000	375,000,000
Expand Ophthalmology Clinic			3,100,000	528,000	3,100,000
Expand Ambulatory Care Facilities				20,000,000	20,000,000
Upgrade Critical Care HVAC				7,649,000	7,649,000
Expand Outpatient Radiology				2,000,000	2,000,000
Renovate Hospital Nursing Units				2,000,000	2,000,000
Fit-Up Gill Building - Ground Floor				1,250,000	1,250,000
Upgrade Clinical Services				2,000,000	2,000,000
Upgrade Outpatient Services				2,000,000	2,000,000
Upgrade Surgical Services				4,500,000	4,500,000
Expand Cancer Infusion Suites				1,964,000	1,964,000
Renovate Hospital Cafeteria				631,000	631,000
Install Perioperative Info. Mgmt. Sys.				1,200,000	1,200,000
Upgrade Picture Archive Communication System				2,000,000	2,000,000
Replace Radiology Info. Sys. (QuadRIS)				2,000,000	2,000,000

FCR 13

Office of the President
December 14, 2004

Members, Board of Trustees:

2004-05 BUDGET REVISIONS

Recommendation: that the following revisions to the 2004-05 budget be authorized and approved. The budget revisions outlined below will increase the University of Kentucky's total budget by \$15,714,800 - from \$1,471,303,800 to \$1,487,018,600.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u>			
1. Income Estimates			
County Appropriations	\$ 11,787,000	\$ 12,024,000	\$ 237,000
Tuition and Fees			
Noncredit	6,879,700	7,132,700	253,000
Other	4,913,400	5,001,500	88,100
Sales and Services of Educational Activities			
Departmental Sales and Services	16,811,700	18,772,200	1,960,500
Fund Balances	25,164,100	37,387,200	<u>12,223,100</u>
			\$ 14,761,700
2. Expenditures			
Academic Units			
College of Agriculture			
Agricultural Cooperative Extension Service			
Community and Leadership Development	\$ 560,200	\$ 701,200	\$ 141,000
Field Programs	30,119,300	30,356,300	237,000
College of Dentistry			
Office of the Dean	1,593,800	1,868,800	275,000
Office of Student Affairs	254,700	287,700	33,000
Patient Care	2,652,000	2,777,000	125,000
College of Design	3,211,800	3,266,900	55,100
College of Medicine			
Spinal Cord	616,600	594,600	(22,000)
College of Pharmacy			
Dean's Office	1,349,700	1,367,700	18,000
Support Units			
Executive Vice President for Finance and Administration			
Communications and Network Systems	1,052,900	2,732,900	1,680,000
Undergraduate Education			
Teaching and Academic Support	3,050,100	3,046,600	(3,500)
Fund Balances	25,164,100	37,387,189	<u>12,223,100</u>
			\$ 14,761,700

A. GENERAL FUND (continued)

3. Comments - The \$237,000 increase in county appropriations reflects a correction to the Kenton County memorandum of agreement for FY 05 for the county extension secretaries and assistants.

The \$341,100 increase in fees will support continuing education and the pre-clinic in the College of Dentistry and study abroad programs in Design.

Departmental sales and services are expected to increase by \$1,680,000 as a result of a new state contract to coordinate the Kentucky Postsecondary Education Network (KPEN). The Internet2 connection provided by Alltel, will be available to all Kentucky universities and colleges, public and private, at no cost. Other increases in sales and services will establish budget authority to support programs in Dentistry and Agriculture.

The operating budget document approved by the Board in June 2004 included a projected fund balance of \$25,164,100. Given the actual fund balances at year end, an additional amount of \$12,223,100 is requested to provide full expenditure authority.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>RESTRICTED FUNDS</u>			
1. Income Estimates	\$103,736,800	\$104,289,900	\$ 553,100
2. Expenditures			
Academic Units			
College of Medicine			
Behavioral Science	15,600	27,100	11,500
Support Units			
Libraries	18,041,900	18,583,500	541,600
			\$ 553,100
3. <u>Comments</u> - The Library income estimates are being increased by \$541,600 in order to provide more expenditure authority for the purpose of purchasing additional library books. The Young Library Endowment Committee has decided to adjust the allocation of income between reinvestment and expenditures, which will increase the expenditure authority from 2.5 percent to 3.5 percent.			

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
C. <u>AFFILIATED CORPORATIONS</u>			
1. Income Estimates	\$286,870,400	\$287,270,400	\$ 400,000
2. Expenditures			
The Medical Center Fund	8,832,900	9,232,900	400,000
			\$ 400,000
3. <u>Comments</u> - The additional \$400,000 allocated from Kentucky Medical Services Foundation to the Dean's Academic Enrichment Fund will be used to support start-up commitments to new faculty and other College of Medicine initiatives.			

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 14

Office of the President
December 14, 2004

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the patent assignment report for the period September 1, 2004 through October 31, 2004 be accepted.

Background: FCR 5 dated March 4, 1997 authorized that all future copyright and patent filings and prosecutions be conducted by the University of Kentucky Research Foundation (UKRF), and that the Vice President for Research and Graduate Studies or his designee be authorized to execute any needed documents to obtain appropriate patent or copyright protection. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action Taken: ☒ Approved ☐ Disapproved ☐ Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD SEPTEMBER 1, 2004 THROUGH
OCTOBER 31, 2004

Patents

The following assignments on behalf of the Board of Trustees of the University of Kentucky Research Foundation have been executed:

1. U.S. Patent Application Serial Number: (to be assigned), filed September 1, 2004, titled "METHOD FOR THE GENERATION OF GREEN-NOTE COMPOUNDS" Inventors: Dr. David Hildebrand and Dr. Hirotada Fukushige. The present invention provides methods and systems for generating green-note compounds, particularly leaf aldehyde, (2E)-hexenal. The methods include the use of plants with enhanced hydroperoxide lyase (HL) activity and soybean seed meal or flour from soybean lipoxygenase mutants to enhance (2E)-hexenal production.

Drs. Hildebrand and Fukushige have invented methods and systems for generating green-note compounds. These green-note compounds are used in food and beverage flavorings to impart a green character and freshness. Presently, green-note compounds are either chemically synthesized or produced from homogenates of watermelon leaves. This method of producing green-note compounds utilizes homogenates of leaves and soy meal to increase the yield of the green-note compounds.

2. U.S. Patent Application Serial Number: (to be assigned) filed July 23, 2004, titled "NOVEL ORAL BIOAVAILABLE PRODRUGS" Inventors: Dr. Peter A. Crooks, Dr. Mohamed O. Hamad, and Dr. Audra L. Stinchcomb. The invention is directed to forming duplex prodrugs that provide a significant increase in the transdermal flux of drugs across human skin. The prodrugs are prepared by reacting a drug that can form an ester moiety with phosgene to form a carbonate. The drug can be an opiate or an opiate antagonist. When in contact with human skin, the duplex drug is biotransformed by enzymes or by hydrolysis into two drug molecules.

Drs. Crooks, Hamad, and Stinchcomb have invented novel chemicals that improve the therapeutic activity of drugs delivered through the skin.

3. U.S. Patent Application Serial Number: (to be assigned) filed July 28, 2004, titled "PRIMERS AND PROBE TO IDENTIFY MYCOBACTERIUM TUBERCULOSIS COMPLEX" Inventors: Dr. Subodh N. Lele, Dr. Manjiri S. Lele, and Dr. Nada H. Khattar. The present invention provides methods and nucleic acids for rapid, reliable, and sensitive detection of *Mycobacterium tuberculosis* (MTB) complex pathogen in a biological sample. Oligonucleotides are provided to amplify MTB DNA and which help carry out real time PCR of DNA obtained from formalin-fixed and paraffin-embedded tissue samples.

Drs. S. Lele, M. Lele, and N. Khattar have invented methods and chemicals for rapid, reliable, simple, and highly sensitive detection of the bacteria that cause tuberculosis.

4. U.S. Patent Application Serial Number: (to be assigned), filed September 1, 2004, titled "TAT AS AN IMMUNOGEN" Inventor: Dr. Avindra Nath. The present invention provides a non-denatured, recombinant human immunodeficiency virus (HIV) Tat that is free of bacterial RNA and endotoxin, and is employed in an anti-HIV vaccine. A process of producing the recombinant Tat protein includes steps for removing bacterial RNA from the recombinant Tat and for removing endotoxin from the recombinant Tat protein. The present invention also provides a Tat-adsorbed nanoparticle formulation and method of making the same. The present invention also provides a method of vaccinating against and/or treating HIV infection which comprises administering to a subject in need of such vaccination or treatment an immune response inducing an effective amount of the recombinant Tat protein.

Dr. Avindra Nath has invented an anti-HIV vaccine for vaccinating against and/or treating HIV infection.

Patent Activities

Fiscal Year to date as of October 31, 2004

Number of Patent Applications	7
Number of Patents Issued	2
Patent Income	\$275,874.

Office of the President
December 14, 2004

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending September 30, 2004 be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period July 1, 2004 thru September 30, 2004:

There were five new contracts:

Project 2153.3	Student Housing – Supporting Utility Plant Upgrades English Boiler & Tube, Inc., \$245,155
Project 2158.1	Construct Parking Structures (PS #6 & #7) Messer Construction Co., \$18,227,000
Project 2198.0	Electronic Access Rural Demonstration Project College of Law Denham Blythe Co. Inc., \$223,525
Project 2204.0	Renovate IRIS Training Facility Preston Construction Group, \$884,500
Project 2218.0	Replace Memorial Coliseum Court Lighting WESCO Distribution Inc., \$409,556

There was one amendment this quarter, and seven change orders greater than \$25,000.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT

This report contains capital projects that have a dollar scope value of \$400,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

Consultant: This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

Contractor: This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

Glossary

Amendment: refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

Change Order: refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

Consultant: the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

Contract administration: refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

General Contractor: the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

Feasibility Study: refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probably cost, preliminary program issues, and so forth.

Gross square feet: the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

H.B.C.: refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

Penthouse: refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

Percent complete: as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

Net assignable square feet: the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

Phase: The various stages of design and construction of a capital project, including:

- **Programming phase:** refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- **Schematic design (S/D or Phase 1):** refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- **Design development (D/D or Phase 2):** refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- **Construction document phase (C/D or Phase 3):** refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- **Bidding phase:** refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- **Construction phase (or Phase 5):** refers to the actual construction of the project by contractors.

Scope: The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

Substantial Completion: refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

Trade Contractor: Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

Major Projects
Capital Project Management Division
September 30, 2004

Biomedical /Biological Sciences Research Bldg (BBSRB)

Project Description:

BBSRB is a state of the art facility for collaboration in research and graduate education in biological chemistry, genetics, molecular and cellular biology, neuroscience, and related fields. This \$72M project is designed by A. M. Kinney and ZBA of Cincinnati and Venturi, Scott Brown of Philadelphia. The current completion date is 10/21/04 although Gilbane, the Construction Manager At Risk, remains committed to early completion.

Project Status:

Work during the month of September included energizing the steam piping in the spine and Central Utility Plant, final grading and seeding along South Limestone Street in front of Kentucky Clinic and BBSRB, continuing finishes including blinds, finish hardware, painting and ceiling tile on the 2nd and 3rd floors, final inspection of the ground and first floors, installation of curtain wall and glass on the bridge over South Limestone Street, final inspections for the exterior of the BBSRB, and painting and finishes within the Kentucky Clinic where the bridge connects to the structure. Work during October will include a 14 day test run of the chiller, final piping of the boiler system, final finishes on the second and third floor, final inspections of the second and third floors, interior finish work on the bridge, completion of final grading throughout the project, testing and commissioning of the mechanical systems of the BBSRB, and final cleaning throughout the project.

Center for Pharmaceutical & Science Technology (CPST Bldg.)

The CPST operation will be located in the small buildings zone of the Coldstream Research Park. Some of the operation presently located on the 1st floor of the College of Pharmacy building will be relocated to the new operation in Coldstream. The project scope is \$12 million, CE&IC from Princeton NJ provided the bridging documents, and Messer Construction is the design/builder.

Project Status:

A contract has been processed with Lockwood Greene to design the manufacturing core of the CPST facility. Preliminary estimates are being generated in order to analyze the feasibility of beginning construction of the shell prior to completion of the core design. During the next month, Lockwood Greene will solidify their schematic design and will begin detail development. The CPST will schedule a preliminary meeting with the FDA to review the design basis and discuss pharmacy operations.

Construct Multi Purpose Room @ Nutter

Project Description:

This planned \$4 million project will provide dining and meeting space along with kitchen facilities to service Commonwealth Stadium suites, concession operators, athlete training table, and departmental functions. The facility will host recruiting functions for all sports and include video and audio specialties designed to impress recruits and their families. The building is planned as a 12,500 square foot addition to the south end of the Nutter Field House. Ross-Tarrant is the design architect, teamed with HNTB from Kansas City, MO. UK Athletics Association hopes the facility is in use by the 2005 football season.

Project Status:

Phase 3 documents were received on 9/17/04, and a review meeting was held on 9/22/04. Within the next month, the project will be released for contractor bidding. Bids will be opened on 11/02/04.

Construct Parking Structure

Project Description:

This project constructs two parking structures located on the University of Kentucky central campus. Virginia Avenue and Complex Drive were selected as the sites for these two structures. The structures house approximately 1,300 parking spaces and 10,000 sq. ft. of potential office space. The structures will serve mixed usage of students, faculty, staff and visitors. This project is funded at \$20,280,000.

Project Status:

Cost proposals were received from selected firms at the end of August. All proposals exceeded the funds available and competitive negotiations with the competing firms was initiated in early September. New cost proposals are due 09/30/04 and, if an acceptable proposal is received, a contract will be awarded before the end of October.

Design Patient Bed Tower – Hospital/Clinical Enterprise Master Plan

Project Description:

The Hospital's present patient tower is approximately 40 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate present anticipated technology. This project will provide the site location evaluation, building programming, planning, and design services for a new bed tower.

Project Status:

The team of Perkins & Will/KSA was awarded the contract to masterplan the clinical enterprise precinct of the campus. Masterplanning effort should complete by October 2004.

Electronic Access Rural Demonstration Project College of Law – Phase I

Project Description:

This demonstration project involves the development and installation of electronic access sites in rural locations, an electronic access information hub, and classroom and office improvements in the College of Law. The electronic access sites will provide remote access to the College of Law's virtual reference service and enhanced web site and will include dedicated interactive videoconferencing technology. The project involves upgrades to the College of Law infrastructure and renovation of College of Law facilities.

Project Status:

Classrooms 137 and 215 are complete and operable with the exception of some integration issues by PSG. Work resumed in Matrix Room including duct modifications and electrical rough-in. Submittals on lighting and VAV boxes are being reviewed by PPD. Delivery of the new Law Library tables was delayed due to shipping damage.

Infrastructure Utility Upgrade Phase I - Hospital Drive Steam Line

Project Description:

This \$ 819,090 project consists of replacing direct buried steam piping under Hospital Drive. Buried piping will be replaced between manholes.

Project Status:

Construction on Phase 1B was completed by the end of September. This allowed reactivation of the steam supply via the vault, and piping near Veterans Dr. is complete. Access to the VA Parking Structure via the Veterans Dr. end is allowing the contractor to continue piping installation down Hospital Dr. through the month of October.

Main Building Reconstruction (Administration Bldg.)

Project Description:

This \$16,998,000 project will replace major elements and provide new interior spaces within the old Administration building, destroyed by fire in May, 2001. The project has been designed by Kliment-Halsband/Jim Potts Architects. Messer Construction Company is the construction manager handling multiple trade contracts for the duration of the work. Completion is currently scheduled for September, 2004. The project involves rebuilding the interior and exterior re-using the exterior masonry wall shell that remained after the fire. In general, the President's office and related functions will be housed in the building along with a visitor's center, smart classrooms, public ceremonial space and other administrative offices. All building systems will be new and include state of the art HVAC, electrical, communications, fire/smoke detection and suppression components and will be connected to the central campus utility loop. Structural and architectural systems and details include new toilets, elevator and other amenities associated with new construction to meet current building codes and accessibility standards. Sitework will include the creation of a Patterson Plaza entrance at the east side of the building and a connecting link to the Gillis Building.

Project Status:

During September, the remaining work within the building was completed and punch list inspections were conducted in all areas except the stairwells, which will be inspected as part of the building final inspection. Furniture has been delivered and assembly and installation has begun. Final installation of furniture, communications connections, and permanent keying will be completed by 10/11/04. Move-in will begin on 10/11/04 and, with the exception of the President's staff who will be moved 10/18/04 and 10/19/04, will be complete by 10/15/04. Correction of punch listed items will continue through the first two weeks of October. During the same period, construction vehicles and trailers will be removed from the site, final paving will be installed on Administration Drive, and lawn areas will be sodded in advance of installation of landscaping.

Memorial Coliseum Expansion

Project Description:

The basketball practice facility is approved for design only for the addition of men's and women's Basketball offices, practice facility, weight training, medical support, locker/shower, facilities ticket office, administrative offices, and minor renovation of existing locker rooms with a scope of \$2.5 million. The estimated total cost of the facility is \$28 million, consisting of the \$2.5 million for design plus \$25.5 million for construction, which is still awaiting authorization. This project involves the design of a 92,300 square foot Basketball Practice Facility to enhance the University's ability to attract top student athletes. The new facility will alleviate scheduling problems at Memorial Coliseum and will provide amenities in line with other NCAA basketball facilities in the country. The practice facility will be connected to Memorial Coliseum, which is located near the intersection of Lexington Avenue and the Avenue of Champions, and will renovates 7,700 square feet to support Volleyball, Gymnastics, offices and ticketing. Sherman-Carter-Barnhart, Inc. is the prime architect for the project. They are working in conjunction with HNTB as the design architect, Staggs and Fisher as the M/E/P engineers, and the Structural Design Group as the structural engineer. Fuller, Mossbarger, Scott and May perform geotechnical and survey work for the project.

Project Status:

The project budget has been increased to \$22,800,000 to reflect the projects infrastructure burden and the program expansion. This corresponds to an overall scope increase to \$30,000,000 (\$2.5M approved for design and \$27.5M request for construction still pending legislative authorization). Phase 2 Design Development documents were received and distributed for review. Phase review meetings are scheduled the first week in October. The Phase 2 estimate indicates the project is within budget. An initial graphics design meeting is currently being finalized with Ze Design. The meeting is tentatively scheduled on 10/12/04. Official recommendation for a Commissioning Agent is pending the selection committee's review of the best and final offer from the apparent best firm.

Modify Nursing Unit XII-(Hospital Labor & Delivery)

Project Description:

This project consists of finish upgrades to the Labor and Delivery rooms (LDR) on the third floor of the UK Hospital. The work consists of new solid surface window sills, floor and wall finishes, ceiling tiles, cubical curtains and tracks; relocation of existing medical gas, electrical and communication outlets; and installation of new wall mounted work surfaces and monitor brackets. New fetal heart monitors and a central monitoring system will be purchased and installed, as well as new head walls, procedure lights, entertainment centers and wardrobes. The hospital intends to close two (2) rooms at a time for the work to be performed. The scope is \$980,000; \$451,440 is the construction estimate with \$263,448 budgeted for fixed equipment. Lyle Associates Architects and Staggs & Fisher Engineers are the design consultants.

Project Status:

The first set of labor rooms were completed and turned over to the Hospital on 09/16/04. Work on the next set of rooms began on Monday, 09/20/04 and should be complete on 10/24/04 since each phase is 24 days. A progress meeting for Phase 3 is scheduled on 10/07/04.

Renovate IRIS Training Facility

Project Description:

This project will renovate the rear of the 630 S. Broadway building for use as the IRIS Training Facility. This space to be renovated is approximately 3600 square feet. There will be a total of 16 offices, one large and two smaller training/conference rooms. A mezzanine will be constructed at the rear of the space where the floor is depressed. New HVAC systems, lighting and acoustical treatments will also be included. The architect is Omni Architects, and the M/P/E is AFA Engineers. The scheduled completion date is November 2004.

Project Status:

During September, foundation pilings and structural steel framing for mezzanine were installed. The upper and lower floor slabs were poured, and plumbing rough-in was approved for bathroom slab. Wall framing started on 9/20/04 and will continue through October.

Replace Memorial Coliseum Court Lighting

Project Description:

The existing lighting system over the Memorial Coliseum playing floor is obsolete, and it is presenting a safety concern due to aging fixtures. The new system increases lighting capacity while saving energy costs. This system, similar to the lighting recently installed in Rupp Arena, provides flexibility in lighting levels for the different activities scheduled in the facility. The system can be serviced from the floor level, which eliminates the need to maintain the system from the attic above the floor.

Project Status:

A firm has been selected to provide replacement lighting for the playing courts. Notification and award are pending approval from the Board of Trustees. Wesco Distribution, Inc. has been selected to provide a Sterner Lighting system designed specifically for arena lighting. This is the same lighting system installed at Rupp Arena.

Replace Steam and Condensate Pipe (Limestone Street @ Pedway)

Project Description:

This \$1,194,159 project consists of a new utility tunnel under Limestone Avenue adjacent to the Peterson Service Building. There will be new concrete vaults on each side of Limestone Avenue connected to the utility tunnel. ZBA is the design consultant, and the contractor is Finney, Co.

Project Status:

Traffic on Limestone St. was restored to 3 lanes, and piping installation is continuing in both vaults and the tunnel. The lid to Vault "B" is to be completed during the week of 10/11/04 so the area on the east side of Limestone Street can be restored by the week of 10/18/04.

Student Housing Facilities

Project Description:

In an effort to respond to issues related to students living off campus, the University has undertaken efforts to assess its campus housing. Consistent with the recently adopted UK Physical Development Campus Plan 2050 Final Report December 2002, the University is constructing new dormitories with 684 new beds. The dormitories will be configured with suites or semi-suite bedrooms and living areas. The dormitories will be located at sites as identified in the Phase 1 Housing-2010 Campus Plan. New housing will include common areas, student activity spaces, academics, food services, parking and central campus utilities. Completion scheduled for early summer 2005.

Project Status:

Items completed this month include reconstruction of pedways and walkways on the north site, construction of the first floor of the west wing installation in Building #1, and conditional approval of the south mock-up. Work continues on the Building #1 penthouse, electrical/mechanical installations on floors 1 thru 3, and placing brick veneer. Building #2 block placement continues on floor 2 and 3. Building #3 slab on grade is completed and is awaiting block placement. Some minor interior finishes continue and approval of bricks to be used on the south site was obtained. Completion of mock-up for North site remains pending. North site work during October 2004 will include placing block on the 2nd and 3rd floor. South site work during October 2004 will include continuing Building #1 placement of brick veneer; enclosing framing of the penthouse; construction of 3rd floor and penthouse of Building #2; and construction of 1st and 2nd floor rooms of Building #3. Construction completion remains unchanged at June 2005.

Student Housing - Kirwan/Blanding Commons Accessibility Upgrades

Project Description:

This \$500,000 project is part of the Student Housing authority. The Kirwan/Blanding Residence Complex was built prior to the enactment of "The Americans with Disabilities Act." Currently, there are no rooms within the Kirwan/Blanding Complex that accommodate a disabled student. The new Student Housing Facilities, being built east of the Kirwan/Blanding Complex, will be 100% accessible to disabled students. Students residing in the new housing facilities utilize the Kirwan/Blanding Commons for dining and food service; thus, the need arose to renovate the facility prior to completion of the new residential facility. The design consultant is James Potts Architects. Completion is expected by April 2005.

Project Status:

Bids were received on 09/15/04, and Spectrum Contracting Services is the low bidder. The UK Purchasing Division is issuing a work order to Spectrum. A pre-construction meeting has been scheduled for the week of 10/04/2004.

Student Housing - Supporting Utility Plant Upgrades (Boiler/Chilled Water)

Project Description:

This project includes expanding the Virginia Ave. CUP to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Project Status:

A. M. Kinney of Cincinnati, the designer of record for the BBSRB Project, completed an analysis of various approaches to implement the project. The study included several program options containing a budget analysis of each option. Facilities and PPD personnel reviewed the study in an attempt to establish the most effective program for expenditure of the available funding. Issues regarding Title V permitting and establishment of infrastructure priorities, as well as State budgetary proceedings, resulted in a hold on further design efforts. The Title V application was submitted to the State and has been approved. Further progress is dependent on decisions as to proper avenue for expenditure of funding.

Upgrade Fume Hoods - LC - Life Safety (Research Bldg. #3)

Project Description:

The scope of work performed in this project includes installation of strobic fans, combination eyewash/showers and securing of hood sashes; Installation of sprinklers throughout the building; replacement of 30" X 60" ceiling tiles; and enclosing the 2 stairwells. The total scope of the work shall not exceed \$532,000. BCCLT is the engineering consultant for the sprinkler system; Pearson & Peters Architects is designing the stairwell enclosures.

Project Status:

Sprinkler installation is approximately 10% complete. All materials for the project have been delivered and are stored on-site. A proposal for additional work to enclose stairwells to meet code requirements has been received and a purchase order will be issued before the end of September. This work should take approximately 2 weeks to complete.

Upgrade Pharmacy Fume Hood I - Life Safety

Project Description:

The scope of work consists of the following: balance each lab, clean the duct system and reheat coils, replace reheat coil control valve, pressure independent controls, remove transfer grilles, rework return fan, remove fans on each floor and install balancing dampers, air handler flow tracking, exhaust fan flow tracking and static pressure control, exhaust fan frequency drives, makeup air unit controls, makeup air unit frequency drives. The existing supply air handling units and lab air terminal units will be retained. The laboratories shall be balanced as negative to the return plenum and negative to other parts of the building. Once the building is properly balanced the airflow dampers are to be manually locked into position creating a fixed air volume scenario and ensuring a negative pressure condition in the labs. The project scope is \$750,000, with a construction budget of approximately \$460,000. Staggs & Fisher is the consulting Engineer.

Project Status:

A final meeting was held on 09/16/04 with the end users. The bid documents will be delivered by 10/05/04, allowing for an 10/19/04 pre-bid and 11/04/04 bid opening.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Biomedical /Biological Sciences Research Bldg

Project Number: 1876.0

Project Description:

Scope: \$73,579,888

The new structure will serve as a state of the art facility for collaboration in research and graduate education, biological chemistry, genetics, molecular and cellular biology, neuroscience and related fields. The design will allow the faculty of multidisciplinary teams to be housed in adjoining labs.

Consultant: A.M. Kinney

Contract # A001400

Total Amendments to Date: 4

Original Contract Amount: \$5,600,000

Cumulative Amendment Amount: \$806,579

Describe all amendments :

Revised Contract Amount: \$6,406,579

Amendment #1, 12/11/01, an increase of \$485,742.00, was approved to provide consultant services to include the addition of a pedestrian bridge to the main campus, expanded Vivarium facilities and the addition of space for an amenity feature. **Amendment #2**, 10/8/02, an increase of \$204,750.00, was approved to provide for owner requested changes to the design during the construction document phase and for reimbursable expenses for printing and HB&C plan review fees. **Amendment #3**, 6/10/03, an increase of \$95,662, was approved for additional service during the Bidding Process which includes Specification and Bidding of a Boiler for the CUP, Revision of Division 1700 Specifications to current standards and analysis of multiple bid packages. **Amendment #4**, 3/9/04, an increase of \$20,425, was approved for additional design services as required to support application for a federal grant. This amendment was also for additional design services to redesign the spine due to the discovery of concealed utilities, and for the redesign of site work to accommodate the demolition of an existing building. **No amendments this quarter.**

Contractor: Gilbane (Construction Manager At-Risk)

Note: This is 'CM-At-Risk' contract. The contracted amount will be increased as sub-contracts are bid and added. *Gilbane's contract was awarded by RFP process.

Contract # X203535

Original Contract Amount: \$60,301,023

Total Change Orders to Date: 237

Cumulative Change Order Amount: \$2,262,852

Low Bid:

Revised Contract Amount: \$62,563,875

High Bid:

Contract Percent Complete: 96%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order #27, 1/9/03, was approved for \$32,683 to delete Adsco steam expansion joints from Specification 15121CUP. After bid, issues were discovered with one of the specified expansion joints, as such this manufacturer was eliminated from specification, resulting in additional cost for alternate joints. **Change Order #40**, 3/14/03 was approved for \$72,705 to incorporate revisions to pumping systems and valves. **Change Order #44**, 3/17/03, was approved for \$82,037 to change direct buried steam piping Multitherm 500 System Class A. This will provide a more durable protective jacket for steam piping. **Change Order #45**, 3/28/03, was approved for \$48,745 to revise circuit breaker specification and to relocate bus differential relays. **Change Order #49**, 4/8/03, was approved for \$42,430 to undercut BBSRB ground floor from column line 8 north as required due to unsuitable soils and uneven rock. **Change Order #59**, 5/20/03, was approved for \$87,614 because it was determined that certain portions of the plumbing design did not comply with the Kentucky HB&C requirements as interpreted by the reviewer. **Change Order #61**, 5/23/03, was approved for \$117,246 to revise the RO/DI water system in the BBSRB to increase the quality of water provided at the labs to a Level II. **Change Order #70**, 6/11/03, was approved for \$26,383 to revise routing of ductbanks east of CUP. **Change Order #71**, 6/11/03 was approved for \$35,484 to provide additional down leads for the Lightning Protection System required to obtain U.L. Listing. **Change Order #77**, 7/21/03, was approved for \$170,684 to revise the electrical switch gear and associated bus ducts. The feeds for the CUP were converted from the 4160V to 480V to accommodate operational safety considerations. **Change Order #89**, 8/22/03, was approved for \$112,333, to provide reimbursement for costs incurred as a result of the storm event on May 15, 2003. This was reimbursed thru the Builders Risk Insurance Policy held by the University. **Change Order #109**, 10/21/03, was approved for \$36,466 to provide temporary heating. **Change Order #110**, 10/21/03, was approved for \$57,460, to provide a resinous flooring system. **Change Order #120**, 11/24/03, was approved for \$47,518 to modify ground floor ceiling to a stud frame. **Change Order #121**, 12/3/03, was approved for \$25,793 to provide freezer monitoring. **Change Order #125**, 12/9/03, was approved for \$40,000 to modify concrete columns at bridge. **Change Order #135**, 1/21/04, was approved for \$116,760 for addition of ductwork to animal rooms. This CO provided dedicated exhaust for the caging systems being purchased for the DLAR facilities. Installation of this ductwork reduced the cost of the caging systems in excess of \$200,000. **Change Order #136**, 1/21/04, was approved for \$25,811 to revise HVAC layout in the Cafe. The space allowed in the original design was not sufficient for the services to be installed. **Change Order #140**, 1/21/04, was approved for \$78,477 to provide Energex ceiling on the ground floor. This CO upgraded the ceiling in the DLAR facilities to allow for improved wash down, maintenance and disinfecting operations. **Change Order #148**, 2/24/04, was approved for \$30,000 to modify concrete columns at bridge. This CO covers corrective work to adjust concrete column height. The consultant will be back charged for this work as it resulted from incorrect instructions to the contractor. **Change Order #150**, 3/9/04, was approved for \$51,606 to provide chain link fencing, gates and accessories. This CO was to have been in site paving package that did not bid until April. The CO was issued to another trade contractor in maintain the construction schedule. **Change Order #153**, 3/30/04, was approved for \$57,806 to provide new intake ventilators, painted discharge grill and masonry wall. The original design did not provide sufficient intake air for the emergency generator. **Change Order #158**, 04/09/04, was approved for \$41,023.00 to revise sitework. **Change order #161**, 04/16/01, was approved for \$34,426 to add additional VAV's and associated ductwork to Imaging Suite. **Change order #163**, 04/28/04, was approved for -\$25,203 to credit funds approved for rework of bridge columns. **Change order #226**, 09/15/04, was approved for \$31,463 to relocate generator muffler/exhaust to exterior of building. The required size of the generator did not provide required headroom inside the building to install as originally indicated. **Change order #237**, 09/30/04, was approved for \$32,968 to provide cabling for elevator communications intercoms from each elevator in the BBSRB to the UK MC dedicated intercom system.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Center for Pharmaceutical & Science Technology (CPST Bldg.)

Project Number: 2163.0

Project Description:

Scope: \$12,000,000

The CPST needs to expand its ability to formulate, develop analytical test methods, and manufacture clinical supplies for human use. The expanded CPST operation will be located in the small buildings zone of the Coldstream Research Park. Some of the small manufacturing equipment located on the 1st floor of the College of Pharmacy building will be relocated to the new operation in Coldstream. Federal regulations enforced by the FDA also mandate the quality of air and water used in a human clinical drug manufacturing facility. These requirements must be designed into a new operation and reviewed by the FDA prior to start-up.

Consultant: CE & IC

Contract # A041040

Total Amendments to Date: 0

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$595,000

Cumulative Amendment Amount:

Revised Contract Amount:

\$595,000

Contractor: Messer Construction

* Messer's contract was awarded by RFP process.

Contract # X404999

Total Change Orders to Date: 1

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount:

\$8,064,000

Cumulative Change Order Amount:

-\$4,622,955

Revised Contract Amount:

\$3,441,045

Contract Percent Complete:

22%

Describe all change orders greater than \$25,000.00:

Change Order #1, 06/18/2004, was approved for -\$4,622,955.00 to reduce the scope of the Design/Build Contract to eliminate the remaining design and construction of the "clean room" areas and building utilities. The manufacturing functions and equipment has changed due to the users' realignment of their processes. The University determined that redesign of the clean room spaces should be done outside of the design/build contract. **No change orders greater than \$25,000 this quarter.**

Construct Multi Purpose Room (@ Nutter)

Project Number: 2195.0

Project Description:

Scope: \$4,000,000

This project will provide dining & meeting space along with kitchen facilities to service Commonwealth Stadium suites, concession operators, athlete training table and departmental functions. The facility will host recruiting functions for all sports and will include video and audio specialties that are designed to impress recruits and their families. The building is planned to be an approximate 12,500 square foot addition to the south end of the Nutter Field House.

Consultant: Ross Tarrant Architects, Inc.

Contract # A041070

Total Amendments to Date: 0

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$431,730

Cumulative Amendment Amount:

Revised Contract Amount:

\$431,730

Contractor:

Contract # No Construction contracts awarded to date.

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Parking Structure (PS #6 & #7)

Project Number: 2158.1

Project Description:

Scope: \$21,780,000

This project will construct two parking structures to be located on the University of Kentucky central campus. Virginia Avenue Parking lot, and the Complex Drive site have been selected as the sites for these two new structures. The structures will consist of approximately 320,000 GSF and will house approximately 1,000 to 1,400 parking spaces plus potentially 10,000 sq. ft. of office space. The structures will serve a mixed use of students, faculty, staff and visitors. With the increasing building density of the campus, green space and open space is becoming more valuable making parking structures a better choice than surface parking lots.

Consultant: HNTB

Contract # A041060

Total Amendments to Date: 1

Original Contract Amount: \$939,790

Cumulative Amendment Amount: \$49,900

Describe all amendments :

Revised Contract Amount: \$989,690

Amendment #1, 7/13/04, an increase of \$49,900 was approved for design services and expenses for the re-design of the buildings' facades (materials & elevation design).

Contractor: Messer Construction Co.

*Messer's contract was awarded by RFP process.

Contract # X501385

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$18,227,000

Cumulative Change Order Amount:

Revised Contract Amount: \$18,227,000

Contract Percent Complete:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Design Patient Bed Tower - Hospital [Clinical Enterprise Facilities Master Plan]

Project Number: 2099.0

Project Description:

Scope: \$10,000,000

The Hospital's present patient tower is approximately 40 years old. There have been few, if any improvements during that time. Although the space served patients well, the infrastructure is failing, and the facility can not accommodate present technology. Not all aspects of the deficiencies can be corrected and to attempt anything would require closing much of the facility while undertaking the work. This project will provide the site location evaluation, building programming and planning for a new bed tower.

Consultant: KSA Health Care Consulting Group

Contract # K04-137

Total Amendments to Date: 0

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$478,160

Cumulative Amendment Amount:

Revised Contract Amount: \$478,160

Contractor:

Contract # No Construction contracts awarded to date

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Electronic Access Rural Demonstration Project College of Law - Phase I

Project Number: 2198.0

Project Description:

Scope: \$1,000,000

This demonstration project involves the development and installation of electronic access sites in rural locations, an electronic access information hub, and classroom and office improvements in the College of Law. The electronic access sites will provide remote access to the College of Law's virtual reference service and enhanced web site and will include dedicated interactive videoconferencing technology. The project involves upgrades to the College of Law infrastructure and renovation of College of Law facilities.

Consultant:

Contract # No Consultant contract awarded

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Denham-Blyth Co. Inc.

Contract # X404392

Original Contract Amount:

\$223,525

Total Change Orders to Date: 8

Cumulative Change Order Amount:

\$24,330

Low Bid:

Revised Contract Amount:

\$247,855

High Bid:

Contract Percent Complete:

80%

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Infrastructure Utility Upgrade Phase I - Hospital Drive Steam Line

Project Number: 1949.2

Project Description:

Scope: \$819,090

This project consists of replacing direct buried steam piping under Hospital Drive. Buried piping will be replaced between manholes and in stages. The east steam manhole will be demolished and rebuilt; the west steam manhole will have its roof replaced. Piping will be reworked in both manholes.

Consultant: Staggs & Fisher (Amendment to 1949.0 Contract)

Contract # A011180

Original Contract Amount:

\$67,600

Total Amendments to Date: 0

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$67,600

No amendments this quarter.

Contractor: Lagco

Contract # X501578

Original Contract Amount:

\$699,000

Total Change Orders to Date: 1

Cumulative Change Order Amount:

\$1,279

Low Bid: \$699,000

Revised Contract Amount:

\$700,279

High Bid: \$1,031,477

Contract Percent Complete:

73%

Number of Bids: 4

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Main Building Reconstruction (Administration Bldg.)

Project Number: 2025.0

Scope: \$16,998,000

Project Description:

The Administration Building Reconstruction project involves rebuilding the interior and exterior portions of the building while re-using the exterior masonry wall shell that remained after the May 2001 fire. In general, the President's office and related functions will be housed in the building along with a visitor's center, a smart classroom, public ceremonial space and other administrative offices. All building systems will be new and include state of the art HVAC, electrical, communications, fire/smoke detection and suppression components and will be connected to the central campus utility systems. Structural and architectural systems and details are now being developed but will include new toilets, elevator and other amenities associated with new construction to meet current building codes and accessibility standards. Sitework will include the creation of a Patterson Plaza entrance at the east side of the building and a connecting link to the Gillis Building. Other sitework details are now being programmed.

Consultant: James W. Potts Architects

Contract # A021160

Original Contract Amount: \$841,340

Total Amendments to Date: 1

Cumulative Amendment Amount: \$172,000

Describe all amendments :

Revised Contract Amount: \$1,013,340

Amendment #1, 9/10/02, was approved for \$172,000 to provide for an increase in scope for additional design services. The additional services include the design and construction of increased site work that includes re-grading, utilities relocation and installation, roadwork and landscaping to incorporate the reconstruction of the building into the surrounding campus buildings and grounds. **No amendments this quarter.**

Contractor: Messer Construction Company (Construction Manager At-Risk)

Note: This is 'CM-At-Risk' contract. The contracted amount will be increased as sub-contracts are bid and added. *Messer's Contract was awarded by RFP process.

Contract # X404996

Original Contract Amount: \$12,702,990

Total Change Orders to Date: 121

Cumulative Change Order Amount: \$1,000,765

Low Bid:

Revised Contract Amount: \$13,703,755

High Bid:

Contract Percent Complete: 98%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order #16, 7/24/03, was approved for \$30,906.00 to add additional storm drainage to the scope. **Change Order #38**, 10/21/03, was approved for \$56,544.00 for "test holes" and additional rock associated with interior caissons.

Change Order #87, 3/10/04, was approved for \$36,678.00 for scope modifications in the public restrooms. **Change**

Order #96, 04/27/04, was approved for \$59,702.00 for changes to second floor South wing (Senate Council suite).

Change Order #97, 04/27/04, was approved for \$47,729.00 for changes to the first floor South wing. **Change order #98**, 04/27/04, was approved for \$36,378.00 for changes to Staff Lounge to accommodate commercial equipment for catering functions.

Change order #103, 05/18/04, was approved for \$33,417.00 for Structural and Architectural modifications to the tower. **Change order #117**, 08/12/04, was approved for \$29,300.00 to adjust the contractually required time of completion to recognize 50 calendar days delay resulting from the redesign of office areas on the 1st, 2nd and 3rd floors to accommodate increased occupancy.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Memorial Coliseum Expansion

Project Number: 2196.0

Scope: \$2,500,000

Project Description:

This project involves the design of a 92,300 Basketball Practice Facility to enhance the University's ability to attract top student athletes and the university's basketball program. This project will alleviate scheduling problems at Memorial Coliseum and will provide amenities in line with other NCAA basketball facilities in the country. The practice facility will be connected to Memorial Coliseum, which is located near the intersection of Lexington Avenue and the Avenue of Champions. The project will also renovate 7,700 square feet to support Volleyball, Gymnastics, offices and ticketing.

Consultant: Sherman-Carter-Barnhart/HNTB

Contract # A041080

Total Amendments to Date: 0

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$2,000,000

Cumulative Amendment Amount:

Revised Contract Amount: \$2,000,000

Contractor:

Contract # No Construction contracts awarded to date.

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

Describe all change orders greater than \$25,000.00:

Modify Nursing Unit XII-Hospital Labor & Delivery

Project Number: 2205.0

Scope: \$980,000

Project Description:

Modify Nursing Unit XII will consist of finish upgrades to the Labor and Delivery rooms (LDR) on the third floor of the UK Hospital. The work will consist of new solid surface window sills, floor and wall finishes, ceiling tiles, cubical curtains and tracks; relocation of existing medical gas, electrical and communication outlets; and installation of new wall mounted work surfaces and monitor brackets. New fetal heart monitors and a central monitoring system will be purchased and installed, as well as new head walls, procedure lights, entertainment centers and wardrobes. It is planned that the hospital will close two (2) rooms at a time for the work to be performed.

Consultant: Lyle Associates

Contract # A041090

Total Amendments to Date: 0

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$68,000

Cumulative Amendment Amount:

Revised Contract Amount: \$68,000

Contractor: Jarboe Construction Inc.

Contract # X405018

Total Change Orders to Date: 2

Low Bid: \$343,700

High Bid: \$378,840

Number of Bids: 4

Original Contract Amount: \$343,700

Cumulative Change Order Amount: \$17,052

Revised Contract Amount: \$360,752

Contract Percent Complete: 26%

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate IRIS Training Facility

Project Number: 2204.0

Scope: \$1,400,000

Project Description:

This project will renovate the rear of the 630 S. Broadway building for use as the IRIS Training Facility. This space to be renovated is approximately 3600 square feet. There will be a total of 16 offices, one large and two smaller training/conference rooms. A mezzanine will be constructed at the rear of the space where the floor is depressed. New HVAC systems, lighting and acoustical treatments will also be included. The scheduled completion date is November 2004.

Consultant: OMNI Architects

Contract # A041000P

Total Amendments to Date: 0

Original Contract Amount:

\$50,000

Cumulative Amendment Amount:

Revised Contract Amount:

\$50,000

Describe all amendments :

No amendments this quarter.

Contractor: Preston Construction Group

Contract # X500457

Total Change Orders to Date: 2

Low Bid: \$884,500

High Bid: \$898,000

Number of Bids: 3

Original Contract Amount:

\$884,500

Cumulative Change Order Amount:

\$18,536

Revised Contract Amount:

\$903,036

Contract Percent Complete:

80%

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter

Replace Memorial Coliseum Court Lighting

Project Number: 2218.0

Scope: \$600,000

Project Description:

The existing lighting system over the Memorial Coliseum playing floor is obsolete, and it is presenting a safety concern due to aging fixtures. The new system increases lighting capacity while saving energy costs. This system, similar to the lighting recently installed in Rupp Arena, provides flexibility in lighting levels for the different activities scheduled in the facility. The system can be serviced from the floor level, which eliminates the need to maintain the system from the attic above the floor.

Consultant:

Contract # No consultant contract awarded

Total Amendments to Date:

Original Contract Amount:

Cumulative Amendment Amount:

Revised Contract Amount:

Describe all amendments :

Contractor: WESCO Distribution Inc.

* WESCO Distribution's contract was awarded by RFP process.

Contract # X501178

Total Change Orders to Date: 0

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount:

\$409,556

Cumulative Change Order Amount:

Revised Contract Amount:

\$409,556

Contract Percent Complete:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Replace Steam and Condensate Pipe

Project Number: 2145.1

Scope: \$1,194,159

Project Description:

This project consists of a new utility tunnel under Limestone Avenue adjacent to the Peterson Service Building. There will be new concrete vaults on each side of Limestone Avenue connected to the utility tunnel.

Consultant: ZBA

Contract # A031020F

Total Amendments to Date:

Original Contract Amount:

\$32,000

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$32,000

No amendments this quarter.

Contractor: Finney Company, Inc.

Contract # X501255

Total Change Orders to Date: 0

Low Bid: \$1,037,300

High Bid: \$1,391,370

Number of Bids: 4

Original Contract Amount:

\$1,037,300

Cumulative Change Order Amount:

Revised Contract Amount:

\$1,037,300

Contract Percent Complete:

85%

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Student Housing Facilities

Project Number: 2153.0

Scope: \$44,170,000

Project Description:

The University is construction four (4) new residence halls located on two sites at the University of Kentucky, one on the south campus and one site on the north campus. On the south campus the site is east of the Kirwan Blanding complex, bounded by Sports Center drive and Complex Boulevard. Three (3), three-story residential halls on the south campus total 534 beds. The residential hall on the north campus site at the corner of Euclid Avenue and MLK Boulevard will be four-stories with 150 beds. The total gross square footage is expected to be approximately 220,000 SF, totaling 684 beds, comprised mostly of semi-suite units.

Consultant: Ayers/Saint/Gross

Contract # A031090

Total Amendments to Date: 1

Describe all amendments :

Original Contract Amount:

\$545,000

Cumulative Amendment Amount:

\$187,707

Revised Contract Amount:

\$732,707

Amendment #1, 3/9/04, an increase of \$187,707, was approved for consultant fees to final review documents from design/builder and provide periodic construction observations. It also covered an increase in reimbursable services to employ a Geotechnical Consultant during foundation construction. **No amendments this quarter.**

Contractor: Messer Construction

* Messer's contract was awarded by RFP process.

Contract # X404699

Total Change Orders to Date: 32

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount:

\$33,775,000

Cumulative Change Order Amount:

\$823,342

Revised Contract Amount:

\$34,598,342

Contract Percent Complete:

46%

Describe all change orders greater than \$25,000.00:

Change Order # 8, 05/18/04, was approved for \$37,340.37 for 15kV feed from manhole to Copperstown transformer.

Change Order #11, 05/18/04, was approved for \$30,018.45 for gas line replacement and relocation. **Change Order #15**, 06/17/04, was approved for \$75,900.00 for construction of structural tunnel and manhole as outlined by Fuller, Mossbarger, Scott, and May. **Change Order #18**, 06/29/04, was approved for \$48,755.00 for relocation of domestic water and domestic water pit. **Change Order #26**, 08/16/04, was approved for \$28,246.94 for addition of walls to

enclose the electrical room from the rest of the mechanical room. **Change Orders #28 & #29**, 08/18/04, were approved for finishes in all public areas - \$223,000.00 for addition of wood flooring and \$64,800.00 for carpet. **Change Order #31**, 08/30/04, was approved for \$102,452.00 for hardware changes to install 16 new automated door openers.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Student Housing - Kirwan/Blanding Commons Accessibility Upgrades

Project Number: 2153.2

Project Description:

Scope: \$555,000

This \$500,000 project is part of the "Student Housing Facilities" authority. The Kirwan/Blanding Residence Complex was built prior to the enactment of "The Americans with Disabilities Act." Currently, there are no rooms within the Kirwan/Blanding Complex that will accommodate a disabled student. The new Student Housing Facilities, being built east of the Kirwan/Blanding Complex, will be 100% accessible to the disabled. Students residing in the new housing facilities will utilize the Kirwan/Blanding Commons for dining and food service, thus the need to renovate the facility prior to completion of the new residence facilities.

Consultant: James Potts Architects

Contract # A041110

Original Contract Amount:

\$48,700

Total Amendments to Date: 0

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$48,700

No amendments this quarter.

Contractor:

Contract # No Construction contracts awarded to date.

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Student Housing - Supporting Utility Plant Upgrades

Project Number: 2153.3

Project Description:

Scope: \$1,275,000

This project includes expanding the Virginia Ave. CUP to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Consultant: AM Kinney

Contract # A041000GG

Original Contract Amount:

\$26,315

Total Amendments to Date: 0

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$26,315

No amendments this quarter.

Contractor: English Boiler & Tube Inc.

Contract # X500192

Original Contract Amount:

\$245,155

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

\$245,155

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Upgrade Fume Hoods - LC - Life Safety (Research Bldg. #3)

Project Number: 2201.0

Project Description:

Scope: \$532,000

The scope of work to be performed in this project includes the installation of strobic fans, combination eyewash/showers and securing of hood sashes ; the installation of sprinklers throughout the bldg, the replacement of 30" X 60" ceiling tiles, and the enclosure of the 2 stairwells.

Consultant: Biagi, Chance, Cummins, London and Titzer

Contract # A041020K

Original Contract Amount: \$23,700

Total Amendments to Date: 0

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$23,700

No amendments this quarter.

Contractor: Tri State Fire Protection

Contract # X405017

Original Contract Amount: \$170,000

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$170,000

Revised Contract Amount: \$170,000

High Bid: \$204,800

Contract Percent Complete: 35%

Number of Bids: 3

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Upgrade Pharmacy Fume Hood I - Life Safety

Project Number: 2135.0

Project Description:

Scope: \$705,013

The scope of work will consist of replacing the primary fume hood exhaust fans and rebalancing the HVAC systems. In the first phase the 6 primary exhaust fans will be replaced to increase the airflow and static pressure. The new fans shall be sized to be reused in the future to accommodate a full laboratory ventilation upgrade. Once the new fans are installed the HVAC system will be rebalanced. The existing supply air handling units and lab air terminal units will be retained. The laboratories shall be balanced to be negative to the return plenum and negative to other parts of the building. Once the building is properly balanced the airflow dampers are to be manually locked into position creating a fixed air volume scenario and ensuring a negative pressure condition in the labs.

Consultant: Staggs & Fisher

Contract # A041120

Original Contract Amount: \$60,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$60,000

No amendments this quarter.

Contractor:

Contract # No Construction contracts awarded to date.

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

NCR 1

Office of the President
December 14, 2004

Members, Board of Trustees:

APPOINTMENTS TO UNIVERSITY HOSPITAL OF THE
ALBERT B. CHANDLER MEDICAL CENTER, INC.
BOARD OF DIRECTORS

Recommendation: that the Board of Trustees approve the appointments of Mira Ball and Marianne Smith Edge as members of the Board of Directors of the University Hospital of the Albert B. Chandler Medical Center, Inc. effective December 14, 2004.

Background: The Bylaws of the University Hospital Corporation require that its Board of Directors consist of five (5) members appointed by the Board of Trustees from among their number. Ms. Ball and Ms. Smith Edge will replace Robert Meriwether and Marian Sims.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

NCR 2

Office of the President
December 14, 2004

Members, Board of Trustees:

REAPPOINTMENT TO UNIVERSITY OF KENTUCKY
EQUINE RESEARCH FOUNDATION

Recommendation: that the Board of Trustees approve the reappointment of Frank Shoop as a Trustee member of the Board of Directors of the University of Kentucky Equine Research Foundation for a term to expire June 30, 2008.

Background: The President of the university recommends to the Board of Trustees all reappointments to the University of Kentucky Equine Research Foundation. Recommended appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky Equine Research Foundation as approved by the Board of Trustees at its meeting on September 18, 1984.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

NCR 3

Office of the President
December 14, 2004

Members, Board of Trustees:

APPOINTMENTS TO UNIVERSITY OF KENTUCKY MINING ENGINEERING FOUNDATION

Recommendation: that the Board of Trustees approve the appointments of Roy Moore and Russ Williams as Trustee members of the Board of Directors of the University of Kentucky Mining Engineering Foundation for a term to expire June 30, 2007.

Background: The President of the university recommends to the Board of Trustees all appointments to the University of Kentucky Mining Engineering Foundation. Recommended appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky Mining Engineering Foundation as approved by the Board of Trustees at its meeting on December 14, 1982.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

Missing report(s)