

Minutes of the Special Meeting of the Board of Trustees of the University of Kentucky, Friday, March 17, 1967

The Board of Trustees of the University of Kentucky met in special session in the Board Room of the Administration Building on the campus of the University of Kentucky at 10:00 a.m., Eastern Standard Time, Friday, March 17, 1967. The following members were present: Governor Edward T. Breathitt, Chairman, Dr. Ralph Angelucci, Vice Chairman, Dr. H. B. Murray, Secretary, Mr. William R. Black, Mr. Sam Ezelle, Dr. Harry Denham, Mr. Robert Hillenmeyer, Mrs. Rexford Blazer, Dr. R. W. Bushart, Mr. Smith Broadbent, Mr. Hudson Milner, and non-voting faculty members, Professor Paul Oberst and Professor Stephen Diachun. Absent were: Dr. Harry Sparks, Mr. Richard Cooper, and Mr. Wendell Butler. Dr. A. D. Albright, Executive Vice President represented President John W. Oswald who was absent because of illness. Vice Presidents Robert F. Kerley, Glenwood L. Creech, and Robert L. Johnson represented the administration, and members of the news media were in attendance.

A. Meeting Opened and Oath of Office Administered to Mr. Robert Hillenmeyer and Mr. Hudson Milner

The meeting opened at 10.00 a.m. with a silent prayer in memory of Mr. William Forrest Foster, a member of the Board of Trustees who died February 28, 1967.

Governor Breathitt administered the oath of office to Mr. Robert Hillenmeyer, reappointed as an alumni member for a term expiring December 31, 1970, and to Mr. B. Hudson Milner, appointed to a four-year term expiring on December 31, 1970.

Dr. Murray, following call of the roll, reported a quorum present and the Chairman declared the meeting open for the official conduct of business at 10:07 a.m.

B. Minutes Approved

On motion by Mr. Hillenmeyer, duly seconded and passed, the reading of the Minutes for the January 20, 1967, Recessed Meeting of the Board of Trustees of the University of Kentucky was dispensed with and the Minutes were approved as published.

C. Committees Appointed

Because of certain changes in the membership of the Board of Trustees which in turn affected the membership of the special committees of the Board, Governor Breathitt made the following committee appointments:

<u>Finance Committee</u>	<u>Hospital Committee</u>	<u>Committee on Codification of Governing Regulations</u>
Dr. Harry Denham, Chm.	Dr. Ralph Angelucci, Chm.	Professor Paul Oberst, Chm.
Mr. Sam Ezelle	Dr. H. B. Murray	Mr. Robert Hillenmeyer
Mr. Robert Hillenmeyer	Dr. R. W. Bushart	Dr. Stephen Diachun
Mr. William R. Black	Mrs. Rexford Blazer	
Mr. Richard Cooper		
Mr. B. Hudson Milner		

The Governor indicated that the Real Estate Committee and the Committee on Faculty Relations were being lapsed. The Finance Committee is being enlarged to six members and will be responsible not only for the financial but the real estate affairs of the University.

D. Resolutions Passed on Mr. Floyd H. Wright and Mr. Clifford E. Smith

Dr. Angelucci read the Resolutions, copies of which appear at the end of the Minutes, which had been drawn up by a committee appointed by Governor Breathitt at the January meeting, on Mr. Floyd H. Wright and Mr. Clifford E. Smith. The Resolution on Mr. Smith closed with the following quotation from an Irish blessing: "May the road rise with him and the wind be always at his back." Mr. Ezelle suggested that the Resolution should contain the complete blessing as follows:

May the road rise to meet you,
May the wind be always at your back,
May the sun shine warm upon your face,
May the rain fall soft upon your fields,
And, until we meet again,
May God hold you in the palm of His hand.

On motion made, seconded and carried, the Resolution on Mr. Floyd H. Wright was passed as presented and ordered spread upon the Minutes and a copy sent to Mr. Wright.

Mr. Ezelle made a motion that the Resolution on Mr. Clifford E. Smith be amended by the addition of the full quotation of the Irish blessing as previously suggested. His motion was seconded and passed. A motion was then made that the Resolution, as amended, be adopted, that it be spread upon the Minutes of the meeting and copies sent to Mr. Smith. The motion was seconded and passed.

E. Resolution on the Death of Mr. William Forrest Foster

Governor Breathitt read the Resolution on the death of William Forrest Foster, a copy of which is included at the end of the Minutes. On motion by Mr. Broadbent,

seconded by Dr. Angelucci, the Resolution was adopted, ordered spread upon the Minutes of the meeting and a copy sent to Mr. Foster's family.

F. President's Report on Activities

Dr. Albright prefaced his report on the University's activities since the February meeting of the Executive Committee of the Board of Trustees by indicating that President Oswald was making a completely satisfactory recovery from surgery which he underwent on March 6 but was unable to be out.

He commented briefly on items in the report and closed by indicating that, as usual, copies would be distributed to faculty and key alumni of the University.

The Chairman, on behalf of the Board, ordered the report received and filed.

G. Recommendations of the President (PR 2)

In presenting PR 2, Recommendations of the President, which the Board members had received in advance of the meeting, Dr. Albright mentioned that under section IV, Quarterly Report on Gifts and/or Grants, a total of \$4,390 had been received from sixty-two individuals who had sent donations to the University of Kentucky in memory of Mr. Jesse Tapp. He commented that appointments and other staff changes were routine in nature but did call attention to the naming of James R. Rooney as Chairman of the Department of Veterinary Science, effective September 1, 1967.

Mr. Kerley said he would be glad to answer any questions relative to the Financial Report. He explained that the unrealized income of \$1,262,746.53 from gifts and grants on page 7 was due to the fact that these monies had not been transferred to the General Fund account from organizational accounts within the University and would not be until later because they were currently invested on a short-term basis. On page 11 he mentioned that Current Funds Expenditures Appropriations was under Estimated Current Funds Income by only \$50,000, a rather close margin, but no difficulty is anticipated. The most significant project in the Plant Funds area is the dormitory complex which is nearing completion and will be available for residential purposes in the fall semester.

On motion by Mr. Hillenmeyer, seconded by Dr. Angelucci, and passed without objection, PR 2, Recommendations of the President, was approved as a whole and ordered made a part of the Minutes of the March 17, 1967 meeting of the Board of Trustees. (See PR 2 at the end of the Minutes.)

H. Supplemental Recommendations of the President (PR 3)

Governor Breathitt suggested that inasmuch as the Board members were not

familiar with the items in PR 3, Supplemental Recommendations of the President, it would be advisable to act upon each item individually.

Dr. Albright repeated his earlier statement relative to Dr. Oswald's steady improvement following surgery and said that the recommendation for a leave of absence was made at the request of his medical advisors to permit him to have a period of complete rest and recuperation before returning to his official duties.

On motion by Mr. Ezelle, seconded by Dr. Angelucci, and passed unanimously, the recommendation that Dr. John W. Oswald be granted leave of absence for the period from March 6, 1967 through April 15, 1967, and that Dr. A. D. Albright, Executive Vice President, assume the responsibilities of his office during the period of his leave was approved.

Mr. Kerley commented that the background statement relative to the removal of restriction placed on Student Center Building Funds of the Community Colleges was self-explanatory, but he would be glad to answer questions. There being no questions, Mr. Black made a motion that the Board of Trustees make available for current student activity purposes at the Community Colleges monies accumulated in Student Center Building Funds of the Community Colleges. His motion was seconded by Mrs. Blazer and passed without dissent.

On motion by Mr. Black, seconded by Mr. Ezelle, and passed, the recommendation relative to staff changes, Item III, was approved as presented in PR 3.

Dr. Albright explained that recently the Board of Directors of The University of Kentucky Research Foundation had received a request from the Executive Committee of the Board of Spindletop Research, Incorporated, to consider an investment in a first mortgage lien on the real property of that group. The UKRF Board of Directors considered this request and empowered the Treasurer to purchase from the bank syndicate currently holding the first mortgage on the realty of Spindletop Research, Incorporated, an interest in the said mortgage to the extent of \$250,000.00.

Mr. Ezelle stated that he felt it was in the best interest of the Commonwealth and made a motion that the Board of Trustees adopt the Resolution presented as Item IV of PR 3 which ratifies the action of the Board of Directors of The University of Kentucky Research Foundation to purchase one-third interest (\$250,000.00) in the first mortgage lien on the realty of Spindletop Research, Incorporated, at the rate of 6 per cent, principal and interest payable on or prior to December 1, 1967.

There being no further items in PR 3, the Secretary was ordered to include PR 3, Supplemental Recommendations of the President, as a part of the Minutes of the meeting. (See PR 3 at the end of the Minutes.)

I. Approval of Honorary Degree Recipients (PR 4)

Dr. Albright said it was always a happy occasion when an institution can award its highest institutional recognition to outstanding people. It, therefore, gave him much pleasure to pass on to the Board of Trustees, the recommendations of the Graduate Faculty and the University Senate that the honorary degree of Doctor of Laws be awarded to Mr. William B. Arthur, Mr. Donald C. Cook, Mr. Allan Mitchell Trout, and Mr. Robert Whitney, and that the honorary degree of Doctor of Science be awarded to Dr. Francis M. Massie and Dr. Morris Scherago at the commencement exercises on May 8, 1967. Dr. Albright reminded the Board members that for the past two years honorary degrees have been awarded to outstanding persons who have retired from the University of Kentucky. One such person is included in the recommended list for 1967 -- Dr. Morris Scherago.

Several members of the Board expressed gratification at the outstanding list of persons presented and, since each member had already had an opportunity to review the biographical sketches of each recipient, the motion was made, seconded, and carried, that approval be granted to award the honorary degree of Doctor of Laws to Mr. William B. Arthur, Mr. Donald C. Cook, Mr. Allan Mitchell Trout, and Mr. Robert Whitney, and the honorary degree of Doctor of Science to Dr. Francis M. Massie and Dr. Morris Scherago at the commencement exercises on May 8, 1967, and that the President be authorized to notify these persons that they had been selected to receive the honorary degree approved for each. (See PR 4 at the end of the Minutes.)

J. Policy Relative to On-Campus Solicitation of Funds Adopted (PR 5)

Dr. Albright commented only briefly on the recommendation relative to on-campus solicitation of funds, since copies of PR 5 had been mailed to members prior to the meeting. He said that the recommendation contained in PR 5 represented the administration's thinking of the most orderly and equitable means of handling solicitations.

Mr. Ezelle moved adoption of the policy as set forth in PR 5. His motion was seconded and without further discussion was approved. (See PR 5 at the end of the Minutes.)

K. Approval "In Principle" of the "Prestonsburg Community College Preliminary Development Plan" (PR 6a)

Mr. Kerley had copies of the "Preliminary Development Plan for the Prestonsburg Community College" distributed to those present. Copies had also been mailed in advance of the meeting.

He said that the projected enrollment for Prestonsburg was 3,000 students

by 1986 with 1,500 expected by 1972. The first addition is planned to take care of the 1972 load and planning will continue for further additions to accommodate the total enrollment of 3,000 in 1986.

After a brief discussion of the plans as presented, Dr. Denham made the motion that the "Prestonsburg Community College Preliminary Development Plan" be approved "in principle" with the understanding that the plan will be periodically revised as the program requirements of the College become more fully expressed. Dr. Angelucci seconded the motion and it passed without dissent. (See PR 6a at the end of the Minutes.)

L. Approval "In Principle" of the "Southeast Community College Preliminary Development Plan" (PR 6b)

Mr. Kerley stated that the "Preliminary Development Plan for the Southeast Community College" was basically the same document as that presented for the Prestonsburg Community College, adjusted for the particular requirements of the area. Projected enrollment at the Southeast Community College is 1,500 full time students by 1985-86 with plans being made to care for 650 students by 1972-73. He added that the site seems to be adequate for the needs of the College up to 1985-86.

In answer to a question relative to gross area per student, Mr. Kerley indicated that 110 gross square feet per student per academic day was being allowed at all community colleges. Seventy-five to eighty gross square feet per student is usual. The additional footage being allowed is to take care of unexpected growth.

On motion by Mr. Black, seconded by Mrs. Blazer, and passed unanimously, the "Southeast Community College Preliminary Development Plan" was approved "in principle" with the understanding that the plan will be periodically revised as the program requirements of the College become more fully expressed. (See PR 6b at the end of the Minutes.)

M. Amendment to the Governing Regulations (PR 7)

Dr. Albright commented that the proposed amendment to the Governing Regulations would serve to make the Governing Regulations relative to leaves of absence in accord with the promotion, appointment, and tenure regulations already approved. The amendment was given in full in PR 7 which the Board members had received for consideration prior to the meeting.

On motion by Dr. Angelucci, seconded by Mr. Milner, and adopted without dissent, the proposed amendment to the Governing Regulations as set forth in PR 7 was approved. (See PR 7 at the end of the Minutes.)

N. Appointment of Mr. Richard E. Cooper to Board of Directors of UKRF (PR 8)

The expiration of Mr. Clifford Smith's term on the Board of Trustees created a vacancy on the Board of Directors of The University of Kentucky Research Foundation.

On motion by Mr. Hillenmeyer, seconded by Dr. Denham, and passed without dissent, Mr. Richard E. Cooper was appointed as a Trustee member on the Board of Directors of The University of Kentucky Research Foundation to complete the term of Mr. Clifford E. Smith, which expires May 12, 1967, and was reappointed to a 3-year term expiring on May 12, 1970. (See PR 8 at the end of the Minutes.)

O. Resolution Approving and Accepting a Loan Agreement Tendered by the United States Department of Health, Education and Welfare for the Financing, in Part, of the New Classroom, Faculty and Administration Office Building (PR 9)

Mr. Kerley called attention to the Resolution included in the material before the Board members, indicating that the Resolution authorized the acceptance and approval of the loan agreement tendered by the Department of Health, Education and Welfare, and authorized proper officers of the Board to sign said loan agreement which has been previously authorized. The loan agreement is in the principal amount of \$4,393,000 at an interest rate of 3 per cent per annum for a term not to exceed thirty years.

On motion by Mr. Hillenmeyer, seconded by Mr. Milner, and passed, the Resolution approving and accepting a loan agreement tendered by the United States Department of Health, Education and Welfare, providing for the financing, in part, of the new classroom, faculty and administration building previously authorized by the Board was adopted. (See PR 9 at the end of the Minutes.)

P. Selection of External Auditor for 1966-67 (PR 10)

Mr. Kerley indicated that the firm of Haskins & Sells has performed the examination of the accounts of the University and its affiliated corporations for the fiscal year ending June 30, 1966. The audit performed met with the satisfaction of the administration and the approval of the Finance Committee of the Board of Trustees. It is, therefore, recommended that Haskins & Sells be engaged to perform the examination of the accounts for the fiscal year ending June 30, 1967.

On motion by Dr. Denham, seconded by Mr. Black, and without dissent, the recommendation contained in PR 10 was approved. (See PR 10 at the end of the Minutes.)

Q. Selection of Consultant to Study Fiscal Relationship Between the University of Kentucky and the Commonwealth of Kentucky (PR 11)

In order to further improve the working relationship between the Commonwealth's Department of Finance and the University with respect to the disbursement of appropriated funds both for operations and capital outlay, the Vice President - Business Affairs and Treasurer of the University of Kentucky and the Commissioner of Finance recommend that the firm of Peat, Marwick, Mitchell & Company be employed as a consultant for the study of the fiscal relationships between the University of Kentucky and the Commonwealth of Kentucky.

Mr. Sam Ezelle questioned the necessity of employing an outside consultant when both the University and the Commonwealth have their own auditing personnel who should be qualified to conduct such a study. Governor Breathitt agreed that careful study should always be given to the expenditure of funds for studies. He felt, however, that this particular study would be most helpful to the next Governor and the next Commissioner of Finance as they undertook the preparation of the budget. The fact that the study would be performed by an outside agency would make it of greater value in such a situation.

There being no further discussion, Mr. Milner moved that the Vice President - Business Affairs and Treasurer be authorized to enter into a contract with the firm of Peat, Marwick, Mitchell & Company, Certified Public Accountants, for a study of the fiscal relationship between the University of Kentucky and the Commonwealth of Kentucky, and render a report for a fee of not more than \$35,000, including expenses, and that Restricted Fund Balances be used to finance the study. The motion was seconded and passed unanimously. (See PR 11 at the end of the Minutes.)

R. Selection of Firm for Management Advisory Services in Connection with Implementation of Hospital Information and Control System (PR 12)

Phase I of the Hospital Audit by Haskins & Sells has been completed and a report of recommended changes in fiscal policies and procedures has been rendered. The implementation of the proposed changes requires an outside advisor to coordinate the various steps involved because the University does not have the staff needed to implement and define the new systems and cannot get such a staff fast enough.

On motion by Dr. Denham, seconded by Mr. Hillenmeyer, and passed, the Vice President - Business Affairs and Treasurer was authorized to enter into a special contract with the firm of Haskins & Sells, Certified Public Accountants, for management advisory services to be rendered in connection with the implementation of the Hospital Information and Control System set forth in the report dated December 27, 1966 and that fees, including expenses, in an amount not to exceed \$60,000 be appropriated from reserve funds in the General Funds budget established for the University Hospital.

Dr. Angelucci indicated his disappointment with Phase I of the study and Mr. Ezelle requested that the Hospital Committee give consideration to the low wages of Hospital employees. He pointed out that room and board rates were high but the hourly rate of pay for hospital employees was probably in the neighborhood of \$2.19 an hour. Dr. Angelucci replied that a recent study of local hospitals showed that 73¢ of every dollar went for salaries with the other 27¢ going for food, supplies, etc. (See PR 12 at the end of the Minutes.)

S. Amendment to Room and Board Rates (PR 13)

Mr. Kerley stated that the proposed amendment to the room and board rates would not change the rate of \$850.00 approved on December 13, 1966 but would permit a certain flexibility by giving an optional plan for room and board consisting of breakfast and dinner only.

On motion by Mr. Hillenmeyer, seconded by Dr. Murray, and approved, the Board action of December 13, 1966 adjusting the room and board rates for undergraduate housing to \$850.00 per year was amended to add an optional room and board plan consisting of breakfast and dinner only for students living in University residence halls at a rate of \$735.00 per year. (See PR 13 at the end of the Minutes.)

T. Energy Sources for Lexington Campus (PR 14)

Mr. Kerley indicated that the University has recently been engaged in an exhaustive fuel energy systems study to determine the best and most economical means of providing heating capacity sufficient to serve the institution's expanding physical facilities. At this time, there is insufficient information available upon which to properly evaluate all alternate systems for long range use. At the January 20, 1967, meeting of the Board of Trustees, a Resolution relating to construction financing was adopted. As a part of that Resolution, Item 6 of Schedule I provided for a high temperature water plant. Because of the inability to obtain the information necessary to properly evaluate alternate energy systems and reach a final decision with respect to the University's long-range heating requirements, it is recommended that this section of the Resolution be deleted and that an additional project be added to Schedule II of the Resolution which would provide for the addition of auxiliary equipment to the existing heating plant and reconstruction of the existing distribution system to improve the efficiency of the existing plants and increase their capacity. Mr. Kerley continued that it was proposed to use electrical energy in the classroom-office building which would reduce the load on the present heating facilities and at the same time provide information on the cost of the use of electrical energy for heating purposes.

Mr. Milner commented that in his opinion electrical energy would prove more costly than other fuel energy systems but felt it was a worthwhile experiment and made the motion that the recommendation set forth in PR 14 be approved. His motion was seconded by Mr. Hillenmeyer and approved. (See PR 14 at the end of the Minutes.)

U. Authorization to Participate in Incorporation of Ohio Valley Regional Medical Program (PR 15)

At the October 21, 1966 meeting of the Executive Committee of the Board of Trustees, approval was given to the appointment of Dr. William McBeath as Special Assistant to the Vice President-Medical Center for Regional Programs, effective October 1, 1966. At that time, it was pointed out that he would serve as the director of the proposed Ohio Valley Regional Medical Program and as such would be responsible to the Deans of the Medical Schools of the University of Cincinnati and the University of Louisville, and to the Vice President-Medical Center, University of Kentucky. The Resolution proposed for adoption approves the Articles of Incorporation and By-Laws of the Ohio Valley Regional Medical Program and designates Dr. William R. Willard as the University's member of the Executive Board and authorizes the President of the University to execute the documents necessary to incorporate the Regional Medical Program.

On motion by Mr. Broadbent, seconded by Mrs. Blazer, and without discussion, the Resolution as set forth in PR 15 was adopted as presented. (See PR 15 at the end of the Minutes.)

V. Contract for the Operation of the Governor's Lincoln School (PR 16)

Dr. Albright indicated that it was necessary for the President to be authorized to enter into a contract with the Board of Trustees of the Governor's Lincoln School by which an academic residential school may be operated for gifted children of the Commonwealth. Such a contract is necessary in order to carry out legislation passed by the General Assembly in the 1966 session which directed that the Board shall contract with the University of Kentucky for the operation of the school.

Dr. William Tisdall, who has been named interim director of the new school, presently known as the Governor's Lincoln School, was present at the meeting and indicated his willingness to answer questions. He said that the selection of students will be based on federal and state guidelines with high potential for superior achievement in school one of the major criteria and also cultural and economic deprivation. Local school superintendents will be asked to make recommendations of potential students and individual contacts with both parents and the children will be made for the purpose of examination and final approval. Dr. Tisdall added that the school will prepare the student for admission to the most selective institutions in the country. This school is the only academic year residential school in the country for gifted children and, as such, unique. It has already attracted nation-wide interest and the experimental nature of the school should enable it to attract, on an annual basis, outstanding faculty members. Already, four or five persons with doctoral degrees have indicated an interest in teaching next year.

Dr. Albright complimented Dr. Diachun as well as Dr. Tisdall for the

excellent job they had done in developing plans and policies for the operation of the school and expressed particular appreciation for the contribution which Dr. Whitney Young has made to the program.

On motion duly made, seconded, and carried, the President was authorized to enter into a contract with the Board of Trustees of the Governor's Lincoln School by which an academic residential school may be operated for gifted children of the Commonwealth. (See PR 16 at the end of the Minutes.)

W. Report on Construction Bids on Classroom-Office Building

Mr. Kerley reported that bids for the construction element of the classroom-office building had been opened and that Foster & Creighton had submitted the low bid of \$9,732,000.00. This bid was \$168,000.00 under the estimate approved by the architects and the Commissioner of Finance's staff. There were seven bidders with the bids ranging from \$11,654,000.00 to the low bid submitted by Foster & Creighton. The second lowest bid was \$39,000.00 higher than the Foster & Creighton bid. At 3:30 o'clock on the afternoon of March 17, the contract with Foster & Creighton will be signed and the project should be completed within 720 days from the date the contract is signed.

X. Report and Recommendation on Student Discipline and Procedures

Vice President Johnson called attention to the report and recommendations of the Committee on Student Affairs which was included in the materials before the members of the Board. He said the report was the result of approximately 18 months of intensive study on the part of the Senate Committee on Senate Affairs. The committee had two primary objectives in mind: (1) to create in the whole student affairs area, an educational look which would allow the students to benefit in an educational way from their lives outside the classroom in order to achieve personal maturity and become responsible citizens; and (2) to develop procedures in matters of student discipline which would essentially conform to recent court decisions on civil rights. When the report was completed it was presented to the University Senate and, in three sessions of that body, was fully discussed and debated. The recommendations contained in the report have full Senate approval and concurrence. Mr. Johnson expressed the hope that the members of the Board would read the report in its entirety and consider it in the light of the two objectives he had mentioned earlier.

Dr. Angelucci thanked Mr. Johnson for his presentation and Mr. Ezelle moved that the report be accepted for study and that discussion and action be deferred until the April 4 meeting of the Board of Trustees. Mr. Ezelle's motion was seconded by Mrs. Blazer and was passed without dissent. (See Report at the end of the Minutes.)

Y. Board Sends Best Wishes to President Oswald

Dr. Angelucci requested that the Secretary send a letter to Dr. Oswald expressing regret at his illness and extending best wishes for a speedy recovery.

Z. Future Meetings of the Board

Dr. Albright reminded the members of the Board that this meeting was a special meeting called by the Chairman and that the next statutory meeting would be held on Tuesday, April 4 at which time the Internal Budget would be presented for action. Copies of the Budget will be mailed ten days in advance so that members may have an opportunity to study it in detail.

The May 2 meeting of the Board is also a statutory meeting. The Executive Committee will meet during the summer months. Beginning in September it is the plan to have the full Board meet monthly.

A-1 Announcements

Mr. Kerley said he wished to make two announcements: (1) the model of the classroom-office building was on display in the hallway of the Administration Building should members wish to refresh their memories of the project; and (2) a temporary parking lot will be built on the front lawn to replace the parking space lost during the construction period of the classroom-office building. He emphasized that the parking lot was temporary and would be resodded when construction was completed.

B-1 Meeting Adjourned

Dr. Angelucci, having determined there was no further business to come before the meeting, called for a motion for adjournment. The motion being made, seconded and carried, the meeting adjourned at 12:05 p.m.

Respectfully submitted,

H. B. Murray, M. D.
Secretary, Board of Trustees

The Resolutions on Mr. Floyd Wright, Mr. Clifford Smith, and Mr. William Forrest Foster, PRs 2, 3, 4, 5, 6a, 6b, 7, 8, 9, 10, 11, 12, 13, 14, 15, and 16 and the Report on Student Discipline and Procedures which follow are all official parts of the Minutes of the March 17, 1967 Special Meeting of the Board of Trustees.

RESOLUTION
ON
FLOYD H. WRIGHT

Mr. Floyd H. Wright served two full terms of office on the Board of Trustees of the University of Kentucky. In so doing, he served his community and his University seriously, competently, and well.

From his many associations--as a member of the Board of Directors of the First National Bank and Trust Company of Lexington, as a charter member of the Lexington-Fayette County Airport Board, as a member of the Board of Directors and past president of the Idle Hour Country Club, as an alumnus of the University of Kentucky--he could competently gauge and reflect the opinions and the feelings of important segments of the public of the state. From his vast experience as a very successful farmer and businessman and from his close identification with many aspects of the tobacco industry, he could call on a large reservoir of knowledge and many associations to participate in important decisions by the Board of Trustees.

At their lovely home Mr. Wright and Mrs. Wright charmingly entertained many important visitors to Central Kentucky and with their gracious charm and taste won many friends for the University of Kentucky.

Mr. Wright brought to meetings of the Board of Trustees a background of experience, judgment, and wisdom that could not be matched. At times of crisis or decision, his opinion was sought and willingly given.

Upon his retirement from the Board of Trustees, the Board expresses its grateful appreciation for his contributions and services to his University and his State.

RESOLUTION
ON
CLIFFORD E. SMITH

Native son of Edmonson County, Clifford Smith is an alumnus of the University. Long before his appointment to the Board he had made for himself a notable career as a lawyer for the State, as an attorney in private practice, as a farmer, and civic and political leader, known throughout the Commonwealth.

From the time of his initial appointment to the Board in 1958, he placed the full range of his knowledge, skills, abilities and leadership at the service of the University. In recognition of this, he was twice reappointed to full terms by succeeding governors.

Mr. Smith exercised leadership beyond the call of duty in the effort to replace the unsatisfactory retirement policy of the University with a modern funded retirement program. He assisted in securing legislation necessary to its establishment and thus the University achieved a more competitive position to staff itself adequately in a critical time of extraordinary growth.

Mr. Smith made equally important suggestions to the administration of the University in the reordering of the organizational structure and legal services in the University and its affiliated corporations. His legal and financial skills were directed toward the improvement of procedure for property acquisition by the University and for the operation of its finances and investments. He was keenly interested in reshaping the accounting and auditing procedures and in consolidating a multitude of small bond issues into larger and more marketable issues. Mr. Smith served on both the Finance Committee of the Board and the Investment Committee of The Kentucky Research Foundation. His guidance in evolving a sound investment policy, which maximizes the security and the yield of these funds, has been indispensable.

Drawing on his interests as a farmer, Mr. Smith gave constant attention to the policies of the University relating to agricultural development, especially with reference to Agricultural Research and to the Extension Programs.

Clifford Smith's years of service to the University as trustee spanned the transition between the University's first and second century, and included the years of the University's most extraordinary development. As he retires from the Board of Trustees, the Board, meeting the seventeenth day of March 1967, expresses its grateful appreciation to him for his distinguished contribution to his Alma Mater and urges him to maintain a continuing interest in her welfare in the years to come. "May the road rise with him and the wind be always at his back." **

** Amended to read: "May the road rise to meet you, May the wind be always at your back, May the sun shine warm upon your face, May the rain fall soft upon your fields, And, until we meet again, May God hold you in the palm of His hand."

RESOLUTION ON THE DEATH
OF
WILLIAM FORREST FOSTER

The Board of Trustees of the University of Kentucky notes with sorrow the death of

MR. WILLIAM FORREST FOSTER

on February 28, 1967. Businessman, philanthropist and friend of education, Mr. Foster had served faithfully this institution as a trustee from 1956 until his death.

Affectionately known as Willie Foster, he was an example of a cherished American ideal, the chance for every youth -- no matter how lowly his beginnings -- to achieve success and wealth through his own diligence. Mr. Foster began as a \$5-a-week office boy and janitor in 1915 and worked his way to the presidency of the multimillion-dollar Merit Clothing Manufacturing Company in 1941. In recognition of his career, he received the Horatio Alger Award in 1966.

However, his interests ranged far beyond the business world into the realm of the personal. For his own employees, he opened a recreational area on the Graves County farm on which he was born in a log cabin, purchased acreage along Kentucky Lake for club facilities and operated a free day nursery. For his home town, Mayfield, he headed many drives for civic improvements, including a \$10,000 fund for needy children which he suggested in lieu of flowers during one of his illnesses.

Government was one of Mr. Foster's abiding interests, although he never sought or held office himself. A close associate of many governors from A. B. Chandler to Edward T. Breathitt, Mr. Foster was a personal friend of the late Alben W. Barkley and was asked to fill his unexpired term in the United States Senate after the death of the former vice president in 1964.

Although he did not accept the honor, Mr. Foster did represent the United States in 1958 at a ten-nation conference in Geneva to discuss ways to prevent surprise attacks.

His interest in the development of the Commonwealth led to service on the executive committee of the Kentucky Better Roads Council, the Kentucky Agricultural and Industrial Development Board, the board of the Kentucky Chamber of Commerce and the board of Spindletop Research.

Recognizing the value of higher education, he regularly made the long trip from West Kentucky to Lexington to serve as a trustee of the University, a member of the University Development Council, a director of this institution's Seligman Program and a trustee of the neighboring Lexington Theological Seminary.

The Board of Trustees of the University of Kentucky, respectfully recalling his service to this institution and to the Commonwealth and his example of diligence and humility, directs that copies of this resolution be spread upon the March 1967 Minutes of the Board and be transmitted to the family of Mr. Foster.

FRI

PRESIDENT'S REPORT TO THE TRUSTEES

March 17, 1967

1. STRAVINSKY TO HIGHLIGHT FINE ARTS FESTIVAL

The University's first "Festival of the Arts," scheduled April 5-22, may present the greatest array of eminent persons and events in the arts that Kentucky has ever had. Igor Stravinsky and his young associate, Robert Craft, will conduct the Lexington Philharmonic Orchestra in an April 11 concert at the Coliseum, and will be available on the campus for lectures and seminars from April 9 through April 13.

The foremost names in the fields of art, music and the theater will appear at the University during the three-week period. They will explore the Festival theme: "The Modern University: Patron of the Arts." The University has commissioned three new works for the Festival: The Heritage String Quartet will premiere John Vincent's composition on April 8, the opening Festival musical event. Haskell Thomson will present Vincent Perichetti's new work on April 12, and on April 16, the University Choristers and the University Orchestra will premiere the work of John Barnes Chance, a member of the Music Department staff.

Other highlights of the Festival: a lecture-demonstration by John Cage on April 20; the final Festival musical event on April 21--a performance of Beethoven's Ninth Symphony by the Lexington Philharmonic Orchestra and the Lexington Singers; art exhibitions, a series of films entitled "Creative Films from Universities," and a production of Bertolt Brecht's "The Good Woman of Setzuan." On April 19, the Merce Cunningham Dance Company will perform in Memorial Coliseum. A presentation of "An Evening's Frost," on April 22, concludes the Festival.

2. CEO ORGANIZING ORCHESTRA OF FOURTH GRADERS AT ASHLAND COLLEGE

A concert is planned about the middle of May by Joseph S. Ceo, assistant professor of music, of an orchestra made up of fourth graders from five schools in the Ashland area.

Mr. Ceo, with the supervision of Dr. Robert Goodpaster, director of the Ashland Community College, has put together the orchestra of cellos, violins, and violas for 40 children from Ashland City, Ashland Parochial, Russell Independent, Greenup County and Boyd County Schools.

The children receive two lessons a week at the Ashland campus. Ceo is being assisted in the instruction by several graduate students from the Lexington campus.

3. ARCHITECTURE DEAN REPORTS ON CITY PLANNING IN EUROPE

A University dean, following a recent three-week swing through major cities on the continent, reports that many European cities and towns, some dating their origins to hundreds and even a thousand years ago, are concerned with planning, zoning, an urban renewal just as are the cities of this country.

Dean Charles P. Graves of the School of Architecture, took a "student's" tour, shunning the glitter-palace type of hotel and restaurant, to give him "an idea what architectural students might encounter when going abroad."

He called the Roman development, 'EUR', a good example of "combining residential and commercial buildings with architectural beauty and livable utility." He said the new town was perhaps a little more advanced than similar complexes in Europe or in our own country.

Dean Graves was in Europe as vice-president of the National Council of Architectural Registration Boards to attend an international conference called by the Joint Committee on Architectural Registration.

4. HAZARD TELETHON RAISES \$25,000 FOR COLLEGE SITE PURCHASE

A telethon to raise \$20,000 by the Hazard Independent College Foundation was a tremendous success in that city on February 21. Bill Gorman, co-chairman of the committee, reports that the goal was topped by more than \$5,000, bringing to more than \$110,000 the amount contributed to buy land and prepare the site for the new Hazard Community College. More than 4,500 persons attended the 13-hour event in Hazard's Memorial Gymnasium, 650 entertainers performed, and 155 men and women from the city's civic clubs and churches took part in the staging. Contributions came from Knott County, including a special one taken up by students of Alice Lloyd College, as well as from Letcher, Leslie and Breathitt Counties. The telethon was sponsored by The Hazard Herald, the Family Theater, Radio Station WKIC, and Channel Four, a unique studio that "telecasts" daily programs through the lines of its own community cable.

5. KENTUCKY HEART ASSOCIATION AWARDS FIVE RESEARCH GRANTS TO UK

The University received five of nine research grants, totaling \$47,500, awarded February 20 by the Kentucky Heart Association and the Heart Association of Louisville and Jefferson County. Another four grants went to the University of Louisville. UK College of Medicine recipients were Dr. Joseph R. Logic, \$4,355; Dr. Leonard S. Gettes, \$6,450; Dr. Robert D. Milledge, \$3,449, and Dr. Gordon H. Danielson, \$2,920. Each of the two institutions also received a research fellowship of \$3,500.

6. FORTY RADIO STATIONS, SEVEN TV STATIONS SALUTE FOUNDER'S WEEK

Forty radio stations and seven television stations saluted the University during the week of Feb. 20 upon observance of its 102nd anniversary. The radio salute, a 15-minute musical program, featured the UK Choristers, just back from an appearance at New York's Carnegie Hall, plus other University groups. The tapes also featured President John W. Oswald. The TV program was a five-minute color motion picture of the campus, both in Lexington and at the community colleges. The program outlined academic objectives of the University as it moved further into its second century.

7. FOUR CENTENNIAL YEAR CAMPUS VISITORS SEND CONGRATULATIONS

Congratulations to the University upon the occasion of its 102nd anniversary were received from four Centennial Year visitors to the campus. They were President Lyndon Johnson, UN Ambassador Arthur Goldberg, Chief Justice Earl Warren and Senator Everett Dirksen.

8. NEW LANGUAGE PUBLICATION TO BE EDITED BY DR. STAPF

A new publication, "Colloquia Germanica," edited by Dr. Paul Stapf, professor of Germanic and classical languages and literatures, has been started on the campus. Dr. Lawrence S. Thompson called it "the first major scholarly journal to be begun on the initiative of the UK faculty." It will be printed by Franke Verlag, Bern, Switzerland, a major European humanities publishing house. Editorial board members include scholars in San Diego, Toronto, Chicago, Berlin, Paris, Innsbruck, Austria, and in Turkey and England. The journal will accept articles in German, English and French, concerning Germanic languages and literatures of the two Germanies, Austria, Holland and the Scandinavian countries, not including Finland. A second publication, "Kentucky Romance Quarterly," has been given new form at UK. Previously called "Kentucky Foreign Language Quarterly," it will be edited by Dr. Walter G. Langlois, associate professor of French, and Dr. John Keller, director of the School of Letters and Languages.

9. 78 GRADUATE FACULTY MEMBERS NOMINATED FOR SANG AWARD

Over a third of the more than 200 members of the Graduate Faculty have been nominated by individual full-time doctoral students for the Philip and Elsie Sang Award. The award, worth \$3,000, will be presented toward the end of the semester to the nominee adjudged by a committee to have "made the most outstanding contributions to the UK graduate program." Dr. Lewis W. Cochran, acting dean of the Graduate School, said there are 78 nominees for the award. Deadline for nominations was February 1.

10. STUDENT RELIGIOUS GROUPS ABOUND NEAR CAMPUS

The Office of Religious Affairs reports a great deal of enthusiasm among students in religious activities.

Robert L. Johnson, vice-president for student affairs, says that "although UK endorses no particular faith, it does maintain and foster a climate which provides expression for all religious traditions." He said the University accepts student religious organizations "wholeheartedly, and encourages students to become familiar with these long-standing tenets, which are such a fundamental part of the history of man."

The ORA is an out-growth of the former YM-and YWCA offices, and serves as a liaison between UK and the religious advisors staff, "opening channels through which students and faculty may encounter religion as an integral part of contemporary culture, and providing opportunities for student service."

The religious advisors staff is composed of a minister or professional leader representing each religious group, in addition to Miss Peggy Cooley, director of religious affairs, and Jon Dalton, assistant director, who also serve as advisors to the YMCA and YWCA.

Religious organizations operate in converted residences and modern structures built for the purpose surrounding the campus, and range from the small, 35-member Hillel Foundation which serves Jewish students, to the 2,500-person campus parish of the Holy Spirit, serving the Newman Foundation for Catholic students.

Many of the religious groups have their own full-time leaders, while others are served part-time by pastors and rabbis who are associated with city churches, synagogues, and study groups.

11. LOUISVILLE SENIOR WINS SHORT STORY CONTEST

Miss Eileen Brereton of Louisville, senior English major, is the winner of a short story contest sponsored by the Kentucky chapter of the National Society of Arts and Letters. Her story, "The Bridge Lessons," will be entered in the NSAL national contest in April in St. Louis, Mo. The state prize is \$100 and the national prize is \$1,000. Runner-up to Miss Brereton is Frederick Gardner, librarian at the Hopkinsville Community College.

12. WATKINS IS NAMED ENGINEER OF THE MONTH

G. Reynolds Watkins, Lexington consulting engineer, was named Civil Engineering Alumnus of the Month for February by Chi Epsilon, student engineering fraternity, and the engineering faculty. Watkins, a native of London, is a UK graduate, class of 1938. He also was recently honored by the National Society of Professional Engineers, which he serves as chairman.

13. CIVIL, HYDRAULICS ENGINEERS HOSTED BY UNIVERSITY

Civil and hydraulics engineers from Kentucky, Ohio, West Virginia and Pennsylvania were on the campus the week of February 20 for a conference on water control. They were hosted by the UK Water Resources Institute, and focused on such subjects as flood routing project types, channel hydraulics, slope stability analyses and detention structure analyses.

14. LAW STUDENTS WORK WITH LEGAL AID COMMITTEE

Thomas P. Bell, chairman of the Fayette County Bar Association's Legal Aid Committee, had praise recently for University law students who work with the committee and its approximately 35 cases weekly involving indigent persons. He said the law students do the preliminary leg work, "and do it thoroughly." The students staff an office maintained by the committee at All Souls Presbyterian Church, 475 West Second Street. The students interview clients, research the cases, and make recommendations to the attorney who may actually represent the client if the case goes to court. The Second Street office is considered a pilot project by the Fayette County Bar Association, one that members hope will lead to other offices throughout Lexington.

15. RADIO-TV INTERN PLAN SET UP WITH WAVE

A new intern plan set up by Louisville's WAVE in conjunction with the University's School of Communications differs from others in effect at other radio and television stations, Dr. Robert Murphy, director of the communications school, reports.

He said that WAVE will employ two students from UK for 12 weeks next summer. They will be salaried, but they earn no academic credit. The students will work in all phases of the news operation, both radio and television, Rodney Ford, news director, says. They will do film editing, photography, production and perhaps a limited amount of on-the-air work. Another difference from other intern work is that the students will work entirely in the news department.

16. CAR-TOP CAMERA FILMS TRAFFIC VIOLATIONS FOR TWO WEEKLY TV SHOWS

Dr. John W. Hutchinson of the College of Engineering believes a car-top camera that films moving traffic violations on Fayette County streets, already "has presented evidence of fewer violations."

The camera, built by graduate assistants Bennie R. Maffett and Malcolm F. Howard, is utilized in producing two films a week that are shown on WKYT-TV (Tuesdays and Thursdays at 6:25 p.m.). Dr. Hutchinson explains: "We are researching educational potentials of mass media by showing drivers mistakes they make, in localities with which they are familiar because they drive through them often."

He said safety experts estimate that if only one per cent of all drivers can be induced through education of this type to instinctively obey traffic regulations, the accident rate can be substantially reduced.

Extensive records are being maintained by Dr. Hutchinson's research team, and at the end of the TV series, comparative, or "before-and-after," statistics will be evaluated. The project is sponsored by a federal grant under Title I of the Higher Education Act of 1965.

17. RESEARCH PROJECT AIMED AT CONTROLLING COMMON HOUSE FLY

A pilot study at the University Poultry Farm by Dr. J. G. Rodriguez of the Department of Entomology is aimed at control of the common house fly. A four-year grant from the Public Health Service is for a project entitled "Biological Control of Muscidae," and will include studies of several macrochelid mites which show promise as predators of house fly eggs. Dr. Rodriguez and his associates have discovered that the mites can be mass reared on a free living nematode which itself can be easily mass reared to feed the mites. The researchers plan eventually to release large numbers of mites in fly breeding areas. For the first year the grant amounts to \$23,632.

18. STUDY OF ELIZABETHTOWN-HARDIN COUNTY SCHOOLS IS BEGUN

A study of the problems facing the Hardin County and Elizabethtown school systems was begun in mid-February by a College of Education group. School officials said the city's rapid growth have brought on many problems. The study was requested by the Elizabethtown-Hardin County Chamber of Commerce, which will pay about one fourth of the cost. The rest will be provided by UK from a special state fund. Completion date has not been set.

19. INTROSPECTION SERIES FETES STUDENTS, FACULTY, STAFF

Students, faculty and members of the administrative staff have been participating in a series of six discussions called FOCI, or Focus on Campus Introspection. Beginning February 22, the University Club-sponsored series will be concluded on April 5 with a discussion of "The Faculty Looks at the Academic Program." Speakers for that session are John Carpenter and Michael Adelstein; respondents are Lewis Cochran and Stuart Forth.

The opening session was "The AAUP Looks at the University," with Paul Mandelstam, William Plucknett and Stanford Smith, speakers; Morris Cierley and John Barrows, respondents. On March 1, "The Students Look at the University," featured Marsha Fields and Winston Miller, speakers, and Robert Johnson and Elbert Ockerman, respondents. On March 8 the topic was "The Community Colleges Look at the University," with James Owen and Mrs. Opal Conley. Respondents were Ellis Hartford and A. D. Albright.

The next session, on March 22, "The Faculty Looks at Fringe Benefits," will feature Gerard Silberstein and Earl Kauffman, with Robert Kerley and R. D. Johnson responding. The topic on March 29 is "The Faculty Looks at the University's Expanding Image," Richard Butwell and Stanley Zyzniewski, with A. D. Albright and Willis Griffin serving as respondents.

20. ENGINEERING, AGRICULTURE STUDENTS ARE HONORED

L. Berkley Davis, Jr., Lexington graduate student in engineering, has received the Harry E. Bullock, Jr., award as the outstanding student in mechanical engineering. The award is presented annually by Mrs. Harry E. Bullock, Pisgah Pike, in memory of her son. Davis is working on two research projects related to space travel.

James Fegenbush, Louisville junior, received the Joseph B. Edmond Student Award at a ceremony in New Orleans for his paper entitled "Horticulture Business at the University of Kentucky." The paper received second place honors in the student section of the Southern Region of the American Society for Horticulture.

21. TODAY'S STUDENT IS BETTER PREPARED, DR. HATCH REPORTS

Dr. Maurice Hatch, director of freshman English composition, reports that "students coming in now are better prepared than those of 20 years ago, or even ten years ago." He said the failure rate among 2,472 students in freshman English last fall was only 12 per cent, and that among the top 15 per cent who had their own special sections, only two per cent failed, while 65 per cent made A's and B's. In the regular sections, he said, 27 per cent made A's and B's. Seven years ago, the failure rate was 22 per cent, which Dr. Hatch called "the highest rate ever." He said today's student was more serious, industrious, and better prepared, and they "are a great pleasure to work with."

22. PLACEMENT SERVICE SERVES 2,000 STUDENTS A YEAR

This year more than 2,000 student will pass through the University Placement Service, and over 500 company recruiters will be on hand to interview them during 1,500 one-or two-day campus visits, Mrs. Katherine Kemper, director of the Placement Service, reports.

The University was among the first in the country to provide such a service, beginning before 1900. The service in those days was primarily for engineering students, and the work was done by the professors in their spare time. Other departments began to cooperate, particularly the College of Education. Annual requests for teachers alone number 56,000, Mrs. Kemper says.

A bi-weekly schedule of recruiters' visits are posted, and students are provided with a study room (while waiting), counsellors' personal attention, and student resumes, or credentials, are maintained for future reference.

A glance at the service's files show many "Blue Chip" companies seeking UK seniors. Regular visits are maintained by Dow Chemical, Union Carbide, The Courier-Journal, Sears & Roebuck, Caterpillar Tractor, Central Intelligence Agency, Department of Agriculture, Westinghouse, Inland Steel, Kentucky Utilities, Bendix--just a few of the 83 companies that visited the campus during one fortnight in January.

Mrs. Kemper says that in 1966, 61 per cent of the students registered with the Placement Service accepted jobs as a result of interviews provided by the Service. She said of the remaining 39 per cent: they went into the armed forces, or into family concerns, or returned for post-graduate work.

23. PERSONALITY TEST INTERPRETATION BY COMPUTER OBJECT OF STUDY

Development of a system to interpret psychological tests by electronic computer will be studied in an inter-disciplinary project by researchers in the Colleges of Medicine and Education. A grant of \$230,333, from the Vocational Rehabilitation Administration will aid Dr. Joseph C. Finney, associate professor of psychiatry, and Dr. Charles D. Auvenshine, assistant professor of education, in the development of a system that will allow rapid interpretation of various personality tests, eliminating time-consuming human error. A similar system is currently being used at the Mayo Clinic, Rochester, Minn., processing 50,000 patients a year.

24. HALLAM HISTORY AWARD GOES TO DR. A. D. KIRWAN

Dr. A. D. Kirwan, professor of history and former dean of the Graduate School, has been named Theodore Hallam Professor of History for 1967-69. He was named to the chair by a majority vote of the Department of History faculty. The award was announced March 8 at the annual banquet of Phi Alpha Theta, history honorary. Dr. Kirwan currently is on leave of absence, serving as Fulbright professor of American history at the University of Vienna, Austria.

The Hallam professorship was provided by the will of Miss Alice Hallam of Covington, daughter of Theodore Hallam. The Alice Hallam award for the best book published by a member of the history department went to Dr. William J. Chambliss, assistant professor of history, currently on leave, serving as a Fulbright Research Scholar in Tokyo. His book, "Chiaraijima Village," was written partly as a result of his studies in Japan in 1956, when he was on an earlier Fulbright scholarship.

Alice Hallam award for the best article: one entitled "The Russian-Polish Crucible of the 1860's," by Dr. Stanley Zyzniewski, associate professor of history. At the same time it was announced that the Phi Alpha Theta key was presented to Connie Mullins, Louisville senior.

25. STUDENTS ORGANIZE BLOOD DONOR SERVICE

Under the sponsorship of the Student Health Service, a group of students are gathering names for a file of possible blood donors. The file will be placed at the hospital and anytime blood of a particular type is needed, names of willing donors will be available. If a donor is in need of blood, he may receive free an amount equal to any that he may have given. Several campus organizations have joined in the recruiting of students for the project, and to date, more than 300 names have been placed in the file. Medical technologists are in the process of typing the blood of the potential donors.

26. FILM ON UNIVERSITY PROGRAM SEEN IN JAPAN

A news-feature film made by CBS on the University campus late last fall for showing on the national network was shown by N.H.K. (Japan Broadcasting Company) on December 23, and has apparently created some interest among Japanese viewers. Shinichi Suzue, of Osaka, Japan, recently wrote UK President John W. Oswald that he was "very much pleased to see that Kentucky University has opened what we might call classes for adult education." The film, depicting the University's Donovan Program, presented Dr. Earl Kauffman, director of the program, in addition to several of the senior citizens enrolled in classes at the University through the program.

27. FEBRUARY RESEARCH AWARDS EXCEED \$902,000

The University Research Foundation reports that during February a total of 35 awards were received, amounting to a total of \$902,633.86.

The February awards were distributed as follows:

COLLEGE OF AGRICULTURE

Department of Animal Science--C. H. Chaney, \$2,000, Evaluation of Antibiotics and Vitamins in Rations for Swine, Chas. Pfizer and Company, Inc.

Department of Agronomy--D. E. Peaslee and J. L. Ragland, \$3,600, Environmental Effects on Potassium Nutrition of Corn, American Potash Institute, Inc. N. L. Taylor, \$1,500, Hybridization of Red Clover, Farmers Forage Research Cooperative.

Department of Veterinary Science--J. H. Drudge, \$5,198.36, Continuing Support for Equine Disease Research, Fort Dodge Laboratories.

COLLEGE OF ARTS AND SCIENCES

Department of Geology--L. J. Campbell, \$4,200, Undergraduate Research Participation, National Science Foundation.

Department of Psychology--A. J. Lott, \$3,400, Mobile Facility for Social Psychological Research, National Science Foundation.

Department of Social Work--H. E. Wetzel, \$6,000, Social Work Personal Services Contract, Kentucky Department of Economic Security.

COLLEGE OF EDUCATION

Bureau of School Services--P. Street, \$2,600, Study of Elizabethtown-Hardin County School Districts, Elizabethtown-Hardin County Chamber of Commerce.

COLLEGE OF ENGINEERING

Department of Civil Engineering--J. A. Dearing and C. F. Davis, \$10,423, Evaluation of the Function of Models in Interchange Design, Kentucky Department of Highways.

Department of Electrical Engineering--J. S. Jackson and J. W. Petway, \$10,000, Mark 19 Train and Elevation Power Drive Design Improvement, U.S. Navy.

COMMUNITY COLLEGES

Southeastern Community College--J. C. Falkenstine, \$5,818, Southeastern Community College Equipment Grant, U. S. Office of Education.

Northern Community College--T. L. Hankins, \$6,455, Northern Community College Equipment Grant, U. S. Office of Education.

Elizabethtown Community College--J. S. Owen, \$6,920, Elizabethtown Community College Equipment Grant, U. S. Office of Education.

COMPUTING CENTER

Computing Center--S. O. Navarro, \$2,400, Computer Services for Fort Knox, Kentucky, U. S. Army.

MEDICAL CENTER

Office of the Vice President--W. H. McBeath, \$346,760, Ohio Valley Region (Medical Program Planning Grant), National Advisory Council on Regional Medical Programs.

COLLEGE OF DENTISTRY

Office of the Dean--A. L. Morris, \$53,542, College of Dentistry General Research Support Grant, National Institutes of Health.

Department of Oral Diagnosis and Oral Medicine--S. F. Dachi, \$12,960, Oral Manifestations of Local and Systemic Irritants, National Institutes of Health.

COLLEGE OF MEDICINE

Office of the Dean--T. F. Whayne, \$190,430, College of Medicine General Research Support Grant, National Institutes of Health. B. Eiseman, M. T. Romano and C. B. Wilson, \$15,809, The Development of Video Tape Recording for Transfer of Teaching Material to Students in an Affiliated Hospital, National Fund for Medical Education.

Department of Anatomy--R. O. Lambson, \$19,671, E/M Study of Transport Systems in Yolk-Sac Epithelium, National Institutes of Health.

Department of Anesthesiology--R. P. Harbord, \$3,000, Effect of Doxapram on Respiratory Function, A. H. Robins Company, Inc.

Department of Biochemistry--A. X. Apostolopoulos, \$12,806, Molecular Structure of Human Salivary Mucoproteins, National Institutes of Health. S. K. Chan, \$31,102, Biosynthesis of Cytochrome C and its Control Mechanism, National Institutes of Health. A. D. Winer, \$19,817, Mechanism of Action of Enzyme-Coenzyme Complexes, National Institutes of Health.

Department of Community Medicine--A. C. I. Adams, \$1,300, Community Medicine Clerkship Program, Kentucky Tuberculosis and Respiratory Disease Association. K. W. Deuschle, \$1,000, International Community Medical Clerkships, The Wm. Merrell Company.

Department of Medicine--E. D. Rees, \$22,022, Karotypes of Rats from Strains of Different Susceptibility to Mammary Cancer Induction, American Medical Association. J. W. Schaefer, \$28,254, Prevention of Hepatitis After Cardiovascular Surgery, National Institutes of Health.

Department of Pathology--H. C. Bickley, \$9,577, Experimental Myocardial Infarction in Vitro, National Institutes of Health.

Department of Pediatrics--C. C. Mabry, \$15,120, Development of High Voltage Electrophoresis Uses, National Institutes of Health.

Department of Pharmacology--D. E. Knapp, \$15,681, Peripheral Nerve Tolerance to Local Anesthetic Action, National Institutes of Health.

Department of Physiology and Biophysics--D. R. Wekstein, \$4,510, Postnatal Development of Physiological Rhythms, National Institutes of Health.

Department of Surgery--H. M. Kauffman, \$26,098, Local Graft Radiation, Uremia and Kidney Transplants, National Institutes of Health.

Hospital Pharmacy Central Supply--P. F. Parker, \$100, Drug Information Center, Wyeth Laboratories.

OTHER

Kentucky Library Associates, \$2,560.50

28. SIGNIFICANT ACTIVITIES OF FACULTY AND STAFF

Dr. Doris M. Seward, dean of student affairs planning, has been named chairman of the Professional Standards and Ethics Committee of the National Association of Women Deans and Counselors.

Dr. Eric Hicks, assistant professor of French, has been awarded a summer research stipend for study in France by the National Endowment for the Humanities. He is one of 287 scholars throughout the U. S. to share in the fund's first fellowship awards.

Dr. M. Ward Crowe, assistant professor of veterinary medicine, has been elected secretary-treasurer of the Kentucky Veterinary Medical Association.

Dr. Charles W. Hackensmith, professor of physical education, is one of four 1967 Honor Award recipients of the Southern District of the American Association for Health, Physical Education and Recreation. A second recipient of the award is Dr. William E. McCubbin, alumnus and acting chairman of the Physical Education Department, 1946-47, now at Virginia Polytechnic Institute.

Dr. Burton S. Guttman, assistant professor of cell biology, is the author of a text "General Biology: An Introduction to Molecular and Cellular Biology," published as a Preliminary Edition by W. A. Benjamin, Inc. of New York.

Dr. Ernst Jokl, professor of physical education, will address the fortieth annual meeting of the American Association for the History of Medicine, on April 24, at Yale University. On Tuesday, April 4, Dr. Jokl will deliver the annual Arts and Sciences Distinguished Professor lecture. His topic will be: "Limitation of Human Performance." Dr. Jokl holds the honor for the current year.

The 20th annual meeting of the Southern Humanities Conference, at Mississippi State University, March 31 and April 1, will feature on a panel on scholarly periodicals at its first afternoon session, Dr. Lawrence S. Thompson, professor of classics and book review editor for the Bibliographical Society of America, and William Jerome Crouch, senior editor for the University of Kentucky Press, who will participate in a panel on university presses.

Dr. James L. Gibson, associate professor of business administration, has been chosen for inclusion in the 1967 edition of "Outstanding Young Men of America," an annual biographical compilation of young men between the ages of 21 and 35 who have distinguished themselves in one or more fields of endeavor.

Dr. Wyatt M. Insko, Jr., professor of animal science, was a member of the subcommittee on Avian Standards, Institute of Laboratory Animal Resources of the National Research Council that has just issued its report, entitled: "Standards and Guidelines for the Breeding, Care, and Management of Laboratory Animals."

Dr. Charles O. Little, associate professor of animal science, was the recipient of the Thomas Poe Cooper Agriculture Research Award, presented March 2 at the annual College of Agriculture and Home Economics dinner.

Dean Lyman V. Ginger of the College of Education has been elected president of the Lexington-Fayette County United Community Fund. Vice president of UCF for the past year, he has been acting president of the organization since December, and active in UCF work for 16 years. Dr. Stanley Wall was also elected to a three-year term on UCF's Assembly of Representatives.

A historical and architectural research study of buildings in Georgetown, D. C., conducted during the summer of 1966 by Dr. James P. Noffsinger, associate professor of architecture, will be published in two volumes by the Department of Interior, National Park Service, and the Commission of Fine Arts, Washington, D. C. The work will be in print within the next six months.

Office of the President
March 17, 1967

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Financial Report (See Attachment 1)

Recommendation: that the financial report covering the eight months' period ending February 28, 1967 be accepted and made a part of the March 17, 1967 meeting.

Background: The letter of transmittal from Mr. Robert F. Kerley is self-explanatory and indicates that the University is operating on a sound financial basis.

II. Graduate Faculty Appointments

Recommendation: that approval be given to the following persons for membership in the Graduate Faculty.

Background: The persons whose names appear below have been approved by the Board of Trustees as associate professors or professors and are now eligible for membership in the Graduate Faculty.

Hans Conrad, Professor of Metallurgical Engineering
Margaret Lantis, Professor of Anthropology
William C. Templeton, Jr., Professor of Agronomy

III. Easement to City of Hopkinsville

Recommendation: that the President be authorized to convey an easement to the City of Hopkinsville for an 8 inch water line across the Hopkinsville Community College property.

Background: This is a routine request for a water line easement. The line will provide service for the college and has been examined by the Planning and Design Division of the University.

IV. Quarterly Report on Gifts and/or Grants (See Attachment 2)

Recommendation: that the report on gifts and/or grants covering the period from December 1, 1966 to February 28, 1967 be accepted and made a matter of record.

Background: The attached report represents the gifts and/or grants accepted by the President on behalf of the Board of Trustees for the first quarter of 1967 in accordance with the policy adopted by the Board of Trustees on November 19, 1965. Acknowledgement of the gifts and/or grants has been made to all donors by the President on behalf of the Board.

V. Appointments and Other Staff Changes

Recommendation: that approval be given to the appointments and/or other changes which require Board action and that the report relative to the appointments and/or changes already approved by the administration be accepted.

Background: Approval of the Board of Trustees is required for all appointments to positions with the rank of Associate Professor and above, for promotions, for the granting of tenure, and for salary changes above \$12,000 for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

BOARD ACTION

New Appointments

Paul William Abeles, Visiting Professor, Department of Civil Engineering and Institute of Theoretical and Applied Mechanics, beginning February 1, 1967 through May 31, 1967

Stephen J. Manning, Professor, (with tenure), Department of English, College of Arts and Sciences, beginning September 1, 1967

Franklin B. Zimmerman, Professor, (with tenure), Department of Music, College of Arts and Sciences, beginning September 1, 1967

Resignations

Bernhardt L. Pederson, Assistant Professor, Department of Obstetrics and Gynecology, College of Medicine, effective March 31, 1967

Resignation Withdrawn

P. P. Karan, Professor, Department of Geography, College of Arts and Sciences, effective June 30, 1967, resignation withdrawn

Leaves of Absence

John Cutler, Associate Professor, Department of English, College of Arts and Sciences, leave without pay for the academic year 1967-68

Frederick W. Whiteside, Jr., Professor, College of Law, leave without pay, April 1, 1967 to May 31, 1967

Alvin L. Goldman, Assistant Professor, College of Law, leave without pay,
1967-68 academic year

W. Garrett Flickinger, Associate Professor, College of Law, leave without pay,
1967-68 academic year

John A. Rea, Assistant Professor, Department of French Language and Literature,
leave without pay, 1967-68 academic year

Herbert Riley, Professor, Department of Botany, leave without pay, 1967-68
academic year

Granville King, Area Extension Agent, College of Agriculture and Home Economics,
sabbatic leave for the spring semester, February 1, 1967 to June 30, 1967

Correction in Minutes

On page 2, PR 2, December 13, 1966, Promotion: Roy K. Jarecky -- change "Departments of Medicine and Dentistry" to "Department of Behavioral Science, Colleges of Medicine and Dentistry"

ADMINISTRATIVE ACTION

New Appointments

Mrs. Marion Jokl Ball, Instructor, Department of Behavioral Science, College of Medicine, beginning December 1, 1966 to June 30, 1968

Gary L. Cromwell, Assistant Professor, Department of Animal Sciences, College of Agriculture and Home Economics, effective June 19, 1967 to June 30, 1968

Robert M. Ireland, Assistant Professor, Department of History, beginning September 1, 1967 to June 30, 1968

Gary D. Christian, Assistant Professor, Department of Chemistry, beginning September 1, 1967 to June 30, 1968

Kazuko Yoneyama, Clinical Instructor, Department of Pathology, College of Medicine, beginning January 1, 1967

George R. Ellis, Assistant Clinical Professor, Department of Medicine, College of Medicine, beginning January 1, 1967

Director Named

Vernon James, Assistant Professor of Pediatrics, College of Medicine, also named Director of the Center for Handicapped Children, retroactive to February 1, 1967

Department Chairman Named

James R. Rooney, Professor (with tenure), Department of Veterinary Science, College of Agriculture and Home Economics named Chairman of the Department of Veterinary Science, effective September 1, 1967

Action: Approved X Disapproved Other

Date: March 17 , 1967

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506

OFFICE OF VICE PRESIDENT
BUSINESS AFFAIRS AND TREASURER

March 6, 1967

Dr. John W. Oswald, President
University of Kentucky
Lexington
Kentucky

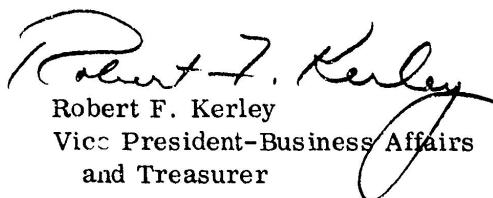
Dear Dr. Oswald:

Submitted herewith is the interim financial report of the University of Kentucky for the period July 1, 1966 through February 28, 1967. This report consists of a balance sheet for the thirteen fund groups, and statements of income and appropriations showing the financial transactions for the eight months ended February 28, 1967.

<u>Exhibit</u>	<u>Name</u>	<u>Page</u>
A	Balance Sheet	1
B	Summary of Current Funds Income	7
C	Summary of Current Funds Expenditures	9
D	Statement of Unexpended Plant Funds	12
E	Statement of Retirement of Indebtedness Funds	20
F	Statement of Sinking Funds	23
G	Summary of Other Funds Transactions	24
	1. Loan Funds	
	2. Endowment Funds	
	3. Annuity Funds	
	4. Agency Funds	

This report is intended to form a comprehensive and permanent record of the finances of the University for the period covered.

Respectfully submitted,


Robert F. Kerley
Vice President-Business Affairs
and Treasurer

/rts

EXHIBIT A

University of Kentucky
Balance Sheet
February 28, 1967ASSETS

I. Current Funds:

A. General:

Cash in bank:

Petty cash funds	\$ 219,313.39
Federal funds	843,183.40
Depository funds	<u>3,022,117.49</u>
Total cash in bank	4,084,614.28
Due from State Treasurer	3,062,698.26
Cash on deposit with State Treasurer	3,732,775.65
Available balance, state appropriations	4,845,404.03
Due from current restricted funds	655,860.74
Due from unexpended plant funds	559,975.00
Accounts receivable	3,400.40
Notes receivable	72,000.00
Inventories	1,225,183.34
Unrealized income (Exhibit B)	8,778,969.57
Working capital	<u>598,795.12</u>

Total general

\$27,619,676.39

B. Hospital and clinics:

Cash in bank	173,517.89
Available balance, state appropriations	99,476.58
Accounts receivable- net	2,729,514.69
Inventories	637,883.80
Unrealized income (Exhibit B)	1,119,247.59
Working capital	<u>430,416.03</u>

Total hospital and clinics

5,190,956.58

C. Restricted:

Cash in bank:

Depository funds	107,834.66
Revolving funds	<u>913,000.00</u>
Total cash in bank	1,020,834.66
Petty cash advances	127,334.85
Investments	4,251,194.49
Accounts receivable	164,896.61
Unrealized income (Exhibit B)	<u>1,254,057.89</u>

Total restricted

6,818,318.50

University of Kentucky
Balance Sheet
February 28, 1967

EXHIBIT A

LIABILITIES

I. Current Funds:

A. General:

Accounts payable	\$ 1,905.15
Due to payroll account	482,011.65
Advance from State for petty cash funds	2,800,000.00
Encumbrances outstanding	2,989,452.65
Departmental appropriations (Schedule C)	19,548,149.60
Surplus:	
Reserve for inventories	1,225,183.34
Reserve for notes receivable	72,000.00
Special reserve- University Hospital	309,000.00
Unappropriated	191,974.00

Total general

\$27,619,676.39

B. Hospital and clinics:

Due to other funds	113,300.57
Encumbrances outstanding	483,190.67
Departmental appropriations (Exhibit C)	3,569,617.88
Surplus:	
Reserve for inventories	637,883.80
Unappropriated	386,063.66

Total hospital and clinics

5,190,056.58

C. Restricted:

Encumbrances outstanding	118,675.56
Due to other funds	2,301,379.38
Outstanding check liability	25,379.46
Reserve for deposits and clearing	1,091,659.46
Departmental appropriations (Exhibit C)	2,812,278.01
Fund balance	468,946.63

Total restricted

6,818,318.50

EXHIBIT A

University of Kentucky
Balance Sheet
February 28, 1967ASSETS

D. Housing and dining system:

Cash in bank	\$ 584,702.38
Inventories	541,397.82
Unrealized income (Exhibit B)	1,720,960.90
Funded future bond interest expense	<u>1,606,967.50</u>

Total housing and dining system \$ 4,454,028.60

E. Auxiliary enterprises:

Cash in bank	1,689,886.03
Inventories	188,790.82
Unrealized income (Exhibit B)	<u>375,569.07</u>

Total auxiliary enterprises 2,254,245.92

TOTAL CURRENT FUNDS \$ 46,336,325.99

II. Loan Funds:

Cash in bank	\$ 60,469.79
Notes receivable	2,965,121.19
Investments	26,500.00
Due from Federal Government	3,520.99
Due from United Student Aid loan fund	2,000.00

TOTAL LOAN FUNDS \$ 3,057,611.97

III. Endowment Funds:

Cash in bank	2,590.53
Cash on deposit with Trustee	32.97
Investments	<u>436,603.93</u>

TOTAL ENDOWMENT FUNDS \$ 439,227.43

University of Kentucky
Balance Sheet
February 28, 1967

EXHIBIT A

LIABILITIES

D. Housing and dining system:		
Encumbrances outstanding	\$ 403,322.16	
Bonds payable	1,606,967.50	
Departmental appropriations (Exhibit C)	2,417,857.69	
Fund balances	<u>25,881.25</u>	
Total housing and dining system		\$ 4,454,028.60
E. Auxiliary enterprises:		
Encumbrances outstanding	229,608.07	
Departmental appropriations (Exhibit C)	648,648.24	
Fund balances	<u>1,375,989.61</u>	
Total auxiliary enterprises		<u>2,254,245.92</u>
TOTAL CURRENT FUNDS		<u>\$ 46,336,325.99</u>
II. Loan Funds:		
Federal sponsored loan funds:		
Federal government	\$ 2,617,155.51	
University	290,495.10	
Accumulated interest income	<u>35,362.45</u>	
Total Federal sponsored loan funds		\$ 2,943,013.06
University loan funds:		
University student loan funds	56,443.04	
University special student loan funds	56,155.87	
United Student Aid loan fund	<u>2,000.00</u>	
Total University loan funds		<u>114,598.91</u>
TOTAL LOAN FUNDS		<u>\$ 3,057,611.97</u>
III. Endowment Funds:		
Funds balances	\$ 439,227.43	
TOTAL ENDOWMENT FUNDS		<u>\$ 439,227.43</u>

EXHIBIT A

University of Kentucky
Balance Sheet
February 28, 1967ASSETS

IV. Annuity Funds:

Investments

\$ 27,742.00

TOTAL ANNUITY FUNDS

\$ 27,742.00

V. Plant Funds:

A. Unexpended plant funds:

Cash on deposit with State Treasurer

\$ 442,045.63

State capital construction funds

8,783,837.37

Total unexpended plant funds

\$ 9,225,883.00

B. Retirement of indebtedness funds:

Cash in bank

32,493.31

Cash on deposit with State Treasurer

252,806.03

Cash on deposit with Trustee

799.20

Investments held by Trustee

209,200.80

Accounts receivable

11,747.50

Unrealized income (Exhibit E)

1,697,063.89

Total retirement of indebtedness funds

2,204,110.73

C. Sinking funds:

Cash on deposit with Trustee

13,629.32

Investments

8,487,663.33

Total sinking funds

8,501,292.65

D. Invested in plant:

Land

4,657,485.41

Buildings

96,977,809.00

Equipment

22,435,538.00

Total invested in plant

124,070,832.41

TOTAL PLANT FUNDS

\$ 144,002,118.79

VI. Agency Funds:

Cash in bank

\$ 1,253,416.56

Investments

11,535.96

TOTAL AGENCY FUNDS

\$ 1,264,952.52

University of Kentucky
Balance Sheet
February 28, 1967

EXHIBIT A

LIABILITIES

IV. Annuity Funds:

Funds balances \$ 27,742.00

TOTAL ANNUITY FUNDS \$ 27,742.00

V. Plant Funds:

A. Unexpended plant funds:

Reserve for encumbrances \$ 6,207,170.29

Funds available:

Due to current general funds 559,975.00

Due to other governmental units- net 447,492.00

Bonds payable 21,512,724.37

Funds balances unexpended (19,501,478.66)

Net funds available (Exhibit D) 3,018,712.71

Total unexpended plant funds \$ 9,225,883.00

B. Retirement of indebtedness funds:

Funds balances (Exhibit E) 2,204,110.73

Total retirement of indebtedness funds 2,204,110.73

C. Sinking funds:

Bonds payable 3,596,000.00

Funds balances (Exhibit F) 4,905,292.65

Total sinking funds 8,501,292.65

D. Invested in plant:

Bonds payable 30,748,308.13

Due to other governmental units 401,000.00

Net investment in plant 92,921,524.28

Total invested in plant 124,070,832.41

TOTAL PLANT FUNDS \$ 144,002,118.79

VI. Agency Funds:

Agency funds balances \$ 1,264,952.52

TOTAL AGENCY FUNDS \$ 1,264,952.52

(indicates negative amount)

University of Kentucky
Summary of Current Funds Income
For the Eight Months Ended February 28, 1967

EXHIBIT B

Fund and Source:	<u>Estimated</u>	<u>Realized</u>	<u>Unrealized</u>
A. General:			
Student fees	\$ 6,058,645.00	\$ 5,790,657.76	\$ 267,987.24
State appropriations	33,487,100.00	28,173,493.00	5,313,607.00
Federal appropriations	4,758,584.97	3,495,838.44	1,262,746.53
County appropriations	570,638.13	353,253.73	217,384.40
Endowment income	46,200.00	28,835.69	17,364.31
Gifts and grants	1,370,596.93	91,513.29	1,279,083.64
Sales and services	693,375.00	569,312.75	124,062.25
Organized activities related to educational departments	295,000.00	203,187.13	91,812.87
Regulatory fees	551,000.00	386,669.58	164,330.42
Rental income	44,000.00	15,248.44	28,751.56
Ticket sales	27,481.00	15,977.27	11,503.73
Interest income	1,300.00	1,202.54	97.46
Vending machines	3,300.00	3,061.84	238.16
Fund balances appropriated	1,315,870.77	1,315,870.77	
Restricted fund balances appropriated	183,978.99	183,978.99	
Prior year encumbrances appropriated	919,449.07	919,449.07	
Total general fund	<u>50,326,519.86</u>	<u>41,547,550.29</u>	<u>8,778,969.57</u>
B. Hospital and clinics:			
Gross income:			
In-patient care	5,242,638.00	3,478,689.44	1,763,948.56
Out-patient care	791,572.00	525,043.92	266,528.08
Sales and services:			
Sale of prepared foods	288,635.00	193,480.95	95,154.05

University of Kentucky
Summary of Current Funds Income
For the Eight Months Ended February 28, 1967

EXHIBIT B

Fund and Source:	<u>Estimated</u>	<u>Realized</u>	<u>Unrealized</u>
B. Hospital and clinics:			
Gross income:			
Sales and services (continued)			
Personal services	\$ 148,414.00	\$ 80,191.63	\$ 68,222.37
Rental of uniforms	18,000.00	6,882.00	11,118.00
Pharmacy services	49,900.00	21,174.28	28,725.72
Catering services	9,565.00	5,824.56	3,740.44
Other	19,900.00	4,435.49	15,464.51
Employee health program	26,237.00	14,976.74	11,260.26
Student health program	77,227.00	26,184.86	51,042.14
Other hospital income	13,861.00	15,531.75	(1,670.75)
Total gross income	6,685,949.00	4,372,415.62	2,313,533.38
Allowances:			
Approved financial allowances	(2,950,891.00)	(765,613.89)	(2,185,277.11)
Bad debts	(295,401.68)		(295,401.68)
Net income	3,439,656.32	3,606,801.73	167,145.41
State appropriation	5,056,800.00	3,770,407.00	1,286,393.00
Prior year encumbrances appropriated	110,686.30	110,686.30	
Total hospital and clinics	8,607,142.62	7,487,895.03	1,119,247.59
C. Restricted:	4,989,398.87	3,735,340.98	1,254,057.89
D. Housing and dining system	6,625,949.00	4,904,988.10	1,720,960.90
E. Auxiliary enterprises	1,779,519.36	1,403,950.29	375,569.07
TOTAL CURRENT FUNDS INCOME	\$ 72,328,529.71	\$ 59,079,724.69	\$ 13,248,805.02

(indicates negative amount)

University of Kentucky
Summary of Current Funds Expenditures
For the Eight Months Ended February 28, 1967

EXHIBIT C

Fund and Function:	<u>Appropriations</u>	<u>Expenditures</u>	<u>Encumbrances</u>	<u>Unencumbered Balance</u>
A. General:				
I. Departments of instruction and research	\$ 18,316,058.56	\$ 10,445,895.36	\$ 591,783.88	\$ 7,278,379.32
II. Community college	2,642,871.81	1,573,913.00	57,129.41	1,011,829.40
III. Summer sessions	497,612.92	496,821.32	161.70	629.90
IV. Organized activities	460,014.11	231,353.51	81,535.05	147,125.55
V. Organized research	5,372,891.09	3,145,865.96	558,839.87	1,668,185.26
VI. Libraries	1,677,145.27	892,186.94	37,903.31	747,055.02
VII. Extension and public services	6,780,310.52	4,185,973.99	133,971.68	2,460,364.85
VIII. Maintenance and operation of plant	3,192,678.87	1,977,927.83	328,299.37	886,451.67
IX. Major improvements	119,765.00	72,231.87		47,533.13
X. Acquisition of equipment	368,474.07	192,896.09	61,758.75	113,819.23
XI. General administration	1,796,489.51	997,315.68	28,717.53	770,456.30
XII. Student services	1,864,803.21	1,195,579.30	50,458.47	618,765.44
XIII. Staff benefits	2,806,000.00	1,614,833.25		1,191,166.75
XIV. General institutional services	1,765,103.23	865,724.90	304,882.39	594,495.94
XV. Service enterprises	180,086.74	54,500.06	151,747.53	(26,160.85)
XVI. Debt service cost	1,365,000.00	443,925.90		921,074.10
XVII. Student aid	6,600.00	4,236.36		2,363.64
XVIII. Reserves	<u>1,114,614.95</u>			<u>1,114,614.95</u>
Total general	<u>50,326,519.86</u>	<u>28,391,181.32</u>	<u>2,387,188.94</u>	<u>19,548,149.60</u>

(indicates negative amount)

University of Kentucky
Summary of Current Funds Expenditures
For the Eight Months Ended February 28, 1967

EXHIBIT C

Fund and Function:	<u>Appro-</u> <u>priations</u>	<u>Expenditures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
B. Hospital and clinics:				
I. Hospital staff	\$ 523,495.00	\$ 329,334.82	\$ 116.00	\$ 194,044.18
II. Administration and general	786,999.87	457,079.06	52,006.88	277,913.93
III. Dietary	729,085.34	344,365.56	3,409.65	381,310.13
IV. Household and property	463,301.54	379,292.45	19,341.41	64,667.68
V. Nursing service	2,414,068.11	1,682,837.95	8,002.83	723,227.33
VI. Medical and surgical services	811,665.42	600,127.33	26,336.96	185,201.13
VII. Laboratories	608,442.37	428,506.03	12,105.82	167,830.52
VIII. Radiology	385,904.01	181,885.22	55,659.09	148,359.70
IX. Other special services	302,162.13	210,577.97	4,689.71	86,894.45
X. General services	358,068.83	216,088.27	238.83	141,741.73
XI. Acquisition of equipment	50,000.00	12,689.57	12,833.33	24,477.10
XII. General reserves	46,000.00			46,000.00
XIII. Activation reserve (318 to 364 bed level)	279,231.00			279,231.00
XIV. Activation- special reserve (364 to 456 bed level)	848,719.00			848,719.00
Total hospital and clinics	<u>8,607,142.62</u>	<u>4,842,784.23</u>	<u>194,740.51</u>	<u>3,569,617.88</u>

C. Restricted:

I. Departments of instruction and research	77,831.81	47,126.19	8,663.52	22,042.10
II. Organized research	384,353.36	248,715.38	47,861.76	87,776.24
III. Libraries	11,295.00	511.51		10,783.49

University of Kentucky
Summary of Current Funds Expenditures
For the Eight Months Ended February 28, 1967

EXHIBIT C

Fund and Function:	<u>Appro-</u> <u>priations</u>	<u>Expenditures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
C. Restricted (continued)				
IV. Extension and public services	\$ 1,500,807.39	\$ 573,316.46	\$ 27,950.35	\$ 899,540.58
V. Acquisition of equipment	30,500.00	4,190.00		26,310.00
VI. Haggin Fund	28,900.00	20,039.62	63.00	8,797.38
VII. Student services	79,631.59	60,590.50	3,907.32	15,133.75
VIII. General institutional services	95,607.00	68,205.17	6,948.36	20,453.47
IX. Student aid	1,219,486.64	935,901.64	622.43	282,962.57
X. Acquisition of real property	30,000.00	3,321.54	1,950.00	24,728.46
XI. Construction	12,751.38	18,213.02		(5,461.64)
XII. Maintenance and operations	67,000.00	41,638.09	10,473.72	14,888.19
XIII. Reserves	<u>1,404,323.40</u>			<u>1,404,323.40</u>
Total restricted	<u>4,942,487.59</u>	<u>2,021,769.12</u>	<u>108,440.46</u>	<u>2,812,278.01</u>
D. Housing and dining system	<u>6,625,949.00</u>	<u>3,804,769.15</u>	<u>403,322.16</u>	<u>2,417,857.69</u>
E. Auxiliary enterprises	<u>1,779,519.36</u>	<u>901,263.05</u>	<u>229,608.07</u>	<u>648,648.24</u>
TOTAL CURRENT FUNDS				
EXPENDITURES	<u>\$72,281,618.43</u>	<u>\$ 39,961,766.87</u>	<u>\$ 3,323,300.14</u>	<u>\$28,996,551.42</u>

(indicates negative amount)

University of Kentucky
Statement of Unexpended Plant Funds
For the Eight Months Ended February 28, 1967

EXHIBIT D

Construction:	<u>Appro-</u> <u>priations</u>	<u>Expendi-</u> <u>tures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
1. Educational buildings:				
Chemistry-Physics	\$ 5,618,489.19	\$ 5,618,075.09	\$ 199.00	\$ 215.10
Commerce	1,855,639.08	1,824,576.42		31,062.66
Law	1,372,325.98	1,372,010.04		315.94
Engineering	2,395,449.92	2,356,775.06	3,011.13	35,663.73
Education	1,295,063.20	1,286,246.89		8,816.31
Classroom-office building	402,125.00	260,067.49	130,427.96	11,629.55
Subtotal	12,939,092.37	12,717,750.99	133,638.09	87,703.29
2. Community colleges:				
Ashland Community College	19,350.00		12,850.00	6,500.00
Elizabethtown Community College	14,250.00	5,999.00	4,251.00	4,000.00
Hazard-Blackey Community College	14,250.00			14,250.00
Henderson Community College	14,250.00		10,250.00	4,000.00
Hopkinsville Community College	1,025,925.00	1,006,889.52	10,250.00	8,785.48
Jefferson Community College	16,750.00		11,250.00	5,500.00
Maysville Community College	14,250.00		10,250.00	4,000.00
Northern Community College	14,250.00		10,250.00	4,000.00
Prestonsburg Community College	14,250.00		10,250.00	4,000.00
Somerset Community College	750,940.00	717,582.85	10,250.00	23,107.15
Southeast Community College	14,250.00		10,250.00	4,000.00
Subtotal	1,912,715.00	1,730,471.37	100,101.00	82,142.63
3. Medical Center:				
Medical Science	5,691,856.18	5,689,078.33		2,777.85
Dental Wing	2,459,396.00	2,447,158.87		12,237.13
Subtotal	8,151,252.18	8,136,237.20		15,014.98
4. Agricultural Experiment Station facilities:				
Student Quarters Building	53,642.00	36,925.09	14,593.45	2,123.46
Auditorium	464,077.00	445,983.28	17,236.20	857.52
Swine feeding shed	20,114.97	4,541.85	15,458.15	114.97
Subtotal	537,833.97	487,450.22	47,287.80	3,095.95

University of Kentucky
Statement of Unexpended Plant Funds
For the Eight Months Ended February 28, 1967

EXHIBIT D

Construction (continued)	<u>Appro-</u> <u>priations</u>	<u>Expendi-</u> <u>tures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
5. Student housing:				
Phi Kappa Tau Fraternity	\$ 203,225.00	\$ 197,026.56		\$ 6,198.44
Alpha Delta Pi Sorority	163,857.00	10,577.78	\$ 141,481.00	11,798.22
University of Kentucky residence halls-redecorating and refurnishing	4,500.00		4,500.00	
Undergraduate housing complex:				
Low-rise dormitories- phase				
I	4,976,695.61	4,684,098.28	261,581.72	31,015.61
Low-rise dormitories-				
No. 1, 2, 3	2,736,660.00	2,228,310.53	459,895.56	48,453.91
Central dining facility	2,310,401.38	151,499.56	141,390.40	17,511.42
Tower A and Tower B	6,828,568.50	3,338,952.01	3,366,129.06	123,487.43
Site development	790,426.74	618,296.41	111,059.32	61,071.01
Landscaping	288,231.60			288,231.60
Cooling plant-utilities and sewers	2,054,801.92	1,662,859.94	357,973.14	33,968.84
Offsite utilities	268,633.00	257,189.81		11,443.19
Miscellaneous	316,767.62	224,566.92	10,671.81	81,528.89
Subtotal	<u>20,942,768.37</u>	<u>15,373,377.80</u>	<u>4,854,682.01</u>	<u>714,708.56</u>
Total construction	<u>44,483,661.89</u>	<u>38,445,287.58</u>	<u>5,135,708.90</u>	<u>902,665.41</u>
Renovation and Improvements:				
Housing for 50 foot centrifuge	84,571.00	4,578.80	73,501.00	6,491.20
Food Storage Building-refrigeration	1,072.50		712.50	360.00
Medical Center renovation	5,000.00	2,724.64	2,275.36	
Pence Hall	246,419.18	228,541.67	6,600.00	11,277.51
Memorial Coliseum	34,575.00	33,471.62	282.86	820.52
Administration Building	260,814.28	255,218.56	2,191.73	3,403.99
Memorial Hall	5,200.00	21.43	2,445.00	2,733.57
Medical Center Laboratory	197,465.70	196,971.62		494.08
Experiment Station Building	30,061.74	22,528.21	1,793.23	5,740.30
Nuclear Engineering Research Laboratory	1,500.00	500.00		1,000.00
Engineering services	13,200.00	11,563.52	813.03	823.45
Remodeling Engineering Quadrangle	8,700.00	3,196.64	5,500.00	3.36
Animal care facilities for medical research	284,700.00	228,638.88	42,953.65	13,107.47
Coldstream Farm Water system	12,000.00	4,024.72	1,975.28	6,000.00

University of Kentucky
Statement of Unexpended Plant Funds
For the Eight Months Ended February 28, 1967

EXHIBIT D

Renovation and Improvements (continued)	<u>Appro-</u> <u>priations</u>	<u>Expendi-</u> <u>tures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
Horse Barn " C "	\$ 33,000.00	\$ 30,649.26		\$ 2,350.74
VA Hospital site	500.00			500.00
Funkhouser installation-electron microscope	9,500.00	8,687.83		812.17
Taylor Building- Personnel renovation	4,075.00	4,894.05		(819.05)
Renovation of Cell Biology	173,800.00	158,717.46	\$ 1,906.25	13,176.29
Medical Center psychiatric recreation area	6,400.00		6,400.00	
New primary electrical service	6,500.00	4,916.51		1,583.49
Renovation for University Housing Office	4,800.00		4,800.00	
Renovation for Health Service	600.00			600.00
Helistop at Medical Center	2,076.00	888.70		1,187.30
Renovation of Kastle Hall	308,977.00	297,653.39		11,323.61
Agricultural Science Center	129,235.00	125,446.23		3,788.77
Wood utilization center-student housing	2,500.00		1,000.00	1,500.00
Funkhouser Building-addition for telephone exchange and library	4,300.00		1,000.00	3,300.00
Student Center cafeteria and grill	75,000.00		71,330.00	3,670.00
University drive-phase I	168,181.00	11,323.89	1.00	156,856.11
Donovan Hall Cafeteria- refrigeration	1,000.00		1,000.00	
Medical Center M 100 CN Corridor	3,400.00	2,342.66		1,057.34
Agricultural Engineering Building- renovation	7,500.00	749.00	5,167.68	1,583.32
Medical Center room 202	3,460.00	2,747.93		712.07
Medical Center Clinical Laboratory	6,225.00		6,225.00	
Medical Center- install electron microscope			6,300.00	(6,300.00)
Medical Center- MS 306B Pathology	700.00	484.89		215.11
Tobacco and health research equipment installation	20,000.00	4,502.23	3,827.08	11,670.69
Taylor Building-renovation for Vocational Education	6,850.00		6,850.00	
Medical Center- MS 212-Anatomy	1,300.00	381.36		918.64

(indicates negative amount)

University of Kentucky
Statement of Unexpended Plant Funds
For the Eight Months Ended February 28, 1967

EXHIBIT D

Renovation and Improvements (continued)	<u>Appro-</u> <u>priations</u>	<u>Expendi-</u> <u>tures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
Lexington Theological Seminary telephone installation	\$ 950.00	\$ 685.20		\$ 264.80
Medical Center Surgical Animal Laboratory			\$ 10,890.00	(10,890.00)
Quicksand-temperature facilities	5,000.00		5,000.00	
Euclid Avenue Classroom Building	350.00	351.54	300.00	(301.54)
Administration Building-President's Office	9,200.00	5,129.54	3,667.48	402.98
Demolition of residential buildings for surface parking	6,300.00	6,300.00	2,000.00	(2,000.00)
Helen G. King Alumni House	50,000.00	896.56	1,794.13	47,309.31
Engineering Building-Chemical Engineering equipment installation	3,005.00		6,808.72	(3,803.72)
Bradley and Kinkead Halls	7,800.00	742.50	6,715.00	342.50
Medical Center M 100 CNI	4,370.00	3,208.54		1,161.46
Medical Center M 126, 128, 130, 136	5,500.00		5,500.00	
Medical Center M 132	3,560.00		3,560.00	
Medical Center MN 577, 578, 579, 581	3,525.00	2,709.77		815.23
Medical Center MN 328 and MN 348	2,500.00		2,500.00	
Medical Center MS 511 and MS 513	1,675.00		1,675.00	
Spindletop Farm -Building # 50- septic tank	2,400.00		2,400.00	
Spindletop Farm-Building- # 50 and 51 electric service and furnace installation	2,865.00		2,865.00	
College of Commerce-shelving	1,705.00		1,705.00	
Lafferty Hall-Press Office	10,400.00		10,400.00	
Lafferty Hall-Ladies Lounge	350.00		350.00	
Funkhouser Building- room 200- renovation	3,065.00	2,543.90		521.10
Taylor Building-room 140	300.00		300.00	
Kastle Hall- chalk board	1,125.00	606.92	518.08	
Maxwell Place-refurbishing	11,000.00	5,341.50	7,850.78	(2,192.28)
Post Office and Mail Room renovation	8,500.00		8,500.00	
Funkhouser Building- room 224	7,500.00		7,500.00	
McVey Hall- room 59	8,300.00		8,300.00	
McVey Hall- room 61	8,000.00		8,000.00	

(indicates negative amount)

University of Kentucky
Statement of Unexpended Plant Funds
For the Eight Months Ended February 28, 1967

EXHIBIT D

Renovation and Improvements (continued)	<u>Appro-</u> <u>priations</u>	<u>Expendi-</u> <u>tures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
Lafferty Hall-renovation for Anthropology Museum	\$ 10,400.00		\$ 10,400.00	
Reynolds Building No. 1-basement West end renovation	2,200.00		2,200.00	
Agricultural Experiment Station- rooms 139, 242, 232, 233- renovation for Agricultural Economics	4,650.00		4,650.00	
Anderson Hall- 2nd floor west wing-lobby area renovation	1,300.00		1,300.00	
Medical Center- room MN 265- renovation for Department of Surgery	1,930.00		1,930.00	
Service Building- room 221 renovation	2,100.00		2,100.00	
Agriculture Building-additional electrical distribution	6,000.00		3,500.00	\$ 2,500.00
Dawson Springs 4-H Camp- swimming pool bath house	8,500.00		8,500.00	
Tennis courts-physical education and recreational area	15,000.00		2,000.00	13,000.00
Parking structures- 1966	15,000.00		6,000.00	9,000.00
Agriculture Building-renovation for University Relations	8,000.00		8,000.00	
Agriculture Building-2nd floor- renovation for Placement Service	6,700.00		6,700.00	
Agriculture Building- rooms 103- 103A-Renovation for Bursar	2,900.00		2,900.00	
Agriculture Building- 3rd floor- renovation for Counseling and Test ing	9,000.00		9,000.00	
Science Education Laboratory- room 204	1,700.00			1,700.00
Meat Laboratory-Relocation of Animal Pens	2,935.00			2,935.00
McVey Hall- renovation for Computing Center offices	7,700.00			7,700.00
Taylor Building- room 151-renovation for instructional materials laboratory for vocational education	4,600.00			4,600.00
 Total renovation and improvements	 2,445,018.40	 \$ 1,674,881.67	 435,129.84	 335,006.89

University of Kentucky
Statement of Unexpended Plant Funds
For the Eight Months Ended February 28, 1967

EXHIBIT D

Acquisition of Equipment:	<u>Appro-</u> <u>priations</u>	<u>Expendi-</u> <u>tures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
1. Educational:				
Commerce	\$ 117,156.00	\$ 105,678.72		\$ 11,477.28
Engineering	211,942.33	174,506.03	\$ 36,049.94	1,386.36
Subtotal	329,098.33	280,184.75	36,049.94	12,863.64
2. Community Colleges:				
Northern	87,167.18	74,692.46	12,313.56	161.16
Hopkinsville	173,000.00	121,549.54	8,881.76	42,568.70
Elizabethtown	143,061.65	122,249.60	16,004.83	4,807.22
Prestonsburg	157,220.36	129,387.65	26,479.86	1,352.85
Somerset	175,694.00	135,754.89	21,150.55	18,788.56
Ashland	10,750.00	9,659.50	934.95	155.55
Henderson	14,000.00	4,921.65	6,930.65	2,147.70
Southeast	11,555.34	8,978.99	2,577.32	(.97)
Subtotal	772,448.53	607,194.28	95,273.48	69,980.77
3. Medical Center:				
Dental Science	1,013,789.00	982,147.30	4,364.24	27,277.46
University Hospital equipment	2,339,549.02	2,296,806.34	45,805.27	(3,062.59)
Medical Science	1,337,428.47	1,328,606.32	250.00	8,572.15
Activation of inventory	57,000.00	54,527.40	262.50	2,210.10
University Hospital	550,000.00	528,418.29	1,430.88	20,150.83
University Hospital	348,000.00	337,588.10	339.35	10,072.55
Subtotal	5,645,766.49	5,528,093.75	52,452.24	65,220.50
4. Other Acquisition of Equipment:				
Kastle Hall	1,049.50	677.30		372.20
Pence Hall	62,500.00	39,081.94	1,862.61	21,555.45
Administration Building renovation	40,473.22	26,851.87	1,602.29	12,019.06
Interior furnishing and equipment-Undergraduate Housing Complex	695,000.00	40,356.02	367,387.85	287,256.13
Animal care facilities for medical research	11,500.00			11,500.00
Subtotal	810,522.72	106,967.13	370,852.75	332,702.84
Total acquisition of equipment	7,557,836.07	6,522,439.91	554,628.41	480,767.75

(indicates negative amount)

University of Kentucky
Statement of Unexpended Plant Funds
For the Eight Months Ended February 28, 1967

EXHIBIT D

	<u>Appro-</u> <u>priations</u>	<u>Expendi-</u> <u>tures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
Property Acquisitions	\$ 700,000.00	\$ 314,812.43	\$ 11,324.60	\$ 373,862.97
Other Improvements:				
1. Utilities:				
Street lighting-Hilltop Avenue	2,500.00		2,500.00	
Modification of heating system-Southeast Community College	14,060.64	13,503.55		557.09
Electrical distribution system	379,129.36	369,562.61	1,494.34	8,072.41
Primary electrical loop # 3	310,600.00	244,296.67	57,438.20	8,865.13
Electrical outage-Euclid Avenue	18,500.00			18,500.00
Subtotal	724,790.00	627,362.83	61,432.54	35,994.63
2. Mapping- aerial mapping	11,546.00	2,595.21	8,946.00	4.79
Total other improvements	736,336.00	629,958.04	70,378.54	35,999.42
Legal and Administrative:				
Consolidated Educational Buildings-				
Series A	384,538.34	357,915.74		26,622.60
Hopkinsville Community College	63,041.07	56,424.33		6,616.74
Sigma Alpha Epsilon	1,000.00	104.17		895.83
Sigma Nu	1,000.00	104.17		895.83
Delta Gamma Sorority	4,100.00	3,204.17		895.83
Phi Kappa Tau	1,000.00	104.18		895.82
Dormitory Complex	117,500.00	113,570.21		3,929.79
Alpha Delta Pi Sorority	5,505.00			5,505.00
Total legal and administrative	577,684.41	531,428.97		46,257.44

University of Kentucky
Statement of Unexpended Plant Funds
For the Eight Months Ended February 28, 1967

EXHIBIT D

Contingency Reserve:	<u>Appro-</u> <u>priations</u>	<u>Expendi-</u> <u>tures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
Sigma Alpha Epsilon	\$ 1,080.10			\$ 1,080.10
Sigma Nu Fraternity House	779.85			779.85
Surgical animal laboratory-				
Medical Center	9,900.00			9,900.00
Clearing	576,989.01			576,989.01
Pence Hall	3,424.00			3,424.00
Hopkinsville Community College	103,020.50			103,020.50
College of Education	4,786.33			4,786.33
College of Commerce	.40			.40
Horse Barn " C "	1,500.00			1,500.00
Medical Center clinical				
research laboratory	4,462.00			4,462.00
Somerset Community College	70,225.00			70,225.00
College of Engineering	57,342.67			57,342.67
Agricultural Research Center				
Auditorium	6,765.97			6,765.97
ASC Headhouse and Greenhouses	3,877.00			3,877.00
Total contingency reserve	844,152.83			844,152.83
 TOTAL UNEXPENDED PLANT FUNDS (Exhibit A)	 \$ 57,344,689.60	 \$ 48,118,806.60	 \$ 6,207,170.29	 \$ 3,018,712.71

University of Kentucky
Statement of Retirement of Indebtedness Funds
For the Eight Months Ended February 28, 1967

EXHIBIT E

Income:	<u>Estimated</u>	<u>Realized</u>	<u>Unrealized</u>
Alpha Delta Pi	\$ 4,357.00	\$ 4,357.00	
Alpha Gamma Delta	8,525.33	4,262.67	\$ 4,262.66
Alpha Gamma Rho	8,436.00	4,600.00	3,836.00
Alpha Tau Omega	8,927.00	4,463.50	4,463.50
Alpha Xi Delta	8,525.33	4,262.67	4,262.66
Chi Omega	445.20	445.20	
Coliseum	48,774.00	48,774.00	
Delta Delta Delta	6,916.00	3,458.00	3,458.00
Delta Gamma	10,000.00	5,000.00	5,000.00
Delta Zeta	8,525.33	4,262.67	4,262.66
Kappa Delta	8,525.34	4,262.67	4,262.67
Kappa Sigma	6,780.00	6,780.00	
Kappa Alpha Theta	8,525.33	4,262.67	4,262.66
Lambda Chi Alpha	6,780.00	6,780.00	
Phi Delta Theta	7,725.00		7,725.00
Phi Kappa Tau	10,000.00		10,000.00
Phi Sigma Kappa	6,780.00	3,390.00	3,390.00
Pi Kappa Alpha	6,780.00	5,085.00	1,695.00
Sigma Alpha Epsilon	10,000.00	10,000.00	
Sigma Chi	8,436.00	6,900.00	1,536.00
Sigma Nu	10,000.00	10,000.00	
Stadium	16,485.00	16,485.00	
Football houses	13,560.00	13,560.00	

University of Kentucky
Statement of Retirement of Indebtedness Funds
For the Eight Months Ended February 28, 1967

EXHIBIT E

Income (continued)	<u>Estimated</u>	<u>Realized</u>	<u>Unrealized</u>
Zeta Tau Alpha	\$ 8,525.34	\$ 4,262.67	\$ 4,262.67
Interest income	41,876.46	41,876.46	
Transfers from auxiliary enterprises	73,695.00	73,695.00	
Transfers from general fund	1,240,076.00	443,935.10	796,140.90
Transfers from housing and dining system	1,626,610.54	792,367.03	834,243.51

TOTAL RETIREMENT OF INDEBTEDNESS

FUNDS INCOME E (Exhibit A)	\$ 3,224,591.20	\$ 1,527,527.31	\$ 1,697,063.89
------------------------------	-----------------	-----------------	-----------------

Debt Service:	<u>Appropriations</u>	<u>Expended</u>	<u>Unexpended Balance</u>
Dormitory Revenue Bonds-1946	\$ 34.93		\$ 34.93
Auditorium-Field House	59,094.03	\$ 46,093.75	111,000.28
Library Service Building	67,388.58	1,040.00	66,348.58
Stadium Addition	16,512.68	731.25	15,781.43
Chi Omega	3,570.65	3,050.10	520.55
Journalism Building	33,342.34	12,660.00	20,682.34
Alpha Delta Pi	5,821.22	3,675.00	2,146.22
Dorms A, B, C, D, E, and F.	77,785.81	8,670.00	69,115.81
Dorms G, H, I, J, K, and L.	52,155.63	18,522.50	33,633.13
Dorms G, H, I, J, K, and L.-Reserve	50,000.00		50,000.00
Phi Delta Theta	7,878.00	2,855.00	5,023.00
Delta Tau Delta	7,022.00	5,495.00	1,527.00
Alpha Tau Omega	9,049.55	2,955.00	6,094.55
Consolidated Educational Buildings-Series A	499,876.52	132,341.85	367,534.67
Community Colleges Educational Buildings- Series A	89,382.25	35,986.25	53,396.00
Consolidated Educational Buildings- Series B	573,152.56	241,232.06	331,920.50
Alpha Gamma Rho and Sigma Chi	17,588.20	7,451.54	10,136.66
Community Colleges Educational Buildings- Series B	77,755.12	33,078.37	44,676.75
Student Housing Bonds of 1964	75,120.00	20,200.00	54,920.00
Housing and Dining System Revenue Bonds- Series A	59,197.00	14,598.50	44,598.50
Housing and Dining System Revenue Bonds- Series B	8,277.50	4,138.75	4,138.75
Housing and Dining System Revenue Bonds- Series C	45,712.50	12,856.25	32,856.25
Housing and Dining System Revenue Bonds- Series D	89,362.50	24,681.25	64,681.25
Housing and Dining System Revenue Bonds- Series E	74,562.50	22,281.25	52,281.25

University of Kentucky
Statement of Retirement of Indebtedness Funds
For the Eight Months Ended February 28, 1967

EXHIBIT E

Debt Services (continued)	<u>Appropriations</u>	<u>Expended</u>	<u>Unexpended Balance</u>
Housing and Dining System Revenue Bonds- Series F	\$ 36,093.75	\$ 18,046.87	\$ 18,046.88
Housing and Dining System Revenue Bonds- Series G	47,281.25	23,640.62	23,640.63
Housing and Dining System Revenue Bonds- Series H	588,970.00	144,485.00	444,485.00
Housing and Dining System Revenue Bonds- Series I	719,030.00	359,515.00	359,515.00
Repair and maintenance reserve	60,000.00	60,000.00	
Investment income reserve	15,374.82		15,374.82
TOTAL RETIREMENT OF INDEBTEDNESS			
FUNDS (Exhibit A)	<u>\$ 3,466,391.89</u>	<u>\$ 1,262,281.16</u>	<u>\$ 2,204,110.73</u>

University of Kentucky
Statement of Sinking Funds
For the Eight Months Ended February 28, 1967

EXHIBIT F

Housing and Dining System Bond and Interest Fund	\$ 1,698,256.00
Housing and Dining System Repair and Maintenance Reserve	469,430.18
Bowman, Keeneland, Cooperstown, Blazer and Student Union Future Interest Fund	1,550,593.13
Second PWA Issue	21,713.04
Student Housing Bonds of 1964	9,394.86
Consolidated Educational Buildings- Series A	726,206.83
Consolidated Educational Buildings- Series B	335,266.11
Community Colleges Educational Buildings- Series A	36,079.28
Community Colleges Educational Buildings- Series B	17,307.91
Alpha Gamma Rho and Sigma Chi	<u>41,045.31</u>
 TOTAL SINKING FUNDS (Exhibit A)	 <u><u>\$ 4,905,292.65</u></u>

University of Kentucky
Summary of Other Funds Transactions
For the Eight Months Ended February 28, 1967

EXHIBIT G

Loan Funds:	<u>Balances</u> <u>July 1, 1966</u>	<u>Receipts</u>	<u>Expenditures</u>	<u>Balances</u> <u>February 28, 1967</u>
Federal sponsored loan programs:				
Federal Government	\$1,946,833.65	\$ 670,321.86		\$2,617,155.51
University of Kentucky	216,314.89	74,180.21		290,495.10
Accumulated interest income	29,362.02	6,000.43		35,362.45
University student loan funds	127,161.75	(70,718.71)		56,443.04
University special student loan fund	53,852.43	2,303.44		56,155.87
United Student Aid loan fund	2,000.00			2,000.00
 TOTAL LOAN FUNDS	 \$2,375,524.74	 \$ 682,087.23		 \$3,057,611.97
 Endowment Funds:				
 TOTAL ENDOWMENT FUNDS	 \$ 421,338.73	 \$ 17,888.70		 \$ 439,227.43
 Annuity Funds:				
 TOTAL ANNUITY FUNDS	 \$ 17,844.00	 \$ 9,898.00		 \$ 27,742.00
 Agency Funds:				
 TOTAL AGENCY FUNDS	 \$2,316,129.56	 \$8,444,768.61	 \$9,495,945.65	 \$1,264,952.52

(indicates negative amount)

GIFTS AND/OR GRANTS
QUARTERLY REPORT
(DECEMBER 1, 1966 THROUGH FEBRUARY 28, 1967)

2

<u>Donor</u>	<u>Amount</u>	<u>Purpose</u>
The American Bankers Association on behalf of Officers & Staff	\$ 50.	Jesse W. Tapp, Sr. Medical Scholarship
Ralph J. Angelucci, M.D.	\$ 250.	Neurological Diseases Research & Development
Anonymous	\$ 250.	Museum Donations
Arthur Andersen & Company	\$ 280.	Accounting Faculty Research & Development
Mr. Roy L. Ash	\$ 50.	Jesse W. Tapp, Sr. Medical Scholarship
Ashland Oil & Refining Co., Inc.	\$ 400.	Ashland Community College Theatre
Ashland Oil & Refining Co., Inc.	\$ 100.	King Library
Mr. & Mrs. H. S. Baker	\$ 15.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. Charles H. Ball	\$ 100.	Oral Surgery
Mr. Thomas A. Ballantine	\$ 10.	Jesse W. Tapp, Sr. Medical Scholarship
Bank of America "Girls in the Executive Office"	\$ 15.	Jesse W. Tapp, Sr. Medical Scholarship
Bank of America Marketing Research Staff	\$ 13.	Jesse W. Tapp, Sr. Medical Scholarship
Bank of America San Francisco Headquarters on behalf of Officers & Directors	\$1,000.	Jesse W. Tapp, Sr. Medical Scholarship
Bankamerica Club Los Angeles Chapter	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. & Mrs. S. Clark Beise	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. Barry Bingham Gift of 250 Shares, Prior 5 Per cent Cumulative Non-voting Callable Preferred Stock in Standard Gravure Corporation. (\$12,500)		General Endowment
Mr. Paul G. Blazer, Jr.	\$ 15.	Jesse W. Tapp, Sr. Medical Scholarship

Mr. Rexford S. Blazer	\$1,000.	Unrestricted
The Borden Company Foundation, Inc.	\$1,500.	Scholarships (5 annual awards, \$300)
Mrs. Ruth Britton	\$ 15.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. John N. Browning	\$1,000.	Unrestricted
Mr. & Mrs. Nolan Browning	\$ 10.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. John R. Bullock	\$1,000.	Unrestricted
Calif. Department of Agriculture	\$ 17.	Jesse W. Tapp, Sr. Medical Scholarship
Calif. Packing Corporation	\$ 20.	Jesse W. Tapp, Sr. Medical Scholarship
Canners League of California	\$ 15.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. K. L. Carver	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. & Mrs. Keath L. Carver	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
The Chase Manhattan Bank on behalf of Officers & Directors	\$ 50.	Jesse W. Tapp, Sr. Medical Scholarship
Chevron Oil Company	\$ 500.	Department of Geology
Chevron Oil Company	\$ 500.	Scholarship, Geology
Mr. & Mrs. M. A. Clevenger	\$ 10.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. & Mrs. David M. Coyle	\$ 15.	Accounting Faculty Research & Development
Creole Foundation	\$ 500.	Unrestricted
Crocker-Citizens National Bank	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. Austin T. Cushman	\$ 20.	Jesse W. Tapp, Sr. Medical Scholarship

Page 3

Denham Medical Clinic	\$ 750.	Maysville Community College, Scholarship
Mr. & Mrs. A. H. Dewees	\$ 5.	Jesse W. Tapp, Sr. Medical Scholarship
Di Giorgio Corporation	\$ 50.	Jesse W. Tapp, Sr. Medical Scholarship
Disney Foundation	\$ 100.	Jesse W. Tapp, Sr. Medical Scholarship
E. I. duPont deNemours & Co., Inc.	\$2,200.	E. I. duPont-Summer Grant for Teachers
Mr. Penrose Ecton Gift of a Titan 10-20 HP tractor built by International Harvester Company about 1920.		Agricultural Engineering
Farmers Insurance Group	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. F. A. Ferroggiaro	\$ 50.	Jesse W. Tapp, Sr. Medical Scholarship
Mrs. Amelia Brown Frazier Gift of 222 shares Brown-Forman Distillers Corporation Class B. Common Stock. (\$4,361.74)		Horticulture, Motion Picture
Mrs. L. M. Giannini and Miss Anne Giannini	\$ 30.	Jesse W. Tapp, Sr. Medical Scholarship
Mrs. Joseph C. Graves Gift of almost 700 titles formerly used by Gravesend Press, a hand press, type fonts, wood blocks, and high quality paper stock.		King Library
Dr. Ward Griffin	\$ 75.	Department of Surgery
Gripstop Corporation	\$5,000.	Civil Engineering Highway Research
Mr. Walter A. Haas, Jr.	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Mrs. Emma J. Haggin	\$5,000.	Psychiatric Service Fund
Mr. S. Alfred Halgren	\$ 250.	Jesse W. Tapp, Sr. Medical Scholarship
Dr. Daniel J. Hanson	\$ 200.	Department of Radiology
Hartstern, Schnell, Associates	\$ 500.	Scholarship, School of Architecture

Page 4

Mr. & Mrs. Francis Herwood	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Mrs. Claire Giannini Hoffman	\$ 20.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. J. S. Hudnall	\$ 100.	Jesse W. Tapp, Sr. Medical Scholarship
Hughes Aircraft Company on behalf of Policy Board	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. Roy E. Huston	\$ 250.	Thomas-Huston Scholarship College of Pharmacy
Mr. & Mrs. James G. Ingram	\$ 25.	Accounting Faculty Research & Development
Dr. H. D. Jamison	\$ 75.	Neurological Diseases Research & Development
Mr. Ernst V. Johnson	\$ 500.	School of Architecture
Mr. & Mrs. Edgar F. Kaiser	\$ 100.	Jesse W. Tapp, Sr. Medical Scholarship
The Henry J. Kaiser Family Foundation	\$ 500.	Jesse W. Tapp, Sr. Medical Scholarship
W. K. Kellogg Foundation	\$3,100.	Second Community College Conference
The James S. Kemper Foundation	\$ 140.	Scholarship
Kentucky Florist Association	\$ 300.	Floriculture
Kentucky 4-H Leaders Council	\$ 355.	4-H demonstration cups and jackets
Kentucky Utilities Company	\$ 250.	Scholarship, College of Engineering
Mr. Charles O. Landrum	\$ 200.	Unrestricted
Dr. Hellmut Lehmann-Haupt		King Library
Gift of pamphlets, trade journals, etc. related to the revival of the German publishing industry after World War II.		
Lockheed Aircraft Corporation	\$ 100.	Jesse W. Tapp, Sr. Medical Scholarship

Page 5

Mr. Garret McEnerney, II	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. William L. Marshall	\$ 10.	Accounting Faculty Research & Development
Mrs. W. Emmet Milward Gift of 28 letters in the family collection of Isaac Shelby papers.		King Library
Mr. Wickliffe B. Moore	\$1,000.	Unrestricted
Mr. W. B. Murphy	\$ 100.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. James Mussatti	\$ 15.	Jesse W. Tapp, Sr. Medical Scholarship
National Bank of Commerce in Memphis	\$ 15.	Jesse W. Tapp, Sr. Medical Scholarship
Nat'l 4-H Service Committee, Inc.	\$1,300.	Kentucky Safety Program
Owen Cheatham Foundation	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. & Mrs. William C. Penick	\$ 100.	Accounting Faculty Research & Development
Mr. Rudolph A. Peterson	\$ 100.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. & Mrs. Louis Petri	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Miss Lucy Neville Platt Gift of the Biographical Directory of the American Congress.		Ashland Community College, Library
Producers Cotton Oil Company	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Mrs. Elizabeth W. Putnam	\$ 50.	King Library
Mr. Richard A. Rankin	\$ 10.	Accounting Faculty Research & Development
Mr. Richard Redlick	\$ 10.	Jesse W. Tapp, Sr. Medical Scholarship
Republic National Bank of Dallas	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship

Page 6

Mr. & Mrs. W. S. Risner	\$ 10.	Medical Center Cancer Fund
Mr. William M. Roth	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. Philip D. Sang Gift of 37 shares of Avon Products Incorporated. (\$2,972.30)		Philip D. & Elsie O. Sang award in Graduate Education
Mr. Alfred E. Sbarboro	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Dr. Caroline P. Scott The letter from Robert Wickliffe to Henry Clay.		King Library
Mr. & Mrs. Leon J. Segil	\$ 25.	Medical Center Cancer Fund
Mr. Walter M. Sharp	\$ 10.	Jesse W. Tapp, Sr. Medical Scholarship
Mrs. Frances Shine Gift of 300 rare books.		King Library
Southern Calif. Improvement Foundation	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Spindletop Research, Incorporated	\$10,000.	Spindletop Research Scientific Grant
Mr. Gustav Tams	\$ 15.	Jesse W. Tapp, Sr. Medical Scholarship
Mrs. Lawrence Terry	\$ 10.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. & Mrs. J. K. Thompson	\$ 100.	Accounting Faculty Research & Development
Dr. & Mrs. Clay Thomson	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Thrifty Drug Stores, Co., Inc.	\$ 500.	Jesse W. Tapp, Sr. Medical Scholarship
Tidewater Oil Company	\$ 100.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. & Mrs. E. E. Trefethen, Jr.	\$ 100.	Jesse W. Tapp, Sr. Medical Scholarship
Dr. Kent Trinkle	\$ 72.	Department of Surgery

Page 7

Dr. & Mrs. Kenneth Tucker	\$ 5.	Winternitz Memorial
Union Bank	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
United California Bank	\$ 100.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. C. M. Van Vlierden	\$ 25.	Jesse W. Tapp, Sr. Medical Scholarship
Waincott, McMakin, and Nevins Builders	\$ 400.	Scholarship for a Freshman Boy
Dr. A. E. Waller	\$ 100.	Unrestricted
Mr. & Mrs. Louis Ware Gift of 50 shares of International Minerals and Chemical Corporation Common Stock. (\$2,179.14)		Unrestricted
Dr. Herman B Wells	\$ 20.	Jesse W. Tapp, Sr. Medical Scholarship
Mr. Carl F. Wente	\$ 100.	Jesse W. Tapp, Sr. Medical Scholarship
Western Electric Fund	\$2,000.	Council on Aging
Dr. Charles B. Wilson	\$ 312.50	Neurological Diseases Research & Development
Wilson & Company, Incorporated	\$ 20.	Jesse W. Tapp, Sr. Medical Scholarship
Dr. John R. Woodyard	\$ 25.	Unrestricted
Mr. Marvin R. Zell	\$ 25.	Unrestricted

PR 3

Members, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Leave of Absence for Dr. Oswald

Recommendation: that President John W. Oswald be granted leave of absence for the period from March 6, 1967 through April 15, 1967, and that Dr. A. D. Albright, Executive Vice President, assume the responsibilities of his office during the period of his leave.

Background: Dr. Oswald underwent surgery in the University Hospital on March 6, 1967. Upon medical advice, he has requested that he be granted leave of absence from his duties and that Dr. A. D. Albright be asked to assume full responsibility during the period of his leave.

II. Removal of Restriction Placed on Student Center Building Funds of Community Colleges

Recommendation: that the Board of Trustees make available, for current student activity purposes at the Community Colleges, monies accumulated in Student Center Building Funds of the Community Colleges.

Background: By action of the Board of Trustees on May 12, 1964, \$12.00 of the Community College student activity fee was allocated to a Student Center Building Fund with all decisions relative to construction reserved to the Board. Since the Community College activity fee was eliminated by action of the Council on Public Higher Education and adopted by the Board of Trustees at its meeting of January 20, 1967, there will be no additions to the Student Center Building Funds after the summer session of 1966. These Student Center buildings will now be financed by the issuance of Community Colleges Education Buildings Revenue Bonds.

Further, \$6.25 of the aforementioned activity fee had been budgeted to finance extra-curricular student activities at the Community Colleges for the current fiscal year. Since this source of funds is not now available, it becomes necessary to finance these operations from some other source.

While it does not require the total monies accumulated in the Student Center Building Funds to finance the above, it is requested that the entire amounts be freed of this restriction for student activity budgeting purposes at the Community Colleges.

III. Staff Changes

Recommendation: that approval be given to the appointments and/or other changes which require Board action and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: Approval of the Board of Trustees is required for all appointments to positions with the rank of Associate Professor and above, for promotions, for the granting of tenure, and for salary changes above \$12,000 for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

BOARD ACTION

Changes in Status (with Salaries over \$12,000)

Clyde Lilly, Administrative Assistant, Physical Plant to Assistant Director, Physical Plant, retroactive to March 1, 1967

James E. Wessels, Assistant Chief Engineer, Medical Center Physical Plant to Director, Physical Plant, retroactive to March 1, 1967

IV. Investment Action by UKRF

Recommendation: that the following Resolution be adopted:

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY RATIFYING AN ACTION OF THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION BOARD IN THE INVESTMENT OF CERTAIN FUNDS OF THAT CORPORATION

RECITALS:

1. The Management Committee of the Board of Directors of Spindletop Research Inc. has requested that the University of Kentucky Research Foundation consider an investment secured by the assets of Spindletop Research Inc.

2. The Board of Directors of the University of Kentucky Research Foundation has considered the aforementioned request and has, by a resolution adopted on March 14, 1967, empowered the Treasurer of the Foundation to purchase, from the banks currently holding a first mortgage on the realty of Spindletop Research Inc., an interest in the said mortgage to the extent of two hundred and fifty thousand dollars (\$250,000.00).

3. The instruments relating to the aforesaid short-term investment will provide for a return of 6% per annum in interest on the funds of the Foundation which are so invested, contain proper provision for the safeguarding of the funds, require the repayment of principal with interest on or prior to December 1, 1967, and insure that the indebtedness of Spindletop Research Inc. to the Foundation is fully secured by the aforementioned first mortgage lien on the realty of Spindletop Research Inc.

NOW THEREFORE BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY:

That the action of the Board of Directors of the University of Kentucky Research Foundation which authorized its Treasurer to purchase a portion of the aforesaid investment, which is secured by a first mortgage lien on the assets of Spindletop Research Inc., is hereby ratified.

Action: Approved X Disapproved Other

Date: March 17 , 1967

Office of the President
March 17, 1967

PR 4

Members, Board of Trustees:

HONORARY DEGREE RECIPIENTS

Recommendation: that approval be granted to award the honorary degree of Doctor of Laws to Mr. William B. Arthur, Mr. Donald C. Cook, Mr. Allan Mitchell Trout, and Mr. Robert Whitney; and the honorary degree of Doctor of Science to Dr. Francis M. Massie and Dr. Morris Scherago at the commencement exercises on May 8, 1967, and that the President be authorized to notify these persons that they have been selected to receive the honorary degree approved for each.

Background: The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that these honorary degrees be awarded to the persons listed above, and these two bodies have expressed their approval of the recommendation. Biographical sketches for each recipient are attached.

Action: Approved X Disapproved Other

Date: March 17 , 1967

William B. Arthur

William B. Arthur, Vice President of Cowles Publishing Company and Editor of LOOK MAGAZINE, was born in Louisville, Kentucky, 6 September 1914. He was graduated from the University of Kentucky with the degree of A. B. in Journalism in 1937. From 1939 to 1941 he was assistant State Editor of the Louisville COURIER-JOURNAL. During World War II he rose to the rank of Lieut. Colonel and became Chief of the Press Branch of the War Department. He was decorated with the Legion of Merit and was the recipient of the Freedom Foundation Award in 1948.

Mr. Arthur began his career with LOOK as Assistant Managing Editor in 1949 and was promoted to Managing Editor in 1954. He became Editor in 1965. He was President of the New York Chapter of Sigma Delta Chi, national professional journalism fraternity. He is a member of the English Speaking Union.

Mr. Arthur is married to the former Frances Young of Frankfort, Kentucky, a member of the University of Kentucky Class of 1939.

He is a member of the National Council of the Boy Scouts of America, as well as the Council's Editorial Board. He has served as a member of the Advisory Committee for the National Education Association's Golden Key Award. He served as a member of the Sesquicentennial Steering Committee of the Princeton University Theological Seminary.

In 1964 Mr. Arthur was the recipient of the University of Kentucky Centennial Medallion as a distinguished alumnus. In 1965 he was elected, honoris causa, to membership in the Kentucky Circle of Omicron Delta Kappa.

Donald C. Cook

Donald C. Cook is president and chief executive officer of the American Electric Power Company, Inc., New York City. He is also president of 19 subsidiary companies, a number of which have interests in the Appalachian region.

Mr. Cook was born in Escanaba, Michigan, in 1909. He is a graduate of the University of Michigan, at which he also earned a master's degree. The degrees of Doctor of Jurisprudence and Master of Law were awarded by George Washington University in 1939 and 1940.

Mr. Cook's career includes distinguished service in government and business. His career in government extended from 1935 through 1953, with a brief interruption for the private practice of law in 1947-49. He began as a Financial Examiner with the Securities and Exchange Commission, received a Presidential appointment as a Commissioner in 1949, and was chairman of the Commission when he left government in 1953. His government service also included several positions of responsibility in the Department of Justice and as counsel for Congressional committees.

In 1953 he joined the American Electric Power Company as vice president, was advanced to executive vice president in 1954, and to president in 1961.

It was widely expected that Mr. Cook would return to government service in 1965 as Secretary of the Treasury. Business considerations, however, prevented him from accepting this appointment.

Mr. Cook is affiliated with a number of business, professional, and religious organizations. He is a trustee of George Washington University and a trustee of the Center for Advanced Study in the Behavioral Sciences at Stanford. In 1965 he received the Herbert H. Lehman Medal of the Jewish Theological Seminary of America.

Throughout his career Mr. Cook has been an active contributor to the scholarly and professional literature in the fields of law and finance.

Allan Mitchell Trout

Allan M. Trout, chief correspondent of the Louisville Courier-Journal in Frankfort and Dean of the Press contingent in the State Capitol, has become a Kentucky legend. Born in 1903 near Churchton, Tennessee, he worked his way through school, graduating in 1926 from Georgetown College, where he edited the College newspaper and was a runner-up for a Rhodes Scholarship. After graduating he edited a Shelbyville paper for a few weeks, but resigned when he learned that the paper was supported by the Ku Klux Klan. In 1929 he accepted a position with the Courier-Journal, where he has served in nearly every capacity. He rose rapidly to his present post. For the last quarter-century he has achieved distinction as a newspaper writer and columnist. He is widely regarded as the best informed authority on the problems and techniques of Kentucky state government. His outstanding reputation derives from his integrity and fairness in reporting and analyzing the news.

His articles covering the Luke Lea investigations and the impeachment proceedings against Tennessee Governor Henry Horton in 1931 brought him an Honorable Mention from the Pulitzer Awards Committee. In 1946 the University of Louisville awarded him its Medallion for devotion to the highest principles of journalism. In 1953 he received the first Governor's Medallion ever given "for distinguished and meritorious service to the Commonwealth of Kentucky." In 1962 he was voted "Dean Emeritus" of the Kentucky State Senate. In 1966 he was given the Governor's award for his series of articles on the State Constitution and its revision.

Trout is best known, perhaps, for his daily column "Greetings," a pot pourri of homespun philosophy, folklore, and anecdote--warm, humorous, and tender. Out of it has grown two books. A third book will be published by the Council of Southern Mountains. He writes with care, conciseness, force, and compassion; men's reputations do not suffer at his hands. His daily mail often exceeds in amount that of the Governor. This fact alone suggests his institutional position. Like Socrates for whom he was nicknamed by his schoolmates, he is sought out by high and low, great and small, for his wisdom and counsel.

Robert Whitney

Robert Whitney was born of American parents in England in 1904. Brought to this country as a small child he began his music study in Chicago, appearing as a piano soloist at the age of twelve. His promise as a young composer brought him to the attention of Fredrick Stock, conductor of the Chicago Symphony, and several of his works were performed by that orchestra. After study with Stock and Serge Koussevitsky, Mr. Whitney entered upon a conducting career and in 1937 was engaged as musical director of the Louisville Orchestra. During his thirty years of service with that institution the Louisville Orchestra has gained national prominence as a powerful cultural force in the Commonwealth and as an able champion of contemporary music.

Since the year 1948, Mr. Whitney has presented 143 first performances of works commissioned especially for the Louisville Orchestra. Over 100 recordings issued on Columbia Masterworks and Mercury labels attest to the scope and quality of this activity. Further recognition of the importance of the Louisville project was provided in 1953 by the Rockefeller Foundation in the awarding of a half-million dollar grant for continuance of the contemporary music series.

Since 1956 Mr. Whitney has served as Dean of the School of Music of the University of Louisville and has brought distinction to that institution through his musical leadership. By his musical integrity, his thirty years of devoted service to the cause of music in this area, and his rich record of accomplishment Mr. Whitney, perhaps more than any other individual, has won for Kentucky recognition in the arts.

Francis M. Massie

Francis M. Massie was born in Shanghai, China in 1893 where his father was an Episcopal missionary. He is a graduate of the University of Virginia, at which university he also earned his M. D. degree.

Dr. Massie spent two years at St. Lukes' Hospital in New York City and one year at the Sloane Hospital for Women. He came to Lexington in 1923 where he became associated with the Lexington Clinic.

At the University of Kentucky Dr. Massie has served as clinical professor of surgery, on a voluntary basis without compensation, since the activation of this department. He has been effective with students, a superb example of a physician, and has stimulated students to emulate his example of the compassionate, scientific, yet technically competent physician. He is respected as a leader in the fields of medicine and surgery, evidenced by offices held and honors awarded him. He continues to exert an important, constructive influence on the profession because of his professional qualities and standing. He has also contributed some 20 papers to literature during his active career.

Dr. Massie has been engaged in a variety of civic, cultural and scientific service activities. He was one of the driving forces behind the establishment of the Medical Center of the University, has been active on the Surgical Advisory Committee and in fund raising efforts for the Medical Center, specifically the Department of Surgery, as well as an informal advisor to the Vice President for the Medical Center. He has served as chairman of the Lexington Board of Education and has been an active member.

Dr. Massie's professional qualities and character exemplify the fine physician he is.

Morris Scherago

Morris Scherago, Professor emeritus of Microbiology, was born on Christmas day 1895 in Roumania. He received a B.S. degree in 1917 and a D. V. M. degree in 1919 from Cornell University. He came to the University of Kentucky in 1919 to build the Department of Bacteriology (now Department of Microbiology) which he was to serve as Chairman until his retirement July 1, 1966, except for the year 1951-52 when he was Visiting Professor of Microbiology, Washington University School of Medicine, on their Thailand project in Bangkok. Under his inspired and devoted guidance the Department grew from a one man department with a handful of students to a department with a staff of eight full time professors, 150 undergraduate majors, and 30 graduate students, many of whom were candidates for the Ph. D. degree. He insisted that his staff and his students maintain his own high standards of accomplishments. His greatest pride has been in his Department and his students.

Dr. Scherago was a faithful servant of the University. He served two terms on the Graduate Council, was a member of the Board of Directors of the Kentucky Research Foundation, and was never too busy to work on important committees. He was an ardent supporter of campus scientific and professional organizations, almost all of which he served as President at some time. He was Distinguished Professor of the year in the College of Arts and Sciences in 1950-51 and winner of the Alumni Association Faculty Research award in 1959.

Dr. Scherago is a charter Fellow of the American Academy of Microbiology, a Diplomate of the American Board of Microbiology, Certified in Public Health and Medical Laboratory Microbiology, and a fellow or member of numerous other National organizations, many of which he has served in various capacities. He is now Executive Secretary of the American Society of Professional Biologists and Chairman of the Laboratory Section of the American Public Health Association.

Dr. Scherago is author or co-author of over 100 scientific publications. He is Editor of the Allergy Section of Biological Abstracts, Assistant Editor of the Review of Allergy and Applied Immunology, and a member of the editorial board of Annals of Allergy.

Office of the President
March 17, 1967

PR 5

Members, Board of Trustees:

POLICY RELATIVE TO ON-CAMPUS SOLICITATION OF FUNDS

Recommendation: that the United Community Fund be designated the sole agency authorized to conduct on-campus solicitation of contributions from faculty and staff members; that the payroll deduction plan which the University currently offers to UCF contributors be continued; and that other approved charitable agencies may be authorized to use the University mail service for solicitation.

Background: The University is sympathetic to the objectives of all recognized charitable organizations and of the voluntary health agencies which customarily solicit financial contributions from faculty and staff members. It is clearly impracticable, however, because of the already heavy demands made upon the time and energy of faculty and staff, to permit every such worthwhile agency to conduct its separate solicitation campaign on campus. Particularly is this true in the case of agencies which request "loaned executive" help in the conduct of such campaigns, and/or the privilege of participation in a payroll deduction plan.

Seeking a more orderly, and yet equitable, means of assisting these agencies, the University in 1960 approved the inauguration of an annual campus-wide fund-raising campaign for the United Community Fund, and authorized a payroll deduction plan for contributors to this fund. This plan continues in effect, and 24 non-profit health, welfare, and youth-assistance agencies of the UCF now benefit from this annual campaign.

Experience with this plan indicates it is an entirely practicable means by which the University may provide equal treatment and the maximum support consistent with good business practice of those voluntary agencies which customarily seek contributions from faculty and staff members. Not all of the voluntary agencies which serve the Lexington community are members of the United Community Fund. The UCF, however, does maintain an open-door policy and advises that it will at any time consider membership applications from those agencies which until now have chosen to remain outside the Fund. Since membership in the UCF is optional for all voluntary agencies, the University does not regard as discriminatory a policy which limits on-campus fund raising campaigns to UCF members.

Approval of this recommendation will clarify the University's position in regard to on-campus solicitation of faculty and staff members and formally establish the criterion -- i. e., membership in the United Community Fund -- by which voluntary agencies may assure themselves maximum support of the University in their fund raising campaigns.

Action: Approved X Disapproved Other

Date: March 17 , 1967

Office of the President
March 17, 1967

PR 6a

Members, Board of Trustees:

APPROVAL "IN PRINCIPLE" OF THE "PRESTONSBURG COMMUNITY COLLEGE
PRELIMINARY DEVELOPMENT PLAN"

Recommendation: that the "Prestonsburg Community College Preliminary Development Plan" be approved "in principle," with the understanding that the plan will be periodically revised as the program requirements of the College become more fully expressed.

Background: The University has undertaken the preparation of a "Preliminary Development Plan" for each of its community colleges for the purpose of establishing a broad physical framework within which the physical development of the colleges may take place in an efficient and orderly manner. The "Prestonsburg Community College Preliminary Development Plan" is the third of these studies to be completed and published. The University intends to continue its development planning efforts at each of the community colleges and will issue supplementary, more detailed reports as additional studies are completed.

Action: Approved X Disapproved Other

Date: March 17 , 1967

Office of the President
March 17, 1967

PR 6b

Members, Board of Trustees:

APPROVAL "IN PRINCIPLE" OF THE "SOUTHEAST COMMUNITY COLLEGE
PRELIMINARY DEVELOPMENT PLAN"

Recommendation: that the "Southeast Community College Preliminary Development Plan" be approved "in principle," with the understanding that the plan will be periodically revised as the program requirements of the College become more fully expressed.

Background: The University has undertaken the preparation of a "Preliminary Development Plan" for each of its community colleges for the purpose of establishing a broad physical framework within which the physical development of the colleges may take place in an efficient and orderly manner. The "Southeast Community College Preliminary Development Plan" is the second of these studies to be completed and published. The University intends to continue its development planning efforts at each of the community colleges and will issue supplementary, more detailed reports as additional studies are completed.

Action: Approved X Disapproved Other

Date: March 17 , 1967

Office of the President
March 17, 1967

PR 7

Members, Board of Trustees:

AMENDMENT TO THE GOVERNING REGULATIONS

Recommendation: that Section XI, Regulations Affecting the Teaching and Research Staff and Other Employees of the University, Part 10, Leaves of Absence, be amended to read as follows:

10. LEAVES OF ABSENCE

Sabbatical Leave - Academic appointees with the rank of assistant professor or higher, or of equivalent rank for this purpose as determined by the President, are eligible for leaves of absence for one-half or a full year after six years of continuous service in the rank of instructor or higher at the University. All such leaves of absence shall be approved by the President or his designated representative.

The purpose of all sabbatical leaves is to provide opportunities for study, research, creative effort, and related travel in order that the quality of each recipient's service to the University may be enhanced.

After six years of continuous eligible service, an individual may apply for one year's leave (academic year for 10-months' appointees) at one-half salary or six months' leave (academic semester for 10-months' appointees) at full salary. "Continuous service" is interrupted by a sabbatical leave; i.e., no service prior to a sabbatical may be credited toward eligibility for a future sabbatical leave. Periods during leaves of absence without pay, including leaves of absence to accept a fellowship or grant, are not credited toward eligibility for sabbatical leave, but such periods shall not be considered as interruptions of continuous service.

Sabbatical leaves shall not be used as a means of augmenting personal income. A recipient may not accept gainful employment during the sabbatical leave (for 10-months' appointees this does not include the period of vacation and the two months during the summer; for 12 months' appointees this does not include the one-month vacation period) except as follows: (a) a fellowship, grant-in-aid,

or government-sponsored exchange lectureship may be accepted for the period of the leave if acceptance will promote the purpose of the leave and is approved by the President or his designated representative; (b) additional salary not to exceed one-half of the academic salary may be accepted for work performed during the sabbatical leave on research projects administered by the University with funds from government or private grants or contracts when the terms of the grant or contract authorize the usage of such funds, and when the work to be performed is significantly related to the studies planned for the leave. Any arrangements pursuant to this paragraph must be approved by the President or his representative.

In neither of the above instances shall a recipient's total income attributable to his leave project exceed his regular academic salary. Sabbatical leave shall be granted with the understanding that the recipient, following his leave of absence, will continue his service at the University for a period at least equal to the period for which leave was granted.

Leave Without Pay - On recommendation of the appropriate administrative officials and approval of the President, a member of the faculty or staff may be given a leave of absence without pay. Ordinarily such a leave shall not be granted for a period in excess of one year (12 months). However, such a leave may be extended on application and approval by the appropriate officials. The best interests of the University shall be a major consideration in the granting and extension of any such leave.

Background: The University Senate Council has recommended to the President that the above amendment to the Governing Regulations be recommended to the Board of Trustees for immediate adoption and implementation. In essence, the proposed amendment provides for sabbatical leave for one year at half-pay or a half-year at full pay after six years of consecutive service for persons with the rank of assistant professor or higher, or of equivalent rank as determined by the President. The section on leave without pay is unchanged. This amendment is compatible with the provisions pertaining to tenure.

Action: Approved X Disapproved Other

Date: March 17, , 1967

Office of the President
March 17, 1967

PR 8

Members, Board of Trustees:

APPOINTMENT TO UNIVERSITY OF KENTUCKY RESEARCH
FOUNDATION BOARD OF DIRECTORS

Recommendation: that Mr. Richard E. Cooper, be appointed as a Trustee Member on the Board of Directors of The University of Kentucky Research Foundation to complete the term of Mr. Clifford E. Smith, which expires May 12, 1967, and that he be reappointed to a three year term to expire on May 12, 1970.

Background: Since Mr. Clifford E. Smith is no longer a member of the Board of Trustees of the University of Kentucky, a vacancy exists on the Board of Directors of The University of Kentucky Research Foundation. It is recommended that Mr. Cooper be appointed to complete Mr. Smith's term of office and reappointed effective May 12, 1967 for a three-year term, ending May 12, 1970.

Action: Approved X Disapproved Other

Date: March 17 , 1967

Office of the President
March 17, 1967

PR 9

Members, Board of Trustees:

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY APPROVING AND ACCEPTING A LOAN AGREEMENT TENDERED BY THE UNITED STATES DEPARTMENT OF HEALTH, EDUCATION AND WELFARE PROVIDING FOR THE FINANCING, IN PART, OF THE NEW CLASSROOM, FACULTY AND ADMINISTRATION OFFICE BUILDING, PREVIOUSLY AUTHORIZED BY THE BOARD AND IDENTIFIED BY HEW AS ITS PROJECT NO. 5-1555

WHEREAS, this Board has previously authorized construction of a new Classroom, Faculty and Administration Office Building, with necessary equipment, utilities and site improvements, upon the Main Campus of the University in Fayette County, Kentucky, at an aggregate cost which is estimated to exceed \$10,000,000, and in that connection has previously authorized submission of Applications to the United States Department of Health, Education and Welfare (HEW) for Grants under Titles I and II of the Higher Education Facilities Act of 1963, As Amended, and for a loan under Title III thereof; and

WHEREAS, HEW has now tendered to the President of the University a certain Loan Agreement, dated February 28, 1967, identified by HEW as its Project No. 5-1555 contemplating authorization by this Board of a Series of the Board's "Consolidated Educational Buildings Revenue Bonds" according to the terms and provisions of that certain Resolution which was adopted by this Board on September 20, 1960, creating and establishing the Board's "Consolidated Educational Buildings Project," creating an issue of the Board's "Consolidated Educational Buildings Revenue Bonds," and providing for the issuance of such bonds in various Series from time to time, subject to the terms, provisions, conditions and limitations provided in that connection; and proposing that such Series of said bonds, in the principal amount of \$4,393,000, be offered at public sale, with an agreement on the part of HEW that upon the occasion of such public sale HEW will submit a bid for the purchase of such entire Series at par, and at a stipulated interest rate of 3% per annum, provided the term of said loan and of said bonds shall not exceed 30 years, and that the Board shall accept a bid or bids which may be received from private investors upon equal or more favorable terms; and

WHEREAS, said Loan Agreement, together with the printed "Terms and Conditions" and "Supplemental Terms and Conditions," have been carefully reviewed by the President and Business Staff of the University, and by this Board

in open session, and it is deemed to be advantageous and in the best interests of this Board and of the University of Kentucky that said tendered Loan Agreement be approved and accepted, and that the proper officers of the Board be authorized to sign and return the same for signature on the part of HEW,

NOW, THEREFORE, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY DOES HEREBY RESOLVE, AS FOLLOWS:

Section 1. The Loan Agreement tendered to the Board by HEW under the provisions of Title III of the Higher Education Facilities Act of 1963, As Amended, identified by HEW as its Project No. 5-1555, relating to the new Classroom, Faculty and Administration Office Building, with necessary equipment, utilities and site improvements, to be constructed by the Board upon the Main Campus of the University in Fayette County, Kentucky, and containing a guarantee on the part of HEW to submit a bid at public sale for a Series of the Board's "Consolidated Educational Buildings Revenue Bonds" in the principal amount of \$4,393,000, at par, and at an interest rate of 3% per annum, be, and the same is, hereby approved and accepted together with all of the terms and conditions which are incorporated and set forth in the printed "Terms and Conditions" and the printed "Supplemental Terms and Conditions" which are attached thereto and constitute a part thereof.

Section 2. The proper officers of this Board are hereby authorized and directed to sign in the name and on behalf of this Board an appropriate number of copies of said Loan Agreement, as tendered, and to return the same to HEW for signature on behalf of the Government of the United States. The officers of this Board, the President, the Vice President for Business Affairs, and other members of the Staff of the University, and the Louisville, Kentucky, law firm of Grafton, Ferguson, Fleischer & Harper, the Board's Bond Counsel in connection with said Project and the financing thereof, are hereby authorized to cooperate and work with HEW in connection with all details and proceedings which may from time to time be necessary or incidental to the Board's compliance with the prescribed terms and conditions of said Loan Agreement.

Section 3. This Resolution shall be in full force and effect from and after its adoption.

ADOPTED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY at a special meeting held on the 17th day of March, 1967.

(SEAL)

ATTEST:

Chairman or Vice Chairman

Secretary

CERTIFICATION

The undersigned, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certifies that the foregoing is a true copy of a Resolution duly adopted by said Board of Trustees at a properly convened special meeting held on March 17, 1967, a quorum being present for the transaction of business, all as shown by the official records in my custody and under my control.

WITNESS my hand and the seal of said University, this _____ day of March, 1967.

Secretary
Board of Trustees
University of Kentucky
Lexington, Kentucky

(SEAL)

Action: Approved X Disapproved _____ Other _____

Date: March 17 , 1967

Office of the President
March 17, 1967

PR 10

Members, Board of Trustees:

SELECTION OF EXTERNAL AUDITOR FOR 1966-67

Recommendation: that the Board of Trustees engage the firm of Haskins & Sells, Certified Public Accountants, to perform an examination of the accounts of the University of Kentucky fund groups, the University of Kentucky Research Foundation, the Fund for the Advancement of Education and Research in the University of Kentucky Medical Center, the Athletic Association, and the Thomas Poe Cooper Agricultural Foundation, Inc., for the fiscal year ending June 30, 1967 and render reports for a fee, including expenses, of not more than \$23,000.

Background: The firm of Haskins & Sells has performed the examination of the accounts of the University of Kentucky and affiliated corporations for the fiscal year ended June 30, 1966. The administration has advised the Finance Committee of its satisfaction with the audit performed for 1965-66. The experience of the members of the firm in the examination of accounts of colleges and universities is such as to enable them to render an audit report which is significant to the administrative officers responsible for the business and fiscal affairs of the University.

Action: Approved X Disapproved Other

Date: March 17 , 1967

Office of the President
March 17, 1967

PP 11

Members, Board of Trustees:

SELECTION OF CONSULTANT TO STUDY FISCAL RELATIONSHIP BETWEEN
THE UNIVERSITY OF KENTUCKY AND THE COMMONWEALTH OF KENTUCKY

Recommendation: that the Vice President - Business Affairs and Treasurer be authorized to enter into a contract with the firm of Peat, Marwick, Mitchell & Company, Certified Public Accountants, for a study of the fiscal relationship between the University of Kentucky and the Commonwealth of Kentucky and render a report for a fee of not more than \$35,000, including expenses, and that Restricted Funds Balances be used to finance the study.

Background: The University of Kentucky has been growing rapidly over the last two decades since World War II and, at the present time, anticipates an increasing rate of growth, both in size and complexity of operations. As a state-supported University, it receives a large proportion of its total revenue from appropriations made by the Commonwealth of Kentucky. In line with the growth of the University, the amounts appropriated by the Commonwealth have increased and the number and scope of transactions involved in its disbursement have increased accordingly. Presently, the University is required by Commonwealth procedures to pass all funds through the Commonwealth's Department of Finance, both for operations and capital outlay. While a great deal of progress has been made in recent years in providing for a smoother working relationship between the Commonwealth and the University with respect to the disbursement of these funds and the acquisition of goods and service, it is anticipated that further progress is possible.

Action: Approved X Disapproved Other

Date: March 17 , 1967

Office of the President
March 17, 1967

PR 12

Members, Board of Trustees:

SELECTION OF FIRM FOR MANAGEMENT ADVISORY SERVICES IN CONNECTION
WITH IMPLEMENTATION OF HOSPITAL INFORMATION AND CONTROL SYSTEM

Recommendation: that the Vice President - Business Affairs and Treasurer be authorized to enter into a special contract with the firm of Haskins & Sells, Certified Public Accountants, for Management Advisory Services to be rendered in connection with implementation of the Hospital Information and Control System set forth in the report dated December 27, 1966 and that fees, including expenses, in an amount not to exceed \$60,000 be appropriated from reserve funds in the General Funds budget established for University Hospital.

Background: The firm of Haskins & Sells was engaged to make an audit of University Hospital fiscal policies and procedures and to make specific recommendations. This work designated as Phase I, has been completed and their report dated December 27, 1966 has been rendered. This report recommends changes in fiscal policies and procedures which should be implemented in the near future. This implementation, designated as Phase II, requires an outside advisor to coordinate the various steps involved and to keep the administrative officers informed of progress made.

Action: Approved X Disapproved Other

Date: March 17 , 1967

Office of the President
March 17, 1967

PR 13

Members, Board of Trustees:

AMENDMENT TO ROOM AND BOARD RATES

Recommendation: that the Board action of December 13, 1966 adjusting the room and board rates for undergraduate housing to \$850 per year be amended to add an optional room and board plan consisting of breakfast and dinner only for students living in University Residence Halls at a rate of \$735 per year.

Background: The present plan requires all students living in University Undergraduate Housing to execute a contract for board with three meals per day excluding the evening meal on Sunday. The two meal a day board plan will be offered as an option and will permit students to obtain the noon meal on a cash basis. The cafeterias in Donovan Hall and Blazer Hall will continue to operate solely on a contract basis. Students desiring the three meal contract will eat their noon meal at one of those two cafeterias. The cafeterias in the Dormitory Complex and the Student Center will serve the noon meal on a cash basis only. Thus, students on a two meal a day contract will be permitted to eat at either the Dormitory Complex or Student Center cafeterias.

Such options will provide flexibility and better utilization of Food Service facilities for serving both students residing on campus and students residing off campus for the noon meal.

The room and board plan offering three meals per day at a rate of \$850 per year will remain in effect. This amendment offers an option to students desiring a board plan with two meals per day only.

Action: Approved X Disapproved Other

Date: March 17 , 1967

Office of the President
March 17, 1967

PR 14

Members, Board of Trustees:

ENERGY SOURCES FOR LEXINGTON CAMPUS

Recommendation: 1. That Schedule i attached to and made a part of the Resolution of the Board of Trustees adopted on January 20, 1967, and relating to construction financing be amended by deleting therefrom Item 6 of Part I thereof.

2. That an additional project, to be denominated Item 12, be added to Schedule 2 of the aforementioned resolution. The description of this project is as follows:

This project will provide for the addition of auxiliary equipment to the existing heating plants and the reconstruction of the existing distribution system to improve the efficiency of the existing plants and increase their capacity.

The estimated amount of financing required for this project is \$750,000. The anticipated date of contract award is July 1, 1967.

Background: The University has recently been engaged in an exhaustive fuel energy systems study to determine the best and most economical means of providing heating capacity sufficient to serve the institution's expanding physical facilities. At this time, there is insufficient information available upon which to properly evaluate all alternate systems for long range use. The above recommendations, if approved, will allow the University to achieve maximum utilization of its existing heating facilities, and, with the limited use of electricity for heating certain new facilities, obtain the information necessary to properly evaluate alternate energy systems and reach a final decision with respect to the University's long range heating requirements.

Action: Approved X Disapproved Other

Date: March 17 , 1967

Office of the President
March 17, 1967

PR 15

Members, Board of Trustees:

AUTHORIZATION TO PARTICIPATE IN INCORPORATION
OF OHIO VALLEY REGIONAL MEDICAL PROGRAM

Recommendation: that the following resolution be adopted:

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY APPROVING THE ARTICLES OF INCORPORATION AND BY-LAWS OF THE OHIO VALLEY REGIONAL MEDICAL PROGRAM, DESIGNATING DR. WILLIAM R. WILLARD AS THE UNIVERSITY'S MEMBER OF THE EXECUTIVE BOARD OF THE REGIONAL MEDICAL PROGRAM, AND AUTHORIZING THE PRESIDENT OF THE UNIVERSITY TO EXECUTE THE DOCUMENTS NECESSARY TO INCORPORATE THE REGIONAL MEDICAL PROGRAM

THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY HEREBY RESOLVES AS FOLLOWS:

1. This Board has been apprised of the contents of the proposed Articles of Incorporation and By-Laws of the Ohio Valley Regional Medical Program Inc. and hereby approves the same.
2. The Board hereby designates Dr. William R. Willard, Vice President for the Medical Center of the University of Kentucky, as its member of the Executive Board of the Ohio Valley Regional Medical Program Inc., in accordance with Article IX of the aforementioned Articles of Incorporation.
3. The Board authorizes and directs the President of the University to execute the documents necessary to effect the incorporation of the Regional Medical Program in accordance with the aforementioned Articles of Incorporation and the applicable statutes of the Commonwealth of Kentucky.

Background: The University of Kentucky, the University of Cincinnati and the University of Louisville are engaged in the planning phase of a regional program relating to the medical aspects of heart disease, cancer, and stroke under a grant from the United States Public Health Service made pursuant to Public Law 89-239.

Representatives of the institutions involved have held extensive discussions on the administrative aspects of the program and have proposed that the program be operated by a corporation, to be known as the Ohio Valley Regional Medical Program Inc. This corporation will then contract with the several institutions for the performance of the services required by the program.

The foregoing resolution is necessary to enable the University to proceed in its role as one of the incorporators of the new corporation.

Action: Approved X Disapproved Other
Date: March 17 , 1967

Office of the President
March 17, 1967

PR 16

Members, Board of Trustees:

CONTRACT WITH THE BOARD OF TRUSTEES OF THE
GOVERNOR'S LINCOLN SCHOOL

Recommendation: that the President be authorized to enter into a contract with the Board of Trustees of the Governor's Lincoln School by which an academic residential school may be operated for gifted children of the Commonwealth.

Background: The General Assembly, at its regular session in 1966 enacted House Bill 89, an act relating to the establishment of a secondary school for the education of exceptionally talented children. Section 166.191 of the Kentucky Revised Statutes provides that the state institution formerly designated as Lincoln Institute, and located in Shelby County, Kentucky, shall be established, utilized, and maintained for this purpose.

Section 166.195 vests the government of the School in the duly appointed Board, and provides that the Board shall prescribe the qualifications, rules and regulations governing its conduct and administration.

Section 166.200 provides that the Board enter into a contract with the University of Kentucky for the operation of the School.

Appropriate resolutions have been passed by the Board of Trustees of the Governor's Lincoln School and the Board of Regents of Kentucky State College whereby any funds and all records, facilities, and other properties, both real and personal, held, owned, used and enjoyed by Lincoln Institute, are now under the care, control, custody and maintenance of the Board of Trustees of the Governor's Lincoln School.

Action: Approved X Disapproved Other

Date: March 17 , 1967

REPORT AND RECOMMENDATIONS OF COMMITTEE ON STUDENT AFFAIRS

At its first meeting in March, 1966, the Committee reviewed the charges given it by the Senate under the Academic Plan and discussed them with Vice-President Johnson. It then established a list of priorities for each of the charges. The first priority, at the request of the Vice-President for Student Affairs and with the full concurrence of the Committee, was given to the question of the so-called "in loco parentis" doctrine as applied to the university-student relationship with particular regard to student discipline. The recent report by Committee S of the AAUP (attached as Appendix A) concerning student rights on matters of discipline, recent federal court decisions on civil rights in general, and the unfortunate exposure of the lack of attention to student rights recently demonstrated by a sister institution, convinced the Committee of the urgency of this matter. Accordingly, it began its study by investigation of present rules and procedures.

An investigation of the Governing Regulations revealed the fact that student discipline is mentioned only in connection with the enumeration of the powers and duties of the Dean of Men and Dean of Women. In both cases the Dean is charged with the investigation of all allegations of misconduct. He or she is also given authority to take disciplinary action. Each is further directed to see to the enforcement of the Rules of the University Faculty. No specific procedures or offenses of any kind are enumerated in the Governing Regulations.

An examination of the Rules of the University Faculty revealed a section entitled "Student Discipline and Control" (Section VIII pp. 18-20). The first paragraph of this section states as follows:

"In issuing rules for the regulation of the affairs of the University and the government of its students, it is not deemed necessary to set out details. The University expects all students to hold to the strictest standards of honesty and to conduct themselves in a seemly manner, bearing in mind that their conduct determines in a large measure their reputation and that of the institution."

Following this broad general statement there is only one specific offense enumerated - hazing. There is a general reference to state law on alcoholic beverages with an interpretation of its meaning (a major portion of which the law member of the Committee believes legally unjustifiable) with a final statement that the University "expects" (not requires) all students to obey the laws of the Commonwealth. Then a general statement is made on class discipline which by inference pinpoints cheating as another offense. This statement further provides for the applicable punishments for violation of academic discipline while a footnote thereto establishes a special faculty-student committee to investigate any case involving academic misconduct. (It

is interesting to note that in the memory of the Deans this Committee has functioned only once and the permanent members of this Committee have never been appointed.) Then there is a section requiring all students to be financially responsible. Failure to meet financial obligations may result in disciplinary action. All delinquent obligations to the University or any department or any organization are to be reported and the Registrar directed to refuse registration until they are met. With the exception of a list of the various punishments which may be imposed for breaches of discipline, this concludes the section on student discipline.

These rules also contained a section on student organizations (Section IX pp. 20-22). This section established the purpose for which student organizations could be formed, required the appointment of a faculty advisor, stated the procedures for formation and recognition of such organizations, and enunciated the duties of the faculty advisor. It also contained a section on academic requirements for social organizations (which the Committee discovered had been eliminated) and a provision requiring all student organizations to deposit their funds with the University business office for disbursement only on the signature of the appropriate officer and the faculty advisor. The section concluded with a charge to the Committee on Student Organizations and Social Activities (now defunct and replaced by the Committee on Student Affairs) to prepare a social program for the University, and a general statement on participation in intercollegiate athletics. Most of the material contained in this section had either been eliminated or amended or was the subject of the last report of the Committee on Student Organizations and Social Activities which is attached to this report as Appendix E.

In light of the paucity of specific information contained in the Governing Regulations and the Rules of the University Faculty, the Committee decided to hold meetings with those engaged in the handling of student discipline. A report of the data elicited from these meetings is attached hereto as Appendix B. As can be seen the basic student and administrative objections to the disciplinary rules are their lack of specificity and the concomitant lack of uniformity in application. The Committee was concerned therefore with the lack of any really concrete rules on what is a violation of discipline and how to determine and punish any such alleged violation. Accordingly, the Committee continued to meet during the summer in order to formulate its recommendations regarding student discipline for action by the Vice-President for Student Affairs and adoption by the Faculty Senate and the Board of Trustees.

In making the recommendations below, the Committee operated under the basic premise that University students have both legal and moral rights to know the specific areas of prohibited conduct and to be judged as to accusations of commission of such conduct by a fair and impartial tribunal. Today's student is an adult not only by virtue of recent legislation in Kentucky but also by the responsibility placed on him by today's society (military, political and social obligations). He is at the University as a member of a community of scholars seeking to acquire and communicate knowledge and so long as his conduct in and out of the classroom does

not impinge on the rights of other such scholars the University should not exercise its powers to either condone or condemn. The University is not responsible for imposing punishment for violation of state or local laws. That is the sole prerogative and duty of the state and local police and judicial systems. The sole concern of a university is to provide protection of, and facilities for, those who seek knowledge.

It must be understood that this report deals only with university disciplinary offenses and procedures. Accordingly, it is not intended to cover, and does not cover, decisions of the faculty of a professional school as to the character, moral or ethical, required of a student for purposes of awarding a degree or certificate, or for continuation as a candidate for such degree or certificate. Therefore, such decisions are not subject to review within the procedures established herein.

Recommendations

Introduction: In formulating the recommendations which follow, the Committee first identified five separate areas of student-university contract: 1) the student as a scholar, 2) the student as a tenant, 3) the student as a member of a student organization, 4) the student as an employee, and 5) the student as a customer for goods and services. Only in the first of these areas can the University appropriately apply its distinctive disciplinary punishments (such as suspension and expulsion), although, it can employ analogous measure against student organizations and thereby indirectly affect the student himself. In the second, fourth and fifth categories, however, the University has the same rights and privileges as any other person or organization in the same capacity. Thus as a landlord it can set the rules and procedures for admission to and tenancy in its various residences. As an employer it can establish and enforce requirements for securing and continuing employment. As a retailer or seller of goods and services it can employ the normal legal rules and procedures of any retailer to prosecute or sue any student who violates his duties and obligations in his relationship with such retailer.

In these last two areas, the Committee believes the University must act only as an employer or retailer and apply only those powers which any employer or retailer enjoys in his relationship to his employees or customers. While it is true that as a landlord the University is also acting in a normal business enterprise, the relationship thus established is one designed solely for students to assist them in their scholarly endeavors. As such it directly affects the environment in which the student operates. Therefore, the Committee believes that some attention should be devoted to establish procedures in this area as well as in the two primary areas involving the student-university relationship. Accordingly, the material which follows is divided into these three categories and each is handled separately.

Within each of these three areas, the Committee has also subdivided its recommendations so as to cover in order the three basic divisions of any disciplinary system-- a) the offenses which call for disciplinary proceedings, b) the proceedings themselves, and c) the punishment which may be imposed for the offense.

RECOMMENDATIONS ON STUDENT DISCIPLINE AND PROCEDURES

I. The University As Landlord

A. The Offenses - In the area of offenses against the University as a landlord the Committee felt it unwise to suggest any specific offenses because of its somewhat cloudy memory regarding the activities of the students within dormitories and rooming houses. It therefore suggests and recommends that the University be given the authority to promulgate the rules of conduct for students who use or dwell within University dormitories, cooperatives, housing projects or rooming houses, i.e. all buildings owned and operated exclusively by the University. These rules should be posted prominently in all such University buildings where students reside. In addition the Committee suggests and recommends that the residential Housing Councils be given legislative authority to establish additional rules and regulations regarding conduct within their jurisdictions.

B. The Procedures - House Councils should be established for each comprehensive geographical housing unit(s). Election of members to this House Council shall be generally in accordance with present regulations affecting election of existing House Councils except as necessarily expanded in order to provide adequate representation to the house councils where there is more than one individual unit. In addition, in accordance with present procedures each such housing unit(s) shall have a resident advisor appointed by the University. This advisor shall have the duty to counsel and advise students having disciplinary problems affecting that student's relationship with the University as a landlord. (He shall also be under the obligation of investigating any complaint of violation of the rules to determine the facts regarding such alleged violation.) Such advisor shall have no authority to impose disciplinary punishment upon the student but, after counseling with the student, may suggest that the student accept certain voluntary disciplinary punishment or counseling and, if the student agrees to accept such punishment or counseling, the resident advisor shall be obligated to see that the student carries out his agreement. In connection with these disciplinary duties, the resident advisor shall not be permitted to contact the parents of any such student who is over the age of 18 without that student's permission.

If the advisor and the student cannot agree on the appropriate punishment or counseling, or if, at any time, the student refuses to discuss the matter with the resident advisor, the resident advisor shall then present the results of his investigation of the facts to a body which shall be known as the Residence J-Board. This Residence J-Board shall be composed of at least five students and not more than nine who shall have been selected by the resident advisor from a list of names which shall be supplied to him by the House Council which governs the particular housing unit(s). The Residence J-Board shall have jurisdiction over the entire comprehensive unit and, where the unit is composed of more than one individual unit, the House Council is advised to choose sufficient names so that there is at least some attempt made to include representation from all of the individual units. In order to be a member of such Residence J-Board the student must have been in residence at the University for at least one year and at the housing unit at least one semester and must be a member of a class other than the freshman. (NOTE: Neither the residence requirement nor the class requirement shall apply where the housing unit is composed solely of freshmen.) Furthermore, the House Council should establish procedures with regard to its recommendations to make sure that any person suggested is willing to serve in such capacity.

The Residence J-Board then shall have jurisdiction over all cases involving violations of the rules of conduct occurring within the comprehensive housing unit to which it is connected. The Residence J-Board shall be responsible for determining the guilt or innocence of the accused student and shall have the primary authority for imposing punishment upon the student if it determines that the student has, in fact, committed a violation. Notice of the punishment determined shall be communicated to the resident advisor for action.

Any student who believes that he has been improperly adjudged guilty or who believes that his punishment is too severe for the nature of the offense, shall have a right to appeal from the decision of the Residence J-Board to the University J-Board. Such appeal must be in writing, setting forth the areas of disagreement with the Residence J-Board and must be filed with the University J-Board within 30 days of the announcement of the decision of the Residence J-Board. The University J-Board (whose composition and general structure is set forth infra) shall have the right to reverse the decision of the Residence J-Board both as to the existence or non-existence of a violation and as to the scope or size of the punishment to be imposed. However, in the latter case the University J-Board shall have authority only to reduce the punishment, not to increase it.

C. The Punishment - The Residence J-Board may impose any punishment up to and including dismissal from the housing unit. In other words, the discipline may consist of social probation, reprimand, fines, and any other appropriate punishment. It is important, however, that the Residence J-Board understand that it cannot impose traditional University disciplinary punishments, e.g., suspension or expulsion, for violation of housing rules and regulations. It is suggested that the Residence J-Board establish, as much as possible, a system of possible punishments which will be imposed for violations of various rules. The Committee is making no suggestion on this point because it feels that the variety of possible rules which might be violated require greater discretion on the part of the Residence J-Board in order to "make the punishment fit the crime."

II. The University As A Community Of Scholars

A. The Offenses - There are two categories of offenses against the community. The first can be designated academic and the second disciplinary. In the first category there are two specific offenses - cheating and plagiarism. In order to avoid misunderstanding as to the meaning of the second, the Committee has prepared a rather detailed definition of the term (attached hereto as Appendix C). The Committee decided not to define "cheating" in order to avoid the possibility of creating loopholes for the ingenious. The word is sufficiently well-understood as it stands to warn the student and the Committee felt it wiser to leave the final determination of what might constitute cheating to the judicial agencies.

In the disciplinary category the Committee chose only those actions which directly affected the University community. Accordingly, it recommends the following be designated the only disciplinary offenses:

- 1) Lifting, taking, or acquiring possession of, without permission, any academic material (tests information, research papers, notes, books, periodicals, etc.) from a member of the University faculty or staff or any comparable violation of academic security;

- 2) abusive, obscene, violent, excessively noisy or drunken misbehavior in the classroom;*
- 3) stealing any item of tangible or intangible personal property from the University or from a member of the University community (faculty, staff or students);
- 4) abusive, obscene, violent, excessively noisy or drunken misbehavior on or in University property at any time (University property is defined as "all real property owned and operated by the University and all such property leased to or operated by nonstudent organizations which are under the control and regulations of the Board of Trustees of the University of Kentucky.").
- 5) malicious or otherwise unwarranted destruction of property belonging to the University or to a member of the University community;
- 6) the threat of, or commission of, physical violence against any member of the University community or any person present on University property except while engaged in authorized sports activities;
- 7) knowingly passing a worthless check or money order in payment of any financial obligation to the University or to a member of the University community acting in an official capacity;
- 8) any violation of University rules regarding the operation and parking of motorized vehicles or regarding the use of University property;
- 9) hazing of any kind;
- 10) any misuse by a student of his position as a student, or of his right to use University property, to commit, or induce another student to commit a serious violation of local, state or federal laws.

The Committee also decided on a general statement of policy regarding the relationship of the University disciplinary procedures and the local authorities and/or local establishments. The policy is as follows: "The University is not a sentencing authority for violations of state or local law and therefore cannot undertake to impose punishment for violations of such law - that is, the prerogative of state and local courts. Therefore, the University will not accept remand of students charged or convicted of violations of such laws for the purpose of imposing disciplinary punishment. However, a University representative may accept court appointment of probation of a student to his care and impose such requirements as he sees fit. If such student violates these requirements he must be

*While this offense is listed as a disciplinary offense subject to disciplinary procedures, it should be clear that the instructor has the ultimate control over classroom behavior and can, therefore, eject from the classroom, any student engaged in such conduct.

returned to the court for such further proceedings as the court shall determine but not for University disciplinary punishment. On the other hand, the University feels an obligation to assist any student charged with a violation of state or local laws and accordingly, if the student requests assistance, a University representative shall be made available to advise and assist him. Furthermore, the University is not a policing authority for activities of a student outside the University community and therefore cannot act as a collection agency for landlords, retail stores, etc., nor can it impose disciplinary punishment for the student's misconduct in such places unless that misconduct falls within the offenses previously stated."

Students should be reminded however, that while violations of state and local law may not call for University disciplinary proceedings, University officials may report such violations to state and local police authorities for appropriate action.

B. The Procedures - Before outlining the exact procedures to be recommended, the Committee believes it important to elaborate on its concept as to the role of the Dean of Men and the Dean of Women (or their equivalent in the University's administrative structure) in the structure it seeks to establish. The Committee believes that the deans should provide counseling and advising service to students in both academic and disciplinary matters. They can best provide this kind of help if the student regards them as a friend and confidant rather than as a prosecutor or judge. Obviously only the deans have the knowledge and facilities to investigate alleged academic and disciplinary violations, but they need not be required to act as judge nor as prosecutor. Accordingly, the power to impose disciplinary punishment is to be placed in the hands of the University Judicial Board. However, when either of the deans, after investigation into an alleged violation of the disciplinary rules, believes a student has committed a disciplinary offense, he should counsel with such student and may outline disciplinary punishment or treatment. In counseling with such student in connection with his or her disciplinary difficulties the dean shall not contact the parents of a student who is over the age of eighteen unless the student agrees; except when, in the considered judgment of the appropriate dean (or in his or her absence the Acting Dean, but no other member of the staff) there is a threat of serious danger to the physical or mental health of the student himself or to other members of the University community. If, after so counseling with the Dean, the student is not willing to accept the course of conduct outlined by the Dean, or if the student so desires at any time after notification of alleged complicity in a disciplinary offense, the Dean shall forward the reports and evidence concerning the alleged disciplinary violation to the University J-Board for appropriate action. From that point on the Dean is concerned with the keeping of the records of the University J-Board and the Appeals Board and in aiding the student to comply with the punishment decreed by either board.

The Committee then feels that the basic disciplinary body should be a quasi-judicial board composed of the student's peers and holding full authority to judge and, where appropriate, to sentence an offender for violation of disciplinary rules. On the other hand, where an academic offense has been committed, the Committee feels that the interest of the faculty requires the Board to be composed primarily of academic personnel. In either case the Committee believes that an appeals process should also be provided. Accordingly the

Committee has established two major boards to conform to these principles - the University Judicial Board (hereinafter referred to as the J-Board) and the University Appeals Board (hereinafter referred to as the Appeals Board). The following material explains the jurisdiction, composition, procedures and selection for each such board.

1) The University Judicial Board - the J-Board - shall receive appeals from decisions of any Residence J-Board. Only a student has the right to appeal these decisions. As an appeal board the J-Board shall have the authority to reverse the decision of the Residence J-Board regarding the student's guilt or to mitigate the punishment. The J-Board shall also have authority to try all cases involving violations by students of University disciplinary rules. In such capacity it shall determine the issue of guilt or innocence and it shall have authority to set any punishment short of actual suspension or expulsion. If the J-Board believes that actual suspension or expulsion is the appropriate remedy, it shall recommend such action to the President of the University. In its procedures it shall follow the statement of student rights attached hereto as Appendix D.

In composition, the J-Board shall consist of seventeen persons; seven graduate or professional students, five male undergraduate students, and five female undergraduate students. There shall be three co-chairmen; one selected from each of these groups. Where the purported violation was committed by a graduate or professional student, the court shall be composed only of its graduate or professional members. By like token, when the purported offense is committed by an undergraduate student, the Board shall be composed of a mixed board of undergraduate students with at least two members of each sex represented. In the event, however, that the accused student so requests prior to his or her hearing, he or she is entitled to a board composed of his or her own sex only.

In order for any proceeding to be taken against a student for a violation of University rules, at least five members of the J-Board from the appropriate groups as designated above must be present to hear the case. Any decision of the University J-Board must be by a majority of the members of the court sitting on the case.

The selection of members of the J-Board shall be made as follows: for the undergraduate members of the Board, any student, other than a freshman, who has had at least one year of residence on the Lexington campus and has at least a 2.5 cumulative average may apply to the Senate Advisory Committee on Student Affairs for appointment to the J-Board.

As to the graduate or professional members - any student enrolled in the Colleges of Dentistry, Law, Medicine or in the Graduate School who has been in residence at least one semester and is in good standing within his or her appropriate school or college may apply to the said Senate Committee for appointment.

The Senate Advisory Committee on Student Affairs shall screen all applications for membership and forward those approved to the Student Government President who, with the advice and approval of the Vice President for Student Affairs, shall make the final appointment of the J-Board members.

All graduate or professional members shall be appointed for one-year terms and shall be subject to reappointment. Three of the male and three of the female undergraduate members shall be appointed on the same terms. The remaining undergraduate members, however, shall be appointed for two-year terms on a staggered basis. Since it is important that all members of the J-Board sit as members of

a court to try violations within their jurisdiction, three unexcused absences in any one semester shall constitute automatic dismissal from the J-Board. Furthermore, the J-Board acting as a unit may make such additional regulations regarding absences as it deems appropriate. All requests for excused absences shall be made to the Office of the Vice President for Student Affairs and his decision shall be final.

2) The University Appeals Board - This board shall have jurisdiction over appeals from the J-Board on all matters of University disciplinary violations and from the faculty member involved as to all academic violations. Any student who feels aggrieved as to the decision of the J-Board either on the issue of fact as to the commission of the offense or as to the severity of the punishment imposed may, within 30 days of the receipt of the decision of the J-Board, appeal in writing for review by the Appeals Board. This request shall be directed to the Vice President for Student Affairs who shall then forward the request to the Chairman of the Appeals Board for appropriate action. Also, if the President of the University in his official capacity believes that the punishment imposed by the J-Board was inadequate for the offense committed, he may request a review thereof by the Appeals Board. This request shall be directed to the Chairman of the Appeals Board within 30 days of the decision by the J-Board. Where the appeal by the student requests review of the issue of fact regarding the commission of the offense, the Appeals Board shall review the evidence and make its decision. Such decision shall be final. Where the student appeals on the issue of the nature of the punishment, or where the President requests a review of the punishment, the Appeals Board shall make its determination and then recommend what it believes to be the appropriate punishment to the President. The President shall then determine the punishment. Where the student is appealing the punishment, the Appeals Board cannot recommend a more severe punishment than that imposed by the J-Board, but when the President requests a review the Board shall recommend any punishment it believes appropriate to the offense. Any decision and/or recommendation by the Appeals Board must be by a majority vote of those sitting on the case. From the decision of the President the student shall have a right to final appeal to the Board of Trustees.

As previously stated, the Appeals Board shall sit on appeal from the decision of the faculty member involved on all matters of academic violations. Its jurisdiction shall be as follows: 1) To hear all appeals by a student from a decision of a faculty member that he has in fact cheated or plagiarized in any examination or paper given by, or submitted to, the faculty member. In this connection the Appeals Board shall be the final determinant on the issue of fact as to the occurrence of the offense; 2) once the fact has been determined that cheating or plagiarism has occurred, the faculty member shall have the right to impose punishment up to and including the awarding of an E grade on the test or paper on which the cheating or plagiarism occurred and such punishment is not subject to appeal. If, however, the instructor imposes punishment greater than an E grade on the test or paper, the student shall have the right to appeal to the Department Chairman of the department in which the offense occurred and the Department Chairman may in his discretion recommend a review by the Appeals Board of the punishment proposed by the instructor. Furthermore, in any case where the punishment proposed by the instructor is suspension or expulsion of the student, there shall be an automatic review by the Appeals Board. All requests for review must be made in writing within 30 days after the student has been notified of the action taken by the instructor, and must be forwarded, within the same period, to the Department Chairman in the case of an appeal on the punishment or to the Chairman of the Appeals Board in the case of an appeal on the facts.

In rendering its opinion on the facts issue, the Appeals Board may agree or disagree with the instructor as to the fact of the alleged violation. Where an appeal is made on the issue of the punishment imposed, if the Board agrees with the faculty member its decision shall be final. If it believes the punishment is too severe it shall set the punishment unless such punishment involves the changing of a grade. In such case the Board shall recommend to the Department Chairman the grade it deems appropriate for consultation between the Department Chairman and the faculty member. In the case where the punishment requested by the faculty member is suspension or expulsion, the Appeals Board, if it agrees, shall so recommend to the President of the University for his approval and implementation. If it disagrees, it shall return its decision or recommendation to the Department Chairman as aforesaid.

The composition of the Appeals Board, which shall consist of nine members, will be three student and six faculty members. The students will be a graduate or professional student, a male undergraduate and a female undergraduate. The undergraduates must be seniors with at least a 2.5 over-all average and the graduate or professional student must have been in residence at least one year and in good standing in his or her school or college. They will be selected by the President of the University by such methods and procedures as he shall deem appropriate. The faculty members will be selected by the President upon the recommendation of the University Senate Council and the Chairman designated by like procedure. The Vice President for Student Affairs will be an ex officio member of the Appeals Board. The students will be appointed for one-year terms and the faculty will be appointed on staggered three-year terms. A quorum for the conduct of business will be seven members, not less than five of whom are faculty members. The Appeals Board must meet at least once a month at a fixed time and place unless the Chairman informs the members that no business requires attendance.

With regard to the Summer Session, the Committee decided that the individual boards should have the authority to delegate their duties during the summer school session by creation of special boards if necessary. If sufficient members of the board will be present during the summer, they will continue to function and any member of the board who is present will be automatically a member of any special board created for that purpose.

Where any school or college has established, or shall establish, an Honor Code or comparable system, which is governed by the students with approval by and/or appeal to the faculty of such school or college, the procedures outlined above shall not govern the following offenses to the extent that they are included as offenses subject to the Honor Code and committed by a student subject to such system:

- 1) The academic offenses listed on page two;
- 2) disciplinary offense number two listed on page three;
- 3) disciplinary offense number one listed on page two to the extent that it is committed within the school or college subject to such system.

Such Codes may include as offenses such other actions as are deemed appropriate but cannot cover the offenses described in this report except as stated above.

The punishment meted out to a student governed by such a system shall be as designated thereby except that actual suspension or expulsion shall be imposed only with the recommendation of the Dean of the School or College and upon the approval of the President of the University.

The procedures of all such Honor Code systems must conform to the statement of student rights attached to this report as Appendix D and such systems are subject to review for fairness and clarity by the Senate Advisory Committee on Student Affairs on the request of the Vice President for Student Affairs. Furthermore, such systems must have been or be installed by majority vote of the students to be covered by such system and approved by the faculty involved.

C. The Punishment - The Committee decided that the following were to be the punishments possible to be given to any student found guilty of the commission of a disciplinary crime in their order of severity:

- 1) Expulsion - permanent forced withdrawal from the University;
- 2) actual suspension - forced withdrawal from the University for a specified period of time;
- 3) undated suspension - same as actual suspension except that the student is permitted to remain on campus provided he follows stated conditions imposed with the punishment;
- 4) disciplinary probation - placing of the student under such social and behavioral restrictions as the J-Board shall establish and publish after consultation with the Vice President for Student Affairs;
- 5) fine - forced compensation to the person or the University whose property rights have been violated by the offender;
- 6) reprimand - an admonition which can include a reasonable requirement for additional academic or nonacademic labor in keeping with the offense committed.

Punishments for academic violations shall be as follows:

- 1) Any grade on the paper or exam in which the offense occurred;
- 2) any grade for the course in which the offending paper or exam was submitted;
- 3) forced withdrawal from the course and/or department in which the offense occurred either with or without credit for the course;
- 4) undated suspension;
- 5) actual suspension;
- 6) expulsion.

The Committee, while firmly committed to the concept that the University should exercise its disciplinary powers only after careful deliberation by a duly constituted body, also realized that there may be occasions when a student's misconduct raises the spectre of serious threat to the community of scholars under circumstances which might well create a prejudice against the student in any contemporaneous legal proceeding should the J-Board be convened. Accordingly, in order to protect both the student and the community of scholars the Committee believes certain temporary disciplinary powers should be conferred upon the Vice President for Student Affairs. The Committee therefore recommends the following statement as to such power:

In the event that a student has been accused of an offense against the University and/or against the city, state or federal government, the nature of which may present a clear and present danger of serious physical or mental harm to the student or to any other member of the University Community or to University property, the Vice President for Student Affairs, after receipt of the recommendation of the University Appeals Board, may impose such temporary sanctions on the student as are reasonably necessary to protect the student, the University community and/or University property from such danger.

Such temporary sanctions shall exist only until such time as the student shall request a hearing before the J-Board or, in the event the offense is not one subject to University disciplinary procedures, until the student's trial by the properly-constituted authorities has been completed.

III. The University As Supervisor Of Student Organizations

A. The offenses - A student organization may not retain registration or may be subject to some lesser punishment if it is guilty of the following:

- 1) Hazing;
- 2) disorderly conduct;
- 3) interference with activities of the University, of any other registered organization or, of any individual; except that peaceful picketing will be permitted so long as it does not directly inhibit the carrying out of an activity on University property. Any organization intending to picket must inform the Vice President for Student Affairs at least 24 hours in advance of the time, place and purpose;
- 4) poor academic performance by the membership of a social or specialty organization or social misconduct by any organization;
- 5) discrimination against any person due to race, color, sex, or religious affiliation or belief except when the express and legitimate purposes of the organization require limitation as to sex or religion.

Also no student organization may enroll as an official member nor elect or retain as an officer or committee chairman any student on academic probation.

B. The Procedures - The control over student organization shall rest with the Vice President for Student Affairs. Such organizations are creatures of the University and without legal status. Accordingly, no specific procedures for adjudicating the commission of any of the "offenses" or for any punishment other than refusal or cancellation of registration have been established. There are however, certain procedures which are necessary to control the operation of such organizations. These are as follows:

- 1) Any organization which wishes to use the U. K. name or University property or to solicit thereon must be registered with the Office of the Vice President for Student Affairs. Similarly any organization whose voting membership is limited solely to students or students and faculty or staff must be so registered. In order to register the proposed organization must acquire and complete the registration form presently available in the Office of the Vice President for Student Affairs and attached hereto as Appendix F.

Also, each such application must contain an affirmative statement that the organization does not and will not discriminate against any person due to race, color, sex or religious beliefs except when their approved purpose requires limitation as to sex or religion. No organization can be formed for illegal or immoral purposes.

- 2) Any organization whose voting members and whose officers are limited to students, faculty and staff of the University is automatically entitled to registration so long as it completes the required application form and abides by the rules and regulations set forth above. Any other organization, to the extent to which it is covered by the wording of subdivision 1) at the bottom of page 9 of this report, is required to secure the necessary registration but is not automatically entitled to registration. Its registration is at the discretion of the Vice President for Student Affairs and may depend upon any additional criteria he may prescribe. Such criteria shall be established and published by the Vice President and made uniform for all similar organizations. Furthermore, as to such organizations, the Vice President may similarly limit the registration, with its concomitant permission, to a fixed period of time, the length to be determined by him in his exercise of his discretion.
- 3) Each such organization must comply with the following rules:
The University Senate recognizes the following types of student organizations:

Social Sororities and Fraternities
Honors and Leadership Organizations and
Recognition Societies
Departmental Organizations and Professional
Fraternities
Political Organizations
Government Organizations
Specialty Groups (Religious, Athletic,
Military, etc.)

Each such organization must have an advisor as follows:

- 1) Every social fraternity or sorority shall have a University Advisor, who shall be appointed by the Office of Vice President for Student Affairs. The organization is also required to elect at least one faculty member to serve as a faculty representative.
- 2) Every honors, leadership, or recognition society is required to have a faculty representative.
- 3) Every departmental organization and professional fraternity shall have for guidance purposes a faculty consultant. If no such faculty member is available for a departmental organization, then upon approval of the department, the Vice President for Student Affairs may waive this requirement for a period not to exceed five years.

- 4) Every political organization shall have a University advisor elected by the membership or, failing that, appointed by the Office of the Vice President for Student Affairs as well as a faculty representative.
- 5) Every government organization shall have a University advisor appointed by the Office of the Vice President for Student Affairs. Such organizations should also solicit a faculty representative.
- 6) Every specialty organization shall have a sponsor, who must be approved by the Office of the Vice President for Student Affairs. Such organizations should also solicit a faculty representative.

These requirements for University advisors, faculty representatives, faculty consultants, and sponsors must be complied with upon submission of an application for University registration, or in the case of existing organizations, within one year after the promulgation of these rules.

The definition and scope of the various advisors required above is as follows:

- a) University advisor - a member of the staff of the Office of the Vice President for Student Affairs or any administrative office under his jurisdiction whose duty shall be to counsel and advise the organization and its officers as to their powers and responsibilities. However, he shall have no authority to prohibit any proposed action by a political organization.
- b) Faculty representative - a member of the tenured faculty of the University whose sole function is to make himself available to meet with members of the organization at their regular meetings or at a special meeting called for that purpose. He can use this opportunity to bridge the wall between faculty and students and discuss matters of interest in a more informal atmosphere.
- c) Faculty consultant - a member of the tenured faculty of the University connected with or interested in the organization who shall offer support and advice to the officers in carrying out the purposes of the organization.
- d) Sponsor - a responsible adult interested in the purposes of the organization who shall give guidance and counsel to the officers in carrying out the purposes of the organization.

4) Whenever the Vice President shall refuse or cancel registration of an organization whose voting membership is limited to students, faculty or staff of the University, the officers or proponents of such organization shall have a right of appeal to the President via the Appeals Board. The President's decision, after receipt of the advice of the Appeals Board, shall be final. Such appeal must be made in writing to the President within 30 days of receipt of notice of refusal or cancellation of registration.

APPENDIX B

The Findings of the Faculty Senate Committee on Student Affairs

The Dean of Men

Disciplinarian

The Dean of Men and his staff investigate all instances of alleged male misconduct. Those occurring in the men's residence halls are handled by the Head Resident. He refers all violations of residence rules and regulations (drinking, gambling, damaging property, etc.) to an Advisory Board appointed by each residence hall government (Cooperstown, Haggin Hall, Donovan-Kinhead Halls). Advisory Board disciplinary recommendations are usually accepted by the Head Resident and the Director of the Men's Residence Halls although they may overrule the Board. Action taken may consist of a reprimand, disciplinary probation, disciplinary undated suspension, and suspension from the residence halls.

Misconduct occurring outside the residence halls is handled by the Dean and his staff or is referred by the Dean to the J-Board. Disposition of a case varies according to the attitude of a particular Dean at a particular time towards the student involved and his offense. A student may always request that his case be adjudicated by the J-Board but it is not evident that students are apprised of this privilege.

The Dean briefs the J-Board about cases that he refers to it. Because of this action and because he must approve J-Board decisions, the Dean indirectly influences J-Board trials. Therefore, it is not surprising that nearly all J-Board decisions are approved by the Dean.

Intermediary

The Dean and his staff serve as an intermediary between male students and local individuals conducting business with them. A student who fails to meet some financial obligation is usually reported to the Dean's office. The student is then contacted, informed of the legal action that may be taken against him, and urged to fulfill his obligation.

The Committee feels that the Dean and his staff spend too much time serving in this capacity, and that the information and advice given the student, although in his best interests, convey the idea that the University might discipline him according to the state of his personal nonacademic affairs.

Counselor

The Dean stands in loco parentis to students apprehended by the local police. A staff member goes to the jail regardless of the hour, informs the student of his legal rights, warns him about unscrupulous bondsmen, and often obtains his release. Prior to trial, unless the student has obtained legal counsel or is accompanied by a parent, a staff member will appear in court with the student, inform him how to conduct himself, and advise him how to plea.

Because past and present judicial policy has been lenient in favoring the avoidance of a permanent police record that might exclude students from sensitive government and industrial positions in future years, the judge dismisses, files away, or probates charges against a student and paroles him for punishment to the Dean. Thus within a few minutes, the Dean changes from counsellor to disciplinarian.

The Dean of Women

Because the present Dean of Women has been in office for over eight years and has persuasively expounded and personally exemplified towards women violators a liberal, tolerant attitude, characterized by the abhorrence even of the term discipline, the Dean's staff and its student counterpart, AWS, is merely the lengthened shadow of the Dean. No criticism is implied in this observation. It is pointed out to justify the following discussion of the Dean's philosophy and practice, and to indicate their importance.

Philosophy

The Dean believes that women students have special problems because of their fewer social freedoms, lesser athletic opportunities for emotional release, and greater susceptibility to physical danger. For these reasons, women's misconduct usually stems from tensions and frustrations. Consequently, the Dean conceives of herself and her staff as being guidance counsellors rather than disciplinarians. The objective is not to force the student to abide by rules and regulations but to enable her to understand why she has violated them and should not continue doing so. Explorations are conducted with the student to discover the source of her trouble and anxiety. Usually parents and others are consulted in examining all facets of the problem. The harmonious discussions with the student are considered to be educational and part of the student's learning process about herself and her adjustment to college life. The decision finally arrived at about the student often takes the form of some learning experience. For example, a student missing from her residence hall for several hours after midnight may be awakened on several future occasions to search for similar violators, thereby realizing the inconvenience and anxiety caused.

In summation, the Dean believes in reason rather than force, in therapy rather than punishment, and in examination of motivation rather than discipline of action. It should be added that the present Acting Dean of Men and the Dean of Men on leave share this philosophy but do not expound it as eloquently or practice it as widely. To some extent, they are hampered by the greater number of male students, and the presence among them of some flagrant, hard-core offenders.

Practice

The Dean of Women has imbued her staff and student representatives with her philosophy to such an extent that they execute it to the letter.

In the women's residence halls, the Resident Advisor, Head Resident, and Director of Residence Halls investigate violations and according to their seriousness and the previous record of the student, refer her to the House Council in each residence hall, to the Woman's Advisory Council of AWS, or to the Dean.

The House Council handles infractions of residence rules and regulations, such as noise and improper use of the telephone.

The Women's Advisory Council is concerned with violations of hours and related matters.

The Dean and her staff handle all other cases except for an occasional one or two that they refer to the J-Board. Failure to send others to the J-Board is attributed to its difficulty in handling delicate and sensitive cases due to the presence of male students, and probably also to the J-Board's failure to abide by the Dean's philosophy.

The Dean and her staff conduct in-depth studies and examinations of students alleged to be guilty of violations. In this process and in the final decision about a case, parents are customarily consulted and involved to provide background information about the student and to assist her in whatever therapy is prescribed, be it expulsion, psychiatric treatment, or dormitory restriction. The Committee feels that this practice may be helpful in many instances but that notification of parents sometimes has the undesirable effect of embarrassing and harrassing the student more than traditional disciplinary measures would have had.

The Dean of Women and her staff follow the same practices as the Dean of Men in standing in loco parentis for arrested women students, and in serving as intermediaries between students failing to meet their financial obligations and local businessmen and landlords. Because few women students are involved in these difficulties, the Dean's office is not burdened.

J-Board

J-Board is the judicial branch of Student Congress. Student appointments of seven students - two of which must be of minority sex are made by the President of Student Congress from a list of applicants screened by a committee usually after consultation with the Deans. Because this committee makes the initial selection, campus politics may easily be involved. No independents are included on this year's board.

The J-Board hears cases referred to it by both Deans, although as mentioned, the Dean of Women sends few. The J-Board, therefore, because it mainly adjudicates male students, serves in fact as a branch of the Dean of Men's Office, and as such, establishes a working relationship with him. The recent changes in this office have created some problems resulting from the J-Board's practice of recommending discipline acceptable to a particular Dean so that he will not overrule it.

Last spring the Student Congress established a new judicial procedure for J-Board in order to guarantee the accused student a fair hearing.

Women's Advisory Council

One branch of AWS is the Woman's Advisory Council, a group of eight to nine women students who apply for this position, are screened by AWS, and are finally approved by the Dean of Women. No campus politics appears to be involved in their appointments.

The Woman's Advisory Council is solely concerned with violations of hours, and drinking. In handling a case, one member is assigned to contact the involved woman student, explain the "learning experience" philosophy of the Council, and discuss the violation informally with her. Prior to meeting with the student, the Council collects pertinent background information. At the meeting, the atmosphere of a trial is avoided. The Council tries to make the student aware of her responsibilities and why she should fulfill them. At the end of the meeting, the Council recommends some action that will be meaningful to the student. Usually Dean Seward concurs with the recommendation and notifies parents of any decision more severe than a reprimand.

The Matter of Parental Consultation

Because the Committee wished to obtain professional opinion about the advisability of contacting parents in disciplinary cases when adult students disapproved, it consulted with Dr. Jack Mulligan of the University Health Service; Dr. Harriet Rose, Head of the Counseling Service; and Professor Ray Wilkie of the Counseling and Guidance Division of the College of Education.

Dr. Mulligan and Dr. Rose described present practices in their respective services, pointing out that parents were not consulted without student permission unless a clear and present danger existed to the student or others, or unless the student was professionally judged to be in a physical or emotional condition that would prevent him from competently caring for himself. Both Dr. Mulligan and Dr. Rose opposed the practice of contacting parents of adult students without their approval, questioning the legality of such an invasion of privacy, and pointing out that it was not advantageous as a counseling technique.

Professor Wilkie shared their views about parental consultation but suggested a plan that would require every student and his parent to complete a University form indicating the source of the student's financial aid and agreeing upon the responsibility that the University would assume toward the student. Professor Wilkie envisioned the University's playing different roles in the lives of different students according to the student-parent relationship.

APPENDIX C

A STATEMENT OF PLAGIARISM

All academic work submitted by a student to his instructor or other academic supervisor is assumed to be the result of his own thought, research, or self-expression. When a student submits work purporting to be his own, but which in any way borrows ideas, organization, wording, or anything else from some other source without appropriate acknowledgment of that fact, the student is guilty of plagiarism.

Plagiarism may take many forms. The most flagrant form of plagiarism consists in reproducing someone else's work, whether that be a published article or chapter out of a book, a friend's paper in another class or school, or an old essay in some file. Also serious is the practice of employing or even allowing another person to alter or revise work which a student submits as his own, whoever that other person may be--friend, relative, roommate, professional typist, tutor, or anyone else. Students may, of course, discuss assignments among themselves or with an instructor or a tutor; but when the actual work is done it must be done by the student, and the student alone.

Similarly, when the student's assignment involves research in outside sources of information, whatever they may be, he must be careful to acknowledge exactly what, where, and how he has employed them. If he uses the words of someone else, he must put quotation marks around the passage in question, and add some appropriate indication of its origin. Simply changing a word or two here and there, while leaving the organization, content, and phraseology substantially intact and unquoted, is plagiaristic. Reproducing the uniquely individual organization or ideas of another piece of work without acknowledgment of that fact also constitutes plagiarism, wherever and however this may be done.

It ought to be understood, however, that nothing in these guidelines is designed to discourage independent, creative research or the free expression of ideas. Nor are these guidelines calculated to apply to those ideas which are so generally and freely circulated as to be part of the public domain. On the contrary, they are drawn to help students observe the amenities which govern the formal transmission of ideas first encountered by a student in the process of responding to an assignment.

It ought also to be understood that these guidelines apply equally to student academic work of all kinds, and not only to written work.

In any case in which a student feels unsure about a question of plagiarism involving his work, he is obligated to consult his instructor on the matter before submitting it.

APPENDIX D

RIGHTS OF THE ACCUSED

- A. All students shall be guaranteed a fair hearing in all proceedings of all University System judicial bodies.
- B. No student shall be compelled to give testimony which might tend to incriminate him, and his refusal to do so shall not be considered evidence of guilt.
- C. In all original proceedings within the U. K. Judicial System the accused shall enjoy the right to be informed, in writing of the reasons for his appearance before this board with sufficient particularity, and in sufficient time to insure opportunity to prepare for the hearing.
- D. In all original proceedings within the U. K. Judicial System the accused shall enjoy the right to hear and question the witnesses against him, and to present witnesses in his own favor.
- E. In all proceedings within the U. K. Judicial System the accused shall enjoy the right to have the assistance of an advisor of his choice (within the University).
- F. In all proceedings within the U. K. Judicial System the accused shall enjoy the right to have only impartial members of the Board sit in judgment of the case.
- G. In all proceedings within the U. K. Judicial System the accused shall enjoy the right to a permanent verbal or written transcript of the hearing.
- H. In all proceedings within the U. K. Judicial System the accused shall enjoy the right to request a copy of all the rules and procedures governing the Judicial Actions and upon such request shall be furnished with such within twenty-four (24) hours prior to the hearing.

APPENDIX E

FACULTY ADVISOR

The Committee on Student Organization and Social Activities, in accordance with the directive of the Faculty Senate, has reconsidered its request for a change in the rules regarding the faculty advisor of student organizations and reports the following information and recommendations:

- 1) An investigation has revealed that no social sorority and only five social fraternities have a faculty advisor. They are advised by staff members of the offices of the Dean of Men and Dean of Women with the assistance of alumni living in the area. With two exceptions, all general honoraries for men or women are advised solely by staff members from the same two offices. All departmentally sponsored organizations and honoraries have faculty advisors and with twelve exceptions all are of the rank of assistant professor or higher. Many of these exceptions can be directly related to the specialized nature of the organization and the lack of any faculty member of required rank available in that specialty. With the exception of the Young Republicans, all politically oriented organizations have faculty advisors of appropriate rank. Few religious or athletic-sponsored organizations have an advisor connected with the University.
- 2) The Committee's reasons for requesting that advisors be of at least assistant professor rank was the result of a belief that the advisors should have a maturity and a feeling of continuity with the University which was generally inconsistent with the rank of instructor. Such characteristics were deemed necessary because of the general supervisory function assigned to the faculty advisor (e. g. , cosigning checks, advising on activities, reporting changes in purpose or constitution). In light of the reaction of many members of the Faculty Senate regarding the proposed change and as a result of the investigation mentioned, the Committee felt that a re-examination of the role of the faculty advisor was in order.
- 3) The Committee has categorized the various types of organizations into six basic areas: a) social sororities and fraternities; b) departmentally sponsored organizations and professional fraternities; c) honors and leadership organizations and recognitions societies; d) political organizations; e) student government organizations; f) specialty groups. Each of the six categories offered special problems. The first and fifth groups need the disciplinary and advisory services offered by the offices of the Dean of Men and Dean of Women as well as the special services of alumni. The second group requires the advice and active participation of a full-time faculty member, interested in the area. The third group should have close association with full-time faculty members interested in assisting students demonstrating academic excellence and qualities of leadership. The fourth group, because of its potentially explosive quality in terms of University external relations should have the active assistance of full-time faculty personnel as well as supervision by

the office of the Vice President for Student Affairs. The sixth group, which includes religious organizations, athletic groups, military units, etc., does not necessarily require supervision as such from faculty members since its basic assistance comes from religious leaders, members of the athletic department, military personnel, etc.

4) In short then, while all student organizations need aid from responsible adults, the faculty should not generally be required to supervise the minutiae of such organizations. That function places unnecessary burdens upon a faculty member and is inconsistent with the primary function of a faculty member--to offer intellectual stimulation to students.

5) The Committee further feels that the student population explosion and the advancing impersonalization apparently necessary in the "multiversity" of tomorrow (if not of today) has widened the gap between faculty and students in terms of personal relations to the detriment of both. To attempt to close the breach by appointing faculty to supervise student organizations is to lose the war before the first battle has really begun. On the other hand to encourage faculty and students to associate together as partners or associates in a social, political, religious or academic pursuit outside the classroom offers both a real opportunity to strengthen the student-teacher relationship in a way meaningful to both.

On the basis of the above investigation and analysis the Committee wishes to make the following recommendations:

- 1) that the requirement of a "faculty advisor" for all student organizations be eliminated, together with the duties formulated therefor in the Rules of the University Faculty.
- 2) that all social sororities and fraternities be required to have a "University advisor" who shall be a member of the staff of the offices of the Dean of Men and the Dean of Women. Such University advisor shall be responsible for counselling such organizations and for performing such supervisory functions as shall be designated by the Rules of the Faculty Senate and by the Office of the Vice President for Student Affairs. In addition within one year of its activation on this campus or within one year after promulgation of these rules, such organization shall report to the Committee through the University advisor, the name or names of such faculty members elected as associates of such organizations. It is recommended, in order to accomplish the purpose of intellectually stimulating the organization and strengthening student-faculty relationships, that the faculty associate be immersed into as many activities of the group as his time and interest allow and the ritualistic aspects of the fraternity do not prohibit. Efforts should be made to provide opportunities for such faculty associates to meet with the student members in informal gatherings.
- 3) that all departmentally sponsored organizations and professional fraternities

shall be accredited only after acceptance by a faculty member of the rank of assistant professor or higher of the position of "faculty consultant." Such faculty consultant shall be responsible for the guidance of such organization. If such departmentally sponsored organization is dedicated to a specialized function for which no faculty member of sufficient rank is available, then the Committee may, upon proper petition from the department involved, waive the rank requirement for a period of three years.

4) that no student honors, leadership, or recognition society shall be accredited unless a faculty member of the rank of assistant professor or higher has been designated and accepted the post of "faculty associate." Such faculty associate shall have the same privileges as set forth in the resolution 2) above.

5) that no political organization shall be accredited without the appointment by the Office of the Vice President for Student Affairs of a University advisor and the designation and acceptance by a faculty member of the rank of assistant professor or higher as a "faculty associate" both with the privileges and duties heretofore established.

6) that no student government organization shall be accredited without the designation of a University advisor by the offices of the Dean of Men or Dean of Women. It is recommended that each such organization actively solicit faculty associates.

7) that no specialty organization shall be accredited until an appropriate "sponsor" shall have been designated and his designation approved by the Office of the Vice President for Student Affairs. Such sponsor must be a responsible adult interested in and connected with the field of specialty concerned. It is recommended that such sponsors will actively solicit faculty associates to join in such organizations.

Any organization not specifically assigned a University advisor may request one by petition to the Office of the Vice President for Student Affairs.

STATEMENT OF FINANCIAL DELINQUENCY

The University expects the student to be financially responsible. A student who is delinquent in his financial obligations to the University, to any Department or Division of it, shall not be allowed to register for the next term, to transfer his credits to another school, or to graduate from the University, provided that such obligation shall not include fines and penalties assessed against the student by other than University officers.

Financial obligations that are not met within 10 days after the date due shall be reported in writing to the proper office. Obligations to any Division or Department of the University shall be reported to the office designated by the Vice President for Business Affairs and Treasurer.

The appropriate business office shall notify the student of the financial obligation. If the student does not settle the obligation by the date designated on the notice, he shall be considered delinquent and that office shall notify the Registrar.

After the Registrar has been notified that a student is delinquent, he shall not allow the student to register, or to transfer credits or certify him for graduation, until he has been notified in writing by the appropriate business office that the obligation has been settled.

APPENDIX F

STUDENT ORGANIZATIONS
APPLICATION FOR ACCREDITATION

1. Name of Organization:
2. Purposes of Organization:
3. Types & Classes of Membership:
 - a) To Whom Open:
4. Financial Obligations of Members:
5. Election & Qualifications of Officers:
 - a) Who May be an Officer:
6. Faculty Advisor:

Please attach petition signed by students desiring accreditation of organization as well as a copy of any constitution established for organization.

Statement on The Academic Freedom of Students

Upon the recommendation of Committee A on Academic Freedom and Tenure in October, 1960, the Council authorized appointment of a new standing committee, designated as Committee S on Faculty Responsibility for the Academic Freedom of Students. Dr. Phillip Monypenny, Professor of Political Science at the University of Illinois, was appointed to serve as Chairman of the new committee. Once established, Committee S gave primary attention to the task of formulating a statement on the academic freedom of students. Several drafts were prepared, one of which was published with the consent of the Council in the Autumn, 1964, issue of the AAUP Bulletin for the express purpose of inviting reaction and comments from members, chapters, conferences, and other interested persons and organizations.

The preliminary Committee S statement stimulated considerable interest and response. Committee S therefore directed most of its attention during 1965 to refining the tentative statement published in 1964. The statement which follows has been approved by the Council in principle but remains a tentative, rather than a fixed, statement of Association policy. The Council has also authorized Committee S to initiate discussions with representatives of other interested national organizations in the hope that these efforts might result in the formulation of a joint statement on student rights and responsibilities. These discussions will commence this winter.

The Members of Committee S who prepared the following statement are:

Philip Monypenny (Political Science) University of Illinois, (Chairman)
Philip Appleman (English) Indiana University
Frederick H. Hartmann (Political Science) University of Florida
Beatrice G. Konheim (Physiology) Hunter College
John J. Reed (History) Muhlenberg College
Tom J. Truss Jr., (English) University of Mississippi
William Van Alstyne (Law) Duke University
Robert Van Waes (History) Washington Office

Preamble

Free inquiry and free expression are essential attributes of the community of scholars. As members of that community, students should be encouraged to develop the capacity for critical judgment and to engage in a sustained and independent search for truth. The freedom to learn depends upon appropriate opportunities and conditions in the classroom, on the campus, and in the larger community. The responsibility to secure and to respect general conditions conducive to the freedom to learn is shared by all members of the academic community. Students should endeavor to exercise their freedom with maturity and responsibility.

I. In the Classroom

The professor in the classroom and in conference should encourage free discussion, inquiry, and expression. Students should be evaluated solely on the basis of their academic performance, not on their opinions or conduct in matters unrelated to academic standards.

A, *Protection of Freedom of Expression.* Students are responsible for learning thoroughly the content of any course of study, but they should be free to take reasoned exception to the data or views offered, and to reserve judgment about matters of opinion.

B, *Protection Against Improper Academic Evaluation.* Students are responsible for maintaining standards of

academic performance established by their professors, but they should have protection through orderly procedures against prejudiced or capricious academic evaluation.

C. Protection Against Improper Disclosure. Information about student views, beliefs, and political associations which professors acquire in the course of their work as instructors, advisers, and counselors should be considered confidential. Protection against improper disclosure is a serious professional obligation. Judgments of ability and character may be provided under appropriate circumstances.

II. Student Records

Institutions should have a carefully considered policy as to the information which should be part of a student's permanent educational record and as to the conditions of its disclosure. To minimize the risk of improper disclosure, academic and disciplinary records should be separate, and the conditions of access to each should be set forth in an explicit policy statement. Transcripts of academic records should contain only information about academic status. Data from disciplinary and counseling files should not be available to unauthorized persons on campus or to any person off campus except for the most compelling reasons. No records should be kept which reflect the political activities or beliefs of students. Provision should also be made for periodic routine destruction of noncurrent disciplinary records. Administrative staff and student personnel officers should respect confidential information about students which they acquire in the course of their work.

III. Student Affairs

In student affairs, certain standards must be maintained if the academic freedom of students is to be preserved.

A. Freedom from Arbitrary Discrimination. Colleges and universities should be open to all students who are academically qualified. While sectarian institutions may give admission preference to students of their own persuasion, such a preference should be clearly and publicly stated. College facilities and services should be open to all students, and institutions should use their influence to secure equal access for all students to public facilities in the local community.

B. Freedom of Association. Students bring to the campus a variety of interests previously acquired and develop many new interests as members of the academic community. They should be free to organize and join associations to promote their common interests.

1. Affiliation with an extramural organization should not of itself affect recognition of a student organization.

2. Each organization should be free to choose its own campus adviser, and institutional recognition should not be withheld or withdrawn solely because of the inability of a student organization to secure an adviser. Members of the faculty serve the college community when they accept the responsibility to advise and consult with student organizations; they should not have the authority to control the policy of such organizations.

3. Student organizations may be required to submit a current list of officers, but they should not be required to submit a membership list as a condition of institutional recognition.

4. Campus organizations should be open to all students without respect to race, religion, creed, or national origin, except for religious qualifications which may be required by sectarian organizations.

5. Students and student organizations should be free to examine and to discuss all questions of interest to them, and to express opinions publicly or privately. They should also be free to support causes by any orderly means which do not disrupt the regular and essential operation of the institution.

6. Students should be allowed to invite and to hear any person of their own choosing. While the orderly scheduling of facilities may require the observance of routine procedures before a guest speaker is invited to appear on campus, institutional control of campus facilities should never be used as a device of censorship. It should be made clear to the academic and larger community that sponsorship of guest speakers does not necessarily imply approval or endorsement of the views expressed, either by the sponsoring group or the institution.

C. Student Participation in Institutional Government. As constituents of the academic community, students should be free, individually and collectively, to express their views on issues of institutional policy and on matters of general interest to the student body. The student body should have clearly defined means to participate in the formulation and application of regulations affecting student affairs. Student governments should be protected from arbitrary intervention.

D. Student Publications. Student publications and the student press are a valuable aid in establishing and maintaining an atmosphere of free and responsible discussion and of intellectual exploration on the campus. They are a means of bringing student concerns to the attention of the faculty and the institutional authorities and of formulating student opinion on various issues on the campus and in the world at large.

1. The student press should be free of censorship and advance approval of copy, and its editors and managers should be free to develop their own editorial policies and news coverage.

2. The integrity and responsibility of student publications should be encouraged by arrangements which permit financial autonomy or, ideally, complete financial independence.

3. Editors and managers should subscribe to canons of responsible journalism. At the same time, they should be protected from arbitrary suspension and removal because of student, faculty, administrative, or public disapproval of editorial policy or content. Only for proper and stated causes should editors and managers be subject to removal and then by orderly and prescribed procedures.

IV. Off-Campus Freedom of Students

A. Exercise of Rights of Citizenship. As citizens, students should enjoy the same freedom of speech, peaceful

assembly, and right of petition that other citizens enjoy. Faculty members and administrative officials should insure that institutional powers are not employed to inhibit such intellectual and personal development of students as is often promoted by their off-campus activities and their exercise of the rights of citizenship.

B. *Institutional Authority and Civil Penalties.* Activities of students may upon occasion result in violation of law. In such cases, institutional officials should apprise students of their legal rights and may offer other assistance. Students who violate the law may incur penalties prescribed by civil authorities, but institutional authority should never be used merely to duplicate the function of general laws. Only where the institution's interests as an academic community are distinct from those of the general community should the special authority of the institution be asserted. The student who incidentally violates institutional regulations in the course of his off-campus activity, such as those relating to class attendance, should be subject to no greater penalty than would normally be imposed. Institutional action should be independent of community pressure.

V. Procedural Standards in Disciplinary Proceedings

The disciplinary powers of educational institutions are inherent in their responsibility to protect their educational purpose through the regulation of the use of their facilities and through the setting of standards of conduct and scholarship for the students who attend them. In developing responsible student conduct, disciplinary proceedings play a role substantially secondary to counseling, guidance, admonition, and example. In the exceptional circumstances when these preferred means fail to resolve problems of student conduct, proper procedural safeguards should be observed to protect the student from the unfair imposition of serious penalties. The following are recommended as proper safeguards in such proceedings.¹

A. *Notice of Standards of Conduct Expected of Students.* Disciplinary proceedings should be instituted only for violation of standards of conduct defined in advance and published through such means as a student handbook or a generally available body of university regulations. Offenses should be as clearly defined as possible, and such vague phrases as "undesirable conduct" or "conduct injurious to the best interests of the institution" should be avoided. Conceptions of misconduct particular to the institution need clear and explicit definition.

B. *Investigation of Student Conduct.*

1. Except under emergency circumstances, premises occupied by students and the personal possessions of students should not be searched unless appropriate authorization has been obtained. For premises such as dormitories controlled by the institution, an appropriate and responsible authority should be designated to whom application should be made before a search is conducted. The application should specify the reasons for the search

and the objects or information sought. The student should be present, if possible, during the search. For premises not controlled by the institution, the ordinary requirements for lawful search should be followed.

2. Students detected or arrested in the course of serious violations of institutional regulations, or infractions of ordinary law, should be informed of their rights. No form of harassment should be used by institutional representatives to coerce admissions of guilt or information about conduct of other suspected persons.

C. *Status of Student Pending Final Action.* Pending action on the charges, the status of a student should not be altered, or his right to be present on the campus and to attend classes suspended, except for reasons relating to his physical or emotional safety and well-being, or for reasons relating to the safety of students, faculty, or university property.

D. *Hearing Committee Procedures.* The formality of the procedure to which a student is entitled in disciplinary cases should be proportionate to the gravity of the offense and the sanctions which may be imposed. Minor penalties may be assessed informally under prescribed procedures. When misconduct may result in serious penalties, the student should have the right to a hearing before a regularly constituted hearing committee.

1. The hearing committee should include faculty members or, if regularly included or requested by the accused, both faculty and student members. No member of the hearing committee who is otherwise interested in the particular case should sit in judgment during the proceeding.

2. The student should be informed, in writing, of the reasons for the proposed disciplinary action with sufficient particularity, and in sufficient time, to ensure opportunity to prepare for the hearing.

3. The student appearing before the hearing committee should have the right to be assisted in his defense by an adviser of his choice.

4. The burden of proof should rest upon the officials bringing the charge.

5. The student should be given an opportunity to testify and to present evidence and witnesses. He should have an opportunity to hear and question adverse witnesses. In no case should the committee consider statements against him unless he has been advised of their content and of the name of those who made them, and unless he has been given an opportunity to rebut unfavorable inferences which might otherwise be drawn.

6. All matters upon which the decision may be based must be introduced into evidence at the proceeding before the hearing committee. The decision should be based solely upon such matter. Improperly acquired evidence should not be admitted.

7. In the absence of a transcript, there should be both a digest and a verbatim record, such as a tape recording, of the hearing.

8. The decision of the hearing committee should be final, subject to the student's right of appeal to the governing board of the institution.

¹ Honor codes offering comparable guarantees may be an acceptable substitute for the procedural standards set forth in this section.