

## **AGENDA**

### **Meeting of the Board of Trustees University of Kentucky 1:00 P.M. March 6, 2001**

#### **Invocation**

#### **Roll Call**

#### **Approval of Minutes - (Consent)**

#### **President's Report and Action Items**

- PR 1 President's Report to the Trustees
  - A. Report on Endowed Chairs and Professorships
  - B. Report on Historic Buildings
- PR 2 Personnel Actions
- PR 3 Central Administration
  - A. Agreement with Paducah Junior College, Inc. (Consent)
  - B. Guidelines for Rehabilitation of Historic Campus Buildings
- PR 4 Community College System (No items to report)
- PR 5 Lexington Campus
  - A. Renaming Agricultural Engineering Building (Charles E. Barnhart Building)
- PR 6 Medical Center (No items to report)

#### **Finance Committee**

- 1. Acceptance of Interim Financial Report for the University of Kentucky for the Seven Months Ended January 31, 2001
- 2. Disposal of Surplus Property
- 3. Report of Leases
- 4. Disposition of Property
- 5. Disposition of Property
- 6. Disposition of Property
- 7. Capital Construction Report
- 8. 2001-02 Tuition Schedule
- 9. Mandatory Registration Fee Schedule – 2001-02
- 10. Room and Board Rates 2001-2002
- 11. Albert G. and Lorraine N. Clay Endowment for Visiting Scholars
- 12. American Institute of Architects-Kentucky Chapter Pledge
- 13. Charlotte R. Schmidlapp Fund Gift and Pledge
- 14. Dr. Richard R. and Dorothy Hillenmeyer Crutcher Gift and Pledge

15. Estate of Betty Jo Denton Heick
16. Jack and Linda Gill Gift and Pledge
17. Keith B. and Phyllis A. MacAdam Gift and Pledge
18. Lexmark International, Inc. Pledge
19. Mrs. Ina Brown Bond Pledge
20. Orson and Kathy Oliver Pledge
21. Ronald Allen and Nancy Smoot Forester Gift and Pledge
22. Dermontti F. and Regina M. Dawson Gift
23. Resolution of the Board of Trustees of the University of Kentucky Accepting the Successful Bid for the Purchase of \$20,510,000 Principal Amount of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series R, Dated March 1, 2001 and Amending its Bond Resolution with Respect Thereto to Provide for the Redemption Prior to Maturity on or After May 1, 2011

**Investment Committee Report**



Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, March 6, 2001.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, March 6, 2001 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Billy Joe Miles, Chairperson, called the meeting to order at 1:10 p.m., and the invocation was pronounced by Ms. Marian Sims.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Governor Edward T. Breathitt, Ms. Marianne Smith Edge, Mr. James Glenn III, Mr. Merwin Grayson, Mr. John "Jack" Guthrie, Dr. Loys L. Mather, Dr. Robert P. Meriwether, Mr. Billy Joe Miles (Chairman), Dr. Elissa Plattner, Mr. Steven S. Reed, Dr. Daniel R. Reedy, Ms. Marian Sims, Ms. Alice Sparks, Ms. JoEtta Y. Wickliffe, Mr. Billy B. Wilcoxson, Mr. Russell Williams and Ms. Elaine Wilson. Absent from the meeting were Mr. Paul W. Chellgren, Mr. C. Frank Shoop, and Dr. W. Grady Stumbo. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors James W. Holsinger, and Elisabeth Zinser; Vice Presidents Fitzgerald Bramwell, Joseph T. Burch, Ben W. Carr, George DeBin and Eugene Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs; Mr. Larry Ivy, Director of Athletics, and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:13 p.m.

C. Consent Agenda

Mr. Miles stated that there were two items on the consent agenda:

Approval of Minutes  
Agreement with Paducah Junior College, Inc. (PR 3A)

Dr. Meriwether asked that PR 3A be removed from the Consent Agenda and be acted upon as a separate issue. Mr. Miles stated that PR 3A would be removed from the Consent Agenda and asked if there was a motion to approve the Minutes of the January 23<sup>rd</sup> meeting.

Ms. Sparks moved approval of the Consent Agenda. The motion, seconded by Ms. Wilson, carried.

D. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. Five individuals were inducted recently into the Gatton College Alumni Hall of Fame. Those individuals were Barry K. Allen, William L. "Bill" Fouse, James Gibson, Gretchen Price and Douglas Von Allmen.

2. The Human Environmental Sciences Alumni Hall of Fame inducted four individuals last week. One of those individuals was Marianne Smith Edge, a member of the Board. Also included were Sarah Tabb Henry, Myra Tobin, and Doris Tichenor. Ms. Edge received a round of applause from the other members of the Board.
3. The UK Children's Hospital was recently ranked 28<sup>th</sup> in the nation by *Child* magazine based on findings by the Joint Commission on Accreditation of Healthcare Organizations.
4. Two UK professors, Dr. Claudia Peck-Heath and Dr. Roy Moore, have been named 2001-2002 Fellows by the American Council on Education.

President Wethington asked the members to read the other items in the report at their leisure.

E. Report on Endowed Chairs and Professorships (PR 1A)

President Wethington stated that at the January Board meeting he committed to giving an up-to-date report on the endowed chairs and professorships and the progress that has been made in increasing the number of chairs and professorships and research initiatives. He stated that he asked Dr. Jerry Bramwell to work with the two chancellors to put together the report. He asked Dr. Bramwell to present the report on the endowed chairs and professorships.

Dr. Bramwell expressed his pleasure in providing the following report to the Board members.

**Research Challenge  
Trust Fund  
Initiatives Update**

**UK Board of Trustees  
Fitzgerald B. Bramwell  
March 6, 2001**



## **Five Year Planning Process**

- **Committee on Graduate Education -1996**
- **University Task Force on Research and Graduate Education-1997**
- **1997 HB1**
  - **Top 20 Public University by 2020**
- **1998 UK Strategic Plan adopted**



## **Targeted Research Programs**

### **Medical Center**

- **Gerontology and Aging**
- **Advanced Medical Research**
- **Clinical Pharmaceutical Sciences**
- **Toxicology**



## **Targeted Research Programs**

### **Lexington Campus**

- **Computer Science and Electrical Engineering**
- **Materials Synthesis**
- **Plant Sciences**



## **Targeted Research Programs**

### **Lexington Campus**

- **Biological Chemistry**
  - **Management and Economics**
  - **Psychology of Substance Abuse and Prevention**
  - **Geography**
- 

### **Medical Center**

- **24 new faculty hired**
  - **29 new graduate research assistants hired**
  - **\$2.8 million spent on lab renovations**
  - **\$295,000 spent on new equipment**
  - **\$450,000 spent on startup expenses**
- 

### **Lexington Campus**

- **30 new faculty hired**
  - **41 new graduate research assistants hired**
  - **\$966,000 spent on lab renovations**
  - **\$1.16 million spent on startup expenses**
- 

## **Graduate Student Support Initiative**

- 105 full tuition fellowships awarded since AY 98–99 (35 students a year)
- 724 full tuition scholarships awarded to research assistants since AY 98–99 (241 students a year)



**\$16 million spent on  
11 targeted programs and  
graduate student support  
during FY 98-99**



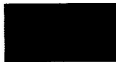
**\$17.5 million in  
research equipment  
purchased in FY 99-00**



## **Faculty Success**

- **Ted Hasselbring, College of Education**
  - **Phyllis Wise, College of Medicine**
  - **Henry Dietz, College of Engineering**
  - **Gail Robinson, College of Fine Arts**
  - **Greg Gerhardt, College of Medicine**
- 

## **Endowment Program**

- **FY 1998, infrastructure put in place to launch UK's first comprehensive fund-raising campaign**
  - **FY 2000, UK major donors gave \$66.7 million for endowments that were matched by RCTF (Phase II)**
- 

## **Endowment Program**

- **FY 2001, UK major donors are being asked for another \$66.7 million for endowments that will be matched by RCTF (Phase III)**
- 

## **Endowment Program**

- **Before UK Capital Campaign**
  - **23 endowed chairs**
  - **45 endowed professorships**



## **Endowment Program**

- **In the last two years:**
  - **Added 49 new endowed chairs (72 total)**
  - **Added 105 new professorships (150 total)**



## **Endowment Program**

- **In the last two years:**
  - **106 new fellowships, scholarships, and research support**
  - **20 library endowments**



## Industry Contribution

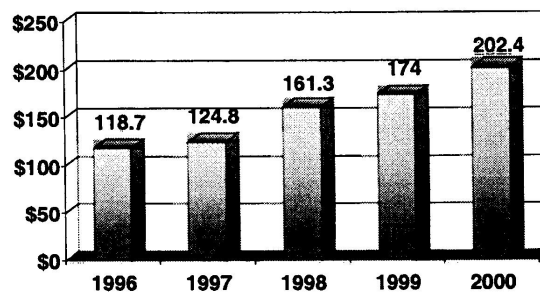
- 12 aluminum companies pledged \$1.45 million in 1999 to establish the Center for Aluminum Technology in the College of Engineering

## Endowment Program

- By the end of FY 2001
  - Endowment will be increased by \$266.8 million from RCTF efforts

## R&D Expenditures Reported to NSF

(\$ in millions)



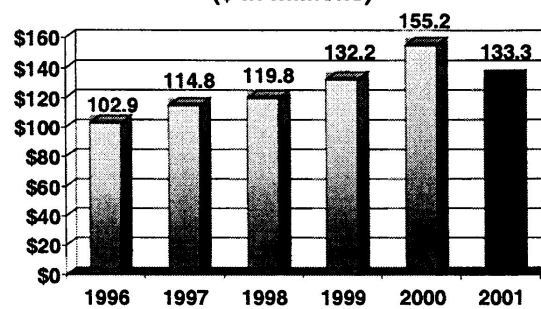


## Research Ranking

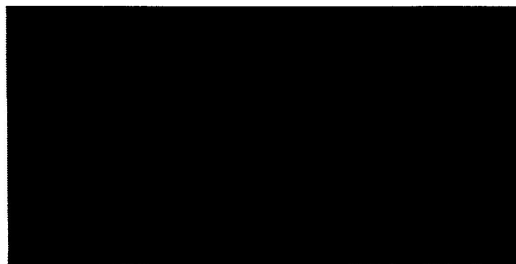
- NSF reports UK 32<sup>nd</sup> among public research universities and 49<sup>th</sup> overall in research expenditures



## External Research Support Grants, Contracts and Gifts (\$ in millions)



Totals do not include Community College System. 2001 total through 2/28/01  
Lexington Community College awards are included.



Dr. Bramwell commented that a fifteen-minute presentation is not adequate time to capture the work done by staff, faculty, and the chancellors working in conjunction with the president to achieve the level of success that the University of Kentucky has achieved. He stated that he would be happy to entertain any questions the Board members might have.

Mr. Grayson asked if the year 2001 in the slide is an academic year or a calendar year. Dr. Bramwell noted that it was a fiscal year that began on July 1, 2000 and will end on June 30, 2001.

Mr. Grayson inquired as to what range Dr. Bramwell felt the \$133 million total would increase for the next year. Dr. Bramwell stated that he would be surprised if that number was less than 175 million and that it would not surprise him if it is closer to 200 million.

Mr. Reed asked if that includes gifts, as it states "Grants, Contracts, and Gifts." Dr. Bramwell replied that it does include gifts for research and he noted that over the course of his tenure at the University that there has been approximately \$4 million each year received in gifts.

Mr. Reed asked if there is a typical amount of research dollars that a professorship or an endowed chair would bring to the University.

Dr. Bramwell stated that there is not a typical amount and that it all depends on the field in which the endowed chair occurs. For example, he said that there would be greater opportunities in Engineering than in Opera because there are very few opportunities for opera to tap the National Institutes of Health, the Department of Defense, and the National Science Foundation for funding. He said that it is important to note that the effect of bringing these individuals on campus at this point is just now beginning to be felt, and that these are not individuals that we can put an ad in the Chronicle for and say to be here in three months. We are looking for individuals who are very happy where they are working right now. We are trying to get the best and the brightest and so toward that end it takes a little bit of time to get them here and get them started. However, he expects that curve will continue to shoot upward. Indeed it has to. If we are going to break into the top 20, we have to accelerate at a faster rate than our benchmark institutions.

Mr. Reed thanked Dr. Bramwell for his answer and stated that if a person in health sciences brings a team with them and all the grants that they have had with them previously then it would be a good purchase for the school.

Dr. Bramwell agreed. For example, he noted that Dr. Gerhardt brought a \$5 million Parkinson Center with him and that Dr. Dietz has brought in several million dollars of different types of grants. He stated that there are two things which take place as we bring these individuals on board. One, they raise the level of expectation of each of our graduate programs rather significantly. He called it a "halo effect." This effect reflects itself in the number of grants which are submitted and the number which are funded. Two, they have the ability to influence young minds and to move those forward into the future. He noted that our funding rate is higher, the number of grants we are submitting is higher, and the students attending these graduate programs has increased over the last few years.

Dr. Mather asked to what extent has the research support that we have been receiving been targeted towards our targets of opportunity.

Dr. Bramwell responded that in regards to the Research Challenge Trust Fund I, 54 individuals were hired; 30 in the Lexington Campus and 24 in the Medical Center. There are currently two more to be hired in the Medical Center. He noted that all of these are in our tier one or tier two programs. He stated that with respect to the endowed chairs and professorships, they cover a rather large gamut of different areas. The greatest bulk of them occur in the sciences and in the business area. He said that he would be happy to provide Dr. Mather with additional details.

President Wethington complimented Dr. Bramwell on his report. He commented that the report was somewhat condensed compared with what is reported to the Council on Postsecondary Education on the Research Challenge Trust Fund. He emphasized that by any measure the Research and Graduate Program of this institution is making major strides forward and that the results of the Research Challenge Trust Fund Endowment Program have not yet really begun to make a significant difference in terms of dollars being brought into this institution. He added that they should be aware that we're building the institution over a period of time and that the General Assembly was cognizant of that, which is why they set the date 2020 for the time that the institution was to achieve top 20 public research university status. He noted that, in his opinion, we certainly are making significant progress particularly in the Research and Graduate Program area and the challenge is to keep moving to make sure that every year you see the institution getting closer and closer toward top 20 status.

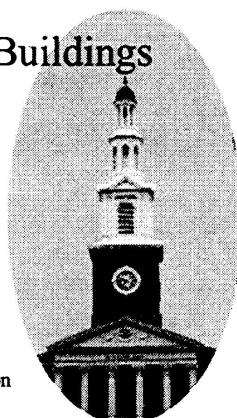
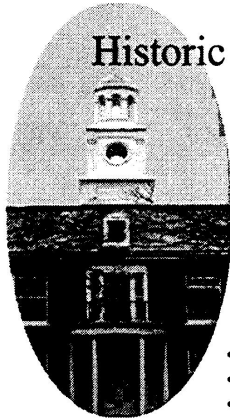
F. Report on Historic Buildings (PR 1B) & Guidelines for Rehabilitation of Historic Campus Buildings (PR 3B)

President Wethington stated that the Report on Historic Buildings goes along with PR 3B, Guidelines for Rehabilitation of Historic Campus Buildings. He asked Warren Denny, University Architect, to give the Report on Historic Buildings and after the presentation he would ask the Board to act on PR 3B prior to going to PR 2 and PR 3A. He asked Mr. DeBin to introduce Mr. Denny.

Mr. DeBin stated that every year, thousands and thousands of visitors come across our beautiful campus here in Lexington and all leave with an impression of this campus. Many of them return as students, faculty or staff employees. Whether you've been here for a day or a week during a summer camp or spend a career here, you have a vivid impression of UK. He noted that PR 3B asks that the Board approve a list of historic campus buildings so that the campus may retain existing facilities that best express the heritage of this great campus. He stated that Mr. Warren Denny, the University Architect, is a 1970 graduate of the UK College of Architecture. Mr. Denny has been the University Architect since the early 1980's and he is a member of the American Institute of Architects. He added that Mr. Denny will present an overview of designated buildings and afterwards he would be happy to answer any questions that they may have.

Mr. Denny stated that the University of Kentucky has a rich history and, like many universities, the campus buildings and grounds speak to that history. He noted that out of the 348 structures that make up this campus, 34 have been identified as special based on their historical significance to the University and their relationship to the campus settings. Mr. Denny continued with the following slide presentation.

## Historic Campus Buildings



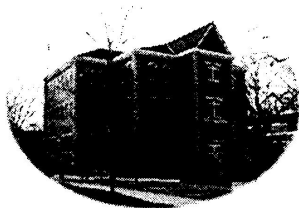
- Usage
- Physical condition
- Age
- Adaptability
- Relation to historical events
- Architectural significance

## What has been lost ?



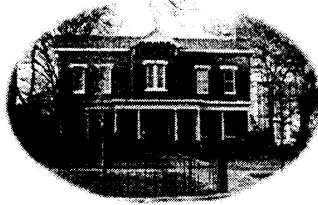
- White Hall
- Neville Hall
- Carnegie Library
- President's Home
- Anderson Hall

## Miller Hall



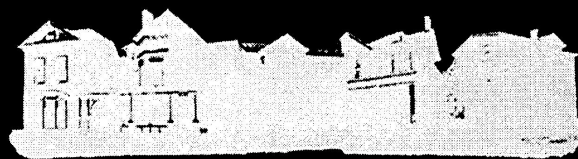
**A criterion** for successful planning is that the most significant existing facilities that best express the heritage of the campus be preserved for future generations. Selective retention of existing facilities – those most significant and best able to provide that frame of reference for understanding the campus is the **goal**.

## Guidelines for Rehabilitation



- Communicate the objective
- Budget for the appropriate action
- Plan for the appropriate use
- Maintain consistent quality of response
- Establish appropriate priorities
- Identify needed actions

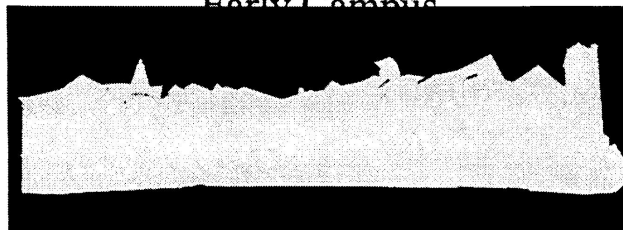
## Early Residential Buildings



an investment in Lexington

- Buildings that help maintain the fabric of the neighborhood
- The whole is greater than the sum of the parts.

## Early Campus



- Buildings that helped form the original campus
- Buildings with similar and memorable architectural features
- Buildings that relate strongly to the historic landscape
- Buildings that are linked to historic campus events

## Buildings of the 20's



- An era of physical restructuring of the campus
- Buildings designed or influenced by Werner, McCormick & Mitchell
- Buildings that followed the 1919 Olmstead Plan

## Post Depression Modern Art Deco



- Buildings that relate strongly to one another
- Buildings that mark an era of unprecedented growth
- Buildings that are good representatives of a particular era in architecture
- Buildings designed by Ernst Johnson



End

President Wethington stated that the attempt here is to identify certain structures on this campus that we believe have historical significance. He noted that this list may not be all-inclusive, but it certainly is a list to start from. He added that Mr. Denny has grouped these buildings into certain categories and has explained to the Board why he feels that these have particular significance; and, that PR 3B indicates that the Board is being asked to approve the list of historic campus buildings and direct the administration to use the established guidelines for rehabilitation when making physical changes to the buildings on the list. He added that, as Mr. Denny explained, this is not designed to prohibit the Board from taking action to demolish one of these buildings if that were in the best interests of the University, but it clearly singles out a list of buildings which we think are valuable and important and it sets up certain criteria to be used when focusing on change, rehabilitation, modernization, etc., to ensure that the buildings maintain the character that they originally had when they were built. President Wethington stated that Mr. Denny would entertain questions from the Board.

Ms. Plattner asked if any of the buildings on the list are a Kentucky Heritage Building as selected by the Kentucky Heritage Commission.

Mr. Denny stated that only Maxwell Place falls into that category; however, there are several buildings on campus that have plaques from the Bluegrass Trust. He noted that there are obviously buildings that are recognized as valuable and the point is that there needs to be a level of protection for those buildings.

Ms. Plattner commented that she realized there are non-protected buildings, but this action gives an aura of protection and so designates them as being important to the State of Kentucky and its historical programming. She asked if the University offered a masters degree in Historical Preservation and whether it would be an acceptable project for those students to work with the University and the Kentucky Heritage Council to provide further protection of these buildings.

Mr. Denny stated that he would use both of these resources to determine what the best response would be to either repair or alter these building in the future.

President Wethington commented that he believes that Ms. Plattner's comments and questions are consistent with what we are trying to do, which is to focus attention on these buildings. For example, he said that when he first came into office there was at least a feeling that a decision had been made to tear down Miller Hall. Fortunately, we did not carry out that decision and eventually got to the point where we could renovate and thus preserve a significant building on this campus. He added that, as Mr. Denny mentioned, there was a decision, or at least there appeared to be a decision that was made in the 60's to take down a number of buildings including Maxwell Place. Over time we have lost part of our history. It might be that at some time in the future the Board of Trustees will make a decision that some buildings simply must go. This is an attempt now to point out to the Board the buildings that we think are significant and that a lot of care and attention ought to be given to their rehabilitation before any decision is made to alter or remove them from the campus of the University of Kentucky.

Ms. Plattner commented that she finds this endeavor extremely worthwhile and an important contribution. She applauded President Wethington for this effort.

Mr. Grayson moved approval of PR 3B and Ms. Wilson seconded the motion.

Mr. Miles asked if there was any further discussion.

Ms. Sims stated that this effort is very moving to her. She told of her mother taking a group of her family members in a car and driving them on a field trip to see the horrifying destruction that was happening on campus back in the 1960's. She said that her mother cried about the destruction that was being done. She expressed her gratitude to President Wethington for his excitement and persistence on this issue.

Ms. Edge asked if once these buildings are designated as historical campus buildings, is there any type of a master plan or time line on rehabilitation of some of these facilities?

Mr. Denny stated that there are projects in the Capital Plan almost every year that impact one or more of these buildings and that these guidelines would become part of the program. He added that the University's overall campus plan states that we continue to bring the Maxwell Street buildings up to this standard.

President Wethington added that there is not a plan for these specific buildings alone. We do have a plan for the renovation and maintenance of buildings and when the buildings are on that plan then these guidelines would apply.

Mr. Guthrie commented that it's nice to have such a number of historical buildings on campus and to come on the campus and see how the appearance of the University has changed over the past few years to take advantage of our heritage. He added that he was here in the 60's and that there's nothing that was erected in the 60's that he would want to see saved. He commended President Wethington and the Administration on their efforts in improving the University's image. He noted that this image is important because it is projected to alumni, students, and the greater Lexington community.

Ms. Sims commented that even our new buildings look old. She noted how well the new Hardyman Building fits in with the older buildings on campus.

Mr. Wilcoxson stated that he would like to take the opportunity to commend Mr. Denny, and whoever else is responsible for building the new buildings in such a way that they look as if they were there before and have just been renovated. He noted that several people in the City of Lexington have commented to him how well the University fixed up the old Hardyman Building.

On behalf of all of the people involved, Mr. Denny thanked Mr. Wilcoxson for his acknowledgement.

Mr. Miles asked for a vote on the motion to approve PR 3B and it carried. (See PR 3B at the end of the Minutes.)

President Wethington noted that Charlie Barnhart, the architect of the Hardyman Building, is in the audience and he asked him to stand and be recognized for his efforts on the building.

Dr. Barnhart received a round of applause.



G. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Ms. Sims moved approval. The motion, seconded by Ms. Wilson, carried. (See PR 2 at the end of the Minutes.)

H. Agreement With Paducah Junior College, Inc. (PR 3A)

President Wethington stated that PR 3A is an agreement with Paducah Junior College, Inc., and asked Dr. Ben Carr to comment on it.

Dr. Carr commented that PR 3A is an addendum to agreements with Paducah Junior College, Inc., which date back to 1968. Paducah Junior College, a municipal college in Paducah dating back to the 1930's, made an agreement with the University of Kentucky in 1968 to bring Paducah Community College into the Community College System. The Board of Paducah Junior College became a private corporation (PJC, Inc) which became the advisory board for the Paducah Community College. The 1968 agreement was amended in 1998 when the management of the community colleges was transferred from this Board to the Kentucky Community and Technical College System Board of Regents. The amendment that is before the Board today adds some additional parts to that agreement. Namely, we agree for a new building to be built on Paducah Community College's campus which is the Crisp Building replacement, and it will be funded from selling the original Crisp Building which was located off campus. This agreement also indicates that PJC, Inc. will give the university the additional funds needed to build the additional space for the Challenger Center and that the university will share the costs for any major alterations to that building. It also indicates that the university will increase the maintenance and operation monies sent to PJC, Inc. each year according to the percentage increase of state appropriations that the University receives.

President Wethington added that he believes these changes are good for the University and Paducah Junior College, Inc. They do put in place a framework to handle the Crisp Building replacement, the building the University of Kentucky is constructing on the campus at Paducah Junior College. He expressed his pleasure in recommending PR 3A for approval.

Dr. Meriwether moved approval. The motion, seconded by Ms. Wilson, carried. (See PR 3A at the end of the Minutes.)

Dr. Reedy referred back to PR 2 and commented on the retirement of Juanita Fleming, a good friend and one of the most self-effacing, long-serving persons in this University.

Dr. Fleming received a round of applause.

President Wethington stated that if anyone deserves accolades in this institution it is Juanita Fleming. She is truly a treasure, a remarkable achiever, and she is hard working. She is all of the things that Dan Reedy says that she is and she is an outstanding individual and will be sorely missed when she retires from this University.

I. Renaming Agricultural Engineering Building (Charles E. Barnhart Building) (PR 5A)

President Wethington stated that PR 5A is a recommendation that the Board of Trustees approve the renaming of the Agricultural Engineering Building to the name The Charles E. Barnhart Building. He noted that Dr. Barnhart served the College of Agriculture, the University of Kentucky and Kentucky agriculture in many leadership roles for more than 40 years. Dr. Barnhart was Dean of the College of Agriculture and Director of the Agricultural Experiment Station for 19 years. During his tenure, the College had tremendous growth in its teaching, research, and service programs, including the saving of a foal crop, worth \$135 million, by diagnostic and treatment procedures. He added that the Committee on Naming University Buildings made its recommendation to the Chancellor of the Lexington Campus and she in turn recommended it to him. He expressed his pleasure in making this recommendation to the Board.

Mr. Wilcoxson moved approval. The motion, seconded by Dr. Meriwether, carried. (See PR 5A at the end of the Minutes.)

President Wethington asked Dr. Barnhart's son, Charlie Barnhart, to stand and be recognized.

Mr. Barnhart received a round of applause.

President Wethington also asked Oran Little, Jack Hiatt, and Linus Walton to stand and be recognized for their work in this recommendation.

The gentlemen received a round of applause from the members of the Board.

President Wethington stated that this concluded his report to the Board. He noted that in the absence of the Chair and Vice-Chair of the Finance Committee, Dr. Meriwether was asked to chair the Finance Committee meeting and that he will be giving the report to the Board.

J. Acceptance of Interim Financial Report for the University of Kentucky for the Seven Months Ended January 31, 2001 (FCR 1)

Dr. Meriwether stated that the Finance Committee had a good meeting with a quorum present. He noted that FCR 1 was the Acceptance of the Interim Financial Report for the University of Kentucky for the Seven Months Ended January 31, 2001. The committee had a good discussion on this item and it was approved. Dr. Meriwether moved approval. The motion, seconded by Ms. Wilson, carried. (See FCR 1 at the end of the Minutes.)

K. Disposal of Surplus Property (FCR 2)

Dr. Meriwether stated that FCR 2 is the disposal of surplus property. He noted that Mr. Clay Owen gave a report on this item and it was approved by the Finance Committee. Dr. Meriwether moved approval. The motion, seconded by Mr. Guthrie, carried. (See FCR 2 at the end of the Minutes.)

L. Consent Agenda (FCR's 3-6)

Dr. Meriwether stated that the following items would be voted upon as a consent agenda:

- FCR 3 – Report of Leases;
- FCR 4 – Disposition of Property;
- FCR 5 – Disposition of Property;
- FCR 6 – Disposition of Property.

Dr. Meriwether added that Mr. DeBin reported on these items and would address any issues the Board may have about them. Dr. Meriwether moved approval. The motion, seconded by Mr. Reed, carried. (See FCR's 3-6 at the end of the Minutes.)

M. Capital Construction Report (FCR 7)

Dr. Meriwether stated that FCR 7, the Capital Construction Report, was reported on and there was a lengthy discussion about the outline of the presentation. He added that a revised version of the report will be presented at the next Finance Committee meeting and any Board members who wish to have input on it need to speak with Mr. DeBin. He stated that the Finance Committee approved FCR 7. Dr. Meriwether moved approval. The motion, seconded by Ms. Wilson, carried. (See FCR 7 at the end of the Minutes.)

N. 2001-02 Tuition Schedule (FCR 8)

Dr. Meriwether stated that FCR 8 is the 2001-2002 Tuition Schedule and it was presented without much discussion. The item was approved by the Finance Committee. Dr. Meriwether moved approval. The motion, seconded by Mr. Glenn, carried. (See FCR 8 at the end of the Minutes.)

O. Mandatory Registration Fee Schedule – 2001-02 (FCR 9)

Dr. Meriwether stated that FCR 9, the Mandatory Registration Fee Schedule for 2001-2002, created the most discussion at the meeting. He noted that it was the \$.80 decrease in the Student Government allotment that created the discussion. He added that Mr. Glenn was not present at the meeting; however, there were some students present at the meeting. He stated that the Finance Committee took the liberty of appointing an Ad-Hoc Committee to look into the matter. The members of the Ad Hoc Committee consist of Chancellor Holsinger, Ms. Sparks, Ms. Sims, Mr. Wilcoxson, Mr. Glenn, and Mr. Kidd. Dr. Meriwether also asked that the Student Affairs office participate in the meetings as well. He added that he, the Chairman of the Board, the Vice Chairman of the Board, President Wethington, Ms. Wickliffe, and Mrs. Sims will all be present at the meeting, which Ms. Peggy Way will set up. The Ad Hoc Committee will report back to the Finance Committee on their findings. He stated that there was an amendment to delete the section of the schedule that related to this \$.80 decrease and the Committee approved the document. Dr. Meriwether moved approval. The motion, seconded by Ms. Wilson, carried. (See FCR 9 at the end of the Minutes.)

P. Room and Board Rates 2001-02 (FCR 10)

Dr. Meriwether stated that Dr. Blanton discussed FCR 10, the Room and Board Rates for 2001-2002. He added that if any of the Board members had questions about the item, Dr. Blanton would be happy to answer them. Dr. Meriwether moved approval. The motion, seconded by Mr. Guthrie, carried. (See FCR 10 at the end of the Minutes.)

Dr. Reedy asked what percentage of the income from room and board goes into a renovation pool for upgrading and improving the housing environment.

Mr. Owen stated that there are two types of fees that are set aside, (1) a maintenance reserve for the Housing & Dining bonds for the upgrade and enhancement of the Housing and Dining System, and (2) an allocation for renewal and replacement of equipment, some \$2 to \$2.5 million.

Dr. Reedy stated that his reason for inquiring was that it came up in a discussion regarding the retention rate of particularly new students and the environment in which they live.

President Wethington added that the fund Mr. Owen described is only a small part of how the University handles housing renovations. The largest renovation items are done through bond issues.

Q. Consent Agenda (FCR's 11-22)

Dr. Meriwether stated that the following items would be voted upon as a consent agenda and asked President Wethington to comment on them.

- FCR 11 –the allocation of \$250,000 in income from the Albert G. and Lorraine N. Clay Charitable Lead Annuity Trust to the Albert G. and Lorraine N. Clay Endowment for Visiting Scholars in the College of Agriculture's Department of Veterinary Science;
- FCR 12 –a pledge of \$100,000 from the American Institute of Architects – Kentucky Chapter to establish and endow the AIA Kentucky Endowed Professorship in the College of Architecture;
- FCR 13 –a gift of \$75,000 and a pledge of \$75,000 from the Charlotte R. Schmidlapp Fund of Cincinnati, Ohio, to create and endow the Charlotte R. Schmidlapp Fellowship in Gerontology in the Graduate School;
- FCR 14 – a gift of \$40,000 and a pledge of \$160,000 from Dr. Richard R. and Dorothy Hillenmeyer Crutcher and the Crutcher Family Foundation of Lexington, Kentucky, to create the Dr. Richard R. and Dorothy Hillenmeyer Crutcher Endowed Scholarship in the College of Medicine;
- FCR 15 – a pledge of \$100,000 from the estate of Betty Jo Denton Heick of Paris, Kentucky, to create and endow the John H. Heick Professorship in Soil Science in the Department of Agronomy in the College of Agriculture;
- FCR 16 – a gift of \$209,140.63 and a pledge of \$709,859.37 from Dr. Jack M. and Mrs. Linda Challis Gill of Houston, Texas, to create and endow the Jack and Linda Gill Science and Engineering Research Excellence Fund in the College of Arts and Sciences and College of Engineering;

- FCR 17 – a gift of \$10,000 and a pledge of \$40,000 from Keith B. and Phyllis A. MacAdam of Lexington, Kentucky, to create the American Music Library Endowed Fund to support acquisitions to enhance research at the John Jacob Niles Center for American Music in the Lucille Caudill Little Fine Arts Library and Learning Center;
- FCR 18 – a pledge of gifts-in-kind from Lexmark International, Inc., of Lexington, Kentucky, totaling \$300,000 to the William T. Young Library and \$100,000 to Lexington Community College;
- FCR 19 – a pledge of \$200,000 from Mrs. Ina Brown Bond of Goshen, Kentucky, for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University;
- FCR 20 – a pledge of \$100,000 from Orson and Kathy Oliver of Louisville, Kentucky, to create and endow the Jana Oliver Memorial Fellowship in the College of Law;
- FCR 21 – a gift of \$25,000 and a pledge of \$25,000 from Ronald Allen and Nancy Smoot Forester of Birmingham, Alabama, to create and endow the Ronald Allen Forester and Nancy Smoot Forester Endowed Professorship Fund in the School of Management in the Carol Martin Gatton College of Business and Economics;
- FCR 22 – a gift of \$50,000 from Dermontti F. Dawson and Regina M. Dawson of Nicholasville, Kentucky, to establish and endow the Dermontti F. and Regina M. Dawson Graduate Fellowship in Education in the College of Education.

President Wethington commented that once again there are a number of significant gifts and pledges, many of which qualify to be matched by the state's Research Challenge Trust Fund. He expressed his appreciation to the friends of the University who contribute their money to this institution to make it better.

Dr. Meriwether moved approval. The motion, seconded by Ms. Sims, passed. (See FCR's 11-22 at the end of the Minutes.)

Mr. Guthrie commented on FCR 11, the Albert and Lorraine Clay gift, and their dedication to the University of Kentucky. He stated that Mr. Clay served on this Board for 20 years and he served as Chair for a number of those years. Mr. Guthrie recognized Mr. and Mrs. Clay for their great service to this University.

- R. Resolution of the Board of Trustees of the University of Kentucky Accepting the Successful Bid for the Purchase of \$20,510,000 Principal Amount of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series R, Dated March 1, 2001 and Amending its Bond Resolution with Respect Thereto to Provide for the Redemption Prior to Maturity on or After May 1, 2011 (FCR 23)

Dr. Meriwether stated that FCR 23 is the resolution for a bond issue and he asked Mr. Owen to comment.

Mr. Owen stated that nine bids were received this morning for the sale of Series R Consolidated Educational Buildings Revenue Bonds with a low average cost of 4.789%. He commented that this was a very good bond sale

Dr. Meriwether moved approval. The motion, seconded by Ms. Sparks, carried. (See FCR 23 at the end of the Minutes.)

Dr. Meriwether stated that this concluded his report to the Finance Committee. He reiterated that as soon as they learn the facts regarding the student fee issue, they will report back to the full Board.

S. Investment Committee Report

Mr. Grayson stated that the Investment Committee met earlier in the day. He noted that the University is fairing well in this market; however that does not mean that our portfolio is growing, but it does mean that the strategies that we have put together have been protecting us in this market and the different styles of our managers are working. He reported that when they looked at each particular manager and compared that manager to the index of the style of investment, that every one of our managers in the short run is beating the index. The endowment assets had a market value at the end of the year of \$433 million compared to June of \$378 million, or an increase of \$55 million, from market performance and gifts. The increase is a result of the Research Challenge Trust funds of \$41 million, donor gifts and other additions of \$19 million and a market loss on investments of \$5 million. The Investment Committee has allocated endowments and investments among domestic and international equities. On December 31, the University's portfolio was invested 50% in common stocks of U.S. companies, 38% in U.S. fixed income securities, and 12% in international companies. Calendar year 2000 was an excellent year relative to the market. UK's domestic equity managers producing a total return of 3.9%, positive, compared to the S & P 500 Market Index of a -9.1%; a 12% difference. Investments in international companies returned -4.1%, and the fixed income portfolio produced a return of 12.5%, verification that we are performing quite well with the policies that are in place. Overall, the endowment posted an average total return of 6.1% for the calendar year 2000 compared to -.7% for the blended market index of allocated assets, a performance of 6.8% over the capital market indexes. Cambridge Associates, our consulting group, indicated that the UK endowment also out-performed the endowment fund median for the year of 2.1% by 4%. In summary, the University of Kentucky endowment has performed very well over the last several years in relation to both the capital markets and peer institutions. He noted that Mr. Owen and his office are continually monitoring the performance of the managers of the allocations to make sure we stay within the guidelines.

T. Other Business

Mr. Guthrie recognized Liz Howard Demoran, the Associate Director of the National Alumni Association and the editor of the Kentucky Alumnus. He brought attention to the current edition of the Kentucky Alumnus with the cover honoring President Wethington and an article entitled, "The Presidential Times of Dr. Charles Wethington: 1989-2001." He informed the members of the Board that Ms. Demoran has extra copies of this publication with her if any of them would like a copy. He complimented the picture of Charles Wethington.

President Wethington received a round of applause from the members of the Board.

Ms. Sims added that for the Board members who did not receive a copy of this publication in the mail, they would receive future publications if they would join the Alumni

Association. She added that the Alumni Associate gave another 6 more Great Teacher Awards, one of which went to Bill Fortune.

Professor Fortune received a round of applause.

Mr. Miles commented on other great news and singled out Dr. Zinser for her new job.

Ms. Sims added that Dr. Jack Blanton is leaving the University as well.

Governor Breathitt stated that if Dr. Zinser chose not to comment, that he would say that she is the new president of Southern Oregon University.

Dr. Zinser received a round of applause.

Ms. Plattner had just a few words for Dr. Zinser and Dr. Shirley Raines, "You go, girls!"

Dr. Reedy reported that there has been a meeting between the elected officers of the Board pursuant to the appointment of Dr. Todd. He noted that at the last meeting, the Board authorized the Chairman of the Board to meet with Dr. Todd to execute a contract. A draft of that contract was given to the Board members and as soon as Dr. Todd returns from some travels, it is expected that the contract will be signed. There may be minor alterations of some kind, although that's not particularly expected. Dr. Reedy commented that from his perspective as a faculty member and as a long-time member of the faculty of this institution, that this is a contract that meets the institutional expectations in remuneration on a comparison with our national benchmarks in terms of the base salary with other benefits; it also includes the possibility of incentive funds for performance that are beyond normal merit, a concept that is quite prevalent in the business world and in a university such as ours that is striving toward great goals. The leadership that we will have in helping to meet those goals annually or on a 5-year or 10-year basis will be extremely important in making a judgment of Dr. Todd's leadership of the university in that direction. He added that Mr. Dick Plymale, in conjunction with Mr. Miles, has worked very hard on this contract. He stated that the motion as set forth by Governor Breathitt was that it should be reported to this Board before the final execution of it.

U. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 2:25 p.m.

Respectfully submitted,

Daniel R. Reedy  
Secretary  
Board of Trustees

(PR 2, 3A, 3B, 5A; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, and 23 which follow are official parts of the Minutes of the meeting.)



Office of the President  
March 6, 2001

1. Five Are Inducted into Gatton College Alumni Hall of Fame

The University of Kentucky Gatton College of Business and Economics inducted five individuals into its Alumni Hall of Fame earlier this month. The inductees are Barry K. Allen of Indianapolis, a former president of Ameritech and current president of Allen Enterprises L.L.C.; index fund creator William L. "Bill" Fouse of San Rafael, Calif., identified by *Pensions & Investments* magazine as one of its "Men of the Century;" UK management Professor James L. Gibson; Procter & Gamble Vice President and Treasurer Gretchen W. Price of Cincinnati; and venture capitalist Douglas J. Von Allmen of St. Louis.

2. Human Environmental Sciences Hall of Fame Inducts Four

The College of Human Environmental Sciences (HES) inducted Sarah Tabb Henry, Marianne Smith Edge, Myra Tobin and Doris A. Tichenor into the HES Alumni Hall of Fame earlier this month. Henry is a retired professor and a former assistant dean of HES. Edge is founder, president and consulting dietitian for MSE & Associates, a food service and nutrition management consulting service. Tobin is a former senior vice president of Marsh and McLennan Companies Inc., a New York-based insurance corporation. Tichenor served as director of home economics programs and chair of the home economics department at the University of Florida.

3. Six Are Named "Great Teachers" by UK Alumni Association

The University of Kentucky Alumni Association has selected six professors as recipients of the 2001 Great Teacher Awards. The six are: Joseph L. Fink III, Pharmacy; William H. Fortune, Law; Donn E. Hancher, Civil Engineering; Gail M. Hoyt, Gatton College of Business and Economics; Jerzy W. Jaromczyk, Computer Science; and Robert G. Lawson, Law. Fink and Lawson are repeat honorees. Recipients receive a \$1,000 cash award and a plaque commemorating their selection. They are nominated by students and selected by a committee appointed by the UK Alumni Association's Board of Directors and representatives of the Mortar Board and Omicron Delta Kappa student organizations.



4. UK Children's Hospital Ranked 28<sup>th</sup> in Nation

In its February 2001 issue, *Child* magazine ranked the University of Kentucky Children's Hospital 28<sup>th</sup> in the nation. The 178 hospitals that are members of the National Association of Children's Hospitals and Related Institutions were eligible to be ranked. The magazine determined the rankings based on findings by the Joint Commission on Accreditation of Healthcare Organizations, which evaluates institutions based on dozens of areas, including treatment protocols, record keeping and dispensing of medication.

5. UK Markey Cancer Center Awarded Major Grant

The UK Markey Cancer Center has been awarded a four-and-a-half-year, \$5.2 million grant from the National Cancer Institute (NCI) to be part of NCI's Surveillance, Epidemiology and End Results (SEER) program. The Kentucky Cancer Registry, part of the UK Markey Cancer Center and the state's official cancer registry, will contribute data on the incidence of cancer in Kentucky to the SEER program. SEER is the most authoritative source of information on cancer incidence and survival in the United States, with data updated annually and used extensively by researchers, health care professionals, public health officials, legislators, policymakers and community groups.

6. Two UK Professors Are Named American Council on Education Fellows

Claudia Peck-Heath, Human Environmental Sciences, and Roy L. Moore, Journalism and Telecommunications, have been named 2001-2002 Fellows by the American Council on Education (ACE). The prestigious fellowships will assign Peck-Heath and Moore to other institutions, where they will observe first-hand the operations of those bodies. The ACE program, which builds leadership abilities of its participants, selects its Fellows based on academic credentials, potential for administrative leadership, recommendations by colleagues, overall qualifications and the result of interviews. Peck-Heath and Moore join 16 other UK faculty who have been ACE Fellows since 1970.

7. Olson Named 2000-2001 Arts and Sciences Distinguished Professor

History professor Robert W. Olson was selected by the College of Arts and Sciences faculty as its 2000-2001 Distinguished Professor. To mark this honor, Olson presented a lecture entitled "The Palestine-Israel, Arab-Jewish, Muslim, American, British Conflict, 53 Years On: Perceptions and an Assessment." Olson's selection, based on teaching, scholarship and service to the university, carries a semester of scholarly leave at full salary. Olson's work in Middle Eastern and Islamic history has prompted reassessment of our understanding of the cultural and political forces that affect this volatile region.

8. College of Law Receives \$750,000 Teleconferencing Grant

U.S. Senator Mitchell McConnell announced a federal grant of \$750,000 in late February that will permit the College of Law to install interactive video technology. The equipment will enhance the college's ability to provide distance learning and continuing education courses for Kentucky's attorneys, prosecutors and judiciary. Both McConnell and U.S. Rep. Hal Rogers, who assisted in securing the grant, are graduates of the college.

9. Shaneka Edwards Named One of Nation's Promising Advertising Students

Shaneka Edwards of Lexington, a senior in UK's Integrated Strategic Communications program, was named one of the nation's 25 most promising minority students by the American Advertising Federation Foundation in late February. Edwards was featured in the Feb. 19 issue of *Advertising Age* magazine. She also visited New York City early this month to interview with and make presentations to recruiters representing major advertising agencies, corporations and media outlets. She is the second UK student in four years to receive the designation.

10. UK Researchers Find Role for Molecule in Stroke Recovery

UK College of Medicine researchers have determined a crucial process that may lead to new treatments for stroke victims. The researchers found that estrogen must bind to a brain-cell molecule called ER-alpha in order to protect the brain from stroke injury. These findings have tremendous implications for eventually developing therapeutic agents for brain injuries. The study was led by Phyllis Wise, professor and chair, and Dena Dubal, graduate student in the M.D./Ph.D. program, Department of Physiology. Their research appears in the Feb. 6 online issue and the Feb. 13 issue of the *Proceedings of the National Academy of Sciences*.

11. Study Shows Effectiveness of Anti-Marijuana Ads that Target Audience

A study by a team of UK researchers led by Philip Palmgreen, Communications, shows that targeting the message of anti-marijuana public service advertisements to specific audiences can be effective in reducing marijuana use. Palmgreen and his team observed teen-age "high sensation seekers" during PSA campaigns, and gathered data demonstrating the effectiveness of targeting messages to personality types. The study was published in the *American Journal of Public Health* last month. Earlier work by Palmgreen's team was used to develop the billion-dollar federal anti-drug abuse ad campaign.

12. UK Marketing/PR Materials Earn Top Regional Recognition

UK received seven awards for communications materials at the District III conference of the Council for the Advancement and Support of Education (CASE). The "America's Next Great University" advertising campaign won four awards. **Grand Award:** "The Next Great" paid advertising series representing the second year of the campaign. **Awards of Excellence:** "America's Next Great University" overall marketing campaign; UK's graphic identity program including ads, publications, signage and stationery; and UK's 2000 annual report entitled "Entering Our Third Century of Greatness." **Special Merit:** "The Next Great" television advertisement, "The Call" capital campaign newsletter and *Odyssey* magazine's editorial design. CASE District III represents 508 colleges, universities and independent schools in nine southeastern states. UK's marketing campaign won 17 awards last year at the local, state, regional and international levels including the top international award in its category.

13. Program Improves Eastern Kentucky Children's Math and Science Skills

After five years of work in eastern Kentucky counties, the University of Kentucky Resource Collaborative is seeing improved mathematics and science scores in 94 percent of schools in the region. The collaborative, housed in the UK Appalachian Center and part of the Appalachian Rural Systemic Initiative, works with teachers and administrators to meet student needs and enhance learning opportunities. The program has helped eastern Kentucky students close the gap with other Kentucky students in achievement tests.

14. Patterson School's Stempel Helps Ease Water Conflicts in India

John Stempel, director of the UK Patterson School of Diplomacy and International Commerce, led an international team of negotiators to India hoping to resolve civic unrest stemming from the construction of thousands of dams. The Indian government's dam-building program provides water for agricultural irrigation and human consumption, but it has resulted in the relocation of millions of people and the destruction of sensitive lands and species.

15. Lexington Community College Helps Recruit NASA Mobile Lab Visit

Thanks to the combined efforts of Lexington Community College, Fayette County Public Schools, Lexington Fayette Urban-County Government, Kentucky American Water Co. and U.S. Rep. Ernie Fletcher, a unique traveling classroom visited Henry Clay High School in Lexington in late February. NASA's Mobile Aeronautics Education Laboratory features 10 computerized workstations that engage students in grades five and up in activities designed to simulate a cross-country flight.

Sandra Bastin, Robert Flashman, Linda Heaton, Sam Quick and Janet Tietven, Family and Consumer Sciences Extension, received the Outstanding New Project Award by the Association of Kentucky Extension Specialists for their project, Wildcat Way to Wellness.

Carol Brock, Chemistry, has been invited to join the Board of Governors of the Cambridge Crystallographic Data Centre.

Roy Burris, Animal Sciences, was presented the Outstanding Extension Livestock Specialist in the Southern Region Award by the American Society of Animal Scientists.

Alfred M. Cohen, Markey Cancer Center, has been elected chair of the Commission on Cancer. The Commission on Cancer was founded by the American College of Surgeons in 1922.

Sylvia Daunert, Chemistry, has received the Division of Analytical Chemistry of American Chemical Society's prestigious 2001 Findais Award. A symposium in honor of Dr. Daunert is being organized by the society.

John D. Erickson, French, is on sabbatical leave and is presenting a lecture at the University of Paris-Sorbonne.

William W. Freehling, History, has just published a new book, *The South vs. The South: How Anti-Confederate Southerners Shaped the Course of the Civil War*.

Stephen Gedney, Electrical Engineering, co-authored the paper "Convolutional PML (CPML): An Efficient FDTD Implementation of the CFS-PML for Arbitrary Media" in *Microwave and Optical Technology Letters*. He also co-authored the paper "High-Order Nyström Solution of the Volume EFIE for TE-Wave Scattering" in *Electromagnetics*.

James Baker Hall, English, was recently selected by the Kentucky Arts Council and the State Department of Libraries to serve as Kentucky's next Poet Laureate. He will be inducted into this two-year position in April.

John Paul Jones III, Geography, gave the Alexander von Humboldt Lecture in Human Geography, University of Nimegen, The Netherlands.

Celia Hayhoe, Family Studies, has been appointed to the U.S. Trustees/Consumer Educator Working Group on Debtor Education, which is sponsored by the U.S. Trustees Office in the U.S. Department of Justice.

Gladys Hildreth, Family Studies, has been designated a Fellow by the National Council on Family Relations.

Carol Hunt, Lexington Community College, co-authored an article "The Practical Joke in Huckleberry Finn," which recently appeared in *Western Folklore*. The article has been included as part of the scholarly material accompanying a CD-ROM manuscript of *Adventures of Huckleberry Finn*.

Kevin Laurent, Animal Sciences, was presented the Outstanding Extension Associate Award by the Association of Kentucky Extension Specialists.

16. Significant Activities of Students

Kelly Barrett, Joseph Cahill, Eric Davis, Aden Heuser, Daniel Jarboe and Adma Karamelic, French, are temporarily living in three cities in France under UK's new Brittany Internship Program for French students. Molly Haggerty, Benjamin Hirt, Benjamin Hutchinson and Molly King, French graduate students, are teaching or studying in France this spring.

Brian Coffey, graduate student in Agricultural Economics, was presented the 2001 Southern Agricultural Economics Association Graduate Paper Distinguished Professional Contribution award for his paper "An Analysis of the Effects of Feed Ingredient Price Risk on the Selection of Minimum Cost Backgrounding Rations."

Maria Elizabeth Tsoras, Chemistry, and Kiley Ray Whitaker, History/Russian, each have received \$3,000 Robert B. and Helen P. Jewell Education Foundation scholarships for the spring semester.

Jim Tussey, doctoral candidate in Educational Psychology, was chosen to participate in the American Educational Research Association's Dissertation Seminars for 2001.

Eleven Lexington Community College students are included in 2001 edition of *Who's Who Among Students in American Junior Colleges*. They are Joy L. Allen, Erica Bates, Charles D. Cantrill, Paula Carter, Paul E. Hockensmith, Donna M. Jaynes, Paula D. Klim, Adrain C. Liggett, James T. Osborne, Amanda M. Parker and Wakako Takatani.

17. Significant Faculty and Staff Activities

Kimberly Ward Anderson, Chemical Engineering, contributed the chapter "Adhesion of Cancer Cells to Endothelial Monolayers: A Study of Initial Adhesion vs. Firm Adhesion" to *Particle Adhesion: Advances and Applications*. She also was invited to present a seminar at West Virginia University.

Elisabeth Arnould-Bloomfield, French, has published two book reviews in *L'Esprit Créateur*.

David Atwood and Leonidas Bachas, Chemistry, both received three-year, \$160,000 National Science Foundation Research Experience for Undergraduates Awards.

Paola Bacchetta, Geography, gave three lectures in Paris, France, on Hindu nationalism at Sorbonne-École Pratique des Hautes Études, Centre d'Études de l'Inde et de l'Asie du Sud, and Foundation des Sciences Politiques.

Michael Bardo, Psychology, was featured in a cover story about drug abuse published in a recent issue of *Newsweek* magazine.

Thomas Leinbach, Geography, received the 2001 Edward Ullman Award sponsored by the Association of American Geographers Transportation Geography Specialty Group for his sustained and high quality contributions to the study of transportation geography.

Jonathan Phillips, Geography, lectured at Rutgers University on "Uncertainty in Physical Geography."

Suzanne R. Pucci, French, is teaching at the Université de Bourgogne in Dijon this spring as the first professor from UK to participate in the newly-expanded exchange program between the two universities.

Kris Rhodes, Office of Sponsored Projects Administration, has been appointed to the National Council of University Research Administrators Professional Development Committee.

Jerry Skees, Agricultural Economics, was appointed to a three-year term on the governing board of the Council on Food, Agricultural and Resource Economics, a non-profit organization dedicated to strengthening the national presence of the agricultural economics profession.

Will Snell, Agricultural Economics, has been appointed to Governor Patton's Tobacco Marketing and Export Advisory Council that is charged with developing a plan to ensure the global competitiveness and future growth of Kentucky's tobacco industry. Snell also received the Senator Wendell H. Ford Tobacco Leadership award sponsored by the Burley Tobacco Growers Cooperatives.

Chuck Staben, Biological Sciences, is part of a large, collaborative team based at the Whitehead Genome Center that announced completion of the shotgun sequencing and initial assembly of the Neurospora genome, the largest fungal genome sequence that is publicly available.

Nick Stamatiadis, Civil Engineering, presented the paper "Speed Moderating Techniques for Urban Areas: A European Perspective" at the 80th Annual Transportation Research Board meeting in Washington DC in January. Stamatiadis, along with Adam Kirk, Chemical Engineering, presented the paper "Crash Rates and Traffic Maneuvers of Young Drivers" at the same meeting.

Greg Stump, English and Linguistics, has just published *Inflection Morphology: A Theory of Paradigm Structure* through Cambridge University Press. It is volume 93 in one of the pre-eminent series of studies in Linguistics.

Laurie Taylor, Forestry, was awarded the Facilitator of the Year award by the Kentucky Project Learning Tree.

Timothy Woods, Agricultural Economics, has been appointed on a half-time basis to serve as the economist for the Phase I Tobacco Settlement Board.

# PR 2

Office of the President  
March 6, 2001

Members, Board of Trustees:

## PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

---

Action taken:



☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

## **CENTRAL ADMINISTRATION**

### **I. BOARD ACTION**

#### **A. ADMINISTRATIVE APOINTMENTS**

##### **Vice President for Fiscal Affairs**

Allen, Terry D., from Director of Affirmative Action to Assistant Vice President, effective 3/6/01.

#### **B. CHANGES**

##### **Vice President for Fiscal Affairs**

Johnson, Jestine R., from retirement reported for 1/19/01 to Truck Driver II, Physical Plant Division.

##### **Vice President for Information Systems**

Sharp, Deborah K., Librarian II, from LexMark Information Center to Librarian II, Young Circulation, University Libraries, effective 4/21/01.

#### **C. RETIREMENTS**

##### **Vice President for Fiscal Affairs**

Aaron, Margaret, Program Assistant, after 20 years and 10 months of consecutive service, under AR II-1.6-1 Section III.B, effective 5/31/01.

Livingston, Alva, Custodial Worker IV, Physical Plant Division, after 11 years and 8 months of consecutive service, under AR II-1.6-1 Section III.B, effective 4/30/01.

Mullins, Elaine, Patient Registration Counselor II, after 32 years and 7 months of consecutive service and 36 years and 3 months of total service, under AR II-1.6-1 Section III.B, effective 4/30/01.

Seward, Jr., Lankford H., Medical Center TV Coordinator, after 32 years and 4 months of consecutive service and 37 years and 9 months of total service, under AR II-1.6-1 Section III.B, effective 4/30/01.

Thompson, Allene, Custodial Worker IV, Physical Plant Division, after 21 years and 3 months of consecutive service, under AR II-1.6-1 Section III.B, effective 2/28/01.



Vice President for Research and Graduate Studies

O'Connor, Eileen R., Coordinator/Elderhostel, after 9 years and 4 months of consecutive service and 10 years and 8 months of total service, under AR II-1.6-1 Section III.B, effective 2/28/01.

**D. EARLY RETIREMENTS**

Vice President for Fiscal Affairs

Kittinger, Larry T., Manager, Capital Construction Procurement, after 18 years and 9 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 4/30/01.

Vice President for Information Systems

Epperson, William G., Postal Worker, Postal Services, after 28 years of consecutive services, under AR II-1.6-1 Section III.A.2, effective 5/15/01.

**E. POST-RETIREMENT APPOINTMENTS**

Vice President for Fiscal Affairs

Franklin, Ira J., Custodial Worker I (part-time), Physical Plant Division, 2/15/01 through 6/30/01.

**II. ADMINISTRATIVE ACTION**

**A. ACADEMIC APPOINTMENTS**

Vice President for Information Systems

Davidson, Ann, Librarian IV, Young Reference Library, University Libraries, effective 4/21/01 through 6/30/01.

**B. ADMINISTRATIVE APPOINTMENTS**

Vice President for Fiscal Affairs

Cooper, Phyllis, Accounting Manager II, Plant Assets Inventory, Office of Controller and Treasurer, effective 2/1/01.

Vice President for Research and Graduate Studies

Ormsbee, Lindell, Professor (with tenure), Civil Engineering, appointed Interim Director of the Tracy Farmer Center for the Environment, 2/1/01 through 6/30/02.

### **C. PROMOTIONS WITHOUT TENURE**

#### **Vice President for Information Systems**

Perry, Valerie, from Librarian IV (without tenure), Agriculture Information Center, University Libraries, to Librarian III (without tenure), Agriculture Information Center, University Libraries, effective 7/1/01 through 6/30/02.

Vickery, Kelly M., from Librarian IV (without tenure), Electronic Resources, University Libraries to Librarian III (without tenure) Electronic Resources, effective 7/1/01 through 6/30/02.

Weig, Eric C., from Librarian IV (without tenure), Electronic Resources, University Libraries, to Librarian III (without tenure), Electronic Resources, effective 7/1/01 through 6/30/02.

### **D. CHANGES**

#### **Vice President for Information Systems**

Bates, Rennie, from Technical Support Services Director Associate, Communications & Network Systems to Information Technical Manager III, Communications & Network Systems, effective 2/1/01.

Cantrell, John M., from Program System Analyst Sr., IS/Computing Center/Enterprise Computing to Information Technical Manager II, IS/Computing Center/Enterprise Computing, effective 1/1/01.

Hall, Sarah N., from Technical Administrator II, IS/Computing Center/Enterprise Computing to Information Technical Manager II, IS/Computing Center/Enterprise Computing, effective 1/1/01.

### **E. LEAVES OF ABSENCE**

#### **Vice President for Information Systems**

Bowling, Lew, Librarian II, Special Collections and Archives, University Libraries, leave of absence with pay, effective 9/1/01 through 2/28/02.

**LEXINGTON CAMPUS**

**I. BOARD ACTION**

**A. ACADEMIC APPOINTMENTS**

**College of Agriculture**

Bicudo, Jose R., Assistant Extension Professor, Biosystems and Agricultural Engineering, 1/10/01 through 6/30/01.

**College of Arts and Sciences**

Hogstrand, Christer, Associate Adjunct Professor, Biological Sciences, 1/1/01 through 6/30/02.

Punnen, Abraham, Visiting Professor, Mathematics, 1/1/01 through 6/30/01.

Rincon, Juan Pablo Rada, Visiting Associate Professor, Mathematics, 1/1/01 through 6/30/01.

Yassemi, Siamak, Visiting Associate Professor, Mathematics, 1/1/01 through 6/30/01.

**B. ADMINISTRATIVE APPOINTMENTS**

**College of Business and Economics**

Ward, Bradford K., Director, International Business Management Center, effective 1/26/01.

**College of Engineering**

Anderson, Kimberly Ward, Professor (with tenure), Chemical and Materials Engineering, appointed Chair, Chemical and Materials Engineering, 7/1/01 through 6/30/05.

Rouch, Keith E., Professor (with tenure), Mechanical Engineering, and Chair, Mechanical Engineering, reappointed Chair, Mechanical Engineering, 7/1/01 through 6/30/05.

**Lexington Community College**

Abel, Eileen, Assistant Professor, Lexington Community College, appointed Division Chair, Humanities and Business Technologies, 7/1/01 through 6/30/04.

Holt, Deborah, Associate Professor (with tenure), Lexington Community College, appointed Division Chair, Behavioral Sciences and Information Systems, 7/1/01 through 6/30/04.

Wachtel, David, Associate Professor (with tenure), Lexington Community College, appointed Division Chair, Social Sciences and Graphics Technologies, 7/1/01 through 6/30/04.

Zoll, Gregory, Associate Professor (with tenure), Lexington Community College, appointed Division Chair, Natural Sciences and Health Technologies, 7/1/01 through 6/30/04.

#### Vice Chancellor for Academic Services

Grabau, Larry J., Professor (with tenure), Agronomy, College of Agriculture, appointed Director of the Teaching and Learning Center, 2/1/01 through 1/31/05.

Kraemer, Philipp J., Professor (with tenure), Psychology, College of Arts and Sciences, and Dean of Undergraduate Studies, appointed Vice Chancellor for Academic Services and Dean of Undergraduate Studies, effective 7/1/01.

### **C. RETIREMENTS**

#### Vice Chancellor for Administration

Blanton, Jack C., Vice Chancellor for Administration, after 26 years of consecutive service, under Section III.B of AR II-1.6-1, effective 8/15/01.

### **D. EARLY RETIREMENTS**

#### College of Agriculture

Harris, Starlene V., Extension County Clerk, Cooperative Extension Service, after 22 years and 11 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 1/2/01.

Poneleit, Charles, Professor (with tenure), Agronomy, after 33 years and 7 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 4/30/01.

Tutt, Charles R., Research Specialist, Agronomy, after 35 years and 7 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 3/31/01.

Zavos, Panayiotis, Professor (with tenure), Animal Sciences, after 21 years and 7 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 2/13/01.

### **E. PHASED RETIREMENT APPOINTMENTS**

#### College of Agriculture

Bitzer, Morris J., Extension Professor (half-time), Agronomy, 7/1/01 through 6/30/04.

## **II. ADMINISTRATIVE ACTION**

### **A. ACADEMIC APPOINTMENTS**

#### College of Agriculture

Greene, Douglas M., Instructor (part-time), Landscape Architecture, 1/15/01 through 5/15/01.

#### College of Architecture

Swanson, Elizabeth A., Instructor (part-time), Architecture, 1/1/01 through 5/31/01.

#### College of Arts and Sciences

Edwards, Dwayne A., Assistant Professor, Military Science, 7/1/01 through 6/30/03.

#### College of Communications and Information Studies

Cosby, Robin W., Instructor (part-time), Journalism and Telecommunications, 1/16/01 through 5/15/01.

Lowman, Tracy S., Instructor (part-time), Communication, 1/16/01 through 5/15/01.

Rapp, Joel, Instructor (part-time), Journalism and Telecommunications, 1/16/01 through 5/15/01.

#### College of Education

Bausch, Margaret, Assistant Research Professor, Special Education and Rehabilitation Counseling, 1/1/01 through 12/31/01.

#### College of Engineering

Viswanadham, R.K., Assistant Professor (part-time), Chemical and Materials Engineering, 1/1/01 through 5/15/01.

#### College of Fine Arts

Christianson, Laura K., Instructor (part-time), Art, 1/1/01 through 5/31/01.

Steene, Helene, Instructor (part-time), Art, 1/1/01 through 5/31/01.

#### College of Human Environmental Sciences

Roseman, Mary, Instructor (part-time), Nutrition and Food Science, 1/1/01 through 5/15/01.

College of Social Work

Ratliff, Stephanie H., Instructor (part-time), Social Work, 1/1/01 through 5/15/01.

Lexington Community College

Baxter, Marty, Instructor (voluntary), Lexington Community College, 1/16/01 through 6/30/03.

Beech, Elizabeth, Instructor (temporary), Lexington Community College, 1/8/01 through 5/31/01.

Campbell, Diane R., Instructor (temporary), Lexington Community College, 1/8/01 through 5/31/01.

Hancock, Shelly, Instructor (temporary), Lexington Community College, 1/10/01 through 5/31/01.

Human, James, Instructor (temporary), Lexington Community College, 1/8/01 through 5/31/01.

Jensen, Jill E., Librarian IV (temporary), Lexington Community College, 1/8/01 through 6/30/01.

Johnson, Sally, Instructor (temporary), Lexington Community College, 1/8/01 through 5/31/01.

Long, Julie, Instructor (voluntary), Lexington Community College, 11/20/00 through 6/30/03.

Pyle, Christian, Instructor (temporary), Lexington Community College, 1/10/01 through 5/31/01.

Simms, Rebecca, Instructor (voluntary), Lexington Community College, 1/16/01 through 6/30/03.

Smith, Michelle, Instructor (temporary), Lexington Community College, 1/8/01 through 5/31/01.

Thomas, Pamela, Instructor (temporary), Lexington Community College, 1/8/01 through 5/31/01.

Turner, Melissa, Instructor (voluntary), Lexington Community College, 11/20/00 through 6/30/03.

**B. REAPPOINTMENTS**

College of Agriculture

Austin, Stephen D., Instructor (part-time), Landscape Architecture, 1/15/01 through 5/15/01.

McCoy, J. Michael, Instructor (part-time), Landscape Architecture, 1/15/01 through 5/15/01.

Whittaker, David P., Instructor (part-time), Landscape Architecture, 2/1/01 through 5/15/01.

College of Architecture

Barry, Michael, Instructor (part-time), Architecture, 1/1/01 through 5/31/01.  
Biagi, David, Instructor (part-time), Architecture, 1/1/01 through 5/31/01.  
DeHart, John Steven, Instructor (part-time), Architecture, 1/1/01 through 5/31/01.  
Jackson, Melody Farris, Instructor (part-time), Architecture, 1/1/01 through 5/31/01.  
Jacobs, Michael W., Instructor (part-time), Architecture, 1/1/01 through 5/31/01.  
McComb, Gary Neil, Instructor (part-time), Architecture, 1/1/01 through 5/31/01.  
Romanowitz, Byron, Instructor (part-time), Architecture, 1/1/01 through 5/31/01.  
Stevens, Randall, Instructor (part-time), Architecture, 1/1/01 through 5/31/01.

College of Arts and Sciences

Cliggett, Lisa, Assistant Professor, Anthropology, 1/1/01 through 12/31/02.

College of Communications and Information Studies

Fitch, Sandra, Instructor (part-time), Communication, 1/16/01 through 5/15/01.  
Hickman, John W., Instructor (part-time), Journalism and Telecommunications,  
1/16/01 through 5/15/01.  
Miller, Cynthia, Instructor (part-time), Communication, 1/16/01 through 5/15/01.  
Miller, Laban, Instructor (part-time), Communication, 1/16/01 through 5/15/01.  
Miller, Robert O., Instructor (part-time), Communication, 1/16/01 through 5/15/01.  
Nead, Mary Jo, Instructor (part-time), Communication, 1/16/01 through 5/15/01.  
Norling, Gretchen R., Instructor (part-time), Communication, 1/16/01 through  
5/15/01.  
Parker, Steve, Instructor (part-time), Communication, 1/16/01 through 5/15/01.  
Saunders, Dudley, Instructor (part-time), Journalism and Telecommunications,  
1/16/01 through 5/15/01.  
Tidwell, Michael, Visiting Assistant Professor, Communication, 7/1/01 through  
5/31/02.

College of Fine Arts

Carlisle, Gregory J., Instructor (part-time), Theatre, 1/1/01 through 5/31/01.  
Clark, Cynthia D., Instructor (part-time), Art, 1/1/01 through 5/31/01.  
Hoffman, Dorotea, Instructor (part-time), Music, 1/1/01 through 5/15/01.  
Holdren, Michael, Instructor (part-time), Art, 1/1/01 through 5/31/01.  
Johnson, Lucy, Instructor (part-time), Art, 1/1/01 through 5/31/01.  
McVay, Vicki, Instructor (part-time), Music, 1/1/01 through 5/15/01.  
Nelson, Lawrence, Instructor (part-time), Music, 4/9/01 through 5/4/01.  
Slade, Susan, Instructor (part-time), Art, 1/1/01 through 5/31/01.  
Stofer, Jill L., Instructor (part-time), Art, 1/1/01 through 5/31/01.  
Thomas, Christopher, Instructor (part-time), Art, 1/1/01 through 5/31/01.  
Zory, Matthew Jr., Instructor (part-time), Music, 1/1/01 through 5/15/01.

College of Human Environmental Sciences

Scott, Ruth Ann, Adjunct Instructor, Family Studies, 1/1/01 through 5/15/01.

Sullivan, Cynthia, Instructor (part-time), Nutrition and Food Science, 1/1/01 through 5/15/01.

Graduate School

Trautman, Rhonda Riherd, Assistant Professor (temporary), Martin School of Public Policy and Administration, 8/16/00 through 5/15/01.

Lexington Community College

Bellamy, Janet Lynn, Instructor (temporary), Lexington Community College, 1/8/01 through 5/31/01.

Sevier, Lou Ann, Instructor (temporary), Lexington Community College, 1/2/01 through 5/31/01.

**C. LEAVES OF ABSENCE**

College of Agriculture

Gorell, Grace, Extension Specialist and Associate Director, Agricultural Alumni and Development, Educational leave with full salary, 2/1/01 through 5/30/01.

Weese, Madonna, County Extension Agent, Cooperative Extension Service, Educational leave with full salary, 5/1/01 through 10/19/01.

Woods, Kelly, County Extension Agent, Cooperative Extension Service, Educational leave with full salary, 8/1/01 through 1/1/02.

College of Architecture

Groves, J. Russell, Professor (with tenure), Architecture, Leave without pay, 1/1/01 through 12/31/01.

College of Business and Economics

Freeman, James, Associate Professor (with tenure), Management, Sabbatical leave with full salary, 7/1/01 through 12/31/01.

College of Communications and Information Studies

Braden, Maria, Associate Professor\* (with tenure), Journalism and Telecommunications, Sabbatical leave with half salary, 7/1/01 through 6/30/02.

---

\*Special Title Series



College of Engineering

Marek, Victor, Professor (with tenure), Computer Science, Sabbatical leave with half salary, 7/1/01 through 6/30/02.

College of Fine Arts

Rodgers, James, Professor (with tenure), Theatre, Leave without pay, 7/1/01 through 12/31/01.

Lexington Community College

Austin, Vincent, Associate Professor (with tenure), Lexington Community College, Sabbatical leave with full salary, 1/1/02 through 6/30/02.

Brady, Barbara, Associate Professor (with tenure), Lexington Community College, Sabbatical leave with full salary, 7/1/01 through 12/31/01.

East, Paul, Associate Professor (with tenure), Lexington Community College, Sabbatical leave with half salary, 7/1/01 through 6/30/02.

Saunier, Margaret, Professor (with tenure), Lexington Community College, Sabbatical leave with full salary, 7/1/01 through 12/31/01.

Teeple, Patricia Lefler, Associate Professor (with tenure), Lexington Community College, Educational leave without pay, 7/1/01 through 6/30/02.

**MEDICAL CENTER**

**I. BOARD ACTION**

**A. ACADEMIC APPOINTMENTS**

**College of Allied Health Professions**

Effgen, Susan K., Professor (with tenure), Rehabilitation Sciences, named to the Joseph Hamburg Professorship in Rehabilitation Sciences, 3/7/01 through 3/6/04.

**College of Medicine**

Brent, James M., Clinical Assistant Professor, Anesthesiology, 3/7/01 through 6/30/01.

Gallion, Holly H., Professor, (with tenure), Obstetrics and Gynecology, named to the Professorship in Obstetrics and Gynecology (No. 2), 4/1/01 through 3/31/06.

Hassan, Zaki U., Clinical Instructor, Anesthesiology, 4/1/01 through 6/30/01.

McDaniel, William E., Professor (part time), Internal Medicine, 3/7/01 through 6/30/01.

Nelson, Milton O., Associate Professor (voluntary), Internal Medicine, 3/7/01 through 6/30/03.

Rowland, Randall G., Professor (with tenure), Surgery, named to the James F. Glenn Endowed Professorship in Urology, 4/1/01 through 3/31/06.

Shedlofsky, Steven I., Professor (with tenure), Internal Medicine, named to the Marcos Lins Andrade Endowed Chair in Gastroenterology, 4/1/01 through 3/31/06.

Vranicar, Mark, Clinical Assistant Professor, Pediatrics, 3/7/01 through 6/30/01.

**B. ADMINISTRATIVE APPOINTMENTS**

**College of Medicine**

Pomeroy, Claire, Professor (with tenure), Internal Medicine, joint appointment as Professor (without tenure), College of Allied Health Professions, Department of Health Services, named Associate Dean for Research and Informatics, effective 1/1/01.

Watt, David S., Professor (with tenure), Molecular and Cellular Biochemistry, joint appointments as Professor (without tenure), College of Pharmacy and College of Arts and Sciences, Department of Chemistry, named Senior Associate Dean, effective 1/1/01.

## **C. JOINT APPOINTMENTS**

### College of Medicine

Spear, Brett T., Associate Professor (with tenure), Microbiology and Immunology, joint appointment as Associate Professor (without tenure), Pathology and Laboratory Medicine, new joint appointment as Associate Professor (without tenure), Graduate School, Graduate Center for Toxicology, effective 7/1/01.

## **D. PROMOTIONS**

### College of Medicine

Booze, Rosemarie M., Associate Professor (with tenure), Anatomy & Neurobiology, joint appointment as Assistant Professor, Graduate School, Graduate Center for Toxicology, to Professor (with tenure), Anatomy & Neurobiology, joint appointment as Professor (without tenure), Graduate School, Graduate Center for Toxicology, effective 7/1/01.

Cheever, Todd R., Assistant Professor\*, Psychiatry, to Associate Professor\* (with tenure), Psychiatry, effective 7/1/01.

Davis, Gregory J., Associate Professor\* (with tenure), Pathology and Laboratory Medicine, to Professor\* (with tenure), Pathology and Laboratory Medicine, effective 7/1/01.

Spielmann, H. P., Assistant Professor, Molecular and Cellular Biochemistry, joint appointments as Assistant Professor (without tenure), Graduate School, Graduate Center for Toxicology, and College of Arts and Sciences, Department of Chemistry, to Associate Professor (with tenure), Molecular and Cellular Biochemistry, joint appointments as Assistant Professor (without tenure), Graduate School, Graduate Center for Toxicology and Associate Professor (without tenure), College of Arts and Sciences, Department of Chemistry, effective 7/1/01.

Springer, Joe E., Associate Professor (with tenure), Anatomy & Neurobiology, to Professor (with tenure), Anatomy & Neurobiology, effective 7/1/01.

Wilson, Dianne, Clinical Assistant Professor, Pathology and Laboratory Medicine, joint appointment as Clinical Assistant Professor, Surgery, to Clinical Associate Professor, Pathology and Laboratory Medicine, joint appointment as Clinical Assistant Professor, Surgery, 7/1/01 through 6/30/02.

## **E. CHANGES**

### College of Allied Health Professions

Kelly, Deborah G., Associate Professor\* (with tenure), Rehabilitation Sciences,, from sabbatical leave at full salary, 5/1/00 through 7/31/00 and 3/1/01 through 5/31/01, to sabbatical leave at full salary, 5/1/00 through 7/31/00 and 4/1/01 through 6/30/01.

---

\*Special Title Series

College of Medicine

Cowen, David E., from Associate Professor (voluntary), Ophthalmology, to Associate Professor (part time), Ophthalmology, 1/1/01 through 6/30/01.

Dignan, Mark B., from Professor (with tenure), Internal Medicine, effective 1/1/01, to Professor (with tenure), Internal Medicine, effective 3/1/01.

Hoffmann, Michael, from Clinical Associate Professor, Neurology, to Associate Professor (without tenure), Neurology, 3/1/01 through 6/30/01.

Snowdon, David A., from Professor (with tenure), Preventive Medicine and Environmental Health, to Professor (with tenure), Neurology, effective 4/1/01.

University Hospital

Bates, Jan, from Information Tech Manager II, Hospital Data Systems, to Computing Services Director, Hospital Data Systems, effective 3/7/01.

**F. RETIREMENTS**

College of Nursing

Fleming, Juanita W., Professor (with tenure), joint appointment as Professor (without tenure), College of Education, Department of Educational Policy Studies and Evaluation, and Special Assistant to the President for Academic Affairs, after 32 years, 2 months of consecutive service, under AR II-1.6-1, Section III.B, effective 6/30/01.

**G. EARLY RETIREMENTS**

College of Dentistry

Serafini, Victor A., Dental Technician, Laboratory Services, after 19 years, 3 months of consecutive service and 21 years of total service, under AR II-1.6-1, Section III.A.2, effective 2/16/01.

College of Nursing

Assell, Ruth A., Associate Professor\* (with tenure), after 28 years of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/31/01.

Sallee, Kathryn L., Associate Professor\* (with tenure), after 39 years, 5 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/31/01.

University Hospital

Walls, Jennie L., Admitting/Financial Counselor Supervisor, after 34 years, 3 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/26/01.

---

\*Special Title Series

## **II. ADMINISTRATIVE ACTION**

### **A. ACADEMIC APPOINTMENTS**

#### **Chancellor's Office**

De Bord, William J., Instructor (voluntary), Medical Center Library, 3/7/01 through 6/30/03.

#### **College of Allied Health Professions**

Conrad, Paul A., Assistant Professor (voluntary), Clinical Sciences, 3/7/01 through 6/30/03.

Del Mundo, Cecile C., Assistant Professor (voluntary), Clinical Sciences, 3/7/01 through 6/30/03.

Grentz, Werner, Assistant Professor (voluntary), Clinical Sciences, 3/7/01 through 6/30/03.

Mulanax, Laurie, Instructor (voluntary), Rehabilitation Sciences, 3/7/01 through 6/30/03.

Reynolds, Barbara J., Assistant Professor (voluntary), Clinical Sciences, 3/7/01 through 6/30/03.

Sandman, Denise, Instructor (voluntary), Rehabilitation Sciences, 3/7/01 through 6/30/03.

Tackett, Chandos D., Assistant Professor (voluntary), Clinical Sciences, 3/7/01 through 6/30/03.

#### **College of Dentistry**

Mansfield, W. M., Assistant Professor (part time), Oral Health Practice, 3/7/01 through 6/30/01.

#### **College of Medicine**

Balm, Michael, Assistant Professor (voluntary), Family Practice, 3/7/01 through 6/30/03.

Das, Pranab, Assistant Professor (voluntary), Internal Medicine, 3/7/01 through 6/30/03.

Milligan, Douglas A., Assistant Professor (voluntary), Family Practice, 3/7/01 through 6/30/03.

Oladiran, Babatunde, Assistant Professor (voluntary), Family Practice, 3/7/01 through 6/30/03.

Ragsdale, John, Assistant Professor (voluntary), Family Practice, 3/7/01 through 6/30/03.

Westerman, Albert G., Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 3/7/01 through 6/30/03.

College of Nursing

Braun, Janet L., Assistant Adjunct Professor, 3/7/01 through 6/30/01.

Li, Jue, Clinical Instructor, 3/7/01 through 6/30/01.

Schooler, Mary, Instructor (part time), 3/7/01 through 6/30/01.

College of Pharmacy

Bahr, Debra E., Assistant Professor (voluntary), 3/7/01 through 6/30/03.

**B. ADMINISTRATIVE APPOINTMENTS**

Chancellor's Office

Sherwood, Leslie, Veterinarian, Lab/Animal Resources, effective 2/5/01.

College of Medicine

Buck, James L., Professor\* (with tenure), Diagnostic Radiology, named Acting Chair,  
Diagnostic Radiology, 2/9/01 through 2/8/02.

Cooper, Michael A., Physician Assistant, Internal Medicine, effective 1/2/01.

College of Pharmacy

Clinch, Thomas, Clinical Research Information Manager, effective 2/15/01.

**C. JOINT APPOINTMENTS**

College of Allied Health Professions

Mattacola, Carl G., Assistant Professor, Clinical Sciences, joint appointment as  
Assistant Professor, College of Education, Department of Kinesiology and Health  
Promotion, 3/7/01 through 6/30/01.

Uhl, Timothy L., Assistant Professor, Clinical Sciences, joint appointment as  
Assistant Professor, College of Education, Department of Kinesiology and Health  
Promotion, 3/7/01 through 6/30/01.

**D. PROMOTIONS WITHOUT TENURE**

College of Medicine

Crutchfield, Barbara K., Instructor (part time), Ophthalmology, to Assistant Professor  
(part time), Ophthalmology, 7/1/01 through 6/30/02.

---

\*Special Title Series

## **E. CHANGES**

### Chancellor's Office

Teague, Brenda S., from Tech. Project Spec. III, Fiscal Affairs, to Planning and Assessment Director, Institutional Research & Decision Support, effective 1/1/01.

### College of Allied Health Professions

Adams, Doug, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Baker, Russell, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Barker-Ward, Robin, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Bazemore, Dana, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Beattie, Sonya, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Becker, Donald A., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Berkemeier, Lynne, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Berko, Lisa J., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Blake, Robin, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Boers, Carol, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Bohannon, Tony, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Bouchillon, Susan M., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Brown, Margaret G., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Bunker, Terry A., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Burr, Jay, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Cleary, Lisa S., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Cleary, Walter K., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Covington, Kerry T., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Coy, Steven M., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Crook, Cynthia L., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Crook, Scott, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Decker, Glenn J., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Denney, Mary A., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Dilly, Elisa, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Durbin, Susan C., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Edinger, Patricia, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Eiland, W. G., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Erskine, Kelly J., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Fields, Carla M., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Fields, Kimberly A., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Gaunce, Bonnie C., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Gaunt, Bryce W., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Geiger, Ruth A., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Gordon, Jane, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Graybeal, Michelle, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Hail, Melissa A., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Hampton, Cheri, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Hardin, J. A., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Hawk, Barbara, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Hazle, Charles, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Heckmann, Timothy P., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.



Heierman, Vickie N., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Heissenbittel, Pamela B., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Hilger, Brenda, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Hoard, Jerome, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Hoffman, Steven, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Holder, Alice D., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

House, Steve, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Howard, Pamela C., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Hughes, Tina, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Hutto, Tara, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Jackson, Nicia D., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Johnson, Beverly H., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Johnson, Caroline, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Karolich, Daun, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Kazee, David W., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Kennedy, Julie, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Kincaid, Valarie, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Loveless, Diana K., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Lynch, L. M., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Mason, Mary B., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Mattingly, Laura M., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Mauzy, Bruce, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Maynard, Nadine, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

McCary, Barbara, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

McCormack, Michael, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Milburn, Anne, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Muscarella, Michael A., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Neely, Careye J., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Nestor, David E., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

New, Gina S., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Ogle, Karen, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Omer, Linda, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Oxley, Sally B., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Paterno, Mark V., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Pencheff, Connie, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Phillips, Alan R., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Porter, Karissa J., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Puckett, Deborah, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Rinehardt, Scott, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Robinson, Roger, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Royalty, Jaime, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Santos, Allan, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Slone, Kathy, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Stevens, Gary, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Tharp, Deborah B., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Troutman, Adrianna L., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

Truman, Mark L., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.  
Tucker, Jeana, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.  
Turner, Debra S., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.  
Vahlsing, Linda J., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.  
Ward, Tabatha, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.  
Welsheimer, John, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.  
Wesley, Sherry J., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.  
West, Tommy G., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.  
White, Hank, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.  
Williams, Shawn, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.  
Woolbright, Roy D., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.  
Wright, Nancy, from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.  
Zeigler, Ann M., from Instructor (voluntary), Health Services, to Instructor (voluntary), Rehabilitation Sciences, 11/1/00 through 6/30/03.

#### College of Medicine

Denomme, Susannah R., from CC Resource Development Coordinator, Lexington Community College, to Development Assistant IV, Dean's Office, effective 2/12/01.  
Gagliardi, Antonio R., Assistant Research Professor, Obstetrics and Gynecology, from miscellaneous leave without pay, 7/15/00 through 1/14/01, to miscellaneous leave without pay, 7/15/00 through 12/31/00.

### **F. LEAVES OF ABSENCE**

#### College of Allied Health Professions

English, Robert A., Associate Professor\* (with tenure), Rehabilitation Sciences, sabbatical leave at full salary, 8/1/01 through 1/31/02.

---

\*Special Title Series

# PR 3A

Office of the President  
March 6, 2001

Members, Board of Trustees:

## AGREEMENT WITH PADUCAH JUNIOR COLLEGE, INC.

Recommendation: that the Board of Trustees authorize the execution of the attached Agreement with Paducah Junior College, Inc.

Background: Although Paducah Community College is now managed by the Kentucky Community and Technical College System, the University continues to cooperate with Paducah Junior College (PJC, Inc.) in educational programs beyond the scope of the community college. In 1998, the University and PJC entered into an agreement regarding: (a) engineering education, (b) disposition of proceeds from the sale of the Crisp Building, (c) continuation of joint economic development efforts, (d) additional educational opportunities in conjunction with Paducah Junior College consistent with the mission of the University, and (e) appointment of a liaison between UK and PJC.

The attached agreement continues that cooperation regarding: (a) a commitment by PJC that it will provide approximately \$3,000,000 of additional funding needed for the University to construct the Crisp Building replacement and the Challenger Center, (b) an agreement regarding University maintenance for the Crisp Building and equal cost sharing for capital items related to the Crisp Building, (c) an annual increase in the amount of maintenance and operations for Crounce Hall, used for the UK engineering program, equal to the increase the University receives from the General Assembly, and (d) an agreement that the University will fund one-half of major capital items related to Crounce Hall.

---

Action taken:



Approved

☐ Disapproved

☐ Other \_\_\_\_\_

ADDENDUM TO AGREEMENT BETWEEN  
BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY AND  
BOARD OF TRUSTEES OF  
PADUCAH JUNIOR COLLEGE

THIS ADDENDUM TO AGREEMENT, made and entered into this \_\_\_\_\_ day of \_\_\_\_\_, 2001, by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a public body corporate, existing pursuant to Section 164.110 of the Kentucky Revised Statutes and being the lawful governing body of the University of Kentucky (hereinafter "University"), and the BOARD OF TRUSTEES OF PADUCAH JUNIOR COLLEGE, Paducah, Kentucky, existing pursuant to Section 165.160 of the Kentucky Revised Statutes (hereinafter "PJC").

W I T N E S S E T H

WHEREAS, the University and PJC entered into an Agreement dated May 13, 1998, to identify projects and areas of common and mutual interest (hereinafter "Agreement"); and

WHEREAS, the University and PJC hereby reaffirm the commitments made in the Agreement and further wish to specify the shared responsibilities with respect to Crounse Hall located on the campus of PJC and the Crisp Building to be constructed on the campus of PJC;

NOW, THEREFORE, for and in consideration of the premises and the mutual covenants stated herein, the parties agree as follows:

1. Crounse Building. Effective with the budget year beginning July 1, 2001, the University will increase its current payment of maintenance and operation support funds for the Crounse Building by the same percentage increase received from

the Kentucky General Assembly for the University's current appropriation period. For the purposes of illustration, if the University receives a three (3) percent increase for its state appropriation base, the University agrees to transfer three (3) percent additional funds for maintenance and operations to Paducah Community College/PJC for Crounse Hall. The University also agrees that it will fund one-half of all major capital items related to Crounse Hall, e.g., roof, HVAC, etc.

2. Crisp Building.

(A) Construction Funding. PJC shall submit a letter to the University certifying that it has on hand and will provide the additional funding needed to build the Crisp Building replacement and the Challenger Center. The parties estimate that the additional funds required from PJC total approximately \$3,000,000.00. The University will not require PJC to pay all of the needed funds immediately; instead, the University agrees to provide a draw down schedule for the funds on a mutually convenient basis.

(B) Maintenance and Repairs for Crisp Building. The University will establish an amount necessary for the maintenance and operation of the new Crisp Building on the PJC campus. The University agrees to increase the base maintenance and operation amount by the same percentage increase the University receives on its state appropriation base, in a similar manner as described in paragraph 1 as related to the Crounse Building, maintenance and operation expenses. The parties each agree to pay one-half (1/2)

of the cost of all major capital items related to the Crisp Building, e.g., roof, HVAC, etc.

3. Capital Improvements and Major Repairs. The parties acknowledge that certain planned major capital improvements or repairs for either the Crounse or Crisp Buildings may require specific Budget Committee or legislative approval. In such circumstances, the parties agree to provide appropriate notice of such financial needs and to use their best efforts to obtain the necessary approval for such improvements or repairs.

4. Previous Agreement Ratified. All other terms of the Agreement are hereby ratified to the extent not inconsistent with the terms of this Addendum Agreement.

5. Duration of Agreement. This Agreement shall continue until either party requests, in writing, that the Addendum Agreement be terminated. The requesting party must provide at least five (5) years advance notice of its desire to terminate this Addendum Agreement.

6. Amendment of the Addendum Agreement. This Addendum Agreement may be amended by an affirmative vote of the University and PJC Boards.

7. Severability. The parties agree that if any part of this Addendum Agreement is declared void by any Court of competent jurisdiction, then the remaining parts of this Addendum Agreement shall remain valid and forcible.

8. Binding Effect. This Addendum Agreement shall be binding upon and shall inure to the benefit of the parties and their successors and assigns.

IN TESTIMONY WHEREOF, witness the signatures of a representative of the Board of Trustees of the University of Kentucky and Joseph H. Framptom, Chairman of the Board of Trustees of Paducah Junior College, on the day and year first above written.

THE UNIVERSITY OF KENTUCKY

BY \_\_\_\_\_

Title \_\_\_\_\_

PADUCAH JUNIOR COLLEGE, INC.

BY \_\_\_\_\_  
Joseph H. Framptom, Chairman



# PR 3B

Office of the President  
March 6, 2001

Members, Board of Trustees:

## Guidelines for Rehabilitation of Historic Campus Buildings

Recommendation: that the Board of Trustees, approve the list of Historic Campus Buildings (Appendix A) and direct the Administration to use the attached Guidelines for Rehabilitation (Appendix B) when making physical changes to the buildings on the list.

Background: Many states and communities use the National Register listing as the backbone of their planning process and designation criteria. The University of Kentucky campus in Lexington has 348+/- buildings with a cumulative area of 8,382,076 square feet. No buildings have been designated as Historic Landmarks which would appropriately protect them from damage by alteration. A criterion for successful physical planning is the preservation for future generations of the significant existing facilities that best express the heritage of the campus. The following factors were considered when creating the list of historic campus buildings: relationship to historical events, architectural significance, relationship to the campus setting, usage, physical condition, age and adaptability. There are 34 buildings on the list totaling over 1.5 million gross square feet (18% of the total gross area of campus buildings) with a conservative replacement value of over \$200 million.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

Appendix A  
Historic Campus Buildings

| Bldg.# | Bldg. Name                          | Completion Date |
|--------|-------------------------------------|-----------------|
| 0040   | Maxwell Place                       | 1872            |
| 0315   | 206 East Maxwell Street             | 1880            |
| 0345   | 212 East Maxwell Street             | 1880            |
| 0343   | 218 East Maxwell Street             | 1880            |
| 432    | 226 East Maxwell Street             | 1880            |
| 0344   | 232 East Maxwell Street             | 1880            |
| 0314   | 252 East Maxwell Street             | 1880            |
| 0094   | Cooper House                        | 1880            |
| 0010   | Hamilton House                      | 1880            |
| 0032   | Administration Building             | 1882            |
| 0033   | Ezra Gillis Building                | 1898            |
| 0035   | Miller Hall                         | 1898            |
| 0028   | Barker Hall and Buell Armory        | 1902            |
| 0009   | Patterson Hall                      | 1904            |
| 0031   | Frazee Hall                         | 1907            |
| 0038   | Mining Laboratory                   | 1907            |
| 0064   | Scovell Hall                        | 1907            |
| 0047   | Clarence Wentworth Mathews Building | 1908            |
| 0041   | Pence Hall                          | 1909            |
| 0044   | Kastle Hall                         | 1910            |
| 0058   | Bradley Hall                        | 1921            |
| 0045   | McVey Hall                          | 1928            |
| 0056   | Breckinridge Hall                   | 1929            |
| 0057   | Kinkead Hall                        | 1929            |
| 0049   | Memorial Hall                       | 1929            |
| 0001   | Taylor Education Building           | 1929            |
| 0039   | Margaret I. King Library            | 1930            |
| 0029   | Alumni Gym                          | 1937            |
| 0030   | Student Union Building              | 1938            |
| 0024   | Lafferty Hall                       | 1939            |
| 0050   | Erikson Hall                        | 1940            |
| 0054   | Funkhouser Building                 | 1940            |
| 0059   | Bowman Hall                         | 1947            |
| 0019   | Memorial Coliseum                   | 1950            |

## Appendix B

### Guidelines for Rehabilitation

**REHABILITATION OF A FACILITY IS DEFINED AS *the act or process of making possible a compatible use for a facility through repair, alterations, and additions while preserving those portions or features which convey its historical, cultural, or architectural values.* The guidelines are to be applied to all interior work as well as all exterior work.**

1. A facility will be used as it was historically or be given a new use that requires minimal change to its distinctive materials, features, spaces, and spatial relationships.
2. The historic character of a facility will be retained and preserved. The removal of distinctive materials or alteration of features, spaces, and spatial relationships that characterize a facility will be avoided.
3. Each facility will be recognized as a physical record of its time, place, and use. Changes that create a false sense of historical development, such as adding conjectural features or elements from other historic properties, will not be undertaken.
4. Changes to a facility that have acquired historic significance in their own right will be retained and preserved.
5. Distinctive materials, features, finishes, and construction techniques or examples of craftsmanship that characterize a facility will be preserved.
6. Deteriorated historic features will be repaired rather than replaced. Where the severity of deterioration requires replacement of a distinctive feature, the new feature will match the old in design, color, texture, and, where possible, materials. Replacement of missing features will be substantiated by documentary and physical evidence.
7. Chemical or physical treatments, if appropriate, will be undertaken using the gentlest means possible. Treatments that cause damage to historic materials will not be used.
8. New additions, exterior alterations, or related new construction will not destroy historic materials, features, and spatial relationships that characterize the facility. The new work will be differentiated from the old and will be compatible with the historic materials, features, size, scale and proportion, and massing to protect the integrity of the facility and its environment.
9. New additions and adjacent or related new construction will be undertaken in such a manner that, if removed in the future, the essential form and integrity of the historic facility and its environment would be unimpaired.

**Rehabilitation as a Treatment:** When repair and replacement of deteriorated features are necessary; when alterations or additions to the facility are planned for a new or continued use; and when its depiction at a particular period of time is not appropriate, rehabilitation will be the minimally accepted treatment. Preservation and reconstruction may also be considered if appropriate conditions exist.

# PR 5A

Office of the President  
March 6, 2001

Members, Board of Trustees:

RENAMING AGRICULTURAL ENGINEERING BUILDING -- LEXINGTON CAMPUS  
(CHARLES E. BARNHART BUILDING)

Recommendation: that the Board of Trustees approve the renaming of the Agricultural Engineering Building the "Charles E. Barnhart Building."

Background: This designation would be fitting because Dr. Barnhart served the College of Agriculture, the University of Kentucky and Kentucky agriculture in many leadership roles for more than forty years. During his nineteen years as Dean of the College and Director of the Agricultural Experiment Station and Cooperative Extension Service, the College grew and developed tremendously in its teaching, research and service programs. Dr. Barnhart frequently stated, "The College of Agriculture belongs to the farmers and the people of this state and is operated for them and their benefit." This philosophy inspired people across Kentucky to view the College as theirs, to freely give their time and resources, and to send their college-bound students to the University. During Dr. Barnhart's tenure as Dean, a foal crop worth \$135 million was saved by diagnostic and treatment procedures for contagious equine metritis. Moreover, the College pioneered no-tillage cropping systems. These examples simply illustrate the many advances for agriculture and communities across the Commonwealth under his leadership.

The Committee on Naming University Buildings has made its recommendation to the Chancellor of the Lexington Campus who recommends to the President and Board of Trustees that the Agricultural Engineering Building be renamed the "Charles E. Barnhart Building."

---

Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

# FCR 1

Office of the President  
March 6, 2001

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE SEVEN MONTHS ENDED  
JANUARY 31, 2001

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the seven months ended January 31, 2001.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of January 31, 2001, the University had realized income of \$826,646,000 representing 69% of the 2000-01 estimate of \$1,200,019,000. Expenditures and commitments total \$689,169,000 or 57% of the approved budget of \$1,200,019,000.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

**CONSOLIDATED BALANCE SHEET**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**JANUARY 31, 2001**  
with comparative totals for January 31, 2000  
(in thousands)

|                                                                 | 2000-01                          |                                |                  |                    |                     | 1999-00             |                     |
|-----------------------------------------------------------------|----------------------------------|--------------------------------|------------------|--------------------|---------------------|---------------------|---------------------|
|                                                                 | Current<br>Unrestricted<br>Funds | Current<br>Restricted<br>Funds | Loan<br>Funds    | Endowment<br>Funds | Plant<br>Funds      | Totals              | Totals              |
| <b>ASSETS :</b>                                                 |                                  |                                |                  |                    |                     |                     |                     |
| Cash and cash equivalents                                       | \$ 178,274                       | -                              | \$ 2,796         | \$ 3               | \$ 142,460          | \$ 323,533          | \$ 307,360          |
| Notes, loans, and A/R (less bad<br>debt allowances of \$10,070) | 152,827                          | \$ 31,010                      | 23,867           | 1,327              | 2,557               | 211,588             | 181,359             |
| Investments                                                     | 30,291                           | 128,563                        |                  | 440,468            | 45,353              | 644,675             | 555,767             |
| Property, plant, and equipment                                  |                                  |                                |                  |                    | 1,403,697           | 1,403,697           | 1,326,195           |
| Inventories and other                                           | 14,197                           | 51                             |                  |                    | 100                 | 14,348              | 15,152              |
| <b>Total Assets</b>                                             | <b>\$ 375,589</b>                | <b>\$ 159,624</b>              | <b>\$ 26,663</b> | <b>\$ 441,798</b>  | <b>\$ 1,594,167</b> | <b>\$ 2,597,841</b> | <b>\$ 2,385,833</b> |
| <b>LIABILITIES AND FUND BALANCES</b>                            |                                  |                                |                  |                    |                     |                     |                     |
| <b>Liabilities</b>                                              |                                  |                                |                  |                    |                     |                     |                     |
| Accounts payable                                                | \$ 28,540                        | \$ 3,133                       | \$ 261           |                    | \$ 2,240            | \$ 34,174           | \$ 36,630           |
| Employee withholdings and<br>deposits                           |                                  | 26,566                         |                  |                    |                     | 26,566              | 28,818              |
| Other liabilities                                               | 6,024                            | 3,622                          |                  | \$ 6,365           | 2,113               | 18,124              | 17,961              |
| Liability for self insurance                                    | 56,018                           |                                |                  |                    |                     | 56,018              | 48,398              |
| Deferred income                                                 | 20,210                           | 17,399                         |                  |                    |                     | 37,609              | 25,077              |
| Bonds payable                                                   |                                  |                                |                  |                    | 222,406             | 222,406             | 196,376             |
| Capitalized lease obligation                                    |                                  |                                |                  |                    | 43,438              | 43,438              | 30,877              |
| <b>Total Liabilities</b>                                        | <b>110,792</b>                   | <b>50,720</b>                  | <b>261</b>       | <b>6,365</b>       | <b>270,197</b>      | <b>438,335</b>      | <b>384,137</b>      |
| <b>Interfund Balances</b>                                       | <b>(53,809)</b>                  | <b>62,054</b>                  |                  | <b>13,443</b>      | <b>(21,688)</b>     |                     |                     |
| <b>Net deferred revenues and<br/>appropriated fund balances</b> | <b>147,400</b>                   | <b>(3,440)</b>                 |                  |                    |                     | <b>143,960</b>      | <b>123,341</b>      |
| <b>Fund Balances</b>                                            |                                  |                                |                  |                    |                     |                     |                     |
| Current unrestricted                                            |                                  |                                |                  |                    |                     |                     |                     |
| Working capital                                                 | 167,211                          |                                |                  |                    |                     | 167,211             | 159,336             |
| Future operating purposes                                       | 3,457                            |                                |                  |                    |                     | 3,457               | 4,910               |
| Other                                                           | 538                              |                                |                  |                    |                     | 538                 | 2,901               |
| Current restricted                                              |                                  | 50,290                         |                  |                    |                     | 50,290              | 50,136              |
| Loan                                                            |                                  |                                | 26,402           |                    |                     | 26,402              | 26,346              |
| True endowments                                                 |                                  |                                |                  | 331,819            |                     | 331,819             | 264,299             |
| Term endowments                                                 |                                  |                                |                  | 5,326              |                     | 5,326               | 5,876               |
| Quasi endowments                                                |                                  |                                |                  | 84,845             |                     | 84,845              | 80,903              |
| Plant                                                           |                                  |                                |                  |                    |                     |                     |                     |
| Retirement of indebtedness                                      |                                  |                                |                  |                    | 35,127              | 35,127              | 28,180              |
| Renewal and replacement                                         |                                  |                                |                  |                    | 48,025              | 48,025              | 8,494               |
| Allocated for designated<br>projects                            |                                  |                                |                  |                    | 171,147             | 171,147             | 216,760             |
| Net investment in plant                                         |                                  |                                |                  |                    | 1,091,359           | 1,091,359           | 1,030,214           |
| <b>Total Fund Balances</b>                                      | <b>171,206</b>                   | <b>50,290</b>                  | <b>26,402</b>    | <b>421,990</b>     | <b>1,345,658</b>    | <b>2,015,546</b>    | <b>1,878,355</b>    |
| <b>Total Liabilities and<br/>Fund Balances</b>                  | <b>\$ 375,589</b>                | <b>\$ 159,624</b>              | <b>\$ 26,663</b> | <b>\$ 441,798</b>  | <b>\$ 1,594,167</b> | <b>\$ 2,597,841</b> | <b>\$ 2,385,833</b> |

**CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE SEVEN MONTHS ENDED JANUARY 31, 2001**

with comparative totals for January 31, 2000

(in thousands)

|                                 | 2000-01             |                     |                     |     | 1999-00             |     |
|---------------------------------|---------------------|---------------------|---------------------|-----|---------------------|-----|
|                                 | Original<br>Budget  | Revised<br>Budget   | Realized<br>To Date | %   | Realized<br>To Date | %   |
| <b>General Fund</b>             |                     |                     |                     |     |                     |     |
| (1) State appropriations        | \$ 307,830          | \$ 307,830          | \$ 230,648          | 75  | \$ 217,643          | 75  |
| (2) Student fees                | 125,910             | 125,745             | 116,566             | 93  | 111,991             | 97  |
| (3) Federal appropriations      | 15,553              | 15,553              | 8,909               | 57  | 10,006              | 64  |
| (4) County appropriations       | 7,674               | 8,270               | 4,599               | 56  | 4,263               | 56  |
| (5) Endowment income            | 875                 | 875                 | 432                 | 49  | 424                 | 47  |
| (6) Investment income           | 11,901              | 11,900              | 8,638               | 73  | 7,597               | 85  |
| Gifts and grants                |                     |                     |                     |     |                     |     |
| (7) Affiliated corporations     | 14,348              | 14,348              | 6,869               | 48  | 7,428               | 51  |
| (8) Other                       | 55,519              | 58,146              | 29,185              | 50  | 28,482              | 48  |
| (9) Sales and services          | 62,486              | 61,507              | 33,113              | 54  | 30,033              | 52  |
| (10) Fund balance               | 19,478              | 21,292              | 21,292              | 100 | 28,303              | 100 |
| (11) Total General Fund         | 621,574             | 625,466             | 460,250             | 74  | 446,170             | 74  |
| (12) Auxiliary Enterprises      | 33,549              | 33,549              | 21,631              | 64  | 21,037              | 64  |
| (13) Restricted Funds           | 72,561              | 72,835              | 42,574              | 58  | 31,929              | 47  |
| (14) Affiliated Corporations    | 173,359             | 173,352             | 106,559             | 61  | 94,281              | 59  |
| <b>Hospital</b>                 |                     |                     |                     |     |                     |     |
| (15) Revenues                   | 294,817             | 294,817             | 195,632             | 66  | 176,744             | 64  |
| (16) Fund balance               |                     |                     |                     |     |                     |     |
| <b>Total Revenues and</b>       |                     |                     |                     |     |                     |     |
| (17) Appropriated Fund Balances | <u>\$ 1,195,860</u> | <u>\$ 1,200,019</u> | <u>\$ 826,646</u>   | 69  | <u>\$ 770,161</u>   | 68  |

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE SEVEN MONTHS ENDED JANUARY 31, 2001**

with comparative totals for January 31, 2000

(in thousands)

|                                        | 2000-01             |                     |                                    |    | 1999-00                            |     |
|----------------------------------------|---------------------|---------------------|------------------------------------|----|------------------------------------|-----|
|                                        | Original<br>Budget  | Revised<br>Budget   | Expended/<br>Encumbered<br>To Date | %  | Expended/<br>Encumbered<br>To Date | %   |
| (1) Instruction                        | \$ 257,926          | \$ 252,086          | \$ 140,199                         | 56 | \$ 135,891                         | 57  |
| (2) Research                           | 159,906             | 158,567             | 86,205                             | 54 | 73,987                             | 49  |
| (3) Public service                     | 155,726             | 153,483             | 89,935                             | 59 | 87,903                             | 59  |
| (4) Academic support                   | 72,221              | 73,404              | 41,116                             | 56 | 41,743                             | 58  |
| (5) Student services                   | 22,859              | 26,293              | 13,386                             | 51 | 11,320                             | 48  |
| (6) Institutional support              | 48,670              | 54,235              | 28,586                             | 53 | 28,548                             | 53  |
| (7) Student financial aid              | 50,234              | 50,847              | 45,423                             | 89 | 37,878                             | 83  |
| (8) Operation and maintenance          | 42,637              | 45,423              | 25,746                             | 57 | 22,046                             | 53  |
| (9) Mandatory transfers (debt service) | 18,879              | 18,879              | 18,390                             | 97 | 16,572                             | 100 |
| (10) Hospital                          | 295,588             | 295,588             | 154,391                            | 52 | 153,143                            | 55  |
| (11) Auxiliary enterprises             | 71,214              | 71,214              | 45,791                             | 64 | 45,800                             | 66  |
| (12) Total Expenditure by Program      | <u>\$ 1,195,860</u> | <u>\$ 1,200,019</u> | <u>\$ 689,169</u>                  | 57 | <u>\$ 654,831</u>                  | 58  |

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE SEVEN MONTHS ENDED JANUARY 31, 2001**

with comparative totals for January 31, 2000

(in thousands)

|                                         | 2000-01             |                     |                                    |    | 1999-00                            |    |
|-----------------------------------------|---------------------|---------------------|------------------------------------|----|------------------------------------|----|
|                                         | Original<br>Budget  | Revised<br>Budget   | Expended/<br>Encumbered<br>To Date | %  | Expended/<br>Encumbered<br>To Date | %  |
| (13) Personnel costs                    | \$ 673,523          | \$ 670,699          | \$ 389,361                         | 58 | \$ 367,633                         | 57 |
| (14) Operating expenses                 | 456,099             | 463,130             | 247,463                            | 53 | 222,937                            | 55 |
| (15) Mandatory transfers (debt service) | 29,936              | 29,936              | 20,179                             | 67 | 20,254                             | 73 |
| (16) Capital outlay                     | 36,302              | 36,254              | 32,165                             | 89 | 44,007                             | 69 |
| (17) Total Expenditure by Category      | <u>\$ 1,195,860</u> | <u>\$ 1,200,019</u> | <u>\$ 689,169</u>                  | 57 | <u>\$ 654,831</u>                  | 58 |



**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE SEVEN MONTHS ENDED JANUARY 31, 2001**

with comparative totals for January 31, 2000  
(in thousands)

|                                                                            | <u>2000-01</u>    | <u>1999-00</u>    |
|----------------------------------------------------------------------------|-------------------|-------------------|
| (1) <b>Realized Revenues</b>                                               | \$ 805,355        | \$ 741,858        |
| <b>Appropriated Fund Balances</b>                                          |                   |                   |
| (2) Current Unrestricted Fund                                              | \$ 21,292         | 28,303            |
| (3) University Hospital                                                    |                   |                   |
| (4) <b>Total Appropriated Fund Balances</b>                                | <u>21,292</u>     | <u>28,303</u>     |
| (5) <b>Total Revenues and Appropriated Fund Balances</b>                   | <u>826,646</u>    | <u>770,161</u>    |
| (6) <b>Expenditures/Encumbrances</b>                                       | 689,169           | 654,831           |
| (7) Less: Reserve for Encumbrances                                         | <u>(7,956)</u>    | <u>(8,535)</u>    |
| (8) <b>Total Expenditures</b>                                              | <u>681,213</u>    | <u>646,296</u>    |
| (9) <b>Excess/(Deficit) Restricted Receipts Over Transfers to Revenues</b> | <u>(1,473)</u>    | <u>(524)</u>      |
| (10) <b>Net Deferred Revenues and Appropriated Fund Balances</b>           | <u>\$ 143,960</u> | <u>\$ 123,341</u> |

# FCR 2

Office of the President  
March 6, 2001

Members, Board of Trustees:

## DISPOSAL OF SURPLUS PROPERTY

Recommendation: that the Board of Trustees approve disposal of personal property as set forth in Attachment I.

Background: KRS 164A.575 requires the Board of Trustees sell or otherwise dispose of all real or personal property of the University which is not needed or has become unsuitable for public use, or would be more suitable consistent with the public interest for some other use, as determined by the Board. The determination of the Board shall be set forth in a written order after reviewing a written request that describes the property and states the reasons why the University believes disposal should be effected.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

**UNIVERSITY OF KENTUCKY  
DISPOSAL OF SURPLUS PROPERTY**

**Property Description**      One Farady Balance

**Method of Disposal**      Negotiated Sale

**Reason for Disposal**      Dr. Robert Haddon of the Chemistry Department has accepted a position with the University of California at Riverside where he will continue his research in advanced carbon materials. The University of California at Riverside has offered to purchase the Farady Balance, which was customized for Dr. Haddon's specific use in his research program. The Vice President for Research and Graduate Studies and the Dean of the College of Arts and Sciences have recommended selling this equipment for continued use in Dr. Haddon's research considering this use to be more productive than limited utilization by the University of Kentucky.

# FCR 3

Office of the President  
March 6, 2001

Members, Board of Trustees:

## REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

A new lease between the University of Kentucky (Kentucky Cancer Program) and Hospice of Hope, Inc., 909 Kenton Station Drive, Maysville, Kentucky, for space located at 909 Kenton Station Drive, Maysville, Kentucky, effective March 1, 2001 through June 30, 2002, for the use of 528 square feet of office space, at an annual rate of \$6,864.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Center for Drug & Alcohol Research) and Montgomery County Fiscal Court, 44 West Main Street, Mt. Sterling, Kentucky, for space located at 44 West Main Street, Mt. Sterling, Kentucky, effective February 12, 2001 through June 30, 2002, for the use of 892 square feet of office space, at an annual rate of \$8,251.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Center for Drug & Alcohol Research) and Baxter Bledsoe, Jr., 408 North Main, Suite 2, London, Kentucky, for space located at 408 North Main, London, Kentucky, effective March 1, 2001 through June 30, 2002, for the use of 643 square feet of office space, at an annual rate of \$9,600.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

---

Action taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_

# FCR 4

Office of the President  
March 6, 2001

Members, Board of Trustees:

## DISPOSITION OF PROPERTY

Recommendation: that the Administration be authorized to execute appropriate legal instruments to sell through a real estate agent a condominium unit located in Hanover Towers, 101 South Hanover, Unit 2-H, Lexington, Kentucky.

Background: The property was a gift to the University of Kentucky from Jane R. Congleton. The property is appraised at \$59,000.00.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 5

Office of the President  
March 6, 2001

Members , Board of Trustees:

## DISPOSITION OF PROPERTY

Recommendation: that the Administration be authorized to execute appropriate legal instruments to sell a parcel of land containing six (6) acres more or less situated on the north side of Cirencester Avenue and east of 34<sup>th</sup> Street, Middlesboro, Bell County, Kentucky.

Background: The property is part of the Southeast Community College (SECC). Since the University of Kentucky is the owner of University of Kentucky Community College System property, Board of Trustee action is required before disposition of this parcel of land. The easement would allow for an access road to give Southeast Community College a more direct route to the Middlesboro Country Club. SECC is offering an associate degree program in Golf Course Management and the Country Club will be used as a practice site. The appraised price is \$15,000.00. This action is recommended by the Kentucky Community and Technical College System.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 6

Office of the President  
March 6, 2001

Members , Board of Trustees:

## DISPOSITION OF PROPERTY

Recommendation: that the Administration be authorized to execute appropriate legal instruments to sell a parcel of land containing 0.44 acres for a permanent easement and 0.18 acres for a temporary easement. The property is located two miles south of the Wendell Ford Expressway on the west side of US 231 and south side of Veach Road in the City of Owensboro, Kentucky.

Background: The property is part of the Owensboro Community College. Since the University of Kentucky is the owner of University of Kentucky Community College System property, Board of Trustee action is required before disposition of this parcel of land. The easements are for the purpose of installation and maintenance of a proposed eight inch sanitary sewer line. This action is recommended by the Kentucky Community and Technical College System.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 7

Office of the President  
March 6, 2001

Members, Board of Trustees:

## CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending December 31, 2000, be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

---

Action taken:



Approved

☐ Disapproved

☐ Other

\_\_\_\_\_



**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

| CONSULTANT                                  | PROJECT INFORMATION                                             | SCOPE        |
|---------------------------------------------|-----------------------------------------------------------------|--------------|
| CMW, Inc.<br>Lexington<br>Contract# A981240 | Aging/Allied Health Building Phase II<br>Project Number: 1651.0 | \$35,644,056 |
|                                             | Consultant Contract Amount:                                     | \$310,500    |
| Total Amendments: (3)                       | Consultant Cumulative Amendment Amount:                         | \$2,030,841  |
|                                             | Revised Consultant Contract Amount:                             | \$2,341,341  |

**Project Description:**

Expansion of the Aging/Allied Health facilities to include 184,000 gross square feet of new space for the College of Allied Health; offices for the Center on Aging; Administrative Offices; the Medical Center Bookstore; and a Nursing Teaching Facility.

**Notes:** \$35,644,056 reflects the total scope of Projects 1651.0, 1651.1 and 1651.2. **Amendment #1**, 5/19/99 an increase of \$1,829,926 was approved to provide additional design services rendered as a result of reprogramming, space analysis, rework of schematic design, and cost estimating. This amendment also covers additional Consultant services for Phases 2-5 and provides design and construction administration services for extensive utility infrastructure. **Amendment #2**, 1/5/00, an increase of \$125,000 was approved to provide reimbursable services for a resident inspector and vibration monitoring. **Amendment #3**, 2/21/00, an increase of \$75,915 was approved to provide additional design services required for increasing the scope of the infrastructure work and reimbursable services for a constructability review.

|                                                             |                                                                        |              |
|-------------------------------------------------------------|------------------------------------------------------------------------|--------------|
| Pearson/Bender Associates<br>Lexington<br>Contract# A961470 | Animal Research Center, Woodford County Farm<br>Project Number: 1626.0 | \$12,480,000 |
|                                                             | Consultant Contract Amount:                                            | \$630,000    |
| Total Amendments: (3)                                       | Consultant Cumulative Amendment Amount:                                | \$73,677     |
|                                                             | Revised Consultant Contract Amount:                                    | \$703,677    |

**Project Description:**

Implement a development plan for the Woodford County Farm.

**Notes:** \$12,480,000 reflects the total project scope of Projects 1626.0 and 1626.1. **Amendment #1**, 3/20/98, an increase of \$10,820 was approved to provide additional Consultant services for Phases 3-5 to separate the site utilities portion of the project for separate bidding and contract administration. **Amendment #2**, 1/7/99, an increase of \$1,002 was approved to provide an additional site visit by the consultant to inspect the delivery of the precast concrete floor drainage slats for the swine unit and to reimburse actual travel costs for the site visit. **Amendment #3**, 12/13/00, an increase of \$61,855 was approved to provide additional Consultant services for Phase 5 to design and administer additional work associated with the coordination of owner furnished equipment.

|                                                             |                                                     |           |
|-------------------------------------------------------------|-----------------------------------------------------|-----------|
| Staggs & Fisher Engineers<br>Lexington<br>Contract# A981230 | Central Fire Alarm System<br>Project Number: 1718.0 | \$690,000 |
|                                                             | Consultant Contract Amount:                         | \$56,300  |
| Total Amendments: (0)                                       | Consultant Cumulative Amendment Amount:             | \$0       |
|                                                             | Revised Consultant Contract Amount:                 | \$56,300  |

**Project Description:**

Upgrade/install new fire alarm systems in Bowman, Bradley, Breckinridge and Kinhead Halls. Project will also include evaluation of existing central system and a long range plan for future development of the central fire alarm system.

|                                               |                                                                            |           |
|-----------------------------------------------|----------------------------------------------------------------------------|-----------|
| GBBN, Inc.<br>Lexington<br>Contract# A001000Y | Chemistry/Physics Building Renovation of Room 54<br>Project Number: 1905.0 | \$395,000 |
|                                               | Consultant Contract Amount:                                                | \$20,000  |
| Total Amendments: (0)                         | Consultant Cumulative Amendment Amount:                                    | \$0       |
|                                               | Revised Consultant Contract Amount:                                        | \$20,000  |

**Project Description:**

Demolish the existing interior lab fit-up and provide new fume hood and equipment.

**Notes:** This is a per diem contract.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

| CONSULTANT                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | PROJECT INFORMATION                                                                                     | SCOPE                                                                                                                                                      |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Jeffrey L. Bruce & Company<br>Kansas City, MO<br>Contract# A011050                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Commonwealth Stadium Field Renovation<br>Project Number: 1919.0<br>Total Amendments: (0)                | \$1,199,978<br>Consultant Contract Amount: \$147,000<br>Consultant Cumulative Amendment Amount: \$0<br>Revised Consultant Contract Amount: \$147,000       |
| <b>Project Description:</b><br>Renovate the playing field at Commonwealth Stadium.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                         |                                                                                                                                                            |
| GBBN, Inc.<br>Lexington<br>Contract# A001180                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Dentistry Building ADA Implementation<br>Project Number: 1808.0<br>Total Amendments: (1)                | \$145,000<br>Consultant Contract Amount: \$4,650<br>Consultant Cumulative Amendment Amount: \$8,850<br>Revised Consultant Contract Amount: \$13,500        |
| <b>Project Description:</b><br>Improve the accessibility of this building for the physically challenged.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                         |                                                                                                                                                            |
| <b>Notes:</b> Amendment #1, 3/31/00, an increase of \$8,850 was approved to provide additional design services. Original contract amount was for feasibility study only.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                         |                                                                                                                                                            |
| Pearson/Bender Associates<br>Lexington<br>Contract# A971360                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Fine Arts Information Center - King North Renovation<br>Project Number: 1680.0<br>Total Amendments: (4) | \$3,316,761<br>Consultant Contract Amount: \$148,500<br>Consultant Cumulative Amendment Amount: \$117,495<br>Revised Consultant Contract Amount: \$265,995 |
| <b>Project Description:</b><br>Renovation of two floors of the King North building following completion of the William T. Young Library.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                         |                                                                                                                                                            |
| <b>Notes:</b> \$3,166,761 reflects the total project scope of Projects 1680.0 and 1680.1. Amendment #1, 10/31/97, an increase of \$1,800 was approved to provide Consultant services for air flow testing. Amendment #2, 6/30/98, an increase of \$43,625 was approved to provide Consultant services through Phase 5 for the redesign of the existing air handling system. This change is necessary to achieve proper operational control of the air distribution system for the library facility. Amendment #3, 9/28/98, an increase of \$48,000 was approved to provide for additional Consultant services through Phase 5 for the renovation of an area in M.I. King South to provide space for the relocation of the Special Collections area. Amendment #4, 1/5/00, an increase of \$16,000 was approved to provide additional Consultant services for Phases 3 - 5 to include the facade reconstruction for each building. |                                                                                                         |                                                                                                                                                            |
| Arrasmith, Judd, Rapp, Inc.<br>Louisville<br>Contract# A001040                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Football Office Complex<br>Project Number: 1778.0<br>Total Amendments: (0)                              | \$2,875,000<br>Consultant Contract Amount: \$110,000<br>Consultant Cumulative Amendment Amount: \$0<br>Revised Consultant Contract Amount: \$110,000       |
| <b>Project Description:</b><br>Construct a 11,500 gross square foot football office complex that will provide on-site office facilities and support space for the coaches and staff.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                         |                                                                                                                                                            |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

| CONSULTANT                                                                                                                                                                                                                                                                                                                                                                                                      | PROJECT INFORMATION                                                                                   | SCOPE                                                                                                                                                     |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|
| Staggs & Fisher Engineers<br>Lexington<br>Contract# A981160                                                                                                                                                                                                                                                                                                                                                     | Hospital Electrical Distribution Utility System II<br>Project Number: 1673.0<br>Total Amendments: (1) | \$1,500,000<br>Consultant Contract Amount: \$110,000<br>Consultant Cumulative Amendment Amount: \$18,730<br>Revised Consultant Contract Amount: \$128,730 |
| <b>Project Description:</b><br>Improve the existing electrical distribution system within the University Hospital, including: replacement of a substation in the Patient Care Addition and Critical Care Center switch gear with new solid state controls, an additional emergency generator and coordination with the existing generators, and replacement of the 4KV feeds to the ambulant wing transformers. |                                                                                                       |                                                                                                                                                           |
| <b>Notes:</b> Amendment #1, 8/30/99, an increase of \$18,730 was approved to provide additional Consultant services for Phase 3 (construction documents).                                                                                                                                                                                                                                                       |                                                                                                       |                                                                                                                                                           |
| GBBN, Inc.<br>Lexington<br>Contract# A001000Y                                                                                                                                                                                                                                                                                                                                                                   | Koinonia House Renovation<br>Project Number: 1909.0<br>Total Amendments: (0)                          | \$412,340<br>Consultant Contract Amount: \$47,015<br>Consultant Cumulative Amendment Amount: \$47,015<br>Revised Consultant Contract Amount: \$47,015     |
| <b>Project Description:</b><br>This project consists of upgrades to the exterior of the building.                                                                                                                                                                                                                                                                                                               |                                                                                                       |                                                                                                                                                           |
| <b>Notes:</b> This is a per diem contract.                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                       |                                                                                                                                                           |
| Biagi Chance Cummins*<br>Lexington<br>Contract# A991080                                                                                                                                                                                                                                                                                                                                                         | Lexington Campus Fume Hood Upgrade Anderson Tower<br>Project Number: 1653.3<br>Total Amendments: (1)  | \$2,454,612<br>Consultant Contract Amount: \$74,636<br>Consultant Cumulative Amendment Amount: \$105,204<br>Revised Consultant Contract Amount: \$179,840 |
| <b>Project Description:</b><br>Upgrade the existing mechanical fume hood systems in Anderson Tower and T.P. Cooper building.                                                                                                                                                                                                                                                                                    |                                                                                                       |                                                                                                                                                           |
| <b>Notes:</b> *The full name of the firm is Biagi, Chance, Cummins, London, Titzer, Inc. Amendment #1, 10/1/99, an increase of \$105,204 was approved to provide additional Consultant services for Phases 2-5.                                                                                                                                                                                                 |                                                                                                       |                                                                                                                                                           |
| Pearson/Bender Associates<br>Lexington<br>Contract# A991220                                                                                                                                                                                                                                                                                                                                                     | M. I. King Library South Renovation<br>Project Number: 1770.0<br>Total Amendments: (2)                | \$997,000<br>Consultant Contract Amount: \$61,430<br>Consultant Cumulative Amendment Amount: \$11,419<br>Revised Consultant Contract Amount: \$72,849     |
| <b>Project Description:</b><br>Renovation of the exterior of M.I. King South using brick veneer facade replicating details of the original building.                                                                                                                                                                                                                                                            |                                                                                                       |                                                                                                                                                           |
| <b>Notes:</b> Amendment #1, 3/31/00, an increase of \$2,202 was approved to provide additional Consultant services for design improvements to the entrance plaza. Amendment #2, 10/10/00, an increase of \$9,217 was approved to provide additional Consultant services for Phase 5 services related to the increased scope of work.                                                                            |                                                                                                       |                                                                                                                                                           |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

| CONSULTANT                                                                                                                                                                                                                                                                                                                                                                                         | PROJECT INFORMATION                                                   | SCOPE        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|--------------|
| Anshen & Allen Architects, Inc.<br>San Francisco, CA<br>Contract# A991110                                                                                                                                                                                                                                                                                                                          | Mechanical Engineering Building<br>Project Number: 1740.1             | \$24,775,000 |
|                                                                                                                                                                                                                                                                                                                                                                                                    | Consultant Contract Amount:                                           | \$1,865,000  |
| Total Amendments: (1)                                                                                                                                                                                                                                                                                                                                                                              | Consultant Cumulative Amendment Amount:                               | \$194,535    |
|                                                                                                                                                                                                                                                                                                                                                                                                    | Revised Consultant Contract Amount:                                   | \$2,059,535  |
| <b>Project Description:</b><br>Construct a 114,000 gross square foot facility located adjacent to the Civil Engineering Building and Miller Hall, on the site previously occupied by the Engineering Quadrangle (Anderson Hall).                                                                                                                                                                   |                                                                       |              |
| <b>Notes:</b> \$24,775,000 reflects the total project scope of Projects 1740.0, 1740.1 and 1740.2. <b>Amendment #1</b> , 4/14/00, an increase of \$100,000 was approved to provide resident inspector services.                                                                                                                                                                                    |                                                                       |              |
| GBBN, Inc.<br>Lexington<br>Contract# A001170                                                                                                                                                                                                                                                                                                                                                       | Medical Science ADA Upgrade<br>Project Number: 1805.0                 | \$225,000    |
|                                                                                                                                                                                                                                                                                                                                                                                                    | Consultant Contract Amount:                                           | \$12,800     |
| Total Amendments: (1)                                                                                                                                                                                                                                                                                                                                                                              | Consultant Cumulative Amendment Amount:                               | \$14,850     |
|                                                                                                                                                                                                                                                                                                                                                                                                    | Revised Consultant Contract Amount:                                   | \$27,650     |
| <b>Project Description:</b><br>Improve the accessibility of this building for the physically challenged.                                                                                                                                                                                                                                                                                           |                                                                       |              |
| <b>Notes: Amendment #1</b> , 3/31/00, an increase of \$14,850 was approved to provide additional design services. Original contract amount was for feasibility study only.                                                                                                                                                                                                                         |                                                                       |              |
| Staggs & Fisher Engineers<br>Lexington<br>Contract# A001060                                                                                                                                                                                                                                                                                                                                        | North Campus Chilled Water Main - Phase II<br>Project Number: 1779.0  | \$793,503    |
|                                                                                                                                                                                                                                                                                                                                                                                                    | Consultant Contract Amount:                                           | \$59,500     |
| Total Amendments: (2)                                                                                                                                                                                                                                                                                                                                                                              | Consultant Cumulative Amendment Amount:                               | \$13,528     |
|                                                                                                                                                                                                                                                                                                                                                                                                    | Revised Consultant Contract Amount:                                   | \$73,028     |
| <b>Project Description:</b><br>Extend the main supply and return piping and install a new feeder supply.                                                                                                                                                                                                                                                                                           |                                                                       |              |
| <b>Notes: Amendment #1</b> , 11/11/99, an increase of \$1,632.75 was approved to provide geotechnical services. <b>Amendment #2</b> , 12/13/00, an increase of \$11,895 was approved to provide a design including plans and specifications for extending the chilled water piping within Manhole #2 under this contract to a location just within the basement in Blazer Hall and Patterson Hall. |                                                                       |              |
| GBBN, Inc.<br>Lexington<br>Contract# A001210                                                                                                                                                                                                                                                                                                                                                       | Parking Structure #2 Expansion & Renovation<br>Project Number: 1799.0 | \$11,000,000 |
|                                                                                                                                                                                                                                                                                                                                                                                                    | Consultant Contract Amount:                                           | \$770,896    |
| Total Amendments: (1)                                                                                                                                                                                                                                                                                                                                                                              | Consultant Cumulative Amendment Amount:                               | \$37,422     |
|                                                                                                                                                                                                                                                                                                                                                                                                    | Revised Consultant Contract Amount:                                   | \$808,318    |
| <b>Project Description:</b><br>Renovate and expand Parking Structure #2 by three hundred spaces.                                                                                                                                                                                                                                                                                                   |                                                                       |              |
| <b>Notes: Amendment #1</b> , 4/27/00, an increase of \$37,422 was approved to provide site surveys, subsurface investigation, and exploratory services associated with concrete evaluation.                                                                                                                                                                                                        |                                                                       |              |
| James W. Potts Architects<br>Lexington<br>Contract# A001380                                                                                                                                                                                                                                                                                                                                        | Patterson Hall Renovation<br>Project Number: 1908.0                   | \$2,950,000  |
|                                                                                                                                                                                                                                                                                                                                                                                                    | Consultant Contract Amount:                                           | \$205,000    |
| Total Amendments: (0)                                                                                                                                                                                                                                                                                                                                                                              | Consultant Cumulative Amendment Amount:                               | \$0          |
|                                                                                                                                                                                                                                                                                                                                                                                                    | Revised Consultant Contract Amount:                                   | \$205,000    |
| <b>Project Description:</b><br>Major renovation of Patterson Hall.                                                                                                                                                                                                                                                                                                                                 |                                                                       |              |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

| CONSULTANT                                                                                                                                                                                                                       | PROJECT INFORMATION                                                                  | SCOPE                                                                                                                                                          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Louis & Henry Group<br>Louisville<br>Contract# A991100                                                                                                                                                                           | Plant Science Building<br>Project Number: 1745.0<br>Total Amendments: (1)            | \$21,119,750<br>Consultant Contract Amount: \$1,371,048<br>Consultant Cumulative Amendment Amount: \$11,163<br>Revised Consultant Contract Amount: \$1,382,211 |
| <b>Project Description:</b><br>Construction of a 96,000 gross square foot facility to include faculty and staff offices, conference rooms, research labs, environmentally controlled plant growth chambers and support services. |                                                                                      |                                                                                                                                                                |
| <b>Notes:</b> Amendment #1, 5/25/00, an increase of \$11,163 was approved to provide additional design services required during the design phase including electronic CADD files of Construction Documents.                      |                                                                                      |                                                                                                                                                                |
| Pearson/Bender Associates<br>Lexington<br>Contract# A001000K                                                                                                                                                                     | Rose Street Plaza Development<br>Project Number: 1823.0<br>Total Amendments: (0)     | \$338,585<br>Consultant Contract Amount: \$17,850<br>Consultant Cumulative Amendment Amount: \$0<br>Revised Consultant Contract Amount: \$17,850               |
| <b>Project Description:</b><br>Enhance the southeast corner at the intersection of Euclid Avenue and Rose Street by the design and construction of new landscaping and exterior pedestrian improvements.                         |                                                                                      |                                                                                                                                                                |
| <b>Notes:</b> This is a per diem contract.                                                                                                                                                                                       |                                                                                      |                                                                                                                                                                |
| HNTB Corporation<br>Kansas City, MO<br>Contract# A001050                                                                                                                                                                         | Seaton Center Addition/Renovation<br>Project Number: 1781.0<br>Total Amendments: (1) | \$15,350,000<br>Consultant Contract Amount: \$997,000<br>Consultant Cumulative Amendment Amount: \$79,730<br>Revised Consultant Contract Amount: \$1,076,730   |
| <b>Project Description:</b><br>Construct an approximately 90,000 gross square foot addition to the Seaton Center.                                                                                                                |                                                                                      |                                                                                                                                                                |
| <b>Notes:</b> Amendment #1, 10/12/00, an increase of \$79,730, was approved to provide a full time, on-site resident inspector during the construction phase.                                                                    |                                                                                      |                                                                                                                                                                |
| Ross-Tarrant Architects, Inc.<br>Lexington<br>Contract# A001260                                                                                                                                                                  | Shawneetown/Cooperstown Phase III<br>Project Number: 1831.0<br>Total Amendments: (0) | \$4,500,000<br>Consultant Contract Amount: \$240,000<br>Consultant Cumulative Amendment Amount: \$0<br>Revised Consultant Contract Amount: \$240,000           |
| <b>Project Description:</b><br>Renovate and update the complexes to make them more energy efficient.                                                                                                                             |                                                                                      |                                                                                                                                                                |
| James W. Potts Architects<br>Lexington<br>Contract# A001070                                                                                                                                                                      | Student Center Sprinkler System<br>Project Number: 1782.0<br>Total Amendments: (0)   | \$700,000<br>Consultant Contract Amount: \$55,000<br>Consultant Cumulative Amendment Amount: \$0<br>Revised Consultant Contract Amount: \$55,000               |
| <b>Project Description:</b><br>Installation of a fire suppression system in corridors, stairs and Great Hall.                                                                                                                    |                                                                                      |                                                                                                                                                                |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

| <b>CONSULTANT</b> | <b>PROJECT INFORMATION</b>                   | <b>SCOPE</b>                                  |
|-------------------|----------------------------------------------|-----------------------------------------------|
| Omni Architects   | Student Housing/Fraternity House Replacement | \$4,600,000                                   |
| Lexington         | Project Number: 1747.0                       |                                               |
| Contract# A001300 | Consultant Contract Amount:                  | \$272,393                                     |
|                   | Total Amendments: (0)                        | Consultant Cumulative Amendment Amount: \$0   |
|                   |                                              | Revised Consultant Contract Amount: \$272,393 |

**Project Description:**

Construct two 14,000 gross square foot housing structures as replacement fraternity houses at the corner of Columbia Avenue and Pennsylvania Avenue.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

|                        |                                       |                                                  |
|------------------------|---------------------------------------|--------------------------------------------------|
| D.W. Wilburn, Inc.     | Aging/Allied Health Building Phase II |                                                  |
| Lexington              | Project Number: 1651.0                |                                                  |
| Contract# X012376      |                                       | Contractor Award Amount: \$29,770,000            |
| Low Bid: \$29,770,000  | Total Change Orders: (25)             | Contractor Cum. Change Order Amount: \$459,916   |
| High Bid: \$33,000,000 |                                       | Revised Contractor Contract Amount: \$30,229,916 |
| Number of Bids: 5      |                                       | Contract Percent Complete: 41%                   |

**Notes:** Change Order #4, 5/10/00, an increase of \$56,268 was approved to raise the height of Hospital Drive and adjoining access drives. Change Order #6, 5/25/00, an increase of \$96,735 was approved to provide various modifications to the direct bury steam line. Change Order #8, 8/30/00, an increase of \$83,302 was approved to change external expansion joints to internal expansion joints in the steam line. Change Order #17, 9/30/00, an increase of \$49,395 was approved to change the signaling at Rose Street and to add equipment as mandated by state review. Change Order #25, 12/14/00, an increase of \$51,813.25 was approved to modify architectural, structural, mechanical and electrical requirements per the post bid addendum.

|                        |                                              |                                                  |
|------------------------|----------------------------------------------|--------------------------------------------------|
| D.W. Wilburn, Inc.     | Animal Research Center, Woodford County Farm |                                                  |
| Lexington              | Project Number: 1626.0                       |                                                  |
| Contract# U003971-97   |                                              | Contractor Award Amount: \$10,146,000            |
| Low Bid: \$10,146,000  | Total Change Orders: (65)                    | Contractor Cum. Change Order Amount: \$560,114   |
| High Bid: \$11,746,000 |                                              | Revised Contractor Contract Amount: \$10,706,114 |
| Number of Bids: 5      |                                              | Contract Percent Complete: 99%                   |

**Notes:** Change Order #17, 12/4/98, an increase of \$59,102.26 was approved to install concrete footings for silos at the Beef Nutrition Center, the Sheep Nutrition Center, and the waste storage areas. Change Order #19, 12/4/98, an increase of \$38,231.75 was approved to redesign the run-off and collection system at three paved beef lots. Change Order #23, 2/12/99, an increase of \$47,222.50 was approved to excavate rock for the site water line. Change Order #42, 6/21/99, an increase of \$41,755 was approved to excavate rock for the swine waste piping system. Change Order #59, 2/29/00, an increase of \$29,031.75 was approved to install supports for the tubeaxial fan stacks. Change Order #61, 5/10/00, an increase of \$96,489.75 was approved to change motors and controls on overhead coiling doors.

|                       |                           |                                               |
|-----------------------|---------------------------|-----------------------------------------------|
| Simplex Time Recorder | Central Fire Alarm System |                                               |
| Lexington             | Project Number: 1718.0    |                                               |
| Contract# X102556     |                           | Contractor Award Amount: \$444,575            |
| Low Bid:              | Total Change Orders: (0)  | Contractor Cum. Change Order Amount: \$0      |
| High Bid:             |                           | Revised Contractor Contract Amount: \$444,575 |
| Number of Bids:       |                           | Contract Percent Complete: 0%                 |

|                         |                                                  |                                               |
|-------------------------|--------------------------------------------------|-----------------------------------------------|
| Woodford Builders, Inc. | Chemistry/Physics Building Renovation of Room 54 |                                               |
| Lexington               | Project Number: 1905.0                           |                                               |
| Contract# X100820       |                                                  | Contractor Award Amount: \$344,300            |
| Low Bid: \$344,300      | Total Change Orders: (1)                         | Contractor Cum. Change Order Amount: -\$4,000 |
| High Bid: \$365,000     |                                                  | Revised Contractor Contract Amount: \$340,300 |
| Number of Bids: 4       |                                                  | Contract Percent Complete: 59%                |

|                       |                                       |                                               |
|-----------------------|---------------------------------------|-----------------------------------------------|
| Randall & Blake, Inc. | Commonwealth Stadium Field Renovation |                                               |
| Littleton, Colorado   | Project Number: 1919.0                |                                               |
| Contract# X102552     |                                       | Contractor Award Amount: \$997,522            |
| Low Bid: \$997,522    | Total Change Orders: (0)              | Contractor Cum. Change Order Amount: \$0      |
| High Bid: \$1,612,228 |                                       | Revised Contractor Contract Amount: \$997,522 |
| Number of Bids: 4     |                                       | Contract Percent Complete: 0%                 |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

|                   |                                       |                                      |          |
|-------------------|---------------------------------------|--------------------------------------|----------|
| Eubank & Steele   | Dentistry Building ADA Implementation |                                      |          |
| Lexington         | Project Number: 1808.0                |                                      |          |
| Contract# X015860 |                                       | Contractor Award Amount:             | \$78,267 |
| Low Bid: \$78,267 | Total Change Orders: (3)              | Contractor Cum. Change Order Amount: | \$7,662  |
| High Bid:         |                                       | Revised Contractor Contract Amount:  | \$85,929 |
| Number of Bids: 1 |                                       | Contract Percent Complete:           | 99%      |

|                       |                                                      |                                      |             |
|-----------------------|------------------------------------------------------|--------------------------------------|-------------|
| Eubank & Steele       | Fine Arts Information Center - King North Renovation |                                      |             |
| Lexington             | Project Number: 1680.0                               |                                      |             |
| Contract# X011792     |                                                      | Contractor Award Amount:             | \$2,043,000 |
| Low Bid: \$2,043,000  | Total Change Orders: (21)                            | Contractor Cum. Change Order Amount: | -\$61,781   |
| High Bid: \$2,087,500 |                                                      | Revised Contractor Contract Amount:  | \$1,981,219 |
| Number of Bids: 3     |                                                      | Contract Percent Complete:           | 99%         |

**Notes:** Change Order #1, 6/7/00, a decrease of \$175,000 was approved to delete Add Alternate No. 1: Computer Lab and Classroom. Change Order #7, 6/27/00, an increase of \$53,925 was approved to install veneer over unfinished poured concrete walls in lobby and public stacks area.

|                       |                          |                                      |             |
|-----------------------|--------------------------|--------------------------------------|-------------|
| E. H. Construction    | Football Office Complex  |                                      |             |
| Brooks                | Project Number: 1778.0   |                                      |             |
| Contract# X102785     |                          | Contractor Award Amount:             | \$2,345,000 |
| Low Bid: \$2,345,000  | Total Change Orders: (0) | Contractor Cum. Change Order Amount: |             |
| High Bid: \$2,636,000 |                          | Revised Contractor Contract Amount:  | \$2,345,000 |
| Number of Bids: 8     |                          | Contract Percent Complete:           | 0%          |

|                     |                                                    |                                      |           |
|---------------------|----------------------------------------------------|--------------------------------------|-----------|
| Belco, Inc.         | Hospital Electrical Distribution Utility System II |                                      |           |
| Paris               | Project Number: 1673.0                             |                                      |           |
| Contract# X102658   |                                                    | Contractor Award Amount:             | \$409,390 |
| Low Bid: \$409,390  | Total Change Orders: (0)                           | Contractor Cum. Change Order Amount: | \$0       |
| High Bid: \$633,950 |                                                    | Revised Contractor Contract Amount:  | \$409,390 |
| Number of Bids: 3   |                                                    | Contract Percent Complete:           | 0%        |

|                      |                           |                                      |           |
|----------------------|---------------------------|--------------------------------------|-----------|
| Spectrum Contracting | Koinonia House Renovation |                                      |           |
| Lexington            | Project Number: 1909.0    |                                      |           |
| Contract# X101840    |                           | Contractor Award Amount:             | \$365,324 |
| Low Bid: \$365,324   | Total Change Orders: (0)  | Contractor Cum. Change Order Amount: | \$0       |
| High Bid: \$435,058  |                           | Revised Contractor Contract Amount:  | \$365,324 |
| Number of Bids: 3    |                           | Contract Percent Complete:           | 19%       |

**Notes:** Consultant work was done through Project 1823.0.

|                       |                                                   |                                      |             |
|-----------------------|---------------------------------------------------|--------------------------------------|-------------|
| Spectrum Contracting  | Lexington Campus Fume Hood Upgrade Anderson Tower |                                      |             |
| Nicholasville         | Project Number: 1653.3                            |                                      |             |
| Contract# X015823     |                                                   | Contractor Award Amount:             | \$1,648,305 |
| Low Bid: \$1,648,305  | Total Change Orders: (3)                          | Contractor Cum. Change Order Amount: | \$13,278    |
| High Bid: \$1,985,650 |                                                   | Revised Contractor Contract Amount:  | \$1,661,583 |
| Number of Bids: 3     |                                                   | Contract Percent Complete:           | 65%         |



**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

| CONTRACTOR                                                                                                                                                                                                                                                                                         |                           | PROJECT INFORMATION                                                            |                                                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|--------------------------------------------------------------------------------|------------------------------------------------|
| Spectrum Contracting<br>Nicholasville<br>Contract# U004994-98                                                                                                                                                                                                                                      |                           | M. I. King Library South Renovation<br>Project Number: 1770.0                  |                                                |
| Low Bid: \$519,107                                                                                                                                                                                                                                                                                 | Total Change Orders: (12) | Contractor Award Amount: \$519,107                                             | Contractor Cum. Change Order Amount: \$82,182  |
| High Bid: \$669,200                                                                                                                                                                                                                                                                                |                           | Revised Contractor Contract Amount: \$601,289                                  |                                                |
| Number of Bids: 3                                                                                                                                                                                                                                                                                  |                           | Contract Percent Complete: 99%                                                 |                                                |
| Notes: Change Order # 7, 2/11/00, an increase of \$69,713 was approved to demolish existing plaza and to create new plaza.                                                                                                                                                                         |                           |                                                                                |                                                |
| Whittenberg Construction<br>Louisville<br>Contract# X012689                                                                                                                                                                                                                                        |                           | Mechanical Engineering Building<br>Project Number: 1740.1                      |                                                |
| Low Bid: \$20,238,000                                                                                                                                                                                                                                                                              | Total Change Orders: (9)  | Contractor Award Amount: \$20,238,000                                          | Contractor Cum. Change Order Amount: \$107,862 |
| High Bid: \$21,973,000                                                                                                                                                                                                                                                                             |                           | Revised Contractor Contract Amount: \$20,345,862                               |                                                |
| Number of Bids: 7                                                                                                                                                                                                                                                                                  |                           | Contract Percent Complete: 46%                                                 |                                                |
| Notes: Change Order #4, 10/6/00, an increase of \$25,882 was approved to remove unsatisfactory bearing material (mud seam) from basement/foundation area and backfill with suitable material. Change Order #5, 10/6/00, an increase of \$48,014 was approved to add blasting services to contract. |                           |                                                                                |                                                |
| Simplex Time Recorder<br>Lexington<br>Contract# X102573                                                                                                                                                                                                                                            |                           | Medical Center Security Improvement Measures Phase I<br>Project Number: 1928.0 |                                                |
| Low Bid:                                                                                                                                                                                                                                                                                           | Total Change Orders: (0)  | Contractor Award Amount: \$534,500                                             | Contractor Cum. Change Order Amount: \$0       |
| High Bid:                                                                                                                                                                                                                                                                                          |                           | Revised Contractor Contract Amount: \$534,500                                  |                                                |
| Number of Bids:                                                                                                                                                                                                                                                                                    |                           | Contract Percent Complete: 0%                                                  |                                                |
| Notes: Approved for single source contract.                                                                                                                                                                                                                                                        |                           |                                                                                |                                                |
| Eubank & Steele<br>Lexington<br>Contract# X015859                                                                                                                                                                                                                                                  |                           | Medical Science ADA Upgrade<br>Project Number: 1805.0                          |                                                |
| Low Bid: \$104,742                                                                                                                                                                                                                                                                                 | Total Change Orders: (4)  | Contractor Award Amount: \$104,742                                             | Contractor Cum. Change Order Amount: \$2,229   |
| High Bid: \$112,850                                                                                                                                                                                                                                                                                |                           | Revised Contractor Contract Amount: \$106,971                                  |                                                |
| Number of Bids: 2                                                                                                                                                                                                                                                                                  |                           | Contract Percent Complete: 99%                                                 |                                                |
| Lagco, Inc.<br>Lexington<br>Contract# X013094                                                                                                                                                                                                                                                      |                           | North Campus Chilled Water Main - Phase II<br>Project Number: 1779.0           |                                                |
| Low Bid: \$587,970                                                                                                                                                                                                                                                                                 | Total Change Orders: (9)  | Contractor Award Amount: \$587,970                                             | Contractor Cum. Change Order Amount: \$19,029  |
| High Bid: \$648,100                                                                                                                                                                                                                                                                                |                           | Revised Contractor Contract Amount: \$606,999                                  |                                                |
| Number of Bids: 2                                                                                                                                                                                                                                                                                  |                           | Contract Percent Complete: 99%                                                 |                                                |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

| <b>CONTRACTOR</b>                                                                                                                                                                                                                                                           |                          | <b>PROJECT INFORMATION</b>                                          |                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|---------------------------------------------------------------------|--------------------------------------------------|
| Frank Messer & Sons                                                                                                                                                                                                                                                         |                          | Parking Structure #2 Expansion and Renovation                       |                                                  |
| Lexington                                                                                                                                                                                                                                                                   |                          | Project Number: 1799.0                                              |                                                  |
| Contract# X100827                                                                                                                                                                                                                                                           |                          |                                                                     | Contractor Award Amount: \$9,271,000             |
| Low Bid: \$9,271,000                                                                                                                                                                                                                                                        | Total Change Orders: (3) |                                                                     | Contractor Cum. Change Order Amount: -\$103,481  |
| High Bid: \$11,000,000                                                                                                                                                                                                                                                      |                          |                                                                     | Revised Contractor Contract Amount: \$9,167,519  |
| Number of Bids: 3                                                                                                                                                                                                                                                           |                          |                                                                     | Contract Percent Complete: 18%                   |
| Finney Company                                                                                                                                                                                                                                                              |                          | Patterson Hall Renovation                                           |                                                  |
| Lexington                                                                                                                                                                                                                                                                   |                          | Project Number: 1908.1                                              |                                                  |
| Contract# X101602                                                                                                                                                                                                                                                           |                          |                                                                     | Contractor Award Amount: \$129,010               |
| Low Bid: \$129,010                                                                                                                                                                                                                                                          | Total Change Orders: (1) |                                                                     | Contractor Cum. Change Order Amount: \$2,535     |
| High Bid: \$129,895                                                                                                                                                                                                                                                         |                          |                                                                     | Revised Contractor Contract Amount: \$131,545    |
| Number of Bids: 2                                                                                                                                                                                                                                                           |                          |                                                                     | Contract Percent Complete: 7%                    |
| D.W. Wilburn, Inc.                                                                                                                                                                                                                                                          |                          | Plant Science Building                                              |                                                  |
| Lexington                                                                                                                                                                                                                                                                   |                          | Project Number: 1745.0                                              |                                                  |
| Contract# X013436                                                                                                                                                                                                                                                           |                          |                                                                     | Contractor Award Amount: \$18,496,000            |
| Low Bid: \$18,496,000                                                                                                                                                                                                                                                       | Total Change Orders: (6) |                                                                     | Contractor Cum. Change Order Amount: -\$10,633   |
| High Bid: \$21,656,000                                                                                                                                                                                                                                                      |                          |                                                                     | Revised Contractor Contract Amount: \$18,485,367 |
| Number of Bids: 5                                                                                                                                                                                                                                                           |                          |                                                                     | Contract Percent Complete: 15%                   |
| <b>Notes: Change Order #2</b> , 10/20/00, an increase of \$28,717 was approved to provide solar glass. <b>Change Order #4</b> , 11/16/00, an increase of \$43,799.68 was approved to allow future primary circuit extension by Physical Plant.                              |                          |                                                                     |                                                  |
| EGC Construction                                                                                                                                                                                                                                                            |                          | Renovation of Room C038 - Roach Building for New Linear Accelerator |                                                  |
| Newport                                                                                                                                                                                                                                                                     |                          | Project Number: 1902.0                                              |                                                  |
| Contract# X100657                                                                                                                                                                                                                                                           |                          |                                                                     | Contractor Award Amount: \$295,140               |
| Low Bid:                                                                                                                                                                                                                                                                    | Total Change Orders: (1) |                                                                     | Contractor Cum. Change Order Amount: \$34,409    |
| High Bid:                                                                                                                                                                                                                                                                   |                          |                                                                     | Revised Contractor Contract Amount: \$329,549    |
| Number of Bids:                                                                                                                                                                                                                                                             |                          |                                                                     | Contract Percent Complete: 50%                   |
| <b>Notes: Change Order #1</b> , 12/4/00, an increase of \$34,409 was approved to add locking mechanisms to doors, add panic device for Waiting Room, delete a 45 KVA power conditioner, provide balance report for existing Treatment Room. This is a Design/Build project. |                          |                                                                     |                                                  |
| Woodford Builders, Inc.                                                                                                                                                                                                                                                     |                          | Rose Street Plaza Development                                       |                                                  |
| Lexington                                                                                                                                                                                                                                                                   |                          | Project Number: 1823.0                                              |                                                  |
| Contract# X014635                                                                                                                                                                                                                                                           |                          |                                                                     | Contractor Award Amount: \$250,000               |
| Low Bid: \$250,000                                                                                                                                                                                                                                                          | Total Change Orders: (6) |                                                                     | Contractor Cum. Change Order Amount: -\$1,678    |
| High Bid: \$280,000                                                                                                                                                                                                                                                         |                          |                                                                     | Revised Contractor Contract Amount: \$248,322    |
| Number of Bids: 3                                                                                                                                                                                                                                                           |                          |                                                                     | Contract Percent Complete: 99%                   |
| CB&S Construction                                                                                                                                                                                                                                                           |                          | Seaton Center Addition/Renovation                                   |                                                  |
| Somerset                                                                                                                                                                                                                                                                    |                          | Project Number: 1781.0                                              |                                                  |
| Contract# X100530                                                                                                                                                                                                                                                           |                          |                                                                     | Contractor Award Amount: \$12,128,000            |
| Low Bid: \$12,128,000                                                                                                                                                                                                                                                       | Total Change Orders: (4) |                                                                     | Contractor Cum. Change Order Amount: \$103,412   |
| High Bid: \$13,730,000                                                                                                                                                                                                                                                      |                          |                                                                     | Revised Contractor Contract Amount: \$12,231,412 |
| Number of Bids: 4                                                                                                                                                                                                                                                           |                          |                                                                     | Contract Percent Complete: 6%                    |

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

**CONTRACTOR**

**PROJECT INFORMATION**

|                                                                                                                                        |                          |                                                                                                                                                                                                                                                     |
|----------------------------------------------------------------------------------------------------------------------------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Eubank &amp; Steele</b><br>Lexington<br>Contract# X015858<br><br>Low Bid: \$3,178,105<br>High Bid: \$3,220,000<br>Number of Bids: 3 | Total Change Orders: (3) | <b>Shawneetown/Cooperstown Phase III</b><br>Project Number: 1831.0<br><br>Contractor Award Amount: \$3,178,105<br>Contractor Cum. Change Order Amount: \$2,841<br>Revised Contractor Contract Amount: \$3,180,946<br>Contract Percent Complete: 48% |
|----------------------------------------------------------------------------------------------------------------------------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                                                                |                          |                                                                                                                                                                                                                                                |
|--------------------------------------------------------------------------------------------------------------------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Brown Sprinkler Corporation</b><br>Lexington<br>Contract# X015397<br><br>Low Bid: \$726,800<br>High Bid:<br>Number of Bids: | Total Change Orders: (3) | <b>Student Center Sprinkler System</b><br>Project Number: 1782.0<br><br>Contractor Award Amount: \$558,446<br>Contractor Cum. Change Order Amount: \$32,059<br>Revised Contractor Contract Amount: \$590,505<br>Contract Percent Complete: 99% |
|--------------------------------------------------------------------------------------------------------------------------------|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

**Notes:** Original bid was over budget. Negotiations with the single bidder resulted in the lower amount.

|                                                                                                                                            |                          |                                                                                                                                                                                                                                                           |
|--------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Woodford Builders, Inc.</b><br>Lexington<br>Contract# X101911<br><br>Low Bid: \$4,120,000<br>High Bid: \$5,032,000<br>Number of Bids: 7 | Total Change Orders: (0) | <b>Student Housing/Fraternity House Replacement</b><br>Project Number: 1747.0<br><br>Contractor Award Amount: \$4,120,000<br>Contractor Cum. Change Order Amount: \$0<br>Revised Contractor Contract Amount: \$4,120,000<br>Contract Percent Complete: 2% |
|--------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

# FCR 8

Office of the President  
March 6, 2001

Members, Board of Trustees:

## 2001-02 TUITION SCHEDULE

Recommendation: that the Board of Trustees approve an addition to the tuition schedule for 2001-02 (previously adopted by the Board on September 21, 1999, FCR 8) to support new Professional Doctoral programs in the School of Public Health and the College of Nursing, as follows:

### Annual Tuition Rate for Full-Time Students

|             |          |
|-------------|----------|
| Resident    | \$5,000  |
| Nonresident | \$15,000 |

### Per Credit Hour Tuition Rate for Part-Time Students

|             |       |
|-------------|-------|
| Resident    | \$250 |
| Nonresident | \$750 |

Background: The proposed tuition rates for the new Professional Doctoral programs in the School of Public Health and the College of Nursing are consistent with rates charged by the University's benchmark institutions and the rates of other professional programs at the University of Kentucky. Annual full-time resident tuition ranges from \$3,043 to \$10,705 at benchmark institutions, while the non-resident rate ranges from \$8,400 to \$21,529. For other professional programs at UK, the rates range from \$4,942 to \$10,200 and from \$14,692 to \$25,180, respectively.

Establishing comparable tuition rates for these new Professional Doctoral programs is consistent with guidelines of the Council on Postsecondary Education (CPE) as follows:

1. Tuition rates may be differentiated by factors such as residency status; program level (i.e., undergraduate, graduate and first professional); and program (e.g., business and biology).
2. Tuition rates may be set on a per credit hour basis.
3. Tuition rates must be consistent with CPE operating funding guidelines that say tuition and fees should generate at least 33 percent of a university's public funds (state appropriation and tuition and fees) net of debt service, mandated research and public service programs, and hospital appropriations.

Also requested is approval of a \$100 application fee to help cover the increased costs associated with processing applicants interested in the programs. This is consistent with other fees currently being charged for this purpose.

---

Action taken:



Approved



Disapproved



Other

\_\_\_\_\_

# FCR 9

Office of the President  
March 6, 2001

Members, Board of Trustees:

## MANDATORY REGISTRATION FEE SCHEDULE – 2001-02

Recommendation: that the Board of Trustees approve the following Mandatory Registration Fee Schedule for 2001-2002, including the changes outlined below. This schedule will remain in effect until changes are approved by the Board.

|                                 | Semester<br>Full-Time Fee <sup>1</sup> | 8-Week Summer Session<br>Full-Time Fee <sup>2</sup> | Part-Time and 4-Week<br>Intersession Fee Per Credit<br>Hour |
|---------------------------------|----------------------------------------|-----------------------------------------------------|-------------------------------------------------------------|
| Dentistry Students <sup>3</sup> | 264.45                                 |                                                     |                                                             |
| Medicine Students <sup>4</sup>  | 259.45                                 |                                                     |                                                             |
| All Other Students              | 230.45                                 | 66.40                                               | 11.90                                                       |

Background: Changes in the Mandatory Registration Fee Schedule include the following:

1. A \$50.00 increase per semester for full-time students for the student recreation fee approved by the Board of Trustees (FCR 6, May 4, 1999) to begin in 2001-02 to support debt service and operating costs (i.e., personnel expenses, utilities, equipment replacement) for the Seaton Center Addition/Renovation. The project was approved by the General Assembly in the 2000 Session, and construction is currently underway. In accordance with policy, the fee has been pro-rated for 8-week summer school students (\$25.00) and for part-time and 4-week summer school students (\$5.00 per credit hour up to a maximum of \$50.00).
2. A \$9.00 increase per semester for full-time students for the student technology fee, which has not been increased since its inception nine years ago. The increase will be used to provide expanded computing services to students, including an increase in the number of labs and student computing seats, mail server file space, wireless access, and expanded hours of service in selected labs. In accordance with policy, the fee increase will be pro-rated for 8-week summer school students (\$5.40) and for part-time and four-week summer school students (\$.90 per credit hour).
3. A \$5.00 increase per semester in the health service fee for Dentistry students only, who will now be covered year-round.

<sup>1</sup> For fee purposes, twelve credit hours or its equivalent constitutes a full load for undergraduate students, nine hours for graduate students, and ten hours for law students.

<sup>2</sup> For fee purposes, six credit hours or its equivalent constitutes a full load for undergraduate students and five hours for graduate and law students.

<sup>3</sup> Student Health coverage is provided year-round, spreading the additional cost over the two semesters.

<sup>4</sup> Student Health coverage is provided for the full academic year, spreading the additional cost over the two semesters.

4. For the semester full-time fee only, a \$3.50 increase per semester for the Student Activities Board; a \$.75 increase per semester for Radio Station WRFL; and an \$.80 decrease per semester for the Student Government Association.

The mandatory fees are charged to all students enrolled on the Lexington Campus, in the Medical Center and at Lexington Community College in accordance with their status as full-time or part-time students. Students taking all of their courses in off-campus locations outside of Fayette and its contiguous counties may have these fees waived. However, if a student eligible for this waiver wants to participate in activities supported by these fees, the student must pay the total mandatory fee.

---

Action taken:



Approved

☐ Disapproved

☐ Other

\_\_\_\_\_

# FCR 10

Office of the President  
March 6, 2001

Members, Board of Trustees:

## ROOM AND BOARD RATES 2001-2002 SCHOOL YEAR

Recommendation: that the room and board rates for University Housing and Dining Services be adjusted as follows to become effective the Fall semester 2001.

| <u>Charges for Residence Halls</u>                                 | <u>Present<br/>Rate<br/>2000-2001</u> | <u>Proposed<br/>Rate<br/>2001-2002</u> | <u>Dollar<br/>Increase</u> |
|--------------------------------------------------------------------|---------------------------------------|----------------------------------------|----------------------------|
| Residence Halls-Housing & Dining (1)<br>Greg Page Apts., SAE House | \$ 3,782                              | \$ 3,980                               | \$ 198                     |
| – Housing Only                                                     | \$ 2,517                              | \$ 2,691                               | \$ 174                     |
| Additional Housing Fee (2)<br>Jewell Hall, Blanding I, Kirwan II   | \$ 108                                | \$ 108                                 | \$ --                      |
| Per-Diem Housing Rate (3)                                          | \$ 10                                 | \$ 10                                  | \$ --                      |

| <u>Notes</u>   | <u>00-01</u> | <u>01-02</u> | <u>Increase</u> |
|----------------|--------------|--------------|-----------------|
| 1) Housing Fee | \$ 2,432     | \$ 2,600     | \$ 168          |
| Dining Card    | \$ 1,350     | \$ 1,380     | \$ 30           |

- 2) Jewell Hall & Blanding I will remain open during all stated academic recesses of the University between August 11, 2001 and May 4, 2002 to accommodate students participating in the International Program, as well as students who require housing during recesses. Kirwan II will be operated as a “Wellness Hall,” with special equipment, programming and instruction.
- 3) The housing rate is for both semesters of the academic year. This per-diem rate is established for occupancy of halls that are not normally open during stated academic recesses (Thanksgiving, Christmas and Spring Break) of the University. Students must secure special permission to remain in housing during these periods.



I. Undergraduate Housing

A. Residence Halls

|                                   | Present<br>Rate<br><u>00-01</u> | Proposed<br>Rate<br><u>01-02</u> | <u>Increase</u> |
|-----------------------------------|---------------------------------|----------------------------------|-----------------|
| Residence Halls-Per Academic Year | \$ 2,432                        | \$ 2,600                         | \$ 168          |

B. Greg Page Undergraduate and SAE House

|                                | Present<br>Rate<br><u>00-01</u> | Proposed<br>Rate<br><u>01-02</u> | <u>Increase</u> |
|--------------------------------|---------------------------------|----------------------------------|-----------------|
| Housing Only per Academic Year | \$ 2,517                        | \$ 2,691                         | \$ 174          |

II. Apartment Housing (Rates to be effective August 1, 2001)

A. Greg Page Stadium View Family Apartments (Monthly Rates)

| <u>Apartment Type</u> | Present<br>Rate<br><u>00-01</u> | Proposed<br>Rate<br><u>00-02</u> | <u>Increase</u> |
|-----------------------|---------------------------------|----------------------------------|-----------------|
| Two Bedroom           | \$ 594                          | \$ 635                           | \$ 41           |

B. Cooperstown (Monthly Rates – Non-Air Conditioned)

| <u>Apartment Type</u> | Present<br>Rate<br><u>00-01</u> | Proposed<br>Rate<br><u>01-02</u> | <u>Increase</u> |
|-----------------------|---------------------------------|----------------------------------|-----------------|
| Efficiency            | \$ 356                          | \$ 381                           | \$ 25           |
| One Bedroom           | \$ 447                          | \$ 478                           | \$ 31           |
| Two Bedroom           | \$ 484                          | \$ 517                           | \$ 33           |

C. Cooperstown – Shawneetown (Monthly Rates – Air Conditioned)

| <u>Apartment Type</u> | Present<br>Rate<br><u>00-01</u> | Proposed<br>Rate<br><u>01-02</u> | <u>Increase</u> |
|-----------------------|---------------------------------|----------------------------------|-----------------|
| Efficiency            | \$ 377                          | \$ 403                           | \$ 26           |
| One Bedroom           | \$ 468                          | \$ 500                           | \$ 32           |
| Two Bedroom           | \$ 510                          | \$ 545                           | \$ 35           |

II. Apartment Housing (continued)

D. Commonwealth Village (Monthly Rates)

|                       | Present<br>Rate | Proposed<br>Rate |                 |
|-----------------------|-----------------|------------------|-----------------|
| <u>Apartment Type</u> | <u>00-01</u>    | <u>01-02</u>     | <u>Increase</u> |
| Efficiency            | \$ 377          | \$ 403           | \$ 26           |
| One Bedroom           | \$ 468          | \$ 500           | \$ 32           |

E. Linden Walk/Rose Lane (Monthly rates)

|                       | Present<br>Rate | Proposed<br>Rate |                 |
|-----------------------|-----------------|------------------|-----------------|
| <u>Apartment Type</u> | <u>00-01</u>    | <u>01-02</u>     | <u>Increase</u> |
| Efficiency            | \$ 377          | \$ 403           | \$ 26           |

F. German House (Monthly rates)

|                       | Present<br>Rate | Proposed<br>Rate |                 |
|-----------------------|-----------------|------------------|-----------------|
| <u>Apartment Type</u> | <u>00-01</u>    | <u>01-02</u>     | <u>Increase</u> |
| One Bedroom           | \$ 412          | \$ 440           | \$ 28           |

III. Summer School Housing (Rates to be effective Summer 2001)

|                       | Present<br>Rate | Proposed<br>Rate |                 |
|-----------------------|-----------------|------------------|-----------------|
| <u>8-Week Session</u> | <u>00-01</u>    | <u>01-02</u>     | <u>Increase</u> |
| Single Occupancy      | \$ 799          | \$ 854           | \$ 55           |
| Double Occupancy      | \$ 647          | \$ 692           | \$ 45           |
| <u>4-Week Session</u> |                 |                  |                 |
| Single Occupancy      | \$ 399          | \$ 427           | \$ 28           |
| Double Occupancy      | \$ 324          | \$ 346           | \$ 22           |
| <u>6-Week Session</u> |                 |                  |                 |
| Single Occupancy      | \$ 599          | \$ 641           | \$ 42           |
| Double Occupancy      | \$ 485          | \$ 519           | \$ 34           |

IV. Daily Conference & Guest Rates (Rates to be effective Summer 2002)

| <u>Daily Rate</u>             | <u>Present<br/>Rate<br/>00-01</u> | <u>Proposed<br/>Rate<br/>01-02</u> | <u>Increase</u> |
|-------------------------------|-----------------------------------|------------------------------------|-----------------|
| Single Room                   | \$ 36                             | \$ 37                              | \$ 1            |
| Double Room                   | \$ 21                             | \$ 22                              | \$ 1            |
| Pre-College Age with Linen    | \$ 15                             | \$ 16                              | \$ 1            |
| Pre-College Age without Linen | \$ 11                             | \$ 12                              | \$ 1            |

Background: The increases in housing rates are necessary to cover increased wage and operating expenses projected in the 2001-2002 school year.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 11

Office of the President  
March 6, 2001

Members, Board of Trustees:

## ALBERT G. AND LORRAINE N. CLAY ENDOWMENT FOR VISITING SCHOLARS

Recommendation: that the Board of Trustees approve the allocation of \$250,000 in income from the Albert G. and Lorraine N. Clay Charitable Lead Annuity Trust to the Albert G. and Lorraine N. Clay Endowment for Visiting Scholars in the College of Agriculture's Department of Veterinary Science.

Background: This will be the second allocation of \$250,000 in income from the Clay's Charitable Lead Annuity Trust to be allocated to the Clay's visiting scholars endowment. The Board of Trustees in April, 1999, established the endowment and approved allocating \$250,000 in income to the fund. That \$250,000 was matched by the state's Research Challenge Trust Fund (RCTF). By today's action, the Board of Trustees is authorizing \$50,000 in annual income from the Clay's Charitable Lead Annuity Trust for 2001 through 2005 be placed in the endowment. The \$250,000 is eligible for matching funds from the RCTF.

The Clays have been long-time and generous supporters of the University. Mr. Clay was a member of the Board of Trustees for more than 20 years and served as chair of the UK Equine Research Foundation for 10 years.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 12

Office of the President  
March 6, 2001

Members, Board of Trustees:

## AMERICAN INSTITUTE OF ARCHITECTS – KENTUCKY CHAPTER PLEDGE

**Recommendation:** that the Board of Trustees accept a pledge of \$100,000 from the American Institute of Architects – Kentucky Chapter to establish and endow the AIA Kentucky Endowed Professorship in the College of Architecture.

**Background:** The American Institute of Architects – Kentucky Chapter (also known as the Kentucky Society of Architects) has a long-standing relationship with the College of Architecture and its students. For more than 20 years, AIA Kentucky has funded undergraduate scholarships for architecture students, provided annual financial support to the UK student chapter of AIA, and sponsored events in the college. This gift will advance research and education in architecture design. The \$100,000 pledge will be paid over five years beginning in 2001. The pledge is contingent on matching funds being received from the state's Research Challenge Trust Fund.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 13

Office of the President  
March 6, 2001

Members, Board of Trustees:

## CHARLOTTE R. SCHMIDLAPP FUND GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$75,000 and a pledge of \$75,000 from the Charlotte R. Schmidlapp Fund of Cincinnati, Ohio, to create and endow the Charlotte R. Schmidlapp Fellowship in Gerontology in the Graduate School.

Background: The Charlotte R. Schmidlapp Fund was established in 1908 by Jacob G. Schmidlapp, a Cincinnati philanthropist and former president of Fifth Third Bank. He established the fund in memory of his daughter who was killed in an automobile accident in Paris, France. Mr. Schmidlapp lost his wife, mother and another daughter in a train wreck. He established the fund to allow young women to realize their dreams. The fellowship will support women enrolled in the Ph.D. program in gerontology in the Sanders-Brown Center on Aging. The gift and pledge are eligible for matching funds from the state's Research Challenge Trust Fund. The \$75,000 pledge will be paid by the end of 2002.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 14

Office of the President  
March 6, 2001

Members, Board of Trustees:

## DR. RICHARD R. AND DOROTHY HILLENMEYER CRUTCHER GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$40,000 and pledge of \$160,000 from Dr. Richard R. and Dorothy Hillenmeyer Crutcher and the Crutcher Family Foundation of Lexington, Kentucky, to create the Dr. Richard R. and Dorothy Hillenmeyer Crutcher Endowed Scholarship in the College of Medicine.

Background: Dr. and Mrs. Crutcher are making the gift to provide scholarships for native Kentuckians with financial need in the hope that recipients will stay in Kentucky to practice. Dr. Crutcher is a retired cardio-thoracic surgeon who practiced in Lexington for many years before retiring in 1978. He is a 1934 graduate of UK. The Crutchers request that the state's Research Challenge Trust Fund match the gift and pledge. The pledge will be fulfilled with four annual payments of \$40,000 beginning in 2001.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 15

Office of the President  
March 6, 2001

Members, Board of Trustees:

## ESTATE OF BETTY JO DENTON HEICK

**Recommendation:** that the Board of Trustees accept a pledge of \$100,000 from the estate of Betty Jo Denton Heick of Paris, Kentucky, to create and endow the John H. Heick Professorship in Soil Science in the Department of Agronomy in the College of Agriculture.

**Background:** Betty Jo Denton Heick, long-time county clerk in Bourbon County, died in November, 2000. She was the widow of John Heick, a Bourbon County businessman and farmer, and a 1950 graduate of the College of Agriculture. Mr. Heick had served as president of the Federal Land Bank of Lexington and as president of the College of Agriculture Alumni Association. The \$100,000 estate distribution, which is expected in 2001, is eligible for matching funds from the state's Research Challenge Trust Fund. Additional estate distributions for other programs are expected.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_



# FCR 16

Office of the President  
March 6, 2001

Members, Board of Trustees:

## JACK AND LINDA GILL GIFT AND PLEDGE

**Recommendation:** that the Board of Trustees accept a gift of \$209,140.63 and a pledge of \$790,859.37 from Dr. Jack M. and Mrs. Linda Challis Gill of Houston, Texas, to create and endow the Jack and Linda Gill Science and Engineering Research Excellence Fund in the College of Arts and Sciences and College of Engineering.

**Background:** Dr. and Mrs. Gill have a generous history of philanthropy around the country and at the University of Kentucky. Their gifts include a major contribution to help fund the Jack and Linda Gill Heart Institute at the UK Medical Center. Dr. Gill is founder and general partner of Vanguard Venture Partners, a venture capital company specializing in high-technology businesses, and investing in medical, computer and telecommunications companies. Linda Gill grew up in Louisville and Fort Thomas and graduated from UK in 1962.

The fund will support professorships administered jointly by the deans of the two colleges. These professorships will be selected from the areas of biology, chemistry, physics, electrical engineering, materials engineering and computer science. The fund will also support the Gill Fellows program, recognizing outstanding graduate students in the above-named disciplines. The Gills anticipate that their gift will be matched by the state's Research Challenge Trust Fund. The pledge will be paid within five years.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 17

Office of the President  
March 6, 2001

Members, Board of Trustees:

## KEITH B. AND PHYLLIS A. MACADAM GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$10,000 and a pledge of \$40,000 from Keith B. and Phyllis A. MacAdam of Lexington, Kentucky, to create the American Music Library Endowed Fund to support acquisitions to enhance research at the John Jacob Niles Center for American Music in the Lucille Caudill Little Fine Arts Library and Learning Center.

Background: The MacAdams have been long-time and generous supporters of the University. Keith MacAdam is a physics professor at UK and Phyllis MacAdam is assistant director of the Carnegie Center for Literacy and Learning in Lexington. They share interests in music and the UK libraries. He has been chair of the Library Associates. The gift is eligible for matching funds from the state's Research Challenge Trust Fund. The pledge will be paid in four annual installments of \$10,000 beginning in 2001.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 18

Office of the President  
March 6, 2001

Members, Board of Trustees:

## LEXMARK INTERNATIONAL, INC. PLEDGE

Recommendation: that the Board of Trustees accept a pledge of gifts-in-kind from Lexmark International, Inc., of Lexington, Kentucky, totaling \$300,000 to the William T. Young Library and \$100,000 to Lexington Community College.

Background: Lexmark, a global manufacturer and supplier of printing products, is headquartered in Lexington. The company will provide equipment valued at \$300,000 to the Library and \$100,000 to Lexington Community College in equal installments from 2002 to 2006. In addition, Paul J. Curlander, chairman and chief executive officer of Lexmark, has committed the company to match qualified gifts between April 1 and December 31, 2001, to UK by Lexmark employees on a three-to-one basis up to a maximum match of \$100,000. A previous gift-in-kind of \$250,000 in equipment for the College of Engineering's new mechanical engineering building was accepted by the Board of Trustees in January, 2000.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 19

Office of the President  
March 6, 2001

Members, Board of Trustees:

## MRS. INA BROWN BOND PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$200,000 from Mrs. Ina Brown Bond of Goshen, Kentucky, for the William T. Young Library Endowment to support the library collection for the benefit of research and graduate programs of the University.

Background: Mrs. Bond is president of River Bend Farm in Goshen. She is a Louisville-area businesswoman and civic leader. She anticipates that her pledge will be matched by the state's Research Challenge Trust Fund. Her pledge will be paid in four annual installments of \$50,000 beginning in 2001.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 20

Office of the President  
March 6, 2001

Members, Board of Trustees:

## ORSON AND KATHY OLIVER PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from Orson and Kathy Oliver of Louisville, Kentucky, to create and endow the Jana Oliver Memorial Fellowship in the College of Law.

Background: The Olivers are making the gift in memory of their daughter, Jana, who was a UK student when she died in 1996. Mr. Oliver is a 1968 graduate of the College of Law and is president of the Bank of Louisville. He is chairman of the College of Law's capital campaign committee. The gift is eligible for matching funds from the state's Research Challenge Trust Fund. The Olivers will fulfill their pledge prior to 2005.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 21

Office of the President  
March 6, 2001

Members, Board of Trustees:

## RONALD ALLEN AND NANCY SMOOT FORESTER GIFT AND PLEDGE

**Recommendation:** that the Board of Trustees accept a gift of \$25,000 and a pledge of \$25,000 from Ronald Allen and Nancy Smoot Forester of Birmingham, Alabama, to create and endow the Ronald Allen Forester and Nancy Smoot Forester Endowed Professorship Fund in the School of Management in the Carol Martin Gatton College of Business and Economics.

**Background:** Mr. Forester is a 1958 graduate of the Gatton College of Business and Economics and Mrs. Forester is a 1957 graduate of the College of Arts and Sciences. Mr. Forester, now retired, owned an insurance company in Birmingham. The professorship will be awarded to a professor who has made outstanding contributions to research and education in the field of insurance. The gift and pledge is contingent on matching funds being received from the state's Research Challenge Trust Fund. The Foresters will fulfill their pledge before June 1, 2001.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 22

Office of the President  
March 6, 2001

Members, Board of Trustees:

## DERMONTTI F. AND REGINA M. DAWSON GIFT

**Recommendation:** that the Board of Trustees accept a gift of \$50,000 from Dermontti F. Dawson and Regina M. Dawson of Nicholasville, Kentucky, to establish and endow the Dermontti F. and Regina M. Dawson Graduate Fellowship in Education in the College of Education.

**Background:** Dermontti Dawson, the starting center for the National Football League's Pittsburgh Steelers for more than 10 years, graduated from the University of Kentucky College of Education in 1995 with a degree in physical education and recreation. His wife Regina, a student in the College of Education, is completing her student teaching requirement in elementary education. The gift is eligible to be matched by the state's Research Challenge Trust Fund. The Dawson's anticipate the fellowship helping minority and non-traditional graduate students.

---

Action taken: ☒ Approved ☐ Disapproved ☐ Other \_\_\_\_\_

# FCR 23

Office of the President  
March 6, 2001

Members, Board of Trustees:

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE OF \$20,510,000 PRINCIPAL AMOUNT OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES R, DATED MARCH 1, 2001 AND AMENDING ITS BOND RESOLUTION WITH RESPECT THERETO TO PROVIDE FOR THE REDEMPTION PRIOR TO MATURITY ON OR AFTER MAY 1, 2011.

Recommendation: that the Board of Trustees approve a Resolution accepting the successful bid of UBS/PaineWebber with reference to the sale of the \$20,510,000 of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series R, dated March 1, 2001, at a net interest cost of 4.7899%.

Background: On January 23, 2001, the Board of Trustees approved a Resolution authorizing the issuance of \$20,510,000 of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series R, dated March 1, 2001. Said Resolution also authorized the public advertising for sealed competitive bids to be received for said Series R Bonds. Bids were received by the Controller and Treasurer and tabulated by J.J.B. Hilliard, W.L. Lyons, Inc., the Financial Advisors for the Series R Bonds. The recommended bid is the lowest net interest cost to the University.

Nine bids were received and tabulated as follows:

| <u>Firm</u>                         | <u>Average Interest Rate</u> |
|-------------------------------------|------------------------------|
| UBS/PaineWebber                     | 4.7899%                      |
| ABN Amro Financial Services, Inc.   | 4.7994%                      |
| Edward D. Jones & Co., L.P.         | 4.8150%                      |
| J. J. B. Hilliard, W.L. Lyons, Inc. | 4.8152%                      |
| Banc of America Securities LLC      | 4.8340%                      |
| Stephens, Inc.                      | 4.8432%                      |
| Merrill Lynch & Co.                 | 4.8467%                      |
| Morgan Keegan & Company, Inc.       | 4.8730%                      |
| Dean Witter Reynolds, Inc.          | 4.8793%                      |

---

Action Taken:

☒ Approved

☐ Disapproved

☐ Other \_\_\_\_\_



## RESOLUTION

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE OF \$20,510,000 PRINCIPAL AMOUNT OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES R, DATED MARCH 1, 2001 AND AMENDING ITS BOND RESOLUTION WITH RESPECT THERETO TO PROVIDE FOR THE REDEMPTION PRIOR TO MATURITY ON OR AFTER MAY 1, 2011.

WHEREAS, pursuant to a resolution (the "Bond Resolution") of the Board of Trustees (the "Board") adopted on January 23, 2001, the Board authorized the advertisement for sale of the University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series R, dated March 1, 2001 (the "Series R Bonds"), and the receipt of bids for the Series R Bonds at such time and date designated by the Treasurer of the University, which time and date have been designated as 10:00 A.M., E.S.T., on March 6, 2001, and the acceptance of the lowest and best bid received upon the advice of J.J.B. Hilliard, W.L. Lyons, Inc., the Financial Advisor to the Board; and

WHEREAS, notice of the sale of \$20,510,000 principal amount of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series R, has heretofore been advertised in strict compliance with all legal requirements; and

WHEREAS, all bids received for the purchase of said Series R Bonds from the Board have been duly considered and are as follows:

| <u>BIDDERS</u>                    | <u>INTEREST<br/>RATES</u> | <u>AMOUNTS BID FOR SAID<br/>\$20,510,000 PRINCIPAL<br/>AMOUNT OF BONDS, PLUS<br/>ACCRUED INTEREST FROM<br/>MARCH 1, 2001</u> | <u>NET<br/>INTEREST<br/>COST</u> |
|-----------------------------------|---------------------------|------------------------------------------------------------------------------------------------------------------------------|----------------------------------|
| UBS/PaineWebber                   | 4.00-5.00%                | \$20,113,090.00                                                                                                              | 4.7899%                          |
| ABN Amro Financial Services       | 4.125-5.00%               | \$20,100,881.75                                                                                                              | 4.7994%                          |
| Edward D. Jones & Co., L.P.       | 3.20-5.00%                | \$20,165,837.50                                                                                                              | 4.8150%                          |
| J.J.B. Hilliard, W.L. Lyons, Inc. | 4.125-5.00%               | \$20,216,472.25                                                                                                              | 4.8152%                          |
| Banc of America Securities LLC    | 4.00-5.00%                | \$20,405,426.06                                                                                                              | 4.8340%                          |
| Stephens, Inc.                    | 4.00-5.00%                | \$20,244,051.44                                                                                                              | 4.8432%                          |
| Merrill Lynch & Co.               | 4.00-5.00%                | \$20,171,805.84                                                                                                              | 4.8467%                          |
| Morgan Keegan & Company, Inc      | 4.00-5.00%                | \$20,327,378.65                                                                                                              | 4.8730%                          |
| Dean Witter Reynolds, Inc.        | 4.00-5.00%                | \$20,212,973.95                                                                                                              | 4.8793%                          |

WHEREAS, the matter of which bid is the most advantageous and with the most favorable net interest cost to the Board has been sufficiently considered; and

WHEREAS, upon advice of the Financial Advisor, the Board has determined to issue the Series R Bonds subject to optional redemption on or after May 1, 2011 and has further determined to amend the Bond Resolution for such purpose.

NOW, THEREFORE, THE FINANCE COMMITTEE OF THE BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY DOES HEREBY RESOLVE, AS FOLLOWS:

1. Determination of Best Bid; Acceptance. That the bid of UBS/PaineWebber, for the purchase of said \$20,510,000 principal amount of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series R, dated March 1, 2001, be accepted and confirmed, said bid being at a price of \$20,113,090.00 for said \$20,510,000 principal amount of Series R Bonds, plus accrued interest from March 1, 2001, to the date of delivery of the Series R Bonds, such Series R Bonds being payable as follows:

| Maturity     | Principal     |             | Maturity     | Principal     |             |
|--------------|---------------|-------------|--------------|---------------|-------------|
| <u>May 1</u> | <u>Amount</u> | <u>Rate</u> | <u>May 1</u> | <u>Amount</u> | <u>Rate</u> |
| 2002         | \$675,000     | 4.00%       | 2012         | \$1,005,000   | 4.375%      |
| 2003         | 700,000       | 4.00%       | 2013         | 1,050,000     | 4.50%       |
| 2004         | 730,000       | 4.00%       | 2014         | 1,095,000     | 4.50%       |
| 2005         | 760,000       | 4.00%       | 2015         | 1,145,000     | 4.625%      |
| 2006         | 790,000       | 4.00%       | 2016         | 1,200,000     | 4.75%       |
| 2007         | 820,000       | 4.00%       | 2017         | 1,255,000     | 4.75%       |
| 2008         | 855,000       | 4.00%       | 2018         | 1,315,000     | 4.75%       |
| 2009         | 890,000       | 4.10%       | 2019         | 1,375,000     | 4.75%       |
| 2010         | 925,000       | 4.20%       | 2020         | 1,445,000     | 5.00%       |
| 2011         | 965,000       | 4.25%       | 2021         | 1,515,000     | 5.00%       |

and being a bid at an average net interest cost to the University of 4.7899% per annum; and said bid is hereby determined to be the best bid with the most favorable net interest cost to the University for said Series R Bonds; and the principal maturities and interest rates on said Series R Bonds are hereby fixed at the principal maturities and interest rates set out above.

2. All Other Bids Rejected. That all other bids are hereby rejected, and the good faith deposit of the successful purchaser will be deposited in immediately available funds prior to the close of business on March 7, 2001, and the amount thereof will be credited (without interest) against the purchase price of the Bonds on the date of delivery to such purchaser.

3. Delivery of Bonds; Application of Proceeds. That said Series R Bonds shall be delivered by the Chairman of the Board of Trustees and/or other proper officers of the Board to said purchaser as soon as the Series R Bonds are printed, authenticated and ready for delivery, and all of the proceeds of the Series R Bonds shall be used only as provided in the Resolution pursuant to which the Series R Bonds will be issued.

4. Acceptance of Bid is Subject to Approving Legal Opinion of Bond Counsel. That said bid is accepted subject to the condition that such acceptance subjects the Board to no

obligation to deliver the Series R Bonds if for any reason the Board is unable to obtain the final approving legal opinion of the firm of Peck, Shaffer & Williams LLP, Covington, Kentucky, as to said Series R Bonds, or if said Series R Bonds shall be subject to ad valorem taxation by the Commonwealth of Kentucky, or if the receipt of interest on said Series R Bonds shall be subject to income taxation by the federal government or by the Commonwealth of Kentucky, prior to or on the delivery date of said Series R Bonds, and the purchaser shall not be required to take delivery of said Series R Bonds without the final approving opinion of said attorneys accompanying said Series R Bonds, or if said Series R Bonds or the interest thereon shall become subject to such ad valorem or income taxation prior to such delivery date.

5. Amendment to Bond Resolution. Section 2.9 of the Bond Resolution is hereby amended by deleting paragraph (a) thereof and replacing it with the following:

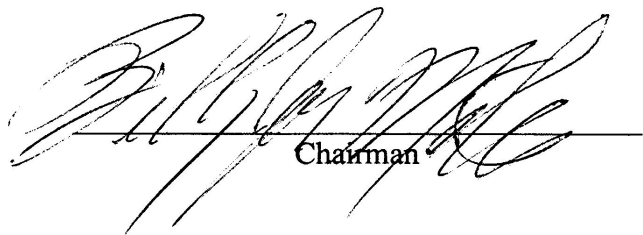
(a) Redemption Prior to Maturity. The Series R Bonds maturing on and after May 1, 2012, shall be subject to redemption by the Board prior to maturity, in whole or in part, in the inverse order of their maturities (less than all of a single maturity to be selected by lot), on any date, on or after May 1, 2011, at a redemption price equal to the principal amount of the Series R Bonds called for redemption, plus unpaid interest accrued to the date of redemption, without premium.

6. All Provisions of Bond Resolution are Hereby Readopted, Ratified and Confirmed. The Board hereby readopts, ratifies and confirms all other provisions of the Bond Resolution adopted on January 23, 2001.

7. Resolution Contractual with Bondowners. The Bond Resolution and this Resolution shall, from and after the issuance and delivery of the Series R Bonds, constitute a contract between the Board and the owners of the Series R Bonds as shall be outstanding hereunder and thereunder.

Adopted on March 6, 2001.

  
Secretary

  
Chairman

## CERTIFICATE

The undersigned, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certifies that the foregoing is a full, true and correct copy of a Resolution adopted by the Board of Trustees of said University of Kentucky at a meeting duly held on March 6, 2001, and that said Resolution has been duly recorded in the Minute Book of said Board.

  
\_\_\_\_\_  
Secretary, Board of Trustees  
University of Kentucky